# Irregularities

## **AGENDA**

## Meeting of the Board of Trustees University of Kentucky 1:00 P.M. September 17, 1996

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In	vo	Ca	tu	m

Oath	of	Office	Adm	in	iste	red

Roll Call

**Approval of Minutes** 

#### Nomination of Officers and Executive Committee

## **President's Report and Action Items**

PR 1	President's Report to the Trustees
PR 2	Personnel Actions

#### PR 3 Central Administration

- A. Proposed Amendment to the Governing Regulations
- B. Naming of Building and Complex The Hilary J. Boone Tennis Center
- PR 4 Community College System
  - A. Candidates for Degrees Community College System

## PR 5 Lexington Campus

- A. Candidates for Degrees University System
- B. Change in Name of Degree Programs
- C. Appointments/Reappointments to University of Kentucky Business Partnership Foundation

#### PR 6 Medical Center (No items to report)

## Finance Committee

- 1. Acceptance of Audit Report for the University of Kentucky for 1995-96
- 2. Disposal of Surplus Property
- 3. Report of Leases
- ·4. Approval of Lease
- 5. Capital Construction Report
- 6. Purchase of Property
- 7. 1996-97 Budget Revisions
- 8. Patent Assignment Research and Graduate Studies
- 9. Patent Assignment Research and Graduate Studies

10	Estate of Catharine Whitley Dulaney.
11.	Crounse Corporation Pledge
12.	Jack W. Graham Gift
13.	Lake Cumberland Foundation, Inc. Gift
14.	Lucille P. Markey Charitable Trust Gift
15.	Lucille P. Markey Charitable Trust Gift and Establishment of Chair
	in Oncology Research
16.	Ohio Casualty Insurance Company Gift and Pledge
17.	William R. Willard, M.D., Ph.D. Professorship of Dental Education
18.	Hilary J. Boone Commitment
19.	Resolution of Official Intent of the Board of Trustees of the University
	of Kentucky to Finance Construction of a Housing and Dining Project
	From Proceeds of a Proposed Issue of University of Kentucky Housing

and Dining System Revenue Bonds Sale of the South Farm, Tract A

20.

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 17, 1996.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, September 17, 1996 in the Board Room on the 18th floor of Patterson Office Tower.

## A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:07 p.m., and the invocation was pronounced by Dr. Elissa Plattner.

#### B. Oath of Office Administered

Governor Breathitt asked Richard Plymale, General Counsel, to administer the oath of office to the following members:

Mr. Alan Aja, who will serve as student trustee for the 1996-97 academic year.

Mr. John (Jack) R. Guthrie, appointed by Governor Paul E. Patton to replace Marian Moore Sims, for a term expiring June 30, 2002.

Professor Loys L. Mather, reelected faculty representative, for a term expiring June 30, 1999.

Mr. C. Frank Shoop, reappointed by Governor Paul E. Patton, for a term expiring June 30, 2002.

Mr. Billy B. Wilcoxson, reappointed by Governor Paul E. Patton, for a term expiring June 30, 2002.

President Wethington congratulated the new members and welcomed them to the Board. He also congratulated Mr. Shoop and Mr. Wilcoxson on their reappointments.

Governor Breathitt welcomed the new members. He informed the new members that this is a united Board that supports the President and his administration. He expressed pleasure in having them on the Board.

#### C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Alan Aja, Mr. Ted Bates, Mrs. Kay Shropshire Bell, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Professor Jim Miller, Dr. Elissa Plattner, Professor Deborah Powell, Mr. Steven S. Reed, Mr. C. Frank Shoop, and Mr. Billy B. Wilcoxson. Absent from the meeting were

Mr. Robert N. Clay, Mrs. Lois C. Weinberg, and Mr. Martin Welenken. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, George DeBin, and Eugene Williams; John J. Piecoro, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:12 p.m.

## D. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on Tuesday, June 11, 1996 had been distributed and asked for any additions or corrections. Mr. Miles moved that the Minutes be approved as distributed. Mr. Guthrie seconded the motion, and it carried.

## E. <u>Nomination of Officers and Executive Committee</u>

Under the Governing Regulations, officers of the Board and the Executive Committee are elected annually at the September meeting. Governor Breathitt called upon Mrs. Bell to preside and receive the report of the Nominating Committee. Mr. Wilcoxson, Chairperson of the Nominating Committee, placed the following slate of officers for 1996-97 in nomination:

Edward T. Breathitt, Chairperson Robert P. Meriwether, Vice Chairperson Lois C. Weinberg, Secretary Richard E. Plymale, Assistant Secretary

On behalf of the Nominating Committee, Mr. Wilcoxson moved that these officers be approved for 1996-97. Mrs. Bell asked for nominations from the floor. Mr. Wilcoxson's motion, seconded by Mr. Shoop, carried unanimously.

Mr. Wilcoxson placed the following slate of Executive Committee members for 1996-97 in nomination:

Edward T. Breathitt, Chairperson Robert P. Meriwether, Vice Chairperson Paul W. Chellgren James F. Hardymon Billy B. Wilcoxson Lois C. Weinberg, ex officio On behalf of the Nominating Committee, Mr. Wilcoxson moved that these individuals be approved as the Executive Committee for 1996-97. Mrs. Bell asked for nominations from the floor. Mr. Wilcoxson's motion was seconded by Mr. Miles and passed. Governor Breathitt presided for the remainder of the meeting as Chairperson.

Governor Breathitt expressed his deepest appreciation to the Board for their confidence.

President Wethington congratulated Governor Breathitt on his reelection and his willingness to continue in the role of Chairperson of the Board. He also expressed pleasure in the re-election of the other officers and thanked them for their willingness to serve another year. President Wethington said that he was delighted with the Board. It is an excellent Board with excellent leadership. One of the reasons the University is making progress today is that the University has a very fine Board of Trustees that is much interested in the University and is willing to give their time, efforts, and commitments to making this a better university.

## F. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

- 1. Seventy-three National Merit Scholars, including 58 from Kentucky, and three National Achievement Scholars are included in the freshman class at the University of Kentucky this fall.
- 2. Maysville Community College distance learning class includes four campuses, Paducah, Owensboro, Henderson and Maysville. More than 100 students are enrolled in Maysville Community College's pulp and paper technology class which is made available throughout Kentucky in distance learning classrooms.
- 3. Chandler Medical Center announces a pilot oral physician program to begin in the summer of 1997. Graduates of the program will be awarded two doctoral degrees: a doctor of dental medicine (D.M.D.) and a doctor of medicine (M.D.).
- 4. Ashland Community College and Marshall University have developed a joint program, "Two-Plus-Two" agreement. Students may complete the two-year associate degree program in business technology at Ashland Community College and enroll at Marshall for the final two years of study required for a bachelor's degree in business administration.

- 5. Based on the findings of a team of students, faculty and staff members, several initiatives are under way at the University aimed at accelerated progress in the University's development as an "inclusive learning community."
- 6. Karl B. Raitz, a 20-year geography professor at the University, was named the 1996-97 Distinguished Professor in the College of Arts and Sciences, an honor bestowed since 1944 by vote of the college's faculty. Robert M. Ireland, history professor, was named the college's Distinguished Teacher.
- 7. The baccalaureate program of the College of Architecture has been reaccredited for five years by the National Architectural Accrediting Board.
- 8. The Good Samaritan Foundation will provide \$301,000 to the College of Nursing over the next year for internships involving both faculty and students in rural, urban and school settings. The Foundation has also provided more than \$91,000 to the College of Medicine for the upcoming year.

President Wethington asked the members to peruse the other items in the report at their leisure.

President Wethington reported that the U.S. News and World Report indicates that the University of Kentucky has been singled out as one of the best values in higher education. It is a ranking that does speak favorably to the kinds of quality that has been achieved and the price of education at this institution.

## G. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. His motion, seconded by Mrs. Bell, carried. (See PR 2 at the end of the Minutes.)

## H. Proposed Amendment to the Governing Regulations (PR 3A)

President Wethington reminded the Board that the proposed amendment to the Governing Regulation was received at the June 11, 1996 meeting for preliminary consideration. He recommended that the proposed amendment designating the Vice President of University Relations as the person in charge in the president's absence be approved. Mr. Bates moved approval. Mr. Chellgren seconded the motion, and it carried. (See PR 3A at the end of the Minutes.)

# I. Naming of Building and Complex The Hilary J. Boone Tennis Center (PR 3B)

President Wethington recommended that the Board approve the name change of "The Hilary J. Boone Indoor Tennis Center" to "The Hilary J. Boone Tennis Center" for the present indoor tennis building and the new outdoor tennis stadium. On motion made by Mr. Wilcoxson and seconded by Dr. Meriwether, PR 3B was approved. (See PR 3B at the end of the Minutes.)

## J. Candidates for Degrees - Community College System (PR 4A)

President Wethington recommended that the degrees specified in PR 4A be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed. Professor Miller moved approval. His motion, seconded by Mr. Chellgren, passed. (See PR 4A at the end of the Minutes.)

## K. Candidates for Degrees - University System (PR 5A)

On the recommendation of President Wethington, Mr. Shoop moved that the list of candidates for degrees in the Lexington Campus and Medical Center sectors as recommended in PR 5A be approved. The motion was seconded by Mr. Reed and carried. (See PR 5A at the end of the Minutes.)

## L. Change in Name of Degree Programs (PR 5B)

President Wethington recommended that the Board approve the change in the name of the Bachelor of Arts or Bachelor of Science degree programs in the College of Communications and Information Studies for Journalism/Advertising majors to the Bachelor of Arts or Bachelor of Science in Integrated Strategic Communication. Professor Mather moved approval. His motion, seconded by Mr. Reed, passed. (See PR 5B at the end of the Minutes.)

# M. <u>Appointments/Reappointments to University of Kentucky</u> Business Partnership Foundation (PR 5C)

President Wethington recommended that approval be given to the appointments of James R. Bell, III, Ronald G. Geary, Timothy L. Haymaker, and Roy W. Mundy, II and the reappointments of William R. Hartman and Henry L. Jackson as members of the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Spring 2000 Board meeting. Mr. Grayson moved approval of PR 5C. Mr. Guthrie seconded the motion, and it carried. (See PR 5C at the end of the Minutes.)

# N. Acceptance of Audit Report for the University of Kentucky for 1995-96 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and reviewed the items to present to the Board for action. FCR 1 is the audit report for the University for 1995-96. It is the consolidated financial statement audited by Deloitte & Touche LLP, Certified Public Accountants, and includes the statements of the University of Kentucky and all of the affiliated corporations. The most important document is the letter from Deloitte & Touche showing that the University has a clean audit of the consolidated statements. He reviewed some of the items in the report and said that this is a good, solid report. The Finance Committee reviewed the report and recommends approval. On motion made by Mr. Hardymon, seconded by Mr. Chellgren and carried, FCR 1 was approved. (See FCR 1 at the end of the Minutes.) Representatives from Deloitte & Touche were recognized and given a round of applause.

## O. <u>Disposal of Surplus Property (FCR 2)</u>

Mr. Hardymon said that FCR 2 relates to Disposal of Surplus Property. He reviewed Attachment I and moved approval. Dr. Meriwether seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

# P. Report of Leases (FCR 3)

Mr. Hardymon stated that FCR 3 is the Report of Leases that the Board must accept. He reported that the rates were very competitive and said that the Finance Committee reviewed the leases. He moved approval of FCR 3. Mr. Chellgren seconded the motion, and it carried. (See FCR 3 at the end of the Minutes.)

# Q. Approval of Lease (FCR 4)

Mr. Hardymon said that FCR 4 is an addendum of a lease between the University of Kentucky (Home Care) and Kentucky Medical Services Foundation. He noted that the Board must approve this lease before it is executed. The total amount is up to \$48,800 which is above the \$30,000 per year approval level for administrative action. An \$8.00 per square foot rate has been negotiated for an additional 100 square feet of space. He moved approval of FCR 4. His motion, seconded by Mr. Shoop, passed. (See FCR 4 at the end of the Minutes.)

## R. Capital Construction Report (FCR 5)

Mr. Hardymon moved that the Capital Construction Report for the three months ending June 30, 1996 be accepted. He noted that this report refers to only projects that had activity within this quarter, and there are 75

active projects in the report. He said that the Committee checked on the progress of the projects and were informed that the projects are on schedule. Mr. Reed seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

## S. Purchase of Property (FCR 6)

Mr. Hardymon moved that the Board approve the purchase of real property located at 435 Pennsylvania Avenue at an appraised price of \$139,000. A map was displayed showing the location of the property in the acquisition area and master plan. His motion, seconded by Dr. Meriwether, passed. (See FCR 6 at the end of the Minutes.)

## T. 1996-97 Budget Revisions (FCR 7)

Mr. Hardymon stated that FCR 7 is a quarterly report involving the budget revisions predicted for the remainder of the year. He remarked about the Sales and Services item in the General Fund category and the Expenditures at Hazard Community College in the Restricted Funds category. He moved approval of FCR 7. His motion, seconded by Mr. Chellgren, passed. (See FCR 7 at the end of the Minutes.)

## U. Patent Assignment (FCR 8)

Mr. Hardymon said that FCR 7 is an invention that provides a method for improving consolidation of powder resin. It essentially sorts out the particles according to diameter so as to form a particle mixture with optimal close packing of the three-dimensional spaces between the powder resin particles which cuts down the number of voids. He moved approval of FCR 8. Mr. Grayson seconded the motion, and it carried. (See FCR 8 at the end of the Minutes.)

## V. Patent Assignment (FCR 9)

Mr. Hardymon stated that FCR 9 involves an invention that relates to certain improvements in anti-idiotype monoclonal antibody 1A7 and use for the treatment of melanoma and small cell carcinoma. He moved approval of FCR 9. His motion was seconded by Mr. Shoop and passed. (See FCR 9 at the end of the Minutes.)

## W. Estate of Catharine Whitley Dulaney (FCR 10)

Mr. Hardymon said that FCR 10 recommends that the Board accept a distribution of \$1,000,000 from the Estate of Catharine W. Dulaney. He noted that \$200,000 had been received earlier, and this brings the amount to \$1,200,000. A final distribution in excess of \$450,000 is expected later this year.

He moved approval of FCR 10. Mrs. Bell seconded the motion, and it carried. (See FCR 10 at the end of the Minutes.)

## X. <u>Crounse Corporation Pledge (FCR 11)</u>

Mr. Hardymon said the FCR 11 is a recommendation that the Board accept a pledge of \$100,000 from Crounse Corporation of Paducah, Kentucky to the University of Kentucky for the Maysville Community College's Partners in Progress Campaign. Crounse Corporation is an inland river transporter of freight and has designated its gift to Maysville Community College's Business and Technology Center. The pledge will be paid in five annual payments of \$20,000 beginning in December, 1996. He moved approval of FCR 11. The motion, seconded by Mr. Reed, passed. (See FCR 11 at the end of the Minutes.)

#### Y. <u>Jack W. Graham Gift (FCR 12)</u>

Mr. Hardymon moved that the Board accept a gift of \$100,000 from Jack W. Graham of Lexington, Kentucky, to the University of Kentucky for construction of a new Sigma Alpha Epsilon Fraternity house. In addition to his contribution of \$100,000, Mr. Graham is paying for the construction project's architectural services which will be in excess of \$100,000. He noted that Mr. Graham attended the University of Kentucky in the late 1930's and was an SAE pledge. Mr. Bates seconded the motion, and it carried. (See FCR 12 at the end of the Minutes.)

#### Z. Lake Cumberland Foundation, Inc. Gift (FCR 13)

Mr. Hardymon said that FCR 13 recommends that the Board accept a gift of \$150,000 from Lake Cumberland Foundation, Inc. of Somerset, Kentucky to Somerset Community College for its Allied Health Endowment Fund and to endow a nursing scholarship. The Lake Cumberland Foundation was created in 1994 as a result of the sale of Lake Cumberland Home Health Agency, Inc. to Columbia Lake Cumberland Regional Hospital. The foundation was to dispose of its assets through gifts to charitable organizations. In June of this year the foundation gave \$465,000 to 27 organizations. The foundation's gift was made in the name of Lake Cumberland Home Health Agency. Mr. Hardymon moved approval of FCR 13. The motion, seconded by Mr. Guthrie, passed. (See FCR 13 at the end of the Minutes.)

## AA. Lucille P. Markey Charitable Trust Gift (FCR 14)

Mr. Hardymon moved that the Board accept a gift of \$1,000,000 from the Lucille P. Markey Charitable Trust of Miami, Florida, to the University of Kentucky for the Maxwell H. Gluck Equine Research Center in the College of Agriculture. This gift will enhance existing endowments previously

established by gifts from the Markey Trust. A total of \$750,000 will be added to the Wright-Markey Trust Endowment for the support of graduate students, postdoctoral fellows, research specialists and junior faculty at the Gluck Center. The remaining \$250,000 will be applied to the Warren Wright, Sr.-Lucille Market Chair currently held by Professor Charles Issell. The Trust was established under the provisions of the will of former Calumet Farm owner Lucille Parker Markey, who died in 1982. She directed that the Trust's assets be used to support and encourage basic research. Mr. Bates seconded the motion, and it carried. (See FCR 14 at the end of the Minutes.)

# BB. <u>Lucille P. Markey Charitable Trust Gift and Establishment of Chair in Oncology Research (FCR 15)</u>

Mr. Hardymon moved that the Board accept a gift of \$1,000,000 from the Lucille P. Markey Charitable Trust of Miami, Florida, to the University of Kentucky to establish and endow the Lucille P. Markey Chair in Oncology Research in the Lucille P. Markey Cancer Center in the College of Medicine. The income from the endowment will be devoted to the support and research expenses of a distinguished biomedical scientist in oncology research. He said that it is important to note that this gift brings the total from the Markey Charitable Trust to \$14 million dollars, and this is likely to be the last gift. His motion, seconded by Mr. Chellgren, carried. (See FCR 15 at the end of the Minutes.)

## CC. Ohio Casualty Insurance Company Gift and Pledge (FCR 16)

Mr. Hardymon moved that the Board accept a gift of \$250,000 and a pledge of \$750,000 from Ohio Casualty Insurance Company of Hamilton, Ohio, to the University of Kentucky Athletic Association for expansion of the Center for Academic and Tutorial Services. The president and chief executive officer of Ohio Casualty Insurance Company is Lauren N. Patch, a Lexington native and 1973 graduate of the University of Kentucky. He has been a long-time supporter of the athletics program. The pledge will be paid in three annual installments of \$250,000 beginning in 1997. He noted that more than 400 student athletes have used the Center each year. Mr. Grayson seconded the motion, and it passed. (See FCR 16 at the end of the Minutes.)

# DD. William R. Willard, M.D., Ph.D. Professor of Dental Education (FCR 17)

Mr. Hardymon moved that the Board authorize the creation of an endowed professorship in the College of Dentistry entitled the William R. Willard, M.D., Ph.D. Professorship of Dental Education. Dr. William R. Willard was the founding vice president of the University of Kentucky Albert B. Chandler Medical Center. He helped plan and develop the Medical Center which opened in 1960. He served as vice president from 1956-70. Dr. Willard died in 1991. As a result of his innovative vision of health professions

education, the College of Dentistry became a national leader in dental education. Income from \$100,000 of this academic enrichment fund will fund the professorship. The motion was seconded by Mr. Guthrie and carried. (See FCR 17 at the end of the Minutes.)

## EE. Hilary J. Boone Commitment (FCR 18)

Mr. Hardymon reflected on PR 3B, Naming of Building and Complex The Hilary J. Boone Tennis Center. He moved that the Board accept a commitment of \$400,000 from Hilary J. Boone to the University of Kentucky Athletic Association for expansion of the tennis center. Mr. Boone was captain of the tennis team in 1940. He has been a long-time supporter of the tennis program having previously given gifts of \$250,000 to the University of Kentucky Athletic Association to build the indoor tennis center, named in honor of him. Mr. Wilcoxson seconded the motion, and it passed. (See FCR 18 at the end of the Minutes.)

FF. Resolution of Official Intent of the Board of Trustees of the University of Kentucky to Finance Construction of a Housing and Dining Project from Proceeds of a Proposed Issue of University of Kentucky Housing and Dining System Revenue Bonds (FCR 19)

Mr. Hardymon said that FCR 19 involves a resolution expressing the official intent of the funds that will come from the bonds. In this case the intent is to finance the construction of a new student resident building to accommodate approximately 50 students, renovation of Cooperstown Apartment Buildings C and D and Shawneetown Apartment Buildings C and D, installation of a sprinkler system for Donovan Hall, renovation of elevators in Kirwan Blanding Residence Halls, and installation of an HVAC system in Donovan Hall food services area. It will come to approximately \$6.75 million. The Board's function is to approve a resolution showing intent to spend the money as stipulated in FCR 19. He moved approval of FCR 19. His motion, seconded by Mr. Reed, carried. (See FCR 19 at the end of the Minutes.)

#### GG. Sale of the South Farm, Tract A (FCR 20)

Mr. Hardymon stated that Tract A of the South Farm comprised of approximately 42.96 acres at the corner of Nicholasville Road and Man-O-War Boulevard in Lexington, Kentucky is determined no longer suited for the teaching, research or service needs of the University, and is declared surplus; that the Administration is authorized to seek two separate appraisals of this real property, then offer it for sale, in whole, by sealed bids, reserving the right to reject all bids; that all terms and conditions of the sale be returned to the Board for final approval; and that proceeds from the sale be reserved for the College of Agriculture.

He reported that the South Farm was bought in 1956 with private gift monies, federal grant monies, and restricted trust and agency funds of the College of Agriculture for the sum of \$270,000. No state appropriation was made for this acquisition. The use of the proceeds for College of Agriculture projects is in accord with the "Twenty-First Century Plan" for the College of Agriculture. This proposed use of the proceeds from the sale of the farm for a plant science research facility assures that College of Agriculture funds which were used to purchase the South Farm and advance research will continue to be used in support of the College of Agriculture. He said substantial continuing interest in the South Farm by prospective buyers is cause for this action at this time and moved approval of FCR 20. Mr. Grayson seconded the motion, and it passed. (See FCR 20 at the end of the Minutes.)

## HH. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:02 p.m.

Respectfully submitted,

Lois C. Weinberg Secretary Board of Trustees

(PR 2, 3A, 3B, 4A, 5A, 5B, and 5C; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, and 20 which follow are official parts of the Minutes of the meeting.)

Office of the President September 17, 1996

## PRESIDENT'S REPORT TO THE BOARD OF TRUSTEES

## 1. UK Extramural Funding Tops \$100 Million for Third Year in a Row

UK has received \$114,086,391 in grants, contracts and gifts from outside the University in the 1995-1996 fiscal year, the third year in a row UK has received more than \$100 million in such extramural funding. This is good news for the University, particularly in light of recent federal government spending cuts for research.

## 2. 73 National Merit Scholars in Freshman Class

Seventy-three National Merit Scholars -- including 58 from Kentucky -- and three National Achievement Scholars are included in the freshman class at UK this fall. The number is expected to keep UK in the top 10 nationally among public universities in the number of National Merit Scholars. National Achievement Scholarships are awarded by the National Merit Scholars Corporation in recognition of outstanding achievement by minority students.

## 3. Maysville CC Distance Learning Class Includes Four Campuses

Community college students in Paducah, Owensboro, Henderson and Maysville are enrolled in Maysville Community College's pulp and paper technology class. More than 100 students are enrolled in the class which is made available throughout Kentucky in distance learning classrooms. Instructor Joe Cable is assistant production manager at Inland Container Corporation, Maysville.

## 4. Medical Center Announces Pilot Oral Physician Program

UK's Chandler Medical Center is accepting applicants for a new, reform-oriented, pilot oral physician program to begin in the summer of 1997. Graduates of the program will be awarded two doctoral degrees: a doctor of dental medicine (D.M.D.) and a doctor of medicine (M.D.). The program was conceived to provide tomorrow's oral health professionals with the best possible education to reflect the changing patient population, advances in biotechnology, and the evolution of the health care environment in dentistry and medicine.

## 5. ACC and Marshall University Develop Joint Program

Ashland Community College and Marshall University have developed a new "Two-Plus-Two" agreement. Students may complete the two-year associate degree program in business technology at ACC and enroll at Marshall for the final two years of study required for a bachelor's degree in business administration. The program is part of an ongoing commitment to the economic development of the Tri-State region.

## 6. ASTeCC Fills Its Suites With Six Businesses

Space for businesses in UK's Advanced Science and Technology Commercialization Center has been filled. Less than two years after opening the center, ASTeCC is home to six businesses that are developing new technologies and ventures. ASTeCC provides quarters to BioProducts International; Valvoline, Incorporated; PromoGen; DeNovo Incorporated; K&K BioScience Incorporated; and Equine Biodiagnostics.

## 7. 'Inclusive Learning Community' Initiatives Under Way at UK

Based on the findings of a team of students, faculty and staff members, several initiatives are under way at UK aimed at accelerated progress in the University's development as an "inclusive learning community." The team members visited colleges and universities over the summer to collect and document ideas about campus social justice programs. Among the changes completed and planned at UK include an addition of a second section on diversity for the popular UK 101 series of classes for new students. Calendars of UK events, particularly those related to issues of cultural diversity, also are being revised and improved.

## 8. Ceremonies Welcome African-American Students

Both UK's Chandler Medical Center and the Lexington Campus welcomed African-American students with ceremonies at the beginning of the semester. The first Chandler Medical Center "Opening of the Way" ceremony, held August 29 at the Boone Faculty Center, centered on the students' declaration of commitment to excellence in pursuing their academic goals, and a reciprocal statement by Medical Center faculty to excellence in teaching. Nashid Fakhrid-Deen, director of minority affairs for the Community College System, delivered the keynote address. The Lexington Campus' first African-American New Student Convocation was conducted September 4. Titled "Striving for Excellence Without Excuse: Welcome to the Class of 2000," the convocation featured an address by Kim Burse, former secretary of the Kentucky Revenue Cabinet and a member of the UK Gatton College of Business and Economics Hall of Fame.

## 9. College of Dentistry Renovation Complete

The College of Dentistry formally dedicated a summer-long renovation project on August 21. Improvements covering more than 18,000 square feet were made on three floors of the college. The third-floor clinic, used to treat patients and educate dentists, received 60 new treatment stations. The sixth-floor preclinical laboratory also was renovated, and improvements were made in the Orofacial Pain Center on the fifth floor. The \$1.7 million renovation was funded by the college's dental practice.

#### 10. Henderson Nursing Program is Reaccredited

Henderson Community College's nursing program, including the Owensboro Community College extension, has been reaccredited by the National League for Nursing. Twenty-two faculty members from both campuses were involved in the process. In 1963, Henderson Community College became the first college in Kentucky to establish a two-year associate degree in nursing. The nursing program admits approximately 80 students each year.

# 11. Harrodsburg Baby Receives Cultured Epidermal Autograft at UK Hospital

A 4-month-old Harrodsburg baby became the first patient at UK Hospital to receive a cultured epidermal autograft for a non-burn injury. After a large mole known as a giant congenital pigmented nevus which covered the baby's trunk and upper leg was surgically excised, Levi Lewis received a graft of laboratory-engineered skin tissue grown in culture from his own epidermal cells. The three-hour procedure was performed August 26. In addition to cosmetic improvement, excision of the giant congenital pigmented nevus was recommended because of the risk of malignant degeneration or melanoma if left untreated.

## 12. Raitz Named Arts and Sciences Distinguished Professor

Karl B. Raitz, a 20-year UK geography professor, was named the 1996-97 Distinguished Professor in the College of Arts and Sciences, an honor bestowed since 1944 by vote of the college's faculty. Raitz will give the 1997 Distinguished Arts and Sciences Professor Lecture in the spring. Robert M. Ireland, history, was named the college's Distinguished Teacher. The announcements were made at the college's annual convocation on September 3.

## 13. College of Architecture Program Reaccredited

The baccalaureate program of the UK College of Architecture has been reaccredited for five years by the National Architectural Accrediting Board. Established in 1967, the College of Architecture has 317 students.

## 14. College Of Law Median Grade Point Average Rises

The median grade point average of students in the College of Law climbed to 3.39 this fall over the previous year's 3.28. The 1996 law class includes 42 percent female students, up from last year's 39 percent.

#### 15. UK Program Helps Woodford Arts Teachers

In a pilot partnership program, the UK College of Fine Arts is offering new approaches to integrating the arts into basic education curricula to kindergarten-12 teachers in six Woodford County schools. Examples in the Partners for the Arts program demonstrate ways that art, music, theater, and dance can be used to teach Shaker history, and how the UK Steel Drum Band can be used in the study of world cultures.

## 16. Two Engineering Professors Win Major Career Grants

Two UK electrical engineering professors have won separate four-year grants from the National Science Foundation totaling \$420,000 for research projects at UK's College of Engineering. Stephen D. Gedney and Keith W. Whites each were awarded \$210,000 grants by the foundation under its Faculty Early Career Development Program. The work of both professors relates to computer technology.

# 17. UK Acquires One of Nation's Largest Music Collections

The College of Fine Arts and the UK Libraries have acquired one of the nation's largest, privately held collections of American music, the Wilcox Collection. The collection contains 400 cubic feet of material, including 1,000 tune books, 5,000 hymnals and other books, 450 volumes of sheet music and scores and 15,000 songs indexed with words, music and performance data. The collection is expected to be a centerpiece of the John Jacob Niles Center for American Music.

## 18. Good Samaritan Foundation Funds Internships

The Good Samaritan Foundation continues to provide financial support to the Colleges of Nursing and Medicine. The Foundation will provide \$301,000 to the College of Nursing over the next year for internships involving both faculty and students in rural, urban and school settings. The Foundation also has provided more than \$91,000 to the College of Medicine for the upcoming year. More than \$71,000 will go to the department of family practice to fund start-up costs for a family practice/family physician residency program in eastern Kentucky. A family externship program will receive \$20,000.

## 19. Ashland CC Administrator Appointed to State Commission

Kentucky Governor Paul Patton has appointed Helen Fish Williams, student service officer at Ashland Community College, to the Martin Luther King Jr. State Commission. The 32-member commission is responsible for arranging and coordinating statewide programs and celebrations for the annual Martin Luther King Jr. Holiday. Williams, a member of the Boyd County NAACP, is the only commission member from eastern Kentucky.

## 20. IHDI and Jefferson Community College Initiate New Specialty Program

UK's Interdisciplinary Human Development Institute, in partnership with Jefferson Community College and the JCC Human Services Program, has initiated the first associate degree specialty track program in mental retardation and developmental disabilities in Kentucky. The two-year specialty track will prepare professionals in such areas as job coach, community trainer, supported living specialist and teaching assistant.

## 21. College of Medicine Observes White Coat Day

The College of Medicine welcomed 95 new students with a White Coat ceremony on August 9. The tradition was established last year to encourage students to begin the process of professionalism at the start of their medical school experience. Forty-one percent of the Class of 2000 are women, the largest percentage in the history of the college, and 6 percent are minority students. Ninety-one percent are Kentucky residents, with 42 percent from rural counties in the state. Fifty-seven percent attended Kentucky colleges, including 21 percent who are UK alums.

## 22. Health Careers Featured at Roots and Heritage Festival

The UK Chandler Medical Center participated in the first African-American Health Network health fair at the annual Lexington Roots and Heritage Festival held in downtown Lexington on September 7. Students and faculty members interacted with youth and adults with an interest in health careers, and the UK Science Outreach Center van was open for tours.

# 23. Gatton College of Business and Economics Nets \$10,000 for Scholarships

The Carol Martin Gatton College of Business and Economics has received \$10,000 for scholarships from an Invitational Golf Tournament held in August. The tournament was sponsored by Moore Diversified Products Incorporated.

# 24. College of Allied Health Professions to Hold Annual Convocation

The College of Allied Health Professions will hold its annual convocation on September 24 in room 201 of the Health Sciences Learning Center. Guest speaker Judith Barr, director of the National Educational and Research Center for Outcome Assessment in Healthcare, will talk about "Incorporating Outcomes Assessment into Research and Clinical Education."

## 25. WUKY Wins National Radio Development Award

WUKY, UK's public radio station, has won first place in the 1996 Corporation for Public Broadcasting Local Radio Development Awards competition. The station took top honors in the "Special Events/Promotion" category for its on-air, print, poster, specialty advertising and press release material relating to the 1995 edition of its annual fund-raising event.

## 26. UK Art Museum Honored for Publication

The UK Art Museum received an honorable mention in international competition for its catalogue, "Russian Icons from the Humble Collection." The competition drew 2,358 entries from around the world including the United States, Australia, England, Hong Kong and Russia.

## 27. Somerset Contractor Donates House for Classes

Students enrolled in "Manufactured Housing Installation and Repair Training," a joint class at Somerset Community College and Kentucky Tech, will have a real structure to study. Lee Phillip, owner of Lee Homes, Inc., is providing the manufactured house.

## 28. Student Awards and Honors

<u>Julie Gamble</u> and <u>Shelia Wood</u>, students at Hopkinsville Community College, each received a \$1,000 scholarship from York Neel and Company, one of the largest regional accounting firms in Kentucky.

For the third time in four years, the <u>UK Student Bar Association</u> has been named the top student bar association in the Midwest by the Law Student Division of the American Bar Association's Young Lawyers Section.

## 29. Significant Activities of Faculty and Staff

<u>John Anthony</u>, chemistry, has won the \$25,000 Camille and Henry Dreyfus New Faculty Award.

<u>Larry Chiswell</u>, Lexington Community College, met with representatives from St. Patrick's College of Maynooth, Ireland, to explore avenues in which LCC might assist County Kildare officials in economic development.

<u>Frank Derbyshire</u>, Center for Applied Energy Research, has been selected as the 1997 recipient of the American Chemical Society's \$5,000 Henry H. Storch Award in Fuel Chemistry.

<u>James Glenn</u>, urology, received the St. Paul's Medal of the British Association of Urological Surgeons in Edinburgh. It is the highest honor the British Urology Society can bestow on a foreigner.

Mary Louise Hemmeter and John W. Schuster, education, have received an \$812,309 grant to highlight effective early intervention services for youngsters with disabilities.

James W. Holsinger Jr., Chandler Medical Center chancellor, has been appointed by the American Hospital Association Board of Trustees to a three-year term on the AHA's Committee of Commissioners to the Joint Commission on Accreditation of Healthcare Organizations.

<u>Patrick Lake</u>, president of Henderson Community College, was invited by the American Association of Community Colleges to participate in the fourth annual National Leadership Academy.

<u>Frank Miller</u>, obstetrics and gynecology, has been named president of the Association of Professors of Gynecology and Obstetrics for 1996-97.

<u>Erla Mowbray</u>, Lexington Community College, has been appointed state president of the Kentucky American Association for Women in Community Colleges.

<u>Donna Murphy</u>, Lexington Community College, will be inducted into the Greater Cincinnati Basketball Hall of Fame on October 3.

R.J. Robinson, engineering, received a Stereolithography Excellence Award at a meeting of the National Stereolithography Association.

<u>Douglas J. Scheckelhoff</u>, pharmacy and UK Hospital, was named a 1996 fellow of the American Society of Health-System Pharmacists.

<u>Susan B. Sinnott</u>, engineering, has received an Oak Ridge Associated Universities Junior Faculty Achievement Award.

Awards were presented to faculty members and students at the College of Medicine Convocation and Awards presentation on September 9. Community-based faculty members honored for their contributions to the educational experience of College of Medicine students were: <u>Dr. Kimberly Stewart</u> of Morehead; <u>Dr. Charles Rhodes</u> of Ashland; <u>Dr. John Furcolow</u> and <u>Dr. Anthony Stumbo</u> of Prestonsburg; and Danville Family Physicians, a group practice consisting of <u>Dr. Colin Raitiere</u>, <u>Dr. Brian Ellis</u>, and <u>Dr. Richard Hempel</u>.

Angene Wilson, education, has been named a Fulbright Scholar for 1996-97 and will teach in Winneba, Ghana, during the spring semester.

Office of the President September 17, 1996
Members, Board of Trustees:
PERSONNEL ACTIONS
Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.
<u>Background</u> : The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.
Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.
Action taken:

#### **CENTRAL ADMINISTRATION**

## I. BOARD ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

#### Graduate School

O'Reilly, James E., Associate Professor (with tenure), Chemistry, appointed Associate Dean for Extended Campus Graduate Programs, Graduate School, 7/1/96 through 6/30/97.

#### Vice President for Information Systems

Harman, Wayne A., Communications and Network Systems, Communications Operations Director, effective 6/3/96.

#### Vice President for Research and Graduate Studies

Fink, III, Joseph L., Professor (with tenure), Pharmacy, appointed Special Assistant to the Vice President, 7/1/96 through 6/30/97.

#### **B. RETIREMENTS**

#### Controller and Treasurer

Thompson, Betty, Account Clerk, Accounts Payable, after 6.9 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/28/96.

#### Employee Benefits

Day, David, Disabled Faculty, Employee Benefits, after 29 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/96. Hill, Donna, Disabled Faculty, Employee Benefits, after 20.9 consecutive years of service, under AR II-1.6-1 Section III.B, effective 9/30/96.

#### C. EARLY RETIREMENTS

#### Vice President for Information Systems

Stevenson, Lottie, Machine Operator II, Duplicating Services, after 15.5 years of service, under AR II-1.6-1 Section III.A.2, effective 8/16/96.

#### Vice President for Research and Graduate Studies

Kelly, Eugenia E., Staff Assistant V, Kentucky Geological Survey, after 16.8 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 9/13/96.

#### II. ADMINISTRATIVE ACTION

#### A. ACADEMIC APPOINTMENTS

#### Vice President for Information Systems

Suchanek, Jeffrey S., Librarian IV, Special Collections, University Libraries, effective 9/1/96 through 6/30/97.

Thompson, Weston T., Librarian IV, Special Collections, University Libraries, effective 7/10/96 through 6/30/97.

#### **B. ADMINISTRATIVE APPOINTMENTS**

#### Vice President for Information Systems

Carter, Michael, Application Software Project Coordinator, Computing Services, effective 9/16/96.

Fischer, Cheryl L., Systems and Program Development Associate Director, Communications and Network Systems, effective 9/9/96.

#### Vice President for Research and Graduate Studies

Rous, Beth, Disability Program Administrator II, Interdisciplinary Human Development Institute, effective 7/1/96.

Ruthven, Carol L., Geologist V, Kentucky Geological Survey, effective 6/10/96.

## C. CHANGES

## Office of Legal Counsel

Van Booven, Paul C., from Associate General Counsel to Deputy General Counsel, effective 7/1/96.

# Vice President for Information Systems

Willmott, Karen J., Publishing Services, from Publishing Services Director to Information Systems Publishing/Postal Services Director, effective 7/1/96.

## **COMMUNITY COLLEGE SYSTEM**

# I. BOARD ACTION

#### A. ADMINISTRATIVE APPOINTMENTS

#### Office of the Chancellor

- Beatty, Eunice, Professor in the Community College System (with tenure), Dean of Academic Affairs, Lexington Community College, appointed Special Assistant to the Chancellor, 7/1/96 through 6/30/97.
- O'Hara, Len, Associate Professor in the Community College System (with tenure), President, Paducah Community College, appointed Special Assistant to the Chancellor, 9/1/96 through 6/30/97.

## **Hazard Community College**

Smoot, R. Kathy, Associate Professor in the Community College System (with tenure), appointed Dean of Academic Affairs, Lees College Campus, 7/1/96.

#### Paducah Community College

Veazey, Barbara, Professor in the Community College System (with tenure), Dean of Academic Affairs, appointed Acting President, Paducah Community College, 9/1/96 through 6/30/97.

#### Prestonsburg Community College

Vierheller, Thomas L., Associate Professor in the Community College System (with tenure), appointed Chair, Division of Biological Sciences and Related Technologies, 7/1/96 through 6/30/99.

#### B. JOINT APPOINTMENTS

#### Owensboro Community College

Pagan, Freida B., Professor in the Community College System (with tenure), joint with Henderson Community College, 7/1/96.

#### C. PROMOTIONS

#### Somerset Community College

Mounce, Karen, from Associate Professor in the Community College System (with tenure), to Professor in the Community College System (with tenure), 7/1/96.

#### D. RETIREMENTS

## Elizabethtown Community College

Hack, Elvida, Office Assistant, under AR II-1.6-1 Section III.B, following 5.75 consecutive years of service, 6/10/96.

#### Jefferson Community College

Moore, Joyce W., Librarian I in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 28 consecutive years of service, 7/31/96.

## Somerset Community College

Johns, Margaret G., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 31.2 consecutive years of service, 9/30/96.

#### E. EARLY RETIREMENTS

#### Elizabethtown Community College

Horton, Jimmie L., Custodial Worker, under AR II-1.6-1 Section III.A.2, following 22.1 consecutive years of service, 8/16/96.

#### F. POST RETIREMENT APPOINTMENTS

## Elizabethtown Community College

Wallace, Donald F., Professor in the Community College System, half-time, 8/21/96 through 6/30/97.

#### Somerset Community College

Cornelius, Gene, Financial Aid Office Assistant, part-time, 8/21/96 through 6/30/97.

#### II. ADMINISTRATIVE ACTION

#### A. ACADEMIC APPOINTMENTS

<u>Transfer from Prestonsburg Community College</u> to <u>Hazard Community College</u>

Lucas, Judy, Instructor in the Community College System, 8/1/96 through 6/30/97.

Transfer from Southeast Community College to Lexington Community College

Eldridge, Brent A., Assistant Professor in the Community College System, 8/1/96 through 6/30/97.

#### Ashland Community College

McKinney, Barbara, Instructor in the Community College System, 8/1/96 through 6/30/97.

## Elizabethtown Community College

Ballard, Sue, Assistant Professor in the Community College System, 8/1/96 through 6/30/97.

Crider, Lori, Librarian IV in the Community College System, 8/1/96 through 6/30/97.

North, Ruth, Assistant Professor in the Community College System, 8/1/96 through 6/30/97.

Riley, William, Instructor in the Community College System, 8/1/96 through 6/30/97.

## **Hazard Community College**

Barnes, Donald, Instructor in the Community College System, 8/1/96 through 6/30/97.

Bryant, Randall K., Instructor in the Community College System, 8/1/96 through 6/30/97.

Cravens, Thomas L., Assistant Professor in the Community College System, 7/1/96 through 6/30/97.

Dafoe, Alan, Assistant Professor in the Community College System, 8/1/96 through 6/30/97.

Weber, Gretchen, Instructor in the Community College System, 8/1/96 through 6/30/97.

Wernette, Amy, Instructor in the Community College System, 8/1/96 through 6/30/97.

Wood, Jeremy, Instructor in the Community College System, 8/1/96 through 6/30/97.

## Henderson Community College

- Blackburn, Catherine, Instructor in the Community College System, 8/12/96 through 5/12/97.
- Keirouz, Kathryn S., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Kurtz, Christine A., Instructor in the Community College System, 8/1/96 through 6/30/97.

#### Hopkinsville Community College

- Atkinson, William H., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Carlisle, Taylor, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Diebert, Michael S., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Elias-Jones, Patricia, Instructor in the Community College System, 8/5/96 through 6/30/97.
- Mizell, Christopher R., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Moodt, Grace S., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Ralph, Brett, Instructor in the Community College System, 8/1/96 through 6/30/97.

#### Jefferson Community College

- Bochicchio, Regina M., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Eaton, Cheryl A., Instructor in the Community College System, 7/1/96 through 6/30/97.

## Lexington Community College

- Alexander, Martha D., Instructor in the Community College System, 8/1/96 through 5/31/97.
- Beard, Mary D., Instructor in the Community College System, 8/1/96 through 6/30/97
- Carroll, Cynthia V., Instructor in the Community College System, 8/14/96 through 6/30/97.

- Fairchild, Virginia, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Foster, Rosemary B., Instructor in the Community College System, 8/13/96 through 5/31/97.
- Hall, Julia A., Instructor in the Community College System (voluntary), 7/1/96 through 6/30/99.
- Hooven-Irwin, Deborah, Instructor in the Community College System, 8/14/96 through 5/31/97.
- Kay, Thomas D., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Kobraei, Minoo E., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Miller, Patricia P., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Moyers, Andrew, Instructor in the Community College System (voluntary), 8/28/96 through 6/30/99.
- Pelfrey, Holly J., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Schoenstra, Thomas, Instructor in the Community College System (voluntary), 8/28/96 through 6/30/99.
- Sills, Andrew V., Instructor in the Community College System, 8/1/96 through 6/31/97.
- Watts, Jean G., Instructor in the Community College System, 8/1/96 through 6/30/97.

#### Madisonville Community College

- Barlow, Jennifer, Instructor in the Community College System (voluntary), 5/1/96 through 8/21/98.
- Emerson, Janet F., Instructor in the Community College System, 8/12/96 through 6/30/97.
- Head, Gerald M., Instructor in the Community College System, 8/7/96 through 6/30/97.
- Hunt-Kramer, Robin, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Morrow, Deborah D., Instructor in the Community College System, 7/1/96 through 6/30/97.
- Robinson, Heather, Instructor in the Community College System (voluntary), 5/1/96 through 8/21/98.
- Werner, Mary B., Assistant Professor in the Community College System, 8/7/96 through 6/30/97.

## Maysville Community College

Silvers, Cathy L., Instructor in the Community College System, 8/1/96 through 6/30/97.

## Owensboro Community College

- Antwi, Ransford, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Purdy, Robert, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Rogers, Frank Wayne, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Um Bayemake, Linda, Instructor in the Community College System, 7/1/96 through 6/30/97.
- Wilson, Pamela, Instructor in the Community College System, 8/1/96 through 6/30/97.

## Paducah Community College

- Adams, Phillip Lynn, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Garrison, Jennifer Leigh, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Harper, Reva, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Hays, Peggy, Instructor in the Community College System (voluntary), 6/30/96 through 6/29/99.
- Jones, Lynn, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- King, Carolyn, Instructor in the Community College System (voluntary), 6/30/96 through 6/29/99.
- Mushkat, Fred, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Stoffel, Claudia, Instructor in the Community College System, 7/1/96 through 6/30/97.
- Wrede, David A., Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.

#### Prestonsburg Community College

- Jacobs, Sabra P., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Skeen, Timothy D., Assistant Professor in the Community College System, 8/1/96 through 6/30/97.

#### Somerset Community College

- Anderson, Donna, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Berry, Lisa, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Braunecker, Kristi, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Breitenbach, Deidra, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Brosi, George, Instructor in the Community College System, 8/1/96 through 12/31/96.
- Butcher, Beth, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Curd, Jennifer W., Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Dyer, Melissa R., Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Elkin, Mark, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Gray, Anne, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Hansford, Pamela, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Heierman, Vickie, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Howard, Angela, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Hunt, Kathryn, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Israel, Kay, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Koch, Jana, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Lewis, Sharon, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Maquet, Jeff, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Maynard, Nadine, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Moore, Mariann, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Morris, Sandra, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.

- Perkins, Jeff, Instructor in the Community College System, 7/1/96 through 6/30/97.
- Plamandon, Tom, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Porter, Karissa, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Puckett, Deborah, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Ratliff, Donna Rae, Assistant Professor in the Community College System, 7/1/96 through 6/30/97.
- Schmidt, Nanette, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Schuler, Jill, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Shepherd, Steve, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Stephens, Judy, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Vandiver, Beth, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Westenhoefer, Marcy, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/98.
- Yessin, Jennifer, Instructor in the Community College System (voluntary), 10/30/96 through 9/30/98.

#### Southeast Community College

- Call, Richard W., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Collier, William Gregory, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Conover, Edwin W., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Greer-Pitt, Sue, Assistant Professor in the Community College System, 8/1/96 through 6/30/97.
- Robinson, Eddie D., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Saylor, Tara, Instructor in the Community College System (voluntary), 8/1/96 through 5/31/99

#### B. ADMINISTRATIVE APPOINTMENTS

#### Office of the Chancellor

Zeidler, Kimberly, CC Coordinator of the Appalachian Rural Systemic Initiative Resource Collaborative, 8/1/96.

## **Hazard Community College**

- Herald, Patricia Ann, Assistant Professor in the Community College System, appointed Acting Chair, Division of Allied Health, Lees College Campus, 7/1/96 through 6/30/97.
- Sandlin-Smith, Leila, Associate Professor in the Community College System (with tenure), appointed Acting Chair, Division of Social and Behavioral Sciences, Lees College Campus, 7/1/96 through 6/30/97.
- Smith, Hilliard H., Assistant Professor in the Community College System, appointed Acting Chair, Division of Humanities and Related Technologies, Lees College Campus, 7/1/96 through 6/30/97.

#### Lexington Community College

- Scorsone, Analy, Professor in the Community College System (with tenure), appointed Acting Dean for Academic Affairs, 7/1/96 through 6/30/97.
- Singleton, Debbie, Associate Professor in the Community College System (with tenure), appointed Acting Chair, Division of Physical Sciences and Engineering Technologies, 7/1/96 through 6/30/97.

#### Maysville Community College

Kilgore, Tara, Professor in the Community College System (with tenure), appointed Acting Chair, Division of Business and Related Technologies, 8/1/96 through 6/30/97.

#### Prestonsburg Community College

Music, Brenda, Professor in the Community College System (with tenure), appointed Acting Dean for Student Affairs, 7/1/96 through 9/30/96.

## C. JOINT APPOINTMENTS

#### Henderson Community College

Keller, Jill B., Instructor in the Community College System, joint with Owensboro Community College, 8/1/96 through 6/30/97.

## Owensboro Community College

- Lanham, Terri H., Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/96 through 6/30/97.
- Whitaker, Deborah K., Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/96 through 6/30/97.
- Yates, Deborah D., Assistant Professor in the Community College System, joint with Henderson Community College, 7/1/96 through 6/30/97.

#### D. REAPPOINTMENTS

## **Hazard Community College**

Begley, Dan, Instructor in the Community College System, 8/1/96 through 6/30/97.

## Jefferson Community College

- Crawford, Gail A., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Regnier, Adrienne, Assistant Professor in the Community College System, 7/1/96 through 6/30/97.

## Lexington Community College

- Lear, Wanda G., Instructor in the Community College System, 8/1/96 through 5/31/97.
- Miller, Patricia A., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Norman, Valiant C., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Penn, Mona Lisa, Instructor in the Community College System (part-time), 8/1/96 through 5/31/97.
- Strunc, Mary Ann, Instructor in the Community College System, 8/1/96 through 5/31/97.
- Taylor, Lori A., Instructor in the Community College System, 8/1/96 through 5/31/97.
- Worth, Benjamin J., Instructor in the Community College System, 8/1/96 through 6/30/97.

# Madisonville Community College

Bowling, Robert Garrett, Instructor in the Community College System, 7/1/96 through 6/30/97.

### Owensboro Community College System

Foster, Luke, Instructor in the Community College System, 8/1/96 through 6/30/97.

### Paducah Community College

- Beck, A. Caroline, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Campbell, Mary Jo, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Cunningham, Jackie R., Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Dolan, Lois, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Nickell, David, Instructor in the Community College System, 7/1/96 through 6/30/97.
- Pinerolla, Jase, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- Simmons, Beth, Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.
- West, Tommy G., Instructor in the Community College System (voluntary), 8/1/96 through 7/31/99.

## Somerset Community College

- Abel, Melissa, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Blankenship, Teresa, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Bruce, Genevieve, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Cromer, Leila, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/97.
- Dixon, Debbie, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Hargis, Angela A., Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- House, Debra J., Instructor in the Community College System, 7/1/96 through 6/30/97
- Lacefield, Paula, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/97.
- Lair, David B., Instructor in the Community College System, 7/1/96 through 6/30/97.

- Lewis, Ralph, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Parmen, Jerry, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Reichenback, Portia, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Rhodus, Lena, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Scobie, Betty, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Smith, Jeanie, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Watson, Kathy, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.
- Wynd, Patricia, Instructor in the Community College System (voluntary), 10/1/96 through 9/30/99.

## Southeast Community College

- Creech, Teresa G., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Foutch, Enoch, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Frost, Glenn E., Instructor in the Community College System, 8/1/96 through 6/30/97.
- Green, Walter, Instructor in the Community College System, 8/1/96 through 6/30/97.
- Hubbard, Delman R., Instructor in the Community College System, 8/1/96 through 6/30/97.

#### E. TERMINAL REAPPOINTMENTS

## Owensboro Community College

McMahon, James A., Assistant Professor in the Community College System, 7/1/96 through 6/30/97.

## F. LEAVES OF ABSENCE

## Elizabethtown Community College

- Buck, Pem, Associate Professor in the Community College System (with tenure), sabbatical leave with half pay, 1/1/97 through 12/31/97.
- Winslow, Celia, Associate Professor in the Community College System (with tenure), leave of absence without pay, 7/1/96 through 6/30/97.

## Henderson Community College

Evans, Marsha, Associate Professor in the Community College System (with tenure), leave of absence without pay, 8/26/97 through 6/30/97.

## Jefferson Community College

Heyman, Patricia, Associate Professor in the Community College System (with tenure), leave of absence without pay, 7/1/96 through 6/30/97.

## Lexington Community College

Swetnam, Bruce, Associate Professor in the Community College System (with tenure), leave of absence without pay, 7/1/96 through 6/30/97.

# Madisonville Community College

Lindeman, Peter, Associate Professor in the Community College System (with tenure), leave of absence without pay, 1/1/97 through 6/30/97.

## Prestonsburg Community College

Chen, Y. Sarah, Assistant Professor in the Community College System, leave of absence without pay, 7/1/96 through 12/31/96.

## Southeast Community College

Edens, Janet E., Assistant Professor in the Community College System, leave of absence without pay, 7/1/96 through 6/30/97.

## **LEXINGTON CAMPUS**

## I. **BOARD ACTION**

#### A. ACADEMIC APPOINTMENTS

## College of Agriculture

Robbins, Lynn W., Professor (with tenure), Agricultural Economics, effective 8/15/96.

# College of Arts and Sciences

Douglas, Craig C., Professor (with tenure), Mathematics, effective 1/1/97.

## College of Business and Economics

Dess, Gregory G., Professor (with tenure), Management, effective 8/1/96.

## College of Education

Thelin, John R., Professor (with tenure), Educational Policy Studies and Evaluation, effective 8/16/96.

## College of Engineering

Huang, George P.G., Associate Professor (without tenure), Mechanical Engineering, 8/16/96 through 6/30/98.

Maloney, William F., Professor (with tenure), Civil Engineering, effective 8/16/96.

## College of Law

Harberson, Albert II, Professor (part-time), Law, 8/16/96 through 5/15/97.

## Graduate School

Motzer, Earl J., Adjunct Associate Professor, Martin School of Public Policy and Administration, 7/1/96 through 6/30/97.

#### **B. ADMINISTRATIVE APPOINTMENTS**

## College of Agriculture

- Ingram, Dewayne, Professor (with tenure), Horticulture and Landscape Architecture, and Chair, Horticulture and Landscape Architecture, reappointed Chair, Horticulture and Landscape Architecture, 7/1/96 through 6/30/2002.
- Robbins, Lynn W., Professor (with tenure), Agricultural Economics, appointed Chair, Agricultural Economics, 8/15/96 through 6/30/2002.
- Smith, M. Scott., Professor (with tenure), Agronomy, and Chair, Agronomy, reappointed Chair, Agronomy, 7/1/95 through 6/30/2001.
- Timoney, Peter J., Professor (with tenure), Veterinary Science, and Chair, Veterinary Science, reappointed Chair, Veterinary Science, 7/1/96 through 6/30/2002.

## College of Education

- Berdine, William H., Professor (with tenure), Special Education and Rehabilitation Counseling, and Chair, Special Education and Rehabilitation Counseling, appointed Coordinator, Distance Learning Initiatives, College of Education, 8/15/96 through 8/14/97.
- Kifer, Edward W., Professor (with tenure), Educational Policy Studies and Evaluation, appointed Chair, Educational Policy Studies and Evaluation, 8/14/96 through 8/15/2000, and appointed Director, Office of Research and Graduate Studies, College of Education, 8/15/96 through 8/14/97.

### College of Human Environmental Sciences

Mercer, Preston, Professor (with tenure), Nutrition and Food Science, and Chair, Nutrition and Food Science, reappointed Chair, Nutrition and Food Science, 7/1/96 through 6/30/2002.

#### Vice Chancellor for Academic Affairs and Research

Herring, Patrick, appointed Director of Admissions, effective 10/1/96.

#### C. JOINT REAPPOINTMENT

## College of Education

Shapiro, Robert, Professor (with tenure), Kinesiology and Health Promotion, joint reappointment as Professor (without tenure), Biomedical Engineering, Graduate School, Medical Center, effective 7/1/96.

#### D. REAPPOINTMENTS

## College of Arts and Sciences

Sendlein, Lyle V.A., Professor (part-time), Geological Sciences, 9/1/96 through 6/30/97.

## College of Education

Tannenbaum, Robert, Adjunct Professor, Curriculum and Instruction, 7/1/96 through 6/30/99.

## College of Engineering

Huffman, Gerald P., Research Professor, Chemical and Materials Engineering, 7/1/96 through 6/30/97.

## College of Law

Connelly, Allison, Professor (part-time), Law, 8/16/96 through 2/28/97. Larson, Raymond M., Professor (part-time), Law, 8/16/96 through 12/31/96. McNeill, John G., Professor (part-time), Law, 8/16/96 through 12/31/96.

#### E. TENURE

# College of Business and Economics

Gillette, James Robert, from Associate Professor\* (without tenure), Economics, to Associate Professor\* (with tenure), Economics, effective 7/1/96.

### F. PROMOTIONS

## College of Agriculture

Grabau, Lawrence J., from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/96.

## College of Communications and Information Studies

Jeng, Ling Hwey, from Assistant Professor, Library and Information Science, to Associate Professor (with tenure), Library and Information Science, effective 7/1/96.

<sup>\*</sup> Special Title Series

### College of Education

Gaskins, Robert W., from Assistant Professor, Curriculum and Instruction, to Associate Professor (with tenure), Curriculum and Instruction, effective 7/1/96.

## College of Engineering

- Hassebrook, Laurence G., from Assistant Professor, Electrical Engineering, to Associate Professor (with tenure), Electrical Engineering, effective 7/1/96.
- Jawahir, Ibrahim S., from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/96.
- Kalika, Douglass S., from Assistant Professor, Chemical and Materials Engineering, to Associate Professor (with tenure), Chemical and Materials Engineering, effective 7/1/96.
- Ormsbee, Lindell, from Associate Professor (with tenure), Civil Engineering, to Professor (with tenure), Civil Engineering, effective 7/1/96.
- Radun, Arthur V., from Assistant Professor, Electrical Engineering, to Associate Professor (with tenure), Electrical Engineering, effective 7/1/96.
- Smith, William T., from Assistant Professor, Electrical Engineering, to Associate Professor (with tenure), Electrical Engineering, effective 7/1/96.
- Stamatiadis, Nikiforos, from Assistant Professor, Civil Engineering, to Associate Professor (with tenure), Civil Engineering, effective 7/1/96.
- Tagavi, Kaveh A., from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/96.
- Tsang, Tate T.H., from Associate Professor (with tenure), Chemical and Materials Engineering, to Professor (with tenure), Chemical and Materials Engineering, effective 7/1/96.
- Tzou, H.S., from Associate Professor (with tenure), Mechanical Engineering, to Professor (with tenure), Mechanical Engineering, effective 7/1/96.

#### College of Fine Arts

- Bibbs, Garry, from Assistant Professor, Art, to Associate Professor (with tenure), Art, effective 7/1/96.
- Johnson, Jefferson, from Assistant Professor\*, Music, to Associate Professor\* (with tenure), Music, effective 7/1/96.
- Karp, Benjamin, from Assistant Professor\*, Music, to Associate Professor\* (with tenure), Music, effective 7/1/96.
- Robinson, Schuyler, from Associate Professor\* (with tenure), Music, to Professor\* (with tenure), Music, effective 7/1/96.

<sup>\*</sup> Special Title Series

- Scroggins, Robert L., from Assistant Professor, Art, to Associate Professor (with tenure), Art, effective 7/1/96.
- Unseld, Teresa, from Assistant Professor, Art, to Associate Professor (with tenure), Art, effective 7/1/96.

## College of Human Environmental Sciences

- Barth, M. Margaret, from Assistant Professor, Nutrition and Food Science, to Associate Professor (with tenure), Nutrition and Food Science, effective 7/1/96.
- Carll-White, Allison, from Associate Professor (with tenure), Interior Design, Merchandising and Textiles, to Professor (with tenure), Interior Design, Merchandising and Textiles, effective 7/1/96.
- Glauert, Howard P., from Associate Professor (with tenure), Nutrition and Food Science, to Professor (with tenure), Nutrition and Food Science, effective 7/1/96.
- McCulloch, B. Jan, from Assistant Professor, Family Studies, to Associate Professor (with tenure), Family Studies, effective 7/1/96.

#### G. RETIREMENTS

### College for Agriculture

Willoughby, Jane, Program Assistant, Cooperative Extension Service, after 5.8 consecutive years of service, under Section III.B of AR II-1.6-1, effective 8/30/96.

## Vice Chancellor for Student Affairs

Berger, Jean, Staff Assistant IV, Residence Life, after 15 consecutive years of service, under Section III.B of AR II-1.6-1, effective 8/17/96.

## H. EARLY RETIREMENTS

## College of Agriculture

Hall, Janet, Staff Assistant V, Agronomy, after 18.1 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 9/6/96.

### College of Education

Mason, Emanuel, Professor (with tenure), Educational and Counseling Psychology, after 23.75 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 6/30/96.

<sup>\*</sup> Special Title Series

## Vice Chancellor for Administration

Canady, Levi, Custodial Worker Supervisor I, Housing, Auxiliary Services, after 40.4 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 9/12/96.

#### I. POST-RETIREMENTS

## College of Agriculture

Allen, Steve, Consultant (part-time), Agricultural Economics, 10/15/96 through 1/31/97.

# College of Arts and Sciences

Ehmann, William, Professor (part-time), Chemistry, 7/1/96 through 6/30/97. McEllistrem, Marcus, Professor (part-time), Physics and Astronomy, 8/1/96 through 6/30/97.

## College of Engineering

Huffsey, Ralph, Instructor (part-time), Civil Engineering, 7/1/96 through 6/30/97. Wood, Don, Professor (part-time), Civil Engineering, 7/1/96 through 6/30/97.

### College of Human Environmental Sciences

Henry, Sarah, Principal Curriculum Media Specialist (part-time), Dean's Office, 7/1/96 through 5/31/97.

Payne, David C., Associate Professor (part-time), Family Studies, 8/16/96 through 12/31/96.

## Vice Chancellor for Administration

- Ballard, Afa C., Pest Control Worker (part-time), Pest Control, Physical Plant Division, 7/1/96 through 6/30/97.
- Carmack, Lester, Plumber Welder (part-time), Plumbing/Welding Department, Physical Plant Division, 7/1/96 through 6/30/97.
- Degler, Kenneth, Maintenance Assistant (part-time), Heating and Cooling, Physical Plant Division, 7/1/96 through 6/30/97.
- Drury, Howard, Electrician (part-time), Electric Shop, Physical Plant Division, 7/1/96 through 6/30/97.
- Drury, Kenneth, Refrigeration Mechanic (part-time), Air Conditioning/ Refrigeration, Physical Plant Division, 7/1/96 through 6/30/97.
- Gerrin, Alton, Building Operator (part-time), Building Operators, Physical Plant Division, 7/1/96 through 6/30/97.
- Greer, Amos, Cement Mason (part-time), Carpentry/Masonry, Physical Plant Division, 7/1/96 through 6/30/97.

Kendrick, Clara, Staff Assistant (part-time), Administration, Physical Plant Division, 7/1/96 through 6/30/97.

Preston, Samuel, Carpenter (part-time), Carpentry Shop, Physical Plant Division, 7/1/96 through 6/30/97.

Scott, Alphonzo, Painter (part-time), Paint Shop, Physical Plant Division, 7/1/96 through 6/30/97.

Witt, Elvin, Plumber (part-time), Plumbing Shop, Physical Plant Division, 7/1/96 through 6/30/97.

# II. ADMINISTRATIVE ACTION

#### A. ACADEMIC APPOINTMENTS

## College of Agriculture

Rieske-Kinney, Lynne K., Assistant Professor, Entomology, 8/1/96 through 6/30/97.

Sharkey, Michael J., Assistant Professor, Entomology, 8/5/96 through 6/30/97.

## College of Architecture

Marshall, Dennis, Assistant Professor, Architecture, 7/1/96 through 6/30/97. Pride-Wells, Michaele, Assistant Research Professor, Architecture, 8/1/96 through 6/30/97.

Swetnam, Bruce, Assistant Professor (temporary), Architecture, 7/1/96 through 6/30/97.

## College of Arts and Sciences

Cole, William, Instructor (part-time), Sociology, 8/16/96 through 12/31/96.

Fults, Janna, Instructor (temporary), French, 8/16/96 through 6/30/97.

Harrison, Douglas A., Assistant Professor, Biological Sciences, 8/16/96 through 6/30/98.

Korsch, Wolfgang, Assistant Research Professor, Physics and Astronomy, 10/1/96 through 9/30/97.

Krupa, James J., Assistant Professor\*, Biological Sciences, 8/16/96 through 6/30/98.

Reams, Robert B., Lecturer (temporary), Mathematics, 8/16/96 through 6/30/97.

Roth, Linda C., Assistant Professor, Geography, 8/16/96 through 6/30/98.

Steilberg, Hays A., Instructor (temporary), German, 8/16/96 through 6/30/97.

Viele, James V., Assistant Professor, Statistics, 8/16/96 through 6/30/98.

Vuskovic, Kristina, Assistant Professor, Mathematics, 8/16/96 through 6/30/98.

Wilson, Jennifer J., Assistant Professor (temporary), Psychology, 8/16/96 through 6/30/97.

<sup>\*</sup> Special Title Series

## College of Business and Economics

Rainsey, Deanna, Instructor (temporary), Accountancy, 8/16/96 through 5/15/97. Ross, Danny E., Instructor (temporary), Accountancy, 8/16/96 through 5/15/97.

## College of Communications and Information Studies

Harrison, Tyler, Instructor (part-time), Communication, 8/16/96 through 12/15/96. Lane, Derek Ray, Assistant Professor (temporary), Communication, 8/16/96 through 5/15/97.

Morgan, Susan, Assistant Professor (temporary), Communication, 8/16/96 through 5/15/97.

Morris, Burnis Reginald, Assistant Professor\*, Journalism and Telecommunications, 8/16/96 through 6/30/97.

Robinson, Karla Salmon, Assistant Professor, Journalism and Telecommunications, 8/16/96 through 6/30/97.

Ryan, Elizabeth H., Assistant Professor\*, Journalism and Telecommunications, 8/16/96 through 6/30/97.

### College of Education

MacMann, Gregg M., Assistant Professor, Educational and Counseling Psychology, 8/16/96 through 6/30/97.

Vass, Mary, Adjunct Assistant Professor, Educational Policy Studies and Evaluation, 8/16/96 through 6/30/99.

#### College of Engineering

Aultman-Hall, Lisa, Assistant Professor, Civil Engineering, 8/16/96 through 6/30/98.

Clarke, Duncan, Visiting Assistant Professor, Computer Science, 8/16/96 through 5/15/97.

Harris, Carol C., Instructor (temporary), Computer Science, 8/16/96 through 5/15/97.

Jacob, Jamey D., Assistant Professor, Mechanical Engineering, 8/1/96 through 6/30/98.

Lovell, Michael R., Assistant Professor, Mechanical Engineering, 8/1/96 through 6/30/98

Main, John A., Assistant Professor, Mechanical Engineering, 1/1/97 through 6/30/98.

Mir, Nader, Assistant Professor, Electrical Engineering, 7/1/96 through 6/30/98. Parker, Johne M., Assistant Professor, Mechanical Engineering, 10/21/96 through 6/30/98.

<sup>\*</sup> Special Title Series

## College of Fine Arts

- Fields, Nelson, Assistant Professor (temporary), Theatre, 8/16/96 through 5/15/97.
- Guodace, Kimberly A., Instructor (part-time), Arts Administration, 8/16/96 through 12/15/96.
- Loschmann, John, Assistant Professor (temporary), Theatre, 8/16/96 through 5/15/97.

Nolan, Rebecca, Assistant Professor (temporary), Art, 8/16/96 through 5/15/97. Warrick, Paula, Assistant Professor (temporary), Art, 8/16/96 through 5/15/97.

## College of Human Environmental Sciences

- Black, Laura K., Instructor (part-time), Interior Design, Merchandising and Textiles, 8/16/96 through 12/31/96.
- Crump, Jenniser B., Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
- Devine, Carol Lynn, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
- Hansen, Gary D., Instructor (part-time), Family Studies, 8/16/96 through 12/31/96. Nolan, William Edward, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.

### College of Law

Baesler, Caroline B., Instructor (part-time), Law, 10/1/96 through 2/28/97. Kenkel, Kenneth R., Instructor (part-time), Law, 10/1/96 through 2/28/97. Shier, William Taylor, Instructor (part-time), Law, 10/1/96 through 2/28/97.

## College of Social Work

Collins, Frank, Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

Croney, Elizabeth L., Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

Gomes, Joseph M., Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

## Vice Chancellor for Academic Affairs and Research

Thompson, Anthony, Instructor (part-time), Honors, 8/1/96 through 12/31/96.

#### **B. ADMINISTRATIVE APPOINTMENTS**

## College of Business and Economics

Skinner, Steven J., Professor (with tenure), Management, appointed Acting Director, Management, 7/1/96 through 6/30/97.

## College of Education

Hall, John W., Associate Professor (with tenure), Kinesiology and Health Promotion, appointed Acting Chair, Kinesiology and Health Promotion, 7/1/96 through 6/30/97.

Lindle, Jane Clark, Associate Professor (with tenure), Administration and Supervision, appointed Acting Director, Institute on Educational Reform and the Joint UK/UL Center for the Study of Educational Policy, 9/1/96 through 8/31/97.

## College of Engineering

Shah, Ramesh, Professor (with tenure), Mechanical Engineering, and Chair, Mechanical Engineering, appointed Acting Chair, Engineering Mechanics, 7/1/96 through 6/30/97.

## College of Social Work

Sagan, Edgar, Associate Professor (with tenure), Educational Policy Studies and Evaluation, College of Education, appointed Acting Dean, College of Social Work, 7/1/96 through 6/30/97.

## Vice Chancellor for Academic Affairs and Research

Huff, Betty, University Registrar, appointed Acting Director, Admissions, 7/1/96 through 9/30/96.

### Vice Chancellor for Public Service and Outreach

Ramsey, Derrick, appointed Director for Development and Community Relations, effective 9/15/96.

#### C. REAPPOINTMENTS

## College of Agriculture

Coyne, Mark S., Assistant Professor, Agronomy, 7/1/96 through 12/31/96.

# College of Architecture

Buhrmann, Carol, Assistant Professor, Architecture, 7/1/96 through 6/30/97. Wilson, Mabel O., Assistant Professor, Architecture, 7/1/96 through 6/30/97. Witte, Ronald R., Assistant Professor, Architecture, 7/1/96 through 6/30/97.

## College of Arts and Sciences

Clark, Stephen A., Instructor, Statistics, 8/16/96 through 6/30/97.

Guindon, Lynn D., Instructor (temporary), Classics, 7/1/96 through 6/30/97.

Hardesty, Sharon L., Instructor (part-time), Sociology, 8/16/96 through 12/31/96.

Otis, Melanie, Instructor (part-time), Sociology, 8/16/96 through 12/31/96.

Roeber, Carter A., Assistant Professor (temporary), Anthropology, 7/1/96 through 6/30/97.

Scals, Alvin M., Instructor (part-time), African-American Studies, 8/16/96 through 12/31/96.

### College of Business and Economics

Cooley, Edward L., Instructor (part-time), Management, 8/16/96 through 5/15/97. Miller, Herbert, Instructor (part-time), Management, 8/16/96 through 5/15/97. Myers, Larry W., Instructor (part-time), Management, 8/16/96 through 5/15/97. Payne, Gary, Instructor (part-time), Management, 8/16/96 through 5/15/97.

## College of Communications and Information Studies

Altman, Dennis, Assistant Professor\*, Journalism and Telecommunications, 7/1/96 through 6/30/97.

Hawpe, David V., Instructor (part-time), Journalism and Telecommunications, 8/16/96 through 12/31/96.

Hosbein, Cindy J., Assistant Professor\*, Journalism and Telecommunications, 7/1/96 through 6/30/97.

Lin, Xia, Assistant Professor, Library and Information Science, 7/1/96 through 6/30/97.

Roth, Richard L., Assistant Professor\*, Journalism and Telecommunications, 7/1/96 through 6/30/97.

#### College of Education

Brennan, Sharon, Assistant Professor, Curriculum and Instruction, 1/1/97 through 6/30/98.

Kleinert, Harold L., Adjunct Assistant Professor, Special Education and Rehabilitation Counseling, 7/1/96 through 6/30/99.

#### College of Engineering

Cheeks, Richard, Adjunct Assistant Professor, Civil Engineering, 7/1/96 through 6/30/98.

House, Barry, Adjunct Assistant Professor, Civil Engineering, 7/1/96 through 6/30/98.

<sup>\*</sup> Special Title Series

King, Richard N., Instructor (temporary), Computer Science, 8/16/96 through 5/15/97. Zeng, Xiangwu, Assistant Professor, Civil Engineering, 7/1/96 through 6/30/97.

## College of Fine Arts

Boulden, George, Assistant Professor (temporary), Music, 7/1/96 through 5/31/97.

Braun, Michael, Assistant Professor, Theatre, 7/1/96 through 6/30/97.

Christ, Alice, Assistant Professor, Art, 7/1/96 through 6/30/97.

Clary, Richard, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Everson, Terry, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Friedman, Michael, Assistant Professor, Theatre, 7/1/96 through 6/30/97.

Hallman, Diana, Assistant Professor, Music, 7/1/96 through 6/30/97.

Hammond, Orville, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Hetzel, Lori, Assistant Professor (temporary), Music, 8/16/96 through 5/15/97.

Jackson, Cliff, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Jensen, Robert W., Assistant Professor, Art, 7/1/96 through 6/30/97.

Kac, Eduardo, Assistant Professor, Art, 7/1/96 through 6/30/97.

King, Stephen, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Lugo, Noemi, Assistant Professor\*, Music, 7/1/96 through 6/30/97.

Pinkney, Andrew Jackson, Assistant Professor\*, Theatre, 7/1/96 through 6/30/97.

Planet, Matthew T., Assistant Professor (temporary), Art, 8/16/96 through 5/15/97.

Richards, Allan G., Assistant Professor, Art, 7/1/96 through 6/30/97.

#### College of Human Environmental Sciences

Addo, Kwaku, Assistant Professor, Nutrition and Food Science, 8/16/96 through 6/30/97.

Baldwin, Donna J., Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.

Beert, Daniel C., Assistant Professor\*, Interior Design, Merchandising and Textiles, 8/16/96 through 6/30/97.

Brown, Desmond O., Assistant Professor\*, Nutrition and Food Science, 8/16/96 through 6/30/97.

Burchfield, Meredith, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.

Burke, Ellen, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.

Campbell, Emily, Instructor (part-time), Nutrition and Food Science, 8/16/96 through 5/15/97.

Hayhoe, Celia Ray, Assistant Professor, Family Studies, 8/16/96 through 6/30/97.

Lee, Jaesook, Assistant Professor\*, Family Studies, 8/16/96 through 6/30/97.

Magadanz, Susan, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.

<sup>\*</sup> Special Title Series

- O'Malley, Angeline, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
- Ortwein, Mary C., Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
- Pennington, Rose, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
- Portillo, Margaret B., Assistant Professor, Interior Design, Merchandising and Textiles, 8/16/96 through 6/30/97.
- Sullivan, Cynthia, Instructor (part-time), Nutrition and Food Science, 8/16/96 through 5/15/97.
- Tonkel, Melinda L., Instructor (temporary), Family Studies, 8/16/96 through 5/15/97.
- White, Vickie, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
  Wilson, Jan, Instructor (part-time), Family Studies, 8/16/96 through 12/31/96.
  Wilson, Mary W., Instructor (part-time), Nutrition and Food Science, 8/16/96 through 5/15/97.

## College of Law

Chatfield, Lloyd C. II, Instructor (part-time), Law, 10/1/96 through 2/28/97. Crittenden, Roger L., Instructor (part-time), Law, 10/1/96 through 2/28/97. Gilbert, Theresa C., Instructor (part-time), Law, 10/1/96 through 2/28/97. Graham, Janet M., Instructor (part-time), Law, 10/1/96 through 2/28/97. Humphreys, Gene L., Instructor (part-time), Law, 10/1/96 through 2/28/97. Jones, Judith K., Instructor (part-time), Law, 10/1/96 through 2/28/97. Kendrick, Kathryn B., Instructor (part-time), Law, 10/1/96 through 2/28/97. Moorman, Richard Keith, Instructor (part-time), Law, 10/1/96 through 2/28/97.

## College of Social Work

Weir, Ivan R., Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

### Graduate School

Burnett, Robert E., Assistant Professor, Patterson School of Diplomacy, 7/1/96 through 6/30/98.

## Vice Chancellor for Academic Affairs and Research

Cornelius, John W., Instructor (part-time), Honors, 8/1/96 through 12/31/96. Tunberg, Jennifer M., Instructor (part-time), Honors, 8/1/96 through 12/31/96.

#### D. PROMOTIONS WITHOUT TENURE

## College of Business and Economics

Holbein, Gordon F., from Instructor, Management, to Assistant Professor, Management, 7/1/96 through 6/30/97.

#### College of Communications and Information Studies

Bakhshi, Shiv K., from Instructor, Journalism and Telecommunications, to Assistant Professor, Journalism and Telecommunications, 7/1/96 through 6/30/97.

#### **E. CHANGES**

## College of Engineering

Fleckenstein, Leo J., to change from Transportation Research Investigator Principal, Kentucky Transportation Center, to Transportation Research Investigator Senior, Kentucky Transportation Center, effective 9/1/96.

Graves, Ronald C. II, to change from Transportation Research Engineer III, Kentucky Transportation Center, to Transportation Research Engineer IV, Kentucky Transportation Center, effective 9/1/96.

## Office of the Chancellor

Chapman, James P., to change from Assistant Chancellor, to Vice Chancellor for Public Service and Outreach, effective 7/1/96.

Derickson, Ralph, to change from Director for Public Relations, to Director for Public and Alumni Affairs, effective 7/1/96.

Swift, Louis J., to change from Dean of Undergraduate Studies, to Associate Vice Chancellor for Academic Affairs and Dean of Undergraduate Studies, effective 8/1/96.

Watt, David S., to change from Vice Chancellor for Research and Graduate Studies, to Vice Chancellor for Academic Affairs and Research, effective 7/1/96.

#### F. TERMINAL REAPPOINTMENTS

## College of Business and Economics

Goh, Chon-Huat, Assistant Professor, Management, 7/1/96 through 6/30/97. Lee-Post, Anita, Assistant Professor, Management, 7/1/96 through 6/30/97.

### College of Human Environmental Sciences

Miller-Spillman, Kimberly, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/96 through 6/30/97.

Okorley, Maria Anastasakis, Assistant Professor, Interior Design, Merchandising and Textiles, 7/1/96 through 6/30/97.

#### G. LEAVES OF ABSENCE

## College of Agriculture

- Colvin, Kara L., County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 9/1/96 through 12/31/96.
- Dunwell, Winston C., Associate Extension Professor (with tenure), Horticulture and Landscape Architecture, Sabbatical leave with full salary, 4/1/97 through 9/30/97.
- Ewbank, Lourrae, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 1/1/97 through 12/31/97.
- Petitt, Mike, County Extension Agent, Cooperative Extension Service, Educational leave with half salary, 8/23/96 through 8/22/97.
- Stromatt, Mary Carol, County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 8/20/96 through 2/18/97.

## College of Architecture

Porter, Roy M., Assistant Professor, Architecture, Educational leave without pay, 7/1/96 through 6/30/97.

## College of Arts and Sciences

- Helmes, Kurt, Associate Professor (with tenure), Mathematics, Leave without pay, 7/1/96 through 6/30/97.
- Smith, Patricia, Associate Professor (with tenure), Philosophy, Leave without pay, 1/1/97 through 6/30/97.

## College of Education

- Noland, Melody, Associate Professor (with tenure), Kinesiology and Health Promotion, Sabbatical leave with full salary, 7/1/96 through 12/31/96.
- Worell, Judith, Professor (with tenure), Educational and Counseling Psychology, Sabbatical leave with full salary, 7/1/97 through 12/31/97.

## College of Engineering

- Brock, Louis M., Professor (with tenure), Engineering Mechanics, Sabbatical leave with full salary, 1/1/97 through 6/30/97.
- Fine, H. Alan, Associate Professor (with tenure), Chemical and Materials Engineering, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

## College of Fine Arts

Hammond, Orville, Assistant Professor\*, Music, Educational leave without pay, 7/1/96 through 6/30/97.

#### H. LEAVES OF ABSENCE CHANGES

## College of Arts and Sciences

Stockbridge, Richard H., Associate Professor (with tenure), Statistics, to rescind Sabbatical leave with half salary, 7/1/96 through 6/30/97.

## College of Business and Economics

Whittler, Tommy E., Associate Professor (with tenure), Management, to change Sabbatical leave with half salary, 7/1/96 through 6/30/97, to Sabbatical leave with full salary, 1/1/97 through 6/30/97.

## College of Fine Arts

Henderson, Russell, Associate Professor\* (with tenure), Theatre, to change Sabbatical leave with full salary, 7/1/96 through 12/31/96, to Sabbatical leave with full salary, 7/1/97 through 12/31/97.

<sup>\*</sup> Special Title Series

## MEDICAL CENTER

## I. BOARD ACTION

#### A. ACADEMIC APPOINTMENTS

## College of Medicine

Blake, Suzanne M., Clinical Assistant Professor, Family Practice, 9/1/96 through 6/30/97.

Bolek, Timothy W., Assistant Professor, Radiation Medicine, 8/21/96 through 6/30/97.

Bradley, Barrett B., Associate Professor (voluntary), Obstetrics and Gynecology, 9/1/96 through 6/30/97.

Brown, Daniel C., Assistant Professor, Pediatrics, 8/22/96 through 6/30/97.

Bush, Moria N., Clinical Assistant Professor, Pediatrics, 10/01/96 through 6/30/97.

Cavanaugh, Cheryl L., Clinical Assistant Professor, Pediatrics, 9/1/96 through 6/30/97.

Cuyegkeng, Thomas X., Assistant Professor\*, Family Practice, 9/18/96 through 6/30/97.

Doherty, Dennis E., Professor (without tenure), Internal Medicine, 8/21/96 through 8/20/97.

Emery, Jacqueline A., Assistant Professor, Pathology and Laboratory Medicine, 8/21/96 through 6/30/97.

Fisher, J. R., Assistant Professor, Surgery, 8/21/96 through 6/30/97.

Fowlkes, John L., Assistant Professor, Pediatrics, 10/01/96 through 6/30/97.

Hartford, James M., Assistant Professor, Surgery, 9/1/96 through 6/30/97.

Miller, Beth A., Assistant Professor, Internal Medicine, 9/1/96 through 6/30/97.

Miller, S. J., Clinical Instructor, Anesthesiology, 8/21/96 through 6/30/97.

Nath, Rajneesh, Assistant Professor, Internal Medicine, 9/18/96 through 6/30/97.

Penix, LaRoy P., Assistant Professor, Neurology, 9/18/96 through 6/30/97.

Swain, Julie A., Professor (with tenure), Surgery, effective 8/21/96.

Thrailkill, Kathryn M., Associate Professor (without tenure), Pediatrics, 9/1/96 through 6/30/97

Young, William F., Assistant Professor\*, Emergency Medicine, 10/01/96 through 6/30/97.

<sup>\*</sup>Special Title Series

#### **B. ADMINISRATIVE REAPPOINTMENTS**

## College of Medicine

Davidson, Jeffrey N., Professor, Microbiology and Immunology, named
Associate Director for Research, Markey Cancer Center, effective 7/1/96.
Miller, Frank C., Professor, Obstetrics and Gynecology, named Chair, Obstetrics and Gynecology, 9/1/96 through 8/31/02.

#### C. JOINT APPOINTMENTS

## College of Dentistry

Laine-Alava, Maija T., Professor (with tenure), Oral Health Practice, joint appointment as Professor (without tenure), College of Medicine, Department of Physiology, effective 9/18/96.

#### D. REAPPOINTMENTS

## College of Medicine

Calico, Forrest W., Associate Professor (part-time), Family Practice, 7/1/96 through 6/30/97.

Walker, Betty A., Associate Professor\* (without tenure), Family Practice, 7/1/96 through 6/30/97.

#### E. PROMOTIONS

# College of Medicine

Schleenbaker, Randal E., Assistant Professor\*, Physical Medicine and Rehabilitation, to Associate Professor\* (with tenure), Physical Medicine and Rehabilitation, effective 8/21/96.

## F. CHANGES

## College of Medicine

Booze, Rosemarie M., from Associate Professor (with tenure), Pharmacology, joint appointment as Assistant Professor, Graduate Center for Toxicology, to

<sup>\*</sup>Special Title Series

Associate Professor (with tenure), Anatomy and Neurobiology, joint appointment as Assistant Professor, Graduate Center for Toxicology, effective 8/21/96.

Davis, Gregory J., from Associate Professor (temporary), Pathology and Laboratory Medicine, to Associate Professor\* (without tenure), Pathology and Laboratory Medicine, 8/21/96 through 6/30/97.

## College of Pharmacy

Crooks, Peter A., from Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, sabbatical leave at half salary, 8/1/96 through 7/31/97, to Professor (with tenure), joint appointment as Professor (without tenure), Graduate Center for Toxicology, sabbatical leave at half salary, 9/1/96 through 8/31/97.

#### G. LEAVES OF ABSENCE

## College of Medicine

Carr, James G., Assistant Professor, Anesthesiology, sick leave at full salary, 7/16/96 through 10/16/96.

Coyne, Carolyn M., Assistant Professor\*, Pathology and Laboratory Medicine, sick leave at full salary, 7/15/96 through 8/26/96.

Scott, Samuel R., Professor\*, Internal Medicine, sick leave at full salary, 4/29/96 through 9/1/96.

## H. EARLY RETIREMENTS

### Chancellor's Office

Eldridge, Millard R., Lab Animal Tech III, Laboratory Animal Resources, after 6.1 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 6/21/96.

# College of Dentistry

Wekstein, Merle B., Geriatric Oral Health Coordinator, Oral Health Science, after 8.9 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 7/31/96.

## College of Medicine

<sup>\*</sup>Special Title Series

Jewell, Mary C., Medical Assistant II, Internal Medicine/AMS, after 32.75 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/9/96.

## University Hospital

Burke, Eleanor C., Director Assistant Billing, Patient Accounts, after 18.6 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/16/96.

Donahue, Louise M., LPN II/Inpatient, Nursing: 7 West, after 15.1 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/31/96.

#### I. POST-RETIREMENT APPOINTMENTS

### Center on Aging

Wekstein, Merle B., Project Coordinator, 8/1/96 through 10/31/96.

## College of Dentistry

Gaitskill, Alan K., TV/Film Production Manager, Oral Health Science, 9/1/96 through 6/30/97.

# College of Medicine

Cotter, William B., Professor (part-time), Anatomy and Neurobiology, 10/01/96 through 6/30/97.

Mabry, C. C., Professor (part-time), Pediatrics, 7/1/96 through 6/30/97.

## II. ADMINISTRATIVE ACTION

#### A. ACADEMIC APPOINTMENTS

## College of Allied Health Professions

Aisenbrey, Jeannie, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

Altobella, Michael A., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.

Angeline, Jeffrey A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

Armes, Sally, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Aulick, Kimberly D., Instructor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Ballard, Francis M., Instructor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Barnes, Terri, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Belanger, Rondelle, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Benjamin, Ruth, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Bishop, Heather L., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Blackwell, Kimberly C., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Blondin-Chase, Mary D., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Blues, Jackelyn N., Instructor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Braunecker, Kristi, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Brown, Teresa A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Browne, J. T., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Bush, Kendra, Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Campbell, Courtney E., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Campbell, Kim K., Assistant Adjunct Professor, Clinical Sciences, 8/21/96 through 6/30/97.
- Canavan, Stuart, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Carloss, Harry W., Assistant Professor (voluntary), Health Services, 9/23/96 through 6/30/97.
- Castle, Damie W., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Chapman, Darwin L., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Cobb, Tim W., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Cowen, Greg L., Instructor (voluntary), Health Services, 9/18/96 through 6/30/97.
- Cox, Carrie J., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Culbert, Elaine M., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Cummings, Julia K., Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Curtis, Jennifer, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Dabelstein, Jennifer, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Daum, Katherine K., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Decker, David D., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Dehner, Lisa, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Dischar, Dawn A., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Dixon, Jerome A., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Droege, Marie, Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Dull, Ann E., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Dye, Gaye, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Frieswyk, David L., Instructor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Gable, Theresa O., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Gale, Jerrold T., Assistant Professor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Geren, Jack W., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Goodman, Donna D., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Graham, James M., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Grentz, Werner, Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Grigsby, David A., Assistant Professor (voluntary), Health Services, 9/18/96 through 6/30/97.
- Hall, Gwendolyn S., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Hanna, David E., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Hansford, Pamela P., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Hawk, Barbara, Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Hawkins, James R., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Hohman, Kim, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Holder, Alice D., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Hundley, Claire, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Kent, George D., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- King, Candace B., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- King, Jarrod, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Kruger, George W., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Lampert, Sandy, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Lawson, Susanna J., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Lerner, Kathy, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Lichty, Danyse M., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Lineberry, Tristan L., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Lloyd, Michele, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Looney, Lori L., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Lorentz, Ellen, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Luedtke-Hoffman, Kathleen A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- MacDonald, Marsha, Instructor (voluntary), Health Services, 9/18/96 through 6/30/97.
- MacSwords, James R., Instructor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Marot, Patrick, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Marshall, Sunni, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Maupin-Wheelock, Carol, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Maynard, Nadine, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- McClure, Rick R., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- McQuain, Alicia A., Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Meinke, Albert H., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Miller, Traci D., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Morris, Sandra C., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Murph, Emily K., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Murphy, Susan, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Newsome, Sylvia J., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Nichols, Deana, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Orgel, Mark H., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Owens, Beatrice H., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Papanikolaou, Tomi C., Lecturer (part-time), Clinical Sciences, 8/21/96 through 6/30/97.
- Patey, Jeff, Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Pauly, Daryl L., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Pierson, Sandra, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Porter, Karissa J., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Price, David P., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Price, Nicole S., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Prim, Jeana, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Prince, Steven R., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Rhea, Allen R., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Rochelle, William K., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Roeback, Jason N., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Rohrer, Nancy, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Roman, Nancy S., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Royalty, Jaime, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Rust, Michael T., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Satterfield, Mary G., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Schildmeyer, Laurie A., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Schmidt, Nanette M., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Schmits, Sandra A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Shelton, Linda, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Shieh, Woan-Ru M., Assistant Research Professor, Clinical Sciences, 9/1/96 through 6/30/97.
- Sidebottom, Mary A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Simpson, Amy, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Smith, Elizabeth R., Instructor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Smith, Lisa A., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Snider, Bruce A., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Spjuth, Sven O., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Stapleton, Margaret P., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Steinman, Margaret N., Assistant Adjunct Professor, Clinical Sciences, 8/21/96 through 6/30/97.
- Stephens, Judy, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Swedersky, Karen L., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Thomas, Dorothy E., Assistant Professor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Traum, Clarence C., Assistant Professor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Ward-Rhodes, Tabatha, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Warner, Kendra J., Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Wernersbach, Peg B., Instructor (voluntary), Clinical Sciences, 9/18/96 through 6/30/97.
- Wert, Celeste V., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Wigginton, Larry S., Assistant Professor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Willard, Eileen, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Williams, Cindy R., Instructor (voluntary), Health Services, 8/21/96 through 6/30/97.
- Wolverton, Lloyd K., Instructor (voluntary), Health Services, 9/19/96 through 6/30/97.
- Wright, Debra J., Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.
- Zenni, Lisa, Instructor (voluntary), Clinical Sciences, 8/21/96 through 6/30/97.

## College of Dentistry

- Anderson, Steven A., Assistant Professor (voluntary), Oral Health Practice, 8/21/96 through 6/30/97.
- Baker, Chris J., Assistant Professor (part-time), Oral Health Practice, 8/21/96 through 6/30/97.
- Neal, Lisa M., Lecturer (part-time), Oral Health Practice, 8/21/96 through 6/30/97.
- Vawter, Rebecca S., Assistant Professor (part-time), Oral Health Science, 8/21/96 through 6/30/97.

#### College of Medicine

- Bartholomew, Kenneth K., Assistant Professor (voluntary), Diagnostic Radiology, 8/21/96 through 6/30/97.
- Bowers, Timothy K., Assistant Professor (voluntary), Internal Medicine, 9/18/96 through 6/30/97.
- Cooper, William, Assistant Professor (voluntary), Diagnostic Radiology, 9/1/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Festerling, Buddy K., Assistant Professor (voluntary), Family Practice, 8/21/96 through 6/30/97.
- Gagliardi, Antonio R., Assistant Research Professor, Obstetrics and Gynecology, 9/1/96 through 6/30/97.
- Halbert, Dennis, Assistant Professor (voluntary), Diagnostic Radiology, 8/21/96 through 6/30/97.
- Linville, Linda H., Assistant Adjunct Professor, Behavioral Science, 9/1/96 through 6/30/97.
- Lykins, Walter S., Assistant Professor (voluntary), Anesthesiology, 9/18/96 through 6/30/97.
- Mahmood, Mansoor, Assistant Professor (voluntary), Internal Medicine, 8/21/96 through 6/30/97.
- Pajel, Concepcion M., Assistant Professor (voluntary), Psychiatry, 8/21/96 through 6/30/97.
- Powell, Robert O., Assistant Professor (voluntary), Surgery, 8/21/96 through 6/30/97.
- Reed, Deborah B., Assistant Research Professor, Preventive Medicine and Environmental Health, 10/01/96 through 6/30/97.
- Rigby, David B., Assistant Professor (voluntary), Diagnostic Radiology, 9/1/96 through 6/30/97.
- Rock, Peter T., Assistant Professor (voluntary), Family Practice, 8/21/96 through 6/30/97.
- Salahuddin, Abu N., Assistant Professor (voluntary), Internal Medicine, 8/21/96 through 6/30/97.
- Stephenson, Joel C., Assistant Professor (voluntary), Internal Medicine, 8/21/96 through 6/30/97.
- Swaminathan, Renuka, Assistant Professor (voluntary), Family Practice, 8/21/96 through 6/30/97.
- Wadhwa, Pathik D., Assistant Professor, Behavioral Science, joint appointments as Assistant Professor, Obstetrics and Gynecology, and Assistant Professor, College of Arts and Sciences, Department of Psychology, 9/1/96 through 6/30/97.

## College of Nursing

Akey, Jackie C., Instructor (part-time), 8/21/96 through 6/30/97.

Barnum, Nancy C., Instructor\*, 8/21/96 through 6/30/97.

Diebold, Claudia M., Assistant Professor\*, 8/21/96 through 6/30/97.

Furlong, Kelley A., Instructor (part-time), 8/21/96 through 12/31/96.

Kloha, Nancy R., Clinical Instructor, 9/1/96 through 6/30/97.

Whitaker, Mary H., Instructor (voluntary), 8/21/96 through 6/30/97.

Williams, Myra L., Instructor (part-time), 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

York, Laura S., Instructor (part-time), 8/21/96 through 6/30/97.

## College of Pharmacy

Armstrong, Todd A., Instructor (voluntary), 9/18/96 through 6/30/97. Dewitt, Betsy, Assistant Professor (temporary), 8/21/96 through 6/30/97. Fort, Patience N., Instructor (voluntary), 8/21/96 through 6/30/97. Kessler, Everett R., Instructor (voluntary), 8/21/96 through 6/30/97. McKenna, Betty, Instructor (voluntary), 9/18/96 through 6/30/97. Pipkin, Michael E., Instructor (voluntary), 8/21/96 through 6/30/97. Teague, A. C., Instructor (voluntary), 9/18/96 through 6/30/97. Walton, Edwin J., Instructor (voluntary), 9/18/96 through 6/30/97.

## Medical Center Library

Heister, Tagalie A., Librarian III, 5/1/96 through 6/30/96.

#### **B. JOINT APPOINTMENTS**

## College of Medicine

Langefeld, John R., Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, Preventive Medicine and Environmental Health, 8/21/96 through 6/30/97.

Maragos, William F., Assistant Professor, Neurology, joint appointment as Assistant Professor, Anatomy and Neurobiology, 9/18/96 through 6/30/97.

Meigonni, Ali, Clinical Assistant Professor, Radiation Medicine, joint appointment as Clinical Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 8/21/96 through 6/30/97.

## College of Pharmacy

Gelhot, Aimee, Assistant Adjunct Professor, joint appointment as Assistant Adjunct Professor, College of Medicine, Department of Internal Medicine, 8/21/96 through 6/30/97.

#### Research and Graduate Studies

Jung, Ranu, Assistant Research Professor, Center for Biomedical Engineering, joint appointment as Assistant Research Professor, College of Medicine, Department of Physiology, 7/1/96 through 6/30/97.

<sup>\*</sup>Special Title Series

#### C. REAPPOINTMENTS

## College of Medicine

Caborn, David N., Assistant Professor, Surgery, 7/1/96 through 6/30/97.

Ibbott, Geoffrey S., Assistant Professor, Radiation Medicine, joint appointment as Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 7/1/96 through 3/20/97.

Ireland, Mary L., Assistant Professor (part-time), Surgery, joint appointment as Assistant Professor (part-time), Family Practice, 7/1/96 through 6/30/97.

Papanikolaou, Nikos, Clinical Assistant Professor, Radiation Medicine, joint appointment as Clinical Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 7/1/96 through 6/30/97.

Ribes, Julie A., Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Assistant Professor, College of Allied Health Professions, Department of Clinical Sciences, 7/1/96 through 6/30/97.

## Medical Center Library

Heister, Tagalie A., Librarian III, 7/1/96 through 6/30/97.

## Research and Graduate Studies

Wang, Zhigang, Assistant Professor, Graduate Center for Toxicology, 7/1/96 through 6/30/97.

#### D. PROMOTIONS WITHOUT TENURE

## College of Medicine

Kroeker, Karl A., Clinical Instructor, Anesthesiology, to Clinical Assistant Professor, Anesthesiology, 8/21/96 through 6/30/97.

#### E. CHANGES

# College of Allied Health Professions

Deem, Jodelle F., from Assistant Professor, Clinical Sciences, joint appointment as Assistant Professor, College of Medicine, Department of Surgery, to Assistant Professor\*, Clinical Sciences, joint appointment as Assistant Professor\*, College of Medicine, Department of Surgery, 8/21/96 through 6/30/97.

<sup>\*</sup>Special Title Series

- Kuperstein, Janice, from Instructor (voluntary), Clinical Sciences, to Assistant Professor\*, Clinical Sciences, 8/21/96 through 6/30/97.
- Troyer, Henry, from Lecturer, Clinical Sciences, to Assistant Professor\*, Clinical Sciences, 9/1/96 through 6/30/97.

## College of Medicine

- Bacha, Fadi, from Assistant Professor (part-time), Internal Medicine, 7/1/96 through 6/30/97, to Instructor (part-time), Internal Medicine, 7/1/96 through 6/30/97.
- Brennan, Beverly, A., from Assistant Professor (part-time), Internal Medicine, 7/1/96 through 6/30/97, to Instructor (part-time), Internal Medicine, 7/1/96 through 6/30/97.
- Finical, Stephan J., from Assistant Professor, Surgery, 7/1/96 to 6/30/97, to Assistant Professor, Surgery, 7/18/96 through 6/30/97.
- Kouns, Nicholas S., from Assistant Professor (part-time), Internal Medicine, 7/1/96 through 6/30/97, to Instructor (part-time), Internal Medicine, 7/1/96 through 6/30/97.
- Martin, Julia E., from Clinical Assistant Professor, Emergency Medicine, to Assistant Professor\*, Emergency Medicine, 10/01/96 through 6/30/97.
- Murphy, Paul J., from Assistant Professor (part-time) (temporary), Pathology and Laboratory Medicine, 3/6/96 through 6/30/96, to Assistant Professor (part-time) (temporary), Pathology and Laboratory Medicine, 7/1/96 through 6/30/97.
- Nelson, Christopher T., from Assistant Professor, Pediatrics, 7/1/96 through 6/30/97, to Assistant Professor, Pediatrics, 7/8/96 through 6/30/97.
- Porter, Nada M., from Assistant Research Professor, Pharmacology, to Assistant Professor, Pharmacology, 9/1/96 through 6/30/97.
- Rodgers, David W., from Assistant Professor, Biochemistry, 6/1/96 through 6/30/96, to Assistant Professor, Biochemistry, 7/1/96 through 6/30/97.
- Sawaya, Boutros P., from Assistant Professor, Internal Medicine, to Assistant Professor\*, Internal Medicine, 9/1/96 through 6/30/97.
- Smith, Simon A., from Assistant Professor (temporary), Obstetrics and Gynecology, to Assistant Professor, Obstetrics and Gynecology, 9/1/96 through 6/30/97.

# College of Nursing

- Akers, Mary C., from Assistant Professor (voluntary), to Assistant Professor (part-time), 9/18/96 through 6/30/97.
- Rippetoe Freeman, Patricia E., from Instructor (part-time), joint appointment as Instructor (part-time), College of Dentistry, Department of Oral Health

<sup>\*</sup>Special Title Series

Science, to Assistant Professor (part-time), College of Pharmacy, joint appointments as Instructor (part-time), College of Dentistry, Department of Oral Health Science, and Instructor (part-time), College of Nursing, 8/21/96 through 6/30/97.

## Research and Graduate Studies

Patwardhan, Abhijit A., from Assistant Research Professor, Center for Biomedical Engineering, to Assistant Professor, Center for Biomedical Engineering, joint appointment as Assistant Professor, College of Medicine, Department of Internal Medicine, 8/21/96 through 6/30/97.

## University Hospital

Birdwhistell, Mark D., from Hospital Managed Care Network Director, to Managed Care and Network Development Director, effective 3/3/96.

#### F. TERMINAL REAPPOINTMENTS

## College of Allied Health Professions

Alexander, Lynn K., Assistant Professor\*, Clinical Sciences, 8/20/96 through 8/19/97.

## College of Medicine

Goud, Niranjan S., Assistant Research Professor, Radiation Medicine, joint appointments as Assistant Research Professor, Graduate Center for Toxicology, and Assistant Research Professor, Microbiology and Immunology, 7/1/96 through 6/30/97.

Rastogi, Amita, Clinical Assistant Professor, Surgery, 7/1/96 through 6/30/97.

## College of Pharmacy

Houdi, Abdulghani A., Assistant Research Professor, 7/1/96 through 6/30/97.

<sup>\*</sup>Special Title Series

Office of the President September 17, 1996
Members, Board of Trustees:
PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS
<u>Recommendation</u> : that the following proposed amendment to the Governing Regulations of the University of Kentucky be accepted. (Note: Proposed additions are underlined; proposed deletions are bracketed).
Amendment #1 - change Part III-2 last paragraph to read
In the event of a vacancy in the Office of President or disability of the President, the [Assistant to the President for Administrative Affairs] <u>Vice President for University Relations</u> shall exercise the functions of the President in the absence of the appointment of an interim President by the Board of Trustees.
<u>Rationale</u> : This change is necessary to reflect recent changes in administrative assignments in Central Administration.
Action taken:  Approved  Disapproved  Other

### PR 3 B

Office of the President September 17, 1996

Members, Board of Trustees:

### NAMING OF BUILDING AND COMPLEX THE HILARY J. BOONE TENNIS CENTER

<u>Recommendation:</u> that the Board of Trustees approve the name change of "The Hilary J. Boone Indoor Tennis Center" to "The Hilary J. Boone Tennis Center" for the present indoor tennis building and the new outdoor tennis stadium.

Background: Mr. Hilary J. Boone was captain of the tennis team in 1940. He previously contributed \$250,000 to the University of Kentucky Athletic Association to build the indoor tennis center. To recognize that gift the Board of Trustees at the March 4, 1986 meeting approved naming the indoor tennis center in his honor. Mr. Boone has now committed \$400,000 to the University of Kentucky Athletic Association to build a new outdoor tennis stadium adjacent to the indoor tennis center. C. M. Newton, Director of Athletics, recommended that the entire tennis complex be named the Hilary J. Boone Tennis Center in Mr. Boone's honor.

In accordance with University policy, the Committee on Naming University Buildings has made its recommendation to the Director of Athletics who recommends to the President and Board of Trustees that the tennis center be named THE HILARY J. BOONE TENNIS CENTER.

		<del></del>	
Action taken:	Approved	☐ Disapproved	Other

## PR 4A

Office of the President September 17, 1996
Members, Board of Trustees:
CANDIDATES FOR DEGREES COMMUNITY COLLEGE SYSTEM
<u>Recommendation</u> : that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.
<u>Background</u> : At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.
Action taken: Approved Disapproved Other

# ASHLAND COMMUNITY COLLEGE

# Associate in Arts

BARBER CATHY J
BOYD KAREN E
CARROLL DEBRA
DYER MARK L
GILBERT ROBIN R
HAMILTON LORI A
HEDGER DANNY J
KESSINGER PAULETTA S
MCFARLAND DESARE
PARKER ERICA L
REDOUTEY STEPHANIE L
REYNOLDS AMY E
WOMACK KIMBERLY A

# Associate in Science

ADKINS MATTHEW G COCHRAN DAVID M HICKS GREGORY P HILL CLAYTON C MALLOY CHARLES M WEBER EDWARD I

# Associate in Applied Science

KEETON LARRY J PHIPPS HEATHER D RUNYONS GARY L THOMAS ROBIN D

# ELIZABETHTOWN COMMUNITY COLLEGE

# Associate in Arts

BERNDT SUSAN H BUTLER SHARRON GALE COBB BRIAN MICHAEL DEJESUS TINA DIXON MARTHA ELAINE

MATHIAS ALLICE BERNADETTE HARRISON FREDRICK RAY SR POLICICCHIO BRIDGET FOLEY EFEVRE KATHRYNE ALISSA HEDGESPETH BETTY RUTH JORDAN CHENEDA DENISE O'BRYAN JOHN CHADWICK PRIDDY JENNIFER GAYLE **HENSON JAMES DONALD** POWELL JANICE ATWELL HAMLIN PAMELA DENISE WHITMER PEGGY JANET HORNBACK MARLA GAIL ROACH SHIRLEY MARIE WANKERL CINDY FAYE PYRON JOHN WILLIAM JOHNSON MICHAEL R **MILBY RITA PARKER** YIENGST HILLARY J EFIRD ANGELYN J PIKE HELEN PIATT **MILLER AARON T** APIA LUZ C

# Associate in Science

COOPER RONALD ALLEN
EMMERLING JENNIFER NICOLE
FORTUNE-CANADA DEON C
JOHNSON DANIEL H
KEFFER STEVEN JAMES
LYNCH CHARLES JAMES
MITCHELL SHERRITA
MOGHADAMIAN BEHROUZ
PASHMFOROSH TERESA MILLER
PHILLIPS LYNN THOMPSON
RAMSEY GROVE MARSHALL JR
RAWLINGS KARIN ELORNE
WILLIAMS KENT FRANKLIN

# Associate in Applied Science

ANGELINE MICHAEL CHRISTIAN BRAY GREGORY HOLLAND ARCHIE S

MCCULLUM TERRI R MCDONALD JANET LYNN ROBERTSON WILLIAM GREGORY RUSSO THOMAS P

HAZARD COMMUNITY COLLEGE

# Associate in Arts

BAKER JOYELL
BEGLEY GIGI
COMBS DARLENE
COUCH KIESHA
FROST PATRICIA
SANDLIN JOELLA
SPARKMAN DEBRA
WILLIAMS ALAN DALE

# Associate in Science

JONES CHAD RILEY KELLIE ROARK EDITH YOUNG TAMMY

# HENDERSON COMMUNITY COLLEGE

# Associate in Arts

BUZZARD YVONNE CRAWFORD LAURA CRISS DIANA GUNTER TROY HAYS VICKY HITE ERICA KELLEN TANIA KING GREGORY O'NAN TREVA STEWART JACK

AND COMMUNITY COLLEGE

ciate in Arts

BER CATHY J D KAREN E (ROLL DEBRA ER MARK L BERT ROBIN R WILTON LORI A UILTON LORI A DGER DANNY J SSINGER PAULETTA S SCOOTTE STEEPHANIE L SCOOTTE STEEPHANIE L SCOOTTE STEEPHANIE L SONOTTE STEE

ssociate in Science

DKINS MATTHEW G CCHRAN DAVID M HICKS GREGORY P HILL CLAYTON C MALLOY CHARLES M MALLOY CHARLES M

Associate in Applied Science

KEETON LARRY J PHIPPS HEATHER D RUNYONS GARY L THOMAS ROBIN D ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts

BERNDT SUSAN H BUTLER SHARRON GALE

POLICICCHIO BRIDGET FOLEY MATHIAS ALLICE BERNADETTE LEFEVRE KATHRYNE ALISSA HARRISON FREDRICK RAY SR O'BRYAN JOHN CHADWICK PRIDDY JENNIFER GAYLE WHITMER PEGGY JANET JORDAN CHENEDA DENISE POWELL JANICE ATWELL HEDGESPETH BETTY RUTH ROACH SHIRLEY MARIE WANKERL CINDY FAYE PYRON JOHN WILLIAM HENSON JAMES DONALD HORNBACK MARLA GAIL HAMLIN PAMELA DENISE YIENGST HILLARY J JOHNSON MICHAEL R MILBY RITA PARKER PIKE HELEN PIATT MILLER AARON T EFIRD ANGELYN J TAPIA LUZ C

Associate in Science

COOPER RONALD ALLEN
EMMERLING JENNIFER NICOLE
FORTUNE-CANADA DEON C
FORTUNE-CANADA DEON C
JOHNSON DANIEL H
JOHNSON DANIEL H
LYNCH CHARLES JAMES
LYNCH CHARLES JAMES
LYNCH CHARLES JAMES
NITCHELL SHERRITA
MITCHELL SHERRITA
MOGHADAMIAN BEHROUZ
MOGHADAMIAN BEHROUZ
MOGHADAMIAN THOMPSON
PHILLIPS LYNN THOMPSON
PHILLIPS LYNN THOMPSON
PHILLIPS LYNN THOMPSON
RAMSEY GROVE MARSHALL JR
RAWLINGS KARIN ELORNE
RAWLINGS KARIN ELORNE
RAWLINGS KARIN FRANKLIN

Associate in Applied Science

ANGELINE MICHAEL CHRISTIAN BRAY GREGORY

MCCULLUM TERRI R MCDONALD JANET LYNN ROBERTSON WILLIAM GREGORY ROBERTSON WILLIAM GREGORY RUSSO THOMAS P

HAZARD COMMUNITY COLLEGE

Associate in Arts

BAKER JOYELL BEGLEY GIGI COMBS DARLENE COUCH KIESHA FROST PATRICIA SANDLIN JOELLA SPARKMAN DEBRA WILLIAMS ALAN DALE

Associate in Science

JONES CHAD RILEY KELLIE ROARK EDITH YOUNG TAMMY HENDERSON COMMUNITY COLLEGE

Associate in Arts

BUZZARD YVONNE CRAWFORD LAURA CRISS DIANA GUNTER TROY HAYS VICKY HITE ERICA KELLEN TANIA KING GREGORY O'NAN TREVA STEWART JACK

FLYNN FRANK
GITTINGS MICHAEL
HERNDON TARA
MOLESTINA PEPE
RUSSELL JAMIE
SCHREYUOGEL KJELL
SCHULTZ KRISTIAN
SMITH STEVEN
STEVENS RICHARD JR

LEXINGTON COMMUNITY COLLEGE

**TEAGUE CYNTHIA** 

Associate in Arts

CHARALAMBOUS ANDREAS
CHILDRE JEFFRE D
COFFEY JANET D
CORDON TERESA S
CORMAN CHRIS H
FOX NEWELL D
GRAINGER DAVITA A
HARRISON RACHEL R
HERNANDEZ ADELA D
HORN ROBERT C
JACKSON MELANIE P
MOORE ALISSA E
MULBERRY GRETA P

Associate in Science

BOYLE KATHRYN L BRADY KIM M BROWN STACY M JACKSON KRISTI L MOORE VALERIA H PIERRE ROSA M Associate in Applied Science

BOONE VICKI L CLARK SAMUEL A

COOK MARY T
FOSTER MICHAEL D
FRYE ERNEST L
GLOCKNER MATTHEW F
GRIGSBY ANDREA L
JOHNSON STEPHEN K
KEMP DARLENE A
MATHENY CATHERINE H
NORTHRUP JAMES M
SHARP HEATHER D
SPARKS JAMES C
STEPHENS LUCINDA L

MADISONVILLE COMMUNITY COLLEGE

Associate in Arts

HARDIN KATHY HOLLIS KELLY JOHNSON TIMOTHY SANDEFUR MAURICE WILLIAMS AMBER

Associate in Science

BRUCE RITA GILL ANGELA MARSHALL MARTINA MORRIS SUSAN SUNDERLAND SHAWNA THORPE KENNUIA Associate in Applied Science

AUSTIN KENNETH DAVIS EARL LUTZ JASON ROSEMAN NICOLE

MAYSVILLE COMMUNITY COLLEGE

Associate in Arts

**FULTON LORI C** 

Associate in Applied Science

FERRELL ANGELA L HAMILTON TRACY L HICKS MARY K MAY LAREINA A STAGGS ALVIN L WATSON DUSTIN C OWENSBORO COMMUNITY COLLEGE

Associate in Arts

BOARMAN SHANNON W GAYNOR STEPHAINE A MOORE CHRISTINA M BARNETT MELISSA C GAYNOR REBECCA L BEMBOOM MARK W **BURNS PATRICIA W BURCHAM CLINT S** DAVIS MATTHEW K SCAGGS HEDDY W ICHENOR LEME N GALLANT CARLA L GIPSON GARRY W DILLON KELLY J MOORE ERIC J ZEITZ JASON A MATHENY LISA SCOTT KIRT J DAILY LISA A **ARNOLD AL** 

Associate in Science

BALL ERIC G

BREWER CYNTHIA L DUNN ELLEN L HOWARD JOANNA J JOHNSON JASON W JOHNSON MAXWELL T PAULSEN KIMBERLY R SAPP LESLIE C

# Associate in Applied Science

BURNS LISA RENAE BUSE KIMBERLY D COLEMAN WHAYLON D JR COOK TINA S GIPE DONNA J JOHNSTON CHERYL K WYATT PATRICIA LYNN

# PADUCAH COMMUNITY COLLEGE

# Associate in Arts

**BOGGESS SAMMA MICHELLE** SANDERSON DAVID BRENT PAULSON SHELLEY DAWN HARSHMAN CYNTHIA KAY ALLEN TIMOTHY DANIEL **NALLACE PAUL BLAKE** ALLEN DAVID MICHAEL KINDRED MARIANNE K STAFFORD SHANNON JOHNSON QUINTIN M FARMER LEIGH ANN HELM SONIA MARIE OWENS DAVID O JR STAFFORD BOBBIE STORY BETTY ANN RICHARDS SCOTT **FELLOWS BRENT** RACY JESSICA L PARKER TAMMY **FUBBS CINDY G** SMITH KRISTY

WALLACE SHANNON DOUGLAS WIMBERLEY ANJEANETTA WOOD PATRICK WAYNE

# Associate in Science

BASH HARRY ROWEN

DOUGLAS SARAH E
ELLEGOOD LISA G
HOLBROOK EUGENE CHRISTOPHER
HOOPER TRISHA DAWN
KNOTT SUSAN
LAFFERTY LAURA E
LAMBERT WILLIAM H
LAWRENCE RYAN ANTHONY
LEONARD RICHARD JEREMY
PASQUINI NICHOLAS A
ROOF GREG L
WALKER HEATHER ANN

# Associate in Applied Science

BENNETT JR WILLIAM R BREWER LORI ALLISON GARRETT BRADLEY DEAN MORGAN LISA GAIL ROBINS NANCY LOUISE

# PRESTONSBURG COMMUNITY COLLEGE

# Associate in Arts

BENTLEY KRISTIE D
CLICK RANDALL
COLE MARY H
COLE MELASENE M
LEWIS C PRESTON JR
MINTON JEREMY
POWERS GREGORY P
RUSSELL JUDY C
WHITE JESSE TODD

Associate in Science

REID CHRISTOPHER

Associate in Applied Science

BLEVINS CAROLYN M

SOMERSET COMMUNITY COLLEGE

# Associate in Arts

BUTTERY RUBY C
CHESNUT SHARON D
CLARK NATHAN B
DUNCAN STEPHEN D
GREGG MICHAEL S
MCANINCH RODNEY T
MELTON NANCY L
MULLINS VELMA J
NICHOLS DEIDRE J
PHELPS DENNIS R
PHIPPS JACQUELINE D
SIMS JUSTIN
STALEY THURMAN D
VANOVER JOYCE R

# Associate in Science

BOYATT RHONDA S MOORE CONNIE G

# Associate in Applied Science

BUIS CHANDRA L COMPTON ELIZABETH A DISHMAN SHEILA A FORD ROBERT C GUINN CHRISTINA D VANDEVENTER LAYTON L

# SOUTHEAST COMMUNITY COLLEGE

# Associate in Arts

ASHER DAPHIA SHEA
BAILEY CONLEY A II
BRUCE DANIEL J
CRESS TAMMY L
DUNSON JUDY A
FORESTER MICHELLE
HONEYCUTT GRANT
HUGHES MELANIE A
LAWSON PRUDIE LANETTE
LOONEY JACQUELINE SUSANNE
O'BOYLE RACHEL LEE
RISNER JODY DANIEL
ROBBINS CHAD JASON
SHORT SCOTT HOWARD
WILDER CONLEY TRAVIS
WOODY GREGORY DEAN

# Associate in Science

CLARK VIRGINIA S GRANT GARY D LUCAS JEFFREY W OWENS JANET ROSE

# Associate in Applied Science

**CROLEY VICKIE LYNN** 

Office of the President September 17, 1996
Members, Board of Trustees:
CANDIDATES FOR DEGREES <u>UNIVERSITY SYSTEM</u>
Recommendation: that the President be authorized to confer upon each individual whose name appears on the list presented herewith the degree to which he/she is entitled, upon certification by the Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.
<u>Background</u> : Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 1996 Summer Session.
Action taken:

#### OFFICE OF THE UNIVERSITY REGISTRAR CANDIDATES FOR DEGREES AUGUST 6, 1996

GRADUATE DEGREES		Business and Economics	
		B.B.A.	74
Ph.D.	139	B.S.A.	41
D.M.A.	3	B.S.B.E.	2
Ed.D.	19		
Ed.S.	5	TOTAL	117
M.A.	60		
M.A.EDU.	33	Communications and Informat	ion Studies
M.A.I.D.	1	B.A.	40
M.A.I.D. M.A.	2	B.S.	12
M.B.A.	2		
M. H. A.	4	TOTAL	52
1.M.	6		
1. P.A.	4	Education	
I.R.C.	3	B.A.EDU.	36
I.S.	89		
I.S.ACC.	6	TOTAL	36
I.S.AGR.E.	ĩ		
L.S.BIO.E.	7	Engineering	
S.CHE.E.	2	B.S.CHE.E.	1
I.S.CIV.E.	14	B.S.CIV.E.	16
S.C.NUT.	1	B.S.C.S.	8
	2	B. S. E. E.	9
I.S.C.D.			3
.s.EDU.	21	B.S.M.E.	3
.S.E.E.	8	moma r	2 77
.S.E.M.	2	TOTAL	37
.S.FAM.S.	4		
.S.FOR.	2	Fine Arts	
.S.L.S.	30	B.A.	18
.S.MAEN.	1	B.F.A.	2
.S.ID.MAT.	1	B.M.	1
.S.ME.	6	B.M.EDU.	2
.S.MIN.	3		
.S.NUR.	12	TOTAL	23
.S.P.H.	13		
[.S.P.T.	2	Human Environmental Science	s
L.S.P.S.S.	2	B.A.HID.	3
I.S.R.M.P.	7	B.A.DIE.	5
L.S.W.	95	B.A.FAM.	20
	, ,	B.S.H.E.	1
TOTAL	612	B.S.H.M.	5
TOTAL	612	B.S.MAT.	17
		B.S.MAI.	17
ROFESSIONAL DEGREES		moma r	51
<b>D</b> 1	•	TOTAL	21
.Pharm.	9		
.D.	2	Nursing	
		B.S.N.	4
TOTAL	11	A-100 A-100 - B-10	V
		TOTAL	4
NDERGRADUATES			
griculture		Pharmacy	
B.S.AGR.	18	B.S.PHARM.	52
B.S.FOR.	1		
B.S.L.A.	ī	TOTAL	52
-:	-	<del></del>	
TOTAL	20	Social Work	
	<b>~</b> ~	B.A.S.W.	45
llied Health		D.11. 0. II.	
	28	TOTAL	45
B.H.S.	20	TOTAL	4.3
TOTAL.	20	CONDINTE DECDEEC	612
TOTAL	28	GRADUATE DEGREES	
		PROFESSIONAL DEGREES	11
rchitecture		UNDERGRADUATE DEGREES	691
B.ARCH.	19	TOTAL	1,314
TOTAL	19		
rts and Sciences			
B.A.	158		
B.G.S.	1		
B.S.	48		
2.0.	30		
TOTAL	207		
TOTAL	20,		

#### **Candidates for Degrees** August 6, 1996

#### The Graduate School Dean: Daniel R. Reedy

**Doctor of Philosophy** Appleton, Randy R. Arita, Anthony Akio Back, Anthony Randolph Baldwin, Yvonne Honeycutt Barnes, Edward L., Jr. Bauer, Marc LaRoy Beavers, Lynnda Sue Bellamy, David Scott Beyers, Chris Bhanti, Deepti D Boehm, Kristee Karolyn Buckles, Adrian Dale Burcham, Barbara Gorby Burgio, David Ernest, Jr. Campbell, Jerry Wayne Chaing, Nan

Cholewinski, Pawel Corrigan, Susan Killeen Crawford, Anne M. Crist, Scott Cui, Liwang Curran, Shelly Lynn Dai, Qinghai Deibel, Michael Anthony

Droddy, Jesse Daryl Dutta, Sandeep Engle, Frederick Bruce Ervin, Danny Milton, Jr. Farr, Arnold Lorenzo Foege, Susan L. Ganapathy, Bopana K. Garrett, Jan Elizabeth

Gilliam, Walter Scott Gillotti, Catherine Marie Glover, Lorri M. Gray, Sandra C. Grider, Jay Samuel Griffin, Peter William Hare, William Wuah

Heim, Susan Creekmore Hickey, Scot Christopher Holden, James Matthew Holl, Richard Earle

Hong, Ju-Lun Hou, Guogiana

Hebble, Robert

Howell, Cynthia Maureen Huang, Shaohua Hylin, Edward Carl Inman, William Elliot Jagtoyen, Marit Johnson, Joel Andrew Jones, John Travis Jones, Kevin L. Jones, Susan Marie Kercher, Michael Darwin Kind, Kerry David

Kirby, Susan Lee Vachon

Krimm, Tim W.

Lauer, Michael John Lee, Wonhee Lenhoff, Karen Sue Lepter, John Douglas Levy, Michael I. Li. Ke Li, Kuang-min Li, Yi

Li, Yixin Likes, Terrence Daniel Lillard, James Weldon, Jr.

Lin, Renhe Lin, Wensheng Liu, Yong Lumm, Donald K. MacLean, Donald Sato Mainous, Rosalie O'Dell Manuel, Sonia Machelle Rogers Mathews, Talina Rose

McGrath, Christopher Andrew Mehra, Munish Mikitiuk, Artur Piotr Miller, Marilyn S. Mitchell, Eddie Eugene Mooney, Stephen Douglas Morton, Michael Scott Morton, Russell Shelby

Muluk, Chairul Murray, Phyllis D. Okonye, Paul Page, Richard C. Parameswaran, Sandhya Patel, Survachandra Shanubhai

Percy, Paul Matthew Philley, Melissa L. Prawito, Priyono Punwani, Naresh Gobind Qian, Qingshan

Rajack, Theresa Ann Nisa Rajagopal, Venkatasubramanian K.

Ramakrishnan, Sreekumar Ramesh, Tennore Reed, Deborah Baker Ridley, Bettye Denise Robertson, Robert Lowell Roden, Jimmy Alan Sandefer, Erik Paul Schelling, Douglas Wesley

Schramm, Derek David Schueller, Albert William III Schueller, Laura Mann Seipelt, Rebecca Lvn Shah, Anup D. Shetty, Rupa S. Simeone, Ann-Marie Simmons, Jean Randle Smith, Nathaniel Bruce Stansbury, James Patrick Tolle, John Lamont Tung, Stewart Milton Ulrich, Lisa Jane

Van Dalsem, Daniel James Vandenhouten, Ronald F.

Vincent, Teresa Gail Waldner, Daniel Norman Wang, Liyan Wang, Zhen Wang, Zhenming Weatherly, David Charles Weaver, Cheryl Lynne Webb, Christopher Robin Weinstein, Larry Bernard

Vincent, Phyllis M.

Wesley, Gina Collins Whitney, Michael William Wilson, David Lawrence Xiang, Youqing Yang, Yunpeng Yi, Okyeon Yin, Shaohui

#### **Doctor of Education**

Billings, Malessa DeHart Bohn, Cynthia Faye Brown, Mark Richard Browning, Arthetta Jane Cleaver, Becke Adams Cox. Deborah McGee Cvrus, Kasundra D. Decker, John Timothy Jackson-Fobbs, Shelia Gale Jones, Edith Gayle (Shanks) Morse, Timothy Edward Peach, Andrea Cravens Scheffler, Frederick Lawrence Stanley, Rodney B. Stephens, Jessica Elizabeth Storer, Robert John Weaver, Rosa Lee Conover Wells Carolyn S

#### **Doctor of Musical Arts**

Beavers, Clyde Edwin Morfesis, Gail A. Veiga, Suzanne Barber

Williams, Henrietta

#### **Educational Specialist**

Dreidame, Jane Dawson Goggin, Susan Rosson Jackson, Lisa Ellem Merris, Jennifer Elizabeth Whitley, Ellen Allen

#### **Master of Arts**

Abel, Christopher Rex Abner, David Bryan Acar, Keziban Aylor, Richard Dawson Baggarly, Michael Todd Baranowski, Michael Kazimer Beals, Melanie LeAnne Berry, Timothy David Bowman, Curtis Crauder Bull, Jennifer Jane Cauthen, James Noah Greear

Contenza, Teresa M. DeLong, Stephanie K. Dowell, Roger M. Engelhardt, Matthew Ward Fite, Heath Alan Flannery, Glenna Y. Gasperson, Kerry Michelle Rogers Goheen, Brad Gordon, Scott Steven Green, John Paul III Haasl, Randolph Eugene Hartman, Hope Leigh Horger, Jason Richard Jackson, Anne Marie Johnson, Joan Carnes Jones, Lauri Jill Kerr, JeanAnn H. Kinkead, Elizabeth Lyle Kirsch, Hannah Katherine Koltys, Patricia Christine LaBaugh, Michael David Lawson, Cecil Bryant Lay, Logan R. Lee, Sunryoung Lelievre, Amy Marie Lowthert, William Hughes IV Manning, Maurice Walker Matheny, Sarah Margaret McClure, Mollie Ann McDorman, Maureen K. Mehanna, Monica Pugh Noel, Brett James O'Dell, Gary Andrew Peters, Christopher Scott Pittenger, Mary Leigh Purcell, Alan Senet Shaner, Valerie Noelle Stauber, Scott Jude Stottman, Michael Jay Thomas, Sharon Anne Trumbo, Geoffrey Brian Wagner, Michael T. Wellhausser, Karl Richard Warkentin, Craig Paul Webb, Raymond John Werner, Lisa May Wilder, Jay Hugh

#### Master of Arts in Education

Ackerman, Ruth Pendexter Amburgey, Deborah Sue Whitaker Barth, Tricia Roberts Blackburn, Sandra kay Blank, Janet Samuelson Bryant, Jean Campbell Burks, Jo Anna Deel, Christine Lee Edwards, Karen Suzanne Elam, Jeanne Holleron English, Betty Taylor Evans, Pamela Denise Fleshman, Cristina Leigh Gossett, Charlotte Lynne Green, Charlotte Sawyer Hammond, Sarah Katherine Haynes, Jonathan Kirk Hill, Linda Jane

Williams, Victoria Claire

Yungbluth, Stephen Christopher

Kesten, Sara Ellen
King, Barbar Tyler
Lindsey, Sara Hutton
Lynn, Jennifer
Mangeot, Jennifer Leigh
Nunley, Peyton Prichard
Padgett, Lisa House
Peterson, Timothy Alan
Provano, Jennifer Versie
Puckett, Rebecca Schrader
Rizk, Camellia Abdelmonem
Shields, Cherie Lynn
Smith, Diana Lynn (Masters)
Taulbee, Christine Plummer
White, Paula Denise Biggerstaff

#### Master of Arts in Interior Design

McClanahan, Brenda Pickett

#### Master of Arts in Interior Design, Merchandising & Textiles

Dunn, Maria Soledad Mills, Susan Margaret/Perry

#### Master of Business Administration

Cain, John Wiley II Ruh, Daniel Paul

#### **Master of Health Administration**

Fidler, Amy Diane Miller, Shelly Jean Strack, Kirk William Warren, Eric Bryan

#### **Master of Music**

Bohner, Michael Eugene Frasure, Andrea Sue (Marcum) Osborne, Wanda Faye/Turner Randel, Julia Phillips Ruhl, Jennifer Kirsten Small, Anita Catherine

#### **Master of Public Administration**

Balci, Asim Johnson, Jennifer Lea King, Margaret Elizabeth McDevitt, Martha Anne

### Master of Rehabilitation Counseling

Little, Nancy Argast Wallace, Pamela Roark Wilson, Debra G.

#### **Master of Science**

Acharya, Mukund S.
Anderson, Eric Douglas Campbell
Anderson, Perry Lamar
Andrews, William Morton, Jr.
Bahattab, Mohammad Abdullah
Barnhill, William Brian
Betancourt, James W., D.M.D.
Bittencourt, Marcio Rodrigues
Bossio, Robert Edward
Brooks, William Ellis
Buckman, Eric Matthew
Burchett, Stephen Dale

Cannioto, Rikki Ann Carlson, Lynn Alan Castillo, Sharon Sianna Changchit, Cholludda Chen, Yanping Cremeans, Kerry Lynn DeFilippo, Thomas Frank DeHaan, Kerda Isa Maria Dunn, Kenneth Edward Estes, Kyna Keeton Fang, Hui French, Patrick Douglas Gobin, Robert Michael Gondi, Maniula Gordon, James D. III Graddy, Lisa Todd Guarino, Richard David Hawkins, Karen Monique Healy, Jennifer Sue Hegde, Dhirai Hendricks, Richard Todd Henkemeyer, Lori Dawn Hong, Kwee Peng Howard, Beverly Jane Ibrahim, Wissam Hashem Johnson, John Franklin II Kaftani, Dimitra J. Kamat, Rohini Madhav Kay, Thomas Denton, Jr. Kinslow, Hubert Scott Klebaur, Jennifer Elizabeth Knapp, Elizabeth Ann Kocan, Kristofor Matthew Li. Peivin Lindsey, Kimberly Ann (Bartley) Loeffler, Barbara Sue Lu, Qun MacMillan, David Starling Mangum, Jennifer Moore Miller, Christopher Norcross Mirkhan, Roya Nazila Murray, Lisa Marie No. Wooi Fano Nichols, Kristy Gillenwater Padgett, Penny L. Pasupathy, Shankar Peebles, Rhonda S. Petersen, Carl Pierce, James Lewis Powers, Joseph Andrew Prange, Linda Diane Ralenkotter, Lisa Marie Sadr. Amir Saha, Hemedi Mkuzi Segar, Tracy Mark Silvio, Jennifer M. Sims, William Jay Slim, Rabih Mohamad Smith, Robert Glenn Smith, Ryan Allan Sorokin, Vladimir Ivanovich Stockton, Mary Leanne Subramaniam, Sridhar Sutherland, Robert C. Symons, Timothy Roland Tietjen, Wendy Weger Tumuluri, Gopala Krishna Watson, Anna Edna Jean (Westman) Waxenberg, Lori Beth

Widanski, Adam Stanislaw Wiggins, Cassady Barr Williams, Jeffrey Todd Xiao, Mei Xie, Songwen Xu, Leihai Xu, Leizhou Yalkut, Denis Adal

#### Master of Science in Accounting

Holbrook, Mary Elizabeth Napier, Robyn Monique Pillai, Lakshmi Vinod Ramsey, Deanna Dale (Peers) Wagner, Heidi Marie Wang, Wei

#### Master of Science in Agricultural Engineering

Tarhan, Sefa

#### Master of Science in Biomedical Engineering

Griffin, Allison Kaye Herrman, Eric Christian Holle, Kevin Joseph King, Kristin Rebecca Krishnan, Mahesh S. Lei, Quanhong Spear, Christopher Gene

#### Master of Science in Chemical Engineering

Jiang, Chengliang Mawhinney, Susan Marie

#### Master of Science in Civil Engineering

Absher, Mark Glenn Arduz, Luis Jorge Belcher, Brian James Bell, Donald Ray Coffey, Earl W. Creamer, Philip Alan Day, John Edwin Forsythe, Vibert Campbelle Leslie, Gerald Graham III Pousa, Antonio Elier Scott, Brian Douglas Szak, Peter Jason Wood, Brian Keables Young, Bradford Arthur

#### Master of Science in Clinical Nutrition

Thompson, Kim Lea

#### Master of Science in Communication Disorders

Grissom, Shannon Lee McMahan, Donna M.

#### Master of Science in Education

Booher, Joanna Gayle Boone, Ann Camille Durham, Lori Ann Gilbert, Janis Lansdale

Graves, Erica Virginia Grav. Timothy Dale Greene, Beth Deann Howze, Alisa Renee Kamar, Katherine Marie Kiger, Barry William Kingsland, Elizabeth Morris Mobayed, Kay Leech Mojesky, Mark Twining Myers, Joseph David Palmer, Tony Richardson, Tracy Johnson Ross, Janet Shepherd Strong, Ronald Anthony Teaque, Barbara Romano Walch, Shannon Renee Witt, Cynthia Jeanne

#### Master of Science in Electrical Engineering

Adapa, Kranthi Kiran Bala Arnold, Bruce Thomas McIlvain, Terry Lee Moore, Wilda Emily Ozmen, Ahmet Telikepalli, Anil L.N. Tungate, Stanley Coy, Jr. Zhang, Ming

#### Master of Science in Engineering Mechanics

Du, Yu Rodgers, Michael J.

### Master of Science in Family Studies

Morris, Melanie Love Morrow, Deborah Denise (Belle) Rogers, Brenda Gay Teply, Cheryl Lynn

#### **Master of Science in Forestry**

Baker, Michael Darren Raulserson, Gary Eugene

#### Master of Science in Interior Design, Merchandising and Textiles

Shaw, Andrea Beth

### Master of Science in Library Science

Akers, Sharon, Newkirk Boissonneault, Gilbert Andre Brevik, Thomas Brown, Sarah Elizabeth Burchfield, Lee Swafford Dwyer, Susan David Eck, Shannon Ann Finley, Thomas Edward Flagg, Paulette Layne Galloway, Jeannie Gay Hartz, Viviane N. Hedden, Holly Ann Jackson, Brenda M. Graves Kiernan, Jennifer Beard Lang, Albert Lambing Manasco, James Edmond
Miller, Stephen Douglas
Morelock, Betsy June Kivett
Obermeyer, Leslie Rae
O'Connor, Lori Ann
Payne, Deidra Ann
Racke, Tricia Lynn
Schaupp, Cynthia Elizabeth
Sias, Jennifer Nicole
Stephenson, Jason John Andrew
Stokes, Nancy Louise
Trotter, Elizabeth Chamberlain
Umandavi, Raamesie Diaba
Vinsel, Nancy J.
Warren, Douglas Lewis

#### Master of Science in Mechanical Engineering

Alstedt, Stig R. Ancha, Vamsi Mohan Cheng, Ming Jun Chiratanagandla, Venugopal McIntyre, Lloyd James Stehn, John Lawrence

#### Master of Science in Mining Engineering

Hogue, Joel Scott Wang, Tianjin Yama, Babu Rao

#### Master of Science in Manufacturing Systems Engineering

Erlenbeck, Mark William

#### Master of Science in Nursing

Bradford, Tammi Merelene Crosby, Barbara Ann Davis, Sharon Fisher, Donald Edward Garland, Sue N. Kincade, Lillian Gale Studer Parr, Jennifer Roth Pletcher, Shelley Coldwell Regine, Julie Ann Renfroe, Rebecca S. Vanderveer, Barbara Lynn (Wise) Wente, Susan M.

### Master of Science in Physical Therapy

Brosky, Joseph Anthony, Jr. Hall, Robert Clifford

### Master of Science in Plant and Soil Science

McMurry, Stephen Warren Montgomery, Elizabeth Doll

#### Master of Science in Public

Butler, Cecil Casale, Gloria Ann Dawson, Sara Kathryn Foye, Alison Janet Haight, John O'Leary

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Hall, Cathy Lynn (Marsh) Hawkins, Karen Monique Kaelin, Colleen Marie Kettles, Michele Anne Major, Amy Kristen Patterson, John A. Scheerer, Amy Lynn Stea, John Baptist

#### Master of Science in Radiological Medical Physics

Cashon, Kenneth W. Easterling, Stephen Brian Holcomb, Stephen D. Neyman, Gennady Webster, Joseph Anthony Yan, Albert Chengwei Zhang, Yashan

Abbott, Laura Elizabeth

#### **Master of Social Work**

Allen, Robert Louis Baker, Carolyn Wilkinson Beck, Heather Therese Benton, Paula Kay Blank, Karen Marie Bond-Jackson, BarbraAann Bradford, Betty Jean Caldwell, Elisa Joan Carnahan, Christa Rene Carter, Bernard A. Castle, Sandy L. Chapman, Jane A. Crowe, Duane David Cvitkovich, Mary Barnes Dalessio, Michael C. Davis, Lora Ann Dennis, Marian Diane Dimon, Karen A. Dodick, JoAnn M. Ellerbrock, Melanie Siders Elswick, Tiffany Suzanne English, Laura Elaine Evans, Robert T. Ferry, Julie Anne Field, Kelly Marie Fowlkes, William Lee Gerrein Ivy M. Grader, Elizabeth Eileen Greenhalgh, Denise Louise Hall, Robert Keith Hamel, Nelson E. Haney, Michael Gene Harris, Deborah F. Harvey, Lawrence Bruce Heard, Geoffrey Carlton Hentz, Susan Michelle Hermann-Vogel, Donna Marie Holland, Amy Moore Hoorer, Susan Hudson, Rosie Marie Jiles Jitasombuti, Chompoonuch Johnson, Pamela Jones Jordan, Karen Johnson Kaup, Tanya H. Keenan, Anne Gethin

Lainhart, Marcie Lynn

Loheide, Pamela Marie

Lightner, Gail Bunn

Lott, John III Lowe, Melonie Channing Malas, Jennifer Jane Mallery, John Francis Martin, Tricia K. McDavid, Sherry Hall McGuire, Sandra Jean McHale, Jennifer Lynn McHugh, James Allen McNeill, Sallie Carroll Messer, David Ryan Miller, Ingrid Leigh Miller, Kelly Lee Morkoski, Eva Marie Neiheisel, Shannon Marie Nelson, Siratwena Isabelle Nickell, Arlene Burkett Palmer, Kathy Jean Helton Perry, Elizabeth Burklow Popham, Steven Joseph Prichard, Connie Denise Parker Quinn, Susan K. Rausch, Gregory Allen Riley, Margaret Sample Robinson, Jill Denise Sanders, Lisa Louise Sharp, Rebecca Ann Simmons, Sharon Pounders Smith, David C. Smith, Dawn Ellen Smith Stanley L. Stamatis, Andrea Cy Staton-McNeal, Deborah Marie Stuart, Michaelyn Ann Sumpter, Mechiko White Swanson, Billy Ernest Trickel, Paul J. Trumbo, Tonya Michelle Voignier, S. Delight Ward, Kari Kristine Weatherford-Johnson, Crystal Yvette Webb, Cometa D. Williams, Donella E./Branham Williams, Scotty Allen Wright, Delane K. Zimmer, Lisa Ann

## College of Law Dean: David E. Shipley Juris Doctor

Neal, Willie Merit, Jr. Westfall, Matthew Ryan, Jr.

## College of Pharmacy Dean: Jordan L. Cohen Doctor of Pharmacy

Arledge, Michele Rachael Durham Bohuski, Karen Potter Goff, Melissa Ann Tibbs Haupert, James Michael Nelson, Melissa Ann Pepper, Samuel S Pietranton, Joseph Michael Hardeman, Jennifer Renee Stakelbeck, Tracy Lynn

Ackley, Douglas Julian

#### Bachelor of Science in Pharmacy

Adams, Kevin John Alexander, Suellen Pollev Allahham, Carla Sue Atwell, Eric Matthew Bailey, Rebecca Gay Burkhead, Jennifer Lee Caldwell, Francis Ivo Chan, Waiman (Maria) Cheek, Paul Douglas Chenault, Gregory Alan Clair, Jennifer L. Crone, Adam Eugene Decious, Bobbi Dunagan Dowdy, Stephanie Rose Dunbar, Jackie Kendell Dusing, Michelle Lynn Feola, David James Head, Tara Lee Herald, Alka Saggi Higgins, Heather Ann Hopper, Kimberly Dru Jessing, Tena Louise Wheeler Johnson, Carrie Lynn Justice, Jennifer Hope Kornell, Kassandra Lyn Little, Bobbi Michelle Luttrull, Jane Ellen Maroudas, Nicole Denise McPeak, Vickie Ann Menshouse, Larry Kenneth Merrick, William Joseph Mounce, Amy Suzanne Nelson, Melissa Ann Patel, Tejal Penn, Merritt Lee Pulliam, Darren Lavell Raymer, Nicole Dawn Barnes, Kimberly Jo Rose, Susan Leslie Roth, April Lynn Sansone, Elizabeth Ann Shires Sarah Louise Smith, Sara Jane Stone, Jeffrey Wayne Sum, Bryan Lwok-Leung Tatum, Robert Rav Turner, Jennifer Susan Watson, Anna Marie Wells, Jonathan Craig Wells, Teresa M. Whitehead, Melissa Jo

#### College of Agriculture Dean: C. Oran Little

#### Bachelor of Science in Agriculture

Carter, Wyndee Gail Cluck, Richard Stephens Collins, Carlos D Connerth, Peter James Elza, Brian T.

Emmons, James Wray Haas, Jennifer Marie Hancock, James Kirby Hicks, Edward Anthony Howard, Jason, Layne Matherly, Darryl Jason McCraith, Adam F Meade, Terry Glynn Morgan, Jodi Lee Morrison, Cynthia Barker Ring, Brian Edward Thompson, Daniel Alan Vise, Michelle Lynn

#### **Bachelor of Science in Forestry**

Tindall, Robert Allen

#### **Bachelor of Science in Landscape Architecture**

Adamson, Kyle Nathan

#### College of Allied Health Dean: Thomas C. Robinson **Bachelor of Health Sciences**

Adams, Angela Devonna Ayers, Mary Jill Bailey, Carolyn Marie Baker, Russell Glenn Benedict, Jonna Lyn Blake, Sabrina L. Bonzo, Bryan David Chastain, Sherri Beth Faulkner, Rita Sallee Fortney, William Scott Hershfeld, Karen Diana Kime Hillman, Kimberly House, Larry Steven

Leung, Pui Fun McGary, M. Jennay Messick, Karen Lynn Morgan, Jamie Marie Nourse, Christie Lavette Perry, Shawn Michael Sanford, Kevin Horace Simpkins, Connie H. Slagle, Karla Sue Smith, Jason Davis Spicer, Laura Sue Stout, Kimberly Rochelle

Watts, Heather Ann

Zhang, Wei

Howard, Angela Dawn

Kirk, Trisha M.

#### **College of Architecture** Dean: David Mohney

#### **Bachelor of Architecture**

Alexander, Stuart Archer, Anthony Joseph Ballew, Bertha Branch, William Wilson Covle, Christopher L. Girdler, William Brent, Jr. Guelda, Gregory Scott

Hardham, Brian Gifford Hubbard, Judd David Hulsman, Melanie L. Isley, Walter A. Kleykamp, Paul Ray Mattone, Mathew A. McCrery, Andrew Bryan Meyer, Michael Gerald Ray, Jeffrey Lawrence Ritter, Lary H. Snyder, Robert Andrew Thomas, John Michael

#### College of Arts & Sciences Dean: Richard Edwards **Bachelor of Arts**

Adams, Kristin Regina Adkins, Christopher Shayne Aiken, Jennifer Joy Allen, Ryan Nicholas Allen, Steven Nicholas Anderson, Stephen Anthony Baker. Matthew Shane Baker, Ronald R.

Ball, Cheryl Christine Salisbury Barnum, Amanda Marie Barton, John William Beaven, Brian Lee Belcher, Jason Stewart Benton, Grandon Parker III

Blair, Scott Anthony Blank, Phillip A. Bohringer, Beth Ann Bowling, Robert Leo Brewer, Amy Patricia Brown, Andrew Stephen Brown, Nevia Alida Bruner, Rhoda Lea Buckner, La Tasha Arnae Buehler, Dawn Marie Buskirk, Maurice Randall Byers, Adrienne Denise Campbell, Adria Price Castlen, Joseph William IV Chapman, April R.

Coffey, David Leslie Collins, Michael Wheeler Corbin, Shannon Denise Cornett, Laura Catherine Cox, George Andrew Hall, Vickii Crabtree, Sherry Waddle Creasy, Marcus Dean Dailey, Michael B. Daulton, Patrick Scott Dawkins, David Lee

Deaton, Kurt Miguel DeMersseman, Jonathan David Deskins, Donald Kyle

Dickison, Scott Edward Diuguid, Joann Trina

Douglas, Mitchell Lindsay Holman

Downing, David Hunter Downing, Sunitha Ennu Durham, Deborah Christine Eadie, Yulonda Diane

Elliott, Barry Dean Elmore, Chris Empson, Amy Christine Fields, Jill Lindsey Gateskill, Rebecca Blair Gehrke, Kimberly Lynn Gera, Jennifer A. Gifford, Anianette Gimmon, Laurie Dee Goodman, Robert Porter, Jr. Gregory, Scott Allen Gruen, William Carl III Halsey, Crystal Lee Hamilton, Christopher Byron Hansford, Christopher Alan Harbison, Robert Glen Harris, Harvey Dean Hart, Ryan Michael Hightower, Laura Susanne Hill, Charles William Hohman, Joseph Terril Huber, Charles Horton, Jr. Hudson, Andrew William Hutchinson, Charles Edward Jessie, Christopher David Jones, Fredrick Douglas Kohl, Karin Elisabeth Kraus, Julie Elise Leaumont, Walter John Lentz, Laurin Ashley Lewis, Dianne Lee Lloyd, Justin Matthew Logan, Kimberly Dawn Maddox, Meredith Leigh Makada, Sameer Hashim Marrett, Julie Anne Mayes, Jeff E. Mianegaz, Omid Moody, Michael Brandon Moseley, James Alexander Nader, Steven L. Neff. Robert Jefferson Nelson, Brett Alan Neuss, Matthew Thomas Owen, Colvin Jacob Owen, Robert Corlew, Jr. Owens, James Johnathon Oxnard, Robin Elizabeth Page, Christopher J. Park, Jeong Hyuk Pettit, Katherine Anne Phifer, Tammie Wood Pittner, Amanda Fuller Polly, Eric Ray Prewitt, Ryan David Ramage, Gerald Reid Randall, Heather Amanda Rather, Susan Kay Ratliff, Katie Rae Raymond, Russell Alan Rector, Kimberly B. Reilly, Edmund C. Riddick, Andre Riffe, Elizabeth Roark, Stephanie Dawn Saffell Robert Thomas Samford, David Shawn

Sartin, Robert Mitchell

Sauerborn, Katherine Marie

Sears, James Matthew Sekirnjak, Gerlinde Christa Shacklette, Wade Lambert Shaw, David Alan Shoemaker, Michael Edward Smith, Nancy Ruth Stevens, Angel Kaye Stinnett, Christopher James Story, Kimberley Shay Swann, Darin Keith Tabor, Dawn Marie Tamin, Fajar Tattershall, Kathryn Ann Teang, Antono Tipton, Charles Andrew Tomberlin, Scott Millard Tongate, Christine Marie Towles, Mary Elizabeth Triplett, Veronica Lynn Gault Trusley, James Fugate IV Virgin, Shawna Lee Voils, Shawn Howard Walters, Tamara Morgan Wardrip, Joshua Christopher Wassel, Audrey Marie Welch, Mark Aaron West, Amy Anne West, Tracy Erin Weston, Leslie Denise White, Tiffany Yvonne Wicker, John R. II Wilder, Pamela Taylor Willis, Damon Blake Winstead, Samuel Steven Wood, Christy Anne Wood, Jeremy Douglas Yuttayong, Wanaporn Zimmerman, Ryan Kelly Zodda, Tricia A.

#### **Bachelor of General Studies**

Malette, William G.

#### **Bachelor of Science**

Ballou, Jennifer Faye Scott Bogdanov, Jane Bogdan Brown, Heather Diane Brown, Kellie Jo Byron, Julie Ann Campbell, Mark Alexander Chambers, Kirby Michelle Chikwendiu, Jahi Childers, Kyle Jamison Chrisco, Peter Austin Combs, James Darren Corbin, Shannon Denise Cross, Tanaka Michele Crutcher, Dawn Curtis, Stacey R. Desai, Snehal Fisk, Jason Matthew Fornari, Luke Carlos Forsee, Christopher Brock Goetz, Douglas Brian Hall, Tyson Stewart Haspel, John Brian Jones, Marc Edward Kelly, Kenneth Brian Leach, Douglas Allan

Lindstrom, Karl Gustav Mahan, Tammy Lee Mahoney, Edward Allen Malone, Erin Elizabeth Mann, Tonya Susan Merla, Sonia Milici, Todd Joseph Murrell, Erica Marie Nelson, David Claude Owens, James Arnold III Ramsey, Jason Matthew Robinson, Gretchen Noelle Russell, Jason Philip Stone, Gary W. Sullivan, Diana K. Tackett, Vanessa West Tam, Sau-Ming Thompson, Christopher Michael Vogel, Frank Paul Walls, Susan Amanda Williams, Denise Elaine Wilson, Nicole Zieba, Charles Marcus

### Carol Martin Gatton College of Business & Economics

Dean: Richard W. Furst

#### Bachelor of Business Administration

Abdullah, Azmin Badroddin, Emily Hayati Beh, Christopher Benningfield, John Bowling, Lyle Daniel Brewer, William Maurice, Jr. Browning, Kent Hamilton Bucci, Kim M. Bush, Tamara Lynn Casenhiser, Christopher A. Chang, Chin Chen Cornett, Brian Keith Cornett, Elbert Alan Cornett, Lisa Carol Costelle, Jason Matthew Creed, Herschel E. Cummins, Lucinda Dawn Davis, David Lee Denny, Elizabeth Ann Djedi, Tshonbosetga Moseste Drakos, Petros Demetri Ellingson, Darci Marie Fink, Matthew Wayne Frank, Robert Michael Garcia, Michael Christopher Gibson, Kevin L. Goff, Kerri Jo Harrison, Ronald Edward Hibbs, Scott Anthony Holloway, Cara Ashley Huddle, Connie Jo Hughes, Tracy Nicole Hyden, Robin A. Ibnu Ruslan, Ruziana Ayu Jacobs, Matthew Hale Johnson, Paul Shane Kash, Carolyn S. McGlone

Klein, Francis Peter III Lail, Brian Joseph Larrea, Francisco Esteban Laws, Shannon Angela Luebbehusen, Cory Alan Mangan, Kimberly Darlene McCarthy, Sean M. McDonald, James Brandon McGinnis, Christopher Kevin Mertz, Scott Eugene Mock, Steven Ray Montgomery, David Adolph Mount, David Lawrence Nalli, Simone Alessandra Parker, Shelonda R. Popham, Jodi Lin Prugsanuwong, Yongwat Ratliff, Timothy Clore Raymond, Robert Bartley Reed, Susan Shephard Roberts, Alan Carter Roberts, Kimberly Jo Ann Roberts, Sheldon Lee Rowland, Michael Paul Salleh, Hassivah Shanahan, Mike Anthony Skrodzki, Nicholas James Thompson, Elizabeth Ellen Tussey, Jason Bradley Wandall, Mark Jeffrey Webb, Jason Bradford, I White, Joseph Cornett Whitlow, Amy Jean Willett, Scott Patrick Wilson, Shane Howard Wright, Brian Douglas Young, William Lawrence

#### Bachelor of Science in Accounting

Bennett, Thomas Joseph, Jr. Bradshaw, Brittany Paige Bramel, David Scott Bumpus, Kimberly Denise Bunnell, Andrew Christian Collins, Evan Patrick Cooper, Stephanie Yvonne Cornett, Lisa Carol Cross, Nick Lane Gibson, Kevin L. Goodman, Christopher Hudson Gunnels, Betty Smith Haan, Michael Edward Harring, Gwen Ann Harrison, Ronald Edward Jones, Sherry Ann Juhasz, John Stephen Krieger, Jill Lanham, Martin Eugene Lasky, Mark Richard Maeder, Eric R. McCreary, Laura Ann Melloan, Joel David Meredith, Kelly Jo Moore, Rita S. Mulligan, Stephen Ryan Panor, Jon Michael Preston, John Martin Revnolds, Tiffany Danielle

Sanders, Scott Edward Serey, Elizabeth Mattingly Smyth, Gerald Edwin, Jr. Spears, Kathleen Gentry Starks, LeMeka Renee Sugisaki, Kana Thomas, Chadwick Lee Vaught, Shelley Renee Walters, Sarah Gray Wilson, Brian Alan Young, Natalie Lynn Zander, Richard David

#### Bachelor of Science in Business & Economics

Chang, Chin Chen Roach, Stephen Phillip

## College of Communications & Information Studies

Dean: Douglas A. Boyd

**Bachelor of Arts** Bean, Jessica Sophie Bentley, Vanessa Nicole Brewer, Bethany Ann Chan, Tak Shing Cosby, Robin Wayne Cuson, Michael D. Dimond, Monica Ezell, Emily Brooks Forbush, James Andrew Greer, Allen Dale Gwaltney, Traci Lyn Hahn, Heidi Lynn Harper, Dude Hennessey Hemesath, Carmen Marie Holman, Christina Louise Hughes, Paul J. III Hynes, John Barry Jasmin, Ernest Augustus Johnson, Shawn Kent Johnston, Lea Ann LaReau, Corinne Renee Lawson, Lynette Elizabeth Lee, Poh Li McCarty, Walter Lee Montgomery, Shaun Patrick Pearce, Elizabeth W. Pendleton, John Gabriel Puckett, Kristy Renee

Raymond, Thomas Leonard

Roberts, Elizabeth Gayle

Shaw, Julianne Hathaway

Sigman, Susan Kathleen

Tackett, Jessica Corey

Wright, Jennifer Lynn

Wright, Lisa Reneé

Walker, Nicole Susanne

Riggs, Holly Renee

Ryan, Jill Constance

Schmidt, Julie Hahn

Short, Stephanie Lee

#### **Bachelor of Science**

Delk, Tony Lorenzo
Gaynor, Carlton Lamont
Graham, Tracy Ryan
Love, Celia Lee
McKenzie, Elizabeth Wright
Meadows, John Michael
Schirmer, Mark Thomas
Shanks, Toya L.
Tate, James Caylor
Thomas, Alison Paige
Turner, Raina Danielle
Witten, Angelo Camomot

## College of Education Dean: Shirley C. Raines

#### **Bachelor of Arts in Education**

Banks, Gregory Scott Bargo, Lisa Renea Bolton, Natalie Anne Carpenter, Audra Terrelle Craft, Jimmy W. Davis, Tressa Lynnette Eastham, Derek Shane Eilers, Nicole Ann Fortner, Jana Scott Fuelling, Tracy Ann Hampton, Ashley Wood Henry, Shawn Anthony Horton, Della R. Jacobs, Lana Carol Kidd, Estol Lee Leach, Matthew Brian Lusby, Genevieve Lynne Barton McAninch, Rodney Thomas Mefford, Timothy Edward Morehead, Melinda Sue Murrow, Susan Elizabeth Nevels, Deanne Michelle Pinson, David L. Pitts, Kathleen I. Prewitt, Anjanette Smith Rase, Jennifer Linn Sutton Reynolds, Christie Renee Schrader, Charles Clinton III Seul. Aaron James Smith, Shawn DeCarlos Tarter, Joshua Donald Tearney, Bryce W. Toler, Steven Gordon Turscak, Shannon Lynn Wagner, Stacey Ann White, Travis Alan

### College of Engineering Dean: Thomas W. Lester

#### Bachelor of Science in Chemical Engineering

Whelan, Danita D.

#### Bachelor of Science in Civil Engineering

Asher, Bradley Bart Caudill, Corbett Ryan Cool. Brett Durward Flynn, Ronald Garrison, Jeffrey Norwood Jones, Dawn R. Jones, Robert Darrell Millay, Scott Andrew Neal, Jeffery Scott Perry, Kevin Thomas Ricks, Bryan Gavin Shull, Corbet Michael Simpson, Andrew Williams Veach, Harold Raymond, Jr. Wang, Zhenming Warren, Graham Walter

### Bachelor of Science in Computer Science

Cook, Michael Allen Hyatt, Ray Lloyd Lawrence, Gregory Alan Liang, Yong Soon Morgan, Robert W. III Nally, John Douglas Ong, Chuen Siew Tan, Yong Gee

#### Bachelor of Science in Electrical Engineering

Bass, Elijah William Bishop, Chuck Brandon Gross, Carlis Ray Nemad, Vadeam L. Niuh, Jit Aun Ong, Edwin Y. Radi, Chadi Joseph Tan, Chee-Cheng Tarter, John L.

#### Bachelor of Science in Mechanical Engineering

Dahlman, Christopher Michael Fung, Khee Siong John Parker, Lee Anthony

## College of Fine Arts Dean: Rhoda-Gale Pollack Bachelor of Arts

Biondo, Christi Maria Goodley, Timothy Dean Gordon, James D. III Graham, Melia Louise Hess, Anna Luisa Hoskins, Nathan Hewitt Kaykavoosi, Maryam King, Steven Kurtsinger McGown, Melanie Dent McGown, Melissa Day Nakadomari, Jane Yoko Nash, Cornelia Dorathea Poole, Jennifer Gail Probus, Joyce Jones Sayre, Andrea Marie Sivinski, Brian D. Smalley, Nicole L. Woodyard, Lori Jane

#### **Bachelor of Fine Arts**

Campbell, Brian Wilford Lincoln, Paula Renee

#### **Bachelor of Music**

Greene, William Allen

#### Bachelor of Music in Music Education

Hornbuckle, Michael Tyrone Renzi, William Eric

#### College of Human Enviromental Sciences

Dean: Retia S. Walker

#### Bachelor of Arts in Interior Design

Eilers, Amy Michelle Hrovat, Ann Elizabeth Hunt, Pamela M.

#### **Bachelor of Science in Dietetics**

Garner, Joy Kathlena Hoffman, Jennifer Jeannine Lindgren, Katherine Ann Meacham, Leslie Anne Rivera, Tricia Franciel

### Bachelor of Science in Family Studies

Best, Susan Claire Childers, Rebecca Lyn Fleischer, Robin Salyer Hatfield, Tonya R. Lenning, Amber Lynn Maxedon, Elizabeth Irene O'Daniel, Shawna Richele Plyler, Tracie Lynn Ruszkowski, Nicole Marie Sampson, Sharalea Mae Sanfilippo, Katherine Anne Scott, Rebecca Kay Snyder, Che' Bianca Stanifer, Robyn Michelle Stivers, Cassie Topa, Deanna Dawson Varda, Melissa Angelina Warrix, Dewana Kaye Weihe, Katherine Ann Wubbolding, Susan Marie

### Bachelor of Science in Home Economics

Layne, Paula Sue

#### Bachelor of Science in Hospitality Management

Alderman, Valerie Lynette Glenn, Richard Allen Lim, Jaeyoung Pike, Jason Randall Vonsick, Christine Lynn

#### Bachelor of Science in Merchandising, Apparel and Textiles

Bennett, Susan Elaine Blanchard, Kelly Louise Carlson, Juliette Kalant Chua, Hoon-Hoon Crouch, Michelle Renee Cummins, Alison Leigh Elliott, Amy Susan Frantz, Cynthia Ann Griswold, Kristy Marie Lukehart, Alexis Lee Nally, Kristie Lynn Ockerman, Waynna Elizabeth Pierce, Julie Ann Reeves, Kelly Hope Rhoads, Jennifer Ann Robenson, Jeffrey Lewis Stewart, Shulesia La'Nae

## College of Nursing Dean: Carolyn A. Williams

#### **Bachelor of Science in Nursing**

Campbell, Christy Ann Kincade, Lillian Gale Studer McIntosh, Ben Smith, Connie Taylor

## College of Social Work Interim Dean: Edgar L. Sagan

#### **Bachelor of Arts in Social Work**

Anderson, Andrea Suzanne Barnett, Balinda Perri White Bishop, Angela Michelle Bowling, Jana Nicole Brown, James Levi Buchanan, Mildred Sale Buford, Carla Lynette Cannon, Florence LaJean Carpenter, Wade Alan Childress, Melissa Lena Faye Cox, Megan Marie Devine, Patricia Ann Dorroh, Jennifer Renee Eilers, Kerry Beth Feltner, Angelia Fitzpatrick, Kedra Lynn Freeman, Janna Lancaster Fugate, April Rebecca Godsey, Susan Wendella Helm, Amy Elizabeth Horner, Vicki Lee Houston, Lynetta Renee isbell, Rachel Ann Jordan, Christe Lyn Key, Donte Lamont Knight, Carolyn Leigh Kotzbauer, Lori Em Long, Laura Lynn Lynch, Kyle Mitchell Maner, Lesley Anne Mask, Rochelle Antoinette Miller, Laura Elizabeth Moore, David Weston Murdock, Quinton O'Bryan, Tara Ann Perkins, John Byran Prenatt, Kimberly Anne Reid, Tiffinea Michelle Rowe, Denise Grace Slaughter, Dorisa Ann Snardon, David L. Taylor, Kathy Annette Tolliver, David L. Vane, Jennifer Leigh Wyatt, Michael Randall, Jr.

Office of the President September 17, 1996

Members, Board of Trustees:

#### CHANGE IN NAME OF DEGREE PROGRAMS

<u>Recommendation</u>: that the Board of Trustees approve the change in the name of the Bachelor of Arts or Bachelor of Science degree programs in the College of Communications and Information Studies for Journalism/Advertising majors to the Bachelor of Arts or Bachelor of Science in Integrated Strategic Communication.

<u>Background</u>: For nearly a decade and a half, the structure of the current program has paralleled the functions of a traditional advertising agency. That traditional model no longer exists. Dramatic technological changes have reshaped the way firms communicate with prospective consumers. Corporations expect that the agencies they hire to manage their communication outreach will be able to effectively integrate all the allied tools of strategic communication (advertising, direct response, sales promotion, public relations, etc.). The program changes are necessary to prepare students to meet these expectations.

This proposal's overarching change is that an Integrated Strategic Communication program replaces the current advertising program. This restructuring meets the expectations of the Accrediting Council on Education in Journalism and Mass Communications, through which professional programs in the School of Journalism and Telecommunications are accredited. This change in the programs degree titles for Journalism/Advertising majors was approved by the Undergraduate Council and the University Senate.

<b></b>		***************************************		
Action taken:	Approved	☐ Disapproved	Other	

Office of the President September 17, 1996

Members, Board of Trustees:

### APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY BUSINESS PARTNERSHIP FOUNDATION

<u>Recommendation</u>: that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Business Partnership Foundation for four-year terms beginning immediately and extending through the Spring 2000 Board meeting.

#### **Appointments**

James R. Bell, III Ronald G. Geary Timothy L. Haymaker Roy W. Mundy, II

#### Reappointments

William R. Hartman Henry L. Jackson

<u>Background</u>: These appointees/reappointees were unanimously elected to the Board of Directors of the University of Kentucky Business Partnership Foundation at their June 10, 1996 meeting. The Articles of Incorporation of the University of Kentucky Business Partnership Foundation, as approved by the Board of Trustees at its meeting on August 25, 1981, require that these appointments/reappointments be approved by the Board of Trustees.

Action taken:	Approved	☐ Disapproved	Other

### FCR 1

Office of the President September 17, 1996

Members, Board of Trustees:

#### ACCEPTANCE OF AUDIT REPORT FOR THE UNIVERSITY OF KENTUCKY FOR 1995-96

<u>Recommendation</u>: that the Board of Trustees accept the University of Kentucky financial statements for the year ended June 30, 1996, consisting of the Consolidated Balance Sheet, Consolidated Statement of Changes in Fund Balances, Consolidated Statement of Current Funds Revenues, Expenditures and Other Changes, Summary of Significant Accounting Policies, and Notes to the Financial Statements.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements for the year ended June 30, 1996, which have been audited by Deloitte & Touche LLP, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal year 1994-95 and 1995-96, and include the financial operations of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc.

Action taken:	Approved	☐ Disapproved	Other	

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## Deloitte & Touche LLP

Suite 2100 220 West Main Street Louisville, Kentucky 40202-5313 Telephone: (502) 562-2000 Facsimile: (502) 562-2073

#### **INDEPENDENT AUDITORS' REPORT**

To the Board of Trustees of the University of Kentucky

and

the Secretary of Finance and Administration Cabinet of the Commonwealth of Kentucky

We have audited the accompanying consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1996, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures and other changes for the year then ended. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, such consolidated financial statements present fairly, in all material respects, the financial position of the University of Kentucky and affiliated corporations as of June 30, 1996, and the changes in their fund balances and their current funds revenues, expenditures and other changes for the year then ended in conformity with generally accepted accounting principles.

Louisville, Kentucky August 30, 1996

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## CONSOLIDATED BALANCE SHEET UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS JUNE 30, 1996

with comparative totals at June 30, 1995 (in thousands)

		·	·	1996			1995
				Endowmen			
		Current Funds	Loan Funds	and Similar Funds	Plant Funds	Total	Total
ASSETS		rando	 rando	 1 dildo	T dildo	Total	10.01
Cash and cash equivalents Notes, loans and accounts receivable (less bad debt allowances of \$14,094)	\$	97,542	\$ 3,861	\$ 546	\$ 185,913	\$ 287,862	\$ 259,752
in 1996 and \$12,691 in 1995)		103,134 85,877	19,429	328 148,030	1,820 69,600		133,047 269,023
Property, plant and equipment Inventories and other		15,015			1,262,565		1,186,668 13,181
Total Assets	\$	301,568	\$ 23,290	\$ 148,904	\$1,519,898	\$1,993,660	\$1,861,671
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable Accrued expense	\$	26,737 19,717	\$ 17		\$ 6,410 3,491		\$ 36,979 21,977
Employee withholdings and deposits Other liabilities		18,637 1,943			1,805	18,637 3,748	20,395 3,235
Liability for self insurance		33,158				33,158	30,514
Deferred income		11,947				11,947	11,140
Bonds payable					332,279		337,476
Capital lease obligations			 	 	34,101	34,101	32,468
Total Liabilities	_	112,139	 17	 	378,086	490,242	494,184
Interfund Balances		(1,263)		 	1,263		
Fund Balances							
Current-Unrestricted							
Working capital		101,686				101,686	103,901
Future operations Other		25,324				25,324	39,516
Current-Restricted		9,477 54,205				9,477 54,205	5,585 44,688
Loan		34,203				54,205	44,000
Unrestricted			60			60	60
Restricted			5,641			5,641	4,065
U.S. Government			17,572			17,572	17,119
Endowment and Similar Funds							
True				\$ 86,103		86,103	72,194
Term				5,389		5,389	4,287
Quasi				53,374		53,374	48,731
Annuity and life income				4,038		4,038	3,094
Plant					450 440	150 140	400.000
Unrestricted					158,140		132,630
Restricted					74,535 907,874		47,990 843,627
Net investment in plant			 	 	307,074	307,074	043,027
Total Fund Balances		190,692	 23,273	148,904	1,140,549	1,503,418	1,367,487
Total Liabilities and Fund Balances	\$	301,568	\$ 23,290	\$ 148,904	\$1,519,898	\$1,993,660	\$1,861,671

See notes to consolidated financial statements.

## CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS FOR THE YEAR ENDED JUNE 30, 1996

(in	thousands)

					1996			
				E	ndowmen	t		
		Current Funds	Loan Funds	6	and Similar Funds		Plant Funds	Total
REVENUES AND OTHER ADDITIONS		<del></del>	 					
Unrestricted current fund revenues	\$	833,702						\$ 833,702
Gifts		18,960	\$ 1,358	\$	5,755	\$	11,417	37,490
Endowment and investment income		6,088	102		404		10,951	17,545
Net endowment gains			4.47		17,445			17,445
Interest on loans receivable State capital construction funds			447				00.000	447
Grants and contracts		136,303	508				23,893 5,439	23,893 142,250
Expended for plant facilities (including \$32,088		130,303	506				5,439	142,250
charged to current expenditures)							55,496	55,496
Retirement of indebtedness							20,503	20,503
Other sources		1,034	23				20,505	1,057
			 		,			
Total Revenues and Other Additions		996,087	 2,438		23,604		127,699	1,149,828
EXPENDITURES AND OTHER DEDUCTIONS								
Unrestricted current fund expenditures		753,803						753,803
Restricted current fund expenditures		152,547						152,547
Expended for plant facilities							23,408	23,408
Noncapitalized expenditures							16,105	16,105
Retirement of indebtedness							20,895	20,895
Interest on indebtedness							19,406	19,406
Disposal of plant facilities							27,141	27,141
Other deductions			 549		14		29	592
Total Expenditures and Other Deductions		906,350	 549		14		106,984	1,013,897
TRANSFERS AMONG FUNDS - ADDITIONS/(DEDUCTIONS)	ON	S)						
Mandatory		-,						
Debt service								
Educational and general		(27,684)					27,684	
Hospital and clinics		(1,997)					1,997	
Auxiliary enterprises		(5,741)					5,741	
Lease payments		(1,374)					1,374	
Matching grants		(147)	147					
Total Mandatory Transfers		(36,943)	 147				36,796	
Net Increase Before Nonmandatory Transfers		52,794	 2,036		23,590		57,511	135,931
Nonmandatory								
Plant								
Educational and general		(17,467)					17.467	
Hospital		(39,674)					39,674	
Auxiliary enterprises		(1,698)					1,698	
Endowment and other		3,047	(7)		(2,992)		(48)	
Total Nonmandatory Transfers		(55,792)	 (7)		(2,992)		58,791	
		(2,998)	2,029		20,598		116,302	135,931
NET INCREASE/(DECREASE) FOR THE YEAR						4		•
FUND BALANCES, June 30, 1995		193,690	 21,244		128,306		,024,247	1,367,487
FUND BALANCES, June 30, 1996	<u>\$</u>	190,692	\$ 23,273	\$	148,904	\$1	,140,549	\$1,503,418

See notes to consolidated financial statements.

## CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES, EXPENDITURES AND OTHER CHANGES UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS FOR THE YEAR ENDED JUNE 30, 1996

with comparative totals for the year ended June 30, 1995

(in thousands)		1996						1995	
	Ur	restricted	R	estricted		Total		Total	
REVENUES									
Educational and general	_								
Student tuition and fees	\$	124,397			\$	124,397	\$	121,118	
Government appropriations		44740				44.740		45 -04	
Federal State		14,719				14,719		15,761	
County		330,055 6,041				330,055 6,041		321,307 5,106	
Government grants and contracts		6,041				6,041		5,106	
Federal Contracts		1,239	\$	84,532		85,771		86,164	
State		527	Ψ	33,926		34,453		29,314	
Private gifts and grants		2,745		29,988		32,733		32,011	
Indirect cost reimbursement		16,581				16,581		16,011	
Endowment income		749		4,205		4,954		3,798	
Investment income		9,420		614		10,034		8,758	
Sales and services		40,254				40,254		35,316	
Other		55		701		756		741	
Total educational and general		546,782		153,966		700,748		675,405	
Hospital and clinics		225,893		24		225,893		233,827	
Auxiliary enterprises		61,027		34		61,061		58,237	
Total Revenues		833,702		154,000		987,702		967,469	
EXPENDITURES AND MANDATORY TRANSFERS									
Educational and general									
Instruction		214,178		15,723		229,901		218,259	
Research		46,487		67,009		113,496		106,716	
Public service		68,418		20,115		88,533		89,550	
Libraries		21,239		4		21,243		21,194	
Academic support		33,018		3,583		36,601		32,489	
Student services		23,642		434		24,076		22,931	
Institutional support		40,602		934		41,536		41,793	
Operation and maintenance of plant		40,623		191		40,814		38,548	
Student financial aid		15,294		41,494		56,788		56,271	
Other		500 504		451		451		307	
Total educational and general		503,501 199,458		149,938		653,439 199,458		628,058	
Hospital and clinics Auxiliary enterprises		50,844		2,609		53,453		188,135 50,395	
• •									
Total Expenditures		753,803		152,547		906,350		866,588	
Mandatory Transfers									
Debt service									
Educational and general		25,841		1,843		27,684		26,413	
Hospital and clinics		1,997				1,997		1,971	
Auxiliary enterprises		5,741				5,741		4,006	
Lease payments - educational and general		1,374		(000)		1,374		2,285	
Matching grants		537		(390)		147		145	
Total Expenditures and Mandatory Transfers		789,293		154,000		943,293		901,408	
OTHER TRANSFERS AND ADDITIONS/(DEDUCTIONS)									
Nonmandatory Transfers									
Plant fund									
Educational and general		(15,560)		(1,907)		(17,467)		(26,821)	
Hospital and clinics		(39,674)		(-)/		(39,674)		(10,911)	
Auxiliary enterprises		(1,698)				(1,698)		(2,562)	
Endowment and other		9		3,038		3,047		277	
Excess restricted receipts over transfer to revenues				8,385		8,385		16,868	
Total Other Transfers and Additions/(Deductions)		(56,923)		9,516		(47,407)		(23,149)	
NET INCREASE/(DECREASE) FOR THE YEAR	\$	(12,514)	\$_	9,516	\$_	(2,998)	<u>\$</u>	42,912	

See notes to consolidated financial statements.

#### UNIVERSITY OF KENTUCKY

#### NOTES TO FINANCIAL STATEMENTS

#### Summary of Significant Accounting Policies

#### Scope of Statements

The consolidated financial statements of the University of Kentucky (the University) include the operations of the University and its affiliated corporations (entities that are financially accountable as defined by Statement No. 14 of the Governmental Accounting Standards Board) as follows: The University of Kentucky Research Foundation; The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association, Inc.; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; University of Kentucky Humanities Foundation, Inc.; and Center on Aging Foundation, Inc.

All significant balances and transactions among the above entities have been eliminated.

#### Accrual Basis

The financial statements of the University have been prepared on the accrual basis of accounting.

#### **Fund Accounting**

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified for accounting and reporting purposes into funds that are in accordance with activities or objectives specified. Funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

True endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested and the income only be utilized. Term endowment funds are similar to true endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the Board for the same general purposes as other endowment funds, and any portion may be expended. Substantially all income from endowment funds is restricted. Annuity and life income funds are funds received under deferred-giving agreements and provide that an income be paid to the donor, the donor's designee, or a combination thereof for the lifetime of the recipient(s) or for a fixed period of time.

Ordinary income derived from investments, notes receivable, and the like, is accounted for in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is accounted for in the fund to which the income is designated.

All unrestricted revenue is accounted for in the unrestricted fund.

#### Use of Estimates

The preparation of financial statements in conformity with generally accepted accounting principles requires management to use estimates and assumptions. The accompanying financial statements include estimates for items such as bad debt allowances, accrued expenses and other liability accounts. Actual results could differ from those estimates.

#### **Current Funds**

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions the amounts are accounted for as: (1) expenditures, in the case of replacement of movable equipment and library books; (2) mandatory transfers in the case of required provisions for debt amortization and interest; and (3) a transfer of a nonmandatory nature for all other cases.

The Board allocates unrestricted current funds fund balances for specific purposes. These purposes include: (a) working capital needs of the University (including the hospital, the housing and dining system, and auxiliary enterprises); (b) certain unrestricted income from private sources; and (c) budget appropriations for future operating purposes.

#### Cash and Cash Equivalents

Cash and cash equivalents include investments with a maturity of three months or less. These funds are deposited in local bank accounts, invested in U.S. Government/agency repurchase agreements (\$67,648,175), and deposited with the State Treasurer. All cash and cash equivalents on deposit with local banks and the State Treasurer are insured by federal depository insurance or secured by U.S. Government/agency securities held by the University or its agents in the University's name.

#### Investments

Investments are stated at cost or, in the case of gifts, at market value at the date of donation. The specific identification method is used for purposes of determining gains and losses on the sale of investments.

#### Pooled Endowment Funds

The University employs the total return concept of investment management for setting investment objectives and determining investment performance. This concept recognizes traditional yield (dividends and interest), plus or minus realized and unrealized gains or losses, in determining the total return earned during any particular period. The market value method of accounting for pooled endowment funds is employed to ensure proper distribution of market price changes, realized gain/loss on sales, accrued income earned, and distribution of investment earnings for expenditure by participating funds.

Spendable return from the endowment is determined using the total return philosophy. This philosophy recognizes a prudent amount of realized gains as spendable return in addition to traditional yield. Distribution of investment earnings for expenditure by participating funds is supported first by traditional yield earned and if necessary a transfer from endowment of any prior years' accumulated earnings (unexpended traditional yield) or net realized gain on sales. For the year ended June 30, 1996, \$1,348,773 was transferred from endowment realized gains on sales to current funds to support spending.

#### Inventories

Inventories are stated principally at the lower of average cost or market.

#### Property, Plant and Equipment

Land, land improvements, buildings, equipment and library books are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) the University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date.

#### 2. Notes, Loans and Accounts Receivable

Notes, loans and accounts receivable as of June 30, 1996, net of allowances, are as follows:

Current funds: Hospital	(in thousands)
Third-party payor receivables; Medicare, Medicaid and other Private pay and other receivables Estimated settlements with third-party payors	\$ 59,963 3,253 762
Total hospital receivables	63,978
Reimbursable costs - grants and contracts Other	16,770 <u>22,386</u>
Total current funds receivables	103,134
Loan funds: Student notes receivable	19,429
Endowment funds: Accrued interest receivable	328
Plant funds: Accrued interest receivable	1,820
Total notes, loans and accounts receivable	<u>\$124,711</u>

#### 3. Investments

A comparison of the carrying value and approximate market value as of June 30, 1996 of the University's investments is shown below:

	(in thousands)		
Type of investment	Carrying Value	Approximate <u>Market Value</u>	
United States Government Securities	\$56,512	\$56,041	
Common and preferred stocks	67,417	77,200	
Corporate bonds	32,295	32,416	
Pooled fixed income funds	42,125	42,125	
Pooled equity funds	31,556	34,623	
Repurchase agreements	61,765	61,765	
Cash and cash equivalents	7,530	7,530	
Equity in cooperatives	4,161	4,161	
Other	146	146	
	<b>\$303,507</b>	<b>\$316,007</b>	

(in thousands)

	(iii tiiododiido)		
	Carrying Value	Approximate <u>Market Value</u>	
Fund group			
Current unrestricted	\$ 21,501	\$ 21,511	
Current restricted	64,376	64,377	
Endowment	148,030	160,503	
Plant	69,600	<u>69,616</u>	
	<u>\$303,507</u>	<u>\$316,007</u>	

All investments of the University are registered in the name of the University of Kentucky or held in the University's name by its agents and trustees.

On December 20, 1995 the University invested \$1,500,000 in common stock in Health Associates of Kentucky, Inc. (HAK), a wholly owned for-profit corporation. HAK acquires and provides management services to medical practice/provider sites to broaden the Medical Center's network of physicians. HAK has a fiscal year end of December 31, and accordingly, its accounts as of December 31, 1995 are included in the accompanying consolidated financial statements. Subsequent to that date, the University invested an additional \$6,500,000. This additional amount is reported as an investment in the current funds of the consolidated balance sheet.

The University has a 52% interest in CHA Service Company (CHA), a Kentucky for-profit corporation, established to provide an integrated health care delivery system throughout the Commonwealth of Kentucky. CHA has a fiscal year end of December 31, and accordingly, the University's investment in CHA is recorded as of December 31, 1995 using the equity method. A summary of the University's investment is as follows:

Investment at cost	\$3,550,000
Equity in losses through December 31, 1995	(1,128,907)
Carrying value	\$2,421,093

The University's equity in net losses of CHA for the six months ended June 30, 1996 was \$1,288,212 (unaudited). This additional loss is not reflected in the accompanying consolidated financial statements. The net losses to date were primarily the result of organizational, marketing, and other costs associated with start up.

#### 4. Investment in Plant

The major components of property, plant and equipment as of June 30, 1996 are as follows:

Land and improvements	\$ 63,864
Buildings and structures Equipment and library books	734,027 378,193
Construction in progress	<u>86,481</u>
	<u>\$1,262,565</u>

The estimated cost to complete construction under contract at June 30, 1996 is approximately \$55,020,969. Such construction is principally financed by Commonwealth of Kentucky appropriations and long-term bonds payable.

#### 5. Risk Management

The University is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters. These risks are covered by (1) the State Fire and Tornado Insurance Fund (the Fund), (2) Sovereign Immunity and the State Board of Claims or, (3) in the case of risks not covered by the Fund and Sovereign Immunity, commercial insurance, participation in insurance risk retention groups or self insurance.

The Fund covers losses to property from fire, wind, earthquake, flood and other named perils between \$250 and \$300,000 per occurrence. Losses in excess of \$300,000 are insured by commercial carriers up to \$200 million per occurrence on an actual cash value basis. As a state agency, the University is vested with Sovereign Immunity and is subject to the provisions of the Board of Claims Act, under which the University's liability for certain negligence claims is limited to \$100,000 for any one person and \$250,000 for multiple claims resulting from a single act of negligence. Claims against educators' errors and omissions and wrongful acts are insured through a risk retention group. There have been no significant reductions in insurance coverage from 1995 to 1996. Settlements have not exceeded insurance coverage during the past three years.

The University and its agents are insured against medical malpractice by a combination of self-insurance and an excess liability fund established by the Commonwealth of Kentucky. The malpractice liability at June 30, 1996 is based on the requirements of Governmental Accounting Standards Board No. 10, which requires that a liability for claims be recorded if it is probable that a liability has occurred and the amount of loss can be reasonably estimated. The liability includes an estimate for claims that have been incurred but not reported at June 30, 1996.

The University is self-insured for the long term disability income program and has established a 501(c)(9) trust for purposes of paying claims and establishing necessary reserves. The estimated long term disability payments for known claims at June 30, 1996 are reported at their present value assuming an investment yield of 7.5%.

The University also self-insures certain employee benefits, including worker's compensation, unemployment claims, and a long term disability supplemental reserve, to the extent not covered by insurance. The University has recorded an estimate for asserted claims at June 30, 1996.

Changes in the liability for self insurance for the year ended June 30, 1996 are as follows:

	(in thousands) Long Term			nds)		
	<u>Malpractice</u>	Disability	<u>Other</u>	<u>Total</u>		
Liabilities at July 1,1995 Accruals for current claims/	\$20,982	\$7,280	\$2,252	\$30,514		
changes in estimates Investment Income	3,992 843	2,660 91	2,488	9,140 934		
Claims paid	(3,087)	<u>(1,927</u> )	(2,416)	(7,430)		
Liabilities at June 30,1996	<u>\$22,730</u>	<u>\$8,104</u>	\$2,324	<u>\$33,158</u>		

#### 6. Bonds Payable

Bonds payable at June 30, 1996, consist of the following:

	(in thousands)		
Lexington Campus and Medical Center: Consolidated Educational Buildings	Outstanding	Current Maturities 1996-97	
Revenue Bonds, issued 1970 to 1995, maturities to 2013, interest rates 2.85% to 8.45%.	\$139,785	\$ 11,160	
Consolidated Housing and Dining System Revenue Bonds, issued 1965 to 1996, maturities to 2023, interest rates 2.80% to 7.0%.	26,481	1,805	
Others, issued 1956 to 1968, maturities to 1998, interest rates 4.0% to 4.5%.	13	6	
Hospital Revenue Bonds, issued 1993, maturities to 2006, interest rates 2.5% to 5.5%.	17,870	1,265	
Community Colleges: Consolidated Educational Buildings Revenue Bonds, issued 1969 to 1994, maturities to 2011, interest rates 3.0% to 7.5%.	107,580	5,730	
Lexington-Fayette Urban County Government Governmental Project Revenue Bonds Series 1994 (University of Kentucky Alumni Association, Inc. Commonwealth Library Project), issued 1994, maturities to 2024, interest rates 6.5% to 6.75%	10.550		
to 2024, interest rates 6.5% to 6.75%	<u>40,550</u>	<u>480</u>	
Total	<u>\$332,279</u>	20,446	
Interest		<u> 17,068</u>	
Total debt service for 1996-97		<u>\$ 37,514</u>	

The aggregate amount of maturities for the next five years is as follows:

	(in thousands)
1997	\$20,446
1998	\$21,577
1999	\$22,175
2000	\$21,450
2001	\$22,560

All bonds are callable by the University at various rates ranging from 100% to 103% of face value after ten years from issuance.

Revenues from student housing and dining facilities, certain other auxiliary enterprises, hospital and student fees are pledged for the retirement of the bonds related to those activities. All bonds, with the exception of the Hospital Revenue Bonds, are collateralized by land and buildings.

Trust account assets and a total liability for defeased bonds of \$138,145,000 at June 30, 1996 are not included in the financial statements.

#### 7. Capital Lease Obligations

The University has utilized capital leases to acquire the following: Woodford County farm, \$11,500,000; football stadium, \$11,699,545; life safety improvements to buildings, \$24,621,000; and various items of equipment, \$7,881,865.

The minimum future lease payments on the capital lease obligations, which are principally with the Commonwealth of Kentucky, are as follows:

	(in thousands)
1997	\$ 4,132
1998	4,066
1999	3,953
2000	3,981
2001	3,930
2002-2016	<u>34,566</u>
Total	54,628
Less: Amount representing interest	(20,527)
Present value of net minimum lease payment	\$34,101

#### 8. Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the year ended June 30, 1996, the University received income from these trusts amounting to \$1,058,668. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The 1996 income received from these trusts is recorded as endowment income in the current unrestricted fund (\$437,994) and in the current restricted fund (\$620,674).

#### 9. Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan, a defined contribution plan. The University of Kentucky Retirement Plan consists of five groups as follows:

Group I	Established July 1, 1964, for faculty and certain administrative officials.
Group II	Established July 1, 1971, for staff members in the clerical, technical and service categories.
Group III	Established July 1, 1972, for staff members
Group IV	in the managerial, professional and scientific categories.  Established January 1, 1973, for staff members
•	having U.S. Civil Service Retirement entitlement.
Group V	Established July 1, 1987, for staff members covered
	under the Federal Employees Retirement System
	that replaced Civil Service (those whose employment
	began during the period from January 1, 1984 to
	March 31, 1987). Staff members whose employment began
	after March 31, 1987 are under one of the above University
	of Kentucky Retirement Plans.

Participation in the University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members, age 30 and older, who have completed one year of service. Participation

is voluntary to age 30 (after completion of one year of service). Participation in groups IV and V is voluntary. The University contributes 10% to group I and 9.50% to groups II and III of eligible compensation. Employees contribute 5% to group I and 4.75% to groups I and III of eligible compensation.

The University has authorized three retirement plan carriers, effective July 1, 1988, as follows:

Teachers Insurance and Annuity Association/College Retirement Equities Fund (TIAA/CREF) Fidelity Institutional Services Company Twentieth Century Investors, Inc.

Under the fully funded group retirement plan, the University and plan participants make contributions to provide fully vested retirement benefits to employees. The University's contributions and costs for 1996 were \$36,466,603 and the employees contributed \$17,575,587. The University's total payroll costs were \$545,155,228. The payroll for employees covered by the retirement plan was \$361,303,805.

In addition to retirement benefits provided from the group retirement plans, the University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note10).

#### 10. Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in retirement groups I, II and III, referred to in Note 9, who were age 40 or older prior to the date of establishment of each group plan, and who were employed by the University prior to that date, qualify for the minimum annual retirement benefit provisions of the retirement plan. Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded by each individual retiree's accumulation in the group retirement plan, with the balance, if necessary, provided by the University as supplemental retirement income.

The Legislature of the Commonwealth of Kentucky has appropriated funds to the University for the payment of supplemental retirement income benefits since adoption of the group retirement plans, and is expected to continue this practice. However the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium. Accordingly, the University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes its costs when funds are appropriated by the Legislature and payments are made. The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments. Supplemental retirement benefit payments were \$4,700,620 for 1996.

The latest actuarial valuation was prepared as of June 30, 1996 by TIAA. The actuarial present value of vested and non-vested accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 7%, was \$35,756,000.

#### 11. Health Insurance Benefits for Retirees

The University provides health care benefits to retirees who have a minimum of fifteen years service. The University recognizes the cost of providing those benefits by expensing the insurance premiums in the year paid. These premiums were \$2,379,430 for the year ended June 30, 1996. At June 30, 1996, 1,305 retirees met the service requirement and were receiving benefits.

#### 12. Contingencies

The University is defendant in several lawsuits. However, University officials are of the opinion, based on advice of in-house legal counsel, that the effect of the ultimate outcome of all litigation will not be material to the future operations or financial position of the University.

#### 13. Pledges

Pledges totaling approximately \$32,664,600 including \$2,693,000 relating to the Commonwealth Library Campaign, are expected to be collected over the next ten years. Deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest are estimated to be approximately \$38,700,000.

The University records contributions as revenue when the cash is received.

#### 14. Grants and Contracts Awarded

At June 30, 1996, grants and contracts of approximately \$80,000,000 had been awarded to the University and the University of Kentucky Research Foundation but not expended. These amounts will be recognized in future periods as revenues and expenditures as the funds are expended.

#### 15. Reclassifications

Certain reclassifications to fiscal 1995 comparative amounts have been made to conform with the fiscal 1996 classifications.

Office of the President September 17, 1996

Members, Board of Trustees:

#### **DISPOSAL OF SURPLUS PROPERTY**

<u>Recommendation</u>: that the Board of Trustees approve the disposal of surplus property as described in Attachment I.

<u>Background</u>: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all surplus property which is no longer needed by the University. The Board must be provided with a description of the property and the reason for and method of disposal. Attachment I provides the required information for requested surplus property disposal.

	1		7. 0.4	
Action taken:	M Approved	Disapproved	Other	

#### Attachment I

### UNIVERSITY OF KENTUCKY DISPOSAL OF SURPLUS PROPERTY

**Property Description** One Technicare 2060Q CT Scanner

Reason for Disposal The University Hospital, Department of Diagnostic Radiology,

acquired the 2060Q CT Scanner in 1981. Recently, the Scanner was replaced because it is obsolete and cannot be upgraded. The manufacturer no longer provides maintenance and replacement parts. The University Hospital recommends disposal since immediate sale would prohibit additional depreciation and

further loss of value.

Method of Disposal Sealed Bid

**Property Description** Specialized research equipment including:

Inverted Microscope Microcentrifuge Hybridization oven UV cross linker Gene pulser Incubators (2)

Several other items of minor equipment

Reason for Disposal Dr. Mitchell Turker, Associate Professor, Department of

Pathology and Laboratory Medicine, accepted a position with Oregon Health Sciences University. Dr. Turker has requested authorization to transfer the equipment in order to continue his research. The equipment was purchased with research grant funds during his employment at the University of Kentucky. The equipment has limited general use and has been used almost exclusively in Dr. Tucker's research. The transfer of equipment will allow Dr. Tucker to continue his research and to collaborate

with two University of Kentucky faculty members.

Method of Disposal Transfer to educational institution

### FCR 3

Office of the President September 17, 1996

Members, Board of Trustees:

### **REPORT OF LEASES**

<u>Recommendation</u>: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the University of Kentucky (Department of Pathology) and the Lexington-Fayette Urban County Government Public Facilities Corporation, 200 East Main Street, Lexington, Kentucky, for property located at 247 East Second Street, Lexington, Kentucky, effective October 1, 1996 through September 30, 1997, for the use of 698 square feet of laboratory space, at a rate of \$5,933.00, be accepted and made a matter of record.

The renewal of a lease between the University of Kentucky (Southeast Community College) and Tri-City Chamber of Commerce, P. O. Box J, Cumberland, Kentucky, for property located on Hatfield Drive, Cumberland, Kentucky, effective October 1, 1996 through June 30, 1997, for the use of 7,500 square feet of classroom space, at a rate of \$18,000.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Rural Health) and B C Contractors, 2530 Scottsville Road, Suite 21, Bowling Green, Kentucky, for property located at 2530 Scottsville Road, Suite 5, Bowling Green, Kentucky, effective August 21, 1996 through June 30, 1998, for the use of 1,250 square feet of office space, at a rate of \$8,162.50, be accepted and made a matter of record.

A new lease between the University of Kentucky (Small Business Development) and Back Burner, LLC, P. O. Box 278, Elizabethtown, Kentucky, for property located at 133 West Dixie Avenue, Elizabethtown, Kentucky, effective August 1, 1996 through June 30, 1998, for the use of 1,200 square feet of office space, at a rate of \$6,643.20, be accepted and made a matter of record.

An addendum of a lease between the University of Kentucky (Department of Psychiatry) and Scott Street Land Company, P. O. Box 17360, Ft. Mitchell, Kentucky, for property located at 333 Scott Street, Suite 50, Covington, Kentucky, effective August 21, 1996 through June 30, 1998, for the use of 500 square feet of office space, at a rate of \$5,800.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Home Place) and R & R Refrigeration, P. O. Box 436, Brownsville, Kentucky, for property located at 412 South Main Street, Brownsville, Kentucky, effective September 18, 1996 through June 30, 1998, for the use of 437 square feet of office space, at a rate of \$3,600.00, be accepted and made a matter of record.

<u>Background</u>: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

Action taken:	Approved	☐ Disapproved	Other	

# FCR 4

Office of the President September 17, 1996
Members, Board of Trustees:
APPROVAL OF LEASE
Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:
An addendum of a lease between the University of Kentucky (Home Care) and Kentucky Medical Services Foundation, 729 South Limestone, Lexington, Kentucky, for property located at 205 Kings Daughters Drive, Frankfort, Kentucky, effective September 18, 1996 through June 30, 1998, for the use of 100 square feet of office space, at an annual rate of \$800.00, bringing the total amount to \$48,800.00.
Action taken:  Approved  Disapproved  Other

Office of the President September 17, 1996

Members, Board of Trustees:

### **CAPITAL CONSTRUCTION REPORT**

<u>Recommendation:</u> that the capital construction report for the three months ending June 30, 1996, be accepted. This report refers to only projects that had activity within this quarter.

<u>Background:</u> Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

Action taken:	■ Approved	☐ Disapproved	Other	

### CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

Consultant Contracts: This section contains the name of the project, the project number, the project scope, the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

Construction Contracts: This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the construction award amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.

CONTRACTOR	PROJECT INFORMATION	
Norwood Construction	Annex Buildings Demolition	
Lexington Contract# U011272-95	Project Number: 1632.0	<b>0</b> 400.004
Low Bid: \$199,884	Contractor Award Amount: Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$199,884 \$0
High Bid: \$297,000	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$199,884
Number of Bids: 3	Contract Percent Complete:	0%
D.W. Wilburn, Inc.	Ashland C.C. Academic Classroom Building	
Lexington	Project Number: 1459.0	
Contract# U010766-95	Contractor Award Amount:	\$3,672,500
Low Bid: \$3,672,500	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$0 \$2,670,500
High Bid: \$4,392,000 Number of Bids: 6	Revised Contractor Contract Amount:	\$3,672,500 2%
Number of Bids: 0	Contract Percent Complete:	270
	Authorit CO Physical C II Chiller Borlescone	
Abner Construction  Morehead	Ashland CC Phase I & II Chiller Replacement Project Number: 1556.0	
Contract# U004467-95	•	¢100 444
Low Bid: \$129,444	Contractor Award Amount:	\$129,444 \$1,136
High Bid: \$162,800	Total Change Orders: (2) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$130,580
Number of Bids: 5	Contract Percent Complete:	94%
Jerry Grot Electrical, Inc.	ASTeCC/Civil Emergency Generator	
Lexington	Project Number: 640.7	
Contract# U003251-95	Contractor Award Amount:	\$289,467
Low Bid: \$289,467	Total Change Orders: (3) Contractor Cum. Change Order Amount:	\$19,615
High Bid: \$335,600	Revised Contractor Contract Amount:	\$309,082
Number of Bids: 5	Contract Percent Complete:	99%
Commercial Finishes	Boyd Hall Restroom Renovation	
Lexington Contract# U008343-95	Project Number: 1606.0	<b>A</b>
	Contractor Award Amount:	\$142,900
Low Bid: \$142,900 High Bid: \$153,300	Total Change Orders: (1) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$268 \$143,168
High Bid: \$153,300 Number of Bids: 3	Contract Percent Complete:	36%
Trainion of Dids.	Confident Tercont Complete.	3070

CONTRACTOR	PROJECT INFORMATION	
Arrow Electric	Cardiac Catheterization Suite Renovation	
Louisville	Project Number: 1495.0	
Contract# U006173-95  Low Bid: \$363,500  High Bid: \$447,450	Contractor Award Amount:  Total Change Orders: (2) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$363,500 \$19,374 \$382,874
Number of Bids: 4	Contract Percent Complete:	41%
		<del></del>
Centex Rodgers**	Cardiac Catheterization Suite Renovation	
Nashville, TN	Project Number: 1495.0	
Contract# U003611-95	Contractor Award Amount:	\$363,399
Low Bid:	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$0
High Bid:	Revised Contractor Contract Amount:	\$363,399
Number of Bids:	Project Percent Complete:	40%
	s the construction manager for this project. The following contracts pertaining to prontex Rodgers Construction Company.	oject
Davis & Plomin	Cardiac Catheterization Suite Renovation	
Lexington	Project Number: 1495.0	
Contract# U006170-95	Contractor Award Amount:	\$232,300
Low Bid: \$232,300	Total Change Orders: (1) Contractor Cum. Change Order Amount:	\$1,774
High Bid: \$239,600	Revised Contractor Contract Amount:	\$234,074
Number of Bids: 2	Contract Percent Complete:	87%
Lagco, Inc.	Cardiac Catheterization Suite Renovation	
Lexington	Project Number: 1495.0	
Contract# U006176-95	Contractor Award Amount:	\$658,000
Low Bid: \$658,000	Total Change Orders: (5) Contractor Cum. Change Order Amount:	\$6,873
High Bid: \$798,300	Revised Contractor Contract Amount:	\$664,873
Number of Bids: 2	Contract Percent Complete:	46%
		•
W16-1 D-01	Cardiac Catheterization Suite Renovation	
Woodford Builders Lexington	Project Number: 1495.0	
Contract# U006081-95	•	0000 000
	Contractor Award Amount:	\$899,200
Low Bid: \$899,200	Total Change Orders: (1) Contractor Cum. Change Order Amount:	\$28,195
High Bid: \$977,675	Revised Contractor Contract Amount:	\$927,395
Number of Bids: 2	Contract Percent Complete:	21%

CONTRACTOR	PROJECT INFORMATION	
Engle Construction	Chemistry Lab Renovation	<del></del>
Lexington Contract# U006025-95	Project Number: 1580.0  Contractor Award Amount:	\$73,660
Low Bid: \$73,660	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$119
High Bid: \$84,652 Number of Bids: 5	Revised Contractor Contract Amount:  Contract Percent Complete:	\$73,779 93%
Commercial Finishes. Lexington	Chemistry Organic Teaching Lab Renovation Project Number: 1571.0	
Contract# U009319-95	Contractor Award Amount:	\$188,000
Low Bid: \$188,000 High Bid: \$208,500	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$0 \$188,000
Number of Bids: 3	Contract Percent Complete:	41%
Finney Company, Inc.	Chemistry/Physics Bldg. Energy Recovery	
Lexington Contract# U004387-95	Project Number: 745.11	<b>#</b> F00.0F0
Low Bid: \$582,250	Contractor Award Amount: Total Change Orders: (2) Contractor Cum. Change Order Amount:	\$582,250 -\$11,450
High Bid: \$588,000	Revised Contractor Contract Amount:	\$570,800
Number of Bids: 3	Contract Percent Complete:	76%
E.C. Matthews Co., Inc. Lexington	Commonwealth Stadium Drainage Project Number: 1625.0	
Contract# U011070-95	Contractor Award Amount:	\$15,447
Low Bid: \$15,447	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$0
High Bid:	Revised Contractor Contract Amount:	\$15,447 0%
Number of Bids: 1	Contract Percent Complete:	0%
	On the Charling Field Designer	
Tree Top Landscaping Lexington	Commonwealth Stadium Field Drainage Project Number: 1625.0	
Contract# U009085-95	Contractor Award Amount:	\$57,940
Low Bid: \$57,940	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$0 \$57.040
High Bid: Number of Bids: 1	Revised Contractor Contract Amount:  Contract Percent Complete:	\$57,940 90%

CONTRACTOR	PROJECT INFORMATION
Reliable Mechanical	Cooling Plant #2 Expansion
Louisville	Project Number: 666.2
Contract# U154946  Low Bid: \$7,675,000	Contractor Award Amount: \$7,675,000 Total Change Orders: (24) Contractor Cum. Change Order Amount: \$564,045
High Bid: \$8,548,000 Number of Bids: 7	Revised Contractor Contract Amount: \$8,239,045 Contract Percent Complete: 100%
Turner Construction**	Critical Care Shell Pediatric Facility Enhancement
Cincinnati, OH	Project Number: 1521.0
Contract# U005034-94	Contractor Award Amount: \$430,000
Low Bid:	Total Change Orders: (0) Contractor Cum. Change Order Amount: \$0  Revised Contractor Contract Amount: \$430,000
High Bid: Number of Bids:	Project Percent Complete: 50%
Notes: **Turner is the constr	uction manager for this project. The following construction contracts pertaining to the
Critical Care Shell are manag	ed by Turner Construction.
Dalmatian Fire, Inc. Mason, OH	Critical Care Shell Pediatric Facility Enhancement Project Number: 1521.0
Contract# U002572-95	Contractor Award Amount: \$133,876
Low Bid: \$133,876	Total Change Orders: (3) Contractor Cum. Change Order Amount: \$7,661
High Bid: \$204,590	Revised Contractor Contract Amount: \$141,537  Contract Percent Complete: 57%
Number of Bids: 4	Contract Percent Complete: 57%
	O World One of the Buddelin English Enhancement
Kentucky Electric Co. Lexington	Critical Care Shell Pediatric Facility Enhancement Project Number: 1521.0
Contract# U002654-95	Contractor Award Amount: \$941,900
Low Bid: \$941,900	Total Change Orders: (7) Contractor Cum. Change Order Amount: \$43,837
High Bid: \$1,550,800	Revised Contractor Contract Amount: \$985,737
Number of Bids: 4	Contract Percent Complete: 62%
Woodford Builders Lexington	Critical Care Shell Pediatric Facility Enhancement Project Number: 1521.0
Contract# U002487-95	Contractor Award Amount: \$3,803,500
Low Bid: \$3,803,500	Total Change Orders: (14) Contractor Cum. Change Order Amount: \$251,244
High Bid: \$4,158,895	Revised Contractor Contract Amount: \$4,054,744
Number of Bids: 4	Contract Percent Complete: 43%

CONTRACTOR	PROJECT INFORMATION			
Eubank & Steele	Dental Clinical Lab Renovation			
Lexington Contract# U009362-95	Project Number: 1574.0  Contractor Award Amount:	\$664,500		
Low Bid: \$664,500  High Bid: \$782,500  Number of Bids: 6	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:  Contract Percent Complete:	\$0 \$664,500 23%		
	Constitution Total Complete.			
Commercial Finishes Lexington Contract# U005004-95	Dimmock Animal Pathology Life Safety Project Number: 1559.0			
Low Bid: \$138,836 High Bid: \$159,460 Number of Bids: 5	Contractor Award Amount: Total Change Orders: (3) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$138,836 \$5,419 \$144,255 95%		
Burchfield & Thomas Lexington	Environmental Quality Management Center Project Number: 1456.0			
Contract# U008888-95 Low Bid: \$2,045,000 High Bid: \$2,307,573 Number of Bids: 3	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:  Contract Percent Complete:	\$2,045,000 \$0 \$2,045,000 5%		
English Paving Company Equine Research Parking Lot Versailles Project Number: 1575.0				
Contract# U008883-95 Low Bid: \$159,870 High Bid: \$165,000 Number of Bids: 2	Contractor Award Amount: Total Change Orders: (1) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$159,870 \$616 \$160,486 68%		
MB McGregor Co. & Lexington	Greg Page I Renovation Project Number: 1537.0			
Contract# U009739-95  Low Bid: \$398,000  High Bid: \$643,564  Number of Bids: 7	Contractor Award Amount: Total Change Orders: (1) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$398,000 \$20,357 \$418,357 12%		

CONTRACTOR	PROJECT INFORMATION	
Brown & Kerr, Inc.	Henderson C. C. Built-Up Roof Replacement	
Shepherdsville Contract# U007428-95	Project Number: 1573.0	
	Contractor Award Amount:	\$75,696
Low Bid: \$75,696 High Bid: \$96,579	Total Change Orders: (1) Contractor Cum. Change Order Amount:	\$0 \$75,696
Number of Bids: 5	Revised Contractor Contract Amount:  Contract Percent Complete:	70%
Arrow Electric Co., Inc. Louisville	Hospital Electrical Distribution Upgrade Project Number: 1518.0	
Contract# U008507-95		<b>#705 000</b>
Low Bid: \$720,000	Contractor Award Amount:  Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$705,000 \$20,180
High Bid: \$745,000	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$725,180
Number of Bids: 3	Contract Percent Complete:	12%
Notes: *Low bid was negotion	<u> </u>	
Don Cloyd Construction,	King Alumni House Handicapped Access	
Lexington	Project Number: 1472.0	
Contract# U000800-95	Contractor Award Amount:	\$148,860
Low Bid: \$148,860	Total Change Orders: (2) Contractor Cum. Change Order Amount:	\$178
High Bid: \$160,900	Revised Contractor Contract Amount:	\$149,038
Number of Bids: 3	Contract Percent Complete:	100%
Outlaw Contracting	LRC Sprinklers & ADA Accessibility Renovation	
Hopkinsville	Project Number: 1603.0	
Contract# U010529-95	Contractor Award Amount:	\$136,000
Low Bid: \$136,000	Total Change Orders: (0) Contractor Cum. Change Order Amount:	\$0
High Bid: \$183,840	Revised Contractor Contract Amount:	\$136,000
Number of Bids: 3	Contract Percent Complete:	0%
Lehmann Engineering	Medical Center Fume Hood Upgrade	
Lexington	Project Number: 745.8	
Contract# U007785-92	Contractor Award Amount:	\$5,580,000
Low Bid: \$5,580,000	Total Change Orders: (53) Contractor Cum. Change Order Amount:	\$601,709
High Bid: \$6,200,000		\$6,181,709
Number of Bids: 3	Contract Percent Complete:	99%

CONTRACTOR	PROJECT INFORMATION	
Eubank & Steele	Medical Research Fit-up & Security	
Lexington Contract# U013399-94 Low Bid: \$2,158,000 High Bid: \$2,240,000 Number of Bids: 5	Total Change Orders: (10) Contractor Cum. Change Order Amount:	\$2,158,000 \$56,338 \$2,214,338 99%
Brown Sprinkler Corp. Lexington Contract# U003291-95	Memorial Coliseum Sprinklers & Emergency Lighting Project Number: 1558.0  Contractor Award Amount:	\$98,100
Low Bid: \$98,100 High Bid: \$114,600 Number of Bids: 3	Total Change Orders: (1) Contractor Cum. Change Order Amount:  Revised Contract Contract Amount:  Contract Percent Complete:	\$2,175 \$100,275 99%
Commercial Finishes Lexington	Nephrology Vitamin D Lab Renovation Project Number: 1581.0	
Contract# U006394-95  Low Bid: \$131,000  High Bid: \$140,666  Number of Bids: 3	Total Change Orders: (2) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:  Contract Percent Complete:	\$131,000 \$2,565 \$133,565 99%
Murtco Mechanical, Inc. Paducah Contract# U003851-95	Paducah CC/Gymnasium HVAC Renovation Project Number: 1555.0	Ø1 CO DO1
Low Bid: \$162,891 High Bid: \$333,900 Number of Bids: 3	Contractor Award Amount: Total Change Orders: (1) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$162,891 \$1,886 \$164,777 83%
Frank Messer & Sons Cincinnati, OH Contract# U003333-95	Parking Structure Project Number: 1530.0	\$7 800 000
Low Bid: \$8,088,000 High Bid: \$8,397,000 Number of Bids: 3 Notes: The low bid was nego	Contractor Award Amount: Total Change Orders: (10) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete: Diated down to \$7,800,000.	\$7,800,000 \$338,245 \$8,138,245 32%

CONTRACTOR	PROJECT INFORMATION	
Commercial Finishes	Parking Structure #2, Life Safety	
Lexington Contract# U009363-95	Project Number: 1598.0  Contractor Award Amount:	\$169,000
Low Bid: \$169,000 High Bid: \$258,889	Total Change Orders: (0) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$0 \$169,000
Number of Bids: 6	Contract Percent Complete:	13%
Eagle Roofing Richmond	Prestonsburg CC Johnson Building Metal Roof Project Number: 1557.0	
Contract# U003599-95	Contractor Award Amount:	\$361,000
Low Bid: \$361,000	Total Change Orders: (1) Contractor Cum. Change Order Amount:	\$2,911
High Bid: \$403,800 Number of Bids: 2	Revised Contractor Contract Amount:	\$363,911 96%
Number of Bids: 2	Contract Percent Complete:	90%
Eagle Roofing Richmond	Prestonsburg CC Pike Bldg Roof Repolacment Project Number: 1524.0	
Contract# U003596-95	Contractor Award Amount:	\$157,000
Low Bid: \$157,000	Total Change Orders: (1) Contractor Cum. Change Order Amount:	\$3,938 \$160,938
High Bid: \$172,200 Number of Bids: 2	Revised Contractor Contract Amount:  Contract Percent Complete:	73%
Parker Construction	Research Bldg. No. 1 Casework Removal	
Lexington	Project Number: 1591.0	
Contract# U006373-95	Contractor Award Amount:	\$127,700
Low Bid: \$127,700 High Bid: \$190,642	Total Change Orders: (6) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$17,000 \$144,700
Number of Bids: 5	Contract Percent Complete:	99%
· · · · · · · · · · · · · · · · · · ·		***************************************
Parker Construction, Inc. Lexington	Scovell Hall Basement Fit-Up Project Number: 1609.0	
Contract# U007479-95	Contractor Award Amount:	\$62,500
Low Bid: \$62,500 High Bid: \$79,800	Total Change Orders: (3) Contractor Cum. Change Order Amount:  Revised Contractor Contract Amount:	\$12,176 \$74,676
High Bid: \$79,800 Number of Bids: 7	Contract Percent Complete:	97%

CONTRACTOR PROJECT INFORMATION SECC Appalachain Center/Fine Arts Building Green Const. Co., Inc. Middlesboro Project Number: 728.0 Contract# U132066 Contractor Award Amount: \$3,927,900 Low Bid: \$3,927,900 Total Change Orders: (36) Contractor Cum. Change Order Amount: High Bid: \$4,226,449 Revised Contractor Contract Amount: \$4,131,565 Number of Bids: 7 Contract Percent Complete: Shawneetown/Cooperstown Renovation Eubank & Steele Lexington Project Number: 1509.0 Contract# U011531-94 Contractor Award Amount: \$1,838,250 Low Bid: \$1,838,250 Total Change Orders: (6) Contractor Cum. Change Order Amount: High Bid: \$2,160,000 Revised Contractor Contract Amount: \$1,879,714 Number of Bids: 6 Contract Percent Complete:

Branscum Construction\*\*

Somerset CC Economic Development/Cultural Center

Russell Springs

Project Number: 631.0

Contract# U002977-93

\$315,000 Contractor Award Amount:

Low Bid:

Total Change Orders: (1) Contractor Cum. Change Order Amount:

High Bid:

Revised Contractor Contract Amount: \$390,000

Number of Bids:

99% Project Percent Complete:

\$203,665

\$41,464

\$75,000

84%

99%

Notes: \*\*Branscum is the construction manager for this project. The following contracts pertaining to project 631.0 are

managed by Branscum Construction.

D. W. Wilburn, Inc.

Somerset CC Economic Development/Cultural Center

Lexington

Project Number: 631.0

Contract# U006986-93

Contractor Award Amount: \$1,790,000

Low Bid: \$1,654,000

\$195,388 Total Change Orders: (14) Contractor Cum. Change Order Amount:

High Bid: \$2,189,500

Revised Contractor Contract Amount: \$1,985,388

Number of Bids: 4

Contract Percent Complete: 99%

Notes: \*Contractor error in low bid.

CONTRACTOR	PROJECT INFORMATION	
Enterprise Electric, Inc. Campbellsville	Somerset CC Economic Development/Cultural Center Project Number: 631.0	
Contract# U006963-93  Low Bid: \$1,399,000  High Bid: \$1,694,000  Number of Bids: 7	Contractor Award Amount: Total Change Orders: (13) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$1,399,000 \$398,935 \$1,797,935 97%
Green Mechanical Const. Lexington Contract# U006992-93	Somerset CC Economic Development/Cultural Center Project Number: 631.0	\$1,378,420
Low Bid: \$1,378,420 High Bid: \$1,769,700 Number of Bids: 7	Contractor Award Amount: Total Change Orders: (7) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$251,326 \$1,629,746 99%
Landmark Sprinkler, Lexington	Somerset CC Economic Development/Cultural Center Project Number: 631.0	
Contract# U006988-93  Low Bid: \$136,300  High Bid: \$190,700  Number of Bids: 2	Contractor Award Amount: Total Change Orders: (6) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$136,300 \$61,977 \$198,277 90%
Simpson & Company, Nicholasville	Somerset CC Economic Development/Cultural Center Project Number: 631.0	
Contract# U007029-93  Low Bid: \$143,174  High Bid: \$218,000  Number of Bids: 5	Contractor Award Amount: Total Change Orders: (8) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$143,174 -\$6,713 \$136,461 98%
United Stage Equipment Hartselle, Alabama Contract# U007181-93	Somerset CC Economic Development/Cultural Center Project Number: 631.0	
Low Bid: \$89,990 High Bid: \$135,200 Number of Bids: 7	Contractor Award Amount: Total Change Orders: (1) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$89,990 \$14,088 \$104,078 99%

CONTRACTOR	PROJECT INFORMATION				
Roberts Enterprises West Somerset	Southeast C C Newman Hall Renovation Project Number: 1463.0				
Contract# U013309-93  Low Bid: \$278,575  High Bid: \$314,733  Number of Bids: 3	Contractor Award Amount: Total Change Orders: (5) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$278,575 \$21,352 \$299,927 100%			
M.B. McGregor & Lexington	Student Center Art Gallery Renovation Project Number: 1584.1				
Contract# U-011076-95  Low Bid: \$79,202  High Bid: \$107,800  Number of Bids: 8	Contractor Award Amount: Total Change Orders: (0) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$79,202 \$0 \$79,202 0%			
Koch, Inc. Louisville	Student Center Great Hall Window Replacement Project Number: 1584.0	-			
Contract# U007130-95 Low Bid: \$89,433 High Bid: \$114,438 Number of Bids: 6	Contractor Award Amount: Total Change Orders: (1) Contractor Cum. Change Order Amount: Revised Contractor Contract Amount: Contract Percent Complete:	\$89,433 \$529 \$89,962 72%			

CONSULTANT		PROJECT INFORMATION					
Pearson + Bender + Jolly		Aging/Allied Health Phase I					
Lexington		Project	Number: 40	5.1			
Contract# A961260				Consult	ant Contract	Amount:	\$525,000
	Total	Amendments: (1)	Consultant	Cumulative	Amendment	Amount:	\$15,000
D ' D ' . '			Rev	ised Consul	tant Contract	Amount:	\$540,000
Project Description: Adds laboratory space to research laboratories dec and offices. This addition based on a very success:	dicated to connec	to aging research and ets directly to the existi	also contains ng building thr	support space ough the exis	es such as ani	mal housin	g, equipment,
Booker Associates-Per D	iem	Annex I	Buildings Dem	olition			\$380,000
Lexington		Project	Number: 163	32.0			
Contract# A961140				Consult	ant Contract	Amount:	\$16,733
	Total	Amendments: (0)	Consultant	Cumulative	Amendment	Amount:	\$0
Project Description:			Rev	ised Consul	tant Contract	Amount:	\$16,733
A single building is propo The proposed building wi Campus Development Pluse space, training/therap	II conta an. The	in multidisciplinary pro e building will contain I	grams and be abs, classroor	located acco	rding to the Ur	niversity of	Kentucky
Pearson + Bender + Jolly	ľ		CC Classroom				\$5,810,000
Lexington		Project	Number: 14!	59.0			
Contract# A94118					ant Contract		\$234,910
	Total	Amendments: (1)			Amendment		
Project Description:			Rev	ised Consul	tant Contract	: Amount:	\$266,655
Consists of a new Acade learning center, and facu			oroximately 35	,000 gross sq	uare feet, to in	iclude class	srooms, a
Howard K. Bell Consultin	g	Ashland CC Pha	se I & II Chille	r Replaceme	nt		\$195,000
Lexington	_	Project	Number: 15	56.0			
Contract# A941950				Consult	ant Contract	Amount:	\$14,085
	Total	Amendments: (0)	Consultant	Cumulative	Amendment	Amount:	\$0
Bailer Barrier			Rev	ised Consul	tant Contract	Amount:	\$14,085
Project Description: Replace two existing R-1 air cooled chiller (200 ton warranty on compressors	s). The	e new unit is to have a y insulated chiller evar	minimum of two	vo refrigerant	loops per chille	er; 5 year e	xtended

controls; hour meters and start counters; and vibration isolation.

CONSULTANT		PROJE	CT INFOR	MATION			SCOPE
Adams, Frazier, Anderso	n	Ashland Community	College Four	rth Floor Spr	nklers		\$195,000
Lexington		Project	Number: 16	20.0			
Contract# A961390				Consu	ltant Contract	Amount:	\$20,000
	Total	Amendments: (0)	Consultant	Cumulative	Amendment	Amount:	\$0
		` '	Re	vised Consu	ltant Contrac	t Amount:	\$20,000
Project Description: Furnish and install new w Underground campus wa proper sprinkler water pre current building codes. S Notes: No construction of	iter lines essure. Sprinkle	s, water meter vaults, f The existing fire alarn rs will be installed in the	fire pumps and n panel shall t	d indoor pipir be upgraded	ng will be includ or replaced as	led as nece necessary t	ssary for o meet
Bickell-Gibson Architects		ASTeCC	Emergency G	enerator			\$220,613
Louisville		Project	Number: 64	0.7			
Contract# A92144				Consu	itant Contract	Amount:	\$18,859
	Total	Amendments: (1)	Consultant	Cumulative	Amendment	Amount:	\$7,100
Project Description:			Re	vised Consu	ltant Contrac	t Amount:	\$25,959
alarms, and to the exhaus Notes: Bickel-Gibson is the management of a Cocontract A92144.	the con	sultant for all ASTeCC	projects. **F				
Johnson/Romanowitz		Bell Co. C	ampus SECC	/KY Tech			\$11,375,110
Lexington			Number: 73				
Contract# A921210		-		Consu	tant Contract	Amount:	\$448,503
	Total	Amendments: (3)	Consultant	Cumulative	Amendment	Amount:	\$123,449
		, ,	Re	vised Consu	ltant Contrac	t Amount:	
Project Description:	to SEC	C, in Middlesboro, KY	' containing t		alla ara - Divillatia a		
A new campus extension Administration, Library, a and will be jointly operate building with science labs Notes: \$11,375,110 refle	and Stud ed with I s, art an	Kentucky Tech of the Volume of	uilding 2 has a Workforce Dev alty offices are	vocational/ted velopment Ca also included	chnical classroom binet. Building d.		ulty offices,
A new campus extension Administration, Library, a and will be jointly operate building with science labs	and Stud ed with I e, art an ects the	Kentucky Tech of the North of t	uilding 2 has a Workforce Dev alty offices are	vocational/teo velopment Ca also includeo , 730.1, 730.2	chnical classroom binet. Building d.		ulty offices,
A new campus extension Administration, Library, a and will be jointly operate building with science labs Notes: \$11,375,110 refle	and Stud ed with I e, art an ects the	Kentucky Tech of the North Market Market North Market Nor	uilding 2 has Workforce Dev lity offices are projects 730.0	vocational/ted velopment Ca also included 730.1, 730.2	chnical classroom binet. Building d.		ulty offices, sroom
A new campus extension Administration, Library, a and will be jointly operate building with science labs Notes: \$11,375,110 reflegames W. Potts, Architect Lexington	and Stud ed with I e, art an ects the	Kentucky Tech of the North Market Market North Market Nor	uilding 2 has Norkforce Dev lity offices are projects 730.0 Restroom Re	vocational/ted velopment Callaso included, 730.1, 730.2 enovation	chnical classroom binet. Building d.	3 is a class	ulty offices, sroom
A new campus extension Administration, Library, a and will be jointly operate building with science labs Notes: \$11,375,110 refle	and Studed with I	Kentucky Tech of the North Market Market North Market Nor	uilding 2 has Norkforce Dev lity offices are projects 730.0 Restroom Re Number: 16	vocational/ted velopment Ca also included , 730.1, 730.2 novation 06.0 Consu	chnical classrod binet. Building d. 2, and 730.3.	3 is a class  Amount:	ulty offices, sroom
A new campus extension Administration, Library, a and will be jointly operate building with science labs Notes: \$11,375,110 refleured James W. Potts, Architect Lexington	and Studed with I	Kentucky Tech of the North Market Spaces. Facultotal project scope of page 12 Boyd Hall Project	uilding 2 has Norkforce Devolty offices are projects 730.0.  Restroom Re Number: 16	vocational/ted velopment Ca also included 730.1, 730.2 novation 06.0 Consui	chnical classroom chinet. Building d. 2, and 730.3.	Amount:	\$199,000 \$18,000

CONSULTANT	PROJECT INFORMATION S					
Johnson Romanowitz	Center For Aging Planning					
Lexington	Project Number: 405.2					
Contract# A941800	Consultant Contract Amount:	\$14,440				
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$10,000				
Desired Descriptions	Revised Consultant Contract Amount:	\$24,440				
	mestone - Rose Street triangle. Study reviews maximum buildable area, building tyl traffic patterns, and facade treatment.	pe,				
James W. Potts-Per Dier	n Chem/Physics Renovation Of Lab Rooms	\$120,000				
Lexington	Project Number: 1580.0					
Contract# A961000	Consultant Contract Amount:	\$11,000				
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0				
Dunings Descriptions	Revised Consultant Contract Amount:	\$11,000				
Project Description: Renovate Chemistry Lab	s 335, 337, 343 and 301.					
Biagi, Chance et al.	Chemistry/Physics Fume Hood Heat Recovery	\$500,000				
Shelbyville	Project Number: 745.11					
Contract# A94153	Consultant Contract Amount:	\$34,946				
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0				
Project Description:	Revised Consultant Contract Amount:	\$34,946				
Construction of a system	to recover energy which is being exhausted from the Chemistry/Physics Building latutilize that energy to treat the make-up air supplying the building, in order to reduce that cool the facility.					
PEH, Inc.	Coldstream Farm Civil Engineering	\$1,225,300				
Lexington	Project Number: 894.0					
Contract# A93127	Consultant Contract Amount:	\$309,200				
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$178,544				
Project Description:	Revised Consultant Contract Amount: including streets and sewers.	\$487,744				
Notes: **Project contain	_					
Fuller, Mossbarger, Scot	t and Commonwealth Stadium Field Drainage	\$199,000				
Lexington	Project Number: 1625.0					
Contract# A961160	Consultant Contract Amount:	\$13,960				
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0				
Desired Desired	Revised Consultant Contract Amount:	\$13,960				
Project Description: The football field has set low spots, and installing	tled over time and is in need of reshaping. This will provide for regrading the field, e a new drainage system.	liminating				

PROJECT INFORMATION **SCOPE** CONSULTANT Cooling Plant Repair & Replacement \$13,750,000 Mason & Hanger Engineers Project Number: 666.0 Lexington Consultant Contract Amount: Contract# A90129 \$428,446 Consultant Cumulative Amendment Amount: Total Amendments: (7) \$415.939 Revised Consultant Contract Amount: \$844,385 Project Description: Construction of an addition to Cooling Plant No. 2, installation of two new 5,000 ton chillers and all associated peripheral equipment, construction of a new cooling tower to serve the new chillers, and modification of the existing substation to permit connection of the plant addition. Notes: Project scope of \$13,750,000 reflects projects 666.0, 666.1, 666.2, 666.3, 666.41, 666.42, 666.43, 666.5, and 666.6. CMW, Inc. Critical Care Shell Pediatric Facility Enhancement \$10,600,000 Lexington Project Number: 1521.0 Consultant Contract Amount: Contract# A94151 \$630,000 Consultant Cumulative Amendment Amount: Total Amendments: (1) \$21,000 Revised Consultant Contract Amount: \$651,000 Project Description: Consists of renovation of approximately 23,000 gross square feet and fit-up of 31,000 gross square feet to create a Children's Hospital. The space is located on the fourth floor of the Hospital and Critical Care Center. The basic concept is that of a "hospital within a hospital". The types of spaces included in the project include intensive care units, acute care units, child life spaces, family support, ancillary services and staff support. The consultant shall provide several studies to recommend the allocation of functions between the Critical Care Center and existing Hospital. Notes: \*\*Project contains multiple construction contracts. Under management of a Construction Manager. James W. Potts, Architects **Dental Clinic Teaching Lab Renovation** \$1,500,000 Lexington Project Number: 1574.0 Contract# A961120 Consultant Contract Amount: \$24,000 Total Amendments: (1) Consultant Cumulative Amendment Amount: \$32,000 Revised Consultant Contract Amount: \$56,000 Project Description: Renovate clinical teaching laboratory spaces in the College of Dentistry to improve operational efficiency of the clinics; to enhance the instructional training of dental students; and to improve patient care. The renovation will involve the correction of functional deficiencies; improvement in the aesthetic quality of the clinics; and replacement of outdated equipment which no longer meets the new training methods as taught in the Dental College. Dimmock Animal Pathology Life Safety David Banks \$191,000 Project Number: 1559.0 Frankfort Consultant Contract Amount: Contract# A941900 \$16,500 Consultant Cumulative Amendment Amount: Total Amendments: (0) \$0

Renovation of the Dimmock Animal Pathology Building to alleviate several Life Safety concerns. These include fire suppression (sprinklers) for the entire building, an exit from the basement, wireglass on several doors, and door closures.

Project Description:

Revised Consultant Contract Amount:

\$16,500

CONSULTANT		PROJE	CT INFORMATION	SCOPE		
Mason & Hanger Engine	ers	Environmental Quality Management Center				
Lexington		Project	Number: 1456.0			
Contract# A93134			Consultant Contract Amount:	\$78,000		
	Total	Amendments: (3)	Consultant Cumulative Amendment Amount:	\$25,161		
<b></b>			Revised Consultant Contract Amount:	\$103,161		
Project Description: Design and construction 9,000 to 10,000 square for		v waste storage/treatn	nent facility. The warehouse type building will be app	roximately		
Palmer Engineering		Equine I	Research Parking Lot	\$198,000		
Winchester		•	Number: 1575.0			
Contract# A961070		•	Consultant Contract Amount:	\$13,950		
	Total	Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0		
		(-/	Revised Consultant Contract Amount:			
expand the existing lot in	this loc	ation and replace spa	earch Center. It will have approximately 200 spaces. ces being lost due to the construction of the Environm g, sidewalk, drainage, and landscaping.			
Pearson + Bender + Jolly	<b>y</b>	Greg Page F	Renovation (four buildings)	\$600,000		
Lexington		Project	Number: 1537.0			
Contract# A941830			Consultant Contract Amount:	\$60,000		
	Total	Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0		
Project Description:			Revised Consultant Contract Amount:	\$60,000		
material; new gutters an sinks and faucets; minor	d downs r electric sociated	spouts; new energy-e al work involving repla with the existing toilet	ement of the exterior siding with a more durable, main ifficient windows; new kitchen cabinets and counterto acement and/or additional light fixtures in the kitchen/ors; and, construction of enclosures around the two exi	ps including dining areas;		
Biagi, Cummins, London	et al		dministration Building Elevator	\$250,000		
Shelbyville		Project	Number: 1600.0			
Contract# A961340			Consultant Contract Amount:	\$23,600		
	Total	Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0		
		ation Building at Hend	Revised Consultant Contract Amount: lerson Community College. Location as determined of	\$23,600 luring recent		
renovation of the building Notes: No construction of	-	s) awarded to date.				
	3011114011		0010014110-4	0100.000		
Collignon & Nunley			on CC LRC Metal Roof	\$160,600		
Owensboro		Project	Number: 1573.0	04000		
Contract# A961060	m 1	A	Consultant Contract Amount:	\$12,000		
	Total	Amendments: (0)	Consultant Cumulative Amendment Amount:	\$0		
Project Description: Remove the existing roof material will be installed			Revised Consultant Contract Amount: tem. A built up roof using lightweight concrete as an i			

CONSULTANT	PROJECT INFORMATION	SCOPE
Lyle Associates, Architect	Hopkinsville CC LRC Sprinklers and ADA	\$225,000
Lexington	Project Number: 1603.0	
Contract# A961220	Consultant Contract A	Amount: \$20,000
	Total Amendments: (0) Consultant Cumulative Amendment A	Amount: \$0
	Revised Consultant Contract	Amount: \$20,000
Project Description: Provide sprinklers in the I that all parts of the campu	RC building to protect the physical plant, and to upgrade entrances and res are fully accessible to disabled students, teachers, staff and visitors to the	est room facilities so he Community College
Collignon & Nunley	Hopkinsville CC Regional Technology Center	\$11,445,000
Owensboro	Project Number: 1461.0	
Contract# A94116	Consultant Contract A	Amount: \$465,000
	Total Amendments: (1) Consultant Cumulative Amendment A	Amount: \$170,000
	Revised Consultant Contract	Amount: \$635,000
	ical training facility, to include laboratories, conference rooms, classroom administrative office areas to serve the needs of students and prospective ville area.	
Staggs & Fisher	Hospital Electrical Distribution	\$1,000,000
Lexington	Project Number: 1518.0	
Contract# A94148	Consultant Contract A	Amount: \$79,000
	Total Amendments: (0) Consultant Cumulative Amendment A	Amount: \$0
Project Description:	Revised Consultant Contract	Amount: \$79,000
Consists of improvements connection of the hospital	to the existing electrical distribution system within the Chandler Medical emergency power to the Critical Care Center generator, removal of existinit substation, modifications to system switching and system identification	ng PCB transformers n.
James W. Potts Architects	King Alumni House Handicapped Access	\$199,000
Lexington	Project Number: 1472.0	
Contract# A94161	Consultant Contract A	Amount: \$17,000
	Total Amendments: (0) Consultant Cumulative Amendment A	Amount: \$0
	Revised Consultant Contract	Amount: \$17,000
Project Description: Adds an elevator and pro House.	rides for renovated restrooms so that the building is fully accessible to all	visitors to the Alumni
Brighton Engineering	KY Clinic Parking Structure Structural Steel Repairs	\$195,000
Frankfort	Project Number: 1628.0	
Contract# A961420	Consultant Contract A	Amount: \$3,500
	Total Amendments: (0) Consultant Cumulative Amendment	Amount: \$0
	Revised Consultant Contract	Amount: \$3,500
exterior insulation finish s finish coating of the struc		oving sections of the and repairing and
Notes: No construction of	ontract(s) awarded to date.	

CONSULTANT	PROJECT INFORMATION					
Quest Engineers, Inc.	Madisonville Auditorium Parking Lot					
Lexington	Project Number: 1419.0					
Contract# A93116	Consultant Contract Amount:	\$40,766				
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$28,738				
Desired Desired	Revised Consultant Contract Amount:	\$69,504				
Project Description: Construct a 400 space p	parking lot. Relocate all utilities.					
Staggs & Fisher Engine	eers Medical Center Fume Hood Upgrade	\$7,200,000				
Lexington	Project Number: 745.8					
Contract# A891390	Consultant Contract Amount:	\$49,000				
	Total Amendments: (4) Consultant Cumulative Amendment Amount:	\$596,945				
Project Description:	Revised Consultant Contract Amount:	\$645,945				
Upgrade the fume hood monitor and control thos	ds, exhaust air systems and building air supply systems including control systems designed sessions, which are being installed in the College of Dentistry, College of Pharmacy enthouses and mechanical rooms of the medical center.					
Kaiser-Taulbee Associa	ates Memorial Coliseum Sprinklers & Emergency Lighting	\$140,000				
Lexington	Project Number: 1558.0					
Contract# A941960	Consultant Contract Amount:	\$11,800				
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0				
Desirat Descriptions	Revised Consultant Contract Amount:	\$11,800				
pipe up to the "attic" spa in the event of a fire in t This emergency lighting emergency lighting fixtu	ers in various storage areas located throughout the Memorial Coliseum. Also included ace above the playing floor. This stand pipe allows the fire department to connect fire the "attic." The project additionally includes emergency lighting in the lower level concerning provides adequate illumination for exiting in the event of a power failure. Although the area will be exposed, the sprinkler work is away from public spaces, and will have no exiting. No structural modifications are anticipated.	water hoses course area. le				
Staggs & Fisher	North Campus Chilled Water Main	\$2,000,000				
Lexington	Project Number: 1592.0					
Contract# A961320	Consultant Contract Amount:	\$80,587				
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0				
Desired Desired	Revised Consultant Contract Amount:	\$80,587				
Limestone Street near t	ng and related pits, valves and utility tunnels from the chilled water mains on the east state Peterson Service Building, to the North Campus dormitory complex, East along Europe South to connect to the existing tunnel system near MI King Library. Project will inc	clid Avenue				

to the Coliseum, and then South to connect to the existing tunnel system near MI King Library. Project will include branch installation for connection of North campus dormitories including Holmes Hall, Patterson Hall, Boyd Hall, Blazer Hall,

Jewell Hall, and Keeneland Hall.

CONSULTANT	PROJECT INFORMATION	SCOPE			
Marcum Engineering	Paducah CC Gymnasium HVAC Renovation				
Paducah	Project Number: 1555.0				
Contract# A941980	Consultant Contract Amount:	\$16,000			
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0			
	Revised Consultant Contract Amount:	\$16,000			
Project Description: Installation of natural gases resistance heat with hot service.	s modular pulse boilers and DDC controls for existing air handlers. Replace existing water heat. This project will allow thirty year old boilers in the boiler house to be take	electric n out of			
Sherman, Carter, Barnh	art Parking Structure	10,116,375			
Lexington	Project Number: 1530.0				
Contract# A94165	Consultant Contract Amount:	\$550,000			
	Total Amendments: (1) Consultant Cumulative Amendment Amount:	\$87,160			
<b>n</b>	Revised Consultant Contract Amount:	\$637,160			
Project Description: Construction of a parking	g structure with a minimum of 1,000 parking spaces.				
James W. Potts Architec	ts Parking Structure #2 Life Safety	\$270,000			
Lexington	Project Number: 1598.0				
Contract# A961300	Consultant Contract Amount:	\$27,000			
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0			
	Revised Consultant Contract Amount:	\$27,000			
Project Description: Consists of Life Safety in suppression system and	mprovements for the Parking Structure located at Hilltop and Rose Street. These incl a handicap elevator access to the Communications Office area.	ude a fire			
Fitzsimons O'Connor	Peterson Service Building Sprinkler System	\$125,000			
Lexington	Project Number: 1599.0				
Contract# A961330	Consultant Contract Amount:	\$12,883			
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0			
	Revised Consultant Contract Amount:	\$12,883			
•	o the areas of the basement and first floor of the Peterson Service Building. contract(s) awarded to date.				
Omni Architects	Prestonsburg CC Johnson Building Metal Roof	\$500,000			
Lexington	Project Number: 1557.0				
Contract# A941890	Consultant Contract Amount:	\$32,000			
	Total Amendments: (0) Consultant Cumulative Amendment Amount:	\$0			
	Revised Consultant Contract Amount:	\$32,000			
	Johnson Building. The existing roof is in bad condition and must be replaced to proto metal roof will be installed over the existing roof.	ect the			

CONSULTANT	PROJECT INFORMATION					
Ross Feldman Arch., Inc.	Prestonsburg CC Pike Building Roof					
Lexington	Project Number: 1524.0					
Contract# A941770	Consultant Contract Amoun	t: \$15,970				
	Total Amendments: (1) Consultant Cumulative Amendment Amoun	nt: \$1,520				
Delet Deside	Revised Consultant Contract Amount	nt: \$17,490				
Project Description: Installation of a new stan	ding seam metal roof on the existing Pike Building at Prestonsburg Community (	College.				
Booker & AssocPiem D	iem Research Building #1 Casework Removal	\$178,000				
Lexington	Project Number: 1591.0					
Contract# A961140	Consultant Contract Amoun	it: \$14,331				
	Total Amendments: (0) Consultant Cumulative Amendment Amoun	nt: \$0				
	Revised Consultant Contract Amount	nt: \$14,331				
Project Description: Involves removal of case Allied Health.	work, leveling of floors, addition of walls & doors to convert space to occupancy f	or the College of				
Ellerbe Becket, Inc.	Sanders Brown Center on Aging Planning					
Minneapolis, MN	Project Number: 405.0					
Contract# A93106	Consultant Contract Amour	it: \$542,490				
	Total Amendments: 1 Consultant Cumulative Amendment Amoun	nt: -\$517,150				
	Revised Consultant Contract Amou	nt: \$25,340				
Project Description: Contract terminated. Sec	e projects 405.1 and 405.2.					
James W. Potts-Per Dien	n Scovell Hall Basement Fit-up	\$199,000				
Lexington	Project Number: 1609.0					
Contract# A961000	Consultant Contract Amour	it: \$9,500				
	Total Amendments: (0) Consultant Cumulative Amendment Amoun	nt: \$0				
	Revised Consultant Contract Amou	nt: \$9,500				
Project Description: Fit up of the basement sl	hell space at Scovell Hall.					
Richardson Assoc. Arch.	SECC Appalachian Ctr./Fine Arts Building	\$5,000,000				
Whitesburg	Project Number: 728.0					
Contract# A91151	Consultant Contract Amour	nt: \$269,400				
	Total Amendments: (4) Consultant Cumulative Amendment Amoun	nt: \$69,527				
	Revised Consultant Contract Amou	nt: \$338,927				
facility are arts and crafts	o promote the fine arts and crafts, as well as the performing arts of Appalachia. In a studios, a laboratory theater, instrumental and choral music room, a dance studios/vork/study/archive department for Appalachian studies.	ncluded in the o, rehearsal				

CONSULTANT	PROJE	OJECT INFORMATION			
Ross-Feldman Arch., Inc.	Shawneetown	/Cooperstown Renovation	\$1,952,000		
Lexington	Project	Number: 1509.0			
Contract# A94145		Consultant Contract Amount:	\$175,000		
Total	Amendments: (1)	Consultant Cumulative Amendment Amount:	-\$40,000		
		Revised Consultant Contract Amount:	\$135,000		
work in this project will include up buildings; upgrading the existing with correction of any found prob kitchens.	ograding of the electric bathrooms, including lems. The two Cooper	own and buildings A and B at the Cooperstown compact systems to meet current codes; new air conditioning new fixtures as well as testing of supply and sanitary restown buildings will also receive major renovations to	ng for the waste lines the existing		
Resident Inspector.	been approved for th	is contract due to the deletion of reimbursable servic	es for		
Ross Feldman Architects, Inc.	Somerset CC Econo	mic Development/Cultural Center	\$15,150,000		
Lexington	Project	Number: 631.0			
Contract# A90128		Consultant Contract Amount:	\$476,862		
Total	Amendments: (6)	Consultant Cumulative Amendment Amount:	\$466,459		
Project Description:		Revised Consultant Contract Amount:	\$943,321		
approximately 800 persons, a coregional K.E.T. Studio and office Development Commission and vikentucky.	nference/exhibition are areas of 9,000 square arious other organizat	ng will contain a performance-quality theater with section of approximately 28,000 square feet., a 5,000 square feet that will house the Southern Kentucky Economicions involved in the economic development of southerns.  Cts. Under management of a Construction Manager.	are foot		
Richardson Associates Arch.	Southeast CC	Newman Hall Renovation	\$400,000		
Whitesburg	Project	Number: 1463.0			
Contract# A94127	•	Consultant Contract Amount:	\$30,000		
Total	Amendments: (0)	Consultant Cumulative Amendment Amount:			
	• •	Revised Consultant Contract Amount:	\$30,000		
Project Description: Renovation of the Chemistry Lab life safety features found in conte		spaces. The existing facilities were outmoded, and	acked many		
James W. Potts-Per Diem	Studen	t Center Art Gallery	\$104,059		
Lexington	Project	Number: 1584.1			
Contract# A961000		Consultant Contract Amount:	\$6,500		
Total	Amendments: (0)	Consultant Cumulative Amendment Amount:	•		
Project Description:		Revised Consultant Contract Amount:	\$6,500		
Student Center Art Gallery. The	space which has beer lone outside of this co	ed as the Student Billing Office. This space will be use used as the Art Gallery will become a branch office for intract. The work involves new flooring, new wall finis work is limited.	or the UK		

CONSULTANT		PROJE	CT INFOR	MATION			SCOPE
Jim Potts Architects-Per	Diem	Student Center Skywalk and Great Hall Window				\$106,941	
Lexington		Project	Number: 15	84.0			
Contract# A961000				Consulta	nt Contract	Amount:	\$10,500
	Total	Amendments: (0)	Consultant	Cumulative	Amendment	Amount:	\$0
			Re	vised Consult	ant Contract	Amount:	\$10,500
Project Description: Replace the windows in	the Grea	at Hall of the Student (	Center and in	the connecting	link between t	the latest a	ddition and
the grille/dining room in	order to	stop leakage.	.,,,				
CMW Architects	order to	·	rking Lexingto	on Campus			\$1,040,000
	order to	Surface Pa	rking Lexingto				\$1,040,000
CMW Architects	order to	Surface Pa	-	596.1	int Contract	Amount:	\$1,040,000 \$85,000
CMW Architects Lexington		Surface Pa	Number: 15	596.1			
CMW Architects Lexington Contract# A961400		Surface Pa Project	Number: 15 Consultant	596.1 Consulta	Amendment	Amount:	\$85,000
CMW Architects Lexington	Total	Surface Pa Project Amendments:	Number: 15 Consultant Re	Consulta Cumulative vised Consult	Amendment ant Contract	Amount:	\$85,000 \$0

## FCR 6

1 CIV U
Office of the President September 17, 1996
Members, Board of Trustees:
PURCHASE OF PROPERTY
<u>Recommendation</u> : that the Board of Trustees approve the purchase of real property located at 435 Pennsylvania Avenue, Lexington, Kentucky, from Ethel Sharp, 3140 Arrowhead Drive, Lexington, Kentucky, at an appraised price of \$139,000.
<u>Background</u> : The property at 435 Pennsylvania Avenue is located in the University of Kentucky acquisition area as approved by the Board of Trustees in the 1996-98 Biennial Request.
Action taken: Approved Disapproved Other

## FCR 7

Office of the President September 17, 1996

Members, Board of Trustees:

### 1996-97 Budget Revisions Central Administration

Recommendation: that the following revisions to the 1996-97 budget be authorized and approved.

	Approved Budget	Revised Budget	Change
A. GENERAL FUND			
1. Income Estimates			
Student Fees			
Self-Supporting			
Noncredit Fees	<b>\$</b> 7,346,900	\$ 7,366,900	\$ 20,000
Gifts and Grants			
Federal-Agriculture	738,800	788,600	49,800
Sales and Services			544 000
Departmental Sales and Serv	vices 34,898,000	35,409,900	511,900
			581,700
2. Expenditures			
Central Administration			
Fiscal Affairs			
Stores	1,282,000	1,294,000	12,000
Information Systems			
Publishing Services	581,000	1,071,000	490,000
Community College System			
Somerset	6,762,400	6,780,400	18,000
Lexington Campus			
Agricultural Cooperative			
Extension Service			
Entomology	618,900	668,700	49,800
College of Business and Eco		1 411 000	2 000
School of Accountancy	1,409,900	1,411,900	2,000
Medical Center			
College of Pharmacy Medicinal Chemistry and			
Pharmaceutics	1,577,500	1,579,700	2,200
Administration and Support	1,577,500	1,375,700	2,200
Academic Support			
Laboratory Animal Res	sources 2,500	10,200	7,700
	, , ,	,	581,700

### A. GENERAL FUND (continued)

3. <u>Comments</u> - The noncredit fees will support theatre productions at Somerset Community College; a stores manager conference in the Fiscal Affairs Stores Department; and the accountancy professional education and outreach activities in the College of Business and Economics.

The increase in federal agriculture grants will support programs in the Cooperative Extension Service.

Programs to be supported by increased departmental sales and services include printing services in the personal computer labs in Information Systems; testing services at Somerset Community College; technical support services in the Medicinal Chemistry and Pharmaceutics Department in the College of Pharmacy; and programs in Laboratory Animal Resources.

		Approved Budget	Revised Budget		Change
В.	RESTRICTED FUNDS				
	1. Income Estimates \$	89,459,800	\$ 90,043,300	\$	583,500
	2. Expenditures				
	Community College System				
	Hazard	1,947,100	2,366,600		419,500
	Lexington Campus				
	College of Agriculture				
	Scholarships	236,300	246,300		10,000
	Agricultural Experiment Station				
	Animal Sciences	548,400	552 <b>,</b> 400		4,000
	Biosystems and Agricultural				
	Engineering	169,000	199,000		30,000
	Entomology	307,400	309,400		2,000
	Plant Pathology	81,300	83,300		2,000
	College of Engineering				
	Center for Robotics and				
	Manufacturing Systems	62,500	106,500		44,000
	Medical Center				
	College of Medicine				
	Surgery	1,015,000	1,058,200		43,200
	Centers of Excellence				
	Center on Aging	1,077,900	1,087,200		9,300
	Administration and Support				
	Student Services	•	40 500		10 500
	University Health Service	- 0 -	19,500	-	19,500 583,500

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

	Approved Budget	Revised Budget	Change
C. AFFILIATED CORPORATIONS			
1. Income Estimates	\$140,678,600	\$140,674,300 \$	(4,300)
2. Expenditures  Mining Engineering Foundation	85,000	80,700	(4,300)

Act	tio	on taken: Approved	☐ Disapproved	☐ Other
		corporation board.		
			oration boards. The revis	oject to the approval of the sion of the Mining Engineering opproved by the affiliated
		budget consistent with submon Higher Education. The a	missions to the Governor, affiliated corporation bud	the Legislature, and the Councildgets contained in the original
°C.	$\frac{AF}{3}$ .		the affiliated corporation	ns are included in the University ith a consolidated operating

Office of the President
September 17, 1996

Members, Board of Trustees:

### <u>PATENT ASSIGNMENT</u> RESEARCH AND GRADUATE STUDIES

<u>Recommendation</u>: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: David A. Pienkowski, Eric A. Grulke and Robert J. Jacob, Serial No. (to be assigned), filed (to be filed).

<u>Background</u>: David A. Pienkowski, Eric A. Grulke and Robert J. Jacob have invented certain improvements in METHOD FOR IMPROVING CONSOLIDATION OF POWDER RESIN. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken:	Approved	☐ Disapproved	Other	

#### **ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors:

DAVID A. PIENKOWSKI; ERIC A. GRULKE; AND

ROBERT J. JACOB

Serial Number:

Filed:

For: METHOD FOR IMPROVING CONSOLIDATION OF POWDER RESIN

and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

Edward T. Breath H

SEAL

ATTEST:

Secretary, Board of Trustees

Office of the President September 17, 1996

Members, Board of Trustees:

### PATENT ASSIGNMENT RESEARCH AND GRADUATE STUDIES

<u>Recommendation</u>: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Malaya Chatterjee and Kenneth A. Foon, Serial No. 08/372,676, filed January 17, 1995.

Background: Malaya Chatterjee and Kenneth A. Foon have invented certain improvements in ANTI-idiotype monoclonal antibody 1A7 AND USE FOR THE TREATMENT OF MELANOMA AND SMALL CELL CARCINOMA. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

	<i>J</i>		
Action taken:	☐ Approved	☐ Disapproved	Other

### ASSIGNMENT

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by virtue of the assignment recorded at Reel 7391, Frame 0719 on March 21, 1995, of the following application for Letters Patent of the United States and the invention represented thereby:

Serial No.: 08/372,676

Filed: January 17, 1995

Inventors: Malaya Chatterjee and Kenneth A. Foon

Entitled: ANTI-IDIOTYPE MONOCLONAL ANTIBODY 1A7 AND USE FOR THE

TREATMENT OF MELANOMA AND SMALL CELL CARCINOMA

WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY sells, assigns, transfers and sets over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, including all foreign rights and priority rights, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest in any and all Letters Patent of the United States which may be issued or granted including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, on or as a result of the application herebefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly arthorized and its seal to be affixed and attested this  $17^{12}$  day of 1996.

BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY

SEAL

Chairman

ATTEST:

Secretary, Board of Trustees

Office of the President September 17, 1996
Members, Board of Trustees:
CROUNSE CORPORATION PLEDGE
Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Crounse Corporation of Paducah, Kentucky, to the University of Kentucky for the Maysville Community College's Partners in Progress Campaign.
<u>Background</u> : Crounse Corporation, an inland river transporter of freight, has designated its gift to Maysville Community College's Business and Technology Center. The pledge will be paid in five annual payments of \$20,000 beginning in December, 1996.
Action taken:  Approved  Disapproved  Other

Office of the President September 17, 1996
Members, Board of Trustees:
JACK W. GRAHAM GIFT
Recommendation: that the Board of Trustees accept a gift of \$100,000 from Jack W. Graham of Lexington, Kentucky, to the University of Kentucky for construction of a new Sigma Alpha Epsilon fraternity house.
<u>Background</u> : In addition to his contribution of \$100,000, Mr. Graham, who has business interests throughout the United States, is paying for the construction project's architectural services, which will be in excess of \$100,000. Mr. Graham attended UK in the late 1930's and was an SAE pledge.
Action taken: Approved Disapproved Other

Office of the President September 17, 1996
Members, Board of Trustees:
LAKE CUMBERLAND FOUNDATION, INC. GIFT
<u>Recommendation</u> : that the Board of Trustees accept a gift of \$150,000 from Lake Cumberland Foundation, Inc. of Somerset, Kentucky, to Somerset Community College for its Allied Health Endowment Fund and to endow a nursing scholarship.
Background: The Lake Cumberland Foundation was created in 1994 as a result of the sale of Lake Cumberland Home Health Agency, Inc. to Columbia Lake Cumberland Regional Hospital. The foundation was to dispose of its assets through gifts to charitable organizations. In June of this year the foundation gave \$465,000 to 27 organizations in 11 south central Kentucky counties.
In recognition of Somerset Community College's role in the education of many health care professionals, the foundation gave \$150,000 to the college, \$125,000 for the Allied Health Endowment Fund and \$25,000 to endow a scholarship in nursing. The foundation's gift was made in the name of Lake Cumberland Home Health Agency.
Action taken: Approved Disapproved DOther

Office of the President September 17, 1996
Members, Board of Trustees:
LUCILLE P. MARKEY CHARITABLE TRUST GIFT
Recommendation: that the Board of Trustees accept a gift of \$1,000,000 from the Lucille P. Markey Charitable Trust of Miami, Florida, to the University of Kentucky for the Maxwell H. Gluck Equine Research Center in the College of Agriculture.
Background: The gift will enhance existing endowments previously established by gifts from the Markey Trust. A total of \$750,000 will be added to the Wright-Markey Trust Endowment for the support of graduate students, postdoctoral fellows, research specialists and junior faculty at the Gluck Center. The remaining \$250,000 will be applied to the Warren Wright, SrLucille Markey Chair currently held by Professor Charles Issell.
The Markey Trust was established under the provisions of the will of former Calumet Farm owner Lucille Parker Markey, who died in 1982. She directed that the Trust's assets be used to support and encourage basic research.
Action taken:

Office of the President September 17, 1996
Members, Board of Trustees:
LUCILLE P. MARKEY CHARITABLE TRUST GIFT AND ESTABLISHMENT OF CHAIR IN ONCOLOGY RESEARCH
Recommendation: that the Board of Trustees accept a gift of \$1,000,000 from the Lucille P. Markey Charitable Trust of Miami, Florida, to the University of Kentucky to establish and endow the Lucille P. Markey Chair in Oncology Research in the Lucille P. Markey Cancer Center in the College of Medicine.
Background: The income from the endowment will be devoted to the support and research expenses of a distinguished biomedical scientist in oncology research. In making this gift, the Markey Trust has recognized that progress in medical science may justify a shift in the university's research priorities. The Trust, therefore, has agreed that a scientist in another field of basic medical research may be appointed at some time in the future to the chair established by this gift.
The Markey Trust was established under the provisions of the will of former Calumet Farm owner Lucille Parker Markey, who died in 1982. She directed that the Trust's assets be used to support and encourage basic research.

☐ Disapproved

☐ Other\_\_

Approved

Action taken:

Office of the President September 17, 1996
Members, Board of Trustees:
OHIO CASUALTY INSURANCE COMPANY GIFT AND PLEDGE
Recommendation: that the Board of Trustees accept a gift of \$250,000 and a pledge of \$750,000 from Ohio Casualty Insurance Company of Hamilton, Ohio, to the University of Kentucky Athletic Association for expansion of the Center for Academic and Tutorial Services.
<u>Background</u> : The president and chief executive officer of Ohio Casualty Insurance Company is Lauren N. Patch, a Lexington native and 1973 graduate of the University. He has been a long-time supporter of the athletics program. The pledge balance will be paid in three annual installments of \$250,000 beginning in 1997.
The Center for Academic and Tutorial Services, known as CATS, is located in Memorial Coliseum. It was the first such center in the nation for student athletes and continues to be a model for other colleges and universities. More than 400 student athletes use the center each year.
Action taken: Approved Disapproved Other

Office of the President September 17, 1996
Members, Board of Trustees:
WILLIAM R. WILLARD, M.D., Ph.D. PROFESSORSHIP OF DENTAL EDUCATION
<u>Recommendation</u> : that the Board of Trustees authorize the creation of an endowed professorship in the College of Dentistry entitled the William R. Willard, M.D., Ph.D. Professorship of Dental Education.
Background: Dr. William R. Willard was the founding vice president of the University of Kentucky Albert B. Chandler Medical Center. He helped plan and develop the Medical Center, which opened in 1960. He served as vice president from 1956-70. Dr. Willard died in 1991. As a result of his innovative vision of health professions education, the College of Dentistry became a national leader in dental education. Funds have been contributed by former students, friends, colleagues, and corporations to the College of Dentistry's Academic Enrichment Fund. Income from \$100,000 of this academic enrichment fund will fund the professorship.
A directal and a D Discommendation of College
Action taken: Approved Disapproved Other

# **FCR 18**

Office of the President September 17, 1996
Members, Board of Trustees:
HILARY J. BOONE COMMITMENT
Recommendation: that the Board of Trustees accept a commitment of \$400,000 from Hilary J. Boone to the University of Kentucky Athletic Association for expansion of the tennis center.
Background: Mr. Hilary J. Boone was captain of the tennis team in 1940. He has been a long-time supporter of the tennis program having previously given gifts of \$250,000 to the University of Kentucky Athletic Association to build the indoor tennis center, named in honor of him.
Action taken: Approved Disapproved Other

### **FCR 19**

Office of the President September 17, 1996

Members, Board of Trustees:

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY TO FINANCE CONSTRUCTION OF A HOUSING AND DINING PROJECT FROM PROCEEDS OF A PROPOSED ISSUE OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS

<u>Recommendation</u>: that the Board of Trustees approve the Resolution expressing its official intent to issue University of Kentucky Housing and Dining System Revenue Bonds, and that the proceeds of such Bonds will be used, in whole or in part, to reimburse authorized expenditures for the proposed project prior to the issuance of such bonds.

The Resolution states that such Bonds will be issued to finance the (i) construction of a new student residence building to accommodate approximately 50 students, (ii) renovation of Cooperstown Apartment Buildings C and D and Shawneetown Apartment Buildings C and D, (iii) installation of a sprinkler system for Donovan Hall, (iv) renovation of elevators in Kirwan Blanding Residence Halls, and (v) installation of an HVAC system in Donovan Hall food services area.

Background: The University presently has outstanding Housing and Dining System Revenue Bonds, Series B, C, D, E, F, G, I, J, K, L. M, N, O and P (the Series N Bonds having been issued to advance refund the Series J Bonds scheduled to mature on and after June 1, 1995, and the Series L bonds scheduled to mature on and after June 1, 1997), all secured by a pledge of the Revenues derived from the operation of the University's Housing and Dining System and by a statutory mortgage lien against all of the buildings of the System. The Bonds which the Board expresses its intent to issue will rank on a parity with the said outstanding Series of Bonds. Pursuant to the income tax regulations of the Internal Revenue Service, in order for expenditures made for a public project prior to the issuance of revenue bonds to be reimbursed to the issuer upon the issuance of such bonds, it is necessary that the issuer express its official intent to issue such bonds and reimburse such expenditures prior to the issuance of such bonds.

	/			
	./			
Action taken:	Approved	<ul><li>Disapproved</li></ul>	Other	
Action taken.	- Approved	wa Disappioved	- Ond	

RESOLUTION OF OFFICIAL INTENT OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY TO FINANCE CONSTRUCTION OF A HOUSING AND DINING PROJECT FROM PROCEEDS OF A PROPOSED ISSUE OF UNIVERSITY OF KENTUCKY HOUSING AND DINING SYSTEM REVENUE BONDS

WHEREAS, Treasury Regulations § 1.150-2 (the "Reimbursement Regulations"), issued pursuant to § 150 of the Internal Revenue Code of 1986, as amended, (the "Code") prescribes certain requirements by which proceeds of tax-exempt bonds, notes, certificates or other obligations included in the meaning of "bonds" under § 150 of the Code ("Obligations") used to reimbursement advances made for Capital Expenditures (as hereinafter defined) paid before the issuance of such Obligations may be deemed "spent" for purposes of §§ 103 and 141 to 150 of the Code therefore, not further subject to any other requirements or restrictions under those sections of the Code; and

WHEREAS, such Reimbursement Regulations require that the Borrower (as hereinafter defined) made a Declaration of Official Intent (as hereinafter defined) to reimburse any Capital Expenditure paid prior to the issuance of the Obligations intended to fund such Capital Expenditure and require that such Declaration of Official intent be made no later than sixty (60) days after payment of the Capital Expenditure and further require that the Allocation (as hereinafter defined) of the proceeds of such Obligations to reimburse such Capital Expenditures occur no later than eighteen (18) months after the later of the date the Capital Expenditure was paid on the date the property acquired with the Capital Expenditure was placed in service, except that any such Allocation must be made no later than three years after such Capital Expenditure was paid; and

WHEREAS, the University of Kentucky (the "Borrower") wishes to ensure compliance with the Reimbursement Regulations;

NOW, THEREFORE, be it resolved as follows by the Board of Trustees of the University of Kentucky as follows:

SECTION 1. <u>Definitions</u>. The following definitions apply to the terms used herein:

"Allocation" means written evidence that proceeds of Obligations issued subsequent to the payment of a Capital Expenditure are to effect the reimbursement of the Borrower for such payments.

"Borrower" means the University of Kentucky.

"Capital Expenditures" means any expense for an item that is properly depreciable or amortizable or is otherwise treated as a capital expenditure for purposes of the Code, as well as costs of issuing Reimbursement Bonds.

"Declaration of Official Intent" means a written declaration that the Borrower intends to fund a Capital Expenditure with an issue of Reimbursement Bonds and reasonably expects to be reimbursed from the proceeds of such an issue.

"Reimbursement" means the restoration to the Borrower of money temporarily advanced from other funds of the Borrower to pay for Capital Expenditures before the issuance of Obligations intended to fund such Capital Expenditures. "To reimburse" means to make such a restoration.

"Reimbursement Bonds" means Obligations that are issued to reimburse the Borrower for Capital Expenditures previously paid by or for the Borrower.

"Reimbursement Regulations" means Treasury Regulations § 1.150-2 and any amendments thereto or superseding regulation, whether in proposed, temporary or final form, as applicable, prescribing conditions under which the proceeds of Obligations when allocated or applied to a reimbursement will be treated as "spent" for purposes of §§ 103 and 141 to 150 of the Code.

#### SECTION 2. Declaration of Official Intent.

- (a) The Borrower declares that it reasonably expects that the Capital Expenditures described in Section (b), which will be paid prior to the issuance of any Obligations intended to fund such Capital Expenditures, will be reimbursed with the proceeds of Obligations, representing a borrowing by the Borrower in the maximum principal amount for such reimbursements, funding of a debt service reserve and payment of costs of issuance of approximately \$6,750,000; and
- (b) The Capital Expenditures to be reimbursed are to be used for the (1) construction of a new student residence building to accommodate approximately 50 students, (ii) renovation of Cooperstown Apartment Buildings C and D and Shawneetown Apartment Buildings C and D, (iii) installation of a sprinkler system for Donovan Hall, (iv) renovation of clevators in Kirwan Blanding Residence Halls, and (v) installation of an HVAC system in Donovan Hall food services area, all at the Borrower's main campus in Lexington, Kentucky.
- SECTION 3. <u>Reasonable Expectations</u>. The Borrower does not expect any other funds (including the money advanced to make the Capital Expenditures that are to be reimbursed), to be reserved, allocated on a long-term basis, or otherwise set aside by the Borrower or any other entity affiliated with the Borrower, with respect to the Capital Expenditures described in 2(b).

SECTION 4. <u>Effective Date</u>. This Resolution shall be effective from and after its date of adoption.

Adopted at a duly convened meeting of the Board of Trustees of the University of Kentucky on the 17th day of September 1996.

UNIVERSITY OF KENTUCKY

Attest:

Chairman Board of Trust

By: / wholes\_

Secretary

#### **CERTIFICATE**

I, the undersigned Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true and correct copy of a Resolution passed at a duly convened meeting of the Board of Trustees of the University of Kentucky held on the 17th day of September, 1996, at which a quorum was present, and that said Resolution has not been amended, modified, revoked or repealed and is now in full force and effect, all as appears from the official records of the Board of Trustees of the University of Kentucky in my custody and under my control.

Witness my hand as Secretary of the Board of Trustees of the University of Kentucky this 17th day of September, 1996.

Rechard & Hymale
Secretary

## **FCR 20**

Office of the President September 17, 1996

Members, Board of Trustees

#### SALE OF THE SOUTH FARM, TRACT A

<u>Recommendation</u>: that Tract A of the South Farm comprised of approximately 42.96 acres at the corner of Nicholasville Road and Man-O-War Boulevard in Lexington, Kentucky is determined no longer suited for the teaching, research or service needs of the University, and is declared surplus; that the Administration is authorized to seek two separate appraisals of this real property, then offer it for sale, in whole, by sealed bids, reserving the right to reject all bids; that all terms and conditions of the sale be returned to the Board for final approval; and that proceeds from the sale be reserved for the College of Agriculture.

<u>Background</u>: The Board of Trustees adopted in September 1985 guidelines for the disposition of real property held by the University. These guidelines authorize the Board to dispose of lands that are no longer suited to serve the mission of the University. The construction of Man-O-War Boulevard across the South Farm and urbanization of the surrounding area have made this property largely unsuitable for horticulture research.

The guidelines further require that proceeds from the sale of real property represent a net gain to the institution. Representatives of the Administration have met with the Secretary of Finance and Administration and have received his approval to proceed with this sale by the University.

The South Farm was bought in 1956 with private gift monies, federal grant monies, and restricted trust and agency funds of the College of Agriculture for the sum of \$270,000. No state appropriation was made for this acquisition. The use of the proceeds for College of Agriculture projects is in accord with the "Twenty-First Century Plan" for the College of Agriculture. This proposed use of the proceeds from the sale of the farm for a plant science research facility assures that College of Agriculture funds which were used to purchase the South Farm and advance research will continue to be used in support of the College of Agriculture. Substantial continuing interest in the South Farm by prospective buyers is cause for this action at this time.

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Action taken:	<b>M</b> Approved	☐ Disapproved	Other	