

MINUTES

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
October 29, 2003
18th Floor Patterson Office Tower**

Minutes

Accompanying items:

President's Report and Action Items

- PR 1 President's Report to the Trustees
PR 2 Personnel Actions (Consent)
PR 3 Amend the Statute Which Mandates When the Board Shall Meet and How the Board Shall Maintain the Record of the Board Meetings

Academic Affairs Committee Report

- AACR 1 Doctor of Philosophy Degree in Theatre Practice
AACR 2 Change of Organizational Structure of the Center on Drug and Alcohol Research
AACR 3 Change in Name of an Educational Unit

Finance Committee Report

- FCR 1 Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 2003
FCR 2 Disposition of Property – Bequest to College of Agriculture
FCR 3 Kentucky Medical Services Foundation Gift of Property
FCR 4 Approval of the Terms of an Agreement with the Kentucky Medical Services Foundation (KMSF) Regarding Real Property at 138 Leader Avenue
FCR 5 Authorization to Sell Property in Sharonville, Ohio
FCR 6 Estate of Darlene F. Duncan
FCR 7 Fluent, Inc., Gift-in-Kind
FCR 8 Toyota Motor Manufacturing, Kentucky, Inc. Gift-in-Kind
FCR 9 Charles D. and Jeanette D. Bennett Gift and Pledge
FCR 10 Gifts and Pledges to Robert G. Lawson and William H. Fortune Professorships Endowment
FCR 11 Edith D. Gardner Charitable Foundation, Inc. Gift
FCR 12 Kentucky Medical Services Foundation Gift
FCR 13 Approval of 2002-03 Endowment Match Program Annual Report
FCR 14 Design of a Basketball Practice Facility Memorial Coliseum
FCR 15 Multi-Purpose Facility Addition to the Nutter Field House

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Wednesday, October 29, 2003.

The Board of Trustees of the University of Kentucky met at 1:00 pm (Lexington time) on
Wednesday, October 29, 2003 in the Board Room on the 18th Floor of Patterson Office Tower.

Mr. Steven S. Reed, Chair, said that it would be quite appropriate to have a moment of
silence out of respect for President Emeritus Otis A. Singletary and former Governor Edward T.
Breathitt, who recently died.

A. Meeting Opened

Mr. Steven S. Reed, Chair, called the meeting to order at 1:12 p.m., and Ms. Elaine
Wilson gave the invocation.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: James F.
Hardymon, Davy Jones, Michael Kennedy, Pamela R. May, Robert P. Meriwether, Billy Joe
Miles, Phillip Patton, Elissa Plattner, Steven S. Reed (Chair), Frank Shoop, Marian Moore Sims,
Alice Stevens Sparks, Myra Leigh Tobin, Rachel Watts, JoEtta Y. Wickliffe, Billy B.
Wilcoxson, Russ Williams, Elaine A. Wilson, and Barbara S. Young. Absent from the meeting
was Marianne Smith Edge. The University administration was represented by President Lee T.
Todd, Jr., Provost Michael Nietzel, Executive Vice President for Finance and Administration
Dick Siemer, Executive Vice President for Research Wendy Baldwin, Executive Vice President
of Health Affairs Michael Karpf and General Counsel Paul C. Van Booven.

Members of the various news media were also in attendance. A quorum being present,
the Chair declared the meeting officially open for the conduct of business at 1:16 p.m.

C. Consent Agenda

Mr. Reed asked for a motion of approval for the Minutes and PR 2 on the consent
agenda. On motion made by Ms. Wilson, seconded by Ms. Sims and carried without dissent, the
following items on the consent agenda were approved:

Minutes – September 16, 2003

PR 2 – Personnel Actions

D. President's Report to the Board of Trustees (PR 1)

President Todd called attention to PR 1. He stated that there are always great things
throughout PR 1 and called attention to the following items in the report:

Campaign Success Leads to \$1 Billion Goal – President Todd recognized Vice President
Terry Mobley and his staff for helping complete the \$600M fundraising effort, achieving
a total of \$618M. He also commended Provost Nietzel and all the deans in the Medical

Center for the amount of work that they put into the campaign. This was the first time that the University had gone to a major capital campaign, and it was executed in a time when the national market place was tough. To exceed that goal was very important to the University.

President Todd reported that an announcement was made at the recent Fellows Dinner to increase the goal to \$1 billion dollars and to extend the campaign for another four years. He said that work would begin immediately to take advantage of a very gracious donor base that the University has found and others that it will find.

UK Launches System to Gather Information for Resource Planning – President Todd announced that this project has been kicked off for the enterprise resource planning for the University. It is a new computing capability to help integrate student and financial records. This system has been discussed for a few years, and Dr. Phyllis Nash has been asked to take the leadership of this project. This will not be an easy task because people will be asked to change the University's business processes. It will take some negotiation and good technology work, and there will be a significant staff associated with the project. This is a very important project and something the University desperately needs.

President Todd introduced Dr. Doug Boyd, the new Chief of Staff, and said he is glad to have Dr. Boyd fill Dr. Nash's position for this important role.

Student Population, Now over 35,000, Most Academically Talented in History – President Todd emphasized the quality of the freshman class, noting 318 Kentucky Governor's Scholars and Governor's School for the Arts students, 110 Legacy students (a total of 289 in the two years of this program), 145 valedictorians, 45 National Merit Scholars, and two National Achievement Scholars. Last year, the University ranked 15th in the nation with National Merit Finalists.

President Todd reported that there is a 33% increase in African-American freshmen. He said that this increase is a testament to the good work of Don Witt, Director of Undergraduate Admission and University Registrar, and his staff.

UK Begins Second-cycle Work on NCAA Self-study and Athletics Certification – President Todd reported that the University has begun the second-cycle of its National Collegiate Athletic Association (NCAA) Self-study. He noted that this is a separate self-study from the Southern Association of Colleges and Schools (SACS) review that was completed for the University last year. He said that there would be discussions with the NCAA about making this particular Self-study coincide with the SACS Self-study because it would save the staff of the University a lot of time.

President Todd reported that there are a substantial number of committees involved in the Self-study, and Vice President Connie Ray will chair this effort for the University. People outside of athletics will be involved and asked to look at athletics. He said that he was pleased to report that Barbara Young will be the Trustee representative for this Self-study.

City Initiates First Employer-assisted Housing Program – President Todd reported the administration’s interest in making money available to help subsidize mortgages for faculty and staff who would locate in the college-town area. The University will provide up to \$15,000 for faculty and staff, and they can lock in mortgage rates with a Fannie Mae loan.

Fannie Mae, Samaritan Hospital, and Lexington-Fayette Urban County Government representatives attended the recent press conference. The Samaritan Hospital and the City have similar programs.

President Todd reminded the Board of UK retiree, Dick Barbella, who won the lottery and contributed \$1M to an endowment that allowed the President of the University to use at his discretion. The University will be using the proceeds from this endowment to help provide these mortgages.

President Todd Presents First Annual Awards for Diversity – President Todd reported that the First Annual Awards for Diversity was a recommendation from the Commission on Diversity. The reception to announce the awards was very well received and nearly 300 people attended the event at the Boone Center. Outstanding winners were Joseph Lambert, Chief Justice of the Kentucky Supreme Court, and Joseph Kelly, Executive Vice President and Chief Operating Officer of Columbia Gas of Kentucky. Both gentlemen have done some great things. Don Witt, UK Director of Undergraduate Admission and University Registrar, and Laretta Byars, UK Associate Provost for Multicultural and Academic Affairs, were also among the recipients as well as others listed in PR 1.

Gatton College Partners with Greek University to Offer MBA in Athens – President Todd reported that the Gatton College is partnering with a Greek University to offer a MBA in Athens. He said that he would probably be able to announce that UK is partnering with a university in China very soon. This partnership is something that Dean Devanathan Sudharshan has promoted. SACS commented that this type of program change is one of the best-prepared significant changes that they have seen offered. President Todd congratulated Provost Nietzel on moving quickly with the program. UK has to get more international programs, and this is a great step in that direction.

President Todd said that concluded his report. He noted that PR 2 was on the consent agenda and said that a decision had been made to table PR 3 until a later date.

E. Doctor of Philosophy Degree in Theatre Practice (AACR 1)

Alice Sparks, Chair of the Academic Affairs Committee, reported that the Committee met that morning, and there were three items to recommend to the Board. The first item is the establishment of a Doctor of Philosophy Degree in Theatre Practice. The Dean, the Department Head and Provost were at the meeting and explained the recommendation in great detail. They talked about what the degree would mean to the University and said that UK would be the first

university in the state to offer it. She recommended approval of AACR 1. Ms. Sims seconded the motion, and it carried without dissent. (See AACR 1 at the end of the Minutes.)

F. Change of Organizational Structure of the Center on Drug and Alcohol Research (AACR 2)

Ms. Sparks reported that AACR 2 is the Change of Organizational Structure of the Center on Drug and Alcohol Research. She said that the Center would transfer to the College of Medicine, and the recommendation has gone through the usual procedures. She moved approval of AACR 2. Ms. Wilson seconded the motion, and it carried without dissent. (See AACR 2 at the end of the Minutes.)

G. Change in Name of an Educational Unit (AACR 3)

Ms. Sparks reported that AACR 3 is the Change in Name of an Educational Unit, the Department of Ophthalmology to the Department of Ophthalmology and Visual Sciences. This recommendation has gone through the usual procedures and has met with approval. She moved approval of AACR 3. Ms. Wickliffe seconded the motion, and it carried without dissent. (See AACR 3 at the end of the Minutes.)

Ms. Sparks informed the Board that the Committee discussed some upcoming challenges and had some reports on matters that it will be dealing with on a future agenda. One item will be the report from the Lexington Community College. Another item will be for the Committee to look at the retention and graduation rates and hopefully, see a big increase in those rates.

Mr. Reed thanked Ms. Sparks for her report and called on Mr. Hardymon for the Finance Committee report.

H. Acceptance of Interim Financial Report for the University of Kentucky for Three Months Ended September 30, 2003 (FCR 1)

Mr. Hardymon, Chair of the Finance Committee, reported that the Committee met that morning and had a quorum. There were 15 items on the Committee's agenda, and the Board has received material on these items. He noted that the items do require Board action.

He said that FCR 1 is the Interim Financial Report for the three months ending September 30, 2003, and this report is being recommended for the Board's approval. He mentioned some of the details in the report. The realized income is now 31% of the \$1.4 billion budget, and the expenditure is 25%. He referred to the balances on page one of the report and said that the key items the Board needs to look at are the cash and cash equivalents that is up 23% over last year. That is driven by an increase in endowment funds mainly and certainly does show that the structure is moving in a positive direction. He said that the University is on good, sound footing.

Mr. Hardymon reported that the revenues are up 3%, and at this time of year, revenues do get ahead of expenses because of the tuition. He said that the expenditures have decreased

\$7.7M versus last year. The main reason for that decrease is the renovations and capital equipment have slowed down. He pointed out that the debt service expenditures are down because of some refunding in June.

Mr. Hardymon reiterated that this compares very favorably with last year. The 31% in realized income is exactly where the University was at the end of three months last year. At the end of September 2003, the University's expenditure was 25%, and it was 27% at this time last year. The capital expenditures and some of the debt service items have held to 25%. It is a very sound financial report, and the Committee recommends approval. On motion made by Mr. Hardymon, seconded by Ms. Sparks and carried without dissent, FCR 1 was approved. (See FCR 1 at the end of the Minutes.)

I. Disposition of Property – Bequest to College of Agriculture (FCR 2)

Mr. Hardymon said that FCR 2 is a recommendation to authorize the legal instruments to sell a partial of land. This is a piece of property containing approximately 330 acres near Somerset, Kentucky. The property was a bequest to the College of Agriculture by the Simpson family. It is known as the Ava Christine Simpson property. The University has had the appropriate appraisals made, and the property appraises around \$370,000. The University will sell this property, and it will be moving pretty quickly. From the sale of the property, the University will be required to establish the James W. and Ava Christine Simpson Endowment Fund for the benefit of the Department of Forestry. He said that the Committee recommends approval of FCR 2. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 2 at the end of the Minutes).

J. Kentucky Medical Services Foundation Gift of Property (FCR 3)

Mr. Hardymon said that FCR 3 is asking the Board to accept a gift of real property. It is property located at South Limestone Street in Lexington. It is a gift from the Kentucky Medical Services Foundation. The property has been appraised at \$1.425 million. It is a one-story office building consisting of 15,658 square feet on a 0.7 acre lot. The property will be used as an education center for UK medical students. The University will maintain and operate the facility. The Committee recommends acceptance of the gift. Ms. Tobin seconded the motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

K. Approval of the Terms of an Agreement with the Kentucky Medical Services Foundation (KMSF) Regarding Real Property at 138 Leader Avenue (FCR 4)

Mr. Hardymon said that the important part of FCR 4 is for the Board to approve the terms and an agreement. The agreement is with the Kentucky Medical Services Foundation, and it regards some property at 138 Leader Avenue. He provided some background information on the property. He explained that the University needed the property where the former KMSF building was located for the Biomedical/Biological Sciences Research Building that is now under construction. The University made an agreement with KMSF that they would move to a piece of property owned by the University and leased at a nominal rate to KMSF. The appraised value is \$3.2M. If the property consisting of 30,000 square feet were ever needed by the

University, the University would be required to pay KMSF for the construction costs. Mr. Hardymon added that the University participated in the construction oversight, and although it does not expect to have the property back, it would be used more if the University did get it back. He said that the Committee recommends approval of the terms of this agreement. Ms. Sparks seconded the motion, and it carried without dissent. (See FCR 4 at the end of the Minutes.)

L. Authorization to Sell Property in Sharonville, Ohio (FCR 5)

Mr. Hardymon said that the last item dealing with property is FCR 5. This is a piece of property in Sharonville, Ohio that is deemed surplus as far as the University is concerned. The Board is asked to authorize the Executive Vice President for Finance and Administration to sell the property. Mr. Hardymon noted that the University had the property appraised, and it appraised around \$225,000. There is a building on the property; however, it is not a useable building for a future owner. He explained that the University had the property up for bid once but did not receive the appraised amount. The University turned that bid down and did get the \$225,000 appraised value. The net proceeds from the sale of this property will go to the Tracy Farmer Center for the Environment. Mr. and Mrs. Farmer previously donated the property to the University. The Committee recommends approval to sell the property. Mr. Kennedy seconded the motion, and it carried without dissent. (See FCR 5 at the end of the Minutes.)

M. Gifts and Pledges (FCR 6 through 12)

Mr. Hardymon said that with Chairman Reed's permission he would go through FCR 6 through 12 and have one approval for the items.

FCR 6 is entitled the Estate of Darlene F. Duncan. The Board is being asked to accept a distribution of \$537,783 from the estate of Darlene Duncan to create and endow the Joseph G. Duncan Endowed Scholarship Fund in the College of Agriculture. Mr. and Mrs. Duncan were long-time friends of the College of Agriculture, and Mr. Duncan was an employee of the Agricultural Communications Department of the College. They recognized the importance of support for undergraduate students in the College and wanted to leave a legacy reflecting their desire. Mrs. Duncan died in 2002, and Mr. Duncan died in 1997. They lived in Lexington.

FCR 7 is entitled Fluent, Inc., Gift-in-kind. This is a gift-in-kind of software. The appraised value is \$1,160,000 from this New Hampshire firm to the Department of Mechanical Engineering in the College of Engineering. This software will assist Dr. Huang, a Department of Mechanical Engineering Professor and Director of Graduate Studies, with his research in fluid dynamics. The software will allow Dr. Huang to more quickly look at prototypes and simulate the performance of the proposed designs, allowing them to improve the design quality while reducing cost and increasing the speed. This is a very nice gift and looks like it can be put to good use.

FCR 8 is asking the Board to accept a gift-in-kind of research and manufacturing equipment valued at \$243,560 from Toyota of Georgetown, Kentucky to the Center for Manufacturing in the College of Engineering. This gift will allow the Center for Manufacturing

to use the company's patented optical image enhancement technique for surface inspection of sheet metal, glass, plastics, and tooling. This continues a longstanding and mutually beneficial partnership with Toyota and the College of Engineering.

FCR 9 is entitled Charles D. and Jeanette D. Bennett Gift and Pledge. This is a gift of \$20,000 and a pledge of \$230,000. The pledge is to be paid in 2004. The gift and pledge are from Charles D. and Jeanette D. Bennett of Hardinsburg, Kentucky, to establish the Provost Discretionary Fund to enhance program excellence. Mr. Bennett, a 1946 graduate of the College of Agriculture, is a banker and a businessman. He and his wife are generous supporters of the University and hold UK Fellows recognition. This \$250,000 reflects their great appreciation for the University and their desire to support its advancement. The funds can be used at the Provost's discretion.

FCR 10 is entitled Gifts and Pledges to the Robert G. Lawson and William H. Fortune Professorships Endowment. This is for gifts of \$42,180 and pledges of \$43,500, a total of \$85,680 to create and establish the Robert G. Lawson and William H. Fortune Professorships Endowment in the College of Law. This endowment is being established to honor two long-time College of Law professors. Mr. Lawson has been a member of the faculty since 1966 and has served twice as dean. Mr. Fortune has been a faculty member since 1969 and served as associate dean during 1989-91 and is currently serving as associate dean. Both are UK College of Law graduates, and the professorships will be awarded to support the research and teaching endeavors of members of the College of Law faculty. The gifts and pledges are eligible for matching funds.

FCR 11 is a gift of \$760,449 from the Edith D. Gardner Charitable Foundation of Lexington. It is for the Edith D. Gardner Professorship/Chair in Cancer Research and the Madeline F. James Professorship/Chair in Cancer Research in the Markey Cancer Center. From the \$760,449 gift, \$635,449 will go toward the Edith Gardner Professorship/Chair. That will take the fund over \$1M; therefore, the word "Professorship" will be dropped. The remaining \$125,000 will go to the Madeline James Professorship/Chair. Again, that takes this fund over \$1M, and the word "Professorship" will be dropped. The two funds will be renamed.

The Gardner Foundation was created through the will of Edith D. Gardner of Lexington who died in 1989. She attended UK, and her husband, who died in 1982, was a 1924 graduate of the College of Agriculture. They have designated the Markey Cancer Center as the beneficiaries of the Foundation's contributions.

FCR 12 is entitled the Kentucky Medical Services Foundation Gift. It is a gift of \$50,000 from the Kentucky Medical Services Foundation for the Belinda Mason Carden and Paul Mason Professorship in HIV/AIDS Research. This Professorship was established in September, 2000. Unfortunately, Belinda Carden died in 1991 after contracting HIV through a blood transfusion. These gifts totaling \$51,960 were matched in September, 2000 by the Research Challenge Trust Fund (RCTF). This gift supplements the endowment and is eligible for matching RCTF funds.

Mr. Hardymon said that the Committee recommends the acceptance of FCR 6 through 12. Ms. Watts seconded the motion, and it carried without dissent. (See FCR 6 through 12 at the end of the Minutes.)

N. Approval of 2002-03 Endowment Match Program Annual Report (FCR 13)

Mr. Hardymon said that FCR 13 is recommending the Board's acceptance of the 2002-03 Endowment Matching Program Annual Report. According to regulations in House Bill 1 established in 1997, the Kentucky Postsecondary Education Improvement Act encourages the research activities at the University of Kentucky and the University of Louisville. The University is to give an annual report on the endowment. Mr. Hardymon noted that some additional documents, including hundreds of pages on a disk, were provided to the Board. The summary, however, is rather complete. It stands for \$217M in actual value. This is state, cash, state funds, the matching funds and cash received. He noted that there are only \$32M outstanding pledges. This money has provided 126 Chairs/Professorships and 155 Fellowships. He pointed out that 240 staff members have been added because of these new programs. The Committee recommends the acceptance of the annual report. Ms. Wilson seconded the motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

O. Design of a Basketball Practice Facility – Memorial Coliseum (FCR 14)

Mr. Hardymon said that FCR 14 and 15 are for facility expenditures, and both of the projects will be funded from private sources. FCR 14 is the design of an expansion adjacent to Memorial Coliseum. There will be 92,300 square feet added and another 7,700 square feet of renovation to Memorial Coliseum. This facility will include two basketball courts for practice, equal facilities for men and women, and the second and third floor of the facility will be used for offices. This new facility will make available 17,000 square feet of space that the Athletics Department has around campus.

Mr. Hardymon explained that this project is being presented to the Board in two ways. With this recommendation, the Board is only asked to approve the cost to contract for the design phase of a practice facility and administration facility. That amount is \$2.5M. At this time, it is estimated that the total cost will be around \$25.5M. He noted that this does include a portion of upgrading Memorial Coliseum as well as the new facility. He reported that the University did quite a bit of benchmarking with other schools, and the costs appear to be in line with the estimate. He said that the Committee recommends approval of FCR 14.

Mr. Reed asked for any questions from the Board.

Ms. May asked if the location to the facility was consistent with the overall Campus Development Plan that had been brought to the Board earlier.

President Todd said that it is consistent, and it will be behind Memorial Coliseum.

Mr. Hardymon said that he had spent a couple of hours with the administration reviewing the Plan that morning, and it was a very well done plan. He concurred that the new facility was on the Plan.

Dr. Jones asked for clarification that this is not going to detract from the academic mission or resources available to the academic mission of the University.

President Todd said that private funds would be raised for this project. He said that he is very pleased with Mitch Barnhart and the Athletics Department's willingness to help with the University's academic mission by contributing \$1M for ten years to scholarships. He pointed out that another area of cooperation is the exposure to academics at athletic events. This is getting some of the University's advertisers that support sports to also support the academic events. The cost of this facility will not, in his mind, take away from academics.

President Todd reported that the University just announced a \$400M expansion of the program, and this is only a small part of that expansion. He said at one point he asked Mr. Mobley to calculate how much of the \$600M Capital Campaign money went toward athletics, and it was 7%. The University is still finding plenty of investors who are investing in the academic program.

Ms. Young said that she thinks that it is important to point out that the operational expenses of the new facility will be absorbed by Athletics.

President Todd said that was a very good point, and the operating costs as well will be borne by the Athletics Department. One piece of information that came up at the Athletic Board meeting is that 25% of the Athletics Department's budget is spent on UK's campus, presently with staff workers and with other things that they pay for on campus.

Mr. Williams said that it is probably too early to estimate the operating costs for this new facility.

President Todd indicated that it is too early for him to estimate that, and he did not know if Mr. Rob Mullens had that information. This facility will provide much needed improvement for women's basketball, volleyball, gymnastics, and men's basketball.

Mr. Reed noted that it is his understanding that the men's basketball team and other athletic programs have had to practice outside Memorial Coliseum because of scheduling problems. The University has an increasing number of sports that it is emphasizing and to good measure and good reason. It is also a matter of logistics and a matter of need as far as having practice facilities available not only for men's basketball but freeing up needed space for other programs as those programs are enhanced as well.

Mr. Reed reiterated what President Todd said. The University is along its way toward its march in untreaded waters for a billion dollars, having exceeded the \$600M mark. When you look at the \$25M facility, the context of a billion dollar capital campaign is very important, especially when you consider the benefits to the other programs and the other sports.

He stated that the University has the number one basketball program in the country. It is important for people to understand that the University must raise its academic programs so that it can have the same tradition, ranking and prestige as the number one basketball program. There

is a thin line. We must make sure we do nothing in our efforts to raise the academic program to somehow do anything that hurts the tradition of basketball. The basketball program reflects more than just who wins a game. It reflects a lot about who we are as Kentuckians, a lot about the fight in us, and a lot about the zeal never to give up and to always achieve and accomplish in the win. It is important for everyone to know that value that we embody in the UK basketball program is very important to us.

Mr. Reed mentioned the \$1M per year for ten years that athletics is contributing to academics. He said that he had to consider the \$25M proposal balanced by a billion dollar capital campaign on one end and \$10M from athletics on the other end.

Mr. Wilcoxson pointed out that there are schools that subsidize athletics from their general funds. He said that he did not think that there were state or academic dollars going towards subsidizing the University's Athletics Department. The figure is 25% or more coming into the University from athletic dollars. It is substantial. There are about 16 schools now that are doing this, and out of that, there are three of them already in the Southeastern Conference. The University needs to be competitive if it is still going to be number one.

Ms. Sims asked if this facility would help house some of the offices for coaches who presently have very diminished places in Memorial Coliseum. These coaches need to have lovely places to bring recruits and nice offices to just work in. These are professional men and women that serve the University. It appears that this facility will help other faculty and coaches at the University.

Mr. Shoop said that he is glad the Board is talking about a practice facility for the team. It is needed while the University is keeping its commitment to Rupp Arena. That commitment is in place for a number of years. This project is a practice facility and not a full-blown stadium.

Mr. Reed said that there was a motion for approval of FCR 14 and called for a second. Ms. Sparks seconded the motion, and it carried without dissent. (See FCR 14 at the end of the Minutes.)

P. Multi-Purpose Facility Addition to the Nutter Field House (FCR 15)

Mr. Hardymon said that FCR 15 is a project that will also be funded from private sources. It is a multi-purpose facility addition to the Nutter Field House. The Board is being asked to authorize both the design and the construction of this addition. It is a \$4M project. The facility will have a meeting area, an area for large dinners with seating capacity of 250 people for all programs of the University to use, a full kitchen, and an athletic training table. It is intended to service game day catering needs and host recruiting, athletic department, and other University departmental group functions. He reiterated that the project would be funded from private sources and said that the Committee recommends the administration be authorized to contract for the design and construction of this facility. Ms. Wickliffe seconded the motion, and it carried without dissent. (See FCR 15 at the end of the Minutes.)

Q. Other Business

Ms. Sims reported that Ms. Watts took a group of people to California to accept a very lovely award for national leadership. She asked Ms. Watts to tell the Board about the award.

Ms. Watts said that the Executive Council for Panhellenic at UK, consisting of ten people, went to Palm Springs to receive the National Leadership Award. The National Panhellenic Council, the governing body for group associations, community service and involvement in academics, presented the award.

Mr. Reed said that it is encouraging to know the importance of service of the University, and to know that the students of the University are engaging in additional service and winning national awards. The students continue to make the Board very proud.

Ms. Watts said that often times you hear of the Greek organizations, and it is nice to know that Dean West and a lot of other people are really working to focus toward other things.

Dr. Jones said that he would like to put more praise on Ms. Watts. He reported that she and other students are doing the University well in its interaction with the downtown City Council.

Ms. Sparks said that Ms. Watts gets praise from some of the Council members.

Ms. Watts reported that Mayor Teresa Isaac meets with her once a month. She said that she also has met with several of the Council members, and they have been very cooperative.

Mr. Reed congratulated Ms. Watts and encouraged her to continue to represent the University well.

R. Report on Athletic Association Board of Directors Meeting

Ms. Sparks reported on the October 17th Athletic Board meeting. She said that the majority of the meeting was an annual report from Mr. Barnhart. She informed the Trustees that they would be getting a copy of Mr. Barnhart's report. She called attention to a few points in the report in case the members do not get to read it immediately.

Academic Accomplishments

UK had 168 student-athletes elected to the SEC academic honor roll. Ten student-athletes were selected into the Omicron Delta Kappa National Leadership Honor Society. Two student-athletes were named 2002-03 Verizon Academic All-Americans, and the cross-country student-athlete Thomas Morgan was a McWorter Scholar-Athlete Award recipient.

Community Service

There were 250 student-athletes who assisted 15,000 people in the community through Community Outreach. They were involved in over 22 community organizations such as, Ronald McDonald House, UK Children's Hospital, Boys and Girls Club, Hope Center, YMCA, the American Cancer Society, and Special Olympics. They assisted 37 United Way campaigns in Kentucky by donating various autographed items for auction. Student-athletes in the community visited 33 Fayette County schools. They have mentored for 16 area "at-risk" high school students and participated in "Cats Cultivating Character" at Russell Cave Elementary.

New Events

There are several new events that have originated in the past year: the annual CATSPY's Awards Dinner, the Big Blue Caravan that goes all over the state (scheduled to be in Northern Kentucky instead of Ohio next year), the 1978 National Championship Reunion Dinner, the 100 Years of Kentucky Basketball Dinner, and the newsletter, "The Blueprint."

Ms. Sparks encouraged the Board to take the time to read about all the great things that are going on in the Athletics Department.

S. Meeting Adjourned

With no further business to come before the Board, Mr. Reed adjourned the meeting at 2:00 p.m.

Respectfully submitted,

Russ Williams
Secretary, Board of Trustees

(PR 2, and 3; AACR 1, 2, and 3; and FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President October 28, 2003

1. Campaign Success Leads to \$1 Billion Goal

The University of Kentucky marked the end of its most ambitious fund-raising campaign in history on October 24, with news it raised over \$618 million over five years, surpassing its original goal of \$600 million. UK President Lee T. Todd Jr., campaign National Chair James W. Stuckert of Louisville and UK Vice President of Development Terry Mobley made the announcement during a development luncheon at the Hyatt Regency in Lexington. University officials, obviously pleased with the success of The Campaign, also announced plans to raise an additional \$400 million over the next four years, bringing the goal to a total of \$1 billion.

2. UK Launches System to Gather Information for Resource Planning

For several months UK has gathered data and identified resources in preparation of moving the university into a new generation of "Enterprise Resource Planning" (ERP). Most of UK's operational administrative systems and processes are antiquated for a university of this size and scope. Phyllis Nash will lead a team that will launch and implement new core administrative information systems. The ERP project, called "IRIS" for Integrated Resource Information Systems, refers to a modern suite of integrated applications that provide real-time data to end users to support administrative processes, enhance decision making, and to meet the information demands of UK. Complete implementation and integration will take two to four years. Extensive training opportunities prior to the shifting of current procedures will help in the operational transition. A Web page dedicated to the IRIS project will keep the campus aware of the team's progress and provide answers to process questions as changes are made.

3. Student Population, Now Over 35,000, Most Academically Talented in History

UK reported a record enrollment of 35,052 students for the fall semester 2003, an increase of 870 students over the previous year. Graduate School enrollment is also at a new all-time high, up 2 percent from 2002. Lexington Community College continues its steady growth with a total of 8,639 students,

a 4.2 percent increase. These numbers include a significant growth in the number of African-American freshmen at UK, an increase of more than 30 percent. This year's freshman class of 3,693 students is the most academically talented group of first-year undergraduates in UK's history, including 318 Kentucky Governor's Scholars and Governor's School for the Arts students, 110 Legacy students, 145 high school class valedictorians, 45 National Merit Scholars, and two National Achievement Scholars. The middle 50 percent range of incoming freshmen's ACT scores shows a composite of 22-27 – well above the national average of 17-24, equal to or better than a number of UK benchmark universities, and nearing UK's goal of 23-28 set by the university's 2003-06 Strategic Plan. In other examples of growth, total African-American enrollment and the combination of international and non-resident student enrollment for all classes each increased by 6 percent.

4. Officials Break Ground for Four New Student Residence Halls

UK officials broke ground last month for four new student residence halls that will house 684 students, marking the first new student housing facilities to be built since 1979. Financed through university housing and dining bonds, the new residence halls will be built at an estimated cost of \$46 million. The new halls are the university's response to an increased demand for on-campus housing and will help the university continue to attract and retain high-caliber students. With a total of 213,000 square feet, the new residence halls should be completed and ready for occupancy by fall 2005.

5. UK Begins Second-cycle Work on NCAA Self-study and Athletics Certification

UK is beginning work on its second-cycle NCAA Self-study and Certification of the Athletics Department. President Todd has appointed Connie Ray, vice president for Institutional Research, Planning and Effectiveness, to chair the university's NCAA Steering Committee. Four subcommittees will report on specific areas designated for review by the NCAA operating principles: governance and commitment to rules compliance; academic integrity; fiscal integrity; and equity, welfare and sportsmanship. An NCAA representative will officially kick off the self-study with an orientation visit to campus in January 2004. An NCAA evaluation team will visit in March 2005. The certification program is meant to ensure NCAA's commitment to integrity in intercollegiate athletics by broad-based involvement of key campus groups in the self-study effort, by assuring compliance with the NCAA operating principles, and by imposing sanctions on institutions that do not correct shortcomings or address problems identified during the self-study and peer evaluation process. UK Board of Trustees member, Barbara Young, has agreed to serve as a member of the Steering Committee.

6. City Initiates First Employer-assisted Housing Program

Lexington Mayor Teresa Ann Isaac, UK President Todd, and Samaritan Hospital CEO Frank Beirne recently joined representatives from the Lexington Downtown Development Authority and Fannie Mae to announce Lexington's first Employer-assisted Housing (EAH) Initiative. The initiative, called "Live Where You Work," is an effort to increase homeownership opportunities among Lexington's workforce through access to financial assistance of up to \$15,000 for eligible employees, housing information, education provided by counseling agencies, and innovative financing options. The initiative promotes urban revitalization by targeting homes in downtown Lexington and the UK area. The Lexington-Fayette Urban County Government, UK and Samaritan Hospital are the first employers in the city to offer this benefit plan to employees. President Todd said Live Where You Work will greatly aid UK in its efforts to attract and retain superior faculty and staff, as well as serve as a catalyst for revitalizing neighborhoods contiguous to the UK campus.

7. UK Broadens Use of Temporary Disability Leave and Funeral Leave for Employees

In another step to improve work-life conditions for employees, the university has enhanced and broadened the use of temporary disability leave (TDL) and funeral leave for employees. The policy changes expand the definition of family to permit employees to use up to 30 working days of TDL over a 12-month period for illnesses of family members. It also eliminates the "66-day rule" that required employees to have a balance of 66 days of accrued TDL before being granted time off to care for an ill family member. Leave for the death of a parent, sibling, spouse, child, or other relatives for whom an employee is directly responsible was increased from three to five working days.

8. President Todd Presents First Annual Awards for Diversity

Nearly 300 people attended the inaugural President's Awards for Diversity at a late September reception at the Boone Center. Thirty-two people were nominated and seven people received the awards and \$500 dollars each. The winners in the various categories were: Doris Wilkinson, sociology professor, and Laretta Byars, associate provost for multicultural and academic affairs, in the faculty/administrator category; Delicia Haynes, a student in the College of Medicine, in the student category; Donald Witt II, director of Undergraduate Admission and University Registrar, in the staff category; Lexington Community College in the UK unit/program category; and Joseph Lambert, chief justice of the Kentucky Supreme Court, and Joseph Kelly, executive vice president and chief operating officer of Columbia Gas of Kentucky, in the Kentucky agency/organization/individual category.

9. College of Social Work Shares in \$2 Million Grant for Bioterrorism Research

Ginny Sprang and Jim Clark of the UK College of Social Work received a two-year, \$2,653,816 grant from the Department of Health and Human Services, Health Resources and Services Administration to study the effects of bioterrorism. This is a joint project between UK, the University of Louisville, and the Kentucky Department of Mental Health. The UK and U of L researchers will focus on health-related issues. The UK College of Social Work, which will receive \$315,000, is handling the mental health aspect of the grant, developing models of how bioterrorism and/or the threat of bioterrorism impacts individuals, especially vulnerable populations like children. Other UK faculty and colleges involved include Patty Sharko, Agriculture, agroterrorism preparedness and response; Craig Martin, Pharmacy, bioterrorism preparedness for community based pharmacists; and Jim Norton and Rob Sprang, Medicine and Kentucky Telecare, information dissemination.

10. UK Alzheimer's Researchers Indicate Protein May Stem Diseases' Advance

A team of scientists, including a group from the UK College of Medicine's Department of Anatomy and Neurobiology, have uncovered the possible role of a protein in offering protection from Alzheimer's disease. The protein, Pin1, acts on other proteins in the brain and reduces the other proteins' tendency to form tangles and knots in the brain. The finding reveals a possible treatment for the disease and could have implications for other neurodegenerative conditions like Parkinson's and Huntington diseases. The UK team was led by Guoying Bing, professor of anatomy and neurobiology. The findings were published recently in Nature.

11. Gatton College Partners With Greek University to Offer MBA in Athens

The UK Gatton College of Business and Economics will offer its 36 credit-hour Master of Business Administration program in Athens, Greece, beginning next spring. The program will be hosted by UK's partner institution, the Technological Educational Institute of Piraeus (TEI-Piraeus) and combines TEI-Piraeus' physical facilities, technical support, and reputation within Athens and Greece generally with the Gatton College's well-known faculty and MBA curriculum to create a first-rate graduate level experience for properly credentialed Greek nationals and other European students seeking an American-style education at a reasonable price. No more than 70 students will be admitted into the first year of the program with subsequent years' maximum enrollments to be negotiated between the Gatton College and TEI-Piraeus on an annual basis. The program is a key element in the college's continuing efforts to expand its international presence and is consistent with UK's aspirations to achieve top-20 status.

12. UK Holds Inaugural National Conference on Violence Against Women

UK hosted an innovative research conference on violence against women in late September, the inaugural national event for the UK Center for Research on Violence Against Women. The conference, "Toward a National Research Agenda on Violence Against Women," was specifically designed for researchers in the field. Topics included measuring and defining violence against women, offender typologies, effects of violence, and special issues facing women. Meanwhile, the center is offering petit grants to investigators across the university to fund research projects related to all aspects of violence against women. Selected projects will explore legal and clinical complexities of domestic violence, rape, stalking and related crimes, while enhancing the welfare and safety of those impacted by these crimes.

13. Colleges of Design and Agriculture Combine Resources

An agreement signed recently by David Mohny, dean of the UK College of Design, and Scott Smith, dean of the UK College of Agriculture, will strengthen a partnership to better serve Kentuckians. The agreement creates a formal partnership between the two colleges to work on topics in housing, home environment, and historic preservation. The School of Interior Design and the UK Cooperative Extension Service's Family and Consumer Sciences unit will jointly implement the agreement.

14. UK a Leader in Laser Vision Correction

The UK Department of Ophthalmology is one of the first in the region to acquire a new technology to provide patients with state-of-the-art care. The U.S. Food and Drug Administration recently approved a personalized eye laser treatment system, the Zyoptix™, manufactured by Bausch & Lomb, in the correction of near-sightedness and astigmatism. Multi-center, nationwide clinical trials conducted to determine the safety and effectiveness of the new technology generated impressive outcomes. In post-treatment surveys 99.7 percent of patients said that their quality of vision was improved after treatment and 98.5 percent of patients were satisfied or extremely satisfied with the results.

15. UK Awarded \$1.3 Million to Restore Savanna-woodland Ecosystem

The Kentucky Heritage Land Conservation Fund Board awarded UK \$1.3 million for the purchase and initial management of 410 acres of Silver Lake Farm in southern Harrison County. The UK Tracy Farmer Center for the Environment and the Kentucky Chapter of the Nature Conservancy are collaborating with the Kentucky State Nature Preserves Commission and a private landowner to facilitate the work to be conducted on the property. The work will include restoring the unique Bluegrass savanna-woodland ecosystem, experimenting

with effects of fire and grazing on the system, and developing a native plant nursery for pharmacological, agricultural, and ecological applications.

16. National Weather Services Certifies UK as a StormReady Campus

With the assistance of the Lexington-Fayette Urban County Government, UK collaborated with the National Weather Service, Kentucky Emergency Management, and the Federal Emergency Management Administration, to achieve certification as a StormReady Campus. According to the NWS, UK is one of only a few college campuses in the nation to achieve StormReady status. StormReady is a certification program managed by NWS to help communities around the country become better prepared to respond to severe storms through planning, education, and awareness. To be named StormReady UK identified severe weather safe areas in every building on campus, installed special weather radios in the most populated buildings and in residence halls, displayed Severe Weather Shelter signs in designated buildings, trained UK employees to be StormSpotters, and created a Web site showing Severe Weather Shelter locations for every floor in every building on campus.

17. Kentucky Clinic South Opens New Pediatric Cardiology Clinic

The UK Department of Pediatrics has opened a new pediatric cardiology clinic at Kentucky Clinic South, offering better access to care for residents of south Lexington and surrounding communities. Thomas Di Sessa, pediatric cardiology division chief, said the clinic provides full-service cardiac diagnostic capabilities including cardiac imaging, 24-hour EKG recordings, chest X-ray, and a complete laboratory service. The clinic will provide outpatient diagnostic and treatment services for children with suspected or diagnosed congenital or acquired heart disease and heart defects. Kentucky Clinic South is located at 2400 Greatstone Point, Lexington.

18. UK First Lady Patsy Todd Unveils New Society for Guignol Theatre

UK First Lady Patsy Todd recently inaugurated a new Guignol Society designed to raise awareness of UK's Department of Theatre and the Guignol Theatre. Mrs. Todd, Theatre Department Chair Barbara Lewis, and other ceremony participants unfurled a system of blue and white flags that will be flown in front of the College of Fine Arts Building on Rose Street to signify that plays are being performed in either the Guignol Theatre or the Briggs Theatre. Theater performances at UK date back to at least 1910. The Guignol Theatre was organized with community support in 1927 and was named for the Grand Guignol in Paris, France.

19. UK Chandler Medical Center Offers Drive-through Flu Shots

UK Chandler Medical Center offered drive-through flu shots in mid-October. Hundreds of vaccines were administered as cars lined up on an access road that

runs parallel to Alumni Drive from Nicholasville Road to Shawnee Town Road. Cash, checks, and Medicare were accepted as payment for the shots, which cost \$16.

20. Three Are Inducted Into College of Law Alumni Hall of Fame

The UK College of Law inducted three new members into its Alumni Hall of Fame in early September: Charles E. English, a 19-year member of the ABA's House of Delegates; Wilburt D. Ham, professor emeritus at the college, where he taught from 1949 to 1986; and Joe C. Savage, who earned his law degree at UK in 1964 and from Harvard Law School in 1965.

21. Gill Heart Institute Hosts Research Day

In support of regional research in cardiovascular disease and physiology, the UK Linda and Jack Gill Heart Institute recently hosted the sixth annual Cardiovascular Research Day. The keynote speaker was the recipient of the 2003 winner of the Outstanding Contribution to Cardiovascular Research Award, Marlene Rabinovitch, of the Stanford University School of Medicine. Cardiovascular research is vitally important in Kentucky and surrounding states. People living in the nine states in the Mississippi and Ohio river valleys have a higher risk of dying from coronary artery disease than people in the rest of the United States.

22. LCC Survey Indicates Fletcher Leads in Kentucky Governor's Race

Central Kentuckians favor Congressman Ernie Fletcher's bid to become Kentucky's next governor, a survey conducted by political science students at Lexington Community College shows. The survey, released in early October, also found the region's residents oppose installing slot machines at racetracks and a sales tax on services. Now in its 16th year, the semiannual poll has a record of successfully predicting winners in political races.

23. UK Holds Sixth Annual Conference on Women's Health in Kentucky

The UK Office of the Provost and the UK Women's Health Center, along with other agencies, sponsored the sixth annual Conference on Women's Health in Kentucky in late September, bringing together researchers, academicians, clinicians and policymakers. The conference helps identify current health care perspectives, promote women's health, and analyze new health care information. Representatives of the Centers for Disease Control and Prevention, health care providers, social services providers, health care administrators and others discussed the latest trends, issues and research findings on such topics as diabetes, domestic violence, obesity, cardiovascular health and HIV prevention.

24. Fifty-four Dentistry Students Are Honored at White Coat Ceremony

The 54 members of the UK College of Dentistry's Class of 2007 officially began their dental education careers at the college's White Coat Ceremony in late September. Thirty-nine of the students are from Kentucky. Dental faculty welcomed the students to the dental profession as colleagues and challenged the students to make a commitment to gaining the knowledge and compassion necessary to become outstanding dentists.

25. College of Education Seeks Nominations for 'Teachers Who Made a Difference'

The UK College of Education is seeking nominations for its sixth annual "Teachers Who Made a Difference" program, whose inductees will be announced at a special morning reception and recognition ceremony Saturday, November 8. The program is designed to recognize teachers who influenced and inspired their students. About 500 Kentuckians have been honored during the program's life, including 63 teachers, principals, college professors, and school counselors in 2002.

26. Chandler, Pence Answer Questions at Gubernatorial Candidates Convocation

Democratic gubernatorial candidate Ben Chandler and Republican candidate for lieutenant governor Steve Pence responded to questions from students, faculty, and private citizens at a special candidates' convocation in early October at the UK Student Center's Worsham Theatre. Pence represented Republican gubernatorial candidate Ernie Fletcher. The UK Staff Senate, the University Senate, and UK Student Government jointly sponsored the event.

27. Kentucky Geological Survey Partners With Other States on Climate Change

The Kentucky Geological Survey has joined a multi-agency, multi-state research project to investigate the feasibility of removing man-made carbon dioxide from the atmosphere to mitigate climate change. The Midwest Regional Carbon Sequestration Partnership is receiving \$2.4 million in funding for the two-year project.

28. Kentucky Nanomaterials Workshop Advocates University-industry Partnerships

The Kentucky Nanomaterials Workshop, held in Louisville in September, attracted 130 participants and speakers to discuss the development of materials nanotechnology for Kentucky. Among the speakers were UK President Todd and University of Louisville President James Ramsey. Both university

leaders emphasized the importance of university-industry partnerships to further strengthen nanotechnology activities in the Commonwealth.

29. Kentucky Kernel Is Competing as a Finalist for Colleges' Pacemaker Award

The Kentucky Kernel, UK's independent daily student newspaper, has been selected as a finalist for a Pacemaker, the nation's top student newspaper award. This marks the second straight year that the Kernel has been named a finalist for the award, given by the Associated Collegiate Press. The nomination places the Kernel among the nation's top 12 daily college newspapers. The winners will be announced in early November at the organization's national conference in Dallas, Texas.

30. UK Mourns Passing of Former President Otis Singletary

More than 350 friends, former students and faculty members, along with many Kentucky dignitaries, gathered at the Singletary Center for the Arts in late September to mourn the death of former UK President Otis A. Singletary, who died September 20 at the age of 81. Singletary served as UK's eighth president from 1969 to 1986 and guided the university during a period of marked growth. Offering eulogies were former UK history professor Charles Roland and Terry Birdwhistell, director of UK's oral history program. Both the Singletary Center for the Arts and the university's most coveted scholarships are named for the former president.

31. Former Governor Edward T. Breathitt Devoted Life to UK

Former Kentucky Governor Edward T. "Ned" Breathitt Jr. died October 14. He was 78. A Hopkinsville native, he served as Kentucky's governor from 1963 to 1967, during which time he also served as chair of the UK Board of Trustees. He served separate appointive terms on the board from 1981 to 1982 and again from 1992 to 2000, chairing the board again from 1992 to 1999. Breathitt earned his bachelor's degree in business administration in 1948 and his law degree in 1950, both at UK. He was inducted into the UK Gatton College Alumni Hall of Fame in 1994 and the UK College of Law Alumni Hall of Fame in 1997. He also was named to the UK Alumni Association's Hall of Distinguished Alumni in 1965.

32. Senator Dole Speaks at Sanders-Brown Fund-raiser

Former Senator and presidential candidate Robert J. Dole spoke at the annual UK Sanders-Brown Center on Aging Foundation dinner. The fund-raising event was co-sponsored by Fifth Third Bank and Ball Homes. Since its establishment in 1979, the UK Sanders-Brown Center on Aging has become internationally recognized for its pioneering academic, research and service programs in

Alzheimer's disease and other medical conditions that impact the aging population.

33. Seminar Helps Health Professionals and Students Deal with Ethnic Changes

Area health care professionals and students enrolled in health care programs gained new insights on the state's changing patient population at "Cultural Competence – Reflections on Race, Ethnicity and Culture: Considerations for Health Professionals" in late September. The seminar was designed to help the professionals deal effectively with the growing diversity of Kentucky communities. It emphasized incorporating cultural sensitivity into health-related curriculums to help providers increase understanding of how language, culture, and religion impact healing and health in all people. The UK Area Health Education and Training Centers organized the event.

34. UK Launches 2003 Annual United Way Fund-raising Campaign

UK kicked off its annual United Way fund-raising campaign at a luncheon for nearly 500 volunteers at the UK Student Center Grand Ballroom. This year's campaign goal is \$420,000. President Todd told the group he is proud of UK's generosity, which has made the university the third leading organizational giver to United Way of the Bluegrass, behind Toyota and Lexmark. Other speakers included Kathy Plomin, United Way of the Bluegrass CEO; Alan Stein, president of the Lexington Legends and chair of this year's United Way of the Bluegrass campaign; and Marc Mathews, senior associate controller and chair of the UK United Way campaign.

35. UK and First Link Hold Third Annual Volunteer Fair

More than 80 community and campus service and arts/cultural organizations took part in the third annual UK Volunteer Fair in late September. UK and First Link of the Bluegrass held the event, where First Link honored the university for receiving the Harry S. Truman Foundation Honor Institution Award for exemplary participation in the Truman Scholarship program. During its 20-year participation in the program, UK has had 11 students named Truman Scholars.

36. UK Opera Presents Mozart's Marriage of Figaro at Lexington Opera House

The UK Department of Opera Theatre presented Wolfgang Amadeus Mozart's Marriage of Figaro at the Lexington Opera House in early October. The opera, presented in its original Italian, was performed with English subtitles shown on a screen. Stage director Sally Stunkel and orchestra director Julian Shew steered the production of what is considered the perfect comic opera.

37. Anthropology Doctoral Student Leads Group on Georgia Excavation

UK anthropology doctoral student Victor Thompson led a group of UK and Transylvania University undergraduate students on a six-week mission this summer to explore the mystery of "shell rings" on Sapelo Island, Ga. The work, performed under the authority of the Georgia Department of Natural Resources, studied the way early Native Americans of the 10-mile-long island enjoyed a steady diet of seafood and deposited shells from the ocean creatures in huge, circular layers around their residential sites. Anthropology associate professor Richard Jefferies provided faculty supervision of the project.

38. UK Police Launch Traffic and Pedestrian Safety Campaign

In response to recent pedestrian accidents on and near campus, UK Police have launched a traffic and pedestrian safety campaign. Police officers are maintaining stricter traffic law enforcement as well as providing traffic and pedestrian safety education. They are distributing educational material when they issue citations and are talking to people they witness crossing the streets in areas other than crosswalks. UK Police also are stressing traffic and pedestrian safety as part of their regular programming for students living in residence halls.

39. UK Units Join to Sponsor Conference on Natural Products Innovation

The UK College of Agriculture's Kentucky Tobacco Research and Development Center and National Products Alliance will sponsor the first conference on natural products innovation on November 5. Scientists, entrepreneurs, and business leaders will gather to discuss new technologies that take advantage of diverse products derived from plants and other natural sources. The conference will highlight start-up companies and foster valuable partnerships between UK and a variety of Kentucky enterprises.

40. Revolutionary Construction Material Aids Repair of Kentucky Bridge

Researchers at the UK College of Engineering are assisting Kentucky Transportation Cabinet engineers repair a bridge on Ky. 32 connecting Louisa, Ky., and Fort Gay, W.Va. Researchers applied a new construction and repair material called carbon fiber reinforced polymer strips. The material, which is as thin as wallpaper but 10 times stronger than steel, is easy to apply and will permit the bridge to remain open during the repair. It also precludes replacement of the bridge's superstructure. The UK College of Engineering is among the nation's leaders in exploring the uses of this revolutionary construction material.

41. Student Awards and Achievements

- Keith Anderson, Gerontology graduate student, won a \$2,000 Donovan Scholarship for the 2003-2004 academic year.
- Angela Cox, doctoral student in Curriculum and Instruction, and professor Deneese Jones, Curriculum and Instruction faculty, presented a research paper, "An Examination of Early Reading Intervention Instructional Practices with Diverse Groups for the Primary Grades" in London, England, at The Learning Conference at the Institute of Education for the University of London.
- Jeremy Hall, Martin School of Public Policy and Public Administration doctoral student, has received the Collins Award for Outstanding Paper by a Ph.D. Student from the Southeastern Conference of Public Administration at its annual conference in Savannah, Ga.
- Elizabeth Hunter, Gerontology graduate student, won a \$2,000 Donovan Scholarship for the 2003-2004 academic year.
- Erin Rachel McNeese, Martin School of Public Policy and Public Administration, won a Pi Alpha Alpha Best Student Manuscript Award.
- Eugenia Tackett, Gerontology graduate student, won a \$2,000 Donovan Scholarship for the 2003-2004 academic year.
- The UK student design team in the College of Agriculture's Department of Biosystems and Agricultural Engineering earned third place in the International Quarter Scale Tractor competition in Moline, Ill. The team designed and built the small, powerful tractor used in the competition.

42. Faculty and Staff Awards and Achievements

- Lynley H. Anderman, Educational and Counseling Psychology, wrote the article "Academic and Social Perceptions as Predictors of Change in Middle School Students' Sense of School Belonging" published in the Journal of Experimental Education.
- Thomas Armsey, Family Practice and Community Medicine and Sports Medicine, received a \$124,807 grant from the Robert Wood Johnson Foundation to implement a behavior modification intervention in primary care practices.
- Bill Bintz, Curriculum and Instruction, and Sara Moore, director of the Center for Middle School Academic Achievement at Eastern Kentucky University, led a public discussion about how parents can use award winning and high quality books across the core educational curriculum.
- Tricia Browne-Ferrigno, Administration and Supervision, received \$221,519 from the U.S. Department of Education School Leadership Program for year two of the Principal's Excellence Program.
- Anna W. Brzyski, Art History, has received a 12-month Fulbright-Hays Fellowship and a nine-month Fulbright Research Fellowship to conduct work for an anthology of Polish art criticism.
- Allan Butterfield, Chemistry and Center of Membrane Sciences, chaired a session of the eighth International Conference on Amino Acids and Proteins in Rome. He also gave several invited seminars in Italy on his Alzheimer's disease research.

- Donald Chesnut Jr., Kentucky Geological Survey, received the Gordon Wood Memorial Award for Excellence in Coal Geology from the Eastern Section of the American Association of Petroleum Geologists.
- Henry Cole, Educational Psychology, was keynote speaker at the Fifth International Symposium, Future of Rural Peoples: Rural Economy, Healthy People, Environment, and Rural Communities.
- Michael Collins, Agronomy, received a grant of \$403,064 from the U.S. Department of Agriculture's Cooperative State Research, Education and Extension Service for forage research for advancing livestock production.
- James Currens, Kentucky Geological Survey, received the award for Meritorious Contributions in Environmental Geoscience from the Eastern Section of the American Association of Petroleum Geologists.
- Mary Davis, Law, was invited to present the topic of complex litigation at the University of Michigan School of Law in early September.
- Alan J. DeYoung, Educational Policy Studies and Evaluation, received a \$275,000 grant from the U.S. Department of State for his three-year project "Partnership in American Studies between the University of Kentucky and the Kyrgyz State National University."
- Tom Dillehay, Anthropology, was recently named by the U.S. Senate as an Editorial Fellow of the Latin American Collection in the Library of Congress. He also received an award from the Consejo nacional de Monumentos of Chile for scientific contributions.
- Fran Feltner, Center for Rural Health, received the Southern Health Association's Charles G. Jordan Memorial Award in recognition of outstanding contributions to public health.
- Dayong Gao, Biomedical Engineering and Center of Membrane Sciences, received a \$189,000 National Institutes of Health grant for his project "Cryopreservation of Umbilical Cord Blood-derived Stem Cells." In addition, he was awarded the Distinguished Foreign Young Scientist Award from the Natural Science Foundation of China.
- Alvin Goldman, Law, participated as the United States delegate in the governing body's pre-conference meeting of the International Society for Labor and Social Security Law held in Montevideo, Uruguay, in early September.
- Beth Goldstein, Educational Policy Studies and Evaluation, and Judy Goldsmith, Computer Science, received a four-year \$1,287,000 grant from the National Science Foundation for their project "Decision-Theoretic Planning with Constraints."
- Thomas Guskey, Educational Policy Studies and Evaluation, has won the Best Non-Dissertation Research Award for 2003 from the National Staff Development Council for his paper "An Analysis of the Characteristics of Effective Professional Development." The paper was presented at the 2003 annual meeting of the American Educational Research Association in Chicago and summarized in an article published in the June 2003 issue of Phi Delta Kappa, titled "What Makes Professional Development Effective?" He was also interviewed on the National Public Radio program Talk of the Nation in early October.

- Arthur Hunt, Agronomy, received a three-year grant of \$1,720,000 from the National Science Foundation for research titled "Arabidopsis 2010: Arabidopsis Polyadenylation Factor Subunits – Mutants and Protein Interaction Networks." The project identifies gene functions of arabidopsis to further knowledge of basic plant metabolic functions.
- Robert Jensen, Art, is spending the 2003-04 academic year as a research fellow in the Getty Research Institute in Los Angeles.
- Kristine Jolivette, Special Education, recently published "Ensuring Student Success Through Team-based Functional Behavioral Assessment" in Teaching Exceptional Children.
- Deneese L. Jones, Curriculum and Instruction, was an invited expert witness for Representative Shelia Jackson-Lee at a congressional briefing in Washington, D.C., for the Congressional Children's Caucus on the topic "Closing the Academic Achievement Gap for African Americans and Other Students of Color" and was an invited panelist at the Congressional Black Caucus Annual Conference on the topic "Closing the Academic Achievement Gap: Status of African American Children in Education." She also wrote a chapter "Diverse Practitioners and Diverse Populations: Opportunities and Challenges in the Alignment of National Standards" for the book Diversity and National Standards.
- Lee Ann Jung, Special Education, recently had three articles published: "Effects of Service Coordinator Variables on Individualized Family Service Plans" in the Journal of Early Intervention; "More Is Better: Maximizing Natural Learning Opportunities" in Young Exceptional Children; and "Intervention Planning: Bridging the Gap Between IFSP and Implementation" in the Young Exceptional Children Monograph Series No. 5: Family-Based.
- Lee Meyer and Kenny Burdine, Agricultural Economics, and John Johns, Animal Science, will lead a \$350,000 Kentucky beef cattle initiative for innovative marketing practices. This is a partnership effort between UK's Beef Integrated Resource Management group, the Kentucky Beef Network and Kentucky Cattlemen's Association.
- Anne-Frances Miller, Chemistry and Biochemistry, received a \$150,000 grant from the National Institutes of Health to study enzymes.
- Sue Nokes, Biosystems and Agricultural Engineering, received a Southern Regional Excellence in Teaching Award from the U.S. Department of Agriculture.
- Robert Olson, Middle East Politics, gave a keynote address on "Turkey-Iran Relations, 2000-2003: The Azerbaijan Question; Ethno-nationalism and Subversion" at the Middle East Technical University's second annual conference on International Relations on Regional Foreign Policies: The Middle East, Central Asia, the Caucasus and the Balkans.
- Mary Secret, Janet Ford, and Elizabeth Rompf, Social Work, had an article "Undergraduate Research Courses: A Closer Look Reveals Complex Social Work Student Attitudes" published recently in the Journal of Social Work Education.
- Nancy Schoenberg, Behavioral Science, has received the 2003 Betty J. Cleckley Minority Issues Research Award from the American Public Health Association.

- Scott Shearer, Biosystems and Agricultural Engineering, received \$689,110 grant from the Department of Education for "Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers – Phase V."
- John Thelin, Educational Policy Studies and Evaluation, wrote "Higher Education's Best Made Plans: A Historical Perspective" in The Review of Higher Education (Winter 2003).
- Allan Vestal, Law, published the 2003 edition of his book The Revised Uniform Partnership Act. He also co-wrote "The Want of a Theory, Again" in the Suffolk University Law Review and wrote "Real Partnerships and Real Problems: Conforming Business Entity Law to Fiscal Realities and Popular Conceptions" in the Delaware Journal of Corporate Law.
- J.W. Yates, Kinesiology and Health Promotion, co-wrote two articles published in the Journal of Strength and Conditioning Research, "The Initial Effects of Low-volume Strength Training on Balance in Untrained Older Men and Women," and "Effects of Moist Heat on Hamstring Flexibility and Muscle Temperature."

43. Research Activities

- Yousef Abukwaik, Microbiology and Immunology, \$294,100, The Pore-forming Toxin of Legionella Pneumophila.
- Karen Alexander, Family Studies, \$9,800, Improve Technical Education Programs Through Preservice and Inservice Teacher Ed. Programs.
- Larry Allen, Rural Kentucky Healthcare, \$583,800, State Rural Hospital Flexibility Program.
- Larry Allen, Rural Kentucky Healthcare, \$369,664, SHIP Grants Program.
- James Anderson, Internal Medicine, Clinical Trial, An 8-Week, Randomized, Controlled, Parallel-Group Study to Compare the Effects of Heart to Heart Cereal versus Cheerios on Serum Lipids and Lipoproteins Levels of Hypercholesterolemic Adults.
- Rodney Andrews, Center for Applied Energy Research, \$11,273, Continued Development of Pitch-Derived Activated Carbons for Cigarette Filter Applications.
- William Andrews, Kentucky Geological Survey, \$35,000, A Continuation of: Availability of Coal Resources for the Development of Coal.
- Subramaniam Apparsundaram, Anatomy and Neurobiology, \$72,400, Center for Biomedical Research Excellence in Women's Health (COBRE): Assarsundaram Scope.
- Leonidas Bachas, Chemistry, \$38,880, IGERT: Integrated Sensing Architectures.
- Michael Bardo, Psychology, \$856,699, Drug Abuse Prevention: A Lifecourse Perspective IV.
- Michael Bardo, Psychology, \$187,876, Drug Abuse Prevention: A Lifecourse Perspective IV: Bardo Scope.

- Ashutosh Barve, Internal Medicine, Clinical Trial, A Double-Blind, Randomized, Placebo-Controlled, Multicenter Study to Evaluate the Effects of Rofecoxib in Decreasing the Risk of Prostate Cancer (VIP Study).
- Joseph Berger, Neurology, Clinical Trial, A Multicenter Randomized Blinded Parallel Group Study of AVONEX in Combination with Oral Methotrexate Intravenous Methylprednisolone or Both in Subjects with Relapsing Remitting Multiple Sclerosis Who Have Breakthrough Disease on Avonex Monotherapy.
- Joseph Berger, Neurology, \$92,996, Center of Biomedical Research Excellence in Women's Health (COBRE): Project #3.
- Joseph Berger, Neurology, Clinical Trial, Neurologic Aids Research Consortium (NARC 00 9).
- Andrew Bernard, Surgery, Clinical Trial, Efficacy and Safety of Drotrecogin Alfa (Activated) in Adult Patients with Early Stage Severe Sepsis. Eli Lilly.
- Andrew Bernard, Surgery, Clinical Trial, Efficacy and Safety of Drotrecogin Alfa (Activated) in Adult Patients with Early Stage Severe Sepsis.
- Dibakar Bhattacharyya, Chemical and Materials Engineering, \$50,000, Reuse of Siloxane Solvent in Dry Cleaning Operations: Development of Functionalized Sorbents and Membrane Technologies.
- Guoying Bing, RCTF-Anatomy and Neurobiology, \$31,443, Dynorphin in Age-Related Impairment of Learning/Memory.
- Robert Bradley, UKAA Administration, \$91,678, Athletes in Service to America (AmeriCorps).
- Annadora Bruce-Keller, RCTF-Anatomy and Neurobiology, \$60,641, Center of Biomedical Research Excellence in Women's Health (COBRE): Bruce-Keller Scope.
- Mary Cain, Psychology, \$44,847, NRSA: The Amygdala and Amphetamine Self-Administration.
- Kenneth Calvert, RCTF-Computer Science, \$9,000, Student Travel Support for the 2003 IEEE International Conference on Network Protocols.
- Charles Carlson, Psychology, \$5,400, K30 Scholar Seed Grant.
- Charles Carlson, Psychology, \$56,000, Clinical Training Agreement with Eastern State Hospital.
- Mei Chen, Civil Engineering, \$50,708, Speed Estimation for Air Quality Analysis.
- James Clark, Social Work, \$219,069, Comprehensive Assessment and Training Services Project/Medicaid/Title V.
- Cynthia Cole, Rural Kentucky Healthcare, \$10,000, Appalachian Homeless Assertive Services Program.
- Henry Cole, Educational Psychology & Counseling, 61,672, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Michael Collins, AES/Agronomy, \$403,064, Forage For Advancing Livestock Production III.
- Nancy Cox, AES/Associate Director's Office, \$40,000, State Matching Funds for NSF Partnership Grant 4-66395.
- Nancy Cox, AES/Associate Director's Office, \$15,000, Support of Agricultural Research of Mutual Interest.

- Nancy Cox, AES/Assoc. Director's Office, \$95,000, Forage-Animal Production Research-Scope G.
- Trevor Creamer, Molecular and Cellular Biochemistry, \$50,000, Ordered Structure in Monomeric Polyglutamine.
- George Crothers, Anthropology Museum, \$25,000, Archaeological Overview and Assessment of the New River Gorge National River.
- Ralph Crystal, Special Education and Rehabilitation Counseling, \$149,937, Partnership for Preparing Rehabilitation Counselors for Public Rehabilitation Employment: A Long Term Training Program.
- Ralph Crystal, Special Education and Rehabilitation Counseling, \$140,567, Partnership for Preparing Rehabilitation Counselors for Public Rehabilitation Employment: A Long Term Training Program.
- Thomas Curry, Obstetrics and Gynecology, \$183,178, Center for Biomedical Research Excellence in Women's Health (COBRE): Project #1.
- Sumit Das, Physics and Astronomy, \$25,000, Space-Time Properties in String Theory.
- Sylvia Daunert, Chemistry, \$167,534, Bio-Inspired Materials for Sensing and Actuation in Biomedical Applications.
- Paul Deaton, CES/Director's Office, \$10,000, Attaining Sustainability of Agriculture and Economic Development Systems.
- David Debertin, AES/Agricultural Economics, \$80,101, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE E.
- Terence Delahanty, 4-H Central Operations, \$120,000, Kentucky Youth Development Partnership.
- Denton Denomme, Fiscal Affairs and Information Technology, \$435,000, Morgan County Regional Technology Center.
- Michael Desch, Patterson School of Diplomacy, \$144,500, State Homeland Security Assessment and Strategy.
- Willem DeVilliers, Internal Medicine, Clinical Trial, A 12-Week, Randomized, Double-Blind, Placebo-Controlled Study with PRN BID and Fixed, Dosing Regimens Alosetron in Female Subjects with Severe Diarrhea-Perdominant Irritable Bowel Syndrome Who Have Failed Conventional Therapy.
- Alan DeYoung, Ed Soc and Phi Studies, \$275,000, Partnership Project in American Studies: University of Kentucky and National University of Kyrgyzstan.
- Alan DeYoung, Ed Soc and Phi Studies, \$68,042, Partnership Project in American Studies University of Kentucky and National University of Kyrgyzstan: Replacement.
- Scott Diamond, RCTF-Physiology, \$72,400, Center of Biomedical Research Excellence in Women's Health (COBRE): Diamond Scope.
- Mark Dignan, Internal Medicine, \$30,489, American Indian/Alaska Native Initiative on Cancer.
- Carl Dillon, AES/Agricultural Economics, \$68,724, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE C.

- Carl Dillon, AES/Agricultural Economics, \$69,167, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE I.
- Craig Douglas, Computational Sciences, \$293,492, ITR: Collaborative Research: DDDAS: Data Dynamic Simulation for Disaster Management.
- Paul Dunbar, OISTL/Engineering Distance Learning, \$40,000, KSEF R&D Excellence: Development of Batteries Containing Depleted Uranium.
- James Duncan Jr., Cooperative Extension Service Agriculture Programs, \$43,476, U.K. CES Liaison.
- Linda Dwoskin, Pharmaceutical Tech, \$36,200, Center for Biomedical Research Excellence in Women's Health (COBRE): Pilot Project #2.
- Linda Dwoskin, Pharmaceutical Tech, \$71,275, Drug Abuse Prevention: A Lifecourse Perspective IV: Dwoskin Scope.
- Adria Elskus, Biological Sciences, \$30,293, Molecular Mechanisms of Resistance in Vertebrates.
- Kerry Empey, Microbiology and Immunology, \$5,400, K30 Scholar Seed Grant.
- Paolo Fanti, Internal Medicine, Clinical Trial, Correction of Hemoglobin and Outcomes in Renal Insufficiency (CHOIR).
- James Ferguson II, Obstetrics and Gynecology, \$197,538, Interdisciplinary Research Careers in Women's Health: Restricted Carry forward from Year 2.
- Gary Ferland, Physics and Astronomy, \$24,941, Numerical Simulations of Outflows in Quasars: The Metaphysics of BAL Winds.
- Gary Ferland, Physics and Astronomy, \$360,153, Numerical Simulations of Non-equilibrium Plasmas and Their Spectra – Applications to Active.
- Victor Ferraris, Cardiothoracic Surgery, Clinical Trial, A Phase III Comparison of Neutralase to Protamine in Patients Undergoing Primary Coronary Artery Bypass Graft Surgery.
- Sarah Fischer, Psychology, \$30,088, NRSA: The Role of Urgency in Problem Drinking and Binge Eating.
- Steven Fleming, Health Services, \$190,000, Kentucky HSR Development II.
- C. Frost, Law Instruction, \$1,000,000, Electronic Access Rural Demonstration Project.
- Vincent Gallicchio, Clinical Sciences, \$45,902, Implementation of an International Program in Laboratory Sciences.
- Don Gash, Anatomy and Neurobiology, \$701,002, Dose Response Study of Recombinant-Methionyl Human Glial Cell Line Derived Neurotropic Factor (r-metHu-GDNF) Continuously Infused into the Putamen of Hemi-Parkinsonian Rhesus Monkeys.
- Don Gash, Anatomy and Neurobiology, \$61,662, Behavioral and Neurochemical Changes in Hemi-Parkinsonian Rhesus Monkeys Receiving GDNF in the Unlesioned Putamen.
- Richard Gates, AES/Biosystems and Agricultural Engineering, \$27,264, Biosystems and Agriculture Engineering Training-Educational Consortium for Sustainable Plant and Animal Production Systems.
- Joseph Gaugler, Behavioral Science, \$73,525, Cancer Caregiving and the Stress Process.

- John Geil, Pediatrics, Clinical Trial, A Phase II/III Clinical Study for the Determination of the Efficacy and Safety of Protein C Concentrate in Subjects with Severe Congenital Protein C Deficiency #400101.
- Greg Gerhardt, RCTF-Anatomy & Neurobiology, \$411,341, Neurochem Chip: Methodology to Study Untethered Rats.
- George Graham, KY School of Public Health, \$270,539, Evaluation of Public Health System Bioterrorism Preparedness.
- Donald Graves, CES/Forestry, \$978,000, Post Mining Reforestation Demonstration Project III.
- Joan Griffith, Medical Records, \$5,400, Mentor Grant - Career Training in Therapeutics & Translational Research.
- Chris Groeber, Social Work, \$625,000, Quality Improvement Centers on Child Protective Services.
- Steven Haist, Internal Medicine, \$8,670, A Workshop on Domestic Violence to Improve Internal Medicine Residents' Actual Clinical Skills.
- Thomas Hakansson, Anthropology, \$19,448, Comparative Methods in Anthropology.
- Lenn Harrison, Livestock Diagnostic Disease Control, \$43,740, Forage For Advancing Livestock Production III-SCOPE C.
- Lenn Harrison, Livestock Diagnostic Disease Control, \$370,000, Diagnostic Laboratory Services for Farmers and Agribusinesses.
- Dean Harvey, Faculty Research and Development, \$150,000, Central Kentucky Innovation and Commercialization.
- Robert W. Haven, Theatre, \$2,000, Study of Yuzen Katazome, a Traditional Japanese Rice Paste Resist Dyeing Technique.
- Claudia Heath, School of Human Environmental Sciences – Admin, \$14,940, Lexington-Fayette County Living Wage Study.
- B. Hennig, AES/Animal Sciences, \$38,948, Protection Against Atherosclerosis by Dietary Zinc.
- B. Hennig, AES/Animal Sciences, \$39,204, SBRP Conference Support 2003-2004.
- Matthew Hiller, Center on Drug and Alcohol Research, \$48,242, Evaluation of Adult and Juvenile Court.
- Matthew Hiller, Center on Drug and Alcohol Research, \$102,846, 9 County Adult Drug Court Evaluation (MOA 2003-056).
- Edward Hirschowitz, Internal Medicine, \$261,749, Autoantibodies in NSCLC as Markers for Disease.
- Lawrence Holloway, UK Center for Manufacturing, \$88,348, Ergonomics Training for the Poultry Processing Industry in Kentucky.
- Theodore Hopwood, Kentucky Transportation Center, \$40,000, Taping and Minute Preparation for Pre-Bid and Pre-Construction Meetings to Support Maintenance Painting Operations for FY 2004 – Kentucky Highway Investigative Task No. 46.
- Jamey Jacob, Mechanical Engineering, \$24,999, NASA KY EPSCoR: Development and Testing of Enabling Technologies for Morphing Airfoils in Low Density Environments.

- Michael Jay, Pharmaceutical Tech, \$49,198, In Vitro Methods to Assess Therapeutic Equivalence of Topical Products.
- Lothar Jennes, Anatomy and Neurobiology, \$125,508, Center of Biomedical Research Excellence in Women's Health (COBRE): Project #2.
- Edward Jennings, Martin School of Public Policy, \$133,215, East Central European Scholars Program XI.
- Helen Johnson, Council on Aging, \$15,000, 2003 Skills Training for PASRR Staff.
- Helen Johnson, Council on Aging, \$108,000, Supplemental Application for Geriatric Education Centers 93.696.
- Judy Jones, Rural Kentucky Healthcare, \$293,407, Southeast Kentucky Community Access Program (SKYCAP).
- Richard Jones, AES/Horticulture, \$8,000, Ginseng/Goldenseal Research Project 2003-2004.
- Carol Jordan, Center for Research on Violence Against Women, \$150,000, Coordinate and Support Research Projects.
- Jane Joseph, Anatomy & Neurobiology, \$27,077, Fellowship/Gathers: Developmental fMRI Study: Face and Object Recognition.
- Jamshed Kanga, Pediatrics, Clinical Trial, Epidemiologic Study of Cystic Fibrosis: A Multicenter Longitudinal Follow Up Study of Patients with Cystic Fibrosis for Monitoring Pulmonary Function, Pulmonary Exacerbations, and the Safety of Long Term Treatment with Pulmozyme Inhalation Solution.
- Dennis Karounos, Internal Medicine, Clinical Trial, A Phase 3B, Multicenter, Open-Label Study Investigating the Clinical Utility and Safety of Pramlintide In Subjects with Type 1 and Type II Diabetes Mellitus Who Have Not Achieved Glycemic Targets with Insulin Therapy.
- Paul Kearney, Surgery, Clinical Trial, Placement of Nasoenteral Feeding Tubes Using External Magnetic Guidance.
- Thomas Kelly, Behavioral Science, \$256,184, Center of Biomedical Research Excellence in Women's Health (COBRE): Project #5.
- Thomas Kelly, Behavioral Science, \$269,094, Drug Abuse Prevention: A Lifecourse Perspective IV: Kelly Scope.
- Sherie Kendall, Internal Medicine, \$5,400, Mentor Grant - Career Training in Therapeutics & Translational Research.
- Michael Kilgore, Molecular and Biomedical Pharmacology, \$72,400, Center of Biomedical Research Excellence in Women's Health (COBRE): Kilgore Scope.
- Kyung Kim, Pharmaceutical Tech, \$36,200, Center of Biomedical Research Excellence in Women's Health (COBRE): Pilot Project #1.
- B. King, CES/Community and Leadership Development, \$44,490, Barriers and Opportunities for Adding Value to Small Ruminant Animals.
- B. King, CES/Community and Leadership Development, \$9,700, Expanding Community Based Retail Opportunities for Agricultural Products Through the Woodford County Farmers Market Association.
- Harold Kleinert, Interdisciplinary Human Development Institute, \$100,000, Kentucky Peer Tutoring and Service Learning Project.

- Chemyong Ko, Clinical Sciences, \$72,400, Center of Biomedical Research Excellence in Women's Health (COBRE): Ko Scope.
- Mahesh Kudrimoti, Radiation Medicine, Clinical Trial, A Phase II Clinical Trial to Determine the Efficacy of Amifostine (Ethyol) in Reducing the Severity of Oral Mucositis in Patients Receiving High Dose Intra-Arterial Cisplatin and Concurrent Twice-a-Day Radiotherapy for Stage III-IV Head and Neck Cancer.
- Yong Lee, Neurosurgery, \$36,200, Center of Biomedical Research Excellence in Women's Health (COBRE): Pilot Project #3.
- Nancy Levenson, Physics and Astronomy, \$424,799, CAREER: Obscuration of Active Galactic Nuclei and the Starburst Connection.
- Richard Lewis, Interdisciplinary Human Development Institute, \$476,970, IDEA General Supervision Enhancement Grant.
- Yi-Ling Lin, Oral Health Science, \$130,437, Transcriptional Regulation of Osteoclasts by Mitf.
- Donald Linebaugh, Program for Archaeological Research, \$5,817, A Proposal to Perform Phase I Archaeological Survey for the KY 122, Relocation at Hi Hat Project, Floyd County, KY Item No. 12-282.00.
- Donald Linebaugh, Program for Archaeological Research, \$9,233, Phase I Archaeological Survey KY 330, Bridge and Approaches Replacement, Grant County, KY Item No 6-1009.00.
- Donald Lynam, Psychology, \$160,676, Drug Abuse Prevention: A Lifecourse Perspective IV: Lynam Scope.
- Robert McKnight, Preventive Medicine (KSPH), \$679,011, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Robert McKnight, Preventive Medicine (KSPH), \$151,505, Migrant Vocational Rehabilitation Project.
- Robert McKnight, Preventive Medicine (KSPH), \$163,356, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Robert McKnight, Preventive Medicine (KSPH), \$35,476, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Robert McKnight, Preventive Medicine (KSPH), \$126,383, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Michael Mendenhall, Cancer Center-Core Support, \$389,999, Genetic and Biochemical Studies of a Yeast CDK.Inhibitor Interaction.
- Madhusudan Menon, Computational Sciences, \$33,640, Physics of Transition Metal-Semiconductor Systems in Low Dimensions.
- William Mikel, AES/Animal Sciences, \$25,000, Evaluation of the Microbial Status of Country Cured Hams.
- William Mikel, AES/Animal Sciences, \$25,000, Evaluation of Various Intervention Methods Against Salmonella on Pork Carcasses.
- Robert Molestina, RCTF-Microbiology and Immunology, \$49,864, Manipulation of the Host NFKappa B Pathway by the Opportunistic Pathogen Toxoplasma Gondii.
- Mary Molinaro, Library Administration, \$70,202, Kentucky Virtual Library Digitization Project.

- Lloyd Murdock Jr., CES/Agronomy, \$76,973, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE A.
- Lottie Naugle, Center for Entrepreneurship, \$1,135,577, Center for Business Development: Kentucky Small Business Development Centers Program.
- Nicholas Nickl, Internal Medicine, Clinical Trial, An Evaluation of the Medtronic Gatekeeper System in the Treatment of subjects with GERD.
- Sara Nixon, Psychology, \$73,525, Moderate Alcohol in Older Adults: A Preliminary Study.
- James Norton, Graduate Medical Education, \$248,533, Improving Health Outcomes for Children in Rural Kentucky Schools Through Telehealth Networks.
- James Norton, Area Health Education Center, \$270,801, Health Education and Training Center.
- John Obrycki, AES/Entomology, \$17,449, APHIS [Animal and Plant Health Inspection Service].
- Kevin Pearce, Family Practice, \$268,064, Faculty Development in Primary Care.
- R. Peiter, Community & Leadership Development, \$8,500, Improve Technical Education Programs Through Preservice and Inservice Teacher Ed Programs.
- Jane Peters, Art, \$60,580, Fulbright Hays Fellowship Brzyski.
- Timothy Phillips, AES/Agronomy, \$45,000, Forage For Advancing Livestock Production III-Scope A.
- Lee Pu, Plastic Surgery, \$4,932, Optimal Preservation of Adipose Aspirates for Autologous Fat Transplantation.
- David Puleo, Graduate Center for Biomedical Engineering, \$204,295, Molecular Imprinting to Control Cell Responses.
- Meg Quarles, Dean of Students, \$70,000, Social Norm Marketing Campaign Grant.
- Deborah Reed, Nursing Instruction, \$74,924, Centers for Agricultural Disease Control and Injury Research, Education and Prevention.
- Michael Reid, Physiology, \$72,388, Redox Mechanism in Dystrophic Muscle.
- Michael Reid, Physiology, \$191,100, Physiology of Respiratory Muscle Cells.
- Michael Reid, Physiology, \$294,150, Physiology of the Respiratory Muscles.
- James Rinehart, Administration & Supervision, \$59,011, Kentucky Superintendent Testing and Training.
- Elizabeth Rompf, Social Work, \$66,571, Public Child Welfare Certification & Undergraduate Social Work Education Programs.
- Elizabeth Rompf, Social Work, \$59,835, Public Child Welfare Certification & Undergraduate Social Work Education Programs-Scope A.
- Randall Roorda, Tracy Farmer Center for the Environment, \$15,000, Summer Environmental Writing Program, Student Stipends.
- Thomas Roszman, Microbiology and Immunology, \$100,000, Calpain Dependent Lung Cancer Cell Migration and Invasion.
- Holly Salisbury, Center for the Arts Service Center, \$5,000, Louisville Bach Society Concert.
- Rosetta Sandidge, Curriculum & Instruction, \$86,400, Ensuring Content Knowledge Preparation of Teacher Education Candidates at UK: A Teacher

Quality Enhancement Grant Proposal for the Curriculum Review and Response Strand.

- Christopher Schardl, AES/Plant Pathology, \$20,000, Centro Internacional de Agricultura Tropical Extraction and Identification of Antimicrobial Compounds From *Acremonium Implicatum* Infected *Brachiaria* Grasses.
- Stephen Scheff, Sanders-Brown Center on Aging, \$151,909, Neurobiology & Cognitive Impairment of the Elderly.
- Mary Secret, Social Work, \$200,000, Evaluations of Existing Child Abuse and Neglect Prevention Programs.
- Alfred Shapere, Physics and Astronomy, \$20,000, Topics in Gravitational Physics, Quantum Fields, and String Theory.
- Robert Shapiro, Kinesiology and Health Promotion, \$12,000, Education Research Conference.
- Robert Shapiro, Kinesiology and Health Promotion, \$12,000, Education Research Conference.
- Michael Sharkey, AES/Entomology, \$1,379,725, Collaborative Research: Large-Scale Phylogeny of Hymenoptera.
- Scott Shearer, AES/Biosystems and Agricultural Engineering, \$689,110, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V.
- Scott Shearer, AES/Biosystems and Agricultural Engineering, \$57,000, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE B.
- Scott Shearer, AES/Biosystems and Agricultural Engineering, \$40,979, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE D.
- Scott Shearer, AES/Biosystems and Agricultural Engineering, \$19,502, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE G.
- Timothy Sineath, School of Library and Information Science, \$5,000, KDLA LSTA Kentucky Guide Program.
- Mukesh Singhal, Computer Science, \$79,633, ITR Collaborative Research: Pervasively Secure Infrastructures (PSI): Integrating Smart Sensing, Data Mining, Pervasive Networking, and Community Computing.
- Eric Smart, Pediatrics, \$166,123, Center of Biomedical Research Excellence in Women's Health (COBRE): Project #4.
- Kelly Smith, Pharmacy Practice and Science, \$70,000, Utilization of Low Molecular Weight Heparin.
- Mary Sprang, Social Work, \$199,921, The Comprehensive Assessment and Training Services Project/Adoption Support Promotion Services.
- Mary Sprang, Social Work, \$54,000, Training Resource Center-Adoption Support Programs-Scope A.
- Kristin Stapleton, International Affairs, \$42,829, Partnership Project in American Studies: University of Kentucky and National University of Kyrgyzstan.

- Timothy Stombaugh, CES/Biosystems and Agricultural Engineering, \$76,284, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE F.
- Michael Stottman, Kentucky Archaeological Survey, \$36,087, Archaeological Excavations at the Riverside Barn FY02/03.
- Jeffery Talbert, Martin School of Public Policy, \$15,394, Health Administration Traineeship Grant.
- Jeffery Talbert, Martin School of Public Policy, \$250,000, Medicaid Study.
- Phillip Tibbs, Neurosurgery, Clinical Trial, A Post-Approval Evaluation of the Lumbar I/F Cage with VSP Spine System for PLIF.
- Michal Toborek, Graduate Center for Nutritional Sciences, \$20,497, HM 560 Cryostat.
- Kimberly Townley, Family Studies, \$94,590, Executive Director of the Governor's Office of Early Childhood Development.
- Thomas Troland, Physics and Astronomy, \$175,318, Measurements of Magnetic Field Strengths in the Galaxy.
- Salvatore Turco, Molecular and Cellular Biochemistry, \$189,615, Glycobiology of Leishmania.
- Eric Van Zant, AES/Animal Sciences, \$101,855, Forage For Advancing Livestock Production III-SCOPE B.
- Gary Van Zant, Internal Medicine, \$23,440, Age Related Changes in Hematopoietic Stem Cells.
- Gary Van Zant, Internal Medicine, \$294,100, Species Comparison of Stem Cell Aging.
- Sarah Wackerbarth, Martin School of Public Policy, \$147,025, Colorectal Screening Decisions: Patients and Physicians.
- Thomas Waid, Internal Medicine, Clinical Trial, An Open Label, Randomized, Multicenter Study to Evaluate the Efficacy and Safety of Early Calcineurin Inhibitor Withdrawal in Recipients of Primary Renal Allografts Maintained Long-Term on Mycophenolate Mofetil and Sirolimus.
- Kenneth Warlick, Interdisciplinary Human Development Institute, \$170,908, ILSSSA-IA.
- Kenneth Warlick, Interdisciplinary Human Development Institute, \$126,000, ILSSSA-NJ.
- Larry Wells, AES/Biosystems and Agricultural Engineering, \$58,790, Precision Agriculture: Development and Assessment of Integrated Practices for Kentucky Producers-Phase V-SCOPE H.
- Melinda Wilson, Physiology, \$60,883, Center for Biomedical Research Excellence in Women's Health (COBRE): Wilson Scope.
- Isaac Wong, Cancer Center-Core Support, \$9,836, ASV Integrase: Mechanism of DNA Binding and Recognition.
- A. Wrightson, Family Practice, \$152,727, Residency Training in Primary Care Medicine.
- Cheryl Wyatt, CES/Biosystems and Agricultural Engineering, \$32,937, TRACE In KY 03-04 Transportation For Active Community Environmental.

- Youling Xiong, AES/Animal Sciences, \$90,000, Mechanism of Oxidation-induced Functionality Changes of Myofibrillar Protein.
- Rick Zimmerman, Communications, \$7,500, Doctoral Dissertation Research in DRMS: The impact of Senstaion Seeking and Cultural Orientation on the Effects of Fear Appeal Messages – A Four-Country Study.

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PR 2

Office of the President
October 29, 2003

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate Provost/Vice President through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PR 3

Office of the President
October 29, 2003

Members, Board of Trustees:

AMEND THE STATUTE WHICH MANDATES
WHEN THE BOARD SHALL MEET
AND HOW THE BOARD SHALL
MAINTAIN THE RECORD OF THE BOARD MEETINGS

Recommendation: that the Board of Trustees approve a legislative initiative to amend KRS 164.170 during the 2004 legislative session. The legislative amendment would authorize the Board of Trustees to meet at least quarterly each year rather than meeting on the specified times as currently provided in the statute. The amendment would also permit the Board to maintain an audio recording, rather than a stenographic transcript, of each meeting of the Board of Trustees.

Background: Currently KRS 164.170 mandates four specific times when the Board of Trustees shall meet: 1) on the Tuesday preceding the regular annual commencement, 2) on the third Tuesday in September, 3) on the Second Tuesday in December, and, 4) on the first Tuesday in April of each year. The statute also mandates that the Board shall maintain a stenographic transcript of each meeting.

The proposed legislation eliminates the specific times the Board shall meet. The amended language mandates that the Board shall meet quarterly each year. This proposal mirrors the language currently in place for the Boards of Regents at the Regional Universities and for the Kentucky Community and Technical College System.

The proposed legislation also permits the University to maintain an audio recording of the Board meetings. This amendment would eliminate the duty of the University to transcribe each Board meeting. All records relating to the Board of Trustees meetings are preserved, including the agenda; therefore, a stenographic transcript should not be necessary. The audio tape will be maintained with all the official Board records and would be available for review by any interested individual.

Action taken: Approved Disapproved Other Tabled

AACR 1

Office of the President
October 29, 2003

Members, Board of Trustees:

DOCTOR OF PHILOSOPHY DEGREE IN THEATRE PRACTICE

Recommendation: that the Board of Trustees approve the establishment of a Doctor of Philosophy in Theatre Practice degree program in the College of Fine Arts.

Background: The Ph.D. in Theatre Practice is designed to prepare students to pursue either academic or professional careers through a combination of practical/applied and theoretical studies. Upon completion of this program, students will be capable of serving as professional dramaturges, educators, and/or scholars in theatre history, criticism, or performance studies. The proposed program is consistent with the mission of the Department of Theatre to provide the highest quality instruction in the theory and practice of Theatre Art. The Department promotes the scholarly investigation of the theatre and its historical/theoretical components and provides cultural and artistic opportunities for the University, the community, and the Commonwealth. The proposed degree program promotes the mission of the University of Kentucky especially as it relates to graduate education goals: to provide advanced knowledge and skills as well as to provide the advancement of research.

During the past 20 years, significant changes in the demands of both professional theatre companies and post secondary education institutions have occurred. In professional theatre, one development has been to train the dramaturge--the literary consultant to the production. This person advises directors, designers, and actors regarding cultural, literary, political, and technical aspects of a particular play. Research and communication skills are critical to this position, as is knowledge of the arts that combine to create theatre--directing, acting, and designing. While this position has existed in European theatres for centuries, it has evolved slowly in the United States but finally has become a recognized and necessary one for professional theatre companies. A number of universities--mainly those with Research Extensive status such as the University of Illinois, University of Maryland, and University of Michigan have begun offering advanced degrees in dramaturgy. Additionally, colleges and universities throughout the country are offering courses in dramaturgy and related areas. Faculty trained in this field are now being hired in increasing numbers. As a result, a Ph.D. degree program designed to combine scholarly activities with applied work in theatre is required. Student requests for this type of education are also increasing.

This program has the approval of the Graduate Council and Senate Council, and has completed the forty-five day review by the Council on Postsecondary Education. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
October 29, 2003

Members, Board of Trustees:

CHANGE OF ORGANIZATIONAL STRUCTURE OF THE
CENTER ON DRUG AND ALCOHOL RESEARCH

Recommendation: that the Board of Trustees approve the transfer of administrative oversight for the Center on Drug and Alcohol Research (CDAR) from the Executive Vice President for Research to the Dean, College of Medicine.

Background: Part of the charge to the Futures Task Force was to make recommendations concerning the administrative reporting relationships for the centers and institutes currently reporting to the Executive Vice President for Research. The Task Force responded to this charge by recommending that several centers and institutes, including CDAR, would be better aligned with a specific college. In addition, the report of the Periodic External Review Committee of the Center on Drug and Alcohol Research included as one of its major recommendations the transfer of the Center to the College of Medicine.

The Executive Vice President for Research has recommended this proposal and the Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 3

Office of the President
October 29, 2003

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the Board of Trustees approve the change in the name of the Department of Ophthalmology to the Department of Ophthalmology and Visual Sciences.

Background: This change reflects the desire to advance a strong research mission and will aid in attracting biomedical scientists and academically oriented veterinary ophthalmologists and optometrists. The change will increase collaborative opportunities, enhancing joint competitiveness for extramural funding. The addition of “Visual Sciences” conveys to the lay and professional communities that the department is not only a center of clinical excellence but also one with research interests.

The proposed change has been approved by the faculty in the Department and the Academic Council for the Medical Center, reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council, and approved by the University Senate. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
October 29, 2003

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THREE MONTHS ENDED
SEPTEMBER 30, 2003

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2003.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 2003, the University had realized income of \$427,316,000 representing 31% of the 2003-04 estimate of \$1,392,005,000. Expenditures and commitments total \$353,390,000 or 25% of the approved budget of \$1,392,005,000.

Action taken: Approved Disapproved Other_____



*Consolidated Financial
Statements*

For the three months ended September 30, 2003

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 2003
with comparative totals for September 30, 2002
(in thousands)

	2003-04					2002-03	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 121,309		\$ 5,629	\$ 251	\$ 301,942	\$ 429,131	\$ 349,782
Notes, loans, and A/R (less bad debt allowances of \$73,453)	95,303	\$ 34,413	23,640	500	3,637	157,493	170,459
Investments	47,810	116,095		448,942	48,485	661,332	587,079
Property, plant, and equipment, net of depreciation					902,344	902,344	847,192
Inventories and other	15,167	213				15,380	14,663
Total Assets	\$ 279,589	\$ 150,721	\$ 29,269	\$ 449,693	\$ 1,256,408	\$ 2,165,680	\$ 1,969,175
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 31,628	\$ 1,598	\$ 425		\$ 1,509	\$ 35,160	\$ 31,978
Employee withholdings and deposits		18,397				18,397	18,882
Other liabilities	5,629	1,009	20,767	\$ 5,345	3,221	35,971	36,304
Liability for self insurance	52,590					52,590	50,710
Deferred income	15,615	20,905				36,520	35,541
Bonds payable					272,726	272,726	211,266
Capitalized lease obligation					45,013	45,013	47,126
Total Liabilities	105,462	41,909	21,192	5,345	322,469	496,377	431,807
Interfund Balances	(58,404)	49,900		12,431	(3,927)		
Net deferred revenues and appropriated fund balances	85,109	(2,545)				82,564	64,476
Fund Balances							
Current unrestricted							
Working capital	133,938					133,938	140,481
Future operating purposes	4,920					4,920	7,849
Other	8,564					8,564	2,980
Current restricted		61,457				61,457	59,539
Loan			8,077			8,077	7,848
True endowments				364,712		364,712	300,778
Term endowments				3,090		3,090	3,048
Quasi endowments				61,863		61,863	51,966
Charitable trusts				1,937		1,937	565
Gift annuities				315		315	-
Plant							
Retirement of indebtedness					23,312	23,312	28,427
Renewal and replacement					151,555	151,555	120,063
Allocated for designated projects					98,214	98,214	141,330
Net investment in plant					664,785	664,785	608,018
Total Fund Balances	147,422	61,457	8,077	431,917	937,866	1,586,739	1,472,892
Total Liabilities and Fund Balances	\$ 279,589	\$ 150,721	\$ 29,269	\$ 449,693	\$ 1,256,408	\$ 2,165,680	\$ 1,969,175

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2003**

with comparative totals for September 30, 2002

(in thousands)

	2003-04				2002-03	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 308,519	\$ 308,519	\$ 92,541	30	\$ 93,974	30
(2) Student fees	157,256	157,256	82,713	53	71,575	51
(3) County appropriations	9,883	9,883	1,439	15	2,927	32
(4) Endowment and investment income	7,200	7,200	1,854	26	2,953	25
(5) Non governmental grants and contracts	84,590	84,562	15,286	18	14,383	17
(6) Grants, donations, pledges						
Affiliated corporations	20,818	20,818	5,092	24	4,641	24
Other	1,979	1,979	2,291	116	416	4
(7) Sales and services	33,475	33,575	7,136	21	6,706	24
(8) Transfers	7,299	7,299	941	13	7,349	160
(9) Fund balance	20,768	20,768	20,768	100	21,837	100
(10) Total General Fund	651,787	651,859	230,061	35	226,761	35
(11) Auxiliary Enterprises	48,676	48,744	14,725	30	13,100	30
Restricted Funds						
(12) Federal appropriations	16,119	16,119	4,607	29	4,458	28
(13) Other	95,983	96,248	26,695	28	27,367	32
(14) Affiliated Corporations	259,996	259,988	63,099	24	55,193	24
(15) Hospital						
Revenues	319,047	319,047	88,128	28	89,290	28
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,391,608</u>	<u>\$ 1,392,005</u>	<u>\$ 427,316</u>	31	<u>\$ 416,169</u>	31

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2003**

with comparative totals for September 30, 2002
(in thousands)

	2003-04				2002-03	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 275,921	\$ 275,837	\$ 61,412	22	\$ 64,546	24
(2) Research	230,698	232,221	50,296	22	48,219	23
(3) Public service	172,223	173,080	41,128	24	41,738	25
(4) Academic support	76,328	76,345	19,810	26	23,855	29
(5) Student services	23,485	23,576	5,645	24	5,427	26
(6) Institutional support	59,970	57,955	15,280	26	15,343	30
(7) Student financial aid	72,786	72,736	38,723	53	32,718	50
(8) Operation and maintenance	45,855	45,976	9,562	21	9,500	20
(9) Mandatory transfers (debt service)	16,777	16,647	13,408	81	16,204	83
(10) Hospital	321,982	321,982	70,832	22	76,101	24
(11) Auxiliary enterprises	95,583	95,650	27,294	29	27,473	33
(12) Total Expenditure by Program	<u>\$ 1,391,608</u>	<u>\$ 1,392,005</u>	<u>\$ 353,390</u>	25	<u>\$ 361,124</u>	27

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2003**

with comparative totals for September 30, 2002
(in thousands)

	2003-04				2002-03	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 792,158	\$ 794,171	\$ 184,690	23	\$ 187,047	24
(14) Operating expenses	524,647	522,993	141,956	27	138,743	28
(15) Mandatory transfers (debt service)	28,071	27,941	13,408	48	17,963	58
(16) Capital outlay	46,732	46,900	13,336	28	17,371	45
(17) Total Expenditure by Category	<u>\$ 1,391,608</u>	<u>\$ 1,392,005</u>	<u>\$ 353,390</u>	25	<u>\$ 361,124</u>	27

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2003**

with comparative totals for September 30, 2002
(in thousands)

	2003-04	2002-03
(1) Realized Revenues	\$ 406,548	\$ 394,332
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 20,768	21,837
(3) University Hospital		
(4) Total Appropriated Fund Balances	20,768	21,837
(5) Total Revenues and Appropriated Fund Balances	427,316	416,169
(6) Expenditures/Encumbrances	353,390	361,124
(7) Less: Reserve for Encumbrances	(8,639)	(9,431)
(8) Total Expenditures	344,752	351,693
(9) Net Deferred Revenues and Appropriated Fund Balances	\$ 82,564	\$ 64,476

FCR 2

Office of the President
October 29, 2003

Members, Board of Trustees:

DISPOSITION OF PROPERTY -
BEQUEST TO COLLEGE OF AGRICULTURE

Recommendation: that the Executive Vice President for Finance and Administration be authorized to execute appropriate legal instruments to sell a parcel of land containing 336.764 acres, at a sum not less than the appraised value. The property is located on Tateville-Antioch Road, Pulaski County, Somerset, Kentucky and known as the Ava Christine Simpson property. This property was a bequest to the College of Agriculture. In the event this property is sold, the University is required to establish the James W. and Ava Christine Simpson Endowment Fund for the benefit of the Department of Forestry.

Background: This land is surplus to the needs of the University of Kentucky. The selling price is based on appraisals for \$370,000 and \$370,400 obtained by the University.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
October 29, 2003

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION
GIFT OF PROPERTY

Recommendation: that the Board of Trustees accept a gift of Real Property located at 807 South Limestone Street, Lexington, Kentucky from the Kentucky Medical Services Foundation.

Background: The property has been appraised at \$1,425,000 and is described as a one-story, masonry, office building consisting of 15,658 square feet on a 0.71 acre lot. The property will be used as an education center for UK medical students. The University will maintain and operate this facility.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
October 29, 2003

Members, Board of Trustees:

APPROVAL OF THE TERMS OF AN AGREEMENT
WITH THE KENTUCKY MEDICAL SERVICES FOUNDATION (KMSF)
REGARDING REAL PROPERTY
AT 138 LEADER AVENUE

Recommendation: that the Board of Trustees approve the terms of an agreement for real property located at 138 Leader Avenue, Lexington, Kentucky from the Kentucky Medical Services Foundation.

Background: In order to provide the site for the Biomedical/Biological Sciences Research Building (BBSRB), the University entered into an agreement with KMSF to relocate the KMSF Building on land owned by the University, with KMSF providing design and construction at their expense. In the event that the Kentucky Medical Services Foundation chooses to vacate or the University needs the property, the University agrees to pay Kentucky Medical Services Foundation the fair market value less the value of the real estate without improvements. The terms of the contract require approval of the purchase agreement. The property has been appraised at \$3,212,000 and is described as a two-story, with basement, masonry, office building consisting of 30,000 square feet. The property currently houses the offices for Kentucky Medical Services Foundation.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
October 29, 2003

Members, Board of Trustees:

AUTHORIZATION TO SELL PROPERTY
IN SHARONVILLE, OHIO

Recommendation: that the Board of Trustees authorize the Executive Vice President for Finance and Administration to sell property known as the Texas Roadhouse, situated at 11525 Chester Road, Sharonville, Ohio for a sale price of \$225,000; further, that the Executive Vice President for Finance and Administration be authorized to execute a Deed to same.

Background: The Texas Roadhouse was a gift to the University in the fall of 2000 by Tracy and Carol Farmer. The Property, having been determined to be surplus to the needs of the University, was exposed for sale at public auction on Tuesday, September 23, 2003 in Sharonville, Ohio. The highest bid offered was \$150,000. The University rejected the bid and, thereafter, on September 26, 2003 received and accepted an offer to purchase privately for \$225,000. The net proceeds from the sale of this property will go to the Tracy Farmer Center for the Environment.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
October 29, 2003

Members, Board of Trustees:

ESTATE OF DARLENE F. DUNCAN

Recommendation: that the Board of Trustees accept distribution of \$537,783.30 from the estate of Darlene F. Duncan to create and endow the Joseph G. Duncan Endowed Scholarship Fund in the College of Agriculture.

Background: Mrs. Duncan and her late husband, Joseph G. Duncan, were long-time friends of the College of Agriculture. Mr. Duncan was an employee of the Agricultural Communications Department of the college. Mr. and Mrs. Duncan recognized the importance of support for undergraduate students of the college and wanted to leave a legacy reflecting their desire. Mrs. Duncan died in 2002. Mr. Duncan died in 1997. They lived in Lexington.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
October 29, 2003

Members, Board of Trustees:

FLUENT, INC., GIFT-IN-KIND

Recommendation: that the Board of Trustees accept gift-in-kind software valued at \$1,160,000 from Fluent, Inc., of Lebanon, New Hampshire, to the Department of Mechanical Engineering in the College of Engineering.

Background: The Fluent gift of software will assist Dr. George Huang, Department of Mechanical Engineering professor and director of graduate studies, with his research areas of computational fluid dynamics (CFD) analysis and schemes. Fluent is the world's leading developer of CFD code for a wide range of flow-modeling applications. Using Fluent software, the department's product development, design, and research engineers build virtual prototypes and simulate the performance of proposed and existing designs, allowing them to improve design quality while reducing cost and speeding time to market.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
October 29, 2003

Members, Board of Trustees:

TOYOTA MOTOR MANUFACTURING, KENTUCKY, INC. GIFT-IN-KIND

Recommendation: that the Board of Trustees accept a gift-in-kind of research and manufacturing equipment valued at \$243,560 from Toyota Motor Manufacturing, Kentucky, Inc., of Georgetown, Kentucky, to the Center for Manufacturing in the College of Engineering.

Background: The gift of equipment from Toyota will allow the Center for Manufacturing to use the company's patented optical image enhancement technique for comprehensive surface inspection of sheet metal, glass, plastics, and tooling irregularities. With the gift, Toyota continues its longstanding and mutually beneficial partnership with the College of Engineering.

Action taken: Approved Disapproved Other _____

FCR 9

Office of the President
October 29, 2003

Members, Board of Trustees:

CHARLES D. AND JEANETTE D. BENNETT GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$20,000 and a pledge of \$230,000 from Charles D. and Jeanette D. Bennett of Hardinsburg, Kentucky, to establish the Provost Discretionary Fund to enhance program excellence.

Background: Mr. Bennett, a 1946 graduate of the College of Agriculture, is a banker and businessman. He and his wife are generous supporters of the University and hold UK Fellows recognition. This commitment of \$250,000 to UK reflects the Bennetts' great appreciation for the University and their desire to support its advancement. The funds will be used at the provost's discretion. The pledge will be paid in 2004.

Action taken: Approved Disapproved Other _____

FCR 10

Office of the President
October 29, 2003

Members, Board of Trustees:

GIFTS AND PLEDGES TO
ROBERT G. LAWSON AND WILLIAM H. FORTUNE PROFESSORSHIPS ENDOWMENT

Recommendation: that the Board of Trustees accept gifts of \$42,180 and pledges of \$43,500 totaling \$85,680 to create and establish the Robert G. Lawson and William H. Fortune Professorships Endowment in the College of Law.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The endowment is being established to honor two long-time College of Law professors. Robert G. Lawson has been a member of the faculty since 1966 and served as dean during 1971-73 and 1982-88. William H. Fortune has been a faculty member since 1969 and has served as associate dean for academic affairs during 1989-91 and is currently serving as associate dean. Both Lawson and Fortune are UK College of Law graduates. The professorships will be awarded to support the research and teaching endeavors of distinguished members of the College of Law faculty. The gifts and pledges are eligible for matching funds from the state's Research Challenge Trust Fund.

Action taken: Approved Disapproved Other _____

FCR 11

Office of the President
October 29, 2003

Members, Board of Trustees:

EDITH D. GARDNER CHARITABLE FOUNDATION, INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$760,449 from the Edith D. Gardner Charitable Foundation, Inc. of Lexington, Kentucky, for the Edith D. Gardner Professorship/Chair in Cancer Research and the Madeline F. James Professorship/Chair in Cancer Research in the Markey Cancer Center in the College of Medicine. Also, it is recommended that the Edith D. Gardner Professorship/Chair in Cancer Research be renamed the Edith D. Gardner Chair in Cancer Research, and that the Madeline F. James Professorship/Chair in Cancer Research be renamed the Madeline F. James and Edith D. Gardner Chair in Cancer Research.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: From the \$760,449 gift, \$635,449 will be added to the Edith D. Gardner Professorship/Chair in Cancer Research fund that was created by the Board in January, 2001. The name is being changed to delete the word "Professorship" because the fund now exceeds \$1 million, the amount required for a chair designation.

The remaining \$125,000 will be added to the Madeline F. James Professorship/Chair in Cancer Research created by the Board in May, 2001. The name of the fund is being changed to honor the second donor to the fund, Mrs. Gardner, and to delete the word "Professorship" because the fund now exceeds \$1 million, the amount required for a chair designation.

The Gardner Foundation was created through the will of Edith D. Gardner, a Lexington resident who died in 1989. Mrs. Gardner attended UK and her husband, who died in 1982, was a 1924 graduate of the College of Agriculture. Mrs. Gardner designated the Markey Cancer Center as one of the beneficiaries of her foundation's contributions.

Mrs. James was a resident of Lexington who died in 1996 and designated the Markey Cancer Center as one of the beneficiaries of the Madeline F. James Trust.

Action taken: Approved Disapproved Other _____

FCR 12

Office of the President
October 29, 2003

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Kentucky Medical Services Foundation of Lexington, Kentucky, for the Belinda Mason Carden and Paul Mason Professorship in HIV/AIDS Research in the College of Medicine.

In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: The endowed professorship was established by the Board in September, 2000 with a gift from friends and colleagues of the late Paul Mason, a state representative from Whitesburg, Kentucky, who died in December, 1998. He was an advocate for laws focused on preventing the spread of HIV/AIDS and protecting those who have the disease from discrimination. His daughter, Belinda Mason Carden, died of AIDS in 1991 at the age of 33 after contracting HIV through a blood transfusion during the birth of her second child. Gifts totaling \$51,960 were matched in September, 2000 by RCTF, and this gift supplementing the endowment is eligible for matching RCTF funds.

Action taken: Approved Disapproved Other _____

FCR 13

Office of the President
October 29, 2003

Members, Board of Trustees:

APPROVAL OF 2002-03 ENDOWMENT MATCH PROGRAM
ANNUAL REPORT

Recommendation: that the Board of Trustees accept the 2002-03 Endowment Match Program Annual Report.

Background: The Research Challenge Trust Fund was established in 1997 with the passage of House Bill 1, the Kentucky Postsecondary Education Improvement Act, to encourage research activities at the University of Kentucky and the University of Louisville. Pursuant to the Council on Postsecondary Education's Endowment Match Program Match Request and Reporting Procedures, the Board of Trustees must review and approve the annual report. The report contains an Executive Summary (attached) as well as detail reports on each of the 402 endowed activities created or enhanced through this program. The complete report has been provided on a compact disc.

Action taken: Approved Disapproved Other _____

The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the Kentucky Postsecondary Education Improvement Act, to encourage research activities at the University of Kentucky (UK) and the University of Louisville. Since that time, the Commonwealth of Kentucky has appropriated over \$200 million to the University, which is matched with private funds, for endowed faculty positions and programs.

RCTF assists UK in recruiting distinguished faculty who can successfully compete for research dollars and who are excellent teachers. These professors are developing, applying and sharing new research in the sciences and humanities that will change the lives of Kentuckians and impact people across our nation and the world.

Many of the outstanding professors' efforts focus on critical areas of concern to the Commonwealth, such as treating and preventing diabetes, reducing underage smoking, enhancing the learning capabilities of children with disabilities, eliminating plant and animal diseases, and improving treatments for those with Parkinson's disease and Alzheimer's disease. Several examples of the outstanding research of the RCTF faculty follow.

Advancing Kentucky Through Research



Ag and the Environment

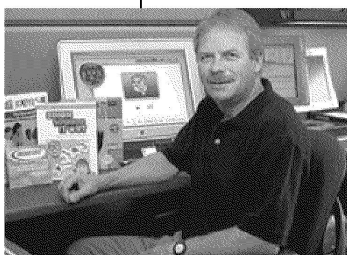
Chris Schardl, a plant pathologist, is teaming up with other UK scientists in the areas of bioinformatics, structural biology and functional genomics to eliminate plant and animal diseases. He is working to enhance the nutritional value of food and develop new uses for agricultural plants and animals.

Vocal Music and Performance

World-renowned soprano Gail Robinson, who coached young singers for 20 years at the Metropolitan Opera in New York City, is now fostering the talent of UK students. UK's first endowed chair in voice, Robinson is helping to bring new musical acclaim to Kentucky. "UK is nationally recognized as not only a legitimate training ground for vocalists, but is also held in high regard as far as the quality of students leaving the program," she says.

Children with Disabilities

Ted Hasselbring develops and designs instructional technology that is used to



improve the functional capabilities of children with disabilities. His work has led to the founding, at UK, of the first Assistive Technology Institute in the nation.



This report covers the activities and expenditures supported at the University of Kentucky under the Endowment Match Program. During fiscal year 2002-03, the University submitted five requests for matching funds, totaling \$5,112,144, to the Council on Postsecondary Education. As of June 30, 2003, the Council had processed all of those requests as well as three from the previous fiscal year and disbursed \$7,245,720 to UK (Table 6). As of June 30, 2003, state matching funds have helped create or expand over 400 endowments valued at \$217,119,999 (Table 7).

Endowment Activity

Private donations and state matching funds supported the addition of 21 endowed activities at the University of Kentucky in 2002-03. This includes the addition of two endowed chairs, nine endowed professorships, four fellowships, and six mission support projects. A schedule of activities initiated during 2002-03 and the total activities initiated since the inception of the program as of June 30, 2003, are presented in Table 1.

Table 1: Match Program Position, Programs, and Projects Established During Fiscal 2002-03 and Since Program Inception

Activity	2002-03		1998-03	
	Number Established*	Number Active	Number Established	Number Active
Chairs	2	0	58	32
Professorships	9	2	148	94
Fellowships	4	1	112	50
Mission Support Projects	6	2	84	46
Totals	21	5	402	222

* Includes one endowed chair and two mission support projects for which the University anticipates requesting matching funds in fall 2003 when the third round of state matching funds is made available.

Demographic Information

As of June 30, 2003, UK has appointed a total of 126 endowed chairs and professorships under the auspices of the Endowment Match Program. Of those appointments, 103 were male, and 23 were female. Of the 249 staff associated with the endowments, 132 (53 percent) are male and 117 (47 percent) are female. Demographic information on the appointed faculty and associated staff is provided in Tables 2 and 3.

Table 2: Gender of Endowment Match Program Faculty and Staff As of June 30, 2003

	Male	Female	Total
Chairs	26	6	32
Professorships	77	17	94
Staff	132	117	249
Total	235	140	375

Table 3: Race of Endowment Match Program Faculty and Staff
As of June 30, 2003

	White	Black	Am. Indian	Asian	Hispanic	Total
Chairs	25	1	0	5	1	32
Professorships	84	2	0	7	1	94
Staff	187	15	6	35	6	249
Total	296	18	6	47	8	375

In 2002-03, the Endowment Match Program also allowed the University to award fellowships to graduate students. Demographic information on the financial aid award recipients is provided in Table 4.

Table 4: Gender and Race of Match Program Financial Aid Recipients
For Awards Made During Fiscal 2002-03

	White	Black	Am. Indian	Asian	Hispanic	Total
Fellowship Recipients						
Male	65	1	0	25	0	91
Female	49	4	1	9	1	64
Total	114	5	1	34	1	155

Sources of Funds

During fiscal year 2002-03, the University of Kentucky received \$7,245,720 in gifts and pledges from private sources (Table 6) that were matched with state funds. About 77 percent of that amount, \$5,560,634, was received in cash with the remainder received in the form of pledges.

Areas of Concentration

The 2000-02 Endowment Match Program Guidelines stipulate that at least 60 percent of program funds at the research institutions must be used to support the academic disciplines of engineering, technology, computer science, health sciences, life sciences, mathematics or physical sciences. The University of Kentucky has directed 60.2% of the 2000-02 funds to support positions, programs, or projects in the Council on Postsecondary Education's priority areas (Table 5).

Table 5: Match Program Funds Dedicated to Council Priority Areas
For All Matched 2000-02 Appropriation Funds

	State* Funds	Private ** Funds	Total	Percent of Total
Engineering	\$ 4,815,748	\$ 4,815,748	\$ 9,631,496	7.0%
Technology	-	-	-	0.0%
Computer Science	100,000	100,000	200,000	0.1%
Health Sciences	17,413,758	17,413,758	34,827,516	25.3%
Life Sciences	7,787,419	7,787,419	15,574,838	11.3%
Mathematics	150,493	150,493	300,986	0.2%
Physical Sciences	655,455	655,455	1,310,910	1.0%
Library***	10,492,213	10,492,213	20,984,426	15.2%
Subtotal	<u>\$ 41,415,086</u>	<u>\$ 41,415,086</u>	<u>\$ 82,830,172</u>	<u>60.2%</u>
Other	27,390,844	27,390,844	54,781,688	39.8%
Total	<u><u>\$ 68,805,930</u></u>	<u><u>\$ 68,805,930</u></u>	<u><u>\$ 137,611,860</u></u>	<u><u>100.0%</u></u>

* Includes all 2000-02 match program state funds received by the university as of June 30, 2003.

** Includes cash gifts and pledges that were used to match 2000-02 appropriation funds as of June 30, 2003.
Does not include unmatched contributions.

*** Includes computer, health and life sciences, technology and mathematics disciplines within the
W.T. Young Library Endowment.

Earnings and Expenditures

As of June 30, 2003, the total market value of the 402 endowed activities established under the Endowment Match Program was \$217,119,999 (Table 7). As of June 30, 2003, the University of Kentucky had received a total of \$135,472,929 in state funds and \$103,782,048 in matching gift funds. The University had outstanding pledges of \$31,690,881 related to these endowments as of June 30, 2003. Over \$8.2 million was expended from the endowments for program activities during the fiscal year ended June 30, 2003.

Table 6: Endowments Established or Expanded with State Funds *
During Fiscal Year 2002 - 2003

FRS Account Number	CPE Request Number	Endowment Name:	Date Established / Expanded	State Funds	Private Funds **			Grand Total
					Gifts	Pledges	Total	
Endowments Established:								
051548	03-12	Lexmark Graduate Fellowship Endowment	12/11/2001	\$100,000	\$100,000	-	-	\$200,000
051575	03-12	Northern Kentucky UK Alumni Club Fellowship Fund	11/15/2001	50,000	20,000	30,000	50,000	100,000
051584	03-12	Ann Scott & Ralph E. Mason Endowed Research Fund in Opera Studies	12/11/2001	100,000	100,000	-	100,000	200,000
051588	03-12	Databeam Professorship in Electrical & Computer Engineering	11/05/2001	80,000	20,000	60,000	80,000	160,000
051394	03-15	John C. Harris: Center for Financial Services Research Endowment	04/02/2002	50,000	50,000	-	50,000	100,000
051608	03-15	Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering	12/31/2001	300,000	120,000	180,000	300,000	600,000
051614	03-15	Creed Fulton Smith Endowed Fellowship Fund	01/31/2002	50,000	40,000	10,000	50,000	100,000
051645	03-15	William T. Young Endowed Chair	04/02/2002	500,000	500,000	-	500,000	1,000,000
051574	03-16	Gifford S. Blyton Endowed Professorship in Oral Communication & Forensics	06/04/2002	197,926	83,143	114,784	197,926	395,852
051638	03-16	Secat-J. G. Morris Aluminum Professorship	04/11/2002	50,000	10,000	40,000	50,000	100,000
051640	03-16	Infectious Diseases Research Professorship	06/11/2002	50,000	50,000	-	50,000	100,000
051642	03-16	Gloria W. Singletary Professorship/Chair in Women's Health	05/29/2002	345,650	166,817	178,833	345,650	691,300
051647	03-16	Lauranne Laise Howell Graduate Fellowship in Economic Education	05/17/2002	125,000	118,225	6,775	125,000	250,000
051655	03-17	Blazie Family Professorship in Electrical and Computer Engineering	03/25/2002	50,000	20,000	30,000	50,000	100,000
051656	03-17	George A. Young Endowment for Stroke Research	08/13/2002	1,479,600	1,479,600	-	1,479,600	2,959,200
051659	03-17	Kentucky Utilities Professorship in Electrical & Computer Engineering	08/13/2002	50,000	50,000	-	50,000	100,000
051681	03-17	UK Chandler Medical Center Chair	07/23/2002	500,000	-	500,000	500,000	1,000,000
051642	03-18	Gloria W. Singletary Professorship/Chair in Women's Health	09/17/2002	154,500	104,500	50,000	154,500	309,000
051674	03-18	Delta Dental Plan of Kentucky Clinical Research Center Endowment	09/17/2002	750,000	750,000	-	750,000	1,500,000
051668	03-19	Carmen L. Buck Professor/Chair in Oncology Research	10/10/2002	300,000	300,000	-	300,000	600,000
051468	03-20	Thomas B. Clark Professorship	12/10/2002	119,013	112,763	6,250	119,013	238,026
051672	03-20	H. Lester Reynolds Professorship in Engineering	02/10/2002	100,000	100,000	-	100,000	200,000
051676	03-20	Arvle & Ellen Turner Thacker Endowment Fund	12/10/2002	50,000	50,000	-	50,000	100,000
051688	03-20	USEC Inc. Graduate Fellowship	09/27/2002	50,000	20,000	30,000	50,000	100,000
051691	03-20	The Trane Company Graduate Fellowship	10/21/2002	75,000	15,000	60,000	75,000	150,000
051696	03-20	Lawson Professorship in Civil Engineering	12/10/2002	250,000	250,000	-	250,000	500,000
051697	03-20	Palmer Engineering Civil Engineering Lab Endowment	11/04/2002	50,000	10,000	40,000	50,000	100,000
051632	03-21	George A. Digenis Professorship/Chair in Pharmaceutical Sciences	12/04/2002	68,650	28,650	40,000	68,650	137,300
051664	03-21	Charles H. Nicholson, M.D. Residency Fellowship in General Surgery	12/26/2002	62,100	62,100	-	62,100	124,200
051684	03-21	Robert H. & Anna B. Culton Professorship	12/18/2002	50,000	10,000	40,000	50,000	100,000
051695	03-21	Lawson Endowment Fund for Asphalt Research	11/04/2002	318,097	250,000	68,097	318,097	636,194
Sub-Total				\$6,475,536	\$4,990,797	\$1,484,739	\$6,475,536	\$12,951,072
Endowments Expanded:								
051185	03-12	W. T. Young Library - Ashford Stud	12/11/2001	\$135,000	\$135,000	-	\$135,000	\$270,000
051536	03-19	Madeline F. James Professorship/Chair in Cancer Research	10/10/2002	200,000	200,000	-	200,000	400,000
051206	03-20	BS/MBA Graduate Fellowship Endowment Fund	11/13/2002	310,184	159,837	150,347	310,184	620,368
051635	03-20	Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	11/18/2002	50,000	-	50,000	50,000	100,000
051285	03-21	Boehringer-Ingelheim Professorship	02/25/2003	75,000	75,000	-	75,000	150,000
Sub-Total				\$770,184	\$569,837	\$200,347	\$770,184	\$1,540,368
Grand Total				\$7,245,720	\$5,560,634	\$1,685,086	\$7,245,720	\$14,491,440

* Schedule of endowments for which state funds were received during the fiscal year, regardless of when those funds were appropriated. Does not include any unmatched appropriations or pending payments.

** Includes cash gifts and pledges that were used to match state funds received during the fiscal year, regardless of when those gifts and pledges were received. Does not include unmatched contributions or pending requests.

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RCF Request Number	Endowment Name	During Fiscal Year 2002-03														
			Date Est.	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003
New Endowments:																	
0-51548	03-12	Lexmark Graduate Fellowship Endowment	12/11/01	-	\$96,536	\$92,774	\$100,000	\$3,464	-	\$2,276	(\$10,004)	-\$	-\$	-\$	\$186,722	-	-
0-51575	03-12	Northern Kentucky UK Alumni Club Fellowship Fund	11/15/01	-	8,500	12,057	50,000	11,500	-	5,202	(3,459)	-	-\$	-\$	74,668	30,000	-
0-51584	03-12	Ann Scott & Ralph E. Mason Endowed Research Fund in Opera Studies	11/10/01	-	100,000	96,243	100,000	-	-	2,217	(10,083)	-	-\$	-\$	188,377	-	12,049
0-51588	03-12	Dabbsam Professorship in Electrical & Computer Engineering	11/05/01	-	10,000	9,491	80,000	10,000	-	1,729	(4,673)	-	-\$	-\$	95,691	60,000	-
0-51594	03-15	Center for Financial Services Research Endowment	08/28/00	-	50,000	42,954	50,000	-	-	984	(4,747)	-	-\$	-\$	88,373	-	5,998
0-51608	03-15	Arthur E. Walker Jr. Graduate Fellowship in Civil Eng	12/31/01	-	-	-	300,000	120,000	-	4,085	(20,405)	-	-\$	-\$	399,910	180,000	-
0-51614	03-15	Cresed Fulton Smith Endowed Fellowship Fund	01/31/02	-	20,000	18,742	50,000	20,000	-	3,057	(3,705)	-	-\$	-\$	87,434	10,000	-
0-51645	03-15	William T. Young Endowed Chair	04/02/02	-	-	-	500,000	500,000	-	70,502	(44,699)	-	-\$	-\$	1,017,782	-	-
0-51674	03-16	Gifford S. Bylton Endowed Professorship in Oral Communication & Forensics	06/04/02	-	53,793	50,401	197,926	29,350	-	15,973	(11,826)	-	-\$	-\$	279,811	114,784	-
0-51638	03-16	Seak-JG Morris Aluminum Professorship	04/11/02	-	-	-	50,000	10,000	-	4,129	(2,381)	-	-\$	-\$	61,748	40,000	-
0-51640	03-16	Infectious Diseases Research Professorship	05/10/02	-	50,000	47,019	50,000	-	-	3,966	(4,665)	-	-\$	-\$	98,221	-	-
0-51642	03-16	Gloria W. Singletary Professorship/Chair in Women's Health	M 05/29/02	-	83,200	78,603	345,650	83,617	-	45,504	(30,066)	-	259,000.00	-\$	178,833	-	-
0-51647	03-16	Lauranne Lake Howell Graduate Fellowship in Economic Education	05/17/02	-	46,224	44,018	125,000	72,001	-	12,360	(8,023)	-	-\$	-\$	243,985	6,775	-
0-51655	03-17	Blaze Family Professorship in Electrical and Computer Engineering	03/25/02	-	10,000	9,523	50,000	10,000	-	7,096	(2,809)	-	-\$	-\$	73,331	30,000	-
0-51656	03-17	George A. Young Endowment for Stroke Research	08/13/02	-	1,479,600	1,409,015	1,479,600	-	-	152,096	(126,033)	-	53,623	-\$	2,946,708	-	30
0-51659	03-17	Kentucky Utilities Prof in Electrical Eng.	08/13/02	-	-	-	50,000	50,000	-	8,655	(3,483)	-	-\$	-\$	106,717	-	-
0-51681	03-17	UK Chandler Medical Center Chair	07/23/02	-	-	-	500,000	-	-	46,244	(17,259)	-	17,259	-\$	543,296	500,000	-
0-51642	03-18	Gloria W. Singletary Professorship/Chair in Women's Health	M 05/29/02	-	-	-	154,500	104,500	-	-	-	-	-\$	0	50,000	-	-
0-51674	03-18	Delta Dental Plan of Kentucky	09/17/02	-	-	-	750,000	750,000	-	129,782	(51,364)	-	-\$	-\$	1,569,670	-	1,693
0-51668	03-19	Dr. and Mrs Peter Buck	10/02/02	-	-	-	300,000	300,000	-	62,162	(11,912)	-	-\$	-\$	648,211	-	-
0-51668	03-20	Thomas B. Clark Professorship	01/05/01	-	49,774	47,003	119,013	62,889	-	10,236	(5,777)	-	-\$	-\$	232,470	6,250	-
0-51676	03-20	Avrie & Ellen Turner Thacker Endowment Fund	12/10/02	-	-	-	50,000	50,000	-	5,832	(2,770)	-	-\$	-\$	103,057	-	-
0-51688	03-20	USEC Inc Graduate Fellowship	09/27/02	-	-	-	50,000	20,000	-	4,026	(1,189)	-	-\$	-\$	72,631	30,000	-
0-51696	03-20	Lawson Professorship	12/10/02	-	-	-	250,000	250,000	-	22,810	(6,106)	-	-\$	-\$	515,667	-	-
0-51691	03-20	Trane Company Graduate Fellowship	10/21/02	-	-	-	75,000	15,000	-	4,493	(780)	-	-\$	-\$	93,576	60,000	-
0-51697	03-20	Palmer Civil Engineering Lab Endowment	11/04/02	-	-	-	50,000	10,000	-	3,421	(483)	-	-\$	-\$	62,853	40,000	-
0-51672	03-20	H. Lester Reynolds Professorship in Eng	12/10/02	-	-	-	100,000	100,000	-	11,727	(4,770)	-	958	-\$	207,099	-	-
0-51632	03-21	George A. Digenis Professorship/Chair in Pharmaceutical Sciences	03/12/02	-	13,400	12,761	88,650	15,250	-	1,875	(953)	-	953	-\$	98,372	40,000	-
0-51664	03-21	Charles H. Nicholson, MD Residency Fellowship	02/25/03	-	-	-	62,100	62,100	-	4,594	(3,001)	-	-\$	-\$	130,641	-	606
0-51684	03-21	Robert H & Anne Culberson Professorship	12/10/02	-	-	-	318,097	10,000	-	1,237	(341)	-	-\$	-\$	60,837	40,000	-
0-51695	03-21	Lawson Professorship	12/10/02	-	-	-	318,097	250,000	-	34,466	(7,302)	-	-\$	-\$	594,007	68,097	-
Sub-Total															\$1,688,100	\$1,484,739	\$20,329
Total															\$105,536	\$67,859	\$37,677

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RTF Request Number	Date Est.	Prior to 7/1/02			During Fiscal Year 2002-03										Market Value 06/30/03 as of 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003
			State Funds Received	Gifts Received	Market Value 07/01/02	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03							
Existing Endowments:																		
0-50315	02-01	10/23/94	\$200,000	\$200,000	\$398,836	-	-	\$4,627	(\$3,307)	-	(\$3,657)	-	-	378,499	-	\$17,039		
0-50398	02-01	08/14/86	200,000	200,000	457,177	-	-	5,304	(24,424)	-	(4,192)	-	-	433,865	-	20,817		
0-51074	02-01	04/29/98	1,000,000	1,000,000	2,177,188	-	-	25,260	(116,311)	-	(19,965)	-	-	2,066,171	-	86,346		
0-51144	02-01	05/04/99	-	200,000	201,654	-	-	2,339	(10,772)	-	(1,849)	-	-	191,371	(200,000)	853		
0-51145	02-01	09/15/98	900,000	900,000	1,726,601	-	-	20,033	(92,240)	-	(15,833)	-	-	1,638,560	-	88,124		
0-51161	02-01	09/08/98	2,000,000	1,750,000	3,558,216	-	-	57,565	(200,746)	-	(34,439)	-	-	3,630,596	-	325,458		
0-51166	02-01	08/24/98	250,000	200,000	431,226	100	100	8,607	(24,883)	-	(4,285)	-	-	485,946	-	-		
0-51170	02-01	07/25/00	1,000,000	600,000	1,529,135	-	-	40,806	(84,465)	-	(14,594)	-	-	1,657,086	200,000	111,051		
0-51171	02-01	07/25/00	1,000,000	600,000	1,548,381	-	-	42,594	(85,771)	-	(14,727)	-	-	1,690,387	200,000	-		
0-51175	02-01	M 03/15/01	50,000	50,000	174,888	-	-	2,544	(9,391)	-	(1,612)	-	10,000.00	176,429	-	630		
0-51180	02-01	03/02/99	313,568	-	215,216	-	-	(8,978)	(8,714)	-	-	-	142,670	250,000	-	-		
0-51185	02-01	M 12/12/00	5,000,000	5,000,000	9,111,719	-	-	322,343	(694,741)	-	(52,543)	-	2,125,040	11,004,150	-	392,529		
0-51185	02-01	M 12/12/00	-	-	-	-	-	-	-	-	-	-	(1,811,356)	-	-	-		
0-51185	02-01	M 12/12/00	(1,000,000)	(1,000,000)	-	-	-	-	-	-	-	-	0	-	-	-		
0-51185	02-01	M 12/12/00	(1,500,000)	(1,500,000)	-	-	-	-	-	-	-	-	0	-	-	-		
0-51185	02-01	M 12/12/00	(2,500,000)	(2,500,000)	-	-	-	-	-	-	-	-	0	-	-	-		
0-51197	02-01	01/20/99	1,000,000	800,000	1,683,479	-	-	36,435	(94,822)	-	(16,268)	-	-	1,608,824	-	131,699		
0-51198	02-01	M 12/09/98	1,551,100	-	1,674,541	-	-	19,425	(89,457)	-	(15,482)	-	-	1,589,028	1,551,100	88,932		
0-51201	02-01	12/15/99	900,000	900,000	1,667,661	-	-	19,249	(89,091)	-	(15,293)	-	-	1,582,626	-	23,281		
0-51207	02-01	M 03/17/99	476,800	476,800	1,271,625	-	-	14,777	(68,041)	-	(11,679)	-	-	1,208,682	-	-		
0-51208	02-01	04/26/99	500,000	500,000	936,902	-	-	10,870	(50,052)	-	(8,592)	-	-	889,129	-	51,535		
0-51209	02-01	02/01/98	45,000	45,000	82,884	-	-	961	(4,428)	-	(760)	-	-	78,658	-	2,500		
0-51210	02-01	06/15/99	500,000	500,000	944,359	-	-	13,061	(50,765)	-	(8,714)	-	-	927,425	-	-		
0-51211	02-01	12/08/08	500,000	300,000	756,703	-	-	21,038	(41,898)	-	(7,194)	-	-	827,649	100,000	38,020		
0-51212	02-01	12/08/98	500,000	300,000	755,682	-	-	21,057	(41,897)	-	(7,194)	-	-	827,628	100,000	-		
0-51213	02-01	09/25/98	100,000	100,000	186,576	-	-	2,165	(9,967)	-	(1,711)	-	-	177,063	-	7,660		
0-51215	02-01	M 12/08/98	500,000	500,000	1,460,816	-	-	61,216	(86,222)	-	(14,798)	-	400,000.00	1,901,012	-	18,598		
0-51217	02-01	M 12/09/98	1,250,000	-	1,952,134	-	-	22,654	(104,291)	-	(17,902)	-	-	1,852,594	1,250,000	3,696		
0-51218	02-01	12/09/98	3,250,000	-	2,955,066	-	-	36,270	(158,885)	-	(27,517)	-	-	2,829,159	3,250,000	-		
0-51220	02-01	12/09/98	-	3,467,208	3,752,798	-	-	46,803	(206,881)	-	(34,440)	-	-	3,564,668	3,467,208	1,047		
0-51221	02-01	12/09/98	-	3,467,208	3,752,798	-	-	46,803	(206,881)	-	(34,440)	-	-	3,564,668	3,467,208	2,924		
0-51223	02-01	12/09/98	1,000,000	-	909,251	-	-	10,547	(48,573)	-	(8,413)	-	-	862,811	1,000,000	58,069		
0-51227	02-01	05/04/95	2,000,000	840,147	2,648,480	-	-	50,682	(149,060)	-	(25,589)	-	-	2,746,122	940,259	106,639		
0-51228	02-01	05/04/95	6,000,000	2,776,468	8,395,994	-	-	147,442	(464,661)	-	(79,753)	-	-	8,429,222	2,820,300	382,479		
0-51229	02-01	05/04/95	2,000,000	400,000	3,949,552	-	-	45,824	(210,996)	-	(36,218)	-	-	3,748,162	-	210,405		
0-51231	02-01	05/04/95	1,034,500	430,309	1,356,631	-	-	25,996	(76,363)	-	(13,105)	-	-	1,407,170	480,583	70,456		
0-51243	02-01	01/20/98	166,300	166,300	395,369	-	-	4,957	(21,122)	-	(3,626)	-	-	375,209	-	20,157		
0-51250	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	51,133		
0-51251	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	47,354		
0-51262	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	51,918		
0-51268	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	150,618		
0-51269	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	52,606		
0-51261	02-01	12/08/98	500,000	500,000	957,136	-	-	11,105	(51,133)	-	(8,777)	-	-	908,331	-	54,393		
0-51273	02-01	12/08/98	500,000	500,000	927,883	-	-	10,731	(49,410)	-	(8,461)	-	-	877,722	-	78,367		
0-51281	02-01	01/20/98	1,000,000	-	922,223	-	-	10,757	(49,534)	-	(8,563)	-	-	879,882	1,000,000	36,395		
0-51282	02-01	01/20/98	-	1,000,000	9,928	-	-	9,928	(45,725)	-	(7,849)	-	-	812,298	(1,000,000)	-		
0-51282	02-01	01/20/98	200,000	-	186,296	-	-	2,161	(9,552)	-	(1,720)	-	-	176,785	200,000	-		
0-51307	02-01	01/20/98	200,000	-	178,615	-	-	2,071	(9,542)	-	(1,686)	-	-	169,490	200,000	11,482		
0-51309	02-01	01/20/98	1,000,000	-	905,436	-	-	10,503	(48,369)	-	(8,382)	-	-	859,188	1,000,000	61,306		
0-51310	02-01	01/20/98	200,000	-	178,615	-	-	2,071	(9,542)	-	(1,686)	-	-	169,490	200,000	11,482		
0-51310	02-01	01/20/98	200,000	-	178,615	-	-	2,071	(9,542)	-	(1,686)	-	-	169,490	200,000	11,482		

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RCF Request Number	Endowment Name	Prior to 7/1/02			During Fiscal Year 2002-03										Market Value 06/30/03 as of 06/30/03	Outstanding Pledges 06/30/03	Earnings Expanded 2002 - 2003
			Date	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03			
0-51312	02-01	Harry B. Kosenbauder-Fund Excellence in Pharmaceutical Science and Graduate Educat	01/20/98	200,000	-	183,195	-	-	3,200	2,207	(9,910)	-	(1,715)	-	176,978	200,000	425	
0-51327	02-01	Tyler Gill Professorship in Vascular Surgery	05/04/99	-	200,000	174,248	-	-	-	2,021	(9,308)	-	(1,598)	-	165,362	(200,000)	9,830	
0-51328	02-01	Jason Gill Professorship in Cardiac Radiology	05/04/99	-	200,000	174,248	-	-	-	2,409	(9,366)	-	(1,608)	-	171,123	(200,000)	-	
0-51329	02-01	Jeff Gill Professorship in Cardiac Anesthesiology	05/04/99	-	200,000	174,248	-	-	-	4,194	(10,446)	-	(1,791)	-	198,142	(200,000)	-	
0-51330	02-01	Gill Foundation Professorship in Interventional Cardiology	01/20/98	200,000	-	174,453	-	-	-	2,023	(9,319)	-	(1,600)	-	165,557	(200,000)	2,114	
0-51342	02-01	Joseph Hamburg Professorship in Rehabilitation Services	08/24/00	200,000	-	183,834	-	-	-	2,132	(9,821)	-	(1,699)	-	174,447	200,000	7,998	
0-51437	02-01	William T. Bryan Library Endowment Fund	08/24/00	686,432	-	1,208,791	63,568	-	-	24,170	(70,723)	-	(7,093)	-	1,290,603	-	81,998	
0-51522	02-01	William T. Young Library Endowment for Saunders Brown Center on Aging	05/24/01	1,000,000	-	1,716,992	-	-	-	21,105	(91,872)	-	(9,336)	-	1,647,525	-	77,780	
0-51523	02-01	William T. Young Library Endowment for Markey Cancer Center	05/24/01	1,500,000	-	2,671,683	-	-	-	32,840	(142,955)	-	(14,528)	-	2,563,590	-	108,140	
0-51570	02-01	W. T. Young STM Library Endowment Fund	03/08/01	2,500,000	-	19,392,766	-	-	-	201,885	(988,382)	-	(106,455)	-	18,597,216	-	858,592	
0-51185	02-01	W. T. Young STM Library Endowment Fund	12/12/00	2,500,000	-	-	-	-	-	-	-	-	-	-	0	-	-	
0-51185	02-01	W. T. Young Library - STM Reconciling Items	12/12/00	(1,100,000)	(500,000)	-	-	-	-	-	-	-	-	-	1,811,356	-	-	
0-51648	02-01	Gill Heart Institute Endowment	01/20/98	1,000,000	-	1,124,001	-	-	-	11,673	(59,153)	-	(10,195)	-	1,044,842	(1,000,000)	29,573	
0-51657	02-01	Professorship in Veterinary Sciences I - Schjaliker	06/11/02	250,000	-	238,074	-	-	-	3,737	(13,007)	-	(2,232)	-	239,578	-	-	
0-51658	02-01	Professorship in Veterinary Sciences II - Schjaliker	06/11/02	250,000	-	238,074	-	-	-	3,737	(13,007)	-	(2,232)	-	239,578	(250,000)	-	
Der Holding	02-01	Development Holding - Bryan Trust Estate finalized	03/02/99	-	-	-	-	250,000	-	-	-	-	-	-	250,000.00	(250,000)	-	
0-51199	02-02	Constance Wilson Endowed Professorship in Mental Health	06/24/99	50,000	-	120,152	-	-	1,250	1,457	(6,433)	-	(1,104)	-	115,321	-	6,019	
0-51207	02-02	H. Bruce Price Professorship in Agricultural Economics	05/19/94	50,000	-	68,444	-	-	1,610	1,220	(3,760)	-	(645)	-	70,628	(50,000)	-	
0-51083	02-02	Stiles & Harrison Law Professorship of Law	06/23/97	72,500	23,500	155,068	-	36,500	(24,500.00)	2,813	(8,577)	-	(1,472)	-	159,832	12,500	12,712	
0-51159	02-02	Lewis Cookman Graduate Fellowship Fund	08/28/98	52,000	-	121,154	-	(0)	1,000	1,447	(6,500)	-	(1,116)	-	115,985	-	2,797	
0-51162	02-02	George E. Mitchell, Jr. Graduate Fellowship Fund for Animal Sciences	02/17/99	120,000	-	84,423	-	-	6,023	4,032	(10,647)	-	(1,827)	-	202,586	20,000	2,635	
0-51177	02-02	Chellgren Endowed Chair in Corporate Strategy	06/02/00	250,000	-	682,901	-	-	-	12,951	(36,950)	-	(6,347)	-	750,126	-	-	
0-51182	02-02	Barnstable-Brown Chair in Diabetes Research	12/19/98	500,000	-	802,262	-	-	2,500	17,985	(46,264)	-	(7,937)	-	886,547	-	9,947	
0-51195	02-02	Michael D. Rankin, M.D. Professorship in Family Practice	03/02/99	50,000	-	92,894	-	-	-	1,078	(4,963)	-	(892)	-	88,157	-	5,227	
0-51207	02-02	Margaret Logan Colvin Chair in Lung Disease Research	03/17/99	187,100	-	213,215	-	-	-	2,474	(11,391)	-	(1,955)	-	202,343	70,000	11,830	
0-51226	02-02	Alan F. & Irene Bloomfield Professorship Fund	02/10/97	150,000	-	162,959	-	-	2,000	2,585	(9,004)	-	(1,546)	-	165,999	-	-	
0-51237	02-02	Patterson School of Diplomacy fund for Excellence Graduate Intern Research Fellowsh	01/26/99	74,300	-	219,744	-	-	2,900	2,497	(11,712)	-	(2,010)	-	211,419	78,503	-	
0-51238	02-02	Carol Lee Robertson Endowed Professorship in Literacy	02/04/99	160,000	-	78,598	-	-	-	3,817	(8,414)	-	(1,445)	-	164,949	16,667	7,020	
0-51247	02-02	Lexington Herald-Leader Fellowship Endowment	02/17/00	100,000	-	66,667	-	16,667	-	2,081	(9,584)	-	(1,645)	-	170,251	-	7,362	
0-51253	02-02	Laranie L. Leatherman Professorship of Law II	04/01/99	100,000	-	179,399	-	-	-	3,815	(12,269)	-	(2,105)	-	229,265	-	5,586	
0-51255	02-02	James C. Vanmeter Graduate and Research Fund	05/20/99	130,000	-	219,824	-	-	-	19,964	(13,594)	-	(2,492)	-	257,878	500,000	11,254	
0-51259	02-02	Mining Engineering Foundation Graduate Fellowship Fund	05/26/99	1,000,000	-	1,472,271	-	(89,200)	136,000	10,256	(44,517)	-	(8,106)	-	1,446,233	-	27,994	
0-51271	02-02	Non Tenured Faculty Research Enrichment Fund	04/09/00	150,000	-	271,734	-	-	-	3,153	(9,300)	-	(1,596)	-	287,734	-	13,145	
0-51275	02-02	Spinal Cord & Head Injury Chair No. 1	04/09/00	500,000	-	883,976	-	-	20,000	3,772	(9,300)	-	(736)	-	838,902	-	3,508	
0-51276	02-02	Spinal Cord Brain Injury Chair no. 4- Clinical Director	04/09/00	500,000	-	900,708	-	-	-	10,450	(48,118)	-	(8,260)	-	854,780	-	133,865	
0-51277	02-02	John W. Greene Jr., MD Professorship or Chair in Obstetrics and Gynecology	03/02/99	250,000	-	231,805	-	-	-	2,689	(12,383)	-	(2,141)	-	219,970	250,000	-	
0-51278	02-02	Spinal Cord & Head Injury Chair No. 3	04/09/00	500,000	-	935,433	-	-	-	10,853	(49,574)	-	(8,578)	-	887,734	-	58,331	
0-51280	02-02	Endowed Professorship in Cardiology	04/19/00	50,000	-	163,249	-	-	20,000	3,772	(9,300)	-	(1,596)	-	176,125	-	9,056	
0-51284	02-02	Bayer-Pfizer Professorship in Pulmonary Medicine	03/02/99	52,300	-	78,088	-	-	15,000	1,630	(4,374)	-	(736)	-	89,594	5,000	4,382	
0-51288	02-02	Robert L. Lester Professorship in Biochemistry	10/18/00	52,000	-	110,000	-	-	-	1,363	(5,898)	-	(407)	-	105,464	-	3,508	
0-51289	02-02	University Professorship in Dentistry	03/02/99	-	6,000	5,527	50,000	50,000	-	9,023	(2,387)	-	(442)	-	93,270	-	-	
0-51290	02-02	University Professorship in Nursing	03/02/99	-	84,000	94,989	50,000	(34,000)	-	1,647	(5,088)	-	(874)	-	93,270	-	-	
0-51291	02-02	University Professorship in Medicine	03/02/99	-	30,000	117,004	(50,000)	20,000	-	6,668	(5,871)	-	(1,008)	-	13,813.00	-	6,961	
0-51292	02-02	University Professorship in Pharmacy	03/02/99	100,000	-	121,787	(50,000)	20,000	-	11,125	(6,942)	-	(1,083)	-	93,270	-	7,136	
0-51293	02-02	University Professorship in Allied Health	03/02/99	100,000	-	117,208	(50,000)	20,000	-	10,151	(46,739)	-	(8,023)	-	830,268	100,000	-	
0-51294	02-02	University Professorship in Graduate Medical Education	03/02/99	500,000	-	874,979	-	-	-	17,394	(62,417)	-	(10,709)	-	1,126,480	725,301	46,509	
0-51306	02-02	Professorship and Chair in Neurosurgery	05/19/99	1,000,000	-	1,113,537	-	-	-	3,522	(15,241)	-	(0)	-	272,512	20,000	-	
0-51302	02-02	Radiation Medicine Chair	10/18/00	165,000	-	284,232	-	-	-	4,893	(21,726)	-	(428)	-	378,628	-	15,545	
0-51311	02-02	George Schiweit Professorship in Biochemistry	10/18/00	218,000	-	394,911	-	-	-	538	(2,477)	-	(51.21)	-	43,993	50,000	-	
0-51313	02-02	Antonio S. Turco Professorship in Biochemistry	05/19/94	50,000	-	46,360	-	-	2,000	66,077	(51.21)	-	(1,872)	-	987,155	-	-	
0-51315	02-02	H. Bruce Price	03/02/99	500,000	-	455,633	-	500,000	-	2,470	(10,908)	-	-	-	195,695	-	-	
0-51334	02-02	Spinal Cord and Brain Injury Chair No. 5	01/28/98	100,000	-	204,006	-	-	-	2,470	(10,908)	-	-	-	-	-	-	
0-51123	02-03	James R. Boyd Professorship in Electrical Engineering	01/28/98	100,000	-	204,006	-	-	2,000	2,470	(10,908)	-	-	-	-	-	-	

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RCF Request Number	Endowment Name	Prior to 7/1/02					During Fiscal Year 2002-03									
			Date	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003
0-51168	02-03	Dorothy Leathers Graduate Fellowship Fund	02/23/99	25,000	25,000	49,582	-	4,925	856	(2,795)	-	(479)	-	52,089	-	2,500	
0-51181	02-03	Clay Lancaster Endowed Professorship in Historic Preservation	03/07/00	250,500	178,914	394,180	-	48,950	7,902	(22,468)	-	(0)	-	430,926	22,637	20,942	
0-51185	02-03	W. T. Young Library - Warren Rosenbhal	12/12/00	1,000,000	1,000,000	-	-	-	-	-	-	-	0	-	-	-	
0-51185	02-03	W. T. Young Library - Warren Rosenbhal	12/12/00	(1,000,000)	(1,000,000)	-	-	-	-	-	-	-	0	-	-	-	
0-51188	02-03	Gerald L. Nichols Fellowship Fund	12/22/98	125,000	123,720	228,294	-	-	2,649	(12,196)	(2,093)	-	216,653	1,280	8,555		
0-51206	02-03	BS/MBA Graduate Fellowship Endowment Fund	04/27/99	60,000	344,191	36,793	-	-	36,793	(26,689)	(4,587)	511,841.00	864,548	-	51,490		
0-51219	02-03	Albert & Lorraine Clay Visiting Scholars Endowment	03/06/01	250,000	250,000	722,836	-	-	12,889	(40,324)	(6,920)	50,000.00	738,481	-	4,424		
0-51222	02-03	Pin Oak Stud Endowed Research Fund	04/06/99	500,000	300,000	728,397	-	100,000	16,903	(41,356)	(7,094)	-	796,849	100,000	10,115		
0-51233	02-03	James F. Hardyman Chair in Engineering - Manufacturing Systems	02/19/99	500,000	499,657	914,868	-	343	10,617	(48,875)	(8,389)	-	868,564	-	95,978		
0-51234	02-03	James F. Hardyman Chair in Engineering - Networking	02/19/99	500,000	500,000	921,100	-	(686)	10,682	(49,208)	(8,447)	-	873,443	-	18,202		
0-51235	02-03	James F. Hardyman Chair in Engineering - Fellowship	02/19/99	500,000	499,657	914,868	-	343	10,617	(48,875)	(8,389)	-	868,564	-	42,965		
0-51248	02-03	Aime Scott Heiler Mason Vocal Music Scholarship Fund	12/04/95	50,000	50,000	96,623	-	-	1,121	(5,162)	(886)	-	91,696	-	6,343		
0-51249	02-03	Sue Fan Gooding Fund	10/18/96	85,000	26,029	101,916	-	-	1,182	(5,445)	(935)	-	96,719	58,971	15,000		
0-51254	02-03	John L. And Patricia J. Buster Endowment Fund in the College of Human Environmental	04/07/99	402,400	332,273	743,821	-	53,403	11,225	(41,318)	(7,090)	16,724	760,655	16,724	12,605		
0-51265	02-03	T. Marshall Hehn, Jr. Graduate Fellowship Fund	04/29/99	50,000	50,000	88,788	-	-	1,030	(4,743)	(814)	-	84,261	-	5,000		
0-51257	02-03	T. Marshall Hehn, Jr. Professorship Fund in the College of Arts & Sciences	04/29/99	200,000	199,000	357,409	-	1,000	4,231	(19,118)	(3,282)	-	340,240	-	16,559		
0-51279	02-03	Dr. Claire Louise Caudill Professorship in Family Medicine	09/24/96	75,000	54,478	128,161	-	20,072	4,123	(7,657)	(1,314)	450	143,385	-	7,136		
0-51300	02-03	Gordon L. Hyde, M.D. Professorship/Chair in Vascular Surgery	12/20/96	51,000	51,000	790,039	-	1,938	14,042	(41,710)	(7,188)	-	757,151	-	36,285		
0-51314	02-03	Brown and Williamson Research Fund	04/06/99	250,000	150,000	368,160	-	50,000	10,838	(21,688)	(3,721)	50,000	403,590	50,000	32,215		
0-51373	02-03	US Surgical Endowed Professor	04/06/99	100,000	100,000	176,620	-	-	2,049	(9,436)	(1,620)	-	167,614	-	7,154		
0-51570	02-03	W. T. Young STM Library Endowment Fund	12/12/00	1,000,000	1,000,000	-	-	-	-	-	-	-	0	-	-		
0-51570	02-03	W. T. Young STM Library Endowment Fund	12/12/00	-	-	183,240	-	-	2,125	(9,789)	(1,680)	-	173,896	-	14,735		
0-51585	02-04	Wett, Tarrant & Combs Professorship of Law II	04/01/99	500,000	500,000	1,003,475	-	-	11,642	(53,608)	(9,202)	-	952,307	-	75,230		
0-51173	02-04	John Mink Professorship in Pediatric Dentistry & Health Services Research Gift Agree	11/25/98	55,000	55,000	321,506	-	(14,799)	9,302	(19,172)	(3,289)	27,793.00	379,138	14,799	-		
0-51185	02-04	W. T. Young Library - Columbia HCA	12/12/00	(50,000)	(40,000)	-	-	10,000	-	-	-	-	0	-	-		
0-51185	02-04	W. T. Young Library - Columbia HCA	12/12/00	50,000	50,000	-	-	-	-	-	-	-	0	-	-		
0-51188	02-04	Major Endowed Research Fund in Veterinary Science	12/09/98	274,781	-	-	-	-	-	-	-	-	0	-	-		
0-51205	02-04	James and Cora Sanders Nursery/Landscape Research Endowment	04/28/99	26,195	21,645	43,945	-	(450)	697	(2,455)	(421)	-	44,266	5,000	11,409		
0-51216	02-04	Merk & Co. Pulmonary Medicine Professorship	04/06/99	50,000	50,000	147,656	-	-	2,102	(9,850)	(1,689)	40,000.00	178,219	-	4,306		
0-51224	02-04	Don Conum and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	111,434	-	-	1,293	(5,953)	(1,022)	-	105,751	-	284		
0-51232	02-04	UK Nursery/Landscape Research Endowment	10/12/99	34,200	18,474	49,261	-	1,000	613	(2,660)	(456)	-	47,758	14,726	-		
0-51239	02-04	Library & Information Science Endowed Graduate Fellowship Fund	02/25/99	30,724	30,724	55,421	-	25	645	(2,961)	(508)	-	52,622	-	-		
0-51244	02-04	Center for Aluminum Technology Endowment Fund	06/29/99	250,000	250,000	2,406,000	-	(50,000)	54,044	(139,053)	(23,885)	320,000.00	2,566,136	50,000	176,217		
0-51264	02-04	Zankler Charitable Foundation Professorship in Judaic Studies	11/08/00	250,000	230,350	647,882	-	(30,350)	14,527	(36,695)	-	99,450.00	694,814	50,000	22,769		
0-51265	02-04	Wett, Tarrant & Combs Professorship of Law II	04/01/99	60,000	60,000	107,275	-	-	1,244	(5,731)	(984)	-	101,805	-	2,211		
0-51266	02-04	Robert G. Lawson Professorship	12/29/98	57,000	57,000	144,298	-	2,750	1,879	(7,792)	(1,337)	-	139,798	-	7,759		
0-51267	02-04	Gay B. Knapp Endowment in Support of Business Education and the Arts	06/24/99	1,000,000	600,000	1,456,795	-	200,000	33,806	(82,712)	(14,189)	-	1,593,699	200,000	5,738		
0-51268	02-04	Gay B. Knapp Endowed Chair in Arts Administration	06/24/99	500,000	300,000	728,397	-	100,000	16,903	(41,356)	(7,094)	-	796,849	100,000	44,520		
0-51269	02-04	Amnon Nursery/Landscape Research Endowment	09/28/99	25,000	13,732	35,696	-	1,000	456	(99,070)	(332)	-	34,885	10,248	509		
0-51270	02-04	TVA Power Engineering Endowment Fund	04/16/99	1,000,000	1,000,000	1,894,446	-	-	21,516	(99,070)	(17,006)	-	1,799,887	-	194,989		
0-51272	02-04	Kentuckiana Masonry Institute Endowed Professorship	04/06/99	100,000	80,000	164,706	-	20,000	2,941	(8,895)	(1,528)	-	177,225	-	7,625		
0-51285	02-04	Boehinger-Logelheim Professorship	05/01/99	50,000	30,000	71,459	-	20,000	11,789	(5,281)	(911)	150,000.00	249,056	-	5,568		
0-51286	02-04	Mary Florence Jones Professorship in Neurobiology	01/00/00	100,000	100,000	182,030	-	-	2,112	(9,725)	(1,669)	-	172,748	-	9,763		
0-51298	02-04	Janet Galloway Carter Endowed Professorship in Physical Medicine and Rehabilitation	05/10/00	150,000	90,000	220,490	-	30,000	4,512	(13,058)	(2,239)	-	239,705	30,000	11,795		
0-51308	02-04	Dr. Shih-Chun Wang Professorship in Physiology	10/27/00	22,881	22,881	85,758	-	27,109	1,490	(4,904)	(841)	-	88,289	-	-		
0-51312	02-04	Nadine James Alzheimer's Disease Research Fund	05/04/99	150,000	150,000	306,234	-	-	4,888	(16,732)	(2,872)	-	308,170	-	-		
0-51370	02-04	W. T. Young STM Library Endowment Fund	12/12/00	50,000	40,000	-	-	-	-	-	-	(20,000.00)	0	-	-		
0-51185	02-05	W. T. Young Library - Kentucky American Water	12/12/00	50,000	50,000	-	-	-	-	-	-	-	0	-	-		
0-51185	02-05	W. T. Young Library - Kentucky American Water	12/12/00	(50,000)	(40,000)	-	-	-	-	-	-	-	0	-	-		
0-51189	02-05	Sanders-Brown Center on Aging Research Development Fund	08/30/00	1,000,000	277,365	1,180,659	-	127,396	26,291	(67,730)	-	-	1,274,252	595,239	31,352		
0-51204	02-05	Center on Membrane Sciences Graduate Student Mentoring Endowment	05/25/99	25,000	25,000	49,417	-	-	573	(2,640)	(453)	-	46,897	-	-		
0-51244	02-05	Center for Aluminum Technology Endowment Fund	06/29/99	1,450,000	615,000	-	-	265,000	-	-	-	(265,000.00)	-	-	-		
0-51274	02-05	Ronald L. Akers Professorship in Criminology & Deviance Fund	06/07/00	100,000	80,000	166,028	-	-	4,380	(9,175)	(1,575)	-	179,657	-	-		

**University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	Request Number	RCF Number	Prior to 7/1/02										During Fiscal Year 2002-03									
			Date	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003					
0-51283	02-05		06/21/00	50,000	38,035	79,521	-	8,942	-	1,798	(4,621)	5,000	(793)	-	89,836	3,023	550					
0-51287	02-05		04/19/00	50,000	30,000	81,158	-	10,000	137	1,890	(4,865)	3,192	(894)	-	90,678	10,000	-					
0-51295	02-05	M	06/08/99	500,000	500,000	1,408,471	-	-	-	16,355	(75,299)	-	(12,925)	-	1,337,602	-	-					
0-51296	02-05	M	06/08/99	250,000	250,000	724,013	-	-	-	8,401	(38,679)	-	(6,639)	-	667,995	-	-					
0-51297	02-05	M	06/08/99	250,000	250,000	724,013	-	-	-	8,401	(38,679)	-	(6,639)	-	667,995	-	-					
0-51299	02-05	M	03/02/00	500,000	500,000	906,879	-	-	-	11,237	(48,630)	-	(8,130)	-	869,486	-	62,169					
0-51305	02-05	M	08/15/00	158,100	158,100	408,569	-	-	-	4,707	(21,884)	4,913	(3,756)	-	392,548	-	-					
0-51317	02-05		12/06/99	100,000	100,000	201,791	-	-	7,178	2,422	(11,128)	-	(1,910)	-	198,353	-	5,072					
0-51318	02-05		05/05/99	100,000	100,000	180,859	-	-	-	2,098	(9,662)	-	(1,688)	-	171,636	-	8,385					
0-51320	02-05	J. J. B. Hillard, W.L. Lyons, Inc Chair for Innovation Management	06/22/99	500,000	300,000	720,419	-	100,000	-	16,810	(40,930)	-	(7,021)	-	789,278	100,000	26,926					
0-51321	02-05	Wallace Charles Hill Professorship in Rehabilitation Counseling in the Department o	05/18/99	50,000	50,000	88,681	-	-	-	1,029	(4,738)	-	(813)	-	84,159	-	4,300					
0-51322	02-05	Wallace Charles Hill Professorship in Rehabilitation Counseling in the Department o	05/18/99	50,000	50,000	89,444	-	-	-	1,037	(4,778)	-	(820)	-	84,883	-	5,000					
0-51325	02-05	Wendell H. Ford Endowed Professorship	03/07/00	300,000	300,000	972,795	(123)	(89,359)	-	23,445	(55,219)	55,219	(9,477)	160,474.00	1,057,755	89,359	-					
0-51326	02-05	John B. and Jane B. Stephenson Endowed Graduate Fellowship	05/14/99	25,000	25,000	46,337	-	-	25	526	(2,423)	-	(416)	-	43,049	-	-					
0-51335	02-05	Center on Aging Fellowship in Gerontology	06/08/99	75,000	20,000	68,344	-	-	-	793	(3,651)	-	(384)	-	64,854	75,000	5,507					
0-51347	02-05	University of Kentucky Margaret Ingels Society of Women Engineers Graduate Fellowsh	09/01/99	25,000	20,000	40,924	-	-	-	1,082	(2,237)	-	(443)	-	44,385	-	2,933					
0-51348	02-05	Thomas P. Lewis Professorship	04/23/99	100,000	100,000	181,027	-	-	300	2,120	(9,684)	-	(1,662)	-	172,100	-	4,809					
0-51351	02-05	Harper Industries, Inc Graduate Fellowship Endowment Fund	10/14/99	200,000	-	186,326	-	50,000	-	6,663	(11,661)	-	(2,012)	-	229,316	150,000	16,584					
0-51372	02-05	Good Samaritan Professorship or Chair in Community Health Nursing	06/08/99	500,000	500,000	895,682	-	-	-	10,392	(47,850)	-	(8,244)	-	850,011	-	26,124					
0-51377	02-05	Buckhorn Professor of Social Work	06/07/99	150,000	25,000	154,277	-	20,000	-	3,480	(8,731)	-	(1,498)	-	167,529	105,000	3,407					
0-51379	02-05	W. T. Young STM Library Endowment Fund	12/12/00	50,000	40,000	-	-	-	-	-	-	-	-	0	-	-	-					
0-51380	02-05	Chellgren Endowed Chair in Corporate Strategy	06/02/00	250,000	18,816	-	-	97,570	-	-	-	-	-	0	-	-	-					
0-51185	03-01	W. T. Young Library - Anonymous	12/12/00	5,000,000	5,000,000	-	-	-	-	-	-	-	-	0	-	-	-					
0-51188	03-01	W. T. Young Library - Anonymous	12/12/00	465,000	-	-	-	-	-	-	-	-	-	0	-	-	-					
0-51217	03-01	Knight Chair Endowment Supplement	12/09/98	25,000	-	-	-	-	-	-	-	-	-	0	-	-	-					
0-51224	03-01	Don Covam and National Nursery Products/Landscape Research Endowment	05/04/99	25,000	25,000	-	-	-	-	-	-	-	-	0	-	-	-					
0-51240	03-01	Priewaterhouse Coopers LLP Professorship in Accounting Endowment Fund	06/29/00	150,000	25,450	456,543	-	44,220	-	10,907	(26,108)	-	(4,480)	-	481,092	80,320	24,392					
0-51361	03-01	George & Betty Blanda Professorship in Education	01/25/00	100,000	100,000	173,523	-	-	-	2,013	(9,270)	-	(1,591)	-	164,675	-	613					
0-51397	03-01	Douglas J. Von Almen School of Accountancy	09/19/00	2,000,000	-	1,659,863	-	-	-	19,245	(88,666)	-	(15,503)	-	1,574,593	2,000,000	199,605					
0-51399	03-01	Douglas J. Von Almen Center for Entrepreneurship & E-Commerce	09/19/00	2,000,000	350,000	1,979,860	-	-	-	22,976	(105,773)	-	(18,173)	-	1,878,890	1,650,000	69,501					
0-51406	03-01	Endowment for Support of Urologic Research	03/23/00	150,000	150,000	327,665	-	(50,000)	10,074	3,802	(17,505)	-	(3,065)	39,826.00	310,957	50,000	13,478					
0-51408	03-01	Professorship or Chair of Urologic Surgical Research	04/17/00	1,000,000	1,000,000	1,714,345	-	-	-	19,891	(91,586)	-	(15,721)	-	1,626,929	-	-					
0-51416	03-01	Wilbur E. Chellgren Professorship in Accounting	06/02/00	125,000	125,000	218,955	-	-	-	2,540	(11,697)	-	(2,008)	-	207,790	-	6,742					
0-51417	03-01	James F. Hardigan Chair in Urologic Research	05/02/00	1,000,000	1,000,000	1,757,634	-	-	-	20,393	(93,898)	-	(16,118)	-	1,668,012	-	88,386					
0-51441	03-01	Dr. Judith Ann Powell Pechman Professorship or Chair in Obstetrics & Gynecology	01/19/01	50,000	34,832	77,232	-	10,000	-	2,554	(4,629)	4,629	(794)	-	88,992	5,168	-					
0-51461	03-01	VonAlmen School of Accountancy Fellowship	04/29/00	1,000,000	-	848,443	-	-	-	9,838	(45,323)	-	(7,906)	-	805,053	1,000,000	18,051					
0-51462	03-01	VonAlmen School of Accountancy Research Support	04/29/00	2,000,000	350,000	1,993,841	-	180	-	23,158	(106,524)	-	(18,290)	-	1,892,365	1,650,000	63,221					
0-51497	03-01	Paul Mellon Post-Doctoral Fellowship	03/27/01	2,034,000	-	2,153,413	-	-	-	33,806	(117,652)	117,652	(20,393)	-	2,166,826	2,034,000	-					
0-51542	03-01	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	12/12/00	500,000	500,000	-	-	-	-	-	-	-	-	0	-	-	-					
0-51570	03-01	W. T. Young STM Library Endowment Fund	12/12/00	4,375,000	4,375,000	-	-	-	-	10,893	(50,167)	-	(8,611)	-	891,210	(1,000,000)	85,870					
0-51594	03-01	Paul Mellon Equine Research Endowment	04/04/00	-	1,750,000	1,720,136	-	-	-	19,952	(91,891)	-	(15,773)	-	1,632,424	(1,750,000)	128,364					
0-51595	03-01	Paul Mellon Graduate Fellowship Endowment	04/04/00	-	1,750,000	1,720,136	-	-	-	19,952	(91,891)	-	(15,773)	-	1,632,424	(1,750,000)	128,364					
0-51545	03-02	Amor & Letitia Taylor Fund for Library Research Equipment	08/23/99	218,800	218,754	382,362	-	46	-	4,437	(20,427)	-	(3,586)	-	362,912	-	-					
0-51491	03-02	M.C. Workicom Graduate Fellowship Fund	06/21/00	100,000	100,000	170,015	-	-	-	1,972	(9,083)	-	(1,589)	-	161,345	-	1,000					
0-51405	03-02	Harry E. Wheeler Chair in Plant Mycology	09/28/00	500,000	500,000	858,245	-	-	-	9,958	(45,850)	-	(7,870)	-	814,482	-	56,681					
0-51407	03-02	Robert L. Johnson Scholarship Fund	05/19/00	200,000	200,000	451,122	-	-	-	6,234	(24,598)	-	(4,222)	-	451,537	-	10,000					
0-51409	03-02	Dr. and Mrs. C. Milton Coughlenour Society Professorship in Agriculture and Natura	05/17/00	100,000	49,226	128,265	(0)	25,084	-	2,564	(7,554)	-	(1,295)	-	151,063	21,690	4,126					
0-51410	03-02	Helen Edwards Abel Endowed Research Fund in Historic Preservation	03/15/00	375,000	209,137	553,188	-	85,993	-	27,150	(32,586)	-	(5,596)	50,100.00	712,825	79,870	30,467					
0-51412	03-02	Helen Thacker Graduate Fellowship in Educational and Counseling Psychology	06/14/00	100,000	100,000	170,389	-	-	-	2,093	(9,138)	-	(0)	-	163,344	-	25,000					
0-51413	03-02	Center for Real Estates Studies Endowment	08/28/00	50,000	50,000	83,002	-	-	-	963	(4,434)	-	(761)	-	78,770	-	5,350					
0-51419	03-02	Center for Sports Marketing Endowment Fund	06/15/00	375,000	75,000	378,612	-	-	-	4,394	(20,227)	-	(3,472)	-	359,306	300,000	20,227					
0-51442	03-02	Benjamin C. Warf, M.D., Lectureship/Fellowship in Pediatric Neurosurgery	01/09/01	100,000	12,821	95,850	-	-	-	1,112	(5,121)	-	(882)	-	90,959	-	87,179					
0-51443	03-02	John W. Greene, Jr., M.D. Professorship in Obstetrics & Gynecology	05/01/01	425,000	125,000	489,939	-	150,000	-	23,940	(27,714)	-	(4,767)	-	631,798	150,000	17,985					

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RCF Request Number	Endowment Name	During Fiscal Year 2002-03										Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003			
			Prior to 7/1/02	Date Est.	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*			Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments
0-51444	03-02	Tracy Farmer Center for the Environment Endowment Fund	2,000,000	08/15/00	2,000,000	1,152,615	2,676,473	-	-	31,057	(142,887)	-	(24,544)	-	2,539,999	847,385	149,122
0-51447	03-02	Alecrombie Foundation Research Fund - Music	400,000	01/17/01	400,000	100,000	425,572	200,000	-	6,584	(27,641)	-	-	-	599,776	100,000	28,090
0-51476	03-02	Neurosurgery Fellowship (DePuy)	500,000	06/19/00	500,000	100,000	508,032	-	-	5,896	(27,141)	-	(4,659)	-	482,127	400,000	14,851
0-51477	03-02	Orthopedic Fellowship (DePuy)	500,000	06/19/00	500,000	100,000	508,032	-	-	5,896	(27,141)	-	(4,659)	-	482,127	400,000	62,687
0-51478	03-02	Orthopedic Neuro-Trauma Fellowship (DePuy)	500,000	06/19/00	500,000	-	423,360	-	-	4,909	(22,615)	-	(3,946)	-	401,708	500,000	3,177
0-51479	03-02	Academic Support of Neuro and Spine Surgery (DePuy)	500,000	06/19/00	500,000	200,000	602,149	-	-	6,987	(32,169)	-	(5,522)	-	571,445	300,000	3,544
0-51489	03-02	Alecrombie Foundation Research - Art	400,000	01/17/01	400,000	100,000	420,768	200,000	-	6,528	(27,384)	-	(4,695)	-	595,217	100,000	35,671
0-51527	03-02	Ashland, Inc. Library Endowment	1,000,000	09/26/00	1,000,000	500,000	1,301,419	250,000	-	36,907	(75,222)	9,278	(12,987)	-	1,508,896	250,000	35,547
0-51165	03-03	Professorship in HW Clinical Research in the Dept. of Int. Medicine	51,860	08/11/98	51,860	31,000,025	107,340	1,000	-	1,255	(5,783)	-	(993)	-	102,819	-	5,556
0-51185	03-03	W. T. Young Library - Anonymous	5,000,000	12/12/00	5,000,000	3,100,025	151,274	-	-	-	-	-	-	0	1,238,682	-	-
0-51185	03-03	W. T. Young Library - Anonymous	(3,410,427)		(3,410,427)	(1,074,586)								0			
0-51226	03-03	BS/MBA Graduate Fellowship Endowment Fund	98,475	04/27/99	98,475	-	-	-	-	-	-	-	-	-	-	-	-
0-51244	03-03	Center for Aluminum Technology Endowment Fund	75,000	06/29/99	75,000	-	-	-	-	-	-	-	-	-	20,000	-	-
0-51295	03-03	Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	500,000	06/08/99	500,000	200,000	55,000	100,000	-	-	-	-	-	-	400,000	-	-
0-51296	03-03	Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury Resea	250,000	06/08/99	250,000	50,000	-	-	-	-	-	-	-	-	200,000	-	-
0-51297	03-03	Cardinal Hill Rehabilitation Hospital Professorship in Spinal Cord Injury Rehabilic	250,000	06/08/99	250,000	50,000	-	-	-	-	-	-	-	-	200,000	-	-
0-51305	03-03	Arthur Lieber, M.D., Professorship in Diagnostic Radiology	118,000	08/15/00	118,000	-	-	-	-	-	-	-	-	-	118,000	-	-
0-51378	03-03	Dorothy A. Miller Research Professorship in Social Work Education	100,000	01/27/00	100,000	75,685	152,554	-	-	1,770	(8,150)	(1,399)	-	144,775	24,315	2,598	
0-51424	03-03	Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurs	200,000	09/28/00	200,000	80,000	246,593	-	-	2,861	(13,174)	(2,261)	-	234,019	120,000	12,643	
0-51429	03-03	Gallon & Baker Professorship	100,000	08/16/00	100,000	298,282	174,298	-	-	2,010	(9,238)	-	(1,589)	-	164,461	290,898	64,736
0-51452	03-03	James F. Glenn, M.D. Endowed Professorship/Chair in Urology	600,000	10/25/00	600,000	102,884	176,933	10,820	100,000	14,624	(49,076)	-	(8,502)	-	290,898	-	3,544
0-51469	03-03	Charles S. Cassis Professorship of Law	102,884	07/19/00	102,884	102,884	176,933	-	-	2,076	(9,559)	(1,641)	-	169,806	-	8,355	
0-51521	03-03	RPW Law Library Endowed Account	50,000	12/12/00	50,000	-	-	-	-	-	-	-	-	-	-	-	-
0-51521	03-03	RPW Law Library Endowed Account	25,000	05/24/01	25,000	-	87,696	-	-	1,078	(4,692)	(477)	-	84,148	-	4,288	
0-51525	03-03	Rosenthal Business & Economics Library Endowment	500,000	12/12/00	500,000	500,000	1,921,839	-	-	67,063	(115,827)	(10,976)	-	2,276,398	400,000	98,739	
0-51525	03-03	Rosenthal Business & Economics Library Endowment	200,000	05/24/01	200,000	200,000	1,921,839	400,000	-	-	-	-	-	0	-	-	-
0-51570	03-03	W. T. Young STM Library Endowment Fund	3,410,427	12/12/00	3,410,427	1,074,586	-	-	-	-	-	-	-	-	-	-	-
0-51152	03-04	John Edwin Parrington & Cowdindlyn Gray Parlington Scholarship Fund	288,000	08/21/98	288,000	268,000	485,733	-	-	5,635	(25,949)	(4,454)	-	460,965	-	24,300	
0-51185	03-04	W. T. Young Library - Carl Pollard	500,000	12/12/00	500,000	499,114	-	-	-	-	-	-	-	0	-	-	
0-51185	03-04	W. T. Young Library - Carl Pollard	(372,570)		(372,570)			886						(886)			
0-51185	03-04	W. T. Young Library - Carl Pollard	(114,573)		(114,573)									0			
0-51185	03-04	W. T. Young Library - Chris Sullivan	2,000,000	12/12/00	2,000,000	-	-	-	-	-	-	-	-	0	-	-	
0-51185	03-04	W. T. Young Library - Chris Sullivan	(2,000,000)		(2,000,000)			1,002,880						(1,002,880)			
0-51214	03-04	US Surgical Corporation-Minimally Invasive Surgery Center Professorship #2	150,000	09/25/98	150,000	-	130,373	-	-	1,512	(6,964)	(1,212)	-	123,708	150,000	-	
0-51386	03-04	Dr. William Jack Carey Professorship in Emergency Medicine	50,000	01/09/01	50,000	49,814	90,123	186	814	1,261	(4,863)	(835)	-	89,942	-	-	
0-51414	03-04	Commonwealth Professorship in Physician Leadership	250,000	01/19/01	250,000	250,000	438,691	-	-	5,090	(23,436)	(4,023)	-	416,322	-	23,261	
0-51421	03-04	David H. and Barbara Schlund Kaufman Professorship	50,000	06/05/00	50,000	50,000	89,523	5,000	-	1,328	(4,862)	-	-	90,889	-	-	
0-51423	03-04	Endowed Enrichment Fund for Research in Vocal Studies	500,000	08/28/00	500,000	125,766	554,027	32,036	5,000	9,476	(30,523)	(5,239)	-	559,778	342,198	33,639	
0-51438	03-04	Reese S. Terry Professorship in Electrical Engineering	100,000	08/25/00	100,000	26,516	111,460	27,848	-	2,461	(6,737)	(1,155)	-	133,676	45,637	2,872	
0-51439	03-04	Whalen Graduate Fellowship Fund	500,000	08/15/00	500,000	352,500	734,973	-	-	8,528	(39,265)	(6,740)	-	697,496	147,500	22,473	
0-51448	03-04	Lucille Caulliff Little Endowment for Theatre	500,000	10/06/00	500,000	200,000	620,619	100,000	-	20,335	(37,194)	(6,380)	-	697,379	200,000	25,007	
0-51449	03-04	Paul A. Thornton Graduate Fellowship in Nutritional Sciences	100,000	08/28/00	100,000	100,000	179,283	-	50	2,086	(9,580)	(1,644)	-	170,195	-	-	
0-51453	03-04	C. Michael Gaver Endowment Fund - Fellowship Fund	750,000	09/11/00	750,000	1,289,345	-	-	-	14,960	(68,881)	(11,824)	-	1,223,600	-	89,210	
0-51473	03-04	Dr. Donald T. Frazier Professorship	50,000	10/27/00	50,000	23,500	69,292	(23,500)	-	2,112	(1,112)	(460)	-	47,444	50,000	-	
0-51474	03-04	Dr. Fred W. Zechman Professorship	50,000	10/27/00	50,000	23,500	69,292	500	-	475	(3,472)	(596)	-	61,303	26,000	-	
0-51475	03-04	Dr. Louis L. Ewasky Professorship	50,000	10/27/00	50,000	23,500	69,292	23,500	-	59	(6,460)	(840)	-	91,276	3,000	-	
0-51491	03-04	Development Dimensions International Professorship in Behavioral Sciences	100,000	03/17/00	100,000	50,000	130,124	50,000	-	1,996	(9,404)	(1,611)	-	171,105	-	1,460	
0-51524	03-04	Toxets (Young) Library Endowment	1,000,000	05/24/01	1,000,000	750,000	1,532,482	250,000	-	40,104	(88,120)	(6,333)	-	1,736,850	-	77,551	
0-51570	03-04	W. T. Young STM Library Endowment Fund	114,573	12/12/00	114,573	-	-	-	-	-	-	-	-	0	-	-	
0-51570	03-04	W. T. Young STM Library Endowment Fund	2,000,000	12/12/00	2,000,000	-	-	-	-	-	-	-	-	0	-	-	
0-51570	03-04	W. T. Young STM Library Endowment Fund	275,000	12/12/00	275,000	111,556	739,368	140,444	(85,444)	10,884	(41,043)	(7,042)	-	757,166	23,000	42,157	
0-50988	03-05	John R. Van Nagel, M.D. Professorship/Chair in Gynecologic Oncology	300,000	11/21/97	300,000	300,000	567,262	-	-	6,581	(30,305)	(5,202)	-	538,337	-	29,438	
0-51106	03-05	R. C. Durr-Civil Engineering Fellowship Fund	300,000	11/21/97	300,000	300,000	567,262	-	-	6,581	(30,305)	(5,202)	-	538,337	-	29,438	

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RTCF Request Number	Endowment Name	Date Est.	Prior to 7/1/02				During Fiscal Year 2002-03										Market Value 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003
				State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RTCF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03					
0-51151	03-05	Martin-Thacker Scholarship Fund in the College of Education	07/31/98	100,397	100,397	184,310	-	-	-	-	-	-	-	-	-	-	-	174,912	-	19,757
0-51185	03-05	W. T. Young Library - Anonymous	M 12/12/00	200,000	200,000	-	200,000	-	-	-	-	-	-	-	-	-	-	0	400,000	-
0-51185	03-05	W. T. Young Library - Anonymous	M 12/12/00	(50,000)	(50,000)	-	-	-	-	-	-	-	-	-	-	-	-	0	-	-
0-51185	03-05	W. T. Young Library - Humana	M 12/12/00	1,000,000	400,000	-	200,000	-	-	-	-	-	-	-	-	-	-	0	400,000	-
0-51185	03-05	W. T. Young Library - Jack Graham	M 12/12/00	50,000	20,000	-	20,000	-	-	-	-	-	-	-	-	-	-	0	30,000	-
0-51185	03-05	W. T. Young Library - Kentucky River Coal	M 12/12/00	150,000	60,000	-	30,000	-	-	-	-	-	-	-	-	-	-	0	60,000	-
0-51185	03-05	W. T. Young Library - Mrs. Lyons Brown	M 12/12/00	250,000	250,000	-	-	-	-	-	-	-	-	-	-	-	-	0	-	-
0-51185	03-05	W. T. Young Library - Hubbard	M 12/12/00	100,000	50,000	-	25,000	-	-	-	-	-	-	-	-	-	-	0	25,000	-
0-51185	03-05	W. T. Young Library - Stonerise	M 12/12/00	250,000	100,000	-	50,000	-	-	-	-	-	-	-	-	-	-	0	100,000	-
0-51185	03-05	W. T. Young Library - Brooks	M 12/12/00	14,205	14,205	-	-	-	-	-	-	-	-	-	-	-	-	0	10,795	-
0-51199	03-05	Peter Peatman Fellowship	M 11/10/00	50,000	46,723	85,954	-	3,277	1,723,000	1,447	(4,763)	(817)	-	-	-	-	86,822	-	3,000	
0-51205	03-05	Arthur Lieber, M.D., Professorship in Diagnostic Radiology	M 08/15/00	33,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	33,000	-
0-51225	03-05	Wendell H. Ford Endowed Professorship	M 03/07/00	290,410	108,001	-	160,474	-	-	-	-	-	-	-	-	-	-	76,530	21,935	-
0-51384	03-05	James Stephen Brown Graduate Appalachian Studies Fund	02/20/99	50,330	28,150	69,303	-	10,050	-	1,652	(3,820)	(656)	-	-	-	-	498,400	12,130	-	
0-51391	03-05	William J. Tuglie & Nina B. Tuglie Professorship in English	03/06/97	300,000	300,000	525,180	-	-	-	6,093	(28,057)	(4,816)	-	-	-	-	498,400	-	20,476	
0-51457	03-05	Dr. William A. & Anne T. Wittington Graduate Student Support Fund in Geology	10/20/00	68,510	58,215	116,531	-	2,705	-	2,038	(6,441)	(1,105)	-	-	-	-	120,168	7,590	-	
0-51458	03-05	Lon Apparel, Inc. Fellowship Endowment	09/26/00	50,000	20,000	61,587	-	10,000	-	2,028	(3,094)	(634)	-	-	-	-	69,287	20,000	-	
0-51460	03-05	Class of 1949 Fellowship Endowment Fund	11/13/00	50,000	50,000	126,980	-	-	-	1,473	(6,784)	(1,164)	-	-	-	-	120,505	-	6,580	
0-51470	03-05	PKC Board of Trustees Engineering Professorship	10/23/00	50,000	50,000	87,657	-	-	-	1,017	(4,683)	(894)	-	-	-	-	83,188	-	-	
0-51497	03-05	Paul Mellon Post Doctoral Fellowship	M 03/27/01	398,537	-	-	-	-	-	-	-	-	-	-	-	-	-	358,557	-	-
0-51526	03-05	Holbrook Law Library Endowment	M 12/12/00	50,000	50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51526	03-05	Holbrook Law Library Endowment	M 05/24/01	100,000	40,119	211,349	-	19,917	-	4,638	(11,724)	(1,149)	-	-	-	-	224,422	39,964	11,225	
0-51570	03-05	W. T. Young STM Library Endowment Fund	M 12/12/00	50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	0	-	-
0-51173	03-06	John Mink Professorship in Pediatric Dentistry & Health Services Research Gift Acre	M 11/25/98	150,560	77,574	-	-	-	-	-	-	-	-	-	-	-	-	95,650	45,193	-
0-51403	03-06	J. C. Fenn Graduate Fellowship Fund	11/13/00	50,000	50,000	103,784	-	27,793	-	1,598	(5,680)	(975)	-	-	-	-	20,000	-	-	
0-51406	03-06	Endowment for Support of Urologic Research	M 03/23/00	69,790	9,864	-	39,926	-	-	1,317	(3,709)	3,709	-	-	-	-	70,224	26,675	-	
0-51460	03-06	UK College of Human Environmental Sciences Alumni Association Endowed Professorship	11/20/00	50,000	21,135	66,717	-	2,190	-	10,231	(47,107)	(8,086)	-	-	-	-	836,807	-	-	
0-51480	03-06	James and Mary Lassiter Endowed Chair	12/22/01	500,000	500,000	881,769	-	-	-	10,231	(47,107)	(8,086)	-	-	-	-	836,807	-	-	
0-51481	03-06	Robert P. Moores & Mildred A. Moores Research Fund	01/23/01	350,000	350,000	641,473	-	-	-	7,443	(34,269)	(6,882)	-	-	-	-	608,764	-	-	
0-51482	03-06	Arthur Anderson Endowed Professorship in Accountancy	11/20/00	100,000	33,283	347,444	-	17,525	-	4,972	(19,113)	(3,280)	-	-	-	-	344,696	49,192	11,750	
0-51484	03-06	Harold R. Burton Endowed Professorship in Plant Biochemistry	M 05/01/00	396,875	229,626	914,729	-	167,249	-	322,457	(29,741)	(5,157)	-	-	-	-	1,202,289	-	11,188	
0-51568	03-06	Edith D. Gardner Professorship in Internal Medicine	M 01/23/01	100,000	100,000	268,188	-	200,000	-	3,111	(14,327)	(2,459)	-	-	-	-	254,513	-	19,294	
0-51185	03-07	W. T. Young Library - Ina Brown Bond	M 12/12/00	200,000	50,000	-	-	-	-	-	-	-	-	-	-	-	0	100,000	-	
0-51185	03-07	W. T. Young Library - Ina Brown Bond	M 12/12/00	(25,000)	(25,000)	-	-	-	-	-	-	-	-	-	-	-	0	-	-	
0-51219	03-07	Albert & Lorraine Clay Visiting Scholars Endowment	M 03/06/01	250,000	50,000	-	50,000	-	-	-	-	-	-	-	-	-	-	150,000	-	-
0-51451	03-07	Ronald Allen Forester & Nancy Smoot Forester Endowed Professorship Fund	12/05/00	50,000	50,000	86,889	-	50,000	-	1,008	(4,642)	(797)	-	-	-	-	82,459	-	7,606	
0-51463	03-07	AAA Kentucky Endowed Professorship	11/28/00	100,000	30,201	114,985	-	26,051	-	3,111	(6,774)	(1,162)	-	-	-	-	136,212	43,749	-	
0-51467	03-07	American Music Library Endowed Fund	M 12/12/00	25,000	25,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51467	03-07	American Music Library Endowed Fund	M 12/06/00	50,000	20,000	105,942	-	10,000	-	1,734	(5,951)	(681)	-	-	-	-	111,756	20,000	315	
0-51486	03-07	Dr. Richard R. & Dorothy Hillenmeyer Cletcher Scholarship Fund	02/01/01	200,000	100,000	265,351	-	93,850	-	9,401	(15,509)	(2,663)	-	-	-	-	350,431	6,150	11,000	
0-51488	03-07	Dermont F. & Regina M. Dawson Graduate Fellowship in Education	02/20/01	50,000	50,000	85,990	-	-	-	998	(4,594)	(789)	-	-	-	-	81,606	2,000	2,000	
0-51493	03-07	Jack & Linda Gill Science & Engineering Research Excellence Fund	06/18/01	500,000	441,948	441,948	-	12,500	-	6,645	(23,736)	(4,124)	-	-	-	-	433,234	500,000	18,800	
0-51494	03-07	Jack & Linda Gill Science & Engineering Research Excellence Fund	06/18/01	500,000	461,930	461,930	-	12,500	-	6,878	(24,804)	(4,290)	-	-	-	-	452,214	500,000	17,363	
0-51495	03-07	Chelotte R. Schmidlapp Fellowship in Gerontology	01/05/01	150,000	150,000	266,286	-	-	-	3,113	(14,333)	(2,460)	-	-	-	-	251,605	-	36,978	
0-51510	03-07	Jana Oliver Memorial Scholarship Fund	12/28/00	100,000	88,000	-	-	-	-	1,020	(4,701)	(817)	-	-	-	-	83,502	100,000	6,580	
0-51519	03-07	John H. Heck Professorship in Soil Science	01/22/01	100,000	100,000	178,958	-	-	-	2,076	(9,560)	(1,641)	-	-	-	-	169,832	-	-	
0-51570	03-07	W. T. Young STM Library Endowment Fund	M 12/12/00	25,000	-	-	-	-	-	-	-	-	-	-	-	-	0	-	-	
Dev-Holding	03-07	Jack & Linda Gill Science & Engineering Research Excellence Fund	06/18/01	5,238	5,238	5,238	-	1,225	-	-	-	-	-	-	-	-	6,553	(6,463)	-	
Dev-Holding	03-07	Jack & Linda Gill Science & Engineering Research Excellence Fund	06/18/01	5,238	5,238	5,238	-	1,225	-	-	-	-	-	-	-	-	6,553	(6,463)	-	
0-51175	03-08	Doc. Barbara and James Holsinger Professorship in Anatomy	M 03/15/01	65,000	25,000	-	-	-	-	-	-	-	-	-	-	-	-	30,000	-	-
0-51215	03-08	Floyd H. Wright Research Endowment	M 12/08/98	500,000	100,000	-	400,000	-	-	-	-	-	-	-	-	-	-	-	-	
0-51388	03-08	Cynthia Shaw Crispen Lectureship	M 11/15/99	500,000	433,884	850,665	-	31,576	-	6,454	(44,372)	(7,618)	-	-	-	-	782,918	34,540	58,535	
0-51459	03-08	Medical Alumni Association Scholarship Endowment Fund	M 06/12/02	51,712	51,712	160,608	-	(6,562)	-	26,775	(23,239)	(4,176)	-	-	-	-	503,095	6,562	16,000	

**University of Kentucky
Endowment Match Program**

**Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003**

FRS Account Number	RTCF Request Number	Endowment Name	During Fiscal Year 2002-03										Market Value 06/30/03 as of 06/30/03	Outstanding Pledges	Earnings Expended 2002 - 2003				
			Date Est.	State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RTCF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**				Management Fee	Transfers Among Endowments		
0-51496	03-08	Caroline Frances Ransdell Research Professorship	03/06/01	51,179	51,179	-	88,670	-	-	-	-	-	-	-	-	-	84,149	-	-
0-51502	03-08	CSX Transportation Graduate Fellowship Fund	02/23/01	50,000	50,000	-	91,228	-	-	-	-	-	-	-	-	-	87,456	-	3,776
0-51504	03-08	Bruce A. Lucas, M.D. Endowment for Urologic Research	03/07/01	70,000	22,000	11,000	86,576	-	-	-	-	-	-	-	-	-	97,605	37,000	-
0-51507	03-08	Research Fund for the Prevention of Alcohol & Drug Abuse	04/02/01	50,000	10,000	10,000	53,204	-	-	-	-	-	-	-	-	-	60,660	30,000	-
0-51512	03-08	Kathryn Louise Chelgren Endowed Professorship for Research in Family Studies	02/05/01	60,000	60,000	-	111,625	-	-	-	-	-	-	-	-	-	112,330	-	-
0-51513	03-08	Vaughn & Malton Graduate Fellowship in Civil Engineering	04/26/01	100,000	35,970	9,485	121,459	-	-	-	-	-	-	-	-	-	125,390	54,545	-
0-51517	03-08	Sylvia Mansbach Endowed Professorship and Chair in Alzheimer's Disease Research	04/02/01	500,000	231,464	68,536	652,371	-	-	-	-	-	-	-	-	-	719,779	200,000	15,445
0-51532	03-08	Kentucky Economic Development Endowment for Science & Technology	05/01/01	375,000	375,000	-	705,652	-	-	-	-	-	-	-	-	-	677,102	-	-
0-51536	03-08	Madeline F. James Professorship/Chair in Cancer Research	10/10/02	171,336	171,336	-	306,751	-	-	-	-	-	-	-	-	-	722,307	-	17,128
0-51537	03-08	Cardiology Associates of Kentucky Endowed Scholarship	06/12/02	-	-	-	46,193	-	-	-	-	-	-	-	-	-	41,245	50,000	2,900
0-51538	03-08	Zimmer Endowment for Orthopaedic Research	03/21/01	300,000	93,750	75,000	366,943	-	-	-	-	-	-	-	-	-	447,514	131,250	-
0-51541	03-08	Log-A-Log Lead for Kids of Kentucky Fund	06/28/01	125,000	25,000	24,000	146,072	-	-	-	-	-	-	-	-	-	168,105	75,000	-
0-51185	03-09	W. T. Young Library - Oakey Foundation	12/12/00	500,000	100,000	100,000	166,709	-	-	-	-	-	-	-	-	-	159,817	160,000	-
0-51445	03-09	Joe A. & Cecil Dulin Wallace Fund	09/07/00	170,000	10,000	10,000	166,709	-	-	-	-	-	-	-	-	-	159,817	160,000	-
0-51484	03-09	Harold R. Burton Endowed Professorship in Plant Biochemistry	05/01/00	221,875	128,374	93,501	361,999	-	-	-	-	-	-	-	-	-	343,541	-	11,188
0-51514	03-09	James & Mary Lassiter Endowed Fellowship	05/17/01	200,000	200,000	-	361,999	-	-	-	-	-	-	-	-	-	343,541	-	11,188
0-51515	03-09	James & Mary Lassiter Endowed Professorship	05/17/01	100,000	100,000	-	182,799	-	-	-	-	-	-	-	-	-	173,478	-	3,461
0-51516	03-09	Charles Parker Graves Endowed Professorship Fund	05/15/01	57,335	12,730	2,000	64,646	-	-	-	-	-	-	-	-	-	63,368	42,605	-
0-51529	03-09	Leslie L. Martin Endowed Fellowship Fund	05/29/01	50,000	50,000	-	90,846	-	-	-	-	-	-	-	-	-	86,214	-	-
0-51542	03-09	Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	05/01/01	1,000,000	1,000,000	-	2,719,954	-	-	-	-	-	-	-	-	-	2,608,206	-	128,770
0-51546	03-09	UKCUP Endowment for Urologic Research	03/21/02	90,000	36,854	8,000	120,574	-	-	-	-	-	-	-	-	-	129,820	45,146	-
0-51547	03-09	Everett H. Metcalf, Jr. Professorship of Law	06/19/01	200,000	200,000	-	381,635	-	-	-	-	-	-	-	-	-	362,175	-	17,193
0-51558	03-09	Endowed Chair/Distinguished Scholar in Rural Health Policy	09/03/01	1,000,000	100,000	900,000	1,021,164	-	-	-	-	-	-	-	-	-	1,912,446	-	86,919
0-50700	03-10	Thomas C. and Evelyn W. Finne Endowment Fund	02/02/91	51,657	-	51,657	51,657	-	-	-	-	-	-	-	-	-	-	-	-
0-51206	03-10	BS/MBA Graduate Fellowship Endowment Fund	04/27/99	-	-	50,000	50,000	-	-	-	-	-	-	-	-	-	-	-	-
0-51264	03-10	Zankler Charitable Foundation Professorship in Judaic Studies	11/08/00	150,000	-	50,100	150,000	-	-	-	-	-	-	-	-	-	-	-	-
0-51410	03-10	Helen Edwards Abel Endowed Research Fund in Historic Preservation	03/15/00	50,100	-	50,100	50,100	-	-	-	-	-	-	-	-	-	-	-	-
0-51459	03-10	Medical Alumni Association Scholarship Endowment Fund	06/12/02	-	-	250,000	55,963	-	-	-	-	-	-	-	-	-	60,925	36,800	-
0-51501	03-10	Robert M. Odier, '28 '30 Faculty Research Fund in Latin America	03/09/01	50,000	9,300	3,900	110,794	-	-	-	-	-	-	-	-	-	134,279	-	-
0-51513	03-10	Pharmaceutical Technology Lectureship, Professorship or Chair	11/28/00	60,000	58,929	1,071	20,129	-	-	-	-	-	-	-	-	-	60,925	36,800	-
0-51532	03-10	Kentucky Economic Development Endowment for Science & Technology	05/01/01	19,643	19,643	-	56,317	-	-	-	-	-	-	-	-	-	53,988	40,000	-
0-51544	03-10	Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	06/03/01	50,000	10,000	40,000	56,317	-	-	-	-	-	-	-	-	-	53,988	40,000	-
0-51552	03-10	Beaven-Edetik Research Fellowship in Developmental Disabilities and Mental Retardation	08/10/01	50,000	8,433	9,367	55,341	-	-	-	-	-	-	-	-	-	66,411	32,200	-
0-51562	03-10	Dr. Charles R. Combs Scholarship Fund	07/10/01	80,000	-	75,413	110,794	-	-	-	-	-	-	-	-	-	133,966	80,000	-
0-51580	03-10	Brown-Forman Velding Chair in Urban Design	12/19/01	500,000	20,000	60,000	482,662	-	-	-	-	-	-	-	-	-	523,080	420,000	35,999
0-51592	03-10	Royster Research Professorship	06/03/01	50,000	20,000	10,000	65,132	-	-	-	-	-	-	-	-	-	72,312	20,000	-
0-51601	03-10	Crelle Foundation/Jean Crelle Day Endowed Fellowship Fund	12/11/01	300,000	60,705	60,000	335,539	-	-	-	-	-	-	-	-	-	381,432	179,295	15,030
0-51603	03-10	Susan Abbott-Jamieson Dissertation Research Fund in Anthropology	09/03/01	50,000	50,000	-	91,994	-	-	-	-	-	-	-	-	-	87,303	-	5,000
0-51622	03-10	Mark and Ruth Luciers Graduate Award in Toxicology	04/01/02	50,000	50,000	-	94,082	-	-	-	-	-	-	-	-	-	89,284	-	-
0-56012	03-10	Medical Alumni Association Term Endowment Fund	06/12/02	250,000	250,000	(250,000)	500,000	-	-	-	-	-	-	-	-	-	-	-	-
0-51216	03-11	Merck & Co. Pulmonary Medicine Professorship	02/08/99	50,000	10,000	49,450	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51264	03-11	Zankler Charitable Foundation Professorship in Judaic Studies	11/08/00	73,350	-	49,450	-	-	-	-	-	-	-	-	-	-	-	-	-
0-51535	03-11	Violet Irene Eads Research Fund for Eye Tissue in the Lions Eye Bank of Lexington	11/08/01	56,000	44,574	3,000	94,946	-	-	-	-	-	-	-	-	-	98,664	8,426	-
0-51553	03-11	Scott V. Breckenridge Professorship in Intelligence Education	09/18/01	52,415	11,500	1,000	60,658	-	-	-	-	-	-	-	-	-	62,169	39,915	-
0-51569	03-11	Cardiology Associates of Kentucky Cardiology Fellowship Fund	09/13/01	250,000	50,000	45,833	280,723	-	-	-	-	-	-	-	-	-	318,328	154,167	-
0-51573	03-11	Richard K. Braudigan Professorship in Juvenile, Criminal and Social Justice	09/18/01	50,000	10,500	12,500	57,882	-	-	-	-	-	-	-	-	-	72,556	27,000	-
0-51599	03-11	Rebecca Cunningham Van Meter Endowment Fund	12/07/01	50,000	10,000	10,000	55,694	-	-	-	-	-	-	-	-	-	63,694	30,000	-
0-51605	03-11	Michael D. Renkin, M.D. Community Scholarship Endowment	01/03/02	200,000	100,000	3,104	279,296	-	-	-	-	-	-	-	-	-	263,898	100,000	30,000
0-51400	03-12	W. T. Young Library - Ashford Stud	12/12/00	-	135,000	-	68,833	-	-	-	-	-	-	-	-	-	0	-	-
0-51407	03-13	Vernon R. Wiehe Research	01/22/02	50,000	25,285	5,825	68,833	-	-	-	-	-	-	-	-	-	71,393	18,890	-
0-51566	03-13	Robert L. Johnson Scholarship Fund	05/19/00	50,000	50,000	-	70,885	-	-	-	-	-	-	-	-	-	10,000	10,000	-
0-51568	03-13	John I. Bell Endowment in Social Policy and Critical Thinking	01/22/02	50,000	24,209	11,795	70,885	-	-	-	-	-	-	-	-	-	83,628	13,996	-
0-51568	03-13	Edith D. Gardner Professorship in Internal Medicine	01/23/01	50,000	50,000	-	-	-	-	-	-	-	-	-	-	-	-	-	-

**University of Kentucky
Endowment Match Program**
Table 7: Components of Change in Endowment Market Value
From Beginning to End of Fiscal Year 2002 - 2003

FRS Account Number	RCF Request Number	Endowment Name	Date Est.	Prior to 7/1/02										During Fiscal Year 2002-03									
				State Funds Received	Gifts Received	Market Value 07/01/02	State Funds Received	Gifts Received	Gifts other Than RCTF	Investment Earning (Loss)	Spending Rule Distributions*	Spending Rule Distributions* Reinvested**	Management Fee	Transfers Among Endowments	Market Value 06/30/03	Outstanding Pledges as of 06/30/03	Earnings Expended 2002 - 2003						
0-51606	03-13	Ralph E. & Norma L. Edwards Research Professorship	01/14/02	100,493	100,493	189,378	-	-	-	2,197	(10,117)	-	(1,737)	-	179,722	-	-						
0-51609	03-13	James Graham Brown Foundation Endowed Chair in Neuroscience	01/22/02	500,666	500,666	942,368	-	(10,040)	-	12,013	(51,235)	-	(8,791)	-	884,315	-	56,250						
0-51610	03-13	James Graham Brown Foundation Endowed Professorship in Forestry & Environmental Sci	01/22/02	500,666	500,666	942,368	-	-	-	12,082	(50,901)	12,805	(8,736)	-	907,617	-	-						
0-51611	03-13	Rack-Hibbcock Research and Seminar Fund	12/26/01	55,455	11,792	63,760	-	84	-	1,854	(3,916)	-	(671)	-	78,177	26,597	-						
0-51613	03-13	Association of Emeritus Faculty Fellowship Endowment Fund	01/22/02	50,000	30,000	75,989	-	-	-	1,301	(4,340)	-	(744)	-	82,205	10,000	3,000						
0-51616	03-13	Lucille Caudill Little Endowed Research Fund in Opera Studies	11/28/01	200,000	5,825	196,006	-	19,040	-	4,327	(15,845)	-	(2,717)	-	294,985	100,000	16,732						
0-51635	03-13	Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	M 03/18/02	50,000	-	47,615	-	20,000	-	4,856	(3,281)	-	-	50,000.00	119,190	30,000	-						
0-51248	03-14	ALCA Endowment in Horticulture	06/20/00	50,000	27,764	74,128	-	3,785	-	1,458	(4,173)	4,173	(716)	-	78,655	18,451	-						
0-51596	03-14	Kenneth H. and Susan W. Beard Graduate Fellowship Fund	10/01/01	250,000	50,310	284,608	-	50,000	-	5,399	(16,609)	-	(2,848)	-	320,550	149,690	-						
0-51617	03-14	David B. Stevens, M.D. Professorship or Chair in Orthopaedic Surgery	04/12/02	50,000	10,000	57,065	-	-	-	896	(3,118)	3,118	(535)	-	57,426	40,000	-						
0-51618	03-14	Charlotte R. Schindlapp Fellowship in Gynecologic Oncology	03/05/02	100,000	-	95,229	-	100,000	-	7,676	(9,988)	862	(1,608)	-	192,771	-	7,266						
0-51624	03-14	Hellman Family Fund in the Division of Infectious Diseases	02/07/02	50,000	10,000	57,152	-	10,000	-	1,969	(3,323)	3,323	(570)	-	68,351	30,000	-						
0-51651	03-14	Affordable Housing Design Research Fund	06/11/02	300,000	-	285,688	-	200,000	-	5,259	(25,072)	-	(4,306)	-	461,571	100,000	20,357						
0-51536	03-19	Mageline F. James Professorship/Chair in Cancer Research	M 10/10/02	-	-	-	200,000	200,000	-	-	-	-	-	(400,000.00)	-	-	-						
0-51206	03-20	BS/MBA Graduate Fellowship Endowment Fund	M 04/27/99	-	-	-	310,184	159,836	-	-	-	-	-	(460,184.00)	-	150,365	-						
0-51635	03-20	Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	M 03/18/02	-	-	-	50,000	-	-	-	-	-	-	(50,000.00)	-	50,000	-						
0-51285	03-21	Boehringer-Ingelheim Professorship	M 05/01/99	-	-	-	75,000	75,000	-	-	-	-	-	(150,000.00)	-	-	-						
Sub-Total				\$128,227,209	\$87,178,603	\$201,035,694	\$770,184	\$11,612,559	\$248,188	\$3,819,077	(\$11,076,756)	\$692,679	(\$1,670,726)	\$0	\$205,430,899	\$30,206,142	\$8,233,985						
Grand Total				\$128,227,209	\$89,249,719	\$203,006,228	\$7,245,720	\$14,532,329	\$254,921	\$4,502,596	(\$11,481,225)	\$798,215	(\$1,738,585)	\$0	\$217,119,999	\$31,690,881	\$8,254,314						

* 5 percent spending rate policy based on average market value of the endowment over the preceding 36 months.

** Funds were reinvested in the principle of the endowment.

M* Identifies an endowment that has had multiple requests for state matching funds.

FCR 14

Office of the President
October 29, 2003

Members, Board of Trustees:

DESIGN OF A BASKETBALL PRACTICE FACILITY
MEMORIAL COLISEUM

Recommendation: that the Executive Vice President for Finance and Administration be authorized to contract for the design phase of a basketball practice facility adjacent to Memorial Coliseum on the University campus.

Background: This project will be funded from private sources. The projected cost is \$2,500,000. The estimated construction cost is \$25.5 million. The 92,300 square foot facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities nationwide. This facility will also enhance the University's ability to attract top student athletes and support the University's basketball programs.

The construction of this facility is included in the University's 2004-10 Six-Year Capital Plan and the University's 2004-06 Capital Request.

Action taken: Approved Disapproved Other _____

FCR 15

Office of the President
October 29, 2003

Members, Board of Trustees:

MULTI-PURPOSE FACILITY ADDITION TO THE
NUTTER FIELD HOUSE

Recommendation: that the Executive Vice President for Finance and Administration be authorized to contract for the design and construction of a multi-purpose facility, an addition to the Nutter Field House, on the campus of the University.

Background: The \$4,000,000 project involves the construction of a multi-purpose facility with a seating capacity of approximately 250 persons, a full kitchen, and an athletic training table. The facility is intended to service game day catering needs, and host recruiting, athletic department, and University departmental group functions. This project will be funded from private sources. The projected cost is \$4,000,000.

Action taken: Approved Disapproved Other _____