

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Monday, March 16, 2015

The Board of Trustees of the University of Kentucky met at 2:00 p.m. on Monday, March 16, 2015 in the Board Room on the 18th floor of Patterson Office Tower. The Board of Trustees meeting and associated committee meetings scheduled for February 19-20, 2015 were cancelled due to weather.

A. Meeting Opened

Dr. O. Keith Gannon, chair of the Board of Trustees, called the meeting to order at 2:01 p.m. Chair Gannon asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, Sheila Brothers, Mark P. Bryant, Angela L. Edwards, William S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, Cammie Grant, Robert Grossman, David V. Hawpe, Kelly Sullivan Holland, Terry Mobley, James W. Stuckert, John Wilson, Robert Vance and Barbara Young. E. Britt Brockman and Jake Ingram were not in attendance. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Appointee Tim Tracy, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Andrew Hippisley, and the University staff was represented by Chair of the Staff Senate Michael Adams.

Guests and members of the news media were also in attendance.

C. Consent Items

Chair Gannon called attention to the six consent items on the agenda. The items were the minutes for the Board of Trustees meeting on December 9, 2014; PR 2, Personnel Actions; FCR 1, Wendell and Vickie Bell Gift; FCR 2, Michael L. Marberry Pledge; FCR 3, S. J. Whalen Charitable Remainder Unitrust Gift; and FCR 4, Dr. James F. Roark, Jr. Gift.

Trustee Stuckert moved approval of the consent items. Trustee Mobley seconded the motion. Chair Gannon called for the vote and it passed without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, Board of Trustees Meeting, December 9, 2014

PR 2 Personnel Actions

FCR 1 Wendell and Vickie Bell Gift

FCR 2 Michael L. Marberry Pledge

FCR 3 S. J. Whalen Charitable Remainder Unitrust Gift

FCR 4 Dr. James F. Roark, Jr. Gift and Pledge

D. Chair's Report

Chair Gannon reported that there had been one petition to address the Board since the previous Board of Trustees meeting. The petition's subject matter was not relevant to a pending or future Board agenda item.

Chair Gannon reported that Mr. Austin Mullen, a marketing management major from La Grange in Lee County, KY was elected as Student Government President Elect. Mr. Mullen will be introduced at the May Board meeting.

Chair Gannon acknowledged the historic moments of the last several weeks, including the Sesquicentennial celebrations on campus and the legislation passed and signed by Governor Beshear of the partnership to build a multidisciplinary research facility. He also thanked the thousands of University staff for their dedication and hard work during the most recent rounds of severe weather.

E. Alternate Meeting Site (CR 1)

Chair Gannon stated that CR 1 was the recommendation that the Board approve Hazard, KY as an alternate meeting site for the September 10-11, 2015 Board of Trustees meeting and associated committee meetings.

Chair Gannon stated that due to inclement weather, the Board of Trustee meeting scheduled for February 19-20, 2015 in Hazard, Kentucky had been postponed. This recommendation was to address the rescheduling of this meeting.

Trustee Grant moved approval of CR 1. It was seconded by Vice Chair Young and CR 1 passed without dissent. (See CR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

F. Executive Committee Report

Chair Gannon stated that the Executive Committee met that morning.

G. Approval of Executive Committee Authorization of Sale of the Coldstream Laboratories Inc. Manufacturing Facility Located on Coldstream Research Campus. (ECR 1)

Chair Gannon stated that ECR 1 was the confirmation of an action taken by the Executive Committee on January 15, 2015. Kentucky Revised Statute (KRS) 164.190 and Governing Regulation (GR) II.E.2.a(2) grants authority to the Executive Committee to act during the period

between Board meeting. KRS 164.190 and GR II.E.2.a(4) requires the Executive Committee to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee.

The recommendation contained a summary of that proceeding. Trustee Vance moved approval of ECR 1. Trustee Grossman seconded the motion and it passed without dissent. (See ECR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

H. Process for the 2014-15 Presidential Evaluation (ECR 2)

Chair Gannon stated that a Presidential assessment is required annually as per the President's contract. Per GR II.E.a.2(c), the Executive Committee is charged with the responsibility of overseeing the review and to provide a final report to the full Board. University boards often engage third-party consultants to assist in carrying out presidential assessments, as was the case in 2012 and 2013 when UK's Board hired Dr. David Hardesty, President Emeritus of the University of West Virginia, for this process. Chair Gannon offered that the expertise brought by such individuals offers great assurance that the assessments will serve the chief executive, the Board and most importantly the University of Kentucky.

Chair Gannon continued that a number of highly credible and credentialed organizations and individuals exist across the country that provide this service. In early January, a request for proposal for a presidential evaluator was formally issued by the UK Purchasing Department. Of nine responses, three organization finalists were selected and formally interviewed by a four-member evaluation committee composed of senior leaders from Purchasing, Human Resources, Public Relations and Administration. Following each committee interview, Chair Gannon and Trustee Akins (as members of the Executive Committee) interviewed representatives from each of the finalist firms. Based on these interviews and subsequent reference interviews, a consensus evaluator firm and individual was selected. AGB Consulting, a division of the Association of Governing Boards (AGB), was selected and the individual evaluator identified was Dr. Carol Cartwright. Dr. Cartwright is President Emerita of Kent State University (1991-2006), and most recently President of Bowling Green State University (2008-2011). She is a highly respected voice in higher education with a career distinguished by innovative teaching, pioneering research and national leadership.

Chair Gannon continued that the scope of the President's evaluation will be 2011 through 2015 and Dr. Cartwright will follow an interview-based approach, accompanied by results from a questionnaire, with results of annual faculty survey appropriately integrated. The President's evaluation will be based on the guiding principles that have been passed by the Board of Trustees at each of its last four retreats, 2011, 2012, 2013 and 2014. Introductory planning meetings with Dr. Cartwright will be held in late March. From April to mid-May, surveys will be distributed and collected, one-on-one interviews performed, and the President will submit his self-evaluation. In early June, Dr. Cartwright will present a preliminary report to the President and Board Chair for fact checking and then present to the Executive Committee. A final report will be made to the full Board at its June 2015 meeting.

Trustee Farish moved approval of ECR 2. Trustee Akins seconded the motion and it

passed without dissent. (See ECR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. President's Report (PR 1)

President Capilouto began by thanking and expressing his pride in the work done by the Crisis Management Preparedness Group, Physical Plant Division, Facilities Management, University Police, Parking and Transportation, Housing and Dining and UK Hospitals during the recent extreme weather circumstances. Employees worked long hours to provide not only for students living on campus, but ensured that patients and their families were cared for. Researchers and staff continued to monitor their labs and research studies and protocols continued. The Board of Trustees and President Capilouto gave these employees a round of applause.

President Capilouto shared that the Tenth Annual DanceBlue Marathon, the 24-hour student-run dance marathon, raised a total of \$1,615,057.18 for the Golden Matrix Fund and DanceBlue Kentucky Children's Hospital Pediatric Hematology/Oncology Clinic. President Capilouto stated that he periodically visited the event on February 14-15, 2015, and he saw scores of students from varying backgrounds, cultures, colors and perspectives standing together for a common cause. He stated he watched the student lives who were changed throughout the 24-hour marathon, seeing and sharing the full spectrum of emotions, alongside clinic families and patients who were facing critical illnesses. He visited with alumni who returned to campus to not only donate funds, but to experience again the special event. And he saw a "community unlike any other, as they like to say, 'fighting for the kids'."

President Capilouto introduced and welcomed Dr. Scott Lephart, the new Dean of the College of Health Sciences. Dean Lephart joins UK as a former distinguished professor and chair of the Department of Sports Medicine and Nutrition at the University of Pittsburgh. Dr. Lephart is the founding director of the Neuromuscular Research Laboratory at the University of Pittsburgh. In this role and as chair, he successfully built a flourishing research enterprise, with extraordinary growth in academic programs, personnel and facilities. He also led and developed partnerships between academic units, Allied Health and an academic medical center, and he cultivated meaningful relationships with federal funding agencies, private and public foundations and the industry.

President Capilouto thanked Interim Dean Sharon Stewart for her three years as Interim Dean and for further strengthening the College's commitment to preparing the clinical, educational, and research leaders of tomorrow.

Dean Lephart thanked President Capilouto and the Board of Trustees for the kind welcome and stated he looked forward to the compelling opportunity of serving as Dean of College of Health Sciences.

President Capilouto thanked co-chairs Dr. Robert Mock and Dr. Nancy Cox, along with committee members and individuals involved in the Provost search process. The committee implemented a time-sensitive, thorough and thoughtful process to identify and promote Dr. Tim Tracy as UK's next provost. Provost Tracy will be leading several key initiatives that include

completing the strategic plan, strengthening student success at both the undergraduate and graduate levels, fostering a diverse and inclusive environment, advancing UK's research enterprise, selecting new leadership for the Colleges of Dentistry, Design, Medicine, Public Health and Pharmacy and help implementing the new financial model.

President Capilouto acknowledged and thanked Dr. Judy (JJ) Jackson for her service as the first vice president for institutional diversity. Dr. Jackson will be leaving the University at the end of the spring 2015 semester. He thanked her for being instrumental in increasing diversity and fostering inclusivity, providing advice and assistance on diversity strategies and most recently, her key leadership and expertise for UK's successful application for support through the Louis Stokes Alliance for Minority Participation (LSAMP). This program grant aims to attract a more diverse student population to pursue degrees in the science, technology, engineering and mathematics (STEM) fields. Dr. Jackson received a round of applause.

President Capilouto stated that he would be updating the Board and University community soon about the next steps for the Office of Institutional Diversity.

In closing, President Capilouto thanked the Board for their leadership and the noted his appreciation for support of Governor Steve Beshear, House Speaker Greg Stumbo, Senate President Robert Stivers, the leadership of both chambers, both parties and scores of legislators that voted to allow the state to provide half of the support and be UK's partner to build the new research facility. This new space will allow UK to recruit and retain the very best of faculty, attract competitive federal grants and build promising synergies in research and discovery in the area of health disparities.

J. Appointment of the Provost (PR 3)

President Capilouto stated that PR 3 was the recommendation that the Board of Trustees approve the appointment of Dr. Timothy S. Tracy as Provost, effective February 23, 2015.

Trustee Stuckert moved approval of PR 3. Trustee Mobley seconded the motion and it passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Appointment of the Dean of the College of Health Sciences (PR4)

President Capilouto stated that PR 4 was the recommendation that the Board of Trustees approve the appointment of Dr. Scott M. Lephart as Dean of the College of Health Sciences.

Trustee Vance moved approval of PR 4. Trustee Mobley seconded the motion and it passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. Honorary Degree Recipients (PR 5)

President Capilouto stated that PR 5 was the recommendation that the Board of Trustees approve the awarding of an Honorary Doctor of Arts to Leslie Coleman (Les) McCann, an Honorary Doctor of Humanities to Lillian Henken Press and an Honorary Doctor of Letters to O. Leonard Press, as approved and recommended by the University faculty.

Trustee Akins moved approval of PR 5. Vice Chair Young seconded the motion and it passed without dissent. (See PR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Proposed Revision to Governing Regulations: Nondiscrimination (PR 6)

President Capilouto stated that PR 6 was the recommendation that the Board of Trustees receive and vote to accept for the first reading the attached revisions to the Governing Regulation X and XIV relating to the University's statement on non-discrimination. The revisions are intended to update the nondiscrimination statement to conform to current law by adding gender identity, gender expression, pregnancy, marital status, genetic information, and whether the person is a smoker or nonsmoker.

Trustee Bryant moved approval of PR 6. Trustee Grant seconded the motion and it passed without dissent. (See PR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Proposed Revision of Governing Regulation: University Appeals Board (PR 7)

President Capilouto stated that PR 7 was the recommendation that the Board of Trustees receive and vote to accept for the first reading the attached revision to the Governing Regulation XI relating to the authority of the University Appeals Board (UAB). The revision is intended to clarify the role of the University Senate Rules for academic misconduct and the Senate Code of Conduct for disciplinary offenses informing the decisions of the UAB.

Trustee Grossman moved approval of PR 7. Vice Chair Young seconded the motion and it passed without dissent. (See PR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Proposed Revision to Administrative Regulation: Residency Review Committee (PR 8)

President Capilouto stated that PR 8 was the recommendation that the Board of Trustees approve the attached revisions to Administrative Regulation 4:5, Residency Review Committee. The proposed revisions are intended to make the regulation more consistent with regulations promulgated by the Council on Postsecondary Education and current University organization, allow the University more flexibility in scheduling student appeals and eliminate any appearance of conflicts of interest.

Secretary Brothers moved approval of PR 8. Trustee Gatton seconded the motion and it passed without dissent. (See PR 8 on the Board of Trustees website, www.uky.edu/Trustees,

under agenda.)

P. Academic and Student Affairs Report

Trustee Angela Edwards, chair of the Academic and Student Affairs Committee, stated that the Committee met that morning. The Committee heard a report from Vice President for Student Affairs Robert Mock updating the Committee on the Student Code and the student conduct process. General Counsel William Thro shared an update on the revision to the Governing Regulations with respect to the University Appeals Board. The Committee also heard an update from Provost Tracy regarding the Strategic Plan.

Q. Creation of the Department of Integrated Strategic Communication (ASACR 1)

Trustee Edwards stated that ASACR 1 was the recommendation that the Board of Trustees approve the creation of the Department of Integrated Strategic Communication (ISC) in the College of Communication and Information, effective July 1, 2015; and that the corollary Bachelor of Arts and Bachelor of Sciences degrees move into the new department.

The School of Journalism and Telecommunications currently houses three degree programs: Journalism, Media Arts and Integrated Strategic Communication (ISC). Since 2003, the School has grown from 14 tenured and tenure-track faculty to 26 tenured and tenure-track faculty and two full-time lecturers. Each of these fields has its own scholarly and creative tradition, and faculty research and creative activity in each of the three areas varies widely. Significant growth in both faculty and student numbers has made the structure difficult to manage effectively and is a barrier to continued growth.

Establishment of the Department of Integrated Strategic Communication will strengthen the identity of the program. Students and alumni of the School seem to identify with their program of study rather than the School as an entity. The restructuring will serve to further strengthen that identification. Likewise, the reconstituted School of Journalism and Telecommunications will be strengthened through greater focus.

The restructuring also will increase the amount of attention the leadership of the reformulated School and new Department are able to give to the distinct academic and career counseling needs of students in the three degree programs. The School director's focus will be on the over 400 students majoring or minoring in Journalism and Media Arts and Studies; the new Department's chair will be focused on the over 600 ISC majors.

Under the proposed restructuring, the School of Journalism and Telecommunication will consist of the Journalism and Media Arts and Studies programs; the BA/BS Journalism and BA/BS Media Arts and Studies undergraduate degree programs; and the minors in Journalism Studies and Media Arts and Studies.

The proposal has been reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council and endorsed by the University Senate.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 1. Chair Gannon opened the floor for discussion. Trustee Hawpe offered that as a journalist he thought the future of media study was convergence; learning not only reporting, writing and editing, but the need to be a photographer, videographer and a team player with advertising and marketing/public relations. Based on the votes of the faculty, it was his opinion that the faculty votes did not represent a strong mandate for the move. Trustee Grossman stated that as reorganizations are somewhat balanced between the pros and cons, he felt the faculty vote was strong and the ASACR warranted the Board's approval.

Chair Gannon called for the vote and it passed with 16 affirmative votes and two no votes, Trustee Hawpe and Trustee Gatton. (See ASACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. Candidates for Degrees: December 2014 (ASACR 2)

Trustee Edwards stated that ASACR 2 was the recommendation that the President be authorized to confer upon the individuals whose names appear, the degree to which the individuals are entitled upon certification by the University Registrar that they have satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees. Due to an administrative error, the names were not previously submitted.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 2 and it passed without dissent. (See ASACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Candidate for Degree: August 2014 (ASACR 3)

Trustee Edwards stated that ASACR 3 was the recommendation that the President be authorized to confer upon the individual whose name appears, the degree to which he is entitled upon certification by the University Registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees. Due to an administrative error, the name was not previously submitted.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 3 and it passed without dissent. (See ASACR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

T. University Athletics Committee Report

Trustee C.B. Akins, Sr., chair of the University Athletics Committee, stated that the committee had met that morning. President Capilouto made brief remarks. Athletics Director Mitch Barnhart gave an updated report on winter and spring sports. Items of note included: UK men's basketball team had won the SEC Tournament and championship; UK Athletics has now

reached the goals it set forth seven years ago as part of a 15 by 15 by 15 Plan, being 15 SEC and/or NCAA championships and a top 15 placement in the Director's Cup standing by 2015; and UK Athletics has also continued to succeed in the classroom, reaching the goal of a 3.0 GPA achieved in each of the last five semesters.

In winter sports, men's basketball's unbeaten record continues at 34-0. As SEC regular season and tournament champions, the Wildcats are a No. 1 seed in the Midwest Region in the NCAA Tournament. Coach Calipari has done incredible work leading this team and deservedly was named SEC Coach of the Year. Willie Cauley-Stein, SEC Tournament MVP, also won SEC Defensive Player of the Year. Karl-Anthony Towns won SEC Freshman of the Year, and Devin Booker won Sixth Man of the Year.

Trustee Akins continued that the UK women's basketball team has overcome injury and adversity to have another successful season. After solid play in the SEC Tournament semifinals, UK Hoops are 23-9 and ranked No. 11 in both polls. UK expects to host NCAA Tournament first- and second-round games for the second straight season. The gymnastics team is peaking with the postseason approaching, having posted their three best scores of the season in their final three meets. The rifle team finished sixth at the NCAA Championships this past weekend. Women's swimming and diving team finished seventh at the SEC Championships, the program's best finish since 2011. The men's team finished 10th. From the women's team, Christina Bechtel and Danielle Galyer each won individual SEC titles and will compete in the NCAA national meet.

Trustee Akins continued that in spring sports, after a historic 2014 season, UK's women's track and field team posted a school-record fifth-place finish at the NCAA Indoor Championships. The men's team finished 38th. Kendra Harrison led the way, winning UK's first-ever national championship in the 60-meter hurdles. The softball team, ranked No. 12, opened its home schedule to start the season by sweeping four games. Kelsey Nunley is having another strong season in the circle, headlined by Co-SEC Pitcher of the Week honors in February. Baseball, men's and women's tennis and men's and women's golf are all off to a good start.

Trustee Akins reported that construction continues on Commonwealth Stadium, with an expected completion date of September 5th. Football spring practice is underway and the new football practice facility construction commenced in February. Coach Stoops will also welcome another highly-regarded recruiting class this fall.

Off the field, UK's student-athletes combined for a GPA of 3.112 for the fall semester. Eighteen of 20 teams had GPAs of better than 3.0, and 63 student-athletes were named to the SEC Fall Academic Honor Roll, second in the conference. UK also had 31 current and former student-athletes graduate in December 2014.

A new era of autonomy in college athletics is underway, with schools from the five major conferences working to protect and improve the college experience for student-athletes, with student-athletes themselves having a voice in the process. A first step taken in January was the adoption and implementation of a measure allowing schools to award scholarships that include

the full cost of attendance. Beginning August 2015, the Department of Intercollegiate Athletics will offer cost-of-attendance scholarships for all 22 sports. Currently, 275 scholarships are distributed among over 500 student-athletes.

Last week, JMI Sports announced an expanded 15-year deal with iHeart Media that will ensure UK fans will continue to enjoy a best-in-class radio network. UK baseball star and Houston Astros draft pick A. J. Reed was named Kentucky Sportsman of the Year at the Bluegrass Sports Awards. John Calipari was named a finalist for the Naismith Memorial Basketball Hall of Fame last month. If elected, he will join Wildcat great Louie Dampier as a part of the 2015 Hall of Fame class. UK football pioneer Nate Northington was featured in a CBS Sports Network documentary last month. Mr. Northington became the first African-American football player in the SEC as a Kentucky Wildcat in 1967.

On February 20 and 21, two UK greats were honored with retired jerseys. Jenny Hansen, who won eight individual national titles from 1992-95, was honored before UK's gymnastics meet against Arkansas. Tony Delk, the Most Outstanding Player during the national championship run in 1996, had his jersey retired before the game against Auburn. UK All-American Art Still was named to the College Football Hall of Fame in January. Still was a star defensive end at UK and an All-American in 1977 before a 12-year NFL career. Women's soccer star Arin Gilliland was selected No. 8 overall by the Chicago Red Stars in the National Women's Soccer League Draft. Gilliland finished her UK athletics career in November as the most decorated player in program history. Director Barnhart was also voted Athletics Director of the Year by the National Association of Collegiate Directors of Athletics.

Trustee Akins reported that Sandy Bell, executive associate athletics director for Student Services and Rachel Newman Baker, senior associate athletics director for Compliance, provided an overview of NCAA, SEC and institutional rules and processes. As it pertains to NCAA Constitution 2.1, it is the responsibility of each member institution to control its intercollegiate athletics program in compliance with the rules and regulations of the Association. This educational session was provided in order to share information and avoid NCAA and SEC rules violations. Information presented included recruiting legislation, complimentary admissions legislation, review of current NCAA Governance structure (inclusive of an overview of the process for the autonomous conferences) and a general question/answer session.

Trustee Akins reported that in business items, Susan Krauss, treasurer, reported that the Audit and Compliance Subcommittee authorized the retention of BKD for the audit of the University's financial statements and other related audit work for the fiscal year ended June 30, 2015. The fiscal year 2015 audit engagement will include agreed-upon procedures as required by the NCAA on certain financial data detailing operating revenues, expenses, and capital expenditures. NCAA bylaws require that an independent accountant verify the accuracy and completeness of the financial data prior to submission to the institution's president and NCAA. The engagement letter for the fiscal year 2015 NCAA agreed-upon procedures was provided to the Committee.

The Committee also acknowledged the generous gift from Wendell and Vickie Bell. Vickie is a Community Advisory member of the University Athletics Committee. This gift will

be used towards capital projects in the Department of Intercollegiate Athletics. The committee also recommended approval to the Finance Committee of FCR 11, Renovate Old Softball/Soccer Locker Room Capital Project.

U. Finance Committee Report

Trustee James Stuckert, chair of the Finance Committee, reviewed for the Board the consent items, FCR 1 through FCR 4, which were vetted in detail earlier at the Finance Committee Meeting. He thanked Wendell and Vickie Bell, Michael L. Marberry, S. J. Whalen, and Dr. James F. Roark for their generosity to the University.

V. Request to Rename the Fifth and Pacific Foundation Endowment to the Kate Spade and Company Foundation Endowment (FCR 5)

Trustee Stuckert stated that FCR 5 was the recommendation that the Board of Trustees approve a request to rename the Fifth and Pacific Foundation Endowment to the Kate Spade and Company Foundation Endowment in the Center for Research on Violence Against Women.

Effective October 2014, the name of the Fifth and Pacific Foundation was changed to the Kate Spade and Company Foundation. Accordingly, the Foundation has requested that the name of the endowment be changed accordingly and that the University use the new name in all references to the endowment.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

W. Proposed 2015-16 Tuition and Mandatory Fees Schedule (FCR 6)

Trustee Stuckert stated that FCR 6 was the recommendation that the Board of Trustees approve the 2015-16 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education. The tuition and mandatory fees schedule reflects a three percent increase for most resident students and a six percent increase for most non-resident students.

Trustee Stuckert reported that Vice President of Financial Planning and Chief Budget Officer Angie Martin reviewed with the Committee the recommendation, including tuition rankings relative to UK's peer institutions.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 6 and it passed without dissent. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

X. Proposed 2015-16 Room Rates for University-Owned Housing (FCR 7)

Trustee Stuckert stated that FCR 7 was the recommendation that the Board of Trustees approve the 2015-16 room rates for University-owned housing.

The proposed 2015-16 University-owned housing rates primarily reflect a three percent increase for traditional double and single room residence halls, premium residence halls, graduate and family apartments and Greek housing. The 2015-16 dining rates reflect a 2.4 to 3.6 percent increase depending on the dining plan. All students living in residence halls are required to purchase a dining plan.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Y. 2014-15 Budget Revisions (FCR 8)

Trustee Stuckert stated that FCR 8 was the recommendation that the Board of Trustees authorize and approve certain budget revisions to the 2014-15 budget. The budget revisions will increase the University of Kentucky's total budget by \$3,833,200.

The budget revisions include the recognition of funds and expenses due to increased enrollment, decreased fee collection, fund balances and the programmatic implementation of net transfers with the University's public-private partnership for dining and catering operations.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Z. Approval to Submit and Application to Acquire the Quicksand Plant Materials Center in Quicksand, Kentucky (FCR 9)

Trustee Stuckert stated that FCR 9 was the recommendation that the Board of Trustees authorize the Executive Vice President for Finance and Administration, or his designee, to submit an application to the United States General Services Administration to acquire approximately four acres of improved property referred to as the Quicksand Plant Materials Center in Quicksand, Kentucky owned by the United States Department of Agriculture – Natural Resources Conservation Service.

Since 1925, the University of Kentucky, College of Agriculture, Food and the Environment has served the Appalachian regions by conducting applied field research and conducting educational programs in the areas of horticulture, forestry, livestock forage production and family consumer sciences. Continued access to the facilities on this USDA property, located in the heart of UK's operations at its Robinson Center for Appalachian Resource Sustainability, is vital to current and future research and educational efforts at the Robinson Center.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

AA. Design/Renovate/Upgrade Hospital Facilities – Good Samaritan Capital Project (FCR 10)

Trustee Stuckert stated that FCR 10 was the recommendation that the Board of Trustees approve the initiation of the construction phase of the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan Capital Project.

In December 2013, the Board of Trustees approved the initiation of the design phase for the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan capital project with a scope of \$600,000 to be funded from agency funds. The construction phase of this project will renovate approximately 12,090 square feet of the Good Samaritan Emergency Department including space dedicated to patient care such as private patient rooms, expanded service capabilities and improved staff support space. The project also will expand the patient and family waiting area by approximately 1,250 square feet.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10 and it passed without dissent. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

BB. Renovate/Upgrade UK HealthCare Facilities (Phase I-G) Capital Project (FCR 11)

Trustee Stuckert stated that FCR 11 recommended that the Board of Trustees approve the initiation of the Renovate/Upgrade UK HealthCare Facilities (Phase I-G) Capital Project. The A.B. Chandler Hospital Facilities Development Plan provides for the systematic replacement and renovation of the patient care facility. Phase I-G of the Development Plan continues the fit-out of surgical services and other space within Pavilion A with the following:

- Fit-up of one additional patient floor (11th)
- Relocation of the Blood Bank to Pavilion A
- Expansion and relocation of Radiology to Pavilion A
- Continuation of fit-out of new operating rooms

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 11 and it passed without dissent. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

CC. Renovate Old Softball/Soccer Locker Room Capital Project (FCR 12)

Trustee Stuckert stated that FCR 12 was the recommendation that the Board of Trustees approve an increase in scope from \$1,500,000 to \$2,200,000 for the Renovate Old Softball/Soccer Locker Room Capital Project.

In June 2014, the Board of Trustees approved the initiation of the Renovate Old Softball/Soccer Locker Room Capital Project with a scope of \$1,500,000 to be funded with private funds. Actual bids exceeded the design consultant's original estimate requiring a scope increase to \$2,200,000. The \$700,000 increase will be funded with private funds from the Department of Intercollegiate Athletics.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 12 and it passed without dissent. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

DD. Repair/Upgrade/Improve Civil/Site Infrastructure Capital Project (South Campus Parking Lot Expansion) (FCR 13)

Trustee Stuckert stated that FCR 13 was the recommendation that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Civil/Site Infrastructure Capital Project (South Campus Parking Lot Expansion).

In December 2013, the Board authorized the Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot) capital project which created 411 spaces. This expansion to the recently constructed parking lot south of the E.S. Good Barn will create approximately 430 additional parking spaces. These additional spaces are needed to offset parking losses associated with the Commonwealth Stadium expansion and allow for parking along the University Drive transit corridor. The \$1,800,000 project was authorized by the 2014 Session of the Kentucky General Assembly and will be financed with agency funds.

Trustee Stuckert moved adoption of FCR 13 and it passed without dissent. (See FCR 13 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

EE. Construct Research Building Capital Project (FCR 14)

Trustee Stuckert stated that FCR 14 was the recommendation that the Board of Trustees approve the initiation of the Construct Research Building Capital Project.

This project will construct an approximately 300,000 gross square foot multidisciplinary research building to meet current and future needs of the University. This state-of-the-art facility will attract and retain researchers who successfully compete for externally funded research. The building design will be flexible and open to provide modern space for multidisciplinary research involving programs such as medicine, nursing, health sciences, pharmacy, psychology, agriculture, biology, chemistry and engineering.

Trustee Stuckert continued that the programming for the new research building includes:

- a) six levels of flexible, open, wet lab space to accommodate a variety of disciplines;

- b) flexible lab/office space;
- c) space for imaging equipment on the basement level;
- d) interior connections between the new research building, Biomedical/Biological Science Research Building and the Pharmacy Building;
- e) central service dock for the new research building, BBSRB and the Pharmacy Building; and
- f) shelled space for future fit-up opportunities.

The \$265,000,000 project, authorized by the 2015 Kentucky General Assembly, will be completed in phases and funded with \$132,500,000 of state bonds and \$132,500,000 from a combination of various institutional sources including federal, private and agency funds and an internal loan. Any internal loan will be executed in compliance with the University's debt policy and approved by the University's Debt Committee.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 14 and it passed without dissent. (See FCR 14 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

FF. Capital Construction Report (FCR 15)

Trustee Stuckert stated that FCR 15 was the recommendation that the Board of Trustees accept the capital construction report for the three months ending December 31, 2014. This report refers only to projects that had activity within this quarter. Mary Vosevich, vice president for facilities management, provided details at the Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 15 and it passed without dissent. (See FCR 15 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

GG. Patent Assignment Report (FCR 16)

Trustee Stuckert stated that FCR 16 was the recommendation that the Board of Trustees accept the patent assignment report for the period October 1 through December 31, 2014. Interim Vice President for Research Lisa Cassis reviewed the report at the Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 16 and it passed without dissent. (See FCR 16 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

HH. University Health Care Committee Report

Vice Chair Barbara Young, chair of the University Health Care Committee Report, reported that the committee met earlier that morning.

Vice Chair Young stated that Murray Clark, chief financial officer, reported that January continues the very positive trend observed in the first six months of Fiscal Year 2015. The strong balance sheet reflects record volumes and a very high case mix index. UK HealthCare is at present operating at near capacity levels. This has put considerable pressure on the system, and the challenge now is to bring the hospital capacity in line with patient volumes. This will require a continuing and careful assessment of UK's present and future needs. The approval by the state of UK HealthCare's Certificate of Need last month (increasing UK's bed capacity from 825 to 945 beds) is critical to UK HealthCare's ability to meet these needs. In addition, the opening of the eighth floor in December 2014, the fit-out of the ninth and tenth floors approved at the June 2014 board meeting, and the fit-out of the 11th floor of the patient care facility should help ease congestion throughout the entire hospital.

Vice Chair Young continued that the Committee reviewed and recommended approval of two action items to the Finance Committee: FCR 10, the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan Hospital; and FCR 11, Renovate/Upgrade UK HealthCare Facilities (Phase I-G) Capital Project.

Vice Chair Young reported that Dr. Colleen Swartz, chief nursing officer, presented the February volume update and addressed the daily census and its steady rise over the past ten years. To put the increase in perspective, she pointed out in 2004 the average daily census was slightly over 300, before the acquisition of Good Samaritan. By December 2014, the average daily census had risen to approximately 700; due to the current capacity constraints UK is losing a lot of transfers. Dr. Swartz also presented a snapshot of the February census and spoke of the effect the winter weather had on the operation of the clinic and operating rooms, and noted that there was also a decrease in average daily discharges.

Vice Chair Young reported that Dr. Tukea Talbert, enterprise director of accreditation and regulatory compliance, updated the Committee on the scheduled review of UK HealthCare by The Joint Commission. She discussed The Joint Commission's mission and methods and strategies for assessing compliance. She pointed out that UK HealthCare prepares for the surprise visit by The Joint Commission by always being prepared and ready and fostering a health care environment that is committed to excellence, safety, and continued improvement.

In closing, Vice Chair Young stated that Dr. Kevin Nelson presented for approval the current list of privileges and credentials, which were approved by the Committee.

II. Other Business

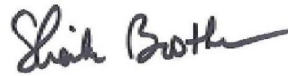
Secretary Brothers shared that Associate Professor Gerald Smith, Department of History, was presented the Charles W. Anderson Laureate Award at the 28th Annual Equal Employment Opportunity Conference. This annual award is presented to an individual in the state for significant contributions to equal opportunity in their communities and was presented this year by the Commonwealth's Personnel Cabinet Secretary and the director of the state's Diversity and Equality Office. Past recipients have included Senator Georgia Powers, Lyman T. Johnson and Sanford T. Rose.

Chair Gannon thanked the Board members for their flexibility and willingness to adjust their schedules in rescheduling this meeting and also to the President's Office for their efforts.

JJ. Meeting Adjourned

Hearing no further business, Chair Gannon asked for a motion to adjourn the meeting. Trustee Mobley moved the meeting adjourn and it was seconded by Trustee Stuckert. The meeting adjourned at 2:32 p.m.

Respectfully submitted,



Sheila Brothers
Secretary, Board of Trustees

(CR 1, ECR 1 and ECR 2, PR 1 , PR 2, PR 3, PR 4, PR 5, PR 6, PR 7, and PR 8, ASACR 1, 2, and 3, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9,10, 11, 12, 13, 14, 15 and 16 are official parts of the Minutes of the meeting)

CR 1

Chairman, Board of Trustees
March 16, 2015

Members, Board of Trustees:

ALTERNATE MEETING SITE

Recommendation: that the Board of Trustees approve Hazard, KY as an alternate meeting site for the September 10-11, 2015 Board of Trustees meeting and associated committee meetings.

Background: During its October 2014 Retreat, the Board of Trustees discussed the possibility of alternate sites for future Board of Trustee meetings. At its December 2014 meeting, the Board approved Hazard, KY as its alternate site for the February 19-20, 2015 meeting. This meeting was cancelled due to inclement weather. KRS 164.170 states that all meetings shall be held on the campus of the university unless otherwise specified by a majority vote of the Board of Trustees.

Action taken: Approved Disapproved Other _____

ECR 1

Office of the President
March 16, 2015

Members, Board of Trustees:

APPROVAL OF EXECUTIVE COMMITTEE AUTHORIZATION OF SALE OF THE
COLDSTREAM LABORATORIES INC. MANUFACTURING FACILITY LOCATED ON
COLDSTREAM RESEARCH CAMPUS

Recommendation: that, pursuant to Kentucky Revised Statute (“KRS”) 164.190 and Governing Regulation II.E.2.a(4), the Board of Trustees consider and approve the following actions taken by the Executive Committee of the Board of Trustees on behalf of the Board of Trustees of the University of Kentucky at the Committee’s special meeting on January 15, 2015, pursuant to the authority granted the Committee by KRS 164.190 and Governing Regulation II.E.2.a(2) to act during the period between Board meetings:

- (A) the Committee’s receipt of the offer from Coldstream Laboratories Inc. (“CLI”) to purchase the University’s interest in the manufacturing facility that CLI current leases from the University, located at the Coldstream Research Campus at 1575 McGrathiana Parkway, Lexington, Kentucky (“CLI Building”), for its appraised value immediately following CLI’s acquisition by PH Kentucky, Inc.;
- (B) the Committee’s finding that, in accordance with 164A.575(7), it was in the best interests of both the University and the public to sell the CLI Building, but not the land on which it sits, to CLI as part of the same competitive arms-length bid and negotiation process used by CLI and its shareholders, rather than through a traditional sealed bid or public auction process, because (i) the CLI Building is specially designed for the type of pharmaceutical manufacturing business conducted by CLI, (ii) a sale of the CLI Building to CLI after the stock transaction will likely result in the maximum return to the University, and (iii) the sale will encourage the purchaser of CLI to keep and expand the CLI business in the Commonwealth of Kentucky at the Coldstream Research Campus;
- (C) the Committee’s finding that, in accordance with 164A.575(7), a sale of the CLI Building following CLI’s acquisition by PH Kentucky, Inc., would be a use more suitable consistent with the public interest than retaining ownership of the CLI Building;
- (D) the Committee’s approval of the sale of the CLI Building to CLI for its appraised value of \$5,650,000.00, subject to a ninety-nine (99) year ground lease with the University for the land on which it sits, in accordance with KRS 164A.575(7); and
- (E) the Committee’s authorization of the President or his designee to execute any documents necessary or required by law to be recorded to effect such sale, convey the University’s interest in such building and enter into a long-term ground lease with CLI.

Background: The CLI Building is a sterile pharmaceutical manufacturing facility built in 2006 and currently leased by the University to CLI, a company incorporated by and primarily owned by the University of Kentucky Research Foundation (“UKRF”). CLI engaged an independent third party to solicit competitive bids for a purchase of all of CLI’s stock from UKRF and its other shareholders. After an arms-length negotiating process, the preferred bidder for CLI, PH Kentucky, Inc., an affiliate of Piramal Enterprises Limited, also offered to have CLI purchase the CLI Building for its appraised value of \$5,650,000 immediately following the stock purchase transaction.

This was a proposed sale of the CLI Building only, subject to a ground lease with the University, and did not include a sale of the fee simple title to the land.

Kentucky Revised Statute 164A.575(7) allows the Board of Trustees of the University to sell real property which “would be more suitable consistent with the public interest for some other use, as determined by the board.” Though a sale usually is conducted through sealed bid or public auction, the same statute allows the Board to sell real property through a different process if the Board deems that it is in the best interest of the University to do so.

The stock purchase transaction was approved by the UKRF Board on January 15, 2015.

Governing Regulation II.E.2.a(2) authorizes the Executive Committee of the Board to exercise oversight of the financial and business interests of the University and possess the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

In this instance, the Executive Committee, acting on behalf of the Board during the period between meetings of the full Board of Trustees, believed that it was in the best interests of both the University and the public to sell the CLI Building as part of the same competitive arms-length bid and negotiation process being used by CLI and its shareholders, rather than through a traditional sealed bid or public auction process, because (i) the CLI Building is specially designed for the type of pharmaceutical manufacturing business conducted by CLI, (ii) a sale of the CLI Building to CLI after the stock transaction will likely result in the maximum return to the University, and (iii) the sale will encourage the purchaser of CLI to keep and expand the CLI business in the Commonwealth of Kentucky at the Coldstream Research Campus.

Accordingly, the Executive Committee held a special meeting on January 15, 2015, and took the actions described in the recommendation section above.

KRS 164.190 and Governing Regulation II.E.2.a(4) requires the Executive Committee to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The Executive Committee thus submits this ECR 1.

Action taken: Approved Disapproved Other _____

ECR 2

Chairman, Board of Trustees
March 16, 2015

Members, Board of Trustees:

PROCESS FOR THE 2014-15 PRESIDENTIAL EVALUATION

Recommendation: that the Board of Trustees authorize the Chair of the Board of Trustees to enter into negotiation with the Association of Governing Boards (AGB) for the services of Carol Cartwright to serve as the facilitator for President Eli Capilouto's 2014-2015 evaluation.

Background: Pursuant to Section E. (Evaluation), of President Eli Capilouto's Employment Agreement with the University of Kentucky Board of Trustees, an annual performance evaluation shall be performed in accordance with Governing Regulation II.E.2(a).

The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate and Student Government Association.

The evaluation shall include input from various constituencies; and each member of the Board will be asked to provide an evaluation of the President. The Chair of the Board of Trustees and the Executive Committee, in consultation with the President, will agree on a set of interview questions. The performance assessment document may contain both qualitative and quantitative components.

In addition to the interview process, the President will prepare and submit an annual self-evaluation to the Board. The Executive Committee will review the President's self-evaluation; a report from Carol Cartwright; and other reports from the respective groups listed in GR II and will meet with the President to provide feedback. The Executive Committee will share the information with the full Board of Trustees and make a recommendation to the Board.

This process will be used to assess the President's performance and provide feedback on his accomplishments and challenges. It also may be used to determine any changes to the President's compensation, including merit increases and performance payments per his Employment Agreement.

Action taken: Approved Disapproved Other _____

PR 1

Office of the President
March 16, 2015

1. UK Receives 2015 Community Engagement Classification

Recognizing the University of Kentucky's commitment to its surrounding local, national and global communities, the Carnegie Foundation for the Advancement of Teaching announced January 7 the university has been selected to receive the 2015 Community Engagement Classification. The classification recognizes institutions that provide evidence of substantial engagement and contribution to their communities. A significant achievement, institutions complete a two-year long application process. The classification is in effect until 2025.

2. UK HealthCare Opens First Observation Unit

UK HealthCare opened its first Observation Unit in December at University of Kentucky Chandler Hospital, providing care for patients who are not ready for discharge. In the 24-bed unit located adjacent to UK Chandler's emergency department, patients with symptoms such as chest pain, abdominal pain, dehydration or syncope (fainting or passing out) will be managed and cared for up to 24 hours until either discharged or admitted as an in-patient for more intensive care. The patient will remain as an outpatient while in the unit.

3. UK HealthCare Opens New Inpatient Cardiovascular Unit

UK HealthCare unveiled its new Cardiovascular Inpatient Unit December 1. The 64-bed inpatient unit, on the 8th floor of the Albert B. Chandler Hospital's new Pavilion A, is one of the largest cardiovascular intensive care units in the country. Unlike other units in Pavilion A, the CV Unit will have its own Central Monitoring Service station embedded on the floor. Also embedded on the floor is an imaging suite for echocardiography, which minimizes patient transfers for testing. In addition, the floor will be the first in Pavilion A to use new barcode technology for patient medication administration. Staff will use handheld devices such as iPhones to streamline delivery of prescribed medications to patients and minimize medication errors.

4. Mathematics Receives More than \$550,000 to Support Inclusive, Diverse Graduate Program

President Eli Capilouto announced December 19 that the university's Department of Mathematics, within the College of Arts and Sciences, has been awarded a \$559,626 National Science Foundation grant to fund the new Graduate Scholars in Mathematics program. The funding will be dispersed through July 2019. The program will support incoming mathematics graduate students at UK, including first-generation and Appalachian students, for the critical first

two years of doctoral study, with a goal of helping to build a more diverse community of mathematicians. Support includes scholarships, fellowships, pre-professional training and alumni mentors.

5. Kentucky Awarded Grant for Prescription Drug Abuse Prevention Program

The Kentucky Injury Prevention and Research Center (KIPRC) has been awarded a \$400,000 grant from the Bureau of Justice Assistance to develop data-driven, multidisciplinary approaches to reduce prescription drug abuse and overdoses in Kentucky. KIPRC is a bona fide agent for the Kentucky Department for Public Health and is housed within the University of Kentucky College of Public Health. Svetla Slavova is the project's principal investigator and an assistant professor of biostatistics. The award is a collaborative effort between criminal justice and public health agencies to enhance the state's analytical capacity to identify existing and emerging prescription drug abuse trends and individuals and communities at risk of prescription drug overdose.

6. Kentucky, UK Help Establish \$259 Million Institute for Advanced Composites Manufacturing Innovation

As part of the National Network for Manufacturing Innovation, President Obama announced January 9 the launch of the Institute for Advanced Composites Manufacturing Innovation (IACMI), and the Commonwealth and the University of Kentucky as core partners of the institute. As core partners, UK along with the University of Tennessee, Oak Ridge National Laboratory, National Renewable Energy Laboratory, Purdue University, Michigan State University, University of Dayton Research Institute and their respective states, are the founding partners that helped establish IACMI and are essential to the successful management and operation of IACMI. UK's research will focus on producing low cost, high strength carbon fibers for lightweight composite structures for improved vehicle energy efficiency.

7. UK, Fayette County Schools Negotiating to Locate STEAM Academy on Campus

Negotiations between UK and Fayette County Public Schools (FCPS) are under way to move the district's fledgling STEAM Academy to UK. STEAM -- which stands for science, technology, engineering, arts and mathematics -- is an innovative partnership between UK and FCPS. Students take high school classes, while potentially earning college credits in courses taught by UK faculty as well as undergraduate and graduate students. The school district will construct the academy. The most likely site for construction is behind the Taylor Education Building, where the College of Education is located.

8. UK HealthCare Administers First Life-Altering Neuropace Implantation in Kentucky

Cheryl Castle was the first Kentucky patient to receive Neuropace, a novel device that helps lessen the frequency and severity of her crippling epileptic seizures. Working with Drs. Bensalem-Owen and Craig van Horne, Castle, from Lowmansville, Kentucky, had the device implanted last November. Neuropace continuously monitors electrical activity in the brain, detects abnormal electrical activity and delivers imperceptible levels of electrical stimulation to

normalize that activity before the patient can sense an oncoming seizure. Although not a cure, Castle has already experienced fewer and less severe seizures.

9. UK Joins Universities Worldwide to Fight Hunger

As part of PUSH, Presidents United to Solve Hunger, UK President Eli Capilouto and leaders from the other universities signed the Presidents' Commitment to Food and Nutritional Security, a declaration acknowledging their commitment to make food insecurity a priority, at the United Nations in New York City on December 9. The Hunger Forum and Public Signing Ceremony marked the first time universities around the world shared a collective focus on ending food insecurity. It is also the first time students and university leaders were united in the effort with international organizations, NGOs and student groups joining Auburn in this initiative.

10. UK Hosts 142 Pakistani Fulbright Scholars for Social Movements Seminar

The UK International Center hosted the Fulbright Pakistan Enrichment Seminar on Social Movements from November 5-9, where Fulbright students from Pakistan learned valuable lessons on U.S. history and the civil rights movement. The Pakistani Fulbright scholars recently arrived in the U.S. for graduate study and were mentored by other Fulbright scholars on tactics for success in U.S. graduate schools. The seminar was sponsored by the U.S. Department of State and the U.S. Education Foundation in Pakistan.

11. UK Students Fight Hunger in Lexington with Campus Kitchen

The Campus Kitchens Project is a national organization that empowers student volunteers to fight hunger in their community. In October, students in the UK Department of Dietetics and Human Nutrition had one week to encourage supporters to vote daily for their video on the Campus Kitchens website. Of the five schools that participated, UK received the most votes. As a result, they received a \$5,000 grant sponsored by AARP Foundation to start a kitchen. The Campus Kitchen at UK provides free, nutritious meals to Lexington citizens by using food that would otherwise go to waste. Through a partnership with UK's dining partner Aramark, students recently began collecting unused food from locations such as dining halls, grocery stores, restaurants and farms. Program organizers estimate they will collect enough food to provide several hundred Lexingtonians with a meal each week. The Campus Kitchen group at UK has partnered with the Lexington Senior Center, Catholic Action Center, Hope Center and the Martin Luther King Academy to reach those in need.

12. UK Students Impact Fellow Students Through Big Blue Pantry

UK students serving as coordinators for a new initiative, the Big Blue Pantry, are helping other students who may be experiencing food insecurity or hunger. The Center for Community Outreach's collaboration with Dietetics and Human Nutrition faculty and staff within the College of Agriculture, Food and Environment's School of Human Environmental Sciences has allowed the initiative to impact students working in the food pantry, as well as providing food to an average of 30 students per week, according to one coordinator. The Big Blue Pantry is located in Alumni Gym Room 103.

13. Kentucky Transportation Center's KATS Project Selected as Project of the Year

The Kentucky Transportation Center's (KTC) Kentucky Automated Truck Screening (KATS) project was selected as the 2014 Intelligent Transportation Society (ITS) Midwest Project of the Year at the ITS of the Midwest Annual Meeting in Indianapolis. KATS, working to identify trucks for inspection, utilizes a license plate reader, U.S. Department of Transportation (USDOT)/KYU number reader, and scene camera technology to collect and process identifying information from the vehicle. Research has shown that inspections initiated from KATS tend to have more violations and are more likely to result in increased revenue for Kentucky. After six months, one location with KATS capability collected a 600 percent increase in revenue.

14. Seven Projects Receive UK Sustainability Challenge Grants

The University of Kentucky President's Sustainability Advisory Committee announced seven sustainability projects receiving grants, a total of \$100,000 in funding, from the UK Sustainability Challenge Grant Program. The program received 22 proposals requesting more than \$450,000. Through an extensive review process, seven projects were selected to fund this year, including: University of Kentucky Food Summit; Big Blue Impact: Making Sustainability Visible; Development of Sustainable Bus Stops; Campus Tree Initiative: Enhancing sustainability through engagement with the urban tree canopy on UK campus and beyond; Arboretum Drive BioSwale Demonstration and Education Project; Empowerment for North Limestone Neighborhood Sustainability: Establishing Public Spaces and Arts; and Cultivating Place for a Sustainable Community: Revitalizing the Shawneetown Community Garden.

15. UK Unveils "Rooted in Our Communities: The University of Kentucky in Appalachia"

A new series of stories, "Rooted in Our Communities: The University of Kentucky in Appalachia," is examining the countless ways in which UK faculty, staff and students are working in and with communities throughout the mountains. The stories bring to life the significant challenges and even more promising opportunities that exist not only in Eastern Kentucky, but throughout the Commonwealth in areas such as health care, energy and conservation, education and economic development. Those stories and other compelling features can be found at www.uky.edu/appalachia.

16. UK Libraries, UPK Deliver Access to 1,000+ Titles

In a collaborative project placing the University of Kentucky at the forefront of national trends in academic publishing, University of Kentucky Libraries and the University Press of Kentucky (UPK) are providing digital access to more than 1,000 books published by UPK over the course of its 45-year history freely available to students and researchers in the UK community. More than 600 of the texts are already available in UKnowledge, a digital collection of scholarship created by UK Libraries. With the debut of the UPK project, UKnowledge becomes the primary access point for the research and scholarship published by UPK. More UPK titles will debut in the collection in coming months.

17. UK Researchers Awarded CDC Grants for Cancer Research in Appalachia

Two University of Kentucky researchers have been awarded \$1.62 million in grants through special interest projects from the Centers for Disease Control and Prevention (CDC). The grants fund projects that focus on methods for improving the dire cancer statistics in Appalachian Kentucky, which has some of the highest rates of cancer incidence and mortality in the country. Robin Vanderpool, an assistant professor in the Department of Health Behavior in the UK College of Public Health, was awarded a 5-year, \$1.37 million grant to fund the Appalachian Center for Cancer Education, Screening, and Support. Bin Huang, an assistant professor in the Division of Cancer Biostatistics in the Department of Biostatistics, UK College of Public Health, was awarded a 2-year, \$250,000 grant to improve Kentucky Cancer Registry data through ancillary data linkage.

18. UKPD Part of Central Kentucky Law Enforcement Partnership

The University of Kentucky Police Department has joined 25 other law enforcement agencies in Central Kentucky in an inter-jurisdictional agreement that allows these agencies to cross jurisdictional boundaries in the course of criminal investigations. BACKUPPS includes police departments and sheriff's offices in 15 counties. The agreement took nearly five months to establish and includes standard operating procedures allowing all agencies' officers and detectives to work together on criminal investigations without jurisdictional restrictions.

19. New Human Performance Lab Enhances Research Infrastructure, Collaborations

The Biodynamics Lab, a collaboration between UK colleges of Education and Health Sciences, has a new location, extensive new equipment and capacities, and a new name: the Human Performance Lab. The new Human Performance Lab provides a state-of-the-art teaching and research facility not only for assessment of form during movements such as running and throwing, but other kinds of functional assessments as well, including strength, endurance, gait and balance. These types of assessments are critical to better understand functional movement and conduct more sophisticated movement and exercise studies in fields beyond athletics and injury, such as healthy aging and neurological disorders.

20. UK Study Finds College Campus Tobacco-free Policies Are Effective

Amanda Fallin, assistant research professor at the University of Kentucky College of Nursing, recently published a study, "Association of Campus Tobacco Policies With Secondhand Smoke Exposure, Intention to Smoke on Campus, and Attitudes About Outdoor Smoking Restrictions," in the American Journal of Public Health. Findings included stronger policies associated with less exposure, tobacco-free college campuses associated with fewer students smoking and intending to smoke on campus, strong majorities of students supported outdoor smoking restrictions across all policy types, and comprehensive tobacco-free policies are effective in reducing exposure to smoking and intention to smoke on campus.

21. College of Education Partners With School Districts in Appalachia

Seventeen school districts in the Kentucky Valley Educational Cooperative (KVEC) are partnering with the UK College of Education, among others, to prepare for a seismic shift in the way students learn. Specifically, about 100 principals and district level staff will participate in the College of Education's Next Generation Leadership Academy each year over the course of the next four years. Additionally, 100 teachers will participate annually in the Next Generation Teacher Leader Academy. The academies are year-long professional learning endeavors focused on the critical attributes of Next Generation Learning, developed by the Council of Chief State School Officers (CCSSO).

22. UK Study Disputes Previous Theories on Loss of Muscle Stem Cells and Aging

A UK study recently published in Nature Medicine by authors Charlotte Peterson, co-director of the Center for Muscle Biology at the University of Kentucky, and Chris Fry, Ph.D. and former postdoctoral fellow in Peterson's lab, may cause researchers to reconsider previous theories on muscle aging. The study, conducted by Peterson's lab, in collaboration with John McCarthy in the Department of Physiology at UK, found that while degenerative conditions are expected to benefit from a stem cell-based therapy, this does not appear to be a viable approach for treating age-associated muscle wasting.

23. UK College of Agriculture, Food and Environment Celebrates Land-grant Research

The Bobby Pass Excellence in Grantsmanship Award was given to Udeni Balasuriya, in the Department of Veterinary Sciences, for leading a grant-funded project titled, "Identification of Genetic Factors Responsible for Establishment of Equine Arteritis Virus Carrier State in Stallions," which received \$2.9 million over five years from the U.S. Department of Agriculture's National Institute of Food and Agriculture. Daniel A. Potter, in the Department of Entomology, received the Research/Extension Impact Award for his outstanding research and outreach program in turf and landscape entomology. The Prestigious Research Paper Award was given to Pradeep Kachroo, Department of Plant Pathology, for the paper, "Glycerol-3-Phosphate, a Critical Mobile Inducer of Systemic Immunity in Plants," coauthored with department researchers and published in Nature Genetics.

24. International Education Week Celebrated with USAID, P&G Speakers

UK celebrated International Education Week with a flag contest, student panel on bridging differences, international game day and talks given by two prominent figures in the international community. Phil Duncan, UK alumnus and global design officer for The Procter & Gamble Company, shared his insights on global design. Christie Vilsack, senior advisor for international education at the U.S. Agency for International Development (USAID), discussed USAID's education strategy in her presentation titled, "Let Girls Learn: Education in Developing Countries."

25. Former Senator Alan Simpson Speaks at UK

The Martin School of Public Policy and Administration, the Department of Economics, the Department of Political Science and the university hosted former U.S. Senator Alan K. Simpson on November 19 to speak to students, alumni and community members. Simpson, a Republican from Wyoming, was a chairperson of the National Commission on Fiscal Responsibility and Reform, co-authored the Simpson-Bowles federal deficit reduction plan, and became a close friend of Democratic colleague Wendell Ford.

26. Grow Kentucky Small Business Expansion Program Off to Productive Start

The Grow Kentucky program, launched by the Kentucky Small Business Development Center, in partnership with the Community and Economic Development Initiative of Kentucky, both part of the College of Agriculture, Food and Environment, has received overwhelming interest from across the state since its inception in April. The program has already impacted a book packaging company based in Covington, B-Books, with a better understanding of how to use specific information to make better strategic choices. Grow Kentucky assists small businesses in five key areas: core business strategies, strategic market research, social media marketing, search engine optimization and targeted marketing lists.

27. Kentucky Collegians Event Welcomed, Recognized 270 High School Seniors

Top high school seniors from across the Bluegrass state and around the country are now "Kentucky Collegians." The UK Office of Undergraduate Admission and University Registrar honored 270 students from 17 different states for their academic success Monday, November 10, at the annual Kentucky Collegians event. In addition to being recognized, the students explored campus and majors, and met with UK faculty, staff and students to see what UK has to offer.

28. Historical Markers Commemorate Cooperstown, FCS Extension, Myrtle Weldon

Cooperstown residence halls, demolished in 2012-2013 to make way for Woodland Glen residence halls, were commemorated with a historical marker unveiled by University of Kentucky President Eli Capilouto, NBC sportscaster Tom Hammond, Associate Dean of UK Libraries' Special Collection Research Center Deirdre Scaggs, and others in November. Family and consumer sciences extension personnel honored an early, influential extension educator and their program's centennial anniversary with a historical marker, unveiled December 2 outside of the Myrtle Weldon Suite in the E.S. Good Barn on the University of Kentucky's campus.

29. Mayor Proclaims University of Kentucky/Toyota Collaboration Day in Lexington

The University of Kentucky's collaboration with Toyota was commemorated by Lexington Mayor Jim Gray declaring October 28, 2014, as "University of Kentucky/Toyota Collaboration Day." The proclamation recognized the Institute of Research for Technology Development, part of the College of Engineering, for responding to the "industry's immediate and long-term needs through engineering research, education and outreach." The Institute consists of both the Lean Systems Program and the Painting Research and Development Program.

30. UK Panhellenic Receives Excellence, Achievement Award

The National Panhellenic Conference (NPC), a premier advocacy organization for women and the sorority experience, awarded College Panhellenics at 32 universities, including the University of Kentucky, with excellence and achievement awards. UK's Panhellenic has won more NPC awards than any other collegian Panhellenic in the nation. UK has 13 National Panhellenic Conference sororities on campus with more than 3,300 members.

31. Mary Sue Coleman Addresses UK Community

Mary Sue Coleman, former UK faculty member and former president of the University of Michigan addressed the UK community November 6 as the next speaker in the "see tomorrow." Speaker Series. For 19 years she was a member of the biochemistry faculty at the University of Kentucky. Her work in the sciences led to administrative appointments at the University of North Carolina at Chapel Hill and the University of New Mexico, where she served as provost and vice president for academic affairs. From 1995-2002, Coleman was president of the University of Iowa, and led the University of Michigan as its 13th president from August 2002 until she retired in June 2014.

32. UK Celebrates 10th Anniversary of Engineering Outreach Program for Girls

The University of Kentucky hosted the 10th annual Girls in Engineering, Math and Science (GEMS) event November 15. The event introduced Girl Scouts and Juliette Scouts to several technology based career options with keynote speakers Janet Lumpp, associate director of NASA Kentucky Space Grant Consortium and EPSCoR Programs and a professor in the Department of Electrical and Computer Engineering at UK, and Lesa Roe, deputy associate administrator at NASA. Activities such as, "Cosmetic Chemistry," "Roller Coaster Physics" and "Alice in Programming Land" also enabled girls to interact with engineering and computer science concepts in new, fun ways.

33. Outdoor Artworks Moved to Accommodate Temporary Student Center Building

Seven outdoor sculptures, formerly found in the green space next to the Art Museum at the University of Kentucky, were recently moved to new locations to make way for a temporary Student Center building while the existing structure is renovated and expanded. Five of the artworks have new homes at the side of the Singletary Center for the Arts facing Avenue of Champions. Another has been installed behind the Fine Arts Building. A seventh work has been put in temporary storage for conservation work. Albert Paley's multi-colored sculpture "Sylvan," located along Avenue of Champions, will be returned to the artist's studio in New York. It has been on long-term loan to the museum for several years.

34. Democracy at Risk Around the World Examined at UK Conference

As part of the University of Kentucky College of Arts and Sciences' Year of the Middle East: Crossroads of the World, the Quantitative Initiative in Political and Social Research (QIPSR) hosted the fifth annual Democracy at Risk Around the World conference at UK where renowned comparative scholars presented their research that examines threats to democracy in several

regions of the world, including the U.S. The conference featured Amaney Jamal, political science, Princeton University; William Mischler, political science, Arizona University and U.S. Aid for International Development; Elizabeth Zechmeister, political science, Vanderbilt University; Melanie Hughes, sociology, University of Pittsburgh; and Clem Brooks, sociology, Indiana University.

35. UK Libraries Accepts Gray Family Collection

The UK Libraries Special Collections Research Center accepted the gift of the Gray family collection. The collection consists largely of the papers of Lois Howard Gray, a long-time UK supporter. The materials document her civic and artistic activities - including her enlistment in the WAVES during World War II - as well the activities of her immediate family, most notably her parents, C.C. Howard and Julia Franklin Howard.

36. University Press of Kentucky's 'Bloody Breathitt' Continues Receiving Awards

"Bloody Breathitt: Politics and Violence in the Appalachian South" is now the most decorated book published by University Press of Kentucky in many years. Most recently, "Bloody Breathitt" author T.R.C. Hutton received the 2014 Kentucky History Award given by the Kentucky Historical Society. The Appalachian Writers Association selected the book as the 2014 Nonfiction Book of the Year. Previously, "Bloody Breathitt" received the 2013 Weatherford Award for Nonfiction, and was a finalist for the Wiley-Silver Prize.

37. UK Students Build 3D Printers, Create 3D Printed Art

A new UK art course, "A-S 390: Hybrid Fabrication," allowed students to not only create art with the assistance of a 3D printer, but also to build 3D printers last fall. Derek Eggers, senior faculty instructional consultant with UK's Center for Enhancement of Learning and Teaching, and senior lecturer James Wade, in sculpture and art foundations, are teaching the course again this spring, "A-S 546: Digital Design and Fabrication." The class is also being used to understand the campus needs of 3D printing resources. The new Bolivar Art Center will use this information to help set up a new FabLab when the building opens in the summer of 2015. The School of Art and Visual Studies plans to operate the lab for students across campus.

38. UK Art Ed Project Gives Voice to City's Homeless

An art education course led by Marty Henton, head of art education, in the UK School of Art and Visual Studies at UK College of Fine Arts, allowed 13 students to assist in creating a mobile art exhibition featuring messages and depictions of life on the streets and in shelters for Lexington's own homeless community. "Streetvoice Art" was created at Community Inn, a homeless shelter on Winchester Road, with UK students helping five individuals who are currently homeless or experienced homelessness translate their feelings and experiences into art. The exhibition was displayed on campus during National Hunger and Homelessness Awareness Week and returned to the artists thereafter.

39. UK Institute Recognized for Second Time as Confucius Institute of the Year

The University of Kentucky Confucius Institute has received one of five 2014 Confucius Institute of the Year Awards in the U.S. and one of 15 among 470 institutes in the world at the ninth Confucius Institute Conference held December 7, in Xiamen, China. This is UK's second award for the institute and the third competitive award from the Office of Chinese Language Council International (colloquially known as Hanban) in three years.

40. UK Confucius Institute and Institute for Rural Journalism and Community Issues Host Sino-U.S. Media Seminar

The University of Kentucky Confucius Institute and the Institute for Rural Journalism and Community Issues at the UK College of Communication and Information, along with the Community Edition of the XinMin Evening News, the largest evening newspaper in Shanghai, hosted the second Sino-U.S. Community Media Seminar Friday, January 9, at the UK Boone Center. About 12 Chinese journalists and newspaper executives, plus six local Chinese government officials, joined about 25 American journalists and academics for the seminar to help Chinese editors and publishers understand the workings of community journalism in the U.S.

41. UK Hosts Collegiate Information and Visitor Services Student Development Institute

UK's Office of Enrollment Management hosted the second annual Collegiate Information and Visitor Services (CIVSA) Student Development Institute January 9-11. More than 60 professional staff members who work in campus visitor and information services across the United States taught over 150 college students who serve as ambassadors, tour guides and information center staff members at their institutions. Attendees represented institutions from 25 states and the District of Columbia, and had the opportunity to participate in educational sessions with topics that include leadership development, tour guide management, working with special populations, staff selection, training and evaluation.

42. Singletary Center to Host Grammy Winning Singer-Songwriter John Prine

The Grammy Award-winning singer-songwriter John Prine is coming to Singletary Center for the Arts next summer. Prine will take the stage with special guest Amanda Shires 8 p.m. Friday, June 26, in the Singletary Center Concert Hall. Tickets for the concert went on sale December 12. Prine has been awarded two Grammys and the distinction of being one of the few songwriters honored by the Library of Congress and named U.S. Poet Laureate.

43. Faculty and Staff Achievements

Tyrone Atkinson (College of Agriculture, Food and Environment) received the Youth Development Volunteer Award from National Guard Bureau chief Gen. Frank Grass at the National Volunteer Workshop in Oklahoma.

David Biagi (School of Architecture) received one of 11 Distinguished Alumni Awards presented at Ohio State University's 17th Annual Excellence in Engineering and Architecture Alumni Award.

Doug Boyd (UK Libraries Louie B. Nunn Center for Oral History) spoke recently at a conference in Belfast, Northern Ireland about the Nunn Center's initiative to enhance the accessibility of online oral histories, the center's development and implementation of the Oral History Metadata Synchronizer system, and challenges posed by curating online oral history collections.

Jaime Marie Burton and Stacie Williams (UK Libraries) presented at the Kentucky Association of Teachers of History annual meeting in October.

Michelle Ellington (Facilities Information Services) and Crystal Gabbard (Human Resources) were both awarded the Supervisor of the Year Award by the University of Kentucky Office of Work-Life.

Beth Fuchs (UK Libraries) was named chair-elect of the Kentucky Library Association's Library Instruction Round Table for 2014-2015 academic year.

Kazuko Hioki (UK Libraries) was invited by the Metropolitan Museum of Art to speak and lead a workshop for their symposium, "The Art of Japanese Books: Uses, Materials, and Block-printing Techniques."

Robert J. Kuhn (College of Pharmacy) was selected by the American Society of Health-System Pharmacists Research and Education Foundation to receive the 2014 Preceptor Award.

Tim McClintock (Physiology) and his team published research, which identified receptors activated by two odors using a new method that tracks responses to smells in live mice, in *The Journal of Neuroscience*.

Margaret Mohr-Schroeder (STEM Education) received the 2014 Mathematics Education Service and Achievement Award, presented by the Kentucky Council of Teachers of Mathematics.

Donald J. Mullineaux (Gatton College of Business and Economics) was elected to serve as chair of the board of directors of the Federal Home Loan Bank of Cincinnati.

Megan Mummey (UK Libraries Special Collections Research Center) was elected to the Kentucky Association of Teachers of History board as president-elect for the 2014-2015 term, serving as president 2015-2016.

Ebony G. Patterson (School of Art and Visual Studies) had her piece, "...wata marassa-beyond the bladez," named to "David Ebony's Top 10 Most Memorable Artworks of 2014."

Valerie Perry (UK Libraries) received the 2014 Special Libraries Association (SLA) Kentucky Chapter Larry Besant Professional Award at the SLA Fall meeting in Louisville.

William Rayens (Statistics) was honored with a nomination in the 2014 U.S. Professors of the Year awards program.

Graham Rowles (College of Public Health) received the 2015 Distinguished Faculty honor from the Association for Gerontology in Higher Education.

Kozo Saito (Institute of Research for Technology Development) received the International Prize from the Combustion Society of Japan.

Ernie Scott (Office of Rural Health) has received the 2014 Emerging Leader Award from the National Organization of State Offices of Rural Health.

Gerald Smith (History) received the Charles W. Anderson Laureate Award from the Kentucky Personnel Cabinet.

Liz Swanson (Architecture) has created a large-scale mural to be featured in the new Kroger located on Euclid Avenue.

Amy Harris (University Press of Kentucky) and Mary Beth Thomson (UK Libraries) recently presented "Coming Together: Successful Press, Library, Vendor Content Collaboration: A Case Study," at The Charleston Conference: Issues in Book and Serial Acquisition.

Robin Vanderpool (College of Public Health) has been named co-chair of the Cancer Prevention and Control Research Network Steering Committee for the current five-year funding cycle, 2014-2019.

Xuguo “Joe” Zhou (College of Agriculture) was awarded the Major International (Regional) Joint Research Award from the National Natural Science Foundation of China.

Fifty-six University of Kentucky staff members were honored during the 2014 Outstanding Staff Awards recognition ceremony.

44. Student Achievements

Michael Bale (Chemistry, Physics) of Dayton, Ohio, won second place in the Kentucky Academy of Science cellular and molecular biology research competitions.

Qian Chai (Chemistry) of Lexington, Kentucky, won first place in the Kentucky Academy of Science physiology and biochemistry research competitions.

Dahlia d'Arge (History), of Paris, Kentucky, has been named a 2015 Marshall Scholar, financing two years of graduate study for her at an institution of her choice in the United Kingdom.

Jeremiah Fugate (Mechanical Engineering) of Hazard, Kentucky, won first prize in the research poster session at the 7th International Conference on Forest Fire Research in Coimbra, Portugal, held November 17-21.

Trenede M. Garrison (Geological Sciences) of Lexington, Kentucky, won second place in the Kentucky Academy of Science geology research competition.

Jerrad Grider (Geological Sciences) of Jamestown, Kentucky, won second place in the Kentucky Academy of Science geology research competition.

Holden Hemingway (Biology) of Tiffin, Ohio, won third place in the Kentucky Academy of Science anthropology and sociology research competitions.

Johnson Lam (Accounting) and Isabella Sanchez (Civil Engineering) have each been awarded the UK International Studies Abroad (ISA) Diversity Scholarship for spring 2015, a \$5,000 voucher to apply toward an ISA education abroad program within two years.

Abigail Shelton (Computer Science) of Mount Washington, Kentucky, has been selected as a finalist for her “Fancy Lex” proposal in the first Knight Cities Challenge, a national call for new ideas to make 26 communities around the country more vibrant places to live and work.

Kelly Snowden (Human Health Sciences) of Gibsonia, Pennsylvania, won second place in the Kentucky Academy of Science anthropology and sociology research competitions.

Members of the Student Activities Board executive team, directors and committee chairs attended and participated at the 2014 National Association for College Activities Mid-America Regional Convention in Covington, Kentucky, in early November.

Lauren Thompson (Communication) of Louisville, Kentucky was selected among several candidates by UK President Eli Capilouto as the December 2014 Undergraduate Commencement Ceremony speaker.

Grace Trimble (Political Science), of Winchester, Kentucky, was selected as a finalist for a Rhodes Scholarship, a prestigious scholarship that funds graduate study at the University of Oxford in the United Kingdom.

Heidi Vollrath (Management) of De Pere, Wisconsin, won first place in the Kentucky Academy of Science anthropology and sociology research competitions.

Zhaoshuai Wang (Chemistry) of Tianjin, China, won third place in the Kentucky Academy of Science cellular and molecular biology research competitions.

Zach Wildofsky (Materials Engineering), of Tremont City, Ohio, has been awarded a Benjamin A. Gilman International Scholarship to study abroad, and he will study in Australia.

William Witt (Public Health) of Lexington, Kentucky, won first place in the Kentucky Academy of Science anthropology and sociology research competitions.

Xinyi Zhang (Chemistry) of Beijing, China, won second place in the Kentucky Academy of Science cellular and molecular biology research competitions.

Yuechen Zhu (Biology) of Suzhou, China, won third place in the Kentucky Academy of Science physiology and biochemistry research competitions.

Congming Zou (Soil Science) of Chongqing, China, won first place in the Kentucky Academy of Science agricultural sciences research competition in November.

Undergraduate researchers Phillip Barnett, communications junior; Morgan Black, interior design senior; Justin Cooper, mechanical engineering and chemistry junior; Katie Cross, English senior; Matthew Fahrback, computer science and mathematics senior; Jessica Funke, interior design senior; Emily Furnish, vocal music and chemistry senior; Travis Klondike, landscape architecture senior; Autumn Murphy, English and history senior; Sarah Patterson, chemical and biopharmaceutical engineering junior; Emily VanMeter, political science and French senior; Faith VanMeter, psychology junior; and Sarah Wagner, architecture and English senior, were presented Oswald Research and Creativity Program awards from the University of Kentucky Office for Undergraduate Research.

45. Alumni Achievements

Mosoka Fallah (College of Medicine, 2011) is among the Ebola fighters in West Africa that has been named Time Magazine's Person of the Year.

Carol Martin "Bill" Gatton (Business, 1954), UK benefactor and Kentucky businessman, received the Distinguished Philanthropist Award from the Bluegrass Chapter of the Association of Fundraising Professionals (AFP).

Luke Glaser (English, Hispanic Studies, 2013), of Louisville, Kentucky, was selected as a finalist for the Rhodes Scholarship, a prestigious scholarship that funds graduate study at the University of Oxford in the United Kingdom.

Margaret Prizer Graymer (Martin School of Public Policy and Administration) received the Martin School's Distinguished Alumnus Award at the school's annual Alumni and Friends reception.

Jessica Elaine Holmes (School of Library and Information Science, 2006) was announced as one of the 2014 "I Love My Librarian" award winners presented by the American Library Association.

John Lackey (Art) and Aaron Seales (Architecture) have each created large-scale murals to be featured in the new Kroger located on Euclid Avenue.

Earl F. Martin (College of Communication and Information, 1984, and College of Law, 1987) has been selected as Drake University's 13th president. Martin will begin his term as president on July 1, 2015.

J. David Richardson (College of Medicine, 1970) was elected president-elect of the American College of Surgeons (ACS).

PR 2

Office of the President
March 16, 2015

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Athletics Director

Stivers, Charles, III, Sports Turf Technician II, Athletics Operations, after 18 years and 3 months of consecutive service under AR 3.1 Section VIII.B, to change from effective 1/2/15, to effective 1/5/15.

Executive Vice President for Finance and Administration

Jackson, Linda C., Staff Support Associate II, Human Resources, after 18 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 1/31/15.

Vice President for Facilities Management

Lueken, Richard E., Maintenance Superintendent Assistant II, Campus Physical Plant, after 13 years and 3 months of consecutive service under AR 3.1 Section VIII.B, effective 1/2/15.

B. EARLY RETIREMENTS

Associate Vice President for Auxiliary Services

Girouard, Sonya D., Cook/Baker II, UK Dining, after 24 years and 9 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/15.

Executive Director for Purchasing

Hanich, Leslie A., Price Contract Coordinator Senior, Purchasing, after 23 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 1/15/15.

Vice President for Development

Bowman, Susan M., Development Research Specialist, Office of Development, after 15 years and 5 months of consecutive service under AR 3.1 Section VIII.A, effective 1/30/15.

Executive Vice President for Finance and Administration

Trent, James H., Jr., Respiratory Therapist Senior, Human Resources, after 32 years and 6 months of consecutive service under AR 3.1 Section VIII.A, effective 11/30/14.

Vice President for Facilities Management

Hatfield, James L., Electrician Supervisor, Campus Physical Plant, after 42 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/15.

Newton, Arnett L., Maintenance Technician Supervisor, after 32 years and 6 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/15.

Vice President for Human Resources

Holbrook, Loretta J., Staff Support Associate II, Employee Benefits, after 16 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/15.

Wolfe, Beth-Ann, Account Clerk III, Employee Benefits, after 25 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective 11/18/14.

II. ADMINISTRATIVE ACTIONS

A. APPOINTMENTS

Athletics

Dawson, Shannon, Assistant Coach Football, Men's Sports, effective 12/30/14.

Office of the Treasurer

Shupp, Todd, Chief Investment Officer, Controller and Treasurer, effective 1/5/15.

Vice President for University Relations

Marvel, Gerald H., Boone Center General Manager, effective 1/1/15.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Arnold, Laura M., College of Agriculture, Food and Environment, Clinical Associate Professor, Veterinary Science, 1/1/15 through 6/30/15.
- Braun, Benjamin J., College of Arts and Sciences, Associate Professor (with tenure), Mathematics, appointed to the Royster Research Professorship, 1/1/15 through 12/31/17.
- Bunn, Robert C., College of Medicine, Associate Research Professor, Pediatrics, 1/1/15 through 6/30/15.
- Cheek, Dennis A., College of Medicine, Clinical Assistant Professor, Radiation Medicine, 2/1/15 through 6/30/15.
- Cunningham, Emily W., College of Medicine, Clinical Associate Professor, Obstetrics and Gynecology, 1/1/15 through 6/30/15.
- Dawson, Kristin L., College of Medicine, Clinical Assistant Professor, Psychiatry, 1/1/15 through 6/30/15.
- Desai, Rasesh R., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 1/15/15 through 6/30/15.
- Fowlkes, John L., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Pharmacology and Nutritional Sciences, effective 1/1/15, and appointed to the Barnstable Brown Kentucky Diabetes and Obesity Center Endowed Chair, 1/1/15 through 6/30/20.
- Goldstein, Steven J., College of Medicine, Professor (voluntary), Radiology, 1/1/15 through 6/30/16.
- Greenlee, Brian A., College of Medicine, Clinical Assistant Professor, Psychiatry, 12/8/14 through 6/30/15.
- Lephart, Scott M., College of Health Sciences, Professor (with tenure), Rehabilitation Sciences, and joint appointment as Professor (without tenure), Clinical Sciences, effective 2/1/15.
- Lu, Y. Charles, College of Engineering, Associate Professor* (with tenure), Mechanical Engineering, appointed to the H. E. Katterjohn Professorship in Engineering, 1/1/15 through 12/31/19.
- Morales, Alba E., College of Medicine, Associate Professor* (with tenure), Pediatrics, effective 2/1/15.
- Nagel, Uwe, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed to the Ralph E. and Norma L. Edwards Research Professorship, 1/1/15 through 12/31/17.
- Riley, Scott A., College of Medicine, Clinical Associate Professor, Orthopaedic Surgery, 12/1/14 through 6/30/15.
- Rohr, Jurgen, College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, appointed to the University Professorship in Pharmacy, effective 1/1/15.

- Secor, Anna, College of Arts and Sciences, Professor (with tenure), Geography, appointed to the Hajja Razia Sharif Sheikh Islamic Studies Professorship, 1/1/15 through 12/31/19.
- Singh, David J., College of Arts and Sciences, Adjunct Professor, Physics and Astronomy, 7/1/14 through 6/30/16.
- Slaughter, Milton D., College of Arts and Sciences, Adjunct Professor, Physics and Astronomy, 7/1/14 through 6/30/16.
- Thraillkill, Kathryn M., College of Medicine, Professor (with tenure), Pediatrics, and appointed to the Barnstable-Brown Chair in Pediatric Diabetes Research, effective 2/1/15.
- Zhan, Chang-Guo, College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, appointed to the Pharmaceutical Science Professorship and Research Endowment, 2/1/15 through 1/31/20.

B. ADMINISTRATIVE APPOINTMENTS

- Coffey, Richard D., College of Agriculture, Food and Environment, Extension Professor (with tenure), Animal and Food Sciences, appointed Chair, Animal and Food Science, 2/1/15 through 1/31/21.

C. REAPPOINTMENTS

- Cobb, James C., College of Arts and Sciences, Associate Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.
- Khraisheh, Marwan, College of Engineering, Adjunct Professor, Mechanical Engineering, 1/1/15 through 12/31/15.
- Maske, Huajing X., College of Education, Clinical Associate Professor, Curriculum and Instruction, 7/1/14 through 4/30/15.

D. PROMOTIONS

- Marshall, Charles T., College of Health Sciences, from Assistant Professor*, Rehabilitation Sciences, and joint appointments as Assistant Professor*, Anatomy and Neurobiology, College of Medicine and Assistant Professor*, Physiology, College of Medicine, to Associate Professor* (with tenure), Rehabilitation Sciences, and joint appointments as Assistant Professor*, Anatomy and Neurobiology, College of Medicine and Assistant Professor*, Physiology, College of Medicine, effective 1/1/15.
- Rogozinski, Zbigniew S., College of Medicine, from Assistant Professor (part-time), Anesthesiology, to Associate Professor (part-time), Anesthesiology, 7/1/15 through 6/30/16.
- Skaff, Karen O., College of Health Sciences, from Associate Professor* (with tenure), Clinical Sciences, to Professor* (with tenure), Clinical Sciences, effective 1/1/15.

E. PROMOTIONS WITHOUT TENURE

Jones, Julene L., Libraries, from Librarian IV, to Librarian III, 7/1/15 through 6/30/16.

F. CHANGES

Holle, Bruce, College of Arts and Sciences, from Senior Lecturer, History, to Associate Professor (temporary), History, 7/1/14 through 6/30/15.

Nerenz, Robert D., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, from 1/1/15 through 6/30/15, to 1/7/15 through 6/30/15.

Scutchfield, F. D., College of Public Health, from Professor (with tenure), Preventive Medicine and Environmental Health, and joint appointments as Professor (without tenure), Family and Community Medicine, College of Medicine and Professor (without tenure), Health Services, College of Health Sciences, to Professor (with tenure), Health Management and Policy, and joint appointments as Professor (without tenure), Family and Community Medicine, College of Medicine and Professor (without tenure), Health Services, College of Health Sciences and Professor (without tenure), Preventive Medicine and Environmental Health, effective 1/1/15.

Suiter, Debra M., College of Health Sciences, Clinical Associate Professor, Rehabilitation Sciences, from 6/23/14 through 6/30/14, to 6/23/14 through 6/30/15.

Thind, Ravneet, College of Medicine, Clinical Assistant Professor, Internal Medicine, from 10/15/14 through 6/30/15, to 11/25/14 through 6/30/15.

van Wyk, Margaret N., College of Medicine, Clinical Assistant Professor, Anesthesiology, from 1/15/15 through 6/30/15, to 1/1/15 through 6/30/15.

G. TERMINAL REAPPOINTMENTS

Li, Tianxiang, College of Engineering, Associate Research Professor, Mechanical Engineering, 11/16/14 through 1/15/15.

Rucker, Edmund B., College of Arts and Sciences, Associate Professor, Biology, 7/1/15 through 6/30/16.

H. RETIREMENTS

Adair, Shirley A., College of Medicine, Staff Nurse Ambulatory, Internal Medicine, after 43 years of consecutive service, under AR 3:1, Section VIII.A, effective 12/1/14.

Artiushin, Sergey C., College of Agriculture, Food and Environment, Assistant Research Professor, Veterinary Science, after 17 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/14.

Baber, Patricia P., College of Agriculture, Food and Environment, Administrative Support Associate I, Regulatory Services, after 30 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 2/2/15.

- Bailey, Lana, College of Medicine, Community Health Worker, Rural Kentucky Health Care, after 7 years of consecutive service, under AR 3:1, Section VIII.B, effective 2/1/15.
- Baker, Rosetta J., College of Agriculture, Food and Environment, Program Assistant, Family and Consumer Sciences, after 9 years and 7 months of consecutive service, and a total of 13 years and 4 months of service, effective 3/5/15.
- Edin, Terrence D., College of Education, Instructional Technology Director, Education Instructional Media and Technology, after 19 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/2/15.
- Goldstein, Steven J., College of Medicine, Professor (part-time), Radiology, after 5 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/14.
- Hill, Lewis E., College of Agriculture, Food and Environment, Laboratory Technician Senior, Regulatory Services, after 32 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/2/15.
- Kaplan, Alan L., College of Dentistry, Information Technology Manager III, after 38 year and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 2/5/15.
- Kiser, Robert I., College of Agriculture, Food and Environment, Agriculture Regulatory Specialist Senior, Regulatory Services, after 40 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/2/15.
- Lester, Harold D., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, after 15 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/3/15.
- Mimms, William R., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, after 10 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/30/14.
- Pursley, David C., College of Medicine, Clinical Assistant Professor, Neurology, after 3 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/14.
- Vickers, Mary L., College of Agriculture, Food and Environment, Associate Professor* (with tenure), Veterinary Science, after 23 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/14.
- Zhang, Jiayou, College of Medicine, Associate Professor (with tenure), Microbiology, Immunology and Molecular Genetics, after 20 years of consecutive service, under AR 3:1, Section VIII.B, effective 1/31/15.

I. EARLY RETIREMENTS

- Beatty, Joyce S., Enrollment Management, Student Affairs Officer III, Academic Scholarships, after 35 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/2/15.
- Burke, Allen, College of Agriculture, Food and Environment, Plumber III, Engineering Division, after 28 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/27/14.

- Compton, Janice E., College of Medicine, Community Health Worker, Rural Kentucky Health Care, after 19 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/15/14.
- Gil, Delores H., College of Education, Administrative Support Associate I, Early Childhood, Special Education, and Rehabilitation Counseling, after 27 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/24/15.
- Gilchrist, Paul W., Information Technology, Communications Project Estimator, Network, after 28 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/2/15.
- Ison, Ollie G., College of Agriculture, Food and Environment, Administrative Services Assistant, Entomology, after 27 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/2/15.
- Lee, Sarah O., Graduate School, Student Affairs Officer II, Martin School of Public Policy and Administration, after 19 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/5/15.
- Marks, Sandra R., College of Dentistry, Sterilization and Tray Supervisor, Prepared Tray Systems, after 34 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/27/15.
- Russell, Margaret A., College of Medicine, Program Coordinator II, Rural Kentucky Health Care, after 25 years of consecutive service, under AR 3:1, Section VIII.A, effective 12/15/14.
- Shaw, Margaret M., Libraries, Librarian I (with tenure), after 36 years and 8 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/2/15.
- Williams, Connie S., College of Agriculture, Food and Environment, Administrative Support Associate I, Regulatory Services, after 40 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/2/15.
- Wilson, Martha T., College of Medicine, Curriculum Coordinator Senior, Office of Medical Education, after 24 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/25/14.

J. POST-RETIREMENT APPOINTMENTS

- Elitzur, Moshe, College of Arts and Sciences, Professor (part-time), Physics and Astronomy, 1/5/15 through 12/31/15.
- Ewing, Jack, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 2/6/15 through 7/31/15.
- Johnting, Kaye, College of Agriculture, Food and Environment, Program Assistant (part-time), Cooperative Extension Service, 2/28/15 through 2/27/16.
- MacAdam, Keith, College of Arts and Sciences, Professor (part-time), Physics and Astronomy, 1/1/15 through 5/15/15.
- Moore, Nelda, College of Agriculture, Food and Environment, Coordinator (part-time), Family Impact Seminars, Family and Consumer Sciences, 2/1/15 through 1/31/16.
- Overhults, Douglas G., College of Agriculture, Food and Environment, Professor (part-time), Biosystems and Agricultural Engineering, 2/4/15 through 1/31/16.

K. PHASED RETIREMENT APPOINTMENTS

Rabel, Robert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, 7/1/15 through 6/30/20.

Wildasin, David E., Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, and joint appointment as Professor (without tenure), Economics, College of Business and Economics, 7/1/15 through 6/30/20.

I. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Adedokun, Sunday A., College of Agriculture, Food and Environment, Assistant Professor, Animal and Food Sciences, 1/5/15 through 6/30/15.

Amato, Mary C., College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/14 through 6/30/14.

Ashburn, Joseph C., College of Medicine, Assistant Professor (voluntary), Neurology, 1/1/15 through 6/30/16.

Baier, Jennifer L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/13 through 6/30/16.

Bennett, Lynda L., College of Health Sciences, Adjunct Instructor, Clinical Sciences, 12/1/14 through 6/30/15.

Bertram, Leslee A., College of Nursing, Instructor (part-time), 1/11/15 through 6/30/15.

Broome, Elisabeth, Undergraduate Education, Instructor (part-time), Honors Program, 8/1/14 through 6/30/15.

Calobrace, Michael B., College of Medicine, Assistant Professor (voluntary), Surgery, 1/1/15 through 6/30/16.

Choi, Moon J., College of Social Work, Assistant Adjunct Professor, 8/16/14 through 6/30/15.

Chung, Charles S., College of Medicine, Assistant Research Professor, Physiology, 12/1/14 through 6/30/15.

Clark, Claire D., College of Medicine, Assistant Professor*, Behavioral Science, 1/1/15 through 6/30/15.

Coleman, Clyde, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/14 through 6/30/16.

Coleman, Jonathan E., College of Arts and Sciences, Instructor (part-time), Gender and Women's Studies, 1/1/15 through 5/15/15.

Collins, Tommy, College of Public Health, Adjunct Instructor, Health Behavior, 1/1/15 through 6/30/15.

Conoley Paladino, Ila M., College of Fine Arts, Instructor (part-time), Theatre and Dance, 1/1/15 through 6/30/15.

Cprek, Sarah E., College of Public Health, Adjunct Instructor, Health Behavior, 1/1/15 through 6/30/15.

Cunningham, Kailey, College of Agriculture, Food and Environment, Instructor (part-time), Dietetics and Human Nutrition, 1/1/15 through 5/30/15.

Dannenberg, Richard J., College of Medicine, Assistant Professor (part-time), Ophthalmology and Visual Sciences, 1/12/15 through 6/30/15.

Dodd, Luke E., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Entomology, 3/1/15 through 3/1/17.

Douangdara, Khay, College of Nursing, Instructor (part-time), 1/12/15 through 6/30/15.

Etter, Nicole M., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 1/1/15 through 6/30/15.

Ferrell, Jodi B., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/14 through 6/30/16.

Florence, Stacy, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Friskney, Doyle N., College of Communication and Information, Instructor (part-time), Communication, 1/1/15 through 6/30/15.

Garcia, Carlos E., College of Medicine, Assistant Adjunct Professor, Surgery, 1/15/15 through 6/30/15.

Graham, Mary A., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 1/1/15 through 5/15/15.

Hagel-Kahmann, Leah R., College of Fine Arts, Instructor (part-time), Music, 1/1/15 through 6/30/15.

Hitron, Anna E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/12 through 6/30/16.

Hodges, Shana R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/14 through 6/30/16.

Hunsaker, James S., College of Medicine, Clinical Assistant Professor, Ophthalmology and Visual Sciences, 1/1/15 through 6/30/15.

Jacobs, Julie A., College of Public Health, Adjunct Instructor, Health Behavior, 1/1/15 through 6/30/15.

Justice, Lois J., College of Nursing, Instructor (part-time), 1/1/15 through 6/30/15.

Kellerman, Ezra S., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 1/1/15 through 6/30/15.

Kessler, Shasta S., College of Nursing, Instructor (voluntary), 1/1/15 through 6/30/16.

Kinder, Renee, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 10/1/14 through 6/30/16.

Layton, Katharine R., College of Nursing, Instructor (part-time), 1/1/15 through 6/30/15.

Lee, Joshua S., College of Arts and Sciences, Instructor (temporary), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Lester, Harold D., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 1/4/15 through 6/30/15.

Lowdenback, Rachel, College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/14 through 6/30/16.

Masia, Shawn L., College of Medicine, Assistant Adjunct Professor, Neurology, 12/12/14 through 6/30/15.

Osborne, Samuel B., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/11 through 6/30/16.

Pendleton, Michelle L., College of Nursing, Assistant Professor (voluntary), 1/1/15 through 6/30/16.

Peng, Hui, College of Pharmacy, Assistant Research Professor, Pharmaceutical Sciences, 10/9/14 through 6/30/15.

Pepper, Michael R., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 1/1/15 through 6/30/15.

Perkins, Lewis L., College of Nursing, Assistant Professor (voluntary), 1/1/15 through 6/30/16.

Phillips, John M., College of Health Sciences, Instructor (voluntary), Clinical Sciences, 9/1/14 through 6/30/16.

Pijut, Kyle D., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/14 through 6/30/16.

Pilon, Suzanne L., College of Nursing, Instructor (part-time), 1/1/15 through 6/30/15.

Remer, Randa R., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 1/1/15 through 6/30/15.

Rodgers, Paul M., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 1/1/15 through 6/30/15.

Ross, Robert F., College of Medicine, Instructor (voluntary), Family and Community Medicine, 12/1/14 through 6/30/16.

Ross, Shawn K., College of Medicine, Instructor (voluntary), Family and Community Medicine, 12/1/14 through 6/30/16.

Saha, Amanda L., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 8/25/14 through 12/19/14.

Scharloth, Joachim, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 10/1/14 through 10/21/14.

Schnakenberg, Keith E., Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 8/15/14 through 6/30/15.

Seevers, K. Daniel, College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 1/14/15 through 5/15/15.

Stiles, Jana M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/14 through 6/30/16.

Stinson, Laura K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 8/1/14 through 6/30/16.

Stokes, LeAnn B., College of Arts and Sciences, Instructor (part-time), English, 8/1/14 through 12/31/14.

Swartz, Colleen H., College of Nursing, Assistant Adjunct Professor, 1/1/15 through 6/30/15.

Taylor, Kelly L., College of Nursing, Instructor (part-time), 1/12/15 through 6/30/15.

Thirumalai, Shanti S., College of Medicine, Assistant Adjunct Professor, Neurology, 12/12/14 through 6/30/15.

Thomas, Jonathan, College of Education, Assistant Professor, STEM Education, 8/16/15 through 6/30/16.

Van Cleve, Jeremy, College of Arts and Sciences, Assistant Professor, Biology, 1/1/15 through 6/30/16.

Vijayakumar, Paul P., College of Agriculture, Food and Environment, Assistant Extension Professor, Animal and Food Sciences, 1/12/15 through 6/30/15.
Wang, Yonker Y., College of Medicine, Assistant Professor (part-time), Radiology, 12/19/14 through 6/30/15.
Warmouth, Grant M., College of Medicine, Assistant Adjunct Professor, Neurology, 12/12/14 through 6/30/15.
Wiedmar, Jennifer A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/14 through 6/30/16.
Wiggins, Amanda T., College of Nursing, Lecturer, 1/1/15 through 6/30/15.
Wright, Heather, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.
Zhu, Junfeng, College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

Adams, Chad R., College of Medicine, Nurse Anesthetist, Anesthesiology, effective 12/15/14.
Armentrout, Brian, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 12/1/14.
Barrett, Nancy, College of Medicine, Advanced Practice Provider I, Neurology, effective 11/17/14.
Butler, Erin K., College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 11/30/14.
Do, Frances, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 12/1/14.
Ellis, Teresa, College of Medicine, Advanced Practice Provider II, Anesthesiology, effective 1/11/15.
Hill, Derrick, College of Medicine, Technical Project Specialist III, Pediatrics, effective 12/14/14.
Keene, Thomas, College of Agriculture, Food and Environment, Agriculture Extension Director Assistant, Program and Staff Development, effective 1/5/15.
Matar, Rachel, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 1/5/15.
Quarandillo, Ann Mary, College of Business and Economics, Communications Director, effective 1/5/15.
Zacher, Carla, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 12/8/14.

C. JOINT APPOINTMENTS

Hou, Alexander H., College of Medicine, Assistant Professor (voluntary), Surgery, joint appointment as Assistant Professor (voluntary), Clinical Sciences, College of Health Sciences, 11/1/14 through 6/30/16.

Thomas, David T., College of Health Sciences, Assistant Professor, Clinical Sciences, joint appointment as Assistant Professor, Pharmacology and Nutritional Sciences, College of Medicine, 11/1/14 through 6/30/15.

D. REAPPOINTMENTS

Allgeier, Kelly R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 1/1/15 through 6/30/15.

Amato, Mary C., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Anderson, Charlotte R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 1/1/15 through 6/30/15.

Bagby, Glen S., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Baize, Tim, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Beasley, Traci A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/12 through 6/30/16.

Bennett, Stephanie L., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/16.

Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/15 through 6/30/15.

Blank, Christine S., College of Arts and Sciences, Instructor (part-time), Dean's Office, 1/1/15 through 6/30/15.

Bonner, Simon J., College of Arts and Sciences, Assistant Professor, Statistics, 1/1/15 through 12/31/16.

Bordas, Meredith, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/14 through 6/30/14.

Boss, Renee J., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Botero Laverde, Isabel C., College of Business and Economics, Instructor (part-time), Management, 1/16/15 through 5/15/15.

Bowersox, John R., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 1/1/15 through 6/30/16.

Brady, Christy F., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/15 through 6/30/15.

Bryan, Ruth E., Libraries, Librarian III, 7/1/15 through 6/30/16.

Carter, Emilie, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Cetrulo, Donald P., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Chapman, Alexander L., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 1/1/15 through 6/30/15.

Clepper, Marta L., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 1/1/15 through 6/30/15.

Crist, Randall W., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/16.

Culp-Roche, Amanda D., College of Nursing, Instructor (part-time), 1/1/15 through 6/30/15.

Davis, Rebecca J., College of Business and Economics, Lecturer, Management, 7/1/14 through 6/30/16.

Farris, Annie, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Fuchs, Beth, Libraries, Librarian III, 7/1/15 through 6/30/16.

Gibbons, Judith A., College of Communication and Information, Instructor (part-time), Library and Information Science, 1/1/15 through 6/30/15.

Greb, Stephen F., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 1/1/15 through 6/30/16.

Greenwell, Karen J., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/15 through 6/30/15.

Groth, Jeanette, College of Education, Lecturer, Curriculum and Instruction, 7/1/14 through 6/30/16.

Gu, Chen, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/15 through 6/30/15.

Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 1/16/15 through 5/15/15.

Hamblin, Pierce W., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Hays, John W., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Hickman, John B., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 1/1/15 through 6/30/16.

Ho, Adrian, Libraries, Librarian III, 7/1/15 through 6/30/16.

Holbein, Gordon, College of Business and Economics, Senior Lecturer, Management, 7/1/14 through 6/30/17.

Horikawa, Yoko, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 1/1/15 through 6/30/15.

Johnson, Miles, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 1/1/15 through 6/30/15.

Katen, Kenneth P., College of Engineering, Instructor (part-time), Mining Engineering, 1/1/15 through 5/15/15.

Kim, Doo Oak, Graduate School, Lecturer, Martin School of Public Policy and Administration, 7/1/14 through 6/30/16.

Kirn, John, College of Business and Economics, Instructor (part-time), Management, 1/16/15 through 5/15/15.

Larson, Raymond W., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Laub, Daniel, College of Business and Economics, Instructor (part-time), Economics, 1/16/15 through 5/15/15.

Leedham, Cynthia, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/15 through 6/30/15.

Lewis, Thomas, College of Business and Economics, Senior Lecturer, Marketing and Supply Chain, 7/1/14 through 6/30/17.

Loeffler, Diane N., College of Social Work, Senior Lecturer, 7/1/14 through 6/30/17.

Massey, Delano R., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/14 through 6/30/15.

McGarvey, John T., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

McIntosh, Tera, College of Pharmacy, Clinical Assistant Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

McKee, Minnie C., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

McSwain, Stephen B., College of Communication and Information, Instructor (part-time), Communication, 1/1/15 through 5/31/15.

Meade, Cynthia J., College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Miller, Cynthia J., College of Business and Economics, Lecturer, Accountancy, 7/1/14 through 6/30/16.

Mitchell, Jonah, College of Business and Economics, Instructor (part-time), Finance and Quantitative Methods, 7/1/14 through 5/15/15.

Mock, Robert C., College of Communication and Information, Instructor (part-time), Communication, 1/1/15 through 6/30/15, and Instructor (part-time), Dean's Office, College of Arts and Sciences, 7/1/14 through 6/30/15.

Napier, Robyn, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Nash, Christi, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/15 through 6/30/15.

Owen, Brenda W., College of Agriculture, Food and Environment, Instructor (part-time), Family Sciences, 1/1/15 through 6/30/15.

Parris, Thomas M., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 1/1/15 through 6/30/16.

Payne, Gary, College of Business and Economics, Instructor (part-time), Management, 8/16/14 through 12/31/14.

Payne, Gary, College of Business and Economics, Instructor (part-time), Management, 1/16/15 through 6/30/15.

Poe, Angela P., College of Agriculture, Food and Environment, Instructor (part-time), Community and Leadership Development, 1/14/15 through 5/8/15.

Preston, Damon L., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Probst, Denise, College of Education, Instructor (temporary), Curriculum and Instruction, 7/1/14 through 6/30/15.

Routt, Thalethia B., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Rutledge, Thomas E., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Seales, Pamela, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/14 through 6/30/15.

Shearer, Boyd L., College of Arts and Sciences, Instructor (part-time), Geography, 1/1/15 through 6/30/15.

Siebenthaler, Jennifer W., College of Business and Economics, Senior Lecturer, Accountancy, 7/1/14 through 6/30/17.

Swinford, Bill, College of Arts and Sciences, Instructor (part-time), Political Science, 1/1/15 through 6/30/15.

Thompson, Jason R., College of Communication and Information, Instructor (part-time), Communication, 1/1/15 through 5/31/15.

Thompson, Jonathan R., College of Arts and Sciences, Instructor (part-time), Mathematics, 1/1/15 through 6/30/15.

Thro, William E., College of Law, Instructor (part-time), 1/1/15 through 5/31/15.

Tolle, Misty, College of Fine Arts, Instructor (part-time), 1/1/15 through 6/30/15.

Trent, Cynthia, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Ward, George D., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 1/1/15 through 6/30/15.

Wilson, Melissa J., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Zannoun, Mohammad, College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/15 through 6/30/15.

Zonio, Henry J., College of Arts and Sciences, Instructor (part-time), Sociology, 1/1/15 through 6/30/15.

E. CHANGES

Benassi, Matteo, College of Arts and Sciences, from Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, to Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 5/31/15.

Elliott, Rachel A., College of Arts and Sciences, from Instructor (part-time), Writing, Rhetoric and Digital Studies, to Lecturer, Writing, Rhetoric and Digital Studies, 1/1/15 through 5/15/15.

Emecen Huja, Pinar, College of Dentistry, from Clinical Assistant Professor, Oral Health Practice, to Assistant Professor*, Oral Health Practice, 11/1/14 through 6/30/15.

Feld, Hartley C., College of Nursing, from Instructor (part-time), to Lecturer, 1/1/15 through 6/30/15.

Guiliani, Ashley, from UKHC, Nurse Clinical, Critical Care Services, to College of Medicine, Advanced Practice Provider I, Neurosurgery, effective 12/28/14.

Hoover, Anna G., College of Public Health, from Assistant Research Professor, Health Management and Policy, to Assistant Research Professor, Preventive Medicine and Environmental Health, 1/1/15 through 6/30/15.

Howard, H. F., College of Dentistry, from Instructor (part-time), Oral Health Science, to Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Langley, Tamra M., from UKHC, Nurse Clinical, Critical Care Services, to College of Medicine, Advanced Practice Provider III, Anesthesiology, effective 11/30/14.

- Liang, Ying, College of Medicine, from Assistant Research Professor, Internal Medicine, to Assistant Professor, Toxicology and Cancer Biology, 11/1/14 through 6/30/15.
- Marinelli, James M., College of Arts and Sciences, from Instructor (part-time), Writing, Rhetoric and Digital Studies, to Instructor (temporary), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.
- Monroe, Sara N., from UKHC, Nurse Clinical, Children's Hospital, to College of Medicine, Advanced Practice Provider II, Pediatrics, effective 11/16/14.
- Ray, Deborah S., College of Dentistry, from Assistant Professor*, Oral Health Practice, to Clinical Assistant Professor, Oral Health Practice, 1/1/15 through 6/30/15.
- Rice, Martha, College of Dentistry, from Assistant Professor (part-time), Oral Health Practice, to Assistant Professor (part-time), Oral Health Science, 8/4/14 through 6/30/15.
- Rugg, Sarah S., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 1/5/15 through 6/30/15.
- Sandlin, Holly, Enrollment Management, from Student Affairs Officer III, Admissions and Registrar, to Financial Ombudsman Director Assistant, Student Account Services, effective 11/26/14.
- Shearer, Boyd L., College of Agriculture, Food and Environment, from Instructor (part-time), Landscape Architecture, to Instructor (part-time), Geography, College of Arts and Sciences, 1/1/15 through 6/30/15.
- Taylor, Robert C., College of Dentistry, from Clinical Assistant Professor, Oral Health Practice, to Assistant Professor*, Oral Health Practice, 1/1/15 through 6/30/15.
- Witt, Cheryl D., College of Nursing, from Instructor (part-time), to Lecturer, 1/1/15 through 6/30/15.

F. TERMINAL REAPPOINTMENTS

- Weatherly, David, College of Engineering, Assistant Research Professor, Mechanical Engineering, 1/1/15 through 1/25/15.

G. LEAVES OF ABSENCE

- Bertsch, Paul M., College of Agriculture, Food and Environment, Professor (with tenure), Plant and Soil Sciences, Leave without pay, 5/1/15 through 4/30/16.
- Cao, Gang, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Gardner, Susan, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Kaul, Ribhu, College of Arts and Sciences, Associate Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Korsch, Wolfgang, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.

Kovash, Michael, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/15 through 12/31/15.

Shlosman, Isaac, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at full salary, 7/1/15 through 12/31/15.

Strakovsky, Dmitry, College of Fine Arts, Associate Professor (with tenure), Art and Visual Studies, Leave without pay, 7/1/15 through 6/30/16.

Troedsson, Mats H., College of Agriculture, Food and Environment, Professor (with tenure), Veterinary Science, Leave without pay, 3/1/15 through 2/28/16.

Voogt, Shannon J., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, Leave without pay, 1/30/15 through 10/30/15.

Watson, Mark, College of Arts and Sciences, Associate Professor (with tenure), Chemistry, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.

H. RESIGNATIONS

Brouwer, Emily S., College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, effective 12/17/14.

Fornwalt, Brandon K., College of Medicine, Assistant Professor, Pediatrics, and joint appointments as Assistant Professor, Internal Medicine and Assistant Professor, Physiology and Assistant Professor, Biomedical Engineering, College of Engineering, and Assistant Professor, Electrical and Computer Engineering, College of Engineering, effective 12/31/14.

Glaser, Paul E., College of Medicine, Associate Professor (with tenure), Psychiatry, and joint appointments as Associate Professor (without tenure), Anatomy and Neurobiology, and Associate Professor (without tenure), Pediatrics, effective 2/28/15.

Hoopes, Charles W., College of Medicine, Associate Professor* (without tenure), Surgery, effective 1/31/15.

Isaacs, Davida H., College of Arts and Sciences, Lecturer, Political Science, effective 12/31/14.

Lee, Woon, College of Pharmacy, Associate Professor (with tenure), Pharmaceutical Sciences, effective 8/29/14.

Mimms, William R., College of Medicine, Clinical Assistant Professor, Otolaryngology-Head and Neck Surgery, to rescind resignation effective 11/30/14.

Pursley, David C., College of Medicine, Clinical Assistant Professor, Neurology, to rescind resignation effective 12/31/14.

Ray, Asit K., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, effective 11/11/14.

Satpathy, Bighnesh, College of Medicine, Clinical Assistant Professor, Radiology, effective 6/30/15.

Sorial, Ehab S., College of Medicine, Clinical Associate Professor, Surgery, effective 2/28/15.

Suever, Jonathan, College of Medicine, Assistant Research Professor, Pediatrics, effective 12/31/14.

Torres Ramirez, Stefanie J., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 3/22/15.

Tracy, James W., College of Medicine, Professor (with tenure), Molecular and Cellular Biochemistry, effective 3/31/15.

Trane, R. Nicholas, College of Medicine, Clinical Assistant Professor, Radiology, effective 1/28/15.

Yanagida, Roh, College of Medicine, Clinical Assistant Professor, Surgery, effective 1/24/15.

Yao, Wanying, College of Agriculture, Food and Environment, Assistant Research Professor, Biosystems and Agricultural Engineering, effective 12/22/14.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Edelstein, Mordie, Chief Revenue Officer/UKHC, EVPHA Revenue Management, effective 12/01/2014

Johnson, Eric, Pharmacist/UKHC, Pharmacy Services, effective 11/02/2014

Patel, Vineet, Clinical Application Analyst Pharm/UKHC, effective 11/10/2014

B. CHANGES

Allison, Gaylee, from Clinical Administrator IV/UKHC, Internal Medicine to Associate CFO/UKHC, EVPHA Finance, effective 12/28/2014

Gabbard, Byron, from Controller/UKHC, Fiscal Planning and Analysis/Accounting to Associate CFO/UKHC, EVPHA Finance, effective 12/28/2014

Lynn, Jeffrey, from Citrix Engineer III/UKHC, EVPHA Information Technology to Infrastructure Services Manager/UKHC, EVPHA Information Technology, effective 12/28/2014

Nicholls, Benjamin, from Application and Technology Architect/UKHC, EVPHA Information Technology to Business Intelligence Director/UKHC, EVPHA Information Technology, effective 12/14/2014

Williams, Whitney, from Pharmacist/UKHC, Pharmacy Services to Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 12/17/2014

Willoughby, Vincent, from Infrastructure Services Manager/UKHC, EVPHA Information Technology to Strategy Development and Deploy Analyst/UKHC, EVPHA Information Technology, effective 12/28/2014

C. RETIREMENTS

Burton, Sandra D., Staff Support Associate II, after 29 years and 6 months of consecutive service under AR 3:1 Section VIII.A., effective 01/09/2015

Cowan, Felipa L., Nursing Care Technician, after 21 years and 11 months of consecutive service under AR 3:1 Section VIII.A., effective 01/02/2015

Drosick, Patricia E., Patient Accounts Clerk, after 29 years and 3 months of consecutive service under AR 3:1 Section VIII.A., effective 02/04/2015

Fain, Michael, Operating Room Assistant, after 25 years and 0 months of consecutive service under AR 3:1 Section VIII.A., effective 11/03/2014

Martin, Ella C., Customer Access Assistant, after 21 years and 10 months of consecutive service under AR 3:1 Section VIII.A., effective 01/23/2015

Robbins, Karen S., Medical Technologist/Certified, after 21 years and 10 months of consecutive service under AR 3:1 Section VIII.A., effective 01/02/2015

Ryan, Barbara J., Nurse Clinical, after 20 years and 0 months of consecutive service under AR 3:1 Section VIII.A., effective 11/01/2014

Withers, Darlene M., Patient Clerical Assistant, after 26 years and 8 months of consecutive service under AR 3:1 Section VIII.A., effective 01/05/2015

Young, Judy A., Cytotechnologist, after 9 years and 8 months of consecutive service under AR 3:1 Section VIII.A., effective 01/09/2015

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Barnes, Jennifer, Patient Care Facilitator/UKHC, Continuum of Care Services, effective 01/11/2015

B. CHANGES

Morris, Theodore, from Virtualization Engineer IV/UKHC, EVPHA Information Technology to Virtualization Engineer IV/UKHC, EVPHA Information Technology, effective 12/28/2014

PR 3

Office of the President
March 16, 2015

Members, Board of Trustees:

APPOINTMENT OF THE PROVOST

Recommendation: that the Board of Trustees approve the appointment of Dr. Timothy S. Tracy as Provost, effective February 23, 2015.

Background: In December 2014, after Dr. Christine M. Riordan's election as the next President of Adelphi University was announced, President Capilouto appointed a search committee to conduct an internal search to identify qualified persons for the position of Provost. Two finalists were interviewed on campus by faculty, staff and students.

Dr. Tracy received his bachelor's degree in pharmacy from Ohio Northern University, his PhD in clinical pharmacy from Purdue University and completed a postdoctoral fellowship in clinical pharmacology at Indiana University. Prior to entering academia, he practiced in community and hospital settings throughout Ohio. He launched his academic career at West Virginia University, where he advanced through the ranks to Full Professor. During his time at West Virginia University, he won six college-wide teaching awards and one university-wide teaching award. Dr. Tracy then joined the University of Minnesota where he served as Head of the Department of Experimental and Clinical Pharmacology, Director of the Center for Forecasting Drug Response and Director of Clinical and Translational Research Services. In August 2010, he joined the University of Kentucky as Dean of the College of Pharmacy and from May 2012 until August 2013, also as the Interim Provost.

His research and teaching interests deal with pharmacogenetics and their effect on drug metabolism, as well as the larger field of clinical pharmacology. Dr. Tracy has published almost 100 scientific manuscripts, more than 15 book chapters and edited two books and has served as a principal and co-investigator on numerous National Institutes of Health funded grants.

Action taken: Approved Disapproved Other _____

PR 4

Office of the President
March 16, 2015

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF HEALTH SCIENCES

Recommendation: that Dr. Scott M. Lephart be appointed Dean of the College of Health Sciences, effective February 1, 2015.

Background: Dr. Lephart is currently Distinguished Professor and Chair of the Department of Sports Medicine and Nutrition and founding director of the Neuromuscular Research Laboratory at the University of Pittsburgh. He has been successful in building a flourishing research enterprise with extraordinary growth in academic programs, personnel and facilities.

Dr. Lephart earned his undergraduate degree at Marietta College and both his master's and doctoral degrees in Sports Medicine at the University of Virginia (Charlottesville).

Having served on the University of Pittsburgh faculty for 27 years, Dr. Lephart is widely published and recognized as a leader in sports medicine, particularly with regard to neuromuscular and biomechanical analysis of human movement associated with musculoskeletal injury, prevention, surgery and rehabilitation.

Dr. Lephart has secured multiple research grants as the principal investigator leading the Department of Defense (DoD) Human Performance Research initiative. His DoD research grants focus on establishing Warrior Human Performance Research Center installations to study injuries and performance of elite warriors.

His research has resulted in 125 published papers and more than 250 national and international presentations.

Action taken: Approved Disapproved Other _____

PR 5

Office of the President
March 16, 2015

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that the Board of Trustees approve awarding an Honorary Doctor of Arts to Leslie Coleman (Les) McCann, an Honorary Doctor of Humanities to Lillian Henken Press and a Honorary Doctor of Letters to O. Leonard Press, as approved and recommended by the University Faculty.

Background: Pursuant to the Conditions of Merit for Honorary Degrees, the University Joint Committee on Honorary Degrees has recommended to the elected Faculty Senators that the specified honorary degree be awarded to Leslie Coleman (Les) McCann, Lillian Henken Press and O. Leonard Press. The elected Faculty Senators have approved the recommendation.

Biographical sketches of the recipients are attached.

Action taken: Approved Disapproved Other _____

Leslie Coleman (Les) McCann

Honorary Doctor of Arts

Leslie Coleman (Les) McCann is widely known as the 'Father of Soul-Jazz' and is a member of the Kentucky Music Hall of Fame (2008). A musical mentor to the likes of Roberta Flack, Lou Rawls and Nancy Wilson, McCann has released more than 50 albums and has toured the globe as a celebrated jazz pianist. He has played alongside such giants of music as Miles Davis and Eddie Harris, and when McCann was just 21, he appeared on *The Ed Sullivan Show*, at the time one of the higher-rated television programs in America.

McCann's musical style is widely imitated and he is responsible for producing some of the most sampled music in the canon of American jazz.

In addition to his musical prowess, McCann has exhibited as a talented painter and photographer. McCann's positive impact on his native Lexington is undeniable. The Les McCann School for the Arts, located in Lexington's East End where McCann was born and raised, celebrates and develops artistic excellence. The school offers instruction and coaching from experienced professionals in a multitude of disciplines -- from music and voice to photography and videography; from painting and creative writing to fashion design and dance.

For his life of artistic achievement and dedication to the development of future generations of Kentuckians, the University of Kentucky recommends that the Board of Trustees approve the awarding of the degree of Honorary Doctor of Arts to Les McCann.

Lillian Henken Press

Honorary Doctor of Humanities

Lillian Henken Press is a New England native who has lived in Lexington with her husband, Leonard Press, since 1952. A newspaper reporter and public relations executive before moving to Kentucky, Lillian wasted little time in making her mark here in the Commonwealth. She directed a survey of mental health resources and needs whose findings were pivotal in the development of Kentucky's statewide mental health services. Press then organized the first Regional Board and the first Comprehensive Care Centers that became the prototypes for Kentucky's state system of regional mental health centers. These centers were proclaimed as "the best in the nation" by the National Institute of Mental Health.

An advocate for constantly improving the educational opportunities available to Kentuckians, Lillian helped initiate the Governor's Scholars Program, which has impacted thousands of Kentucky students. She served as its executive director for the first 10 years. Press organized 28 state Governor's Schools into the National Conference of Governor's Schools and served as its president until her retirement.

Not one to rest too long, Lillian formed an independent Women's Network to promote democratic values and greater involvement of citizens.

For her life of service to children and adults, and for her dedication to the betterment of the Commonwealth, the University of Kentucky recommends the Board of Trustees approve the awarding of the degree of Honorary Doctor of Humanities to Lillian Press.

O. Leonard Press

Honorary Doctor of Letters

It is hard to imagine the Commonwealth of Kentucky without Kentucky Educational Television (KET). Thanks to the vision, imagination and dedication of O. Leonard Press, those of us who live in this state do not have to. After teaching at Emerson College and Boston University, Len Press was invited to join the faculty of the University of Kentucky in 1952. He served as a professor in the Department of Radio, Television and Film for 11 years, during which time he was selected as chair. In addition to guiding students in the classroom, he played an important role in the further development of the university's radio station, then known as WBKY, now WUKY.

Press tirelessly worked toward the realization of a dream he had for the Commonwealth and all of its citizens, that of a statewide television network that would provide quality programming and enhanced educational outreach. Finally, in the late 1960s, Len Press became the founding director of Kentucky Educational Television. KET quickly earned recognition as a national model and Press became one of the founders of the National Education Telecommunications Association and the Organization of State Broadcasting Executives.

Len oversaw the creation of the Kentucky Higher Education Telecommunications Consortium which enabled students across the state, to take college courses for credit via KET. He followed that by helping to found Star Schools, which provided wider access to high school courses in math, science, and foreign languages. The Graduate Equivalency Diploma, or "GED (G-E-D) on TV," was another Press' innovation.

Beyond education, Press gave increased visibility to the work of Kentucky musicians, artists, playwrights and actors, enabling these performances to be seen around the Commonwealth and often nationally.

For his visionary leadership and life of accomplishment, the University of Kentucky recommends the Board of Trustees approve the awarding of the degree of Honorary Doctor of Letters to O. Leonard Press.

PR 6

Office of the President
March 16, 2015

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATIONS: NONDISCRIMINATION

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revisions to Governing Regulation (GR) X and GR XIV, relating to the University's statement on nondiscrimination. The revisions are intended to update the nondiscrimination statement to conform to current law by adding gender identity, gender expression, pregnancy, marital status, genetic information and whether the person is a smoker or nonsmoker.

Background: This revision will demonstrate the University's commitment to nondiscrimination and ensure compliance with the federal and state constitutions, applicable federal and state laws, and recent guidance from the Department of Education regarding Title IX. The revisions also will help the University attract more bright, progressive faculty, staff, and students looking for an inclusive community. The ability to thrive in a safe and inclusive environment is a cornerstone of student, staff and faculty success and retention. The change will put UK in line with many of its benchmark institutions and other Southeastern Conference universities.

Contingent on Board approval, other existing University regulations and policies related to nondiscrimination also shall be amended to reflect this change.

This revision is supported by the General Counsel, Associate Vice President for Institutional Equity, Vice President for Diversity, Vice President for Human Resources and the Lesbian/Gay/Bisexual/Transgender/Queer (LGBTQ) taskforce. In accordance with Governing Regulation XIII, the University Senate, Staff Senate and Student Government Association have reviewed and support the proposed revisions.

Relevant sections of the GRs showing the proposed revisions are attached.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part X

Responsible Office: Board of Trustees

Date Effective: [DRAFT 6/11/2013](#)

Supersedes Version: [6/11/2013](#)
[6/14/2011](#)

Governing Regulation, Part X Regulations Affecting Employment

Major Topics

- A. [General](#)
 - 1. [Merit as the Basis for Appointment](#)
 - 2. [Employment of Relatives \(Nepotism\)](#)
 - 3. [Intellectual Property](#)
 - 4. [Representation and Attendance at Meetings](#)
 - 5. [Retirement](#)
 - 6. [Social Security](#)
 - 7. [Group Insurance](#)
 - 8. [Workers' Compensation](#)
 - 9. [Employee Disability Program](#)
- B. [Employment of Faculty](#)
 - 1. [Appointment of Faculty](#)
 - 2. [Conditions of Employment](#)
 - 3. [Miscellaneous](#)
- C. [Employment of Staff Employees](#)
- D. [Opportunity for Hearing](#)
- E. [Matters Affecting Faculty and Staff](#)

A. General

- 1. [Merit as the Basis for Appointment](#)

[The University of Kentucky complies with the federal and state constitutions, and all applicable federal and state laws, regarding nondiscrimination. The University provides equal opportunities for qualified persons in all aspects of University employment. All appointments shall be made strictly](#)

on the basis of merit. An applicant for a position shall not be discriminated against ~~because of on the basis of race, color, national origin, ethnic origin, religion, creed, age, physical or mental disability, veteran status, uniformed service, political belief, sex, sexual orientation, gender identity, gender expression, pregnancy, marital status, genetic information, social or economic status, or whether the person is a smoker or nonsmoker, as long as the person complies with University policy concerning smoking. (see also Governing Regulation XIV.B.1, Nondiscrimination Policy)~~sex, race, ethnic origin, national origin, sexual orientation, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental disability in regard to any position for which the applicant for employment is qualified.

2. Employment of Relatives (Nepotism)

- a. Nepotism is generally inconsistent with the University's longstanding policy of making employment decisions based solely on unit needs and individual qualifications, skills, ability, and performance. Nepotism is generally prohibited within the University community. Nepotism means actions by a member of the University community that directly influence, benefit, or detriment the University employment (e.g., hiring, promotion, supervision, evaluation, and determination of salary) of any other University member who is a relative. Relative means a person's father, mother, brother, sister, husband, wife, son, daughter, aunt, uncle, son-in-law, daughter-in-law, and step-relatives in the same relationships. Employment includes regular and temporary full- and part-time employment, student employment and work-study, and graduate and other assistant programs.
- b. No member of the Board of Trustees, except those elected to the Board of Trustees as faculty or staff representatives, shall be employed by the University. (KRS 164.131(7)) No relative of a member of the Board of Trustees shall be employed by the University. (KRS 164.225)
- c. No relative of the President or any person reporting directly to the President shall be employed in a position in that person's administrative area, unless approval is granted by the Board of Trustees. Approval by the Board shall only be granted upon a determination that:
 - (1) The employment is in the best interest of the University;
 - (2) The Board has been informed of the selection process and all University regulations and policies regarding hiring and employment qualifications have been followed; and
 - (3) The Board has reviewed and approved a written management plan, including an agreement concerning supervisory and other management arrangements. The management plan shall ensure that employment decisions are made impartially and that neither employee is disadvantaged. The management plan shall ensure that the possibility of nepotism and the appearance of impropriety are eliminated and shall be consistent with *Human Resources Policy and Procedures 19.0, Nepotism*.
- d. In general, no relative of any employee of the University shall be employed in any position in the University over which the related employee exercises supervisory or line authority. In some cases, however, when it is in the best interest of the University, potential conflicts can be managed through prompt disclosure of the relationship and approval by the appropriate senior administrator. Senior administrator means the person reporting directly to the President and having supervisory authority for the area where the conflict or potential conflict exists. Approval by the Senior Administrator shall only be granted upon a determination that:
 - (1) The employment is in the best interest of the University;
 - (2) The Senior Administrator has been informed of the selection process and all University

regulations and policies regarding hiring and employment qualifications have been followed; and

(3) The Senior Administrator has reviewed and approved a written management plan, including an agreement concerning supervisory and other management arrangements. The management plan shall ensure that employment decisions are made impartially and that neither employee is disadvantaged. The management plan shall ensure that the possibility of nepotism and the appearance of impropriety are eliminated and shall be consistent with *Human Resources Policy and Procedures 19.0, Nepotism*.

e. The employment of relatives of University employees, as described in this paragraph, whose relationship was not a violation of this section of these *Governing Regulations* prior to its amendment on April 10, 1984, does not constitute a violation of the amended policy provided the relatives are regular employees of the University and remain in the University employment positions which they held on April 10, 1984. However, the transfer of any such employees described in this paragraph (whose employment relationship was not a violation of policy prior to its amendment on April 10, 1984) to any other regular University position shall require approval of the President.

3. Intellectual Property

As a general policy, all intellectual property conceived, first reduced to practice, written, or otherwise produced by faculty members, staff employees, or students of the University using University funds, facilities, or other resources shall be owned and controlled by the University. Any faculty member, staff employee, or student who produces such intellectual property using University funds, facilities, or other resources shall assign personal rights to the property to the University, or its designate, but shall have an interest in and a right to share in any financial returns from the commercialization of the property. Notwithstanding, it is a specific policy of the University that the traditional products of scholarly activity, such as journal articles, textbooks, reviews, monographs, works of art, sculpture, music, and course materials, shall be the unrestricted property of the author. The University shall exert no ownership claim of such works. A Standing Committee on Intellectual Property appointed by the President shall administer the policy and oversee implementation of the procedures, both as detailed in the *Administrative Regulations* on intellectual property (See AR 7:6 and AR 7:7).

4. Representation and Attendance at Meetings

In order that the University may be properly represented at the various gatherings or functions to which it is invited from time to time to participate, the President selects faculty members or staff employees to represent the institution.

In addition, employees are urged to become members and to participate in meetings of international, national, regional, and state and local organizations and associations related to their University employment.

Funds may be available to defray the expenses in whole or in part of those who are authorized to attend meetings by the President or other appropriate administrative officers.

5. Retirement

The University of Kentucky Retirement Plan represents a consolidation of the original Retirement Resolution (April 7, 1964) and its numerous subsequent amendments into a unified statement of University policy and guidelines on retirement of employees. The University of Kentucky Retirement Plan, which has been adopted by the Board of Trustees and may be amended only by that body, is presented in its entirety in the *Administrative Regulations* (See AR 3:1).

6. Social Security

All employees except those in Civil Service are covered by the Old-Age and Survivors Insurance of the National Social Security Program and are thus eligible for benefits in accordance with the governing legislation. Each eligible employee of the University must, if the employee has not previously done so, secure a Social Security card and supply to the Office of the Treasurer a completed Treasury Form W-4 with the employee's social security number and other information necessary for withholding appropriate contributions.

7. Group Insurance

Regular full-time faculty members and staff employees who are employed by the University are insured under the Basic Life Insurance Program. This insurance may be increased optionally in accordance with and up to the maximum amount contained in the University's contract with the insurer. The premium for the basic insurance is paid by the University, whereas that for the optional increase in insurance is paid by the employee.

In addition, all regular full-time faculty members and staff employees are encouraged to participate in the University's basic health and other insurance programs that also may include coverage for dependents.

8. Workers' Compensation

A University employee sustaining an on-the-job injury to developing a job-related illness is covered by provisions of the Kentucky Workers' Compensation Act.

9. Employee Disability Program

Regular full-time faculty members and staff employees, other than those covered by Civil Service or the Federal Employee Retirement System, are covered by the Long Term Disability Program. Coverage commences after the completion of one (1) year of employment unless the eligible employee, at the time of hire, satisfies the exception condition as outlined in the plan document in which case there is immediate coverage. This program provides income protection through a Long Term Disability Plan. Supplemental Long Term Disability coverage may be offered at the employee's expense.

B. Employment of Faculty

1. Appointment of Faculty

a. Types of Faculty Appointments

Faculty appointments are with educational units and shall be of three basic types: (1) non-tenured appointments; (2) tenured appointments; and (3) post-retirement appointments.

(1) There are two types of non-tenured appointments.

(a) One type of non-tenured appointment is that in which a faculty member is ineligible for tenure as a consequence of having been appointed (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary title series or (2) on a part-time or temporary basis in a title series. An appointment on a temporary basis involves an individual who has assigned duties and responsibilities that shall be completed within one (1) year or less.

(b) A second type of non-tenured appointment is that in which a faculty member is appointed

on a full-time, year-to-year basis in the regular, special, extension, or librarian title series. In such an appointment, the faculty member does not have tenure but may be considered for tenure if recommended for such by the appropriate University administrators.

- (2) A tenured appointment is one in which a faculty member is on full-time continuous appointment.

The term "joint appointment" shall apply in any case in which an individual holds two (2) or more intra-University faculty appointments. In such an instance, one of the appointments shall be designated as the primary appointment by the dean of the college if the individual's appointments are associated with educational units in the same college or by the Provost if the appointments are with educational units in different colleges. The constituent appointments relating to a joint appointment may be processed simultaneously or at different times; however, each appointment, reappointment or promotion, shall be processed independently and considered on its own merits. An individual's academic rank, though usually the same, may differ in the constituent appointments. The possibility of tenure applies only to the primary appointment. In case of termination of the non-primary appointment(s) leaving only the primary appointment for a full-time faculty member, the individual's assignment associated with the primary appointment shall become full-time. When an individual under consideration for appointment to an administrative position is also to be considered for a faculty appointment, recommendations of committees on the two respective appoints shall be developed independently on their respective merits, in accordance with the respective established appointment procedures.

- (3) Post-retirement appointments are of two (2) types.

- (a) One type is that in which retired faculty members may be appointed to perform duties for which fee schedules have been established and approved by the Provost.
- (b) A second type of post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.

More detailed information on the various types of appointments is provided in the *Administrative Regulations* (See AR 2:1 and AR 3:1).

b. Probationary Periods

Probationary periods are applicable to non-tenured appointments of faculty members on a full-time year-to-year basis in the regular, special title, extension, or librarian title series. Such non-tenured appointments may be for one (1) year or for other stated periods, subject to renewal. The total non-tenure period, however, shall not exceed seven (7) years unless one of the following provisions applies: Human Resources Policy and Procedure 88.0 (Family Medical Leave); GR X.B.3(b) (Leave for Duration of an Election or Term of Office); GR X.B.2(d)(v) (Educational Leave); GR X.B.1(c) (Automatic Delay of Probationary Periods); or for other leaves of absence where the University, in granting the leave, and the individual, in accepting it, agree that time spent on the leave of absence shall not count as probationary period service. An individual initially appointed to the rank of full professor may be given non-tenure status for a period not to exceed one (1) year. An individual shall not remain at the rank of instructor in the University for more than three (3) years. If after that period, promotion to a higher rank cannot be justified, the individual's appointment with the University shall not be renewed.

Following appropriate probationary periods not exceeding in duration those described above, all persons of associate professor (or librarian II) or higher rank shall be given tenure or their appointments shall not be renewed; all persons of assistant professor rank (or librarian III) shall be promoted to associate professor (or librarian II) with tenure or their appointments shall not be renewed. Established appointment, promotion, and review procedures shall be followed in making these decisions.

Probationary periods (or maximum non-tenure periods) are not applicable in cases where faculty members are appointed (1) in the lecturer, research, clinical, adjunct, visiting, or voluntary series of academic ranks and titles, or (2) on a part-time or temporary basis. In all such appointments, faculty members are ineligible for tenure.

c. Delay of Probationary Periods

A probationary regular, special, extension, or librarian title series faculty member who becomes the parent of a child or children by birth, adoption, or guardianship, shall automatically be granted a one-year delay of the probationary period by the dean of the respective college. A faculty member who qualifies for this automatic delay of the probationary period shall submit to his or her department chair a signed and completed Faculty Tenure Delay Form (TDF) and supporting documentation, if required. A faculty member may waive the automatic delay only by completing the waiver section of the TDF. Upon receipt of the TDF, the chair shall prepare and submit to the dean and the Provost a revised Notice of Academic Appointment reflecting the change in probationary dates, along with the completed TDF and supporting documentation.

In addition, a probationary faculty member who assumes significant responsibilities for the care of a relative (see GR X.A.1) or domestic partner may request consideration of a one-year delay of probationary period based on extenuating circumstances. These extenuating circumstances for a delay related to significant care responsibilities must be clearly beyond those experienced by most probationary faculty. Documentation explaining the extenuating circumstance of the care-giving situation shall accompany the request. This request shall be routed through the normal administrative channels and will be considered for approval by the Provost.

This provision is available to all probationary non-tenured faculty upon their official start date and up until the anniversary of their official start date in the year in which their tenure review is scheduled to occur.

Notifications of all delays shall be made by the faculty member to his or her department chair as soon as possible after the qualifying event or circumstances (i.e., birth, adoption, guardianship, or assumption of significant care responsibilities), but in no case later than six (6) months from the time of the qualifying event or circumstances or no later than the last day of the fifth year of the probationary period; whichever comes first.

The delay shall not be granted more than two (2) times within the probationary period of a faculty member. The faculty member shall be reviewed for tenure under the same academic standards as a candidate who has not extended the probationary period and shall not be penalized or adversely affected by the delay of the probationary period. Further, extension years shall not be counted towards sabbatical. A delay of the probationary period in no way limits the right of the University to terminate a probationary appointment prior to the time of the mandatory review for promotion and tenure, should circumstances warrant such action.

d. Appointment Record

The precise terms and conditions, excepting salary, covering each appointment shall be stated in writing on an official appointment record. Notice of reappointment shall be in the possession of the

appropriate administrative officers and the appointee at least three (3) months before the renewed appointment begins, when possible, or at the earliest date feasible in view of budget considerations. It shall be the responsibility of the Provost to ensure compliance with this regulation.

e. Notification of Non-Renewal of Appointment: Non-Tenured Appointments

Part-time, visiting, or temporary faculty appointments have explicit one (1) year or less terminal dates that terminate at the expiration of the term without notice.

For those employed year-to-year on a fiscal or academic year basis, notification of non-renewal of appointment at the end of the first year of service shall be given not later than March 1 if the appointment expires at the end of that year or three (3) months in advance if the one-year appointment terminates during the academic year. Notification of non-renewal of appointment at the end of the second year of service shall be given no later than December 15 if the appointment expires at the end of the year or six (6) months in advance if the appointment expires during the year. Notification of non-renewal of appointment after more than two (2) years of service shall be given at least twelve (12) months before expiration of appointment. Non-renewal decisions concerning regular, full-time faculty members shall be reported to the Board of Trustees.

Any related appeal(s) to the Provost concerning procedural matters or privilege and/or to the University Senate Advisory Committee on Privilege and Tenure concerning procedural matters, privilege, or allegations of violation of academic freedom must be initiated in writing by the concerned faculty member within sixty (60) days after being notified in writing by the dean about non-renewal of appointment.

f. Termination of Appointment

(1) Reasons for Termination

Except in cases of financial emergency, the termination of a tenured appointment or the dismissal of a person prior to the expiration of a non-tenured appointment shall be, in accordance with KRS 164.230, only for reasons of incompetence, neglect of or refusal to perform duties, or for immoral conduct.

In a case of termination because of a financial emergency, the faculty member may have the issues reviewed by the University Senate Advisory Committee on Privilege and Tenure with the right of appeal to the President and the Board of Trustees for the University faculty member. The faculty member shall be given notice as soon as possible and never less than twelve (12) months notice. The released faculty member's position shall not be filled by a replacement within a period of two (2) years unless the released faculty member has been offered reappointment and given a reasonable time within which to accept or decline it.

(2) Procedure

Dismissal of a faculty member with tenure or of a non-tenured faculty member before the end of a specified term of appointment shall be preceded by discussions between the faculty member and the appropriate administrative officer or officers looking toward a mutual settlement. In the event of failure to agree upon settlement, the Provost shall be responsible for the preparation of a reasonably detailed statement of charges which shall be furnished to the faculty member and the University Senate Advisory Committee on Privilege and Tenure. The committee shall make an informal investigation for the purpose of attempting to affect a resolution and, in the case of failure, shall recommend to the President whether, in its opinion, dismissal proceedings should be undertaken. Its opinion shall not be binding upon the President.

If the President initiates dismissal proceedings, the concerned individual shall have the right to be heard initially by the University Senate Hearing Panel (Privilege and Tenure).

The faculty member shall be informed in writing by the President regarding specific charges at least twenty (20) days prior to the hearing. At least seven (7) days prior to the hearing, the faculty member shall answer the charges in writing. The faculty member may waive the hearing. If the faculty member waives the hearing but denies the charges or asserts that the charges do not support a finding of adequate cause, the Hearing Panel shall evaluate all available evidence and base its recommendation upon the evidence in the record.

The Hearing Panel, in consultation with the President and the faculty member, shall exercise its judgment as to whether the hearing shall be public or private. During the proceedings the faculty member shall be permitted to have an academic advisor and a counsel of personal choice. At the request of either party or the Hearing Panel, a representative of a responsible educational association shall be permitted to attend the proceedings as an observer. A full stenographic record of the hearing or hearings shall be taken and made available to the parties concerned. The burden of proof that adequate cause for dismissal shall rest with the institution and shall be satisfied only by clear and convincing evidence in the record considered as a whole. If the faculty member's competence is in question, the testimony should include that of qualified faculty members from this and/or other institutions of higher education.

Upon the conclusion of the hearing, the Hearing Panel shall report to the President that adequate cause for dismissal has or has not been established by the evidence in the record. It may, in addition, recommend that, although adequate cause for dismissal has been established, an academic penalty less than dismissal would be more appropriate, giving supporting reasons for the recommendation. If the President rejects the report, the President shall provide reasons in writing to the Hearing Panel and the faculty member. If the President accepts a report with a decision adverse to the faculty member, the President shall provide an opportunity for response by the faculty member before transmitting the case to the Board of Trustees.

A decision adverse to the faculty member may be made only after an opportunity has been given for an additional hearing before the Board of Trustees as required by KRS 164.230. The Board of Trustees shall either sustain the recommendations of the Hearing Panel and the President or return the proceedings to the President and the committee with specific objections. The Hearing Panel then shall reconsider, taking into account the stated objections and receiving new evidence if necessary. The Board of Trustees shall make the final decision after a study of the Hearing Panel's reconsideration.

(3) Suspension

Until the final decision on termination of an appointment has been reached, the faculty member shall be suspended, or assigned to other duties in lieu of suspension, only if immediate harm to the faculty member or others is threatened by the faculty member's continuance. Before suspending a faculty member, pending an ultimate determination of the individual's status through the hearing procedure, the President shall consult with the University Senate Advisory Committee on Privilege and Tenure. Salary shall be continued during the period of suspension.

g. Academic Freedom of Non-Tenured Faculty

If faculty members on non-tenured appointments or faculty members on post-retirement appointments allege that decisions not to reappoint them were caused by considerations violating academic freedom, or that they were given less advance notice than required in these *Governing Regulations*, they must present their allegations in writing to the University Senate Advisory

Committee on Privilege and Tenure within sixty (60) days after being notified in writing by their respective deans of the decisions not to reappoint. Allegations so presented shall be given preliminary consideration by the University Senate Advisory Committee on Privilege and Tenure, which shall attempt to settle the matter by informal methods. The allegations shall be accompanied by statements that aggrieved faculty members agree to the presentation, for the consideration of the committee, of such reasons and evidence as the University may allege in support of its decisions. If the difficulty is unresolved at this stage and if the committee so recommends, the procedures set forth in *GR X.B(f)* shall be applied, except that the faculty members making the complaints are responsible for stating the grounds upon which they base their allegations and the burden of proof shall rest upon them. If *prima facie* cases are established via these procedures in favor of the faculty members, it becomes incumbent upon the persons who made the decisions not to reappoint, or who provided insufficient notice, to present evidence in support of those decisions.

h. Resignation

Notice of resignation should be given early enough to reduce serious inconvenience to the University. If faculty members desire to terminate existing appointments or to decline renewals in the absence of notices of non-renewal, they shall give written notice of no less than three (3) months if their rank is instructor or assistant professor, and no less than four (4) months if their rank is higher, before the end of their duties during the academic year (exclusive of a summer session) or thirty (30) days after receiving notification of the terms of their appointments for the coming year, whichever date occurs later. However, they may request in writing a waiver of this requirement in cases of hardship or in situations where they would otherwise be denied substantial professional advancement. Resignations of regular, full-time faculty members shall be reported to the Board of Trustees.

(1) Administrative Personnel

Administrative personnel who hold academic rank are subject to the foregoing regulations in their capacity as faculty members. Those administrative personnel (holding academic rank) who allege that considerations violative of academic freedom significantly contributed to decisions to terminate their appointments to administrative posts or not to reappoint them shall be entitled to the same procedures as those for non-tenured faculty who have alleged violation of academic freedom.

(2) Change of Assignment

When it is in the best interests of the institution, and if the professional status of a faculty member is not seriously jeopardized thereby, a change in the duties assigned to an individual may be made without such a change of assignment being regarded as a violation of the individual's tenure rights.

2. Conditions of Employment

a. Terms of Assignment

Academic assignments are made on academic year (nine-month), ten-month, eleven-month, and twelve-month bases.

b. Vacation Leave

All full-time faculty members on a ten-month, eleven-month, or twelve-month assignment basis shall be entitled to twenty-two (22) working days of vacation leave with pay per assignment period. With prior administrative approval, faculty members may take vacation leave at appropriate times

during the period in which they are eligible to take such leave; however, each faculty member shall be in actual attendance at least until after Commencement and until all reports have been made, and at least three (3) days prior to the first day of registration for the fall semester, unless for special reason leave is approved. Vacation leave shall be taken in the assignment period in which it is earned, or the vacation leave shall be forfeited. Exceptions may be granted in accordance with *Administrative Regulations* (See AR 3:6, Section VI.B).

Additional detailed information on faculty vacation leave is provided in the *Administrative Regulations* (See AR 3:6).

c. Professional Practices

(1) General Policies

During their annual assignment period (whether academic year, ten months, eleven months, or twelve months) faculty members are expected to serve the University full-time. It is expected that they will be interested primarily in the work of their particular educational unit, giving their chief effort to the promotion of its needs.

A faculty member shall, under certain conditions, be permitted to engage, as an expert, in professional employment (consulting or professional practice) outside the University for pay. In general, any outside employment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of instruction, research, and service. Conditions under which such employment may be undertaken are as follows:

- (a) Such employment shall not interfere with the fundamental responsibility of the faculty member to meet regularly assigned duties and inherent obligations to instruction, research, and service, including being regularly available to students and colleagues, which are normally expected of a full-time faculty member and for which the faculty member receives compensation from the University.
- (b) Such employment requires prior approval in writing by the appropriate University official.
- (c) All proposals to undertake outside employment shall be made in writing to the chairs whose recommendations shall be forwarded to the respective deans and thence, unless specified otherwise in the *Administrative Regulations* (See AR 3:9), to the Provost for final action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the employment, and the scale of compensation. These proposals shall become a matter of record by the Provost who shall transmit quarterly to the President full information about those that have received approval and those that have been denied. The President, in turn, shall report periodically to the Board of Trustees.

Whenever a proposal by a faculty member is disapproved by an official of the University, it is the obligation of that official to provide the faculty member, upon request, with an oral statement of the reasons for the decision.

Requests shall be specific. Approvals shall not be granted for blanket authorizations to consult or to engage in professional practice.

- (d) Such employment shall be governed by time limitations stated in the *Administrative Regulations* (See AR 3:9) and the provisions of Ethical Principles and Code of Conduct (See GR I-D).
- (e) Such employment must avoid creating a conflict of interest. Each faculty member shall be

expected to exercise sound judgment in requesting approval of outside employment, keeping in mind with utmost care the matter of conflict of interest.

While it is not possible to anticipate every factual situation which might give rise to a conflict of interest, such a conflict of interest does arise within the meaning of this regulation when a faculty member represents the legal interests of another party against the University or when a faculty member engages in litigation on behalf of another party against the University. Interpretations as to conflicts of interest in particular factual situations are to be made by the President with the proviso that an adverse decision can be appealed to the Executive Committee of the Board of Trustees.

(f) Service Plans (AR 3:14)

Documents detailing the administration Services Plans approved by the Board of Trustees appear as a part of the *Administrative Regulations* 3:14.

d. Leaves of Absence

(1) Sabbatical Leave

The purpose of sabbatical leave is to provide opportunities for study, research, creative effort, improvement of instructional or public service capabilities and methods, and related travel in order that the quality of each recipient's service to the University may be enhanced.

Faculty members who have full-time tenured appointments in the regular, special title, extension, or librarian series with the rank of assistant professor (or librarian III) or higher, are eligible for sabbatical leaves after six (6) years of continuous service in the rank of instructor (or librarian III) or higher at the University, or for sabbatical leaves under a different option after three (3) years of continuous service. All such sabbatical leaves shall be approved by the President or the President's designee.

After six (6) years of continuous eligible service, a faculty member may apply for one (1) year's sabbatical leave (academic year for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary or six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at full salary. After three (3) years of continuous eligible service, an appointee may apply for six (6) months' sabbatical leave (academic semester for appointees on academic year, ten-month, or eleven-month assignments) at one-half salary. Normally "continuous service" is interrupted by a sabbatical leave; that is, no service prior to a sabbatical leave may be credited toward eligibility for future sabbatical leave. However, in the event that it becomes necessary for an individual to postpone a sabbatical leave at the request of and/or for the benefit of the University or one of its educational units, the period of postponement shall be counted as part of the six (6) years of service necessary for the individual to again become eligible for sabbatical leave. The request for and/or agreement that the sabbatical leave be postponed shall be made in writing by the dean, and be approved by the Provost. The request or agreement shall specify the period of postponement and the reason for it. In no case shall cumulative sabbatical leave be granted for a single period longer than one (1) full year at full salary.

Sabbatical leave shall not be used as a means of augmenting personal income. A recipient may not accept gainful employment during a sabbatical leave (for an individual on an academic year assignment basis, this does not include the period of May 16 through August 15; for an individual on a ten-month or eleven-month assignment basis, this does not include the period of vacation and the one (1) or two (2) months outside the assignment period each fiscal year; for an individual on a twelve-month assignment basis, this does not include the one-month vacation period) except as follows:

A fellowship, grant-in-aid, or government-sponsored exchange lectureship may be accepted for the period of the leave if acceptance shall promote the purpose of the leave and is approved by the President or the President's designee.

For those on one-year sabbatical leave, additional salary not to exceed one-half of the regular academic salary may be accepted for (1) work performed during the sabbatical leave on research projects administered by the University with funds from government or private grants or contracts when the terms of the grant or contract authorize the usage of such funds, and when the work to be performed is significantly related to the studies planned for the leave; (2) work on a research grant at another university; (3) research work in a government laboratory; or (4) work as a research professor or associate in another university. Any such arrangements pursuant to this paragraph must be approved by the President or the President's designee.

In neither of the above instances shall a recipient's total income attributable to a sabbatical leave project exceed the individual's regular academic salary. Sabbatical leave shall be granted with the understanding that the recipient, following the sabbatical leave, will continue service at the University for at least one (1) academic year.

(2) Temporary Disability Leave

Temporary Disability Leave (TDL) may be granted to faculty members by the Provost. Eligible faculty members who are totally disabled are entitled to six (6) months' TDL with pay. TDL with pay for more than six (6) months requires approval by the Board of Trustees.

(3) Leaves With Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a faculty member may be granted leave of absence with pay for a period not to exceed thirty (30) days for the purpose of permitting an appointee to attend a professional meeting, serve temporarily with an outside agency, serve in the military forces of the United States, or for other good cause. Such leaves of absence with pay for more than thirty (30) days require approval of the Board of Trustees.

(4) Leaves Without Pay

Upon the recommendation of the appropriate administrative officers and approval of the Provost, a member of the faculty may be given a leave of absence without pay. Ordinarily such leave shall not be granted for a period in excess of one year (12 months). However, such leave may be extended on application and approval by the appropriate administrative officers. The best interests of the University shall be a major consideration in granting an extension of any such leave. Leaves of absence without pay are not normally credited toward eligibility for sabbatical leave. However, exception may be made when the leave enhances the value of the individual to the University, e.g., a leave to accept a fellowship or a grant, service for professional organizations, etc. In no case shall the leave of absence without pay be considered as an interruption of continuous service.

(5) Educational Leave

To pursue an advanced degree at the University of Kentucky in accordance with these *Governing Regulations*, Part X.C.6, faculty members may request half-time or more leave, with proportional reduction in salary. If such educational leave for faculty development is recommended by the dean and approved by the Provost, the faculty members shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Although a period of educational leave shall not be credited toward eligibility for sabbatical leave, it shall not be considered as an interruption of continuous service. Also, for non-tenured

faculty members, such a period of educational leave shall not be counted as probationary period service.

(6) Scholarly Fellowship Leave

To accept a scholarly fellowship which allows a faculty member to pursue a program of research, and which the funding agency will not process through the University, faculty members may request leave with partial pay. If such leave is recommended by the dean and approved by the Provost, the faculty member shall be entitled to normal faculty benefits and privileges during the period of temporary change in status. Time spent on scholarly fellowship leave shall apply toward eligibility for sabbatical leave and count as probationary period service unless the University in granting the leave and the individual accepting it agree to the contrary.

(7) Entrepreneurial Leave

The University encourages faculty employees to engage in entrepreneurial activities and strives to support the efforts of faculty who wish to commercialize their discoveries. Therefore, the pursuit of entrepreneurial endeavors is a valid reason for application for a faculty leave of absence.

The request for entrepreneurial leave, submitted by the faculty person to his or her unit administrator, shall be accompanied by a completed and signed "Entrepreneurial Leave" form and all attachments. The period of the leave shall not normally exceed one academic year. However, the leave may be extended for a second and final year by the Provost upon the recommendation of the dean. The Entrepreneurial Leave form enumerates additional terms and conditions of such leaves. The unit administrator shall review the proposal and forward the materials to the dean of the college along with their recommendation. The dean shall forward his or her recommendation to the Provost for approval. Entrepreneurial Leaves shall be reported to the Board of Trustees.

Time spent on entrepreneurial leave shall not apply toward eligibility for sabbatical leave or count as probationary period service unless the University in granting the leave and the individual accepting it agree to the contrary. Time spent on entrepreneurial leave shall not otherwise be considered as an interruption of continuous service.

3. Miscellaneous

a. Faculty Members as Candidates for Degrees

Faculty members having a rank higher than that of instructor may not be considered as candidates for degrees in the discipline in which they are employed and hold academic rank. Faculty members pursuing degrees above the master's degree at the University of Kentucky may not hold more than a half-time work assignment either during the two (2) full-time, consecutive resident semesters preceding the qualifying examination or during the two semesters of full-time dissertation study immediately following the qualifying examination.

b. Faculty Members as Scholars and Citizens

It is the policy of the University to maintain and encourage, within the law, full freedom of inquiry, discourse, instruction, research, service, and publication and to protect members of the faculty against influences, from inside or outside the University, which would restrict them in the exercise of these freedoms in their areas of scholarly interest. Faculty members shall be permitted and encouraged to investigate any theory, challenge any premise, engage in political and social debate, and to express their dissent, without jeopardy to their academic careers, provided their behavior is not in violation of the law and does not interfere with the normal operation of the

educational programs of the University.

Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistent with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office, provided that there has been timely application for leave and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member, except that the time spent on such leave from academic duties shall not count as probationary service unless agreed to otherwise.

Elective and appointive part-time services on boards and commissions to which faculty members are prepared to provide exceptional amounts of time shall be governed by policies on professional practice (See GR X.C.3).

C. Employment of Staff Employees

The *Human Resources Policy and Procedures Administrative Regulations* are applicable to staff employees. The *Human Resources Policy and Procedures Administrative Regulations* are promulgated by the President pursuant to delegation of the Board of Trustees.

1. Post-Retirement Employment

- a. A post-retirement appointment may be used in a very limited number of cases, when it is essential to a University program or office or when it is otherwise impossible practicably to fill a position with a fully qualified person. Such a part-time or full-time appointment is restricted to former staff employees who have elected to retire, requires specific prior approval by the Board of Trustees, and is made for a period not to exceed one (1) year.
- b. A retiree may be employed as a temporary employee to perform duties for which fee schedules have been established and approved by the Provost or the Executive Vice President for Finance and Administration.

2. Emeritus Ranks

Emeritus ranks for retired staff employees and the rights of holders of emeritus titles are established by the President after consultation with the Staff Senate Executive Committee.

D. Opportunity for Hearing

In no case shall an appointment of a postdoctoral scholar, postdoctoral fellow, resident, clinical fellow, teaching assistant, or research assistant be terminated before the end of the period of appointment without the individual being provided an opportunity to be heard before the University Senate Advisory Committee on Privilege and Tenure. Such employees who have established a *prima facie* case to the satisfaction of the committee that a consideration violating academic freedom significantly contributed to the individual's termination, shall be given a written statement of reasons by those responsible for the termination and an opportunity to be heard by the University Senate Hearing Panel of the Privilege and Tenure Committee.

E. Matters Affecting Faculty and Staff

The *Human Resources Policy and Procedures Administrative Regulations* on Benefits are applicable to faculty members and staff employees. All other *Human Resources Policy and Procedures Administrative Regulations* are applicable to faculty members to the extent that there are no other applicable policies for faculty members on the subject matter.

References and Related Materials

Kentucky Workers' Compensation Act

KRS 164.230, Removal of professors, officers and employees

GR Part I, The University of Kentucky (Definition)

AR 2:1, Procedures for Faculty Appointment, Reappointment, Promotion, and the Granting of Tenure

AR 3:1, University of Kentucky Retirement Plan

AR 3:6, Faculty Assignment and Vacation Leave Policy

AR 3:9, Consulting and other Overload Employment

AR 3:14, Practice Plans for Health Science Colleges and University Health Services (and College Addenda)

AR 7:6, Intellectual Properties Policy and Procedures

AR 7:7, Intellectual Properties Committee

Revision History

8/18/1998, 12/11/2001, 4/20/2005, 9/12/2006, 9/11/2007, 10/14/2008, 6/14/2011, 6/11/2013

For questions, contact: [Office of Legal Counsel](#)



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part XIV

Responsible Office: Board of Trustees

Effective: DRAFT ~~6/11/2013~~

Supersedes Version: ~~6/11/2013 New GR~~

Governing Regulation, Part XIV Ethical Principles and Code of Conduct

Major Topics

- A. [Ethical Principles](#)
- B. [Code of Conduct](#)
 - 1. [Nondiscrimination Policy](#)
 - 2. [Confidentiality of Information](#)
 - 3. [Use of the University's Name](#)
 - 4. [Civic Responsibility of the Individual](#)
 - 5. [Discrimination and Harassment](#)
 - 6. [Personal Relationships](#)
 - 7. [Employment of Relatives \(Nepotism\)](#)
 - 8. [Intellectual Property](#)
 - 9. [Conflict of Commitment](#)
 - 10. [Conflict of Interest](#)
 - 11. [Auditing Services](#)
 - 12. [University Resources](#)
 - 13. [Fiscal Responsibility](#)
 - 14. [Financial Advantage](#)
 - 15. [Compliance Responsibilities](#)
 - 16. [Compliance \(Whistle Blower\) Protection](#)
 - 17. [Truth, Honesty, and Integrity](#)
 - 18. [Acceptance of Gifts or Benefits](#)
 - 19. [Clarifications and Reporting Violations](#)

Introduction

The University of Kentucky Ethical Principles and Code of Conduct document the University's expectations of responsibility and integrity by its members.

A. Ethical Principles

Exemplary ethical conduct is critically important in our relationships with colleagues, trustees, students, volunteers, contractors, and the public. This statement reflects the values of the University approved by the Board of Trustees on January 27, 2004 and modified on March 27, 2012.

1. The following core values guide our decisions and behavior:

- Integrity;
- Excellence;
- Mutual respect and human dignity;
- Diversity and inclusion;
- Academic freedom;
- Personal and institutional responsibility and accountability;
- Shared governance;
- A sense of community;
- Work-life sensitivity;
- Civic engagement; and
- Social responsibility.

2. Each member of the University must endeavor to:

- Promote the best interests of the University of Kentucky;
- Foster the Vision, Mission, and Values of the University;
- Preserve the public's respect and confidence in the University of Kentucky;
- Exhibit personal integrity, honesty, and responsibility in all actions;
- Provide an environment of mutual respect, impartiality, and collaboration;
- Maintain confidentiality in all matters deemed confidential;
- Assure independence of judgment free from conflicting interests;
- Ensure that relationships that constitute or could be perceived as conflicts of interest are fully and properly disclosed and University guidelines are followed;
- Comply with the policies and procedures of the University of Kentucky and applicable state and federal laws and regulations; and
- Demonstrate stewardship of University property and resources.

B. Code of Conduct

Those acting on behalf of the University of Kentucky have a duty to conduct themselves in a manner that will maintain the public's trust in the integrity of the University and to act compatibly with their obligation to the University. The Code of Conduct establishes guidelines for professional conduct for University members, including trustees, executive officers, faculty, staff, and other individuals employed by the University, those using University resources or facilities, and volunteers and representatives acting as agents of the University (collectively "University members"). The conduct of students is addressed in the Student Rights and Responsibilities.

The Code of Conduct is intended to determine what conduct is expected and to help individuals to determine behaviors that should be avoided. Employees are strongly urged to consult with their supervisor to review and evaluate specific situations. Violations of this code will be subject to appropriate sanctions. In addition to the Code, University members are subject to all University regulations, policies, and state and federal law. As applicable, University members shall comply with:

- Federal laws, regulations, and policies;
- Kentucky Revised Statutes (KRS) and Kentucky Administrative Regulations (KAR);
- University and unit-level policies and procedures including, but not limited to, the *Governing Regulations (GR)*, *Administrative Regulations (AR)*, *Human Resources Policy and Procedure Manual (HRP&P)*, the *Business Procedures Manual (BPM)*, and *University Senate Rules*;
- Contract, grant, and donor stipulations;
- Accreditation requirements; and
- Generally accepted accounting principles.

While this Code of Conduct provides expectations and interpretation, additional guidance is found in other official University policy documents, such as the *Governing Regulations*, *Administrative Regulations*, *Human Resources Policies and Procedures*, *University Senate Rules*, and *Business Procedures Manual*.

1. Nondiscrimination Policy

The University of Kentucky complies with the federal and state constitutions, and all applicable federal and state laws, regarding nondiscrimination. The University provides equal opportunities for qualified persons in all aspects of University operations, and does not discriminate on the basis of race, color, national origin, ethnic origin, religion, creed, age, physical or mental disability, veteran status, uniformed service, political belief, sex, sexual orientation, gender identity, gender expression, pregnancy, marital status, genetic information, social or economic status, or whether the person is a smoker or nonsmoker, as long as the person complies with University policy concerning smoking.

~~Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training, and other employment and education practices without regard for economic or social status, race, color, ethnic origin, national origin, creed, religion, political belief, sex, sexual orientation, gender identity, gender expression, marital status, or age. The University does not discriminate on the basis of uniform service, veteran status, or physical or mental disability when an individual otherwise meets the minimum qualifications for application or participation.~~

All University members are expected to comply with the institution's nondiscrimination policy. The President is responsible for the development of an affirmative action plan by which full implementation of this policy shall be effected in the University. (See AR 6:1 and HRP&P 2.0.)

2. Confidentiality of Information

University members are entrusted with personal and institutional information that should be treated with confidentiality and used only for conducting University business. Respect for individual and institutional privacy requires the exercise of care and judgment. Unless required or permitted by law or University regulations, personal and official information provided by and about faculty, staff and students must not be given to third parties without the consent of the individuals concerned. When doubt exists regarding the confidentiality of information, University members should presume information is confidential until determined otherwise.

3. Use of the University's Name

University members have a public association with the University, but are also private citizens, thus care must be taken to appropriately differentiate between the two roles. University members may not

PR 7

Office of the President
March 16, 2015

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION:
AUTHORITY OF THE UNIVERSITY APPEALS BOARD

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revision to Governing Regulation (GR) XI, relating to the authority of the University Appeals Board (UAB). The revision is intended to clarify the role of the University Senate Rules for academic misconduct and the Student Code of Conduct for disciplinary offenses in informing the decisions of the UAB.

Background: This revision will clarify that, in making its decisions in student appeals of academic misconduct, the UAB operates within the *University Senate Rules* that define academic offenses, create a range of sanctions to be imposed upon a finding of guilt, and establish the standard of proof, subject only to the limitations imposed by the U.S. and Kentucky Constitutions. Further, the revision will clarify that, in making its decisions in student appeals of disciplinary offenses, the UAB operates within the *Code of Student Conduct* that define conduct offenses, create a range of sanctions to be imposed upon a finding of responsibility, and establish the standard of proof, subject only to the limitations imposed by the U.S. and Kentucky Constitutions.

In accordance with Governing Regulation XIII, the University Senate, Staff Senate and Student Government Association have reviewed and support the proposed revision.

A copy of the Governing Regulation showing the proposed revision is attached.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part XI

Responsible Office: Board of Trustees

Date Effective: 3/7/2006DRAFT

Supersedes Version: 3/7/2006, 6/14/2005

Student Affairs

Major Topics

[Student-University Relationships](#)

[The University Appeals Board - Jurisdiction](#)

[Composition of the University Appeals Board](#)

[Appointments to the University Appeals Board](#)

[References and Related Materials](#)

A. Student Government Association

The University of Kentucky Student Government Association is recognized by the Board of Trustees, administration, the Staff Senate and the University Senate as the official representative of the student body in University matters to ensure a maximum of self-government and to foster mutual respect, collaboration and cooperation between students and the faculty, staff and administration. It shall develop rules for its composition and procedures, and provide services to the students, staff, faculty, and administration of the University. These rules shall be consistent with the vision, mission and values of the University and shall be governed by the University's written policies and procedures, including those prescribed in the *Governing Regulations*, *Administrative Regulations* and *University Senate Rules*. Copies of the Student Government Association rules and approved changes shall be provided to all members of the student body of the University, the Secretary of the Staff Senate, the Secretary of the University Senate, Vice President for Student Affairs, the President, and the Secretary of the Board of Trustees.

The President of the Student Government Association shall be considered to be the President of the student body of the University as specified in KRS 164.131.

The Student Government Association may have additional responsibilities delegated to it by the President or the Office of the Vice President for Student Affairs. The Vice President for Student Affairs shall have administrative oversight of the Student Government Association in matters pertaining to the expenditure of university funds. The Vice President for Student Affairs shall also ensure that any action taken by the Student Government Association is consistent with University written policies and procedures, including those prescribed in the *Governing Regulations*, *Administrative Regulations* and *University Senate Rules*. An appeal of a decision of the Vice President for Student Affairs in any of the above matters may be made to the Provost. The Vice President for Student Affairs shall assign a university advisor to the Student Government Association, whose duties shall be to counsel and advise the organization and its officers as to their roles and responsibilities. The Student Government Association advisor shall review all proposed changes to the Student Government Association Constitution and By-Laws as well as rules for its

composition and procedures and advise the Student Government Association concerning the consistency or inconsistency with University policies and procedures, including but not limited to the *Governing Regulations, Administrative Regulations, University Senate Rules* and the *Code of Student Conduct*.

Pursuant to KRS 61.810, the meetings of the Student Government Association are open meetings. Through the respective presiding officer, any person may request the privilege of the floor to address all meetings of the Student Government Association.

B. Student-University Relationships

The non-academic relationships between University students and the University are articulated in the document entitled, *Student Rights and Responsibilities: Part I - Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships*, which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between University students and the University are incorporated in the document entitled, *Student Rights and Responsibilities: Part II - Selected Rules of the University Senate Governing Academic Relationships*. The rules in Part II have been adopted by the University Senate and may be amended only by that body.

Copies of the document, *Student Rights and Responsibilities: Parts I and II*, shall be made available to all students. The *Student Rights and Responsibilities: Parts I and II* are also available at www.uky.edu/StudentAffairs/Code. Registration by the student constitutes acceptance of the policies in the *Student Rights and Responsibilities* governing student-University relationships. In no case, however, shall this acceptance preclude legitimate efforts to obtain amendments to this document covering these relationships.

C. The University Appeals Board - Jurisdiction

There shall be a University Appeals Board (hereinafter UAB) with specific jurisdiction over student matters. The UAB shall be assigned either original or appellate jurisdiction over various students, as established by this *Governing Regulation*, as follows:

1. Disciplinary Offenses

In cases of disciplinary offenses (outlined in the *Code of Student Conduct*, Article II) where the student is sanctioned with social suspension, disciplinary suspension, or expulsion, the UAB shall have appellate jurisdiction.

2. Academic Offenses

(a) In cases of academic offenses (outlined in the *University Senate Rules*, Section 6.3) where the student contests guilt, the UAB shall have original jurisdiction.

(b) In cases of academic offenses (outlined in the *University Senate Rules*, Section 6.3) where the only issue is the severity of the sanction, the UAB shall have appellate jurisdiction.

3. Violation of Student Rights

(a) In cases where a student claims a violation of student rights (outlined in the *Code of Student Conduct*, Article I), the UAB shall hear any case referred to it by the Dean of Students and may grant the written appeal of any student to hear a case not referred to it by the Dean of Students.

(b) Registered student organizations that receive the majority of their regular operating budgets from allocations of student fee monies and/or University allocation shall have a hearing process which shall include final appeal to the UAB.

(c) The UAB shall have jurisdiction over final decisions of University hearing agencies in which a student alleges a violation of student rights. In a case involving a student election in which a candidate alleges that his or her student rights were violated, the UAB may affirm the decision of the Student Government Association appellate body, refer the matter back to the Student Government appellate body to correct the error identified by the UAB, or affirm or void the election. The jurisdiction of the UAB does not extend to the selection of the President of the Student Government Association.

4. Violation of Academic Rights

In cases where a student claims a violation of academic rights (outlined in the *University Senate Rules*, Section 6.1), the UAB shall have original jurisdiction.

5. College Honor Code Offenses

The UAB shall hold appellate jurisdiction over the decisions of college honor councils or committees, except that if the hearing panel, by majority of those present, decides the student's rights have been substantially violated, the hearing panel has original jurisdiction on the issue of guilt.

6. Cases of Temporary Sanctions

When the Vice President for Student Affairs imposes temporary sanctions on a student, the UAB shall have appellate jurisdiction.

D. Composition of the University Appeals Board

1. The UAB shall consist of thirty (30) members, eighteen (18) faculty members and twelve (12) full-time students, and a Hearing Officer who shall be the Chair.
2. A hearing panel of the UAB shall consist of nine (9) members, at least five (5) of whom are faculty members, at least one (1) of whom is a student, and a Hearing Officer, who shall be the chair.
3. A quorum of the hearing panel for the conduct of business will be nine (9), including the Hearing Officer, at least five (5) faculty members (exclusive of the Hearing Officer) and at least one (1) student.

E. Appointments to the University Appeals Board

1. The Hearing Officer

The Hearing Officer shall be the Chair of the hearing panel and shall be a person with training in the law appointed by the President of the University for a three-year term, subject to reappointment. The term shall begin on September 1, and end August 31. The Hearing Officer shall convene and preside at all meetings of the hearing panel, but does not vote as a member of the Board or have the authority to cast a tie breaking vote. All questions of the law, either substantive or procedural, and all procedural questions shall be addressed to and ruled upon by the Hearing Officer. The Hearing Officer shall have the authority to exclude any hearing panel member that the Hearing Officer determines has a conflict of interest or the appearance of a conflict of interest with a case. If the Hearing Officer cannot serve when needed, the President or, in the President's absence, the Provost shall appoint a temporary substitute.

2. The Student Members

- (a) The student membership of the UAB shall be appointed to one-year terms, subject to reappointment. Their terms shall begin September 1 and end August 31.
- (b) The student membership shall consist of four (4) graduate or professional students and eight (8) undergraduate students. The undergraduates must be full-time students and either sophomores, juniors or seniors in good standing. The graduate or professional student must be a full-time student, have been in residence at least one year and be in good standing.
- (c) The President shall appoint student members to the UAB from the recommendations submitted by the Student Government Association and the college deans. Six (6) student members shall be appointed from those names submitted by the Student Government Association and six (6) members from those names submitted by the college deans, totaling twelve (12) student members.

3. The Faculty Members

Faculty members of the UAB shall be appointed to staggered three-year terms by the President of the University upon the recommendation of the University Senate Council. All terms shall begin on September 1 and end on August 31. To minimize the possibility of a conflict of interest, faculty members with primary administrative appointments (more than fifty percent of their assignment allotted to administration) shall not be appointed to the UAB.

4. Temporary Appointments

- (a) If a sufficient number of the members of the UAB are not present or have been determined by the Hearing Officer to have a conflict of interest or the appearance of a conflict of interest at any time when that Board has duties to perform, the President of the University or, in the President's absence, the Provost, shall make such temporary appointments as are necessary to ensure that the required number of members are present. Such temporary appointments need not be preceded by the recommendations otherwise provided herein. However, in no case shall a faculty member replace a student member or a student member replace a faculty member.
- (b) If, at any time, in the judgment of the Hearing Officer, there are sufficient cases pending before UAB that it is unlikely that the pending cases can be processed within the time prescribed, the Hearing Officer shall notify the President of that fact. The President may, in accordance with the above provisions of GR XI Section E, activate additional boards and appoint a Hearing Officer for each such additional board, or appoint additional boards and hearing officers for designated cases and time periods.
- (c) The authority, jurisdiction, and range of possible actions of, and the guaranteed rights of an accused person before any special board or panel appointed or activated under the terms of (a) or (b) above shall be the same as those applicable to the regularly constituted board or panel.

F. Procedures of the University Appeals Board

Additional procedures of the UAB shall be those prescribed by *University Senate Rules* for academic cases, the *Code of Student Conduct* for non-academic cases, or by the UAB to effectuate the orderly conduct of its functions.

G. Disposition of Cases – Authority

~~The authority of the UAB over the disposition of academic cases is provided in the *University Senate Rules* for academic cases and in the *Code of Student Conduct* for non-academic cases. Decisions of the UAB are final.~~

The University Appeals Board has authority to decide appeals of both academic and non-academic cases. With respect to the UAB's resolution of academic cases arising under either its original or appellate jurisdiction, the UAB operates within *University Senate Rules* that define academic offenses, create a range of sanctions to be imposed upon a finding of guilt, and establish the standard of proof, subject only to the limitations imposed by the Constitutions and statutes. With respect to non-academic cases, the range of sanctions and standard of proof are set out in the *Code of Student Conduct*, subject only to the limitations imposed by the Constitutions and statutes. The decisions of the UAB are final.

References and Related Materials

Kentucky Revised Statutes (KRS): 61.820 Schedule of regular meetings to be made available; 164.131 Board of Trustees of University of Kentucky, Membership, Terms; 161.810 Continuance of status in case of annexation or consolidation of schools

University Senate Rules: Sections 6.1 and 6.3

Student Rights and Responsibilities: Part I - Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships; Part II - Selected Rules of the University Senate Governing Academic Relationships

Revision History

12/11/2001, 6/14/2005, 3/7/2006

For questions, contact: [Office of Legal Counsel](#)

PR 8

Office of the President
March 16, 2015

Members, Board of Trustees:

PROPOSED REVISION TO ADMINISTRATIVE REGULATION:
RESIDENCY REVIEW COMMITTEE

Recommendation: that the Board of Trustees approve the attached revisions to *Administrative Regulation 4:5, Residency Review Committee*. The proposed revisions are intended to make the regulation more consistent with regulations promulgated by the Council on Postsecondary Education (CPE) and current University organization; allow the University more flexibility in scheduling student appeals; and eliminate any appearance of conflicts of interest.

Background: Kentucky Revised Statute 164.020(8) authorizes the CPE to set different tuition rates for residents of Kentucky and nonresidents. The CPE has promulgated administrative regulations to establish the procedures and criteria for state-supported institutions of higher education to use in determining a student's residency status. (13 KAR 2:045) The CPE's regulations require the University to provide an administrative appeals process that includes: a residency appeals officer to consider student appeals of an initial residency determination; fourteen (14) days for the student to appeal the residency appeals officer's determination; and a residency review committee to consider appeals of residency determinations by the residency appeals officer.

The proposed revisions to the regulation are as follows:

- The title of the "Assistant Provost for Enrollment Management" is changed to the "Associate Provost for Enrollment Management, Admissions, and Registrar" to conform to current University organization.
- The fourteen (14) day period for a student to appeal the determination of the residency appeals officer, which the University always has provided to students, is now added as an explicit provision of the regulation.
- The number of Committee members is increased from four (4) to a pool nine (9). The Office of Enrollment Management will call three (3) members from the pool to hear student appeals. This will allow more flexibility and timeliness in scheduling student appeals meetings.
- Because the residency appeals officer is a member of the Office of the Associate Provost for Enrollment Management, Admissions, and Registrar; the Associate Provost is changed from a voting member of the Committee to an *ex officio* non-voting member to avoid any appearance of a conflict of interest. The Associate Provost will serve as the *ex-officio* Chair of the Committee and act as the facilitator at student appeal Committee meetings.

These revisions are supported by the Associate Provost for Enrollment Management, Admissions, and Registrar and by the General Counsel.

A copy of the Administrative Regulation showing the proposed revisions is attached.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Administrative Regulation 4:5

Responsible Office: Provost / Registrar

Date Effective: DRAFT 3/6/2007

Supersedes Version: 3/6/2007
12/13/2005

Residency Review Committee (Approved by the Board of Trustees)

Major Topics

[Application for Change of Residency](#)

[Residency Review Committee - Authority, Membership, and Quorum](#)

[Residency Review Committee - Procedures](#)

[Formal Residency Appeals Hearings](#)

I. Introduction

The University is required to determine, pursuant to criteria established by 13 KAR 2:045, each student's residency status ([in-state or out-of-state](#)) for purposes of tuition. 13 KAR 2:045 also requires the University to provide for an administrative appeals process to consider student appeals of a residency determination, designate a residency appeals officer, and establish a residency review committee to consider appeals of residency determinations by the residency appeals officer.

II. Application for Change of Residency

- A. The Residency Officer, a member of the Office of the [AssistantAssociate](#) Provost for Enrollment Management, [Admissions, and Registrar](#), is selected by the [AssistantAssociate](#) Provost for Enrollment Management, [Admissions, and Registrar](#) ("[Associate Provost](#)"), and ~~will serve~~ as the Residency Officer as part of his or her job description.
- B. Application for change of residency classification by a student enrolled in or an applicant to a program at the University shall be made in writing to the Residency Officer ~~in the Office of the Assistant Provost for Enrollment Management~~ for initial consideration.
- B. ~~The Residency Officer, a member of the Office of the Assistant Provost for Enrollment Management, is selected by the Assistant Provost for Enrollment Management and will serve as the Residency Officer as part of his or her job description.~~ (Moved to A above)
- C. Residency determinations shall be based on regulations promulgated by the Council on Postsecondary Education. ([KRS 164.030; 13 KAR 2:045](#))
- D. The [AssistantAssociate](#) Provost ~~for Enrollment Management~~ will not participate in the initial determination by the Residency Officer.

E. The decision of the Residency Officer, if adverse to the applicant, may be appealed to the Residency Review Committee ("Committee") within fourteen (14) days of the Residency Officer's determination.

III. Residency Review Committee – Authority, Membership, and Quorum

A. The ~~Residency Review~~ Committee shall consider appeals from students whose requests for change in residency status have been denied by the Residency Officer.

B. The Committee shall have authority to establish procedural rules, consistent with Governing Regulations and approved by the Provost, and to schedule meetings.

C. The ~~Residency Review~~ Committee is appointed by the President and reports to the Provost.

D. The Committee pool shall be comprised of ~~nine~~ four (9) members:

1. ~~Three~~ Two (3) members shall be recommended by the Provost from the faculty and staff, who may not be the Residency Officer.

2. ~~Three~~ One (3) faculty members shall be from those recommended by the University Senate Council. The University Senate Council shall recommend ~~six~~ three (6) faculty members to the President, who will select a ~~the~~ members of the Committee from those named on this list.

3. ~~Three (3)~~ One members shall be a ~~students~~ recommended by the Student Government Association.

E. The ~~e~~Chair shall be the Associate Provost, who serves as an ex officio, nonvoting member, designated by the Provost from the Committee members. The Chair is responsible for facilitating the meeting and may designate an alternate Chair when necessary.

F. Faculty and staff members shall have three-year appointments. The student member shall have a one-year appointment. Members may serve consecutive terms.

G. To hear residency appeals, the Chair shall convene from the pool three (3) Committee members, including at least one student. Three (3) members shall be necessary for Committee action, and three (3) members shall constitute a quorum. A majority vote of the Committee members present shall be necessary for any decision.

IV. Residency Review Committee – Procedures

A. The Provost shall provide professional and administrative support for the ~~Residency Review~~ Committee, including but not limited to recording and preserving the minutes of ~~Committee~~ meetings, processing applications on appeal, and presenting the applications at ~~Committee~~ meetings.

B. A student may be invited to attend or may request the opportunity to attend a meeting of the ~~Residency Review~~ Committee that is considering his or her case by contacting the Chair of the Committee.

C. The student may bring one advisor to the meeting. The role of the advisor shall be limited to providing advice to the student. Even if accompanied by an advisor, a student shall present his or her case and personally respond to inquiries from the Committee. In consideration of the limited role of an advisor and of the compelling interest of the University to expeditiously conclude the matter, a Committee meeting shall not, as a general practice, be delayed due to the unavailability of an advisor.

- D. The ~~Residency Review~~ Committee shall base its decision on regulations promulgated by the Council on Postsecondary Education. (~~13 KAR 2:045~~) The applicant shall be notified in writing as to the Committee's decision on the applicant's appeal.
- E. If the applicant considers the decision of the Committee to be unsatisfactory, the applicant may request a formal residency hearing by contacting the Chair of the ~~Residency Review~~ Committee in writing within fourteen (14) days after receipt of the written notification.

V. Formal Residency Appeals Hearings

- A. The Provost is authorized to appoint or employ a hearing officer to preside over formal residency hearings and shall arrange a formal hearing on a timely basis. The formal hearing shall include guarantees of due process including the right of a student to be represented by legal counsel and the right of the student to present information and testimony in support of a claim of Kentucky residency. The Hearing Officer shall have the authority to establish procedural rules for hearings. ~~The Residency Office shall have the right to present information to the Hearing Officer.~~
- B. The Hearing Officer shall make a recommendation to the Provost. The Provost shall decide the appeal and notify the student in writing.
- C. The Office of Legal Counsel shall advise the Residency Officer and the ~~Residency Review~~ Committee on legal matters, including but not limited to interpretation of the regulations promulgated by the Council on Postsecondary Education, procedural questions, and applicable laws, cases, and regulations, and shall represent the University in formal hearings.
- D. The Residency Review Committee shall submit an annual report on its activities to the Provost.

~~VI.~~ References and Related Materials

KRS 164.020(8). Powers and duties of council

KRS 164.030. Regulations of council to be followed by state postsecondary educational institutions

13 KAR 2:045. Determination of residency status for admission and tuition assessment purposes

Revision History

~~AR III-1.1-4:~~ 6/15/1989, 3/19/1992, 6/11/1996, 9/21/1999, 9/23/2004, 12/13/2005, ~~AR 4:5:~~ 3/6/2007

For questions, contact: Office of Legal Counsel

ASACR 1

Office of the President
March 16, 2015

Members, Board of Trustees:

CREATION OF THE DEPARTMENT OF INTEGRATED STRATEGIC COMMUNICATION

Recommendation: that the Board of Trustees approve the creation of the Department of Integrated Strategic Communication in the College of Communication and Information, effective July 1, 2015.

Background: The School of Journalism and Telecommunications currently houses three degree programs: Journalism, Media Arts and Integrated Strategic Communication (ISC). Since 2003, the School has grown from 14 tenured and tenure-track faculty to 26 tenured and tenure-track faculty and two full-time lecturers. Each of these fields has its own scholarly and creative tradition, and faculty research and creative activity in each of the three areas varies widely. Significant growth in both faculty and student numbers has made the structure difficult to manage effectively and a barrier to continued growth.

Establishment of the Integrated Strategic Communication Department will strengthen the identity of the program. Students and alumni of the School seem to identify with their program of study rather than the School as an entity. The restructuring will serve to further strengthen that identification. Likewise, the reconstituted School of Journalism and Telecommunications will be strengthened through greater focus.

The restructuring also will increase the amount of attention the leadership of the reformulated School and new Department are able to give to the distinct academic and career counseling needs of students in the three degree programs. The School director's focus will be on the over 400 students majoring or minoring in Journalism and Media Arts and Studies; the new Department's chair will be focused on the over 600 ISC majors.

Under the proposed restructuring, the School of Journalism and Telecommunication will consist of the Journalism and Media Arts and Studies programs; the BA/BS Journalism and BA/BS Media Arts and Studies undergraduate degree programs; and the minors in Journalism Studies and Media Arts and Studies.

The proposal has been reviewed and recommended by the Senate Academic Organization and Structure Committee and the Senate Council; and endorsed by the University Senate.

Action taken: Approved Disapproved Other _____

ASACR 2

Office of the President
March 16, 2015

Members, Board of Trustees:

CANDIDATES FOR DEGREES: DECEMBER 2014

Recommendation: that the President be authorized to confer upon the individuals whose names appear on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individuals have satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individuals whose names appear on the attached list completed the work leading toward the degree for which application was made. Due to an administrative error, the names were not previously submitted.

For details on degree candidate listings,

please contact Sean Cooper

Registrar's Office

Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2014**

Graduate Degrees:

Ph.D.	139
D.M.A.	5
Ed.D.	3
Ed.S.	6
M.A.	72
M.AR.	0
M.A.EDU.	3
M.A.ID.	1
M.B.A.	3
M.Ed.	2
M.F.A.	0
M.H.A.	0
M.H.P.	1
M.M.	4
M.P.A.	5
M.P.H.	18
M.P.P.	1
M.R.C.	36
M.S.	107
M.S.A.	1
M.S.BE.	6
M.S.BAE.	10
M.S.CD.	0
M.S.CIE.	10
M.S.CHE.	1
M.S.CTL.	0
M.S.CLD.	12
M.S.EDU.	19
M.S.E.E.	13
M.S.FOR.	6
M.S.FS.	0
M.S.FSC.	4
M.S.L.S.	31
M.S.MSE.	1
M.S.ME.	10
M.S.MAT.	3
M.S.MIE.	3
M.S.MSYE.	0
M.S.N.	0
M.S.N.S.	6
M.S.PAS.	0
M.S.RMP.	0
M.S.W.	2
TOTAL	543

Professional Degrees:

D.D.M.	0
D.N.P.	4
D.P.H.	9
D.P.T.	0
J.D.	6
M.D.	3
PharmD.	0
TOTAL	22

Undergraduate Degrees:

Agriculture, Food & Environment

BSAB	13
BSAEC	33
BSAG	1
BSASC	13
BSCTE	1
BSCLD	21
BSCCL	3
BSDIE	26
BSESM	19
BSFCSC	1
BSFSC	22
BSFOS	2
BSFOR	1
BSHPS	6
BSHM	15
BSHN	12
BSLA	1
BSMAT	13
BSNRC	2
BSNRES	8
TOTAL	213

Arts & Sciences

BA	212
BS	81
TOTAL	292

Gatton Business & Economics

BBA	142
BSA	57
BSBE	19
TOTAL	218

Communication & Information

BA	97
BS	35
TOTAL	132

Design

BAARC	13
BAID	1
TOTAL	14

Education

BAEDU	77
BSEDU	112
TOTAL	189

Engineering

BSBE	9
BSCHE	4
BSCIE	33
UK/WKU: BSCE	5
BSCOE	8
BSCS	24
BSEE	10
BSMAE	0
BSMEE	43
UK/WKU: BSMEE	2
BSMIE	8
TOTAL	146

Fine Arts

BA	33
BFA	3
BM	6
BMME	11
TOTAL	53

Health Sciences

BHS	28
TOTAL	28

Nursing

BSN	83
TOTAL	83

Social Work

BASW	25
TOTAL	25

Graduate Degree 543

Professional Degrees.....22

Undergraduate Degrees 1394

TOTAL 1959

ASACR 3

Office of the President
March 16, 2015

Members, Board of Trustees:

CANDIDATE FOR DEGREE: AUGUST 2014

Recommendation: that the President be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the University Registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made. Due to an administrative error, the name was not previously submitted.

For details on degree candidate listings,

please contact Sean Cooper

Registrar's Office

Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - August 2014**

Graduate Degrees:

Ph.D.	130
D.M.A.	5
Ed.D.	3
Ed.S.	11
M.A.	45
M.AR.	1
M.A.EDU.	6
M.A.ID.	1
M.B.A.	66
M.E.	0
M.F.A.	0
M.H.A.	1
M.H.P.	1
M.M.	10
M.P.A.	11
M.P.H.	17
M.P.P.	0
M.R.C.	3
M.S.	78
M.S.ACC.	30
M.S.BE.	6
M.S.BAE.	3
M.S.CD.	1
M.S.CE.	5
M.S.CHE.	2
M.S.CTL.	8
M.S.EDU.	11
M.S.E.E.	10
M.S.FOR.	5
M.S.FST.	0
M.S.FSC.	6
M.S.L.S.	17
M.S.MAT.	0
M.S.MIE.	1
M.S.MSYE.	0
M.S.ME.	8
M.S.MSE.	2
M.S.N.	0
M.S.N.S.	3
M.S.PAS.	60
M.S.RMP.	4
M.S.W.	9
TOTAL	574

Professional Degrees:

D.M.D.	0
D.N.P.	2
Dr.P.H.	2
D.P.T.	62
J.D.	1
M.D.	3
PharmD.	0
TOTAL	70

Undergraduate Degrees:

Agriculture

BSAB	3
BSAEC	18
BSAG	4
BSAS	3
BSCCLD	4
BSCLD	6
BSCTE	0
BSDIE	14
BSESM	7
BSFOR	2
BSFOS	0
BSFSC	12
BSFST	1
BSHPS	3
BSHM	15
BSHN	10
BSLA	1
BSMAT	22
BSNRC	0
BSNRES	5
TOTAL	130

Arts and Sciences

BA	104
BGS	0
BS	31
TOTAL	135

Gatton Business and Economics

BBA	37
BSA	41
BSBE	2
TOTAL	80

Communication & Information

BA	41
BS	13
TOTAL	54

Design

BAARC	15
BAID	3
TOTAL	18

Education

BAEDU	13
BSEDU	35
TOTAL	48

Engineering

BSBE	3
BSCHE	1
BSCE	6
UK/WKU: BSCE	0
BSCS	6
BSCOE	2
BSEE	4
BSMAE	0
BSMEE	3
UK/WKU: BSMEE	0
BSMIE	5
TOTAL	30

Fine Arts

BA	12
BFA	1
BM	5
BMME	0
TOTAL	18

Health Sciences

BHS	2
TOTAL	2

Nursing

BSN	39
TOTAL	39

Social Work

BASW	2
TOTAL	2

<i>Graduate Degree</i>	<i>574</i>
<i>Professional Degrees</i>	<i>70</i>
<i>Undergraduate Degrees</i>	<i>556</i>
TOTAL	1200

FCR 1

Office of the President
March 16, 2015

Members, Board of Trustees:

WENDELL AND VICKIE BELL GIFT

Recommendation: that the Board of Trustees accept a gift of \$500,000 from Wendell and Vickie Bell of Lexington, Kentucky to support the Construct Football Training Facilities and Practice Fields capital project, approved by the Board of Trustees at its January 2014 meeting.

Background: Wendell and Vickie Bell are long-time committed supporters of many Department of Intercollegiate Athletics programs. They are Presidential Fellows, having contributed the Department and other programs and initiatives across campus. Mr. Bell, a native of London, KY, is the Executive Chairman and Chief Executive Officer of Enerfab, Inc. of Cincinnati, Ohio, a company which provides construction management, capital equipment, maintenance services and technology solutions to the energy industry and other industrial markets. Mrs. Bell, a Cincinnati native, taught school in Independence, KY. Mrs. Bell currently serves as a Community Advisory Member on the Board of Trustees University Athletics Committee while Mr. Bell served on the University Athletics Association Board. They are devoted supporters of women's soccer, basketball, volleyball and rifle teams and are usually found on the sidelines attending numerous athletics events throughout the year.

This gift of \$500,000 will be used towards the \$45,000,000 capital project.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
March 16, 2015

Members, Board of Trustees:

MICHAEL L. MARBERRY PLEDGE

Recommendation: that the Board of Trustees accept a pledge \$500,000 from Michael L. Marberry of Atlanta, Georgia to support the Chemical and Materials Engineering Facilities Fund in the College of Engineering.

Background: Mr. Marberry earned a Bachelor of Science in Chemical Engineering in 1981 and a Master of Science in Chemical Engineering in 1983 from the University of Kentucky College of Engineering. He also earned a Master of Business Administration from the Tuck School of Business at Dartmouth College in 1990. Since 2009, Mr. Marberry has served as President and CEO of J. M. Huber Corporation, a family-owned company specializing in engineered materials. Prior to joining Huber in 1997, Mr. Marberry served in senior roles at PolyOne (formerly M.A. Hanna Company), McKinsey & Company, Inc., and The Proctor and Gamble Company.

This commitment was made in honor of his former professor, the late Dr. Asit K. Ray, who passed away in November 2014. The funds will be used for the renovation of the F. Paul Anderson Chemical and Materials Engineering first-floor student commons, and will be named the Asit K. Ray Student Commons. The funds also will be used for undergraduate student labs, which will be named the Michael L. Marberry Undergraduate Teaching Laboratory.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
March 16, 2015

Members, Board of Trustees:

S. J. WHALEN CHARITABLE REMAINDER UNITRUST GIFT

Recommendation: that the Board of Trustees accept a gift of \$531,731.73 from the S. J. Whalen Charitable Remainder Unitrust, established by Mr. S. J. Whalen, formerly of Naples, Florida, to support the Chemical and Materials Engineering Facilities Gift Fund in the College of Engineering.

Background: S. J. "Sam" Whalen received his Bachelor of Science in Metallurgical Engineering and Materials Science from the University of Kentucky in 1949. Mr. Whalen was Chair and CEO of Aerobraz Corporation of Cincinnati, Ohio, and a long-time supporter, friend and benefactor of the College of Engineering.

Mr. Whalen designated the University of Kentucky as a 50 percent beneficiary of his S. J. Whalen Charitable Remainder Unitrust. This gift of \$531,731.73 represents a partial distribution of the Trust, with the final disbursement to be received later in 2015.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
March 16, 2015

Members, Board of Trustees:

DR. JAMES F. ROARK, JR. GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$495,127 and a pledge of \$4,873 for a total commitment of \$500,000 from Dr. James F. Roark, Jr. of Seattle, Washington to support the existing Samuel C. Matheny Global Health Enhancement Gift Fund, and to create the Global Health Fund, both in the University of Kentucky International Center.

Background: Dr. Roark is a retired psychiatrist in Seattle, Washington, and lifelong friend of Dr. Samuel Matheny, a long-time faculty member and former Chair of the College of Medicine Department of Family Practice. Dr. Roark is presenting this gift in honor of Dr. Matheny.

Of the total \$500,000 commitment, \$100,000 will support the Samuel C. Matheny Global Health Enhancement Gift Fund and \$400,000 will create the Global Health Fund.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
March 16, 2015

Members, Board of Trustees:

REQUEST TO RENAME THE FIFTH AND PACIFIC FOUNDATION ENDOWMENT TO
THE KATE SPADE AND COMPANY FOUNDATION ENDOWMENT

Recommendation: that the Board of Trustees approve a request to rename the Fifth and Pacific Foundation Endowment to the Kate Spade and Company Foundation Endowment in the Center for Research on Violence Against Women.

Background: A gift and pledge totaling \$125,000 was accepted by the Board in May 2005 to create and endow the Liz Claiborne Foundation Fund in the UK Center for Research on Violence Against Women. The gift and pledge were subsequently matched by the state's Research Challenge Trust Fund. In May 2011, the Board approved expanding the purpose of the endowment previously limited to supporting research mission activities for the Center to include salary or research support for the Liz Claiborne Professorship. In June 2012, the Board approved a requested name change to the Fifth and Pacific Foundation Endowment. Effective October 2014, the name of the Fifth and Pacific Foundation was changed to the Kate Spade and Company Foundation. Accordingly, the Foundation has requested that the name of the endowment be changed accordingly and that the University use the new name in all references to the endowment. The market value of the endowment fund as of June 30, 2014 was \$325,021.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
March 16, 2015

Members, Board of Trustees:

PROPOSED 2015-16 TUITION AND MANDATORY FEES SCHEDULE

Recommendation: that the Board of Trustees approve the attached 2015-16 tuition and mandatory fees schedule and authorize the President to submit the schedule to the Council on Postsecondary Education. The tuition and mandatory fees schedule reflects a three percent increase for most resident students and a six percent increase for most non-resident students.

Background:

Council on Postsecondary Education

Pursuant to KRS 164.020, the Council on Postsecondary Education has the statutory authority to determine tuition for Kentucky's public colleges and universities. The Council considers any required fees charged to the majority of the students as tuition and subject to the statute.

In February 2014, the Council approved the 2014-16 Tuition Policy and Timeline. The Council transitioned to a two-year tuition setting cycle to facilitate strategic planning and budgeting processes at the institutions and make college costs more predictable for students and their families. In April 2014, the Council approved resident, undergraduate tuition and mandatory fee ceilings for academic years 2014-15 and 2015-16 not to exceed a five percent increase in any one year and not to exceed an eight percent increase over the two years. The Council's tuition and mandatory fees parameters also included maintaining the current floor for nonresident, undergraduate rates of two times the resident undergraduate rate and allowing the universities to submit market competitive resident and nonresident tuition and mandatory fee rates for graduate, professional practice and online courses. The Council approved each institution's recommended 2014-15 tuition and mandatory fee rates on June 20, 2014. Contingent on Board of Trustees approval, the Council is expected to review UK's 2015-16 tuition and mandatory fee rates at their April 17, 2015 meeting.

University of Kentucky Budget Process

Tuition and fees revenue and state appropriations are the primary funding sources for the University's instructional and support functions. The recommended 2015-16 tuition and mandatory fee rates reflect efforts to balance student affordability concerns with generating adequate funds for quality education and support services. The FY 2015-16 Operating and Capital Budget recommendation is currently under development and will be presented to the Board for consideration in June 2015.

Recommended Tuition and Mandatory Fees

Given the fiscal environment at the time, the University's 2014-15 tuition and mandatory fees reflected a five percent increase for undergraduate resident students and an eight percent increase for undergraduate non-resident students. The majority of the graduate rates increased by the same percentages. Due to market pressures, the resident and nonresident rate increases for students in the colleges of Pharmacy, Dentistry, and Medicine were held to three percent. As in the past, the College of Medicine's rates were fixed by entering class – i.e. the annual assessed amount does not change by year during the four-year program.

The 2015-16 tuition and mandatory fee rate recommendations are presented on the following pages. The rates reflect a three percent increase for resident undergraduate students and a six percent increase for nonresident undergraduate students. The majority of the 2015-16 graduate rates reflect similar percentage increases. Due to continued market pressures, no rate increase is proposed for resident or nonresident students enrolled in the College of Pharmacy. Also, the nonresident rate increases for students in the colleges of Dentistry and Medicine are recommended to be held to three percent. As in the past, the College of Medicine's rates are fixed by entering class. The College of Law's resident and non-resident rate increases are recommended to be three percent and six percent, respectively.

The allocation of the rate increase for mandatory fees is based upon the recommendation submitted by the Student Fee Allocation Committee. The recommended mandatory fees schedule is presented in the following pages. Student program, course and administrative fees for 2015-16 will be presented to the Board in June 2015.

Action taken: Approved Disapproved Other _____

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	Full-Time Rates ²				Part-Time			
	Per Semester				Per Credit Hour Rates ³			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Undergraduate								
Lower Division ⁴								
Resident	\$5,232.00	\$5,390.00	\$158.00	3.0%	\$421.00	\$436.00	\$15.00	3.6%
Non-Resident	\$11,367.00	\$12,052.00	\$685.00	6.0%	\$931.00	\$991.00	\$60.00	6.4%
Upper Division ⁴								
Resident	\$5,384.00	\$5,546.00	\$162.00	3.0%	\$433.00	\$449.00	\$16.00	3.7%
Non-Resident	\$11,521.00	\$12,216.00	\$695.00	6.0%	\$944.00	\$1,005.00	\$61.00	6.5%
Graduate								
Resident	\$5,656.00	\$5,826.00	\$170.00	3.0%	\$596.00	\$617.00	\$21.00	3.5%
Non-Resident	\$12,332.00	\$13,077.00	\$745.00	6.0%	\$1,338.00	\$1,423.00	\$85.00	6.4%
Master in Business Administration								
Evening and Part-Time Students								
Resident	\$6,537.00	\$6,733.00	\$196.00	3.0%	\$696.00	\$718.00	\$22.00	3.2%
Non-Resident	\$14,723.00	\$15,613.00	\$890.00	6.0%	\$1,604.00	\$1,704.00	\$100.00	6.2%
Master, Professional¹⁰								
Resident	\$5,929.00	\$6,107.00	\$178.00	3.0%	\$627.00	\$648.00	\$21.00	3.3%
Non-Resident	\$12,619.00	\$13,376.00	\$757.00	6.0%	\$1,369.00	\$1,456.00	\$87.00	6.4%

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	Full-Time Rates ²				Part-Time			
	Per Semester				Per Credit Hour Rates ³			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Master, Health Professional¹¹								
Resident	\$6,093.00	\$6,276.00	\$183.00	3.0%	\$646.00	\$667.00	\$21.00	3.3%
Non-Resident	\$12,791.00	\$13,555.00	\$764.00	6.0%	\$1,389.00	\$1,474.00	\$85.00	6.1%
Law								
Resident	\$10,494.00	\$10,809.00	\$315.00	3.0%	\$1,024.00	\$1,057.00	\$33.00	3.2%
Non-Resident	\$19,254.00	\$20,418.00	\$1,164.00	6.0%	\$1,900.00	\$2,018.00	\$118.00	6.2%
Professional Practice Doctoral¹³								
Resident	\$7,374.00	\$7,596.00	\$222.00	3.0%	\$787.00	\$814.00	\$27.00	3.4%
Non-Resident	\$17,039.00	\$18,068.00	\$1,029.00	6.0%	\$1,861.00	\$1,977.00	\$116.00	6.2%

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	<u>Annual Full-Time Rate¹²</u>		<u>Dollar</u> <u>Change</u>	<u>Percent</u> <u>Change</u>
	<u>2014-15</u>	<u>2015-16</u>		
Dentistry⁵				
Resident	\$30,188.00	\$31,088.00	\$900.00	3.0%
Non-Resident	\$61,628.00	\$63,472.00	\$1,844.00	3.0%
Reduced curriculum load				
Resident	\$15,788.00	\$16,256.00	\$468.00	3.0%
Non-Resident	\$31,508.00	\$32,448.00	\$940.00	3.0%
Doctor of Pharmacy⁶				
Students - entering class of 2011				
Resident	\$24,514.00	\$24,514.00	\$0.00	0.0%
Non-Resident	\$44,438.00	\$44,438.00	\$0.00	0.0%
Students - entering class of 2012				
Resident	\$25,808.00	\$25,808.00	\$0.00	0.0%
Non-Resident	\$46,828.00	\$46,828.00	\$0.00	0.0%
Students - entering classes of 2013, 2014 and 2015				
Resident	\$26,448.00	\$26,448.00	\$0.00	0.0%
Non-Resident	\$48,028.00	\$48,028.00	\$0.00	0.0%
Reduced curriculum load				
Resident	\$13,918.00	\$13,918.00	\$0.00	0.0%
Non-Resident	\$24,708.00	\$24,708.00	\$0.00	0.0%

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	<u>Annual Full-Time Rates¹²</u>			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Doctorate of Physical Therapy				
Resident	\$18,346.00	\$18,892.00	\$546.00	3.0%
Non-Resident	\$42,508.00	\$45,074.00	\$2,566.00	6.0%
Master in Business Administration				
Full-time students in the 'Day' Program				
Resident	\$12,070.00	\$12,428.00	\$358.00	3.0%
Non-Resident	\$25,448.00	\$26,964.00	\$1,516.00	6.0%

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	Annual Full-Time Rates ¹⁵			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar</u> <u>Change</u>	<u>Percent</u> <u>Change</u>
Medicine⁷				
Students - entering class of Fall 2011				
Resident	\$31,986.00	\$31,986.00	\$0.00	0.00%
Non-Resident	\$58,632.00	\$58,632.00	\$0.00	0.00%
Students - entering class of Fall 2012				
Resident	\$32,968.00	\$32,968.00	\$0.00	0.00%
Non-Resident	\$60,351.00	\$60,351.00	\$0.00	0.00%
Students - entering class of Fall 2013				
Resident	\$33,949.00	\$33,949.00	\$0.00	0.00%
Non-Resident	\$62,152.00	\$62,152.00	\$0.00	0.00%
Students - entering class of Fall 2014				
Resident	\$34,888.00	\$34,888.00	\$0.00	0.00%
Non-Resident	\$63,948.00	\$63,948.00	\$0.00	0.00%
Students - entering class of Fall 2015				
Resident		\$35,929.00	\$1,041.00	3.0%
Non-Resident		\$65,861.00	\$1,913.00	3.0%
Reduced curriculum load				
Resident		\$18,677.00		
Non-Resident		\$33,643.00		

University of Kentucky
 Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

	<u>Full Program Rates</u>			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>
UK-UofL Joint Executive Master in Business Administration ⁹ (17 months)	\$67,500.00	\$67,500.00	\$0.00	0.0%

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

NOTES:

¹ Rates include mandatory student fees. Students taking all courses at off-campus locations (including distance learning courses) outside of Fayette County and its contiguous counties may have mandatory fees waived. However, if a student who is eligible for this waiver wants to participate in any activity supported by these fees, the student must pay the total mandatory fees. Pursuant to the Memorandum of Agreement with the Kentucky Community and Technical College System, students enrolled at the Bluegrass Community and Technical College have the opportunity to selectively choose services from the list of mandatory fees.

² The full-time rate is charged to undergraduate students enrolled for 12 credit hours or more; graduate and professional doctoral students enrolled for 9 credit hours or more; and law students enrolled for 10 credit hours or more.

³ Students enrolled part-time are charged on a per-credit hour basis. Students considered full-time for financial aid and reporting purposes, but with less than full-time credit hours, (i.e., graduate students in residency status) will be assessed on a per-credit hour basis.

⁴ Lower-division undergraduate students (freshmen and sophomores) enrolled in the UK College of Engineering Extended Campus Program -- Paducah are assessed tuition and fees based upon the West Kentucky Community and Technical College's tuition and fee rates. Upper-division undergraduate students (juniors and seniors) are assessed the applicable UK rates. Students enrolled in this program and residing in select contiguous counties in Illinois (Massac, Alexander and Pulaski) are also eligible for the applicable Kentucky resident rates.

⁵ Half-time tuition and fee rates for 2015-16 of \$16,256.00 for resident students and \$32,448.00 for non-resident students are established for those dental students who have been approved by the Dean of the College of Dentistry to have a reduced curriculum load.

⁶ Half-time tuition and fee rates for 2015-16 of \$13,918.00 for resident students and \$24,708.00 for non-resident students are established for those pharmacy students who have been approved by the Dean of the College of Pharmacy to have a reduced curriculum load.

University of Kentucky
Recommended 2015-16 Tuition & Mandatory Fees Rates^{1,8}

NOTES:

⁷ The College of Medicine tuition and mandatory fee rates are 'locked in' for each entering class cohort. The rates do not change while students are enrolled in the program. Reduced curriculum tuition and fee rates for 2015-16 of \$18,677.00 for resident students and \$33,643.00 for non-resident students is established for those medical students who have been approved by the College of Medicine Student Progress and Promotion Committee to have a reduced curriculum load.

⁸ Students enrolled in only online distance learning courses during the fall and spring semesters will be charged the Kentucky resident rate regardless of residency status. Students enrolled in undergraduate online distance learning courses during intersessions (e.g. summer and winter terms) will be charged based on their residency status.

⁹ The UK-UofL Joint Executive Master in Business Administration rate will be assessed to resident and non-resident students and reflects the total price of the program including tuition, mandatory fees, and the program fee. The rate will be apportioned to each institution.

¹⁰ The "Master, Professional" tuition and mandatory fee rates are assessed to students enrolled in the following programs:
Master of Arts in Diplomacy and International Commerce
Master of Art Teaching World Languages
Master of Art Teaching English as a Second Language

¹¹ The "Master, Professional Health" tuition and mandatory fee rates are assessed to students enrolled in the following programs:
Master of Science in Health Physics
Master of Science in Physician Assistant Studies
Master of Science in Radiological Medical Physics

¹² Unless otherwise publicized, students enrolled in annual programs will be assessed the program's tuition and mandatory fees semi-annually for all terms within the same academic year. Rates are effective as of July.

¹³ The "Professional Practice Doctoral" tuition and mandatory fee rates are assessed to students enrolled in applicable programs in the following colleges:
Health Sciences
Nursing
Public Health

University of Kentucky
Recommended Mandatory Student Fees

	Full-Time Rates Per Semester				Part-Time Per Credit Hour Rates			
	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>	<u>2014-15</u>	<u>2015-16</u>	<u>Dollar Change</u>	<u>Percent Change</u>
Student Activities	\$16.25	\$16.25		0.0%	n/c	n/c	n/c	n/c
Athletics	\$19.00	\$19.00		0.0%	n/c	n/c	n/c	n/c
Student Government Association	\$11.00	\$11.00		0.0%	n/c	n/c	n/c	n/c
Student Health	\$175.00	\$175.00		0.0%	n/c	n/c	n/c	n/c
WRFL Student Radio	\$5.00	\$5.00		0.0%	n/c	n/c	n/c	n/c
International Study Abroad	\$6.75	\$6.75		0.0%	n/c	n/c	n/c	n/c
Environmental Stewardship	\$3.50	\$3.50		0.0%	n/c	n/c	n/c	n/c
Kentucky Kernel	\$1.00	\$1.00		0.0%	n/c	n/c	n/c	n/c
Johnson Center	\$74.00	\$77.00	\$3.00	4.1%	\$7.10	\$7.70	\$0.60	8.5%
Technology	\$99.00	\$99.00		0.0%	\$9.90	\$9.90	\$0.00	0.0%
Student Center	\$125.25	\$125.25		0.0%	\$14.50	\$12.50	(\$2.00)	-13.8%
Student Center Renovation	\$30.00	\$45.00	\$15.00	50.0%	\$3.00	\$4.50	\$1.50	50.0%
Student Involvement	\$27.25	\$27.25		0.0%	\$2.50	\$2.60	\$0.10	4.0%
Student Services	\$13.50	\$13.50		0.0%	\$1.50	\$1.30	(\$0.20)	-13.3%
Total	\$606.50	\$624.50	\$18.00	3.0%	\$38.50	\$38.50	\$0.00	0.0%
<hr/>								
Mandatory Fees for annual programs (Include annualized Student Health Fee)	\$1,388.00	\$1,424.00	\$36.00	2.6%				

FCR 7

Office of the President
March 16, 2015

Members, Board of Trustees:

PROPOSED 2015-16 ROOM RATES
FOR UNIVERSITY-OWNED HOUSING

Recommendation: that the Board of Trustees approve the attached 2015-16 room rates for university-owned housing.

Background: The recommended 2015-16 university-owned housing rates are presented on the following pages. The housing and dining rates established by the University's private housing and dining partners also are presented on the following pages. These rates are in accordance with the agreements with the University's private partners.

The recommended rates for university-owned housing are necessary to generate sufficient revenue to cover anticipated operating costs. The proposed 2015-16 housing rates primarily reflect a three percent increase for traditional double and single room residence halls, premium residence halls, graduate and family apartments, and Greek housing.

The 2015-16 rates for residence hall units constructed and managed by the University's private partner are in compliance with the lease agreements. The rates for the four-person suites will be the same for those owned by the University and those constructed by the University's private partner. Three residence halls scheduled to open in August 2015, Woodland Glen III, IV and V, are currently under construction by the private partner and are expected to be online August 2015.

The 2015-16 dining rates reflect a 3.5 percent increase for the minimum seven meals per week plan, a 2.4 percent increase for the comfort seven-day unlimited plan, no change in the rate for the 10 meals per week plan, and a 3.5 to 3.6 percent increase for all other dining plans. All students living in residence halls are required to purchase a dining plan.

Action taken: Approved Disapproved Other

**UNIVERSITY OF KENTUCKY
ROOM AND BOARD RATES**

HOUSING ¹

**Residence Halls and Greek Housing
Per Semester, Fall and Spring**

		2014-15	2015-16	% Change
<i>UK Traditional Residence Halls (Kirwan Blanding Complex)</i>				
	Double	\$2,323	\$2,393	3.0%
	Single	\$2,823	\$2,908	3.0%
<i>UK Greek</i>				
	Double	\$2,392	\$2,464	3.0%
	Single	\$3,588	\$3,696	3.0%
<i>4-Person Suite (UK Premium and P3 Type A Units)</i>				
	Per Person	\$3,425	\$3,528	3.0%
<i>2-Bedroom Suite (P3 Type B Units)</i>				
	Per Person	\$3,904	\$4,021	3.0%
<i>4-Bedroom Suite (P3 Type C Units)</i>				
	Per Person	\$4,216	\$4,342	3.0%
<i>Additional Housing Fees (UK Smith, UK Kirwan II, and P3 Woodland Glen I) ²</i>				
		\$72	\$74	2.8%
<i>Early Move-in Daily Rate</i>				
		\$20	\$21	5.0%

NOTES:

1. University-owned housing is prefixed with 'UK'. Housing constructed and managed as part of the public-private partnership is prefixed with 'P3'.
2. UK Smith, UK Kirwan II, and P3 Woodland Glen I are available during all academic recesses of the University (August 21, 2015 to May 10, 2016) to accommodate students who require housing during these periods.

UK Summer School Housing

		2015	2016	% Change
<i>4-Week Session</i>				
	Double	\$721	\$742	2.9%
	Single	\$891	\$918	3.0%
<i>6-Week Session</i>				
	Double	\$1,082	\$1,114	3.0%
	Single	\$1,337	\$1,377	3.0%
<i>8-Week Session</i>				
	Double	\$1,442	\$1,485	3.0%
	Single	\$1,786	\$1,840	3.0%
<i>Daily Rate</i>				
		\$20	\$21	5.0%

**UNIVERSITY OF KENTUCKY
ROOM AND BOARD RATES**

HOUSING

**UK Apartment Housing
Per Month, Effective July 1**

	2014-15	2015-16	% Change
<i>Greg Page Stadium View Family Apartments</i>			
2-Bedroom	\$670	\$690	3.0%
<i>Shawneetown</i>			
Efficiency	\$520	\$535	2.9%
1-Bedroom	\$621	\$639	2.9%
2-Bedroom	\$670	\$690	3.0%
<i>Commonwealth Village</i>			
Efficiency	\$520	\$535	3.0%
1-Bedroom	\$621	\$639	2.9%
<i>Rose Lane</i>			
Efficiency	\$520	\$535	2.9%
<i>German House</i>			
Single Room	\$610	\$628	3.0%
1-Bedroom	\$700	\$721	3.0%
<i>Lexington Theological Seminary</i>			
<u>625 S. Limestone</u>			
Efficiency	\$432	\$470	8.8%
1-Bedroom	\$607	\$625	3.0%
2-Bedroom	\$710	\$731	3.0%
<u>633 Maxwellton Court</u>			
Townhome	\$906	\$933	3.0%

**UNIVERSITY OF KENTUCKY
ROOM AND BOARD RATES**

DINING

Dining will offer six dining plans to students living on-campus during 2015-16. These dining plans provide from seven meals per week to unlimited servings per week. One block plan of 100 meals per semester is available only for resident assistants (RA's).

Each dining plan also includes 'Flex Dollars.' Flex dollars can be used to purchase a la carte items at any of the more than 20 dining locations across campus. Flex dollars may be carried over from the fall to spring semester.

Per Semester, Fall and Spring

	2014-15			2015-16			% Change
	Avg. Meals Per Week or Per Semester (Block)	Flex Dollars	Rate	Avg. Meals Per week or Per Semester (Block)	Flex Dollars	Rate	
Minimum Plan	7 Per Week	\$200	\$1,325	7 Per Week	\$200	\$1,371	3.5%
Optional Plans	10 Per Week	\$300	\$1,550	10 Per Week	\$300	\$1,550	0.0%
	14 Per Week	\$300	\$1,800	14 Per Week	\$300	\$1,865	3.6%
	Champion Plan Block 85	\$700	\$1,550	Champion Plan Block 85	\$725	\$1,600	3.2%
	Comfort 7 Day Unlimited	\$300	\$2,100	Comfort 7 Day Unlimited	\$300	\$2,150	2.4%
	Block 100 RA	\$300	\$1,000	Block 100 RA	\$300	\$1,035	3.5%

FCR 8

Office of the President
March 16, 2015

Members, Board of Trustees:

2014-15 BUDGET REVISIONS

Recommendation: that the Board of Trustees authorize and approve the following revisions to the 2014-15 budget. The budget revisions outlined below will increase the University of Kentucky's total budget by \$3,833,200 – from \$3,012,831,900 to \$3,016,665,100.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Tuition and Fees			
Fees			
Mandatory Registration Fees			
Technology Fee	\$ 4,750,000	\$ 4,930,000	\$ 180,000
Other Student Fees	18,941,100	19,081,100	140,000
Sales and Services			
Departmental Sales and Services			
Other	18,920,400	18,907,200	(13,200)
Appropriated Fund Balances	175,770,800	195,875,200	20,104,400
Net Transfers	11,700,100	11,918,100	<u>218,000</u>
			\$ 20,629,200
2. Expenditures			
Provost			
College of Agriculture, Food and Environment			
Food Connection	\$ -	\$ 250,000	\$ 250,000
Student Aid – Central			
Other Student Aid	4,763,700	4,731,700	(32,000)
University of Kentucky			
Analytics and Technologies			
Administration	417,200	404,000	(13,200)
Distance Learning	838,900	978,900	140,000
Enterprise Computing Services	16,215,100	16,395,100	180,000
University – Wide			
Operating and Capital Projects	133,382,600	153,487,000	<u>20,104,400</u>
			\$ 20,629,200

A. GENERAL FUND (continued).

3. Comments – The student tuition and fees budget will increase by \$320,000 from recognizing an additional \$180,000 from the mandatory fees (technology fee) and \$140,000 from other student fees (distance learning fee). These budget revisions are due to increased enrollment. Funds will be used to support programs in enterprise computing services and distance learning.

Departmental sales and services will decrease by \$13,200 due to a reduction in the Kentucky Virtual Learning hosting fees contract with the Council on Postsecondary Education. Program expenses will be reduced accordingly.

The fiscal year 2014-15 Operating Budget approved by the Board on June 10, 2014 included a projected General Fund fund balance of \$154,665,600. The actual fund balance as of June 30, 2014 was \$20,104,400 more than expected. These non-recurring funds were generated or saved over multiple years and are available for expenditure. The funds have been committed for various program expenses and capital projects including expenses encumbered in the prior year but not yet paid. Additional expenditure authority is requested to fully recognize these available funds. The majority of the funds will be returned to the colleges and departments in accordance with previously approved expenditure plans.

The \$218,000 increase in net transfers is due to a combination of:

- \$250,000 from Aramark Holdings Corporation (Aramark) will be used to support the Food Connection program. This new and unique university-private-community partnership program will be administered by the College of Agriculture, Food and Environment. The program will support the University's land grant mission through interdisciplinary and innovative instruction, high-impact service and outreach, and cutting edge research on foods and food systems.
- A decrease of \$32,000 related to the establishment of the University's public-private partnership for dining and catering operations. Since these funds supported student financial aid, other funds were redirected to cover the program expenses.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>AUXILIARY FUND</u>			
1. Income Estimates			
Sales and Services			
Departmental Sales and Services			
Dining Operations	\$ 24,537,700	\$ 5,415,500	\$ (19,122,200)
Housing Operations	21,781,100	25,837,800	4,056,700
Other	9,284,900	7,684,100	(1,600,800)
Appropriated Fund Balances	6,009,000	6,070,600	61,600
Net Transfers	21,505,000	21,313,700	<u>(191,300)</u>
			\$ (16,796,000)

2. Expenditures			
Provost			
Student Affairs			
Administration	\$ 3,600	\$ 546,600	\$ 543,000
Dining Services-Catering	1,539,200	0	(1,539,200)
Dining Services – Operations	24,095,400	275,000	(23,820,400)
Executive Vice President for Finance and Administration			
Auxiliary Services			
Housing Operations	11,818,700	15,875,400	4,056,700
Food Service Operations	0	3,963,900	<u>3,963,900</u>
			\$ (16,796,000)

3. Comments – The budgets for Departmental Sales and Services for Dining Operations, Other Operations, Appropriated Fund Balances, and Net Transfers will decrease by \$20,852,700, reflecting the implementation of the University’s public-private partnership for dining and catering operations.

The additional \$4,056,700 of housing operations revenue is due to rental income from the University Trails apartments and lease income from the University’s public-private partnership for housing. These funds will be used to support the University’s housing operations.

Action taken: Approved Disapproved Other _____

FCR 10

Office of the President
March 16, 2015

Members, Board of Trustees:

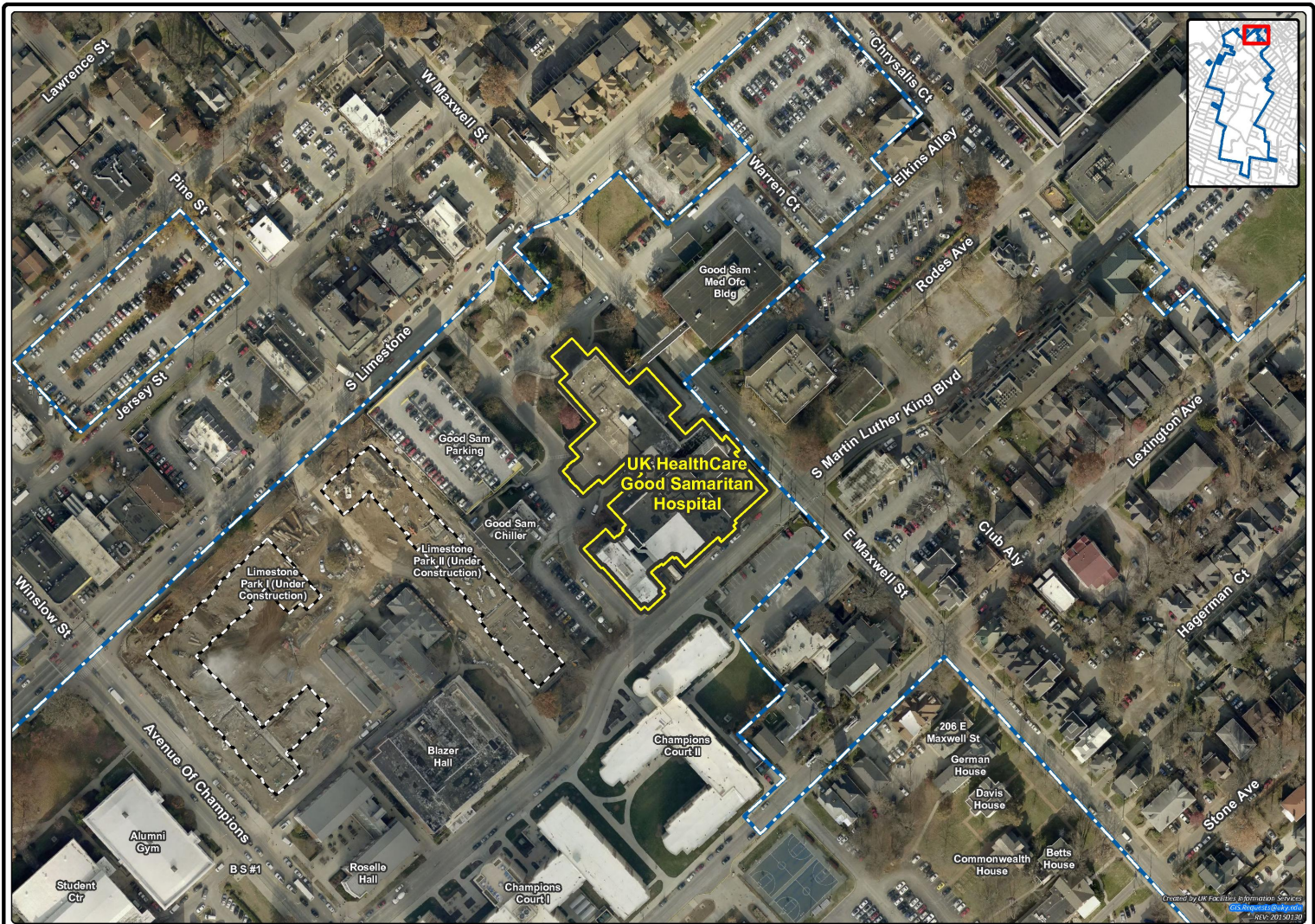
DESIGN/RENOVATE/UPGRADE HOSPITAL FACILITIES -
GOOD SAMARITAN CAPITAL PROJECT

Recommendation: that the Board of Trustees approve the initiation of the construction phase of the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan Capital Project.

Background: In December 2013, the Board of Trustees approved the initiation of the design phase for the Design/Renovate/Upgrade Hospital Facilities – Good Samaritan capital project with a scope of \$600,000 to be funded from agency funds. Currently, the Good Samaritan Emergency Department is lacking in the number of private treatment rooms necessary to provide superior patient care privacy, and meet the needs of psychiatric patients. The construction phase of this project will renovate approximately 12,090 square feet of the Good Samaritan Emergency Department including space dedicated to patient care such as private patient rooms, expanded service capabilities and improved staff support space. The project also will expand the patient and family waiting area by approximately 1,250 square feet.

The scope of this project is the legislatively authorized amount of \$10,000,000, which includes the previously approved design phase and will be funded with agency funds.

Action taken: Approved Disapproved Other _____



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 100 University Parkway
 Lexington, KY 40506
 REV: 20150130



FCCRR 1100 -- RDESIGN/REENNOOVVAATTEE//
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FCR 11

Office of the President
March 16, 2015

Members, Board of Trustees:

RENOVATE/UPGRADE UK HEALTHCARE FACILITIES (PHASE I-G) CAPITAL PROJECT

Recommendation: that the Board of Trustees approve the initiation of the Renovate/Upgrade UK HealthCare Facilities (Phase I-G) Capital Project.

Background: The Albert B. Chandler Hospital Facilities Development Plan provides for the systematic replacement and renovation of patient care facilities. The Renovate/Upgrade UK HealthCare Facilities Capital Project, designated as Phase I-G of the Development Plan continues the fit-out of surgical services and other space within Pavilion A.

Since the opening of the first two patient care floors in Pavilion A of the new Patient Care Facility in May 2011, UK HealthCare (UKHC) has continued to experience strong patient demand for the delivery of its hospital system services. This volume increase has placed substantial capacity and throughput constraints on hospital system operations, requiring continued fit-out of Pavilion A to ensure the availability of adequate facilities for patient care. The 8th floor of Pavilion A opened in December 2014 and the fit-out of the 9th and 10th floor will commence soon with completion expected in the summer of 2016.

The demand for surgical services has grown progressively since Pavilion A opened. The construction of additional operating rooms and support areas is needed to improve patient throughput and staff efficiency.

Also, the increased number of patient beds in Pavilion A (320 additional beds with the opening of the 10th floor) make it logistically unreasonable to transport patients to Pavilion H or HA for inpatient diagnostic services. Relocating these functions to Pavilion A will improve patient satisfaction and increase efficiency.

To address these concerns, Phase I-G of the A.B. Chandler Hospital Facilities Development Plan includes the following enhancements to Pavilion A:

- Fit-up of one additional patient floor (11)
- Relocation of the existing Blood Bank to Pavilion A
- Expansion and relocation of Radiology to Pavilion A
- Continuation of fit-out of new operating rooms

This phase of the project has an estimated scope of \$75,000,000, well within the total legislative authorization of \$130,000,000, and will be financed with agency funds. Contingent upon Board approval, the University will request that the Capital Projects and Bond Oversight Committee merge the \$130,000,000 legislative project authorization titled Renovate/Upgrade UK HealthCare Facilities 2 and the previously approved \$150,000,000 authorized project titled Renovate/Upgrade HealthCare Facilities for a combined \$280,000,000 legislatively authorized scope for the 2014-16 biennium. The combination of the two phases creates a more cost effective and efficient development approach to the continued fit-up of the building.

Implementation of the Albert B. Chandler Hospital Development Master Plan

- At its December 2004 meeting, the Board approved initiation of a major initiative to replace the original 1959 hospital structure. The project would be completed in multiple phases over an estimated five-year period.
- At its October 2005 meeting, the Board approved the consolidation of the Patient Care Facility project (Phase I-A) with several authorized infrastructure projects to bring the aggregate authorized scope to \$450,000,000.
- At its September 2007 meeting, the Board approved adding two shelled patient bed floors to the project.
- At its September 2008 meeting, the Board approved the consolidation of related legislative authorizations and increased the scope of the Phase I-A project to \$532,300,000.
- At its June 2010 meeting, the Board approved the initiation of the Operating Room Suite and Related Support Space project (Phase I-B) increasing the scope of the total project to \$570,000,000.
- At its February 2011 meeting, the Board approved the initiation of the Data Center and Related Support Space project (Phase I-C) increasing the scope of the total project to \$575,600,000.
- At its June 2013 meeting, the Board approved the initiation of the Fit-up of the Eighth Floor and Pharmacy project (Phase I-D) increasing the scope of the total project to \$607,100,000.
- At its September 2013 meeting, the Board approved the planning and design of the Fit-up of the Clinical Decision Unit project increasing the total project scope by \$500,000 to \$607,600,000.
- At its January 2014 meeting, the Board approved the initiation of the Fit-up of the Clinical Decision Unit project (Phase I-E) increasing the existing scope of the total project to \$613,100,000.
- At its June 2014 meeting, the Board approved the Renovate/Upgrade UK HealthCare Facilities project (Phase I-F) including the fit-out of patient floors 9 and 10; replacement of the kitchen and cafeteria; replacement and relocation of the Hyperbaric Chamber to Pavilion A; relocation of the Magnetic Resonance Imaging to Pavilion A; installation of remaining elevators; Central Chiller Plant addition; and relocation of the Neonatal Intensive Care Unit (NICU) from Pavilion H to Pavilion HA. As a result, the total approved project scope of the Patient Care Facility: increased by \$150,000,000 to \$763,100,000.

Action taken: Approved Disapproved Other _____



FCR 11 - RENOVATE/UPGRADE UK HEALTHCARE FACILITIES (PHASE I-G)



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FCR 12

Office of the President
March 16, 2015

Members, Board of Trustees:

RENOVATE OLD SOFTBALL/SOCCER LOCKER ROOM CAPITAL PROJECT

Recommendation: that the Board of Trustees approve an increase in scope from \$1,500,000 to \$2,200,000 for the Renovate Old Softball/Soccer Locker Room Capital Project.

Background: In June 2014, the Board of Trustees approved the initiation of the Renovate Old Softball/Soccer Locker Room Capital Project with a scope of \$1,500,000 to be funded with private funds. The project will renovate space in the old soccer/softball building to create new office and administrative space for the coaches and staff of the men's and women's soccer and the women's softball teams. As a result, the student-athletes will have access to their coaches and coaching staff in adjacent facilities. The vacated space in Commonwealth Stadium will house event management, facility operations and sports turf personnel to provide a more cohesive and efficient work environment for those units.

Actual bids exceeded the design consultant's original estimate requiring a scope increase to \$2,200,000. The \$700,000 increase will be funded with private funds in the Department of Intercollegiate Athletics. Pending Board approval, the scope increase will be reported to the Capital Projects and Bond Oversight Committee.

Action taken: Approved Disapproved Other _____



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FCR 12 - RENOVATE OLD SOFTBALL/SOCCER LOCKER ROOM CAPITAL PROJECT



FCR 13

Office of the President
March 16, 2015

Members, Board of Trustees:

REPAIR/UPGRADE/IMPROVE CIVIL/SITE INFRASTRUCTURE CAPITAL PROJECT
(SOUTH CAMPUS PARKING LOT EXPANSION)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Civil/Site Infrastructure Capital Project (South Campus Parking Lot Expansion).

Background: In December 2013, the Board of Trustees authorized the Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot) capital project which created 411 spaces. This expansion to the recently constructed parking lot south of the E.S. Good Barn will create approximately 430 additional parking spaces. These additional spaces are needed to offset parking losses associated with the Commonwealth Stadium expansion and allow for parking along the University Drive transit corridor.

The \$1,800,000 project was authorized by the 2014 Session of the Kentucky General Assembly and will be financed with agency funds.

Action taken: Approved Disapproved Other _____



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**FCR 13 - REPAIR/UPGRADE/IMPROVE CIVIL/SITE INFRASTRUCTURE CAPITAL PROJECT
(SOUTH CAMPUS PARKING LOT EXPANSION)**



FCR 14

Office of the President
March 16, 2015

Members, Board of Trustees:

CONSTRUCT RESEARCH BUILDING CAPITAL PROJECT

Recommendation: that the Board of Trustees approve the initiation of the Construct Research Building Capital Project.

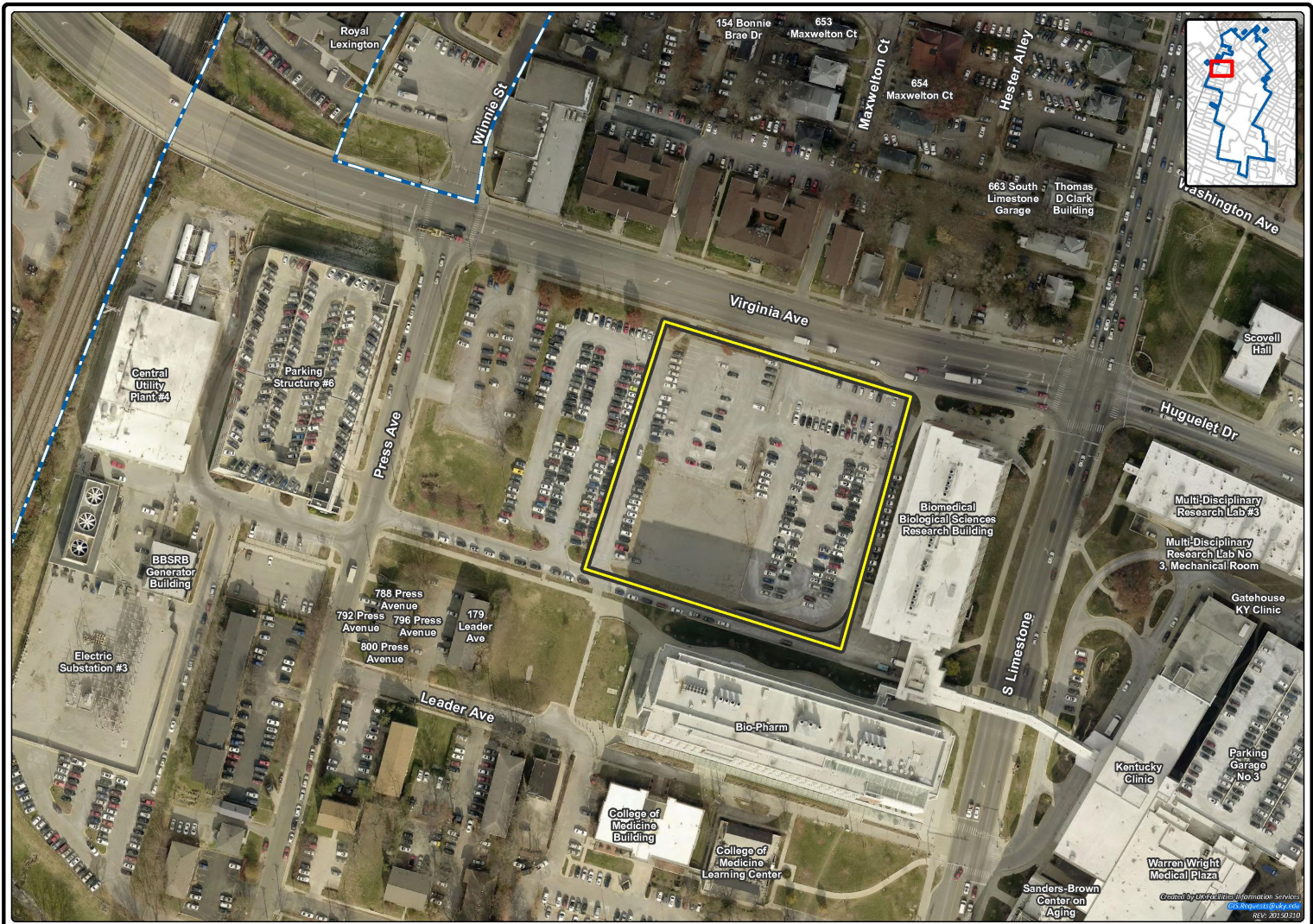
Background: This project will construct an approximately 300,000 gross square foot multi-disciplinary research building to meet the current and future needs of world-class researchers. This state-of-the-art facility will attract and retain researchers who successfully compete for externally funded research. The building design will be flexible and open to provide modern space for multi-disciplinary research involving programs such as medicine, nursing, health sciences, pharmacy, psychology, agriculture, biology, chemistry and engineering.

The flexibility of the labs will be carried into the office environment, allowing the occupants to easily modify the space to fit their changing needs. The planning and design process will follow those used for the Biomedical Biological Sciences Research Building (BBSRB) and the Biological-Pharmaceutical Building (Pharmacy Building), exemplary examples of flexible open lab design. The programming for the new research building includes:

- a) six levels of flexible, open, wet lab space to accommodate a variety of disciplines;
- b) flexible lab/office space;
- c) space for imaging equipment on the basement level;
- d) interior connections between the new research building, BBSRB and the Pharmacy Building;
- e) central service dock for the new research building, BBSRB and the Pharmacy Building; and
- f) shelled space for future fit-up opportunities.

The \$265,000,000 project, authorized by the 2015 Kentucky General Assembly, will be completed in phases and funded with \$132,500,000 of state bonds and \$132,500,000 from a combination of various institutional sources including federal, private and agency funds and an internal loan. Any internal loan will be executed in compliance with the University's debt policy and approved by the University's Debt Committee.

Action taken: Approved Disapproved Other _____



FCR 14 - CONSTRUCT RESEARCH BUILDING CAPITAL PROJECT



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 03/20/2015
 REV: 20150310

FCR 16

Office of the President
March 16, 2015

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period October 1 - December 31, 2014.

Background: At its March 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENTS
FOR THE PERIOD October 1 – December 31, 2014

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 14/527/827**
Filed: October 30, 2014
Title: High-Resolution Absorption Imaging Using Annihilation Radiation from an External Positron Source
Inventor: Michael Kovash (Physics and Astronomy)
Technical Description: This invention relates generally to the fields of medical and industrial imaging and, more particularly, to an apparatus used to measure high-resolution absorption images of a sample and to a method of using the same.
Summary: X-ray projections are the most widely used medical imaging technology, being used to diagnose and treat medical conditions in children and adults. This invention discloses a high-resolution sample imaging apparatus provided for generating a 2-D and/or 3-D image of an object of interest. The apparatus may be broadly described as comprising (a) a positron source emitting oppositely-directed annihilation radiation parts, (b) an array of gamma-ray tagging detectors, (c) an array of gamma-ray absorption detectors, (d) a sample to be imaged, and (e) a controller in the form of a computing device. This invention also discloses a method for generating an image using directed energy lateral tomographic analysis (DELTA) by (1) positioning a sample to be imaged between a positron source and an array of gamma-ray absorption detectors, (2) directing one member of an annihilation radiation pair from the positron source toward the array of gamma-ray tagging detectors and the other toward an array of gamma-ray absorption detectors, (3) detecting the arrival time, position and energy of the tagging member of the annihilation pair at the array of gamma-ray tagging detectors, and (4) detecting the arrival time, position and energy of the absorption member of the annihilation pair at the array of gamma-ray tagging detectors, if it transits the absorbing sample.

Patent Activities

Fiscal year to date as of December 31, 2014

Number of Patent Applications	3
Number of Patents Issued	16
Patent Gross Revenue	\$761,669.43