

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 14, 2010.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, September 14, 2010 in the Board Room on the 18<sup>th</sup> Floor of Patterson Office Tower.

A. Meeting Opened

Mr. Billy Joe Miles, chair pro tem, called the meeting to order at 1:00 p.m. and noted that the Board had five new members:

Sheila Brothers, elected staff representative, to replace Robynn Pease, for a term ending June 30, 2013.

William S. Farish, Jr., appointed by Governor Steven L. Beshear to replace Stephen P. Branscum, for a term ending June 30, 2016.

Oliver Keith Gannon, appointed by Governor Steven L. Beshear to replace Mira S. Ball, for a term ending June 30, 2016.

Terry Mobley, alumni representative, appointed by Governor Steven L. Beshear to replace Ann B. Haney, for a term ending June 30, 2016.

Joe Peek, faculty representative, to replace Ernest Yanarella, for a term expiring June 30, 2013.

Mr. Miles welcomed the new members that were present, and they received a round of applause. He then asked Ms. Pam May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Billy Joe Miles, (chair pro tem), E. Britt Brockman, Sheila Brothers, Penelope Brown, Jo Hern Curriss, Dermontti Dawson, Oliver Keith Gannon, Carol Martin "Bill" Gatton, Pamela T. May, Everett McCorvey, Terry Mobley, Sandy Bugie Patterson, Joe Peek, Erwin Roberts, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, and Barbara Young. Absent from the meeting was William S. Farish, Jr. Ms. May announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Jann Burks.

Members of the various news media were also in attendance.

C. Consent Items

Mr. Miles called attention to the consent items which included the minutes, PR 2 Personnel Actions, and acceptance FCRs 1, 2, and 3 which included pledges and gifts. Mr. Stuckert moved approval of the consent items. Mr. Shoop seconded the motion, and it passed without dissent. (See consent items listed below at the end of the Minutes.)

Minutes – June 8, 2010

PR 2 Personnel Actions

FCR 1 Gift from the Estate of Dianne L. McKaig

FCR 2 William Stamps Farish Fund Gift and Pledge

FCR 3 Multiple Gifts to Replace Unfulfilled Pledge to Research Challenge Trust Fund

D. 2011 Schedule for Meetings of Board of Trustees

Mr. Miles noted that the 2011 Schedule for Meetings of Board of Trustees was in the agenda book and asked if there were any objections to the schedule or comments. There were no comments, and the schedule was accepted as presented.

E. Executive Committee Report

Mr. Miles then asked for the Executive Committee Report. He asked Dr. Brockman to speak first. Dr. Brockman reported that nine months ago Chair Mira Ball asked him and Board Member Sandy Bugie Patterson to examine the compensation package for the president. He used a PowerPoint presentation as he made his report. (See the presentation titled “Analysis of Presidential Compensation” below.)

Dr. Brockman first explained the current compensation package in his slide presentation. It showed a \$304,000 base salary that is tied to faculty salary increases, plus \$25,000 for chairing UKRF and \$25,000 for chairing the UK Athletic Association Board. These amounts make a total recurring base salary of \$354,000.

A bonus amount is \$200,000; the bonus payment is based on the president’s performance under a grading system that the Board of Trustees had put in place. Dr. Brockman also pointed out that from what he and Ms. Patterson were able to determine, the bonus was intended to be part of the recurring salary for the president.

The last part of the presidential compensation package is his retirement funding, which is 15 percent of the base, or \$45,612, plus other standard benefits received by university employees, and some personal compensation specific to the president’s position.

Slide 3 compared the 2009-10 presidential salary with salaries received by presidents of other Kentucky public institutions. UK’s president’s salary ranks fourth, after the salaries of the presidents of University of Louisville, Northern Kentucky University, and the chair of the Council of Postsecondary Education.

Slide 4 compares total presidential compensation for 2008-09 at KCTCS, University of Louisville, and University of Kentucky. This slide showed UK figures again to rank under those of the other institutions.

Slide 5 showed presidential compensation at UK relative to compensation received by the presidents of the Top 20 public research universities as defined in President Todd's Top 20 Business Plan. The range is large, but the University of Kentucky as a percentage of the Top 20 average is 64.5 percent. Comparisons continued in Slide 6, where UK's total presidential compensation was shown to be 78.8 percent of the average Southeastern Conference (SEC) president's compensation. Dr. Brockman made the point that under all comparisons, UK's president's compensation package ranks among the lower amounts.

In Slide 7, it showed that the bonus offered to UK's president is disproportionately skewed. Average bonuses of benchmarks run in the \$10,000 - \$50,000 range while ours is \$200,000. Dr. Brockman will rely on other board members to explain why.

Dr. Brockman explained Slides 8 and 9: "The average faculty salary at the Top 20 institutions per our business plan is \$98,451. The average faculty salary at schools in the Southeastern Conference excluding Vanderbilt [because it is a private institution] is \$78,502. The average faculty salary at the University of Kentucky is \$81,189. Thus, UK faculty compensation as a percentage of the Top 20 benchmark institution faculty compensation average is 82.5 percent. UK faculty salaries average 103.4 percent of SEC faculty compensation. The UK president's salary base plus retirement is \$399,612. Our president receives 64.5 percent of what the benchmark Top 20 business plan presidents are making, while our faculty receive 82.5 percent of Top 20 levels. By the same token, the UK president's \$399,612 compensation package is 78 percent of SEC presidents' compensation on average, while our faculty's average salary of \$81,189 runs 103 percent of the average salary for SEC faculty.

"The recommendation of the Executive Committee on Thursday last week [September 9] was that UK's president's base salary should be at least the same percentage of the Top 20 presidents' base average as the average salary of a UK faculty member as it compares to the average faculty salary at a Top 20 institution. The UK faculty receive salaries that average 82.5 percent of the average salary received by faculty in Top 20 institutions. If we pay our president at the same 82.5 percent of the average received by a Top 20 president (instead of the approximately 64 percent that he receives now), then he should receive \$511,056 (\$619,461 [shown on Slide 5] times 82.5 percent equals \$511,056)." Dr. Brockman stated that this amount is what the Executive Committee recommended. In addition, the Executive Committee discussed and recommended that the president's annual bonus should be reduced to a maximum of \$50,000 based on their established goals to measure his performance.

Mr. Miles then asked Ms. May to explain the evaluation system and the results of this year's evaluations of the president's performance.

Ms. May distributed tabulation sheets and explained that the bonus awarded would be based on the results of the evaluations, a procedural action that has been in place since 2005. Goals for the president change each year according to the needs of the university. All board

members for 2009-10 evaluated the president's performance. In addition, the UK Faculty Senate and Staff Senate received the same questions and offered their responses. Responses are submitted to Peggy Way, who handles board correspondence, and results were tabulated by Mr. Miles and Ms. May.

The tabulation sheet shows that the president scored 95.68 percent on the evaluation form, which was then rounded to a score of 96 percent. This score will be used to calculate this year's bonus. The results were shared with the president, and comments were passed on to him.

Mr. Miles then offered a history of the establishment of the president's salary and other compensation. When the president was hired in 2001, a state law required that no president of a Kentucky state university could receive a salary higher than that of the chair of the Council on Postsecondary Education (CPE), the agency in Frankfort that governs all state universities. Many of the other state schools, and particularly the University of Louisville, had private foundations that could pay additional amounts to their presidents, and therefore, their presidents were able to receive total compensation above the amount of the salary of the CPE chair.

President Todd came to the university with a business background, making a salary far above that of the CPE chair. The Board wished to pay him more than the CPE amount, so the idea was born to offer a salary plus a bonus on a recurring basis that could put UK's president on an equal footing with other state university presidents.

That President Todd has consistently refused to accept the bonus has dismayed Board members for nine years in that they know he is underpaid for his job. The situation is exacerbated by the fact that, having received the president's announced retirement in June 2011, the board now needs to hire a new president, and UK's ostensible salary is among the lowest among our peer universities. A proposed solution was reached by the Board's Executive Committee in its meeting on September 9, which Dr. Brockman explained with his slide presentation.

Mr. Miles opened the floor to discussion by the members of the Board. Many members of the Board offered their comments, including Mr. Shoop, Mr. Stuckert, Mr. Smith, Dr. Gannon, Dr. McCorvey, Mr. Gatton, Ms. Brothers, Dr. Peek, Ms. May, Ms. Curris, and Mr. Roberts.

Mr. Miles called for a reading of the recommendations of the Executive Committee. Ms. May stated: "The motion that came out of the Executive Committee, Mr. Chair, is that the officer's base compensation shall be increased by the amount of \$157,046 and be effective for fiscal years 2009-10 and 2010-11. The officer's base compensation for fiscal year 2010-11 may be further increased by means of a performance-based incentive of an amount not to exceed \$50,000."

Mr. Miles asked for a motion to vote on the recommendations and Ms. Patterson moved for the motion; Mr. Stuckert seconded.

Dr. Brockman asked to amend the motion to include for the year 2009-10 a performance bonus, a maximum of which would be \$50,000 based on the achievement of metrics established by the Board of Trustees. Mr. Stuckert seconded.

A vote on the amendment yielded a majority of ayes, with two voting nay (Ms. Brothers and Mr. Peek).

A vote on the amended motion was moved by Dr. Brockman and seconded by Mr. Stuckert. The motion carried with Ms. Brothers and Mr. Peek voting against.

Mr. Miles asked that Mr. Shoop present the Nominating Committee report.

F. Nominating Committee Report

Mr. Shoop reported that the Nominating Committee met September 2 to discuss the nomination of officers and members of the Executive Committee. Following a poll of the Board of Trustees, the Nominating Committee was pleased to submit the following nominations for officers:

Chair	E. Britt Brockman
Vice Chair	James W. Stuckert
Secretary	Pamela T. May
Assistant Secretary	Barbara Jones

Mr. Shoop moved approval. Mr. Mobley made a motion that the nominations cease. Ms. Brothers seconded the motion, and it carried without dissent. There were no nominations from the floor.

Mr. Miles then called for a vote on Mr. Shoop's motion for the slate of officers presented, and it passed without dissent.

Mr. Shoop submitted the following nominations for members of the Executive Committee:

Britt Brockman, Chair  
Jo Curris  
Terry Mobley  
James Stuckert  
Barbara Young  
Pam May, Secretary as an ex officio member

Mr. Shoop moved approval. There were no nominations from the floor. Mr. Roberts seconded the motion, and it passed without dissent.

G. Appointment to Board of Directors – University of Kentucky Mining Engineering Foundation, Inc. (NCR 1)

Mr. Shoop continued with his report and said that NCR 1 recommends that the Board approve the appointment of James Stuckert as a Trustee member to the University of Kentucky Mining Engineering Foundation Board of Directors for a two-year term ending September 13, 2012.

The Governing Regulations require that the Nominating Committee review and recommend appointments of Trustees to the Boards and committees of the University and its affiliated entities. The bylaws of the foundation require that the Board of Trustees approve appointments to the Board of Directors.

On behalf of the Nominating Committee, Mr. Shoop moved approval of NCR 1. Ms. Curris seconded the motion, and it carried without dissent. (See NCR 1 at the end of the Minutes.)

H. Appointment to Board of Directors – University of Kentucky Research Foundation (NCR 2)

Mr. Shoop said that NCR 2 recommends that the Board approve the appointment of Erwin Roberts as a Trustee member to the University of Kentucky Research Foundation Board of Directors for a three-year term ending June 30, 2013.

The Governing Regulations require that the Nominating Committee review and recommend appointments of Trustees to the Boards and committees of the University and its affiliated entities. The bylaws of the foundation require that the Board of Trustees approve appointments to the Board of Directors.

On behalf of the Nominating Committee, Mr. Shoop moved approval of NCR 2. Mr. Stuckert seconded the motion, and it carried without dissent. (See NCR 2 at the end of the Minutes.)

Following the Nominating Committee report, Mr. Miles relinquished the chair's seat to newly elected chair Dr. Brockman.

Dr. Brockman said that he was humbled and indeed honored to serve as chair. He said that the Board has some tremendous challenges ahead. First, it has to support President Todd for the next nine months to continue his fantastic work that has been discussed. At the same time, the Board has the daunting challenge of selecting a new president to fill his shoes in as seamless a transition as possible. Dr. Brockman promised to do his best to not become distracted and to stay on task. He thanked the Board for the honor of serving as chair.

I. President's Report to the Trustees (PR 1)

Before beginning PR 1, President Todd called attention to the appointment of George Ward, Executive Director of the Coldstream Research Campus. He asked Mr. Ward to stand and be recognized. He said that the University is very fortunate to have someone with his mix of skills.

President Todd commented on the following items in his report:

First Scholars Program Launched with \$1.1 Million Grant from Suder Foundation

Eric Suder, who made his wealth in his electronics firm, has committed to changing the graduation rate for first generation students, which is about 24 percent. He has given to 20 first-generation freshmen \$5,000 a year for four years and has committed to do this with next year's freshman class. UK and the University of Utah are the only two universities he has selected to launch the foundation's First Scholars Program. UK has to develop procedures and processes that can change the graduation rate. President Todd said that this program is a great thing, and he is very excited about it. Mr. and Mrs. Suder were on campus recently to meet the first 20 students. This will be a big impact on these students' lives.

UK's Power and Energy Institute of Kentucky gets \$4.5 Million

UK's Electrical Engineering Department has received \$4.5 million to help educate the next generation of power engineers. With \$2.5 million in start-up funds from the U.S. Department of Energy and \$2 million from E.ON for scholarship endowment, UK's new Power and Energy institute of Kentucky will train urgently needed specialists to handle the technical challenges of generating, transmitting, and distributing power. E.ON sold LG & E. Because of their good partnership and relationship in Kentucky, they gave the University of Kentucky and the University of Louisville \$2 million to use at their discretion. UK has chosen to create scholarships in the area of power in Kentucky. The \$2.5 million worth of start-up funds from the U.S. Department of Energy is for the purpose of looking into the engineering area because that area will lose about 80 percent of its engineering employees in the next five years with the baby boomers graduating.

UK Receives 41 Million to Help Bring Higher Education Back to Iraq

UK will receive \$1 million to spend over three years in the areas of curriculum development and distance learning. UK was selected as one of five schools to try to bring higher education back to Iraq. It has been ravaged, and UK is working with the University of Kufa in the area of civil engineering, business administration, and English as a second language.

UK Economics Professor Serves on Congressional Oversight Panel

Professor Kenneth Troske was nominated by Senator Mitch McConnell for a position on the Congressional Oversight Panel. Part of the Panel's responsibility is to look at the Troubled Asset Relief Program (TARP) and determine if the money is being spent effectively. Professor Troske has already been in Washington and had many members of the banking industry testify before him. This is a very prestigious position.

### College of Medicine Hits Top 20 for ‘Social Mission’

The UK College of Medicine ranks 14<sup>th</sup> in the nation based upon its “social missions score.” This is what a land-grant university should do for its state, and the College of Medicine is doing it extraordinarily well.

### Rural Physician Leadership Program Students Head to Morehead, Kentucky This Fall

The ribbon cutting for a new building called the Center for Health, Education, and Research at Morehead, Kentucky was held in August. The Rural Physician Leadership Program is very unique to the Commonwealth. UK selects 10 students from eastern Kentucky to occupy 10 of the 113 positions in the medical school class. The students will do their first two years on UK campus, and their last two years at St. Claire Regional Medical Center working with Morehead State University. Hopefully, the students will remain in the rural areas to become physicians. Within the next year or two, UK plans to be doing the same thing with Murray State University in the western part of the state.

### UK Still Ranks First in Creating Start-up Companies

UK ranks first among benchmarks in creating start-up companies per \$10 million of research expenditures with universities that have started companies. The ranking is based on every \$10 million of research expenditures. UK was 13<sup>th</sup> in start-up companies among all public universities and 17<sup>th</sup> among its benchmarks in royalties received, and that number will go up as these companies go on line. President Todd thanked Vice President Leonard Heller and his staff for getting that done.

### ASTeCC Incubator Company 3H receives \$2.7 million from Department of Energy

3H Company, which is one of UK’s incubator companies, received \$2.7 million to look at carbon sequestration. The company will move to lab space at Coldstream Research Campus soon. This is a company that recently relocated to Lexington from Hampton, Virginia because of the match program the state of Kentucky has put together for the small business innovation research grants. Kentucky is the only state that actually matches those up to \$1 million. President Todd mentioned another company that came to Kentucky from Philadelphia and said it is a real promising thing, especially since it is in the energy area for carbon sequestration which is important to the state.

### UK Alumnus Brings 90 New Software Jobs to Lexington

Software engineering company Galmont Consulting will open a testing center in Lexington and create 90 full-time engineering jobs and partnership opportunities with UK. UK alumnus Jeri Smith started the company, which is moving to Lexington, and they are cooperating with UK’s Department of Computer Science and the College of Engineering.

UK has 684 freshmen in engineering this year. Three years ago there were approximately 488 freshmen. The average ACT for this entering freshmen class of engineering students is 28.5. It is a strong group, and that is just totally opposite of what is going on at a national level right now with engineering enrollment. Everyone can be very proud of this increase.

### Kentucky P20 Innovation Lab Launched

The Kentucky P20 Innovation Laboratory is UK's best connection to P through 12 education. College of Education Dean Mary John O'Hair had done something like this prior to coming to UK. UK hosted a seminar with Commissioner of Education Terry Holliday, and 174 superintendents from around the state of Kentucky participated and talked about how the 17 colleges are going to become involved with bringing innovative practices to the P through 12 classrooms.

### Singletary Scholar Program Sees Highest Yield Rate Ever

UK's Singletary Scholars' yield rate is up, and this will cost the university more money. This year 25 offers were made, and the yield was 31. Twenty-eight are Kentucky students, and their average ACT is 32.71.

### Two Equine Art Exhibitions Open, Coincide with World Equestrian Games

UK's Art Museum opened "The Bluegrass Palette of Andre Pater" on June 27, featuring the work of a local artist, Andrea Pater, who is known internationally for his sporting art. The exhibitions come at the time of the World Equestrian Games, and some of the exhibits are from Smithsonian. It includes the top collections from around the country. President Todd encouraged the Board to see the exhibits.

### UK Graduates and Students Selected for Teach for America

Twenty-two UK graduates and students were selected for Teach for America, a national program in which outstanding college graduates commit to teach for two years in disadvantaged urban and rural public schools.

### Five Students and Alumni Selected for Fulbright Scholarships

Five UK students and alumni were selected as recipients of Fulbright U.S. Student Program scholarships.

### UK Freshman Class Sets Record for Academic Quality and Applications

President Todd said that he was pleased to report that this fall's freshmen class is the most academically prepared in the institution's history. In 2001, the freshmen class was 3,000, and this year's freshmen class totals 4,326, up from 4,153 last year. The ACT scores have continued to improve with a record 25.2 ACT composite average, up from 24.7 last year. The average high school GPA also is at an all-time high at 3.60, up from 3.52 last year.

UK also set another record for applications at 13,537, up 11 percent over 2009, and applications from out-of-state markets all increased from the last four years. Last year was the first time UK had 12,000 applications. The appetite for the University of Kentucky is very strong.

The freshman class also experienced a significant increase in diversity. Enrollment of Hispanic students is up 28 percent to 111. African-American enrollment exceeded last year, which was a record. This fall, UK has 412 compared to 403 in fall 2009. President Todd noted that there were some stronger criteria this year to make sure those students would be retained through the freshman and sophomore year and would graduate with higher probability.

In 2001, UK had 537 applications from African-Americans for the freshman class, and there were 2,066 this year. UK had 57 African-American faculty, and there are 89 today. Changing the front of the classroom has been instrumental in the increase of African-Americans. Provost Subbaswamy and Vice President Judy 'J.J.' Jackson are to be commended for their hard work in getting the message out.

More students are transferring to UK from other higher education institutions. New transfers number 1,147, up 10 percent from last year. That is really important because students with associate degrees need to finish that four-year degree. Transfers from Bluegrass Community and Technical College increased 13 percent from the entire KCTCS colleges. That is really an improvement.

Graduate enrollment fell slightly, but there is a record enrollment of 2,206 students seeking research doctorates, and part of our mission is to get that number up. The College of Arts and Sciences, Engineering, and Fine Arts are seeing a record number of research doctorates.

Provost Subbaswamy targets enrollment to be around 4,000. For budgetary purposes, he tells Admissions to beat that number. They try to accept enough applicants based upon UK's historical yield rate in order to get around 4,050. This year the yield is about 4,300. Provost Subbaswamy wants that excess revenue to make sure work continues on the student-faculty ratio. He knows that he has the flexibility to do whatever it takes to keep the quality up. President Todd said that he is very impressed with the work that Associate Provost Don Witt and his group have done.

J. Consent Item PR 2

Ms. Brothers referred back to PR 2 on the consent agenda which had been approved. She asked about Leonidas Bachas on page 34 listed under Leave of Absence. She stated that this individual is now employed as dean of another institution and questioned the leave of absence.

Provost Subbaswamy explained that there are several graduate students who need to finish their degrees, and it is standard practice for professors to remain in a leave status so they can serve on students' committees.

K. Comments about New Deans

President Todd asked Provost Subbaswamy to introduce some new deans who were not able to attend the last Board meeting. He said that Provost Subbaswamy and others in the academic area have done a remarkable job in selecting deans. Since 2001, 15 new deans have been hired. It is not easy to find new deans, and it is not that easy to convince them that UK has the best deal for them. UK has gotten spectacular people, and President Todd gave Provost Subbaswamy tremendous credit for that.

Provost Subbaswamy said that UK is very fortunate to be able to recruit outstanding leaders. It has so much talent. UK needs leaders who are respected or who have established

their own track records. What brought them to UK is the top 20 vision set by President Todd. All of the new deans were attracted to UK because of that vision. He said it was his pleasure to bring two appointments to the Board for approval and also to introduce two deans previously approved by the Board.

L. Appointment of Dean of Libraries (PR 3)

Provost Subbaswamy said it was his pleasure to introduce Terry Birdwhistell who is recommended for appointment as Dean of Libraries. Dr. Birdwhistell is a fully home grown product, Kentucky Proud. He was fully educated at the University of Kentucky and truly is a treasure for the state in that he is an oral historian who probably knows more history of Kentucky and the University of Kentucky in his head than all the volumes you will find in UK's outstanding William T. Young Library. Provost Subbaswamy reported that there was no shortage of applications, and he is truly glad to recommend Terry Birdwhistell for the appointment of dean. Dr. Birdwhistell was asked to stand and be recognized, following which he received a round of applause.

Dr. Brockman asked for a motion of approval of PR 3. Mr. Smith moved approval. His motion was seconded by Mr. Stuckert and passed without dissent. (See PR 3 at the end of the Minutes.)

M. Appointment of Dean of the College of Pharmacy (PR 4)

Provost Subbaswamy said it was his great pleasure to welcome Dr. Timothy S. Tracy to the state of Kentucky. His recommendation is that the Board approve the appointment of Dr. Tracy as the Dean of the College of Pharmacy. As everyone knows, UK has had a highly ranked College of Pharmacy which is poised for even greater heights because of the new building and all the support that exists along with the strong growth in the health care enterprise. UK really needed the absolute best leader, and there is no question whatsoever that Dr. Tracy is the best candidate anywhere in the country. He was educated at Ohio Northern University and Purdue University. He started out as a practicing pharmacist and then went on to get his advanced degrees. He has worked very closely with academic medical center organizations as well as the Mayo Clinic. He brings a wide variety of experiences all the way from pharmacy practice to pharmacy education to pharmaceutical research. He began his academic career at West Virginia University. UK is very fortunate to have Dr. Tracy. Provost Subbaswamy asked Dr. Tracy to stand and be recognized, following which he received a round of applause.

Dr. Brockman asked for a motion for approval of PR 4. Ms. Patterson moved approval. Mr. Roberts seconded the motion, and it passed without dissent. (See PR 4 at the end of the Minutes.)

Provost Subbaswamy then introduced Dr. Michael Tick, Dean of the College of Fine Arts. Dr. Tick comes from a theatre background. He was at Louisiana State University most recently but if you look at his resume you will see that he has had a really broad range of experiences in arts education and in the practice of arts. He will be a very effective spokesperson for the arts, not only at the University of Kentucky but in the entire Commonwealth. He

welcomed Dr. Tick and asked him to stand and be recognized, following which he received a round of applause.

Provost Subbaswamy introduced the new Vice President for Student Affairs Dr. Robert Mock. Dr. Mock was the runaway favorite of everyone on the search committee, especially the students, and he certainly has hit the ground running. He comes to UK from the University of Arkansas where he has held a number of positions. Dr. Mock will be a critical element of combining in class and out of class experiences to complement one another and reach retention and graduation rates successes that will bring UK to the top 20 level. He asked Dr. Mock to stand and be recognized, following which he received a round of applause.

President Todd said that he wanted to mention a press release that has to do with the provost war on attrition, which Dr. Mock understands that area very well. When Provost Subbaswamy started the war, UK had about 75 to 76 percent. UK is now at 82 percent freshmen to sophomore retention, which is a record for the university, and that has an impact. UK now has about 29,000 of those students to continue at UK. That builds additional revenue, but it also builds the size of the institution. President Todd congratulated Provost Subbaswamy on that accomplishment.

N. Appointments/Reappointments of Board of Directors University of Kentucky Mining Engineering Foundation, Inc. (PR 5)

President Todd said that PR 5 recommends appointments and reappointments to the Board of Directors of the Mining Engineering Foundation. He asked for the Board's approval of the appointment of David R. Cobb and Bill Bissett and the reappointment of 15 other individuals listed. He noted that bios on all of the individuals were attached. In addition, he recommended that David Zegeer be appointed as an emeritus member of that board.

Dr. Brockman called for a motion for approval of PR 5. Mr. Shoop moved approval. Ms. Brothers seconded the motion, and it passed without dissent. (See PR 5 at the end of the Minutes.)

O. Approval of an Exception to Kentucky Statute ( PR 6)

President Todd said that PR 6 recommends approval to an exception to the Kentucky Revised Statutes. Dr. John Gurley, a faculty employee in the College of Medicine, is one of UK's eminent faculty members. He had a concept that he talked to Dr. Dick Furst, Dr. Leonard Heller, and others about, and that concept was to form a company called Therix Medical Development, Ltd Company. President Todd explained the process that took place in capturing the concepts from UK's practitioners in starting Therix. He noted that UK only had a couple of patent releases or patent ideas from that group of practitioners a few years ago, and there are 29 ideas in less than a year, two of which are under consideration by corporations. Medical devices are a lot faster to bring to industry than pharmaceuticals because trials are not involved. Dr. Gurley actually owns some stock in Therix.

President Todd reported that this exception went to the Ethics Committee. The committee wrote an opinion and gave the details in the opinion attached to PR 6. The Ethics Committee unanimously approved this, and Dr. Gurley has approved it. PR 6 is to present full disclosure to the Board and make sure everybody is comfortable with it.

Dr. Brockman asked for a motion for PR 6. Mr. Stuckert moved approval. Mr. Mobley seconded the motion, and it passed without dissent. (See PR 6 at the end of the Minutes.)

P. Candidates for Degrees (AACR 1)

Ms. Brown, chair of the Academic Affairs Committee, reported that the committee did not meet; however, there is one action item to approve the candidates for degrees for students that graduated this summer. AACR 1 is the recommendation that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate. Each individual whose name appears on the list attached to AACR 1 completed the work leading towards the degree for which the application was made at the close of the 2010 summer session. She recommended approval of AACR 1. Dr. McCorvey seconded the motion, and it passed without dissent. (See AACR 1 at the end of the Minutes.)

Q. Renovate Nursing Building (FCR 4)

Mr. Gatton, who chaired the Finance Committee meeting, mentioned that FCRs 1, 2, and 3 were on the consent agenda and began his report with FCR 4 which was to Renovate the Nursing Building. He said that the committee discussed it and approved it. Since it was self-explanatory, he recommended that the Board approve FCR 4. Mr. Miles made a motion for approval. Ms. Curris seconded the motion, and it passed without dissent. (See FCR 4 at the end of the Minutes.)

R. Renovate Old Pharmacy Building for Biology (FCR 5)

Mr. Gatton said that FCR 5 is to Renovate Old Pharmacy Building. He noted that there are a number of new uses for it and said that it is wonderful when you build a new building, take the old one and spend some money remodeling it, and get a lot of additional use for years to come. Ms. Patterson made the motion to approve FCR 5, and Dr. McCorvey seconded the motion.

Ms. Brothers noted that there was a separate handout from the FCR in the book and asked if it was different from the one in the agenda book. Executive Vice President Frank Butler explained that the original one in the book was just for use by biology, and the provost pointed out that there is going to many other academic programs included in the building. Therefore, for the purposes of correctness, the reference to biology was dropped.

Dr. Brockman called for a vote, and the motion passed without dissent. (See FCR 5 at the end of the Minutes.)

S. Renovate Track and Field Facility (FCR 6)

Mr. Gatton said that FCR 6 recommends that the Board approve the initiation of the renovation of the university's track and field facility. The renovation includes track surfaces, etc. The project is not expected to exceed more than \$14 million and will be funded with \$7.1 million of private funds from the University of Kentucky Athletic Association and up to a \$6.9 million internal loan from the University. This loan is to be repaid to the University over a period not to exceed five years. The Debt Management Committee has reviewed this proposed loan and financing plan and determined that it is consistent with the University's Debt Policy. On behalf of the Finance Committee, he recommended approval of FCR 6. Mr. Shoop seconded the motion, and it passed without dissent. (See FCR 6 at the end of the Minutes.)

T. A Resolution Providing for the Authorization, Issuance, and Sale of Approximately \$26,000,000 General Receipts Obligations (Energy Savings Project) and Approximately \$35,000,000 General Receipts Refunding Obligations of the University of Kentucky, Pursuant to the Trust Agreement Dated as of November 1, 2005 (FCR 7)

Mr. Stuckert said that FCR 7 involves the fine firm of Hilliard Lyons; therefore, he must recuse himself from discussion and voting. Dr. Brockman asked that the minutes show this in the record.

Mr. Gatton reiterated that the record show that Mr. Stuckert did not make comments or vote on FCR 7 which is A Resolution Providing for the Authorization, Issuance and Sale of Approximately \$26,000,000 General Receipts Obligations (Energy Savings Project) and Approximately \$35,000,000 General Receipts Refunding Obligations of the University of Kentucky, Pursuant to the Trust Agreement Dated as of November 1, 2005. He asked Vice President Angie Martin to make comments on this item.

Ms. Martin said the \$26 million bond issue is for the energy project, and that debt service will be paid for with savings from less energy consumption. The other \$35 million is a refunding that will only occur if it is determined it is financially feasible.

Mr. Gatton said that this item is a win-win situation. The University gets all the money back plus extra money, particularly after the savings have taken care of the obligation.

Dr. Brockman asked for a motion for approval. Mr. Roberts made the motion. Dr. Gannon seconded the motion, and it carried without dissent. (See FCR 7 at the end of the Minutes.)

U. Transfer of Real Property Referred to as Griffith Woods in Harrison County, Kentucky to Kentucky Department of Fish and Wildlife Resources (FCR 8)

Mr. Gatton said that FCR 8 is the Transfer of Real Property Referred to as Griffith Woods in Harrison County, Kentucky to the Kentucky Department of Fish and Wildlife Resources. He asked Executive Vice President Frank Butler to explain the recommendation

Mr. Butler explained that this is land the University acquired a number of years ago that was to be used as a research farm. Because of the conservation restrictions on the properties, it really does not lend itself to that use. The College of Agriculture has been paying about \$100,000 a year for a resident graduate student to maintain the property. They have determined that it is not going to be used for a research farm, and they are recommending transferring it to the Kentucky Department of Fish and Wildlife Resources who will agree to abide by the conservation and assume the costs associated with maintaining the property. The University cannot sell the property because of a state law against it; therefore, this is the best alternative. It will save the University \$100,000 a year.

Dr. Brockman asked for a motion to approve FCR 8. Mr. Shoop moved approval. Mr. Stuckert seconded the motion, and it passed without dissent. (See FCR 8 at the end of the Minutes.)

V. Lease/Purchase of Medical Equipment (FCR 9)

Mr. Gatton said that FCR 9 is the Lease/Purchase of Medical Equipment. The Board is requested to approve a \$10 million medical equipment lease pool for the UK HealthCare Enterprise. He noted that this is a recurring item each year. This pool will assist with cash-flow management as UK HealthCare proceeds with several cash-intensive capital projects including the construction of the Patient Care Facility. The University's Debt Committee has determined that this request is consistent with the University's Debt Policy. On behalf of the Finance Committee, he recommended approval of FCR 9. Mr. Smith seconded the motion.

Ms. Brothers asked if it is like an internal loan to the medical center that is repaid in full.

Executive Vice President Karpf explained that it is not a loan.

Ms. Brothers asked if there would be a report back to the Board regarding the expenses or regarding what is purchased or leased.

Executive Vice President Karpf said that these are capital items for the hospital, and this is part of the process done every year to manage cash flow.

Dr. Brockman called for a vote, and it passed without dissent. (See FCR 9 at the end of the Minutes.)

W. Patent Assignment Report (FCR 10)

Mr. Gatton said that FCR 10 is the Patent Assignment Report. Vice President James Tracy presented the quarterly Patent Report for the period ended June 30, 2010 which includes five patents. During the fiscal year ended June 30, 2010, the University filed 30 patent applications, 31 patents were issued, and patent receipts total \$2.3 million. On behalf of the Finance Committee, he recommended acceptance of FCR 10. Barbara Young seconded the motion, and it passed without dissent. (See FCR 10 at the end of the Minutes.)

X. Capital Construction Report (FCR 11)

Mr. Gatton said that FCR 11 is the Capital Construction Report, and he asked Vice President Bob Wiseman to give his report.

Mr. Wiseman reported that this report includes all capital projects in excess of \$600,000 for the period of April 1, 2010 through June 30, 2010. There were eight new contracts including three for design and five for construction.

Mr. Wiseman highlighted the Third Floor of the Little Library project, which is shell space in the Little Library in the College of Communications. There were eleven vendors on that particular project, and this is the low bid. He then highlighted the construction project for the Center for Applied Energy Research Building at Coldstream. He noted that most of the contracts will grow to about \$19 million in full scope, and additional projects will be brought to the Board.

Under the new projects, Mr. Wiseman highlighted the upgrade renovation and expansion of the research lab fit-up on the fourth and fifth floor of the biopharmacy building. This is much needed research space. The project will begin now and should come in at about \$26 million. The bidding process is almost completed.

There was one contract completed in this quarter, and there were four amendments. He highlighted the patient care facility project. One of the contract amendments included the design and fit-up of the phase two of that project which was the OR suites as well as the final design of the kitchen and cafeteria.

Thirty-four change orders were greater than \$25,000. Two involved the Livestock Disease Diagnostic Lab on New Town Pike. Two were completing the bio-pharmacy building.

There were 28 change orders on the Construct Patient Care Facility project for a total of about \$2 million, which remain within the budget within the contingency that is set up for the project. Phase one will come in at just slightly less than the \$532 million.

Mr. Wiseman then highlighted one of the change orders, which was a \$425,000 change order in the Patient Care Facility. That is simply putting in some of phase 2 so there will not be any disturbance to patients by having to jackhammer on the ceiling later. This was just moved ahead of the original plan.

On behalf of the Finance Committee, Mr. Gatton moved approval of FCR 11. Dr. Gannon seconded the motion, and it passed without dissent. (See FCR 11 at the end of the Minutes.)

Mr. Gatton said that he would like to briefly refer back to the previous discussion regarding the University's budget situation. He said that he supports the faculty, and he wishes there was some way that money could be found for the faculty to get raises. There are some things that he has thought of that might work, and he will certainly pass along his ideas to President Todd. However, if you gave every faculty and staff employee what President Todd has been denied, it probably would not amount to more than a \$1, certainly no more than \$2.

Nobody likes to not get raises. He said that he certainly gives raises in his business, and unfortunately, General Motors did away with five Saturn stores, eliminating 250 jobs in his businesses. Those employees no longer have insurance, etc.

Mr. Gatton said that he has empathy that there have not been raises, but it is not President Todd's fault. The problem is with the state. He suggested for everyone to have their relatives throughout the state talk to their congressman or state senators to try to get them to support the University which is the state's flagship university. He noted that the University certainly needs a lot of things.

Mr. Gatton said that he was told ten years ago that the University was going to have a new business school building, and the building has not gotten started yet. If a new building happens, there would be a lot of classrooms available for the rest of the University, which would benefit everything related to the University.

Mr. Gatton reiterated that the state is to blame for the employees not getting raises, and something needs to be done to try to get employees raises.

Mr. Shoop said he wanted to make one comment before moving off the Finance Committee report. He referred to FCR 9 and Ms. Brothers question about a report back to the Board. He said that FCR 9 is the report to the Board.

Y. Investment Committee Report

Dr. Brockman, chair of the Investment Committee, reported that the Investment Committee met that morning to review performance results and conduct other business. The Endowment had a net market value of \$748.7 million as of June 30, 2010. For the fiscal year ended June 30<sup>th</sup>, the Endowment pool returned 13.1 percent, compared to the policy benchmark return of 10.4 percent. The policy benchmark is a weighted average of various market index returns that are representative of the University's target asset allocation. The fiscal year outperformance against the policy benchmark is due to an overweight to equities because of a tactical decision made to delay full implementation of the new asset allocation approved by the Committee in June 2008 in order to allow the equity assets to participate in the anticipated equity market rally and recover some of the prior losses. The new target asset allocation approved in June 2008 significantly reduced the target allocation to equities and increased diversification in

other asset classes, reducing the projected risk, or volatility, of future returns. In June 2009, after three months of market recovery, investment staff and consultant, R. V. Kuhns & Associates, initiated a transition plan to implement the new absolute and real return strategies in four quarterly installments, with the final installment being completed in March 2010. By delaying and then gradually reducing the exposure to equities to fund the new strategies, the Endowment participated in the equity market rally during the first three quarters of the fiscal year and is now better positioned for the future.

The Committee then went into closed session to discuss the recent non-U.S. equity manager search. After returning to open session, the Committee authorized the Executive Vice President for Finance and Administration, or his designee, to enter into contract negotiations with a new non-U.S. growth equity manager that is complementary to the existing non-U.S. core and value equity managers.

The Committee heard a presentation on the federal Uniform Prudent Management of Institutional Funds Act (UPMIFA), which was approved by the 2010 Kentucky General Assembly and was codified in July. The new law sets forth various requirements related to the prudent investment of funds, expenditures from endowment funds, and the release or modification of donor restrictions.

The Committee approved the Endowment Investment Policy, which is formally reviewed and approved on an annual basis. Among other changes, the policy includes changes to the target asset allocation and establishes a new Endowment Advisory Group of senior University administrators and faculty, to be appointed by the President, for the purpose of advising the Vice President for Financial Operations and Treasurer on various matters pertaining to the prudent management of individual endowment funds, such as spending on underwater endowments and appropriate dollar minimums for various endowment types. In addition, the investment staff and consultant recently performed a portfolio risk review and an asset allocation study and recommended a revised asset allocation with the objective of further diversifying the Endowment and decreasing the projected volatility of future returns. The new Endowment Investment Policy contains a new target asset allocation that reflects decreases to the U.S. equity, fixed income, and real estate asset classes, and increases to the absolute and real return asset classes.

The University's target asset allocation and manager structure is designed to produce an average annual return of 7.5 percent over the long-term, defined as 10 years or more. If achieved, the 7.5 percent annual return should allow the University to support the 4.5 percent annual spending and management fee withdrawals, and maintain the inflation-adjusted value of the Endowment.

#### Z. Student Affairs Committee Report

Ms. Curris, interim chair of the Student Affairs Committee, reported that the Committee met that morning, and a quorum was present. The new Vice President for Student Affairs Robert Mock was introduced, and he spoke to the committee. Immediately thereafter, the members of the committee and other Board members were asked to provide Dr. Mock with any comments regarding the Student Affairs area.

Ms. Brown responded that there is a very great concern in terms of finding parking spots on campus. Her comment is based on her sons' personal experience.

Dr. Peek indicated that there needs to be a method put into place for students to connect with the university and participate in surveys.

Dr. Gannon said that he is a new Board member and is currently base building and fact gathering.

Mr. Smith, student trustee, indicated that student safety, parking, and a new Student Center in the future, would be very applicable in terms of development of student pride and unity by all students as well as more non-traditional student involvement.

Associate Dean of Students Becky Jordan was asked to give a report regarding the Common Reading Experience whereby all freshmen are asked to read a particular book. This year's book was *Zeitoun* by Dave Eggers, and it was noted that during K Week the K Crew leaders and students met to discuss the book. In the UK101 classes, students are to write a three-page report and engage in class discussion. There is to be an art exhibit, sponsored by the Student Activities Board, in the Rasdall Gallery, called "Katrina Collection." The artist used debris from the hurricane and incorporated it into the art. Everyone is invited to attend the exhibit. Also, the Tuska Gallery, in the College of Fine Arts, will have an exhibit called "Aftermath" featuring four artists. The author Dave Eggers of *Zeitoun* will lecture at the Singletary Center for the Arts on September 27.

The success of the Common Reading Experience program was probably exemplified by the fact that the students created a face book to have quite wide-ranging discussion on the book.

Subsequent to that, Associate Vice President Victor Hazard referenced the fact that there have been changes to the Student Code of Conduct. He introduced the new Associate Dean for Students for Judicial Affairs Dana Walton-Macaulay. In the changes for the Student Code of Conduct, there will now be training for members of the University Appeals Board and for the hearing officers. It will provide rights for the complainant when it did not have those rights before. These actions will bring the University of Kentucky in line with Best Practices, and the process will be more streamlined. In difference to a question concerning confidentiality, the hearing officers undergo a four-hour training, and the questions of privacy and confidentiality are emphasized throughout that entire training. Thus far this past year, there were approximately 200 to 300 cases that were brought forward.

Also in that discussion was the establishment of the Students of Concern (SOC) Team. The SOC Team was actually formed about three years ago to provide a centralized point of contact for those who have a concern about an individual student. It was formally called UK Cares, but they felt that maybe that was misleading.

A subset of the SOC team will be called the Threat Management Team (TMT). This group will follow specific individual cases that pose a threat or a danger to themselves or others.

The University of Kentucky is continuing its great interests in individual students and concerns about those that may be having various issues that are precluding them from performing well.

Mr. Smith then reported that the Student Government Association has allocated \$115,000 to student organizations and their activities. This year there are over 400 organizations, compared to 300 last year. The Freshman Leadership Program has 40 freshmen participating. The SGA is again providing financial support to the Violence Intervention and Prevention Center. A new project that sounds very exciting is a driving program whereby between 9:00 p.m. and 3:00 a.m. on Friday, Saturday, and Sunday there will be some type of method of taking students from one place to another as a safety issue. Ms. Curris complimented Mr. Smith on this terrific sounding program.

As chair of that committee Ms. Curris asked that the ROTC students be recognized. These students placed flags, walked in formation, and read the names of all those who left us on September 11. She asked that anyone seeing those in uniform to please thank them.

Ms. Curris said that she completed the Student Affairs Committee meeting by asking for an update concerning the Veterans Resource Center from Dr. Hazard. Dr. Hazard is very excited about how well that Center has developed. Dr. Hazard was very complimentary of Anthony Dotson who has taken charge of that program. She called attention to a flyer that had been distributed requesting Board of Trustees unused tickets to football and basketball games and who better to offer tickets than those who have absolutely contributed to this country.

#### AA. University Health Care Committee Report

Ms. Young, chair of the University Health Care Committee, reported that the committee met on Monday, September 13, 2010 with three committee members and four community members present. Vice President and Chief Finance Officer for UK HealthCare Sergio Melgar reviewed the statistics and financial reports for the month of July and presented a preliminary statistical report for the month of August, which has not yet closed its financial books.

The year started very strong with the average daily census averaging above 530 patients. As a comparison, the average daily census in July and August of last year averaged 510. Discharges for both months continue to be ahead of July and August in fiscal year 2010 and exceed the budget. One very important point is the increase in outpatient cases. Surgeries continue to be strong, averaging over 2,200 per month and representing an 8.3 percent increase over July and August in fiscal year 2010.

Comparing the balance sheet at July 2010 and 2009, cash has decreased \$63.3 million. The \$91.6 million balance is \$36.5 million lower than the balance in June 2009. The decrease from fiscal year 2009 is due to the use of cash reserves for the remainder of phase 1A of the patient care facility.

Accounts receivable has increased by \$4.6 million compared to last year, and net patient revenue increased by \$6 million. The value of endowment designated investments now totals

\$184.5 million, which is the \$6.3 million increase from July last year but still down from the \$225 million principal balance.

Current month investment income is \$7.4 million. August was a bust for investments, but September seems to be making a recovery. The balance sheet now reflects the issuance of the University of Kentucky bonds totaling \$361.9 million. The current portion of the debt that will be paid in the current fiscal year is \$9.6 million.

Operating revenue for the month of July is \$73.6 million, which is \$5.4 million above the budget compared to prior year. Operating revenue increased by \$7 million or 10.6 percent. UK HealthCare system posted a \$4.2 million income from operations this month, which is \$5.3 million above budget and \$225.5 thousand less than the prior year. Net assets for the month increased by \$9.3 million for a margin of 12.6 percent. The hospital is doing great.

Vice President for Clinical Operations Richard Lofgren presented a clinical performance update which looked at signature performance metrics and quality, safety, service, efficiency, and growth and compared performance in these areas to the established 2010 and 2009 result. He explained that UK is using the metrics developed by University Health Systems to compare the performance of UK's medical center in areas such as safety, mortality, patient centeredness, equity, and effectiveness to other academic medical centers that most closely resemble the University of Kentucky.

Associate Vice President Murray Clark gave an update on the patient care facility, which he reports is still on schedule and under budget. It is scheduled to open in May 2011, and the exact opening date will be announced soon.

Dr. Kevin Nelson presented for approval the privileges and appointments for Chandler and Good Samaritan Hospitals. He noted that these privileges have been appropriately reviewed by the medical staff. The committee approved all of the privileges.

Senior Associate General Counsel Ruth Booher presented the committee with the revised UK HealthCare Medical Staff Bylaws and the Operating Rules of the University Health Care Committee. The committee will review and vote on the revisions at the committees next scheduled meeting on October 11.

BB. Athletic Association Board of Director Report

Mr. Shoop, a trustee representative on the Athletic Association Board of Directors, reported that the Board met on August 3. President Todd thanked the outgoing members for their service on the Board and introduced the new members: Darby Turner, Ballard Cassady, Wendell Bell, Jack Brown, and Robert Mock. He asked Athletics Director Mitch Barnhart to tell the Board about his climb of Mount Rainier and the Lighthouse Ministries that benefited from his climb.

Mr. Barnhart told the Board about his participation in the climb of Mount Rainier and explained his involvement in Lighthouse Ministries. He also told about the community service

project that student-athletes will be doing this year, including delivering food for the weekends to needy children in grade schools.

Mr. Barnhart reviewed the annual report and the Football GameDay Ready packet. He recognized Deputy Athletic Director Rob Mullens for his service to the Athletics Department, the University, and the community for the past 8 years and wished him the best in his new position as Athletic Director at the University of Oregon.

Executive Vice President Frank Butler reported that the Board's Finance Committee met and approved the proposed basketball K Fund and ticket price adjustments. Associate Athletics Director Mark Coyle presented the details of the proposed increases. The University of Kentucky Athletics Department continues to donate \$1.7M annually to the University and continues to spend approximately 25 percent of its self-supporting budget back to campus. Mr. Coyle reviewed the history of the K Fund, noting where UK ranks with its peers. The Board voted unanimously to approve the proposed price adjustments.

Dr. Joe Fink, UK's Faculty Athletics Representative to the NCAA, announced that he had been appointed to membership on the NCAA Division I Awards, Benefits, Expenses, and Financial Aid Cabinet. The appointment is effective immediately and goes through July 1, 2014. Dr. Fink also informed the Board that he would be having Academic/Athletic Forums and invited the members to attend.

#### CC. Other Business

Dr. Brockman said that he would be sending out a request for committee assignments. He asked the members of the Board to let him know their interests in the committees.

He announced that there would be a Board retreat in late October, and some potential dates would be sent to query the Board and see which date is best.

Lastly, this institution was founded in 1865. For the last 145 years, UK has had 11 presidents. During the average tenure of a Board member, they would most likely not have an opportunity to select a president, but this Board will have that opportunity. Although nine months might seem like a lifetime to prepare for that, it will be upon us before we know it. Dr. Brockman called this a sense of controlled urgency and said that he needed to form a search committee. He presented a slide and summarized the membership on the committee.

The President of the University of Kentucky is appointed by the Board of Trustees with the advice of a joint search committee that would consist of the Board of Trustees, university faculty, a staff employee, student body members, and alumni. The committee shall consist of a total of 13 members which include the following representative groups. There will be six Board members from this Board, at least one of whom shall be from our three alumni trustee members. There will be three regular full-time faculty members selected by a procedure determined by the University Senate. There will be one regular full-time staff employee appointed by the Chair of the Board of Trustees from among a list of three staff employees selected by a procedure determined by the Staff Senate. There will be two full-time students, one undergraduate and one

graduate or professional student, appointed by the Chair of the Board of Trustees from a list of three undergraduate students and a list of three graduate or professional students selected by a procedure determined by the Student Government Association. And finally, one member of the alumni appointed by the Chair of the Board of Trustees from a list of three alumni selected by the Board of Directors of the Executive Committee of the University of Kentucky Alumni Association.

Dr. Brockman said that he had a conversation with the chairs of both the Staff Senate and the University Senate, and they have agreed that the deadline for lists will be by the October 12 Board meeting. On October 12 or sooner, if the names are submitted, the search committee will be up and going. It is his intention, however, to appoint a chair of the search committee at an earlier date because there is a lot of things that have to happen, including RFPs, etc. He asked the members to let him or Peggy Way know if they have an interest in serving on the committee.

Dr. Brockman said that he would be remiss if he did not say that Board members are always welcome to attend every committee meeting that is not in closed session.

Ms. Brothers said that in a conversation with other Trustees she did not realize that time and travel involved in serving on the search committee. Since some will be selecting slates of nominees, she asked if would it be possible to have a paragraph or brief explanation of what is expected in terms of time commitments. Most committee members appreciate knowing how often, when, and where they will meet, and the duration of their service. Folks interested in this type of commitment need to know what they are getting into before they volunteer.

Dr. Brockman said that it is an extraordinary time commitment and almost a second job.

Before adjourning the meeting, Dr. Brockman asked for any other business. Mr. Roberts said the Board should extend its appreciation to Billy Joe Miles for serving as chair pro tem, and Mr. Miles received a round of applause.

DD. Other Business

With no further business, Dr. Brockman asked for a motion of adjournment. On motion made by Mr. Mobley and seconded by Mr. Shoop, the meeting adjourned at 3:01 p.m.

Respectfully submitted,

Pamela T. May  
Secretary, Board of Trustees

(NCR 1 and 2; PR 2, 3, 4, 5, and 6; AACR 1; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11 which follow are official parts of the Minutes of the meeting.)

# NCR 1

Office of the President  
September 14, 2010

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTOR  
UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of James Stuckert as a Trustee member to the University of Kentucky Mining Engineering Foundation, Inc. Board of Directors for a two-year term ending September 13, 2012.

Background: In accordance with the Bylaws of the University of Kentucky Mining Engineering Foundation, Inc., two members shall be from the Board of Trustees, and those appointments shall be approved by the Board of Trustees. The term shall be for two years.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# NCR 2

Office of the President  
September 14, 2010

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTOR  
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the Board of Trustees approve the appointment of Erwin Roberts as a Trustee member to the University of Kentucky Research Foundation (UKRF) Board of Directors for a three-year term ending June 30, 2013.

Background: In accordance with the Bylaws of the University of Kentucky Research Foundation, two members shall be from the Board of Trustees, and those appointments shall be approved by the Board of Trustees. The term shall be for three years.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# PR 1

Office of the President  
September 14, 2010

1. First Scholars Program Launched with \$1.1 Million Grant from Suder Foundation

Twenty first-generation college students are beginning their studies at UK this fall, thanks to help from a \$1.1 million grant from the Texas-based Suder Foundation. UK was one of only two schools to be chosen to launch the foundation's First Scholars Program, which targets first-generation students and aims to increase their graduation rates with mentoring, support, and a partial scholarship. UK was chosen in part because of the success of existing programs like Robinson Scholars, Appalachian and Minority Science, Technology, Engineering, and Mathematics Majors, Student Support Services, and the Center for Academic Resources and Enrichment Services. Two cohorts of 20 students each will be funded by the grant. Each student will be partnered with an upperclassman who is also a first-generation student and supported with additional tutoring and extra-curricular activities aimed at increasing graduation rates.

2. UK's Power and Energy Institute of Kentucky gets \$4.5 Million

The University of Kentucky has received \$4.5 million to help educate the next generation of power engineers. With \$2.5 million in start-up funds from the U.S. Department of Energy and \$2 million from E.ON for a scholarship endowment, UK's new Power and Energy Institute of Kentucky (PEIK) will train urgently needed specialists to handle the technical challenges of generating, transmitting, and distributing power. PEIK brings together faculty from traditional engineering departments (biosystems and agriculture, civil, chemical, electrical and computer, mechanical, and mining) as well as from the Center for Applied Energy (CAER) and Center for Sustainable Manufacturing. Working together, they will create new labs and courses to provide education and certification in power engineering to undergraduates, graduate students and working professionals. CAER's Steve Lipka will serve as associate director for the institute's professional development program.

3. UK Receives \$1 Million to Help Bring Higher Education Back to Iraq

UK has been chosen as one of five U.S. schools to help bring higher education back to Iraq through the Academy for Educational Development Center Iraq University Linkages Program. Through this grant, UK will receive \$1 million to be spent over three years in the areas of curriculum development and distance learning. Each U.S. school chosen has been paired with an Iraqi institution to concentrate on unique fields of study. UK has been partnered with the University of Kufa in Najaf in southern Iraq with civil engineering, business administration, and English as a second language as focus areas.

4. UK Economics Professor Serves on Congressional Oversight Panel

Kenneth R. Troske, Sturgill Professor of Economics and Chair of the Department of Economics at the University of Kentucky's Gatton College of Business and Economics, was appointed to the five-member Congressional Oversight Panel (COP), which was created in October 2008 in response to the nation's financial crisis. Troske, who also serves as director of UK's Center for Business and Economic Research (CBER) and as co-director of the university's Sloan Center for a Sustainable Aluminum Industry, has taught at UK since 2005. Congress created the Office of Financial Stability (OFS) within the Treasury Department to implement the Troubled Asset Relief Program (TARP). At the same time, Congress created the oversight panel to review the state of financial markets and the regulatory system. Congressional leaders make appointments to the COP.

5. College of Medicine Hits Top 20 for 'Social Mission'

The University of Kentucky College of Medicine ranks 14th in the United States based upon its "social mission score" representing the percentage of graduates who practice primary care, work in underserved areas, or are underrepresented minorities. The national study, published in the June issue of the *Annals of Internal Medicine* by researchers at George Washington University, included an analysis of 60,043 physicians in active practice who had graduated from medical school from 1999 to 2001. The study determined that public medical schools generally graduate higher proportions of primary care physicians and seem to graduate a greater proportion that practice in underserved areas. Overall, the higher social mission scores of community-based medical schools suggest a commitment to educate physicians who will pursue careers compatible with community needs.

6. UK HealthCare Receives Award for Improvements in IT

UK HealthCare recently received recognition for its improvements in information technology by the American Hospital Association's (AHA) Health Care's Most Wired program. As one of 25 organizations who received the "Most Improved" award, the system showed improvement in the adoption, implementation and use of information technology in the four focus areas of infrastructure, business and administrative management, clinical quality and safety, and care continuum. A survey created by AHA annually measures the analytic structure of hospitals and health systems within the four focus areas to determine their level of excellence in information technology.

7. Rural Physician Leadership Program Students Head to Morehead, Ky. This Fall

The University of Kentucky College of Medicine, in collaboration with St. Claire Regional Medical Center and Morehead State University, has developed a program for educating medical students to become rural physicians, with many of the classes taking place in the Center for Health, Education and Research at Morehead State University. The ribbon cutting for the Center was held on August 27, 2010 in Morehead. The Rural Physician Leadership Program is unique to the Commonwealth and is designed to produce physicians who are needed in rural Kentucky, whether they are family medicine specialists, primary care specialists, or any other

specialty of medical practice. Additionally, these doctors will possess knowledge and experience necessary to work with civic and community leaders to improve the general health of their communities.

8. UK Still Ranks First in Creating Start-up Companies

For a second year in a row, UK ranks first in creating start-up companies per \$10 million of research expenditures among its benchmark institutions in the latest Association of University Technology Managers (AUTM) rankings. Other new commercialization and economic development rankings include: 13th in start-up companies among all public universities and 17th in licensing income among UK benchmarks. Last fiscal year, UK received \$2 million (gross) in licensing revenue. AUTM publishes an annual report on licensing activities at U.S. and Canadian universities and research institutions. The latest report is based on 2008 data.

10. ASTeCC Incubator Company 3H receives \$2.7 million from Department of Energy

3H Company, recently relocated to Lexington from Hampton, Va., was one of 10 projects selected by the U.S. Department of Energy to develop advanced technologies for capturing carbon dioxide (CO<sub>2</sub>) from coal combustion. 3H will work on its patented "Self-Concentrating Absorbent CO<sub>2</sub> Capture Process." Preliminary data show that the process has the potential of reducing the total regeneration energy by as much as 70 percent. 3H will also develop an engineering design to construct and operate a slipstream demonstration facility at an E-ON power plant in the U.S. as a next stage of commercialization development. 3H worked with the Lexington Innovation & Commercialization Center, a joint program between UK and the Kentucky Department of Commercialization & Innovation, and the Bluegrass Business Development Partnership, a collaboration between UK, Commerce Lexington, and the Lexington Fayette Urban County Government, during the relocation to Lexington. 3H will move to lab space at Coldstream Research Campus soon.

11. Coach Calipari Presents at Bounce Back Event for Kentucky Small Business Owners

UK Men's Basketball Coach John Calipari was the featured speaker at an event for Kentucky small business owners and nonprofit small business resource providers sponsored by the Bluegrass Small Business Development Center (SBDC) and KyBizInfo.com. The "Small Business Bounce Back" was designed to link business resource partners to small business owners throughout Kentucky and to provide information and motivation to assist small business recovery. Coach Calipari's presentation featured his book, "Bounce Back/Overcoming Setbacks to Succeed in Business and in Life."

12. More Than 4,000 Students from 119 Counties, 45 States Attend Summer Advising

The Enrollment Management staff stayed busy this summer as they welcomed incoming freshmen to campus for their summer advising conferences: More than 4,000 attended the conferences, with an attendance rate of 95.9 percent this year. The UK staff registered freshmen from 119 Kentucky counties this year. This is up from 114 Kentucky counties in the 2009 cohort.

In addition, UK registered freshmen from 45 states plus the District of Columbia, up from the 41 states plus the District of Columbia in the 2009 cohort.

13. UK Alumnus Brings 90 New Software Jobs to Lexington

Software engineering company Galmont Consulting will open a testing center in Lexington and create 90 full-time engineering jobs and partnership opportunities with UK. Company president and UK alumnus Jeri Smith said Lexington's close proximity to company headquarters in Chicago, as well as the volume of quality computer science graduates from UK and other area colleges will help the company better serve its global clientele. The company plans to work with the UK College of Engineering to hire and train computer science graduates.

14. UK Center Chosen to Study Pandemic's Effects on Children, Families

The University of Kentucky's Center for the Study of Violence Against Children (CSVAC) was chosen to lead a National Institute of Hometown Security-funded (NIHS) project to investigate the physical, mental, and social effects of quarantine and hospital isolation on children and families in pandemic events. The team, which also includes researchers from the University of Louisville, was charged with providing "best practice" recommendations that could be used in the event of a future pandemic. The team, includes CSVAC Executive Director Ginny Sprang as principal investigator; Jim Clark as co-principal investigator; and Miriam Silman, Charmaine Mohipp, Phyllis Leigh, Candice Jackson, and U of L's Andrew Scott LaJoie as researchers.

15. Office of Undergraduate Admission Recruits Students in 17 Cities

As new students settle into campus life, the staff in UK's Office of Undergraduate Admission is already back out on the road, working to bring in the most academically talented and diverse incoming class for fall 2011. This year, UK is on the road for preview nights scheduled in 17 cities across the state and region. UK representatives travel to Paducah, Owensboro, Elizabethtown, Somerset, Lexington, Hopkinsville, Bowling Green, Pikeville, Ashland, Louisville, Covington, and Maysville, Ky. In addition, preview nights will be held in out-of-state target markets including Dayton, Columbus, Chicago, Indianapolis, and Nashville. Prospective students have the chance to talk to administrators, faculty, staff, and students from across campus to discover opportunities available at UK. In addition, admissions staff will host guidance counselor luncheons in each of these cities.

16. UK Researchers Help to Understand, Rebuild After China Quake

University of Kentucky researchers are helping to rebuild parts of China after May 2008's Great Wenchuan Earthquake, while also gaining a greater understanding of the seismic dangers of the Commonwealth. The six-year, ongoing exchange of expert earthquake information between UK and the Lanzhou Institute of Seismology in China was crucial to improving structural seismic resistance after an earthquake that killed more than 70,000 people. In June, a delegation from the UK-based Kentucky Geological Survey, as well as the Department of Earth and Environmental Sciences in UK's College of Arts and Sciences, traveled to Gansu

Province to provide technical reconstruction support in parts of the province affected by the 8.0 magnitude quake. The delegation included KGS director and state geologist Jim Cobb, KGS geologic hazards section head Zhenming Wang, geology professor Ed Woolery, and UK graduate student David Butler of Baton Rouge, La., who is developing his thesis on seismic hazard assessment.

17. Kentucky P20 Innovation Lab Launched

Issues faced by educators and students are being tackled through the work of newly-formed issue-based labs within the Kentucky P20 Innovation Lab, housed at the University of Kentucky College of Education. The Kentucky P20 Innovation Lab is designed to help schools establish innovative and lasting change. Recently, College of Education faculty submitted proposals to use their areas of research and teaching expertise to create issue-based labs within the Kentucky P20 Innovation Lab framework. Of those proposals, 11 labs have been created. To ensure relevancy, faculty co-direct the labs with practitioners in the field, connecting university innovation to the daily practices of students, principals, and teachers. Additionally, UK College of Education students will work in the innovation labs. The labs are taking on a wide range of topics, ranging from digital game-based learning to the health and wellness of students.

18. Singletary Scholar Program Sees Highest Yield Rate Ever

The Office of Enrollment Management reports the highest yield rate in history for the 2010 Singletary Scholars. The Singletary Scholarship is the most prestigious academic award that UK offers incoming freshmen. It is a four-year, renewable award covering the cost of tuition, room, and board, plus a yearly stipend of \$1,500. Of the 31 Singletary Scholars this year, 28 are Kentucky residents while three are from outside the Bluegrass state. This year's group, representing 17 different majors, scored an average of 32.71 on the ACT and earned an average GPA of 3.97. Fifteen of the scholars were valedictorians, 20 took part in the Governor's Scholars Program, and one is a National Merit Finalist.

19. Professor to Evaluate 600 U.S. Mosques for Radicalization, Attitudes Toward Women

UK Islamic studies professor Ihsan Bagby will evaluate 600 U.S. mosques this fall, collaborating with the Cooperative Congregational Studies Partnership's (CCSP) national survey on religion. Some of the more specific inquiries Bagby has for Muslim groups include youth radicalization and attitudes toward women and their involvement in the church. The survey is sponsored by the Council on American-Islamic Relations, the Islamic Society of North America, and six other Muslim groups, in addition to CCSP's involvement. Final results are expected in February.

20. Cross Testifies to Postal Regulatory Commission on Loss of Saturday Mail

Al Cross, director of UK's Institute for Rural Journalism and Community Issues, submitted written testimony in August to the Postal Regulatory Commission that the loss of Saturday mail would hurt residents of rural America. Cross argued that rural newspapers, important to rural areas and commonly delivered through the mail rather than by carrier services,

would be hurt by the U.S. Postal Service's proposal to discontinue Saturday delivery. Cross will have to submit to written cross-examination by the U.S. Postal Service and may have to testify in person before the Postal Regulatory Commission. The Postal Regulatory Commission is due to issue a nonbinding advisory opinion in October. The U.S. Postal Service's plans to stop Saturday delivery, which it says is the best option for turning around the Service's record revenue losses, is contingent on Congress not enacting legislation to prevent the change.

#### 21. College of Design Students Exhibit in France

A UK College of Design group was one of 10 groups invited to exhibit an installation in the Festival of Lively Architecture in Montpellier, France. The event, held in June, celebrated the work of a new, lively generation of architects and the forgotten eras and places of Montpellier. UK design students worked alongside young, rising architects from Japan, Italy, Spain, and France. The students developed their installation over a two-week period; designing, crafting, transporting, and installing the finished work, which corresponded with the theme for this year's festival "between shadow and light." UK's participation in the festival was made possible through an invitation extended to School of Architecture faculty Michael McKay and Liz Swanson. Student participants in the design and execution of the installation included Ricky Sparks, Joanna Grant, and Jordan Hines.

#### 22. Two Equine Art Exhibitions Open, Coincide with World Equestrian Games

The Art Museum at UK opened "The Bluegrass Palette of Andre Pater" June 27, featuring the work of a local artist, Andre Pater, who is known internationally for his sporting art. The show will run through Oct. 10. The second equine exhibit, "Hoofbeats and Heartbeats: The Horse in American Art" opened August 22 and runs through November 21. It is the first significant exhibition to examine the role of the horse in American art, history, and culture.

#### 23. UK Graduates and Students Selected for Teach for America

Twenty-two UK graduates and students were selected for Teach for America, a national program in which outstanding college graduates commit to teach for two years in disadvantaged urban and rural public schools. The 2010 Teach for America corps members will be placed in teaching positions at elementary or secondary schools in one of 39 urban and rural regions across the country served by the program. The group includes: Lamar Allen, a community communications and leadership development graduate; Meredith Barton, a psychology graduate; Jamyle Cannon, a social work graduate; Andy Cole, a biology graduate; Shaun Denney, an accounting graduate; Hillary Dodd, a Spanish graduate; Wesley Downing, an elementary education graduate; Juan Pablo Espinosa, a political science graduate; Will Fuller, a history graduate; Kathryne Goldey, an international studies graduate; Rachel Hobson, a Spanish and sociology graduate; Reese Locken, a Spanish and Russian studies graduate; Meghan McCoun, a Spanish graduate; Allison Moore, a sociology and French graduate; Linda Moorhead, a secondary mathematics education graduate; Laura Newton, a secondary English education graduate; Shea Sheppard, a political science graduate; Jordan Stivers, a philosophy and political science senior; Slade Thompson, a chemistry graduate; Rebekah Wallace, a finance graduate;

Colin Wheeler, a political science graduate; and Mary Catherine Wright, a political science graduate.

24. Five Students and Alumni Selected for Fulbright Scholarships

Five UK students and alumni were selected as recipients of Fulbright U.S. Student Program scholarships. The UK recipients are among 1,500 U.S. citizens who will travel abroad for the 2010-2011 academic year through the Fulbright U.S. Student Program. Additionally, one other UK alumnus was awarded another Fulbright grant for her postdoctoral work. UK's students awarded Fulbright scholarships for graduate study, research, or teaching assistantships are: Alex Brooks, an English graduate, who will study in England; Jordan Hall, a Spanish graduate, who will teach English in Spain; Katrina Hutchinson, a doctoral student in educational policy studies and evaluation, who will do research in Ghana; Yawen Ludden, a doctoral student in musicology, who will do research in China; and Samantha Meenach, a graduate with a doctoral degree in chemical engineering, for research in Germany. Additionally, graduate Kimberly J. Scott, who holds a doctoral degree in music in piano performance from UK, will receive a Fulbright Mid-Career Professional Development Grant for her postdoctoral research in Finland.

25. University Press of Kentucky Book Wins Dr. Tony Ryan Book Award

University Press of Kentucky book "Keeneland's Ted Bassett: My Life," by James E. "Ted" Bassett III and Bill Mooney, was awarded the fourth annual Dr. Tony Ryan Book Award by Lexington's Castleton Lyons farms and Thoroughbred Times. The award, named for the founder of Castleton Lyons, is presented annually to the best book published about the thoroughbred industry.

26. Architecture Graduate Students Work With Federal Sustainable Communities Partnership

Assistant Professor of Architecture Gary Rohrbacher's graduate studio, "Macrocism/Autocatalytic Communities," worked with a new federal partnership created by the Obama administration, called "Sustainable Communities," over the spring semester. The goal of the partnership is to improve access to affordable housing and transportation options. The project seeks to lower transportation costs while protecting the environment in communities nationwide. Rohrbacher's studio worked to ensure the partnership's success through a number of graphic and design interventions. UK students presented their work on Livability Principles at the nation's first Sustainable Communities Workshop in Louisville.

27. Visitor Center Serves Increasing Numbers, Greater Diversity

The UK Visitor Center is known as the front door for prospective students and their families who want to experience the university. From July 2009 through June 2010, a grand total of 14,355 visitors came to our campus through the Visitor Center. This is approximately four times the number of guests during the 1998-99 academic year and 16 percent higher than 2008-2009, when the center saw 12,344 visitors. The Visitor Center continues to see yearly increases in the diversity of its visitors, particularly in the number of African-American and Hispanic students, as well as an increase in the number of students from the Appalachian region.

## 28. Student Awards and Achievements

Rebecca Farley and Rachel Sterrenberg, Music, were selected to participate in two of the nation's most prestigious vocal training programs. Farley attended the International Vocal Arts Institute (IVAI) and Sterrenberg participated in the Chautauqua Music Festival.

James Lyons, Agricultural Economics, a member of the Scott County Future Farmers of America (FFA), is among the four finalists for the American Star Farmer award, the FFA's highest honor. The winner will be announced at the FFA national convention October 20-23 in Indianapolis.

Jessie Birdwhistell, a doctoral student in the Department of Educational, School, and Counseling Psychology, was named the 2010 recipient of the Paul Kevin Burberry Award by the UK Human Development Institute (HDI). The award is given annually to a UK student who has exemplified leadership and advocacy in working with children, youth, or adults with developmental disabilities.

Veronica Umeasiegbu, a rehabilitation counseling graduate student, received second place in a national graduate student writing competition held by the American Rehabilitation Counseling Association. Her paper, which she also presented at the association's national conference, was titled "Working with Minority Groups with Disabilities: Implications for Rehabilitation Counselor Education."

Jeff Oldham, a third-year medical student at the UK College of Medicine, was awarded first place at the 72nd Annual Congress of the International College of Surgeons in Denver, Colo., the first medical student to ever participate in, this competition. His winning paper, titled "Carotid Endarterectomy: Outcome of Original Technique" is based on research that began last year with Dr. Siby Saha, professor of surgery in the Division of Cardiothoracic Surgery and chair of the Gill Heart Institute Director's Council.

Reginald Smith, Jr., vocal performance and music education, was selected to attend the Seagle Music Colony, the oldest summer vocal training program in the U.S. As part of the training program, Smith participated in coaching sessions, audition strategies and career planning classes.

## 29. Faculty and Staff Awards and Achievements

Beth Barnes, Journalism and Telecommunications, was named president-elect of the Association of Schools of Journalism and Mass Communication. Her one-year term as president-elect begins October 2010, and she will take over as president of the organization in October 2011.

Gregory Luhan, Architecture, is the recipient of the school's 2010 Professor of the Year Award for his work as co-principal investigator on the S•KY BLUE House, which took ninth place at the U.S. Department of Energy 2009 Solar Decathlon.

Andrew Manson, Architecture, was presented with the 2010 Teacher of the Year Award, which recognizes outstanding teaching by a non-tenured faculty member.

Kyle Miller, Architecture, was presented with the 2010 Tau Sigma Delta Silver Medal by the UK Alpha Chi Chapter of the honor society, which is dedicated to architecture and allied arts.

Clyde Carpenter, Historic Preservation, is this year's recipient of the Ida Lee Willis Memorial Award. The award is presented to an individual who has demonstrated outstanding dedication to the cause of historic preservation in the Commonwealth.

Arturo Alonzo Sandoval and Lennon Michalski, Art, have created two of the more than 80 horses featured in the LexArts public arts project, Horse Mania 2010. Sandoval created the horse "Casablanca" that now stands guard at the Schmidt Vocal Arts Center, home to the horse's sponsor, UK Opera Theatre. Michalski, an adjunct faculty member in art studio, also has a horse on display downtown. "Lesson in Walking on Water" can be found outside Gratz Park Inn. More than 30 of the other horses on display around Lexington were created by UK alumni.

Stacey Greenwell, head of the Information Commons (the Hub) in the William T. Young Library, was recently awarded the Dow Jones Leadership Award at the Special Libraries Association (SLA) annual meeting in New Orleans. This national award is presented annually to an SLA member who exemplifies leadership as a special librarian through examples of personal and professional competencies. The award was presented for her work in developing the Hub and for her leadership in forming the Academic Division within the Special Libraries Association.

D. Allan Butterfield, director of the Center of Membrane Sciences, along with Daret St. Clair (toxicology) and Jeffrey Moscow (pediatric oncology), received an NIH EUREKA grant to study oxidative stress in the plasma of breast cancer patients being treated with doxorubicin plus or minus Mesna. Moscow is the principal investigator, and Butterfield is co-PI.

James "Ike" Adams, Social Work, was recently appointed chair of the Council on Social Work Education's (CSWE) Commission on Accreditation (COA). The COA is responsible for developing accreditation standards and ensuring that social work programs meet them.

Jane E. Calvert, History, director and editor of the John Dickinson Writings Project, received a \$200,000 Scholarly Editions Grant from the National Endowment for the Humanities to bring Dickinson's work the attention it deserves. With the help of UK languages and linguistics professor Mark Richard Lauersdorf, Calvert plans to collect, edit, and publish everything the statesman wrote for public consumption, both digitally and in print, for the first time.

Joseph L. Fink III, Pharmacy Practice and Science, was appointed to membership on the NCAA Division I Awards, Benefits, Expenses, and Financial Aid Cabinet. The appointment will run through July 1, 2014. Fink is the University of Kentucky's Faculty Athletics Representative to the Southeastern Conference and National Collegiate Athletic Association, serving in that role since July 1, 2009.

Paul Bertsch, Jason Unrine, David McNear, Nadine Kabengi, Olga Tsyusko, Plant and Soil Sciences, were awarded a \$2 million grant from the Environmental Protection Agency as funding for the newly-established Transatlantic Initiative for Nanotechnology and the Environment. The consortium will investigate the environmental and human health implications of nanotechnology.

Alison Davis, Agricultural Economics, received a \$118,525 grant from Foundation for a Healthy Kentucky. The funding will support Davis' "Kentucky Health Care Market Report," done under the auspices of the new Community and Economic Development in Kentucky Initiative, of which she is the executive director.

Carl R. Dillon and Jordan M. Shockley, Agricultural Economics, received a \$49,472 U.S. Department of Agriculture CSREES Special Grant for Precision Resources Management for their study "Precision Agriculture's Impact on Kentucky Farmers Carbon Footprint."

Art Hunt and Bruce Downie, Plant and Soil Sciences, received \$43,000 from the Kentucky Science and Technology Corporation for their study of polyadenylation of stored mRNA during seed germination.

Robert Miller, Plant and Soil Sciences, received a \$975,000 grant from Philip Morris International for work on Burley Tobacco Breeding and Genetics.

Sharyn Perry, Plant and Soil Sciences, received a \$74,284 grant from the United Soybean Board for her study on the enhancement of soybean somatic embryo development to improve regeneration.

Surendranath Suman, Animal and Food Sciences, received the 2009 International Meat Secretariat Prize for Meat Science and Technology. In recognition of this award, he will give an invited presentation at the World Meat Congress in September in Buenos Aires, Argentina.

Guiliang Tang, Plant and Soil Sciences, received a \$295,576 Early-concept Grant for Exploratory Research from the National Science Foundation for "RNAi Gene Discovery Tool to Randomly Generate Dominant Mutant Pools in Plants."

Timothy Woods, Agricultural Economics, received a \$47,432 one-year grant from the U.S. Department of Agriculture Risk Management Agency for "Commercial Market Readiness Education for Kentucky Farmers and Southeastern States."

Youling Xiong, Animal and Food Sciences, received the 2010 Fellow Award from the Institute of Food Technologists.

Tom Guskey's book "Developing Standards-Based Report Cards," written with Jane M. Bailey, earned publishing company Corwin the 2010 Distinguished Achievement Award for best professional development tool in assessment by the Association of Educational Publishers. The book outlines how schools can transition from traditional to standards-based report cards so that grading and reporting practices are aligned with state education standards.

Keisha Love, Educational, School and Counseling Psychology, received the New Professional Award from the Kentucky Association of Blacks in Higher Education. The award is presented to an outstanding professional in the state of Kentucky who possesses outstanding character, is a role model for other professionals and students, and is dedicated to assisting and supporting students of color enrolled in institutions of higher education.

Sherry Rostosky, Educational, School, and Counseling Psychology, received the American Psychological Association Division 17 (Society for Counseling Psychology) Social Justice Award, which reflects the nationally prominent reputation Rostosky has earned for her excellent scholarship and advocacy work.

Christine Schnittka, assistant professor in the Department of Curriculum and Instruction, was part of a team whose paper "Studio STEM: Networked Engineering Projects in Energy for Middle School Girls and Boys" received the American Society for Engineering Education K-12 Division Best Paper Award for 2010.

John Thelin, Educational Policy Studies and Evaluation, gave the keynote address at the University of Georgia's 225<sup>th</sup> Anniversary Symposium. His address was titled "Alma Mater, Lost and Found: The History of the University of Georgia in National Perspective."

Kenneth Tyler, Educational Leadership Studies, received the AERA Division E Distinguished Research Award in Human Development for his article "Cultural Discontinuity: Toward a Quantitative Investigation of a Major Hypothesis in Education."

Skip Gray, professor of Tuba, was named the winner of the first Clifford Bevan Award for Meritorious Work in Low Brass Scholarship.

Don Colliver, Biosystems and Agricultural Engineering, received a Distinguished Service Award from the American Society of Heating, Refrigerating, and Air-Conditioning Engineers.

Sandee McAninch, UK Libraries, received the James Bennett Childs Award at the American Library Association meeting in Washington, D.C. The James Bennett Childs Award is a tribute to an individual who has made a lifetime and significant contribution to the field of documents librarianship.

### 30. Alumni Awards and Achievements

Mark Smith, a recent history and Spanish graduate, won a James Madison Memorial Fellowship worth up to \$24,000 toward a master's degree with an emphasis in U.S. history and the Constitution, which he plans to apply toward a career in education.

Samuel Nicaise, electrical engineering graduate, was named to USA TODAY's 2009 All-USA College Academic Third Team. The competition showcases college juniors and seniors on first, second, third, and honorable mention teams. The publication selects academic team all-stars based on their intellectual endeavors, community service, and campus leadership.

Tanea Reed, now an assistant professor of chemistry at Eastern Kentucky University, was awarded the 2010 Hermann Esterbauer International Award in HNE Research in Torino, Italy, June 16-18. The Esterbauer Award, named after the University of Vienna professor and discoverer of HNE, is an international award granted to young investigators studying lipid peroxidation. HNE is a toxic byproduct of peroxidation from free radical damage. For her dissertation as well as her current research, Reed investigates the effects of lipid peroxidation on proteins present in Alzheimer's disease.

John Moore, College of Education graduate, was elected vice president of the National Council for the Social Studies. His term began July 1, 2010, and he is in line to serve as president of NCSS in 2012.

Jenn Ball, Kinesiology and Health Promotion graduate, was awarded a Major of the Year Award from the National Association for Sport and Physical Education in March 2010.

Merl Barker, College of Engineering graduate, was named the Tau Beta Pi Distinguished Alumnus for 2010. The award recognizes alumni who have demonstrated outstanding adherence to the ideals of Tau Beta Pi and fostering a spirit of liberal culture in society on the local, national, and international scales.

Gordon Henderson, College of Fine Arts graduate and director of the University of California, Los Angeles (UCLA) Bruin Marching Band and Varsity Band, was presented with the College of Fine Arts' Distinguished Alumni Award, which recognizes an alumnus who has achieved distinction in his or her field. His father, Hugh Henderson, a former chair of the UK School of Music, was presented with the college's Community Service Award, which is presented annually to an individual associated with UK who has contributed significantly to the fine arts and the public.

# PR 2

Office of the President  
September 14, 2010

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

**PRESIDENT**  
**AND**  
**EXECUTIVE VICE PRESIDENT**  
**FOR**  
**FINANCE AND ADMINISTRATION**

**I. BOARD ACTION**

**A. RETIREMENT**

**Executive Vice President for Finance and Administration**

Ingram, Jean C., Nursing Care Technician, UK HealthCare Obstetrics, Human Resources, after 25 years and 1 month of consecutive service under AR 3.1 Section VI.B, effective 7/31/10.

Hocker, Rose M., Custodial Worker, Human Resources, after 24 years and 8 months of consecutive service under AR 3.1 Section VI.B, effective 7/31/10.

Sturgill, Henrietta, Staff Assistant VI, UK Dentistry Dental Records, Human Resources, after 20 years of consecutive service under AR 3.1 Section VI.B, effective 8/31/10.

**CIO for Information Technology**

Greene, Virgie, Administrative Support Associate I, Administrative Systems Group, after 20 years and 11 months of consecutive service under AR 3.1 Section VI.B, effective 7/30/10.

**Vice President for Facilities Management**

Walter, Homer E., Engineer, Physical Plant Department, after 13 years and 8 months of consecutive service under AR 3.1 Section VI.B, effective 8/31/10.

**B. EARLY RETIREMENTS**

**Associate Vice President for Auxiliary Services**

Bethel, Mary, Cook/Baker II, Housing & Dining, after 21 years and 5 months of consecutive service under AR 3.1 Section VI.A, effective 8/7/20.

Cornelison, Claude E., Custodial Worker Supervisor I, Student Auxiliary Services Housing, after 26 years and 3 months of consecutive service under AR 3.1 Section VI.A, effective 6/24/10.

Jackson, Ezra C., Custodial Worker I, Student Auxiliary Services Housing, after 32 years and 2 months of consecutive service under AR 3.1 Section VI.A, effective 8/20/10.

Thompson, William D., Facility Management Systems Operator, Student Auxiliary Services, after 30 years and 9 months of consecutive service under AR 3.1 Section VI.A., effective 6/18/10.

CIO for Information Technology

Dixon, Wanda S., Programmer Systems Analyst Senior, Information Technology, after 40 years and 8 months of consecutive service under AR 3.1 Section VI.A., effective 9/30/30.

Office of Legal Counsel

Applegate, Judith K., Administrative Support Associate I, Legal Operations, after 23 years and 10 months of consecutive service under AR 3.1 Section VI.A., effective 08/27/10.

Vice President for Facilities Management

Pelfrey, James K., Truck Drive 1, Physical Plant Division, after 29 years and 8 months of consecutive service under AR 3.1 Section VI.A., effective 9/20/10.

**II. ADMINISTRATIVE ACTIONS**

**A. ADMINISTRATIVE APPOINTMENTS**

CIO for Information Technology

Conley, Charles J., Enterprise Architect, BI Enterprise Reporting, Information Technology effective 8/1/10.

Vice President for Commercialization and Economic Development

Ward, George, Executive Director, Coldstream Research Campus, effective 9/1/10.

**B. PROMOTIONS**

Vice President for Facilities Management

Broeking, Lance, from Campus Services Administrator, Campus Services to Facilities Services Facilitator, Facilities Management, effective 7/1/10.

CIO for Information Technology

Rice, Christopher S., from Lecturer, Political Sciences, Provost to Enterprise Architect, Information Technology effective 7/1/10.

**C. CHANGES**

CIO for Information Technology

Carruthers, Patsy C., from Program Manager Senior, Teaching & Academic Support Center to Information Technology Manager IV, Academic Technology Group, Information Technology, effective 8/1/10.

Tibe, John, from Enterprise Computing & Communications System Director, Information Technology, to Information Management Director, Information Technology, effective 6/1/10.

## PROVOST

### I. BOARD ACTION

#### A. ACADEMIC APPOINTMENTS

- Alhajeri, Abdunnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Neurosurgery, 8/9/10 through 6/30/11.
- Ayoob, Andres R., College of Medicine, Clinical Assistant Professor, Radiology, 7/19/10 through 6/30/11.
- Ball, Barry A., College of Agriculture, Professor (with tenure), Veterinary Science, and appointed to the Albert G. Clay Endowed Chair in Equine Reproduction, effective 9/23/10.
- Beck, Sandra J., College of Medicine, Clinical Associate Professor, Surgery, 7/19/10 through 6/30/11.
- Binns, Matthew M., College of Agriculture, Adjunct Professor, Veterinary Science, 7/1/10 through 6/30/11.
- Birdwhistell, Terry L., Libraries, Librarian I (with tenure), appointed to the William T. Young Endowed Chair, effective 7/1/10.
- Blomquist, Gustav A., College of Medicine, Clinical Assistant Professor, Radiology, 8/1/10 through 6/30/11.
- Boulanger, Bernard R., College of Medicine, Associate Professor\* (with tenure), Surgery, appointed to the Commonwealth Professorship in Physician Leadership, 7/1/10 through 6/30/15.
- Brubaker, Robert R., College of Medicine, Professor (visiting), Microbiology, Immunology and Molecular Genetics, 7/1/10 through 6/30/11.
- Brzyski, Anna W., College of Fine Arts, Associate Professor (with tenure), Art, appointed to the Chellgren Center Endowed Professorship, 8/15/10 through 8/14/13.
- Carcamo, Erick S., College of Design, Instructor (temporary), Architecture, appointed to the Sue Fan Gooding Hobgood Visiting Design Critic Professorship, 7/1/10 through 6/30/11.
- Cavatassi, William C., College of Medicine, Clinical Assistant Professor, Surgery, 8/1/10 through 6/30/11.
- Chandran, Sheila, College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 9/1/10 through 6/30/11.
- Cumbermack, Kristopher M., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/26/10 through 6/30/11.
- Draus, John M., College of Medicine, Clinical Assistant Professor, Surgery, 8/1/10 through 6/30/11.
- Ellis, Scott C., College of Business and Economics, Assistant Professor, Management, 8/16/10 through 6/30/11.
- Fawson, Parker C., College of Education, Professor (with tenure), Curriculum and Instruction, effective 7/1/10.

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\*Special Title Series

- Hanaoka, Beatriz Y., College of Medicine, Clinical Assistant Professor, Internal Medicine, 8/1/10 through 6/30/11.
- Hasenboehler, Erik A., College of Medicine, Clinical Instructor, Surgery, 8/1/10 through 6/30/11.
- Idenburg, Florian W., College of Design, Professor (visiting), Architecture, 7/1/10 through 6/30/11, and appointed to the Brown-Forman Visiting Chair in Urban Design, 7/1/10 through 12/31/10.
- Jackson, Jennifer A., College of Medicine, Clinical Assistant Professor, Pediatrics, 7/26/10 through 6/30/11.
- Jacobs, Michael W., College of Design, Instructor (temporary), Architecture, appointed to the AIA Kentucky Endowed Professorship, 7/1/10 through 6/30/13.
- Jahanshahi, Feerozeh, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/15/10 through 6/30/11.
- Johnson, Tatum S., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/10 through 6/30/11.
- Jones, Kimberly S., College of Medicine, Clinical Assistant Professor, Neurology, 8/1/10 through 6/30/11.
- Kalinski, Michael E., College of Engineering, Associate Professor (with tenure), Civil Engineering, appointed to the Hardin-Drnevich-Huang Professorship in Geotechnical Engineering, 7/1/10 through 6/30/13.
- Khosravi, Mehdi, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Leach, Rice C., College of Public Health, Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/10 through 6/30/11.
- Liau, James Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/26/10 through 6/30/11.
- Lile, Anthony G., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Maier, Martha E., College of Medicine, Clinical Assistant Professor, Radiology, 7/15/10 through 6/30/11.
- Marks, Alicia G., College of Medicine, Clinical Assistant Professor, Pediatrics, 8/15/10 through 6/30/11.
- McKenzie, Shaun P., College of Medicine, Assistant Professor\*, Surgery, 7/30/10 through 6/30/11.
- Nickels, David J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/10 through 6/30/11.
- O'Keeffe, Shane D., College of Medicine, Assistant Professor\*, Surgery, 7/26/10 through 6/30/11.
- Oestreich, Alan E., College of Medicine, Professor (visiting), Radiology, 6/11/10 through 6/30/10.
- Petrea, Rodica E., College of Medicine, Clinical Assistant Professor, Neurology, 10/1/10 through 6/30/11.
- Playforth, Karen S., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 9/7/10 through 6/30/11.

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\*Special Title Series

- Purdom, Matthew S., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Sanderson, Wayne T., College of Public Health, Professor (with tenure), Epidemiology, and joint appointment as Professor (without tenure), Preventive Medicine and Environmental Health, effective 12/15/09.
- Segal, Arlene E., College of Medicine, Associate Professor (visiting), Radiology, 8/13/10 through 6/30/11.
- Shek, Daniel T., College of Medicine, Professor (voluntary), Pediatrics, 3/1/10 through 6/30/11.
- Smith, Bret N., College of Medicine, Associate Professor (with tenure), Physiology, appointed to the Dr. Thomas L. Skinner Professorship in Physiology, 7/1/10 through 6/30/13.
- Smith, Christine M., College of Medicine, Clinical Assistant Professor, Pediatrics, 8/15/10 through 6/30/11.
- Summers, Martin R., College of Design, Instructor (temporary), Architecture, appointed to the Sutherland Professorship of Landscape Design, 7/1/10 through 6/30/11.
- Tran, Ai, College of Medicine, Clinical Instructor, Internal Medicine, 8/1/10 through 6/30/11.
- Tucker, Brian K., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Wang, Chi, College of Medicine, Assistant Professor, Surgery, and joint appointment as Assistant Professor, Biostatistics, College of Public Health, 7/1/10 through 6/30/11.
- Welling, Sarah N., College of Law, Professor (with tenure), appointed to the Ashland Oil Professorship, 7/1/10 through 6/30/15.
- Wright, William F., College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/10 through 6/30/11.
- Yaqub, Chanda, College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/10 through 6/30/11.
- Young, Vershawn A., College of Arts and Sciences, Associate Professor (with tenure), English, effective 8/16/10.
- Ziada, Ali M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.

## **B. ADMINISTRATIVE APPOINTMENTS**

- Crankshaw, Ned M., College of Agriculture, Professor (with tenure), Landscape Architecture, appointed Chair, Landscape Architecture, 8/15/10 through 8/14/14.
- Fawson, Parker C., College of Education, Professor (with tenure), Curriculum and Instruction, appointed Chair, Curriculum and Instruction, 7/1/10 through 6/30/14.
- Hansen, Wendy F., College of Medicine, Associate Professor\* (with tenure), Obstetrics and Gynecology, and joint appointment as Associate Professor\* (without tenure), Radiology, appointed Chair, Obstetrics and Gynecology, 7/1/10 through 6/30/12.
- Humphries, Roger L., College of Medicine, Clinical Associate Professor, Emergency Medicine, reappointed Chair, Emergency Medicine, 7/1/10 through 6/30/16.

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\*Special Title Series

- McNamara, Patrick J., College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, College of Medicine, reappointed Chair, Pharmaceutical Sciences, 1/15/09 through 1/14/15.
- Meier, Mark, College of Arts and Sciences, Professor (with tenure), Chemistry, appointed Chair, Chemistry, 7/1/10 through 6/30/14.
- Sanderson, Wayne T., College of Public Health, Professor (with tenure), Epidemiology, appointed Chair, Epidemiology, 12/15/09 through 12/14/14.
- Whiteside-Dickson, Ann, College of Design, Associate Professor (with tenure), Interior Design, reappointed Director, Interior Design, 7/1/10 through 6/30/12.

### C. JOINT APPOINTMENTS

- Aiken, Glen E., College of Agriculture, Adjunct Professor, Plant and Soil Sciences, joint appointment as Associate Adjunct Professor, Animal and Food Sciences, 9/1/10 through 6/30/11.
- Benson, James M., College of Engineering, Associate Professor (with tenure), Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Crofton, John B., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Associate Professor (without tenure), Electrical and Computer Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Cunningham, Larry L., College of Dentistry, Associate Professor (with tenure), Oral Health Science, joint appointment as Assistant Professor, Surgery, College of Medicine, effective 7/1/08.
- Hassan, Zaki U., College of Medicine, Clinical Associate Professor, Anesthesiology, joint appointment as Clinical Associate Professor, Surgery, 7/1/10 through 6/30/11.
- Kobraei, Hamid R., College of Engineering, Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Associate Professor (without tenure), Chemical and Materials Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Ma, Xin, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, joint appointment as Professor (without tenure), Curriculum and Instruction, effective 7/1/10.
- Oestreich, Alan E., College of Medicine, Professor (visiting), Radiology, joint appointment as Professor (visiting), Pediatrics, 7/13/10 through 6/30/11.
- Rosenthal, M. Sara, College of Medicine, Clinical Associate Professor, Internal Medicine, joint appointment as Clinical Associate Professor, Behavioral Science, new joint appointment as Clinical Associate Professor, Pediatrics, 7/1/10 through 6/30/11.
- Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, joint appointment as Clinical Professor, Pediatrics, new joint appointment as Clinical Professor, Surgery, 7/1/10 through 6/30/11.

Thelin, John, College of Education, Professor (with tenure), Educational Policy Studies and Evaluation, joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, 7/1/10 through 6/30/13.

Thiede, Theodore D., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.

#### **D. REAPPOINTMENTS**

Bennett, Charles H., College of Medicine, Adjunct Professor, Physiology, 7/1/10 through 6/30/11.

Braun, Michael E., College of Fine Arts, Associate Professor (with tenure), Theatre, reappointed to the Gary B. Knapp Endowed Chair in Arts Administration, 7/1/10 through 6/30/15.

Bricker, J. Timothy, College of Medicine, Professor (with tenure), Pediatrics, reappointed to the Jacqueline A. Noonan-CMN Research Chair in Pediatrics, 12/1/10 through 11/30/15.

Chen, Zhi, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the Kentucky Utilities Professorship in Electrical and Computer Engineering, 7/1/10 through 6/30/13.

Dalton, Waller L., College of Medicine, Clinical Associate Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.

de Villiers, Willem J., College of Medicine, Professor (with tenure), Internal Medicine, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, reappointed to the Marcos Lins Andrade Endowed Chair in Gastroenterology, 7/1/10 through 6/30/15.

deBeer, Maria C., College of Medicine, Associate Research Professor, Physiology, 7/1/10 through 6/30/11.

Ferraris, Victor A., College of Medicine, Professor\* (with tenure), Surgery, reappointed to the Tyler Gill Professorship in Vascular Surgery, 7/1/10 through 6/30/11.

Figgs, Larry W., College of Public Health, Associate Professor\* (without tenure), Preventive Medicine and Environmental Health, 7/1/10 through 12/31/10.

Hall, Lynne A., College of Nursing, Professor (with tenure), and joint appointments as Professor (without tenure), Behavioral Science, College of Medicine and Professor (without tenure), Health Behavior, College of Public Health, reappointed to the Marcia A. Dake Professorship in Nursing, 9/1/07 through 8/31/12.

Hassebrook, Laurence G., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the Blazie Family Professorship in Electrical and Computer Engineering, 7/1/10 through 6/30/13.

Houff, Sidney A., College of Medicine, Clinical Professor, Neurology, 7/1/10 through 6/30/11.

Knight, Evelyn A., College of Public Health, Associate Professor\* (without tenure), Health Behavior, 7/1/10 through 6/30/11.

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\*Special Title Series

- Lane, Derek R., College of Communications and Information Studies, Associate Professor (with tenure), Communication, reappointed to the H. Lester Reynolds Professorship in Engineering, 1/1/10 through 12/31/12.
- Leaman, Oliver, College of Arts and Sciences, Professor (with tenure), Philosophy, reappointed to the Zantker Charitable Foundation Professorship in Judaic Studies, 7/1/10 through 6/30/15.
- Li, Guo-Min, College of Medicine, Professor (with tenure), Graduate Center for Toxicology, and joint appointments as Professor (without tenure), Molecular and Cellular Biochemistry and Professor (without tenure), Pathology and Laboratory Medicine, reappointed to the Madeline F. James and Edith D. Gardner Chair in Cancer Research, 7/1/10 through 6/30/15.
- Mahboub, Kamyar C., College of Engineering, Professor (with tenure), Civil Engineering, reappointed to the Lawson Professorship in Civil Engineering, 7/1/10 through 6/30/13.
- Massaro, Ayrton R., College of Medicine, Associate Adjunct Professor, Neurology, 7/1/10 through 6/30/11.
- Miller, Wallis J., College of Design, Associate Professor (with tenure), Architecture, reappointed to the Charles Parker Graves Endowed Professorship Fund, 7/1/10 through 6/30/13.
- Moliterno, David J., College of Medicine, Professor (with tenure), Internal Medicine, reappointed to the Jeff Gill Professorship in Cardiology, 7/1/10 through 6/30/15.
- Nath, Avindra, College of Medicine, Adjunct Professor, Neurology, 7/1/10 through 6/30/11.
- Oestreich, Alan E., College of Medicine, Professor (visiting), Radiology, 7/1/10 through 6/30/11.
- Parekh, Bhupendra K., College of Engineering, Adjunct Professor, Mining Engineering, 7/1/10 through 6/30/13.
- Popham, Julia A., College of Medicine, Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Rangnekar, Vivek M., College of Medicine, Professor (with tenure), Radiation Medicine, and joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, reappointed to the Alfred M. Cohen M.D. Chair in Oncology Research Endowment, 7/1/10 through 6/30/15.
- Sellnow, Deanna D., College of Communications and Information Studies, Professor\* (with tenure), Communication, reappointed to the Gifford Blyton Professorship in Oral Communication and Forensics, 8/1/10 through 7/31/13.
- Slevin, Barbara J., College of Medicine, Associate Adjunct Professor, Neurology, 7/1/10 through 6/30/11.
- Stanhope, Marcia K., College of Nursing, Professor\* (with tenure), and joint appointment as Professor\* (without tenure), Health Services, College of Health Sciences, reappointed to the Good Samaritan Professorship or Chair in Community Health Nursing, 9/1/07 through 8/31/12.
- Stansbury, C. M., College of Dentistry, Associate Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Swetnam, Bruce A., College of Design, Associate Professor\* (with tenure), Architecture, reappointed to the Kentuckiana Masonry Institute Endowed Professorship, 7/1/10 through 6/30/13.

- Thornton, Alice C., College of Medicine, Associate Professor\* (with tenure), Internal Medicine, reappointed to the Infectious Diseases Research Professorship in Internal Medicine, 7/1/10 through 6/30/15.
- Troske, Kenneth R., College of Business and Economics, Professor (with tenure), Economics, reappointed to the William B. Sturgill Professorship in Business and Public Policy, 7/1/10 through 6/30/13.
- Wang, Dongfang, College of Medicine, Associate Professor (without tenure), Surgery, 7/1/10 through 6/30/11.
- Werner-Wilson, Ronald J., College of Agriculture, Professor (with tenure), Family Studies, reappointed to the Kathryn Louise Chellgren Endowed Professorship for Research in Family Studies, 7/1/10 through 6/30/11.
- Wujcik, Len F., College of Design, Professor\* (with tenure), Architecture, reappointed to the Robert Lynn Leininger Endowed Professorship in Furniture Design, 7/1/10 through 6/30/13.

#### **E. TENURE**

- Minion, David J., College of Medicine, from Clinical Associate Professor, Surgery, to Associate Professor\* (with tenure), Surgery, effective 7/1/10.

#### **F. PROMOTIONS**

- D'Orazio, John A., College of Medicine, from Assistant Professor, Pediatrics, and joint appointments as Assistant Professor, Graduate Center for Toxicology and Assistant Professor, Molecular and Biomedical Pharmacology, to Associate Professor (with tenure), Pediatrics, and joint appointments as Assistant Professor, Graduate Center for Toxicology and Associate Professor (without tenure), Molecular and Biomedical Pharmacology, effective 7/1/10.
- Fernander, Anita F., College of Medicine, from Assistant Professor, Behavioral Science, and joint appointment as Assistant Professor, Psychology, College of Arts and Sciences, to Associate Professor (with tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, effective 7/1/10.
- Primm, Daniel D., College of Medicine, from Assistant Professor (part-time), Orthopaedic Surgery, to Associate Professor (part-time), Orthopaedic Surgery, 9/1/10 through 6/30/11.
- Selby, Jeffrey B., College of Medicine, from Clinical Assistant Professor, Orthopaedic Surgery, to Clinical Associate Professor, Orthopaedic Surgery, 7/1/10 through 6/30/11.
- Selby, Lisbeth A., College of Medicine, from Assistant Professor\*, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/10 through 6/30/11.

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\*Special Title Series

**G. CHANGES**

- Bruce, Eugene N., Graduate School, from Professor (with tenure), Biomedical Engineering, to Professor (with tenure), Biomedical Engineering, College of Engineering, effective 7/1/10.
- Hegde, Jagadish M., College of Medicine, Clinical Assistant Professor, Internal Medicine, from 8/1/10 through 6/30/11, to 8/19/10 through 6/30/11.
- Kedar, Sachin, College of Medicine, Clinical Assistant Professor, Neurology, and joint appointment as Clinical Assistant Professor, Ophthalmology and Visual Sciences, from 7/1/10 through 6/30/11, to 7/15/10 through 6/30/11.
- Ma, Xin, College of Education, from Professor (with tenure), Curriculum and Instruction, to Professor (with tenure), Educational, School, and Counseling Psychology, effective 7/1/10.
- Mithyantha, Jahnvi, College of Medicine, Clinical Assistant Professor, Internal Medicine, from 8/1/10 through 6/30/11, to 8/19/10 through 6/30/11.
- Patwardhan, Abhijit A., Graduate School, from Professor (with tenure), Biomedical Engineering, and joint appointment as Associate Professor (without tenure), Internal Medicine, College of Medicine, to Professor (with tenure), Biomedical Engineering, College of Engineering, and joint appointment as Associate Professor (without tenure), Internal Medicine, College of Medicine, effective 7/1/10.
- Pienkowski, David, Graduate School, from Associate Professor (with tenure), Biomedical Engineering, and joint appointment as Associate Professor (without tenure), Orthopaedic Surgery, College of Medicine, to Associate Professor (with tenure), Biomedical Engineering, College of Engineering, and joint appointment as Associate Professor (without tenure), Orthopaedic Surgery, College of Medicine, effective 7/1/10.
- Puleo, David A., Graduate School, from Professor (with tenure), Biomedical Engineering, and joint appointment as Professor (without tenure), Orthopaedic Surgery, College of Medicine, to Professor (with tenure), Biomedical Engineering, College of Engineering, and joint appointment as Professor (without tenure), Orthopaedic Surgery, College of Medicine, effective 7/1/10.
- Walker, Frank X, College of Arts and Sciences, from Associate Professor (temporary), English, to Associate Professor (with tenure), English, effective 7/1/10.

**H. TERMINAL REAPPOINTMENTS**

- Salazar, Abraham J., College of Engineering, Associate Research Professor, Mechanical Engineering, 7/1/10 through 6/30/11.

**I. RETIREMENTS**

- Buxton, Jack W., College of Agriculture, Associate Professor (with tenure), Horticulture, after 45 years and 4 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

- Cramer, Myrtle Rose, College of Engineering, Staff Support Associate II, Center for Manufacturing, after 9 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 9/10/10.
- Curd, Philip R., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, after 5 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Deaton, Lizzie C., College of Agriculture, Extension Assistant, Extension Field Programs, after 15 years of consecutive service, under AR 3:1, Section VI.B, effective 8/31/10.
- DeLuca, Patrick P., College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, after 38 years and 11 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Dvorak, Eduard, College of Medicine, Clinical Assistant Professor, Radiation Medicine, after 3 years and 1 month of consecutive service, under AR 3:1, Section VI.B, effective 7/31/10.
- Flesher, James W., College of Medicine, Professor (with tenure), Molecular and Biomedical Pharmacology, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, after 45 years and 5 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Hensley, Linda H., College of Education, Student Affairs Officer II, Academic Services and Teacher Certification, after 45 years of consecutive service, under AR 3:1, Section VI.B, effective 8/3/10.
- Humphries, Laurie L., College of Medicine, Professor (with tenure), Psychiatry, after 28 years and 9 months of consecutive service, under AR 3:1, Section VI.B, effective 7/31/10.
- Lucas, Betsy, Student Affairs, Office Assistant, Residence Life, after 7 years and 9 months of consecutive service, under AR 3:1, Section VI.B, effective 8/27/10.
- Thomas, William A., College of Arts and Sciences, Professor (with tenure), Earth and Environmental Sciences, after 19 years and 6 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.
- Whitehouse, William, College of Agriculture, Agriculture Regulatory Specialist, Regulatory Services, after 7 years and 3 months of consecutive service, under AR 3:1, Section VI.B, effective 9/30/10.
- Wiley, Wanda M., College of Medicine, Administrative Services Assistant, Ambulatory Services, after 23 years and 2 months of consecutive service, under AR 3:1, Section VI.B, effective 7/3/10.
- Wilkinson, Doris, College of Arts and Sciences, Professor (with tenure), Sociology, and joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, after 24 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 6/30/10.

**J. EARLY RETIREMENTS**

- Back, Angela R., College of Design, Administrative Services Assistant, after 25 years of consecutive service, under AR 3:1, Section VI.A, effective 10/22/10.
- Dean, Marian R., College of Medicine, Administrative Coordinator II, Pediatrics, after 36 years of consecutive service, under AR 3:1, Section VI.A, effective 8/18/10.
- Morgan, Fred, College of Business and Economics, Professor (with tenure), Management, after 15 years of consecutive service, under AR 3:1, Section VI.A, effective 9/1/10.
- Thornbury, David W. College of Agriculture, Scientist II, Plant Pathology, after 28 years and 4 months of consecutive service, under AR 3:1, Section VI.A, effective 9/3/10.
- Wagner, William, College of Medicine, CIS Project Director, Kentucky Community Cancer Project, after 32 years and 11 months of consecutive service, under AR 3:1, Section VI.A, effective 7/15/10.

**K. POST-RETIREMENT APPOINTMENTS**

- Catchen, Ron, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/6/10 through 7/5/11.
- Cole, Henry, College of Public Health, Professor (part-time), Preventive Medicine and Environmental Health, 7/1/10 through 6/30/11.
- Collins, Delwood C., College of Medicine, Professor (part-time), Obstetrics and Gynecology, and joint appointment as Professor (part-time), Molecular and Cellular Biochemistry, 7/1/10 through 6/30/11.
- Drury, Letha, College of Agriculture, Agriculture Research Specialist (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.
- Dvorak, Eduard, College of Medicine, Assistant Professor (part-time), Radiation Medicine, 8/1/10 through 6/30/11.
- Fehr, Robert L., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, 7/6/10 through 6/30/11.
- Frazier, Donald T., College of Medicine, Professor (part-time), Physiology, 7/1/10 through 6/30/11.
- Gaines, Mary Jane, College of Agriculture, Staff Support Secretary, Cooperative Extension Service, 7/1/10 through 6/30/11.
- Guskey, Thomas R., College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/10 through 6/30/11.
- Hahn, Otfried J., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/10 through 6/30/11.
- LeVeque, Paul, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Norris, Rosie, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 9/1/10 through 8/30/11.
- Stilwell, William, College of Education, Professor (part-time), Educational, School, and Counseling Psychology, 7/1/10 through 6/30/11.

Tutt, Charles, College of Agriculture, Agriculture Research Specialist (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.

Witzke, Donald B., College of Medicine, Associate Professor (part-time), Physiology, 7/1/10 through 6/30/11.

#### **L. PHASED RETIREMENT APPOINTMENTS**

Bruce, Eugene N., from Graduate School, Professor (with tenure), Biomedical Engineering, to Biomedical Engineering, College of Engineering, 8/1/10 through 7/31/12.

Stempel, John D., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, 1/1/11 through 12/31/15.

Wujcik, Len F., College of Design, Professor\* (with tenure), Architecture, 7/1/10 through 6/30/15.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

Albright, Emily L., College of Medicine, Clinical Instructor, Surgery, 7/1/10 through 6/30/11.

Aleshire, Mollie E., College of Nursing, Clinical Assistant Professor, 8/15/10 through 6/30/11.

Allen, Brian L., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 10/1/10 through 6/30/11.

Anderson, Tara R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/2/10 through 6/30/16.

Aristeguieta, Carlos A., College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/10 through 6/30/11.

Arsenescu, Violeta, College of Medicine, Assistant Professor\*, Graduate Center for Nutritional Sciences, 8/15/10 through 6/30/11.

Ashby, Lindy, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/16/09 through 6/30/16.

Ayers, Carol L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/28/09 through 6/30/16.

Bagby, Glen, College of Law, Instructor (part-time), 1/10/10 through 6/30/10.

Barger, Christopher D., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 5/13/10 through 6/30/16.

Been, Jeffrey N., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.

Benge, Melissa A., College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.

Besier, Jim L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/30/10 through 6/30/16.

Bird-Pollan, Jennifer E., College of Law, Assistant Professor, 7/1/10 through 6/30/11.

Boarman, Meredith L., College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/30/10.

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\*Special Title Series

- Bowen, Jeffrey L., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 9/1/10 through 6/30/11.
- Brogan, Katy A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/8/09 through 6/30/16.
- Buckley, Jonathan D., College of Law, Instructor (part-time), 1/10/10 through 6/30/10.
- Burgess, Kelly R., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 10/1/10 through 6/30/11.
- Burgess, Raymond E., College of Business and Economics, Lecturer, Accountancy, 8/16/10 through 6/30/11.
- Burgess, Ronald C., College of Medicine, Assistant Professor (voluntary), Orthopaedic Surgery, 8/1/10 through 6/30/16.
- Burt, Theresa, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/2/09 through 6/30/16.
- Butina, Michelle L., College of Health Sciences, Assistant Professor\*, Clinical Sciences, 7/1/10 through 6/30/11.
- Bylund, Jason R., College of Medicine, Clinical Instructor, Surgery, 7/1/10 through 6/30/11.
- Bynum, Gus A., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 10/1/10 through 6/30/11.
- Campbell, Kim W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/11/09 through 6/30/16.
- Cassidy, Jeffrey H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/19/09 through 6/30/16.
- Catanzaro, David A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/8/10 through 6/30/16.
- Cecil, Michael T., College of Medicine, Assistant Professor (voluntary), Surgery, 4/1/10 through 6/30/11.
- Chambers, Joseph S., College of Dentistry, Instructor (voluntary), Oral Health Science, 7/1/10 through 6/30/16.
- Chapman, Genevieve L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/9/09 through 6/30/16.
- Christensen, Spencer D., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Connett, Carrie H., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/10 through 6/30/16.
- Crain, David L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Cross, Christopher B., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/10 through 6/30/11.
- Culp, Phyllis E., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Cupp, Pamela K., College of Communications and Information Studies, Assistant Research Professor, Communication, 9/1/10 through 6/30/11.
- D'Angelo, Laura, College of Law, Instructor (part-time), 1/10/10 through 6/30/10.
- Dekker, Rebecca L., College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Deprang, Elaine, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/3/09 through 6/30/16.

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\*Special Title Series

- DeRouche, Jason E., College of Arts and Sciences, Assistant Professor, Chemistry, 8/16/10 through 6/30/12.
- Dickes, Robert, College of Fine Arts, Lecturer, Art, 8/1/10 through 6/30/11.
- Dosh, Tyanne L., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Douglas, Joshua, College of Law, Assistant Professor, 7/1/10 through 6/30/11.
- Durham, Christopher Q., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/10 through 6/30/16.
- El-Mallakh, Peggy, College of Nursing, Assistant Professor\*, 7/15/10 through 6/30/11.
- Fernheimer, Janice W., College of Arts and Sciences, Assistant Professor, English, 8/16/10 through 6/30/12.
- Flanders, Lindsey K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/20/10 through 6/30/16.
- Freeburne, Jason, College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.
- Freyman, Marcia, College of Fine Arts, Instructor (part-time), Art, 8/10/10 through 6/30/11.
- Frisby, Brandi N., College of Communications and Information Studies, Assistant Professor, Communication, 8/1/10 through 6/30/11.
- Frolov, Audrey A., College of Medicine, Assistant Research Professor, Internal Medicine, 9/1/10 through 6/30/11.
- Gaffney, Amy, College of Communications and Information Studies, Assistant Professor, Communication, 8/1/10 through 6/30/11.
- Galperin, Emilia, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 9/1/10 through 6/30/11.
- Gaspar, Daniel, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/10 through 6/30/16.
- Gates, Madison L., College of Medicine, Assistant Research Professor, Family and Community Medicine, 9/1/10 through 6/30/11.
- Gaur, Rajesh, College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Ghazala, Janan, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/10 through 6/30/16.
- Godwin, Shea, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Gooding, Lori, College of Fine Arts, Assistant Professor, Music, 7/1/10 through 6/30/11.
- Gordon, Angela M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/30/10 through 6/30/16.
- Griffiths, Thomas B., College of Law, Instructor (part-time), 1/1/10 through 6/30/10.
- Guerrant, Eric S., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/10 through 6/30/16.
- Han, Dong Y., College of Medicine, Clinical Assistant Professor, Neurology, 9/13/10 through 6/30/11.
- Hancock, Jessica M., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Hart, Lisa M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/3/09 through 6/30/16.
- Hatfield, Tonya, College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.

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\*Special Title Series

- Hayden, Patrick K., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/10 through 6/30/16.
- Henderson, Vickie A., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Hill, Christopher D., College of Engineering, Instructor (part-time), Civil Engineering, 8/1/10 through 8/31/10.
- Hill, Diana, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/11/09 through 6/30/16.
- Hill, Lane M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/30/10 through 6/30/16.
- Hoagg, Jesse B., College of Engineering, Assistant Professor, Mechanical Engineering, 8/1/10 through 6/30/12.
- Holtman, Christine M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/2/09 through 6/30/16.
- Holwerda, Lucinda L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/13/09 through 6/30/16.
- Host, Brian D., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/7/10 through 6/30/16.
- Huang, Bin, College of Public Health, Assistant Professor, Biostatistics, 7/1/10 through 6/30/11.
- Huang, Cai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 6/1/10 through 6/30/10.
- Hudson, Naomi, College of Public Health, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 7/1/10 through 6/30/16.
- Hudspeth, Danny O., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/2/09 through 6/30/16.
- Humphrey, Wendy, College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/10 through 6/30/11.
- Hunter, Jennifer L., College of Agriculture, Assistant Extension Professor, Family Studies, 7/1/10 through 6/30/11.
- Isaacs, Davida H., College of Law, Instructor (part-time), 1/10/10 through 6/30/10.
- Janes, Rhoda, College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.
- Javaid, Farhan, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 6/1/10 through 6/30/16.
- Johnson, Herbert D., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/9/09 through 6/30/16.
- Johnson, Sally H., College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Judd, William R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/2/09 through 6/30/16.
- Justice, Renae, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/18/09 through 6/30/16.
- Keith, Dennis W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/25/09 through 6/30/16.
- Kerr, Paul N., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/14/10 through 6/30/16.

- King, Shawn, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/4/09 through 6/30/16.
- Knuckles, Melissa L., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Kurtz-Ogilvie, Whitney L., College of Nursing, Lecturer, 8/23/10 through 6/30/11.
- Larkin, Linda A., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.
- Lasley, Michael P., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/21/09 through 6/30/11.
- Lea, Brian A., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 7/1/10 through 6/30/16.
- Leukefeld, Sarabeth, College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Lewis, Ancil W., College of Social Work, Instructor (part-time), 8/25/10 through 6/30/11.
- Lindvahl, Brian, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/30/09 through 6/30/16.
- Magrish, Robert C., College of Design, Instructor (part-time), Historic Preservation, 7/1/10 through 6/30/11.
- Martin, Alexandre, College of Engineering, Assistant Professor, Mechanical Engineering, 1/1/11 through 12/31/12.
- Martin, Carol A., College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.
- McCreary, Phillip W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/29/09 through 6/30/16.
- McHugh, Traci R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/13/09 through 6/30/16.
- Moore, Joseph, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Morgan, Christopher J., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 8/16/10 through 12/31/10.
- Moss, Ben D., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/10 through 6/30/16.
- Mustain, Mary V., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 9/1/10 through 6/30/11.
- Nwosu, Victor I., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/4/10 through 6/30/16.
- Osetinsky, Gregory V., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 2/1/10 through 6/30/11.
- Page, Matthew C., College of Fine Arts, Lecturer, Art, 8/1/10 through 6/30/11.
- Paratley, Robert, College of Agriculture, Adjunct Instructor, Forestry, 8/16/10 through 6/30/11.
- Park-Nah, Holly H., College of Dentistry, Assistant Professor\*, Oral Health Practice, 8/1/10 through 6/30/11.
- Parsons, Lindsey A., College of Dentistry, Instructor (voluntary), Oral Health Science, 6/1/10 through 6/30/16.
- Perkins, Aaron R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 4/16/10 through 6/30/16.

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\*Special Title Series

- Perkins, Allen J., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/20/09 through 6/30/16.
- Petty, Heather M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/9/09 through 6/30/16.
- Phillips-Salimi, Celeste, College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Pidgeon, Emily P., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 3/25/10 through 6/30/16.
- Post, Martha J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/10 through 6/30/16.
- Prendergast, Jordan M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Raich, Chris J., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/20/09 through 6/30/16.
- Rapchak, Marcia E., College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Redmond, Jennifer L., College of Public Health, Assistant Professor (voluntary), Health Services Management, 7/1/10 through 6/30/16.
- Reed, Andrea, College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Reynolds, Molly, College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Riley, Scott A., College of Medicine, Assistant Professor (voluntary), Orthopaedic Surgery, 8/1/10 through 6/30/16.
- Ritchey, Edwin L., College of Agriculture, Assistant Extension Professor, Plant and Soil Sciences, 9/1/10 through 6/30/11.
- Romero, Susan, College of Agriculture, Instructor (part-time), Entomology, 8/25/10 through 11/25/10.
- Sabetta, Thomas, College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Scott, Allison M., College of Communications and Information Studies, Assistant Professor, Communication, 8/1/10 through 6/30/11.
- Scovern, Albert W., College of Medicine, Assistant Professor (voluntary), Psychiatry, 8/1/10 through 6/30/16.
- Shaffer, Travis, College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Skinner, William H., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/10 through 6/30/16.
- Smith, Nathan G., College of Design, Instructor (part-time), Architecture, 7/1/10 through 6/30/11.
- Stewart, C. T., College of Medicine, Assistant Professor (part-time), Physical Medicine and Rehabilitation, 9/1/10 through 6/30/11.
- Strunk, David, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/4/09 through 6/30/16.
- Subramanian, Venkateswaran, College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Summers, Martin R., College of Design, Instructor (temporary), Architecture, 7/1/10 through 6/30/11.

- Summers, Regina H., College of Design, Instructor (part-time), Architecture, 7/1/10 through 6/30/11.
- Taylor, Penny C., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/2/09 through 6/30/16.
- Tedder, David S., College of Agriculture, Adjunct Instructor, Forestry, 7/1/10 through 6/30/11.
- Turley, David P., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 9/1/10 through 6/30/11.
- Turpin, James A., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 6/1/10 through 6/30/16.
- Van de Venne, Judy, College of Agriculture, Lecturer, Family Studies, 8/15/10 through 6/30/11.
- Van Meter, Sarah W., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Varble, Robin, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 1/7/10 through 6/30/16.
- Veil, Shari R., College of Communications and Information Studies, Assistant Professor, Communication, 8/1/10 through 6/30/11.
- Vetter, Eric R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/29/09 through 6/30/16.
- Vincent, Stacy K., College of Agriculture, Lecturer, Community and Leadership Development, 7/1/10 through 6/30/11.
- von Unrug, Thomas P., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 6/1/10 through 6/30/16.
- Walker, Stephen P., College of Agriculture, Assistant Research Professor, Biosystems and Agricultural Engineering, 8/16/10 through 6/30/11.
- Walters, Stephen L., College of Medicine, Assistant Professor (voluntary), Anesthesiology, 5/1/10 through 6/30/16.
- Warren, Jami L., College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Wermeling, Susan H., College of Medicine, Assistant Professor (voluntary), Surgery, 6/1/10 through 6/30/16.
- Wesley, Amanda A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 3/17/10 through 6/30/16.
- Whitaker, Robin C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 7/1/10 through 6/30/16.
- Wiedemann, Holly B., College of Design, Instructor (part-time), Historic Preservation, 7/1/10 through 6/30/11.
- Wilkins, Christie C., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 5/27/10 through 6/30/16.
- Willis, Erin E., College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.
- Winters, Brad H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 4/13/10 through 6/30/16.
- Witte, Florence M., College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.

- Wornall, Samuel H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/22/10 through 6/30/16.
- Wurth, Amanda E., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Wyant, Andrew R., College of Health Sciences, Assistant Professor\*, Clinical Sciences, 7/1/10 through 6/30/11.
- Yeager, Jill E., College of Design, Instructor (part-time), Interior Design, 7/1/10 through 6/30/11.

## **B. ADMINISTRATIVE APPOINTMENTS**

- Addo, Kwaku, College of Agriculture, Associate Professor (with tenure), Nutrition and Food Science, appointed Interim Chair, Merchandising, Apparel and Textiles, 7/1/10 through 6/30/11.
- Boyd, Douglas A., College of Communications and Information Studies, Professor (with tenure), Communication, reappointed to the Chief of Staff, Office of the President, 7/1/10 through 6/30/11.
- Dahlstrom, Robert, College of Business and Economics, Professor (with tenure), Management, appointed Interim Director, Management, 8/23/10 through 6/30/11.
- Elayi, Elodie, College of Medicine, Clinical Administrator I, Clinical Research Development and Operations Center, effective 8/2/10.
- Gokun, Yevgeniya, College of Pharmacy, Statistician Senior, Research and Data Management Center, effective 7/12/10.
- Lawson, Lonnie S., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 8/1/10.
- Ogden, Anthony, International Affairs, Education Abroad Director, effective 8/1/10.

## **C. JOINT APPOINTMENTS**

- Ayan, Halim, College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Clark, Dorothy H., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Surgery, College of Medicine, 7/1/10 through 6/30/16.
- Hatton, Kevin W., College of Medicine, Clinical Assistant Professor, Anesthesiology, joint appointment as Clinical Assistant Professor, Surgery, 7/1/10 through 6/30/11.
- Johnson, Tatum S., College of Medicine, Clinical Assistant Professor, Radiology, joint appointment as Clinical Assistant Professor, Pediatrics, 7/13/10 through 6/30/11.
- Leedy, Aleck W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Liu, S. Sarah, College of Engineering, Assistant Professor, Science and Mathematics, West Kentucky Community and Technical College, joint appointment as Assistant

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\*Special Title Series

- Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Luo, Wei, College of Medicine, Clinical Assistant Professor, Radiation Medicine, joint appointment as Clinical Assistant Professor, Clinical Sciences, College of Health Sciences, 7/1/10 through 6/30/11.
- Pallone, Arthur K., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Rogers, James W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Soares, Neelkamal S., College of Medicine, Clinical Assistant Professor, Pediatrics, and joint appointment as Clinical Assistant Professor, Family and Community Medicine, new joint appointment as Clinical Assistant Professor, Educational, School, and Counseling Psychology, College of Education, 9/1/10 through 6/30/11.

#### **D. REAPPOINTMENTS**

- Abel, Mark G., College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/10 through 6/30/11.
- Adams, Charles D., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Allweiss, Pamela H., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, joint appointments as Assistant Professor (part-time), Family and Community Medicine, College of Medicine and Assistant Professor (part-time), Physical Medicine and Rehabilitation, College of Medicine, 7/1/10 through 6/30/11.
- Anderson, Terry, College of Communications and Information Studies, Lecturer, Journalism and Telecommunications, 7/1/10 through 12/31/10.
- Andrus, William M., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Arnold, Elizabeth, College of Fine Arts, Assistant Professor\*, Music, 7/1/10 through 6/30/11.
- Arron, Leslie, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Arvin, Rachael, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/10 through 6/30/11.
- Austin, Janice, College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Badger, Karen L., College of Social Work, Assistant Professor\*, 7/1/10 through 6/30/11.
- Baker, Michael S., College of Fine Arts, Assistant Professor, Music, 7/1/10 through 6/30/11.
- Ballard, Hubert O., College of Medicine, Assistant Professor\*, Pediatrics, 7/1/10 through 6/30/11.
- Barnett, Janine M., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.

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\*Special Title Series

- Baron, Andre T., College of Public Health, Assistant Professor (part-time), Epidemiology, joint appointment as Assistant Professor (part-time), Obstetrics and Gynecology, College of Medicine, 8/1/10 through 6/30/11.
- Bates, Pamela S., College of Social Work, Adjunct Instructor, 8/25/10 through 6/30/11.
- Bathon, Justin M., College of Education, Assistant Professor, Educational Leadership Studies, 7/1/10 through 6/30/11.
- Bennett, Kimberly A., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Bentley, Perry, College of Law, Instructor (part-time), 8/13/10 through 6/30/11.
- Bottge, Karen M., College of Fine Arts, Assistant Professor, Music, 7/1/10 through 6/30/11.
- Boulay, Rose M., College of Education, Lecturer, Educational Leadership Studies, joint appointment as Lecturer, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Bouwma-Gearhart, Jana L., College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Bradley, Victoria M., College of Nursing, Assistant Adjunct Professor, 7/1/10 through 6/30/11.
- Bramlage, Ron, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Brown, Joanne, College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Bryson, L. Sebastian, College of Engineering, Assistant Professor, Civil Engineering, 7/1/10 through 6/30/12.
- Buckler, Lacey T., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Burns, Leslie D., College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Burton, Christina A., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Camp, Sarah K., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Cantrell, Susan, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Carpenter, Amy E., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Carrigan, Dennis P., College of Communications and Information Studies, Assistant Professor\*, Library and Information Science, 7/1/10 through 2/28/11.
- Cetrulo, Donald P., College of Law, Instructor (part-time), 1/1/11 through 6/30/11.
- Chapman, Alexander L., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/10 through 6/30/11.
- Chung, Misook L., College of Nursing, Assistant Research Professor, 7/1/10 through 6/30/11.
- Clancy, Karen F., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/10 through 6/30/11.
- Clay, Angelique, College of Fine Arts, Assistant Professor\*, Music, 7/1/10 through 6/30/11.
- Co, Angela D., College of Design, Instructor (temporary), Architecture, 7/1/10 through 6/30/11.
- Coffee, Melvin N., College of Communications and Information Studies, Assistant Professor\*, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Cole, Rebecca R., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Collins, Carl C., College of Fine Arts, Assistant Professor\*, Music, 7/1/10 through 6/30/11.
- Comeaux, Eddie, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/10 through 6/30/11.

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\*Special Title Series

- Crabtree, Joseph D., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/10 through 6/30/11.
- Craft, Jonathan D., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Cross, Alvin, College of Communications and Information Studies, Assistant Extension Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Crump, Laura M., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Dailey, Raleigh K., College of Fine Arts, Assistant Professor\*, Music, 7/1/10 through 6/30/11.
- Davin, Diana J., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Davis, Andrea C., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- DeLetter, Mary C., College of Nursing, Assistant Professor (part-time), 7/1/10 through 12/31/10.
- Delisle, Brian P., College of Medicine, Assistant Professor, Physiology, 7/1/10 through 6/30/11.
- Demlow, Alan R., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/10 through 6/30/12.
- Diamond, Scott E., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/10 through 6/30/11.
- Dillon, Kathryn, College of Medicine, Assistant Professor (part-time), Obstetrics and Gynecology, 7/1/10 through 7/31/10.
- Doolen, Suzanne T., College of Medicine, Assistant Research Professor, Physiology, 7/1/10 through 6/30/11.
- Doss, Janet W., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Dudley, Susan J., College of Fine Arts, Lecturer, Music, 7/1/10 through 6/30/11.
- Dunn, Judi C., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Durbin, Eileen D., College of Agriculture, Instructor (part-time), Family Studies, 8/15/10 through 6/30/11.
- Eggers, Stacey L., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Erwin, Heather, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/10 through 6/30/11.
- Estes, Nancy, College of Design, Instructor (part-time), Historic Preservation, 7/1/10 through 6/30/11.
- Evans, Connie, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Evans, Julie L., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Farrell, Herman D., College of Fine Arts, Assistant Professor, Theatre, 7/1/10 through 6/30/11.
- Faulkner, Cynthia, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Faulkner, Samuel S., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Fedewa, Alicia L., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/10 through 6/30/11.
- Feld, Hartley C., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.

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\*Special Title Series

- Filson, Anne E., College of Design, Assistant Professor, Architecture, 7/1/10 through 6/30/11.
- Fisher, Molly H., College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Fray, Lorelei L., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Frolenkov, Gregory I., College of Medicine, Assistant Professor, Physiology, 7/1/10 through 6/30/11.
- Ganahl, Brigitte, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Geissler, Janet, College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Gillen, Martie, College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Goble, Christine F., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/10 through 6/30/11.
- Godbey, Matthew W., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Golden, Patricia M., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Goodwin, Belinda R., College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- Gowin, Brandi G., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Graves, Jacquelyn A., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Gu, Qihai, College of Medicine, Assistant Research Professor, Physiology, 7/1/10 through 6/30/11.
- Hack, John-Mark B., College of Agriculture, Instructor (part-time), Community and Leadership Development, 7/1/10 through 6/30/11.
- Hacker, Janell, College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Hall, Debra S., College of Nursing, Assistant Adjunct Professor, 7/1/10 through 6/30/11.
- Hall, Jennifer G., College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Hall, Sheila, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 7/1/10 through 6/30/16.
- Hammonds, Pam, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Hampton, Debra C., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Hardin-Fanning, Frances, College of Nursing, Lecturer, 7/1/10 through 6/30/11.
- Harley, John P., College of Nursing, Assistant Professor (part-time), 7/1/10 through 6/30/11.
- Harrison, Mary Margaret, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Hatcher, Jennifer, College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Hays, Allison B., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Henry, George E., College of Law, Instructor (part-time), 8/1/10 through 6/30/11.
- Henry, Laurie, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Hensley, Sara B., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Hesterberg, Latonya J., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Hooper, Gwendolyn L., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.

- Howard, Patricia K., College of Nursing, Assistant Adjunct Professor, 7/1/10 through 6/30/11.
- Hu, Patrick G., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/10 through 6/30/11.
- Huang, Cai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/10 through 6/30/11.
- Hubbard, Christy L., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Hudson, Cindy K., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Hutchens, Neal H., College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/10 through 6/30/11.
- Hutchison, Phillip, College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Jackson, Jim, College of Education, Lecturer, Educational Leadership Studies, 8/16/10 through 8/15/11.
- Jones, Sara E., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Jordan, Carol E., College of Arts and Sciences, Assistant Adjunct Professor, Psychology, and joint appointment as Assistant Adjunct Professor, Psychiatry, College of Medicine, 7/1/10 through 6/30/11.
- Kain, Mary, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Kanatzer, Terri, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Kesler, Melissa V., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 7/1/10 through 6/30/11.
- Kim, Yung Soo, College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Kimbrough, Andrew M., College of Fine Arts, Assistant Professor, Theatre, 7/1/10 through 6/30/11.
- Kinnaird, Sally W., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Knoer, Susan K., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/16/10 through 6/30/11.
- Koester, Paul H., College of Arts and Sciences, Lecturer, Mathematics, 7/1/10 through 6/30/12.
- Kopyc, Sharon, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/11.
- Kwon, Donna L., College of Fine Arts, Assistant Professor, Music, 7/1/10 through 6/30/11.
- Lander, Deborah R., College of Fine Arts, Assistant Professor\*, Music, 7/1/10 through 6/30/11.
- Lankster, Florence M., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Larson, Raymond W., College of Law, Instructor (part-time), 8/1/10 through 6/30/11.
- Lazzari, Lori W., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Leaver, Patricia C., College of Agriculture, Instructor (part-time), Family Studies, 8/15/10 through 6/30/11.
- Lee, Kiyoung, College of Nursing, Assistant Adjunct Professor, 7/1/10 through 6/30/11.
- Leigh, Phyllis, College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Lewis, Nicole, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/10 through 6/30/11.

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\*Special Title Series

- Lewis, Wayne D., College of Education, Assistant Professor, Educational Leadership Studies, 7/1/10 through 6/30/11.
- Lhotka, John M., College of Agriculture, Assistant Professor, Forestry, 7/1/10 through 6/30/11.
- Lindsay, Tedrin B., College of Fine Arts, Lecturer, Music, 7/1/10 through 6/30/11.
- Littrell, Margaret, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Lockard, Anthony S., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Lu, Y. Charles, College of Engineering, Assistant Professor\*, Mechanical Engineering, 7/1/10 through 6/30/12.
- Lucas, Timothy K., College of Design, Instructor (part-time), Architecture, 7/1/10 through 6/30/11.
- Lusk, Braden T., College of Engineering, Assistant Professor, Mining Engineering, 7/1/10 through 6/30/12.
- Maki, John M., College of Arts and Sciences, Lecturer, Mathematics, 7/1/10 through 6/30/12.
- Mallozzi, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Manson, Andrew J., College of Design, Instructor (temporary), Architecture, 7/1/10 through 6/30/11.
- Marcum, Miriam B., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Martinez, Kathryn B., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Maske, Andrew L., College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- McCarthy, John J., College of Medicine, Assistant Research Professor, Physiology, 7/1/10 through 6/30/11.
- McCrary, Nancye, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- McGarvey, John T., College of Law, Instructor (part-time), 8/1/10 through 6/30/11.
- McKay, Michael B., College of Design, Lecturer, Architecture, 7/1/10 through 6/30/11.
- McLay, Carol M., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- McMullen, Colleen A., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/10 through 6/30/11.
- McQueary, Susanna M., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- McVay, Vicki S., College of Fine Arts, Lecturer, Music, 7/1/10 through 6/30/11.
- Meade, Cynthia J., College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Meegan, Sara S., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Michalski, Lennon T., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Midboe-Penn, Pamela R., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Miller, Kyle J., College of Design, Instructor (temporary), Architecture, 7/1/10 through 6/30/11.

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\*Special Title Series

- Mitchell, Stephen B., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.
- Molenaar, Lori S., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Moylan, Jennifer S., College of Medicine, Assistant Research Professor, Physiology, 7/1/10 through 6/30/11.
- Mullineaux, David, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/10 through 6/30/11.
- Murphy, Stephanie E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Nguyen, Lien-Hang, College of Arts and Sciences, Assistant Professor, History, 7/1/10 through 6/30/12.
- Parrish, Thomas D., College of Design, Lecturer, Architecture, 7/1/10 through 6/30/11.
- Patterson, Ebony G., College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- Payne, Gary, College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/31/10.
- Penn, Stephen T., College of Fine Arts, Lecturer, Music, 7/1/10 through 6/30/11.
- Pennington, Rose, College of Agriculture, Instructor (part-time), Family Studies, 8/16/10 through 6/30/11.
- Perry, Kristen, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Peterson, Marilyn, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Peterson, Susan M., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Probst, Denise, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Proud, Matthew E., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Puckett, Nicholas R., College of Design, Assistant Professor, Architecture, and joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Rash, Timothy R., College of Design, Lecturer, Architecture, 7/1/10 through 6/30/11.
- Ray, Robin, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Reed, Eric J., College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/10 through 6/30/11.
- Rhee, Stephanie L., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Riesenweber, Julie, College of Design, Instructor (part-time), Historic Preservation, 7/1/10 through 6/30/11.
- Riley, Jonathan D., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Rintamaa, Margaret, College of Education, Assistant Research Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Roberts, Kittye R., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Rodriguez, Diana A., College of Nursing, Assistant Professor\*, 7/1/10 through 6/30/11.
- Rogers-Carpenter, Katherine L., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Rogozinska, Anna, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Rohr, Jeanne M., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.

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\*Special Title Series

- Rohrbacher, Gary P., College of Design, Assistant Professor, Architecture, 7/1/10 through 6/30/11.
- Roy, Zackary V., College of Medicine, Clinical Assistant Professor, Internal Medicine, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/10 through 6/30/11.
- Rychahou, Piotr G., College of Medicine, Assistant Research Professor, Surgery, 7/1/10 through 6/30/11.
- Salt, Elizabeth G., College of Nursing, Assistant Professor, 7/1/10 through 6/30/11.
- Sandmeyer, Robert D., College of Arts and Sciences, Lecturer, Philosophy, 7/1/10 through 6/30/12.
- Sayers, Anne E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Scanlon, Mary D., College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Scharrer, Ian S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Schlackman, Marc S., College of Fine Arts, Lecturer, Music, 7/1/10 through 6/30/11.
- Schmidt, Maria E., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/10 through 6/30/11.
- Schnittka, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Schroeder, Margaret J., College of Education, Assistant Professor, Curriculum and Instruction, 7/1/10 through 6/30/11.
- Schuller, Juawanna L., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Scroggin, Jason, College of Design, Assistant Professor, Architecture, 7/1/10 through 6/30/11.
- Seamon, Leigh G., College of Medicine, Assistant Professor\*, Obstetrics and Gynecology, joint appointment as Assistant Professor\*, Epidemiology, College of Public Health, 7/1/10 through 6/30/11.
- Seigler, T. Michael, College of Engineering, Assistant Professor, Mechanical Engineering, 7/1/10 through 6/30/12.
- Setters, Melissa, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Shatford, Russell A., College of Medicine, Assistant Professor\*, Orthopaedic Surgery, 7/1/10 through 8/31/10.
- Shaw, Michael, College of Arts and Sciences, Lecturer, Mathematics, 7/1/10 through 6/30/11.
- Siever, Mark A., College of Design, Instructor (part-time), Architecture, 7/1/10 through 6/30/11.
- Sizemore, Edward A., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Slone, Melissa, College of Social Work, Clinical Instructor, 7/1/10 through 6/30/11.
- Smith, Jennifer, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Stamps, William H., College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- Steinmetz, David R., College of Fine Arts, Assistant Professor, Theatre, 7/1/10 through 6/30/11.
- Stevens, Nathan, College of Communications and Information Studies, Adjunct Instructor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Stevenson, Krista L., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/11.

- Stocker, Sean D., College of Medicine, Assistant Adjunct Professor, Physiology, 7/1/10 through 6/30/11.
- Stoltz, Regina M., College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Strachan, Douglas R., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/10 through 6/30/12.
- Strakovsky, Dmitry, College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- Stuhlfaut, Mark W., College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Stumpf, Elizabeth S., College of Medicine, Assistant Research Professor, Physiology, 7/1/10 through 6/30/11.
- Sum, Joey P., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.
- Sumandea, Marius P., College of Medicine, Assistant Professor, Physiology, 7/1/10 through 6/30/11.
- Swan, Gerry M., College of Education, Assistant Professor, Curriculum and Instruction, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Tai, Zixue, College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Taylor, Carol, College of Social Work, Adjunct Instructor, 8/25/10 through 12/31/10.
- Taylor, Stacy A., College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Terry, Kendall, College of Education, Assistant Professor, Educational Leadership Studies, 7/1/10 through 6/30/11.
- Thomas, Brian N., College of Agriculture, Instructor (part-time), Agricultural Economics, 8/16/10 through 12/31/10.
- Thomas, Dawn A., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Thomas, Jacky, College of Social Work, Clinical Instructor, 7/1/10 through 6/30/11.
- Thompson, Donna, College of Nursing, Adjunct Instructor, 7/1/10 through 6/30/11.
- Toland, Michael D., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/10 through 6/30/11.
- Turner, Lisa M., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Tuttle, Jeffrey P., College of Medicine, Assistant Professor (part-time), Psychiatry, 7/1/10 through 6/30/11.
- Umberger, Gloria H., College of Medicine, Assistant Adjunct Professor, Neurology, 7/1/10 through 6/30/11.
- Urch, Kathleen, College of Communications and Information Studies, Assistant Professor\*, Journalism and Telecommunications, 7/1/10 through 6/30/11.
- Van Hoose, Carla, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Vice, Robert, College of Design, Instructor (part-time), Historic Preservation, 7/1/10 through 6/30/11.
- Visona, Monica B., College of Fine Arts, Assistant Professor, Art, 7/1/10 through 6/30/11.
- Wade, James, College of Fine Arts, Lecturer, Art, 7/1/10 through 6/30/11.
- Ware, Rachel A., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/1/10 through 7/31/10.

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\*Special Title Series

Watson, Adria M., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.  
 Wheeler, Kathleen S., College of Fine Arts, Lecturer, Art, 7/1/10 through 6/30/11.  
 Wheeler, Kathy, College of Nursing, Clinical Assistant Professor, 7/1/10 through 6/30/11.  
 Wisdom, Charles P., College of Law, Instructor (part-time), 8/1/10 through 6/30/11.  
 Witt, Cheryl D., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.  
 Yang, Hongwei, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/10 through 6/30/11.  
 Yarberry, Tara, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.  
 Yewell, Patrick, College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.  
 Yoo, Chan Y., College of Communications and Information Studies, Assistant Professor, Journalism and Telecommunications, 7/1/10 through 6/30/11.  
 Yoshida, Ruriko, College of Arts and Sciences, Assistant Professor, Statistics, 7/1/10 through 6/30/12.  
 Zachman, Frederick M., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/10 through 6/30/11.  
 Zhang, Tongxiao, College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/31/10.  
 Zimmerman, Suzanne K., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.  
 Zourarakis, Demetrio P., College of Agriculture, Instructor (part-time), Plant and Soil Sciences, 7/1/10 through 6/30/11.

#### **E. PROMOTIONS WITHOUT TENURE**

Brown, Roger M., College of Agriculture, from Lecturer, Agricultural Economics, to Senior Lecturer, Agricultural Economics, 8/1/10 through 6/30/11.  
 Lifshitz, Carrie, College of Pharmacy, from Instructor (part-time), Pharmaceutical Sciences, to Assistant Professor (part-time), Pharmaceutical Sciences, 8/1/10 through 6/30/11.  
 McDonald, Juliana, College of Arts and Sciences, from Lecturer, Anthropology, to Senior Lecturer, Anthropology, 7/1/10 through 6/30/13.  
 McKinney, Katherine M., College of Medicine, from Clinical Instructor, Internal Medicine, to Clinical Assistant Professor, Internal Medicine, 7/1/10 through 6/30/11.  
 Ware, Rachel A., College of Medicine, from Clinical Instructor, Obstetrics and Gynecology, to Clinical Assistant Professor, Obstetrics and Gynecology, 8/1/10 through 6/30/11.  
 Wright, Nazera S., College of Arts and Sciences, from Instructor, English, to Assistant Professor, English, 5/18/10 through 6/30/11.

#### **F. CHANGES**

Allen-Bryant, Kacy T., College of Nursing, from Instructor (part-time), to Lecturer, 7/1/10 through 6/30/11.  
 Arnett, Paula, College of Medicine, from Department Administrator III, Behavioral Science, to Regional Site Administrator, Medical Education, effective 7/12/10.

- Beebe, Leslie M., College of Nursing, from Instructor (part-time), to Lecturer, 7/1/10 through 6/30/11.
- Calhoun, James Derek, from Hospital, Nursing Clinical, Nursing Practice and Support Services, to College of Medicine, Advanced Practice Provider I, Anesthesiology, effective 8/1/10.
- Carcamo, Erick S., College of Design, from Instructor (part-time), Architecture, to Instructor (temporary), Architecture, 7/1/10 through 6/30/11.
- Cooper, Michael A., College of Health Sciences, Assistant Professor\*, Clinical Sciences, from 7/1/10 through 6/30/11, to 8/1/10 through 6/30/11.
- Dillon, Kathryn, College of Medicine, from Assistant Professor (part-time), Obstetrics and Gynecology, to Clinical Assistant Professor, Obstetrics and Gynecology, 8/1/10 through 6/30/11.
- Graves, Kathryn T., College of Agriculture, from Assistant Research Professor, Veterinary Science, to Clinical Assistant Professor, Veterinary Science, 9/1/10 through 6/30/11.
- Jacobs, Michael W., College of Design, from Instructor (part-time), Architecture, to Instructor (temporary), Architecture, 7/1/10 through 6/30/11.
- Jepsen, Christopher, College of Business and Economics, from Assistant Research Professor, Economics, to Assistant Professor\*, Economics, 8/16/10 through 6/30/11.
- Mitchell, Stephen B., College of Medicine, from Associate Professor (part-time), Obstetrics and Gynecology, to Clinical Assistant Professor, Obstetrics and Gynecology, 2/1/10 through 6/30/10.
- She, Qing-Bai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, from 7/1/10 through 6/30/11, to 7/26/10 through 6/30/11..
- Shin, Hainsworth Y., Graduate School, from Assistant Professor, Biomedical Engineering, to Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Stevenson, Jennifer Erin, College of Medicine, from Drug/Alcohol Project Director, Imaging Services, to Information Technology Manager II, Center on Drug and Alcohol Research, effective 7/1/10.
- Sunderam, Sridhar, Graduate School, from Assistant Professor, Biomedical Engineering, to Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/10 through 6/30/11.
- Takebayashi, Akari, College of Design, from Instructor (part-time), Architecture, to Instructor (temporary), Architecture, 7/1/10 through 6/30/11.
- Walmsley, Lee A., College of Nursing, from Lecturer, to Instructor (part-time), 7/1/10 through 6/30/11.

#### **G. TERMINAL REAPPOINTMENTS**

- Aalboe, Joanna A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Ali, Mohamed H., College of Engineering, Senior Lecturer, Mechanical Engineering, 7/1/10 through 6/30/11.

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\*Special Title Series

- Botts, Sheila, College of Pharmacy, Assistant Professor\*, Pharmacy Practice and Science, 7/1/10 through 6/30/11.
- Bunn, Terry L., College of Public Health, Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/10 through 6/30/11.
- Cobetto, Gregory A., College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Danaher, Robert J., College of Dentistry, Assistant Research Professor, Oral Health Practice, and joint appointment as Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, College of Medicine, 7/1/10 through 6/30/11.
- Dawson, Dolph, College of Dentistry, Assistant Research Professor, Oral Health Practice, 7/1/10 through 6/30/11.
- Henninger, J. Thomas, College of Engineering, Lecturer, Mechanical Engineering, 7/1/10 through 6/30/11.
- Herrin, David W., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/10 through 6/30/11.
- Hewett, Donna T., College of Engineering, Lecturer, Mechanical Engineering, 7/1/10 through 6/30/11.
- Huang, Chifu B., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/10 through 6/30/11.
- Oz, Helieh S., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/10 through 6/30/11.
- Piwowarski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/10 through 6/30/11.
- Poole, Patrick K., College of Engineering, Senior Lecturer, Mechanical Engineering, 7/1/10 through 6/30/11.
- Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 7/1/10 through 6/30/11.
- Weidner, Jerry R., College of Arts and Sciences, Lecturer, Earth and Environmental Sciences, 7/1/10 through 6/30/11.
- Yi, Kwan, College of Communications and Information Studies, Assistant Professor, Library and Information Science, 7/1/10 through 6/30/11.
- Young, William S., College of Engineering, Lecturer, Mechanical Engineering, 7/1/10 through 6/30/11.
- Zheng, Guangrong, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 7/1/10 through 1/31/11.

#### **H. LEAVES OF ABSENCE**

- Alexander, Linda J., College of Public Health, Associate Extension Professor (with tenure), Health Behavior, and joint appointment as Associate Extension Professor (without tenure), Family and Consumer Science, College of Agriculture, Sabbatical leave at full salary, 7/1/10 through 12/31/10.

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\*Special Title Series

- Bachas, Leonidas, College of Arts and Sciences, Professor (with tenure), Chemistry, Leave without pay, 7/1/10 through 12/31/10.
- Bramwell, Fitzgerald, College of Arts and Sciences, Professor (with tenure), Chemistry, Leave without pay, 7/1/10 through 6/30/11.
- Brunn, Stanley, College of Arts and Sciences, Professor (with tenure), Geography, from Sabbatical leave at full salary, 7/1/10 through 12/31/10, to Sabbatical leave at two-thirds salary, 7/1/10 through 6/30/11.
- Daunert, Sylvia, College of Arts and Sciences, Professor (with tenure), Chemistry, and joint appointment as Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, Leave without pay, 7/1/10 through 6/30/11.
- Edwards, Kim, College of Arts and Sciences, Associate Professor (with tenure), English, Leave without pay, 7/1/10 through 6/30/11.
- Fording, Richard, College of Arts and Sciences, Professor (with tenure), Political Science, from Sabbatical leave at full salary, 1/1/11 through 6/30/11, to Sabbatical leave at full salary, 7/1/11 through 12/31/11.
- Gaetke, Eugene R., College of Law, Professor (with tenure), Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Gaetke, Lisa M., College of Agriculture, Professor\* (with tenure), Nutrition and Food Science, Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Hassebrook, Laurence G., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, from Sabbatical leave at full salary, 7/1/10 through 12/31/10, to Sabbatical leave at half salary, 7/1/10 through 6/30/11.
- Hastings, J. Todd, College of Engineering, Associate Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Healy, Michael P., College of Law, Professor (with tenure), Sabbatical leave at full salary, 1/1/11 through 6/30/11.
- Jefferies, Richard, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, from Sabbatical leave at full salary, 1/1/11 through 6/30/11, Sabbatical leave at full salary, 7/1/11 through 12/31/11.
- Lennie, Terry A., College of Nursing, Associate Professor (with tenure), Sabbatical leave at full salary, 7/1/10 through 12/31/10.
- Male, Alan T., College of Engineering, Professor (with tenure), Mechanical Engineering, Sabbatical leave at half salary, 1/1/11 through 12/31/11.
- Obrycki, John J., College of Agriculture, Professor (with tenure), Entomology, Sabbatical leave at full salary, 5/1/11 through 7/31/11.
- Zhang, Yu-Ming, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Scholarly fellowship leave, 7/1/10 through 6/30/11.
- Zurn, Christopher, College of Arts and Sciences, Associate Professor (with tenure), Philosophy, Leave without pay, 7/1/10 through 12/31/10.

## **I. RESIGNATIONS**

- Alegria, Jorge R., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, effective 9/30/10.
- Ali, Kamilah S., College of Medicine, Assistant Research Professor, Graduate Center for Nutritional Sciences, effective 8/13/10.

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\*Special Title Series

- Batts, James W., College of Education, Lecturer, Educational, School, and Counseling Psychology, effective 6/30/10.
- Board, Tammy, College of Education, Lecturer, Curriculum and Instruction, effective 6/30/10.
- Buchanan, Margo, College of Fine Arts, Lecturer, Music, effective 6/30/10.
- Carey, Karen, College of Education, Lecturer, Educational Policy Studies and Evaluation, effective 6/30/10.
- Chuah, Keng H., College of Engineering, Assistant Research Professor, Mechanical Engineering, effective 6/30/10.
- Ellington, Virginia H., College of Agriculture, Lecturer, Family Studies, effective 6/30/10.
- Elliott, Gregory, College of Pharmacy, Assistant Professor, Pharmaceutical Sciences, and joint appointment as Assistant Professor, Chemistry, College of Arts and Sciences, effective 9/1/10.
- Fakhoury, Toufic A., College of Medicine, Professor\* (with tenure), Neurology, effective 8/31/10.
- Francies, Regina S., College of Communications and Information Studies, Lecturer, Communication, effective 6/30/10.
- Gardner, Melissa E., College of Communications and Information Studies, Instructor\*, Library and Information Science, effective 6/30/10.
- Garner, Kamara E., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, effective 6/30/10.
- Gianferrari, Elisa A., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, effective 7/30/10.
- Griffen, Ann, College of Education, Lecturer, Special Education and Rehabilitation Counseling, effective 6/30/10.
- Hager, Karen, College of Education, Assistant Professor, Special Education and Rehabilitation Counseling, effective 6/30/10.
- Ham, Seonok, College of Agriculture, Associate Professor (with tenure), Nutrition and Food Science, effective 6/30/10.
- Herberth, Johann, College of Medicine, Assistant Professor, Internal Medicine, effective 10/31/10.
- Hughes, Earl W., College of Education, Lecturer, Curriculum and Instruction, effective 6/30/10.
- Johnson, Wendy, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, effective 1/1/10.
- Joseph, Jane E., College of Medicine, Associate Professor (with tenure), Anatomy and Neurobiology, effective e 7/15/10.
- Joyce, Jennifer M., College of Medicine, Associate Professor\* (with tenure), Family and Community Medicine, effective 8/31/10.
- Kitchel, Tracy J., College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, effective 6/30/10.
- Lekakis, Lazaros J., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 10/15/10.
- Lewis, Briana L., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, effective 6/30/10.

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\*Special Title Series

- Li, Jingshan, College of Engineering, Assistant Professor, Electrical and Computer Engineering, effective 6/30/10.
- Liu, Chaoyuan, College of Arts and Sciences, Lecturer, effective 6/30/06.
- Marshall, Kassi M., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, effective 7/31/10.
- McNabb, Sarah, College of Design, Lecturer, effective 6/30/10.
- Page, Jay B., College of Medicine, Clinical Instructor, Surgery, from effective 6/30/10, to effective 6/18/10.
- Peppin, John F., College of Pharmacy, Clinical Associate Professor, Pharmacy Practice and Science, effective 6/30/10.
- Perman, Jay A., College of Medicine, Professor (with tenure), Pediatrics and joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, and Dean, College of Medicine, effective 6/30/10.
- Perry, Gerald R., College of Business and Economics, Lecturer, Management, effective 6/30/10.
- Ramsey, Christian N., College of Medicine, Assistant Professor\*, Neurosurgery, and joint appointment as Assistant Professor\*, Radiology, effective 5/20/10.
- Sanger, Claire, College of Medicine, Assistant Professor\*, Surgery and joint appointment as Assistant Professor\*, Pediatrics, from effective 8/31/10, to effective 6/30/10.
- Saunders, Marnie M., Graduate School, Assistant Professor, Biomedical Engineering, effective 6/30/10.
- Shannon, Lisa M., College of Medicine, Assistant Research Professor, Behavioral Science, effective 8/16/10.
- Shatford, Russell A., College of Medicine, Assistant Professor\*, Orthopaedic Surgery, effective 8/31/10.
- Shaw, Megan L., College of Design, Lecturer, effective 6/30/10.
- Tang, Xiaoqing, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, effective 12/31/10.
- Terry, Kendall, College of Education, Assistant Professor, Educational Leadership Studies, effective 8/13/10.
- Tsai, Chung-Jyi, College of Medicine, Associate Professor (without tenure), Internal Medicine, effective 10/17/10.
- van den Hurk, Jeroen, College of Design, Lecturer, Historic Preservation, effective 6/30/10.
- Walters, Chadwick A., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, effective 6/30/10.
- Ware, Rachel A., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/10.

## EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

### I. BOARD ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

- Cassin, Michelle, University Hospital, Information Technology Executive Director/UKHC, EVPHA Information Technology, effective 5/10/10
- Darboe, Jariat, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/Chandler, effective 05/27/10
- Brantley, Lisa, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/Chandler, effective 06/21/10
- Miller, Doris, University Hospital, Information Technology Executive Director/UKHC, EVPHA Information Technology, effective 7/26/10
- Eimer, Mark, University Hospital, Information Technology Executive Director/UKHC, EVPHA Information Technology, effective 7/26/10
- Rizzo, Noelle, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/Chandler, effective 7/19/10
- Green, Brandon, University Hospital, Clinical Systems Coordinator/Pharmacist/UKHC, EVPHA Information Technology, effective 08/09/10

#### B. CHANGES

- Younce, Elaine, University Hospital, from Managed Care Associate Director/UKHC, Managed Care Finance Services to Reimbursement/Rate Director/UKHC, Managed Care Finance Services, effective 06/20/10
- Miracle, Lorra, University Hospital, from Performance Improvement/Value Analysis Director, Value Analysis/Supply Management to Supply Chain Management Director, Materials Management, effective 07/18/10
- Stephens, Teresa, University Hospital, from Nurse Clinical, Emergency Department to Hospital Operations Administrator, Hospital Command Center, effective 02/14/10
- Black, William, University Hospital, from Faculty to Staff, University Health Services, effective 06/01/10

#### C. RETIREMENTS

- Foster, Glenda D., Clinical Nurse Clinician Senior, after 20 years and 5 months of consecutive service, under AR 3.1, Section VI.A., effective 06/25/10
- Banks, Annie J., Nursing Care Technician, after 31 years and 09 months of consecutive service, under AR 3.1, Section VI.A., effective 06/30/10
- Hall, Karen E., Staff Development Specialist, after 40 years and 09 months of consecutive service, under AR 3.1 Section VI.A., effective 07/16/10
- Tynan, Alys L., Nurse Clinical, after 15 years and 04 months of consecutive service, under AR 3.1 Section VI.A., effective 08/23/10

Baker, Kathleen F., Medical Technologist, after 26 years and 06 months of consecutive service, under AR 3.1 Section VI.A., effective 08/27/10

Riley, Charlene L., Medical Technologist Supervisor, after 34 years and 05 months of consecutive service, under AR 3.1 Section VI.A., effective 10/04/10

Floyd, Marguerite, Documentation Manager, after 24 years and 07 months of consecutive service, under AR 3.1 Section VI.A., effective 10/09/10

## **II. ADMINISTRATIVE ACTION**

### **A. ADMINISTRATIVE APPOINTMENTS**

Fraley, Jamie, Good Samaritan Hospital, Operations Manager Emergency Trauma Services, Good Samaritan Emergency Department Administration, effective 08/16/10

### **B. CHANGES**

Pasal, Padma, University Hospital, from Financial Analyst/UKHC, Fiscal Planning and Analysis/Accounting to Fiscal Planning/Analysis Manager/UKHC, Pharmacy Services, effective 07/18/10

Jackson, Demond, University Hospital, from Nurse Clinical, Medicine ICU to Patient Care Manager, ICU Administration, effective 06/06/10

Proud, Matthew, University Hospital, from Nurse Coordinator Clinical, Emergency Department Administration to Patient Care Manager, Emergency Department Administration, effective 07/18/10

Ratcliffe, Ellen, University Hospital, from Staff Development Specialist, Nursing Administration to Clinical Nurse Specialist, Nursing Administration, effective 06/20/10

Branson, Pamela, University Hospital, from Patient Care Facilitator, Continuum of Care to Clinical Nurse Specialist, Nursing Administration, effective 05/23/10

Robinson, Deeanna, University Hospital, from Hospital Operations Administrator, Hospital Command Center to Patient Care Manager, ICU Administration, effective 09/12/10

Heck, Sarah, University Hospital, from Financial Analyst/UKHC, Fiscal Planning and Analysis/Manager to Service Line Financial Manager/UKHC, Fiscal Planning and Analysis/Manager, effective 08/01/10

# PR 3

Office of the President  
September 14, 2010

Members, Board of Trustees:

## APPOINTMENT OF DEAN OF LIBRARIES

Recommendation: that Dr. Terry L. Birdwhistell be appointed as Dean of Libraries, effective July 1, 2010.

Background: Ms. Carol Pitts Diedrichs resigned as Dean of Libraries effective January 4, 2010. A search committee was appointed to identify and recommend qualified persons for the position of Dean of Libraries. The search committee considered approximately 20 candidates, and three finalists were interviewed on campus. Dr. Birdwhistell received enthusiastic support across the university and was strongly supported by the search committee.

Dr. Birdwhistell is currently the Associate Dean for Special Collections and Digital Programs and Co-Director of the Wendell H. Ford Public Policy Research Center and has been serving as interim dean since January. Dr. Birdwhistell received his master's degrees in history and library and information science, and a doctorate in educational policy studies and evaluation from the University of Kentucky.

A noted oral historian, Dr. Birdwhistell assumed the position of director of UK's Oral History Program in 1974 and is credited with building the Louie B. Nunn Center for Oral History into one of the nation's top programs during his 31 years of service guiding the program. In the 1980s, Dr. Birdwhistell began taking on several other leadership positions in UK Libraries including serving as University Archivist from 1985 to 2001. He has also served as executive secretary of the UK Libraries National Advisory Board since 2007. Dr. Birdwhistell serves as co-general editor of the "Kentucky Remembered: An Oral History Series" published by the University Press of Kentucky.

Dr. Birdwhistell serves on the Advisory Committee on the Records of Congress, Kentucky's State Archives and Records Commission and the executive board of the Kentucky Historical Society. He is a past president of the national Oral History Association, the Kentucky Council on Archives, and the Kentucky-Tennessee American Studies Association.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# PR 4

Office of the President  
September 14, 2010

Members, Board of Trustees:

## APPOINTMENT OF DEAN OF THE COLLEGE OF PHARMACY

Recommendation: that Dr. Timothy S. Tracy be appointed as Dean of the College of Pharmacy, effective August 16, 2010.

Background: Dr. Kenneth Roberts resigned as Dean of the College of Pharmacy to return to the faculty. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Pharmacy. The search committee considered approximately 27 candidates, and three finalists were interviewed on campus. Dr. Tracy received excellent endorsement within the college and from the search committee.

Dr. Tracy is currently professor and Head of the Department of Experimental and Clinical Pharmacology at the University of Minnesota College of Pharmacy. Dr. Tracy received his bachelor's degree in pharmacy from Ohio Northern University, his Ph.D. in clinical pharmacy from Purdue University, and completed a postdoctoral fellowship in clinical pharmacology at Indiana University.

Dr. Tracy began his career as a pharmacist in Gallipolis and Portsmouth, Ohio, before returning to school to receive his doctoral degree. He began his academic career at West Virginia University (WVU) where he served as a faculty member working his way from assistant professor to professor from 1992 to 2003 and continues to serve as an adjunct professor in the WVU Department of Basic Pharmaceutical Sciences. In 2003, he joined the faculty at the University of Minnesota as professor and was named department head in 2004.

Dr. Tracy has garnered extramural funding through the National Institutes of Health (NIH) and currently is the principal investigator on two NIH supported grants and co-investigator on a third project with total funding of more than \$2.7 million. In addition, he is the author of numerous scientific publications, book chapters, and two books. He also holds two patents.

He received the Outstanding Teacher Award at the WVU School of Pharmacy six times in a 10-year period. He is a member of Phi Lambda Sigma Pharmacy Leadership Honorary Society, the International Society for Study of Xenobiotics, the American Society for Pharmacology and Experimental Therapeutics, the American Association of Colleges of Pharmacy, and the American Association of Pharmaceutical Scientists.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# PR 5

Office of the President  
September 14, 2010

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS OF BOARD OF DIRECTORS  
UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION, INC.

Recommendation: that approval be given to the appointment of David R. Cobb and Bill Bissett and the reappointment of Robert Addington, Chris Adkins, Rocky Adkins, Rodney Andrews, Nick Carter, Chauncey Curtz, Michael Day, Steven Gardner, Dennis Hatfield, Samuel Johnson, Brynan Lummus, Deborah Moses, Stanley Pigman, Donald Ratliff, and Jack Richardson to the Board of Directors of the University of Kentucky Mining Engineering Foundation for two-year terms, ending June 30, 2012; and that David Zegeer be appointed as an emeritus member of the Board.

Background: The Nominating Committee of the University of Kentucky Mining Engineering Foundation, which includes the Dean of the College of Engineering, recommended these appointments to the Provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Mining Engineering Foundation approved by the Board of Trustees at its meeting on December 14, 1982.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

Bios for Board of Directors  
University of Kentucky Mining Engineering Foundation, Inc.

David R. Cobb: Mr. Cobb is the Vice President of Corporate Development at Armstrong Coal Company. He has provided mining engineering services for hundreds of coal mine development and operating properties throughout Kentucky and in Indiana, Illinois, Tennessee, Alabama, Wyoming and other coal producing states as well as Columbia and Venezuela in South America. He holds a B.S. in Civil Engineering with the Mining Option from the University of Kentucky and is a licensed Professional Engineer in Kentucky, Indiana, and Illinois.

Bill Bissett: Mr. Bissett has experience in numerous aspects of mass media, public relations and marketing. As Vice President of Public Relations at Charles Ryan Associates, he led the Friends of Coal campaign. He earned a Bachelor's degree and Master of Arts in Journalism from Marshall University and is working to complete his doctorate in Higher Education Leadership.

Robert Addington: Mr. Addington has a 30 year history of large-scale success in the energy business. He and his brothers have built several of the largest independent coal companies in the U.S. For almost as long as he's been in the mining business, Mr. Addington has been working on and investing in alternative energy technologies. He has served on the advisory boards of the National Coal Foundation and the Kentucky Energy Policy Board, and continues to serve on the board of the University of Kentucky Center for Applied Energy Research. Mr. Addington has a B.S. in mathematics and industrial technology from Morehead State University.

Chris Adkins: Mr. Adkins is currently the Corporate Operating Officer of Massey Energy and is largely credited with the recent financial donation provided to the department by his company. He received his B.S. Degree in Mining Engineering from the University in 1991.

Rocky Adkins: Mr. Adkins is the Director of Public Affairs for Appalachian Fuels in Cannonsburg, KY. He serves as Southern Legislative Conference's Vice Chair of the Energy & Environment Committee. He is a graduate of Morehead State University where he also received his Master's Degree in Secondary Education.

Rodney Andrews: Dr. Andrews is a tenured, Associate Professor in the Department of Chemical Engineering and Director of the UK Center for Applied Energy Research.

Nick Carter: Mr. Carter is President and Chief Operating Officer of GP Natural Resource Partners LLC. He has also served as President of the general partner of Western Pocahontas Properties Limited Partnership and New Gauley Coal Corporation since 1990 and as President of the general partner of Great Northern Properties Limited Partnership from 1992 to 1998. Prior to 1990, Mr. Carter held various positions with MAPCO Coal Corporation and was engaged in the private practice of law. He serves as President of the National Council of Coal Lessors, as the former Chair of the West Virginia Chamber of Commerce and as a board member of the Kentucky Coal Association.

Chauncey Curtz: Mr. Curtz is President of Coal Energy Investments & Management and managing partner of Big Sandy Company LP. Of Counsel with Dinsmore & Shohl LLP, a law firm in Lexington, Kentucky. He was admitted to the bar in 1981, Kentucky and Wisconsin; 1982, U.S. District Court, Eastern District of Kentucky; 1983, U.S. Court of Appeals, Sixth

Circuit; 1986, U.S. Supreme Court; 1993, U.S. District Court, Western District of Kentucky. Education: Georgetown University; McGill University (B.S., Honors, 1976); University of Wisconsin (J.D., 1981). He is a member of the Fayette County and Kentucky Bar Associations and his practice areas are natural resources law and commercial litigation.

Michael D. Day: Mr. Day has served as Director of Operations for Magnum Coal Company since April, 2007. He has held a variety of engineering and management positions with Arch Coal, Apogee Coal Company and James River Coal Company. He received his B.S. Degree in Mining Engineering from the University of Kentucky in 1992.

J. Steven Gardner: Mr. Gardner is President of Engineering consulting Services, Inc., in Lexington, Kentucky. He received a B.S. degree in Agricultural Engineering and a M.S. in Mining Engineering from the University of Kentucky.

Dennis Hatfield: Mr. Hatfield is President of Booth Energy Group in Inez, Kentucky. He received degrees from Virginia Tech.

Samuel S. Johnson: Mr. Johnson is with Heidtman Mining LLC and is a charter member of the Mining Engineering Foundation. He received his B.S. degree in Civil Engineering from the University of Kentucky.

Bryan Lummus: Mr. Lummus is the Human Resources Director at Alliance Coal. He previously worked for BoldTech Systems, Inc., an information technology consultancy as a recruiter and at Guaranty Mortgage Bank in Denver, CO as a broker. He graduated from the University of Northern Colorado in 1993 and holds a BA in Psychology and a Minor in Sociology.

Deborah B. Moses: Ms. Moses is the Manager of Administrative Programs at TECO Coal Corporation. She has working in the mining industry since 1980. Ms. Moses is a member of NSPE/KSPE and was appointed to the Board of Licensure for Professional Engineers and Land Surveyors, where she serves as chairperson of the Engineering Committee. She is a 1981 B.S. graduate of the University of Kentucky with a Bachelor of Science in Mining Engineering.

Stanley Pigman: Mr. Pigman is the owner of Delaware Resources which is a company that owns and leases coal properties. He is a 1981 B.S. graduate of the UK Mining Engineering Department.

Donald L. Ratliff: Mr. Ratliff is the Vice President of External Affairs at Alpha Natural Resources. He has been a Supervisory Mine Safety and Health Inspector with the US Department of Labor and on the Board of Directors of the Mine Safety Institute of America. He is also an associate member of the Southern States Energy Board.

Jack Richardson: Mr. Richardson is with CONSOL of Kentucky in Mousie, Kentucky

David Zegeer: Mr. Zegeer is a private consultant. He retired from Bethlehem Steel in 1977 and was Assistant Secretary of Labor for Mine Safety and Health from 1983 to 1987. He received a B.S. in Mining Engineering from West Virginia University.

# PR 6

Office of the President  
September 14, 2010

Members, Board of Trustees:

## APPROVAL OF AN EXCEPTION TO KENTUCKY STATUTE

Recommendation: that the Board of Trustees approve an exception to Kentucky Revised Statutes 164.131(7), under Governing Regulation I.E.1. and Kentucky Revised Statutes 164.367, upon recommendation of President Lee Todd and as outlined in the attached opinion and recommendation of the University Ethics Committee.

Background: Dr. John C. Gurley, M.D., a faculty employee in the College of Medicine is a co-founder with Kentucky Technologies Inc. (KTI) of Therix Medical Development, Ltd Co. (Therix). Therix was founded to commercialize certain of the University's intellectual property, specifically medical devices and diagnostics developed by UK's clinicians, and possibly other regional medical centers. Therix has a contractual relationship with UKRF (UK's designated agent for commercialization of technology). Dr. Gurley has also disclosed a number of inventions to Therix, and Therix anticipates that it may negotiate one or more license agreements with UKRF for inventions made by Dr. Gurley. Therix also anticipates that Dr. Gurley may discuss with other UK inventors the possible commercialization of their inventions by Therix. Dr. Gurley currently owns 13.56% of Therix. With completion of the current solicitation of capital, it is anticipated that Dr. Gurley's investment will be diluted to 6.7% of the company.

KRS 164.131(7) prohibits an employee from having an interest in a contract with the University, unless approved by the Board. KRS 164.367 allows the University to establish a procedure whereby the Board may approve an employee having an interest in a contract with the University. Governing Regulation I.D.2.1. provides that procedure. Pursuant to the procedure, the Ethics Committee evaluates five criteria and makes a recommendation to the President. After evaluating the Ethics Committee's recommendation, the President may make a recommendation that the Board approve the relationship.

In reviewing the facts in accordance with the five criteria from GR I.D.2.1., the Ethics Committee has determined that:

- Dr. Gurley's ownership interest in a company that has a contract with the University is, in fact, in the best interest of the University;
- Dr. Gurley's ownership interest in a company that has a contract with the University is a conflict of interest but that the conflict of interest may be managed sufficiently by Dr. Gurley's agreement to abide by all actions that the University Ethics Committee found are necessary to manage the conflict;
- the Therix-KTI contract is not subject to Kentucky Revised Statutes Chapter 45A;
- with Dr. Gurley's acceptance of the actions to manage the conflict of interest, Dr. Gurley's ownership does not present a conflict with his duties as a faculty employee; and
- the nature of the contract and the nature of Dr. Gurley's interest in the contract has been, and is being, fully disclosed to the University community and to the University officials making the decisions related to the contract.

President Todd accepted the Committee's recommendations and recommends that the Board approve Dr. Gurley's interest in the contract between Therix and UKRF.

The attached opinion of the Ethics Committee fully discloses the nature of the conflict of interest and the reasons the Board should take this action.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# AACR 1

Office of the President  
September 14, 2010

Members, Board of Trustees:

## CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2010 Summer Session.

**(Pending approval of the elected faculty of the University Senate  
at the September 13, 2010 meeting.)**

For details on degree candidate listings, please  
contact

Jacque Hager  
Registrar's Office  
Room 10 Funkhouser Building  
University of Kentucky  
Lexington, KY 40506-0057  
859-257-7157

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 1

Office of the President  
September 14, 2010

Members, Board of Trustees:

GIFT FROM THE ESTATE OF DIANNE L. MCKAIG

Recommendation: that the Board of Trustees accept a gift of \$455,858 from the Estate of Dianne L. McKaig and approve deposit of the gift to the existing College of Law Dean's Quasi-Endowment Fund.

Background: Dianne L. McKaig earned her bachelor's degree in pre-law in 1952 from the College of Arts and Sciences and a bachelor's in law in 1954 from the College of Law. She received a Juris Doctor from Harvard University and was a partner in the Jones and McKaig law firm of Washington, D.C. Ms. McKaig, who died in 1988, designated the University of Kentucky College of Law as the remainder beneficiary of a trust that was terminated after the death of her last survivor.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 2

Office of the President  
September 14, 2010

Members, Board of Trustees:

## WILLIAM STAMPS FARISH FUND GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$250,000 and a pledge of \$750,000 from the William Stamps Farish Fund of Houston, Texas to create and endow the Dr. Laurie L. Humphries Chair in Child Psychiatry in the College of Medicine, Department of Psychiatry.

Background: On the occasion of Dr. Laurie L. Humphries' pending retirement from the department of psychiatry, the William Stamps Farish Fund has chosen to honor Dr. Humphries' many years of service to her patients by establishing this chair. William Farish has been a long-time friend of the university. He has generously supported numerous programs and has served on several boards, including the Gluck Equine Research Foundation and the Markey Cancer Foundation. Mr. Farish, former ambassador to Great Britain, is the owner of Lane's End Farm in Versailles, Kentucky. The gift of \$250,000 is listed in a separate board action as a replacement matching gift for a defaulted pledge to Kentucky's Endowment Match Program.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
September 14, 2010

Members, Board of Trustees:

## MULTIPLE GIFTS TO REPLACE UNFULFILLED PLEDGE TO RESEARCH CHALLENGE TRUST FUND

Recommendation: that the Board of Trustees accept gifts totaling \$3 million from multiple donors to replace an unfulfilled Research Challenge Trust Fund pledge. The donors and designations are:

- \$324,399 from the Estate of George A. Bush, Jr. to add to the existing Bush-Holbrook Endowed Chair/Professorship in English in the College of Arts and Sciences;
- \$324,399 from the Estate of George A. Bush, Jr. to add to the existing Bush-Holbrook Endowed Graduate Fellowship in the College of Arts and Sciences;
- \$250,000 from Drs. Keith and Phyllis MacAdam of Lexington to add to the existing MacAdam-Abrahams Observatory Fellowship in the College of Arts and Sciences;
- \$82,266 from multiple donors to add to the existing Brown-McFarlan Endowment in the College of Arts and Sciences;
- \$666,880 from the W. Paul and Lucille C. Little Foundation of Lexington, Kentucky to add to the existing Dr. Claire Louise Caudill Professorship in Family Practice in the College of Medicine;
- \$100,000 from the Saha Family Foundation of Lexington, Kentucky to add to the existing Saha Cardiovascular Research Center Fund in the College of Medicine;
- \$250,000 from the William Stamps Farish Fund of Houston, Texas to add to the Dr. Laurie L. Humphries Chair in Child Psychiatry;
- \$122,714 from Kentucky Medical Services Foundation of Lexington, Kentucky to add to the existing Janet Galloway Carter Chair in Physical Medicine and Rehabilitation in the College of Medicine;
- \$179,342 from Children's Miracle Network funds to add to the existing Richard G. Elliott, M.D. Professorship in the College of Medicine;
- \$400,000 from the Barnstable Brown Party Diamond Derby Inc. to add to the existing Barnstable Brown Diabetes and Obesity Center Research Fund in the College of Medicine; and
- \$300,000 from multiple donors to create and endow the Lucille Caudill Little Professorship/Chair in Music Therapy in the School of Music in the College of Fine Arts.

Background: The Board of Trustees accepted a pledge of \$3 million to create and endow the H. Otto Kaak Chair in Early Childhood Mental Health in the College of Social Work on January 23, 2007. This pledge was matched by Kentucky's Research Challenge Trust Fund. The donor has informed the University that the pledge of \$3 million will not be fulfilled. The pledge will be replaced using the gifts listed above and the Council on Postsecondary Education has been advised of the replacement.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 4

Office of the President  
September 14, 2010

Members, Board of Trustees:

## RENOVATE NURSING BUILDING

Recommendation: that the Board of Trustees approve the initiation of the Renovate Nursing Building capital project.

Background: In response to the shortage of nursing professionals in Kentucky and the U.S., the College of Nursing began increasing the size of its entering class in 2007. By Spring 2010, the College's total student enrollment had doubled. Consequently, additional student space is needed. There also is a need to better acclimate students to computerized testing.

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The \$1,342,500 project will be funded by a federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

The project was authorized by the 2010 Extraordinary Session of the General Assembly.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 5

Office of the President  
September 14, 2010

Members, Board of Trustees:

## RENOVATE OLD PHARMACY BUILDING

Recommendation: that the Board of Trustees approve the initiation of the Renovate Old Pharmacy Building capital project.

Background: While completion of the new Pharmacy Building has provided some relief to the institution's significant space deficit, lack of space continues to impede progress toward UK's Top 20 goal, the implementation of General Education reform, and the expansion of research and student support services. Because the College of Pharmacy has vacated the old pharmacy building (now Multi-Disciplinary Sciences Building), that facility may now be used to provide teaching lab space for Biology, future research lab space for Biology and Chemistry, and office space to co-locate the University's significant core of statistical expertise from the colleges of Arts and Sciences, Public Health, and Medicine.

This phase of the project will renovate approximately 18,120 square feet on the first, second, and third floors of the Multi-Disciplinary Sciences Building and will include the modification of existing offices and the creation of additional offices, computer class labs, a Biology class lab, and support spaces.

The estimated scope of \$3.4 million is well within the total 2010 legislative authorization of \$40,165,000 and will be funded from institutional fund balances.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 6

Office of the President  
September 14, 2010

Members, Board of Trustees:

## RENOVATE TRACK AND FIELD FACILITY

**Recommendation:** that the Board of Trustees approve the initiation of the Renovate Track and Field Facility capital project.

**Background:** The University's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and has had only minor track surface renovations in the interim.

The Renovate Track and Field Facility project includes, but is not limited to, track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc), press box facility, athlete staging and rest room facility, and equipment storage.

The project scope is not expected to exceed \$14 million. The project will initially be funded with \$7.1 million of private funds from the University of Kentucky Athletic Association (UKAA) and a \$6.9 million internal loan from the University. UKAA will repay the loan over a period not to exceed five years.

In accordance with the University's Debt Policy as revised by the Board at its April 27, 2010 meeting, the Debt Management Committee has reviewed and supports the proposed loan and financing plan.

The project was authorized by the 2010 Extraordinary Session of the General Assembly to be funded by a lease/purchase financing arrangement. Contingent upon approval by the Board of Trustees, the University will submit a request to the Capital Projects and Bonds Oversight Committee at its September 21, 2010 meeting to change the fund source from lease/purchase to private and University funds.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 7

Office of the President  
September 14, 2010  
Members, Board of Trustees:

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE,  
AND SALE OF APPROXIMATELY \$26,000,000 GENERAL RECEIPTS  
OBLIGATIONS (ENERGY SAVINGS PROJECT) AND APPROXIMATELY  
\$35,000,000 GENERAL RECEIPTS REFUNDING OBLIGATIONS OF THE  
UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST AGREEMENT  
DATED AS OF NOVEMBER 1, 2005

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of approximately (i) \$26,000,000 of University of Kentucky General Receipts Obligations (Energy Savings Project), to be additionally designated as to the applicable series (the "Energy Savings Project Bonds") and (ii) \$35,000,000 of University of Kentucky General Receipts Refunding Obligations, to be additionally designated as to the applicable series (the "Refunding Bonds"), each to be dated as of a date determined by the Vice President for Financial Operations and Treasurer (Treasurer).

The Resolution approves the issuance of approximately \$26,000,000 of Energy Savings Project Bonds for the purpose of financing certain capital improvements related to a Guaranteed Energy Savings Performance Contract (the "Project") as authorized by H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 First Extraordinary Session, as enacted and vetoed in part (the "Budget Act"). The Resolution also approves the issuance of approximately \$35,000,000 of Refunding Bonds for the purpose of refunding all or a portion of the outstanding Consolidated Educational Buildings Revenue Bonds, Series S and Consolidated Educational Buildings Revenue Bonds, Series T (collectively, the "Prior Bonds")

The Resolution approves the offering for sale of the Energy Savings Project Bonds and Refunding Bonds on a date to be determined by the Treasurer of the University, upon the advice of the Financial Advisor to the University. The Resolution further authorizes the acceptance of the bid for the sale of the Energy Savings Project Bonds and Refunding Bonds by the Treasurer. The exact principal amount of Energy Savings Project Bonds and Refunding Bonds to be sold will be determined on the applicable date of sale as the amount required to (i) pay the budgeted costs of the Project (ii) refund the Prior Bonds (if the Fiscal Officer determines that it is economical to refund the Prior Bonds) and (iii) pay the costs of issuing such bonds.

Pursuant to the Budget Act, the Board of Trustees certifies that the energy savings from the Energy Savings Project will generate sufficient funds to retire the bonded indebtedness and will not result in an increase in student tuition and fees.

Background: The Budget Act authorized the issuance of revenue bonds to finance the Energy Savings Project. Conditions in the bond market may provide an opportunity to refund the Prior Bonds and achieve debt service savings.

The Board adopted a resolution approving a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") authorizing the issuance, from time to time, of Obligations (as defined in the

Trust Agreement) to finance and refinance capital projects. The Energy Savings Project Bonds and Refunding Bonds will be issued under the Trust Agreement. The Resolution authorizes the issuance of the Energy Savings Project Bonds and Refunding Bonds, establishes the specific terms of the Energy Savings Project Bonds and Refunding Bonds, provides for a competitive sale of the Energy Savings Project Bonds and Refunding Bonds, including approval of a Notice of Bond Sale, Official Terms and Conditions of Bond Sale, Official Bid Form and an Official Statement, and authorizes a Supplemental Trust Agreement and Continuing Disclosure Agreement with respect to the Energy Savings Project Bonds and Refunding Bonds. The Energy Savings Project Bonds and Refunding Bonds will be sold as separate series and may be sold at different times, depending on market conditions.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

## **SERIES RESOLUTION**

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF APPROXIMATELY \$26,000,000 GENERAL RECEIPTS OBLIGATIONS (ENERGY SAVINGS PROJECT) AND APPROXIMATELY \$35,000,000 GENERAL RECEIPTS REFUNDING OBLIGATIONS OF THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005.

WHEREAS, the University of Kentucky (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Trustees of the University on September 20, 2005 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of November 1, 2005, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Board has determined that it is necessary to finance the costs of certain capital improvements related to a Guaranteed Energy Savings Performance Contract (the "Project") by the issuance of Obligations (the "Project Obligations"); and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act") and H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 First Extraordinary Session, as enacted and vetoed in part, the University is authorized to construct educational building facilities, to issue its obligations to pay all or part of the costs of such capital assets, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board by a resolution adopted on September 20, 1960 (the "Prior Resolution"), as supplemented, created and established an issue of University of Kentucky Consolidated Educational Buildings Revenue Bonds (the "Consolidated Education Bonds") and there are Consolidated Education Bonds outstanding under the Prior Resolution identified as University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series S and University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series T (collectively, the "Prior Bonds"); and

WHEREAS, in order to achieve debt service savings, the Board has determined, if interest rates prevailing in the bond market at the time of sale of the Obligations hereby authorized are favorable, to refund the Prior Bonds so that the Prior Bonds will no longer be outstanding under the Prior Resolution; and

WHEREAS, the Board of Trustees, in order to pay the costs of the Project and to refund the Prior Bonds, desires to provide for issuance and sale of University of Kentucky General Receipts Bonds and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the Trust Agreement and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the "Energy Savings Project and Refunding Resolution," the Obligations authorized by this Resolution are referred to herein (and in the Supplemental Trust Agreement or Supplemental Trust Agreements hereby authorized) as the "Energy Savings Project Obligations" and the "Refunding Obligations," respectively, and the terms "hereof," "hereby," "hereto," "herein," and "hereunder," and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

SECTION 3. Authorization, Designation and Purpose of Obligations. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein Energy Savings Project Obligations in the approximate principal amount of \$26,000,000 as General Receipts Obligations which shall be issued as General Receipts Bonds (the "Project Bonds"). Such Obligations shall be designated "University of Kentucky General Receipts Bonds" or, if the Bonds are issued as Build America Bonds, as hereinafter defined, "University of Kentucky General Receipts Bonds Taxable Build America Bonds," and shall bear such further series designations as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such Obligations shall be issued for the purpose of (i) financing the costs of the Project and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

It is hereby further declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, Refunding Obligations in the approximate principal amount of \$35,000,000 as General Receipts Obligations which shall be issued as General Receipts Bonds (the "Refunding Bonds"). Such Obligations shall be designated "University of Kentucky General Receipts Refunding Bonds" and shall bear such further series designations as the Fiscal Officer deems appropriate. Such Obligations shall be issued for the purpose of (i) refunding the Prior Bonds and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

SECTION 4. Terms and Provisions Applicable to the Obligations.

(a) Form, Numbering and Designation. The Obligations shall be issued in the form of fully registered Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, by series, and shall bear such series designations as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The Energy Savings Project Obligations and Refunding Obligations shall be in such denominations as requested by the Original Purchaser (hereafter defined), and shall be dated on the date determined by the Fiscal Officer and may be issued in installments (each installment being a part of the Energy Savings Project Obligations or Refunding Obligations, as applicable, herein authorized) with maturity dates approved by the Fiscal Officer, having a final maturity that is no later than (i) with respect to the Energy Savings

Project Obligations, fifteen years from the date the Energy Savings Project Obligations are issued and (ii) with respect to the Refunding Obligations, the last day of the fiscal year in which the refunded Prior Bonds are scheduled to mature.

(c) Interest. The Energy Savings Project Obligations and the Refunding Obligations shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The Energy Savings Project Obligations and the Refunding Obligations shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The Energy Savings Project Obligations and the Refunding Obligations shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of the Financial Advisor (hereinafter identified) and set forth in the Supplemental Trust Agreement. If less than all of the outstanding Energy Savings Project Obligations or the Refunding Obligations, as applicable, are called for redemption at one time, they shall be called in the order of the maturities and series as directed by the Fiscal Officer. If less than all of the applicable outstanding Obligations of one maturity are to be called, the selection of such Obligations or portions of such maturity to be called shall be made in the manner provided in the Trust Agreement. Notice of call for redemption of such Obligations shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The Energy Savings Project Obligations and the Refunding Obligations may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered Energy Savings Project Obligations and the Refunding Obligations shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The Energy Savings Project Obligations and the Refunding Obligations shall be executed in the manner provided in the General Bond Resolution.

(i) Build America Bond Designation. The Board hereby retains the option that the Energy Savings Project Obligations or a portion of the Energy Savings Project Obligations may be designated as Build America Bonds (the "Build America Bonds") as permitted by the American Recovery and Reinvestment Act of 2009 (the "Recovery Act"). The Fiscal Officer is hereby authorized and directed to designate all or a portion of the Energy Savings Project Obligations as Build America Bonds, which Energy Savings Project Obligations, if so designated, shall bear interest which shall be included in gross income of bondholders for federal income tax purposes. Build America Bonds are any obligation (other than a private activity bond) the interest on which would otherwise be excludable from gross income under Section 103 of the Internal Revenue Code of 1986, as amended (the "Code"). Therefore, with respect to any Energy Savings Project Obligations designated as Build America Bonds, such Energy Savings Project Obligations will comply with all requirements applicable to the issuance of tax-exempt governmental bonds.

With respect to any Energy Savings Project Obligations designated as Build America Bonds, the Board, hereby authorizes the Fiscal Officer to irrevocably elect, pursuant to Section 54AA(g) of the Code, the direct credit payment to issuer option as provided in Section 6431 of the Code under which the United States Treasury will pay to the Board 35% of the interest payable on such Energy Savings Project Obligations (the "Credit Payments") (contemporaneously with each interest payment date for such Energy Savings Project Obligations) so long as the Energy Savings Project Obligations comply with all federal tax requirements of the Build America Bonds.

The Fiscal Officer or his or her designee is hereby authorized and directed to execute and file on behalf of the Board a "Return for Credit Payments to Issuers of Qualified Bonds" (Form 8038-CP) with the United States Department of the Treasury on the dates and at the place designated in Form 8038-CP and applicable provisions of the Code and Treasury Regulations issued thereunder for the Build America Bonds. Funds received by the Board pursuant to the filing of Form 8038-CP shall be deposited to the credit of the Revenue Fund or the Debt Service Payment Account for the Energy Savings Project Obligations, established within the University of Kentucky General Receipts Obligations Debt Service Fund, established under Section 4.01 of the Indenture, as appropriate to provide for the timely payment of principal or interest on any Build America Bonds.

SECTION 5. Award and Sale of Energy Savings Project Obligations and Refunding Obligations. The Energy Savings Project Obligations and the Refunding Obligations shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the respective Obligations.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of the Energy Savings Project Obligations and the Refunding Obligations is contingent upon the issuance and delivery of the respective Obligations, and that the Financial Advisor may submit a bid for the purchase of such Obligations at the time of the advertised public sale of thereof, either individually or as the member of a syndicate organized to submit a bid for the purchase of such Obligations.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of Energy Savings Project Obligations or Refunding Obligations that are required to be issued in order to (i) pay the budgeted costs of the Project, (ii) refund the Prior Bonds (if the Fiscal Officer determines that it is economical to refund the Prior Bonds) and (iii) pay the costs of issuing the Obligations and the final principal amount, interest rates and maturities of the Energy Savings Project Obligations and/or the Refunding Obligations shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

SECTION 6. Allocation of Proceeds of Energy Savings Project Obligations. All of the proceeds from the sale of the Energy Savings Project Obligations, the Refunding Obligations and other lawfully available funds of the University shall be received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the applicable Supplemental Trust Agreement approved hereby.

SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended. This Board hereby finds and determines that all of the proceeds from the sale of the Energy Savings Project Obligations and the Refunding Obligations will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that it will restrict the use of the proceeds of the Energy Savings Project Obligations and the Refunding Obligations in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of such Obligations, so that, as applicable, (i) the Energy Savings Project Obligations and the Refunding Obligations will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections and/or (ii) will continue to qualify as Build America Bonds under Sections 54AA and 6431 of the Code. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Energy Savings Project Obligations and the Refunding Obligations is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Energy Savings Project Obligations and the Refunding Obligations, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code and an election, if appropriate, with respect to Section 148(f)(4)(B)(IV)(V) of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning "rebate" as set forth in the Code, as they apply to the Energy Savings Project Obligations and the Refunding Obligations.

SECTION 8. Supplemental Trust Agreement. The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, one or more Supplemental Trust Agreements pursuant to the Trust Agreement and in connection with the issuance of the Energy Savings Project Obligations and the Refunding

Obligations, in the prescribed form, with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

SECTION 9. Official Statement. The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to the Energy Savings Project Obligations and/or the Refunding Obligations for the purpose of making available to potential investors the information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the Energy Savings Project Obligations and/or the Refunding Obligations, as applicable, and such other information with respect to the University and the Energy Savings Project Obligations and/or the Refunding Obligations, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisors. The Chairman and the Fiscal Officer are each hereby authorized to deem the Preliminary Official Statement and final Official Statement "near final" and "final" for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the "Rule").

SECTION 10. Compliance With Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, a Continuing Disclosure Agreement in the usual and customary form is hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreement.

SECTION 11. Escrow Trust Agreement. The Chairman of the Board and the Fiscal Officer are each separately authorized and directed, if the refunding of the Prior Bonds is determined to be economically feasible, to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, an Escrow Trust Agreement relating to the defeasance of the Prior Bonds under the provisions of the Prior Resolution, in substantially the form described to this Board, as may be permitted by the Act or required by the Prior Resolution, and approved by the officer executing the same on behalf of the University. The approval of the final Escrow Trust Agreement shall be conclusively evidenced by the execution of such Escrow Trust Agreement by such officer.

SECTION 12. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 13. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the Energy Savings Project Obligations and the Refunding Obligations and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with sale and delivery of the Energy Savings Project Obligations and the Refunding Obligations.

SECTION 14. Provisions in conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 15. Effective Date. This resolution shall take effect from and after its passage.

SECTION 16. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted September 14, 2010

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Chairman, Board of Trustees  
University of Kentucky

(SEAL)

Attest:

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Secretary, Board of Trustees

## CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on September 14, 2010, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820, and 61.825, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this 19<sup>th</sup> day of November, 2010.

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Assistant Secretary, Board of Trustees  
University of Kentucky

(SEAL)

48602\2.DOC

# FCR 8

Office of the President  
September 14, 2010

Members, Board of Trustees:

TRANSFER OF REAL PROPERTY REFERRED TO AS GRIFFITH WOODS IN  
HARRISON COUNTY, KENTUCKY TO  
KENTUCKY DEPARTMENT OF FISH AND WILDLIFE RESOURCES

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to transfer to the Kentucky Department of Fish and Wildlife Resources (“KDFWR”) without consideration approximately 390-400 acres of real property on US highway 62 and Kentucky Highway 353 in southern Harrison County, Kentucky known as Griffith Woods or Silver Lake Farm.

Background: Kentucky Revised Statute 164A.575 requires that the Board of Trustees approve the disposal of any surplus property owned by the University of Kentucky.

Pursuant to FCR 4 approved on December 9, 2003, the University purchased the property in February of 2004 with a \$1,120,000.00 grant from the Kentucky Heritage Land Conservation Board. As conditions of the grant, the property was subjected to a conservation easement, as described in KRS 382.800 et seq., and the University agreed to manage the property pursuant to the terms of a resource management plan for property, which requires on-going expenditures. Although of significance, the property is not a priority for the College of Agriculture or the Tracy Farmer Center, and both recommend that it is in the best interest of the University that the property be transferred to another state agency that is able and willing to maintain it in accordance with the easement and the management plan. The requirements of the conservation and management plan, and fact that the Kentucky Heritage Land Conservation Board approval is required for the transfer, make any other disposition impractical.

The Vice President for Research has negotiated an agreement with the KDFWR that would transfer responsibility for the management plan to KDFWR but would allow University researchers to access the property. Portions of this property contains what is said to be best remnant of pre-settlement savanna-woodland in the Bluegrass region, and the woods on the property contain a variety of mature trees including ancient chinquapin oaks, bur oaks, blue ashes, and shagbark and shagbark hickory, many 150 to 300 or more years old. The woods have been of interest to scholars in this area for many years. However, the distance from UK, the cost of managing invasive exotics and repopulating with native plants, along with the interest of KDFWR in managing the property, indicate that another state agency would be better situated to manage the property. KRS

164A.575(7) provides that the Board may sell or otherwise dispose of real property of the institution which is not needed or has become unsuitable for public use, or would be more suitable consistent with the public interest for some other use, as determined by the board.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 9

Office of the President  
September 14, 2010

Members, Board of Trustees:

## LEASE/PURCHASE OF MEDICAL EQUIPMENT

Recommendation: that the Board of Trustees approve the \$10 million UK HealthCare Enterprise Medical Equipment Lease Pool for 2010-11 and declare its official intent to reimburse capital expenditures from a future debt obligation for the Healthcare Enterprise Medical Equipment, to be located within the various facilities of UK HealthCare, including but not limited to Chandler Medical Center and Good Samaritan Hospital.

Background: At the September 11, 2007 meeting, the Board delegated to the Executive Vice President for Finance and Administration the responsibility for managing University debt related to the lease/purchase of equipment and information technology items costing less than \$200,000. However, acquisitions of major equipment and information technology items costing \$200,000 or more where the method of procurement involves debt in the form of capitalized lease obligations are to be submitted to the Board for approval.

U.S. Department of Treasury regulations related to the use of proceeds of tax-exempt bonds or notes require that the borrower make a Declaration of Official Intent to reimburse itself for capital expenditures made prior to the issuance of the debt (also known as a “Reimbursement Resolution”) if the issuer intends to reimburse itself from tax-exempt debt proceeds.

The University’s Debt Committee has determined that acquisition of these items is consistent with the University’s Debt Policy.

The medical equipment pool will give UK HealthCare funding flexibility to make various capital medical equipment purchases during the 2010-11 fiscal year. This flexibility is required for cash-flow management purposes as UK HealthCare proceeds with several cash-intensive projects such as the construction and eventual build-out of the Patient Care Facility, various ambulatory care initiatives, and other major projects/initiatives. The medical equipment that may be purchased includes: surgical, radiologic, and patient monitoring/bedding equipment; laboratory analyzers/instruments; information technology; and other procedure specific equipment. The lease payments will be funded by the UK HealthCare.

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Action taken:      Approved      Disapproved      Other \_\_\_\_\_

# FCR 10

Office of the President  
September 14, 2010

Members, Board of Trustees:

## PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period April 1 through June 30, 2010.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

PATENT ASSIGNMENT  
QUARTERLY FOR THE PERIOD THROUGH JUNE 30, 2010

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

- 1. U.S. Patent Application Serial Number: (to be assigned)**  
**Filed:** September 30, 2009  
**Title:** “Indole compounds and their use as radiation sensitizing agents and chemotherapeutic agents”  
**Inventors:** Drs. Peter Crooks and Narsimha Penthala (Pharmaceutical Sciences), Thirupathi Yerramreddy, Michael Freeman, Sekhar Konjeti, and Ajay Singh (Outside Inventors)  
**Technical Description:** This invention relates to the fields of radiation sensitizers and chemotherapeutic agents.  
**Summary:** Radiation therapy is one method used to treat cancer. Because radiation is harmful to both healthy cells and cancer cells, it is desirable to increase the sensitivity of cancer cells to radiation, so that a lower dose can be used. The inventors have developed compounds and methods which can increase the radiation sensitivity of targeted cells. These compounds and methods may potentially make radiation therapy less toxic.
- 2. U.S. Patent Application Serial Number: (to be assigned)**  
**Filed:** April 1, 2010  
**Title:** “High-activity mutants of butylcholinesterase for cocaine hydrolysis and method of generating the same”  
**Inventors:** Drs. Chang-Guo Zhan, Hoon Cho and Hsin-Hsiung Tai (Pharmaceutical Sciences)  
**Technical Description:** This invention relates to butylcholinesterase variant polypeptides, and in particular, butylcholinesterase mutants with amino acid substitutions.  
**Summary:** Cocaine is a highly addictive substance. Drugs such as cocaine that are resistant to metabolic breakdown are often more addictive than those readily broken down. The inventors have enhanced the ability of the butylcholinesterase enzyme to metabolize cocaine. The inventors anticipate that this enhanced enzyme can be used to treat cocaine addiction.
- 3. U.S. Patent Application Serial Number: (to be assigned)**  
**Filed:** April 20, 2010  
**Title:** “Drug class for the treatment of cancer”  
**Inventor:** Dr. Rolf Craven (Molecular and Biomedical Pharmacology)  
**Technical Description:** This invention relates generally to the compositions, methods and combination therapies for the treatment of cancer. More

specifically, this invention relates to compositions comprising small molecule ligands to Pgmrc1 which can be used to inhibit tumor growth.

**Summary:** Cancer has long been, and continues to be, a leading cause of death. The inventors have discovered compounds that appear to inhibit cancerous tumor growth through identifiable cellular mechanisms. The inventors anticipate that these compounds will be useful as a treatment for cancer.

**4. U.S. Patent Application Serial Number: (to be assigned)**

**Filed:** May 15, 2010

**Title:** "Square tube mirror-based imaging system"

**Inventor:** Dr. Fuhua Cheng (Computer Science)

**Technical Description:** This invention relates generally to the art of 3D imaging. More specifically, this invention relates to devices and methods for generating stereoscopic images and image-plus-depth utilizing a single imager and image.

**Summary:** Conventional imaging systems for producing 3D images require two cameras, each of which obtains images of a scene from different angles. The inventors have developed a method of producing 3D images from a single camera using a system of reflectors to provide dimensional information. This single camera method will reduce the expense of 3D imaging.

**5. U.S. Patent Application Serial Number: (to be assigned)**

**Filed:** June 22, 2010

**Title:** "Intranasal opioid compositions, delivery devices, and methods of using same"

**Inventor:** Dr. Daniel Wermeling (Pharmacy Practice and Science)

**Technical Description:** This invention relates to the formulation of opioid compositions for nasal delivery, delivery devices, and methods of using same.

**Summary:** The management of pain is an important aspect of medical treatment. Opioids are a major class of drugs used to treat pain and are primarily administered by injection. The inventor has formulated opioids for delivery through nasal inhalation.

Patent Activities  
Fiscal year to date

Number of Patent Applications	30
Number of Patents Issued	31
Patent Receipts	\$2,290,560

# FCR 11

Office of the President  
September 14, 2010

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending June 30, 2010 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

*For the period April 1, 2010 thru June 30, 2010:*

*There were eight new contracts this quarter:*

Project 2306.0	Renovate Third Floor Little Library Denham-Blythe Co., \$551,694 ( <i>Construction</i> )
Project 2311.0	Renovate Parking Structure # 3 – Hospital Schnell Contractors, Inc., \$283,700 ( <i>Construction</i> )
Project 2312.01	Construct CAER Lab Bldg. # 2 Murphy+Graves Architects, \$1,620,000 ( <i>Design</i> ) Turner Construction Company, \$953,600 ( <i>Construction</i> )
Project 2313.01	Renovate 4 <sup>th</sup> Floor Sanders-Brown Center on Aging Omni Architects, \$562,119 ( <i>Design</i> ) Messer Construction Co., \$382,695 ( <i>Construction</i> )
Project 2314.0	Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4 <sup>th</sup> and 5 <sup>th</sup> College of Pharmacy) EOP Architects, \$898,640 ( <i>Design</i> ) Messer Construction Co., \$1,463,230 ( <i>Construction</i> )

*One contract was completed this quarter:*

Project 2295.0	Renovate Central Computing Facility TP Mechanical, \$306,871
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*Four amendments were as follows:*

Project 2309.0	Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge) - Add phase 2 geotechnical exploration services as a reimbursable service (+) \$6,239
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- Project 2235.0 Construct Biological Pharmaceutical Complex Building
- Provide additional design and construction administration services necessary to support the academic research and animal care functions of the building (+) \$78,960
- Project 2239.0 Construct Patient Care Facility
- Provide additional design services for the Celebrate KY Wall, Rose St. Plaza, the green roof, enabling work and a lobby fountain (+) \$278,370
  - Provide for the completion of design and the additional construction administration services for the Patient Care Facility OR Fit-out project as well as for design thru phase 2 of the kitchen and cafeteria fit-out of the PCF (+) \$937,498

***Thirty four change orders greater than \$25,000 were as follows:***

- Project 2234.0 Expand & Upgrade Livestock Disease Diagnostic Lab
- Provide additional stud cavity to conceal pipes and conduit (+) \$43,516
  - Provide mold resistant gypsum board in dry areas and moisture resistant gypsum board in wet area (+) \$50,835
- Project 2235.0 Construct Biological Pharmaceutical Complex Building
- Remove existing single slide door and replace with a new bi-parting door (+) \$33,437
  - Provide a card reader, door position switch and electric lock on door 198A1 for added security in the IT area (+) \$27,600
- Project 2239.0 Construct Patient Care Facility
- Provide for relocation of 16 fire/smoke damper actuators and added access doors to provide access for maintenance (+) \$54,344
  - Furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks (+) \$81,371
  - Provide alternative routing of high pressure condensate, enhancing the reliability and safety of the building and the campus steam system (+) \$49,935
  - Provide additional hall position indicators for five elevators (+) \$26,761
  - Provide required temporary doors and hardware for the electrical rooms as required by code inspection (+) \$31,569
  - Add section of built in curve seating, revise wall cap material and add gaming area countertop to provide a gaming area in the pediatric waiting room in the ED (+) \$50,535
  - Provide the necessary infrastructure and connections to support University supplied uninterrupted power supplies in the IDF and EIDF rooms (+) \$93,626
  - Provide for furnish and installation of condensate drains for two air handler units in electrical rooms on the 4th floor (+) \$76,602
  - Provide for ductwork revision in the 1st floor lobby to accommodate the radiused bulkhead (+) \$52,639
  - Provide for revision of the coring layout, poke thru devices and raceways for the Steelcase furniture in the ED area (+) \$74,208
  - Add temperature mixing valves to eyewashes and emergency showers on the ground, sixth and seventh floors (+) \$74,097

- Provide for additional electrical connections as required for installation of 11 Swisslog blower units and one Swisslog transfer unit (+) \$30,041
- Provide for furnish and install of waste plumbing and venting on the 8<sup>th</sup> floor of the PCF in order to eliminate the need for access to occupied patient areas on the 7<sup>th</sup> floor during future 8<sup>th</sup> floor fit-up (+) \$425,593
- Modify the fire alarm systems in the PCF, Gill Bldg., Critical Care Center and Chandler Hospital such that all buildings report to the new fire control center in the PCF (+) \$68,333
- Add additional plantings and ornamental fencing between the Ag. Bldg. and the ED parking area (+) \$35,307
- Provide for revision of casework and countertop to add point of care testing station (+) \$28,790
- Provide for installation of dust shield covers on 164 drop in lights in the ED for infection control purposes (+) \$38,906
- Provide for re-route of storm piping, irrigation piping, and vent piping above the ceiling in the auditorium (+) \$47,608
- Provide for conversion of two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan. Modify air balance and add room monitors for proper pressurization (+) \$54,139
- Provide temporary upright sprinkler heads where ceiling are not yet installed on the ground floor and upgrade/extend several existing partitions to 2 hour walls for the phased turnover of the ED (+) \$107,562
- Add missing life safety power to 87 fire smoke dampers (+) \$101,969
- Provide for revisions necessary to accommodate the final design of the Celebrate Kentucky Wall (+) \$88,130
- Add various security hardware items to the ground floor ED (+) \$42,212
- Provide horizontal connections for 34 locations for the final selection of the overhead paging system in the ED (+) \$34,217
- Add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and a delayed egress controller to various doors in the ED for additional security (+) \$80,919
- Provide for a new pathway and the abutting planting mounds for the green roof on the 5<sup>th</sup> floor (+) \$45,551
- Add one duplex outlet and change one duplex to a quad outlet in 128 Acute Care and ICU Patient Rooms on the 6<sup>th</sup> and 7<sup>th</sup> floors (+) \$89,093
- Provide for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone Street (+) \$69,437

Project 2297.1

Digital Village Building 2

- Provide for utility work, resulting from found conditions, on the west side of Memorial Coliseum (+) \$26,044

Project 2303.0

Construct Children's Garden at the Arboretum

- Replace the concrete pavers with permeable pavers. Add drainage pipes and associated structures (+) \$50,823

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

## **CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT**

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

**Consultant:** This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

**Contractor:** This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

### Glossary

**Amendment:** refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

**Change Order:** refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

**Consultant:** the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

**Contract administration:** refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

**General Contractor:** the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

**Feasibility Study:** refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

**Gross square feet:** the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

**H.B.C.:** refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

**Penthouse:** refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

**Percent complete:** as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

**Net assignable square feet:** the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

**Phase:** The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

**Scope:** The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

**Substantial Completion:** refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

**Trade Contractor:** Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

**Major Projects**  
**Capital Project Management Division**  
**July 31, 2010**

**Construct Biological Pharmaceutical Complex Building**

*Project Description:*

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus.

*Project Status:*

Project is complete. Warranty period continues through December 2010.

**Construct CAER Laboratory Building #2**

*Project Description:*

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand-alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery-manufacturing R&D. In addition, other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

*Project Status:*

Design Development Phase continues, with the final review of the Design Development documents to be complete in late August. Bid Package #1 (Site Prep, Foundations, Deep Utilities, Structural Steel Frame) will go out for bid in early August while completion of the remaining design documents and bid packages continue through the end of the year.

**Construct Children's Garden at the Arboretum**

*Project Description:*

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escapements; the stream and pond; and some of the walks and plantings.

*Project Status:*

Work on the quilt fountain was delayed to allow the stone work at the entrances to complete first. Additional samples of the granite to be used in the quilt fountain were requested before giving final approval of the samples. The water feature is complete and being tested.

**Construct Patient Care Facility**

*Project Description:*

The Hospital's present patient bed tower is over 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate rapidly developing technology. This project is providing the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs. The progress of all the components is listed below.

**PCF – Building (BP #'s 3B, 4, 5 & 6):**

*Project Description:*

This project includes:

- (BP #3B) Early Site Work
- (BP #4) The demolition of the existing Parking Structure # 4. The work will involve the start of all deep foundations up to the grade of the excavation.
- (BP #5) The construction of the new 1,022,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.
- (BP #6) The interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet; and 532,000 square feet of shell space. The work also will include drawings and specifications for signage, interior furnishings and lighting.

*Project Status:*

**- Core and Shell (BP #5)**

The exterior masonry work activities continued during July with the installation of the exterior stone at the south canopy, garage, and north lobby. The light gauge metal framing at the ground floor south canopy continued at the garage and north lobby locations. The large high roof on level 13 and the south canopy roof were completed. Currently, the level 5 podium roof and greening of these roofs are in progress along with 2nd level roof at the north lobby. Metal siding installation at the west colonnade area was completed to allow the opening of the colonnade. The sealant contractor continued on the south elevations of the west and east towers and the core areas of the tower. The seal tape activities also continue on the priority areas of the east tower. The window contractor continues to work on window and curtain wall installations on the west tower, core area, and garage and lobby areas. Window installation work also began on the south elevation of the east tower. Installation of the final stick built systems and sliding doors were completed at the south elevation ground area entrances. During July, the final trim out and installation of the eyebrow metal panels on the south and east podium (completing the podium installations) were completed. Metal panel work also continued at the garage and bridge areas. The elevator contractors completed the rails and platforms in elevators 03, 04, and are currently working on elevators 01 and 05 along with the machine room work for all these cars. Elevator 07 cabs were built and installed with only the final completions and inspections remaining. The installation of elevator #11 was completed in July and this unit was placed into service. The site contractors continued curb, gutter and sidewalks on the east, south and west parts of the site. The parking lots and grounds on the south and west elevation were opened with the ED in mid July. Planter beds are being prepared for site plantings beginning in late summer. Work also continued on Stage 3 in front of the Wethington Building. The asphalt contractor installed roadway gravel and base coat asphalt over the south side and under the canopy during July. The South Canopy contractors continued at a high pace completing the west and south portions of the canopy and 95% of the east canopy. Only final completions, caulking, and trim remain for this area. The mechanical work is mostly complete on the 15th floor with final wiring and controls wrapping up. These systems have begun commissioning activities. Owner training is continuing with AHU's, Honeywell controls, GE electrical gear, PowerCon medium voltage switches and booster pumps. The BP 5 electrical work is complete to the penthouse electrical closet with tower bus duct also complete. This package is working on final labeling and commissioning activities. They have completed installation of the 2 added snubbers and replacement transformers. Commissioning activities were completed for all units turned over to the University with the ED.

**- Fit up (BP #6)**

The emergency department opened to patients in July as scheduled. Owner equipment training completed and contractors are working on the final work items. The bridge and east connector interior finishes are complete. Construction is continuing on the ground level at Lobby 1 and auditorium. The sixth level rough in is complete pending approval of electrical changes. Installation of drywall is on hold until the electrical changes are resolved. The miscellaneous metal contractor is installing stair and site guard/hand rails. The acoustical contractor is working in several areas again this month. The drywall at the bridge completed this month. Ceilings at the East Connector and bridge this month also were completed. The general trade's contractor is currently completing installation of doors in the East connector, stair #3, ED, and bridge. The wood doors and hollow metal doors required for the ED turnover were completed during July. All painting was completed for the RFQ work in the ED and Bridge. The ceramic tile in the turnover space was completed in July. Terrazzo was placed and polished at Elevator #7 and in the CCC building. All flooring was completed in the ED. The contractor has completed floor tile and carpet installation at the east connector and bridge. Cleveland Marble completed the stone flooring at the bridge and garage during July. They are presently installing stone base at the registration area.

Ground floor MEP systems are complete. The fire pumps have been tested and certified for both the low and high rise systems. The fire alarm is completed to all of the risers and fire pumps and has been final tested. Work is ongoing in the east connector with final sprinkler drops, diffusers and fire alarm devices on going. Detailing of HVAC duct systems has finished on the ground floor with manpower focused on the 6th and 7th floors and the lobby. Roof top fans have all been set with final tie-ins, spring isolators and detailing. Work is now complete on the roof top added dampers and control system. Installation of electrical wiring continues throughout the building with final terminations and final testing continuing. Final controls and power is ongoing throughout the building on the systems. Commissioning of these systems is ongoing. Certification of the medical gas system is complete, excluding the systems for the future surgery.

### **Digital Village, Building 2**

#### *Project Description:*

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

#### *Project Status:*

Work continues to progress on the building and infrastructure. Stair A has been installed and is being used to commute between floors. Stair B is being installed and provides access to the basement. Mechanical, electrical and plumbing rough-ins continue inside the building on all floors. Gypsum board special cuts are being installed on 1<sup>st</sup> floor. Gypsum board is being installed in the penthouse. Major mechanical and electrical equipment have been set in the basement. Air handling units have been set in the penthouse. Sheet metal work is progressing on all floors. Door frames are being set with wall framing. Interior wall framing is nearing completion. Exterior wall framing has been completed, with brick and stone masonry work making good progress. The exterior granite base is complete. The foam roof has been installed. The standing seam sheet metal roof over the Penthouse is near complete. Work is progressing at the chilled water and steam piping in front of the Craft Center; however, it has been delayed due to found conditions that have to be corrected/worked around. The date of substantial completion is December 13, 2010

### **Expand Ophthalmology Clinic - Hospital**

#### *Project Description:*

This project consists of renovating approximately 12,500 square feet of space on the third floor of the Kentucky Clinic, some of which is currently occupied by Neurosurgery, in order to expand the existing Ophthalmology Clinic (also located on the third floor of the Kentucky Clinic) into the vacated space. It also includes refurbishing the existing Ophthalmology Clinic space. This project will necessitate phased construction activities to include expansion into the additional space as well as a full refurbishment of the existing Ophthalmology Clinic.

#### *Project Status:*

This project has been closed.

### **Expand & Upgrade Livestock Disease Diagnostic Lab**

#### *Project Description:*

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 square feet (net) of space to contain the digester.

#### *Project Status:*

Digester installation continues this month. Work this month continues with mechanical and electrical installation. Roofing, windows and doors continue being installed. Casework and solid surfaces continues in Pathology and Receiving areas. Metal studs and drywall installation continues in Administration. Receiving, Animal Drop-off, Necropsy, Digester, Tank room, Steam Generator, Water Treatment and Pathology continues with performance coating installation. Completing crane rail installation this month. Administration areas are scheduled to complete

Fall 2010 and all renovations will complete by mid-February 2011. The storage tank facility at Woodford County farm continues with construction of the concrete tank. The schedule is delayed due to extreme heat and placing of the coatings. It is anticipated to be complete by September 2010.

#### **Renovate 4-H Camps**

##### *Project Description:*

The College of Agriculture Cooperative Extension Service and 4-H Youth Development operate 4-H Camping Centers in Nicholas, Pulaski, Laurel and Hopkins counties. This project will renovate existing cabins by upgrading utility infrastructure, improving finishes, and installing energy efficient heating and air conditioning systems. The project also will include replacement of existing bunks and mattresses in all the camps. Additionally, a new cabin will be built that will house 28 campers and 4-H staff.

##### *Project Status:*

Project is currently out to bid. Bid openings will be held in late August for the four camps. Construction to be completed by December 1<sup>st</sup>.

#### **Renovate 4<sup>th</sup> Floor Sanders-Brown Center**

##### *Project Description:*

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

##### *Project Status:*

The University approved the Schematic Design documents, which were submitted to the National Institute for Health on July 2<sup>nd</sup> for review. While awaiting review comments from the NIH, the University and design team is continuing meetings with the end users to complete the Design Development documents, which are scheduled to be received from the designers on August 24<sup>th</sup>.

#### **Renovate Central Computing Facility**

##### *Project Description:*

This project will entail replacing existing batteries and air conditioning units in the existing computing facility in McVey Hall to ensure sufficient power distribution and cooling for the next few years. UKIT will continue development of long term plans for a new facility.

##### *Project Status:*

Project is Substantially Complete.

#### **Renovate Nursing Building**

##### *Project Description:*

This project will renovate and convert a covered patio on the third floor of the Nursing Building into student study and discussion space. Additionally, minor enhancements will be made in some fifth floor graduate student space. The project is fully funded from a U.S. Department of Health and Human Services, Health Resources and Services Administration grant.

##### *Project Status:*

The exterior stairs have been removed, the store front at the 3<sup>rd</sup> floor pedway has been removed, the plaster ceiling in the 3<sup>rd</sup> floor lounge area is 60% demo'd. Demolition of the 5<sup>th</sup> floor restrooms is complete, plumbing & electric rough-in is complete and demo has begun for the 5<sup>th</sup> floor graduate student study space.

On the 5<sup>th</sup> floor (restrooms) ceramic tile will be installed, and electrical and mechanical rough-in will begin; (study space). Demolition will be completed, new studs will be installed, electrical and mechanical rough-in will begin and the contractor will start hanging drywall. On the 3<sup>rd</sup> floor, the floor topping will be completed for the patio, and above ceiling rough-in in the lounge area will ensue.

#### **Renovate Parking Structure #3-Hospital**

##### *Project Description:*

This project includes repairing the concrete topping on levels Two and Three; painting of pre-cast connections; installation of a new expansion joint at the structural slab transition; full depth slab repairs for levels Four, Five, and Six; and application of an elastomeric waterproofing membrane on Level Six. These repairs are needed to protect the structure from moisture penetration and resulting deterioration of concrete and embedded metals.

*Project Status:*

The full depth slab repair on the 6<sup>th</sup> floor is 100% complete and the drains on the 6<sup>th</sup> floor are repaired. The elastomeric waterproofing is on site and will be applied to the sixth floor and to the ramp down to the 5<sup>th</sup> level. Crack routing will be completed on the 5<sup>th</sup> and 6<sup>th</sup> levels.

**Renovate Third Floor Little Library**

*Project Description:*

This project will renovate and fit-up approximately 5,300 square feet of shelled space on the third floor of the Little Library. Prior to the library consolidations following completion of the W.T. Young Library, this space was used to house library materials. The renovated space will house the Center for Applied Information Technology, a suite of offices for the School of Library and information and two new classrooms, seating for 48, with smart technology.

*Project Status:*

Mechanical and electrical systems in the classrooms and adjacent corridor are approximately 90% complete, along with the adjacent corridor. Work on the remaining offices is continuing with mechanical and electrical services ongoing. Interior finishes have been selected. Furniture selections have been completed and are awaiting quotes.

**Renovate/Expand the Center for Applied Energy Research – Phase 1 & Phase 2**

*Project Description:*

The primary purpose of this project will be multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

*Project Status:*

Phase 1 – Substantial completion is pending certification of existing equipment as requested by the Kentucky Department of Housing, Buildings and Construction.

Phase 2 – Consultant agreement to complete the design has been executed and bid documents are anticipated to complete in mid-August.

**Repair, Upgrade, Improve Building Systems – Hospital (Elevators Upgrade for KY Clinic)**

*Project Description:*

This project encompasses refurbishing eight elevators in KY Clinic. The refurbishment includes replacing all cab finishes, lighting, major wear components, telephones, and controls. The elevators will be refurbished one at a time so as not to impact patient care. This project allows the Hospital to maximize elevator uptime and improve the aesthetics of the elevators. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment.

*Project Status:*

Oracle Elevator has completed all submittals and received all materials. Elevators # 1, 2, 5, 6, 7 & 8 have been refurbished, inspected and returned to service. Elevator, #4 has been taken out of service, refurbished, and is scheduled for state inspection on August 3<sup>rd</sup>. Elevator #3, the final elevator refurbishment to complete the project, is scheduled to be taken out of service on August 9<sup>th</sup>. The project is on schedule at this time. Oracle is meeting all cleanliness standards for the clinic.

**Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital**

*Project Description:*

The scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deaerator tank, and a fire pump.

*Project Status:*

- Bid Package #1 (Deareator Tank)

This project is Substantially Complete.

- Bid Package # 2 (Emergency Generators, Transfer Switches, AHU and a Fire Pump)

This project is Substantially Complete.

**Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)**

*Project Description:*

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

*Project Status:*

Bids were received on July 23<sup>rd</sup>. The unfavorable bids and resulting value engineering and re-bidding necessary make it unlikely that the building will be ready to occupy at the beginning of the 2011 fall semester but rather more likely by spring semester 2012.

**Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4th and 5th Floor College of Pharmacy)**

*Project Description:*

The Biological / Pharmaceutical Complex is a new 286,000 s.f. academic / research building for the University of Kentucky College of Pharmacy. The Fourth and Fifth Floor Fit-Out items for the building include miscellaneous metals and stairs, rough and finish carpentry, wall systems, flooring systems, ceiling systems, doors, frames, hardware, coatings, specialized equipment, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and controls systems. Audio-visual fit-up includes wiring, sound systems, video systems and control systems.

*Project Status:*

Project is currently out for bid with the bid opening to be held in mid-August. Construction is scheduled to begin by September 1st. Construction completion is scheduled for October 2011.

**Upgrade-Renovate-Improve or Expand Research Labs (Renovate Research Labs in Chemistry-Physics Building)**

*Project Description:*

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multi-disciplinary lab that will be used by Chemistry, Physics and Engineering.

*Project Status:*

The following work is now 100% complete: sanitary vent installation, drywall hanging, feeder conduit and wiring from sub stations 1 @ and emergency panel, lay-in light fixtures, door and hardware installation, VCT installation. Work scheduled for the month of July includes: begin casework umbilical's fume hood certification, air balance, above ceiling punch, rough-in casework utilities, complete painting, utility tie-ins as supply locations, sanitary tie-ins, ceiling tile installation, continue MEP rough-ins, clay tile installation, HBC Inspection and Owner Training.

**ARS - Agriculture Project**

*Project Description:*

The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams to work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. Three to four story building with approximately 65,000 gross square feet of reasearch labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square feet for greenhouses and head house.

*Project Status:*

A 95% Construction Documents review meeting was held May 2010. Start of construction depends on year of availability of Federal funding.

**Expand Coldstream Research Campus – Redundant Power Supply**

*Project Description:*

The main electrical service to Coldstream Research Campus is currently supplied by Kentucky Utilities through a combination of overhead supply and underground distribution systems. The main 12KV power supply is delivered by an overhead circuit from Kentucky Utilities' IBM station. Several existing and future projects require that Coldstream Research Campus have a redundant electrical power supply available. The immediate redundant power needs are for the first building in the Lexhold Technology Center (under construction), Exstream Software buildings (under construction), the LFUCG Emergency Operations Center (being designed) and the UK Data Center (in design). In order to successfully market the Coldstream Research Campus in the future, separate and redundant power feeds will be essential.

*Project Status:*

The work included in the original installation contract has been completed. Less rock was encountered during the installation of the initial phases, which allowed some secondary runs to be installed in early August. All secondary work is anticipated to be complete by the end of August.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

**Construct Biological Pharmaceutical Complex Building**

Project Number: 2235.00

**Project Description:**

Scope: \$134,998,323

The Biological Sciences/Pharmaceutical Complex, was authorized by the 2005 General Assembly and will construct a 281,000 gross square foot facility that includes some "shell space" to allow for the growing research needs. This "shell space" will be fitted out for research laboratories at a later date as university funds and/or grant dollars become available. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise of the eighth ranked College of Pharmacy in the U.S. will further strengthen the instruction of students and research collaboration among faculty. The project is expected to be complete by December 2009.

**Consultant:** EOP Architects

Contract # A061150/A071060  
Total Amendments to Date: 5

Original Contract Amount: \$10,585,020  
Cumulative Amendment Amount: \$1,162,526  
Revised Contract Amount: \$11,747,546

**Describe all amendments :**

**Amendment #1**, 5/8/07, an increase of \$220,700, was approved for additional design services to provide an additional research floor as well as a new utility tunnel. This amendment also provides for wind tunnel testing as well as a specialized lighting consultant. **Amendment #2**, an increase of \$282,276, was approved for Structural engineers to redesign the foundation and sub-slab connections due to discovery of unforeseen solution channel to bedrock and to redesign the first floor from a steel frame to a concrete frame. Additionally the amendment was to design a Donor Recognition Program that will address the range of donor opportunities as outlined by the University, as well as a standard program of plaque recognition. Pinpoint Associates are to locate all underground utilities beginning with Parking Structure # 2, traveling on Washington Avenue and ending at Limestone Avenue. This amendment also provides for an independent third party consultant to provide for all Special and Material Testing as required by the construction documents and 2007 KBC. **Amendment #3**, 1/13/09, an increase of \$344,270 was approved for additional scope of work necessary to accommodate the fit-up of the fourth and fifth floors as a separate stand alone package. The amendment also provides for additional structural engineering services associated with the addition of an elevator machine room, reinforcement of chilled water pipe structure at the Utility Tunnel and expediting design and construction documents for Bid Package 4. Additional special inspection and testing services are also included as well as civil engineering services associated with the survey, plat and filing as necessary for the permanent closure of Leader Avenue. **Amendment #4**, 6/9/09, an increase of \$236,320 was approved for additional scope of work necessary to accommodate the pre-construction phase of the 4th and 5th floor fit-up, the 1st floor food services facility fit-up and construction documents for the mast arm traffic signal and the steam line extension design. **Amendment 5**, 5/11/10, an increase of \$78,960 was approved to provide additional design and associated construction administration services for design changes necessary to support the academic research and animal care functions of the building. This also provides for the addition of a graphic package.

**Contractor:** Messer Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.  
The amount will be increased as subcontracts are bid and added. \*Messer's contract was awarded by RFP process.  
Contract % complete was calculated using the estimated \$107 Million Construction Budget.

Contract # 4500007696 Original Contract Amount: \$108,947,449  
Total Change Orders to Date: 397 Cumulative Change Order Amount: \$4,456,795  
Low Bid: Revised Contract Amount: \$113,404,245  
High Bid: Contract Percent Complete: 99%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1**, 9/4/07, was approved for an increase of \$46,616 to provide additional excavation and a storm manhole. The design work for underslab perimeter drainage was completed after the excavation package was bid. **Change Order # 4**, 10/3/07 and increase of \$67,340 was approved to provide a 3 foot wide trench as part of Bid Package 1 instead of being included as scope of work in Bid Package 4. This will provide a possible cost

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Construct Biological Pharmaceutical Complex Building Change Orders greater than \$25,000 Cont...

savings due to the efficiency of the excavation contractor being on site. **Change Order # 10**, 2/1/08, was approved for an increase of \$29,633 to add compacted crushed stone fill across the basement floor area. The added stone will provide a work base for first floor slab construction scaffolding. **Change Order # 17**, 2/1/08, was approved for an increase of \$25,146 to provide depressed slabs at floors 3 and 5 for installation of Cold Rooms. This will allow for recessed floor at the cold rooms without a curb or ramp. **Change Order # 18**, 5/27/08, was approved for \$329,492 to provide additional canopy at the south side of the building. This was added to Bid Pack 3 instead of placed with Bid Pack 4 as originally planned. Keeping the steel with one trade contractor provided economy due to ease of coordination and additional mobilization costs. **Change Order # 19**, 2/1/08, was approved for an increase of \$121,994 to provide changes to the structural steel package due to design modifications in Bid Package 4. **Change Order # 26**, 5/29/08, was approved for \$43,186 to provide structural columns at the north side of the penthouse. **Change Order # 29**, 3/31/08, was approved for an increase of \$62,605 to provide re-bar revisions at locations of recessed base plates. **Change Order # 40**, 7/25/08, was approved for an increase of \$118,200 to modify skin attachments and slab edges to remedy the conflict between existing structural steel and skin attachments. The conflict is due to the fast track nature of the bid packages. Modifications to skin attachments and slab edges are necessary to achieve the design intent. **Change Order # 43**, 8/22/08, was approved for \$39,323.71 to increase one (1) penthouse distribution panel from 600A to 800A. This also increases the feeder to this panelboard and feeders to the modular chiller skid and dry cooler. **Change Order # 53**, 9/24/08, was approved for an increase of \$36,064 for necessary steel required at the window jambs. As a result of the fast track nature of the bid packages the steel package was bought prior to the design of the window details. **Change Order # 55**, 9/24/08, was approved for an increase of \$60,874 to provide revised openings at penthouse roof. This is a result of final coordination of the penthouse roof structure with the actual RTU/curbs provided. **Change Order # 57**, 10/14/08, was approved for an increase of \$31,978 to repair the roadway cuts along Washington Avenue where Columbia Gas has installed their new gas line. The work was originally contracted with Columbia Gas but is being moved to the Construction Manager's scope to provide uniform restoration of Washington Avenue asphalt work. **Change Order # 58**, 10/16/08, was approved for an increase of \$88,473 to provide for Washington Avenue communications manhole expansion due to concealed conditions regarding existing utilities. **Change Order # 62**, 11/11/08, was approved for an increase of \$29,298 to provide for a change in selected wood finish in public spaces to cherry versus beech in lab, support and research spaces. **Change Order # 66**, 10/21/08, was approved for an increase of \$27,627 to provide additional coordination between Bid Packs 2, 3 and 4. **Change Order # 74**, 12/11/08, was approved for a decrease of \$30,500 to delete creation of the graphics programming on controls. The University will design it's own graphic screens. **Change Order # 97**, 3/3/09, was approved for an increase of \$136,955.83 to provide steel columns as required for the elevator structure. The contract documents did not adequately address all of the structural elements needed to accommodate the elevators. **Change Order # 103**, 3/4/09, was approved for an increase of \$34,234.30 to provide replacement of unsuitable soil at the site for the generator building expansion due to a differing site condition. **Change Order # 106**, 3/4/09, was approved for an increase of \$65,825.76 to revise tile and carpeting in order to maintain design consistency with the interior furnishings and finishes. This change also provides for a more durable floor in the higher traffic areas. **Change Order # 107**, 3/3/09, was approved for an increase of \$32,071.07 to provide cherry wood panels in lieu of metal panels at the sides of the media wall. The wood panels will provide a more uniform appearance to the proposed media wall in the atrium. **Change Order # 117**, 3/9/09, was approved for an increase of \$45,307 to provide for extension of gypsum board, on one or both sides of metal studs, to the deck above. **Change Order # 104**, 4/3/09, was approved for an increase of \$72,000 to provide alternate steam design as compared to the original bid due to value engineering during the post bid addendum negotiations. **Change Order # 126**, 5/5/09, was approved for an increase of \$45,307 to resolve conflict between mechanical circuit schedule and distribution panel schedules. **Change Order # 127**, 5/5/09, was approved for an increase of \$43,873 to provide additional coordination between the ceiling grid and the light fixture required to account for the actual size of the light fixture. **Change Order # 134**, 6/2/09, was approved for an increase of \$26,967 to provide the required service area above ceiling and to modify the sizing of HVAC and the communication installations allowing for revision in the atrium make-up air. **Change Order # 141**, 4/16/09, was approved for an increase of \$52,374 to provide modifications to the finish carpentry in the floor 1 and 2 auditoriums. Additionally, this change order provides modesty panels and wireways at each row of strip tables in all auditoriums. **Change Order # 159**, 4/24/09, was approved for an increase of \$166,314 to extend Construction Manager Services to allow the completion of the Vivarium due to bid package and steel delays. **Change Order # 160**, 4/30/09, was approved for an increase of \$80,706 to provide for increased cost for installation of the waterline by Kentucky American Water Company. **Change Order # 164**, 4/20/09, was approved for an increase of \$27,478 to convert storage room 501C to create an egress exit out of the Vivarium in order to meet KY Code requirements. **Change Order # 196**, 7/9/09, was approved for an increase of \$28,403 to provide a dedicated exhaust duct system and roof fan for basement Vivarium laundry dryers. **Change Order # 222**, 8/21/09, was approved for an increase of \$143,046 to provide alternate shaft caps at the tops of ten (10) shafts that extended upward through the building to the Penthouse Floor. Additionally, this change order includes structural walking surfaces above the rated assemblies and cement board decking

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

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### Construct Biological Pharmaceutical Complex Building Change Orders greater than \$25,000 Cont...

in lieu of fire retardant plywood. **Change Order # 224**, 8/21/09, was approved for an increase of \$58,339 for ceiling modifications at Floor 5 to construct beam wraps, to add a storefront smoke separation at the top of the atrium, and to add a large architectural grill with plenums above. **Change Order # 228**, 8/18/09, was approved for an increase of \$55,804 to provide ceiling modifications to include rulon cubes and the addition of plenum boxes and associated ductwork. **Change Order # 231**, 9/9/09, was approved for an increase of \$31,007 to relocate tower drives from the top of the tower to inside the CUP building. **Change Order # 241**, 10/5/09, was approved for an increase of \$36,707 for provision of a Local Area Network (LAN) connection to the Air Handlers in the Penthouse. **Change Order # 242**, 10/6/09, was approved for a decrease of \$31,759 to delete epoxy flooring in select rooms and replace with sealed concrete, and to delete epoxy wall coatings in select rooms and replace with block filler and paint. High performance coatings are not required in these rooms that are outside of the Vivarium. **Change Order # 243**, 10/6/09, was approved for a decrease of \$66,566 to delete select floor finishes on floor 5 and at the Penthouse. Because the area is a shell space, there is a potential for incompatibility between the sealed concrete and future floor finishes. The epoxy finish is also being deleted. **Change Order # 248**, 10/5/09, was approved for \$33,526 to provide a concrete retaining wall and associated foundation at the north side of the building entrance. Changes in the grade at the sidewalk, as well as a modification to the architectural catch basin are also provided for in this change. **Change Order # 259**, 10/5/09, was approved for \$49,837 to add a moisture emission sealer for the COP Vivarium concrete floor. This will remedy high moisture content at the slab and create conditions within the manufacturer's requirements for the epoxy floor installation. **Change Order # 282**, 11/25/09, was approved for an increase of \$109,342 to reconfigure programming space to accommodate the newly announced Institute for Pharmaceutical Outcomes and Policy (IPOP). **Change Order # 307**, 11/25/09, was approved for an increase of \$261,125 to include fit-up of the Food Service area on the first floor. The "fit-out" consists of designed mechanical, electrical and plumbing systems/devices/finishes; wall, floor and ceiling finishes and casework/millwork. It does not include food service equipment. **Change Order # 329**, 1/28/10, was approved for an increase of \$40,430 to provide necessary vent piping to the outside from the three steam relief valves located on the Steris autoclave in the Vivarium. **Change Order # 335**, 2/23/10, was approved for an increase of \$29,493 to modify the site construction at the surface lot at the southeast corner of the site in order to maintain some parking at the Limestone/Leader corner lot. **Change Order # 365**, 2/23/10, was approved for an increase of \$27,382 to revise the amphitheater exterior steps. Although the steps do meet code, it is feared that pedestrians may not be aware that there is a 12" seating step and could fall. **Change Order # 378**, 3/22/10, was approved for an increase of \$25,917 to modify the over the paddle-type eyewash provided to the drench hose type eyewash at the request of COP faculty for additional student safety. **Change Order # 384**, 5/20/10, was approved for an increase of \$33,437 to remove existing single slide door and replace with a new bi-parting door system to allow adequate space for the DLAR animal cages. **Change Order # 385**, 5/20/10, was approved for an increase of \$27,600 to provide a card reader, door position switch, and electric lock on door 198A1 in room 196.

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Construct CAER Lab Bldg. #2**

Project Number: 2312.01

Scope: \$15,776,913

**Project Description:**

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery manufacturing R&D. And other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

**Consultant:** Murphy+Graves Architects

Contract # A101110

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$1,645,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$1,645,000

**Contractor:** Turner Construction      Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Turner's contract was awarded by RFP process.

Contract # 4500039389

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$953,600
Cumulative Change Order Amount:	
Revised Contract Amount:	\$953,600
Contract Percent Complete:	

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Construct Children's Garden at the Arboretum**

Project Number: 2303.00

Scope: \$727,522

**Project Description:**

The Children's Garden will be a safe 1.85 acres outdoor environment designed to help children 2 to 10 years old learn about plants and the environment. There will be an integrated combination of various "child-scaled" theme gardens, garden-like elements for experiential play, and gardens planted and maintained by children. This initial phase will construct the geographical features including rock escarpments; the stream and pond; and some of the walks and plantings.

**Consultant:** M2D Design Group

Contract # A091040E #1

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount: \$35,068

Cumulative Amendment Amount:

Revised Contract Amount: \$35,068

**Contractor:** Meyer Midwest

Contract # 4500036412

Total Change Orders to Date: 4

Low Bid: \$407,000

High Bid: \$479,620

Number of Bids: 2

**Describe all change orders greater than \$25,000.00:**

**Change Order # 5, 6/18/10, was approved for an increase of \$50,823 to replace the concrete pavers from behind the retaining wall at overlook with permeable pavers to better match future additions to the garden. Drainage pipes and associated structures were added as well to alleviate existing drainage issues outside the garden and to manage future needs inside the garden.**

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

**Construct Patient Care Facility**

**Project Number: 2239.0**

**Scope: \$532,300,000**

**Project Description:**

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

**Consultant:** GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$30,400,226
Total Amendments to Date:	8	Cumulative Amendment Amount:	\$7,919,660
<b>Describe all Amendments:</b>		Revised Contract Amount:	\$38,319,886

**Amendment # 1**, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of an additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **Amendment 7**, 4/20/10, an increase of \$278,370 was approved to provide for additional design services for the Celebrate Kentucky Wall, Rose Street Plaza, the green roof, enabling work and a lobby fountain. The amendment also provides for additional reimbursable services. **Amendment 8**, 6/8/10, an increase of \$937,498 was approved to provide for the completion of design and the addition of construction administration services for the Patient Care Facility OR Fit-out project. It also provides for design thru phase 2 of the kitchen and cafeteria fit out of the PCF.

**Contractor:** Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. \*Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	709	Original Contract Amount:	\$319,492,345
Low Bid:		Cumulative Change Order Amount:	\$17,313,303
High Bid:		Revised Contract Amount:	\$336,805,648
Number of Bids:		Contract % complete:	83%

**Describe all change orders greater than \$25,000.00:**

**Change Order # 1**, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 9**, 10/3/07, was approved for \$2,840,457 to convert the TC-01 Sitework (RAM Engineering) Rock Allowance to an unspecified status. Ram will be responsible to remove all the rock associated with their scope of work for BP 3B and as defined by the Geotechnical Report. This is due to misinterpretation of site conditions. Actual rock removal quantities are greater than estimated quantities in the contract documents. **Change Order # 10**, 10/1/07, was approved for an increase of \$55,314 to convert the portion of the six existing sanitary and storm sewer lines that pass through the main tunnel to ductile iron to ensure that these lines don't rupture. The increase also provided for re-routing the existing water lines to avoid conflict with the new tunnel. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main.

## **Construct Patient Care Facility Change Orders greater than \$25,000 Cont....**

**Change Order # 13**, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting of the pedestrian walkway and walkway roofing instead of traditional hammering. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. **Change Order # 43**, 3/31/08, was approved for an increase of \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to remove and replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2, and revise the location of it's associated manhole. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" shear wall/grade beam due to unsuitable rock. Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with a selector switch and indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping to avoid conflict with the communications tie-in to the cable tray. **Change Order # 164**, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for an increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

## **BP # 5 Change Orders**

**Change Order # 2 BP # 5**, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs.

## **Construct Patient Care Facility Change Orders greater than \$25,000 Cont....**

**Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. These pumps are required for proper function of the system.

**Change Order # 51 BP # 5**, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5**, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 96, BP # 5**, 10/16/09, was approved for a decrease of \$25,727 to delete flex connections note required for installation of the air handling units. **Change Order # 99, BP # 5**, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5**, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5**, 1/11/10, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5**, 2/1/10, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5**, 2/10/10, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5**, 2/24/10, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 142, BP # 5**, 2/24/10, was approved for an increase of \$39,069 to replace pipe and steam traps on the clean steam generators that don't meet specifications. This cost will be deducted from the contract of the steam generator vendor. **Change Order # 145, BP # 5**, 3/1/10, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147**, 3/2/10, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd and 4th floor mechanical rooms. **Change Order # 148, BP # 5**, 3/4/10, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot mast. **Change Order # 149, BP # 5**, 3/4/10, was approved for an increase of \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5**, 3/8/10, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5**, 3/17/10, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5**, 3/19/10, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower. **Change Order # 168, BP # 5**, 4/23/10, was approved for an increase of \$54,344 to relocate 16 fire/smoke damper actuators and add access doors as required to provide access for maintenance and yearly testing. **Change Order # 171, BP # 5**, 4/27/10, was approved for an increase of \$81,371 to furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks. **Change Order # 185, BP # 5**, 6/24/10, was approved for an increase of \$49,935 to route high pressure condensate from heating hot water and domestic hot water converters to high pressure return back to condensate pump. This enhances the reliability and safety of the building and campus steam system. **Change Order # 187, BP # 5**, 6/25/10, was approved for an increase of \$26,761 to provide additional hall position indicators for five elevators.

### **BP # 6 Change Orders**

**Change Order # 1, BP # 6**, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6**, 3/3/09 was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6**, 3/24/09 was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6**, 5/21/09, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6**, 5/29/09, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor due to the decision to not fit-out the 8th floor in phase 1A.

## Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

**Change Order # 13 BP # 6**, 6/1/09, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6**, 7/2/09, was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6**, 8/18/09, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6**, 8/28/09, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is occupied. **Change Order # 46 BP # 6**, 8/28/09, was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6**, 8/28/09, was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6**, 9/21/09, was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6**, 9/21/09, was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6**, 9/22/09, was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6**, 9/29/09, was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69**, BP # 6, 10/16/09, was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. **Change Order # 72**, BP # 6, 10/16/09, was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82**, BP # 6, 10/26/09, was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100**, BP # 6, 12/14/09, was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103**, BP # 6, 11/24/09, was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104**, BP # 6, 11/24/09, was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105**, BP # 6, 11/24/09, was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109**, BP # 6, 11/23/09, was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110**, BP # 6, 11/24/09, was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111**, BP # 6, 11/25/09, was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112**, BP # 6, 11/25/09, was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113**, BP # 6, 11/24/09, was approved for an increase of \$38,833 to revise the main duct mains in basement areas A and C to allow for proper installation of mechanical systems. **Change Order # 114**, BP # 6, 12/9/09, was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order # 115**, BP # 6, 12/9/09, was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors for more dependability. **Change Order # 117**, BP # 6, 12/9/09, was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order # 122**, BP # 6, 12/15/09, was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall at the head of the bed in each of the 128 patient rooms. **Change Order # 125**, BP # 6, 12/15/09, was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and from cable tray to Intercom Master Panels throughout the project. **Change Order # 134**, BP # 6, 12/15/09, was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143**, BP # 6, 12/15/09, was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144**, BP # 6, 1/4/10, was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146**, BP # 6, 1/4/10, was approved for an increase of \$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157**, BP # 6, 1/14/10, was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system. **Change Order # 161**, BP # 6, 2/1/10, was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure. **Change Order # 162**, BP # 6, 2/1/10, was approved for an increase of \$82,634 to modify VESDA smoke detection system for lobby smoke exhaust. **Change Order # 167**, BP # 6, 2/4/10, was approved for an increase of \$229,299 to add data and power outlets associated with using the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168**, BP # 6, 2/4/10, was approved for an increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174**, BP # 6, 2/16/10, was approved for an increase of \$136,321 to revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 175**, BP # 6, 2/16/10, was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176**, BP # 6, 2/23/10, was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177**, BP # 6, 2/23/10, was approved for an increase of \$37,436 to remove and replace floor tile in the ED, and install colors per the revised color schedule. **Change Order # 181**, BP # 6, 2/23/10, was approved for an increase of \$40,687 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183**, BP # 6, 2/24/10, was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188**, BP # 6, 3/4/10, was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms.

## Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

**Change Order # 192, BP # 6, 3/4/10**, was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure reducing stations for the four domestic water heat exchangers. **Change Order # 194, BP # 6, 3/8/10**, was approved for an increase of \$31,061 to provide 5" copper pipe in lieu of steel for heating hot water piping. **Change Order # 201, BP # 6, 3/12/10**, was approved for an increase of \$108,500 to upgrade dialysis boxes and add electrical connections for dialysis equipment on the 6th and 7th floors. **Change Order # 205, BP # 6, 3/17/10**, was approved for a decrease of \$26,766 to remove sprinklers from north and west canopies and add sprinklers to south drive-through canopy. This assures greater coverage where vehicular traffic is located and reduces the chance of freezing in areas that are not required by code. **Change Order # 207, BP # 6, 3/17/10**, was approved for an increase of \$136,040 to modify the temporary basement offices to address code compliance. **Change Order # 218, BP # 6, 3/25/10**, was approved for an increase of \$52,662 to revise 3rd and 4th floor ductwork and piping to accommodate installation of all trades. **Change Order # 221, BP # 6, 3/25/10**, was approved for an increase of \$40,734 to provide plumbing and electrical services to five dialysis boxes in the ED. **Change Order # 226, BP # 6, 3/29/10**, was approved for an increase of \$56,337 to revise power, lighting, and specialty lighting throughout the lobby ground level and first level to accommodate selections of the art program. **Change Order # 229, BP # 6, 4/7/10**, was approved for an increase of \$31,569 to provide temporary doors and hardware for electrical rooms as required by the electrical inspector for final testing of the high voltage gear. **Change Order # 234, BP # 6, 4/13/10**, was approved for an increase of \$50,535 to add section of built-in curved seating, revise wall cap material, and add gaming area countertop to the pediatric waiting in the ED to support installation of patient gaming system. **Change Order # 238, BP # 6, 4/14/10**, was approved for an increase of \$93,626 provide the infrastructure and connections necessary to support University supplied uninterrupted power supplies in the IDF and EIDF rooms throughout the project. **Change Order # 243, BP # 6, 4/22/10**, was approved for an increase of \$76,602 to furnish and install condensate drains for two air handler units in electrical rooms on the fourth floor and insulate condensate drains throughout the building. **Change Order # 244, BP # 6, 4/21/10**, was approved for an increase of \$52,639 to revise ductwork in the 1st floor lobby to accommodate the radiused bulkhead to include extending the height of the plenums along the lobby exterior wall and installing revised linear grills. **Change Order # 251, BP # 6, 4/21/10**, was approved for an increase of \$74,208 to revise coring layout, poke thru devices, and electrical and technology raceways for Steelcase furniture in ED area. **Change Order # 252, BP # 6, 4/21/10**, was approved for an increase of \$74,097 to add temperature mixing valves to eyewashes and emergency showers on the ground, 6th and 7th floors. **Change Order # 253, BP # 6, 4/21/10**, was approved for an increase of \$30,041 to provide additional electrical connections as required for installation of 11 Swisslog blower units and one Swisslog transfer unit on the 4th floor. **Change Order # 258 BP # 6, 4/23/10**, was approved for an increase of \$425,593 to furnish and install waste plumbing and venting required for 64 patient rooms, staff rest rooms, staff break areas, and utility spaces on the 8th floor of the PCF. Completing this work at this time eliminates the need for access to the 7th floor, which will be occupied when the 8th floor fit-out construction starts. **Change Order # 261, BP # 6, 4/27/10**, was approved for an increase of \$68,333 to modify the fire alarm systems in the PCF, Gill Building, Critical Care Center and Chandler Hospital such that all buildings report to the new fire control center in the PCF. **Change Order # 267, BP # 6, 4/29/10**, was approved for an increase of \$35,307 to add additional plantings and ornamental fencing between the Agriculture Building and Emergency parking area to establish the boundary between the two. **Change Order # 284, BP # 6, 5/18/10**, was approved for an increase of \$28,790 to revise casework and countertop to add point of care testing station for Soiled Utility A00504. **Change Order # 287, BP # 6, 5/13/10**, was approved for an increase of \$38,906 to install dust shield covers on 164 drop in lights in the ED for infection control purposes. **Change Order # 288, BP # 6, 5/13/10**, was approved for an increase of \$47,608 to re-route storm piping, irrigation piping, and vent piping above the ceiling in the auditorium to allow for proper installation of mechanical equipment. **Change Order # 301, BP # 6, 5/18/10**, was approved for an increase of \$54,139 to convert two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan on the 1st floor roof, within the chiller screen wall. Modify air balance and add room monitors for proper pressurization. **Change Order # 327, BP # 6, 6/3/10**, was approved for an increase of \$107,562 to provide temporary upright sprinkler heads where ceilings are not yet installed on the ground floor, and upgrade and extend several of the existing partitions to 2 hour walls for the phased turnover of the ED. **Change Order # 328, BP # 6, 6/7/10**, was approved for an increase of \$101,969 to add missing life safety power to 87 fire smoke dampers. **Change Order # 332, BP # 6, 6/10/10**, was approved for an increase of \$88,130 to revise metal stud layout, electrical work, duct work, floor and wall finishes to accommodate the "Celebrate Kentucky" wall design. The final design of the wall was not available when the construction documents were published. **Change Order # 334, BP # 6, 6/11/10**, was approved for an increase of \$42,212 to add various security hardware items to the ground floor ED which were not included in the original contract documents. **Change Order #336, BP # 6, 6/11/10**, was approved for an increase of \$34,217 to provide horizontal connections for 34 locations for the overhead paging system in the ED. The final design of the system was not selected by the end users prior to the submission of construction documents. **Change Order # 340, BP # 6, 6/24/10**, was approved for an increase of \$80,919 to add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and delayed egress controller to various doors in the ED to realize the required security and access control for imaging areas and med. storage rooms. **Change Order # 343, BP # 6, 6/25/10**, was approved for an increase of \$45,551 to include a new pathway and the abutting planting mounds for the green roof on the 5th floor roof. It includes the relocation of the excess Green Roof planting trays that are displayed by the new pathway and planting mounds. **Change Order # 350, BP # 6, 6/25/10**, was approved for an increase of \$89,093 to add one duplex outlet and change one duplex to quad outlet in 128 Acute Care and ICU Patient Rooms on the 6th and 7th floors. **Change Order # 354, BP # 6, 6/29/10**, was approved for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone St. to allow installation of exterior signs and street lighting.

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**PCF - Hospital - CUP Expansion**

Project Number: 2239.32

Scope: \$13,150,000

**Project Description:**

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

**Consultant:** GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount:

**Contractor:** Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 46

Cumulative Change Order Amount:

\$783,937

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,257,937

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

**Describe all change orders greater than \$25,000.00:**

**Change Order # 4**, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new deaerator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers. **No change orders greater than \$25,000 this quarter.**

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CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Digital Village Building 2

Project Number: 2297.10

Project Description:

Scope: \$18,669,725

This project consists of the design and construction of approximately 43,000 gross square feet of research space for the Center for Visualization and Virtual Environments as well as selected research activities in the Department of Computer Science and the Department of Electrical and Computer Engineering. The facility must be sufficiently flexible in design so that it can be easily adapted to support research into emerging areas of interest. The structure should provide flexible office space and support facilities. This project will pursue LEED Certification.

Consultant: JRA Architects

Contract # A091110

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 8/11/09, an increase of \$37,800 was approved to provide for the research and design of a photovoltaic system. No amendments this quarter.

Original Contract Amount: \$1,409,934

Cumulative Amendment Amount: \$37,800

Revised Contract Amount: \$1,447,734

Contractor: Congleton-Hacker \* Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Congleton-Hacker's contract was awarded by RFP process. \*25 Trade subcontracts have been added to date

Contract # 4500031914

Total Change Orders to Date: 32

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 14, 2/4/10, was approved for an increase of \$37,930 to install new cabling between the switch in the new manhole to the existing one to avoid splices in the existing cabling and to minimize the shutdown and outage time required for the electrical service to the Hardyman Building. Change Order # 15, 2/4/10, was approved for a decrease of \$32,042 to adjust the allowance found in the photovoltaic panel installation bid package due to bid savings. Change Order # 19, 3/12/10, was approved for an increase of \$46,491 to revise the communications duct bank to the south and to modify the slope of storm line D. This will facilitate future duct bank tie-in without shutting down access to the parking lots north of College View. Change Order # 30, 6/10/10, was approved for an increase of \$26,044 to provide for utility work on the west side of Memorial Coliseum due to found conditions. The existing Communications ductbank stub-out extends further than expected and is obstructing the installation of the piping.

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.00

#### Project Description:

Scope: \$27,165,200

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The Livestock Disease Diagnostic Lab is an office and laboratory building with net assignable space of 3,860 square feet for offices and 28,100 square feet of laboratories and support space. It is proposed to add 6,300 and 1,200 square feet (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 square feet net).

**Consultant:** CMW, Inc.

Contract # A061100

Total Amendments to Date: 3

**Describe all amendments :**

Original Contract Amount: \$648,000

Cumulative Amendment Amount: \$1,846,800

Revised Contract Amount: \$2,494,800

**Amendment # 1**, 12/12/06, was approved for \$90,000 to provide additional design services to accommodate a phased implementation consistent with the legislative budget action. Includes the additional design to study the new tissue digester effluent impact of the LFUCG Town Branch Wastewater Treatment Plant. **Amendment # 2**, 12/10/07, an increase of \$131,000 was approved for additional schematic design services to include multiple methods of animal carcass disposal including rendering, incineration and digestion. **Amendment # 3**, 7/16/08, an increase of \$1,625,800 to provide all of the architectural and engineering services required to complete Schematic Design, Design Development, Construction Documents and Construction Administration phases for an estimated \$20,000,000 worth of construction at the existing UK Livestock Disease Diagnostic Center Facility. **No amendments this quarter.**

**Contractor:** Congleton-Hacker Company (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

\*Congleton-Hacker's contract was awarded by RFP process.

Contract # 4500026910

Total Change Orders to Date: 87

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$18,146,148

Cumulative Change Order Amount: \$744,784

Revised Contract Amount: \$18,890,932

Contract Percent Complete: 84%

**Describe all change orders greater than \$25,000.00:**

**Change Order # 6**, 8/21/09, was approved for an increase of \$45,000 to provide dense graded aggregate (DGA) fill under the building's concrete slabs. The DGA was incorrectly detailed and is required for correct construction of the building concrete slabs. **Change Order # 19**, 12/14/09, was approved for an increase of \$44,983 to select a better match to existing bricks than the proposed brick. The General Shale brick that was bid was deemed unacceptable in the project mock-up wall. **Change Order # 35**, 12/21/09, was approved for an increase of \$64,388 to provide temporary paving around Necropsy. This portion of the paving was purposefully deferred during bidding due to market fluctuation. **Change Order # 49**, 3/1/10, was approved for an increase of \$28,360 to add matching freestanding wall on top of retaining wall to create a min. 42" high wall. This prevents any chance of falling and improves site grading requirements adjoining the wall. **Change Order # 56**, 3/17/10, was approved for an increase of \$35,910 to provide for rental of a trailer necessary for the temporary relocation of UK College of Ag. pathologists. The pathologists must be temporarily relocated to allow for existing facility renovation work to proceed. **Change Order # 77**, 4/21/10, was approved for an increase of \$43,516 to modify wall to provide additional stud cavity to conceal pipes and conduit. This also eliminates sloped cap and makes it flush for cleanability. **Change Order # 91**, 6/7/10, was approved for an increase of \$50,835 to provide mold resistant gypsum board in dry areas and moisture resistant gypsum board in wet areas.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate 4-H Camps**

Project Number: 2310.00

Scope: \$2,000,000

**Project Description:**

The College of Agriculture Cooperative Extension Service and 4-H Youth Development operate 4-H Camping Centers in Nicholas, Pulaski, Laurel and Hopkins counties. This project will renovate existing cabins by upgrading utility infrastructure, improving finishes, and installing energy efficient heating and air conditioning systems. The project also will include replacement of existing bunks and mattresses in all the camps. Additionally, a new cabin will be built that will house 28 campers and 4-H staff.

**Consultant:** Murphy & Graves

Contract # A101000BB

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$50,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$50,000

**Contractor:** No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

---

**Renovate 4th Floor Sanders-Brown Center on Aging**

Project Number: 2313.01

Scope: \$6,428,471

**Project Description:**

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements. The project is fully funded from an NIH Grant as part of the ARRA.

**Consultant:** Omni Architects

Contract # A101140

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$562,119
Cumulative Amendment Amount:	
Revised Contract Amount:	\$562,119

**Contractor:** Messer Construction Co Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. \*Messer's contract was awarded by RFP process.

Contract # 4500040166

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$382,695
Cumulative Change Order Amount:	
Revised Contract Amount:	\$382,695
Contract Percent Complete:	

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate Central Computing Facility**

Project Number: 2304.00

Scope: \$845,000

**Project Description:**

McVey Hall is the current facility housing the central computing operations. It is imperative that some facility issues be addressed for UK Information Technology (UKIT) to continue to provide reliable service for mission critical IT systems. The power and air-conditioning problems in McVey Hall require immediate short-term investments to ensure sufficient cooling and power distribution for the next few years. This project will include reconfiguration and upgrading of existing cooling units, replacing of UPS units that are expiring and installation of back-up electricity and cooling equipment.

**Consultant:** CMTA Engineers

Contract # A091020P #1

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$48,500
Cumulative Amendment Amount:	
Revised Contract Amount:	\$48,500

**Contractor:** TP Mechanical

Contract # 4500036320

Total Change Orders to Date: 9

Low Bid: \$276,300

High Bid: \$476,399

Number of Bids: 4

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$276,300
Cumulative Change Order Amount:	\$30,571
Revised Contract Amount:	\$306,871
Contract Percent Complete:	100%

---

**Renovate Nursing Building**

Project Number: 2307.00

Scope: \$1,883,970

**Project Description:**

This project will renovate and convert a covered patio on the third floor of the Nursing Building into student study and discussion space. Additionally, minor enhancements will be made in some fifth floor graduate student space. The project is fully funded from a U.S. Department of Health and Human Services, Health Resources and Services Administration grant.

**Consultant:** Stengel-Hill Architecture

Contract # A101100

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$150,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$150,000

**Contractor:** No construction contract to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate Parking Structure #3 - Hospital**

Project Number: 2311.00

**Project Description:**

Scope: \$635,000

This project includes repairing the concrete topping on levels two and three; painting of pre-cast connections; installation of a new expansion joint at the structural slab transition; full depth slab repairs for levels four, five, and six; and application of an elastomeric waterproofing membrane on level six. These repairs are needed to protect the structure from moisture penetration and resulting deterioration of concrete and embedded metals.

**Consultant:** Brown & Kubican

Contract # A101030SS

Original Contract Amount: \$42,000

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$42,000

**No amendments this quarter.**

**Contractor:** Schnell Contractors inc

Contract # 4500039781

Original Contract Amount: \$283,700

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$283,700

Revised Contract Amount: \$283,700

High Bid: \$500,991

Contract Percent Complete: 29%

Number of Bids: 5

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**Renovate Third Floor Little Library**

Project Number: 2306.00

**Project Description:**

Scope: \$1,000,000

This project will renovate and fit-up approximately 5,300 square feet of shelled space on the third floor of the Little Library. Prior to the library consolidations following completion of the W.T. Young Library, this space was used to house library materials. The renovated space will house the Center for Applied Information Technology, a suite of offices for the School of Library and information and two new classrooms, seating for 48, with smart technology.

**Consultant:** Pearson & Peters

Contract # A101000DD

Original Contract Amount: \$44,200

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$44,200

**No amendments this quarter.**

**Contractor:** Denham-Blythe Company

Contract # 4500039790

Original Contract Amount: \$551,694

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$551,694

Revised Contract Amount: \$551,694

High Bid: \$660,600

Contract Percent Complete: 22%

Number of Bids: 11

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

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**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Renovate/Expand the Center For Applied Energy Research**

Project Number: 2286.10

Scope: \$2,000,000

**Project Description:**

The primary purpose of this project will be a multi-phased project incorporating the following components:

Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group currently housed in the centers main facility. The existing equipment support space, offices and labs will be relocated.

Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal.

Biofuels High Bay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab above, will allow for the development of a biofuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky.

Combustion High Bay Hoods - The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

**Consultant:** Biagi, Chance, Cummins, London & Titzer

Contract # A091080

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$200,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$200,000

**Contractor:** Denham Blythe, Co. .

Contract # 4500033050

Total Change Orders to Date: 16

Low Bid: \$1,156,991

High Bid: \$1,544,000

Number of Bids: 6

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$1,156,991
Cumulative Change Order Amount:	\$123,184
Revised Contract Amount:	\$1,280,175
Contract Percent Complete:	99%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

---

**Renovate/Expand the Center for Applied Energy Research (Ph II)**

Project Number: 2286.20

Scope: \$882,221

**Project Description:**

This project will construct a shell to house the Center for Applied Energy Research mini refinery.

**Consultant:** Denham Blythe

Contract # A101070

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$40,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$40,000

**Contractor:** No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

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**Repair, Upgrade, Improve Bldg. Systems - Hospital (Elevators Upgrade for KY Clinic)**

Project Number: 2296.10

Scope: \$1,000,000

**Project Description:**

This project seeks to upgrade the elevator cabs, elevator controls and elevator pumps for the five elevators located in Kentucky Clinic (includes Medical Plaza). This project allows the Hospital to provide maintenance and upgrades to prevent breakdowns in the system. The Hospital relies on these systems for the efficient transfer of patients, visitors, supplies, and equipment. Unplanned interruptions to these systems create customer service problems and additional personnel costs.

**Consultant:** N/A

Contract #

Total Amendments to Date:

**Describe all amendments :**

Original Contract Amount:	
Cumulative Amendment Amount:	
Revised Contract Amount:	

**Contractor:** Oracle Elevator - \* Awarded via RFP Process

Contract # 4500034540

Total Change Orders to Date: 2

Low Bid:

High Bid:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$833,835
Cumulative Change Order Amount:	\$3,445
Revised Contract Amount:	\$837,280
Contract Percent Complete:	75%

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

### Replace Emergency Generators & Fire Pump - UK Good Samaritan Hospital

Project Number: 2277.30

**Project Description:**

Scope: \$2,025,550

This scope of this project will consist of the replacement or upgrades of the following equipment at the Good Samaritan Hospital: Emergency generator, transfer switches, air handling unit #25, constant pressure water pumps, deareator tank, and a fire pump.

**Consultant:** CMW, Inc.

Contract # A081150

Original Contract Amount: \$140,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$8,200

**Describe all amendments :**

Revised Contract Amount: \$148,200

**Amendment 1**, 11/11/08, and increase of \$8200 was approved for additional design services as required to provide location options for the emergency generators. **No amendments this quarter.**

**Contractor:** Messer Construction Co.

Contract # 4500035739

Original Contract Amount: \$1,520,000

Total Change Orders to Date: 19

Cumulative Change Order Amount: \$53,958

Low Bid: \$1,520,000

Revised Contract Amount: \$1,573,958

High Bid: \$1,893,960

Contract Percent Complete: 99%

Number of Bids: 4

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

### Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Number: 2309.00

**Project Description:**

Scope: \$7,000,000

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

**Consultant:** JRA Architects

Contract # A101090

Original Contract Amount: \$554,253

Total Amendments to Date: 1

Cumulative Amendment Amount: \$6,239

**Describe all amendments :**

Revised Contract Amount: \$560,492

**Amendment 1**, 5/11/10, an increase of \$6,239.33 was approved to provide phase 2 geotechnical exploration services as a reimbursable expenditure.

**Contractor:** Codell Construction Company

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

\*Codell's contract was awarded by RFP process.

Contract # 4500038207

Original Contract Amount: \$398,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$398,000

High Bid:

Contract Percent Complete:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Student Housing - Supporting Utility Plant Upgrades**

Project Number: 2153.30

**Project Description:**

Scope: \$1,275,000

This project includes expanding the Virginia Avenue Central Utility Plant to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

**Consultant:** AM Kinney

Contract # A041000GG

Original Contract Amount: \$26,315

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$26,315

**No amendments this quarter.**

**Contractor:** English Boiler & Tube Inc.

\*English Boiler's contract was awarded by RFP process.

Contract # 4500008298

Original Contract Amount: \$1,147,748

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$1,147,748

High Bid:

Contract Percent Complete: 99%

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000.00 this quarter.**

---

**Upgrade, Renovate, Improve or Expand Research Labs (Fit-up 4th and 5th Floor**

Project Number: 2314.00

**Project Description:**

Scope: \$28,000,000

The Biological / Pharmaceutical Complex is a new 286,000 s.f. academic / research building for the University of Kentucky College of Pharmacy. The Fourth and Fifth Floor Fit-Out items for the building include miscellaneous metals and stairs, rough and finish carpentry, wall systems, flooring systems, ceiling systems, doors, frames, hardware, coatings, specialized equipment, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and controls systems. Food Service Fit-out items include rough and finish carpentry, wall systems, flooring systems, ceiling systems, frames, hardware, coatings, plumbing systems, fire protection systems, mechanical systems, electrical systems and communications and control systems. Audio-visual fit-up includes wiring, sound systems, video systems and control systems.

**Consultant:** EOP Architects

Contract # A101120

Original Contract Amount: \$898,640

Total Amendments to Date:

Cumulative Amendment Amount:

**Describe all amendments :**

Revised Contract Amount: \$898,640

**No amendments this quarter.**

**Contractor:** Messer Construction Co.

Contract # 4500039714

Original Contract Amount: \$1,463,230

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$1,463,230

High Bid:

Contract Percent Complete:

Number of Bids:

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY**

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**Upgrade-Renovate-Improve or Expand Research Labs (Chemistry-Physics Building)**

Project Number: 2302.00

**Project Description:**

Scope: \$2,080,000

The space vacated by the relocation of the Chemistry and Physics libraries will be converted into research and research support space. This renovation will create three wet bench research labs to accommodate three new faculty lines in Chemistry, and one materials lab for Physics. The materials lab will be a multidisciplinary lab that will be used by Chemistry, Physics and Engineering.

**Consultant:** Omni Architects

Contract # A101060

Total Amendments to Date:

**Describe all amendments :**

**No amendments this quarter.**

Original Contract Amount:	\$186,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$186,000

**Contractor:** Denham Blythe

Contract # 4500037598

Total Change Orders to Date: 5

Low Bid: \$1,382,093

High Bid: \$1,497,000

Number of Bids: 9

**Describe all change orders greater than \$25,000.00:**

**No change orders greater than \$25,000 this quarter.**

Original Contract Amount:	\$1,382,093
Cumulative Change Order Amount:	\$597
Revised Contract Amount:	\$1,382,690
Contract Percent Complete:	76%

# UNIVERSITY OF KENTUCKY

## ANALYSIS OF PRESIDENTIAL COMPENSATION

## CURRENT COMPENSATION PACKAGE

- ❑ \$304,000 Base (annual increase tied to faculty salary increase)
- ❑ \$25,000 for Charing UK Research Foundation Board
- ❑ \$25,000 for Charing UK Athletics Association Board
- ❑ Total Recurring Base = \$354,000 (sum of above)
- ❑ Bonus = Maximum \$200,000 (Based on achievement of goals determined by Board of Trustees)
- ❑ **Other [retirement fund (15% of base =\$45,612), disability, workers compensation benefits, parking permits, term life, health insurance, automobile, housing, home insurance]**

## PRESIDENTIAL BASE SALARY COMPARISON – 2009-2010 KENTUCKY PUBLIC INSTITUTIONS

<input type="checkbox"/> University of Louisville	\$ 456,132
<input type="checkbox"/> Northern Kentucky University	\$ 425,239
<input type="checkbox"/> Council on Postsecondary Education	\$ 400,000
<input type="checkbox"/> <b>University of Kentucky</b>	<b>\$ 354,000</b>
<input type="checkbox"/> Western Kentucky University	\$ 352,020
<input type="checkbox"/> KCTCS	\$ 304,890
<input type="checkbox"/> Eastern Kentucky University	\$ 254,250
<input type="checkbox"/> Morehead State University	\$ 251,160
<input type="checkbox"/> Murray State University	\$ 246,000
<input type="checkbox"/> Kentucky State University	\$ 240,000

SOURCE: CPE



TOTAL PRESIDENTIAL COMPENSATION COMPARISON – 2008-09  
KENTUCKY RESEARCH INSTITUTIONS AND COMMUNITY AND  
TECHNICAL COLLEGE SYSTEM

<input type="checkbox"/> KCTCS	\$ 532,907
<input type="checkbox"/> University of Louisville	\$ 487,536
<input type="checkbox"/> <b>University of Kentucky</b>	<b>\$ 399,612</b>

SOURCE: CHRONICLE OF HIGHER EDUCATION

## TOTAL COMPENSATION COMPARISON – 2008-09 TOP 20 PUBLIC RESEARCH UNIVERSITIES (per Top 20 Business Plan)

<input type="checkbox"/> Highest	Ohio State University	\$ 1,576,825
<input type="checkbox"/> Lowest	University of California – Davis	\$ 339,666
<input type="checkbox"/> Average		\$ 619,461
<input type="checkbox"/> Median		\$ 571,980
<input type="checkbox"/> University of Kentucky		\$ 399,612
<input type="checkbox"/> UK as percent of Top 20 average		64.5%
<input type="checkbox"/> UK as percent of Top 20 median		69.9%

\*Note: President Todd has served longer in current position than all but four presidents of the Top 20 research universities.

SOURCE: CHRONICLE OF HIGHER EDUCATION

## TOTAL COMPENSATION COMPARISON – 2008-09 SOUTHEASTERN CONFERENCE (excluding Vanderbilt University)

<input type="checkbox"/> Highest	Auburn University	\$ 727,761
<input type="checkbox"/> Lowest	University of Arkansas	\$ 307,640
<input type="checkbox"/> Average		\$ 507,004
<input type="checkbox"/> Median		\$ 489,847
<input type="checkbox"/> University of Kentucky		\$ 399,612
<input type="checkbox"/> UK as percent of SEC average		78.8%
<input type="checkbox"/> UK as percent of SEC median		81.6%

\*Note: President Todd is the second longest serving President in SEC.

## PRESIDENTIAL BONUS SYSTEM

- ❑ 36.0% of 2010 core compensation package [base plus bonus (\$200,000/\$554,000)]
- ❑ Disproportionate (\$200,000 versus average benchmark bonus of \$10,000 – \$50,000)

## SALARY COMPARISON – 2009-10

□ Average faculty salary of Top 20 Institutions (per <u>Business Plan</u> )	\$ 98,451
□ Average faculty salary of Southeastern Conference (excluding Vanderbilt)	\$ 78,502
□ Average faculty salary at the University of Kentucky	\$ 81,189
□ UK president (base + other)	\$399,612
□ UK faculty as percent of Top 20 average	82.5% (\$81/\$98)
□ UK president as percent of Top 20 average	64.5% (\$399/\$619)
□ UK faculty as percent of SEC average	103.4% (\$81/\$78.5)
□ UK president as percent of SEC average	78.8% (\$399/\$507)

SOURCES: CHRONICLE OF HIGHER EDUCATION and IPEDS

## RECOMMENDATION OF EXECUTIVE COMMITTEE

- ❑ Base salary should equal 82.5% of Average of Top 20 Public Research Universities per The Business Plan (same as UK faculty)
- ❑ New base = \$619,461 x 82.5% = **\$511,056**



## PERFORMANCE BONUS

- ❑ Maximum of \$50,000 (versus current maximum of \$200,000)
- ❑ Based on achievement of goals established by the Board of Trustees

**2011 SCHEDULE FOR BOARD OF TRUSTEES MEETINGS**

February 22, 2011

March 29, 2011

May 3, 2011

June 14, 2011

September 13, 2011

October 25, 2011

December 13, 2011