

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Friday, May 9, 2014

The Board of Trustees of the University of Kentucky met on Friday, May 9, 2014 in the Board Room on the 18th floor of Patterson Office Tower, Lexington, Kentucky.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:03 p.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Jo Hern Curriss, Angela L. Edwards, William S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, David Hawpe, Kelly Sullivan Holland, Terry Mobley, Roshan Palli, C. Frank Shoop, James W. Stuckert, Irina Voro, John Wilson and Barbara Young. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Christine Riordan, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday and General Counsel William Thro.

The University faculty was represented by the Chair of the University Senate Council Lee Blonder, and the University staff was represented by the Chair of the Staff Senate Jeff Spradling.

Guests, other administrators and members of the news media were also in attendance.

C. Consent Items

Chair Brockman called attention to the two consent items on the agenda. The items were the minutes for the Board of Trustees meeting on April 1, 2014 and PR 2, Personnel Actions. Trustee Stuckert moved approval of the consent items and Trustee Mobley seconded the motion. Chair Brockman called for the vote and it passed without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, Board of Trustees Meeting, April 1, 2014
PR 2 Personnel Actions

D. Chairman's Report

Chair Brockman reported that there was one petition to appear before the Board of Trustees. Mr. Brock Meade, representing the UK – United Students Against Sweatshops was

granted permission to address the Finance Committee.

Chair Brockman announced that Dr. Robert Grossman, professor in the Department of Chemistry was elected by the University faculty as the next Faculty Trustee to the Board of Trustees. Dr. Grossman was elected to the position currently held by Dr. Irina Voro, whose term ends on June 30, 2014.

Chair Brockman recognized University Senate Council chair-elect Dr. Andrew Hippisley, a professor of Linguistics from the Department of English. Dr. Hippisley assumes the chairship of the Senate Council on June 1, 2014.

E. Executive Committee Report

Chair Brockman reported that the Executive Committee met to discuss the 2013-14 Presidential Evaluation process. The Committee discussed the feedback from the University Senate, Staff Senate and Student Government Association regarding the questionnaire used in the evaluation. The Committee approved the questionnaire and also authorized Chair Brockman to approach representatives of each constituency group to participate in the process.

G. President's Report

President Capilouto began by announcing that Dr. Lisa Cassis, Professor and Chair of the Department of Molecular and Biomedical Pharmacology, had agreed to serve as the Interim Vice President for Research. President Capilouto continued that Dr. Cassis has an extensive research portfolio that focuses on metabolic, vascular and obesity-associated diseases. She currently serves as the principal investigator on several multi-million dollar National Institutes of Health grants and is an affiliated faculty member in the Saha Cardiovascular Research Center. She earned her B.S. and Ph.D. in Pharmacology from West Virginia University and held post-doc positions at the University of Wurzburg and the University of Virginia. She has received several professional and academic honors, is a noted leader in her field, and is an exceptional colleague and mentor at UK. She will begin her term on June 2, 2014.

Dr. Cassis thanked President Capilouto and the Board for the opportunity to serve as Interim Vice President for Research. She stated that as a pharmacist and pharmacologist, she studies drug design, development and the action in the body. Her research on different types of cardiovascular metabolic diseases invites collaboration with other units, most prominently nutritional sciences. One area of her current research is in environmental toxins in Kentucky and how they promote Type 2 diabetes. She looked forward to her role as Interim Vice President to encourage collaboration and move the University's research interests forward.

President Capilouto stated that the University Research Professorships were established by the University of Kentucky in 1976. Their purpose is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort, recognize outstanding research achievement, emphasize the function of research and discovery, and publicize research accomplishments and the impact thereof. Nominations are made by UK faculty members, screened by a faculty committee appointed by the Vice President for Research

and approved by the Board of Trustees. The honor carries an award of \$40,000 to enable professors to devote time to their research or continue to teach and use the award to support research activities.

The first recipient was Dr. Richard J. Charnigo, Jr., a tenured full professor in the Departments of Biostatistics, and holds a joint appointment in the Department of Statistics in the College of Arts and Sciences. Dr. Charnigo received his Ph.D. in Statistics from Case Western Reserve University in 2003 and joined UK in August 2003. His research interests include theory and methods for mixture modeling and microarray data analysis, theory and methods for nonparametric regression and nanoparticle characterization and applications in cardiology, psychology, organizational behavior and public health. He has published more than 100 articles in peer-review journals, is currently an editor-in-chief of the Journal of Biometrics and Biostatistics, and has been principal investigator on grants from the National Science Foundation and the Army Research Office. He also currently is serving as President of the Kentucky Chapter of the American Statistical Association.

The second recipient was Dr. Francie Chassen-López, a tenured full professor in the Department of History. Dr. Chassen-López was also recently named a Provost's Distinguished Service Professor. She received her M.A. and Ph.D. from the National Autonomous University of Mexico, and her B.A. from Vassar College. She taught in Mexico City for ten years, first at the National University and later at the Autonomous Metropolitan University, where she attained the rank of Associate Professor with tenure. In 1988 she returned to the United States, where she joined the faculty at UK. She continues to work closely with colleagues in Mexico City and Oaxaca. She has been visiting researcher at both the Institute for Sociological Research and also at the Humanities Institute of the University of Oaxaca. She has twice served as Director of Latin American Studies and was the first woman to chair the UK Department of History. She has produced two single-authored books; two co-authored books; two short books; three edited short anthologies, and 37 journal articles and book chapters. She writes fluently in both Spanish and English, and several of her articles in English have been translated into Spanish. For her work, she has received the Tibesar Article Prize from the Council on Latin American History and also the Hallam Article Prize awarded by the UK Department of History.

The third recipient was Dr. Debra Moser, Professor and holder of the first endowed chair in nursing at the University of Kentucky. Her research concentrates on improving morbidity, mortality and quality of life outcomes in patients with heart failure and acute myocardial infarction. She has studied the interaction of psychosocial and clinical variables in heart failure and acute myocardial infarction. Her research program includes more than \$30,000,000 in funding. Dr. Moser's work has been recognized with more than 23 awards, including the Lembright and Heart Failure Research Awards from the American Heart Association Council on Cardiovascular Nursing. She is a fellow of the American Academy of Nursing and the American Heart Association. In addition to her academic position, she is the co-editor of the Journal of Cardiovascular Nursing, the co-director of the Research and Interventions for Cardiovascular Health (RICH) Heart Program, and the Director of the Center for Biobehavioral Research in Self-Management of Cardiopulmonary Disease. Known for expertise in heart failure and acute myocardial infarction patient care, she has published more than 290 journal articles, 25 chapters, and three books, and lectures extensively in these areas.

The final recipient, Dr. Mark A. Prendergast, received his doctoral degree in Developmental Psychobiology from the Department of Psychology at the University of Nebraska in 1994, with an emphasis on animal behavioral pharmacology. After completing his graduate training, Dr. Prendergast completed a three-year post-doctoral fellowship in the Department of Pharmacology and Toxicology at the Medical College of Georgia in Augusta, Georgia, working with Dr. Jerry Buccafusco, Director of the Alzheimer's Research Center. In 1997, Dr. Prendergast started a second post-doctoral fellowship in the Department of Pharmacology at the University of Kentucky. In 1999, he joined UK's Department of Psychology with direct responsibility for both undergraduate and graduate education. After three years of appointment as a tenure-track Assistant Professor, he was promoted to Associate Professor with tenure in 2005. In 2010, he was appointed to the rank of Full Professor and is also an Associate Member of the Spinal Cord and Brain Injury Research Center, a unit of the College of Medicine. Dr. Prendergast has maintained an externally funded research program since 1999, almost entirely based on awards from the National Institute on Alcohol Abuse and Alcoholism. In addition, as Principal Investigator, he is a training faculty member on two National Institutes on Drug Abuse (NIDA) funded T32 programs at the University of Kentucky and has been since 2000. To date, Dr. Prendergast's vitae shows 81 publications of refereed scientific manuscripts and four book chapters. Importantly, nine of these publications include one or more undergraduate students as co-authors. Since 2005, Dr. Prendergast has been the Area Coordinator for the Behavioral Neuroscience and Psychopharmacology Area of the Department of Psychology. This area is comprised of nine faculty members, with a graduate student population ranging from 20-25 students at all levels of progress annually. As Area Coordinator, Dr. Prendergast is the primary point of contact and interaction for undergraduate students interested in gaining research experience and for those applying to the graduate program.

Professors Charnigo, Chassen-López, and Prendergast were grateful for their award and the opportunity to share with the Board of Trustees the nature of their current research projects. Due to travel-related delays, Professor Moser was unable to attend.

President Capilouto continued that as Kentucky's flagship university, UK is home to the Commonwealth and region's best and brightest; students who compete and succeed alongside top talent from the world's top colleges and universities. This year, UK named its 15th and 16th Astronaut Scholars and 13th Truman Scholar. Additionally, UK has had 18 Goldwater Scholars since 1990 and two Gates Cambridge Scholars in the past six years.

President Capilouto was delighted to introduce two of these outstanding students. The first, computer science, mathematics and physics junior Samuel Saarinen, of Shelbyville, KY, was awarded the Barry M. Goldwater Scholarship worth up to \$7,500 per year. He was one of 283 students nationwide awarded the 2014 Goldwater Scholarship, which was selected on the basis of academic merit from a field of 1,166 mathematics, science and engineering students who were nominated by the faculties of colleges and universities.

Mr. Saarinen thanked President Capilouto and the Board of Trustees. He stated that his dream is to conduct world-class research in artificial intelligence and this scholarship will help him achieve this goal. He also thanked Dr. Pat Whitlow, Director of External Scholarships, for

her assistance with his application and Dr. Judy Goldsmith, professor in the Department of Computer Science, who is guiding his undergraduate research.

The second student, a political science junior and UK Women's Tennis Team member was Grace Trimble, of Winchester, KY. She has been named a 2014 Truman Scholar and will receive \$30,000 to conduct graduate work in areas of public service. Ms. Trimble is the only Truman recipient this year from a Kentucky college or university and is the 13th UK student to receive the honor from the Harry S. Truman Scholarship Foundation. Upon completion of her graduate degree, Ms. Trimble agrees to work in public service at least three years.

Ms. Trimble thanked President Capilouto and the Board of Trustees. She gave a brief history of her nonprofit tennis and education program for underprivileged youth, named "Smart Shots." The Truman Scholarship will allow her the chance to pursue her dream of helping others through athletics and education. An afterschool program, she hopes to expand Smart Shots across the state and eventually throughout southeast.

President Capilouto recognized and thanked Dr. Pat Whitlow, Director of UK's Office of External Scholarships for her help. He stated that her office provides students with individual preparation for some of the most challenging application processes. She coordinates the work of faculty mentors, helps fine-tune resumes and essays, and is a constant source of encouragement for these students.

President Capilouto stated that over the past year, the University has been considering dining options. Recently, the Dining Request for Proposal (RFP) Negotiating Team recommended that the University move forward to consider contract negotiations with a particular vendor, Aramark. President Capilouto stated that he had accepted that recommendation and invited Executive Vice President for Finance and Administration Eric Monday to provide a brief summary and update.

Executive Vice President Monday began by reviewing the goals set forth as the University set out to "build the best environment for student success." He stated that the dining experience is an essential piece for a successful residential university. Throughout the review and discussion, UK was committed to the following standards: providing for current UK Dining employees and their well-being, benefits and long-term employment security; opportunities for students and student employees; the focus on "Kentucky Proud," local food sources and sustainability; the University's land-grant mission, academic representation and expression; and the nutrition and wellness of UK students, faculty, staff and the community.

During the course of the 18-month discussion, certain opportunities became apparent. A public-private partnership, similar to the successful on-going housing transformation, was identified as a process that could provide enhanced meal plans and meal plan value and was a vehicle for facility investments in both new and current dining areas to accommodate the increase in students living on campus. A public-private partnership could also provide opportunities for growth in on-campus food brands and/or restaurant chains, quick-service options and a larger selection of fresh and nutritional menu options.

Executive Vice President Monday reviewed with the Board of Trustees the list of faculty, staff and students who were committee participants in the discussions leading up to a recommendation. Since January 2013, 23 different individuals took part in the Dining Project Revitalization Committee, Dining Request for Information (RFI) Taskforce and the Dining Request for Proposal (RFP) Committee. He continued that with President Capilouto's acceptance of the RFP Negotiating Team recommendation, UK would begin contract negotiations with the preferred partner. If the negotiations of this public-private partnership (P3) proved optimal, capital construction components could be recommended for Board of Trustees approval as early as June 2014. If approved, the transition of the dining program with several facility, menu and service enhancements would occur over the summer in time for the arrival of students in the fall.

In closing, Executive Vice President Monday reiterated some of the compelling and timely opportunities regarding UK's dining services. The opportunity can improve the quality of the dining experience at a lower cost, improve employment opportunities for staff and students, create an unprecedented educational and research enterprise/institute to focus on sustainability of food and local production, and continue the momentum of the campus transformation.

G. University Research Professorships (PR 3)

President Capilouto stated that PR 3 was the recommendation that the Board of Trustees approve the naming of Professors Richard J. Charnigo, Francie R. Chassen-López, Debra K. Moser and Mark A. Prendergast as University Research Professors for 2014-15.

Trustee Britton moved approval of PR 3. Trustee Mobley seconded the motion and it passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

H. Candidates for Degrees: May 2014 (ASACR 1)

Trustee Gannon, chair of the Academic and Student Affairs Committee, stated that ASACR 1 was the recommendation that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

On behalf of the Academic and Student Affairs Committee, Trustee Gannon asked for approval of ASACR 1 and it passed without dissent. (See ASACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. Candidate for Degree: December 2013 (ASACR 2)

Trustee Gannon stated that ASACR 2 was the recommendation that the President be authorized to confer upon the individual whose name appears on the attached list the degree to

which she is entitled upon certification by the University Registrar that she has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees. Due to an administrative error, the name was not previously submitted.

On behalf of the Academic and Student Affairs Committee, Trustee Gannon moved approval of ASACR 2 and it passed without dissent. (See ASACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

J. Finance Committee Report

Trustee James Stuckert, chair of the Finance Committee, stated that the Committee heard a presentation by Mr. Brock Meade and Ms. Autumn Murphy, representing the UK chapter of the United Students Against Sweatshops regarding the current discussion on UK Dining Services. Trustee Stuckert was impressed with their respect for the Board of Trustees, their passion for the concerns raised and the professionalism of their presentation.

K. Authorization of Lease between the University of Kentucky College of Dentistry and Kentucky Medical Service Foundation, Inc. (FCR 1)

Trustee Stuckert stated that FCR 1 was the recommendation that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky College of Dentistry and Kentucky Medical Services Foundation, Inc. (KMSF) for space located at 2195 Harrodsburg Road, Lexington.

Due to space limitations in the University of Kentucky College of Dentistry's (UKCD) current building, UKCD is seeking to expand Oral & Maxillofacial Services, Orthodontic Services and the General Practice Dentistry faculty practice currently located at Kentucky Clinic South to this new space. KMSF also intends to close the facility that is "Kentucky Clinic South" and relocate its faculty practice. By partnering with UK HealthCare in the expansion of their clinics in the same location, UKCD will be able to better serve its patient base. The majority of the patients served will be UK employees participating in the UK Dental Care Plans.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 1 and it passed without dissent. (See FCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. Establishment of Coldstream Research Campus Quasi-Endowment Fund (FCR 2)

Trustee Stuckert stated that FCR 2 is the recommendation that the Board of Trustees approve the establishment of the Coldstream Research Campus Quasi-Endowment Fund to provide for future capital projects and infrastructure improvements; and authorize the executive director of the Coldstream Research Campus, in consultation with the Executive Vice President for Finance and Administration to reinvest distributions, invest future positive cash flow and have the option to withdraw funds if needed to fund unforeseen capital projects.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 2 and it passed without dissent. (See FCR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Expansion of Purpose of Central Kentucky Management Services, Inc. (FCR 3)

Trustee Stuckert stated that FCR 3 was the recommendation that the Board of Trustees approve an expansion of the purpose of affiliated corporation Central Kentucky Management Services, Inc. (CKMS).

As the University's health care programs expand and become more diverse and widespread, there is a need to have a University affiliated corporation provide management, staffing and other support services to health care facilities, entities or enterprises (either University-owned or non-University owned) located beyond the boundaries of the University's main campus. CKMS has the ability to provide these services but requires an expansion of the purpose set forth in its Articles of Incorporation in order to do so.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 3. Chair Brockman opened the floor for discussion. Trustee Brothers asked for further clarification about the expanded duties of CKMS. Executive Vice President for Finance and Administration Eric Monday stated that the expansion of purpose would allow CKMS to be involved in the management or staffing of the management for facilities outside the Lexington campus. Chair Brockman called for the vote and it passed without dissent. (See FCR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Proposed 2014-15 Tuition and Mandatory Fees Schedule (FCR 4)

Trustee Stuckert stated that FCR 4 was the recommendation that the Board of Trustees approve the attached 2014-15 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education. The tuition and mandatory fees schedule reflects a five percent increase for most resident students and an eight percent increase for most non-resident students.

Trustee Stuckert stated that background on the recommendation included information from the April 29, 2014 Council on Postsecondary Education meeting that established tuition and mandatory fee parameters, including the maximum amount that can be charged. The Council is expected to approve each institution's proposed tuition and mandatory fee rates on June 20, 2014. The Council is transitioning to a two-year tuition setting cycle to facilitate strategic planning and budgeting processes at Kentucky institutions and make college costs more predictable for students and families. For the research and comprehensive universities the Council approved resident undergraduate tuition and mandatory fee ceilings for academic years 2014-15 and 2015-16 that do not exceed five percent in any one year and do not exceed eight percent over two years. The Council's actions also included maintaining the current floor for nonresident undergraduate tuition and mandatory fees of two times the resident undergraduate rate and allowing the public universities to submit market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 4. Chair Brockman opened the floor for discussion. Student Government President Roshan Palli offered that though no student likes tuition increases, “we do recognize the difficult higher education climate in this Commonwealth currently.” President Palli thanked President Capilouto, Eric Monday and the entire finance team for “working so diligently to mitigate the effects of our appropriations decrease” and ensuring that any student fee increases go to maintaining a high quality of education.

Trustee Curris inquired about the “class fees” and how these fees might impact the cost of programs. Provost Riordan explained that colleges were instructed to hold course fee increases to three percent. The course fee requests were currently being reviewed by the Provost Budget Office for submission as part of the 2014-15 Capital and Operating Budget proposal, which would be brought to the June 2014 meeting for the Board’s consideration. Trustee Curris requested a 2013-14 and 2014-15 report comparing in-state student costs of the top five most costly programs. This report would include tuition, mandatory fees and class fees.

Trustee Hawpe stated that the vote on 2014-15 tuition and mandatory fee is preceded by thorough discussions, briefings and deep consideration by both the Board of Trustees and the University administration.

Trustee Shoop inquired about the student fee included in the five percent tuition increase. Executive Vice President Monday explained that the new Student Center renovation fee (\$30 per semester) was provided for within the five percent tuition and fee increase.

Trustee Voro offered the following remarks and asked they be included in the minutes of the meeting.

“It seems to be the norm that, every time the university goes through the budget process, the administration tries to increase the tuition and fees. I guess it is just an easy thing to do. However, after three years on the board, I haven’t seen any credible numbers on our administrative costs and our overhead costs. It appears that our administrative costs are higher than our instructional costs. I wonder why... What is our administrator-to-faculty ratio? What is our administrator-to-student ratio? What is our “professional and para-professional” staff-to-student ratio? These are the ratios that are essential to understanding the actual spending we do at the University. Yet, I see that this essential information is not furnished to us while we consider increasing the tuition.

After years of only gentle protests, let me put it bluntly today: I doubt the administration’s explanation of the necessity of the tuition raise. I seriously doubt their numbers, and I am not convinced by the rest of their claims. As a university employee, I see every day how, because of the administrative inaptitude or, at times, outright malice, the university resources are wasted and the employee morale – another essential resource - is quashed. Most depressingly, I also see how students who come to UK with so much expectation, start to speak of their alma mater with open disappointment...

I don't buy the usual moans that our state is short-changing our University and that we have to raise tuition. The claims of the allegedly massive state "cuts" are not revealing the fact that, comparing to the year 2000, the state cuts of subsidies to UK have amounted only to about \$25 million a year, yet, compared to the same year 2000, the additional monies collected from students in tuition, amount to over \$200 million annually. This means that we are recouping from students nearly ten times as much as we lose in the form of state subvention cuts... Since the year 2000, our tuition has increased three-fold. What is presented today as a "moderate" tuition increase of 5% percent for in-state students would equal a draconian 15%, if the same dollar amount were asked from students in 2000.

Just think about this: the job-placement value of our diplomas is no better than it was in 2000. (In fact, it is likely worse, since today UK is only Top 59 among publics while it was Top 50 before former president Lee Todd took the helm in 2002.) However, to students the sticker price of our diplomas has tripled! We clearly have failed to fulfill the state charge to become a Top 20 public research university, and the administration is clearly down-shifting our research and graduate education as too expensive... Still, our administration keeps presenting the relatively small state cuts as a "valid" excuse for us to charge students more and more...

I believe that, it is by improving employee morale and using money smartly, other state research universities, like, the University of Vermont, for example, have left us in the dust in the rankings race. And the University of Vermont has much less state funding than we get... In 2002 we were poised to overtake UVM. Today, we are 20 spots behind them... Our employee morale is in the dumps and it is hard to understand how our administration manages to spend so much money with so little to show for it, really...

As a university employee, unfortunately, I have seen too few cases of wise and proper investment of the money that we collect from students, parents, taxpayers and donors. Yet, I routinely see how this money is being wasted on harmful administrative bloat, on vanity projects and bureaucratic games. I also see how the lack of real accountability, ethics and overall good governance destroy our ability to give our students and taxpayers the outcomes they deserve.

In particular, I see how the money is spent on embellishing personal credentials of an army of bureaucrats that grows by leaps and bounds. In the last four years, at my own college, the number of "executives" (associate deans and such), has tripled. Their salaries have virtually doubled. Meanwhile, as a teacher and researcher, I see no help from their work - only inconvenience, if not outright harm. Isn't it perplexing that the dean, his "executives", and various directors at my college spend more of the college money on their travel (some of which is of dubious benefit), than the dean allocates for research travel grants for

70+ faculty members working at my college... Is this a “research university” or a “bureaucracy university”?

I see that, at times, by administrative caprice of our administrators, the taxpayer and student money is spent on funding inconsequential research of fly-by foreign professors, while path-breaking research of UK’s own professors is not supported by a single cent. I see that the exploding applied music fees (that our students are forced to pay in addition to tuition) are not being used to alleviate the disastrous environment that the students are studying in nor on the maintenance of instruments they have to practice on... By not providing the services that the University charges them for, our administration is essentially defrauding our students. Yet, complaints about all of this – even at the president level - are met with stonewalling, bureaucratic taunting or retaliation. This is unethical, if not unlawful.

Behind the glib PR, I see that many of our students are robbed of not only the little money they have now, but also of their future - since some of them finish the University with mortgage-size debt... In exchange for the tripled tuition, they receive virtually the same instruction they would get here 15 years ago... The teachers who try to make the best of the diminishing to them resources are only “incentivized” by once-in-a-few-year’s salary inflation adjustments, yet crushed by ever-increasing class sizes, bureaucratic bloat, interference, empty slogans and retaliation against whistle-blowers. The latter naively believe that if the administration talks about high ethics and administrative accountability, it is actually interested in it, even during the official “Ethics and Compliance week” which the president announced this week. Personally, I see the never-ending string of waste, neglect and even cover-ups, including cover-ups of felonious acts, of which I informed our chair of the board and the administration three years ago and recently.

The things that I see and live through as UK employee, are supported by literally hundreds of complaints and comments that, as faculty-elected trustee, I have received during my term on this board. I have periodically been furnishing those faculty comments and complaints to you, and you all can either look back or request them from me again.

As some of you have noticed, it is virtually impossible to get a straight and timely answer from the administration on inconvenient questions. Some answers that are furnished are issued in such a manner as to confuse the trustee, to waste his or her time. Imagine what the “lesser mortals” are put through when they dare to put forward their requests for information.

To finish my statement: In view of such misuse of the university resources; in view of the utter unwillingness of our administration to be straightforward with us, and to use creative, inexpensive yet effective ways to improve the student and teacher experience, I will vote against the proposed

tuition raise. I urge all trustees to do the same, and I also urge you to try to learn more of what the faculty, staff and students know about the mismanagement taking place at our university.

Thank you. I respectfully request this statement be made part of the official minutes of the today's meeting.

Hearing no further discussion, Chair Brockman called the vote and it passed with 19 affirmative votes and one opposing vote from Trustee Voro. (See FCR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Proposed 2014-15 Room and Board Rates (FCR 5)

Trustee Stuckert stated that FCR 5 was the recommendation that the Board of Trustees adopt the proposed 2014-15 room and board rates. The proposed housing rates reflect no increase for traditional double room residence halls, a decrease of 19 percent in the single rate for the traditional residence halls, and a three percent increase for graduate and family apartments. The proposed housing rates for the premium residence halls and Greek housing reflect increases of three percent and no change, respectively.

The proposed dining rates reflect an increase of 3.9 percent for the minimum seven-meal per week plan, no change for the 21-meal per week plan, and a three percent increase for all other dining plans. Negotiations with potential private dining partners are ongoing and may result in lower dining rates. The President will report any reduction in rates to the Board of Trustees. The recommended room and board rates are necessary to generate sufficient revenue to cover anticipated operating costs.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 5 and it passed with 19 affirmative votes and one abstention from Trustee Voro. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

P. 2013-14 Budget Revisions (FCR 6)

Trustee Stuckert stated that FCR 6 was the recommendation that the Board of Trustees authorize and approve the revisions to the 2013-14 budget. The budget revisions outlined will increase the University of Kentucky's total budget by \$44,616,600, or 1.6 percent from \$2,728,069,400 to \$2,772,686,000.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 6 and it passed with 19 affirmative votes and one abstention from Trustee Voro. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Q. Capital Construction Report (FCR 7)

Trustee Stuckert stated that FCR 7 was the recommendation that the Board of Trustees approve the Capital Construction report for the three months ending March 31, 2014. Bob

Wiseman, Vice President of Facilities Management, gave a comprehensive report at the Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. Patent Assignment Report (FCR 8)

Trustee Stuckert stated that FCR 8 was the recommendation that the Board of Trustees accept the patent assignment report for the period October 1, 2013 through March 31, 2014.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Investment Committee Report

Trustee Bill Gatton, chair of the Investment Committee, reported that the Committee met on May 8, 2014 to review performance results and conduct other business. He reported that the Endowment Pool had a market value of \$1,170,000,000 as of March 31, 2014. For the nine months ended March 31st, the Endowment Pool returned 11.7 percent due to strong equity market returns during the period. The Endowment Pool outperformed the policy benchmark during the nine-month period by 162 basis points due to strong relative performance by the US and non-US equity asset classes, and the absolute return and real estate asset classes.

T. University Health Care Committee Report

Trustee Barbara Young, chair of the University Health Care Committee, reported that Dr. Peter J. Giannone reported on Neonatology and Pediatric Research at Kentucky Children's Hospital. Presently, UK has a 66-bed, Level IV Neonatal Intensive Care Unit (NICU) which is only one of two in the state of Kentucky. Through evidence-based patient care complimented by excellence in translational clinical and outcomes research, the UK Division of Neonatology is striving to become a regional and national leader. Currently, a National Institutes of Health (NIH) funded grant has provided funds for research at the UK Children's Hospital that focuses on the possible effects of delayed clamping of the umbilical cord in preventing brain hemorrhage in preterm infants. Preliminary results indicate that a delay of just 45 seconds increased blood volume by approximately 22% at birth. This result could have far reaching positive effects on low weight preterm infants that are susceptible to brain hemorrhage. In the future neonatal and pediatric research will aggressively pursue extramural funding that focuses attention on selected medical problems that emphasize innovations in treatment and care.

Murray Clark, chief financial officer, presented the March financial and statistical review. He reported that the trend of the first eight months continued into March. Inpatient discharges remain below budget expectations. However, adult and neonatal discharges remain above the prior year. Additionally, case mix has increased, pushing patient days higher. Continuing the

trend of the past months, this level of activity has created capacity constraints which have kept total admissions down. Outpatient activity is above budget and FTEs are greater but in line with activity. However, FTEs will increase some in preparation for new bed openings throughout the year. Payor mix continues to shift as compared to budget and the prior year as a result of the Medicaid Expansion program. The income statement shows the net revenues for the year have significantly exceeded expectations. This is driven by the case mix and outliers as well as the Medicaid expansion program. Expenses exceeded net revenues for the month contributing to a slightly lower margin than budgeted. The margin reflects the decision to transfer additional dollars within the system to the College of Medicine. The month's results brought the year-to-date operating income to \$68,300,000, \$14,100,000 above budget and \$7,900,000 above the prior year. Income from operations coupled with strong non-operating revenues has exceeded budget for the year. A strong investment income of \$26,900,000 for the year exceeds budget and prior year and puts non-operating revenues above budget by \$9,600,000 year-to-date. On the balance sheet, cash and cash equivalents for the month total \$58,600,000. The total includes \$50,600,000 in unrestricted funds, \$5,200,000 in restricted funds, and \$2,600,000 in the plant fund set aside to carry out projects currently under way. The unrestricted fund includes \$8,200,000 in funds advanced for Eastern State Hospital, which will be used for operations in May and June. Current liabilities in total are lower than year end reflecting the elimination of third party liabilities which have now become a third party receivable, unearned income from the Eastern State contract and Disproportionate Share Hospital (DSH) payment and the reduction of long term debt and capital leases through payment to debt holders. The financial median analysis indicates that total days cash on hand has declined since year end by 3.3 days due to the increase in operating expenses per day. However, total cash on hand has increased. Operating margin and earnings before interest, depreciation and amortization (EBIDA) exceed target, debt to capitalization has improved slightly since year end, and cash to debt has improved.

Trustee Young continued that Mr. Clark also presented a financial and statistical overview of Eastern State Hospital. The Eastern State Hospital and Central Kentucky Recovery Center management contracts are expense reimbursement arrangements plus an eight percent management fee. Year-to-date March revenues include \$188,500 in expense reimbursement for hospital transition. The total transition contract of \$1,000,000 was primarily utilized in FY 2013. Revenues also include \$1,800,000 in operating expense reimbursement, \$1,800,000 in operating management fees and \$223,100 in Central Kentucky Recovery Center rental revenues. Year-to-date March revenues are under budget primarily due to reimbursable expense running below budget. Personnel has been the primary driver of reimbursable expense, running below budget due to high vacancy rates in high full time equivalent (FTE) positions, including physicians.

Trustee Young reported that the Committee had two action items including FCR 1, for the authorization of a lease between the University of Kentucky College of Dentistry and Kentucky Medical Services Foundation and FCR 3, for the expansion of Purpose of Central Kentucky Management Services, Inc. FCR 1 and FCR 3 were approved by the Health Care Committee and sent on to the Finance Committee and Board of Trustees for approval.

Dr. Bernard Boulanger, chief medical officer, presented the Quality update with a focus on Operating Room (OR) efficiency. He stressed that its current goals are to ensure access to high quality, safe and efficient surgical care. Currently, however, high OR volume at Chandler

Hospital coupled with lack of patient beds to receive these patients has put severe constraints on efficient outflow from the operating room arena with many patients waiting for an appropriate bed following surgery.

Dr. Michael Dobbs presented for approval the current list of privileges and credentials. The committee made a motion to accept the privileges and credentials brought before them. The motion carried and was approved by the committee.

Trustee Young stated that she met with Chief Compliance Officer Brett Short and reviewed the Executive Compliance Committee FY 2014 third quarter report. As always she was amazed by the breadth of detail required by federal and state rules and regulations. Under the leadership of Brett Short and his staff, the Office of Corporate Compliance works hard to ensure that UK HealthCare maintains a culture of integrity at all times.

U. University of Kentucky Mining Engineering Foundation, Inc. Report

Trustee Jo Hern Curriss, along with Trustee Jim Stuckert are the two Trustee members that serve on the Mining Engineering Foundation Board of Directors. Trustee Curriss reported that the Foundation is comprised primarily of private individuals who have interests in the coal industry. This last year the Foundation has provided financial support towards a full-time recruitment position, undergraduate scholarships, undergraduate field trips, start-up and summer salary support for faculty and equipment purchases.

Highlights of the last year include the largest undergraduate mining engineering program enrollment in the nation with 227 undergraduates and 28 graduate students. This year the Department had the largest graduating class in program history. Student contributions included presentations at primary and secondary schools, hosts of UK tours, participation at the Boy Scout Camporee, and provided prizes to students at the Engineering Day competition.

Student prizes this year included first place in the 2013 Carlson Software National Senior Mine Competition and two national scholarships were awarded from the Society for Mining, Metallurgy & Exploration (SME).

Trustee Curriss also reported that the faculty of the Department of Mining Engineering had the highest number of research dollars (\$270,000) per full-time faculty in the College of Engineering and Professor Braden Lusk had received the Dean's Award for Excellence in Research.

Trustee Curriss concluded her report by referencing her Harlan County roots and she thanked Chair Brockman for giving her the honor and privilege of serving on the Foundation, as a part of her Board of Trustees responsibilities.

V. Item for Discussion – Campus and Student Safety

President Capilouto stated that in August, UK will welcome approximately 4,500 freshmen to campus. In addition to nearly 2,000 returning students, there will be another 24,000

students who will reside off campus. Along with 13,000 employees and thousands of visitors, all should expect a safe environment on campus and this is our responsibility.

President Capilouto stated he especially feels this responsibility when parents drop off students who, for the first time, will know a much higher level of independence. He said “it is at that moment the community of administrators, student affairs leaders, residence assistants, police officers and nearly all UK employees put students first and become – collectively – the parents for tens of thousands of students, whether they live on or off campus.”

In June 2012, the Board of Trustees approved the investment of over \$4,000,000 to enhance safety on campus through a more comprehensive use of new technology; one that can better monitor and control access to certain facilities on campus. The investment augments programs like SafeCats, Cats Cab, the Communities of Concern, and the Violence Intervention and Prevention Center (VIP).

President Capilouto continued that last May, he charged a workgroup on Student Health and Safety, focusing on prevention of alcohol abuse on and off campus, to reduce its associated harms on and off campus. Misuse of alcohol can be harmful to the individual user and innocent bystanders. The workgroup revealed data that our practices to strictly limit the use of alcohol on campus only changed where students used, but not rates of use and misuse compared to peers. The data reported sixty-seven percent of UK students do the majority of drinking off campus compared to thirty-nine percent in the SEC. Surveys showed UK is above the national average on such issues as:

- Quantity and frequency of drinking;
- Driving under the influence,;
- Alcohol-related sexual assault; and
- Blackout or binge drinking.

President Capilouto reported that this week President Obama shined greater light on student safety with new guidelines on ways to handle and prevent sexual misconduct and assault. Of note, the majority of students who have been sexually assaulted say they were under the influence of alcohol and often their assailants were as well. It is important to note that, “use of alcohol by the victim is no reason to blame the victim.” While UK was cited for certain best practices by the Obama administration in preventing sexual assault, President Capilouto stated there is more work to do. Effective solutions aren’t readily apparent and easily implemented. He stated that “we must start what will be never ending work to assess, intervene, assess the intervention and then improve. Our approach must be data-driven and evidence-based.”

President Capilouto welcomed Dr. Robert Mock, Vice President of Student Affairs, who will lead UK’s efforts to address these issues on campus related to student health and safety.

Dr. Mock began his presentation by reviewing the charge to the “President’s Workgroup on Student Health and Safety.” The committee was charged with the following:

- Assess individual and environmental factors that contribute to the misuse of alcohol policy by UK students on and off campus;
- Assess current campus practices and policies designed to promote responsible use of alcohol and prevention of alcohol abuse with reduction of associated harms;
- Review and evaluate new evidence and best practices available to the University and community to prevent alcohol abuse and reduce its associated harm on and off campus; and
- Provide summary evaluations and alternative strategies at the individual, campus, and community level designed to prevent alcohol abuse and its associated harms on and off campus as mechanisms for assessing the effectiveness of such strategies for the purpose of continuous quality improvement

Co-chaired by EVPFA Eric Monday, the workgroup had student, faculty, staff, professional and local government and community representation; and utilized resources from not only from the Office of Substance, Education and Responsibility, but national higher education experts as well.

Dr. Mock continued that during the coming months, the implementation phase will begin. It will include:

- Expanding the Code of Student Conduct beyond campus boundaries;
- Revising the University's alcohol policy to allow consumption on campus under predetermined guidelines and conditions;
- Improving and increasing student-focused prevention and education programs throughout campus and the surrounding community; and
- Ensuring compliance with the 2013 Campus Sexual Violence Elimination (SaVE) Act.

Part of UK's resources include the Violence and Prevention (VIP) Center, which recently made national news by being recognized as a model program by the White House and the Department of Justice. Along with the Green Dot Strategies (a comprehensive prevention strategy that focuses on the power of the bystander to make individual and social change) and Alcohol EDU (an education and early warning system for retention-related issues), Dr. Mock and the team will continue the work already underway.

Another strategy and partner for campus safety is the use of technology. UK Police Chief Joe Monroe updated the Board of Trustees on the installation of the Video Management System (VMS). In addition to an extensive network of camera surveillance, the system also incorporates access control into buildings, consolidates ID badge operations, and implements an early warning notification system. These improvements have increased awareness, safety and have helped solve crimes on campus.

W. Other Business

Trustee Voro asked to be recognized and began by pointing out that this week (May 4-10) was designated as "Corporate Compliance and Ethics Week." In the spirit of transparency, she began to retell her opinion/story regarding the condition of the pianos in the School of Music


in the College of Fine Arts. Chair Brockman interrupted and stated the story was “predicated on hearsay” and would not allow it to be repeated. Trustee Voro continued speaking from emails she sent to President Capilouto and Provost Riordan. At the conclusion of her statement, Trustee Stuckert opined that as the Board of Trustees sets policy, the Board of Trustees formal meeting was not the appropriate place for discussion of difficulties with her Department. Trustee Curris asked that if a faculty member felt dissatisfied with the results of a particular issue, what avenue would be appropriate. Chair Brockman responded that as Chairman of the Board of Trustees, he would refer it to a Board committee. For this particular instance, he would refer Dr. Voro’s issue to Human Resources/University Relations.

Chair Brockman invited Board members to the unveiling of a historical marker on the Main Plaza at the conclusion of the Board meeting. The marker exhibits the University Creed on one side and the UK Fight Song on the other side. The Creed, written by a Committee led by former Student Government President and Board member Stephen Bilas and included Trustee Kelly Holland, was adopted by the Board in May 2013.

X. Meeting Adjourned

Hearing no further business, Chair Brockman declared the meeting adjourned at 3:23 p.m.

Respectfully submitted,



Sheila Brothers
Secretary, Board of Trustees

(PR 1, PR 2, PR 3, ASACR 1, ASACR 2, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, FCR 6, FCR 7 and FCR 8 are part of the minutes of the meeting.)

PR 1

Office of the President
May 9, 2014

1. UK Hosts Record-Setting National Conference on Undergraduate Research

The Division of Undergraduate Education hosted the 2014 National Conference on Undergraduate Research (NCUR) April 3-5 on the UK campus. Nearly 4,200 students and mentors from across the country came to UK to present their research projects and creative endeavors, marking the largest NCUR in the conference's 28-year history. Preparation for an event of this size began over two years ago, and was made possible by the collaboration of many colleges and departments across campus, and by the help of hundreds of student, faculty and staff volunteers.

2. Capilouto Leads \$2.5 Million Project for Minority Participation in STEM

President Eli Capilouto is principal investigator of a five-year, \$2.5 million National Science Foundation (NSF) grant establishing the Kentucky-West Virginia Louis Stokes Alliance for Minority Participation (LSAMP) in the STEM disciplines (science, technology, engineering and mathematics). Coordinated by the UK Office for Institutional Diversity and UK's co-PI and engineering associate professor Johné Parker, the collaboration includes nine Kentucky and West Virginia institutions of higher learning. The grant will fund programs and initiatives at the alliance members' institutions to increase diversity in the STEM fields.

3. Owensboro Health, UK College of Pharmacy Partner on Pharmacy Residency

Owensboro Health Regional Hospital and the UK College of Pharmacy have announced a new and unique partnership to create a new pharmacy residency program based in Owensboro. Owensboro Health and the UK College of Pharmacy will be working together to offer the post-graduate year one residency program. The program represents the first such off-campus affiliation with the college.

4. Strategic Plan 'see tomorrow.' Speaker Series Continues

The Office of the Provost and the University Senate continued the "see tomorrow." Speaker Series. Janet A. Weiss, vice provost for academic affairs, graduate studies, and dean of the Rackham Graduate School at the University of Michigan, spoke on April 8. Previous speakers were Kathi Kern, associate professor in the Department of History in the College of Arts and Sciences and director of the UK Center for the Enhancement of Learning and Teaching, who spoke on February 27; David Attis, practice manager with the Education Advisory Board, who spoke on January 28; and John Thelin, professor of Educational Policy Studies at UK, who spoke on January 21.

5. UK Grant Supports Pedestrian Safety in Lexington, Louisville and Madison County

Kentucky was one of four states recently awarded a grant from the Safe States Alliance to implement a multidisciplinary model for improving pedestrian safety in these three communities. The grant was acquired by the Kentucky Injury Prevention and Research Center (KIPRC), a unified state and academic agency housed at the UK and dedicated to injury prevention. In addition to funding travel and training for a six-member team of officials representing the state, the grant provides \$40,000 for resources and seed funding for community-tailored pedestrian safety initiatives.

6. Kentucky Conference on Health Communication Held

The 13th biennial Kentucky Conference on Health Communication was held April 11-12 at the Hyatt Regency Hotel in downtown Lexington. KCHC is an internationally recognized venue for researchers, practitioners, and graduate students from health communication and a variety of related disciplines with interest in health promotion and disease prevention to share their work.

7. School of Journalism and Telecommunications Host Hall of Fame Luncheon

Seven new members were inducted into the Kentucky Journalism Hall of Fame Tuesday, April 29, at The Grand Reserve. The seven were inducted at a luncheon ceremony sponsored by the UK School of Journalism and Telecommunications Alumni Association. They join 189 other journalists inducted into the Hall of Fame since its 1981 inception.

8. Ellen Goodman Talk Kicks Off Inequality Conference

A March 27 appearance by Ellen Goodman, Pulitzer Prize-winning columnist, author, speaker and commentator, kicked off the two-day Conference on Political and Economic Inequality, hosted by the UK Department of History in the College of Arts and Sciences. Goodman spoke on "Inequality: Working Moms, Designated Daughters, and the Risks of Caregiving" at Memorial Hall.

9. Dentistry Brings Morgan Freeman to Campus

The UK College of Dentistry sponsored an appearance by Academy Award-winning actor, director and producer Morgan Freeman. The interview-style presentation, "A Conversation with Morgan Freeman," was held April 14 at the Singletary Center for the Arts. Proceeds from the event benefit the Drs. Nero and Biggerstaff Diversity Scholarship Endowment at the UK College of Dentistry.

10. Agriculture Continues Study Using Horses to Teach Emotional Intelligence

UK researchers in April launched a second study of the effectiveness of Equine Guided Leadership Education, a process of using horses to teach emotional intelligence and leadership competencies to nurse leaders. The encouraging results of a similar pilot study completed in November 2012 by the UK Center for Leadership Development in the College of Agriculture,

Food and Environment and UK HealthCare helped to make this next larger research phase possible. The new study's objective is to explore innovative ways to develop emotional intelligence skills in the areas of self-awareness, self-management, social awareness and relationship management, which create effective professional relationships and are critical to running safe, efficient and high performance hospital units.

11. UK Law Professor Files Amicus Brief With U.S. Supreme Court

UK College of Law Associate Professor Scott Bauries, along with two co-authors, has written an amicus brief that was filed with the U.S. Supreme Court in *Lane v. Franks*, an appeal for which the court heard oral argument on April 28. Bauries and his co-authors, Paul Secunda of Marquette University Law School and Sheldon Nahmod of Chicago-Kent College of Law, submitted the brief in support of the petitioner, a community college administrator who was allegedly fired for truthfully testifying in a criminal prosecution. The brief argues that the Supreme Court should reverse the 11th Circuit's decision denying First Amendment protection to the petitioner's testimonial speech.

12. UK Student's Composition Selected for Philharmonic Program

A composition by UK freshman and UK Percussion Ensemble member Connor Shafran was selected for the Lexington Philharmonic's "New Music Experiment" on April 12. The program provides composers high school age and older the opportunity to work with members of the Central Kentucky Youth Orchestra, LexPhil musicians and Maestro Scott Terrell in a workshop featuring the composers' own work. Shafran's work "Der Letzte Traum von Passchendaele," or "The Last Dream of Passchendaele," and other selected young composers' work were played by the Central Kentucky Youth Orchestra with LexPhil musicians serving as section leaders in the workshop under the direction of Terrell.

13. Program Improves Education Access for Kentuckians With Disabilities

The U.S. Department of Education has approved Bluegrass Community and Technical College (BCTC) as the second postsecondary institution in Kentucky to offer a Comprehensive Transition Program for students with intellectual disabilities. UK's Human Development Institute, through its Supported Higher Education Project (SHEP), works with BCTC to support students with intellectual disabilities as they enroll in courses. Additionally, SHEP provides professional development and technical assistance for faculty and staff.

14. WUKY Takes Home Top Radio Awards From KY Associated Press

WUKY's Josh James took home two first place awards: Best Radio Reporter and Best Short Serious News Feature for "Tax Cases Could Have Far Reaching Implications For State Libraries," a story detailing the legal cases challenging the authority of state libraries to raise tax levels. He was also named "Best of Show" in the radio category. Former WUKY reporter Brenna Angel received two honorable mentions: Best Short Light News Features for "So Long, Film: Movie Theaters Struggle to Keep Up with Digital Conversion" and Best Special Series Documentary for "Appalachian Colleges Struggling to Keep Students."

15. UK Radio and TV Broadcast Students Take AP Awards

Student News Network (SNN) and WRFL reporters won awards in the College Contest of the Kentucky Associated Press Broadcast Awards. Garrett Wymer won first place in the Best College Television Reporter category for "Tuition Hike." Elizabeth Suh won first place in the Best Public Affairs - TV category for "Free Speech." Other winners from SNN are Stuart Hammer and Garrett Gabehart. Winners from WRFL are Shelby Steele, second place in Best Sports Coverage - Radio for "Best High School Football Coach," and Hadley Stein and Candace Cruz, third place in Best Public Affairs - Radio for "Campus Voices - Social Media."

16. UPK Book on Breathitt County Wins Weatherford Award

University Press of Kentucky (UPK) author T.R.C. Hutton has been named the recipient of the 2013 Weatherford Award for nonfiction for his book "Bloody Breathitt: Politics and Violence in the Appalachian South." The Weatherford Award is presented by Berea College and the Appalachian Studies Association annually to honor books in fiction, nonfiction, and poetry that highlight different characteristics of the Appalachian South.

17. Dr. Jackie Noonan Named 'Gifted Educator' for 2014

Dr. Jacqueline (Jackie) Noonan, longtime faculty member at the UK College of Medicine and former chair of the Department of Pediatrics, has been named the "Gifted Educator" for 2014 by the American College of Cardiology. The award recognizes someone who has demonstrated innovative, outstanding teaching characteristics that contribute significantly to the field of cardiovascular medicine.

18. Hardin Memorial Hospital Joins Markey Affiliate Network

Hardin Memorial Hospital in Elizabethtown is the latest medical center to join the UK Markey Cancer Center Affiliate Network. The affiliation means more cancer patients across Kentucky will be able to receive the advanced specialty and subspecialty care of the UK Markey Cancer Center, recently named the 68th National Cancer Institute-designated cancer center in the country, and the only one in Kentucky. Other benefits include access to clinical trials and advanced technology while allowing patients to stay closer to home for most treatment.

19. UK Law Professor Publishes New Casebook

Josh Douglas, assistant professor at the UK College of Law, along with two co-authors, has published a casebook, "Election Law and Litigation: The Judicial Regulation of Politics." The 846-page book offers a student-friendly, practical approach with carefully designed pedagogical features. Its streamlined approach tracks the chronological order of an election, with significant focus on election administration.

20. UK's Sarah Dorpinghaus Selected for Archives Leadership Institute

In a competitive application process consisting of nearly 75 candidates, Sarah Dorpinghaus, digital projects manager at UK Libraries, has been selected to participate in the 2014 Archives Leadership Institute (ALI). ALI is a program funded by the National Historical Publications and Records Commission, a statutory body affiliated with the National Archives and Records Administration, and hosted by Luther College for 2014-15. The institute will provide advanced training for 25 innovative leaders, giving them the knowledge and tools to transform the archival profession in practice, theory and attitude.

21. UK Libraries Acquires Rare 16th, 17th Century Books on Music

UK Libraries' Cortot Collection added two rare books on music, the 17th century "Traité de la Viole" and the 16th century "Rerum musicorum opusculum rarum ac insigne." The volumes have been acquired and added to the collections rich theoretical inventory.

22. KGS Geologists Visit National Corvette Museum Sinkhole Collapse

Kentucky Geological Survey staff visited the National Corvette Museum in February to inspect the sinkhole that collapsed a portion of the museum floor. The collapse of the museum's concrete floor early on the morning of February 12 caused significant damage to the building and eight vintage Corvettes on exhibit at the Bowling Green attraction. Museum officials were alerted to the incident when their security company's motion detectors sounded that morning. The factors that caused or contributed to the formation of the sinkhole are presently under investigation.

23. Meteorite Collection Donated to KGS by Goshen Couple

A large collection of dozens of meteorite specimens found in Kentucky and around the world was recently donated to the Kentucky Geological Survey by collector William G. Russell of Goshen, Kentucky. Among the more important Kentucky specimens is a sample of a meteorite that fell through the roof of a house near Burnwell, in Pike County, on September 4, 1990. The largest piece of this stony meteorite is a 3.3-pound portion that went on display in the Smithsonian in 1997.

24. Trumpet Studio Students Place in National Competition

Students from the UK Trumpet Studio won several honors at the 2014 National Trumpet Competition, held March 20-23, in Mechanicsburg, Pennsylvania. The UK Trumpet Quintet took second prize in the highly competitive ensemble division. Music performance senior Jared Wallis, in addition to his honor with the quintet, advanced to the semifinal division of the solo competition performing "Concerto for Trumpet and Orchestra" by Charles Chaynes. The graduate solo division also saw UK alumnus Eric Millard awarded third prize.

25. 'Soup and Substance' Panel Addresses World Health Concerns

The Department of Communication's Advising and Student Success Committee partnered with the MLK Center to co-sponsor the World Health: Soup and Substance Night on April 17 in the MLK Center. Elisia Cohen was one of the panelists discussing health concerns in minority populations. The event was supported by a grant from the College of Communication and Information's Diversity Fund.

26. UK Dance Ensemble Turns 75 This Year

The UK Dance Ensemble, began by alumna Mary King Montgomery Kouns in 1939, celebrated its 75th birthday at its spring concert performances April 26 and 27 at the Singletary Center for the Arts.

27. UK Grabs MANRRS Chapter of the Year Award Again

The UK chapter of MANRRS — Minorities in Agriculture, Natural Resources and Related Sciences — was named National Chapter of the Year for the second consecutive year at the recent National MANRRS conference. This is the first time a school has received back-to-back chapter of the year recognitions. The UK chapter also won the Region 3 Chapter of the Year for the third consecutive year. Quentin Tyler, UK assistant dean and director of the College of Agriculture, Food and Environment's Office of Diversity, was elected as the National MANRRS president-elect. Several UK students also won awards at the conference.

28. Faculty and Staff Achievements

Carmen Agouridis (Biosystems and Agricultural Engineering) received the 2014 American Society of Agricultural and Biological Engineers' A.W. Farrall Young Educator Award.

Dr. Jayakrishna Ambati (Ophthalmology and Visual Sciences) is a recipient of a 2014 SEC Faculty Achievement Award.

Les Anderson (Animal and Food Sciences) received the 2014 American Society of Animal Science Southern Section Extension Award.

Kerri Lynn Ashurst (Family and Consumer Sciences Extension) received a \$485,790 grant through Purdue University for 2014 Military Teen Adventure Camps. She also received a \$40,000 Department of Defense Deployment Support Camp grant from Kansas State University.

Doug Boyd (Communication) is the recipient of the 2014 Distinguished Education Service Award, awarded by the Broadcast Education Association "to an individual who has made a significant and lasting contribution to the American system of electronic media education."

Buzz Burnam (Admissions) was recognized as the 2014 Louisville Education and Employment Partnership Community Partner Award winner.

D. Allan Butterfield (Center of Membrane Sciences) was awarded the 2014 Alkmeon International Prize in Rome, for his outstanding contribution in the progress of science of Alzheimer's disease.

Donald Case (Library and Information Science) has been invited as keynote speaker at the Libraries in the Digital Age conference, to be held in Zadar, Croatia, June 17-22. He has also been invited to give a keynote presentation at ISIC 2014, the Information Seeking in Context series of conferences that has been taking place since 1986.

Orlando Chambers (Kentucky Tobacco Research and Development Center) was awarded a Cooperative Agreement with the Federal Drug Administration, which includes a grant for more than \$5 million in the first year for tobacco product research and regulation.

Namjoo Choi (Library and Information Science) has been invited to The Supporting Cultural Heritage Open Source Software Symposium in Atlanta, Georgia on April 24-25.

Elisia Cohen (Communication) was awarded the 2014 Sarah Bennett Holmes Faculty Award, sponsored by the UK Women's Forum.

Jeremy Colbert (Ceramics and Sculpture) is participating in a juried show titled "Repurposed and Recycled, Works by Kentucky Artists," at The Kentucky Artisan Center, Berea.

Czarena Crofcheck (Biosystems and Agricultural Engineering) received \$50,000 from Sinopec Petroleum Engineering Corporation to further her research on screening and evaluating oilfield sewage-proof microalgae. She also was co-program chair of the 2014 Annual Conference of the Institute for Biological Engineering.

Jim Currens (Kentucky Geological Survey) was recognized with the Bill Barfield Award for Outstanding Water Resource Research from the Kentucky Water Resources Research Institute for his extensive data collection, mapping and analysis of karst systems in Kentucky.

Burtron H. Davis (Center for Applied Energy Research) was honored by a special issue of Topics in Catalysis dedicated to him, including a collection of papers authored by speakers who attended an awards symposium honoring Davis at the American Chemical Society meeting last April.

Ani Katchova (Agricultural Economics) received the Bruce Gardner Outstanding Visiting Economist Award by the U.S. Department of Agriculture Economists Group.

Hyungsoo Kim (Family Sciences) received the American Council on Consumer Interests Mid-Career Award.

Meg Marquis (Honors Program) was awarded the 2014 Sarah Bennett Holmes Staff Award, sponsored by the UK Women's Forum.

Jonathan McFadden (Printmaking) has a solo exhibition at the MacRostie Art Center in Minnesota and upcoming solo exhibition at 621 Gallery in Florida residency/solo exhibition at 55LTD in Berlin.

Samuel G. McNeill (Biosystems and Agricultural Engineering) through a subcontract with Oklahoma State University for USAID's Global Hunger and Food Security Research Strategy: Climate Resilience, Nutrition and Policy, has received \$68,700 to further work that focuses on reducing postharvest loss in Ghana.

Amber Meeks (Family and Consumer Sciences) received the Kentucky Association of Family and Consumer Sciences New Achiever Award.

Beverly K. Miller (Biosystems and Agricultural Engineering) received an Award of Distinction for presenting a competitive poster at the 2014 Kentucky 4-H Volunteer Forum.

Lindell Ormsbee (Kentucky Water Resources Research Institute) was elected a 2014 fellow of the Environmental and Water Resource Institute of the American Society of Civil Engineers for the College of Engineering. He also was elected a member in the 2014 Class of Civil Environmental Engineering Academy of Distinguished Alumni at Virginia Tech.

Frederick Payne (Biosystems and Agricultural Engineering) and graduate research assistant Timothy Mains both presented at the IFPAC 28th International Forum and Exhibition in Arlington, Va.

Tony Pescatore (Animal and Food Sciences) received the 2014 M.D. Whiteker Excellence in Extension Award from the Kentucky Association of State Extension Professionals.

Mark Purschwitz (Biosystems and Agricultural Engineering), through a subcontract with Penn State University, has received \$50,000 from the U.S. Department of Agriculture to develop a proof-of-concept immersive 3D virtual learning environment focused on farm safety for youth. He also was recognized by the Journal of Agromedicine as 2014's Peer Reviewer of the Year.

Karl Raitz (Geography) received the 2014 UK Libraries Award for Intellectual Achievement.

Michael Reed (Agricultural Economics) received an Honorary Ph.D. in Business Administration from Maejo University in Chiang Mai, Thailand.

Gregg Rentfrow (Animal and Food Sciences) is the 2014 recipient of the Mid-West Section of the American Society of Animal Science's Young Animal Scientist Extension Award.

Arturo Sandoval (Fiber Arts) was featured in the catalogue Radical Elements, published by the Studio Art Quilt Associates, Storrs, Conn.

Drew Schiavone and Tom Bridges (Biosystems and Agricultural Engineering) had their papers selected as 2014 American Society of Agricultural and Biological Engineers Superior Paper award winners.

Frederick Schmitt (Neurology) has received the 2014 Mary Carter Award from Down Syndrome of Louisville.

Tammy Stephenson (Dietetics and Human Nutrition) was awarded a \$50,000 grant from the Kentucky Department of Agriculture for her development of Kentucky Proud recipes for consumers and producers with a one-dish health meal research component.

Timothy Stombaugh and Joseph Dvorak (Biosystems and Agricultural Engineering) received funding from Case New Holland LLC for the second phase of his research on control and monitoring of sprayer output. The two-phase grant totals \$285,000.

Susie Thiel (Dance) choreographed a duet, performed by Theatre majors Les Gibbs and Megan Jellison, chosen as the first alternate to go to the American College Dance Program nationals in Washington, D.C., this summer.

Shari Veil (Communication) has received an Education Abroad Program Site Visit Grant to visit Brussels to set up a new education abroad site.

James Wade (Sculpture) has a solo exhibition currently on display (April 2014) at Arts Place, here in Lexington.

Richard Warner and Carmen Agouridis (Biosystems and Agricultural Engineering) received \$75,900 from the U.S. Geological Survey to support their research to ensure restoration success and management effectiveness for the imperiled Blackside Dace at Cumberland Gap National Historical Park.

29. Student Achievements

Gabrielle Barker (Vocal Performance) has been named the VSA Kentucky Young Soloist Award winner for the state for the second year in a row.

Phillip Barnett (Communication) was named a 2014 Gaines Fellow.

Kevin Bloomfield (Architecture) was named a 2014 Gaines Fellow.

Jonathan Elliott (Economics and Mathematics) was named a 2014 Gaines Fellow.

Alex Gorrell (Integrated Strategic Communication) won a silver award for photography at the Lexington Ad Club's ADDYs Awards.

Ingang Han (Music Performance) won the UK Symphony Orchestra Concerto Competition and was featured in a concert by the Orchestra on March 28.

Artashes Ter Isahakayan (Fine Arts) has been named Outstanding Senior in Materials Science and Engineering.

Kyeong Ran Jang (Biology) was named a 2014 Gaines Fellow.

Jordan Keeton (Economics and Finance) was named a 2014 Gaines Fellow.

Jacob Lee (Art) is participating in the International Print Exchange Programme in India that includes a juried selection of 18 international artists.

Jacob Mattingly (Chemistry and Philosophy) was named a 2014 Gaines Fellow.

Trevor McNary (Economics and International Studies) was named a 2014 Gaines Fellow.

Shannon Newberry (Architecture) was named a 2014 Gaines Fellow.

Stephen Parsons (Computer Science and International Studies) was named a 2014 Gaines Fellow.

Shelby Rose (Dietetics) received the Kentucky Association of Family and Consumer Sciences Outstanding Student Award.

Jonathan Sarfin (Psychology and English) was named a 2014 Gaines Fellow.

Connor Shafran (Music) had an original work chosen for the New Music Experiment by the Lexington Philharmonic, performed April 12. Connor also designed a new instrument, the Zungefon, and his research for this new percussion instrument was presented at NCUR at UK this April.

Rhea Starns (Integrated Strategic Communication) won two gold awards, for photography and design, at the Lexington Ad Club's ADDYs Awards.

Nick Tatoian and Rhea Starns (Integrated Strategic Communication) won a bronze award for ad club poster designs at the Lexington Ad Club's ADDYs Awards.

Sarah Wagner (Architecture and English) was named a 2014 Gaines Fellow

Kalin Wilson (Biology) was named a 2014 Gaines Fellow.

30. Alumni Achievements

Kim Baker (Arts Administration) was named president for the Kentucky Center for the Performing Arts.

Rasheedah El-Amin (Arts Administration) was named executive director of the Lyric Theatre.

Brian Luck (Biosystems and Agricultural Engineering) has a new appointment as assistant professor and extension specialist at the University of Wisconsin-Madison.

Kelly and Lyle Phelps (Fine Arts) were conference demonstrators during the 2014 National Council on Education for the Ceramic Arts annual conference in Milwaukee in March.

Morgan Shipp (Fine Arts) has been accepted into the MFA program at Cranbrook Academy of Art, Bloomfield Hills, Mich., and at the Otis Art Institute, Los Angeles.

PR 2

Office of the President
May 9, 2014

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Vice President for Facilities Management

Patrick, James P., Heating/Cooling Plant Operator III, Physical Plant, after 44 years and 9 months of consecutive service under AR 3.1 Section VIII.B, effective 4/18/14.

Zimmerman, James R., IS Technical Support Specialist III, Campus Physical Plant, after 49 years and 9 months of consecutive service under AR 3.1 Section VIII.B, effective 3/21/14.

Vice President for Research

Cloyd, Daria J., Lab Animal Technician Supervisor, Laboratory Animal Resources, after 44 years and 4 months of consecutive service under AR 3.1 Section VIII.B, effective 6/4/14.

B. EARLY RETIREMENTS

Vice President for Facilities Management

Jones, Vanessa Elaine, Custodial Worker Supervisor I, Physical Plant, after 34 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 3/3/14.

Assistant Vice President for Campus Services

Truax, Richard A., Parking Control Attendant, Public Safety, after 15 years of consecutive service under AR 3.1 Section VIII.A, effective 5/2/14.

II. ADMINISTRATIVE ACTION

A. APPOINTMENT

Athletics Director

Naivar, Craig, Assistant Football Coach, effective 3/6/14.

B. PROMOTION

Office of the Treasurer

Krauss, Susan, from Interim Treasurer to Treasurer, effective 4/11/2014.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Calvert, Kenneth L., College of Engineering, Professor (with tenure), Computer Science, appointed to the Gartner Group Endowed Chair in Network Engineering, 7/1/14 through 6/30/19.

Chappell, Joseph, College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, and joint appointment as Professor (without tenure), Molecular and Cellular Biochemistry, College of Medicine, appointed to the George A. Digenis Professorship in Drug Design and Discovery in Pharmaceutical Sciences, effective 5/15/13.

Doherty, Fiona M., College of Medicine, Clinical Assistant Professor, Psychiatry, 4/7/14 through 6/30/14.

Dziodosz, Daniel R., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 5/1/14 through 6/30/14.

Fan, Whei-Mei T., College of Medicine, Professor (with tenure), Graduate Center for Toxicology, effective 11/18/13.

Hartz, Anika M., College of Medicine, Associate Professor (without tenure), Pharmacology and Nutritional Sciences, 2/1/14 through 6/30/14.

Higashi, Richard M., College of Medicine, Professor (with tenure), Graduate Center for Toxicology, effective 8/16/13.

Huang, Zhi, College of Business and Economics, Assistant Professor, Management, 7/1/14 through 6/30/15.

Lane, Andrew N., College of Medicine, Professor (with tenure), Graduate Center for Toxicology, effective 9/9/13.

Ma, Lala, College of Business and Economics, Assistant Professor, Economics, 8/16/14 through 6/30/15.

McGrath, Joshua M., College of Agriculture, Food and Environment, Associate Extension Professor (with tenure), Plant and Soil Sciences, effective 7/1/14.

Saha, Sibuj P., College of Medicine, Clinical Professor, Surgery, and joint appointment as Clinical Professor, Biomedical Engineering, College of Engineering, appointed to the Frank C. Spencer, M.D. Endowed Chair in Surgery, 7/1/14 through 6/30/19.

Shelton, Charles I., College of Medicine, Clinical Assistant Professor, Psychiatry, 3/17/14 through 6/30/14.

Soltis, Scott, College of Business and Economics, Assistant Professor, Management, 7/1/14 through 6/30/15.

B. ADMINISTRATIVE APPOINTMENTS

Souleyrette, Reginald R., College of Engineering, Professor (with tenure), Civil Engineering, appointed Chair, Civil Engineering, 7/1/14 through 6/30/18.

C. JOINT APPOINTMENTS

- Capilouto, Gilson J., College of Health Sciences, Associate Professor (with tenure), Rehabilitation Sciences, joint appointment as Associate Professor (without tenure), Pediatrics, College of Medicine, effective 4/1/14.
- Lile, Joshua A., College of Medicine, Associate Professor (without tenure), Behavioral Science, joint appointment as Associate Professor (without tenure), Psychiatry, 2/1/14 through 6/30/14.
- Stoops, William W., College of Medicine, Associate Professor (with tenure), Behavioral Science, and joint appointment as Associate Professor (without tenure), Psychology, College of Arts and Sciences, new joint appointment as Associate Professor (without tenure), Psychiatry, effective 2/1/14.

D. REAPPOINTMENTS

- Andersen, Anders H., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, 7/1/14 through 6/30/15.
- Beck, Sandra J., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.
- Bowers, Rebecca C., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/14 through 6/30/15.
- Brooks, Michael A., College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Internal Medicine, 7/1/14 through 6/30/15.
- Carter, Craig T., College of Medicine, Clinical Associate Professor, Emergency Medicine, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/14 through 6/30/15.
- Chang, Phillip K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.
- Chen, Kuey C., College of Medicine, Associate Research Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.
- Dalton, Waller L., College of Medicine, Clinical Associate Professor, Obstetrics and Gynecology, 7/1/14 through 6/30/15.
- Desai, Sameer M., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/14 through 6/30/15.
- Despa, Florin, College of Medicine, Associate Professor (without tenure), Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.
- Despa, Sanda I., College of Medicine, Associate Professor (without tenure), Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.
- DiSantis, David J., College of Medicine, Clinical Professor, Radiology, 7/1/14 through 6/30/15.
- Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, and joint appointment as Clinical Associate Professor, Otolaryngology - Head and Neck Surgery, 7/1/14 through 6/30/15.

Goldstein, Steven J., College of Medicine, Professor (part-time), Radiology, 7/1/14 through 6/30/15.

Grigsby, Donna G., College of Medicine, Clinical Associate Professor, Pediatrics, 7/1/14 through 6/30/15, and reappointed to the Richard G. Elliott, M.D. Professorship, 7/1/14 through 6/30/19.

Hartz, Anika M., College of Medicine, Associate Professor (without tenure), Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

High, Walter M., College of Medicine, Associate Adjunct Professor, Physical Medicine and Rehabilitation, and joint appointments as Associate Adjunct Professor, Neurosurgery and Associate Adjunct Professor, Psychology, College of Arts and Sciences, 7/1/14 through 6/30/15.

Holmes, Sharon S., College of Nursing, Associate Adjunct Professor, 7/1/14 through 6/30/15.

Hoopes, Charles W., College of Medicine, Associate Professor* (without tenure), Surgery, 7/1/14 through 6/30/15.

Jensen, Lynne A., College of Nursing, Clinical Associate Professor, 7/1/14 through 6/30/15.

Johnson, Ellis L., College of Medicine, Clinical Associate Professor, Radiation Medicine, 7/1/14 through 6/30/15.

Kudrimoti, Mahesh R., College of Medicine, Clinical Professor, Radiation Medicine, 7/1/14 through 6/30/15.

Martin, Julia E., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/14 through 6/30/15.

Miller, Wallis J., College of Design, Associate Professor (with tenure), Architecture, reappointed to the Charles Parker Graves Endowed Professorship, 7/1/13 through 6/30/18.

Mullett, Timothy W., College of Medicine, Clinical Professor, Surgery, 7/1/14 through 6/30/15.

Omar, Hatim A., College of Medicine, Professor* (with tenure), Pediatrics, and joint appointment as Professor* (without tenure), Obstetrics and Gynecology, appointed to the Children's Miracle Network Research Chair #3, 7/1/14 through 6/30/19.

Primm, Daniel D., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/14 through 6/30/15.

Prybil, Lawrence D., College of Public Health, Professor (part-time), Health Management and Policy, 7/1/14 through 6/30/15.

Reda, Hassan K., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Saha, Siby P., College of Medicine, Clinical Professor, Surgery, and joint appointment as Clinical Professor, Biomedical Engineering, College of Engineering, 7/1/14 through 6/30/15.

Schell, Randall M., College of Medicine, Clinical Professor, Anesthesiology, and joint appointments as Clinical Professor, Pediatrics and Clinical Professor, Surgery, 7/1/14 through 6/30/15.

Scutchfield, Scott B., College of Medicine, Associate Professor (part-time), Orthopaedic Surgery, 7/1/14 through 6/30/15.

Seethamraju, Harish, College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Sekela, Michael E., College of Medicine, Clinical Professor, Surgery, 7/1/14 through 6/30/15.

Selby, Jeffrey B., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Selwitz, Ada S., College of Medicine, Associate Adjunct Professor, Behavioral Science, 7/1/14 through 6/30/15.

Sorial, Ehab S., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Spengler, Susan E., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/14 through 6/30/15.

Stephan, Maria, College of Medicine, Associate Professor (part-time), Emergency Medicine, 7/1/14 through 6/30/15.

Stewart, Daniel H., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Swetnam, Bruce A., College of Design, Associate Professor* (with tenure), Architecture, reappointed to the Kentuckiana Masonry Institute Endowed Professorship, 7/1/13 through 6/30/18.

Szabunio, Margaret M., College of Medicine, Clinical Professor, Radiology, and joint appointments as Clinical Professor, Surgery and Clinical Professor, Biomedical Engineering, College of Engineering, 7/1/14 through 6/30/15.

Tobin, Stuart, College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Wright, Heather R., College of Medicine, Clinical Associate Professor, Surgery, 7/1/14 through 6/30/15.

Wujcik, Len F., College of Design, Professor* (with tenure), Architecture, reappointed to the Robert Lynn Leininger Endowed Professorship in Furniture Design, 7/1/13 through 6/30/18.

Young, William F., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/14 through 6/30/15.

Zhang, Jie, College of Medicine, Clinical Associate Professor, Radiology, 7/1/14 through 6/30/15.

E. TERMINAL REAPPOINTMENTS

Bunn, Terry L., College of Public Health, Associate Research Professor, Preventive Medicine and Environmental Health, 7/1/14 through 6/30/15.

F. RETIREMENTS

Ferry, Margaret B., College of Medicine, Staff Nurse Clinical I, Internal Medicine, after 7 years of consecutive service, under AR 3:1, Section VIII.B, effective 2/24/14.

- Hatfield, Nellie, Finance and Operations, Administrative Staff Officer III, Provost Budget Office, after 43 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 3/31/14.
- Lucas, Bethel M., Student Affairs, Food Services Supervisor, Residential Dining Services, after 21 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/6/14.
- Mathes, Mary L., College of Medicine, Administrative Services Assistant, IBU-Basic Sciences and Centers, after 27 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 4/25/14.

G. EARLY RETIREMENTS

- Challman, Sandra D., College of Public Health, IS Technical Support Specialist IV, Center for Public Health Systems Research, after 31 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/28/14.
- Cook, Theresa S., College of Health Sciences, Administrative Support Associate I, Rehabilitation Sciences, after 20 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 5/27/14.
- Donahoe, Judy K., College of Agriculture, Food and Environment, Diagnostic Technician, Veterinary Diagnostic Laboratory, after 28 years and 8 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/13/14.
- Plank, Mary, College of Fine Arts, Administrative Staff Officer II, to rescind retirement approved on 4/1/14.
- Trammell, Brenda C., College of Agriculture, Food and Environment, Extension Staff Assistant, Cooperative Extension Service, after 26 years of consecutive service, under AR 3:1, Section VIII.A, effective 2/18/14.
- Williams, Peggy S., College of Medicine, Medical Records Chart Coordinator Senior, Ophthalmology and Visual Sciences, after 26 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 5/17/14.
- Wolfenbarger, Clarice, College of Agriculture, Food and Environment, Program Assistant, Family and Consumer Sciences, after 26 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 5/27/14.

H. POST-RETIREMENT APPOINTMENTS

- Aug, Robert G., College of Medicine, Professor (part-time), Psychiatry, 7/1/14 through 6/30/15.
- Friedell, Gilbert H., College of Medicine, Professor (part-time), Behavioral Science, 7/1/14 through 6/30/15.
- Garrison, Maryellen, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 8/6/14 through 8/5/15.
- Getchell, Marilyn L., College of Medicine, Professor (part-time), Anatomy and Neurobiology, 7/1/14 through 6/30/15.
- Knapp, Fred W., College of Agriculture, Food and Environment, Professor (part-time), Entomology, 7/1/14 through 6/30/15.

Lieber, Arthur, College of Medicine, Professor (part-time), Radiology, 7/1/14 through 6/30/15.
Lucas, Bruce A., College of Medicine, Professor (part-time), Surgery, 7/1/14 through 6/30/15.
O'Leary, Joseph H., College of Agriculture, Food and Environment, Associate Professor (part-time), Animal and Food Sciences, 7/1/14 through 6/30/15.
Reed, Michael, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 7/8/14 through 7/7/15.
Wilson, Emery A., College of Medicine, Professor (part-time), Obstetrics and Gynecology, 4/1/14 through 6/30/15.

I. PHASED RETIREMENT APPOINTMENTS

DeYoung, Alan, College of Education, Professor (with tenure), Educational Policy Studies and Evaluation, and joint appointment as Professor (without tenure), Sociology, College of Arts and Sciences, 8/15/14 through 5/15/15.
Issel, Charles J., College of Agriculture, Food and Environment, Professor (with tenure), Veterinary Science, 8/1/14 through 6/30/18.
Turco, Salvatore J., College of Medicine, Professor (with tenure), Molecular and Cellular Biochemistry, and joint appointment as Professor (without tenure), Internal Medicine, 7/1/14 through 6/30/19.
Whitley, Ronald J., College of Medicine, Professor* (with tenure), Pathology and Laboratory Medicine, and joint appointments as Professor* (without tenure), Pediatrics and Professor* (without tenure), Clinical Sciences, College of Health Sciences, 1/2/15 through 1/2/18.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Buckalew, Lori H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/13 through 6/30/16.
Colbert, Jeremy, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 1/1/14 through 6/30/14.
Foxworth, Deloris, College of Communication and Information, Lecturer, Library and Information Science, 4/1/14 through 6/30/14.
Gerring, Samuel M., College of Medicine, Clinical Instructor, Radiation Medicine, 6/1/14 through 6/30/14.
Inman, Dianna D., College of Nursing, Assistant Professor*, 7/1/14 through 6/30/15.
Khawari, Maryam, College of Medicine, Assistant Professor (part-time), Internal Medicine, 4/1/14 through 6/30/14.
Krishnamurthy, Sarada, College of Medicine, Assistant Adjunct Professor, Internal Medicine, 4/28/14 through 6/30/14.
Li, Jing, College of Medicine, Assistant Research Professor, Internal Medicine, and joint appointment as Assistant Research Professor, Biostatistics, College of Public Health, 4/1/14 through 6/30/14.

Mitrache, Ion, College of Pharmacy, Assistant Research Professor, Pharmacy Practice and Science, 3/10/14 through 6/30/14.

Morrow, Eric, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 3/1/14 through 6/30/16.

Pleacher, Anita C., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 2/1/14 through 6/30/16.

Stevens-Watkins, Danelle J., College of Education, Lecturer, Educational, School, and Counseling Psychology, 7/1/13 through 7/31/13.

B. ADMINISTRATIVE APPOINTMENTS

Kennedy, Lucas J., College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 3/23/14.

Stenger, Keith, Information Technology, Core Services Engineering III-Directory DNS, Computing Services, effective 3/10/14.

Wiggins, Amanda T., College of Nursing, Statistician Principal, effective 2/24/14.

C. JOINT APPOINTMENTS

Raissi, Driss, College of Medicine, Clinical Assistant Professor, Radiology, joint appointment as Clinical Assistant Professor, Internal Medicine, 4/1/14 through 6/30/14.

D. REAPPOINTMENTS

Abner, Erin, College of Public Health, Assistant Professor, Epidemiology, and joint appointment as Assistant Professor, Gerontology, 7/1/14 through 6/30/15.

Ahn, Thomas, College of Business and Economics, Assistant Professor, Economics, 7/1/14 through 6/30/15.

Aleshire, Mollie E., College of Nursing, Clinical Assistant Professor, 7/1/14 through 6/30/15.

Alhajeri, Abdunnasser A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointments as Clinical Assistant Professor, Pediatrics and Clinical Assistant Professor, Neurosurgery, 7/1/14 through 6/30/15.

Aryal, Prakash, College of Medicine, Clinical Instructor, Radiation Medicine, 7/1/14 through 6/30/15.

Ayoob, Andres R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Babalonis, Shanna L., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/14 through 6/30/15.

Ballard-Croft, Cherry, College of Medicine, Assistant Research Professor, Surgery, 7/1/14 through 6/30/15.

Ballert, Katie N., College of Medicine, Assistant Professor*, Surgery, 7/1/14 through 6/30/15.

Beaman, Francesca D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Beebe, Leslie M., College of Nursing, Lecturer, 7/1/14 through 6/30/16.

Bernert, Silke, College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/14 through 6/30/15.

Biddle, Martha J., College of Nursing, Assistant Professor*, 7/1/14 through 6/30/15.

Blomquist, Gustav A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Bobadilla, Joseph L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Bonaime, Alice A., College of Business and Economics, Assistant Professor, Finance and Quantitative Methods, 7/1/14 through 6/30/15.

Borders, Daniel A., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/14 through 6/30/15.

Bottiggi, Anthony J., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Bratten, Brian, College of Business and Economics, Assistant Professor, Accountancy, 7/1/14 through 6/30/15.

Bridges, Phillip J., College of Agriculture, Food and Environment, Assistant Professor, Animal and Food Sciences, 7/1/14 through 6/30/15.

Brown, Joanne, College of Nursing, Adjunct Instructor, 7/1/14 through 6/30/15.

Bylund, Jason R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Byrd, Brenna R., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/16.

Cardiff, Cheryl, College of Arts and Sciences, Lecturer, English, 7/1/14 through 6/30/16.

Cassill, Bryan D., College of Agriculture, Food and Environment, Instructor (part-time), Animal and Food Sciences, 7/1/14 through 6/30/15.

Causholli, Monika, College of Business and Economics, Assistant Professor, Accountancy, 7/1/14 through 6/30/15.

Cavatassi, William C., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Chen, Gang, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Chen, Li, College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Chesnut, Lorie W., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/14 through 6/30/15.

Christensen, Christian, College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Christian, Warren J., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/14 through 6/30/15.

Clifford, Chris P., College of Business and Economics, Assistant Professor, Finance and Quantitative Methods, 7/1/14 through 6/30/15.

Craig, Adam, College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/14 through 6/30/15.

Cunningham, Billy E., College of Medicine, Assistant Professor (part-time, visiting), Radiology, 7/1/14 through 6/30/15.

Daily, Michael F., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Darville, Audrey K., College of Nursing, Clinical Assistant Professor, 7/1/14 through 6/30/15.

Dawkins, Adrian A., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Dean, Tereza, College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/14 through 6/30/15.

Dell, Carol M., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Demos, Jon S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Dillon, Johanne E., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/14 through 6/30/15.

Doherty, Fiona M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/14 through 6/30/15.

Dunn, Jamie R., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 7/1/14 through 6/30/15.

Eddens, Katherine S., College of Public Health, Assistant Professor, Health Behavior, 7/1/14 through 6/30/15.

Ellis, Scott C., College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/14 through 6/30/15.

Erlandson, Erika E., College of Medicine, Clinical Assistant Professor, Physical Medicine and Rehabilitation, 7/1/14 through 6/30/15.

Feddock, Jonathan M., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/14 through 6/30/15.

Fondufe-Mittendorf, Yvonne N., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Galperin, Emilia, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Ganesh, Halemane S., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Garvey, Aaron M., College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/14 through 6/30/15.

Gentile, Brooke D., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 7/1/14 through 6/30/15.

Gerken, William C., College of Business and Economics, Assistant Professor, Finance and Quantitative Methods, 7/1/14 through 6/30/15.

Gibbs, Richard D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Goldberg, Amit, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/14 through 6/30/15.

Graham, Alvan D., College of Agriculture, Food and Environment, Adjunct Instructor, Community and Leadership Development, 7/1/14 through 6/30/15.

Gray, Larry W., College of Public Health, Instructor (part-time), Health Management and Policy, 7/1/14 through 6/30/15.

Guillou, Bertrand J., College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/14 through 6/30/16.

Gyorffy, Michael J., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/14 through 6/30/15.

Hack, John-Mark B., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 7/1/14 through 6/30/15.

Halcomb, Paula R., College of Nursing, Lecturer, 7/1/14 through 6/30/15.

Halgin, Daniel, College of Business and Economics, Assistant Professor, Management, 7/1/14 through 6/30/15.

Hardin-Fanning, Frances, College of Nursing, Assistant Professor, 7/1/14 through 6/30/15.

Hardy, Peter A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointments as Clinical Assistant Professor, Anatomy and Neurobiology and Clinical Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/14 through 6/30/15.

Haurylko, Carole J., College of Nursing, Instructor (part-time), 7/1/14 through 6/30/15.

Hawkins, Brian T., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/14 through 6/30/15.

Headrick, James E., College of Agriculture, Food and Environment, Adjunct Instructor, Community and Leadership Development, 7/1/14 through 6/30/15.

Hobbs, Stephen B., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Howard, Patricia K., College of Nursing, Assistant Adjunct Professor, 7/1/14 through 6/30/15.

Huang, Bin, College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Huang, Cai, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Ingram, Richard C., College of Public Health, Assistant Professor, Health Management and Policy, 7/1/14 through 6/30/15.

Isaacs, Anthony, College of Health Sciences, Lecturer, Rehabilitation Sciences, 7/1/14 through 6/30/15.

Jame, Russell, College of Business and Economics, Assistant Professor, Finance and Quantitative Methods, 7/1/14 through 6/30/15.

Jasinski, Lindsey J., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, Psychology, College of Arts and Sciences, 7/1/14 through 6/30/15.

Jiang, Wei, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/16.

Johnson, Lewis J., College of Medicine, Clinical Instructor, Surgery, 7/1/14 through 6/30/15.

Johnson, Nancy E., College of Public Health, Assistant Professor (part-time), Preventive Medicine and Environmental Health, 7/1/14 through 6/30/15.

Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, College of Social Work, 7/1/14 through 6/30/15.

Kavuluru, Venkata N., College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Kelso, Lynn A., College of Nursing, Clinical Assistant Professor, 7/1/14 through 6/30/15.

Kim, June, College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Kinnaird, Sally W., College of Nursing, Adjunct Instructor, 7/1/14 through 6/30/15.

Koo, Jayoung, College of Agriculture, Food and Environment, Assistant Extension Professor, Landscape Architecture, 7/1/14 through 6/30/15.

Korotkov, Konstantin V., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Kral, Paula R., College of Nursing, Lecturer, 7/1/14 through 6/30/16.

Kregor, Janice M., College of Medicine, Assistant Professor (part-time), Behavioral Science, 7/1/14 through 6/30/15.

Krishnamurthy, Sarada, College of Medicine, Assistant Adjunct Professor, Internal Medicine, 7/1/14 through 6/30/15.

Krohmer, Steven J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Kurtz-Ogilvie, Whitney L., College of Nursing, Lecturer, 7/1/14 through 6/30/16.

LaBonty, Elizabeth A., College of Agriculture, Food and Environment, Lecturer, Animal and Food Sciences, 7/1/14 through 6/30/16.

Langner, Ulrich W., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/14 through 6/30/15.

Lawyer, Katharine A., College of Agriculture, Food and Environment, Instructor (part-time), Animal and Food Sciences, 7/1/14 through 6/30/15.

Lee, Cortney Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Lee, Kiyoung, College of Nursing, Assistant Adjunct Professor, 7/1/14 through 6/30/15.

Lee, Sangderk, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Leed, Ann M., College of Agriculture, Food and Environment, Instructor (part-time), Animal and Food Sciences, 7/1/14 through 6/30/15.

Letcher, Traci, College of Communication and Information, Senior Lecturer, Communication, 7/1/14 through 6/30/17.

Li, Jing, College of Medicine, Assistant Research Professor, Surgery, 7/1/14 through 6/30/15.

Liau, James Y., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Lin, Ai-Ling, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Linares, Ana Maria, College of Nursing, Assistant Professor, 7/1/14 through 6/30/15.

Lineberry, Michelle J., College of Public Health, Adjunct Instructor, Health Management and Policy, 7/1/14 through 6/30/15.

Loria, Analia S., College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Lowe, Donald I., College of Communication and Information, Senior Lecturer, Communication, 7/1/14 through 6/30/17.

Lowry, Regina C., College of Nursing, Senior Lecturer, 7/1/14 through 6/30/17.

Lukins, Douglas E., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Luo, Wei, College of Medicine, Clinical Assistant Professor, Radiation Medicine, 7/1/14 through 6/30/15.

MacLean, Alyssa, College of Arts and Sciences, Instructor (part-time), English, 1/1/13 through 6/30/13, and 7/1/13 through 6/30/14.

Mahan, Angela L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Martin, Jeremiah T., College of Medicine, Assistant Professor*, Surgery, 7/1/14 through 6/30/15.

Maynard, Erin C., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

McGladrey, Margaret L., College of Public Health, Adjunct Instructor, Health Behavior, 7/1/14 through 6/30/15.

Meadows, Amy L., College of Medicine, Clinical Assistant Professor, Psychiatry, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/14 through 6/30/15.

Meyer-Rossi, Alissa L., College of Agriculture, Food and Environment, Lecturer, Community and Leadership Development, 7/1/14 through 6/30/16.

Miller, Cynthia H., College of Communication and Information, Senior Lecturer, Communication, 7/1/14 through 6/30/17.

Moghadamian, Eric S., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Montgomery, Justin R., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Muchlinski, Magdalena N., College of Medicine, Assistant Professor*, Anatomy and Neurobiology, 7/1/14 through 6/30/15.

Muchow, Ryan D., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Murtha, Brian R., College of Business and Economics, Assistant Professor, Marketing and Supply Chain, 7/1/14 through 6/30/15.

Mustain, Mary V., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/14 through 6/30/15.

Nair, Manish M., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/14 through 6/30/15.

Nair, Rashmi T., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Newcomb, Melissa R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Nickels, David J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

O'Donnell, Patrick W., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Obeng, Kwaku K., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Patton, Nobuko T., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/16.

Pawley, Barbara K., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/14 through 6/30/15.

Piercey, Raven R., College of Medicine, Lecturer, Behavioral Science, 7/1/14 through 6/30/15.

Powell, David K., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, and joint appointment as Assistant Research Professor, Biomedical Engineering, College of Engineering, 7/1/14 through 6/30/15.

Prabhu, Ashwin B., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/14 through 6/30/15.

Preston, David M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Procter, Levi D., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Quandt, M. Denise, College of Medicine, Clinical Instructor, Behavioral Science, 7/1/14 through 6/30/15.

Rains, Allison C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/14 through 6/30/15.

Raissi, Driss, College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/14 through 6/30/15.

Raslau, Flavius D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Reams, Robin A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/14 through 6/30/15.

Redmond, Jennifer L., College of Public Health, Assistant Professor (part-time), Health Management and Policy, 7/1/14 through 6/30/15.

Richards, Christopher I., College of Arts and Sciences, Assistant Professor, Chemistry, 7/1/14 through 6/30/16.

Richer, Edward J., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/14 through 6/30/15.

Roberts, Jill M., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/14 through 6/30/15.

Rychahou, Piotr G., College of Medicine, Assistant Research Professor, Surgery, 7/1/14 through 6/30/15.

Sass, Christopher K., College of Agriculture, Food and Environment, Assistant Professor, Landscape Architecture, 7/1/14 through 6/30/15.

Scott, Allison M., College of Communication and Information, Assistant Professor, Communication, 7/1/14 through 6/30/15.

Segura, Andrea C., College of Agriculture, Food and Environment, Lecturer, Landscape Architecture, 7/1/14 through 6/30/15.

Sellitti, Marguerite A., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 7/1/14 through 6/30/15.

Setser, Edward R., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Shah, Malay B., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

She, Qing-Bai, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Shelton, Charles I., College of Medicine, Clinical Assistant Professor, Psychiatry, 7/1/14 through 6/30/15.

Singh, Indrapal N., College of Medicine, Assistant Research Professor, Anatomy and Neurobiology, 7/1/14 through 6/30/15.

Skinner, Sean C., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/14 through 6/30/15.

Sloas, Harold A., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/14 through 6/30/15.

Smith, Michael D., College of Public Health, Assistant Adjunct Professor, Health Management and Policy, 7/1/14 through 6/30/15.

Spicer, Paul J., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Stearley, Seth T., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/14 through 6/30/15.

Stefaniak, Karen A., College of Nursing, Assistant Adjunct Professor, 7/1/14 through 6/30/15.

Stevens, Scott D., College of Medicine, Clinical Assistant Professor, Radiology, 7/1/14 through 6/30/15.

Stewart, Miranda L., College of Communication and Information, Lecturer, Communication, 7/1/14 through 6/30/15.

Stringfellow, Vicki S., College of Nursing, Adjunct Instructor, 7/1/14 through 6/30/15.

Studts, Christina R., College of Public Health, Assistant Professor, Health Behavior, 7/1/14 through 6/30/15.

Talley, Cynthia L., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Temel, Ryan E., College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Thatcher, Sean E., College of Medicine, Assistant Research Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Tucker, Brian K., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Turley, David P., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/14 through 6/30/15.

Tyler, Quentin R., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Community and Leadership Development, 7/1/14 through 6/30/15.

Tzeng, Ching-Wei D., College of Medicine, Assistant Professor*, Surgery, 7/1/14 through 6/30/15.

Van Meter, Emily M., College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Vanderpool, Robin C., College of Public Health, Assistant Professor, Health Behavior, 7/1/14 through 6/30/15.

Walker, James R., College of Medicine, Assistant Professor (part-time), Behavioral Science, and joint appointments as Assistant Professor (part-time), Psychiatry and Assistant Professor (part-time), College of Social Work, 7/1/14 through 6/30/15.

Wang, Chi, College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Wang, Qingding, College of Medicine, Assistant Research Professor, Surgery, 7/1/14 through 6/30/15.

Warshawsky, Nora E., College of Nursing, Assistant Professor*, 7/1/14 through 6/30/15.

Westgate, Philip M., College of Public Health, Assistant Professor, Biostatistics, 7/1/14 through 6/30/15.

Whitesel, Heather L., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 7/1/14 through 6/30/15.

Wilson, Kristen M., College of Agriculture, Food and Environment, Instructor (part-time), Animal and Food Sciences, 7/1/14 through 6/30/15.

Winkler, Michael A., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/14 through 6/30/15.

Wright, Samantha J., College of Engineering, Lecturer, Civil Engineering, 7/1/14 through 6/30/15.

Wright, Theodore S., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

Wu, Yadi, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Xu, Ren, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Yang, Xiuwei, College of Medicine, Assistant Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Yiannikouris, Frederique B., College of Medicine, Assistant Research Professor, Pharmacology and Nutritional Sciences, 7/1/14 through 6/30/15.

Young, April M., College of Public Health, Assistant Professor, Epidemiology, 7/1/14 through 6/30/15.

Ziada, Ali M., College of Medicine, Clinical Assistant Professor, Surgery, 7/1/14 through 6/30/15.

E. CHANGES

Doolen, Suzanne, College of Medicine, from Scientist III, Physiology, to Scientist IV, Physiology, effective 3/24/14.

Goldberg, Amit, College of Medicine, from Assistant Adjunct Professor, Internal Medicine, to Clinical Assistant Professor, Internal Medicine, 6/1/14 through 6/30/14.

Kreiss, Steven, College of Engineering, from Engineer Associate Program Manager I/Research, Kentucky Transportation Center, to KTC Director Associate, Kentucky Transportation Center, effective 4/1/14.

Silver, Bobbi, from Development Assistant III, Development and Advance, College of Business and Economics to Development Assistant IV, Office of Development, College of Pharmacy, effective 4/7/14.

Stevens-Watkins, Danelle J., College of Education, from Lecturer, Educational, School, and Counseling Psychology, to Assistant Professor, Educational, School, and Counseling Psychology, 8/1/13 through 6/30/14.

F. TERMINAL REAPPOINTMENTS

Caban-Holt, Allison M., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/14 through 6/30/15.

Carman, Angela L., College of Public Health, Clinical Assistant Professor, Health Management and Policy, 7/1/14 through 6/30/15.

Cole, Jennifer E., College of Medicine, Assistant Research Professor, Behavioral Science, 7/1/14 through 6/30/15.

Danner, Deborah D., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointment as Assistant Research Professor, Family and Community Medicine, 7/1/14 through 6/30/15.

Gal, Jozsef, College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Hoover, Anna G., College of Public Health, Assistant Research Professor, Health Management and Policy, 7/1/14 through 6/30/15.

Korotkova, Natalia A., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Mamaril, Cezar B., College of Public Health, Assistant Research Professor, Health Management and Policy, 7/1/14 through 6/30/15.

Rush, Jeffrey S., College of Medicine, Assistant Research Professor, Molecular and Cellular Biochemistry, 7/1/14 through 6/30/15.

Slavova, Svetla S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/14 through 6/30/15.

Walsh, Sabrina, College of Public Health, Assistant Research Professor, Epidemiology, 7/1/14 through 6/30/15.

G. LEAVES OF ABSENCE

- Hill, Rebecca, College of Agriculture, Food and Environment, County Extension Agent, Educational leave at full salary, 9/1/14 through 12/13/14.
- Olster, David, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/14 through 6/30/15.
- Skees, Jerry R., College of Agriculture, Food and Environment, Professor (with tenure), Agricultural Economics, Scholarly fellowship leave, 5/1/14 through 4/30/15.

H. RESIGNATIONS

- Bruno, Maria E., College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, effective 3/9/14.
- Cambi, Franca, College of Medicine, Professor (with tenure), Neurology, and joint appointment as Professor (without tenure), Anatomy and Neurobiology, effective 5/31/14.
- de Moreno, Lauren C., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, effective 8/1/14.
- Deroo, Andrew J., College of Medicine, Clinical Instructor, Surgery, effective 6/30/14.
- Duvall, Jamieson L., College of Medicine, Assistant Research Professor, Behavioral Science, effective 6/30/14.
- Howard, Dianna S., College of Medicine, Associate Professor* (with tenure), and joint appointment as Associate Professor* (without tenure), Pediatrics, effective 6/27/14.
- Jackson, Christa D., College of Education, Assistant Professor, STEM Education, effective 6/30/14.
- Lattin, Anne L., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, change from effective 8/1/14, to effective 8/1/13.
- Mitchell, Stephen B., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, effective 6/30/14.
- Ott, Katharine A., College of Arts and Sciences, Assistant Professor, Mathematics, effective 6/30/14.
- Stevenson, Jennifer E., College of Education, Clinical Assistant Professor, Educational Policy Studies and Evaluation, effective 6/30/14.
- Younes, Abbas A., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, effective 6/30/15.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. CHANGES

Edwards, Earnest, from Server Engineer Tech Manager/UKHC, EVPHA Information Technology, to Design Engineer/UKHC, EVPHA Information Technology, effective 03/23/2014

Larkin, Seth, from Pharmacist/UKHC, Pharmacy Services to Pharmacist/UKHC, effective 04/06/2014

B. RETIREMENTS

Cassity, Linda S., Nurse Clinical, after 21 years and 9 months of consecutive service, under AR 3:1 Section VIII.A., effective 05/02/2014

Crothers, Lynn M., Compliance Privacy Officer, after 21 years and 9 months of consecutive service, under AR 3:1 Section VIII.A., effective 04/04/2014

Stillwell, Loretta Joan, Ambulatory/Retail Pharmacy Technician Senior, after 21 years and 9 months of consecutive service, under AR 3:1 Section VIII.A., effective 05/02/2014

PR 3

Office of the President
May 9, 2014

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Richard J. Charnigo, Jr., Francie R. Chassen-Lopez, Debra K. Moser and Mark A. Prendergast as University Research Professors for 2014-15.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in fall 1977. The Board of Trustees named the first Research Professors at its meeting in March 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action taken: Approved Disapproved Other _____

ASACR 1

Office of the President
May 9, 2014

Members, Board of Trustees:

CANDIDATES FOR DEGREES:
MAY 2014

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: Since Commencement exercises are held immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of the requirements prior to Commencement exercises for those candidates who complete their requirements in May.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2014**

Graduate Degrees:

Ph.D.....	184
D.M.A.....	13
Ed.D.....	6
Ed.S.....	14
M.A.....	87
M.AR.....	10
M.A.EDU.....	31
M.A.ID.....	1
M.B.A.....	58
M.Ed.....	5
M.F.A.....	1
M.H.A.....	25
M.H.P.....	6
M.M.....	10
M.P.A.....	24
M.P.H.....	45
M.P.P.....	9
M.R.C.....	7
M.S.....	1
69	
M.S.A.....	0
M.S.BE.....	2
M.S.BAE.....	8
M.S.CD.....	34
M.S.CIE.....	17
M.S.CHE.....	2
M.S.CTL.....	8
M.S.EDU.....	31
M.S.E.E.....	13
M.S.FOR.....	6
M.S.FS.....	0
M.S.FSC.....	11
M.S.L.S.....	54
M.S.MAT.....	1
M.S.MEE.....	14
M.S.MIE.....	3
M.S.MSYE.....	0
M.S.ME.....	0
M.S.N.....	0
M.S.N.S.....	7
M.S.PAS.....	1
M.S.RMP.....	2
M.S.W.....	101

TOTAL 1020

Professional Degrees:

D.D.M.....	56
D.N.P.....	19
Dr.P.H.....	6
D.P.T.....	3
J.D.....	123
M.D.....	111
PharmD.....	130
TOTAL.....	448

Undergraduate Degrees:

Agriculture, Food & Environment

BSAB.....	14
BSAEC.....	66
BSAG.....	7
BSASC.....	46
BSCTE.....	14
BSCLD.....	22
BSCCL.....	15
BSDIE.....	23
BSESM.....	22
BSFSC.....	34
BSFOS.....	2
BSFOR.....	16
BSHPS.....	6
BSHM.....	17
BSHN.....	64
BSLA.....	10
BSMAT.....	17
BSNRC.....	2
BSNRES.....	11

TOTAL..... 408

Arts & Sciences

BA.....	509
BS.....	263

TOTAL..... 772

Gatton Business & Economics

BBA.....	274
BSA.....	108
BSBE.....	27

TOTAL..... 409

Communication & Information

BA.....	207
BS.....	55

TOTAL..... 262

Design

BAARC.....	41
BAID.....	16

TOTAL..... 57

Education

BAEDU.....	163
BSEDU.....	142

TOTAL..... 305

Engineering

BSBE.....	15
BSCHE.....	48
BSCIE.....	46
UK/WKU: BSCE.....	14
BSCOE.....	15
BSCS.....	45
BSEE.....	42
BSMAE.....	9
BSMEE.....	84
UK/WKU: BSMEE.....	15
BSMIE.....	29

TOTAL..... 362

Fine Arts

BA.....	42
BFA.....	5
BM.....	14
BMME.....	13

TOTAL..... 74

Health Sciences

BHS.....	48
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TOTAL..... 48

Nursing

BSN.....	89
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TOTAL..... 89

Social Work

BASW.....	32
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TOTAL..... 32

Graduate Degree..... 1020

Professional Degrees..... 448

Undergraduate Degrees..... 2818

TOTAL..... 4286

ASACR 2

Office of the President
May 9, 2014

Members, Board of Trustees:

CANDIDATE FOR DEGREE: DECEMBER 2013

Recommendation: that the President be authorized to confer upon the individual whose name appears on the attached list the degree to which she is entitled, upon certification by the University Registrar that she has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made. Due to an administrative error, the name was not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2013**

Graduate Degrees:

Ph.D.	142
D.M.A.	2
Ed.D.	5
Ed.S.	4
M.A.	69
M.AR.	2
M.A.EDU.	0
M.A.ID.	0
M.B.A.	6
M.Ed.	3
M.F.A.	1
M.H.A.	1
M.H.P.	0
M.M.	6
M.P.A.	1
M.P.H.	18
M.P.P.	0
M.R.C.	34
M.S.	103
M.S.A.	2
M.S.BE.	6
M.S.BAE.	5
M.S.CD.	0
M.S.CIE.	11
M.S.CHE.	3
M.S.CTL.	7
M.S.EDU.	25
M.S.E.E.	9
M.S.FOR.	2
M.S.FS.	1
M.S.FSC.	4
M.S.L.S.	40
M.S.MAT.	1
M.S.MEE.	10
M.S.MIE.	1
M.S.MSYE.	0
M.S.ME.	0
M.S.N.	0
M.S.N.S.	2
M.S.PAS.	2
M.S.RMP.	0
M.S.W.	1

TOTAL.....529

Professional Degrees:

D.M.D.	0
D.N.P.	8
Dr.P.H.	6
D.P.T.	4
J.D.	3
M.D.	3
PharmD.	0
TOTAL	24

Undergraduate Degrees:

Agriculture, Food & Environment

BSAB	3
BSAEC	53
BSAG	5
BSASC	14
BSCCL	18
BSCLD	11
BSCTE	0
BSDIE	20
BSESM	22
BSFOR	0
BSFOS	7
BSFSC	24
BSHPS	4
BSHM	6
BSHN	7
BSLA	2
BSMAT	13
BSNRC	0
BSNRES	5

TOTAL 214

Arts & Sciences

BA	262
BS	80

TOTAL342

Gatton Business and Economics

BBA	105
BSA	50
BSBE	20

TOTAL 175

Communication & Information

BA	62
BS	21

TOTAL83

Design

BAARC	11
BAID	2

TOTAL 13

Education

BAEDU	85
BSEDU	80

TOTAL.....165

Engineering

BSBE	6
BSCHE	1
BSCIE	24
UK/WKU: BSCE	8
BSCS	29
BSCOE	11
BSEE	22
BSMAE	0
BSMEE	41
UK/WKU: BSMEE	4
BSMIE	8

TOTAL.....154

Fine Arts

BA	40
BFA	5
BM	7
BMME	6

TOTAL..... 58

Health Sciences

BHS	24
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TOTAL 24

Nursing

BSN	91
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TOTAL.....91

Social Work

BASW	28
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TOTAL..... 28

Graduate Degree529
Professional Degrees.....24
Undergraduate Degrees 1347
TOTAL 1900

FCR 1

Office of the President
May 9, 2014

Members, Board of Trustees:

AUTHORIZATION OF LEASE
BETWEEN THE UNIVERSITY OF KENTUCKY COLLEGE OF DENTISTRY AND
KENTUCKY MEDICAL SERVICES FOUNDATION, INC.

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to negotiate and execute a lease between the University of Kentucky College of Dentistry and Kentucky Medical Services Foundation, Inc. (KMSF) for space located at 2195 Harrodsburg Road, Lexington, KY 40504. The leased space will include approximately 13,458 square feet of clinical space.

Background: Due to space limitations in the University of Kentucky College of Dentistry's (UKCD) current building, UKCD is seeking to expand Oral & Maxillofacial Services, Orthodontic Services and the General Practice Dentistry faculty practice currently located at Kentucky Clinic South to this new space. KMSF also intends to close the facility that is "Kentucky Clinic South and relocate its faculty practice. By partnering with UK HealthCare in the expansion of their clinics in the same location, UKCD will be able to better serve its patient base. The majority of the patients served will be UK employees participating in the UK Dental Care Plans.

Pursuant to Kentucky Revised Statute §48.111, this lease has been authorized by the 2014 Session of the Kentucky General Assembly. Contingent upon approval from the Board of Trustees, the lease will be reported to the Capital Projects and Bond Oversight Committee.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
May 9, 2014

Members, Board of Trustees:

ESTABLISHMENT OF COLDSTREAM RESEARCH CAMPUS QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of the Coldstream Research Campus Quasi-Endowment Fund to provide for future capital projects and infrastructure improvements; and authorize the executive director of the Coldstream Research Campus, in consultation with the Executive Vice President for Finance and Administration:

- The option to reinvest distributions from the endowment not spent in a particular year;
- The option to invest future positive cash flow from operations of CRC into the endowment; and
- The option to withdraw up to 20% or \$400,000, whichever is greater, of the current balance of the endowment if needed to fund an unforeseen capital project. A 30 day notice will be given prior to any withdrawals. Withdrawals, however, shall not create a market value of less than \$500,000 without approval from the Board of Trustees.

Background: In 1992 the Lexington Fayette Urban County Government passed an ordinance changing the Coldstream Farm zoning from Agricultural Urban to an Office Industry and Research Park (P-2) to permit the development of the Coldstream Research Campus (CRC). Since that time, through the collection of ground rent, CRC has accumulated a current fund balance of over \$1,275,000. This fund balance is used to pay for capital projects and infrastructure improvements on the research campus. Examples of recent investments include building roads to access new buildings, extending utilities to lot lines, expanding the internal pedestrian trail system, and building a natural filtration system to prevent erosion and cleanse storm water before it enters Cave Run Creek.

The executive director of CRC has requested that \$800,000 of the current fund balance be used to establish a quasi-endowment fund. This initial principal will generate annual distributions that could be added to funds already generated from ground lease revenue that are used for CRC's future capital projects and infrastructure needs.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
May 9, 2014

Members, Board of Trustees:

EXPANSION OF PURPOSE OF
CENTRAL KENTUCKY MANAGEMENT SERVICES, INC.

Recommendation: that the Board of Trustees approve an expansion of the purpose of affiliated corporation Central Kentucky Management Services, Inc. (“CKMS”), to include the provision of management, staffing or other support services to health care facilities, entities or enterprises located beyond the University’s main campus, support the University’s missions of teaching, research and service, or any other lawful purpose permitted by Kentucky law; and that the appropriate officials of the University be authorized by the President to execute any necessary contracts with CKMS to effect said expanded purpose.

Background: At the direction of the Board of Trustees, CKMS was formed in 1973 as an affiliated corporation under the name Health Care Collection Service, Inc. The current stated purpose of CKMS is “to do and perform all things necessary or desirable to perform billing and collection services to effect the collection of amounts due by virtue of services rendered or materials furnished by the Albert B. Chandler Medical Center of the University of Kentucky and other nonprofit hospitals or employees or agents of any of the above.”

As the University’s health care programs expand and become more diverse and widespread, there is a need to have a University affiliated corporation provide management, staffing and other support services to health care facilities, entities or enterprises (either University-owned or non-University owned) located beyond the boundaries of the University’s main campus. CKMS has the ability to provide these services but requires an expansion of the purpose set forth in its Articles of Incorporation in order to do so.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
May 9, 2014

Members, Board of Trustees:

PROPOSED 2014-15 TUITION AND MANDATORY FEES SCHEDULE

Recommendation: that the Board of Trustees approve the attached 2014-15 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education. The tuition and mandatory fees schedule reflects a five percent increase for most resident students and an eight percent increase for most non-resident students.

Background:

Council on Postsecondary Education

Pursuant to KRS 164.020, the Council on Postsecondary Education has the statutory authority to determine tuition for Kentucky's public colleges and universities. For the purposes of this policy, the Council includes mandatory fees in the definition of tuition. The Council approved the 2014-15 Tuition Policy and Timeline in February. On April 29, the Council established tuition and mandatory fee parameters, including the maximum amount that can be charged. The Council is expected to approve each institution's proposed tuition and mandatory fee rates on June 20.

The tuition and mandatory fee policy objectives included funding adequacy, shared benefits and responsibility, affordability and student access, attracting and importing talent, and effective use of resources. The Council is transitioning to a two-year tuition setting cycle to facilitate strategic planning and budgeting processes at the institutions and make college costs more predictable for students and families.

For the research and comprehensive universities the Council approved resident, undergraduate tuition and mandatory fee ceilings for academic years 2014-15 and 2015-16 that do not exceed five percent in any one year and does not exceed eight percent over two years. The Council's actions also included maintaining the current floor for nonresident, undergraduate tuition and mandatory fees of two times the resident undergraduate rate and allowing the public universities to submit market competitive resident and nonresident tuition and mandatory fee rates for graduate and online courses.

University of Kentucky Budget Process

Tuition and fees revenue and state appropriations are the primary funding sources for the University's instructional and support functions. The recommended tuition and fee rates reflect efforts to balance student affordability and providing adequate funds for quality education and support services. The FY 2014-15 Operating and Capital Budget recommendation is currently under development and is expected to be balanced based upon the recommended tuition and

mandatory fee rate increases, operating efficiencies and budget reallocations. The recommended FY 2014-15 Operating and Capital Budget will be presented to the Board for consideration on June 10, 2014.

Recommended Tuition and Mandatory Fees

Given the fiscal constraints facing the University, the following Tuition and Mandatory Fees schedule reflects a recommended five percent increase for undergraduate resident students and an eight percent increase for undergraduate non-resident students. The majority of the graduate rates reflect the same percentage increases. Due to market pressures, the resident and nonresident rate increases for students in the Colleges of Pharmacy, Dentistry, and Medicine have been held to three percent. As in the past, the College of Medicine’s rates are fixed by entering class – the total amount does not change during the four-year program. The College of Medicine’s resident and non-resident rate increases for the entering 2014 class are three percent.

Action taken: Approved Disapproved Other _____

2014-15 TUITION AND MANDATORY FEES SCHEDULE¹
Effective Fall 2014

	Per Semester Full-Time Fee^{2,9}		Part-Time and Interessions Per-Credit-Hour Fee^{3,9}	
			2013-14	2014-15
	2013-14	2014-15	2013-14	2014-15
Undergraduate				
<i>Lower Division</i>				
Resident	\$4,983.00	\$5,232.00	\$401.00	\$421.00
Non-Resident	\$10,526.00	\$11,367.00	\$862.00	\$931.00
<i>Upper Division</i>				
Resident	\$5,127.00	\$5,384.00	\$413.00	\$433.00
Non-Resident	\$10,667.00	\$11,521.00	\$874.00	\$944.00
Graduate				
Resident	\$5,386.00	\$5,656.00	\$568.00	\$596.00
Non-Resident	\$11,419.00	\$12,332.00	\$1,239.00	\$1,338.00
Master in Business Administration (MBA)				
<i>Evening and part-time students</i>				
Resident	\$6,225.00	\$6,537.00	\$662.00	\$696.00
Non-Resident	\$13,631.00	\$14,723.00	\$1,485.00	\$1,604.00
Master of Arts in Diplomacy and International Commerce				
Resident	\$5,646.00	\$5,929.00	\$597.00	\$627.00
Non-Resident	\$11,683.00	\$12,619.00	\$1,268.00	\$1,369.00
Master of Science in Physician Assistant Studies				
Resident	\$5,802.00	\$6,093.00	\$615.00	\$646.00
Non-Resident	\$11,842.00	\$12,791.00	\$1,286.00	\$1,389.00
Master of Science in Radiological Medical Physics				
Master of Science in Health Physics				
(College of Health Sciences, Division of Radiation Sciences)				
Resident	\$6,269.00	\$6,583.00	\$667.00	\$700.00
Non-Resident	\$12,289.00	\$13,273.00	\$1,335.00	\$1,442.00

	Per Semester Full-Time Fee²		Part-Time and Interessions Per-Credit-Hour Fee³	
	<u>2013-14</u>	<u>2014-15</u>	<u>2013-14</u>	<u>2014-15</u>
Law				
Resident	\$9,993.00	\$10,494.00	\$975.00	\$1,024.00
Non-Resident	\$17,826.00	\$19,254.00	\$1,759.00	\$1,900.00
Professional Doctoral (Nursing, Public Health and Transitional part-time Physical Therapy)				
Resident	\$7,022.00	\$7,374.00	\$750.00	\$787.00
Non-Resident	\$15,776.00	\$17,039.00	\$1,723.00	\$1,861.00
	Annual Full-Time Fee⁴ Effective July 2014			
	<u>2013-14</u>	<u>2014-15</u>		
Doctor of Pharmacy⁵				
<i>Students - entering class of fall 2011</i>				
Resident	\$23,795.00	\$24,514.00		
Non-Resident	\$43,126.00	\$44,438.00		
<i>Students - entering class of fall 2012</i>				
Resident	\$25,046.00	\$25,808.00		
Non-Resident	\$45,451.00	\$46,828.00		
<i>Students – entering classes of fall 2013 and 2014</i>				
Resident	\$25,672.00	\$26,448.00		
Non-Resident	\$46,614.00	\$48,028.00		
Reduced curriculum load				
Resident	\$13,472.50	\$13,918.00		
Non-Resident	\$23,943.50	\$24,708.00		

	Annual Full-Time Fee⁴ Effective July 2014	
	<u>2013-14</u>	<u>2014-15</u>
Medicine⁶		
<i>Students - entering class of fall 2010</i>		
Resident	\$30,110.00	\$30,110.00
Non-Resident	\$55,248.00	\$55,248.00
 <i>Students - entering class of fall 2011</i>		
Resident	\$31,907.00	\$31,907.00
Non-Resident	\$58,553.00	\$58,553.00
 <i>Students - entering class of fall 2012</i>		
Resident	\$32,889.00	\$32,889.00
Non-Resident	\$60,272.00	\$60,272.00
 <i>Students - entering class of fall 2013</i>		
Resident	\$33,870.00	\$33,870.00
Non-Resident	\$62,073.00	\$62,073.00
 <i>Students - entering class of fall 2014</i>		
Resident	--	\$34,888.00
Non-Resident	--	\$63,948.00
 Reduced curriculum load		
Resident	--	\$18,138.00
Non-Resident	--	\$32,668.00
 Dentistry⁷		
Resident	\$29,307.00	\$30,188.00
Non-Resident	\$59,822.00	\$61,628.00
 Reduced curriculum load		
Resident	\$15,290.00	\$15,788.00
Non-Resident	\$30,547.50	\$31,508.00
 Master in Business Administration (MBA)⁸		
<i>Full-time students in the "Day" Program</i>		
Resident	\$11,495.00	\$12,070.00
Non-Resident	\$23,560.00	\$25,448.00
 Doctorate of Physical Therapy		
Resident	\$17,470.00	\$18,346.00
Non-Resident	\$39,350.00	\$42,508.00

**Complete Program Fee
Effective August 2014¹⁰**

UK-UofL Joint Executive Master in Business Administration	\$67,500.00
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NOTES:

¹Rates include mandatory student fees (see below). Students taking all courses at off-campus locations (including distance learning classes) outside of Fayette County and its contiguous counties may have mandatory fees waived. However, if a student who is eligible for this waiver wants to participate in any activity supported by these fees, the student must pay the total mandatory fees. Pursuant to the Memorandum of Agreement with the Kentucky Community and Technical College System, students enrolled at the Bluegrass Community and Technical College have the opportunity to selectively choose services from the list of mandatory fees.

²The full-time rate is charged to undergraduate students enrolled for 12 credit hours or more, graduate and professional doctoral students enrolled for 9 credit hours or more, and law students enrolled for 10 credit hours or more.

³Students enrolled part-time and in intersessions (e.g. summer and winter terms) are charged on a per-credit hour basis. Students considered full-time for financial aid and reporting purposes, but with less than full-time credit hours, (i.e., graduate students in residency status) will be assessed on a per-credit hour basis.

⁴The annual rates for Pharmacy, Medicine, Dentistry, MBA (“Day Program”), and the Doctorate of Physical Therapy include mandatory fees of \$1,273 and \$1,388 for 2013-14 and 2014-15, respectively.

⁵Reduced curriculum tuition and fee rates for 2014-15 of \$13,915.50 for resident students and \$24,708.00 for non-resident students are established for those pharmacy students who have been approved by the Dean of the College of Pharmacy to have a reduced curriculum load.

⁶The College of Medicine tuition and mandatory fee rates are ‘locked in’ for each entering class cohort. The rates do not change while students are enrolled in the program. Reduced curriculum tuition and fee rates for 2014-15 of \$18,138.00 for resident students and \$32,668.00 for non-resident students is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to have a reduced curriculum load.

⁷Reduced curriculum tuition and fee rates of \$15,788 for resident students and \$31,508.00 for non-resident students are established for those dental students who have been approved by the Dean of the College of Dentistry to have a reduced curriculum load.

⁸The 2014-15 rates for the Master of Business Administration 'Day' Program are effective June 1, 2014.

⁹Students enrolled in only online distance learning courses during the fall and spring semesters will be charged the Kentucky resident rate regardless of residency status. Students enrolled in undergraduate online distance learning courses during intersessions (e.g. summer and winter terms) will be charged based on their residency status.

¹⁰The first cohort of the UK-UofL Joint Executive Master in Business Administration program is expected to begin August 2014. The fee will be assessed to resident and non-resident students and reflects the total price of the program. The fee will be apportioned to each institution.

2014-15 MANDATORY FEES SCHEDULE

	Per Semester		Part-Time	
	Full-Time Fees		and	
	2013-14	2014-15	Per-Credit-Hour Fees	Interessions
	<u>2013-14</u>	<u>2014-15</u>	<u>2013-14</u>	<u>2014-15</u>
Athletics	\$19.00	\$19.00	-	-
Environmental Stewardship	\$3.00	\$3.50	-	-
International Study Abroad	\$6.00	\$6.75	-	-
Johnson Center	\$79.00	\$74.00	\$7.70	\$7.10
Kentucky Kernel	-	\$1.00	-	-
Student Activities	\$15.50	\$16.25	-	-
Student Center	\$98.00	\$125.25	\$9.70	\$14.50
Student Center Renovation Fee	-	\$30.00	-	\$3.00
Student Government Association	\$11.50	\$11.00	-	-
Student Health	\$175.00	\$175.00	-	-
Student Involvement	\$25.00	\$27.25	\$2.40	\$2.50
Technology	\$99.00	\$99.00	\$9.90	\$9.90
WRFL Student Radio	\$5.00	\$5.00	-	-
Student Services	\$13.00	\$13.50	\$1.30	\$1.50
Total	\$549.00	\$606.50	\$31.00	\$38.50

FCR 5

Office of the President
May 9, 2014

Members, Board of Trustees:

PROPOSED 2014-15 ROOM AND BOARD RATES

Recommendation: that the Board of Trustees adopt the attached 2014-15 room and board rates.

Background: The proposed housing rates reflect no increase for traditional double room residence halls, a decrease of 19 percent in the single rate for the traditional residence halls, and a three percent increase for graduate and family apartments. The proposed housing rates for the premium residence halls and Greek housing reflect increases of three percent and no change, respectively. Five new residence halls – Champions Court I and II, Woodland Glen I and II, and Haggin -- are currently under construction by the University's private-development housing partner, Education Realty Trust, Inc. (EdR). These facilities are expected to be online August 2014. The 4-person suite premium residence hall rates will be the same for the University-owned and the EdR constructed (Type A) facilities. The rates for the 2-bedroom (Type B) and 4-bedroom (Type C) suites for the EdR constructed facilities are based on the lease agreements.

The proposed dining rates reflect an increase of 3.9 percent for the minimum seven-meal per week plan, no change for the 21-meal per week plan, and a three percent increase for all other dining plans. Negotiations with potential private dining partners are ongoing and may result in lower dining rates. The President will report any reduction in rates to the Board of Trustees.

The recommended room and board rates are necessary to generate sufficient revenue to cover anticipated operating costs.

Action taken: Approved Disapproved Other _____

PROPOSED 2014-15 ROOM AND BOARD RATES

DINING

PER SEMESTER EFFECTIVE FALL 2014

Dining Services will offer seven dining plans during 2014-15. All students living in residence halls are required to purchase at least the Minimum Plan. Five plans provide from seven to 21 meals per week. Two block plans offer either 85 or 130 meals that can be used any time during the semester. One block plan of 95 meals per semester is available only for resident assistants (RA's).

Each dining plan also includes 'flex dollars.' Flex dollars can be used at any Dining Services location to purchase a la carte items. Flex dollars may be carried over from the fall to spring semester.

	2013-14			2014-15			%
	Avg. Meals Per Week or Per Semester (Block)	Flex Dollars	Cost	Avg. Meals Per Week or Per Semester (Block)	Flex Dollars	Cost	
Minimum Plan	7 Per Week	\$200	\$1,300	7 Per Week	\$200	\$1,350	3.9%
Optional Plans	10 Per Week	\$300	\$1,777	10 Per Week	\$300	\$1,830	3.0%
	14 Per Week	\$300	\$2,310	14 Per Week	\$300	\$2,379	3.0%
	21 Per Week	\$300	\$2,841	21 Per Week	\$300	\$2,841	0.0%
	BLOCK 85	\$700	\$1,570	BLOCK 85	\$700	\$1,617	3.0%
	BLOCK 95 RA	\$350	\$1,372	BLOCK 95 RA	\$350	\$1,413	3.0%
	BLOCK 130	\$300	\$1,570	BLOCK 130	\$300	\$1,617	3.0%

PROPOSED 2014-15 ROOM AND BOARD RATES

HOUSING

PER SEMESTER EFFECTIVE FALL 2014

Residence Halls and Greek Housing

	2013-14	2014-15	%
<i>Traditional Residence Halls (Kirwan-Blanding Complex)</i>			
Double	\$2,323	\$2,323	0.0%
Single	\$3,485	\$2,823	-19.0%
<i>Greek</i>			
Double	\$2,392	\$2,392	0.0%
Single	\$3,588	\$3,588	0.0%
<i>4-Person Suite (UK Premium & Type A Units)</i>			
Per Person	\$3,325	\$3,425	3.0%
<i>2-Bedroom Suite (Type B Units)</i>			
Per Person	N/A	\$3,904	N/A
<i>4-Bedroom Suite (Type C Units)</i>			
Per Person	N/A	\$4,216	N/A
❖ <i>Additional Housing Fees (Smith and Woodland Glen II, Per semester)</i>	\$70	\$72	2.9%

- ❖ Smith and Woodland Glen II are nine-month halls and are open during all stated academic recesses of the University (August 22, 2014 to May 10, 2015) to accommodate students who require housing during recesses.

PROPOSED 2014-15 ROOM AND BOARD RATES

HOUSING
EFFECTIVE FALL 2014

Apartment Housing – Per Month

	2013-14	2014-15	% Change
<i>Greg Page Stadium View Family Apartments</i>			
2-Bedroom	\$650	\$670	3.1%
<i>Shawneetown</i>			
Efficiency	\$505	\$520	3.0%
1-Bedroom	\$603	\$621	3.0%
2-Bedroom	\$650	\$670	3.1%
<i>Commonwealth Village</i>			
Efficiency	\$505	\$520	3.0%
1-Bedroom	\$603	\$621	3.0%
<i>Rose Lane</i>			
Efficiency	\$505	\$520	3.0%
<i>German House</i>			
Single Room	\$592	\$610	3.0%
1-Bedroom	\$680	\$700	2.9%

Summer School Housing

	2013-14	2014-15	% Change
<i>4-Week Session</i>			
Double	\$700	\$721	3.0%
Single	\$865	\$891	3.0%
<i>6-Week Session</i>			
Double	\$1,050	\$1,082	3.0%
Single	\$1,298	\$1,337	3.0%
<i>8-Week Session</i>			
Double	\$1,400	\$1,442	3.0%
Single	\$1,730	\$1,786	3.2%
<i>Daily Rate</i>	\$19	\$20	5.3%

FCR 6

Office of the President
May 9, 2014

Members, Board of Trustees:

2013-14 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2013-14 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$44,616,600, or 1.6 percent from \$2,728,069,400 to \$2,772,686,000.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Sales and Services			
Departmental Sales and Services	\$17,322,800	\$17,562,800	\$240,000
Hospital System	987,892,400	1,030,381,600	42,489,200
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	17,094,600	16,832,900	<u>(261,700)</u>
			\$42,467,500
2. Expenditures			
Provost			
College of Nursing Instruction	\$7,411,400	\$7,201,900	\$(209,500)
Executive Vice President for Finance and Administration			
Human Resource Services	6,215,200	6,455,200	240,000
UK HealthCare			
Hospital System	978,907,400	1,021,396,600	42,489,200
UK Affiliated Corporations			
The Fund for Advancement of Education and Research in the University of Kentucky Medical Center	7,289,400	7,237,200	<u>(52,200)</u>
			\$42,467,500

A. GENERAL FUND (continued)

3. Comments – Departmental Sales and Services income will increase \$240,000 due to the refund of management fees paid to TIAA-CREF and Fidelity for the administration of University employees’ retirement plans. The funds will be used to support the University’s Benefit Office program expenses.

UK HealthCare has requested a budget increase of \$42,489,200 due to providing more patient care and, as a result, generating more operating revenue than originally expected. These funds will be used for related increases in direct costs and fit-up of the patient care facility.

The Fund for Advancement of Education and Research in the University of Kentucky Medical Center (The Fund) is considered to be an affiliated component unit of the University. The Fund operates clinical practice plans for several of the University’s medical center programs including but not limited to dental and nursing services. Due to the cancellation of the Polk Dalton Family Care Center contract, related program budgets in the College of Nursing (\$209,500) and The Fund (\$52,200) will be reduced.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
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B. RESTRICTED FUNDS

1. Income Estimates

Hospital System			
Operating Gifts	\$6,443,000	\$4,316,700	\$(2,126,300)
Appropriated Fund Balances	-0-	4,275,400	<u>4,275,400</u>
			<u>\$2,149,100</u>

2. Expenditures

UK HealthCare			
Hospital System	\$ 6,443,500	\$ 8,592,600	\$2,149,100

3. Comments – Previously unbudgeted fund balance generated by the Hospital System will be used to fit-up the patient care facility. In addition, operating gifts were overestimated during the development of the FY 2013-14 operating budget and expenditure budgets will be adjusted accordingly. These actions will result in a \$2,149,100 net increase of restricted expenditure authority for UK HealthCare.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
May 9, 2014

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period October 1, 2013 through March 31, 2014.

Background: At its March 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT
FOR THE PERIOD OCTOBER 1, 2013 THROUGH MARCH 31, 2014

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 14/028,224**
Filed: September 16, 2013
Title: A Secreted Tumor-Associated Cytochrome as a Blood-Based Biomarker for Cancer
Inventor: Rolf Craven (Molecular and Biomedical Pharmacology)
Technical Description: The invention relates to methods and kits for detecting Pgrmc1 (progesterone receptor membrane component 1) in a bodily fluid sample to facilitate the detection and diagnosis of cancer, determining the stage of cancer, and determining the prognosis for and/or treatment of a subject having a cancer, particularly lung cancer.
Summary: Methods and kits for detecting biomarkers associated with cancer, particularly biomarkers present in a blood or serum sample, are urgently needed to facilitate early detection and treatment of cancer. EGFR (epidermal growth factor receptor) is one of the most promising therapeutic targets in lung cancer, and EGFR inhibitors have produced positive clinical results. Pgrmc1 (progesterone receptor membrane component 1) is a microsomal protein that co-localizes with EGFR in the endoplasmic reticulum or punctuate cytoplasmic sites. Pgrmc1 associates with EGFR in lung cancer cells and increases susceptibility to the EGFR inhibitor erlotinib. Pgrmc1 is also induced in multiple tumor types. Thus, secreted Pgrmc1 is a biomarker for detecting the presence of cancer in a subject and for monitoring cancer progression in a subject by assaying its levels in a bodily fluid. This invention discloses a method for detecting cancer by measuring Pgrmc1 levels in a bodily fluid and the incorporation of this invention into a diagnostic kit.

2. **U.S. Patent Application Serial Number: 14/050,020**
Filed: October 9, 2013
Title: Method and System for Producing Triterpenes
Inventors: Joe Chappell (Pharmaceutical Sciences), Thomas D. Niehaus, Shigeru Okada, Timothy P. Devarenne, and David S. Watt (Molecular and Cellular Biochemistry)
Technical Description: This invention relates to methods and systems for producing triterpenes.
Summary: Studies have been conducted exploring the use of triterpenes as biofuels and petroleum chemicals. *Botryococcus braunii*—a colony-forming, freshwater green algae—accumulates very high levels of methylated triterpenes, compounds that contribute to the buoyancy of the algae and serve as high-value feedstocks for the petrochemical and chemical industries. *B. braunii* race B accumulates 30% or more of its dry weight as triterpenes components, with up to 25% of the dry weight coming from the triterpenes' methylated forms. This invention disclosed a system that allows for more rapid and higher yield production of triterpenes. The invention discloses isolated nucleic

acids encoding triterpenes methyltransferases, genetically modified or engineered triterpenes methyltransferase with a chloroplast tag directing the triterpenes methyltransferase to chloroplasts of plant cells, expression vectors that express a nucleic acid encoding triterpen methyltransferases, genetically modified cells, and methods for transforming cells with an expression vector encoding triterpenes methyltransferases.

3. **U.S. Patent Application Serial Number: 14/067,800**

Filed: October 30, 2013

Title: Soy Products with Reduced Levels of Sulfite, Free Radicals and Methanethiol

Inventor: William L. Boatright (Animal and Food Sciences)

Technical Description: This invention discloses a method of reducing sulfites associated with soy products and compositions containing soy products.

Summary: Soybeans contain a relatively high number of transition metals, including manganese. When sulfites, manganese and oxygen are combined in solution, sulfite-free radicals are generated. Further reaction of the sulfite-free radicals with oxygen can produce sulfate-free radicals. Sulfite and sulfate-free radicals can contribute to the degradation of the essential amino acids in proteins which can alter the function and nutritional characteristics of the protein. Also, because sulfate-free radicals are very reactive toward the oxidation of methionine residues, they may also play a role in the development of Alzheimer's disease and Parkinson's disease. This invention discloses that the addition of iodate or cystine during the processing of isolated soy protein (ISP) inhibits sulfite and the subsequent formation of sulfite-free radicals, and that sulfate-free radicals and other free radicals generated from sulfite-free radicals and methanethiol.

4. **U.S. Patent Application Serial Number: 14/087,219**

Filed: November 22, 2013

Title: Carbon Particles

Inventors: Stephen M. Lipka and Christopher R. Swartz (Center for Applied Energy Research)

Technical Description: This invention provides methods of preparing carbon nanospheres, carbon nanoparticles, and carbon particles by hydrothermal synthesis.

Summary: Carbon particles are used as electrodes in symmetric and asymmetric electrochemical double layer capacitors, electrodes in lithium ion batteries, conductive additive for active material in lithium ion batteries and other battery chemistries, lubrication, capacitive de-ionization of waste water, electrochemical remediation, water softening, and as a replacement for activated carbon. This invention provides methods of preparing carbon nanospheres, carbon nanoparticles, and carbon particles by hydrothermal synthesis and methods of activating carbon particles.

5. **U.S. Patent Application Serial Number: 14/087,643**

Filed: November 22, 2013

Title: Bis-Quarternary Ammonium Cyclophane Compounds that Interact with Neuronal Nicotinic Acetylcholine Receptors

Inventors: Peter Crooks, Linda Dwoskin, Guangrong Zheng, Sangeetha Sumithran, Zhenfa Zheng (Pharmaceutical Sciences), and Paul Lockman

Technical Description: This invention relates to *bis*-quaternary ammonium cyclophane compounds that interact with neuronal nicotinic receptors and to methods of using the compounds to treat central nervous system diseases and pathologies.

Summary: Neurons affected by nicotine play an important role in the neural circuitry relevant to many neurological diseases, including myasthenia gravis, Parkinson's disease, Alzheimer's disease, schizophrenia, eating disorders, and drug addiction. Blocking the activity of neurons sensitive to nicotine is sometimes therapeutic. The inventors have produced compounds that block the activity of nicotine sensitive neurons. The compounds in this invention should be useful in the treatment of a wide variety of diseases related to the action of nicotine.

6. **U.S. Patent Application Serial Number: 14/090,812**

Filed: November 26, 2013

Title: Tris-Quaternary Ammonium Salts and Methods for Modulating Neuronal Nicotinic Acetylcholine Receptors

Inventors: Peter Crooks, Linda Dwoskin, Roger Papke, Guangrong Zheng, and Sangeetha Sumithran (Pharmaceutical Sciences)

Technical Description: This invention relates to *tris*-quaternary ammonium salts and their use in modulating nicotinic acetylcholine receptors.

Summary: The structure and functional diversity of central nervous system nicotinic receptors has stimulated a great deal of interest in developing novel, subtype-selective agonists and/or antagonists. Some of these agonists are currently being evaluated in clinical trials for cognitive enhancement and neuroprotective effects, potentially beneficial for diseases such as Alzheimer's and Parkinson's. This invention discloses tris-quaternary ammonium compounds that are modulators of nicotinic acetylcholine receptors and a method for administering the compounds in therapeutically effective amounts in order to prevent and/or treat a central nervous system associated disorder, substances use and/or abuse disorder, or gastrointestinal tract disorder in mammals.

7. **U.S. Patent Application Serial Number: 14/092,286**

Filed: November 27, 2013

Title: Method and System for Diterpene Production Platforms in Yeast

Inventors: Joe Chappell, Xun Zhuang (Pharmaceutical Sciences), and Wu Shuigin

Technical Description: The invention relates to methods and systems of building terpene production platforms in yeast that have various introduced mutations. These platforms or cell lines can be further modified to produce specific enzymes and/or terpenes, namely diterpenes.

Summary: Terpene family members have commercial value in the flavor and fragrance industries, in pharmaceutical formulations, as lubricants for high-performance machinery. Terpenes are made by plants and microbes in small amounts and in components of complex mixtures that vary with growth and environmental conditions, making it difficult to reproducibly obtain large amounts of any one terpene constituent. The invention discloses the generation of yeast lines that have utility for the production of diverse and high-value diterpenes.

8. **U.S. Patent Application Serial Number: 14/092,495**
Filed: November 27, 2013
Title: Method and System for Terpene Production Platforms in Yeast
Inventors: Joe Chappell, Xun Zhuang (Pharmaceutical Sciences), and Wu Shuigin
Technical Description: The invention relates to methods and systems of building terpene production platforms in yeast that have various introduced mutations. These platforms or cell lines can be further modified to produce specific terpenes.
Summary: Terpene family members have commercial value in the flavor and fragrance industries, in pharmaceutical formulations, as lubricants for high-performance machinery. Terpenes are made by plants and microbes in small amounts and in components of complex mixtures that vary with growth and environmental conditions, making it difficult to reproducibly obtain large amounts of any one terpene constituent. This invention discloses the method for conducting mutagenesis on yeast and selecting the mutagenic yeast for the production of specific terpenes.
9. **U.S. Patent Application Serial Number: 14/102,977**
Filed: December 11, 2013
Title: Cocaine Hydrolast-FC Fusion Proteins for Cocaine and Methods for Utilizing the Same
Inventors: Chang-Guo Zhan, Fang Zheng, Hsin-Hsiung Tai, Xiabin Chen, Liu Xue, and Shurong Hou (Pharmaceutical Sciences)
Technical Description: This invention relates to polypeptides comprising butyrylcholinesterase (BChE) and one or more other polypeptides.
Summary: Cocaine mediates its reinforcing and toxic effects by blocking neurotransmitter reuptake, and the classical pharmacodynamic approach has failed to yield small-molecule receptor antagonists due to the difficulties inherent in blocking a blocker. An alternative to receptor-based approaches is to interfere with the delivery of cocaine to its receptors and accelerate its metabolism in the body. The dominant pathway for cocaine metabolism in primates is butyrylcholinesterase (BChE)-catalyzed hydrolysis at the benzoyl ester group. The enhancement of cocaine metabolism by administration of BChE has been recognized to be a promising pharmacokinetic approach for the treatment of cocaine abuse and dependence. Thus, BChE mutant with high activity against (-)-cocaine are highly desired for use in humans. This invention discloses an isolated polypeptide comprising a BChE polypeptide and a second polypeptide and the nucleic acid molecules that encode them. The invention also discloses the coupling of this isolated polypeptide with a pharmaceutical carrier and a method for administering the combination in order to lower blood cocaine concentration in humans.
10. **U.S. Patent Application Serial Number: 14/109/735**
Filed: December 13, 2013
Title: Lightweight Thermal Management Material for Enhancement of Through-Thickness Thermal Conductivity
Inventor: Matthew C. Weisenberger (Center for Applied Energy Research)
Technical Description: The invention relates generally to thermal management materials and, more particularly, to thermal management materials incorporating carbon nanotubes held in a polymer matrix and methods for producing such materials.

Summary: Aligned carbon nanotubes embedded in a polymer matrix are known for their usefulness in transferring heat. The inventors have developed a new nanotube/polymer material showing improved heat transfer, increasing its usefulness over prior materials for situations where heat transfer is critical. The invention discloses improved composite materials made from carbon nanotubes and a polymer matrix including continuous tapes of such material, as well as methods of their production. The method comprises the steps of preparing strips of aligned carbon nanotube arrays and splicing those strips together end-to-end on a flexible support to form a tape. The method includes covering the tape with a non-permanently adhered film. In addition, the invention relates to a method of increasing unidirectional heat conduction from a work piece.

11. **U.S. Patent Application Serial Number: 14/153,844**

Filed: January 13, 2014

Title: Amidated Dopamine Neuron Stimulating Peptides for CNS Dopaminergic Upregulation

Inventors: Luke Bradley, Don Gash and Greg Gerhardt (Anatomy and Neurobiology)

Technical Description: This invention relates to amidated glial cell line-derived neurotrophic factor peptides that are useful for treating brain diseases and injuries that result in dopaminergic deficiencies.

Summary: Neurotrophic factors are endogenous proteins that modulate cell signaling pathways regulating stem cell proliferation, neuronal differentiation, differentiation, growth, and regeneration. Neurotrophic factors are useful in treating the degeneration of nerve cells and the loss of differentiated function that occurs in a variety of neurodegenerative diseases. Many neurotrophic factors are both neuroprotective and neurorestorative, and identifying neurotrophic factors with the right combination of protective and restorative actions and developing effective strategies for drug delivery have profound therapeutic functions for Parkinson's, Alzheimer's, Huntington's, and other degenerative processes in the brain. Successful trophic factor therapy requires site-specific delivery, distribution of the trophic factor through the target tissue, and tightly-regulated dose delivery. Glial cell line-derived neurotrophic factor (GDNF) is the most potent dopaminergic trophic factor found to date. This invention discloses a composition of an Amidated Dopamine Neuron Stimulating peptide (ADNS peptide) of varying amino acid sequences and a method of treating brain injury or disease using a pharmaceutically effective amount of the composition.

12. **U.S. Patent Application Serial Number: 14/158,357**

Filed: January 17, 2014

Title: Protection of Cells from Alu-Rna-Induced Degeneration and Inhibitors for Protecting Cells

Inventors: Jayakrishna Ambati (Ophthalmology and Visual Science) and Valeria Tarallo

Technical Description: This invention relates to methods for identifying inflammasome, MyD88, IL-18, VDAC1, VDAC2, Caspase-8, and NF κ B; inhibitors of said inflammasome, methods of protecting cells, and screening methods for identifying inhibitors.

Summary: The atrophic form of age-related macular degeneration (AMD) remains poorly understood and without effective clinical intervention. Extensive atrophy of the retinal pigment epithelium (RPE) leads to severe vision loss and is termed geographic atrophy. The pathogenesis of geographic atrophy is unclear, but it is known to cause blindness in millions of people worldwide and has no known cure. RNase DICER 1 is dramatically reduced in the RPE of human eyes with geographic atrophy, and DICER 1 deficiency leads to an accumulation of *Alu* RNA transcripts. *Alu* RNA transcripts induce cell death of human RPE cells and RPE degeneration in mice. This invention shows that DICER 1 deficient or *Alu* RNA exposure activates the NLRP3 inflammasome and triggers toll-like receptor-independent MyD88 signaling via IL-18 both in the RPE of mice and in mice and human RPE cells.

13. **U.S. Patent Application Serial Number: 14/161,277**

Filed: January 22, 2014

Title: Health Monitoring System

Inventors: Craig Carter (Veterinary Diagnostic Laboratory), Eric Vanzant (Animal and Food Sciences), Jacqueline Smith, Cris Anderson, and Agricola Odoi

Technical Description: This invention relates to methods and systems for monitoring the health of a subject, specifically utilizing movement data to identify whether a subject is healthy.

Summary: This invention uses a sensor secured to a subject to monitor its movement and a transponder that transmits the movement data to a receiver. Averaging movement data can provide quantitative data that is representative of a subject's general movement behavior. A subject will be determined to be morbid (i.e., unhealthy) if the movement data is lower than reference movement data by a statistically measurable difference. Reference movement data is collected about a subject before a prolonged (two- or three-day) period of decreased movement. This system can be used with either human or animal subjects, and particular interest is in using it with cattle because approximately 1 to 1.3 million cattle die and 8 million cattle are sick in the U.S. each year.

14. **U.S. Patent Application Serial Number: 14/163,376**

Filed: January 24, 2014

Title: High-Activity Mutants of Butyrylcholinesterase for Cocaine Hydrolysis and Methods of Generating the Same

Inventors: Chang-Guo Zhan, Hoon Cho and Hsin-Hsiung Tai (Pharmaceutical Sciences)

Technical Description: This invention relates to the use of butyrylcholinesterase (BChE) mutants in the treatment of cocaine abuse and dependence.

Summary: The dominant pathway for cocaine metabolism in primates is butyrylcholinesterase (BChE)-catalyzed hydrolysis at the benzoyl ester group. Enhancement of BChE activity by administration of exogenous enzyme substantially decreases cocaine half-life and is a promising pharmacokinetic approach for treatment of cocaine abuse and dependence. BChE mutants with high activity against (-)-cocaine are highly desired for use in humans, and there existed a need to determine which amino acid mutations would result in a BChE with a higher catalytic activity for (-)-cocaine. This invention discloses five novel human BChE mutants that have unexpected increased

catalytic efficiency for cocaine hydrolysis and a method for developing other mutants with this increased efficiency. This invention also discloses a novel pharmaceutical composition that combines a BChE variant with a pharmaceutical carrier, which can be administered to a human to decrease his cocaine blood concentration and in particular (-)-cocaine blood concentration.

15. **U.S. Patent Application Serial Number: 14/166,201**

Filed: January 28, 2014

Title: A Method for Energy Storage to Utilize Intermittent Renewable Energy and Low-Value Electricity for CO₂ Capture and Utilization

Inventors: Kunlei Liu (Center for Applied Energy Research), James Neathery, Joseph Remias, Cameron Lippert, and Nick Holubowitch

Technical Description: This invention relates to power plant energy production and, more specifically, to an apparatus and method for the capture of carbon dioxide produced during energy production by coal-fired power plants.

Summary: This invention discloses a power plant comprised of a boiler that receives fuel, feed-water, and air in stream to produce steam and flue gas; a steam turbine that receives steam to drive a generator to produce electricity and discharges spent steam; a condenser that receives the spent steam and converts steam to condensed feed-water; a post-combustion processing system that processes that flue gas; and an energy storage system including at least one electrochemical cell to store excess electrical energy, produced by the generator during period valley demand, and release thermal energy for power plant operations. In one embodiment, the post-combustion processing system includes a flue gas scrubber, a CO₂ absorbent reagent for removing CO₂ from the flue gas in the scrubber, and a primary stripper for regenerating spent CO₂ absorbent reagent. This invention also discloses a method for improving power plant efficiency comprised of six steps: combusting fuel in an air stream to convert feed-water into steam, driving a steam turbine to produce electricity, removing CO₂ from the flue gas using a CO₂ absorbent reagent, storing excess electricity produced during period valley demand in a electrochemical cell, converting the stored electrical energy to thermal energy, and using thermal energy to aid in regenerating the CO₂ absorbent reagent.

16. **U.S. Patent Application Serial Number: 14/180,619**

Filed: February 14, 2014

Title: Hybrid Cement Clinker and Cement Made from that Clinker

Inventors: Thomas Robl (Center for Applied Energy Research), Tristana Duvallet, Robert Rathbone, and Yongmin Zhou

Technical Description: This invention relates to a new hybrid cement clinker and cement that utilizes waste and byproducts of other industrial processes while providing enhanced performance and environmental characteristics.

Summary: This invention discloses the specifications for a hybrid cement clinker, as well as a new cement. The new cement is a ferrite-alite-calcium sulfonaluminate (CSA) cement that is less expensive to produce than conventional CDA cements and is much more energy efficient than ordinary Portland cement (OPC) with greatly reduced carbon emissions and similar performance.

17. **U.S. Patent Application Serial Number: 14/186,207**
Filed: February 21, 2014
Title: A Flow-Through Filter to Remove Aluminum from Medical Solutions
Inventors: Robert Yokel (Pharmaceutical Sciences), Wesley Harris, Christopher Spilling, Robert Kuhn (Pharmacy Practice and Science), Vasilij Abramov, and Jason Lone
Technical Description: This invention relates to filter assemblies for removing metal ions from a solution and, more particularly, to a filter assembly capable of removing trivalent ions, such as aluminum, and tetravalent metal ions from medical solutions.
Summary: Aluminum (Al) is a common contaminant in many medical solutions as a result of the widespread distribution of Al in the environment. Healthy adults are generally protected against oral Al toxicity, but there is a special concern regarding aluminum exposure to premature infants. These infants routinely require several days of parenteral nutrition (PN) until they can tolerate oral feeding, and it is well known that some of the small volume parenteral solutions used to prepare the final PN solutions are heavily contaminated with Al. This invention discloses a single-use filter to remove Al from a solution as it passes through the filter. The body of the filter is filled with a specialized chelators, and the filter is designed to produce a controlled fluid flow rate, using a partially evacuated vial and flow restriction/flow controller tube with a specified internal diameter and length which establish a controlled rate of flow.
18. **U.S. Patent Application Serial Number: 14/200,360**
Filed: March 7, 2014
Title: Enhancement of Binding Characteristics for Production of an Agglomerated Product
Inventor: Darrell Taulbee (Center for Applied Energy Research)
Technical Description: This invention discloses a method of mixing a particulate material and a binder to for a precursor material and irradiating the precursor material with microwave radiation to activate the binder to form the product.
Summary: Approximately 2 billion tons of recoverable coal fines have been discarded in impoundments in the U.S., with about 50 million more tons added each year. This is a substantial resource that cannot yet be economically cleaned and separated into low-ash product. Drying and forming this coal into agglomerates yields a product that can be transported, stored, and handled in conventional coal-processing equipment. Extrusion is the most common technology for forming biomass into pelletized fuel, but it is an energy intensive process that is limited in capacity, problematic to maintain a consistent production rate, and leads to excessive equipment erosion. Briquetting is lower-energy, higher-throughput, and lower-equipment erosion technology compared to extrusion. Two economic obstacles to producing briquettes are the cost of drying the fine coal and/or biomass and binder costs. This invention discloses a method of method of preparing a precursor material for briquetting by mixing a particulate material and a binder. The precursor material is then irradiated with microwave radiation to dry the precursor material and activate the binder, resulting in the formation of an agglomerated product. This invention discloses several possible embodiments for both the particulate material

and the binder used to make the precursor material, as well as ranges for the moisture content of the precursor material.

Patent Activities

Fiscal year to date as of March 31, 2014

Number of Patent Applications	21
Number of Patents Issued	20
Patent Gross Revenue	\$3,102,726.19