

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 21, 1988.

The Board of Trustees of the University of Kentucky met at 1 p.m. Lexington time on Tuesday, June 21, 1988 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Mr. Robert T. McCowan, Chairman, called the meeting to order at 1 p.m., and the invocation was pronounced by Professor David Driscoll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Governor Albert B. Chandler, Mr. Albert G. Clay, Professor Mary Sue Coleman, Professor David R. Driscoll, Jr., Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Mr. Henry E. Hershey, Dr. Nicholas J. Pisacano, Mr. James L. Rose, Judge Robert F. Stephens, Mr. Jerome A. Stricker, Judge Julia K. Tackett, Ms. Cyndi Weaver, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Mrs. Edythe Jones Hayes, and Senator Walter D. Huddleston. The University administration was represented by President David P. Roselle; Mr. Edward A. Carter, Vice President for Administration; Chancellors Peter P. Bosomworth, Art Gallaher and Charles T. Wethington; Mr. Eugene R. Williams, Acting Vice President for Information Systems; and Mr. John C. Darsie, Legal Counsel.

Members of the news media were also in attendance. Mr. Darsie reported a quorum present, and Mr. McCowan declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Minutes Approved

On motion made by Judge Stephens, seconded by Professor Driscoll and passed, the Minutes of the May 3, 1988 meeting of the Board of Trustees were approved as written.

D. Student Trustee Recognized

Mr. McCowan expressed appreciation for the contributions made by Ms. Cyndi Weaver during her tenure as Student Trustee and extended best wishes on behalf of the Board.

Ms. Weaver introduced Mr. James A. Rose, President-elect of the Student Government Association, who will serve as the Student Trustee during 1988-89. She expressed confidence in Mr. Rose's ability to serve as an effective member of the Board. Mr. Rose was asked to stand and be recognized, following which he was given a round of applause.

E. President's Report to the Trustees (PR 1)

President Roselle reviewed some of the items in PR 1, calling particular attention to the following:

1. Merit Scholarships at Million-Dollar Mark

Total merit scholarships for the University this year will be about \$1 million, a significant increase over the \$70,000 in such awards made just five years ago.

The Otis A. Singletary Scholarships worth \$23,400 each have been awarded to thirteen students entering the University this fall, and six of the eleven are National Merit Finalists.

Scholarships to 259 freshmen based on merit in the amount of \$370,542 have been awarded this year.

Student interest in the University is growing at the rate of 10% to 12% as measured by applications and it is also growing as measured by the confirmation rate on acceptances.

2. Children's Miracle Network Telethon Successful

The Children's Miracle Network Telethon, telecast by Lexington's Channel 18, raised more than \$133,000 through the 21-hour telethon for UK Hospital's pediatric program.

3. Equine Library Dedicated in Memory of Horseman Morris

The John A. Morris Memorial Library for equine veterinary science was dedicated in late May at the Gluck Equine Research Center. The modern computerized data collection and distribution center was made possible by a \$200,000 gift from Edna Morris, widow of the New York thoroughbred horse breeder.

4. Two Members of Board of Trustees Honored

Edythe Jones Hayes was the recipient of the Lexington Optimist Cup, one of the city's leading awards to a citizen "who has rendered outstanding service to the community, primarily through volunteer service." Mrs. Hayes was unable to be in attendance at the Board meeting.

Nicholas J. Pisacano received the John B. Walsh Award of the American Academy of Family Practice, "for dedicated and effective leadership in furthering the development of family medicine." He was the major force behind the establishment of the American Board of Family Practice. Dr. Pisacano was then recognized and given a round of applause.

F. Personnel Actions (PR 2)

In recommending approval of the appointments and staff changes in PR 2, President Roselle called attention to the reappointment of Professor Raymond F. Betts as Director of the Honors Program through June 30, 1990, and the appointment of Professor Kenneth M. Coleman as Chairman of the Political Science Department through June 30, 1991.

Chancellor Gallaher reviewed the tenure of Dean Robert Lawson who is resigning as Dean of the College of Law and Dean Charles Barnhart who is retiring from the College of Agriculture. He expressed his appreciation for their many contributions to the University. Dean Lawson could not be in attendance; however, Dean Barnhart was in attendance and was asked to stand and be recognized, following which he was given a round of applause.

On motion made by Mr. Clay, seconded by Mr. Hershey and carried, the appointments and staff changes recommended in PR 2 were approved. (See PR 2 at the end of the Minutes.)

G. Proposed Amendment to the Governing Regulations (PR 3A)

It was the consensus of the Board to receive the proposed amendment to the Governing Regulations of the University of Kentucky for preliminary consideration. The proposed amendment will be included on the agenda for action at the next Board meeting. (See PR 3A at the end of the Minutes.)

H. Appointment of Vice President for Information Systems (PR 3B)

President Roselle recommended that the Board of Trustees approve the appointment of Eugene R. Williams as Vice President for Information Systems effective July 1, 1988. He reported that Mr. Williams had been in the position in an acting role for the past year and that the University had experienced a year of real progress in the general area of computing and communications under his leadership. Mr. Williams was then recognized and given a round of applause. The motion, which was made by Mr. Wilcoxson and seconded by Mr. Stricker, was voted on and carried. (See PR 3B at the end of the Minutes.)

I. Vice President for Research and Graduate Studies (PR 3C)

President Roselle reported that Dr. Singletary had earlier appointed a group called the Committee on the Future of the University. He stated that the group had emphasized the research mission of the University and had called upon the administration to elevate the chief research administrator to the position of vice president. President Roselle expressed satisfaction in recommending that the Board approve a restructuring of research and graduate education administration by moving Wimberly Royster into the position of Vice President for Research and Graduate Studies, creating a new position of Dean of the Graduate School, and changing the title of the two sector-based positions from

Associate Dean for Research to Vice Chancellor for Research and Graduate Studies. Dr. Royster was asked to stand and be recognized, following which he was given a round of applause. The motion, which was made by Governor Chandler and seconded by Mr. Hershey, carried unanimously. (See PR 3C at the end of the Minutes.)

J. Chancellor for the Community College System and University Relations (PR 3D)

President Roselle reminded the Board that on July 15, 1987 he assigned additional responsibilities in public and governmental relations to Dr. Charles Wethington and reported that Dr. Wethington had done the job very well. He stated that PR 3D recognizes the additional duty by changing Dr. Wethington's title from Chancellor for the Community College System to Chancellor for the Community College System and University Relations, effective July 1, 1988. Governor Chandler so moved. The motion was seconded by Mr. Stricker and unanimously carried. Dr. Wethington was recognized and given a round of applause. (See PR 3D at the end of the Minutes.)

K. Associate Vice President for Administration for Alumni and Development (PR 3E)

President Roselle reported that Dr. Ray Hornback, Vice President for University Relations, had resigned effective June 30, 1988, and the duties of Dr. Hornback's position are being divided between Dr. Wethington and Mr. Terry Mobley. As shown in PR 3D, Dr. Wethington will have the responsibility of university relations, and the responsibility of both alumni and development areas is being assigned to Mr. Mobley. President Roselle, therefore, recommended that the Board of Trustees approve a change in title for Mr. Mobley from Director of Development to Associate Vice President for Administration for Alumni and Development effective July 1, 1988. Mr. Mobley was asked to stand and be recognized, following which he was given a round of applause. The motion, made by Judge Wilhoit and seconded by Mr. Bates, carried. (See PR 3E at the end of the Minutes.)

Mr. McCowan stated that he had worked with Mr. Mobley for a number of years on the Development Council and that Mr. Mobley has made an outstanding contribution to the University's success in the development area. He also stated that Mr. Mobley is a great man for the job and reflects well on the University.

L. Acceptance of Gift from the A. Sidney Behrman Trust (PR 3F)

With President Roselle so recommending, Mr. Stricker moved that the Board of Trustees adopt the resolution regarding the acceptance of a gift from the A. Sidney Behrman Trust which is attached to PR 3F. The motion, seconded by Dr. Pisacano, carried. (See PR 3F at the end of the Minutes.)

M. Associate Degree Program in the Community College System - Hazard Community College (PR 4A)

On recommendation of President Roselle, Mr. Hershey moved that the Board approve for submission to the Council on Higher Education a new degree program Associate Degree in Applied Science, Nursing, for the Hazard Community College. His motion was seconded by Judge Tackett and passed without dissent. (See PR 4A at the end of the Minutes.)

N. Appointment of Dean of College of Agriculture (PR 5A)

Acting on the recommendation of Chancellor Gallaher and the Search Committee, President Roselle recommended that C. Oran Little be named Dean of the College of Agriculture; Director, Agricultural Experiment Station; and by agreement with the Administrator of the Extension Service of the U.S. Department of Agriculture, Director, Cooperative Extension Service, effective July 1, 1988. Chancellor Gallaher reviewed briefly the credentials and experience of Dr. Little and expressed his pleasure in recommending the appointment. Mr. Clay so moved. The motion was seconded by Mr. Bates and unanimously carried. (See PR 5A at the end of the Minutes.)

O. Appointment of Director, Tobacco and Health Research Institute (PR 5B)

President Roselle recommended that Dr. John N. Diana be appointed Director of the Tobacco and Health Research Institute effective July 1, 1988. He reviewed briefly the credentials and experience of Dr. Diana and indicated his pleasure in recommending this appointment. Dr. Diana was asked to stand and be recognized, following which he was given a round of applause. The motion, which was made by Mr. Forgy and seconded by Professor Coleman, was voted on and carried. (See PR 5B at the end of the Minutes.)

P. Peat Marwick Professorship in Accounting (PR 5C)

President Roselle recommended that the Board of Trustees establish in the School of Accountancy, College of Business and Economics, the Peat Marwick Professorship in Accounting. On motion made by Mr. Stricker, seconded by Judge Tackett, and carried, PR 5C was approved. (See PR 5C at the end of the Minutes.)

Q. Thomas C. Simons Professorship in Business Ethics (PR 5D)

President Roselle recommended that the Board of Trustees establish in the College of Business and Economics the Thomas C. Simons Professorship in Business Ethics. The motion, which was made by Judge Tackett and seconded by Mr. Farmer, was voted on and carried. (See PR 5D at the end of the Minutes.)

R. Naming of University Building (PR 5E)

With President Roselle so recommending, Mr. Clay moved that the Board approve the name the Rottgering-Kuegel Agricultural Research and Extension Building for the office/laboratory building located at the Research and Education Center in Princeton, Kentucky. The motion was seconded by Professor Betts and carried. (See PR 5E at the end of the Minutes.)

S. Naming of University Room (PR 5F)

President Roselle indicated his pleasure in recommending that the Board approve naming Room 110 in the Mining and Mineral Resources Building the Catesby W. Clay Room. He stated that the Mining and Engineering Foundation is the direct result of efforts on Catesby Clay. President Roselle stated that Mr. Clay provided an initial grant of one-half million dollars in support of the Foundation and continues to be active on the Board of the Foundation. The motion, which was made by Governor Chandler and seconded by Mr. Rose, was voted on and carried. (See PR 5F at the end of the Minutes.)

T. Reorganization of Departments in the College of Allied Health Professions (PR 6A)

With President Roselle so recommending, Mr. Stricker moved that the Board approve the reorganization of the eight existing departments in the College of Allied Health Professions into two new departments, the Department of Clinical Sciences and the Department of Health Services, effective July 1, 1988. The motion, seconded by Dr. Pisacano, carried without dissent. (See PR 6A at the end of the Minutes.)

U. Endowed Chair in the Department of Surgery (PR 6B)

President Roselle recommended that the Board of Trustees establish in the College of Medicine, Department of Surgery, a fund for the purpose of endowing a Chair in Surgery. He reported that funding will come from the Kentucky Medical Services Foundation, Inc. and the Dean of the College of Medicine has committed funds in the amount of \$375,000. Mr. Forgy so moved. The motion was seconded by Mr. Clay and carried. (See PR 6B at the end of the Minutes.)

V. Professorship in Surgery (PR 6C)

President Roselle stated that PR 6C is a recommendation that the Board establish in the College of Medicine, Department of Surgery, a Professorship in Surgery. The Department of Surgery has committed funds to establish an endowment to support the professorship in the amount of \$375,000, and funding will be from the Kentucky Medical Services Foundation, Inc. Mr. Hershey so moved. The motion, seconded by Dr. Pisacano, carried. (See PR 6C at the end of the Minutes.)

W. Professorship in the Division of Neurosurgery (PR 6D)

With President Roselle so recommending, Mr. Hershey moved that the Board also establish in the College of Medicine, Department of

Surgery, a Professorship of Neurosurgery. The Division of Neurosurgery and the Dean of the college of Medicine have committed funds to establish an endowment to support the professorship in the amount of \$375,000, and funding will be from the Kentucky Medical Services Foundation, Inc. The motion, seconded by Mr. Clay, carried without dissent. (See PR 6D at the end of the Minutes.)

X. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6E)

President Roselle recommended that the Board approve the agreement attached to PR 6E between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978. The motion, which was made by Mr. Hershey and seconded by Mr. Wilcoxson, was voted on and carried. (See PR 6E at the end of the Minutes.)

Y. Change in Order of Agenda

Because of the substantial length of the Finance Committee agenda, Mr. McCowan changed the order of the agenda and called for reports from the Academic Affairs and Investment Committees.

Z. Update on Academic Affairs Committee

Professor Coleman reported on the preparation of teaching assistants in the classroom. She indicated that it had been difficult for the Committee to generate hard data about language problems with teaching assistants and stated that the Committee is trying to get a better measure. An invitation from the Screening Committee was extended by Professor Coleman to all Board members to attend the screening sessions to be held August 15 and 20. She explained that additional information will be brought to the Board as it develops.

AA. Report of Investments (ICR 1)

Mr. Hershey, Chairman of the Investment Committee, moved that the report of changes made in investments for the University of Kentucky and its affiliated Corporations for the three months ending March 31, 1988 be accepted. The motion was seconded by Mr. Rose and passed without dissent. (See ICR 1 at the end of the Minutes.)

BB. George J. Ruschell Recognized

Before beginning the Finance Committee's recommendations, Mr. Forgy called attention to the upcoming retirement of Mr. George J. Ruschell. Mr. Forgy reviewed Mr. Ruschell's experience and stated that Mr. Ruschell had dedicated his entire working career to the well-being of the University. Mr. Ruschell was asked to stand and be recognized, following which he was given a round of applause.

CC. Acceptance of Interim Financial Report for the University of Kentucky for the Ten Months Ended April 30, 1988 (FCR 1)

Mr. Forgy reported that FCR 1 is an adoption of the interim financial report for the ten months ending April 30, 1988. He noted that the University had received income of approximately \$537,534,000 representing 96% of the 1987-88 estimate -- closing out a \$560,248,000 year -- and that the magnitude of this responsibility rests with the administration and the Board. He then moved that FCR 1 be adopted. The motion was seconded by Judge Stephens and passed without dissent. (See FCR 1 at the end of the Minutes.)

DD. Acceptance of the Audit for the University of Kentucky Federal Credit Union (FCR 2)

Mr. Forgy moved that the Board accept the audited financial statement of the University of Kentucky Federal Credit Union for the year ended December 31, 1987. He noted that the Credit Union is operated by its own Board and audited by the University's outside auditor. He stated that this is a routine report and has been reviewed by the Controller and Treasurer. The motion, which was seconded by Mr. Farmer, was voted on and carried. (See FCR 2 at the end of the Minutes.)

EE. Disposal of Personal Property (FCR 3)

On motion made by Mr. Forgy, seconded by Judge Tackett and passed, approval was given for the disposal of surplus and obsolete equipment as recommended in FCR 3. (See FCR 3 at the end of the Minutes.)

FF. Disposition of Surplus Property (FCR 4)

Mr. Forgy moved that the two lots in which the University of Kentucky owns an undivided one-half interest in Fort Worth, Texas be declared surplus property and that the Vice Chancellor for Administration be authorized to sell the lots by sealed bid, public auction or through a real estate agent. He reported that the property is in a declining area in Fort Worth creating a limited demand for residential lots. The motion was seconded by Dr. Pisacano, and passed. (See FCR 4 at the end of the Minutes.)

GG. Capital Construction Report (FCR 5)

On motion made by Mr. Forgy, seconded by Mr. Clay and passed, the Capital Construction Report for the nine months ending March 31, 1988, was adopted. (See FCR 5 at the end of the Minutes.)

HH. Approval of Lease (FCR 6)

Mr. Forgy stated that by a Board resolution the Vice Chancellor for Administration has the authority to enter into leases below \$30,000. FCR 6 is a lease between the University of Kentucky Medical Center Finance Division and The Webb Companies which exceeds the \$30,000 level and, therefore, must have Board approval. He pointed out that it is merely a renewal of a lease at the same level for the same space. Mr. Forgy's motion was seconded by Mr. Hershey and passed. (See FCR 6 at the end of the Minutes.)



II. Approval of Lease (FCR 7)

Mr. Forgy stated that FCR 7 is requesting approval of a lease for space occupied by the Owensboro Community College. He explained that the rental rate is low, and the space is to be used until the Owensboro Community College building is completed. On motion made by Mr. Forgy, seconded by Judge Stephens and carried, FCR 7 was approved. (See FCR 7 at the end of the Minutes.)

JJ. Report of Leases (FCR 8)

Mr. Forgy moved that the routine report of leases in FCR 8 be adopted and made a matter of record. The motion was seconded by Mr. Stricker and passed. (See FCR 8 at the end of the Minutes.)

KK. Establishment of a For-Profit Subsidiary Corporation (FCR 9)

Mr. Forgy recommended that the Board authorize the University of Kentucky Research Foundation to establish a for-profit subsidiary corporation with a suggested name of Kentech, Inc. to market research products and services of a commercial nature in order to further the University's technology transfer program and other economic development efforts based on university developed technology. He noted that the for-profit subsidiary corporation would be operated by a separate Board somewhat affiliated with the University. Mr. Forgy's motion was seconded by Dr. Pisacano and carried. (See FCR 9 at the end of the Minutes.)

LL. Establishment of a Mandatory Fee Policy (FCR 10) and Adoption of 1988-89 Fee Schedule (FCR 11)

Mr. Forgy referred FCR 10 and FCR 11 to President Roselle with the understanding that he left a continuing motion on the table that they be approved.

President Roselle stated that after the consideration of student fees at the last Board meeting, a decision was made to direct the President to develop a policy for changes in mandatory fees. He reported that the proposed policy will consist of an annual inspection of student fees. He indicated that the proposed policy has been discussed with the Student Government Association, and they are in favor of it. He also stated that the Student Government Association will be involved in developing such a policy which will be similar to the one setting tuition fees for Kentucky residents. On motion made by Mr. Forgy, seconded by Judge Tackett and carried, FCR 10 was approved. (See FCR 10 at the end of the Minutes.)

Ms. Weaver reminded the Board of her request at the last meeting which was to allow her the opportunity to discuss fees with students. She stated that this opportunity was very valuable and proceeded to pass out a resolution which had been approved by the Student Government Association. She cautioned the people who would be discussing fees

charged at comparable institutions because universities use their student fees very differently. She indicated that she had not found a benchmark institution where the Student Government takes less money from their students than UK and cited examples.

President Roselle stated that Mr. Forgy had moved approval of FCR 11 and stated that he had nothing to add. The motion was seconded by Mr. Stricker and carried. (See FCR 11 at the end of the Minutes.)

MM. Conceptual Plan for the Use of Coldstream Farm (FCR 12)

Mr. Forgy stated that FCR 12 relates to the conceptual plan for the use of Coldstream Farm. He reminded the Board that on December 8, 1987 the Board resolved that it would not sell Coldstream Farm and authorized the administration, through a committee consisting of Mr. Rose, Mr. Farmer, and himself, to devise a plan by which the University could maximize the value of Coldstream Farm to the institution. He reported that the conceptual plan has been developed by MPC, Inc. which is a consulting organization in Washington, D.C. and introduced Mr. Michael Curzan, President and Ms. Marilyn Swinford. Before asking Mr. Curzan to discuss the plan, the processes they went through, and their recommendation, Mr. Forgy pointed out that FCR 12 does two things: (1) adopts the conceptual plan and (2) authorizes the Vice Chancellor for Administration, Dr. Blanton, in conjunction with MPC, Inc., to begin negotiations for the selection of a developer that would be approved by the Board of Trustees.

Mr. Curzan indicated his pleasure in speaking to the Board on this extremely important program and set of activities. After briefing the Board about the organization's background, he reported that they began the project with data gathering and interviewing and explained the process. He stated that they met on an individual basis with 100-125 people from the campus, the community and governmental agencies, and that there was an enormous amount of loyalty to the institution. He reported that after several months into the process, an interim report was developed and submitted to the administration, at which time changes were made.

Mr. Curzan stated that there was no opposition to retail. There was, however, a concern that it be placed in a plan so it would not detract from the mission of utilizing this property for University related purposes. He indicated there was a rather broad consensus for phasing out, not necessarily immediately, the operating farms from this property and moving them elsewhere. He continued that there was the concept of the University continuing in an active role with the developer.

Mr. Curzan then explained that this was put within several working assumptions. One of the first and most important was not to sell the property but to work through a series of leases. This property would be used in the context of increasing the educational mission. He pointed out that one of the things extremely important to remember is that the words "educational mission" and "profitability" are not necessarily inconsistent. Parcels can be used in a way which can substantially benefit the institution. They can be generators of revenue and not revenue losers. He added that there was a very strong set of assumptions to use the land in a way to strengthen relationships

between the University, the city and the state, and this property would be viewed within the context of a long-time horizon. Mr. Curzan added that the strategic location of this property was in fact a gateway; therefore, emerging the theory of a gateway -- not only to the University but to the city as well.

Mr. Curzan reported on the various facilities and addressed the importance of a continuing education center. He noted that this type of center carries out a mission that provides training on an on-going basis to people on both a state and regional level. He pointed out that they do not necessarily compete with hotels. He continued reviewing other facilities such as research, honor high school, University offices and a repository for other types of governmental office buildings.

He then noted that the retail facilities would be located near Georgetown Road and indicated that there would be a need for additional traffic planning as well as an interchange. He explained that this concept had been tested on the development community, and there is an interest.

Mr. Curzan concluded his remarks by stating that working on the conceptual plan had been a very pleasurable process. The legacy for this property is substantial, and it can have enormous benefits for the institution and its growth.

Following Judge Stephens' question regarding a commitment from state or federal government for an interchange, he stated that he wanted to make sure that there is a full disclosure of the impact and obligations to the city, state and federal government.

Governor Chandler reported that 32 years had passed since he bought Coldstream Farm for \$1,200 an acre and there had been a move to sell the farm, letting the proceeds go to the general fund of the Commonwealth. He emphasized that the farm was bought and given to the University and any money that comes as a result of a decision about the property must go to the University. The property is a valuable asset to the institution and needs to be protected. He pleaded with the Board to guard the plan.

Mr. McCowan commended Governor Chandler on his foresight many years ago to buy this beautiful and valuable property. He gave Governor Chandler his assurance that the Trustees would protect the land and always have it available to the University.

Dr. Pisacano stated that in addition to commending Governor Chandler's foresight, the committee, the administration, the staff and Mr. Curzan are to be commended for the fine presentation. He expressed his excitement about the excellent plans and suggested that a committee be appointed to safeguard the University. He then moved that the report be accepted.

Mr. Forgy explained that there were two matters that should be brought before the Board: (1) there has been no closing out of the developers, and (2) there is a continued commitment on the part of the institution to relocate the animal sciences services that are now being conducted on Coldstream Farm. There has to be, however, the development of the commercial facility to provide the money for the move. Therefore, the entire development is to a large extent contingent upon the development of the retail space. Also, without the cooperation of the state and the city, it will be impossible to make this a success from an economic standpoint. He continued that there must be people who are willing to invest substantial private funds in the facility in order for the project to go forward. Mr. Forgy reiterated his motion that FCR 12 be adopted.

Mr. Farmer reviewed the natural progression of the process stating that the project would go to the committee on Coldstream Farm, the Finance Committee and then to the Board as a whole. Mr. McCowan was in agreement.

On motion made by Mr. Forgy, seconded by Dr. Pisacano and passed, FCR 12 was approved with Judge Stephens abstaining. (See FCR 12 at the end of the Minutes.)

NN. Operating Budget for 1988-89 (FCR 13)

Mr. Forgy stated that the Operating Budget was the most important action taken at any Board meeting on an annual basis. He stated that the Operating Budget for 1988-89 had been discussed with members of the Board and the Finance Committee. He then deferred the budget matter to President Roselle.

In opening his remarks, President Roselle stated that he presented it with mixed emotions. He reported that it was not an entirely positive budget, and it will leave the University with difficult financial problems. Also, he said, it does not represent the commitment that the administration feels it must have from the Commonwealth of Kentucky to move the University forward. It is, however, much better than it appeared months ago. He expressed pride that through the efforts of the higher education community, concerned citizens, members of the Board, faculty, staff and students, a united voice spoke out on behalf of higher education and at least higher education was not left entirely out of the state budget process.

He reported that \$21 million of expenditure requirements had been identified and, with the new state dollars and tuition, the University had \$13.6 million available which left an \$8 million deficit. While the state appropriation was disappointing, President Roselle stated that he did not want the University to be idle for a year. He explained that all ways of enhancing the revenue were considered and through that review the \$8 million was disclosed: \$800,000 from discontinuing the general fund subsidy of Health Services, \$1.5 million from a grant from the Athletics Association, \$2.5 million from investment income which had previously supported the Hospital capital program, and \$3.2 million in reallocation (primarily through position reduction).

President Roselle stated that he did take special pride in some of the initiatives that had been put in place with this budget and reviewed those initiatives: faculty grant program, supplemental current expense funding for the academic departments, funds to support minority faculty recruitment, graduate fellowships funding, and enhancement of the Singletary Scholarship program. He continued that in addition to these initiatives, the following needs have also been met: the fixed cost needs (20% increase in the single coverage on the health insurance), a creditable amount of money into current expense, some library and computing support, funding for a good stipend adjustment for the graduate assistants, some enhancement in the graduate and research programs, and some position catch-up in the Community College System.

President Roselle then reviewed some negative points indicating that the faculty and staff salary increases were a mere 2% and that the budget did not contain any program money for improvement, enhancement, or new programming which is essential to being a vital University.

In summary, President Roselle reiterated that it is not a very good budget but is the best the administration could do given the funding situation with which the University was confronted. He did, however, express optimism about the future. He also stated that if the University continues to be of good service to the people of the Commonwealth, the people will rally to the cause which should result in better funding in the future.

Using a series of charts, Mr. Carter provided detailed information on the budgetary process and gave a general overview of the 1988-89 budget. He then recognized Mrs. Joan McCauley and her staff for their fine job in preparing the budget and asked them to stand and be recognized, following which they were given a round of applause.

Mr. McCowan pointed out that the budget was not taken lightly by the Board. He indicated that the budget material had been mailed to the Board in advance and work sessions had been held with various members. He noted that the budget had also been reviewed in the Finance Committee meeting.

Mr. Clay moved that the budget as recommended be adopted and that the Chairman of the Board be authorized to negotiate and execute contracts with the administrative officers providing for the terms and conditions of their employment and benefits. The motion was seconded by Governor Chandler and passed without dissent. (See FCR 13 at the end of the Minutes.)

00. Ms. Weaver's Comments

Ms. Weaver stated that the students are not particularly happy about the imminent decision to take general fund money out of student health. She added that the students are, however, very happy about student health services offered to them. She then praised Jean Cox and her staff for their tremendous job and stated that they are to be commended.

PP. August Board Meeting

Mr. Stricker reminded the Board that the August meeting would be in Northern Kentucky and stated that he was looking forward to extending some Northern hospitality to everyone.

QQ. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 3:03 p.m.

Respectfully submitted,

John C. Darsie  
Assistant Secretary  
Board of Trustees

(PR's 2, 3A, 3B, 3C, 3D, 3E, 3F, 4A, 5A, 5B, 5C, 5D, 5E, 5F, 6A, 6B, 6C, 6D, and 6E; ICR 1; and FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, and 13 which follow are official parts of the Minutes of the meeting. Also attached is a copy of the Student Government Association Resolution which follows FCR 10.)

Office of the President  
June 21, 1988

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

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CENTRAL ADMINISTRATION

I. ADMINISTRATIVE ACTION

A. PROMOTIONS

Vice President for Information Systems - Computing Center

Elbon, David C., from Senior Systems Programmer, to Lead Systems Programmer, effective June 1, 1988.



COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Jefferson Community College

Hoover, Nancy L., Associate Professor in the Community College System (with tenure), 7/1/88.

B. ADMINISTRATIVE APPOINTMENTS

Ashland Community College

Brewer, Janetta F., Associate Professor in the Community College System (with tenure), Chairman, Division of Social Sciences and Related Technologies, 7/1/88 through 6/30/91.

Griffiths, Carole H., Associate Professor in the Community College System (with tenure), Chairman, Division of English, Fine Arts and Humanities, 7/1/88 through 6/30/91.

Howes, Charles D., Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences and Related Technologies, 7/1/88 through 6/30/91.

Jefferson Community College

Alderdice, Phyllis, Assistant Professor in the Community College System, Chairman, Division of Business and Related Technologies, Downtown Campus, 7/1/88 through 6/30/91.

Ecker, David P., Assistant Professor in the Community College System, Chairman Division of Humanities, Communications and Related Technologies, Southwest Campus, 7/1/88 through 6/30/91.

Madisonville Community College

Cundiff, Brenda M., Assistant Professor in the Community College System, Chairman, Division of Social Sciences and Related Technologies, 7/1/88 through 6/30/91.

Oglesby, Sarah A., Associate Professor in the Community College System (with tenure), Chairman, Division of Humanities and Related Technologies, 7/1/88 through 6/30/91.

Maysville Community College

Owen, Nancy J., Associate Professor in the Community College System (with tenure), Chairman, Division of Business and Related Technologies, 7/1/88 through 6/30/91.

Story, Lloyd E., Associate Professor in the Community College System (with tenure), Chairman, Division of Science and Related Technologies, 7/1/88 through 6/30/91.

Paducah Community College

Crisp, Jayne C., Associate Professor in the Community College System (with tenure), Chairman, Division of Social Sciences, Business and Related Technologies, 7/1/88 through 6/30/91.

Wood, Kathy H., Professor in the Community College System (with tenure), Chairman, Division of Humanities and Related Technologies, 7/1/88 through 6/30/91.

Prestonsburg Community College

Milon, Theresa A., Assistant Professor in the Community College System, Chairman, Division of Social Sciences and Related Technologies, 7/1/88 through 6/30/91.

Robertson, Charles S., Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/88 through 6/30/91.

C. PROMOTIONS

Ashland Community College

Elliott, Terry G., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Greene, Carol M., from Librarian III in the Community College System, to Librarian II in the Community College System (with tenure), 7/1/88.

Kendrick, Helen, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Shoemaker, Jon P., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Swanson, Uma G., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

#### Elizabethtown Community College

Hart, Edward D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Hunt, Allen N., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Murley, James I., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Murray, Josephine I., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Stewart, Theresia B., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Thompson, Ann B., from Librarian II in the Community College System (with tenure), to Librarian I in the Community College System (with tenure), 7/1/88.

Whelan, M. Shannon, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

#### Hazard Community College

Hurt, Valina Kay, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

#### Jefferson Community College

Case, Patricia, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Cleveland, Virginia, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

DiNoto, Vincent, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Elliott, Margo C., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Heard, Viola K., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

McCombs, Charles R., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Sherrard, James A., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Spears, Sandra, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Lexington Community College

Allen, Margaret B., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Kavanaugh, Susan C., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Kelly, Debra H., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Odong-Wodolam, Vincent, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Madisonville Community College

- Moore, Judith K., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.
- Shubiak, Bonnie J., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Thomas, Linda J., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Wortham, Toni C., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Maysville Community College

- Abney, Darrell H., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Duffy, Michael R., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Kilgore, Tara C., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Richey, Herbert P., from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Paducah Community College

- Blagg, Jacqueline, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.
- Halford, B. Andrew, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Houston, Jonia L., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Kepner, Mary K., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Prestonsburg Community College

Adams, Alice B., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Madden, Darrell E., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Matijasic, Thomas D., from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Somerset Community College

Garrett, Glyncon, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/88.

Southeast Community College

Hogue, J. Scott, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

Wilson, Odell, from Assistant Professor in the Community College System, to Associate Professor in the Community College System (with tenure), 7/1/88.

D. RETIREMENTS

Jefferson Community College

Messmer, Charles K., Associate Professor in the Community College System (with tenure), following 17 consecutive years of service, 6/30/88.

Paducah Community College

Johnson, Betty C., Professor in the Community College System (with tenure), following 15 consecutive years of service, 7/31/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Lexington Community College

Kasten, Greg, Instructor in the Community College System (voluntary), 3/1/88 through 6/30/88.

White, Kathryn E., Instructor in the Community College System (voluntary), 3/1/88 through 6/30/88.

Somerset Community College

Ramsey, Paul, Instructor in the Community College System (voluntary), 1/1/88 through 5/31/88.

Vorbeck, Joe, Instructor in the Community College System (voluntary), 1/1/88 through 5/31/88.

Weaver, Jerry, Instructor in the Community College System (voluntary), 1/1/88 through 5/31/88.

B. ADMINISTRATIVE APPOINTMENTS

Office of the Chancellor

Rozeman, Judith E., Coordinator, Institutional Research, 5/16/88.

Ashland Community College

Bradford, Gary Paul, Community College System Business and Industry Liaison, 4/15/88.

Livingston, George D., Professor in the Community College System (with tenure), Acting Chairman, Division of Physical Sciences and Related Technologies, 7/1/88 through 6/30/89.

Hazard Community College

Fraley, Douglas A., Dean, Student Affairs, 7/1/88.

Jefferson Community College

Clardy, Lawrence L., Dean, Business Affairs, Downtown Campus, 7/1/88.

Somerset Community College

Cronan, C. Ernest, Assistant Professor in the Community College System, Acting Chairman, Division of Social Sciences, Business and Related Technologies, 7/1/88 through 6/30/89.

C. JOINT APPOINTMENTS

Southeast Community College

Martin, JoAnn B., Assistant Professor in the Community College System, joint appointment as Assistant Professor at Hazard Community College, 7/1/88 through 6/30/89.

D. REAPPOINTMENTS

Ashland Community College

Goodale, Jane M., Instructor in the Community College System, 7/1/88 through 6/30/89.

Hosto, Timothy J., Instructor in the Community College System, 7/1/88 through 6/30/89.

Jones, Kevin L., Librarian IV in the Community College System, 7/1/88 through 6/30/89.

Mann, James, Instructor in the Community College System, 7/1/88 through 6/30/89.

May, Rebekah, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

McCullough, Willie, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Niestroy, Sue A., Instructor in the Community College System, 7/1/88 through 6/30/89.

Rafiee, Farnoosh, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Shields, Theodore, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Shytle, Louise, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Walters, Barbara, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Elizabethtown Community College

Brangers, Peggy, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Finney, Gail A., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.



Kakolesha, Nyanguila, Assistant Professor in the  
Community College System, 7/1/88 through 6/30/89.  
Magoon, Lynn E., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Routt, Randell G., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Schork, James E., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Spataro, Charles P., Assistant Professor in the  
Community College System, 7/1/88 through 6/30/89.  
Webb, Jane A., Instructor in the Community College  
System, 7/1/88 through 6/30/89.

Hazard Community College

Baker, Barbara, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Chukwu, Joseph, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Clark, Joseph E., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Collins, Gwen, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Combs, Charlene, Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Combs, Donna, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Cook, Alicia G., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Fulton, Lou V., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Good, Janet, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Hayden, Joseph, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Huber, Thomas, Instructor in the Community College  
System, 7/1/88 through 7/31/88.  
Mobelini, Deronda, Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Monson, Arvid, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Osborne, Anne M., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Petrey-Blandau, Sandra, Assistant Professor in the  
Community College System, 7/1/88 through 6/30/89.  
Reed, Ronald, Instructor in the Community College  
System, 7/1/88 through 7/31/88.  
Sauer, Robert, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Smith, Tammy, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.

#### Henderson Community College

Bishop, Fern G., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Donahue, Victoria, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Duffy, Sharon K., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Hale, Stanley J., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Kline, Sally H., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Long, Deborah K., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Maltby, Lorie A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Nicholson, Mary E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Pagan, Freida, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Thurman, Michael E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Walters, Michael E., Librarian III in the Community College System, 7/1/88 through 6/30/89.  
Wells, Rebecca J., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Wickersham, Helen C., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Wilder, Mary Gail, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Zausch, Jo F., Instructor in the Community College System, 7/1/88 through 6/30/89.

#### Hopkinsville Community College

Askew, Mary Lynn, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bingham, Wanda, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bridges, Gillis A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bush, Patricia H., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Duncan, Jackie O., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Edney, James W., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Hunter, James T., Instructor in the Community College System, 7/1/88 through 6/30/89.  
McClure, Michael W., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Smith, Sharon, Instructor in the Community College System, 7/1/88 through 6/30/89.  
VonLanken, George D., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Waller, Auna Gae, Instructor in the Community College System, 7/1/88 through 6/30/89.

Jefferson Community College

Alderdice, Phyllis, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Arterburn, Kay P., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Beckham, Judith, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Booth, Beverly M., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Brown, Sarah E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Butsch, Pamela M., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Butsch, Richard A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Casper, Martin A., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Chapman, Robert E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Clinkenbeard, Alan, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Cooper, David L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Cummings, Deloris J., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Early, Glen A., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Ecker, David P., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Eddleman, Caroline C., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Freeman, Patricia S., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Furr, LeRoy A., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Gibson, John, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Griswold, Rebecca A., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Haas, Ralph C., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Hardwick, Vicki, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Holland, David L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

King, Sandra L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Maxson, Richard, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Mollaghasemi, Hossein, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Olsen, Bobby Gene, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Peterson, Stephanie, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Prather, Mark C., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Ransom, Debra K., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Rasras, Awad R., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Robison, Margaret P., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Roy, Donald H., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Schlene, Vickie J., Librarian III in the Community College System, 7/1/88 through 6/30/89.  
Taylor, Barbara J., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Zimmerman, Joseph, Instructor in the Community College System, 7/1/88 through 6/30/89.

Lexington Community College

Adkins, Michael A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bahreini, Mohammad H., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Coulston, Charles, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Crowley, Lillie F., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
DeMers, Freda S., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Embry, Nolen U., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Famularo, Joy G., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Gornto, Robin E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Holt, Daniel L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Humble, Jeanne, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Lenning, Kristan, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Matchuny, James K., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Meade, Penelope, Instructor in the Community College System, 7/1/88 through 6/30/89.

Murphy, Donna L., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Murphy, Kevin, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Perkins, Barbara A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Robison, Janet R., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Singleton, Debbie, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Stultz, Sue Ellen, Librarian III in the Community College System, 7/1/88 through 6/30/89.  
Subramanian, Seetha, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Swetnam, Bruce A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Thomas, Stephen, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Weill, Lawrence V., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Madisonville Community College

Anderson, Cynthia H., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bellarmine, Thomas G., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Calvert, Jacquelyn B., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Cromwell, Jamie O., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Cundiff, Brenda M., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Gray, Gary E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Hawkins, Judith G., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Jewell, Gregory W., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Kerley, A. James, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Morrison, George H., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Newman, Karen P., Assistant Professor in Community College System, 7/1/88 through 6/30/89.  
Puryear, Barbara P., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Rogers, Eric O., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Sellers, S. Liale, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Simms, Helen L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Stone, Forest E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Trover, Michael L., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
West, Marlana K., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Maysville Community College

Appelman, Mollie S., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Curtis, Sylvia B., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Doster, Steven J., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Dunaway, Linda K., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Garrison, Janet L., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Houser, Jean P., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Johnson, Valerie M., Librarian III in the Community College System, 7/1/88 through 6/30/89.  
Jones, Claude E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Myers, Alma E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Thomas, Stephen R., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Tobia, G. Robert, Instructor in the Community College System, 7/1/88 through 6/30/89.

Owensboro Community College

Benningfield, Dotty, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Carden, Stephen D., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Davis, Frances A., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Dick, Timothy T., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Falouji, Salah, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Flynn, Philip K., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Hiten, Mary Jean, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Lake, Sara B., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Laughlin, Jody Ann, Instructor in the Community College System, 7/1/88 through 6/30/89.

Marroquin, Fernando, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Mayes, Joan D., Instructor in the Community College System, 7/1/88 through 6/30/89.  
McDonough, Greta J., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Mowers, Kathleen A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Quisenberry, Larry T., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Runyon, Carl R., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Smith, Max, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
St. John, Barbara C., Instructor in the Community College System, 7/1/88 through 6/30/89.  
West, William R., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Wipf, Arlan H., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Yazvac, Joseph, Instructor in the Community College System, 7/1/88 through 6/30/89.

#### Paducah Community College

Blaine, Patricia A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Boswell, Renee, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Downs, Lisa G., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Jenkins, Robin D., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Lambert, Leslie P., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Lengsfeld, Diane M., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Morrow, Sandra A., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Ridgeway, Gail C., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Taylor, Susan D., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

#### Prestonsburg Community College

Aminilari, Mansoor, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Bennin, Hope E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Carlson, Dorothy E., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Chandler, Robert E., Assistant Professor in the  
Community College System, 7/1/88 through 6/30/89.  
Elliott, Myra T., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Hernandez, Teresa, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Herrin, V. Gay, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Holler, Nancy L., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Leon, Ana E., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Milon, Theresa A., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Morris, Trisha S., Librarian IV in the Community  
College System, 7/1/88 through 6/30/89.  
Music, Brenda C., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Rozovich, Jennifer J., Instructor in the Community  
College System, 7/1/88 through 6/30/89.  
Saffari, Hassan, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Slone, Kenneth R., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Stewart, Kathy C., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Sturgill, Monnette N., Instructor in the Community  
College System, 7/1/88 through 6/30/89.  
Tabariasl, Hamid, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Trimble, Dawn M., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Weddle, L. Lynn, Instructor in the Community College  
System, 7/1/88 through 6/30/89.

Somerset Community College

Crabtree, Ralph M., Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Cronan, C. Ernest, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Fleenor, Dana, Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Khondker, Karim, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
King, Kenneth, Instructor in the Community College  
System, 7/1/88 through 6/30/89.  
Patel, Amrit C., Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.  
Powell, Nancy, Assistant Professor in the Community  
College System, 7/1/88 through 6/30/89.



Southeast Community College

Anderson, Wayne F., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Bishop, Yvonne G., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Cox, Robert S., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Dreyer, Jennifer, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Forbes, Zelma, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Heaberlin, John C., Librarian IV in the Community College System, 7/1/88 through 6/30/89.  
Howard, Margaret P., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Lewis, Cheryl L., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Meade, Eugene, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Pratt, Roberta W., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Puckett, Anita, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Reid, Robert E., Instructor in the Community College System, 7/1/88 through 6/30/89.  
Thompson, Carlie, Instructor in the Community College System, 7/1/88 through 6/30/89.  
Tolliver, Delmar S., Instructor in the Community College System, 7/1/88 through 6/30/89.

E. PROMOTIONS WITHOUT TENURE

Ashland Community College

Carroll, Jennifer, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Chandra Kumar, Ramamurthy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Davis, Virgil, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Gussler, Sandra K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Owen, Brenda, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Hazard Community College

Naylor, David, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Wilder, Harriett, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Jefferson Community College

Steiden, William A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Lexington Community College

Hicks, Janet, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Hunt, Carol A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Kincer, Ronald, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
LaFontaine, Lucy, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Marshall, Dennis, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Madisonville Community College

Alward, Emily J., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/88 through 6/30/89.  
Clayton, Donald O., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Dean, J. Michial, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Porter, Kathleen B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Simmons, Patricia L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Stocker, Michael G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Maysville Community College

Eads, Sonja R., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/88 through 6/30/89.

Wilson, Sharon G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Wylie, Jeff B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Owensboro Community College

Barrett, Lana S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Paducah Community College

Mehdi, Tony, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Payne, Tena B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Somerset Community College

Armstrong, Anthony, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Cleberg, Steve, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Crabtree, Gloria L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

King, Betty, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Saeed, Najam, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Southeast Community College

Martin, JoAnn B., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Robinson, Carl C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Sampson, Marlon R., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Waddell, Janet, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

F. TERMINAL REAPPOINTMENTS

Elizabethtown Community College

Tychonievich, Robert J., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Henderson Community College

Brady, Daniel E., Assistant Professor in the Community College System, 7/1/88 through 12/31/88.

Jefferson Community College

Mordzak, Lucjan, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Lexington Community College

Cagey, James P., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Chlopan, Helene, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Fields, Morris P., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Mohanty, Sarat, Assistant Professor in the Community College System, 7/1/88 through 6/30/89.  
Smith, Gladys F., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

Somerset Community College

Cornett, Richard V., Assistant Professor in the Community College System, 7/1/88 through 6/30/89.

G. LEAVES OF ABSENCE

Jefferson Community College

Mosher, Carol M., Associate Professor in the Community College System (with tenure), Leave of absence without pay for the 1988 Fall Semester.

Lexington Community College

Leonard, Cynthia W., Associate Professor in the Community College System (with tenure), Leave of absence without pay for the 1988-89 academic year.  
Philpot, Mary K., Associate Professor in the Community College System (with tenure), Leave of absence without pay for the 1988 Fall Semester.

Maysville Community College

Myers, Alma E., Instructor in the Community College System, Leave of absence without pay for the 1988-89 academic year.

Prestonsburg Community College

Weddle, Laura Lynn, Instructor in the Community College System, Leave of absence without pay for the 1988-89 academic year.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Little, C. Oran, Professor (with tenure), Animal Sciences, effective 7/1/88.

Servello, Frederick A., Assistant Professor, Forestry, 5/1/88 through 6/30/89.

College of Law

Bryden, David Powell, Visiting Professor, 1/1/89 through 5/15/89.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Coleman, Kenneth M., Associate Professor (with tenure), Political Science, appointed Chairman, Political Science, 7/1/88 through 6/30/92.

Herring, George C., Professor (with tenure), History, appointed Chairman, History, 7/1/88 through 6/30/92.

Martin, Hubert M., Jr., Professor (with tenure), Classics, reappointed Chairman, Classics, 7/1/88 through 6/30/92.

Nonnenman, Arthur J., Professor (with tenure), Psychology, appointed Chairman, Psychology, 7/1/88 through 6/30/92.

Pickens, Rupert T., Professor (with tenure), French, appointed Chairman, French, 7/1/88 through 6/30/92.

Ulack, Richard, Professor (with tenure), Geography, appointed Chairman, Geography, 7/1/88 through 6/30/92.

College of Engineering

Gesund, Hans, Professor (with tenure), Civil Engineering, appointed Chairman, Civil Engineering, 7/1/88 through 6/30/92.

Vice Chancellor for Academic Affairs

Betts, Raymond F., Professor (with tenure), History, College of Arts and Sciences, reappointed Director, Honors, 7/1/88 through 6/30/90.

C. JOINT APPOINTMENTS

Graduate School

Chow, Ching K., Professor (with tenure), Nutrition and Food Science, College of Home Economics, joint appointment as Professor (without tenure), Toxicology, 3/1/88 through 6/30/91.  
Provan, Keith G., Associate Professor (with tenure), Management, College of Business and Economics, joint appointment as Associate Professor (without tenure), Martin School, 8/16/88 through 5/15/89.  
Stober, William J., Professor (with tenure), Economics, College of Business and Economics, joint appointment as Professor (without tenure), Martin School, 8/16/88 through 5/15/89.

D. REAPPOINTMENTS

College of Agriculture

Lowry, Stephen R., Associate Professor (without tenure), Animal Sciences, 7/1/88 through 6/30/89.

College of Arts and Sciences

Clay, Rudolph B., Adjunct Associate Professor, Anthropology, 7/1/88 through 6/30/90.  
Madden, Edward H., Adjunct Professor, Philosophy, 7/1/88 through 6/30/90.

Graduate School

Robertson, Larry W., Associate Professor (without tenure), Toxicology, 7/1/88 through 6/30/89.

E. TENURE

College of Agriculture

Murphy, William E., from Associate Extension Professor (without tenure), Agricultural Engineering, to Associate Extension Professor (with tenure), Agricultural Engineering, effective 7/1/88.

College of Engineering

Saito, Kozo, from Associate Professor (without tenure), Mechanical Engineering, to Associate Professor (with tenure), Mechanical Engineering, effective 7/1/88.

F. PROMCTIONS

College of Agriculture

Hildebrand, David F., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/88.

Jones, Richard Terry, from Assistant Extension Professor (without tenure), Horticulture and Landscape Architecture, to Associate Extension Professor (with tenure), Horticulture and Landscape Architecture, effective 7/1/88.

Karathanasis, Anastasios D., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/88.

Overhults, Douglas G., from Assistant Extension Professor (without tenure), Agricultural Engineering, to Associate Extension Professor (with tenure), Agricultural Engineering, effective 5/1/88.

Smith, M. Scott, from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/88.

Stegelin, Forrest E., from Assistant Extension Professor (without tenure), Agricultural Economics, to Associate Extension Professor (with tenure), Agricultural Economics, effective 7/1/88.

College of Arts and Sciences

Lesnaw, Judith A., from Associate Professor (with tenure), Biological Sciences, to Professor (with tenure), Biological Sciences, effective 7/1/88.

Quandt, Sara A., from Assistant Professor (without tenure), Anthropology, to Associate Professor (with tenure), Anthropology, effective 7/1/88.

Reichel, Lothar, from Assistant Professor (without tenure), Mathematics, to Associate Professor (with tenure), Mathematics, effective 7/1/88.

College of Business and Economics

Bernardo, John J., from Associate Professor (with tenure), Decision Science and Information Systems, to Professor (with tenure), Decision Science and Information Systems, effective 7/1/88.

Elomquist, Glenn C., from Associate Professor (with tenure), Economics, and Associate Professor (without tenure), Martin School, Graduate School, to Professor (with tenure), Economics, and Professor (without tenure), Martin School, Graduate School, effective 7/1/88.



College of Engineering

Chow, Louis, from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/88.

Ray, Asit K., from Associate Professor (with tenure), Chemical Engineering, to Professor (with tenure), Chemical Engineering, effective 7/1/88.

Seybert, Andrew F., from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/88.

G. CHANGES

College of Business and Economics

Marsden, James R., from Professor (with tenure), Economics and Professor (without tenure), Decision Science and Information Systems, to Professor (with tenure), Decision Science and Information Systems, and Professor (without tenure), Economics, effective 7/1/88.

H. RETIREMENTS

College of Agriculture

Earnhart, Charles E., Professor (with tenure), and Dean, College of Agriculture, after 40 consecutive years of service, under Section II.B of AR II-1.6-1, effective 7/31/88.

Drudge, J. Harold, Professor (with tenure), Veterinary Science, after 37 consecutive years of service, under Section II.B of AR II-1.6-1, effective 6/30/88.

College of Arts and Sciences

Baker, Robert A., Jr., Professor (with tenure), Psychology, after 19.5 consecutive years of service, under Section II.B of AR II-1.6-1, effective 6/30/88.

Keller, John E., Professor (with tenure), Spanish and Italian, after 21 consecutive years of service, under Section II.C of AR II-1.6-1, effective 6/30/88.

College of Engineering

Duncan, Robert Carl, Jr., Assistant Professor (with tenure), Metallurgical Engineering and Materials Science, after 42 consecutive years of service, under Section II.B of AR II-1.6-1, effective 5/15/88.

I. EARLY RETIREMENTS

College of Agriculture

Allen, Lyda B., Program Assistant, Home Economics Extension, after 16.5 consecutive years of service, under Section II.A.1(a) of AR II-1.6-1, effective 7/29/88.

Libraries

Moore, Mildred A., Librarian II (with tenure), Biological Sciences Library, after 36 consecutive years of service, under Section II.A.1(a) of AR II-1.6-1, effective 6/30/88.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Alford, Brad A., Assistant Professor (temporary), Psychology, 8/16/88 through 6/30/89.

Bernitt, Dwayne L., Assistant Professor, Aerospace Studies, 6/1/88 through 6/1/91.

Cannon, Sally I., Assistant Professor (temporary), English, 8/16/88 through 5/31/89.

Carlson, Charles R., Assistant Professor, Psychology, 8/16/88 through 6/30/89.

Ellis, Alan L., Assistant Professor, Psychology, 8/16/88 through 6/30/89.

Golding, Jonathan M., Assistant Professor, Psychology, 8/16/88 through 6/30/89.

College of Communications

Knuf, Joachim, Assistant Professor, Communication, 8/16/88 through 6/30/89.

Scheiner, Edward C., Assistant Professor, Journalism, 8/16/88 through 6/30/89.

College of Fine Arts

Parker, Daniel Q., Instructor (temporary), Music, 8/16/88 through 5/15/89.

College of Social Work

Barone, Anthony J., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.

Collins, Lucky Jones, Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.

Lampton, T. Patrick, Assistant Professor (part-time), 7/1/88 through 6/30/89.  
Marshall, Ted A., Associate Professor (part-time), 8/16/88 through 5/15/89.  
Morrow, David L., Assistant Professor (part-time)\*, 6/1/88 through 8/15/88.  
Seelig, John Michael, Associate Professor (part-time), 8/16/88 through 5/15/89.  
Stivers, Teresa Wilkes, Assistant Professor (part-time)\*, 1/1/88 through 6/30/88.  
Talsky, Gerald J., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Rea, John A., Associate Professor (with tenure), French, appointed Acting Chairman, French, 7/1/88 through 12/31/88.  
Schankula, Henry, Associate Professor (with tenure), Philosophy, appointed Acting Chairman, Philosophy, 7/1/88 through 6/30/89.

College of Business and Economics

Marsden, James R., Professor (with tenure), Decision Science and Information Systems, reappointed Acting Chairman, Decision Science and Information Systems, 7/1/88 through 6/30/89.

College of Communications

Sypher, Beverly D., Associate Professor (with tenure), Communication, appointed Acting Chairman, Communication, 7/1/88 through 12/31/88.

College of Engineering

Hamrin, Charles E., Professor (with tenure), Chemical Engineering, appointed Acting Chairman, Chemical Engineering, 7/1/88 through 6/30/89.

Graduate School

Tyree, Milton S., appointed Project Director, Human Development Institute, effective 3/21/88.

Vice Chancellor for Academic Affairs

Fink, Joseph L., III, Professor (with tenure), Pharmacy, appointed Acting Director, Admissions, effective 6/1/88.  
Hurley, Janet L., Associate Dean for Community Education, appointed Acting Dean, University Extension, effective 6/6/88.

C. JOINT APPOINTMENTS

Graduate School

Glauert, Howard P., Assistant Professor, Nutrition and Food Science, College of Home Economics, joint appointment as Assistant Professor, Toxicology, 1/1/88 through 6/30/88.  
Hemig, Bernhard, Assistant Professor, Nutrition and Food Science, College of Home Economics, joint appointment as Assistant Professor, Toxicology, 1/1/88 through 6/30/88.

D. REAPPOINTMENTS

College of Agriculture

Aaron, Debra K., Assistant Professor, Animal Sciences, and Assistant Professor, Statistics, College of Arts and Sciences, 7/1/88 through 6/30/89.  
Barrett, Michael, Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Burmeister, Larry L., Assistant Professor, Sociology, 7/1/88 through 6/30/89.  
Chappell, Joseph, Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Cothran, Ernest G., Assistant Research Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Crafts-Brandner, Steven J., Adjunct Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Eizenga, Georgia, Adjunct Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Felton, Gary Kent, Assistant Professor, Agricultural Engineering, 7/1/88 through 6/30/89.  
Fitzgerald, Barry F., Assistant Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Gates, Richard S., Assistant Professor, Agricultural Engineering, 7/1/88 through 6/30/89.  
Grabau, Lawrence J., Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Green, Jonathan David, Assistant Extension Professor, Agronomy, 7/1/88 through 6/30/89.  
Hansen, Gary L., Assistant Extension Professor, Sociology, 7/1/88 through 6/30/89.  
Haynes, Kenneth F., Assistant Professor, Entomology, 7/1/88 through 6/30/89.  
Hershman, Donald E., Assistant Extension Professor, Plant Pathology, 5/1/88 through 6/30/89.

\*Special Title Series

Hunt, Arthur G., Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Ilvento, Thomas W., Assistant Extension Professor, Sociology, 7/1/88 through 6/30/89.  
Jones, Grace M., Assistant Research Professor, Entomology, 7/1/88 through 6/30/89.  
Kalisz, Paul J., Assistant Professor, Forestry, 7/1/88 through 3/31/89.  
McDowell, Karen J., Assistant Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Means, Warrrie J., Assistant Extension Professor, Animal Sciences, 7/1/88 through 6/30/89.  
Palmer, Gary, Assistant Extension Professor, Agronomy, 7/1/88 through 6/30/89.  
Pescatore, Anthony J., Assistant Extension Professor, Animal Sciences, 7/1/88 through 6/30/89.  
Petrites-Murphy, Marie B., Assistant Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Powell, David G., Assistant Extension Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Ringe, James M., Assistant Professor, Forestry, 7/1/88 through 6/30/89.  
Salvucci, Michael E., Adjunct Assistant Professor, Agronomy, 7/1/88 through 6/30/89.  
Schardl, Christopher L., Assistant Professor, Plant Pathology, 7/1/88 through 6/30/89.  
Schillo, Keith K., Assistant Professor, Animal Sciences, 7/1/88 through 6/30/89.  
Shearer, Scott, Assistant Professor, Agricultural Engineering, 7/1/88 through 6/30/89.  
Silvia, William J., Assistant Professor, Animal Sciences, 7/1/88 through 6/30/89.  
Trembicki, Kathryn A., Assistant Research Professor, Veterinary Science, 7/1/88 through 6/30/89.  
Trimble, Richard L., Assistant Extension Professor, Agricultural Economics, 7/1/88 through 6/30/89.  
Wagner, David B., Assistant Professor, Forestry, 7/1/88 through 6/30/89.  
Williamson, Lionel, Assistant Extension Professor, Agricultural Economics, 7/1/88 through 6/30/89.  
Wood, Craig H., Assistant Extension Professor, Animal Sciences, 7/1/88 through 6/30/89.

College of Arts and Sciences

Baer, Ruth A., Assistant Professor, Psychology, 7/1/88 through 6/30/89.  
Berry, David I. R., Assistant Professor, Psychology, 7/1/88 through 6/30/89.  
Bhavsar, Suketu, Assistant Professor, Physics and Astronomy, 7/1/88 through 6/30/89.

Bryant, Carol Anne, Adjunct Assistant Professor, Anthropology,  
7/1/88 through 6/30/90.  
Cohen, Jonathan F., Assistant Professor, Statistics, 7/1/88 through  
6/30/89.  
Clay, Brenda J., Adjunct Assistant Professor, Anthropology, 7/1/88  
through 6/30/90.  
Daigle, Daniel, Assistant Professor (part-time), Mathematics,  
8/16/88 through 5/31/89.  
Dekin, Michael S., Assistant Professor, Biological Sciences,  
7/1/88 through 6/30/90.  
Hamilton, David E., Assistant Professor, History, 7/1/88 through  
6/30/90.  
Harris, Monica J., Assistant Professor, Psychology, 7/1/88 through  
6/30/89.  
Moshier, Stephen O., Assistant Professor, Geological Sciences,  
7/1/88 through 6/30/90.  
Powell, Mary L., Adjunct Assistant Professor, Anthropology, 7/1/88  
through 6/30/90.  
Pucci, Joseph , Assistant Professor, Classics, and Assistant  
Professor, Honors, Academic Affairs, 7/1/88 through 6/30/89.

#### College of Business and Economics

Bokemeier, L., Charles, Assistant Professor, Accountancy, 7/1/88  
through 6/30/89.  
Chung, Hae Wang, Assistant Professor, Decision Science and  
Information Systems, 7/1/88 through 6/30/89.  
Feltus, Oliver L., Assistant Professor, Accountancy, 7/1/88 through  
6/30/89.  
Hoyt, William H., Assistant Professor, Economics, 7/1/88 through  
6/30/89.  
Johnson, Nancy E., Assistant Professor, Management, 7/1/88 through  
6/30/89.  
Lockhart, Daniel, Assistant Professor (temporary), Management,  
8/16/88 through 5/15/89.  
Sambharya, Rakesh B., Assistant Professor, Management, 7/1/88  
through 6/30/89.  
Viator, Ralph, Assistant Professor, Accountancy, 7/1/88 through  
6/30/89.  
Walker, Katharina E., Instructor, Decision Science and Information  
Systems, 7/1/88 through 6/30/89.

#### College of Communications

Scarfo, Lauranell, Assistant Professor\*, Journalism, 7/1/88 through  
6/30/89.  
Weaver, James B., III, Assistant Professor, Telecommunications,  
7/1/88 through 6/30/89.

College of Engineering

Ormsbee, Lindell, Assistant Professor, Civil Engineering, 7/1/88 through 6/30/89.

College of Law

Sherwin, Emily L., Assistant Professor, 7/1/88 through 6/30/90.

College of Social Work

Acra, Paul D., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Campbell, Michael, Assistant Professor (voluntary), 7/1/88 through 6/30/90.  
Day, Anna Grace, Associate Professor (part-time)\*, 7/1/88 through 6/30/89.  
Dhooper, Surjit S., Assistant Professor, 7/1/88 through 6/30/89.  
Erwin, Anne B., Assistant Professor (part-time), 7/1/88 through 6/30/89.  
Griffin, Patricia E., Adjunct Assistant Professor, 7/1/88 through 6/30/90.  
Herring, Helen M., Assistant Professor (voluntary), 7/1/88 through 6/30/90.  
Isaacs, Paul F., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Isenhour, Lenora H., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Kilner, John F., Adjunct Assistant Professor, 7/1/88 through 6/30/90.  
Kimel, Kris, Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Mazarro, Tony, Associate Professor (part-time), 7/1/88 through 6/30/89.  
Middendorf, Karen L., Adjunct Assistant Professor, 7/1/88 through 6/30/90.  
Morrow, David L., Assistant Professor (part-time), 8/16/88 through 5/15/89.  
Robinson, Bernie C., Assistant Professor, 7/1/88 through 6/30/89.  
Rompf, Beth L., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Royse, David D., Assistant Professor, 7/1/88 through 6/30/89.  
Weir, Ivan R., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
Weir, Myra, Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.  
White, Ronald F., Assistant Professor (part-time)\*, 7/1/88 through 6/30/89.

E. CHANGES

College of Business and Economics

Tew, Bernard V., from Assistant Extension Professor, Agricultural Economics, College of Agriculture, to Assistant Professor, Finance, 7/1/88 through 6/30/89.

College of Fine Arts

Warren, W. Dale, from Assistant Professor (temporary)\*, Music, to Assistant Professor\*, Music, 7/1/88 through 6/30/89.

F. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

McHone, J. Gregory, Assistant Professor, Geological Sciences, 7/1/88 through 6/30/89.

Rodriguez, Rene, Assistant Professor, Geological Sciences, 7/1/88 through 6/30/89.

G. LEAVES OF ABSENCE

College of Agriculture

Stoltz, Leonard F., Associate Professor (with tenure), Horticulture and Landscape Architecture, to change dates of sabbatical leave with half salary from 9/1/87 through 8/31/88 to 9/1/87 through 6/30/88 and 5/1/89 through 6/30/89.

College of Architecture

Rozenberg, Jerzy, Associate Professor (with tenure), Sabbatical leave with half salary, Spring Semester 1989 and Fall Semester 1989.

Smyth-Pinney, Julia, Associate Professor (with tenure), Sabbatical leave with full salary, Fall Semester 1988.

College of Arts and Sciences

Adams, William Y., Professor (with tenure), Anthropology, Sabbatical leave with full salary, Spring Semester 1989.

Benham, Craig, Professor (with tenure), Mathematics, Leave without pay, Fall Semester 1988 and Spring Semester 1989.

Fairweather, Graeme, Professor (with tenure), Mathematics, Sabbatical leave with full salary, Fall Semester 1988.

Ferry, Steven C., Professor (with tenure), Mathematics, Leave without pay, Fall Semester 1988 and Spring Semester 1989.

Frank, Daniel H., Associate Professor (with tenure), Philosophy, Scholarly fellowship leave with partial pay, Fall Semester 1988 and Spring Semester 1989.



Gragg, William, Professor (with tenure), Mathematics, Leave without pay, Fall Semester 1988 and Spring Semester 1989.  
McMillan, Della, Assistant Professor, Anthropology, Leave without pay, Fall Semester 1988 and Spring Semester 1989.  
Scancarelli, Janine, Assistant Professor, English, Leave without pay, Fall Semester 1988 and Spring Semester 1989.  
Troland, Thomas H., Associate Professor (with tenure), Physics and Astronomy, Sabbatical leave with full salary, Fall Semester 1988.  
Widiger, Thomas A., Associate Professor (with tenure), Psychology, Leave without pay, Fall Semester 1988 and Spring Semester 1989.

College of Business and Economics

Bernardo, John J., Professor (with tenure), Decision Science and Information Systems, Sabbatical leave with full salary, Spring Semester 1989.

College of Engineering

Schrodt, J. Thomas, Professor (with tenure), Chemical Engineering, Sabbatical leave with full salary, Fall Semester 1988.

College of Fine Arts

Hoffman, Donald H., Associate Professor (with tenure), Art, Sabbatical leave with full salary, Spring Semester 1989.  
Kennedy, Margaret, Associate Professor (with tenure)\*, Music, Sabbatical leave with half salary, Fall Semester 1988 and Spring Semester 1989.  
Pierce, James S., Professor (with tenure), Art, Leave without pay, Fall Semester 1988.

Libraries

Grisson, Bradley, Librarian II (with tenure), Reference, Sabbatical leave with full salary, Fall Semester 1988.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Davis, Daron G., Assistant Professor, Pathology, 7/1/88 through 6/30/89.  
Glenney, John R., Jr., Associate Professor (without tenure), Biochemistry, 5/1/88 through 6/30/89.  
Hermansen, Dane K., Assistant Professor, Surgery, 7/1/88 through 6/30/89.  
Mazloomdoost, Manoochehr, Associate Professor (without tenure), Anesthesiology, 4/1/88 through 6/30/88.  
McGrath, Patrick C., Assistant Professor, Surgery, 7/1/88 through 6/30/89.  
Munch, Larry C., Assistant Professor, Surgery, 7/1/88 through 6/30/89.  
Salley, Robert K., Assistant Professor, Surgery, 6/1/88 through 6/30/89.

College of Pharmacy

Lodder, Robert A., Assistant Professor (without tenure), joint appointment as Assistant Professor (without tenure), College of Arts and Sciences, Department of Chemistry, effective 7/1/88 through 6/30/89.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Garrity, Thomas F., Professor (with tenure), Behavioral Science, appointed Chairman, Behavioral Science, effective 7/1/88 through 6/30/94.

C. JOINT APPOINTMENTS

College of Allied Health Professions

Bruckner, Geza, Associate Professor (with tenure), Clinical Nutrition, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/91.

Gallicchio, Vincent S., Associate Professor (without tenure), Medical Technology, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/91.  
[Also joint appointment as Associate Professor (without tenure), College of Medicine, Microbiology and Immunology.]

College of Medicine

- Dougherty, John A., Associate Professor (Adjunct Title Series) (without tenure), Psychiatry, joint appointment as Associate Professor (Adjunct Title Series) (without tenure), Pharmacology, effective 7/1/88 through 6/30/89.
- Frank, Arthur L., Professor (with tenure), Preventive Medicine and Environmental Health, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 3/1/88 through 6/30/91.
- Kaplan, Alan M., Professor (with tenure), Microbiology and Immunology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 4/1/88 through 6/30/91.
- MacFarlane, Noble T., Clinical Associate Professor (without tenure) (part-time), Pediatrics, joint appointment as Clinical Associate Professor (without tenure) (part-time), Rehabilitation Medicine, effective 7/1/88 through 6/30/89.
- McClain, Craig J., Professor (with tenure), Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/91.
- Overman, Timothy L., Associate Professor (Adjunct Title Series) (without tenure), Pathology, joint appointment as Associate Professor (Adjunct Title Series) (without tenure), College of Allied Health Professions, Department of Medical Technology, effective 7/1/88 through 6/30/89.
- Pavlik, Edward, Associate Research Professor (without tenure), Obstetrics and Gynecology, joint appointment as Associate Research Professor (without tenure), Biochemistry, effective 7/1/88 through 6/30/89.
- Shedlofsky, Steven I., Associate Professor (with tenure), Medicine, joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.
- Smith, Stanford L., Professor (with tenure), College of Arts and Sciences, Department of Chemistry, joint appointment as Professor (without tenure), Diagnostic Radiology, effective 7/1/88 through 6/30/89.

Timmons, Edward H., Associate Professor (Adjunct Title Series) (without tenure), Physiology and Biophysics, joint appointment as Associate Professor (Adjunct Title Series) (without tenure), College of Agriculture, Department of Veterinary Science, effective 7/1/88 through 6/30/89.

Vanaman, Thomas C., Professor (with tenure), Biochemistry, joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 4/1/88 through 6/30/91.

Vernon, Michael, Associate Research Professor (without tenure), Obstetrics and Gynecology, joint appointment as Associate Research Professor (without tenure), Physiology and Biophysics, effective 7/1/88 through 6/30/89.

College of Pharmacy

Blouin, Robert A., Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/91.

Lai, Yih-Loong, Associate Research Professor (without tenure), joint appointment as Associate Research Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/90.

D. REAPPOINTMENTS

College of Allied Health Professions

Vargas, Elsa, Visiting Associate Professor (temporary) (without tenure), Allied Health Education and Research, 7/1/88 through 10/31/88.

College of Dentistry

Hicks, E. Preston, Associate Professor\* (without tenure), Oral Health Practice, 7/1/88 through 6/30/89.

Kaplan, Alan L., Associate Professor (Adjunct Title Series) (without tenure), Oral Health Science, 7/1/88 through 6/30/89.

College of Medicine

Coffey, Charles, Associate Professor (Adjunct Title Series) (without tenure), Radiation Medicine, 7/1/88 through 6/30/89.

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\*Special Title Series

Feola, Jose, Associate Research Professor (without tenure), Radiation Medicine, 7/1/88 through 6/30/89.

Fleming, Bernard P., Associate Research Professor (without tenure), Physiology and Biophysics, 7/1/88 through 6/30/89.

Garden, John W., Clinical Professor (without tenure) (part-time), Ophthalmology, 7/1/88 through 6/30/89.

Gerson, Kenneth L., Clinical Associate Professor (without tenure) (part-time), Pediatrics, 7/1/88 through 6/30/89.

Glenn, James F., Clinical Professor (without tenure) (part-time), Surgery, 7/1/88 through 6/30/89.

Haack, Dennis G., Clinical Associate Professor (without tenure) (part-time), Surgery, 7/1/88 through 6/30/89.

Hager, W. David, Clinical Associate Professor (without tenure) (part-time), Obstetrics and Gynecology, 7/1/88 through 6/30/89.

Holland, Nancy H., Clinical Professor (without tenure) (part-time), Pediatrics, 7/1/88 through 6/30/89.

Kielar, Richard A., Clinical Professor (without tenure) (part-time), Ophthalmology, 7/1/88 through 6/30/89.

Leavell, Ullin W., Clinical Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Leichter, Steven B., Clinical Associate Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Lorman, James G., Clinical Professor (without tenure) (part-time), Diagnostic Radiology, 7/1/88 through 6/30/89.

Mayer, Lloyd D., Clinical Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Mazzoleni, Alberto, Associate Professor (Adjunct Title Series) (without tenure), Medicine, 7/1/88 through 6/30/89.

Mersack, Ira P., Clinical Associate Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Miller, Ralph E., Clinical Professor (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Roach, Benjamin F., Clinical Professor (without tenure) (part-time), Family Practice, 7/1/88 through 6/30/89.

Roeckel, Irene J., Clinical Professor (without tenure) (part-time), Pathology, 7/1/88 through 6/30/89.

Scherl, Eileen, Clinical Associate Professor\* (without tenure) (part-time), Medicine, 7/1/88 through 6/30/89.

Schmiesser, Elmar T., Associate Research Professor (without tenure), Ophthalmology, 7/1/88 through 6/30/89.

Sisken, Betty, Associate Professor (Adjunct Title Series) (without tenure), Anatomy and Neurobiology, 7/1/88 through 6/30/89.

Sklar, Allen L., Associate Professor (Adjunct Title Series) (without tenure), Family Practice, 7/1/88 through 6/30/89.

Wolf, Betty L., Clinical Associate Professor\* (without tenure) (part-time), Pediatrics, 7/1/88 through 6/30/89.

E. TENURE

College of Nursing

Sachs, Barbara A., Associate Professor\*, effective 7/1/88.

F. PROMOTIONS

College of Medicine

Elion, Jonathan Lee, Assistant Professor (without tenure), Medicine, to Associate Professor (with tenure), Medicine, effective 7/1/88.

Holbrook, Mark L., Assistant Professor\* (without tenure), Emergency Medicine, to Associate Professor\* (with tenure), Emergency Medicine, effective 7/1/88.

Jennings, C. Darrell, Assistant Professor (without tenure), Pathology, to Associate Professor (with tenure), Pathology, effective 7/1/88.

Lee, Charles, Assistant Professor (without tenure), Diagnostic Radiology, to Associate Professor (with tenure), Diagnostic Radiology, effective 7/1/88.

Pedigo, Norman W., Assistant Professor (without tenure), Pharmacology, joint appointment as Assistant Professor (without tenure), Anesthesiology, to Associate Professor (with tenure), Pharmacology, joint appointment as Associate Professor (without tenure), Anesthesiology, effective 7/1/88.

Shedlofsky, Steven I., Assistant Professor (without tenure), Medicine, to Associate Professor (with tenure), Medicine, effective 7/1/88.

Smith, Stephen D., Associate Professor (with tenure), Anatomy and Neurobiology, to Professor (with tenure), Anatomy and Neurobiology, effective 7/1/88.

Medical Center Library

Boewe, Karl-Heinz W., Librarian II (with tenure), to Librarian I (with tenure), effective 7/1/88.

G. JOINT APPOINTMENTS WITH PROMOTIONS

College of Allied Health Professions

Christensen, Ralph C., Associate Professor\* (with tenure), Health Radiation Sciences, from joint appointment as Assistant Professor\* (without tenure), College of Medicine, Department of Radiation Medicine, to Associate Professor\* (without tenure), College of Medicine, Department of Radiation Medicine, effective 5/1/88.

College of Pharmacy

Digenis, George A., Professor (with tenure), from joint appointment as Associate Professor (without tenure), College of Medicine, Department of Radiation Medicine, to Professor (without tenure), College of Medicine, Department of Radiation Medicine, effective 7/1/88.

H. REAPPOINTMENTS WITH PROMOTIONS

College of Medicine

MacFarlane, Noble T., from Clinical Associate Professor (without tenure) (part-time), Pediatrics, joint appointment as Clinical Associate Professor (without tenure) (part-time), Rehabilitation Medicine, to Clinical Professor (without tenure) (part-time), Pediatrics, joint appointment as Clinical Professor (without tenure) (part-time), Rehabilitation Medicine, effective 7/1/88 through 6/30/89.

I. REAPPOINTMENT IN JOINT APPOINTMENT

College of Medicine

Kasarskis, Edward H., Associate Professor (with tenure), Neurology, reappointed in joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 7/1/87 through 6/30/91.

J. REAPPOINTMENT IN JOINT APPOINTMENT WITH PROMOTION

College of Dentistry

Bridges, Raymond B., Professor (with tenure), Oral Health Practice, joint appointment as Assistant Professor (without tenure), College of Medicine, Department of Microbiology and Immunology, from joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, to joint appointment as Professor (without tenure), Graduate Center for Toxicology, effective 7/1/87 through 6/30/91.

College of Medicine

Slevin, John T., Associate Professor (with tenure), Neurology, from joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, to joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 7/1/87 through 6/30/91.

College of Pharmacy

Gillespie, Mark N., Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), College of Medicine, Department of Pediatrics, from joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, to joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 7/1/87 through 6/30/91.

McNamara, Patrick, Associate Professor (with tenure), from joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, to joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, effective 7/1/87 through 6/30/91.

K. CHANGES

College of Medicine

Carney, John M., Associate Professor (with tenure), Pharmacology, joint appointment as Associate Professor (without tenure), Psychiatry, change assignment status from 12 months to 10 months, effective 5/1/88.

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\*Special Title Series



L. CORRECTION IN MINUTES

College of Allied Health Professions

Brown, Debra S., Assistant Professor (without tenure) (part-time), Physical Therapy. Note: Change to Brown, Debra S., Assistant Professor (without tenure) (temporary) (full-time), effective 2/1/88 through 6/30/88, as reported in May 3, 1988 Minutes.

College of Medicine

Scheff, Stephen W., Associate Professor (with tenure), Anatomy and Neurobiology. Note: To change sabbatical leave at half-salary beginning July 1, 1988 through June 30, 1989 to September 1, 1988 through August 31, 1989, as reported in August 18, 1987 Minutes.

Stelling, Carol B., Associate Professor (with tenure), Diagnostic Radiology. Note: To change leave of absence beginning June 1, 1988 through November 30, 1988 to June 1, 1988 through August 31, 1988 and September 1, 1989 through November 30, 1989, as reported in March 1, 1988 Minutes.

Williams, Faren H., Assistant Professor (without tenure), Rehabilitation Medicine. Note: To change leave of absence dates from May 30, 1988 through July 10, 1988, with pay, to May 11, 1988 through May 27, 1988, at full-salary, and May 30, 1988 through July 8, 1988, at half-salary, as reported in March 1, 1988 Minutes.

M. RETIREMENTS

College of Medicine

Mitchell, Margaret L., Senior Research Assistant, Microbiology and Immunology, after 27 consecutive years of service, under AR II-1.601 Section II.A.2(b), effective 7/1/88.

College of Pharmacy

Griffith, Robert B., Professor (with tenure), after 19 consecutive years of service, under AR II-1.6-1 Section II.B, effective 7/31/88.

Student Health Services

Murray, Mary K., Nurse Clinic A, after 19 consecutive years of service, under AR II-1.6-1 Section II.A.1(b), effective 8/12/88.

University Hospital

Botts, Osie B., Nursing Assistant, after 23.5 consecutive years of service, under AR II-1.6-1 Section II.A.2(b), effective 6/30/88.  
Doughty, Gloria H., Pharmacist, Pharmacy Central Supply, after 24.5 consecutive years of service, under AR II-1.601 Section II.A.2(b), effective 9/1/88.  
Goodpaster, Ruth G., Food Service Worker I, Dietetics and Nutrition, after 20.5 consecutive years of service, under AR II-1.6-1 Section II.A.2(b), effective 6/30/88.  
Trent, Mary B., Cook Baker II, Dietetics and Nutrition, after 25 consecutive years of service, under AR II-1.6-1 Section II.A.2(b), effective 7/29/88.

N. EARLY RETIREMENT

College of Medicine

Slagel, Donald E., Associate Professor (with tenure), Surgery, after 24 consecutive years of service, under AR II-1.6-1 Section II.A.1(a), effective 6/30/88.

O. POST-RETIREMENT APPOINTMENTS

College of Medicine

Sandifer, Myron G., Professor (without tenure) (part-time), Psychiatry, 7/1/88 through 6/30/89.  
Straus, Robert, Professor (without tenure) (part-time), Behavioral Science, 7/1/88 through 6/30/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Dentistry

Baluyut, Arlene R., Assistant Professor (part-time), Oral Health Practice, 3/1/88 through 6/30/88.

College of Medicine

Ancog, Cristeta T., Clinical Instructor (part-time) (temporary), Pediatrics, 7/1/88 through 6/30/89.  
Maghamez, Nasri Joseph, Assistant Professor (visiting), Physiology and Biophysics, 7/1/88 through 6/30/89.  
Taylor, Shawn M., Clinical Instructor (part-time) (temporary), Pediatrics, 7/1/88 through 6/30/89.

College of Pharmacy

Jay, Susan M., Instructor (Adjunct Title Series)  
(part-time), 7/1/88 through 6/30/89.

B. JOINT APPOINTMENTS

College of Allied Health Professions

Boissonneault, Gilbert A., Assistant Professor (without tenure), Clinical Nutrition, joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.

College of Medicine

Andrykowski, Michael A., Assistant Professor (without tenure), Behavioral Science, joint appointment as Assistant Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 7/1/88 through 6/30/89.

Audenaert, Steve M., Assistant Professor (without tenure), Anesthesiology, joint appointment as Assistant Professor (without tenure), Pediatrics, effective 7/1/88 through 6/30/89.

Beihn, Robert, Clinical Instructor (part-time), Diagnostic Radiology, joint appointment as Clinical Instructor (part-time), Radiation Medicine, effective 7/1/88 through 6/30/89.

Bryant, Carol A., Assistant Professor (Adjunct Title Series), College of Arts and Sciences, Department of Anthropology, joint appointment as Assistant Professor, (Adjunct Title Series), Behavioral Science, effective 7/1/88 through 6/30/89.

Cohen, Donald A., Assistant Professor (without tenure), Microbiology and Immunology, joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.

Combs, David J., Assistant Research Professor (without tenure), Surgery, joint appointment as Assistant Research Professor (without tenure), Physiology and Biophysics, effective 4/1/88 through 6/30/88.

Curry, Thomas E., Assistant Research Professor (without tenure), Obstetrics and Gynecology, joint appointment as Assistant Research Professor (without tenure), Anatomy and Neurobiology, effective 7/1/88 through 6/30/89.

Dembo, Jeffrey B., Assistant Professor (without tenure), Anesthesiology, joint appointment as Assistant Professor (without tenure), College of Dentistry, Department of Oral Health Practice, 7/1/88 through 6/30/89.

Henslee, Peggy Jean, Assistant Professor (without tenure), Medicine, joint appointment as Assistant Professor (without tenure), Pediatrics, effective 7/1/88 through 6/30/89.

Holtman, Joseph R., Jr., Assistant Professor (without tenure), Pharmacology, joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.

Jones, Phillip B. C., Assistant Professor (without tenure), Biochemistry, joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.

Nash, Phyllis J. P., Visiting Lecturer (temporary), Behavioral Science, joint appointment as Visiting Lecturer (temporary), Psychiatry, effective 7/1/88 through 8/31/88.

Nichols, Michael R., Assistant Professor (Adjunct Title Series) (without tenure), College of Education, Educational and Counseling Psychology, and Director, University Counseling and Testing Center, joint appointment as Assistant Professor (Adjunct Title Series) (without tenure), Department of Behavioral Science, effective 7/1/88 through 6/30/89.

Patchell, Roy A., Assistant Professor (without tenure), Surgery, joint appointment as Assistant Professor (without tenure), Neurology, effective 7/1/88 through 6/30/89.

Pulmano, Calixto, Assistant Professor (Adjunct Title Series) (without tenure), Diagnostic Radiology, joint appointment as Assistant Professor (Adjunct Title Series) (without tenure), Neurology, effective 7/1/88 through 6/30/89.

Ranseen, John D., Assistant Professor (without tenure), Psychiatry, joint appointment as Assistant Professor (without tenure), Neurology, also joint appointment as Assistant Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 7/1/88 through 6/30/89.

Schmitt, Frederick A., Assistant Professor (without tenure), Neurology, joint appointment as Assistant Professor (without tenure), Psychiatry, also joint appointment as Assistant Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 7/1/88 through 6/30/89.

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\*Special Title Series

College of Pharmacy

Wedlund, Peter J., Assistant Professor (without tenure), joint appointment as Assistant Professor (without tenure), Graduate Center for Toxicology, effective 1/1/88 through 6/30/88.

C. REAPPOINTMENTS

College of Allied Health Professions

Bryant, Jane G., Assistant Professor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Jones, Marvin Lamar, Instructor (part-time), Medical Technology, 7/1/88 through 6/30/89.  
Lambert, Judine L., Assistant Research Professor, Allied Health Education and Research, 7/1/88 through 6/30/89.  
Lloyd, Brenda M., Instructor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Lyons, Lynne H., Instructor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Overman, Sue B., Assistant Professor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Paradis, Lenora Finn, Assistant Professor, Allied Health Education and Research, 7/1/88 through 6/30/89.  
Peduzzi, Jo Anne E., Instructor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Sims, Priscilla A., Instructor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.  
Spohn, Betty B., Assistant Professor (Adjunct Title Series), Allied Health Education and Research, 7/1/88 through 6/30/89.  
Weeks, Terry A., Instructor (Adjunct Title Series), Medical Technology, 7/1/88 through 6/30/89.

College of Dentistry

Armentrout, Timothy M., Assistant Professor\*, Oral Health Practice, 7/1/88 through 6/30/89.  
Douglass, J. Burton, Assistant Professor\*, Oral Health Practice, 7/1/88 through 6/30/89.  
Hardison, James D., Assistant Professor\*, Oral Health Practice, 7/1/88 through 6/30/89.  
Harrison, Lewis, Jr., Assistant Professor\*, Oral Health Practice, 7/1/88 through 6/30/89.  
Kroeger, Robert F., Lecturer (part-time), Oral Health Science, 7/1/88 through 6/30/89.  
Pence, Damon R., Assistant Professor\*, Oral Health Science, 7/1/88 through 6/30/89.

Raybould, Ted P., Assistant Professor\*, Oral Health Practice, 7/1/88 through 6/30/89.

College of Medicine

Amrien, Jean M., Assistant Professor, Ophthalmology, 7/1/88 through 6/30/89.  
Bacdayan, Carolyn, Assistant Professor (Adjunct Title Series), Behavioral Science, 7/1/88 through 6/30/89.  
Bailey, Linda, Assistant Professor (Clinical Title Series), Medicine, 7/1/88 through 6/30/89.  
Barker, Donald E., Assistant Professor, Surgery, 7/1/88 through 6/30/89.  
Barron, Kirk W., Assistant Research Professor, Physiology and Biophysics, 7/1/88 through 6/30/89.  
Barron, Michael P., Clinical Instructor (part-time), Medicine, 7/1/88 through 6/30/89.  
Beine, Kathleen L. B., Assistant Professor\*, Family Practice, 7/1/88 through 6/30/89.  
Bhat, Narayan R., Assistant Research Professor, Biochemistry, 7/1/88 through 6/30/89.  
Bingcang, Cornelia, Clinical Assistant Professor (part-time), Psychiatry, 7/1/88 through 6/30/89.  
Birge, Barbara, Clinical Instructor (part-time), Physiology and Biophysics, 7/1/88 through 6/30/89.  
Bondada, Subbarao, Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.  
Bradley, Barrett Blake, Assistant Professor\*, Obstetrics and Gynecology, 7/1/88 through 6/30/89.  
Branco, Marcelo C., Instructor, Medicine, 7/1/88 through 6/30/89.  
Broughton, Robert A., Assistant Professor\*, Pediatrics, 7/1/88 through 6/30/89.  
Burgess, Ronald C., Assistant Professor, Surgery, 7/1/88 through 6/30/89.  
Chang, Jennie Chyan Chuu, Assistant Research Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.  
Clark, Terry D., Assistant Professor, Pathology, 7/1/88 through 6/30/89.  
Cohen, Donald A., Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.  
Colclough, George W., Assistant Professor, Anesthesiology, 7/1/88 through 6/30/89.  
Colombo, Giovanna, Assistant Professor, Biochemistry, 7/1/88 through 6/30/89.  
Combs, David J., Assistant Research Professor, Surgery, 7/1/88 through 6/30/89.  
Conover, Wayne B., Assistant Professor, Obstetrics and Gynecology, 7/1/88 through 6/30/89.  
Constantin, Luis, Assistant Professor, Medicine, 7/1/88 through 6/30/89.

Cook, Anne G., Assistant Professor (Clinical Title Series),  
Medicine, 7/1/88 through 6/30/89.

Corbett, Philip F., Assistant Professor\*, Surgery, 7/1/88  
through 6/30/89.

Cross, Richard J., Assistant Research Professor,  
Microbiology and Immunology, 7/1/88 through 6/30/89.

Dale, Thomas A., Assistant Professor (Adjunct Title Series),  
Family Practice, 7/1/88 through 6/30/89.

Dickson, Lesley R., Assistant Professor, Psychiatry, 7/1/88  
through 6/30/89.

Donohoe, Kevin J., Assistant Professor, Radiation Medicine,  
7/1/88 through 6/30/89.

Duff, Denise, Assistant Professor (Adjunct Title Series),  
Diagnostic Radiology, 7/1/88 through 6/30/89.

Eckerline, Charles A., Clinical Assistant Professor (part-  
time), Emergency Medicine, 7/1/88 through 6/30/89.

Fine, Joseph G., Instructor, Surgery, 7/1/88 through  
6/30/89.

Gallion, Holly H., Assistant Professor, Obstetrics and  
Gynecology, 7/1/88 through 6/30/89.

Gardner, Carol S., Assistant Professor, Medicine, 7/1/88  
through 6/30/89.

Griffith, J. Susan, Assistant Professor (Clinical Title  
Series), Family Practice, 7/1/88 through 6/30/89.

Grines, Cindy L., Assistant Professor, Medicine, 7/1/88  
through 6/30/89.

Grobelny, Damian, Assistant Research Professor,  
Biochemistry, 7/1/88 through 6/30/89.

Haist, Steven A., Assistant Professor\*, Medicine, 7/1/88  
through 6/30/89.

Harris, Thorold Dale, Assistant Professor, Anesthesiology,  
7/1/88 through 6/30/89.

Harrison, Michael R., Assistant Professor, Medicine, 7/1/88  
through 6/30/89.

Hauser, Kurt F., Assistant Professor, Anatomy and  
Neurobiology, 7/1/88 through 6/30/89.

Hayes, Steven R., Assistant Professor, Anesthesiology,  
7/1/88 through 6/30/89.

Hays, Lon R., Assistant Professor\*, Psychiatry, 7/1/88  
through 6/30/89.

Heesch, Cheryl M., Assistant Research Professor, Physiology  
and Biophysics, 7/1/88 through 6/30/89.

Heffron, William, Assistant Professor\*, Psychiatry, 7/1/88  
through 6/30/89.

High, Kathryn Dillon, Assistant Professor\*, Obstetrics and  
Gynecology, 7/1/88 through 6/30/89.

Hollins, Jack L., Assistant Professor\*, Ophthalmology,  
7/1/88 through 6/30/89.

Holtman, Joseph R., Jr., Assistant Professor, Pharmacology, 7/1/88 through 6/30/89.

Hunsaker, John C., III, Assistant Professor\*, Pathology, 7/1/88 through 6/30/89.

Hyatt, Mark C., Assistant Professor\*, Psychiatry, 7/1/88 through 6/30/89.

Jackson, David L., Assistant Professor\*, Rehabilitation Medicine, 7/1/88 through 6/30/89.

Jackson, Elizabeth C., Assistant Professor, Pediatrics, 7/1/88 through 6/30/89.

Jackson, James L., Assistant Professor (Clinical Title Series), Medicine, 7/1/88 through 6/30/89.

Jenkins, Randall D., Assistant Professor, Pediatrics, 7/1/88 through 6/30/89.

Johnson, Steven B., Assistant Professor, Surgery, 7/1/88 through 6/30/89.

Jones, Phillip B. C., Assistant Professor, Biochemistry, 7/1/88 through 6/30/89.

Kacki, Marek, Assistant Professor (Clinical Title Series), Medicine, 7/1/88 through 6/30/89.

Kanga, Jamshed F., Assistant Professor\*, Pediatrics, 7/1/88 through 6/30/89.

Kearl, Gary W., Assistant Professor\*, Family Practice, 7/1/88 through 6/30/89.

King, Joseph G., Assistant Professor, Diagnostic Radiology, 7/1/88 through 6/30/89.

Klim, Gerald V., Assistant Professor\*, Rehabilitation Medicine, 7/1/88 through 6/30/89.

Knox, Craig A., Assistant Professor, Neurology, 7/1/88 through 6/30/89.

Korvick, Joyce A., Assistant Professor, Medicine, 7/1/88 through 6/30/89.

Larson, Thomas O., Assistant Professor (Adjunct Title Series), Diagnostic Radiology, 7/1/88 through 6/30/89.

Levine, Gary I., Assistant Professor\*, Family Practice, 7/1/88 through 6/30/89.

Little, Karley Y., Assistant Professor, Psychiatry, 7/1/88 through 6/30/89.

Lock, Richard, Assistant Professor, Anesthesiology, 7/1/88 through 6/30/89.

Maguire, Grace F., Clinical Assistant Professor (part-time), Pediatrics, 7/1/88 through 6/30/89.

Marshall, Manly Ernest, Assistant Professor, Medicine, 7/1/88 through 6/30/89.

Martin, Walter L., Clinical Assistant Professor\* (part-time), Psychiatry, 7/1/88 through 6/30/89.

Massey, Andrew D., Assistant Professor\*, Neurology, 7/1/88 through 6/30/89.



Matthews, Clifford C., Jr., Assistant Professor (Clinical Title Series), Medicine, 7/1/88 through 6/30/89.  
 McDonell, Francis J., Assistant Professor, Anesthesiology, 7/1/88 through 6/30/89.  
 Messino, Michael J., Assistant Professor, Medicine, 7/1/88 through 6/30/89.  
 Metcalfe, Monty S., Assistant Professor\*, Medicine, 7/1/88 through 6/30/89.  
 Montgomery, Thomas B., Assistant Professor\*, Medicine, 7/1/88 through 6/30/89.  
 Morehouse, I. Roger, Assistant Professor, Diagnostic Radiology, 7/1/88 through 6/30/89.  
 Muse, Kenneth N., Assistant Professor, Obstetrics and Gynecology, 7/1/88 through 6/30/89.  
 Myers, Steven R., Assistant Research Professor, Pharmacology, 7/1/88 through 6/30/89.  
 Nelson, Kevin R., Assistant Professor, Neurology, 7/1/88 through 6/30/89.  
 Nuckols, John R., Clinical Assistant Professor (part-time), Preventive Medicine and Environmental Health, 7/1/88 through 6/30/89.  
 Olson, Margaret A., Clinical Assistant Professor (part-time), Diagnostic Radiology, 7/1/88 through 6/30/89.  
 Patel, Pushpa M., Assistant Professor\*, Radiation Medicine, 7/1/88 through 6/30/89.  
 Pauly, Daryl Lynn, Assistant Professor\*, Emergency Medicine, 7/1/88 through 6/30/89.  
 Pauly, Thomas H., Assistant Professor, Pediatrics, 7/1/88 through 6/30/89.  
 Phillips, Renee, Assistant Research Professor, Surgery, 7/1/88 through 6/30/89.  
 Pickett, Carol L., Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.  
 Porter, Janice M. Kregor, Clinical Assistant Professor (part-time), Pediatrics, 7/1/88 through 6/30/89.  
 Purcell, Marguerite, Assistant Professor (Adjunct Title Series), Diagnostic Radiology, 7/1/88 through 6/30/89.  
 Qian, Sufan, Assistant Research Professor, Surgery, 7/1/88 through 6/30/89.  
 Ray, J. Michael, Assistant Professor\*, Surgery, 7/1/88 through 6/30/89.  
 Revelette, W. Robert, Assistant Research Professor, Physiology and Biophysics, 7/1/88 through 6/30/89.  
 Richardson, Joseph W., Assistant Professor\*, Emergency Medicine, 7/1/88 through 6/30/89.  
 Riley, Christine N., Assistant Professor (Adjunct Title Series), Diagnostic Radiology, 7/1/88 through 6/30/89.

Robbins, John B., Assistant Professor\*, Medicine, 7/1/88 through 6/30/89.

Romond, Edward H., Assistant Professor, Medicine, 7/1/88 through 6/30/89.

Sadove, Richard C., Assistant Professor, Surgery, 7/1/88 through 6/30/89.

Schmidt, John J., Assistant Research Professor, Medicine, 7/1/88 through 6/30/89.

Schwartz, Richard W., Assistant Professor, Surgery, 7/1/88 through 6/30/89.

Shelling, Judith G., Assistant Professor, Biochemistry, 7/1/88 through 6/30/89.

Sloss, Robert R., Assistant Professor (Clinical Title Series), Pathology, 7/1/88 through 6/30/89.

Smith, Thomas James, Assistant Professor, Ophthalmology, 7/1/88 through 6/30/89.

Snow, Ernest Charles, Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.

Spady, Michael L., Assistant Professor, Rehabilitation Medicine, 7/1/88 through 6/30/89.

Sparks, David L., Assistant Research Professor, Neurology, 7/1/88 through 6/30/89.

Speck, Dexter F., Assistant Research Professor, Physiology and Biophysics, 7/1/88 through 6/30/89.

Stallones, Lorann, Assistant Professor, Preventive Medicine and Environmental Health, 7/1/88 through 6/30/89.

Tchou, Sheng, Assistant Professor\*, Rehabilitation Medicine, 7/1/88 through 6/30/89.

Thompson, James H., Clinical Instructor (part-time), Neurology, 7/1/88 through 6/30/89.

Vanden-Brink, Keith, Assistant Professor, Surgery, 7/1/88 through 6/30/89.

VanMeter, Woodford Spears, Assistant Professor, Ophthalmology, 7/1/88 through 6/30/89.

Vasconez, Henry C., Assistant Professor, Surgery, 7/1/88 through 6/30/89.

Waid, Thomas H., Assistant Professor (Clinical Title Series), Medicine, 7/1/88 through 6/30/89.

Walmsley, Paul N., Assistant Professor, Anesthesiology, 7/1/88 through 6/30/89.

Watson, Robert E., Assistant Professor, Anatomy and Neurobiology, 7/1/88 through 6/30/89.

Wells, Sharon E., Assistant Professor (Clinical Title Series), Emergency Medicine, 7/1/88 through 6/30/89.

Williams, Faren H., Assistant Professor, Rehabilitation Medicine, 7/1/88 through 6/30/89.

Wilson, Dianne, Clinical Assistant Professor (part-time), Pathology, 7/1/88 through 6/30/89.

Wilson, Leonard, Assistant Professor (Adjunct Title Series), Radiation Medicine, 7/1/88 through 6/30/89.  
Woodward, Jerold G., Assistant Professor, Microbiology and Immunology, 7/1/88 through 6/30/89.  
Wooster, William Eugene, Clinical Assistant Professor (part-time), Emergency Medicine, 7/1/88 through 6/30/89.  
Yaes, Robert J., Assistant Professor, Radiation Medicine, 7/1/88 through 6/30/89.  
Young, Robert E., Clinical Assistant Professor (part-time), Emergency Medicine, 7/1/88 through 6/30/89.

College of Pharmacy

Bouvette, Ralph E., Assistant Professor, 7/1/88 through 6/30/89.  
Clarkson, Thomas R., Instructor (part-time), 7/1/88 through 6/30/89.

Medical Center Library

Ingram, Mark A., Librarian IV (without tenure), 7/1/88 through 6/30/89.

D. REAPPOINTMENTS WITH CHANGE

College of Medicine

Falace, Peggy B., from Assistant Professor (temporary), Pediatrics, to Clinical Assistant Professor (part-time), Pediatrics, effective 7/1/88 and reappointed through 6/30/89.  
Garrett, Virginia E., from Assistant Professor\*, Rehabilitation Medicine, to Assistant Professor (Clinical Title Series), Rehabilitation Medicine, effective 5/1/88 and reappointed through 6/30/89.  
Marquis-Sedarat, Sharon M., Assistant Professor, Rehabilitation Medicine, 7/1/88 through 6/30/89.  
Also, change name from Marquis-Sedarat, Sharon M., to Sedarat, Sharon M., effective 7/1/88.

E. TERMINAL REAPPOINTMENTS

College of Medicine

Smith, Albert J., Assistant Professor, Medicine, 7/1/88 through 5/31/89.

F. LEAVES OF ABSENCE

College of Allied Health Professions

Gairola, Gerry A., Associate Professor (with tenure),  
Physician Assistant Studies, sabbatical leave at  
half-salary, 7/1/88 through 6/30/89.

College of Medicine

Kasarskis, Edward J., Associate Professor (with tenure),  
Neurology, joint appointment as Associate Professor  
(without tenure), Graduate Center for Toxicology,  
sabbatical leave at full-salary, 7/1/88 through  
12/31/88.

Calkins, John C., Professor (with tenure), Radiation  
Medicine, extension of leave without pay, 9/1/88  
through 6/30/89.

College of Nursing

Sisler, Nancy P., Associate Professor\* (with tenure),  
sabbatical leave at half-salary, 8/1/88 through  
7/31/89.

Office of the President  
June 21, 1988

PR 3A

Members, Board of Trustees:

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky be received at this time for preliminary consideration and, at the next regular meeting of the Board of Trustees, be included on the agenda for action.

(Note: Wording proposed for deletion is bracketed.)

Amendment - Change Part VIII.A.4.a to read as follows:

a. Department Chairmen (University System)

The term of a department chairman's appointment shall be four years, except in the Colleges of Agriculture, [Allied Health Professions,] Dentistry, Home Economics, Medicine, Nursing, and Pharmacy where it shall be six years. Ordinarily, a department member will be asked to serve as chairman for only one term. A chairman may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

Background: This change has been recommended for approval by the College of Allied Health Professions, the Academic Council for the Medical Center, the Chancellor for the Medical Center, the University Senate Council, and the University Senate. This recommended reduction in the length of the department chairman's term from six years to four years in the College of Allied Health Professions accompanied the College's plan to consolidate eight existing departments into two new departments, effective July 1, 1988.

2253A

Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 3B

Members, Board of Trustees:

APPOINTMENT OF VICE PRESIDENT FOR INFORMATION SYSTEMS

Recommendation: that the Board of Trustees approve the appointment of Eugene R. Williams as Vice President for Information Systems, effective July 1, 1988.

Background: The position of Vice President for Information Systems is the University's key computing planning and policy development position with institution-wide scope and is directly responsible to the President. The functions of this position are of critical importance to the institution and include responsibility for the development of a comprehensive communications plan as well as the coordination of communication needs of the institution. The Vice President has responsibility for central and distributed computing, communications, library and other information services.

The recommendation that Eugene R. Williams be named Vice President for Information Systems comes after consideration of a number of candidates and discussion with numerous University faculty and staff. Mr. Williams is currently serving as the Acting Vice President for Information Systems and has served in that position since August 1, 1987. He is well qualified to assume the regular appointment as Vice President because of his experience, knowledge, and background at the University of Kentucky.

Mr. Williams is a graduate of Eastern Kentucky University. He has served as Director of Internal Audit and Assistant Vice Chancellor for Business Services on the Lexington Campus before assuming the role of Acting Vice President for Information Systems. He has also worked as a senior financial analyst with Cincinnati Electronics Corporation and an auditor for the Cincinnati office of Arthur Andersen & Company.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

3925

PR 30

Members, Board of Trustees:

VICE PRESIDENT FOR RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board approve a restructuring of research and graduate education administration effective July 1, 1988, by changing the title of the Vice Chancellor for Research and Dean of the Graduate School to Vice President for Research and Graduate Studies, creating a new position of Dean of the Graduate School and changing the title of the two sector-based positions from Associate Dean for Research to Vice Chancellor for Research and Graduate Studies.

Background: Over the last several years, the University of Kentucky has made great strides in strengthening and expanding its research and graduate education programs. For example, graduate enrollment is now at 4,000 students; faculty grants will surpass the \$50 million mark this year; and multidisciplinary centers, institutes and graduate centers within the Graduate School have increased to a total of 17. In addition, UK must respond to rapid and extensive increases in federal regulations and mandates which impact research and graduate education.

A division of labor and responsibility is necessary to sustain the University's current momentum in research and graduate education. The University Senate's Research Committee has made such recommendations based upon what are perceived as structural and perceptual impediments to the health and growth of the University's research mission. Their recommendation is that a cabinet level unit be charged with the responsibility for research.

Further, it is desired to facilitate U.K.'s development in research. The University needs to improve interactions and involvement with a variety of external agencies in support of research and graduate programs, to seek further collaborative arrangement with business and industry, to build closer working relationships with various federal and state agencies, and to strengthen its ability to meet competition for quality graduate students.

The new structure is designed to streamline and improve current operations and to provide advocacy for research and graduate education within the University and with its various publics.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

3930

Office of the President  
June 21, 1988

PR 3D

Members, Board of Trustees:

CHANCELLOR FOR THE COMMUNITY COLLEGE SYSTEM  
AND  
UNIVERSITY RELATIONS

Recommendation: that the Board of Trustees approve a change in title for the Chancellor for the Community College System to be Chancellor for the Community College System and University Relations, effective July 1, 1988.

Background: On July 15, 1987, Dr. Charles Wethington, Chancellor for the Community College System, agreed to accept temporary additional administrative responsibilities for the public and governmental relations of the University. The separation of public relations and development was designed to further build the University's relationships with the citizenry of Kentucky; the political leadership; the economic leadership; the social leadership and educational leadership.

Because of the success of the organizational change in meeting the stated objectives, the administrative responsibilities for public and government relations will continue to be assigned to the Chancellor for the Community College System. The Director of Public Relations, Bernie Vonderheide, will continue to report to the Chancellor for the Community College System and University Relations. The position of Special Assistant for Government Relations is being eliminated. The change in title will reflect the additional administrative responsibilities assigned to the Chancellor.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

3927



Office of the President  
June 21, 1988

PR 3E

Members, Board of Trustees:

ASSOCIATE VICE PRESIDENT FOR ADMINISTRATION  
FOR  
ALUMNI AND DEVELOPMENT

Recommendation: that the Board of Trustees approve a change in title from Director of Development to Associate Vice President for Administration for Alumni and Development, effective July 1, 1988.

Background: As a result of the resignation of Dr. Raymond Hornback as Vice President for University Relations effective June 30, 1988 the administrative responsibility for the Development Office and the Alumni Association is being assigned to the Vice President for Administration. In order to streamline the organization the new Associate Vice President for Administration will be responsible for the functions of development and alumni affairs.

Because of the need to reduce administrative overhead, the positions of Vice President for University Relations and Director of Development will not be filled and are being removed from the organizational chart. The change in title will reflect the additional responsibilities assigned to the Associate Vice President for Administration for Alumni and Development.

Action taken: Approved  Disapproved  Other

Date: June 21, , 1988

0015

Office of the President  
June 21, 1988

PR 3F

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM THE A. SIDNEY BEHRMAN TRUST

Recommendation: that the Board of Trustees adopt the attached resolution regarding the acceptance of a gift from the A. Sidney Behrman Trust.

Background: A. Sidney Behrman, a resident of Chicago, Illinois, at the time of his death on April 30, 1988, left a Trust under Agreement which provided for the establishment of a memorial to Dr. Franklin C. Tuttle, a deceased professor of Chemistry at the University of Kentucky. The principal or income from the bequest is to be used for the benefit of the Department of Chemistry.

The Trustee, Northern Trust Company of Chicago, Illinois, has requested the adoption of the attached resolution as confirmation of acceptance of the bequest. The exact amount of the bequest is unknown at this time.

\_\_\_\_\_  
Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: June 21, 1988

RESOLUTION AND CERTIFICATION

WHEREAS, A. Sidney Behrman, a resident of Chicago, Illinois, departed this life on April 30, 1988, leaving a Trust under Agreement dated August 27, 1984, under which The Northern Trust Company is Trustee, and which contains provisions for a bequest as follows:

"Section 4.02: "The balance of the Trust Estate shall be distributed to the UNIVERSITY OF KENTUCKY, at Lexington, Kentucky, as a memorial to Dr. Franklin C. Tuttle, to be used from time to time (either income or principal until they are both exhausted) as the governing body of said University shall determine, for the benefit of the Department of Chemistry of said University."

NOW THEREFORE, be it resolved that the said bequest be and the same is hereby accepted by the University of Kentucky for the uses and purposes therein set forth.

Be it further resolved that David P. Roselle as President of said University be and is hereby authorized to accept payment on behalf of said University of said bequest, and to execute and deliver to The Northern Trust Company as Trustee of the above Trust under Agreement of the said A. Sidney Behrman, deceased, the receipt of the said University in satisfaction thereof.

\* \* \* \* \*

I, Edythe Jones Hayes, Secretary of the Board of Trustees of the University of Kentucky, an independent agency and instrumentality of the Commonwealth of Kentucky, do hereby certify that the above and foregoing is a true and correct and complete copy of a resolution passed at the meeting of the Board of Trustees of said University on the \_\_\_\_\_ day of \_\_\_\_\_, A.D., 1988, and as the same appears on the records of said corporation.

I further certify that I am the Secretary of said Board of Trustees and custodian of its corporate records and its corporate seal.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seal of said University of Kentucky, this \_\_\_\_\_ day of \_\_\_\_\_, A.D., 1988.

UNIVERSITY OF KENTUCKY  
BOARD OF TRUSTEES

By: \_\_\_\_\_  
Edythe Jones Hayes  
Secretary

CORPORATE  
SEAL

Office of the President  
June 21, 1988

PR 4A

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN THE  
COMMUNITY COLLEGE SYSTEM  
HAZARD COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Nursing, for the Hazard Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Hazard Community College has worked with the local Advisory Board and other appropriate individuals and groups in determining the need for graduates from the program. For the past six years Southeast Community College has operated an expansion program in Nursing at Hazard Community College. The demand for nurses in Hazard and the surrounding region now justifies the need to establish a separate nursing program at Hazard Community College.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988.

June 21, 1988  
Office of the President

PR 5A

Members, Board of Trustees:

APPOINTMENT OF DEAN OF COLLEGE OF AGRICULTURE

Recommendation: that C. Oran Little be named Dean of the College of Agriculture; Director, Agricultural Experiment Station; and by agreement with the Administrator of the Extension Service of the U.S. Department of Agriculture, Director, Cooperative Extension Service, effective July 1, 1988.

Background: Following the announcement of the retirement of Dr. Charles F. Barnhart, a committee was appointed in accordance with the University's Governing Regulations to conduct a national search to identify and recommend qualified candidates for the position of Dean of the College of Agriculture. The results of this careful search and evaluation led to a recommendation from the search committee that Dr. C. Oran Little be appointed Dean of the College of Agriculture.

Dr. Little did his undergraduate work at the University of Houston receiving the B.S. degree. He holds both the M.S. and Ph.D. degrees from Iowa State University, concentrating in the areas of Animal Nutrition and Biochemistry. From 1960-1985 he was a member of the faculty of the Department of Animal Sciences, University of Kentucky, and from 1969-1985 served as Associate Dean for Research in the College of Agriculture and Associate Director of the Kentucky Agricultural Experiment Station. In 1985 he joined the faculty of Louisiana State University and became Vice Chancellor for Research of the Louisiana State University Agricultural Center and Director of the Louisiana Agricultural Experiment Station.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 5B

Members, Board of Trustees:

APPOINTMENT OF THE DIRECTOR,  
TOBACCO AND HEALTH RESEARCH INSTITUTE

Recommendation: that Dr. John N. Diana be appointed Director of the Tobacco and Health Research Institute effective July 1, 1988.

Background: The University of Kentucky Tobacco and Health Research Institute is unique in its capacity to conduct much of the necessary research in smoking, tobacco, and health with its natural administrative linkage to the educational and research programs of the University.

After Dr. D. Layten Davis, former Director of the Institute, resigned to take a position in industry, a search committee was appointed to consider candidates for the directorship. Dr. John Diana was the unanimous choice of the search committee. The recommendation that Dr. Diana be named Director of the Tobacco and Health Research Institute comes after consideration by and discussion with numerous members of the University faculty, administration, and the Kentucky Tobacco Research Board. Dr. Diana is currently serving as the director of the special emphasis area in cardiovascular diseases, one of the five areas targeted for research by the Institute. Dr. Diana is extremely well qualified to assume the Directorship because of his extensive experience in administration and research in the area of life sciences.

Currently, Dr. Diana is Associate Dean for Research and Basic Sciences in the College of Medicine and a professor in the Department of Physiology and Biophysics. Dr. Diana received a Ph.D. from the University of Louisville. He was formerly Professor of Physiology and Biophysics at the University of Iowa and later Professor and Head of the Department of Physiology and Biophysics, LSU Medical Center, Shreveport.

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Action taken:    Approved     Disapproved     Other

Date: June 21, 1988

June 21, 1988  
Office of the President

PR 5C

Members, Board of Trustees:

FEAT MARWICK PROFESSORSHIP IN ACCOUNTING

Recommendation: that the Board of Trustees establish in the School of Accountancy, College of Business and Economics, the Peat Marwick Professorship in Accounting.

Background: The College of Business and Economics is raising funds to establish a minimum of twenty professorships to provide salary supplements to faculty members as well as discretionary funds for faculty development. Partners from Peat Marwick and the Peat Marwick Foundation have pledged a minimum of \$100,000 to establish an endowment for the professorship. In addition, other employees of the firm will continue to make contributions to the School of Accountancy for discretionary purposes. This represents the third such professorship that has been provided to the School of Accountancy.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

June 21, 1988  
Office of the President

PR 5D

Members, Board of Trustees:

THOMAS C. SIMONS PROFESSORSHIP IN BUSINESS ETHICS

Recommendation: that the Board of Trustees establish in the College of Business and Economics the Thomas C. Simons Professorship in Business Ethics.

Background: The College of Business and Economics is raising funds to establish a minimum of twenty professorships to provide salary supplements to faculty members as well as discretionary funds for faculty development. Thomas C. Simons recently retired as Chief Executive Officer and Chairman of the Board of Capital Holding Corporation. The Board of Directors of Capital Holding Corporation voted to provide the College of Business and Economics with a pledge of \$150,000 to establish a professorship in honor of Mr. Simons' outstanding career. Because of Mr. Simons' personal interest in business ethics, and because of the College's need to include business ethics in its core curriculum, it was decided to establish the Thomas C. Simons Professorship in Business Ethics.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988



June 21, 1988  
Office of the President

PR 5E

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve the name the ROTTGERING-KUEGEL AGRICULTURAL RESEARCH AND EXTENSION BUILDING for the office/laboratory building located at the Research and Education Center in Princeton, Kentucky.

Background: Howard Rottgering has been an active leader in the programs of the College of Agriculture, especially the West Kentucky Substation. He was an early cooperator with the Extension Service, having fertilizer and seed variety test plots on his farm and continues to have College of Agriculture demonstration plots. His farm has always been a source of new technical information for farmers in West Kentucky. A leader in fruit production, Mr. Rottgering served as President of the Kentucky State Horticulture Society for three terms. The College of Agriculture honored him with its highest award, the Thomas Foe Cooper Farm Leadership Award.

William Kuegel, a Daviess County farmer, has devoted his life to his family's farming enterprise and the promotion of good farming practices throughout the state. Mr. Kuegel has worked continuously for the past 20 years on behalf of the College of Agriculture's capital construction needs. He has served as an agricultural advisor to three governors and was Chairman of the Governor's Council on Agriculture from 1975-1979. He, too, is a recipient of the Thomas Foe Cooper Farm Leadership Award.

These two prominent West Kentucky Farm leaders were instrumental in securing funding for the office/laboratory building. As lifetime public servants of Kentucky agriculture, it is fitting that they be honored by having the office/laboratory building at the Research and Education Center named for them. In accordance with University Policy, the Committee for Naming University Buildings has made its recommendation to the Chancellor of the Lexington Campus who recommends to the President and the Board of Trustees that the office/laboratory building at the Research and Education Center, Princeton, Kentucky, be named the ROTTGERING-KUEGEL AGRICULTURAL RESEARCH AND EXTENSION BUILDING.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 5F

Members, Board of Trustees:

NAMING OF UNIVERSITY ROOM

Recommendation: that the Board of Trustees approve the name the Catesby W. Clay Room for Room 110 of the Mining and Mineral Resources Building.

Background: The Mining Engineering Foundation is a direct result of the one-half million dollar challenge grant established by Catesby W. Clay, President, Kentucky River Coal Company. The Foundation has contributed a substantial annual income to the programs in mining engineering. It is the wish of the Department of Mining Engineering and the Board of Directors of the Mining Engineering Foundation to give permanent recognition to Mr. Clay's generosity and vision by naming a room in his honor. In accordance with University Policy, the Committee for Naming University Buildings has made its recommendation to the Chancellor of the Lexington Campus who recommends to the President and the Board of Trustees that Room 110 of the Mining and Mineral Resources Building be named the Catesby W. Clay Room.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 6A

Members, Board of Trustees:

REORGANIZATION OF DEPARTMENTS  
IN THE COLLEGE OF ALLIED HEALTH PROFESSIONS

Recommendation: that the Board of Trustees approve the reorganization of the eight existing departments in the College of Allied Health Professions into two new departments, the Department of Clinical Sciences and the Department of Health Services, effective July 1, 1988.

Background: The proposed reorganization of the departments in the College of Allied Health Professions will result in a reconfiguration of the eight current departments into two new departments. The current departments are Allied Health Education and Research, Clinical Nutrition, Community Health, Health Radiation Sciences, Clinical Pastoral Counseling, Medical Technology, Physical Therapy, and Physician Assistant Studies. Consolidation into two larger departments, while maintaining clusters of program specialists, will permit the College's departmental structure to more appropriately approximate that of a typical university department. The proposed new departments are the Department of Clinical Sciences and Department of Health Services. The Department of Clinical Sciences will have four program areas (each headed by a director): Clinical Nutrition, Radiation Science, Physical Therapy, and Clinical Laboratory Sciences. The Department of Health Services also will consist of four program areas (each headed by a director): Allied Health Education and Research, Clinical Pastoral Counseling, Health Administration, and Physician Assistant Studies. An organizational chart relative to the two new departments and their program areas is on the reverse side of this page.

There is broad and substantial support for this organizational change among the faculty members in the College of Allied Health Professions. The College has proposed this substantial reorganization of its educational and administrative structure in order to achieve more fully its educational, research, and service missions. This reorganization has been recommended for approval by the Academic Council of the Medical Center, the Chancellor for the Medical Center, the University Senate Committee on Organization and Structure, the University Senate Council, and the University Senate.

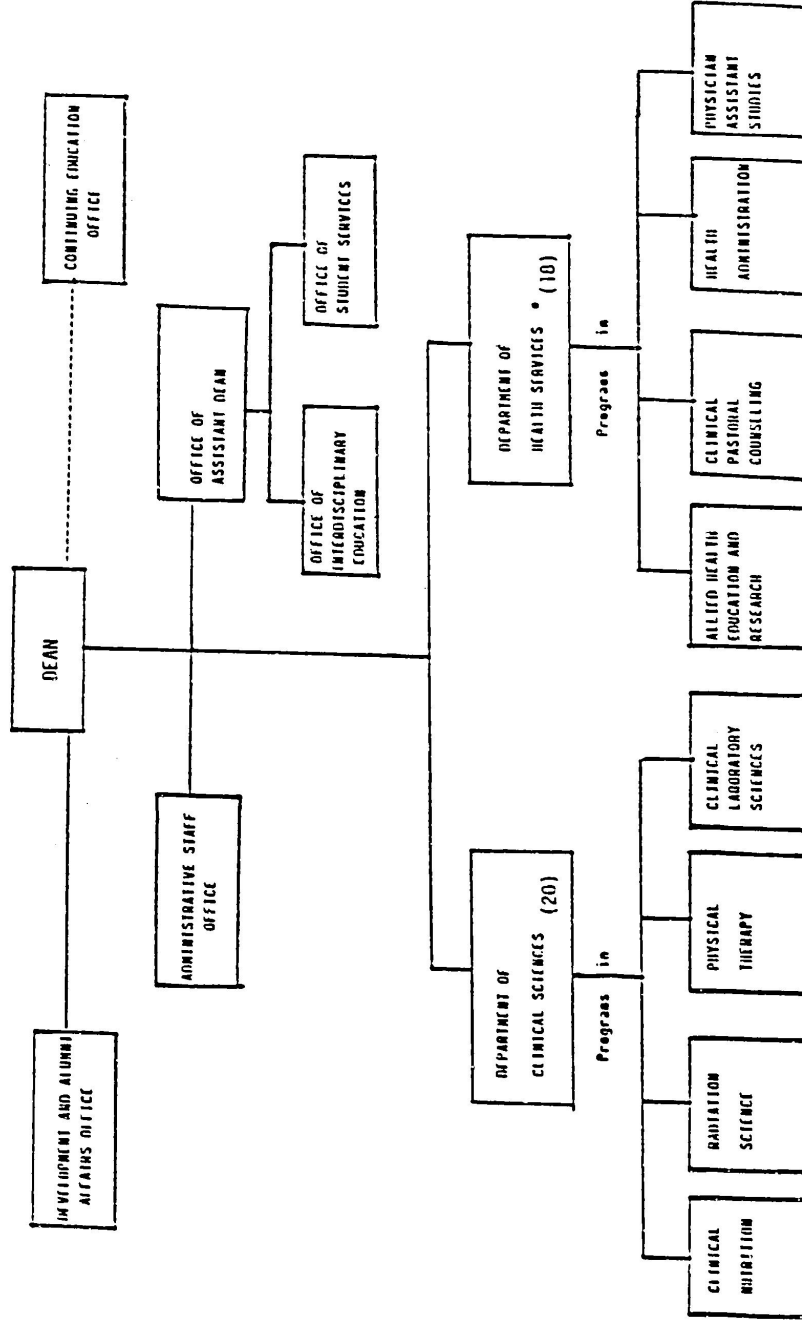
If this recommendation is approved, faculty affiliated with existing instructional programs shall be transferred to the new departments and clustered as instructional program faculty in accordance with the provisions of Section VII.B.5.(5) of the Governing Regulations.

2254A

Action taken: Approved  Disapproved  Other

Date: June 21, 1988

**COLLEGE OF ALLIED HEALTH PROFESSIONS**  
Proposed Reorganization of Academic Units



(#) = Number of Faculty members in Department

\*This Department will assume responsibility for the interdisciplinary program of required college courses.

REVISED

Office of the President  
June 21, 1988

PR 6B

Members, Board of Trustees:

ENDOWED CHAIR IN THE DEPARTMENT OF SURGERY

Recommendation: that the Board of Trustees establish in the College of Medicine, Department of Surgery, a fund for the purpose of endowing a Chair in Surgery.

Background: the Dean of the College of Medicine has committed funds to establish an endowed chair (\$375,000). Funding will be from the Kentucky Medical Services Foundation, Inc. The College is able to commit to this endowment as a result of increased support for its programs by University Hospital. Initially, the purpose of the Endowed Chair is to enhance the teaching and research program of the Division of Cardiothoracic Surgery and the cardiovascular program in University Hospital.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 6C

Members, Board of Trustees:

PROFESSORSHIP IN SURGERY

Recommendation: that the Board of Trustees establish in the College of Medicine, Department of Surgery, a Professorship in Surgery.

Background: the Department of Surgery has committed funds to establish an endowment to support the professorship (\$375,000). Funding will be from the Kentucky Medical Services Foundation, Inc. The College is able to commit to this endowment as a result of increased support for its programs by University Hospital. The purpose of the professorship is to enhance the research program of the Department of Surgery.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 6D

Members, Board of Trustees:

PROFESSORSHIP IN THE DIVISION OF NEUROSURGERY

Recommendation: that the Board of Trustees establish in the College of Medicine, Department of Surgery, a Professorship of Neurosurgery.

Background: the Division of Neurosurgery and the Dean of the College of Medicine have committed funds to establish an endowment to support the professorship (\$375,000). Funding will be from the Kentucky Medical Services Foundation, Inc. The purpose of the professorship is to enhance the research program of the Division of Neurosurgery.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

PR 6E

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND  
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III, A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfies the "conditions" set forth in Section III, B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 23, 1987. The document has been updated to reflect dates in the new contract period, revise the dollar amounts due the University under Section 5, C and Section 18, A, and broaden definition of clinical income. The dollar amounts have been revised to reflect the increased costs for 1988-89. Section 19 on Professional Liability Insurance continues to reflect the fact that the University of Kentucky Medical Center has a self-insurance program in place and no longer carries excess umbrella insurance and that the Kentucky Medical Services Foundation will bear 48.45% of the total cost. The amount for expenses attendant to the production of clinical income has been increased from \$2,487,838 to \$3,018,445. This increase reflects continued growth in the provision of health care in the clinics.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988



THIS AGREEMENT made and entered into this 21th day of June, 1988 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on June 19, 1984; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

## Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on June 19, 1984.

B. "Clinical Income" means (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of

patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

### Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. To provide for said reimbursement, Foundation agrees that it will, on or before the first working day of each month in which reimbursable expenses are due as provided hereinafter, deposit the funds necessary to make such payments in a separate account maintained by it and that funds held in the said account will be invested only in accord with the provisions of Section 18 hereof.

C. Foundation agrees to pay to University, from said account, as reimbursement for the remainder of the expenses attendant to the production of clinical income, University's actual annual costs, as determined by joint evaluation of Foundation and University, a sum estimated at Three Million Eighteen Thousand Four Hundred Forty-Five Dollars, composed of \$3,018,445 as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the AMS Clinic.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month. KMSF will be required to pay any costs over the \$3,018,445 and likewise accrue any savings that may occur.

D. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognized that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1988 - June 30, 1989 a sum not to exceed Fifteen Thousand (\$15,000.00) Dollars.

C. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, the usual and customary fee for each such service rendered.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of executive physical examinations to certain University employees identified by the University President, a fee for each examination.

E. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1988, for services as described in paragraphs B, C, and D hereinabove.

#### Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.

2. The operation of the UK-HMO.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

#### Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research

in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to three (3%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to six (6%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

#### Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift,

grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.



Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities or (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, nutrition/dietetic fees and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

F. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

#### Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the University Medical Plaza building for the purpose of providing billing and financial information to patients of University physicians who are members of KMSF.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

### C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

### Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space for the Ophthalmology Clinic, the Chambers Building, appropriate assigned space in the University Medical Plaza and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

#### Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1988 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1988.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5.c.1. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants  
Balance Sheet  
Statement of Changes in Fund Balances  
Statement of Current Revenues and Expenditures  
Supplementary Schedules

Quarterly

Financial Statements to include:

Balance Sheet  
Statement of Operations  
Gross Billings  
Collections  
Financial Allowances  
Bad Debts  
Aging Trial Balance of Accounts Receivable by:  
Patient liability  
Third party payor  
Reports of Delinquent Account Activity to include:  
Referrals  
Collections  
Close and returns

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for

reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

#### Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

#### Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of



the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-7.0; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 18. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to nine (9%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

B. Accordingly, Foundation agrees that it will deposit the funds necessary to meet its obligations for reimbursement of the University and the provision of the aforementioned academic enrichment fund in a separate account as received and that the funds in said account shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment

under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 19. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (48.45%) of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment by July 15, 1988, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1988 and monthly thereafter until June 30, 1989.

B. In addition, Foundation has agreed that between July 1, 1988 and June 30, 1989, it will cause to be deposited in a separate account within the Foundation the sum of 48.45% of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, and judgments arising for liabilities defined in KRS 164.941 (3). No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 18 hereof. Payment to the separate account may be in equal installments, provided that the full 48.45% shall be deposited by June 30, 1989.

C. In sum, Foundation agrees to pay in both assessments and contributions 48.45% of the total program costs of 1988-89 as determined by KRS 164.941 and the Malpractice Risk Management Committee.

Section 20. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof and (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology  
Diagnostic Radiology  
Emergency Medicine  
Family Practice  
Medicine  
Neurology  
Ob/Gyn  
Ophthalmology  
Pathology  
Pediatrics  
Preventive Medicine & Environmental Health  
Psychiatry  
Radiation Medicine  
Rehabilitation Medicine  
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 21. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine  
MN-150  
University Medical Center  
800 Rose Street  
Lexington, Kentucky 40536

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.  
729 S. Limestone  
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

#### Section 22. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

Section 23. TERM

The term of this agreement shall begin on July 1, 1988 and end on June 30, 1989.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF  
THE UNIVERSITY OF KENTUCKY

BY: \_\_\_\_\_

President

University of Kentucky

ATTEST: \_\_\_\_\_

KENTUCKY MEDICAL SERVICES  
FOUNDATION, INC.

BY: \_\_\_\_\_

ATTEST: \_\_\_\_\_

Office of the President  
June 21, 1988

# ICR 1

Members, Board of Trustees:

## REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the three months ended March 31, 1988 be accepted.

Background: A part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the First Quarter of 1988, for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky, the University of Kentucky Research Foundation, the University of Kentucky Business Partnership Foundation, the University of Kentucky Mining Engineering Foundation, the University of Kentucky Equine Research Foundation, and the University of Kentucky Humanities Foundation.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

University of Kentucky  
Statement of Portfolio Changes  
Short Term Current Fund Investments  
For the Three Months Ended March 31, 1988

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
Certificate of Deposit #33876	01/20/88	01/19/89	7.75%	\$ 5,000,000
Federal National Mortgage Association	01/20/88	02/10/89	7.347%	4,500,000
Total Purchases				<u>\$ 9,500,000</u>
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
Certificate of Deposit #104411	06/25/86	01/11/88	7.16%	\$ 3,500,000
Federal National Mortgage Association	05/21/87	01/20/88	10.50%	8,000,000
Total Maturities / Sales				<u>\$ 11,500,000</u>



University of Kentucky Athletic Association  
Statement of Portfolio Changes  
Short Term Current Fund Investments  
For the Three Months Ended March 31, 1988

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Amount of Purchase</u>
Certificate of Deposit #118831	01/20/88	01/19/89	7.55%	\$ 2,000,000
Federal National Mortgage Association	01/20/88	02/10/89	7.347%	500,000
Total Purchases				<u>\$ 2,500,000</u>
<u>Maturities/Sales:</u>	<u>Purchase Date</u>	<u>Maturity/ Sale Date</u>	<u>Yield</u>	<u>Amount of Maturity/Sale</u>
Certificate of Deposit #104409	06/25/86	01/11/88	7.16%	\$ 1,500,000
Federal National Mortgage Association	05/21/87	01/20/88	10.50%	2,000,000
Total Maturities / Sales				<u>\$ 3,500,000</u>

University of Kentucky  
 And Affiliated Corporations  
 Statement of Portfolio Changes for the Regular Endowment Pool  
 For the Three Months Ended March 31, 1988

<u>Purchases/Additions:</u>		<u>Amount of</u>
<u>No. of</u>	<u>Security</u>	<u>Purchase</u>
420,960.47	Thoroughbred Group Prime Obligations - D	\$ 420,960.47
860	Allegis Corporation	59,694.32
3,220	Bank New England Corporation	83,001.00
184	Columbia Pictures Entertainment	1,380.00
176.25	Henley Manufacturing Corporation	3,216.56
3,750	Public Service Co. Ind. Incorporated	46,087.50
65,000	Rockwell Intl. Corporation	59,390.50
1,750	Service Corporation Intl.	42,528.50
580	Sovran Financial Corporation	19,285.00
	Total - Purchases/Additions	<u>\$ 735,543.85</u>

University of Kentucky  
And Affiliated Corporations  
Statement of Portfolio Changes for the Regular Endowment Pool  
For the Three Months Ended March 31, 1988

<u>Sales/Conversions:</u>	<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
	485,006.15	Thoroughbred Group Prime Obligations - D	\$ 485,006.15	\$ 485,006.15	\$ 0.00
	3,785	AAR Corp.	69,059.62	31,799.68	37,259.94
	2,014	Allegis Corporation	161,120.00	146,953.29	14,166.71
	690	Armstrong World Inds. Inc.	21,294.79	24,320.22	( 3,025.43)
	1,190	Banc One Corporation	31,633.65	26,168.84	5,464.81
	184	Columbia Pictures Entertainment	1,291.35	1,380.00	( 88.65)
	176.25	Henley Manufacturing Corporation	4,052.98	3,216.56	836.42
	2,300	Humana Incorporated	45,101.48	40,963.00	4,138.48
		Total - Sales/Conversions	<u>\$ 818,560.02</u>	<u>\$ 759,807.74</u>	<u>\$58,752.28</u>

University of Kentucky  
 And Affiliated Corporations  
 Statement of Portfolio Changes for the Fixed Income Fund  
 For the Three Months Ended March 31, 1988

Purchases/Additions

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
1,364,651	Thoroughbred Group Prime Obligations - D	\$ 1,364,651.00
660,000	Rockwell Intl. Corporation	603,042.00
500,000	Sears Overseas	543,000.00
520,000	U.S. Treasury Notes, 8.625%, 08/15/97	530,237.50
	Total - Purchases/Additions	<u>\$ 3,040,930.50</u>

Sales/Maturities:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
1,844,822.64	The Thoroughbred Group Prime Obligations - D	\$1,844,822.64	\$ 1,844,822.64	\$ 0.00
710,000	Exxon Cap. Corporation	528,431.70	499,699.40	28,732.30
37,126.21	Valley National Financial Corp., 9.5%, 6/15/89	37,126.21	36,959.15	167.06
	Total - Sales/Maturities	<u>\$2,410,380.55</u>	<u>\$ 2,381,481.19</u>	<u>\$ 28,899.36</u>

University of Kentucky  
 And Affiliated Corporations  
 Statement of Portfolio Changes for the Money Market Fund  
 For the Three Months Ended March 31, 1988

Purchases:

<u>No. of Shares</u>	<u>Security</u>		<u>Amount of Purchase</u>
223,000	Thoroughbred Group Prime Obligations - D		\$ 223,000.00

Net Sales:

<u>No. of Shares</u>	<u>Security</u>		<u>Net Proceeds from Sales</u>		<u>Book Value</u>
75,792.54	Thoroughbred Group Prime Obligations - D		\$ 75,792.54	\$	75,792.54

University of Kentucky  
Statement of Portfolio Changes for the Intercollegiate Athletics Fund  
For the Three Months Ended March 31, 1988

Purchases:

<u>No. of Shares</u>	<u>Security</u>	<u>Amount of Purchase</u>
502,000	The Thoroughbred Group Prime Obligations - D	\$ 502,000.00
300,000	Rockwell International Corporation, 7.5%, 3/15/97	274,110.00
300,000	Sears Overseas, 11.625%, 2/01/91	325,800.00
210,000	U.S. Treasury Notes, 8.625%, 8/15/97	214,134.37
	Total - Purchases	<u>\$ 1,316,044.37</u>

Sales:

<u>No. of Shares</u>	<u>Security</u>	<u>Net Proceeds from Sales</u>	<u>Book Value</u>	<u>Gain or (Loss)</u>
874,000	Thoroughbred Group Prime Obligations - D	\$ 874,000.00	\$ 874,000.00	\$ 0.00
145,000	Owensboro, Ky. Electric Light and Power, 9.2%, 1/1/88	145,000.00	144,721.60	278.40
285,000	Exxon Capital Euro Note, 4.5%, 5/8/96	212,116.95	200,584.40	11,532.55
	Total - Sales	<u>\$ 1,231,116.95</u>	<u>\$ 1,219,306.00</u>	<u>\$ 11,810.95</u>

Office of the President  
June 21, 1988

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE TEN MONTHS ENDED  
APRIL 30, 1988

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the ten months ended April 30, 1988.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Research Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., and Health Care Collection Service, Inc.

As of April 30, 1988, the University had realized income of \$537,534,000 representing 96% of the 1987-88 estimate of \$560,248,000. Expenditures and commitments total \$429,457,000 or 77% of the approved budget of \$560,248,000.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

CONSOLIDATED BALANCE SHEET  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
APRIL 30, 1988  
(in thousands)

Statement 1

	(A)	(B)	(C)	(D)	(E)	(F)
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals April 1988
<b>ASSETS</b>						
(1) Cash and cash equivalents	\$106,338	\$ 22,064	\$ 2,072	\$ 67	\$ 93,544	\$ 224,085
(2) Notes, loans, and A/R (less bad debt allowances of \$4,962)	31,785	5,452	14,005		750	51,992
(3) Investments	9,283	34,167		50,767	76,013	170,230
(4) Property, plant, and equipment					610,667	610,667
(5) Inventories and other	9,117	104				9,221
(6) Total Assets	\$156,523	\$ 61,787	\$16,077	\$50,834	\$780,974	\$1,066,195
<b>LIABILITIES AND FUND BALANCES</b>						
<b>Liabilities</b>						
(7) Accounts payable	\$ 9,300	\$ 2,233	\$ 39			\$ 11,572
(8) Employee withholdings and deposits		10,782				10,782
(9) Other liabilities	1,304				\$ 4,381	5,685
(10) Liability for self insurance	8,534					8,534
(11) Deferred income	3,254	104				3,358
(12) Bonds payable					259,405	259,405
(13) Capitalized lease obligation					30,197	30,197
(14) Net deferred revenues and appropriated fund balances	112,856	2,153				115,009
(15) Total Liabilities	135,248	15,272	39	0	293,983	444,542
(16) Due to(from) interfund	(17,218)	24,502			(7,284)	0
<b>Fund Balances</b>						
<b>Current Unrestricted</b>						
(17) Working capital	25,493					25,493
(18) Funded Hospital depreciation	13,000					13,000
<b>Current Restricted</b>						
(19) Loan		22,013				22,013
(20) True Endowments			16,038			16,038
(21) Term Endowments				\$27,182		27,182
(22) Quasi Endowments				706		706
(23) Plant				22,946		22,946
(24) Retirement of Indebtedness					32,060	32,060
(25) Renewal and Replacement					2,796	2,796
(26) Allocated for designated projects					12,408	12,408
(27) Net investment in plant					447,011	447,011
(28) Total Fund Balances	38,493	22,013	16,038	50,834	494,275	621,653
(29) Total Liabilities and Fund Balances	\$156,523	\$ 61,787	\$16,077	\$50,834	\$780,974	\$1,066,195



CONSOLIDATED STATEMENT OF CURRENT FUNDS  
REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE TEN MONTHS ENDED APRIL 30, 1988  
with comparative totals for April 30, 1987  
(in thousands)

Schedule 1

	(A)	(B)	(C)	(D)	(E)	(F)
	1987-1988			1986-1987		
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
<b>GENERAL FUND AND HOSPITAL</b>						
(1) State Appropriation	\$ 233,516	\$ 223,970	\$ 224,017	100	\$ 199,566	100
(2) Student Fees	50,998	53,709	54,022	101	46,990	97
(3) Federal Appropriations	13,621	13,621	11,724	86	11,691	91
(4) County Appropriations	3,554	3,643	2,730	75	2,176	61
(5) Endowment Income	696	696	513	74	386	56
(6) Investment Income	7,358	7,358	5,582	76	8,078	85
Gifts and Grants						
(7) Affiliated corporations	8,117	8,132	462	6	565	9
(8) Other	2,905	3,604	878	24	706	13
(9) Sales and Services	12,100	12,332	11,089	90	9,614	84
Hospital						
(10) Revenues	83,418	83,418	83,075	100	73,373	94
(11) Fund Balances	22,747	30,457	30,457	100	17,271	100
(12) Fund Balances	7,021	11,935	11,935	100	11,988	100
<b>TOTAL GENERAL FUND AND HOSPITAL</b>						
(13)	446,051	452,875	436,464	96	382,404	95
(14) AUXILIARY SERVICES	26,443	26,773	23,297	87	21,371	85
(15) RESTRICTED FUNDS	33,621	34,845	30,736	88	25,427	71
(16) AFFILIATED CORPORATIONS	45,673	45,755	47,017	103	50,405	121
<b>TOTAL REVENUES AND APPROPRIATED FUND BALANCES</b>						
(17)	\$ 551,788	\$ 560,248	\$ 537,534	96	\$ 479,607	95

**CONSOLIDATED STATEMENT OF CURRENT FUND  
 EXPENDITURES AND TRANSFERS SUMMARY BY PROGRAM - ALL FUNDS  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE TEN MONTHS ENDED APRIL 30, 1988  
 (in thousands)**

Schedule 2

	(A)	(B)	(C)	(D)
	1987-1988			
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDITURES/ INCUMBRANCES TO DATE	PERCENT EXPENDED/ INCUMBERED
(1) INSTRUCTION	\$ 148,563	\$ 144,619	\$ 110,417	76
(2) RESEARCH	63,845	66,132	60,800	92
(3) PUBLIC SERVICE	50,620	48,526	33,411	69
(4) ACADEMIC SUPPORT	34,950	33,847	25,371	75
(5) STUDENT SERVICES	13,266	15,339	11,441	75
(6) INSTITUTIONAL SUPPORT	21,351	28,648	21,824	76
(7) STUDENT FINANCIAL AID	19,789	20,938	20,578	96
(8) OPERATION AND MAINTENANCE	32,721	30,584	24,773	81
(9) MANDATORY TRANSFERS (DEBT SERVICE)	22,542	19,801	19,061	96
(10) HOSPITAL	107,280	114,984	69,537	60
(11) AUXILIARY ENTERPRISES	36,861	36,839	32,244	88
	-----	-----	-----	
(12) TOTAL EXPENDITURES AND TRANSFERS BY PROGRAM	\$ 551,788	\$ 560,248	\$ 429,457	77
	=====	=====	=====	===

EXPENDITURES AND TRANSFERS SUMMARY  
 BY CATEGORY OF EXPENDITURES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE TEN MONTHS ENDED APRIL 30, 1988  
 (In thousands)

Schedule 3

	(A)	(B)	(C)	(D)
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED	% EXPENDED ENCUMBERED
(1) PERSONNEL COSTS	\$ 311,799	\$ 320,270	\$ 255,390	80
(2) OPERATING EXPENSES	187,404	189,388	127,265	67
(3) MANDATORY TRANSFERS (DEBT SERVICE)	22,542	19,801	19,061	96
(4) CAPITAL OUTLAY	30,043	30,789	27,741	90
(5) TOTAL EXPENDITURES AND TRANSFERS BY CATEGORY	\$ 551,788	\$ 560,248	\$ 429,457	77

CONSOLIDATED STATEMENT OF CURRENT FUNDS  
 EXPENDITURES AND TRANSFERS  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE TEN MONTHS ENDED APRIL 30, 1988  
 with comparative totals for April 30, 1987  
 (in thousands)

Schedule 4

	(A)	(B)	(C)	(D)	(E)	(F)
	1987-1988			1986-1987		
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED	EXPENDED ENCUMBERED TO DATE	PERCENT EXPENDED/ ENCUMBERED
<b>CURRENT UNRESTRICTED FUNDS</b>						
(1) Instruction	\$ 139,914	\$ 136,078	\$ 107,818	79	\$ 100,169	78
(2) Research	31,719	33,686	23,837	71	18,500	68
(3) Public Service	42,827	40,590	32,294	80	29,939	78
(4) Academic Support	31,715	30,536	23,623	77	26,577	86
(5) Student Services	13,133	14,721	11,300	77	10,182	93
(6) Institutional Support	19,577	26,454	20,676	78	21,100	85
(7) Student Financial Aid	4,805	5,623	6,359	113	5,765	127
(8) Operation and Maintenance	32,601	30,458	24,702	81	25,578	87
(9) Mandatory Transfers (Debt Service)	22,542	19,801	19,061	96	11,659	91
(10) Hospital	107,218	114,928	69,527	60	58,484	61
<b>TOTAL CURRENT UNRESTRICTED FUNDS</b>	<b>446,051</b>	<b>452,875</b>	<b>339,197</b>	<b>75</b>	<b>307,953</b>	<b>76</b>
<b>CURRENT RESTRICTED FUNDS</b>						
(12) Instruction	1,988	2,126	2,329	110	2,899	107
(13) Research	13,760	14,144	8,447	60	7,412	52
(14) Public Service	837	1,073	1,117	104	1,335	109
(15) Academic Support	991	1,023	625	61	575	45
(16) Student Services	118	160	113	71	66	49
(17) Institutional Support	910	1,279	937	73	400	61
(18) Student Financial Aid	14,835	14,858	14,112	95	13,098	84
(19) Operations and Maintenance	120	126	69	55	23	21
(20) Hospital	62	56	10	18	1	2
<b>TOTAL CURRENT RESTRICTED FUNDS</b>	<b>33,621</b>	<b>34,845</b>	<b>27,759</b>	<b>80</b>	<b>25,809</b>	<b>72</b>
(22) AUXILIARY ENTERPRISES	26,443	26,773	21,874	82	20,392	81
(23) AFFILIATED CORPORATIONS	45,673	45,755	40,627	89	42,604	102
<b>TOTAL EXPENDITURES AND TRANSFERS</b>	<b>\$ 551,788</b>	<b>\$ 560,248</b>	<b>\$ 429,457</b>	<b>77</b>	<b>\$ 396,758</b>	<b>78</b>

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES  
 AND APPROPRIATED FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE TEN MONTHS ENDED APRIL 30, 1988  
 (in thousands)**

Schedule 5

	(A)	(B)
(1) REVENUES, REALIZED		\$ 495,142
<b>APPROPRIATED FUND BALANCES</b>		
(2) Current unrestricted fund	\$ 11,935	
(3) University Hospital	30,457	
	-----	
(4) TOTAL APPROPRIATED FUND BALANCES		42,392
		-----
(5) TOTAL REVENUES AND APPROPRIATED FUND BALANCES (SCHEDULE 1)		537,534
		-----
(6) EXPENDITURES/ENCUMBRANCES (SCHEDULE 2)	429,457	
(7) LESS: RESERVE FOR ENCUMBRANCES	(6,932)	
	-----	
(8) TOTAL EXPENDITURES		422,525
		-----
(9) NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES (STATEMENT 1)		\$ 115,009
		=====

Office of the President  
June 21, 1988

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF AUDIT FOR THE  
UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

Recommendation: that the Board of Trustees accept the audited financial statement of the University of Kentucky Federal Credit Union for the twelve month period ended December 31, 1987.

Background: The financial report of the University of Kentucky Federal Credit Union has been audited by the firm of Coopers & Lybrand, Certified Public Accountants, and is presented to the Board for its acceptance. The Federal Credit Union maintains its books and records on a calendar year-end basis.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

UNIVERSITY OF KENTUCKY  
FEDERAL CREDIT UNION

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REPORT ON EXAMINATIONS OF FINANCIAL STATEMENTS  
for the years ended December 31, 1987 and 1986

**Coopers  
& Lybrand**

Certified Public Accountants

C O N T E N T S

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	<u>Pages</u>
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Statement of Financial Condition	2
Statement of Income	3
Statement of Retained Earnings	4
Statement of Changes in Financial Position	5
Notes to Financial Statements	6-9



To the Supervisory Committee of the  
University of Kentucky Federal  
Credit Union

We have examined the statements of financial condition of the University of Kentucky Federal Credit Union as of December 31, 1987 and 1986, and the related statements of income, retained earnings, and changes in financial position for the years then ended. Our examinations were made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the financial statements referred to above present fairly the financial condition of the University of Kentucky Federal Credit Union as of December 31, 1987 and 1986, and the results of its operations and changes in its financial position for the years then ended, in conformity with generally accepted accounting principles applied on a consistent basis.

*Coopers + Lybrand*

Lexington, Kentucky  
April 13, 1988

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

STATEMENT OF FINANCIAL CONDITION

December 31, 1987 and 1986

ASSETS	<u>1987</u>	<u>1986</u>
Loans to members, net of allowance for loan losses of \$39,618 and \$49,475 in 1987 and 1986, respectively (Notes 1 and 2)	\$11,391,040	\$10,344,837
Cash	298,817	270,400
Investments, at cost which approxi- mates market (Note 1)	8,996,968	8,775,169
Accrued interest receivable	57,092	55,361
Property and equipment (Notes 1 and 3)	644,321	486,611
Prepaid expenses	32,938	6,288
Other assets	<u>173,631</u>	<u>275,577</u>
	<u>\$21,594,807</u>	<u>\$20,214,243</u>
LIABILITIES AND EQUITY		
Savings accounts (Note 4)	\$19,640,645	\$18,310,407
Accounts payable and accrued liabilities	<u>78,844</u>	<u>112,532</u>
Total liabilities	<u>19,719,489</u>	<u>18,422,939</u>
Retained earnings, substantially restricted:		
Appropriated (Note 1):		
Statutory reserve	688,783	625,624
Contingency reserve	<u>850,000</u>	<u>850,000</u>
Total appropriated	1,538,783	1,475,624
Unappropriated	<u>336,535</u>	<u>315,680</u>
Total retained earnings	<u>1,875,318</u>	<u>1,791,304</u>
	<u>\$21,594,807</u>	<u>\$20,214,243</u>

The accompanying notes are an integral part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION

STATEMENT OF INCOME

for the years ended December 31, 1987 and 1986

	<u>1987</u>	<u>1986</u>
Interest income:		
Interest and fees on loans	\$1,194,571	\$1,206,953
Interest on investments	<u>604,982</u>	<u>380,332</u>
	1,799,553	1,587,285
Interest expense:		
Dividends on savings accounts	<u>386,163</u>	<u>840,456</u>
Net interest income	913,390	746,329
Provision for loan losses (Note 2)	<u>74,792</u>	<u>43,708</u>
Net interest income after provision for loan losses	<u>838,598</u>	<u>703,121</u>
Other operating income:		
Fees and charges on savings accounts	147,521	207,023
Other	<u>67,594</u>	<u>44,113</u>
	<u>215,115</u>	<u>251,136</u>
Operating expense:		
Compensation and benefits	375,002	347,243
Occupancy (Note 3)	159,255	112,248
Computer and bank service fees for maintenance of savings accounts	220,132	300,195
Other	<u>205,310</u>	<u>208,014</u>
	<u>969,699</u>	<u>967,700</u>
Net income (loss)	<u>\$ 84,014</u>	<u>\$ (13,443)</u>

The accompanying notes are an integral part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION  
STATEMENT OF RETAINED EARNINGS  
for the years ended December 31, 1987 and 1986

	Appropriated		Unappropriated	Total
	Statutory Reserve	Contingency Reserve		
Balances, December 31, 1985	\$549,080	\$850,000	\$405,667	\$1,804,747
Transfers, net	76,544		(76,544)	
Net loss			<u>(13,443)</u>	<u>(13,443)</u>
Balances, December 31, 1986	625,624	850,000	315,680	1,791,304
Transfers, net	63,159		(63,159)	
Net income			<u>84,014</u>	<u>84,014</u>
Balances, December 31, 1987	<u>\$688,783</u>	<u>\$850,000</u>	<u>\$336,535</u>	<u>\$1,875,318</u>

The accompanying notes are an integral part of the financial statements.

UNIVERSITY OF KENTUCKY FEDERAL CREDIT UNION  
STATEMENT OF CHANGES IN FINANCIAL POSITION  
for the years ended December 31, 1987 and 1986

<u>Funds Provided</u>	<u>1987</u>	<u>1986</u>
<b>Operations:</b>		
Net income (loss)	\$ 84,014	\$ (13,443)
Items not requiring the use of funds:		
Dividends credited to savings accounts	886,163	840,456
Provision for loan losses	74,792	43,708
Depreciation	73,203	48,729
Loss on sale of equipment	<u>7,341</u>	
Funds provided by operations	1,125,513	919,450
<b>Decrease in assets:</b>		
Cash		183,617
Prepaid expenses		3,645
Other assets	101,946	
<b>Increase in liabilities:</b>		
Savings accounts, net of dividends credited	444,075	4,204,668
Accounts payable and accrued liabilities		50,487
Proceeds from sale of equipment	<u>18,500</u>	
Total funds provided	<u>\$1,690,034</u>	<u>\$5,361,867</u>
<b><u>Funds Used</u></b>		
<b>Increase in assets:</b>		
Loans to members, net	\$1,120,995	\$1,282,110
Investments	221,799	3,875,101
Cash	28,417	
Accrued interest receivable	1,731	8,415
Prepaid expenses	26,650	
Other assets		178,457
Purchase of property and equipment	256,754	17,784
Decrease in accounts payable and accrued liabilities	<u>33,688</u>	
Total funds used	<u>\$1,690,034</u>	<u>\$5,361,867</u>

The accompanying notes are an integral part of the financial statements.

## NOTES TO FINANCIAL STATEMENTS

### 1. Significant Accounting Policies:

#### a. Loans to Members and Allowance for Loan Losses

Loans are stated at the amount of unpaid principal, reduced by an allowance for loan losses. Interest on loans is recognized over the term of the loan and is calculated using the simple-interest method on principal amounts outstanding. The allowance for loan losses is established through a provision for loan losses charged to expenses. Loans are charged against the allowance for loan losses when management believes that the collectibility of the principal is unlikely. The allowance is an amount that management believes will be adequate to absorb possible losses on existing loans that may become uncollectible, based on evaluations of the collectibility of loans and prior loan loss experience. The evaluations take into consideration such factors as changes in the nature and volume of the loan portfolio, overall portfolio quality, review of specific problem loans, and current economic conditions that may affect the borrowers' ability to pay. Accrual of interest is discontinued on a loan when management believes, after considering economics, business conditions, and collection efforts, that the borrowers' financial condition is such that collection of interest is doubtful.

#### b. Investments

Investment securities are at cost adjusted for amortization of premiums and accretion of discounts, which are recognized as adjustments to interest income on investments. Investments include a savings account, certificates of deposit of banks, savings and loans, and a corporate central credit union, obligations of U.S. government agencies, and common funds for which cost approximates market. Gains or losses on disposition are based on the net proceeds and the adjusted carrying amount of the securities sold, using the specific identification method.

#### c. Property and Equipment

Property and equipment are stated at cost less accumulated depreciation. Depreciation is computed on the straight-line method over the estimated useful lives of the assets. The cost of assets sold and the related accumulated depreciation are eliminated from the accounts and any gain or loss is included in income.

(Continued)

NOTES TO FINANCIAL STATEMENTS, Continued

1. Significant Accounting Policies, continued:

d. Appropriated Retained Earnings

Statutory Reserve - This reserve is maintained as required by statutory reserve requirements for federal credit unions. Reserve levels are determined based upon risk assets (mainly loans to members) and gross income of the credit union. Based upon the ratio of the statutory reserve balance before transfers to total risk assets, the credit union is required to transfer a specified percentage of gross income to the reserve. No transfers are required where the reserve is 6% or greater of total risk assets. This reserve is not available for the payment of dividends.

Contingency Reserve - The contingency reserve represents an appropriation of retained earnings by the Board of Directors and constitutes a general reserve for any unforeseen contingencies.

e. Membership

Membership in the Credit Union is limited to persons and their immediate families who are employees of the University of Kentucky and affiliated organizations as approved by the Board of Directors of the Credit Union.

2. Loans to Members:

Loans to members consist primarily of fully secured automobile and second mortgage loans, as well as unsecured personal lines of credit.

Loans to directors, members of directors' committees and officers of the Credit Union were approximately \$176,800 at December 31, 1987.

A summary of the changes in the allowance for loan losses is as follows:

	<u>1987</u>	<u>1986</u>
Balance, beginning of year	\$ 49,475	\$ 44,900
Provision charged to operations	74,792	43,708
Loans charged off	(88,245)	(40,347)
Recoveries	<u>3,596</u>	<u>1,214</u>
Balance, end of year	<u>\$ 39,618</u>	<u>\$ 49,475</u>

(Continued)

NOTES TO FINANCIAL STATEMENTS, Continued

2. Loans to Members, continued:

Interest accrual is discontinued on loans greater than six months past due. Non-accrual loans amounted to approximately \$131,000 and \$103,000 at December 31, 1987 and 1986, respectively. If interest on those loans had been accrued, such income would have approximated \$13,500 and \$14,000 for 1987 and 1986, respectively.

3. Property and Equipment:

Property and equipment is summarized as follows:

	<u>1987</u>	<u>1986</u>
Land	\$126,330	\$126,330
Building	301,499	301,499
Building improvements	59,107	45,488
Equipment	<u>338,622</u>	<u>155,435</u>
	825,558	628,752
Accumulated depreciation	<u>181,237</u>	<u>142,141</u>
	<u>\$644,321</u>	<u>\$486,611</u>

Occupancy expense includes \$73,203 and \$48,729 of depreciation expense for 1987 and 1986, respectively.

4. Savings Accounts:

A summary of savings accounts is as follows:

	<u>1987</u>	<u>1986</u>
Share drafts	\$ 3,864,980	\$ 3,976,453
Regular savings	14,268,280	13,066,717
IRA accounts	<u>1,507,385</u>	<u>1,267,237</u>
	<u>\$19,640,645</u>	<u>\$18,310,407</u>

Dividend rates in 1987 were as follows:

Share drafts	No dividends were paid during 1987
Regular savings	5 to 6%
IRA accounts	6 1/4%

(Continued)



NOTES TO FINANCIAL STATEMENTS, Continued

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5. Taxation:

The Credit Union is exempt from Federal income tax under the provision of the Internal Revenue Code, Section 501(c)(14).

6. Pension Plan:

The credit union participates in a noncontributory defined benefit pension plan covering substantially all of its employees. Total pension expense was approximately \$15,200 and \$14,300 for 1987 and 1986, respectively. Annual contributions made to the plan equal amounts accrued for pension expense. Past service costs have been fully funded. A comparison of accumulated plan benefits and plan net assets for the plan as of December 1, 1987 and 1986 is presented below:

Actuarial present value of accumulated plan benefits:	<u>1987</u>	<u>1986</u>
Vested	\$44,062	\$35,715
Nonvested	<u>2,533</u>	<u>3,462</u>
	<u>\$46,595</u>	<u>\$39,177</u>
Net assets available for benefits	<u>\$80,444</u>	<u>\$61,646</u>

The weighted average assumed rate of return used in determining the actuarial present value of accumulated plan benefits was 7 percent for both 1987 and 1986.

Office of the President  
June 21, 1988

FCR 3

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I, II, III, IV and V respectively;

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition (auction, sealed bid, etc.).

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Exhibit I

University of Kentucky

Sale of Surplus Trailer at Mammoth Cave National Park

TYPE OF DISPOSAL: Sealed Bid.

REASON: The National Park Service is requesting the trailer to be moved so they can move a mobile home onto the site for their use. The trailer is in a state of disrepair and is no longer needed to support the research activities of the School of Biological Sciences.

ITEMS: 1 - 1967 Guiles 50 foot house trailer.

Exhibit II

University of Kentucky

Release of Research Equipment to Dr. Peter Byron

TYPE OF DISPOSAL: Release per Prior Agreement.

REASON: Dr. Peter Byron, Professor, College of Pharmacy, brought research equipment with him from the University of Aston in Birmingham, England in June, 1984. Dr. Byron has accepted a position at the Virginia Commonwealth University in Richmond, Virginia. The University of Kentucky had an agreement with the University of Aston that if Dr. Byron left the University of Kentucky the equipment would be transferred with him.

ITEMS:

<u>PROPERTY NUMBER</u>	<u>DESCRIPTION</u>
A433322	Cascade Impactor Delron DCI6
A433323	Monitor Pressure Flow Mercury Inst.
A433324	RS Valve Timing Assembly
A433325	TSI Constant Output Nebuliser

Exhibit III

University of Kentucky  
Sale of Surplus Farm Equipment

TYPE OF DISPOSAL: Sealed Bid.

REASON: Aged, damaged beyond economical repair.

ITEMS:

<u>PROPERTY NUMBER</u>	<u>DESCRIPTION</u>	<u>DATE ACQUIRED</u>
A388955	IH Lowboy Tractor	06/70
A339122	IH Double Bottom 14" Plow	07/74

Exhibit IV

University of Kentucky

Transfer of National Science Foundation Research Equipment

TYPE OF DISPOSAL: Transfer at no cost.

REASON: Dr. Craig Benham has accepted a position with the Mount Sinai School of Medicine of the City University of New York. The National Science Foundation has approved the transfer of his grant.

ITEMS:

<u>PROPERTY NUMBER</u>	<u>DESCRIPTION</u>
B32341	Hewlett Packard 2647F Graphics Terminal
B30401	IBM 3101 Terminal
B35128	Hewlett Packard 2932A Dot Matrix Printer
B32982	Hewlett Packard 2602A Daisywheel Printer
B32342	Hewlett Packard 5.25" Dual Floppy Drives
B33066	Hewlett Packard 7470A Plotter
B35397	Hewlett Packard 9122 Dual Floppy Disc Drive
B34448	Cermetek Security Modem
B35396	Hewlett Packard 110 Portable Computer
B36781	Hewlett Packard 9000/825 Workstation Computer
B36782	Hewlett Packard 7937H Hard Disk Drive
B36783	Hewlett Packard 9144A Cartridge Tape Drive
B36786	Hewlett Packard 2392A System Console Terminal
B36785	Hewlett Packard Laserjet II Printer
B36784	Rack for Mounting Disc Drive
N/A	Hewlett Packard 92199Q Line Conditioner
N/A	Rack for Mounting Disc Drive
N/A	Printer Stand

Exhibit V

University of Kentucky

Sale of Motor Home

TYPE OF DISPOSAL: Competitive bid.

REASON: Surplus to the needs of the University.

ITEMS: 1 - 1972 Dodge Travco Motor Home.  
S/N M449CN2J562956

Office of the President  
June 21, 1988

FCR 4

Members, Board of Trustees:

Disposition of Surplus Property

Recommendation: that two lots in which the University of Kentucky owns an undivided one-half (1/2) interest in Fort Worth, Texas be declared surplus and that the Vice Chancellor for Administration, Lexington Campus, be authorized to sell these lots by sealed bid, public auction or through a real estate agent.

Background: The University was given two vacant lots by the Renaker Estate five years ago. The undivided half interest not owned by the University was involved in legal action in settlement of the estate which has recently been resolved. One lot located at 4321 Avenue M is 80' x 350' (28,000 square feet) and the other lot located at 721 Cromwell Avenue is 90' x 180' x 200' (17,100 square feet). These lots are located in an older depressed area in Fort Worth creating a limited demand for residential lots.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

0456/14



Office of the President  
June 21, 1988

# FCR 5

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the nine months ending March 31, 1988, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the nine months ending March 31, 1988 the University has:

1. Awarded eight (8) architect and engineer contracts.
2. Made thirty (30) amendments to architect and engineer contracts.
3. Made twelve (12) final payments for twelve (12) architect and engineer contracts.
4. Awarded or requisitioned for the award of forty-nine (49) construction contracts.
5. Made one hundred twenty-two (122) change orders to construction contracts.
6. Made twenty-three (23) final payments for twenty-three (23) construction contracts.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

DIVISION OF DESIGN AND CONSTRUCTION  
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES  
 UNIVERSITY OF KENTUCKY  
 FOR THE PERIOD JULY 1, 1987 - MARCH 31, 1988

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
BOOKER & ASSOCIATES LEXINGTON	SHAWNEETOWN ROOF Project No. 444.0	\$ 159,350	\$ 16,831	7/31/87
ASSOCIATED DESIGNERS LEXINGTON	COOPERSTOWN ROOF Project No. 445.0	143,370	16,595	7/31/87
ROSS-FELDMAN LEXINGTON	STUDENT CENTER ROOFS FEASIBILITY STUDY/BALLROOM Project No. 527.0	75,000	20,575	7/31/87
CHRISMAN, MILLER, WOODFORD LEXINGTON	ANIMAL CARE RENOVATION Project No. 406.1	968,807	43,040	10/30/87
POTTS & POTTS LEXINGTON	TENNIS BUILDING - INTERIOR SPACE Project No. 510.0	100,000	12,000	10/30/87
JOHNSON/ROMANOWITZ LEXINGTON	HOSPITAL - 1ST FLOOR REFURBISHING Project No. 526.0	300,000	42,375	11/30/87
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BOOKSTORE EXPANSION Project No. 525.0	75,000	9,110	11/30/87
JOHNSON/ROMANOWITZ LEXINGTON	MEDICAL PLAZA OFFICE EXPANSION Project No. 519.0	127,000	15,715	11/30/87

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT ENGINEERS	1	7/31/87	-0-
Nature of Change:	To increase the maximum fee from \$15,000 to \$25,000 per project.			
Reason for Change:	To allow services (subsurface investigations) to include a wider variety of conditions.			
CHRISMAN MILLER WOODFORD LEXINGTON	JOURNALISM RENOVATION Project No. 105.0	2	9/30/87	+ \$ 55,264
Nature of Change:	Additional design services.			
Reason for Change:	To cover the additional fee required to include the design work necessary to expand existing computer room for installation of a super computer.			
SHERMAN/CARTER/BARNHART LEXINGTON	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	4	10/30/87	+ 3,700
Nature of Change:	Increase Phase C of the contract.			
Reason for Change:	Additional contract administration is required because plantings that were planted in the fall are unacceptable and require replacement in the spring.			
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING & MINERALS RESOURCE BLDG. Project No. 115.0	8	8/31/87	+ 960
Nature of Change:	To increase Phase C services (contract administration).			
Reason for Change:	Resident inspector was granted a 5% cost of living increase.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
MCCOY & MCCOY, INC. MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL CONSULTANT	1	3/31/88	-0-
Nature of Change:	<ol style="list-style-type: none"> <li>1. To increase the maximum fee for site investigations to \$60,000.</li> <li>2. To decrease the rate for complete priority pollutant analysis from \$1,230.00 to \$900.00 per sample.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Additional investigation required when more hazardous waste sites identified. Additional excavations &amp; testing was required.</li> <li>2. Due to increased capacity, consultant is able to charge a lower rate.</li> </ol>			
SHERMAN, CARTER, BARNHART LEXINGTON	PARKING STRUCTURE #3 EXPANSION Project No. 109.0	5	3/31/88	+ \$ 7,059
Nature of Change:	Increase Phase C services (contract administration).			
Reason for Change:	Additional service required due to Contractor not completing the project within contract time frame.			
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	9	10/30/87	+ 5,050
Nature of Change:	Increase Phase C services (contract administration).			
Reason for Change:	Extend resident inspector services for two months.			
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	10	3/31/88	+ 10,460
Nature of Change:	Increase Phase C services (contract administration).			
Reason for Change:	Extend resident inspector services for three months.			
DCT DESIGN GROUP, LTD LEXINGTON	HOSPITAL INTERIOR DECOR THIRD FLOOR OBSTETRICS Project No. 129.6	1	2/29/88	+ 4,654
Nature of Change:	To increase Phase B & Phase C.			
Reason for Change:	<p>Following completion of the design, the Fire Marshall requested that additional work be included. Changes had to be made in the design to respond to this request.</p> <p>The Initial contractor failed to initiate the project on schedule, resulting in cancellation of the contract and rebidding of the work. This situation caused additional contract administration by the consultant.</p>			
POTTS & POTTS ARCHITECTS LEXINGTON	CENTER FOR UNDERGRADUATE STUDIES IN THE HUMANITIES Project No. 218.2	1	10/30/87	+ 18,000
Nature of Change:	Increase Phase A-2, Phase B and Phase C of the contract.			
Reason for Change:	To increase the scope of the project and finalization of the budget.			
OMNI/OMW LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	7	2/29/88	+ 54,903
Nature of Change:	To increase Phase A, B & C.			
Reason for Change:	The consultant was required to prepare additional bid packages for surgery & intensive care areas, parking garage and the heliport. Additional bids also require additional contract administration services.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	1	10/30/87	+ \$ 2,716
Nature of Change:	Increase Phase B of the contract.			
Reason for Change:	Additional services required to make floor plan changes for the soils lab and mechanical/electrical changes on the chiller.			
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	2	10/30/87	+ 33,810
Nature of Change:	Increase Phase C of the contract.			
Reason for Change:	Employment of a resident inspector from Nov. 1, 1987 thru Dec. 31, 1988.			
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	3	3/31/88	+ 629
Nature of Change:	Increase Phase B of the contract.			
Reason for Change:	Additional services required in modifying documents to reflect the re-routing of gas, storm and sanitary sewer piping.			
OMNI ARCHITECTS LEXINGTON	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	2	2/29/88	+ 52,042
Nature of Change:	To increase Phase A and Phase B.			
Reason for Change:	Scope of consultant services was increased to include partial design of two future buildings included in the Campus Master Plan but not in the initial phase of construction. This work was required prior to the initial construction phase of the project in order to more accurately complete the site work and utilities design so that present and future construction costs could be reduced. These services will also reduce the cost of future consultant services.			
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS CENTER PROJECT NO. 431.0	1	2/29/88	+ 35,805
Nature of Change	To increase Phase C.			
Reason for Change	Employ a Resident Inspector from February 1, 1988 to May 15, 1989.			
BOOKER ASSOCIATES, INC. LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441.0	1	3/31/88	+ 28,156
Nature of Change:	Increase Phase A, B & C of the contract.			
Reason for Change:	Additional services are for the design of the remaining shower rooms in Haggin Hall (Phase II).			
ASSOCIATED DESIGNERS, INC. LEXINGTON	COOPERSTOWN ROOF REPLACEMENT Project No. 445.0	2	3/31/88	+ 13,777
Nature of Change:	Increase Phase B and C of the contract.			
Reason for Change:	The scope of consulting services has been revised to include the bidding and construction phases for roof repairs to facilities designed in Phase A & B.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
JOHNSON, DEPP & QUISENBERRY OWENSBORO	COMMUNITY COLLEGE SITE SURVEY - OWENSBORO Project No. 461.0	2	9/30/87	+ \$ 2,000
Nature of Change:	Additional field work.			
Reason for Change:	Change wording in deed description to set location of property line so that it follows location of new creek bed per agreement with affected common property owners and U.K.			
SHERMAN, CARTER, BARNHART LEXINGTON	HAZARD COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 469.0	2	9/30/87	+ 27,720
Nature of Change:	To increase Phase C.			
Reason for Change:	Employment of a resident inspector from Sept. 1, 1987 thru Aug. 31, 1988.			
ARRASMITH, JUDD, RAPP LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	2	9/30/87	+ 21,875
Nature of Change:	To increase Phase C.			
Reason for Change:	Employment of a resident inspector from Oct. 1, 1987 thru July 31, 1988.			
ARRASMITH, JUDD, RAPP LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	2	3/31/88	+ 1,527
Nature of Change:	To increase Phase B.			
Reason for Change:	Additional services required to satisfy electric service requirements for specific fixed furnishings provided by the University.			
KNAPP, GIVENS, VEAZEY, SHOULDERS HENDERSON	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.0	1	9/30/87	+ 30,720
Nature of Change:	To increase Phase B.			
Reason for Change:	To provide for design services (not included in original scope of services) to allow the facility to be more easily expanded at a future date.			
KNAPP, GIVEN, VEAZEY, & SHOULDERS ARCHITECTS HENDERSON, KY	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. PROJECT NO. 472.0	2	2/29/88	+ 34,650
Nature of Change:	To increase Phase C.			
Reason for Change:	Employ a Resident Inspector from January 11, 1988 to April 12, 1989.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	1	12/29/87	+ 41,580
Nature of Change:	To increase Phase C of the contract.			
Reason for Change:	Employment of a resident inspector from Dec. 1987 thru May 1989.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
ROBERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. - DOWNTOWN PHASE Project No. 478.0	1	9/30/87	+ \$ 9,893
Nature of Change:	To increase Phase B and Phase C.			
Reason for Change:	To include a portion of the design work originally planned for the second phase of the project.			
PEARSON, BENDER, JOLLY LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR CLINIC FOR SURGERY Project No. 495.0	1	3/31/88	+ 1,605
Reason for Change:	Increase Phase C services (contract administration).			
Nature of Change:	Extra work required due to contractor's time schedule problems. Job delays required Consultant to perform more inspections than those originally contracted.			
ROBERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BLDG. - DOWNTOWN PHASE Project No. 478.0	2	9/30/87	+ 1,511
Nature of Change:	To increase Phase B.			
Reason for Change:	Additional design work required to make program changes to Phase I of the project.			
POTTS & POTTS ARCHITECTS LEXINGTON	TENNIS BUILDING - INTERIOR SPACE Project No. 510.0	1	3/31/88	+ 1,013
Nature of Change:	Increase Phase B of the contract.			
Reason for Change:	Additional services required due to design change of bleachers requested by the Athletics Department.			
POTTS & POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	2	3/31/88	- 1,750
Reason for Change:	Increase Phase C of the contract.			
Nature of Change:	Service to include preparation of plans and specifications for a Halon system for the computer room on the sixth floor of Patterson Office Tower.			

III. ARCHITECT & ENGINEER FINAL PAYMENTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	KIRWAN #1 & KIRWAN #2 ROOF REPAIRS Project No. 447.0	\$ 8,250	8/07/87
DAVID A. BANKS, ARCHITECT FRANKFORT	FARMHOUSE FRATERNITY FIRE SAFETY Project No. 870.0	6,000	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	KAPPA ALPHA FRATERNITY FIRE SAFETY Project No. 871.0	6,000	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0	6,000	9/11/87
DAVID A. BANKS, ARCHITECT FRANKFORT	PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0	6,000	9/11/87

III. ARCHITECT & ENGINEER FINAL PAYMENTS (CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
DAVID A. BANKS, ARCHITECT FRANKFORT	LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0	\$ 6,000	9/11/87
JOHNSON, DEPP & QUISENBERRY OWENSBORO	COMMUNITY COLLEGE SITE SURVEY - OWENSBORO Project No. 461.0	34,277	10/30/87
PEARSON, BENDER, JOLLY LEXINGTON	HOSPITAL RENOVATION PHASE I 2ND FLOOR CLINIC FOR SURGERY Project No. 495.0	24,660	1/26/88
HAWKINS, ARGABRITE & ASSOCIATES LOUISVILLE	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	24,000	2/15/88
MASON AND HANGER, INC. LEXINGTON	AGRICULTURE SCIENCE NORTH GREENHOUSES Project No. 298.0	7,500	2/23/88
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	327,512	3/11/88
JOHNSON/ROMANOWITZ ARCHITECTS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR 4TH FLOOR PEDIATRICS Project No. 129.5	58,000	3/15/88

IV. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
AKERS CONSTRUCTION, INC. PRESTONSBURG	PRESTONSBURG COMMUNITY COLLEGE EARTHWORK & MODIFICATION OF EXISTING UTILITIES #1 Project No. 471.0	\$ 84,800	7/20/87	4	\$ 84,800 - 103,250
BLUEGRASS PAVING LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	97,740	7/21/87	4	97,740 - 123,764
CINCINNATI FIXTURES CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	131,185	7/23/87	2	131,185 - 142,290
HINKLE CONSTRUCTION CO. PARIS	SOMERSET COMMUNITY COLLEGE PAVED PARKING LOT Project No. 466.0	145,083	7/23/87	3	145,083 - 154,717
MCKNIGHT CONSTRUCTION LONDON	UTILITY TUNNEL REPAIR Project No. 282.0	94,474	7/29/87	3	94,474 - 119,000
SPARKS-TERRELL COMPANY LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY/MEDICAL SCIENCES REHAB Project No. 304.2	283,999	8/06/87	5	283,999 - 350,995
CON-TRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR RENOVATION Project No. 402.0	96,169	8/11/87	5	96,169 - 144,661
AKERS & AKERS, INC. DANA	HAZARD COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 469.0	2,334,000	8/24/87	4	2,334,000 - 2,997,400
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	125,431	8/24/87	2	125,431 - 129,655
DEBCON, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II - TEMPORARY HELIPORT (UTILITY AND FENCING PACKAGE) Project No. 304.5	80,000	8/25/87	4	80,000 - 92,462
DENHAM-BLYTHE CO., INC. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL LEARNING RESOURCE BLDG. Project No. 471.0	1,979,500	9/01/87	4	1,979,500 - 2,454,600

IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
THE WAGNER CO. LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	\$ 165,000	9/02/87	4	\$ 165,000 - 208,700
PIERCE-WILLIAMS & REED CO. HOPKINSVILLE	4-H MULTI-PURPOSE BLDG. @ DAWSON SPRINGS, KENTUCKY Project No. 404.0	117,287	9/03/87	2	117,287 - 122,999
JOE M. HAWKINS & CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II ADDITION (OR & ICU MOCK-UP) Project No. 304.1	34,980	9/10/87	2	34,980 - 38,834
C.C. LEE CONSTRUCTION CO. LEXINGTON	AQUATICS FIELD FACILITY Project No. 419.0	183,677	9/11/87	5	183,677 - 199,950
LOUISVILLE PAVING CO. LOUISVILLE	RENOVATION OF TENNIS COURTS Project No. 530.0	76,302	9/14/87	1	76,302 - 0
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	266,480	9/16/87	4	266,480 - 319,122
HAGERMAN PLUMBING & HEATING OWENSBORO	MADISONVILLE COOLING TOWER Project No. 476.0	74,093	9/23/87	2	74,093 - 75,400
HENDERSON ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II - ADDITION - BID PACKAGE #1 (GENERAL AND ELECTRICAL WORK) Project No. 304.1	299,478	9/24/87	4	299,478 - 327,492
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II - ADDITION - BID PACKAGE #1 (GENERAL WORK) Project No. 304.1	925,000	9/24/87	3	925,000 - 995,000
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #2 WORK CATEGORY #2 Project No. 425.0	1,099,999	10/05/87	1	1,099,999
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	2,866,000	10/09/87	11	2,866,000 - 3,093,460
FINNEY COMPANY LEXINGTON	MINING & MINERALS CHILLED WATER LINE Project No. 115.4	106,098	10/14/87	3	106,098 - 118,000
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	64,985	10/14/87	4	64,985 - 80,235
R & R ELECTRIC COMPANY LEXINGTON	CLIFTON-COLUMBIA PARKING LOT LIGHTS Project No. 506.0	15,700	10/16/87	4	15,700 - 20,400
THE WAGNER CO. LEXINGTON	HOSPITAL EXPANSION PHASE II TEMPORARY HELIPORT HANGER Project No. 304.5	68,730	10/20/87	5	68,730 - 107,400
WHEELER GENERAL CONTRACTOR INC. LEXINGTON	AMERICAN BUILDING FIRE SAFETY IMPROVEMENTS Project No. 376.0	141,296	10/22/87	2	141,296 - 164,046
JOE M. HAWKINS & CO., INC. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	104,200	10/27/87	6	104,200 - 132,685
P & R ROOFING & SHEET METAL LEXINGTON	KIRWAN III & IV REPLACE ROOF Project No. 503.0	83,406	11/05/87	8	83,406 - 165,500
R & W CONSTRUCTION LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0	8,390,000	11/06/87	6	8,390,000 - 9,987,000
SWANSON-NUNN EVANSVILLE, IN	HENDERSON COMMUNITY COLLEGE STUDENT CENTER EMERGENCY GENERATOR Project No. 455.0	9,799	11/06/87	3	9,799 - 10,452



IV. CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
H.G. WILSON & SONS LEXINGTON	FOOTBALL TRAINING FACILITY MANHOLE ADDITION Project No. 211.1	\$ 9,848	11/12/87	4	\$ 9,848 - 19,390
WHITE CONSTRUCTION CO. LEXINGTON	PATERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	1,023,000	11/16/87	7	1,023,000 - 1,415,000
ENGLE CONSTRUCTION CO. LEXINGTON	COOPERSTOWN ROOF BUILDINGS "B" AND "G" Project No. 445.0	149,279	11/17/87	3	149,279 - 168,250
R & W CONSTRUCTION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE RENOVATION - PHASE I Project No. 478.0	362,000	11/25/87	7	362,000 - 422,000
WHEELER GENERAL CONTRACTOR, INC. LEXINGTON	COOPERSTOWN ROOF/BUILDING F Project No. 535.0	98,899	11/25/87	3	98,899 - 137,726
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	6,243,000	11/25/87	6	6,243,000 - 6,800,000
C.C. LEE CONSTRUCTION CO. LEXINGTON	MEDICAL CENTER DIETARY CONVEYOR REPLACEMENT Project No. 433.0	374,784	12/01/87	6	374,784 - 476,900
HOOT CONSTRUCTION PARIS	TENNIS BLDG. - INTERIOR SPACE Project No. 510.0	8,716	12/02/87	1	8,716
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR 3RD FLOOR OBSTETRICS Project No. 129.6	209,700	12/03/87	7	209,700 - 297,700
ARC CONSTRUCTION EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.0	2,659,840	12/04/87	4	2,659,840 - 2,918,790
MIRACLE RECREATION EQUIPMENT LOUISVILLE	TENNIS BUILDING BLEACHER INSTALLATION Project No. 510.0	14,450	12/07/87	2	14,450 - 18,820
LOUISVILLE PAVING CO. TENNI-TRAC SPORT SURFACES LOUISVILLE	TENNIS BUILDING COURT RESURFACING Project No. 540.0	6,730	12/22/87	1	6,730
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #3 WORK CATEGORY #1 - LANDSCAPING Project No. 425.0	36,800	2/03/88	6	36,800 - 42,967
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE BID PACKAGE #3 WORK CATEGORY #2 - GENERAL WORK Project No. 425.0	387,000	2/03/88	2	387,000 - 399,000
JERRY GROT ELECTRIC LEXINGTON	CHEMISTRY-PHYSICS BLDG. FIRE SAFETY IMPROVEMENTS Project No. 855.0	117,867	2/19/88	8	117,867 - 171,231
LUTHER STONE CONSTRUCTION CO. HENDERSON	HENDERSON COMMUNITY COLLEGE SIGNAGE Project No. 465.0	22,213	2/24/88	3	22,213 - 47,800
JERRY GROT ELECTRIC LEXINGTON	SOUTH CAMPUS FIRE ALARM SYSTEMS Project No. 842.0	58,666	3/22/88	8	58,666 - 73,284
WAGNER COMPANY LEXINGTON	STUDENT CENTER INTERIOR RENOV. Project No. 524.0	27,852	3/29/88	6	27,852 - 38,525

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	1	12/10/87	+ \$ 2,463.30
Nature of Change:	1. Demolish wooden partitions. 2. Various electrical item changes. 3. Relocate conduit.			
Reason for Change:	1. As-Built drawings did not show the partitions. They must be removed to make way for new construction. 2. Unforeseen items uncovered during alteration work. 3. Unforeseen condition concealed by existing construction.			
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	2	1/06/88	+ 3,270.93
Nature of Change:	Add door to Mechanical Room and provide additional 3/4" conduits with #18 for monitoring battery racks.			
Reason for Change:	Not included by consultant in contract but necessary for system operation.			
THE WAGNER CO. LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	3	1/06/88	+ 1,175.70
Nature of Change:	Provide additional conduit and wiring for Liebert unit.			
Reason for Change:	Conduit and wiring not furnished as part of contract for Liebert Equipment which was owner supplied.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/McVEY HALL Project No. 105.1	4	1/15/88	+ 1,368.50
Nature of Change:	1. Build wall to hold electrical box in existing Computer room and paint. 2. Add glycol to the chilled water system. 3. Provide additional wiring for battery rack.			
Reason for Change:	1. Not provided for in Contract Documents but necessary for system to operate properly. 2. Required by manufacturer of equipment but not included in contract documents. 3. Wiring not included in original contract due to the addition of battery rack.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	5	2/09/88	+ 1,253.50
Nature of Change:	1. Close openings between windows at ceiling first floor computer room. 2. Provide block under doorway, recess strike, batteries for Halon system. 3. Provide weatherstripping and door sweep for five doors.			
Reason for Change:	Changes are required to seal room for halon discharge and to maintain adequate concentration.			
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	6	3/07/88	+ 938.40
Nature of Change:	Raise refrigerant lines at ceiling of computer room #3.			
Reason for Change:	Existing lines projected below new computer room ceiling and were not addressed in contract documents.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
THE WAGNER COMPANY LEXINGTON	JOURNALISM/MCVEY HALL PHASE II Project No. 105.1	7	3/14/88	+ \$ 258.75
Nature of Change:	Raise existing 3/4" EMT conduit.			
Reason for Change:	Existing conduit projected below new computer room ceiling and was not addressed in contract documents.			
CAREY CONSTRUCTION CO. LEXINGTON	PARKING STRUCTURE #3 Project No. 109.1	3	11/02/87	+ 3,119.31
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional 1" asphalt overlay.</li> <li>2. Construct new stone faced retaining wall.</li> <li>3. Remove trench.</li> <li>4. Construct walks at entrance to Parking Structure.</li> <li>5. Relocate cul-de-sac.</li> <li>6. Extend roof leader.</li> <li>7. Connect existing roof leader.</li> <li>8. Remove existing concrete walk.</li> <li>9. Repair existing stone wall.</li> <li>10. Remove existing C.I. curb box inlet.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Traffic island was removed to improve maneuverability.</li> <li>2. Built to provide roof coverage so an existing tree would not be disturbed.</li> <li>3. Repairs to match project.</li> <li>4. Constructed to facilitate foot traffic.</li> <li>5. Street design changed.</li> <li>6. Roof leader was not shown on plans.</li> <li>7. Roof leader was not shown on plans.</li> <li>8. The location was deemed unsafe.</li> <li>9. Repaired wall where vehicle struck it.</li> <li>10. Wrong inlet shown on survey.</li> </ol>			
CAREY CONSTRUCTION LEXINGTON	PARKING STRUCTURE #3 Project No. 109.1	4	1/28/88	- 303.71
Nature of Change:	<ol style="list-style-type: none"> <li>1. Restripe Virginia Avenue (extended) with yellow paint in lieu of white.</li> <li>2. Delete aluminum posts and handrails at exterior steps to Research Bldg. No. 3 &amp; provide painted steel handrails to match existing.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Will allow for better traffic control. White lines were confusing.</li> <li>2. Changed handrail to match existing railing in front of building.</li> </ol>			
CAREY CONSTRUCTION LEXINGTON	PARKING STRUCTURE #3 SITE DEVELOPMENT Project No. 109.1	5	3/02/88	+ 1,490.96
Nature of Change:	Additional sodding and trees.			
Reason for Change:	A retaining wall was relocated causing the need for additional sod and trees to be relocated and/or added to the site.			
C.E. PENNINGTON CO. LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	11	9/23/87	+ 1,673.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Replace existing brick curb inlet near corner of Clifton Avenue and Clifton Circle. Flowline of inlet to be on line with pipe installation.</li> <li>2. Add 26 feet of 15" PVC pipe as shown on drawings.</li> <li>3. Add storm manhole as shown on drawing.</li> </ol>			
Reason for Change:	Due to site conditions, an addendum had to be issued to change location of pipe and manhole.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	13	11/06/87	+ \$ 40,594.40
Nature of Change:	Provide and install caulking for the horizontal joint under the coping stone.			
Reason for Change:	To provide a caulk joint at this location to keep building from leaking.			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	14	12/07/87	+ 20,615.37
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install Tremco Temproof on floors.</li> <li>2. Provide and install fire dampers and automatic sprinklers.</li> <li>3. Furnish and install revolving dark room door.</li> <li>4. Delete three light fixtures.</li> <li>5. Undercut rock and refill with lean concrete.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Waterproof flooring system.</li> <li>2. Changes required by state regulatory agencies.</li> <li>3. In order to provide a complete dark room.</li> <li>4. Fixtures specified were found to be unnecessary.</li> <li>5. Work due to unsound bearing conditions under a retaining wall.</li> </ol>			
C.E. PENNINGTON CO. LEXINGTON	MINING AND MINERALS RESOURCE BLDG. Project No. 115.0	15	12/07/87	+ 16,915.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Delete cores in lock cylinders.</li> <li>2. Additional cost to include additional fire and domestic water equipment to serve building.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Cores in lock cylinders to be furnished by the University.</li> <li>2. Due to change in requirements from Kentucky American Water Co.</li> </ol>			
C.E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	16	2/09/88	+ 12,289.45
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional concrete at first floor loading docks due to site condition.</li> <li>2. Provide sod strip with five Boston Ivy plants.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Extra due to the fact that the site plan showed the retaining wall on either side of the first floor loading docks being 58' apart when they are actually 62' apart.</li> <li>2. Required for minor landscaping changes as described in drawing CA-48.</li> </ol>			
C.E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	17	3/07/88	+ 20,178.25
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide and install floor tile, base and stair treads in stairs a, b, and c.</li> <li>2. Additional concrete curb at Clifton Circle.</li> <li>3. Cost of renting a generator to proceed with elevator installation.</li> <li>4. Additional hardware.</li> <li>5. Replace 18 sq. yds of sidewalk and piping at Clifton Avenue.</li> <li>6. Provide cooper ground conductor in existing wireways.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The original stairs with the seal finish were slick, thus causing a safety hazard.</li> <li>2. The curb elevation was too low to stop the water flow.</li> <li>3. No provision in contract for sufficient temporary power prior to main electrical service being in place.</li> <li>4. Required by State Fire Marshall.</li> <li>5. Work was required because a storm sewer line was shown dead but actually in use.</li> <li>6. Required to meet Electrical Code.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
GIVENS CONSTRUCTION LEXINGTON	MINES & MINERALS SITE DEVELOPMENT Project No. 115.3	1	3/02/88	+ \$ 5,682.20
Nature of Change:	<ol style="list-style-type: none"> <li>1. Remove and replace an existing section of sidewalk on the south side of the Faculty Club.</li> <li>2. Remove small section of bituminous pavement to build up the south corner of the parking lot.</li> <li>3. Install 100 l.f. concrete curb and gutter.</li> <li>4. Remove existing light pole and fixture by south entrance to Faculty Club and install new light pole at left side of front entrance of Faculty Club.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Removal and reinstallation was needed so that the transition in grade from the pedestrian mall to the Faculty Club could be accomplished more smoothly.</li> <li>2. Required to allow proper drainage in corner of parking lot.</li> <li>3. This contractor was requested to install curb and gutter to expedite the parking lot development.</li> <li>4. Existing light pole and fixture did not match the new fixtures and was not required to illuminate the area.</li> </ol>			
GIVENS CONSTRUCTION LEXINGTON	MINES & MINERALS SITE DEVELOPMENT Project No. 115.3	2	3/25/88	+ 474.95
Nature of Change:	Install two (2) temporary floodlights in parking lot.			
Reason for Change:	To secure area for use prior to installation of permanent unit.			
FINNEY COMPANY LEXINGTON	CHILLED WATER LINES Project No. 115.4	1	1/28/88	+ 1,150.00
Nature of Change:	Waterproof the exterior surface of the concrete pit.			
Reason for Change:	Exterior surfaces of new tunnel had to be waterproofed to protect the interior from ground water.			
WOODFORD BUILDERS LEXINGTON	HOSPITAL RENOVATION INTERIOR DECOR, 4TH FLOOR PEDIATRICS Project No. 129.5	1	2/09/88	+ 6,687.97
Nature of Change:	<ol style="list-style-type: none"> <li>1. Relocate window in one patient room.</li> <li>2. Remove existing non-insulated glass windows from I.C. unit.</li> <li>3. Furr out two columns in H-412 and add gypsum board.</li> <li>4. Provide and install 23 additional label holders.</li> <li>5. Provide medical gas line pressure alarm panel in Pediatric Intensive Care.</li> <li>6. Provide 120V emergency critical branch power connection from existing circuits to new medical gas line pressure alarm panel.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Location of interior window interferes with Hill Rom over bed light, preventing mounting of light.</li> <li>2. Added insulated glass with storm windows to provide temperature protection to critical patient care area.</li> <li>3. Need to conceal plumbing pipes.</li> <li>4. Program requirement that was not part of contract documents.</li> <li>5. &amp; 6. Required by the Division of Licensing and Regulation.</li> </ol>			
WOODFORD BUILDERS LEXINGTON	HOSPITAL INTERIOR DECOR FOURTH FLOOR PEDIATRICS Project No. 129.5	2	3/14/88	+ 4,018.67
Nature of Change:	In lieu of two lighting switches shown, provide four "despard" type switches in the same 2-gang outlet box.			
Reason for Change:	Despard switches were needed to allow privacy for each patient in these two patient rooms.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	16	8/28/87	+ \$ 10,487.20
Nature of Change:	Lean concrete placement in voids and additional soil and rock removal at footings and foundations.			
Reason for Change:	Unforeseen subsurface conditions encountered during excavation.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	17	11/20/87	+ 4,745.00
Nature of Change:	Provide miscellaneous pool tile, provide 2" water backflow preventer.			
Reason for Change:	Required by Fayette Co. Health Dept., (new code requirements).			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	18	2/16/88	+ 1,115.50
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add finishing hardware for openings 201A and 201B.</li> <li>2. Change exit device on opening 119D and 142A from series 33 to 99 series.</li> <li>3. Change exit device trim on opening 216A &amp; 214A.</li> <li>4. Add automatic door bottom to opening 215A.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The door schedule calls for hollow metal door for Doors 201A and 201B. A hollow metal door is preferred at this location. The hardware schedule calls for these doors to have hardware by the aluminum door contractor. This error in coordination allowed neither hardware supplier to initially include this hardware in the original submittal.</li> <li>2. Von Duprin Series 99 exit devices were substituted for Series 33 exit devices to match other hollow metal door hardware and to reduce the cost.</li> <li>3. Von Duprin hardware was to be substituted for Series 55 hardware providing comparable functions and trim.</li> <li>4. Omitted during design; desirable to prevent odors from exiting filter room.</li> </ol>			
LICHTEFELD-MASSARO LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	19	3/04/88	+ 3,830.30
Nature of Change:	Provide 3" back flow preventer.			
Reason for Change:	Required by Kentucky American Water Company.			
WILLIAM H. KELLY CO. NOVI, MI	COMMONWEALTH STADIUM WATERPROOFING Project No. 175.1	1	10/08/87	+ 8,000.00
Nature of Change:	Recoating application for ramp which was damaged by another contractor working in the same area.			
Reason for Change:	Ramp surface damaged by paint spills.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	7	7/27/87	+ \$ 5,111.26
Nature of Change:	<ol style="list-style-type: none"> <li>1. Addition of wood trim at running track.</li> <li>2. Revise shower plumbing wall to 6" stud.</li> <li>3. Add wall and insulation over door.</li> <li>4. Prep aluminum frames to receive Facilities Management sensors.</li> <li>5. Add additional support for mechanical catwalk above racquetball courts.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. This change was necessary in order to conceal adjustment in the steel handrail connection. This connection was revised for structural reasons.</li> <li>2. These walls had to be increased in width in order to house the plumbing properly.</li> <li>3. This wall over the door was left off the drawings. It is above the exterior soffit and is needed to weathertight the vestibule.</li> <li>4. The aluminum package was let and bid prior to the Management System being bid or even receiving confirmation it was to be included in the project.</li> <li>5. Additional support necessary so that maintenance personnel could have access to equipment.</li> </ol>			
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	8	10/12/87	+ 6,969.37
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise concrete floor sealer.</li> <li>2. Revise steel stair &amp; track handrail.</li> <li>3. Install backflow preventer.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Change in material.</li> <li>2. Structurally reinforce track handrail for impact.</li> <li>3. To meet plumbing code.</li> </ol>			
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project 211.0	9	11/11/87	+ 17,301.87
Nature of Change:	<ol style="list-style-type: none"> <li>1. Paint 1" shim piece under lockers.</li> <li>2. Add manhole for access to oil storage tank.</li> <li>3. Add three smoke detectors.</li> <li>4. Apply concrete rub instead of paint on exterior columns.</li> <li>5. Delete relocation of water spicket at playing field.</li> <li>6. Site concrete revisions.</li> <li>7. Additional fuel oil.</li> <li>8. Revise sprinkler heads in mechanical room.</li> <li>9. Reverse chilled water lines.</li> <li>10. Additional rock removal due to utility conflict.</li> <li>11. Additional earth work for slab revision in front of Shively Center.</li> <li>12. Removal of concrete window lip.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Lockers were too tall and the base was removed; required painting.</li> <li>2. Request of State inspector.</li> <li>3. Required by Fire Marshal.</li> <li>4. Will save cost on maintenance.</li> <li>5. Relocation was not necessary, work was deleted from contract.</li> <li>6. Fire marshal required handicap ramp at parking lot.</li> <li>7. The size of the fuel tank was changed, thus requiring more fuel to initially fill tank.</li> <li>8. Required by Fire Marshal to meet code.</li> <li>9. Existing intake and discharge was hooked up in reverse.</li> <li>10. Drawings did not show electrical duct bank at right location. New utility lines had to be lowered.</li> <li>11. Owner requested a walk be changed to a drive.</li> <li>12. Window would not sit in place with lip in place.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
STRUCK CONSTRUCTION CO. LOUISVILLE	FOOTBALL TRAINING CENTER Project 211.0	10	12/10/87	+ \$ 32,795.10
Nature of Change:	<ol style="list-style-type: none"> <li>1. Addition of expansion tank.</li> <li>2. Addition of custom built locks.</li> <li>3. Addition of shelf brackets.</li> <li>4. Relocate thermostat and sensor in weight room.</li> <li>5. Add 3 thresholds and one 5" cover at door.</li> <li>6. Addition of clocks.</li> <li>7. Addition of outlets.</li> <li>8. Various changes in whirlpool electrical and filtration system.</li> <li>9. Install threshold for whirlpool.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required by State Plumbing Field Inspector.</li> <li>2. Simplified the keying for better security of lockers.</li> <li>3. Necessary to hold the increased weight of the equipment purchased by owner.</li> <li>4. Conflict with wall mounted weight equipment purchased by owner.</li> <li>5. Omitted from drawing and necessary to complete project.</li> <li>6. Provisions were made for electrical rough-ins; however, the clocks were not included in contract documents.</li> <li>7. Outlets needed for user equipment.</li> <li>8. Requested by Fayette County Health Department.</li> <li>9. Requested by Fayette County Health Department.</li> </ol>			
STRUCK CONSTRUCTION LOUISVILLE	FOOTBALL TRAINING CENTER Project No. 211.0	11	2/08/88	+ 5,810.00
Nature of Change:	Additional bond and insurance for work beyond original contract amount.			
Reason for Change:	The contractor was not allowed by this contract any overhead and profit for any change order items during the normal established construction period. However, it allows reimbursement of legitimate expenses incurred due to any increase in construction. These expenses would be additional bond and insurance.			
WEBER & WEBER CONTRACTORS LOUISVILLE	JEFFERSON BROADWAY FIRE SAFETY PLAN PHASE I Project No. 277.0	1	10/9/87	+ 2,926.04
Nature of Change:	Remove additional asbestos, replace water damaged wood fiber roof, replace wood fiber tapered edge strip.			
Reason for Change:	As-built conditions were slightly different than anticipated.			
CINCINNATI FIXTURES CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	1	2/19/68	+ 3,227.12
Nature of Change:	<ol style="list-style-type: none"> <li>1. Two cases of lower wattage light bulbs to reduce heat in lights over deli area.</li> <li>2. Vents for cabinets.</li> <li>3. Two new tops in deli plus two butcher tops in condiment area per "Atlas" switch of equipment.</li> <li>4. Re-fabrication of sneeze guard to Atlas equipment.</li> <li>5. Relocate telephone panel from dumbwaiter area.</li> <li>6. Install plumbing changes.</li> <li>7. Additional electrical wiring to accommodate coke machines</li> <li>8. Rubber corner guards for walls to prevent cafeteria carts from chipping tile.</li> <li>9. Extension of roof fan and installation of venting.</li> <li>10. Additional extension of roofing around range hood.</li> </ol>			
Reason for Change:	User-client generated the equipment change because the equipment did not function to serve their needs.			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LUTHER STONE CONST. HENDERSON	HENDERSON COMMUNITY COLLEGE ADMIN. BLDG. DOORS & PANIC HARDWARE Project No. 293.0	1	2/08/88	+ \$ 1,331.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add eleven new door closures.</li> <li>2. The time provided for completion in this contract is increased by 30 calendar days.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing closures were not working properly due to normal wear and tear and old age.</li> <li>2. For furnishing and installation of new closures.</li> </ol>			
JOE M. HAWKINS & CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II Project 304.1	1	12/08/87	+ 385.60
Nature of Change:	Delete the following items from the surgery and ICU room and mock-up (2) deadlocks, (2) push plates, (2) pull plates, (1) kick plate, (1) armor plate.			
Reason for Change:	The above items could not be obtained within the short time frame allowed for this Project and the overall effectiveness of the mock-up was not compromised.			
HIBBITTS CONSTRUCTION LONDON	HOSPITAL EXPANSION MEDICAL SCIENCES REHABILITATION Project No. 304.2	1	11/02/87	+ 2,335.02
Nature of Change:	Remove additional asbestos pipe insulation, pipe fittings and transite board panels.			
Reason for Change:	Additional asbestos was located in field that had to be removed prior to other demolition work proceeding.			
SPARKS-TERRELL LUMBER LEXINGTON	HOSPITAL EXPANSION PHARMACOLOGY, 3RD & 6TH FLOOR RENOVATION Project No. 304.2	1	1/29/88	+ 172.59
Nature of Change:	<ol style="list-style-type: none"> <li>1. Paint concrete block walls at Room MN304.</li> <li>2. Restore concrete block joints where exposed to view from removal of ductwork.</li> <li>3. Remove 6 electrical floor pedestals and wiring back to panel.</li> <li>4. Revise casework at MS310.</li> <li>5. Trim and finish door frame from MS310 into MS312.</li> <li>6. Clean ceramic tile and remove concrete patch.</li> <li>7. Remove lead expansion shields in glazed tile wall.</li> <li>8. Install new stainless steel sink at MS321.</li> <li>9. Relocate existing gas line to allow ductwork installation.</li> <li>10. Relocate electrical conduit for installation of ductwork.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Due to removal of surface mounted electrical raceways, wall needed painting.</li> <li>2. Ducts removed by this contract exposed concrete block which apparently were installed after installation of ductwork, resulting in uncooled joints.</li> <li>3. Not shown on electrical drawings and uncovered when furniture/partition system removed.</li> <li>4. Location of corridor door into room is not shown on original "As-Builts". Revised casework layout for this side of room.</li> <li>5. Uncovered existing wood door frame after removal of wood paneling on walls.</li> <li>6. Upon removal of existing carpet, room had existing ceramic tile and concrete patch over existing floor drain.</li> <li>7. Floor to ceiling bookcases were anchored to wall with expansion shields and uncovered when previous occupant of room moved out.</li> <li>8. Contractor lost the existing sinks to be re-installed.</li> <li>9. Existing gas line obstructs the ductwork path.</li> <li>10. Electrical work just recently installed weeks before by another project and in the way of ductwork path.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LICHTFELD-MASSARO LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	2	10/30/87	+ \$ 24,065.52
Nature of Change:	Provide 4 concrete foundation keys, clean out and re-work foundations, add drilled caisson to rock, deduct caisson test holes and earth excavation, place gravel and galvanized mesh at foundation.			
Reason for Change:	Additional work required to fine tune structural design to meet actual subsurface conditions.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	2	9/25/87	+ 896.27
Nature of Change:	Additional labor and materials required to remove unsuitable soil.			
Reason for Change:	Soil encountered during excavation was tested and found unsuitable for structural bearing.			
FRANTZ, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II PARKING GARAGE Project No. 304.3	2	1/07/88	+ 972.00
Nature of Change:	Rock allowance in Contract Documents of 10 cubic yards vs. actual quantity removed: 3.52 cubic yards at unit price of \$150 per cubic yard.			
Reason for Change:	Rock allowance included in Contract. That work is now complete.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	3	9/25/87	+ 680.00
Nature of Change:	Change type of electrical meter.			
Reason for Change:	Owner requested that meter be changed to meet current UK Standards.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE ELECTRICAL WORK CATEGORY #4 Project No. 304.3	4	10/15/87	+ 1,029.00
Nature of Change:	To change the size of underground electrical service conductors.			
Reason for Change:	Service conductor sizes revised to correspond to main service switch size.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE Project No. 304.3	5	11/18/87	- 233.00
Nature of Change:	Relocate pad mounted transformer, relocate telephone manhole, omit light fixtures.			
Reason for Change:	To provide internally lit signs, entrances and exits.			
MARRS ELECTRIC CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING GARAGE Project No. 304.3	6	1/15/88	+ 1,021.00
Nature of Change:	Add VACOOP IC mechanical remote control operator on two source switches of the vacuum switch in electrical manhole. These two operators are in addition to one installed for transformer circuit.			
Reason for Change:	Physically impossible to manually operate.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
MARRS ELECTRICAL CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II-PARKING GARAGE Project No. 304.3	7	3/23/88	+ \$ 908.44
Nature of Change:	Change metering equipment.			
Reason for Change:	Meter specified not standard U.K. meter.			
MARRS ELECTRICAL CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II-PARKING GARAGE Project No. 304.3	8	3/23/88	+ 1,253.00
Nature of Change:	Furnish and install iron sump pump discharge pipe from existing electrical manhole to the existing storm manhole.			
Reason for Change:	Existing storm manhole system had been eliminated by a previous contractor.			
MARRS ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR CATEGORY NO. 4 - ELECTRICAL WORK Project No. 304.4	2	10/21/87	+ 208.15
Nature of Change:	Relocate drywall partition.			
Reason for Change:	Change is necessary to enlarge room for improved efficiency of use.			
STRUCK CONSTRUCTION LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR RENOVATION Project No. 304.4	2	2/11/88	+ 3,108.00
Nature of Change:	Remove and reset 13 of the GFRC panels on the exterior of the penthouse to obtain proper verticle joint of uniform width from top to bottom under the existing loading.			
Reason for Change:	The dead load of the GFRC panels plus the roof loading proved to be less than original design criteria; therefore, the 5/16" camber was too great to allow the panels to settle such that the verticle joints would be of uniform width. Actual field trials indicated that 1/8" shim would provide the desired results.			
HUSSUNG MECHANICAL CONTR. LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER/4TH FLOOR Project No. 304.4	3	9/25/87	+ 1,215.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish &amp; install unistrut pipe supports at utility space between base cabinets at island peninsulas.</li> <li>2. Provide one five gallon capacity chemical pot feeder in the basement mechanical room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Unistrut pipe supports, usually provided by casework manufacturer, were not included in the specification nor were they included in the plumbing specification. This contractor was requested to install supports.</li> <li>2. This item was indicated on the drawings but not specifically mentioned in any of the specification sections. This contractor was requested to install.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
MARRS ELECTRIC CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II, MARKEY CANCER CTR., 4TH FLOOR Project No. 304.4	3	2/11/88	+ \$ 2,203.48
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install a two-speed single winding variable torque starter for exhaust fan.</li> <li>2. Furnish all labor and materials required to relocate existing overhead light fixtures.</li> <li>3. Add 3 additional conductors per each 2-speed motor for the exhaust fans serving the fume hoods on the 4th floor.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Fume hood was enlarged to an 8' hood thus requiring larger exhaust fan.</li> <li>2. Existing fixtures needed to be relocated for the installation of new fume hood.</li> <li>3. Contract documents only called for 3 wires per motor instead of the 6 per motor required.</li> </ol>			
HUSSUNG CONTRACTORS LOUISVILLE	HOSPITAL EXPANSION PHASE II MARKEY CANCER CENTER, 4TH FLOOR RENOVATION Project No. 304.4	4	2/11/88	+ 960.00
Nature of Change:	Furnish all labor, material & equipment necessary to effect the proper connection, transition, sound trap removal and refabrication of ductwork to fit the new 8" long fume hood in rm. 431.			
Reason for Change:	Was not included in the proposal since no one knew there was a difference until the hood arrived and was being installed.			
THE WAGNER COMPANY LEXINGTON	MEDICAL SCIENCE RESEARCH LABS Project No. 310.0	1	11/18/87	+ 1,798.00
Nature of Change:	Add additional drywall and vinyl base, remove existing vinyl base, tuckpoint existing masonry and add CMU at enclosures.			
Reason for Change:	Existing conditions exposed during demolition did not match "As-built" drawing.			
THE WAGNER COMPANY LEXINGTON	MEDICAL SCIENCE RESEARCH LABS 3RD FLOOR RENOVATION Project No. 310.0	2	2/08/88	+ 1,705.64
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change specified 6'-8" height of doors to 7'-0".</li> <li>2. Install 3 surface mounted load centers in lieu of using existing surface mounted panel.</li> <li>3. Install rough opening for future door and frame.</li> <li>4. Remobilization and labor for painting doors and frames (Item 1) and Corridor.</li> <li>5. Relocate drain vent and gas piping to existing chase.</li> <li>6. Relocate penthouse light fixture.</li> <li>7. Delete specified foil faced insulation on ductwork.</li> <li>8. Extend contract date to December 17, 1987 for Items 1 and 2 only.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To make doors same height as all other doors in facility.</li> <li>2. State Electrical Inspector's mandate for code required wiring clearance in panel interiors to accommodate specified circuits and future spare circuits.</li> <li>3. To accommodate revised functional use of laboratories.</li> <li>4. Installation of new doors and frames will occur after scheduled final completion date. Change will allow all work, except doors, frames and corridor painting, to proceed on schedule.</li> <li>5. To accommodate plumbing vent and laboratory gasses in concealed location.</li> <li>6. To allow straight run of fume hood duct thru roof and eliminate need for offset in duct.</li> <li>7. Insulation of ductwork within laboratory space not required due to heat loss/gain from ductwork into space being conditioned.</li> <li>8. Ordering and shipping dates for doors and electrical panels will not allow completion of these items by the specified contract date. All other work to be complete as specified on 12/3/87.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
CAREY CONSTRUCTION LEXINGTON	V.A. HOSPITAL ACCESS ROAD Project No. 324.0	4	11/05/87	- \$ 4,430.00
Nature of Change:	1. Deleted header curb, concrete sidewalk, pavement and mass grading. 2. Additional lane striping, arrows and stop bar.			
Reason for Change:	1. Deletion of work due to project boundary overlaps created by construction of V.A. addition. 2. To create visible road markings.			
PACK'S, INC. MOREHEAD	MEDICAL CENTER WALKWAY ROOF REPLACEMENT Project No. 326.0	1	9/23/87	+ 5,311.81
Nature of Change:	1. Provide aluminum angle flashing along roof perimeter & at windows. 2. Delete base flashing receiver along right side of canopy. 3. Replace deteriorated blocking. 4. Install 1/2" of fiberboard to metal decking through walkway. 5. Raise perimeter of canopy opposite drains by installing shims at support columns.			
Reason for Change:	1. Aluminum flashing in certain areas could not be removed as drawings indicated. 2. Flashing revised to provide an improved flashing detail at roof perimeter. 3. Existing wood blocking had deteriorated and could not be reused. 4. Required to provide clearance for existing electrical conduit installed on top of metal decking and below roof. 5. Needed to prevent water from ponding.			
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	1	1/11/88	+ 619.78
Nature of Change:	Furnish and install 4 feet high wire construction fence with related equipment rental necessary to complete demolition which had to be postponed.			
Reason for Change:	Temporary fence was not in contract. This fence was used to protect visitors from the surrounding construction.			
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	2	3/10/88	+ 1,029.49
Nature of Change:	Demolition and removal of debris, new concrete footer, concrete block work and iron hand railing at porch of structure.			
Reason for Change:	To fully enclose and underpin opening beneath porch resulting from relocation of existing steps.			
GILPIN MASONRY LEXINGTON	GAINES CENTER LANDSCAPING Project No. 361.0	3	3/18/88	+ 2,484.22
Nature of Change:	Remove existing concrete curb below sidewalk level, install removeable bollard, extend sod to edge of existing sidewalk, install new curbscuts and concrete apron from walk to street level.			
Reason for Change:	To provide pedestrian access from Maxwell Street to parking lot at rear of building.			
JOE M. HAWKINS & CO. LEXINGTON	AMERICAN BUILDING ANTHROPOLOGY RENOVATION Project No. 375.0	1	3/08/88	+ 3,236.93
Nature of Change:	1. Relocation of stairwell, increase footing sizes, and extend stairwell ceiling. 2. Relocation and alteration required due to the discovery of a concealed storm line carrying water from roof drains.			
Reason for Change:	Changes required due to unforeseen difficulties encountered during excavation of stairwell.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WHEELER GENERAL CONT. LEXINGTON	AMERICAN BUILDING FIRE SAFETY IMPROVEMENTS Project No. 376.0	1	2/16/88	+ \$ 4,093.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Split existing sprinkler pipe and feed to existing lines on either side of Stair #2.</li> <li>2. Change hollow metal door frames from 14 to 16 gauge.</li> <li>3. Request for ten day extension on contract, new contract date 2/28/88.</li> <li>4. Request for six day extension on contract, new contract date of 3/6/88, and lump sum proposal for additional work at Stair #1.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Location of stair #2 shown on architectural drawing was in error.</li> <li>2. Long lead time in delivery of 14 gauge door frames would have delayed the project an unacceptable length of time.</li> <li>3. Construction delayed due to review of soil conditions by consultant.</li> <li>4. Unknown grade beam and concealed saturated soils required additional construction time to correct before stairwell could be constructed.</li> </ol>			
BROCK EXCAVATING CORBIN	SOUTHEAST COMMUNITY COLLEGE Project No. 379.0	1	1/18/88	+ 820.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Extend parking lot.</li> <li>2. Add additional 1" thickness at entrance of parking lot.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To eliminate small strip of grass between lot and ditches for ease of maintenance.</li> <li>2. Per request of State Department of Highways.</li> </ol>			
HOPKINS COUNTY ASPHALT, INC. MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE REPLACE CONCRETE CONCOURSES Project No. 387.0	1	7/28/87	+ 6,428.84
Nature of Change:	Install waterproof membrane to existing structural slab of both concrete concourses.			
Reason for Change:	After removing existing concourse topping, existing waterproof membrane was found to be peeling and cracking over at least 50% of concourse area. New membrane should be installed to replace the initial integrity of this material.			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	3	12/17/87	+ 2,852.00
Nature of Change:	Provide new utility pole.			
Reason for Change:	To provide continuous electrical service without outage to Shawmeatown.			
CON-TRAX, INC. LEXINGTON	TENNIS BUILDING EXTERIOR Project No. 402.0	1	12/16/87	+ 4,300.00
Nature of Change:	Repair of depressed area in existing metal panel system and revised contract completion date.			
Reason for Change:	Original building defect would be made visible by addition of new finish material. Therefore, additional material was needed to fill void.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
COOPER & COOPER PAINTING, INC. LEXINGTON	LEXINGTON COMMUNITY COLLEGE CLASSROOM RENOVATION Project No. 418.0	1	8/31/87	- \$ 129.44
Nature of Change:	Delete 4" rubber base and rubber skirtbase at stairs.			
Reason for Change:	Rubber base & skirtbase would not fill gap left at edge of existing carpet and wall. Existing wallcovering was carried from the wall to the floor and when replaced, left a gap at the intersection of those two planes.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	2	7/22/87	- 2,693.00
Nature of Change:	Delete 4" wide wire wall reinforcement.			
Reason for Change:	Consultants, Masonry Contractor and University concurred that this was not necessary. After existing brick veneer was removed, it was determined that the wall reinforcement would not be required when new brick veneer was installed.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	KIRWAN TOWER BRICK REPLACEMENT Project No. 423.0	3	9/14/87	+ 7,006.67
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish new relief angles to match existing.</li> <li>2. Furnish 3,150 lineal feet of 4" x 4" steel shims.</li> <li>3. Anchor four existing conduits to full height of building.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. New angles were required due to poor condition of existing.</li> <li>2. Shims required to compensate for inconsistency of floor slabs elevation.</li> <li>3. Change required to secure conduit found loose after brick veneer removed.</li> </ol>			
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1	1/22/88	+ 775.39
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add one floor drain.</li> <li>2. Change three plumbing fixtures.</li> <li>3. Delete floor drain and add shower base.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required by State Plumbing Inspector.</li> <li>2. In order to prevent any misunderstanding by the Fire Marshall or Building Inspector, it was felt that since these rest rooms in question are not intended, nor required, to be designated as handicap restrooms, that we should use a regular water closet rather than the handicap water closet. This should eliminate any questions or discussions at final inspection.</li> <li>3. Required for sanitary and health reasons.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HARTZ CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1	1/25/88	+ \$ 24,561.48

Nature of Change:

1. Add 6 fire hydrants and increase water line to maintenance building from 2" to 4".
2. Eliminate plumbing chase wall conflict.
3. Remove latent soil below Science bldg.
4. Provide additional footings.
5. Add CMU required water pipe and valves.
6. Add concrete encasement of two steel columns.
7. Add required footings under projection room.
8. Add 7 valves and valve boxes in fire line.
9. Delete the removal of temporary parking.
10. Delete the sub-base gravel on roadways, final grading around buildings and between roads and parking lots, remove existing road and the seeding of these areas.
11. Provide waterproofing of below grade masonry at Maintenance bldg.

Reason for Change:

1. This item was removed from Bid Pack #3 prior to bidding and added by Change Order to Bid Pack #1 in order to expedite delivery and installation of the underground utilities.
2. Upon review of Bid Pack #2 documents, the University requested all commodes to be wall hung rather than floor mounted and this required larger chases to accommodate the carriers.
3. During excavation for the Science Bldg. a large area of latent soil was uncovered. This material was not suitable bearing for foundations and upon recommendation of the Geotechnical Engineers it had to be removed and replaced with approved soil. The footings and foundations had to be extended and enlarged to facilitate the new building.
4. These footings were supposed to be added by addendum, however due to the timing in getting the addendum to contractors, this item was not included.
5. Additional pipe and valves added in order to meet the design standards of CMU.
6. Fire Marshall required proper fire protection for free standing columns.
7. Projection room was added by request of the University after Bid Package 1 was bid.
8. Required by State Fire Marshall to meet Kentucky Building Code.
9. Construction timing has been such that the gravel base for the permanent parking area can be utilized for temporary parking thereby negating the requirement to remove.
10. The above work is being taken out of Bid Pack 1 and added to Bid Pack II at the same price in order to allow the Bid Pack 1 contractor to complete his work and leave the project without having to return. This places all responsibility for finished conditions within these areas on the same contractor.
11. Waterproofing of the concrete block wall is required where finish grade is above the floor slab in order to seal out moisture.



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1	1/22/88	+ \$ 26,208.00
Nature of Change:	Furnish all labor, materials, and equipment necessary to provide the sub-base gravel and roadwork, final grading around building, grading between roads and parking lots, remove existing road and the seeding of these areas.			
Reason for Change:	The work is being added to this contract and at the same time is being deleted from Bid Pack #1, WC #1, for the exact same price. This is necessary to allow Bid Pack #1 contractor to complete his work and leave the project without having to return. This places all responsibility for finished conditions within these areas on the same contractor.			
KY-IND FIRE PROTECTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY 5-FIRE PROTECTION Project No. 425.0	1	3/01/88	+ 404.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise sprinkler piping and add additional sprinkler heads to cover addition of skylight.</li> <li>2. Furnish and install additional wall mounted fire extinguishers.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To meet code requirements.</li> <li>2. Requested by Garry Beach, to meet code requirements.</li> </ol>			
HAGERMAN PLUMBING OWENSBORO	OWENSBORO COMMUNITY COL. WORK CATEGORY #3-H.V.A.C. Project No. 425.0	1	2/29/88	+ 231.00
Nature of Change:	Modify HVAC to two rooms in Administration Building. Remove dividing masonry wall and provide enlarged room.			
Reason for Change:	User requested room change to accommodate new occupant. Thus requiring HVAC modifications. This was changed to allow for a conference area in director's office.			
LEONARD JOHNSON ELECTRIC SERV. GLASGOW	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #6-ELECTRICAL Project No. 425.0	1	2/29/88	+ 6,568.55
Nature of Change:	<ol style="list-style-type: none"> <li>1. Relocate the emergency generator and electrical service transformer.</li> <li>2. Change wall outlets to floor outlets.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Original plans called for heavy landscaping to hide the Maintenance Building; however, the landscaping budget has been reduced. Changing location of these mechanical items is much more compatible with the overall site plan for the Campus and closer to paved areas for ease of maintenance.</li> <li>2. Outlets specified for glass block walls were deemed not practical and floor mounted outlets would be much more durable.</li> </ol>			
HAGERMAN PLUMBING & HEATING OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #4 - PLUMBING Project No. 425.0	2	2/29/88	+ 8,402.32
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install utility trenches in the Technical Education Building and the Science Building.</li> <li>2. Depress the floor slab elevation in 3 room of Humanities Building.</li> <li>3. Delete drinking fountain inset in Computer Rooms.</li> <li>4. Delete two area drains; add two floor drains and two down spout collectors in the Humanities Building.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. &amp; 2. Required by user for teaching and research labs and computer area.</li> <li>3. To provide additional space in the Computer Rooms.</li> <li>4. The area way is not the lowest exterior point which is actually the floor slab at the bottom of the steps. Therefore floor drains are required to prevent this area from flooding into the Lecture Classroom.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HARTZ CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #1 - GENERAL WORK Project No. 425.0	2	3/14/88	+ \$ 14,321.56
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install utility trenches in the Technical Education Building and the Science Building.</li> <li>2. Depress the floor slab elevation in 3 room of Humanities Building.</li> <li>3. Remove existing block foundation at block walls; repour floor slabs to cover space as required.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. &amp; 2. Required by user for teaching and research labs and computer area.</li> <li>3. These walls were put in to obtain the required sound rating between mechanical rooms and habitable spaces.</li> </ol>			
MODERN WELDING CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #2 - STRUCTURAL STEEL Project No. 425.0	1	3/14/88	+ 6,334.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install utility trenches in the Technical Education Building and the Science Building.</li> <li>2. Depress the floor slab elevation in 3 room of Humanities Building.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. &amp; 2. Required by user for teaching and research labs and computer area.</li> </ol>			
LOYD EVANS MASONRY MURRAY	OWENSBORO COMMUNITY COLLEGE WORK CATEGORY #2 - MASONRY Project No. 425.0	1	3/14/88	+ 9,214.50
Nature of Change:	<ol style="list-style-type: none"> <li>1. Remove dividing masonry wall and provide enlarged room.</li> <li>2. Add brick wall, block wall and sound batts at mechanical room wall locations.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. User requested room change to accommodate new occupant.</li> <li>2. To obtain the required sound rating between mechanical rooms and habitable spaces.</li> </ol>			
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	2	3/16/88	+ 402.50
Nature of Change:	Substitute larger telephone box in lieu of telephone box specified.			
Reason for Change:	Due to size of telephone box specified not being large enough to make up wires for Daryoush Marefat.			
R & W CONSTRUCTION LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	3	3/16/88	+ 1,969.00
Nature of Change:	Install temporary piping and siamese connection at south side of Anderson Hall.			
Reason for Change:	Fire Department required siamese connection located beyond construction limits for better fire truck accessibility.			
ENGLE CONSTRUCTION LEXINGTON	HAGGIN HALL SHOWER REPAIRS Project No. 441.0	1	12/07/87	+ 2,830.00
Nature of Change:	Remove and replace four additional damaged steel floor joists.			
Reason for Change:	The existing floor joists could not be inspected prior to removal of the existing floor.			
ENGLE CONSTRUCTION LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 441.0	2	2/17/88	+ 1,251.20
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add one gate valve in hot water supply runoff to shower rinser.</li> <li>2. Add one gate valve in hot water recirculating line from shower rinser.</li> <li>3. Add one gate valve in cold water supply.</li> </ol>			
Reason for Change:	The new valves replaced existing valves that were leaking. The existing valves were replaced to eliminate future problems and building shutdowns.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
EAGLE ROOFING INC. LEXINGTON	PATTERSON HALL DORMITORY ROOF & GUTTER REPLACEMENT Project No. 442.0	1	10/16/87	+ \$ 1,900.00
Nature of Change:	Add metal trim at bottom edge of existing metal fascia.			
Reason for Change:	To increase the height of the old fascia to match the height of the new fascia where old and new meet at brick walls.			
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OH	BOYD HALL ROOF & GUTTER REPLACEMENT Project No. 443.0	1	9/04/87	+ 5,402.00
Nature of Change:	1. Remove additional portions of existing brick chimney. Cap chimney below cornice line and construct additional cornice & built-in gutter. 2. Replace gutters and shingles on bridge connecting Boyd & Patterson Halls.			
Reason for Change:	1. Improve function of gutter and downspout system. 2. Upgrade all roofs and gutters to same quality, style and color.			
LANDMARK NORTH CAROLINA CORP. WORTHINGTON, OH	BOYD HALL ROOF & GUTTER REPLACEMENT Project No. 443.0	2	10/09/87	+ 1,447.00
Nature of Change:	Additional wood framing required to attach and support new cornice trim material.			
Reason for Change:	When old cornice was removed it was found that it was insufficiently supported by existing framing.			
ENGLE CONSTRUCTION CO. LEXINGTON	COOPERSTOWN ROOF BUILDINGS B & G Project No. 445.0	1	2/29/88	-0-
Nature of Change:	Extend completion date by forty-four (44) calendar days.			
Reason for Change:	Delays caused by inclement weather.			
MARR MASONRY, INC. LOUISVILLE	HOLMES HALL MASONRY REPAIR Project No. 450.0	1	8/06/87	+ 3,332.00
Nature of Change:	Recaulk vertical joint between stone trim and brick.			
Reason for Change:	Poor condition of existing caulk was not known until construction began.			
TANNER ELECTRICAL CONTRACTOR CYNTHIANA, KY	PRESTONSBURG COMMUNITY COLLEGE Project No. 456.0	1	11/06/87	+ 2,594.80
Nature of Change:	Replace existing automatic transfer switch.			
Reason for Change:	Existing transfer switch was defective.			
CHESTER-O'DONLEY & ASSOCIATES PADUCAH	HOPKINSVILLE COMMUNITY COLLEGE AIR CONDITIONING REPLACEMENT Project No. 460.0	1	8/21/87	+ 5,865.00
Nature of Change:	Revise condenser enclosure wall, i.e., extend retaining wall, new concrete footing and 5'-6" high brick wall with concrete coping.			
Reason for Change:	Mechanical equipment was unsightly and detracted from primary entrance to campus and required screening.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HINKLE CONTRACTING BURNSIDE, KY	SOMERSET COMMUNITY COLLEGE PARKING LOT Project No. 466.0	1	2/05/88	- \$ 7,374.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Delete 60 lf. header curb.</li> <li>2. Add 68 lf. curb and gutter.</li> <li>3. Delete 4,262 s.y. sodding; add seeding and reshaping.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. By deleting curb additional parking spaces were obtained.</li> <li>2. Curb added to prevent parking on grass.</li> <li>3. Season when work was complete was more conducive to seeding than sod.</li> </ol>			
WHITE & CONGELTON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	1	2/16/88	+ 24,566.00
Nature of Change:	Remove trench rock by hand and machine for mechanical and electrical work. Remove mass and trench rock by machine for building construction.			
Reason for Change:	Work consists of unscheduled rock for trench and general excavation.			
WHITE & CONGLETON LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 468.0	2	3/02/88	+ 5,173.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide 300 PSI valve in existing manhole.</li> <li>2. Provide Amtrol #AST-20 expansion tank.</li> <li>3. Increase manhole cover from 22" to 32" diameter.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Valve specified did not meet University Standards.</li> <li>2. Tank specified did not meet Health Department regulations.</li> <li>3. Manhole cover specified did not meet University Standards.</li> </ol>			
AKERS & AKERS DANA	TECHNICAL/LEARNING RESOURCE BLDG. HAZARD COMMUNITY COLLEGE Project No. 469.0	1	1/15/88	- 1,778.23
Nature of Change:	<ol style="list-style-type: none"> <li>1. Credit for substitution of Schedule 40 in lieu of Schedule 80 p.v.c.</li> <li>2. Add plumbing fixture.</li> <li>3. Credit to use existing cooling tower in lieu of rental unit.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To expedite job.</li> <li>2. Plumbing fixture was inadvertently omitted during final design drawings.</li> <li>3. Existing tower was found to be usable, so rental unit was not needed.</li> </ol>			
BOXLEY CONSTRUCTION CO., INC. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	1	9/25/87	+ 9,212.50
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change two doors to double egress type doors and frames; providing exit signs on bridge side at each door.</li> <li>2. Provide smoke detectors that are connected to several doors.</li> <li>3. Provide panic hardware on two doors.</li> <li>4. Change roof framing above elevator hoistway.</li> <li>5. Provide an insulated hollow metal transom panel in one door in lieu of louver.</li> <li>6. Provide a valve in the new 6" water main where new line connects to existing line.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1.-5. Changes required to meet Kentucky Building Code Compliance.</li> <li>6. The addition of a water valve will aid in the maintenance of the facility.</li> </ol>			
BOXLEY CONSTRUCTION CO., INC. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	2	9/25/87	+ 25,506.00
Nature of Change:	Provide four additional underground 4" PVC communication ducts.			
Reason for Change:	Required for communications systems to be connected to the Administration Building.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	3	11/05/87	+ \$ 1,152.00
Nature of Change:	Furnish and install a combination computer and telephone outlet and connect to communications board.			
Reason for Change:	Change made to accommodate owner purchased equipment.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	4	12/15/87	+ 5,934.50
Nature of Change:	Additional concrete required to install building footings at correct elevation.			
Reason for Change:	Unsuitable soil encountered at footing locations. Concrete was used to fill voids and provide required bearing capacity.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 470.0	5	1/07/88	+ 586.00
Nature of Change:	Furnish and install additional concrete masonry unit wall at south wall of Dressing Room 227.			
Reason for Change:	Wall necessary to enclose ductwork.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	6	3/02/88	+ 869.00
Nature of Change:	Seal mechanical chase openings with two layers of 5/8" firecode drywall on metal studs.			
Reason for Change:	To comply with Kentucky Building Code requirements.			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	7	3/02/88	+ 5,308.93
Nature of Change:	<ol style="list-style-type: none"> <li>1. Regrade and sod hillside area west of Academic/Technical Building.</li> <li>2. Furnish and install additional materials for making high voltage connections.</li> <li>3. Rework structural steel roof beam along elevator shaft wall.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To eliminate an erosion and maintenance problem.</li> <li>2. Required for high voltage connection from existing switch to the new building.</li> <li>3. To eliminate interference with elevator equipment and to comply with Kentucky Building Code requirements.</li> </ol>			
BOXLEY CONSTRUCTION CO. LOUISVILLE	ELIZABETHTOWN COMMUNITY COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 470.0	8	3/24/88	+ 1,700.00
Nature of Change:	Make six mullions at two (2) window openings in lobby area, and provide steel reinforcement in two mullions adjacent to grid line.			
Reason for Change:	To provide for an expansion joint at connection of aluminum storefront members with masonry walls.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LEARNING RESOURCE BUILDING Project No. 471.0	1	11/18/87	-0-
Nature of Change:	Extend construction completion date 14 days to allow compacted earth fill to settle for two additional weeks.			
Reason for Change:	Soils engineer observed that over burden had not completely settled, therefore placement of foundations had to be delayed.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	2	2/25/88	+ \$ 4,393.00
Nature of Change:	Change electrical panelboard from 16 circuit to 30 circuit; 60 amp feed to 100 amp feed. Change 64 circuit double tub to two 42 circuit panelboards. Provide new 150 amp circuit. Provide additional floor outlet; revise receptacles, communications and computer outlets.			
Reason for Change:	Changes required to accommodate library equipment.			
DENHAM-BLYTHE CO. LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	3	3/02/88	- 310.00
Nature of Change:	1. Delete Fritz tile base; install rubber base. 2. Add Fritz tile floor in the elevator.			
Reason for Change:	1. Fritz tile base is no longer manufactured. 2. To give coordination of floor and to improve quality of floor in the elevator.			
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	1	11/06/87	+ 396,953.00
Nature of Change:	Supplemental bid package - assignment of subcontractor to general work contractor, R & W Construction per bid CCR-241-7.			
Reason for Change:	Assignments are made per the terms and conditions of CCR-239-7 for said work assignments.			
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR SURGERY OFFICES Project No. 495.0	1	7/27/87	+ 5,227.00
Nature of Change:	Build two-hour fire separation wall from top of existing concrete block partitions to underside of concrete deck.			
Reason for Change:	Fire Marshal requirement. (Condition was concealed before beginning construction.)			
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION, SECOND FLOOR Project No. 495.0	2	11/04/87	- 3,000.00
Nature of Change:	Assessment of liquidated damages.			
Reason for Change:	Contractor required 15 days more to complete project than the contract allowed.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION 2ND FLOOR SURGERY OFFICES Project No. 495.0	3	2/04/88	- \$ 355.04
Nature of Change:	<ol style="list-style-type: none"> <li>1. Clean room C-101E and replacing ceiling tile.</li> <li>2. Align ten locksets.</li> <li>3. Provide lighter gage door frames.</li> <li>4. Install outlet for copier.</li> <li>5. Change lights from 1X4 to 2X4 and exchange lenses.</li> <li>6. Repaint damaged door frames by carpet installers.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contractor for this project had leak on second floor causing water to travel between second and first floors and damaging ceiling tile on first floor. Med Ctr. PPD was summoned to make repairs to ceiling tile. Contractor for project was back charged for these damages.</li> <li>2. Contractor had been advised that the hospital had to occupy this space and had been told to correct locksets and he failed to do so. Med Ctr. PPD was requested to make corrections. Contractor was back charged for these damages.</li> <li>3. Contractor could not obtain specified door frames without delaying project, therefore change to lighter gage frames was approved.</li> <li>4. Architect was unaware at time of design type of copier the University was going to purchase.</li> <li>5. New ceiling grid system would not permit use of old light fixtures.</li> <li>6. UK carpet installers damaged door frames during carpet installation.</li> </ol>			
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	1	1/07/88	+ 17,728.50
Nature of Change:	Construct masonry elevator shaft in lieu of drywall and metal stud; provide electrical changes.			
Reason for Change:	Electrical and masonry work required due to unforeseen conditions encountered during demolition which was not included in the contract.			
R & R ELECTRIC LEXINGTON	CLIFTON/COLUMBIA LIGHTING Project No. 506.0	1	2/09/88	+ 280.00
Nature of Change:	Change 30 amp three pole disconnect, 30 amp contactor and associated wiring to 60 amp, two pole disconnect, two pole contactor and associated wiring.			
Reason for Change:	Changed design to accommodate available electrical power from Kentucky Utilities.			
CHUCK LINDSTROM & ASSOC. LINCOLN, IL	SHIVELY BASEBALL FIELD LIGHTING Project No. 508.0	1	11/06/87	+ 2,124.99
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install eight additional light fixtures.</li> <li>2. Add/replace three damaged supports and associated hardware.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contractor incorrectly placed several lighting towers resulting in uneven light distribution on playing field. Additional light fixtures corrected this condition.</li> <li>2. The University was involved in assembly of towers and we found three damaged pieces. We checked freight lines when they were unloaded and noticed no damage and knew of no other group to attribute damage to.</li> </ol>			
HOOT CONSTRUCTION PARIS	TENNIS FACILITY DOOR INSTALLATION Project No. 510.0	1	3/23/88	+ 975.00
Nature of Change:	Replace hollow metal door, straighten frame, and change existing hardware, paint to match existing.			
Reason for Change:	A new door was damaged and had to be replaced.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
BLUEGRASS PAVING LEXINGTON	COLLEGE VIEW PARKING LOT Project No. 512.0	1	2/08/88	+ \$ 7,399.82
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional asphalt required.</li> <li>2. Add header curb along College View Drive.</li> <li>3. Remove and replace sidewalk along College View Drive.</li> <li>4. Add asphalt curb around Coliseum entrance and other locations.</li> <li>5. Add bituminous surface for leveling and wedging Coliseum lot entrance.</li> <li>6. Delete sod and use seed instead.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Needed to smooth out a localized difference in elevation.</li> <li>2. Discrepancy in amount designed and amount needed.</li> <li>3. Hazardous sidewalk replaced.</li> <li>4. Curb was used to protect light pole and to keep cars off grass areas.</li> <li>5. Existing entrance too steep to match new lot.</li> <li>6. Time of year more conducive to seed than sod.</li> </ol>			
COOPER & COOPER PAINTING LEXINGTON	COMMONWEALTH STADIUM/STRUCTURAL STEEL LIGHT POLES PAINTING Project No. 529.0	1	10/16/87	- 8,000.00
Nature of Change:	Deduct cost of repair damage caused by contractor on this project to Commonwealth Stadium Waterproofing project #175.1.			
Reason for Change:	It was mutually agreed between Cooper & Cooper and Kelly Company to transfer funds required to repair damage from this project to Commonwealth Stadium Waterproofing project.			
WHEELER GENERAL CONTRACTOR LEXINGTON	COOPERSTOWN ROOF BUILDING F Project No. 535.0	1	2/29/88	-0-
Nature of Change:	Extend completion date by twenty-two (22) calendar days.			
Reason for Change:	Delays caused by inclement weather.			
HEPBURN ELECTRIC CO. LEXINGTON	MEDICAL SCIENCE WING SMOKE DETECTION Project No. 853.0	3	2/09/88	+ 5,601.46
Nature of Change:	<ol style="list-style-type: none"> <li>1. Remove one existing pull station, one existing 120 volt horn, six heat detectors and related miscellaneous conduit &amp; wire.</li> <li>2. Reconnect flow switch to new fire alarm system.</li> <li>3. Move speaker in M100 and install heat detector in MS118.</li> <li>4. Remove 12 existing smoke detectors &amp; replace with heat detectors.</li> <li>5. Remove heat detectors.</li> <li>6. Lower smoke detectors to new ceiling.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Additional alarm equipment on ground floor.</li> <li>2. Required to connect flow switches found during construction that were not shown on existing plans.</li> <li>3. Relocation due to renovation.</li> <li>4. Replacing smoke detectors with heat detectors in rooms with high temperature or humidity conditions.</li> <li>5. These were labs when project started. Due to delays they are changed to offices. Offices require smoke detectors rather than heat detectors.</li> <li>6. Relocated due to renovation.</li> </ol>			
HEPBURN ELECTRIC CO. LEXINGTON	DENTAL SCIENCE WING SMOKE DETECTION Project No. 854.0	2	2/09/88	+ 344.20
Nature of Change:	Rewire six existing smoke detectors to operate on new simplex system.			
Reason for Change:	Detectors had to be rewired to make the system operate properly.			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS (CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THE WAGNER COMPANY LEXINGTON	McVey Hall Fire Safety Project No. 867.0	1	1/06/88	+ \$ 50.00
Nature of Change:	Provide one additional dump of dumpster.			
Reason for Change:	Because PFD filled the contractor's dumpster.			
THE WAGNER COMPANY LEXINGTON	MCVEY HALL FIRE SAFETY Project No. 867.0	2	3/07/88	+ 395.60
Nature of Change:	Relocation of sprinkler branch lines.			
Reason for Change:	Sprinklers could not be installed as shown on documents because of revisions made to third floor plan by PFD.			
HIBBITTS CONSTRUCTION CO. LONDON	KAPPA SIGMA FIRE SAFETY Project No. 872.0	1	9/10/87	+ 117.36
Nature of Change:	Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.			
Reason for Change:	To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.			
HIBBITTS CONSTRUCTION CO. LONDON	PHI SIGMA KAPPA FIRE SAFETY Project No. 873.0	1	9/10/87	+ 149.31
Nature of Change:	Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.			
Reason for Change:	To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.			
HIBBITTS CONSTRUCTION CO. LONDON	LAMBDA CHI ALPHA FIRE SAFETY Project No. 874.0	1	9/10/87	+ 157.92
Nature of Change:	Remove asbestos pipe insulation by glovebag method. Seal exposed ends of pipe insulation at wall openings. Tape type covering.			
Reason for Change:	To enable the fire safety project contractor to tap into the cold water line for new sprinkler system and finish installing fire rated drywall.			
C. C. LEE, INC. LEXINGTON	EXPANSION OF DESIGN & CONSTRUCTION Project No. 907.0	2	3/03/88	+ 935.00
Nature of Change:	1. Change lightweight concrete block to 3 hour rated concrete block. 2. Overcharge of liquidated damages.			
Reason for Change:	1. Required to meet Kentucky Building Code. 2. Originally assessed 87 days - adjusted to 73 days.			

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS.

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
DEBRINGER & SON LEXINGTON	KIRWAN #1 & KIRWAN #2 ROOF REPAIRS Project No. 447.0	\$ 102,363.00	7/15/87
CENTRAL KENTUCKY GLASS CO. CAMPBELLSVILLE	LEXINGTON COMMUNITY COLLEGE WINDOW REPLACEMENT Project No. 323.0	67,886.00	8/01/87

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS (CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
COOPER & COOPER PAINTING LEXINGTON	LEXINGTON COMMUNITY COLLEGE TIERED CLASSROOM RENOVATION Project No. 418.0	\$ 9,610.56	9/09/87
COOPER & COOPER PAINTING LEXINGTON	STUDENT CENTER INTERIOR RENOVATION Project No. 524.0	3,500.00	9/21/87
CHESTER O'DONLEY PADUCAH	HOPKINSVILLE COMMUNITY COLLEGE ADMIN. BLDG. AIR COND. REPLACEMENT Project No. 460.0	76,842.00	9/28/87
PERRY CONSTRUCTION CO., INC. LEXINGTON	ENGINEERING CHEMICAL/GAS STORAGE BUILDING Project No. 467.0	39,529.00	9/30/87
AMERICAN INSULATED STRUCTURES, INC. CINCINNATI, OH	ASBESTOS - MCVEY HALL Project No. 483.0	57,015.89	10/01/87
RELCO ELECTRIC CORPORATION LOUISVILLE	JEFFERSON COMMUNITY COLLEGE HARTFORD TOWER SPRINKLERS (BASEMENT) Project No. 275.0	7,780.00	10/21/87
CAREY CONSTRUCTION LEXINGTON	BUS ROUTE IMPROVEMENTS Project No. 452.0	22,150.00	10/29/87
WEBER & WEBER GENERAL CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY FIRE SAFETY PLAN PHASE I Project No. 277.0	146,259.00	11/03/87
JOE M. HAWKINS & COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.1	34,594.40	11/30/87
C.C. LEE, INC. LEXINGTON	DESIGN & CONSTRUCTION RENOVATION PHASE II Project No. 907.0	43,524.00	11/30/87
CAREY CONSTRUCTION COMPANY LEXINGTON	V.A. HOSPITAL CONNECTOR ROAD Project No. 324.0	150,678.82	12/07/87
FLOOR PRO, INC. LOUISVILLE	SOUTHEAST COMMUNITY COLLEGE FALKENSTEINE ROOF REPAIR Project No. 359.0	44,111.00	12/08/87
*WESTFIELD INSURANCE COMPANY WESTFIELD CENTER, OH	DAWSON SPRINGS 4-H SWIMMING POOL REPLACEMENT Project No. 344.0	173,400.00	1/5/88
TRI-STATE ROOFING & SHEET METAL CO. LEXINGTON	RESEARCH #3 ROOF REPAIRS Project No. 459.0	111,300.00	2/2/88
MCNEESE CONSTRUCTION COMPANY LEXINGTON	ANIMAL CARE FACILITY MS318 Project No. 259.2	31,299.00	2/17/88
LUTHER STONE CONSTRUCTION CO., INC. HENDERSON	HENDERSON COMMUNITY COLLEGE DOORS & PANIC HARDWARE Project No. 293.0	20,299.00	2/29/88
C.C. LEE, INC. LEXINGTON	HOSPITAL RENOVATION PHASE I SECOND FLOOR CLINIC FOR SURGERY Project No. 495.0	178,298.96	3/1/88
CINCINNATI FIXTURES, INC. CINCINNATI, OH	STUDENT CENTER CAFETERIA RENOVATION Project No. 238.0	134,411.46	3/15/88
C.C. LEE, INC. LEXINGTON	DESIGN AND CONSTRUCTION RENOVATION Project No. 907.0	44,459.00	3/15/88
ABELL ELEVATOR LOUISVILLE	HOSPITAL EXPANSION PARKING STRUCTURE Project No. 304.3	99,700.00	3/22/88
ENGLE CONSTRUCTION CO. LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 441.0	96,662.20	3/25/88

\*Bonding company for Midwestern, Inc. who defaulted on contract.

Office of the President  
June 21, 1988

FCR 6

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between the University of Kentucky Medical Center Finance Division and The Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky, for 9,375 square feet of office space at 2200 Regency Road, Building #1, Lexington, Kentucky, effective July 1, 1988 through June 30, 1989 at a rental rate of \$96,093.75.

Background: This lease was entered into on July 1, 1987 and will continue through June 30, 1988. The Medical Center wishes to continue with the lease from July 1, 1988 through June 30, 1989 at the same rental rate.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

0456/13

Office of the President  
June 21, 1988

# FCR 7

Members, Board of Trustees:

## Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the lease between Owensboro Community College and Owensboro Independent Board of Education, 1335 West Eleventh Street, Owensboro, Kentucky, for 20,800 square feet of classroom space at Owensboro High School, rental rate of \$31,200 and delete space being used at Longfellow Center and add 30,000 square feet of additional classroom space at Owensboro Middle School, rental rate of \$49,834, effective July 1, 1988 through June 30, 1989.

Background: This lease was entered into on July 1, 1987 and will continue until June 30, 1988 and Owensboro Community College wishes to continue with the lease beginning July 1, 1988 through June 30, 1989 for a rental rate of \$81,034. The Community College will require these facilities until such time as construction on the University-owned facilities is completed.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

0456/12

Office of the President  
June 21, 1988

# FCR 8

Members, Board of Trustees:

## Report of Leases

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board policy:

The renewal of a lease between Elizabethtown Community College and Nazareth Campus Service, P. O. Box 8, Nazareth, Kentucky, effective August 18, 1988 through June 30, 1989, for the use of classroom and parking spaces, rental rate of \$220.00 per classroom per semester, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Lincoln Trail Development Foundation, Inc., 702 College Street Road, Elizabethtown, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office space and four parking spaces, rental rate of \$3,408.75, be accepted and made a matter of record;

The renewal of a lease between McDowell Cancer Network and Geraldine Adams, P. O. Box 306, Hyden, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office space, rental rate of \$4,200.00, be accepted and made a matter of record;

The renewal of a lease between Elizabethtown Community College and Grayson County Board of Education, Leitchfield, Kentucky, effective August 18, 1988 through June 30, 1989, for the use of classroom and parking space, rental rate of \$144.00 per classroom per semester, be accepted and made a matter of record;

The renewal of a lease between McDowell Cancer Network and American Development Company, Inc., 58 Public Square, Somerset, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office space, rental rate of \$2,200.00, be accepted and made a matter of record;

The renewal of a lease between McDowell Cancer Network and Paul Blair Rentals, Mr. Paul Blair, 120 Normal Avenue, Suite 101, Morehead, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office and parking spaces, rental rate of \$3,240.00, be accepted and made a matter of record;

The renewal of a lease between Kentucky Geological Survey and Donald L. Harrison, 221 Hancock Street, Henderson, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office space, rental rate of \$5,100.00, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Century 21 Landover Realty, Mr. F. Reid Bell, 4140 S. Hwy. 27, Suite 100, Somerset, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office and parking spaces, rental rate of \$4,500.00, be accepted and made a matter of record;

The renewal of a lease between Elizabethtown Community College and Hardin County Board of Education, 110 South Main Street, Elizabethtown, Kentucky, effective August 18, 1988 through June 30, 1989, for the use of classroom, laboratory and parking spaces, rental rate of \$160.00 per classroom and \$240.00 for computer lab per semester, be accepted and made a matter of record;

The renewal of a lease between Prestonsburg Community College and Thelmer McClanahan, P. O. Box 3476, Pikeville, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office and classroom space and 100 parking spaces, rental rate of \$27,092.90, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Christian Co. Farm Bureau, P. O. Box 683, Hopkinsville, Kentucky, effective July 1, 1988 through June 30, 1989, for the use of office space and parking spaces, rental rate of \$6,200.50, be accepted and made a matter of record.

Background: FCR 5, dated October 15, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

# FCR 9

Members, Board of Trustees:

ESTABLISHMENT OF A FOR PROFIT  
SUBSIDIARY CORPORATION

Recommendation: that the Board of Trustees authorize the University of Kentucky Research Foundation to establish a for profit subsidiary corporation with a suggested name of Kentech, Inc. to market research products and services of a commercial nature in order to further the University's technology transfer program and other economic development efforts based on university developed technology.

Background: At the May 3, 1988 meeting of the University of Kentucky Board of Trustees, a recommendation was approved authorizing the University of Kentucky Research Foundation to consider the establishment of a for profit subsidiary corporation.

At the June 9, 1988 meeting, the University of Kentucky Research Foundation Board of Directors approved the following recommendation subject to acceptance of the proposal by the University of Kentucky Board of Trustees:

- 1) that the Executive Director be authorized to take steps required to form a wholly owned for profit corporation in general conformity with the attached For Profit Corporation proposal.
- 2) that \$50,000 be appropriated from fund balances as the initial capital of the for profit corporation.
- 3) that the Executive Director be authorized to vote the stock owned by UKRF.
- 4) and, that Board members, Wimberly C. Royster and Jack Blanton and Treasurer Henry Clay Owen be named interim members of the For Profit Corporation Board of Directors pending completion of formal By-laws and organization of a full Board.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

## FOR PROFIT CORPORATION

### I. PROPOSAL

Establish a for profit corporation wholly owned by UKRF and separate from the University. Suggested name is Kentech, Inc.

### II. PURPOSE

To create an organization through which the University and its various units and faculty may engage in new and innovative activities which would normally be difficult or awkward to undertake within normal University operations. Examples include but are not limited to:

1. Marketing incidental products of research such as speciality chemicals, biologicals, and software.
2. Provision of research services such as water quality monitoring.
3. Formation of new-start commercial activities involving venture capital, limited partnerships, joint ventures, etc.
4. Development and operation of specialized research facilities.

### III. OBJECTIVES:

1. To explore various innovative approaches for generating funds for research development at UK.
2. To encourage and develop new relationships with industry.
3. To assist in technology based economic development in the state.
4. To establish an additional method for transferring research products into public use.
5. To provide an outlet for faculty developed and produced research products and services.
6. To obtain a reasonable financial return for the value of the products and to provide a mechanism for sharing this return with faculty producers.
7. To build a financial base for future expansion.



#### IV. ORGANIZATION

The corporation will be legally structured as a for profit corporation under the laws of the state of Kentucky. The for profit mode will be used in order to:

1. Compete fairly with private industry.
2. Emphasize "separateness" from the university.
3. Provide potential for future capital expansion through stock offerings, research partnerships, joint ventures, etc.

Initially all stock will be owned by UKRF. Operating capital of approximately \$50,000 will be provided by UKRF. This small amount is expected to be sufficient because sales will begin almost immediately. A Board of Directors will be chosen consisting of nine to twelve Directors. Officers will include a President (CEO) and a Secretary/Treasurer. Initial staffing will consist of the President, a manager and an account clerk/secretary, all on a part-time basis and all current members of the UKRF staff. The corporation will reimburse UKRF for the time and overhead of UKRF staff. As business increases other arrangements will be developed. An outside accounting firm will be employed to set up an accounting system, obtain necessary permits, tax numbers, etc., to prepare required tax reports, and to audit financial transactions.

#### V. OPERATIONS

The corporation is envisioned as an umbrella organization through which a wide variety of activities may be undertaken. The following two examples are chosen because they may be initiated almost immediately with simple contractual arrangements and without large capital requirements.

EXAMPLE ONE - Research by-products including biologicals, reagents, antibodies, gene probes, plasmids, research chemicals, etc., will be purchased from UK upon the initiative of the faculty producer. Appropriate controls to assure that the price covers the costs of production will be the responsibility of UK. UK and the faculty member will be responsible for the purity of the products, for representations as to appropriate use, and for packaging and shipping (at least initially).

For the first several months marketing will be on a relatively passive basis. Sales will depend upon requests from users received by faculty producers. The decision to fill the requests via the corporation will be voluntary on the part of the faculty producer. The nature and number of potential products will determine whether and when more aggressive marketing efforts are warranted.

EXAMPLE TWO - There will likely be a state imposed requirement for monitoring point source discharges in Kentucky streams and rivers. The model which will be required has been developed at UK. Thus there is an opportunity to establish a monitoring service which can be sold to Kentucky industries discharging wastes into Kentucky streams and rivers. The operation would consist of one faculty director, one trained technician, and several graduate students. Most of the activity would be field work. The technician and the students would be employees of the corporation. The corporation would reimburse the University for a percentage of the effort of the faculty member and for small scale use of University equipment and facilities.

In both of the examples costs are expected to be low in relation to sales income. These costs will include materials costs paid to UK and certain overhead costs including insurance, business forms, telephone, postage, etc., salary for a part time employee, and taxes.

Compensation to faculty producers will be provided in accordance with a negotiated agreement and based on a percentage of net income after costs. These payments will be made on an annual basis after audit.

A further percentage of net income may be reserved in the corporation for academic enrichment in the University. These funds will be expended directly by the corporation at the request of the Department Chair or they may be transferred to UK in the form of a restricted gift.

Remaining income will be held in the corporation in order to build up a reserve for future operations. Ultimately, these funds may be used for expansion into a more aggressive product development and marketing effort or they may be transferred from time to time to the University as a gift in support of research development.

## VI. SPECIAL CONSIDERATIONS

The corporation will be separate and apart from the University.

Due to the nature of the products and services being offered substantial general liability coverage will be needed. Errors and omissions coverage for officers and directors will also be needed.

Conflicts of interest may develop, particularly if any one activity becomes very successful. The monitoring and resolution of such conflicts will have to be the responsibility of the University units involved. The corporation cannot be expected to enforce University rules or to mediate internal University conflicts.

Office of the President  
June 21, 1988

# FCR 10

Members, Board of Trustees:

Establishment of a Mandatory Fee Policy

Recommendation: that the President be directed to develop a policy for changes in mandatory fees. This policy should establish guidelines for the review of mandatory fees and should consider the factors utilized in the Council on Higher Education tuition policy, i.e. fees charged at comparable institutions and the students' ability to pay in Kentucky. Formulation of this policy should involve consultation with the Student Government Association.

Background: The Council on Higher Education is charged with the responsibility to determine the tuition for attendance at public institutions of higher education in Kentucky and does so within an established tuition policy. The Board of Trustees is charged with the responsibility for the approval of other student fees; however, there is no established policy in which to review the mandatory fees. Therefore, such a fee policy would provide the Board with a framework in which to consider fee proposals and establish some consistency in the principles underlying the establishment of both tuition and fees.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

Office of the President  
June 21, 1988

# FCR 11

Members, Board of Trustees:

## ADOPTION OF 1988-89 FEE SCHEDULE

Recommendation: That the Board of Trustees approve the following fee schedule for 1988-89. These fees will be charged to all students enrolled on the Lexington Campus, in the Medical Center and at Lexington Community College in accordance with their status as full-time or part-time students.

Background: The fee schedule which follows contains the following changes to the fee schedule adopted for 1987-88:

1. An additional one dollar in the student activities fee per semester in support of the yearly operational expenses of WRFL (Radio Free Lexington) as requested by the Student Government Association and subsequently approved by the Board of Trustees in October of 1987.
2. A \$25 per semester increase in the Health Fee. With this increase, the Student Health Service will be fully supported by the Health Fee. Prior to this action, the Health Service was dependent upon the General Fund for a significant portion of its support. With the current budgetary situation, the University can no longer provide that level of support and meet its other academic program commitments. This increase will allow the Student Health Service to be entirely self-supporting but will not provide for any enhancements to the Student Health Service program.

With these increases, mandatory fees will still be considerably lower (approximately one-half the benchmark median of the annual mandatory fees) than those charged at comparable or benchmark institutions.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

University of Kentucky  
 Fee Schedule  
 (Effective Fall, 1988)

	<u>Semester Full-Time Fee</u> <sup>1</sup>	<u>Eight-Week Summer Session Full-Time Fee</u> <sup>2</sup>	<u>Part-Time and Four-Week Intersession Fee Per Credit Hour</u>
Student Center Fee	\$22.00	\$11.00	\$ 2.00
Activity Fee	17.75	-	-
Health Fee	<u>50.00</u>	<u>-</u>	<u>-</u>
	\$89.75	\$11.00	\$ 2.00

1 For fee purposes, twelve credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students, nine hours for graduate students, and ten hours for law students.

2 For fee purposes, six credit hours or its equivalent constitutes a full load for undergraduate and pharmacy students and five hours for graduate and law students.

REVISED

Office of the President  
June 21, 1988

# FCR 12

Members, Board of Trustees:

CONCEPTUAL PLAN FOR THE USE OF COLDSTREAM FARM

Recommendation: that the Board of Trustees approves the concept of the plan for the use of Coldstream Farm as devised by the MPC Company, which locates a regional mall accessible from Georgetown Street on the westernmost part of the farm; that the Board authorizes the Vice Chancellor for Administration of the Lexington Campus to work with the MPC Company in preparing a recommendation pertaining to the selection of the developer of the regional mall along with the terms and conditions of a proposed contract between the developer and the University to be presented to the Board at its August 1988 meeting; and that all such plans shall accommodate to the future needs of the Department of Animal Science for farm lands and facilities which shall be approved subsequently by the Board.

Background: On December 8, 1987 the Board authorized a study of the future use of Coldstream Farm so as to enhance its value to the University of Kentucky. This action accompanied a decision by the Board that the use of Coldstream Farm could not involve the sale of any portion of the farm. Subsequently the firm of MPC & Associates, Inc. of Washington, D.C. was employed to assist the University in the formulation of a plan for the future use of the farm. The summary of that plan is attached. It proposes to locate a regional mall on the westernmost part of Coldstream Farm accessible from the Georgetown Road.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988

0420-70



UNIVERSITY OF KENTUCKY

SUMMARY OF THE PHASE I FINAL REPORT PRESENTATION:  
COLDSTREAM FARM ALTERNATIVE USES STUDY

MPC & Associates, Inc.  
1155 21st Street, N.W.  
Suite 1000  
Washington, D.C. 20036  
(202) 778-1045

June 21, 1988

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EXHIBIT A

Land Use Concept Plan



## I. INTRODUCTION

The Coldstream Farm Alternative Uses Study commissioned by the University of Kentucky has resulted in a land use concept plan that takes into account the objectives of the University, local governmental officials, and the surrounding community as communicated to MPC & Associates through interviews, focus groups, and other research techniques. An integral part of the concept plan is the siting of specific uses on the property to preserve an enclave of University-related outreach and research activities to assist the state and its residents in preparing for the 21st Century. The plan also encompasses commercial uses -- including a retail mall -- to serve community needs and to generate income that will help support University activities, including relocation of the existing Animal Research operation.

The immediate next steps for the University are to make a commitment to an overall plan for Coldstream Farm and to begin the necessary development planning. The most pressing individual component of the plan is the retail mall. If the University supports the proposed retail component, it must pursue the option expeditiously or face the prospect of having it foreclosed, by mall development at a competing site, until sometime in the future -- i.e., in some eight to ten years.

## II. STUDY APPROACH

### A. Methodology

In order to conduct the study of alternative uses for Coldstream Farm, MPC & Associates assembled data through several complementary techniques, including

- interviews with representatives of the University, local employers and governmental agencies;
- investigations of local and regional economic conditions;
- focus groups with approximately 160 faculty and selected professional students;
- a preliminary review of current Coldstream operations and the Farm's relocation costs;
- an evaluation of the Farms's physical attributes and its logical development pattern; and
- an initial review of area land values.

An initial land concept plan was presented in an interim report to the University on March 18, 1988.

Based on feedback from the University, local governmental officials, community leaders, and potential developers, the plan has been refined and several possible amendments have arisen.

### B. Working Assumptions

#### 1. Relating to the Land

- o The Animal Sciences Department will be relocated from Coldstream Farm.
- o No portion of the Farm is to be sold; land may be leased, however, as part of a development program.

## 2. Relating to Funding

- o The bulk of the funds to relocate the Experimental Farm must be generated from Coldstream itself.
- o After making provision for a "reasonable" relocation and replacement process, the economic benefits derived from alternative uses of the Farm should accrue to the entire University.

## 3. Planning Objectives

- o Planning for Coldstream should have a long-term horizon to correspond with the University's operations.
- o Concept planning for the entire parcel should be completed before any development commences in order to ensure an orderly utilization of the land.
- o Decisions on Farm uses should be coordinated with the Lexington-Fayette Urban County Government and with the Commonwealth of Kentucky to ensure a cooperative development process.

## C. Strategic Considerations

### 1. Land Attributes

Coldstream Farm lies at the northern gateway to Lexington near the intersection of two major interstates. As a result, development of the Farm offers an opportunity to make a highly visible statement about the University of Kentucky and its activities. At the same time, the site's visibility and previous public

discussion of development options will subject any actions to substantial scrutiny.

## 2. Development Preconditions

There is sufficient land at Coldstream to accommodate a number of disparate uses and a variety of uses are shown in the land concept plan described in Section III and diagrammed in Exhibit A. Before large-scale development at Coldstream occurs, however, significant costs must be incurred, including:

- sewer system installation
- relocation of the animal sciences experiment station; and
- construction of roadway improvements, including the possible construction of a new interstate interchange at or near Georgetown Road which would enhance the value of the property and would be necessary to support certain potential uses.

## 3. Role of the University

The redevelopment of Coldstream will require active participation from the University in its planning and execution since activities at Coldstream are likely to represent an extension of the University's traditional outreach and economic development efforts. The University will also need to work closely with the Commonwealth and Urban County Governments to obtain rezoning and necessary infrastructure improvements, including roadways and sewers.

### III. LAND USE RECOMMENDATIONS

#### A. Guiding Principle: To Enhance the University's Execution of its Mission

- o The creation of a "North Campus" would provide additional opportunities for the University to pursue its mission, particularly through outreach/continuing education programs directed at individuals and the business community.
- o The site is sufficiently large to also accommodate selected commercial uses, including retail facilities.
- o Over time the site should also accommodate uses which cannot readily be located on campus -- provided that they are self-sustaining.

#### B. Elements of the Land Concept Plan

- o A Continuing Education/Training Complex should serve as a centerpiece for Coldstream.
  - The Center would require approximately 40 acres and should be located near the Carnahan House.
  - The Center should showcase and promote a variety of continuing education programs and should promote interaction with state and local government and with business and industry, including joint training activities and the sponsorship of conferences that have an educational purpose.
- o Housing
  - In the near term, Lexington does not appear to have a significant problem with affordable housing and there is minimal demand for housing at this site among faculty and graduate students.

- If Coldstream is tied to the University through continuing education, research, and other programs, a housing concept targeted at alumni and retired faculty might prove very feasible -- particularly if it contains an innovative health care component that involves the Medical Center.
- o Research Facilities/Park
  - The University of Kentucky's role as the leading research institution in the state and Coldstream's location along I-64 and I-75 offer the opportunity for development of a successful research park. Such a park could foster university/industry cooperation and stimulate economic growth.
  - A successful "off-campus" park will need governmental support at all levels; current planning should be targeted toward obtaining federal funding for a significant facility that builds off the strengths of the University and will generate support and presence from private corporations.
- o Green Space/Recreational Facilities
  - Approximately 140 acres of the Coldstream property are in the Cane Run flood plain and are not suitable for significant development purposes. At least another 60 acres are suitable for commitment to open space. This green space should be planned so as to connect with the recreational facilities at IBM and the horse trails on University farms north of I-75 and should accommodate all recreational facilities deemed necessary to support other uses on the site.
- o University Offices
  - Locating University offices at Coldstream would be appropriate for activities which offer services to the community and which could benefit from good access and more parking, such as the testing services of the College of Education and the family and marriage counselling services of the College of Home Economics.
  - An ambulatory (outpatient) care facility to serve the northern sector of the city is worth further consideration, particularly if housing for retirees is constructed.

- o Schools
  - The Farm might be an appropriate location for a new residential high school aimed at gifted students throughout the Commonwealth. Such a school would also provide an important and low-cost housing resource for continuing education use during the summer months.
- o Office
  - Fifty or more acres of government or commercial office space could easily be accommodated at the Farm and would be a logical complement to other uses.
- o Relocation of Other Agricultural Activities to Coldstream
  - Even if a full-scale development plan is initiated at Coldstream, it will be many years before the program is fully implemented. During the transition, substantial acreage may be used for agricultural purposes.
- o Retail
  - A 100 acre parcel at Coldstream should be allocated for mall purposes and for lucrative convenience retail.
  - The retail facilities should not front Newtown Road where they could jeopardize the integrity of University land uses.
    - a. A preferred location for a mall would be at the northwest corner of the property off Georgetown Road.
    - b. Several other options for locating a mall have been presented by developers since the presentation of the interim report. These options would preserve the integrity of University-related activities on the vast bulk of the site, but still allow the mall to have a degree of orientation toward Newtown Road.
  - Any mall site lying to the west of Cane Run Creek, or in the northwest portion of the property, would need a new interchange to provide access from I-64 and/or I-75.

- a. State and local governments realize that the industrial corridor lying between Georgetown Road and the railroad tracks to the west has poor access, and they are in the early stages of planning the necessary interchange.
  - b. Since a mall would not be ready to open until 1992-1993 at the earliest, there should be sufficient time for the new interchange to be constructed.
- To the extent that retail uses are moved to the east along I-75/I-64 (without reaching Newtown Road), it is less clear that a new interchange would be required.
  - A mall is the only clearly presented use which would provide significant immediate funding towards the relocation of existing farm operations and possibly contribute to the long-term funding of other University endeavors.

#### IV. THE RETAIL COMPONENT

##### A. Developer Interest

In accordance with the University's wishes, MPC requested expressions of interest from retail developers who had previously approached the University or who are active in central Kentucky. Homart and Crown American Corporation, in a joint venture, and The Glimcher Company responded affirmatively and are prepared to make a presentation to the University regarding their proposals.

The likely parameters of a deal are:

- o A 75 year land lease
- o Fixed annual payments during an estimated two year option period
- o Base ground rent with a C.P.I. inflator commencing at construction



- o Percentage rents based on gross revenues
  - o A sharing arrangement to deal with adjacent out parcels and complementary retail uses
- B. Rezoning Issues
- o The 1988 Comprehensive Plan Draft designated sites at both Coldstream Farm and Hamburg Place for possible development of a regional mall.
  - o If endorsed by the Board, the University intends to have the land concept plan for Coldstream incorporated into the Comprehensive Plan through a Small Area Change process, which should take six months.
- C. The Competing Mall Site and Implications for Coldstream
- o The University should pursue the option of seeking a compatible retail mall because it would enhance the value of the rest of the property, meet key community needs, and help open up the north end of Lexington, a stated goal of the Urban County Government.
  - o The owners of Hamburg Place filed an application for zoning approval for a mall at the Hamburg Place site on June 10, 1988. Nevertheless, quite some time could pass before binding commitments are negotiated and infrastructure issues resolved, if at all. At any point prior to regulatory approval, this transaction could unravel or become economically unfeasible.

V. NEXT STEPS

A. Immediately

There are a number of steps which the University should take immediately in order to preserve its options with regard to Coldstream Farm. These include:

1. Adoption of a broad vision/overall working plan for the site. Further definition of the sizing and nature of the individual components will be determined by additional market and financial analyses. Phasing will be planned in response to established priorities of the University and the availability of land and financing.
2. Formulation of a strategy to pursue the retail component of the land concept plan, including designating a mall developer for the Coldstream site within the next 45 days.

B. Near Term

Other steps which the University should undertake in the near term are to:

1. File an application for a Small Area Change process for the adoption of the land use concept plan.
2. Hold discussions about the overall approach with the Commonwealth and Urban County Governments since their support would greatly increase the likelihood of a successful development process.

- The Urban County Government's participation will be important in such matters as rezoning and the installation and financing of sewer system and road improvements.
  - State support is needed to deal with the I-75/I-64 interchange issue at Georgetown Road.
  - State support and encouragement will be needed in garnering large-scale federal dollars for one or more research facilities at Coldstream.
3. Engage an outside agricultural expert to work with MPC and the University's Administration in independently evaluating College of Agriculture operations and needs:
- To define the facilities and acreage that should be provided to the Experiment Station upon relocation;
  - To determine how operations could be moved on a phased basis;
  - To help estimate the costs of relocation;
4. Investigate alternative funding sources for development at Coldstream and relocation of the existing agricultural operations including private, federal, state, and local sources.
5. Commit to a more detailed development planning process, including marketing, financial, and ownership studies, for several key development components:
- a continuing education/training facility;
  - a substantial housing program targeted primarily to retired faculty and alumni and containing a major health care component tied to the Medical Center; and
  - an honors high school and the development of a model curriculum for possible usage on a statewide basis.



Office of the President  
June 21, 1988

# FCR 13

Members, Board of Trustees:

## OPERATING BUDGET FOR 1988-89

Recommendation: that the 1988-89 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1988-89.

Background: The 1988-89 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: The Operating Budget for 1988-89 is not included in the Minutes of the meeting but is included in the official files.

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Action taken: Approved  Disapproved  Other

Date: June 21, 1988



James Rose  
President  
Leah McCain  
Vice-President

130 Student Center  
Lexington, KY. 40506-0030  
(606) 257-3191

UNIVERSITY OF KENTUCKY

## Student Government Association

Resolved on behalf of the Student Body

WhereAs, students should be involved in the evaluation and formulation of mandatory student fees at the University of Kentucky, and

WhereAs, the University of Kentucky Student Government Association is disappointed and appalled at the lack of prior communication and solicitation of student input through the University of Kentucky Student Government Association and the student body in general concerning recent increases in student fees.

THEREFORE, BE IT RESOLVED THAT THE UNIVERSITY OF KENTUCKY STUDENT GOVERNMENT ASSOCIATION requests that a policy be adopted which outlines specific procedures for the determination of student fees and that the University of Kentucky Student Government Association shall be consulted and involved in the formulation of such a policy.

Cynthia M. Weaver, Student Trustee

*Cynthia M. Weaver*

James A. Rose, III, President Student Body

*James A. Rose III*