

Minutes of the Statutory Meeting of the Board of Trustees, Tuesday,  
April 6, 1971

The Board of Trustees of the University of Kentucky met in statutory session on Tuesday, April 6, 1971 in Room E on the 18th floor of the Patterson Office Tower on the University campus with the following members present: Mr. Jesse M. Alverson, Mr. Thomas P. Bell, Mrs. Rexford S. Blazer, former Governor Albert B. Chandler, Mrs. Robert O. Clark, Mr. Albert G. Clay, Mr. Richard E. Cooper, Mr. Eugene Goss, Mr. George W. Griffin, Mr. J. Robert Miller, Mr. Floyd H. Wright, non-voting faculty members, Dr. Robert W. Rudd and Dr. Paul G. Sears, and non-voting student member, Mr. Scott Wendelsdorf. Absent from the meeting were Governor Louie B. Nunn, Mr. Wendell P. Butler, Dr. N. N. Nicholas, and Mr. James H. Pence. Representatives from the University administration were: President Otis A. Singletary; Vice Presidents Alvin L. Morris, Lewis W. Cochran, A. D. Albright, Glenwood L. Creech, Robert G. Zumwinkle, Stanley Wall, Peter P. Bosomworth, and Lawrence E. Forgy; Dr. Donald B. Clapp, Budget Director; and Mr. John C. Darsie, Legal Counsel. Representatives of the various news media were also in attendance.

A. Meeting Opened

Mr. Albert G. Clay, Vice Chairman of the Board presiding in the absence of Governor Nunn, called the meeting to order at 2:07 p. m. Mr. Clay pronounced the invocation. The Secretary reported a quorum present and Mr. Clay declared the meeting open for the official conduct of business at 2:09 p. m.

B. Committee Appointed to Determine Mr. Wendelsdorf's Eligibility

Mr. Clay requested the following members to serve as a committee to determine whether Mr. Scott Wendelsdorf, newly elected and qualified President of Student Government, satisfied the requirement under KRS 164.130 (3) that the non-voting student member shall maintain permanent residency in the Commonwealth of Kentucky: Mr. Eugene Goss, Chairman, Mr. Thomas Bell and Mr. George Griffin. Mr. Clay requested that the committee withdraw immediately to discuss the matter with Mr. Wendelsdorf and the University's Legal Counsel, Mr. John Darsie. Matters requiring Board action would be deferred pending the report of the committee.

C. Resolution on Stephen Bright Adopted

At the request of Mr. Clay, Mrs. Robert Clark read a resolution on Mr. Stephen Bright, the outgoing President of Student Government and non-voting

student member of the Board of Trustees. Following the reading of the resolution, a copy of which appears at the end of the Minutes, Mrs. Clark moved that the resolution be adopted, spread upon the Minutes of the meeting, and a copy sent to Mr. Bright. Her motion was seconded by Dr. Sears, and passed without dissent. (See resolution at the end of the Minutes.)

D. Board Members to Receive Copies of the Chronicle

President Singletary announced that the University had subscribed to the Chronicle of Higher Education for each member of the Board of Trustees. The Chronicle is a newspaper with some considerable national circulation and is devoted to matters of interest to higher education.

E. Board Meetings to be Scheduled at Community Colleges in 1971-72

Receipt of a letter from Vice President Stanley Wall inviting the Board of Trustees to meet from time to time on the campuses of the Community Colleges was reported. It was the thinking of the Board members that such meetings would be extremely desirable and President Singletary was authorized to schedule such meetings during the fiscal year 1971-72.

F. Role and Scope Study of Council on Public Higher Education

President Singletary reported that the Council on Public Higher Education had completed a Role and Scope Study of the institutions of public higher education and such report would be transmitted to the Legislative Research Commission to be used as the basis for legislation by the next General Assembly. The study deals primarily with the future status of the University of Louisville in the state system and with the future role of the Council itself.

G. Report on Biology

At the meeting of the Board of Trustees in March, Mr. Thomas Bell had requested a report on the status of the Biology program at the University of Kentucky. Vice President Cochran gave a full report on the developments in this particular area. At the conclusion of his report, President Singletary summed up the situation for Mr. Bell who had been out of the room during part of Dr. Cochran's report. He said that three problems existed: (1) poor faculty morale, (2) an inadequate undergraduate program, and (3) shortage of space, and then outlined the steps being taken to solve these problems. The faculty morale should be helped with the completion of the "surge" laboratory building



under construction which would provide 32 laboratories earmarked for Biology. Just this year a new faculty member has been brought in whose primary concern is to deal with appropriate undergraduate programs. The number one priority in the construction program is for a building to house the undergraduate Biology program and the chances are quite good that funds will be available in the foreseeable future for such a building.

#### H. Wendelsdorf Sworn In as Non-Voting Student Member

The committee having returned to the room during the report on the Biology program, Mr. Clay called on Mr. Goss for its report. Mr. Goss said the committee had determined that Mr. Wendelsdorf does reside here, has lived here for three years, is a registered voter in Fayette County, maintains a residence off-campus, is financially independent, and intends to stay in Kentucky and practice law here when he graduates. On the basis of these facts, the committee is of the opinion that Mr. Wendelsdorf does maintain permanent residency in Kentucky and Mr. Goss moved that he be seated. His motion was seconded by Mr. Griffin, and passed unanimously.

The oath of office was administered to Mr. Wendelsdorf by Mr. John Darsie, Legal Counsel of the University.

#### I. President's Report to the Trustees

President Singletary called attention to his monthly report to the Trustees and recommended later reading. Mr. Clay accepted the report and ordered it filed.

#### J. Recommendations of the President (PR 2)

The recommendations in PR 2 being of a routine nature and the Board already having had an opportunity to examine them, Mr. Clay called for a motion for approval of PR 2 as a whole. The motion was made, seconded, and passed, and PR 2 was ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

#### K. Supplemental Recommendations of the President (PR 3)

President Singletary indicated that the first two recommendations in PR 3 were routine in nature, the first being a recommendation relative to the naming of two buildings at Southeast Community College, and the second authorizing officials to execute an assignment of an application for Letters of Patent to the University of Kentucky Research Foundation.

On motion by Mr. Alverson, seconded, and passed, the recommendation relative to names for the Southeast Community College buildings was approved. On motion by Dr. Sears, seconded, and passed, the execution of the patent assignment by the proper officials of the Board was authorized.

The third recommendation in PR 3 was concerned with the future status of the Kernel. President Singletary said a decision was needed at this time because of the implications for the 1971-72 budget. He continued that there are three methods of financing campus newspapers currently in use throughout the country: (1) state appropriations, (2) mandatory fees, and (3) independent and separate corporations. In his opinion, a campus newspaper is desirable and it does have educational value. He further believed that a campus newspaper should be independent and free from censorship if it is to serve any useful purpose. He then gave three alternative proposals which were open to the Board of Trustees: (1) cut off funds immediately, (2) fully subsidize the Kernel as at present, or (3) phase it out. Of these three President Singletary favored No. 3 and his recommendation to the Board was that the administration be granted the authority to provide funds to assist the publication of the Kentucky Kernel during the fiscal year beginning July 1, 1971 at a level not to exceed one-half of the general fund support provided during the current year. His reasons for such a recommendation were given as follows:

- (1) He believes in independent status,
- (2) It is a fair approach to the problem,
- (3) Provides a reasonable time frame,
- (4) Leaves the matter in the hands of the administration where it belongs,
- (5) Will keep the Kernel under the Board of Student Publications so long as it receives support from the University,
- (6) Position is supported by the administration, particularly the Student Affairs staff, the Director of Student Publications, the present Editor of the Kernel, and the two candidates for the editorship next year.

Mr. Cooper moved adoption of President Singletary's recommendation, and his motion was seconded by Mrs. Blazer. Mr. Goss said he wished to amend the motion by adding the following sentence: "So the Kernel may be able to plan ahead, it is the sense of this Board that the Kernel be moved to independent status without University financial support as of July 1, 1972". His amendment was seconded by Mr. Alverson. The vote on the amendment was unanimous. Mrs. Blazer, who had seconded the original motion, accepted the amended motion and on roll call vote the original motion, as amended,

passed with all voting members casting an "aye" vote. (See PR 3 at the end of the Minutes.)

L. Honorary Degrees Approved (PR 4)

Without discussion, on motion by Governor Chandler, seconded, and passed, approval was given to the awarding of the honorary degree of Doctor of Laws to Mr. Harry M. Caudill, Mr. Thruston B. Morton and Dr. Logan Wilson, and the honorary degree of Doctor of Science to Mr. David C. Scott and Dr. Lyle R. Dawson at the commencement exercises on Saturday, May 8, 1971. (See PR 4 at the end of the Minutes.)

M. 1970-71 Budget Revisions (PR 5)

The budget revisions for 1970-71 being routine in nature and there being no questions, on motion by Governor Chandler, seconded by Dr. Rudd, and passed, the budget revisions recommended in PR 5 were authorized and approved. (See PR 5 at the end of the Minutes.)

N. Amendment to Governing Regulations (PR 6)

The amendment to the Governing Regulations presented at the March 16 meeting of the Board of Trustees having lain on the table for the required length of time between presentation and passage, Mrs. Blazer moved, seconded by Mrs. Clark, that the proposed amendment to Section IV of the Governing Regulations as presented at the March 16, 1971 meeting of the Board of Trustees be adopted. The motion passed without dissent. (See PR 6 at the end of the Minutes.)

O. Organization of Community College System (PR 7)

After a brief description by Dr. Wall of the role which would be played in the Community College System by an Assistant Vice President, Dr. Singletary recommended that the title of one of the principal officers in the Community College System be changed from Associate Dean of the Community College System to Assistant Vice President for the Community College System and that Dr. Charles Wethington, presently Director of the Maysville Community College, be appointed to that position. On motion duly made, seconded, and carried, the recommendation in PR 7 was approved. (See PR 7 at the end of the Minutes.)

P. Temporary Confirmation of Personnel Policy and Procedure Manual (PR 8)

Because of certain inconsistencies between the Governing Regulations and the Personnel Policy and Procedure Manual, President Singletary requested that the Board give temporary confirmation to the latter pending a comprehensive review of both documents to eliminate differences which are not warranted. Governor Chandler moved that the recommendation in PR 8 be approved. His motion was seconded, and passed without dissent. (See PR 8 at the end of the Minutes.)

Q. Interim Financial Report (FCR 1)

On motion by Mrs. Blazer, seconded by Mr. Goss, and passed, the interim financial report covering the period ending February 28, 1971 was accepted and ordered made a matter of record. (See FCR 1 at the end of the Minutes.)

R. Resolution Relating to Alternate Paying Agent (FCR 2)

On motion by Mr. Griffin, seconded by Mr. Goss, and passed, a resolution relative to an alternate paying agent was approved. (See FCR 2 at the end of the Minutes.)

S. Retirement Resolution Amendment (FCR 3)

The present retirement plan for Group II classified personnel at present is non-contributory and is funded from the current operating budget. It is deemed desirable that this group should be brought in line with the retirement program for Group I employees and it was moved by Mr. Griffin that the retirement resolution amendment presented as part of FCR 3, which would incorporate Group II classified employees into the University's retirement resolution, be approved. His motion was seconded by Mrs. Blazer, and all present voted "aye". (See FCR 3 at the end of the Minutes.)

T. Student Code Revision Committee Report

Mr. Griffin, Chairman of the Student Code Revision Committee, indicated that the committee would hold open hearings for students and faculty members who wished to appear on April 16 in the President's Room of the Student Center from 10:00 a. m. to 12:00 noon and 1:00 p. m. to 2:30 p. m. Following the hearings, the committee would finalize their recommendations for presentation to the Board at the May meeting.

U. Meeting Adjourned

Having first determined that there was no further business to come before the meeting, Mr. Clay called for a motion for adjournment. Mrs. Blazer so moved, and her motion being duly seconded, and carried, the meeting adjourned at 2:55 p. m.

Respectfully submitted,

Lucile T. Blazer, Secretary  
Board of Trustees

(Resolution on Stephen Bright, PRs 2, 3, 4, 5, 6, 7, and 8, and FCRs 1, 2 and 3 which follow are official parts of the Minutes of the meeting of April 6, 1971.)

## RESOLUTION

The Board of Trustees of the University of Kentucky, meeting in regular session on Tuesday, April 6, 1971, notes that this meeting marks the end of the term of non-voting student member

STEPHEN B. BRIGHT

and wishes to recognize his services on the Board during the year beginning in May 1970.

Mr. Bright has been regular in his attendance and has at all times been frank and open in his presentation of matters which he felt to be of concern to those students he was representing. A staunch defender of student rights and a firm believer in the need for greater student participation in University governance, Steve championed his causes with steadfast determination and maintained his poise and self-possession at all times.

It is the hope of this Board that his experience as a Student Trustee has been a beneficial one for him and that as he retires from this position he does so with a broader understanding of the role of a Trustee in the affairs of the University.

Now, therefore, be it resolved that this Resolution be adopted, a copy spread upon the Minutes of the meeting, and a copy transmitted to Mr. Bright.

PRI

PRESIDENT'S REPORT TO THE TRUSTEES

April 6, 1971

1. SENATOR MORTON PAPERS DISPLAYED, OFFICIALLY PRESENTED

Nearly two years have been spent sorting and labeling the 296,352 items from the official records of Senator Thruston B. Morton now housed in the Special Collections Department of the Margaret I. King Library. The papers were officially presented in brief formal ceremonies last Friday. Dr. Stuart Forth, director of libraries, said the collection is now available for study by interested scholars. "As with other collections of contemporary papers of this nature," Dr. Forth said, "some of the material is restricted and can be used only with the permission of Senator Morton." He added that "approximately 2,000 entries have been inserted in the Special Collection's catalogue of manuscripts identifying key areas in the Morton papers of interest to scholars. It would have been impractical to identify by card each item in the collection." The 77 crates of material began to arrive on the Lexington campus following the November 1968 election, with the bulk of the items received in April, 1969. "We expect to receive even more material from the senator in the future," Dr. Forth added. "The University is fortunate to receive this important collection." The collection contains the records and other materials accumulated by the Kentucky senator while serving in the U.S. Senate (1956-68), and the files representing his term as chairman of the National Republican Committee (1959-61). Dr. Forth said the Morton papers represent the largest 20th Century collection housed in Special Collections.

2. RESEARCH GOALS OUTLINED BY TOBACCO INSTITUTE SPOKESMEN

Ways in which the Tobacco and Health Research Institute is beginning to study the effects of smoking on human health were outlined by a panel of medical doctors at the recent Institute annual workshop conference. Emphasizing that its studies involving humans have begun since a new state tax on cigarettes went into effect last July 1, the Institute researchers discussed only proposals for studies, not results. Dr. Ernest W. Chick, Institute associate director and medical program coordinator, noted that the Institute reaches across campus to encourage and stimulate research on whether smoking is harmful, what substances might cause it to be hazardous and what could be done to make it safe. All information will be put into a data bank so it will be available to all researchers.

3. RECEIVE \$390,600 FOR 14 FELLOWS IN VO-ED PROGRAM

The Department of Vocational Education has received \$390,600 from state and federal agencies for 14 doctoral fellowships during the next three years, according to Dean George Denemark of the College of Education. The Vocational Education Personnel Branch of the U.S. Office of Education and the Bureau of Vocational Education of the Kentucky Department of Education have funded the program to develop leadership personnel in vocational-technical education at the doctoral levels. Each fellow will select one of these areas of specialization: Administration and supervision, curriculum, research, teacher education, or vocational guidance. Internship and field experience at the administrative and college level in all types of vocational-technical education will be an important part of the program, Dean Denemark said. Each fellow will receive a stipend of \$3,500 plus \$400 for each dependent during the academic year, and \$700 plus \$100 for each dependent during the Summer Session. Dr. Harold R. Binkley, chairman of the Department of Vocational Education, is director of the program, which begins with the 1971 Summer Session.

4. KEEN JOHNSON WILL DESIGNATES \$1000 TO JOURNALISM DEPARTMENT

A check for \$1,000 will be awarded in honor of the 1971 graduating journalism seniors at the school's annual awards luncheon on April 21. The gift, in a bequest by former Governor Keen Johnson, a 1922 graduate in journalism, was designated for use at the discretion of the journalism faculty, which has voted to establish the Keen Johnson Memorial Collection to be housed in the Reading Room of the Enoch Grehan Journalism Building. The major portion of the money will be used to purchase books and journals for student use. Mrs. Richard E. Jagers Jr., Lexington, Gov. Johnson's daughter, will present the check to the department. The governor died February 8, 1970, at his home in Richmond. Owner of the Richmond Daily Register for 40 years, he served as a president of the Kentucky Press Association. His political career included a term as lieutenant governor (1935-39) and a term as governor (1939-43). A \$100 scholarship award in memory of Miss Helen Henry '48, who died September 17 at Louisville, will be presented to an outstanding student in this year's public relations class, given by the Blue Grass Chapter, Public Relations Society of America.

5. WHITESBURG EDITORS HONORED BY JOURNALISM DEPARTMENT

Two journalists--Thomas E. and Patricia B. Gish of Whitesburg--were guests of honor at the annual journalism banquet last Thursday. Sponsored by the Department of Journalism and the undergraduate chapters of Sigma Delta Chi and Theta Sigma Phi, journalism societies, the dinner was held off-campus, at the Springs Motel. Introducing the two guests of honor was Harry Caudill, recognized authority on Appalachia and a native of Whitesburg. The Gishes own and publish The Mountain Eagle at Whitesburg.



6. ACQUIRE PRINT OF 13-PART TV SERIES, "CIVILISATION"

"Civilisation," the film that recently won overwhelming acclaim as a 13-part television series, is being shown to Central Kentucky audiences in motion picture form, beginning March 28. An overview of civilization as seen through Western man's greatest painting, sculpture, architecture and music, the film was produced by the British Broadcasting Corporation and is narrated by British art historian Kenneth Clark. The print of the film acquired by the University is shown without charge at a series of weekly offerings in the Student Center Theater and White Hall classroom building. After a one-hour introductory program last week, the series will continue in weekly segments of two hours each. Public showings are scheduled for 3 o'clock on Sunday and Wednesday afternoons in the Student Center Theater and for 7:30 each Thursday night in Room 118 White Hall. Dr. John B. Stephenson, dean of undergraduate studies, said the 16-mm. color film was purchased primarily as a teaching aid in fine arts, history, architecture, education, and other fields. In recognition, however, of the film's appeal to general audiences, as demonstrated by its television success, the administration decided to exhibit "Civilisation" not only for all University students and faculty, but as a public service for interested off-campus viewers as well.

7. MED STUDENT WINS MILTON SHY AWARD

James R. Heckmann, second year medical student, has won the G. Milton Shy Award in Clinical Neurology, given to only one outstanding medical student in the U.S. each year. The American Academy of Neurology selected Heckmann as the Tenth Essay Contest winner on the basis of his paper, "Excitability Curve: A New Technique for Assessing Human Peripheral Nerve Excitability in Vivo." The studies which were the subject of Heckmann's essay were performed during the summer of 1970 in the laboratory of Dr. Michael P. McQuillen, associate professor of neurology. "The technique which Mr. Heckmann developed is a new and sensitive measure of nerve function," Dr. McQuillen stated. "It has great attractiveness as a simple method for the investigation of patients with peripheral neuropathies."

8. FIRST DISTINGUISHED PHARMACIST AWARDS PRESENTED

Seven Kentucky pharmacists have been named the first Distinguished Kentucky Pharmacists and honored at a banquet at the Student Center by the faculty of the College of Pharmacy. Awards were presented to William B. Zubrod, Nathan Kaplan, W. Oscar Votteler, and Arthur P. Markendorf, all of Louisville; Ferdinand D. Stoll, Lexington; George W. Grider, Danville, and Willard F. Bettinger, Ft. Mitchell. The presentations were made in conjunction with the college's Centennial Day program. Joe Creason, Louisville newspaper columnist, was the banquet speaker. The Dean's Centennial Seminar and open house at the college were features of the program earlier, on March 23. Dr. Peter Bosomworth, vice president for the A. B. Chandler Medical Center, presented the awards. Greetings were extended by Dean Joseph V. Swintosky, College of Pharmacy.

9. FACULTY ABROAD--FOREIGN FACULTY AT UNIVERSITY

During the current academic year, as reported to the Office for International Programs, 21 faculty and staff members are spending all or part of the year abroad. They are the following: Harold Rosenbaum (Diagnostic Radiology), research and teaching in Honduras; Don Jacobson (Animal Science), research in New Zealand; Frank Singletery (Animal Science), research in Thailand; David Wekstein (Physiology), research and teaching in Norway; Milton Gellin (Pedodontics), teaching in England; John Ellis (Agronomy), advising in Thailand; William Templeton (Agronomy), research in Argentina; Thomas Ford (Sociology), advising in Colombia; Vincent Nelson (Geology), teaching in Ecuador; Thomas Roberts (Geology), teaching in Ecuador; Anne Green (Art), teaching in India, Burma, Indonesia, Japan; James Simpson (Mathematics), teaching in Iran; James Beidleman (Mathematics), teaching in England; Peter Gillis (Metallurgical Engineering), teaching in New Zealand; William Brown (Plant Pathology), advising in Thailand; Benjamin T. Dean (Animal Science), advising in Thailand; Jesse Weil (Physics), teaching in England; Joseph Finney (Educational Psychology & Counseling), teaching in Western Samoa, Gilbert and Ellis Islands; Joseph Krislov (Economics), teaching in Ireland; Walter A. Graham (CDC), advising in Thailand; Eldon Smith (Agricultural Economics), research in Thailand.

Foreign scholars here for the current academic year include the following: Adam Pepelasis (Greece), teaching in Economics; Jose Caceres and Juan Castello (Spain), teaching in Diagnostic Radiology; M. Mukhtar Ali (Pakistan), teaching in Economics; Phillis Chien (Formosa), technician in Nutrition and Food Science; Saiyid Zafar Hasan (India), research and teaching in Social Professions; Tsuneo Hirabayashi (Japan) and Adul Warintarwej (Thailand), orthopedic residents in Surgery; Piotr Perzyna (Poland), senior foreign specialist in Engineering Mechanics; Robert Ouvrier (Australia), chief resident in Neurology; Peter Procopis (Australia) and Stuart Green (England), residents in Neurology; and Laurence Whitfield (England), artist-in-residence in Art.

10. COLLEGE OF MEDICINE HAS PROGRAM FOR DISADVANTAGED STUDENTS

The College of Medicine has received a grant from the National Fund for Medical Education to recruit disadvantaged students into the health professions. The focus of the program will be working with Black students and students from East Kentucky. The students, for socio-economic reasons, have never considered the health professions as career possibilities or are having educational or financial difficulty in reaching their objectives. The program for Disadvantaged Students is a cooperative venture of the College of Medicine Committee on Disadvantaged Students which is composed of students, faculty, staff, and liaison members from the Colleges of Allied Health, Dentistry, Nursing, and Pharmacy, according to John C. Wolff Jr., Coordinator of the Program for Disadvantaged Students.

11. THAILAND PROJECT CONTRACT RENEWED

Dr. S. C. Bohanan, coordinator of the Thailand Project, recently returned from Thailand and reported that the UK-AID-Thailand contract has been renewed for five years, at which time the UK-trained Thai staff will have completed their responsibility to the project. The original contract was negotiated in 1966 by CDC (representing the University), the Thai Ministry of Agriculture, and AID. The contract called for the construction of an Agricultural Center in the Northeast region of Thailand, which has long been the most economically depressed area of the country. The Kentucky team headed by Dr. Herbert F. Massey, Department of Agronomy, arrived at Khon Khaen in the Northeast in the spring of 1967 to begin to set up research in the various fields of agriculture and to set about the task of institution-building. Dr. Massey remained as chief of party until August 1970, during which time substantial progress was made in the project. At home, the University's responsibility was to train Thai agricultural students for their masters' and doctoral degrees in order to create the skilled personnel needed to operate the Center. At present over 50 Thai have come to the U.S., most of them studying at UK, and two groups already have returned to the Center. During the past year administrative responsibility for the Project has been returned from CDC to the College of Agriculture. This step is in accord with the CDC policy of developing projects and transferring them to appropriate units at the point of self-sustained growth.

12. DEDICATE BUILDING, NAME TWO BUILDINGS AT SOMERSET

Two Kentuckians, one a pioneer and the other a leading educator, were honored in the dedication and naming of two buildings at Somerset Community College on March 22. Following the welcome by Dr. Roscoe Kelley, college director, Governor Louie B. Nunn and Dr. Otis A. Singletary spoke briefly, with Richard Cooper, member of the Board of Trustees and Somerset native, serving as chairman. The main building, completed in 1965, was named for Dr. Leonard Ephraim Meece, an alumnus of the University and a native of Pulaski county. Dr. Meece, who died in 1968, was known throughout Kentucky for his leadership in education. He played a fundamental role in locating the community college in Somerset. Leonard Meece Hall houses administrative and faculty offices, classrooms accommodating up to 60 students, a library, laboratory and student areas. The second building, formerly known as the technical building, was named and dedicated as the George Michael Stoner Building. Stoner, who came to Kentucky with Daniel Boone, played an important part in the exploration and settlement of Kentucky, especially Clark and Pulaski counties. Completed in 1970, the Stoner Building houses home economics, nursing, engineering, radio and TV, and the public relations departments, in addition to general classrooms also accommodating up to 60 students and auditoriums which seat up to 135 persons for lectures.

13. NUCLEAR GENERATION OF ELECTRIC POWER IN KENTUCKY'S FUTURE

Dr. O. J. Hahn and Dr. Uri Gat, assistant professors of mechanical engineering, believe that with the relative increase in coal prices and the growth of the electric systems in the state, a nuclear power plant will be committed for construction in Kentucky by 1975. They added that the nuclear fuel enrichment plant at Paducah, the location of Kentucky at the geographic center of the nuclear power generation capability, the fluorspar deposits, and the available river transportation make Kentucky very attractive for the peripheral nuclear industry such as fuel processor and fuel manufacturer.

"We don't believe there will be any real competition with fossil fuels," says Dr. Gat. "Actually, coal is becoming too valuable as a resource for use in many other products to be wasted as a fuel for electric power generation." Dr. Hahn notes that ecologists complain that the rise in water temperature from thermal pollution upsets the normal balance of aquatic life. "These problems can be solved satisfactorily in most areas where nuclear generation plants exist, or are planned."

Dr. Hahn said three grants have been received at the University from the Atomic Energy Commission, including funds for an undergraduate laboratory, an analog computer, a nuclear accelerator, and a multi-channel analyzer and various other equipment for teaching and research. The accelerator will be housed in a proposed blockhouse near the engineering building. "We will do neutron experiments and shielding experiments. In addition, the new laboratory will allow us to use a Californium neutron source for experimentation," Dr. Hahn said. The Californium source will be lowered into a well 10 feet deep. Students majoring in mechanical engineering who carry courses in nuclear engineering and conduct research experiments in the field would be qualified to assist in the design of nuclear powered generation plants, Dr. Hahn said. The nuclear engineering educational program at the University is administered by the Department of Mechanical Engineering under the chairmanship of Dr. Roger Eichhorn. The University offers a master of science degree in nuclear engineering and allows emphasis in the normal bachelor of science, master of science and doctor of philosophy degrees programs in the nuclear engineering area. The research emphasis of Drs. Hahn and Gat lies in the area of reactor safety, shielding and fuel management.

14. HOPKINSVILLE SETS FIRST ANNUAL SPRING FESTIVAL

Hopkinsville Community College's first Spring Festival is April 16-17, with participants from four West Kentucky junior colleges. The two-day event has attracted students from community colleges at Henderson, Paducah and Madisonville for sports competition, a talent show, a queen contest and dance. Friday night festivities will be open to the public. Queen contestants will be judged for poise, beauty activities and personality. Athletic competition will continue all day Saturday, with trophies awarded in a 6:30 p.m. ceremony at the college.

15. UKRF AGREEMENT AWARDS IN MARCH MORE THAN HALF MILLION

During the period March 1-31, a total of 23 agreement awards was received by the University of Kentucky Research Foundation, amounting to \$359,819.65. Sixteen grants received additional funds amounting to \$212,463. Two grants were decreased by \$89,436. Four Inter-Disciplinary funded projects were established amounting to \$129,776. Inter-Disciplinary project funds are not included in the above totals. Total agreement awards for March is \$572,282.65, and the total since the beginning of the fiscal year: \$9,881,621.79.

COLLEGE OF AGRICULTURE

Department of Agronomy--R. C. Buckner and A. J. Hiatt, Grass Breeding Section, U.S. Department of Agriculture, \$1,400. G. W. Thomas, The Relationship Between Soil Characteristics, Water Movement and Nitrate Contamination of Ground Water, Office of Water Resources Research, \$5,900. (Additional funds). H. Watkins, Development of Turf Herbicides Insecticides & Management Techniques, Chevron Chemical Company, \$600. K. L. Wells, L. Murdock, R. Powell, H. Vaught, J. Kaiser and W. C. Thompson, Effect of Baron on Stand Longevity of Red Clover Interseeded Into a Pasture Sward, U.S. Borax, \$500. K. L. Wells, W. C. Thompson, A. J. Hiatt, H. Vaught, Forage Fertility Research, American Potash Inst. Inc., \$300.

Department of Animal Sciences--G. Potter, Influence of Source and Level of Protein on Digestion, Nitrogen Metabolism and Growth of Horses, American Dehydrators Association, \$4,000.

Department of Home Economics Extension--Doris A. Tichenor, Cooperative Program With Lees Junior College on our Foods and Nutrition Cooperative Extension Program, Lees Junior College, \$364.32.

COLLEGE OF ARTS AND SCIENCES

Department of Chemistry--G. D. Christian, Determination of Trace Elements in Water Utilizing Voltammetric and Atomic Spectroscopic Measurement, Office of Water Resources Research, \$4,880 (Additional funds - total amount of award now \$9,280).

Department of Geology--J. Thraikill, Digital Computer Modeling of Limestone Ground-Water Systems, OWRR, \$5,365 (Additional funds).

Department of Microbiology--M. I. H. Aleem, Support to Investigate the Bio-Chemical Potentialities in Immune Milk, Strolle Research and Development Corporation, \$5,000.

Department of Physics--B. D. Kern and M. T. McEllistrem, Nuclear Structure and Nuclear Reaction Mechanisms, National Science Foundation, \$120,000.

COLLEGE OF BUSINESS AND ECONOMICS

Office of Development Services and Business Research--H. K. Charlesworth, State Council of Economic Advisors, Department of Finance, State of Kentucky, \$25,000.

Department of Economics--C. E. Harvey, International Research and Exchange Board Travel Grant, International Research and Exchange Board, \$581.

#### COLLEGE OF EDUCATION

Department of Educational Psychology--J. R. Barclay and D. S. Arnold, Techniques for Prescriptive Teaching in Elementary Classrooms, Charles F. Kettering Foundation, \$10,204.

Department of Curriculum and Instruction--E. F. Rankin, A Reading and Study Skills Institute for Junior College Teachers, U.S. Office of Education, \$30,000.

#### COLLEGE OF ENGINEERING

Department of Civil Engineering--V. P. Drnevich, Location of Solution Channels and Sinkholes in Dam Foundations and Backwater Areas by Seismic Methods, OWRR, \$7,220 (Additional funds). E. G. Foree, Algal Growth and Decomposition: Effects on Water Quality Phase II, OWRR, \$4,659.49.

Department of Electrical Engineering--E. B. Bradley, Detection and Identification of Molecular Water Pollutants by Laser Raman Spectroscopy, OWRR, \$4,752.84.

Department of Continuing Education and Extension--D. K. Blythe, A Comprehensive Research Program to Investigate the Effectiveness of Alternate Methods Used in the Disposal of Disposable Diapers, Procter and Gamble Company, \$4,510.

Department of Mechanical Engineering--J. H. Lienhard, A Study of Interacting Effects of Geometry and Gravity Upon Peak Boiling Heat Fluxes, National Aeronautics and Space Administration - Lewis Research, \$18,694 (Additional funds).

Department of Metallurgical Engineering--M. Leipold, Mechanical Behavior of Polycrystalline Ceramics, NASA, \$16,000 (Additional funds). R. S. Mateer, Phase Equilibrium and Setting in Dental Amalgams, National Institutes of Health, \$27,650.

#### GRADUATE SCHOOL

Department of Administration--J. C. Cabe, NSF Graduate Traineeship Program, NSF, \$79,229 (Additional funds).

#### SCHOOL OF SOCIAL PROFESSIONS

E. F. Witte and H. Barlow, Study of School of Social Professions, Social and Rehabilitation Services, \$33,000 (Additional funds).

#### OHIO VALLEY REGIONAL MEDICAL PROGRAM

William H. McBeath, Ohio Valley Regional Medical Program, NIH, \$88,331 (Decrease in allotment - total amount of award now \$1,030,761).

COLLEGE OF DENTISTRY

Office of the Dean--H. M. Bohanan, General Research Support Grant, NIH, \$11,553 (Additional funds).

COLLEGE OF MEDICINE

Office of the Dean--W. S. Jordan, General Research Support Grant, NIH, \$26,991 (Additional funds). W. S. Jordan, Dean's Emergency Fund, Miscellaneous Individuals, \$1,725 (Additional funds). W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, Miscellaneous Individuals, \$85 (Additional funds).

Department of Anesthesiology--M. A. Carnes, Anesthesiology Miscellaneous, Miscellaneous Individuals, \$36 (Additional funds).

Department of Biochemistry--D. C. White, Post-Doctoral Supply Allowance - Paul H. Ray, NIH, \$1,000.

Department of Community Medicine--I. B. Shine, Genetic Survey in Kentucky, Department of Health State Contract, \$10,000.

Department of Diagnostic Radiology--H. D. Rosenbaun, Project to Improve Utilization of Radiologic Manpower, Public Health Service, \$80,824.

Department of Medicine--R. Luke, Dialysis Training Program, Travenol Laboratories, Inc., \$1,500.

Department of Ophthalmology/Surgery--J. Wirtschafter, Ophthalmology Development Fund, Miscellaneous Individuals, \$910 (Additional funds).

Department of Pediatrics--R. Beargie, Care of Premature and High Risk Infants, Kentucky State Department of Health, \$1,105 (Decrease in allotment - total amount of award now \$29,807.00). V. L. James, Cystic Fibrosis Diagnostic and Treatment Clinical Center, National Cystic Fibrosis Research Foundation, \$2,550.

Department of Physiology--J. Engelberg, Healthy Neighborhoods, Lexington Clinic Foundation for Medical Education and Research, \$700 (Additional funds).

Department of Psychiatry--W. G. Drew, Effect of Long-Term Multi-Drug Abuse on Recent Memory, Human Development Studies Program, \$2,000.

Department of Surgery--A. Hellebusch, Urological Research and Resident Fund, Miscellaneous Individuals, \$175 (Additional funds). W. B. Jewell, Central Oncology Group CA 1228 3-01, NIH, \$22,424.

INTER-DISCIPLINARY FUNDED PROJECTS

Department of Continuing Education--F. R. Lemon, University Continuing Education Resources, NIH, \$50,863.

Medical Center Library--O. Hamlin, Library Extension Services, NIH, \$49,287.

Department of University Coordinator - Continuing Education--F. R. Lemon, University Coordinator, NIH, \$26,418.



INTER-DISCIPLINARY FUNDED PROJECTS

Department of Drug Information Spec. (Clin. Pharm.)--C. Walton, Drug Information Specialist (OVRMP), National Institutes of Health, \$3,198.

16. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. George L. Wilber, director of the Social Welfare Research Institute, has been elected to a three-year term on the executive committee of the Southern Regional Demographic Group, under the auspices of Oak Ridge Associated Universities.

Dr. S. Sidney Ulmer, professor of political science, has been named to the six-member nominating committee of the American Political Science Association for 1972-73.

Dr. Joseph M. Ripley of the School of Communications has been elected to the board of directors of the Association for Professional Broadcast Education. The chairman of the Department of Telecommunications is one of the four elected directors of the organization.

Dr. Daniel R. Reedy, professor of Spanish and a specialist in Latin American literature, is one of 30 U.S. scholars who have been awarded fellowships for international travel and study during 1971. The grant will enable Dr. Reedy to do research in Lima, Peru, during July and August.

Dr. Willis H. Griffin, associate professor of social and philosophical studies in the College of Education and director of international programs, Center for Developmental Change, is co-author of a book just published in Bombay, India. "The Process of Planned Change in Education," explores social, cultural and economic changes and relates them to the problems and processes of planned change in Indian education. Co-author with Dr. Griffin is Dr. Udai Pareek, director of the School of Basic Sciences and Humanities, University of Udaipur, India.

Russell Rice, sports information director, has been named "Sportswriter of the Year," by the International Churchmen's Sports Hall of Fame, Inc. Rice for the past 15 years has served as Kentucky editor for Religious News Service.

Dr. Eldon D. Smith, professor of agricultural economics, returned from Thailand February 26 after a 4-day stopover in Japan to collect information on Japanese small and medium scale slaughter houses for use in studies on optimum location and scale of slaughter houses in northeast Thailand. While in Thailand, Dr. Smith worked with Edwin C. Price (Department of Ag. Econ.) and Harry Griggs (Economics Department) on a study of the livestock industry of northeast Thailand.



Dr. Milton Shuffett, professor and vice chairman of the Department of Agricultural Economics, participated in the SM-42 Technical Committee meeting on grain marketing in the southeastern U.S. in Atlanta on February 23-24. On March 2, Dr. Shuffett was in Washington, D.C., to testify before the Senate and House hearings on tobacco poundage legislation.

Dr. Russell H. Brannon, professor of agricultural economics, was in Chicago as a consultant to the Foreign Area Fellowship Program of the Ford Foundation, interviewing candidates for research fellowships and internships in the agricultural sciences to be awarded for Latin America and the Caribbean.

Dr. Thomas B. Stroup, professor of English, presided at the annual meeting of the Southeastern Renaissance Conference at Clemson University, April 2-3. The conference is made up of Renaissance scholars from all the humanistic disciplines in the area of West Virginia, District of Columbia, Virginia, Kentucky, North Carolina, South Carolina, Tennessee, Georgia, Florida and Alabama. It is the oldest of the regional societies. Dr. Stroup was president of the organization, 1967-68.

Dr. William H. Dennen, chairman of the Department of Geology, will lecture in geochemistry at the Central University, Quito, Ecuador, in a program underwritten by the Bureau of Educational and Cultural Affairs, Department of State. In a related grant, Dr. William L. Conger of the Department of Chemical Engineering, will lecture in chemical engineering at the University of Guayaquil, Ecuador.

Dr. Alan R. Perreiah, associate professor of philosophy, has been invited to present a study during a symposium at the meeting of the Western Division of the American Philosophical Association in Chicago, May 6-8. Title of Dr. Perreiah's study will be "Buridan and the Definite Description."

Dr. Joseph Gruber, chairman of the Department of Health, Physical Education and Recreation, has been appointed Southern Association representative on the Membership Committee for the Research Council of the American Association of Health, Physical Education and Recreation. He also has been named to the historical records committee of the National College Physical Education Association, and recently returned from a joint conference of the National Association for the Education of Young Children and the American Association of Health, Physical Education and Recreation which was devoted to the "Significance of Motor Development in Young Children."

Dr. David C. McMurtry, professor of vocational education, recently was appointed to a four-year term on the Kentucky State Archives and Records Commission. Dr. McMurtry has worked with State Archives and Records Service in an advisory capacity since 1963. In 1968, he co-authored a "Records Management Handbook" for state government offices and agencies.

Office of the President  
April 6, 1971

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Program Leading to Ph.D. in Crop Science

Recommendation: that the Board of Trustees approve a program leading to the Ph.D. in Crop Science in the College of Agriculture, effective immediately.

Background: The primary objective of the Crop Science program is to offer students doctoral level training and experience that will qualify them for Crop Science research, teaching and extension. The establishment of this program has received prior approval of the Graduate Faculty and the University Senate, and financial feasibility has been determined.

II. Designation of Name for New Research Laboratory

Recommendation: that the new research laboratory facility presently under construction and referred to as the "Multidisciplined Research Building" be officially designated "Research Facility No. 3".

Background: In accordance with the present policy of naming University buildings, the Building and Campus Development Committee recommends to the President and the Board of Trustees that the research laboratory be named "Research Facility No. 3". Because this is a facility similar in nature and use to the two other buildings designated as Research No. 1 and Research No. 2, the above recommendation seems appropriate.

III. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

- Joseph A. Bryant, Jr., Professor (with tenure), Department of English, College of Arts and Sciences, effective August 15, 1971
- Douglas N. Buchanan, Visiting Professor, Department of Neurology, College of Medicine, effective July 1, 1971
- Herman L. Totten, Associate Professor and Assistant Dean, College of Library Science, effective July 1, 1971
- Beverly A. Smith, Instructor (part-time), Department of Obstetrics and Gynecology, College of Medicine, effective April 1, 1971 (salary over \$15,000)

Administrative Appointments

- Judge T. Calton, Associate Administrator, University Hospital, named Administrator, University Hospital, effective May 1, 1971
- Ben R. Gossick, Professor (with tenure) and Chairman, Department of Physics and Astronomy, College of Arts and Sciences, reappointed Chairman of the Department of Physics and Astronomy, effective July 1, 1971
- Wayne Wonderley, Professor (with tenure), Department of Germanic Languages and Literatures, College of Arts and Sciences, named Chairman of the Department of Germanic Languages and Literatures, effective July 1, 1971
- Harry V. Barnard, Chairman, Department of Curriculum and Instruction, College of Education, reappointed Chairman of the Department of Curriculum and Instruction, effective July 1, 1971
- Joseph J. Gruber, Professor (with tenure) and Chairman, Department of Health, Physical Education and Recreation, College of Education, reappointed Chairman of the Department of Health, Physical Education and Recreation, effective July 1, 1971
- Staley F. Adams, Chairman, Department of Civil Engineering, College of Engineering, reappointed Chairman of the Department of Civil Engineering, effective July 1, 1971
- Hans Conrad, Professor (with tenure) and Chairman, Department of Materials Science, College of Engineering, named Chairman of the Department of Metallurgical Engineering, and reappointed Chairman of the Department of Materials Science, effective July 1, 1971
- Roger Eichhorn, Professor (with tenure) and Chairman, Department of Mechanical Engineering, College of Engineering, reappointed Chairman of the Department of Mechanical Engineering, effective July 1, 1971
- R. B. Grieves, Chairman, Department of Chemical Engineering, College of Engineering, reappointed Chairman of the Department of Chemical Engineering, effective July 1, 1971

- D. C. Leigh, Professor (with tenure), Department of Engineering Mechanics, College of Engineering, and Department of Mathematics, College of Arts and Sciences, named Chairman of the Department of Engineering Mechanics, effective July 1, 1971
- Earl L. Steele, Professor (with tenure), Department of Electrical Engineering, College of Engineering, and Department of Physics, College of Arts and Sciences, named Chairman of the Department of Electrical Engineering, effective July 1, 1971

Promotions (Effective July 1, 1971)

- Juanita W. Fleming, (Instruction) College of Nursing, from Assistant Professor of Clinical Nursing to Associate Professor of Clinical Nursing (with tenure)
- Alfred S. L. Hu, Department of Biochemistry, College of Medicine, from Associate Professor (with tenure) to Professor (with tenure)
- Roger A. Andersen, Department of Agronomy, College of Agriculture, from Assistant Professor (Adjunct) to Associate Professor (Adjunct)
- James A. Boling, Department of Animal Sciences, College of Agriculture, from Assistant Professor to Associate Professor (with tenure)
- Douglas L. Dahlman, Department of Entomology, College of Agriculture, from Assistant Professor to Associate Professor (with tenure)
- Donald G. Ely, Department of Animal Sciences, College of Agriculture, from Assistant Professor to Associate Professor (with tenure)
- Nelson Gay, Department of Animal Sciences, College of Agriculture, from Associate Extension Professor (with tenure) to Extension Professor (with tenure)
- Harold G. Love, Department of Agricultural Economics, College of Agriculture, from Assistant Extension Professor to Associate Extension Professor (with tenure)
- John H. Nicholai, Jr., Department of Animal Sciences, College of Agriculture, from Assistant Extension Professor to Associate Extension Professor (with tenure)
- Bobby Clifton Pass, Department of Entomology, College of Agriculture, from Associate Professor (with tenure) to Professor (with tenure)
- Doyle E. Peaslee, Department of Agronomy, College of Agriculture, from Associate Professor (with tenure) to Professor (with tenure)
- Thomas P. Pirone, Department of Plant Pathology, College of Agriculture, from Associate Professor (with tenure) to Professor (with tenure)
- Milton E. Prickett, Department of Veterinary Science, College of Agriculture, from Assistant Professor to Associate Professor (with tenure)
- Shuh-Ji Sheen, Department of Agronomy, College of Agriculture, from Assistant Professor to Associate Professor (with tenure)
- Kenneth L. Wells, Department of Agronomy, College of Agriculture, from Assistant Extension Professor to Associate Extension Professor (with tenure)

Lois Wilson W. Langhorst, College of Architecture, from Assistant Professor to Associate Professor (with tenure)

John Paul Strickland, College of Architecture, from Assistant Professor to Associate Professor (with tenure)

William Y. Adams, Department of Anthropology, College of Arts and Sciences, from Associate Professor (with tenure) to Professor (with tenure)

William H. Blackburn, Department of Geology, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Bradley C. Canon, Department of Political Science, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Steven A. Channing, Department of History, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Ronald C. Dillehay, Department of Psychology, College of Arts and Sciences, from Associate Professor (with tenure) to Professor (with tenure)

James M. Edney, Department of Zoology, College of Arts and Sciences, from Assistant Professor (with tenure) to Associate Professor (with tenure)

Joseph H. Gardner, Department of English, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Robert D. Guthrie, Department of Chemistry, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Denny O. Harris, Department of Botany, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Robert M. Ireland, Department of History, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

William E. Lyons, Department of Political Science, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Alan D. MacKellar, Department of Physics and Astronomy, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

P. S. Sabharwal, Department of Botany, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Jon M. Shepard, Department of Sociology, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Gerard Silberstein, Department of History, College of Arts and Sciences, from Associate Professor (with tenure) to Professor (with tenure)

Frank Traficante, Department of Music, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

James E. West, Department of Mathematics, College of Arts and Sciences, from Assistant Professor to Associate Professor (with tenure)

Stuart M. Klein, Department of Business Administration, College of Business and Economics, from Associate Professor (with tenure) to Professor (with tenure)

James A. Knoblett, Department of Accounting, College of Business and Economics, from Associate Professor (with tenure) to Professor (with tenure)

Maurice Clay, Department of Health, Physical Education and Recreation, College of Education, from Associate Professor (with tenure) to Professor (with tenure)

Robert S. Driska, Department of Vocational Education, College of Education, from Assistant Professor to Associate Professor (with tenure)

Donald R. Kirkendall, Department of Health, Physical Education and Recreation, College of Education, from Assistant Professor to Associate Professor (with tenure)

Leonard C. McDowell, Department of Vocational Education, College of Education from Associate Professor in Student Teaching\* (with tenure) to Professor in Student Teaching\* (with tenure)

Clayton Riley, Department of Vocational Education, College of Education, from Assistant Professor in Student Teaching\* to Associate Professor in Student Teaching\* (with tenure)

Abdelmonem Rizk, Department of Health, Physical Education and Recreation, College of Education, from Assistant Professor to Associate Professor in Applied Physical Education\* (with tenure)

Judith Worell, Department of Educational Psychology and Counseling, College of Education, from Assistant Professor to Associate Professor (with tenure)

Clifford J. Cremers, Department of Mechanical Engineering, College of Engineering, from Associate Professor (with tenure) to Professor (with tenure)

Betty D. Eastin, Department of Textiles, Clothing and Merchandising, College of Home Economics, from Assistant Professor\* to Associate Professor of Home Economics in Business\* (with tenure)

Charles Atcher, University Library, from Librarian III to Librarian II (with tenure)

Frances Kelley, University Library, from Librarian III to Librarian II (with tenure)

Elizabeth Morgan, University Library, from Librarian III to Librarian II (with tenure)

Nancy J. Patton, Department of Physical Therapy, College of Allied Health Professions, and Department of Anatomy, College of Medicine, from Assistant Professor\* to Associate Professor\* (with tenure) in the Department of Physical Therapy, College of Allied Health Professions

Promotions - Joint (Effective July 1, 1971)

David F. Preston, Department of Radiation Medicine, College of Medicine, and Department of Health Radiation Sciences, College of Allied Health Professions, from Assistant Professor to Associate Professor (with tenure)

Paul A. Willis, College of Law, from Assistant Professor to Associate Professor (with tenure), and University Library, from Librarian III to Librarian II (with tenure)

Tenure Granted (Effective July 1, 1971)

Susan D. Csaky, Librarian II, Law Library

Michael D. Bayles, Associate Professor, Department of Philosophy, College of Arts and Sciences

Lathel Duffield, Associate Professor, Department of Anthropology, College of Arts and Sciences

\*Special Title Series

Roger W. Hemken, Associate Professor, Department of Animal Sciences,  
College of Agriculture  
Doris Tichenor, Associate Extension Professor, Department of Extension Home  
Economics, College of Agriculture  
Adamantio Pepelasis, Professor, Department of Economics, College of  
Business and Economics

Reappointments (July 1, 1971 to July 1, 1972)

James Everett Leggett, Associate Professor, Department of Agronomy, College  
of Agriculture  
David C. Payne, Associate Professor and Chairman, Department of Human  
Development and Family Relations, College of Home Economics  
Saiyid Zafar Hasan, Professor\*, Department of Social Work, College of  
Social Professions  
George Plutchok, Associate Professor, Department of Social Work, College  
of Social Professions  
Ernest F. Witte, Professor, Department of Social Work, College of Social  
Professions, and Dean of the College of Social Professions  
Roy Dean Yarbrough, Associate Professor, Department of Social Work, College  
of Social Professions

Leaves of Absence

Robert R. Siegel, Associate Professor of Clinical Medicine\*, Department of  
Medicine, College of Medicine, sabbatical leave changed from the period  
July 1, 1970 through December 31, 1970 to January 1, 1971 through  
June 30, 1971  
Albert Bacdayan, Assistant Professor, Department of Anthropology, College  
of Arts and Sciences, leave without pay for the fall semester, 1971  
Thomas Blues, Associate Professor, Department of English, College of  
Arts and Sciences, sabbatical leave for the 1971-72 academic year, at  
half-salary  
William D. Ehmann, Professor, Department of Chemistry, College of Arts  
and Sciences, sabbatical leave for the spring semester, 1972  
Paul G. Forand, Associate Professor, Department of Slavic and Oriental  
Languages, College of Arts and Sciences, sabbatical leave for the spring  
semester, 1972  
J. Brauch Fugate, Associate Professor, Department of Mathematics, College  
of Arts and Sciences, sabbatical leave for the 1971-72 academic year, at  
half-salary  
John Mack, Associate Professor, Department of Mathematics, College of  
Arts and Sciences, sabbatical leave for the spring semester, 1972  
Bruce R. Moore, Associate Professor, Department of Geology, College of  
Arts and Sciences, sabbatical leave for the fall semester of the academic  
year 1971-72, at half-salary

\* Special Title Series

Thomas Olszewsky, Associate Professor, Department of Philosophy, College of Arts and Sciences, leave without pay for the second semester of the academic year 1971-72

Dwight Auvenshine, Professor and Chairman, Department of Educational Psychology and Counseling, College of Education, sabbatical leave for fall semester, 1971

Harold Binkley, Professor, Department of Vocational Education, College of Education, sabbatical leave for the fall semester, 1971

Edgar M. Minor, Coordinator of Admissions, Department of Teacher Education, College of Education, sabbatical leave for the 1971-72 academic year, at half-salary

#### Retirements

Wyatt M. Insko, Jr., Professor, Department of Animal Sciences, College of Agriculture, after 43 years of service, effective July 1, 1971

Clara E. Wheeler, Senior Instructor, Hopkinsville Community College, after almost six years of service, effective July 1, 1971

Ruth D. Foster, Secretary V, Department of Animal Sciences, College of Agriculture, after over 27 years of service, effective July 1, 1971

### ADMINISTRATION ACTION

#### New Appointments

Mary Leonidakis, Instructor\*, Department of Neurology, College of Medicine

Richard Lee Bednar, Assistant Professor, Department of Psychology, College of Arts and Sciences

Kenneth J. Semon, Assistant Professor, Department of English, College of Arts and Sciences

Ray D. Bernardi, Assistant Professor, Department of Vocational Education, College of Education

Homer H. Burkett, Instructor, Department of Accounting, College of Business and Economics

James R. Marsden, Assistant Professor, Department of Economics, College of Business and Economics

Carl B. Tower, Instructor, Department of Business Administration, College of Business and Economics

William Norman Price, Senior Instructor and Assistant Director, Henderson Community College

Nance Rule Richards, Instructor, Henderson Community College

#### Administrative Appointments

Herman L. Totten, Associate Professor, College of Library Science, named Assistant Dean of the College of Library Science

\* Special Title Series



John M. Webb, named Assistant Administrator, Patient Unit Management,  
University Hospital  
William Norman Price, Senior Instructor, named Assistant Director of the  
Henderson Community College

Promotions (Effective July 1, 1971)

Anne Biedenkapp, Department of Management and Family Economics, and  
Department of Housing and Interior Design, College of Home Economics, from  
Instructor to Assistant Professor  
Evelyn Jones Black, Department of Social Work, College of Social Professions,  
from Instructor\* to Assistant Professor\*  
Barbara Carlisle, Department of Nutrition and Food Science, College of Home  
Economics, from Instructor to Assistant Professor  
LeVerne McCummings, Department of Social Work, College of Social Professions,  
from Instructor\* to Assistant Professor\*  
Maryrhea Morelock, Department of Medicine, College of Medicine, from  
Instructor of Social Work\* to Assistant Professor of Social Work\*  
Leonard C. Wilson, Department of Health Radiation Sciences, College of  
Allied Health Professions, from Instructor\* to Assistant Professor\*

Reappointments - Lexington Campus  
(July 1, 1971 to July 1, 1972)

College of Medicine

Nitza M. Bennett, Instructor, Department of Pediatrics  
H. Martin Blacker, Assistant Professor, Department of Surgery  
Lane J. Veltkamp, Assistant Professor of Social Work\*, Department of  
Psychiatry  
Richard J. Welsh, Assistant Professor of Social Work\*, Department of  
Psychiatry

College of Dentistry - Voluntary Faculty

Aleck M. Begley, Clinical Instructor, Department of Community Dentistry  
John H. Clements, Clinical Instructor, Department of Community Dentistry  
Donald A. Falace, Clinical Instructor, Department of Community Dentistry  
Robert Fowler, Clinical Instructor, Department of Community Dentistry  
Howard J. Greene, Clinical Instructor, Department of Community Dentistry  
Clay Parks, Clinical Instructor, Department of Community Dentistry  
Lal M. Rankin, Clinical Instructor, Department of Community Dentistry  
Donald C. Reel, Clinical Instructor, Department of Periodontics  
Jacob L. Shaver, Jr., Clinical Instructor, Department of Community Dentistry  
Arthur D. Sperow, Clinical Instructor, Department of Prosthodontics  
Robert W. Stigers, Clinical Instructor, Department of Restorative Dentistry  
Frank S. Watts, Clinical Instructor, Department of Community Dentistry

\* Special Title Series

College of Agriculture

Curtis W. Absher, Assistant Extension Professor\*, Department of Animal Sciences  
Melvern K. Anderson, Assistant Professor, Department of Agronomy  
Darrel Arvin Apps, Assistant Extension Professor\*, Department of Horticulture  
Bruce Robert Beattie, Assistant Professor, Department of Agricultural Economics  
John Frederick Benner, Assistant Professor, Department of Agronomy, and Assistant Director of Tobacco and Health Research Institute  
Arthur Franklin Bordeaux, Jr., Assistant Professor, Department of Agricultural Economics  
William M. Brown, Jr., Assistant Extension Professor, Department of Plant Pathology  
Harold Rhodes Burton, Assistant Professor, Department of Agronomy  
Daniel Layton Davis, Assistant Professor, Department of Agronomy  
Ronnie Lee Edwards, Assistant Extension Professor, Department of Animal Sciences  
Dennis Bruce Egli, Assistant Professor, Department of Agronomy  
Max E. Fogleman, Assistant Professor, Department of Horticulture  
Joe Dennis Fox, Assistant Professor, Department of Animal Sciences  
Robert Goodwill, Assistant Professor, Department of Animal Sciences  
Wesley W. Gregory, Jr., Assistant Extension Professor, Department of Entomology  
James Henry Herbek, Assistant Extension Professor, Department of Agronomy  
Clarence James Kaiser, Assistant Extension Professor, Department of Agronomy  
Thomas Rogers Kemp, Assistant Professor, Department of Horticulture  
Dennis Owen Liptrap, Assistant Extension Professor, Department of Animal Sciences  
Loys Leroy Mather, Assistant Professor, Department of Agricultural Economics  
Daniel Clement Milbocker, Assistant Professor, Department of Horticulture  
Lloyd W. Murdock, Jr., Assistant Extension Professor, Department of Agronomy  
Charles G. Poneleit, Assistant Professor, Department of Agronomy  
Gary Dwain Potter, Assistant Extension Professor, Department of Animal Sciences  
Harley G. Raney, Assistant Extension Professor, Department of Entomology  
Gerald T. Schelling, Assistant Professor, Department of Animal Sciences  
Ruen-Chiu Tang, Assistant Professor, Department of Forestry  
Dennis M. TeKrony, Assistant Extension Professor, Department of Agronomy  
Lafayette Thompson, Jr., Assistant Professor, Department of Agronomy  
Frederick Aaron Thrift, Assistant Professor, Department of Animal Sciences  
Ray Edwin Tucker, Assistant Professor, Department of Animal Sciences  
Maurice Voland, Assistant Extension Professor, Department of Sociology  
Hayden Watkins, Assistant Extension Professor, Department of Agronomy  
Ralph Douglas Wood, Assistant Extension Professor, Department of Animal Sciences

\*Special Title Series

College of Business and Economics

M. Mukhtar Ali, Assistant Professor, Department of Economics  
Henry S. Burness, Assistant Professor, Department of Economics  
Michael Etzel, Assistant Professor, Department of Business Administration  
Keith Johnson, Assistant Professor, Department of Business Administration  
Philip Karst, Assistant Professor, Department of Economics  
E. Leon Knight, Assistant Professor, Department of Business Administration  
Levis McCullers, Assistant Professor, Department of Accounting  
William Sartoris, Assistant Professor, Department of Business Administration  
Stuart A. Schweitzer, Assistant Professor, Department of Economics  
Donald S. Shannon, Assistant Professor, Department of Business Administration  
M. Lynn Spruill, Assistant Professor, Department of Business Administration  
William F. Starr, Assistant Professor, Department of Business Administration  
Relmond Vandaniker, Assistant Professor, Department of Accounting  
David W. Victor, Extension Instructor, Department of Business Administration  
Bruce J. Walker, Assistant Professor, Department of Business Administration

College of Education

Gary D. Farley, Instructor, Department of Health, Physical Education and Recreation  
Herbert Heger, Assistant Professor, Department of Curriculum and Instruction  
Merle A. Insko, Instructor, Department of Vocational Education  
Elsie B. Kennedy, Instructor, Department of Curriculum and Instruction  
Sue Lail, Assistant Professor, Department of Curriculum and Instruction  
David Larimore, Assistant Professor, Department of Educational Psychology and Counseling  
Alfred J. Mannebach, Assistant Professor, Department of Vocational Education  
Floyd Lee McKinney, Assistant Professor, Department of Vocational Education  
C. Michael Nelson, Assistant Professor, Department of Special Education  
Judith C. Parsley, Instructor, Department of Vocational Education  
Virginia Rogers, Assistant Professor, Department of Curriculum and Instruction  
Edgar Sagan, Assistant Professor, Department of Higher and Adult Education  
Beverly Stanley, Assistant Professor\*, Department of Special Education  
William E. Stilwell, Assistant Professor, Department of Educational Psychology and Counseling  
James Tawney, Assistant Professor, Department of Special Education  
Robert Valyo, Assistant Professor, Department of Special Education

College of Home Economics

Lisa K. Barclay, Assistant Professor, Department of Human Development and Family Relations  
Charlotte Bennett, Assistant Professor, Department of Textiles, Clothing and Merchandising

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\* Special Title Series

Linda Li-yueh Huang Chen, Assistant Professor, Department of Nutrition and Food Science

Jose Madrid Concon, Assistant Professor, Department of Nutrition and Food Science

Carol C. Deen, Assistant Professor\*, Department of Human Development and Family Relations

E. Susan Drysdale, Assistant Professor, Department of Human Development and Family Relations

Donna Emerson, Instructor, Department of Housing and Interior Design

Joseph R. Fordham, Assistant Professor, Department of Nutrition and Food Science

#### College of Library Science

Lois Chan, Assistant Professor

Charles W. Evans, Assistant Professor

Michael H. Harris, Assistant Professor

Joy E. Terhune, Assistant Professor

Thomas J. Waldhart, Assistant Professor

#### College of Social Professions

Joanne Irene Bell, Lecturer\* (part-time), Department of Social Work

Stanley H. Blostein, Instructor\*, Department of Social Work

Chester Anders Holmquist, Lecturer\* and Project Director, Department of Social Work

William Robert Insko, Assistant Professor\*, Department of Social Work

Denzel Charles Johnston, Assistant Professor\*, Department of Social Work

Sandra Joffe Johnston, Assistant Professor\* (part-time), Department of Social Work

Elizabeth Anne Kirlin, Instructor\*, Department of Social Work

John Philip Myers, Instructor\*, Department of Social Work

#### Joint Reappointment

Harry Hale Hall, Assistant Professor, Department of Agricultural Economics, College of Agriculture, and Department of Statistics, College of Arts and Sciences

#### Reappointments - Community College System (July 1, 1971 to July 1, 1972)

#### Henderson Community College

Mary Ann Arthur, Librarian IV

Albert Braden, Instructor

\* Special Title Series

Kathryn Burris, Instructor  
Sister Angela Maria Carrico, Instructor  
Sharon Kay Caudill, Instructor  
Joseph Celandia, Instructor  
Kenneth Gibson, Instructor  
Alan Hedgespeth, Instructor  
James E. Long, Instructor and Counselor  
Jeordano Martinez, Instructor  
R. Lee McNair, Instructor  
David L. Perry, Instructor  
William N. Price, Senior Instructor and Assistant Director  
John Sistarenik, Instructor  
Claudia B. Watson, Senior Instructor

Hopkinsville Community College

Leslie B. Crane, Instructor and Counselor  
Hugh Alan Duguid, Instructor  
Jo Anne Gabbard, Instructor  
J. Brooks Major, Senior Instructor  
Judy F. McCutcheon, Instructor  
Mary Lou McReynolds, Instructor  
Eldridge Rogers, Instructor and Counselor  
E. B. Self, Senior Instructor  
Michael Dean Thiel, Instructor  
Edward F. Thompson, Instructor  
Charles R. Irwin, Coordinator, Handicapped Program (Subject to continuation  
of Funding of Handicapped Program)  
Jerry Carlyle Alleyne, Coordinator, Disadvantaged Program (Subject to  
continuation of Funding of Disadvantaged Program)

Maysville Community College

Darrell Haynes Abney, Instructor  
Robert Kerns Berry, Instructor  
Marvin Dean Burdine, Instructor  
John Harold Crockett, Jr., Instructor  
Edward Lee Curtis, Instructor  
Kate Ellen Delaney, Instructor  
John Mead Keith, Jr., Instructor and Coordinator of Community Services  
Ellen Murphy Malone, Instructor  
Rosemary Gilligan Moffett, Instructor  
Joyce Wallingford Moore, Librarian IV  
John Frederick Meyers, Instructor  
Herbert Parker Richey, Instructor  
Alpha Gill Straub, Instructor and Counselor  
Harold Lowell Webb, Instructor and Business Officer

Changes in Status (Effective July 1, 1971)

Edgar Sagan, Assistant Professor, Department of Higher and Adult Education, and Assistant to the Dean, College of Education, title changed to Assistant Professor, Department of Higher and Adult Education, and Assistant Dean for Administration, College of Education  
Harold T. Daniel, Instructor (part-time), Department of Oral Surgery, College of Dentistry, title changed to Clinical Instructor, Department of Oral Surgery, College of Dentistry (Voluntary Faculty)  
Michael Lerner, Instructor (part-time), Department of Pedodontics, College of Dentistry, title changed to Clinical Instructor, Department of Pedodontics, College of Dentistry (Voluntary Faculty)  
Richard D. Lowe, Assistant Professor (part-time), Department of Prosthodontics, College of Dentistry, title changed to Assistant Clinical Professor, Department of Prosthodontics, College of Dentistry (Voluntary Faculty)  
Len W. Morrow, Instructor (part-time), Department of Oral Surgery, College of Dentistry, title changed to Clinical Instructor, Department of Oral Surgery, College of Dentistry (Voluntary Faculty)

Correction

Joint Appointment of David J. Brecount reported in Minutes of February 16, 1971 should have read: Assistant Professor of Clinical Medicine\*, Department of Medicine, College of Medicine, also named Assistant Professor of Clinical Community Medicine\* (primary appointment), Department of Community Medicine, College of Medicine

Terminal Reappointments - (Effective July 1, 1971)

\*\* David H. Culver, Instructor, Department of Statistics, College of Arts and Sciences  
Burton S. Guttman, Assistant Professor, Department of Cell Biology, College of Medicine  
Mary N. Ireland, Librarian III, University Library  
Richard Harmon Johnson, Assistant Extension Professor, Department of Animal Sciences, College of Agriculture  
Michael F. Kelly, Instructor and Assistant to the Dean, College of Library Science

\*Special Title Series

\*\* Contingent upon receiving the doctorate August 15, 1971, at which time he will be reappointed to Assistant Professor

Action: Approved     X     Disapproved            Other           

Date: April 6 , 1971

Office of the President  
April 6, 1971

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Naming of Buildings at Southeast Community College

Recommendation: that the Board of Trustees approve the naming of the new building on the Southeast Community College campus Falkenstine Hall and the old building Newman Hall.

Background: The Advisory Board and Faculty of the Southeast Community College have recommended that the new building at Southeast Community College be named Falkenstine Hall in honor of Dr. James C. Falkenstine, former Director of Southeast Community College. Dr. Falkenstine was the third Director of the College and was Director when the new building was planned. He died suddenly on the campus of the Southeast Community College of a heart attack in January 1969.

They also recommended that the old building be named Newman Hall in honor of Mr. Elmer K. Newman, former General Superintendent of the International Harvester Company in Benham. Mr. Newman was General Superintendent for International Harvester when it was decided to locate an extension center of the University of Kentucky in Cumberland. He was most instrumental in helping the University of Kentucky secure the 123 acres which make-up the present campus.

The recommendations of the Advisory Board and Faculty of the Southeast Community College are concurred in by both the Building and Campus Development Committee and the administration of the University.

II. Patent Assignment

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to The University of Kentucky Research Foundation of the following application for Letters Patent of the United States and the invention represented thereby: Leonard B. Moore, Serial #107, 119, filed January 18, 1971.

Background: Leonard B. Moore has invented certain improvements regarding a Nerve Sensor Apparatus. He has assigned his rights to the invention to the University. In accord with usual practice it is recommended that the University assign the patent to The University of Kentucky Research Foundation.

III. Status of Kernel

Amended-  
See below

Recommendation: that the Administration be granted the authority to provide funds to assist the publication of the Kentucky Kernel during the fiscal year beginning July 1, 1971 at a level not to exceed one-half of the general fund support provided during the current year.

Background: For over half a century the Kentucky Kernel has been operated as a part of the University. Recent national studies relating to campus newspapers have indicated the desirability of legal and financial independence. The present recommendation, which bears the approval of the University Administration, the Director of Student Publications, the present editor of the Kernel, and two of the candidates for editor during the next year, contemplates an orderly transition to independent status following a period of reduced partial support. The aim of the recommendation is to permit the transition to independence while minimizing the risks of financial difficulties which might flow from a sudden withdrawal of all support.

Note: On motion by Mr. Goss, seconded by Mr. Alverson and passed without dissent the above recommendation was amended to read as follows: "that the Administration be granted the authority to provide funds to assist the publication of the Kentucky Kernel during the fiscal year beginning July 1, 1971 at a level not to exceed one-half of the general fund support provided during the current year. So the Kernel may be able to plan ahead, it is the sense of this Board that the Kernel be moved to independent status without University financial support as of July 1, 1972." The motion as amended was accepted and passed with all voting members voting "aye".

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Action: Approved I and II Disapproved \_\_\_\_\_ Other III amended as shown above prior to approval

Date: April 6, 1971



Office of the President  
April 6, 1971

PR 4

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Laws to Mr. Harry M. Caudill, Mr. Thruston B. Morton, and Dr. Logan Wilson, and the honorary degree of Doctor of Science to Mr. David C. Scott and Dr. Lyle R. Dawson.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation.

Biographical sketches of each recipient are attached.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

## HARRY M. CAUDILL

Harry Monree Caudill was born in Whitesburg, Kentucky, in 1922. Twenty-six years later, and following service with the Army of the United States during World War II, Mr. Caudill received the LL. B. degree from the University of Kentucky.

Admitted to the Kentucky Bar in 1948, the year of his graduation, Mr. Caudill since that time has practiced his profession in Whitesburg. He was a member of the Kentucky House of Representatives during a six-year span in the 1950's. During that period, he served actively on behalf of the educational progress of the Commonwealth as chairman of a special legislative committee on education, and was a member of the Governor's Commission on Education.

Mr. Caudill's national reputation has two principal facets, literary and ecological. He is the author of Night Comes to the Cumberlands, a best seller, and also of numerous articles on problems of the Appalachian Mountain area.

In 1965, Mr. Caudill received the Distinguished Alumni Medallion from the University. He continues to take a deep interest in the betterment of conditions in his part of the Commonwealth, and is active as a speaker as well as a writer on conservation and related topics.

## THRUSTON B. MORTON

Born in Louisville in 1907, Thruston Ballard Morton served in the U. S. House of Representatives as a member of the 80th, 81st and 82nd Congresses from the Third Kentucky District.

Between 1953 and 1956, he was an Assistant Secretary of State, and from 1957 to 1967 he was a member of the U. S. Senate. For two years he was Chairman of the Republican National Committee, and for four years Chairman of the National Republican Senatorial Campaign Committee.

Now retired from Politics and residing in his native Kentucky, Mr. Morton is taking an active interest in civic affairs. Recently, he deposited an important collection of Morton Papers in the Special Collections of the University of Kentucky Library for the use of historians and other scholars.

A collateral descendent of the famous General George Rogers Clark, Mr. Morton has emphasized in his career and his contributions a spirit of public responsibility in the tradition of his celebrated kinsman.

## LOGAN WILSON

A native of Huntsville, Texas, Logan Wilson was a student at several Texas universities before receiving his M. A. and Ph. D. degrees from Harvard University. Professor and head of the Department of Sociology at the University of Kentucky from 1943 to 1944, he later became Dean of Tulane University's Newcomb College, and then President and Chancellor of the University of Texas.

Since 1961, Dr. Wilson has occupied the highly responsible post of President of the American Council on Education. Among his numerous other distinctions, he has been active as Director of the Center for Advanced Study in Behavioral Sciences and Director of the Carnegie Foundation for the Advancement of Teaching.

As Chairman of the Problems and Policies Committee of the American Council on Education, Dr. Wilson has worked closely with the National Science Foundation and many other organizations active in the realm of higher education.

Author of The Academic Man and joint author of Sociological Analysis, he is one of the outstanding national leaders in higher education.

## DAVID C. SCOTT

David C. Scott is president, chief executive officer and chairman of the board of Allis-Chalmers Corporation, one of the world's leading manufacturers of consumer and capital goods. A native of Ohio, Mr. Scott is an alumnus of the University of Kentucky College of Engineering, where he was a student from 1936 to 1940.

After starting his own engineering firm and serving in the Navy, Mr. Scott joined General Electric at its Owensboro, Kentucky, plant. There, in 1949, he received GE's highest honor--the Charles E. Coffin Award--for his design and development of processes and machinery for the automated assembly of electronic tubes. The next twelve years brought a series of promotions, culminating in 1960 with his appointment as general manager of the firm's Cathode Ray Tube Department.

Mr. Scott left GE in 1963, joining Colt Industries at Hartford, Connecticut, as vice president and member of the board of directors. Promoted to executive vice president, he led the company to its most favorable competitive position in many years before resigning in 1968 to accept the Allis-Chalmers' presidency.

When the UK Fellows' program was launched, Mr. Scott was the first alumnus to fulfill membership requirement. He also is a member of the University's Development Council and of the College of Engineering Advisory Council. A director of the Alumni Association, he recently was elected to its Hall of Distinguished Alumni.

## LYLE R. DAWSON

Lyle R. Dawson, native of Illinois, received his Bachelor of Education degree from Illinois State University, Normal, Illinois; his Master of Science from the University of Illinois, and his Doctor of Philosophy from the State University of Iowa. He served as Professor of Chemistry and Head of the Department of Chemistry at the University of Kentucky from 1945 to 1965.

In the course of his 20-year association with this University, Professor Dawson took an unusually prominent part as a protagonist of educational progress. Accorded the rank of Distinguished Professor of Physical Chemistry in 1956, he also developed administrative attributes, twice serving as the Acting Dean of the Graduate School. His research interests and achievements have been in the area of non-aqueous solutions and solvents having high dielectric constants.

A fellow of the American Association for the Advancement of Science, and also of the American Institute of Chemists, Dr. Dawson was chosen as President of the Kentucky Academy of Science and of the Lexington Chapter of Sigma Xi. He retired from the University faculty in 1970.

Office of the President  
April 6, 1971

PR 5

Members, Board of Trustees:

1970-71 Budget Revisions

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. Income estimates			
Federal Appropriations			
Agricultural Coopera- tive Extension	\$ 3,748,100	\$ 4,476,800	\$ 728,700
2. Expenditures			
Agricultural Coopera- tive Extension	7,560,100	8,288,800	728,700
3. <u>Comments</u> - Federal appropriations have been increased to support the proposed expenditures.			
B. <u>Restricted Funds</u>			
1. Income estimates	3,735,810	3,757,810	22,000
2. Expenditures			
Medical Center			
Pharmacy	15,000	21,000	6,000
Community College System			
Vocational Programs - Home Economics	-0-	15,700	15,700
Student Aid			
Community College Scholarships	82,300	82,600	300

Page 2

3. Comments - The proposed additional expenditures will be funded from increased income restricted to the purposes indicated above.

Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971



Office of the President  
April 6, 1971

PR 6

Members, Board of Trustees:

AMENDMENT TO GOVERNING REGULATIONS

Recommendation: that the proposed amendment to Section IV of the Governing Regulations as presented at the March 16, 1971 meeting of the Board of Trustees be adopted.

Background: At the March 16, 1971 meeting of the Board of Trustees, the proposed amendment of Section IV of the Governing Regulations, a copy of which is attached, was received by the Board of Trustees for study and consideration and action at the April meeting. The effect of the adoption of the proposed amendment would be the extension of voting rights to certain ex officio members of the University Senate.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

PROPOSED AMENDMENT TO SECTION IV OF THE GOVERNING REGULATIONS  
(Underlining denotes additions; brackets denote deletions)

IV. THE UNIVERSITY SENATE (University System)

The University Senate shall be composed of both elected and ex officio membership.

The elected membership shall number 177, of which 160 members shall represent the faculty and 17 members shall represent the student body.

The elected faculty membership shall consist of and represent proportionately the members of the full-time teaching and/or research faculty with the rank of assistant professor or higher in the various colleges and the University Libraries, so that the total elected membership shall equal 160. Each elected faculty member shall serve for a term of three years and shall be eligible for re-election for a second consecutive term, but ineligible for further re-election until one year has elapsed. If a faculty member of the Senate should at any time during his term become ineligible to serve (e. g., by reason of assuming an administrative title, resignation or by official leave which precludes attendance) the administrative head of the group represented shall declare a vacancy and designate that member from the eligible faculty who at the last election received the next highest vote to serve for the duration of the elected faculty member's ineligibility.

The elected student membership shall consist of and represent the members of the full-time student body in the various colleges of the University System and the Student Body President. Each college shall be allotted one student representative. Students with no declared major shall be represented through the College of Arts and Sciences. Each elected student member shall be a junior, senior, graduate or professional student, and shall not be on either academic or disciplinary probation and shall serve for a term of one year and shall be eligible for re-election as often as he remains a full-time undergraduate, graduate, or professional student in the University System. If a student should at any time become ineligible to serve (e. g., by relinquishing his position as a full-time student, being placed on academic probation, or violating the Senate attendance regulations), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible student body who at the last election received the next highest vote to serve for the duration of the elected student member's ineligibility.

[The ex officio membership shall include the President, all Vice Presidents, all Deans, Director of the Libraries, the Professor of Military Science, the Professor of Air Science, the faculty members of the Board of Trustees, and the chairmen of the several standing University Senate Committees, including Senate advisory committees, if not already members of the Senate. Other ex officio members may be added by the University Senate Council for the purpose of supplying information and viewpoints on problems considered by the Senate. Ex officio members shall enjoy all the privileges of the elected membership except the right to vote.]

The ex officio voting membership shall include the Vice President for Academic Affairs, Vice President for the Medical Center, Vice President - Community College System, Dean of the Graduate School and Coordinator of Research, Dean of Undergraduate Studies, Deans of the Colleges, and the Director of Libraries.

The ex officio non-voting membership shall include the President, all other Vice Presidents, Dean of Admissions and Registrar, Dean of University Extension, Dean of Students, Professor of Military Science, Professor of Aerospace Science, the faculty members of the Board of Trustees, and the Academic Ombudsman and the chairmen of the several standing University Senate Committees, including Senate advisory committees, if not already elected members of the Senate. Other ex officio members may be added by the University Senate Council for the purpose of supplying information and viewpoints on problems considered by the Senate. Ex officio members shall enjoy all the privileges of the elected membership except the right to vote.

(No other changes in THE UNIVERSITY SENATE section)

Presented to Board of Trustees March 16, 1971

Office of the President  
April 6, 1971

PR 7

Members, Board of Trustees:

ORGANIZATION OF THE COMMUNITY COLLEGE SYSTEM

Recommendation: that (1) the title of one of the principal officers in the Community College System be changed from Associate Dean of the Community College System to Assistant Vice President for the Community College System and (2) that Dr. Charles Wethington, Director of the Maysville Community College be appointed as Assistant Vice President for the Community College System, effective July 1, 1971.

Background: In October 1966 the Board of Trustees established two principal officers in the Community College System, who were responsible to the Dean of the Community College System: (1) an Associate Dean of the Community College System and (2) a Business Manager of the Community College System.

In April 1970 the Board of Trustees changed the title of the chief Administrative Officer of the System from "Dean" to "Vice President". In July 1970 Dr. Stanley Wall who had served as Associate Dean of the Community College System was appointed to the Vice President position, thus leaving the position of Associate Dean vacant.

The Assistant Vice President would have the following general responsibilities:

- (1) Serve as deputy administrator of the Community College System and act for the Vice President in his absence.
- (2) Under the supervision of the Vice President he:
  - (a) Would have responsibility for academic planning and programming of the college parallel, occupational curricula and other courses of the several community colleges.
  - (b) Would have responsibility for academic liaison with the University System--- coordinating the Community College programs with the programs on the Lexington campus.

- (c) Would have responsibility for developing the academic programs on which physical development will be based.
- (d) Would have responsibility for development of faculty improvement programs.

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Action: Approved   X   Disapproved            Other                   

Date:   April 6  , 1971

Office of the President  
April 6, 1971

PR 8

Members. Board of Trustees:

TEMPORARY CONFIRMATION OF  
PERSONNEL POLICY AND PROCEDURE MANUAL

Recommendation:

That the Board re-adopt the Staff Personnel Policy and Procedure Manual and declare that it shall govern the University's personnel policies as to staff (non-teaching) personnel even where inconsistent with the Governing Regulations pending a comprehensive review of both documents to eliminate differences which are not warranted by law or policy considerations.

Background:

The University's Staff Personnel Policy and Procedure Manual relating to non-academic personnel was approved by the Board of Trustees on March 12, 1962. The policies contained therein have continued virtually unchanged since that date. On May 5, 1970 the Board adopted the present Governing Regulations containing, in Section X thereof, regulations affecting academic employment. However, certain provisions of Section X of the Governing Regulations might be construed to apply to non-academic personnel. For this reason, the University's Legal Department has recommended that the Board adopt the foregoing resolution to clarify the present status of the Staff Personnel Policy and Procedure Manual pending a comprehensive review of that document.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

Finance Committee Report  
April 6, 1971

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the seven months ending February 28, 1971, be accepted.

Background: The February 28, 1971 report reflects income of \$80,556,631 for the period of the report; the income figure is 75.8% of the annual income estimate of \$106,234,431.

The expenditure and commitments represent a total of \$69,114,281 for this period of seven months, or 65.1% of the expenditure authority of \$106,234,431 approved by the Board.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

April 14, 1971

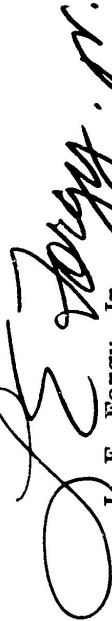
Office of Vice President  
Business Affairs and Treasurer

Dr. Otis A. Singletary, President  
University of Kentucky  
Lexington  
Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the nine months ended March 31, 1971 is submitted herewith. This is the eighth such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.  
Vice President - Business Affairs  
and Treasurer



Schedule 1

University of Kentucky  
Balance Sheet  
March 31, 1971  
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in bank:		
Depository funds	\$ 1,025,418	\$ 984,887
Imprest funds	62,162	102,558
Total cash	<u>1,087,580</u>	<u>1,087,445</u>
State funds- unexpended balances:		
Trust and agency funds	3,591,078	4,602,405
General fund appropriation	629,998	955,935
Due from other funds and affiliated corporations	1,519,960	2,441,970
Accounts receivable	492,334	144,269
Notes receivable	72,544	71,190
Inventories	1,640,593	1,482,237
Unrealized income	<u>16,406,059</u>	<u>16,120,204</u>
Total unrestricted	<u>25,340,146</u>	<u>26,905,655</u>
<b>Restricted:</b>		
Cash in bank	632,668	694,394
Tobacco research trust fund:		
Imprest funds	50,000	
Trust and agency funds	1,892,244	
Petty cash advances	167,315	122,112
Travel advances	62,391	101,558
Accounts receivable	31,202	37,472
Investments:		
Short-term	4,230,017	4,747,035
Other	842,616	841,534
Unrealized income	<u>1,426,965</u>	<u>787,584</u>
Total restricted	<u>9,335,418</u>	<u>7,331,689</u>

University of Kentucky  
Balance Sheet  
March 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 2,005,810	\$ 2,694,700
Accounts payable-county funds		5,701
Advance from state for imprest cash fund	650,000	650,000
Unencumbered balance, departmental appropriations	20,289,287	21,272,349
Fund balances -		
Allocated:		
Reserve for inventories	1,049,230	987,009
Reserve for notes receivable	71,190	71,190
Workmen's compensation payments	19,626	
Future operating purposes	887,616	829,866
Unallocated	<u>367,387</u>	<u>394,840</u>
Total unrestricted	<u>25,340,146</u>	<u>26,905,655</u>
<b>Restricted:</b>		
Outstanding encumbrances	170,125	60,450
Due to other funds and affiliated corporations	3,932,855	4,664,251
Advance from state for imprest cash fund	50,000	
Outstanding check liability	20,610	20,143
Undistributed collections and clearing	1,437,937	1,083,303
Unencumbered balance, departmental appropriations	1,216,334	654,203
Unencumbered balance, Tobacco Research Trust Fund appropriations	837,679	
Fund balances -		
Restricted fund	802,241	849,339
Tobacco Research Trust Fund- unallocated funds	<u>867,637</u>	
Total restricted	<u>9,335,418</u>	<u>7,331,689</u>

Schedule 1

University of Kentucky  
Balance Sheet  
March 31, 1971  
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<u>ASSETS</u>		
Hospital and clinics:		
Cash in bank-depository funds	\$ 52,166	\$ 205,081
State funds - unexpended balances:		
Trust and agency funds	671,315	586,715
General fund appropriation	34,016	
Accounts receivable, net of allowance for doubtful accounts	4,262,206	2,154,102
Inventories	358,194	329,029
Unrealized income	<u>1,903,829</u>	<u>2,302,133</u>
Total hospital and clinics	<u>7,281,726</u>	<u>5,577,060</u>
Housing and dining system:		
Cash in bank	1,366,876	1,773,178
Due from other funds and affiliated corporations	1,468,326	646,297
Accounts receivable	239,064	242,754
Inventories	647,628	702,935
Prepaid expenses	63,993	46,379
Accrued interest income	<u>246</u>	
Total housing and dining system	<u>3,786,133</u>	<u>3,411,543</u>
Auxiliary enterprises:		
Cash in bank	16,135	42,584
Due from other funds and affiliated corporations	753,759	911,697
Accounts receivable	11,893	18,853
Inventories	317,714	245,556
Unrealized income	<u>391,872</u>	<u>429,103</u>
Total auxiliary enterprises	<u>1,491,373</u>	<u>1,647,793</u>
TOTAL CURRENT FUNDS	<u>\$47,234,796</u>	<u>\$44,873,740</u>

University of Kentucky  
Balance Sheet  
March 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
<b>Hospital and clinics:</b>		
Outstanding encumbrances	\$ 51,548	\$ 193,652
Due to other funds	97,045	15,220
Unexpended balance, departmental appropriations	4,503,777	3,660,318
Fund balances--allocated:		
Reserve for inventories	366,932	350,686
Reserve for accounts receivable	<u>2,262,424</u>	<u>1,357,184</u>
<b>Total hospital and clinics</b>	<u>7,281,726</u>	<u>5,577,060</u>
<b>Housing and dining system:</b>		
Accrued wages	70,661	103,769
Accounts payable	191,461	208,289
Accrued expenses	565,299	619,360
Deferred income	1,133,643	839,249
Fund balances:		
Funds for renewals and replacements	1,354,292	1,183,586
Allocated for future operating purposes	<u>470,777</u>	<u>457,290</u>
<b>Total housing and dining system</b>	<u>3,786,133</u>	<u>3,411,543</u>
<b>Auxiliary enterprises:</b>		
Outstanding encumbrances	164,899	118,001
Unencumbered balance, departmental appropriations	776,330	978,689
Fund balances:		
Reserve for inventories	317,714	245,556
Funds for renewals and replacements	21,729	
Allocated for future operating purposes	<u>210,701</u>	<u>305,547</u>
<b>Total auxiliary enterprises</b>	<u>1,491,373</u>	<u>1,647,793</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$47,234,796</u>	<u>\$44,873,740</u>

Schedule 1

University of Kentucky  
Balance Sheet  
March 31, 1971

With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
<b>Loan Funds:</b>		
Cash in bank	\$ 169,997	\$ 108,208
Due from other funds	36,449	6,425
Notes receivable	5,324,448	4,752,231
Due from Federal Government	12,324	10,846
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
	<u>\$ 5,545,218</u>	<u>\$ 4,879,710</u>
<b>TOTAL LOAN FUNDS</b>		
<b>Endowment Funds:</b>		
Cash	\$ 16,572	\$ 5,917
Accounts receivable	874	
Investments:		
Short-term	1,000	
Other	<u>911,248</u>	<u>782,222</u>
	<u>\$ 929,694</u>	<u>\$ 788,139</u>
<b>TOTAL ENDOWMENT FUNDS</b>		
<b>Plant Funds:</b>		
Unexpended plant funds:		
Cash on deposit with State Treasurer	\$ 966,166	\$ 719,524
Capital construction funds	17,746,526	22,888,302
Due from Federal government	2,948,245	3,213,791
Due from other funds	162,233	106,015
Construction funded	<u>106,181,496</u>	<u>74,604,506</u>
	<u>128,004,666</u>	<u>101,532,138</u>
<b>Total unexpended plant funds</b>		

University of Kentucky  
Balance Sheet  
March 31, 1971  
With Comparative Figures for 1970

Schedule 1

	1971	1970
<b>LIABILITIES AND FUND BALANCES</b>		
<b>Loan Funds:</b>		
Federal sponsored loan funds:		
Federal government	\$ 4,831,059	\$ 4,266,642
University matching funds	424,908	390,537
Accumulated interest income	171,708	120,355
Total Federal sponsored loan funds	5,427,675	4,777,534
University loan funds:		
University student loan funds	46,426	36,802
University special student loan funds	69,117	63,374
United Student Aid loan fund	2,000	2,000
TOTAL LOAN FUNDS	5,545,218	4,879,710
<b>Endowment Funds:</b>		
Funds balances	929,694	788,139
TOTAL ENDOWMENT FUNDS	929,694	788,139
<b>Plant Funds:</b>		
Unexpended plant funds:		
Outstanding encumbrances	8,408,800	12,143,127
Due to Commonwealth of Kentucky	966,666	2,672,892
Notes payable	29,710,966	45,100,000
Bonds payable	4,290,000	4,290,000
Appropriations-unencumbered balances	10,447,902	9,620,755
University equity in projects	78,470,332	27,705,364
Total unexpended plant funds	128,004,666	101,532,138

Schedule 1

University of Kentucky  
Balance Sheet  
March 31, 1971  
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<u>ASSETS</u>		
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 864,962	\$ 332,916
Cash on deposit with Trustee	245,000	242,500
Unrealized income	<u>913,757</u>	<u>1,399,266</u>
Total retirement of indebtedness funds	<u>2,023,719</u>	<u>1,974,682</u>
Sinking funds:		
Cash on deposit with Trustee	892,666	96,307
Investments held by Trustee	<u>12,271,957</u>	<u>10,513,732</u>
Total sinking funds	<u>13,164,623</u>	<u>10,610,039</u>
Invested in plant:		
Land	5,776,832	5,702,516
Buildings	151,456,892	122,637,876
Equipment	<u>36,021,744</u>	<u>32,650,851</u>
Total invested in plant	<u>193,305,468</u>	<u>160,991,243</u>
TOTAL PLANT FUNDS	<u>\$336,498,476</u>	<u>\$275,108,102</u>
Agency Funds:		
Cash in bank	\$ 4,765	\$ 8,342
Due from other funds	1,357,677	845,658
Investments-short term	<u>3,000</u>	<u>          </u>
TOTAL AGENCY FUNDS	<u>\$ 1,365,442</u>	<u>\$ 854,000</u>

University of Kentucky  
Balance Sheet  
March 31, 1971  
With Comparative Figures for 1970

Schedule 1

	1971	1970
<b>LIABILITIES AND FUND BALANCES</b>		
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 1,935,399	\$ 1,895,752
Funds balances	88,320	78,930
Total retirement of indebtedness funds	2,023,719	1,974,682
Sinking funds:		
Bonds payable	4,908,000	5,120,000
Funds balances	8,256,623	5,490,039
Total sinking funds	13,164,623	10,610,039
Invested in plant:		
Notes payable	10,200,034	47,096,500
Bonds payable	56,288,000	400,000
Due to other funds	25,765	113,494,743
Net investment in plant	126,791,669	160,991,243
Total invested in plant	193,305,468	275,108,102
TOTAL PLANT FUNDS	336,498,476	854,000
Agency Funds:		
Agency funds balances	1,365,442	854,000
TOTAL AGENCY FUNDS	1,365,442	854,000



University of Kentucky  
 Statement of Changes in Fund Balances - Current Funds  
 Nine Months Ended March 31, 1971

	<u>Unallocated</u>	<u>Allocated for Future Operating Purposes</u>	<u>Restricted</u>	<u>Hospital and Clinics</u>	<u>Housing and Dining System Funds for Renewals and Replacements</u>	<u>Auxiliary Enterprises</u>
Balances, July 1, 1970	\$ 1,936,068	\$ 4,219,416	\$ 802,241	\$ 2,262,424	\$ 1,155,667	\$ 979,558
<b>Allocations:</b>						
<b>Current operations:</b>						
Original budget		( 2,805,400)				
Allocated by January Board Meeting	( 1,296,500)	( 126,400)				
Prior Year encumbrances	( 278,045)					
Unexpended plant funds		( 400,000)				
Current year provisions					390,572	
Expended					( 191,947)	
Parking account						( 511,855)
Renovation of furniture and fixtures						( 107,002)
Printing and duplicating equipment						( 150,000)
Adjustments of prior year expenditures - net	5,864					
Balances, March 31, 1971	<u>\$ 367,387</u>	<u>\$ 887,616</u>	<u>\$ 802,241</u>	<u>\$ 2,262,424</u>	<u>\$ 1,354,292</u>	<u>\$ 210,701</u>

Schedule 3

Schedule of Changes in Unrestricted Fund and  
Hospital Estimated Income  
For the Nine Months Ended March 31, 1971

	Original Budget	Interim Adjustments			Adjusted Budget
		July through December	January	February	
<b>Unrestricted Fund:</b>					
Student fees	\$ 9,415,200	\$ 103,100	\$ 8,707	\$ 3,300	\$ 9,521,600
State appropriations	53,132,840	( 643,230)	203,600	2,333	52,500,650
Federal appropriations	5,841,100		69,800	286,100	6,330,800
County appropriations	776,100				845,900
Endowment and trust fund income	181,200	1,200			182,400
Gifts and grants	2,535,400	73,700	26,500		2,635,600
Sales, services and other income	2,275,000	13,243	300,000	2,500	2,590,743
Unallocated fund balances appropriated	2,805,400	270,235	1,022,900	7,810	4,106,345
<b>Total unrestricted</b>	<b>\$76,962,240</b>	<b>\$ ( 181,752)</b>	<b>\$ 1,631,507</b>	<b>\$ 302,043</b>	<b>\$78,714,038</b>
<b>Hospital and clinics:</b>					
State appropriations	\$ 4,297,160	\$ 11,500	\$ ( 8,707)	\$ ( 2,333)	\$ 4,297,620
Hospital income	9,082,900	23,600			9,106,500
<b>Total Hospital and clinics</b>	<b>\$13,380,060</b>	<b>\$ 35,100</b>	<b>\$ ( 8,707)</b>	<b>\$ ( 2,333)</b>	<b>\$13,404,120</b>

Schedule of Changes in Unrestricted Fund  
and Hospital Expenditure Appropriations  
For the Nine Months Ended March 31, 1971

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$76,962,240	\$13,380,060	\$90,342,300
Transfers from unrestricted to Hospital and clinics	(460)	460	
Increases ( decreases ) as approved by Board of Trustees: August	83,400		83,400
Allotments for encumbrances ( total of \$ 400,000 approved in August )	278,045		278,045
September	( 626,730)		( 626,730)
October	8,243		8,243
November	94,600	23,600	118,200
January	1,622,800		1,622,800
February	<u>291,900</u>		<u>291,900</u>
Total per Report	<u>\$78,714,038</u>	<u>\$13,404,120</u>	<u>\$92,118,158</u>

University of Kentucky  
 Summary of Current Funds Revenues and Appropriated Balances  
 Schedule 5  
 Nine Months Ended March 31, 1971  
 With Comparative Figures for 1970

Nine Months Ended March 31, 1970 (see note)		Nine Months Ended March 31, 1971	
Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date
	% Realized		% Realized
Unrestricted:			
Student fees	99.4	\$ 9,521,600	\$ 10,148,826
State appropriations	76.9	52,500,650	41,103,097
Federal appropriations	64.5	6,330,800	4,262,312
County appropriations	68.6	845,900	568,699
Endowment and trust fund income	58.1	182,400	88,876
Gifts and grants ( includes grants from affiliated corporations )	4.8	2,635,600	121,698
Sales, services, and other income	82.7	2,590,743	1,908,126
Unallocated fund balances appropriated	100.0	4,106,345	4,106,345
Total unrestricted	77.6	78,714,038	62,307,979
Restricted	62.4	2,816,030	1,389,065
Tobacco Research Trust Fund		1,086,294	1,086,294
Hospital and clinics:			
State appropriations	76.7	4,297,620	3,367,738
Hospital earned income- net	80.9	9,106,500	8,132,553
Unrestricted fund balances appropriated	100.0	13,404,120	11,500,291
Total hospital and clinics	80.2	7,656,193	6,275,794
Housing and dining system	81.7	2,666,603	2,274,731
Auxiliary enterprises	83.8		
TOTAL CURRENT FUNDS REVENUES AND APPROPRIATED BALANCES			
\$ 95,784,732	\$ 74,800,808	\$ 106,343,278	\$ 84,834,154
	78.1		79.8

Note: Certain reclassifications have been made to the figures for the nine months ended March 31, 1970 for the purpose of comparability.



University of Kentucky  
 Summary of Current Funds Expenditures  
 Eight Months Ended February 28, 1971  
 With Comparative Figures for 1970

Eight Months Ended February 28, 1970		Eight Months Ended February 28, 1971	
Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
\$ 449,901	155,396	\$ 763,249	274,683
4,578	2,704	223,719	64,086
158,080	90,662	176,176	78,068
13,387	648	14,320	521
429,605	212,519	337,337	84,266
40,282	284	19,872	10,357
108,140	57,382	89,016	70,191
887,057	755,404	1,089,294	857,565
<u>2,091,030</u>	<u>1,274,999</u>	<u>2,712,983</u>	<u>1,439,737</u>
		<u>1,086,294</u>	<u>166,153</u>
		<u>13,404,120</u>	<u>7,870,728</u>
		<u>7,656,193</u>	<u>5,462,332</u>
		<u>2,666,603</u>	<u>1,634,126</u>
		<u>\$106,234,431</u>	<u>\$69,114,281</u>
			<u>65.1</u>
			<u>36.0</u>
			<u>28.6</u>
			<u>44.3</u>
			<u>3.6</u>
			<u>25.0</u>
			<u>52.1</u>
			<u>78.8</u>
			<u>78.7</u>
			<u>53.1</u>
			<u>15.3</u>
			<u>58.7</u>
			<u>71.3</u>
			<u>61.3</u>
			<u>65.1</u>
			<u>65.1</u>

Restricted:

Departments of instruction and research	\$ 763,249	\$ 274,683	36.0
Community colleges	223,719	64,086	28.6
Organized research	176,176	78,068	44.3
Libraries	14,320	521	3.6
Extension and public service	337,337	84,266	25.0
General administration	19,872	10,357	52.1
General institutional services	89,016	70,191	78.8
Student aid	1,089,294	857,565	78.7
Total restricted	<u>2,712,983</u>	<u>1,439,737</u>	<u>53.1</u>
Tobacco Research Trust Fund	<u>1,086,294</u>	<u>166,153</u>	<u>15.3</u>
Hospital and clinics	<u>13,404,120</u>	<u>7,870,728</u>	<u>58.7</u>
Housing and dining	<u>7,656,193</u>	<u>5,462,332</u>	<u>71.3</u>
Auxiliary enterprises	<u>2,666,603</u>	<u>1,634,126</u>	<u>61.3</u>
TOTAL CURRENT FUNDS EXPENDITURES	<u>\$106,234,431</u>	<u>\$69,114,281</u>	<u>65.1</u>

University of Kentucky  
 Schedule of Short Term Investments  
 For the Eight Months Ended February 28, 1971

	<u>Maturity Value</u>	<u>Cost</u>
<b>Restricted Current Funds:</b>		
<b>Government securities:</b>		
Banks for Cooperatives, 5.25%, 7-1-71	\$ 129,000	\$ 129,000
Consolidated FIC Bonds, 8.15%, 3-1-71	100,000	100,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
Consolidated FIC Bonds, 8.05%, 4-1-71	500,000	500,000
Federal Land Bank Bonds, 8.5%, 4-20-71	400,000	400,000
Federal Land Bank Bonds, 8.45%, 7-20-71	50,000	50,000
FNMA Notes, 8.45%, 10-12-71	300,000	300,000
Federal Home Loan, 8.2%, 11-26-71	150,000	150,000
FIC Bank Bonds, 6.8%, 8-2-71	500,000	500,000
Banks for Cooperatives, 5.7%, 6-1-71	200,000	200,000
FIC Bank Bonds, 5.3%, 10-4-71	<u>100,000</u>	<u>100,000</u>
<b>Total government securities</b>		<b><u>2,449,000</u></b>
<b>Corporate notes:</b>	500,000	<u>481,017</u>
Penn Central Transportation Company, 8.875%, 6-29-70		
<b>Certificates of deposit:</b>	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 2-5-72	300,000	300,000
First Security National Bank & Trust Company, 6.5%, 12-7-71	200,000	200,000
First Security National Bank & Trust Company, 5.875%, 6-30-71	<u>600,000</u>	<u>600,000</u>
<b>Total certificates of deposit</b>		<b><u>\$ 3,530,017</u></b>
<b>TOTAL RESTRICTED FUNDS</b>		
<b>Endowment Funds:</b>		
<b>Government securities:</b>		
Banks for Cooperatives, 5.25%, 7-1-71	\$ 1,000	<u>\$ 1,000</u>
<b>Agency Funds:</b>		
<b>Certificates of deposit:</b>	\$ 3,000	<u>\$ 3,000</u>
First Security National Bank & Trust Company, 5%, 3-2-71		<u><u>3,000</u></u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>Main Campus- Subschedule A</b>					
<b>Consolidated Educational Bonds C &amp; D- FCR-7, October 20, 1970 )</b>					
Classroom-Office Building 128 and 129	\$ 12,700,000	\$ 11,980,250	\$ 11,980,250	\$ 11,930,763	\$ 49,497
Agricultural Sciences- Lab Building # 2-749	5,391,679	5,390,399	5,386,679	5,138,895	247,784
Appurtenant Facilities-Parking Structures-916	3,906,009	3,752,074	3,752,074	3,752,074	
Appurtenant Facilities- Utilities	4,916,519	5,144,744			
Medical Center-Relocation of Cooling Tower-302			1,794,413	1,719,548	74,865
Electrical Substation # 2-Central Campus-919			706,652	702,152	4,500
Cooling Plant # 2-Central Campus-920			1,184,592	1,184,592	
Central Heating Plant-Aux. Equipment-921			443,774	426,304	17,470
Utility Distribution System-935			367,284	367,284	
Cooling Plant # 1-Expansion-942			632,625	596,875	35,750
Subtotal	4,916,519	5,144,744	5,129,340	4,996,755	132,585
Appurtenant Facilities-Permanent Surface Parking	413,214	355,300			
Surface Parking-Lighting and Landscaping-936			291,454	291,454	
Surface Parking-Harrison and Euclid Avenues-963			61,527	61,527	
Site Clearance-107			2,319	2,319	
Subtotal	413,214	355,300	355,300	355,300	
Appurtenant Facilities-Pedestrian Access & Light-					
937	345,598	345,598	345,598	318,612	26,986
Lab Office & Studio Facilities-University Wide	1,705,800	1,648,101			
Arts Building for Metal Casting-601			101,933	101,423	510
Prefabricated Research Lab. Phase I-934			1,546,168	1,546,168	
Subtotal	1,705,800	1,648,101	1,648,101	1,647,591	510



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Main Campus-Subschedule A -continued	Board Approved	Estimated Project Cost	Allocation	Expenditures and Encumbrances	Balance of Commitment
Complete Interior Reconstruction-Memorial Hall-930	\$ 458,103	\$ 437,348	\$ 437,348	\$ 424,494	\$ 12,854
Substantial Interior Reconstruction-Portion Library Service Building-924	472,708	472,708	472,708	438,317	34,391
Appurtenant Facilities-Site Preparation Veterans Administration Hospital Site Preparation and Clearance-941	480,490	491,518	427,490	414,412	13,078
Veterans Administration Hospital-Connecting Bridge-943			64,028	57,140	6,888
Subtotal	480,490	491,518	491,518	471,552	19,966
Laboratory Office Facilities-967- FCR2, May 5, 1970	2,300,000	2,300,000	2,074,142	189,792	1,884,350
Site Clearance-107			3,478	3,478	
Subtotal	2,300,000	2,300,000	2,077,620	193,270	1,884,350
Health, Physical Education and Recreation Bldg. -968- FCR-2	2,500,000	2,500,000	116,950	102,474	14,476
Reserved for Additional Projects	1,018,000	1,018,000			
Completed Projects	420,186	420,186	420,186	420,186	Completed
Total	37,028,306	36,256,226	32,613,672	30,190,273	2,423,399
Contingency	1,787,682	602,800			
Legal, Administrative & Interest Expense	5,748,347	5,036,542	5,036,542	4,151,658	884,884
Clearing-900			2,771,245		2,771,245
Total-Subschedule A	<u>\$44,564,335</u>	<u>\$41,895,568</u>	<u>\$40,421,459</u>	<u>\$34,341,931</u>	<u>\$ 6,079,528</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1973

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<b>Main Campus-Subschedule A-continued</b>			
<b>Source of Funds:</b>			
Bond Anticipation Notes			
Bonds	29,250,000		
Less Maturity	<u>700,000</u>		
Bonds to be Sold			
Realized from Note Sale		\$28,100,000	\$ 450,000
Total Series C Bonds	4,290,000	4,290,000	
Interest Income	3,519,498	3,374,498	145,000
Note Premium	1,239	1,239	
Fund Balances			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	<u>37,170,737</u>	<u>36,575,737</u>	<u>595,000</u>
Veterans Administration	679,544	232,293	447,251
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,069,952	375,404
Title II Grants	1,129,070	1,072,616	56,454
Trust and Agency ( Furniture and Equipment-Service Building )	<u>17,000</u>	<u>17,000</u>	
<b>Total Source of Funds</b>	<u>\$41,895,568</u>	<u>\$40,421,459</u>	<u>\$ 1,474,109</u>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Nine Months Ended March 31, 1971

Main Campus-Subschedule A --continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES C & D BONDS

Balance in Clearing Account	2,906,462
Unrealized Income	
Interest Earnings not Deposited in Account	\$ 145,000
( Escrowed Funds )	<u>1,504,109</u>
Other Unrealized Income	<u>1,649,109</u>
Total Unrealized Income	4,555,571
Subtotal	
Additional Allotments	
HPER Building	2,383,050
Laboratory Office Fac	222,380
Others	<u>19,124</u>
Total Additional Allotments	<u>( 2,624,554 )</u>
Balance Available	1,931,017*

\* Includes the \$ 1,018,000 set aside by the Cabinet for future allocation.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Schedule 8

Main Campus-Planning-Subschedule B  
 Consolidated Educational Bonds ( not assigned )  
 ( PR 10 & 11-January 29, 1969 )

Lab Office Building- Biological Sciences-

Phase I-940

Addition to Margaret I. King Library-938

Computer Facilities ( cancelled)-962

Medical Center-- New Construction and Renovation

Addition of 2 Floors-301

Handicapped Children's Center-939

Patient Care Services-307

Subtotal

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
	\$10,000,000	\$11,378,000	\$120,625	\$120,625	
	4,100,000	4,100,000	132,864	132,864	
	2,800,000	7,500	7,500	6,208	1,292
		8,500,000			
			10,000	10,000	
			10,000	10,000	
			7,000	5,000	2,000
	8,500,000	8,500,000	27,000	25,000	2,000
			\$287,989	\$284,697	\$3,292
	\$25,400,000	\$23,985,500	\$287,989	\$284,697	\$3,292

Total Subschedule B

Source of Funds:

General Obligation Bonds \$ 287,989

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>Main Campus - Subschedule C</b>					
<b>Non-Recurring Maintenance Items ( FCR-6,   April 2, 1968 &amp; PR 5, November 19, 1968)</b>					
<b>Roof Repairs- E. Wing Engineering Building     ( Anderson Hall)-922</b>	\$ 42,000	\$ 33,764	\$ 16,577	\$ 16,577	
<b>Air Conditioning- Bowman, Bradley, Breckinridge     and Kinkead-138</b>	387,410	387,410	387,410	371,843	15,567
<b>Centrex-Conduit System</b>	137,590	137,590	43,318	43,318	Completed
Conduit for Main Campus-961			55,309	52,070	3,239
Conduit for Medical Center-321 Installation-39-087			36,442	36,442	
Subtotal	137,590	137,590	135,069	131,830	3,239
<b>Repairs- Administration Building</b>	60,000	60,000	22,391	22,391	
Masonry Repairs-965			11,750	11,750	
Window Air Conditioners 39-090 Replace Windows 39-091			39,350	40,000	( 650)
Subtotal	60,000	60,000	73,491	74,141	(650)
<b>Roof Repairs- McVey Hall</b>	15,000	15,000	5,000	5,000	
McVey Hall-Roof Repairs-38404			10,000	10,000	
McVey Hall-Gutter Repairs-38392			15,000	15,000	
Subtotal	15,000	15,000	15,000	15,000	
<b>Roof Repairs Memorial Hall-Cancelled Project</b>	18,000				
<b>Floor Tile-Cancelled Projects</b>	121,000				
<b>Electrical-Cancelled Projects</b>	210,000				
Electrical-Fine Arts-38444	9,000	9,000	9,000	9,000	
Plumbing-Taylor Education Building-38394	8,000	8,000	8,000	8,000	( 1,168)
Plumbing-Reynolds # 1 ( Sprinkler System )-38396	9,000	9,000	9,000	9,000	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Main Campus-Subschedule C-continued					
Hardware-					
Alumni Gym & Taylor Building-38428	\$ 20,000	\$ 20,000	\$ 10,000	\$ 9,750	\$ 250
Animal Pathology Bldg. & Home Economics Bldg. -Hardware-38429			10,000	10,000	
Subtotal	20,000	20,000	20,000	19,750	250
Roof Repairs-Administration Annex-969	8,000	16,236	16,236	14,760	1,476
Athletic Association Closed Circuit TV-39-328		10,181	10,181	11,720	(1,539)
Campus Utilities Electrical 119-38-445, 38-443, 972		155,000	75,703	39,091	36,612
Renovation Taylor Education Bldg.-117		132,629	26,198	1,000	25,198
Completed Projects	105,000	75,467	75,467	75,467	Completed
Total	1,150,000	1,069,277	877,332	798,347	78,985
Unallotted Non-Recurring Maintenance			308,866		308,866
Contingency		116,921			
Total Subschedule C	\$ 1,150,000	\$ 1,186,198	\$ 1,186,198	\$ 798,347	\$ 387,851

	Amount	Realized
Source of Funds:		
University Fund Balances	\$ 15,000	\$ 15,000
General Obligation Bonds	41,390	41,390
Restricted	1,928	1,928
Renovation Funds 1969-70	26,199	26,199
Fund Balances-		
PR-5, November 19, 1968	900,000	900,000
FCR-6, April 2, 1968	112,400	112,400
FCR-6, April 2, 1968	137,600	137,600
Transferred to State	(55,000)	(55,000)
Capital Construction Projects	6,681	6,681
Athletic Association		
Total Source of Funds	\$ 1,186,198	\$ 1,186,198

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Subschedule C-continued

SUMMARY OF UNAPPROPRIATED FUNDS--NON-RECURRING MAINTENANCE

Balance in Clearing	\$	308,866
To be Allotted		
Taylor Education	\$	106,431
Others		<u>85,514</u>
Total		<u>( 191,945)</u>
Balance Available	\$	116,921

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Main Campus- Subschedule D					
State Capital Construction Funds- ( FCR-3, February 18, 1969 )	\$	3,720	\$ 3,720	\$ 3,720	
Agricultural Regulatory Services Facilities-745		115,185	115,185	108,349	6,836
Community Medicine Facility-Mycology and Animal Tuberculosis-322	250,000	349,000	349,332	330,067	19,265
Renovation of Engineering Quadrangle-111	250,000	250,000	173,040	167,233	5,807
Development of Intramural Fields--118	220,000	270,014	270,014	267,236	2,778
Renovation of Neurosurgery--960					
Quicksand Wood Utilization Center Trailers-109	150,000	173,751	147,440	145,919	1,521
Trailers, Force Account-110			12,000	12,000	
Student Housing Renovation--320			14,311	14,311	Completed
Subtotal	150,000	173,751	173,751	172,230	1,521
ETV Equipment for Community Colleges-518	100,000	100,000	118,109	87,660	30,449
Reserve for Additional Projects		166,706	166,706		166,706
Contingency	3,500	21,124			
Undistributed ( not allotted specific projects )			79,643		79,643
Total Subschedule D	\$ 1,073,500	\$ 1,449,500	\$ 1,449,500	\$ 1,136,495	\$ 313,005

Source of Funds:

	Estimated	Realized
Board Action-FCR-3	\$ 1,853,500	\$ 1,853,500
Transferred to Ag. Science Building II	(500,000)	( 500,000)
Transferred from Non-Recurring Maintenance	55,000	55,000
Restricted Funds ( Engr. Equipment Account )	41,000	41,000
Total Source of Funds	\$ 1,449,500	\$ 1,449,500



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Subschedule D -continued

SUMMARY OF UNAPPROPRIATED FUNDS--STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 246,349
Additional Allotments	<u>58,519</u>
Balance Available	\$ 187,830*

\* Overruns on Mycology and Community College ETV Projects have caused the reduction of the \$ 200,000 Reserved for Additional Projects.

Schedule 8

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<b>Main Campus-Subschedule E</b>					
<b>Major Improvements-Miscellaneous Construction</b>					
( PR-6, November 10, 1967 & FCR-2, December 9, 1969 )					
<b>Substantial Interior Reconstruction Portions</b>					
Agr. Science Building-Small Animal Lab to Tobacco Health and Research-319	\$ 162,500	\$ 220,813	\$ 220,813	\$ 220,813	
<b>Substantial Interior Reconstruction Portions</b>					
Anderson Hall ( Engr. Quadrangle)-922	136,500	138,009	136,541	136,541	
<b>Minor Interior Changes &amp; Major Mech &amp; Electrical</b>					
Highway Materials Research Lab ( Graham Avenue )-932	150,000	225,405	217,141	215,073	2,068
<b>Substantial Reconstruction Agr. Exp. Station</b>					
Air Conditioning 39-070, 071, 072, 073	75,000	75,000	40,950	40,687	263
Subtotal	75,000	75,000	40,950	40,687	263
<b>Completed Projects</b>	985,850	1,027,361	1,027,361	1,027,361	Completed
<b>Cancelled Projects</b>	95,500				
<b>Reserve for Additional Projects</b>		100,000	100,000		100,000
<b>Contingency and Clearing Account</b>	194,650	22,634	75,135		75,135
Subtotal PR-6	1,800,000	1,809,222	1,817,941	1,640,475	177,466
<b>Demolition of Houses-107</b>					
<b>Flammable Storage Buildings-101</b>					
Demolition of Houses-107		58,262	53,165	48,803	4,362
Flammable Storage Buildings-101		51,000	47,378	47,347	31
<b>Total Subschedule E</b>	<b>\$ 1,800,000</b>	<b>\$ 1,918,484</b>	<b>\$ 1,918,484</b>	<b>\$ 1,736,625</b>	<b>\$ 181,859</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Main Campus- Subschedule E-continued	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
Fund Balances- PR-6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds-		
FCR-2, December 9, 1969 \$ 786,624		
Available Balances Returned ( <u>10,097</u> )		
Total G. O. B.	776,527	776,527
Grants	136,588	136,588
Trust and Agency	10,000	10,000
Restricted Funds	<u>28,766</u>	<u>28,766</u>
Subtotal	<u>1,965,257</u>	<u>1,965,257</u>
Less:		
Sewer Connection Fee- FCR-3, March 12, 1968	( 35,000 )	( 35,000 )
Transferred to Research Feed Processing Center	<u>( 11,773 )</u>	<u>( 11,773 )</u>
Total Source of Funds	<u>\$ 1,918,484</u>	<u>\$ 1,918,484</u>

SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS

Balance in Clearing Account	\$ 175,135
Additional Allotments	<u>( 52,501 )</u>
Balance Available	\$ 122,634*

\* Includes the \$ 100,000 reserve for additional projects.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Main Campus--Subschedule F a. Others	Board Approved		Estimated Project Cost	Alotment	Expenditures and Encumbrances	Balance of
	\$	70,000	\$ 71,205	\$		Alotment
Closed Circuit TV				10,000	7,000	3,000
Engr. & Commerce Buildings--966				11,005	1,983	9,022
Taylor Distribution Equipment--39-077				9,500	9,500	
Completion Classroom--Office--39-076				4,200	1,974	2,226
White Hall Distribution Equipment--39-078				32,400	8,092	24,308
T. V Receivers and Tape 39--079				3,500	3,500	
Closed Circuit TV 39--088				600	600	Completed
Patterson Tower Closed Circuit TV 39-075				71,205	32,649	38,556
Subtotal	70,000	71,205	71,205	293,475	277,370	16,105
Coldstream Research Feed Processing Center--746 ( FCR-3, June 9, 1970 )	293,475	293,475	293,475	72,601	72,601	
South Farm Horticulture--Storage and Research--750 Miscellaneous Par'ing Lot Construction--964, 970 ( FCR-2, June 9, 1970 )	200,000	246,400	246,400	2,500	1,000	24,481
Ag. Service--Agronomy Seed & Lab--928		56,432	56,432	3,500	55,756	676
Fire Escape--Jewell & Reynolds--905		1,000	1,000	2,000	2,000	1,500
Renovation--Lafferty Hall--971						
Funkhouser - Biological Sciences--931						
Total Subschedule F. a.	563,475	747,113	747,113	747,113	664,295	82,818

Source of Funds:	Amount	Realized	Unrealized
Auxiliary Enterprises ( Parking Fees )	\$ 246,400	\$ 246,400	
State Fire and Tornado Fund	134,932	134,932	
USDA Grants	164,503	36,301	\$ 128,202
70-71 Renovation Funds	78,500	78,500	
69-70 Renovation Funds	2,500	2,500	
Fund Balances	72,205	72,205	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	747,113	618,911	128,202
Advance from General Obligation Bonds		128,202	( 128,202 )
Total	747,113	747,113	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
b. Purchase of Computer-103 (FCR-2, December 9, 1969 )	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	

Main Campus- Subschedule F-continued

Source of Funds:  
 FCR-2

Trust and Agency	\$ 1,819,612
Restricted Funds	<u>187,732</u>
	<u>\$2,007,344</u>

c. Property Acquisitions-135

	<u>3,800,000</u>	<u>3,776,433</u>	<u>3,776,433</u>	<u>3,259,871</u>	<u>516,562</u>
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Source of Funds:

<u>General Obligation Bonds</u>	
FCR-4, May 6, 1969	\$ 2,300,000
FCR-2, December 9, 1969	<u>187,732</u>
Subtotal	2,487,732
<u>Restricted Fund Balances</u>	
PR-5, November 19, 1968	900,000
FCR-2, December 9, 1969	(187,732)
Phasing out Operations of South End Frozen Food Locker	( 9,517)
Transfer of Land Sale Proceeds	<u>( 18,106)</u>
Subtotal	684,645
Proceeds from Real Estate Sales	71,606
<u>Trust and Agency Funds</u>	
Program Improvement Reserve	32,450
PR-7, May 5, 1970	100,000
PR-4, January 19, 1971	<u>400,000</u>
Subtotal	<u>532,450</u>
Total	3,776,433

Total

3,776,433

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>Main Campus- Subschedule F-continued</b>					
b. Purchase of Computer-103( FCR-2, December 9, 1969 )	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	
<b>Source of Funds:</b>					
<b>FCR-2</b>					
Trust and Agency		\$ 1,819,612			
Restricted Funds		<u>187,732</u>			
		<u>\$ 2,007,344</u>			
c. Property Acquisitions-135	3,800,000	3,776,433	3,776,433	3,259,871	516,562
<b>Source of Funds:</b>					
<b>General Obligation Bonds</b>					
FCR-4, May 6, 1969		\$ 2,300,000			
FCR-2, December 9, 1969		<u>187,732</u>			
Subtotal					
<b>Restricted Fund Balances</b>					
PR-5, November 19, 1968		900,000			
FCR-2, December 9, 1969		<u>(187,732)</u>			
Phasing out Operations of South End Frozen Food Locker		( 9,517)			
Transfer of Land Sale Proceeds		<u>( 18,106)</u>			
Subtotal					
<b>Proceeds from Real Estate Sales</b>					
Trust and Agency Funds					
Program Improvement Reserve		32,450			
PR-7, May 5, 1970		100,000			
PR-4, January 19, 1971		<u>400,000</u>			
Subtotal			<u>532,450</u>		
<b>Total</b>	3,776,433		3,776,433		

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>Main Campus-Subschedule G</b>					
<b>General Obligation Bonds</b>					
<b>Campus Utilities Expansion FCR-3, December 8, 1970 \$ 1,300,000</b>	\$	6,000	\$	3,500	\$ 2,500
Interconnection of Steam Lines-Med Center & Upper Street Heating Plants-324					
<b>Chilled Water Steam and Electrical Distribution</b>		630,133		2,000	628,133
<b>Multidiscipline Lab-973</b>		636,133		5,500	630,633
Subtotal	1,300,000	1,300,000			
<b>Completed Projects FCR-2, June 9, 1970</b>	196,507	190,005	190,005	190,005	Completed
<b>Contingency</b>		699,357			
<b>Unallocated General Obligation Bonds</b>			1,235,022		1,235,022
<b>Total Subschedule G</b>	<u>\$ 1,496,507</u>	<u>\$ 2,189,362</u>	<u>\$ 2,061,160</u>	<u>\$ 195,505</u>	<u>\$ 1,865,655</u>
<b>Source of Funds:</b>			<u>Unrealized</u>		
<b>General Obligation Bond Proceeds</b>	<u>\$ 5,783,000</u>	<u>\$ 5,783,000</u>			
<b>Transferred to Other Schedules:</b>					
Subschedule B ( Main Campus Planning )	(287,989)	(287,989)			
Subschedule C ( Non-Recurring Maintenance )	(41,390)	(41,390)			
Subschedule E ( Major Improvements )	(776,527)	(776,527)			
Subschedule F a. ( Main Campus - Others )	(128,202)	(128,202)	\$ 128,202		
Subschedule F c. ( Property Acquisitions )	<u>(2,487,732)</u>	<u>(2,487,732)</u>			
<b>Total Source of Funds</b>	<u>\$ 2,189,362</u>	<u>\$ 2,061,160</u>	<u>\$ 128,202</u>		

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Subschedule G--continued

SUMMARY OF UNAPPORTIONED FUNDS--GENERAL OBLIGATION BONDS

GO Bonds Current Balance	\$	1,235,022
Advances to be Repaid		<u>128,202</u>
Subtotal		1,363,224
Additional Allotments Utilities Expansion		<u>( 663,867)</u>
Balance Available	\$	699,357



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Community Colleges- Subschedule H  
 Consolidated Education Bonds-Series A & B ( FCR-2-  
 November 17, 1970 )

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Ashland Phase I	\$ 3,510,104	\$ 3,320,206			
Preliminary Development-517		\$ 12,436	\$ 12,436	\$ 12,436	
Academic Facilities-523		3,307,770	3,294,828	\$ 3,294,828	\$ 12,942
Construction of Parking Lot-519		90,000	90,000		90,000
Subtotal	3,510,104	3,410,206	3,410,206	3,307,264	102,942
Elizabethtown	1,621,549	1,564,095			
Preliminary Development-510		9,025	9,025	9,025	
Academic Facilities-525		1,555,070	1,554,348	1,554,348	722
Subtotal	1,621,549	1,564,095	1,564,095	1,563,373	722
Hazard	1,840,332	1,831,213			
Preliminary Development-507		10,427	10,427	10,427	
Academic Facilities-527		1,820,786	1,776,680	1,776,680	44,106
Subtotal	1,840,332	1,831,213	1,831,213	1,787,107	44,106
Henderson	1,245,954	1,239,570			
Preliminary Development-511		3,925	3,925	3,925	Completed
Academic Facilities-529		1,235,645	1,227,816	1,227,816	7,829
Subtotal	1,245,954	1,239,570	1,239,570	1,231,741	7,829
Hopkinsville	779,602	759,754			
Preliminary Development-514		9,075	9,075	9,075	Completed
Academic Facilities-531		750,679	747,412	747,412	3,267
Subtotal	779,602	759,754	759,754	756,487	3,267
Jefferson	5,785,002	5,681,973			
Phase I-547		1,382,764	1,365,101	1,365,101	17,663
Phase II-534		3,861,327	3,512,283	3,512,283	349,044
Utilities Tunnel-549		427,882	412,272	412,272	15,610
Phase III-535		10,000	10,000		10,000
Subtotal	5,785,002	5,681,973	5,681,973	5,289,656	392,317

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<b>Community Colleges-Subschedule H-continued</b>					
<b>Madisonville</b>					
Preliminary Development-550 ( FCR-3 , March 10, 1970 )	\$ 12,000	\$ 12,000	\$ 14,200	\$ 11,401	\$ 2,799
Academic Facilities -554		8,000	8,000		8,000
Trailers-551		11,150	11,150	11,150	
Subtotal	12,000	31,150	33,350	22,551	10,799
<b>Maysville</b>					
Preliminary Development-536	1,899,881	1,876,881	9,450	9,450	
Academic Facilities -537			1,857,061	1,800,365	56,696
Subtotal	1,899,881	1,876,881	1,866,511	1,809,815	56,696
<b>Prestonsburg</b>					
Preliminary Development-513	1,713,434	1,645,096	12,897	12,597	300
Academic Facilities -541			1,632,199	1,625,458	6,741
Subtotal	1,713,434	1,645,096	1,645,096	1,638,055	7,041
<b>Somerset</b>					
Preliminary Development-512	872,451	839,951	9,450	9,450	
Academic Facilities -543			895,276	799,555	5,721
Subtotal	872,451	839,951	814,726	809,005	5,721
<b>Southeast</b>					
Preliminary Development-515	1,044,970	1,034,970	10,594	10,594	
Academic Facilities -545			1,005,787	995,310	10,477
Subtotal	1,044,970	1,034,970	1,016,381	1,005,904	10,477
Movable Buildings 548, 539	676,013	718,817	718,817	718,802	15
<b>Hopkinsville-503</b>					
	223,620	223,620	213,732	213,732	
<b>Somerset-506</b>					
	176,380	176,380	116,336	116,336	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Community Colleges-Subschedule H-continued					
Educational Television-Community Colleges	\$ 45,000				
Southeast-521	\$ 19,203	\$ 19,203	\$ 17,057	\$ 2,146	\$ 17,057
Henderson-552	24,500	24,500	2,716		2,716
Prestonsburg-553	5,086	5,086	5,384	500	4,884
Subtotal	45,000	48,789	27,303	2,646	24,657
<hr/>					
Total	21,446,292	21,082,465	20,939,063	20,272,474	666,589
Contingency		2,118,864			
Legal, Administrative, and Interest Expenses		1,225,303	881,303	880,821	482
Clearing Account-500			1,242,997		1,242,997
Total Subschedule H	\$ 21,446,292	\$ 24,426,632	\$ 23,063,363	\$ 21,153,295	\$ 1,910,068
<hr/>					
Source of Funds:	Amount	Realized	Unrealized		
Title I Grants	\$ 6,370,577	\$ 4,429,642	\$ 1,940,935		
Norton Memorial Hospital	95,063	95,063			
Ashland Board of Education	45,000	45,000			
Madisonville Reserve	23,150	23,150			
Fund Balances ( 200 Clearing )	6,000	6,000			
Trust and Agency	2,500	2,500			
Due to State ( Advances )		966,666	(966,666)		
Series A & B Bonds:					
Original Issue-16, 300, 000					
Series A	12,200,000	12,200,000			
Series B	4,489,000	4,100,000	389,000		
Interest Earned	1,195,342	1,195,342			
Total Source of Funds	\$ 24,426,632	\$ 23,063,363	\$ 1,363,269		

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

Subschedule H-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES A & B BONDS

Balance in Clearing Account	\$ 1,242,997	
Unrealized Income	1,363,269	
Subtotal	2,606,266	
Additional Allotments		
Legal and Admin ( Est )	\$ 344,000	
Others	143,402	
Subtotal	( 487,402 )	
Balance Available		2,118,864*

\* Does not include Title I Grant for Madisonville in the amount of \$ 743,337 which UK can reasonably anticipate receiving.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Community Colleges--Subschedule I Other--					
Somerset Amphitheater-502	\$ 1,000	\$ 1,000	\$ 1,000	\$ 1,000	\$ 2,500
Ashland Phase II-524	7,500	7,500	7,500	5,000	
<b>Total Subschedule I</b>	<b>\$ 8,500</b>	<b>\$ 8,500</b>	<b>\$ 8,500</b>	<b>\$ 6,000</b>	<b>\$ 2,500</b>

Source of Funds:	Amount	Realized	Unrealized
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500	\$ ( 7,500)
Fund Balances	1,000	1,000	
<b>Total Source of Funds</b>	<b>\$ 8,500</b>	<b>\$ 8,500</b>	<b>\$ ( 7,500)</b>

Summary of Subschedules:	Amount	Realized	Unrealized
A. Consolidated Education Bonds C & D	\$ 44,564,335	\$ 41,895,568	\$ 40,421,459
B. Main Campus- Planning	25,400,000	23,985,500	287,989
C. Non-Recurring Maintenance	1,150,000	1,186,198	1,186,198
D. State Capital Construction Funds	1,073,500	1,449,500	1,449,500
E. Major Improvements	1,800,000	1,918,484	1,918,484
F. Main Campus- Others	6,370,819	6,530,890	35,594,521
G. General Obligation Bonds	1,496,507	2,189,362	2,061,160
H. Consolidated Education Bonds A & B	21,446,292	24,426,632	23,063,363
I. Community Colleges-Others		8,500	8,500
<b>GRAND TOTAL OF SCHEDULE</b>	<b>\$103,301,453</b>	<b>\$103,590,634</b>	<b>\$105,991,174</b>
			<b>\$93,231,270</b>
			<b>\$ 12,759,904</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 28, 1971

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

Main Campus	
Series C & D Bonds ( Subschedule A )	\$ 1,620,800
Non-Recurring Maintenance ( Subschedule C )	116,921
State Capital Construction ( Subschedule D )	187,830
Major Improvements ( Subschedule E )	122,634
Main Campus Others ( Subschedule F )	354,869
GO Bonds ( Subschedule G )	<u>699,357</u>
Subtotal	\$ 3,102,411
Fund Balances Allotted for Construction ( The old advance for Hopkinsville Community College and Somerset Community College )	 400,000
Community Colleges	
Series A & B Bonds ( Subschedule H )	<u>2,118,864</u>
Total	5,621,275*

\* Does not include Title I Grant for Madisonville in the amount of \$ 743,337 which UK can reasonably anticipate receiving.

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 28, 1971

Schedule 9

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Income:			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,170,100	\$ 5,168,926	100.0
Investment income from sinking funds	84,721	51,328	60.6
Charges to retirement of indebtedness funds balances	<u>290,686</u>	<u>290,686</u>	<u>100.0</u>
Subtotal	<u>5,545,507</u>	<u>5,510,940</u>	<u>99.4</u>
Housing and dining system debt:			
Charges to housing and dining system current funds	1,560,260	780,189	50.0
Investment income from sinking funds	<u>99,883</u>	<u>59,108</u>	<u>59.2</u>
Subtotal	<u>1,660,143</u>	<u>839,297</u>	<u>50.6</u>
Auxiliary enterprises debt:			
Charges to auxiliary enterprises current funds	<u>188,579</u>	<u>130,235</u>	<u>69.1</u>
	<u>\$ 7,394,229</u>	<u>\$ 6,480,472</u>	<u>87.6</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS			
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 504,796	\$ 504,310	99.9
Consolidated Educational Buildings-Series B	462,427	462,943	99.9
Consolidated Educational Buildings-Series C	295,021	294,817	99.9
Consolidated Educational Buildings-Series D	2,948,344	2,409,675	81.7
Community Colleges Educational Buildings-Series A	1,088,006	868,000	79.8
Community Colleges Educational Buildings-Series B	<u>245,913</u>	<u>194,670</u>	<u>79.2</u>
Subtotal	<u>5,545,507</u>	<u>4,734,415</u>	<u>85.4</u>
Housing and Dining System Revenue Bonds-Series A-I	<u>1,660,143</u>	<u>585,072</u>	<u>35.0</u>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 28, 1971

Schedule 9

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
<b>Auxiliary enterprises debt:</b>			
Chi Omega	\$ 3,288	\$ 3,288	100.0
Alpha Delta Pi	15,411	11,793	76.5
Dorms A-F	39,175	39,175	100.0
Dorms G-L	51,495	17,247	33.5
Sigma Phi Epsilon	8,310	2,655	31.9
Delta Delta Delta	6,225	6,225	100.0
Alpha Tau Omega	8,430	2,715	32.2
Alpha Gamma Rho and Sigma Chi	16,245	16,245	100.0
Student Housing Bonds of 1964	<u>40,000</u>	<u>40,000</u>	<u>100.0</u>
Subtotal	<u>188,579</u>	<u>139,343</u>	<u>73.9</u>
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<u>\$ 7,394,229</u>	<u>\$ 5,458,830</u>	<u>73.8</u>



University of Kentucky  
 Schedule of Sinking Funds  
 February 28, 1971

Schedule 10

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund Account	\$ 1,727,925
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	772,991
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,132,270
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	963,296
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	937,042
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	287,740
Consolidated Educational Buildings Revenue Bonds-Series D-Special Note Sinking Fund	847,292
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	85,145
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	57,470
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	42,323
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	20,575
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	92,298
Community Colleges Educational Buildings-Series A-Bond and Interest Fund-Escrow Account	104,375
Community Colleges Educational Buildings-Series B-Bond and Interest Fund- Escrow Account	123,211
Community Colleges Educational Buildings-Special Renewal Note Sinking Fund	<u>1,062,670</u>

TOTAL SINKING FUNDS  
\$ 8,256,623

**University of Kentucky**  
**Schedule 11**  
**Summary of Other Funds Transactions**  
**For the Eight Months Ended February 28, 1971**

	<u>Balances</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u>
<u>Loan Funds:</u>	<u>July 1, 1970</u>	<u>February 28, 1971</u>	<u>February 28, 1971</u>	<u>February 28, 1971</u>
<b>Federal sponsored loan funds:</b>				
<b>Federal government</b>	\$ 4,279,205	\$ 308,029		\$ 4,587,234
<b>University of Kentucky</b>	391,415	29,006		420,421
<b>Accumulated interest income</b>	141,199	27,400		168,599
<b>University loan funds:</b>				
<b>University student loan funds</b>	40,730	5,359		46,089
<b>University special student loan fund</b>	64,380	4,450		68,830
<b>United Student Aid loan fund</b>	2,000			2,000
	<u>\$ 4,918,929</u>	<u>\$ 374,244</u>		<u>\$ 5,293,173</u>
<b>TOTAL LOAN FUNDS</b>				
<u>Endowment Funds:</u>				
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 814,716</u>	<u>\$ 10,379</u>		<u>\$ 825,095</u>
<u>Agency Funds:</u>				
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 758,331</u>	<u>\$ 5,828,193</u>	<u>\$ 5,899,352</u>	<u>\$ 687,172</u>

Finance Committee Report  
April 6, 1971

FCR 2

Members, Board of Trustees:

RESOLUTION RELATING TO  
ALTERNATE PAYING AGENT

Recommendation:

That the attached resolution be adopted.

Background:

The successful bidder for the series A revenue bonds sold at the last meeting of the Board of Trustees has the right, under the terms of the sale, to name an alternate paying agent. The successful bidder has named Chemical Bank, New York, New York as the alternate paying agent. The recommended resolution formalizes the designation of the Chemical Bank as alternate paying agent.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY  
TAKING NOTE OF THE PURCHASERS' DESIRE TO HAVE AN ALTERNATE PAYING  
AGENT DESIGNATED IN CONNECTION WITH THE UNIVERSITY OF KENTUCKY  
COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES A,  
DATED MAY 1, 1969.

WHEREAS, under the proceedings by which the \$12,125,000 of University  
of Kentucky Community Colleges Educational Buildings Revenue Bonds, Series A,  
dated May 1, 1969, were offered for sale, an option was given in favor of the  
purchasers of such bonds to request the Board to designate an alternate paying  
agent for such bonds, in addition to those already named, and

WHEREAS, pursuant to such proceedings the successful purchasers have  
requested that Chemical Bank, New York, New York, be designated as such an alter-  
nate paying agent,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY  
DOES HEREBY RESOLVE AS FOLLOWS:

Section 1. The principal office of Chemical Bank, New York, New York,  
is hereby designated an alternate paying agent in connection with such bonds  
hereinbefore described, and the printing of such bonds to show such bank as an  
alternate paying agent is hereby authorized.

Section 2. That this resolution shall be in full force and effect from  
and after its adoption.

Finance Committee Report  
April 6, 1971

FCR 3

Members, Board of Trustees:

RETIREMENT RESOLUTION AMENDMENT

Recommendation: that the Board of Trustees adopt the attached Retirement Resolution Amendment to be effective July 1, 1971.

Background: The present retirement plan for Group II employees is a non-contributory plan which is funded from the current operating budget of the University.

This Amendment to the basic Retirement Resolution will include all Group II classified employees of the University whereby the employee contributes 0.5% and the University contributes 1.0% the first year of operation. It is planned that the employee and employer contributions will increase to a 4% contribution by the employee and 6% contribution by the University in fiscal year 1976-77. This Amendment will provide similar retirement benefits as is provided to Group I personnel and is in line with retirement programs in many other institutions of Higher Education.

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Action: Approved   X   Disapproved            Other           

Date:   April 6  , 1971

UNIVERSITY OF KENTUCKY  
Lexington, Kentucky

Retirement Resolution Amendment

Effective July 1, 1971 the University Retirement Resolution as approved by the Board of Trustees on April 17, 1964, and as further amended May 12, 1964, June 12, 1964, July 16, 1965, September 21, 1965, January 24, 1966, June 17, 1966, and August 4, 1970 is hereby further amended to incorporate, on the basis set forth herein, Group II classified employees in the University's retirement plan now in effect and funded with the Teachers Insurance and Annuity Association and the College Retirement Equities Fund.

- I. Eligibility. Retirement provisions are hereby established for all regular full-time Group II classified employees as defined elsewhere in University Staff Personnel Policies, (Personnel Policy and Procedure Bulletin #4). This plan does not apply to employee staff members in University positions covered by the United States Civil Service or other Federal retirement programs other than Social Security.
- II. Participation of Group II Classified Employees.
  1. Participation of eligible Group II classified employees employed prior to July 1, 1971 (and currently employed as of that date) shall be as follows:
    - (a) Voluntary for employees who have attained the age of 56 on or before July 1, 1971 and for employees who have completed one year of service but have not attained the age of 30.
    - (b) Mandatory immediately for employees who have completed one year of service and have attained the age of 30 but not the age of 56 as of July 1, 1971.
    - (c) Mandatory upon the completion of one year of service and the attainment of age 30 for employees who have not yet attained age 30 or have not completed one year of service by July 1, 1971.
  2. Participation of eligible Group II classified employees employed on or after July 1, 1971 shall be as follows:
    - (a) Voluntary upon the completion of one year of service for those employees who have not attained age 30.
    - (b) Mandatory upon the completion of one year of service and the attainment of age 30, with participation to become effective on the next following salary review date. Employee may participate voluntarily upon completion of service and age requirement described.
- III. Contributions. Contributions to the Group II classified employees retirement plan shall be made in accordance with the following schedule:

Contributions as a Per Cent of Earnings\*

<u>For the Fiscal Year</u>	<u>By the Participant</u>	<u>By the University</u>	<u>Total</u>
1971-72	0.5%	1%	1.5%
1972-73	1.2	2	3.2
1973-74	1.9	3	4.9
1974-75	2.6	4	6.6
1975-76	3.3	5	8.3
1976 and thereafter	4.0	6	10.0

\*For purposes of determining contributions, earnings mean basic pay rate, exclusive of overtime and other forms of additional compensation.

The University of Kentucky shall forward both the employee's and University contributions to Teachers Insurance and Annuity Association for the purchase of retirement benefits for the participant, beginning the first of the month following the effective date of the individual's participation. Such contributions will be applied as follows:

- (a) Prior to the date on which University contributions vest-100% of the contributions made by the employee and by the University will be applied as premiums for a TIAA retirement annuity contract on the participant's life.
- (b) After the date on which University contributions vest-at the election of the participant, either 100 per cent, 75 per cent, 50 per cent or 25 per cent of such combined sum of future contributions will be applied to the participant's TIAA annuity contract and the balance, if any, as a premium for a CREF retirement annuity certificate on the participant's life.

Employee contributions will be applied to an annuity which shall be fully vested in (owned by) the individual participant: University contributions will be applied to an annuity, the rights and benefits to which shall be owned by the University until such time as the annuity becomes vested in the participant in accordance with Section IV. All annuities will be for the sole purpose of providing a retirement and/or death benefit.

IV. Vesting of Annuities Purchased by University Contributions for Group II Classified Employees. Annuities purchased by University contributions shall vest and become the property of the individual participant as follows:

- (a) If the employee was employed prior to July 1, 1971:  
upon the completion of five (5) years of continuous University service and the attainment of age 30 or upon five years of continuous participation in this plan, whichever occurs first. Only years of continuous service immediately prior to July 1, 1971 are to be included.

- (b) If employed on or after July 1, 1971:  
upon the completion of five years of continuous participation in the plan.

V. Leave of Absence. During leave of absence on part pay, the University will continue contributions as provided for in Section III at the same rate and amount as was in effect during the last monthly pay period of the individual prior to his leave of absence if the Group II participant elects to continue his own contributions in a like manner.

VI. Termination of Service. If a Group II classified participant terminates employment before vesting of the annuities purchased by University contributions, as set forth in Section IV, the participant may, in lieu of accepting his annuity in full satisfaction of his rights under this plan, elect to receive an amount equal to the greater of:

- (1) the sum of all his contributions with interest accrued at the guaranteed interest rate in effect for TIAA annuities at the time of termination or
- (2) the accumulated value of all his contributions less any TIAA charges.

If a Group II classified participant terminates employment following the period required for the annuities to vest (become his property) he retains his right to all benefits which have been purchased by his own and the University of Kentucky's contributions. Contributions may be continued by another educational institution, or the participant may continue to contribute to his annuity on his own any amount but not less than an annual premium of \$50 to TIAA or \$100 to TIAA-CREF. If contributions are not continued, the full value of his accumulation remains to his credit, continues to participate in TIAA-CREF earnings, and will provide annuity income beginning at whatever age he selects. TIAA-CREF annuities do not provide for loans or cash surrender. However, under current practice, TIAA-CREF will, upon termination of employment, repurchase (buy back) annuity contracts provided that all of the following conditions are met:

- (1) the participant has been a TIAA or TIAA-CREF annuity owner for five years or less, and
- (2) he is not transferring to another institution which participates in the TIAA-CREF system, and
- (3) all educational institutions that contributed any part of the premium give their consent.

If repurchased, the annuities are cancelled and that portion of the net accumulated value attributable to the University's contributions will be returned to the University by TIAA-CREF; the balance will be returned to the terminating employee.



- VII. Death Benefits. In the event of death prior to the commencement of annuity benefits, whether or not the required period for vesting has been completed, an income will be paid by TIAA-CREF to the participant's beneficiary. The amount of income will be based on the then current value of the accumulation in the annuities purchased by both the participant's and the University's contributions, in accordance with the income option elected by either the participant or, if he made no prior election, by his beneficiary. If the beneficiary is a corporation, association or the participant's estate, a single sum will be paid. With the University's consent, and under current TIAA practice, a single sum may be paid to the participant's spouse.
- VIII. Retirement Benefits. Each Group II classified participant in TIAA will receive from TIAA a guaranteed, fixed monthly income for life which shall be the actuarial equivalent of the full value of his annuity accumulation at the time of his retirement. Each participant in CREF will also receive from CREF each month for life a guaranteed number of CREF annuity units, the dollar value of which will change from year to year reflecting primarily changes in the market prices and dividends of the common stocks owned by CREF.
- IX. Supplemental Retirement Income. For all Group II classified employees who:
- (a) were employed prior to July 1, 1971, (and currently employed as of that date) and
  - (b) have attained age 40 by July 1, 1971, and
  - (c) have 15 years of consecutive service at the date of retirement (normally age 65) the University will provide a supplemental retirement income during the lifetime of the staff member, where necessary, to assure a minimum benefit under the plan equal to twenty (20) per cent of the salary received by him at the time of retirement, plus one (1) per cent of that salary for each year of service at the University.
  - (d) for those persons employed prior to July 1, 1964, (and have been continuously employed since that date) refer to Section XI and XII of the Retirement Resolution as currently amended for information as to computation of supplemental retirement benefits.

In determining if the minimum benefit has been met it will be presumed that the funded benefit will be the TIAA Single Life Annuity payable at age 65 from the then existing accumulations, attributable to employee and University contributions made in accordance with this plan, and that the CREF accumulation, if any, will be deposited in TIAA at age 65, regardless of the option actually elected by the participant.

- X. While it is expected that this plan will continue indefinitely, the University of Kentucky reserves the right to change the contribution rates or at any time modify the plan in any way that is not in conflict with the participant's accrued contractual rights.