Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 23, 1981.

The Board of Trustees of the University of Kentucky met at 2 p.m. (Eastern Daylight Time) on Tuesday, June 23, 1981 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus.

A. Meeting Opened and Oath of Office Administered

Mr. William B. Sturgill, Chairman, called the meeting to order at 2:48 p.m. and the invocation was pronounced by Mr. William R. Black. Mr. Sturgill requested Mr. John Darsie, General Counsel, to administer the oath of office to Mr. Britt Brockman, who will serve as student trustee during his term of office as President of Student Association.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Britt Brockman, Governor Albert Benjamin Chandler, Mrs. Betty Pace Clark, Mr. Albert G. Clay, Professor Opal Skaggs Conley, Mr. Tracy Farmer, Mrs. Edythe Jones Hayes, Mrs. Sally Hermansdorfer, Mr. Thomas B. Kessinger, Mr. W. Terry McBrayer, Mr. Robert T. McCowan, Mr. A. Stevens Miles, Mr. Frank Ramsey, Mr. Homer W. Ramsey, Professor William F. Wagner, and Mr. William T. Young. Absent from the meeting were: Mr. W. B. Terry and Professor Constance P. Wilson. The University administration was represented by President Otis A. Singletary: Vice Presidents Jack C. Blanton, Peter P. Bosomworth, Donald B. Clapp, Lewis W. Cochran, Raymond R. Hornback, Charles T. Wethington, and Robert G. Zumwinkle; Dr. Art Gallaher, Vice President-Designate; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John C. Darsie, General Counsel. Representatives of the various news media were in attendance. The Secretary reported a quorum present and the Chairman declared the meeting officially open for the conduct of business at 2:50 p.m.

C. Mr. Brad Sturgeon Recognized

The Chairman recognized Mr. Brad Sturgeon and noted that he had served the Board well during his year as student trustee.

Mr. Sturgeon reviewed briefly some of the accomplishments and activities of the Student Association during the past year including the expanded legal aid program, voting registration of 1200 students, successful involvement in the GTE hearing before the Public Service Commission, and the fund-raising efforts on behalf of Cardinal Hill Hospital. Mr. Sturgeon pointed out that one major project of Student Association had been to try and improve student representation on campus by revising the Constitution and by working with appropriate Senate Committees to recommend a

modification in the structure of the University Senate. He also called attention to the Financial Aid Symposium and the political debates sponsored by Student Association, and to its successful record-breaking blood drive.

Mr. Sturgeon recommended that the Student Association lobby before the 1982 General Assembly to have the student trustee term of office each year begin July 1 and end on June 30, in order to insure that each student trustee serve one complete fiscal year.

Mr. Sturgeon concluded his remarks by saying he enjoyed and appreciated the experience of serving on the Board of Trustees. The Chairman thanked Mr. Sturgeon for his report.

D. Minutes Approved

The Minutes of the May 5, 1981 meeting of the Board of Trustees were approved without objection.

E. President's Report to the Trustees (PR 1)

After reviewing briefly some of the items in PR 1, President Singletary recommended its acceptance. Without objection, it was so ordered.

F. Recommendations of the President (PR 2)

Although most of the items in PR 2 were routine in nature, President Singletary called attention to the retirement of Dr. Lewis W. Cochran, Vice President for Academic Affairs, who is retiring after 35 years of service. Dr. Cochran was asked to stand and be recognized and was given a round of applause. With President Singletary so recommending, on motion by Mr. Homer Ramsey, seconded by Mrs. Hermansdorfer and passed, PR 2 was approved. (See PR 2 at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3)

Acting on the recommendation of President Singletary, Mr. Black moved that the Board of Trustees approve the reappointment of Mr. John Crockett, Dr. Roger Eichhorn, and Mr. William Sturgill as members of the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending June 30, 1984. Mr. Black's motion was seconded by Professor Conley and passed without dissent.

Noting that the recommendations regarding the two patent assignments in PR 3 were routine in nature, President Singletary recommended approval. On motion by Mr. McCowan, seconded by Mr. McBrayer and passed, the two patent assignments were approved. (See PR 3 at the end of the Minutes.)

H. 1980-81 Budget Revisions (PR 4)

On motion by Mrs. Clark, seconded by Mr. Kessinger and passed, the budget revisions, as recommended in PR 4 , were authorized and approved. (See PR 4 at the end of the Minutes.)

I. Patent Assignment (PR 5)

Without discussion, on motion by Mr. Miles, seconded by Mr. Young and passed, the patent assignment as recommended in PR 5 was approved. (See PR 5 at the end of the Minutes.)

J. Operating Budget for 1981-82 (PR 6)

In opening his budget presentation, President Singletary pointed out that the 1981-82 Operating Budget reflects requests made in the Biennial Budget Request for 1980-82, which had already been approved by the Board of Trustees. He noted that the budget reduction had been built into the \$301,576,400 budget and remarked that State appropriations amounted to a little less than one-half of the total income amount. President Singletary explained that additional State funds for 1981-82 had been devoted entirely to meeting salary increases, fixed cost obligations, and inflationary increases in current expenses. He emphasized that there were no State dollars for new, improved, or expanded programs.

President Singletary was assisted in the presentation of the proposed budget by Dr. Peter Fitzgerald, Associate Vice President of Administraton for Planning and Budget, and Dr. Donald Clapp, Vice President for Administration.

Through a series of charts, Dr. Fitzgerald showed the history over the last several years of salaries for Lexington campus faculty, Community College faculty, and county agents relative to the respective benchmark salaries for each group. Information provided also indicated that based on University projections of salaries for those benchmarks, and based on the proposed salaries for 1981-82, the University hoped to achieve the following goals: (1) bring Lexington faculty salaries to within \$800 of the benchmark median, (2) bring Community College faculty salaries to the benchmark median, (3) bring Cooperative Extension County Agents' salaries to within \$550 of the national average for their group, (4) bring Lexington librarians' salaries to within \$1,000 of the benchmark median for librarians, and (5) bring salaries for other staff to within 95 percent of the appropriate labor markets for various categories of employees.

Included in Dr. Clapp's presentation was a comparison of state appropriation per FTE student for Type I institutions in the Southern Regional Education Board states. Dr. Clapp pointed out that the University's per student allocation of 2.627 was \$455 below the average, and indicated that four schools ranked below and eight above Kentucky in per student allocation.

In their concluding remarks, both President Singletary and Dr. Clapp pointed out that the State appropriation per student at the University was lower than that at four other public institutions in Kentucky even though those other institutions do not have the costly doctoral and professional programs which exist at UK. Both commented that the proposed budget addresses the salary problem in a positive way; however, they stressed that the University operates at a distinct disadvantage in terms of financial support.

There being no further comments, Mr. Sturgill called for a motion. Governor Chandler moved that the recommendation in PR 6 relative to the adoption of the Operating Budget for 1981-82 be approved. His motion was seconded by Mr. Young and the 1981-82 Operating Budget was adopted with all present voting "aye." (See PR 6 at the end of the Minutes.)

K. Approval of Agreement Between University of Kentucky and Kentucky Medical Services Foundation, Inc. (PF 7)

President Singletary recommended approval of the agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc., which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978. The terms of the document, with the exception of certain monetary adjustments, are essentially the same as those in the agreement approved by the Board on June 27, 1980 for the period July 1, 1980 through June 30, 1981. Mr. Farmer moved approval. His motion was seconded by Mr. Frank Ramsey and passed without dissent. (See PR 7 at the end of the Minutes.)

L. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the committee had met on the morning of June 23, 1981 and considered four items to be presented for action, the first of which was the Interim Financial Report for the period ended May 31, 1981. He then moved that the report be accepted. His motion was seconded by Mr. Homer Ramsey, and passed without dissent. (See FCR 1 at the end of the Minutes.)

M. Contract with Louisville and Jefferson County Board of Health, et al (FCR 2)

With the concurrence of the Finance Committee, Mr. Clay moved that the Board of Trustees authorize the Chairman and Secretary of the Board of Trustees to execute the Sixth Supplemental Contract dated June 1, 1981, permitting the expansion to the Louisville Medical Center, Inc. Steam and Chilled Water Plant as recommended in FCR 2. His motion was seconded by Mr. Brockman and passed without dissent. (See FCR 2 at the end of the Minutes.)

N. Approval of the Patricia J. Buster Revocable Living Trust (FCR 3)

Mr. Clay recommended that the Board of Trustees (1) authorize the President to execute a trust agreement with Mrs. Patrica J. Buster, as donor, and the University of Kentucky, as trustee, providing for the establishment of three unitrusts upon the death of the donor, and (2) authorize the President to accept, upon the death of Mrs. Buster, one-third of the balance of the Patricia J. Buster Revocable Living Trust Fund, for use by the University of Kentucky College of Home Economics. Mr. Clay's motion was seconded by Mr. Kessinger and passed with all present voting "aye." (See FCR 3 at the end of the Minutes.)

O. Contracts with Administrative Officers

In conclusion, Mr. Clay said that the Finance Committee wished to add a recommendation not included on the agenda. He then moved that the Chairman of the Board be authorized to execute contracts with the administrative officers providing for the terms and conditions of their employment. His motion was seconded by Mr. Black and passed without dissent.

P. Report of Investments (ICR 1)

Mr. Kessinger, Chairman of the Investment Committee, reported that the committee had met on the morning of June 23, and he presented a quarterly report of changes made in investments for the University and its affiliated corporations for the quarter ended March 31, 1981. Mr. Kessinger moved that the report be accepted. His motion was seconded by Mrs. Hayes and passed without dissent. (See ICR 1 at the end of the Minutes.)

Q. Meeting Adjourned

Mr. Sturgill reminded the members that the next meeting of the Board of Trustees is scheduled for Tuesday, August 25, 1981. There being no further business to come before the meeting, on motion duly made, seconded, and carried, the meeting adjourned at 3:35 p.m.

Respectfully submitted,

Betty Pace Clark Secretary Board of Trustees

(PR's 2, 3, 4, 5, 6, and 7; FCR's 1, 2 and 3; and ICR 1 which follow are official parts of the Minutes of the Meeting.)

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. Academic Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Brewster, Benjamin C., Visiting Associate Professor, Mathematics, 8/16/81 through 5/31/82.

Dyer, John A., Visiting Associate Professor, Mathematics, 8/16/81 through 5/31/82.

Haney, Donald C., Adjunct Professor (part-time), and Director, Kentucky Geological Survey, 8/16/81 through 6/30/82.

Shannon, Christine, Visiting Associate Professor, Mathematics, 8/16/81 through 5/31/82.

Winzell, Bengt, Visiting Associate Professor, Mathematics, 8/16/81 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

College of Medicine

Craddock, Philip R., Professor (with tenure), Medicine, effective 5/1/81.

Fulks, Richard M., Assistant Professor, Pathology, 7/1/81 through 6/30/82.

Hall, Bryan D., Associate Professor, Pediatrics, 7/1/81 through 6/30/82.

Kraus, Robert F., Professor (with tenure), Psychiatry, effective 6/1/81.

McMurry, James, Assistant Professor, Medicine, 5/1/81 through 6/30/82.

Rohlfing, Michael B., Assistant Professor, Pathology, 7/1/81 through 6/30/82.

Slevin, John T., Assistant Professor, Neurology, 7/1/81 through 6/30/82.

Wiley, Ronald L., Visiting Professor, Physiology and Biophysics, 6/1/81 through 8/15/81.

College of Pharmacy

Fink, Joseph L., III, Professor (with tenure), effective 7/1/81.

B. Administrative Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Gabbard, Fletcher, Professor (with tenure), Physics and Astronomy, and Chairman, Physics and Astronomy, reappointed Chairman, Physics and Astronomy, 7/1/81 through 6/30/85.

through 6/30/85.
Hemenway, Robert E., Professor (with tenure), English, named Department Chairman, English, 7/1/81 through 6/20/85.

College of Communications

Murphy, Robert, Professor (with tenure), named Director, School of Journalism, 7/1/81 through 6/30/83.

^{*}Special Title Series

College of Engineering

Sargent, Gordon A., Professor (with tenure), Metallurgical Engineering, named Department Chairman, Metallurgical Engineering and Materials Science, 7/1/81 through 5/30/85.

College of Home Economics

Rothgeb, Terry D., Associate Professor (with tenure), Human Environment: Design and Textiles, named Chairman, Department of Human Environment: Design and Textiles, 7/1/81 through 6/30/83.

MEDICAL CENTER

College of Allied Health Professions

Berry, David E., Associate Professor* (with tenure),
Community Health, named Chairman, Community
Health, effective 7/1/81.

College of Dentistry

Kopczyk, Raymond A., Professor (with tenure),
 Periodontics, renamed Chairman, Periodontics,
 7/1/81 through 6/30/87.

Mullaney, Thomas, Professor (with tenure), Endodontics, renamed Chairman, Endontics, 7/1/81 through 6/30/87.

Mullins, M. Raynor, Associate Professor (with tenure), Community Dentistry, renamed Chairman, Community Dentistry, 10/1/80 through 9/30/87.

College of Medicine

Straus, Robert, Professor (with tenure), Behavioral Science and Community Medicine, renamed Chairman, Department of Behavioral Science, 7/1/81 through 6/30/87.

^{*}Special Title Series

COMMUNITY COLLEGE SYSTEM

Hopkinsville Community College

Rogers, James Eldridge, Professor in the Community College System (with tenure), named Division Chairman, Math/Science Division, 7/1/81 through 6/30/84.

Lexington Technical Institute

Blake, Robert J., Jr., Associate Professor in the Community College System (with tenure), renamed Division Chairman, Division of Engineering and Related Technologies, 7/1/81 through 6/30/84.

Southeast Community College

Scopa, Patricia Morris, Associate Professor in the Community College System (with tenure), effective 7/1/81. Named Division Chairman, Division of Physical Sciences and Related Technology, 7/1/81 through 6/30/84.

Simpson, Lillian Faye, Assistant Professor in the Community College System, named Division Chairman, Division of English, Humanities, Social Sciences and Related Technologies, 7/1/81 through 6/30/82.

Thomas, Bonnie J., Associate Professor in the Community College System (with tenure), named Division Chairman, Division of Biological Sciences and Related Technologies, 7/1/81 through 6/30/84.

C. Joint Appointments

MEDICAL CENTER

College of Medicine

Norton, James C., Associate Professor (with tenure), Psychiatry, joint appointment as Associate Professor, Neurology, effective 7/1/81.

^{*}Special Title Series

D. Reappointments

DIVISION OF COLLEGES

College of Arts and Sciences

Liu, Keh-Fei, Associate Professor, Physics and Astronomy, 7/1/81 through 6/30/82.

College of Communications

Schulte, Theodore E., Associate Professor*, Journalism, 7/1/81 through 6/30/82.

College of Education

Szekely, George, Associate Professor, Curriculum and Instruction, 7/1/81 through 6/30/82.

College of Engineering

Deen, Robert C., Adjunct Associate Professor, Civil Engineering, 7/1/81 through 6/30/82. Foree, Edward G., Adjunct Associate Professor, Civil

Engineering, 7/1/81 through 6/30/82.
Roco, Mihail C., Associate Professor, Mechanical Engineering, 7/1/81 through 6/30/82.

Wala, Andrej, Visiting Associate Professor, Civil Engineering, 8/16/81 through 5/15/82.

MEDICAL CENTER

College of Dentistry

Graves, Richard, Associate Research Professor, Community

Dentistry, 7/1/81 through 6/30/82.
Knight, Judson M., Associate Professor (part-time), Orthodontics, 7/1/81 through 6/30/82.

McClure, Laban T., Professor (part-time), Restorative Dentistry, 7/1/81 through 6/30/82.

College of Medicine

Belin, Robert P., Clinical Professor (part-time), Surgery, 7/1/81 through 6/30/82.

^{*}Special Title Series

College of Nursing

Tarkington, Evelyn, Associate Clinical Professor (voluntary), 7/1/81 through 6/30/82.

College of Pharmacy

Marcus, Arnold D., Adjunct Professor (voluntary), 7/1/81 through 6/30/82 Tingstad, James E., Adjunct Professor (voluntary), 7/1/81 through 6/30/82.

E. Promotions

DIVISION OF COLLEGES

College of Agriculture

Cornelius, Paul, from Associate Professor (with tenure), Agronomy, joint with Department of Statistics, College of Arts and Sciences, to Professor (with tenure), Agronomy, joint with Department of Statistics, College of Arts and Sciences, effective 7/1/81.

Loewer, Otto J., Jr., from Associate Professor (with tenure), Agricultural Engineering, to Professor (with tenure), Agricultural Engineering, effective 7/1/81.

Stahly, Tim S., from Assistant Professor (without tenure), Animal Science, to Associate Professor (with tenure), Animal Science, effective 7/1/81.

College of Architecture

Carpenter, Dennis W., from Assistant Professor (without tenure), joint with Department of Art, College of Fine Arts, to Associate Professor (with tenure), joint with Department of Art, College of Fine Arts, effective 7/1/81.

College of Arts and Sciences

Baskin, Jerry M., from Associate Professor (with tenure), Biological Sciences, to Professor (with tenure), Biological Sciences, effective 7/1/81.

^{*}Special Title Series

- Bonner, Philip H., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/81.
- Christianson, Eric H., from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/81.
- Cohen, William S., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor, (with tenure), Biological Sciences, effective 7/1/81.
- Dye, Nancy S., from Assistant Professor (without tenure), History, to Associate Professor (with tenure), History, effective 7/1/81.
- Eklund, Peter C., from Assistant Professor (without tenure), Physics and Astronomy, to Associate Professor (with tenure), Physics and Astronomy, effective 7/1/81.
- Gariepy, Ronald, from Associate Professor (with tenure), Mathematics, to Professor (with tenure), Mathematics, effective 7/1/81.
- Lewis, John L., from Associate Professor (with tenure), Mathematics, to Professor (with tenure), Mathematics, effective 7/1/81.
- Rawls, John M., Jr., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/81.
- Tolbert, Laren M., from Assistant Professor (without tenure), Chemistry, to Associate Professor (with tenure), Chemistry, effective 7/1/81.

College of Business and Economics

- Blomquist, Glenn C., from Assistant Professor (without tenure), Economics, joint with James W. Martin Center for Public Administration, Graduate School, to Associate Professor (with tenure), Economics, joint with James W. Martin Graduate Center for Public Administration, Graduate School, effective 7/1/81.
- Guiltinan, Joseph P., from Associate Professor (with tenure), Business Administration, to Professor (with tenure), Business Administration, effective 7/1/81.
- Irwin, Clyde L., from Associate Professor* (with tenure), Business Administration to Professor* (with tenure), Business Administration, effective 7/1/81.

^{*}Special Title Series

Milward, H. Brinton, from Assistant Professor (without tenure), Business Administration, joint with James W. Martin Center for Public Administration, Graduate School, to Associate Professor (with tenure), Business Administration, joint with James W. Martin Center for Public Administration, Graduate School, effective 7/1/81.

College of Communications

- Kirkhorn, Michael J., from Assistant Professor* (without tenure), Journalism, to Associate Professor* (with tenure), Journalism, effective 7/1/81.
- Orndorff, Robert L., from Assistant Professor* (without tenure), Journalism, to Associate Professor* (with tenure), Journalism, effective 7/1/81.
- Waldhart, Enid S., from Assistant Professor (without tenure), Communication, and Director, Undergraduate Studies, to Associate Professor (with tenure), Communication, and Director, Undergraduate Studies, effective 7/1/81.

College of Education

- Bridge, Connie A., from Assistant Professor (without tenure), Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/81.
- Culatta, Barbara, from Assistant Professor (without tenure), Special Education, to Associate Professor (with tenure), Special Education, effective 7/1/81.
- DeYoung, Alan J., from Assistant Professor (without tenure), Social and Philosophical Studies, joint with Department of Sociology, College of Arts and Sciences, to Associate Professor (with tenure), Social and Philosophical Studies, joint with Department of Sociology, College of Arts and Sciences, effective 7/1/81.
- Gast, David L., from Assistant Professor (without tenure), Special Education, to Associate Professor (with tenure), Special Education, effective 7/1/81.
- Labanowich, Stanley, from Assistant Professor (without tenure), Health Physical Education and Recreation, to Associate Professor (with tenure), Health, Physical Education and Recreation, effective 7/1/81.

^{*}Special Title Series

- Mann, Ralph V., from Assistant Professor (without tenure), Health, Physical Education and Recreation, to Associate Professor (with tenure), Health, Physical Education and Recreation, effective 7/1/81.
- Simpson, Kawanna S., from Assistant Professor, (without tenure), Vocational Education, to Associate Professor (with tenure), Vocational Education, effective 7/1/81.
- Stillwell, William, from Associate Professor (with tenure), Educational Psychology and Counseling, to Professor (with tenure), Educational Psychology and Counseling, effective 7/1/81.
- Wilson, Angene H., from Assistant Professor (without tenure), Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/81.

College of Engineering

Heath, J. Robert, from Assistant Professor (without tenure), Electrical Engineering, to Associate Professor (with tenure), Electrical Engineering, effective 7/1/81.

Libraries

- Baker, Nancy L., from Librarian III, Reference Library, to Librarian II (with tenure), Reference Library, effective 7/1/81.
- Birdwhistell, Terry L., from Librarian III (without tenure), Special Collections, to Librarian II (with tenure), Special Collections, effective 7/1/81.
- Bryant, John M., from Librarian II (with tenure), Director's Office, to Librarian I (with tenure), Director's Office, effective 7/1/81.
- Gilbert, Harry C., from Librarian III (with tenure), Acquisitions Library, to Librarian II (with tenure), Architecture Library, effective 7/1/81.
- Gilchrist, Alexander M., from Librarian II (with tenure), Reference Library, to Librarian I (with tenure), Reference Library, effective 7/1/81.
- Welch, Mary H., from Librarian III (with tenure), Acquisitions Library, to Librarian II (with tenure), Cataloging Library, effective 7/1/81.

^{*}Special Title Series

White, Jane M., from Librarian II (with tenure), Education Library, to Librarian I (with tenure), Education Library, effective 7/1/81.

MEDICAL CENTER

College of Medicine

Essig, Carl F., from Assistant Clinical Professor (voluntary), Neurology, to Associate Clinical Professor (voluntary), Neurology, 7/1/81 through 6/30/82.

College of Pharmacy

Boylan, James C., from Associate Adjunct Professor (voluntary), to Adjunct Professor (voluntary), 7/1/81 through 6/30/84.

Rapp, Robert P., from Associate Professor* (with tenure), to Professor* (with tenure), effective 7/1/81.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Adams, Marcella Jo, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.

Kitchen, Janie R., from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.

Elizabethtown Community College

Hill, Martha L., from Associate Professor (with tenure), in the Community College system, to Professor (with tenure), in the Community College System, effective 7/1/81.

Moffett, Rosemary G., from Associate Professor (with tenure), in the Community College System, to Professor (with tenure), in the Community College System, effective 7/1/81.

^{*}Special Title Series

- Murley, James I., from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Thompson, Ann B., from Librarian III (without tenure), in the Community College System, to Librarian II (with tenure), in the Community College System, effective 7/1/81.
- Whelan, M. Shannon, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.

Henderson Community College

- Edwards, Jane S., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.
- Long, James E., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/81.
- Walker, Marianne, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Hopkinsville Community College

- Duguid, Hugh Allan, from Associate Professor in the Community College System (with tenure), and Division Chairman, to Professor in the Community College System (with tenure), and Division Chairman, effective 7/1/81.
- Chairman, effective 7/1/81.

 Hoover, Donald Lee, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Jefferson Community College

Barthle, Damian, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.

^{*}Special Title Series

- Cabell, Bobbye G., from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Cleveland, Virginia Bess, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Jones, Charlene, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Lawrence, Robert R., from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- McCombs, Charles, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Nankivell, James Benjamin, from Assistant Professor (without tenure), in the Community College System, to Associate Professor, (with tenure), in the Community College System, effective 7/1/81.
- Spears, Sandra, from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Terhune, Jerry D., from Assistant Professor (without tenure), in the Community College System, to Associate Professor (with tenure), in the Community College System, effective 7/1/81.
- Zalampas, M. Sherree, from Associate Professor (with tenure), in the Community College System, to Professor (with tenure), in the Community College System, effective 7/1/81.

Lexington Technical Institute

- Curtis, Catherine S., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.
- Hammond, Robert E., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

^{*}Special Title Series

Jaggard, Sharon B., from Assistant Professor in the Community College System (without tenure), and Acting Associate Director for Academic Affairs, to Associate Professor in the Community College System (with tenure), and Associate Director for Academic Affairs, effective 7/1/81.

Madisonville Community College

Ramsden, Patricia, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System, (with tenure), effective 7/1/81.

Stumpf, Arthur D., from Assistant Professor in the Community College System (without tenure), and Director, to Associate Professor in the Community College System (with tenure), and Director, effective 7/1/81.

Maysville Community College

Crockett, John H., Jr., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/81.

Owen, Nancy J., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Paducah Community College

Doom, Donnie N., from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Ro, Chun, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/81.

Suryanarayana, S. V., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), effective 7/1/81.

^{*}Special Title Series

Prestonsburg Community College

Chaffins, Ronnie, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Somerset Community College

Wilson, Howard Brent, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/81.

Southeast Community College

Blevins, Vivian, Assistant Professor in the Community College System (without tenure), and Associate Director for Instruction, to Associate Professor in the Community College System (with tenure), and Associate Director for Instruction, effective 7/1/81.

Scopa, Patricia Morris, from Assistant Professor in the Community College System (without tenure), and Acting Division Chairman, Division of Physical Sciences and Related Technologies, to Associate Professor in the Community College System (with tenure), and Division Chairman, Division of Physical Sciences and Related Technologies, effective 7/1/81.

F. Change

MEDICAL CENTER

College of Medicine

Wekstein, David R., from Associate Professor (with tenure), Physiology and Biophysics, to Associate Professor*, (with tenure), Physiology and Biophysics, effective 2/1/81.

^{*}Special Title Series

COMMUNITY COLLEGE SYSTEM

Somerset Community College

Rush, Pamela K., from Librarian II in the Community College System (with tenure), to Librarian II in the Community College System (with tenure), effective 7/1/81. Note: Changing from a 10-month appointment to a 12-month appointment.

G. Correction in Minutes

MEDICAL CENTER

College of Allied Health Professions

Hochstrasser, Donald L., from Professor (with tenure),
College of Medicine, Department of Community
Medicine, joint with Department of Anthropology and
the James W. Martin Graduate Center for Public
Administration, to Professor (with tenure), Allied
Health Education and Research, joint with
Department of Anthropology and the James W. Martin
Graduate Center for Public Administration, Graduate
School, effective 11/1/80. (See Minutes of
February 9, 1981.)

H. Retirements

DIVISION OF COLLEGES

College of Agriculture

Schneider, George W., Professor (with tenure), Horticulture, after 23 consecutive years of service, effective 6/30/81.

College of Arts and Sciences

Cochran, Lewis W., Professor (with tenure), Physics, and Vice President for Academic Affairs, after 35 consecutive years of service, effective 6/30/81.

consecutive years of service, effective 6/30/81. Evans, Robert O., Professor (with tenure), English, after 25.5 consecutive years of service, effective 12/31/79.

^{*}Special Title Series

College of Education

Ratcliff, Edna S., Administrative Assistant, Teacher Education and Certification, after 29.5 consecutive years of service, effective 6/30/81.

SUPPORT UNITS

Vice President for Business Affairs

Able, Elmer L., Disabled, after 32 consecutive years of service, effective 3/31/81.

Davis, William E., Custodial Worker IV, Physical Plant Division, after 20 consecutive years of service, effective 8/1/81.

Hall, Anthony, Supervisor, Carpentry Shop, Physical Plant Division, after 32 consecutive years of service, effective 8/4/81.

Knowles, Arthur S., Cement Mason, Carpentry Shop, Physical Plant Division, after 15 consecutive years of service, effective 7/1/81.

Lockridge, William, Custodial Worker III, Printing Services, after 22 consecutive years of service, effective 7/16/81.

I. Post-Retirement Appointment

DIVISION OF COLLEGES

College of Engineering

Walton, Warren W., Associate Professor Emeritus, Dean's Office, 7/1/81 through 6/30/82.

ADMINISTRATIVE ACTION

J. Academic Appointments

DIVISION OF COLLEGES

College of Agriculture

Fogus, Donald L., Assistant Extension Professor*,
Forestry, 5/1/81 through 6/30/82.
Reeves, Edward B., Assistant Research Professor*.

Reeves, Edward B., Assistant Research Professor*, Sociology, 5/1/81 through 6/30/82.

^{*}Special Title Series

College of Architecture

Rozenberg, Jerzy, Assistant Professor, 5/14/81 through 6/30/84.

College of Arts and Sciences

- Blee, Kathleen M., Assistant Professor, Sociology, 8/16/81 through 5/30/83.
- Hower, James C., Adjunct Assistant Professor, Geology, 8/15/81 through 5/30/82.
- Huber, John G., Visiting Assistant Professor, Physics and Astronomy, 8/16/81 through 6/30/82.
- Hughes, Charles B., Visiting Instructor, Mathematics, 8/16/81 through 5/31/82.
- Korevaar, Nicholas, Assistant Professor, Mathematics, 8/16/81 through 6/30/84.
- Kravitz, David A., Assistant Professor, Psychology, 8/16/81 through 6/30/82.
- Lewis, Wayne, Visiting Assistant Professor, Mathematics, 1/1/82 through 5/31/82.
- Malon, David, Assistant Professor, Mathematics, 8/16/81 through 6/30/83.
- Sharron, Avery, Assistant Professor, Sociology, 8/16/81 through 6/30/83.
- Yeh, Shoou-Dyi, Visiting Assistant Professor, Physics and Astronomy, 8/16/81 through 6/30/82.
- Younger, James W., Visiting Assistant Professor, Physics and Astronomy, 8/16/81 through 6/30/82.

College of Business and Economics

- Chung, Chen Hua, Instructor, Business Administration, 8/16/81 through 6/30/82.
- Clayton, Ronnie J., Instructor, Business Administration, 8/16/81 through 6/30/82.
- Cottrell, Philip G., Instructor, Accounting, 8/16/81 through 6/30/82.
- Courtenay, Stephen M., Instructor, Accounting, 1/1/82 through 6/30/82.
- Crum, Robert P., Instructor, Accounting, 8/16/81 through 6/30/82.
- Darrat, Ali Farag, Instructor, Economics, 8/16/81 through 5/30/82.
- Fields, M. Andrew, Instructor, Business Administration, 8/16/81 through 6/30/82.
- Gershenfeld, Amy Z., Assistant Professor, Business Administration, 8/16/81 through 6/30/82.

^{*}Special Title Series

Shaw, Karyll N., Instructor, Business Administration, 1/1/82 through 5/30/82.

Trebby, James P., Instructor, Accounting, 8/16/81 through 5/30/82.

Yammarino, Francis J., Instructor, Business Administration, 1/1/82 through 6/30/82.

College of Engineering

Gross, Todd Stuart, Assistant Professor, Metallurgical Engineering, 7/1/81 through 6/30/83.

College of Fine Arts

Lewis, Linda Burson, Instructor, Theatre, 8/16/81 through 6/30/82.

Shafferman, Jean Anne, Instructor, Music, 8/16/81 through 5/30/82.

Graduate Center for Public Administration

Hartman, Donald G., Visiting Instructor (part-time), Graduate School, 6/1/81 through 6/1/82.

Ramsey, James Richard, Visiting Assistant Professor (part-time), Graduate School, 6/1/81 through 6/1/82.

Libraries

Hunt, Cathy S., Librarian IV, Music Library, 8/15/81 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

Edwards, Beverly, Clinical Instructor (voluntary), Allied Health Education and Research, 5/11/81 through 6/30/82.

Faith, Jane, Clinical Instructor (voluntary), Allied Health Education and Research, 5/11/81 through 6/30/82.

Huylebroeck, Michael, Clinical Instructor (voluntary),

Physical Therapy, 3/27/81 through 6/30/82. Jackson, Curtis, Clinical Instructor (voluntary), Allied Health Education and Research, 5/11/81 through 6/30/82.

^{*}Special Title Series

- Rushing, Elinor, Clinical Instructor (voluntary), Allied Health Education and Research, 5/11/81 through 6/30/82.
- Wade, Robert, Clinical Instructor (voluntary), Community Health, 3/15/81 through 6/30/82.

College of Dentistry

- Blevins, Walter, Jr., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Buttermore, Julia B., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Fifield, Jack, Clinical Instructor (voluntary),
- Community Dentistry, 6/1/81 through 6/30/82.
- Greer, James R., Assistant Clinical Professor (voluntary), Community Dentistry, 2/1/81 through 6/30/82.
- Hayes, Edward B., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Kereiakes, Spero, Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Marx, S. Gerald, Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- McMahon, Timothy J., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Owen, Douglas, Assistant Clinical Professor (voluntary), Oral Diagnosis and Oral Medicine, 4/1/81 through 7/31/81.
- Wong, Paul H. L., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.
- Wyatt, Stanley J., Clinical Instructor (voluntary), Community Dentistry, 6/1/81 through 6/30/82.

College of Medicine

- Apesos, James, Assistant Professor, Surgery, 6/1/81 through 6/30/82.
- Geil, John D., Assistant Professor* (temporary), Pediatrics, 7/1/81 through 6/30/82.
- Graves, Kathleen, Assistant Professor, Obstetrics and Gynecology, 7/1/81 through 6/30/82.
- Holbrook, Marc L., Clinical Instructor (part-time) (temporary), Medicine, 4/23/81 through 6/30/81.
- Lierl, Jerry J., Clinical Instructor (part-time), Medicine, 7/1/81 through 6/30/82.
- Metcalfe, Monty S., Clinical Instructor (part-time), Medicine, 7/1/81 through 6/30/82.
- Mier, Richard J., Assistant Professor*, Pediatrics, 7/1/81 through 6/30/82.

^{*}Special Title Series

```
Mossman, Robert G., Assistant Professor, Anesthesiology,
     5/1/81 through 5/30/82.
```

Paciotti, Mark V., Clinical Instructor (part-time), Medicine, 7/1/81 through 5/30/82.

Spires, Stephen M., Assistant Clinical Professor (parttime), Surgery, 6/1/81 through 5/30/82.

Thompson, Dorothy, Assistant Professor, Obstetrics & Gynecology, 7/1/81 through 6/30/82.

Vinson, Carey T., III, Clinical Instructor (voluntary), Family Practice, 7/1/81 through 6/30/82.

Woods, Robert D., II, Assistant Clinical Professor (voluntary), Surgery, 5/1/81 through 6/30/82. Wrobel, Sylvia, Instructor (temporary), Behavioral

Science, 7/1/81 through 6/30/82.

College of Nursing

Bailey, Patricia M., Assistant Professor*, 8/1/81 through 6/30/82.

College of Pharmacy

Akin, Joe W., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Baird, Robert J., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Chu, John Y., Assistant Research Professor, 3/15/81 through 6/30/82.

Huff, David N., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Huffman, Ron, Clinical Instructor (voluntary), 5/1/81 through 5/30/82.

Jay, Michael, Assistant Professor, 7/1/81 through 5/30/82.

McFarland, John E., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

McKinney, Louis D., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

McQueen, Stella M., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Partin, Gary L., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Payne, Russell I., Clinical Instructor (voluntary), 1/1/81 through 5/30/82.

Rollins, Donald T., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

Sparkman, Robert P., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

^{*}Special Title Series

Stacey, Rodney C., Clinical Instructor (voluntary), 5/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Jefferson Community College

Algren, John, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.

Bizot, David, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.
Graham, S. Lyle, Instructor in the Community College

System (voluntary), 6/1/81 through 5/31/82.

Lloyd, John, Instructor in the Community College System

(voluntary), 6/1/81 through 5/31/82. McClain, Cheryl, Instructor in the Community College

System (voluntary), 6/1/81 through 5/31/82. Pajo, Fernando, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.

Roe, Elsa, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.

Wendell, Leon, Instructor in the Community College System, 6/1/81 through 5/31/82.

Southeast Community College

Saylor, Ellen, Instructor in the Community College System, 8/1/81 through 6/30/82.

K. Administrative Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Christopher, John E., Associate Professor (with tenure), Physics and Astronomy, named Associate Dean for Advanced Studies, effective 7/1/81.

College of Fine Arts

Tharsing, Robert, Associate Professor (with tenure), Art, and Acting Chairman, Art, renamed Acting Chairman, Art, 7/1/81 through 6/30/82.

College of Law

Stevens, Carroll, Associate Dean, renamed Associate Dean, effective 7/1/81.

^{*}Special Title Series

MEDICAL CENTER

College of Dentistry

Tuncay, Orhan, Associate Professor (with tenure), Orthodontics, renamed Acting Chairman, Orthodontics, 7/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Lexington Technical Institute

Jaggard, Sharon B., Associate Professor in the Community College System (with tenure), named Associate Director for Academic Affairs, effective 7/1/81.

L. Non-Academic Appointments

DIVISION OF COLLEGES

College of Engineering

Nickell, William T., Biomedical Scientist, Wenner-Gren Research Laboratory, effective 5/1/81.

Institute for Mining and Minerals Research

Adkins, William K., from Scientific Analyst Programmer Position #2177, to Data Systems Director Position #2285, effective 6/1/81. (Promotion)

Kentucky Geological Survey

Dinger, James S., Geologist IV, Graduate School, effective 6/15/81.

MEDICAL CENTER

University Hospital

Simmons, John, Director of Social Services, Social Services Department, effective 6/1/81.

^{*}Special Title Series

M. Joint Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Lowery, David L., Assistant Professor, Political Science, joint appointment with James W. Martin Graduate Center for Public Administration, 7/1/81 through 6/30/83.

MEDICAL CENTER

College of Dentistry

Pennington, Robin L., Clinical Instructor (voluntary), Community Dentistry, joint with Endodontics, 3/1/81 through 6/30/83.

N. Reappointments

DIVISION OF COLLEGES

College of Agriculture

Gentry, Rebecca C., Instructor, Animal Sciences, 7/1/81 through 6/30/82.

Winn, Karen E., Instructor, Animal Science, 7/1/81 through 6/30/82.

College of Architecture

Sallee, Eric C., Visiting Instructor, 6/1/81 through 7/31/81.

College of Arts and Sciences

Belmore, Susan M., Assistant Professor, Psychology, 7/1/81 through 6/30/82.

Berry, William D. Assistant Professor, Political Science, joint with James W. Martin Graduate Center for Public Administration, 7/1/81 through 6/30/83.

Bolick, Teresa L., Assistant Professor, Psychology, 7/1/81 through 6/30/82.

Brill, Joseph W., Assistant Professor, Physics and Astronomy, 7/1/81 through 6/30/82.

Burchfield, Susan R., Assistant Professor, Psychology, 7/1/81 through 6/30/82.

^{*}Special Title Series

- Candy, Sandra G., Assistant Professor, Psychology, 7/1/81 through 6/30/82.
- Carland, John M., Assistant Professor, History, 7/1/81 through 6/30/82.
- Cromley, Robert G., Assistant Professor, Geography, 7/1/81 through 6/30/82.
- Crowley, Philip H., Assistant Professor, Biological Sciences, 7/1/81 through 5/30/82.
- DeLong, Lance E., Assistant Professor, Physics and Astronomy, 7/1/81 through 6/30/82.
- Fanwick, Phillip E., Assistant Professor, Chemistry, 7/1/81 through 6/30/83.
- Fisher, Stuart G., Assistant Professor, Psychology, 7/1/81 through 6/30/82.
- Govan, Sandra Y., Assistant Professor, English, 7/1/81 through 6/30/83.
- Griffith, William S., Assistant Professor, Statistics, 7/1/81 through 6/30/83.
- Hanson, Barbara A., Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.
- Hershberger, Robert L., Assistant Research Professor, Physics and Astronomy, 7/1/81 through 6/30/82.
- Holle, Bruce F., Assistant Professor, History, 7/1/81 through 6/30/82.
- Holler, Floyd James, Assistant Professor, Chemistry, 7/1/81 through 6/30/83.
- Howard, Don A., Assistant Professor, Philosophy, 7/1/81 through 6/30/82.
- Hubbard, Michael, Assistant Professor, Psychology, 7/1/81 through 6/30/82.
- Iwaya, Makoto, Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.
- Jelen, Ted G., Visiting Assistant Professor, Political Science, 7/1/81 through 6/30/82.
- Kaplan, Steven J., Assistant Professor, Mathematics, 7/1/81 through 6/30/82.
- Keohane, John J., Assistant Professor, Computer Science, 7/1/81 through 6/30/83.
- Lawrence, James F., Assistant Professor, Mathematics, joint with Computer Science, 7/1/81 through 6/30/83.
- Leary, James P., Assistant Professor, English, 7/1/81 through 6/30/83.
- Likens, Thomas W., Assistant Professor, Political Science, 7/1/81 through 12/31/81.
- Lorch, Robert F., Jr., Assistant Professor, Psychology, 7/1/81 through 6/30/82.
- Lowery, Carol R., Assistant Professor, Psychology, 7/1/81 through 6/30/82.

^{*}Special Title Series

Morgan, Janet Lynn, Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.

Moulton, Janice, Assistant Professor, Philosophy, 7/1/81 through 6/30/82.

Osgood, Christopher, Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.

Pannill, Linda, Assistant Professor, English, 7/1/81 through 6/30/83.

Pepper, George H., Assistant Professor, Physics and Astronomy, 7/1/81 through 6/30/82.

Rusbult, Caryl E., Assistant Professor, Psychology, 7/1/81 through 6/30/82.

Smith, Daniel Blake, Assistant Professor, History,

7/1/81 through 6/30/82. Subbaswamy, K. R., Assistant Professor, Physics and Astronomy, 7/1/81 through 6/30/82.

Thomas, Harvey Floyd, Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.

Weisenburger, Steven C., Assistant Professor, English, 7/1/81 through 6/30/83.

Williams, Arthur L., Assistant Professor, Biological Sciences, 7/1/81 through 6/30/82.

^{*}Special Title Series

Wood, Constance L., Assistant Professor, Statistics, 7/1/81 through 6/30/82.

College of Business and Economics

Bjorklund, Richard A., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Carpenter, Michael D., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Chen, C. Richard, Assistant Professor, Accounting, 7/1/81 through 6/30/82.

Chew, I. Keong, Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Clark, Myrtle W., Assistant Professor, Accounting, 7/1/81 through 6/30/82.

Clark, Stephen A., Assistant Professor, Economics, 7/1/81 through 6/30/82.

Fackler, James, Assistant Professor, Economics, 7/1/81 through 6/30/82.

Freeman, James W., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Gray, C. Michael, Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Ingram, Thomas N., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Marino, Kenneth E., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Maris, Terry L., Assistant Professor, Business Administration, 7/1/81 through 6/30/82.

Marxsen, William, Assistant Professor, Economics, 7/1/81 through 6/30/82.

Webb, Michael, Assistant Professor, Economics, 7/1/81 through 6/30/82.

College of Communications

Church, Samuel M., Instructor (part-time),
Telecommunications, 8/16/81 through 12/31/81.
Clark, Maria B., Assistant Professor*, Journalism,
7/1/81 through 6/30/82.

College of Education

Benninga, Jacques, Assistant Professor, Curriculum and Instruction, joint with Department of Human Development and Family Relations, College of Home Economics, 7/1/81 through 6/30/82.

Clark, Charlotte, Assistant Professor, Special Education, 7/1/81 through 6/30/83.

Hall, John W., Assistant Professor, Health, Physical Education and Recreation, 7/1/81 through 6/30/82.

^{*}Special Title Series

Hiebert, James, Assistant Professor, Curriculum and Instruction, 7/1/81 through 6/30/83.

Hunt, Sharon, Assistant Professor, Health, Physical Education and Recreation, 7/1/81 through 6/30/83.

Remer, Pamela, Assistant Professor, Educational

Psychology and Counseling, 7/1/81 through 6/30/82. Sugai, George, Assistant Professor, Special Education, 7/1/81 through 5/30/83.

Wearne, Diane C., Assistant Professor, Curriculum and Instruction, 7/1/81 through 6/30/82.

Weiner, Andrew I., Assistant Professor, Health, Physical Education and Recreation, 7/1/81 through 6/30/82.

Wolery, Mark D., Assistant Professor, Special Education, 7/1/81 through 6/30/83.

College of Engineering

Black, James E., III, Instructor (part-time), Civil Engineering, 7/1/81 through 5/30/82.

Blandford, George E., Assistant Professor, Civil Engineering, 7/1/81 through 6/30/82.

Broomell, George D., Instructor (part-time), Electrical Engineering, 7/1/81 through 6/30/82.

Cheeks, Richard, Instructor (part-time), Engineering, 7/1/81 through 6/30/82.

Gorman, C. Thomas, Instructor (part-time), Civil Engineering, 7/1/81 through 5/30/82. House, Barry C., Instructor (part-time), Civil

Engineering, 7/1/81 through 6/30/82.

Roy, Dabasish K., Assistant Professor, Civil Engineering, 7/1/81 through 6/30/82.

Sterling, Harry J., Assistant Professor, Civil Engineering, 7/1/81 through 5/30/82.

College of Fine Arts

Ferguson, Kathleen, Visiting Assistant Professor, Art, 8/1/81 through 5/31/82.

Havice, Christine, Assistant Professor, Art, 7/1/81 through 5/30/82.

Nathan, Gail J., Visiting Assistant Professor, Art, 8/1/81 through 5/31/82.

College of Library Science

Wiegand, Wayne A., Assistant Professor, 7/1/81 through 6/30/82.

Libraries

^{*}Special Title Series

Frank, Catherine P., Librarian III, Law Library, 7/1/81 through 5/30/82.

Sexton, Ebba Jo, Librarian IV, Law Library, 7/1/81 through 5/30/82.

College of Social Work

Ballantine, John R., Assistant Professor*, 7/1/81 through 6/30/82.

Byars, Lauretta F., Instructor, 7/1/81 through 5/30/82. Sullivan, Nathan R., Instructor*, 7/1/81 through 6/30/82.

Taylor, Ann Hall, Assistant Professor*, 7/1/81 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

Abbott, Carmen Casanova, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 5/30/82.

Apts, David, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Bailey, Delpha, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Beeler, Susan, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Birke, James A., Clinical Instructor (voluntary),

Physical Therapy, 7/1/81 through 6/30/82.
Black, Cathy, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
Blankenship, Keith, Clinical Instructor (voluntary),

Physical Therapy, 7/1/81 through 6/30/82.

Click, James, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Cox, Bonnie, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Cromwell, Frances, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Cromwell, Russell, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Dombek, Robert H., Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Edinger, Patricia, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

^{*}Special Title Series

- Elliott, Katie, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Fabrizio, Frederick L., Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Fowler, Susan, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.
- Frank, David, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Gipson, Georgina, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Grimsley, Sandra, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Hartner, Sandra J., Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Hatchett, Susan, Clinical Instructor (voluntary),
 Physical Therapy, 7/1/81 through 6/30/82.
- Hay, Carolyn S., Clinical Instructor (voluntary), Community Health, 7/1/81 through 5/30/82.
- Hinton, Kenneth B., Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Holderman, Kathy S., Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Holien, Pamela, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.
- Howard, Ed, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Hurst, Janice B., Clinical Instructor (voluntary), Community Health, 7/1/81 through 6/30/82.
- Joell, Sister Mary, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Jones, Ann P., Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Kelly, Margaret, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Lamping, Sister Mary Jean Clair, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Lange, Don, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Martin, Glover, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

^{*}Special Title Series

- Martin, Mary Evans, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/81 through 5/30/82.
- Mays, Kay, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.
- McKeon, Robert, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Miller, Wayne B., Clinical Instructor (voluntary), Community Health, 7/1/81 through 6/30/82.
- Mullins, Sally, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Murphy, Sister Ann, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 1/1/82.
- Napier, Marcia, Clinical Instructor (voluntary), Community Health, 7/1/81 through 6/30/82.
- Peterson, Sister Dorothy, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Powers, Carmen, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Puckett, Deborah, Clinical Instructor (voluntary),
- Physical Therapy, 7/1/81 through 6/30/82. Reed, Linda, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Renneker, Nancy W., Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Robillard, Helen, Instructor, Allied Health Education and Research, 7/1/81 through 6/30/82.
- Ruhl, Mary Ruth, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Scruggs, Samuel, Assistant Clinical Professor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.
- Spence, James W., Jr., Clinical Instructor (voluntary),
 Physical Therapy, 7/1/81 through 6/30/82.
- Stenken, Sister Marian, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.
- Tabor, Sherry R., Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.
- Thomas, R. Michael, Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 6/30/82.

^{*}Special Title Series

Tigas, Lourenta B., Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.

Weitlauf, David, Clinical Instructor (voluntary), Physical Therapy, 7/1/81 through 6/30/82.

Welch, Patricia L., Clinical Instructor (voluntary), Allied Health Education and Research, 7/1/81 through 5/30/82.

Wells, Joberta, Clinical Instructor (voluntary), Medical Technology, 7/1/81 through 6/30/82.

Wilson, Albert Lee, Clinical Instructor (voluntary), Community Health, 7/1/81 through 6/30/82.

College of Dentistry

Diamond, Olwyn, Assistant Professor, Orthodontics, 7/1/81 through 7/31/81.

Yozwiak, Raymond A., Assistant Professor (part-time), Orthodontics, 7/1/81 through 5/30/82.

College of Medicine

Coffey, Charles W., Assistant Professor (Adjunct), Radiation Medicine, 7/1/81 through 6/30/82.

Falace, Peggy, Assistant Clinical Professor (part-time), Obstetrics and Gynecology, 7/1/81 through 5/30/82.

Feola, Jose M., Assistant Clinical Professor (parttime), Radiation Medicine, 7/1/81 through 6/30/82.

Pulito, Andrew R., Assistant Clinical Professor (part-

time), Surgery, 7/1/81 through 5/30/82. Wilson, Leonard C., Assistant Professor (Adjunct), Radiation Medicine, 7/1/81 through 6/30/82.

College of Nursing

Black, Agnes, Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/82.

Culler, Arvilla Anna, Clinical Instructor (voluntary), 7/1/81 through 6/30/82.

Dozier, Suzanne, Assistant Clinical Professor

(voluntary), 7/1/81 through 6/30/82.
Moller, Jill, Clinical Instructor (voluntary), 7/1/81
 through 5/30/82.

Poe, Deirdre, Clinical Instructor (voluntary), 7/1/81 through 6/30/82.

Smith, Joan Paula, Clinical Instructor (voluntary), 7/1/81 through 6/30/82.

College of Pharmacy

^{*}Special Title Series

Clark, William E., Clinical Instructor (voluntary), 7/1/81 through 6/30/84.

Cone, Edward J., Assistant Adjunct Professor (voluntary), 7/1/81 through 5/30/82.

Cummings, Ted A., Clinical Instructor (voluntary), 7/1/81 through 5/30/84.

Keller, Paul D., III, Clinical Instructor (voluntary), 7/1/81 through 6/30/82.

Nerstad, Darrel C., Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/84.

Slusher, Danny L., Clinical Instructor (voluntary), 7/1/81 through 6/30/84.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Adkins, Nancy Sturgill, Assistant Professor in the

Community College System, 7/1/81 through 6/30/82. Bryson, Albert M., Librarian IV in the Community College System, 7/1/81 through 6/30/82.

Cyrus, Jack R., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Conley, Richard R., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Campbell, Kenneth D., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Graham, Carolyn S., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Keeton, Janet, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Nicholls, Barbara, Instructor in the Community College System, 7/1/81 through 5/30/82.

Reed, Sharon, Instructor in the Community College System, 7/1/81 through 6/30/82.

Smith, Sandra Mitchell, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Wolfe, Mary Kathleen, Instructor in the Community College System, 7/1/81 through 6/30/82.

Elizabethtown Community College

Ballard, Sue Kelly, Instructor in the Community College System, 7/1/81 through 6/30/82.

Buckles, Adrian Dale, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Buckles, Diane B., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

Cantrell, Charles C., Instructor in the Community College System, 7/1/81 through 6/30/82. Green, Gary Martin, Assistant Professor in the Community College System, 7/1/81 through 5/30/82. Harris, Robert L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Judd, Mildred L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Novak, Robert E., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Owsley, Wanda Diane, Assistant Professor in the Community College System, 7/1/81 through 5/30/82. Perkins, Marolyn S., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Price, Elizabeth Sue, Instructor in the Community College System, 7/1/81 through 6/30/82. Redel, Ruth E., Instructor in the Community College System, 7/1/81 through 6/30/82. Simpson, Martha J., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Sisk, Delmar Wayne, Instructor in the Community College System, 7/1/81 through 6/30/82. Williams, Janice J., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Hazard Community College

Anthony, Joseph, Instructor in the Community College
System, 7/1/81 through 6/30/82.

Campbell, Robert E., Assistant Professor in the
Community College System, 7/1/81 through 6/30/82.

Godsey, Marda Lee, Assistant Professor in the Community
College System, 7/1/81 through 6/30/82.

Louhrasbi, Jahanshah, Instructor in the Community
College System, 7/1/81 through 6/30/82.

Stern, Robert J., Instructor in the Community College
System, 7/1/81 through 6/30/82.

Taylor, Sandra Elaine, Assistant Professor in the
Community College System, 7/1/81 through 6/30/82.

Willenbrink, Robert H., Jr., Assistant Professor in the
Community College System, 7/1/81 through 6/30/82.

Henderson Community College

Bowman, Arlene M., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Cousert, David C., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

Finney, Clara LaVerne, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Garrett, Phyllis, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Hughes, Kathy L., Assistant Professor in the Community College System, 7/1/81 through 5/30/82.

Mihankhah, Kianpour, Instructor in the Community College System, 7/1/81 through 6/30/82.

Stevens, Cathy D., Instructor in the Community College System, 7/1/81 through 6/30/82.

Strawn, Anthony A., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Tammes, B. Beth, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Thomas, Stephen R., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Hopkinsville Community College

Atkins, Cynthia F., Librarian III in the Community

College System, 7/1/81 through 6/30/82.
Balthaser, Gretchen, Instructor in the Community College System, 7/1/81 through 6/30/82.

Carter, David, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Felton, Kevin E., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Liles, Betty P., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Moreton, Libby A., Instructor in the Community College System, 7/1/81 through 6/30/82.

Murray, Josephine I., Instructor in the Community College System, 7/1/81 through 6/30/82.

Peden, Ann R., Instructor in the Community College System, 7/1/81 through 5/30/82.

Sanders, Mary E., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Shehan, Thomas G., Jr., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Vick, David O., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Walston, Larry L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Jefferson Community College

Anderson, Lee Roy, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

```
Baughman, James, Assistant Professor in the Community
     College System, 7/1/81 through 5/30/82.
Bloyd, Deborah, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Boor, Brenda, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Brooks, David, Assistant Professor in the Community
     College System, 7/1/81 through 5/30/82.
Bryant, Nowell, Jr., Assistant Professor in the
     Community College System, 7/1/81 through 5/30/82.
Burnett, Sandy, Instructor in the Community College
     System (voluntary), 6/1/81 through 5/31/82.
Bush, Sherman L., Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Butcher, Barry, Instructor in the Community College
     System (voluntary), 6/1/81 through 5/31/82.
Calhoun, Diane M., Instructor in the Community College
     System, 7/1/81 through 6/30/82.
Cleveland, Alice, Assistant Professor in the Community
College System, 7/1/81 through 6/30/82. Collingsworth, David, Instructor in the Community
     College System (voluntary), 6/1/81 through 5/31/82.
Collins, Brenda A., Instructor in the Community College
System (voluntary), 6/1/81 through 5/31/82. Davis, Helen, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
DeStaphanis, Augustus, Instructor in the Community
     College System, 7/1/81 through 6/30/82.
Edwards, Carolyn, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Fenimore, Patricia R., Instructor in the Community
     College System, 7/1/81 through 6/30/82.
Grimsley, John, Instructor in the Community College
     System (voluntary), 6/1/81 through 5/31/82.
Hanel, John, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Harkins, Linda D., Instructor in the Community College
     System, 7/1/81 through 6/30/82.
Hemdahl-Owen, Ann, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
Hendricks, Paul, Instructor in the Community College
     System (voluntary), 6/1/81 through 5/31/82.
Hillenbrand, Catherine, Assistant Professor in the
     Community College System, 7/1/81 through 6/30/82.
Hovecamp, Dennis, Instructor in the Community College
     System (voluntary), 6/1/81 through 5/31/82.
James, Muriel, Assistant Professor in the Community
     College System, 7/1/81 through 6/30/82.
```

^{*}Special Title Series

- Kelly, Alan, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Kimble, Charles, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Layne, Carol S., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Lewis, Carol M., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Mason, Barbara, Instructor in the Community College System, 7/1/81 through 5/30/82.
- Misbach, Michael J., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Muessle, Charles L., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Newberry, Anthony, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Nguyen, Hai, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Noe, Phyllis, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.
- O'Daniel, Carolyn, Assistant Professor in the Community College System, 7/1/81 through 5/30/82.
- Oluwajuyemi, Olatunji, Instructor in the Community College System, 7/1/81 through 6/30/82.
- Papania, Barry, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.
- Parker, William, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Peccioni, Frank T., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Qualls, Barbara, Instructor in the Community College System, 7/1/81 through 6/30/82.
- Robinson, Wendell P., Assistant Professor in the Community College System, 7/1/81 through 5/30/82.
- Schiff, Elaine, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Steger, Randy, Assistant Professor in the Community College System, 7/1/81 through 5/30/82.
- Wilkey, Billy G., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Wright, Margie, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Ziegler, Charlene, Instructor in the Community College System (voluntary), 6/1/81 through 5/31/82.

Lexington Technical Institute

Alexander, Otmer, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

```
Beatty, Eunice A., Assistant Professor in the Community
      College System, 7/1/81 through 6/30/82.
Birchfield, Martha J., Librarian III in the Community
College System, 7/1/81 through 6/30/82.
```

Boyatt, Iva D., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Brown, Maxine P., Librarian IV in the Community College System, 7/1/81 through 6/30/82.

Carpenter, Gail V., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Connell, James J., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Dameron, Arthur A., Instructor in the Community College System, 7/1/81 through 6/30/82.

Davis, Kathleen C., Instructor in the Community College System, 7/1/81 through 6/30/82.

Davis, Sharon, Instructor in the Community College System, 7/1/81 through 6/30/82.

Isert, Joseph W., Jr., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Kaiser, David, Instructor in the Community College System, 7/1/81 through 6/30/82.

McAdams, Luann, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

McDermott, Pat, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

McGee, Robert G., Instructor in the Community College System, 7/1/81 through 6/30/82.

McGee, Linda S., Instructor in the Community College System, 7/1/81 through 6/30/82.

McLaughlin, Mary J., Instructor in the Community College System, 7/1/81 through 6/30/82.

Maggard, Robert, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Owen, Elizabeth L., Instructor in the Community College System, 7/1/81 through 6/30/82.

Pagan, Bonnie S., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Perry, Kenneth L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Rizk, Carol A., Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Roberts, Francis A., III, Assistant Professor in the

Community College System, 7/1/81 through 5/30/82.

Sanders, Jacqueline B., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Saunier, Margaret E., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

- Sewell, Marilyn, Instructor in the Community College System, 7/1/81 through 9/30/81.
- Shaughnessy, Katherine, Instructor in the Community College System, 7/1/81 through 5/30/82.
- Turner, Vivian L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Walker, Robert A., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Wasielewski, Ronald, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Weeks, Betty B., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Madisonville Community College

- Craig, Sandra A., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Hansrote, Joan E., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Lee, Charlotte J., Assistant Professor in the Community College System, and Associate Director for Instruction, 7/1/81 through 6/30/82.
- McNeely, Bonnie L., Instructor in the Community College System, 7/1/81 through 6/30/82. McNeely, Samuel E., Instructor in the Community College
- System, 7/1/81 through 6/30/82.
- Moore, Judith, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Rhoads, Judith L., Assistant Professor in the Community
- College System, 7/1/81 through 6/30/82. Schuermer, David A., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Smith, Lorretta, Librarian IV in the Community College System, 7/1/81 through 6/30/82.

Maysville Community College

- Baum, Christiana, Librarian III in the Community College System, 7/1/81 through 5/30/82.
- Davis, Joe D., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Klee, John R., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Kooyman, Ruth M., Instructor in the Community College System, 7/1/81 through 5/30/82.
- Sharp, Mary Jane (Sullivan), Instructor in the Community College System, 7/1/81 through 6/30/82.
- Webb, Patricia J., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

White, Mary Carol, Assistant Professor in the Community College System, 7/1/81 through 5/30/82.

Paducah Community College

Anderson, Sherry (Chipps), Instructor in the Community College System, 7/1/81 through 6/30/82.

Beyer, Carol A., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

DiSilvestro, Richard A., Instructor in the Community

College System, 7/1/81 through 6/30/82.

Downs, Donna J., Instructor in the Community College System, 7/1/81 through 6/30/82.

Hatfield, Ronald S., Instructor in the Community College System, 7/1/81 through 6/30/82.

Hennessy, James V., Assistant Professor in the Community College System, and Assistant Director for Academic Affairs, 7/1/81 through 6/30/82.
Irby, Betsy C., Assistant Professor in the Community

College System, 7/1/81 through 5/30/82.

Jones, Florence, Instructor in the Community College System, 7/1/81 through 6/30/82.

Knoth, Marilyn B., Instructor in the Community College system, 7/1/81 through 6/30/82.

Kursave, Nancy, Instructor in the Community College System, 7/1/81 through 6/30/82.

Parker, Charles D., Instructor in the Community College System, 7/1/81 through 6/30/82.

Webb, Jill, Instructor in the Community College System, 7/1/81 through 6/30/82.

Prestonsburg Community College

Bottoms, Jenny M., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Butts, Michele T., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Fish, Celia A., Instructor in the Community College System, 7/1/81 through 6/30/82.

Garrett, Clara N., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Klinnert, Sister Joanne, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Little, Glennis S., Instructor in the Community College System, 7/1/81 through 5/30/82.

Llewellyn, Nancy R., Librarian IV in the Community College System, 7/1/81 through 6/30/82.

Nutter, Susan S., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

Somerset Community College System

- Abbott, Martha, Assistant Professor in the Community College System, 7/1/81 through 5/30/82.
- Bell, Susan Hart, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Boggs, Bob R., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Helenic, Martin J., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- McNeil, Virginia, Assistant Professor in the Community College System, and Coordinator - Associate Degree
- Nursing Program, 7/1/81 through 5/30/82. Merrill, Stephen M., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Mounce, Karen G., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Patton, Rodney L., Assistant Professor in the Community
- College System, 7/1/81 through 6/30/82.
 Rogers, Daniel W., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Rogers, Deborah L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Tate, Roger D., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Whitehead, Sharon F., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Southeast Community College

- Blair, James P., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Buell, Elijah, Jr., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Cloud, Harriet G., Instructor in the Community College
- System, 7/1/81 through 6/30/82.

 Jestes, Marilyn G., Instructor in the Community College System, 7/1/81 through 6/30/82.
- Pack, Carol L., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Selwyn, Laurie, Librarian IV in the Community College System, 7/1/81 through 6/30/82.
- Sundy, Carolyn, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Wigginton, Mark A., Instructor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

O. Promotions (without tenure)

DIVISION OF COLLEGES

College of Arts and Sciences

Tidwell, John Edgar, from Instructor, English, to Assistant Professor, English, 7/1/81 through 6/30/82.

College of Communications

Malott, Glen A., from Instructor, Journalism, to Assistant Professor*, Journalism, 7/1/81 through 5/30/82.

College of Education

Bannister, Judy, from Instructor, Health, Physical Education and Recreation, to Assistant Professor*, Health, Physical Education and Recreation, 7/1/81 through 6/30/83.

MEDICAL CENTER

College of Allied Health Education

Lambert, Judine, from Instructor, Allied Health Education and Research, joint with Dental Hygiene, to Assistant Professor*, Allied Health Education and Reserach, joint with Dental Hygiene, 7/1/81 through 5/30/82.

College of Nursing

Castle, Annette, from Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/82.

Hynson, Nancy, from Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/82.

^{*}Special Title Series

- Martin, Sally, from Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/82.
- McKinley, Jean E., from Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), 7/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

- Conaway, Vicki, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82. Virgin, Mary Louise, from Instructor in the Community
- Virgin, Mary Louise, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Elizabethtown Community College

Hill, Wesley M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Hazard Community College

- Eason, Alyce, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Garrison, Martha Taylor, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Lynes, Billie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Nagda, Durga S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Henderson Community College

- Christian, John T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Heath, Debra P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

Meyer, Mona Lynn, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/81 through 5/30/82.

Hopkinsville Community College

- Adams, Mary Helen, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Duncan, Terry, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Lewis, Robert H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Morris, Edward J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Jefferson Community College

- Benz, Linda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Bush, Cynthia T., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Fowler, Joseph L., Jr., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Godfrey, Linda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Henry, Betty, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Hoover, Nancy L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- James, Alice H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Lexington Technical Institute

Beam, Carolyn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 5/30/82.

^{*}Special Title Series

- Fine, Bettye, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- College System, 7/1/81 through 6/30/82.

 Hisel, Gary F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Maggard, Sarah H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 5/30/82.
- Robertson, Marshall, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Smith, Michael L., from Instructor in the Community College System. to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Madisonville Community College

- Vail, James A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Walker, Donald C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 5/30/82.

Maysville Community College

Easton, Kathy J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Paducah Community College

- Crisp, Jayne C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.
- Woodward, Yvonne J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Prestonsburg Community College

- Archer, Vickey C., from Instructor in the Community College System, to Assistant Professor in the Community College, 7/1/81 through 6/30/82.
- Cantrell, Etta L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

^{*}Special Title Series

Southeast Community College

Boggs, Steven Parker, from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/81 through 6/30/82.
Borntrager, Milton, from Instructor in the Community

Borntrager, Milton, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Irvin, Ronnie G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

P. Change

DIVISION OF COLLEGES

Libraries

Courtney, John M., from Visiting Librarian IV, Special Collections, to Librarian IV, Special Collections, 5/1/81 through 6/30/81.

MEDICAL CENTER

College of Medicine

Kistler, Lane A., from Assistant Professor, Medicine, to Assistant Professor*, Medicine, 5/1/81 through 6/30/82.

Tynan, Daniel R., from Assistant Professor, Neurology, to Assistant Professor*, Neurology, 5/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Madisonville Community College

Garmon, Rebecca L., from Assistant Professor in the Community College System, Somerset Community College, to Assistant Professor in the Community College System, Madisonville Community College, 7/1/81 through 5/30/82.

^{*}Special Title Series

Q. Terminal Reappointments

DIVISION OF COLLEGES

College of Arts and Sciences

Fink, Karl J., Assistant Professor, Germanic Languages and Literature, 7/1/81 through 6/30/82.

Friberg, Justin C., Assistant Professor, Geography, 7/1/81 through 6/30/82.

Gardiner, Duncan B., Assistant Professor, Slavic and Oriental Languages and Literatures, 7/1/81 through 5/30/82.

Gavere, Ann K., Assistant Professor, English, 7/1/81 through 5/30/82.

Libbey, Elizabeth B., Assistant Professor, English, 7/1/81 through 6/30/82.

Precourt, Walter E., Assistant Professor, Anthropology, 7/1/81 through 6/30/82.

Scott, Eugenie C., Assistant Professor, Anthropology, 7/1/81 through 6/30/82.

College of Business and Economics

Alvis, John M., Instructor, Accounting, 7/1/81 through 6/30/82.

Edwards, Robert, Instructor, Business Administration, 7/1/81 through 6/30/82.

Gray, Yvonne L., Instructor, Accounting, 7/1/81 through 5/30/82.

College of Education

Litchfield, Carolyn G., Assistant Professor, Vocational Education, 7/1/81 through 6/30/82.

MEDICAL CENTER

College of Dentistry

Murray, Bruce, Assistant Research Professor, Community Dentistry, 7/1/81 through 11/30/81.

^{*}Special Title Series

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Williams, Ronnie Lee, Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

Madisonville Community College

Riddle, Jerry M., Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

R. Leaves of Absence

DIVISION OF COLLEGES

College of Architecture

Deamer, Margaret, Assistant Professor, Leave without pay, 8/16/81 through 6/30/82.

Greene, Herbert, Professor (with tenure), Sabbatical at half salary, 8/1/81 through 12/31/81, Leave without pay, 1/1/81 through 6/30/82.

Vuyosevich, Robert D., Assistant Professor, Leave without pay, 8/16/81 through 6/30/82.

College of Arts and Sciences

Baxter, Anthony, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 7/1/81 through 5/30/82.

Collins, Michael B., Associate Professor (with tenure), Anthropology, Leave without pay, 7/1/81 through 6/30/82.

Coz, Marcel, Professor (with tenure), Physics and Astronomy, Sabbatical leave with half-salary, 7/1/81 through 6/30/82.

Moulton, Janice, Assistant Professor, Philosophy, Leave without pay, 7/1/81 through 5/30/82.

Sathaye, Avinash, Associate Professor (with tenure), Mathematics, Leave without pay, 8/1/81 through 5/8/82.

College of Education

Warren, Richard, Professor (with tenure), Social and Philosophical Studies, Leave without pay, 8/15/81 through 8/15/82.

^{*}Special Title Series

College of Engineering

Haley, Ted D., Professor (with tenure), Mining Engineering, Leave without pay, 7/1/81 through 5/30/82.

College of Fine Arts

Collins, Georgia C., Associate Professor (with tenure), Art, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

Montgomery, Patricia, Associate Professor* (with tenure), Music, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

Rankin, Carolyn, Assistant Professor (with tenure), School of Music, Sabbatical leave with full salary, 7/1/81 through 12/31/81.

Libraries

Jones, Cheryl, Librarian II, (with tenure), Law Library, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

College of Social Work

Holmquist, Chester A., Associate Professor* (with tenure), Sabbatical leave with full salary, 8/16/81 through 12/31/81.

COMMUNITY COLLEGE SYSTEM

Elizabethtown Community College

Price, Elizabeth Sue, Instructor in the Community College System, Leave without pay, 7/1/81 through 6/30/82.

Maysville Community College

Foster, Peggy Bertram, Instructor in the Community College System, Leave without pay, 7/1/81 through 6/30/82.

^{*}Special Title Series

Paducah Community College

Doom, Donnie N., Associate Professor in the Community College System (with tenure), Leave without pay, 7/1/81 through 5/30/82.

Prestonsburg Community College

Turner, Carolyn C., Professor in the Community College System (with tenure), Sabbatical leave with full salary, 7/1/81 through 12/31/81.

Southeast Community College

Thomas, Bonnie J., Associate Professor in the Community College System (with tenure), and Acting Division Chairman, cancellation of approved leave at half salary for the period, 7/1/81 through 6/30/82.

II. Waiver of Part X.B.2 of the Governing Regulations

Recommendation: that approval be given for a waiver of Part X.B.2 of the <u>Governing Regulations</u> to permit the elimination of two years of prior service at the University of Kentucky from consideration in determining the maximum non-tenure period for Dr. Jay D. Rayburn at the University of Kentucky.

Background: According to Part X.B.2 of the Governing Regulations, for a faculty member who is called from another institution, provision is made for the possible waiver of all or part of a period of prior service at another institution, but not at the University of Kentucky, which involves significantly different institutional objectives or significantly different professional activity. Through an oversight, a request was made and administratively approved that two years of prior service at the University of Kentucky be waived and eliminated from consideration in determining the maximum non-tenure preiod for Dr. Jay D. Rayburn. Dr. Rayburn was informed about the approval of the requested waiver and the end of his probationary or non-tenure period consequently was established as June 30, 1984.

This case should be viewed as an exception to be considered on the basis of its own merits, and the related recommendation that approval be given for waiver of the pertinent regulation should

^{*}Special Title Series

not be construed as setting a pre cedent for waiver of prior service at the University of Kentucky.

Action	taken:	Approved	XX Disapprov	/ed	Other	
Date:	J	une 23	, 1981			

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

1. Appointments to the Board of Directors of UKRF

Recommendation: that the following members of the Board of Directors of the University of Kentucky Research Foundation be reappointed for three-year terms ending June 30, 1984: Mr. John Crockett (citizen member), Dr. Roger Eichhorn (faculty member), and Mr. William Sturgill (trustee member).

Background: The terms of Mr. Crockett, Dr. Eichhorn, and Mr. Sturgill expire June 30, 1981. All three men have been valuable members of the Board of Directors of UKRF, and it is the recommendation of the Nominating Committee of the Foundation that the above be reappointed for three-year terms ending June 30, 1984.

II. Patent Assignment

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters of Patent of the United States and the invention represented thereby: Larry D. Swetnam, James Hubert Casada and Linus Ross Walton, Serial No. 252,315, filed April 9, 1981.

Background: Larry D. Swetnam, James Hubert Casada and Linus Ross Walton have invented certain improvements in CROP HARVESTER INCLUDING A QUICKLY ADJUSTABLE SEMI-FLOATING, SELF-STEERING CUTTER HEAD. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

III. Patent Assignment

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters of Patent of the United States and the invention represented thereby: Larry D. Swetnam, James Hubert Casada and Linus Ross Walton, Serial No. 250,222, filed April 2, 1981.

Background: Larry D. Swetnam, James Hubert Casada and Linus Ross Walton have invented certain improvements in STICK HOLDER AND DEPOSITION DEVICE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action	taken:	Approved	<u>xx</u>	Disapproved	 Other	
Date:	June 23	3	, 19	81.		

PR 4

Members, Board of Trustees:

1980-81 BUDGET REVISIONS

Recommendation: that the following revisions in the 1980-81 budget be authorized and approved.

			Approved Budget	Revised Budget	Change
A.	Gen	eral Fund			
	1.	Income Estimates Student Fees Continuing Education Gifts and Grants The Fund for the Advance	\$2,125,500	\$2,187,600	\$ 62,100
		of Education and Research in the UK Medical Center Sales and Services Departmental Sales and		1,216,800	239,000
		Services	3,811,500	3,890,800	79,300 380,400
	2.	Expenditures Academic Affairs College of Engineering Continuing Education			
		and Extension Administration and Support	430,800	455,800	25,000
		General Library Graduate School James W. Martin Center NASA/UK Technology	3,125,600	3,127,600	2,000
		Applications Program Rehabilitation Coun		1,100	1,100
		Program Community College System Ashland Community	-0-	3,200	3,200
		College Elizabethtown	1,561,700	1,568,000	6,300
		Community College Somerset Community	1,364,500	1,367,000	2,500
		College	1,286,300	1,287,800	1,500

		Approved Budget	Revised Budget	Change
2.	Expenditures (Continued)			
	Medical Center			
	College of Dentistry		4 300 300	0 00 000
		\$ 89,100	\$ 109,100	\$ 20,000
	Faculty Salary		200 300	124 000
	Supplementation	163,200	298,100	134,900
	College of Medicine			
	Faculty Salary			
	Supplementation	67,500	134,400	66,900
	Ephraim McDowell Cancer			
	Research Foundation	-0-	16,800	16,800
	College of Nursing			
	Continuing Education	94,100	104,100	10,000
	Administration and Suppor			
	University Health Servi			
	Salary Supplementation	on 32,300	69,500	37,200
	Business Affairs			
	Public Safety Division			
	Parking	421,000	474,000	53,000
				380,400

3. Comments - Testing services income and continuing education fees will fund an increase in the Community Service programs at Ashland Community College and Elizabethtown Community College. Additional continuing education programs in the College of Dentistry, the College of Nursing, the College of Engineering and the Graduate School will be supported by student fees generated by the respective programs. Salary supplementation for the Colleges of Dentistry and Medicine and the University Health Service will be supported by an increase in the grant from the Fund for the Advancement of Education and Research in the UK Medical Center. Costs associated with an increase in the processing of dissertations in the M. I. King Library will be supported by graduation fees income. Increased parking revenue will be used by the Public Safety Division to fund a minibus and waiting stations. The Ephraim McDowell Research Foundation and the NASA/UK Technology Applications Program budgets will be funded with reimbursed costs income. Clinic supplies will be purchased with income generated by the Dental Hygiene Clinic at Somerset Community College.

			Approved Budget	Revised Budget	Change
в.	Res	tricted Fund			
	1.	Income Estimates	\$ 16,779,500	\$ 16,826,300	\$ 46,800
	2.	Expenditures			
		Academic Affairs			
		College of Business			
		and Economics			
		Business Administra	tion 38,500	42,500	4,000
		College of Fine Arts Theatre Arts	5,600	7,100	1,500
		College of Agricultur		7,100	1,500
		Animal Sciences	3,000	4,500	1,500
		Medical Center		•	
		College of Dentistry			
		Orthodontics	3,200	3,700	500
		College of Medicine		63.6 000	10 000
		Administration	606,200	616,200	10,000
		University Hospital	31,000	45,700	14,700
		Community College Syste			
		Elizabethtown Communi	254,500	264,500	10,000
		College University Wide	234,300	204,500	10,000
		Alumni Relations	3,800	4,100	300
		General Library	75,600	76,100	500
		Institute for Mining	.5,000	.0,100	230
		and Minerals Research	1,500	5,300	3,800
			•	**	46,800

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

			Approved Budget	Revised Budget	Change
c.	Aux	iliary Services			
	1.	Income Estimates	\$ 20,682,100	\$ 20,708,100	\$ 26,000
	2.	Expenditures Academic Affairs			
		4-H Camps Improvement Community College System		6,000	6,000
		Elizabethtown Communit College	202,100	222,100	20,000

Page Four

3. $\underline{\text{Comments}}$ - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.

D. Contracts and Grants Received

	Amount
Academic Affairs	
Agricultural Experiment Station	
Animal Sciences	
Dietary Zeolite for Improvement of	
Urea Diets (TO210)	\$ 8,400
Plant Pathology	
Role of Epicuticular Leaf Waxes in	
Resistance of Plants Against	
Powdery Mildews (T0205)	1,500
Medical Center	
College of Medicine	
Student Development	
Special Tutorial Program (T0230)	10,000
Biochemistry	
TdT and Nutrition Solid Phase	
Immunoassay (T0212)	20,000
Pathology	
Role of HCG/AFP Radioimmunodetection	
in the Management of Testicular Cancer (T0211)	35,000
	74,900

Action	taken:	Approved_	xx	Disapproved	Other
Date:_	Jur	ne 23	, 1	981.	

Office of the President June 23, 1981

PR 5

Members, Board of Trustees:

PATENT ASSIGNMENT

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters of Patent of the United States and the invention represented thereby: Juan G. Rodriguez, Serial No. 063,516, filed August 3, 1979.

Background: Juan G. Rodriguez has invented certain improvements in DETERRENT AGAINST MITE CONTAMINATION. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action	taken:	Approved	xx	Disapproved	 Other	
Date:	June 2	3	, 1981	•		

Office of the President June 23, 1981

PR 6

Members, Board of Trustees:

OPERATING BUDGET FOR 1981-82

Recommendation: that the 1981-82 Operating Budget as submitted, including the authorization set forth in the letter of transmittal be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1980-81. The Board of Trustees or the Executive Committee is empowered to make such changes in the budget as may from time to time be deemed necessary upon recommendation of the President.

Background: the 1981-82 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to members of the Board.

Action:	Approved_X	xDisapproved	Other
Date:	June 23	. 1981	

Office of the President June 23, 1981

PR 7

Members, Board of Trustees:

Approval of Agreement Between University of Kentucky and Kentucky Medical Services Foundation, Inc.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfied the "conditions" set forth in Section III B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 27, 1980 for the period July 1, 1980 through June 30, 1981. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and D, add a cost reduction incentive in Section 5 D, and incorporate in Section 6 changes approved by the Board on February 9, 1981. The dollar amounts have been revised to reflect the increased costs for 1981-82. The amount for professional liability insurance has been reduced from \$136,000 to \$134,000 and the amount for expenses attendant to the production of clinical income has been increased from \$1,308,000 to \$1,500,000.

Action	n taken:	Approved	xx	Disapproved	Other _	
Date:	June 2	³ , 1981				

THIS AGREEMENT made and entered into this _____ day of ________, 1981 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

- A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.
- B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.
- C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

- D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.
- E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978; said action is hereby incorporated by reference as if set out in full herein.
- F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

Section 2. DEFINITIONS

- A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978.
- B. "Clinical Income" means (i) all payments for professional services rendered to or with respect to patients

whether denominated as diagnosis, treatment, evaluation, or consultation and whether said payments be characterized as fees, retainers, or otherwise, and (ii) all payments whether direct or in kind, (excluding actual travel costs) for oversight or administration of, or consultation with, facilities, programs, or other operations providing care, services or evaluation relating to patients, and (iii) witness fees or other payments relating to depositions or other evaluations in the capacity of an expert witness. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University. The Fund for Advancement of Education in the University of Kentucky Medical Center and the Foundation.

Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges, with the exception of the billing and collection of the professional component of combined charges for institutional (hospital and clinical) and professional services. University hereby agrees to bill and collect said professional component of combined charges in accordance with University policies and procedures and to remit monthly to Foundation the amount collected which is attributable to the professional components of said combined charges. The determination of the amount collected which is attributable to professional components of such charges will be in accordance with the existing basis and method utilized by University for such determination.

Section 5. REIMBURSEMENT OF UNIVERSITY

- A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.
- B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate

account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 17 hereof.

- C. Foundation agrees to pay to University from said account, as reimbursement for the provision of professional liability insurance, the sum of One Hundred Thirty-four Thousand (\$134,000.00) Dollars in twelve (12) equal monthly installments, the first said installment being due and payable on July 31, 1981 and monthly thereafter until June 30, 1982.
- D. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income. University's actual annual costs, not to exceed the sum of One Million Five Hundred Thousand (\$1,500,000.00) Dollars. Payments hereunder shall be in the following manner:
- 1. Twelve (12) monthly installments of One Hundred Twenty Thousand Two Hundred and Thirty Five (\$120,235.00) Dollars each on the date provided in paragraph C hereinabove.
- 2. In addition, Foundation agrees to pay to University an amount not to exceed Fifty Seven Thousand One Hundred Eighty (\$57,180.00) Dollars for annual recurring personnel costs associated with program enhancements requested and approved by Foundation. Such payments to be added to payment specified in paragraph D.1. hereinabove.

- 3. If direct cost savings are experienced through the mutual management efforts of University Hospital and Foundation, the savings will be deducted from the \$1,442,820 yearly reimbursement and the monthly payments to University will be adjusted accordingly. Within 30 days of the end of each quarter, University Hospital and Foundation officials will reconcile the direct costs expenditures for clinic operations to determine if direct cost savings have been experienced. If it is determined that direct cost savings will be experienced during the last quarter of the 1981-82 fiscal year, Foundation will hold an estimated amount in escrow from the last monthly (June 1982) payment until the final quarter reconciliation is made.
- E. University will initiate an exhaustive and intensive effort to determine the actual cost to University attendant to the production of clinical income. It is expected this study will be completed by February 1, 1982. University agrees to close and constant involvement of Foundation in this effort.
- F. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognize that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

- B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology, for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1981 June 30, 1982 a sum not to exceed Thirteen Thousand (\$13,000.00) dollars.
- C. University agrees to pay to Foundation on behalf of members of the Department of Surgery, for the harvesting of transplantable kidneys from appropriate cadavers, for University Hospital, the sum of One Thousand (\$1,000.00) dollars for each kidney harvested. In addition, University shall pay to faculty, of the Department of Anesthesiology, variable fees on account of services rendered in connection with said harvests. Provided, however, that the fee established for the said surgical procedure shall be reduced, and an equitable refund of past fees shall be made in the event Medicare establishes lower limits for such professional services.
- D. In consideration of the furnishing of a computer prepared listing of Outpatient Diagnosis by Foundation to the Department of Patient Accounts of University Hospital on a monthly basis. University agrees to pay Foundation a fee of \$50.00 per listing, plus \$.03 per transaction.
- E. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, a fee of Forty Five (\$45.00) dollars for each such service rendered.

F. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1981, for services as described in paragraphs B, C, D and E hereinabove.

Section 7. ACADEMIC ENRICHMENT FUND

- A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, Foundation agrees that it will pay to The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to five (5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within ten (10) days after the close of each monthly accounting period.
- B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to five (5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

Section 8. PARTICULAR COVENANTS OF THE FOUNDATION

- A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.
- B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.
- C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obli-

gations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky,or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, cr scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c)(3) of the Internal Revenue Code of 1954, as amended.

Section 9. MEMBERSHIP AND BENEFITS

- A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.
- B. University agrees that it will require, as a condition of employment as a member of University's faculty in a

clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form indentical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

- C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding member—ship in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (i) termination benefits to former members as provided by action of Foundation's Board of Directors or (ii) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities.
- D. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 10. PERSONNEL

The parties recognize the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's

Board of Trustees on June 20, 1978, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan. Such personnel will not be provided office space at the University Medical Center.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit sp cific agreements between the parties whereby University will employ personnel for such service and/or reseach duties with reim bursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless

the department or unit where service is to be performed has consented in writing to said appointment, (ii) the Dean of the College of Medicine has approved the employment and the job description related thereto and (iii) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

Section 11. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978 require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide Ambulatory floors 2, 2N, 3, 4 and 5 of University Hospital, together with the Ophthalmology Clinic, the Family Practice Clinic and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 12. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 13. INSPECTION AND AUDIT

Foundation agrees that, in accord with paragraph III, B., 1. of the action of University's Board of Trustees dated June 20, 1978, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

The parties agree that the University's Internal Auditors will conduct an audit of Foundation's operations and accounts for the period ending June 30, 1981 and such other audits including audits to determine compliance with this agreement, the terms of the action of University's Board of

Trustees on June 20, 1978, and the terms of the departmental agreements referred to in Section 18 hereof, as may be specified by the University of Kentucky Vice President for Business Affairs and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants mutually agreeable to the parties will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1982.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements, to include:

Opinion of Independent Certified Public Accountants Balance Sheet Statement of Changes in Fund Balances Statement of Current Revenues and Expenditures Supplementary Schedules

Quarterly

Financial Statements, to include:

Balance Sheet
Statement of Operations
Gross Billings
Collections
Financial Allowances
Bad Debts

Aging Trial Balance of Accounts Receivable, by:

Patient liability Third party payor Reports of Delinquent Account Activity, to include:

Referrals Collections Close and returns

Section 14. DEFAULT

- A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.
- B. University may at its election terminate this agreement with respect to any department without termination of

the entire agreement for the same reasons and in the same manner as provided hereinabove.

Section 15. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds or funds provided through any policy or policies of insurance maintained for said purpose.

Section 16. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-4.0-1; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 17. MANAGEMENT AND INVESTMENT POLICIES

The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following:

(1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky. Foundation shall be entitled to the investment income derived from said account.

Section 18. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (i) the costs of its administration and costs relating to billing and collection, (ii) reimbursement of University expenses pursuant to Section 5 hereof and (iii) the College of Medicine Academic Enrichment Fund pursuant to Section 7 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or division shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology
Diagnostic Radiology
Emergency Medicine
Family Practice
Medicine
Neurology
Ob/Gyn
Ophthalmology
Pathology
Pediatrics
Psychiatry
Radiation Medicine
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to

time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 19. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine MN 140 - University Hospital University Medical Center 800 Rose St. Lexington, KY 40506

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc. 140 Southland Dr. Lexington, KY: 40503

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 20. TERM

The term of this agreement shall begin on July 1, 1981 and end on June 30, 1982.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respec-

tive governing boards, as of the day and year first above written.

	BOARD OF TRUSTEES THE UNIVERSITY OF KENTUCKY
	BY: President University of Kentucky
ATTEST:	
	KENTUCKY MEDICAL SERVICES FOUNDATION, INC.
	BY:
ATTEST:	

Office of the President June 23, 1981

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the eleven months ended May 31, 1981, be accepted.

Background: The May 31, 1981, report reflects income of \$245,525,046 for the period of the report; the income figure is 88% of the annual income estimate of \$277,746,257.

The expenditures and commitments represent a total of \$236,442,172 for the period of eleven months, or 85% of the expenditure authority of \$277,746,257 approved by the Board.

Action taken:	Approved >	××	Disapproved	Other
Date:	June 23	,	1981.	

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

ASSETS

1979-1980	\$ 4,730,983 20,750,969 2,035,705 27,517,657	5,159,691 1,867,362 232,803 71,190 4,242,781 5,245,434	44,336,918	3,378,604 34,559 1,669,961	5,083,124	295,677 272,932 187,386 685,477	18,558,265 149,708	3,401,370 1,245,431 29,879,370
1980-1981	\$ 3,639,809 2,968,791 826,955 7,435,555	8,054,374 3,222,916 363,832 71,190 4,436,217 23,574,804	47,158,888	5,120,751	7,643,140	300,996 273,621 1,087 823,707	17,575,324 3,310	4,968,639 516,713 32,106,537
Current Funds: Unrestricted:	Cash in panks: Trust and agency Cash on deposit with State Treasurer: Trust and agency Ceneral fund appropriation Total cash	Due from other funds and affiliated corporations Accounts receivable Accrued interest receivable Notes receivable Inventories Unrealized income (Statement 3)	Total unrestricted	Restricted: Cash in bank Imprest funds Cash on deposit with State Treasurer	Total cash	Petty cash advances Travel advances Accounts receivable Accrued interest receivable	fovestments: Short term (Schedule I) Other	Unrealized income: Restricted (Statement 3) Tobacco Research Trust Fund (Statement 3) Total restricted

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

1979-1980	\$ 5,892,130 28,565 853,000 1,300,000 847,687 2,825,000 23,424,365	44,336,918	4,600,763 1,847,345 705,563 9,063,625 50,000 373,658 65,543 45,258 5,402,999 1,665,702 5,125,023 933,891
1980-1981	\$ 4,835,890 54,932 738,314 1,300,000 973,594 3,100,000 25,311,864	47,158,888	3,476,083 2,014,376 476,866 9,520,452 50,000 556,472 67,372 54,986 5,582,136 2,296,269 7,661,525 350,000
Current Funds:	Outstanding encumbrances Accounts payable-county funds Accrued expenses Advance from state for imprest cash fund Deferred income Accrued vacation Unencumbered balance, departmental appropriations (Statement 4) Fund balances: Allocated for working capital (Statement 2)	Total unrestricted	General Fund collections General Fund collections Payroll withholdings and deposits Outstanding encumbrances Movance from state for imprest cash fund Deferred income Refundable deposits Outstanding check liability Unencumbered balance, departmental appropriations (Statement 4) Unencumbered balance, Tobacco Research Trust Fund Appropriation (Statement 4) Fund balances: Restricted fund (Statement 2) Tobacco Research Trust Fund-unallocated funds (Statement 2) Total restricted

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for -1979-80

ASSETS

1980-1981	6,449,106 7,711,024	11,048,234 9,102,892 1,067,335 492,122	2,139,662 1,587,284	20,704,337		9	2,047,775 1,899,857			8	3,907,558			22,371 36,293	•	589,760 537,540	1,214,582	2,100,777	\$ 105,978,097
	Despited and climics. Under from other funds and affillated corporations	of \$8,198,370 in 1981 and \$9,173,692 in 1980 Inventories	Unrealized income (Statement 3)	Total hospital and clinics	Housing and dining system:	Cash in bank	Cash on deposit with State Treasurer	Accounts receivable	Inventories Propold expenses	Unrealized Income (Statement 3)	Total housing and dining system	Anviliant anturbrises:	Cash in banks	Accounts receivable	Notes receivable	Inventories	Unrealized income (Statement 3)	Total auxiliary enterprises	TOTAL CURRENT FUNDS

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

1980-1981 1979-1980 1,617,956 246,393 2,737,749 2,017,127 500,000 470,000 6,779,347 5,666,303 9,069,285 10,493,499	20,704,337 18,893,322	2,793 278,905 128,266 118,022 75,000 60,000 35,793 998,535 1,330,554 998,535 102,279 94,243 829,478 847,543	1,	154,054 210,652 230,424 121,532 696,924 560,752 770,607 686,487 248,768 140,628	1,019,375 2,100,777 1,720,051
Hospital and clinics: Outstanding encumbrances Accounts payable Accrued vacation Unexpended balance, departmental appropriation (Statement 4) Fund balances-allocated for working capital (Statement 2)	Total hospital and clinics	Housing and dining system: Accrued expenses Accrued vages Accrued vacation Accounts payable Due to other funds and affiliated corporation Refundable deposits Deferred income	Unexpended balance, departmental appropriation (Statement 4) Realized income in excess of estimate Fund balances: Allocated for future operating purposes (Statement 2) Total housing and dining system	Auxiliary enterprises: Outstanding encumbrances Due to other funds and affiliated corporations Unencumbered balance, departmental appropriation (Statement 4) Fund balances-allocated: Working capital Future operating purposes	Total fund balances (Statement 2) Total auxiliary enterprises

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

ASSETS

\$ 865,152 10,911,349	\$ 11,778,501	\$ 4,956,857		\$ 7,711,227	16,979,439	2,647,730 339,473 5,013,927	24,980,569
\$ 1,177,156 11,794,324	\$ 12,973,480	\$ 5,874,848	11	\$ 5,798,993	38,351,895	2,861,572	45,543,360
- S	TOTAL LOAN FUNDS	Endowment Funds: Investments TOTAL FUNDUMENT FINDS		Plant Funds: Unexpended: Cash on deposit with State Treasurer: Trust and agency Capital and construction funds	Total cash on deposit with State Treasurer	Due from other funds and affiliated corporations Due from Federal Government Construction in progress	Total unexpended plant funds

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

Loan Funda:	1980-1981	1979-1980
Federal Government University matching funds Accumulated interest income	\$ 9,533,282 1,181,063 1,256,638	\$ 8,863,242 1,021,403 1,109,460
Total sponsored loan funds	11,970,983	10,994,105
University loan funds: University student aid funds University special student loan funds United Student Aid loan funds	87,830 912,667 2,000	87,084 695,312 2,000
Total University loan funds	1,002,497	784,396
TOTAL LOAN FUNDS	\$ 12,973,480	\$ 11,778,501
Endowment Funds: Fund balances	\$ 5,874,848	\$ 4,956,857
TOTAL ENDOWMENT FUNDS	\$ 5,874,848	\$ 4,956,857
Plant Funds: Unexpended: Outstanding encumbrances Accounts payable Bonds payable Fund balances allocated for construction-renovation Total unexpended plant funds	\$ 16,648,315 13,109,900 4,200,000 11,585,145 45,543,360	\$ 2,914,567 623,000 4,730,000 16,713,002 24,980,569

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

ASSETS

1979-1980 19,424 1,077 269,667 1,065,275	50,000 1,032 43,010,000 43,061,032	20,335,589 253,348,433 87,916,869 361,600,891	\$ 427,998 71,750 15,000 \$ 514,748
1980-1981 102,956 650,723 338,307 21,900 1,105,073 2,218,959	50,000 4,690 146,368 10,346,664 10,547,722	18,028,323 277,919,695 95,228,893 391,176,911 \$ 449,486,952	\$ 152,573
Renewal and replacements: Cash on deposit with Trustees Cash on deposit with State Treasurer Due from other funds and affiliated corporations Accrued interest receivable Investments held by Trustees Total renewal and replacements	Retirement of indebtedness: Cash on deposit with State Treasurer Cash on deposit with Trustees Accrued interest receivable investments held by Trustees Total retirement of indebtedness	Investment in plant: Land Buildings Equipment Total investment in plant TOTAL PLANT FUNDS	Agency Funds: Cash in bank Accounts receivable Investments short-term (Schedule 1) Toyral AGENCY FUNDS

University of Kentucky Balance Sheet May 31, 1981 With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

1980-1981 1979-1980 83,400 3,942 1,145,530 825,525 990,029 525,976 2,135,559 1,351,501	1,727,000 32,681,000 8,820,722 10,380,032 10,547,722 43,061,032	381,000 1,113,746 104,956,516 4,835,000 279,890,649 253,343,391	\$ 449,486,952 \$ 449,486,952 \$ \$ 430,997,935	\$ 27,927 \$ 2,619 13,699 285,249 125,947 226,880 \$ 167,573
Renewal and replacements: Outstanding encumbrances Fund balances: Restricted (Schedule 4) Unrestricted (Schedule 4) Total fund balances	Bonds payable (Schedule 3) Fund balances (Schedule 3) Total retirement of indebtedness	Investment in plant: Due to other funds and affillated corporations Notes payable Bonds payable Lease purchase obligation Net investment in plant	Total investment in plant TOTAL PLANT FUNDS	Agency Funds: Outstanding encumbrances Due to other funds and affiliated corporations Funds held in custody for others TOTAL AGENCY FUNDS

University of Kentucky Statement of Changes in Fund Balances-Current Funds Eleven Months Ended May 31, 1981

Auxiliary Enterprises	\$1,019,375	3,740,226	1,214,582	(68)	10 4,954,808		10 4,954,808	5,974,183	65 4,257,884	696,924	4,954,808	\$1,019,375
nousing Dining System Funds for Future Operating Purposes	\$ 572,661	15,740,099		(193,189)	15,546,910		15,546,910	16,119,571	14,909,365	637,545	15,546,910	\$ 572,661
Hospitals and Clinics	\$10,462,485	46,913,127	2,139,662		49,052,789	1,393,200	47,659,589	58,122,074	42,273,442	6,779,347	49,052,789	\$ 9,069,285
Tobacco Research Trust Fund	\$1,192,309	4,075,596	516,713		4,592,309	842,309	3,750,000	4,942,309	2,296,040	2,296,269	4,592,309	\$ 350,000
Restricted	\$ 7,661,525	7,340,225	4,968,639		12,308,864		12,308,864	19,970,389	6,726,728	5,582,136	12,308,864	\$ 7,661,525
Unrestricted Allocated	\$ 18,513,558	167,715,773	23,574,804		191,290,577	7,669,264	183,621,313	202,134,871	165,978,713	25,311,864	191,290,577	\$ 10,844,294
	fund Balances, July 1, 1980	Realized income	Unrealized income	Realized Income in excess of estimate	Total estimated income	Less fund balance appropriated	Net current estimated income	Total available	Current year expenditures/ encumbrances	Unencumbered balances, appropriations	Total authorized appropriations	Fund Balances, May 31, 1981

University of Kentucky
Summary of Current Revenues and Appropriated Balances
Eleven Months Ended May 31, 1981
With Comparative Figures for 1979-80

ı		1980-1981			1979-1980	
	Estimated			Estimated		
•	for the	Realized	% Realized	for the	Realized	% Realized
	Year	to Date	to Date	Year	to Date	to Date
Fund and Source:						
Unrestricted:						
Student fees	\$ 26,098,411	\$ 26,520,037	102	\$ 23,067,868	\$ 22,745,094	66
Federal appropriations	13,362,285	11,513,954	98	12,070,252	10,359,104	98
County appropriations	2,385,670	1,666,185	70	2,183,349	1,684,825	77
Endowment and trust fund income	183,900	229,986	125	168,900	211,555	125
Investment income	4,861,000	5,228,201	108	3,235,000	3,831,889	118
Gifts and grants:						
Affillated corporations	4,075,216	342,295	œ	3,386,234	386,826	11
Other funds	5,849,100	204,595	9	375,000	235,384	63
Other	1,040,261	896,803	98	1,563,094	1,409,403	90
Sales, services, other income	6,019,570	5,737,242	95	5,616,234	5,565,733	66
Subtotal	63,875,413	52,339,298	82	51,665,931	46,429,813	06
State appropriations	119,745,900	107,707,211	06	116,907,411	116,898,095	100
Fund balance appropriated Total unrestricted	7,669,264	7,669,264	100	6,865,387	6,865,387	100 97
						į
Restricted	12,308,864	7,340,225	09	11,690,166	8,288,796	1/1
Tobacco Research Trust Fund:			į			i
State appropriations	3,750,000	3,233,287	98	4,438,889	3,193,458	72
Fund balance appropriated	842,309	847,309	100			
Trust Fund	4,592,309	4,075,596	89	4,438,889	3,193,458	72
Hospital and Clinics:					1	į
State appropriations	7,110,800	6,351,972	88	6,988,889	6,978,469	100
Hospital earned income-net	40,548,789	39,167,955	97	31,696,100	30,119,230	7,0
Fund balance appropriated Total Hospital and Clinics	49,052,789	46,913,127	96	38,684,989	37,097,705	96
Housing and Dining System	15,546,910	15,740,099	101	14,771,288	13,921,809	76
And I tary Enteroritees	4.954.808	3,740,226	7.5	4,632,193	3,637,033	79
nuations and processing fractions						

TOTAL CURRENT FUNDS AND

University of Kentucky Summary of Current Funds Expenditures Eleven Honths Ended May 31, 1981 With Comparative Pigures for 1979-80

		1980-1981			1979-1980	
	Appropriation	Expended	% Expended	Appropriation	Expended	% Expended
	for the	Encumbered	Encumbered	for the Year	Encumbered to Date	to Date
	ועסו	Lo Darie				
Fund or Function:						
Unrestricted:						
Department of instruction			ţ	1000	636 666 77 9	o
and research	\$ 78,000,230	\$ 71,302,367	91	\$ 72,907,235	\$ 04,113,133	60
0001	1. 576, 043	1,301,014	83	1,370,288	1,130,254	87
Stimmer Session-1900	1 931 021	1 715 987	88	1,900,077	1,695,896	88
Organized activities	170,110,1	100 670 31	: 0	ווא ווג או	14.469.100	68
Organized research	17,702,399	12, 503, 51	0.0	110,101,01	C 262 853	76
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	6,345,484	5,794,620	91	5,/10,603	2,503,602	
Contraction and autility services	23,865,353	20,640,881	98	21,244,199	18,861,610	69
Extension and public services	15,858,077	14,298,480	06	14,885,993	12,620,423	82
Maintenance and operation of prant	116	754,616	6	5,471,675	2,841,419	52
Renovation, office furniture, etc.	011,011	200 707 5	. a	7 790 275	6.533.564	84
General administration	8,646,975	1,024,223	9 6	200121	669 567 7	87
Student Services	5,758,573	5,114,646	89	100,101,0	100000000	
	7 793 371	5.066,367	65	6,470,650	760,986,9	1.1
Staff penelits	9 301 826	8.622.522	93	8,213,528	7,181,130	8
General institutional services	300,100,0	300 155	101	222,673	266,086	119
Service enterprises	117,500	500,000		5 925 500	5, 401, 924	91
Doth served to cost	5,925,100	2,388,217	16	000,036,0	206 062	70
	2,083,308	2,082,297	100	1,906,432	1,190,002	ξ.
Student and	007 (67 5			36,783		1
Reserves	3,444,142					
Total unrestricted	191,290,577	165,978,713	87	175,438,729	152,014,364	87

University of Kentucky Summary of Current Funds Expenditures Eleven Months Ended May 31, 1981 With Comparative Figures for 1979-80

		1980-1981			1979-1980	
	Appropriation for the	Expended	% Expended	Appropriation for the	Expended Encumbered	Z Expended Encumbered
	Year	to Date	to Date	Year	to Date	to Date
Fund or Function:						
Departments of fustruction						
and research	\$ 2,659,897	\$ 1,324,553	20	\$ 2,811,267	\$ 1,332,369	47
Organized activities		98,090	89	168,069	98,843	59
Organized research	4.896.317	2,660,273	54	4,176,406	2,269,891	54
I thrantos	81,127	53,638	99	55,942	9,183	16
Extension and nublic service	523,850	265,414	51	641,548	294,460	94
Control frontfurtonal corricos	606.048	196,034	32	712,124	467,811	99
Student aid	3,396,330	2,128,726	63	3,124,810	1,814,610	58
Total restricted	12,308,864	6,726,728	55	11,690,166	6,287,167	54
Tobacco Research Trust Fund	4,592,309	2,296,040	20	4,438,889	2,773,187	62
Hospital and Clinica	49,052,789	42,273,442	98	38,684,989	33,018,686	85
dousing and Dining System	15,546,910	14,909,365	96	14,771,288	13,245,968	6
Auxiliary Enterprises	4,954,808	4,257,884	98	4,632,193	4,071,441	88
TOTAL CURRENT FUND EXPENDITURES	\$277,746,257	\$236,442,172	<u>88</u>	\$249,656,254	\$211,410,813	85

University of Kentucky Schedule of Short Term Investments May 31, 1981

Cost	\$ 1,900,000 1,997,018 200,778 2,992,528 500,000	7,5	4,200,000 1,400,000 2,000,000 2,000,000	000'009'6	\$ 17,575,324	\$ 15,000
Maturity Value	\$ 1,900,000 2,180,000 220,000 3,325,000 200,000	8,510,000	1,400,000 1,400,000 2,000,000 2,000,000	000,009,6	\$ 18,110,000	\$ 15,000
Restricted Current Fund:	Repurchase agreement, 14.00%, 6/29/81 U. S. Treasury Bills, 9.89%, 7/16/81 U. S. Treasury Bills, 16.72%, 7/16/81 U. S. Treasury Bills, 12.00%, 9/10/81 Fill.B, 7.375%, 11/26/84	Total government securities	Certificates of deposit: First Security National Bank & Trust Company, 14.80%, 6/26/81 Bank of Lexington, 10.15%, 7/16/81 Bank of Commerce & Trust Company, 11.55%, 9/10/81 Bank of Lexington, 12.25%, 9/10/81	Total certificates of deposit	TOTAL RESTRICTED FUNDS	Agency Funds: Government securities: U. S. Treasury Notes, 7.875%, 5/15/86 TUTAL AGENCY FUNDS

University of Kentucky Schedule of Retirement of Indebtedness Funds For the Eleven Months Ended May 31, 1931

Source of Funds	Estimated	Realized	% Realized
Unrestricted current funds Unrestricted current funds Housing and dining system Auxiliary enterprises current funds	\$ 5,925,100 1,731,956 167,796	\$ 5,388,217 2,063,892 168,795	91 119 101
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,824,852	\$ 7,620,904	97
Debt Service:	Appropriated	Expended	% Expended
Unrestricted funds debt: Consolidated Educational Buildings-Series A Consolidated Educational Buildings-Series B	\$ 510,000	\$ 510,845 479,317	100
Consolidated Educational Buildings-Series C Consolidated Educational Buildings-Series D	23,000	233,138 2,431,128	100 98
Consolidated Educational Buildings-Series E Consolidated Educational Buildings-Series F	289,000 524,000	289,116 524,345	100
Buildings-Series Buildings-Series	838,000 193,000	847,653 193,350	101
Community Colleges Educational Buildings-Series C	385,000	390,326	101
Subcotat Housing and Dining System Revenue Bonds-Series A-I	1,731,956	2,105,252	122

University of Kentucky Schedule of Retirement of Indebtedness Funds For the Eleven Months Ended May 31, 1981

Auxiliary Forest acc.	Appropriated	Expended	% Expended
	2,538	2,538	100
	11,886	11.,886	100
	38,850	39,850	103
Dorms G-L	50, 365	50,365	100
	7,880	7,880	100
	4,450	4,450	100
	8,078	8,078	100
	13,313	13,313	100
of 1964	30,436	30,436	100
	167,796	168,796	101
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,824,852	\$ 8,173,266	104

University of Kentucky Schedule of Sinking Funds May 31, 1981

Bond Issue with Reserves Fully Funded:	Total Sinking Fund	Bonds Payable	Fund Balances
Housing and Dining System Revenue Bond and Interest Sinking Fund Consolidated Educational Buildings Project and Interest Sinking Fund:	1,887,929		1,887,929
Series A	574,217		574,217
Series B	584,976	•	584,976
Series C	213,347		213,347
Series D	2,120,467		2,120,467
Series E	248,442		248,442
Series F	1,111,718		1,111,718
Student Housing Bond and Interest Sinking Fund of 1964	126,226		126,226
Community College Educational Buildings Project Bond and Interest			
Sinking Fund:			
Series A	880,535		880,535
Series B	191,019		191,019
Series C	356,288		356,288
Student Housing Bond and Interest Sinking Fund of 1960	88,850		88,850
Dormitory Revenue Bonds of 1956	20,000		20,000
Total Bond Issues with Reserves Fully Funded	8,434,014		8,434,014
Ronds Fully Funded by Escrow Accounts:			
Keeneland, Cooperstown and Student Union Future Bond and	2,113,708	1,727,000	386.708
Incerest Fund			
TOTAL SINKING FUNDS	\$10,547,722	\$ 1,727,000	\$ 8,820,722

University of Kentucky Schedule of Renewal and Replacement For the Eleven Months Ended May 31, 1981

Total Fund Balances	\$ 1,142,531 44,425 27,105 13,032	1,227,093	759,241	908,466
Unrestricted	\$ 68,531	81,563	759,241	906,466
Restricted	\$ 1,074,000 44,425 27,105	1,145,530		1,145,530
Ronafr and Maintenance Reserves:	Housing and Dining System Student Housing Buildings of 1964 Student Housing Buildings of 1960 Other Auxiliary Enterprises	Total repair and maintenance reserves	Renewal and Replacement Funds: Housing and Dining Funds Other Auxiliary Enterprises	Total renewal and replacement funds CRAND TOTAL

Office of the President June 23, 1981

FCR 2

Members, Board of Trustees:

CONTRACT WITH LOUISVILLE AND JEFFERSON COUNTY BOARD OF HEALTH, et al

Recommendation: that the Board of Trustees authorize the Chairman and Secretary of the Board of Trustees to execute the Sixth Supplemental Contract dated June 1, 1981, permitting the expansion to the Louisville Medical Center, Inc. Steam and Chilled Water Plant providing steam and chilled water for a number of institutions in the area.

Background: Jefferson Community College is a member of the Medical Center Steam and Chilled Water Plant that provides steam and chilled water for the college as well as several other hospitals and institutions in the area. Expansion is needed to include installation of an additional boiler and related other equipment; air pollution equipment and controls; energy conservation equipment; and retrofit of coal and ash handling equipment. The current capacity is insufficient to meet all of the steam requirements of the parties in the co-op.

	····			
Action	taken:	Approved XX	Disapproved	Other
Date:	June	23 . 1981		

FCR 3

Members, Board of Trustees:

Approval of the Patricia J. Buster Revocable Living Trust

Recommendations:

- (1) That the Board of Trustees authorize the President to execute a trust agreement with Mrs. Patricia J. Buster, as donor, and the University of Kentucky, as trustee, providing for the establishment of three unitrusts upon the death of the donor.
- (2) That the Board of Trustees authorize the President to accept, upon the death of Mrs. Buster, one-third of the balance of the Patricia J. Buster Revocable Living Trust Fund, for use by the University of Kentucky College of Home Economics.

Background:

Upon her death, the donor proposes to have transferred to the University of Kentucky, as trustee, the sum of One Hundred Fifty Thousand Dollars (\$150,000) to be divided into three equal unitrusts. The funds are to be managed and invested by the trustee with the income therefrom (and the principal, if necessary) used to pay to the beneficiaries for their life an amount equal to seven percent (7%) of the net fair market value of the trust assets valued as of the first business day of each taxable year. Upon the death of the unitrust's beneficiary, the trustee will distribute all principal and income to the College of Home Economics, to become the John I. and Patricia J. Buster Tuition Fund. The Fund would be invested and the income therefrom used for tuition payments.

Also upon her death, the donor proposes to distribute one-third of the balance of the Patricia J. Buster Revocable Living Trust to the University of Kentucky to be used for research mini-grants in the College of Home Economics.

					 ·	
Action	taken:	Approved	<u> </u>	Disapproved	 Other	
Date:	June	23	, 1981.			

REVOCABLE TRUST AGREEMENT

PATPICIA J. BUSTIR, hereafter referred to as the "Grantor," having certain assets as set forth on Schedule "A" attached, transfers all such assets to the Trustee IN TRUST on the following terms and conditions:

ARTICLE 1.

The Trust assets shall consist of the foregoing and such other assets as the Crantor may transfer to the Trustee, or which the Trustee shall receive and accept from other sources, including the Grantor's estate, and any other assets substituted therefor or added thereto. This Trust may be referred to as "THE PATRICIA J. BUSTER PEVOCABLE LIVING TRUST."

ARTICLE 2.

- 2.1) The Grantor reserves the right to revoke this Agraement at any time without anyone's consent and without providing any reason. Revocation shall be by notice in writing to the Trustee specifying the date of revocation which shall be not less than thirty (30) days from the date of the receipt of the notice by the Trustee.
- 2.2) The Grantor reserves the right to alter or amend this Agreement at any time, in whole or in part, without anyone's consent, except that the same shall not be amended to increase the duties or responsibilities of any Trustee then serving without such Trustee's consent. Any amendment to this Trust Agreement must be in writing and executed by the Grantor in the presence of at least two (2) subscribing witnesses who subscribe their names as witnesses in the presence of the Grantor and in the presence of each other.
- 2.3) Any revocation or amendment of this Agreement as provided in paragraphs 2.1 and 2.2 shall be done without

Grantor incurring any distribution, revocation, termination or other similar fee.

2.4) The rights herein reserved to the Grantor to revoke and amend this Agreement are hereby declared to be personal rights exercisable by the Crantor alone and not by any other person, including an attorney-in-fact or court appointed guardian. No such guardian or attorney-in-fact shall have any right to demand or receive any part of the principal or income of the Trust.

ARTICLE 3.

- 3.1) The Trustee of this Trust shall be PATRICIA J.

 BUSTER. Upon the refusal or inability of the Trustee to act, for whatever reason, WILLIAM E. GAYLOR, JE., is designated as successor Trustee by qualifying as provided in paragraph 3.3. Upon the refusal or inability of the successor Trustee to act, for whatever reason, FIRST NATIONAL BANK OF VENICE. Venice, Florida, is designated as second successor Trustee by qualifying as provided in paragraph 3.3.
- 3.2) If all enumerated Trustees are unable or unwilling to act, the income and remaindermen beneficiaries who are sui juris may by a majority in number designate a corporate Trustee to act, so long as the corporate Trustee is authorized to administer Trusts. Such appointment shall be in writing and executed in the presence of two (2) subscribing witnesses.
- 3.3) A Trustee may resign at any time by filing with each income beneficiary a written notice of resignation which shall become effective upon the qualification of a successor Trustee. A successor Trustee shall qualify by filing a written notice of acceptance with the income beneficiaries who are sui juris at the time of acceptance. Upon accepting the Trust, a successor Trustee shall be

substituted and have the same rights and powers, discretionary or otherwise, as the original Trustee. A successor Trustee shall be under no obligation to inquire into the acts or to examine the accounts of any prior Trustee and shall not be liable for acts, omissions or failures to account properly prior to qualification. If a corporate Trustee is named as successor Trustee herein, such corporate Trustee may act as agent for any individual Trustee named under a separate agency agreement with respect to the property designated in such agency agreement.

- 3.4) In the event of the disability or incapacity of the Grantor, the Trustee, or some other person designated by the Trustee who shall be subject to removal by the Trustee, shall, insofar as the Trust and all payments and distributions hereunder are concerned and as otherwise permitted by law, also be the guardian of the property of the Grantor.
- 3.5) Except as provided in paragraph 2.4, the guardian or conservator of the estate of a beneficiary under legal disability, or the parents or surviving parent of a minor beneficiary for whose estate no guardian has been appointed, may act for such beneficiary in making any appointment and giving any direction under this Trust.
- 3.6) The disability or incapacity of the Grantor or a Trustee hereunder, may be established by an executed, witnessed and acknowledged written certificate from a licensed medical doctor.
- 3.7) Every successor Trustee shall have all the title, powers and discretions given to the Trustee herein without any act of conveyance or transfer; provided, however, no title or interest in real property shall vest in any successor Trustee until such time as the successor Trustee has recorded an Acceptance of Trust in the county where the real property is located.

ARTICLE 4.

The Trustee shall pay all of the net income to the Grantor, at least quarterly. In addition, the Trustee shall distribute to the Grantor or to other persons, such portions of the principal as the Grantor from time to time may direct. In the event of the Grantor's incapacity, the Trustee may, in the Trustee's sole discretion, pay to the Grantor or use, expend and disburse for the Grantor's benefit, such portions or all of the net income and such portions or all of the principal, as the Trustee may determine to be required for the Grantor's health, support and maintenance.

ARTICLE 5.

- 5.1) Upon the Grantor's death, the Trustee shall hold, manage, administer and distribute the remaining principal of the Trust, together with all property distributable to the Trustee as a result of the Grantor's death, whether by Will or otherwise, all such property being hereafter referred to as the "Trust Fund," as described hereafter. Until distribution shall occur, the net income of the Trust Fund shall be distributed as nearly as possible in the same ratio and to the same recipients who will receive Trust income or Trust principal upon completion of distribution.
- 5.2) If the Grantor's probate estate (excluding the income thereof) is insufficient to pay the Grantor's expenses of last illness, funeral, burial, inheritance taxes, property taxes, debts, claims and expenses of administration chargeable to the Grantor's estate and to satisfy all pre-residuary devises set forth in the Grantor's Will, the Trustee may pay to the Grantor's Personal Representative, out of the Trust Fund, such sum or sums as such Personal Representative shall certify to be required to make good such deficiency. If the Grantor's probate estate is insufficient to pay any estate

taxes chargeable to the Grantor's estate, the Trustee may pay to the Grantor's Personal Pepresentative such sum or sums as such Personal Representative shall certify to be required to make good such deficiency, or pay such expenses out of the trust estate. If no Personal Representative shall be appointed within 90 days from the date of the Grantor's death, the Trustee shall be appointed the Personal Representative. The Trustee shall also pay out of the principal of the Trust Fund all expenses of administration of the Trust Fund (including, but not limited to, the commissions of the Trustee and attorney's fees). If at the Grantor's death United States Treasury Bonds eligible for redemption at par in payment of Federal estate taxes are held in the Trust Fund and a Federal estate tax is due, the Trustee shall distribute such bonds to the Grantor's Personal Representative for use in satisfying the Grantor's death taxes. No payment pursuant to this paragraph, however, shall be made in whole or in part with the proceeds of any asset that is not subject to estate taxes, except to the extent that the Trust Fund does not include sufficient other property to make such payment.

5.3) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to NELEN PHILLIPS, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to HELEN PHILLIPS and said distribution shall be reduced by the amount of any gifts made by Grantor to NELEN PHILLIPS during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.

- 5.4) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to JEAN MERRILL, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to JEAN MERRILL and said distribution shall be reduced by the amount of any gifts made by Grantor to JEAN MERRILL during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.5) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to CELIA MITCHELL, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to CELIA MITCHELL and said distribution shall be reduced by the amount of any gifts made by Grantor to CELIA MITCHELL during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.6) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to CURTIS PHILLIPS, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to CURTIS PHILLIPS and said distribution shall be reduced by the amount of any gifts made by Grantor to CURTIS PHILLIPS during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.

- 5.7) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to MAZEL HIERONYMUS, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to MAZEL HIERONYMUS and said distribution shall be reduced by the amount of any gifts made by Grantor to MAZEL HIERONYMUS during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.8) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to G. D. HIERONYMUS, if living, otherwise said distribution lapses: provided that, Grantor intends to make lifetime gifts to G. D. HIERONYMUS and said distribution shall be reduced by the amount of any gifts made by Grantor to G. D. HIERONYMUS during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.9) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to W. S. HIERONYMUS, JR., if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to W. S. HIERONYMUS, JR. and said distribution shall be reduced by the amount of any gifts made by Grantor to W. S. HIERONYMUS, JR. during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.

- 5.10) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to NANCY HIERONYMUS WALKER, if living, otherwise said distribution lapses; provided that. Grantor intends to make lifetime gifts to NANCY HIERONYMUS WALKER and said distribution shall be reduced by the amount of any gifts made by Grantor to NANCY HIERONYMUS WALKER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.11) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to CENERAL W. R. BUSTER, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to GENERAL W. R. BUSTER and said distribution shall be reduced by the amount of any gifts made by Grantor to GENFRAL W. R. BUSTER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
 - 5.12) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to V. R. BUSTER, JR., if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to W. R. BUSTER, JR. and said distribution shall be reduced by the amount of any gifts made by Grantor to V. R. BUSTFR, JR. during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.

- 5.13) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to KATHERYN BUSTER, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to KATHERYN BUSTER and said distribution shall be reduced by the amount of any gifts made by Grantor to KATHERYN BUSTER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.14) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to MARTHA L. BUSTER, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to MARTHA L. BUSTER and said distribution shall be reduced by the amount of any gifts made by Grantor to MARTHA L. BUSTER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.15) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to N. S. BUSTER, JR., if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to N. S. BUSTER, JR. and said distribution shall be reduced by the amount of any gifts made by Grantor to N. S. BUSTER, JR. during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.

- 5.16) The Trustee shall distribute outright and free of trust the sum of Twenty Thousand Dollars (\$20,000) to SALLIF BUSTER, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to SALLIE BUSTER and said distribution shall be reduced by the amount of any gifts made by Grantor to SALLIE BUSTER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.17) The Trustee shall distribute outright and free of trust the sum of Ten Thousand Dollars (\$10,000) to CHARLES BUSTER, if living, otherwise said distribution lapses; provided that, Grantor intends to make lifetime gifts to CHARLES BUSTER and said distribution shall be reduced by the amount of any gifts made by Grantor to CHARLES BUSTER during her said lifetime. The Trustee may rely completely on information supplied by the Grantor pertaining to the amount of gifts made during her lifetime, and the Trustee's decision based on Grantor's information shall not be subject to question by the beneficiary hereunder.
- 5.18) The Trustee shall distribute outright and free of trust the sum of Five Thousand Dollars (\$5,000) to THE CARROLLTON CHRISTIAN CHURCH, Carrollton, Kentucky.
- 5.19) The Trustee shall distribute outright and free of trust the sum of Ten Thousand Dollars (\$10.000) to THE FIRST CHRISTIAN CHURCH, Carrollton, Kentucky.
- 5.20) The Trustee shall distribute the sum of One Hundred Fifty Thousand Dollars (\$150,000) to THE UNIVERSITY OF KENTUCKY, in trust, to be administered, managed and distributed by said university as Trustee in the following manner: The Trustee shall divide said sum into three (3) equal trusts, each of which shall be managed and distributed by the University of Kentucky as follows:

- (a) (1) One-third (1/3) thereof shall be held in a unitrust for JULIA SEAY, which unitrust is more specifically described herein.
- (2) One-third (1/3) thereof shall be held in a unitrust for LAURA SEAY, which unitrust is more specifically described herein.
- (3) One-third (1/3) thereof shall be held in a unitrust for CORNELIA SEAY, which unitrust is more specifically described herein.
- (b) (1) The aforementioned Fifty Thousand Dollar (\$50,000) unitrust for JULIA SEAY shall be held by the hereinbefore named Trustee and shall be invested and reinvested during the lifetime of JULIA SEAY, and in each taxable year of the trust the Trustee shall pay in equal quarterly installments to JULIA SEAY a unitrust amount equal to seven percent (7%) (the unitrust percent) of the net fair market value of the trust assets valued as of the first business day of each taxable year of the trust.
- (\$50,000) unitrust for LAURA SEAY shall be held by the hereinbefore named Trustee and shall be invested and reinvested during the lifetime of LAURA SEAY, and in each taxable year of the trust the Trustee shall pay in equal quarterly installments to LAURA SEAY a unitrust amount equal to seven percent (7%) (the unitrust percent) of the net fair market value of the trust assets valued as of the first business day of each taxable year of the trust.
- (3) The aforementioned Fifty Thousand Dollar (\$50,000) unitrust for CORNELIA SFAY shall be held by the hereinbefore named Trustee and shall be invested and reinvested during the lifetime of CORNELIA SEAY, and in each taxable year of the trust the Trustee shall pay in equal quarterly

installments to CORNELIA SHAY a unitrust amount equal to seven percent (7%) (the unitrust percent) of the net fair market value of the trust assets valued as of the first business day of each taxable year of the trust.

- (c) The Trustee of the unitrusts hereinabove created shall hold the same in trust upon the following uses, to-wit:
- (1) Each unitrust amount shall be paid from income and, to the extent income is not sufficient, from principal. Any income of a unitrust for a taxable year in excess of its respective unitrust amount shall be added to principal. In determining a unitrust amount, the Trustee shall prorate the same on a daily basis for a short taxable year or for the taxable year in which a recipient dies.
- (2) The obligation to pay the unitrust amounts shall commence with the date of Grantor's death, but payment of such unitrust amounts may be deferred from the date of Grantor's death to the end of the taxable year of each unitrust in which occurs the complete funding of said trust. Within a reasonable time after the occurrence of said event, the Trustee shall pay the amount determined under the method described in Section 1.664-1(a)(5)(ii) of the Federal Income Tax Regulations less the sum of any amounts previously distributed and interest thereon computed at six percent (6%) a year, or at such other percentile as may be subsequently prescribed by said Regulations, compounded annually, from the date of distribution to the occurrence of said event.
- (3) In determining the unitrust amount, if the net fair market value of the assets of a unitrust is incorrectly determined by the Trustee for any taxable year, then within a reasonable period after the final correct

determination, the Trustee shall pay to the recipient in the case of an under-valuation, or shall receive from the recipient in the case of an over-valuation, an amount equal to the difference between the unitrust amount properly payable and the unitrust amount actually paid.

- (4) Upon the death of the individual for whom the unitrust was created, the Trustee shall distribute all of the then principal and income of that unitrust (other than any amount due the recipient) to THE UNIVERSITY OF KENTUCKY, COLLEGE OF HOME ECONOMICS, to become the John I. and Patricia J. Buster Tuition Fund, with the monies so received to be invested and the income therefrom to be used to pay or supplement the tuition of deserving students as determined by the College of Home Economics; provided, however, if THE UNIVERSITY OF KENTUCKY is not a charitable organization at the time when any principal or income of any unitrust is to be distributed to it, the Trustee shall distribute such principal and income to one or more other charitable organizations, as the Trustee shall select in its sole discretion. As used in these unitrusts, a "charitable organization" is an organization described in all four (4) of the following Sections of the Internal Revenue Code of 1954: Section 170(c), Section 170(b)(1)(A), Section 2055(a), and Section 2522(a); provided, however, that such organization is not an organization described in Section 170(b)(1)(A)(vii) or Section 170(b)(1)(A)(viii) of the Internal Revenue Code of 1954.
- (5) The assets of each unitrust, including additional contributions, shall be valued on the first business day of the first month of the taxable year. Except for the valuation of the added contributions as provided above, if no valuation occurs before the end of any taxable

year of a unitrust, the assets shall be valued on the last day of the taxable year of the unitrust, or as of the date on which all non-charitable interests terminate.

- (6) Anything in this agreement to the contrary notwithstanding, each recipient shall receive the full unitrust amount, and no expenses or costs of administering the trust shall be deducted therefrom.
- (d) Nothing contained in this Article 5 shall be construed to restrict the Trustee from investing the assets of each unitrust in a manner which could result in the annual realization of a reasonable amount of income or gain from the sale or disposition of the trust assets.
- (e) In the care, management and disposition of each unitrust herein created, the Trustee shall have all of the rights, powers, authorities, privileges, immunities and discretions set forth in this instrument (one or all of which may be exercised without order of, or report to, any court).
- (f) This Trust is intended to qualify as a charitable remainder unitrust as defined in Section 664(d)(2) and is to be administered so that this trust shall qualify for the tax exemption provided under that statutory provision. The Trustee shall not engage in any act or make any expenditures which would affect such qualification. It is the intention of Grantor in establishing these unitrusts to create charitable remainder unitrusts within the meaning of Section 664 of the Internal Revenue Code of the 1954 and the regulations thereunder. All the provisions hereof should be interpreted in a manner consistent with the regulations and rulings promulgated by the Internal Pevenue Service with respect thereto notwithstanding anything to the contrary contained herein.

- (g) Any other provisions of this instrument notwithstanding, except for payments to a current recipient. the Trustee is prohibited from engaging in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code of 1954, from retaining any excess business holdings as defined in Section 4943(c) of the Code, which would subject a unitrust to tax under Section 4943 of the Code, from making any investments which would subject a unitrust to tax under Section 4944 of the Code, and from making any taxable expenditures as defined in Section 4945 (d) of the Code.
- 5.21) The Trustee shall distribute the remaining balance of the Trust Fund outright and free in the following manner:
- (a) One-third (1/3) thereof to THE UNIVERSITY OF KENTUCKY, Lexington, Kentucky, to be used for research minigrants in the College of Home Economics. This fund is to be known as the John I. and Patricia J. Buster Fund.
- (b) One-third (1/3) thereof shall be distributed to MIDWAY COLLEGE, Midway, Kentucky, to be used for scholar-ships and equipment for home economic students and the Home Economics Department of Midway College, with the determination of the use of the fund to be by the Home Economics Department.
- (c) One-third (1/3) thereof shall be distributed to THE COLORADO COLLEGE, Colorado Springs, Colorado, to be used for scholarships and equipment for geology students in the Geology Department of Colorado College, with the determination of the use of the fund to be determined by the Geology Department.
- (d) The recipients of funds hereunder shall utilize them for memorial scholarships in the name of Patricia J. Buster and John I. Buster and use only the income from said distribution and refrain, if at all possible, from using any amount of the principal.

- 5.22) Any beneficiary may renounce or disclaim, in whole or in part, his or her interest under any Trust created and provided for herein and such renunciation or disclaimer shall operate in the same manner as would such beneficiary's death. Thus, any interest renounced or disclaimed shall not pass as in intestacy, but shall pass under the terms hereof as though such beneficiary had not survived the particular event upon which such beneficial interest is determined.
- 5.23) Until the Trustee shall receive written notice of any birth, marriage, death or other event upon which the right to distribution of the income or principal of any Trust may depend, the Trustee shall incur no liability for distributions made in good faith to persons whose interests may have been affected by that event.

ARTICLE 6.

6.1) All payments of income and principal under any Trusts created hereunder shall be inalienable by the beneficiaries and free from the control or interference of any creditor of a beneficiary or of any spouse of a married beneficiary and shall not be subject to attachment, judgment, levy, sequestration, garnishment, bankruptcy or other insolvency proceedings or legal process or susceptible of anticipation or alienation. Should any beneficiary attempt to transfer or otherwise affect such beneficiary's interest in the Trust or the income therefrom by voluntary act or operation of law or in the event of any attempted levy. attachment, garnishment or sequestration of a beneficiary's interest in the income or principal, all payments to or for such beneficiary shall, in the Trustee's sole discretion be made either personally to the beneficiary or used by the Trustee for the benefit of the beneficiary.

ARTICLE 7.

- 7.1) The whole or any part of the income or principal payable to any beneficiary hereunder who is incapacitated through illness, age or other cause, may be applied by the Trustee for such beneficiary's health, maintenance, support and education. Any such application may be made at such times and in such manner as the Trustee deems advisable, whether by direct payment of such beneficiary's expenses, or by payment to a person selected by the Trustee to receive payment for such beneficiary. In either case, the receipt by the person to whom payment is made or entrusted shall be a complete discharge of the Trustee in respect thereof.
- 7.2) No Trustee shall have any duty to inquire into the administration of the Crantor's estate or be responsible for any act or omission of any Personal Pepresentative.
- 7.3) If at any time the Trustee is holding any Trust under this instrument for the benefit of any person or persons for whose primary benefit the Trustee is holding any other Trusts, upon substantially the same terms, created by the Grantor under this or any other instrument or by any other member of the Grantor's family the Trustee ray, in the Trustee's sole discretion, consolidate and commingle the Trusts.
- 7.4) If a corporate Trustee shall be acting as Trustee, such Trustee shall prepare an account for each taxable year of each Trust and, whenever a Trustee ceases to serve, an account shall be prepared from the time of the last prior account through the end of the period of service. Such Trustee shall keep each account available for inspection by and shall, when requested, deliver copies to the beneficiaries eligible, within the period covered thereby, to receive benefits from the Trust which is the subject of said account.

The written approval of an account by such a beneficiary, or by his or her parent or guardian if such beneficiary is a minor or otherwise incompetent, shall be final and binding as to all matters stated therein or shown thereby upon the beneficiary and upon all persons then or thereafter claiming any interest through or under him or her in the income or principal of such Trust. Failure of such beneficiary, or of his or her parent or guardian if such beneficiary is a minor or otherwise incompetent, to deliver to such Trustee written objection to an account within thirty (30) days after receipt thereof shall constitute the final and binding approval thereof by that beneficiary.

- 7.5) In the administration and distribution of any
 Trust created hereunder, the Trustee shall have the power
 and authority conferred by Florida Statutes Section 737.402,
 as amended. Additionally, the Trustee shall have the following
 additional power and authority; provided, however, that no
 Trustee acting hereunder shall have authority to direct
 investments or management or distribution (including invasion
 of principal) of Trust principal in such a manner as will
 cause inclusion of such assets in the estate of such Trustee
 for federal estate tax purposes:
 - (a) To purchase or otherwise acquire, or sell or trade such real and personal property, including common stocks, preferred stocks and bonds, without being limited to investments authorized by law; to invest and reinvest the trust estate in bonds, notes, savings accounts, certificates of deposit, and other obligations, including those issued and/or maintained by the Trustee.
 - (b) To sell, at public or private sale, mortgage, pledge, transfer, convey, exchange or otherwise dispose of any and all property, real or personal, at any time forming a part of any Trust for such purposes, in such manner and on such terms as shall be deemed advisable,

and to lease, for any period of time, even beyond the expected term of any Trust and with or without option to purchase, any property held in any Trust. It is the Grantor's intention to grant a general power of sale. Any action under this subparagraph shall be valid without any showing of necessity and without authorization or confirmation of court.

- (c) To borrow money for any purpose connected with the protection, preservation, or improvement of any Trust, upon such terms and conditions as shall be deemed proper, and to mortgage or pledge any assets of any Trust as security therefor.
- (d) To make distributions in cash or in specific property, real or personal, or an undivided interest therein or partly in cash and partly in such property.
- (e) To pay any pledge to a charity made by the Grantor in writing, if in the judgment of the Trustee, the Grantor would want the pledge paid.
- (f) To cause any property, real or personal, belonging to any Trust to be held or registered in the Trustee's name, or in the name of a nominee, by a depository and a depository's nominee, or in such other form as the Trustee deems best without disclosing the trust relationship; to employ such attorneys, banks, brokers. custodians, investment counsel or managers, and other agents, and to delegate to them such of the duties, rights and powers of the Trustee, including, among others, the right to vote on shares of stock constituting a part or all of the property of any Trust hereunder, for such periods, and for such purposes as the Trustee in the Trustee's sole discretion, shall deem advisable. Any Trustee shall be eligible to be so employed, and to receive and retain reasonable compensation or commission for services rendered, the same to be in addition to the corpensation which the Trustee would otherwise be entitled to receive for services as Trustee.
- (g) The Grantor, as individual Trustee, is authorized to buy, sell and trade in securities of any nature, including short sales, on margin, and for such purposes may maintain and operate margin accounts with brokers, and may pledge any securities held or purchased by them with such brokers as security for loans and advances made to the Trustee.

- 7.6) The Grantor may have already created or may hereafter create, or both, other Trusts of the so-called "pour-over" variety or provide by Will for a "pour-over", and if and to the extent the Trusts or Will shall result in additional assets coming to the Trustee hereunder, such additional assets shall be held and administered in all respects as if included on Schedule "A".
- 7.7) No purchaser from the Trustee or any other person dealing with the Trustee shall be under any obligation to see to the application of the purchase money or to the proper administration of this Trust. Any corporation, its transfer agent and its dividend disbursing agent and any person, firm or corporation, bank or savings and loan association, and any other party with whom the Trustee shall deal:
- (a) Shall be under no obligation or liability with respect to the validity or proper administration of this Trust.
- (b) Shall be entitled to rely entirely upon written orders of the Trustee or his nominee, and
- (c) Shall be entitled to assume that this Agreement as now written is in full force and effect until receipt of the written notice of its amendment, termination, or revocation.
- 7.8) This Trust is established under the laws of the State of Florida.

Patricia J. Buster (SEAL)

GRANTOR AND TRUSTLE

The foregoing Trust Agreement was signed, scaled, published and declared by the Grantor in the presence of us.

The undersigned have attested as witnesses, after the Grantor signed, in the presence of each other and in the Grantor's presence, on the date stated above.

Analy Such of Venice. Florida

Henalle Stingalin of Venice. Florida

STATE OF FLORIDA)

We, PATRICIA J. EUSTER, the Grantor and the witnesses respectively, whose names are signed to the attached or foregoing instrument, were sworn and declared to the undersigned officer that the Grantor signed the

instrument as the Grantor's Trust Agreement, that the Grantor signed voluntarily, and that each of the witnesses, in the presence of the Grantor, signed the Agreement as a

Patricia Grantor

Sirah

Witness

Sundan

Witness

Subscribed and sworn to before me by the Grantor, and subscribed and sworn to before me by the witnesses on $\frac{Acr,1}{2}$, 1981.

Motary Fublic

My Commission Expires:

witness.

Office of the President June 23, 1981

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended March 31, 1981 be accepted.

Background: A part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchase and sales made during the First Quarter of 1981 for the University of Kentucky Athletic Association, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and the University of Kentucky Research Foundation.

						
Action	taken :	Approved	xx	Disapproved	Other	
Date:	Jur	ne 23	, 1981.			

University of Kentucky Statement of Portfolio Changes For the First Quarter, 1981

Purchases	Purchase Date	<u>Yield</u>	Net Amount of Purchase
Cer. of Deposit #3852	1/1/81	10.15%	\$1,400,000
Cer. of Deposit #19005	2/27/81	14.80%	4,200,000
Total - Purchases			\$5,600,000
Sales	Maturity Date	Yield	Par Value
Sales U. S. Treasury Bills	-	<u>Yield</u> 15.58%	Par Value \$1,998,265
	Date		
U. S. Treasury Bills	<u>Date</u> 1/29/81	15.58%	\$1,998,265

University of Kentucky Research Foundation Statement of Portfolio Changes For the First Quarter, 1981

Purchases

None

Sales

None

The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center Statement of Portfolio Changes
For the First Quarter, 1981

None

Sales

None

University of Kentucky Athletic Association Statement of Portfolio Changes For the First Quarter, 1981

Purchases	Purchase Date	<u>Yield</u>	Net Amount of Purchase
Cer. of Deposit #19003	2/27/81	14.80%	\$1,000,000
Total - Purchases			\$1,000,000
Sales	Maturity Date	Yield	Par Value
Cer. of Deposit #3852	1/1/81	10.15%	\$1,400,000
Cer. of Deposit #50-5012	2/24/81	13.51%	700,000
Total - Sales			\$2,100,000

$\begin{array}{c} \textbf{University of Kentucky}\\ \textbf{University of Kentucky Research Foundation}\\ \textbf{and} \end{array}$

The Fund for Advancement of Education and Research in the University of Kentucky Medical Center Statement of Portfolio Changes for the Pooled Endowment Funds For the First Quarter, 1981

Purchases	<u>:</u> :		
No. of		Date of	Amount of
Shares	Security	Purchase	Purchase
2 200	v	- / /	
2,000	Master Note-Louisville Gas & Electric	1/13/81	\$ 2,000.00
16,000	Master Note-Louisville Gas & Electric	1/14/81	16,000.00
17,000	Master Note-Louisville Gas & Electric	1/22/81	17,000.00
1,700	Richardson Merrell, Inc.	1/26/81	66,895.00
10,000	Master Note-NLT Corporation	2/05/81	10,000.00
77,000	Master Note-NLT Corporation	2/09/81	77,000.00
1,300	G. D. Searle & Company	2/09/81	34,606.00
100,00C	Boeing Company, 8.875%, 6/15/2006	2/19/81	100,000.00
11,000	Master Note-American Express Credit		
	Corp.	2/20/81	11,000.00
62,000	Master Note-NLT Corporation	3/03/81	62,000.00
50,000	Union Carbide Corporation, 10.0%,		
	3/15/2006	3/18/81	50,000.00
55,000	Master Note-American Express Credit		
	Corp.	3/18/81	55,000.00
16,000	Master Note-NLT Corporation	3/19/81	16,000.00
100,000	Security Pacific Corporation, 9.75%,		·
	6/15/2006	3/31/81	100,000.00
	Total - Purchases		\$617,501.00
Transfers	<u>3</u> :		
No. of		Date of	Inventory
Shares	Security	Transfer	<u>Value</u>
F 000	7 C T - 7 C T		
5,000	U.S. Treasury Notes, 7.5%, 5/15/81	1/16/81	\$ 4,825.00
10,000	Citicorp, 5.75%, 6/30/2000	2/02/81	6,525.00
100	American Can Company	2/02/81	2,731.25
200	Atlantic Richfield Company	2/02/81	9,237.50
200	Standard Oil Company of Ohio	2/02/81	9,537.50
400	Union Pacific Corporation	2/02/81	34,850.00
150	Connecticut Light & Power Company,		
	pref.	2/16/81	4,200.00
	Total - Transfers		\$ 71,906.25

University of Kentucky University of Kentucky Research Foundation and

The Fund for Advancement of Education and Research in the University of Kentucky Medical Center

Statement of Portfolio Changes for the Pooled Endowment Funds
For the First Quarter, 1981

Sales: No. of Shares	<u>Security</u>	Net Proceeds from Sales	Book <u>Value</u>	Gain or (Loss)
1,500	Ralston Purina Company	\$ 16,349.45	\$ 14,129.98	\$ 2,219.47
67,000	Master Note-Louisville Gas & Electric	67,000.00	67,000.00	
100	American Can Company	2,946.95	2,731.25	215.70
35,000	Master Note-Louisville Gas & Electric	35,000.00	35,000.00	
2,280	Dow Chemical Company	77,130.46	70,259.00	6,871.46
61,000	Master Note-Louisville Gas & Electric	61,000.00	61,000.00	
39,000	Master Note-NLT Corporation	39,000.00	39,000.00	
400	Soo Line Railroad Company	10,940.68	11,900.00	(959.32)
50,000	Master Note-NLT Corporation	50,000.00	50,000.00	
854	Bendix Corporation	54,656.00	32,316.86	22,339.14
66,000	Master Note-American Express Credit Con	rp. 66,000.00	66,000.00	•
29,000	Master Note-NLT Corporation	29,000.00	29,000.00	
	Total - Sales	\$509,023.54	\$478,337.09	\$30,686.45

University of Kentucky University of Kentucky Research Foundation and

The Fund for Advancement of Education and Research in the University of Kentucky Medical Center

Pooled Endowment Funds - Fixed Income Type Securities

For the First Quarter, 1981

Purchases	:		
No. of		Date of	Amount of
Shares	Security	Purchase	Purchase
3,228 3,508 28,000 4,053 3,000	Collective Fixed Income Fund Collective Fixed Income Fund Collective Temporary Investment Fund Collective Fixed Income Fund Collective Temporary Investment Fund	1/09/81 2/09/81 3/03/81 3/10/81 3/19/81	\$21,046.56 22,556.44 28,000.00 25,128.60 3,000.00
	Total - Purchases		\$99,731.60
C-1			
Sales: No. of			
Shares	Comment	Net Proceeds	Book
Shares	<u>Security</u>	from Sales	Value
31,000	Collective Temporary Investment Fund	\$31,000.00	\$31,000.00
	Total - Sales	\$31,000.00	\$31,000.00