

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
March 7, 2006
18 th Floor Patterson Office Tower**

Roll Call

Approval of Minutes - (Consent)

Minutes – January 24, 2006

President's Report and Action Items

- PR 1 President's Report to the Trustees
College of Arts and Sciences Report – Dean Steven Hoch
- PR 2 Personnel Actions (Consent)
- PR 3 Proposed Amendment to the Governing Regulations
(Student Affairs Section)
- PR 4 University Research Professorships

Academic Affairs Committee Report

- AACR 1 Establishment of the Louie B. Nunn Center for Oral History

Finance Committee Report

- FCR 1 Ashland Inc. Gift (Consent)
- FCR 2 Estate of Jean T. Barnhart Gift (Consent)
- FCR 3 Earl F. and Jean M. Lockwood Gift (Consent)
- FCR 4 Gifts and Pledges to the Joy Bale and George Street Boone Symposium in the Humanities Fund (Consent)
- FCR 5 Gifts and Pledges to the Bell Alcohol and Addictions Chair (Consent)
- FCR 6 Gifts and Pledges to the Clarke-Knapp Entomology Research Fund (Consent)
- FCR 7 Gifts and Pledges to the John R. Gaines Endowed Chair in the Humanities Fund (Consent)
- FCR 8 Gifts and Pledge to the Kevin Heidrich /Team 7 Endowment for ALS Patient Services (Consent)
- FCR 9 Gifts and Pledges to the Bobby C. Pass Endowed Professorship (Consent)
- FCR 10 Gifts to the Werner F. S. Schmitt Professorship in Aging and Neuro -behavior (Consent)
- FCR 11 Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 2005
- FCR 12 Establishment of Chandler Medical Center University Hospital Quasi-

- Endowment Fund
- FCR 13 Amendment to the University of Kentucky Woman's Club Fund Endowment Agreement
- FCR 14 Proposed 2006-07 Tuition and Mandatory Registration Fee Schedules
- FCR 15 2006-07 Room and Board Rates
- FCR 16 Patent Assignment Report
- FCR 17 Capital Construction Report

Human Resources Committee Report

- HRCR 1 Amendment to 401(a) Qualified Pension Plan

Investment Committee Report

Student Affairs Committee Report

University Hospital Committee Report

- UHCR 1 Center on Aging Foundation, Inc. ("Corporation") Amendment to Articles of Incorporation and Bylaws; Approval of Board Appointments

University Relations Committee Report

Other Business

Adjourn

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, March 7, 2006.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 7, 2006, in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Mr. James Hardymon, Chair, called the meeting to order at 1:00 p.m. and asked the secretary of the Board, Ms. Pam May, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mira Ball, Stephen Branscum, Penny Brown, Dermontti Dawson, Jeff Dembo, Marianne Smith Edge, Rebecca A. Ellingsworth, Ann Haney, James Hardymon (Chair), Pamela May, Billy Joe Miles, Roy Moore, Phillip Patton, Frank Shoop, Myra Leigh Tobin, JoEtta Wickliffe, Billy Wilcoxson, and Russ Williams. Absent from the meeting were Steven Reed and Barbara Young. The university administration was represented by President Lee T. Todd, Jr., Interim Provost Scott Smith, Executive Vice President for Finance and Administration Frank Butler, and General Counsel Barbara W. Jones.

Members of the various news media were also in attendance.

Mr. Hardymon announced that a quorum was present. He declared the meeting officially open for the conduct of business at 1:02 p.m. and asked the Board to refer to their agenda.

C. Consent Items

Mr. Hardymon called attention to the consent items on the agenda: Minutes of the January 24, 2006 meeting, PR 2 - Personnel Actions, and FCRs 1 through 10 which involve some very welcome gifts and pledges. He asked for a motion for approval of the consent items. Mr. Branscum moved approval. Ms. Smith Edge seconded his motion, and it carried without dissent. The following are consent items: (See PR 2 and FCRs 1 through 10 at the end of the Minutes.)

Minutes – January 24, 2006

PR 2 Personnel Action

FCR 1 Ashland Inc. Gift (Consent)

FCR 2 Estate of Jean T. Barnhart Gift (Consent)

FCR 3 Earl F. and Jean M. Lockwood Gift (Consent)

FCR 4 Gifts and Pledges to the Joy Bale and George Street Boone Symposium
in the Humanities Fund (Consent)

FCR 5 Gifts and Pledges to the Bell Alcohol and Addictions Chair (Consent)

- FCR 6 Gifts and Pledges to the Clarke-Knapp Entomology Research Fund (Consent)
- FCR 7 Gifts and Pledges to the John R. Gaines Endowed Chair in the Humanities Fund (Consent)
- FCR 8 Gifts and Pledge to the Kevin Heidrich/Team 7 Endowment for ALS Patient Services (Consent)
- FCR 9 Gifts and Pledges to the Bobby C. Pass Endowed Professorship (Consent)
- FCR 10 Gifts to the Werner F. S. Schmitt Professorship in Aging and Neuro-behavior (Consent)

President Todd recognized Ralph Derickson, who is listed in the retirement section in PR 2 of the Personnel Actions and commented on his service to the university. Mr. Derickson has been at the University of Kentucky for 25 years and has covered the Board meetings for much of that time. He was the director of the UK News Bureau for 13 years and was a public relations officer for the Lexington Campus Chancellor's Office for six years.

President Todd said he was sure that Mr. Derickson and his wife, Betty, were looking forward to having some time with the grandchildren. He asked Mr. Derickson to stand and be recognized following which Mr. Derickson received a round of applause. President Todd thanked Mr. Derickson for his service to the university.

Dr. Moore said he wanted to mention briefly that Dr. Loys Mather and his wife, Sonja, are also in the retirement section of PR 2. He said that there was no finer person, anyone more committed to the institution, or anyone who has served the institution as well as Dr. Mather. In addition to his role as a faculty member, he served as chair of the Senate Council and as a member of the Board of Trustees for three terms. Dr. Mather will leave a big void at the university. He enjoyed himself very much while he was at the university and made many contributions.

Dr. Dembo said that Sonja Mather also was an integral member of the academic community. According to Dr. David Bettez, the director of International Affairs, Mrs. Mather served as the bedrock upon which all of the international affairs kept its foundation for 18 years through four directors who relied on her heavily, not just to crunch the numbers but also to do the right thing. She and Dr. Mather both work very closely to befriend international students who come to the University of Kentucky. She will also be missed.

Dr. Moore noted that Dr. and Mrs. Mather's combined service to the university totals about 64 years, a long time for two people to be at the university.

Mr. Hardymon said that some of the members around the board table were on the Board when Dr. Mather was a member of the Board.

President Todd thanked Drs. Moore and Dembo for calling attention to the retirement of these two distinguished members of UK's organization.

D. President's Report to the Trustees (PR 1)

President Todd called attention to the following items in PR 1:

The UK College of Pharmacy is collaborating with Norton Healthcare. Norton Healthcare pledged \$1.15 million for UK to extend pharmacy outreach, and UK's fourth-year pharmacy students can now have experience in Louisville. For some time, money has been requested from the Council on Postsecondary Education (CPE) to disseminate the pharmacy capabilities in order to increase enrollment. UK has not been able to get that CPE money, but Norton Healthcare has taken the initiative of funding the project.

The Gaines Center for the Humanities received a \$220,000 matching grant from the National Endowment for the Humanities. This grant is to be matched four-to-one by private fundraising over a four-year period. President Todd noted that there were only three of those grants awarded: one was to UK, one was to Swarthmore College, and one was to Cornell University. He noted that UK is in good company.

The School of Management has been ranked among the Top 20 in the world for research productivity in 2005. President Todd repeated one of his previous comments: You get to Top 20 status one program at a time and one department at a time. He expressed appreciation for the accomplishment of the Gatton College's School of Management.

The UK students had a dance marathon, DanceBlue, and raised \$123,323 for the Pediatric Oncology Clinic. This is the first time that UK has undertaken this type of program. The program started at Kent State. Penn State raises millions a year now with the program. Several UK students say it was the most uplifting event they have ever been involved with at the university. This is a program that will become an annual event and a real tradition for campus.

The Kentucky Institute of Medicine has received a grant for \$214,500 from the Foundation for a Healthy Kentucky Inc. This grant is to study health issues and prepare a comprehensive report documenting those issues.

Governor Ernie Fletcher announced \$170,000 in grants to invest in Kentucky's coal workforce, including \$50,000 in scholarships for 30 mining engineering students at the UK College of Engineering. The Governor has helped UK in his budget, and the House has left the mining scholarships in the budget. President Todd pointed out that UK has been losing students to West Virginia and other states that were more aggressive in this area. Through fundraising, UK also has received quite a bit of money from private industries.

Dean Steven Hoch, College of Arts and Sciences, recently secured funding for UK's first endowed chair in Catholic Studies; this was a major gift. He has also been able to get an endowed chair in Judaic Studies, but that one has not been announced yet.

President Todd said that there were many other good things in PR 1 for the Board to review.

Mr. Hardymon called attention to the six journalists named to the Kentucky Journalism Hall of Fame. While all six are great people who deserve the honor, he pointed out one recipient, Bob White, who was his roommate at UK. Mr. White retired as "Mr. High School Sports." He knew what he wanted to do from the first day he came to the University of Kentucky. His nickname throughout school was Scoop White. Mr. Hardymon said that he was so pleased to see that Bob White has been rewarded for his efforts.

E. University Research Professorships (PR 4)

President Todd said that he would like to recognize the recipients of the University Research Professorships who were present: Michael Bardo, Department of Psychology; Alan Daugherty, Division of Cardiovascular Medicine, Gill Heart Institute; and Jonathan Phillips, Department of Geography. Another recipient, Daret St. Clair, Graduate Center for Toxicology, was out of the country and could not attend the meeting.

President Todd said that this award began in 1976 to recognize the most productive and accomplished researchers on campus and to give them some time to invest in their research field to their own professional betterment and to the betterment of the university as a whole. They do a tremendous job and are highly respected by their peers. If you look at the list of research professors at the university, there are some very top scholars that any university around the world would be proud to have. President Todd said that he is very proud of the professors and wished them a good year. The Board gave the recipients a round of applause.

Mr. Hardymon asked for a motion of approval. The motion made by Dr. Moore and seconded by Dr. Dembo carried. PR 4 was approved without dissent. (See PR 4 at the end of the Minutes.)

F. Proposed Amendment to the Governing Regulations (PR 3)

President Todd said that he had skipped PR 3 because he thought it was going to be addressed in the Student Affairs Committee report. He asked Mr. Hardymon if he wanted him to present PR 3 at this time or handle it under the Student Affairs Committee report.

Mr. Hardymon said that it could be presented at this time and suggested that Ms. Smith Edge, Chair of the Student Affairs Committee, discuss the recommendation.

Ms. Smith Edge said that PR 3 is a proposed amendment to the Governing Regulations. The attached amendment to the Student Affairs section of the university's Governing Regulations (GR XI) was received for preliminary consideration as PR 5 by the Board of Trustees on January 24, 2006.

She said that it was the recommendation by the majority of the vote of the Student Affairs Committee to approve these changes in the Governing Regulations. The amendment was discussed in detail at the committee's meetings. One of the biggest changes involved the University Appeals Board and the special jurisdiction over student matters. Ms. Smith Edge moved approval of the proposed amendment to the Governing Regulations as presented in January.

Mr. Hardymon asked for any questions or comments.

Ms. Ellingsworth said that she thought she made her argument clear at the last Board meeting, but she wanted to say again that she is strongly opposed to this amendment. In her opinion, the amendment still has the potential to give the university, as Dr. Dembo stated at the last meeting, a black eye. She said that she would be voting against the amendment.

Ms. Smith Edge moved approval of PR 3. Her motion was seconded by Mr. Williams and carried. Ms. Ellingsworth voted against the approval of PR 3. (See PR 3 at the end of the Minutes.)

G. College of Arts and Sciences Report

President Todd introduced Dean Steven Hoch and asked him to give his presentation about the College of Arts and Sciences.

Dean Hoch thanked the members of the Board of Trustees for the opportunity to update them on the College of Arts and Sciences. He opened his presentation by reiterating the college's sincere commitment to the university's Top 20 Business Plan and said that his presentation would focus on how the college is working to meet the Top 20 Business Plan's measures of progress.

Undergraduate and Graduate Education. Dean Hoch presented a table to the Board members that demonstrated that the College of Arts and Sciences leads the university in the generation of total undergraduate student credit hours. Almost all of the university's recent enrollment growth has taken place in the college. For example, the university has increased its freshman enrollment by 38 percent (from fall 2000 to fall 2005), yet during the same time period, the College of Arts and Sciences has increased its freshman enrollment by 61 percent. The College of Arts and Sciences also has more undergraduate student majors than any other university college and has absorbed the

majority of the recent growth. For example, since fall 2000 UK has increased the number of its undergraduate majors by 11 percent, and the college has increased its undergraduate majors by 40 percent. Currently, the college has more than twice as many majors as any other university college.

Dean Hoch also highlighted a new on-line advising program the college has recently implemented. Dubbed APEX (Academic Program Evaluation and eXploration), this system allows students and faculty alike to compare remaining requirements with completed courses. It helps erase all the technical aspects of advising so that faculty advisors can spend the bulk of their time with students discussing other issues such as academic progress, career opportunities and plans, and potential problems. The college is the first unit on campus and the nation to implement this system.

Dean Hoch also discussed the myriad of talent the college has in its undergraduate and graduate student population. He introduced two of these superior students to the Board: Monica Hobson and Natalie Schick. Ms. Hobson is a Robinson scholar from eastern Kentucky. She is a senior political science major who has maintained a 4.0 grade point average and has been accepted at every law school to which she has applied. Natalie Schick is an Air Force ROTC Cadet from Illinois. She is a senior sociology major who has also maintained a 4.0 grade point average. Ms. Schick was awarded the 2005 Air Force Association Cadet of the Year Award, which is given to only one student throughout the entire country.

Dean Hoch described how the college is responsible for educating the Commonwealth and the nation's economic, social, professional, and political leaders. In fact 20.0 percent, 16.3 percent, and 13.4 percent of UK's incoming medical, dental, and law students are Arts and Sciences alumni, respectively.

He also highlighted several notable alumni of the college, including the Honorable Phillip Patton, current UK Board of Trustee member.

Dean Hoch demonstrated how the college leads the university in graduate education as well. The college grants more doctorates than any other university college (41 percent of the university total), which is a Top 20 Measure of Progress. Similarly, the college ranks two among all university colleges in the number of post-doctoral scholars, again a Top 20 Measure of Progress.

Faculty. Dean Hoch reported that the college has 333 FTE faculty members, who are leaders in their chosen fields. He showed Board members an array of recent faculty publications and reported that Professor Gary Ferland (Physics and Astronomy) is the most widely-cited faculty member in the university (a Top 20 Measure of Progress). Similarly, the dean showed the Board members an array of granting agency and foundation logos to represent all the units that have formally recognized Arts and Sciences faculty members in the past year.

Research. Dean Hoch also reported on the college's role in fulfilling the Top 20 mission in regards to research. The college is responsible for \$45.0 million in collaborative external funds and \$20.5 million in primary external funds. The college ranks second among all university colleges for indirect costs (IDC), and the college houses the top university department for collaborative funds per faculty member (Statistics at \$1.5 million per faculty) and the second ranked university department for intellectual property disclosures (IPD) (Chemistry).

Dean Hoch then highlighted the work of three individual faculty members to illustrate the cutting edge research taking place in the college. Professor Dan Smith (History) was recently nominated for an Emmy for his documentary *February One*, which premiered nationally on PBS. His documentary tells the historical story of the Greensboro Four. Professor Randall Voss (Biology) was highlighted for his research on salamanders and environmental toxicity, which has direct scientific and medical implications for such ailments as spinal cord injuries. Finally, Gill Eminent Professor Sylvia Daunert (Chemistry) was acknowledged for her research on the smart pill, a responsive drug delivery system with major implications for the treatment of diabetes.

He also reported on four recent research grants that help to serve the Commonwealth, specifically students from Appalachia. All involved K-12 preparation and all are over a \$1 million dollars.

Development. Dean Hoch described the substantial investment the college has made in development and fund raising. Since his arrival in 2003 the college has hired three additional development officers/staff. He mentioned four recent gifts made to the college: the Cottrill Rolfes Chair in Catholic Studies (\$1 million), the Zantker Foundation Partnership in Jewish History (\$500,000), the Gabbard Endowed Scholarship (\$340,000), and the Guy Davenport Professorship in English (\$100,000).

Dean Hoch closed his comments by reiterating his appreciation to the Board for the opportunity to make his presentation and said he would be pleased to take any questions.

Dr. Dembo said that the College of Arts and Sciences is different and special because of the many things that it does. He asked Dean Hoch if the college was treated differentially when it comes to budget and resources.

Dean Hoch said the college was certainly spared some of the budget cuts two years ago. It was very much appreciated because it was at a time when the number of students was going up, and this increase impacted the College of Arts and Sciences more than any other college. He pointed out the three biggest challenges for the college:

1. The size of the faculty, which hopefully the Top 20 Business Plan will address.
2. Faculty salaries, which is another key issue for everyone.
3. Facilities.

In that sense, the college is not different from any of the other colleges except that virtually all the students that come through the university at the undergraduate level and many at the graduate level are impacted by how the College of Arts and Sciences is able to carry out its mission.

President Todd said there were a couple of things that Dean Hoch does that really please him. When faculty members in the College of Arts and Sciences publish a new book, Dean Hoch blows up the image of the book and displays it at the Intermezzo Café to visualize people's success. The college also had an internal arts and sciences function recently in the Lexmark room that was a lot of fun. The faculty had a delightful afternoon, and the publications, books, journal articles, and other monographs that had been generated this year were exhibited around the room. Dean Hoch puts a real emphasis on trying to celebrate faculty accomplishments. President Todd thanked Dean Hoch for bringing the two students to the meeting and for his good presentation. Dean Hoch received a round of applause.

H. Academic Affairs Committee Report

Myra Tobin, Chair of the Academic Affairs Committee, said that AACR 1 is the recommendation that the Board of Trustees approve the establishment of the Louie B. Nunn Center for Oral History in the University of Kentucky Libraries, effective immediately. By way of background, over the past 30 years the University of Kentucky has sought to build one of the finest and most thorough oral history collections for modern political and public policy research in the United States. Oral history projects have documented important public policy issues in Kentucky. The proposed Louie B. Nunn Center for Oral History will be an integral part of the University of Kentucky Libraries under the administration of the Dean of Libraries. The establishment of the Louie B. Nunn Center for Oral History recognizes and honors the late Governor Nunn and his life-long devotion to the betterment of Kentucky and its people. Ms. Tobin moved the adoption of the recommendation to establish the Louie B. Nunn Center for Oral History. Dr. Moore seconded the motion, and it carried without dissent. (See AACR 1 at the end of the Minutes.)

Ms. Tobin reported that the committee had some discussion about the issue of diversity. The committee heard information from four speakers. The speakers talked about some of the legal aspects, the emphasis on enrollment currently being done, multicultural affairs, and institutional equity. These are things that will be further discussed over time as needed to get a better understanding of what the university is doing in these areas.

I. Finance Committee Report

Ms. Wickliffe, Chair of the Finance Committee, reported that FCRs 1 through 10 were consent items that involve gifts and pledges. The gifts total \$1,163,263 with pledges being \$441,149 for a total of \$1,604,412, all of which are eligible to be matched

by the state. With these gifts, we have matched \$30 million of the \$66.67 million available as part of the Endowment Match Program.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 2005 (FCR 11)

Ms. Wickliffe said that FCR 11 is the acceptance of the Interim Financial Report for the university for the first six months ending December 31, 2005.

- Balance sheet assets were \$2.6 billion which is an increase of \$282 million or 12 percent since December 2004.
- Cash is at \$549 million, an increase of \$141 million or 35 percent. The large change is due to the increase in plant fund cash of \$95 million primarily as a result of the issuance of general receipts Series A notes for the patient care facility.
- Investments total \$828 million, an increase of \$61 million or 8 percent. The majority of the change is from an increase of \$30 million in the endowments' fair market value, along with principal additions of \$15 million.
- Liabilities were \$676 million, an increase of \$124 million or 23 percent from December 2004.
- Fund balances were \$1.8 billion, an increase of \$133 million or 8 percent over December 2004.
- Endowment funds totaled \$581 million, an increase of \$46 million or 8 percent since December 2004.
- As of December 31, 2005, revenues are at 53 percent and expenditures are at 47 percent of the \$1.7 billion budgeted amount.
- Net deferred revenues, which are revenues less expenditures, equal \$110 million, providing excellent cash flow and financial position for the university.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 11.

Mr. Hardymon noted that assets have grown \$282 million and the liabilities only \$124 million. He pointed out that the net number is the one to watch, and it is certainly very positive. He called for a second to the motion that had been made by Ms. Wickliffe. Mr. Shoop seconded the motion, and it carried without dissent. (See FCR 11 at the end of the Minutes.)

K. Establishment of Chandler Medical Center University Hospital Quasi-Endowment Fund (FCR 12)

Ms. Wickliffe said that FCR 12 is the establishment of the Chandler Medical Center University Hospital Quasi-Endowment Fund. The UK HealthCare Administration intends to maintain a minimum of at least \$200 million in cash balances and general reserves. The establishment of this quasi-endowment fund, by transferring up to \$150 million of the reserves, will provide higher investment returns than shorter-term fixed-income investments.

Kentucky's Secretary of the Finance and Administration Cabinet, Robbie Rudolph, has approved the request to invest University Hospital funds in the University of Kentucky Endowment Investment Pool. The Finance Committee certainly appreciates the approval of the Governor and his staff.

The return on UK's endowment last year was 7.8 percent. The state's return was 2.7 percent. This will give more money for the hospital project. On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 12. Mr. Branscum seconded her motion, and it passed without dissent. (See FCR 12 at the end of the Minutes.)

President Todd said that he had met with Secretary Rudolph and his staff last month. One reason that the University of Kentucky is gaining some financial flexibility from Governor Fletcher's administration is because of the admiration that Secretary Rudolph and his staff have of the sophistication of the university's treasury and finance function. UK's Treasurer Henry Clay Owen has a lot to do with that. Marc Mathews, Controller, is under his tutelage and has done an exceptional job, as has their entire staff. President Todd said that this is no small accomplishment, and he thanked them.

L. Amendments to the University of Kentucky Woman's Club Fund Endowment Agreement (FCR13)

Ms. Wickliffe said that FCR 13 is Amendments to the University of Kentucky Woman's Club Fund Endowment Agreement. These amendments were requested by the Woman's Club, the Graduate School, and the university's legal counsel to change the selection criteria for the endowed fellowships. The amendments include:

1. Adding a non-voting representative from the UK Woman's Club to the selection committee.
2. Changing the criteria from a specified GPA to passing the qualifying exam for graduate school.
3. Adding appropriate diversity language which would permit any student to be considered for the awards.

Ms. Wickliffe noted that legal counsel has worked on this agreement for some time. She thanked Barbara Jones for getting the agreement together. On behalf of the Finance Committee, she moved the adoption of FCR 13. Ms. Haney seconded her motion, and it carried without dissent. (See FCR 13 at the end of the Minutes.)

M. Proposed 2006-07 Tuition and Mandatory Registration Fee Schedules (FCR 14)

Ms. Wickliffe said that FCR 14 is Proposed 2006-07 Tuition and Mandatory Registration Fee Schedules. She asked President Todd to give his PowerPoint presentation on the recommendation.

President Todd began by stating that this is the time of year when tuition rates for the next year need to be set. UK typically sets these rates earlier than other universities because UK competes with a lot of out-of-state institutions in recruiting, and award letters need to be sent out to students as early as possible.

President Todd said he would be discussing how tuition ties into the Top 20 Business Plan. He reported that it had taken a year and a half to prepare the plan and put it in place. One objective of the plan is to make it very evident to people across the state that having a Top 20 institution does make a difference. Some important measures that identify the quality of life in a given state include median household income, percent of population on Medicaid, population below the poverty level, and population with bachelor's degrees or higher. If you look at states that have Top 20 universities, those states are above the national average when it is good to be so. Where it is good to be below the national average, they are below it. The Commonwealth of Kentucky is exactly the opposite.

One example is the percent of population on Medicaid. As everyone knows, an educated population is much healthier. If Kentucky could get the percent of its population on Medicaid down to 14 percent such as the Top 20 states, it would save the state of Kentucky \$850 million. That is one of the reasons it matters to have a Top 20 institution. The other reason is that it will help build an economy that will be innovative, creative, and able to create sustainable employment.

President Todd took a moment to brag on some of the accomplishments that UK has attained since House Bill 1 was passed in 1997.

- There has been a 10 percent increase in enrollment. He pointed out that UK has had an increase in students while it has not been able to afford an increase in the number of faculty.
- The graduation rate is up 24 percent.
- Research expenditures have increased from \$125 million to almost \$300 million.

- The endowment has increased from almost \$200 million to almost \$600 million.
- The number of endowed chairs has more than tripled.

However, there are only 14 years left to do what is necessary to get to Top 20 status. While these targets have been put in place for 2020, an intermediate measurement period of 2012 has been put in place in order to gauge UK's progress between now and 2020.

Previously, it has been said that UK should enroll 6,200 more students. The plan that has been put in place is going to hold student enrollment for the freshman class constant for the next two years. The number of faculty needs to increase by 625. The plan is to add 27 new faculty members per year.

President Todd reviewed the growth targets for 2020:

Undergraduates

One of the most significant parameters to be measured in the quality of undergraduate education is the student-faculty ratio.

Graduates, First Professional and Postdoctoral Appointments

Research Expenditures

The target is to get the research expenditures up to approximately \$800 million by 2020.

Bachelor's Degree Granted

The number of bachelor's degrees granted should almost double by 2020. That is what Kentucky needs to do, get to the median number of bachelor's degree candidates in the workforce compared to the national average.

Doctorates Granted

The number of doctoral degrees granted should increase by 70 percent by 2020.

He said that the four underlying Top 20 goals are:

Student Enrollment Growth and Increased Quality
Competitive Employee Salaries and Benefits
Increased Research Expenditures
Strategic Investments

President Todd displayed maps of Kentucky and pointed out that UK has students coming from every county in the state. He compared UK's enrollment by county to other Kentucky public universities and noted that UK has increased enrollments from 32 of Kentucky's 55 Appalachian counties and from 19 of the 29 counties that the Council on

Postsecondary Education lists as “underserved.” He emphasized that he is mindful with increases in tuition and the strategic use of financial resources to improve scholarships so that UK can continue to enroll students from all counties across the state.

President Todd said that one of the targets set in his first Strategic Plan was to get faculty salaries to 90 percent of the median of UK’s benchmarks. UK is currently at 89.1 percent. When the Top 20 plan was written, the target was reset. Instead of being at 90 percent of the median, the goal was changed to 100 percent of the median by 2012. He noted that UK has overtaken Purdue University and that improvement has been made in the last year or so.

In the research area, UK has made tremendous gains, going from \$62 million in Federal Research Expenditures to \$100 million since House Bill 1 was passed in 1997 to the last time that national data were available in 2002. While UK’s research expenditures increased \$40 million, the 20th position increased over \$60 million. While UK is making substantial increases, the competition is moving rapidly as well.

President Todd talked about the Top 20 challenges:

- State Budget Environment
- Kentucky Population Trends
- Affordability
- Federal Research Budget

The challenge is basically the budget for the state. Medicaid is absorbing state budgets all across the nation. It is not just a Kentucky issue. The Corrections Department’s budgets are increasing. K-12 is more demanding with increases in teacher salaries and healthcare. Without additional revenues, there are challenges in the budget process.

Population trends are such that there will not be an explosion of high school graduates applying for admission. The population of high school graduates may go up slightly; however, it will go down after that and then back up. Population has a ripple effect right now. UK is already seeing some of that impact this year. If you talk about accountability, the institutions should work with K-12 to get students in the 7th and 8th grades who are not planning to go to college to start thinking about it and actually enrolling. The real challenge is to enroll the Kentucky students who are not planning to go to college. That is something that this institution has to commit to in order to meet the enrollment goals.

In regard to the federal research budget, the National Institutes of Health (NIH) and the National Science Foundation are not overflowing with funds as they were in past years. Previously, the NIH budget doubled over a four- or five-year period, and that has ceased. The competition is such that some grants already awarded are actually being reduced. The award levels for new grants being submitted are not being covered because

there are not enough resources. This inadequacy of funding exists for a lot of outstanding awards as well. There are some challenges in moving forward in this area.

President Todd referred to a slide regarding the current status of House Bill 380 (the budget bill currently being considered by the Kentucky Legislature) and reviewed various items.

The benchmark funding model would give UK about \$4 million in 2006-07. There is some money in the House Bill for agriculture extension and \$1 million in research support. There are some other programs that the Governor put in his budget and the House supported, giving UK a total of approximately \$8 million more funds in 2006-07. But, over \$3 million is earmarked for specific programs.

President Todd showed a slide for the second year of the biennium and expressed a wish that the legislature pass a two-year budget this time. Unfortunately, a two-year budget has never happened since he took office in 2001, and every spring for the last five years the administration has been working on a budget.

The House put \$9 million in the 2007-08 budget for UK's Top 20 Business Plan. This is a credit to a lot of work and education that has been done with the Governor and legislators over the last few months.

Benchmark funding would also increase by about \$5 million. There is another \$1 million for research support. The Kentucky Geological Survey Center has some money in the bill as well as a few other special programs. Thus, the House recommended \$15 million of new money in the second year. It is a much stronger budget for UK in the second year.

In capital projects, UK has been given the full appropriation for the pharmacy building, receiving \$40 million in bonds from the last session and \$80 million in bonds during this session to build a \$120 million pharmacy building. This is the most needed building in Kentucky for research. The full funding of this facility is a very significant accomplishment.

With the previous BBSRB research building that was built, the Council on Postsecondary Education required that UK pay 40 percent of the debt service. Every year for the next 20 years, UK is going to be paying \$2.3 million out of the indirect cost recovery for debt service on that building.

UK would receive \$13.5 million in state bonds for the Livestock Disease Diagnostic Center which meets a commitment that UK has to help the equine industry. The agency bonds authorization has been increased by the House to what is needed for the hospital project.

There is \$4 million for research support in lab renovations and equipment. The overall capital budget is very positive for the university.

President Todd displayed a chart from the business plan which compares state appropriations and tuition rate increases. The chart shows that it would take \$18 million of new state funds to keep tuition increases below double-digits. If UK had received that amount, tuition would have been increased by 9 percent. However, in the first year of this biennium, the number to be received is likely between \$4 and \$5 million. Thus, a 17 percent increase in tuition would be required to give pay increases, add faculty, and do some other things.

In the past, the Council on Postsecondary Education (CPE) set the tuition for all universities but decentralized that authority to the individual universities several years ago. CPE is now taking back control of setting tuition. They developed a matrix based on the amount of state appropriations received. If UK received less than a \$9 million increase from the state, UK could increase tuition by 15.1 percent. Should UK want to increase tuition by 17 percent, the university administration would have to appeal for the higher increase. UK has had double-digit tuition increases for the past three years and would like to get out of that trend. With the current funding, it is not possible. Not wanting to increase tuition to 15 percent, a decision was made by UK to propose a 12 percent increase.

President Todd talked about the university's preliminary financial needs. UK will lose approximately \$2 million of service assessment revenue. UK also needs \$30.9 million to fund increasing costs, including \$19.5 million for employee compensation and health benefits. In 2001, UK was only covering about 32 percent of the family health plan. For the last several years, the percentage has moved up to 62 percent of the family plan. More money has been put into the health plan. The preliminary budget includes a 3 percent salary increase for faculty and staff, a 2.5 percent "catch-up" fund for faculty, and a \$5 million enhancement fund for staff. The allocation of the \$5 million fund for staff will be determined in a way to keep staff compensation competitive with the market place.

President Todd said that there are 27 new faculty lines included in the financial needs chart. He mentioned that the English Department had four vacancies this year, and those vacancies were not new positions. There were four openings in the department because of faculty retiring or leaving the university. There were 12 people interviewed for those four vacant positions. The new faculty members will bring a lot of energy into the department. The faculty can feel the intellectual value of adding more faculty. If the departments are told that they have some new faculty lines, which will be the first time in about five years, this will create some excitement. This is a very important expense that has a strong commitment from the administration.

President Todd pointed out that when tuition is increased, it also costs the university money with higher scholarship costs.

The \$3.5 million increased utility costs amounts to about a 2 percent faculty salary increase. If UK did not have a \$3.5 million increase in its coal bill this year, it would make a significant difference in the budget. The coal bill, however, has to be paid.

President Todd mentioned UK's debt service and some commitments that have been made for recruitment effort. Both admissions staff and recruiting staff have been increased in numbers in order to improve diversity at the university.

The preliminary financial needs of the university total \$32.8 million, \$30.9 million of additional expenses and the \$2 million revenue reduction.

President Todd commented on projected funding sources. There will be \$3 million of new money coming to the university this year from investments. And, the 12 percent tuition increase will provide about \$17.4 million. Including state appropriations, the total income is about \$26 million. Thus, the university will be between \$6 and \$7 million dollars short in its overall funding.

If UK increased tuition 17 percent, that would have generated another \$5 million which would have closed a lot of that gap. The administration chose not to recommend that increase to the Board.

One of the commitments in the Top 20 Business Plan is to cut an additional \$2 million of operating cost this coming year. Those options are being reviewed. Through development efforts, another \$1 million will be raised to offset some of the General Fund operating costs; however, where the remainder of that money comes from or if UK makes reductions in planned expenditures will have to be determined.

President Todd displayed a slide showing 2005-06 tuition and mandatory fees of UK and its 19 benchmark institutions. UK has the thirteenth lowest rate among its benchmarks. If you assume that the benchmark tuitions go up an average 7 percent and UK goes up 12 percent, UK will still be thirteenth in this particular category.

When you look at the public universities in the state of Kentucky, UK's tuition rate is the highest but not by much.

President Todd reported that *Kiplinger* selects the 100 best values in America in public higher education every two years. They look at the cost of books, housing, dining, and tuition, and the quality of education, and come up with an index. UK is the second cheapest of all the benchmarks. From a ranking perspective, UK is 23rd out of the 100 best deals. Minnesota, one of UK's benchmarks, did not make the top 100. This report shows that UK is still affordable.

Despite the tuition increase, parents should understand that support systems are in place, both from the federal government and from other sources. The Board could help the university by telling those parents to contact the financial aid office and talk to the

admissions staff before assuming their child cannot attend UK. Parents will be given every opportunity to understand where financial aid can be received.

There was a study performed by J. B. L. and Associates that CPE released last year. J. B. L. says that the colleges and universities in Kentucky remain affordable for most Kentuckian's, even taking into consideration Kentucky's low per capital income.

The proposed increase for the fall 2006 for resident, undergraduate tuition is 12 percent. Housing and dining rates will increase 7.5 percent. The total cost for resident tuition, mandatory fees, housing, and dining will increase about \$1,100 for the year. The housing and dining rates were discussed in detail at the Finance Committee.

President Todd concluded his presentation by offering to take any questions or comments from the Board.

Ms. Wickliffe moved the adoption of FCR 14, and Mr. Shoop seconded the motion.

Mr. Hardymon asked for any questions or comments.

Ms. Ellingsworth said that she knows this is a lower percentage increase than last year, but she represents the student body on this issue. With quite a few consecutive years of double-digit tuition hikes, it has taken its toll on the student body, and it is really a burden to a lot of people. She said that she had received a great deal of e-mail about the tuition increase. When the Board passed the Top 20 Business Plan, Mr. Reed made a very important comment. He said that the Board must keep in mind quality versus the price of education when looking at tuition increases. She said that the Board needs to try to achieve all of the goals, but it also needs to keep in mind that students are paying to go to the university. While increasing the price of an education, the quality needs to be continually increasing as well. She stated that she would be voting against FCR 14.

Ms. Haney said as a parent of a university student who will be signing a check for this tuition, she understands that the increase is necessary.

Ms. May said that she did not want anybody in the audience to be misled by the lack of questions from the Board. The briefings the Board received prior to this meeting certainly were full of questions for the President. There were many issues raised in those briefings that are not being mentioned at this meeting. It is not that the Board is sitting back and accepting what has been placed before it. The Board had a lot of very good in-depth questions. She asked the audience to please not interpret the Board's silence to mean that they are not all struggling with this issue. There are clearly conflicting goals here, and there are clearly a lot of feelings both ways.

The Board is very sympathetic to the plight of students. Most Board members have been in the students' position and understand what every additional \$100 means. Having been on the other side now, she knows the value of a University of Kentucky

degree when someone comes to her for employment, and she evaluates them against other candidates. Rest assured that the University of Kentucky degree does mean a great deal and will mean more in the future. As the Board holds the university in trust, it has to look at the future. Hopefully by making this vote today, it will be an investment in the future even though some will be somewhat unhappy in the short-term. She asked Ms. Ellingsworth to tell the other students to hang in there.

Mr. Hardymon called for a vote, and it passed. Ms. Ellingsworth voted against approving FCR 14. Mr. Hardymon said that he appreciated the Board's involvement and noted that the members of the Board give a lot of their time to the university. The Board did a better job getting involved earlier this year, and in the process, the Board became much more educated. (See FCR 14 at the end of the Minutes.)

Ms. Ball said that the Board understands that it needs to get the tuition and fee schedules out early.

N. 2006-07 Room and Board Rates (FCR 15)

Ms. Wickliffe said that FCR 15 is the proposed 2006-07 room and board rates. Mr. Ben Crutcher, Associate Vice President for Auxiliary Services, and Dr. Pat Terrell, Vice President for Student Affairs, gave a very comprehensive briefing on these rates in the Finance Committee. She said that she would try to summarize some of the things that they presented.

Housing rates are proposed to increase by \$124.50 per semester or 7.4 percent. The housing rates vary by facility, but overall, the increase is 7.4 percent. Dining rates are proposed to increase by \$66 per semester to \$949 or a 7.5 percent increase.

For four years there was no increase, but over the last 10 years, there has only been a 4.31 percent increase. There will be more locations for meals. There are more brands available to the students, and every plan will have access to the flex dollars.

Ms. Wickliffe said that benchmarking was done, and it was brought to the committee's attention that UK is the only university in Kentucky that does not outsource their dining operation. On behalf of the Finance Committee, she thanked Mr. Crutcher and Dr. Terrell for their report and moved the adoption of FCR 15. Ms. Ball seconded her motion.

Mr. Wilcoxson noted that the housing rate went up every place on campus except Greg Page. He asked for an explanation about Greg Page.

Mr. Crutcher reported that the university experienced an occupancy problem this current year at Greg Page. It was actually higher than the market price; therefore, the rate was lowered in order to get it more in line with the market.

Mr. Hardymon called for a vote. The vote passed; however, Ms. Ellingsworth voted against the approval of FCR 15. (See FCR 15 at the end of the Minutes.)

O. Patent Assignment Report (FCR 16)

Ms. Wickliffe said that FCR 16 is the Patent Assignment Report. This is the December 31, 2005 report which includes five patent assignments. Seven patent applications have been submitted, and nine patents have been issued. Patent income totals \$346,341. She referred to the report in the book and noted that the summary is very user friendly. On behalf of the Finance Committee, she moved the adoption of FCR 16. Ms. Smith Edge seconded the motion, and it carried without dissent. (See FCR 16 at the end of the Minutes.)

P. Capital Construction Report (FCR 17)

Ms. Wickliffe said that FCR 17 is the Capital Construction Report. She asked Mr. Bob Wiseman, Vice President for Facilities Management, to give a report to the Board since he had given such a good report in the Finance Committee meeting.

Mr. Wiseman said that this is a routine quarterly Capital Construction Report. It covers the period October 1, 2005 through December 31, 2005. The key points in the report are the three new projects that have been initiated in this quarterly report. All three were in the hospital.

1. The first is an upgrade of surgical services in the hospital, and an award was made of a contract to Stingle Hill Architects for \$324,000.
2. The patient care facility is the main hospital project. The university has now entered into the main and final design contract for the facility. This is a \$30 million design contract with GGBN Architects that should be added to the \$8,663,000 that was also reported. Total design costs are about \$39 million for the hospital project.
3. The last new project that was initiated was the Gilbane construction management contract for the hospital project. That was for \$1 million for pre-construction services. Gilbane will be paid in the future through the guaranteed maximum price on each piece of the project as it is implemented.

Two projects were completed. The Student Housing project was completed and opened last fall at a savings of about \$2.5 million over the budget that had been established. The second completed project was the renovation of the football practice field which was completed early last fall.

There was one contract amendment in the design for expansion of emergency services at the hospital.

Four change orders were covered. Three of those involved closeout change orders for rock removal and sodding synthetic infield services at the football practice field. The other change order involved a small drainage system at the rear of Parking Structure Number 6 in the amount of \$28,000.

Since this report is primarily historical, it would be good to highlight some prospective items. The Board will see in the next quarterly report that the Center for Pharmaceutical and Science Technology (CPST) building at Coldstream was substantially completed and turned over to the College of Pharmacy for installation of their equipment in January.

Parking Structure Number 7 was opened in January. This is a structure near the dormitory complex on the south end of campus. The Student Health building, which is a \$24 million project, is now well into final design. It will go out for construction bids this fall.

The Memorial Coliseum expansion is well under way. The project is a few weeks late, but completion of the main building, which is on the outside of Memorial Coliseum, is anticipated about Christmas 2006. The project renovation within Memorial Coliseum will be finished in the spring of 2007.

The Biological Pharmaceutical project is now in the early programming phase. Other buildings across the country are being reviewed, and the faculty will be taken on tours of those buildings.

Mr. Wiseman called attention to two final notes on page 7 of the report. They cover construction of the ARS building, the federal building that will be built on the south end of campus. He noted that a land lease to grant the federal government three acres next to the Plant Science building will be presented at the next Board meeting

He mentioned the hospital project and reported that the administration elected to proceed with two additional floors of the parking structure which is under construction. These additional floors will add about 500 more parking spaces in that area of campus. The funding for these floors comes out of a strategic contingency that was set up in the \$450 million project to make this type of decision.

On behalf of the Finance Committee, Ms. Wickliffe moved the adoption of FCR 17. Ms. Ball seconded the motion, and it carried without dissent. (See FCR 17 at the end of the Minutes.)

Mr. Hardyman asked Mr. Wiseman to update the Board as information becomes available. With the importance of the project and the size of the dollars, the update does not have to wait until the regular quarterly report.

Q. Human Resources Committee Report (HRCR 1)

Mr. Patton, Chair of the Human Resources Committee, reported that the committee met that morning and recommends the adoption of HRCR 1. This is a technical amendment to the 401(a) Qualified Pension Plan. This plan had been amended in October 2005 to make contributions beginning January 1, 2007 a pre-tax sheltered benefit. The plan presently reads that the January 2007 date is contingent upon receiving a favorable private letter ruling from the IRS. It is no longer believed necessary to receive this letter from the IRS to proceed. This technical amendment deletes that language and will enable the university to proceed as soon as practicable to implement this change. He moved the adoption of HRCR 1. His motion, seconded by Dr. Dembo, carried without dissent. (See HRCR 1 at the end of the Minutes.)

R. Investment Committee Report

Mr. Wilcoxson, Chair of the Investment Committee, reported that the Investment Committee met Monday afternoon at 1:30 p.m. to review the performance results of the Endowment.

The Endowment had a market value on January 31 of \$604.9 million. The pooled investments returned 3.2 percent in the month of January compared to the policy benchmark of 2.9 percent. Over the seven-month period for the fiscal year, the endowment pool had a 10.5 percent return compared to the policy benchmark return of 9.7 percent.

With the Board's approval of FCR 12 at this meeting, the Hospital quasi-endowment fund will be established, and \$150 million currently on deposit with the state treasurer will be transferred. The quasi-endowment will be invested in the university's endowment investment pool. This will result in a significant increase to the university's endowment. The \$150 million will be invested by the university's investment managers in accordance with the target asset allocation established by the committee.

Mr. Wilcoxson said he would be happy to answer any questions that anyone might have.

S. Student Affairs Committee Report

Ms. Smith Edge, Chair of the Student Affairs Committee, reported that the committee met that morning, and there was standing room only. The committee had presentations from three student organizations that receive student fee money. She recommended that this be done on an annual basis because it was an excellent overview.

The committee heard from the Student Activities Board, known as SAB. That presentation was given by Meredith Hughes, president, and Ashley Hayden, vice president. Their mission statement is to provide diverse events that educate and entertain

students, faculty, staff, and the community of the university. Obviously, they gave an overview of the SAB structure, events, relationship with the UK students, external impact, and the future. To the delight of many at the committee meeting, they announced they were bringing back the Little Kentucky Derby. She noted that many of the Board members have fond memories of SAB over the years.

Ms. Ellingsworth, student trustee and President of the Student Government Association (SGA), gave an excellent overview of SGA, including the history about the first constitution in 1912. The purpose of SGA is to represent the student body in its relations with the faculty, administration, Board of Trustees, and the Commonwealth of Kentucky.

Ms. Ellingsworth gave a good overview of the university and community relations as well as the structure of SGA, the budget, the services provided, and the collaboration efforts that they do with the other organizations on campus as well as the student leadership and outcomes.

The committee also heard from John Edwards, who is the general manager of WRFL radio station that is known as radio free Lexington. The UK radio station is located in the basement of the Student Center. It is to educate the student's who run it, the greater Lexington community, and the students of UK through its progressive and eclectic programming. The spirit of the station is non-commercial and educational. There are 13 members of the staff. All are students who receive some type of small stipend. The disc jockeys are volunteers on the station, and it is a 24-7, 365 days radio station. The committee heard about the staff, the educational value, the work that the telecommunications and journalism classes are involved in, and their vision for the future.

Ms. Smith Edge said the presentations by the three organizations were excellent. They showed how they collaborate in sponsorship, promotion, and coordination of university events and shows. UK does have very progressive students on campus, and these presentations definitely highlighted the leadership of campus and the student organizations. That was the main focus of the committee's meeting.

Mr. Hardymon agreed that it was a very good meeting. He said he was amazed at what you can learn if you listen to a different group of people. He suggested the committee meet in a larger room for their next meeting.

T. University Hospital Committee Report

Mr. Hardymon announced that Barbara Young could not attend the Board meeting. He noted that she was on campus earlier in the week and did some work on the tuition proposal. Ms. Young has asked Mr. Wilcoxson to give the University Hospital Committee report.

Mr. Wilcoxson reported that the University Hospital Committee met Monday afternoon at 4 p.m. to discuss numerous topics. At that meeting, the committee welcomed three outside advisory members: Pam Miller, Jim Gray, and Luther Deaton. These individuals' expertise will be a great help to the committee.

Mr. Wilcoxson said that the financial situation of the hospital was one of the big topics. He spoke about volume at the hospital. The census, the discharges, and the out-patient visits have increased over the prior year, and this is good when you talk about volume at the hospital. He addressed the following financial areas:

Revenues

For the seven months ending January 31, operating revenue is almost \$300 million with growth of almost \$43 million over the prior year. Approximately \$31 million of that is for in-patient services.

Operating Income

Operating income is approximately \$25 million with a margin of 8.3 percent. This is quite a bit greater than the budget in the prior year. Including non-operating income and primary investment income, the total increase in net assets is \$36 million, a 12.2 percent margin, which is an improvement over last year's \$19 million with a 7.4 percent margin, and that is very good.

Balance Sheet

Cash grew to \$266 million, which positions the hospital to invest up to \$150 million in the university endowment pool. This does not include any of the \$100 million that was borrowed in November for the building project. The hospital intends to start using that cash in February. The accounts receivable amount is \$50.5 million with days in accounts receivables below 40 for the fifth straight month.

Mr. Wilcoxson summarized his report by stating that the hospital results continue to be most impressive.

Mr. Hardymon pointed out that UHCR 1 was withdrawn from the agenda because additional work was needed. It will be presented at the next Board meeting.

U. University Relations Committee Report

Ms. May, Chair of the University Relations Committee, reported that the committee met at 8:30 a.m. that morning. While the attendance was not as large as that at some of the later committee meetings, it was a very good group, and the meeting was excellent.

The purpose of the meeting was Mr. Hardymon's request for the committee to review some of the materials that the Board receives. Everyone wants to stay in touch with the important issues, and it is sometimes hard to determine what needs to be read

and how to rank it in priority. The committee discussed this with Jay Blanton, Executive Director of Public Relations and Marketing.

Over the next month, the Board will see a little difference in the materials they will be receiving. The committee asked Mr. Blanton to alter the selection criteria a little bit more to those issues that may be of more global interest to the Board. She said the committee would be meeting when the Board meets next and asked the Board for input if they were not receiving materials that they wanted to see.

Ms. May said the committee commended the university on the e-mails that are sent to the Board regarding articles in other newspapers that they may not be getting locally. She said the articles were very beneficial.

In addition to listening to the radio station that Ms. Smith Edge suggested, she asked the Board to tune into 91.3, which is WUKY. The committee had a report about the radio station on the MPR side. This is not the student station. For those who follow radio, UK's WUKY station is number three in the Arbitron Ratings for the market, and this is just an amazing statement. For those who do not routinely listen to it, it is a very nice station. After pointing out how well they are doing in the market, they were quick to point out that they do need a new transmitter. They are going to have to have a new tower, but they have worked out an arrangement with KET and will be using their tower. It is a very impressive station that targets ages 45 to 54 in their listeners. She suggested that those in that age category may want to listen to the station.

Ms. May reported that the committee will have future meetings and will invite other groups for the organization that basically put UK's message out to the community and public as a whole. She said it was a very informative meeting.

V. Meeting Adjourned

With no further business, Mr. Hardymon said that concluded the board meeting. Mr. Shoop moved for adjournment, and several people seconded his motion. The meeting was adjourned at 2:39 p.m.

Respectfully submitted,

Pamela R. May
Secretary, Board of Trustees

(PR 2, 3, and 4; AACR 1; and FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, and 17; and HRCR 1 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
March 7, 2006

1. President Announces Legislative Priorities

On January 10, 2006, University of Kentucky President Lee T. Todd, Jr. announced the university's legislative priorities to help hire more faculty and researchers, alleviate a significant shortage of pharmacists, and greatly improve health care in Kentucky. The top priority for the legislative session that continues through the end of March is a request for additional operating funds for the university to add faculty, increase staff and faculty salaries, and hold down tuition increases. With the additional operating dollars, UK will begin hiring additional faculty to lower class sizes and continue making strides to increase the amount of research being conducted into areas such as Parkinson's disease, lung cancer, and heart disease. Increasing the number of faculty and researchers is the cornerstone of UK's 15-year, Top 20 Business Plan announced in December.

2. University Unveils New Advertising Campaign

In February, the university unveiled a series of television ads designed to show the impact UK has on Kentucky in the areas of education, economic development, and health care. The three ads, which will run statewide for several weeks, examine different programs at UK, highlighting the university's mission of education, research, and service. Similarly themed radio and newspaper ads will run statewide over the next several months. The first ad – titled “Commitment” – focuses on UK faculty and students who are excelling in fields as diverse as engineering, medicine, social work, chemistry, and business. The second ad – titled “Lemonade” – describes the university's largest federal grant, a \$22 million initiative to work on improving math and science curriculums in Appalachian middle schools. The third ad – titled “Smile” – describes the College of Dentistry's mobile outreach efforts, which have helped improve the oral health of more than 250,000 Kentuckians in the last 25 years.

3. College of Pharmacy Collaborates with Norton Healthcare

The UK College of Pharmacy and Norton Healthcare are partnering to bring a unique Clinical Education Center (CEC) to Louisville that will help address the area's pharmacist shortage. Norton Healthcare has pledged \$1.15 million over five years to establish the first CEC in Louisville, based on Norton Healthcare's downtown campus. Some 20 to 25 fourth-year UK pharmacy students will be based in Louisville beginning this fall. The College of Pharmacy hopes the agreement with Norton Healthcare will be a model for future partnerships in developing Clinical Education Centers in other medical centers throughout the Commonwealth.

4. NEH Awards Gaines Center for the Humanities a \$220,000 Matching Grant

The National Endowment for the Humanities awarded the UK Gaines Center for the Humanities one of only four challenge grants presented to colleges in December 2005. The Gaines Center for the Humanities was the only public higher education program to garner such funding. The NEH grant recognizes UK's strong performance in its undergraduate programming in the humanities. The \$220,000 grant, to be matched four-to-one by private fundraising over a four-year period, will create an endowment of almost \$1.1 million to enhance public programming and to create the John R. Gaines Endowed Chair in the Humanities. The primary role of the occupant of this chair will be to serve as director of the Gaines Center for the Humanities.

5. School of Management Ranked Among Top 20

The information systems faculty of the School of Management in the Gatton College of Business and Economics has been ranked among the Top 20 in the world for research productivity in a 2005 study published by the Association for Information Systems.

6. DanceBlue Raises \$123,323 for Pediatric Oncology Clinic

After a single year of fundraising that ended with a 24-hour, no-sitting, no-sleeping dance marathon, 180 UK students accomplished an inspiring achievement – \$123,323 for the Pediatric Oncology Clinic. DanceBlue, the UK Student Volunteer Center's new student-run philanthropy, involved thousands of students in more than 50 student organizations raising money on behalf of the Golden Matrix Fund. The fund provides families who have a child with cancer with emotional support and financial aid, and supports pediatric cancer research at UK. In addition to doing fundraising, 15 of these organizations also participate in the Adopt-A-Family program, which pairs students with the families of pediatric cancer patients. Students provide emotional support for the families and organize fun activities for the patients and siblings.

7. Grant to Fund Study of Health Issues in Rural Kentucky

The Kentucky Institute of Medicine, staffed by the UK Office of Health Research and Development, has received a grant for \$214,500 from the Foundation for a Healthy Kentucky Inc. The grant was awarded for a project to develop a comprehensive report on the status of rural health in Kentucky. The faculty and staff conducting this research will be guided by a task force of distinguished Kentuckians representing a broad array of health professions and services. Health issues to be covered by the task force include demographics, prevention and public health, chronic diseases, health insurance, health work force, health institutions, economics, and social services.

8. Governor Funds Scholarships for Mining Engineering Students

Governor Ernie Fletcher announced \$170,000 in grants to invest in Kentucky's coal workforce, including \$50,000 in scholarships for 30 mining engineering students at UK College of Engineering. The scholarships will target students from Kentucky's traditional coal counties.

9. First Endowed Chair in Catholic Studies Funded

The Catholic Newman Center announced the creation of the university's first endowed chair in Catholic studies. The position was funded by a gift from UK pediatric cardiologist Dr. Carol Cottrill and her husband, Tom Rolfes, a retired religion teacher. The announcement is a significant step toward the creation of a Catholic Studies program at UK. Such a program will support public lectures, a wide range of interdisciplinary courses for undergraduate students, visiting distinguished professors, and travel and research opportunities for students. The College of Arts and Sciences will begin searching for a prominent scholar to fill the position for the 2007-08 academic year. The Cottrill-Rolfes Chair will be placed in an academic department such as philosophy, history, or English, depending on the faculty member's area of expertise.

10. Nursing Graduates Surpass National Average

The 2005 College of Nursing Bachelor of Sciences graduates achieved a 98 percent pass rate for first-time takers of the National Council Licensure Examination, surpassing the national average of 84.1 percent. The exam is administered through the National Council of State Boards of Nursing.

11. Center of Minimally Invasive Surgery Opens New Facility

UK HealthCare held a grand opening of its new education and research facility for the Center of Minimally Invasive Surgery in February. The 1,500-square-foot complex will house education, research, communication, and training resources for the multidisciplinary minimally invasive surgery group. During the weeklong showcase, surgeons offered hands-on demonstrations to health professionals, as well as the public, in the new multidisciplinary facility.

12. Ovarian Cancer Screening Program Announces Research Results

The UK Ovarian Cancer Screening Program presented results from an ongoing, 18-year research study that demonstrate that annual screening improves early detection and can save women's lives. In recognition of the findings of this research trial, Kentucky women were encouraged to participate in free ovarian cancer screening. Screening is performed at UK HealthCare's Markey Cancer Center's Whitney-Hendrickson Building, and outreach screening sites in Elizabethtown, Prestonsburg, Maysville, Somerset, and Paducah.

13. Ambati's Findings Could Have Far-reaching Effects

Dr. Jayakrishna Ambati, vice chair of Ophthalmology and Visual Sciences, announced a discovery from his lab that will affect the future of age-related macular degeneration (AMD) treatment and research. The earliest clinical indicator of AMD is the presence of drusen, or extracellular deposits that accumulate beneath the retinal pigmented epithelium. It has been unclear if or how drusen can provoke the development of the wet form of AMD. Drusen in patients with AMD contain components known as complement C3 and C5. Ambati's lab has

identified that bioactive fragments of these components, known as C3a and C5a, are present in patients whose AMD progresses beyond the early dry stage and into the later wet stage. Ambati's research concludes that the presence of the C3a and C5a components in drusen are not only markers of AMD that will develop into the late-stage form of the disease, but that they are in fact causal. The presence of these components stimulates the progression of AMD. In the future, patients with early-stage AMD may be screened to determine if these effectors exist in their drusen. If they are present, then these patients could be considered at "high risk" for progression to advanced AMD. The next step for Ambati's research is to develop a substance that can block the effectors, halting the progression of AMD from the dry to wet stages. His lab is already testing such substances that could potentially be used in patients with "high-risk" drusen. Ambati's research, which was supported by the National Eye Institute, is published in the current issue of *Proceedings of the National Academy of Sciences*.

14. UK Joins Global Effort to Study Infectious Animal Diseases

UK researchers will join more than 40 scientists from universities, institutes, and U.S. Department of Agriculture labs and industry researchers at the University of Massachusetts Amherst and other institutions in a global effort to develop the tools needed to create vaccines and tests for infectious animal diseases that threaten agriculture and the food supply. The USDA noted that the effort is designed to accelerate the characterization and treatment of a range of ailments such as mad cow and avian influenza. Research will focus on six economically important species and their relatives: cattle, poultry, horses, swine, catfish, and trout.

15. IHDI Focuses on Disability Law

The Interdisciplinary Human Development Institute will conduct a spring seminar lecture series focusing on topics related to all persons with disabilities. Topics range from disability law to information on the criminal justice system and individuals with disabilities. In addition, IHDI will conduct a series of seminars aimed at helping families with children who have developmental disabilities plan for their future. The Hope for Tomorrow project, a collaborative initiative between IHDI, Sanders-Brown Center on Aging, and the Retirement Research Foundation, seeks to provide valuable information to families of individuals with disabilities so they are able to navigate resource and financial planning.

16. Artist Inspires a New Coalition on Campus

A new partnership between the African American Forum, Inc., the UK Art Museum, and the Department of Art brought internationally renowned artist Willie Cole to Lexington in January. Cole presented a public lecture, "The Iron Chose Me," at the Downtown Arts Center. Later, he spoke to UK art students in an art professions class and provided critiques as part of the Distinguished Visiting Artists and Scholars Series. Cole attended the 2006 African American Ball as the ball's featured artist. The artist's work is currently on display at the UK Art Museum as an exhibition titled "Afterburn – Willie Cole: selected works 1997 – 2004" running through March 19.

17. Journalism Inducts Six into Hall of Fame

Six journalists will be named to the Kentucky Journalism Hall of Fame on April 11 at the Radisson Plaza Hotel. The next day the UK School of Journalism and Telecommunications will hold its 29th annual Joe Creason Lecture at 6 p.m. in the Singletary Center for the Arts. This year's presenter of the Creason Lecture is David S. Broder, national political correspondent with *The Washington Post*. The 2006 Hall of Fame inductees to be named are Don Neagle, owner/operator of WRUS-AM in Russellville; Larry Spitzer, a retired *Louisville Courier-Journal* photographer; Claude Sullivan, longtime Kentucky Wildcat announcer for WVLK and the Standard Oil Network (posthumous induction); David Thompson, executive director of the Kentucky Press Association; Ferrell Wellman, former WAVE-TV Frankfort bureau chief and now ECU faculty member; and Bob White, retired as "Mr. High School Sports" with *The Louisville Courier-Journal*.

18. Student Awards and Achievements

Andrew Bozio, English senior and Gaines Center for the Humanities fellow, presented the 12th annual Edward T. Breathitt Undergraduate Lectureship in the Humanities in the William T. Young Library. Bozio's lecture, titled "This Machine Kills Fascists: The German Film Industry from 1919 to 1934," focused on how film pre-exposed the Germans at the time helping the Nazis gain power.

Karen Greenough and Dejene N. Debsu, Anthropology doctoral students, were awarded grants from the National Science Foundation for their dissertation research. Greenough received a grant for her study titled "Becoming Mobile Pastoralists: Desedentarization among the Ful'be of Tanout, Niger." Her research focuses on the nomadic pastoral people in West Africa who have changed their livelihoods from settled cultivators to nomadic pastoralists. Debsu is currently in Ethiopia conducting his dissertation research on "Land Tenure and Conflict Dynamics in Pastoral Areas of Southern Ethiopia." Debsu's research examines the changes in systems of land tenure, conflicts over the land, and the resolution of these conflicts among pastoralists.

19. Faculty and Staff Awards and Achievements

Alessandra Bauman and Rebecca Flanagan, Public Health, were recently honored as recipients of the College of Public Health Employee of the Year Award for their ongoing contributions and commitment.

Daniel Breazeale, Philosophy, delivered the 2005-06 College of Arts and Sciences Distinguished Professor Lecture.

Darren L. Johnson, Orthopaedic Surgery and Sports Medicine, was named Sports Medicine Person of the Year by the Kentucky Athletic Trainer's Society.

Robert Olson, Middle East Politics, published *The Goat and the Butcher: Nationalism and State-formation in Kurdistan-Iraq since the Iraqi War*. Olson's book analyzes the competition and struggle between nationalism (the goat), capitalism (the butcher), and ethnonationalism (the Kurds), and the processes of state formation using Kurdistan-Iraq as a case study.

Jeffrey Peters, French, was recently awarded the Modern Language Association of America's 13th annual Aldo and Jeanne Scaglione Prize for French and Francophone Literary

Studies. The award was given for his book *Mapping Discord: Allegorical Cartography in Early Modern French Writing*, which was published by the University of Delaware Press in 2004.

Erik Reece, English, published his first book *Lost Mountain: A Year in the Vanishing Wilderness, Radical Strip Mining and the Devastation of Appalachia*. In addition, Reece has been awarded the John B. Oakes Award for Distinguished Environmental Journalism by Columbia University in New York for his essay “Death of a Mountain.”

Arturo Alonzo Sandoval, Art, recently received three awards in recognition of his work. Sandoval was presented with the Al Smith Fellowship in Visual Arts from the Kentucky Arts Council; won the Jo Ritz Memorial Merit Award at the 39th Mid-States Craft Exhibition in Evansville, Ind.; and was selected as a finalist for the 2005 Elizabeth R. Raphael Founder's Prize in Pittsburgh, Pa.

Gerald Smith, History, is one of three scholars in Kentucky to compile a multi-year project for The Kentucky African American Encyclopedia. The encyclopedia, a first of its kind, is due for publication in 2011 from the University Press of Kentucky. UK has joined the effort to develop the publication, pledging support and creating a Web site (www.uky.edu/kaae) for the project.

PR 2

Office of the President
March 7, 2006

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Executive Vice President for Finance and Administration

Brown, Albert, Regular Faculty, Employee Benefits, Human Resources, after 31 years and 7 months of consecutive service, under AR II-1.6-1 Section III.B, effective 3/31/06.

B. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Jelinek, Charles, Building Operator III, Employee Benefits, Human Resources, after 35 years and 5 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 1/3/06.

Vice President for Institutional Advancement

Derickson, Ralph, Information Officer, External Affairs, after 24 years and 11 months of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/06.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Bullard, Steven H., College of Agriculture, Professor (temporary), Forestry, appointed to the James Graham Brown Foundation Endowed Professorship in Forestry and Environmental Sciences, 7/1/05 through 6/30/10.
- Davis, George A., College of Pharmacy, Associate Professor (voluntary), 7/1/05 through 6/30/06.
- Finney, Garrett S., College of Design, Associate Professor (temporary), Architecture, 1/1/06 through 6/30/06, and appointed to the John Russell Groves-Kentucky Housing Corporation Research Fund in Affordable Housing Design, 1/1/06 through 6/30/06.
- Holmes, Sharon S., College of Nursing, Associate Adjunct Professor, 1/1/06 through 6/30/06.
- Nazario, Ruben, College of Medicine, Clinical Assistant Professor, Pediatrics, 2/1/06 through 6/30/06.
- Nelson, Peter T., College of Medicine, Assistant Professor, Pathology and Laboratory Medicine, 4/1/06 through 6/30/06.
- Ramsingh, Parshan S., College of Medicine, Clinical Associate Professor, Diagnostic Radiology, 12/1/05 through 6/30/06.
- Slevin, Barbara J., College of Medicine, Associate Adjunct Professor, Neurology, 1/1/06 through 6/30/06.
- Thorn, Deborah B., College of Pharmacy, Associate Professor (voluntary), 1/1/06 through 6/30/06.
- Toma, E., Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, appointed to the Wendell H. Ford Endowed Professorship, 7/1/05 through 6/30/09.
- Widiger, Thomas, College of Arts and Sciences, Professor (with tenure), Psychology, appointed to the T. Marshall Hahn, Jr. Professorship Fund in the College of Arts & Sciences, 7/1/06 through 6/30/11.

B. ADMINISTRATIVE APPOINTMENTS

- Little, Peter, College of Arts and Sciences, Professor (with tenure), Anthropology, and Chair, Anthropology, reappointed Chair, Anthropology, 7/1/06 through 6/30/07.
- Raitz, Karl, College of Arts and Sciences, Professor (with tenure), and Chair, Geography, reappointed Chair, Geography, 7/1/06 through 6/30/08.

C. JOINT APPOINTMENTS

- Anyaegbunam, Chike M., College of Communications and Information Studies, Associate Professor* (with tenure), Journalism and Telecommunications, joint appointment as Associate Professor* (without tenure), Health Behavior, College of Public Health, effective 4/1/06.
- Crofford, Leslie J., College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 2/1/06.
- Iwinski, Henry J., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, joint appointment as Clinical Associate Professor, Pediatrics, 12/1/05 through 6/30/06.
- Walker, Janet L., College of Medicine, Associate Professor (with tenure), Orthopaedic Surgery, joint appointment as Associate Professor (without tenure), Pediatrics, effective 12/1/05.

D. REAPPOINTMENTS

- Kleinert, Harold, College of Education, Associate Adjunct Professor, Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.
- Lear, Teri L., College of Agriculture, Associate Research Professor, Veterinary Science, 7/1/06 through 6/30/07.

E. PROMOTIONS

- Logan, TK, College of Medicine, from Associate Professor (with tenure), Behavioral Science, and joint appointments as Associate Professor (without tenure), Psychiatry and Associate Professor (without tenure), Psychology, College of Arts and Sciences and Associate Professor (without tenure), College of Social Work, to Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Psychiatry and Professor (without tenure), Psychology, College of Arts and Sciences and Professor (without tenure), College of Social Work, effective 7/1/06.
- Van Dyke, Eileen, College of Health Sciences, from Assistant Professor*, Clinical Sciences, to Associate Professor* (with tenure), Clinical Sciences, effective 4/5/06.

F. CHANGES

- Purcell, Marguerite, College of Medicine, from Associate Adjunct Professor, Diagnostic Radiology, to Associate Professor (part-time), Diagnostic Radiology, 3/1/06 through 6/30/06.

*Special Title series

G. RETIREMENTS

- Mather, Loys L., College of Agriculture, Professor (with tenure), Agricultural Economics, after 37 years and 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/06.
- Mather, Sonja, International Affairs, Administrative Staff Officer I, Director's Office, after 25 years and 7 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/06.
- McCracken, Carol, College of Education, Disability Program Associate, Special Education and Rehabilitation Counseling, after 9 years and 8 months of consecutive service, under AR II-1.6-1, Section III.B, effective 12/31/05.

H. EARLY RETIREMENTS

- Childs, George H., College of Agriculture, Lab Technician Senior, Plant and Soil Sciences, after 37 years and 6 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/28/06.
- Dempsey-Hall, Linda, College of Medicine, Nurse Staff II, Neurology, after 21 years and 6 months of consecutive service, and a total 31 years and 3 months of service, under AR II-1.6-1, Section III.A.2, effective 2/7/06.
- Groves, Clay Jr., College of Agriculture, Research Farm Technician I, Western Kentucky Substation, after 27 years and 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 9/28/05.
- Jacobs, Nancy, College of Medicine, Staff Support Associate II, Pathology and Laboratory Medicine, after 20 years and 7 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 4/7/06.
- Lyons, Lucille, College of Medicine, Department Administrator III, Neurology, after 34 years and 2 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 5/31/06.
- Murrell, Edward, College of Health Sciences, Administrative Staff Officer II, Dean's Office, after 32 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 12/31/05.
- Sanders, Linda, College of Agriculture, Program Assistant, Family and Consumer Science, after 16 years and 1 month of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/3/06.
- Witten, Homer A., College of Arts and Sciences, Machinist, Physics and Astronomy, after 17 years and 9 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 3/31/06.

I. POST-RETIREMENT APPOINTMENTS

- Allen, David M., College of Arts and Sciences, Professor (part-time), Statistics, 1/1/06 through 5/15/06.
- Campbell, William R., College of Arts and Sciences, Associate Professor (part-time), English, 1/1/06 through 5/15/06.

Cox, Raymond, College of Arts and Sciences, Associate Professor (part-time), Mathematics, 1/1/06 through 5/15/06.

Graves, Donald H., College of Agriculture, Professor (part-time), Forestry, 1/10/06 through 1/9/07.

Knapp, Fred W., College of Agriculture, Professor (part-time), Entomology, 7/1/06 through 6/30/07.

May, Jean E., College of Agriculture, Kentucky AgrAbility Coordinator (part-time), Cooperative Extension Service, 10/5/05 through 10/4/06.

McCollum, Doris, College of Agriculture, Staff Assistant (part-time), Cooperative Extension Service, 2/1/06 through 1/31/07.

Powell, David G., College of Agriculture, Professor (part-time), Veterinary Science, 7/1/06 through 6/30/07.

Revo, Joe, College of Agriculture, Accountant (part-time), Agriculture Business Office, 2/1/06 through 6/30/06.

Smith, Patty R., College of Agriculture, Associate Professor (part-time), Family and Consumer Science, 2/22/06 through 12/31/06.

Stiene-Martin, Elizabeth A., College of Health Sciences, Professor (part-time), Clinical Sciences, 7/1/05 through 6/30/06.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 1/1/06 through 5/15/06.

J. PHASED RETIREMENT APPOINTMENTS

Suffridge, Ted, College of Arts and Sciences, Professor (with tenure), Mathematics, 7/1/06 through 6/30/11.

Veltkamp, Lane J., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor* (without tenure), College of Social Work, 7/1/06 through 6/30/11.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Arrington, Tamara B., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/06 through 6/30/06.

Austin, Janice, College of Social Work, Adjunct Instructor, 1/1/06 through 5/15/06.

Bailey, Richard A., College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.

Bell, Cathy, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.

Bertero, Maria C., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 7/1/06 through 6/30/09.

Blankenship, Cary W., College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.

*Special Title series

Bloodworth, John D., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/06 through 6/30/06.

Bower, Walter H., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Briese, Andrew, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Burton, Deborah C., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/06 through 6/30/06.

Chrostowsky, Marybeth L., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/06 through 5/15/06.

Coleman, Angela, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.

Corcoran, James R., College of Fine Arts, Instructor (part-time), Music, 1/1/06 through 5/30/06.

Covert, Michael D., College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 1/1/06 through 6/30/06.

Davin, Diana J., College of Social Work, Instructor (part-time), 1/15/06 through 5/15/06.

Eblen, William T., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 2/1/06 through 6/30/06.

Edin, Linda, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.

Fugate, Mary, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Garrison, Ron, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 6/30/06.

Giles, Eric G., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/06 through 5/15/06.

Godfrey, Christopher J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 1/1/06 through 6/30/06.

Harden, Karon K., College of Arts and Sciences, Lecturer, English, 1/1/06 through 5/15/06.

Hathaway, Linda J., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 1/17/06 through 6/30/06.

Henke, Kevin R., College of Arts and Sciences, Instructor (part-time), Geology, 1/1/06 through 5/15/06.

Jackson, Gregory S., College of Design, Instructor (part-time), Architecture, 1/1/06 through 6/30/06.

Katz, Mattie F., College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.

Khieu, Hinh, College of Business and Economics, Instructor (part-time), Management, 1/15/06 through 6/30/06.

Kincaid, Amie L., College of Fine Arts, Instructor (part-time), Music, 1/1/06 through 5/30/06.

Koech, Alice, College of Agriculture, Instructor (part-time), Family Studies, 1/1/05 through 5/15/05.

Langley, Susan C., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Leigh, Phyllis, College of Social Work, Adjunct Instructor, 1/1/06 through 5/15/06.

Luft, Christine, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Mattingly, Judy, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/06 through 6/30/06.

McFaddin, Melissa, College of Agriculture, Instructor (part-time), Family Studies, 1/1/05 through 5/15/05.

McIntosh, Tera, College of Pharmacy, Lecturer, 1/1/06 through 6/30/06.

Oghia, Hany M., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 11/1/05 through 6/30/06.

Ogle, Robert W., College of Design, Adjunct Instructor, Historic Preservation, 1/1/06 through 6/30/06.

Owens, Susan M., College of Fine Arts, Instructor (part-time), Music, 1/1/06 through 5/30/06.

Payne, Joey, College of Agriculture, Adjunct Instructor, Nutrition and Food Science, 1/1/06 through 5/31/06, and Adjunct Instructor, Family Studies, 1/1/06 through 5/31/06.

Payne, Roy G., College of Design, Adjunct Instructor, Historic Preservation, 1/1/06 through 6/30/06.

Portier-Caldwell, Helene, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

Rabiner, Molly H., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Raju, Padma I., College of Medicine, Assistant Professor (part-time), Radiation Medicine, 1/23/06 through 6/30/06.

Rawashdeh, Osamah A., College of Engineering, Instructor (temporary), Electrical and Computer Engineering, 1/11/06 through 6/30/06.

Riley, Ronnie R., College of Business and Economics, Instructor (part-time), Management, 1/15/06 through 6/30/06.

Rogers, Freddie J., College of Design, Adjunct Instructor, Historic Preservation, 1/1/06 through 6/30/06.

Roozen, Mark A., College of Business and Economics, Instructor (part-time), Management, 1/1/06 through 5/15/06.

Saunders, Carrie S., College of Social Work, Instructor (part-time), 1/1/06 through 5/15/06.

Spencer, Michael G., College of Design, Adjunct Instructor, Historic Preservation, 1/1/06 through 6/30/06.

Stamps, Kathie E., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 6/30/06.

Stencel, John M., College of Engineering, Assistant Adjunct Professor, Chemical and Materials Engineering, 1/1/06 through 6/30/06.

Taylor, Carol, College of Social Work, Adjunct Instructor, 1/1/06 through 5/15/06.

Vakhitova, Ganna O., College of Business and Economics, Instructor (part-time), Economics, 1/1/06 through 5/15/06.

Van de Venn, Judy, College of Agriculture, Instructor (part-time), Family Studies, 1/1/05 through 5/15/05.

Vance, Sandra M., College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.

Vancil, Christopher N., College of Arts and Sciences, Adjunct Instructor, Mathematics, 1/1/06 through 5/15/06.

Walsh, Sharon W., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 6/30/06.

Weber, Donna R., College of Medicine, Assistant Professor*, Molecular and Biomedical Pharmacology, 1/5/06 through 6/30/06.

Willmarth, John R., College of Fine Arts, Instructor (part-time), Music, 1/1/06 through 5/30/06.

Wilson, Richard G., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 6/30/06.

Zhao, Xiaodan, College of Business and Economics, Instructor (part-time), Economics, 1/1/06 through 5/15/06.

Zydney, Janet, College of Education, Assistant Research Professor, Special Education and Rehabilitation Counseling, 1/1/06 through 6/30/06.

B. ADMINISTRATIVE APPOINTMENTS

Jones, Raleigh O., College of Medicine, Associate Professor (with tenure), Surgery, appointed Interim Chair, Surgery, 3/1/06 through 2/28/07.

Umberger, Gloria, College of Medicine, Administrative Director, Clinical Research, effective 12/30/05.

C. JOINT APPOINTMENTS

Feola, David J., College of Pharmacy, Assistant Professor*, joint appointment as Assistant Professor*, Internal Medicine, College of Medicine, 9/1/05 through 6/30/06.

Milbrandt, Todd A., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, joint appointment as Clinical Assistant Professor, Pediatrics, 12/1/05 through 6/30/06.

Swanberg, Jennifer E., College of Social Work, Assistant Professor, and joint appointment as Assistant Professor, Behavioral Science, College of Medicine, new joint appointment as Assistant Professor, Health Behavior, College of Public Health, 1/6/06 through 6/30/06.

*Special Title series

Talwalkar, Vishwas R., College of Medicine, Clinical Assistant Professor,
Orthopaedic Surgery, joint appointment as Clinical Assistant Professor,
Pediatrics, 12/1/05 through 6/30/06.

D. REAPPOINTMENTS

Allen, Claudette, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.

Artiushin, Sergey C., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/06 through 6/30/07.

Augsburger, Sam, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Aversa Fessler, Nancy, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

Barbour, Chad A., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Bartlett, Marvin P., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 5/15/06.

Batson, William J., College of Design, Instructor (part-time), Interior Design, 1/1/06 through 6/30/06.

Belvin, Frances A., College of Fine Arts, Instructor (part-time), Art, 8/16/05 through 12/31/05.

Bernardi, Casandre K., College of Agriculture, Instructor (part-time), Merchandising, Apparel & Textiles, 1/1/06 through 6/30/06.

Berry, Kathryn B., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Bonzani, Renee M., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/06 through 5/15/06.

Branham, Kristi R., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Brothers, Mary, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Burk, Charles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Campbell, Todd, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Caspani, Guido, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

Catlin, Michelle R., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Chesnut, Donald, College of Arts and Sciences, Assistant Adjunct Professor, Geology, 1/1/06 through 12/31/07.

Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

- Connell, Robert B., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.
- Cook, Richard F., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/06 through 6/30/07.
- Cooley, Paul, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/06 through 5/15/06.
- Cosby, Robin, College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 2/1/06 through 6/30/06.
- Cox, Angie, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Currie, George W., College of Business and Economics, Instructor (part-time), Accountancy, 1/1/06 through 5/15/06.
- Daniel, Lois, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Davidson, Freddia G., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/11/06 through 6/30/06.
- DeCroo, Jacqueline C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.
- DeMichele, Matthew, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.
- Doss, Janet W., College of Social Work, Instructor (part-time), 1/1/06 through 5/15/06.
- Dove, Ed, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.
- Edin, Linda, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Ellis, Steven L., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 1/1/06 through 5/15/06.
- Evans, Connie, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Farrell, Herman D., College of Fine Arts, Instructor (part-time), Theatre, 8/1/05 through 6/30/06.
- Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Fitzpatrick, Sarah, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Fletcher, Rebecca A., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/06 through 5/15/06.
- Fox, Bessie L., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Franklin, Patricia A., College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

- Gabbert, Doug C., College of Communications and Information Studies, Instructor (part-time), Journalism and Telecommunications, 1/14/06 through 6/30/06.
- Geissler, Janet, College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.
- Gibbs, William, College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.
- Goan, Melanie, College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.
- Graves, Kathryn T., College of Agriculture, Assistant Research Professor, Veterinary Science, 7/1/06 through 6/30/07.
- Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.
- Hager, Jacqueline O., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/06 through 6/30/06.
- Halladay, Keith E., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Hamilton, Phyllis, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Hamlin, William, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Hammonds, Pam, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Hays, Allison B., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.
- Hays, Jessica B., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Hayslett, Kris, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.
- Helm, David, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Holdren, Michael R., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.
- Hood, James, College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.
- Hull, Barbara, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 6/30/06.
- Hunter, George G., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.
- Hutchinson, Judy, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.
- Karkelanova, Anushka A., College of Arts and Sciences, Instructor (part-time), Statistics, 1/1/06 through 5/15/06.
- Kershaw, Debra S., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Kincer, Darrell L., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Leedham, Cynthia, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Leet, Vicki, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

Lester, Denis, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

Levenson, Nancy, College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 1/1/06 through 12/31/07.

Loughlin, Michael L., College of Arts and Sciences, Instructor (part-time), Anthropology, 1/1/06 through 5/15/06.

Lowdermilk, John L., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.

Manley, Peggy, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

Marczinski, Cecile A., College of Arts and Sciences, Instructor (part-time), Psychology, 1/1/06 through 5/15/06.

Masse, Gerard J., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Massey, Christi S., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Mayer, Danny, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

McEachin, Neal, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

McGrath, Lenora, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/06 through 5/15/06.

McRaven, Deborah K., College of Arts and Sciences, Instructor (temporary), History, 1/1/06 through 5/15/06.

Merriman, Scott, College of Arts and Sciences, Instructor (part-time), History, 1/1/06 through 5/15/06.

Miller, Jennifer B., College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/06 through 5/15/06.

Miller, Robert O., College of Communications and Information Studies, Instructor (part-time), Communication, 1/1/06 through 6/30/06.

Mills, Mary T., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Morris, Sean, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Moss, Marvin, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/06 through 5/15/06.

Muntz, Charlie, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time),
Mathematics, 1/1/06 through 5/15/06.

O'Hara, Michael, College of Arts and Sciences, Instructor (part-time), English,
1/1/06 through 5/15/06.

Omlor, Dan, College of Arts and Sciences, Instructor (part-time), English, 1/1/06
through 5/15/06.

Patrick, Kelli L., College of Communications and Information Studies, Instructor
(part-time), Journalism and Telecommunications, 1/1/06 through
6/30/06.

Patwardhan, Manjiri A., College of Arts and Sciences, Instructor (part-time),
Chemistry, 1/1/06 through 5/15/06.

Peshkopia, Ridvan, College of Arts and Sciences, Instructor (part-time), Political
Science, 1/1/06 through 5/15/06.

Pickett, Joan, College of Education, Instructor (part-time), Curriculum and
Instruction, 1/1/06 through 6/30/06.

Poore, Chris, College of Communications and Information Studies, Adjunct
Instructor, Journalism and Telecommunications, 1/1/06 through 6/30/06.

Price, John, College of Education, Instructor (part-time), Curriculum and
Instruction, 1/1/06 through 6/30/06.

Reese, Christopher L., College of Arts and Sciences, Instructor (part-time),
English, 1/1/06 through 5/15/06.

Ripley, Dee, College of Arts and Sciences, Instructor (part-time), Mathematics,
1/1/06 through 5/15/06.

Robinson, Penelope, College of Arts and Sciences, Instructor (part-time),
Mathematics, 1/1/06 through 5/15/06.

Rosenbaum, Ned, College of Arts and Sciences, Instructor (part-time), Modern
and Classical Languages, Literatures and Cultures, 1/1/06 through
5/15/06.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and
Health Promotion, 1/1/06 through 5/15/06.

Shannon, Elizabeth, College of Arts and Sciences, Instructor (part-time), English,
1/1/06 through 5/15/06.

Shumer, Daniel, College of Arts and Sciences, Instructor (part-time), English,
1/1/06 through 5/15/06.

Smith, Michelle, College of Arts and Sciences, Instructor (part-time), Statistics,
1/1/06 through 5/15/06.

Smith, Michelle, College of Education, Instructor (part-time), Kinesiology and
Health Promotion, 1/1/06 through 5/15/06.

Smith, Richard, College of Arts and Sciences, Instructor (part-time), English,
1/1/06 through 5/15/06.

Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History,
1/1/06 through 5/15/06.

Sopronenko, Igor V., College of Arts and Sciences, Instructor (part-time), Modern
and Classical Languages, Literatures and Cultures, 1/1/06 through
5/15/06.

Soult, Allison S., College of Arts and Sciences, Instructor (part-time), Chemistry, 1/1/06 through 5/15/06.

Sprague, Dennis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Stevens, Nathan, College of Communications and Information Studies, Adjunct Instructor, Journalism and Telecommunications, 1/1/06 through 6/30/06.

Tweedy, Clarence W., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Ubelhor, Anthony E., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Vanderpool, Betty, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/06 through 5/15/06.

VanSickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Voskresensky, Anna, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.

Wachs, Diane C., College of Design, Instructor (part-time), Interior Design, 1/1/06 through 6/30/06.

Wade, James, College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Wagner, Gretchen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Walls, Danny, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/06 through 5/15/06.

Wegrzyn, Joseph, College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Wheeler, Kathleen S., College of Fine Arts, Instructor (part-time), Art, 8/1/05 through 5/31/06.

Whitlow, Patricia C., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/06 through 5/15/06.

Witt, Donald E., College of Communications and Information Studies, Adjunct Instructor, Communication, 1/1/06 through 6/30/06.

Wood, Mark F., College of Arts and Sciences, Instructor (part-time), English, 1/1/06 through 5/15/06.

Woods, Dorothy K., College of Arts and Sciences, Instructor (part-time), Honors Program, 1/1/06 through 5/15/06.

E. PROMOTIONS WITHOUT TENURE

Bowman, Shearer, College of Arts and Sciences, from Lecturer, History, to Senior Lecturer, History, 1/1/06 through 6/30/06.

F. CHANGES

- Ades, Harriet, College of Arts and Sciences, from Instructor (temporary), Chemistry, to Instructor (part-time), Chemistry, 1/1/06 through 5/15/06.
- Cardiff, Cheryl, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Modern and Classical Languages, Literatures and Cultures, 1/1/06 through 5/15/06.
- Carroll, Kenneth, College of Engineering, from Industry Extension Specialist V, Robotics Center, to Industry Extension Specialist VI, Robotics Center, effective 2/1/06.
- Costich, Julia F., College of Public Health, from Assistant Research Professor, Health Services Management, to Assistant Professor*, Health Services Management, 7/1/05 through 6/30/06.
- Darbee, Joan C., College of Health Sciences, from Assistant Professor, Rehabilitation Sciences, to Lecturer, Rehabilitation Sciences, 7/1/06 through 6/30/07.
- Hall, Jennifer G., College of Social Work, from Instructor (part-time), to Adjunct Instructor, 1/1/06 through 5/15/06.
- McKenzie, Ryan N., College of Engineering, from Instructor (temporary), Computer Science, to Lecturer, Computer Science, 1/1/06 through 6/30/06.
- Moore, Dennis C., College of Pharmacy, from Mental Health Data Management Associate Director, Pharmacy Practice and Science, to Research and Data Management Center Director Assistant, Pharmacy Practice and Science, effective 1/1/06.
- Rockich, Anna K., College of Medicine, from Assistant Research Professor, Surgery, and joint appointment as Assistant Research Professor, College of Pharmacy, to Assistant Professor (part-time), Surgery, and joint appointment as Assistant Professor (part-time), College of Pharmacy, 1/1/06 through 6/30/06.
- Swan, Gerry M., College of Education, from Instructor (part-time), Special Education and Rehabilitation Counseling, to Adjunct Instructor, Special Education and Rehabilitation Counseling, 1/1/06 through 5/15/06.
- Wycoff, Robert M., College of Medicine, from Assistant Professor (part-time), Family and Community Medicine, to Assistant Professor (voluntary), Family and Community Medicine, 7/1/05 through 6/30/06.

G. LEAVES OF ABSENCE

- Bishop, Malachy, College of Education, Associate Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave at full salary, 8/16/06 through 12/31/06.
- Collins, Belva, College of Education, Professor (with tenure), Special Education and Rehabilitation Counseling, Sabbatical leave at full salary, 8/16/06 through 12/31/06.

*Special Title series

Goldsmith, Judith A., College of Engineering, Professor (with tenure), Computer Science, Sabbatical leave at half salary, 7/1/06 through 6/30/07.
Jones, Grace, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave at two-thirds salary, 7/1/06 through 6/30/07.
Klapper, Andrew M., College of Engineering, Professor (with tenure), Computer Science, Sabbatical leave at half salary, 7/1/06 through 6/30/07.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Mateyoke, Gregory, University Hospital, Pharmacy Services, Clinical Staff Pharmacist, effective 1/9/06.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Burdette, Jerry J., University Hospital, Fiscal Planning and Analysis, Cost Accounting Coordinator, effective 1/6/06.

Burns, Edward, University Hospital, Hospital Administration, Healthcare Facilities Manager Senior, effective 1/17/06.

Lang, Angela, University Hospital, Product Line Manager Senior, Marketing, effective 1/23/06.

Merchak, Patrick C., University Hospital, Fiscal Planning and Analysis, Budget Analyst Senior, effective 1/3/05.

Miesle, Mary Alice, University Hospital, Kentucky Neuroscience and Orthopaedics Institute, Clinical Analyst Senior, effective 1/3/06.

Rudzik, Carrie E., University Hospital, Fiscal Planning and Analysis, Finance Operating Room Manager, effective 1/8/06.

B. CHANGES

Ballard, Patrick, University Hospital, from Planning and Budget/Campus, Administrative Staff Officer II, to Fiscal Planning and Analysis, Capital Budget Analyst Senior, effective 1/17/06.

Butler, Granger, University Hospital, from Neurosciences Department, Special Diagnostic and Neuroscience Manager to Kentucky Clinic North, Kentucky Clinic North Manager, effective 1/8/06.

EXECUTIVE VICE PRESIDENT FOR RESEARCH

I. BOARD ACTION

A. CHANGES

Currens, James, from Geologist IV to Geologist V, Kentucky Geological Survey, effective 2/1/06.

II. ADMINISTRATIVE ACTION

A. CHANGES

Beck, E. Glynn, from Geologist III to Geologist IV, Kentucky Geological Survey, effective 2/1/06.

Franseth, Greg, from Technical Project Specialist III, Public Relations, to Information Technical Manager III, Research Information Services, effective 2/27/06.

Wickham, Donna, from Disability Program Administrator Associate to Disability Program Administrator III, Interdisciplinary Human Development Institute, effective 3/1/06.

PR 3

Office of the President
March 7, 2006

Members, Board of Trustees:

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the attached revision to the Student Affairs section of the university's Governing Regulations (GR XI), which was received for preliminary consideration as PR 5 by the Board of trustees on January 24, 2006, be approved.

Note: Proposed additions are underlined; proposed deletions are lined through.

Background: Subsequent to the 2005 election for Student Government Association president, Interim Provost Scott Smith charged an ad hoc committee with the task of reviewing and revising GR XI (Student Affairs) to clarify the relationship between the university and the Student Government Association (SGA). In addition, Interim Provost Smith asked the ad hoc committee to recommend the jurisdictional authority that the University Appeals Board should have regarding decisions rendered by hearing bodies established by the SGA and other registered student organizations.

The ad hoc committee's work was guided by three principles: (1) to ensure the Student Government Association has sufficient autonomy to function as a representative and deliberative body; (2) to affirm the university's responsibility for and authority over SGA operations in matters related to fiscal affairs and adherence to the rules, policies, and regulations of the university; and (3) to incorporate within GR XI the definitional elements of the University Appeals Board, pertaining to the board's jurisdiction, the appointment of its members, and the general procedures governing the board's operation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PART XI

STUDENT AFFAIRS

A. Student Government Association

The University of Kentucky Student Government Association is recognized by the Board of Trustees, administration, the Staff Senate and the University Senate as the official representative of the student body ~~authority~~ in University matters to ensure a maximum of self-government and to foster create mutual respect, collaboration and cooperation and liaison between students and the faculty, staff and administration. It shall develop rules for its composition and procedures, and provide services to the students, staff, faculty, and administration of the University. These rules shall be consistent with the vision, mission and values of the University and shall be governed by the University's written policies and procedures, including those prescribed in the *Governing Regulations*, *Administrative Regulations* and *University Senate Rules*. ~~and represent student opinion to the faculty, administration, and Board of Trustees. It may have responsibilities delegated to it by the President, the Office of the Vice President for Student Affairs and/or the University Senate. Copies of the Student Government Association rules and approved changes shall be provided to all members of the student body of the University, the Secretary of the Staff Senate, the Secretary of the University Senate, Vice President for Student Affairs, the President, and the Secretary of the Board of Trustees.~~

The President of the Student Government Association shall be considered to be the President of the student body of the University as specified in KRS 164.130.

~~The Student Government Association shall be representative of the student body of the University. It is authorized to develop rules for its composition and procedures which are consistent with rules and regulations of the University. Copies of these rules shall be made available to all members of the student body of the University, the Secretary of the University Senate, the Associate Provost for Academic Affairs, Vice President for Student Affairs, the President, and the Secretary of the Board of Trustees.~~

~~For purposes of budget and other matters relating to finance, the Student Government Association is responsible to an administrative officer designated by the President.~~

~~The Student Government Association shall adhere to all rules and regulations adopted by the Board of Trustees.~~

The Student Government Association may have additional responsibilities delegated to it by the President or the Office of the Vice President for Student Affairs. The Vice President for Student Affairs shall have administrative oversight of the Student Government Association in matters pertaining to the expenditure of university funds. The

Vice President for Student Affairs shall also ensure that any action taken by the Student Government Association is consistent with University written policies and procedures, including those prescribed in the *Governing Regulations, Administrative Regulations and University Senate Rules*. An appeal of a decision of the Vice President for Student Affairs in any of the above matters may be made to the Provost. The Vice President for Student Affairs shall assign a university advisor to the Student Government Association, whose duties shall be to counsel and advise the organization and its officers as to their roles and responsibilities. The Student Government Association advisor shall review all proposed changes to the Student Government Association Constitution and By-Laws as well as rules for its composition and procedures and advise the Student Government Association concerning the consistency or inconsistency with University policies and procedures, including but not limited to the *Governing Regulations, Administrative Regulations, University Senate Rules* and the *Code of Student Conduct*.

Pursuant to KRS 61.810, the meetings of the Student Government Association are open meetings. Through the respective presiding officer, any person may request the privilege of the floor to address all meetings of the Student Government Association.

B. Student-University Relationships

The non-academic relationships between University students and the University are articulated ~~covered~~ in the document entitled, *Student Rights and Responsibilities: Part I - Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships*, which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between University students and the University are incorporated in the document entitled, *Student Rights and Responsibilities: Part II - Selected Rules of the University Senate Governing Academic Relationships*. The rules in Part II have been adopted by the University Senate and may be amended only by that body.

Copies of the document, *Student Rights and Responsibilities: Parts I and II*, shall be made available to all students. The *Student Rights and Responsibilities: Parts I and II* are also available at www.uky.edu/StudentAffairs/Code. Registration by the student constitutes acceptance of the policies in the *Student Rights and Responsibilities governing* student-University relationships. In no case, however, shall this acceptance preclude legitimate efforts to obtain amendments to this ~~basic~~ document covering these relationships.

~~C. Lexington Community College Students~~

~~The relationship between students at Lexington Community College and the University of Kentucky is defined in the "Memorandum of Agreement Among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College Pursuant to House Joint Resolution 214."~~

1. For non-academic matters, the Agreement, effective July 1, 2004, provides as follows:

(a) On July 1, 2004, and through June 30, 2006, Lexington Community College students shall have the responsibilities, services, privileges, and rights accorded to them before HJR 214.

(b) After June 30, 2006, Lexington Community College students shall be able to choose from certain University of Kentucky services on an individual basis without obligation to purchase any other or all services. Appropriate charges for the selected service(s) shall apply.

2. For academic matters, the Agreement provides as follows:

(a) Effective July 1, 2004, the Kentucky Community and Technical College System shall be responsible for academic and student support services for LCC students, except for services mutually agreed to by KCTCS and University of Kentucky.

(b) Effective July 1, 2005, LCC students shall come under the KCTCS Student Code of Conduct for academic matters.

(c) LCC students, enrolled on or before September 1, 2004, in associate degree programs approved by the UK Board of Trustees who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees. The degrees for all other students enrolled in LCC programs shall be awarded by the KCTCS Board of Regents.

C. The University Appeals Board - Jurisdiction

There shall be a University Appeals Board (hereinafter UAB) with specific jurisdiction over student matters. The UAB shall be assigned either original or appellate jurisdiction over various students, as established by this *Governing Regulation*, as follows:

1. Disciplinary Offenses

In cases of disciplinary offenses (outlined in the *Code of Student Conduct*, Article II) where the student is sanctioned with social suspension, disciplinary suspension, or expulsion, the UAB shall have appellate jurisdiction.

2. Academic Offenses

(a) In cases of academic offenses (outlined in the *University Senate Rules*, Section 6.3) where the student contests guilt, the UAB shall have original jurisdiction.

(b) In cases of academic offenses (outlined in the *University Senate Rules*, Section 6.3) where the only issue is the severity of the sanction, the UAB shall have appellate jurisdiction.

3. Violation of Student Rights

- (a) In cases where a student claims a violation of student rights (outlined in the Code of Student Conduct, Article I), the UAB shall hear any case referred to it by the Dean of Students and may grant the written appeal of any student to hear a case not referred to it by the Dean of Students.
- (b) Registered student organizations that receive the majority of their regular operating budgets from allocations of student fee monies and/or University allocation shall have a hearing process which shall include final appeal to the UAB.
- (c) The UAB shall have jurisdiction over final decisions of University hearing agencies in which a student alleges a violation of student rights. In a case involving a student election in which a candidate alleges that his or her student rights were violated, the UAB may affirm the decision of the Student Government Association appellate body, refer the matter back to the Student Government appellate body to correct the error identified by the UAB, or affirm or void the election. The jurisdiction of the UAB does not extend to the selection of the President of the Student Government Association.

4. Violation of Academic Rights

In cases where a student claims a violation of academic rights (outlined in the University Senate Rules, Section 6.1), the UAB shall have original jurisdiction.

5. College Honor Code Offenses

The UAB shall hold appellate jurisdiction over the decisions of college honor councils or committees, except that if the hearing panel, by majority of those present, decides the student's rights have been substantially violated, the hearing panel has original jurisdiction on the issue of guilt.

6. Cases of Temporary Sanctions

When the Vice President for Student Affairs imposes temporary sanctions on a student, the UAB shall have appellate jurisdiction.

D. Composition of the University Appeals Board

- 1. The UAB shall consist of thirty (30) members, eighteen (18) faculty members and twelve (12) full-time students, and a Hearing Officer who shall be the Chair.
- 2. A hearing panel of the UAB shall consist of **nine (9)** members, at least five (5) of whom are faculty members, at least one (1) of whom is a student, and a Hearing Officer, who shall be the chair.

3. A quorum of the hearing panel for the conduct of business will be nine (9), including the Hearing Officer, at least five (5) faculty members (exclusive of the Hearing Officer) and at least one (1) student.

E. Appointments to the University Appeals Board

1. The Hearing Officer

The Hearing Officer shall be the Chair of the hearing panel and shall be a person with training in the law appointed by the President of the University for a three-year term, subject to reappointment. The term shall begin on September 1, and end August 31. The Hearing Officer shall convene and preside at all meetings of the hearing panel, but does not vote as a member of the Board or have the authority to cast a tie breaking vote. All questions of the law, either substantive or procedural, and all procedural questions shall be addressed to and ruled upon by the Hearing Officer. The Hearing Officer shall have the authority to exclude any hearing panel member that the Hearing Officer determines has a conflict of interest or the appearance of a conflict of interest with a case. If the Hearing Officer cannot serve when needed, the President or, in the President's absence, the Provost shall appoint a temporary substitute.

2. The Student Members

- (a) The student membership of the UAB shall be appointed to one-year terms, subject to reappointment. Their terms shall begin September 1 and end August 31.
- (b) The student membership shall consist of four (4) graduate or professional students and eight (8) undergraduate students. The undergraduates must be full-time students and either sophomores, juniors or seniors in good standing. The graduate or professional student must be a full-time student, have been in residence at least one year and be in good standing.
- (c) The President shall appoint student members to the UAB from the recommendations submitted by the Student Government Association and the college deans. Six (6) student members shall be appointed from those names submitted by the Student Government Association and six (6) members from those names submitted by the college deans, totaling twelve (12) student members.

3. The Faculty Members

Faculty members of the UAB shall be appointed to staggered three-year terms by the President of the University upon the recommendation of the University Senate Council. All terms shall begin on September 1 and end on August 31. To minimize the possibility of a conflict of interest, faculty members with primary administrative appointments (more than fifty percent of their assignment allotted to administration) shall not be appointed to the UAB.

4. Temporary Appointments

- (a) If a sufficient number of the members of the UAB are not present or have been determined by the Hearing Officer to have a conflict of interest or the appearance of a conflict of interest at any time when that Board has duties to perform, the President of the University or, in the President's absence, the Provost, shall make such temporary appointments as are necessary to ensure that the required number of members are present. Such temporary appointments need not be preceded by the recommendations otherwise provided herein. However, in no case shall a faculty member replace a student member or a student member replace a faculty member.
- (b) If, at any time, in the judgment of the Hearing Officer, there are sufficient cases pending before UAB that it is unlikely that the pending cases can be processed within the time prescribed, the Hearing Officer shall notify the President of that fact. The President may, in accordance with the above provisions of GR XI Section E, activate additional boards and appoint a Hearing Officer for each such additional board, or appoint additional boards and hearing officers for designated cases and time periods.
- (c) The authority, jurisdiction, and range of possible actions of, and the guaranteed rights of an accused person before any special board or panel appointed or activated under the terms of (a) or (b) above shall be the same as those applicable to the regularly constituted board or panel.

F. Procedures of the University Appeals Board

Additional procedures of the UAB shall be those prescribed by *University Senate Rules* for academic cases, the *Code of Student Conduct* for non-academic cases, or by the UAB to effectuate the orderly conduct of its functions.

G. Disposition of Cases – Authority

The authority of the UAB over the disposition of academic cases is provided in the *University Senate Rules* for academic cases and in the *Code of Student Conduct* for non-academic cases. Decisions of the UAB are final.

PR 4

Office of the President
March 7, 2006

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Michael Bardo, Department of Psychology; Alan Daugherty, Division of Cardiovascular Medicine; Jonathan Phillips, Department of Geography; and Daret St. Clair, Graduate Center for Toxicology as University Research Professors for 2006-07.

Background: In April 1976, the University Senate recommended to the president the establishment of one-year research professorships and that priority be given to the goal of establishing permanent research professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year University Research Professorships to be activated in the fall of 1977. The Board of Trustees named the first University Research Professors at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, to provide an opportunity for concentrated research effort for selected faculty members, to recognize outstanding research achievement by members of its faculty, to emphasize its research function, and to publicize its research accomplishments in order that the research function of the university be appropriately recognized and understood.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

AACR 1

Office of the President
March 7, 2006

Members, Board of Trustees:

ESTABLISHMENT OF THE LOUIE B. NUNN CENTER FOR ORAL HISTORY

Recommendation: that the Board of Trustees approve the establishment of the Louie B. Nunn Center for Oral History in the University of Kentucky Libraries, effective immediately.

Background: For over thirty years the University of Kentucky has sought to build one of the finest and most thorough oral history collections for modern political and public policy research in the United States. These interviews help to document politics and the development of U.S. governmental policy during the past century and have proven invaluable to undergraduate and graduate students, faculty, and independent scholars researching American politics and government. The collections are impressive in both the number of interviews and content, but it is the research value of these collections that remains most impressive.

Oral history projects have documented important public policy issues including Kentucky education reform, Kentucky conservation, coal mining, broadcasting in Kentucky, the civil rights movement, a history of Kentucky mayors, a history of the Kentucky General Assembly, and a history of the Lexington Urban League.

The proposed Louie B. Nunn Center for Oral History will be an integral part of the University of Kentucky Libraries under the administration of the Dean of Libraries. Over the past thirty-three years the Oral History Program has worked closely with UK faculty across the campus. An advisory committee comprised of UK faculty and staff will be assembled to assure that a close working relationship with faculty continues with the center.

The establishment of the Louie B. Nunn Center for Oral History recognizes and honors the late Governor Nunn and his life-long devotion to the betterment of Kentucky and its people. It will be a lasting tribute to Governor Nunn's years of public service and his commitment to supporting UK's Oral History efforts.

The proposal is supported and has been endorsed by the Senate Council and University Senate. The interim provost of the university also supports this recommendation.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 1

Office of the President
March 7, 2006

Members, Board of Trustees:

ASHLAND INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from Ashland Inc. of Lexington, Kentucky to create and endow the Ashland Inc. Research Fellowship in Literacy and Leadership in the Graduate School and submit this gift of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Ashland Inc. wishes to endow fellowships for graduate students whose research emphasis is in reading, literacy, education, community leadership, Appalachian studies, or the social sciences. Ashland Inc. has been a generous and longstanding supporter of the university and of quality education and lifelong learning projects throughout Kentucky.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 2

Office of the President
March 7, 2006

Members, Board of Trustees:

ESTATE OF JEAN T. BARNHART GIFT

Recommendation: that the Board of Trustees accept a gift of \$73,295 from the Estate of Jean T. Barnhart to create and endow the Jean T. Barnhart Memorial Geography Fellowship Endowment in the College of Arts and Sciences and submit this gift of \$73,295 for matching funds from Kentucky's Endowment Match Program. In accordance with the Council on Postsecondary Education guidelines, the Board of Trustees acknowledges that it has primary responsibility for the implementation of the Endowment Match Program and is responsible for providing oversight of all endowment funds.

Background: Jean T. Barnhart received her Bachelor of Arts degree in Geography from the University of Kentucky in 1947. This gift is a result of her life studies in geography and natural resources and her belief in the importance of teaching these subjects.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 3

Office of the President
March 7, 2006

Members, Board of Trustees:

EARL F. AND JEAN M. LOCKWOOD GIFT

Recommendation: that the Board of Trustees accept a gift of \$80,000 from Earl F. and Jean M. Lockwood of Alexandria, Virginia to add to the existing Earl F. and Jean M. Lockwood Professorship of International Commerce and Entrepreneurship in the Patterson School of Diplomacy and submit this gift of \$80,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mr. Lockwood was born and raised in Catlettsburg, Kentucky and received a Bachelor of Science degree from the University of Kentucky in mechanical engineering in 1956. His affinity to the Patterson School comes from a career spent in military affairs and international commerce. In 1977, he founded Betac Corporation and became associated with the Patterson School. Two former directors of the School, Vince Davis and John Stempel, worked closely with Mr. Lockwood on issues of military intelligence. Mr. Lockwood served on the Patterson School Board of Advisors for many years and his wife, Jean, assisted many Patterson School students in their preparations for the job market.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 4

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE JOY BALE AND GEORGE STREET BOONE
SYMPOSIUM IN THE HUMANITIES FUND

Recommendation: that the Board of Trustees accept a gift of \$20,000 from the estate of George Street Boone of Elkton, Kentucky; a gift of \$500 from Josephine D. Richardson of Whitesburg, Kentucky; and a gift of \$10,000 and pledge of \$40,000 from Clara Dupree of Lexington, Kentucky to add to the existing Joy Bale and George Street Boone Symposium in the Humanities in the Gaines Center for the Humanities and submit these gifts and pledges of \$70,500 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Josephine D. Richardson is a member of the advisory board of the Gaines Center, George Street Boone was an honoree at the creation of this endowment, and Clara Dupree is a friend of the Gaines Center. The endowment funds an annual two-day symposium that brings a humanities perspective to a current issue facing the Commonwealth.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 5

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE BELL ALCOHOL AND ADDICTIONS CHAIR

Recommendation: that the Board of Trustees accept gifts of \$515,573 and pledges of \$123,500 from multiple donors to create and endow the Bell Alcohol and Addictions Chair in the College of Medicine's Department of Family and Community Medicine and submit these gifts and pledges of \$639,073 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mr. and Mrs. John A. Bell and a number of their friends wish to create this endowed chair to enhance research and create new methodologies for teaching medical students and residents how to recognize and treat patients who have substance addictions, including alcohol and those resulting from medical conditions.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 6

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE CLARKE-KNAPP
ENTOMOLOGY RESEARCH FUND

Recommendation: that the Board of Trustees accept gifts of \$20,420 and pledges of \$30,000 from multiple donors to create and endow the Clarke-Knapp Entomology Research Fund in the College of Agriculture's Department of Entomology and submit these gifts and pledges of \$50,420 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Fred W. Knapp, J. Lyell Clarke III, and Dr. Gary E. Crum wish to create an endowment that will support graduate student research in the field of Entomology. Dr. Knapp is a retired University of Kentucky professor of Entomology. Dr. Crum received his Master of Science degree and Doctor of Philosophy degree in Entomology from UK. J. Lyell Clarke III received his Bachelor of Science degree in Entomology from UK and is the owner of Clarke Mosquito Control in Roselle, Illinois.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 7

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE JOHN R. GAINES ENDOWED CHAIR IN THE
HUMANITIES FUND

Recommendation: that the Board of Trustees accept gifts of \$94,291 and pledges of \$109,449 from multiple donors to the John R. Gaines Endowed Chair in the Humanities in the Gaines Center for the Humanities and submit these gifts and pledges of \$203,740 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: These donors seek to honor the memory of John R. Gaines, a founder of the Gaines Center for the Humanities. The donors include Gaines Center advisory board members, parents, current and alumni Gaines Fellows, and friends of the Gaines Center.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 8

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGE TO THE KEVIN HEIDRICH/TEAM 7 ENDOWMENT
FOR ALS PATIENT SERVICES

Recommendation: that the Board of Trustees accept gifts of \$49,053 from multiple donors and a pledge of \$137,000 from Team 7 of Edgewood, Kentucky to add to the existing Kevin Heidrich/Team 7 Endowment for ALS Patient Services in the College of Medicine's Division of Neurology and submit these gifts and pledges of \$186,053 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The gifts are being made in honor and memory of Mr. Kevin Heidrich, an amyotrophic lateral sclerosis (Lou Gehrig's disease) patient of Dr. Edward Kasarskis in the Division of Neurology. Mr. Heidrich died in July, 2005. The Team 7 Fighting ALS organization, founded by friends and family of Mr. Heidrich, raises funds to support ALS patient services, education, and research.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 9

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE BOBBY C. PASS ENDOWED PROFESSORSHIP

Recommendation: that the Board of Trustees accept gifts of \$53,646 and a pledge of \$1,200 from multiple donors to create and endow the Bobby C. Pass Endowed Professorship in the College of Agriculture and submit these gifts and pledges of \$54,846 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Bobby C. Pass joined the faculty of the College of Agriculture's Department of Entomology in 1962 and became chair of the department in 1968. Upon his death in December, 2001, many memorial gifts were given to the Department of Entomology in his name. Ann Pass, wife of Dr. Pass, and their son Kevin wish to fulfill Dr. Pass's dream of creating a named professorship in Entomology at the University of Kentucky with the gifts made in his memory.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 10

Office of the President
March 7, 2006

Members, Board of Trustees:

GIFTS TO THE WERNER F. S. SCHMITT PROFESSORSHIP IN AGING AND
NEUROBEHAVIOR

Recommendation: that the Board of Trustees accept gifts of \$146,485 from multiple corporations and individuals to create and endow the Werner F. S. Schmitt Professorship in Aging and Neurobehavior in the Sanders-Brown Center on Aging and submit these gifts of \$146,485 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Frederick Schmitt, a professor of Neurology who holds a joint appointment in the Sanders-Brown Center on Aging, is establishing this professorship in honor of his father.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 11

Office of the President
March 7, 2006

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED
DECEMBER 31, 2005

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 2005.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of December 31, 2005, the university had realized income of \$904,242,000 representing 53 percent of the 2005-06 estimate of \$1,690,892,000. Expenditures and commitments total \$794,260,000 or 47 percent of the approved budget of \$1,690,892,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____



*Consolidated Financial
Statements*

For the six months ended December 31, 2005

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
DECEMBER 31, 2005
with comparative totals for December 31, 2004
(in thousands)

	2005-06					2004-05	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 172,477		\$ 7,370	\$ 2,733	\$ 366,377	\$ 548,957	\$ 407,929
Notes, loans, and A/R (less bad debt allowances of \$24,618)	103,459	\$ 48,684	23,305	1,000	1,108	177,556	158,246
Investments	58,712	103,826		597,752	67,817	828,107	767,274
Property, plant, and equipment, net of depreciation					1,056,600	1,056,600	1,001,417
Inventories and other	22,153	119			1,103	23,375	18,057
Total Assets	\$ 356,801	\$ 152,629	\$ 30,675	\$ 601,485	\$ 1,493,005	\$ 2,634,595	\$ 2,352,923
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 42,030	\$ 6,595	\$ 244		\$ 623	\$ 49,492	\$ 47,565
Employee withholdings and deposits		6,913				6,913	5,964
Other liabilities	9,192	537	21,840	\$ 5,652	5,113	42,334	38,544
Liability for self insurance	64,552					64,552	55,473
Deferred income	46,362	14,940				61,302	84,171
Bonds payable					385,065	385,065	276,785
Capitalized lease obligation					66,077	66,077	43,086
Total Liabilities	162,136	28,985	22,084	5,652	456,878	675,735	551,588
Interfund Balances	(65,458)	52,719		14,587	(1,848)		
Net deferred revenues and appropriated fund balances	109,017	966				109,983	85,586
Fund Balances							
Current unrestricted							
Working capital	138,634					138,634	107,229
Future operating purposes	4,499					4,499	6,509
Other	7,973					7,973	-
Current restricted		69,959				69,959	63,253
Loan			8,591			8,591	8,476
True endowments				507,304		507,304	458,643
Term endowments				3,247		3,247	3,133
Quasi endowments				67,636		67,636	70,509
Charitable trusts				2,453		2,453	2,234
Gift annuities				606		606	440
Plant							
Retirement of indebtedness					23,473	23,473	21,895
Renewal and replacement					177,400	177,400	172,969
Allocated for designated projects					96,641	96,641	71,676
Net investment in plant					740,461	740,461	728,783
Total Fund Balances	151,106	69,959	8,591	581,246	1,037,975	1,848,877	1,715,749
Total Liabilities and Fund Balances	\$ 356,801	\$ 152,629	\$ 30,675	\$ 601,485	\$ 1,493,005	\$ 2,634,595	\$ 2,352,923

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2005
with comparative totals for December 31, 2004
(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 314,303	\$ 314,303	\$ 172,866	55	\$ 157,184	53
(2) Student fees	193,518	193,477	99,983	52	92,643	54
(3) County appropriations	12,024	12,734	5,195	41	4,353	36
(4) Endowment and investment income	7,542	7,542	5,295	70	2,355	63
(5) Non governmental grants and contracts	89,703	89,754	46,684	52	40,896	46
(6) Grants, donations, pledges						
Affiliated corporations	25,023	25,223	11,951	47	11,738	49
Other	1,521	1,606	4,429	276	879	19
(7) Sales and services	20,956	20,920	13,978	67	15,090	74
(8) Transfers	18,045	18,045	6,841	38	6,055	43
(9) Fund balance	27,057	52,122	52,122	100	39,241	100
(10) Total General Fund	709,692	735,726	419,345	57	370,434	55
(11) Auxiliary Enterprises	65,676	65,676	35,625	54	34,375	60
Restricted Funds						
(12) Federal appropriations	15,357	15,357	6,375	42	7,285	46
(13) Other	93,928	93,928	34,699	37	37,533	42
(14) Affiliated Corporations	314,145	314,145	158,968	51	145,806	51
(15) Hospital						
Revenues	466,060	466,060	249,231	53	207,102	57
(16) Fund balance						
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 904,242</u>	53	<u>\$ 802,535</u>	54

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2005

with comparative totals for December 31, 2004

(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(1) Instruction	\$ 267,916	\$ 260,180	\$ 115,767	44	\$ 115,129	42
(2) Research	275,050	279,120	112,493	40	105,844	42
(3) Public service	196,417	198,732	103,835	52	92,802	50
(4) Academic support	87,776	89,934	40,313	45	33,036	41
(5) Student services	21,397	22,059	11,118	50	10,437	50
(6) Institutional support	78,340	101,383	26,843	26	33,524	49
(7) Student financial aid	77,859	78,386	41,136	52	40,250	61
(8) Operation and maintenance	49,836	50,831	23,891	47	22,512	48
(9) Mandatory transfers (debt service)	19,863	19,863	17,863	90	17,573	94
(10) Hospital	468,148	468,148	238,691	51	186,121	51
(11) Auxiliary enterprises	122,256	122,256	62,311	51	59,721	55
(12) Total Expenditure by Program	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 794,260</u>	47	<u>\$ 716,949</u>	48

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2005

with comparative totals for December 31, 2004

(in thousands)

	2005-06				2004-05	
	Original Budget	Revised Budget	Expended To Date	%	Expended To Date	%
(13) Personnel costs	\$ 897,696	\$ 912,813	\$ 463,756	51	\$ 422,029	51
(14) Operating expenses	678,887	691,664	293,029	42	250,360	44
(15) Mandatory transfers (debt service)	33,025	33,025	23,209	70	22,236	74
(16) Capital outlay	55,250	53,390	14,266	27	22,324	44
(17) Total Expenditure by Category	<u>\$ 1,664,858</u>	<u>\$ 1,690,892</u>	<u>\$ 794,260</u>	47	<u>\$ 716,949</u>	48

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2005**

with comparative totals for December 31, 2004

(in thousands)

	2005-06	2004-05
(1) Realized Revenues	\$ 852,121	\$ 763,294
Appropriated Fund Balances		
(2) Current Unrestricted Fund	\$ 52,122	39,241
(3) University Hospital		
(4) Total Appropriated Fund Balances	52,122	39,241
(5) Total Revenues and Appropriated Fund Balances	904,243	802,535
(6) Expenditures	794,260	716,949
(7) Net Deferred Revenues and Appropriated Fund Balances	\$ 109,983	\$ 85,586

FCR 12

Office of the President
March 7, 2006

Members, Board of Trustees:

ESTABLISHMENT OF CHANDLER MEDICAL CENTER UNIVERSITY HOSPITAL
QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees authorize the establishment of the University Hospital Quasi-Endowment Fund and approve the transfer of up to a maximum of \$150,000,000 from University Hospital reserve funds currently on deposit with the State Treasurer for participation in the Endowment investment pool.

Background: The UK HealthCare Patient Care Facility Phase 1A project, with an authorized scope of \$450,000,000, is a multi-phased project over an estimated five-year period to replace the original hospital structure. The Board of Trustees authorized the issuance of University of Kentucky General Receipts Project Notes, 2005 Series A, in the amount of \$107,540,000 as partial funding for the project and plans to issue additional bonds or notes in the approximate amount of \$150,000,000. The UK HealthCare administration intends to maintain a minimum of \$200,000,000 in cash balances and general reserves for asset/liability management, liquidity requirements, and to support a high level (Aa3) credit rating for the bonds and/or notes.

Transfer of the Hospital reserve funds to a quasi-endowment account will permit a longer-term investment objective and greater diversification of investments. Over time, the latter is expected to result in higher returns than shorter-term fixed-income investments, thereby providing additional resources to support the capital and operational needs of the University Hospital.

Kentucky's Secretary of the Finance and Administration Cabinet, Robbie Rudolph, has approved the request to invest the University Hospital funds in the University of Kentucky Endowment Investment Pool. Additions to and/or withdrawals from the University Hospital Quasi-Endowment Fund shall require the approval of the Board of Trustees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 13

Office of the President
March 7, 2006

Members, Board of Trustees:

AMENDMENTS TO THE UNIVERSITY OF KENTUCKY WOMAN'S CLUB FUND ENDOWMENT AGREEMENT

Recommendation: that the Board of Trustees approve amendments to the University of Kentucky Woman's Club Fund Endowment Agreement.

The amendments include the following changes:

Moving the fellowship from the Student Financial Aid Office to the Graduate School, changing the selection criteria from a specified GPA to those "who have passed the qualifying exam for graduate school," and including a non-voting representative from the UK Woman's Club on the selection committee.

The amended criteria also include the following statement:

"In all instances, the Fellowships shall be awarded in accordance with the current University diversity and/or affirmative action guidelines. Subject to the foregoing, the Donor requests that female graduate students age 30 or older be considered; if none are eligible, then female students age 30 and younger; if none are eligible, then students of any age may be considered."

Background: Established in 1909, the University of Kentucky Woman's Club serves the university, supports its students, and provides its members with social and cultural opportunities. The University of Kentucky Woman's Club's original endowment agreement, dated October 7, 2003, has been amended to accommodate changes requested by the Woman's Club, the Graduate School, and the university's legal counsel.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

UNIVERSITY OF KENTUCKY
AMENDED ENDOWMENT AGREEMENT
UNIVERSITY OF KENTUCKY WOMAN'S CLUB FUND

THIS AGREEMENT made and entered into this the 13th day of December 2005, by and between the University of Kentucky Woman's Club, hereinafter referred to as "Donor," and the University of Kentucky, Sturgill Development Building, Lexington, Kentucky 40506-0015, hereinafter referred to as the "University."

WITNESSETH:

WHEREAS, the Donor established an endowed fund at the University of Kentucky, by Agreement dated October 7, 2003; and

WHEREAS, the Donor and the University desire to amend the terms of the Agreement to the extent that the new terms of the Agreement, where applicable, shall supercede the terms of the original Agreement; and

WHEREAS, the University agrees to receive and administer the said Fund in accordance with the wishes of the Donor;

NOW, THEREFORE, in consideration of the foregoing and the terms and conditions hereinafter set forth, the Donor and the University do hereby mutually agree as follows:

- (1) The Fund shall be known as The University of Kentucky Woman's Club Fund.
- (2) The initial principal of the Fund consists of a \$68,000 deposit made on April 15, 2003 and a \$32,000 deposit made on October 7, 2003 for a total of \$100,000. At any time, additional contributions can be made to the principal of the Fund by the Donor or other individuals, organizations, or

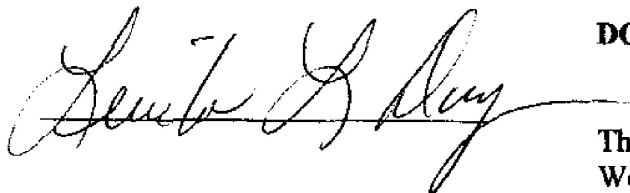
business entities. This principal shall be invested by the University and the income therefrom will be used annually to provide one or more fellowships in an amount determined by the Fellowship Committee herein named, consistent with the endowment spending policy of the University. Total return of the Fund's investments shall be distributed in accordance with the endowment spending policy approved annually by the Board of Trustees of the University. The total return generated by the Fund in excess of the amount allocated for said fellowship(s) shall be added to the principal of said Fund. The Fund shall be invested by the University in accordance with its policy for the investment of endowed funds and may be assessed an annual fee for endowment management purposes not to exceed 1% of the endowed fund.

- (3) The recipients of the fellowship(s) shall be selected by **a committee appointed by the Dean of the Graduate School. The committee will include a non-voting representative from the University of Kentucky Woman's Club.**
- (4) The fellowship(s) shall be awarded to graduate students who **have passed the qualifying exam for graduate school** and are residents of the Commonwealth of Kentucky. In all instances, the Fellowships shall be awarded in accordance with the current University diversity and/or affirmative action guidelines (including individual criteria). Subject to the foregoing, the Donor requests that female graduate students age 30 or

older be considered; if none are eligible, then female students age 30 and younger; if none are eligible, then students of any age may be considered.

- (5) The University shall, upon request, advise the Donor of the name(s) of the fellowship recipient(s) each year.
- (6) This agreement may be duplicated through photocopying, microfilming, or other means. Any such copy shall have the same force and effect of this original agreement.
- (7) The Donor and the University expressly agree that this Fund is gratuitous in nature, and that the Donor is under no legal duty or obligation to make further additions to the above-mentioned Fund.
- (8) It is the desire of the donor that this Fund will benefit the University in perpetuity. Notwithstanding, if for any reason all or part of the total return from the Fund cannot be usefully applied to the purposes stated herein, then it will be expended for another use to be designated by the Board of Trustees of the University upon recommendation of the University's President after consultation with the Dean of the Graduate School in order to most nearly carry out the desire of the Donor.

WITNESS the signatures of the parties hereto this the 13 day December, 2005.



DONOR

The University of Kentucky
Woman's Club

RECOMMENDED FOR APPROVAL BY:

UNIVERSITY OF KENTUCKY

Examined for Form and Legality
Office of Legal Counsel
University of Kentucky

By: R. Bruce Lankford
R. Bruce Lankford,
Attorney at Law

By: Lee T. Todd, Jr.
Lee T. Todd, Jr.,
President

Henry Clay Owen
Henry Clay Owen,
Treasurer

Jeannine Blackwell
Jeannine Blackwell
Dean, The Graduate School

D. Michael Richey
D. Michael Richey,
Associate Vice President for Development

FCR 14

Office of the President
March 7, 2006

Members, Board of Trustees:

PROPOSED 2006-07 TUITION AND MANDATORY REGISTRATION FEE SCHEDULES

Recommendation: that the Board of Trustees adopt the following 2006-07 tuition and mandatory fee schedule. Per KRS 164.020, the Council on Postsecondary Education has the authority to review and approve tuition and mandatory fees at the public postsecondary educational institutions. The president will present UK's 2006-07 tuition and mandatory fees to the Council March 24, 2006.

2006-07 TUITION AND MANDATORY FEE SCHEDULE (Effective Fall 2006)

	Semester Full-Time Fee ¹		Part-Time, Four-Week and Eight-Week Intersessions Per-Credit-Hour Fee ²	
	2005-06	2006-07	2005-06	2006-07
Undergraduate				
<i>Lower Division</i>				
Resident	\$2,906.00	\$3,255.00	\$232.25	\$258.15
Non-Resident	\$6,399.00	\$6,985.00	\$523.25	\$569.15
<i>Upper Division</i>				
Resident	\$2,990.00	\$3,349.00	\$239.25	\$266.15
Non-Resident	\$6,485.00	\$7,078.00	\$530.25	\$577.15

Additional charges and program fees:

Undergraduate students will be charged an additional \$15 per credit hour for each engineering course.

Undergraduate engineering students enrolled in the collaborative Chemical Engineering or Mechanical Engineering programs between Murray State, West Kentucky Community and Technical College (WKCTC), and the University Kentucky will be charged tuition at the WKCTC tuition rate for all Paducah-based courses until such time as Engineering status is attained. At such time, students will be billed at the University of Kentucky rate for the Paducah-based courses.

Semester Full-Time Fee ¹		Part-Time, Four-Week and Eight-Week Intersessions Per-Credit-Hour Fee ²	
<u>2005-06</u>	<u>2006-07</u>	<u>2005-06</u>	<u>2006-07</u>

Undergraduate Physical Therapy and Communications Disorders majors will be charged a program fee of \$75 per semester (fall and spring) and \$35 per summer term.

Undergraduate students who have declared a major in Interior Design or Architecture will be charged a program fee of \$145 per semester (fall and spring).

Students will be charged an additional \$15 per credit hour for each undergraduate Business and Economics course, with the exception of ECON 101 and ECON 201.

Graduate

Resident	\$3,159.00	\$3,518.00	\$331.25	\$368.15
Non-Resident	\$6,984.00	\$7,577.00	\$756.25	\$819.15

Additional charges and program fees:

Communications Disorders and Physical Therapy graduate students will be charged a program fee of \$75 per semester (fall and spring) and \$35 per summer term.

Engineering graduate students will be charged a program fee of \$400 per semester for full-time students and \$45 per credit hour for part-time students.

Interior Design, Architecture, and Historic Preservation graduate majors will be charged a program fee of \$145 per semester.

Master in Accounting students will be charged a \$300 program fee per semester.

Master in Business Administration (MBA)

Full-Time Students in the "Day" Program

Resident	\$3,314.00	\$3,776.00
Non-Resident	\$7,146.00	\$7,839.00

Additional charges and program fees:

Students enrolled in the collaborative MBA program between the University of Kentucky and the Technological Education Institute of Piraeus (courses held in Greece) will be charged tuition of \$9,000 to complete required course work in 18 months.

Full-time, resident MBA students will be charged a program fee of \$3,000 per semester.

Full-time, nonresident MBA students will be charged a program fee of \$3,500 per semester.

	Semester Full-Time Fee ¹		Part-Time, Four-Week and Eight-Week Intersessions Per-Credit-Hour Fee ²	
	<u>2005-06</u>	<u>2006-07</u>	<u>2005-06</u>	<u>2006-07</u>
<i>Other Returning Full-time MBA Students and all Part-time MBA Students (were full-time in fall 2004)</i>				
Resident	\$3,653.00	\$4,068.00	\$386.25	\$429.15
Non-Resident	\$8,340.00	\$9,046.00	\$907.25	\$982.15

Additional charges and program fees:

Returning full-time MBA students who were full-time in fall 2004 will be charged a program fee of \$300 per semester.

All part-time resident MBA students will be charged a program fee of \$750 per semester.

All part-time nonresident MBA students will be charged a program fee of \$1,100 per semester.

Master of Arts in Diplomacy and International Commerce

Master of Science in Physician Assistant Studies

Resident	\$3,314.00	\$3,690.00	\$349.25	\$387.15
Non-Resident	\$7,146.00	\$7,753.00	\$774.25	\$838.15

Master of Science in Radiological Medical Physics

Master of Science in Health Physics

(College of Health Sciences, Division of Radiation Sciences)

Resident	\$3,678.00	\$4,095.00	\$389.25	\$432.15
Non-Resident	\$7,518.00	\$8,156.00	\$816.25	\$883.15

Law

Students - Entering Classes of Fall 2005 and 2006

Resident	\$5,768.00	\$6,421.00	\$561.25	\$623.15
Non-Resident	\$10,731.00	\$11,636.00	\$1,057.25	\$1,145.15

Other Returning Students

Resident	\$5,617.00	\$6,253.00	\$546.25	\$606.15
Non-Resident	\$10,513.00	\$11,400.00	\$1,035.25	\$1,121.15

	Semester Full-Time Fee ¹		Part-Time, Four-Week and Eight-Week Intersessions Per-Credit-Hour Fee ²	
	<u>2005-06</u>	<u>2006-07</u>	<u>2005-06</u>	<u>2006-07</u>
Pharm. D.				
<i>Students- Entering Classes of Fall 2005 and 2006</i>				
Resident	\$7,325.00	\$8,154.00	\$600.25	\$667.15
Non-Resident	\$14,325.00	\$15,530.00	\$1,183.25	\$1,281.15
<i>Other Returning Students</i>				
Resident	\$6,059.00	\$6,745.00	\$494.25	\$549.15
Non-Resident	\$12,758.00	\$13,832.00	\$1,053.25	\$1,140.15
Professional Doctoral				
Resident	\$4,120.00	\$4,587.00	\$438.25	\$487.15
Non-Resident	\$9,654.00	\$10,470.00	\$1,053.25	\$1,140.15

	<u>2005-06</u>	<u>2006-07</u>		
Medicine³ (Annual Rates)				
<i>Students - Entering Classes of Fall 2005 and 2006</i>				
Resident	\$19,080.00	\$21,312.00	-	-
Non-Resident	\$38,054.00	\$41,322.00	-	-
<i>Other Returning Students</i>				
Resident	\$18,572.00	\$20,746.00	-	-
Non-Resident	\$37,320.00	\$40,528.00	-	-
Dentistry⁴ (Annual Rates)				
<i>Students - Entering Classes of Fall 2005 and 2006</i>				
Resident	\$17,498.00	\$19,534.00	-	-
Non-Resident	\$38,800.00	\$42,114.00	-	-
<i>Other Returning Students</i>				
Resident	\$17,035.00	\$19,018.00	-	-
Non-Resident	\$38,130.00	\$41,388.00	-	-

NOTES:

¹A full-time load is at least 12 credit hours for undergraduate and pharmacy students, 9 hours for graduate and professional doctoral students, and 10 hours for law students.

²Part-time students and four-week and eight-week intersession students are charged on a per-credit hour basis.

³A half-time tuition and fee rate of \$11,106 for resident students and \$21,111 for non-residents is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to take a reduced curriculum load.

⁴A half-time tuition rate of \$10,217 for resident students and \$21,507 for non-resident students is established for those dental students who have been approved by the Dean of the College of Dentistry to take a reduced curriculum load.

Background: At its December 13, 2005 meeting, the University of Kentucky Board of Trustees approved the Top 20 Business Plan, designed to provide the financial and capital framework for moving the institution toward Top 20 status by 2020, as mandated by the Kentucky General Assembly. The Plan indicates the investments that need to be made, beginning in 2006-07, including faculty and staff salary and wage increases, additional faculty lines, a staff enhancement pool, and increased operating expenses. The 2006-07 preliminary budget projection reflects a need for over \$30 million of additional General Funds.

According to the budget recently adopted by the House Appropriations and Revenue Committee, UK would receive \$5.6 million in the first year of the biennium of additional state appropriations which may be used to implement the Top 20 Business Plan. Given this appropriation increase and the needs of the institution, a tuition and mandatory fee increase of 12 percent is recommended. This increase would generate an estimated \$17.4 million of additional revenue in 2006-07. The proposed increases will be presented at a forum open to the entire campus community March 6, 2006.

Following Board adoption of the tuition and fee rates, President Todd will present these rates to the Council on Postsecondary Education at the Council's March 24 meeting. Per KRS 164.020, the Council has the authority to approve tuition at the public colleges and universities in Kentucky. Beginning in 2001, the Council devolved the authority to set tuition to the individual governing boards. At its January 2006 meeting, the Council reasserted its authority to set tuition and fee rates and established a policy and process for reviewing and approving rates adopted by the governing boards.

This policy includes specific guidelines regarding the relationship between state appropriation increases for the 2006-08 biennium and the maximum increase in tuition each institution may adopt for 2006-07 and 2007-08. The level of increase governing boards may adopt is dependent on the amount of increase in state appropriations. The proposed tuition and mandatory fees recommended to the Board are consistent with Council policy.

For full-time, undergraduate, resident students having earned less than 60 hours, the recommended rate is \$3,255 per semester, a \$349 (or 12.01 percent) increase. The Council's policy permits an increase of up to \$439 per semester (or 15.1 percent).

The above rates include mandatory fees for most full-time students totaling \$364 per semester. These fees reflect a \$39.00 increase per semester, or 12 percent. The increase will be used primarily to fund debt service associated with a new student health facility. Medicine, Dentistry, and MBA students ("Day Program") will be charged mandatory fees totaling \$450 per semester as they are provided year-round health services. Mandatory fees included in these rates are charged to all students enrolled on the Lexington Campus and at the Medical Center in accordance with their status as full-time or part-time students. Students taking all of their courses at off-campus locations outside of Fayette County and its contiguous counties may have the fees waived. However, if a student who is eligible for this waiver wants to participate in activities supported by these fees, the student must pay the total mandatory fee. Students enrolled at the Lexington Community College, which is now a part of the KCTCS system, will have the opportunity to choose à la carte from the list of mandatory fees.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 15

Office of the President
March 7, 2006

Members, Board of Trustees:

2006-07 ROOM AND BOARD RATES

Recommendation: that the Board of Trustees adopt the following room and board rates. Increases in housing and dining rates reflect increased operating expenses projected for the 2006-07 school year.

Dining (per semester effective Fall 2006)

Dining Services are provided through “unlimited choice plans.” Students are able to select whatever they choose to eat as one “meal.” The minimum plan will cost \$949 and includes 110 unlimited choice meals with \$100 Flex dollars. The optional plans also include \$100 Flex dollars per semester. Flex dollars may be used as “cash” at dining venues.

		<u>2005-06</u>	<u>2006-07</u>
	<u>Avg. Meals Per Week</u>		
Minimum Plan:	8	\$883.00	\$949.00
Optional Plans:	9	\$1,099.00	\$1,180.00
	10	\$1,199.00	\$1,289.00
	13	\$1,387.00	\$1,490.00
	16	\$1,595.00	\$1,715.00
	18	\$1,698.00	\$1,825.00

Fall and Spring Housing (per semester effective Fall 2006)

Residence Halls

Residence Halls Opened before July 2005

➤ Housing with air-conditioning	\$1,681.50	\$1,806.00
➤ Housing without air-conditioning	\$1,681.50	\$1,734.00
➤ Greg Page – 2 BR	\$2,537.50	\$2,319.00
➤ Greg Page – 4 BR	\$1,735.00	\$1,856.50
➤ Greek Housing	\$1,735.00	\$1,856.50
	<u>2005-06</u>	<u>2006-07</u>

New Undergraduate Residence Halls

➤ Suites – Double	\$2,125.00	\$2,319.00
➤ Suites – Single	\$3,132.50	\$3,423.50

Additional Housing Fees

➤ Smith, New North, Kirwan II, and Holmes (per semester)	\$62.00	\$67.00
-------------------------------------------------------------	---------	---------

NOTE: Smith Hall and New North Hall will remain open during all stated academic recesses of the university between August 23, 2006 and May 4, 2007 to accommodate students participating in the international program (Global Village), as well as students who require housing during recesses. Kirwan II will be operated as a "Wellness Hall," with special equipment, programming, and instruction. Holmes Hall is to be co-ed with a Sustainable Learning Center. Another nine-month hall will be named at a later date.

➤ Other Halls Open during Academic Recess (per day)	\$7.00	\$7.50
--------------------------------------------------------	--------	--------

NOTE: The per-diem rate is established for occupancy of halls that are not normally open during stated academic recesses of the university (Thanksgiving, Christmas, and spring break). Students must secure special permission to remain in housing during these periods.

Apartment Housing (per month effective July 1, 2006)

➤ Greg Page Stadium View Family Apartments Two Bedroom	\$628.00	\$672.00
➤ Cooperstown – Shawneetown Efficiency	\$467.00	\$500.00
1 Bedroom	\$578.00	\$618.00
2 Bedroom	\$628.00	\$672.00
➤ Commonwealth Village Efficiency	\$467.00	\$500.00
1 Bedroom	\$578.00	\$618.00
➤ Linden Walk/Rose Lane Efficiency	\$467.00	\$500.00
➤ German House Single Room	\$507.00	\$542.00

2005-06**2006-07****Summer School Housing (effective Summer 2007)**

➤ 8-Week Session		
Single Occupancy	\$1,110.00	\$1,193.00
Double Occupancy	\$897.00	\$964.00
➤ 4-Week Session		
Single Occupancy	\$554.00	\$595.00
Double Occupancy	\$446.00	\$479.00
➤ 6-Week Session		
Single Occupancy	\$830.00	\$892.00
Double Occupancy	\$671.00	\$721.00

Conference and Guest Rates (per day effective Summer 2007)

➤ Single Room	\$40.00	\$42.00
➤ Double Room	\$24.00	\$26.00
➤ Pre-College Age with Linen	\$17.00	\$20.00
➤ Pre-College Age without Linen	\$13.00	\$15.00

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

FCR 16

Office of the President
March 7, 2006

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period ending December 31, 2005.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD OCTOBER 1, 2005 THROUGH DECEMBER 31,
2005

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. **U.S. Patent Application Serial Number: (to be assigned)**
 Filed: October, 2005
 Title: “HIGH-ACTIVITY MUTANTS OF BUTYLCHOLINESTERASE FOR COCAINE HYDROLYSIS AND METHOD OF GENERATING THE SAME”
 Inventors: Drs. Chang-Guo Zhan, Hoon Cho, and Hsin-Hsiung Tai (Department of Pharmaceutical Sciences).
 Technical Description: The present invention relates to butylcholinesterase variant polypeptides and, in particular, butylcholinesterase mutants having amino acid substitutions.
 Summary: Drugs such as cocaine are resistant to metabolic breakdown and are therefore more addictive than drugs readily broken down. The inventors have enhanced the ability of a specific protein (butylcholinesterase enzyme) to metabolize cocaine by causing specific mutations in the natural enzyme. The inventors anticipate this enhanced enzyme can be used to treat cocaine addiction.

2. **U.S. Patent Application Serial Number: (to be assigned)**
 Filed: October 31, 2005
 Title: “DELIVERY SYSTEM FOR A COMPOSITION”
 Inventors: Drs. Bruce Hinds (Department of Chemical and Materials Engineering) and Audra Stinchcomb (Department of Pharmaceutical Sciences).
 Technical Description: This invention relates to devices and methods for cutaneous delivery of a composition using ordered nanoporous membranes comprising aligned nanotubes embedded in a polymer matrix. The membranes of the present invention can be functionalized for selective transport of the composition.
 Summary: The inventors describe a new method of making skin patches to deliver pharmaceuticals (much like the nicotine patch). The proposed patches are made by embedding a patch with small hollow tubes that span the patch. Pharmaceuticals coat one side of the patch, and the other side is uncoated. When the uncoated side of the patch comes into contact with the skin, the pharmaceuticals pass from the coated side, through the pores of the tubes, and into the skin. The inventors anticipate the new patches will provide improved control over the flow of pharmaceuticals.

3. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: November 8, 2005
Title: "METHODS FOR DETECTION OF CANCER"
Inventors: Drs. Kimberly Anderson and Kimberly May (Department of Chemical and Materials Engineering) and Leonidas Bachas (Department of Chemistry).
Technical Description: This invention relates to methods for early detection of cancer and for monitoring progression, metastasis, and/or treatment efficacy of cancer. In particular, the invention provides a method for detection of analytes indicative of cancer or a metastatic disease using a biosensor.
Summary: The presence of cancer can be detected indirectly by measuring the level within body fluids of substances produced by cancer cells. Current methods of detecting these substances are frequently slow and insensitive. The inventors have developed a quick method of detecting even small amounts of substances produced by cancer cells. The method can be adapted easily to detect a wide variety of relevant substances in body fluids.
4. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: December 15, 2005
Title: "UTILITY OF PHYLLOPLANINS AS ANTIBIOTICS, SELECTIVE FUNGICIDES AND FOR ENHANCING MICROBIAL RESISTANCE IN CROP PLANTS"
Inventors: Drs. George J. Wagner and Ryan Shepherd (Department of Plant and Soil Sciences).
Technical Description: This invention relates to methods producing and using compositions useful as antimicrobial agents. More particularly, this invention relates to the use of phylloplanin proteins.
Summary: The inventors have identified and isolated phylloplanins, a substance found on the surface of plant leaves. Phylloplanins have remarkable antimicrobial and antifungal properties. The inventors contemplate that phylloplanins will form the basis of a new category of antimicrobial and antifungal products.
5. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: December 29, 2005
Title: "USE OF BORON COMPOUNDS TO PRECIPITATE URANIUM FROM WATER"
Inventors: Dr. David Atwood (Department of Chemistry).
Technical Description: This invention relates to the remediation of aqueous uranium contamination and, more particularly, to a method for precipitating uranium from water contaminate with the uranyl dication $[\text{UO}_2]^{2+}$.
Summary: Nuclear contamination is a byproduct of nuclear energy and nuclear research. The most common type of nuclear contamination is water soluble uranyl dication $[\text{UO}_2]^{2+}$, for which there is no simple method of decontamination. The inventor has discovered that borax (hydrated sodium borate) will precipitate $[\text{UO}_2]^{2+}$ from water under certain conditions. The uranium precipitate can be

easily isolated for disposal. The inventor anticipates his discovery will provide a simple method of remediation of uranium contamination.

Patent Activities
Fiscal year 2005-06 as of December 31, 2005

Number of Patent Applications	7
Number of Patents Issued	9
Patent Income	\$346,341

FCR 17

1

Office of the President
March 7, 2006

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 31, 2005 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the university is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period October 1, 2005 thru December 31, 2005:

There were three new contracts this quarter.

Project 2231.0	Upgrade Surgical Services Stengel-Hill Architecture, \$324,000
Project 2239.0	Construct Patient Care Facility GBBN, Inc., \$30,400,226
Project 2239.0	Construct Patient Care Facility Gilbane, \$1,000,000

Two contracts were completed:

Project 2153.0	Student Housing Facilities Messer Construction, \$35,521,596
Project 2225.0	Renovate Football Practice Field – Nutter Training Center Sportsfields, \$2,309,466

There was one amendment this quarter and four change orders greater than \$25,000.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$400,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probably cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- **Programming phase:** refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- **Schematic design (S/D or Phase 1):** refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- **Design development (D/D or Phase 2):** refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- **Construction document phase (C/D or Phase 3):** refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- **Bidding phase:** refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- **Construction phase (or Phase 5):** refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: Sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects

Capital Project Management Division

Center for Pharmaceutical & Science Technology (CPST Bldg.)

Project Description: The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream. The project scope is \$14 million, CE&IC from Princeton NJ provided the bridging documents, and Messer Construction is the design/builder.

Project Status: The building core and shell are substantially complete. Manufacturing equipment and interconnecting owner purchased "clean utility" equipment are being installed. Messer finalized most work and performed punchlist items. Commissioning and startup of building utilities will be completed during February and commissioning and validation of the manufacturing core will continue.

Construct Horticultural Research and Education Facility

Project Description: Expanded plant science technology will be a major contribution to economic expansion in the Commonwealth. The Horticultural Research and Education Center at South Farm is the key component in the Statewide plan to develop research/extension infrastructure in support of new and existing horticultural industries. The programs through this facility will explore, develop, evaluate, demonstrate, and communicate horticultural crop opportunities through innovative research and educational programs that enhance diversification, profitability, and sustainability of Kentucky farms and landscapes. It will become UK's most visible commitment to enhancing the viability of non-traditional enterprises and family farms. It will follow and supplement the intent of the South Farm Improvements project. These projects will serve to develop a horticulture research facility on the South Farm. Included in the scope are greenhouse and headhouse facilities, research laboratories, storage building for equipment and pesticides and environmental rooms.

Project Status: Currently awaiting design documents by College of Agriculture needed to advertise bids for greenhouses.

Construct Parking Structure

Project Description: This project constructs two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house 1,312 parking spaces (732 PS #6 and 580 PS #7) and 10,000 sq. ft. of office space. The structures will serve mixed usage of students, faculty, staff and visitors.

Project Status:

Parking Structure #6 (Virginia Ave.):

Work completed in January included Stair "A" and "B" concrete. Column "stub" caps are approximately 50% complete. The tower crane used during concrete structure installation has been demobilized to make way for a mobile crane to assist in installation of the exterior precast panels. Installation of the precast panels began on January 16th on northeast corner of the structure. Concrete masonry walls for the office area commenced during January. Installation of mechanical equipment has begun and ductwork is approximately 95% complete. Rough-in of mechanical, electrical and plumbing systems are ongoing, with interior metal stud framing just beginning. Anticipated work for February to include: Fabrication and installation of the steel in stair "B"; concrete precast panels on the east and south elevations; concrete masonry units at stair "B"; curtain

wall fabrication on the east office elevation; interior metal stud framing in the office to continue; hollow-metal door frames for the office areas. This project will complete in July 2006.

Parking Structure #7 (Complex Dr.):

Work progressed to substantial completion on January 10th. The contractor acknowledged that the elevator installation would be completed and inspected for use by the 20th and this date was met AND the exterior canopy systems would complete by the 20th and this date was not met, completing the installation on the 27th. Contractor has been notified that all punchlist items to be completed by February 9th. Punchlist inspections have been completed on all structural, mechanical, electrical and plumbing systems. Architectural punchlist inspections have been completed on those items complete as of January 12th.

Work in February to include completion of punchlist inspection items.

Construct Student Health Facility

Project Description: University Health Services will be an outpatient clinic to house University Health Services for students with support spaces and some administrative offices. This project will create additional space by constructing a new building of 65,000 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Project Status:

The programming and schematic design phases are complete. Coordination meetings have been held with Ellerbe Becket and Towers-Golde to coordinate the building materials and site layout with the Hospital Expansion project and Master Plan. Meetings were held with the users and Campus Facility Group to determine the facilities needs and responsibilities. The building is being held at a distance from the existing buildings to allow future access to maintain the underground utilities, which will not be relocated as a part of this project. The chilled water lines running underneath the building footprint will be rerouted through a sub-grade tunnel of the new building. Phase 1 (Schematic Design) review meetings were held in early December. The project is currently on schedule and within budget.

Design Patient Bed Tower – Hospital/Clinical Enterprise Master Plan

Project Description: The Hospital's present patient bed tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerbe-Becket, Staggs and Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project provides much of the authority for the design of the Construct Patient Care Facility, Project Number 2239.0.

Project Status: GBBN and Ellerbe-Becket have completed departmental level and detail programming for the new hospital addition. Schematic design of the Hospital and Concourse as well as complete design services for the parking garage and related infrastructure are under this project number. The final design contract for the balance of the PCF will proceed under Project Number 2399.0. Groups established within the Medical Center community and throughout the campus have provided input into the gross program and master plan. Both of these documents were delivered in December. The review and related estimate are underway.

Design on the parking garage and infrastructure are continuing on an accelerated schedule. The parking garage Construction Documents were delivered during December. The GMP for the garage has been accepted. Details of the progress on the parking garage are provided under Project 2239.2.

The Infrastructure Schematic Design documents were delivered in September and have been reviewed. The Construction Documents for the Infrastructure are due in August 2006. The Construction Documents for Huguelet Avenue Extension were delivered during December. A GMP for this work is due late January. This schedule will allow for the beginning of the construction of the parking garage in early 2006 and the infrastructure in late 2006.

Construct Patient Care Facility

Project Description: This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. This project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs. Project 2239.1 is Guaranteed Maximum Price #1 (GMP #1) for the Patient Care Facility (PCF). This GMP will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards. Project 2239.2 is Guaranteed Maximum Price #2 (GMP #2) for the Patient Care Facility (PCF). This GMP will construct a 410,000 square foot, five level parking structure and will replace the existing Med Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone. Project 2239.3 is Guaranteed Maximum Price #3 (GMP #3) for the Patient Care Facility (PCF). This GMP will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility Plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1, on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility, critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower. Project 2239.4 is Guaranteed Maximum Price #4 (GMP #4) for the Patient Care Facility (PCF) Project and includes the demolition of the existing parking garage and design and installation of the major foundations of the new Patient Care Facility. Project 2239.5 is Guaranteed Maximum Price #5 (GMP #5) for the Patient Care Facility (PCF) Project and includes the structural core and shell of the new Patient Care Facility. Project 2239.6 is Guaranteed Maximum Price #6 (GMP #6) for the Patient Care Facility (PCF) Project and includes the fit-up of approximately 50% of the shell of the new Patient Care Facility.

Project Status: The initial design work for the Patient Care Facility (PCF) is proceeding under Project Number 2099.0 (Design Patient Bed Tower). Project 2239.0 includes Design Development, Construction Document and Construction Administration phase services for the Patient Care Facility as well as the construction costs for the entire Patient Care Facility. The final contract for these services with GBBN was completed in December. Gilbane Construction Company has been selected to fill the role of construction manager (CM) for the PCF project. The contract for this work was completed in late December. Gilbane will present a program estimate based on the PCF program approved in December. This estimate will provide the basis for further design over the next two years.

GMP#1 Huguelet Ave. Extension: Final GMP documents have been received. Landscaping has been revised to comply with a master plan being produced by Ellerbee Beckett. Gilbane will submit GMP #1 and begin soliciting bids for construction. The traffic light structures will be ordered in February to insure delivery by fall 2006.

GMP#2 Parking Garage: The GMP has been accepted and a Notice to Proceed issued.. Gilbane will receive bids and begin contracting for the parking structure construction in February. Remaining properties on the building site are being surveyed for hazardous material abatement as they are vacated. The site will be fenced in February and Gilbane will mobilize onto the site.

GMP #3 Infrastructure Package: RFP negotiations are underway with a chiller manufacturer to supply four 9,000 ton chillers, which will be prepurchased by the University. In February a commissioning agent will be selected; and submittals will be received for a boiler to be included in the CUP expansion portion of the project.

GMP #4 Mass Excavation & Foundations: The current schedule calls for a DD estimate in August 2006, a 50% CD estimate in April of 2007 with bid and award for the work to occur in May of 2007.

GMP #5 Core and Shell: The current schedule calls for a DD estimate for GMP #5 in March of 2007, a 50% CD estimate in August of 2007, presentation of a GMP in February of 2008 with bid and award for the work to occur in March of 2008.

GMP # 6 Patient Care Facility Fit-up: The Program for the Patient Care Facility was delivered mid-December. Gilbane prepared the program estimate during the month of January. The program estimate reconciliation meeting will be held the first week in February. This estimate will establish the projected costs for the three major elements of the PCF - foundations; core and shell; and fit-up. The current schedule calls for a DD estimate for GMP #6 in April of 2007, a 50% CD estimate in October of 2007, presentation of a GMP in May of 2008 with bid and award for the work to occur in June of 2008.

Expand Cancer Infusion Suites - Hospital

Project Description: This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center. The space is connected to the Hospital's central chilled water and steam systems.

Project Status: Stengel-Hill Architects delivered the Bid Documents on December 14, 2005. A pre-bid meeting was held in January with a bid date of January 26, 2006.

Expand Emergency Services - Hospital

Project Description: GBBN / Staggs & Fisher are the consultants designing to a \$3,600,000 construction budget. This renovation project consists of approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; and a new digital radiology room for exclusive use by the Emergency Department.

Project Status: The Design Development documents were approved and the Construction Documents were delivered on January 12th. A Construction Document review meeting is scheduled for Friday, January 27th. Upon approval of these documents, GBBN will submit the bid documents within 14 days. The bid documents will then be transmitted to Purchasing for advertising, bidding 30 days thereafter.

Expand Outpatient Radiology

Project Description: This project is for renovation and expansion of existing outpatient Radiology clinic located in the Kentucky Clinic. Adjacent available space will be utilized for the expansion. This expansion is needed to provide additional clinic space for the overcrowded outpatient Radiology Department services. The expansion of radiology services into this space will provide additional capacity to serve the various clinics in Kentucky Clinic.

Project Status: Certificate of occupancy granted on January 10th. Exterior site work in progress, 40% complete.

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Description: New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 sq. ft. for offices and 28,100 sq. ft. of laboratories and support space. It is proposed to add 6,300 and 1,200 sq. ft. (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 sq. ft. net). An aging incinerator is the present means of carcass disposal. An alkaline hydrolysis digester will be installed for carcass disposal after renovating 2,000 sq. ft. (net) of space to contain the digester.

Project Status: A Construction Document review meeting is scheduled for February 7th. It is proposed to the College of Agriculture to extend final Construction Documents 10 weeks to allow the inclusion of Phase 2 work currently under consideration within the Legislative budget process. Accordingly, both Bidding and Construction would be delayed 10 weeks. The vendor supplying the digester has agreed to the delay at no additional costs with the digester being stored at the manufacturing facility.

4th Floor Fit-up BBSRB

Project Description: This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology. The final construction cost estimate is \$7,618,000, and the project scope has been revised to \$9,663,925.

Project Status: A contract has been signed with WS Construction in the amount of \$5,342,000.00. Substantial Completion is scheduled for November 9, 2006.

KY Swine Development & Training Ctr. Feed Mill (Woodford County)

Project Description: Revised project will construct a feed mill on the Woodford County Animal Research Center within either the sheep or swine unit. AG Engineering will design the mill utilizing new components and some equipment from the Cold Stream Farm feed mill when it is demolished.

Project Status: Bid opening held January 12, 2006. Anticipate construction to begin within 60 days.

Memorial Coliseum Expansion

Project Description: The basketball practice facility consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost

of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing. Sherman-Carter-Barnhart, Inc. is the prime architect for the project. They are working in conjunction with HNTB as the design architect, Staggs and Fisher as the M/E/P engineers, and the Structural Design Group as the structural engineer. Fuller, Mossbarger, Scott and May perform geotechnical and survey work for the project.

Project Status: Messer continues to make progress with the concrete floors, walls, columns and 2nd floor structure. Site utility work continues to progress along Keeneland Dr. and in the MLK Parking Lot. The new sanitary sewer line was tied into the manhole at Avenue of Champions during the semester break. Steam, chilled water, electrical and communications lines are in the process of crossing Martin Luther King Dr., and are scheduled to be complete by mid February 2006. MLK will be closed for this work. Lexington Ave. is scheduled to close beginning Jan. 23rd and will be closed until late February. It will be closed north of the access into the MLK Parking Lot. Access to the MLK Parking Lot will only be available via Lexington Ave. from Avenue of Champions during this time period. Work is progressing on the masonry wall between the existing building and the new addition. Plumbing and electrical lines are progressing ahead of concrete slab pours. Waterproofing is following behind the exterior wall pours. Messer indicates the project is currently 3 weeks behind schedule due to the discovery of unsuitable bearing soils and concealed structural conditions of the existing building that had to be addressed.

Renovate Vivarium in Central DLAR Facility

Project Description: This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building. The Combs Cancer Research building is approximately 75,800 gross square feet. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space.

Project Status: Construction documents due early February.

Student Housing - Supporting Utility Plant Upgrades (Boiler/Chilled Water)

Project Description: This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Project Status: A.M. Kinney of Cincinnati, the designer of record for the BBSRB Project, completed an analysis of various approaches to implement the project. The study included several program options containing a budget analysis of each option. Facilities and PPD personnel reviewed the study in an attempt to establish the most effective program for expenditure of the available funding. Issues regarding Title V permitting and establishment of infrastructure priorities as well as State budgetary considerations will play into the establishment of the final program. Further progress is dependent on decisions as to proper avenue for expenditure of funding in light of future projects.

Upgrade Surgical Services

Project Description: This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to

expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Project Status: Schematic Design documents were delivered to CPMD on December 22, 2005. The schematic sign off and Design Development work session meeting followed up with another work session in January 2006. Upon approval of the Design Development documents the Construction Document phase will begin.

American Cancer Society – Hope Lodge & Office Building

Project Description: The project entails construction of two buildings for the American Cancer Society. The Hope Lodge, a three story, 34 unit, 25,377 gross square foot residential care facility will provide rooms for cancer treatment patients and their families while they are receiving treatments in Lexington hospitals. The Office Building, a one story, 5,052 gross square foot building will house the local offices of the American Cancer Society. The building complex will be located on Sports Center Drive, also including parking areas for both facilities, a shared terrace between the buildings, landscaping, site lighting and a small parking lot for the existing “K” Association House next door.

Project Status: Site work for sub-grade base on all parking areas as well as permanent power were completed. Installation of foundations for both buildings is ongoing. Contractor is awaiting delivery of materials to complete relocation of the gas line. Relocation of sanitary sewer force main for Ronald McDonald House to begin as soon as weather permits.

ARS – Agriculture Project

Project Description: The Forage Animal Production Research Laboratory will serve the Forage Animal Production Research Unit for the USDA - ARS on the campus of the University of Kentucky. The mission of the FAPRU is to improve productivity, profitability, competitiveness and environmental sustainability of forage based enterprises within the transition zone of the Eastern and Midwestern United States. Multidisciplinary teams work to identify, evaluate, and manipulate genetic and physiological factors, using for the enhancement of food animal and equine health and performance in forage based enterprises as well as to improve forage plant persistence, quality, and production. The three to four story building with approximately 65,000 gross square foot will house research labs, equipment and support rooms, offices and conferences rooms. The program also includes approximately 12,000 gross square foot for greenhouses and head house.

Project Status: The College of Agriculture approved a final building site and a 50 year easement. The information will be prepared by USDA and forwarded to UK for execution of the lease agreement. Awaiting initiation of schematic design. General site information including surveying and subsurface investigation continues.

Commonwealth Stadium Waterproofing/Concrete Sealing

Project Description: This project will reseal the concrete stands of the original stadium, last completed in 1986.

Project Status: Buell Fryer McReynolds has been hired to prepare construction documents for structural repairs, which need to be completed prior to reapplying the new coating material. Submittal guidelines for consultant proposals on the coating application were routed for

advertisement. Work in February to include working through structural repair documents and contract for the coating application consultant and commencing documents for construction.

Construct Biological Pharmaceutical Complex Building

Project Description: Phase I of the Biological Sciences/Pharmaceutical Complex, authorized by the 2005 General Assembly, will construct a shelled building at UK's Research Complex. Phase II will complete the 242,000 gross square foot facility. This new building will provide the college with a state-of-the-art facility that will also allow the college to expand enrollment to address the shortage of pharmacists in Kentucky. The College of Pharmacy will relocate to this new facility and vacate their existing space. In addition to the current Pharmacy Building, college faculty members are housed in 10 buildings on and off campus. Consolidation of the research and teaching expertise will further strengthen the instruction of students and research collaboration among faculty. The existing Pharmacy Building is being evaluated and examined as to suitability for other academic functions.

Project Status: The consultant services RFP for Prime (Lead Architect) and M/E/P has been completed. EOP was selected as Lead Architect and R. G. Vanderweil, was selected as M/E/P consultant. The selection of the Signature Architect was delayed until April 2006 awaiting further information from the Ellerbe Becket Master Plan. Pre-design activities are anticipated to begin late January or early February 2006. Activities include benchmark building tours, existing Pharmacy Building program evaluation, site survey, geotechnical investigation, and project programming confirmation.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Center for Pharmaceutical & Science Technology (CPST Bldg.)

Project Number: 2163.0

Project Description:

Scope: \$14,000,000

The CPST operation will be located in the small buildings zone of the Coldstream Research Park. Some of the operation presently located on the 1st floor of the College of Pharmacy building will be relocated to the new operation in Coldstream.

Consultant: CE & IC / Lockwood Greene Engineers, Inc.

*Multiple consultants have been hired.

The \$ amounts represent the sum of all contracts.

Contract # A041040 / A051060

Original Contract Amount: \$1,165,000

Total Amendments to Date: 2

Cumulative Amendment Amount: \$29,900

Describe all amendments :

Revised Contract Amount: \$1,194,900

Contract A051060, Amendment #1, 02/08/05, an increase of \$17,500.00, was approved to complete conceptual design and a cost estimate for the CPST Lexel Building alternative. **Amendment #2**, 06/14/05, an increase of \$12,400.00 was approved for additional design of roof drainage and revision of storm drainage. **No amendments this quarter.**

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # 4500000572 & 4500000573

Original Contract Amount: \$12,970,247

Total Change Orders to Date: 18

Cumulative Change Order Amount: -\$4,511,350

Low Bid:

Revised Contract Amount: \$8,458,897

High Bid:

Contract Percent Complete: 89%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Contract 4500000572: Change Order #1, 06/18/2004, was approved for -\$4,622,955.00 to reduce the scope of the Design/Build Contract to eliminate the remaining design and construction of the "clean room" areas and building utilities. The manufacturing functions and equipment has changed due to the users' realignment of their processes. The University determined that redesign of the clean room spaces should be done outside of the design/build contract. **Change Order #2**, 04/21/05, was approved for -\$78,807.00 to eliminate all remaining interior work from current contract, and add under slab utilities, second floor structure for mechanical room and roof drainage. **Change Order #3**, 05/03/05, was approved for \$31,991.00, to provide and install an electrode conductor and quazite pull box into the electric room for future equipment connections. **No change orders greater than \$25,000.00 this quarter.**

Contract 4500000573: No change orders greater than \$25,000.00 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Horticultural Research and Education Facility

Project Number: 2238.0

Scope: \$860,000

Project Description:

Expanded plant science technology will be a major contribution to economic expansion in the Commonwealth. The Horticultural Research and Education Center at South Farm is the key component in the Statewide plan to develop research/extension infrastructure in support of new and existing horticultural industries. The programs through this facility will explore, develop, evaluate, demonstrate, and communicate horticultural crop opportunities through innovative research and educational programs that enhance diversification, profitability, and sustainability of Kentucky farms and landscapes. It will become UK's most visible commitment to enhancing the viability of non-traditional enterprises and family farms. The scope of this project is not yet clearly defined. It will follow and supplement the intent of the South Farm Improvements project. These projects will serve to develop a horticulture research facility on the South Farm. Included in the scope are greenhouse and headhouse facilities, research laboratories, storage building for equipment and pesticides and environmental rooms.

Consultant: UK College of Ag In-House Design

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Parking Structure (PS #6 & #7)

Project Number: 2158.1

Project Description:

Scope: \$23,630,000

This project will construct two parking structures located on the University of Kentucky central campus. Virginia Avenue and Complex Drive were selected as the sites for these two structures. The structures house 1,312 parking spaces (PS #6 contains 732 spaces and PS #7 contains 580 spaces) and 10,000 sq. ft. of office space. The structures will serve a mixed use of students, faculty, staff and visitors.

Consultant: HNTB

Contract # A041060

Original Contract Amount:

\$939,790

Total Amendments to Date: 1

Cumulative Amendment Amount:

\$49,900

Describe all amendments :

Revised Contract Amount:

\$989,690

Amendment #1, 7/13/04, an increase of \$49,900 was approved for design services and expenses for the re-design of the buildings' facades (materials & elevation design). **No amendments this quarter.**

Contractor: Messer Construction Co.

*Messer's contract was awarded by RFP process.

Contract # 4500000172

Original Contract Amount:

\$18,227,000

Total Change Orders to Date: 21

Cumulative Change Order Amount:

\$2,224,415

Low Bid:

Revised Contract Amount:

\$20,451,415

High Bid:

Contract Percent Complete:

65%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #2, 01/06/05, was approved for \$100,080.00 to provide for changes in the live load structural design of PS #6. **Change order #4, 02/23/05**, was approved for \$195,522.00 to include an additional tennis court and to modify grading and drainage. **Change order #5, 02/23/05**, was approved for -\$51,660.00 to delete the scope of all work associated with the basketball court addition originally planned. **Change order #11, 05/31/05**, was approved for \$119,290.00 for revisions to underground domestic water and fire services at PS#6. The KAWC owned line required new services, to be installed by their approved contractor, including a new vault in the KAWC easement along Virginia Ave. and a separate line to serve domestic water and fire service.

Change order #13, 05/31/05, was approved for \$1,797,284.00 to include an additional parking level to PS#6. The university recognized that additional parking will be necessitated by the upcoming construction of the Hospital Expansion project. The additional funding was made available by the UK Parking & Transportation Services. **Change order #21, 11/22/05**, was approved for \$28,060.00 to provide under slab drainage system as ground water has been noted channeling from within the excavated rock wall along Virginia Avenue underneath the first floor slabs.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Student Health Facility

Project Number: 2228.0

Scope: \$24,000,000

Project Description:

University Health Services will be an outpatient clinic with support spaces and some administrative offices. This project will create additional space by constructing a new building of 65,000 gross square feet. The site will be in the courtyard framed by the Wethington Building, Warren Wright Medical Plaza and the Sanders Brown Center on Aging.

Consultant: Omni Architects

Contract # A061070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$1,332,600

Cumulative Amendment Amount:

Revised Contract Amount:

\$1,332,600

Contractor:

Contract # No construction contract awarded to date.

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Design Patient Bed Tower - Hospital [Clinical Enterprise Facilities Master Plan]

Project Number: 2099.0

Project Description:

Scope: \$10,000,000

The Hospital's present patient tower is approximately 40 years old. Although the space served patients well, the infrastructure is aging, and the facility can not accommodate present anticipated technology. This project will provide the site location evaluation, building programming, planning, and design services for a new bed tower. The project design team is lead by GBBN with major sub consultants including AM Kinney, Ellerby-Becket, Staggs & Fisher, Affiliated Engineers, THP Limited as well as a number of specialty consultants. This project provides much of the authority for the design of the Construct Patient Care Facility, Project 2239.0.

Consultant: KSA and GBBN, Inc.

*Multiple consultants have been hired.

The \$ amounts represent the sum of all contracts. See below for breakout.

Contract # K04-137/A051130	Original Contract Amount:	\$978,160
Total Amendments to Date: 4	Cumulative Amendment Amount:	\$8,422,354
Describe all amendments :	Revised Contract Amount:	\$9,400,514
KSA, K04-137	Original Contract Amount:	\$478,160
Total Amendments to Date: 2	Cumulative Amendment Amount:	\$258,500
	Revised Contract Amount:	\$736,660

Amendment #1, 01/11/05, an increase of \$121,000.00 was approved for additional work for a Traffic Study as well as a Parking Study. **Amendment #2**, 07/12/05, an increase of \$137,500.00 was approved for additional consulting services for the School of Medicine and the Ambulatory Care area. **No amendments this quarter.**

GBBN, Inc., A051130	Original Contract Amount:	\$500,000
Total Amendments to Date: 2	Cumulative Amendment Amount:	\$8,163,854
	Revised Contract Amount:	\$8,663,854

Note: *This Patient Bed Tower design contract will expand and increase as the project moves forward. While listed as an "amendments" these were in fact simply a planned and phased implementation of the overall negotiated design contract.*

A051130, Amendment #1, was approved on 05/10/05. This amendment for \$1,572,700.00 incorporates the agreements reached on the scope of services necessary to develop the Master Planning and Gross Programming through the year 2020 and the Detailed Programming for the first phase 1A of the project. Amendment #2, was approved on 09/13/05. This amendment for \$6,591,154 .00 includes the schematic design services of all elements of the project to include the Hospital and Concourse, the Garage and the Infrastructure as well as full design of the Garage and Infrastructure. **No amendments this quarter.**

Contractor: Design Authority Only

Contract #	Original Contract Amount:
Total Change Orders to Date:	Cumulative Change Order Amount:
Low Bid:	Revised Contract Amount:
High Bid:	Contract Percent Complete:
Number of Bids:	
Describe all change orders greater than \$25,000.00:	

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility

Project Number: 2239.0

Project Description:

Scope: \$200,000,000

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge to clear the site for the new hospital addition as well as land acquisition and utility relocation costs.

Consultant: GBBN, Inc.

Contract # A061080

Original Contract Amount: \$30,400,226

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$30,400,226

Contractor: Gilbane (Construction Manager At-Risk)

Note: This is a 'CM-At-Risk' contract for Design Phase services only. The contract will be increased as GMP's are approved. We will report on each GMP separately below.

Contract # 4500001350

Original Contract Amount: \$1,000,000

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount: \$1,000,000

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

PCF -Huguelet Drive Extension (GMP #1)

Project Number: 2239.1

Project Description:

Scope: \$5,000,000

GMP#1. This is a portion of the larger Patient Care Facility Project (2239.0). This package will link Huguelet Drive to Virginia Avenue at the current Clinic Drive location. The new road will provide an east-west link from University Drive to the west side of campus at Virginia Avenue. New signalization and bike paths are included to provide additional safety along the route. New entries to existing parking lots will be constructed and perpendicular parking will be removed between University Drive and Rose Street. The entire route will receive new landscaping and way-finding signage that will tie to both the Medical Center and Main Campus standards.

Consultant: Wilbur Smith Associates

Contract # A061050

Original Contract Amount: \$400,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$400,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Parking Garage (GMP #2)

Project Number: 2239.2

Scope: \$25,071,000

Project Description:

GMP#2. This is a portion of the larger Patient Care Facility Project (2239.0). This package will construct a 410,000 square foot, five level parking structure that will have an 1,125 car capacity. The new garage will be located on the west side of Limestone Street, between Conn Terrace and Transcript Avenue. This structure will replace the existing Med Center parking garage that must be demolished in order to tie the new Patient Care Facility to the existing Medical Center. A future pedestrian bridge will connect the new structure to the Patient Care Facility and the design has allowed for the future addition of approximately 15,000 square feet of retail space fronting Limestone.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No contract awarded.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

PCF - Infrastructure (GMP #3)

Project Number: 2239.3

Scope: \$50,000,000

Project Description:

GMP#3. This is a portion of the larger Patient Care Facility Project (2239.0). This package will provide the University the ability to meet the utility requirements of the new Patient Care Facility. The Central Utility plant on Press Avenue will be expanded with a new boiler; Chiller Plant No. 1, on University Drive will be renovated with new chillers; new emergency generators will be added to Chiller Plant No. 3 to serve the Patient Care Facility, critical steam and chilled water lines will be replaced and upsized in order to provide the capacity that will be required to service Phase 1A of the Patient Bed Tower.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Mass Excavation and Foundations (GMP #4)

Project Number: 2239.4

Project Description:

Scope: \$32,085,000

GMP#4. This is a portion of the larger Patient Care Facility Project (2239.0). The package will contain the demolition of the existing Parking Structure #4. The work on this GMP will involve the start of all deep foundations up to the grade surface of the excavation.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

PCF - Core and Shell (GMP #5)

Project Number: 2239.5

Project Description:

Scope: \$126,985,000

GMP#5. This is a portion of the larger Patient Care Facility Project (2239.0). This package will contain the construction of the new 1,012,000 gross square feet building's structural frame, fireproofing, exterior wall enclosure for all new building spaces, windows, exterior doors and roofs. Also included will be all exterior site improvements, civil design for roadways, drainage systems, landscaping, hardscape surfaces and walks.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Fit-up (GMP #6)

Project Number: 2239.6

Scope: \$103,305,000

Project Description:

GMP#6. This is a portion of the larger Patient Care Facility Project (2239.0). This package will contain the remaining interior fit-out partitions, interior finishes, doors and frames, ceilings, mechanical systems, electrical systems, technology systems, medical equipment and fire protection for approximately 490,000 occupiable square feet and 532,000 of shell space. The package also would contain drawings and specifications for signage, interior furnishings and lighting.

Consultant: GBBN, Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Expand Cancer Infusion Suites - Hospital

Project Number: 2229.0

Scope: \$1,600,000

Project Description:

This project is for the expansion of the chemotherapy infusion program into the 2nd floor of the Davis Millis portion of the Whitney Hendrickson Building. The existing infusion operation is located in the first floor of the same facility directly below the proposed expansion. The demand for chemotherapy infusion services has exceeded the available clinic space capacity causing patients to use inpatient facilities for outpatient services or to wait for needed treatments. The renovation will also provide urgent treatment cancer services that are not currently provided by the cancer center.

Consultant: Stengel-Hill Architects

Contract # A061060

Original Contract Amount:

\$84,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$84,500

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand Emergency Services - Hospital

Project Number: 2236.0

Project Description:

Scope: \$4,764,500

This renovation project consists of renovating approximately 18,400 sq. ft. of ground floor space in the Critical Care Center. Materials Management and Purchasing Offices will be relocated to expand patient care services in the Emergency Department. The renovation will provide a new and separate Pediatrics Emergency area to include an exterior entrance, waiting room triage and support spaces; additional Emergency Department acute care bays, nursing space and support space; provide a new digital radiology room for exclusive use by the Emergency Department.

Consultant: GBBN, Inc.

Contract # A061090

Original Contract Amount: \$265,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$87,500

Describe all amendments :

Revised Contract Amount: \$352,500

Amendment #1, 11/08/05, was approved for \$87,500.00 for additional design services to design replacement air handlers, exhaust fans and return fans on the ground floor. It has been determined that the condition and capacity of the existing fans are inadequate to serve the renovated space.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Expand Outpatient Radiology (Temporary MRI)

Project Number: 2240.0

Project Description:

Scope: \$515,253

This project is for renovation and expansion of existing outpatient Radiology clinic located in the Kentucky Clinic. Adjacent available space will be utilized for the expansion. This expansion is needed to provide additional clinic space for the overcrowded outpatient Radiology Department services. The expansion of radiology services into this space will provide additional capacity to serve the various clinics in Kentucky Clinic.

*This project utilized multiple contracts with the equipment vendor, the UK Unit Price Contractor & PPD forces. Total construction cost totaled \$515,253 including \$143,205 for a mobile building. Total Equipment (MRI) was \$1.7m.

Consultant:

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Denham-Blythe

Contract # 4500000674

Original Contract Amount: \$171,872

Total Change Orders to Date: 6

Cumulative Change Order Amount: \$42,147

Low Bid:

Revised Contract Amount: \$214,019

High Bid:

Contract Percent Complete: 95%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Expand & Upgrade Livestock Disease Diagnostic Lab

Project Number: 2234.0

Project Description:

Scope: \$8,500,000

New space is needed for more than one veterinary pathologist to perform animal postmortem examinations in addition to renovation of current necropsy space. New space is also needed to add molecular biology/special procedures capabilities to the laboratory. New technology in the form of an alkaline hydrolysis digester is needed to replace an aging incinerator. The LDDC is an office and laboratory building with net assignable space of 3,860 sq. ft. for offices and 28,100 sq. ft. of laboratories and support space. It is proposed to add 6,300 and 1,200 sq. ft. (net) of new laboratory and office space, respectively. An existing necropsy laboratory and support space will be renovated (2,000 sq. ft. net).

Consultant: CMW, Inc.

Contract # A061100

Original Contract Amount:

\$648,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$648,000

No amendments this quarter.

Contractor:

Contract # No construction contract awarded to date.

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Fit Up 4th Floor in BBSRB

Project Number: 2227.0

Project Description:

Scope: \$9,663,925

This project will fit-up approximately 32,750 square feet in the currently "shelled space" on the fourth floor of the BBSRB Building. The fit-up will include wet bench laboratory space, support space, and dry computational labs. The University has received a federal grant award of \$3,685,000 from the National Center for Research Resources Extramural Research Facilities Improvement Program. The space will primarily be utilized by Pharmacology.

Consultant: A.M. Kinney

Contract # A051120

Original Contract Amount:

\$415,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$415,000

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

KY Swine Development & Training Ctr. Feed Mill (Woodford County)

Project Number: 1698.1

Project Description:

Scope: \$870,000

Revised project will construct a feed mill on the Woodford County Animal Research Center within either the sheep or swine unit. AG Engineering will design the mill utilizing new components and some equipment from the Cold Stream Farm feed mill when it is demolished.

Consultant: UK College of Agriculture in-house design.

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Memorial Coliseum Expansion

Project Number: 2196.0

Project Description:

Scope: \$30,000,000

This project consists of the addition of men's and women's basketball offices, practice facility, weight training, medical support, locker/shower, facilities ticket office, administrative offices, and minor renovation of existing locker rooms. The estimated total cost of the facility is \$30 million, consisting of the \$2.5 million for design plus \$27.5 million for construction. This project involves the design of a 92,300 square foot Basketball Practice Facility to enhance the University's ability to attract top student athletes. The new facility will alleviate scheduling problems at Memorial Coliseum and will provide amenities in line with other NCAA basketball facilities in the country. The practice facility will be connected to Memorial Coliseum, which is located near the intersection of Lexington Avenue and the Avenue of Champions, and will renovate 7,700 square feet to support volleyball, gymnastics, offices and ticketing.

Consultant: Sherman-Carter-Barnhart/HNTB

Contract # A041080

Original Contract Amount:

\$2,000,000

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$2,000,000

No amendments this quarter.

Contractor: Messer Construction (Construction Manager-At-Risk)

Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added.

*Messer's contract was awarded by RFP process.

Contract # X502765

Original Contract Amount:

\$24,826,864

Total Change Orders to Date: 15

Cumulative Change Order Amount:

\$48,017

Low Bid:

Revised Contract Amount:

\$24,874,881

High Bid:

Contract Percent Complete:

20%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Football Practice Field - Nutter Training Center

Project Number: 2225.0

Project Description:

Scope: \$2,250,000

The project involves implementation of a feasibility study completed in October of 2003 to renovate the football practice fields directly behind the Nutter Training Center. The existing fields are a "soil-based" natural turf (3 total) and the fourth is an artificial turf field. The project will be issued as a design/build RFP to install two (2) "sand-based" natural turf fields, one (1) synthetic turf field and remove the artificial turf covering on the artificial turf field so that it can be used as a parking lot. Miscellaneous associated work will include relocation of the existing camera/observation tower, new irrigation system for natural turf areas, new lighting for synthetic turf areas and adjacent natural turf areas and miscellaneous electrical outlets, goal posts sleeves and timing clocks.

Consultant: No Consultant contract awarded.

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Sportsfields

*Sportsfields' contract was awarded by RFP process.

Contract # X502655

Original Contract Amount:

\$1,850,937

Total Change Orders to Date: 15

Cumulative Change Order Amount:

\$458,529

Low Bid:

Revised Contract Amount:

\$2,309,466

High Bid:

Contract Percent Complete:

100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order #2, 9/30/05, was approved for \$92,315.52, to provide sand base sod for natural turf fields. **Change Order #3**, 9/30/05, was approved for \$28,920.00 to provide additional synthetic rubber-infill turf field surface along east and west perimeters. **Change order #10, 12/16/2005, was approved for \$34,500.00 to provide an additional installation crew for the synthetic rubber in-fill surface to allow the field to be completed prior to the first football game and the commencement of on campus recruiting visits.** **Change Order #11, 12/16/05, was approved for \$120,158.38 to adjust contract allowance for excavation and removal of rock. Sub-surface investigation confirmed that rock would be encountered on the site.** **Change Order #13, 12/16/05, was approved for \$36,343.40 to provide and install sod for all perimeter areas, the same type of grass provided throughout the project.**

* The above change orders were originally authorized as a not to exceed amount. The delay in processing these change orders is due to prolonged negotiations and verification of the final amount.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Vivarium in Central DLAR Facility

Project Number: 2233.0

Project Description:

Scope: \$2,250,000

This project will renovate the cage washing area, install a robotic cage washing system, and upgrade spaces to animal procedure rooms in the vivarium in the Combs Building.

The Combs Cancer Research building is approximately 75,800 gross square feet. The building was constructed in 1987 and is in good condition. The facility consists of research labs, offices, lab support areas, animal care areas, and animal care support space. The building is heated with steam from the coal fueled central plants and the cooling is supplied from the central, electric generated, chilled water plants.

Consultant: BHDP Architecture, Inc.

Contract # A061110

Original Contract Amount:

\$117,458

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$117,458

No amendments this quarter.

Contractor:

Contract # No construction contract awarded to date.

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing Facilities

Project Number: 2153.0

Scope: \$44,170,000

Project Description:

In an effort to respond to issues related to students living off campus, the University has undertaken efforts to assess its campus housing. Consistent with the recently adopted UK Physical Development Campus Plan 2050 Final Report December 2002, the University has constructed new dormitories with 684 new beds. The dormitories are configured with suites or semi-suite bedrooms and living areas. The dormitories are located at sites as identified in the Phase 1 Housing-2010 Campus Plan. New housing includes common areas, student activity spaces, academics, food services, parking and central campus utilities. Completion scheduled for summer 2005.

Consultant: Ayers/Saint/Gross

Contract # A031090

Original Contract Amount: \$545,000

Total Amendments to Date: 1

Cumulative Amendment Amount: \$187,707

Describe all amendments :

Revised Contract Amount: \$732,707

Amendment #1, 3/9/04, an increase of \$187,707, was approved for consultant fees to final review documents from design/builder and provide periodic construction observations. It also covered an increase in reimbursable services to employ a Geotechnical Consultant during foundation construction. **No amendments this quarter.**

Contractor: Messer Construction

* Messer's contract was awarded by RFP process.

Contract # X404699

Original Contract Amount: \$33,775,000

Total Change Orders to Date: 105

Cumulative Change Order Amount: \$1,746,596

Low Bid:

Revised Contract Amount: \$35,521,596

High Bid:

Contract Percent Complete: 100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 8, 05/18/04, was approved for \$37,340.37 for 15kV feed from manhole to Copperstown transformer.

Change Order #11, 05/18/04, was approved for \$30,018.45 for gas line replacement and relocation. **Change Order #15**, 06/17/04, was approved for \$75,900.00 for construction of structural tunnel and manhole as outlined by Fuller, Mossbarger, Scott, and May.

Change Order #18, 06/29/04, was approved for \$48,755.00 for relocation of domestic water and domestic water pit. **Change Order #26**, 08/16/04, was approved for \$28,246.94 for addition of walls to enclose the electrical room from the rest of the mechanical room.

Change Orders #28 & #29, 08/18/04, were approved for finishes in all public areas - \$223,000.00 for addition of wood flooring and \$64,800.00 for carpet. **Change Order #31**, 08/30/04, was approved for \$102,452.00 for hardware changes to install 16 new automated door openers.

Change Order #37, 10/19/04, was approved for -\$30,312.32 as a window credit for changes requested by UK. **Change Order #46**, 12/01/04, was approved for -\$86,594.00 for a landscape change/deduct. The landscaping will be coordinated by the UK Grounds department.

Change Order #58, 03/08/05, was approved for \$39,644.55 for the change from a solid retractable wall to a glass accordion partition wall in the lounges of buildings 1, 2 and 3 for visibility in the lobby classroom area.

Change Order #63 & #64, 04/05/05, were approved for \$96,839.00 and \$80,845.00 respectively, for revisions of the South and North Site Sidewalks. These revisions include additional sidewalks, revised sidewalk locations, thicken pavement and additional planting curbs to benefit the long term use and care of these new facilities.

Change Order #66, 04/25/05, was approved for \$58,425.31 for the installation of student mailboxes in each of the four new residence halls.

Change Order #67, 04/25/05, was approved for \$32,716.35 for additional Life Safety Devices for the South Site to meet the UK Fire Marshall's requirements. **Change Order #81**, 07/15/05, was approved for \$59,800.00 to provide landscaping.

The University sought independent bids for landscaping but did not receive any bidders. Messer was requested to provide the landscaping at the building entrances. **No change orders greater than \$25,000.00 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Student Housing - Supporting Utility Plant Upgrades

Project Number: 2153.3

Project Description:

Scope: \$1,275,000

This project includes expanding the Virginia Ave. CUP to accommodate future expansion in steam and chilled water capacity. The project was established in support of the Student Housing Project.

Consultant: AM Kinney

Contract # A041000GG

Original Contract Amount:

\$26,315

Total Amendments to Date: 0

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$26,315

No amendments this quarter.

Contractor: English Boiler & Tube Inc.

*English Boiler's contract was awarded by RFP process.

Contract # X500192

Original Contract Amount:

\$245,155

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

\$245,155

High Bid:

Contract Percent Complete:

98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000.00 this quarter.

Upgrade Surgical Services

Project Number: 2231.0

Project Description:

Scope: \$2,900,000

This project will renovate existing space to develop a Same Day Surgery Intake Unit; will relocate surgical offices adjacent to the operating rooms in the Critical Care Center to expand the Post Anesthesia Care Unit; will develop a Pre-op, PACU, and waiting space in the main hospital building dedicated to pediatric patients; and will renovate the 1st floor surgical waiting area. The current layout and size of unit causes numerous inefficiencies in the department. The amount of PACU beds is too few based on industry standards. This renovation will allow for improved operational efficiencies of the operating rooms and will provide for an improved patient experience with the development of the same day surgery intake unit. This space is connected to the Hospital's central chilled water and steam systems (coal or gas fired boilers/chillers).

Consultant: Stengil Hill Architects

Contract # A061140

Original Contract Amount:

\$324,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$324,000

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

HRCR 1

Office of the President
March 7, 2006

Members, Board of Trustees:

AMENDMENT TO 401(a) QUALIFIED PENSION PLAN

Recommendation: that the Board of Trustees approve a technical amendment to the 401(a) Qualified Pension Plan (the "Plan"), effective January 1, 2006, to remove a contingency by deleting certain language in the Plan; therefore, requiring mandatory contributions beginning January 1, 2007, as shown in Exhibit A, attached, and authorize the Executive Vice President for Finance and Administration to execute an Amended and Restated Plan to reflect these changes.

Background: The Plan was amended in October 2005 to require mandatory contributions beginning January 1, 2007, subject to the contingency that the university had to receive a favorable private letter ruling from the Internal Revenue Service by that time. Otherwise, the mandatory feature would be deferred until such time as the favorable private letter ruling was received. Since that time, university management has consulted further with its employee benefits adviser and determined that said contingency should be removed in order to implement fully the benefits of the Plan as soon as practicable. The deletion of the language depicted in the attached Exhibit A removes said contingency. Allowing the Plan to be amended and restated will enable the university to have one document serve as the official Plan for any future regulatory review.

Action taken: ☒ Approved ☐ Disapproved ☐ Other _____

Exhibit A

1.23 **Plan Mandatory Participation Date** means January 1, 2007. *[if a favorable private letter ruling for the 414(h) pick-up feature of the Plan has been received by the Institution from the Internal Revenue Service by that date, or the first day of the Plan Year beginning after such a favorable determination letter is received, whichever last occurs]* **Remove text in brackets.**

8.2 **Limitation.** Notwithstanding the provisions of the "Amendment and Termination" section of Article VIII, the following conditions and limitations apply:

- (a) No amendment will be made which will operate to recapture for the Institution any contributions previously made under this Plan. However, Plan Contributions made based on a mistake of fact may be returned to the Institution within one year of the date on which the Plan Contribution was made. Also, Plan Contributions made in contemplation of approval by the Internal Revenue Service may be returned to the Institution if the Internal Revenue Service fails to approve the Plan. *[or the private letter ruling.]* **Remove text in brackets**

UHCR 1

Office of the President
March 7, 2006

Members, Board of Trustees:

CENTER ON AGING FOUNDATION, INC. ("CORPORATION") AMENDMENT TO
ARTICLES OF INCORPORATION AND BYLAWS; APPROVAL OF BOARD
APPOINTMENTS

Recommendation: that the Board of Trustees approve the Amendment to the Articles of Incorporation of the Corporation, attached hereto as Exhibit A; the newly revised Bylaws of the Corporation, attached hereto as Exhibit B; and the Board Member appointments, attached hereto as Exhibit C.

Background: The Corporation is a not-for-profit support organization of the university, established to support the Sanders-Brown Center on Aging and related programs. Under the Articles of Incorporation and Bylaws of the Corporation and the original action of this Board establishing the same, amendments to said Articles and Bylaws, as well as appointments to the Board of Directors of the Corporation, must be approved by the university's Board of Trustees. Due to organizational changes and the need to update the Articles and Bylaws, the Board of Directors of the Corporation determined that amendments were needed to identify the correct titles of officials, expand the number of members of the Board Corporation, and provide for senior status Board Members. Exhibits A and B are the amendments to the Articles and Bylaws, respectively, making those changes. The persons listed on Exhibit C are the Board Members whose appointments need to be approved and ratified.

Action taken: ☐ Approved ☐ Disapproved ☒ Other Tabled

ATTACHMENT A

ARTICLES OF AMENDMENT TO ARTICLES OF INCORPORATION OF UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.

Pursuant to KRS 273.267, University of Kentucky Center on Aging Foundation, Inc., a Kentucky NonStock, Nonprofit Corporation, (the "Corporation") hereby files these Articles of Amendment by and through its duly authorized officer, and states as follows:

1. The name of the Corporation is University of Kentucky Center on Aging Foundation, Inc.
2. The Articles of the Corporation have been amended as follows:
 - a. Article VIII has been amended to read in its entirety as follows:

"ARTICLE VIII

The affairs of the Corporation shall be regulated, managed, controlled and conducted by a Board of Directors to be appointed by the Board of Trustees of the University. The Board shall consist of at least 25 and no more than 35 members, consisting of six ex officio members, with one vote, one of whom shall be the Executive Vice President for Health Affairs, University of Kentucky; one of whom shall be the Provost, University of Kentucky; one of whom shall be the Dean of the College of Medicine, University of Kentucky; one of whom shall be the Dean of the College of Public Health, University of Kentucky; one of whom shall be the Director of the Center on Aging, University of Kentucky and one of whom shall be a University of Kentucky faculty member selected, subject to approval of the University of Kentucky Board of Trustees, for one or more three-year terms, by the Director of the Center on Aging. The remaining Board Members shall consist of at least 19 and as many as 29 appointed from the public at large, who shall be referred to as Public Board Members. Those Public Board Members serving as incumbents on September 1, 2005 may hold their office for an unlimited number of three years each, commencing upon initial appointment, subject to approval of their reappointments as specified, herein. Any Public Board Member appointed after September 1, 2005 may serve only for two consecutive terms of three years each before taking senior member status. A Public Board Member having senior member status may attend Board functions and meetings, without vote, and shall be eligible for reappointment to the Board only after having served in senior status for three consecutive years. Public board Members not required to take senior status, may so elect."

b. Article X has been amended to read in its entirety as follows:

The officers of the Board of Directors shall consist of a Chair, a Vice Chair and a Board Secretary. The officers of the corporation shall consist of a President, one or more Vice Presidents, a Secretary, a Treasurer and such other officers or assistant officers as may be deemed necessary by the Board of Directors. The officers of the Board of Directors and the officers of the Corporation shall be elected or appointed at such time and in such manner and for such terms as may be prescribed in the Bylaws. The Chair of the Board of Directors shall not serve as officer of the corporation. Any two offices of Vice President, Secretary and Treasurer may be combined in one person. The officers of the Corporation may be designated by such additional titles as may be provided in the Bylaws.”

3. The Corporation has no members.
4. The following Amendment was approved by vote of at least two thirds (2/3's) of the Board of Directors on September 19, 2005 and approved by the University of Kentucky Board of Trustees at its meeting of March 7, 2006.
5. This Amendment shall be effective upon its filing.

Dated this _____ day of March, 2006.

UNIVERSITY OF KENTUCKY CENTER ON
AGING FOUNDATION, INC.

By: _____
Chairman

This instrument prepared by:

Harry L. Dadds
University of Kentucky
321 Charles T. Wethington Bldg.
900 South Limestone
Lexington, KY 40536-0200

ATTACHMENT B

BYLAWS OF UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION, INC.

ARTICLE I

PURPOSE

The purpose of the University of Kentucky Center on Aging Foundation, Inc. is to make the Center on Aging in the Chandler Medical Center at the University of Kentucky one of the finest in the world by establishing a continuing partnership between the University of Kentucky and the aging population of the Commonwealth of Kentucky. To that end, the purpose of the Foundation includes, but is not necessarily limited to:

- (1) To solicit and receive gifts, bequests, and devises of things of value and to accept the same, subject to such conditions and trust as may be imposed thereon for the exclusive benefit of the research on aging program of the College of Medicine.
- (2) To build an endowment fund and such other funds as may be necessary or desirable, and to advise as to the creative management of said funds and to disburse therefrom, from time to time, such monies as may be determined by the hereinafter named Board of Directors and in accordance with the limitations of any gift, bequest, or devise which may come to the Foundation.
- (3) To assist in determining, in cooperation with the administration of the Chandler Medical Center, appropriate projects and programs in aging research to be financed in whole or in part by funds raised, or by income from, said endowment or other funds.

ARTICLE II

BOARDS OF DIRECTORS

Section 1- Membership: The management of the affairs of the Foundation shall be vested in a Board of Directors whose membership shall consist of at least twenty-five

(25) and no more than thirty-five (35) members, as more particularly specified in the Article of Incorporation, as amended. The members shall be appointed by the President of the University, upon recommendation of the Executive Vice President for Health Affairs and President, University of Kentucky, and with the approval of the Board of Trustees of the University of Kentucky, in accordance with Article VIII of the Articles of Incorporation of the Foundation, as follows:

- (1) One member shall be the Executive Vice President for Health Affairs.
- (2) One member shall be the Provost.
- (3) One member shall be the Dean of the College of Public Health.
- (4) One member shall be the Dean of the College of Medicine.
- (5) One member shall be the Director of the Center on Aging.
- (6) One member shall be a University of Kentucky faculty member selected by the Director of the Center on Aging.
- (7) Remaining members of the Board of Directors shall be appointed from the public at large.

Section 2- Terms of Office: Each member of the Board shall be appointed for one (1) term of three (3) years and shall serve until their successors are appointed. Board members appointed after September 1, 2005 shall serve in accordance with the terms and conditions set forth in the Articles of Amendment to the Center on Aging Foundation's Articles of Incorporation, effective on the date of filing these Articles of Amendment.

Section 3- Compensation: Members of the Board shall receive no compensation for their services, but they may be reimbursed for reasonable expenses incurred on behalf of the Foundation.

Section 4- Vacancies: In the event of a vacancy on the Board, a successor shall be appointed to fill the unexpired term in the same manner in which a new director is appointed.

ARTICLE III

OFFICERS OF THE BOARD OF DIRECTORS

Section 1- Officers: The Officers of the Board shall consist of a Chair, Vice Chair, and a Secretary.

Section 2- Election and Term: Officers of the Board shall be elected from the membership at the annual meeting and shall serve one-year terms.

Section 3- Duties of Officers:

- a. Chair: The Chair shall preside at all meetings, shall sign all documents required to be signed for the Board, shall serve as an ex-officio member of all committees, and shall have such other duties as may be prescribed by the Board.
- b. Vice Chair: In the Chair's absence, the Vice Chair shall perform all the duties of the Chair and shall have other duties as may be prescribed by the Board.
- c. Secretary: The Secretary shall maintain minutes of all meetings of the Board, shall attest to all documents required to be signed for the Board, shall issue proper notice of all meetings of the Board, and shall perform such other duties as may be prescribed by the Board.

ARTICLE IV

OFFICERS OF THE FOUNDATION OR CORPORATION

Section 1- Officers: The corporate officers of the Foundation or Corporation shall consist of a President, Vice President, Secretary, and Treasurer.

Section 2- Term: The corporate officers of the Foundation shall serve one-year terms, to be automatically renewed each year.

Section 3- Appointments:

- a. President: The Executive Vice President for Health Affairs of the University of Kentucky shall serve as corporate President of the Foundation.
- b. Vice President: The Director of the Center on Aging of the University of Kentucky shall serve as corporate Vice President of the Foundation.
- c. Secretary: The Secretary of the Board of Directors shall serve as corporate Secretary of the Foundation.
- d. Treasurer: The Treasurer of the University of Kentucky shall serve as corporate Treasurer of the Foundation.

Section 4- Duties of Corporate Officers: The duties of the corporate officers shall be determined by the Board of Directors.

ARTICLE V

MEETINGS

Section 1- Regular Meetings: Regular meetings shall be held at least annually. Notice of the date, time, and place of such meetings shall be given by the Secretary no less than fifteen (15) days in advance of the meeting.

Section 2- Special Meetings: Specials meetings of the Board may be called by the Chair or upon the written request of any five (5) members of the Board, providing that notification is given by the Secretary no less than fifteen (15) days before such meetings of the date, time, place, and purpose of the meeting. Final action shall not be taken on any matter not included in the call for a special meeting.

Section 3- Annual Meetings: Annual meetings shall be held as determined by the Board of Directors. Notice of the time and place of each meeting shall be given by the Secretary no less than thirty (30) days in advance of the meeting.

Section 4- Quorum: A simple majority of the current membership of the Board of Directors shall constitute a quorum at all regular and special meetings.

Section 4-Consent to Actions: In the event that a quorum is not present to conduct business, absent directors may approve actions by written consent, to the extent allowed under the Kentucky law pertaining to not-for-profit corporations as the same may exist at the time of the action.

ARTICLE VI

STAFFING

Section 1- Executive Director: The Director of Development shall serve as Executive Director for the Foundation and shall perform those duties which are prescribed by the Board.

Section 2- Other Personnel: Personnel engaging in the performance of staff and clerical functions for the Foundation shall be employees of the Chandler Medical Center and the University of Kentucky, subject to the policies, procedures, and regulations governing University employees.

ARTICLE VII

COMMITTEES

Section 1- Appointments: The Chair of the Board of Directors, with the approval of the Board, shall appoint, charge, and fix the terms of committee chairs and members and, when appropriate, abolish all standing, ad hoc, and special

committees necessary or desirable for the management of the affairs of the Foundation.

Section 2- Membership: Membership on committees shall include members of the Board of Directors and may extend beyond the membership of the Board. The Chair of the Board and the Executive Director shall serve as ex-officio members of all committees.

Section 3- Meetings: Regular meetings shall be held at a time fixed by a majority vote of committee members. The time and place of regular meetings shall be communicated to all members. Special meetings may be called by the committee chair, by written request of three (3) committee members, by the Executive Director, or by the Board of Directors.

Section 4- Quorum: A simple majority of the committee membership shall constitute a quorum for the transaction of business.

Section 5- Reporting: Minutes of the committee meetings shall be submitted to the Board of Directors, through the Chair of the Board. At the request of the Chair, an annual report of committee activities shall be submitted to the Board.

Section 6- Executive Committee: The officers of the Board of Directors and the ex-officio members of the Board shall constitute an Executive Committee which shall conduct the business of the Board when necessary between meetings, and as directed by the Board.

Section 7- Nominating Committee: A Nominating Committee consisting of three (3) members of the Board shall be appointed to serve for one year. It

shall be the responsibility of the Nominating Committee to submit the names of prospective Board members to the Executive Director of the Foundation for recommendation to the Executive Vice President for Health Affairs, and appointment by, the President of the University upon approval by the University's Board of Trustees.

ARTICLE VIII

CHECKS, DEPOSITS, AND FUNDS

Section 1- Depository of Funds: The University of Kentucky shall serve as fiscal agent for the Foundation and be the depository and manager of all funds for the Foundation.

Section 2- Records and Reports: The Treasurer of the University of Kentucky shall have custody of all records pertaining to financial transactions of the Foundation and shall manage such funds in accordance with the fiscal policies of the University; and shall prepare, maintain, and make such records and reports available to the Board at its regular meetings and/or whenever requested by the Board. Said Treasurer shall also make any and all information or reports available to the Executive Director of the Foundation at his or her request.

Section 3- Advice and Counsel: The Treasurer of the University shall seek the advice and counsel of the Board of Directors with regard to the management of the funds of the Foundation and shall report to the Board on the management of such funds.

Section 4- Gifts: The Board of Directors may accept, on behalf of the Foundation, any contribution, gift, bequest, or devise for the general or special purposes of the Foundation.

ARTICLE IX

BOOKS AND RECORDS

The Foundation shall keep correct and complete books and records of accounts (which shall be audited annually by the external auditor employed by the University) and shall also keep minutes of the proceedings of its Board of Directors. All books and records of the Foundation may be inspected by any Board member for any proper purpose at any reasonable time.

ARTICLE X

WAIVER OF NOTICE

Whenever notice is required to be given under the provisions of the Articles of Incorporation of these Bylaws, a waiver thereof in writing signed by the person or persons entitled to such notice (whether before or after the time stated therein) shall be deemed equivalent to the giving of such notice.

ARTICLE XI

PARLIAMENTARY AUTHORITY

Robert's Rule of Order, Revised, latest edition, shall govern the Board of Directors and its committees in all cases in which they are applicable except where superseded by these Bylaws.

ARTICLE XII

INDEMNIFICATION OF DIRECTORS, OFFICERS AND AGENTS

To the extent permitted by Law, directors, officers, and agents of the Foundation, while acting for and on behalf of the Foundation, shall be afforded indemnity by the Foundation.

ARTICLE XIII

RATIFICATION

These Bylaws shall become effective when adopted by a majority of the Board members present at a meeting of the directors especially called for this purpose, and when approved by the Board of Trustees of the University.

ARTICLE XIV

AMENDMENTS

These Bylaws may be amended at any regular or special meeting of the Board of Directors by a majority vote of the membership of the Board, provided written notice of the proposed revision has been given at least thirty (30) days prior to such meetings and provided further that the amendments shall not become effective until approved by the Board of Trustees of the University.

Chair, Board of Directors

ATTEST:

Secretary

ATTACHMENT C

**UNIVERSITY OF KENTUCKY
SANDERS-BROWN CENTER ON AGING FOUNDATION
BOARD OF DIRECTORS**

Mr. Ed Lane, Chairman
Mrs. Nelda Barton-Collings
General Charles Beach, Jr.
Mrs. Nancy Blazer
Dr. Kathryn A. Bolton
Mrs. Betty Carol Clark
Mr. Sam L. Conner
Mrs. Mary Janet Cotter
Mr. Coby DeVary
Mr. Thomas Dupree, Jr.
Mrs. Carol Farmer
Cheryl B. Feigel
Mrs. Pat Freibert
Mr. William Giles
Mr. Robert Heiple
Mr. Jim LeMaster
Mr. Terry McBrayer
Mr. Fred Nall
Charlotte Neal
Jacqueline A. Noonan
Mrs. Carol M. Palmore
Mrs. Maggy Patterson
Mr. Ray Rector
Mrs. Vivian Weil
Mrs. Isabel Yates

University Representatives

Dr. William Markesbery
Dr. Michael Karpf
Dr. Jay Perman
Dr. Stephen Wyatt
Dr. Kumble R. Subbaswamy

Honorary Members

Dr. Peter P. Bosomworth
Governor John Y. Brown, Jr.
Mr. Saul Kaplan