

Irregularities

Minutes of the Recessed Meeting of the Board of Trustees of the University of Kentucky, Friday, November 19, 1965.

The Board of Trustees of the University of Kentucky met in the Board of Trustees Room in the Administration Building on the campus of the University at 1:30 p. m. , Eastern Standard Time, Friday, November 19, 1965, in a meeting recessed from September 21, 1965. The following members were present: Governor Edward T. Breathitt, Chairman, Dr. Ralph Angelucci, Vice Chairman, Judge J. A. Sutherland, Secretary, Mr. Smith Broadbent, Dr. R. W. Bushart, Dr. Harry Denham, Mr. Robert Hillenmeyer, Dr. H. B. Murray, Mr. Clifford E. Smith, Mr. Floyd Wright, and the two non-voting faculty members, Professor Paul Oberst and Dr. Stephen Diachun. Absent were: Mr. Wendell P. Butler, Mr. Sam Ezelle, Mr. W. F. Foster, and Dr. Harry A. Sparks. Also present were Dr. John Oswald, President of the University, Dr. A. D. Albright, Executive Vice President, Dr. William R. Willard, Vice President--Medical Center, Mr. Robert F. Kerley, Vice President-Business Affairs, Dr. Glenwood L. Creech, Vice President-University Relations, and Mr. Robert L. Johnson, Vice President-Student Affairs. Representatives of the press were in attendance.

A. Meeting Opened

The Chairman called the meeting to order at 1:40 p. m. and the invocation was pronounced by Judge Sutherland. Following roll call to which twelve answered, with only four members being absent, the Chairman announced that a quorum was present for the conduct of business.

B. Minutes Approved

On motion by Dr. Angelucci, seconded by Mr. Hillenmeyer, and passed without objection the reading of the Minutes of the meeting of the Board of Trustees on September 21 was dispensed with and the Minutes were approved as published.

C. President's Report on Activities

The Chairman called on President Oswald for his report on monthly activities, copies of which are distributed to those present at the meeting and which are also mailed to faculty and selected alumni following each meeting.

Dr. Oswald stated that the report was a rather lengthy one since it covered two months. He further stated that since the Agenda for the meeting was

a full one, he would not go over the report item by item but expressed the hope that the members would do so at their leisure. He did, however, call attention to the following items:

- Item 1. The plans for the Barkley Day dedication to be held on November 23 at which Senator Everett Dirksen would be the principal speaker and the replica of the Barkley statue would be presented to the University by Governor Breathitt.
- Item 2. Fireside Week which was held November 7-12. During the week coffee hours were held in the homes of faculty and staff members for small groups of students, approximately 300 of whom participated. The program, which is designed to bring about closer relationships between students and faculty, was termed most successful.
- Item 11. The Law Building dedication scheduled for December 4 at which Chief Justice Earl Warren will deliver the principal address.

Dr. Angelucci asked that an additional item be noted as a matter of record. In early October, President Oswald was singularly honored by being selected to receive one of the first Fellow Awards of the American Phytopathological Society at its annual meeting in Miami Beach, Florida.

Governor Breathitt thanked the President for the fine report, saying that all Kentuckians take pride in seeing and reading the report of continuing contributions the University is making. The fact that the Minority Leader of the Senate and the Chief Justice of the United States Supreme Court see fit to come to the campus is a recognition of what is being done here.

D. Recommendations of the President (PR 2)

In advance of each meeting copies of the President's Recommendations (PR2) are mailed to members of the Board. Thus the Board is familiar with the contents of the report which contains important but routine items of business. Dr. Oswald indicated that he had no special comment to make on any item in the report but would be glad to answer questions relative to any item. He recommended approval of the report as a whole.

On motion duly made, seconded and carried the recommendations contained in PR 2 were approved and ordered made a part of the official Minutes of the meeting (See PR 2 at the end of the Minutes).

E. Supplemental Recommendations of the President (PR3)

PR 3, Supplemental Recommendations of the President, is a presentation of important but routine matters requiring Board approval which are received in the President's Office too late for inclusion in PR 2. President Oswald indicated his readiness to answer questions and recommended approval of the report as a whole.

The motion was made, seconded, and carried that the recommendations contained in PR 3 be approved as a whole and that it be made a part of the official record of the meeting. (See PR 3 at the end of the Minutes.)

F. Approval Given to Budget Request for 1966-68

Copies of the University of Kentucky Biennial Budget Request for 1966-68, an 81 page document, were mailed to the members of the Board ten days in advance of the meeting. Copies were also available for distribution at the meeting.

President Oswald remarked that the presentation of a biennial budget request was one of the most important, if not the most important, responsibilities of a president of an institution of higher education such as the University of Kentucky. Two years ago, upon presenting the budget request, he made a statement which is basic to all consideration of a budget--it is the means by which educational programs, aims, and goals are translated into action. The budget is an expression in financial terms of the University's position in the state and society in general. It is also a continuation of the activities of a university based on its last budget. The appropriation for the 1964-66 biennium enabled the University to make notable changes and placed it for the first time in a reasonably competitive position with similar institutions in surrounding states. The document, he said, brings together all of the income of the University, regardless of source, and shows proposed expenditures by function, location, and object for the University proper and its auxiliary enterprises. President Oswald then read the following letter of transmittal:

Transmittal

November 1, 1965

Board of Trustees
University of Kentucky

Gentlemen:

It is a pleasure for me to transmit to you this 1966-68 biennial budget request for the University of Kentucky for your careful consideration and approval.

I am pleased for several reasons to commend this request to you. The document depicts an extension of the progress made through the financial support provided by the Commonwealth in the 1964-66 biennium. Notable in the advancement of the University during the last biennium of its first century are these attainments: four new community colleges opened to extend educational opportunities to 2,000 additional Kentucky youth; an increase of approximately 3,400 more students at the University in Lexington; improved economic condition of the faculty; a greater volume of research, particularly through non-state support; further activation of Hospital facilities and the Medical Center programs; graduating the second and third classes of doctors, the second and third classes of nurses, and the first class of dentists; completion and occupancy of new academic buildings for the Agricultural Sciences, Law, Education, Engineering and Commerce; increased financial aid in loans and scholarships and other services to students. More indices could be enumerated to vivify the progress the University has made over this short period -- the 99th and 100th years of its service -- but attention is now turned to the request at hand for the support of the institution in the 1st and 2nd years of its second century of service.

The first biennium of the University's second one hundred years comes at a time, and with societal conditions, that demand crucial and urgent decisions about the directions and roles of the institution, the accommodation of increasing numbers of students, the quality and effectiveness of its teaching, the significance and contribution of its research efforts, and the relevance and dedication of its public service to the major problems of development, human and material in the Commonwealth. These decisions, made wisely, require the most insightful thought and study by the faculty and administration of the University, the Board of Trustees, and the officials of State government, and finally through an understanding by the people of the Commonwealth. Over the past two years the faculty, staff and administration of the University have been examining, analyzing, and revitalizing practically every one of the educational programs of the institution. Consequently, the premise underlying the preparation of this biennial request is that a budget is basically a financial expression of the educational programs deemed appropriate to provide. Accordingly then, as decisions are made on financial support, fundamentally the future educational course of the University is being charted as well.

Of course, the quantitative growth in numbers of students and people to be served is recognized in this request for support, which requires accompanying needs for new qualified faculty, equipment, space for offices and classrooms, and other provisions. Equally important for consideration is the quality of programs provided. And quality is directly related to continuous recruitment and retention of the most able faculty and staff and to the judicious allocation and use of available resources. The ability to attract and keep productive, creative, and competent personnel is determined to a great extent by the competitive position of the University among other similar institutions. Adequate compensation, staff benefits, suitable equipment for teaching and research, and working conditions conducive to high morale and institutional tone are obviously important in open competition. These emphases are included in this budget request.

In recommending the approval of this 1966-68 Biennial Budget Request, I am confident that, when adopted and subsequently supported, the University of Kentucky will open its second century with renewed promise and resolve for greater attainments and services in the development of individuals, the Commonwealth and the Nation over the years ahead.

Respectfully submitted,

John W. Oswald
President

Dr. Oswald then commented specifically on the following program requirements covered by the budget request:

1. Program Continuation and Improvement

Salaries. Because of increased enrollment plus the fact that the last biennial appropriation did not permit the recruitment of all new staff that was needed, it will be necessary to add four-hundred and nineteen new faculty members (272--Division of Colleges; 51--Medical Center Colleges; and 96--Community College System) for an estimated amount of \$4,753,000 for the biennium in order to attain the desired student-faculty ration of one to sixteen. It will require \$1,946,000 for the biennium to provide faculty salary increments of six or seven per cent which would be based on merit and cost of living raises. The necessary salary increments for classified personnel, an area of the University which has not kept pace in the past, would necessitate \$752,602 for the biennium and new positions needed because of new and expanded programs will require an additional \$872,891.

The Cost of Operating the University. It is estimated that the physical plant on the Lexington campus will increase 390,000 square feet during the biennium requiring increases of \$1.05 per square foot in the first year and \$1.15 per square foot in the second year of the biennium.

Libraries. If the current average expenditure of \$80 per student is to be maintained, an additional \$200,000 or more will be required. It will also be necessary to provide libraries for each of the new community colleges and additional holdings for faculty. The proposed graduate, professional, and research programs will require specialized additions and it will be necessary to bring the libraries at the nine existing community colleges up to acceptable standards of quality.

2. New Programs. The budget document contains provisions for many new programs to strengthen and expand the University's instructional and research capabilities. Among the many new programs planned, particular attention was called to the request for funds to develop new programs in doctoral

work in certain areas, to the implementation of an integrated television system, to the expansion of the Community College System including the establishment of a Blackey-Hazard Community College and a Jefferson Community College already authorized by the General Assembly, new and expanded programs in the Agricultural Experiment Station and the Cooperative Extension Service, many of which have resulted from the recommendations made by the Governor's Commission on Agriculture, and the provision of a funded retirement system for the University for classified personnel in the second year of the biennium.

3. Income and Expenditure Estimate and Analyses

Parts III and IV of the budget show the estimated income from the following sources: State Appropriations, Student Fee Income, Federal Appropriations, County Appropriations, Endowment Income, Gifts and Grants, Sales and Services, Hospital Income, Auxiliary Services Income, Restricted Funds, and Fund Balances. The total amount of the increase anticipated is \$16,220,000 in 1966-67 and \$15,390,800 for 1967-68. The estimated expenditures for the biennium were established on the basis of function, location, and object, and showed totals equaling estimated income for the two-year period.

In closing his presentation, Dr. Oswald said that the budget request was the most complete and best document ever presented to the Board of Trustees and expressed his deep appreciation to Dr. A. D. Albright, the chief academic officer of the University, and his staff (particularly Mr. Larry Owen, Budget Officer) and to Mr. Robert Kerley, the chief financial officer of the University, and his staff, all of whom worked together to develop the budget document.

Dr. Albright when asked for his comment, said that the President had covered the document thoroughly and completely and wished only to indicate that the estimated income from Federal sources was as accurate as possible up to the first of November; however, it is impossible to determine exactly at the present time what the new Higher Educational Facilities Act of 1965 will mean to individual institutions in terms of dollars and cents.

Governor Breathitt thanked Dr. Oswald for his presentation and asked for comments from Board members. Mr. Smith, Chairman of the Finance Committee, said that he had had the privilege of having a copy of the document for some time and had studied it very thoroughly. He commended Dr. Oswald, Dr. Albright, Mr. Kerley, and their assistants for the excellent job they had done in preparing the budget request for the 1966-68 biennium.

As Chairman of the Finance Committee, Mr. Smith moved that the budget request as presented be approved and that it be presented through the appropriate and required channels for final inclusion in the Budget Bill that will be presented to the Legislature. Mr. Smith's motion was seconded by Mr. Wright and passed unanimously.

G. Resignation of Mr. Gilbert W. Kingsbury from the Board of Trustees Accepted

Governor Breathitt said he had a letter from Mr. Gilbert W. Kingsbury tendering his resignation from the Board of Trustees, effective November 18, 1965. In accepting Mr. Kingsbury's resignation the Governor expressed his personal appreciation to him for his fine service on the Board and to the Commonwealth as Director of the Better Roads Council, Inc. The other members of the Board expressed regret that Mr. Kingsbury had resigned and joined Governor Breathitt in an expression of appreciation for the service which he had rendered to the University of Kentucky as a member of the Board of Trustees.

H. Approval of Appointments of Dr. Robert Lauderdale, Dr. Stanley Wall, Mr. Edward J. Brumfield, and Mr. Gilbert W. Kingsbury to Administrative Positions

President Oswald stated that he wished to make recommendations for four administrative appointments and suggested that the Board take action on each appointment as presented. Dr. Oswald's recommendations and his statement relative to each follow:

1. Dr. Robert A. Lauderdale as Director of the Water Resources Institute. About a year ago, the Board of Trustees authorized the establishment of an Institute for Water Resources which would be a University-wide Institute to work in research and instructional problems in this increasingly important area in our society. Dr. Robert A. Lauderdale of the College of Engineering was designated as Acting Director with the understanding that a permanent director would be recommended at a later date. As Acting Director, Dr. Lauderdale has initiated all the actions necessary to activate this operation, including the acquisition of extramural research funds. The recommendation that Dr. Lauderdale be named Director has the concurrence of Dr. A. D. Albright and Dr. Raymond C. Bard.

On motion by Mr. Broadbent, seconded by Judge Sutherland, and approved unanimously, Dr. Robert A. Lauderdale was named as Director of the Water Resources Institute, effective immediately.

2. Dr. Stanley A. Wall as Director of the Summer Session. Dr. William Wagner, who has served as Director of the Summer Session, has now taken over responsibilities as Chairman of the Department of Chemistry and it is necessary that a new director be named. Careful study has been given to this selection of Dr. Wagner's successor and it is recommended that Dr. Stanley Wall, Associate Dean of the College of Agriculture and

Home Economics, be named as Director of the Summer Session, effective immediately. Dr. Wall will continue his duties as Associate Dean but on a reduced basis so that he can spend sufficient time to develop a strong summer program. Dr. Wall has demonstrated his capacity to work with students, curricula and the like in a most satisfactory manner. The recommendation that he be named Director of the Summer Session program has the concurrence of Dean Seay.

On motion by Mr. Smith, seconded by Mr. Hillenmeyer, and approved unanimously, Dr. Stanley Wall, Associate Dean of the College of Agriculture and Home Economics, was named as Director of the Summer Session, in addition to his duties as Associate Dean, such appointment to become effective immediately.

3. Mr. Edward Jay Brumfield as Associate Director of Alumni Affairs. The Alumni Association has had a field secretary whose job has been to organize alumni clubs in the state and in the nation. This position has been vacant for some time and it was the decision of the Alumni Board of Directors and of Vice President Creech that it would be desirable to recruit a person at a higher level of activity to become Associate Director of Alumni Affairs to work with Vice President Creech and Miss Helen King, Director of Alumni Affairs. A Screening Committee was appointed and recommended to the Board of Directors of the Alumni Association, who unanimously approved the recommendation which is now presented to the Board of Trustees, that Mr. Edward Jay Brumfield be named as Associate Director of Alumni Affairs at the University of Kentucky, this appointment to be effective January 15, 1966. Mr. Brumfield, a native of Nicholasville, Kentucky, is currently Director of Admissions at Auburn University and his background and experience fit him admirably for this position of Associate Director of Alumni Affairs.

On motion by Judge Sutherland, seconded by Dr. Angelucci, the appointment of Mr. Edward Jay Brumfield as Associate Director of Alumni Affairs, effective January 15, 1966 was unanimously approved.

4. Mr. Gilbert W. Kingsbury as Assistant Vice President-University Relations. The position of Assistant Vice President-University Relations was established and approved in the 1965-66 budget. The principal responsibility of the person filling the position would be in the area of public relations; however, his duties would also cut across the entire field of external relations of the University.

After a careful screening of candidates for this position, Mr. Gilbert W. Kingsbury is recommended as Assistant Vice President-University Relations, his appointment to become effective immediately. Mr. Kingsbury is eminently qualified in terms of personal qualities, education and experience for this position. He has had thirty-two years of experience with news media and in public relations work. He has had a close connection with the University through the Alumni Association and more recently as a member of the Board of Trustees. His background in economics and journalism, his connections with the Cincinnati Post and subsequently with the Crosley Broadcasting Corporation of which he was a vice president, and his recent experience with the Better Roads Council, Inc. are all excellent qualifications for the position of Assistant Vice President-University Relations.

On motion by Mr. Wright, seconded by Dr. Denham, and unanimously approved, Mr. Gilbert W. Kingsbury was named Assistant Vice President-University Relations, effective immediately. In approving this appointment, the members of the Board expressed their pleasure that Mr. Kingsbury, whose resignation from the Board had just been announced by the Governor, was to become a member of the Administrative staff of the University and expressed their confidence in his ability to provide the leadership necessary to develop this important area of the University's external relations.

I. Medical Center and College of Pharmacy Reorganization Approved

Dr. Oswald opened his remarks by stating that for some time serious consideration has been given to the relationship of the College of Pharmacy to the University. He reminded the Board that the College of Pharmacy was originally a separate Louisville institution and became a part of the University of Kentucky in 1947 although it remained located in Louisville. In 1956 the College moved to the Lexington campus upon the completion of a new building constructed for its use. When the Medical Center was activated in 1956, the College of Pharmacy even though it is an allied science was not included as a part of it nor has it become as integrated with the rest of the University as is desirable. It has done an excellent job in undergraduate instruction of pharmacists but at present it does not have the facilities or number of staff necessary to develop a significant program of graduate study and research which is deemed necessary. Last summer consultants were invited to the campus to study the College of Pharmacy and to make recommendations relative to its future growth and development. These consultants recommended that the University consider integrating the College of Pharmacy with the Medical Center. Such action would enable it to strengthen its instruction and research programs.

At the same time that the study of the College of Pharmacy was being made, Dr. Willard and his staff had been considering growth and development within the Medical Center itself and the need for an additional unit whereby persons could be trained in the allied health fields. His study resulted in a recommendation to the President that a new school be established in the Medical Center to be known as the School for Allied Health Personnel and a faculty appointed to develop curricula and programs necessary for its development.

At a recent meeting of the Board of Trustees, Dr. Willard in answer to a question of one of the members, indicated that probably within the next year (1965-66) it would become necessary for him to devote full time to his duties as Vice President-Medical Center, thereby necessitating the naming of a person as Dean of the College of Medicine. It is the recommendation of Dr. Willard that should the School for Allied Health Personnel be established at this time, he relinquish his duties as Dean of the College of Medicine and that a new dean be named.

In order to implement these three recommendations, Dr. Oswald indicated that he was prepared to make the following recommendations to the Board at this time:

1. Effective January 1, 1966, the College of Pharmacy be transferred or become administratively a part of the Medical Center reporting to Vice President Willard.
2. Effective January 1, 1966, a new School for Allied Health Personnel be established within the Medical Center.
3. Effective January 1, 1966, the position of Vice President-Medical Center and the Dean of the College of Medicine be separated in recognition of the vastly increasing responsibilities of Vice President Willard; who would have five academic units under his supervision, and a separate position of Dean of the College of Medicine be established.
4. Effective immediately, the President, in consultation with Dr. Willard, be authorized to appoint three committees to begin searches for (a) a dean for the College of Medicine; (b) a dean for the College of Pharmacy (Dean Slone who is currently on leave of absence, serving as Director of one of the Contract Teams in Indonesia, has requested that he be permitted to relinquish his duties as Dean of the College of Pharmacy); and (c) a director for the School for Allied Health Personnel.
5. To create in the Office of the Vice President-Medical Center a Division of Health Resource Development (the establishment

of such a division would permit the University to become more actively involved in health care programs such as the War on Poverty, Appalachia, the heart, stroke and cancer regional program, community mental health, mental retardation programs, Medicare and the extended Kerr-Mills program).

These five recommendations have been discussed carefully with the faculty of the College of Medicine and the faculty of the College of Pharmacy to assure them that the whole purpose was one of being able to build a stronger College of Pharmacy by virtue of having the total resources of the Medical Center available to it. In the long-range planning, new quarters for the College of Pharmacy would be a wing related to the Medical Center so that all activities could be together.

Dr. Willard, in commenting on the proposed recommendations, indicated that it is an important move for the College of Pharmacy and expressed the hope that through the transfer of the administrative responsibilities to the Medical Center, the College of Pharmacy would grow along with the Colleges of Medicine, Nursing, and Dentistry. In speaking of his own change of duties he said that it is particularly important at this time when there were so many pressures for new programs in allied health fields both national and local, for him to be able to devote his full time and attention to the overall program, leaving the day-to-day supervision of the various colleges to their respective deans.

Mr. Smith commented that the job which Dr. Willard has done in directing the Medical Center has demonstrated he is a great asset to Kentucky and the medical school is now recognized throughout the country. Medicine is a fast moving field, certainly, and the new School for Allied Health Personnel is needed in Kentucky.

On motion by Dr. Bushart, seconded by Dr. Denham, the five recommendations proposed by Dr. Oswald were approved as presented.

J. Reorganization of Foreign Languages Program (PR 7)

At the request of Dr. Oswald, Dr. Albright presented PR 7, Reorganization of Foreign Languages Program, a copy of which is included at the end of the Minutes. He stated that since the end of World War II enrollment in Modern Foreign Languages had increased seventy-five per cent in the nation and the enrollment at the University, over the past few years, in Modern Foreign Languages has increased thirty-five per cent and will probably reach fifty per cent in the next two years. Consequently, the present Departments of Modern Foreign Languages and Classics have grown in number of students and staff. In keeping with President Oswald's charge for the study of each of the University's programs, a committee was appointed to study this increasingly important area

of instruction in the University. This committee recommends that the two present departments, encompassing about eight different languages be reconstituted into four new departments more along the lines of development in other institutions.

On motion by Mr. Broadbent, duly seconded and approved, the President was authorized to reorganize the present areas of modern foreign languages and classics into four departments in the College of Arts and Sciences as follows; (a) the Department of Germanic and Classical Languages and Literatures; (b) Department of French Language and Literature; (c) Department of Spanish and Italian Languages and Literatures; and (d) Department of Slavic and Oriental Languages and Literatures. (See PR 7 at the end of the Minutes.)

K. Approval of the Program Plans for the General Classroom-Office Building 1965 (PR 8)

Mr. Kerley indicated that the architects have completed the program planning and cost estimates based on the plan for the general classroom-office building and wished to present these to the Board at this time. Mr. Ernst Johnson and Mr. Byron Romanowitz, representatives of the firm, Brock, Johnson and Romanowitz, presented the proposed floor plan for the general classroom-office building which would be constructed as a tower building connected to a classroom building by a covered walkway with a common basement serving both buildings, with service to the rear of the buildings. The tower building would be a nineteen story structure and the classroom building would consist of three stories designed to accept students entering from all sides. The floor plan showed the classroom designed with wide stairs at each end, wide corridors, and classrooms in the center and on the perimeter. The classroom would be constructed with movable walls so that the size might be altered in keeping with particular needs. The tower building would provide office space for approximately 500 faculty and staff members and 375 research and graduate assistants. The classroom building would provide for 3,300 student stations. The classroom building would be served by stairways whereas six elevators would be used in the tower building. Dr. Angelucci raised a question as to whether the use of stairs in the classroom building was the most desirable means of providing for student entrance and exit. Mr. Johnson replied that the use of elevators in classroom buildings had generally not proven successful and that escalators were very expensive and take up much space.

On motion, duly made, seconded and carried, the President and administrative officials were authorized to proceed with the preparation of preliminary plans and specifications for the building, taking into consideration the suggestions which had been made relative to the provision of the most satisfactory method of entering and leaving the building. (See PR 8 at the end of the Minutes.)

L. Resolution Relative to Transfer of Research Support to University of Kentucky Research Foundation (PR 9)

Mr. Kerley presented PR 9, Resolution Relative to Transfer of Research Support to University of Kentucky Research Foundation, a copy of which is included at the end of the Minutes. He explained that this transfer of funds to the University of Kentucky Research Foundation would simplify the handling of extramural support from such agencies as the United States Public Health Service, and other governmental departments.

On motion by Mr. Broadbent, duly seconded and carried, the Resolution to transfer research support funds to the University of Kentucky Research Foundation was adopted. (See PR 9 at the end of the Minutes.)

M. Approval in Principle of the Central Campus Development Plan (PR 10)

Mr. Kerley had copies of the Central Campus Development Plan distributed and reminded members of the Board that this plan had been originally presented to them for study and review in September, 1965. Action was now requested and, upon motion duly made, seconded, and carried, the Central Campus Development Plan was adopted in principle as the third in a series of documents which constitutes a statement of the University's long-range development objectives and requirements with the understanding that the area north of Columbia Avenue and east of Rose Street is now under restudy by the planning consultant and further recommendations on that area will be forthcoming. (See PR 10 at the end of the Minutes.)

N. Policies Relating to Spindletop Research, Inc. (PR 11)

President Oswald reminded members of the Board of Trustees that on March 20, 1964 the Joint Policy Statement Regarding the Cooperative Utilization of Facilities and Equipment of the University of Kentucky and Spindletop Research, Inc., had been approved. At the present time, a joint committee at the staff level exists between the University of Kentucky and Spindletop Research, Inc. but it seems desirable in order to further strengthen ties that a joint committee of the two Boards be appointed.

On motion by Mr. Smith, seconded by Mr. Broadbent, and passed without objection, the Chairman of the Board of Trustees was authorized to appoint a committee of three members of the University to serve with the President of the University as the University's component of a joint Board Committee of the University of Kentucky and Spindletop Research, Inc.

Governor Breathitt named the following members of the Board of Trustees

to serve with President Oswald as the University's component of the joint Board Committee: Dr. Stephen Diachun, Mr. Clifford Smith, and Dr. Ralph Angelucci. (See PR 11 at the end of the Minutes.)

O. Resolution Authorizing Advances for Physical Planning (PR 12)

Mr. Kerley called the attention of the Board members to PR 12, Resolution Authorizing Advances for Physical Planning, a copy of which is included at the end of the Minutes. He explained that with the large number of buildings which the University is trying to mount it is necessary to have funds available to aid in financing the cost of engineering and architectural surveys, designs, plans, working drawings, specifications, or other action preliminary to and in preparation for the construction of these buildings. Under Public Law 560, Eighty-third Congress, it is possible to obtain funds for such purposes. The Board was, therefore, asked to adopt a resolution authorizing the filing of applications for advances to provide funds for physical planning.

On motion, duly made, seconded, and carried, a resolution was adopted authorizing the Vice President-Business Affairs and Treasurer to make application to the federal government under Public Law 560, Eighty-third Congress, for advances to aid in financing the cost of engineering and architectural surveys, designs, plans, working drawings, specifications, and other action preliminary to construction for the following four buildings: (1) the classroom-office building; (2) the biological sciences building; (3) the undergraduate library; and (4) the physical education building. (See PR 12 at the end of the Minutes.)

P. Resolution Adopted Accepting Community College Property at Prestonsburg (PR 13)

President Oswald indicated that the Big Sandy Development Association had purchased certain lands at Prestonsburg from the Porter heirs for the purpose of future expansion of the Prestonsburg Community College facility. The deed was presented to him at the Prestonsburg Convocation on October 13, 1965. The proposed resolution, a copy of which is included at the end of the Minutes, would provide for formal acceptance of the property by the Board and express the Board's appreciation for the conveyance.

On motion duly made, seconded, and carried, the Resolution was adopted. (See PR 13 at the end of the Minutes.)

Q. Honorary Degree Recipients Approved (PR 14)

The Chief Justice of the United States Supreme Court, Mr. Earl Warren,

will be the principal speaker at the College of Law Dedication Program on December 4. Since the University is singularly honored to have Chief Justice Warren on the campus, the Graduate Faculty and University Senate have recommended that the University should honor him by awarding to him of the honorary degree. In addition, the University is fortunate to have as a Visiting Centennial Professor, Dr. Hugo Theorell of the Nobel Medical Institute in Stockholm and the faculty recommends awarding him an honorary degree at the December 4 ceremony. Biographical materials on both Chief Justice Warren and Dr. Theorell are included as part of PR 14 at the end of the Minutes.

On motion by Dr. Bushart, seconded by Judge Sutherland, and passed unanimously, approval was granted to award the honorary degree of Doctor of Laws to Chief Justice Earl Warren and the honorary degree of Doctor of Science to Dr. Hugo Theorell at the College of Law Centennial Convocation on December 4, 1965 and the President was authorized to notify these persons that they had been selected to receive the honorary degree approved for each. (See PR 14 at the end of the Minutes.)

R. Appropriation of Fund Balances Approved (PR 15)

Mr. Kerley called attention to PR 15, Appropriation of Funds Balances, a copy of which is included at the end of the Minutes. He indicated that General Funds Balances in the amount of \$471, 000 were available as residual from operations of prior fiscal years. As a matter of fiscal policy, such balances are best utilized for non-recurring types of expenditures to prevent the unauthorized expansion of the University's budget base. In addition to the \$417, 000 available in the General Funds Balances, \$300, 000 is available in the University Fund which could be used to finance advance planning of major capital projects in both the University system and the Community College System. Dr. Albright indicated that were these fund balances to be used for recurring types of expenditures, the budget request presented earlier would have been increased by over half a million dollars.

On motion by Judge Sutherland, seconded by Dr. Angelucci, the General Funds Balances in the amount of \$471, 000 were appropriated for the purposes shown in PR 15 and the President was authorized to expend such balances for these purposes in the approximate amounts indicated and was further authorized to make necessary adjustment to the amounts to be expended for each purpose within the total appropriation of \$471, 000. The President was also authorized to advance or expend \$300, 000 now available in the University Fund for both major and minor capital projects. The motion was approved unanimously. (See PR 15 at the end of the Minutes.)

S. Supplemental Proceedings Relating to the Board's Establishment of the "Housing and Dining System" at the Main Campus, and Authorization of the Board's "Housing and Dining System Revenue Bonds."

Board Member Smith introduced, caused to be read, and moved immediate adoption of a proposed Resolution, as follows:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AMENDATORY AND SUPPLEMENTAL TO A CERTAIN RESOLUTION WHICH WAS ADOPTED BY THE BOARD AT ITS STATUTORY QUARTERLY MEETING HELD ON SEPTEMBER 21, 1965, RELATING TO THE BOARD'S ESTABLISHMENT OF THE "HOUSING AND DINING SYSTEM" AT THE MAIN CAMPUS OF THE UNIVERSITY AT LEXINGTON, KENTUCKY, AND AUTHORIZATION OF THE BOARD'S "HOUSING AND DINING SYSTEM REVENUE BONDS"

WHEREAS, This Board upon the occasion of its statutory quarterly meeting held on September 21, 1965, and acting pursuant to authority of Sections 162.340 to 162.380, inclusive, of the Kentucky Revised Statutes (KRS), adopted a certain Resolution which is spread at large upon the minutes of said meeting and is identified by its title or caption, as follows:

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY (A) PROVIDING FOR THE ESTABLISHMENT OF A "HOUSING AND DINING SYSTEM" CONSISTING OF ALL EDUCATIONAL BUILDINGS AT THE MAIN CAMPUS OF THE UNIVERSITY FOR HOUSING, DINING AND STUDENT UNION PURPOSES, WITH RELATED AUXILIARY ENTERPRISES AND APPURTENANT FACILITIES; (B) AUTHORIZING THE ISSUANCE OF THE BOARD'S "HOUSING AND DINING SYSTEM REVENUE BONDS," IN VARIOUS SERIES FROM TIME TO TIME; (C) PROVIDING THAT SUCH BONDS SHALL BE PAYABLE AS TO PRINCIPAL AND INTEREST SOLELY FROM DEFINED REVENUES OF SUCH SYSTEM; (D) PROVIDING THAT SUCH DEFINED REVENUES SHALL BE COLLECTED, SEGREGATED AND DISTRIBUTED INTO VARIOUS SPECIAL FUNDS; (E) AUTHORIZING AN EXCHANGE OF CERTAIN BONDS WITH HOUSING AND HOME FINANCE AGENCY OF THE UNITED STATES; (F) AUTHORIZING THE PUBLIC SALE OF CERTAIN BONDS; (G) MAKING PROVISION FOR THE FUTURE ISSUANCE OF ADDITIONAL BONDS PAYABLE FROM SUCH DEFINED REVENUES ACCORDING TO SPECIFIED CONDITIONS AND LIMITATIONS; (H) APPROVING THE

PROPOSED FORM OF TRUST INDENTURE FOR SECURING
SUCH BONDS, AND AUTHORIZING EXECUTION THEREOF;
AND (I) VESTING IN THE EXECUTIVE COMMITTEE OF
THE BOARD PROPER AUTHORITY TO TAKE NECESSARY
ACTION IN THE COMPLETION OF THE TRUST INDENTURE
AND IN THE ADVERTISEMENT AND SALE OF CERTAIN
BONDS

reference being hereby made thereto for the text and import thereof; and

WHEREAS, there was incorporated in said Resolution, as a part of Section 3 thereof, the full and complete text of a Trust Indenture dated as of June 1, 1965, by said Resolution authorized to be made by and between this Board and Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee; and said Trust Indenture has been executed by the parties; but as of the date of the adoption of this Resolution none of the "University of Kentucky Housing and Dining System Revenue Bonds" therein described, authorized, and intended to be secured have been sold or issued, so that no vested or contractual rights of third parties have arisen thereunder; and it is lawful, proper and in order that said Trust Indenture be supplemented, amended, and completed in certain respects by mutual agreement of the Board and the Trustee as the only parties presently having any contractual interests thereunder; and

WHEREAS, certain events have transpired since the execution of the aforesaid Trust Indenture, and certain omissions have been discovered in the text thereof, and it is considered by this Board to be necessary and desirable to authorize at this time certain alterations or amendments of the text thereof, and certain completions of the text thereof with respect to details not known or ascertainable at the date of the Board's approval and authorization thereof; and

WHEREAS, upon the recommendation of the Financial Advisors, and with the concurrence of the Business Staff of the University, Bond Counsel has been requested to prepare and tender for consideration by the Board at this time a "Supplemental Trust Indenture" for the purpose of implementing and effectuating the alterations, amendments and completions hereinabove referred to; and such "Supplemental Trust Indenture" has been submitted by Bond Counsel, recommended for approval and adoption by the Financial Advisors and the Business Staff of the University, and has been thoroughly examined and discussed by the members of this Board and is considered to be proper in form and text for the accomplishment of the intended purposes,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DOES HEREBY RESOLVE, AS FOLLOWS:

Section 1. The form of the aforesaid "Supplemental Trust Indenture" as prepared and submitted by Bond Counsel, and as recommended for approval and

adoption by the Financial Advisors and the Business Staff of the University, is hereby approved in its entirety and as to every detail and provision thereof; and authority is hereby given for the execution thereof in the name and on behalf of this Board by its Chairman or Vice Chairman, and for the affixation of the corporate seal thereto, attested by the Secretary; and upon full execution of said instrument on behalf of the Board and on behalf of said Trustee, the same shall be deemed to constitute, together with the Trust Indenture as heretofore executed, but one and the same instrument; and the previously executed Trust Indenture shall thereafter be construed wherever applicable according to the alterations, amendments and completions set forth in said "Supplemental Trust Indenture," the full and complete text of which is hereby incorporated as a part of this Resolution; the same being in words and figures, as follows:

SUPPLEMENTAL TRUST INDENTURE

MADE BY

BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky

TO

FARMERS BANK & CAPITAL TRUST COMPANY
Frankfort, Kentucky,
As Trustee

AMENDING THAT CERTAIN TRUST INDENTURE
MADE BY THE PARTIES UNDER DATE OF
JUNE 1, 1965

SECURING

UNIVERSITY OF KENTUCKY HOUSING AND DINING
SYSTEM REVENUE BONDS

INITIALLY CONSISTING OF

SERIES A BONDS	\$ 1,000,000	
SERIES B BONDS	\$ 275,000	
SERIES C BONDS	\$ 955,000	
SERIES D BONDS	\$ 1,835,000	
SERIES E BONDS	\$ 1,580,000	
SERIES F BONDS	\$ 1,155,000	
SERIES G BONDS	\$ 1,375,000	
SERIES H BONDS	\$ 6,900,000	
SERIES I BONDS	\$	(To be Determined)

<p>DRAFT SUBMITTED TO THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AT ITS MEETING OF NOVEMBER 19, 1965</p>

Submitted by:
GRAFTON, FERGUSON & FLEISCHER
310 West Liberty Street
Louisville, Kentucky 40202

SUPPLEMENTAL TRUST INDENTURE

UNIVERSITY OF KENTUCKY HOUSING AND DINING
SYSTEM REVENUE BONDS

Dated as of June 1, 1965

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* * * * *

SUPPLEMENTAL TRUST INDENTURESECURINGUNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM
REVENUE BONDS

Dated as of June 1, 1965

ISSUED BY BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY,
LEXINGTON, KENTUCKY

INITIALLY CONSISTING OF

SERIES A BONDS	\$ 1,000,000	
SERIES B BONDS	\$ 275,000	
SERIES C BONDS	\$ 955,000	
SERIES D BONDS	\$ 1,835,000	
SERIES E BONDS	\$ 1,580,000	
SERIES F BONDS	\$ 1,155,000	
SERIES G BONDS	\$ 1,375,000	
SERIES H BONDS	\$ 6,900,000	
SERIES I BONDS	\$ _____	(To be determined)

This SUPPLEMENTAL TRUST INDENTURE, dated as of the first day of June, 1965, made by and between BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY, a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, at Lexington, Kentucky, (hereinafter called the "Board"), party of the first part, and FARMERS BANK & CAPITAL TRUST COMPANY, a combined bank and trust corporation duly organized and existing according to the laws of the Commonwealth of Kentucky, having full powers to act as a corporate Trustee, and having its principal office and place of business in the City of Frankfort, County of Franklin, Commonwealth of Kentucky, as Trustee (hereinafter called the "Trustee"); party of the second part:

W I T N E S S E T H :

THAT WHEREAS, the Board and the Trustee have heretofore made and entered into a certain Trust Indenture (hereinafter sometimes referred to as the "Indenture") for the purpose of providing for the security and source of payment of the Board's "University of Kentucky Housing and Dining System Revenue Bonds" dated as of June 1, 1965; and

WHEREAS, none of the Bonds referred to in the Indenture and intended to

be secured thereby have, at the date of execution of this Supplemental Trust Indenture, been sold or issued; and by proper action duly taken by the Board at a properly convened meeting held on November 19, 1965, the Board has determined that the Indenture should at this time be amended in certain respects, and completed in certain respects; and the Trustee is willing to accept the trust agreed to be undertaken by it under the Indenture, with such amendments and completions; and

WHEREAS, it is provided in ARTICLE XII of the Indenture that the Board and the Trustee, from time to time and at any time, may enter into Supplemental Trust Indentures for enumerated purposes specifically including in sub-section (5) of Section 1201 of said Article:

"For any other purpose not inconsistent with the terms of this Indenture, and which shall not impair the security of the same."

and it is obvious that the amendments authorized by the Board serve to increase rather than to impair the security of the Indenture; so that it is in all respects proper that this Supplemental Trust Indenture be executed, and thereby become a part of the Indenture,

NOW, THEREFORE, in consideration of the premises and of the sum of One Dollar (\$1.00) cash in hand paid by the Trustee to the Board, the receipt of which is hereby acknowledged, the Board and the Trustee hereby amend and/or complete certain designated passages of the Indenture as follows:

Section 1. The definition of "Housing and Dining System" or "System" as set forth in sub-paragraph (i) of Section 101 of ARTICLE I of the Indenture is hereby amended to read as follows:

"(i) 'Housing and Dining System' or 'System' --

The 'University of Kentucky Housing and Dining System,' created and particularly identified in this Indenture, (a) initially comprising the housing, dining, and student center buildings, appurtenant facilities, and related auxiliary enterprises, identified as Bowman Hall, Donovan Hall, Keeneland Hall, Cooperstown, Holmes Hall; Shawneetown, Haggin Hall, Blazer Hall, the Student Union (or Student Center) Building, Patterson Hall, Boyd Hall, Jewell Hall, Kinkead Hall, Bradley Hall, Breckinridge Hall, and the small eating establishments presently known and identified as the Wildcat Grill situated upon property owned by the University at the northwest corner of Euclid and Lexington Avenues and 'K-Lair' situated upon property owned by the University east of Rose Street, adjacent to Haggin Hall (provided, however, that with respect to the Wildcat Grill and K-Lair the Board reserves the right to move, replace or abandon either or both thereof if it shall be determined by the Board that the site or sites are required for the erection of other buildings for educational purposes,

or that by reason of the provision of other dining facilities which are parts of the System, the operation of either or both thereof has become unnecessary or uneconomical); (b) the proposed new 'First Housing Complex Project,' the construction and financing of which is about to be undertaken, as hereinafter provided; and (c) as said System may be added to in the future by including therein any other housing, dining, and student center facilities, or related auxiliary enterprises, all with necessary appurtenant facilities, in accordance with the provisions of this Indenture; but expressly excluding therefrom (i) any such buildings and facilities as the Board has erected or may erect in connection with any Community College; and (ii) any such buildings as the Board may have erected and leased, or may hereafter erect and lease, to fraternities, sororities, and similar student groups, except as hereinafter provided in connection with future inclusion of some or all thereof under certain circumstances."

Section 2. Consistent with the amendment set forth in the foregoing Section 1 hereof, Section 901 of ARTICLE IX of the Indenture is hereby amended to read as follows:

"Section 901. Effective as of the Closing Date, and upon consummation at that time of the arrangements set forth in ARTICLE V of this Indenture wherein provision is made for the full and complete discharge by the Board of all of its contractual obligations and commitments to the owners and holders of its Previously Issued Bonds, the Board hereby establishes its 'Housing and Dining System,' comprising and including all of the educational buildings for housing, dining and student union or student center purposes, appurtenant facilities and auxiliary enterprises (other than such buildings as were constructed for, and are under lease to, fraternities and sororities) presently situated at the University's Main Campus at Lexington, Kentucky, specifically including (but not by way of limiting the generality of the foregoing), the aforesaid housing, dining, and student union or student center buildings, appurtenant facilities, and related auxiliary enterprises identified as Bowman Hall, Donovan Hall, Keeneland Hall, Cooperstown, Holmes Hall, Shawneetown, Haggin Hall, Blazer Hall, the Student Union (or Student Center) Building, Patterson Hall, Boyd Hall, Jewell Hall, Kinkead Hall, Bradley Hall, Breckinridge Hall, and the small eating establishments presently known and identified as the Wildcat Grill situated upon property owned by the University at the northwest corner of Euclid and Lexington Avenues and 'K-Lair' situated upon property owned by the University east of Rose Street, adjacent to Haggin Hall (provided, however, that with respect to the Wildcat Grill and K-Lair the Board reserves the right to move, replace or abandon either or both thereof if it shall be determined by the Board that the site or sites are required for the erection of other buildings for educational purposes, or that by reason of the provision of other dining facilities which are parts of the System, the operation

of either or both thereof has become unnecessary or uneconomical), together with the First Housing Complex Project (for which the Series H and Series I Bonds are each in part to be issued); and additionally comprising such other new, additional, reconstructed or enlarged educational buildings, appurtenant facilities, and auxiliary enterprises for housing, dining and student union or student center purposes (and any of such buildings constructed for, and under lease to, fraternities, or sororities, or other similar student groups, under the circumstances referred to in Section 1412 hereof), as may hereafter be added thereto and made a part thereof according to the provisions of this Indenture.

Section 3. Paragraphs (4) and (5) of Section 904 of ARTICLE IX of the Indenture are hereby amended to read as follows:

- "(4) Thereafter the Board will transfer from the Revenues (whether from the balances recovered from Previous Revenue Funds, or from the Board's other resources, if necessary), and deposit with the Trustee in the System Sinking Fund a sum which, together with such sums as may be transferred from the Previous Sinking Funds, shall be equal to the maximum amount which will become due in any Fiscal Year thereafter for the principal of and interest on all then outstanding Bonds of Series A and Series I, inclusive, as computed when the Series H and Series I Bonds have been publicly sold and the interest rate or rates applicable thereto have been determined by the Board and certified to the Trustee.
- "(5) Commencing with the May 1 or November 1 next following the Closing Date, the Board shall transfer from the System Revenue Fund, as the first charge thereon, and deposit to the credit of the System Sinking Fund created in and by this Indenture on or before each succeeding May 1 and November 1, the following amounts:
- (a) An amount which, together with such sums as may then be available in said System Sinking Fund, shall be equal to the next succeeding interest payment to become due on all then outstanding Bonds (including capitalized interest provided for that purpose, but excluding capitalized interest provided from Bond proceeds for the payment of interest thereafter maturing);
- (b) On or before May 1, 1966, an amount which, together with such sums as may then be available for such purpose in the System Sinking Fund (whether by reason of transfers from Previous Sinking Funds, or otherwise), shall be equal to the principal payments of the Bonds, becoming due on June 1, 1966;

- (c) On each subsequent May 1 and November 1 (commencing November 1, 1966), an amount which shall be equal to one-half (1/2) of the principal payment to become due during the next succeeding twelve (12) months on all then outstanding Bonds; and
- (d) Provided, however, that the combined amounts of the semi-annual transfers, beginning on the May 1 or November 1, as the case may be, next following the issuance of any additional Series of parity Bonds which may be issued according to the conditions and restrictions hereinafter provided in that connection, shall be increased in each year to equal twenty-five per cent (25%) of the average annual debt service requirements for principal thereof and interest thereon, and shall be continued (in that amount, or so much thereof as may be necessary), so long as the funds and/or investments in the System Debt Service Reserve shall be less than an amount sufficient to pay the maximum amount which will become due in any Fiscal Year thereafter for the principal of and interest on all then outstanding Bonds."

Section 4. Section 408 of ARTICLE IV of the Indenture is hereby amended to read as follows:

"Section 408. In addition to performing its duties as Trustee hereunder, the Trustee shall also serve as one of the Paying Agents; and, at the option of the holder, the principal of each Bond and the interest thereon shall be payable at the office of the Trustee, or of its successor or successors in trust under this Indenture. Additionally, each Bond of Series A to Series I, inclusive, and the interest thereon, shall be payable, at the option of the holder, at the principal office of either Chemical Bank New York Trust Company, in the Borough of Manhattan, City of New York, New York, or The Northern Trust Company, in the City of Chicago, Illinois. In the cases of any future Series of Bonds authorized by the Board and issued hereunder, the Board reserves the right to designate the same Alternate Paying Agents, or one or more other Alternate Paying Agents, whether in said Cities or elsewhere; and in the case of each such Series the Board shall certify the name and address (or names and addresses, as the case may be) of such designated Alternate Paying Agent or Alternate Paying Agents to the Trustee prior to the issuance thereof.

"In the case of Bonds in Fully Registered Form which are stated to be payable to the United States of America, Secretary of Housing and Urban Development, principal and interest shall be payable at such place or places as may be designated from time to time by said Secretary of Housing and Urban Development, as set forth in the 'Form of Fully Registered Bond,' hereafter appearing in this Indenture."

Section 5. Consistent with the amendment set forth in the foregoing Section 4 hereof, those officers or persons who may be charged with the responsibility of causing the Bonds of Series A to I, inclusive, to be prepared by a qualified printing firm, shall make proper and consistent alterations or adjustments relative to the places of payment of principal and interest as set forth in (a) the "Form of Coupon Bond" and the "Form of Coupon" appearing in Section 1002 of ARTICLE X of the Indenture and (b) the "Form of Fully Registered Bond" appearing in Section 1003 of ARTICLE X of the Indenture.

Section 6. The Board having made a determination of the principal amount of the Series H Bonds referred to in and secured by the Indenture and having established the schedule of principal maturities thereof, certain blanks appearing in the Indenture shall be filled as follows:

- (1) The principal amount of the Series H Bonds, as left blank in paragraph (b) of Section 206 of ARTICLE II of the Indenture shall be filled by inserting "6, 900, 000";
- (2) The two corresponding blanks appearing in connection with the limitation of the principal amount of the Series H Bonds, as appearing in Section 405 of ARTICLE IV of the Indenture, shall be filled by inserting "Six Million Nine Hundred Thousand Dollars (\$6, 900, 000. 00)"; it being intended that the next following blank for insertion of the limitation of the amount of the Series I Bonds continue to remain blank until determined by the Executive Committee of the Board and certified to the Trustee.

Section 7. In Section 412 of ARTICLE IV of the Indenture, the blank space provided for the schedule of principal maturities of the Series H Bonds shall be filled as hereinafter set forth (notwithstanding the fact that the blank space provided for that purpose in the Indenture indicates principal maturities beginning in 1966 and ending in 2005, whereas the maturity schedule to be inserted provides for maturities in the years 1966 to 1990, inclusive):

"SERIES H BONDS (\$6,900,000)

<u>PRINCIPAL AMOUNT</u>	<u>YEAR OF MATURITY</u>	<u>PRINCIPAL AMOUNT</u>	<u>YEAR OF MATURITY</u>
\$150,000	1966	\$300,000	1979
300,000	1967	300,000	1980
300,000	1968	300,000	1981
300,000	1969	300,000	1982
300,000	1970	260,000	1983
300,000	1971	260,000	1984
280,000	1972	240,000	1985
280,000	1973	250,000	1986
280,000	1974	250,000	1987
290,000	1975	260,000	1988
290,000	1976	260,000	1989
290,000	1977	270,000	1990"
290,000	1978		

Section 8. The blanks with reference to the aggregate principal amount of the Series H Bonds appearing in the "Form of Coupon Bond" and in the "Form of Fully Registered Bond" in Sections 1002 and 1003, respectively, of ARTICLE X of the Indenture shall be filled by inserting "\$6,900,000"; it being intended that the similar blanks for insertion of the aggregate principal amount of the Series I Bonds continue to remain unfilled until determination of the amount of the Series I Bonds has been made by the Executive Committee of the Board and certified to the Trustee.

Section 9. It appearing that according to the provisions of Public Law 89-174 of the 89th Congress of the United States, H. R. 6927, approved by the President of the United States on September 9, 1965, and effective according to its terms on November 9, 1965, that what was formerly the Housing and Home Finance Agency of the United States is now superseded by the United States Department of Housing and Urban Development; and that the office of Housing and Home Finance Administrator has been abolished and the functions, powers and duties formerly incident thereto are now vested in the Secretary of Housing and Urban Development, the first paragraph of the "Form of Fully Registered Bond" appearing in Section 1003 of ARTICLE X of the Indenture is hereby amended to provide that in the case of Bonds in Fully Registered Form which are issued to the Government of the United States, the Payee shall be "United States of America, Secretary of Housing and Urban Development," rather than "United States of America, Housing and Home Finance Administrator."

Section 10. Except as expressly herein amended, the text and import of the Indenture are hereby in all respects ratified and confirmed and shall continue in full force and effect.

IN TESTIMONY WHEREOF, the BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY has caused its corporate name to be hereunto subscribed by its Chairman, or its Vice Chairman, and its corporate seal to be hereto affixed, and said signature and seal to be attested by its Secretary, and said FARMERS BANK & CAPITAL TRUST COMPANY, Frankfort, Kentucky, to evidence its acceptance of the amendments and completions of the Indenture, has caused its corporate name to be hereunto subscribed by its President or by one of its Vice Presidents, or by its Trust Officer, and its corporate seal to be hereto affixed and said signature and seal to be attested by its Secretary, Cashier, or Trust Officer, or a duly authorized Assistant, all as of the day and year first above written.

BOARD OF TRUSTEES OF UNIVERSITY OF KENTUCKY
Lexington, Kentucky

(SEAL)

By _____
(Signature)

ATTEST:

(Title of Officer)

Secretary

FARMERS BANK & CAPITAL TRUST COMPANY
Frankfort, Kentucky

(SEAL)

By _____
(Signature)

ATTEST:

(Title of Officer)

(Signature)

(Title of Officer)

STATE OF KENTUCKY)
 : SS
COUNTY OF)

On this _____ day of _____, 1965, before me, the under-
signed, a Notary Public in and for said County, in the State aforesaid, appeared
_____ and J.A. Sutherland, to me
personally known and to me known to be the _____ and
Secretary, respectively, of the BOARD OF TRUSTEES OF UNIVERSITY OF
KENTUCKY, one of the corporations described in and which executed the within
and foregoing instrument and who being by me severally duly sworn, did say that
he, the said _____, is the _____
of said Board of Trustees, and he, the said J.A. Sutherland, is the Secretary of
said Board of Trustees; that the seal affixed to the within and foregoing instru-
ment is the corporate seal of said Corporation; that said instrument was executed,
signed and sealed in behalf of said Corporation by authority of its Board of
Trustees; and said _____ and J.A. Sutherland, each ac-
knowledged the execution of said instrument to be, and said instrument to be, the
free and voluntary act and deed of said Corporation by it voluntarily executed
through them as its duly authorized officers.

WITNESS my hand and Notarial seal, this _____ day of _____,
1965. My Commission expires _____.

(SEAL)

Notary Public in and for the County
and State aforesaid

STATE OF KENTUCKY)
 :SS
 COUNTY OF FRANKLIN)

On this _____ day of _____, 1965, before me, the undersigned, a Notary Public in and for said County, in the State aforesaid, appeared _____ and _____, to me personally known and to me known to be the _____ and _____, respectively, of FARMERS BANK & CAPITAL TRUST COMPANY, Frankfort, Kentucky, one of the corporations described in and which executed the within and foregoing instrument, and who being by me severally duly sworn each for himself did say that he, the said _____ is the _____ of said Corporation, and he, the said _____, is the _____ of said Corporation; that the seal affixed to the within and foregoing instrument is the corporate seal of said Corporation; that said instrument was executed, signed and sealed in behalf of said Corporation by authority of its Board of Directors; and said named officers each acknowledged the execution of said instrument to be, and the said instrument to be, the free and voluntary act and deed of said Corporation by it voluntarily executed through them as its duly authorized officers.

WITNESS my hand and Notarial seal, this _____ day of _____, 1965. My Commission expires _____.

(SEAL)

 Notary Public in and for the County
 and State aforesaid

Section 2. It is noted that even after said "Supplemental Trust Indenture" shall have been executed by the parties and thereby become effective, the text and content of the previously executed Trust Indenture, as supplemented, amended and completed in certain respects according to said "Supplemental Trust Indenture" will still remain incomplete with respect to the aggregate principal amount of the "University of Kentucky Housing and Dining System Revenue Bonds, Series I" therein intended to be authorized and secured, and with respect to the schedule of principal maturities of the said Series I Bonds; and this Board hereby reaffirms its previous delegation of authority to its statutory Executive Committee to make a determination of said details on behalf of this Board whenever sufficient additional contracts relating to the construction of the Board's "First Housing Complex Project" shall have been received and considered by the office of the Commissioner of Finance of the Commonwealth and by said Executive Committee as to satisfy said Executive Committee, acting in its discretion and with the concurrence of the Commissioner of Finance of the Commonwealth, that the aggregate costs of said "First Housing Complex Project" have become ascertainable with reasonable certainty.

Section 3. The Board hereby reaffirms its previous delegation of authority to said Executive Committee to take action in (a) selecting a day and hour for the offering at public sale of the aforesaid "University of Kentucky Housing System Revenue Bonds, Series H and Series I"; (b) approving and authorizing the use of such notices, bid forms, and "Official Information" as may be tendered and recommended by the Financial Advisors with the concurrence of the Business Staff of the University and Bond Counsel (and, in the case of any "Notice of Sale of Bonds," with concurrence of HHFA as to the terms and conditions therein stated), (c) authorizing the Financial Advisors to publish the "Notice of Sale of Bonds" in the manner authorized and provided by law and in accordance with the requirements of HHFA, and (d) authorizing the Financial Advisors to disseminate the bid forms and copies of the "Official Information" to the end that all interested parties will be adequately apprised of the public offering and of all pertinent information relating thereto. Said Executive Committee is further authorized to convene in session at the day and hour so selected for the public offering of said Bonds, and upon such occasion to receive, consider and take action thereon, either by rejecting all bids for any reason which the Executive Committee may in its discretion determine to be appropriate, or by accepting such purchase bid or bids as may appear to the Executive Committee to be in accordance with the terms and conditions of the offering and in the best interests of the Board and of the University. Any and all actions taken in such respects by the Executive Committee shall be final and binding and shall not be subject to change by action of the full Board of Trustees; but the Executive Committee shall nevertheless in due course of time report all of its actions in such respects to the Board of Trustees in the customary manner and as a matter of record.

Section 4. This Resolution shall be in full force and effect from and after its adoption.

(End of Resolution)

* * * * *

The motion for the adoption of said Resolution was seconded by Board Member Floyd H. Wright. After full discussion the Chairman put the question and upon call of the roll the vote thereon was recorded as follows:

Voting "Aye": Dr. Ralph Angelucci, Judge J.A. Sutherland, Mr. Smith Broadbent,
Dr. R. W. Bushart, Dr. Harry Denham, Mr. Robert Hillenmeyer,
Dr. H. B. Murray, Mr. Clifford E. Smith, and Mr. Floyd Wright.
Governor Breathitt indicated that if he had a vote, he would vote "aye".

Voting "Nay": None.

The Chairman thereupon announced that said Resolution had been duly adopted and that the same was in full force and effect.

T. Alvin E. Evans Law Library Named

Dr. Oswald indicated his concurrence in a recommendation presented to him by the Law College faculty that the new Law Library be named after one of its former and distinguished deans, Alvin E. Evans. He indicated his pleasure in passing this recommendation on to the Board of Trustees for its approval. Mr. Smith made a motion that the new building be named as the Law Building and the library as the Alvin E. Evans Law Library. His motion was duly seconded and carried and Governor Breathitt, as a former student of Dean Evans, expressed his appreciation of the honor being paid to Dean Evans.

U. Progress Report on Association of Governing Boards Meeting

Dr. Angelucci reported that the Board of Trustees had been well represented at the meeting of the Association of Governing Boards held in Indiana in mid-October and indicated that they had received an award of a silver tray from the Association of Governing Boards for the most members in attendance at the meeting. Upon his suggestion, the Board of Trustees presented the silver tray to President Oswald for use at Maxwell Place. Dr. Angelucci said he felt the meeting had been most worthwhile and would be particularly helpful to the Board as plans are made for the Association of Governing Board's Meeting which the University of Kentucky will host next May.

V. Special Meeting of Executive Committee of the Board of Trustees Announced

Dr. Oswald called attention to the fact that it would be necessary to call a

special meeting of the Executive Committee of the Board of Trustees in connection with the sizing of the Housing and Dining System Revenue Bonds, the completion of the trust indenture and the authorization for the sale of the bonds. The date of the meeting will be set and the members notified in advance.

W. Mr. Hillenmeyer Named to Council on Public Higher Education

Governor Breathitt indicated that the resignation of Mr. Gilbert W. Kingsbury from the Board of Trustees would leave a vacancy in the University's representation on the Council on Public Higher Education. He appointed Mr. Robert Hillenmeyer to serve for the remainder of Mr. Kingsbury's term on the Council and President Oswald was requested to notify Mr. Ted Gilbert, the Council's secretary, of Mr. Hillenmeyer's appointment to replace Mr. Kingsbury.

X. Mr. O. L. McElroy Named to Board of Trustees

To complete the term of Mr. Gilbert W. Kingsbury, whose resignation from the Board of Trustees was effective November 18, 1965, Governor Breathitt named Mr. O. L. McElroy of Eminence, Kentucky.

Y. Expression of Support of University's Athletic Programs

The Board of Directors of the University of Kentucky Athletics Association has gone on record as having full confidence in Coach Bradshaw and his football coaching staff. Mr. Floyd Wright suggested that the Board of Trustees of the University should endorse this vote of confidence of the Board of Directors of the Athletics Association and express appreciation to the players, particularly the graduating seniors, who have represented the University and the State in the finest traditions. Governor Breathitt and the other members of the Board agreed that such an expression of confidence from the Board of Trustees was desirable and on motion, duly seconded and carried, the Board of Trustees went on record as endorsing the expression of the Board of Directors of the University of Kentucky Athletics Association in support of Coach Bradshaw, the coaching staff and the University of Kentucky football team.

Z. Meeting Adjourned

The Chairman, having first determined that there was no further business to come before the meeting, called for a motion for adjournment. Upon motion and vote, the meeting adjourned at 3:55 p. m.

Respectfully submitted,

J. A. Sutherland, Secretary

PR 2, PR 3, PR 7, PR 8, PR 9, PR 10, PR 11, PR 12, PR 13, PR 14, and PR 15 which follow are official parts of the Minutes of the Meeting of the Board of Trustees on Friday, November 19, 1965.

PR 1

PRESIDENT'S REPORT TO THE TRUSTEES

November 19, 1965

1. SEN. DIRKSEN TO SPEAK AT BARKLEY CONVOCATION

One of America's most distinguished statesmen and orators --Sen. Everett Dirksen of Illinois -- has accepted an invitation to participate in a University Centennial program honoring the late Alben W. Barkley.

He will visit the campus on Nov. 23 to present an address at a special convocation planned in memory of former Vice President Barkley and to deliver the eulogy when a statue of the Kentuckian is unveiled. The convocation, open to students, faculty, staff and the public, will be held at 3:00 p.m. in Memorial Coliseum. The statue, a replica of one housed in the rotunda of the Capitol at Frankfort, will have a permanent resting place in the Margaret I. King Library. A review of the "Barkley Collection" in the library also is planned as a major part of the day's observance.

Although they sat on opposite sides of the aisle in the Senate chamber, Barkley and Dirksen were close friends. They served in the Senate during 1954-56, the last two years of Barkley's life. Dirksen entered the Senate in the middle of Barkley's term as vice-president (1949-52), but the friendship dates back to the early 1930's when Dirksen went to Washington to represent Illinois' 16th District in the Congress. Barkley had been a senator since 1927, and had been in the Congress since 1913.

Co-chairmen of the special committee planning the formal dedication are former Gov. Bert Combs and Louisville publisher Barry Bingham.

2. 'FIRESIDE WEEK' TERMED 'MOST SUCCESSFUL'

"Faculty-Student Fireside Week," held Nov. 7-12, was generally acclaimed as one of the most successful events of the Centennial Year. Over 300 students and 100 faculty and administrative staffers participated in the observance. The major part of the program centered on coffee hours held evenings in the homes of faculty and staff members. Students, in groups from four to 10, were assigned to host homes by the Student Centennial Committee, sponsor of the event.

A typical student comment concerning "Fireside Week" -- "I feel this is one of the most worthwhile programs the Centennial has planned. I feel that it should be spread out over the entire year instead of concentrating it in a one-week period."

From participating faculty member: "We had a most delightful evening. Our only objection was that it did not last long enough. We want to have the same group of students back for dinner in the near future."

3. HELISTOP BUILT NEAR MEDICAL CENTER

A helistop, or landing pad for helicopters, has been built at a site east of the Medical Center and south of the Meats Laboratory. The new facility, designed by Campus Planner Lawrence Coleman, will be useful in many ways, including the transportation of seriously ill patients to University Hospital and the movement of University personnel to and from areas in which air travel is restricted to helicopters.

The helistop consists of a fenced area 100 feet square, within which is a 40 x 40-foot asphalt landing space. As an aid to its identification from the air, the landing surface is marked by a painted red cross measuring 30 feet across.

4. GRANT EARMARKED FOR TRAINING FARM YOUTHS

The University of Kentucky Research Foundation has received a \$42,755 grant from the U.S. Office of Education for establishing a demonstration center at Reidland High School, McCracken County, for training farm youths in non-farm agricultural occupations.

The project, to be directed by Dr. Harold Binkley, chairman of the Division of Vocational Education in the College of Education, will involve 12 to 20 12th-grade students. Participants will receive training to perform tasks in agricultural sales and service firms, ranging from stock handling to preparing and applying farm chemicals and fertilizers to crops. Experience will be gained through actual work in cooperating firms.

5. MEDICAL COLLEGE RECEIVES MERRILL GIFT

The College of Medicine is recipient of a \$25,000 gift from the Charles E. Merrill Trust. Although the gift is to be used by the college at the discretion of the Board of Trustees, there is no stipulation on how the money is to be utilized.

Twice each year, the Merrill Trust issues grants for the benefit of secondary and higher education, hospital, and religious and church support and welfare programs.

6. STUDENT CHEMICAL SOCIETY, SPONSOR HONORED

The University chapter of Student Affiliates of the American Chemical Society has been honored by the national organization for its "unusually fine program for the academic year, 1964-65." Fewer than 10 per cent of the Society's 440 collegiate chapters were so honored. In announcing the award of merit to the UK chapter, Dr. Ernest I. Becker of the University of Massachusetts, chairman of the Society's Committee on Chemical Education, was high in his praise of the UK faculty advisor, Dr. Ellis V. Brown, terming him a "dedicated, imaginative and resourceful counselor."

7. PLANT PEST PROBLEMS DELIBERATED

Approximately 70 fruit-insect entomologists, plant pathologists and horticulturists from several Midwest and North Central states gathered at Carnahan House for two days in early November. They concerned themselves with such topics as toxicology of fruit pesticides, sterilization techniques for fruit insect control, systemic insecticides in trees, tree fruit herbicides, insect-bacterial associations and others.

Speaker for a banquet, held at Spindletop Hall, was H. B. Tikey, Sr., East Lansing, Mich., president of the International Society for Horticultural Science.

8. RURAL ELECTRIC CO-OPS SALUTE UNIVERSITY

The membership of the State Association of Rural Electric Cooperatives, at their recent annual meeting in Louisville, adopted a resolution congratulating the University on its Centennial observance, expressing the Association's appreciation for the University's contribution to the general welfare of the state, and pledging continued support of the University's programs.

9. HOMEcomings ATTRACTS THOUSANDS OF ALUMNI

Thousands of University alumni returned to the campus Oct. 29-30 for one of the most elaborate Homecoming programs in the history of this institution.

Well planned around the observance of the Centennial Year, the two days of festivities were launched with a colorful parade featuring floats fashioned by various organizations.

An alumni brunch preceded the Saturday afternoon football game. Festivities continued late Saturday afternoon with a reception at the Alumni House. A dance held in Memorial Coliseum was the concluding event of the Homecoming celebration.

A number of fraternities, sororities and other student organizations held open house for alumni and visitors.

10. EDUCATORS HOLD 42ND ANNUAL CONFERENCE

"A Re-Examination of Excellence in Education" was the theme for the University's 42nd annual Educational Conference held Oct. 29-30. Principal speakers were Dr. Ernest Melby, Michigan State University, and Dr. James Logsdon, superintendent of Thornton Township schools, Harvey, Ill.

Held in conjunction with the conference was the 31st annual meeting of the Kentucky Association of Colleges, Secondary and Elementary Schools. Affiliated educational organizations also took the opportunity to hold business meetings and to elect officers.

11. LAW BUILDING DEDICATION SET DEC. 4

Earl Warren, chief justice of the United States, will deliver the principal address when the new Law Building is formally dedicated on Dec. 4.

Other participants will include representatives from leading law schools, Kentucky State and American Bar Associations and the judiciary. A cornerstone laying ceremony will take place after Justice Warren's address at 2:15 p.m.

The day's program will open with a law symposium for which Prof. Yale Kamisar of the University of Michigan has been engaged as speaker. A luncheon address will be given by Jefferson B. Fordham, dean of the University of Pennsylvania School of Law.

12. CONVOCATION PROGRAMS COMPLETED

During this year the University's nine community colleges were sites of Centennial convocation-conferences, with each program designed to impress on the faculty and students of each college that their institution is an important asset to a century-old seat of learning and to Kentucky's educational development.

The four convocations held in September and October completed the series. The recent events took place at Elizabethtown, Sept. 17; Southeast at Cumberland, Oct. 5; Prestonsburg, Oct. 14, and Ft. Knox, Oct. 18.

Guest speakers were as follows: Elizabethtown - Dr. Shailer L. Bass, Midland, Mich., president of Dow Corning Corp.; Southeast at Cumberland - Don Whitehead, Pulitzer Prize winner; Prestonsburg - Dr. Thomas Marshall Hahn, president of Virginia Polytechnic Institute, and former Gov. Bert T. Combs; Ft. Knox - Kentucky Senator Thruston B. Morton.

The University president also participated in each event as did a large number of main campus administrative officials and faculty members, and community college staffers and community leaders.

13. MEETING CONSIDERS FOREIGN STUDENTS

Methods of getting international students integrated into the entire program of a university or college were discussed at an Oct. 8-9 conference of Region 6, National Association for Foreign Student Affairs.

Registrants totaled more than 200 and heard principal addresses by the NAFSA president, Hugh Jenkins; John Gaines, U.S. Immigration Service, Cincinnati, and Dr. David T. Lewis, professor of sociology at Miami University of Ohio.

It was pointed out that "a deliberate effort must be made to draw newly arrived foreign students into campus activities and lift them out of their isolation."

14. COLLEGE ART ASSEMBLY OCTOBER EVENT

The Department of Art was host Oct. 16-18 for the Midwest College Art Conference which in size and importance is second only to the annual national meeting of the College Art Association. Delegates, numbering over 200, were from most colleges and universities in the area between the Appalachians and the Rocky Mountains. Sessions covered a variety of subjects, including art and architectural history, art media and art criticism.

15. WOMEN'S CONFERENCE DRAWS 450

A Centennial conference based on the theme, "Women: Equal But Different," was attended by approximately 450 women from throughout Kentucky and some surrounding states. The three-day meeting was the first of its kind ever held at UK.

Present as consultants and speakers for the event, held Oct. 24-26, were a number of distinguished professional people who are known for their studies and research concerning womanhood. Serving as keynote speaker for the dinner which opened the conference was Dr. S. Leon Israel, Philadelphia physician, educator and author and professor of obstetrics and gynecology at the University of Pennsylvania.

Of particular significance was a panel discussion participated in by 11 Kentucky women whose lives exemplify adoption to women's multi-role existence in today's ever changing society.

16. PROMINENT SPEAKERS ADDRESS FORUM

The Law Forum series sponsored by the Student Bar Association has brought to the campus this semester a number of well-known Kentucky attorneys and jurists.

On Oct. 28, the SBA co-sponsored with Sigma Delta Chi, honorary journalism fraternity, a forum on pre-trial news-reporting. A forum on legal medicine is being scheduled for sometime in the spring.

17. HUMANITICISTS CONVENE ON CAMPUS

One of the major Centennial conferences held this year was that which emphasized the part humanities play in a world geared to technology. Three internationally-known professors, Monroe C. Beardsley, Swarthmore College; Northrop Frye, University of Toronto, and Frank Kermode, University of Manchester, England, were on hand to serve as speakers and panel discussants as was Louisville publisher, Barry Bingham, a man with broad humanistic interests.

Title for the program, held the week-end of Oct. 22, was "The Humanities and the Quest for Truth." Dr. William S. Ward, professor of English, served as conference chairman.

18. CEREMONIAL TREE IS PLANTED

A red oak tree was planted in front of Memorial Hall Oct. 9 in commemoration of the University's Centennial Year. With the ceremony arranged by the Blue Grass Centennial Committee, it was announced that this would be the first of 100 trees to be planted on the campus this year through planning of the committee.

Accepting the tree for the University from the Lexington Council of Federated Garden Clubs was Executive Vice President A.D. Albright.

19. CLINICAL RESEARCH CENTER OPENED

The Medical Center opened a general clinical research center in mid-October, work having commenced on the \$179,800 project last spring.

Located on the fifth floor of the ambulant wing of the University Hospital, the center is the first such facility in Kentucky and one of 82 in the nation. Studies related to a variety of diseases will be executed in the center which contains rooms to accommodate 10 patients, a diet kitchen, laboratories and administrative offices. Support for establishing the facility came through a U. S. Public Service grant.

Planned are studies ranging from the effects of heart activity of normal people to studies of physiology in severe trauma cases. Physicians and researchers from all departments of the Medical Center will conduct research there. None of the investigation, however, will be a part of the student curriculum.

Dr. Edmund D. Pellegrino, chairman of the Department of Medicine, is principal investigator. Dr. William W. Winternitz, professor of medicine, is program director and Dr. E. Douglas Rees, assistant professor of medicine, is co-director.

20. 'HEAD START' PROJECTS DISCUSSED

School superintendents or their representatives from 45 Central and Eastern Kentucky counties met on campus early in October to discuss plans for "Operation Head Start" projects for the next 12 months.

According to C. R. Hager, associate dean of UK Extension, approximately 24,500 of 71,000 first graders in Kentucky attended "Head Start" program sessions during the past summer. The sessions were held in 90 of the state's 120 counties.

21. CONFERENCE DEALS WITH AGING

A joint Governor's-UK Centennial Conference on Aging was attended on Oct. 6 by about 450 persons, many of them engaged in projects pertaining to matters and problems concerning the elderly and retired.

Seven widely-known authorities on gerontology and related fields served as consultants for the event which was divided into sections and panel sessions. Stressed was the importance of obtaining community-wide support for programs aimed at bettering the economic and social welfare of the aged.

Thomas Ray, chairman of the State Commission on Aging, represented Gov. Edward T. Breathitt at the conference and served as one of the luncheon speakers.

22. 20 SCHOOLS IN DEBATE TOURNAMENT

Teams representing 20 colleges and universities from throughout the nation participated in the eighth annual Kentucky Thoroughbred Debate Tournament held on campus Oct. 14-16. The event was sponsored by Delta Sigma Rho-Tau Kappa Alpha, national speech honorary. Debated was the resolution: "That law enforcement agencies in the United States should be given greater freedom in the investigation and prosecution of crime."

23. MICROBIOLOGISTS HOLD MEETING

More than 200 members of the Kentucky-Tennessee and Indiana sections of the American Society for Microbiology held their annual meeting at the Medical Center Oct. 22-23.

Fifty technical papers, covering various facets of microbiology, were presented. The closing technical session was held in honor of Dr. Morris Scherago, chairman of the UK Department of Microbiology, who will retire next year. He joined the UK faculty in 1919 and has served as departmental chairman since 1923.

24. SULLIVAN MEDALLION HOLDERS FETED

A reunion and honors banquet was held Oct. 2 for persons who have received Sullivan Medallions. These awards, given by the New York Southern Society in memory of Algernon Sydney Sullivan, are presented at UK annually to an outstanding man and woman in the graduating class and to an outstanding Kentucky citizen.

Approximately 80 persons attended the dinner which was preceded by a reception.

25. FOUNDATION REPORTS ON RESEARCH GRANTS

During the month of September, the University of Kentucky Research Foundation received for administration a total of nine contracts and grants amounting to \$157,695, according to Dr. Raymond C. Bard, executive director and secretary.

By departments, they are:

Chemistry - Dr. J. M. Patterson, \$6,398, for research on synthesis of some substitutes piperidines, National Institutes of Health; Dr. E. V. Brown, \$8,340, study of azo compounds producing hepatomas in mice, NIH.

Microbiology - Dr. Ralph F. Wiseman, \$17,195, intestinal bacteria - uric acid interrelationships, NIH; Dr. Jack N. Baldwin, \$20,692, staphylococcus aureus by transduction, NIH; Dr. Ralph H. Weaver, \$9,616, amino acid tests for identification of clostridia, NIH.

Electrical Engineering - Dr. Donald A. Calahan, \$46,741, studies in the generation of equivalent networks, Air Force Office of Scientific Research.

Civil Engineering - Dr. Bobby Ott Hardin, \$31,900, frozen soils subjected to freeze thaw, National Science Foundation.

Physiology - Dr. Loren D. Carlson, \$13,813, calorimetric studies of transition states, NIH.

Medicine - Dr. N. J. Pisacano, \$3,000, for meeting of the Board of Family Practices, United Medical Laboratories, Inc.

The Foundation's October report, giving information on nine contracts and grants totaling \$115,419:

Animal Science - Dr. Neil W. Bradley, \$3,000, research with grazing steers, Eli Lilly and Co.

Chemistry - Dr. J. W. Wilson, \$2,000, fundamental research, American Chemical Society.

College of Education - Dr. A. S. Levy, \$30,000, traineeships, U.S. Office of Education; Dr. Paul Street, \$1,835, study of Lexington School District, grant from the school district.

Mining and Metallurgical Engineering - Dr. R. S. Mateer, \$21,096, phase equilibrium and setting in dental amalgams, NIH.

(FOUNDATION REPORT CONTINUED)

Engineering Mechanics - Dr. R. M. Drake, Jr., \$13,900, heat transfer research, NSF; Dr. D. C. Leigh, \$14,400, continuum mechanics research, NSF; Dr. Oscar W. Dillon, \$24,188, investigation of problems and materials in which the coupling between thermal and displacement fields is important, Air Force Office of Scientific Research.

College of Dentistry - Dr. E. R. Costich, \$2,000, boplant oral surgery, Squibb Institute for Medical Research.

College of Medicine - Dr. N. J. Pisacano, \$3,000, meeting of Board of Family Practices, United Medical Laboratories, Inc.

26. COMMERCE BUILDING IS DEDICATED

A convocation, luncheon and cornerstone laying ceremonies composed an appropriate program Sept. 30 for the formal dedication of one of the University's newest facilities, the Commerce Building.

Gov. Edward T. Breathitt delivered the convocation address and Dr. Charles F. Haywood, commerce college dean, served as luncheon speaker. The University president presided at the cornerstone ceremonies, with the governor, dean and Dr. Cecil C. Carpenter, former dean of the college, participating.

A box sealed in the cornerstone contains a number of items relevant to the current era. Among them are copies of several newspapers, University publications pertaining to the commerce college and a dedication program.

The building, costing nearly \$2 million, opened its doors to students in the fall of 1964.

27. AWARD GROUP HONORED AT BREAKFAST

The UK Alumni Association hosted 44 students at the Alumni Center early in the semester. All of the guests, now enrolled in the University, had received achievement awards presented by the association when they were seniors in high school. These awards have been given the past three years to high school seniors who have earned the highest academic standing in their graduation classes.

Richard Cooper, Somerset, association president, was principal speaker for the breakfast event. Also attending were association board members and a number of UK officials.

28. REFLECTIVE SURFACES REPEL SOME INSECTS

Drs. J. G. Rodriguez, entomologist, Dean Knavel, horticulturist, and Jan Abernathie, floriculturist, teamed up this year to launch a novel experiment. They wanted to find out if reflective surfaces would keep some types of insects off growing plants.

So far, according to the investigators, it has been determined that certain aphids are repelled by light reflections.

Several types of aluminum foil were employed as reflecting devices in test plots of broccoli, cabbage and lettuce, and salvia, snapdragons and chrysanthemums. Female aphids, flying about to choose a spot to deliver their young, seemed to be repelled by the reflections. The peach aphid stayed away in droves from the plots. Controlled on cabbage and flower plantings through use of reflectors was the cabbage aphid.

The work is part of the entomology department's continuing research in control of insects by means other than chemicals. This particular phase was initially supported by funds granted by the Anaconda Aluminum Co. of Louisville. The researchers have intimated that if funds are available next year, they will use larger plots and try to discover how much reflective surface is required to protect a given area of a crop.

29. YOUNG HOSPITAL WORKERS ATTEND INSTITUTE

Approximately 250 high school hospital volunteer workers were on campus in late September to attend the first Kentucky Educational Institute for Teen-Age Hospital Volunteers.

Sponsored by the Kentucky Hospital Association Council of Auxiliaries, the delegates spent a full day hearing lectures emphasizing the importance of better quality volunteer service and the opportunities leading to hospital related careers. The young visitors also were provided tours of the Medical Center and interviews with representatives of various professional associations connected with the hospital career field.

30. 'TOWN AND GOWN WEEK' OBSERVED

University administrative and faculty members made new acquaintances and deepened friendships with many people of the Lexington-Fayette County community through "Town and Gown Week," observed Sept. 25-Oct. 3. The week featured a series of dinners hosted both by UK personnel and by community friends of the University. The event was sponsored by the Blue Grass Centennial Committee and had as its chief planner, H. C. Adams.

31. WORLD HUNGER PROBLEM DISCUSSED

Conquering the hunger problem that plagues many parts of the world was the major topic discussed at the "People and Food" symposium, held Oct. 14-15 as part of the Centennial observation of the College of Agriculture and Home Economics.

Dr. G. A. Borgstrom, Michigan State University food scientist, declared that the current hunger crisis is far more serious than any famine of the past "for it may involve the total human race." Dr. Charles E. Kellogg, deputy administrator of USDA's Soil Conservation Service, was another of several speakers. He emphasized the importance of agriculture to the developing nations and the need for new and revitalized rural institutions in these countries to provide general education, research, technical and advisory services. He added that American land-grant universities such as UK have "a great opportunity to promote peace and abundance by helping develop strong institutions" in developing nations. Dr. D. Gale Johnson, social sciences dean at the University of Chicago, pointed out that improvements in diet must be coupled with other improvements to result in significant changes in length and quality of life.

32. DAIRY CATTLE JUDGES WIN IN CONTEST

A UK dairy cattle judging team has taken top honors for the fourth straight year at the Mid-South Fair's intercollegiate contest held at Memphis. The four-man team, coached by Dr. Oliver Deaton, placed first in overall judging and also was top group in the "reasons" category, a phase of the contest in which contestants explain decisions.

33. SWISS SCIENTIST OPENS LECTURE SERIES

Dr. Silvio Weidmann, professor of physiology at the University of Bern, opened this year's Distinguished Lecture Series at the Medical Center. Speaking Sept. 30, he based his remarks on his field of research: extensive investigation on the link between excitation and contraction of the heart and on the conduction of electrical impulses through this organ.

34. STATION PRESENTING NEW PROGRAMS

"The Contemporary Piano Sonata" and "Chicago Lectures" are two of several new programs being presented this fall by WBKY, student-operated radio station. "WBKY Presents" offers a variety of programs recorded on the campus or produced in the station's studios. Program guides for the fall schedule were made available last month.

35. SOUTHERN AAUP MEMBERS CONVENE HERE

"Academic Freedom in the South" was the theme of the Oct. 15-16 conference held on campus for Southern members of the American Association of University Professors.

Major speakers were Dr. James W. Silver, Notre Dame history professor who for many years was on the faculty of the University of Mississippi; Dr. W. R. Taylor, Department of History, University of Wisconsin, and Dr. William P. Fidler, general secretary of AAUP. Other out-of-state educators and several UK faculty members served on panel programs.

Dr. Silver, the keynote speaker, emphasized that "meaningful communication between the races on a basis of equality must somehow be encouraged and secured, for that alone can bring to an end political racism."

36. LAFFERTY, KASTLE HALLS IN USE AGAIN

Classes for speech, English and history students are now being held in Lafferty Hall, formerly occupied by the College of Law. English and speech faculty members previously having offices in the Fine Arts Building now have quarters in Lafferty along with several members of the faculty of the Department of History. The vacated offices in the Fine Arts Building are now occupied by music faculty members.

Kastle Hall, for half a century the home of the Department of Chemistry, has been renovated and now houses the departments of Political Science and Psychology.

37. UK PRESS PUBLISHES WELLER BOOK

After 13 years of living and working among Southern highlanders, the Rev. Jack E. Weller has written a book about them. The UK Press published the work, "Yesterday's People: Life in Contemporary Appalachia," on Oct. 31.

Mr. Weller's book, according to another Appalachian writer, Harry Caudill, "is likely to take its place as an analytical work comparable to the 'Mind of the South' by W. J. Cash."

38. UK-COMMUNITY SEMINARS CONTINUE

Three in a series of "Focus on Greater Lexington" seminars have been held on campus this fall, the most recent being on Oct. 27. These programs stress subjects of mutual interest to the University and the Lexington-Fayette County community. The series is a project of the UK Centennial Committee in cooperation with the Blue Grass Centennial Committee.

39. NURSING PROGRAM SUPPORTED BY GRANT

The College of Nursing has received a U.S. Public Health Service grant of \$17,360 to enable it to continue a special program for 40 nursing service supervisors and head nurses from Kentucky hospitals.

The project is aimed at assisting the participants to increase their knowledge and skill in planning, organizing, supervising and evaluating individualized nursing care. The first of a series of four conferences related to the program was held in late September.

40. PHARMACISTS MEET FOR WORKSHOP

The College of Pharmacy and the Kentucky Pharmaceutical Association co-sponsored in late September a pharmaceutical workshop which was attended by over 100 pharmacists. Meetings were held on campus and at the Phoenix Hotel during the two-day event.

Discussed were such problems as the Fair Trade Bill, prepaid prescriptions and the dispensing of drugs by vending machines.

41. NILES SPENCER PAINTINGS EXHIBITED

A collection of paintings and drawings by the late Niles Spencer, noted American artist, were on exhibit in the Fine Arts Gallery, Oct. 10-Nov. 6. The exhibition, one of the main art events of the Centennial year, was organized by Prof. Richard B. Freeman, chairman of the Department of Art. An illustrated monography by Professor Freeman is the first full documentation of Spencer and his work.

42. MEDICAL LIBRARIANS CONVENE HERE

More than 100 persons representing the Southern Regional Group of the Medical Library Association met at the Medical Center Oct. 7-9 to discuss and exchange ideas connected with their field.

Some of the topics introduced were a medical library network, drug information centers and drug literature. Dr. William R. Willard, vice president for the Medical Center, spoke at a dinner meeting at the Phoenix Hotel.

43. 'PEACE CORPS WEEK' OBSERVED

Nov. 1-6 was observed on campus as "Peace Corps Week." A team of Peace Corps representatives was present each day at the Student Center to talk with persons interested in working with this organization. The team also provided speakers for classes and organizations upon request.

44. FAMILY LIFE SUBJECT OF SEMINAR

The four-day Centennial Family Life Seminar held in early October brought to the campus two prominent consultants, Dr. Jessie Bernard, sociologist from Pennsylvania State University, and Dr. David Mace, president of the American Association of Marriage Counselors.

Both participated in nightly sessions together with several members of the University faculty. Sessions for students alone were held each day. Some of the topics discussed included environment of families of the future, future of marriage, parenthood and child-rearing and the moral obligations of men and women.

45. NORWEGIAN STUDENT ROTARY-SPONSORED

Halvor Johan Kolshus, now enrolled at the University, is one of 144 outstanding graduate students from 28 countries to be awarded Rotary Foundation Fellowships for study abroad. Sponsored by the Rotary Club of Mysen, Norway, Kolshus is studying social change in rural environments.

46. UK-PUBLISHED BOOKS LEAD TO AWARDS

Dr. Charles G. Talbert, associate professor of history, has received a national award of merit from the American Association for State and Local History for his book, "The University of Kentucky: The Maturing Years," published by the UK Press this year.

William H. Townsend, Lexington, was honored posthumously with a merit award from the same organization for his book, "100 Proof: Salt River Sketches and Memoirs of the Bluegrass," also published by the UK Press.

47. DENTAL COLLEGE EVENT WELL ATTENDED

The fourth annual "Career Day," held in mid-October at the College of Dentistry, set a record attendance. One hundred and seventy high school and college students interested in careers in dentistry, dental hygiene and dental assisting registered. Besides all sections of Kentucky, Ohio and West Virginia were represented.

Dental college staffers and pre-dental and pre-medical students served as informants on dental opportunities and college preparation needed.

48. BEHAVIORAL SCIENTIST DIRECTING PROJECT

Dr. Melvin J. Lerner, associate professor of behavioral science in the College of Medicine, has received a \$30,400 grant from the National Science Foundation to conduct a study entitled "Cognitive Processes in Interpersonal Attraction." The project concerns his chief research interest, changes in attitudes and emotions, primarily of medical students.

49. COMMERCE COLLEGE CO-SPONSORS INSTITUTE

The College of Commerce was co-sponsor with the Kentucky Society of Certified Public Accountants for the annual Kentucky Institute of Accounting, held in Lexington Oct. 8-9.

Nearly 150 persons, many of them business educators, registered for the event held at Holiday Inn.

50. YM-YWCA SPEAKERS BUREAU ORGANIZED

The campus based YM-YWCA has organized a speakers bureau with 17 members prepared to present programs on their experiences in various Y social action, study and travel projects during the past year.

The YM-YWCA's tutorial program is in its second year and is seeking more volunteers from throughout the Lexington community to help tutor elementary and secondary school students. Thirty-five UK students are engaged in the work.

51. INCOME TAX WORKSHOPS UNDERWAY

The Cooperative Extension Service agricultural economics department has scheduled its annual series of five income tax workshops for tax consultants who work on tax returns for farmers. The courses began Nov. 18 and will end Dec. 14. Several community colleges are sites for the workshops.

52. COURSES CONCERN GREENHOUSE OPERATION

A series of six one-day short courses on greenhouse operation, staffed by members of the horticulture department of the Cooperative Extension Service, began in early November and will be concluded Nov. 24. Sites for assembling greenhouse operators to inform them of new techniques and innovations range from Paducah to Morehead and London.

53. TOBACCO CHEMISTS HOLD MEETING

Two hundred tobacco chemists from Kentucky and other states were on campus Oct. 28-29 for a conference related to the various research projects being carried on in their field. Besides technical sessions, the program for the visitors provided a tour of the Agricultural Science Center. C. E. Bortner, UK agronomist, served as general chairman.

54. SOCIOLOGY DEPARTMENT AIDING IN PROJECT

The Department of Sociology is now aiding the Kentucky Department of Corrections to develop a coordinated system of record keeping and research data collecting for the state's penal institutions.

The work is under the direction of Charles W. Dean, sociology instructor who also is a research analyst for the state corrections branch. One phase of the project is to assemble information on prison inmates. With this data, prison experience, including vocational training, work experience, conduct reports and participation in rehabilitation programs, then can be related to parole behavior.

Dean also is engaged in evaluating a new program supported by a grant from U.S. Office of Economic Opportunity. The project consists of an elementary education unit and five vocational training shops located at the state reformatory at LaGrange. It is designed to prepare prisoners for jobs and a place in society when they are freed.

55. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. Marion Pearsall, professor of behavioral science, has been elected to a five-year term as editor of Human Organization, official journal of the Society for Applied Anthropology. At the beginning of her term in 1966, the publication's editorial and business offices will be moved to the University from Cornell. The journal will be printed by the UK Press.

Dr. Mark M. Luckens, assistant professor of materia medica in the College of Pharmacy, has been named to the Kentucky Agricultural Pesticide Advisory Board. He also has been elected secretary of the newly organized Pesticide and Toxicology Group, whose membership includes scientists from industry, universities and government agencies concerned with the use and abuse of pesticides. Dr. Luckens will edit the organization's quarterly publication. Working with him in this and other activities of the group are Dr. Richard A. Chapman, chairman of the Department of Plant Pathology, and Dr. William E. Wise, assistant extension professor of veterinary science.

Dr. S. Sidney Ulmer, chairman of the Department of Political Science, has been invited to serve as a consultant to Northwestern University officials concerning the now vacant Benjamin Franklin Chair of Political and Social Decision-Making.

The staff of the Agricultural Experiment Station provided two of the three Americans who were invited to participate in a recent international symposium on horticultural engineering at the Bedfordshire (England) Institute of Agricultural Engineering Research. They are Dr. Donald J. Cotter, horticulturist, and Dr. John N. Walker, agricultural engineer.

"La Revue Moderne," a magazine published in Paris, France, recently published a review and photographs of works by Prof. George Gunther of the School of Architecture. The Archives Section of the Smithsonian Institute has requested photographs of Professor Gunther's work, a portion of which was exhibited recently by the Springfield (Ill.) Art Association Galleries as a one-man show during the national meeting of the Museum Directors Association of the United States.

Dr. Kurt W. Deuschle, chairman of the Department of Community Medicine, has been named winner of the scientific achievement award presented annually by the Kentucky State Medical Association to a member of the University medical faculty. Dr. Deuschle and Mr. Herman Huempfer, also of the Department of Community Medicine, have been notified that the New York Tuberculosis and Health Association has approved continuation of the research grant supporting their investigation of experimental tuberculosis in germ-free mice. The grant is in the amount of \$5,550.

Mr. Charles Atcher, field representative for the University Library, has been reappointed Kentucky chairman of the national awards of merit committee of the American Association for State and Local History.

Dr. Charles F. Haywood, dean of the College of Commerce, has been named to the advisory council of the Banking and Financial Research Committee of the American Bankers Association. He also was appointed recently by Governor Breathitt to the Economic Development Commission.

Dr. Morris Scherago, chairman of the Department of Microbiology, has been elected vice-chairman of the Laboratory Section of the American Health Association.

Dr. Ernst Jokl, professor of physical education, has been appointed vice president of the International Committee on Underwater Medicine Research, and corresponding member of the International Committee for Standardization of Physical Fitness Tests.

Dr. Eugene Bradley, assistant professor of electrical engineering, has been named to the 1965-66 program committee of the Southeastern Section of the American Physical Society.

Dr. Michael T. Romano, professor of operative dentistry and coordinator of television for the Medical Center, has been invited to spend a week at the dental school of the University of Antioquia in Colombia, South America, where he is to serve as a consultant in operative dentistry and educational television.

Dr. M. M. White, professor of psychology, is the new president of the Central Kentucky Psychological Association.

Dr. Norman Franke, associate professor of pharmacy, has been named to the American Pharmaceutical Association's committee on historical pharmacy.

Dr. J. G. Rodriguez, professor of entomology, was an invited participant in the New York Academy of Sciences recent symposium on "Axenic Cultures and Defined Media."

Dr. W. D. Valleau, professor emeritus of plant pathology, and Dr. John W. Oswald recently were elected fellows of the American Phytopathological Society.

Works of Herbert Greene, associate professor of architecture, were exhibited in a recent one-man show at the Kovler Gallery in Chicago, and were included in exhibitions at the Museum of Modern Art, New York City, and the Krannert Museum of the University of Illinois. An Oklahoma City residence designed by Professor Greene was featured in a recent issue of the publication, Progressive Architecture.

Three members of the Cooperative Extension Service staff received Distinguished Service Awards at the recent annual meeting in Kansas City of the National Home Demonstration Agents Association. They are Mrs. Dorothy C. Camenisch, home demonstration agent in Lincoln County; Miss Irma Hamilton, Graves County home agent, and Mrs. Martha B. Jenks, home agent in Scott County.

Dr. Arthur C. Glasser, acting dean of the College of Pharmacy, has been appointed to the Governor's Committee on Health Emergency Resources Planning.

Dr. Frank A. Pattie, professor of psychology, has received from the American Society of Clinical Hypnosis a citation for his contributions to the field of hypnosis.

Dr. Rey M. Longyear, associate professor of music, has been appointed review editor of The Journal of Research in Music Education.

Mr. B. W. Fortenberry, agronomy specialist with the Agricultural Experiment Station, is the new president of the International Crop Improvement Association.

Mr. Omer Hamlin, Jr., director of the Medical Library, recently was elected president of the Southern Regional Group of the Medical Librarians Association, and has been named "outstanding special librarian" by the Kentucky Library Trustee Association.

Prof. James R. Richardson of the College of Law has been awarded a contract by the West Publishing Co. to revise a three-volume set of "Kentucky Practice Methods," which he authored in the original form and which has been supplemented biennially since 1957.

Dr. Lawrence S. Thompson, professor of classics, is the author of "Printing and Collections of Printing in Kentucky," which appears in the recently published "Ranganathan Festschrift."

The Kentucky Dental Association has awarded honorary membership to Dr. Raymond C. Bard, assistant vice president for research and executive director of the UK Research Foundation.

Office of the President
November 19, 1965

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Financial Report (See Attachment 1)

Recommendation: that the financial report covering the four month period ending October 31, 1965 be accepted and made a part of the record of the November 19, 1965 meeting of the Board of Trustees.

Background: The letter of transmittal from Mr. Robert F. Kerley is self-explanatory and indicates that the University is operating on a sound financial basis.

II. Report on Investment Changes

Recommendation: that the report submitted by Mr. Clay Maupin, Assistant Treasurer, relative to changes in investments be accepted as presented.

Background: On December 8, 1964, the Board of Trustees authorized the treasurer and/or Assistant Treasurer to purchase as well as sell and transfer stocks, bonds, and other securities and investments for and in the name of the University of Kentucky and to make quarterly reports on both sales and purchases. The following report is submitted in accordance with the aforesaid directive.

Changes in the University of Kentucky Athletic
Association Investment Portfolio

Changes in Investments

Maturing

Central Bank

Certificate of Deposit no. 915

Amount \$100,000

Due September 8, 1965

Term 120 days

Rate 4 1/2%

Interest \$1,500

Reinvestment

no. 915

\$100,000

January 8, 1966

120 days

4 1/2%

\$1,500

Citizens Union National Bank & Trust Co.

Certificate of Deposit no. 289

Amount \$50,000

Due October 16, 1965

Term 90 days

Rate 4 1/2%

Interest \$562.50

no. 326

\$50,000

April 16, 1966

6 months

4 1/2%

\$1,125

Changes in the University of Kentucky Athletic
Association Investment Portfolio

New Investments

Citizens Union National Bank & Trust Co.
Certificate of Deposit no. 327
Amount \$50,000
Due April 18, 1966
Term 6 months
Rate 4 1/2%
Interest \$1,125

Jim Walter Corporation
Amount \$100,000
Due May 9, 1966
Term 206 days
Rate 4 1/2%
Yield 4.68%
Discount \$2,575

Changes in the University of Kentucky Investment Portfolio

Changes in Investments

Maturing

Bank of Commerce
Certificate of Deposit no. 117
Amount \$100,000
Due August 28, 1965
Term 12 months
Rate 4%
Interest \$4,000

Bank of Commerce
Certificate of Deposit no. 132
Amount \$125,000
Due September 1, 1965
Term 7 months, 25 days
Rate 4%
Interest \$3,291.68

Reinvestment

no. 577
\$100,000
August 28, 1966
12 months
4 1/2%
\$4,500

no. 578
\$125,000
September 1, 1966
12 months
4 1/2%
\$5,625

Investments Liquidated

General Acceptance Corporation
Amount \$800,000
Due October 4, 1965
Term 98 days
Rate 4 1/4%
Yield 4.36%
Discount \$9,255.56

Investments Made

Marquette Cement Manufacturing Co.
\$800,000
November 29, 1965
56 days
4 1/4%
4.34%
\$5,288.89

Changes in the University of Kentucky Investment Portfolio

Investments Liquidated

Investments Made

Marquette Cement Manufacturing Co.

Amount \$600, 00
Due November 29, 1965
Term 4 days
Rate 4 1/4%
Yield 4. 33%
Discount Earned \$283. 51

Marquette Cement Manufacturing Co.

Amount \$200, 00
Due November 29, 1965
Term 2 days
Rate 4 1/4%
Yield 4. 33%
Discount Earned \$47. 05

Archer Daniels Midland Co.

\$200, 000
December 3, 1965
58 days
4 1/4%
4. 34%
\$1, 369. 44

Archer Daniels Midland Co.

Amount \$200, 000
Due December 3, 1965
Term 2 days
Rate 4 1/4%
Yield 4. 33%
Discount Earned \$47. 22

Jim Walter Corporation

\$800, 000
May 2, 1966
206 days
4 1/2%
4. 68%
\$20, 600

III. Graduate Faculty Appointments

Recommendation: that approval be given to the following persons for membership in the Graduate Faculty.

Background: The persons whose names appear below have been approved by the area committees and the Board of Trustees and are now eligible for membership in the Graduate Faculty:

Michael Kasperbauer - Associate Professor of Agronomy
Raymond Distler - Assistant Professor of Electrical Engineering
Peter P. Gillis - Assistant Professor of Engineering Mechanics
Eugene B. Gallagher - Associate Professor of Behavioral Science
Benon J. Trawinski - Associate Professor of Behavioral Science
William Y. Adams - Associate Professor of Anthropology
Donald A. Calahan - Professor of Electrical Engineering

IV. Consultative Services

Recommendation: that the report on consultative services approved be accepted as presented.

Background: Approval has been given for the persons listed below to perform the services indicated with the usual understanding that such service will in no way interfere with the performance of regularly scheduled University duties.

Dr. William J. Tisdall, Division of Curriculum, College of Education, to become a consultant to the Bureau of Research of the Office of Education for a three-year period

Dean Marcia Dake, College of Nursing, to serve on the Public Health Service Review Committee for grant application to support short term course traineeships in nursing

Dr. D. C. Leigh, Associate Professor, Department of Engineering Mechanics, to provide some consulting services to the General Electric Company

Dr. Michael T. Romano, Coordinator of Medical Center Television, to serve as a consultant to Professional Closed Circuit Television, Inc.

Mr. Martin C. Krimm, Electrical Engineering, to teach a course in industrial electronics for IBM.

Dr. Harold Rosenbaum, Chairman, Department of Radiology, to serve for two months on Project Hope in Nicaragua from January 15 to March 15, 1966

V. Policy on Requirements for an Associate Degree

Recommendation: that to be eligible for an associate degree, a student must have completed a prescribed curriculum as approved by the Community College System Faculty. The prescribed curriculum in no case shall be less than 64 semester hours, 30 of the last 36 of which must be taken at the community college granting the associate degree. The student must have a cumulative average of 2.0.

Background: It is necessary to formalize certain minimum requirements that should apply to the associate degree programs offered in the Community College System. A number of students will complete 2-year curricula by the end of the 1965-66 college year and Board approval of the policies recommended is needed.

VI. Waiver of Nepotism Rule

Recommendation: that the nepotism rule be waived in order to permit the part-time employment of Mrs. Sally Lerner in the Department of Social Work, University Hospital

Background: the Governing Regulations of the University provide that no relative by blood or marriage of a member of the University staff holding the rank of associate professor or higher may be appointed to any position in the University unless it is impossible to fill the position with a qualified person. Exceptions may be made when the employment is temporary or part-time and the term of employment does not exceed six months in any calendar year. The conditions allowing for an exception are met in the case of Mrs. Lerner, wife of Dr. Melvin Lerner, Associate Professor, Department of Behavioral Sciences

VII. Gifts and/or Grants

Recommendation: that the gifts and/or grants listed below be ordered accepted and proper letters of appreciation sent to the donors.

Background: the names of donors, the amounts given, and the purposes for which the money is to be used are given below:

<u>Donor</u>	<u>Amount</u>	<u>Purpose</u>
American Air Filter Co.	\$ 2,000.00	The William Maxwell Reed Professorship in Mechanical Engineering
American Dairy Science Asso.	1,155.00	Kentucky Dairy Scholarship
Dairy Products Association of Ky.	1,200.00	Kentucky Dairy Scholarship
National Association of Animal Breeders	2,400.00	Research Grant
Grayson Foundation	120,000.00	Research Grant
Irving Air Chute Co., Inc.	440.00	Scholarship
Irving Air Chute Recreation Fund	440.00	Scholarship
Western Kentucky Gas Company	500.00	Scholarship
Ralph E. Mills Foundation, Inc.	2,000.00	Loan Fund
Woman's Club of U. K.	10.00	Frances Jewell McVey Scholar- ships
James B. Beam Distilling Co.	900.00	Scholarship
American Association of University Women	225.00	Scholarship
Alcoa Foundation	250.00	Scholarship
Mrs. Lolo Robinson	1,250.00	Scholarship
Dr. Edna Caroline Miller	250.00	Scholarship
The Algernon Sydney Sullivan Founda- tion	5,000.00	Scholarship
Union Light, Heat & Power Co.	500.00	Scholarship
Kentucky Broadcasters Assoc.	300.00	Scholarship
General Motors Corporation	8,050.00	Scholarship
Foundry Educational Foundation	1,000.00	Scholarship
Dow Corning Corporation	500.00	Chemical Engineering Grant
Cincinnati Milling Company	1,750.00	Scholarship
Kentucky L. P. Gas Association	9,000.00	Agricultural Engineering Grant
Kentucky Artificial Breeding Assoc.	2,500.00	Research Grant
Southern States Cooperative	2,600.00	Scholarship
National Plant Food Institute	200.00	Scholarship
Chevron Chemical Company	1,000.00	Research Grant
Anaconda Aluminum Company	1,200.00	Research Grant
The Lilly Research Laboratories	3,000.00	Research Grant
Aetna Life Insurance Company	30.00	Matching Grant
H. W. Wilson Foundation, Inc.	1,000.00	Scholarship
Western Electric Company	550.00	Scholarship & Grant-in-Aid, Electrical Engineering
Geigy Agricultural Chemical Co.	1,800.00	Research Grant
Chemagro Corporation	800.00	Research Grant
The Charles E. Merrill Trust	25,000.00	College of Medicine Grant
Florence A. Rigney Estate	12,475.13	Medical Center Grant
Dewey Daniel	5,000.00	Neurosurgery Grant
(Sub Total)	\$216,275.13	

<u>Donor</u>	<u>Amount</u>	<u>Purpose</u>
Balance Forward	\$ 216,275.13	
Humble Oil Education Foundation	2,500.00	Unrestricted Grant-College of Engineering
Mr. E. J. Nutter	2,000.00	Unrestricted Grant-College of Engineering
International Business Machines, Corporation	305.00	Matching Grants
Compass Lodge No. 1019, Masonic Temple, Brooklyn, NY	8.00	Unrestricted Gift
Mr. & Mrs. Herman Rilander	25.00	Unrestricted Gift-Medical Center
Dr. Lawrence A. Bradford	250.00	Scholarship
Woman's Club of UK	10.00	Frances Jewell McVey Scholarship
Total	<u>\$ 221,373.13</u>	
Contracts & Grants to UKRF	1,475,120.00	Quarterly Report (<u>see Attachment 2</u>)
 GRAND TOTAL	 <u><u>\$ 1,696,493.13</u></u>	

Miscellaneous Gifts

Radiation, Incorporated - Training device to help further engineering education in the design and application of digital circuits.

VIII. Appointments and Other Staff Changes (See Attachment 3)

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of Associate Professor and above, for promotions, the granting of tenure, and salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION REQUIRED

New Appointments

Warren Rucker, Health Service Physician, University Health Service, Medical Center

Reappointments

James D. Buckholtz, Associate Professor, Mathematics & Astronomy, with tenure, beginning September 1, 1965

A. James Gregor, Associate Professor, Department of Philosophy, beginning September 1, 1965 to June 30, 1968

Howard D. Stowe, Associate Professor, Veterinary Science, beginning July 1, 1965 to June 30, 1969

Leaves of Absence

Arnold Blackburn, Professor, Department of Music, sabbatical leave beginning January 1, 1966 ending June 30, 1966

D. H. Farabee, Physician, University Health Service, leave without pay beginning November 1, 1965 through June 30, 1966

Prasad K. Kadaba, Professor, Department of Electrical Engineering, leave without pay beginning January 1, 1966 through June 30, 1966

ADMINISTRATIVE REPORT

New Appointments

Harold D. Gordon, Associate Director, Library

Willis H. Griffin, Assistant Director, Center for Developmental Change

Donald Burdick, Assistant Professor (Adjunct), Department of Agronomy

→ Joseph F. Levy, Assistant to Director, Center for Developmental Change

Mary Lewis Bacon, Instructor, Somerset Community College

David E. Berry, Instructor, Department of Community Medicine and Program

Development Consultant, Office of the Vice President, Medical Center

Robert Edgar Cazden, Assistant Professor, Library Science

John W. Coughlin, Instructor, Operative Dentistry

Douglas Lee Dahlman, Assistant Professor, Entomology and Botany

Ronald D. Dyas, Producer-Director-Instructor, Department of Radio, Television, and Films

Charles Talton Hughes, Jr., Instructor, Somerset Community College

Burton G. Livingston, Instructor, Somerset Community College

Mary J. Seward Livingston, Instructor, Somerset Community College

Ruth Elisabeth Mabus, Visiting Instructor, Southeast Community College

Gilbert Lander Mathis, Instructor, Hopkinsville Community College

Eleanor Terry Norfleet, Instructor, Somerset Community College

D. Donald Poole, Assistant Extension Professor, Plant Pathology Department

Roy Harold Raines, Instructor, Somerset Community College

G. Henry Schmitt, Assistant Professor, Department of Pharmacology

Sylvene O. Spickerman, Teaching Associate of Clinical Nursing, College of Nursing

Alma J. Watkins, Instructor, Associate Degree Nursing, College of Nursing

Carlton D. Wall, Instructor, Hopkinsville Community College

Laura Thomas Weddle, Instructor, Somerset Community College
Leo Franklin Weddle, Instructor, Somerset Community College
Rebecca Groger Williams, Instructor, Hopkinsville Community College
Alan M. Winger, Assistant Professor, Department of Economics
Charles Yu-Di Yang, Assistant Professor, Department of Plant Pathology
Lorraine G. Zix, Instructor, Associate Degree Nursing, Lexington Technical
Institute

Reappointments (to June 30, 1966 unless otherwise specified)

Theodore R. Dixon, Assistant Professor, Department of Psychology, to June 30,
1967
Lewis Donohew, Assistant Professor, School of Journalism, to June 30, 1968
Cyrus M. Johnson, Assistant Professor, Department of Sociology, to June 30,
1967
Jean Pival, Assistant Professor, Department of English, Speech and Dramatic
Arts, to June 30, 1968
Gerard Silberstein, Assistant Professor, Department of History, to June 30, 1967
Gerda Taranow, Assistant Professor, Department of English, Speech & Dramatic
Arts to June 30, 1967
Mohamed El Assal, Instructor, Department of Sociology
William F. Axton, Assistant Professor, Department of English, Speech & Dramatic
Arts
Elizabeth Courtney Banks, Instructor, Department of Classics
Thomas C. Barr, Jr. Assistant Professor, Department of Zoology
James C. Beidleman, Assistant Professor, Department of Mathematics and
Astronomy
Thomas H. Birch, Instructor, Department of Philosophy
Jerry Duke Brandenberger, Assistant Professor, Department of Physics
Warren J. Castle, Assistant Professor, Department of Classics
Norman B. Chapman, Assistant Professor, Department of Music
James H. Cobb, Assistant Professor, Department of English, Speech & Dramatic
Arts
Raymond H. Cox, Assistant Professor, Mathematics & Astronomy
Lewis S. Danfelt, Assistant Professor, Department of Music
Wayne H. Davis, Assistant Professor, Department of Zoology
Charles Dean, Instructor, Department of Sociology
Martin Dillon, Instructor, Department of English, Speech & Dramatic Arts
Judith Lynne DuBonn, Instructor, Department of Physical Education
Joseph B. Fugate, Assistant Professor, Department of Mathematics & Astronomy
George W. Griffith, Instructor, Department of English, Speech & Dramatic Arts
Sara Holroyd, Assistant Professor, Department of Music
Ann K. Huddleston, Instructor, Department of Music
William H. Hunt, Instructor, Department of Political Science
Jack H. Hyatt, Instructor, Department of Music
Richard L. Kay, Assistant Professor, Department of History
Charles N. Kellogg, Assistant Professor, Department of Mathematics and
Astronomy
Thomas Kelly, Assistant Professor, Department of History
Hugh Patrick Kennedy, Assistant Professor, Department of Physics
Lynn C. Kurtz, Assistant Professor, Department of Mathematics & Astronomy

Rollin A. Lasseter, Instructor, Department of English, Speech & Dramatic Arts
Laura B. Luszczyński, Instructor, Department of Modern Foreign Languages
Walter Robert Luszczyński, Instructor, Department of Modern Foreign Languages
Allan E. MacLeod, Instructor-Film Supervisor, Department of Radio, Television
and Films
William L. McAdams, Instructor, Department of English, Speech & Dramatic Arts
J. Robert McCown, Instructor, Department of English, Speech & Dramatic Arts
William M. Priestley, Instructor, Mathematics and Astronomy
Donald L. Prullage, Assistant Professor, Department of Mathematics and
Astronomy
Anna K. Reed, Instructor, English, Speech & Dramatic Arts
Susan N. Richards, Instructor, English, Speech & Dramatic Arts
Harold G. Robertson, Assistant Professor, Department of Mathematics and
Astronomy
Albert L. Schaberg, Assistant Professor, Department of Music
Rudolph Schriels, Assistant Professor, Department of Physics
John Selden, Assistant Professor, Department of Mathematics & Astronomy
Alan J. Shavzin, Instructor, Department of Philosophy
Katharine H. Shaw, Instructor, Department of Classics
Nelson Smith, Instructor, Department of English, Speech & Dramatic Arts
Stanford L. Smith, Assistant Professor, Department of Chemistry
Olye T. Snow, Instructor, Department of English, Speech & Dramatic Arts
Grady Steele, Instructor, Department of English, Speech & Dramatic Arts
Josiane Sullivan, Instructor, Department of Modern Foreign Languages
Mary Ann Taylor, Instructor, Department of English, Speech & Dramatic Arts
Donald H. Williams, Assistant Professor, Department of Chemistry
Joseph W. Wilson, Assistant Professor, Department of Chemistry

Sabbatical Leaves

Jasper H. B. Garner, Assistant Professor, Department of Botany, sabbatical
leave beginning July 1, 1966 ending June 30, 1967
Allen M. Wallace, Assistant Professor, Agronomy Department, sabbatical leave
beginning September 1, 1965 ending May 31, 1966

Change in Assignment

Donald E. Knapp, Assistant Professor, Department of Pharmacology and As-
sistant Professor, Department of Operative Dentistry; to change from Operative
Dentistry to the Department of Oral Surgery

IX. Policy Relative to Acceptance of Gifts and Grants

Recommendation: that the President be authorized to accept grants-in-aid, pledges,
and gifts in cash or kind up to the amount or value of \$100,000; that the President
be authorized to accept gifts in excess of \$100,000 where he deems such acceptance
a matter of urgency, provided, that all grants-in-aid, pledges, and gifts, after
acceptance are regularly reported to the Board of Trustees.

Background: In a program of active solicitation of gift support there is a necessity for immediate responsible response of appreciation and acceptance if a donor responds to the institution's request for funds. If a gift is unsolicited, there is not as great a need for immediate acceptance, for possibly the purpose of the gift has not been approved. However, when the institution asks a donor to support a project, it is assumed by the donor that the project has already been approved and that his gift should receive an immediate official acceptance.

A system of review will be established to ensure that gifts will be handled with dispatch and that proper disposition is made.

Vice President- Business Affairs
and Treasurer

November 4, 1965

Dr. John W. Oswald, President
University of Kentucky
Lexington
Kentucky

Dear Dr. Oswald:

Submitted herewith is the interim financial report of the University of Kentucky for the period July 1, 1965 through October 31, 1965. This report consists of a balance sheet for the eleven fund groups, and statements of estimated income and appropriations showing the financial transactions for the four months period ended October 31, 1965.

<u>Exhibits</u>	<u>Name</u>	<u>Page</u>
A	Balance Sheet	1
B	Summary of Current Funds Income	7
C	Summary of Current Funds Expenditures	9
D	Statement of Unexpended Plant Funds	12
E	Statement of Retirement of Indebtedness Funds	17
F	Statement of Sinking Fund Reserves	18
G	Summary of Other Fund Transactions	19
	1. Loan Funds	
	2. Endowment Funds	
	3. Agency Funds	

This report is intended to form a comprehensive and permanent record of the finances of the University for the period covered.

Respectfully submitted,

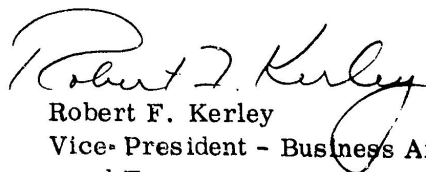

Robert F. Kerley
Vice-President - Business Affairs
and Treasurer

EXHIBIT A

University of Kentucky
Balance Sheet
October 31, 1965

ASSETS

I. Current Funds:

A. General:

Cash in bank	\$ 1,745,898.07
Cash on deposit with State Treasurer	2,121,248.29
Available balance, state appropriations	5,778,929.86
Due from other funds	545,922.46
Accounts receivable	54,622.38
Inventories	530,823.10
Deferred charges	22,462.05
Unrealized income (Exhibit B)	18,701,276.04
Working capital	<u>1,496,661.15</u>

Total general

\$ 30,997,843.40

B. Restricted:

Petty cash advances	91,346.33
Due from other funds	3,028.75
Investments	4,619,483.58
Accounts receivable	191,536.20
Unrealized income (Exhibit B)	<u>1,922,702.96</u>

Total restricted

6,828,097.82

C. Auxiliary Enterprises:

Cash in bank	2,789,212.92
Inventories	470,828.15
Unrealized income (Exhibit B)	<u>4,722,315.49</u>

Total auxiliary enterprises

7,982,356.56

D. University Hospital:

Cash in bank	99,447.32
Cash on deposit with State Treasurer	64,887.08
Available balance, state appropriations	1,138,943.74
Due from other funds	2,862.80
Accounts receivable- net	756,806.66
Inventories	704,284.86
Unrealized income (Exhibit B)	<u>3,894,080.65</u>

Total University Hospital

6,661,313.11

TOTAL CURRENT FUNDS

\$ 52,469,610.89

EXHIBIT A

University of Kentucky
Balance Sheet
October 31, 1965

LIABILITIES

I. Current Funds:

A. General:

Encumbrances outstanding	\$ 3,737,370.26
Reserve for inventories	530,823.10
Petty cash liability	300,000.00
Due to other funds	30,593.00
Due to Federal Government	84,954.62
Departmental appropriations (Exhibit C)	25,828,472.27
Unappropriated surplus	485,630.15

Total general

\$ 30,997,843.40

B. Restricted:

Encumbrances outstanding	259,236.56
Due to other funds	1,426,276.41
Outstanding check liability	21,069.86
Reserve for deposits and clearing	1,152,135.38
Departmental appropriations (Exhibit C)	1,396,280.53
Fund balance	2,573,099.08

Total restricted

6,828,097.82

C. Auxiliary Enterprises:

Encumbrances outstanding	1,352,698.72
Due to other funds	7,333.46
Reserve for inventories	470,828.15
Departmental appropriations (Exhibit C)	3,977,796.50
Fund balances	2,173,699.73

Total auxiliary enterprises

7,982,356.56

D. University Hospital:

Encumbrances outstanding	735,098.88
Due to other funds	38,985.53
Reserve for inventories	704,284.86
Departmental appropriations (Exhibit C)	4,721,127.91
Unappropriated surplus	461,815.93

Total University Hospital

6,661,313.11

TOTAL CURRENT FUNDS

\$ 52,469,610.89

EXHIBIT A

University of Kentucky
Balance Sheet
October 31, 1965

ASSETS

II. Loan Funds:		
Cash in bank	\$	43,963.33
Notes receivable		1,824,176.96
Investments		151,500.00
Due from Federal Government		1,475.09
Due from United Student Aid loan fund		2,000.00
		\$ 2,023,115.38
III. Endowment Funds:		
Cash in bank	\$	1,982.32
Cash on deposit with trustee		32.97
Investments		415,713.56
		\$ 417,728.85
IV. Plant Funds:		
A. Unexpended plant funds:		
Cash on deposit with State Treasurer	\$	30,488.11
State capital construction funds		11,101,523.12
Investments		1,782,737.37
Total unexpended plant funds		\$12,914,748.60
B. Retirement of indebtedness funds:		
Cash in bank		86,868.25
Cash on deposit with State Treasurer		317,239.11
Cash on deposit with trustee		15,975.59
Accounts receivable		3,942.50
Unrealized income		1,308,963.12
Total retirement of indebtedness funds		1,732,988.57

University of Kentucky
Balance Sheet
October 31, 1965

EXHIBIT A

LIABILITIES

II. Loan Funds:

Federal sponsored loan funds:

Federal government	\$ 1,601,767.50	
University	179,116.82	
Accumulated interest income	<u>20,650.93</u>	
Total federal sponsored loan funds		\$ 1,801,535.25
University student loan funds	159,147.93	
University special student loan funds	60,432.20	
United Student Aid loan fund	<u>2,000.00</u>	

Total University loan program 221,580.13

TOTAL LOAN FUNDS \$ 2,023,115.38

III. Endowment Funds:

Funds balances \$ 417,728.85

TOTAL ENDOWMENT FUNDS \$ 417,728.85

IV. Plant Funds:

A. Unexpended plant funds:

Reserve for encumbrances	\$ 9,558,159.19	
Appropriation balances	2,818,639.41	
Due to other funds	<u>537,950.00</u>	
Total unexpended plant funds (Exhibit D)		\$ 12,914,748.60

B. Retirement of indebtedness funds:

Fund balance (Exhibit E) 1,732,988.57

Total retirement of indebtedness funds 1,732,988.57

EXHIBIT A

University of Kentucky
Balance Sheet
October 31, 1965

ASSETS

IV. Plant Funds (continued)

C. Sinking funds:

Cash on deposit with trustee
Investments

\$ 105,527.21
1,779,430.51

Total sinking funds

\$ 1,884,957.72

D. Invested in plant:

Land
Buildings
Equipment
Construction in progress

4,200,134.96
91,125,414.00
21,375,040.00
3,271,436.30

Total invested in plant

119,972,025.26

TOTAL PLANT FUNDS

\$136,504,720.15

V. Agency Funds:

Cash in bank
Investments

\$ 143,743.19
12,245.54

TOTAL AGENCY FUNDS

\$ 155,988.73

University of Kentucky
Balance Sheet
October 31, 1965

EXHIBIT A

LIABILITIES

IV. Plant Funds (continued)

C. Sinking funds:

Fund balance (Exhibit F) \$ 1,884,957.72

Total sinking funds \$ 1,884,957.72

D. Invested in plant:

Bonds payable 30,992,500.00

Due to other governmental units 3,094,805.00

Net investment in plant 85,884,720.26

Total invested in plant 119,972,025.26

TOTAL PLANT FUNDS \$136,504,720.15

V. Agency Funds:

Agency funds balance \$ 155,988.73

TOTAL AGENCY FUNDS \$ 155,988.73

University of Kentucky
 Summary of Current Funds Income
 For the Four Month Period Ended October 31, 1965

EXHIBIT B

Fund and Source:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
A. General Fund:			
Student fees	\$ 4,386,525.00	\$ 2,021,461.76	\$ 2,365,063.24
State appropriations	26,760,251.00	15,603,425.00	11,156,826.00
Federal appropriations	4,229,823.00	1,636,547.00	2,593,276.00
County appropriations	528,016.00	147,898.97	380,117.03
Endowment income	43,175.00	26,991.99	16,183.01
Gifts and grants	1,105,857.00	7,343.72	1,098,513.28
Sales and services of educational departments	739,608.00	168,871.52	570,736.48
Organized activities related to educational departments	239,765.00	23,966.07	215,798.93
Regulatory fee	533,350.00	265,525.46	267,824.54
Rental income	45,375.00	6,714.46	38,660.54
Ticket sales	5,550.00	6,875.61	(1,325.61) ¹
Royalties	213.00		213.00
Vending machines		610.40	(610.40) ¹
Fund balances appropriated	<u>603,881.00</u>	<u>603,881.00</u>	
Total general fund	<u>39,221,389.00</u>	<u>20,520,112.96</u>	<u>18,701,276.04</u>
B. Restricted Funds:			
Gifts and grants	<u>2,758,100.00</u>	<u>835,397.04</u>	<u>1,922,702.96</u>
C. Auxiliary Enterprises:			
Sales and services	<u>7,261,410.00</u>	<u>2,539,094.51</u>	<u>4,722,315.49</u>

¹ Negative amount

University of Kentucky
 Summary of Current Funds Income
 For the Four Month Period Ended October 31, 1965

EXHIBIT B

Fund and Source:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
D. University Hospital:			
Income:			
In-patient care	\$ 5,259,150.00	\$ 1,102,781.21	\$ 4,156,368.79
Out-patient care	823,242.00	184,795.31	638,446.69
Sales and services	575,603.00	126,968.00	448,635.00
Employee health program	18,422.00	6,257.18	12,164.82
Student health program	57,116.00	17,091.06	40,024.94
Other Hospital income	12,240.00	3,051.30	9,188.70
Gross income	6,745,773.00	1,440,944.06	5,304,828.94
Allowances:			
Approved financial allowances	(3,742,208.00) ¹	(685,725.71) ¹	(3,056,482.29) ¹
Bad debts	(250,000.00) ¹		(250,000.00) ¹
Net income	2,753,565.00	755,218.35	1,998,346.65
State appropriation	4,436,734.00	2,541,000.00	1,895,734.00
Total University Hospital	7,190,299.00	3,296,218.35	3,894,080.65
TOTAL CURRENT FUNDS INCOME	\$ 56,431,198.00	\$ 27,190,822.86	\$ 29,240,375.14

¹ Negative amount

University of Kentucky
 Summary of Current Funds Expenditures
 For the Four Month Period Ended October 31, 1965

EXHIBIT C

Fund and Function:	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
A. General:				
I. Departments of instruction and research	\$ 13,930,310.29	\$ 4,120,390.73	\$ 397,340.21	\$ 9,412,579.35
II. Community colleges	1,823,301.90	505,361.98	164,618.55	1,153,321.37
III. Summer sessions	376,514.49	388,377.26	1,111.70	(12,974.47) ¹
IV. Organized activities	289,614.80	68,123.22	91,908.61	129,582.97
V. Organized research	4,553,517.28	1,330,149.83	688,375.65	2,534,991.80
VI. Libraries	1,070,081.65	341,742.48	22,933.69	705,405.48
VII. Extension and public services	7,036,127.43	2,009,111.15	170,235.76	4,856,780.52
VIII. Maintenance and operations of plant	3,125,903.59	785,574.39	485,693.21	1,854,635.99
IX. Major improvements	243,872.26	8,942.59	20,172.26	214,757.41
X. Acquisition of equipment	286,069.18	13,633.01	130,473.92	141,962.25
XI. General administration	1,255,826.11	375,840.81	24,930.52	855,054.78
XII. Student services	1,066,047.77	316,046.71	23,704.38	726,296.68
XIII. Staff benefits	1,797,775.00	520,038.05		1,277,736.95
XIV. General institutional services and expense	1,069,805.49	301,415.04	202,631.80	565,758.65
XV. Service enterprises	152,064.43	13,303.57	148,844.09	(10,083.23) ¹

¹ Negative amount

University of Kentucky
 Summary of Current Funds Expenditures
 For the Four Month Period Ended October 31, 1965

EXHIBIT C

Fund and Function:	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
A. General (continued)				
XVI. Provision for contingencies	\$ 840,279.00	\$ (5,991.34) ¹	\$ 185,649.75	\$ 660,620.59
XVII. Debt service cost	1,334,097.00	576,670.35		757,426.65
XVIII. Student aid	6,600.00	1,981.47		4,618.53
Total general	<u>40,257,807.67</u>	<u>11,670,711.30</u>	<u>2,758,624.10</u>	<u>25,828,472.27</u>
B. Restricted:				
I. Departments of instruction and research	108,130.69	133,929.96	14,720.92	(40,520.19) ¹
II. Organized research	289,964.47	145,332.84	66,867.99	77,763.64
III. Extension and public services	2,205,036.57	637,222.99	36,136.36	1,531,677.22
IV. Acquisition of equipment	90,377.21	3,869.70	6,227.21	80,280.30
V. General administration	8,080.00	10,210.39	629.00	(2,759.39) ¹
VI. Student services	2,162.16	33,339.91	15,346.61	(46,524.36) ¹
VII. General institutional services and expense	86,899.03	90,367.25	64,618.87	(68,087.09) ¹
VIII. Student aid	130,452.25	212,943.93	2,082.01	(84,573.69) ¹
IX. Acquisition of equipment	34,000.00	105,823.27		(71,823.27) ¹
X. Construction of buildings	15,116.58	1,337.61	15,787.74	(2,008.77) ¹

¹ Negative amount

University of Kentucky
 Summary of Current Funds Expenditures
 For the Four Month Period Ended October 31, 1965

EXHIBIT C

Fund and Function:	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
B. Restricted (continued)				
XI. Maintenance	\$ 60,000.00	\$ 7,279.95	\$ 29,092.67	\$ 23,627.38
XII. Renovation and improvements	<u>712.63</u>		<u>1,483.88</u>	<u>(771.25)</u> ¹
Total restricted	<u>3,030,931.59</u>	<u>1,381,657.80</u>	<u>252,993.26</u>	<u>1,396,280.53</u>
C. Auxiliary Enterprises	<u>7,345,014.68</u>	<u>2,014,519.46</u>	<u>1,352,698.72</u>	<u>3,977,796.50</u>
D. University Hospital:				
I. Hospital staff	601,841.00	131,375.21		470,465.79
II. Administration and general	535,032.27	171,637.85	38,406.75	324,987.67
III. Dietary	755,251.49	192,269.49	283,064.92	279,917.08
IV. Household and property	382,390.09	95,160.26	30,993.93	256,235.90
V. Nursing service	2,712,403.01	594,273.52	8,763.17	2,109,366.32
VI. Medical and surgical services	756,878.91	249,667.29	138,077.07	369,134.55
VII. Laboratories	580,324.65	167,292.83	99,449.18	313,582.64
VIII. Radiology	324,186.57	86,259.95	123,939.19	113,987.43
IX. Other special services	308,378.30	78,111.52	8,661.66	221,605.12
X. General services	<u>373,970.58</u>	<u>107,952.76</u>	<u>4,172.41</u>	<u>261,845.41</u>
Total Hospital	<u>7,330,656.87</u>	<u>1,874,000.68</u>	<u>735,528.28</u>	<u>4,721,127.91</u>
TOTAL CURRENT FUNDS				
EXPENDITURES	<u>\$57,964,410.81</u>	<u>\$ 16,940,889.24</u>	<u>\$ 5,099,844.36</u>	<u>\$35,923,677.21</u>

¹ Negative amount

University of Kentucky
Statement of Unexpended Plant Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT D

Construction:	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
1. Educational buildings:				
Chemistry-Physics	\$ 5,618,489.19	\$ 5,618,075.09	\$ 414.10	
Commerce	1,850,492.00	1,824,576.42	279.41	25,636.17
Law	1,369,212.98	1,293,387.88	74,814.93	1,010.17
Engineering	2,393,449.92	1,069,880.35	1,170,421.03	153,148.54
Education	1,295,063.20	1,286,246.89		8,816.31
Classroom-office building	17,500.00		10,000.00	7,500.00
Subtotal	<u>12,544,207.29</u>	<u>11,092,166.63</u>	<u>1,255,929.47</u>	<u>196,111.19</u>
2. Community Colleges:				
Elizabethtown	729,550.00	719,166.84	1,303.24	9,079.92
Prestonsburg	1,016,895.00	970,712.84	7,349.17	38,832.99
Somerset	736,690.00	630,502.49	92,591.42	13,596.09
Hopkinsville	1,013,775.00	875,745.15	125,584.80	12,445.05
Hazard-Blackey	1,000.00			1,000.00
Subtotal	<u>3,497,910.00</u>	<u>3,196,127.32</u>	<u>226,828.63</u>	<u>74,954.05</u>
3. Medical Center:				
Medical Science	5,691,856.18	5,690,086.33	28.00	1,741.85
Dental Wing	2,459,396.00	2,447,158.87		12,237.13
Subtotal	<u>8,151,252.18</u>	<u>8,137,245.20</u>	<u>28.00</u>	<u>13,978.98</u>
4. Agricultural Experiment Station facilities:				
Research Center	231,895.85	230,994.01	839.90	61.94
Auditorium	458,077.00	83,385.83	366,066.29	8,624.88 ¹
Swine farrowing testing station	13,850.00	13,796.00	3,234.12	(3,180.12) ¹
Tobacco barn	669.58	822.41	20.50	(173.33) ¹
Swine feeding shed	20,000.00	4,541.85	15,458.15	
Animal pathology barn	16,397.34	12,852.05		3,545.29
Subtotal	<u>740,889.77</u>	<u>346,392.15</u>	<u>385,618.96</u>	<u>8,878.66</u>
5. Student housing:				
Dormitory complex	794,014.00	229,728.05	89,509.42	474,776.53
Phi Kappa Tau Fraternity	226,266.86	49,870.96	147,465.36	28,930.54
Alpha Delta Pi Sorority	12,000.00		1,000.00	11,000.00
Low rise dormitories-phase I	4,754,655.45	126,048.02	4,476,022.15	152,585.28
Central dining facility-dormitory complex	2,300,000.00	80,094.45	2,161,725.99	58,179.56
Subtotal	<u>8,086,936.31</u>	<u>485,741.48</u>	<u>6,875,722.92</u>	<u>725,471.91</u>

1 Negative amount

University of Kentucky
Statement of Unexpended Plant Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT D

Construction (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
6. Student service facilities--				
Student Center	\$ 2,604,856.11	\$ 2,595,462.86		\$ 9,393.25
Total construction	35,626,051.66	25,853,135.64	\$ 8,744,127.98	1,628,788.04
 Renovations and Improvements:				
Housing for 50 foot centrifuge	2,400.00			2,400.00
Food Storage Building--refrigerator				
repairs	712.50		712.50	
Medical Center renovation	5,000.00	2,724.64	2,275.36	
Pence Hall	241,819.18	186,584.43	40,187.69	15,047.06
Memorial Coliseum	34,575.00	33,471.62	282.86	820.52
Administration Building	123,120.00	74,154.02	16,335.00	32,630.98
Memorial Hall	2,200.00	21.43		2,178.57
Medical Center Laboratory	197,465.70	174,795.25	22,051.35	619.10
Coldstream facilities	246,939.81	246,518.36		421.45
Sheep pesticide Building	98.00			98.00
Reynolds Warehouse roof	22,500.00	21,976.61		523.39
Agricultural Storage Building	31,240.00	30,525.06		714.94
Experiment Station Building	30,061.74	22,528.21	1,793.23	5,740.30
Nuclear Engineering Research				
Laboratory	1,500.00	500.00		1,000.00
Engineering services	13,200.00	10,445.83	1,754.17	1,000.00
Pathology Shed	3,168.93	84.00	615.84	2,469.09
Plastic Greenhouses	6,369.80	2,635.00		3,734.80
House--Quicksand	130.70	23.00		107.70
Medical Center MN 602 renovation	875.00	750.85		124.15
Remodeling Engineering Quadrangle	3,000.00		1,000.00	2,000.00
Animal care facilities for medical				
research	13,500.00	12,582.19	1.00	916.81
Coldstream Farm--water system	7,500.00		6,000.00	1,500.00
Horse Barn " C "	33,000.00	30,649.26		2,350.74
V. A. Hospital site	500.00			500.00
Social Science Building--new roof			1,200.00	(1,200.00) ¹
Funkhouser--installation-- elect.				
microscope			9,211.45	(9,211.45) ¹
McVey Hall, room 230, renovation				
Department of English			2,700.00	(2,700.00) ¹
Vandergraff--Accelerator	466,016.41	465,708.73		307.68
Renovation of Cell Biology	25,000.00		17,000.00	8,000.00
Taylor Building--Spec. Education			1,300.00	(1,300.00) ¹
Medical Center--Psychiatric Recreation				
Area	6,400.00		6,400.00	

¹ Negative amount

University of Kentucky
Statement of Unexpended Plant Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT D

Renovations and Improvements (continued)	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Helistop at Medical Center	\$ 2,076.00		\$ 2,076.00	
Maxwell Place furnishings			4,500.00	\$ (4,500.00) ¹
Renovation of Community College system			6,600.00	(6,600.00) ¹
Renovation of Kastle Hall	308,977.00	\$ 254,173.96	43,123.82	11,679.22
Agr. Bldg. renovation-animal behavioral lab	1,400.00	1,105.70	1,100.00	(805.70) ¹
Coal res. lab metal sculpture	1,600.00		1,600.00	
Engineering Quadrangle room 207	1,200.00	1,173.19		26.81
123 Washington Street- College of Nursing	1,330.00	1,119.28		210.72
Medical Center MS 201 renovation	1,050.00			1,050.00
Maxwell Place heating and cooling	2,500.00	1,875.00	625.00	
Agricultural Science Center headhouse and greenhouse	129,235.00	4,922.38	540.00	123,772.62
Electron microscope installation	1,145.00		1,145.00	
Fine Arts Building	1,000.00	1,215.08		(215.08) ¹
University drive-phase I	7,500.00		7,500.00	
Switchboard installation	350.00	641.50		(291.50) ¹
White Hall renovation	7,695.00	5,170.74	4,700.00	(2,175.74) ¹
Frazer Hall partition	450.00	460.98		(10.98) ¹
Agricultural Science equipment install.	24,000.00		24,000.00	
Sociology room	2,755.00	2,699.01		55.99
Miscellaneous activation	1,360.00	829.77		530.23
Memorial Coliseum	4,225.00	3,419.00		806.00
Electrical outage	7,000.00	5,096.50	1,666.00	237.50
Steam sterilizer installation	3,580.00		3,580.00	
Anderson Hall remodeling for nuclear engineering	15,750.00	437.81	15,500.00	(187.81) ¹
122 Graham Avenue	2,200.00		700.00	1,500.00
Funkhouser Building- telephone exchange	1,400.00		1,400.00	
Medical Center M 100 CN Corridor	3,400.00		3,400.00	
Total renovations and improvements	<u>2,051,470.77</u>	<u>1,601,018.39</u>	<u>254,576.27</u>	<u>195,876.11</u>
Acquisition of Equipment:				
1. Educational:				
Commerce	120,000.00	82,498.52	3,009.55	34,491.93
Engineering	103,255.00	3,273.71	35,807.90	64,173.39
Agricultural Research Center	98,466.35	87,674.00	10,781.24	11.11
Law	100,000.00	35,605.08	68,399.25	(4,004.33)
Subtotal	<u>421,721.35</u>	<u>209,051.31</u>	<u>117,997.94</u>	<u>94,672.10</u>

1 Negative amount

University of Kentucky
Statement of Unexpended Plant Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT D

Acquisition of Equipment (continued)	Appro- priations	Expendi- tures	Encum- brances	Unencumbered Balance
2. Community Colleges:				
Northern	\$ 72,438.16	\$ 71,912.46	\$ 254.72	\$ 270.98
Hopkinsville	150,000.00	52,047.88	79,189.75	18,762.37
Elizabethtown	122,000.00	101,900.24	22,127.37	(2,027.61) ¹
Prestonsburg	121,837.34	84,159.64	20,240.77	17,436.93
Somerset	150,000.00	41,821.30	90,671.37	17,507.33
Subtotal	<u>616,275.50</u>	<u>351,841.52</u>	<u>212,483.98</u>	<u>51,950.00</u>
3. Medical Center:				
Dental Science	1,013,789.00	900,974.53	62,598.08	50,216.39
University Hospital equip.	2,339,549.02	2,190,118.22	81,241.32	68,189.48
Medical Science	1,337,428.47	1,305,726.11	23,607.20	8,095.16
Activation of inventory	57,000.00	54,527.40	262.50	2,210.10
University Hospital	550,000.00	527,761.87	2,072.49	20,165.64
University Hospital	348,000.00	332,103.15	4,431.87	11,464.98
Subtotal	<u>5,645,766.49</u>	<u>5,311,211.28</u>	<u>174,213.46</u>	<u>160,341.75</u>
4. Other Acquisition of Equipment:				
Pence Hall			19,434.79	(19,434.79) ¹
Administration Building renovation	15,480.00		15,479.81	.19
Subtotal	<u>15,480.00</u>		<u>34,914.60</u>	<u>(19,434.60)¹</u>
Other Improvements:				
1. Utilities:				
Offsite utilities	259,700.00	13,125.00	1.00	246,574.00
Modification of heating system-				
Southeast Community College	11,500.00	9,849.29	1,093.62	557.09
Electrical distribution system	379,129.36	369,440.66	1,494.34	8,194.36
Campus lighting	102,516.86	101,697.41		819.45
Primary electrical loop # 3	16,000.00		6,000.00	10,000.00
Subtotal	<u>768,846.22</u>	<u>494,112.36</u>	<u>8,588.96</u>	<u>266,144.90</u>
2. Farm Improvements-Roads Mercer				
Farm	666.97			666.97
3. Mapping-Aerial Mapping				
	11,266.00	5.21	11,256.00	4.79
Total other improvements	<u>780,779.19</u>	<u>494,117.57</u>	<u>19,844.96</u>	<u>266,816.66</u>

¹ Negative amount

University of Kentucky
Statement of Unexpended Plant Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT D

Interest Costs During Construction	<u>Appro-</u> <u>priations</u>	<u>Expendi-</u> <u>tures</u>	<u>Encum-</u> <u>brances</u>	<u>Unencumbered</u> <u>Balance</u>
Consolidated Educational Buildings-				
Series A	\$ 397,525.15	\$ 357,915.74		\$ 39,609.41
Prestonsburg Community College	12,060.00	12,055.36		4.64
Hopkinsville Community College	59,025.47	56,424.33		2,601.14
Sigma Alpha Epsilon	1,775.00			1,775.00
Sigma Nu	1,775.00			1,775.00
Delta Gamma Sorority	1,775.00			1,775.00
<hr/>				
Total interest costs during construction	473,935.62	426,395.43		47,540.19
<hr/>				
Contingency Reserve:				
Phi Kappa Tau Fraternity House	(20,000.00) ¹			(20,000.00) ¹
Low rise dormitories-phase I	45,344.55			45,344.55
Central dining facility-dorm complex	900,000.00			900,000.00
Clearing	140,922.34			140,922.34
Pence Hall	5,424.00			5,424.00
Animal care facilities	111,500.00			111,500.00
Hopkinsville Community College	97,340.08			97,340.08
College of Education	4,874.06			4,874.06
College of Law	269.00			269.00
College of Commerce	46,405.59			46,405.59
Horse Barn " C "	1,500.00			1,500.00
Medical Center clinical research laboratory	4,462.00			4,462.00
Prestonsburg Community College	39,091.87			39,091.87
Somerset Community College	73,919.00			73,919.00
College of Engineering	61,254.67			61,254.67
Agr. Res. Center Auditorium	13,855.00			13,855.00
ASC Headhouse and Greenhouses	3,877.00			3,877.00
<hr/>				
Total contingency reserve	1,530,039.16			1,530,039.16
<hr/>				
TOTAL UNEXPENDED PLANT				
FUNDS (Exhibit A)	\$ 47,161,519.74	\$ 34,246,771.14	\$ 9,558,159.19	\$ 3,356,589.41
<hr/>				

¹ Negative amount

University of Kentucky
Statement of Retirement of Indebtedness Funds
For the Four Month Period Ended October 31, 1965

EXHIBIT E

Debt Services:	Appro- priations	Expendi- tures	Unencumbered Balance
2nd PWA Issue	\$ 15,259.62	\$ 7,630.00	\$ 7,629.62
Bowman Hall	24,145.81	1,892.50	22,253.31
Auditorium-Field House	59,651.28	47,475.00	12,176.28
Library Service Building	129,145.72	1,527.50	127,618.22
Stadium Addition	17,003.65	975.00	16,028.65
Chi Omega	5,589.85	3,084.50	2,505.35
Journalism Building	33,132.34	12,825.00	20,307.34
Alpha Delta Pi	5,859.22	3,720.00	2,139.22
Dorms A, B, C, D, E, and F.	24,254.28	3,003.73	21,250.55
Kappa Sigma	12,557.01	1,501.88	11,055.13
Lambda Chi	14,252.35	1,501.88	12,750.47
Phi Sigma Kappa	11,947.18	1,501.88	10,445.30
Pi Kappa Alpha	13,117.49	1,501.88	11,615.61
Donovan Hall	63,550.50	18,500.50	45,050.00
Keeneland Hall	32,481.28	9,363.78	23,117.50
Cooperstown Apartments	257,086.85	38,856.88	218,209.97
Dorms G, H, I, J, K, and L.	52,643.84	18,820.00	33,823.84
Dorms G, H, I, J, K, and L.-Reserve	50,000.00		50,000.00
Phi Delta Theta	7,943.00	2,895.00	5,048.00
Holmes Hall	63,000.00	28,855.00	34,145.00
Shawneetown Apartments	120,000.00	64,822.50	55,177.50
Delta Tau Delta	7,181.00	5,580.00	1,601.00
Alpha Tau Omega	8,112.55	2,995.00	5,117.55
Haggin Hall	102,000.00	48,261.66	53,738.34
Consolidated Educational Buildings- Series A	621,993.36	260,155.57	361,837.79
Alpha Gamma Rho and Sigma Chi	17,027.00	7,528.44	9,498.56
Blazer Hall	80,000.00	39,927.03	40,072.97
Community Colleges Educational Buildings-- Series A	84,039.00	35,358.75	48,680.25
Consolidated Educational Buildings--Series B	576,828.62	245,534.56	331,294.06
Student Center	120,710.19	58,575.25	62,134.94
Community Colleges Educational Buildings-- Series B	62,206.00	18,039.75	44,166.25
Housing Bonds of 1964	32,500.00		32,500.00
TOTAL RETIREMENT OF INDEBTEDNESS			
FUNDS (Exhibit A)	\$ 2,725,198.99	\$ 992,210.42	\$ 1,732,988.57

University of Kentucky
Statement of Sinking Fund Reserves
For the Four Month Period Ended October 31, 1965

EXHIBIT F

Sinking Fund Reserves:	<u>Appropriations</u>	<u>Unencumbered Balance</u>
Student Union Building	\$ 50,210.40	\$ 50,210.40
Holmes Hall	143,349.21	143,349.21
Shawneetown	297,104.73	297,104.73
Donovan Hall	151,532.87	151,532.87
Keeneland Hall	80,465.06	80,465.06
Consolidated Educational Buildings- Series A	682,703.55	682,703.55
Haggin Hall	133,111.01	133,111.01
Alpha Gamma Rho and Sigma Chi	35,850.65	35,850.65
Blazer Hall	64,834.95	64,834.95
Community Colleges Educational Buildings- Series A	18,080.94	18,080.94
Consolidated Educational Buildings- Series B	209,287.10	209,287.10
Community Colleges Educational Buildings- Series B	<u>18,427.25</u>	<u>18,427.25</u>
 TOTAL SINKING FUND RESERVES (Exhibit A)	 <u>\$1,884,957.72</u>	 <u>\$1,884,957.72</u>

University of Kentucky
 Summary of Other Fund Transactions
 For the Four Month Period Ended October 31, 1965

EXHIBIT G

Funds:	Balances <u>July 1, 1965</u>	<u>Receipts</u>	<u>Expendi- tures</u>	Balance <u>October 31, 1965</u>
Loan Funds:				
Federal sponsored loan programs:				
Federal government	\$1,351,482.00	\$ 250,285.50		\$1,601,767.50
University of Kentucky	150,164.68	28,952.14		179,116.82
Accumulated interest income	17,476.99	3,173.94		20,650.93
University student loan funds	187,347.91	(28,199.98) ¹		159,147.93
University special student loan funds	49,781.58	10,650.62		60,432.20
United Student Aid loan fund	2,000.00			2,000.00
	<hr/>			
TOTAL LOAN FUNDS	\$ 1,758,253.16	\$ 264,862.22		\$ 2,023,115.38
	<hr/>			
Endowment Funds:				
	<hr/>			
TOTAL ENDOWMENT FUNDS	\$ 411,278.85	\$ 6,450.00		\$ 417,728.85
	<hr/>			
Agency Funds:				
	<hr/>			
TOTAL AGENCY FUNDS	\$ 270,865.74	\$ 749,917.25	\$ 864,794.26	\$ 155,988.73
	<hr/>			

¹ Negative amount

Quarterly Report of Contracts & Grants Received by
The University of Kentucky Research Foundation for the Period
July through September 1965

2

COLLEGE OF AGRICULTURE

Animal Science

Dr. W. P. Garrigus - for Meats Research in the department
Field Foundation of Owensboro, Kentucky \$ 250.00

Agronomy

Dr. Andrew J. Hiatt - Investigation of Metabolic Processes
of Certain Compounds in Tobacco Plants, United States De-
partment of Agriculture 137,000.00

Dr. H. F. Massey - Investigations on the Development of an
improved Method for Determining Selected Polynuclear Aro-
matic Compound in Cigarette Smoke, United States Depart-
ment of Agriculture 119,107.00

Dr. John L. Ragland - Investigation of Relation of Nitrogen
Nutrition of Tobacco to Smoking and Health, United States
Department of Agriculture 76,500.00

Horticulture

Dr. H. C. Mohr - for research on orchids, Blue Grass
Orchid Society 3,600.00

Plant Pathology

Dr. R. A. Chapman - Investigations on the Effect of
Foliage Microflora and Viruses on Composition of
Tobacco, United States Department of Agriculture 45,080.00

SCHOOL OF ARCHITECTURE

Dr. John W. Hill - Emergency Operations Center Re-
search, Department of the Army, Office of Civil Defense 8,529.00

COLLEGE OF ARTS & SCIENCES

Anthropology

Dr. D. W. Schwartz - Archeological Excavations in the
Fistrap Reservoir, United States Park Service 6,000.00

Dr. D. W. Schwartz - Excavations at Site P112 in Fish-
trap Reservoir, United States Park Service 1,500.00

Chemistry

Dr. E. V. Brown - Investigations of the Chemical Com-
position of the Heterocyclic Bases in Cigarette Smoke,
United States Department of Agriculture 71,465.00

Sub-Total \$ 469,031.00

Dr. J. M. Patterson - High Temperature Reactions of Substitutes Pyrroles, United States Department of the Army Research Office-Durham, North Carolina	\$ 12,786.00
Dr. J. M. Patterson and Dr. Walter T. Smith, Jr., - Investigations of the Chemical Composition of the Pyrolytic Products of Amino Acids, United States Department of Agriculture	71,459.00
Dr. J. M. Patterson - for research on the Synthesis of some Substitutes Piperidines, National Institutes of Health	6,398.00
Dr. E. V. Brown - for the research on the Study of Azo Compounds Producing Hepatomas in Mice, National Institutes of Health	8,340.00
<u>English</u>	
Professor Wendell E. Berry - Rockerfeller Foundation Grant to Professor Berry, The Rockefeller Foundation	7,500.00
<u>Mathematics</u>	
Dr. W. C. Royster - Foreign Science Fellowship, National Science Foundation	14,395.00
<u>Microbiology</u>	
Dr. Ralph F. Wiseman - for research on Intestinal Bacteria-Uric Acid Interrelationships, National Institutes of Health	17,195.00
Dr. Jack N. Baldwin - for Studies of Staphylococcus Aureaus by Transduction, National Institutes of Health	20,692.00
Dr. Ralph H. Weaver - for research in Amino Acid Tests for Identification of Clostridia, National Institutes of Health	9,616.00
Dr. Ralph F. Wiseman - for research project on Intestinal Bacteria, National Institutes of Health	17,760.00
COLLEGE OF ENGINEERING	
<u>Civil Engineering</u>	
Dr. Bobby Ott Hardin - for research pertaining to Frozen Soils and Soils Subjected to Freeze-Thaw, National Science Foundation	31,900.00
Dr. Robert A. Lauderdale - Training in Water Supply and Pollution Control, National Institutes of Health	26,120.00
<u>Electrical Engineering</u>	
Dr. Donald A. Calahan - for Studies in the Generation of Equivalent Networks, Air Force Office of Scientific Research	46,741.00
Sub-Total	\$ 290,902.00

Wenner-Gren Aeronautics Research Laboratory

Dr. K. O. Lange - Impedance Research, United States
Air Force, Research and Technology Division \$ 27,367.00

COLLEGE OF COMMERCE

Bureau of Business Research

Dr. John L. Fulmer - A Study of the Effects of Industriali-
zation on Local Government in Five Selected Rural Small
Towns in Kentucky, United States Department of Agriculture 12,023.00

COLLEGE OF DENTISTRY

Drs. R. S. Luebke and E. R. Lalonde - Oral Absorption and
Saliva, United States Department of Agriculture 79,799.00

COLLEGE OF MEDICINE

Biochemistry

Dr. R. S. Schweet - Mechanism of Carcinogens, United
States Department of Agriculture 84,471.00

Medicine

Dr. J. E. Cohn - Tracheal Rings, United States Depart-
ment of Agriculture 53,681.00

Dr. N. J. Pisacano - for the meeting of the Board of Family
Practice, United Medical Laboratories, Inc. 3,000.00

Pathology

Dr. R. J. Muelling - Trace Metals, United States Depart-
ment of Agriculture 48,130.00

Dr. D. L. Weiss - Investigation on Biological Assaying to
Tobaccos, smoke condensates, etc., United States Depart-
ment of Agriculture 110,773.00

Dr. D. L. Weiss - Investigation of Alternate Screening
Techniques, United States Department of Agriculture 21,184.00

Dr. D. L. Weiss - Fine Structure Electron Microscope,
United States Department of Agriculture 100,865.00

Dr. D. L. Weiss - Myxovirus Tobacco Smoke Condensate,
United States Department of Agriculture 15,690.00

Physiology

Dr. L. D. Carlson - Training Program in Physiology,
National Institutes of Health 53,746.00

Dr. L. D. Carlson - for research on Calorimetric Studies
of Transition States, National Institutes of Health 13,813.00

Psychiatry

Drs. J. B. Parker and Hugh A. Storrow - Psychiatry Under-
graduate Training Grant 41,446.00

Sub-Total \$ 665,988.00

INSTITUTIONAL GRANTS

American Cancer Society Grant

\$ 20,000.00

National Science Foundation Grant

29,199.00

Sub-Total

\$ 49,199.00

GRAND TOTAL

\$1,475,120.00

STAFF

UNIVERSITY OF KENTUCKY
SUMMARY OF PERSONNEL CHANGES

July 1965

() = Credit

	<u>New</u>	<u>Appt.</u>	<u>Resig.</u>	<u>Inc. in Sal.</u>	<u>Dec. in Sal.</u>	<u>Trans. of Employ.</u>	<u>Chg. in Rank</u>	<u>New Pos.</u>	<u>Pos. Elim.</u>	<u>Sab. Leave</u>	<u>Reappt.</u>	<u>LWOP</u>	<u>Amount</u>	
Agr. & Home Ec.	1	1									1		(891.02)	
Arch.														
Arts & Science	3	4									1		(9671.04)	
Commerce														
Dentistry	2	4									1		5.16	
Education	1		1										(3458.00)	
Engineering		1									1		(3120.00)	
Law														
Medical Center	1			2		1					1		526.70	
Medicine	3	9		1		5		1			4		(18920.44)	
Nursing														
Pharmacy		1											(3777.00)	
Grad. School														
Comm. Colleges														
Org. Act. & Res.	1	1				1					1		756.81	
Exp. Station	5	4		2		1					10		1571.98	
Ext. & Pub. Serv.	2	4									2		(10514.20)	
Agr. Extension	3	3				2		1			15		9127.32	
Bus. Adm.	6	4		2							2		(4472.75)	
Office of Pres.														
Exec. V-Pres.	9	5		8		3					3		(9005.86)	
Univ. Relations														
Dean of Men		1									2		(3565.50)	
Dean of Women		1											(6875.00)	
Retirement												3	(3807.60)	
Serv. Ent.	1												(90.00)	
Aux. Ent.	4	2		1				1			12		4448.96	
Univ. Hosp.	27	24		19		2		7			10		3	(32159.17)
TOTALS	69	69		36		18		13			66		76	(93,890.65)

UNIVERSITY OF KENTUCKY
SUMMARY OF PERSONNEL CHANGES

July 1965

Instructor

() = Credit

	<u>New Appt.</u>	<u>Resig.</u>	<u>Inc. in Sal.</u>	<u>Dec. in Sal.</u>	<u>Trans. of Employ.</u>	<u>Chg. in Rank</u>	<u>New Pos.</u>	<u>Pos. Elim.</u>	<u>Sab. Leave</u>	<u>Reappt.</u>	<u>LWOP</u>	<u>Amount</u>
Agr. & Home Ec.												
Arch.												
Arts & Science				1								(2400.00)
Commerce												
Dentistry	1	1										9424.16
Education												
Engineering										1		1800.00
Law												
Medical Center												
Medicine	1			1		1				2		15520.00
Nursing												
Pharmacy												
Grad. School												
Comm. Colleges												
Org. Act. & Res.												
Exp. Station												
Ext. & Pub. Serv.												
Agr. Extension												
Bus. Adm.												
Office of Pres.												
Exec. V-Pres.												
Univ. Relations												
Dean of Men												
Dean of Women												
Retirement												
Serv. Ent.												
Aux. Ent.												
Univ. Hosp.												
TOTALS	2	1	1	1	1	1				3		24,344.16

Assistant Professor

UNIVERSITY OF KENTUCKY
SUMMARY OF PERSONNEL CHANGES

() = Credit

July 1965

	<u>New</u>	<u>Appt.</u>	<u>Resig.</u>	<u>Inc. in Sal.</u>	<u>Dec. in Sal.</u>	<u>Trans. of Employ.</u>	<u>Chg. in Rank</u>	<u>New Pos.</u>	<u>Pos. Elim.</u>	<u>Sab. Leave</u>	<u>Reappt.</u>	<u>LWOP</u>	<u>Amount</u>
Agr. & Home Ec.													
Arch.													
Arts & Science													
Commerce													
Dentistry													
Education													
Engineering													
Law													
Medical Center													
Medicine													
Nursing													
Pharmacy													
Grad. School													
Comm. Colleges													
Org. Act. & Res.													
Exp. Station													
Ext. & Pub. Serv.													
Agr. Extension													
Bus. Adm.													
Office of Pres.													
Exec. V-Pres.													
Univ. Relations													
Dean of Men													
Dean of Women													
Retirement													
Serv. Ent.													
Aux. Ent.													
Univ. Hosp.													
TOTALS	3	4	1	16	2	9	2	87,267.24					

Associate Professor

UNIVERSITY OF KENTUCKY
SUMMARY OF PERSONNEL CHANGES

July 1965

() = Credit

	<u>New</u>	<u>Inc.</u>	<u>Dec.</u>	<u>Trans.</u>	<u>Chg.</u>	<u>New</u>	<u>Reappt.</u>	<u>LWOP</u>	<u>Amount</u>
	<u>Appt.</u>	<u>in</u>	<u>in</u>	<u>of</u>	<u>in</u>	<u>Pos.</u>			
		<u>Sal.</u>	<u>Sal.</u>	<u>Employ.</u>	<u>Rank</u>	<u>Elim.</u>			
		<u>Resig.</u>							
Agr. & Home Ec.							1		(400.00)
Arch.									
Arts & Science		1		2					(7562.50)
Commerce							1		(15293.34)
Dentistry							1		
Education									(5100.00)
Engineering	1								(4350.00)
Law									
Medical Center									
Medicine	2		1	11			3	1	(81366.07)
Nursing									
Pharmacy									
Grad. School									
Comm. Colleges									
Org. Act. & Res.									
Exp. Station	1								(2640.00)
Ext. & Pub. Serv.		1						2	4976.66
Agr. Extension									
Bus. Adm.									
Office of Pres.									
Exec. V-Pres.									
Univ. Relations									
Dean of Men									
Dean of Women									
Retirement									
Serv. Ent.									
Aux. Ent.									
Univ. Hosp.									
TOTALS	3	1	2	1	11	2	4	4	(111,705.25)

Professor

() = Credit

UNIVERSITY OF KENTUCKY
SUMMARY OF PERSONNEL CHANGES

July 1965

	<u>New</u>	<u>Appt.</u>	<u>Resig.</u>	<u>Inc.</u>	<u>Dec.</u>	<u>Trans.</u>	<u>Chg.</u>	<u>New</u>	<u>Pos.</u>	<u>Sab.</u>	<u>Reappt.</u>	<u>LWOP</u>	<u>Amount</u>
				<u>in</u>	<u>in</u>	<u>of</u>	<u>in</u>	<u>Pos.</u>	<u>Elim.</u>	<u>Leave</u>			
				<u>Sal.</u>	<u>Sal.</u>	<u>Employ.</u>	<u>Rank</u>						
Agr. & Home Ec.													
Arch.													
Arts & Science	1	1	2							2			(23110.00)
Commerce		1	1										(12066.00)
Dentistry													
Education		2								1			(14534.34)
Engineering													
Law													
Medical Center													
Medicine		1				15				1	2		(109395.69)
Nursing													
Pharmacy													
Grad. School													
Comm. Colleges													
Org. Act. & Res.													
Exp. Station													
Ext. & Pub. Serv.													
Agr. Extension													
Bus. Adm.													
Office of Pres.													
Exec. V-Pres.													
Univ. Relations				1									1037.00
Dean of Men													
Dean of Women													
Retirement													
Serv. Ent.													
Aux. Ent.													
Univ. Hosp.													
TOTALS	1	5	4	4		15				4	2		(158,069.03)

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Waiver of Nepotism Rule

Recommendation: that the nepotism rule be waived in order to permit the employment of Mrs. Bernice E. Lott as Research Associate in the Department of Psychology.

Background: the Governing Regulations of the University provide that no relative by blood or marriage of a member of the University staff holding the rank of associate professor or higher may be appointed to any position in the University unless it is impossible to fill the position with a qualified person. Exceptions may be made when the employment is temporary or part-time and the term of employment does not exceed six months in any calendar year. Mrs. Lott is the wife of Associate Professor Albert J. Lott, Department of Psychology.

II. Consultative Services

Recommendation: that the report on consultative services approved be accepted as presented.

Background: Approval has been given for the persons listed below to perform the services indicated with the usual understanding that such service will in no way interfere with the performance of regularly scheduled University duties.

Dr. Ernest McDaniel, Foundations of Education, College of Education, to serve as a consultant to the Frankfort State Training School for the next three years

III. Degrees and Certificates for Community College System

Recommendation: that those students who successfully complete two-year curricula (including general minimum requirements and the further requirements for the specific curriculum) shall be recommended by the Faculty of the Community College System for associate degrees as follows:

Associate in Arts - Students who complete recommended curricula in liberal arts fields for transfer toward baccalaureate degree programs and those who successfully complete a prescribed two-year ("terminal") curriculum in "General Studies" in arts and sciences fields (a program not related to a specific occupational field) shall be eligible for the Associate in Arts degree upon recommendation of the Faculty.

Associate in Science - Students who successfully complete curricula in pertinent science and pre-professional fields for transfer and those who successfully complete a prescribed two-year ("terminal") curriculum which emphasizes basic biological or physical science courses (not related to a specific occupational field) shall be eligible for the Associate in Science degree upon recommendation of the Faculty.

Associate in Applied Science - Students who successfully complete one of the prescribed two-year occupational curricula in technical or semiprofessional fields shall be eligible for the Associate in Applied Science degree upon recommendation of the Faculty. It is recommended that diplomas provide for designation of the major area or field of study.

Certificates - Students who have completed a prescribed curriculum requiring less than two years of college work shall, upon recommendation of the Faculty, be awarded a suitable certificate.

Background: This recommendation acknowledges the time-honored practice of junior colleges in awarding Associate in Arts degrees for two years of college work. All junior colleges in Kentucky and the vast majority of two-year colleges over the nation award associate degrees. Our policy should allow those students who do two years of college-parallel work to receive an appropriate degree.

The awarding of the Associate in Applied Science for the successful completion of two-year technical and/or semiprofessional curricula accepts the practice of many of the best community colleges. This recommendation is designed to recognize the achievement of all students and to permit all who choose to participate in modest commencement exercises.

It is contemplated that all students who will receive degrees and/or certificates during appropriate exercises at the respective community colleges will make application and pay graduation fees following the procedure prescribed for students on the Lexington campus.

IV. Appointments and Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of Associate Professor and above, for promotions, the granting of tenure, and salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION REQUIRED

New Appointments

Jack D. Zwemer, Associate Professor, Department of Community Dentistry,
College of Dentistry

ADMINISTRATIVE REPORT

Reappointments

Ronald E. Orth, Assistant Professor, Pharmaceutical Chemistry, College of
Pharmacy

William S. Johnson, Instructor, Pharmaceutical Chemistry, College of Pharmacy

Margaret T. Greynolds, Instructor, Department of English, College of Arts and
Sciences

Change in Assignment

John Ross, Assistant Director, Office of Student Services, Medical Center, title
changed to Recorder

Office of the President
November 19, 1965

PR 7

Members, Board of Trustees:

REORGANIZATION OF FOREIGN LANGUAGES PROGRAM

Recommendation: (1) that the President be authorized to reorganize the present areas of modern foreign languages and classics into four departments in the College of Arts and Sciences as follows: (a) Department of Germanic and Classical Languages and Literatures, (b) Department of French Language and Literature, (c) Department of Spanish and Italian Languages and Literatures, (d) Department of Slavic and Oriental Languages and Literatures.

Background: Foreign languages have become more important than ever in the programs of a university as it endeavors to fulfill individual, national, and international needs. Organization of foreign languages in the University is required for the development of program, effective instruction and research, and the attraction of additional capable faculty.

A faculty committee was recently appointed to study the structure of these departments and make recommendations leading to improvements. The committee has recommended the structure recommended now; pointing out that it is academically sound, has solid academic precedent, and would work efficiently in terms of the present instructional personnel at the University. The Interim Council and the Executive Committee of the College also endorse the proposal with the recommendation of the Dean of the College of Arts and Sciences. The adoption of the above recommendation will discontinue the present Departments of Modern Foreign Languages and Classics.

Office of the President
November 19, 1965

PR 8

Members, Board of Trustees:

APPROVAL OF THE PROGRAM PLANS FOR THE GENERAL
CLASSROOM-OFFICE BUILDING 1965

Recommendation: that the program plans and cost estimate for the General Classroom-Office Building be approved and that the President be authorized to proceed with the preparation of preliminary plans and specifications for the building.

Background: This project, estimated to cost \$10,000,000 represents the first major step in the implementation of the CENTRAL CAMPUS DEVELOPMENT PLAN. It is programmed to provide general classroom and office space for the accommodation of the many Arts and Sciences departments critically in need of additional space at this time. In contrast to many recent buildings which have been specifically designed for individual departments or colleges, this project will contain general purpose classrooms and offices capable of accommodating any of the non-laboratory or non-studio departments. In this way it will be possible to program 100 per cent occupancy of the project upon activation with the understanding that certain departments will be relocated as new facilities are constructed, thereby providing additional space for the expansion of those departments which will remain in the building permanently. The project will provide approximately 550 offices for faculty and staff, 375 offices for graduate assistants and 3,300 student classroom stations. A substantial portion of the cost is eligible for a facilities grant under the Higher Education Facilities Act from the Federal government and the remainder would be financed by existing bonding capacity.

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November 19, 1965

PR 9

Members, Board of Trustees:

RESOLUTION RELATIVE TO TRANSFER OF RESEARCH SUPPORT TO UKRF

Recommendation: that the following Resolution be adopted:

RESOLUTION

WHEREAS, the operations of The Fund for the Advancement of Education and Research in the University of Kentucky Medical Center dealing with the solicitation, negotiation, and administration of financial support from extramural organizations have been assumed by the University of Kentucky Research Foundation, and

WHEREAS, similar operations throughout the University of Kentucky will also be assumed by the University of Kentucky Research Foundation, be it

RESOLVED, that all present University of Kentucky financial accounts representing support for research, training, and related activities from extramural organizations such as the United States Public Health Service, and all other University of Kentucky accounts funded by extramural organizations, be transferred to the University of Kentucky Research Foundation; be it further

RESOLVED, that all future support, described above, will be similarly administered by the University of Kentucky Research Foundation.

Background: This resolution will effect the transfer of the administration of the University accounts relating to extramural support for research, training, and related activities to the University of Kentucky Research Foundation.

Office of the President
November 19, 1965

PR 10

Members, Board of Trustees:

APPROVAL IN PRINCIPLE OF THE CENTRAL CAMPUS DEVELOPMENT PLAN

Recommendation: that the CENTRAL CAMPUS DEVELOPMENT PLAN be adopted in principle as the third in a series of documents which constitute a statement of the University's long-range development objectives and requirements with the understanding that the area north of Columbia Avenue and east of Rose Street is now under re-study by the Planning Consultant and further recommendations on that area will be forthcoming.

Background: The CENTRAL CAMPUS DEVELOPMENT PLAN was presented to the Board of Trustees for its consideration at its meeting of September 21, 1965. The plan marks the completion of an eighteen month contract between the University and Crane and Gorwic Associates, Inc., planning and urban design consultants, and is based on the academic goals and objectives outlined in the University's 1964 academic analysis, "Beginning a Second Century." Adoption of the plan in principle is requested at this time in order to establish a broad physical framework within which the University can continue to develop its academic facilities with confidence, with the understanding that periodic revisions and additions to the plan will be issued as the University continues to develop its academic and physical plans in greater detail.

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November 19, 1965

PR 11

Members, Board of Trustees:

POLICIES RELATING TO SPINDLETOP RESEARCH, INC.

Recommendation: that the Chairman of the Board of Trustees appoint a committee of three members of the Board to serve with the President of the University as the University's component of a Joint Board Committee of the University of Kentucky and Spindletop Research, Inc.

Background: Recognizing that close working relationships are extremely important to both institutions, the Board of Trustees on March 20, 1964 adopted a Joint Policy Statement Regarding the Cooperative Utilization of Facilities and Equipment of the University of Kentucky and Spindletop Research, Inc. Likewise, this policy was adopted by the Board of Directors of Spindletop Research, Inc.

Moreover, a joint committee exists at the staff level between the two institutions to consider programs and relationships of mutual and supplementary assistance to each other.

While maintaining this joint staff committee and to strengthen further ties, the proposal is made that a joint committee of the two Boards, the presidents of the two institutions serving ex officio, be appointed and charged with the functions (1) to review continuously the adopted policies in light of operating experiences and (2) to foster closer working relationships as the research programs of the two institutions grow.

Upon corresponding action by the Board of Directors of Spindletop Research, Inc., the joint committee of the two Board would be formed. . This committee could then begin its functions by reviewing existent and feasible relationships in terms of the joint policy statement adopted by the two Boards in 1964.

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November 19, 1965

PR 12

Members, Board of Trustees:

RESOLUTION AUTHORIZING ADVANCES FOR PHYSICAL PLANNING

Recommendation: that the attached Resolution authorizing the filing of applications for advances to provide funds for physical planning be adopted.

Background: The attached Resolution authorizes the Vice President - Business Affairs and Treasurer to make application to the Federal Government, under Public Law 560, 83rd Congress, for advances to aid in financing the cost of engineering and architectural surveys, designs, plans, working drawings, specifications and other action preliminary to construction for the following four buildings: (1) the classroom-office building, (2) the biological sciences building, (3) the undergraduate library, and (4) the physical education building. A certified copy of the Resolution must accompany the applications.

RESOLUTION AUTHORIZING FILING OF APPLICATIONS WITH
THE UNITED STATES OF AMERICA FOR ADVANCES TO PROVIDE
FOR THE PLANNING OF PUBLIC WORKS UNDER THE TERMS OF
PUBLIC LAW 560, 83RD CONGRESS OF THE UNITED STATES, AS
AMENDED.

WHEREAS, the University of Kentucky (herein called the "Applicant") after thorough consideration of the various aspects of the problem and study of available data, has hereby determined that the construction of certain public works, generally described as, (1) a classroom-office building overlooking the botanical gardens, (2) a biological sciences building at the corner of Washington and Rose Streets, (3) an undergraduate library on the Lafferty Hall site, and (4) a physical education building south of the Housing Complex, all on the Lexington campus of the applicant, is desirable and in the public interest and to that end it is necessary that action preliminary to the construction of said works be taken immediately; and

WHEREAS, under the terms of Public Law 560, 83rd Congress, as amended, the United States of America has authorized the making of advances to public bodies to aid in financing the cost of engineering and architectural surveys, designs, plans, working drawings, specifications or other action preliminary to and in preparation for the construction of public works; and

WHEREAS, the applicant has examined and duly considered such act and the applicant considers it to be in the public interest and to its benefit to file applications under said act and to authorize other action in connection therewith;

NOW, THEREFORE, be it resolved by the Board of Trustees of the University of Kentucky, the governing body of said applicant, as follows:

1. That the construction of said public works is essential to and in the best interests of the applicant, and to the end that such public works may be provided as promptly as practicable it is desirable that action preliminary to the construction thereof be undertaken immediately;
2. That the Vice President, Business Affairs and Treasurer, presently Mr. Robert F. Kerley, be hereby authorized to file in behalf of the applicant applications (in form required by the United States and in conformity with said act) for advances to be made by the United States to the applicant to aid in defraying the cost of plan preparation for the above described public works, which will consist generally of final plans for the classroom-office building, and preliminary and final plans for the biological sciences building, undergraduate library, and physical education building described in the first paragraph hereof;

3. That if such advances be made, the applicant shall provide or make necessary arrangements to provide such funds, in addition to the advances, as may be required to defray the cost of the plan preparation of such public works;

4. That said Vice President, Business Affairs and Treasurer, is hereby authorized to furnish such information and take such action as may be necessary to enable the applicant to qualify for the advances.

5. That the officer designated in the preceding paragraph is hereby designated as the authorized representative of the applicant for the purpose of furnishing to the United States such information, data, and documents pertaining to the applications for advances as may be required; and otherwise to act as the authorized representative of the applicant in connection with such applications.

6. That certified copies of this resolution be included as part of the applications for advances to be submitted to the United States.

Office of the President
November 19, 1965

PR13

To the Board of Trustees:

RESOLUTION ACCEPTING COMMUNITY COLLEGE PROPERTY
AT PRESTONSBURG

Recommendation: that the following Resolution of acceptance of the Community College property at Prestonsburg be adopted.

Background: The Big Sandy Development Association purchased certain lands at Prestonsburg from the Porter heirs for the purpose of future expansion of the Prestonsburg Community College facility. The deed was presented to the President at the Prestonsburg convocation on October 13, 1965. The Resolution provides for formal acceptance of the property by the Board and expresses the Board's appreciation for the conveyance.

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY
ACCEPTING FROM THE BIG SANDY DEVELOPMENT CORPORATION CERTAIN LAND
SITUATED ON THE WATERS OF THE BIG SANDY RIVER IN THE CITY OF PRESTONSBURG,
FLOYD COUNTY, KENTUCKY

WHEREAS, the University of Kentucky has need of additional land at Prestonsburg, Kentucky for future expansion of its Prestonsburg Community College,

WHEREAS, the Big Sandy Development Association has purchased the aforementioned land from J. Graham Porter, Lyda M. Porter, and Susan P. Elliott for the sum of Thirty-one Thousand (\$31,000) dollars,

WHEREAS, the deed conveying the said land to the Commonwealth of Kentucky for the use and benefit of the University of Kentucky was presented to Dr. John W. Oswald by the Big Sandy Development Corporation on October 13, 1965.

NOW THEREFORE, be it resolved by the Board of Trustees of the University of Kentucky:

- I That the University of Kentucky hereby accepts the land given to it by the Big Sandy Development Association for the purposes of the Prestonsburg Community College.
- II That the Board of Trustees expresses its thanks and appreciation to the Big Sandy Development Association for its very significant contribution to the educational progress of the Commonwealth of Kentucky.

Office of the President
November 19, 1965

PR 14

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to award the honorary degree of Doctor of Laws to Chief Justice Earl Warren, and the honorary degree of Doctor of Science to Dr. Hugo Theorell, at the College of Law Centennial Convocation on December 4, 1965, and that the President be authorized to notify these persons that they have been selected to receive the honorary degree approved for each.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation.

EARL WARREN

Earl Warren, Chief Justice of the United States, was born in Los Angeles, California, in March 1891. He received the Bachelor of Laws degree from the University of California in 1912, the J. D. in 1914. Admitted to the California Bar in 1914, he practiced in San Francisco and Oakland. Progressively he served in the California Legislature as district attorney, as attorney general, and finally as governor of California from 1943-1953. He was the Republican candidate for Vice President of the United States in 1948. He was appointed Chief Justice in September 1953. He has served as special ambassador of the United States to the coronation of Queen Elizabeth II in 1953. He is Chancellor of the Board of Regents of the Smithsonian Institution and Chairman of the Board of Trustees of the National Gallery of Art. He is the recipient of the honorary degree, Doctor of Laws, from numerous institutions including his own alma mater.

HUGO THEORELL

Born at Linkoping, Sweden, in 1903 Hugo Theorell was educated at Linkopings Hogre Allm. Laroverk; Pasteur Institute, Paris; and he received the M. D. degree from the Royal Caroline Medico-Surgical Institute, Stockholm, in 1930. Two years later he was named assistant professor of biochemistry at Uppsala. From 1933-1935 he was associated with Otto Warburg at the Kaiser Wilhelm Institut fur Cell-physiologie in Berlin-Dahlem. Since 1937 he has been Director of the Department of Biochemistry of the Nobel Medical Institute in Stockholm and is a professor in

the Karolinska Institute. He was awarded the Nobel Prize in Physiology and Medicine in 1955 for discoveries concerning the nature and effects of oxidation enzymes. During the first semester 1965-66 he has served as Centennial Professor in Biochemistry at the University of Kentucky. He is a recipient of numerous honorary degrees including the Sorbonne, the University of Louvain, and from the University of Pennsylvania.

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November 19, 1965

PR 15

Members, Board of Trustees:

APPROPRIATION OF FUND BALANCES

Recommendation: That General Funds balances in the amount of \$471,000 be appropriated for the purposes detailed below and that the President be authorized to expend such balances for these purposes in the approximate amounts shown and further that the President be authorized to make necessary adjustment to the amounts to be expended for each purpose within the total appropriation of \$471,000.

1. Instructional improvement programs for summer, 1966 - Community College Faculty	\$ 32,000
2. Libraries Acquisitions for the Community College System and the University System	\$ 125,000
3. Instructional equipment and Research equipment related to improvement of instruction.	\$ 125,000
4. Minor Capital Expenditures	\$ 110,000
5. Provision of Space and office equipment for New Faculty and Required Moves of Existing Faculty	\$ 31,000
6. Non-recurring contingency expenditures at the discretion of the President	\$ 48,000
Total	\$ 471,000

Background: General Funds balances in the amount of \$471,000 are available as residual from operations of prior fiscal years. As a matter of fiscal policy such balances are best utilized for non-recurring types of expenditures to prevent the unauthorized expansion of the University's budget base. The purpose of item 1 is to assist Community College Faculty during the summer period in the preparation and activation of course offerings that are similar to those offered at Lexington. Items 2., 3., and 4. are self explanatory. Item 5 would provide funds for offices for new faculty and teaching assistants in the Fall of 1966 and funds to relocate existing faculty dislocated by the construction of the Classroom-Office building and other related changes. Item 6. would provide the President with some flexibility in administering the items already described and some minor needs unforeseen.

2. Recommendation: that \$300,000 now available in the University Fund be appropriated for capital purposes and the President be authorized to advance or expend this sum for both major and minor capital projects.

Background: Funds are needed to finance advance planning of major capital projects in both the University System and the Community College System. In the case of the latter, planning of each Community College site is underway or will be before the end of November 1965. In addition, planning will soon begin for Student Centers and additional academic facilities at each site. At Lexington several major projects have reached a planning stage which will require early appointment of architects. Advances made from this appropriation will be refunded from the proceeds of bond sales for the several projects. In addition, many minor capital projects are urgently needed and without this appropriation would remain unfunded.