

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 1, 1980

The University of Kentucky Board of Trustees met in regular statutory session on Tuesday, April 1, 1980 at 2 p.m. (Eastern Standard Time) in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus with the following members answering the call of the roll: Mr. William B. Sturgill, Chairman, Professor Michael Adelstein, Mr. William R. Black, Governor Albert Benjamin Chandler, Mrs. Betty Pace Clark, Mr. Albert G. Clay, Mr. Tracy Farmer, Mr. George W. Griffin, Mrs. Sally Hermansdorfer, Dr. David A. Hull, Mr. Thomas B. Kessinger, Mr. W. Terry McBrayer, Mr. Frank Ramsey, Mr. Homer W. Ramsey, Mr. Mark Metcalf, Professor Constance Wilson, and Dr. John R. Woodyard. Mr. L. D. Gorman was absent as was Mr. W. B. Terry. The University administration was represented by President Otis A. Singletary; Vice Presidents Jack C. Blanton, Peter P. Bosomworth, Donald B. Clapp, Lewis W. Cochran, Raymond R. Hornback, John T. Smith, M. Stanley Wall and Robert G. Zumwinkle; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John C. Darsie, Legal Counsel.

A. Meeting Opened

Mr. Sturgill, Chairman, called the meeting to order at 2:25 p.m. and the invocation was pronounced by Mr. Black. Following the call of the roll, to which 17 answered with only 2 being absent, the Chairman announced that a quorum was present for the conduct of business, and called the meeting to order at 2:26 p.m.

B. Minutes Approved

The Minutes of the March 3, 1980 meeting of the Executive Committee of the Board of Trustees were approved without objection.

C. Letter and Resolution on Judge James A. Sutherland

The Secretary read a letter from Mrs. James A. Sutherland of Bloomfield, Kentucky thanking the Board of Trustees for their expressions of sympathy upon the death of Mr. Sutherland. The Chairman asked that the letter from Mrs. Sutherland and a Resolution adopted by the Nelson County Fiscal Court expressing the sympathy and gratitude of the Court and County for the services of James A. Sutherland be received and filed as a part of the Minutes of the Board of Trustees.

D. President's Report to the Trustees (PR 1)

President Singletary reviewed some of the items in his monthly report to the Trustees, calling particular attention to (1) the 11 alumni to be inducted into the University Alumni Association's Hall of Distinguished Alumni on April 11, (2) Avinash Sathaye being named a Sloan Fellow, the seventh faculty member in the Department of Mathematics to receive this prestigious honor in seven years, and (3) the University debate team again having been invited to take part in the National Debate Tournament. President Singletary then called on Vice President Zumwinkle who announced that the University's top novice debate team, consisting of two outstanding freshman debaters, won the Novice Championship on the campus of Northwestern University in Evanston, Illinois this past weekend. President Singletary remarked that the University debate program continues to flourish and do extremely well. Attention was also called to the new method of cancer detection which was developed by Dr. David Goldenberg and his colleagues at the University

and is now being used at a number of cancer centers around the world. President Singletary noted also that the awards to researchers through the University of Kentucky Research Foundation for the first seven months of the current fiscal year is almost one million dollars greater than the amount received for the same period a year ago. Upon recommendation of President Singletary, the Chairman received the report and ordered it filed.

E. Recommendations of the President (PR 2)

On motion by Governor Chandler, seconded by Mrs. Hermansdorfer, and passed, PR 2, Recommendations of the President, was approved and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3a)

President Singletary recommended that approval be granted for awarding the honorary degree of Doctor of Letters to Mrs. Harriette Louisa Simpson Arnow, and the Doctor of Laws to Mr. Edward F. Prichard, Jr. The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to these persons and these two bodies have expressed their approval of the recommendation. Governor Chandler asked that the record show he was casting his vote against the awarding of the degree to Edward F. Prichard, Jr.

On motion by Mr. Griffin, seconded by Mr. Clay, and passed, PR 3a was approved. Mr. Sturgill asked that the record show the chair voted "no". (See PR 3a at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3b)

President Singletary called attention to the items in PR 3b and noted the recommendation of the reappointment of Mrs. Sally Hermansdorfer and Mr. Barney Tucker as members of the Council of Supervisors, University Hospital, for three-year terms which would expire December 31, 1982, and the appointment of Mr. Ershal Harrison as the student member of said Council. Also included in PR 3b is the recommendation of the appointment of Dr. Ernest W. Chick as Interim Director of the Tobacco and Health Research Institute, pending the selection of a permanent successor to Dr. John P. Wyatt. Dr. Singletary also called attention to the recommendation that Dr. John S. Thompson be appointed professor, with tenure, in the Department of Medicine, and that he be named Chairman of the Department of Medicine, effective July 1, 1980. President Singletary commented that Dr. Thompson is an especially talented and gifted physician, and administrator, and believes the University of Kentucky is extremely fortunate in being able to recruit Dr. Thompson.

On motion by Mr. Black, seconded by Mr. Homer Ramsey, and passed, PR 3b was approved. (See PR 3b at the end of the Minutes.)

H. 1979-80 Budget Revisions (PR 4)

The budget revisions recommended in PR 4 being of a routine nature, President Singletary recommended approval. On motion by Mr. Kessinger, seconded by Mr. Farmer, and passed, the budget revisions recommended in PR 4 were authorized and approved. (See PR 4 at the end of the Minutes.)

I. Capital Construction Project (PR 5)

Acting on the recommendation of President Singletary, Mrs. Hermansdorfer moved that the Board of Trustees approve for submission to the Council on Higher Education a capital construction project proposal for the Community College System. Mrs. Hermansdorfer's motion was seconded by Mr. Frank Ramsey and passed without dissent. (See PR 5 at the end of the Minutes.)

J. Proposed Amendments to the Governing Regulations (PR 6)

President Singletary recommended that the proposed amendments to the Governing Regulations which were received and tabled at the March 3, 1980 meeting of the Board be removed from the table and approved. These proposed amendments deal with the reporting of positions and salaries to the Board of Trustees. Professor Wilson so approved. Her motion was seconded by Mr. Homer Ramsey and passed. (See PR 6 at the end of the Minutes.)

K. Confirmation of 1980-81 Fee Schedule (PR 7)

President Singletary recommended that the Board of Trustees confirm changes in the fees charged students on the Lexington campus as adopted by the Council on Higher Education and establish corresponding part-time and Summer School charges under the guidelines of the Council on Higher Education.

On motion by Mr. Black, seconded by Dr. Hull and passed, PR 7, Confirmation of Fee Schedules, was approved. (See PR 7 at the end of the Minutes.)

L. University Research Professorships (PR 8)

President Singletary said it was with much pleasure that he was recommending approval of four University Research Professorships for 1980-81 to be awarded to the following professors in recognition of their outstanding research achievements: James Barclay, Department of Educational Psychology and Counseling; Allan Butterfield, Department of Chemistry; Charles Roland, Department of History; and Fred Zechman, Department of Physiology and Biophysics. The four recipients were present at the meeting and were introduced. After thanking the members of the faculty for being present at the meeting, Mr. Sturgill called for a motion for approval of the naming of these individuals as University Research Professors for 1980-81. Mr. Clay so moved. His motion was seconded by Mr. Metcalf and passed without dissent. (See PR 8 at the end of the Minutes.)

M. Proposed Amendments to the Governing Regulations (PR 9)

President Singletary recommended that the proposed amendments to the Governing Regulations as recommended in PR 9 be received for consideration and put on the agenda for Board action at the May meeting.

Without discussion, on motion by Dr. Hull, seconded by Mr. Black, and passed, it was so ordered. (See PR 9 at the end of the Minutes.)

N. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported the committee had met on the morning of April 1, 1980 and considered four routine items to be presented for action, the first of which was the Interim Financial Report for the period ending February 29, 1980. The Committee found the University to be "on target" and Mr. Clay moved that the report be accepted. His motion, seconded by Dr. Woodyard, passed and the report was accepted. (See FCR 1 at the end of the Minutes.)

O. Authorization for Incineration of Paid Bonds and Coupons (FCR 2)

Mr. Clay said it was always a pleasant duty to burn paid bonds and coupons and moved that the recommendation in FCR 2 providing for the incineration of paid bonds and coupons be approved. His motion was seconded by Governor Chandler and passed without dissent. (See FCR 2 at the end of the Minutes.)

P. Resolution Approving Report of the Director, Auxiliary Services, Reciting Inspection of the Housing and Dining Buildings and Appurtenant Facilities of the University's Housing and Dining System, Previously Approved by the President, as Required in Connection with the Prospective Delivery to the United States Department of Housing and Urban Development of the University's \$4,584,000 "Housing and Dining System Revenue Bonds, Series K," Purchased by HUD at Public Sale on January 30, 1979 (FCR 3)

Mr. Clay reminded the members of the Board that the Executive Committee, at its meeting held on January 30, 1979, awarded at public sale the University's \$4,584,000 "Housing and Dining System Revenue Bonds, Series K," dated as of December 1, 1978, to the United States Department of Housing and Urban Development ("HUD") on its bid of face amount, at an interest rate of 3% per annum. The Series K Bonds (together with the Bonds of Series J, authorized and sold at the same time) were for the purposes of (a) reimbursing the Board and the University for the earlier purchase of the Linden Walk-Rose Lane Apartments, (b) financing the 200 apartment-type units of housing designed to accommodate students or married student families (completed and occupied in the Fall of 1979), and (c) substantial reconstruction of Boyd, Patterson and Jewell Halls, constituting parts of the Housing and Dining System, involving removal of all windows, installation of new thermal windows, together with thermostat control valves (presently nearing completion).

Mr. Blanton stated that the \$1,900,000 "Housing and Dining System Revenue Bonds, Series J," were awarded at public sale to a syndicate of municipal bond dealers and have long since been delivered and paid for. However, at the time of the award of the Series K Bonds to HUD, it was well known that it is the policy and practice of HUD not to accept and pay for Housing Bonds until such time as the buildings and appurtenant facilities intended to be financed thereby are actually completed, inspected by HUD Engineers, with an audit of all construction costs, made by HUD's accounting staff. Meanwhile, however, upon being satisfied that the progress of construction warranted advances by HUD, HUD made an advance of \$2,830,000 to the University on the 28th day of August, 1979, and an advance of \$1,471,000 on the 23rd day of January, 1980, upon the Board's delivery to HUD of "Revenue Bond Anticipation Notes" in those respective amounts, bearing interest at the same 3% interest rate represented by the Series K Bonds; and with the understanding of both parties that a settlement of these advances and the interest thereon will be accomplished when the Series K Bonds are delivered and paid for.

One of the conditions set forth in the Board's June 1, 1965, Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, creating and establishing the issue of "Housing and Dining System Revenue Bonds," is that each Series of Bonds may rank on a basis of parity and equality as to security and source of payment with all previously issued Bonds only if there is a written report, made by a proper member of the University's staff, approved by the President, and submitted to and approved by the Board, showing that there has been a physical inspection of all of the facilities of the System and that they are found to be in a good and tenantable state of repair, ordinary wear and tear excepted. Such a certificate was made and approved by the Board prior to the

delivery of the Series J Bonds, and it is now appropriate and necessary that the same step be updated prior to delivery of the Series K Bonds to HUD.

Mr. Clay tendered to the Board such a certificate made under date of March 20, 1980, signed by the Director, Auxiliary Services, and bearing the written endorsement of approval of the President. He requested that the same be approved by the Board at this time.

Board Member Clay moved that the tendered certificate of the Director, Auxiliary Services, be approved, seconded by Board Member Griffin. After discussion, the Chairman put the question and upon call of the roll the motion was approved by unanimous vote of all members present. (See FCR 3 at the end of the Minutes.)

Q. Financial Statement of Spindletop Hall, Inc. (FCR 4)

Mr. Clay noted that Spindletop Hall was operating in the black and moved that the Board accept the financial statement for the year ending December 31, 1979. His motion was seconded by Mr. Griffin. and passed without dissent.

R. Biennial Report

Copies of the biennial report were distributed to members of the Board of Trustees. The University of Kentucky is required by statute to submit a biennial report to the General Assembly. This report, "The University of Kentucky in the Seventies", covers a 10-year period. President Singletary called attention to the map of the Commonwealth showing the number of students in each county. He also noted that the report was paid for by private funds.

S. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded, and carried, the Chairman declared the meeting adjourned at 2:47 p.m.

Respectfully submitted,

Betty Pace Clark, Secretary
Board of Trustees

(PR's 2, 3a, 3b, 4, 5, 6, 7, 8, and 9; FCR's 1, 2, 3 and 4 which follow are official parts of the Minutes of the meeting.)

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. ACADEMIC APPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Anderson, Kim Barry, Assistant Extension Professor*, Agricultural Economics, 2/26/80 through 6/30/81.

Johnson, Douglas W., Assistant Extension Professor*, Entomology, 3/24/80 through 6/30/81.

Nielsen, Mark T., Assistant Professor, Agronomy, 6/1/80 through 6/30/81.

College of Arts and Sciences

Brunn, Stanley D., Professor (with tenure), Geography, effective 8/16/80.

- Elitzur, Moshe, Associate Professor (with tenure), Physics and Astronomy, effective 8/16/80.

Rush, Thomas A., Professor of Aerospace Studies, Aerospace Studies, effective 7/1/80.

*Special Title Series

MEDICAL CENTER

College of Medicine

Stallworth, Hugh F., Assistant Professor*, Family Practice,
5/15/80 through 6/30/81.
Stevens, David B., Associate Professor*, Surgery, 3/1/80 through
6/30/81.

College of Nursing

Adkins, Nancy S., Instructor and Pediatric Nurse Clinician, Pediatrics,
2/1/80 through 6/30/80.

B. ADMINISTRATIVE APPOINTMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

Brunn, Stanley D., Professor (with tenure), Geography, named
Chairman, Geography, 8/16/80 through 8/16/84.

C. NON-ACADEMIC APPOINTMENTS

DIVISION OF COLLEGES

College of Business and Economics

Andrews, David M., Management Specialist I, Office of Research,
5/11/80.

Graduate School

Dedic, Richard P., Technology Transfer Coordinator, NASA/UK TAP,
2/18/80.

Ockerman, Mary A., Senior Research Associate, Human Development
Program, 3/1/80. (Promotion)

Institute for Mining and Minerals Research

Taulbee, Darrell N., Assistant Chemist, 2/25/80.

MEDICAL CENTER

College of Allied Health Professions

Daniell, Elizabeth O., Media Development Specialist, Center for
Learning Resources, 3/1/80.

Worth, Judy K., Media Development Officer, Center for Learning
Resources, 3/1/80. (Promotion)

College of Dentistry

Bingham, Stephanie C., Senior Program Systems Analyst, Office of
the Dean, 3/3/80.

*Special Title Series

College of Medicine

Fosson, Sue E., Media Development Officer, Office of the Dean,
3/1/80. (Promotion)
Olver, Rebecca C., Allied Health Specialist, McDowell Cancer Network,
3/1/80.

College of Nursing

O'Donnell, Humbert Shirly M., Instructional Specialist, 2/1/80.

College of Pharmacy

Adams, Margaret A., Pharmacist, 2/11/80 through 6/30/80.
Slaline, James, Pharmacist, 3/1/80. (Promotion)

Vice President for the Medical Center

Dickey, Kenneth M. II, Attending Veterinarian, Animal Care Services,
2/4/80.

COMMUNITY COLLEGE SYSTEM

Lexington Technical Institute

Leonard, Cynthia W., Assistant Professor in the Community College
System, named Coordinator of the Cooperative Education Program,
3/1/80 through 6/30/80.

D. JOINT APPOINTMENTS

MEDICAL CENTER

College of Medicine

Goodman, Norman L., Professor (with tenure), Pathology, and
Professor, Community Medicine, also named Professor, Medical
Microbiology and Immunology, effective 3/1/80.

E. REAPPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Dougherty, Charles T., Associate Professor, Agronomy, 7/1/80 through
6/30/81.
Newman, Louis E., Associate Extension Professor*, Veterinary Science,
7/1/80 through 6/30/81.

College of Engineering

Carter, Joseph C., Visiting Associate Professor, (part-time), Mechanical
Engineering, 7/1/80 through 6/30/81.

*Special Title Series

MEDICAL CENTER

College of Medicine

Beach, Lawrence, Associate Professor, Radiation Medicine and Associate Professor, Department of Physics and Astronomy, College of Arts and Sciences and Professor, Health Radiation Sciences, 7/1/80 through 6/30/81.

Castle, Eugene A., Associate Clinical Professor, Obstetrics and Gynecology, 7/1/79 through 6/30/82.

Crossen, Philip S., Associate Clinical Professor (voluntary), Obstetrics and Gynecology, 7/1/79 through 6/30/82.

Edger, Donald E., Associate Clinical Professor, Obstetrics and Gynecology, 7/1/79 through 6/30/82.

Gray, Laman A., Clinical Professor, Obstetrics and Gynecology, 7/1/79 through 6/30/82.

Hyde, Gordon L., Associate Professor, Surgery, 7/1/80 through 6/30/81.

Shafer, James W., Associate Professor*, Ophthalmology, 7/1/80 through 6/30/81.

Woolsey, Elwood L., Associate Clinical Professor, Obstetrics and Gynecology, 7/1/79 through 6/30/82.

College of Nursing

Lee, Gwendolyn, Associate Professor*, 7/1/80 through 6/30/81.

F. PROMOTIONS

DIVISION OF COLLEGES

College of Arts and Sciences

Abbott, Susan, from Assistant Professor (without tenure), Anthropology, and Assistant Professor (without tenure), Department of Behavioral Science, College of Medicine to Associate Professor (with tenure), Anthropology, effective 7/1/80.

Alvey, Richard Gerald, from Assistant Professor (without tenure), English, to Associate Professor (with tenure), English, effective 7/1/80.

Herring, George C., Jr., from Associate Professor (with tenure), History, to Professor (with tenure), History, effective 7/1/80.

Hougland, James G., Jr., from Assistant Professor (without tenure), Sociology, to Associate Professor (with tenure), Sociology, effective 7/1/80.

Lesnaw, Judith A., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/80.

Nelson, Daniel N., from Assistant Professor (without tenure), Political Science, to Associate Professor (with tenure), Political Science, effective 7/1/80.

Sabharwal, Pritam S., from Associate Professor (with tenure), Biological Sciences, and Associate Professor, Graduate Center for Toxicology, to Professor (with tenure), Biological Sciences, and Professor, Graduate Center for Toxicology, effective 7/1/80.

Uglem, Gary L., from Assistant Professor (without tenure), Biological Sciences, to Associate Professor (with tenure), Biological Sciences, effective 7/1/80.

*Special Title Series

College of Fine Arts

Domek, Richard C., from Assistant Professor (without tenure), School of Music, to Associate Professor (with tenure), School of Music, effective 7/1/80
Elliott, David G., from Associate Professor of Applied Music* (without tenure), School of Music, to Associate Professor of Applied Music* (with tenure), effective 7/1/80.
Hamann, Marilyn D., from Assistant Professor (without tenure), Art, to Associate Professor (with tenure), Art, effective 7/1/80.
Peters, Jane S., from Assistant Professor (without tenure), Art, to Associate Professor (with tenure), Art, effective 7/1/80.
Ploch, Robert S., from Assistant Professor* (without tenure), Theatre Arts, to Associate Professor* (with tenure), Theatre Arts, effective 7/1/80.

MEDICAL CENTER

College of Medicine

Braen, G. Richard, from Assistant Professor* (without tenure), Medicine, to Associate Professor, (with tenure), Medicine, effective 7/1/80.
Coleman, Mary Sue, from Assistant Professor (without tenure), Biochemistry, to Associate Professor (with tenure), Biochemistry, effective 7/1/80.
Massie, William K., from Associate Clinical Professor (voluntary), Surgery, and Associate Clinical Professor (voluntary), Family Practice, to Clinical Professor (voluntary), Surgery, and Clinical Professor (voluntary), Family Practice, 3/1/80 through 6/30/82.
Walzer, Peter D., from Assistant Professor (without tenure), Medicine, to Associate Professor, (with tenure), Medicine, effective 7/1/80.
Wilson, Emery, from Assistant Professor (without tenure), Obstetrics and Gynecology, to Associate Professor (with tenure), Obstetrics and Gynecology, effective 7/1/80.
Yeh, Shu-Yuan, from Assistant Professor (adjunct), Pharmacology, and Associate Professor (adjunct), Graduate Center for Toxicology, to Associate Professor (adjunct), Pharmacology, and Associate Professor (adjunct), Graduate Center for Toxicology, 7/1/80 through 6/30/81.

Department of Pharmacy

Diamond, Louis A., from Professor (with tenure) and Associate Professor, Department of Pharmacology, College of Medicine, to Professor (with tenure), and Professor, Department of Pharmacology, College of Medicine, effective 7/1/80.

G. CHANGE

MEDICAL CENTER

College of Allied Health Professions

Hensley, Janice, from Instructor (part-time), Clinical Nutrition, to Assistant Professor*, Clinical Nutrition, 2/15/80 through 6/30/80.

*Special Title Series

Missing page(s)

Simpson, Pam, Clinical Instructor, (voluntary), Physical Therapy,
2/13/80 through 6/30/81.

College of Pharmacy

Cummings, Ted A., Clinical Instructor, (voluntary), 3/1/80 through
6/30/81.

COMMUNITY COLLEGE SYSTEM

Jefferson Community College

Bush, Cynthia T., Instructor in the Community College System, 3/1/80
through 6/30/80.

Somerset Community College

McCartt, Andrew K., Instructor in the Community College System, (voluntary),
1/1/80 through 6/30/80.

L. JOINT APPOINTMENTS

MEDICAL CENTER

College of Allied Health Professions

Coughlin, Kathryn A., Instructor, (part-time), Clinical Nutrition,
also named Instructor, (part-time), Pharmacy, 2/1/80 through
6/30/80.

M. REAPPOINTMENTS

DIVISION OF COLLEGES

College of Agriculture

Allen, George P., Assistant Professor, Veterinary Science, 7/1/80
through 6/30/81.
Anderson, Robert G., Assistant Extension Professor*, Horticulture
and Landscape Architecture, 7/1/80 through 6/30/81.
Apland, Jeffrey Dean, Assistant Professor, Agricultural Economics,
7/1/80 through 6/30/81.
Bokemeier, Janet L., Assistant Professor, Sociology, 7/1/80 through
6/30/81.
Carlson, William C., Assistant Professor, Forestry, 7/1/80 through
6/30/81.
Chang, Sun Joseph, Assistant Professor, Forestry, 7/1/80 through
6/30/81.
Clark, Perry Wilson, Assistant Extension Professor*, Animal Sciences,
7/1/80 through 6/30/81.
Colliver, Donald G., Assistant Professor, Agricultural Engineering,
7/1/80 through 6/30/81.
Dawson, Karl A., Assistant Professor, Animal Sciences, 7/1/80 through
6/30/81.
Douglas, Robert H., Assistant Professor, Veterinary Science, 7/1/80
through 6/30/81.

*Special Title Series

Dunwell, Winston, Assistant Extension Professor*, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.

Erickson, David L., Assistant Professor, Forestry, 7/1/80 through 6/30/81.

Ferriss, Richard S., Assistant Professor, Plant Pathology, 7/1/80 through 6/30/81.

Fetsch, Robert, Assistant Extension Professor*, Home Economics Extension, 7/1/80 through 6/30/81.

Fountain, William M., Assistant Extension Professor*, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.

Garkovich, Lorraine E., Assistant Professor, Sociology, 7/1/80 through 6/30/81.

Gentry, Rebecca C., Instructor, Animal Sciences, 7/1/80 through 6/30/81.

Giles, Ralph C., Assistant Extension Professor*, Veterinary Science, 7/1/80 through 6/30/81.

Heersche, George, Jr., Assistant Extension Professor*, Animal Sciences, 7/1/80 through 6/30/81.

Hensley, David L., Assistant Extension Professor*, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.

Hilliker, Jo Ann, Assistant Extension Professor*, Home Economics Extension, 7/1/80 through 6/30/81.

Hong, C. B., Assistant Clinical Professor*, Veterinary Science, 7/1/80 through 6/30/81.

Householder, Dean D., Assistant Extension Professor*, Animal Sciences, 7/1/80 through 6/30/81.

Jacques, Robert M., Assistant Extension Professor*, Agronomy, 7/1/80 through 6/30/81.

Katsigianis, Theodore S., Assistant Extension Professor*, Animal Sciences, 7/1/80 through 6/30/81.

Lin, Paul P. C., Assistant Professor, Agronomy, 7/1/80 through 6/30/81.

Liu, Calvin J., Assistant Professor, Forestry, 7/1/80 through 6/30/81.

Maronek, Dale M., Assistant Professor, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.

Maurer, Richard C., Assistant Extension Professor*, Sociology, 7/1/80 through 6/30/81.

McComb, William C., Assistant Professor, Forestry, 7/1/80 through 6/30/81.

Meyer, A. Lee, Assistant Extension Professor*, Agricultural Economics, 7/1/80 through 6/30/81.

Miksch, Duane, Assistant Extension Professor*, Veterinary Science, 7/1/80 through 9/30/80.

Moore, Ian D., Assistant Professor, Agricultural Engineering, 7/1/80 through 6/30/81.

Muir, William Martin, Assistant Professor, Animal Sciences and Assistant Professor, Statistics, 7/1/80 through 6/30/81.

Muller, Robert N., Assistant Professor, Forestry, 7/1/80 through 6/30/81.

O'Leary, Joseph, Assistant Professor, Animal Sciences, 7/1/80 through 6/30/81.

Olson, James R., Assistant Professor, Forestry, 7/1/80 through 6/30/81.

Olson, Kenneth E., Assistant Extension Professor*, Animal Sciences, 7/1/80 through 6/30/81.

Orf, James H., Assistant Professor, Agronomy, 7/1/80 through 6/30/81.

*Special Title Series

Perry, Charles S., Assistant Professor, Sociology, 7/1/80 through 6/30/81.
Quick, J. Samuel, III, Assistant Extension Professor*, Home Economics Extension, 7/1/80 through 6/30/81.
Rasnake, Monroe, Assistant Extension Professor*, Agronomy, 7/1/80 through 6/30/82.
Reed, Michael Robert, Assistant Professor, Agricultural Economics, 7/1/80 through 6/30/81.
Riggins, Steven Kent, Assistant Extension Professor*, Agricultural Economics, 7/1/80 through 6/30/81.
Scarfo, Robert A., Assistant Professor, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.
Smith, M. Scott, Assistant Professor, Agronomy, 7/1/80 through 6/30/81.
Snyder, John C., Assistant Professor, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.
Strang, John, Assistant Extension Professor*, Horticulture and Landscape Architecture, 7/1/80 through 6/30/81.
Taraba, Joseph L., Assistant Extension Professor*, Agricultural Engineering, 7/1/80 through 6/30/81.
Thom, William O., Assistant Extension Professor*, Agronomy, 7/1/80 through 6/30/81.
Vian, Wayne Edwin, Assistant Professor, Agronomy, 7/1/80 through 6/30/81.
Winn, Karen E., Instructor, Animal Sciences, 7/1/80 through 6/30/81.
Zavos, Panayiotis, Assistant Professor, Animal Sciences, 7/1/80 through 6/30/81.

College of Arts and Sciences

Brangers, Peggy L., Instructor, (part-time), Mathematics, 2/1/80 through 4/30/80.
Conover, Pamela J., Assistant Professor, Political Science, 7/1/80 through 6/30/82.
Diaz, Julio Cesar, Assistant Professor, Mathematics, 7/1/80 through 6/30/81.
Gross, Donald A., Assistant Professor, Political Science, 7/1/80 through 6/30/82.
Likens, Thomas W., Assistant Professor, Political Science, 7/1/80 through 6/30/81.

College of Engineering

Randle, Charlene D., Lecturer, (part-time), Mechanical Engineering, 8/16/80 through 5/15/81.
Tao, Deh C., Lecturer, (part-time), Mechanical Engineering, 8/16/80 through 5/15/81.

College of Fine Arts

Brunner, Lance, Assistant Professor, School of Music, 7/1/80 through 6/30/81.
Cole, Gordon B., Assistant Professor of Music (Applied)*, School of Music, 7/1/80 through 6/30/81.
Havice, Christine, Assistant Professor, Art, 7/1/80 through 6/30/81.

*Special Title Series

Henderson, Gordon, Assistant Professor-(Applied)* and Assistant Director of Bands, School of Music, 7/1/80 through 6/30/81.
Lord, Charles H., Assistant Professor of Theory, School of Music, 7/1/80 through 6/30/81.
Miller, Mina F., Assistant Professor, School of Music, 7/1/80 through 6/30/81.
Montgomery, Patricia, Assistant Professor-(Applied)*, School of Music, 7/1/80 through 6/30/81.
Salzberg, Rita, Assistant Professor in Music Education-(Applied)*, School of Music, 7/1/80 through 6/30/81.
Senff, Thomas E., Assistant Professor-(Applied)*, School of Music, 7/1/80 through 6/30/81.
Shaughnessy, Patrick, Assistant Professor-(Applied)*, Theatre Arts, 7/1/80 through 6/30/81.
Simpson, Peter, Assistant Professor-(Applied)*, School of Music, 7/1/80 through 5/30/81.
Veal, Larry J., Assistant Professor of Music-(Applied)*, School of Music, 7/1/80 through 6/30/81.
Wang, Cecilia Hoi-Mee Chu, Assistant Professor in Music Education*, School of Music, 7/1/80 through 6/30/81.
Young, Bernard, Instructor, Art, and Instructor, Department of Curriculum and Instruction, College of Education, 7/1/80 through 6/30/81.

Libraries

Baker, Nancy L., Librarian III, Reference Library, 7/1/80 through 6/30/81.
Birchfield, James de Maris, Librarian IV, Special Collections, 7/1/80 through 6/30/81.
Birdwhistell, Terry L., Librarian III, Special Collections, 7/1/80 through 6/30/81.
Campbell, Anne G., Visiting Librarian IV, (part-time), Special Collections, 7/1/80 through 6/30/81.
Dare, Philip N., Librarian IV, Reference Library, 7/1/80 through 6/30/81.
Davis, Mary, Librarian III, Art Library, 7/1/80 through 6/30/81.
Farrell, David T., Librarian III, Collection Development, 7/1/80 through 6/30/81.
Grissom, Bradley O., Librarian III, Agriculture Library, 7/1/80 through 6/30/81.
Munoff, Gerald J., Librarian IV, Special Collections, 7/1/80 through 6/30/81.
Nyberg, Cheryl, Librarian IV, Law Library, 7/1/80 through 6/30/81.
O'Brien, Maureen, Librarian IV, Special Collections, 7/1/80 through 6/30/81.
Orf, Janice, Visiting Librarian IV, (part-time), Extension Library, 7/1/80 through 6/30/81.
Pivarnik, Janis G., Librarian III, Government Publications, 7/1/80 through 6/30/81.
Schaplowsky, Alan, Librarian IV, Cataloging, 7/1/80 through 6/30/81.
Webb, Geraldine, Librarian III, Cataloging, 7/1/80 through 6/30/81.
Wiza, Judith M., Librarian IV, Reference Library, 7/1/80 through 6/30/81.

*Special Title Series

MEDICAL CENTER

College of Medicine

Allen, John R., Assistant Professor, Surgery, 7/1/80 through 6/30/81.
Barkley, Mary D., Assistant Professor, Biochemistry, 7/1/80 through
6/30/81.
Bayani, A. M., Assistant Professor, Anesthesiology, 7/1/80 through
6/30/81.
Bell, Richard M., Assistant Professor, Surgery, 7/1/80 through
6/30/81.
Brusman, Harold P., Assistant Professor, Pathology, 7/1/80 through
6/30/81.
Butterfield, Arthur E., Clinical Instructor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.
Chin, Hong W., Assistant Professor*, Radiation Medicine, 7/1/80 through
6/30/81.
Cibull, Michael L., Assistant Professor, Pathology, 7/1/80 through
6/30/81.
Combs, Gene, Assistant Professor*, Psychiatry, 7/1/80 through
6/30/81.
Copeland, G. Nelson, Jr., Clinical Instructor** Obstetrics and
Gynecology, 7/1/79 through 6/30/82.
Cottrill, Carol M., Assistant Professor, Pediatrics, 7/1/80 through
6/30/81.
David, Alan K., Assistant Professor*, Family Practice, 7/1/80
through 6/30/81.
DeKosky, Steven T., Assistant Professor, Neurology, 7/1/80 through
6/30/81.
DeWalt, Kathleen M., Assistant Professor, Behavioral Science and
Assistant Professor, Department of Anthropology, College
of Arts and Sciences, 7/1/80 through 6/30/81.
Domstad, Peggy, Assistant Professor, Radiation Medicine, 7/1/80
through 6/30/81.
Donaldson, Elvis S., Assistant Professor, Obstetrics and Gynecology,
7/1/80 through 6/30/81.
Evans, Carl T., Clinical Instructor, (voluntary), Obstetrics and
Gynecology, 7/1/79 through 6/30/82.
Fisher, J. Michael, Clinical Instructor, (voluntary), Obstetrics
and Gynecology, 7/1/79 through 6/30/82.
Freedman, James R., Clinical Instructor, (voluntary), Obstetrics
and Gynecology, 7/1/79 through 6/30/82.
Godshalk, M. Fredrica, Assistant Professor, Anesthesiology, 7/1/80
through 6/30/81.
Guzzardi, Lawrence, Assistant Professor, Family Practice, 7/1/80
through 6/30/81.
Hall, Tom M., Assistant Professor, Psychiatry, 7/1/80 through
6/30/81.
Hanson, Michael, Assistant Professor, Obstetrics and Gynecology,
7/1/80 through 6/30/81.
Hicks, Lewis P., Assistant Clinical Professor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.
Hurlocker, James K., Assistant Clinical Professor**, Obstetrics
and Gynecology, 7/1/79 through 6/30/82.
Iwamoto, Edgar T., Assistant Professor, Pharmacology, 7/1/80 through
6/30/81.
Jackson, Billy J., Clinical Instructor** Obstetrics and Gynecology,
7/1/79 through 6/30/82.
Jackson, James E., Clinical Instructor, Pediatrics, 7/1/79 through
6/30/82.

*Special Title Series
**(Voluntary)

Jacob, Robert J., Assistant Professor, Pathology, 7/1/80 through 6/30/81.

Johnson, Gregory, Assistant Professor, Pediatrics, 7/1/80 through 6/30/81.

Kelly, Prue W., Assistant Clinical Professor, (voluntary), Diagnostic Radiology, 7/1/79 through 6/30/82.

Kocoshis, Samuel A., Assistant Professor, Pediatrics, 7/1/80 through 6/30/81.

Koffler, Bruce H., Assistant Professor, Ophthalmology, 7/1/80 through 6/30/81.

Larson, Thomas O., Assistant Professor, Diagnostic Radiology, 7/1/80 through 6/30/81.

Lee, Lu-Yuan, Assistant Professor, Physiology and Biophysics, 7/1/80 through 6/30/81.

Lee, Tai-Shion, Assistant Professor, Anesthesiology, 7/1/80 through 6/30/81.

Legan, Sandra J., Assistant Professor, Physiology and Biophysics, 7/1/80 through 6/30/81.

Maffeo, Patricia, Assistant Professor*, Psychiatry, 7/1/80 through 12/31/80.

Mahler, D. Mark, Assistant Professor, Family Practice and Assistant Professor, Pediatrics, 7/1/80 through 6/30/81.

Marsh, Glen, Assistant Clinical Professor, (voluntary), Medicine, 7/1/79 through 6/30/82.

Martin, M. Nicholas, Assistant Professor*, Family Practice, 7/1/80 through 6/30/81.

Mattingly, Sally, Assistant Professor*, Surgery, 7/1/80 through 6/30/81.

Mattingly, William T., Jr., Assistant Professor, Surgery, 7/1/80 through 6/30/81.

McEwen, Claudia, Assistant Professor*, Pediatrics, 7/1/80 through 6/30/81.

Mendondo, Oscar A., Assistant Professor, Radiation Medicine, 7/1/80 through 6/30/81.

Meyers, James H., Assistant Professor, Pathology, 7/1/80 through 6/30/81.

Millett, Adrienne J., Assistant Professor, Ophthalmology, 7/1/80 through 6/30/81.

Mossman, Robert G., Assistant Professor, Anesthesiology, 7/1/80 through 6/30/81.

Neill, John R., Assistant Professor, Psychiatry, 7/1/80 through 6/30/81.

Nicholls, Paul J., Assistant Professor, Surgery, 7/1/80 through 6/30/81.

O'Connor, William, Assistant Professor, Pathology, 7/1/80 through 6/30/81.

Oeltgen, Peter R., Assistant Professor, Pathology and Assistant Professor, Department of Medical Technology, College of Allied Health Professions, 7/1/80 through 6/30/81.

Ott, Cobern E., Assistant Professor, Physiology and Biophysics, 7/1/80 through 5/31/81.

Perdue, Michael L., Assistant Professor, Pathology, 7/1/80 through 6/30/81.

Primus, F. James, Assistant Professor, Pathology, 7/1/80 through 6/30/81.

Purcell, Carolyn F., Clinical Instructor**Obstetrics and Gynecology, 7/1/79 through 6/30/82.

Riley, Christine R., Assistant Professor, Diagnostic Radiology, 7/1/80 through 6/30/81.

*Special Title Series

**{Voluntary)

Shier, Robert W., Assistant Professor, Obstetrics and Gynecology,
7/1/80 through 6/30/81.

Sjogren, Staffan, Assistant Professor, Anesthesiology, 7/1/80 through
6/30/81.

Sniecinski, Irena J., Assistant Professor, Pathology, and Assistant
Professor, Department of Medical Technology, College of Allied Health
Professions, 7/1/80 through 6/30/81.

Sopori, Mohan L., Assistant Professor, Pathology, 7/1/80 through
6/30/81.

Spurlin, Geraldine W., Clinical Instructor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.

Steiner, Marion R., Assistant Professor, Pathology, 7/1/80 through
6/30/81.

Stith, James B., Clinical Instructor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.

Sutherland, James, Jr., Assistant Professor, Obstetrics and Gynecology,
7/1/80 through 6/30/81.

Swan, David, Assistant Clinical Professor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.

Tarkington, Charles N., Assistant Clinical Professor**Obstetrics and
Gynecology, 7/1/79 through 6/30/82.

Thomas, Michael D., Assistant Clinical Professor, (voluntary) Family
Practice, 7/1/80 through 6/30/82.

Tibbs, Phillip A., Assistant Professor, Surgery, 7/1/80 through
6/30/81.

Turco, Salvatore J., Assistant Professor, Biochemistry, 7/1/80 through
6/30/81.

Tynan, Daniel R., Assistant Professor, Neurology, 7/1/80 through
6/30/81.

Vigh, Alexander L., Clinical Instructor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.

Vore, Mary, Assistant Professor, Pharmacology, 7/1/80 through
6/30/81.

Walsh, John W., Assistant Professor, Surgery, and Assistant Professor,
Pediatrics, 7/1/80 through 6/30/81.

Webb, Pamela, Assistant Professor*, Anesthesiology, 7/1/80 through
6/30/81.

Wheeler, William B., Clinical Instructor**Obstetrics and Gynecology,
7/1/79 through 6/30/82.

Wilson, John F., Assistant Professor, Behavioral Science, 7/1/80 through
6/30/81.

Wong, Peter, Assistant Professor*, Pediatrics, 7/1/80 through
6/30/81.

Wyatt, Robert J., Assistant Professor, Pediatrics, 7/1/80 through
6/30/81.

Zimmer, Stephen G., Assistant Professor, Pathology, 7/1/80 through
6/30/81.

College of Nursing

Bamford, Penny A., Assistant Professor*, 7/1/80 through 6/30/81.

Dorsey, Marian E., Instructor, 7/1/80 through 6/30/81.

Duvall, Antionette M., Instructor, 7/1/80 through 6/30/81.

Fore, Carolyn V., Instructor, 7/1/80 through 6/30/81.

Goodykoontz, Lynne, Instructor, (part-time), 7/1/80 through 6/30/81.

Lewis, Shirley Ann, Assistant Professor*, 7/1/80 through 6/30/81.

Maurer, Jo Ann, Assistant Professor*, 7/1/80 through 6/30/81.

Mural, Carol M., Instructor, 7/1/80 through 6/30/81.

*Special Title Series

** (Voluntary)

Robinson, Mary Kay, Assistant Professor*, 7/1/80 through 6/30/81.
Slater, Sister Carol, Assistant Professor*, (part-time), 7/1/80
through 6/30/81.
Warden, Louise Sheridan, Instructor, 7/1/80 through 6/30/81.
Withrow, Cora N., Assistant Professor*, 7/1/80 through 6/30/81.
Wolfe, Margaret, Assistant Professor*, (part-time), 7/1/80 through
6/30/81.

College of Pharmacy

Blouin, Robert, Assistant Professor*, 7/1/80 through 6/30/81.
Bogardus, Joseph B., Assistant Professor, 7/1/80 through 6/30/81.
Byrd, William G., Assistant Professor*, 7/1/80 through 6/30/81.
Olson, Jack William, Assistant Professor, 7/1/80 through 6/30/81.
Pierce, James E., Assistant Professor*, 7/1/80 through 6/30/81.
Record, Kenneth E., Assistant Professor*, 7/1/80 through 6/30/81.
Ringham, Gary L., Assistant Professor, 7/1/80 through 6/30/81.
Sieg, James W., Assistant Professor, 7/1/80 through 6/30/81.
Truelove, James E., Assistant Professor, 7/1/80 through 6/30/81.
Yokel, Robert A., Assistant Professor, 7/1/80 through 6/30/81.

N. PROMOTIONS (WITHOUT TENURE)

DIVISION OF COLLEGES

College of Business and Economics

Fulks, Daniel L., from Instructor, Accounting, to Assistant Professor,
Accounting, 2/1/80 through 6/30/80.

MEDICAL CENTER

College of Nursing

Cooper, Kathleen Y., from Instructor, to Assistant Professor*,
7/1/80 through 6/30/81.

O. TERMINAL REAPPOINTMENTS

DIVISION OF COLLEGES

College of Arts and Sciences

Yandell, Truman B., Jr., Assistant Professor, Psychology, 7/1/80
through 6/30/81.

MEDICAL CENTER

College of Medicine

DeBrand, Maria, Assistant Professor*, Anesthesiology, 6/1/80 through
5/31/81.

*Special Title Series

P. LEAVES OF ABSENCE

DIVISION OF COLLEGES

College of Arts and Sciences

Scarborough, John, Professor, History, Sabbatical leave with half-salary, 7/1/80 through 6/30/81. Note: Board approval March, 1980, was Sabbatical, full-salary, 7/1/80 through 12/31/80.

College of Business and Economics

Ross, David F., Associate Professor, Economics, Sabbatical leave with full-salary, 1/1/81 through 5/15/81.
Balachandran, K. R., Associate Professor, Business Administration, Leave without pay, 8/16/80 through 5/15/81.

College of Education

Kifer, Edward W., Jr., Associate Professor, Educational Psychology and Counseling, Sabbatical leave with full-salary, 8/16/80 through 12/31/80. Note: To work with the International Association for the Evaluation of Educational Achievement.
Stevens, J. Truman, Associate Professor, Curriculum and Instruction, Sabbatical leave with full-salary, 8/16/80 through 12/31/80.

College of Fine Arts

Fitzpatrick, Joseph L., Associate Professor, Art, Sabbatical leave with full-salary, 1/1/81 through 6/30/81.
Frederick, Deborah, Associate Professor, Art, Sabbatical leave with full-salary, 1/1/81 through 6/30/81.
Hamann, Marilyn, Associate Professor, Art, Sabbatical leave with half-salary, 7/1/80 through 6/30/81.
Hunter, Leonard L., III, Associate Professor, Art, Leave without pay, 7/1/80 through 6/30/81.
Peters, Jane S., Associate Professor, Art, Sabbatical leave with full-salary, 1/1/81 through 6/30/81.
Sandoval, Arturo A., Associate Professor, Art, Sabbatical leave with full-salary, 7/1/80 through 12/31/80.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

Office of the President
April 1, 1980

PR 3a

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Honorary Degree Recipients

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Letters to Mrs. Harriette Louisa Simpson Arnow, and the Doctor of Laws to Mr. Edward F. Prichard, Jr.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation.

Biographical sketches of each recipient are attached.

Action taken: Approved Disapproved Other

Date: April 1, 1980.

HARRIETTE ARNOW

Harriette Louisa Simpson Arnow was born in 1908 in Wayne County, Kentucky, and attended both Berea College and the University of Louisville where she received her B.S. degree in 1931. She is well-known as a writer, having produced to date five novels, various short stories (two of which were anthologized in O. Henry Memorial Award Prize Stories), full length non-fictional works, and various articles and reviews.

Her third novel, The Dollmaker, which explores the effects of urban life on the uprooted mountaineer, is indicative of her outstanding achievement in creative writing. Published in 1954, it came in second in the final run for the National Book Award (the winner was William Faulkner's "The Fable"). The work did win the 1955 Friends of American Writers Award and the following words of praise from the acclaimed novelist Joyce Carol Oates: "The Dollmaker [is] a legitimate tragedy, our most unpretentious American masterpiece."

Recognition of her work has already been forthcoming. She has received a special Weatherford Award granted to publications that further an understanding of Appalachia. Other honors include the Woodcock Award from the University of Louisville and an honorary degree from Transylvania.*

Mrs. Arnow is being recommended for Honorary Doctorate of Letters.

*Information comes from Contemporary Authors and from a Courier Journal Magazine article by Shirley Williams.

Mountain Path (novel) 1936; Hunter's Horn, 1949, The Dollmaker, 1954, Seedtime on the Cumberland (nonfiction) 1960, Flowering of the Cumberland (nonfiction), 1963, The Weedkiller's Daughter, 1970, Kentucky Trace, 1975, Old Burnside, 1977.

EDWARD F. PRICHARD, JR.

Edward F. Prichard, Jr., Frankfort attorney, presents a special case for anyone considering candidates for an honorary degree. Although no formal recognition for his achievements is evident in the form of published works, formal awards or elected political offices (????), his contributions to the policy-making decisions in Kentucky and the United States, and in recent years, to education in Kentucky, have precipitated his nomination by several University of Kentucky faculty members.

Mr. Prichard is now 64, diabetic, on dialysis and has lost his eyesight. Despite these infirmities, he still wields influence for which he has always been known.

Born in Bourbon County, Prichard was a precocious child and top student, as seen in his performance at the head of his high school class, attendance at Princeton, entrance in Harvard Law School at age twenty, and finally, a Supreme Court clerkship to Felix Frankfurter in 1939. He quickly took on an important executive branch post and became a member of FDR's brain trust. Arthur Schlesinger, Jr. tells of Mr. Prichard's sharp and resourceful legal intelligence, profound humanity and deep concern with the objectives of Roosevelt's New Deal.

After Roosevelt's death, Prichard returned to Kentucky where he seemed destined for governorship, but in the words of Courier Journal writer John Ed Pearce, his "brilliant future became a nightmare," when in 1948 he stuffed 254 ballots into boxes in Bourbon County and spent five months in Federal prison before a pardon from President Truman. Relegated to advice behind the scenes, Mr. Prichard contented himself with indirect influence through people such as legal expert Paul Freund; criminologist James Q. Wilson; journalist James Wechsler; and publisher Katharine Graham, among others.

In addition, he established a legal office and became advisor to numerous individuals in the political arena, drawing upon his vast knowledge of State and National political history.

"Few people have counseled as many with as much disinterested wisdom, good humor and steadfast judgment," says Americans for Democratic Action founder James Rauh. "He is an institution in his own right, a national resource of intelligence and articulation."

Some of the public triumphs and tragedies of his life have been described in the Washington Post, the Courier-Journal, and most recently, Halberstam's The Powers That Be.

He was appointed to the Kentucky Council on Higher Education where he has served in a constructive role for the past twelve or so years. He has been one of the strongest advocates of quality education at the State's institutions of higher learning and has supported the concept of the University's primary institutional role in the State.

One faculty nominator states, "I propose Prichard for two reasons: his unfailing courage in the face of potentially devastating illness and his continuing contributions to higher education in the Commonwealth."

A second faculty nominator states, "Edward F. Prichard, Jr., should need no vita or other career description to any member of our faculty. He has shown more common sense about higher education and has gained more for us than anybody I have known in the last three decades of my experience here. He deserves recognition."

In sum, there appears to be an overwhelming sense of reverence and affection for a person who has endured so much and who, in spite of it all, through his knowledge, brilliance and desire for public service, has made many contributions to higher education in the State.

It is for this that Mr. Edward F. Prichard, Jr., is being recommended for Honorary Doctor of Laws.

Office of the President
April 1, 1980

PR 36

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Patent Assignments

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following applications for Letters of Patent of the United States and the inventions represented thereby: Anwar A. Hussain, James E. Truelove and Harry B. Kostenbauder, Serial No. 43,814 and Serial No. 43, 815, filed May 30, 1979.

Background: Anwar A. Hussain, James E. Truelove and Harry B. Kostenbauder have invented certain improvements in "Novel Derivatives of Aspirin." They have assigned their rights to the inventions to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patents to the University of Kentucky Research Foundation.

II. Patent Assignment

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters of Patent of the United States and the invention represented thereby: Anwar A. Hussain, Shinichiro Hirai, and Rima Bawarshi, Serial No. 63,176, filed August 3, 1979.

Background: Anwar A. Hussain, Shinichiro Hirai, and Rima Bawarshi invented certain improvements in NOVEL METHOD OF ADMINISTERING PROPRANOLOL AND NOVEL DOSAGE FORMS CONTAINING SAME. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

III. Council of Supervisors Appointments

Recommendation: that approval be given to the reappointment of Mrs. Sally Hermansdorfer and Mr. Barney Tucker as members of the Council of Supervisors, University Hospital, for three-year terms which would expire December 31, 1982, and the appointment of Mr. Ershal Harrison as the student member of said Council.

Recommendation: These appointments have been recommended by the Council of Supervisors, University Hospital.

IV. Appointment of Interim Director of the Tobacco and Health Research Institute

Recommendation: that Dr. Ernest W. Chick be appointed Interim Director of the Tobacco and Health Research Institute, effective immediately.

Background: Dr. Chick is being appointed Interim Director pending the selection of a permanent successor to Dr. John P. Wyatt.

Dr. Chick received his bachelor's and medical degrees from Duke University. Positions he has held include Chairman of the Division of Preventive Medicine and Associate Professor at West Virginia University School of Medicine; Professor in the Departments of Community Medicine and Medicine at the University of Kentucky College of Medicine; Chief of the Research Laboratory in Mycology at Lexington Veterans Administration Hospital; Director of the Office of Mycology Research, Division for Preventive Services, Bureau of Health Services, Department for Human Resources; and Associate Director of the Tobacco and Health Research Institute at the University of Kentucky.

V. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. ACADEMIC APPOINTMENTS

MEDICAL CENTER

College of Medicine

Thompson, John S., professor (with tenure), Medicine, named
Chairman, Medicine, effective July 1, 1980.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980.

Office of the President
April 1, 1980

PR 4

Members, Board of Trustees:

1979-80 BUDGET REVISIONS

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Community College System	\$ 4,774,500	\$ 4,795,000	\$ 20,500
Continuing Education	1,969,300	2,175,100	205,800
Federal Appropriations			
Agricultural Experi- ment Station	3,702,500	3,705,600	3,100
County Appropriations	2,011,500	2,183,300	171,800
Gifts and Grants			
The Fund for the Advancement of Education and Research in the UK Medical Center	848,300	877,300	29,000
Other Gifts and Grants	1,153,900	1,195,300	41,400
Sales and Services			
Farm Sales	712,500	807,500	95,000
Public Services and Regulatory	1,216,400	1,276,400	60,000
Departmental Sales and Services	4,228,800	4,252,300	23,500
			<u>650,100</u>
2. Expenditures			
Academic Affairs			
College of Arts & Sciences			
Chemistry	1,038,100	1,038,400	300
College of Education			
Center for Professional Development	179,200	185,700	6,500
College of Engineering			
Continuing Education	353,200	543,900	190,700
College of Fine Arts			
Theatre Arts	230,100	237,800	7,700

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (Cont'd)			
Academic Affairs (Cont'd)			
Agricultural Experiment Station	\$10,522,700	\$10,680,800	\$ 158,100
Agricultural Cooperative Extension	7,447,900	7,619,700	171,800
Administration and Support			
General Library	2,678,500	2,687,500	9,000
Community College System			
Hopkinsville Community College	1,072,100	1,076,300	4,200
Madisonville Community College	837,000	847,900	10,900
Off Campus Instruction	70,000	90,500	20,500
Program Reserve	505,400	525,800	20,400
Medical Center			
College of Allied Health Professions			
Center for Learning Resources	265,900	280,400	14,500
College of Medicine			
Salary Supplementation	-0-	29,000	29,000
Student Affairs			
KENTUCKIAN	41,700	48,200	6,500
			<u>650,100</u>

3. Comments - Budget increases for Hopkinsville and Madisonville Community Colleges, the College of Engineering, and Off Campus Instruction in the Community College System will be supported by additional student fee income. The proposed increase in the Agricultural Cooperative Extension will be funded by increased County appropriations. The increase in the Agricultural Experiment Station will be supported by Farm Sales, Public Services and Regulatory Fees as well as an increase in the Federal Appropriation. An increase in the grant from the Fund for the Advancement of Education and Research in the UK Medical Center will support the budget increase in the College of Medicine. The budget adjustments in the Colleges of Allied Health Professions and Education will be supported by increased gifts and grants. The increase in the Community College System program reserve is a result of a grant from the Council on Higher Education for AHES fiscal agent responsibilities. The proposed budget changes in the Colleges of Arts and Sciences and Fine Arts as well as the Library and the KENTUCKIAN will be supported by increased sales and services.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$16,766,100	\$16,878,200	\$112,100
2. Expenditures			
Academic Affairs			
College of Arts and Sciences			
Chemistry	22,800	23,500	700
College of Engineering Administration	119,900	129,900	10,000
Agricultural Experiment Station			
Plant Pathology	76,600	81,600	5,000
Animal Sciences	214,500	219,500	5,000
Entomology	146,500	149,400	2,900
Agricultural Cooperative Extension			
Horticulture	-0-	2,200	2,200
Graduate School	3,800	9,500	5,700
University Extension	-0-	29,200	29,200
Medical Center			
College of Allied Health Professions			
Medical Technology	-0-	4,500	4,500
College of Medicine Medicine	380,900	389,400	8,500
Obstetrics and Gynecology	28,300	51,300	23,000
Community College System Paducah Community College	130,000	130,300	300
Student Aid Gifts and Grants for Scholarships			
General Scholarships	581,300	596,400	<u>15,100</u>
			<u>112,100</u>
3. <u>Comments</u> - Income restricted for the purpose indicated above will fund the proposed additional expenditures.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$18,899,200	\$18,925,600	\$26,400
2. Expenditures			
Community College System			
Henderson Community College	74,100	80,500	6,400
Madisonville Community College	45,900	65,900	<u>20,000</u>
			<u>26,400</u>
3. <u>Comments</u> - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary services operations.			

D. Contracts and Grants Awards Received

	<u>Amount</u>
Academic Affairs	
College of Engineering	
Mechanical Engineering - Thermohydraulic Forces Associated with Breaks in Reactor Pipes (T3E86)	\$ 7,700
Agricultural Experiment Station	
Plant Pathology - Mechanisms of Induced Systemic Resistance in Plants (T0127)	<u>35,000</u>
	<u>42,700</u>

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1 , 1980

Office of the President
April 1, 1980

PR 5

Members, Board of Trustees:

CAPITAL CONSTRUCTION PROJECTS

Recommendation: that the Board of Trustees approve for submission to the Council on Higher Education a capital construction project proposal for the Community College System. The project is Jefferson Community College Slate Roof Repairs.

Background: The Jefferson Community College project involves routine roof renovation due to the age of existing structures. The project cost is estimated at \$130,000.

Funding for the Jefferson Community College project will come from funds available in the Plant Fund for Community College System projects.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

Office of the President
April 1, 1980

PR 6

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky which were received and tabled at the March 3, 1980, meeting of the Executive Committee of the Board of Trustees be removed from the table and approved.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

First Amendment - Change the second paragraph of Part VIII,A,1 (page 27) of the Governing Regulations to read:

[The vice presidents, the Dean of the Graduate School, the dean of the colleges, the directors of the community colleges, the chairmen of departments (University System), the chairmen of divisions (Community College System) and every administrative officer of the University who is to report directly to the President or whose initial salary is in excess of \$15,000 per annum shall be appointed by the Board of Trustees, acting upon the recommendation of the President. Except in the case of those administrative officers who will report directly to the President, the President shall seek recommendations from an appointee's superior officer prior to recommending an appointment or reappointment by the Board of Trustees. All administrative officials and employees other than those described above may be appointed by the President upon the recommendation of the appropriate person or persons superior in rank to the appointee. The President shall report his action to the Board of Trustees. The President may delegate the power to appoint administrative, non-academic and classified personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officials.]

The vice presidents, the chief administrative officers of educational units (deans, directors, and chairpersons) and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 16 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, the President shall seek recommendations from an appointee's superior officer prior to recommending an appointment or reappointment by the Board of Trustees. Non-faculty employees other than those described above may be appointed by the President upon recommendation of the appropriate person or persons superior in rank to the appointee. The President shall report all appointments at Levels 12 through 15 in the Administrative and Professional Classification System to the Board of Trustees. The President may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officials.

Background: Existing regulations and procedures pertaining to approving and/or reporting appointments or reappointments of vice presidents, chief administrative officers of educational units, administrative and professional employees, and all other non-faculty employees are related to salary levels which were appropriate in 1964 but are currently impractically low. Also, a new Administrative and Professional Classification System has been implemented. In approving this amendment, the Board of Trustees would be adopting the new policy stated in this amendment to supplant not only the policy stated in the above bracketed paragraph but also pertinent portions of the following policy statement which was approved by the Board on February 24, 1964:

Approval by the Board of Trustees is required for all appointments to positions with the rank of associate professor or above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

Second Amendment - Change Part VIII,B (page 29) of the Governing Regulations to read:

[All appointments, reappointments and promotions of the academic staff above the rank of assistant professor, or its equivalent for this purpose, or which carry tenure, shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments, reappointments or promotions below the rank of associate professor and salary adjustments below \$15,000 may be finally approved by the President. He shall report his action to the Board of Trustees. The President may delegate the power to make appointments, reappointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council or the Community College Council as appropriate, shall establish such review systems as are appropriate to assist him in making informed judgments concerning academic appointments, promotions and granting of tenure.]

All appointments and promotions of faculty to positions which involve an actual or equivalent academic rank of associate professor or professor, tenure, or an initial salary above \$30,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding \$30,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees. The President may delegate the power to make appointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council or the Community College Council, as pertinent, shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officials designated by the President, in making informed judgments concerning academic appointments, promotions and granting of tenure.

Background: Existing regulations and procedures pertaining to approving and/or reporting faculty appointments and promotions are related to salary levels which were appropriate in 1964 but are currently impractically low. In approving this amendment, the Board of Trustees would be adopting the modified policy stated in this amendment to supplant not only the policy stated in the above bracketed paragraph but also pertinent portions of the policy statement approved by the Board of Trustees on February 24, 1964, which is provided in the background material for the first amendment.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

Office of the President
April 1, 1980

PR 7

Members, Board of Trustees:

CONFIRMATION OF 1980-81 FEE SCHEDULE

Recommendation: that the Board of Trustees 1) confirm changes in the fees charged students on the Lexington campus as adopted by the Council on Higher Education at its meeting on February 27, 1980, and 2) establish corresponding part-time and Summer School charges under the guidelines of the Council on Higher Education.

Background: Under KRS 164.020, the Council on Higher Education is charged with the duty of determining the amount of entrance and/or registration fees for admission to Kentucky public institutions of higher education. The schedule adopted by the Council for Fall 1980 reflects a gradual movement to tuition levels charged at the University's benchmark institutions. For undergraduate and graduate resident students, the Fall 1980 increase is the first increase since Fall 1977.

The complete schedule recommended for adoption follows. Charges for part-time and Summer School students are derived, as the Council has directed, from the semester rate, using this institution's definition of what constitutes a full-time load for each level and program area. All charges reflect the \$10.00 per semester increase in the Student Center Activity Fee approved by this Board in March of last year. There is no change in charges to Community College students.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980.

UNIVERSITY OF KENTUCKY
FEES SCHEDULE
(EFFECTIVE FALL, 1980)

	Semester Full-Time ¹ /Charge	Eight Week Summer Session Full-Time ² /Charge	Part-Time and Four-Week Intersession Charge per Credit Hour
<u>Lexington Campus</u>			
Undergraduate (Includes Lexington Technical Institute)			
Resident	341	174	29
Non-Resident	1016	510	85
Graduate			
Resident	376	210	42
Non-Resident	1066	595	119
Law			
Resident	466	235	47
Non-Resident	1316 1216 ^{3/}	660 610 ^{3/}	132 122 ^{3/}
Pharmacy			
Resident	516	258	43
Non-Resident	1141	576	96
Medicine ^{4/}			
Resident	1832	---	--
Non-Resident	4032 3732 ^{3/}	---	--
Dentistry ^{4/}			
Resident	1582	---	--
Non-Resident	3432 3332 ^{3/}	---	--
<u>Community Colleges</u>			
Resident	195	102	17
Non-Resident	500	252	42

^{1/} For fee payment purposes twelve credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students, nine hours for graduate students, and ten hours for law students. Charges for the Lexington campus include a \$22.00 Student Center Fee and a \$12.25 Activity Fee.

^{2/} For fee payment purposes, six credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students, five hours for graduate and law students. Charges for Lexington campus include a \$11.00 Student Center Fee.

^{3/} Charge to non-resident students currently enrolled in these professional programs.

^{4/} Annual charges. Student Center Fee of \$44.00 and Activity Fee of \$24.50 are included.

Office of the President
April 1, 1980

PR 8

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professor James Barclay, Department of Educational Psychology and Counseling; Professor Allan Butterfield, Department of Chemistry; Professor Charles Roland, Department of History; and Professor Fred Zechman, Department of Physiology and Biophysics, as University Research Professors for 1980-81.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships as soon as possible and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support four one-year Research Professorships to be activated in the fall of 1977. The first four Research Professors were named by the Board of Trustees at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980.

Office of the President
April 1, 1980

PR 9

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky be received at this time for preliminary consideration and, at the next regular meeting of the Board of Trustees, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

First Amendment -- Change the current fifth paragraph of Part VII,A,1 to read:

An institute is [a] an educational unit established for the administration of interdisciplinary programs that are primarily research in nature. The term "center" may, in some cases, be used as a synonym for research institute. [It] Such an educational unit is administratively responsible to the Dean of the Graduate School and Coordinator of Research, unless the administrative responsibility specifically has been delegated otherwise. The chief administrative officer of an institute is a director.

Background: In view of the common research focus of four centers (Appalachian Center, Center for Developmental Change, Sanders-Brown Research Center for Aging, and Multidisciplinary Center for Gerontology), this addition provides needed consistency between the Governing Regulations and current practice and has been recommended by the University Senate.

Second Amendment -- Add the following as a new fifth paragraph in Part VII,A,1:

A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate School. It is associated exclusively with graduate programs and their attendant courses and research. Its faculty have primary appointments within a college, or in some cases, within the center.

Background: The establishment of graduate centers as a new type of educational unit was authorized by the Board of Trustees on July 19, 1977. This addition provides needed consistency between the Governing Regulations and current practice and has been recommended by the University Senate.

Third Amendment -- Change the last paragraph of Part VII,A,3 to read:

Recommendations of the search committees shall be transmitted to the President through the normal administrative channels along with the recommendations of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of chairmen (chairpersons) of academic departments or divisions shall be accompanied by written statements from at least the tenured members of the department or division.

Background: Faculty inputs on both appointment and reappointments of chairmen (chairpersons) are important but some uncertainty has existed as to whether or not such inputs on reappointment have been required. This addition provides clarification of policy and has been recommended by the University Senate.

Fourth Amendment -- Replace the current fourth and fifth paragraphs of Part X,B,1 with the following paragraphs:

[A post-retirement appointment is a type of appointment which is used in a very limited number of retirement cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such an appointment may be part-time or full-time, requires approval by the Board of Trustees, and is made for periods not to exceed one year each, but in no case will such an appointment extend beyond the fiscal year in which the employee attains age 70.

Notwithstanding the prohibition relating to appointments beyond age 70, however, a former employee who has attained age 70 may be employed, subject to conditions specified in the Administrative Regulations (Section II.B.2 of AR II-8.0-4), to perform duties for which fee schedules have been established and approved by the President of the University.]

Post-retirement appointments are of two types. One type is that in which retired employees may be appointed to perform duties for which fee schedules have been established and approved by the President of the University.

A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire but have not reached the mandatory retirement age, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one year.

Background: This change brings the Governing Regulations into conformity with an amendment of Section V of the University of Kentucky Retirement Plan which was approved by the Board of Trustees on September 18, 1979.

Fifth Amendment -- Add the following as a new fourth paragraph in Part X,B,1:

The term "joint appointment" shall apply in any case in which an individual holds two or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college, by the appropriate academic vice president if the appointments are with educational units in different colleges but in the same academic sector (i.e., Division of Colleges, Medical Center, or Community College System) or by the President if the appointments are with educational units in different academic sectors. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment should be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time.

Background: This addition fulfills a need in the Governing Regulations relative to explaining the term "joint appointment" and describing certain policies and procedures pertinent to such an appointment.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

Office of the President
April 1, 1980

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report of the eight months ending February 29, 1980 be accepted.

Background: The February 29, 1980 report reflects income of \$185,781,796 for the period of the report; the income figure is 76% of the annual income estimate of \$245,152,135.

The expenditures and commitments represent a total of \$155,964,147 for this period of eight months, or 64% of the expenditure authority of \$245,152,135 approved by the Board.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

University of Kentucky
Balance Sheet
February 29, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Current Funds:		
Unrestricted:		
Cash in banks:		
Depository funds	\$ 9,545,482	\$ 1,108,334
Cash on deposit with State Treasurer:		
Trust and agency funds	<u>8,308,237</u>	<u>15,466,678</u>
Total cash	<u>17,853,719</u>	<u>16,575,012</u>
Due from other funds and affiliated corporations	6,430,402	4,766,067
Accounts receivable	1,226,105	1,404,424
Notes receivable	71,190	72,094
Investment-short term	16,010,881	15,851,264
Inventories	4,339,188	3,520,359
Unrealized income (Statement 3)	<u>35,310,901</u>	<u>35,692,208</u>
Total unrestricted	<u>81,242,386</u>	<u>77,881,428</u>
Restricted:		
Cash in banks	3,029,114	251,706
Imprest funds	38,505	32,265
Cash on deposit with State Treasurer	<u>1,654,801</u>	<u>1,914,104</u>
Total cash	<u>4,722,420</u>	<u>2,198,075</u>
Petty cash advances	194,670	241,086
Travel advances	210,893	104,072
Accounts receivable	1,126	4,125
Accrued interest receivable	687,235	392,291
Investments:		
Short-term (Schedule 1)	16,358,265	11,110,000
Other	149,708	232,236
Unrealized income:		
Restricted (Statement 3)	4,551,009	4,329,018
Tobacco Research Trust Fund (Statement 2)	<u>2,100,008</u>	<u>2,095,868</u>
Total restricted	<u>28,975,334</u>	<u>20,706,771</u>

University of Kentucky
Balance Sheet
February 29, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 6,446,405	\$ 5,217,823
Accounts payable-county funds	28,565	55,586
Accrued expenses	853,000	891,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred income	28,854	18,148
Accrued vacation	2,825,000	2,600,000
Unencumbered balance, departmental appropriations (Statement 4)	59,594,389	59,114,852
Fund balances:		
Allocated for working capital	<u>10,166,173</u>	<u>8,684,019</u>
Total unrestricted	<u>81,242,386</u>	<u>77,881,428</u>
Restricted:		
General Fund collections	4,729,015	3,789,344
Outstanding encumbrances	683,432	465,454
Due to other funds and affiliated corporations	8,235,399	3,705,686
Advance from state for imprest cash fund	50,000	50,000
Deferred income	37,590	
Refundable deposits	62,293	56,904
Outstanding check liability	45,519	96,183
Unencumbered balance, departmental appropriations (Statement 4)	5,895,411	4,381,090
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Statement 4)	2,428,918	2,670,295
Fund balances:		
Restricted fund (Statement 2)	5,873,866	4,931,374
Tobacco Research Trust Fund-unallocated funds (Statement 2)	<u>933,891</u>	<u>560,441</u>
Total restricted	<u>28,975,334</u>	<u>20,706,771</u>

University of Kentucky
Balance Sheet
February 29, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Hospital and clinics:		
Due from other funds and affiliated corporations	5,728,286	1,076,614
Accounts receivable, net of allowance for doubtful account of \$8,739,468 in 1980 and \$3,619,173 in 1979	10,969,675	11,846,379
Inventories	677,074	504,000
Unrealized income (Statement 3)	<u>11,420,177</u>	<u>10,717,085</u>
Total hospital and clinics	<u>28,795,212</u>	<u>24,144,078</u>
Housing and dining system:		
Cash in bank	545,770	74,015
Cash on deposit with State Treasurer	3,004,937	2,261,662
Accounts receivable	252,222	245,526
Investments-short term	1,307,199	1,839,878
Inventories	1,262,315	1,481,207
Prepaid expenses	60,328	57,026
Unrealized income (Statement 3)	<u>4,325,428</u>	<u>3,468,348</u>
Total housing and dining system	<u>10,758,199</u>	<u>9,427,662</u>
Auxiliary enterprises:		
Cash in banks	157,049	448,829
Cash on deposit with State Treasurer		<u>379,171</u>
Total cash	<u>157,049</u>	<u>828,000</u>
Accounts receivable	29,666	23,333
Notes receivable	87,000	87,000
Inventories	537,540	474,524
Unrealized income (Statement 3)	<u>1,662,816</u>	<u>1,509,610</u>
Total auxiliary enterprises	<u>2,474,071</u>	<u>2,922,467</u>
TOTAL CURRENT FUNDS	<u>\$ 152,245,202</u>	<u>\$ 135,082,406</u>

University of Kentucky
Balance Sheet
February 29, 1980
With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Hospital and clinics:		
Outstanding encumbrances	280,554	1,030,228
Accounts payable	2,310,243	
Accrued vacation	470,000	430,000
Unexpended balance, departmental appropriation (Statement 4)	15,240,916	14,529,131
Fund balances-allocated for working capital (Statement 2)	10,493,499	8,154,719
	<u>28,795,212</u>	<u>24,144,078</u>
Total hospital and clinics		
Housing and dining system:		
Accrued expenses	664,240	459,226
Accrued wages	80,948	185,807
Accrued vacation	60,000	55,000
Accounts payable	288,065	311,347
Due to other funds and affiliated corporations	1,304,924	1,440,389
Refundable deposits	81,900	46,170
Deferred income	2,995,941	2,700,193
Unexpended balance, departmental appropriation (Statement 4)	4,932,967	3,941,150
Fund balances:		
Allocated for future operating purposes (Statement 2)	349,214	288,380
	<u>10,758,199</u>	<u>9,427,662</u>
Total housing and dining system		
Auxiliary enterprises:		
Outstanding encumbrances	289,680	249,005
Due to other funds and affiliated corporations	261,889	269,624
Unencumbered balance, departmental appropriation (Statement 4)	1,095,387	1,301,926
Fund balances-allocated:		
Working capital	686,487	484,562
Future operating purposes	140,628	617,350
Total fund balances (Statement 2)	827,115	1,101,912
	<u>2,474,071</u>	<u>2,922,467</u>
Total auxiliary enterprises		
TOTAL CURRENT FUNDS	<u>\$ 152,245,202</u>	<u>\$ 135,082,406</u>

University of Kentucky
Balance Sheet
February 29, 1980
With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Loan Funds:		
Cash in bank	\$ 639,873	\$ 582,380
Notes receivable, net allowance for doubtful accounts of \$ 1,043,572 in 1980 and \$ 725,947 in 1979	11,203,228	10,748,349
Due from other funds and affiliated corporations		236
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
TOTAL LOAN FUNDS	<u>\$11,845,101</u>	<u>\$11,332,965</u>
Endowment Funds:		
Investments	\$ 4,764,536	\$ 4,284,768
TOTAL ENDOWMENT FUNDS	<u>\$ 4,764,536</u>	<u>\$ 4,284,768</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 8,015,692	\$ 4,946,212
Capital and construction funds	<u>9,847,896</u>	<u>17,521,010</u>
Total cash on deposit with State Treasurer	<u>17,863,588</u>	<u>22,467,222</u>
Due from other funds and affiliated corporations	2,616,423	1,397,192
Due from Federal Government	339,473	595,679
Due from proposed bond issue	823,848	
Construction in progress	14,819,407	18,359,440
Funded future construction	<u>24,767,218</u>	<u>24,390,672</u>
Total unexpended plant funds	<u>61,229,957</u>	<u>67,210,205</u>

University of Kentucky
Balance Sheet
February 29, 1980

Statement 1

With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Loan Funds:		
Federal sponsored loan funds:		
Federal Government	\$ 8,987,612	\$ 8,741,272
University matching funds	1,010,226	981,495
Accumulated interest income	<u>1,070,845</u>	<u>954,578</u>
Total sponsored loan funds	<u>11,068,683</u>	<u>10,677,345</u>
University loan funds:		
University student aid funds	87,084	87,854
University special student loan funds	687,334	565,766
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>776,418</u>	<u>655,620</u>
TOTAL LOAN FUNDS	<u>\$ 11,845,101</u>	<u>\$ 11,332,965</u>
Endowment Funds:		
Fund balances	<u>\$ 4,764,536</u>	<u>\$ 4,284,768</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 4,764,536</u>	<u>\$ 4,284,768</u>
Plant Funds:		
Unexpended:		
Outstanding encumbrances	\$ 5,346,712	\$ 16,300,901
Accounts payable	623,000	
Due to capital construction fund	823,848	
Bonds payable	4,730,000	8,367,167
Appropriations-unencumbered balances	14,578,081	7,563,914
Fund balances allocated for construction-renovation	<u>35,128,316</u>	<u>34,978,223</u>
Total unexpended plant funds	<u>61,229,957</u>	<u>67,210,205</u>

University of Kentucky
Balance Sheet
February 29, 1980

With Comparative Figures for 1978-79

ASSETS

	<u>1979-1980</u>	<u>1978-1979</u>
Renewal and replacements:		
Cash on deposit with Trustees	25,409	19,535
Cash on deposit with State Treasurer	20,308	390,107
Due from other funds and affiliated corporations	319,855	
Investments held by Trustees	<u>1,065,275</u>	<u>982,266</u>
Total renewal and replacements	<u>1,430,847</u>	<u>1,391,908</u>
Retirement of indebtedness:		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	1,251	8,229
Investments held by Trustees	<u>46,983,606</u>	<u>46,683,514</u>
Total retirement of indebtedness	<u>47,034,857</u>	<u>46,741,743</u>
Investment in plant:		
Land	20,335,589	17,139,529
Buildings	253,345,833	234,170,867
Equipment	<u>87,916,869</u>	<u>78,556,139</u>
Total investment in plant	<u>361,598,291</u>	<u>329,866,535</u>
TOTAL PLANT FUNDS	<u>\$ 471,293,952</u>	<u>\$ 445,210,391</u>
Agency Funds:		
Cash	\$ 323,466	\$ 285,467
Due from other funds and affiliated corporations	540	110,135
Accounts receivable	<u>15,000</u>	<u>15,000</u>
Investments short-term (Schedule 1)		
TOTAL AGENCY FUNDS	<u>\$ 339,006</u>	<u>\$ 410,602</u>

University of Kentucky
 Balance Sheet
 February 29, 1980
 With Comparative Figures for 1978-79

LIABILITIES AND FUND BALANCES

	<u>1979-1980</u>	<u>1978-1979</u>
Renewal and replacements:		
Due to other funds and affiliated corporations		
Outstanding encumbrances	10,889	183,988
Fund balances:		133,542
Restricted (Schedule 4)	825,525	822,350
Unrestricted (Schedule 4)	594,433	252,028
Total fund balances	<u>1,419,958</u>	<u>1,074,378</u>
Total renewal and replacements	<u>1,430,847</u>	<u>1,391,908</u>
Retirement of indebtedness:		
Bonds payable (Schedule 3)	32,782,000	32,962,000
Fund balances (Schedule 3)	14,252,857	13,779,743
Total retirement of indebtedness	<u>47,034,857</u>	<u>46,741,743</u>
Investment in plant:		
Due to other funds and affiliated corporations		
Bonds payable	381,000	98,292,833
Lease purchase obligations	104,421,500	5,155,000
Net investment in plant	5,000,000	226,418,702
Total investment in plant	<u>361,598,291</u>	<u>329,866,535</u>
TOTAL PLANT FUNDS	<u>\$ 471,293,952</u>	<u>\$ 445,210,391</u>
Agency Funds:		
Outstanding encumbrances	\$ 2,083	\$ 325
Due to other funds and affiliated corporations	9,331	
Funds held in custody for others	327,592	410,277
TOTAL AGENCY FUNDS	<u>\$ 339,006</u>	<u>\$ 410,602</u>

University of Kentucky
 Statement of Changes in Fund Balances--Current Funds
 Eight Months Ended February 29, 1980

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospital and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances July 1, 1979	\$ 16,031,558	\$ 5,873,866	\$ 933,891	\$10,493,499	\$ 349,214	\$ 1,232,115
Realized income	136,724,195	6,177,306	2,338,881	27,264,812	10,445,860	2,830,742
Unrealized income	35,310,901	4,551,009	2,100,008	11,420,177	4,325,428	1,662,816
Total estimated income	172,035,096	10,728,315	4,438,889	38,684,989	14,771,288	4,493,558
Less fund balance appropriated	5,865,387					405,000
Net current estimated income	166,169,709	10,728,315	4,438,889	38,684,989	14,771,288	4,088,558
Total available	182,201,267	16,602,181	5,372,780	49,178,488	15,120,502	5,320,673
Current year expenditures/ encumbrances	112,440,707	4,832,904	2,009,971	23,444,073	9,838,321	3,398,171
Unencumbered balances, appropriations	59,594,389	5,895,411	2,428,918	15,240,916	4,932,967	1,095,387
Total authorized appropriations	172,035,096	10,728,315	4,438,889	38,684,989	14,771,288	4,493,558
Fund Balances February 29, 1980	\$ 10,166,171	\$ 5,873,866	\$ 933,891	\$10,493,499	\$ 349,214	\$ 827,115

University of Kentucky
 Summary of Current Revenues and Appropriated Balances
 Eight Months Ended February 29, 1980
 With Comparative Figures for 1978-79

Fund and Source:	1979-80		1978-79	
	Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date
Unrestricted:				
Student fees	\$ 22,703,801	\$ 21,763,155	\$ 21,385,142	\$ 20,468,111
Federal appropriations	11,842,907	8,517,592	11,177,069	7,025,634
County appropriations	2,011,500	1,143,188	1,787,200	980,389
Endowment and trust fund income	168,900	155,317	177,900	100,143
Investment income	1,935,000	2,543,230	1,835,000	1,247,357
Gifts and grants:				
Affiliated corporations	3,361,513	201,316	4,183,333	75,974
Other funds	375,000	156,049	375,000	103,607
Other	1,527,830	1,047,991	1,660,540	631,523
Sales, services, other income	5,335,847	3,933,190	4,821,891	3,619,181
Subtotal	49,262,298	39,461,028	47,403,075	34,251,919
State appropriations	116,907,411	91,397,780	106,085,655	83,544,603
Fund balance appropriated	5,865,387	5,865,387	8,387,967	8,387,967
Total unrestricted	172,035,096	136,724,195	161,876,697	126,184,489
Restricted	10,728,315	6,177,306	7,705,963	3,376,945
Tobacco Research Trust Fund:				
Receipts	4,438,889	2,338,881	4,360,000	2,264,132
Fund balances appropriated			517,892	517,892
Total Tobacco Research Trust Fund	4,438,889	2,338,881	4,877,892	2,782,024
Hospital and Clinics:				
State appropriations	6,988,889	5,455,558	7,017,145	5,025,623
Hospital earned income- net	31,696,100	21,809,254	28,229,050	19,503,487
Total Hospital and Clinics	38,684,989	27,264,812	35,246,195	24,529,110
Housing and Dining System	14,771,288	10,445,860	12,794,945	9,326,597
Auxiliary Enterprises	4,493,558	2,830,742	3,847,500	2,337,890
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$245,152,135	\$185,781,796	\$226,349,192	\$168,537,055
				74

University of Kentucky
 Summary of Current Funds Expenditures
 Eight Months Ended February 29, 1980
 With Comparative Figures for 1978-79

Fund or Function:	1979-80			1978-79		
	Appropriation for the Year	Expended to Date	% Expended to Date	Appropriation for the Year	Expended to Date	% Expended to Date
Unrestricted:						
Department of instruction and research	\$ 71,396,235	\$ 46,881,129	66	\$ 64,514,698	\$ 41,693,657	64
Summer session-1979	1,394,123	1,118,988	80	1,272,291	1,040,458	82
Organized activities	1,791,330	1,124,696	63	1,701,165	970,332	57
Organized research	15,513,307	10,667,919	69	14,273,545	9,685,598	68
Libraries	5,574,787	4,061,108	73	5,194,341	3,567,920	69
Extension and public service	20,423,474	13,672,560	67	18,477,224	11,705,570	63
Maintenance and operation of plant	14,600,695	9,241,628	63	13,312,266	8,427,830	63
Renovation, office furniture, etc.	2,181,415	1,807,503	83	5,315,817	2,792,195	53
General administration	7,889,777	4,691,793	59	7,435,266	4,244,758	57
Student services	4,990,565	3,231,070	65	4,610,613	2,966,454	64
Staff benefits	9,621,100	3,166,574	33	9,779,574	2,923,592	30
General institutional services	7,930,899	5,574,634	70	7,455,177	5,137,572	69
Service enterprises	214,233	242,103	113	231,018	203,596	88
Debt service cost	5,925,500	5,399,432	91	5,925,500	5,423,827	92
Student aid	1,884,992	1,559,570	83	1,813,952	1,553,486	86
Reserves	702,664			564,250	425,000	75
Total unrestricted	172,035,096	112,440,707	65	161,876,697	102,761,845	63

University of Kentucky
 Summary of Current Funds Expenditures
 Eight Months Ended February 29, 1980
 With Comparative Figures for 1978-79

Fund or Function: Restricted:	1979-1980			1978-1979		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Department of instruction and Research	\$ 2,580,748	\$ 930,056	36	\$ 3,171,101	\$ 1,368,739	43
Organized activities	153,069	60,723	40	118,849	74,891	63
Organized research	3,635,751	1,684,818	46	728,247	214,790	29
Libraries	55,942	6,850	12	57,977	9,413	16
Extension and public service	539,231	218,727	41	181,684	30,119	17
General institution services	684,155	392,169	57	733,666	417,468	57
Student aid	3,079,419	1,539,561	50	1,850,248	1,209,453	65
Reserves				864,191		
Total restricted	<u>10,728,315</u>	<u>4,832,904</u>	<u>45</u>	<u>7,705,963</u>	<u>3,324,873</u>	<u>43</u>
Tobacco Research Trust Fund	<u>4,438,889</u>	<u>2,009,971</u>	<u>45</u>	<u>4,877,892</u>	<u>2,207,597</u>	<u>45</u>
Hospital and Clinics	<u>38,684,989</u>	<u>23,444,073</u>	<u>61</u>	<u>35,246,195</u>	<u>20,717,064</u>	<u>59</u>
Housing and Dining System	<u>14,771,288</u>	<u>9,838,321</u>	<u>67</u>	<u>12,794,945</u>	<u>8,853,795</u>	<u>69</u>
Auxiliary Enterprises	<u>4,493,558</u>	<u>3,398,171</u>	<u>76</u>	<u>3,847,500</u>	<u>2,545,574</u>	<u>66</u>
TOTAL CURRENT FUND EXPENDITURES	<u>\$245,152,135</u>	<u>\$155,964,147</u>	<u>64</u>	<u>\$226,349,192</u>	<u>\$140,410,748</u>	<u>62</u>

University of Kentucky
 Schedule of Short Term Investments
 February 29, 1980

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Fund:		
Government securities:		
FHLB, 6.70%, 11/25/80	\$ 125,000	\$ 125,000
U. S. Treasury Bills, 15.58%, 1/29/81	2,290,000	1,998,265
U. S. Treasury Notes, 7.875%, 5/15/86	485,000	485,000
Total government securities	<u>2,900,000</u>	<u>2,608,265</u>
Certificates of deposit:		
First Security National Bank & Trust Company, 10.55%, 3/10/80	2,000,000	2,000,000
First National Bank of Louisville, 13.25%, 4/09/80	1,200,000	1,200,000
Bank of Commerce & Trust Company, 10.25%, 5/09/80	1,500,000	1,500,000
Bank of Commerce & Trust Company, 12.95%, 7/18/80	2,000,000	2,000,000
Bank of Commerce & Trust Company, 10.26%, 8/11/80	750,000	750,000
Second National Bank & Trust Company, 11.25%, 9/15/80	2,000,000	2,000,000
Bank of Lexington, 11.55%, 10/06/80	1,500,000	1,500,000
Bank of Commerce & Trust Company, 11.76%, 12/01/80	300,000	300,000
Bank of Commerce & Trust Company, 12.525%, 12/22/80	2,500,000	2,500,000
Total certificates of deposit	<u>13,750,000</u>	<u>13,750,000</u>
TOTAL RESTRICTED FUNDS	<u>\$ 16,650,000</u>	<u>\$ 16,358,265</u>
Agency Funds:		
Government securities:		
U. S. Treasury Notes, 7.875%, 5/15/86	\$ 15,000	\$ 15,000
TOTAL AGENCY FUNDS	<u>\$ 15,000</u>	<u>\$ 15,000</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Eight Months Ended February 29, 1980

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,925,500	\$ 5,399,432	91
Housing and dining system	1,474,692	903,672	61
Auxiliary enterprises current funds	<u>170,959</u>	<u>80,508</u>	<u>47</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 6,383,612</u>	<u>84</u>

Debt Service:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 506,775	\$ 64,398	13
Consolidated Educational Buildings-Series B	468,807	70,135	15
Consolidated Educational Buildings-Series C	234,496	49,420	21
Consolidated Educational Buildings-Series D	2,445,140	1,063,646	44
Consolidated Educational Buildings-Series E	271,512	139,525	51
Consolidated Educational Buildings-Series F	565,063	243,543	43
Community Colleges Educational Buildings-Series A	846,525	354,112	42
Community Colleges Educational Buildings-Series B	197,350	57,675	29
Community Colleges Educational Buildings-Series C	<u>389,832</u>	<u>145,910</u>	<u>37</u>
Subtotal	<u>5,925,500</u>	<u>2,188,364</u>	<u>37</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,474,692</u>	<u>595,250</u>	<u>40</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Eight Months Ended February 29, 1980

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	2,725	2,613	96
Alpha Delta Pi	12,733	10,208	80
Dorms A-F	39,195	2,520	6
Dorms G-L	50,598	13,252	26
Phi Delta Theta	8,320	2,025	24
Delta Delta Delta	4,850	4,360	90
Alpha Tau Omega	8,500	2,124	25
Housing Bonds of 1960	13,750	13,532	98
Student Housing Bonds of 1964	30,288	29,875	99
Subtotal	<u>170,959</u>	<u>80,509</u>	<u>47</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,571,151</u>	<u>\$ 2,864,123</u>	<u>38</u>

COMMONWEALTH OF KENTUCKY
 Schedule of Sinking Funds
 February 29, 1980

<u>Bond Issue with Reserves Fully Funded:</u>	<u>Total</u>	<u>Bonds</u>	<u>Fund Balances</u>
	<u>Sinking Fund</u>	<u>Payable</u>	
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 2,163,925		\$2,163,925
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,004,822		1,004,822
Series B	972,528		972,528
Series C	386,981		386,981
Series D	3,435,166		3,435,166
Series E	391,743		391,743
Series F	1,124,251		1,124,251
Total	<u>110,666</u>		<u>110,666</u>
Student Housing Bond and Interest Sinking Fund of 1964			
Community College Educational Buildings Project Bond and Interest			
Sinking Fund:			
Series A	1,307,167		1,307,167
Series B	314,487		314,487
Series C	592,346		592,346
Total	<u>78,480</u>		<u>78,480</u>
Student Housing Bond and Interest Sinking Fund of 1960			
Dormitory Revenue Bonds of 1956	50,000		50,000
Total	<u>11,932,562</u>		<u>11,932,562</u>
<u>Total Bond Issues with Reserves Fully Funded</u>			
<u>Bond Fully Funded by Escrow Accounts:</u>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	2,219,221	1,782,000	437,221
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	
Premium on Sale of Bonds	839,150		839,150
Amortization of Discount on Investment	2,482,500		2,482,500
Investment Income	9,840,278		9,840,278
Interest Paid	<u>(11,278,854)</u>		<u>(11,278,854)</u>
Total	<u>32,883,074</u>	<u>31,000,000</u>	<u>1,883,074</u>
<u>TOTAL SERIES D REFUNDING ISSUE</u>			
<u>TOTAL SINKING FUNDS</u>	<u>\$47,034,857</u>	<u>\$32,782,000</u>	<u>\$14,252,857</u>

Schedule of Renewal and Replacement
For the Eight Months Ended February 29, 1980

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 750,000	\$ 240,456	\$ 990,456
Student Housing Buildings of 1964	45,525		45,525
Student Housing Buildings of 1960	30,000	13,835	43,835
Other Auxiliary Enterprises		(2,362)	(2,362)
Total repair and maintenance reserves	<u>825,525</u>	<u>251,929</u>	<u>1,077,454</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		206,061	206,061
Other Auxiliary Enterprises		136,443	136,443
Total renewal and replacement funds		<u>342,504</u>	<u>342,504</u>
GRAND TOTAL	<u>\$ 825,525</u>	<u>\$ 594,433</u>	<u>\$1,419,958</u>

Office of the President
March 24, 1980

FCR 2

Members, Board of Trustees:

AUTHORIZATION FOR INCINERATION OF PAID BONDS AND COUPONS

Recommendation: that the Board of Trustees authorize Mr. Shelby Tussey, Controller Division, and Kathryn Thompson, Internal Audit Division, to check the attached list of paid and cancelled bonds and coupons returned from Paying Agents from April 1, 1977 through June 30, 1979 to destroy these documents by burning in the incinerator of the Medical Center, to certify the correctness of the list as to its incineration.

Background: The attached schedule lists University of Kentucky Revenue Bonds and Coupons returned from Paying Agents from April 1, 1977 through June 30, 1979. Since these have been paid and cancelled, they should be destroyed and the certification of incineration placed on file.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980

University of Kentucky
Consolidated Educational Buildings Revenue Bond
Series A

<u>BONDS</u>		<u>NO.</u>	<u>RATE</u>
	May 1, 1977		
Received	3851-4195	345 @	1,000.00
<u>COUPON #31</u>	Nov. 1, 1960 due May 1, 1976		
Received	4481-4485; 4547-4551	10 @	18.75
<u>COUPON #32</u>	Nov. 1, 1960 due Nov.1, 1976		
Received	4481-4485	5 @	18.75
	4547-4551	5 @	18.75
	4616-4620	5 @	19.37
	4971-4975	5 @	19.37
	5655-5656	2 @	19.37
	5663	1 @	19.37
	5665-5666	2 @	19.37
	7553-7554	2 @	20.00
	7556	1 @	20.00
<u>COUPON #33</u>	Nov. 1, 1960 due May 1977		
Received	3851-4555	705 @	18.75
	4556-7035	2480 @	19.38
	7036-8000	965 @	20.00
<u>COUPON #34</u>	Nov. 1, 1960 due Nov. 1, 1977		
Received	4196-4555	360 @	18.75
	4556-7035	2480 @	19.37
	7036-8000	965 @	20.00
<u>COUPON #35</u>	Nov. 1, 1960 due May 1, 1978		
Received	4196-4555	360 @	18.75
	4556-7035	2480 @	19.38
	7036-8000	965 @	20.00
<u>COUPON #36</u>	Nov. 1, 1960 due Nov. 1, 1978		
Received	4556-5037	482 @	19.37
	5039-5450	412 @	19.37
	5476-7035	1560 @	19.37
	7036-8000	965 @	20.00

University of Kentucky
Consolidated Educational Buildings Revenue Bond
Series B

<u>BONDS</u>		<u>NO.</u>	<u>RATE</u>
	May 1, 1963 due May 1, 1977		
Received 2891-3195		305 @	1000.00
<u>COUPON #26</u>	May 1, 1963, due May 1, 1976		
Received 3446-3455		10 @	16.87
<u>COUPON #27</u>	May 1, 1963 due Nov. 1976		
Received 3246-3255		10 @	16.88
3446-3455		10 @	16.88
3736-3737		2 @	17.50
3840-3844		5 @	17.50
6316-6329		14 @	18.00
<u>COUPON #28</u>	May 1, 1963 due May 1, 1977		
Received 2891-3515		625 @	16.87
3516-4925		1410 @	17.50
4926-7500		2575 @	18.00
<u>COUPON #29</u>	May 1, 1963 due Nov. 1977		
Received 3196-3515		320 @	16.88
3516-4925		1410 @	17.50
4926-7500		2575 @	18.00
<u>COUPON #30</u>	May 1, 1963 due May 1, 1978		
Received 3196-3515		320 @	16.87
3516-4925		1410 @	17.50
4926-7500		2575 @	18.00
<u>COUPON #31</u>	May 1, 1963 due Nov. 1978		
Received 3516-3819		304 @	17.50
3821-4925		1105 @	17.50
4926-7500		2575 @	18.00

University of Kentucky
Consolidated Educational Buildings Revenue Bond
Series C

	<u>NO.</u>	<u>RATE</u>
<u>BONDS</u> Nov. 1, 1967 due May 1, 1977		
Received 186-211	26 @	5,000.00
<u>COUPON #19</u> Nov. 1, 1967 due May 1, 1977		
Received 186-881	696 @	75.00
<u>COUPON #20</u> Nov. 1, 1967 due Nov. 1977		
Received 212-881	670 @	75.00
<u>COUPON #21</u> Nov. 1, 1967 due May 1, 1978		
Received 212-881	670 @	75.00
<u>COUPON #22</u> Nov. 1, 1967 due Nov. 1, 1978		
Received 239-881	643 @	75.00
<u>COUPON #23</u> Nov. 1, 1967 due May 1, 1979		
Received 239-881	643 @	75.00

University of Kentucky
Consolidated Educational Buildings Revenue Bond
Series D

<u>BONDS</u>	May 1, 1971	<u>NO.</u>	<u>RATE</u>
Received	225-276 due May 1977	52 @	5,000.00
	277-330 due May 1978	54 @	5,000.00
<u>COUPON #8</u>	May 1, 1971 due May 1, 1975		
Received	2188-2192	5 @	162.50
	2567-2568	2 @	162.50
	3770	1 @	165.00
<u>COUPON #9</u>	May 1, 1971 due Nov. 1, 1975		
Received	2188-2192	5 @	162.50
	2200-2201	2 @	162.50
	2567-2568	2 @	162.50
	3758	1 @	165.00
<u>COUPON #10</u>	May 1, 1971 due May 1, 1976		
Received	814	1 @	150.00
	1414-1415; 1759	3 @	162.50
	2188-2192; 2200-2201	7 @	162.50
	2487-2491; 2567-2568	7 @	162.50
	3664; 3758; 3821	3 @	165.00
<u>COUPON #11</u>	May 1, 1971 due Nov. 1, 1976		
Received	814; 830-832	4 @	150.00
	1241-1242; 1400-1403	6 @	162.50
	1414-1415; 1465-1466	4 @	162.50
	1480; 1488-1489	3 @	162.50
	1658; 1728; 1759	3 @	162.50
	1765; 1779-1781	4 @	162.50
	1784; 1821-1824	5 @	162.50
	1829; 1899; 1922	3 @	162.50
	2004; 2018; 2148-2149	4 @	162.50
	2176-2177; 2188-2192	7 @	162.50
	2200-2201; 2483	3 @	162.50
	2487-2491; 2497-2498	7 @	162.50
	2567-2568	2 @	162.50
	2900-2901; 3191; 3664	4 @	165.00
	3758; 3770; 3772; 3794	4 @	165.00
	3821; 4027	2 @	165.00
	4544-4545; 5100-5119	22 @	167.50
	6292-6293; 6295-6296	4 @	137.50
	6317-6318; 6325-6326	4 @	137.50
<u>COUPON #12</u>	May 1, 1971 due May 1, 1977		
Received	225-511	287 @	187.50
	512-893	382 @	150.00
	894-2814	1,921 @	162.50
	2815-4040	1,226 @	165.00
	4041-4550; 4553-6286	2,244 @	167.50
	6287-6940	654 @	137.50

COUPON #13 May 1, 1971 due Nov. 1, 1977

	<u>NO.</u>		<u>RATE</u>
Received 277-511	235	@	187.50
512-828; 830-893	381	@	150.00
894-2197; 2200-2814	1,919	@	162.50
2815-4040	1,226	@	165.00
4041-6046; 6048-6286	2,245	@	167.50
6287-6940	654	@	137.50

COUPON #14 May 1, 1971 due May 1, 1978

Received 277-511	235	@	187.50
512-828; 830-893	381	@	150.00
894-1934; 1936-2147	1,253	@	162.50
2150-2187; 2193-2366	212	@	162.50
2370-2484; 2486-2814	444	@	162.50
2815-3663; 3665-3769	954	@	165.00
3771-3820; 3822-4040	269	@	165.00
4041-6286	2,246	@	167.50
6287-6940	654	@	137.50

COUPON #15 May 1, 1971

Received 331-511	181	@	187.50
512-828; 830-893	381	@	150.00
894-1657; 1659-1934	1,040	@	162.50
1936-2147; 2150-2175	238	@	162.50
2178-2187; 2193-2199	17	@	162.50
2202-2366; 2370-2484	280	@	162.50
2486-2814	329	@	162.50
2815-3663; 3665-3757	942	@	165.00
3759-3769; 3771-3820	61	@	165.00
3822-4040	219	@	165.00
4041-4647; 4658-6286	2,236	@	167.50
6287-6316; 6319-6940	652	@	137.50

University of Kentucky
Consolidated Educational Buildings Revenue Bond (Refunding)
Series D

<u>COUPON #6</u> March 1, 1973 due May 1, 1977			
Received 3068; 4282-4285	<u>NO.</u> 5	@	RATE 147.50
5684; 5706-5707	3	@	147.50
 <u>COUPON #7</u> March 1, 1973 due May 1, 1977			
Received 975; 978; 980; 1474	4	@	147.50
1949; 2657-2661	6	@	147.50
3673-3674; 3702-3703	4	@	147.50
3705-3706; 3722-3723	4	@	147.50
3729; 4270-4273	5	@	147.50
4282-4285; 4383	5	@	147.50
4401-4404; 5683-5684	6	@	147.50
5706-5707; 5709	3	@	147.50
 <u>COUPON #8</u> March 1, 1973 due May 1, 1977			
Received 1-5707; 5709-6200	6199	@	147.50
 <u>COUPON #9</u> March 1, 1973 due Nov. 1, 1977			
Received 1-5707; 5709-6200	6199	@	147.50
 <u>COUPON #10</u> March 1, 1973 due May 1, 1978			
Received 1-4281; 4284	4282	@	147.50
4286-5707; 5709-6200	1914	@	147.50
 <u>COUPON #11</u> March 1, 1973			
Received 1-1241	1241	@	147.50
1243-1473	231	@	147.50
1475-1659	185	@	147.50
1662-2418	757	@	147.50
2420-2658	239	@	147.50
2660-3067	408	@	147.50
3069-3735	667	@	147.50
3740-4281	542	@	147.50
4291-4312	22	@	147.50
4315-4358	44	@	147.50
4360-4368	9	@	147.50
4376-5707	1332	@	147.50
5709-6200	492	@	147.50

University of Kentucky
Consolidated Educational Building Revenue Bond
Series E

<u>BONDS</u>		<u>NO.</u>	<u>RATE</u>
	May 1, 1973 due May 1, 1978		
Received 1-2		2	@ 5,000.00
<u>COUPON #7</u>	May 1, 1973 due Nov. 1, 1976		
Received 146		1	@ 140.00
<u>COUPON #8</u>	May 1, 1973 due May 1, 1977		
Received 1-40; 43-74		72	@ 150.00
75-152		78	@ 140.00
153-239		87	@ 142.50
240-446		207	@ 143.75
447-868		422	@ 145.00
869-950		82	@ 125.00
<u>COUPON #9</u>	May 1, 1973 due Nov. 1, 1977		
Received 1-39; 43-74		71	@ 150.00
75-152		78	@ 140.00
153-239		87	@ 142.50
240-446		207	@ 143.75
447-868		422	@ 145.00
869-950		82	@ 125.00
<u>COUPON #10</u>	May 1, 1973 due May 1, 1978		
Received 1-39; 43-74		71	@ 150.00
75-152		78	@ 140.00
153-239		87	@ 142.50
240-446		207	@ 143.75
447-868		422	@ 145.00
869-950		82	@ 125.00
<u>COUPON #11</u>	May 1, 1973 due Nov. 1, 1978		
Received 3-38; 43-74		68	@ 150.00
75-152		78	@ 140.00
153-239		87	@ 142.50
240-446		207	@ 143.75
447-868		422	@ 145.00
869-950		82	@ 125.00

University of Kentucky
Consolidated Educational Building Revenue Bond
Series F

	<u>NO.</u>	<u>RATE</u>
BOND May 1, 1976 due May 1, 1977		
Received 1-10	10	@ 5000.00
11-19	9	@ 5000.00
<u>COUPON #1</u> May 1, 1976 due Nov. 1, 1976		
Received 159-162	4	@ 145.00
221-222	2	@ 147.50
255; 271	2	@ 152.50
336; 370; 384-387; 392	7	@ 160.00
423-426; 451-452; 523	7	@ 162.50
587; 620-621; 625	4	@ 162.50
668-669; 760; 846	4	@ 162.50
855-856; 893-912	22	@ 162.50
916; 938; 945-947	5	@ 165.00
984-992; 1000-1010	20	@ 165.00
1051-1052; 1076-1077	4	@ 165.00
1092; 1259; 1274	3	@ 165.00
1281-1282; 1295; 1299	4	@ 165.00
1334; 1394-1395; 1411	4	@ 165.00
1428; 1438; 1450	3	@ 165.00
1460-1462; 1484-1489	9	@ 165.00
1493-1494	2	@ 165.00
<u>COUPON #2</u> May 1, 1976, due May 1, 1977		
Received 1-199	199	@ 145.00
200-223	24	@ 147.50
224-248	25	@ 150.00
254-276	23	@ 152.50
277-305	29	@ 155.00
306-335	30	@ 157.50
336-404	69	@ 160.00
405-912	508	@ 162.50
913-916; 919-1278	364	@ 165.00
1281-1500	220	@ 165.00
<u>COUPON #3</u> May 1, 1976 due Nov. 1, 1977		
Received 11-199	189	@ 145.00
200-223	24	@ 147.50
224-248	25	@ 150.00
249-276	28	@ 152.50
277-305	29	@ 155.00
306-335	30	@ 157.50
336-404	69	@ 160.00
405-912	508	@ 162.50
913-916; 919-1026	112	@ 165.00
1028-1278; 1281-1500	471	@ 165.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #4</u> May 1, 1976 due May 1, 1978			
Received 11-199	189	@	145.00
200-223	24	@	147.50
224-248	25	@	150.00
249-276	28	@	152.50
277-305	29	@	155.00
306-335	30	@	157.50
336-404	69	@	160.00
405-431; 434-448	42	@	162.50
451-665; 667-912	461	@	162.50
913-916; 919-928	14	@	165.00
930-1091; 1093-1278	348	@	165.00
1281-1500	220	@	165.00

COUPON #5 May 1, 1976 due Nov. 1, 1978

Received 20-90; 95-104	81	@	145.00
106-189; 192-199	92	@	145.00
200-223	24	@	147.50
224-248	25	@	150.00
249-276	28	@	152.50
277-305	29	@	155.00
306-335	30	@	157.50
336-387; 393-404	64	@	160.00
405-415; 421-759	350	@	162.50
761-912	152	@	162.50
913-916; 919-949	35	@	165.00
951-1074; 1078-1278	325	@	165.00
1281-1298; 1300-1306	25	@	165.00
1314-1325; 1327-1417	103	@	165.00
1420-1438; 1440-1500	80	@	165.00

Student Union Bond of 1962
Series A

<u>BONDS</u>		<u>NO.</u>		<u>RATE</u>
	July 1, 1962 due July 1, 1978			
Received 388-469		82	@	1,000.00
<u>COUPON #26</u>	July 1, 1962 due July 1, 1975			
Received 311-650		340	@	17.50
<u>COUPON #27</u>	July 1, 1962 due Jan. 1, 1976			
Received 349-650		302	@	17.50
<u>COUPON #28</u>	July 1, 1962 due July 1, 1976			
Received 349-650		302	@	17.50
<u>COUPON #29</u>	July 1, 1962 due Jan. 1, 1977			
Received 388-650		263	@	17.50
<u>COUPON #30</u>	July 1, 1962 due July 1, 1977			
Received 388-650		263	@	17.50
<u>COUPON #31</u>	July 1, 1962 due Jan. 1, 1978			
Received 428-650		223	@	17.50
<u>COUPON #32</u>	July 1, 1962 due July 1, 1978			
Received 428-650		223	@	17.50

Community College
Educational Building Revenue Bond
Series A

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u>			
Received 86-105 Due May 1, 1976	65	@	5,000.00
106-127 Due May 1, 1977			
128-150 Due May 1, 1978			
 <u>COUPON #9</u> May 1, 1969 due Nov. 1, 1973			
Received 1849-1850	2	@	160.00
1861-1862	2	@	160.00
 <u>COUPON #12</u> May 1, 1969 due May 1, 1975			
Received 494	1	@	187.50
751	1	@	156.25
 <u>COUPON # 13</u> May 1, 1969 due May 1, 1975			
Received 432-433	2	@	187.50
599-600	2	@	155.00
751; 758	2	@	156.25
 <u>COUPON #14</u> May 1, 1969 due May 1, 1976			
Received 86-529	444	@	187.50
530-578	49	@	159.37
579-686	108	@	155.00
687-694; 697-750	62	@	156.25
752-759; 761-875	123	@	156.25
876-1106	231	@	157.50
1107-1383	277	@	159.37
1384-1983	600	@	160.00
1984-2440	457	@	125.00
 <u>COUPON #15</u> May 1, 1969 due Nov. 1, 1976			
Received 106-529	424	@	187.50
530-578	49	@	159.38
579-686	108	@	155.00
687-750; 752-759	72	@	156.25
761-875	115	@	156.25
876-1106	231	@	157.50
1107-1383	277	@	159.38
1384-1983	600	@	160.00
1984-2440	457	@	125.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #16</u> May 1, 1969 due May 1, 1977			
Received 106-431; 434-529	422	@	187.50
530-578	49	@	159.37
579-686	108	@	155.00
687-750; 752-759	72	@	156.25
761-875	115	@	156.25
876-1106	231	@	157.50
1107-1383	277	@	159.37
1384-1983	600	@	160.00
1984-2440	457	@	125.00

COUPON #17 May 1, 1969 due Sept. 1, 1977

Received 128-199	72	@	187.50
200-299	100	@	187.50
300-399	100	@	187.50
400-407	8	@	187.50
410-431	22	@	187.50
434-529	96	@	187.50
530-578	49	@	159.38
579-686	108	@	155.00
687-750	64	@	156.25
752-757	6	@	156.25
759	1	@	156.25
761-875	115	@	156.25
876-899	24	@	157.50
900-999	100	@	157.50
1000-1106	107	@	157.50
1107-1199	93	@	159.38
1200-1299	100	@	159.38
1300-1383	84	@	159.38
1384-1499	116	@	160.00
1500-1599	100	@	160.00
1600-1699	100	@	160.00
1700-1799	100	@	160.00
1800-1899	100	@	160.00
1900-1983	84	@	160.00
1984-2099	116	@	125.00
2100-2199	100	@	125.00
2200-2299	100	@	125.00
2300-2399	100	@	125.00
2400-2440	41	@	125.00

<u>COUPON #18</u>	May 1, 1969 due May 1, 1978	<u>NO.</u>		<u>RATE</u>
Received 128-199		72	@	187.50
200-299		100	@	187.50
300-399		100	@	187.50
400-529		130	@	187.50
530-546		17	@	159.37
548-578		31	@	159.37
579-598		20	@	155.00
601-677		77	@	155.00
683-686		4	@	155.00
687-750		64	@	156.25
752-754		3	@	156.25
756-757		2	@	156.25
759		1	@	156.25
761-875		115	@	156.25
876-886		11	@	157.50
888-999		112	@	157.20
1000-1106		107	@	157.50
1107-1383		277	@	159.37
1384-1983		600	@	160.00
1984-2099		116	@	125.00
2100-2199		100	@	125.00
2200-2299		100	@	125.00
2300-2399		100	@	125.00
2400-2440		41	@	125.00

<u>COUPON #19</u>	May 1, 1969 due Nov. 1978			
Received 151-325; 330-431		277	@	187.50
434-438; 440-492		58	@	187.50
495-497; 499-529		34	@	187.50
530-542; 544-546		16	@	159.38
548-549; 555-578		26	@	159.38
579-598; 601-624		44	@	155.00
626-677; 683-686		56	@	155.00
687-694; 697-745		57	@	156.25
747-750; 752-754		7	@	156.25
756-757; 762-875		116	@	156.25
876-886; 888-1106		230	@	157.50
1109-1195; 1197-1198		89	@	159.38
1205-1383		179	@	159.38
1384-1398; 1400-1401		17	@	160.00
1403-1444; 1448-1490		85	@	160.00
1492-1495; 1498-1597		104	@	160.00
1599-1725; 1728-1859		259	@	160.00
1861-1983		123	@	160.00
1984-2440		457	@	125.00

Community College
Educational Building Revenue Bond
Series C

	<u>NO.</u>	<u>RATE</u>
<u>BONDS</u> February 1, 1974		
Received 15-29 due May 1, 1976	15	@ 5,000.00
30-45 due May 1, 1977	16	@ 5,000.00
46-62 due Nov. 1, 1976	17	@ 5,000.00
 <u>COUPON #2</u> February 1, 1974 due Nov. 4, 1974		
Received 818; 882	2	@ 150.00
 <u>COUPON #3</u> February 1, 1974 due May 1, 1975		
Received 571	1	@ 145.00
664	1	@ 147.50
813;882	2	@ 150.00
 <u>COUPON #4</u> February 1, 1974 due Nov. 1, 1975		
Received 282-283	2	@ 135.00
574-578	5	@ 145.00
664;669	2	@ 147.50
813-818; 823; 882	8	@ 150.00
 <u>COUPON #5</u> February 1, 1974 due May 1, 1976		
Received 15-190	176	@ 162.50
191-216	26	@ 127.50
217-243	27	@ 130.00
244-272	29	@ 132.50
273-302	30	@ 135.00
303-368	66	@ 137.50
369-404	36	@ 140.00
405-482	78	@ 142.50
483-524	42	@ 143.75
525-615	91	@ 145.00
616-718	103	@ 147.50
719-961	243	@ 150.00
962-1100	139	@ 125.00
 <u>COUPON #6</u> February 1, 1974 due Nov. 1, 1976		
Received 30-190	161	@ 162.50
191-216	26	@ 127.50
217-243	27	@ 130.00
244-272	29	@ 132.50
273-302	30	@ 135.00
303-368	66	@ 137.50
369-404	36	@ 140.00
405-482	78	@ 142.50
483-524	42	@ 143.75
525-615	91	@ 145.00
616-718	103	@ 147.50
719-961	243	@ 150.00
962-1100	139	@ 125.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #7</u> February 1, 1974 due May 1, 1977			
Received 30-190	161	@	162.50
191-216	26	@	127.50
217-243	27	@	130.00
244-272	29	@	132.50
273-302	30	@	135.00
303-368	66	@	137.50
369-404	36	@	140.00
405-482	78	@	142.50
483-524	42	@	143.75
525-615	91	@	145.00
616-718	103	@	147.50
719-961	243	@	150.00
962-1100	139	@	125.00

<u>COUPON #8</u> February 1, 1974 due Nov. 1, 1977			
Received 46-190	145	@	162.50
191-216	26	@	127.50
217-243	27	@	130.00
244-272	29	@	132.50
273-302	30	@	135.00
303-368	66	@	137.50
369-404	36	@	140.00
405-482	78	@	142.50
483-524	42	@	143.75
525-615	91	@	145.00
616-718	103	@	147.50
719-874; 880-961	238	@	150.00
962-1100	139	@	125.00

<u>COUPON #9</u> February 1, 1974 due May 1, 1978			
Received 46-190	145	@	162.50
191-216	26	@	127.50
217-243	27	@	130.00
244-272	29	@	132.50
273-302	30	@	135.00
303-368	66	@	137.50
369-404	36	@	140.00
405-482	78	@	142.50
483-524	42	@	143.75
525-573; 579-615	86	@	145.00
616-641; 662-690	55	@	147.50
693-718	26	@	147.00
719-752; 754-812	93	@	150.00
814-862; 864-866	52	@	150.00
868-958; 960-961	93	@	150.00
962-1100	139	@	125.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #10</u> February 1, 1974 due Nov. 1, 1978			
Recieved 63-190	128	@	162.50
191-216	26	@	127.50
217-243	27	@	130.00
244-272	29	@	132.50
273-281; 284-302	28	@	135.00
303-368	66	@	137.50
369-404	36	@	140.00
405-468; 471-482	76	@	142.50
483-524	42	@	143.75
525-546; 549-570	44	@	145.00
572; 574-615	43	@	145.00
617-665; 668-690	72	@	147.50
693-706; 709-716	22	@	147.50
719-745; 748-752	32	@	150.00
754-805; 807-812	58	@	150.00
821-858; 860	39	@	150.00
866-867; 870-873	6	@	150.00
875-881; 883-910	35	@	150.00
917-940; 944-958	39	@	150.00
961	1	@	150.00
962-1100	139	@	125.00

Dormitory Revenue Bond of 1953
(Student Dormitories A, B, C, D, E, and F)

	<u>NO.</u>	<u>RATE</u>
<u>COUPON #45</u> May 1, 1953 due Nov. 1, 1975		
Received 471	1 @	17.50
<u>COUPON #46</u> May 1, 1953 due May 1, 1976		
Received 471	1 @	17.50
<u>COUPON #47</u> May 1, 1953 due Nov. 1, 1976		
Received 471	1 @	17.50
<u>COUPON #48</u> May 1, 1953 due May 1, 1977		
Received 471; 567-569	3 @	17.50
<u>COUPON #49</u> May 1, 1953 due Nov. 1, 1977		
Received 523; 567; 569; 585	4 @	17.50
<u>COUPON #50</u> May 1, 1953 due May 1, 1978		
Received 502-555; 557-567	65 @	17.50
569-710	142 @	17.50
<u>COUPON #51</u> May 1, 1953 due Nov. 1, 1978		
Received 534-555; 558-566	31 @	17.50
570-710	141 @	17.50
* <u>BONDS</u> May 1, 1953		
Received 472-501 due May 1, 1977	30 @	1,000.00
502-533 due May 1, 1978	32 @	1,000.00

Dormitory Revenue Bond
(Student Dormitories G, H, I, J, K, and L)

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #37</u> December 1, 1956 due June 1, 1975			
Received 563	1	@	23.75
<u>COUPON #38</u> December 1, 1956 due Dec. 1, 1975			
Received 563	1	@	23.75
<u>COUPON #39</u> December 1, 1956 due June 1, 1976			
Received 563	1	@	23.75
<u>COUPON #40</u> December 1, 1956 due Dec. 1, 1976			
Received 352-356; 563; 854; 873	8	@	23.75
<u>COUPON #41</u> December 1, 1956 due June 1, 1977			
Received 277-297	21	@	22.50
298-408; 418-563	257	@	23.75
567-814; 817-824	256	@	23.75
830-852; 854-900	70	@	23.75
<u>BONDS</u> Dec. 1, 1956			
Received 277-299 due June 1, 1977	21	@	1,000.00
<u>COUPON #42</u> Dec. 1, 1956 due Dec. 1, 1977			
Received 298-351; 357-408	106	@	23.75
418-485; 487-563	145	@	23.75
569-658; 661-772	202	@	23.75
775-814; 817-829	53	@	23.75
832-852; 856-871	37	@	23.75
873-900	28	@	23.75

Dormitory Revenue Bond
(338 Clifton Avenue)

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> December 1, 1956 due June 1, 1977			
Received 47-50	4	@	1,000.00
<u>COUPON#40</u> December 1, 1956 due December 1, 1976			
Received 54-58;61-62	7	@	21.25
73; 90-94	6	@	21.25
<u>COUPON #41</u> December 1, 1956 due June 1, 1977			
Received 47-94	48	@	21.25
95-150	56	@	22.50
<u>COUPON #42</u> December 1, 1956 due December 1, 1977			
Received 51-53; 59-60	5	@	21.25
63-72; 74-79	16	@	21.25
85-89	5	@	21.25
95-128; 130-136	41	@	22.50
139-145	7	@	22.50

Dormitory Revenue Bond
(342 Clifton Avenue)

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> June 1, 1958 due June 1, 1977			
Received 45-47	3	@	1,000.00
COUPON #37 June 1, 1958 due December 1, 1976			
Received 67-83	17	@	21.25
121-122; 129-130	4	@	22.50
146-150	5	@	22.50
COUPON #38 June 1, 1958 due June 1, 1977			
Received 45-83	39	@	21.25
84-118; 120-131	47	@	22.50
133-142; 144-150	17	@	22.50
COUPON #39 June 1, 1958 due December 1, 1977			
Received 48-66	19	@	21.25
84-118; 121-122	37	@	22.50
124-125; 127; 129-131	6	@	22.50
133-142; 146-150	15	@	22.50

University of Kentucky
Dormitory Revenue Bond
456 Rose Street

BOND October 1, 1950 due October 1, 1977

	<u>NO.</u>		<u>RATE</u>
Received 130-134	5	@	500.00

Dormitory Revenue Bond
(456 Rose Street)

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> October 1, 1950 due October 1, 1978			
Received 135-139	5	@	500.00
<u>COUPON #52</u> October 1, 1950 due October 1, 1976			
Received 145-149	5	@	7.50
<u>COUPON #53</u> October 1, 1950 due April 1, 1977			
Received 130-149	20	@	7.50
<u>COUPON #54</u> October 1, 1950 due October 1, 1977			
Received 130-149	20	@	7.50
<u>COUPON #55</u> October 1, 1950 due April 1, 1978			
Received 135-149	15	@	7.50
<u>COUPON #56</u> October 1, 1950 due October 1, 1978			
Received 135-149	15	@	7.50
<u>COUPON #57</u> October 1, 1950 due April 1, 1979			
Received 140-149	10	@	7.50

Dormitory Revenue Bond
(468 Rose Street)

<u>BOND</u>		<u>NO.</u>		<u>RATE</u>
November 1, 1957 due November 1, 1977				
Received 77-80		4	@	1,000.00
<u>COUPON #39</u>	November 1, 1957 due May 1, 1977			
Received 77-100		24	@	22.50
<u>COUPON #40</u>	November 1, 1957 due November 1, 1977			
Received 77-100		24	@	22.50

University of Kentucky
Dormitory Revenue Bond
476 Rose Street

<u>BOND</u>	December 1, 1950 due December 1, 1977	<u>NO.</u>		<u>RATE</u>
Received 65-67		3	@	1,000.00

Dormitory Revenue Bond
(476 Rose Street)

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> December 1, 1950 due December 1, 1978			
Received 68-70	3	@	1,000.00
<u>COUPON #52</u> December 1, 1950 due December 1, 1976			
Received 74-76	3	@	15.00
<u>COUPON #53</u> December 1, 1950 due June 1, 1977			
Received 65-76	12	@	15.00
<u>COUPON #54</u> December 1, 1950 due December 1, 1977			
Received 65-76	12	@	15.00
<u>COUPON #55</u> December 1, 1950 due June 1, 1978			
Received 68-76	9	@	15.00
<u>COUPON #56</u> December 1, 1950 due December 1, 1978			
Received 68-76	9	@	15.00

Dormitory Revenue Bond, Second Series
(476 Rose Street)

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> December 1, 1968 due December 1, 1978			
Received 9-10	2	@	5000.00
<u>COUPON #17</u> December 1, 1968 due June 1, 1977			
Received 9-20	12	@	131.25
21-22	2	@	132.50
23-26	4	@	135.00
<u>COUPON #18</u> December 1, 1968 due December 1, 1977			
Received 9-20	12	@	131.25
21-22	2	@	132.50
23-26	4	@	135.00
<u>COUPON #19</u> December 1, 1968 due June 1, 1978			
Received 10-20	11	@	131.25
21-22	2	@	132.50
23-26	4	@	135.00
<u>COUPON #20</u> December 1, 1968 due December 1, 1978			
Received 10-20	11	@	131.25
21-22	2	@	132.50
23-26	4	@	135.00

Dormitory Revenue Bond of 1954
Keeneland Hall

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #32</u> May 1, 1954 due May 1, 1970			
Received 308-310	3	@	15.00
<u>COUPON #33</u> May 1, 1954 due Nov. 1, 1970			
Received 308-310	3	@	15.00
<u>COUPON #34</u> May 1, 1954 due May 1, 1971			
Received 308-310	3	@	15.00
<u>BONDS</u> May 1, 1954 due May 1, 1977			
Received 280-292; 295-298	17	@	1,000.00
<u>COUPON #35</u> May 1, 1954 due Nov. 1, 1971			
Received 308-310	3	@	15.00
<u>COUPON #36</u> May 1, 1954 due May 1, 1972			
Received 308-310	3	@	15.00
<u>COUPON #37</u> May 1, 1954 due Nov. 1, 1972			
Received 308-310	3	@	15.00
<u>COUPON #38</u> May 1, 1954 due May 1, 1973			
Received 308-310	3	@	15.00
<u>COUPON #39</u> May 1, 1954 due Nov. 1, 1973			
Received 308-310	3	@	15.00
<u>COUPON #40</u> May 1, 1954 due May 1, 1974			
Received 308-310	3	@	15.00
<u>COUPON #41</u> May 1, 1954 due Nov. 1, 1974			
Received 308-310	3	@	15.00
<u>COUPON #42</u> May 1, 1954 due May 1, 1975			
Received 308-310	3	@	15.00
<u>COUPON #43</u> May 1, 1954 due Nov. 1, 1975			
Received 291; 308-310	4	@	15.00
<u>COUPON #44</u> May 1, 1954 due May 1, 1976			
Received 291; 297; 308-310	5	@	15.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #45</u> May 1, 1954 due Nov. 1, 1976			
Received 291; 297; 308-310	5	@	15.00
<u>COUPON #46</u> May 1, 1954 due May 1, 1977			
Received 280-447	168	@	15.00
<u>COUPON #47</u> May 1, 1954 due Nov. 1, 1977			
Received 299-307; 311-447	146	@	15.00

Dormitory Revenue Bond of 1953

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #44</u> May 1, 1953 due May 1, 1955			
Received 413	1	@	17.50
<u>COUPON #45</u> May 1, 1953 due Nov. 1, 1975			
Received 470; 599	2	@	17.50
<u>COUPON #46</u> May 1, 1953 due May 1, 1976			
Received 470; 585	2	@	17.50
<u>COUPON #47</u> May 1, 1953 due Nov. 1, 1976			
Received 522; 557; 585	3	@	17.50
597-599	3	@	17.50
<u>COUPON #48</u> May 1, 1953 due May 1, 1977			
Received 472-510	39	@	17.50
511-566	56	@	17.50
570-599	30	@	17.50
600-699	100	@	17.50
700-710	11	@	17.50
<u>COUPON #49</u> May 1, 1953 due Nov. 1, 1977			
Received 502-522	21	@	17.50
524-566	43	@	17.50
570-584	15	@	17.50
586-599	14	@	17.50
600-699	100	@	17.50
700-710	11	@	17.50

University of Kentucky
Dormitory Revenue Bond of 1955
Cooperstown Apartments

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> June 1, 1955 due June 1, 1979			
Received 1150-1169; 1175-1187	33	@	10.00
1189-1193; 1195-1223	34	@	10.00
<u>COUPON #43</u> June 1, 1955 due June 1, 1979			
Received 1848	1	@	16.25
<u>COUPON #44</u> June 1, 1955 due June 1, 1979			
Received 1848	1	@	16.25
<u>COUPON #45</u> June 1, 1955 due June 1, 1979			
Received 2651-2653	3	@	15.00
1848	1	@	16.25
<u>COUPON #46</u> June 1, 1955 due June 1, 1979			
Received 1848	1	@	16.25
<u>COUPON #47</u> June 1, 1955 due June 1, 1979			
Received 2651-2653; 2781	4	@	15.00
1357-1358; 1417	3	@	16.25
1458-1459; 1461	3	@	16.25
1848; 1861	2	@	16.25
<u>COUPON #48</u> June 1, 1955 due June 1, 1979			
Received 1150-1187; 1189-1193; 1195-1329	178	@	16.25
1340-1354; 1357-1392; 1395-1398	55	@	16.25
1400-1408; 1416-1417; 1419-1420	13	@	16.25
1430-1432; 1434-1444; 1453-1454	16	@	16.25
1457-1463; 1471-1475; 1486-1536	63	@	16.25
1539-1545; 1551-1555; 1557-1581	37	@	16.25
1583; 1586-1597; 1600-1612	26	@	16.25
1623-1657; 1661-1670; 1673-1682	55	@	16.25
1688-1698; 1702; 1708-1774	79	@	16.25
1777-1797; 1803-1858; 1860-1881	99	@	16.25
1892-1901; 1912-1936; 1938-1945	43	@	16.25
1948; 1951-1962; 1971-1972	15	@	16.25
1977; 1980-1985; 1991-2036	53	@	16.25
2038-2040; 2042; 2045-2048	8	@	16.25
2058-2065; 2071-2077; 2084	16	@	16.25
2089-2091; 2093-2107; 2109-2112	22	@	16.25
2113-2145; 2156-2179; 2182-2202	78	@	15.00
2209-2213; 2259-2264; 2266-2278	24	@	15.00
2285-2291; 2296-2298; 2300-2304	14	@	15.00
2311-2313; 2319-2323; 2327-2335	17	@	15.00
2338; 2340; 2342; 2346-2501	159	@	15.00
2504-2586; 2589-2627; 2629	123	@	15.00
2632-2645; 2654-2656; 2672-2727	72	@	15.00
2731-2732; 2734-2737; 2749-2778	36	@	15.00
2783-2793; 2796-2800	16	@	15.00

University of Kentucky
Dormitory Revenue Bond of 1955
Cooperstown Apartments

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> June 1, 1955 due June 1, 1978			
Received 836-838; 864-873; 1008-1149	155	@	1,000.00
<u>COUPON #37</u> June 1, 1955 due December 1, 1973			
Received 836-838; 864-873	13	@	16.25
<u>COUPON #38</u> June 1, 1955 due June 1, 1974			
Received 836-838; 864-873	13	@	16.25
2500-2503; 2651-2652	6	@	15.00
<u>COUPON #39</u> June 1, 1955 due December 1, 1974			
Received 1470-1475	6	@	16.25
2337; 2500; 2503; 2651-2652	7	@	15.00
<u>COUPON #40</u> June 1, 1955 due June 1, 1975			
Received 1355; 1470-1475	7	@	16.25
2337; 2500-2503; 2587-2588;	7	@	15.00
2651-2652	2	@	15.00
<u>COUPON #41</u> June 1, 1955 due December 1, 1975			
Received 1355-1356; 1410; 1470-1475	9	@	16.25
1556; 1572; 1937	3	@	16.25
2336; 2500-2503; 2585	6	@	15.00
2587-2588; 2648-2649	4	@	15.00
2651-2652	2	@	15.00
<u>COUPON #42</u> June 1, 1955 due June 1, 1976			
Received 1189; 1355-1356; 1393	4	@	16.25
1410; 1445; 1470-1481	14	@	16.25
1556; 1572; 1613-1622	12	@	16.25
1628-1632; 1699-1700; 1937	8	@	16.25
2207; 2292-2295; 2305-2310	11	@	15.00
2324; 2336; 2500-2503; 2585	7	@	15.00
2587-2588; 2628; 2631	4	@	15.00
2648-2649; 2651-2652	4	@	15.00

	<u>NO.</u>		<u>RATE</u>
<u>COUPON #43</u> June 1, 1958 due December 1, 1976			
Received 1009-1012; 1109; 1115-1117; 1119-1128	18	@	16.25
1170-1174; 1189; 1325; 1330-1339	17	@	16.25
1355-1358; 1393; 1410-1415; 1417-1418	13	@	16.25
1421-1422; 1445-1452; 1458-1459	12	@	16.25
1461; 1470-1481; 1556; 1572; 1613-1622	25	@	16.25
1628-1632; 1658-1660; 1671-1682	20	@	16.25
1699-1700; 1703-1707; 1787-1792	13	@	16.25
1798-1802; 1843-1844; 1856-1858	10	@	16.25
1861-1863; 1888-1891; 1937; 1946-1947	10	@	16.25
1949-1950; 1963-1970; 1978-1979	12	@	16.25
1989-1990; 2041; 2045-2046	5	@	16.25
2054-2057; 2078-2083; 2085-2088	14	@	16.25
2092; 2108	2	@	16.25
2144-2145; 2205-2207; 2292-2295	9	@	15.00
2298; 2305-2310; 2318; 2324-2326	11	@	15.00
2336; 2339; 2341; 2494-2503	13	@	15.00
2582-2588; 2628; 2630-2631	10	@	15.00
2648-2649; 2651-2656; 2733	9	@	15.00
2779; 2782; 2795	3	@	15.00
<u>COUPON #44</u> June 1, 1955 due June 1, 1977			
Received 1008-1847; 1849-2112	1104	@	16.25
2113-2336; 2338-2502; 2504-2649	535	@	15.00
2651-2779; 2781-2800	149	@	15.00
<u>COUPON #45</u> June 1, 1955 due December 1, 1977			
Received 1078-1797; 1803-1847; 1849-2112	1029	@	16.25
2113-2336; 2338-2502; 2504-2649; 2654-2779	661	@	15.00
2781-2800	20	@	15.00
<u>COUPON #46</u> June 1, 1955 due June 1, 1978			
Received 1078-1475; 1482-1555; 1557-1612	528	@	16.25
1623-1797; 1803-1847; 1849-1858	230	@	16.25
1860-1948; 1951-2112	251	@	16.25
2113-2204; 2207-2336; 2338-2344	229	@	15.00
2346-2502; 2504-2647; 2649	302	@	15.00
2654-2732; 2735-2779; 2781-2800	144	@	15.00
<u>COUPON #47</u> June 1, 1955 due December 1, 1978			
Received 1150-1324; 1326-1354; 1359-1392	238	@	16.25
1394-1409; 1411-1416; 1418-1444	49	@	16.25
1446-1447; 1453-1454; 1457; 1460	6	@	16.25
1462-1475; 1482-1555; 1557-1584	116	@	16.25
1586-1612; 1623-1700; 1702-1797	201	@	16.25
1803-1847; 1849-1858; 1860	56	@	16.25
1862-1905; 1908-1936; 1938-1948	84	@	16.25
1951-2040; 2042-2049; 2052-2082	129	@	16.25
2084; 2089-2091; 2093-2112	24	@	16.25
2113-2204; 2207-2291; 2296-2304	186	@	15.00
2311-2335; 2338; 2340-2344	31	@	15.00
2346-2501; 2504-2647	300	#	15.00
2649; 2654-2778; 2783-2793	137	@	15.00
2796-2800	5	@	15.00

University of Kentucky
Housing and Dining System Revenue Bonds
Series H

	<u>NO.</u>		<u>RATE</u>
<u>BONDS</u> June 1, 1965 due June 1, 1977			
Received 615-617; 623-672	53	@	5,000.00
<u>COUPON #22</u> June 1, 1965 due June 1, 1976			
Received 1325-1326	2	@	97.50
<u>COUPON #23</u> June 1, 1965 due June 1, 1976			
Received 1325-1326	2	@	97.50
<u>COUPON #24</u> June 1, 1965 due June 1, 1977			
Received 615-1380	766	@	97.50
<u>COUPON #25</u> June 1, 1965 due December 1, 1977			
Received 673-815; 831-1074; 1077-1337	648	@	97.50
1339-1380	42	@	97.50

University of Kentucky
Housing and Dining System Revenue Bonds
Series I

<u>BONDS</u>	<u>NO.</u>	<u>RATE</u>
June 1, 1965 due June 1, 1977		
Received 127-148	22	@ 5,000.00
<u>COUPON #22</u> June 1, 1965 due June 1, 1976		
Received 643; 821-823; 835	5	@ 97.50
<u>COUPON #23</u> June 1, 1965 due December 1, 1976		
Received 821-823	3	@ 97.50
919-920	2	@ 97.50
989	1	@ 97.50
992-994	3	@ 97.50
1390-1391	2	@ 100.00
1401-1407	7	@ 100.00
<u>COUPON #24</u> June 1, 1965 due June 1, 1977		
Received 127-478; 484-988; 990-991	859	@ 97.50
995-1190	196	@ 97.50
1191-2400	1,210	@ 100.00
<u>COUPON #25</u> June 1, 1965 due December 1, 1977		
Received 149-478; 484-685; 687-1190	1,036	@ 97.50
1191-1460; 1462-2400	1,209	@ 100.00

Housing Bond of 1960

	<u>NO.</u>	<u>RATE</u>
<u>BONDS</u> April 1, 1960 due April 1, 1979		
Received 85-91	7	@ 1,000.00
<u>COUPON #35</u> April 1, 1960 due October 1, 1977		
Received 78-300	223	@ 15.63
<u>BONDS</u> April 1, 1960 due April 1, 1978		
Received 78-84	7	@ 1,000.00
<u>COUPON #36</u> April 1, 1960 due April 1, 1978		
Received 78-300	223	@ 15.62
<u>COUPON #37</u> April 1, 1960 due October 1, 1978		
Received 85-300	216	@ 15.63
<u>COUPON #38</u> April 1, 1960 due April 1, 1979		
Received 85-300	216	@ 15.62

Office of the President
April 1, 1980

FCR 3

Members, Board of Trustees:

APPROVAL OF INSPECTION REPORT - HOUSING AND DINING SYSTEM

Recommendation: that the Board approve the attached certificate of the Director, Auxiliary Services as to the physical inspection of the facilities comprising the University's Housing and Dining System.

Background: Approval of this certificate is required by HUD in connection with the agency's purchase of Series K, University of Kentucky Housing and Dining System Revenue Bonds in the amount of \$4,584,000. These bonds were purchased by HUD on January 30, 1979; however, the bonds have not yet been delivered.

Action Taken: Approved XX Disapproved _____ Other _____

Date April 1, 1980

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

AUXILIARY SERVICES
UNIVERSITY HOUSING OFFICE

March 20, 1980

TO: Dr. Otis A. Singletary, President: and
Chairman and Members of the Board of Trustees
of the University of Kentucky, Lexington, Kentucky

FROM: Robert W. Blakeman
Director, Auxiliary Services

SUBJECT: Report of Physical Inspection of the Housing and
Dining Buildings and Appurtenant Facilities of the
University's Housing and Dining System

It has been brought to my attention in connection with the Housing and Dining System Revenue Bonds, Series J, " that Section 1101 (c) " of the Trust Indenture of June 1, 1965, requires as a condition precedent that there be filed with the Trustee a certificate signed by an officer, agent or employee of the Board principally charged with responsibility for the maintenance and repair of the buildings and facilities comprising the Housing and Dining System, approved by the President of the University and by Resolution of the Board, showing that a physical inspection of such buildings and facilities has been made and that they are found to be in a good and current state of tenantability and repair, subject only to exceptions for matters scheduled to be corrected and for which budgeted money is available.

As Director of Auxiliary Services, I am the officer charged with such responsibility, and this report is submitted responsive to the requirements of the Trust Indenture.

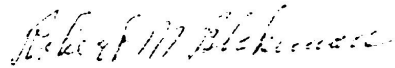
The Maintenance Staff of the University is adequate and is so equipped and stocked that it is able to keep abreast of observed and reported structural and mechanical defects and failures on a prompt and regular basis. Inspections of roofs, tuck pointing, floors, stairways, elevators, heating and air-conditioning systems and the like are on a scheduled basis according to their useful life anticipation, and budgeted funds are such that renewals and replacements are a part of an active, continuing program. Movable furnishings and fixtures are repaired and replaced on a regular basis.

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De. Otis A. Singletary
March 20, 1980

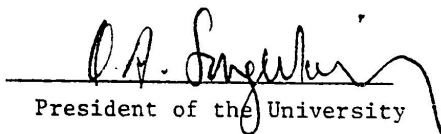
My most recent periodic review of the records of my office together with routine and scheduled inspections of buildings and facilities show that the Housing and Dining System is in a good and current state of tenantability and repair. I have no exceptions to report other than those routine and ordinary matters which are constantly receiving attention from day to day and for which budget provisions have adequately been made.

Respectfully submitted,



Robert W. Blakeman
Director,
Auxiliary Services

APPROVED:



President of the University

APPROVED by Resolution of the Board of
Trustees at a meeting held on April 1, 1980;



Chairman, Board of Trustees

Office of the President
April 1, 1980

FCR 4

Members, Board of Trustees:

FINANCIAL STATEMENT OF SPINDLETOP HALL, INC.

Recommendation: that the Board of Trustees accept the financial statement of Spindletop Hall, Inc. for the year ended December 31, 1979.

Background: In accordance with the agreement between Spindletop Hall, Inc. and the University of Kentucky, it is required of Spindletop Hall, Inc. to submit to the University of Kentucky Board of Trustees audited Financial Reports.

Note: The audited financial statement is not included in the Minutes of the Meeting but is part of the official files.

Action taken: Approved XX Disapproved _____ Other _____

Date: April 1, 1980.