

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 15, 1964, Board Room, Administration Building.

The Board of Trustees of the University of Kentucky met in the Board Room, Administration Building, at 10:00 a. m., Eastern Standard Time, Tuesday, September 15, 1964, in regular meeting with the following present: Governor Edward T. Breathitt, Dr. Harry Sparks, Dr. Harry Denham, Mr. Smith Broadbent, Jr., Dr. R. W. Bushart, Mr. Sam Ezelle, Mr. W. F. Foster, Dr. H. B. Murray, Mr. Clifford E. Smith, Mr. Floyd Wright, Mr. Gilbert Kingsbury, Dr. Ralph J. Angelucci, Mr. Robert Hillenmeyer, Judge James A. Sutherland, Professor Paul Oberst, and Dr. Lewis Cochran. Representing the University administration were: President John W. Oswald, and Vice Presidents A. D. Albright, William R. Willard, and Robert F. Kerley. A distinguished guest, former Governor Bert T. Combs, was also present. Mr. Wendell P. Butler was absent.

A. Meeting Opened

Preceding the call to order, the official photograph for the Centennial Year was made by Mr. Hal Davis of the Courier-Journal. The Chairman, Governor Edward T. Breathitt, called the meeting to order at 10:30 a. m. The invocation was given by Judge James A. Sutherland, followed by the roll call. Having determined a quorum was present, the chairman declared the meeting open for the conduct of business.

B. Minutes Approved

Governor Breathitt entertained a motion by Dr. Angelucci, seconded by Mr. Ezelle, that the reading of the minutes of the meetings of the Executive Committee on July 23, 1964, and August 21, 1964, be dispensed with and that the minutes be approved as published. By unanimous vote, the motion was approved and the minutes of the meetings of the Executive Committee of the Board of Trustees of July 23, 1964, and August 21, 1964, were approved as published.

C. Presentation of the Resolution to the Honorable Bert T. Combs

At the meeting in January, 1964, the Board of Trustees adopted a resolution of appreciation to the Honorable Bert T. Combs, former governor and chairman of the Board. Presentation of the resolution was delayed until such time as Governor Combs could be present and a full Board meeting was scheduled. President John W. Oswald, on behalf of the Board of Trustees, presented the resolution which cited a significant number of achievements during the tenure in office of Governor Combs. Among these were the leadership in the establishment of the community college system, support for the development of scientific research and equipment, the new Agricultural Science Center and National Tobacco Research Laboratory, the enactment of the tax program to aid the entire educational system of Kentucky, and the various additions to the physical plant. Upon

receiving the resolution, Governor Combs commented that it would be kept among his most treasured possessions. He stated that as a citizen he was proud of and pleased with what he saw and heard about his Alma Mater. He commended the Board for their continuing effort to solve the problems of the University of Kentucky.

Governor Breathitt, speaking for the people of Kentucky and the Board of Trustees, thanked Governor Combs for the quality of his leadership in establishing a tax structure that did so much to aid the whole educational system of the state, from primary grades through the University. To the great credit of Governor Combs was the naming of the committee which recommended the selection of Dr. Oswald as president of the University.

D. President's Report to the Trustees

Copies of the President's Report are made available to the members of the Board of Trustees, the faculty and staff, and to the press. Making up this report are significant items relative to activities and achievements of the colleges, departments, and individuals at the University of Kentucky. Included was an article on the enrollment figures for the fall semester. When all enrollment figures are compiled, it is expected that the figure will be approximately 15,000, breaking all previous records. Other items of interest were: (1) The College of Nursing was given full approval of the Kentucky Board of Nursing Education and Nurse Registration. All graduates of the first class (May, 1964) passed the state licensing examination. (2) Since its opening in April, 1962, the University Hospital has served a total of 43,543 patients. (3) The University Computing Center has tripied its research capacity by the acquisition of new equipment.

E. Presentation of the "Blue Report"

President Oswald reminded the members of the Board that this report is mailed to them in advance and contains routine, but important, items for which approval or action is requested.

RECOMMENDATIONS OF THE PRESIDENT

FREE FEE SCHOLARSHIPS

Recommendation: that a free fee scholarship be approved for Mr. Henner Hess, the Heidelberg exchange scholar for 1964-65, and Sudha Sankaran, the Woman's Club Scholar, and that the report of the Committee on International Students relative to recipients of the other free fee scholarships be accepted.

Background: At the meeting of the Board of Trustees on April 7, 1964, approval was given to the authorization of ten free fee scholarships and two \$600 plus free tuition scholarships for International Students for 1964-1965, with the understanding that the Board would be given the names of the recipients in the fall. The committee now reports the following eight persons have been chosen to receive the

free tuition scholarships: Kwang Bae Kim, Korea; Turkan Uzar, Turkey; Soon Wha Yu, Korea; Chih-chao Su, China; Chien Hwa Feng, China; Solomon Akoto, Ghana; Lawrence Kong Pu Wang, China; Yun-ju Huang, China; and the two \$600 plus free fee scholarships have been awarded to: Isaam Safady, Jordan, and Saeed Salehi, Iran. The committee indicates that two additional persons will be selected later to complete the ten scholarships already approved.

The University of Kentucky has had an exchange program with the University of Heidelberg for the past several years through which the recipient receives \$1200 plus a free fee scholarship. Mr. Hess is the scholar this year. The Woman's Club Scholarship of \$1000 also carries with it a free fee scholarship.

CONSULTATIVE SERVICES

Recommendation: that the President's report on consultative services approved be accepted as presented.

Background: Approval has been given for the persons listed below to perform the consultative services indicated with the usual understanding that such services will in no way interfere with the performance of regularly scheduled University duties.

- Dr. John H. Bondurant, Department of Agricultural Economics, to initiate a study of the principal production requirements, costs and returns for typical tobacco farms in Taiwan, China.
- Dr. John Douglas, Department of Business Administration, for consultation service to the George E. Tomlinson Company, Inc.

APPOINTMENTS AND OTHER STAFF CHANGES

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the President's report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of associate professor and above, to promotions, and to the granting of tenure, and to salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

Given below are the personnel changes since the last meeting of the Board of Trustees which either require action or which have already been acted upon by the President and are being reported:

BOARD ACTION REQUIREDNew Appointments

- Ralph Shabetai, M.D., Associate Professor, Department of Medicine, College of Medicine
- Carl H. Fortune, M.D., Clinical Professor of Medicine, Department of Medicine, College of Medicine
- Abdel Rahim Omran, M.D., D.P.H., Dr. P.H., Associate Clinical Professor of Community Medicine, Department of Community Medicine, College of Medicine
- Stanley L. Block, M.D., Associate Clinical Professor of Psychiatry, Department of Psychiatry, College of Medicine

Sabbatical Leave

- William D. Ehmann, Associate Professor, Department of Chemistry, at half salary, beginning September 1, 1964, ending June 30, 1965
- Cecil C. Carpenter, Professor of Economics, College of Commerce, at full salary, beginning September 1, 1964, ending December 31, 1964

Retirement (Prior to 65)

- Ruth Osborne, Critic, College of Education, Instruction (University School), effective September 1, 1964

PRESIDENT'S REPORTNew Appointments

- Hayden Timmons, Consultant, Public Information Department
- Billie M. Ables, Ph.D., Instructor, Clinical Psychology, College of Medicine
- George L. Willis, Visiting Assistant Professor, Political Science, College of Arts and Sciences
- Larry Eugene Askins, Instructor, Prestonsburg Community College
- Robert N. McLeod, Jr., M.D., Assistant Clinical Professor of Pediatrics, Department of Pediatrics, College of Medicine
- Herman C. Weber, Instructor, Architecture
- Richard L. Roth, M.D., Assistant Clinical Professor of Medicine, Department of Medicine, College of Medicine
- Mary L. Powell, Instructor, College of Nursing
- Woodrow W. Wilkins, Lecturer, Architecture
- Frida G. Surawicz, M.D., Assistant Professor, Department of Psychiatry, College of Medicine
- Whittie O. Weaver, Visiting Assistant Professor, College of Pharmacy
- Doris A. Berry, Instructor in Business Administration, Prestonsburg Community College
- Sherwin Fishman, D.D.S., Instructor, Department of Community Dentistry, College of Dentistry

GIFTS AND/OR GRANTS

Recommendation: that the gifts and/or grants listed below be ordered accepted and proper letters of appreciation sent to the donors.

Background: The names of donors, the amounts given, and the purposes for which the money is to be used are given below:

<u>Donor</u>	<u>Amount</u>	<u>Purpose</u>
Kentucky Section, American Society of Civil Engineers	\$ 250.00	Scholarships
Schlumberger Foundation	1,000.00	Scholarships
United States Steel Foundation, Inc.	7,200.00	Fellowship in History
Southern States Cooperative	2,600.00	Scholarships
✓ Mr. & Mrs. George Whittington	500.00	Scholarship at Henderson
Junior Achievement of Henderson	500.00	Scholarship at Henderson
Henderson City Lions Club	300.00	Scholarship at Henderson
Radio Station WSON	250.00	Scholarship at Henderson
Elks Auxiliary	500.00	Scholarship at Henderson
Heritage Woman's Club	110.00	Scholarship at Ashland
Howard Wholesale Company	250.00	Scholarship at Prestonsburg
Woman's Benefit Association	250.00	Scholarship at Elizabethtown.
Mulberry-Helm Elementary School	250.00	Scholarship at Elizabethtown
Woman's Club of Muldraugh	100.00	Scholarship at Elizabethtown
The First-Hardin National Bank	500.00	Scholarship at Elizabethtown
International Nickel Company	1,003.00	Scholarship in Engineering
Kentucky Association of Highway Contractors	500.00	Research
Kentucky Utilities Company	250.00	Scholarship in Engineering
Kentucky Concrete Pipe Company	500.00	Scholarship in Engineering
Union Light, Heat and Power Co.	500.00	Scholarship in Engineering
Metropolitan Woman's Club	1,000.00	Scholarship
Mason County Farm Bureau	200.00	Scholarship
Armco Steel Corporation	1,950.00	Scholarships
Kennedy Book Store, Inc.	370.00	Scholarships
Central Rock Company, Inc.	500.00	Scholarship
The Kroger Company	500.00	Scholarships
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	\$21,833.00	

✓ Mr. S. Alex Parker, 20 shares of R.J. Reynolds Tobacco Company Stock, for scholarships

On motion by Mr. Hillenmeyer and seconded, the report and the recommendations of the President were approved as presented.

F. Supplemental Recommendations of the President

The supplemental recommendations of the president are items routine in nature which were received too late to be included in the "Blue Report". Copies of the following report were made available to the members of the Board of Trustees and to the press:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

GIFTS AND/OR GRANTS

Recommendation: that the gifts and/or grants listed below be ordered accepted and proper letters of appreciation sent to the donors.

Background: The names of donors, the amounts given, and the purposes for which the money is to be used are given below:

<u>Donor</u>	<u>Amount</u>	<u>Purpose</u>
Kentucky Retail Farm Equipment Association	\$ 160.00	Scholarship in Agricultural Engineering
General Electric Foundation	520.00	Matching gifts of alumni
Kentucky Federation of Women's Clubs	500.00	Scholarship
The Sperry and Hutchinson Co.	500.00	Unrestricted grant
The Carrier Foundation	1,000.00	Scholarship in Engineering
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	\$ 2,680.00	

CONTRACTS AND/OR AGREEMENTS

1. Contract with Central States Forest Service, Columbus, Ohio

Recommendation: that approval be given to a contract between the University and Central States Forest Service, Columbus, Ohio, which is a division of the Forest Service of the U. S. Department of Agriculture, whereby Central States Forest Service will, for the sum of not more than \$4,500, make available to the University for carrying out the program of its Wood Use Development Center at Quicksand, Kentucky, the professional services of a qualified forest products technologist (whose qualifications for the work assigned shall be acceptable to the University) for three months during the period from October 1, 1964, to June 30, 1965, and that the appropriate officers of the University be authorized to execute the contract.

Background: The compensation to be paid the Central States Forest Experiment

Station under the contract is limited to the amount of salary paid the technologist by Central States during the time he is actually performing services for the University plus a per diem for not more than 65 days @ \$12.00 and his traveling expenses.

The Wood Use Development Center is in need of the services of a qualified forest products technologist, and Central States Forest Experiment Station has personnel that is experienced and well qualified for this work. Mr. Gilbert E. Brown, who performed this work for the Wood Use Development Center, resigned a few months ago and a replacement for him has not yet been found. The services offered by this contract will fill the need until a person who is qualified for the position can be employed. Dean William A. Seay has given his approval of the contract.

2. Agreement with Farmers Forage Research Cooperative, Lafayette, Indiana

Recommendation: that approval be given to an agreement, which is in the form of a memorandum of understanding, between the University and Farmers Forage Research Cooperative, Lafayette, Indiana, and that the appropriate officers of the University be authorized to execute the agreement.

Background: This agreement is for experimentation and research to be done by the University for the hybridization of red clover. This work is to be performed in cooperation with the United States Department of Agriculture over a period of three years, beginning July 1, 1964, and the Cooperative is to pay the University \$3,000 per year, or a total of \$9,000 for its experimentation and research. This agreement has been approved by Dean William A. Seay and by Dr. Charles E. Barnhart, Associate Director of the Experiment Station.

THE DENTISTS' SERVICES PLAN

Recommendation: that a "Dentists' Services Plan" for the University be approved.

Background: The Plan establishes policies and general procedures relating to compensation for services rendered by all dentists, regardless of specialty, with full-time faculty appointments in the College of Dentistry. This Plan is applicable to compensation derived from salary and from the Dental Services Account both of which are established by the University Board of Trustees. The Plan is applicable to all services of such faculty members incident to the care of patients and to all other activities which are a part of the Medical Center program. Income from publications and honoraria for lectures or other activities which are not a part of the Medical Center program are excluded from the Plan, but would remain subject to policies and regulations of the Board of Trustees. The Plan sets forth the same policy bases as previously approved for the Medical Services Plan.

UNIVERSITY OF KENTUCKY MEDICAL CENTER

DENTISTS' SERVICES PLANARTICLE I. NAME OF THE PLAN:

The Plan shall be known as the DENTISTS' SERVICES PLAN of the University of Kentucky Medical Center.

ARTICLE II. SCOPE OF THE PLAN:

The Plan establishes policies and general procedures relating to compensation for services rendered by all dentists, regardless of specialty, with full-time faculty appointments in the College of Dentistry. This Plan is applicable to compensation derived from salary and from the Dental Services Account both of which are established by the University Board of Trustees. The Plan is applicable to all services of such faculty members incident to the care of patients and to all other activities which are a part of the Medical Center program. Income from publications and honoraria for lectures or other activities which are not a part of the Medical Center program are excluded from the Plan, but would remain subject to policies and regulations of the Board of Trustees.

ARTICLE III. PURPOSE OF THE PLAN:

The purpose of the Plan is to facilitate proper functioning of the teaching, research and service programs of the Medical Center, consistent with the integrity and responsibilities of the University. With this purpose paramount in consideration, the operation of the Plan will be evaluated periodically with the underlying principles hereinafter set forth as guides.

ARTICLE IV. DEFINITIONS:

(a) The "FUND" as used herein means the Fund for Advancement of Education and Research in The University of Kentucky Medical Center, a non-profit corporation organized in 1959 under the laws of Kentucky to promote, advance and support the educational, research and other purposes of the University of Kentucky Medical Center. The "BOARD OF DIRECTORS OF THE FUND" means the Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, which Board consists of five members, including the President of the University of Kentucky, the Vice-President for the Medical Center of the University, the Chairman of the Executive Committee of the Board of Trustees of the University and two members appointed by the Board of Trustees of the University.

(b) The "DENTAL FACULTY ADVISORY COMMITTEE OF THE FUND" as herein used means that Committee of the Fund for Advancement of Education and Research in the University of Kentucky Medical Center appointed by the Board of Directors of The Fund pursuant to Article X of this Plan.

(c) The "FACULTY ADVISORY COMMITTEE OF THE COLLEGE OF DENTISTRY" as herein used means that Committee of the College of Dentistry appointed by the Dean of the College of Dentistry as provided in Article IX of this Plan.

(d) The "CLINICAL DEPARTMENTS" as herein used mean the following departments of the College of Dentistry: Operative Dentistry, Fixed Prosthodontics, Prosthodontics, Periodontics and Endodontics, Pedodontics, Orthodontics, Oral Surgery, Oral Pathology, Oral Diagnosis-Oral Medicine, Community Dentistry, and any other departments that in the future may be established and designated as clinical by the Board of Trustees of the University. "BASIC SCIENCE DEPARTMENT" as herein used means any of the other academic departments of the College of Dentistry not specifically included in this definition of Clinical Departments.

(e) The "DENTAL SERVICES ACCOUNT" as herein used means that separate depository account to be established as provided in Article VII of this Plan.

(f) The "BOARD OF TRUSTEES" as herein used means the Board of Trustees of the University which by law is the governing board of the institution.

ARTICLE V. UNDERLYING PRINCIPLES:

(a) Dental care will be provided in the Medical Center because it is essential to the teaching and research programs and to the proper use of the facilities and skills in the Center to reinforce health care resources in local communities throughout the Commonwealth.

(b) The kinds and volume of dental care provided by the Medical Center will be determined by the needs of the teaching, research and service programs of the Center. Income derived from the provision of such care is incidental to the purposes of these programs and in this sense is properly considered a "by-product" of their operation.

(c) Medical Center patient care facilities will be used exclusively for activities which are part of the Center's teaching, research and service programs and separated areas will not be provided for private clinics or pavilions. All patients admitted to the Medical Center will receive care as part of the teaching and research program.

(d) An optimal teaching environment will imbue students with

understanding, sympathy and respect for the patient as a person and will cultivate attitudes which are consistent with the highest ideals of the dental profession. To develop in students the ability to relate to patients differing widely in personality and environmental factors requires that teaching patients not be drawn from a single segment of society but rather that they represent a cross-section of the population. Moreover, optimal use of the Center's facilities in serving the needs of research activities requires absence of arbitrary restriction by social classification of patients. Such restrictions also would impair the value and usefulness to the people and dentists of the Commonwealth of the dental care resources available in the Center to reinforce resources available in local communities. In drawing teaching patients from all segments of the population, it will be the intent of the Medical Center to follow to the fullest extent possible the principle of strengthening the relationships between patients and their dentists.

(e) The professional interests of full-time members of the clinical faculty of the College of Dentistry should be concentrated in academic dentistry. Arrangements creating financial incentives for full-time faculty members which would tend to divert or dilute their concentration on teaching, research, and program responsibilities are not consistent with the mission of the Medical Center. Moreover, arrangements providing incentives for full-time members of the clinical faculty to compete with practicing dentists for private patients would place the Medical Center in a competitive rather than a supportive role.

(f) Each full-time member of the clinical faculty can rightfully expect:

1. The facilities, material and support needed for his teaching and research,
2. Opportunity to maintain and develop his clinical skills,
3. Compensation for his work on a basis which recognizes his responsibilities, competence, and productive effort and which is reasonably in line with compensation which he could obtain elsewhere in academic dentistry.

Success in attracting and holding an outstanding clinical faculty will depend on meeting these expectations.

(g) Assurance that the level of compensation for full-time members of the clinical faculty is competitive with other good teaching centers and adequate to attract and maintain a strong, competent faculty is basically the responsibility of the University. Responsibility should rest with the University not only for ensuring that initial and subsequent levels of remuneration are adequate, but also for ensuring that such levels are not excessive. Through budgetary and related actions, the University should, to the extent possible and permissible, assure the faculty member that his total remuneration from all sources will be in accord with a predetermined level which is fixed consistent with adequacy and which is periodically reviewed.

(h) Payments received for dentists' services rendered by members of the full-time faculty of the College of Dentistry should be used only for remuneration of such faculty, in such manner and in such amounts as determined by the Board of Trustees, and for such other purposes in the Medical Center as may be recommended by representatives of the full-time faculty of the College of Dentistry.

(i) With respect to each member of the full-time clinical faculty of the College of Dentistry, the amount of total compensation will be established by the Board of Trustees in the operating budgets of the Medical Center. The manner and extent to which compensation from the Dental Services Account is combined with other compensation will be reviewed annually by the Board of Trustees with the objective of providing stability of total compensation without impinging on the freedom of faculty members to apportion their time and efforts among teaching, research, and patient care activities as determined by their interests and program responsibilities.

ARTICLE VI. SETTING OF CHARGES FOR DENTISTS' SERVICES:

Standard schedules of charges for dentists' services, as developed from time to time by the various clinical departments of the College of Dentistry, subject to review by the Faculty Advisory Committee of the College of Dentistry and approval by the Dean of the College of Dentistry, will be the general basis for assessing such charges to patients. However, the amount of charges to any individual patient may be adjusted as determined by the dentist rendering the services, if variation from standard charges is deemed by him to be warranted by the circumstances.

ARTICLE VII. BILLING AND COLLECTION OF CHARGES FOR DENTISTS' SERVICES:

Charges for dental services rendered by the full-time members of the faculty of the College of Dentistry will be billed by the Medical Center for payment at the time services are provided or subsequently, with such charges and billings being appropriately coordinated with charges and billings for other services to patients. Collection of all amounts so billed will be handled by the Medical Center. Amounts collected for combined charges for dental services and undesignated receipts from or on behalf of individual patients who receive dental services will be applied on appropriate bases of proration to payment for dentists' services and to payment for other services. Major problems relating to collection from patients of charges for dentists' services will be handled in a manner that is subject to the concurrence of the dentist rendering the services to the patient. Any payments, other than compensation as established by the Board of Trustees, which are received by full-time faculty members for professional services within the scope of the Plan shall be transmitted on a current basis to the Medical Center for inclusion with other funds collected for dentists' services.

All funds collected or received by the Medical Center for dentists' services rendered by members of the faculty of the College of Dentistry shall be so collected and received by the Medical Center as Trustee for the Fund for Advancement of Education and Research in the University of Kentucky Medical Center. Such funds shall be segregated upon receipt and shall be paid over by the Medical Center to the Fund by depositing the same in a separate account of the Fund in such depository as may be designated. Such separate account shall be designated and known as the Dental Services Account of the Fund.

ARTICLE VIII. USE OF FUNDS DEPOSITED IN THE DENTAL SERVICES ACCOUNT:

As a standing policy, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center will use and expend all monies and funds deposited in the Dental Services Account to the extent available for the following purposes:

(a) Compensation to members of the clinical faculty of the College of Dentistry in such amounts as may be necessary to provide the difference between other University compensation and the total compensation as established by the Board of Trustees in the operating budget of the Medical Center. The operating budget will show the amounts to be paid from the Dental Services Account, which amounts are dependent upon the availability of funds.

(b) Such other purposes in the Medical Center as from time to time may be recommended to the Board of Directors of the Fund by the Dental Faculty Advisory Committee of the Fund provided, however, that such funds may not at any time be used for payment to members of the faculty of the College of Dentistry of income in excess of the total compensation established for the individual members thereof by the Board of Trustees in the operating budget of the Medical Center.

ARTICLE IX. THE FACULTY ADVISORY COMMITTEE OF THE COLLEGE OF DENTISTRY:

The Dean of the College of Dentistry shall appoint a Faculty Advisory Committee of the College of Dentistry, which Committee shall consist of the chairmen of all clinical departments of the College, a member of one of the basic science departments of the College and such other faculty members as the Dean deems desirable. The Dean will serve ex-officio as Chairman of the Committee. The Committee will meet periodically and shall review the operation of this Plan, including matters relating to the applicability of the Plan to sources of income, standard schedules of charges for dentists' services as developed by the clinical departments and any other aspects of the operation of the Plan. This Committee shall make such recommendations as it may deem appropriate to the Dean of the College of Dentistry with respect to the modification of the policies and procedures provided by this Plan or utilized in its operation.

ARTICLE X. THE FACULTY ADVISORY COMMITTEE OF THE FUND:

The Board of Directors of the Fund will establish within the organizational structure of the Fund a Dental Faculty Advisory Committee, which shall consist of all the members of the Faculty Advisory Committee of the College of Dentistry. It shall be the duty of the Dental Faculty Advisory Committee of the Fund to make recommendations from time to time to the Board of Directors of the Fund on the use of monies in the Dental Services Account of the Fund, specifically with respect to use of such monies pursuant to subparagraph (b) of Article VIII of this Plan and within the purposes authorized by the Articles of Incorporation of the Fund. In the performance of its duty the Committee shall review the status of the Dental Services Account, for which reviews the Fund shall provide summary statements of deposits, expenditures and commitments by major categories.

ARTICLE XI. LIMITATIONS ON DENTAL PRACTICE BY FACULTY MEMBERS:

As a condition of employment, dentists who are full-time faculty members in the College of Dentistry will not maintain offices or engage in the practice of dentistry outside of the approved programs of the Medical Center except in infrequent and special circumstances as in emergencies and in other situations where provision of service is required by professional ethics.

ARTICLE XII. EFFECTIVE DATE:

Upon approval by the Board of Trustees of the University of Kentucky, the Dentists' Services Plan will be effective as of _____. Subsequent modifications or amendments of the Plan will be effective upon approval of the Board of Trustees or as of such date as may be specified by the Board of Trustees.

On motion made and seconded, the supplemental recommendations of the President were approved without objection and ordered to the record.

G. Administrative Appointment

President Oswald reminded the members of the Board of Trustees that last May when the University budget was adopted, it provided for a position of Vice President-University Relations. He explained that three key figures in the University's program of public relations would be responsible to the new Vice President: (1) the director of alumni affairs; (2) the director of public relations; and (3) the new position of director of development. The new Vice President would also work very closely with the President on relations with the public, alumni, faculty, and all University public information programs. The recommendation of the President was for the appointment of Dr. Glenwood L. Creech, a native Kentuckian and alumnus of the University. As background information, President Oswald told the Board that Dr. Creech received the bachelor of science degree in 1941 in agriculture; following service in World War II, he returned to the University for the master of science degree in agricultural education. Dr. Creech holds the doctor of philosophy degree from the University of Wisconsin where he served three years as an associate professor. In 1959, he joined the staff of the Kellogg Foundation,

his present position. President Oswald further stated that he felt Dr. Creech had the background necessary for the appointment and that the University was most fortunate in attracting one of its most able graduates back to the state. In addition to the title of Vice President-University Relations, the President also recommended that the position carry the title of Extension Professor of Agricultural Extension, with tenure.

Mr. Broadbent, a former classmate of Dr. Creech, made the motion for the approval of the recommendation for the appointment of Dr. Glenwood L. Creech as Vice President-University Relations and Extension Professor of Agricultural Extension with tenure as Extension Professor. Dr. Murray seconded the motion and unanimous approval was given by the Board.

H. Approval of July Candidates for Degrees

President Oswald presented to the Board the list of candidates who have completed requirements for degrees since the last commencement and stressed that approval of the Board was contingent upon the approval of the University Faculty.

APPROVAL OF DEGREE CANDIDATES

Recommendation: that approval, contingent upon subsequent approval by the University Faculty, be given to the list of candidates as submitted on the attached list with the understanding that all requirements have been met and that the President of the University be authorized and directed to confer upon each the degree to which he or she is entitled at the commencement exercises in May, 1965.

Background: Since the University Faculty does not meet until September 16, 1964, approval is, therefore, requested with the understanding that such approval is contingent upon subsequent approval by the University Faculty on September 16, 1964, and upon the proper certification by the Registrar's Office that all persons have satisfactorily completed all requirements for the degree for which application is made. It is possible, therefore, that some names may be deleted from the list but no additional names will be added.

TABULATION OF DEGREE CANDIDATES

JULY 1964

Graduate School		Arts and Sciences	
PhD	10	AB	31
EdD	3	BS	16
Spec Ed.	1	ABJ	2
MA	25	BM	<u>1</u>
MS	15		50
MS Agr.	16	Agriculture and Home Econ.	
MS H. Ec.	3	Agriculture	9
MS Agr. Engr.	1	Home Econ.	<u>8</u>
MSCE	2		17
MSEE	4	Engineering	
MS Mech Engr.	1	BS Agr. Engr.	1
MS Min Engr.	1	BSCE	4
MA Educ.	58	BSEE	5
MS Educ.	25	BSME	3
MBA	4	BS Min Engr.	<u>2</u>
MM	5		15
MSLS	<u>11</u>	Law	
	185	LLB	2
		Education	
		AB Education	67
		Commerce	
		BS Commerce	26
		Pharmacy	
		* BS in Pharmacy	1
		Nursing	
		BS in Nursing	<u>1</u>
			179

* As of August 21, 1964

GRADUATE SCHOOL

Candidates for the Degree of Doctor of Philosophy

Everett Wilford Cunningham
 Ledford Wayne Dobson
 Lawrence C. Grebstein
 Troy Walker Hinton
 James Hayden Igleheart

Donald Ray Kelley
 Richter Hermann Moore, Jr.
 Donald Ray Ramage
 Alfred Philip Tadajewski
 William H. Zuber, Jr.

Candidates for the Degree of Doctor of Education

Alberta M. Chapman
 Bonnie B. Hume

Leonard Clement McDowell

Candidate for the Degree of Specialist in Education

Ruth Willis Radcliffe

Candidates for the Degree of Master of Arts

Sandra Jane Alexander
 Carol Ann Beesley
 Rowena Holliday Boehling
 Rosemary Kent Boyer
 Konrad Justus Buschbeck
 Paul Butcher
 Jasper Creech
 Gilbert Lewis Crouse
 Richard McCullough Dom
 Charles Thomas Easterly
 William H. Gerlach
 Jeanne A. Goff
 John E. Hammer

Barbara D. Hickey
 David Leo Kruegel
 Eun Sul Lee
 Nedra Diane Lundberg
 David Winchell Novak
 Robert B. Phillips
 Doris Bowles Reichert
 John Francis A. Seggar
 Roger William Shipman
 Lee Houston Stinnett
 Louise Boswell Tipton
 Virginia Bess Witherspoon

Candidates for the Degree of Master of Science

John H. Brevit
 Pauline Rose Clance
 Darrel D. Dobbs
 Nancy Rodgers Dykes
 Larry Edward Erickson
 Charles Edward Holbrook
 Karl Francis Kuhn
 Joseph K. Long III

Ronald Eugene Pierce
 Carol Lois Reagles
 Utai Ruangwit
 LeRoy Victor Smith
 Sheldon Steiner
 James Wilson Stepp
 Donald Curtis Taylor

Candidates for the Degree of Master of Science in Agriculture

David Mitchell Allen	George Raymond Prigge
David Lee Coffey	Rochani Rasidi
Leslie Donald Colvin	Katherine K. Russell
Wallace I. Halcomb	Fred Ross Shank
William Edgar King	Irlan Soejono
William Kenneth Martin	James Tipton Thompson
Roy Mason Morrison	John H. Vaughan
Rankin Elwood Powell	Ralph D. Wood

Candidates for the Degree of Master of Science in Home Economics

Justika Ubuh Baharsjh	Neva Bush Walling
Rika Rochita Redmana	

Candidate for the Degree of Master of Science in Agricultural Engineering

George Arthur Duncan

Candidates for the Degree of Master of Science in Civil Engineering

Tommie E. Flora	Praful Raojibhai Patel
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Candidates for the Degree of Master of Science in Electrical Engineering

Ramanlal Ramubhai Amin	Terrell Anthony Lassetter
Harry Lee Hurd	Sushil Gajendrarai Munshi

Candidate for the Degree of Master of Science in Mechanical Engineering

Mohammed Junaid Barlas

Candidate for the Degree of Master of Science in Mining Engineering

Bruce Wayne Finley

Candidates for the Degree of Master of Arts in Education

Pauline Barbara Allen	Ralph H. Childers
Lynda Carol Beemer	Jane Freeman Crowell
John Pope Blackshear	Charles Walter Daugherty
Phyllis Payton Brandenburg	Sandra Davidson
Betty JoAnne Braden Burnett	Patsy McLain Davis

Anna Bizer Elam
 Harry Hawkins Farris
 Ruby Elliott Fleming
 Jack B. Friess
 William Woolfolk Gravely
 Elizabeth Megibben Griswold
 Paul Edwin Griswold
 Atha Jim Grogan
 Willis Ray Haws
 David Lawrence Heizer
 Alberta Hazely High
 Anne Patton Hilgartner
 Eugene Wesley Huddle
 Randall Trotter Hughes
 Jean Bell Janes
 Bobbie Jean Clark Karsner
 Elaine K. Kober Keen
 Ronald Kincer
 Lula Martin McInteer
 Mildred King McKinley
 John Lee Miller
 Teddy R. Morford
 Gerald E. Morris
 Lillie Begley Osborne

John Stansbury Owen, Jr.
 Eddie Kyle Parker
 Lillian Hutchins Parson
 Carol Jean Rush
 Clarence Edsel Salyer
 James Christopher Shifflett
 Mary Levi Smith
 John Kirklin Snider
 Gerald Duane Snodgrass
 Alice McCullough Strange
 William Rodman Tabb
 Gilbert B. Tanner
 James Robert Taylor
 Calvin Truman Terhune
 Dorothy Geraldine Thomas
 Barbara R. Timberlake
 Margaret B. Treadway
 Doris Ann Wallingford
 Arch C. Williams
 Mary Martha Williams
 John Elwood Wise
 Anna Mary Wolfe
 Edith Belle Yates
 Linda Lou Yong

Candidates for the Degree of Master of Science in Education

Louise Alton Bennett
 Nancy Elliott Bohannon
 Lloyd Lee Cain
 Mary Kelly Compton
 James Claudus Coomer
 Flora Evelyn Cates Denton
 Imogene Halcomb
 Geneva Belt Harrison
 Louise C. Hart
 Lena G. Hawkins
 Anna Lanon Johnson
 Charles E. Larew
 Mary Alice Lay

Pearl I. McManaman
 Russell Howard Parsons
 Wilmoth Arnold Rock
 Mary Ella Smith
 Vernon Binford Sutton
 John Cromwell Walsh
 Earl Lee Webb
 Murrell D. Webb
 Sue Ann West
 Oredia Carlene Whitaker
 David Thomas Wilson
 Harriette Hobdy Wilson

Candidates for the Degree of Master of Business Administration

Charles Frederick Berge
 Dudley Edwards Bryant III

M. Duane McInturf
 William Joseph Shumann

Candidates for the Degree of Master of Music

Selesta Faith Reid Alberg
 Charles Lowrance Bradley
 Celia Anne Butler

Phyllis Elaine Dixon
 Craig Ernest Tetrick

Candidates for the Degree of Master of Science in Library Science

Sara Kathryn Akers
 E. Ronald Bryson
 Nelva Giles Crum
 Bessie Drake Heeter
 Jo Ann White Koehne
 Frances Parrish Lester

Ann Wing Montgomery
 Elizabeth Lee Morgan
 James Norman O'Leary
 Lily Koeshartini Somadikarta
 Barbara Anne Woods

COLLEGE OF ARTS AND SCIENCES

Candidates for the Degree of Bachelor of Arts

Carol Jean Ambron
 Charles Patrick Beatty
 Wade Hampton Brown
 Darryl Patrick Calderon
 Felicia Shoemaker Carson
 George Robert Carter
 Catherine Lyle Cassidy
 James Edwin Doran
 Thomas Warren Finnie
 Charles E. Garrison
 John D. Goodin
 David Lee Gossman
 Dorothy Lee Gray
 Marguerite Lloyd Hagler
 Priscilla Mayleda Hauch
 Brown, Wilma B.

Curtis Edward Hemlepp, Jr.
 Margaret Elizabeth Hemphill
 Harriet Jane Hieber
 Thomas Rogers Kemp
 Charlene Lea
 Cheryl Huff McMurry
 Cap Carden Middleton
 Gregory Lee Monge
 Virginia Nester Patton
 David Carlos Short
 Patricia Jane Snell
 Bruce Robert Steibel
 John Thomas Taylor
 Patricia Colleen Wickham
 Gary Edward Williamson

Candidates for the Degree of Bachelor of Science

Barbara Carter Allen
 Daniel Ragan Baugh, Jr.
 Burl Paul Bogardus
 Paul Ezekiel Buck
 Slade L. Carr, Jr.
 Carrie Elizabeth J. Conrad
 Richard Alvin Crawley
 Benjamin B. Finzer

Robert Angelo Fusco
 Nancy Eunice Harmon
 Robert Lawrence Jones
 Theodore I. Leight
 Robert Joseph McDaniel
 Sally Crossland Money
 Ann Carolyn Price
 Thomas C. Vanaman

Candidate for the Degree of Bachelor of Arts in Journalism

John Pipes Gaines

Carol Anne Keller

Candidate for the Degree of Bachelor of Music

Lois Jean Miller

COLLEGE OF AGRICULTURE AND HOME ECONOMICS

Candidates for the Degree of Bachelor of Science in Agriculture

Jerald Paul Becker

Sara Jane Phar

William Gex Diuguid

Philip Howard Sewell

Alan Lyons

Kenny Lane Wade

John Paul Nelson

Stewart McLean Watson

James Edward Peake

Candidates for the Degree of Bachelor of Science in Home Economics

Nada Orene Dillard Berry

Betty Faye Neely

Esther Janette Brown

Carol Baker Parrish

Mary Jo Dixon

Stephanie Rapier Spain

Catharine E. Hepner

Soon Wha Yu

COLLEGE OF ENGINEERING

Candidate for the Degree of Bachelor of Science in Agricultural Engineering

Luther C. Godbey

Candidates for the Degree of Bachelor of Science in Civil Engineering

M. Ashraf Ali

Thomas Milton Rachford

Paul French Haggard

Dallous Reed, Jr.

Candidates for the Degree of Bachelor of Science in Electrical Engineering

William Hurley Fleming

Bolivar Bernard Reamy

Donald Woodrow Jones

John Richard Sweeney

Robert Ernest Ratcliff

Candidates for the Degree of Bachelor of Science in Mechanical Engineering

Donald Ray Harris

Thomas Speed Reece

Harry McKinley Long

Candidates for the Degree of Bachelor of Science in Mining Engineering

George Edwin Harvey, Jr.

Dennie Hobart Swetnam

COLLEGE OF LAW

Candidates for the Degree of Bachelor of Laws

Robert William Baxter

Donald Joseph Hrabovsky

COLLEGE OF EDUCATION

Candidates for the Degree of Bachelor of Arts in Education

Jean Patterson Abell
 Ruby C. Amburgey
 Peggy Ball Arnold
 Connie Jerree Baker
 Orbin Banks
 Penny Ann Beatty
 Robert John Blake, Jr.
 Daniel Gardner Boeh
 Edmund T. Bullock
 Carole Ann Burdon
 James Keller Burns
 Juanita Marie Carr
 Thomas Hummel Castlen
 John Grafton Corwin
 Julia Katherine Daily
 Lois Jean Dotson
 Dorothy C. Everage
 Joe Ann Bates Eversole
 Pauline Caldwell Green
 Lois Spilman Gutman
 Edwin P. Hall
 Wanda Barnes Hill
 Beverly Sue Jordan
 Maxine Sue Collins Karsner
 Mary Lou Kearns
 Ella Janice Koger
 JoAnn Janet Laise
 Charlene Cox Landes
 Marie H. Lavender
 Nancy Holt Lockett
 Judy Carroll McDaniel
 Lorene Kay McIntire
 Mildred S. McParland
 Elsie White Marcum

Susan E. Metzger
 Barbara Sue Meyers
 Helen Joan Mullins
 Elizabeth C. Nichols
 Dan William Omlot
 Marilyn Rae Orme
 Judy C. H. Owens
 Nancy Lee Park
 Jeanette Rigg Pierce
 Jack Duane Purvis
 Margaret A. Richardson
 Margaret M. Rose
 Mary Jessamine Sauls
 Eugene Gilbert Sayre
 Judith Wentzell Schad
 Larry Joseph Schad
 Mildred Lee Schirmer
 Ima Jean Secchi
 Glenda Joyce Simmons
 Arnita Clark Stephens
 Viola T. Stratton
 William Clark Stump
 Annie Laurie Thompson
 Judith Katherine Tobin
 Billie Jean VanHook
 Gwenda Faye Van Meter
 Roberta Gail Vincent
 Martha Gooch Waddill
 Rosamond Begley Ward
 Richard Anthony Williams
 Barbara Audrine Wilson
 Carolyn Ann Woodward
 James Wyrick

COLLEGE OF COMMERCE

Candidates for the Degree of Bachelor of Science in Commerce

Lee Burgen Beckham	Spencer Ray Konicov
Roger Mayo Boggs	James William Leslie
Thomas Clinton Brite	Rufus Lisle, Jr.
Robert Leroy Catlett, Jr.	James Clark May
William Montgomery Corum	Ronald Jules Michaux
Marvin Estill Embry, Jr.	James Ernest Nelson
Jerry Ray Frederic	Frank Reaves, Jr.
Jon Robert Halsall	Gerald Lewis Robinson
Jo Ann Holloway Helton	Cratis Maxwell Shannon
Larry Lee Henry	Don Roger Simmons
James Robert Hutchison, Jr.	Linda Fay Tarvin
George William Irvine	Wayne Francis Tolle
David Shaw Jordan	Joana Ellen Welch

COLLEGE OF PHARMACY

Candidate for the Degree of Bachelor of Science in Pharmacy

*Stephen Lee Webb

COLLEGE OF NURSING

Candidate for the Degree of Bachelor of Science in Nursing

Eileen Ann Kearney

*Degree awarded as of August 21, 1964.

On motion by Dr. Angelucci, seconded by Mr. Ezelle, approval was given to the recommendation presented by President Oswald.

I. Revision of Two Sections of the Governing Regulations

In October, 1963, with the advice and concurrence of the Faculty Council and the authorization of the Board of Trustees, President Oswald issued a series of policies designed to help promote growth in the kind of academic environment which would lead to the retention and recruitment of the highest quality faculty. Among these were policies to govern the appointment and promotion of faculty members and the appointment and review of department chairmen. If, in order to implement these policies, revisions of the Governing Regulations were necessary, President Oswald said he would report the proposed revisions to the Board. Thus, the President presented recommendations for revisions to two sections of the Governing Regulations -- Article XI, Section 3 (with the revisions approved by the Board, April 2, 1963) (Tenure) and Article IX, Section 18 (Department Chairman).

Since there were two recommended revisions, President Oswald presented them separately.

ARTICLE IX, SECTION 18

DEPARTMENT CHAIRMAN

The Chairmen of Academic Departments

a. Appointment

The chairman of an academic department is appointed by the Board of Trustees upon recommendation from the President. The Dean of the college shall appoint a search committee which shall recommend a candidate or candidates to the Dean after conducting necessary interviews and consultations within and without the department, but particularly with the tenure members of the department. The committee may search for candidates within and outside the department. The Dean of the college shall transmit the findings and recommendations of the search committee, together with his own recommendations, to the President. The term of a department chairman's appointment shall be four years, except in the Colleges of Agriculture and Home Economics, Medicine, Nursing, and Dentistry, where it shall be six years.

b. Reappointment

A chairman may be reappointed after review by a faculty advisory committee appointed by the President. The advisory committee shall consult with department members and such other persons as it deems

DEPARTMENT CHAIRMAN

necessary, and shall take into consideration the particular circumstances and needs of the department. Reappointment beyond the second term may occur when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

c. Duties

It shall be the duty of the department chairman to initiate departmental planning in order to achieve proper development of the department's research and teaching capabilities and to project and meet the educational needs of the student body. The department chairman shall have administrative responsibility for implementing the department's program within the limits established by regulations of the University, policies of the University Faculty, and rules of the college. This responsibility includes general administrative supervision of academic requirements, courses of study, class schedules, teaching assignments, and reports. It shall be his duty to evaluate department members periodically in accordance with established criteria, and to recommend to the Dean appointments, promotions, dismissals, and matters affecting tenure. He shall prepare departmental budget recommendations for submission to the Dean. As department chairman, he shall preside over all departmental meetings, be an ex officio member of all departmental committees, and serve as departmental spokesman. In the administration of his office the chairman shall recognize the individual responsibility of other members of the department for the discharge of the duties committed to them by their appointments and shall allow proper scope to the ability and initiative of all members of the department.

In discharging his duties, the chairman shall consult with the members of his department through regular department meetings, conferences, and discussions on all matters of departmental policy and assignments. While such matters as promotions and salary increases may be more appropriate for individual conferences, primarily with tenured members of the department, most matters of policy are appropriate for consideration in group conferences and regular meetings in which all department members should participate. In the event a department chairman feels it necessary to depart from departmental opinion, he must inform his staff and invite comments. The departmental position and his reasons for departing from it should be communicated to the Dean when the chairman forwards his recommendation to the Dean.

Mr. Smith, expressing the opinion that it was an excellent statement, moved for the adoption of the revision to the Governing Regulations, Article IX, Section 18, (Department Chairman). Mr. Hillenmeyer seconded the motion and the members of the Board of Trustees approved the revision of the section relative to Department Chairman.

The second recommended revision to the Governing Regulations was Article XI, Section 3 (Tenure). President Oswald said that there had been no basic changes in tenure in years and that the proposed statement is a more clearly defined procedure for determining who has tenure and who does not.

ARTICLE XI, SECTION 3

TENURE

TYPES OF APPOINTMENT

The tenure of the President of the University shall be continuous unless otherwise specified by the Board of Trustees. Full time academic appointments shall be of two kinds: (1) non-tenure appointments and (2) appointments with tenure. After the expiration of a review period, non-tenure appointees may be granted tenure.

REVIEW PERIODS

Non-tenure appointments may be for one year or for other stated periods, subject to renewal, but the total non-tenure period shall not exceed seven years, including previous full time service with the rank of instructor or higher in other institutions of higher learning; provided, that in the case of a faculty member with more than three years in the academic profession, who is called from another institution and appointed at the rank of associate professor or below, it may be required that he serve in a probationary status for a period not to exceed four years, even though thereby his total probationary period in the academic profession is extended beyond seven years. Individuals initially appointed at the rank of full professor may be given non-tenure status for a period not to exceed one year. An individual shall not remain at the rank of instructor for more than three years. If after that period promotion to a higher rank cannot be justified, employment as an instructor at the University shall be terminated. The review period for academic personnel at the University who do not have tenure status and who were employed by the University at the time of the revision of the Governing Regulations (December, 1960), will be completed on July 1, 1966.

TENURE

Following appropriate review periods not exceeding in duration those described above, all persons of associate professor or higher rank shall be given tenure or have their appointments terminated; all persons of assistant professor rank (or equivalent ranks as adjudged by the President) shall (1) be promoted to associate professor with tenure, (2) be transferred to a non-research rank with tenure, or (3) have their appointments terminated. Established appointment, promotion, and review procedures shall be followed in making these decisions.

Existing tenure appointments are not affected by any of the foregoing regulations.

APPOINTMENT RECORD

The precise terms and conditions covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the appropriate administrative officials and the appointee at least three months before the renewed appointment begins. It shall be a responsibility of the Executive Vice President to insure compliance with this regulation.

NOTIFICATION OF TERMINATION: NON-TENURE APPOINTMENTS

Temporary, visiting, or short-term appointments (one academic year or less) terminate at the expiration of the term without notice. For those employed year-to-year on a fiscal or academic year basis, notification of termination of employment during the first six years shall be given no later than December 1, prior to the terminal date. When termination of employment is to occur at the end of the seventh fiscal or academic year, notification shall be made no later than two semesters before the end of that year.

REASONS FOR TERMINATIONS

Except in cases of financial emergency, the termination of a tenure appointment or the dismissal of a person prior to the expiration of a non-tenure appointment, shall, in accordance with Ky. Rev. Stat. 164.230, be only for reasons of "incompetency, neglect of or refusal to perform his duty, or for immoral conduct." If a tenure appointment is terminated because of financial emergency, the released faculty member's place shall not be filled by a replacement within a period of two years, unless the released faculty member has been offered re-appointment and has declined.

TENURE

HEARINGS

Termination for cause of a tenure appointment or dismissal of a faculty member during a limited appointment shall be preceded by a statement of reasons from the President and, upon request of the faculty member, an opportunity to be heard by the Faculty Committee on Privilege and Tenure. The faculty member shall be informed in writing by the President of the charges against him at least twenty days prior to the hearing. At least seven days prior to the hearing, the faculty member must answer the charges in writing.

During the hearing proceedings the faculty member will be permitted to have an advisor of his own choice, who may act as counsel. A full stenographic record of the hearing, if one is held, will be taken and made available to the parties concerned. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this or other institutions of higher education. Upon conclusion of the hearing, the Faculty Committee on Privilege and Tenure shall recommend a decision to the President, who shall review the recommended decision of the committee and make a recommendation to the Board of Trustees. Final decision rests with the Board of Trustees. A decision adverse to the faculty member may be made only after an opportunity for an additional hearing before the Board of Trustees as required by Ky. Rev. Stat. 164.230.

If a faculty member on a non-tenure appointment alleges that a decision not to reappoint him was caused by considerations violative of academic freedom, or that he was given less advance notice than that specified in these regulations, his allegations shall be given preliminary consideration by the Faculty Committee on Privilege and Tenure. If the committee concludes that there is probable cause for the faculty member's allegations, the matter shall be heard by the committee in the manner set forth above, except that the faculty member will be responsible for stating the grounds on which he bases his allegations and the burden of proof will rest on him. The committee shall recommend a decision to the President, who shall make a final decision in the matter or recommend a decision to the Board of Trustees.

RESIGNATION

Notice of resignation should be given early enough to obviate serious inconvenience to the University. If a faculty member desires to terminate an existing appointment, or to decline a renewal in the absence of notice of non-renewal, he shall give written notice of not

less than three months if his rank is instructor or assistant professor, and not less than four months if his rank is higher, before the end of his duties during an academic year (exclusive of a summer session), but he may properly request a waiver of this requirement in case of hardship or in a situation where he would otherwise be denied substantial professional advancement.

FACULTY ADMINISTRATORS

Administrative personnel who hold academic rank are subject to the foregoing regulations in their capacity as faculty members.

Professor Oberst commented on the merits of the statement and stated that he thought it was most significant that the Board of Trustees approve the revised statement on tenure as the University enters its second century. Dr. Harry Sparks moved for adoption, seconded by Mr. Foster. By vote of the members, approval was given for the revision of the Governing Regulations Article XI, Section 3 (Tenure).

J. Establishment of University Fund

Mr. Kerley, Vice President-Business Affairs, presented this item of the agenda.

ESTABLISHMENT OF UNIVERSITY FUND

Recommendation: to establish a University Fund to be used for additions to and improvement of physical plant, purchase of equipment, acquisition of land and of such other purposes as recommended by the President. Appropriations from this fund will be recommended by the President to the Board of Trustees.

Background: The University of Kentucky in the reclassification of fund groups had unexpended balances amounting to \$1,851,318.93 from current and plant funds and General Fund appropriations, which are available for a University Fund.

The modification, re-direction and changing emphasis of the University's academic plan makes it appropriate to consolidate and reallocate the available funds to programs assigned the highest priority to carry out the academic plan.

University Fund
Statement of Unexpended Fund Balances
and
General Fund Appropriations

Source of Funds:

Fund Balances

Restricted Fund Accounts \$ 1,180,559.69

Unexpended Plant Funds:

University of Kentucky Funds 236,187.95

State Capital Construction Funds 108,130.06

Total Fund Balances \$ 1,524,877.70

General Fund Appropriations

Major Improvements Regular \$ 75,000.00

Major Improvements--Medical Center 150,000.00

Total General Fund Appropriation \$ 225,000.00

Fire Loss Proceeds

Old Beef Barn (Net) \$ 34,000.00

Psychology Annex 32,741.80

West Kentucky Substation 5,048.33

Agr. Eng. 1,864.10

Agricultural Experiment Station Building 27,787.00

Total Fire Loss Proceeds \$ 101,441.23

GRAND TOTAL \$ 1,851,318.93

Following a short discussion, Mr. Smith made the motion for the establishment of the University Fund; seconded by Mr. Hillenmeyer, approval was given by the members of the Board for the establishment of the University Fund.

K. Appropriation from the University Fund

Following the establishment of the University Fund, Mr. Kerley presented the next recommendation.

APPROPRIATION FROM THE UNIVERSITY FUND

Recommendation: that the President be authorized to appropriate from the University Fund amounts for the following purposes and that the President report to the Board of Trustees quarterly on actions taken under this authority.

1. Fifty thousand dollars or as much thereof as may be necessary to match awards from NSF for the acquisition of undergraduate scientific instructional equipment.
2. Four hundred thousand dollars to fulfill the resolution of the Board of Trustees at their meeting on August 21, 1964 to advance to the Commonwealth of Kentucky \$400,000 or so much thereof as may be necessary to finance construction costs of Community Colleges at Hopkinsville and Somerset, Kentucky, with the understanding that funds actually advanced will be returned to the University Fund from proceeds of future bond issues or such other funds as may become available to the Commonwealth of Kentucky.
3. An appropriation of \$800,000 for additions to and improvement of physical plant.
4. Two hundred thousand dollars for land acquisition.

On motion by Mr. Hillenmeyer, seconded by Dr. Angelucci, approval was given for appropriations from the University Fund as recommended.

L. New Group Life Insurance Program

Mr. Kerley explained to the Board that recently President Oswald had requested the Employees Insurance Committee to explore the possibilities of improving this area of fringe benefits, and made the following recommendation:

REVISED GROUP LIFE INSURANCE PROGRAM

Recommendation: that the President be authorized to obtain bids from all interested insurance carriers on the basis of the attached specifications for the purpose of establishing a new University of Kentucky Life Insurance Program.

Background: The existing group life insurance program has been operative since May 1, 1932. The program has retained its essential provisions without modification since that time, except that in December 1959 the insurance coverage for the employee was doubled by applying the policy dividend to the purchase of the increased insurance coverage. All regular full-time employees are required to take group life insurance as a condition of employment and the amount of insurance carried is determined by salary levels, however, the current maximum amount of insurance for any employee is limited to \$5,000. This maximum amount of insurance is limited to those employees earning \$4,000 annually or more.

Recently, President Oswald requested the Employees Insurance Committee to explore the possibility of improving and liberalizing the Group Life Insurance Program. The Committee was directed to explore various alternatives and to submit a recommendation to the Administration for review and tentative approval. The attached

specification list represents the major items recommended for incorporation in a new University of Kentucky Group Life Insurance Program.

It is anticipated that the new levels of coverage proposed will not cost University employees more than the present cost per \$1,000 of coverage.

PROPOSED PROVISIONS OF A REVISED UNIVERSITY
OF KENTUCKY GROUP LIFE INSURANCE PROGRAM

1. The program would continue to be applicable to all regular full-time employees of the University.
2. Proposed Insurance Schedule

Mandatory Insurance Coverage

Employees with annual University of Kentucky Salaries of	Amount of Life Insurance
less than \$4,000	\$4,000
\$4,000 or more	5,000

Elective Insurance Coverage

A schedule to be developed. The intent of the schedule will be to make it possible for each employee to elect additional coverage to a level approximating one and one-half times his annual salary.

3. Mandatory Coverage Provision
 - a. All active regular full-time employees will be required to participate in the basic insurance program as indicated by the above schedule.
 - b. The employee shall elect to take either the minimum basic coverage required by the above salary schedule or the amount indicated for his appropriate salary bracket.

4. Premium Rate

The same rate for \$1,000 of coverage will apply for all employees regardless of age or sex.

5. Premium Payment

The full premium cost of any amount of insurance carried by the

employee will be paid by the employee.

6. Retired Employees

Upon retirement from active service, the future retiree will be ineligible to participate in the group insurance program. However, those currently retired persons who now have life insurance coverage will be permitted to continue in the program to the extent of their present insurance coverage.

7. Conversion and Disability Provision

The conversion and disability provisions as stated in our current Life Insurance Program will continue without modification.

8. Optional Feature

An option to provide limited coverage for wife and children, with a college age clause included, shall be made available to the employee.

Mr. Smith congratulated Mr. Kerley and the committee on an outstanding job and stated the University would compare favorably with any college in the country with the implementation of such a program of benefits. On motion by Mr. Smith, seconded by Mr. Kingsbury, the proposed revision in the life insurance program for University employees was approved.

M. Amendment to Retirement Resolution

Mr. Kerley asked deferment of action on amending the retirement resolution until a later meeting.

N. Resolution Authorizing Treasurer to Sell Securities

After reminding the Board that from time to time it is necessary to request approval for selling certain securities, Mr. Kerley made the following recommendation:

SALE AND LIQUIDATION OF STOCKS, BONDS AND OTHER INVESTMENTS

Recommendation: that the following resolution be adopted by the Board of Trustees of the University at its meeting on September 15, 1964:

Resolved First that, in addition to the powers heretofore given to Robert F. Kerley, Vice President-Business Affairs and Treasurer, and to Clay Maupin, Controller and Assistant Treasurer, the said Mr. Kerley and Mr. Maupin, and each of them, shall be and they

are hereby given, jointly and severally, full power and authority to execute endorsements, transfers, and assignments on certificates of capital stock, and of other securities and investments owned by the University of Kentucky, including certificates of deposits with banks, certificates issued by federal savings and loan associations and negotiable and non-negotiable bond documents and to do all things for and in the name of the University of Kentucky that are necessary to sell, liquidate, and collect the proceeds from the sale of such stocks, deposits, bonds and other securities and to transfer same, if appropriate, on the books of the proper corporation or firm, and

Resolved Second that Mr. Kerley and Mr. Maupin shall make quarterly reports to the President and the Board of Trustees, showing the stocks, bonds and other investments that have been sold and liquidated by them under this authorization during the previous three months, and that the first such report shall cover the period July 1 through September 30, 1964.

A copy of this resolution attached to a certificate of stock, a certificate of deposit, a certificate issued by a federal savings and loan association or to a bond document, shall be taken as full authority to Mr. Kerley and to Mr. Maupin, or either of them, for the making and execution of endorsements, transfers, and assignments on such certificates and documents and for the delivery of same to the purchasers thereof.

Background: The University owns a number of shares of stock in various corporations and a good portion of this stock has come from bequests in wills and in the form of gifts to the University. The University also owns bonds and has various other investments. We recently received twenty shares of common stock in the R. J. Reynolds Tobacco Company, and the donor of this stock asked that it be sold and the proceeds placed in a scholarship fund.

The issuing corporations require evidence that the individual or individuals who are designated to sell and transfer stocks, bonds, and other investments owned by the University have been so authorized by the governing body. The above resolution, being applicable to all stocks, bonds and investments held by the University, will serve that purpose not only in the sale of the above R. J. Reynolds Tobacco Company stock but can be used as an authorization to Mr. Kerley and Mr. Maupin, or either of them, for selling other stocks, bonds, and other investments owned by the University, when such action is deemed desirable.

Stating that this was purely a legal requirement, Mr. Smith moved for approval of the resolution. Judge Sutherland seconded and the Board approved the resolution authorizing the treasurer, Mr. Kerley, and the controller and assistant treasurer, Mr. Clay Maupin, to sell securities held in the name of the University, reporting such transactions quarterly to the President and the Board of Trustees.

O. New Education Building Named

President Oswald made the following statement:

"Mr. Chairman, I should like at this time to propose the naming of the new education building. As you know, we have just completed and are occupying a new building which houses the College of Education and its activities. This building is scheduled to be dedicated next spring as one of the Centennial functions of the University.

"It gives me a great deal of pleasure to recommend that this building be named for a former president of the University and a former dean of the College of Education--Dr. Frank G. Dickey, and subsequently the fifth president of the University of Kentucky. It is appropriate that a UK building be named for him and especially the one housing the College of Education. This is my first recommendation that a building be named and I would like to recommend that the new education building be known as the Frank G. Dickey Hall."

Dr. Sparks, as a former classmate and friend of Dr. Dickey, said he was very happy to make the motion to name the new education building, the Frank G. Dickey Hall. Dr. Angelucci seconded the motion which was approved unanimously by the Board of Trustees. President Oswald then informed the members of the Board that Dr. Dickey and other dignitaries would be invited to the dedication of the building which would bear a plaque indicative of the action of the Board of Trustees.

P. Governor Breathitt Appoints Advisory Boards for Two Community Colleges

Governor Breathitt announced that he was prepared to name Advisory Boards for two of the community colleges at this meeting and would name the Boards for the other colleges at a later date. The Boards were selected after consultation with President Oswald, Dr. Hartford, and leaders in the communities concerned. He indicated that the original appointments to the Boards are for staggered terms with future appointments to be four year terms. The terms are staggered to provide continuity and to prevent the naming of a complete Board by any one Governor. The Boards will serve only in an advisory capacity to the University administration.

After explaining the method of selection, Governor Breathitt made the following appointments for the Elizabethtown Community College: Lee E. Stearman, 1 year, Munfordville; James D. LaRue, Jr., 2 years, Hodgenville; Bernie D. Sears, 2 years, Radcliffe; Charles L. Morgan, 3 years, Brandenburg; Robert C. Wade, 3 years, Glendale; J. Ray Jenkins, 4 years, Elizabethtown; Mrs. C.B. Morgan, 4 years, Leitchfield.

Also announced by Governor Breathitt was the Advisory Board for the

Hopkinsville Community College: Mr. W.D. Talbert, Chairman, 4 years, Mr. Louis Langhi, 1 year, and Mr. Frank Lacy III, 2 years, all of Hopkinsville; Mr. George S. Boone, 3 years, Elkton; Mr. Smith Broadbent III, 3 years, Cadiz; Mr. William G. Deatherage, 4 years, and Mr. W.W. Bryan, 2 years, both of Hopkinsville.

President Oswald expressed thanks on behalf of the administration for the selection of outstanding advisory boards for the community colleges.

Mr. Smith indicated the Board should go on record as approving the Governor's method of selection of the boards and moved the Board's commendation of the Governor for this method of procedure. Dr. Denham seconded the motion which was carried unanimously.

Q. Scholarship Luncheon

Following the meeting, the members were invited to the Student Center for lunch. Honored guests were to be 20 scholarship recipients, including the recipients of the 14 scholarships known as the Trustees' Scholarships and the President's Scholarship.

R. Legislature Day

President Oswald announced that September 19 had been designated Legislature Day. All members of the General Assembly and their wives have been invited to be the guests of the University. They will be given conducted tours of the campus, a reception at the Alumni House, dinner at the Student Center, and will attend the football game after dinner. Mrs. Oswald will entertain the wives at Spindletop Hall in the afternoon. The President extended an invitation to all members of the Board to join in the festivities which he hoped would become an annual affair.

S. Meeting Adjourned

The Henderson Community College will be the location of the October 19 meeting of the Executive Committee of the Board of Trustees. President Oswald extended an invitation to any member who could to attend. The chairman, having determined there was no further business for consideration, heard a motion for adjournment by Dr. Murray, seconded by Mr. Ezelle. The meeting adjourned at 11:45 a. m., Eastern Standard Time.

Respectfully submitted

James A. Sutherland
Secretary