

Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, Tuesday, October 16, 1984.

The Executive Committee of the Board of Trustees of the University of Kentucky met at 1 p.m. (Eastern Daylight Time) on Tuesday, October 16, 1984 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Robert T. McCowan, Chairman, called the meeting to order at 1 p.m., and the invocation was pronounced by Mr. William R. Black.

The following members of the Executive Committee of the Board of Trustees answered the call of the roll: Mr. Robert T. McCowan (Chairman), Mr. William R. Black, Mr. Albert G. Clay, Mr. Tracy Farmer, and Mr. George W. Griffin, Jr. (ex officio Secretary). Mr. T. A. Lassetter was absent from the meeting. Members of the Board of Trustees attending the meeting included Mr. Thomas P. Bell, Professor Timothy A. Cantrell, Mr. Timothy B. Freudenberg, Mrs. Edythe Jones Hayes, Mr. Henry E. Hershey, Mr. R. Larry Jones, Professor James D. Kemp, Mr. W. Bruce Lunsford, and Mr. James L. Rose. The University administration was represented by President Otis A. Singletary; Mr. James O. King, Vice President for Administration; Chancellors Peter P. Bosomworth and Charles T. Wethington; Dr. Raymond R. Hornback, Vice President for University Relations; Mr. David I. Carter, Associate Vice President for Business Services; Mr. Edward A. Carter, Associate Vice President for Planning and Budget; Mr. John C. Darsie, General Counsel; Mr. Henry Clay Owen, Controller and Treasurer; Dr. Paul G. Sears, Special Assistant for Academic Affairs; Dr. Wimberly C. Royster, Vice Chancellor for Research and Dean of the Graduate School; and Dr. Jack C. Blanton, Vice Chancellor for Administration, Lexington Campus.

Members of the various news media were also in attendance. The Secretary reported a quorum present, and the Chairman declared the meeting officially open for the conduct of business at 1:03 p.m.

B. Minutes Approved

The Minutes of the September 18, 1984 meeting of the Board of Trustees were approved as written.

C. President's Report to the Trustees (PR 1)

President Singletary announced that the Executive Committee meeting scheduled for Monday, November 5, may be cancelled, and he reminded the Trustees that the full Board will meet on Tuesday, December 11, 1984.

President Singletary then reviewed briefly some of the items in his monthly report to the Trustees, calling particular attention to (1) the University Debate Team, an outstanding program, which has once again received national recognition; (2) the selection of the College of Business and Economics as one of 40 schools in the nation chosen to receive \$12,000 from the International Business Machines Corporation to write a proposal for one of 12 \$2 million grants to be awarded by the firm next spring; and (3) the three alumni recently honored for their service to the University of Kentucky Alumni Association, to the University, and to higher education in Kentucky: former Governor Bert T. Combs, Trustee George W. Griffin, Jr., and Mr. Dan Abbott.

President Singletary added one item not in his report. He announced that at the meeting of the University of Kentucky Athletics Association on October 15, 1984, it was voted unanimously to recommend to the Committee on Naming University of Kentucky Buildings that the new aquatic center be named the HARRY C. LANCASTER AQUATIC CENTER.

President Singletary then called on Mr. Freudenberg who reported on the '84 Free For All fall festival, sponsored by the Collegians for Academic Excellence. Mr. Freudenberg announced that \$5,000 was raised and that the money will be used for scholarships for freshmen and transfer students. The following students were in attendance and introduced by Mr. Freudenberg: Student Government Association Executive Vice President Jim Pustinger and Senior Vice President John Cain; Student Activities Board President Louis Straub and Vice President Scott Mustian; and Andrew Oppmann, President of the Collegians for Academic Excellence. The students were given a round of applause following which President Singletary expressed his pleasure with their genuine concern about academic excellence at the University.

D. Personnel Actions (PR 2)

After noting that the personnel actions in PR 2 were routine in nature, President Singletary recommended its approval. On motion made by Mr. Farmer, seconded by Mr. Black, and passed, the appointments and staff changes recommended in PR 2 were approved. (See PR 2 at the end of the Minutes.)

E. Supplemental Recommendations of the President (PR 3A)

There were no supplemental recommendations.

F. 1984-85 Budget Revisions (PR 3B)

With President Singletary so recommending, on motion made by Mr. Clay, seconded by Mr. Black, and carried, the proposed routine revisions in the 1984-85 budget were authorized and approved. (See PR 3B at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations (PR 3C)

President Singletary recommended that the proposed amendments to the Governing Regulations be received for preliminary consideration and placed on the agenda for action at the next regular meeting of the Board of Trustees. On motion made by Mr. Black, seconded by Mr. Farmer, and carried, it was so ordered. (See PR 3C at the end of the Minutes.)

H. Amendment of the Community College Code of Student Conduct (PR 4A)

At President Singletary's request, Chancellor Wethington explained that the proposed revisions were largely editorial changes and would bring the document in line with the Lexington Campus Code of Student Conduct. The motion, which was made by Mr. Clay and seconded by Mr. Black, was voted on and carried. (See PR 4A at the end of the Minutes.)

I. Patent Assignment (PR 5A)

On motion made by Mr. Black, seconded by Mr. Clay and passed, the routine patent assignment as recommended in PR 5A was approved. (See PR 5A at the end of the Minutes.)

J. William L. Matthews, Jr. Professorship (PR 5B)

President Singletary indicated his pleasure in recommending that the Board establish in the College of Law the William L. Matthews, Jr. Professorship, in honor of the late Professor Matthews, whose distinguished service to the University spanned a period of nearly forty years.

On motion made by Mr. Clay, seconded by Mr. Black, and unanimously carried, approval was given to the establishment of the William L. Matthews, Jr. Professorship in the College of Law as recommended in PR 5B. (See PR 5B at the end of the Minutes.)

K. Dorothy Salmon Professorship (PR 5C)

President Singletary said it also gave him pleasure to recommend that the Board establish in the College of Law the Dorothy Salmon Professorship. The late Professor Salmon was the first woman to serve on the College of Law faculty and she was the head librarian for many years. He explained that this professorship will be funded primarily through a challenge gift provided by Mr. H. Wendell Cherry, a distinguished graduate of the University. On motion made by Mr. Farmer, seconded by Mr. Clay, and unanimously carried, approval was given to the establishment of the Dorothy Salmon Professorship as recommended in PR 5C. (See PR 5C at the end of the Minutes.)

L. Bachelor of Health Science Degree for Physician Assistants  
(PR 6A)

President Singletary called on Chancellor Bosomworth who explained the need for a Bachelor of Health Science Degree in Physician Assistant Education. He added that the proposed program, which has been approved by the University's academic councils, is consistent with the University's state-wide education mission in that it is a unique program not available elsewhere in the Commonwealth. On motion made by Mr. Black, seconded by Mr. Clay and carried, the Board approved for submission to the Council on Higher Education a new baccalaureate degree program, Bachelor of Health Science Degree in Physician Assistant Education. (See PR 6A at the end of the Minutes.)

M. Appointment to the Council of Supervisors (PR 6B)

With President Singletary so recommending, on motion made by Mr. Farmer, seconded by Mr. Black, and carried, approval was given to the appointment of Mr. Henry E. Hershey to the Council of Supervisors, University Hospital, as a Board of Trustees member, for a three-year term, effective October 1, 1984 through September 30, 1987. (See PR 6B at the end of the Minutes.)

N. Board Appointments, McDowell Cancer Network (PR 6C)

President Singletary recommended that Mr. Ivan Jett, Mrs. Carolyn Kenton, Dr. Ben Roach, and Mrs. Vivian Weil be reappointed as non-faculty members and Dr. John van Nagell as a faculty member of the Board of Directors of the McDowell Cancer Network, effective November 1, 1984 through October 31, 1987. Mr. Farmer so moved. His motion was seconded by Mr. Black and carried. (See PR 6C at the end of the Minutes.)

O. Policy On Conflicts Between Football Games and Keeneland  
Racing

President Singletary described the current University policy between the University of Kentucky Athletics Association and Keeneland Association in an effort to avoid conflicts in schedules. The University policy is to schedule football games during evenings on racing dates with the only exception being as to dates in which there is an invitation to appear on network television. The President explained the long-standing cooperation, indicating there had been occasional conflicts in the past and that conflicts would likely occur in the future. He asked the Board if they wished to change that policy. After a brief discussion, the Board endorsed the existing policy.

P. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the Committee had met on the morning of October 16 and reviewed the six items to be presented for action, the first of which was the Interim Financial Report for the two months ended August 31, 1984. After noting that the University is "on target", Mr. Clay moved acceptance of the report. His motion was seconded by Mr. Black and passed without dissent. (See FCR 1 at the end of the Minutes.)

Q. Disposal of Personal Property (FCR 2)

On motion by Mr. Clay, and second by Mr. Farmer, the Board approved the disposition of obsolete and surplus equipment as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

R. Acceptance of University of Kentucky Audited Financial Statements and Related Reports for the Year Ended June 30, 1984 (FCR 3)

After reviewing the audited financial statements and related reports of the University of Kentucky for the year ended June 30, 1984, including the Management Letter and a Report on Compliance with House Bill 622 submitted by Coopers and Lybrand, Certified Public Accountants, the Finance Committee recommended and Mr. Clay so moved that the reports, as submitted, be accepted. His motion was seconded by Mr. Black and unanimously carried. Mr. McCowan complimented the staff on an outstanding job. (See FCR 3 at the end of the Minutes.)

S. Acceptance of the Financial Statements of the University of Kentucky Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1984 (FCR 4)

Mr. Clay, acting upon the recommendation of the Finance Committee, moved that the financial reports of the affiliated corporations for the year ended June 30, 1984, as audited by the firm of Coopers and Lybrand, be accepted. Included were audited financial statements of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Alumni Association, University of Kentucky Hospital Auxiliary, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., and Health Care Collection Service. Mr. Clay's motion was seconded by Mr. Farmer and passed without dissent. (See FCR 4 at the end of the Minutes.)

T. Pre-Tax Contributions to Employee Health Insurance Plans (FCR 5)

With the concurrence of the Finance Committee, Mr. Clay moved that the Board authorize the establishment of a health coverage plan pursuant to the regulations of Internal Revenue Service Code Section 125, and approve the Plan Policy Document for purposes of implementation as recommended in FCR 5. His motion was seconded by Mr. Black and unanimously carried. (See FCR 5 at the end of the Minutes.)

U. Hospital Receivables (FCR 6)

Mr. Clay explained that the University's external auditors, Coopers and Lybrand, recommended that the reporting practices of University Hospital with respect to outstanding accounts receivable be amended to conform to standards generally in effect in the national

hospital industry. The Finance Committee so recommending, he then moved (1) that the reporting practices of University Hospital with respect to outstanding accounts receivable be modified, as recommended in FCR 6, and (2) that the appropriate administrative officers of the University be authorized to cause the charge off against the allowance for doubtful accounts, uncollected hospital accounts receivable when same are referred for collection to Health Care Collection Service. The motion, which was seconded by Mr. Farmer, carried without dissent. (See FCR 6 at the end of the Minutes.)

V. Meeting Adjourned

There being no further business, the meeting was adjourned at 1:27 p.m.

Respectfully submitted,

George W. Griffin, Jr.  
Ex Officio Secretary  
Executive Committee  
Board of Trustees

(PR's 2, 3B, 3C, 4A, 5A, 5B, 5C, 6A, 6B, and 6C; and FCR's 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the meeting.)

Office of the President  
October 16, 1984

PR 2

Members, Executive Committee, Board of Trustees:

Personnel Actions

Recommendation: (1) that approval be given to the attached appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

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COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENT

Lexington Community College

Fried, Judith A., Associate Professor in the Community College System (with tenure), effective 10/1/84.

B. ADMINISTRATIVE APPOINTMENTS

Henderson Community College

Thomas, Stephen R., Associate Professor in the Community College System (with tenure), appointed Chairman, Division of Behavioral and Social Sciences and Related Technologies, 9/1/84 through 6/30/87.

Paducah Community College

Williams, Catherine, Associate Professor in the Community College System (with tenure), appointed Chairman Division of Sciences and Related Technologies, 9/1/84 through 6/30/86.

C. PROMOTIONS

Paducah Community College

Crisp, Jayne, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/84.

Irby, Betsy, from Assistant Professor in the Community College System (without tenure), to Associate Professor in the Community College System (with tenure), effective 7/1/84.



II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Elliott, Terry G., Instructor in the Community College System, 8/1/84 through 6/30/85.

Henderson Community College

Thomas, James G., Assistant Professor in the Community College System, 8/24/84 through 6/30/85.

Hopkinsville Community College

Von Lancken, George D., Instructor in the Community College System, 8/1/84 through 6/30/85.

Jefferson Community College

Burton, David, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Daly, Gaynor, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Koch, Mary, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Lynch, Julie, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Pauley, Lanny, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Puckett, Debbie, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Schaefer, Joan, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Volz, Tina, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Lexington Community College

Doty, Sarajane, Assistant Professor in the Community College System, 9/1/84 through 6/30/85.

Madisonville Community College

Tague, Kathy A., Instructor in the Community College System, 9/17/84 through 6/30/85.

Paducah Community College

Moss, Linda D., Instructor in the Community College System, 8/1/84 through 12/31/84.

Somerset Community College

Cronan, Cecil E., Assistant Professor in the Community College Assistant, and Associate Director for Academic Affairs, 9/1/84 through 6/30/85.

Girdler, Sara, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Meade, Ricky, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Ratliff, Donna, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Taylor, Peggy, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Wade, Tim, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Southeast Community College

Hairston, Linda, Librarian IV in the Community College System (voluntary), 9/17/84 through 6/30/85.

Kaylor, Noel Harold, Jr., Assistant Professor in the Community College System, 8/29/84 through 6/30/85.

B. ADMINISTRATIVE APPOINTMENT

Henderson Community College

Chapman, Donald A., Associate Professor in the Community College System (with tenure), appointed Acting Associate Director for Academic Affairs, 9/1/84 through 12/31/84.

C. REAPPOINTMENTS

Jefferson Community College

Brown, Sam, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Canton, Philip, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Cronin, Karen, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Frank, David, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Julien, Joyce, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.  
Lamping, Sr. Mary J. Clare, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.  
Sudduth, Sally, Instructor in the Community College System (voluntary), 8/1/84 through 6/30/85.

Somerset Community College

Davidson, Betty, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Eaton, Kenny, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Farmer, Melissa, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Galloway, Debbie, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Leis, Charolette, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Pearson, Dale, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Singleton, Debbie, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Weaver, Bobby, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Wilson, Paul, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Winchester, Lula, Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.  
Wright, Bob E., Jr., Instructor in the Community College System (voluntary), 8/1/84 through 5/31/85.

Southeast Community College

Bathija, N. R., Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Blevins, Randy, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Campbell, Donna, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Henry, Maxine, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Hensley, Denny, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Hensley, Elsie, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.

Hopkins, Roxie, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Howard, Charla, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Mabelitini, Greta, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Metcalf, Phyllis, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.  
Wynn, Don, Instructor in the Community College System (voluntary), 7/1/84 through 6/30/85.

D. LEAVES OF ABSENCE

Ashland Community College

Reed, Sharon, Assistant Professor in the Community College System, Leave without pay, 1/1/85 through 6/30/85.

Hopkinsville Community College

Morris, Edward J., Associate Professor in the Community College System (with tenure), Sabbatical leave with half salary, 7/1/85 through 6/30/86.

Paducah Community College

Draffen, Carla, Instructor in the Community College System, Leave without pay, 8/1/84 through 12/31/84.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Aaron, Debra K., Assistant Professor, Animal Sciences, 8/27/84 through 6/30/85.

College of Arts and Sciences

Chilukuri, Santaram, Visiting Professor, Physics and Astronomy, 8/16/84 through 5/31/85.

Razzaghi, Mohsen, Visiting Professor, Mathematics, 8/16/84 through 5/31/85.

Szpakowicz, Stanislaw, Visiting Assistant Professor, Computer Science, 8/16/84 through 6/30/85.

Truszczynski, Miroslaw, Visiting Assistant Professor, Computer Science, 8/16/84 through 6/30/85.

B. PROMOTIONS

College of Architecture

Levine, Richard S., from Associate Professor (with tenure), to Professor (with tenure), effective 7/1/84.

C. EARLY RETIREMENTS

Vice Chancellor for Student Affairs

Griffith, Kate W., Executive Assistant, after 24 consecutive years of service, effective 10/12/84.

D. POST-RETIREMENT APPOINTMENTS

College of Education

McClure, Nancy, Coordinator of Advising, Dean's Office, 9/1/84 through 12/15/84.

College of Fine Arts

Briggs, Wallace N., Professor (part-time), Theatre, 9/1/84 through 10/15/84.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Baute, Paschal, Instructor (part-time), Psychology, 8/16/84 through 12/31/84.  
Baynham, John, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Berzins, Lisa, Instructor (part-time), Psychology, 8/16/84 through 12/31/84.  
Bessler, Robert W., Instructor (part-time), Computer Science, 8/16/84 through 12/31/84.  
Birkenhauer, Ann F., Instructor (part-time), English, 8/16/84 through 12/31/84.  
Burgett, James E., Instructor (part-time), German, 8/16/84 through 12/31/84.  
Carter, Michael W., Instructor (part-time), English, 8/16/84 through 12/31/84.  
Cerniglia, Constance S., Instructor (part-time), English, 8/16/84 through 12/31/84.  
Combes, Linda J., Instructor (part-time), English, 8/16/84 through 12/31/84.  
Crawley, Bryan S., Instructor (part-time), Computer Science, 8/16/84 through 12/31/84.  
Hayes, Shirley, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Holman, Gaye, Instructor (part-time), Sociology, 8/16/84 through 12/31/84.  
Holwerk, Mildred, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Karbe, Manfred J., Assistant Professor, Mathematics, 8/16/84 through 5/31/85.  
McHenry-Hepner, Virginia, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Merryman, Nancy, Instructor (part-time), Anthropology, 8/16/84 through 12/31/84.  
Mozur, Tiina T., Instructor (part-time), German, 8/16/84 through 12/31/84.  
O'Hara, Kieran, Instructor, Geology, 8/16/84 through 6/30/85.  
Pope, George W., Instructor (part-time), Chemistry, 8/16/84 through 12/31/84.  
Smith, Christine M., Instructor (part-time), English, 8/16/84 through 12/31/84.  
Tandy, Caron, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Vass, Frank R., III, Instructor (part-time), English, 8/16/84 through 12/31/84.  
Zahniser, Ann H., Instructor (part-time), English, 8/16/84 through 12/31/84.

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\*Special Title Series

College of Business and Economics

Danehower, Carol, Instructor (part-time), Management, 8/16/84 through 12/31/84.  
Dulworth, Virginia, Instructor (part-time), Accounting, 8/16/84 through 5/15/85.  
Kenkel, Donald S., Instructor (part-time), Economics, 8/16/84 through 5/15/85.  
Saidibaghgandomi, Gholamreza, Instructor (part-time), Economics, 8/16/84 through 5/15/85.  
Sioshansi, Azar, Instructor (part-time), Economics, 8/16/84 through 5/15/85.

College of Communications

Hawpe, David V., Instructor (part-time), Journalism, 8/16/84 through 12/31/84.  
Musulin, Mike, II, Instructor (part-time), Journalism, 8/16/84 through 12/31/84.  
Oldham, Cheyenne B., Instructor (part-time), Journalism, 8/16/84 through 12/31/84.  
Preston, Tommy L., Instructor (part-time), Journalism, 8/16/84 through 12/31/84.

College of Education

Bott, Deborah A., Assistant Professor, Special Education, 8/16/84 through 5/15/86.  
Leeper, Hugh H., Instructor (part-time), Curriculum and Instruction, 8/16/84 through 12/21/84.  
Oscanyan, Frederick S., Assistant Adjunct Professor, Social and Philosophical Studies, 8/16/84 through 5/15/85.  
Pappas, Christine C., Assistant Professor, Curriculum and Instruction, 1/1/85 through 6/30/86.

College of Engineering

Newman, David A., Assistant Professor, Mining Engineering, 9/12/84 through 6/30/86.  
Sotiropoulos, Dimitrios, Visiting Assistant Professor, Engineering Mechanics, 8/16/84 through 5/15/85.  
White, Gary, Assistant Professor (part-time), Civil Engineering, 7/1/84 through 6/30/85.

College of Fine Arts

Holloway, Melissa J., Instructor (part-time), Theatre, 9/1/84 through 6/30/85.  
McAdams, Heather C., Instructor (part-time), Art, 8/16/84 through 12/31/84.

College of Home Economics

Jones, Karen A., Instructor (part-time), Human Environment:  
Design, 9/1/84 through 12/31/84.

B. JOINT APPOINTMENTS

College of Home Economics

Wallace, Sharon, Assistant Professor, Nutrition and Food Science,  
joint appointment as Assistant Professor, Preventative  
Medicine and Environmental Health, College of Medicine,  
9/1/84 through 6/30/85.

C. REAPPOINTMENTS

College of Architecture

Rozenberg, Jerzy, Assistant Professor, 7/1/84 through 6/30/86.

College of Arts and Sciences

Allen, Susan, Instructor (part-time), English, 8/16/84 through  
12/31/84.

Bitetto, Vito, Instructor (part-time), Computer Science, 8/16/84  
through 12/31/84.

Bloyd, Richard A., Instructor (part-time), Computer Science,  
8/16/84 through 12/31/84.

Campbell, F. Diane, Instructor (part-time), English, 8/16/84  
through 12/31/84.

Cetrulo, Christine, Instructor (part-time), English, 8/16/84  
through 12/31/84.

Chase, Dorothy R., Instructor (part-time), English, 8/16/84  
through 12/31/84.

Cooper, John B., Instructor (part-time), English, 8/16/84  
through 12/31/84.

Crocker, Lina E., Instructor (part-time), English, 8/16/84  
through 12/31/84.

DeSimone, Shirley C., Instructor (part-time), English, 8/16/84  
through 12/31/84.

Duncan, Charles E., Instructor (part-time), English, 8/16/84  
through 12/31/84.

Duncan, Mary, Instructor (part-time), English, 8/16/84  
through 12/31/84.

Hull, Ruth F., Instructor (part-time), English, 8/16/84 through  
12/31/84.

Isenhour, Janet L., Instructor (part-time), English, 8/16/84  
through 12/31/84.

Kiesel, Linda R., Instructor (part-time), English, 8/16/84  
through 12/31/84.



Murray, Mary C., Instructor (part-time), Computer Science,  
8/16/84 through 12/31/84.  
Opengart, Bea C., Instructor (part-time), English, 8/16/84  
through 12/31/84.  
Peters, Jesse L., Instructor (part-time), Computer Science,  
8/16/84 through 12/31/84.  
Stonecipher, Mabel, Instructor (part-time), English, 8/16/84  
through 12/31/84.  
Teoh, Kok Wah, Assistant Professor, Statistics, 7/1/84 through  
6/30/85.  
Thomas, Stephen R., Instructor (part-time), English, 8/16/84  
through 12/31/84.  
Witte, Florence M., Instructor (part-time), German, 8/16/84  
through 12/31/84.

College of Communications

Malott, Glen A., Assistant Professor\*, Journalism, 7/1/84 through  
6/30/85.  
Roberts, Reba, Instructor (part-time), Journalism, 8/16/84  
through 12/31/84.  
Sword, Janice L., Instructor (part-time), Telecommunications,  
8/16/84 through 12/31/84.

College of Education

Cramer, Alberta, Instructor (part-time), Health, Physical  
Education, and Recreation, 8/16/84 through 12/21/84.  
Heathman, Nancy, Instructor (part-time), Curriculum and  
Instruction, 8/16/84 through 5/15/85.  
Pelfrey, Ron, Instructor (part-time), Curriculum and Instruction,  
8/16/84 through 5/15/85.

College of Engineering

Lilly, John H., Assistant Professor, Electrical Engineering,  
7/1/84 through 6/30/86.  
Vaziri, Manouchehr, Assistant Professor, Civil Engineering,  
8/16/84 through 6/30/86.

College of Library and Information Science

Bellardo, Trudi, Assistant Professor, 7/1/84 through 6/30/85.  
Pollard, Richard, Assistant Professor, 7/1/84 through 6/30/85.

D. PROMOTIONS WITHOUT TENURE

College of Arts and Sciences

Russell, Robert L., from Instructor, Psychology, to Assistant  
Professor, Psychology, 7/1/84 through 6/30/85.

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\*Special Title Series

E. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Hershberger, Robert L., Assistant Professor, Physics and Astronomy, 8/16/84 through 12/31/84.

F. LEAVES OF ABSENCE

College of Agriculture

Pagoulatos, Angelos, Professor (with tenure), Agricultural Economics, Sabbatical leave with full salary, 1/15/85 through 7/14/85.

College of Arts and Sciences

Brock, Carolyn P., Associate Professor (with tenure), Chemistry, Leave without pay, 1/1/85 through 8/31/85.

Harris, Lawrence A., Professor (with tenure), Mathematics, Sabbatical leave with full salary, to change dates of leave from 7/1/84 through 12/31/84 to 1/1/85 through 6/30/85.

Pattengill, Merle D., Associate Professor (with tenure), Chemistry, Sabbatical leave with half salary, 7/1/85 through 6/30/86.

College of Communications

Patterson, J. W., Associate Professor (with tenure), Communication, and Director, Henry Clay Debate Council, Vice Chancellor for Student Affairs, Sabbatical leave with full salary, 1/1/85 through 6/30/85.

Waldhart, Enid S., Associate Professor (with tenure), Communication, Sabbatical leave with full salary, 1/1/85 through 6/30/85.

College of Engineering

Funk, James E., Professor (with tenure), Mechanical Engineering, Sabbatical leave with half salary, 7/1/85 through 6/30/86.

College of Fine Arts

Smith, Raymond A., Associate Professor (with tenure)\*, Theatre, Sick leave with pay, 8/15/84 through 10/15/84.

College of Library and Information Science

Waldhart, Thomas J., Associate Professor (with tenure), Sabbatical leave with full salary, 1/1/85 through 6/30/85.

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\*Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. TENURE

College of Medicine

Jacob, Robert J., Assistant Professor (with tenure),  
Medical Microbiology & Immunology, effective 11/15/84.

B. CHANGES

College of Medicine

Offutt, William N. IV, from Associate Clinical Professor  
(part-time), Ophthalmology, to Associate Clinical  
Professor (voluntary), Ophthalmology, 7/1/84 through  
6/30/85.

Olson, Marvin H., from Professor\* (full-time), (temporary),  
Radiation Medicine, to Professor\* (part-time), Radiation  
Medicine, 9/1/84 through 6/30/85.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Paradis, Lenora F., Assistant Professor, Allied Health  
Education & Research, 11/1/84 through 6/30/85.

College of Dentistry

Frazier, Paul M., Clinical Instructor (voluntary), Restorative  
Dentistry, 8/27/84 through 6/30/85.

Kluemper, G. Thomas, Assistant Professor (part-time), Endodontics,  
8/29/84 through 6/30/85.

Lasheen, Joe, Clinical Instructor (voluntary), Restorative  
Dentistry, 8/27/84 through 6/30/85.

Takacs, Patricia E., Assistant Professor (part-time), Periodontics,  
joint as Assistant Professor (part-time), Oral Diagnosis  
& Oral Medicine, 9/1/84 through 6/30/85.

College of Medicine

Aiona, Michael D., Assistant Professor, Surgery, 9/1/84  
through 6/30/85.

Foxx, W. Jeffrey, Assistant Clinical Professor (voluntary),  
Family Practice, 9/15/84 through 6/30/85.

Nelson, Kevin R., Assistant Professor, Neurology, 10/1/84  
through 6/30/85.

College of Nursing

Kent, Ellen L., Assistant Professor (Adjunct Series), 9/1/84  
through 6/30/85.

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\*Special Title Series

Moseley, Therese, Instructor (part-time), (temporary),  
8/21/84 through 6/30/85.

B. JOINT APPOINTMENTS

College of Dentistry

Pence, Damon R., Assistant Professor (part-time), Oral  
Diagnosis & Oral Medicine, joint appointment as  
Assistant Professor (part-time), Endodontics, 8/29/84  
through 6/30/85.

Schell, Susan C., Instructor (part-time), Community Dentistry,  
joint appointment as Instructor (part-time), Oral  
Diagnosis & Oral Medicine, 8/30/84 through 6/30/85.

College of Medicine

Baker, Robert S., Assistant Professor, Ophthalmology, joint  
appointment as Assistant Professor, Surgery, 10/1/84  
through 6/30/85.

C. REAPPOINTMENTS

College of Allied Health Professions

Overman, Sue, Instructor (part-time), Medical Technology,  
7/1/84 through 6/30/85.

College of Dentistry

Dalmasso, Matteo, Assistant Clinical Professor (voluntary),  
Restorative Dentistry, 7/1/84 through 6/30/85.

College of Medicine

Ash, Robert C., Assistant Professor, Medicine, 7/1/84 through  
6/30/85.

D. CHANGES

College of Medicine

Weaver, Kenneth R., from Assistant Clinical Professor (part-time),  
Emergency Medicine, to Instructor, Emergency Medicine,  
10/1/84 through 6/30/85.

E. LEAVES OF ABSENCE

College of Nursing

Willard, Marian D., Assistant Professor\*, Leave without pay,  
1/1/85 through 6/30/85.

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\*Special Title Series

PR 3B

Members, Executive Committee, Board of Trustees:

1984-85 BUDGET REVISIONS  
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1984-85 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Fund</u></b>			
1. Income Estimates			
Federal Appropriations			
Vocational Education	\$ 189,300	\$ 249,100	\$ 59,800
Agricultural Cooperative Extension	8,988,700	9,008,700	20,000
Gifts and Grants			
Other Gifts and Grants	1,680,500	1,702,600	22,100
Sales and Services			
Departmental Sales and Services	6,126,800	6,217,500	90,700
Unrestricted Fund Balances	9,084,700	12,876,700	3,792,000
Hospital Fund Balances	1,386,000	2,078,300	692,300
			<u>4,676,900</u>
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Education			
Administration	332,600	335,600	3,000
Vocational Education			
Business and Office			
Education	40,000	99,800	59,800
Special Education	449,400	471,500	22,100
College of Engineering			
Civil Engineering	968,100	982,100	14,000
Mechanical Engineering	832,500	838,700	6,200
Agricultural Experiment Station			
Agronomy	1,943,300	1,960,800	17,500
Agricultural Cooperative Extension			
Rural Sociology	88,000	108,000	20,000
Graduate School			
Kentucky Geological Survey	353,800	377,800	24,000
Academic Support			
General Library	4,532,800	4,558,800	26,000
Encumbrances, Equipment & Renovation	4,973,800	6,962,000	1,988,200

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund (continued)</u>			
2. <u>Expenditures (continued)</u>			
Medical Center			
Hospital Encumbrances, Equipment & Renovation	1,386,000	2,078,300	692,300
Medical Center Encumbrances, Equip- ment & Renovation	2,104,500	3,346,400	1,241,900
Community College System			
Encumbrances, Equipment & Renovation	833,100	1,140,600	307,500
Central Administration			
Encumbrances, Equipment & Renovation	-0-	254,400	<u>254,400</u>
			<u>4,676,900</u>
3. <u>Comments</u> - The income increase in Vocational Education Federal Appropriation will provide additional expenditure authority for the College of Education Vocational Education Bureau Support program in the Department of Business and Office Education. The income increase in Agricultural Cooperative Extension Federal Appropriations will provide additional expenditure authority for the Rural Sociology program. The income increase in Other Gifts and Grants will provide additional expenditure authority for the College of Education Special Education Department. The income increase in Departmental Sales and Services will provide increased expenditure authority for the College of Education School Psychological Services, the College of Engineering Civil and Mechanical Engineering Departments, Agricultural Experiment Station Agronomy Department, Kentucky Geological Survey, and General Library. The fund balances in addition to those estimated and included in the 1984-85 Operating Budget will be utilized within the various sectors to support nonrecurring expenditures for equipment and renovations as well as outstanding encumbrances from 1983-84.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. <u>Restricted Funds</u></b>			
1. Income Estimates	\$20,958,100	\$21,405,800	\$ 447,700
2. Expenditures			
Lexington Campus			
Academic Affairs			
College of Arts and Sciences			
Geology	44,200	48,200	4,000
College of Communications			
Journalism	28,800	30,600	1,800
College of Engineering			
Metallurgical Engineering	63,100	73,100	10,000
Agricultural Experiment Station			
Agricultural Economics	38,800	39,200	400
Plant Pathology	197,400	203,400	6,000
Veterinary Science	203,500	273,500	70,000
Graduate School			
Appalachian Center	-0-	150,800	150,800
Academic Support			
Associate Vice Chancellor			
General Library	76,600	86,600	10,000
Administration			
Vice Chancellor for Administration	-0-	300	300
Student Aid			
Gifts and Grants for Scholarships			
General Scholarships	954,100	1,003,100	49,000
Medical Center			
College of Allied Health			
Physical Therapy	400	1,000	600
College of Dentistry			
Dean's Office	73,600	73,900	300
College of Medicine			
Family Practice	28,500	33,500	5,000
Surgery	122,300	152,300	30,000
McDowell Cancer Network	73,500	86,000	12,500
Academic Affairs	46,100	50,100	4,000
Student Aid	103,800	141,800	38,000
Central Administration			
Development Fund	1,600	56,600	<u>55,000</u>
			<u>447,700</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

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Action taken: Approved  Disapproved  Other

Date: October, 11, 1984.

Office of the President  
October 16, 1984

PR 30

Members, Executive Committee, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky (March 1983) be received at this time for preliminary consideration and, at the next regular meeting of the Board of Trustees, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

Amendment #1 - Change Part VII.A.4, third paragraph, to read:

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the University Senate, the faculty of each college shall establish its own rules, including a committee or council structure necessary for the performance of the faculty's functions. After approval of these by the appropriate chancellor, copies of the rules of the faculty and a description of its committee structure shall be made available to its members and [a copy] copies filed with the secretary of the University Senate and the Senate Council Office.

Rationale: This amendment is related to a memorandum from President Singletary to Academic Vice Presidents, Deans, Directors, and Chairmen dated April 2, 1974, on the subject of establishing rules of procedure and structures of committees and/or councils in educational units. Since the date of this memorandum, documents dealing with rules of procedure and organizational structures for educational units have been forwarded through regular approval channels to the Office of the President for final approval. In view of the reorganization of the University of Kentucky effective July 1, 1982, this delegation of authority to the appropriate chancellor for final approval of such documents is consistent with placing responsibility for operating decisions closer to the educational units of the University.

Amendment #2 - Change Part VII.A.5, second paragraph, first part, to read:

Within the limits established by the regulations of the Board of Trustees, the policies and rules of the University Senate, and the policies and rules of the faculty of the college of which it is a unit, the faculty of a school shall determine the educational policies of the school. It shall be responsible for functions and duties assigned to it by the faculty of



the college. For these purposes, it shall establish its own rules and determine its own committee structure. After approval of these by the dean of the college and the chancellor, copies of the rules and a description of its committee structure shall be made available to its members and a copy filed with the college of which it is a unit. ...

Rationale: Same as that for Amendment #1.

Amendment #3 - Change Part VII.A.6, second paragraph, first part, to read:

The departmental faculty has jurisdiction[, with the approval of the dean and the appropriate chancellor or chancellor's designee,] over matters concerning its internal educational policies, insofar as these do not conflict with those of other departments or with the rules of the University Senate or the faculties of the school or college of which the department is a part. It should hold regularly scheduled meetings and is authorized, with the approval of the dean of the college and the appropriate chancellor, to establish rules for procedure and a committee structure to deal with matters over which it has jurisdiction, copies being filed with the secretary (secretaries) of the school and/or college of which the department is a member. ...

Rationale: Same as that for Amendment #1.

Amendment #4 - Change Part VII.A.8.a, third paragraph, to read:

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System, the faculty of each community college shall establish its own rules, including an organizational structure necessary for the performance of the faculty's functions. After approval of these by the Chancellor for the Community College System, copies of the rules of the faculty and a description of the faculty's committee structure shall be made available to faculty members and a copy filed with the Office of the Chancellor for the Community College System.

Rationale: Same as that for Amendment #1.

Amendment #5 - Change Part VII.A.8.b, second paragraph, to read:

The rules of the Faculty Assembly of a community college shall be consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System and shall be adequate to allow the Assembly to perform its responsibilities. Copies of the rules shall be submitted to the director of the college, the Community College Council, and the Chancellor for the Community College System for review and approval before being made operational.

Rationale: Same as that for Amendment #1.

Amendment #6 - Change Part VII.A.8.c, second paragraph, first part, to read:

The division faculty[, with the approval of the director and the Chancellor for the Community College System,] has the responsibility for internal policies of the division, insofar as these policies do not conflict with those of other divisions, with the rules of the faculty of the college of which it is a part, or with the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized, with the approval of the director of the college and the Chancellor for the Community College System, to establish rules for procedure and a committee structure to deal with matters for which it has responsibility.

Rationale: Same as that for Amendment #1.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 4A

Members, Executive Committee, Board of Trustees:

AMENDMENT OF THE COMMUNITY COLLEGE CODE OF STUDENT CONDUCT

Recommendation: that the Board of Trustees approve the following changes in the Community College Code of Student Conduct, effective October 16, 1984: the suggested revision pertaining to sexual harassment in Article II - Community College Rights of Students, and the revisions throughout the document formulated to reflect the administrative reorganization, to remove references to gender, and to make editorial changes in the interest of clarity and readability.

Background: Under Part XI, B, of the Governing Regulations, only the Board of Trustees may amend the Community College Code of Student Conduct. Responsibility for proposing revisions to the Community College Code of Student Conduct is delegated to the Chancellor for the Community College System. The Chancellor accepts and reviews recommendations from students, faculty, and administrators regarding revisions and forwards them to the President for presentation to the Board of Trustees for its consideration.

The proposed revisions have been reviewed and approved by the Chancellor and were approved by the President, who concurs and recommends approval by the Board.

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Action: Approved  Disapproved  Other

Date: October 16, 1984

Material to be added is underlined. Material to be deleted is in brackets.

## ARTICLE I - THE COMMUNITY COLLEGE JUDICIAL SYSTEM

Page 3

### 1.1 Authority of the President of the University

Pursuant to the provisions of K.R.S. 164.200 and K.R.S. 164.595, the Board of Trustees is responsible for student discipline. The Board hereby delegates this responsibility to the President of the University. The President may delegate certain areas of this responsibility to any other members of the administrative staff of the University.

Page 5

1.34

The Community College cannot accept remand of students charged or convicted of violations of federal, state, or local law for the purpose of imposing disciplinary [punishment] sanction. However, a Community College representative may accept court appointment of probation of a student to [his] the representative's care and [impose such] may impose appropriate requirements [as he sees fit]. If such student violates these requirements, [he] the student must be returned to court for such further proceedings as the court shall determine, but shall not be subject to Community College disciplinary [punishment] sanction.

Page 5

1.411

When the Director, after investigation into an alleged violation of the disciplinary rules, believes a student has committed a disciplinary offense defined in Section 1.2 or 5.11, [he] the Director shall notify [the student that he is charged] and charge the student with said offense. Thereafter, [he] the Director may counsel with the student and outline proposed disciplinary [punishment] sanctions and/or counseling. The counseling session is strictly confidential and does not begin until the student admits guilt to the charges [placed against him]. Information obtained from the student during a counseling session is inadmissible in any judicial proceeding of the Community College. Admissions of guilt, voluntarily made after notice or rights prior to such counseling session, are admissible in judicial proceedings if the student withdraws from the counseling process or refuses to comply with the conditions of the counseling process.

Page 5

1.412

[In the preliminary conference the accused shall enjoy the right to have the assistance of an advisor of his choice and shall be informed of this right.] The accused shall enjoy the right to choose an advisor to assist during all preliminary conferences and judicial hearings and shall be informed of this right. However, the student is not entitled to an advisor during any counseling session except at the request of the Director.

Page 5

1.413

If the Director and the student are unable to resolve the matter to their mutual satisfaction in the counseling process, the Director shall forward the reports and evidence concerning the case to the Community College Judicial Board for evaluation and possible prosecution. The Director or [his] the Director's designee is thereafter concerned with keeping the records of the Community College Judicial Board and Appeals Board and in aiding the student to comply with any [punishment] sanction decreed by either Board.

Page 6

1.414

Within the rights of the student at a University of Kentucky Community College, The Director may contact the parents, or other persons as [he] the Director deems appropriate in matters of discipline only if the student is under eighteen years of age or consents.

Page 6

1.415

All student grievances involving rights stated herein shall be reported to the Director or [his] the Director's designee within 30 days of their occurrence. Grievances reported after this period or which otherwise come to the attention of the Director or [his] the Director's designee may be acted upon according to [his] the Director's determination of the circumstances.

Page 6

1.416

The Director or [his] the Director's designee shall investigate each student grievance to determine whether it contains merit.

- a. If [he] the Director decides that it does, [he] the Director shall use moral suasion, negotiation, personal appeal, and the prestige of [his] the Director's office to settle the case to [his] the Director's satisfaction and that of the student.
- b. When [he] the Director is unable to satisfy the grievance to the satisfaction of the student or when [he] the Director has notified the student that the grievance does not contain merit, the student has the right to appeal within 30 days to the Community College Appeals Board.

Page 6

1.417

The Director shall have broad investigatory powers in non-academic cases and [he] shall receive prompt and full cooperation from students, student organizations, faculty and administrators. [He] The Director may recommend policies or practices that should be terminated, modified or initiated to the Student Government, the Senate Council, division heads, or other appropriate persons.

Page 7

1.422

#### Composition

The J-Board shall consist of ten persons, five male and five female. There shall be two co-chairman, one male and one female. In order for any proceedings to be taken against a student for a violation of Community College rules, at least five members of the J-Board must be present to hear the case, with at least two members of each sex represented. [However, in the event the accused student so requests prior to his or her hearing, he or she is entitled to a board composed of his or her sex only.] Prior to the hearing, the student may request that the Board be comprised of the student's sex only. Any decision of the J-Board must be by a majority of the members of the board hearing the case.

Page 7

1.424 The Appointment Process

The Student Affairs Committee shall screen all applicants for membership and forward those who have been approved to the [Student Government President,] President of the Student Government, who, with the advice and approval of the Director, shall make the final appointment of the J-Board members.

Page 7

1.431 Jurisdiction in Cases of Disciplinary Offenses

- a. Within 30 days after receipt of the decision of the Community College J-Board, any accused student may appeal in writing to the Director for review by the Appeals Board of the J-Board's finding of guilt or for review of the nature or severity of the [punishment] sanction imposed or recommended by the J-Board. The Director shall forward the appeal to the Chairman of the Appeals Board for appropriate action.
- b. If the Director [in his official capacity] believes that the [punishment] sanction imposed by the J-Board was inappropriate for the offense committed, [he] the Director may request a review thereof by the Appeals Board. Such a request shall be directed to the Chairman of the Appeals Board within 30 days of the decision by the J-Board.

Page 8

1.432 Disposition of Cases of Disciplinary Offenses

- b. If the student requests a review of the [punishment] sanction, the Appeals Board shall recommend what it believes to be the appropriate [punishment] sanction to the Director who shall set the [punishment] sanction.

Page 8

1.433 Jurisdiction Over Cases Involving Student Rights Specified in Article II

- a. The Appeals Board shall hear any case referred to it by the Director or [his] the Director's designee and may grant the written appeal of any student to hear a case not referred to it by the Director or [his] the Director's designee. This written appeal must be submitted within 30 days after the Director or [his] the Director's designee communicates both to the Appeals Board and the student that either [he considers] the case is without merit or [is unable to terminate satisfactorily the grievance.] that the grievance cannot be satisfactorily terminated.
- b. Upon receipt of the written appeal, the Chairman of the Appeals Board shall notify the Director or [his] the Director's designee to forward all reports and evidence concerning the case.
- c. The Appeals Board may then, by majority vote, agree to hear the the student's case or allow the Director's or [his] the Director's designee's decision to be final.

1.434 Disposition of Cases of Student Rights

After hearing a case and deciding that a violation of student rights has been proved, the Appeals Board may select from the following remedies:

- a. The Board may request the Director or [his] the Director's designee to admit an applicant denied admission in violation of Section 2.12.
- b. The Board may request the Director or [his] the Director's designee to give an applicant a scholarship, or financial aid, when such have been denied or withdrawn in violation of Section 2.13.
- c. The Board may request the Director or [his] the Director's designee to make available Community College facilities and services on a fair and equitable basis when a violation of Section 2.14 has been proved.
- d. The Board may request the Director to have any papers, property or personal effects, taken from a student's person or premises in violation of Section 2.21, returned [to him] .

1.435 Composition of the Community College Appeals Board

The Community College Appeals Board shall consist of six members, two full-time students and four faculty members. In addition, there shall be two student alternates and two faculty alternates, who shall be selected on the same basis and with the same requirements as the regular members. All members of the Appeals Board and/or their alternates shall, when requested, meet within 48 hours notice from the Chairman.

a. The Student Members

The students must have sophomore standing and must have had one full year residence in the Community College and be in good academic standing; they will be [selected] appointed by the Director or [his] the Director's authorized representative upon recommendation of the local Student Affairs Committee by such methods and procedures [as he shall] deemed appropriate. Their appointments shall be for one-year terms. A student may not serve concurrently on both the Appeals Board and the J-Board.

- c. A quorum for the conduct of business will be five members, not less than three of whom are faculty members. If the Chairman of the Appeals Board deems it necessary, [he] the Chairman may call upon legal counsel in order to assure the proper administration of a case. The Appeals Board shall establish such other procedural rules, not inconsistent with the provisions of this Code, as will effectuate the orderly conduct of its functions.

## 1.45 Temporary Sanctions

In the event that the Director has reasonable cause to believe that a student's presence may result in injury to [himself,] the student, others, or Community College property, or in the event that [he] the student has been charged with a crime so serious as to threaten the welfare of the College community, the Director may impose such temporary sanctions [as he considers] as necessary to protect members of the College community or its property, including exclusion from Community College property. Upon taking such action, the Director shall notify the Community College Appeals Board. The student may appeal the Director's decision to the Community College Appeals Board in writing within 30 days. If requested in the written appeal, the Chairman shall call a meeting of the Board to hear the case within 48 hours, or as soon as practicable thereafter. The Board shall consider the student's academic needs to attend class, use the library, and fulfill [his] other academic responsibilities in making its recommendation. This Board may recommend to the [Vice President of] Chancellor for the Community College System changes or extensions of the Director's action. The [Vice President of] Chancellor for the Community College System then shall determine the sanctions to be imposed. Such temporary sanctions shall be enforced only for such times as the conditions requiring them exist. Accordingly, the circumstances shall be reviewed by the Board whenever there are indications that they have changed and upon an appeal in writing from the student involved.

## 1.463

The [punishment] sanction meted out to a student governed by such a system shall be as designated thereby except that actual suspension or expulsion shall be imposed only by the Director of the College with the approval of the [Vice President] Chancellor for the Community College System.

## 1.51

## Warning

The Director or [his] the Director's authorized representative may notify the student that continuation or repetition of specified conduct may be cause for other disciplinary action. (This action is not appealable.)

## 1.53

## Probation

Exclusion from participation in privileges or extracurricular Community College activities as set forth in the notice of probation for a specified period of time. If a student, while on probation, violates any of the terms set forth in the notice of probation or violates the Code of Student Conduct, as determined after the opportunity for a hearing [he] the student shall be subject to further discipline in the form of undated suspension, suspension, dismissal [,] or expulsion.



Page 12

1.54 Undated Suspension

Exclusion from participation in any and all privileges or extra-curricular Community College activities, except for attendance in classes in which officially enrolled, for a specified period of time. If a student, while on undated suspension, violates any of the terms set forth in the notice of undated suspension, or violates the Code of Student Conduct, as determined after the opportunity for a hearing [he] the student shall be subject to further discipline in the form of suspension, dismissal or expulsion.

Page 12

1.55 Suspension

Forced withdrawal from the Community College for a specified period of time, including exclusion from classes, termination of student status and all related privileges and activities, and exclusion from the campus if set forth in the notice of suspension. If a student, while on suspension, violates any of the terms set forth in the notice of suspension or violates the Code of Student Conduct while on the Community College campus, or in relation to a Community College sponsored activity as determined after the opportunity for a hearing, [he] the student shall be subject to further discipline in the form of dismissal or expulsion.

Page 12

1.56 Dismissal

Exclusion from the Community College campus and termination of student status for an indefinite period. The student may be readmitted to the Community College only with the specified approval of the Director. If a dismissed student violates the Code of Student Conduct while on a Community College campus or in relation to a Community College sponsored activity, or is present on the Community College campus without the written permission of the Director or the [Vice President] Chancellor for the Community College System, as determined after the opportunity for a hearing, [he] the student shall be subject to further discipline in the form of expulsion.

ARTICLE II - COMMUNITY COLLEGE RIGHTS OF STUDENTS

Page 13

2.12 Admission Policy

An applicant for admission to a Community College shall not be discriminated against because of race, color, religion, sex, national origin [age or political beliefs.] age, [political] beliefs, or marital status. Moreover, no otherwise qualified handicapped person will be denied admission solely because of the person's handicap.

Page 13

2.13 Scholarships, Grants-In-Aid, and Financial Aid

An applicant for, or a recipient of, Community College financial aid, a Community College grand-in-aid, or a Community College scholarship, shall not be discriminated against because of race, color, religion, sex, national origin, [age or political beliefs.] age, [political] beliefs or marital status. Moreover, no otherwise qualified handicapped person will be denied financial aid solely because of the person's handicap.

Page 13

2.14 Use of Facilities and Services

The Community College, consistent with University policy, may delineate the purpose for which students may use certain facilities and shall make them available on a fair and equitable basis. However, the Community College may restrict use of its facilities and services when their use would interfere with normal Community College operations.

Page 13

2.21 Person and Property

A student shall be free from searches and seizures of his person and possessions while on Community College property unless said search and seizure is conducted in accordance with state and federal laws. In cases of imminent danger or when there are reasonable grounds upon which to believe it is necessary to conduct a search immediately in order to protect life or property, searches may be conducted in the presence of the Director or another member of [his] the Director's staff acting as [his] the Director's authorized representative.

Page 13

2.22 Disciplinary Records

A student's disciplinary record shall be kept separate and confidential unless [he] the student consents in writing to have it revealed. However, the Director may disclose the student's disciplinary record without [his] the student's consent if legal compulsion or the safety of people or property is involved, or if the information is required by authorized Community College personnel for official use by the University of Kentucky Community College System. In these circumstances, only the information pertinent to the inquiry may be revealed. The Director may also act without the student's consent to have a statement of expulsion or suspension entered on [his] the student's academic record for the time that this disciplinary action would prohibit the student from registering. Written notice of this statement shall be sent to the student.

Page 14

2.23 Counseling Records

A student's counseling record shall be kept separate and confidential unless [he] the student consents in writing to have it revealed. However, counselors at the college may share information if professional consultation is advisable. They may also reveal information to an appropriate authority (the Director or [his] the Director's authorized representative) when there is a clear and imminent danger to life, health, safety, or property. Interpretation of a student's predicted academic achievement based on admission test data may be provided upon request from [his] the student's instructor, advisor, Director, or the Director's authorized representative.

Page 14

2.24 Student Health Records

Student medical, surgical, and mental health records are strictly confidential and are not released to anyone without the student's knowledge and signed authorization. If it becomes apparent in the course of treatment that the student is likely to cause injury to [himself] self or others, pertinent information to this extent may be revealed for protection of the student or others.

Page 14

2.25 Official Student Records

Official student records and information maintained by the Director's Office are treated in a confidential manner. [Discipline] Disciplinary and judicial records and the information contained therein will not be released except with the written authorization of or upon the request of the student.

General information, such as the student's address, phone number, classification, etc. is released at the discretion of the Director or [his] the Director's authorized representative upon receipt of a specific request for such information. General information about a student will not be released if the student has filed, in writing, a request stating the information to be withheld.

Page 15

2.28 Sexual Harassment

A student shall be free of sexual harassment by Community College faculty, staff, supervisors and employees. Sexual harassment -- a form of sexual discrimination -- includes unwelcome sexual advances, requests for sexual favors or other verbal or physical actions of a sexual nature when submission to such conduct is made explicitly or implicitly as a term or condition of the student's status in a course, program or activity; or is used as a basis for academic or other decisions affecting such student; or when such conduct has the purpose or effect of substantially interfering with the student's academic performance or creates an intimidating, hostile or offensive academic environment.

Page 15

2.312 No student shall be compelled to give testimony which might tend to [incriminate him] be self-incriminating, and [his] refusal to do so shall not be considered evidence of [his] guilt.

Page 15

2.313 The accused student shall be informed in writing of the reasons for [his] appearance before any judicial agency with sufficient particularity and in sufficient time to insure an opportunity to prepare for the hearing.

Page 15

2.314 The accused student shall be entitled to receive upon request a copy of all rules and procedures governing the judicial agency at least 72 hours prior to [his] appearance before the agency.

Page 15

2.315 The accused student shall enjoy the right to hear and question [the] all witnesses [against him] and to present witnesses [in his own favor] of the student's choice.

Page 15

2.316 The accused [shall enjoy the right to have the assistance of an advisor of his choice and shall be informed of this right] may choose an advisor to assist in all processes of the Community College Judicial System.

Page 15

2.319 The accused student shall have the right to either an open or closed hearing. All hearings before any judicial agency shall be closed unless the accused student requests that said hearing be open. If a student desires an open hearing [he] the student must file a written request with the Director at least 24 hours prior to the time set for the hearing.

Page 16

2.321 Failure to adhere to the procedures contained in this Code or a violation of the rights of students contained in this Code shall be sufficient basis for reconsideration of the case by the appropriate judicial body.

Page 16

2.4 Right of Free Expression

A student has the right to freedom of expression, which includes the right to picket or demonstrate for a cause, subject to the following conditions:

Page 16

- 2.4
- a. [He] The student must act in an orderly and peaceful manner.
  - b. [He] The student must not in any way interfere with the proper functioning of the Community College.

Page 17

3.131 Any student organization which wishes to use the University of Kentucky Community College name, Community College facilities, or property, or to solicit thereon, must complete the registration form available in the Office of the Director.

Page 17

3.132 [Its registration] The registration of any student organization is at the discretion of the Director or [his] the Director's authorized representative and is dependent upon the completion of the required application form and compliance with the rules and additional criteria [he] the Director may set forth. Such criteria shall be established and published by the Director and made uniform for all similar [type] types of organizations. The Director may limit [its] an organization's registration with its concomitant permission, to a fixed period of time the length to be determined at [his] the Director's discretion.

Page 17

3.133 Student organizations must be registered before they may use Community College facilities or property. The Director, with the approval of the Chancellor for the Community College System, shall promulgate regulations governing the use of Community College facilities by registered student organizations. These regulations shall specify the times when facilities and premises are available for use, the manner in which they may be used, and how they may be reserved.

Page 18

3.142 The definition and scope of the various advisors required above is as follows:

- a. Community College advisor: a member of the staff of the Director's Office or any administrative office under [his] the Director's jurisdiction whose duty shall be to counsel and advise the organization and its officers as to their powers and responsibilities. However, [he] the Community College advisor shall have no authority to prohibit any proposed action by a political organization.
- b. Faculty Advisor: a member of the faculty of the Community College connected with or interested in the organization, who shall offer support and advice to the officers in carrying out the purposes of the organization, and shall [make himself] be available to meet with members of the organization at their regular meetings or at a special meeting called for that purpose. [He] The faculty advisor can use this opportunity to breach the wall between faculty and students and discuss matters of interest in a more informal atmosphere.
- c. Sponsor: a responsible adult interested in the purposes of the organization who shall give guidance and counsel to the officers in carrying out the purposes of the organization and shall [make himself] be available to meet with the members of the organization at their regular meetings.

Page 18

3.25 Knowingly enrolling as an official member or electing, appointing, or retaining as an elected or appointed officer or committee chairman any student on academic probation or under any disciplinary [sanctions] sanction which [prohibit him] prohibits the student from holding such office.

Page 19

3.3 [Punishment] Sanctions

Page 19

3.31 Student organizations are creatures of the Community College and without legal status. Accordingly, no specific procedures for adjudicating the commission of any of the offenses have been established other than that the Director may impose [punishment] sanctions up to and including refusal or cancellation of registration.

Page 19

3.4 Right of Appeal

Within 30 days of receipt of notice that the Director or [his] the Director's authorized representative has refused or cancelled the registration of an organization, the officers or proponents of such organization shall be given the right to appeal in writing to the Director.

The Director may either grant or reinstate the registration in question or refer the matter to the Community College Appeals Board. The Appeals Board shall forward its recommendation to the [Vice President] Chancellor for the Community College System, whose decision shall be final.

#### ARTICLE IV - STATEMENT OF FINANCIAL DELINQUENCY

Page 19

4.11 The Community College expects the student to be financially responsible and not be delinquent in [his] financial [obligation] obligations to the Community College, or to any [Department or Division] department or division of it. Such obligations shall not include fines and penalties assessed against the student by other than Community College officers.

Page 20

4.22 The Business Office shall notify the student of the financial obligation. If the student does not settle the obligation by the date designated on the notice, [he] the student shall, subject to regulations promulgated by the Director be considered delinquent, and that office shall notify the Admissions Officer and/or the Registrar.

Page 20

4.23 After the Admissions Officer and/or the Registrar [has] have been notified that a student is delinquent, [he] they shall not allow the student to register or to transfer credits, or certify [him] the student for graduation, until [he has] they have been notified in writing by the Business Office that the obligation has been settled.

ARTICLE V - INTERFERENCE, COERCION AND DISRUPTION

Page 20

5.1 Statement of Policy

The Community College honors the right of free discussion and expression, peaceful picketing and demonstration, the right to petition and [peaceably to assemble.] peaceful assembly. It is equally clear, however, that in a community of learning, interference, coercion or disruption cannot be tolerated.

- d. Where the Director or [his] the Director's authorized representative gives prior notice that 5.1 is applicable.

Page 21

5.2 Administrative Authority and Responsibility

When it appears that there is a violation of Section 5.11, the [Administration of the Community College] Community College System administration is authorized and directed to take one or more of the following actions:

- f. Take such other actions deemed necessary by the President of the University or by the [Vice President] Chancellor for the Community College System to protect lives and property and provide for the orderly operation of the institution.

Page 21

5.33

If the Appeals Board, by a majority of the members hearing any particular case, finds that an accused student is guilty of a violation of Section 5.1, it shall fix the [punishment therefore] sanction therefor, which shall be either suspension, dismissal, or expulsion, and may include monetary reimbursement. The Board may, however, impose the penalty of undated suspension, if it make a specific finding of substantial mitigating circumstances.

Page 22

5.34

Within 30 days after receipt of the decision of the Appeals Board, a student found guilty may appeal in writing to the [Vice President] Chancellor for the Community College System for a review of the nature or severity of the [punishment] sanction imposed. In the event of an appeal, the [Vice President] Chancellor may decrease the [punishment] sanction imposed by the Appeals Board.

Page 22

5.42

Interim suspension may be imposed only by the President of the University, the Vice President for Administration, the [Vice President] Chancellor for the Community College System, or the Director when any one of these officials has reasonable cause to believe that a student has committed an offense defined in Section 5.1.

Page 22

5.51

The President of the University, or in [his] the President's absence the Vice President for Administration, the Chancellor for the Community College System, the Director or other University official specifically designated by the President, may declare that a state of emergency exists on the Community College campus. The declaration of a state of emergency shall be made when, in the judgment of the President or [his] the President's designee, conditions are such that a clear and present danger exists with regard to the safety of persons or property or when conditions are such as to justify a reasonable belief that disruption is likely to occur.

Page 23

5.52

- b. The President, the Vice President for Administration, the [Vice President] Chancellor for the Community College System, the Director or their authorized representative may impose upon any person such temporary sanctions as are considered necessary to protect members of the Community College community or its property, or to prevent disruption of the Community College.
- c. The President, or, in [his] the President's absence, the [Vice President] Chancellor for the Community College System or the Director, may impose such other temporary regulations, including the suspension of all mass meetings, and other gatherings, as may be reasonably necessary to protect the safety and welfare of persons on the campus, prevent damage to property, and provide for the orderly and efficient operation of the Community College.



Office of the President  
October 16, 1984

PR 5A

Members, Executive Committee, Board of Trustees:

PATENT ASSIGNMENT  
LEXINGTON CAMPUS

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Donald F. Diedrich, Serial No. (to be assigned), filing (to be completed).

Background: Donald F. Diedrich has invented certain improvements in PHLORETIN AND PHLORIZIN DERIVATIVE CONTAINING COMPOUNDS, THEIR METHOD OF PREPARATION AND USE IN INHIBITING SUGAR UPTAKE. He has assigned his right to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 5B

Members, Executive Committee, Board of Trustees:

WILLIAM L. MATTHEWS, JR. PROFESSORSHIP

Recommendation: that the Board of Trustees establish in the College of Law the William L. Matthews, Jr. Professorship at a sum of no less than \$100,000 which shall be funded from multiple gifts to the College specifically for this professorship, or from gifts designated for general use by the College which this resolution authorizes the dean to quasi-endow as funds are received.

Background: William L. Matthews, Jr., deceased, was a member of the faculty of the University of Kentucky for almost forty years. He taught in the College of Law during this period and served the College as Dean for seventeen years. He distinguished himself as a scholar and administrator and was a truly outstanding teacher. He served the University of Kentucky in many different capacities, including long service as faculty representative to the National Collegiate Athletic Association and the Southeastern Conference.

In substantial numbers alumni of the College have expressed interest in doing something special to memorialize the many contributions made by Dean Matthews to the College of Law and the University of Kentucky. The creation of this professorship is recommended for this purpose. The College intends to solicit gifts specifically for the funding of the professorship. And, inasmuch as the Dean receives a number of private gifts for the College that are designated for general use, he is asking that he be permitted to direct at his discretion certain of these gifts into a fund for this professorship as quasi-endowed monies.

Until the professorship is fully funded at a minimum level of \$100,000, interest on monies in the fund would be accrued as principal.

The level of funding for this professorship has been approved by the President as required by the Governing Regulations.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 50

Members, Executive Committee, Board of Trustees:

DOROTHY SALMON PROFESSORSHIP

Recommendation: that the Board of Trustees establish in the College of Law the Dorothy Salmon Professorship at a sum of no less than \$100,000 which shall be funded from miscellaneous gifts to the College designated for the creation of professorships or from gifts designated for general use by the College which this resolution authorizes the dean to quasi-endow as funds are available.

Background: Mr. H. Wendell Cherry, a distinguished graduate of the UK College of Law and a prominent Louisville attorney and businessman, gave the College of Law a challenge gift for the establishment of a professorship. The Cherry Challenge obligated the College to raise \$100,000 in matching money to be used for the creation of a second funded professorship in the College. The College was given until July 1, 1984 to raise the matching money. By resolution dated December 13, 1983, the Board of Trustees authorized the creation of the H. Wendell Cherry Professorship (to be funded by Mr. Cherry's gift) and the William T. Lafferty Professorship (to be funded by the matching contributions).

By July 1, 1984, the College had raised in excess of \$175,000 in matching contributions. Mr. Cherry's gift has been received and the Cherry Professorship funded; the Lafferty Professorship has also been funded at the \$100,000 level. It is recommended that the additional \$75,000 raised through the Cherry Challenge and \$25,000 from other sources be used to create a third professorship at the \$100,000 level in the College of Law. It is recommended that this professorship bear the name of Dorothy Salmon, deceased. Professor Salmon was the first woman to serve on the College of Law faculty, the head librarian for many years, and a true friend to every student who attended the College during her long period of service. All of her professional career was marked by a special and constant dedication to the University and to the Law School.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 6A

Members, Executive Committee, Board of Trustees:

BACHELOR OF HEALTH SCIENCE DEGREE FOR PHYSICIAN ASSISTANTS

Recommendation: that the Board approve for submission to the Council on Higher Education a new baccalaureate degree program, Bachelor of Science in Physician Assistant Education.

Background: Since 1970, a non-degree, two-year certificate program has been offered by the College of Allied Health Professions for clinical associates. This program has achieved a national reputation for excellence and has maintained accreditation by the American Medical Association for the past nine years. Graduates of the program work under the supervision of physicians in the communities and the hospitals. As the competence expectations of these individuals have increased, the predominant position of these programs is clearly four years in duration in senior colleges and universities. Additionally, placements and promotions for graduates are increasingly dependent upon the possession of a degree. Because of this, an increasing number of Kentucky residents who would like to come to the University of Kentucky, are being lost to out-of-state institutions which offer a degree. It is for these reasons that a Bachelor of Health Science degree is critical to the continued success of this program.

This proposed program, which has been approved by the University's academic councils, is consistent with the University's state-wide education mission in that it is a unique program not available elsewhere in the Commonwealth. No new faculty or facilities will be required.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 6B

Members, Executive Committee, Board of Trustees:

APPOINTMENT TO THE COUNCIL OF SUPERVISORS

Recommendation: that approval be given to the appointment of Mr. Henry E. Hershey to the Council of Supervisors, University Hospital, as a Board of Trustees member, for a three-year term, effective October 1, 1984 through September 30, 1987.

Background: Mr. Tracy Farmer's appointment as a Board of Trustees member of the Council of Supervisors has expired, leaving a vacancy in the Board membership of the Council.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

PR 6C

Members, Executive Committee, Board of Trustees:

BOARD APPOINTMENTS, MCDOWELL CANCER NETWORK

Recommendation: that Mr. Ivan Jett, Mrs. Carolyn Kenton, Dr. Ben Roach, and Mrs. Vivian Weil be reappointed as non-faculty members and Dr. John van Nagell as a faculty member of the Board of Directors of the McDowell Cancer Network, effective November 1, 1984 through October 31, 1987.

Background: According to the Bylaws of the McDowell Cancer Network, members of the Board of Directors, both faculty and non-faculty, are appointed for three-year terms by the President and approved by the Board of Trustees of the University of Kentucky. The present terms of the non-faculty members expire on October 31, 1984. Dr. van Nagell will replace Dr. Ward O. Griffen, faculty member, whose resignation from the University created a vacancy on the Network Board.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

# FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the Board of Trustees accept the University of Kentucky financial report for the two months ended August 31, 1984.

Background: As of August 31, 1984, the University of Kentucky had realized income of \$98,166,407, representing 25% of the 1984-85 estimate of \$384,499,357. Expenditures and commitments total \$62,823,884 or 16% of the approved budget of \$384,499,357.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Statement 1

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

	<u>1984-85</u>	<u>1983-84</u>
<u>ASSETS</u>		
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in banks and cash equivalents:		
Trust and agency	\$ 11,366,470	\$ 8,204,462
Cash on deposit with State Treasurer	2,891,341	5,991,012
State appropriations	14,241,685	18,317,455
Trust and Agency	28,499,496	32,512,929
<b>Total cash and cash equivalents</b>	<u>8,186,006</u>	<u>10,771,354</u>
Due from other funds and affiliated corporations	1,215,982	855,865
Investments	12,981,400	11,009,654
Accounts receivable	6,288,762	6,076,433
Inventories	185,514,403	175,643,538
Unrealized income (Statement 3)		
<b>Total unrestricted</b>	<u>242,686,049</u>	<u>236,869,773</u>
<b>Restricted:</b>		
Cash in banks and cash equivalents	16,549,215	15,247,339
Cash on deposit with State Treasurer	1,909,633	2,767,422
<b>Total cash and cash equivalents</b>	<u>18,458,848</u>	<u>18,014,761</u>
Petty cash and travel advances	661,792	687,713
Accrued interest receivable	630,076	703,251
Inventory	113,500	113,500
Investments:		
Short-term (Schedule 1)	29,641,012	30,881,471
Other	12,032	37,989
Unrealized income:		
Restricted (Statement 3)	17,246,215	11,135,226
Tobacco Research Trust Fund (Statement 3)	4,527,412	5,317,951
<b>Total restricted</b>	<u>71,290,887</u>	<u>66,891,862</u>



University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

LIABILITIES AND FUND BALANCES

	1984-85	1983-84
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 7,977,849	\$ 6,886,423
Accounts payable-county funds	106,132	204,475
Accrued expenses disability w/c, unemployment	2,173,882	1,979,165
Accrued Payroll	1,988,905	
Deferred income	535,595	194,202
Accrued vacation	4,243,900	4,016,000
Unencumbered balance, departmental appropriations (Statement 4)	218,285,966	210,157,261
Fund balances:		
Allocated for working capital (Statement 2)	7,373,820	13,432,247
Total unrestricted	<u>242,686,049</u>	<u>236,869,773</u>
<b>Restricted:</b>		
General Fund collections	12,139,567	9,613,523
Payroll deposits and withholdings	7,562,181	7,974,553
Outstanding encumbrances	788,161	575,844
Due to other funds and affiliated corporations	16,126,574	16,416,457
Deferred income	60,260	71,454
Refundable deposits	85,531	79,432
Other liabilities	107,605	92,274
Realized income in excess of estimate/Mining & Mineral Trust Fund	55,036	
Unencumbered balance, departmental appropriations (Statement 4)	15,493,185	11,047,284
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Statement 4)	4,184,061	5,297,775
Fund balances:		
Restricted fund (Statement 2)	12,139,928	13,038,324
Tobacco Research Trust Fund-unallocated funds (Statement 2)	2,186,490	2,684,942
Mining and Minerals Trust Fund	362,508	
Total restricted	<u>71,290,887</u>	<u>66,891,862</u>

Statement I

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

	1984-85	1983-84
<u>ASSETS</u>		
Hospitals and clinics:		
Due from other funds and affiliated corporations	\$ 14,497,193	\$ 12,334,381
Accounts receivable, net of allowance for doubtful account of \$11,562,144 in 1984-85 and \$8,587,759 in 1983-84	17,441,683	17,742,697
Inventories	53,358,218	237,659
Unrealized Income (Statement 3)	53,496,582	53,473,661
Total hospital and clinics	<u>85,793,676</u>	<u>83,788,398</u>
Housing and dining system:		
Cash in bank	1,572,058	1,701,370
Cash on deposit with State Treasurer	1,423,387	1,044,534
Total cash	<u>2,995,445</u>	<u>2,745,904</u>
Accounts receivable	5,947,107	4,493,636
Inventories	790,698	1,509,885
Prepaid expenses	81,894	56,867
Unrealized Income (Statement 3)	19,764,482	18,504,407
Total housing and dining system	<u>29,579,626</u>	<u>27,310,699</u>
Other Auxiliary enterprises:		
Cash in banks	796,799	623,431
Cash on deposit with State Treasurer	777,613	586,367
Total cash	<u>1,574,412</u>	<u>1,209,798</u>
Accounts receivable	213,338	113,595
Inventories	759,974	696,621
Unrealized Income (Statement 3)	5,838,892	4,945,660
Total auxiliary enterprises	<u>6,386,616</u>	<u>6,965,674</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 437,736,854</u>	<u>\$ 421,826,406</u>

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

LIABILITIES AND FUND BALANCES

	<u>1984-85</u>	<u>1983-84</u>
<b>Hospitals and clinics:</b>		
Outstanding encumbrances	\$ 119,405	\$ 349,849
Accounts payable	3,781,192	7,844,158
Accrued vacation	1,057,693	1,057,876
Unexpended balance, departmental appropriation (Statement 4)	59,113,154	59,497,265
Fund balances-allocated for working capital (Statement 2)	<u>21,722,232</u>	<u>15,039,250</u>
<b>Total hospital and clinics</b>	<u>84,793,676</u>	<u>83,788,398</u>
<b>Housing and dining system:</b>		
Accrued expenses	160,486	182,556
Accrued wages	215,000	161,600
Accrued vacation	349,122	215,000
Accounts payable	2,398,225	704,949
Due to other funds and affiliated corporations	97,928	967,674
Refundable deposits	6,025,328	85,153
Deferred income	19,235,419	5,962,207
Unexpended balance, departmental appropriation (Statement 4)	<u>1,098,118</u>	<u>18,043,741</u>
Fund balances:		
Allocated for future operating purposes (Statement 2)	<u>29,579,626</u>	<u>989,819</u>
Total housing and dining system	<u>29,579,626</u>	<u>27,310,699</u>
<b>Other Auxiliary enterprises:</b>		
Outstanding encumbrances	118,931	195,061
Due to other funds and affiliated corporations	911,838	669,191
Unencumbered balance, departmental appropriation (Statement 4)	5,363,688	4,538,341
Fund balances-allocated:		
Working capital	974,346	877,158
Future operating purposes	<u>1,017,813</u>	<u>725,923</u>
Total fund balance (Statement 2)	<u>1,992,159</u>	<u>1,603,081</u>
Total auxiliary enterprises	<u>8,386,616</u>	<u>6,965,674</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$437,736,984</u>	<u>\$421,826,406</u>

Statement I

University of Kentucky  
 Balance Sheet  
 August 31, 1984  
 With Comparative Figures for 1983-84

	<u>1984-85</u>	<u>1983-84</u>
<u>ASSETS</u>		
Loan Funds:		
Cash in bank	\$ 1,857,807	\$ 1,950,944
Notes receivable, net allowance for doubtful accounts of \$2,489,679 in 1984-85 and \$2,522,054 in 1983-84	12,038,194	10,910,794
Due from other funds and affiliated corporations	14,822	2,000
Due from United Student Aid loan fund	2,000	2,000
TOTAL LOAN FUNDS	<u>\$ 13,912,823</u>	<u>\$12,863,738</u>
Endowment Funds:		
Investments	\$ 22,107,002	\$ 9,199,041
TOTAL ENDOWMENT FUNDS	<u>\$ 22,107,002</u>	<u>\$ 9,199,041</u>
Plant Funds:		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 18,696,402	\$ 7,800,951
Capital construction funds	19,882,565	24,369,529
Total cash on deposit with State Treasurer	<u>38,578,967</u>	<u>32,170,120</u>
Construction in progress	11,968,194	18,452,772
Due from other funds and affiliated corporations	8,542,542	7,926,187
Total unexpended plant funds	<u>59,089,703</u>	<u>58,549,079</u>

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

LIABILITIES AND FUND BALANCES

	<u>1984-85</u>	<u>1983-84</u>
<b>Loan Funds:</b>		
Federal sponsored loan funds:		
Federal Government	\$ 9,070,596	\$ 8,541,349
University matching funds	1,182,106	1,086,756
Accumulated interest income	2,068,895	1,820,495
	<u>12,321,597</u>	<u>11,448,600</u>
Total Federal sponsored loan funds		
<b>University loan funds:</b>		
University student aid funds	80,162	83,923
University special student loan funds	1,509,064	1,329,215
United Student Aid loan funds	2,000	2,000
	<u>1,591,226</u>	<u>1,415,138</u>
Total University loan funds		
<b>TOTAL LOAN FUNDS</b>	<u>\$ 13,912,823</u>	<u>\$ 12,863,738</u>
<b>Endowment Funds:</b>		
Fund balances	<u>\$ 22,107,002</u>	<u>\$ 9,199,041</u>
	<u>\$ 22,107,002</u>	<u>\$ 9,199,041</u>
<b>Plant Funds:</b>		
Unexpended:		
Outstanding encumbrances	\$ 7,935,988	\$ 10,458,068
Bonds Payable	13,364,412	
Bond anticipation notes payable	3,500,000	33,400,000
Fund balances allocated for construction-renovation	<u>34,289,303</u>	<u>14,691,011</u>
Total unexpended plant funds	<u>59,089,703</u>	<u>58,549,079</u>

Statement 1

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

	1984-85	1983-84
<b>ASSETS</b>		
<b>Renewal and replacements:</b>		
Cash in bank	\$ 33,080	\$ 46,034
Cash on deposit with Trustees	4,429	180,687
Cash on deposit with State Treasurer	2,487,201	1,942,284
	<u>2,524,710</u>	<u>2,169,005</u>
<b>Accrued interest receivable</b>	27,737	34,113
<b>Investments held by Trustees</b>	1,571,553	1,251,453
	<u>4,124,000</u>	<u>3,454,571</u>
<b>Total renewal and replacements</b>		
<b>Retirement of indebtedness:</b>		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	10,152	281,200
	<u>60,152</u>	<u>331,200</u>
<b>Total cash</b>		
<b>Accrued interest receivable</b>	251,392	209,233
<b>Accounts receivable</b>	115,960	13,012
<b>Investments held by Trustees</b>	11,399,851	10,088,392
<b>Due from other funds and affiliated corporations</b>	95,000	45,000
	<u>11,922,355</u>	<u>10,686,837</u>
<b>Total retirement of indebtedness</b>		
<b>Investment in plant:</b>		
Land	25,046,480	24,425,113
Buildings	310,857,071	290,359,464
Equipment	130,073,463	118,039,850
	<u>465,977,014</u>	<u>432,804,427</u>
<b>Total investment in plant</b>		
<b>TOTAL PLANT FUNDS</b>	<u>\$511,113,072</u>	<u>\$ 505,494,914</u>
<b>Agency Funds:</b>		
Cash in bank	\$ 520,179	\$ 256,263
Accounts receivable		478,325
Accrued interest receivable	149	149
Due from other funds and affiliated corporation		2,049
Investments short-term (Schedule 1)	15,000	15,000
	<u>\$ 535,328</u>	<u>\$ 751,786</u>
<b>TOTAL AGENCY FUNDS</b>		

Statement I

University of Kentucky  
Balance Sheet  
August 31, 1984  
With Comparative Figures for 1983-84

LIABILITIES AND FUND BALANCES

	<u>1984-85</u>	<u>1983-84</u>
Renewal and replacements:		
Outstanding encumbrances	\$ 4,777	\$ 92,663.
Due to other funds and affiliated corporations	221,138	
Fund balances:		
Restricted (Schedule 4)	1,154,000	1,154,000
Unrestricted (Schedule 4)	2,744,085	2,207,908
Total fund balances	<u>3,898,085</u>	<u>3,361,908</u>
Total renewal and replacements	<u>4,124,000</u>	<u>3,454,571</u>
Retirement of indebtedness:		
Bonds payable (Schedule 3)	1,168,000	1,278,000
Fund balances (Schedule 3)	10,754,355	9,408,837
Total retirement of indebtedness	<u>11,922,355</u>	<u>10,686,837</u>
Investment in plant:		
Bonds payable	115,440,588	98,920,000
Notes payable	371,248	556,873
Bonds Anticipation Notes Payable	4,200,000	4,200,000
Lease purchase obligation	4,060,000	4,270,000
Net investment in plant	341,905,178	324,857,554
Total investment in plant	<u>465,977,014</u>	<u>432,804,427</u>
TOTAL PLANT FUNDS	<u>\$541,113,072</u>	<u>\$505,494,914</u>
Agency Funds:		
Outstanding encumbrances	\$ 188,176	\$ 500
Due to other funds and affiliated corporations	347,152	751,286
Funds held in custody for others		
TOTAL AGENCY FUNDS	<u>\$ 535,328</u>	<u>\$ 751,786</u>

Statement 2

University of Kentucky  
Statement of Changes in Fund Balances-Current Funds  
Two Months Ended August 31, 1984

	Unrestricted Allocated	Other Restricted	Tobacco Research Trust Fund	Mining and Minerals Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Other Auxiliary Enterprises
Fund Balances July 1, 1984	\$ 19,666,353	\$ 12,139,928	\$ 2,186,490	\$ 362,508	\$ 21,722,232	\$ 1,098,118	\$ 1,992,159
Transfer between funds							
Adjusted fund balance	19,666,353	12,139,928	2,186,490	362,508	21,722,232	1,098,118	1,992,159
Realized income	75,345,160	1,627,348	276,588	55,036	18,389,016	1,562,238	911,021
Realized income in excess of estimate				(55,036)			
Unrealized income	185,514,403	17,246,215	4,527,412		53,496,582	19,764,482	5,838,892
Total estimated income	260,859,563	18,873,563	4,804,000		71,885,598	21,326,720	6,749,913
Less fund balance appropriated	12,292,533						
Net current estimated income	248,567,030	18,873,563	4,804,000		71,885,598	21,326,720	6,749,913
Total available	268,233,383	31,013,491	6,990,490	362,508	93,607,830	22,424,838	8,742,072
Current year expenditures/encumbrances	42,573,597	3,380,378	619,939		12,772,444	2,091,301	1,386,225
Unencumbered balances, appropriations	218,285,965	15,493,185	4,184,061		59,113,154	19,235,419	5,363,688
Total authorized appropriation	260,859,563	18,873,563	4,804,000		71,885,598	21,326,720	6,749,913
Fund Balances August 31, 1984	\$ 7,373,820	\$ 12,139,928	\$ 2,186,490	\$ 362,508	\$ 21,722,232	\$ 1,098,118	\$ 1,992,159



Statement 3

University of Kentucky  
 Summary of Current Funds Revenues and Appropriated Balances  
 Two Months Ended August 31, 1984 With Comparative Figures for 1983-84  
 1984-85

Fund and Source:	1983-84		1984-85		% Realized to Date
	Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date	
<b>Unrestricted:</b>					
Student fees	\$ 40,883,300	\$ 15,109,968	\$ 36,516,800	\$ 14,182,258	39
Federal appropriations	13,647,425	2,251,118	13,421,790	1,885,692	14
County appropriations	3,035,961	173,386	2,835,251	348,218	12
Endowment and trust fund income	279,200		195,400	70,474	36
Investment income	7,806,200	1,017,126	4,180,000	1,049,248	25
Gifts and grants:					
Affiliated corporations	4,346,200	520,728	4,125,900	97,036	2
Other funds	349,100		349,100		
Other	1,124,749	164,883	1,087,917	85,078	8
Sales, services, other income	6,718,595	1,097,568	8,170,300	1,552,889	19
Subtotal	78,190,730	20,334,777	70,882,458	19,270,893	27
State appropriations	170,376,300	42,717,850	165,376,200	41,344,227	25
Fund balance appropriated	12,292,533	12,292,533	12,375,000	12,375,000	100
Total unrestricted	260,859,563	75,345,160	248,633,658	72,990,120	29
<b>Restricted:</b>					
Tobacco Research Trust Fund:					
Appropriations	4,804,000	276,588	3,490,000	541,241	16
Fund balance appropriated			2,369,192		
Total Tobacco Research Trust Fund	4,804,000	276,588	5,859,192	541,241	9
Mining and Minerals Trust Fund					
Other Restricted	18,873,563	1,627,348	12,676,915	1,629,631	11
55,036					
Hospital and Clinics:					
State appropriations	7,281,800	1,820,450	7,149,492	1,787,373	25
Hospital earned income-net	64,603,798	16,568,566	60,708,476	12,596,934	21
Total Hospital and Clinics	71,885,598	18,389,016	67,857,968	14,384,307	21
Housing and Dining System	21,326,720	1,562,238	20,788,349	2,283,942	11
Other Auxiliary Enterprises	6,749,913	911,021	5,835,275	889,615	15
<b>TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES</b>	<b>\$384,499,337</b>	<b>\$ 98,166,407</b>	<b>\$361,651,357</b>	<b>\$ 92,630,914</b>	<b>26</b>

Statement 4

University of Kentucky  
 Summary of Current Funds Expenditures  
 Two Months Ended August 31, 1984  
 With Comparative Figures for 1983-84

Fund or Function Unrestricted:	1984-85		1983-84	
	Appropriation for the Year	Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date
Department of Instruction and research	\$ 95,930,946	\$ 17,766,713	\$ 93,963,647	\$ 16,041,908
- Summer session-1983	2,300,712	1,721,233	2,016,683	1,648,447
Organized activities	1,247,279	310,929	1,253,980	257,773
Organized research	15,968,612	3,631,007	15,593,686	4,431,058
Libraries	7,172,710	1,926,245	6,204,428	1,336,217
Extension and public service	28,915,250	5,222,740	27,201,462	4,730,324
Maintenance and operation of plant	21,874,233	3,626,111	20,293,147	2,738,455
Renovation, office furniture, etc.	160,909	82,909	49,382	102,576
General administration	7,592,835	1,376,857	7,458,847	1,008,643
Student services	6,855,992	1,144,366	6,436,064	1,067,960
Staff benefits	26,291,037	1,137,089	25,242,413	1,036,038
General institutional services	13,233,270	3,114,454	13,261,504	2,332,801
Service enterprises	4,722,442	1,238,541	4,425,042	1,460,518
Debt service cost	10,407,400		10,116,300	
Student aid	4,057,951	274,403	2,860,711	283,679
Reserves	14,127,985		12,256,362	
Total unrestricted	260,859,563	42,573,597	248,633,658	38,476,397
				15

Statement 4

University of Kentucky  
 Summary of Current Funds Expenditures  
 Two Months Ended August 31, 1984 With Comparative Figures for 1983-84

Fund or Function: Restricted:	1984-85		1983-84		Expended Encumbered to Date
	Appropriation for the Year	Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	
Tobacco Research Trust Fund	4,804,000	619,939	5,859,192	561,417	10
Other Restricted:					
Departments of Instruction and research	\$ 3,061,221	\$ 374,197	\$ 2,835,388	\$ 322,266	11
Organized activities	653,360	219,880	727,199	141,365	19
Organized research	6,566,934	872,557	6,259,414	792,579	13
Libraries	70,811	12,693	75,433	8,497	11
Extension and public service	507,413	65,551	527,148	31,762	6
General institutional service	223,556	14,191	352,082	81,101	24
Student aid	7,790,268	1,821,509	1,920,251	252,261	13
Total other restricted	18,873,563	3,380,378	12,676,915	1,629,631	13
Hospitals and Clinics	71,885,598	12,772,444	67,057,968	8,360,703	12
Housing and Dining System	21,326,720	2,091,301	20,788,349	2,744,608	13
Other Auxillary Enterprises	6,749,913	1,386,225	5,835,275	1,296,934	22
<b>TOTAL CURRENT FUNDS EXPENDITURES</b>	<b>\$384,499,357</b>	<b>\$ 62,823,884</b>	<b>\$361,651,357</b>	<b>\$ 53,069,690</b>	<b>15</b>

Schedule I

University of Kentucky  
 Schedule of Short Term Investments  
 August 31, 1984

Restricted Current Fund:

Government securities:

U.S. Treasury Bills, 10.19%, 11/01/84  
 Federal Farm Credit Bank, 11.09%, 11/13/84  
 Federal Home Loan Bank, 11.11%, 11/19/84  
 Repurchase Agreement, 11.125%, 11/20/84  
 Federal Home Loan Bank, 7.375%, 11/26/84  
 U.S. Treasury Bills, 11.30%, 4/18/85  
 U.S. Treasury Notes, 7.875%, 5/15/86

	Maturity Value	Cost
	2,998,710	2,998,710
	1,509,190	1,509,190
	3,498,825	3,498,825
	2,000,000	2,000,000
	200,000	200,000
	1,249,287	1,249,287
	<u>685,000</u>	<u>685,000</u>
	<u>12,141,012</u>	<u>12,141,012</u>

Total government securities

Certificates of deposit:

First Security National Bank, 10.86%, 9/12/94  
 Bank of Commerce, 11.31%, 10/17/94  
 Bank of Commerce, 11.20%, 11/14/84  
 Bank of Commerce & Trust Co., 9.90%, 12/05/84

	5,000,000	5,000,000
	4,000,000	4,000,000
	5,000,000	5,000,000
	3,500,000	3,500,000
	<u>17,500,000</u>	<u>17,500,000</u>

Total certificates of deposit

TOTAL RESTRICTED FUNDS

	<u>\$ 29,641,012</u>	<u>\$ 29,641,012</u>
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Agency Funds:

Government securities:

U.S. Treasury Notes, 7.875%, 5/15/86

	<u>\$ 15,000</u>	<u>\$ 15,000</u>
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TOTAL AGENCY FUNDS

	<u>\$ 15,000</u>	<u>\$ 15,000</u>
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University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Two Months Ended August 31, 1984

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>Realized</u>
Unrestricted current funds	\$ 10,407,400		
Housing and dining system	2,291,844		
Auxiliary enterprises current funds	<u>116,500</u>		
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<b>\$ 12,815,744</b>	<b>\$</b>	<b>\$</b>
	<u>Appropriated</u>	<u>Expended</u>	<u>Expended</u>
<b>Debt Service:</b>			
Current unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 513,200		
Consolidated Educational Buildings-Series B	484,200		
Consolidated Educational Buildings-Series C	235,200		
Consolidated Educational Buildings-Series D	2,420,500		
Consolidated Educational Buildings-Series E	289,500		
Consolidated Educational Buildings-Series F	526,600		
Consolidated Educational Buildings-Series G	2,303,100		
Consolidated Educational Buildings-Series H	858,600		
Community Colleges Educational Buildings -Series A-E	<u>2,776,300</u>		
Subtotal	10,407,400		
Housing and Dining System Revenue Bonds-Series A-L	<u>2,291,844</u>		
Other Auxiliary Enterprises:			
Alpha Delta Pi	7,791		
Dorms G-L	49,330		
Phi Delta Theta	8,158		
Alpha Tau Omega	7,398		
Housing Bonds of 1960	13,344		
Student Housing Bonds of 1964	<u>30,479</u>		
Subtotal	116,500		
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<b>\$ 12,815,744</b>	<b>\$</b>	<b>\$</b>

Schedule 3

University of Kentucky  
 Schedule of Retirement of Indebtedness Sinking Funds  
 August 31, 1984

	<u>Total</u> <u>Sinking Funds</u>	<u>Bonds</u> <u>Payable</u>	<u>Fund Balances</u>
<b>Bond Issue with Reserves Fully Funded:</b>			
<b>Consolidated Educational Buildings Project and Interest</b>			
Sinking Fund:			
Series A	\$ 629,139		\$ 629,139
Series B	629,663		629,663
Series C	224,472		224,472
Series D	2,346,087		2,346,087
Series E	281,342		281,342
Series F	560,487		560,487
Series G	24		24
Series H	1,217,622		1,217,622
<b>Community College Educational Buildings Project Bond and</b>			
<b>Interest Sinking Fund:</b>			
Series A-E	1,884,688		1,884,688
<b>Housing and Dining System Revenue Bond and Interest</b>			
Sinking Fund	2,404,685		2,404,685
<b>Student Housing Bond and Interest Sinking Fund of 1964</b>			
Sinking Fund	174,834		174,834
<b>Student Housing Bond and Interest Sinking Fund of 1960</b>			
Sinking Fund	126,207		126,207
<b>Dormitory Revenue Bonds of 1956</b>			
Sinking Fund	50,000		50,000
<b>Total Bond Issues with Reserves Fully Funded</b>	<u>10,529,250</u>		<u>10,529,250</u>
<b>Bonds Fully Funded by Escrow Accounts:</b>			
Keeneland and Cooperstown Future Bond and			
Interest Fund	<u>1,393,105</u>	<u>1,168,000</u>	<u>225,105</u>
<b>TOTAL SINKING FUNDS</b>	<u>\$11,922,355</u>	<u>\$ 1,168,000</u>	<u>\$10,754,355</u>

Schedule 4

University of Kentucky  
 Schedule of Renewal and Replacement  
 For the Two Months Ended August 31, 1984

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
Repair and Maintenance Reserves:			
Housing and Dining System	\$ 1,074,000	\$ 434,204	\$ 1,508,204
Student Housing Buildings of 1964	50,000	10,091	60,091
Student Housing Buildings of 1960	30,000	5,423	35,423
Total repair and maintenance reserves	<u>1,154,000</u>	<u>449,718</u>	<u>1,603,718</u>
Renewal and Replacement Funds:			
Housing and Dining Funds		2,078,998	2,078,998
Other Auxiliary Enterprises		215,369	215,369
Total renewal and replacement funds		<u>2,294,367</u>	<u>2,294,367</u>
GRAND TOTAL	<u>\$ 1,154,000</u>	<u>\$ 2,744,085</u>	<u>\$ 3,898,085</u>

Office of the President  
October 16, 1984

FCR 2

Members, Executive Committee, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of obsolete and surplus equipment.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution.

Exhibit I is the disposition of surplus trailer.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984



Exhibit I

UNIVERSITY OF KENTUCKY

SURPLUS TRAILER SALE REQUEST

TYPE OF DISPOSAL: Sealed Bid

REASON: New permanent facilities built to replace temporary trailer at  
Somerset Community College.

ITEM: Reagent Trailer-12' x 60' without axles.

Office of the President  
October 16, 1984

FCR 3

Members, Executive Committee, Board of Trustees:

ACCEPTANCE OF UNIVERSITY OF KENTUCKY AUDITED FINANCIAL  
STATEMENTS AND RELATED REPORTS FOR THE YEAR ENDED  
JUNE 30, 1984

Recommendation: that the Board of Trustees accept the audited financial statements and related reports of the University of Kentucky for the year ended June 30, 1984, including the Management Letter and a Report on Compliance with House Bill 622 submitted by Coopers and Lybrand, Certified Public Accountants.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements and related reports in conjunction with the administration of the University of Kentucky. Separate audited financial statements for the Housing and Dining System and the Tobacco and Health Research Institute are included. These financial statements, audited by Coopers and Lybrand, and their reports concerning Internal Accounting Controls and Procedures and Compliance with House Bill 622 are presented to the Board for acceptance.

Note: The above reports are not included in the Minutes of the meeting but are included in the official files.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

FCR 4

Members, Executive Committee, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF  
UNIVERSITY OF KENTUCKY AFFILIATED CORPORATIONS AND  
OTHER RELATED ORGANIZATIONS FOR THE YEAR ENDED  
JUNE 30, 1984

Recommendation: that the Board of Trustees accept the audited financial statements of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Alumni Association, University of Kentucky Hospital Auxiliary, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., and Health Care Collection Service for the year ended June 30, 1984.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements of the affiliated corporations and other related organizations in conjunction with the administration of the University of Kentucky. These statements have been audited by Coopers and Lybrand, Certified Public Accountants, and are presented to the Board for acceptance.

Note: The above reports are not included in the Minutes of the meeting but are included in the official files.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984

Office of the President  
October 16, 1984

FCR 5

Members, Executive Committee, Board of Trustees:

Pre-Tax Contributions to Employee Health Insurance Plans

Recommendation: That the Board of Trustees authorize the establishment of a health coverage plan pursuant to the regulations of Internal Revenue Service Code Section 125, and approve the attached Plan Policy Document for purposes of implementation.

Background: The University of Kentucky Office of Employee Benefits, assisted by Coopers and Lybrand, conducted a study of employee insurance plans and other benefits to determine feasibility and applicability of establishing voluntary salary-reduction plans under IRS Code Section 125.

Traditionally, employee contributions to employee benefit plans have been made on an after-tax basis. Pre-tax employee contributions to benefit plans can be accomplished through the establishment of a plan which satisfies the requirements of Section 125 of the Internal Revenue Code.

IRS has recently published guidelines which specifically provide for the use of a salary reduction agreement. Under this agreement, when an employee voluntarily chooses to have salary reduced, the employer makes a contribution for the appropriate benefit plan in an amount corresponding to the salary reduction. The contribution is treated for federal and state tax purposes as an employee contribution. The employee is taxed only on the reduced salary, thereby effectively paying the costs of employee-paid benefits with pre-tax dollars.

The Plan Policy Document detailing the University's 125 Plan for employee health insurance plans (required by the IRS guidelines) is attached, with the salary reduction agreement form.

The Plan Policy Document will be submitted to the Internal Revenue Service for review and approval with notification of the University's intention to implement the 125 Plan effective January 1, 1985. Modifications, if any, suggested by the IRS will be incorporated as required in a final Plan Policy Document.

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Action taken: Approved  Disapproved  Other   
Date: October 16, 1984

UNIVERSITY OF KENTUCKY

SECTION 125 PLAN FOR EMPLOYEES

UNIVERSITY OF KENTUCKY

SECTION 125 PLAN FOR EMPLOYEES

THIS PLAN made and entered into this 16 day of October,  
1984, but effective as of January 1, 1985 by the  
University of Kentucky (hereinafter referred to as the  
"University").

W I T N E S S E T H:

WHEREAS, the University desires to establish a plan in order to permit eligible Employees of the University to participate in the University's health benefit programs on a basis which is intended to provide them significant income tax advantages as permitted by Section 125 of the Internal Revenue Code, as amended;

NOW, THEREFORE, to implement and carry out the purposes of said Plan, the University does hereby establish the Plan as follows:

ARTICLE I

DEFINITIONS

- 1.01 UNIVERSITY shall mean University of Kentucky.
- 1.02 EFFECTIVE DATE shall mean January 1, 198<sup>5</sup>.
- 1.03 ELIGIBLE EMPLOYEE shall mean an employee of the University who is eligible to participate in the Medical Insurance Plans sponsored by the University pursuant to Staff Personnel Policy and Procedure, Number 93.0, a copy of which is attached hereto and incorporated herein by reference.
- 1.04 MEMBER shall mean an eligible Employee who is duly enrolled under the Plan.
- 1.05 PLAN ADMINISTRATOR shall mean the University.
- 1.06 PLAN shall mean the University of Kentucky Section 125 Plan for Employees.
- 1.07 PLAN YEAR shall mean the calendar year.

## ARTICLE II

### ELIGIBILITY AND PARTICIPATION

#### 2.01 ELIGIBILITY

Each Eligible Employee may participate in the Plan on the later of the Effective Date of the Plan or his or her date of employment.

#### 2.02 ENROLLMENT

An Eligible Employee who becomes a member in the Plan shall complete an enrollment form as prescribed by the University.

#### 2.03 CHANGE OF MEMBERSHIP

A Member shall be entitled to change, modify or terminate any election made pursuant to Section 3.01 prior to the commencement of a Plan Year to be effective as of the first day of the Plan Year. The election of the Member to reduce his or her salary pursuant to Section 3.01 shall remain in affect for the entire Plan Year except for the following conditions that relate to a change in family status. Such changes shall include marriage, divorce, death of spouse or child, birth or adoption of child, and termination of employment of spouse. The Member shall have a period of 30 days after the occurrence of such event to make a change in election. Such change in election must be consistent with the change in family status.



ARTICLE III

BENEFITS

3.01 HEALTH INSURANCE PREMIUM

Pursuant to Section 2.02, a Member may elect to have his or her salary reduced each month by the amount of the member's portion of the health insurance premium applicable to the coverage selected by the member pursuant to Staff Personnel Policy and Procedure Number 93.0. Any adjustments in the amount of the premium because of changes in the cost of the health insurance premiums or the assistance of the University in the cost of the Member's coverage pursuant to Staff Personnel Policy and Procedure Number 94.0 will be reflected automatically in the amount of salary reduction as of the effective date of the adjustment.

No Employee shall be required to elect to have his or her salary reduced and in lieu of such reduction shall be entitled to his or her full unreduced salary or wages.

Any Member who elects to reduce his or her salary pursuant to this Section 3.01 shall have the entire health insurance premium paid directly by the Employer.

ARTICLE IV

ADMINISTRATION OF PLAN

4.01 PLAN ADMINISTRATOR

The University shall be the Plan Administrator. The University may appoint one or more persons to act as its agent or delegate to aid in carrying out its administrative duties.

4.02 RIGHTS, POWERS AND DUTIES OF THE PLAN ADMINISTRATOR

The Plan Administrator shall have such authority as may be necessary to discharge its responsibilities under the Plan, including the following rights, powers and duties:

- (a) The Plan Administrator shall adopt rules governing its procedures not inconsistent herewith, and shall keep a permanent record of its actions. The Plan Administrator shall administer the Plan uniformly and consistently with respect to persons who are similarly situated.
- (b) The Plan Administrator shall prepare and file such reports as may be required by the Employee Retirement Income Security Act ("ERISA") or otherwise by law from time to time.
- (c) The Plan Administrator shall not take action with respect to any of the benefits provided hereunder which would be discriminatory in favor of those Members or Eligible Employees who are officers, or highly compensated Employees of the University.

- (d) The Plan Administrator shall have the sole responsibility for the administration of the Plan; and, except as herein expressly provided, the Plan Administrator shall have the exclusive right to interpret the provisions of the Plan and to determine any question arising hereunder or in connection with the administration of the Plan, including the remedying of any omission, inconsistency or ambiguity, and its decision or action in respect thereof shall be conclusive and binding upon any and all Members or former Members.
- (e) The Plan Administrator may employ such counsel and agents in such clerical, medical, accounting and other services as it may require in carrying out the provisions of the Plan.

#### 4.03 EXERCISE OF THE PLAN ADMINISTRATOR'S DUTIES

The Plan Administrator shall discharge its duties solely in the interest of Members and former Members:

- (a) for the exclusive purposes of providing benefits to such Member or former Members, and, in the discretion of the Employer, defraying reasonable expenses of Plan administration, and
- (b) with the care, skill, prudence and diligence under the circumstances then prevailing that a prudent man acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

4.04 IDEMNIFICATION OF FIDUCIARIES

The University shall indemnify all officers and Employees of the University assigned fiduciary responsibility under federal law to the extent that such officers or Employees incur loss or damage which may result from such officers' or Employees' duties, exercise of discretion under the Plan, or any other act or omission hereunder.

Such duties, exercises of discretion, acts or omissions will not be indemnified by the University in the event that such loss or damage is judicially determined or agreed by the officers or employees to be due to their respective gross negligence or willful misconduct.

4.05 COMPENSATION

Any individual acting as agent of the Plan Administrator shall serve without compensation for services as such, but all proper expenses incurred by the individual incident to the functioning of the Plan shall be paid by the University.

ARTICLE V

CLAIMS PROCEDURES

5.01 INFORMAL REVIEW

Any Member or former Member, who wishes to request an informal review of a claim for benefits or who wishes an explanation of a benefit or its denial may direct to the Plan Administrator a written request for an informal review. The Plan Administrator shall respond to the request by issuing a notice to the claimant as soon as possible but in no event later than thirty (30) days from the date of the request. This notice furnished by the Plan Administrator shall be written in a manner calculated to be understood by the claimant and shall include the following:

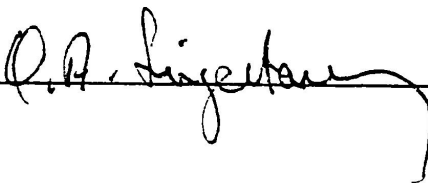
- (a) The specific reason or reasons for any denial of benefits;
- (b) The specific Plan provisions on which any denial is based;
- (c) A description of any further material or information which is necessary for the claimant to perfect his claim and an explanation of why the material or information is needed; and
- (d) An explanation of the Plan's formal claim review procedure.

5.02 FORMAL REVIEW

In the event that the notice concerning the informal review is insufficient to satisfy the claimant, the claimant or his duly authorized representative shall submit to the Plan Administrator a written request for a hearing. The

Plan Administrator shall hold a full and fair hearing on the issue within 30 days following receipt of the claimant's request for a hearing. The Plan Administrator shall then furnish a written decision of the hearing which shall include specific reasons for the decision, and shall be written in a manner calculated to be understood by the claimant and contain specific reference to the pertinent Plan provisions on which the decision is based.

University of Kentucky

by: 

INTERNAL USE ONLY

B/W PAY DATE \_\_\_\_\_  
MO. PAY DATE \_\_\_\_\_

NAME \_\_\_\_\_  
SSN \_\_\_\_\_

UNIVERSITY OF KENTUCKY  
SALARY REDUCTION AUTHORIZATION FORM  
FOR  
SECTION 125 PLAN FOR EMPLOYEES

Effective \_\_\_\_\_, \_\_\_\_\_, I hereby elect to participate in the University of Kentucky Section 125 Plan for Employees. I agree to have my monthly health insurance premiums under the University's plans in which I am enrolled, paid directly by the University. I understand that this authorizes the University to reduce my biweekly or monthly basic salary by a dollar amount equal to the amount of my health insurance premiums.

I understand that this agreement shall be legally binding and irrevocable. I further understand that according to the regulations of Internal Revenue Code Section 125, I may not alter this election during the Plan Year and that this agreement will remain in effect for the entire calendar year except for the following conditions that relate to a change in my family status. These conditions are as follows:

1. Marriage
2. Divorce
3. Death of spouse or child
4. Birth or adoption of child
5. Termination of spouse's employment

I understand that this agreement can be revoked under the above conditions only to make a new election which is consistent with my change of family status. It is understood that if I were to cancel for any other reason, I will forfeit any unused salary reduction for the remainder of this calendar year.

It is also understood that this agreement may reduce the maximum statutory exclusion allowance under Internal Revenue Code Sections 403(b)(7) and 457.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_.

\_\_\_\_\_  
EMPLOYEE

FCR 6

Members, Executive Committee, Board of Trustees:

Hospital Receivables

Recommendation: 1. That the reporting practices of University Hospital with respect to outstanding accounts receivable be modified so that, in the future, the calculation of the number of average per day revenues reported in outstanding accounts receivable is segregated into two components; first, those receivables that represent charges for patients still in the hospital and; secondly, the receivable balance due from discharged patients being billed and whose accounts have not been referred to a collection agency.

2. That the appropriate administrative officers of the University be authorized to cause the charge off against the allowance for doubtful accounts, uncollected hospital accounts receivable when same are referred for collection to Health Care Collection Service, Inc.

Background: The University's external auditors, Coopers and Lybrand, have recommended that the reporting practices of University Hospital with respect to outstanding accounts receivable be amended to conform to standards generally in effect in the national hospital industry. The general industry standard involves a procedure whereby the calculation of the number of average per day revenues reflected in the report of outstanding accounts receivable is derived by dividing accounts receivable, reduced by receivables attributable to patients who have not been discharged and accounts which have been referred to collection agencies, by average daily patient revenues. In the past, University Hospital had not reduced gross revenues as indicated above before making the calculations; thus it was difficult to compare performance standards to those prevailing in the industry. The recommended change would facilitate comparison. Similarly the write-off of uncollected accounts against the allowance for doubtful accounts when accounts are referred to a collection agency accords with industry practice.

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Action taken: Approved  Disapproved  Other

Date: October 16, 1984