

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
June 9, 2009
18th Floor Patterson Office Tower**

Roll Call

Approval of Minutes - (Consent)

Minutes – April 21, 2009

President's Report and Action Items

- PR 1 President's Report to the Trustees
- 2006-09 Strategic Plan Progress Report – Kumble Subbaswamy
- PR 2 Personnel Actions (Consent)
- PR 3 2009-2014 Strategic Plan
- PR 4 Appointment of Dean of the College of Arts and Sciences
- PR 5 Appointment of Dean of the College of Communications and Information Studies
- PR 6 Appointment of Dean of the College of Education
- PR 7 Appointment/Reappointment of Board of Directors University of Kentucky Mining Engineering Foundation, Inc.
- PR 8 Appointment to Board of Directors of the Fund for Advancement of Education and Research in the University of Kentucky Medical Center
- PR 9 Appointment/Reappointment of Board of Directors University of Kentucky Research Foundation

Academic Affairs Committee Report

- AACR 1 Creation of the Department of Gender and Women's Studies
- AACR 2 Academic Degree Recommendation (College of Health Sciences)
- AACR 3 Academic Degree Recommendation (College of Public Health)
- AACR 4 Academic Degree Recommendation (College of Public Health)
- AACR 5 Change in Degree – College of Agriculture
- AACR 6 Proposed Revision to Governing Regulation: University Organization – Chairs of Departments
- AACR 7 Candidates for Degrees — University System

Finance Committee Report

- FCR 1 Gifts and Pledges to the Animal Biosafety Level 2 Containment Facility (Consent)
- FCR 2 Ashland Inc. Gift (Consent)
- FCR 3 Dr. Paul Ashton Gift (Consent)
- FCR 4 Gifts to the Virginia T. Barrow Chair in Brain Disease Research (Consent)
- FCR 5 Gifts and Pledge to the Bale Boone Symposium in the Humanities Fund (Consent)
- FCR 6 Patricia J. Buser Fund Pledge (Consent)
- FCR 7 Thomas B. and Bettie T. Deen Gift (Consent)
- FCR 8 Elizabeth Dumez Gift (Consent)
- FCR 9 Edward C. Elliott, M.D. Gift(Consent)
- FCR 10 Gifts and Pledges to the Gaines Center Lafayette Seminar Endowment (Consent)
- FCR 11 Nicholas S. Hellmann, M.D. and Susan Desmond-Hellmann, M.D. Gift (Consent)
- FCR 12 Gifts and Pledges to the Kay Seeley Hoffman Research Endowment (Consent)
- FCR 13 John D. Johnson, M.D. Pledge (Consent)
- FCR 14 Kentucky Medical Services Foundation Gifts and Pledges to the Neurology Research Chair (Consent)
- FCR 15 Kentucky Medical Services Foundation Gifts and Pledges (Consent)
- FCR 16 The Kentucky Thoroughbred Owners and Breeders Association Pledge (Consent)
- FCR 17 Elizabeth Ritchey Yost Lea Estate Gift (Consent)
- FCR 18 G. Dan and Ginger Martin Gift and Pledge (Consent)
- FCR 19 Gifts and Pledges to the Alberto Mazzoleni, M.D. Professorship/Chair in Cardiology (Consent)

- FCR 20 Gifts to the College of Pharmacy Building Fund (Consent)
 FCR 21 Michael D. Rankin, M.D. Pledge (Consent)
 FCR 22 Dr. Ronald J. Saykaly Gift and Pledge (Consent)
 FCR 23 Dr. Ronald J. Saykaly Pledge for the Saykaly/Garbulinska Fund (Consent)
 FCR 24 Gifts to the Swift/ Longacre/Scaife Fellowship in Classics (Consent)
 FCR 25 Hildegard Rosa Shapiro Estate Gift (Consent)
 FCR 26 Gifts to the Mitzi Strode Ovarian Cancer Research Fund (Consent)
 FCR 27 Gifts and Pledges to the Dennis M. Tekrony Fund for Seed Science Research (Consent)
 FCR 28 Gifts and Pledges to Create the Byron Young, M.D. Research Endowment for Neurosurgery Residents (Consent)
 FCR 29 Allocation of Endowment Match and Research Capital Match Program Funds
 FCR 30 Martha B. Reynolds Estate Gift (Consent)
 FCR 31 Endowment Match Program Submission of Previously Accepted Gifts and Pledges
 FCR 32 2009-2010 Operating and Capital Budget
 FCR 33 Patient Care Facility Bond Reimbursement Resolution
 FCR 34 2010-2016 Capital Plan and 2010-2012 Capital Request
 FCR 35 Lease/Purchase of Medical Equipment
 FCR 36 Disposal of Personal Property
 FCR 37 Patent Assignment Report
 FCR 38 Capital Construction Report

Human Resources Committee

- HRCR 1 Proposed Amendment to Governing Regulations: Faculty Appointment, Promotion, and the Granting of Tenure

Student Affairs Committee Report

University Health Care Committee Report

- UHCCR 1 Approval for Administrative Regulation: Practice Plans for Health Science Colleges and University Health Services
 UHCCR 2 Approval of Annual Agreement between University of Kentucky and Kentucky Medical Services Foundation, Inc. for FY 2010

Investment Committee Report

Other Business

- Athletic Association Board of Directors Report – Dermontti Dawson
 Business Partnership Foundation Board Report – Sandy Patterson
 Ghuck Equine Research Foundation Board Report – Everett McCorvey
 The Fund for Advancement of Education and Research in the University of Kentucky Medical Center – Mira Ball
 The University of Kentucky Research Foundation Report – Phillip Patton
 University of Kentucky Mining Engineering Foundation Report – Ernie Yanarella

Resolutions

- James F. Hardymon
 W. Tyler Montell
 Phillip Patton
 JoEtta Y. Wickliffe

Adjourn

Office of the President
June 9, 2009

1. Nearly 6,000 Receive Degrees at UK's 142nd Commencement

More than 5,900 graduates were recognized at the University of Kentucky's 142nd Commencement ceremony on May 9 in Lexington's Rupp Arena. Those included the spring 2009 graduates as well as those who received their degrees in August and December 2008. Various recognition ceremonies for individual colleges and programs were scheduled as well. Each year one student is chosen to address the graduating class. Drew Trimble, a political science and communication major from Paintsville, Kentucky, was selected from a number of student applicants. William English Kirwan, the third chancellor of the University System of Maryland, presented the Commencement address.

2. UK Forms \$24 Million Carbon Research Group with Industry, Government

The UK Center for Applied Energy Research (CAER) has created a consortium with government agencies and electric utilities and their research organizations to seek cost-effective technologies to reduce and manage carbon dioxide emissions from coal-fired power plants. It is anticipated that Kentucky state government and the industrial partners will provide \$24 million over 10 years to support the research. On April 27, Kentucky Governor Steve Beshear and UK President Lee T. Todd, Jr. announced the consortium, which builds on ongoing carbon management research at CAER initiated by E.ON US in 2006. The consortium is designed to split the cost of research into large-scale carbon dioxide capture systems, which often has shown to be too expensive and risky for a single utility or government agency to undertake. By teaming the state, UK, several electricity-generating companies, and the utilities' national research organization, the consortium will share the costs and risks over the 10-year life of the project. The founding industry members of the consortium are E.ON US, Duke Energy, Kentucky Power Co., East Kentucky Power Cooperative, and the Electric Power Research Institute. Each member will contribute \$200,000 a year to the consortium. The Kentucky Department of Energy Development and Independence has shown its support with a one-to-one match up to \$1 million annually, as approved by the Kentucky General Assembly in the 2008 budget.

3. Three Students Named Beckman Scholars, Will Conduct Mentored Research

UK students Laura Crawford, Jessica Houtz, and Martha "Marti" Robinson have been named the 2009 Beckman Scholars, providing each of them with \$19,300 over 14 months to support their own scientific research projects under faculty mentorship. Crawford, a junior from Corbin majoring in agricultural biotechnology, is performing her project under the mentorship of Diane Snow, professor and researcher in the Spinal Cord

and Brain Injury Research Center. Houtz, a junior majoring in agricultural biotechnology from Lexington, will perform her research under the mentorship of professors Karyn Esser, in the Department of Physiology in the College of Medicine, and Bruce O'Hara, in the Department of Biology in the College of Arts and Sciences. Robinson, a sophomore from Knoxville, Tenn., majoring in biology, is performing her project under the mentorship of Robin Cooper, professor and researcher in the biology department in the College of Arts and Sciences.

4. UK Sports Teams Surpass NCAA Target for Academic Progress

The NCAA released its Academic Progress Rate report this spring, showing that all 22 of the University of Kentucky sports teams surpassed the NCAA target score and that 12 of the 22 squads exceeded the national average for public universities in their sports. The women's soccer team led the way for UK with 983 out of a possible 1,000 points, followed by women's swimming (981), men's basketball (979), women's outdoor track (977), gymnastics (977), and men's cross country (975). The marks are a four-year composite, covering the 2004-05, 2005-06, 2006-07, and 2007-08 school years, that measures academic eligibility and retention of student-athletes. The NCAA target score for each sport is 925. The 12 Wildcat teams that exceeded the national average among public universities in their sports included baseball (949), men's basketball (979), women's basketball (973), men's cross country (975), football (948), women's soccer (983), women's swimming (981), men's indoor track (967), women's indoor track (972), men's outdoor track (970), women's outdoor track (977), and rifle (967). From a department-wide standpoint, the current report can be considered UK's most successful in the five-year history of the APR.

5. Three UK Students Receive Fulbright Scholarships for Study Abroad

Three UK students will receive government-funded Fulbright Scholarships for graduate study and research abroad for the 2009-2010 academic year. UK's new Fulbright fellows are: Allison Harnish, a doctoral student studying anthropology and gender and women's studies, who will do research in Zambia; Ross Lovely, a College of Law and Patterson School for Diplomacy and International Commerce graduate, who will work on his Master of Laws degree in polar law in Iceland; and Aelega Schortman, a doctoral student in anthropology, who will do research in Honduras. The Fulbright Fellowship Program, funded by Congress, is a national grant competition for U.S. citizens or permanent residents to work and/or study abroad during the course of their studies or after graduation. Grants cover travel and living costs for the academic year and necessary tuition at overseas universities. The goal of the program is to increase understanding between the United States and foreign countries. Selection for any Fulbright Student Program emphasizes leadership potential, academic and/or professional excellence, and commitment to mutual understanding.

6. Two UK Alzheimer's Disease Researchers Ranked in the Top 100 Worldwide

UK researchers William Markesbery and Allan Butterfield have been ranked among the top 100 researchers in the world for the productivity and impact of their scientific study of Alzheimer's disease. The study ranking the researchers is published in the current issue of the *Journal of Alzheimer's Disease*. Markesbery, ranked 23rd on the list, is professor of pathology and neurology in the College of Medicine and director of the UK Sanders-Brown Center on Aging. Butterfield, ranked 39th on the list, is the UK Alumni Association Endowed Professor of Biological Chemistry and director of the UK Center of Membrane Sciences. Having two such researchers in the top-100 Alzheimer's researchers worldwide puts UK in the company of Columbia University, the University of California at San Francisco, and Johns Hopkins University.

7. UK Startup Officer Presents Plant-based Technology at BIO Convention

John Littleton, a UK pharmacy professor and chief scientific officer of Naprogenix Inc., presented his new plant-based technology at the BIO International Convention, "Heat, Fuel, Feed the World," in Atlanta last month. The invitation to present was one of only two dozen invitations extended from the editors at *Genetic Engineering*. Also attending BIO from UK were Commercialization & Economic Development Vice President Len Heller, Dean Harvey, Deb Weis, Taunya Phillips, and Tina Carpenter; Engineering Associate Dean Eric Grulke; Kentucky Tobacco Research and Development Center Director Maelor Davies; and representatives from UK startups Naprogenix, Scout Diagnostics, and Coldstream Laboratories Inc. UK was in the international exhibition under the umbrella of the Commonwealth of Kentucky and co-sponsored an invitation-only reception hosted by Governor Beshear. An estimated 20,000 biotech industry leaders attended the convention at the Georgia World Congress Center.

8. College of Design Develops Initiative on Energy Initiatives

In response to the green movement worldwide, the UK College of Design has developed an initiative focused on how design affects and can respond to issues associated with energy initiatives both locally and beyond. This program, Design + Energy Initiatives, and the college's response to some of those energy questions over the past year were the focus of a free public exhibit held May 8 at the LOT, in downtown Lexington. To properly study and respond to concerns surrounding energy initiatives the College of Design has entered into strategic partnerships with a number of energy researchers, providers, and manufacturers to launch their Design + Energy Initiatives program. Projects featured in the exhibit included the Henderson Project; Solar Decathlon; Project Aeolus; Urban Renewal Furniture Prototypes; and Fly Ash Furniture Prototypes.

9. Gaines Center Selects 12 Undergraduates for 2009-10, 2010-11 Academic Years

The Gaines Center for the Humanities has selected 12 outstanding undergraduates as new scholars for the university's Gaines Fellowship Program for the 2009-10 and 2010-11 academic years. Gaines Fellowships recognize students' outstanding academic performance, demonstrated ability to conduct independent research, interest in public issues, and desire to enhance understanding of the human condition through the humanities. Fellowships are awarded for the tenure of a student's junior and senior years, or for the last two years of a five-year program. The Gaines Fellowship carries a stipend of \$2,000 in a scholar's junior year and \$3,000 in the senior year. The 12 students selected as Gaines Scholars are as follows: Ben Barnes of Katherine, Australia, majoring in kinesiology; James Chapman of Hopkinsville, majoring in political science and international studies; Kit Donohue of Lexington, majoring in international studies and mathematics; Jason Grant of Lexington, majoring in English, linguistics, and French; Nazeeha Jawahir of Lexington, majoring in chemistry and philosophy; Bailey Johnson of Lexington, majoring in English; Rachel Keller of Harrodsburg, majoring in international economics, Spanish, and English; Christina Kuchle of Fort Mitchell, majoring in forestry and natural resource conservation management; Jonathan Laurel of Mason, Ohio, majoring in architecture; Raven Newberry of Paducah, majoring in geography; Katherine Reynolds of Bowling Green, majoring in history and anthropology; and Stephanie Straub of Florence, majoring in English and philosophy.

10. National Battery Manufacturing Research, Development Center to Be Established

The state, UK, and the University of Louisville are partnering with Argonne National Laboratory to establish a battery center that will be situated next to the Center for Applied Energy Research off Ironworks Pike. The partnership will develop a domestic supply of advanced battery technologies for transportation applications that will support U.S. energy independence, reduce greenhouse gases, and strengthen the economy. The center will benefit from the expertise of the CAER's Electrochemical Group, headed by Steve Lipka, the recent recipient of a \$1.2 million award from utility giant E.ON AG of Dusseldorf, Germany, to investigate innovative energy-storage technology ideas.

11. UK Symphony Orchestra's CD Wins Rave Review in *Fanfare*

UK Symphony Orchestra, conductor John Nardolillo, and featured guest performers the UK Women's Choir under the direction of Lori Hetzel, received great reviews for the CD *Epoch - An American Dance Symphony*, featuring the work of composer George Frederick McKay. The most recent review was in the March/April issue of *Fanfare* magazine. The full-page review by Ronald E. Grames compliments the UK Symphony Orchestra and Women's Choir saying, "The performance by Nardolillo and his presumably student orchestra is first-rate. If I had not known, I would have assumed both the orchestra and chorus to be professional." *Epoch* was recorded in 2007 at the Singletary Center for the Arts. The CD from the recording was released in September 2008 by the Naxos record label.

12. Gatton Team Tops National Powers in Supply Chain Competition

A student team from the Gatton College of Business and Economics took top honors recently at an undergraduate supply chain competition hosted by Michigan State University's Eli Broad College of Business. The two-member team of Matthew Choyce, a senior majoring in management, and Ryan Hayes, a senior majoring in decision science and information systems, took on students from 12 other universities across the country in the competition, which involved managing a complex global supply chain through 13 simulated weeks of decisions. Teams were measured on total revenue, order fulfillment, inventory turns, and a profit figure the Broad School calls 'supply chain contribution.' This first-place finish comes just a few months after a three-person team from Gatton, including Hayes, placed fourth nationally as a team in a *Wall Street Journal*-sponsored business quiz competition held at Ohio State's Fisher College of Business.

13. Student Wins Goldwater Scholarship, While Another Gets Honorable Mention

Junior Lesley Jaye Mann, of Calhoun, Kentucky, was named a 2009 Goldwater Scholar and UK sophomore Jenna Shapiro, of Versailles, Ky., received honorable mention recognition by the esteemed scholarship program. Mann was among 278 students nationwide awarded the prestigious Goldwater Scholarship this year. This year's Goldwater Scholars were selected on the basis of academic merit from a field of 1,097 mathematics, science and engineering students who were nominated by the faculties of colleges and universities nationwide. Mann, who is majoring in agricultural biotechnology, has been very involved in undergraduate research since arriving on campus her freshman year and currently is doing research as part of the UK Department of Plant Pathology in the College of Agriculture. Last year, Mann was named a Beckman Scholar here at UK. Mann is a member of the Honors Program and president of the Society for the Promotion of Undergraduate Research (SPUR), where she works to ensure other undergraduates obtain valuable research experience in their area of studies at the university. Shapiro is currently pursuing a chemical engineering major and is a member of both the UK Chapter of the Society of Women Engineers and Tau Beta Pi Engineering Honor Society. She does her undergraduate research at UK's Spinal Cord and Brain Injury Research Center and Department of Chemical and Materials Engineering.

14. KGS Hosts Two Lectures by Chinese Seismic Research Partners

Two researchers from China's Lanzhou Institute for Seismology presented lectures at the Kentucky Geological Survey on April 27. The institute director, Lanmin Wang, spoke about the effects of the major earthquake in China in 2008 on buildings and infrastructure; Zhijian Wu, who had worked as a visiting scholar at KGS in 2006, talked about his work on the problem of permafrost thawing under the rail bed of the Qinghai-Tibet Railroad. KGS has an ongoing earthquake research partnership with the institute.

15. UK Monoclonal Antibody Receives FDA Orphan Drug Status

Using a monoclonal antibody developed at UK, Tolera Therapeutics Inc. has been granted “orphan drug status” by the FDA for TOL101, which is designed to prevent acute rejection of organ transplants. TOL101 is a biologic protein that is designed to safely and specifically target T cells, components of the immune system which play a key role in the rejection, and ultimate failure, of transplanted organs. The monoclonal antibody used in the development of TOL101 was developed by Dr. John Thompson, director of research at the VA Hospital and former UK College of Medicine chair of internal medicine. Orphan drug status is given to drugs intended to treat rare diseases or conditions – those affecting fewer than 200,000 people annually in the U.S. – and will facilitate TOL101's entry into the clinic.

16. UK Formally Launches Chapter of Phi Kappa Phi Honor Society

UK's newly approved chapter of Phi Kappa Phi was formally welcomed into the national honor society during ceremonies at the Hilary J. Boone Center in late April. Founded in 1897 at the University of Maine, Phi Kappa Phi is the nation's oldest, largest, and most selective all-discipline honor society. Each year, approximately 30,000 students, faculty, professional staff, and alumni are initiated into the organization. Its chapters are on more than 300 campuses in the United States, Puerto Rico, and the Philippines. The program included the installation of the chapter and its initial officers. In addition, the ceremony inducted the inaugural UK members of the chapter: President Lee T. Todd Jr., as the first faculty member; Carl Nathe, with UK Public Relations and Marketing, the first staff member; and two undergraduate in-state students, Jenna Brashear, a rising senior from Beaver Dam, and James Tyler Chapman, a rising junior from Hopkinsville. F. Douglas Scutchfield, Peter P. Bosomworth Professor of Health Services Research and Policy, is the UK chapter's founding president.

17. Small Business Center Recognizes 10 High Performing Kentucky Businesses

The Kentucky Small Business Development Center inducted 10 businesses into the second class of Kentucky Pacesetters at the Capitol Rotunda in May. The Pacesetter Awards recognize Kentucky businesses that are changing the economic landscape of the Commonwealth by introducing innovative products, increasing sales and/or production, and boosting employment. In addition, all inductees meet the minimum qualifications of a second stage business, including minimum sales of \$500,000, six or more employees, and three or more years in business. This year's inductees are Bella Rose, Lexington; Celerity Automation, Paintsville; Chaney's Dairy Barn, Bowling Green; City Wide Maintenance of Cincinnati, Fort Wright; Digitech Services Inc., Glasgow; Light's Enterprises, Ashland; Comfort Keepers, Owensboro; T.E.M. Electric Co. Inc., Louisville & Lexington; Taylor's Concrete Cutting Services, LLC, Franklin; and The Combs Group – CBJ Machine & Hydraulics, Pineville. The center is part of UK's Office for Commercialization & Economic Development.

18. Gatton Launches Student-Run Online Research Journal

Students at the Gatton College of Business and Economics launched the *Gatton Student Research Publication (GSRP)*, an online research journal that highlights research conducted by Gatton undergraduate students, found at Gatton's Web site gatton.uky.edu. *GSRP* seeks to encourage undergraduate research at the Gatton College. Through a student-review process, *GSRP* creates a platform for undergraduate output to become highly visible to the university and to the community.

19. UK Students Take First, Second Places at 'Idea State U'

UK students fared very well in the recent statewide business concept and business plan competition, Idea State U, held at the Lexington Convention Center. UK's undergraduate concept team, "2nd Generation, High Altitude Wind Energy," took first place in its category, earning nearly \$2,500 in award money. Matt Dieruf, a veteran of the U.S. Air Force and a freshman electrical engineering major, and, Matthew Menard, a senior marketing and management major in the Gatton College of Business and Economics, combined on the project, which visualizes a company that would produce alternative energy and help Kentucky meet goals for using renewable resources and achieving other objectives. UK's graduate student team, "Mantra Indian Grill," was awarded second place in the business plan division, earning more than \$21,000 toward their future endeavors. The Mantra team was comprised of Matt Cooper, Michael Jones, and Sharath Vemuri, all first-year MBA students. The Mantra trio also won the graduate elevator pitch competition. In addition, Holly Hapke, a lecturer in marketing at the Gatton College, was recognized with a \$250 award as one of the top faculty advisers among all of the schools represented. The Idea State U competition is sponsored by the Kentucky Cabinet for Economic Development.

20. UK Libraries Collaborates on Web Site Offering Resources on Kentucky History

UK Libraries Special Collections and Digital Programs collaborated with Kentucky Virtual Library and the Kentucky Department of Education to create Kyleidoscope, a Web site that provides the state's K-12 educators and students convenient access to some of Kentucky's most unique resources that span history from 1750 to today. Kyleidoscope offers historical information organized by theme, instructional worksheets and historical details. The site provides a timeline of Kentucky's history divided into themes or eras and links to Web resources on those events.

21. UK Libraries, Tates Creek High School Present Video Exhibit of Artwork

UK Libraries and Tates Creek High School are presenting a first-ever video exhibition of artwork by high school students in the William T. Young Library. The free public exhibition showcasing local students' art opened May 11 and will be on display through June 12 in The Hub @ WT's. UK Libraries invited Tates Creek High School Art Department to exhibit student works this spring in The Hub's video windows, thus making the school the first Lexington high school to mount an art exhibition in Young

Library. The video representation features pencil drawings, chalk pastels, tempera paintings, India ink, and ceramics from approximately 150 students that will be on display as part of the exhibition. Students represented in the show take Art I, Drawing I and Beginning Ceramics classes with art instructors Janet Wozniak and Michael Holdren of Tates Creek High.

22. UK HealthCare, Lexington Legends Offer Baby-changing Stations at Ballpark

UK HealthCare is teaming up with the Lexington Legends for a second year to provide baby changing stations at Applebee's Park for the 2009 baseball season. The UK HealthCare-Lexington Legends matchup also includes a promotion known as "Lexington's Little Legend." This year's participant is Jack Andrew Turner, born at UK Chandler Hospital on the Legends' opening day, April 13, 2009. Baby Jack came into the world weighing 8-pounds, 0-ounces and was 21.5 inches long, to his parents, Andy and Lauralee Turner of Lexington. The Legends will also feature pictures of Jack on their jumbotron as he grows throughout the season. The UK Department of Obstetrics and Gynecology's partnership with the Lexington Legends is part of an effort to get the message out about the services the department provides.

23. Student Awards and Achievements

Eli Darby and Ryan Holman, Mechanical Engineering, have been accepted into the United States Navy Nuclear Propulsion Officer Program. These students were not only accepted into the nuclear program, they also were offered teaching positions at the Navy Nuclear Training Facility in Charleston, South Carolina.

Phillip Donald Keathley, Electrical and Computer Engineering, received a National Defense Science and Engineering Graduate Fellowship. The award from U.S. Department of Defense is for three years at the institution of his choice and covers full tuition plus a \$31,000 per year stipend. He is one of about 200 students nationwide to receive the fellowship and, based on historical data, only one of about 20 electrical engineers.

Regan Merkel and Christopher Schaefer, Law, took second place in the American College of Trial Lawyers (ACTL) National Trial Competition. The competition is the most prestigious law school trial competition in the nation with more than 300 teams and 1,000 students competing on behalf of approximately 150 law schools. In addition to the team's success as the second best trial program in the nation, Schaefer was awarded the George A. Spiegelberg Award. The Spiegelberg award is personally selected by fellows of the ACTL and given to the student who served as the best advocate in the nation. The Trial Team is coached by Professor Allison Connelly.

24. Faculty and Staff Awards and Achievements

Ron Atwood, Education Emeritus Faculty, received the Honorary Emeritus Member Award from the Association for Science Teacher Education (ASTE) for his years of service and commitment to teaching, research, and ASTE.

Craig N. Carter, Livestock Disease Diagnostic Center, was inducted as a Distinguished Scholar into the national Academy of Practice. Only 150 scientists serve in this capacity at any one time in the United States. Dr. Carter, who is a U.S. Army Reserve Colonel, was presented with a Joint Service Commendation medal for a combat deployment to Iraq in 2008 and received the Legion of Merit upon his retirement ceremony at Army Reserve Medical Command March 22.

Sylvia Daunert, Chemistry and Membrane Sciences, was recently honored with the 2009 Bill Barfield Award for Outstanding Contributions in Water Resources Research from the Kentucky Water Resources Research Institute. She also received UK's 2009 Albert and Elizabeth Kirwan Award.

Elizabeth Easter, Merchandising, Apparel and Textiles, received the George E. Mitchell Jr. Award for Outstanding Faculty Service to Graduate Students from Gamma Sigma Delta, UK Chapter.

Ronald D. Eller, History, was presented with the East Kentucky Leadership Foundation's annual "private individual" award. The honor recognizes individuals whose private service is dedicated to the betterment of the region. Former director of UK's Appalachian Center, Eller is the author of *Uneven Ground: Appalachia since 1945*, which was released last fall. Eller's book recently received the Appalachian Studies Association and Berea College's Weatherford Award.

Tom Guskey, Education Emeritus Faculty, was named to the American Education Research Association's second class of Fellows, one of the highest honors an educational researcher can receive in AERA. The program seeks to honor accomplished education researchers and show new scholars the importance of sustained research contributions.

Rick Honaker, Mining Engineering, was awarded the 2009 Distinguished Service Award from the Coal & Energy Division of the Society of Mining, Metallurgy and Exploration at the 2009 Annual Meeting in Denver, Colorado.

Reinette Jones and Rob Aken, UK Libraries, developed the Notable Kentucky African American database, which won the 2009 Gale Cengage Learning Award for Excellence in Reference and Adult Library Services. UK Libraries will receive the award and \$3,000, courtesy of the Cengage Gale Learning Company, at the July American Library Association awards ceremony in Chicago.

Everett McCorvey, UK Opera Theatre and Lexington Opera Society Endowed Chair in Opera Studies at UK School of Music, was presented with a Kentucky Star Award. The annual awards honor native Kentuckians or 10-year residents who have achieved significant artistic contributions throughout their lifetime. Winners of the Kentucky Star are honored with a bronze star shaped plaque with their name and signature that is embedded into the sidewalk in various locations in downtown Lexington.

Krish Muralidhar, Management, has been appointed to serve a three-year term on the Committee on Privacy and Confidentiality in Statistics of the American Statistical Association (ASA). The ASA is widely considered to be the nation's leading professional association for statistics and appointment to one of its committees is a mark of educational and professional excellence and prestige.

Hatim A. Omar, Adolescent Medicine, received the 2009 William E. Lyons Award from the UK Martin School of Public Policy and Administration. The Lyons Award, named in honor of the late William "Bill" E. Lyons, professor of political science and public administration, is presented annually to a member of the university

community who epitomizes the academic leadership and public service demonstrated by Lyons during his many years as a faculty member at UK.

Melynda J. Price, Law, organized the symposium “Imprisoned by the Past: The Enduring Role of Race in the American Death Penalty” while serving as a visiting professor at University of Texas. She joined the opening panel along with Kentucky Chief Justice Lambert, Steve McGonigle of the *Dallas Morning News*, and Liz Semel who runs the Capital Defense Clinic at Berkeley.

Robert G. Schwemm, Ashland Professor of Law, has been asked to write an article for the *Harvard Civil Rights-Civil Liberties Law Review* relating to discriminatory/predatory lending and the current economic crisis.

Pam Sigler, Family and Consumer Sciences Extension, received the 2009 Outstanding Extension Specialist award from the Kentucky Association of State Extension Professionals.

Carol Spence, Agricultural Communications Services, was awarded First Place for Best Use of Sound from the Kentucky Associated Press Broadcasters for her radio feature titled “Song of May: Periodical Cicadas Return.”

Laura Stephenson, Family and Consumer Sciences Extension, received a \$90,890 grant for investor education for college students. This project will target UK students and provide investment education via online coursework and classroom instruction and support.

Tammy Stephenson, Nutrition and Food Science, was named Outstanding Faculty Mentor for 2009 by the UK Office of eUreKa! and Society for the Promotion of Undergraduate Research.

Ann Vail, Human Environmental Sciences and assistant director of Family and Consumer Sciences Extension, was presented with the 2009 Visionary Leader Award by the Epsilon Sigma Phi Alpha Kappa Chapter.

Paul Warner, Program and Staff Development in the College of Agriculture, received the 2009 Southern Region Extension Directors Excellence in Leadership Award.

Youling L. Xiong, Animal and Food Sciences and Membrane Sciences, received the Thomas Poe Cooper Award in the College of Agriculture.

PR 2

Office of the President
June 9, 2009

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PR 3

Office of the President
June 9, 2009

Members, Board of Trustees:

2009-2014 STRATEGIC PLAN

Recommendation: that the Board of Trustees adopt the attached 2009-2014 Strategic Plan for the University of Kentucky and an amended version of the university's Mission, Vision, and Values Statement.

Background: At the direction of President Lee Todd, Provost Kumble Subbaswamy, the University Committee on Academic Planning and Priorities (UCAPP), and the Council of Deans began at the May 2008 Provost's Retreat to craft the 2009-2014 Strategic Plan for the University of Kentucky. Through a broad-based participatory process, the new Strategic Plan was developed further during the 2008-09 academic year. The university's Mission, Vision, and Values Statement was also reviewed and amended during this timeframe to align with the university's new Strategic Plan. In March 2009, the proposed Mission, Vision, and Values Statement and Strategic Plan were circulated among the university faculty and staff for comment and later refined accordingly by UCAPP, the Council of Deans, and the Provost.

The 2009-2014 Strategic Plan establishes five broad goals for the University of Kentucky as it continues its work toward Top 20 Status:

1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.
2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders.
3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals.
4. Promote Diversity and Inclusion.
5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.

Progress on the goals will be evaluated each year based on 32 metrics designed to serve as indicators of overall success.

Action taken: • Approved • Disapproved • Other _____



University of Kentucky Strategic Plan 2009–2014

MISSION

The University of Kentucky is a public, land grant university dedicated to improving people's lives through excellence in education, research and creative work, service and health care. As Kentucky's flagship institution, the University plays a critical leadership role by promoting diversity, inclusion, economic development and human well-being.

VISION

The University of Kentucky will be one of the nation's 20 best public research universities.

VALUES

The University of Kentucky is guided by its core values:

- Integrity
 - Excellence
 - Mutual Respect and Human Dignity
 - Diversity and Inclusion
 - Academic Freedom
 - Shared Governance
 - Work-life Sensitivity
 - Civic Engagement
 - Social Responsibility
-

Preamble

Strategic plans are opportunities for renewal and change.

The University of Kentucky's 2009-2014 Strategic Plan renews this institution's faithfulness to the original ideals that gave rise to America's land-grant universities. Read through this document is a ceaseless and undaunted commitment to the individual success of students; to research that both stretches the bounds of knowledge and provides practical solutions; and to the provision of extension services, health care, creative experiences, life-long learning, and countless other efforts to improve the lives of Kentuckians wherever they live and work and raise their families.

Standing at the threshold of a new decade full of change and promise, the University seeks again to translate its traditional mission into sensible answers to new realities. This institution constitutes the leading edge of Kentucky's efforts to improve the conditions of Kentucky's people and build a future that provides economic security and personal fulfillment. This Plan responds to that challenge by setting a path that is marked by ambitious goals across the areas that define the character of this institution and its responsibilities to the state it serves.

In 1997, the Commonwealth placed in statute the recognition that there is a strong symbiotic relationship between a state's condition and its intellectual capital. That capital is most readily available at land-grant, research universities. Strong

institutions matter because people in states that are home to leading research universities enjoy higher educational attainment and higher incomes, are less likely to live in poverty, and are more likely to lead healthier lives.

The unique role of this University in the lives of Kentucky's people and the lifeblood of Kentucky's communities summons the institution not to react to an uncertain future, but to master it. This challenge is welcomed with an uncompromising commitment to mission and mandate and firm devotion to improving conditions.

The 2006-2009 Strategic Plan guided the University toward substantial achievement even in difficult moments. But the new challenges of a new decade require not the seductive pride that allows rest from past accomplishment, but a constant energy and fierce determination to achieve even greater excellence.

At the direction of President Lee T. Todd, Jr. and under the leadership of Provost Kumble Subbaswamy, the Deans' Council and the University Committee on Academic Planning and Priorities led the crafting of this Strategic Plan for 2009-14.

The five goals of this Strategic Plan identify the principal areas of activity in which the talents and resources of the University will be invested over the next five years. Under each goal are several objectives, strategies, and metrics that make specific the intentions of the University of Kentucky.

Goal 1:

Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society

A university's chief responsibilities are to provide its students with knowledge about the human and natural worlds, train them to organize that knowledge, and teach them to express and apply that knowledge effectively. These pursuits carry with them civic and economic dimensions that are intertwined. Students will be challenged to become active members of their communities, welcoming different points of view and systems of belief while examining and refining their own. They must develop the skills they will need to become productive members of an increasingly educated work force. In a world where jobs and knowledge flow freely across economic sectors and national boundaries, the success of University of Kentucky graduates demands an education that prepares them to participate effectively in an increasingly interdependent global economy and society.

This University is among the handful of American higher education institutions that offer on one campus a full range of academic programs and colleges, including the full spectrum of health science colleges. It must take full advantage of connections across academic programs at all levels of study to encourage international experiences that broaden perspectives, emphasize interdisciplinary and inter-professional training, and inspire student partnerships with faculty to explore knowledge at the fluid borders of academic disciplines. The University must cultivate and celebrate success in the classroom, the laboratory, the performance stage or gallery, the extension office, and the clinic with equal vigor.

Objective 1.1 Enroll more high-ability students from all societal segments.

Strategy 1.1.1 Implement or enhance academic programs that target high ability students.

Strategy 1.1.2 Review, revise, and refine University and college-level merit- and need-based financial aid strategies to increase yield and enhance diversity.

Strategy 1.1.3 Enhance marketing and communication efforts statewide and in strategic out-of-state and international target areas.

Objective 1.2 Improve student success, with particular attention to attrition and time-to-degree.

Strategy 1.2.1 Increase faculty numbers to improve student to faculty ratio and academic program quality; establish an academic staffing model based on national best practices with an optimal mix of teaching assistants and full-time faculty, including clinicians and lecturers.

Strategy 1.2.2 Continue and expand current programs aimed at improving undergraduate student success in the first two years (where attrition is highest), and implement a rigorous and on-going assessment of program effectiveness across curricular and co-curricular programs.

Strategy 1.2.3 Expand efforts to monitor student progress toward degree completion and implement a robust set of intervention and support strategies.

Objective 1.3 Ensure that graduates at all levels are able to demonstrate expertise in their disciplines and are prepared to succeed in professional and community settings.

Strategy 1.3.1 Revise and implement a new undergraduate General Education Curriculum that addresses well-articulated learning outcomes.

Strategy 1.3.2 Expand opportunities for interdisciplinary and inter-professional learning and training; create appropriate space and facilities.

Strategy 1.3.3 Expand instructional development opportunities for innovative pedagogies that focus on active learning, effective use of technology, and assessment; implement research-based curricular enhancements to facilitate continuous improvement in student learning.

Strategy 1.3.4 Extend opportunities for high-impact academic and cultural activities for students at all levels; develop cohesion between the curricular, co-curricular, and community service activities, particularly with regard to leadership, internationalization, diversity, and inclusion.

Objective 1.4 Increase the number and quality of graduates at all levels to enhance the reputation of the University and address the critical needs of the Commonwealth and United States.

Strategy 1.4.1 Maximize access to University courses and programs through efficient and innovative use of space and technology.

Strategy 1.4.2 Expand academic and clinical space through relocation of ancillary functions and construction of new academic buildings.

Strategy 1.4.3 Provide training opportunities for graduate and professional students to serve the needs of the Commonwealth and beyond, through research, teaching, and clinical or professional expertise.

Strategy 1.4.4 Develop and implement new programs and strategies to increase student enrollment and diversity, including efforts related to transfer student, non-traditional student, and military veteran enrollment, as appropriate, and pipeline initiatives with middle and high school students and their teachers.

Strategy 1.4.5 Continue to enhance recruitment of majors in the Science, Technology, Engineering, and Mathematics (STEM) disciplines and provide professional development programs for P-12 math and science teachers in order to create more math and science capacity.

Goal 2:

Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders

As Kentucky's land-grant research university, the University of Kentucky pursues with equal vigor the dual purposes of research: the expansion of the body of knowledge and the translation of basic research into practical innovations for the people of Kentucky and those beyond the state's borders. All missions of the University are infused with and benefit from this dedication to the creation and application of new knowledge. While scholarship often has an immediate impact, experience teaches that the benefit of research and creative work is not always instant or predictable. This University must be Kentucky's most celebrated locale where creative work can be pursued purely for the advancement of knowledge and enlightenment. This is the true meaning of intellectual capital.

Research and creative activity in the 21st Century has been re-invented as a thoroughly interdisciplinary and collaborative pursuit, employing theoretical and clinical constructs, analytical tools, and laboratory techniques scarcely imaginable a few decades ago. UK has made significant advances in its research and creative activities in recent years and enthusiastically embraces the challenge of substantially increasing the volume and the quality of those efforts in the next decade.

Objective 2.1 Increase research and scholarly productivity.

Strategy 2.1.1 Reduce barriers to and facilitate interdisciplinary research and collaborative scholarship, including scholarly projects that encompass national and international partnerships.

Strategy 2.1.2 Target institutional investment to research areas of current strength and emerging importance (such as energy, environmental sustainability, clinical translational science and life sciences, combating social ills, world studies).

Strategy 2.1.3 Grow traditional sources of extramural research support and identify and cultivate new sources.

Objective 2.2 Expand research capacity

Strategy 2.2.1 Aggressively pursue private funding for construction and renovation of research space and seek legislative approval for UK bonding authority.

Strategy 2.2.2 Add faculty positions in targeted and emerging areas.

Strategy 2.2.3 Develop and implement effective campus-wide policies for allocation of existing space based on strategic need and scholarly productivity.

Strategy 2.2.4 Make better use of specialized equipment and resources at existing institutional, regional, and national facilities.

Strategy 2.2.5 Ensure that the level of administrative and support services are sufficient to meet the needs of a growing research enterprise.

Strategy 2.2.6 Aggressively pursue the location of a national research center at UK.

Objective 2.3 Enhance the impact and public awareness of the University's research and scholarship on the knowledge-based economy of Kentucky and the nation.

Strategy 2.3.1 Strengthen public relations programming to communicate more effectively the impact of research and scholarly accomplishments.

Strategy 2.3.2 Streamline and improve intellectual property development and technology transfer processes.

Strategy 2.3.3 Develop and institute an "innovation leave" program to facilitate faculty efforts to effectively commercialize their discoveries.

Strategy 2.3.4 Integrate research and teaching more fully by increasing research opportunities for students at all levels.

Strategy 2.3.5 Track over time improvements in the health of Kentuckians, the environment, literacy rates, cultural enrichment, agricultural productivity, and similar metrics.

Strategy 2.3.6 Track and publicize technology transfer and commercialization efforts (e.g. number of start-up companies, number of royalty-bearing licenses, and licensing income).

Goal 3:

Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals

A university is only as strong as the people who populate it and the tools – both physical and professional – they are given to work effectively. The strength of the University of Kentucky and its capacity to achieve the goal of Top 20 status is defined by the faculty and staff who give the institution its personality and its vibrancy.

The University is committed to recruiting and retaining a talented and committed cadre of faculty and staff. Professionals of exceptional ability and the capacity for growth should be the hallmark of every department and every unit, regardless of mission or portfolio.

The University's effort to attract talent will know no boundary, consistently reaching out to every sector of the mosaic that defines humanity. The University will welcome people of talent and commitment regardless of gender or race or background or belief to ensure that the embrace of diversity permeates every classroom, laboratory, and auxiliary facility.

The University is equally committed to providing every opportunity to its populace to make fuller each employee's personal and professional self and their capacity for contribution to the work of the institution. The University is dedicated to creating and sustaining a work environment that positions faculty and staff for success.

And the University will work diligently to provide to faculty, staff, and students the infrastructure necessary for individual and collective advance. Excellence in the provision of information technology, library resources, and facilities is central to University operations, recognizing that human talent flourishes most readily in facilities where the most effective tools exist. Of equal importance is the call to be an exemplar in the application of sustainability principles and practices and establish an institutional culture of sustainability.

Objective 3.1 Recruit faculty and professional staff with high potential for success at a Top 20 level research university.

Strategy 3.1.1 Ensure starting salaries and start-up support are nationally competitive.

Strategy 3.1.2 Assist chairs/directors and search committees in attracting the best candidates in the country for success in the recruitment of a high-caliber and diverse faculty and professional staff.

Strategy 3.1.3 Utilize endowed chairs and professorships strategically to recruit leadership level faculty and professional staff in targeted areas.

Objective 3.2 Enhance the success, retention, and advancement of all cadres of faculty and professional staff engaged in the varied missions of the University.

Strategy 3.2.1 Ensure that faculty and professional staff work allocation and advancement policies and practices, including those pertaining to compensation, are fair, transparent, and clearly communicated, and that they reflect best practices among top public research universities.

Strategy 3.2.2 Support, recognize, reward, and celebrate faculty and professional staff achievement in all areas.

Strategy 3.2.3 Design and implement a research-based program to improve career advancement support and opportunities for faculty and professional staff at all stages of their careers.

Strategy 3.2.4 Identify and develop faculty and professional staff leaders.

Objective 3.3 Create a workplace culture that articulates values and initiatives to engage employees as stakeholders.

Strategy 3.3.1 Reinforce values and practices necessary to foster a culture of respect, learning, innovation, efficiency, and service to support students, colleagues, and customers.

Strategy 3.3.2 Improve performance management to motivate and challenge employees to excel in support of University and department goals; link achievement to recognition, rewards, and compensation; and enhance communication at all levels.

Strategy 3.3.3 Enhance resources to provide opportunities for advancement and development that serve both the individual and the organization.

Strategy 3.3.4 Develop and implement programs to increase leadership capacity, knowledge, skills, and abilities that enhance competence and accountability.

Strategy 3.3.5 Develop and implement work-life practices and policies to promote a healthy, productive, and safe work environment.

Objective 3.4 Continually enhance recruitment, selection, orientation, and retention of top talent.

Strategy 3.4.1 Improve the Office of Human Resources position as a strategic partner for human capital management and business decisions.

Strategy 3.4.2 Enhance the University's "employment brand" and core messaging to complement and reinforce strategic goals, values, and mission.

Strategy 3.4.3 Sustain continuous progress in employment of women and all minorities at all levels of the University.

Strategy 3.4.4 Strengthen market competitive compensation and benefits to attract, retain, and reward top talent and high performers.

Strategy 3.4.5 Introduce, enhance, and support a comprehensive orientation experience to ensure a successful start for newly hired employees.

Objective 3.5 Strengthen the integration and utilization of innovative, cost-effective information technology solutions to enhance all missions of the University.

Strategy 3.5.1 Establish and improve robust partnerships between Information Technology and campus constituencies.

Strategy 3.5.2 Build a sustainable funding model for developing information technology resources.

Strategy 3.5.3 Leverage the University's Enterprise Resource Planning system (IRIS) to simplify and improve key University business processes and help improve unit productivity and accountability.

Strategy 3.5.4 Provide superior analytic and business intelligence infrastructure and end-user tools that improve decision-making processes.

Objective 3.6 Expand staff resources to support student success and faculty productivity in research and teaching.

Strategy 3.6.1 Add library faculty and staff to support student success through activities such as training in information literacy, extension of library hours of service, and engagement with faculty in incorporating library resources in classroom experiences.

Objective 3.7 Increase building space available to academic programs and for research and support functions.

Strategy 3.7.1 Complete capital projects currently underway (the new Pharmacy Building, including fit-up of the top two floors; the Digital Village II building; and Phase 1A of the new Patient Care Facility).

Strategy 3.7.2 Construct new residence halls through private partnership.

Strategy 3.7.3 Seek funding for the top new construction priorities of the University (Business, Law, and Biomedical/Chemistry Research; library auxiliary storage facility); seek funding for renovation of vacated buildings.

Objective 3.8 Improve the suitability, sustainability, efficiency, accessibility, and quality of existing facilities.

Strategy 3.8.1 Continue classroom and research laboratory renovations and upgrades and modernization of residence halls.

Strategy 3.8.2 Complete the first phase of building modifications utilizing the Energy Savings Com-

pany contract methodology, yielding energy reductions and upgrades of building infrastructures.

Strategy 3.8.3 Develop office space design guidelines and apply them to future University construction projects.

Strategy 3.8.4 Implement a more collaborative process for building class and classroom schedules to improve efficiency of classroom utilization.

Strategy 3.8.5 Upgrade accessibility to campus facilities for those with mobility limitations.

Strategy 3.8.6 Establish programs to further synergies and sustainable practices in the areas of facilities planning and development.

Goal 4:

Promote Diversity and Inclusion

It is a straightforward and important fact of life that diversity is one of the strengths of American society. Participation in diverse families, workplaces, schools, and communities is the norm and not the exception. From such participation emanates a realization of both similar and distinct approaches to dealing with human situations and solving problems and a better understanding of human concerns and interactions. This better understanding leads to more sound decisions about ways to improve the quality of human engagement and what people do and experience. The University of Kentucky will prepare students for meaningful and responsible engagement within and across diverse communities. Through its own example and engagement, the University will improve the climate for diversity throughout Kentucky, a commitment given special importance and emphasis by shared history. The composite effect of work with students in classrooms, residence halls, offices, laboratories, clinics, libraries, and public places should enable them to develop a more enlightened worldview; attain a deeper understanding of and commitment to authentic democratic values and social justice; embrace a greater commitment to service and leadership for the common good; exhibit greater cultural knowledge and competence; and play a personal role in Kentucky's success in the global economy.

Embracing and nurturing diversity is the responsibility of every member of the University community. It must be clear and convincingly evident that diversity is an essential value that informs every area and aspect of the University community. A genuine commitment to diversity as a core value establishes and sustains an inclusive and celebratory view of diversity as a systemic influence on the conduct of students, faculty, and staff and as members of society. As such, the goal of diversity is inherent in all of the University's strategic goals.

Objective 4.1 Promote inclusive excellence across the University.

Strategy 4.1.1 Establish a common understanding of diversity and inclusion.

Strategy 4.1.2 Adopt an organizational structure that makes explicit the shared responsibility of the entire community for inclusive excellence.

Strategy 4.1.3 Develop an evaluation strategy for assessing the University's progress in achieving greater diversity and inclusion in all its endeavors.

Objective 4.2 Promote curricular and co-curricular transformation that recognizes the educational advantages of diversity.

Strategy 4.2.1 Develop a coherent and focused University- and unit-level implementation strategy for achieving greater diversity and inclusion in curricular and co-curricular activities.

Strategy 4.2.2 Establish clearly defined expectations, incentives, interventions, and accountability measures as key components of the diversity and inclusion strategy.

Objective 4.3 Enhance campus/community collaborations in areas where opportunities exist to build diversity and increase inclusion.

Strategy 4.3.1 Establish a plan for better internal and external communication with respect to the University's diversity efforts.

Strategy 4.3.2 Develop partnerships with local, regional, and statewide organizations that promote inclusion and academic excellence.

Goal 5:

Improve the Quality of Life of Kentuckians through Engagement, Outreach and Service

Outreach has been the historic hallmark of public universities, particularly those with dual roles as flagship and land-grant. The University of Kentucky fully and enthusiastically embraces its outreach responsibilities and its potential for improving lives across Kentucky. As an engaged 21st century postsecondary institution, the University is committed to sharing human, intellectual, and material resources with the larger community for the benefit of both.

The University will conduct outreach and engagement proactively, making the institution a strategic resource of the Commonwealth by strengthening communities; advancing schools; recruiting and creating businesses; fighting disease; and improving and enriching lives. The University will partner respectfully and responsively, ready always to combine community and University expertise in seeking practical solutions. While the primary ground for UK's engagement is Kentucky, the institution's involvement extends to the region, the nation, and the world.

Objective 5.1 Enhance faculty and staff connection with community through engagement, outreach, and service.

Strategy 5.1.1 Develop a common understanding of engagement and its relationship to teaching, research, and outreach/service.

Strategy 5.1.2 Evaluate and adopt in promotion and tenure regulations the best practices on assessing engaged scholarship.

Strategy 5.1.3 Promote faculty and staff involvement in engaged research, application, outreach, and service.

Strategy 5.1.4 Provide incentives for and recognitions of significant faculty and staff outreach and engagement activity.

Objective 5.2 Enhance community access to University knowledge and expertise.

Strategy 5.2.1 Develop college, department, and unit mission statements that reflect linkages with their natural community constituencies and counterparts.

Strategy 5.2.2 Implement a community query and response portal for accessing University expertise.

Strategy 5.2.3 Create a University-wide marketing strategy to promote public awareness of the com-

munity query portal and University engagement, outreach, and community services.

Strategy 5.2.4 Promote regional outreach and engagement partnerships.

Strategy 5.2.5 Pursue significant research and application that improve the lives of Kentuckians, particularly when such research and application may have broader implications.

Objective 5.3 Enhance external recognition and funding of University engagement, outreach, and service.

Strategy 5.3.1 Increase local, state, federal, and philanthropic support to expand engagement activities.

Strategy 5.3.2 Secure external recognition for engagement, outreach, and service initiatives.

2009-2014 Strategic Plan Metrics

By 2014 the University of Kentucky will demonstrate achievement of the goals set forth in the 2009-2014 Strategic Plan as indicated by the following metrics:

Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

Metric 1-1. Increase the 75th percentile of the ACT Composite score to 28 for the first-year class.

Metric 1-2. Reduce the undergraduate student-to-faculty ratio to 17 to 1.

Metric 1-3. Increase the first-to-second year retention rate to 85 percent.

Metric 1-4. Increase the number of high impact co-curricular activities that support the student's classroom experience in the areas of research, community service, leadership development, internationalization, and inclusion.

Metric 1-5. Increase the six-year graduation rate to 64 percent.

Metric 1-6. Improve undergraduate performance on the Collegiate Learning Assessment as measured by value-added statistics.

Metric 1-7. Exceed benchmark averages on pass rates of first-time test takers in professional programs with licensure examinations.

Metric 1-8. Increase bachelor degrees awarded per academic year to 3,925.

Metric 1-9. Increase master's degrees awarded per academic year to 1,450.

Metric 1-10. Increase research and professional doctoral degrees awarded per academic year to 780.

Metric 1-11. Increase degrees awarded per academic year in science, technology, engineering, and mathematics (STEM) disciplines, including degrees that prepare teachers in STEM disciplines, to 1300.

Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders.

Metric 2-1. Increase total annual research expenditures, as reported to the National Science Foundation in science and engineering fields, to \$430 million.

Metric 2-2. Increase the five-year total for journal publications to 10,000.

Metric 2-3. Increase the five-year total for citations to 65,000.

Metric 2-4. Increase total annual invention disclosures, licenses and options executed, and startups based on new licenses to 132.

Metric 2-5. Increase total annual national and international recognition awards for research excellence.

Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals.

Metric 3-1. Increase the average all-ranks instructional faculty salary as a percent of the benchmark median to 90 percent.

Metric 3-2. Improve work-life satisfaction among faculty and staff, according to results of the university-wide Work-Life Survey.

Metric 3-3. Improve the ranking among public research universities of UK Libraries, according to the Library Investment Index of the Association of Research Libraries.

Metric 3-4. Increase the percent of staff at the appropriate point between the minimum and mid-point of the pay grade, based on performance and years of experience, to 90 percent.

Metric 3-5. Achieve parity commensurate with Top 20 public research universities for information technology solutions and service.

Metric 3-6. Add one million gross square feet (GSF) of educational and general, research, and student support space, targeted in areas of greatest need.

Metric 3-7. Renovate or modernize 200,000 square feet of classroom, research, and student support space, as prioritized by a needs analysis.

Goal 4. Promote Diversity and Inclusion.

Metric 4-1. Ensure that all educational and administrative units implement strategies to achieve inclusive excellence.

Metric 4-2. Increase the proportion of students from diverse ethnic groups and other underserved populations.

Metric 4-3. Achieve the employment goals of UK's annual Affirmative Action Plan.

Metric 4-4. Improve student, faculty, and staff ratings on the extent to which UK is an inclusive community, according to results of a university-wide survey.

Metric 4-5. Improve student ratings of curricular and co-curricular effectiveness in promoting diversity and inclusion, according to results of a university-wide survey.

Metric 4-6. Increase the number of partnerships with community organizations whose purpose is to promote diversity and inclusion.

Goal 5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.

Metric 5-1. Increase the number of faculty and staff reporting outreach and engagement activities on the Engagement Measurement Instrument (EMI) to 1,000.

Metric 5-2. Increase outreach, service, and engagement contacts made with individuals in providing university knowledge and expertise to improve lives and benefit communities.

Metric 5-3. Achieve at least five national, regional, or disciplinary competitive grants or recognitions for engagement and outreach.

PR 4

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE
COLLEGE OF ARTS AND SCIENCES

Recommendation: that the Board of Trustees approve the appointment of Dr. Mark Lawrence Kornbluh as Dean of the College of Arts and Sciences, effective August 1, 2009.

Background: Dr. Steven L. Hoch resigned as Dean of the College of Arts and Sciences in June 2008. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Arts and Sciences. The search committee considered approximately 40 candidates and two finalists were interviewed on campus. Dr. Kornbluh received excellent endorsement within the college and from the search committee.

Dr. Kornbluh currently serves as professor and Chair of the Department of History, professor of Computer Science, and Director of MATRIX, Center for the Humane Arts, Letters, and Social Sciences Online, at Michigan State University. He received a bachelor's degree (1977) from the University of California, Berkeley and a master's degree (1979) and Ph.D. (1988) from the Johns Hopkins University.

Dr. Kornbluh is an interdisciplinary scholar who has spent the last fifteen years working to develop the field of humanities and social science technology. He was one of the founding editors and Executive Director of H-Net: Humanities and Social Sciences Online, the world's largest on-line scholarly society. He has been a member of numerous national and international advisory committees including the National Academy of Science, Computer Science and Technology Board's Committee on Digital Archiving and the National Archives and Records Administration that advised the National Archives on the creation of an electronic records program. Dr. Kornbluh has been the recipient of over \$15 million in grants from a wide range of federal and state agencies and private foundations. He is author of *Why America Stopped Voting: The Decline of Participatory Democracy and the Emergence of Modern American Politics*.

Action taken: Approved Disapproved Other _____

PR 5

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE
COLLEGE OF COMMUNICATIONS AND INFORMATION STUDIES

Recommendation: that the Board of Trustees approve the appointment of Dr. H. Dan O' Hair as Dean of the College of Communications and Information Studies, effective August 1, 2009.

Background: Dr. J. David Johnson resigned as Dean of the College of Communications and Information Studies to return to the faculty. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Communications and Information Studies. The search committee considered approximately 25 candidates, and three finalists were interviewed on campus. Dr. O' Hair received enthusiastic support within the college and from the search committee.

Dr. O' Hair currently serves as Presidential Professor and Director of the Center for Risk and Crisis Management at the University of Oklahoma. He received a bachelor's degree (1978) and master's degree (1979) from Texas Tech University and a Ph.D. (1982) from the University of Oklahoma.

Dr. O' Hair is a member of numerous scholarly organizations, having recently served on the Executive Council for the National Communication Association. He has served on the editorial boards of thirty research journals and was editor of the Journal of Applied Communication Research, published by the National Communications Association. His primary research interests have been in the areas of organization and health communication, with more recent efforts in risk and crisis communication. He has also authored and edited 16 books in the areas of communication, risk management, health, and terrorism. He has been the principal investor or Co-PI for several grants from business, non-profit, and government institutions totaling more than \$10 million.

Action taken: Approved Disapproved Other _____

PR 6

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF EDUCATION

Recommendation: that the Board of Trustees approve the appointment of Dr. Mary John O'Hair as Dean of the College of Education, effective August 1, 2009.

Background: Dr. James G. Cibulka resigned as Dean of the College of Education effective July 1, 2008. A search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Education. The search committee considered approximately 15 candidates and two finalists were interviewed on campus. Dr. O'Hair received enthusiastic support across the university and from the search committee.

Dr. O'Hair currently serves as professor and Vice Provost for School and Community Partnerships at the University of Oklahoma. In addition, she is founding director of Oklahoma's K20 Center for Educational and Community Renewal which serves as a statewide, inter-disciplinary educational research and development center and a conduit between university and industry researchers and over 500 schools and communities in Oklahoma. She received a bachelor's degree (1979) from Texas Tech University, a M.A. (1980) from University of Oklahoma, a M.Ed. (1984) from Texas Christian University, and an Ed.D. (1987) from New Mexico State University.

Dr. O'Hair has published numerous articles and books on interactive learning communities, leading systemic change, and organization learning. Dr. O'Hair serves on Microsoft's U.S. Partners in Learning (PiL) Board of Advisors and is an advisor for the National School Reform Faculty.

Action taken: Approved Disapproved Other _____

PR 7

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Harry Childress, David W. Gay, Dennis Kennedy, Tom Myers, David Rasnick, and Joseph Sottile and the reappointment of Dell Jagers, Steven Leer, D. L. Lobb, Roy Palk, Fred Parker, D. J. Patton, Drexel Short, Peter Socha, and Jack Whitaker to the Board of Directors of the University of Kentucky Mining Engineering Foundation for two-year terms, ending June 30, 2011.

Background: The Nominating Committee of the University of Kentucky Mining Engineering Foundation, which includes the Dean of the College of Engineering, recommended these appointments to the Provost. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation and By-Laws for the University of Kentucky Mining Engineering Foundation approved by the Board of Trustees at its meeting on December 14, 1982.

Action taken: Approved Disapproved Other _____

Bios for Board of Directors
University of Kentucky Mining Engineering Foundation, Inc.

Harry Childress: Mr. Childress is the Government Affairs Agent for Cumberland Resources Corporation. He has worked in various positions in the mining industry including foreman, production superintendent, and mine superintendent. He also worked for 13 years as the Chief of the Division of Mines at the Department of Mines, Minerals and Energy for the Commonwealth of Virginia. Mr. Childress earned a B.S. in Mining Engineering from Virginia Tech in 1974.

David W. Gay: Mr. Gay is the Vice President of Corporate Development for Alpha Natural Resources. He has nearly 30 years of experience in surface and underground coal operations. He earned a B.S. in Mining Engineering from the University of Kentucky in 1981 and an MBA from Marshall University in 1991.

Dennis Kennedy: Mr. Kennedy is the Commercial Manager for Mining Chemicals at Georgia-Pacific Chemicals. He has more than 25 years of operational and sales experience in coal preparation and mineral processing at Virginia Iron Coal and Coke, Sherex Chemical Company, Allied Colloids, Freedom Industries, and Georgia-Pacific Chemicals. He holds a B.S. in Chemistry from the University of Virginia-Wise and is currently pursuing his M.S. in Mining Engineering at Virginia Tech, expecting to complete his studies this summer.

Tom Myers: Mr. Myers is the Assistant Vice President for Mining at Mosaic Fertilizer, LLC where he leads mine planning, environmental permitting and reclamation activities for the phosphate mining operations. He received his B.S. in Civil Engineering from the University of Kentucky in 1981.

David Rasnick: Mr. Rasnick is the Vice President and Project Manager at Summit Engineering, Inc. He is a registered Professional Engineer in Kentucky, Virginia, and West Virginia and is also active on several environmental committees in Kentucky and West Virginia. He has a B.S. degree in Civil Engineering from UK.

Joseph Sottile: Dr. Sottile has been a faculty member in the Mining Engineering Department at the University of Kentucky since 1991. He received his B.S., M.S. and Ph.D. in Mining Engineering from The Pennsylvania State University after working several years in the mining industry.

Dell Jagers: Mr. Jagers is President of Poplar Ridge Enterprises in Nicholasville, KY. He received degrees from Georgetown College and the University of Kentucky.

Steven R. Leer: Mr. Leer is Chairman of the Board and CEO of Arch Coal. He received degrees from University of the Pacific and Washington University.

D. L. Lobb: Mr. Lobb is a Group Executive for Peabody Energy and is responsible for executive oversight of all the western U.S. Peabody mining operations. He is a 1979 B.S. graduate of the UK Civil Engineering Department with a specialty in Mining Engineering.

Roy Palk: Mr. Palk is a former President of East Kentucky Power in Winchester, Kentucky.

Fred Parker: Mr. Parker is the Chief Executive Officer and President of Kentucky River Coal.

D. J. Patton: Mr. Patton is a charter member of the Mining Engineering Foundation. He received his B.S. in Mining Engineering in 1966 from the University of Kentucky.

Drexel Short: Mr. Short is with Klein Resources in New Haven, West Virginia. He received his degree from the University of Kentucky.

Peter Socha: Mr. Socha is President and CEO of James River Coal Company in Richmond, Virginia.

Jack Whitaker: Mr. Whitaker is Vice President of ECCO Mining Company in Lexington, Kentucky.

PR 8

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT TO BOARD OF DIRECTORS
OF THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH IN THE
UNIVERSITY OF KENTUCKY MEDICAL CENTER

Recommendation: that the Board of Trustees approve the appointment of Anita M. Britton to the Board of Directors of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center for a two-year term ending June 30, 2011.

Background: The Articles of Incorporation of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center require two-year term Board of Director appointments by the Board of Trustees of the University of Kentucky.

Action taken: Approved Disapproved Other _____

Bio for Board of Directors
The Fund for Advancement of Education and Research in the
University of Kentucky Medical Center

Anita M. Britton: Ms. Britton received a Bachelor of Science degree in Psychology from Centre College of Kentucky. After a year at the college as a Lilly Fellow, Anita came to Lexington where she worked in local government before entering the College of Law at the University of Kentucky. Anita received her Juris Doctorate with Distinction from the University of Kentucky in 1982. She is currently a Member in the Lexington office of Stoll Keenon Ogden. Her primary practice is in the area of family law, FINRA arbitration and employee mobility cases. She is a member of the Family Law Sections of the American, Kentucky and Fayette County Bar Associations and served as the Chair of the Family Law Practice Section of the Kentucky Bar. She served as a member of the Continuing Legal Education Commission for the Kentucky Bar Association for six years including a year as Chairman from 2007-2008. In 2008, she was elected to the Kentucky Board of Bar Governors for the 5th Supreme Court District and is currently serving in that position.

Beyond her practice, Anita has a passion for her charitable and professional affiliations. She served for six years on the board of the Lexington Humane Society, three of which as president. She is a past president of the Centre College of Kentucky National Alumni Association Board and was inducted into the Centre College Board of Trustees in January 2009. She is a past president of the Lexington Kiwanis. She sits on the steering committee of Bluegrass Alliance for Women and the Masterson Station Park Advisory Board as well as the board of directors of the Woodford Theatrical Arts Association. She is also serving as the inaugural chairman of Bluegrass Women United, a philanthropic organization composed entirely of women. She has served as President of the Susan G. Komen Breast Cancer Research Foundation, Lexington Affiliate, and as President of the National Association of Women Business Owners. In addition, Anita has been a member of the following Boards and organizations: Board of Directors of the Fayette County Bar Association, Judicial Nominating Commission, Foster Care Citizen Review Board, American Cancer Society Relay for Life Chairman, Woodford County 1996, St. Ives Journal Club, and the American Inns of Court, Henry Clay Inn.

Anita is a graduate of the Leadership Kentucky program 2004 and is the recipient of a National Leadership Award from the National Association of Women Business Owners and the Citizen Lawyer Award from the Fayette County Bar Association. In May, 2005, Anita joined the ranks of former Governor Martha Layne Collins and Secretary of Labor Elaine Chao as the 2005 recipient of the National Association of Women Business Owners Winners Circle Award. Her alma mater named her the Centre Associate of the Year in 2007, and she received the college's Distinguished Alumnae Award in 2008.

PR 9

Office of the President
June 9, 2009

Members, Board of Trustees:

APPOINTMENT/REAPPOINTMENT OF BOARD OF DIRECTORS
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment of Brent Seales (faculty member) for a three-year term ending June 30, 2012 and the reappointment of F. Richard Kurzynske (public member) for a three-year term ending June 30, 2012 to the Board of Directors of the University of Kentucky Research Foundation (UKRF).

Background: In accordance with the Bylaws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee of the UKRF Board has recommended and the UKRF Board of Directors has endorsed the appointment of Brent Seales and reappointment of F. Richard Kurzynske.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
June 9, 2009

Members, Board of Trustees:

CREATION OF THE DEPARTMENT OF GENDER AND WOMEN'S STUDIES

Recommendation: that the Board of Trustees approve the creation of the Department of Gender and Women's Studies in the College of Arts and Sciences, effective July 1.

Background: The original 2006 Five-Year Plan of the Women's Studies Program describes the intellectual commitments, accomplishments, and future promise of Gender and Women's studies at the University of Kentucky. The plan included transitioning from a program to a department, and a name change from the "Women's Studies Program" to the "Department of Gender and Women's Studies," a change that signals our understanding of gender as a construct that permeates human experience, thought, and history.

Understanding women's experiences, resources, strategies, and contributions to society globally is central to the mission of the Gender and Women's Studies program (GWS). GWS also recognizes that men's lives are gendered and that gender relations occur simultaneously with other hierarchical social relations and inequalities of power including those based on ability, age, class, ethnicity, family composition, race, region, religion, sex, sexual orientation, and the inequitable distribution of resources in and among countries and groups globally. GWS has always supported an integrative, multidisciplinary, global, and theoretically diverse approach to the study of gender. As a department, Gender and Women's Studies will be able to expand upon its commitment to fostering this vision through a broad and challenging interdisciplinary curricula, a research-supportive environment for faculty, undergraduate and graduate students, and service to the college, university and Commonwealth.

The proposal for the new department has been approved by the faculty in the Gender and Women's Studies Program and the College of Arts and Sciences Executive Committee; reviewed and recommended by the Senate Committee on Academic Organization and Structure and the Senate Council; and recommended by the University Senate. The provost of the university supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
June 9, 2009

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Master of Science degree with a major in Reproductive Sciences and Doctor of Philosophy degree with a major in Reproductive Sciences (CIP Code 26.0905, PROG = GS MS RESI and GS PHD RESI), effective in the fall 2009 semester.

Background: The birth of the first baby by in vitro fertilization (IVF) followed by embryo transfer (ET) in 1978 created a new discipline within the field of reproduction that is collectively referred to as assisted reproductive technology or ART. With the introduction of ART came the need for graduates with added knowledge and skills in clinical reproduction who are able to supervise and direct ART laboratories and to contribute to the developing auxiliary fields in the area of ART laboratory accreditation and regulation. The National Institutes of Health Roadmap for medical research and the directive to catalyze translation research has further strengthened the need for professionals with training and skills applicable to both basic research and clinical application in the field of reproduction.

The Ph.D. in Reproductive Science is an interdisciplinary program proposed by the Division of Clinical and Reproductive Sciences in the College of Health Sciences. The program is designed for students wishing to pursue professional careers in the reproductive sciences. Students successfully completing the first two years of the required curriculum in the doctoral degree and successfully passing the Qualifying Examination will be awarded an “en passant” M.S. in Reproductive Science. Although it is expected that most students will continue in the program and earn the Ph.D., there may be an occasional student who will need to leave the Ph.D. program early and not complete the degree.

This program has the approval of the appropriate Councils and University Senate, and it has completed the forty-five day review by the Council on Postsecondary Education. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 3

Office of the President
June 9, 2009

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Master of Science in Clinical Research Design degree with a major in Clinical Research Design (CIP Code 51.1401, PROG = GS MSCRD CRDE), effective in the fall 2009 semester.

Background: The proposed M.S. in Clinical Research Design is designed to prepare practicing health care professionals and students pursuing a terminal degree to conduct population-based research and clinical trials.

The critical need for health care professionals qualified to conduct population-based research or clinical trials is evidenced by the fact that institutional eligibility for a Clinical Translational Sciences Award (CTSA), which are currently being granted by the National Center for Research Resources, requires the existence of a graduate school accredited to award higher degrees in clinical research. In order for UK's application for this award to be competitive, translational research must be defined as a discipline.

MDs interested in an academic appointment will find that this degree program will make them competitive for the best positions, where research skills are becoming the norm. Others, such as doctoral candidates in the basic sciences, pharmacy, dentistry, nursing, and psychology, will also find this program attractive for similar reasons. Researchers in the basic sciences and pharmaceutical sciences are under increasing pressure to conduct translational research, while researchers in dentistry, nursing, and psychology are being encouraged to take an active role in community-based research.

This program has the approval of the appropriate councils and University Senate, and it has completed the forty-five day review by the Council on Postsecondary Education. The provost of the university supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 4

Office of the President
June 9, 2009

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION

Recommendation: that the Board of Trustees approve the establishment of a Doctor of Philosophy degree with a major in Epidemiology and Biostatistics (CIP Code 26.9999.04, PROG = GS PHD EPBI), effective in the fall 2009 semester.

Background: The proposed Ph.D. in Epidemiology and Biostatistics is a unique program which strongly emphasizes the acquisition of applied skills in the complementary fields of epidemiology and biostatistics, as well as the theoretical foundations of these disciplines. Unlike traditional doctoral preparation in either discipline alone, this program will leverage the unique collaborative environment between the Departments of Biostatistics and Epidemiology in the College of Public Health.

This is intended to be an integrative doctoral program which prepares future researchers who will have substantial methodological and quantitative preparation in the unique domains of these two disciplines. The program is intended to provide advanced, research-oriented training in both theory and methodology. Scholars will be required to undertake a doctoral dissertation, following the completion of required course work and examinations, which will be of the caliber for publication as independent research in respected biostatistical, epidemiological, or statistics journals. Graduates of this program will be prepared to address the practical challenges of conducting population-based and clinical, translational research in the multidisciplinary work environments of academia, government, and industry.

This program has the approval of the appropriate councils and University Senate, and it has completed the forty-five day review by the Council on Postsecondary Education. The provost of the university supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 5

Office of the President
June 9, 2009

Members, Board of Trustees:

CHANGE IN DEGREE – COLLEGE OF AGRICULTURE

Recommendation: that the Board of Trustees approve a change in the name of the Master of Science in Career, Technical, and Leadership Education with a major in Vocational Education to Master of Science in Career, Technical, and Leadership Education with a major in Career, Technical, and Leadership Education, effective in the fall 2009 semester.

Background: The Master of Science in Vocational Education was changed to the Master of Science in Career, Technical, and Leadership Education in 2005 but the major was not changed. The department is requesting that the major be changed to reflect the degree.

This proposal has the approval of the Graduate Council, Senate Council and University Senate. The provost of the university supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 6

Office of the President
June 9, 2009

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: UNIVERSITY ORGANIZATION – CHAIRS OF DEPARTMENTS

Recommendation: that the Board of Trustees receive the attached revision of Governing Regulation, Part VII, Section B.5 (GR VII), for preliminary consideration. The revision relates to mandatory consultation by an educational unit administrator with the appropriate faculty members of his or her educational unit in faculty personnel matters. The proposed revision redefines the “appropriate faculty members” with whom the administrator must consult.

Background: GR VII (University Organization) firmly establishes the principle of mandatory consultation by an educational unit administrator with the appropriate faculty members of his or her educational unit in faculty personnel matters. While leaving in place the bedrock principle of mandatory consultation, this proposed revision redefines the subset of “appropriate faculty members” with whom the administrator must consult. The revision adopts the “best practice” in higher education circles, which dictates that mandatory consultation should exclude those unit faculty persons who hold academic rank at or below the academic rank of an individual being considered for appointment, reappointment, terminal reappointment, or promotion.

The basis for the revision is that faculty persons whom themselves have yet to be considered for promotion to the next academic rank or tenure have a perceived conflict of interest in passing judgment on those who precede them in these personnel actions. That said, the revised language of this section of GR VII permits a unit faculty to establish policies that extend the *minimum* consultation requirements (as proposed in this revision) to include the specified participation of other full-time faculty employees in any series in the department.

The mandatory subsets of unit faculty with whom a unit administrator must consult vary by type of personnel action under consideration. Those subsets are delineated as follows:

In matters relating to decisions not to reappoint, reappointment, terminal reappointment, and the granting of tenure, the department chair shall consult with all tenured faculty members of the department, and the directors of any multidisciplinary research centers or institutes with which the individual is, or would be, associated.

In matters of appointment and promotion, the department chair shall consult with all full-time faculty members of the department with a rank at or above the rank to which the individual being considered would be appointed or promoted, and the directors of any multidisciplinary research centers or institutes with which the individual is, or would be, associated.

The Senate Council has endorsed the revised language to GR VII, Section B.5. The revision is intended to become effective for the academic year 2009-2010.

A revised copy of GR VII is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

GR VII (UNIVERSITY ORGANIZATION)

B.5. Chairs of Departments

The department chair leads the department faculty in its development of policies on such matters as academic requirements, courses of study, class schedules, graduate and research programs, and service functions. The chair presides at all department meetings, except as the chair may delegate this function, and is an ex officio member of all department committees. The chair has administrative responsibility for implementing the department's policies and programs within the limits established by these Governing Regulations, the Administrative Regulations, University Senate Rules, Rules of the Graduate Faculty, the rules of the college, and the rules of any school of which it is a part.

The department chair is responsible for recommendations on the appointment of new faculty ~~employees~~ members of the department, promotions, reappointments, terminal appointments, post-retirement appointments, the granting of tenure, and decisions not to reappoint.

Procedures used in preparing recommendations shall be those established by the University, the college, and the department faculty. At a minimum, on matters relating to decisions not to reappoint, reappointment, terminal reappointment, and or the granting of tenure of persons in any title series, the department chair shall consult procedures must include consultation with all tenured faculty members of the department, with the directors of any multidisciplinary research centers, graduate centers or institutes with which the affected individuals are or will be associated. At a minimum, on matters relating to appointment or and promotion of any persons in any tenure-eligible title series, the department chair shall consult with all full-time tenured and tenure-eligible faculty members of the department, other than visiting faculty, with a rank at or above the rank to which the individual being considered would be appointed or promoted. At a minimum, on matters relating to appointment, decisions not to reappoint, reappointment, terminal reappointment, or promotions of persons in any tenure-ineligible series, the department chair shall consult with all full-time tenured and tenure-eligible faculty members of the department (GR VII.A.(a)), and with all full-time non-tenured faculty members (except for temporary faculty or those appointed in the research title series, clinical title series, or visiting series) with the actual or equivalent rank of assistant professor or higher who have been members of the department for two (2) years, except as noted below. All recommendations on matters listed above, excluding reappointments and post-retirement appointments, shall include the written judgment of each consulted member of the department and of each director of any multidisciplinary research centers or institutes, or graduate centers with which the individual is, or would be, associated, pertinent multidisciplinary research centers and institutes, along with the recommendation of the chair.

On matters relating to appointment and or promotion in the Clinical Title Series, Research Title Series, or Lecturer Series, the department chair shall also consult with all

full-time faculty employees in the series of the individual under consideration who are at or above the rank to which the individual would be appointed or promoted. Faculty members with appointments in the clinical title series, however, shall be consulted on a departmental or divisional basis as appropriate about appointment or promotion of individuals to academic ranks equal to or below their own in the clinical title series. Faculty employees in the tenure-ineligible series Clinical Title Series shall but not be consulted on matters relating to appointment, reappointment, retention, terminal reappointment, decisions not to reappoint, promotion or the granting of tenure of faculty employees in the tenure-eligible other title series, except by invitation of the department faculty as provided below, the regular or special title series.

A department faculty may establish policies that extend the above minimum consultation requirements in faculty personnel matters to include the specified participation of other full-time faculty employees in any series in the department. Once these policies on extended participation privileges are approved by the department faculty (GR VII.A.6.(a)) and reviewed by the department chair, the dean and Provost for consistency with the Governing Regulations, Administrative Regulations and rules of the College, and approved, these policies shall be incorporated into the rules document of the department.

The following exceptions may be made: (1) faculty ~~employees~~members on approved leave of absence or with a primary administrative, service, or other assignment outside the department, who are otherwise eligible to participate, may, but are not required to, provide written judgments on all recommendations; ~~(2) faculty members need not be consulted on recommendations for promotion affecting members with equivalent or higher rank, except that all faculty members with tenure shall be consulted on recommendations for granting of tenure;~~ (3) faculty members without tenure need not be consulted on recommendations for granting of tenure; (4) ~~(2) the right to make recommendations on temporary appointments and/or appointments at the assistant professor level or below may be delegated, with these appointments to be reviewed by the tenured faculty of the department during the second semester of the first year of appointment; and (5)~~ (2) faculty employees at the rank of Instructor in any title series participate only upon the granting of participation privileges by the department faculty, and, (3) in a large and diverse department, upon prior recommendation by the department faculty (GR VII.A.6.(a)) and approval of the dean and the Provost, consultation with faculty employees members may be restricted to those associated with the concerned, previously-defined academic division or program area in the department.

The department chair is responsible for the periodic evaluation of department members by procedures and criteria established by the University, the college, and the department faculty.

The department chair submits the budget request for the department and administers the budget after its approval. The chair also is responsible for making recommendations on salaries, salary changes, and distribution of effort.

In connection with the above major administrative functions, the chair shall seek the advice of members of the department, individually or as a group, or of advisory committees that the chair may appoint. Staff employees shall be consulted, when appropriate, by the chair, in the development of administrative policies and on decisions that directly affect staff employees.

The chair shall speak for the department. In the event that the chair believes it necessary to depart from the opinion of the department faculty, the chair shall communicate the department faculty's opinion as well as the chair's recommendation, stating reasons for differing from the department faculty's opinion, and notify the department faculty of such action.

AACR 7

Office of the President
June 9, 2009

Members, Board of Trustees:

CANDIDATE FOR DEGREE
UNIVERSITY SYSTEM

Recommendation: that the president be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the Senate Council Chair on behalf of the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list will complete the work leading toward the degree for which application has been made at the close of the 2009 Summer Session. He has been offered an impressive position with Sony in Japan. In order to begin processing his visa/work permit the Embassy must see his diploma to verify his graduation as soon as possible. The next Board of Trustees meeting is not scheduled until September 15, 2009.

For details on degree candidate listings,
please contact

Jacque Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE ANIMAL BIOSAFETY LEVEL 2
CONTAINMENT FACILITY

Recommendation: that the Board of Trustees accept gifts of \$35,995 from multiple donors and a pledge of \$100,000 from Darley America of Lexington, Kentucky for the construction of an Animal Biosafety Level 2 Containment Facility at the Maine Chance Equine Education and Research Campus of the College of Agriculture's Maxwell H. Gluck Equine Research Foundation and authorize the president to submit the total of \$135,995 for match from the Research Capital Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program.

Background: The Maxwell H. Gluck Equine Research Center is credited with the development of vaccines against six of the 10 most common equine diseases. The Center's long and distinguished legacy in infectious disease research is currently limited by the lack of adequate facilities to study emerging equine diseases such as West Nile virus. Existing facilities do not meet federal requirement for such studies. The construction of an animal Biosafety Containment Facility will provide laboratory and stall space designed to contain some agents that pose minimal risk to human health.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
June 9, 2009

Members, Board of Trustees:

ASHLAND INC. GIFT

Recommendation: that the Board of Trustees accept a gift of \$100,000 from Ashland Inc. of Lexington, Kentucky to add to the existing Ashland Inc. Research Fellowship in Literacy and Leadership in the Graduate School and submit this gift of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: These fellowships support graduate students whose research emphasis is in reading and literacy; education; community leadership; Appalachian studies; or the social sciences. Ashland Inc. has been a generous and longstanding supporter of the university and of quality education and lifelong learning projects throughout Kentucky.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
June 9, 2009

Members, Board of Trustees:

DR. PAUL ASHTON GIFT

Recommendation: that the Board of Trustees accept a gift of \$75,894 from Dr. Paul Ashton of Newton, Massachusetts to create and endow the Paul Ashton Ophthalmology Research Fund in the College of Medicine and submit this gift of \$75,894 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Paul Ashton, Ph.D. became CEO of pSivida, a global bio-nanotech company, in February 2009. He joined pSivida in December 2005 following the acquisition of Control Delivery Systems (CDS) where he was co-founder, president, CEO, and a member of the board of directors. Before cofounding CDS, Dr. Ashton was a visiting Professor of Ophthalmology at the University of Kentucky. He has maintained close ties to the Ophthalmology Department and wants to help support research in the visual sciences.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS TO THE VIRGINIA T. BARROW CHAIR IN BRAIN DISEASE RESEARCH

Recommendation: that the Board of Trustees approve the submission of \$726,119 for the existing Virginia T. Barrow Chair in Brain Disease Research in the College of Medicine's Department of Neurosurgery for matching funds from Kentucky's Endowment Match Program. The submission is the undedicated portion of an anonymous gift accepted by the Board of Trustees in September 2007. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The original gift of \$1,107,721 from an anonymous donor was accepted by the Board of Trustees on September 11, 2007. At its October 16, 2007 meeting the Board of Trustees approved the use of \$283,350 of this gift to replace defaulted pledges to the Research Challenge Trust Fund. An additional \$100,000 was matched by RCTF IV.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGE TO THE BALE BOONE SYMPOSIUM IN THE HUMANITIES FUND

Recommendation: that the Board of Trustees accept gifts of \$109,874 and pledges of \$7,341 from multiple donors to further endow the Joy Bale and George Street Boone Symposium in the Humanities in the Gaines Center for the Humanities and submit these gifts and pledges of \$117,215 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Donors include alumni, board members, and friends of the Gaines Center for the Humanities who support the public programs of the Center. This endowment funds an annual weeklong conference which brings a humanities perspective to current public interests.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
June 9, 2009

Members, Board of Trustees:

PATRICIA J. BUSTER FUND PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$160,000 from the Patricia J. Buster Fund of Venice, Florida to add to the existing John I. and Patricia J. Buster Endowed Professorship and Fellowship in the School of Human Environmental Sciences in the College of Agriculture and submit this pledge of \$160,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mrs. Buster, a native Kentuckian who died in Venice, Florida in 1998, was a 1934 graduate in Home Economics and a generous supporter of Human Environmental Science. Her career included positions with Kentucky Utilities Company and General Electric Company.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
June 9, 2009

Members, Board of Trustees:

THOMAS B. AND BETTIE T. DEEN GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Thomas B. and Bettie T. Deen of Stevensville, Maryland to create and endow the Thomas B. and Bettie T. Deen Graduate Fellowship in the College of Engineering's Department of Civil Engineering and submit this gift of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mr. Deen received a BS in Civil Engineering in 1951. He enjoyed an illustrious career in transportation, having retired as the Executive Director of the National Transportation Research Board. The fellowship will support students pursuing graduate degrees in civil engineering.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
June 9, 2009

Members, Board of Trustees:

ELIZABETH DUMEZ GIFT

Recommendation: that the Board of Trustees approve a request to match \$26,000 from Elizabeth DuMez of Arlington, Virginia for the DuMez Faculty Research Development Fund in the College of Social Work and submit this gift of \$26,000 for matching funds from Kentucky's Endowment Match Program. The submission is the undedicated portion of a gift accepted by the Board of Trustees in June 2008. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Board of Trustees accepted a gift of \$50,000 from Elizabeth DuMez on June 17, 2008 and approved the use of \$24,000 of the gift to replace an unpaid pledge to RCTF IV. This Board action approves a request to match the remaining \$26,000 of the gift. Ms. Du Mez earned her bachelor's degree from the College of Social Work in 1962 and was inducted into the college's Hall of Fame in 1999. DuMez, who is now retired, managed the Office of Ethics and Professional Review at the National Association of Social Workers and taught at George Mason University.

Action taken: Approved Disapproved Other _____

FCR 9

Office of the President
June 9, 2009

Members, Board of Trustees:

EDWARD C. ELLIOTT, M.D. GIFT

Recommendation: that the Board of Trustees accept a gift of \$214,608 from Edward C. Elliott, M.D. of Decatur, Illinois to create and endow the Richard G. Elliott, M.D. Professorship in Pediatrics in the Department of Pediatrics in the College of Medicine and submit this gift of \$214,608 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Edward Elliott received his medical degree from UK in 1979. After completing his residency in Pathology in 1983, he remained on the faculty as an Assistant Professor of Pathology while simultaneously serving as Director of the Northeast Kentucky Area Health Education Center and as a pathologist at St. Claire Medical Center in Morehead. In 1986, Dr. Elliott chose to pursue his interest in clinical oncology and completed his residency in Radiation Oncology at UK in 1989. Dr. Elliott established the Richard G. Elliott Professorship in Pediatrics in honor of his father, a longtime Lexington pediatrician, who played a key role in the establishment of the Medical Center and founding of the UK College of Medicine as the President of the Fayette County Medical Society in the late 1950's and early 1960's.

Action taken: Approved Disapproved Other _____

FCR 10

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE GAINES CENTER LAFAYETTE
SEMINAR ENDOWMENT

Recommendation: that the Board of Trustees accept gifts of \$90,664 and pledges of \$20,566 from multiple donors to add to the existing Lafayette Seminar Endowment in the Gaines Center for the Humanities and submit these gifts and pledges of \$111,230 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This endowment supports an annual public forum to address current town-and-gown issues related to UK and Fayette County through interdisciplinary presentations, dialogue, and specific outcomes related to the research of the Gaines Center's endowed chair.

Action taken: Approved Disapproved Other _____

FCR 11

Office of the President
June 9, 2009

Members, Board of Trustees:

NICHOLAS S. HELLMANN, M.D. AND SUSAN DESMOND-HELLMANN, M.D. GIFT

Recommendation: that the Board of Trustees accept a gift of \$50,000 from Nicholas S. Hellmann, M.D. and Susan Desmond-Hellmann, M.D. of Alamo, California to create and endow the Hellmann Family Scholarship Endowment Fund in the College of Medicine and submit this gift of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Nicholas S. Hellmann, M.D. is a 1982 alumnus of the College of Medicine and is currently serving as the Executive Vice President for Medical and Scientific Affairs at the Elizabeth Glaser Pediatric AIDS Foundation. Susan Desmond-Hellmann, M.D., an oncologist, is Chancellor of the University of California, San Francisco.

Action taken: Approved Disapproved Other _____

FCR 12

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE KAY SEELEY HOFFMAN RESEARCH ENDOWMENT

Recommendation: that the Board of Trustees accept gifts of \$37,568 and pledges of \$62,084 from multiple donors to create and endow the Kay Seeley Hoffman Research Endowment in the Center for the Study of Violence Against Children in the College of Social Work and submit these gifts and pledges of \$99,652 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Kay Hoffman has served as Dean of and a professor in the College of Social Work since 1998. Dr. Hoffman's colleagues and friends have established this fund to honor her service to the college and the social work profession. The Endowment will support research endeavors that are consistent with the mission of the Center for the Study of Violence Against Children (CSVAC). CSVAC combines clinical practice, research, and training on violence against children and within families in an effort to address the detrimental effect of violence against children in the Commonwealth and the nation.

Action taken: Approved Disapproved Other _____

FCR 13

Office of the President
June 9, 2009

Members, Board of Trustees:

JOHN D. JOHNSON, M.D. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$50,000 from John D. Johnson, M.D. of Huntsville, Alabama to create and endow the Byron Young Fellowship for Neuroscience Research in the Kentucky Neuroscience Institute in the College of Medicine and submit this pledge of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. John Johnson completed his residency in 1998 in the Department of Neurosurgery. In honor of his mentor, Dr. Byron Young, Dr. Johnson wishes to establish an endowment within the Kentucky Neuroscience Institute to support a research fellowship with an interdisciplinary focus.

Action taken: Approved Disapproved Other _____

FCR 14

Office of the President
June 9, 2009

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFTS AND PLEDGES TO THE
NEUROLOGY RESEARCH CHAIR

Recommendation: that the Board of Trustees accept a gift of \$200,000 and a pledge of \$300,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky to create and endow the Neurology Research Chair in the Department of Neurology in the College of Medicine and submit this gift and pledge of \$500,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The commitment from Kentucky Medical Services Foundation, one of the university's most generous benefactors, combined with matching funds from Kentucky's Endowment Match Program, will provide support for salary, research, and support costs for the Chair holder in the Department of Neurology.

Action taken: Approved Disapproved Other _____

FCR 15

Office of the President
June 9, 2009

Members, Board of Trustees:

KENTUCKY MEDICAL SERVICES FOUNDATION GIFTS AND PLEDGES

Recommendation: that the Board of Trustees accept a gift of \$20,000 and a pledge of \$30,000 from the Kentucky Medical Services Foundation of Lexington, Kentucky to create and endow the Neurology Research Professorship No. 1 in the Department of Neurology in the College of Medicine and submit this gift and pledge of \$50,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The commitment from the Kentucky Medical Services Foundation combined with matching funds from Kentucky's Endowment Match Program will provide funding for salaries and research support costs for the professorship holder in the Department of Neurology.

Action taken: Approved Disapproved Other _____

FCR 16

Office of the President
June 9, 2009

Members, Board of Trustees:

THE KENTUCKY THOROUGHBRED OWNERS AND BREEDERS
ASSOCIATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$600,000 from The Kentucky Thoroughbred Owners and Breeders Association of Lexington, Kentucky for the construction of two equine reproduction research laboratories at the Maine Chance Equine Education and Research Campus in the College of Agriculture's Maxwell H. Gluck Equine Research Center and submit this pledge of \$600,000 for matching funds from Kentucky's Research Capital Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program.

Background: The state's leading breeders founded the Thoroughbred Breeders of Kentucky, Inc. in 1961. The group's focus is promoting and protecting the Thoroughbred Industry in Kentucky. Renamed Kentucky Thoroughbred Owners and Breeders, Inc. (KTOB) in 1980, the organization expanded to include owners as well as breeders. KTOB wishes to invest in laboratories dedicated to equine reproduction health research. Such facilities are essential to recruit and retain the world's best equine health faculty in the Maxwell H. Gluck Equine Research Center.

Action taken: Approved Disapproved Other _____

FCR 17

Office of the President
June 9, 2009

Members, Board of Trustees:

ELIZABETH RITCHEY YOST LEA ESTATE GIFT

Recommendation: that the Board of Trustees accept a gift of \$180,000 from the Estate of Elizabeth Ritchey Yost Lea to create and endow the S. Lawrence and Elizabeth Ritchey Yost Lea Professorship in Plant and Soil Science in the College of Agriculture and submit this gift of \$180,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mrs. Lea wished to leave the remainder of her Estate - after all other bequests had been distributed - to the University of Kentucky for research concerning wheat and white corn.

Action taken: Approved Disapproved Other _____

FCR 18

Office of the President
June 9, 2009

Members, Board of Trustees:

G. DAN AND GINGER MARTIN GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$50,000 and a pledge of \$50,000 from G. Dan and Ginger Martin of Lexington, Kentucky to create and endow the G. Dan and Ginger Martin Pediatric Research Fund in the Kentucky Pediatric Research Institute in the Kentucky Children's Hospital and submit this gift and pledge of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: G. Dan Martin, President of RR Dawson Bridge Company of Lexington, serves as an officer and member of the Kentucky Children's Hospital Executive Development Council. The Council is responsible for assisting in the promotion and generation of funds in support of the Kentucky Children's Hospital. Mr. and Mrs. Martin wish to establish this fund to support the research costs of bio-statistical data collection and interpretation for projects and research conducted at the Kentucky Pediatric Research Institute in support of the mission of the Kentucky Children's Hospital.

Action taken: Approved Disapproved Other _____

FCR 19

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE ALBERTO MAZZOLENI, M.D. PROFESSORSHIP/CHAIR
IN CARDIOLOGY

Recommendation: that the Board of Trustees accept gifts of \$93,751 and pledges of \$55,250. from multiple donors to create and endow the Alberto Mazzoleni, M.D. Professorship/Chair in Cardiology in the College of Medicine and submit these gifts and pledges of \$149,001 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This endowment was established by Dr. David Moliterno to honor Dr. Alberto Mazzoleni for his many years of distinguished service as a UK College of Medicine faculty member and outstanding educator and clinician. When the principal reaches \$100,000 the interest income will be used to support a professorship in the Department of Internal Medicine, Division of Cardiology.

Action taken: Approved Disapproved Other _____

FCR 20

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS TO THE COLLEGE OF PHARMACY BUILDING FUND

Recommendation: that the Board of Trustees accept gifts of \$179,428 and pledges of \$206,183 from multiple donors to add to the existing College of Pharmacy Building Fund and submit these gifts and pledges of \$385,611 for matching funds from Kentucky's Research Capital Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program.

Background: The College of Pharmacy's new 286,000 square foot state-of-the-art facility is targeted for completion in early 2010. The \$132 million building will be the largest academic building in Kentucky and among the largest in the nation. The five-story building will include academic and research facilities for educating future pharmacists and scientists as well as providing laboratory space for pharmaceutical and clinical research programs.

Action taken: Approved Disapproved Other _____

FCR 21

Office of the President
June 9, 2009

Members, Board of Trustees:

MICHAEL D. RANKIN, M.D. PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Michael D. Rankin, M.D. of Harrodsburg, Kentucky to add to the existing Michael D. Rankin, M.D. Community Scholarship Endowment in the College of Medicine and submit this pledge of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Rankin, a 1980 graduate of the College of Medicine, is making this additional pledge to provide scholarships for medical students from Boyle, Casey, Garrard, Lincoln, and Mercer counties.

Action taken: Approved Disapproved Other _____

FCR 22

Office of the President
June 9, 2009

Members, Board of Trustees:

DR. RONALD J. SAYKALY GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from Dr. Ronald J. Saykaly of Lexington, Kentucky to create and endow the Teresa Garbulinska Music in HealthCare Fund in the College of Fine Arts' School of Music and submit this pledge of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Ronald J. Saykaly is a part-time faculty member in the UK College of Medicine and is a member of the UK College of Medicine Dean's Advisory Council and the Gill Heart Institute's Director's Council. This endowment fund will support an ongoing program of research and education-based musical performances in the new UK Albert B. Chandler Hospital Auditorium.

Action taken: Approved Disapproved Other _____

FCR 23

Office of the President
June 9, 2009

Members, Board of Trustees:

DR. RONALD J. SAYKALY PLEDGE FOR THE SAYKALY/GARBULINSKA FUND

Recommendation: that the Board of Trustees accept a pledge of \$200,000 from Dr. Ronald J. Saykaly of Lexington, Kentucky to create and endow the Saykaly/Garbulinska Fund for Rheumatology Fellows Research and Education and submit this pledge of \$200,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Dr. Ronald J. Saykaly is a part-time faculty member in the UK College of Medicine and is a member of the UK College of Medicine Dean's Advisory Council and the Gill Heart Institute's Director's Council.

Action taken: Approved Disapproved Other _____

FCR 24

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS TO THE SWIFT/LONGACRE/SCAIFE FELLOWSHIP IN CLASSICS

Recommendation: that the Board of Trustees accept gifts of \$54,189 from multiple donors to create and endow the Swift/Longacre/Scaife Fellowship in Classics in the College of Arts and Sciences' Department of Modern and Classical Languages, Literatures, and Cultures and submit these gifts of \$54,189 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Many donors created this endowed fund to provide graduate fellowships to student scholars majoring in Modern Classical Languages, Cultures, and Literature. The fund honors three significant individuals: Dr. Louis J. Swift, UK classicist, former department chair and Dean of Undergraduate Studies, for his years of dedicated teaching and service; the late Ruth Longacre, longtime Latin professor at Georgetown College and honoree of the Kentucky Classical Association, for her mentoring of many Latin teachers throughout the state; and the late Dr. Allen Ross Scaife, beloved professor of Classics, for his international pioneering efforts in employing digital technologies for scholarship and teaching in Classics.

Action taken: Approved Disapproved Other _____

FCR 25

Office of the President
June 9, 2009

Members, Board of Trustees:

HILDEGARD ROSA SHAPIRO ESTATE GIFT

Recommendation: that the Board of Trustees accept a gift of \$500,000 from the Estate of Hildegard Rosa Shapiro of Goldvein, Virginia to create and endow the Hildegard Rosa Shapiro Endowed Equine Research Fund in the College of Agriculture's Maxwell H. Gluck Equine Research Foundation and submit this gift of \$500,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Ms. Hildegard Rosa Shapiro, a horse owner from Virginia, respected and appreciated the work of the Maxwell H. Gluck Equine Research Center. She elected to include the Gluck Center in her Estate plans and wished to fund research for studies on the reproductive system of infected stallions.

Action taken: Approved Disapproved Other _____

FCR 26

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS TO THE MITZI STRODE OVARIAN CANCER RESEARCH FUND

Recommendation: that the Board of Trustees accept gifts of \$25,865 from the Estate of Mitzi Strode and \$25,000 from the Junior League of Lexington to create and endow the Mitzi Strode Ovarian Cancer Research Fund in the College of Medicine and submit these gifts of \$50,865 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: Mitzi Strode, who passed away due to complications of ovarian cancer, wanted proceeds from her life insurance policy to be used to support ovarian cancer research directed by her physician, Dr. Fred Ueland. She was a beloved member of the Junior League of Lexington and a former chair of their horse show. The Junior League presented one of the largest gifts in their history to name the fund for Mitzi Strode. The endowment will support Dr. Ueland's tissue bank, which is currently the largest in the United States, and a corresponding collaborative research project with Sweden.

Action taken: Approved Disapproved Other _____

FCR 27

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO THE DENNIS M. TEKRONY FUND FOR SEED
SCIENCE RESEARCH

Recommendation: that the Board of Trustees accept gifts of \$44,179 and pledges of \$6,750 from multiple donors to create and endow the Dennis M. TeKrony Fund for Seed Science Research in the College of Agriculture and submit these gifts and pledges of \$50,929 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: This endowment was created to recognize the achievements of Dr. Dennis M. TeKrony throughout his years of service to the University of Kentucky. The fund will support graduate student research in Seed Science and Technology.

Action taken: Approved Disapproved Other _____

FCR 28

Office of the President
June 9, 2009

Members, Board of Trustees:

GIFTS AND PLEDGES TO CREATE THE BYRON YOUNG, M.D. RESEARCH
ENDOWMENT FOR NEUROSURGERY RESIDENTS

Recommendation: that the Board of Trustees accept a gift of \$5,000 from Phillip Tibbs, M.D. and pledges of \$95,000 from Phillip Tibbs, M.D. of Lexington, Kentucky, John D. Johnson, M.D. of Huntsville, Alabama, Thad R. Jackson, M.D. of Elizabethtown, Kentucky and David J. Weaver, M.D. of Newburgh, Indiana to create and endow the Byron Young M.D. Research Endowment for Neurosurgery Residents in the Department of Neurosurgery in the College of Medicine and submit this gift and pledges of \$100,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The donors are all former residents who trained under Dr. Byron Young. They have chosen to honor Dr. Young's many years of service as a mentor and educator of neurosurgeons. In keeping with Dr. Young's lifelong interest in clinical research, this endowment will support residents' research activities.

Action taken: Approved Disapproved Other _____

FCR 29

Office of the President
June 9, 2009

Members, Board of Trustees:

ALLOCATION OF ENDOWMENT MATCH AND RESEARCH CAPITAL MATCH PROGRAM FUNDS

Recommendation: that the Board of Trustees approve the allocation of the \$33,333,000 Research Challenge Trust Fund as follows: \$21,926,570 to the Research Capital Match Program and \$11,406,430 to the Endowment Match Program.

Background: The 2008 Kentucky General Assembly authorized \$33,333,000 in General Fund supported bonds for the University of Kentucky through the Research Challenge Trust Fund for the traditional Endowment Match Program and a newly created Research Capital Match Program (designed to support capital projects). The university has the statutory authority to determine the allocation of funds for traditional Endowment Match Program activities and capital projects (the Research Capital Match Program). There is a dollar-for-dollar matching requirement for both.

The governing boards are required to report the allocation to various state government officials no later than June 30, 2009. Based on received and anticipated gifts, pledges, and grants, the administration recommends allocating \$21,926,570 to the Research Capital Match Program and \$11,406,430 to the Endowment Match Program. Contingent upon approval by the Board of Trustees, pursuant to House Bill 406 and Council on Postsecondary Education guidelines, the university will report the allocation of funds to the Secretary of the Finance and Administration Cabinet, the President of the Council on Postsecondary Education, the Capital Projects and Bond Oversight Committee, and the Interim Joint Committee on Appropriations and Revenue.

Pursuant to AR II-1.4-1, the Board of Trustees must approve the initiation of any capital project with a scope of \$600,000 or more by a separate action item.

Action taken: Approved Disapproved Other _____

FCR 30

Office of the President
June 9, 2009

Members, Board of Trustees:

MARTHA B. REYNOLDS ESTATE GIFT

Recommendation: that the Board of Trustees accept the remaining distribution of \$245,217 from the Estate of Martha B. Reynolds to create a quasi-endowment for the Arts and Sciences Endowed Professorship in the College of Arts and Sciences and submit this gift of \$245,217 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: A gift from Martha B. Reynolds' Estate was accepted by the Board on September 9, 2008. Mrs. Reynolds, who earned her bachelor's degree in 1952, spent her career with General Electric working in computer technology and analysis. She was an annual fund donor to the College of Arts and Sciences for twenty-three years.

Action taken: Approved Disapproved Other _____

FCR 31

Office of the President
June 9, 2009

Members, Board of Trustees:

ENDOWMENT MATCH PROGRAM SUBMISSION OF PREVIOUSLY ACCEPTED GIFTS
AND PLEDGES

Recommendation: that the Board of Trustees approve the submission of gifts and pledges totaling \$5,250,000 for matching funds from Kentucky's Endowment Match Program. Council on Postsecondary Education guidelines require that the Board of Trustees acknowledge its responsibility for UK's participation in the program and for all university endowment funds.

Background: The Board of Trustees previously accepted the following:

- Gifts of \$984,000 and a pledge of \$2.8 million on December 9, 2008 from the Barnstable-Brown Party Diamond Derby, Inc. of Louisville, Kentucky to create the Kentucky Diabetes and Obesity Center. The pledge includes \$1 million to be used to create and endow the Barnstable Brown Kentucky Diabetes and Obesity Center Research Endowment linked to the \$1 million Barnstable Brown Kentucky Diabetes and Obesity Center Chair in the Center for a total of \$2 million to be matched by RCTF.
- A pledge of \$1 million on December 9, 2008 from the HGG Family Foundation of Lexington, Kentucky to create and endow the Paul. A. Kearney, M.D. Endowed Chair in Trauma Surgery in the College of Medicine.
- A pledge of \$1 million on October 14, 2008 from the W. Paul and Lucille Caudill Little Foundation of Lexington, Kentucky to create and endow the Lucille Caudill Little Performing Arts in Healthcare Program in the College of Fine Arts' School of Music in partnership with UK HealthCare's Arts in HealthCare program.
- A gift of \$250,000 on September 9, 2008 from the Estate of Martha B. Reynolds to create and endow the Arts and Sciences Endowed Professorship in the College of Arts and Sciences.
- A gift of \$200,000 and a pledge of \$800,000 on June 17, 2008 from Dr. M. S. and Sowmya Viji of Lexington, Kentucky to create and endow the President A. P. J. Abdul Kalam India Studies Endowment in the Office of the Provost. This gift will fund a professorship in India studies in the Gatton College of Business and Economics.

Action taken: Approved Disapproved Other _____

FCR 32

Office of the President
June 9, 2009

Members, Board of Trustees:

2009-2010 OPERATING AND CAPITAL BUDGET

Recommendation: that the Board of Trustees:

- approve the 2009-2010 Operating and Capital Budget;
- approve the appropriation and allocation of all fund balances for future operations, to include amounts necessary to cover accounts receivable and inventories that will represent assets on the audited balance sheet of the University of Kentucky for FY 2008-2009. These balances are in addition to those incorporated in the proposed budget. Since the books of account for the University of Kentucky are maintained on a full accrual basis, this action will provide the funds necessary to maintain a sound financial position during FY 2009-2010;
- order that the operating budget be recorded as an integral part of the university's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures; and
- accept the operating budget as the basis of maximum expenditures for FY 2009-2010, subject to Board approved revisions.

Background: The 2009-2010 Operating and Capital Budget of the University of Kentucky is presented in detail as a separately bound document.

NOTE: Copy available at www.uky.edu\opbpa.

Action taken: Approved Disapproved Other _____

FCR 33

Office of the President
June 9, 2009

Members, Board of Trustees:

PATIENT CARE FACILITY BOND REIMBURSEMENT RESOLUTION

Recommendation: that the Board of Trustees approve the attached resolution declaring official intent to reimburse capital expenditures for the Patient Care Facility from a future bond issue.

Background: U.S. Department of Treasury regulations related to the use of proceeds of tax-exempt bonds or notes require that the borrower make a Declaration of Official Intent to reimburse itself for capital expenditures made prior to the issuance of the debt (also known as a “Reimbursement Resolution”) if the issuer intends to reimburse itself from bond proceeds.

The management of UK HealthCare anticipated financing the \$532.3 million Patient Care Facility Phase I, currently under contract, with \$250 million of general receipts obligation bonds, \$7.3 million of private gifts, and \$275 million of cash. This method of financing assumed UK HealthCare would maintain a minimum of \$200 million in cash balances and general reserves for asset/liability management and liquidity requirements.

Due to the economic downturn and financial market declines, the administration recommends amending the financing plan to avoid liquidating the University Hospital Quasi-Endowment Fund at this time. The university anticipates requesting legislative authorization to issue up to an additional \$100 million of general receipts obligation bonds to complete financing of Phase I construction. The remaining cash will come from operations as planned. Passage of this Resolution states intent to reimburse from the future bond issue and preserve this as an option in the future. Prior to the issuance of bonds, the Board of Trustees will be asked for approval of the proposed bonds.

The Debt Committee has reviewed this potential bond issue to assess the impact on the university's debt capacity. The Debt Committee has determined that this potential bond issue is consistent with the Debt Policy.

Action taken: Approved Disapproved Other _____

Attachment

A RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY DECLARING OFFICIAL INTENT WITH RESPECT TO REIMBURSEMENT OF TEMPORARY ADVANCES MADE FOR CAPITAL EXPENDITURES TO BE MADE FROM SUBSEQUENT BORROWINGS; AND TAKING OTHER ACTIONS IN CONNECTION THEREWITH

WHEREAS, Treasury Regulation § 1.150-2 (the "Reimbursement Regulations"), issued pursuant to § 150 of the Internal Revenue Code of 1986, as amended, (the "Code") prescribes certain requirements by which proceeds of tax-exempt bonds, notes, certificates or other obligations included in the meaning of "bonds" under § 150 of the Code ("Obligations") used to reimburse advances made for Capital Expenditures (as hereinafter defined) paid before the issuance of such Obligations may be deemed "spent" for purposes of §§ 103 and 141 to 150 of the Code and therefore, not further subject to any other requirements or restrictions under those sections of the Code; and

WHEREAS, such Reimbursement Regulations require that the Borrower (as hereinafter defined) make a Declaration of Official Intent (as hereinafter defined) to reimburse any Capital Expenditure paid prior to the issuance of the Obligations intended to fund such Capital Expenditure and require that such Declaration of Official Intent be made no later than sixty (60) days after payment of the Capital Expenditure and further require that the Allocation (as hereinafter defined) of the proceeds of such Obligations to reimburse such Capital Expenditures occur no later than eighteen (18) months after the later of the date the Capital Expenditure was paid or the date the property acquired with the Capital Expenditure was placed in service, except that any such Allocation must be made no later than three years after such Capital Expenditure was paid; and

WHEREAS, the University of Kentucky (the "Borrower") wishes to ensure compliance with the Reimbursement Regulations;

NOW, THEREFORE, be it resolved as follows by the Board of Trustees of the University of Kentucky as follows:

SECTION 1. Definitions. The following definitions apply to the terms used herein:

"*Allocation*" means written evidence that proceeds of Obligations issued subsequent to the payment of a Capital Expenditure are to effect the reimbursement of the Borrower for such payments.

"*Borrower*" means the University of Kentucky.

"*Capital Expenditures*" means any expense for an item that is properly depreciable or amortizable or is otherwise treated as a capital expenditure for purposes of the Code, as well as any costs of issuing Reimbursement Bonds.

"*Declaration of Official Intent*" means a written declaration that the Borrower intends to fund a Capital Expenditure with an issue of Reimbursement Bonds and reasonably expects to be reimbursed from the proceeds of such an issue.

"*Reimbursement*" means the restoration to the Borrower of money temporarily advanced from other funds of the Borrower to pay for Capital Expenditures before the issuance of Obligations intended to fund such Capital Expenditures. "To reimburse" means to make such a restoration.

"*Reimbursement Bonds*" means Obligations that are issued to reimburse the Borrower for Capital Expenditures previously paid by or for the Borrower.

"*Reimbursement Regulations*" means Treasury Regulations § 1.150-2 and any amendments thereto or superseding regulations, whether in proposed, temporary or final form, as applicable, prescribing conditions under which the proceeds of Obligations when allocated or applied to a reimbursement will be treated as "spent" for purposes of §§ 103 and 141 to 150 of the Code.

SECTION 2. Declaration of Official Intent.

(a) The Borrower declares that it reasonably expects that the Capital Expenditures described in Section (b), which will be paid prior to the issuance of any Obligations intended to fund such Capital Expenditures, will be reimbursed with the proceeds of Obligations, representing a borrowing by the Borrower in the maximum principal amount for such reimbursements, funding of any required reserves and payment of costs of issuance in an aggregate principal amount of approximately \$100,000,000; and

(b) The Capital Expenditures to be reimbursed will be for improvements to, and equipping of, the Borrower's hospital facilities.

SECTION 3. Reasonable Expectations. The Borrower does not expect any other funds (including the money advanced to make the Capital Expenditures that are to be reimbursed), to be reserved, allocated on a long-term basis, or otherwise set aside by the Borrower or any other entity affiliated with the Borrower, with respect to the Capital Expenditures described in 2(b).

SECTION 4. Effective Date. This Resolution shall be effective from and after its date of adoption.

Adopted at a duly convened meeting of the Board of Trustees of the University of Kentucky on the 9th day of June, 2009.

UNIVERSITY OF KENTUCKY

Attest:

By:

Chair, Board of Trustees

Attest:

By:

Secretary

CERTIFICATE

I, the undersigned Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true and correct copy of a Resolution passed at a duly convened meeting of the Board of Trustees of the University of Kentucky held on the 9th day of June, 2009, at which a quorum was present, and that said Resolution has not been amended, modified, revoked or repealed and is now in full force and effect, all as appears from the official records of the Board of Trustees of the University of Kentucky in my custody and under my control.

Witness my hand as Secretary of the Board of Trustees of the University of Kentucky this 9th day of June, 2009.

Secretary

FCR 34

Office of the President
June 9, 2009

Members, Board of Trustees:

2010-2016 CAPITAL PLAN
AND 2010-2012 CAPITAL REQUEST

Recommendation: that the Board of Trustees accept the 2010-2016 Capital Plan, approve the 2010-2012 Capital Request, and authorize the president to adjust the Capital Request, as necessary, to respond to the Council on Postsecondary Education's capital recommendation to the 2010 General Assembly.

Background: The 2010-2016 Capital Plan (attached) is the result of a comprehensive capital planning process guided by the university's Top 20 Business Plan. The Capital Plan has been prepared pursuant to KRS Chapter 7A, which requires each state agency and public postsecondary institution to prepare and submit a plan to the Capital Planning Advisory Board (CPAB) outlining its capital needs on or before April 15 of each odd-numbered year. The CPAB is comprised of representatives of all three branches of Kentucky state government.

Pursuant to Administrative Regulation II-1.4-1, the Capital Plan and the Capital Request are reported to the Board of Trustees at its April or June meeting. By statute, the Capital Plan includes capital construction, renovation, and information technology projects estimated to cost \$600,000 or more; equipment estimated to cost \$200,000 or more; and annual leases valued at \$200,000 or more.

The 2010-2012 Capital Request originates from the Capital Plan. All capital projects must be authorized by the Kentucky General Assembly either as part of the biennial budget bill or through the legislative interim process.

Following approval by the Board of Trustees, the 2010-2012 Capital Request will be submitted to the Council on Postsecondary Education. The Council will submit the system-wide capital request to the Governor in November for consideration in the 2010 legislative session.

The Board of Trustees will continue to review and approve, prior to initiation, capital projects as defined in Administrative Regulation II-1.4-1.

Action taken: Approved Disapproved Other _____

FCR 35

Office of the President
June 9, 2009

Members, Board of Trustees:

LEASE/PURCHASE OF MEDICAL EQUIPMENT

Recommendation: that the Board of Trustees approve the \$25 million UK HealthCare Enterprise Medical Equipment Lease Pool for 2009-10.

Background: At its September 11, 2007 meeting, the Board delegated to the Executive Vice President for Finance and Administration the responsibility for managing university debt related to the lease/purchase of equipment and information technology items costing less than \$200,000. However, acquisitions of major equipment and information technology items costing \$200,000 or more where the method of procurement involves debt in the form of capitalized lease obligations will be submitted to the Board for approval. The university's Debt Committee reviews these acquisitions to assess the impact on the university's debt capacity. The Debt Committee has determined that acquisition of these items is consistent with the university's Debt Policy.

The medical equipment pool will allow funding flexibility with regard to various capital medical equipment purchases initiated by UK HealthCare during the 2009-10 fiscal year. This pool is required for cash-flow management purposes as UK HealthCare proceeds with several cash-intensive projects such as the construction and eventual build-out of the Patient Care Facility, various ambulatory care initiatives, and other major projects/initiatives. The medical equipment that may be purchased includes: surgical, radiologic, patient monitoring/bedding, laboratory analyzers/instruments, information technology, and other procedure specific equipment. The pool will be funded by UK HealthCare.

Action taken: Approved Disapproved Other _____

FCR 36

Office of the President
June 9, 2009

Members, Board of Trustees:

DISPOSAL OF PERSONAL PROPERTY

Recommendation: that the Board of Trustees approve a plan for fiscal year 2009-2010 authorizing routine disposition of personal property; that property covered by the plan includes obsolete equipment and machinery, surplus office equipment, vehicles, library books and materials, livestock and recyclable materials; and that the methods of disposal as listed on the Attachment be used.

Background: Kentucky Revised Statue 164A.575 requires that the Board of Trustees approve disposition of equipment and other property. Administrative Regulation II-1.3-3, approved by the Board of Trustees March 6, 2007, establishes the policies and procedures including the approvals required for the disposition of personal property. This plan will provide an efficient and timely process for the routine dispositions of property.

Action taken: Approved Disapproved Other _____

ATTACHMENT

ANTICIPATED DISPOSAL OF PROPERTY 2009-10

A. Equipment and Machinery

Method of Disposal

Public Auction

Internet Auction

Sealed Bid

Fixed Price

Retail Outlet

Transfers to Other State Agencies or Governmental Units,

Educational Institutions, or not-for-profit organizations

Reasons for Disposal

Equipment and machinery surplus to the needs of the University due to depreciation, obsolescence, program changes, or termination.

B. Vehicles

Method of Disposal

Public Auction

Fixed Price

Internet Auction

Reason for Disposal

Vehicles of excessive mileage and/or in need of major repairs.

C. Library Books and Materials

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Library books and materials that are obsolete.

D. Livestock

Method of Disposal

Public Auction

Sealed Bid

Fixed Price

Reason for Disposal

Livestock surplus to the needs of the College of Agriculture or otherwise unsuitable for use (completion of research projects, carcass data requirements, normal culling of herds).

E. Recyclable Materials

Method of Disposal

Price Contracts

Fixed Price

Reason for Disposal

To comply with regulations for proper and timely disposition of waste products and recyclable materials (as directed by the Office of Environmental Health and Safety).

FCR 37

Office of the President
June 9, 2009

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period January 1 through March 31, 2009.

Background: At its March 4, 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: • Approved • Disapproved • Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH MARCH 31, 2009

Patents

The following assignments on behalf of the Board of Trustees of the University of Kentucky Research Foundation have been executed:

1. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: October 29, 2008
Title: “Methods and Pharmaceutical Compositions for Decorporation of Radioactive Compounds”
Inventor: Dr. Michael Jay (CPST)
Technical Description: This invention relates to chelating agents and to related methods for utilizing those agents in pharmaceutical compositions to decorporate or remove transuranic or other radioactive elements and compounds from mammals such as humans.
Summary: The inventor has developed new drug compounds for the treatment of radiation poisoning. Previously, the drug compounds could only be administered intravenously or through inhalation. The inventor has developed compounds for oral administration.

2. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 14, 2009
Title: “Method for Screening for a Tobiano Coat Color Genotype”
Inventors: Drs. Ernest Bailey and Samantha A. Brooks (Veterinary Science)
Technical Description: The invention relates to detection or screening for genotypes for coat color patterns. In particular, the invention relates to screening for the genotype for the tobiano coat color pattern in horses. The method relies on detection of a chromosome inversion on horse chromosome 3.
Summary: Tobiano is a white spotting pattern in horses that is highly valued by horse owners and breeders. Horses that inherit the tobiano pattern from both parents are more valuable than horses inheriting the pattern from only one parent. Prior methods of determining whether both parents contributed to a horse’s tobiano pattern are expensive and difficult. The inventors have developed a method of testing that is less expensive and easier.

3. **U.S. Patent Application Serial Number: (to be assigned)**
Filed: January 22, 2009
Title: “Altered Sumoylation of Lamin-A Protein Associated with Dilated Cardiomyopathy”
Inventor: Dr. Kevin Sarge (Biochemistry)
Technical Description: This invention relates to methods of diagnosing dilated cardiomyopathy using analysis of the lamin-A protein and sumoylation of same, as well as methods for treating cardiomyopathy, comprising enhancing the sumoylation of the lamin-A protein in a subject in need of the treatment.

Summary: Dilated cardiomyopathy is a common heart disease in which the heart becomes weakened and enlarged. The inventor has discovered that dilated cardiomyopathy is related to the affected person's failure to properly process lamin-A, a protein found in human cells. The inventor has discovered that early detection of cardiomyopathy can be accomplished by identifying the failure to properly process lamin-A in a person not yet exhibiting symptoms. The inventor also has discovered that correcting the deficiency in lamin-A should successfully treat dilated cardiomyopathy.

4. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: January 30, 2009

Title: "Liposomal Formulations of Hydrophobic Lactone Drugs in the Presence of Metal Ions"

Inventors: Drs. Vijay Joguparthi and Bradley Anderson (Pharmaceutical Sciences)

Technical Description: This invention relates to liposomal formulation with metal ions and methods of using said formulation to deliver pharmaceutically effective amounts of hydrophobic lactone drugs.

Summary: Liposomes are hollow spherical droplets that dissolve in water. Drugs not otherwise soluble in water can be made soluble by encapsulating the drugs within spherical liposomes, making the drugs easier to administer. The inventors have developed a formulation of lactone drugs (a class of insoluble cancer drugs) using metal ions, making the drugs easily loadable into liposomes and thus improving the method of delivering lactone drugs to cancer patients.

5. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: January 30, 2009

Title: "Prolonged Release of Hydrophobic Lactone Drugs from Liposomes by Entrapment of Drug-Cyclodextrin Complexes at Varying Intraliposomal"

Inventors: Drs. Vijay Joguparthi and Bradley Anderson (Pharmaceutical Sciences)

Technical Description: This invention relates to the liposomal formulation with cyclodextrin and methods of using said formulation to deliver pharmaceutically effective amounts of hydrophobic lactone drugs.

Summary: Drugs not otherwise soluble in water can be made soluble by encapsulating the drugs within spherical liposomes, making the drugs easier to administer. The inventors have developed a formulation of lactone drugs (a class of insoluble cancer drugs) using cyclodextrin, making the drugs easily loadable into liposomes and thus improving the method of delivering lactone drugs to cancer patients.

6. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: February 24, 2009

Title: “Gene Expression Profile Biomarkers and Therapeutic Targets for Brain Aging and Age-related Cognitive Impairment”

Inventors: Drs. Philip Landfield, Eric M. Blalock and Kuey-Chu Chen (Molecular and Biomedical Pharmacology), and Thomas C. Foster (Pharmacy Practice and Science)

Technical Description: This invention relates generally to genetic algorithms, and more particularly to the identification of gene expression profile biomarkers and therapeutic targets for brain aging.

Summary: Prior work has identified genes associated with cognitive decline in aging humans. The inventors have developed a method of assessing the impact of various treatments for cognitive decline on the activity of these genes. The method should prove useful in identifying effective treatments for cognitive decline in aging.

7. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: March 6, 2009

Title: “Cannabinoid-containing Compositions and Methods for Their Use”

Inventors: Drs. Audra Stinchcomb (Pharmaceutical Sciences) and Karin Westlund High (Physiology)

Technical Description: The invention relates to the transdermal delivery of cannabinoids. More particularly, the invention relates to methods and materials for transdermally delivering cannabinoids, particularly cannabidiol, to treat symptoms such as inflammation, pain and discomfort associated with or caused by traumatic injury or disease conditions including strains, sprains, contusions, and arthritis--particularly osteoarthritis.

Summary: The compound cannabidiol has been found to be 360 times more effective than aspirin in reducing pain and inflammation. Because the topical application of pain relievers allows one to target a specific area of the body, the inventors have formulated cannabidiol for topical application.

8. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: March 13, 2009

Title: “Methods for Treating Cancer with Thiaminase”

Inventors: Drs. Jeffrey Moscow and Shuqian Liu (Pediatrics - Hematology and Oncology), and Younsoo Bae and Noel Monks (Pharmaceutical Sciences)

Technical Description: This invention relates to a method of treating cancer with thiaminase in combination with an anti-cancer agent and/or radiation.

Summary: While all cells require the vitamin thiamine to survive, cancer cells have an unusually high dependence on thiamine. The enzyme thiaminase destroys cellular thiamine. The inventors have utilized these relationships to develop a method of treating cancer, wherein thiaminase is administered to reduce thiamine levels below those needed for cancer cells to survive, but still above the levels needed for the survival of normal cells.

9. **U.S. Patent Application Serial Number: (to be assigned)**

Filed: March 24, 2009

Title: “Intranasal Opioid Compositions, Delivery Devices, and Methods of Using Same”

Inventor: Dr. Daniel Wermeling (Pharmacy Practice and Science)

Technical Description: This invention relates to the formulation of opioid compositions for nasal delivery, delivery devices, and methods of using same.

Summary: Opioids are a major class of drugs used to treat pain. Opioids are primarily administered by injection. The inventor has formulated Opioids for delivery through nasal inhalation.

Patent Activities

Fiscal year to date as of March 31, 2009

| | |
|-------------------------------|-------------|
| Number of Patent Applications | 29 |
| Number of Patents Issued | 11 |
| Patent Income | \$1,498,742 |

FCR 38

Office of the President
June 9, 2009

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending March 31, 2009 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the university is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period January 1, 2009 thru March 31, 2009:

There were two new contracts this quarter.

| | |
|----------------|--|
| Project 2297.1 | Digital Village Building 2 JRA Architects, \$1,409,934 (Design) |
| Project 2299.1 | Convert Hunt Morgan Space to Class Lab Denham Blythe Inc., \$1,679,638 (Construction) |

One contract was completed:

| | |
|----------------|---|
| Project 2268.0 | Expand Chemistry Physics Building Denham Blythe Co., \$3,288,160 |
|----------------|---|

One amendment as follows:

| | |
|----------------|--|
| Project 2235.0 | Construct Biological Pharmaceutical Complex Building |
| | - Additional design services required to provide for the fit-up of the fourth and fifth floors (+) \$344,270 |

Seventeen change orders greater than \$25,000 were as follows:

| | |
|----------------|---|
| Project 2235.0 | Construct Biological Pharmaceutical Complex Building |
| | - Provide steel columns needed to accommodate the elevator structural needs (+) \$136,956 |
| | - Provide for removal and replacement of unsuitable soil at the site for the generator building expansion (+) \$34,234 |
| | - Revise tile and carpeting to maintain design consistency and provide higher durability in high traffic areas (+) \$65,826 |

- Provide cherry wood panels in lieu of metal panels at the sides of the media wall to provide a more uniform appearance and resolve difficult detail coordination (+) \$32,071
 - Provide for extension of gypsum board, on one or both sides of metal studs, to the deck above (+) \$45,307
- Project 2239.0 Construct Patient Care Facility
- Provide for additional cost required to bore under the existing gas line for the new 24" sanitary main (+) \$39,957
 - Provide for the removal of unsuitable soils and for the addition of backfill and compact to match existing slope (+) \$51,550
 - Provide for revision of the medium voltage cable spec to meet the UK standard (+) \$27,389
 - Provide for additional doors to the freight elevators allowing access to these elevators from the exterior (+) \$25,547
 - Provide for an increase to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new manhole (+) \$29,111
 - Provide for the upsizing and rerouting of the steam generators (+) \$71,714
 - Provide for miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs (+) \$75,511
 - Provide for an increase to booster pump capacity (+) \$74,499
 - Provide for installation of piping for dialysis systems on the fifth floor (+) \$38,126
 - Provide for the utilization of Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure (+) \$101,006
- Project 2239.32 PCF – Hospital – CUP Expansion
- Provide for revisions to the civil site plan to provide greater security and improve the utilization of the grounds north of the new construction (+) \$26,661
- Project 2298.1 Expand/Renovate Outpatient Clinic in Kentucky Clinic
- Replace the existing (2) runs of double wall ductwork with new high velocity single wall ductwork (+) \$27,734

Action taken: Approved Disapproved Other _____

HRCR 1

Office of the President
June 9, 2009
Members, Board of Trustees:

PROPOSED AMENDMENT TO GOVERNING REGULATIONS:
FACULTY APPOINTMENT, PROMOTION, AND THE GRANTING OF TENURE

Recommendation: that the Board of Trustees receive the attached revision of Governing Regulation, Part VII, which was received for preliminary consideration as HRCR 1 by the Board of Trustees on April 21, 2009, for approval. The proposed revision relates to the processes for the appointment, promotion, and granting of tenure for faculty. The revision requires all educational units to develop statements describing the evidences of activity in instruction, research and service that are appropriate to their field(s) for use in guiding evaluations for promotion and tenure. The current policy permits, but does not require, unit faculties to develop such statements. The revision also eliminates the required review of promotion and/or tenure dossiers by the Dean of the Graduate School.

Background:

GR VII, Section I.A.6(c), Department Faculty Functions. The Governing and Administrative Regulations establish the criterion for the granting of tenure as excellence in all areas of activity. Section I.A.6(c) of GR VII permits departments and schools, on an elective basis, to establish written statements on disciplinary-based evidences that constitute excellence in areas of activity assigned to department faculty. For probationary faculty working to earn tenure and for tenured faculty who are asked to apply standards of excellence as reviewers of tenure dossiers, written statements “describing the evidences of activity in instruction, research and service that are appropriate to their field(s)” are invaluable heuristic guides. Provost Subbaswamy proposes that the development of such statement by unit faculties should not be voluntary; and college faculties, their representative faculty councils, and deans agree that the development of such written statements should be mandatory for all academic departments.

GR VII, Section B.2, Dean of the Graduate School. Section B.2 of GR VII requires that the Provost obtain recommendations from the Dean of the Graduate School on all initial appointments of associate professors and professors, promotions and the granting of tenure. It is proposed that this requirement be eliminated. Requiring the Dean of the Graduate School to prepare written evaluations for all promotion and tenure cases is enormously time-consuming without sufficient benefit. The Provost is free to seek the advice of the Dean of the Graduate School, and will likely do so in all contentious tenure cases. College faculties, their representative faculty councils, and deans support the proposed change in the language of Section B.2.

A revised copy of the relevant sections of GR VII is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

**Proposed Changes to
GR VII
University Organization**

GR VII – Section I.A.6(c) - Department Faculty Functions

Within the limits established by these *Governing Regulations*, the *Administrative Regulations*, *University Senate Rules*, *Rules of the Graduate Faculty*, or the rules of the faculties of the school or college of which the department is a part, the department faculty has jurisdiction over matters concerning its educational policies.

The department faculty has primary responsibility for the development of policies on such matters as academic requirements, courses of study, course offerings, graduate and research programs, and service functions. Jointly with the department chair, the department faculty shall establish procedures to be used within the department concerning (1) recommendations on faculty appointments, promotions, reappointments, terminal appointments, post-retirement appointments, and the granting of tenure and decisions not to reappoint; (2) the faculty performance evaluations and (3) preparation of budget requests. The procedures in (1) and (2) above shall include consultation with directors of multidisciplinary research centers and institutes for those faculty members who are or shall be associated with such centers or institutes.

The department faculty ~~shall~~may develop statements describing the evidences of activity in instruction, research and service that are appropriate to their field(s) for use in guiding evaluations for promotion and tenure. If developed and approved by the department faculty, those statements must be submitted by the chair of the department to the dean for review and final approval before the statements are made operative in the department. Revisions to a department's statements, upon approval of the department faculty, must also be submitted by the department chair to the dean for review and final approval.

GR VII – Section B.2 - Dean of the Graduate School

The Dean of the Graduate School is chair of the Graduate Faculty and of the Graduate Council and serves as an ex-officio member of all committees of the Graduate School. Under the broad direction of the President and the Provost, the Dean provides general planning, guidance, review, and coordination for all of the University's endeavors in graduate education. The Dean appoints regular and associate members of the Graduate Faculty. ~~The Dean recommends to the Provost on the following actions affecting faculty members who are or may be involved in research or graduate programs: initial appointments of associate professors and professors; promotions of assistant professors and associate professors; and granting of tenure.~~ The Dean also recommends on budgets

as these may affect graduate education and shall have the same authority and responsibilities as those of a dean of a college in the administration of educational units that might be transferred to or developed under the Office of the Dean of the Graduate School.

The Dean shall speak for the Graduate Faculty. In the event that the Dean believes it necessary to depart from the recommendations of the Graduate Faculty, the Dean shall communicate the Graduate Faculty's recommendation as well as the Dean's recommendation, stating the reasons for differing from the Graduate Faculty's opinion, and notify the Graduate Faculty of such action.

The Dean shall speak for the Graduate Faculty. In the event that the Dean believes it necessary to depart from the recommendations of the Graduate Faculty, the Dean shall communicate the Graduate Faculty's recommendation as well as the Dean's recommendation, stating the reasons for differing from the Graduate Faculty's opinion, and notify the Graduate Faculty of such action.

UHCCR 1

Office of the President
June 9, 2009

Members, Board of Trustees:

APPROVAL FOR ADMINISTRATIVE REGULATION: PRACTICE PLANS FOR HEALTH
SCIENCE COLLEGES AND UNIVERSITY HEALTH SERVICES

Recommendation: that the Board of Trustees approve a new Administrative Regulation (AR) 3:14, Practice Plans for Health Science Colleges and University Health Services, a copy of which is attached as Exhibit A, to replace and supersede the following current Administrative Regulations: AR II- 7.0-3, Dental Services Plan; AR II-7.0-4, Provisions for Faculty Salary Supplemental Compensation under the Dental Services Plan; AR II-7.0-8, University Health Service Physicians' Service Plan; AR II-7.0-9, Provisions for University Health Service Physicians' Supplemental Compensation under the University Health Service Physicians' Practice Plan; AR II-7.0-10, College of Health Sciences Faculty Practice Plan; AR II-7.0-11, College of Nursing Faculty Practice Plan; and AR II-7.0-12, College of Pharmacy Faculty Practice Plan.

Background: Faculty practice plans are a means to account for, manage, and distribute funds generated by faculty from patient care and other activities that generate funds from third parties. Currently, there are eight AR's that pertain to faculty practice plans in the health science colleges and University Health Service. Moreover, the Colleges of Medicine and Public Health have practice plans authorized by board actions, but without a governing AR. As a result, there has been little coordination or standardization among the various plans, making oversight difficult. In the increasingly regulated health care industry, this lack of standardization could lead to regulatory issues.

The development of a single AR as the regulatory basis for all six health science colleges and University Health Service has been in process for several years during which all colleges and UHS were consulted frequently. The new AR 3:14 is the result of this review process. AR 3:14 provides the following improvements: 1) a common AR for all health science college practice plans and University Health Services to cover basic plan requirements; 2) updated and standardized terminology; 3) coordination with other related AR's, e.g., consulting and intellectual property; 4) greater oversight through an annual conflict of interest disclosure statement; 5) increased flexibility through use of College Addenda that are approved annually by the Provost and Executive Vice President for Health Affairs; and, 6) clarification when activities might be covered under the University's program of self insurance.

This AR will not become effective for any particular college until that college's College Addendum has been approved, as set forth above.

Action taken: Approved Disapproved Other _____

UHCCR 2

Office of the President
June 9, 2009

Members, Board of Trustees:

APPROVAL OF ANNUAL AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND
KENTUCKY MEDICAL SERVICES FOUNDATION, INC. FOR FY 2010

Recommendation: that the Board of Trustees approve the annual agreement between the University and Kentucky Medical Services Foundation, Inc. (KMSF), a change highlighted copy of which is attached hereto as Exhibit A.

Background: The university has an annual agreement with KMSF under which KMSF bills, collects, and performs certain management functions for the faculty of the College of Medicine. This agreement was approved on an annual basis by the Board of Trustees until 2006, when the approval was delegated to university management unless a material change was proposed. In the agreement for fiscal year 2010, material changes are proposed to accommodate KMSF's increasing role as a landlord for certain university operations.

The material changes are in Section 5, allowing the university to reimburse KMSF for property operations costs paid by KMSF and in Section 20 authorizing waiver of subrogation in certain circumstances. The provision concerning payment of operations costs is necessary because the costs to operate certain property owned by KMSF but occupied by the university exceed the amounts the university has been paying to KMSF in rent. The subrogation waiver is necessary to coordinate insurance coverage between the university and KMSF.

KMSF recently purchased property consisting of an office park in Lexington. Much of that office park is occupied by university health care and other programs. The cost to operate the property has exceeded available university rent. Moreover, the KMSF and university property and casualty insurance programs are not coordinated. These changes to the annual agreement are necessary to address these issues. The university and KMSF consolidate financial statements under applicable Governmental Accounting Standards; thus, the proposed modifications do not present an adverse financial impact to the university.

Action taken: Approved Disapproved Other _____