

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
October 21, 1997**

Invocation

Roll Call

Approval of Minutes

Resolutions

President's Report and Action Items

- PR 1 President's Report to the Trustees
A. Carol Martin Gatton College of Business and Economics Report
- PR 2 Personnel Actions
- PR 3 Central Administration
A. Proposed Amendments to the Governing Regulations and Changes
in Administrative Regulations
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
A. Appointment to University of Kentucky Humanities Foundation
B. Change in Title of Degree Programs
- PR 6 Medical Center (No items to report)

Finance Committee

1. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1997
2. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1997
3. Report of Lease
4. 1997-98 Budget Revisions
5. Patent and Copyright Assignments Report

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, October 21, 1997.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 21, 1997 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Melanie Cruz.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mrs. Kay Shropshire Bell, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Ms. Melanie Cruz, Mr. Merwin Grayson, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Dr. Elissa Plattner, Mr. Steven S. Reed, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Dr. W. Grady Stumbo, Mr. Martin Welenken, and Ms. JoEtta Y. Wickliffe. Absent from the meeting were Mr. Ted Bates, Mr. John "Jack" Guthrie, and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, and Eugene Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on September 16, 1997 had been distributed and asked for any additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mrs. Bell seconded the motion, and it carried.

D. Resolutions

Melanie Cruz read the following resolution:

WHEREAS, two college students have died recently on other college campuses in incidents involving the abuse of alcohol, and the abuse of alcohol appears to be a recurring problem on college campuses today, and

WHEREAS, the Board of Trustees is deeply concerned about the effects of alcohol abuse on the overall educational climate at the University and is keenly aware that the University is not isolated from the national trend relating to alcohol abuse, and

WHEREAS, many of the national Greek organizations have taken measures to reduce the abuse of alcohol in their chapter houses, and local chapters of the national Greek organizations have been an integral part of the University community and have served the University community well,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees hereby directs the University Administration to take all necessary and proper actions to examine this issue as it relates to the University of Kentucky student life and to convey to the campus community and to the national and local Greek organizations on the University campus the Board's concern regarding the abuse of alcohol.

On motion made by Melanie Cruz, seconded by Mr. Chellgren and passed, the resolution was approved.

Governor Breathitt called attention to an article in the Kentucky Kernel. He read a quote from Lisa Bradford, a member of one of the local sororities: "At a meeting at Norfolk, Virginia yesterday the National Panhellenic Conference unanimously adopted a resolution supporting the ban of alcohol from social fraternities nationwide. Speaking for the Greek organizations, she said that education, old fashion character building, friendship and community service are our reasons for being." Governor Breathitt stated that this is recognition of the leadership being taken not only by the Greek organizations but by Ms. Cruz on behalf of the student body at large. He said that he strongly supported the resolution presented by Ms. Cruz.

President Wethington informed the Board that the administration will clearly welcome the opportunity to review the policies on campus regarding alcohol and student life. He said he would ask Chancellor Zinser and the student services staff to work with the various student organizations and leaders on campus. He asked Joe Burch to be the lead person in this effort to review the rules and regulations and determine what changes,

if any, the University should make both in the policy regarding the use of alcohol and the actions in regard to education about the uses and abuses of alcohol. He said the administration will take this charge very seriously. He assured the Board that the administration will carry out the mandates of the resolution and will report back to the Board regarding any actions that are taken.

Professor Mather then read the following resolution in memoriam of Lyman T. Johnson:

WHEREAS, thousands upon thousands of Kentuckians from varied walks of life and varied educational, social and cultural backgrounds mourn the October 3 passing, while rejoicing in the richly fulfilling life, of Lyman T. Johnson, and

WHEREAS, as the first African American admitted to the University of Kentucky almost five decades ago, Lyman T. Johnson displayed great courage by opening doors for future generations of minority students at UK, and

WHEREAS, his interest in education at all levels, including 33 years of perfect attendance as a faculty member at Louisville's Central High School and two terms on the Louisville and Jefferson County Board of Education, highlighted a career of service to the Commonwealth and its people, and

WHEREAS, his efforts include battles for equal salaries for African Americans, equal access to public parks, restaurants, theaters, and other accommodations, and

WHEREAS, his accomplishments have been recognized by means of a UK honorary doctor of letters degree, the Governor's Medallion Award, induction into the Afro-American Hall of Fame, and an All-American Award from the UK Alumni Association, and

WHEREAS, his foresight, knowledge and determination proved to be an excellent example to others by encouraging thousands of African Americans to succeed in his footsteps at UK and at other colleges and universities in Kentucky, and

WHEREAS, his gifts of time, wisdom and money to UK were always devoted to ensuring, promoting and celebrating improvements to the society in which he lived, including his donation to help establish the new Pursuit of Excellence Endowment,

NOW THEREFORE BE IT RESOLVED, that the Board of Trustees of the University of Kentucky does, in memoriam, hereby express its deepest and abiding gratitude to the late

LYMAN T. JOHNSON

whose distinguished and exceptional career, singular vision for the rights and dignity of all Kentuckians, and determination to bring equal educational opportunities to all, has made the University of Kentucky -- and all of Kentucky - a better place for everyone.

On motion made by Professor Mather, seconded by Mr. Reed, and passed, the resolution was approved.

Professor Mather asked that a copy of the resolution be shared with Mr. Johnson's family and distributed to the media, particularly the Community Voice.

Dr. Plattner said, "He was a giant." Governor Breathitt agreed and said that the University, to its credit, has recognized that through the years, including the honorary doctorate degree and other outstanding awards.

President Wethington said it is highly appropriate, in his opinion, that the Board and the administration honor Lyman T. Johnson in this fashion. The last statement in the resolution says it all ... "There is no question that the actions of Lyman T. Johnson has made the University of Kentucky and all of Kentucky a better place for everyone." He said it was a pleasure for him to be a part of the action to recognize Lyman T. Johnson and honor him in this fashion.

E. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The accomplishments of the first African American admitted to UK were recognized on October 17 at the seventh annual Lyman T. Johnson Alumni Association awards banquet. Those receiving awards as "barrier breakers" were Orlando "Tubby" Smith, Bernadette Mattox, Richard Green, P. G. Peeples, Deborah Blades, Merlene Davis, Tassa Wigginton, George Wright, and Braxton Crenshaw.
2. More than 5,800 donors helped the Partners in Progress campaign top the \$40 million mark. Eleven community colleges have raised more than \$1 million, including Paducah Community College which has raised more than \$13 million for a new science facility.
3. A project to build a "virtual community" that will allow researchers to pool resources will involve UK and 56 other institutions. The Alliance, as the project is called, plans to develop a prototype national computational infrastructure called the National Technology Grid with the support of a five-year, \$170 million grant from the National Science Foundation.

4. Tom Dillehay, anthropology chair, was named the College of Arts and Sciences Distinguished Professor, and Charles Staben, a professor in the School of Biological Sciences, was named its Distinguished Teacher.
5. Seven law students began providing free legal services to low-income senior citizens. The new Legal Clinic for the Elderly serves anyone 60 or older who meets the government's poverty guidelines.
6. The Center for Rural Health is one of five recipients of the Primary Care Achievement Award, funded by the Pew Charitable Trusts. The awards are the only ones to recognize America's leading primary care providers, researchers, and educators.
7. The Human Development Institute received a three-year, \$517,000 grant from the U.S. Office of Special Education Programs. The grant will be used to study the effectiveness of Kentucky's alternate assessment and accountability system for public school students with moderate and severe disabilities.
8. The annual Sanders-Brown Center on Aging Foundation dinner will be October 27 at the Marriott Griffin Gate Resort. The dinner is a major fundraising event for the foundation.
9. Somerset Community College celebrated the relocation of the Laurel County Center October 3 with an open house and dedication. This site was made available to the community college by local individuals, including local government in Laurel County.

President Wethington asked the members to read the other items in the report at their leisure.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Miles moved approval. The motion, seconded by Ms. Cruz, carried. (See PR 2 at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations and Changes in Administrative Regulations (PR 3A)

President Wethington recommended that the amendments to the Governing Regulations of the University of Kentucky and the proposed change to an Administrative Regulation be approved. He reminded the Board that this document was presented at the previous Board meeting and that changes in the Governing Regulations must stay on the table for at least one month. He noted that the amendments were sent out to the appropriate bodies and individuals for reaction inside the University, and those reactions led to some minor modifications, mostly editorial. He said that the regulations, if approved, would not go into effect until the delegation of the management of the community colleges (thirteen of the fourteen) takes place. Mr. Shoop moved approval. Professor Mather seconded the motion, and it passed. (See PR 3A at the end of the Minutes.)

Professor Mather clarified that the Board will deal with changes in the Administrative Regulations as they pertain to Lexington Community College at some point in the future. President Wethington agreed and said that Lexington Community College is not impacted directly by the change in management responsibilities of the other thirteen colleges. The Administrative Regulations will, however, be reviewed and some actions, specifically in regard to Lexington Community College, will be taken prior to July 1, 1998.

H. Appointment to University of Kentucky Humanities Foundation (PR 5A)

President Wethington recommended that approval be given to the appointment of Lois Gray as a member of the Board of Directors of the University of Kentucky Humanities Foundation for a four-year term beginning with the October 1997 meeting. Ms. Wickliffe moved approval. Mr. Stumbo seconded the motion, and it carried. (See PR 5A at the end of the Minutes.)

I. Change in Title of Degree Programs (PR 5B)

President Wethington recommended that the Board approve the change in name of the M.S. and Ph.D. degree program titles from Agricultural Engineering to Biosystems and Agricultural Engineering. Professor Mather moved approval. His motion, seconded by Mr. Reed, passed. (See PR 5B at the end of the Minutes.)

J. Announcement

President Wethington announced that the Board would have an informal discussion/retreat session on November 18th at 10:00 a.m. on the 18th floor of Patterson Office Tower. The session will be designed to give those who can attend an opportunity

to gain information about the Strategic Plan and Research Task Force issues and process, and to provide Board input as plans are made for the University for its future. He pointed out that this will not be a Board meeting nor will there be any official action taken at the meeting.

K. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1997 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, said that the Finance Committee met and acted on five items to submit to the Board. He said that FCR 1 is the quarterly report on the financial condition of the University of Kentucky for the three months ended September 30, 1997. The University has realized income of 29% of the budgeted amount for the year and the expenditures are now at 25% of the budgeted amount. He noted that the balance sheet is in great shape and shows great strength. He moved approval of FCR 1. Mr. Chellgren seconded his motion, and it passed. (See FCR 1 at the end of the Minutes.)

L. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and other Related Organizations for the Year Ended June 30, 1997 (FCR 2)

Mr. Hardymon moved that the Board accept the financial statements of the University of Kentucky for the year ended June 30, 1997, including separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, and special reports submitted by Deloitte & Touche on Compliance and on Internal Control and on Compliance with House Bill 622. He stated that the Finance Committee had a full report, and the important items to consider are the management letter and the letter involving HB 622 that deals with the financial management of the University. He noted that a separate letter on internal control would be sent to the management of the University. The Board will see the letter and the response to it. He noted that the auditors found no non-compliance aspects with HB 622. He reported that he and Governor Breathitt had a private session with the auditors prior to the Finance Committee meeting. In his opinion, it was a very thorough audit and was well done. His motion, seconded by Mr. Welenken, carried. (See FCR 2 at the end of the Minutes.)

M. Report of Lease (FCR 3)

Mr. Hardymon moved that the Board accept the report of the lease that is less than \$30,000 in value. He noted that it is a new lease for the use of 192 square feet of storage space at an annual rate of \$864.00 and \$4.60 per square foot. Mr. Shoop seconded his motion, and it passed. (See FCR 3 at the end of the Minutes.)

N. 1997-98 Budget Revisions (FCR 4)

Mr. Hardymon said that FCR 4 is a report on budget revisions for 1997-98 involving additional revenues and expenditures. He mentioned that the largest additional revenue was for non-credit fees at Jefferson Community College for services and expenditures in the computing area. He also noted some revisions involving restricted funds and provided an explanation for those revisions. He moved approval of FCR 4. His motion, seconded by Mr. Reed, passed. (See FCR 4 at the end of the Minutes.)

O. Patent and Copyright Assignments Report (FCR 5)

Mr. Hardymon said that FCR 5 is the quarterly report on patent and copyright assignments for the period June 1, 1997 through September 30, 1997. The report includes nine patents and one copyright. He reported that each of the assignments were reviewed in detail at the Finance Committee, and the Finance Committee was very proud of the patent activity. He moved approval of FCR 5. Mr. Reed seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

P. Ad Hoc Committee on Transition of Community Colleges Report

Governor Breathitt encouraged interested members of the Board to attend future meetings of the Ad Hoc Committee on Transition of Community Colleges to observe the work of the Committee. He then called on Mr. Chellgren for a report of the Committee's meeting earlier in the day.

Mr. Chellgren reported that the Committee had held its third meeting, and that seven Board members were present as well as President Wethington and his staff. A number of developments are occurring in the transition, some of which involve the University directly.

He reported that the Kentucky Community and Technical College System (KCTCS) Board met last Monday for the first meeting of a full complement of all fourteen members of the Board.

Mr. Chellgren said that in November, a four person fact finding team from the Southern Association of Colleges and Schools (SACS) will visit Kentucky to review the accreditation of the community colleges under the new management arrangement under the Postsecondary Improvement Act of 1997. On September 15, the Community College System submitted an accreditation prospectus setting out the changes in the management of the Community College System under HB 1. The task force will be reviewing the prospectus and conducting a series of interviews with relevant parties in state government, the community colleges, UK, and KCTCS. He noted that the only Board of Trustees member to be interviewed will be Chairman Breathitt. The full SACS

accreditation committee will meet in December to review the report of the fact finding team. One of three decisions will be made by the full committee: (1) it can disapprove the application, (2) it can approve conditionally, or (3) it could request additional information and take action later.

Mr. Chellgren commented that the Board had taken action on PR 3A earlier in the meeting to make certain governance changes in the University's regulations to prepare for the ultimate management delegation of the community colleges to KCTCS. He stated that the changes were important for the Board and needed to implement HB 1.

Recent actions by the KCTCS Board have a number of important implications. It has elected a chair, Martha Johnson from Ashland, Kentucky, a graduate of Ashland Community College and Western Kentucky University. A committee has been appointed by Governor Breathitt to search for the new KCTCS president. The KCTCS Board anticipates that it will select a president by February or March. The KCTCS Board is also allocating the \$11.7 million special appropriation made by the General Assembly for the community colleges. The allocation will include transition expenses, an amount for the engineering program at Paducah Community College, and approximately \$8 million to be distributed to the colleges.

Mr. Chellgren then gave an update on issues related to community college bonds. He reminded the Board that the University of Kentucky will remain as official issuer of the Community College Educational Building Revenue Bonds. As part of the transition to KCTCS management, there will be a formal legal agreement between the University of Kentucky Board of Trustees and the KCTCS Board of Regents. He said a final draft of an agreement has been completed and has been presented to the KCTCS Board. He anticipates that both Boards will formally take action on this agreement in December.

Mr. Chellgren reported that there are also a number of financial, personal property, and real estate issues relating to the transition. He reminded the Board that the University of Kentucky will still be the owner of the real property and buildings at the community college campuses. It appears that the University of Kentucky will continue to own the existing personal property at the community colleges when the delegation of management occurs, but as time goes by that personal property will be replaced and new property will be under KCTCS ownership.

According to Mr. Chellgren, perhaps the most important item yet to be dealt with is an agreement or memorandum of understanding between the University of Kentucky and the KCTCS system regarding the legal and administrative details of the delegation of management to KCTCS. This agreement will cover a variety of items: human resources policies, fiscal agent policies, purchasing, treasury, information technology, library use, EEO policies, various other services, and the terms and conditions under which the services will be provided by UK for the Community College System. The University of

Kentucky has begun to draft the delegation document. Ultimately, the UK Board will need to authorize the administration to execute that document, but action will probably not be taken until the spring of 1998.

Mr. Chellgren stated that the effective date of the transition is still uncertain; however, it is likely to be June 30, 1998. Committee members observed that there are likely too many tasks to be accomplished in the next 70 days to expect a January 1, 1998 effective date.

Mr. Chellgren assured the Board that the UK administration and the UK Board are taking all necessary actions needed to bring about a successful and a constructive transition. He again noted that the most important issue facing the transition in the next 60 days are accreditation and the drafting, negotiation, and execution of the agreement between UK and KCTCS. He said he or other members of the President's task force on the transition would be happy to answer any questions.

Governor Breathitt thanked Mr. Chellgren for his report.

Q. Carol Martin Gatton College of Business and Economics Report

President Wethington said that he would go back to the last part of the President's Report and ask Chancellor Zinser who has responsibility for all of the colleges on the Lexington Campus to introduce Dean Richard Furst for his report.

Chancellor Zinser said she was very happy to have the spotlight turned on the Carol Martin Gatton College of Business and Economics. She briefly reviewed the credentials of Dean Richard Furst, who is the leader of the College, and said he would be making a presentation on behalf of the faculty, staff and students of the College.

Dean Furst briefed the Board of Trustees on the current status of the College. He indicated that the College is listed among the top 60 or 70 business schools in the United States, citing the following examples:

1. The *Public Accounting Report* in 1991 listed UK's graduate program in Accounting as the 14th best in the nation.
2. In 1992, *Business Week* listed UK's MBA program as one of the "... twenty best buys in the US...".
3. In 1994 *ARCO* listed UK as one of the top 66 graduate business schools in the US.
4. In 1996, *Princeton Review* listed UK's MBA program among the 70 best in the US.
5. In 1997, *Business Week* included UK's MBA program on its Web page.

Information on the relative ranking of other major business schools in our region was also presented.

Dean Furst indicated that the College has nationally recognized research programs in several areas:

1. The publication *Decision Support Systems* ranked the College's research and decision support systems the 11th best in the US.
2. In 1993, the National Research Council ranked the Department of Economics 11th in research citations.
3. In 1995, the Academy of Management News ranked UK's research and management the 30th best in the US.

Dean Furst also discussed the strong international programs of the College by providing a brief history of the College's international activities since 1985. He indicated that the College's programs are known throughout the world and cited as an example that the Gatton College was one of only 15-20 business schools in the US where the Dean was invited to Washington to meet with President Bush in 1991 to discuss how to provide technical assistance to the emerging economies of Central and Eastern Europe and the old Soviet Union. Since 1991 the College has received over \$1 million in grants to provide technical assistance to this area.

The outstanding outreach programs of the College including the Center for Entrepreneurship, the Center for Business and Economic Research, the Center for Real Estate Studies, and the International Business and Management Center were discussed.

Dean Furst also briefed the Board on the strong relationship between the College and the business community and alumni. He indicated the College was the first to establish an outside business advisory group when the University of Kentucky Business Partnership Foundation was established in 1982. Other highlights were the first million dollar chair established in 1983, the successful completion of the Program for Excellence (an \$8 million fund-raising campaign) in 1987; the establishment of the Rosenthal Endowed Chair in 1988; the College's establishment of an Alumni Hall of Fame in 1994; and Mr. Gatton's gift in 1995.

The future plans of the College were then discussed. Current initiatives focus on:

1. Continuing to build the research programs of the College.
2. Adding critically needed staff in the areas of placement and marketing;
3. Continuing curriculum revisions.
4. Increasing private endowments.
5. Strengthening the combined degree programs.
6. Incorporating the latest technologies in the delivery of programs.

Dean Furst indicated that the College will continue to expand its economic development efforts by:

1. Building its programs in Entrepreneurship.
2. Expanding the offerings of the International Business and Management Center.
3. By continuing CBER's supply and research program.
4. By expanding the programs of the Real Estate Center.
5. By the effective use of technology in disseminating research results and in providing technical services to the Commonwealth.

Dean Furst then answered several questions from Board members concerning the level of funding needed to propel the College into the top 50. He indicated that the endowments at Indiana University, the University of Tennessee, and Ohio State University were significantly larger than the Gatton College endowments. Ohio State University recently completed a \$66 million campaign and has enlarged the campaign to \$93 million. The Development Office had also reported that the private endowments at Indiana University's Business School two years ago exceeded \$60 million and that Tennessee's endowment two years ago exceeded \$19 million with a \$25 million campaign underway. UK's Business School endowment is \$12 million with \$10 million in receivables. Dean Furst also discussed how private funds were used to enhance program quality.

R. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:28 p.m.

Respectfully submitted,

Merwin Grayson, Jr.
Secretary
Board of Trustees

(PR 2, 3A, 5A and 5B, and FCR 1, 2, 3, 4, and 5 which follow are official parts of the Minutes of the meeting.)

Office of the President
October 21, 1997

PRESIDENT'S REPORT TO THE BOARD OF TRUSTEES

1. Alumni Banquet Pays Tribute to Lyman T. Johnson

The accomplishments of the first African American admitted to UK were recognized on October 17 at the seventh annual Lyman T. Johnson Alumni Association awards banquet. Mr. Johnson died this month in Louisville. He was 91. Receiving awards as "barrier breakers" were Tubby Smith, men's basketball coach; Bernadette Mattox, women's basketball coach; Richard Green, associate principal of Lexington Traditional Magnet School; P.G. Peeples, executive director of the Lexington Urban League; Deborah Blades, a neurosurgeon at UK Hospital; Merlene Davis, a Lexington Herald-Leader columnist; Tassa Wigginton, the first African-American graduate of the physical therapy department; George Wright, provost of the University of Texas at Arlington; and Braxton Crenshaw, a Lexington lawyer and recent law graduate.

2. Research and Graduate Studies Celebrates Research Successes

"Challenging the Future, Changing Lives" was the theme of this year's research celebration held October 2. Among those honored were this year's University Research Professors: Dennis Clouthier, chemistry; Mark Mattson, anatomy and neurobiology; and Mark Summers, history. Also recognized were nearly 200 faculty members with 10 years of continuous funding. The anniversaries of three research centers were applauded: the Center for Applied Energy Research and the Appalachian Center for 20 years each, and the Center for Computational Sciences for 10 years.

3. Community College Campaign Tops \$40 Million Mark

More than 5,800 donors helped the Partners in Progress campaign top the \$40 million mark. Eleven community colleges have raised more than \$1 million, including Paducah Community College, which has raised more than \$13 million for a new science facility. The four-year-old campaign has been the most successful private fund-raising campaign ever by a statewide system of two-year colleges in America. Gifts support scholarships, endowments, professorships, equipment, staff development, academic programs and capital projects.

4. UK Involved in Alliance to Build Research Network

A project to build a "virtual community" that will allow researchers to pool resources will involve UK and 56 other institutions. The Center for Computational Sciences will provide access to its supercomputer. The Alliance, as the project is called, plans to develop a prototype national computational infrastructure called the National Technology Grid with the support of a five-year, \$170 million grant from the National Science Foundation.

5. Arts and Sciences Names Distinguished Professor and Teacher

Tom Dillehay, anthropology chair, was named the College of Arts and Sciences Distinguished Professor, and Charles Staben, a professor in the School of Biological Sciences, was named its Distinguished Teacher.

Professor Dillehay achieved international acclaim this year for his excavation of Monte Verde, Chile, a site that places human migration to the Americas 1,300 years earlier than previously thought. The antiquity of the site was confirmed this year and is featured in this month's National Geographic.

Professor Staben initiated a course this year in the new field of "bioinformatics," which teaches students how to access, understand and use information to answer key biological questions. He also is working to start a biology honors program in the University Honors Program.

6. Mary Pickford Biography is Fast Seller

A biography of silent screen actress Mary Pickford by Canadian writer Eileen Whitfield has become one of the fastest selling of any book published by the University Press of Kentucky. Four thousand copies of the biography have been sold since its release in August. Whitfield will be at the Kentucky Book Fair next month in Lexington.

7. New Student Law Clinic Aids Elderly

Seven law students began providing free legal services to low-income senior citizens. The new Legal Clinic for the Elderly serves anyone 60 or older who meets the government's poverty guidelines. Law students handle issues such as landlord-tenant disputes, consumer fraud, wills and living wills.

8. Center for Rural Health Receives Pew Award

The Center for Rural Health is one of five recipients of the Primary Care Achievement Award, funded by the Pew Charitable Trusts. The awards are the only ones to recognize America's leading primary care providers, researchers and educators. It carries a \$5,000 prize.

9. Salvation Army Honors College of Medicine for Service

The College of Medicine's clinic at the Lexington Salvation Army received the William Booth Award, one of the highest awards given by the Salvation Army. The award recognized the college for providing medical care to Lexington's homeless. The clinic was founded in 1988 by the late Abner Golden, pathology, and has been directed since 1991 by John Gurley, cardiology.

10. Kentucky TeleCare Receives Grant

Kentucky TeleCare has received a three-year, \$1.1 million grant from the U.S. Office of Rural Health Policy to develop a delivery system for clinical and educational health care programming. The three-year award includes \$340,000 for the first year. The completed network will link six primary care facilities, five rural public school sites, St. Claire Medical Center in Morehead and the Chandler Medical Center. James Norton, medicine, is the principal investigator.

11. HDI Grant Helps Students With Disabilities

The Human Development Institute received a three-year, \$517,000 grant from the U.S. Office of Special Education Programs. The grant will be used to study the effectiveness of Kentucky's alternate assessment and accountability system for public school students with moderate and severe disabilities.

12. Medical Center Minority Affairs Will Host National Meeting

The Chandler Medical Center Office of Minority Affairs will host the National Association of Medical Minority Educators Southern conference in April at Greentree Suites in Lexington. The planning committee includes John Wiggs, director of Medical Center minority affairs student development and a founding member of the association. It also incorporates representatives from all five Medical Center colleges.

13. Elizabethtown Community College Receives \$260,000 for "Televillage"

A project to create a network that allows communities in north-central Kentucky to address a variety of local concerns received a two-year \$260,733 grant from the National Telecommunications and Information Administration. The grant was awarded to Elizabethtown Community College, which is developing the Lincoln Trail Televillage Project with the Kentucky Rural Telecommunications Center, Kentucky VillageNet and the Kentucky Science and Technology Council. The original community network will be improved and expanded with this new grant. It will include a two-way compressed video system for distance learning and teleconferences. A workbook will be produced, and at least five conferences and workshops will be held to promote the use of the network.

14. Medical Students Present Tobacco Prevention to Lexington Youth

An anti-smoking curriculum developed by UK medical students is being presented to public middle schools and private grade schools in Lexington. The seminars are held in conjunction with Child Health Month, sponsored by the American Academy of Pediatrics' state chapter.

15. Madisonville Nursing Wing Named for Trover Foundation

The nursing wing of the John H. Gray building at Madisonville Community College was named in honor of the Trover Foundation, whose relationship with the community college has allowed some 70 nursing students and at least 12 physical therapy assistant students to graduate each year from Madisonville. The Trover Foundation has a record of support covering the past two decades at the community college and technical school level as well as at the UK and University of Louisville medical schools.

16. Annual Sanders-Brown Dinner Features Willard Scott

The "Today" show's Willard Scott will be the featured speaker at the annual Sanders-Brown Center on Aging Foundation dinner on October 27 at the Marriott Griffin Gate Resort in Lexington. The dinner is a major fund raiser for the foundation.

17. Somerset Community College Dedicates New Laurel County Campus

Somerset Community College celebrated the relocation of the Laurel County Center October 3 with an open house and dedication. The new center is at College Park, the former property of the Interstate Coal Company on the Ky. 192 bypass. The center has 16 classrooms with facilities for computer laboratories, an interactive television classroom, a music room and space for allied health technical programs.

18. Hazard Radiography Students Have 100 Percent Pass Rate

For the third consecutive year, all Hazard Community College radiography graduates passed the American Registry of Radiological Technology examination. Homer Terry, coordinator of the program, credits this success to the faculty and the cooperating hospitals and clinics that provide on-the-job training. Forty-eight radiography students have graduated from the program since it began in 1991.

19. Somerset Community College Receives \$100,000 Lighthouse Project Grant

A grant to Somerset Community College will allow the college to be involved in the Somerset area's economic development. The grant, a \$100,000 Lighthouse Project grant, was given by the U.S. Department of Agriculture.

20. Central Baptist Hospital Donates \$44,000 to Lexington Community College

Lexington's Central Baptist Hospital donated \$44,200 to help support Lexington Community College health care programs. The money will be used to establish the Central Baptist Hospital Endowed Scholarship Fund.

21. Student Awards and Honors

Mary Burks, Elizabethtown Community College, and Brent Rose, Paducah Community College, received a national Omicron Delta Kappa community college award for exemplary character, scholarship and demonstrated leadership abilities.

JoAnne Hale, Center for Rural Health, won the Kentucky Association of Blood Banks student paper award. Her paper, "Cord Blood: A Lifeline for Many," earned a \$500 cash prize and an all-expense paid trip to the association's annual meeting. This is the fourth consecutive year that a Center for Rural Health student has received the award.

Cara B. Kiger and five UK researchers received awards for their research and presentations at the Eastern section of the American Association of Petroleum Geologists conference.

Julie Olberding, the Martin School of Public Policy and Administration, won the Best Doctoral Student Paper Award at the Southeastern Conference for Public Administration.

Thirteen undergraduates received \$500 grants for fall research and creativity programs. They are Su Y. Chang, Jennifer Drake, Stephanie J. Falck, Suzannah M. Fister, Cherie Latrice Holliman, Kathy Walker Howell, Timothy Brock Jordan, Allison Clare Mallory, Misha Kelly Rhodes, Maria Soto, Keith G. Tidball, Sarah Wiener and Jamie Lynn Winders.

22. Significant Activities of Faculty and Staff

Paul Bachner, pathology, has been elected president-elect of the College of American Pathologists.

Carol Baskin, biology, has been named president of the Botanical Society of America.

D. Allan Butterfield, chemistry and the Center for Membrane Sciences, received this year's Southern Chemists Award from the Memphis section of the American Chemical Society.

James A. Drahovzal, Courtland Eble, Stephen F. Greb, Paul D. Howell and James C. Hower, Kentucky Geological Survey, and a UK student received awards for their research and presentations at the Eastern section of the American Association of Petroleum Geologists conference.

Roberta M. Dwyer, veterinary science, received the Veterinarian of the Year Award from the Kentucky Veterinary Medicine Association.

Vincent Gallicchio, allied health, is on the editorial board of the International Journal of Health Professions, a new journal in allied health published by Thomas Jefferson University College of Health Professions.

Jerry Gilliam, Hopkinsville Community College, has been named coordinator of the new Agricultural Technology Certificate Program, a joint venture of Kentucky Tech and the college. The program will prepare students for entry-level agricultural occupations.

Chester Grundy, African-American student affairs, received praise in a September 27 Lexington Herald-Leader editorial for his leadership in developing the UK Spotlight Jazz Series, now in its 20th season.

Donn Hancher, civil engineering, received the Peurifoy Award for Construction Research from the American Society of Civil Engineers.

Patsy Johnson, administration and supervision, received the Jack A. Culbertson Award in Educational Administration from the University Council for Educational Administration. The award goes to junior professors for contributions in educational administration.

William MacQuown, geology, received the Lifetime Achievement in Geology Award from the Kentucky section of the American Institute of Professional Geologists.

Geraldine Maschio, theater, has been named the Kentucky Professor of the Year by the Carnegie Foundation for the Advancement of Teaching.

Louis Swift, associate vice chancellor and dean of undergraduate studies, received the Pacesetter Award for outstanding service to student advising from the National Academic Advising Association.

Tom Priddy, agriculture, received a blue ribbon from the American Society of Agricultural Engineers for the college's weather page on the Internet.

Eldridge Rogers, Hopkinsville Community College, was one of 12 people inducted into the Kentucky Academic Association Hall of Fame.

Ira Joseph Ross, agricultural engineering, received the Massey-Ferguson Educational Award from the American Society of Agricultural Engineers.

Judith Worell, educational and counseling psychology, has been elected president of Division 35 of the Psychology of Women, American Psychological Association. She will chair the organization's February conference in Lexington.

PR 2

Office of the President
October 21, 1997

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

0197

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. EARLY RETIREMENTS

Vice President for Research and Graduate Studies

Bland, Carole C., Staff Associate Sr., Graduate School, after 18.8 years of service, under AR II-1.6-1 Section III-A.2, effective 11/28/97.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Vice President for Fiscal Affairs

Malloy, Geoffrey C., Director of Business Systems Support, Office of Fiscal Affairs, effective 9/22/97.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. RETIREMENTS

Elizabethtown Community College

Murray, Josephine, Associate Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 11.10 consecutive years of service, 6/30/97.

B. POST-RETIREMENT APPOINTMENTS

Elizabethtown Community College

Wallace, Donald, Professor in the Community College System, half-time, 10/22/97 through 6/30/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Daniel, Janice, Instructor in the Community College System, 8/1/97 through 6/30/98.

Duelley, Pam, Instructor in the Community College System, 8/1/97 through 6/30/98.

Shelton, Cindy, Instructor in the Community College System, 8/1/97 through 6/30/98.

Hazard Community College

Rudd, Michele, Instructor in the Community College System, 8/20/97 through 12/13/97.

Hopkinsville Community College

Davis, Nancy M., Instructor in the Community College System (voluntary), 9/8/97 through 5/31/98.

Gray, Becky, Instructor in the Community College System (voluntary), 9/8/97 through 5/31/98.

Rager, Deborah J., Instructor in the Community College System (voluntary), 9/8/97 through 5/31/98.

Rains, Sheila T., Instructor in the Community College System (voluntary),
9/8/97 through 5/31/98.

White, Cynthia L., Instructor in the Community College System (voluntary),
9/8/97 through 5/31/98.

Jefferson Community College

Aho, Connie, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Baker, Jennifer, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Baxter, Greta Brauner, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Blackburn, Barbara A., Instructor in the Community College System, 8/18/97
through 6/30/98.

Bowers, Tina, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Bryant, Stephen R., Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Campbell, Connie, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Cates, Sharon, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Clatos, Ruth, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Collins, Amy C., Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Cummings, Susan, Instructor in the Community College System (voluntary),
10/1/97 through 5/31/00.

Danhauer, Gwendolyn M., Instructor in the Community College System
(voluntary), 10/1/97 through 9/30/00.

DeBow, Barbara, Instructor in the Community College System (voluntary),
10/1/97 through 5/31/00.

Deren, Eileen M., Instructor in the Community College System (voluntary),
10/1/97 through 5/31/00.

DeYoung, Eric, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Donahue, Laura Jo Fowler, Instructor in the Community College System
(voluntary), 10/1/97 through 5/31/00.

Fellows, Bonnie, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Gillespie, Colleen, Instructor in the Community College System (voluntary),
10/1/97 through 5/31/00.

Hoffman, Sandra L., Instructor in the Community College System (voluntary),
10/1/97 through 5/31/00.

Jones, Delda, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

King, Leigh Ann, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Logsdon, Marylin K., Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

McMahon, Rebecca, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Middleton, Robin P., Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Morgan, Cindy, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Mullins, Elisa, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Neltner, David, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Obert, Lois, Instructor in the Community College System (voluntary), 10/1/97 5/31/00.

O'Hern, Maureen L., Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Puckett, Marsha A., Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Rice, Donna Michelle, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Rogers, Rose Marie, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Sandusky, Joanne, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Sheff, Lisa, Instructor in the Community College System (voluntary), 10/1/97 9/30/00.

Shockley, Carrie, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Simms, Laura Jo, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Sledjeski, Lise, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Spencer, Valorie, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Stonecipher, Patricia A., Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Strunk, Felicia, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Wheeler, Valerie, Instructor in the Community College System (voluntary), 10/1/97 through 5/31/00.

Zimmer, Amy L., Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Lexington Community College

Burdette, Rachel L., Instructor in the Community College System (voluntary),
8/27/97 through 6/30/00.

DeVore, Michelle L., Instructor in the Community College System, 9/11/97
through 6/30/98.

Fuller, Ron, Instructor in the Community College System (voluntary), 8/27/97
through 6/30/00.

Kreiter, Michelle, Instructor in the Community College System (voluntary),
8/27/97 through 6/30/00.

Maggard, Sandra B., Instructor in the Community College System (voluntary),
8/27/97 through 6/30/00.

McClary, Kimberly Faith, Instructor in the Community College System
(voluntary), 8/27/97 through 6/30/00.

Meek, Jill, Instructor in the Community College System, 8/28/97 through
5/31/98.

O'Connell, Patricia A., Instructor in the Community College System (voluntary),
8/27/97 through 6/30/00.

Will, Randall T., Instructor in the Community College System (voluntary),
8/27/97 through 6/30/00.

Maysville Community College

Keating, Abram M., Instructor in the Community College System, 9/2/97
through 6/30/98.

Owensboro Community College

Shory, Lein N., Instructor in the Community College System, 8/1/97 through
12/31/97.

Paducah Community College

Barnett, Marty, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Gates, David, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Gream, Jeffrey G., Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Hayden, Craig, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Jones, G. Allen, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Montague, Lynne, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Pritchett, Daisy, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Rudolph, Tracy, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Tucker, Thomas, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Vann, Joyce, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
Westover, Gay Lynn, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.
White, Doyott, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Prestonsburg Community College

Dearden, Jennifer S., Instructor in the Community College System, 8/20/97 through 5/31/98.
Potter, Brit C., Instructor in the Community College System, 8/27/97 through 5/31/98.

Somerset Community College

Burton, Alice A., Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
Chowning, Cathy, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
Elliott, David, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
Jones, Cynthia, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
Massey, Sandra, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
Mastin, Tammy, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/98.
McFee, Becky Jo, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.
McFeeters, James L., Instructor in the Community College System, 8/1/97 through 6/30/98.
Parmelee, Jeff, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.

Rogers, Blenda, Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.

Williams, Augusta S., Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.

Southeast Community College

Lewis, Ruth Ann, Instructor in the Community College System, 8/18/97 through 6/30/98.

Sherman-Sheffield, Pamela, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/99.

B. ADMINISTRATIVE APPOINTMENTS

Office of the Chancellor

Payne, Joey, appointed Administrative Staff Officer III, 10/11/97.

C. REAPPOINTMENTS

Lexington Community College

Cook, Richard L., Instructor in the Community College System (voluntary), 8/27/97 through 6/30/00.

Dreux, Charlotte I., Instructor in the Community College System (voluntary), 8/27/97 through 6/30/00.

Shumate, Robert, Instructor in the Community College System (voluntary), 8/27/97 through 6/30/00.

Taghizadeh, Rasoul, Instructor in the Community College System, 8/27/97 through 12/31/97.

Paducah Community College

Birdsong, Chris, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Floyd, Wayne, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Hammons, Mark, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

Jackson, Renee Boswell, Instructor in the Community College System (voluntary), 10/1/97 through 9/30/00.

McLain, Julia A., Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.

Muscarella, Michael A., Instructor in the Community College System (voluntary), 11/1/97 through 10/31/00.

Parrish, JoEllen, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Roof, Greta P., Instructor in the Community College System (voluntary), 11/1/97
through 10/31/00.

Rorer, Kathy, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Vance, Mark, Instructor in the Community College System (voluntary), 10/1/97
through 9/30/00.

Wylie, Jennifer, Instructor in the Community College System (voluntary),
10/1/97 through 9/30/00.

Somerset Community College

Brown, Sam, Instructor in the Community College System (voluntary), 11/1/97
through 10/31/00.

Lacefield, Paula, Instructor in the Community College System (voluntary),
11/1/97 through 10/31/98.

Leis, Charollette, Instructor in the Community College System (voluntary),
11/1/97 through 10/31/00.

Minton, Jenna, Instructor in the Community College System (voluntary), 11/1/97
through 10/31/00.

Singleton, Debbie, Instructor in the Community College System (voluntary),
11/1/97 through 10/31/00.

Winchester, Lula, Instructor in the Community College System (voluntary),
11/1/97 through 10/31/00.

D. LEAVES OF ABSENCE

Hazard Community College

Hurt, Valina, Professor in the Community College System (with tenure),
sabbatical leave with full pay, 1/1/98 through 6/30/98.

Jefferson Community College

Davis, Randall J., Associate Professor in the Community College System (with
tenure), sabbatical leave with full pay, 1/1/98 through 6/30/98.

Maysville Community College

Hunter, Nancy D., Associate Professor in the Community College System (with
tenure), sabbatical leave with full pay, 1/1/98 through 6/30/98.

Prestonsburg Community College

Nayak, Paresh C., Associate Professor in the Community College System (with tenure), leave of absence without pay, 8/1/97 through 12/31/97.

Somerset Community College

Aaron, Marshall, Associate Professor in the Community College System (with tenure), sabbatical leave with full pay, 1/1/98 through 6/30/98.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

College of Arts and Sciences

Parker, James S., Professor (without tenure), Aerospace Studies, appointed Chair, Aerospace Studies, 8/16/97 through 6/30/00.

B. RETIREMENTS

College of Agriculture

Hemken, Roger W., Professor (with tenure), Animal Sciences, after 27.08 consecutive years of service, under Section III.B of AR II-1.6-1, effective 8/31/97.

College of Engineering

Mason, Harry L., Associate Professor (with tenure), Mechanical Engineering, after 40.66 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/30/97.

Vice Chancellor for Administration

Brown, Beulah, Custodial Worker I, disabled, Employee Benefits, Physical Plant Division, after 18.9 consecutive years of service, under Section III.B of AR II-1.6-1, effective 10/31/97.

Gardner, Eugene, Utility Worker, disabled, Employee Benefits, Physical Plant Division, after 21.33 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/30/97.

Green, James L., Food Service Worker III, Vending, Auxiliary Services, after 15.25 consecutive years of service, under Section III.B of AR II-1.6-1, effective 8/18/97.

Miniard, Marjorie, Assistant Cafeteria Manager, Donovan Cafeteria, Auxiliary Services, after 41 consecutive years of service, under Section III.B of AR II-1.6-1, effective 9/2/97.

C. EARLY RETIREMENTS

College of Education

Mills, Anne C., Staff Assistant VI, Associate Dean's Office, after 30.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/7/97.

Vice Chancellor for Administration

Jackson, Carl M., Custodial Worker I, Housing, Auxiliary Services, after 15 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 10/31/97.

Willhoite, Clarence C., Plumber Supervisor, Plumbing Shop, Physical Plant Division, after 31.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 10/31/97.

D. POST-RETIREMENT APPOINTMENTS

College of Human Environmental Sciences

Henry, Sarah, Assistant to the Dean (part-time), Human Environmental Sciences, 9/2/97 through 6/30/98.

Vice Chancellor for Administration

Witt, Mary, Executive Director (half-time), Arboretum, 11/1/97 through 6/30/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Ervin, Danny M. Jr., Instructor (part-time), Agricultural Economics, 8/16/97 through 12/22/97.

Foree, Robert H., Instructor (part-time), Agricultural Economics, 8/16/97 through 12/22/97.

Hardwick, Theodore H., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/97 through 12/31/97.

Vickner, Steven S., Assistant Professor, Agricultural Economics, 10/20/97 through 6/30/98.

College of Arts and Sciences

- Alapati, Ravi, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Arnold, Timothy W., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Bailey, David C., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Barefield, Laura D., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Boggs, Brenda J., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Brewster, Benjamin C., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Brewster, Regina P., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Creasey, Carrie, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Garcia, Virginia, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Gregory, Robert D., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Grider, Bonnie Gail, Instructor (part-time), English, 8/16/97 through 12/31/97.
- Hall, Christopher K., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Haskins, Kristin E., Instructor (part-time), Biological Sciences, 8/16/97 through 12/31/97.
- Hodges, Raymond A., Instructor (part-time), Mathematics, and Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Hubbard, Keith M., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Jaiswal, Taran K., Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Johnson, Lee Ann, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Karadkar, Unmil P., Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Kaushal, Mehta, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Kirby, Jimmy L., Instructor (part-time), African American Studies, 1/1/98 through 5/15/98.
- Kondagunturi, Ramakanth, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Kondaveeti, Ravi K., Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- Kotasthane, Vipul, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
- McGinley, Christopher J., Instructor (part-time), English, 8/16/97 through 12/31/97.

Miller, Kimberly A., Instructor (part-time), English, 8/16/97 through 12/31/97.
Mize, Joel Arthur, Instructor (part-time), Philosophy, 8/16/97 through 12/31/97.
Namburi, Siva Gopala, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Natale, Marina, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
Nemani, Vasavi, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Patel, Vikas, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Prabhala, Ramakrisna, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Reece, Erik A., Instructor (part-time), English, 8/16/97 through 12/31/97.
Rosdatter, Paula, Instructor (part-time), Classics, 8/16/97 through 12/31/97.
Scheibel, Patricia L., Instructor (part-time), English, 8/16/97 through 12/31/97.
Schmit, John C., Instructor (part-time), English, 8/16/97 through 12/31/97.
Shah, Dhaval Babulal, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Shah, Himanshu, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Shah, Sandip, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.
Shumer, Daniel L., Instructor (part-time), English, 8/16/97 through 12/31/97.
Stone, E. Marye, Instructor (temporary), French, 8/16/97 through 6/30/98.
Taulbee, Stacy L., Instructor (part-time), English, 8/16/97 through 12/31/97.
Thompson, Tammy, Instructor (part-time), English, 8/16/97 through 12/31/97.
Vazquez, Felix, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
Wade, Judith C., Instructor (part-time), English, 8/16/97 through 12/31/97.
White, Rebecca, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
Williamson, John R., Instructor (part-time), English, 8/16/97 through 12/31/97.
Wimp, Ruth, Instructor (part-time), German, 8/16/97 through 12/31/97.
Wise, Wendy, Instructor (part-time), German, 8/16/97 through 12/31/97.
Yasarapu, Praveen, Instructor (part-time), Physics and Astronomy, 8/16/97 through 12/31/97.

College of Communications and Information Studies

Barton, Mary, Instructor (part-time), Journalism and Telecommunications, 8/16/97 through 5/15/98.

College of Education

O'Neill, Charles, Instructor (part-time), Educational Counseling and Psychology, 8/16/97 through 12/31/97.

College of Fine Arts

Haffner, James, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Nelson, Larry, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Stamps, Peggy, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Todd, Philip, Instructor (part-time), Music, 8/16/97 through 12/31/97.

College of Social Work

Jones, William R., Assistant Professor (part-time), Social Work, 8/16/97 through 5/15/98.

Kennedy, Albert, Assistant Professor (part-time), Social Work, 8/16/97 through 5/15/98.

B. REAPPOINTMENTS

College of Agriculture

Manning, Christopher H., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/97 through 12/31/97.

McCoy, J. Michael, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/97 through 12/31/97.

Senn, Elizabeth K., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/97 through 12/31/97.

College of Architecture

Bennett, Stephen, Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Biagi, David M., Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Gillig, Robert, Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Guyon, Scott, Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Huestis, George C., Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Romanowitz, Byron, Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

Stevens, Randall S., Instructor (part-time), Architecture, 8/16/97 through 12/31/97.

College of Arts and Sciences

- Beasley, Stephanie, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Bebensee, George, Instructor (part-time), English, 8/16/97 through 12/31/97.
- Buck, Patrick, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Clark, Stephen A., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Contreras, Lisa, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Cooper, John B., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Ellis, Kelly A., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Ellis, Normandi, Instructor (part-time), English, 8/16/97 through 12/31/97.
- Everts, Nancy, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Fritts, Priscilla, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Jankovic, Vladimir J., Instructor (part-time), Philosophy, 8/16/97 through 12/31/97.
- Ketz, Laura, Instructor (part-time), Spanish and Italian, 8/16/97 through 12/31/97.
- Marksbury, Thomas A., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Mattone, Frank M., Instructor (part-time), Geography, 8/16/97 through 12/31/97.
- Morley, Elizabeth L., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Moss, Marvin T., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Muenks, Martha J., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Norman, Charlene W., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Norris, Debra G., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Pichard, Leisa D., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Pitts, Melissa M., Instructor (part-time), English, 8/16/97 through 12/31/97.
- Pruett, J. Lynn, Instructor (part-time), English, 8/16/97 through 12/31/97.
- Robinson, Penny S., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.
- Seals, Alvin M., Instructor (part-time), African American Studies, 8/16/97 through 12/31/97.
- Seiler, Michael J., Instructor (part-time), Mathematics, 8/16/97 through 12/31/97.

Suggs, Thomas F., Instructor (part-time), English, 8/16/97 through 12/31/97.
Susman, David T., Instructor (part-time), Psychology, 8/16/97 through
12/31/97.

Thompson, Anita S., Instructor (part-time), Mathematics, 8/16/97 through
12/31/97.

Trumbo, Geoffrey B., Instructor (part-time), English, 8/16/97 through
12/31/97.

Vanderpool, Betty L., Instructor (part-time), Mathematics, 8/16/97 through
12/31/97.

Wegrzyn, Joseph F., Instructor (part-time), English, 8/16/97 through 12/31/97.

White, C. Patrick, Instructor (part-time), English, 8/16/97 through 12/31/97.

White, Rebecca, Instructor (part-time), Spanish and Italian, 8/16/97 through
12/31/97.

Zahniser, Ann H., Instructor (part-time), English, 8/16/97 through 12/31/97.

College of Business and Economics

Karns, Melinda, Instructor (part-time), Accountancy, 8/16/97 through
5/15/98.

College of Communications and Information Studies

Biles, William S., Instructor (part-time), Library and Information Science,
1/1/98 through 5/15/98.

Gibbons, Judith, Instructor (part-time), Library and Information Science,
1/1/98 through 5/15/98.

Hornsby, Donna, Instructor (part-time), Library and Information Science,
8/16/97 through 5/15/98.

Roberts, Randall, Instructor (part-time), Library and Information Science,
1/1/98 through 5/15/98.

Schultz, Lois, Instructor (part-time), Library and Information Science, 1/1/98
through 5/15/98.

Stephens, Rebecca, Instructor (part-time), Library and Information Science,
1/1/98 through 5/15/98.

Wesley, Threasa, Instructor (part-time), Library and Information Science,
1/1/98 through 5/15/98.

College of Fine Arts

Burgess, Phillipa, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Hoffman, Dorotea, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Karp, Margaret, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Osland, Lisa, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Roller, Jonathan, Instructor (part-time), Music, 8/16/97 through 12/31/97.

Stucky, Rod, Instructor (part-time), Music, 8/16/97 through 12/31/97.

C. LEAVES OF ABSENCE

College of Agriculture

Wyatt, Cheryl, County Extension Agent, Cooperative Extension Service,
Educational leave with full salary, 11/1/97 through 4/30/98.

College of Education

Harris, J. John III, Professor (with tenure), Administration and Supervision,
Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Levstik, Linda, Professor (with tenure), Curriculum and Instruction, Sabbatical
leave with full salary, 7/1/98 through 12/31/98.

Slaton, Deborah Bott, Professor (with tenure), Special Education and
Rehabilitation Counseling, Sabbatical leave with full salary, 7/1/98 through
12/31/98.

College of Human Environmental Sciences

Crum, Ruth Ann, Associate Professor* (with tenure), Family Studies,
Sabbatical leave with half salary, 1/1/98 through 12/31/98.

* Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Bosomworth, David P., Instructor (part-time), Anesthesiology,
10/22/97 through 6/30/98.

Daugherty, Alan, Associate Professor (without tenure), Internal
Medicine, 11/1/97 through 6/30/98.

Rayes, Emily J., Clinical Assistant Professor, Physical Medicine and
Rehabilitation, 11/1/97 through 6/30/98.

B. AMINISTRATIVE APOINTMENTS

University Hospital

Williams, Willie, Director, Technical Services, MCIS Communications
and Technology, effective 11/10/97.

C. JOINT APPOINTMENTS

College of Medicine

Witt, William O., Professor* (with tenure), Anesthesiology, joint
appointment as Professor* (without tenure), Surgery, effective
10/22/97.

D. PROMOTIONS

College of Medicine

Block, Stan L., Assistant Professor (voluntary), Pediatrics, to
Associate Professor (voluntary), Pediatrics, 10/22/97 through
6/30/00.

Johnson, Pamela G., Assistant Professor (voluntary), Pediatrics, to
Associate Professor (voluntary), Pediatrics, 10/22/97 through
6/30/00.

*Special Title Series

Kriss, Vesna M., Assistant Professor, Diagnostic Radiology, joint appointment as Assistant Professor, Pediatrics, to Associate Professor (with tenure), Diagnostic Radiology, joint appointment as Associate Professor (without tenure), Pediatrics, effective 11/1/97.

E. CHANGES

College of Medicine

Campbell, Berry A., from Associate Professor* (with tenure), Obstetrics and Gynecology, to Associate Professor (part-time), Obstetrics and Gynecology, 11/1/97 through 6/30/98.

F. EARLY RETIREMENTS

College of Medicine

Devine, Charles, Department Administrator, Ophthalmology, after 29 years, 6 months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 4/25/97.

College of Pharmacy

Brown, Connie B., Senior Pharmacy Administrative Coordinator, Center for Pharmaceutical Science and Technology, after 25 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 12/31/97.

University Hospital

Donovan, Patricia R., Pharmacy Auto Tech I, Pharmacy, after 30 years, 11 months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 9/1/97.

Lewis, Donna J., Nurse Clinical II, Nursing: 6th North, after 18 years, 9 months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/16/97.

G. POST-RETIREMENT APPOINTMENTS

*Special Title Series

College of Medicine

Tietz, Norbert W., Professor (part-time), Pathology and Laboratory
Medicine, 10/22/97 through 6/30/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Aganon, Efren C., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Baula, Victoria M., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Brown, David E., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Burton, Steven B., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Dawson, James D., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Eade, Joel, Assistant Professor (voluntary), Health Services, 10/22/97
through 6/30/00.
Gaines, Marlon, Instructor (voluntary), Health Services, 10/22/97
through 6/30/00.
Geren, Jack W., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Grino, Agripina D., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Lalude, A. O., Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Miller, Joseph, Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Montgomery, David, Assistant Professor (voluntary), Health Services,
10/22/97 through 6/30/00.
Nitz, Paul A., Assistant Professor (voluntary), Health Services,
12/10/97 through 6/30/00.
Pennington, Lisa, Instructor (voluntary), Health Services, 10/22/97
through 6/30/00.
Sellin, Robert A., Instructor (voluntary), Clinical Sciences, 10/22/97
through 6/30/00.

Triplett, Terrence W., Assistant Professor (voluntary), Health Services, 10/22/97 through 6/30/00.

College of Dentistry

Cox, Stephen D., Assistant Professor (part-time), Oral Health Practice, 10/22/97 through 6/30/98.

College of Medicine

Abubakar, Tunku Abdul R., Assistant Professor (voluntary), Psychiatry, 10/22/97 through 6/30/00.

Asriel, John, Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Chaney, James, Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Cundiff, James R., Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Greene, David A., Assistant Professor (voluntary), Internal Medicine, 10/22/97 through 6/30/00.

McElwain, William P., Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Popham, Julia A., Assistant Professor (voluntary), Internal Medicine, 10/22/97 through 6/30/00.

Riley, John, Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Schlossberg, Barry J., Assistant Professor (voluntary), Internal Medicine, 10/22/97 through 6/30/00.

Stiles, Matthew A., Assistant Professor (voluntary), Family Practice, 10/22/97 through 6/30/00.

Swied, Abdul, Assistant Professor (voluntary), Internal Medicine, 10/22/97 through 6/30/00.

College of Nursing

Ingala, Judith V., Instructor (voluntary), 10/22/97 through 6/30/00.

Ossege, Julianne, Assistant Professor (voluntary), 10/22/97 through 6/30/00.

Thompson, Rebecca R., Instructor (part-time), 10/22/97 through 6/30/98.

Zangari, Barbara A., Instructor (voluntary), 10/22/97 through 6/30/00.

College of Pharmacy

Koch, Kenneth T., Instructor (voluntary), 10/22/97 through 6/30/00.
Mone, Michael A., Instructor (voluntary), 10/22/97 through 6/30/00.
Ramirez, Julio A., Instructor (voluntary), 10/22/97 through 6/30/00.
Reeves, David H., Instructor (voluntary), 10/22/97 through 6/30/00.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Bachner, Paul, Clinical Professor, Pathology and Laboratory Medicine,
named Acting Chair, Pathology and Laboratory Medicine, 10/1/97
through 12/31/97.

C. JOINT APPOINTMENTS

College of Allied Health Professions

Leigh, Lynn W., Assistant Professor (voluntary), Health Services, joint
appointment as Assistant Professor (voluntary), College of
Medicine, Department of Family Practice, 10/22/97 through
6/30/00.

Research and Graduate Studies

Jung, Ranu, Assistant Professor, Center for Biomedical Engineering,
joint appointment as Assistant Professor, College of Medicine,
Department of Physiology, and joint appointment as Assistant
Professor, College of Engineering, Department of Electrical
Engineering, 10/22/97 through 6/30/98.

D. PROMOTIONS WITHOUT TENURE

College of Medicine

Hall, Richard A., Instructor (voluntary), Pediatrics, to Assistant
Professor (voluntary), Pediatrics, 10/22/97 through 6/30/00.
Simon, Michael W., Instructor (voluntary), Pediatrics, to Assistant
Professor (voluntary), Pediatrics, 10/22/97 through 6/30/00.

College of Nursing

Hoefner, Ruthie B., Instructor (part-time), to Assistant Professor (part-time), 11/1/97 through 6/30/98.

E. CHANGES

College of Medicine

Blackmon, LeeAnn, from Clinical Assistant Professor, Psychiatry, joint appointment as Clinical Assistant Professor, Pediatrics, to Assistant Professor (part-time) Psychiatry, joint appointment as Assistant Professor (part-time), Pediatrics, 10/22/97 through 6/30/98.

De Beer, Maria C., from Assistant Research Professor, Biochemistry, to Assistant Research Professor, Internal Medicine, 10/22/97 through 6/30/98.

Fleming-Phillips, Barbara, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 10/22/97 through 6/30/98

Katz, Debra A., from Assistant Professor (part-time), Psychiatry, joint appointment as Assistant Professor (part-time), Neurology, to Assistant Professor, Psychiatry, joint appointment as Assistant Professor, Neurology, 10/22/97 through 6/30/98.

O'Neill, Amy M., from Assistant Professor (part-time), Psychiatry, to Clinical Assistant Professor, Psychiatry, 10/22/97 through 6/30/98.

Stevens, Julia C., from Assistant Professor, Ophthalmology, to Assistant Professor*, Ophthalmology, 11/1/97 through 6/30/98.

Whitworth, Michael L., from Assistant Professor, Anesthesiology, to Assistant Professor (part-time), Anesthesiology, 10/22/97 through 6/30/98.

College of Nursing

Calico, Patricia A., from Assistant Professor (voluntary), to Assistant Professor (part-time), 10/22/97 through 6/30/98.

Chappell, Hazel W., from Instructor (part-time), to Instructor (temporary), 11/1/97 through 6/30/98.

University Hospital

Hudson, Paula, from Staff Development Manager, Client Systems, to Client Systems Support Manager, Client Systems, effective 9/15/97.

McDaniel, Jerry W., from Staff Technician II, Pathology/Clinical Laboratories, retirement after 17 years, 3 months consecutive years of service, under AR II-1.6-1 Section III.A.2., effective 9/15/97, to Staff Technician II, Pathology/Clinical Laboratories, retirement after 17 years, 3 months consecutive years of service, under AR II-1.6-1 Section III.A.2., effective 9/26/97.

F. TERMINAL REAPPOINTMENTS

College of Medicine

Pfeifer, Bernd L., Assistant Professor, Anesthesiology, 9/1/97 through 8/31/98.

G. LEAVES OF ABSENCE

College of Medicine

Blonder, Lee X., Associate Professor (with tenure), Behavioral Science, joint appointments as Associate Professor (without tenure), Neurology, and College of Arts and Sciences, Department of Anthropology, sabbatical leave at full salary, 7/1/98 through 12/31/98.

PR 3A

Office of the President
October 21, 1997

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS AND CHANGES IN ADMINISTRATIVE REGULATIONS

Recommendation: that the attached amendments to the Governing Regulations of the University of Kentucky and the proposed change to an Administrative Regulation be approved.

Background: Proposed changes in the Governing and Administrative Regulations were distributed to the Board at its September meeting. The changes are necessary to conform the University's rules and regulations to the provisions of the Kentucky Postsecondary Education Improvement Act of 1997 (House Bill 1 of the First 1997 Extraordinary Session of the General Assembly). Several minor modifications unrelated to House Bill 1 are also proposed. Pursuant to Governing Regulations XIV, at least one month has elapsed since proposal of the amendments. The University Senate, the Senate of the Community College System, the Student Government Association and the Inter-Community College Student Council had an opportunity to recommend on these amendments before final action by the Board. Minor modifications and editorial changes have been made as a result of comments received by those who reviewed the proposed changes.

Effective Date: Changes in the Governing Regulations relating to House Bill 1 will become effective when the management responsibilities for the Community College System are delegated to the Board of Regents of the Kentucky Community and Technical College System. This will occur upon affirmative completion of the Southern Association of Colleges and Schools (SACS) substantive change process, but not later than July 1, 1998.

Action taken: Approved Disapproved Other _____

PROPOSED AMENDMENTS TO GOVERNING REGULATIONS

NOTE: Proposed additions are underlined; proposed deletions have a line through the text.

Amendment # 1 changed PART I - The University of Kentucky (Definition)
Pages 2 (1a, b, c); (3) & (4) (see attached)

Amendment # 2 changed PART II - Governance of the University of Kentucky
Pages 1, 2, 3, 6, 7 & 8 (see attached)

Amendment # 3 changed PART III - The President of the University of Kentucky
Pages 1 & 2 (see attached)

Amendment # 4 changed PART V - The Senate of the Community College System
Pages 1, 2 & 3 (see attached)

Amendment # 5 changed PART VI - The University Assembly
Page 1(see attached)

Amendment # 6 changed PART VII - University Organization
Pages 1, 7, 13 & 14 (see attached)

Amendment # 7 changed PART VIII - Added University System to title for Appointments
Pages 1, 3 & 4 (see attached)

Amendment # 8 changed PART IX - Review of Educational Units
Page 1 (see attached)

Amendment # 9 changed PART X - Regulations Affecting Academic Employment
Pages 2, 3, 5 & 6 (see attached)

Amendment # 10 changed PART XI - Student Affairs
Pages 1 & 2 (see attached)

Amendment # 11 changed PART XII - Miscellaneous
Page 4 (see attached)

Amendment # 12 changed PART XIV - Amendments
Page 1 (see attached)

Amendment # 13 changed PART XV - Appendix: Powers and Duties of the Council on Higher Education
Pages 1, 2, 3, 4, 5 & 6 (see attached)

PROPOSED CHANGE IN ADMINISTRATIVE REGULATION

AR II-5.0-1 - Policy Statement on Community College System - Delete entire document

922/ds

July 2, 1862, shall continue to be integral and indispensable courses of instruction at the university.*

164.125 UNIVERSITY PROGRAMS, REQUIREMENTS.

(1) The University of Kentucky shall provide:

(a) Upon approval of the Council on ~~Higher—~~ Postsecondary Education, associate and baccalaureate programs of instruction;

(b) Upon approval of the Council on ~~Higher—~~ Postsecondary Education, master degree programs, specialist degree programs above the master's degree level, and joint doctoral programs in cooperation with other ~~state-supported—~~ public postsecondary educational institutions of higher education in the state;

(c) Upon approval of the Council on ~~Higher—~~ Postsecondary Education, doctoral and post-doctoral programs and professional instruction including law, medicine, dentistry, education, architecture, engineering and social professions.

(2) The University of Kentucky shall be the principal state institution for the conduct of statewide research and statewide service programs and shall be the ~~only—~~ primary institution authorized to expend State General Fund appropriations on research and service programs of a statewide nature financed principally by state funds. As applied in this section, research and service programs of a statewide nature shall be programs requiring the establishment and operation of facilities or centers outside of the primary service area of the institution. In carrying out its statewide mission, the University of Kentucky shall conduct statewide research and provide statewide services including, but not limited to, agricultural research and extension services, industrial and scientific research, industrial technology extension services to Kentucky employers, and research related to the doctoral, professional, and post-doctoral programs offered within the University. The University may establish and operate centers and utilize state appropriations and other resources to carry out the necessary research and service activities throughout the state. The University may enter into joint research and service activities with other universities in order to accomplish its statewide mission. Nothing contained in this subsection shall limit the authority of the Council on Postsecondary Education to establish instructional programs that are consistent with the strategic agenda.

(3) ~~The University of Kentucky is authorized to provide programs of a Community College nature in its own community comparable to those listed for the Community College System, as provided by this chapter. (1966, C 6, s 3)~~ shall provide comprehensive community college programs at the Lexington Community College. The Lexington Community College, as one of the fourteen (14) community colleges that composed the University of Kentucky Community Colleges on the effective date of this Act, shall be provided an equitable share of the funds appropriated to improve the funding levels of the community colleges for the

1997-98 fiscal year. Subsequent biennial budget requests for the Lexington Community College shall be included in the University of Kentucky's budget requests, as a separate line item, and shall not be considered a part of the Kentucky Community and Technical College System requests.

* The College of Commerce has been renamed the College of Business and Economics (February 18, 1966) and, in accordance with this statute, the Colleges of Pharmacy (July 1, 1947), Medicine (June 1, 1954), Dentistry (May 28, 1956), Nursing (May 28, 1956), Architecture (July 1, 1964), Allied Health Personnel (January 1, 1966; renamed Allied Health Professions, January 20, 1967), Home Economics (July 1, 1967; renamed Human Environmental Sciences, January 22, 1991), Library Science (April 2, 1968; renamed Library and Information Science, April 6, 1982; renamed Communications and Information Studies, June 22, 1993), Social Professions (June 24, 1968; renamed Social Work, May 6, 1980), Communications (May 4, 1976; renamed Communications and Information Studies, June 22, 1993), and Fine Arts (May 4, 1976) have been established.

B. Community College System of the University of Kentucky

The University of Kentucky Community College System (UKCCS) consists of a system of community colleges located in various parts of the state at points established by statute (KRS 164.591; 164.593). Adopted in the 1997 Extraordinary Session of the Kentucky General Assembly, the Kentucky Postsecondary Education Improvement Act of 1997 (HB 1) amended statutes relating to the operation of the University of Kentucky's Community College System (UKCCS). Section 111 of the Act established the Kentucky Community and Technical College System, with two branches, one of which is the UKCCS, with the exception of the Lexington Community College. Sections 19 and 111 of the Act authorized the Kentucky Community and Technical College System to administer the UKCCS and directed the University of Kentucky Board of Trustees to delegate to the Kentucky Community and Technical College System Board of Regents the management responsibilities for the UKCCS, including but not limited to management of facilities and grounds, assets, liabilities, revenues, personnel, programs, financial and accounting services, and support services. The effective date of the delegation is the date of the affirmative completion of the Southern Association of Colleges and Schools' Substantive Change process, but not later than July 1, 1998. Pursuant to the provisions of the Act, the University retains certain responsibilities relating to the UKCCS: all real and personal property continues to be owned by the University of Kentucky; UKCCS employees as of the effective date continue to be governed by University Governing and Administrative regulations and any subsequent changes to those regulations made by the University; students enrolled in the UKCCS continue to have all the responsibilities, privileges and rights accorded to UKCCS students on the effective date; UKCCS bonds continue to be the responsibility of the University;

the Board of Trustees recommends nominees for four members of the Kentucky Community and Technical College System Board of Regents; the Board appoints the search committee for the Kentucky Community and Technical College System president; the Board appoints half of the Search Committee for any future chancellor to head the Community College System; the Board reviews the biennial budget request for the UKCCS; the Board receives appropriations for the UKCCS and transfers and allots these funds to the Kentucky Community and Technical College System Board of Regents for the benefit of the UKCCS; the Board approves the acquisition and disposition of real property for the UKCCS and major capital construction projects; and the Board reviews, makes recommendations and approves existing and new associate degree programs in the UKCCS for which the Board of Trustees awards degrees. The Board awards all associate degrees to students who complete requirements in the University of Kentucky Community College System which bear the University of Kentucky name. KRS 164.500 directs that each community college shall provide: (1) a general two year academic curriculum with credits transferable to two year and four year colleges and universities; (2) technical and semiprofessional programs of two years or less; and (3) within a two year college curriculum, courses in general education, including adult education, not necessarily intended for transfer nor technically oriented.

PART II

GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees. (KRS 164.131) Within the limits set by the State Constitution and the federal and state laws,* the Board of Trustees is the final authority in all matters affecting the institution, and it exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments. The Board has the same powers with respect to the community colleges that it has to the University of Kentucky in general. (KRS 164.595)

These Governing Regulations of the University of Kentucky describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Statutes, and establish policies and procedures for the performance of its functions. In the Governing Regulations, the Board of Trustees has delegated certain responsibilities to the President and the University Senate in order to provide for the responsible and efficient administration of the University System and the accomplishment of its goals. ~~It has likewise delegated certain responsibilities to the President and the Senate of the Community College System with respect to the operation of the University of Kentucky Community College System.~~ As the chief administrative officer of the University, ~~including the Community College System,~~ the President is authorized by the Board to promulgate the Administrative Regulations and the Human Resources Personnel Policy and Procedure Manual which provide interpretation and implementation of these Governing Regulations and of the Minutes of the Board and which delineate policies within the sphere of delegated responsibility. The University Senate is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations. Likewise, the Senate of the Community College System is authorized to issue rules implementing the responsibility delegated to it in these Governing Regulations.

Where appropriate, the detailed rules and regulations appearing in the Administrative Regulations, the Human Resources Personnel Policy and Procedure Manual, the Rules of the University Senate, and the Rules of the Senate of the Community College System should refer to the source or sources in the Governing Regulations or Minutes of the Board, so that interested persons seeking guidance will find it necessary to consult only the Administrative Regulations, the Human Resources Personnel Policy and Procedure Manual, and the Rules of the University Senate or the Rules of the Senate of the Community College System.

* The Council on Higher Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky. These powers and duties are listed in the Appendix to these regulations.

A. Board of Trustees

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

No member of the administrative staff of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the ~~two~~three faculty members. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, ~~three~~two members of the faculty of the University System ~~(two from the University System and one from the Community College System)~~ who shall have the right to vote on all matters except that of faculty compensation, and one member of the student body of the University of Kentucky. The terms of the appointed members shall be for six years and until their successors are appointed and qualified, except the initial appoints shall be as follows: two members shall serve one-year terms; two shall serve two-year terms, one of whom shall be a graduate of the University; three shall serve three-year terms; three shall serve four-year terms, one of whom shall be a graduate of the University; three shall serve five-year terms and three shall serve six-year terms, one of whom shall be a graduate of the University. Three of

the appointments shall be graduates of the University and may include one graduate of the institution who resides outside the Commonwealth; three shall be representative of agricultural interests; and ten shall be other distinguished citizens representative of the learned professions. The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

Each graduate member is appointed by the Governor from a list of three names submitted by the alumni of the University according to rules established by the Board of Trustees. (KRS 164.131; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky shall be members of the faculty of the University System of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members shall serve for terms of three years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the faculty of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the University Senate.

~~—————The one faculty member representing the Community College System of the University of Kentucky shall be a teaching or research member of the faculty at one of the community colleges in the Community College System, elected by secret ballot by members of the community college faculties. The community college faculty member shall serve for a term of three years and until a successor is elected and qualified. No community college shall have a faculty representative on the Board for more than one term in succession. The community college faculty representative shall be ineligible to continue to serve as a member of the Board if that individual ceases to be a member of the teaching faculty at one of the community colleges. An election to fill a vacancy for an unexpired term shall be held in the same manner as for the original election. The authority to develop procedures for the election of a faculty member of the Community College System to serve as a member of the Board in accordance with the provisions of KRS 164.131 is hereby delegated to the Senate of the Community College System.~~

The student member shall be the president of the student body of the University during the appropriate academic year and may be an out-of-state resident if applicable. If the student member does not maintain the position as student body president or the status of a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The student member shall serve for a term of one year beginning with the first meeting of the fiscal year which contains that academic year. (KRS 164.131)

The number of faculty and student members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.131)

3. Meetings

The Board of Trustees must hold at least four regular meetings per year. Dates designated by law are: the Tuesday preceding the regular annual Commencement of the University; the third Tuesday in September; the second Tuesday in December; and the first Tuesday in April. Special meetings may be called by the chairperson or by any three members upon giving ten days' written notice to each member of the Board. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board. A majority of the voting members of the Board constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees are required to be open to the public. The Board is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board, and to make a copy available to the press. (KRS 164.170)

The President of the University shall attend all meetings of the Board of Trustees and of its Executive Committee.

4. Officers

The chairperson, vice chairperson, secretary, and assistant secretary of the Board of Trustees shall be elected annually at the September meeting of the Board. In the absence of the chairperson, the vice chairperson presides. The secretary keeps the Minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the Minutes in accordance with instructions from the Board. The secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions from time to time. The assistant secretary is empowered to perform the duties of the secretary when the secretary is not present on the Lexington campus of the University and at such other place or places as are required for the efficient performance of the secretary's duties. The assistant secretary need not be a member of the Board of Trustees.

5. Committees

The Board of Trustees annually elects an Executive Committee of five

respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220) It is further designated as an independent agency and instrumentality of the Commonwealth and given exclusive jurisdiction of the appointments, qualifications, salaries and compensation payable out of the State Treasury or otherwise, promotions, and retirement programs described. (KRS 164.225)

It has full power to suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no president, professor, or teacher may be removed except for incompetency, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board. (KRS 164.230)

It may grant degrees to graduates of the University, prescribe conditions upon which postgraduate honors may be obtained, and confer such honorary degrees upon the recommendation of the faculty of the University as it deems proper. (KRS 164.240)

It is required to make a full report to the General Assembly, within the first month of each regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

It may acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

* See Parts X.A and XII.A

It may dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

~~———— The Board of Trustees has the same powers with respect to the community colleges that it has to the University of Kentucky in general. It is directed to designate each community~~

~~college with a name that includes the words "Community College." It is directed to encourage and is permitted to accept donations of lands or funds or both to be used in the acquisition, construction or operation of community colleges. It is authorized to commemorate donations from private persons or corporations with suitable memorials. It is authorized to accept federal grants to be used in the acquisition, construction or operation of community colleges. (KRS 164.595)~~

The Board approves the establishment of associate degree and certificate programs in the Community College System together with the requirements for the degrees and certificates. It awards associate degrees and certificates upon the recommendation of the Senate of the Community College System.

7. Order of Business

The order of business at meetings of the Board of Trustees shall be as follows:

- Call to Order
- Invocation
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Other Business
- Adjournment

General parliamentary rules shall be observed in conducting the business of the Board of Trustees except as these may be modified by rules and regulations adopted by the Board.

B. Community College Advisory Boards Board of Directors

~~The community college advisory boards advise on matters of the two year professional technical programs and local community educational needs. The statute under which these advisory boards were established follows:~~

~~————— 164.600 ADVISORY BOARD; MEMBERSHIP; EXPENSES.~~

~~(1) There shall be a local advisory board of directors for each community college under the Kentucky Community and Technical College System, except as provided in KRS 165.160.~~

~~Each local advisory board shall serve in an advisory capacity to the Board of Trustees and the head of the community college on the operation of the community college.~~

~~————— (2) — Each local advisory board shall consist of nine members, seven of whom shall be appointed by the Governor. The other two local advisory board members shall be one non-voting member of the teaching faculty and one non-voting member of the student body. An appointed member's term is four years; however, when appointing the initial members of a local advisory board, the Governor may appoint some members for terms of less than four years.~~

~~————— (3) — The faculty member shall be on the teaching faculty of the community college and shall be elected by secret ballot by all full-time faculty members of the community college. Faculty members shall serve for terms of three years and until their successors are elected and qualified. Faculty members shall be eligible for re-election, but they shall be ineligible to continue to serve as members of the local advisory boards if they cease to be members of the teaching staff of their respective community colleges. Elections to fill vacancies for any unexpired terms shall be held in the same manner as provided for the original elections.~~

~~————— (4) — The student member shall be the president of the student body of the community college. If the president of the student body is not a full-time student who maintains permanent residency in the Commonwealth of Kentucky, a special election shall be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member.~~

~~————— (5) — Local advisory board members shall receive no compensation for their services, but shall be paid for their actual and necessary expenses. (KRS 164.600)~~

PART III

THE PRESIDENT OF THE UNIVERSITY

The President is the chief executive officer of the University, ~~including the Community College System,~~ and has full authority and responsibility over the administration of the academic, business, and fiscal operations of the University. It is the duty of the President to make recommendations relating to the general policies of the institution and to the maintenance of coordination among its several functions. It is also the President's duty, directly or through the various University officers, to supervise and administer all phases of the University's operations, both business and academic, including all departments, divisions, and colleges, and to perform all other administrative functions, whether expressly enumerated herein or not, necessary or appropriate for the effective operation of the University.

The President is responsible for the preparation of the biennial budget request and the annual budget for approval by the Board of Trustees and for the administration of the annual budget after approval by the Board. In the administration of the annual budget, the President shall exercise authority delegated by the Board for the transfer of funds within major fund groups, provided that all such transfers of funds shall be reported to the Board.

The President may delegate any of the President's assigned authorities or responsibilities to another person in the Office of the President, or to any other member of the administrative staff or faculty of the University. The President shall, however, have the prior consent of the Board of Trustees before delegating major areas of authority or responsibility.

The chancellors, vice presidents, deans, directors, and all other subordinate administrative officers shall be subject to the supervision and direction of the President.

Subject to the regulations of the Board of Trustees, the President officially speaks for the University before all federal, state, and local government offices, boards, and agencies and is the non-voting representative of the University on the Council on Postsecondary ~~Higher~~ Education.

The President is chairperson of the University Senate and an ex officio member of the various college faculties and may call meetings of the University Senate, the University Assembly, and any college faculty.

The President is responsible for fostering and promoting instruction, research, and service as the primary functions of the University. In this connection the President may make policy recommendations to the Board of Trustees, the University Senate, and the Senate of the

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Community College System, which are recognized as the primary educational policy-forming agencies of the University. The President must, of necessity, in the day-by-day direction of University affairs, interpret existing policies and, in some degree, establish new policy with respect to both educational and business and financial matters. Such policy determination shall be within the scope of the President's authority.

While responsible for the enforcement of the rules and regulations of the Board of Trustees, and the University Senate, ~~and the Senate of the Community College System~~, the President also shall provide ~~also~~ for the application of these rules and regulations to individual cases. The President may suspend in a particular case under extraordinary circumstances a rule of the University Senate ~~or the Senate of the Community College System~~, except rules concerning admission and the number of credits and quality points required for graduation.

The President shall serve as the official medium of communication between the Board of Trustees, on the one hand, and the University Senate, the Senate of the Community College System, administrative officers, individual members of the faculty and staff, student organizations, and students on the other. In this connection it shall be the President's responsibility to transmit to the Board all formal communications from the University Senate, the Senate of the Community College System, and the Student Government Association along with the viewpoints of the body submitting a communication, including any minority opinions, and the President's own recommendations for action.

Incident to the administration of the University, the President may utilize subordinate administrative officers as appropriate. The President may appoint, or have appointed, temporary or standing advisory or administrative committees and, with the approval of the Board of Trustees, may establish academic and/or administrative councils.

In the event of a vacancy in the office of President or disability of the President, the Vice President for University Relations shall exercise the functions of the President in the absence of the appointment of an Interim President by the Board of Trustees. If the Board finds it desirable to appoint an Interim President, it shall seek advice from a joint Board-Faculty committee if such has been constituted to recommend the appointment of a President or, if the committee has not been constituted, from the University Senate Council.

PART V

THE SENATE OF THE COMMUNITY COLLEGE SYSTEM

The Senate of the Community College System shall be composed of elected, ex officio, and appointed members.

The elected faculty membership shall consist of and represent proportionately the full-time faculty in the various community colleges, except that no college shall have fewer than three senators. Moreover, each community college shall elect an additional senator for each twenty-five (or major fraction thereof) full-time faculty members above the first twenty-five. At least one of the three senators shall have responsibility in the occupational program area and at least one shall have responsibility in the general education area. The faculty of each community college shall nominate candidates for senator(s) and elect the senator(s) by secret ballot during the month of April preceding the term of office beginning on August 15. Each elected senator shall serve for a term of two years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed.

The ex officio membership shall include the Chancellor for the Community College System, the Vice Chancellor for Academic Affairs and Student Affairs for the Community College System, the chairperson of each advisory committee to the Senate, and the president of the student body at each community college. The term of office for a student member shall be one year. An advisory committee chairperson's term as senator shall be coterminous with that individual's term as chairperson of the committee. Ex officio members shall enjoy all the privileges of the elected membership.

The appointed membership shall consist of three community college presidents and three other administrators, each of whom shall be appointed by the Chancellor for the Community College System. In addition, any member of the Community College Council appointed by the Chancellor for the Community College System to correct an imbalance in the elected senators in terms of professional areas of specialization shall be a member of the Senate. The term of office and eligibility for reappointment for an appointed senator shall be the same as for an elected senator. Appointed members shall enjoy all the privileges of the elected membership.

In order that there be a continuity of membership, the present members of the Community College Council shall serve as senators until the expiration of their current terms. The Chancellor for the Community College System shall establish a procedure for initially electing or appointing some senators for other than two-year terms so that the terms of approximately one-half of the senators shall expire during each succeeding year.

A vacancy in an unexpired term on the Senate shall be filled in a manner consistent with the original selection process applicable to that member's category. Service of one year or more in an unexpired term shall constitute a full term.

Consistent with these regulations, the Senate of the Community College System is authorized to develop regulations for the conduct of its functions, which shall be known as the Rules of the Senate of the Community College System. Copies of these regulations shall be furnished the President of the Kentucky Community and Technical College System and the Board of Trustees Regents of the Kentucky Community and Technical College System. It may perform its functions directly, through the Community College Council, or through standing, special, or advisory committees which it may appoint or authorize for appointment. The Chancellor for the Community College System shall appoint the members of standing committees from the eligible members of the Senate; i.e., those not serving on the Community College Council. The advisory committees to the Senate of the Community College System (other than the Committee on Privilege and Tenure) shall be appointed by the co-chairpersons of the Community College Council.

The Community College Council shall be composed of one duly elected senator from each college to serve a two-year term; two students elected by and from the student senators to serve a one-year term; one president designated by and from the presidents who have been appointed to the Senate, for a one-year term; the Chancellor for the Community College System and the Vice Chancellor for Academic Affairs and Student Affairs for the Community College System, who shall serve as ex officio members; and the chairpersons of the standing committees, who shall serve as ex officio members. All Council members must be taken from the membership of the Senate. A Council member's term may not exceed that individual's term as a senator. In the event there is an imbalance in the duly elected senators in terms of professional area of specialization, the Chancellor for the Community College System is authorized to appoint to the Community College Council a maximum of three additional faculty members who shall become members of the Senate.

The Chancellor for the Community College System and a person elected from and by the membership of the Community College Council shall serve as co-chairpersons of the Community College Council.

The Chancellor for the Community College System shall be chairperson of the Senate of the Community College System and shall serve as presiding officer for all meetings except as the Chancellor may delegate this function.

The Senate shall meet annually in regular session at a time and place designated in a call by the Chancellor for the Community College System. Special sessions may be called by the Kentucky Community and Technical College System President, by the Chancellor for the Community College System, or by a petition from at least fifteen (15) senators to the Chancellor for the Community College System.

Full-time and part-time faculty, ~~advisory~~ local board members, Community College System staff members, and Community College System students may attend Senate and/or Council meetings and request the privilege of the floor. They shall not have the right to vote.

The functions of the Senate of the Community College System shall include the following:

Approving academic policies and curricula for the Community College System.

Approving courses and other academic offerings in the Community College System not offered in the University System.

Approving policies and regulations governing admission, classification, probation, and dismissal of Community College System students.

Approving an academic calendar generally consistent with the University System calendar.

Recommending to the University Senate on courses offered in the Community College System which are also offered in the University System.

Recommending to the Chancellor for the Community College System on criteria for faculty appointments, reappointments, promotions, and granting of tenure in the Community College System.

Recommending to the President of the University of Kentucky all candidates for University of Kentucky degrees and certificates from the community colleges. The Senate may delegate this function to the faculties of the community colleges.

Beyond those listed above, the Senate of the Community College System shall have no management or administrative functions either in itself or through the instrumentality of its committees.

The Senate of the Community College System may communicate to address the following regarding any matter of concern to the University: the Chancellor for the Community College System; or the Board of Regents of the Kentucky Community and Technical College System through the Chancellor and the President of the Kentucky Community and Technical College System regarding any matter of concern to the Community College. ~~the Board of Trustees, through the Chancellor and the President.~~

PART VI

THE UNIVERSITY ASSEMBLY

The University Assembly shall consist of all members of the faculties of the University System ~~and the Community College System~~, including emeritus members, and all members of the administrative staff who perform functions directly supporting academic affairs. It shall meet in special session on the call of the President, or the University Senate, ~~or the Senate of the Community College System.~~ The President of the University or the President's authorized representative shall preside. The University Registrar shall be secretary of the Assembly and shall be responsible for adequate notice of meetings, including a statement of subject or subjects to be discussed.

The Assembly may express its views on any matter affecting the University to the University Senate, ~~to the Senate of the Community College System~~, to the President or, through the President, to the Board of Trustees.

PART VII

UNIVERSITY ORGANIZATION

For the purpose of administering the various programs of the University, there shall be established educational and administrative units within the University. All units of the University shall be established, altered, or abolished only on vote of the Board of Trustees. For matters having to do with the organization of the University as it affects academic policies, the Board relies upon the advice of the University Senate ~~and the Senate of the Community College System~~ along with that of the President. It relies upon the advice of the President concerning administrative organization and powers and responsibilities of the officers of the University.

The educational-administrative organization of the University shall be such as to minimize duplication of effort and to enable the University to operate as a single, closely integrated institution, not as a loose association of colleges and departments. Barriers between educational and administrative units shall not be allowed to interfere with the academic purposes of the institution.

A. Educational Organization1. Definition

The basic organization of the educational units of the University System shall be departments, schools, colleges, graduate centers, and multidisciplinary research centers and institutes.

The unit of the University System for instruction, research, and extension in a defined field of learning is the department, school, or college. All courses shall be given by the regular instructional units. However, interdisciplinary instructional programs may be established to utilize courses and faculty from different departments, schools, and colleges. The chief administrative officer of a department or interdisciplinary instructional program is a chairperson.

The term "school" is used to refer to: (1) the Graduate School; and (2) an administrative unit within a college which is under the general direction of the faculty of that college. The chief administrative officer of a school that is an administrative unit within a college is an associate dean or director.

The Honors Program is an educational unit which is equivalent to a department. Its chief administrative officer is a director who is equivalent to a department chairperson.

A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate

Community College Council. The establishment of new ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.

3. The Graduate Faculty (University System)

The Graduate Faculty shall consist of the Dean of the Graduate School, associate and/or assistant deans of the Graduate School, members, and associate members.

Graduate Faculty members must possess the following qualifications:

A doctor's degree or its equivalent in scholarly reputation;

The rank of assistant professor (or equivalent) or higher;

Scholarly maturity and professional productivity as demonstrated by publications, editorial services, research surveys, creative work, patents, and research in progress at the time of appointment; and

Definite interest in graduate work and the willingness to participate in the graduate program.

Membership in the Graduate Faculty is conferred upon recommendations of the Dean of the Graduate School and the Vice President for Research and Graduate Studies/appropriate chancellor and approval of the President. The recommendations of the Dean are made following review by the Graduate Council of the qualifications of the persons proposed for membership by the college deans, department chairpersons, and directors of graduate study.

Associate and other classes of members in the Graduate Faculty or in other faculty bodies or councils of the Graduate School may be appointed by the Dean of the Graduate School or elected, with appropriate duties and privileges as provided by the rules of the Graduate School and approved by the University Senate.

Members of the Graduate Faculty shall have assignments of duties that take into account the time necessary for graduate teaching, productive research, and service in the direction of graduate research and preparation of theses.

The Graduate Faculty shall have jurisdiction over all programs leading to graduate degrees within the limits established by the Board of Trustees and the policies and rules of the University Senate. It is the responsibility of the Graduate Faculty to safeguard, promote, and assist in the development of research in all fields. The Graduate Faculty shall make recommendations to the University Senate on academic matters and to the President or an officer designated by the President on administrative matters.

that may be appointed by the director of the center or institute or by the administrator to whom the center or institute is administratively responsible. The director shall speak for the center or institute and be an ex officio member of all of its committees.

7. Chairpersons of Interdisciplinary Instructional Programs
(University System)

The chairperson of an interdisciplinary instructional program shall be a member of one of the academic departments participating in the program.

The chairperson shall be responsible to the dean(s) of the college(s) in which the program is located and advise the dean(s) on staff and other needs of the program in connection with budget planning. The chairperson shall rely upon the advice of a committee of interested faculty drawn from the departments offering the courses composing the curriculum.

8. Presidents of Community Colleges

The president of a community college is the chief administrative officer of the college. Under the general direction of the Chancellor for the Community College System, the president is charged with general responsibility for the overall administration of the college. It is the president's function to see that the applicable University of Kentucky Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced. The president is the chairperson of the college faculty and an ex officio member of all college committees. Along with the college faculty, the president is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. The president is responsible for the curricula of the college, for the quality of instruction given therein, for the assignment of duties to all persons, and for the service provided by the faculty of the college, individually and as a whole. The president shall utilize, through the Office of the Chancellor for the Community College System, the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program.

The president shall review and finalize the performance evaluations recommended by the division chairpersons or other administrative personnel of the college.

The president shall be responsible without delegation for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated to the president by the Chancellor for the Community College System or by the President of the Kentucky Community and Technical College System.

With regard to students, the president is charged with administering the requirements and regulations of the community college concerning the admission, probation, and dismissal of students.

The president shall be responsible for the preparation of the budget request for the college, for the business and financial operation of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college.

It is the president's responsibility to relate the college program to the community through relationships with the ~~advisory board,~~ board of directors through appropriate use of public information media, and by appropriate relationships with community groups and individuals. The president personifies the University in the community and shall endeavor to further constructive relations between college and community.

9. Division Chairpersons (Community College System)

The division chairperson serves as chairperson of the division faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. The division chairperson assists the president, or the president's designee, in the preparation of the class and teaching schedule for the division. The division chairperson presides over all division meetings, except as the division chairperson may delegate this function, and is an ex officio member of all division committees. The division chairperson has administrative responsibility for implementing the division's program within the limits established by the regulations of the University and the Community College System, the policies of the Senate of the Community College System, and the rules and regulations of the community college.

The division chairperson shall be responsible for advising the president on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, after consultation with the tenured faculty of the division.

The division chairperson shall be responsible for the periodic evaluation of members of the division by procedures and criteria established by the University, the Community College System, and the community college and division faculties. The division chairperson shall make recommendations to the president, who shall make final decisions about such evaluations.

The division chairperson shall be responsible for preparing for the president, or the president's designee, the budget request for the division. The division chairperson also is responsible for advising the president, or the president's designee, as to salaries and salary changes for members of the division.

PART VIII

UNIVERSITY SYSTEM APPOINTMENTS

A. Administrative Appointments

1. Regular Appointments

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, and student body. The committee shall consist of five members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; ~~one member of the full-time teaching faculty of the Community College System, selected by a procedure determined by the Community College Council;~~ and one full-time student appointed by the chairperson of the Board. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates.

The chancellors, vice presidents, chief administrative officers of educational units (deans, ~~and presidents of colleges,~~ directors, and chairpersons), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 16 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. Non-faculty employees other than those described above may be appointed by the President or, if their employment is to be in a chancellor/vice president sector, by the appropriate chancellor/vice president upon recommendation of the appropriate person or persons superior in rank to the appointees. The President shall report all appointments at Levels 12 through 15 in the Administrative and Professional Classification System to the Board of Trustees. The President and the chancellors/vice presidents may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers.

If the Board does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation but, in each such case, the President shall ask for a new recommendation. The same principle shall apply at all levels of the administrative organization.

2. Acting Appointments

~~_____ A search committee for a president of a community college shall be appointed by the Chancellor for the Community College System after consultation with the faculty of the community college.~~

~~_____ Search committees for chairpersons of divisions in community colleges shall be appointed by the presidents of the colleges after consultation with at least the tenured faculty members of the concerned divisions.~~

Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council ~~or the Community College Council.~~

Recommendations of the search committee shall be transmitted to the President through the normal administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chairperson of an academic department or division shall be accompanied by written statements from at least the tenured members of the department or division.

4. Terms of Chairpersons and Directors

a. Department Chairpersons (University System)

The term of a department chairperson's appointment shall be four years, except in the Colleges of Agriculture, Dentistry, Human Environmental Sciences, Medicine, Nursing, and Pharmacy where it shall be six years. Ordinarily, a department member will be asked to serve as chairperson for only one term. A chairperson may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

b. Chairpersons of Interdisciplinary Instructional Programs (University System)

Insofar as applicable, the rules for the appointment and reappointment of a department chairperson shall apply also to the appointment and reappointment of a chairperson of an interdisciplinary instructional program.

~~_____ c. Division Chairpersons (Community College System)~~

~~_____ The division chairperson shall be appointed for a term of three years and normally shall serve for no more than three consecutive terms. Reappointment beyond the third~~

~~term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by a faculty advisory committee.~~

cd. Directors of Multidisciplinary Research Centers and Institutes (University System)

The director of a multidisciplinary research center or institute shall be appointed for a term of six years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR II-1.0-6) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

All appointments and promotions of faculty to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$60,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding \$60,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees. The President may delegate the power to make appointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council ~~or the Community College Council~~ as pertinent, shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic appointments, promotions, and granting of tenure.

PART IX

REVIEWS OF EDUCATIONAL UNITS

The work of each educational unit in the University* and of the unit's chief administrative officer shall be reviewed at regular intervals by an ad hoc committee. The review periods shall normally be from five to seven years, except (1) for educational units where the review period shall depend upon the term of office of the chairperson, the reviews being made so that the results are available when decisions to appoint or reappoint chairpersons are to be made, and (2) for units headed by acting administrators where the review period shall be one year. A review of the work of any educational unit at other than the regular interval may be initiated by the chief administrative officer of the unit, by an administrative officer responsible for the unit, or by the President. Ordinarily such a review shall be initiated if requested by a majority of the members of the unit.

Procedures for appointment of review committees and for conduct of reviews, which have been established by the President after consultation with appropriate administrative and faculty groups including the Senate Council ~~or the Community College Council~~, are described in the Administrative Regulations (AR II-1.0-6). Such review committees shall be formed principally from faculty personnel, and shall normally include members internal and external to the unit being reviewed, and may include members external to the University.

* Includes departments, schools, graduate centers, colleges, ~~individual community colleges~~, interdisciplinary instructional programs, and multidisciplinary research centers and institutes.

B. Tenure

1. Types of Appointment

Faculty appointments shall be of three basic types: (1) non-tenured appointments; (2) tenured appointments; and (3) post-retirement appointments.

There are two types of non-tenured appointments. One type of non-tenured appointment is that in which a faculty member is ineligible for tenure as a consequence of having been appointed: (1) in the research, ~~Medical Center~~-clinical, adjunct, visiting, or voluntary series of academic ranks and titles; or (2) on a part-time or temporary basis. An appointment on a temporary basis involves an individual who has assigned duties and responsibilities which should be completed within one year or less.

A second type of non-tenured appointment is that in which a faculty member is appointed on a full-time, year-to-year basis in the regular, special title, extension, or librarian series of academic ranks and titles. In such an appointment, the faculty member does not have tenure but may be considered for tenure if recommended for such by the appropriate University administrators.

A tenured appointment is one in which a faculty member is on full-time continuous appointment.

The term "joint appointment" shall apply in any case in which an individual holds two or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college, by the appropriate chancellor/vice president if the appointments are with educational units in different colleges but in the same chancellor/vice president sector (i.e., Lexington Campus, Medical Center, Research and Graduate Studies, or Information Systems, ~~or Community College System~~), or by the mutual agreement of the appropriate chancellors/vice presidents if the appointments are with educational units in different chancellor/vice president sectors. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment should be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time.

Post-retirement appointments are of two types. One type is that in which retired employees may be appointed to perform duties for which fee schedules have been established and approved by the appropriate chancellor/vice president.

A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one year.

More detailed information on the various types of appointments is provided in the Administrative Regulations (AR II-1.0-1, AR II-5.0-2, and AR II-1.6-1).

2. Probationary Periods

Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the research, ~~Medical Center~~-clinical, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.

Probationary periods are applicable, however, to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, extension, or librarian series of academic ranks and titles. Such non-tenured appointments may be for one year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven years, including previous full-time service with the rank of instructor or higher in other independently accredited institutions of higher learning, provided that in the case of a faculty member with more than three years in the academic profession, who is called from another independently accredited institution and appointed at the rank of associate professor or below, it may be required that the individual serve in a probationary status for a period not to exceed four years, even though thereby the individual's total non-tenure period in the academic profession is extended beyond seven years. However, in any case where a period of prior service of a prospective faculty member involves significantly different institutional objectives or significantly different professional activity, all or part of the period of prior service may be eliminated from consideration in determining the maximum non-tenure period in the University System or the Community College System of the University of Kentucky. Except as provided in Part X.C.7, time spent on leave of absence shall count as probationary period service unless the University in granting the leave and the individual in accepting it agree to the contrary. An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one year. An individual shall not remain at the rank of instructor in the University for more than three years. If after that period, promotion to a higher rank cannot be justified, the individual's appointment in the University System or the Community College System of the University of Kentucky shall not be renewed.

Following appropriate probationary periods not exceeding in duration those described above, all persons of associate professor or higher rank shall be given tenure or their

Except in cases of financial emergency, the termination of a tenured appointment or the dismissal of a person prior to the expiration of a non-tenured appointment shall be, in accordance with KRS 164.230, only for reasons of incompetency, neglect of or refusal to perform duties, or for immoral conduct.

In a case of termination because of a financial emergency, the faculty member may have the issues reviewed by the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System with the right of appeal to the President and the Board of Trustees for the University System faculty member and to the President of the Kentucky Community and Technical College System for the University of Kentucky Community College System faculty member. The faculty member shall be given notice as soon as possible and never less than 12 months' notice. The released faculty member's position shall not be filled by a replacement within a period of two years unless the released faculty member has been offered reappointment and given a reasonable time within which to accept or decline it.

b. Procedure

Dismissal of a faculty member with tenure or of a non-tenured faculty member before the end of a specified term of appointment shall be preceded by discussions between the faculty member and the appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to agree upon settlement, the appropriate chancellor/vice president shall be responsible for the preparation of a reasonably particularized statement of charges which shall be furnished to the faculty member and the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System. The committee shall make an informal investigation for the purpose of attempting to effect an adjustment and, in the case of failure, shall recommend to the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty.

If the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty initiates dismissal proceedings, the concerned individual shall have the right to be heard initially by the Hearing Committee (Privilege and Tenure) of the University Senate or the Senate of the Community College System.

The faculty member shall be informed in writing by the President of the University of Kentucky for University System faculty or the President of the Kentucky

Community and Technical College System for Community College faculty regarding specific charges at least 20 days prior to the hearing. At least seven days prior to the hearing, the faculty member shall answer the charges in writing. The faculty member may waive the hearing. If the faculty member waives the hearing but denies the charges or asserts that the charges do not support a finding of adequate cause, the Hearing Committee shall evaluate all available evidence and base its recommendation upon the evidence in the record.

The Hearing Committee, in consultation with the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty and the faculty member, shall exercise its judgment as to whether the hearing shall be public or private. During the proceedings the faculty member shall be permitted to have an academic advisor and a counsel of personal choice. At the request of either party or the Hearing Committee, a representative of a responsible educational association shall be permitted to attend the proceedings as an observer. A full stenographic record of the hearing or hearings shall be taken and made available to the parties concerned. The burden of proof that adequate cause for dismissal shall rest with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this and/or other institutions of higher education.

Upon the conclusion of the hearing, the Hearing Committee shall report to the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty that adequate cause for dismissal has or has not been established by the evidence in the record. It may, in addition, recommend that, although adequate cause for dismissal has been established, an academic penalty less than dismissal would be more appropriate, giving supporting reasons for the recommendation. If the President of the University of Kentucky for University System faculty or the President of the Kentucky Community and Technical College System for Community College faculty rejects the report, the President shall provide reasons in writing to the Hearing Committee and to the faculty member and provide an opportunity for response before transmitting the case to the Board of Trustees.

A decision adverse to the faculty member may be made only after an opportunity has been given for an additional hearing before the Board of Trustees as required by KRS 164.230. The Board shall either sustain the recommendations of the Hearing Committee and the President or return the proceedings to the President and the committee with specific objections. The Hearing Committee then shall reconsider, taking into account the stated objections and receiving new evidence if necessary. The Board of Trustees shall make the final decision after a study of the Hearing Committee's reconsideration.

c. Suspension

PART XI
STUDENT AFFAIRS

A. University of Kentucky Student Government Association

The University of Kentucky Student Government Association is recognized by the Board of Trustees, administration, and the University Senate as the official student body authority in University matters to insure a maximum of self-government and to create mutual respect and liaison between students and the faculty and administration. It shall provide services to the students, faculty, and administration of the University and represent student opinion to the faculty, administration, and Board of Trustees. It may have responsibilities delegated to it by the President, the Office of the Vice Chancellor for Student Affairs for the Lexington Campus, and/or the University Senate.

The President of the University of Kentucky Student Government Association shall be considered to be the president of the student body of the University specified in KRS 164.130.

The University of Kentucky Student Government Association shall be representative of the student body of the University System of the University of Kentucky. It is authorized to develop rules for its composition and procedures which are consistent with rules and regulations of the University. Copies of these rules shall be supplied to all members of the student body of the University System, the Secretary of the University Senate, the Vice Chancellor for Student Affairs for the Lexington Campus, the President of the University, and the Secretary of the Board of Trustees.

For purposes of budget and other matters relating to finance, the University of Kentucky Student Government Association is responsible to an administrative officer designated by the President.

~~The Board of Trustees also authorizes the development of student governments for the Community College System and/or individual community colleges.~~

~~The student governments in both the University and the Community College Systems shall adhere to all rules and regulations adopted by the Board of Trustees.~~

B. Student-University Relationships

The non-academic relationships between University System students on the Lexington campus and Lexington Community College students and the University are covered in the document entitled, Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships, which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between University System students and the University are incorporated in the document entitled, Student Rights and Responsibilities. Part II. Selected Rules of the University Senate Governing Academic Relationships. The rules in Part II have been adopted and may be amended by the University Senate.

Copies of the document, Student Rights and Responsibilities. Parts I and II, shall be made available to all students in the University System and the Lexington Community College. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to this basic document covering these relationships.

The non-academic relationships between Community College System students, excepting Lexington Community College students, and the University are covered in the document entitled, Code of Student Conduct: Rules, Procedures, and Responsibilities, which has been adopted by the Board of Trustees and may be amended only by that body. The academic relationships between Community College System students, including Lexington Community College students, and the University are defined in the Rules of the Senate of the Community College System (Section I, Student Academic Affairs). These rules have been adopted and may be amended by the Senate of the Community College System.

Copies of the documents discussed in the preceding paragraph shall be made available to all Community College System students, including Lexington Community College students. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to these documents covering these relationships.

Maximum efforts should be made by administrative officers, student government organizations, and the University Senate, and the Senate of the Community College System to maintain liaison through advisory and coordinating committees concerning student-University relationships.

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All members of the faculty and staff of the University System shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels. Official recommendations and communications from any member of the University System faculty or staff shall be sent by the individual to the individual's immediate superior. The superior administrative officer shall, when so requested, transmit such recommendations or communications, with the officer's own comments and recommendations thereon, to the next higher officer.

All members of the faculty and staff of the University System shall be entitled to appeal any decision affecting terms of their employment by the University through regularly established channels.

This rule shall in no way limit the President in communicating with members of the faculty, nor shall it prevent communication between members of the faculty, staff, administrative officers, and the Board of Trustees for purposes other than the submission of official recommendations and communications.

PART XIV

AMENDMENTS

These Governing Regulations may be amended at any regular or special meeting of the Board of Trustees provided at least one month has elapsed between proposal of the amendment(s) and final passage. The President, or the University Senate ~~or the Senate of the Community College System~~, or the Student Government Association ~~or the Inter-Community College Student Council~~, through the President, may recommend amendments of the Governing Regulations to the Board. The President, the University Senate ~~and/or the Senate of the Community College System~~, and the Student Government Association ~~and/or the Inter-Community College Student Council~~, as appropriate, shall have an opportunity to recommend on all amendments before final action by the Board. The Senate of the Community College System and the Inter-community College Student Council, as appropriate, shall have an opportunity to recommend on amendments that relate specifically to the Community College System.

As the basic law of the University, these Governing Regulations supersede any previously approved rules or regulations in conflict therewith. Matters not specifically covered in the Governing Regulations, the Administrative Regulations, the Human Resources Personnel Policy and Procedure Manual, and the Rules of the University Senate ~~Senate, or the Rules of the Senate of the Community College System~~ are governed by administrative interpretation.

PART XV

APPENDIX: POWERS AND DUTIES
OF THE COUNCIL ON ~~HIGHER~~ POSTSECONDARY EDUCATION

164.020. POWERS AND DUTIES OF COUNCIL. The Council on ~~Higher~~ Postsecondary Education in Kentucky shall:

(1) Develop and implement the strategic agenda with the advice and counsel of the Strategic Committee on Postsecondary Education. The council shall provide for and direct the planning process and subsequent strategic implementation plans based on the strategic agenda as provided in Section 6 of this Act;

(2) Revise the strategic agenda and strategic implementation plan with the advice and counsel of the committee as set forth in Section 4 of this Act;

(3) Develop a system of public accountability related to the strategic agenda by evaluating the performance and effectiveness of the state's postsecondary system. The council shall prepare a report in conjunction with the accountability reporting described in Section 84 of this Act, which shall be submitted to the committee, the Governor, and the General Assembly by December 1 annually. This report shall include a description of contributions by postsecondary institutions to the quality of elementary and secondary education in the Commonwealth;

(4) Review, revise, and approve the missions of the state's universities and the Kentucky Community and Technical College System. The Council on Postsecondary Education shall have final authority to determine the compliance of postsecondary institutions with their academic, service, and research missions;

(5) Establish and ensure that all postsecondary institutions in Kentucky cooperatively provide for an integrated system of postsecondary education. The council shall guard against inappropriate and unnecessary conflict and duplication by promoting transferability of credits and easy access of information among institutions;

(16) Engage in analyses and research to determine the overall needs of ~~higher~~ postsecondary education in the Commonwealth.

(27) ~~Develop and transmit to the Governor comprehensive plans that may be required for federal legislation. for public higher education which meet the needs of the Commonwealth. The plans so developed shall conform to the respective functions and duties of the state universities, the community colleges, and the University of Kentucky as provided by statute. The Council shall for all purposes of federal legislation relating to planning be considered the "single state agency" as that term may be used in such federal legislation; provided, however, that when such~~

federal legislation requires additional representation on any such "single state agency" the Council on Higher Postsecondary Education shall establish such advisory groups as may be necessary to satisfy such federal legislative or regulatory guidelines.

(38) Determine tuition and approve the minimum qualifications for admission to the state the public institutions of higher education postsecondary educational system. In determining the tuition for non-Kentucky residents, the Council shall consider the fees required of Kentucky students by institutions in adjoining states, the resident fees charged by other states, the total actual per student cost of training in the institutions for which the fees are being determined, and the ratios of Kentucky students to non-Kentucky students comprising the enrollments of the respective institutions, and such other factors as the Council may in its sole discretion deem pertinent.

(9) Devise, establish, and periodically review and revise policies to be used in making recommendations to the Governor for consideration in developing recommendations to the General Assembly for appropriations to the universities and the Kentucky Community and Technical College System. The council has sole discretion, with advice of the Strategic Committee on Postsecondary Education and the executive officers of the postsecondary education system, to devise policies that provide for allocation of funds among the universities and the Kentucky Community and Technical College System.

(10) Lead and provide staff support for the biennial budget process as provided under KRS Chapter 48, in cooperation with the committee.

~~(4) Consider the requirements and review the budget requests, which shall be prepared in the manner and submitted by the institutions to the Council by dates prescribed by the Council, of the institutions of higher education as to their appropriate level of support considering the functions of the institutions and their respective needs. The Council, in cooperation with the university presidents, shall devise, establish, and periodically review and revise formulas for use in making recommendations to provide to the Governor and the legislature for use in making appropriations for the institutions of higher learning. The formulas shall provide for adequate and equitable allocation of funds among the several universities considering their respective needs and statutory, institutional, and geographic missions. In the development, revision, and refinement of such formulas, committees composed of members of the Council staff and a representative of each of the state universities shall conduct hearings on each campus in a manner to give all principal segments of each institution the opportunity to participate in the development of the formulas. The studies shall include, but not be limited to:~~

~~_____ (a) A review of formulas used by other states to calculate needs and appropriate funds for institutions of higher education;~~

~~_____ (b) A complete review of selected comparable institutions with reference to both the state appropriations and tuition charges, and~~

~~_____ (c) _____ A review of institutional activities and programs that can be calculated for use in the development of the formulas.~~

~~There shall be included in the final report the institutional responses to the studies and their recommendations and the recommendations of the advisory conference of presidents. Nothing in this chapter shall prevent or prohibit the Governor, the legislature, the Council on Higher Education, or the governing board of any institution of higher education from requesting or recommending deviations from any applicable formula or formulas prescribed by the Council on Higher Education and advancing reasons and arguments in support of them. The individual institutions' budget requests, including tuition and registration fee schedules for all categories of students, along with Council recommendations shall be submitted to the Governor through the Executive Department for Finance and Administration not later than November 15 of each odd-numbered year. The Council shall submit a separate budgetary recommendation for the University of Kentucky community college system.~~

~~(§11a) Except as provided in paragraph b of this subsection, review and approve all capital construction projects covered by KRS 45.750(1)(f), including real property acquisitions, and regardless of the source of funding for projects or acquisitions, the cost of which exceeds two hundred thousand dollars (\$200,000) approved by the governing boards of the state supported institutions of higher education prior to the institutions' submission of such projects to the Executive Department for Finance and Administration and/or state property and buildings commission. Approval of capital projects and real property acquisitions shall be on a basis consistent with the strategic agenda and the mission of the respective universities and the Kentucky Community and Technical College System.~~

~~(11b) The organized groups that are establishing community college satellites as branches of existing community colleges in the counties of Laurel, Leslie, and Muhlenburg, and that have substantially obtained cash, pledges, real property, or other commitments to build the satellite at no cost to the Commonwealth, other than operating costs that shall be paid as part of the operating budget of the main community college of which the satellite is a branch, are authorized to begin construction of the satellite on or after January 1, 1998.~~

~~(612) Require reports from the executive officer of each institution it deems necessary for the effectual performance of its duties.;~~

~~(7) _____ Publish annually a report of the educational and financial affairs of the institutions and disseminate other information relating to higher education.~~

~~(13) Develop a university track program within the Kentucky Community and Technical College System consisting of sixty (60) hours of instruction that can be transferred and applied toward the requirements for a bachelor's degree at the public universities. The track shall consist of general education courses and pre-major courses as prescribed by the council. Courses in the university track program shall transfer and apply toward the requirements for graduation with a~~

bachelor's degree at all public universities. Successful completion of the university track program shall meet the academic requirement for transfer to a public university as a junior. By fall semester of 1997, requirements for track programs shall be established for all majors and baccalaureate degree programs;

(814) Define and approve the offering of all higher postsecondary education, technical associate, baccalaureate, graduate, and professional degree, or certificate, or diploma programs in the state-supported higher public postsecondary education institutions. The council shall expedite wherever possible the approval of requests from the Kentucky Community and Technical College System board of regents relating to new certificate, diploma, technical, or associate degree programs of a vocational-technical and occupational nature. Without the consent of the General Assembly, the council shall not abolish or limit the total enrollment of the general program offered at any community college to meet the goal of reasonable access throughout the Commonwealth to a two (2) year course of general studies designed for transfer to a baccalaureate program. This does not restrict or limit the authority of the council, as set forth in this section, to eliminate or make changes in individual programs within that general program. ; review proposals and make recommendations to the Governor regarding the establishment of new state-supported community colleges and new four-year colleges. Provided, nothing in KRS 164.010, 164.020, 164.125, and 164.290 to 164.295 shall be construed to grant the Council on Higher Education authority to disestablish or eliminate any college of law which became a part of the state system of higher education through merger with a state college.

(15) Eliminate, in its discretion, existing programs or make any changes in existing academic programs at the state's postsecondary educational institutions, taking into consideration these criteria:

- (a) Consistency with the institution's mission and the strategic agenda;
- (b) Alignment with the priorities in the strategic implementation plan for achieving the strategic agenda;
- (c) Elimination of unnecessary duplication of programs within and among institutions; and
- (d) Efforts to create cooperative programs with other institutions through traditional means, or by use of distance learning technology and electronic resources, to achieve effective and efficient program delivery;

(16) Ensure the governing board and faculty of all postsecondary education institutions are committed to providing instruction free of discrimination against students who hold political views and opinions contrary to those of the governing board and faculty;

(17) Review proposals and make recommendations to the Governor regarding the establishment of new public community colleges, technical institutions, and new four-year colleges;

(18) Postpone the approval of any new program at a state postsecondary educational institution, unless the institution has met its equal educational opportunity goals, as established by the council. In accordance with administrative regulations promulgated by the council, those institutions not meeting the goals shall be able to obtain a temporary waiver, if the institution has made substantial progress toward meeting its equal educational opportunity goals;

(19) Ensure the coordination, transferability, and connectivity of technology among postsecondary institutions in the Commonwealth including the development and implementation of a technology plan as a component of the strategic agenda;

(920) Approve the teacher education programs in the public institutions that comply with standards established by the Education Professional Standards Board pursuant to Section 66 of this Act;-

~~(10) Elect a chairperson annually from the voting membership and appoint an executive director and such staff as may be needed and fix their compensation without limitation of the provisions of KRS Chapter 18A and KRS 64.640 subject to the approval of the Commissioner of Personnel and the Secretary of the Department of Finance.~~

~~(421) Constitute the representative agency of the Commonwealth in all matters of higher postsecondary education of a general and statewide nature which are not otherwise delegated to one or more institutions of higher postsecondary learning. Such responsibility may be exercised through appropriate contractual relationships with individuals or agencies located within or without the Commonwealth. The authority includes but is not limited to contractual arrangements for programs of research, specialized training, and cultural enrichment;~~

~~(422) Develop Maintain procedures for the approval of a designated receiver to provide for the maintenance of student records of the public institutions of higher education and the colleges as defined in KRS 164.945, and institutions operating pursuant to KRS 165A.310 which offer collegiate level courses for academic credit, which cease to operate after July 15, 1980. Procedures shall include assurances that, upon proper request, subject to federal and state laws and regulations, copies of student records shall be made available within a reasonable length of time for a minimum fee;~~

(23) Monitor and transmit a report on compliance with KRS 164.351 to the director of the Legislative Research Commission for distribution to the Health and Welfare Committee;

(24) Develop in cooperation with each state postsecondary educational institution a comprehensive orientation program for new members of the council and the governing boards. The orientation program shall include, but not be limited to, the information concerning the roles of the council, the strategic agenda and the strategic implementation plan, and the respective institution's mission, budget, plans, policies, strengths, and weaknesses;

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- (25) Develop a financial reporting procedure to be used by all state postsecondary education institutions to ensure uniformity of financial information available to state agencies and the public;
- (26) Select and appoint a president of the council under Section 5 of this Act;
- (27) Employ consultants and other persons and employees as may be required for the council's operations, functions, and responsibilities;
- (28) Promulgate administrative regulations, in accordance with KRS Chapter 13A, governing its powers, duties, and responsibilities as described in this section;
- (29) Prepare and present by January 31 of each year an annual status report on postsecondary education in the Commonwealth to the Governor, the Strategic Committee on Postsecondary Education, and the Legislative Research Commission;
- (30) Ensure that the state postsecondary system does not unnecessarily duplicate services and programs provided by private postsecondary institutions and shall promote maximum cooperation between the state postsecondary system and private postsecondary institutions;
- (31) Create advisory groups representing the presidents, faculty, nonteaching staff, and students the public postsecondary education system and the independent colleges and universities;
- (32) Develop a statewide policy to promote employee and faculty development in all postsecondary institutions through the waiver of tuition for college credit course work in the public postsecondary education system. Any regular full-time employee of a postsecondary public institution may, with prior administrative approval of the course offering institution, take a maximum of six (6) credit hours per term at any public postsecondary institution. The institution shall waive the tuition up to a maximum of six (6) credit hours per term; and
- (33) Exercise any other powers, duties, and responsibilities necessary to carry out the purpose of this chapter. Nothing in this chapter shall be construed to grant the Council on Postsecondary Education authority to disestablish or eliminate any college of law which became a part of the state system of higher education through merger with a state college.

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~~POLICY STATEMENT ON THE COMMUNITY COLLEGE SYSTEM
(APPROVED BY THE BOARD OF TRUSTEES)~~

~~Kentucky's public higher education administered by the University of Kentucky will consist of two systems under a President and a Board of Trustees (1) the University System and (2) the Community College System. The Community College System shall be headed by a Chancellor for the Community College System who shall be directly responsible to the President and shall serve as the chief executive officer concerned primarily with the operation and programs of the Community College System.~~

~~The separateness of these two systems is distinguished by their respective roles. The inter-relatedness is distinguished by the shared top administrative authority which coordinates available resources for optimum use without duplication. Each system shall bear primary responsibility and accountability for its administration, policy, personnel, programs, and finances to the President and the Board of Trustees and not to subordinate administrative units of the other, unless specifically directed by the President.~~

~~The paternal role of the University of Kentucky in the development of the Community College System has been vital and effective in the genesis of a new educational concept in Kentucky. The present policy is a reflection of the philosophy that the Community College System is, in development, function, and enrollment, a valid component and not an addendum of the University's total effort to serve the higher educational needs of the citizens of Kentucky.~~

~~Cooperation rather than authority is deemed necessary to provide the flexibility and responsiveness essential for a viable and evolving comprehensive Community College System under the University umbrella. The relationships between the two systems shall be that of mutual liaison and interchange with common supportive services available to both. The community colleges, as they strive to fulfill their statutory functions, are to be administratively free to meet changing challenges with an internally determined and responsive flexibility.~~

~~I. Role of the Community College System~~

~~The community colleges have roles aligned both with the task of higher education in the Commonwealth and with the educational and cultural contributions to be made to the citizens of the communities in which they are located. Following are the tasks of the community colleges:~~

~~_____ A. _____ To provide two years of lower division programs applicable to a baccalaureate degree. These programs are designed to enable the student to transfer to four-year institutions in the Commonwealth and elsewhere for upper division work.~~

~~_____ B. _____ To provide two-year non-baccalaureate programs leading to the granting of associate degrees or appropriate certificates in occupational areas.~~

~~_____ C. _____ To provide programs of adult education and service-oriented to community interests, both occupational and cultural.~~

~~II. _____ Administrative Structure of the University of Kentucky~~

~~The University of Kentucky consists of two systems under a President and Board of Trustees. The first of these, the University System, is composed of the University of Kentucky at Lexington and its state-wide research and service elements. The administrative structure in this system is not changed by the organization of the Community College System.~~

~~The Community College System consists of the fourteen community colleges now in operation and all other community colleges that may be added to the system in the future. A Chancellor for the Community College System heads the Community College System reporting to the President of the University and the Board of Trustees. Each of the community colleges is headed by a chief administrative officer, reporting to the Chancellor for the Community College System. Each of the community colleges has a nine-member local Advisory Board which provides advice to the chief administrative officer of the community college, the Chancellor for the Community College System, the President, and the Board of Trustees of the University of Kentucky. The counsel of the local Advisory Boards is sought primarily on matters of the two-year occupational programs and local community educational needs. The faculty of each community college is administratively responsible to the chief administrative officer of the respective community college. Community college faculty maintain informal academic liaison with faculty in the parallel subject matter areas at the University of Kentucky at Lexington.~~

~~III. _____ Faculty of the Community College System~~

~~The responsibilities of the faculty in the Community College System differ somewhat from those in the University System. Teaching and community service functions are paramount in the community colleges. Standards of competence and performance for the functions to be performed are equally as high in the Community College System as in the University System. Faculty at the community colleges are expected to remain up-dated professionally for their teaching and service tasks.~~

~~IV. _____ Admission Requirements of the Community College System~~

~~Admission to the community colleges and to the University of Kentucky at Lexington is and should be separate. The Community College System has the responsibility to set up and administer its own admission requirements, subject to the approval of the President and the Board of Trustees of the University of Kentucky. Its admission requirements must be such as to allow the flexibility needed to serve the wide range of students in transfer, occupational, and continuing education programs. Courses offered both in the University System and in the Community College System shall carry the same identification and description. Grades, credits, quality points, and academic status from courses taken in the Community College System shall be transferred when the community college student enrolls in the University System. The applicability of any courses offered in the Community College System which are not offered in the University System towards satisfying the requirements for a University degree shall be determined by the dean of the college in which the student enrolls, which is the same basis used to determine the applicability of courses from any other institution. Steps are taken to assure that students enrolling in lower division degree work at the community colleges with declared plans for later transfer to curricula at the University will receive the benefit of information from the University in those areas in planning their lower division work at the community colleges. The community colleges also will see that those students who intend to transfer to another college or university receive the benefit of counsel in planning their lower division work at the community colleges.~~

~~V. Facilities at the Community Colleges~~

~~The facilities needed for the community colleges are in substantial contrast to those needed by the University at Lexington. Students commute, thus eliminating the need for dormitory facilities. Technical facilities and equipment should be provided at the community colleges commensurate with the requirements for the programs offered in occupational areas, such as nursing or engineering technology. Laboratory facilities at the community colleges are limited to those laboratories necessary for undergraduate teaching. Library facilities also are designed to support the lower division teaching effort.~~

~~VI. Students in the Community College System~~

~~The community colleges attract students with a wide range of background and abilities. The markedly lower cost to the student and the student's family makes it possible for many more of the Commonwealth's citizens to participate in higher education.~~

~~Many students coming to the community colleges have not identified their educational and career goals. Others have financial, academic, and personal problems that need to be resolved. The community colleges are attempting to meet the needs of this heterogeneous student body by giving particular attention to guidance and counseling programs.~~

~~Some students enrolling in the community colleges need additional assistance in the basic skills required to be successful in either baccalaureate or occupational programs. The community~~

colleges are unique in higher education in their acceptance of this role in providing developmental work for those students who need it.

Many high school graduates in the state have needs for education oriented to the development of technical abilities usable in the communities in which they live. The expectation is that these needs can be partially met by enrollment in the occupational, collegiate based curricula of the community colleges.

A significant portion of the enrollment in the community colleges comes from both part time and full-time students drawn from the adult population of the communities, satisfying needs and interests in cultural improvement as well as the development of technical capabilities.

The enrollment of substantial numbers of students in the community colleges for lower division programs in baccalaureate degree work is a promising development. Common lower division requirements, offered both in the University System and in the Community College System for a large number of University degree programs, make a broader range of upper division programs of the University of Kentucky open to transfers from lower division programs in the community colleges without loss of credit or time in meeting requirements for a baccalaureate degree.

The students served by the community colleges have wide ranging needs. These colleges are developing transfer, occupational, and community service programs that are making it possible for their students to meet those needs.

VII. A Policy for Expansion

Frequently the question of adding new locations to the present system of community colleges arises. Future expansion of the present Community College System must be well planned, orderly, and deliberate if the Community College System is to obtain its maximum effectiveness. No expansion should be attempted until provision is made to adequately support existing community colleges and to provide the necessary budgetary support to begin an institution. Any such decision should be rendered only after the Community College System studies the situation in question and has recommended this action. It is now obvious that the improved, and improving, highways make it possible for students to commute greater distances and thus a more systematic plan for expansion will better employ the Commonwealth's financial resources and better serve prospective students.

The development of a master plan for future growth, including detailed criteria, should occur prior to the establishment of any additional community colleges.

Additional community colleges should be established only when:

— A. — A thorough feasibility study has been made of the community's higher educational needs and the existing capacity it has to meet those needs. A minimum student

~~enrollment of 350 at the opening of the college and a potential of 500 by the end of a five year period is a desirable standard. The secondary schools in the area to be served by the proposed institution should have at least 1,000 students graduating annually. The immediate surrounding community must be large enough to provide a strong nucleus of the total enrollment, support for scholarships, and employment for graduates, particularly in the two year occupational programs.~~

~~———— B. ——— Adequate financial support has been assured. A study of the feasibility of establishing a community college should include an evaluation of the prospects of the basic economy of the area and its future development. Even though the location of a community college may enhance the economic growth of an area, it is necessary that the potential for growth and development must be present. It should be noted, however, that community college education may be most urgently needed in communities that have a declining economy, and this opportunity should not be denied to those who need it most.~~

~~———— C. ——— The community itself demonstrates a desire for the rather unique functions and programs of a comprehensive community college. Contributions and commitments (such as providing land, faculty housing, etc.) that demonstrate support for the college should be considered. The degree of support already provided for other educational and cultural institutions provides significant evidence in this regard since it is an indication of the continuing local interest that is an essential factor in the long range development and operation of a community college.~~

~~———— D. ——— There is a demonstrated need for higher education. Careful consideration must be given to educational opportunities available at other higher educational institutions (both two-year and four-year) in the area. Relationships with other institutions of higher education represent an important matter for policy consideration.~~

~~———— E. ——— The college is in easy commuting distance for those whom it is intended to serve. Experience indicates that about 25 to 30 miles represents a realistic limit of the primary commuting area for a college. The boundaries of a commuting area are usually defined in terms of a maximum one-way travel time of forty five minutes.~~

~~———— F. ——— Adequate building(s), parking, and ultimate growth space has been provided. The minimum size for a non-metropolitan site should be usable acreage of not less than 100 to insure adequate potential for growth and ready, safe accessibility to site from existing highways.~~

~~Prior to opening a new community college to student enrollment, the "key" staff members (administrators and faculty) should be employed with an adequate period of time to study the educational needs of the people of the area in order to plan an educational program to meet these needs. The chief administrative officer should be on the scene at least a year prior to initial student enrollment.~~

VIII. Community College Rationale

~~The University of Kentucky Community College System represents a strong effort to meet public higher educational needs in the Commonwealth. The basic philosophical assumptions upon which such a system rests are:~~

~~_____ A. _____ The community college is unsurpassed in effectiveness and economy as a means of extending educational opportunity beyond high school.~~

~~_____ B. _____ Perhaps the most sacred tenet of American educational philosophy is that each individual should be provided with the opportunity for an education to the limit of the individual's capacity. The community college is a valuable instrument by which this end can be realized. Many students academically able and anxious to graduate from college cannot afford four years of living away from home while attending college.~~

~~_____ C. _____ The community college must be comprehensive in nature designed for a variety of functions. This includes far more than the freshman sophomore transfer programs.~~

~~_____ D. _____ The community college, in the true sense of the term, must be responsive to the local needs and special conditions.~~

~~_____ E. _____ The community college is a functioning part of a coordinated state plan or pattern for higher education.~~

~~_____ F. _____ A local Advisory Board for each community college helps reflect the area's needs and opinions.~~

~~Large numbers of publicly supported community colleges have been established in the past few years. Kentucky's pattern of development of community colleges has been somewhat unique. In most other states, the community colleges have not been so closely tied to a state university. The development of the University of Kentucky's community colleges has been a slow but rewarding process.~~

~~With the changes which have been made legislatively in the University of Kentucky's community colleges and their expansion to meet the needs of the Commonwealth, it appears appropriate to reaffirm and revise a Policy Statement made jointly by the President and the Board of Trustees which spells out the administrative structure which effectively integrates these units into a system and at the same time defines their roles in relation to the remainder of the University.~~

PR 5A

Office of the President
October 21, 1997

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY OF KENTUCKY
HUMANITIES FOUNDATION

Recommendation: that approval be given to the appointment of the following as a member of the Board of Directors of the University of Kentucky Humanities Foundation for a four-year term beginning with the October 1997 meeting.

Appointment

Lois Gray

Background: This appointee was recommended for membership by the Board of Directors of the Humanities Foundation Board. The Articles of Incorporation for the University of Kentucky Humanities Foundation, as approved by the Board of Trustees at its meeting on September 18, 1984, require that this appointment be approved by the Board of Trustees.

Action taken:

Approved

Disapproved

Other _____

PR 5B

Office of the President
October 21, 1997

Members, Board of Trustees:

CHANGE IN TITLE OF DEGREE PROGRAMS

Recommendation: that the Board of Trustees approve the change in name of the M.S. and Ph.D. degree program titles from Agricultural Engineering to Biosystems and Agricultural Engineering.

Rationale: The name of the Department was changed from Agricultural Engineering to Biosystems and Agricultural Engineering in 1995. This will change the M.S. and Ph.D. degree titles to conform to the Departmental name. This proposed change was approved by the Graduate Council, Senate Council and University Senate. In addition to the traditional areas of emphasis, modern agricultural engineering includes such areas as food and bioprocess engineering and bioenvironmental engineering.

Action taken:



Approved

Disapproved

Other _____

FCR 1

Office of the President
October 21, 1997

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 1997

Recommendation: that the Board of Trustees accept the University of Kentucky Consolidated financial report for the three months ended September 30, 1997.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1997, the University had realized income of \$346,580,000 representing 29% of the 1997-98 estimate of \$1,198,618,000. Expenditures and commitments total \$305,540,000 or 25% of the approved budget of \$1,198,618,000.

Action taken:

Approved

Disapproved

Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 1997
with comparative totals for September 30, 1996
(in thousands)

	1997-98					1996-97	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 106,322	\$ 42,127	\$ 3,075	\$ 1,062	\$ 175,540	\$ 328,126	\$ 320,991
Notes, loans, and A/R (less bad debt allowances of \$14,776)	113,746	20,823	21,641	554	3,556	160,320	132,370
Investments	21,583	83,639		194,618	45,508	345,348	320,445
Property, plant, and equipment					1,377,512	1,377,512	1,287,236
Inventories and other	18,277	63				18,340	17,153
Total Assets	\$ 259,928	\$ 146,652	\$ 24,716	\$ 196,234	\$ 1,602,116	\$ 2,229,646	\$ 2,078,195
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 26,701	\$ 3,931	\$ 1,146		\$ 318	\$ 32,096	\$ 27,984
Employee withholdings and deposits		30,419				30,419	29,106
Other liabilities	5,830	1,844			5,041	12,715	9,478
Liability for self insurance	46,201					46,201	37,417
Deferred income	13,226	11,241				24,467	24,084
Bonds payable					317,158	317,158	331,014
Capitalized lease obligation					37,900	37,900	34,101
Net deferred revenues and appropriated fund balances	52,603	757				53,360	54,087
Total Liabilities	144,561	48,192	1,146		360,417	554,316	547,271
Interfund Balances	(31,251)	48,220			(16,969)		
Fund Balances							
Current unrestricted							
Working capital	129,395					129,395	101,698
Future operating purposes	9,307					9,307	9,504
Other	7,916					7,916	9,477
Current restricted		50,240				50,240	42,996
Loan			23,570			23,570	23,289
True endowments				\$ 124,043		124,043	97,298
Term endowments				11,798		11,798	9,864
Quasi endowments				60,393		60,393	56,998
Plant							
Retirement of indebtedness					17,882	17,882	15,821
Renewal and replacement					37,160	37,160	102,861
Allocated for designated projects					189,105	189,105	139,442
Net investment in plant					1,014,521	1,014,521	921,676
Total Fund Balances	146,618	50,240	23,570	196,234	1,258,668	1,675,330	1,530,924
Total Liabilities and Fund Balances	\$ 259,928	\$ 146,652	\$ 24,716	\$ 196,234	\$ 1,602,116	\$ 2,229,646	\$ 2,078,195

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1997
with comparative totals for September 30, 1996
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98				1996-97	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 358,548	\$ 361,440	\$ 91,264	25	\$ 86,486	25
(2) Student fees	133,038	133,038	65,543	49	63,414	49
(3) Federal appropriations	15,186	15,186	4,571	30	3,836	25
(4) County appropriations	6,463	6,463	945	15	1,169	19
(5) Endowment income	853	853	187	22	174	21
(6) Investment income	9,103	9,103	2,920	32	2,851	33
Gifts and grants						
(7) Affiliated corporations	12,450	12,450	2,648	21	2,487	22
(8) Other	58,927	58,927	10,974	19	9,928	18
(9) Sales and services	52,440	52,440	8,638	16	7,914	17
(10) Fund balance	12,549	12,549	12,549	100	15,820	100
(11) Total General Fund	659,557	662,449	200,239	30	194,079	31
(12) Auxiliary Enterprises	42,199	42,199	12,509	30	11,790	28
(13) Restricted Funds	93,924	93,924	27,689	29	27,113	30
(14) Affiliated Corporations	147,212	147,212	33,584	23	32,044	23
Hospital						
(15) Revenues	252,834	252,834	71,624	28	64,120	28
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,195,726</u>	<u>\$ 1,198,618</u>	<u>\$ 345,645</u>	29	<u>\$ 329,146</u>	29

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1997
with comparative totals for September 30, 1996
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98				1996-97	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 292,080	\$ 291,780	\$ 66,573	23	\$ 65,628	24
(2) Research	130,570	132,392	30,692	23	33,601	26
(3) Public service	145,203	144,666	34,715	24	33,486	24
(4) Academic support	79,270	79,518	19,926	25	20,582	27
(5) Student services	26,895	27,409	6,850	25	6,918	27
(6) Institutional support	50,815	53,001	14,037	26	11,179	22
(7) Student financial aid	65,267	65,345	30,041	46	28,047	45
(8) Operation and maintenance	50,977	49,858	10,243	21	11,323	24
(9) Mandatory transfers (debt service)	33,079	33,079	3,239	10	1,929	6
(10) Hospital	252,771	252,771	70,313	28	54,289	24
(11) Auxiliary enterprises	68,799	68,799	18,911	27	18,162	27
(12) Total Expenditure by Program	<u>\$ 1,195,726</u>	<u>\$ 1,198,618</u>	<u>\$ 305,540</u>	25	<u>\$ 285,144</u>	25

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1997
with comparative totals for September 30, 1996
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98				1996-97	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 680,053	\$ 680,094	\$ 166,090	24	\$ 162,587	25
(14) Operating expenses	421,581	425,192	118,103	28	98,453	25
(15) Mandatory transfers (debt service)	41,658	41,658	6,657	16	5,424	13
(16) Capital outlay	52,434	51,674	14,690	28	18,680	34
(17) Total Expenditure by Category	<u>\$ 1,195,726</u>	<u>\$ 1,198,618</u>	<u>\$ 305,540</u>	25	<u>\$ 285,144</u>	25

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1997
with comparative totals for September 30, 1996
(in thousands)

	(A)	(B)	(C)
	<u>1997-98</u>		<u>1996-97</u>
(1) Realized Revenues		\$ 333,096	\$ 313,326
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 12,549		15,820
(3) University Hospital			
(4) Total Appropriated Fund Balances		<u>12,549</u>	<u>15,820</u>
(5) Total Revenues and Appropriated Fund Balances		<u>345,645</u>	<u>329,146</u>
(6) Expenditures/Encumbrances	305,540		285,144
(7) Less: Reserve for Encumbrances	<u>(12,943)</u>		<u>(9,475)</u>
(8) Total Expenditures		<u>292,597</u>	<u>275,669</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		<u>312</u>	<u>610</u>
(10) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 53,360</u>	<u>\$ 54,087</u>

FCR 2

Office of the President
October 21, 1997

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF
THE UNIVERSITY OF KENTUCKY AND AFFILIATED
CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1997

Recommendation: that the Board of Trustees accept the financial statements of the University of Kentucky for the year ended June 30, 1997, including separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, and special reports submitted by Deloitte & Touche on Compliance and on Internal Control and on Compliance with House Bill 622.

That the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1997.

Background: The Finance Committee of the Board of Trustees of the University of Kentucky has reviewed the financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Deloitte & Touche, Certified Public Accountants, and are presented to the Board for acceptance. Deloitte & Touche has also submitted certain reports to the Board of Trustees as required by *Governmental Auditing Standards*.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action taken:

Approved

Disapproved

Other _____

FCR 3

Office of the President
October 21, 1997

Members, Board of Trustees:

REPORT OF LEASE

Recommendation: that the Board accept the President's Report of the following lease agreement in accordance with Board Policy:

A new lease between the University of Kentucky (College of Dentistry) and Bluegrass Station Division, Briar Hill Road, P. O. Box 14063, Lexington, Kentucky, effective August 25, 1997 through June 30, 1998, for the use of 192 square feet of storage space, at an annual rate of \$864.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
October 21, 1997

Members, Board of Trustees:

1997-98 Budget Revisions Central Administration

Recommendation: that the following revisions to the 1997-98 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. GENERAL FUND			
1. Income Estimates			
Student Fees			
Self-Supporting			
Noncredit Fees	\$ 8,030,100	\$ 8,540,100	\$ 510,000
Sales and Services			
Department Sales and Services	41,248,800	41,635,400	<u>386,600</u>
			<u>896,600</u>
2. Expenditures			
Central Administration			
Information Systems			
Libraries	13,752,600	13,753,000	400
Research and Graduate Studies			
Academic Affairs			
Center for Applied			
Energy Research	3,961,500	3,991,500	30,000
Community College System			
Jefferson	19,781,900	20,261,900	480,000
Lexington Campus			
Vice Chancellor for			
Administration/Business Affairs			
Physical Plant	22,706,200	22,831,200	125,000
Medical Center			
College of Dentistry			
Patient Care	1,830,100	2,091,300	<u>261,200</u>
			<u>896,600</u>

3. Comments - The increase in noncredit fees will support a short course in the Center for Applied Energy Research, and industry training for computer repair technicians at Jefferson Community College.

Programs to be supported by increased departmental sales and services include the Library's document delivery program; and programs in the College of Dentistry, and the Lexington Campus Physical Plant.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. RESTRICTED FUNDS			
1. Income Estimates	\$ 93,924,400	\$ 94,680,200	\$ 755,800
2. Expenditures			
Central Administration			
Management and Budget			
E.O. Robinson Trust			
Initiatives	3,000,000	3,675,000	675,000
Information Systems			
Libraries	7,337,300	7,338,700	1,400
Research and Graduate Studies			
Graduate School Program	397,600	412,900	15,300
Lexington Campus			
College of Human Environmental			
Sciences			
Nutrition and Food			
Sciences	21,600	29,100	7,500
Multidisciplinary Graduate			
Programs			
Scholarships	112,600	123,600	11,000
Medical Center			
College of Dentistry			
Department of Oral Health			
Practice	68,100	68,700	600
College of Medicine			
Emergency Medicine	600	800	200
Family Practice	86,200	123,000	36,800
Administration and Support			
Center for Health Services			
Management	-0-	1,000	1,000
Student Aid	960,200	967,200	7,000
			<u>755,800</u>
3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional program activities.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. AUXILIARY SERVICES			
1. Income Estimates	\$ 42,198,900	\$ 42,278,900	\$ 80,000
2. Expenditures			
Community College System			
Jefferson	\$ 187,000	\$ 267,000	\$ 80,000
3. <u>Comments</u> - The additional income generated will be used to support the respective auxiliary operation.			

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
October 21, 1997

Members, Board of Trustees:

PATENT AND COPYRIGHT ASSIGNMENTS REPORT

Recommendation: that the patent and copyright assignments report for the period June 1, 1997 through September 30, 1997 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken:

Approved

Disapproved

Other _____

PATENT AND COPYRIGHT ASSIGNMENTS
QUARTERLY REPORT FOR THE PERIOD JUNE 1, 1997 THROUGH
SEPTEMBER 30, 1997

Patents

The following assignments on behalf of the Board of Trustees to the University of Kentucky Research Foundation have been executed:

1. U.S. Patent Serial Number: 60/028,080, filed October 7, 1996, titled "COMPOUNDS ENCODING INOSITOLPHOSPHORYLCERAMIDE SYNTHASE AND RELATED COMPOSITIONS AND METHODS". Inventors: Robert C. Dickson, Robert L. Lester, and Marek Nagiec. This invention relates generally to molecular compounds which encode inositolphosphorylceramide (IPC) synthase and compositions of matter which incorporate either the encoding compounds or the cellular components for which they encode. For instance, vectors, cell lines and cell membrane preparations are subject of this invention. This invention also encompasses assays useful for identifying compounds which will bind to and/or inhibit the molecular compounds or products for which they encode. The invention also provides a method to inhibit IPC synthase using aureobasidin A.
2. U.S. Patent Serial Number: 08/388,029, filed February 14, 1995, titled "SARCOCYSTIS NEURONA DIAGNOSTIC PRIMER". Inventors: David E. Granstrom, Alvin A. Gajadhar, J. P. Dubey and Clara K. Fenger. The invention consists of an amplification primer and probe which can be used in an in vitro diagnostic test for the present of *S. neuron* in equine blood or cerebrospinal fluid. Sarcocystis neurona is responsible for the equine condition of protozoal myelitis.
3. U.S. Patent Serial Number: 08/639,579, filed April 29, 1996, titled "METHOD FOR REDUCING THE GENERATION OF WEAR PARTICULATES FROM AN IMPLANT". Inventors: David A. Pienkowski and Kwon-Yong Lee. This invention provides a method for reducing the amount of wear particulates generated by a total joint orthopaedic implant. The implant consists of two matched articulating components wherein at least one of the components is made of polymer or other material with similar physical properties. The method includes the steps of placing the total joint orthopaedic implant in a fluid both for at least 1,000 cycles. The articulation may be performed in three stages. In the first, the implant is articulated under a load of substantially 0.1-500 Newtons with sliding speeds of substantially 0.01-0.5 meters per second. During a second state the articulation takes place under a load of substantially 100-2,500 Newtons with sliding speeds of substantially 0.01-0.5 meters per second. In the third stage the articulation takes place under a load of substantially 2,500-10,000 Newtons at sliding

speeds of 0.5-2.0 meters per second. The method also includes pre-implantation creeping for the polymer component. This improves the wear resistance of the polymer component, as well as, increases the conformity between the matched articulating components resulting in reduced particulate generation.

4. U.S. Patent Serial Number: 08/622,354, filed March 27, 1996, titled "VIRAL AND INSECT GENES THAT INHIBIT THE IMMUNE SYSTEM AND METHODS OF USE THEREOF". Inventors: Bruce Allen Webb and Liwan Cui. This invention provides viral, endoparasitoid and/or host genes that specifically inhibit the immune response of insect pests, useful for broadening the host range of insect viruses. Symbiont viruses of insect pests are genetically modified to express immune-suppressing proteins or biologically active fragments to increase the virus host range and/or improve the efficacy of insect pathogens.
5. U.S. Patent Serial Number: 08/675,090, filed July 3, 1996, titled "FULL LENGTH TRANSCRIPT (FLt) PROMOTER FROM FIGWORT MOSAIC CAULIMOVIRUS (FMV) AND USE TO EXPRESS CHIMERIC GENES IN PLANT CELLS". Inventor: Indu B. Maiti and Robert J. Shepherd. This invention provides for the use of wild type and modified viral FLt promoters of FMV in the expression of chimeric genes in plant cells. The FLt promoter from FMV is modified with duplicated enhancer domains. The FLt promoter with its single or double enhancer domains is linked to heterologous coding sequences to form chimeric gene constructs. These genes have been shown to be expressed well in plant cells.
6. U.S. Patent Serial Number: 08/351,193, filed November 30, 1994, titled "THE NUCLEOTIDE SEQUENCE CODING FOR THE VARIABLE HEAVY AND LIGHT CHAIN REGIONS OF MURINE MONOCLONAL ANTIBODY 1F7". Inventor: Sybille Muller and Heinz Kohler. This invention provides the DNA sequences coding for the variable domains of a mouse monoclonal antibody 1F7 and methods of use for the diagnosis and the treatment of HIV infection and AIDS.
7. U.S. Patent Serial Number: (to be assigned), filed (to be filed), titled "METHOD FOR IMPROVING THE POZZOLANIC CHARACTER OF FLY ASH". Inventor: John G. Groppo, Thomas L. Robl, and Charles J. McCormick. This invention provides a method for improving the pozzolanic character of fly ash and includes the steps of first hydraulically classifying and then flotation separating the fly ash in order to reduce particle size distribution and remove carbon. The method also includes the steps of spiral concentrating separated coarse particles to recover iron, pyrite and marcasite and screening the fly ash to remove ultra-light carbon and plant debris.
8. U.S. Patent Serial Number: 08/692,511, filed August 6, 1996, titled "THE PROMOTER (FLT) FOR THE FULL-LENGTH TRANSCRIPT OF PEANUT CHLOROTIC STREAK CAULIMOVIRUS (PCISV) AND EXPRESSION OF CHIMERIC GENES IN

PLANTS". Inventor: Indu B. Maiti and Robert J. Shepherd. This patent provides for the isolation, modification, and use of wild-type and modified viral FLt promoters of peanut chlorotic streak caulimovirus (PCISV) in the expression of chimeric genes in plant cells. The FLt promoter from PCISV has been modified to have duplicated enhancer domains.

9. U.S. Patent Serial Number: 08/749,404, filed November 15, 1996, titled "NORNICOTINE ENANTIOMERS FOR USE AS A TREATMENT FOR DOPAMINE RELATED CONDITIONS AND DISEASE STATES". Inventor: Peter A. Crooks, Linda Phyliss Dvoskin, and Michael Thomas Bardo. This patent application provides optically active normicotine compounds as a treatment for dopamine-related conditions and disease states. Such disease states include the treatment of myasthenia gravis, Parkinson's disease, Alzheimer's disease, schizophrenia, eating disorders, ulcers, drug addiction and as a substitute for psycho-stimulant self-administration.

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1. Copyright for a computer program entitled OFTO SOFTWARE II. Inventors: John P. Sadler, Ibrahim S. Jawahir, Zhongjie Da and Seog S. Lee. This software applies non-linear programming techniques to the process model specified in the patent application entitled "Method of Predicting Optimum Machining Conditions" in order to generate optimum cutting conditions for given machining performance requirements.