

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 11, 2012

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, September 11, 2012 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:06 p.m. Dr. Brockman asked Ms. Pamela T. May, vice chair of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., Stephen Bilas, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark Bryant, Jo Hern Curris, Oliver Keith Gannon, Carol Martin “Bill” Gatton, Kelly Sullivan Holland, Pamela T. May, Billy Joe Miles, Terry Mobley, Charles Sachatello, C. Frank Shoop, James W. Stuckert, Irina Voro, John Wilson, and Barbara Young. Absent from the meeting was William S. Farish. Ms. May announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Interim Provost Tim Tracy, Vice President for Health Affairs Michael Karpf, and Senior Associate General Counsel T. Lynn Williamson.

The University faculty was represented by Chair of the University Senate Council Lee Blonder, and the University staff was represented by Chair of the Staff Senate Mike Adams.

Members of the various news media were also in attendance.

C. Consent Items

Dr. Brockman called attention to the five consent items on the agenda. The items included the minutes for the regular meeting of the Board on June 19, 2012, PR 2 which deals with personnel actions, FCR 1, American Music Library Endowed Fund Name Change, FCR 2, Cardiovascular Imaging Professorship Fund Name change, and FCR3, the William E. Seale Family Foundation Gift.

Mr. Stuckert moved approval of the consent items. Mr. Mobley seconded the motion, and it carried without dissent. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, June 19, 2012

PR 2 Personnel Actions

FCR 1 American Music Library Endowed Fund Name Change

FCR 2 Cardiovascular Imaging Professorship Fund Name Change

FCR 3 William E. Seale Family Foundation Gift

D. Chairman's Report

Dr. Brockman began by reporting that there had been no requests since the last meeting to speak or appear before the Board.

Dr. Brockman welcomed the three new trustees, Alumni trustee Kelly Holland of Lexington, Gubernatorial appointee Mark Bryant from Paducah, KY and the SGA president Steven Bilas from Louisville KY.

Dr. Brockman reminded the Board members that in lieu of the October Board meeting, there will be a Board of Trustees retreat on Saturday October 13th and Sunday October 14th. He announced that there would be some action items that would occur at the retreat.

Dr. Brockman announced that after the afternoon elections, the new Chair would begin work on committee assignments and would be requesting the Board's preferences.

Dr. Brockman reviewed for the Board the difference between the University of Kentucky Governing Regulations and the Administrative Regulations. The Governing Regulations can only be changed by the Board of Trustees and the Administrative Regulations are in the purview of the President. He noted however, there are a few Administrative Regulations that for a variety of reasons are in the Board's purview. Those reasons might be one of the following:

- a) a state or federal law or accreditation agency specifically requires the Board to implement a policy;
- b) the Board has not delegated the authority and specifically retained approval in the general regulations;
- c) the Board originally or historically adopted the policy for whatever reason and has never delegated it;
- d) the subject matter is such that the Board chooses to adopt the policy itself rather than delegate.

Dr. Brockman cited that Administrative Regulation 7.2, titled "Financial Conflict of Interest in Research," is now under the President's purview because it has been changed to comply with federal guidelines and no longer requires Board of Trustees oversight.

E. Annual Performance Evaluation (ECR1)

Dr. Brockman reported that the Executive Committee met in open session on July 31st and recommended two action items. The first item, ECR 1 recommended that the Chair of the Executive Committee present to the Executive Committee and to the President, a summary of the July 31st discussion. This discussion reported the Executive Committee findings and the recommendations to the President regarding the 2011-2012 Presidential Evaluation.

Dr. Brockman thanked the Board members and the many other individuals who participated in the evaluative process. He reported that President Capilouto, as the evaluation

clearly showed, had an outstanding year; leading the University through important changes, and laying the foundation for more progress and change in the coming years. Dr. Brockman reiterated the evaluation underscored the time and commitment President Capilouto has made to deeply engage with the campus and UK's many constituencies on how best to move forward during very challenging times.

Dr. Brockman stated that as a campus and as a Board, we have set forth two prominent goals: to enhance an already strong undergraduate education program and to move forward with an ambitious revitalization of our campus infrastructure. He stated that he believes that with President Capilouto, the University has the "right person at the right time" to move forward with a blue print for the future.

Dr. Brockman was proud of the constructive feedback that the evaluation contained for areas of improvement. He noted that "everyone can improve" and it reinforced the credibility of the report. It was also a strong endorsement of the University's current direction, while also spotlighting in a constructive fashion where more can be done. It was also a supportive statement in regards to the sense of transparency and commitment.

Dr. Brockman stated that ECR 1 is a recommendation that the Chair of the Executive Committee, on behalf of the Committee, will present the President and the Executive Committee a summary of the discussion from the July 31, 2012 meeting and the Chair's presentation will include a recommendation of the Executive Committee that there be no change in President Capilouto's contract. Dr. Brockman opened the floor for discussion.

Dr. Voro read the following statement:

"I was elected to represent the faculty. So, before I vote for something important, I try to find out what the faculty opinion on the subject is. Our faculty has a long list of grievances with the administration, and the list doesn't seem to get shorter.

The Presidential evaluation is one of those occasions when the faculty can express its judgment about the quality of the administration as a whole and the presidential performance in particular. From my polling of the faculty on this issue in June, I saw that the majority of responses came out negative, sometimes scathing; and that was before the realization that the job and program cuts this summer were probably unnecessary.

I find that this faculty opinion was not adequately represented in the presidential evaluation process. Therefore, I will abstain from voting on the Presidential contract and incentive payment."

Seeing no further discussion, Dr. Brockman called for the vote. The motion passed with 18 affirmative votes and one abstention, Trustee Voro. (See ECR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

F. Performance Incentive Payment (ECR 2)

Dr. Brockman stated that ECR 2 is the recommendation that in recognition of his extraordinary performance, the University of Kentucky Board of Trustees Executive Committee would sincerely like to offer President Capilouto a first year Performance Incentive Payment. However, based on discussions with the President, the Board of Trustees Executive Committee has decided to postpone any such action because of the current economic conditions.

With no discussion on the floor, Dr. Brockman called for the vote. The motion passed with 18 affirmative votes and one abstention, Trustee Voro. (See ECR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

On behalf of the Board of Trustees, Dr. Brockman expressed his personal thanks to President Capilouto for what he considered an exemplary performance over his first year.

There was a round of applause.

G: Nominating Committee Report

Mr. Shoop reported that the Nominating Committee met on August 31st.

H. Election of Officers

Mr. Shoop submitted the following nominations for the following offices:

For Chair - Dr. E. Britt Brockman

For Vice Chair - Ms. Pamela T. May

For Secretary - Ms. Sheila Brothers

For Assistant Secretary - a representative from the Office of Legal Counsel

Chair Brockman stated that the Board would proceed with the election of the officers. He continued that he was honored to be the nominee as chair, but felt it would be a conflict of interest to preside over that election. He asked former chair Billy Joe Miles to come forward to accept the gavel and conduct the election of Chair.

Mr. Miles stated that the Nominating Committee nominated Britt Brockman for chair. He asked for any nominations from the floor. With no nominations, he called for the vote. Mr. Miles recognized Dr. Voro.

Dr. Voro read the following statement:

“I emailed you all on this issue of election, and I also had an extensive correspondence with Chair Brockman on this issue as well. So I simply want to remind you that last year my constituency informed me that I should not vote for any candidate for an executive office who does not share his/her agenda for the executive position. And I believe I am not the only board member who would benefit from knowing what our nominating agendas are.

I also feel that it would be beneficial for the University community if these nominee agendas are written and distributed to all members of the Board. This time around, I only happen to know the plans of Staff Trustee Sheila Brothers who is nominated for Board Secretary.

If we really want to improve our Board and university governance, and also in light of the lessons of Penn State, we need to have a real election of Board officers, with alternative candidates and with candidate action agendas presented to the Board. That would improve transparency and accountability of elected officers, improve Board functions, and improve the University. Thank you.

With this my vote is no.”

The motion carried with 18 affirmative and one no vote from Trustee Voro.

Mr. Miles passed the gavel back to Dr. Brockman who continued with the election.

For vice chair, Ms. Pamela May has been nominated by the Nominating Committee. Dr. Brockman asked for nominations from the floor. There were no further nominations from the floor and the nominations closed. Mr. Mobley seconded the motion and it passed with 18 affirmative votes and one no vote from Trustee Voro. Dr. Brockman congratulated Ms. May.

For secretary, Ms. Sheila Brothers has been nominated by the Nominating Committee. Dr. Brockman asked for nominations from the floor. There were no further nominations from the floor and the nominations closed. Mr. Stuckert seconded the motion and it passed with without dissent. Dr. Brockman congratulated Ms. Brothers.

The Nominating Committee nominated a representative from the Office of Legal Counsel for the office Assistant Secretary. Dr. Brockman asked for nominations from the floor. There were no further nominations and the nominations closed. Mr. Stuckert seconded the motion and it passed with 18 affirmative votes and one no vote from Trustee Voro.

I. Election of Executive Committee

Mr. Shoop said the Nominating Committee submits the following nominations for the Executive Committee:

Chair, Dr. Britt Brockman
Member, Dr. Keith Gannon
Member, Mr. Terry Mobley
Member, Mr. Jim Stuckert
Member, Ms. Barbara Young

Dr. Brockman pointed out that by virtue of Governing Regulation II.E.2.(a), the Chair of the Board is a member and the designated Chair of the Executive Committee.

Dr. Brockman stated that the Nominating Committee nominated Keith Gannon, Terry Mobley, Jim Stuckert and Barbara Young as members of the Executive Committee. He asked for any nominations from the floor. There were no further nominations and the nominations closed. Dr. Brockman moved approval of the election of the slate and it passed with 18 affirmative votes and one no vote from Trustee Voro.

Mr. Shoop thanked the members of his committee and stated that concluded the Nominating Committee report.

J. President's Report (PR1)

President Capilouto opened his remarks by remembering the events of September 11, 2001. He appreciated that, through the efforts of the ROTC cadets, the United States flags have been placed on the front lawn of the Main Building as a remembrance. He hoped that we can pause and through our collective grief and respect, ease what must be a very difficult day for the families of those who lost loved ones on 9/11.

President Capilouto stated that this year marks the 50th anniversary of the UK College of Dentistry. It also marks 50 years of service for Dr. John R. Mink, who retired in July.

As the inaugural chair of pediatric dentistry in 1962, Dr. Mink succeeded in developing an excellent team that continues to rank among the best in the nation in academic service and clinical service to the community. Dr. Mink was also instrumental in establishing the college's mobile dental program.

President Capilouto continued that Dr. Mink came to UK in 1962 from Indiana University and was essential in recruiting the core faculty for the pediatric dentistry department. He walked into his first classroom in the fall of 1962 and during his time at UK, has taught every single dental student that has graduated in the history of the college, more than 2500 students.

Dr. Mink's influence extended beyond the classroom. In the 1990s, he would drive a forty-foot van, fondly nicknamed by his colleagues "the Mink mobile." This dental clinic on wheels traveled to remote locations in eastern Kentucky, providing oral health care to disadvantaged children. The program has since been expanded to treat children in western Kentucky as well. Today, the Mobile Dental Van program provides dental screening, treatment and prevention services to about 11,000 Kentucky children a year.

Dr. Capilouto remarked that thousands of students, children and their families have been impacted in such a positive way by one man, whose commitment to them, to the University and the state will be felt for generations to come.

Dr. Capilouto thanked Dr. Mink and asked him to share a few words.

Dr. Mink thanked the Board of Trustees and President Capilouto for the honor of being recognized today. He stated that he and his family had thoroughly enjoyed his 50 years at the University. He and his wife had raised five children, three being University of Kentucky

graduates, but he felt it was time to retire. He looked forward to time with his family and with his new horse, which he has renamed “Retirement”.

Dr. Capilouto introduced Dr. Claudia Hopenhayn, an associate professor of Epidemiology at the UK College of Public Health. She is also the director of the Graduate Certificate program in Global Health and the new chair of the Shoulder to Shoulder Global Council.

UK’s Shoulder to Shoulder Global initiative will be recognized by the Association of Public and Land-Grant Universities (APLU) Kellogg Foundation for the exemplary work they do to improve the health and education to the underserved in Ecuadorian communities.

The Shoulder to Shoulder initiative offers educational training and service learning opportunities to UK faculty, staff and students as well as to community members. Dr. Capilouto thanked Dr. Hopenhayn and her colleagues for their hard work and invited her to the podium to share more about Shoulder to Shoulder Global’s initiative.

Dr. Hopenhayn expressed her delight, along with those of her colleagues present in the room, about the opportunity to share with the Board. She stated that Shoulder to Shoulder (Shoulder) began as a small medical mission ten years ago, created to improve the health and quality of life in Ecuadorian communities suffering the effects of severe poverty.

Shoulder had grown to become a prime example of interdisciplinary education and service-learning, with participation of students and faculty from about 10 UK colleges, and many community volunteers. Shoulder currently operates a small, permanent clinic in a poor area of Santo Domingo, Ecuador, with high rates of preventable health problems, lack of safe drinking water and sanitation, just to name a few issues.

Dr. Hopenhayn stated that, with over 100 participants and growing every year, Dr. Hopenhayn and colleagues travel to Ecuador yearly to provide health care, preventive and community services to about 2000 people. She stated that in this way they help both the community and provide unique learning opportunities for our students.

The clinic just celebrated its fifth year anniversary and has seen over 30,000 people in its history. The small, yet amazing Ecuadorian team also performs many year-round outreach activities, such as education for teen mothers, HIV/AIDS prevention, clean water projects, visits to homes with disabled residents, etc. The clinic also serves as an ideal training site for students and residents, for internships, medical rotations and research projects.

Dr. Hopenhayn continued that last year Shoulder added a semester-long course for students, which culminates with the Ecuador travel experience. All of the activities increasingly fulfill credit-bearing requirements of several academic programs, certificates and degrees and give a structured learning context to the hands-on work in Ecuador.

Shoulder now represents the cornerstone of UK’s Global Health Initiatives, crucial for recruiting top performing students to all of our health care colleges.

Dr. Hopenhayn concluded her presentation with a quote from one of the students who said:

“...the brigade was one of the most powerful experiences of my life... , I believe that (it)...helped to provide a strong, collaborative network not only between two nations, but also to promote understanding between groups of people that differ in education, socioeconomic status, cultural belief and social behavior... vital to the success of...well-being on a Global scale,”

Dr. Hopenhayn thanked the Board for their time and hoped the Board shared in her pride of how Shoulder to Shoulder Global fulfills and extends UK’s mission of education, research and service in a globalized community.

President Capilouto thanked Dr. Hopenhayn and all the people who make it possible.

President Capilouto directed the Board’s attention to the publication printed annually called the K Book. The K Book, written by students for students, is a guide to life at UK and is the "go-to" resource for incoming students.

Its 112 pages are filled with everything students would ever want to know about UK and highlights the wealth of opportunities we have for every student at UK. The K Book traces its history back to 1901, when it was a small pocket-sized booklet. It contained freshman rules, school traditions, athletic schedules and school songs. It continued until 1966, when the name was changed and it began to be published by various campus departments.

Students themselves produce this wonderful resource. They are provided with some staff assistance from UK’s Student Affairs areas, our PR and Marketing Department, and sponsorship from the UK Federal Credit Union.

President Capilouto asked last year’s editor Morgan Rose, editor Lindsay Stellar and several other K Book editorial board members to stand and be recognized.

The Board gave them a round of applause.

President Capilouto next introduced five students. All five) are new to UK this fall, received some of UK’s most prestigious academic scholarships, and each scored a perfect score of 36 on their ACT. The students are as follows:

Samuel Jefferson Potter, of Pikeville, majoring in Pre-materials Engineering
Todd William Montgomery, of Lexington, majoring in Pre-mechanical engineering
Logan Michael Hurley, of East Bernstadt
Grant Forrest Boggess, of Lexington, majoring in Engineering
Jacob Michael Mattingly, of Louisville, majoring in Chemistry

President Capilouto pointed out that each student is from Kentucky. He remarked that there is great deal of discussion around this conference room table about UK’s challenges as an

institution. We read and hear a great deal about our challenges and, at times, our perceived shortcomings, as a state.

He continued that these young people demonstrate without question the potential of our state. There are no limits to what these students can do and what their futures can be. That means there does not have to be limits for Kentucky. These students that have entrusted us with opening doors of opportunity and discovery, demonstrate that powerfully. They make the Promise real.

The students received a round of applause.

President Capilouto stated that it is an exciting time at the University of Kentucky in terms of enrollment. He acknowledged and thanked Don Witt, Associate Provost for Enrollment Management and his team for the countless hours in recruiting students to UK. Mr. Witt and his team are at a preview night in Pikeville, but will join the Board at its December meeting.

He continued that the Enrollment management team members are in the midst of 21 preview nights across the state and region. Last night, they were in Somerset, this evening in Pikeville. Preview nights are where we bring the University of Kentucky to communities across the Commonwealth and to cities such as Chicago, Atlanta, St. Louis and Columbus. We have seen incredible interest each night.

President Capilouto presented the news that the University welcomed the largest, most diverse and best prepared fall incoming class in institution history. Included in this class were more than 70 National Merit/Achievement Scholars and 25 percent of the incoming class has a 4.00 high school GPA or higher.

He continued that we have a record number of Singletary Scholars and he thanked UK Athletics for their generous monetary contribution to make it possible. He remarked that increasingly, not only Kentucky's best and brightest, but additionally other students from our region, recognize that UK is providing a world-class education and a comprehensive college experience. This college experience is a credit to UK's talented faculty, dedicated staff, and the success of our current students and alumni.

President Capilouto continued that, nearly a year ago, the Board of Trustees provided a clear direction for our future, to ensure that the best possible undergraduate experience awaits Kentucky's sons and daughters. Part of that is growing the student population, increasing UK's commitment to diversity, and recruiting talented students from Kentucky and the region. This first-year class provides resounding proof that we are moving in the right direction on all these markers.

President Capilouto reported that applications are up more than 18,000, a 24% increase from last year. At more than 4,600 students, this is our largest incoming class. Our resident undergraduate enrollment increased to more than 3100 students. Non-resident enrollment is up, adding an important quality to our campus community.

President Capilouto continued that enrollment has increased across the board in terms of underrepresented groups. UK's African American student population went from 422 to 533 for a 26% increase. The Hispanic population grew from 113 to 166 students. UK's international students nearly doubled from 56 to 110 students. The largest international group is from China, a reflection of our efforts there.

Even as the first year class has grown by more than 500 students, the average ACT score has maintained the University's highest-ever mark of 25.5 and the number of students with ACT scores ranging from 26-36 is at record levels.

President Capilouto was pleased to report that the number of National Merit scholars went from 29 to 71 in just one year. In 2011, UK was ranked 57th of 359 institutions in terms of the National Merit scholars. Comparing the same list, with 71 scholars, UK would move up to 36th amongst publics and privates and 15th among all publics. For comparison, the five institutions just below us include Cornell, Dartmouth, Illinois at Urbana-Champaign, Maryland at College Park. The five just above UK are Indiana, Ohio State, Berkeley, Baylor, and Duke.

This year's class has a record number of Governor's Scholars and School for the Arts students, 427 up from 394 last year. We welcomed 309 new Honors students, up from 226 students last fall.

President Capilouto reviewed the schedule of Preview Nights. He thanked Chair Brockman and Trustee Brothers for attending the recent Preview Night in Lexington and encouraged all the Board to be a part of the visits in their hometown. The team crisscrosses the state from the northeast (Maysville, Ashland, Pikeville) to the western Kentucky (Owensboro, Hopkinsville, Paducah) to cities in between (Elizabethtown, Somerset, Bowling Green). Many of these areas have increased enrollment anywhere from 27-147 %. As an example, President Capilouto reported that this fall, UK has 74 students enrolled as freshmen from Atlanta, Georgia. This is up almost three times from last year.

President Capilouto reported that transfer enrollment remains important to UK and is important to higher education in Kentucky. It builds pathways for students in the statewide community and technical college system and four-year public and private universities. The incoming class includes 1,169 students who transferred from two year and four year institutions. An article in Inside Education yesterday contained a report by state that showed how many students in all degree completion programs in Kentucky, previously enrolled in two year colleges. In Kentucky, 43% of all students who graduate from a four year college came through two year colleges. He noted that this is a reminder of how important this geographic access is to our state.

President Capilouto remarked that in addition to our recruitment success, this is the fifth year in a row UK has exceeded 80% first-to-second year retention. He stated that there is still more work to do in this area. UK's professional school enrollments are up slightly as is the Graduate School. Doctoral and masters students are up 2.6%, slightly over 1% overall.

President Capilouto also reported that UK is the first choice for research in Kentucky. The University of Kentucky leads postsecondary education research in Kentucky, with more than 60% of all the federal research expenditures, more than 75% of the state and local expenditures per year, and nearly sixty five 65% of industry sponsored research.

Last week, the Chronicle of Higher Education listed and ranked those universities with the most licensing revenue in the United States. Only two universities in the state made the list of the Top 155 and UK's licensing income is 10 times greater than the other university listed. UK was ranked 71st out of 155 universities. We were just above UNC Chapel Hill and Ohio State.

President Capilouto continued that through the strengthened investment in our medical enterprise (and the numbers deserve to be repeated), UK HealthCare revenue has increased from \$300 million to \$1 billion. Admissions have increased from 19,000 to 35,000 and we are the first choice for patient care in Kentucky. President Capilouto commended Dr. Karpf and his team.

President Capilouto stated that UK's success is a testament to the work of its faculty and staff. From the unparalleled efforts of the Enrollment Management team, to the commitments made by this Board of Trustees to excellence in looking forward, it is a signal that we have set the right course and our priorities are in the best interest of moving Kentucky forward.

President Capilouto is convinced that students recognize that UK is committed to education, high-quality curriculum and a modern campus community. Patients in need of advanced medical care choose UK Healthcare. Research partners at all levels of government, as well as our industry partners, come to this University because of our world-class intellectual and human capital. On all levels, UK is growing in size, quality and most important, in value. We are strengthening our national and global brand and, by that, in everything we do, from Athletics to UK HealthCare to our academic programs, we are increasing student demand.

Though not content to rest on our laurels, President Capilouto continued that we are proceeding with an aggressive agenda that will redouble our success in many areas. We are continuing to make progress in our facility transformation. He mentioned that the Board of Trustees would have an opportunity to review a new partnership with Shriners Hospital. In October, the Board will review Phase II A of our housing revitalization program.

He stated that Interim Provost Tracy is leading the charge to implement our new Financial Model of Accountability, which will empower colleges and units to make long-term decisions in the best interest of their work. Additionally, Provost Tracy is building a framework to implement suggestions for faculty recruitment, development and reward.

President Capilouto reminded the Board that from the recommendations from the Huron reports, several administrative changes will improve operations and ensure transparency and accountability. In terms of reorganization of the President's office, we have removed direct reports and are restructuring the Office of Commercialization to work with our many partners. The Vice President of Research is now a direct report to the President and the Vice President of Student Affairs is a co-report to President. The Vice President for Institutional Diversity continues to be a direct report to the President.

Interim Provost Tracy recently announced the strategic realignment of the Provost's office to better support our colleges and priorities. Through reorganization, the elimination of four positions, and the transfer of two programs, we have reduced the number of direct reports by seven. As part of the reorganization, we created one position, the Senior Vice Provost for Student Success, because of the essential role student success plays in UK's activities. Dean Dan O'Hair will assume that role on an interim basis while maintaining his commitment to the deanship with the College of Communication and Information.

President Capilouto was very thankful for not only the leadership of the Board, but to all whose efforts and commitment have made these successes possible for advancing this Kentucky Promise. He looks forward to what is ahead for our new students and the good works that we are capable of providing at the University of Kentucky.

There was a round of applause.

K. Proposed Revision to Governing Regulations: University Faculty Appointments (PR 3)

President Capilouto said that PR 3 is a recommendation that the Board of Trustees receive and vote to accept for the first reading the attached revision of Governing Regulation (GR) VIII.B, relating to faculty appointments and the appointments based on salary that are submitted to the Board for final action. The Chair of the University Senate, the Interim Provost and the President support these proposed revisions. In accordance with Governing Regulation XIII, the University Senate will review this proposed revision before the second reading, which will be the final action by the Board of Trustees.

Dr. Brockman asked for a motion and Mr. Stuckert moved approval of PR 3. Dr. Gannon seconded the motion. Dr. Brockman asked if there was any discussion and recognized Dr. Voro.

Dr. Voro pointed out that the Chair of the University Senate was incorrect and it should read Chair of the Senate Council. Dr. Brockman thanked Dr. Voro and noted the correction will be made.

Dr. Brockman asked for any other discussion and there was none. He called for a vote and PR 3 passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. Appointment of Dean of the College of Engineering (PR 4)

President Capilouto stated that PR 4 is the recommendation that Dr. John Y. Walz be appointed as Dean of the College of Engineering, effective September 1, 2012. Dr. Walz served as Chair and Head of the Department of Chemical Engineering at Virginia Tech. He earned his bachelor's degree in chemical engineering from Tulane University before spending six years as a process support engineer for Shell Oil. In 1988, he left Shell to pursue a Ph.D. in chemical engineering from Carnegie Mellon University. After receiving his Ph.D., he returned to Tulane as

an assistant professor. In 1997, he joined the faculty at Yale University, becoming their department chair in 2002.

Dr. Walz has received numerous honors and awards from the universities where he has taught as well as the Research Initiation Award in 1993 and a Faculty Early Career Development Award in 1997 from the National Science Foundation. He is the Past-Chair of the American Chemical Society Division of Colloid and Surface Chemistry. Dr. Walz has been the primary investigator or co-investigator on 26 grant awards, including over \$3.3 million in awards since 2002. And he is a great teacher, having directed 14 graduate theses and published over sixty articles and papers.

President Capilouto stated he was honored to present him to the Board for appointment.

Mr. Gatton moved approval for PR 4, with a second by Mr. Britton. There was no discussion and PR 4 passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

The Board gave Dean Walz a round of applause.

M. Candidates from Degrees (AACR 1)

Dr. Gannon stated that the Academic Affairs Committee did not meet this morning, but there are two straightforward pieces of business to bring to the Board.

AACR 1 is related to Candidates for Degrees at the close of the 2012 summer session. It has approved been approved by the University Senate. On behalf of the Academic Affairs Committee, Dr. Gannon asked for approval for AACR 1. Ms. Young seconded the motion, and it passed without dissent. (See AACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. Candidate for Degree (AACR 2)

Dr. Gannon stated that AACR 2 is related to an administrative error for applications at the end of the 2012 spring session. One candidate's name was left off the list. On behalf of the Academic Affairs Committee, Dr. Gannon asked for approval for AACR 2. Mr. Britton seconded the motion and it passes without dissent. (See AACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

O. Athletics Committee Report

Dr. Akins reported that the Athletics Committee met this morning. The Committee reviewed two items, FCR 7 and FCR 8. FCR 7 pertains to a scope increase for the softball complex. It was presented to the Finance Committee this morning and approved by them.

FCR 8 pertains to the initiation of the renovation/expansion of the soccer facilities, with a scope not to exceed \$7 million. It was also presented to the Finance Committee and approved.

Athletic Director Mitch Barnhart introduced the Men's and Women's Soccer coaches, Coach Cedergren and Coach Lipsitz respectively. Dr. Akins reported that Coach Cedergren has just joined us from Dartmouth and Coach Lipsitz has been here for five years.

Dr. Akins reminded the Board of the September 18th Joe B. Hall statue dedication at the Wildcat Coal Lodge.

Dr. Akins reported of the moving presentation from eight female student athletes who had taken a trip to Ethiopia. Kayla King, with assistance from Brooke Keyes, Megan Moir, Kayla Hartley and Kastine Evans, gave a touching report on their service trip to Ethiopia. Funded by the student athlete operating budget, they were accompanied by Associate Athletics Director Jason Schlafer, Director of Marketing Nathan Schwake and Vickie Bell, a member of our Athletics Committee as a community representative.

Dr. Akins commented that this was the third trip to Ethiopia for some of our athletes and we are very appreciative for that kind of life lessons exposure beyond athletics.

P. Finance Committee Report

Mr. Stuckert reviewed consent items FCR 1, FCR 2, and FCR 3. All were approved earlier in the meeting.

Q. Easement Authorization for Kentucky Power Company Transmission Line (FCR 4)

Mr. Stuckert said that FCR 4 is an easement authorization for the Kentucky Power Company (KPC) Transmission Line. He shared that on his "bucket list" would be to travel to Terry Fork of Balls Fork at Troublesome Creek, Knott County, Kentucky, where the transmission line is located. He continued that KPC proposes to pay a total of \$55,000 to the University for conveyance of an easement for the purpose of constructing, operating and maintaining the KPC Line.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 4 and it passed without dissent (See FCR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. Construct Farmhouse Fraternity (FCR 5)

Mr. Stuckert stated that FCR 5 involves the construction of the Farmhouse Fraternity on Rose Lane at lots 454 and 456, a three-story, approximately 20,000 square feet housing structure, housing 52 fraternity members. The building will utilize a geothermal heating and cooling system. The project scope is \$3.6 million and will be funded by private funds.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Renovate Dentistry Class Lab (FCR 6)

Mr. Stuckert stated that FCR 6 asks for approval of the renovation of the Dentistry Class Lab. It seeks additional approval for funds to increase the scope of the project, due to the need of an air handling system.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 6. Dr. Brockman opened the floor for discussion and recognized Mr. Gatton, who asked about the \$25 million. Dr. Brockman recognized Bob Wiseman, who explained it that it is a place holder pool in the state budget of \$25 million so that UK can authorize an air handler replacement whenever we may need one. In this case, there is a need for an air handler replacement within that building. UK is asking for authorization to use that pooled authority.

Dr. Brockman called for the vote and it passed without dissent. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

T. Renovate/Update Softball Complex (FCR 7)

Mr. Stuckert stated that as Dr. Akins mentioned in his Athletic Committee report, FCR 7 asks for the approval of a scope increase for the Renovate/Upgrade Softball Complex project.

There are two components, the first component being the new softball stadium with all site infrastructures and the second component was the new batting pavilion practice facility and coaches' office. Utilizing the post bid addendum process as allowed by Kentucky's Model Procurement regulations, revised bids were received for each component on August 26, 2012 with each part received as an independent contract. Based on the revised bids, the stadium component was awarded utilizing the \$7,500,000 authority. The Board is being asked to increase the scope of the project by \$2,200,000 for the batting pavilion and coach's office components. This increase will be funded with private donations.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

U. Renovated/Expand Soccer Facilities (FCR 8)

Mr. Stuckert stated that FCR 8 was vetted fully through both the Athletics Committee and the Finance Committee this morning. It involves the expansion of the soccer facilities. The estimated project scope is not expected to exceed \$7 million and will be funded once again with UK Athletics' private funds.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

V. Development Plan with Shriners Hospitals for Children (FCR 9)

Mr. Stuckert stated that FCR 9 is the development plan continuation with the Shriners Hospitals for Children. Currently the Shriners Hospital is located on Richmond Road. The historical utilization was for 50 overnight patients. Their in-patient demand has decreased over the years and their out-patient services have increased dramatically. As most of the practitioners at Shriners Hospital are faculty at UK, it is a good economic discussion to have.

The Board is being asked to approve the authorization for the President or his designee, to negotiate and prepare a final development plan with Shriners Hospitals for Children to relocate Shriners Hospitals for Children, Lexington to the University of Kentucky campus.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

W. Lease/Purchase of Medical Equipment (FCR 10)

Mr. Stuckert stated that FCR 10 is lease/purchase of medical equipment. The Finance Committee is asking the Trustees to approve a \$10 million UK HealthCare Enterprise Medical Equipment Lease/Purchase Pool for FY 2012-13. The University's Debt Committee has reviewed the proposal and determined that acquisition of these items by lease/purchase up to \$10 million is consistent with the University's Debt Policy. The lease/purchase pool will be funded by UK HealthCare's revenues. In the interim, it will be funded by involving debt in the form of capitalized lease obligations, which will be submitted to the Board for approval.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 10 and it passed without dissent. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

X. Capital Construction Report (FCR 11)

Mr. Stuckert stated that FCR 11 is an update on our Capital Construction Report for the period April 1 through June 30 of this year. He reported that there five new contracts, five completions this quarter, two amendments, and six change orders. He thanked Vice President for Facilities Management Bob Wiseman for his great job in delineating this information.

On behalf of the Finance Committee, Mr. Stuckert moved adoption of FCR 11 and it passed without dissent. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

Y. Patent Assignment Report (FCR 12)

Mr. Stuckert stated that FCR 12 is a Patent Assignment Report. The report was given to the Committee this morning by Vice President for Research Jim Tracy. Three new patents were filed in the 2nd quarter. Fiscal year to date as of June 30, 2012, UK has filed 13 patent applications, issued 24, and has a patent gross revenue is \$1,652,000.

On behalf of the Finance Committee, Mr Stuckert moved adoption of FCR 12. Dr. Brockman opened the floor for discussion.

Mr. Shoop commented that he was glad to see the patent income growing. He remembered not too long ago when it was a few hundred thousand dollars. He is glad to see the University putting emphasis on it and trying to grow this income stream. He was very encouraged by the performance and wanted to commend the manager of the program for a job well done.

Mr. Stuckert agreed and referenced the statistic mentioned earlier by President Capilouto, that UK is 71st out of 155 licensing universities.

Dr. Brockman asked for the vote and it passed without dissent. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees under agenda.)

Z. Investment Committee Report

Mr. Gatton stated that the Investment Committee met this morning to review performance results and conduct other business. The Endowment had a net market value of \$368.3 million as of June 30, 2012. For the fiscal year ended June 30, 2012, the endowment pool lost -0.85%, that is less than 1%, underperforming the policy benchmark return by 74 basis points. The poor absolute return of the Endowment pool was mainly due to the University's 20% allocation to non-U.S. equities, as the asset class performed poorly during the fiscal year due to the European debt crisis. The 20% target allocation to non-U.S. equities is expected to benefit the Endowment over the long-term. Mr. Gatton commented that this was discussed thoroughly this morning.

The fiscal year underperformance of the endowment pool against the policy benchmark was partially due to an under weight to the real estate asset class, as real estate was the strongest performing asset. At June 30th, the University's actual allocation to real estate was 6.6% versus the target allocation of 8%. We are building the value-added and opportunistic real estate allocations over several years to ensure appropriate vintage year diversification. The fiscal year underperformance against the policy benchmark was also due to underperformance by both real return managers and one of the fixed income managers. The Investment Committee does not have concerns with these managers and has great confidence in these (PIMCO and Wellington) experts, and expects them to perform their respective market benchmarks over full market cycles, or three to five years.

As of July 31st, the endowment pool had fully recovered the fiscal year loss, returning 1.22% during July. The endowment pool outperformed the policy benchmark by 10 basis points for the month.

Investment consultant R.V. Kuhns & Associates led a spending policy discussion, which included a review of the different types of policies used by endowment funds, and the pros and cons of each. The three main types of spending policies used are the percentage of weighted average method, (which spreads it out over 12-20 quarters, so that cuts out the volatility somewhat), the inflation-linked method and the hybrid method, which is a blend of the first two methods. Currently, the University follows the percentage of weighted average method, allocating 4.25% each year of the average market value of the endowment over the preceding 60 months. The investment staff and consultant are performing a review of both the spending policy and asset allocation and expect to recommend a revised Endowment Policy to the Investment Committee in December.

AA. University Health Care Committee

Ms. Young stated that the Health Care Committee met yesterday afternoon. The July financials were presented by Teresa Centers, director of fiscal planning, budgeting and analysis. The UK Health Care Hospital System's financials remain strong with assets of \$1.24 billion up from \$1.18 billion of July 31, 2011. Cash and cash equivalents are at \$13.1 million and our endowment is \$210.4 million. The net revenue cycle continues to perform at a high level and the Net Patient AR days are at 40. Patient revenue net of bad debt is \$2.8 million higher than FY 2012 and the AR balance is \$119.3 million. Comparing balance sheets for July FY 2013 and FY 2012, cash has increased \$13.1 million. UKHC is utilizing working capital from UK to fund the variability in cash flow and preserve Board designated investments. The internal loan for the operating rooms from UK is currently at \$31.1 million with a current portion of \$3.8 million to be paid in FY 2013.

Discharges are 223 higher than last year or 96.7 per day and overall operation performance remains strong. Operating revenue YTD is \$3.0 million higher than last year. Variable expenses remain in line with higher surgical volumes and fixed expenses and depreciation are at similar levels to last year adjusting for Pavilion A. Personnel expenses are lower than last year by \$940,100 and reflect the investment in personnel related to the opening of Pavilion A and the growth in volume both in the inpatient and outpatient setting. The operating margin is \$849,200 above last year and \$6.8 million above budget. Operating revenue for the month and YTD totaled \$75.3 million which is \$4.1 million above budget. UKHC hospital system posted an operating income of \$2.9 million this month, and a margin of 3.8%.

Preliminary August statistics indicate that UKHC continues to operate at a very high level with record discharges, and CMI and daily census balanced against a declining length of stay and good payer mix. This data indicates that August will be a very strong month and early September statistics point to continued high activity.

Dr. Bernard Boulanger presented the Quality, Safety and Efficiency report. Dr. Boulanger reported that the UK HealthCare system is meeting its goals in the areas of mortality,

effectiveness of care (i.e. core measures and readmissions) and patient centeredness (or satisfaction). However, he noted that, in the areas of safety (or complications that arise during a hospital stay) and efficiency, the hospital system is falling somewhat short of its goals. As a result additional attention will be focused on these areas. Overall, UK HealthCare has made great strides in all areas and is operating at very high level of service, quality, and safety.

Dr. Colleen Swartz presented an update on UKHC patient satisfaction. She reported that starting in July UKHC transitioned to 100% HCAHPS and CG-CAHPS surveys, or, in other words, a national assessment of inpatient and outpatient consumer satisfaction. Dr. Swartz indicated that scores continue to show progress across the Enterprise and that standard work reaps the biggest results. For example, hourly rounding has markedly improved patient satisfaction. She also presented an update on UKHC employee turnover.

In an operations update, Ann Smith reported on UKHC's continued focus on reducing worker injuries and monitoring expenses as they relate to labor and supplies.

Dr. Bernard Boulanger presented for approval the current list of privileges and credentials. The committee made a motion and all privileges and credentials were approved.

BB. University Relations Report

Ms. Curris reported that due to time constraints Dr. Karpf, Vice President for University Health Care, graciously agreed to reschedule his presentation for the Board of Trustees December meeting. She asked that the record reflect that the University of Kentucky Albert B. Chandler Hospital has been ranked #1 in Kentucky in the U.S. News and World Report Best Hospitals of 2013, which was released this July 17th.

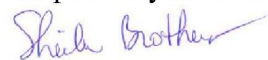
CC. Other Business

Dr. Brockman noted that the 2013 schedule for meetings of the Board of Trustees was printed in the back of the agenda book.

DD. Meeting Adjourned

Hearing no further business, Dr. Brockman asked for a motion to adjourn. Ms. Brothers made the motion, with a second from Ms. Curris, and the meeting ended at 2:30 p.m.

Respectfully submitted,



Sheila Brothers
Secretary

(ECR 1 and 2, PR 2, 3, and 4, AACR 1 and 2, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, and 12 are official parts of the Minutes of the meeting.)

ECR 1

Office of the President
September 11, 2012

Members, Board of Trustees:

ANNUAL PERFORMANCE EVALUATION

Recommendation: The Chair of the Executive Committee, on behalf of the Committee, will present the President and the Executive Committee a summary of the discussion from the July 31, 2012 meeting and the Chair's presentation will include a recommendation of the Executive Committee that there be no change in President Capilouto's contract.

Action taken: Approved Disapproved Other _____

ECR 2

Office of the President
September 11, 2012

Members, Board of Trustees:

PERFORMANCE INCENTIVE PAYMENT

Recommendation: In recognition of his extraordinary performance, the University of Kentucky Board of Trustees Executive Committee would sincerely like to offer President Capilouto a first year Performance Incentive Payment. However, based on discussions with the President, the Board of Trustees Executive Committee has decided to postpone any such action because of the current economic conditions.

Action taken: Approved Disapproved Other _____

PR 1

Office of the President
September 11, 2012

1. Residence Hall Plan Could Support Nearly 2,900 Jobs

An announcement of the economic impact of the University of Kentucky's residence hall revitalization plan was made August 20. Construction of several residence halls on campus over the next few years could support nearly 2,900 jobs and generate nearly \$4 million in state and local taxes. In addition to President Eli Capilouto, Lexington Mayor Jim Gray and EdR President Randy Churchey participated in the announcement.

2. K Week Boasts Nearly 250 Events to Welcome Students Back to Campus

K Week, several days of nearly 250 events and activities to welcome the university's new and returning students, was a rousing success again this year. It officially began with Move-in Friday, Aug. 17. Some of the other well-known events included the New Student Induction Ceremony, We Are UK, Big Blue U, Campus Ruckus, Student Center Spectacular; FUSION, and the Student Involvement Fair.

3. Record Class of Singletary Scholars in Fall 2012

This fall, the University of Kentucky welcomed to campus a record class of 51 Singletary Scholars. This number represents a 50 percent increase over last year's class of 34. This year's class includes five National Merit finalists, 15 valedictorians, and 26 Governor's Scholars or Governor's School for the Arts alumni. The Otis A. Singletary Scholarship, named for former UK President Otis A. Singletary, has been awarded since 1985 and is the most prestigious academic scholarship awarded by the university. It covers the full cost of tuition, room and board, and a personal stipend for four years of undergraduate study, plus \$2,000 toward a summer study abroad program and an iPad2.

4. Child Development Center Opens on Alumni Drive

A ribbon-cutting ceremony was held August 2 to celebrate the opening of the new facility for the Child Development Center (CDC) of the Bluegrass located on the campus of the University of Kentucky off Alumni Drive near The Arboretum. The facility will more than triple the number of children able to be provided services. The center will provide a convenient location for university employees and students who need child care, and it will provide an opportunity for academic collaborations between the CDC and UK.

5. Kentucky Schools Partner With Education to Forge Next Generation Leadership

Several Kentucky school districts have started working with the University of Kentucky College of Education in a unique partnership to innovate and improve schools. The districts are participating in the college's Next Generation Leadership (NxGL) Academy, an output of its Kentucky P20 Innovation Lab. The academy takes school leaders who know the system needs to change and helps them work through implementation of innovative ideas. School leaders from Barren, Paris Independent, Carroll, Clark, Graves, Hardin, Henry, Shacklette Elementary (Jefferson Co.), Knox, Mason, Trigg, Warren, Woodford, and Eminence Independent school districts participated this year.

6. Gifts Fund Alcohol Education and Awareness at UK, UofL

Gifts from two major Kentucky distilleries will fund and strengthen alcohol education and awareness programs at the University of Kentucky and the University of Louisville. The gifts will also help expand alcohol programming across the commonwealth. At a news conference August 7, the universities announced they had received a three-year gift from Beam Inc. and Brown-Forman Corp. totaling more than \$600,000 to fund ongoing efforts at the schools. In all, the two companies have given more than \$1 million to the two institutions since 2008 to fund alcohol education efforts.

7. Agriculture Viewbook Gets National Recognition

Potential students of the University of Kentucky College of Agriculture can learn all about the college in a full-color viewbook, which also recently won a national award. The National Agricultural Alumni and Development Association has named the viewbook, titled ImAGine, the winner of the 2012 award for recruitment/solicitation. The award was presented at the NAADA national meeting in July. Jason Headrick, director of student relations for the college, said the idea is for students to be able to imagine themselves at UK.

8. Quarter-Scale Tractor Team Wins Design Competition

Biosystems and Agricultural Engineering's Quarter Scale Tractor Team won the 2012 American Society of Agricultural and Biological Engineers International Quarter-Scale Tractor Student Design Competition in Peoria, Illinois, May 31-June 3. In addition the team also took first place in craftsmanship, ergonomics, innovation, manufacturability, safety, serviceability, sound level, team presentation, test and development, the 1,000 lbs. Hook 1 Tractor Pulls Class Awards, the 1,000 lbs. Hook 2 Tractor Pulls Class Awards, the tractor pull, and the cook-off competition in the categories of side dish and dessert. They took fifth place in written design report and ninth place in maneuverability.

9. Bioenvironmental Design Team Places Second in National Competition

Biosystems and Agricultural Engineering's Bioenvironmental Senior Design Team won 2nd Place in the 2012 G.B. Gunlogson Student Environmental Design Competition at the International American Society of Agricultural and Biological Engineers meeting in July, 2012.

10. Seven from A&S Awarded Gilman International Scholarship

Seven UK students in the College of Arts and Sciences were awarded the Benjamin A. Gilman International Scholarship to study abroad. These students are among 2,300 U.S. undergraduates who will be participating in programs abroad during the summer, fall, or 2012-2013 academic year. The Gilman Scholars are: Hannah Allen, who will study in South Africa; Maria Jennings, who will study in South Africa; Carolyn McKenzie, who will study in Chile; Jonathan Riojas, who will study in Japan; Shayne Strickland, who will study in Japan; Grant Weherley, who will study in New Zealand; and Shelby Williams, who will study in Chile.

11. Book Tells Story of Arab and Jewish Women in Kentucky

University Press of Kentucky has published "Arab and Jewish Women in Kentucky: Stories of Accommodation and Audacity," by UK sociologist Nora Rosie Moosnick. Through in-depth interviews, Moosnick weaves together multiple life stories and follows a group of Arab and Jewish women through a narrative journey exploring their traditions, assimilation, and place in Kentucky's cultural landscape.

12. UK Women's Choir Tours Europe for Third Time

UK Women's Choir toured Europe for their third time this June. The tour of England, Ireland and Wales included performances at Canterbury Cathedral, Ely Cathedral, and St. Patrick's in Dublin. The choir performs under the direction of Lori Hetzel, associate director of choirs and choral music education.

13. Special Collections Presents Exhibition of Craft Bindings and Artists' Books

UK Special Collections presented "Horizon" an exhibition of contemporary craft book bindings and artists' books by American and Canadian book artists and members of the Guild of Book Workers from June 1 to August 31, in the Great Hall of the Margaret I. King Building.

14. Art Students Paint Murals in Downtown Central Bank Building

UK art students under the direction of Assistant Professor of Painting Ebony G. Patterson painted murals on the walls of the stairwells in the downtown branch of Central Bank. The goal of the project was to entice employees in the building to take the stairs and admire the new scenery as opposed to riding in an elevator. Murals had to fit the theme of "Mind, Body and Spirit," an extension of the bank's wellness initiative. The bank murals feature work by Associate Professor of New Media Doreen Maloney; senior Jackson Bullock; graduate student Natasha Giles; sophomore Travis Keene; and senior Emelie Richardson.

15. "Living Exhibition" Enhances Sculpture Garden at Art Museum

Art Museum at UK teamed up with alumnus and celebrated landscape designer Jon Carloftis this summer to add a "living exhibition" of plantings that relate to or enhance the pieces in the sculpture garden outside the facility outside Singletary Center for the Arts.

16. Art, Design Welcome Shanghai Group to Campus

School of Art and Visual Studies and the College of Design welcomed to campus a group from Shanghai University with interests in the fields of art and design for two weeks in June and July. As part of the university's Confucius Institute, the Chinese university sent 26 students and four professors to take part in a ceramics workshop led by Assistant Professor Hunter Stamps, landscape drawing class with alumnus and part-time instructor Derrick Riley, and a digital design and fabrication workshop led by architecture faculty members Kyle Miller and Rives Rash.

17. Brass Quintet Takes First Place at Oak Ridge Festival

UK Brass Quintet took first place in the Calvin Smith Brass Quintet Festival and Competition held July 6-7, in Oak Ridge, Tenn. The quintet is comprised of Josh Dargavell, Eric Millard, Clint McLendon, Megan McMahan and Michael McMahan. The quintet studies under Skip Gray, professor of tuba and euphonium and interim director of UK School of Music, and David Elliott, associate professor of French horn.

18. Design Presents Discovery Programs for High School Upperclass Students

College of Design presented two intensive, two-week Summer Career Discovery Programs for high school juniors and seniors interested in architecture and interior design. The program served to expose and get prospective students involved in the type of learning environment they would experience as design students.

19. Inaugural Clark Medallion Symposium Honors Kentucky Writer James Still

The Thomas D. Clark Foundation and University Press of Kentucky presented the first Thomas D. Clark Medallion Symposium in honor of the life and literary legacy of James Still and a recently published posthumous collection, "The Hills Remember: The Complete Short Stories of James Still." Kentucky writers Gurney Norman, director of the UK Creative Writing Program, and poet Crystal Wilkinson were featured speakers at the event where editor Ted Olson was presented the Thomas D. Clark Medallion Book Award.

20. Fine Arts Dean Forges Alliance With Mongolian Art Institute

Dean Michael Tick from the College of Fine Arts and representatives from the departments travelled to China in May to sign a Memorandum of Agreement with the Art Institute of the University of Inner Mongolia. While there, Dean Tick met with UK School of Art and Visual Studies students who were in residence at Shanghai University under the mentorship of UK's Hui Chi Lee. In July, Dean Tick participated in the Association for Theatre Education's Leadership Institute, held in Washington, D.C.

21. Observatory Presents Events Surrounding Transit of Venus

The MacAdam Student Observatory collaborated with the Bluegrass Amateur Astronomy Club and The Arboretum to present, "Standing in the Shadow of Venus," two events which allowed the community to understand and observe the transit of Venus in June.

22. Biology Professor Leads Students in NSF 'Research Experiences'

David Westneat (Biology) led a group of students from universities across the country (the first cohort among a total of three over the span of three summers), in a National Science Foundation program called Research Experiences for Undergraduates. The students spent 10 weeks studying suburban ecology and invasive species at or near UK's Ecological Research Facility.

23. Libraries Receives Bequest of 2,000 Volumes of German Language, Literature

UK Libraries received a generous gift of more than 2,000 books focused in the area of German language and literature. The gift was donated in memory of the late Gerhard F. Probst, Ph.D., by his family. Probst was a professor of languages and humanities at Transylvania University and Professor Emeritus at the Technical University of Berlin. The new materials will bolster the number of German-language resources available to students and researchers.

24. Special Collections Initiates Learning Lab

UK Libraries Special Collections has initiated the SC Learning Lab, a center of primary research, experiential learning, and training. Focusing undergraduate students in the humanities, social sciences, and arts, the SC Learning Lab provides training in archival methods by pairing advanced undergraduate students with unprocessed or under-processed archival collections in their research area. The internship promotes undergraduate research, scholarship, and creativity and more fully integrates Special Collections into the teaching and research mission of the university.

25. UK Students Provide Branding Help to South African Charities

Seven integrated strategic communications students designed comprehensive branding campaigns for two child welfare organizations in Cape Town, South Africa during their three-week study abroad experience. The campaigns for Rainbow of Hope, which works with at-risk children, and Eluthandweni, an orphanage for children who have lost one or both parents to HIV and AIDS, are currently being implemented.

26. Journalism Professor Receives Fellowship to Work with Ukrainian University

Beth Barnes, professor and associate dean of undergraduate and international studies in the College of Communication and Information, received a fellowship from Open Society Foundations to strengthen the journalism graduate program at the National University of Kyiv-Mohyla Academy in Kiev, Ukraine. The university's Mohyla School of Journalism is the first journalism school in the Ukraine to focus on media research. Barnes, who also serves as director of the UK School of Journalism and Telecommunications, will help develop a media research-

centered curriculum that will better serve both Ukrainian scholars and professionals.

27. NSF's I-Corps Program Teaches UK Researchers to 'Think Like Entrepreneurs'

A team of faculty researchers, a local entrepreneur and a UK student led by Dan O'Hair, dean of the College of Communication and Information, were part of the first National Science Foundation's I-Corps program, designed to teach academic researchers how to think like entrepreneurs to commercialize scientific research. The NSF selected 24 teams from around the country to participate in a rigorous 10-week curriculum designed by Stanford University's Steve Blank, Silicon Valley entrepreneur and author, with the goal of creating a viable business model. Other UK team members included Derek Lane, an associate professor in the College of Communication and Information and the academic director for iNET; local entrepreneur and founder/CEO of software company Punndit, Randall Stevens; and Wes Brooks, an engineering student and a founder of the student entrepreneur group Big Blue Starters. The UK team developed its business model from tailored messaging research that was originally part of a project to investigate the effectiveness of hurricane warning systems.

28. High School Students Become Entrepreneurs at the UK iNET I-Academy

High school students from Paul Laurence Dunbar, Henry Clay, Campbell County, Sayre School and Lexington Christian Academy spent an action-packed week at the inaugural I-Academy summer camp learning what it takes to be entrepreneurs. The I-Academy, sponsored by the Innovation Network for Entrepreneurial Thinking, also known as iNET (hosted by the College of Communication and Information), gave the students the opportunity to create their own innovations and form a company, go on field trips, meet successful local entrepreneurs, and see some of UK's most innovative and cutting-edge programs.

29. CAER Opens Newest Laboratory; Will Be First to Become LEED Gold

The UK Center for Applied Energy Research recently opened its newest laboratory, a \$20.7 million dollar facility that uses less than 50 percent of the energy of facilities of similar size. Funded by the National Institute of Standards and Technology, the lab allows UK to expand on its research devoted to Kentucky's growing renewable energy industries, including biomass and biofuels, and solar energy technologies. It also houses the Kentucky-Argonne Battery Manufacturing Research & Development laboratories, designed to accommodate capacitor and battery manufacturing research and development. It is in process to become LEED Gold certified.

30. CAER Partners with Minova to Develop Fast-Drying Cement

UK's Center for Applied Energy Research has partnered with Minova North America to develop a new high-strength, fast-drying cement product that can be used by engineers to immediately stabilize damaged structures, achieving structural strength within minutes. Minova has licensed this technology for application in the underground mining, civil and tunneling industries.

31. Education Hosts International Reform Symposium

The UK College of Education hosted the International Symposium on Educational Reform in June, which featured many internationally known speakers in the field, including Lars Björk, one of the symposium directors and chair of the UK Department of Educational Leadership Studies. The conference provided opportunities for graduate students, professors, practitioners, and policymakers from around the world to examine educational reform from a global perspective.

32. UK HealthCare Gives Back to Community Hit By Tornadoes

Stacks of folders, binders, pencils, paper and other school supplies made their way to West Liberty in August, thanks to donations from employees of University of Kentucky Albert B. Chandler Hospital, Kentucky Children's Hospital, UK Good Samaritan Hospital and several other areas of UK HealthCare. The supplies were delivered nearly five months after deadly tornadoes hit Morgan County, causing homes and businesses to be destroyed and lives to be changed forever.

33. UK Chandler Hospital Ranked No. 1 in Kentucky

University of Kentucky Albert B. Chandler Hospital has been ranked No. 1 in Kentucky in U.S. News & World Report's Best Hospitals 2012-13 released on July 17. This is the first time state rankings have been included in the listing giving consumers a way to compare hospitals to other neighboring institutions across the state.

34. UK Chandler Hospital Selected for Initiative to Increase Breastfeeding Rates

The Albert B. Chandler Hospital has been selected to participate in Best Fed Beginnings, a first-of-its-kind national effort to significantly improve breastfeeding rates in states where rates are currently the lowest. The program seeks to reverse these trends by dramatically increasing the number of U.S. hospitals implementing a proven model for maternity services that better supports a new mother's choice to breastfeed. The National Initiative for Children's Healthcare Quality (NICHQ) is leading the effort through a cooperative funding agreement with the Centers for Disease Control and Prevention (CDC), and will be working closely with Baby-Friendly USA, Inc.

35. Markey Receives \$6.25 Million to Study Deadly Blood/Bone Marrow Disease

The University of Kentucky Markey Cancer Center has received more than \$6 million to study a deadly blood and bone marrow disease often caused by chemotherapy or radiation treatments. A \$5 million grant from the Edward P. Evans Foundation, along with a \$1.25 million donor gift, will fund research of myelodysplastic syndrome (MDS). The Evans Foundation grant will fund five research labs over five years, including three labs at UK, one at Cincinnati Children's Hospital, and one at the University of Arkansas. The \$1.25 million gift, given to UK through the Markey Cancer Foundation by an anonymous donor, will also fund the laboratories.

36. Study Shows New Drug Reduces Alzheimer's Pathology in Animal Model

In a study published in the Journal of Neuroscience, a collaborative team of researchers led by Linda J. Van Eldik, director of the University of Kentucky Sanders-Brown Center on Aging, and D. Martin Watterson of the Northwestern University Feinberg School of Medicine, present results showing that a new central nervous system drug compound can reduce Alzheimer's pathology in a mouse model of the disease.

37. Faculty and Staff Achievements

Debra Aaron (Animal and Food Sciences) was named a fellow in the teaching category of the American Society of Animal Science.

Carol C. Baskin (Plant and Soil Sciences) is one of the recipients of the 2012 Tian Shan Award from the Chairman (Governor) of the Xinjiang Provincial Government (China) for contributions of foreign experts to the economic and social welfare of Xinjiang. This is the highest honor given to foreign experts.

Christopher Crawford (Physics) received the Early Career Research Award from the Department of Energy.

Audra Cryder (Office of International Affairs) was elected president of Education Kentucky. Education Kentucky is a consortium of colleges, universities and community colleges that promotes Kentucky as a study destination for international students.

Rebecca Dekker (Nursing) recently received a 5-year, K23 career development award titled "Cognitive Therapy for Depressive Symptoms in Hospitalized Patients with Heart Failure," from the National Institute of Nursing Research.

Nathan DeWall (Psychology) appeared on the Discovery Channel's original series, "Head Games," which showcases social experiments and brain puzzles.

Jim Drahovzal (KGS, retired) received the John T. Galey Memorial Award, the highest honor bestowed by the American Association of Petroleum Geologists.

Mark Farman (Plant Pathology) received a \$300,000 grant to work in conjunction with Kansas State University in developing novel methods for controlling both rice and wheat blast.

Herman Farrell (Theatre) completed a short commissioned work for the American Slavery Project called "Assento" as part of the Writing Collaborative of Unheard Voices, a theatrical response to the African Burial Ground in lower Manhattan. Excerpts from the work received a staged reading in late May of 2012 at the Malcolm X and Betty Shabazz Cultural Center in New York City.

Robert Fehr (Biosystems and Agricultural Engineering) received \$113,000 and \$200,000 grants to develop a Cooperative Extension program for Kentucky's energy efficiency education needs and develop energy awareness and action.

Edith Glazer (Chemistry) and team published a new study in the Journal of the American Chemical Society, showing how light and strained ruthenium-based drugs may be more effective at fighting cancer cells and less toxic to healthy cells than a similar and widely used drug.

David Harmon (Animal and Food Sciences) was made a fellow in the research category of the American Society of Animal Science.

Kenneth Haynes (Entomology) has been selected as the Bobby Pass Endowed Research Professor. He also received the C.V. Riley Award from the North Central Branch of the Entomological Society of America, in recognition of his research contributions to Entomology.

Doug Johnson (Entomology) received the Distinguished Achievement Award in Extension from the North Central Branch of the Entomological Society of America, in recognition of his contributions to extension entomology.

Nancy Jones (Theatre) was invited to participate in the International Directors Lab in Toronto, Ontario, in late May/early June 2012.

Yukie Kajita (Entomology) was awarded a sustainability post-doctoral fellowship in the amount of \$424,500 from the National Science Foundation for a bio-economic study of an introduced biological control agent, examining ecological, evolutionary, and economic factors in sustainable agricultural systems.

Thomas Kelly (Medicine) was recently appointed as associate dean of research for the College of Nursing.

James Krupa (Biology) received the 2012 Evolution Education Award from the National Association of Biology Teachers.

Stephen McMurry (College of Agriculture Division of Regulatory Services) was elected president of the Association of American Plant Food Control Officials in August.

Debra Moser (Nursing) has been selected to serve as a member of the Nursing and Related Clinical Sciences Study Section, Center for Scientific Review, at the Department for Health and Human Services, through June 2016.

Mary John O'Hair (Education) was recently honored by AdvancED at its International Summit in Washington, D.C., with the AdvancED Innovation Award, for her unparalleled leadership in promoting and advancing excellence in global and international education.

Nancy O'Malley (Anthropology) and a team of archaeologists surveyed a Revolutionary War site at Fort Boonesborough.

Jeremy Popkin (History) received a fellowship from the National Humanities Center.

Mark Purschwitz (Biosystems and Agricultural Engineering) was awarded \$125,500 by the Southeast Center for Agricultural Health and Injury Prevention. He also received the 2012 American Society of Agricultural and Biological Engineers SMV Technologies Ergonomics, Safety and Health Award for his work in promoting the retrofitting of rollover protective structures on older tractors.

Deborah Reed (Nursing) has been elected to serve a three-year term on the Board of Directors of the International Society for Agricultural Safety and Health (ISASH). She is the first UK faculty member to serve on this board.

I. Joseph Ross (Biosystems and Agricultural Engineering) received the 2012 American Society of Agricultural and Biological Engineers Cyrus Hall McCormick-Jerome Increase Case Gold Medal.

Buck Ryan (Journalism) was named a 2012 Top Journalism Professor by JournalismDegree.org.

Michael Speaks (Design) was recognized by OnlineColleges.com this June as one of the top 10 design professors on Twitter in the article "10 Design Professors That Will Draw You In on Twitter."

Surendranath Suman (Agriculture) has been named one of three recipients of the Distinguished Achievement Award from the American Meat Science Association.

Thomas Teague (Education Abroad) was recognized at the 64th NAFSA Annual Conference and Expo in Houston, Texas, for the excellence of his poster, "ISP 599: A New Approach to Providing Ongoing Orientation at a Large Public Institution."

Terence Tunberg and Milena Minkova (Modern and Classical Languages, Literatures and Cultures) conducted an annual and internationally recognized Latin immersion seminar hosted by Dickinson College in Carlisle, Pa.

Yinan Wei (Chemistry) received a \$450,000 grant from the National Science Foundation.

Youling Xiong (Animal and Food Sciences) received the 2012 Institute of Food Technologies Food Chemistry Division Lecturer Award.

Steven Yates (Chemistry) received an \$880,000 grant from the Department of Energy as part of the DOE's Nuclear Energy Programs' \$36.2 million initiative to enhance energy research and development projects. The project will focus on fuel cycle research and development.

Thomas Zentall (Psychology) was published in the internationally acclaimed Oxford Handbook of Comparative Cognition.

38. Student Achievements

Marcus Doxey (Business and Economics) was selected to attend the American Accounting Association's Doctoral Consortium by the Von Allmen School of Accountancy.

Sarah Gooch (Japan Studies) received a Boren Scholarship to study in Japan.

Nick Grisanti (Patterson School) has been awarded a Critical Language Scholarship to study Chinese and will spend two months in an intensive Chinese study program in Shanghai, China.

Katelyn McNamara (Neuroscience/Spanish) received the National Active Citizen of the Year award from Alternative Break Connection, Inc. for outstanding community service.

Evan Pulliam (Arts Administration) was one of the leads (Conrad Birdie) in "The Rep" Theatre (Lexington) production of "Bye Bye Birdie"

Clay Thompson (Music) sang the Commendatore in Mozart's "Don Giovanni" with Opera Orvieto in Orvieto, Italy this summer. He is presently performing Colline in 'La Boheme' with the Soo Opera Theater in Sault Ste. Marie, Michigan.

Matt Turner (Music) performed the roles of Colline in Puccini's opera 'La Boheme', and Don Basilio in Rossini's 'The Barber of Seville' with Musica Lirica this summer in Novafeltria, Italy.

39. Alumni Achievements

Morgan B. Abney (Engineering), a space systems engineer at NASA's Marshall Space Flight Center in Huntsville, Ala., is among six NASA researchers named by President Barack Obama as recipients of the 2011 Presidential Early Career Award for Scientists and Engineers.

Taylor Amerman (Accounting) was selected as one of three students from the University of Nottingham to carry the Olympic Torch prior to the Summer Games in London.

Kyoung Suk Lee (Nursing) had a paper published on MedPage Today, "Depression May Drive Cardiac Events in Heart Failure."

Beth Partin (Nursing) was featured in a recent story in the Courier Journal, "Kentucky Medical Care Shortage Prompts Non-Physicians to Seek Greater Independence."

Quintessa Peake (Telecommunications) received the 2012 Joseph T. Burch Young Alumni Award at the UK Alumni Association Distinguished Service Awards ceremony.

Adele Pinto Ryan (Communication) was awarded the 2012 UK Alumni Association Distinguished Service Award.

Sandra Hicks Young (Nursing Practice) has been selected for the Leadership Award from the Center for Nursing Excellence in West Virginia.

Theodore Zorn (Communication) was named Pro Vice-Chancellor of Massey University's College of Business in Albany, New Zealand.

PR 2

Office of the President
September 11, 2012

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENT

CIO for Information Technology

Porterfield, Alice, Staff Support Associate II, Academic Technical Support, Student Computing Services, after 26 years of consecutive service under AR 3.1 Section VIII.B, effective 7/9/12.

Tiemeyer, Edward B., Accounting Supervisor, Communications Inventory, after 15 years of consecutive service under AR 3.1 Section VIII.B, effective 9/4/12.

Vice President for Facilities Management

Blackford, George H., Custodial Worker II, Housing, Student Auxiliary Services, after 29 years and 10 months of consecutive service under AR 3.1 Section VIII.B, effective 6/1/12.

Nelson, William R., Heating & Cooling Plant Supervisor, Physical Plant Heating/Cooling, after 29 years and 9 months of consecutive service under AR 3.1 Section VIII.B, effective 9/28/12.

Vice President for Financial Operations and Treasurer

Zhang, Mei M., Administrative Support Associate I, General Accounting, Controller & Treasurer, after 16 years and 5 months of consecutive service under AR 3.1 Section VIII.B, effective 7/17/12.

Vice President for Research

Schroeder, Carol M., Disability Program Administrator, Interdisciplinary Human Development, Research, after 17 years and 4 months of consecutive service under AR 3.1 Section VIII.B, effective 8/20/12.

B. EARLY RETIREMENTS

Vice President for Facilities Management

Gregory, Thomas R., Program Coordinator II, Physical Plant Division, after 38 years and 7 months of consecutive service under AR 3.1 Section VIII.A, effective 6/27/12.

Harris, Wilma E., Custodial Worker I, Physical Plant Custodial Services, after 23 years and 1 month of consecutive service under AR 3.1 Section VIII.A, effective 9/21/12.

Jelf, Phyllis J., Staff Support Associate II, Human Resources Compensation, after 34 years of consecutive service under AR 3.1 Section VIII.A, effective 10/5/12.

Johnson, Phyllis T., Account Clerk III., Student Auxiliary Services/Housing, after 40 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 8/29/12.

Robinson, Robert I., Grounds Worker III, Physical Plant Grounds Services, after 26 years and 7 months of consecutive service under AR 3.1 Section VIII.A, effective 8/31/12.

II. ADMINISTRATIVE ACTION

A. APPOINTMENTS

Associate Vice President for Human Resources

Goggin, Lea, Clinical Pharmacist, Human Resources/Benefits, effective 8/12/12.

Athletics Director

DeVries, Hakon, Assistant Track and Field Coach, Athletics, effective 7/23/12.

Dunn, Jason, Assistant Track and Field Coach, Athletics, effective 7/23/12.

Emery, Matt, Assistant Men's Tennis Coach, Athletics, effective 8/13/12.

Floreal, Edrick, Head Track and Field Coach, Athletics, effective 7/10/12.

Jorgensen, Lars, Assistant Swimming and Diving Coach, Athletics, effective 7/10/12.

Kauffmann, Cedric, Head Men's Tennis Coach, Athletics, effective 7/25/12.

Ninow, Andrew, Assistant Track and Field Coach, Athletics, effective 7/25/12.

Santos, Danielle, Assistant Women's Basketball Coach, Athletics, effective 5/10/12.

CIO for Information Technology

Greene, Christopher T., Technical Project Specialist III, IT Planning, Administration Finance Group, effective 6/25/12.

Vice President for Development

Meyer, James, Principal Gifts Director Senior, Office of Development, effective 7/23/12.

B. PROMOTIONS

Vice President for Facilities Management

Tackett, Phillip, from Maintenance Superintendent III, Campus Physical Plant to Campus Physical Plant Manager II, Campus Physical Plant, effective 6/13/12.

C. CHANGE

Athletics Director

Emery, Dennis, from Head Men's Tennis Coach to Special Assistant to the Athletics Director, effective 7/19/12.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Ausness, R, College of Law, Professor (with tenure), appointed to the Dorothy Salomon Professorship in Law, 7/1/12 through 6/30/13.
- Bauries, Scott, College of Law, Associate Professor (with tenure), appointed to the Robert G. Lawson Professorship, 7/1/12 through 6/30/13.
- Bix, Gregory J., College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 6/1/12 through 6/30/13, and appointed to the Paul G. Blazer, Jr. Professorship in Stroke Research, 6/1/12 through 6/30/17.
- Borders, Tyrone F., College of Public Health, Professor (with tenure), Health Services Management, effective 11/1/12.
- Bratton, Robert L., College of Medicine, Professor (voluntary), Family and Community Medicine, 7/1/12 through 6/30/16.
- Byron, Raymond J., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/3/12 through 6/30/13.
- Campbell, Rutheford B., College of Law, Professor (with tenure), appointed to the William L. Matthews, Jr. Professorship, 7/1/12 through 6/30/13.
- Clowney, Stephen, College of Law, Associate Professor (with tenure), appointed to the Wyatt, Tarrant and Combs Professorship of Law, 7/1/12 through 6/30/13.
- Craig, Carlton, College of Social Work, Associate Professor (with tenure), appointed to the Vernon R. Wiehe Endowed Professorship in Family Violence, 7/1/12 through 6/30/15.
- Culp, Kenneth, College of Agriculture, Associate Adjunct Professor, Family Sciences, 7/1/12 through 6/30/13.
- Fink, Joseph L., College of Pharmacy, Professor (with tenure), Pharmacy Practice and Science, and joint appointments as Professor (without tenure), Kentucky School of Public Health, College of Medicine and Professor (without tenure), Clinical Sciences, College of Health Sciences and Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed to the Kentucky Pharmacists Association Professor of Leadership, effective 7/1/12.
- Finney, L. N., College of Arts and Sciences, Professor (with tenure), English, appointed to the Guy M. Davenport Endowed Professorship in English, 7/1/12 through 6/30/17.
- Frame, Charles J., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 6/1/12 through 6/30/16.
- Graham, Louise E., College of Law, Professor (with tenure), appointed to the Senator Wendell H. Ford Professorship of Law, 7/1/12 through 6/30/13.
- Hanna, Minna, College of Medicine, Clinical Assistant Professor, Pediatrics, 7/1/12 through 6/30/13.
- Harding, Roberta M., College of Law, Professor (with tenure), appointed to the Judge William T. Lafferty Professorship, 7/1/12 through 6/30/13.

Haubenreich, James E., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/3/12 through 6/30/13.

Lee, Jessica D., College of Medicine, Clinical Associate Professor, Neurology, 8/1/12 through 6/30/13.

Miller, James A., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 6/1/12 through 6/30/16.

Morris, Andrew J., College of Medicine, Professor (with tenure), Internal Medicine, appointed to the Ashwini Anand, MD Professorship in Cardiology, 7/1/12 through 6/30/17.

Muchow, Ryan D., College of Medicine, Clinical Assistant Professor, Orthopaedic Surgery, 9/3/12 through 6/30/13.

Mulberry, Brian T., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 7/1/12 through 6/30/16.

Oser, Carrie B., College of Arts and Sciences, Associate Professor (with tenure), Sociology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, appointed to the Ronald L. Akers Professorship in Criminology and Deviance, 7/1/10 through 6/30/13.

Pack, Daniel W., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, and joint appointment as Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, and appointed to the Ashland Inc. Chair in Chemical Engineering, effective 8/1/12.

Parkinson, Joseph W., College of Dentistry, Assistant Professor*, Oral Health Practice, 5/1/12 through 6/30/12.

Rice, Jeffrey R., College of Arts and Sciences, Associate Professor (with tenure), English, appointed to the Martha B. Reynolds Endowed Professorship, 8/16/11 through 6/30/15.

Seelbach, Elizabeth B., College of Medicine, Clinical Assistant Professor, Pediatrics, 9/1/12 through 6/30/13.

Sistani, Karamat R., College of Agriculture, Adjunct Professor, Plant and Soil Sciences, 8/1/12 through 6/30/13.

Swanberg, Jennifer E., College of Social Work, Professor (with tenure), and joint appointments as Professor (without tenure), Behavioral Science, College of Medicine and Associate Professor (without tenure), Health Behavior, College of Public Health and Associate Professor (without tenure), Management, College of Business and Economics, appointed to the JoAnne I. Bell Endowment in Social Policy and Critical Thinking, 7/1/12 through 6/30/15.

Taylor, Amy M., College of Arts and Sciences, Associate Professor (with tenure), History, effective 8/16/12.

Taylor, Scott K., College of Arts and Sciences, Associate Professor (with tenure), History, effective 8/16/12.

Thompson, Misty D., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, 7/30/12 through 6/30/13.

Thorson, Jon S., College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, effective 10/31/11.

- van Horne, Craig G., College of Medicine, Clinical Associate Professor, Neurosurgery, and joint appointment as Clinical Associate Professor, Anatomy and Neurobiology, appointed to the Virginia T. Barrow Chair in Brain Disease, effective 7/1/12.
- Walz, John Y., College of Engineering, Professor (with tenure), Chemical and Materials Engineering, effective 9/1/12.
- Watts, John M., College of Medicine, Associate Professor (voluntary), Family and Community Medicine, 6/1/12 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

- Cassis, Lisa A., College of Medicine, Professor (with tenure), Molecular and Biomedical Pharmacology, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Graduate Center for Nutritional Sciences and Professor (without tenure), Internal Medicine and Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, appointed Chair, Molecular and Biomedical Pharmacology, 7/1/12 through 6/30/18.
- Cassone, Vincent M., College of Arts and Sciences, Professor (with tenure), Biology, reappointed Chair, Biology, 7/1/12 through 6/30/16.
- Cavanaugh, Carey E., Graduate School, Professor* (with tenure), Patterson School of Diplomacy and International Commerce, reappointed Director, Patterson School of Diplomacy and International Commerce, 7/1/12 through 6/30/15.
- Crosby, Richard A., College of Public Health, Professor (with tenure), Health Behavior, reappointed Chair, Health Behavior, 7/1/12 through 6/30/16.
- Cummins, Marc J., College of Arts and Sciences, Professor, Military Science and Leadership, reappointed Chair, Military Science, 7/1/12 through 6/30/13.
- DeYoung, Alan, College of Education, Professor (with tenure), Educational Policy Studies and Evaluation, and joint appointment as Professor (without tenure), Sociology, College of Arts and Sciences, reappointed Chair, Educational Policy Studies and Evaluation, 7/1/12 through 6/30/14.
- Garvy, Beth, College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Professor (without tenure), Internal Medicine, appointed Chair, Microbiology, Immunology and Molecular Genetics, 7/1/12 through 6/30/18.
- Hansen, Wendy F., College of Medicine, Associate Professor* (with tenure), Obstetrics and Gynecology, reappointed Chair, Obstetrics and Gynecology, 7/1/12 through 6/30/18.
- Harmon, Robert J., College of Agriculture, Professor (with tenure), Animal and Food Sciences, reappointed Chair, Animal and Food Science, 7/1/13 through 6/30/14.
- Hays, Lon R., College of Medicine, Professor* (with tenure), Psychiatry, and joint appointment as Professor* (without tenure), Internal Medicine, reappointed Chair, Psychiatry, 3/3/12 through 3/2/15.
- Honaker, Rick Q., College of Engineering, Professor (with tenure), Mining Engineering, reappointed Chair, Mining Engineering, 7/1/12 through 6/30/16.

- Hoyt, William, College of Business and Economics, Professor (with tenure), Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed Chair, Economics, 7/1/12 through 6/30/16.
- Huber, Jeffrey T., College of Communication and Information, Professor (with tenure), Library and Information Science, reappointed Director, Library and Information Science, 7/1/12 through 6/30/16.
- Jennings, C. Darrell, College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, appointed Chair, Pathology and Laboratory Medicine, 8/1/12 through 7/31/18.
- Love, Keisha M., College of Education, Associate Professor (with tenure), Educational, School, and Counseling Psychology, appointed Chair, Educational and Counseling Psychology, 8/16/12 through 6/30/16.
- Noland, Melody, College of Education, Professor (with tenure), Kinesiology and Health Promotion, and joint appointment as Professor (without tenure), Health Behavior, College of Public Health, reappointed Chair, Kinesiology and Health Promotion, 7/1/12 through 6/30/16.
- Randall, Marcus E., College of Medicine, Professor (with tenure), Radiation Medicine, and joint appointment as Professor (without tenure), Clinical Sciences, College of Health Sciences, reappointed Chair, Radiation Medicine, 10/1/12 through 9/30/18.
- Vore, Mary, College of Medicine, Professor (with tenure), Graduate Center for Toxicology, reappointed Director, Graduate Center for Toxicology, 7/1/12 through 6/30/18.

C. JOINT APPOINTMENTS

- Boyd, Douglas A., Libraries, Librarian II (with tenure), joint appointment as Librarian II (without tenure), Educational Policy Studies and Evaluation, College of Education, effective 7/1/12.
- Dhawan, Vikas, College of Medicine, Associate Professor* (without tenure), Orthopaedic Surgery, joint appointment as Associate Professor* (without tenure), Surgery, 8/1/12 through 6/30/13.
- Swanberg, Jennifer E., College of Social Work, Professor (with tenure), joint appointments as Professor (without tenure), Behavioral Science, College of Medicine and Associate Professor (without tenure), Health Behavior, College of Public Health and Associate Professor (without tenure), Management, College of Business and Economics, effective 7/1/12.

D. REAPPOINTMENTS

- Albers, Dale, College of Social Work, Professor (part-time), 7/1/12 through 6/30/13.
- Appler, Douglas R., College of Design, Professor (visiting), Historic Preservation, and reappointed to the Helen Edwards Abell Chair in Historic Preservation, 7/1/12 through 6/30/13.
- Bueler, Hans R., College of Medicine, Associate Professor (without tenure), Anatomy and Neurobiology, 7/1/12 through 8/23/12.

Carter, Craig T., College of Medicine, Clinical Associate Professor, Emergency Medicine, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Cummins, Marc J., College of Arts and Sciences, Professor (without tenure), Military Science and Leadership, 7/1/12 through 6/30/13.

Davis, Mary J., College of Law, Professor (with tenure), reappointed to the Stites and Harbison Professor of Law, 7/1/12 through 6/30/13.

Dillard, Robert P., College of Medicine, Professor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Frost, Christopher W., College of Law, Professor (with tenure), reappointed to the Brown, Todd and Heyburn Professorship of Law, 7/1/12 through 6/30/13.

Gaetke, Eugene R., College of Law, Professor (with tenure), reappointed to the Edward T. Breathitt Professorship of Law, 7/1/12 through 6/30/13.

Gedney, Stephen D., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the Reese S. Terry Professorship in Electrical Engineering, 7/1/12 through 6/30/17.

Godlaski, Theodore M., College of Social Work, Clinical Associate Professor, and joint appointment as Clinical Assistant Professor, Psychiatry, College of Medicine, 7/1/12 through 6/30/13.

Healy, Michael P., College of Law, Professor (with tenure), reappointed to the Wilburt D. Ham Professorship, 7/1/12 through 6/30/13.

Holloway, Lawrence E., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed to the TVA Professor of Power Engineering, 7/1/12 through 6/30/17.

Huberfeld, Nicole L., College of Law, Professor (with tenure), reappointed to the Gallion and Baker Professorship, 7/1/12 through 6/30/13.

Jacobs, Michael W., College of Design, Instructor (temporary), Architecture, reappointed to the AIA Kentucky Endowed Professorship, 8/1/12 through 6/30/13.

Kightlinger, Mark F., College of Law, Associate Professor (with tenure), reappointed to the Wyatt, Tarrant and Combs Professorship of Law, 7/1/12 through 6/30/13.

Lawson, R., College of Law, Professor (with tenure), reappointed to the H. Wendell Cherry Professorship of Law, 7/1/12 through 6/30/13.

Michael, Douglas C., College of Law, Professor (with tenure), reappointed to the Gallion and Baker Professorship, 7/1/12 through 6/30/13.

Moore, Kathryn L., College of Law, Professor (with tenure), reappointed to the Laramie L. Leatherman Professorship of Law, 7/1/12 through 6/30/13.

Nihill, Patricia, College of Dentistry, Associate Professor* (without tenure), Oral Health Practice, 7/1/12 through 6/30/13.

Pearson, P. A., College of Medicine, Professor (with tenure), Ophthalmology and Visual Sciences, reappointed to the Robert L. Bergen Professorship in Ophthalmology, 7/1/12 through 6/30/17.

Price, Melynda J., College of Law, Associate Professor (with tenure), reappointed to the Robert E. Harding Jr. Professorship, 7/1/12 through 6/30/13.

Salamanca, Paul E., College of Law, Professor (with tenure), reappointed to the Wyatt, Tarrant and Combs Professorship of Law, 7/1/12 through 6/30/13.

Underwood, Richard, College of Law, Professor (with tenure), reappointed to the Spears-Gilbert Professorship of Law, 7/1/12 through 6/30/13.

Wang, Dongfang, College of Medicine, Associate Professor (without tenure), Surgery, 7/1/12 through 5/31/13.

Weeks, Pamela L., College of Social Work, Clinical Associate Professor, 7/1/12 through 6/30/13.

Weinberg, Harold R., College of Law, Professor (with tenure), reappointed to the Everett H. Metcalf, Jr. Professorship of Law, 7/1/12 through 6/30/13.

Williams, Loren N., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

E. PROMOTIONS

Bernard, Philip A., College of Medicine, from Clinical Assistant Professor, Pediatrics, to Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.

Carvalho, Cletus S., College of Medicine, from Clinical Assistant Professor, Psychiatry, to Clinical Associate Professor, Psychiatry, 7/1/12 through 6/30/13.

Cunningham, Larry L., College of Dentistry, from Associate Professor (with tenure), Oral Health Science, and joint appointment as Assistant Professor, Surgery, College of Medicine, to Professor (with tenure), Oral Health Science, and joint appointment as Assistant Professor, Surgery, College of Medicine, effective 7/1/12.

Deokule, Sunil P., College of Medicine, from Clinical Assistant Professor, Ophthalmology and Visual Sciences, to Clinical Associate Professor, Ophthalmology and Visual Sciences, 7/1/12 through 6/30/13.

Elayi, Samy C., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/12 through 6/30/13.

Gedaly, Roberto, College of Medicine, from Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/12.

Havens, Jennifer R., College of Medicine, from Assistant Professor, Behavioral Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, to Associate Professor (with tenure), Behavioral Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, effective 7/1/12.

Hayslip, John W., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/12 through 6/30/13.

Hillebrand, Evan E., Graduate School, from Clinical Associate Professor, Patterson School of Diplomacy and International Commerce, to Clinical Professor, Patterson School of Diplomacy and International Commerce, 7/1/12 through 6/30/13.

Huff, Marlene B., College of Medicine, from Clinical Associate Professor, Pediatrics, to Clinical Professor, Pediatrics, 7/1/12 through 6/30/13.

Jo, Misung, College of Medicine, from Assistant Professor, Obstetrics and Gynecology, and joint appointment as Assistant Professor, Molecular and Biomedical Pharmacology, to Associate Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Assistant Professor, Molecular and Biomedical Pharmacology, effective 7/1/12.

- Jones, Mikael, College of Pharmacy, from Clinical Assistant Professor, Pharmacy Practice and Science, and joint appointment as Clinical Assistant Professor, College of Nursing, to Clinical Associate Professor, Pharmacy Practice and Science, and joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/11 through 6/30/12.
- King, Michael R., College of Medicine, from Clinical Assistant Professor, Family and Community Medicine, to Clinical Associate Professor, Family and Community Medicine, 7/1/12 through 6/30/13.
- Lock, Richard, College of Medicine, from Clinical Associate Professor, Anesthesiology, and joint appointment as Clinical Associate Professor, Pediatrics, to Clinical Professor, Anesthesiology, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/12 through 6/30/13.
- Monohan, Gregory P., College of Medicine, from Assistant Professor*, Internal Medicine, to Clinical Associate Professor, Internal Medicine, 7/1/12 through 6/30/13.
- Piasek, Mary M., College of Pharmacy, from Associate Professor* (with tenure), Pharmacy Practice and Science, to Professor* (with tenure), Pharmacy Practice and Science, effective 7/1/12.
- Saito, Hiroshi, College of Medicine, from Assistant Professor, Surgery, and joint appointment as Assistant Professor, Physiology, to Associate Professor (with tenure), Surgery, and joint appointment as Associate Professor (without tenure), Physiology, effective 7/1/12.
- Steyn, Pieter G., College of Medicine, from Clinical Assistant Professor, Anesthesiology, to Clinical Associate Professor, Anesthesiology, 7/1/12 through 6/30/13.
- Stone, Daria N., College of Dentistry, from Clinical Assistant Professor, Oral Health Science, to Clinical Associate Professor, Oral Health Science, 7/1/12 through 6/30/13.
- Ueland, Frederick R., College of Medicine, from Associate Professor* (with tenure), Obstetrics and Gynecology, to Professor* (with tenure), Obstetrics and Gynecology, effective 7/1/12.
- Wallace, Carmel, College of Medicine, from Clinical Associate Professor, Pediatrics, to Clinical Professor, Pediatrics, 7/1/12 through 6/30/13.
- Wood, Robert L., College of Medicine, from Associate Professor (voluntary), Family and Community Medicine, to Professor (voluntary), Family and Community Medicine, 7/1/11 through 6/30/16.
- Wright, Heather R., College of Medicine, from Clinical Assistant Professor, Surgery, to Clinical Associate Professor, Surgery, 7/1/12 through 6/30/13.
- Xenos, Eleftherios S., College of Medicine, from Assistant Professor*, Surgery, to Associate Professor* (with tenure), Surgery, effective 7/1/12.

F. CHANGES

- Cassis, Lisa A., College of Medicine, from Professor (with tenure), Graduate Center for Nutritional Sciences, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Internal Medicine and Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, to Professor (with tenure), Molecular and Biomedical Pharmacology, and joint appointments as Professor (without tenure), Graduate Center for Toxicology and Professor (without tenure), Graduate Center for Nutritional Sciences and Professor (without tenure), Internal Medicine and Professor (without tenure), Pharmaceutical Sciences, College of Pharmacy, effective 7/1/12.
- Chow, Ching K., College of Medicine, from Professor (with tenure), Graduate Center for Nutritional Sciences, and joint appointment as Professor (without tenure), Graduate Center for Toxicology, to Professor (with tenure), Dietetics and Human Nutrition, College of Agriculture, and joint appointments as Professor (without tenure), Graduate Center for Toxicology, College of Medicine and Professor (without tenure), Graduate Center for Nutritional Sciences, College of Medicine, effective 7/1/12.
- Erol, Erdal, College of Agriculture, Clinical Associate Professor, Veterinary Science, from 7/1/12 through 6/30/13, to 7/1/12 through 6/30/17.
- Feldman, Joel B., College of Fine Arts, from Instructor (part-time), Art and Visual Studies, to Adjunct Professor, Art and Visual Studies, 6/1/12 through 6/30/13.
- Goldstein, Steven J., College of Medicine, from Clinical Professor, Radiology, to Professor (part-time), Radiology, 7/1/12 through 6/30/13.
- Hoyt, William, Graduate School, from Professor (with tenure), Martin School of Public Policy and Administration, to Professor (with tenure), Economics, College of Business and Economics, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, effective 7/1/12.
- Prybil, Lawrence D., College of Public Health, from Professor, Health Services Management, to Professor (part-time), Health Services, 7/1/12 through 6/30/13.
- White, Connie G., College of Public Health, from Professor, Health Behavior, to Professor (part-time), Health Behavior, 7/1/12 through 6/30/13.
- Wood, Craig H., College of Agriculture, from Extension Professor (with tenure), Agricultural Communications Service, to Extension Professor (with tenure), Animal and Food Sciences, effective 7/1/12.

G. TERMINAL REAPPOINTMENTS

- Bueler, Hans R., College of Medicine, Associate Professor, Anatomy and Neurobiology, 8/24/12 through 8/23/13.
- Hedman, Thomas P., College of Engineering, Associate Research Professor, Biomedical Engineering, and joint appointment as Associate Research Professor, Neurosurgery, College of Medicine, 7/1/12 through 6/30/13.
- Li, Tianxiang, College of Engineering, Associate Research Professor, Mechanical Engineering, 7/1/12 through 12/31/12.

McConnell, Thomas A., College of Dentistry, Clinical Associate Professor, Oral Health Practice, 7/1/12 through 6/30/13.

H. RETIREMENTS

Burns, Martha, College of Medicine, Physician Assistant Senior, Internal Medicine, after 6 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 7/6/12.

Dean, Phyllis A., College of Dentistry, Dental Team Coordinator, Dental Clinic, after 23 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/1/12.

Fortune, William, College of Law, Professor (with tenure), after 43 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Hager, Jacqueline O., Enrollment Management, Student Services Director, Admissions and Registrar, after 44 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Knight, Evelyn A., College of Public Health, Associate Professor* (without tenure), Health Behavior, after 9 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Nations, Jane N., Student Affairs, Office Assistant, Residence Life, after 22 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/8/12.

Schach, Horst, College of Agriculture, Professor (with tenure), Landscape Architecture, after 43 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 8/31/12.

Schmidt, John Jack, College of Medicine, Research Analyst, Biochemistry, after 28 years and 1 month of consecutive service, under AR 3:1, Section VIII.B, effective 7/30/12.

Shih, Wei-Jen, College of Medicine, Professor (with tenure), Radiology, after 31 years of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Vance, Vickery Paul, College of Medicine, Family Health Care Advisor, Rural Health, after 12 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/31/12.

Vasek, Stephen J., College of Law, Associate Professor (with tenure), after 40 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 6/30/12.

Wells, Larry G., College of Agriculture, Professor (with tenure), Biosystems and Agricultural Engineering, after 37 years and 10 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/31/12.

I. EARLY RETIREMENTS

Damron, Curtis, College of Agriculture, Machinist, Fleet Services, after 18 years and 8 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/12/12.

Holmes, Debbye L., Student Affairs, Staff Support Associate I, Campus Recreation, after 18 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/24/12.

Johnson, Sue A., College of Medicine, Administrative Support Associate II, Obstetrics and Gynecology, after 23 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 7/1/12.

Keys, Sharon Ann, College of Public Health, Administrative Services Assistant, after 24 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/20/12.

King, Carl L., College of Agriculture, Engineer Associate Senior, Biosystems and Agricultural Engineering, after 25 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/31/12.

Leach, Gene Carol, College of Dentistry, Institute Effectiveness/Planning Coordinator, Academic Affairs, after 44 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/2/12.

Major, Debra C., College of Medicine, Administrative Services Assistant, Obstetrics and Gynecology, after 36 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/18/12.

Shah, Naresh, College of Engineering, Professor (temporary), Chemical and Materials Engineering, after 21 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/29/12.

Skelton, Judith, College of Dentistry, Associate Professor* (with tenure), Oral Health Science, and joint appointment as Associate Professor* (without tenure), Kentucky School of Public Health, College of Medicine, after 16 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/30/12.

Tschop, Patricia A., College of Public Health, Staff Associate, Center for Preventive Research, after 19 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 4/14/12.

Weinberger, Ruth Anne, College of Medicine, Program Coordinator II, Emergency Medicine, after 25 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 6/6/12.

White, Betty L., College of Medicine, Administrative Support Associate I, Physiology, after 15 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/7/12.

Wright, Lynda Brown, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, after 19 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 7/2/12.

J. POST-RETIREMENT APPOINTMENTS

Abadi, Behruz J., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Allen, David L., College of Engineering, Engineer Associate Program Manager (part-time), Kentucky Transportation Center, 7/1/12 through 6/30/13.

Buckner, Elizabeth, College of Agriculture, Agriculture Extension Specialist Administrator (part-time), Cooperative Extension Service, 10/1/12 through 9/30/13.

Collins, Clinton, College of Education, Associate Professor (part-time), Educational Policy Studies and Evaluation, 1/1/12 through 6/30/12.

Crouch, Sally J., College of Engineering, Administrative Coordinator (part-time), Kentucky Transportation Center, 7/1/12 through 6/30/13.

Davis, Britt E., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/1/12 through 6/30/13.

Donohew, Robert L., College of Communication and Information, Professor (part-time), Communication, 7/1/12 through 6/30/13.

Dunn, Lloyd, College of Agriculture, Research Facilities Manager (part-time), Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Fehr, Robert L., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Hahn, Ottfried J., College of Engineering, Professor (part-time), Mechanical Engineering, 7/1/12 through 6/30/13.

Hopkins, Tommy C., College of Engineering, Transportation Program Manager (part-time), Kentucky Transportation Center, 7/1/12 through 6/30/13.

LeVeque, Paul, College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 7/1/12 through 6/30/13.

Minch, Connie, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 10/4/12 through 10/3/13.

Moore, Louise, College of Agriculture, District Director for District 2 (part-time), Cooperative Extension Service, 11/7/12 through 11/6/13.

Murdock, Lloyd W., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/9/12 through 6/30/13.

Peel, Lula Joyce, College of Agriculture, Staff Support Associate (part-time), Biosystems and Agricultural Engineering, 7/1/12 through 6/30/13.

Pyles, Michael, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/6/12 through 11/5/13.

Reitenour, Richard, College of Engineering, Transportation Technician (part-time), Kentucky Transportation Center, 7/1/12 through 6/30/13.

Sparrow, Roger, College of Agriculture, Extension District Director (part-time), District 4, Cooperative Extension Service, 11/4/12 through 11/3/13.

Stivers, Wendy, College of Agriculture, 4-H Specialist (part-time), Nutrition and Food Sciences, 6/1/12 through 5/31/13.

Strohmeier, Kim, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 11/4/12 through 11/3/13.

Thom, William O., College of Agriculture, Professor (part-time), Plant and Soil Sciences, 7/1/12 through 8/31/12.

Thomas, Charles A., College of Dentistry, Associate Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Vantubergen, G. N., College of Communication and Information, Associate Professor (part-time), Communication, 7/1/12 through 6/30/13.

Wells, Larry G., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, 9/2/12 through 6/30/13.

Welsh, Richard J., College of Medicine, Professor (part-time), Psychiatry, and joint appointment as Professor (part-time), College of Social Work, 7/2/12 through 6/30/13.

Wilson, H. David, College of Medicine, Professor (part-time), Pediatrics, 10/1/12 through 6/30/13.

K. PHASED RETIREMENT APPOINTMENTS

Hewett, Donna T., College of Engineering, Lecturer, Mechanical Engineering, 7/1/12 through 6/30/17.

Riker, Carol A., College of Nursing, Associate Professor (part-time), 7/1/12 through 6/30/15.

Wilson, Emery A., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Professor (without tenure), Family and Community Medicine, 10/1/12 through 1/2/13.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Akers, Kathryn S., College of Education, Instructor (part-time), Educational Policy Studies and Evaluation, 6/7/12 through 8/2/12.

Aldallal, Amjad, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Ali, Rizwan, College of Medicine, Assistant Professor (voluntary), Psychiatry, 6/1/12 through 6/30/16.

Anderson, Amelia J., College of Medicine, Clinical Assistant Professor, Neurology, 9/10/12 through 6/30/13.

Bahng, Jeffrey W., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/12 through 6/30/16.

Barnes, Tiffany D., College of Arts and Sciences, Assistant Professor, Political Science, 8/16/12 through 6/30/14.

Benassi, Matteo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 5/8/12 through 6/5/12.

Bennett, Tamara L., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/16/12 through 6/30/13.

Benton, Shawn, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 10/1/11 through 12/31/11.

Bevins, Carla C., College of Communication and Information, Lecturer, Communication, 8/1/12 through 6/30/13.

Billings, Giovanni, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Blackburn, Stephanie A., College of Nursing, Instructor (part-time), 8/1/12 through 6/30/13.

Bodiwala, Kunal N., College of Medicine, Clinical Instructor, Internal Medicine, 7/27/12 through 6/30/13.

Boucher, Larry, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/11 through 5/15/11.

Bouwma-Gearhart, Andrew M., College of Arts and Sciences, Adjunct Instructor, Biology, 1/1/11 through 12/31/11.

Brennan, Kristen M., College of Agriculture, Assistant Adjunct Professor, Animal and Food Sciences, 8/1/12 through 6/30/13.

Brewer, Dawn P., College of Agriculture, Lecturer, Dietetics and Human Nutrition, 8/16/12 through 6/30/13.

Brouwer, Emily S., College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/12 through 6/30/13.

Burckardt, Elizabeth R., College of Nursing, Instructor (part-time), 8/1/12 through 6/30/13.

Campbell, Lindi M., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Carman, Angela L., College of Public Health, Assistant Adjunct Professor, Health Services Management, 6/1/12 through 12/31/12.

Carrion, Cristian, College of Medicine, Instructor (voluntary), Family and Community Medicine, 5/1/12 through 6/30/16.

Cattafesta, Joanne, College of Communication and Information, Lecturer, Communication, 8/1/12 through 6/30/13.

Charalambakis, John E., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/12 through 6/30/13.

Collett, DeShana G., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Collins, William E., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/12 through 6/30/16.

Couch, Cynthia B., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/12 through 6/30/16.

Davison, Lisa, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 7/1/12 through 6/30/16.

Dent, Jennifer L., College of Nursing, Lecturer, 8/1/12 through 6/30/13.

DeVries, Brigid, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/11 through 12/31/11.

Eddens, Katherine S., College of Public Health, Assistant Professor, Health Behavior, 8/1/12 through 6/30/13.

Emmons, John, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 4/1/12 through 6/30/16.

Englert, Derek L., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 8/1/12 through 6/30/14.

Evert, Jessica A., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/12 through 6/30/16.

Fenollar, Joaquin, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/12 through 5/15/13.

Fields, Katherine, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/10 through 12/31/10.

Fields, Kayla J., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/12 through 6/30/16.

Fleenor, Bradley, College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/16/12 through 6/30/13.

Fleming, Allison, College of Education, Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/12 through 6/30/13.

Ford, Kevin, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 10/1/11 through 12/31/11.

Foushee, Sarah E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/1/12 through 6/30/16.

Galloway, Abraham S., College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/12 through 6/30/16.

Gervais, Will M., College of Arts and Sciences, Assistant Professor, Psychology, 8/16/12 through 6/30/14.

Ghasemi, Amirhossein, College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 8/1/12 through 6/30/13.

Gill, Rebecca, College of Design, Instructor (temporary), Interior Design, 7/1/12 through 6/30/13.

Greathouse, David G., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.

Greenwell, Karen J., College of Law, Instructor (part-time), 3/10/12 through 6/30/12.

Griffiths, Thomas B., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Guillory, Ivan, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/12 through 6/30/13.

Halcomb, Paula R., College of Nursing, Lecturer, 8/1/12 through 6/30/13.

Hamilton, Charles, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/12 through 6/30/12.

Herdelin, Mary J., College of Communication and Information, Assistant Professor (visiting), Library and Information Science, 8/1/12 through 6/30/13.

Holmes, Jessica E., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

Houchin, Timothy M., College of Medicine, Assistant Professor (voluntary), Psychiatry, 9/1/12 through 6/30/16.

Howard, Jennifer S., College of Health Sciences, Assistant Research Professor, Rehabilitation Sciences, 7/1/12 through 6/30/13.

Hughes, Leah C., College of Nursing, Instructor (part-time), 8/22/12 through 6/30/13.

Isaacs, Anthony, College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.

Jacobs, Michael W., College of Design, Instructor (temporary), Architecture, 7/1/12 through 6/30/13.

Johnson, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/11 through 6/30/12.

Johnson, Jon K., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/11/12 through 6/30/16.

Jones, Julene L., Libraries, Librarian IV, 7/1/12 through 6/30/14.

Kipp, Lindsay E., College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/12 through 5/15/14.

Land, Travis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 9/1/11 through 6/30/12.

Langfels, Erin C., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/11/12 through 6/30/16.

Lhotka, Laura R., College of Agriculture, Adjunct Instructor, Forestry, 7/1/12 through 6/30/13.

Long, Mary Lou, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/12 through 6/30/12.

Loy, Robert E., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/12 through 6/30/16.

Maiti, Indu B., College of Agriculture, Assistant Adjunct Professor, Plant and Soil Sciences, 8/1/12 through 6/30/13.

Mark, Kristen, College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/16/12 through 6/30/13.

Meritt, Frances A., College of Medicine, Instructor (voluntary), Family and Community Medicine, 5/1/12 through 6/30/16.

Miller, George J., College of Law, Instructor (part-time), 8/1/11 through 6/30/12.

Miller, William K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 4/1/12 through 6/30/16.

Moga, Daniela C., College of Pharmacy, Assistant Professor, Pharmacy Practice and Science, and joint appointment as Assistant Professor, Epidemiology, College of Public Health, 7/1/12 through 6/30/13.

Montgomery, Martin W., College of Arts and Sciences, Lecturer, Mathematics, 8/16/12 through 6/30/14.

Morford, Lorri A., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/12 through 6/30/13.

Mundt, Patricia A., College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 7/1/11 through 12/31/11.

Noland, Miles, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/11 through 12/31/11.

O'Malley, Patricia, College of Nursing, Instructor (voluntary), 7/1/12 through 6/30/16.

O'Neal, E. T., College of Medicine, Instructor (voluntary), Family and Community Medicine, 5/1/12 through 6/30/16.

Oltmann, Shannon, College of Communication and Information, Assistant Professor, Library and Information Science, 8/1/12 through 6/30/13.

Osborn, Will A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 6/1/12 through 6/30/16.

Patterson, Thomas H., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/12 through 6/30/13.

Peterson, Lars E., College of Medicine, Assistant Professor (part-time), Family and Community Medicine, 9/1/12 through 6/30/13.

Pfankuch, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/12 through 6/30/12.

Pierce, Larson, College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 1/1/11 through 5/15/11.

Pinto-Sinai, Gitanjali L., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/2/12 through 6/30/13.

Price, Rachel, College of Communication and Information, Lecturer, Communication, 8/1/12 through 6/30/13.

Proffitt, Mary, College of Education, Clinical Assistant Professor, Educational Leadership Studies, 7/1/10 through 6/30/12.

Raque, Michael A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/11 through 6/30/16.

Ratliff, Stephanie, College of Social Work, Clinical Instructor, 7/1/12 through 6/30/13.

Reathaford, Shawn R., College of Nursing, Instructor (voluntary), 7/1/12 through 6/30/16.

Reaves, Michael, College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/11 through 5/15/11.

Reutzel, Douglas R., College of Education, Instructor (part-time), Curriculum and Instruction, 6/7/12 through 8/2/12.

Riemer, Micaela E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 12/1/11 through 6/30/16.

Risher, Richard L., College of Medicine, Instructor (voluntary), Family and Community Medicine, 3/1/12 through 6/30/16.

Risk, Heather M., College of Arts and Sciences, Adjunct Instructor, Psychology, 5/8/12 through 8/1/12.

Rojas-Krol, Guadalupe, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/12 through 6/30/16.

Romond, Kelli K., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/16/12 through 6/30/13.

Rose, Robert T., College of Design, Instructor (part-time), Historic Preservation, 7/1/12 through 6/30/13.

Rubino, Thomas G., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Sauers, Nicholas J., College of Education, Assistant Research Professor, Educational Leadership Studies, 8/16/12 through 6/30/13.

Schrader, Laura C., College of Nursing, Lecturer, 8/1/12 through 6/30/13.

Shearer, Shelley, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/12 through 6/30/16.

Simpson, Schyler B., College of Communication and Information, Lecturer, Communication, 8/1/12 through 6/30/13.

Stickney, Margaret, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 9/1/11 through 12/31/11.

Strand, Karen, College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 8/16/11 through 6/30/12.

Sturgeon, Angela, College of Nursing, Instructor (voluntary), 7/1/12 through 6/30/16.

Thatcher, Sean E., College of Medicine, Assistant Research Professor, Graduate Center for Nutritional Sciences, 7/1/12 through 6/30/13.

Thompson, John A., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/12 through 6/30/16.

Thornbury, William C., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 5/1/12 through 6/30/16.

Tucker, James P., College of Medicine, Assistant Professor (voluntary), Pediatrics, 6/1/12 through 6/30/16.

Tuttle, Jeffrey P., College of Medicine, Assistant Professor (voluntary), Psychiatry, 7/1/12 through 6/30/16.

Walden, Pamela, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 6/1/12 through 6/30/16.

Weisenfluh, Sherri, College of Social Work, Instructor (part-time), 6/7/12 through 8/2/12.

Weiss, Helen L., College of Medicine, Instructor (voluntary), Family and Community Medicine, 4/1/12 through 6/30/16.

Welker, Nicholas J., College of Nursing, Instructor (part-time), 8/1/12 through 6/30/13.

Wellman, Jerry L., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 8/16/12 through 12/31/12.

Whitten, Laura, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 5/8/12 through 6/5/12.

Wilcox, Michael D., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 10/1/12 through 6/30/13.

Wilde, Ashley M., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 10/1/11 through 6/30/16.

Wilder, Luke J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 6/1/12 through 6/30/12.

Wilkinson, Harvie B., College of Business and Economics, Instructor (part-time), Management, 7/1/11 through 6/30/12.

Wurth, Mark A., College of Medicine, Instructor (part-time), Pediatrics, 7/1/12 through 6/30/13.

Xiong, Ying J., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/12 through 6/30/13.

Zembrodt, James W., College of Public Health, Adjunct Instructor, Health Services Management, 1/1/12 through 6/30/12.

B. ADMINISTRATIVE APPOINTMENTS

Addo, Kwaku, College of Agriculture, Associate Professor (with tenure), Dietetics and Human Nutrition, reappointed Interim Chair, Merchandising, Apparel and Textiles, 7/1/12 through 6/30/13.

Anderson, Bradley D., College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, appointed Interim Chair, Pharmaceutical Sciences, 7/1/12 through 6/30/13.

Hackbart, Merl, College of Business and Economics, Professor (with tenure), Management, and joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, Graduate School, appointed Interim Director, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Munson, Eric J., College of Pharmacy, Professor (with tenure), Pharmaceutical Sciences, appointed Interim Chair, Pharmaceutical Sciences, 5/6/12 through 6/30/12.

Speaks, Michael A., College of Design, Professor (with tenure), Architecture, reappointed Acting Chair, Historic Preservation, 7/1/12 through 6/30/13.

Stewart, Sharon R., College of Health Sciences, Professor* (with tenure), Rehabilitation Sciences, reappointed Interim Dean, College of Health Sciences, 7/1/12 through 6/30/13.

Sympton, William, College of Agriculture, Engineer Associate IV/Research, Biosystems and Agricultural Engineering, effective 7/2/12.

Van Horne, Virginia V., College of Nursing, Technical Project Specialist III, effective 8/20/12.

Walker, Jessica, College of Medicine, Advanced Practice Provider I, Pediatrics, effective 6/28/12.

Wang, Shuxia, College of Medicine, Associate Professor (with tenure), Graduate Center for Nutritional Sciences, appointed Interim Director, Graduate Center for Nutritional Sciences, 7/1/12 through 6/30/13.

Whiteside-Dickson, Ann, College of Design, Associate Professor (with tenure), Interior Design, appointed Acting Director, Interior Design, 7/1/12 through 6/30/13.

C. JOINT APPOINTMENTS

Feltner, Frances J., College of Nursing, Adjunct Instructor, joint appointment as Adjunct Instructor, Clinical Sciences, College of Health Sciences, 2/1/12 through 6/30/12.

Howard, Jennifer S., College of Health Sciences, Assistant Research Professor, Rehabilitation Sciences, joint appointment as Assistant Research Professor, Orthopaedic Surgery, College of Medicine, 7/1/12 through 6/30/13.

Yarrison, Rebecca B., College of Medicine, Clinical Assistant Professor, Internal Medicine, and joint appointment as Clinical Assistant Professor, Pediatrics, new joint appointment as Clinical Assistant Professor, College of Nursing, 7/1/12 through 6/30/13.

D. REAPPOINTMENTS

Adams, Thomas W., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Arnold, Beth J., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/12 through 6/30/13.

Ashley, Jeffrey, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/12 through 6/30/14.

Bailey, Kathryn H., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Balk, Ilka M., College of Engineering, Adjunct Instructor, Mechanical Engineering, 7/1/12 through 6/30/13.

Barnett, Kent H., College of Law, Instructor (visiting), 7/1/11 through 6/30/12.

Bennett, Stephanie L., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/12 through 5/15/13.

Benton, Shawn, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/12 through 6/30/12.

Berre, Edgar L., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/12 through 6/30/13.

Bertoli, Elizangela, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Bishop, Susan A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Bromley-Trujillo, Rebecca, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Brooks, Tina M., College of Law, Librarian IV, 7/1/12 through 6/30/13.

Brown, Joanne, College of Nursing, Adjunct Instructor, 7/1/12 through 6/30/13.

Butina, Michelle L., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Caskey, Paula P., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Cetrulo, Donald P., College of Law, Instructor (part-time), 8/1/11 through 6/30/12, and 7/1/12 through 6/30/13.

Chambers, Orlando D., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/12 through 6/30/13.

Chandler, Wanda, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/11 through 12/31/11.

Chapman, Alexander L., College of Engineering, Assistant Professor (part-time), Mechanical Engineering, 7/1/12 through 6/30/13.

Cheeks, Richard, College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/12 through 6/30/13.

Clark, Janis C., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Clark, Krysta L., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Clay, Marianna J., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Clemens, Justin C., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Clements, John H., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Closson, Stacy R., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 7/1/12 through 6/30/13.

Co, Angela D., College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Cooper, Michael A., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Cowen, Joshua M., Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Cramer, Aaron M., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 7/1/12 through 6/30/14.

Davies, Conrad A., College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Dawson, Dolph, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Dawson, Matthew S., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Deffendall, Matthew, College of Communication and Information, Instructor (part-time), Communication, 7/1/12 through 6/30/13.

DeVito, Allyson, College of Communication and Information, Instructor (part-time), Communication, 8/1/12 through 6/30/13.

Duncan, Robert F., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Durbin, Eileen D., College of Agriculture, Instructor (part-time), Family Sciences, 7/1/12 through 6/30/13.

Emecen Huja, Pinar, College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/12 through 6/30/13.

Farley, Robert M., Graduate School, Assistant Professor, Patterson School of Diplomacy and International Commerce, 7/1/12 through 6/30/13.

Faulkner, Cynthia, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Faulkner, Samuel S., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Feltner, Frances J., College of Nursing, Adjunct Instructor, and joint appointment as Adjunct Instructor, Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

Fields, Katherine, College of Education, Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, 1/1/11 through 12/31/11.

Filson, Anne E., College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Fuentealba Hidalgo, Rodrigo, College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Gassett, Jonathan W., College of Agriculture, Assistant Adjunct Professor, Forestry, 7/1/12 through 6/30/13.

Gore, David R., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Griffiths, Thomas B., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Guinther, Lindsey L., College of Design, Instructor (temporary), Interior Design, 7/1/12 through 6/30/13.

Hamblin, Pierce W., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Hammond, Rachel, College of Education, Lecturer, Educational, School, and Counseling Psychology, 8/16/12 through 6/30/13.

Hammons, Jane C., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Hannemann, Regina, College of Engineering, Lecturer, Electrical and Computer Engineering, 7/1/12 through 6/30/14.

Hawkins, Brian T., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/12 through 6/30/13.

Henry, George E., College of Law, Instructor (part-time), 8/1/11 through 6/30/12, and 7/1/12 through 6/30/13.

Hickman, Anne E., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Hill, Christopher D., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/12 through 6/30/13.

Hoagg, Jesse B., College of Engineering, Assistant Professor, Mechanical Engineering, 7/1/12 through 6/30/14.

Holbein, Gordon, College of Business and Economics, Senior Lecturer, Management, 7/1/12 through 6/30/15.

Holbrook, Paula J., College of Law, Adjunct Instructor, 8/1/11 through 6/30/13.

Horn, Leslie, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/1/11 through 6/30/16.

Hu, Patrick G., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/12 through 6/30/13.

Hutchison, Phillip, College of Communication and Information, Assistant Professor, Journalism and Telecommunications, 7/1/12 through 6/30/13.

Ison, Rebekah S., College of Design, Instructor (temporary), Interior Design, 7/1/12 through 6/30/13.

Jacobs, Nathan, College of Engineering, Assistant Professor, Computer Science, 7/1/12 through 6/30/14.

Jahed, Peyman, College of Design, Instructor (part-time), Architecture, 7/1/12 through 6/30/13.

Jahed, Peyman, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/12 through 6/30/13.

Jordan, Carol E., College of Arts and Sciences, Assistant Adjunct Professor, Psychology, and joint appointment as Assistant Adjunct Professor, Psychiatry, College of Medicine, 7/1/12 through 6/30/13.

Jordan, Jane J., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Kanatzer, Terri, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Keen, Debby L., College of Engineering, Lecturer, Computer Science, 7/1/12 through 6/30/14.

Kercsmar, Sarah E., College of Communication and Information, Lecturer, Communication, 7/1/12 through 6/30/13.

Khader, Ruba, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/12 through 6/30/13.

Khalaf, Moh'd W., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Kirk, Adam J., College of Engineering, Adjunct Instructor, Civil Engineering, 7/1/12 through 6/30/13.

Kutkut, Ahmad M., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Larkin, Thomas J., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Larson, Raymond W., College of Law, Instructor (part-time), 7/1/12 through 6/30/13.

Leukefeld, Sarabeth, College of Social Work, Adjunct Instructor, 7/1/12 through 6/30/13.

Lewis, Wayne D., College of Education, Assistant Professor, Educational Leadership Studies, 7/1/12 through 6/30/13.

Lipka, Stephen M., College of Engineering, Assistant Adjunct Professor, Electrical and Computer Engineering, 7/1/12 through 6/30/13.

Loeffler, Diane N., College of Social Work, Lecturer, 7/1/12 through 6/30/14.

Lucas, Timothy K., College of Design, Instructor (part-time), Architecture, 8/1/12 through 6/30/13.

Maggard, Stacie K., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Manson, Andrew J., College of Design, Instructor (temporary), Architecture, 7/1/12 through 6/30/13.

Marinelli, James M., College of Arts and Sciences, Instructor (part-time), English, 6/7/12 through 8/2/12.

Massey, Delano R., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/1/12 through 6/30/13.

McFadden, Steven Y., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 7/1/12 through 6/30/13.

McGarvey, John T., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

McKay, Michael B., College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Meegan, William J., College of Arts and Sciences, Instructor (part-time), Psychology, 5/16/12 through 8/1/12.

Miller, George J., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Miller, Kyle J., College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Mitchell, Stephen B., College of Medicine, Clinical Assistant Professor, Obstetrics and Gynecology, 7/1/12 through 6/30/13.

Mustain, Mary V., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Naidoo, Jeffrey S., College of Communication and Information, Assistant Professor, Library and Information Science, 7/1/12 through 8/14/12.

Napier, Robyn, College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Neathery, James K., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 7/1/12 through 6/30/13.

Nusbacher, Kenneth B., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

O'Hara, Michael, College of Arts and Sciences, Instructor (part-time), English, 5/8/12 through 6/5/12.

Oliver, Michael B., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Page, Cecilia K., College of Nursing, Adjunct Instructor, 7/1/12 through 6/30/13.

Palmer, Thomas G., College of Education, Lecturer, Kinesiology and Health Promotion, 1/1/12 through 5/15/12.

Park-Nah, Holly H., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 7/2/12.

Parlanti, Sherry M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Pennington, Rose, College of Agriculture, Instructor (part-time), Family Sciences, 7/1/12 through 6/30/13.

Perry, Kyle A., College of Engineering, Assistant Professor, Mining Engineering, 7/1/12 through 6/30/14.

Petrovsky, Nicolai, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/12 through 6/30/13.

Pinto-Sinai, Gitanjali L., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Piwowarski, Paul, College of Engineering, Lecturer, Computer Science, 7/1/12 through 6/30/14.

Powell, David K., College of Engineering, Assistant Adjunct Professor, Biomedical Engineering, 7/1/12 through 6/30/13.

Probst, Denise, College of Education, Instructor (part-time), Curriculum and Instruction, 7/1/12 through 6/30/13.

Purdy, Janice R., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Rafi Ud Din, Muhammad, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/12 through 6/30/13.

Rash, Timothy R., College of Design, Lecturer, Architecture, 7/1/12 through 6/30/13.

Ray, Deborah S., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/30/13.

Riesenweber, Julie, College of Design, Instructor (part-time), Historic Preservation, 7/1/12 through 6/30/13.

Roberts, Kittye R., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Rodriguez, Carla M., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Rogozinska, Anna, College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/12 through 6/30/13.

Rohrbacher, Gary P., College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Runge, Franklin L., College of Law, Librarian IV, 7/1/11 through 6/30/13.

Sadler, William M., College of Dentistry, Assistant Professor*, Oral Health Practice, 7/1/12 through 6/12/13.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/12 through 6/30/12.

Scanlon, Mary D., College of Social Work, Adjunct Instructor, 7/1/12 through 6/30/13.

Schuer, Kevin M., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Scott, Allison M., College of Communications and Information Studies, Assistant Professor, Communication, 7/1/12 through 6/30/13.

Scroggin, Jason, College of Design, Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Seay, Jeffrey R., College of Engineering, Assistant Professor*, Chemical and Materials Engineering, 7/1/12 through 6/30/14.

Seigler, T. Michael, College of Engineering, Assistant Professor, Mechanical Engineering, 7/1/12 through 6/30/13.

Shirakbari, Alicia A., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/12 through 6/30/13.

Simon, Marion F., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/12 through 6/30/13.

Simon, Rachel A., College of Arts and Sciences, Instructor (part-time), English, 6/7/12 through 8/2/12.

Smigla, John E., College of Business and Economics, Senior Lecturer, Accountancy, 7/1/12 through 6/30/15.

Stanton, Ryan A., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Staples, George M., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/12 through 6/30/13.

Stevens, Nathan, College of Communication and Information, Adjunct Instructor, Journalism and Telecommunications, 7/1/12 through 6/30/13.

Stivers, Teresa, College of Social Work, Clinical Instructor, 7/1/12 through 6/30/13.

Strachan, Douglas R., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/12 through 6/30/14.

Strand, Karen, College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 7/1/12 through 6/30/13.

Summers, Regina H., College of Design, Instructor (part-time), Architecture, 7/1/12 through 6/30/13.

Swigert, Nancy B., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 7/1/12 through 6/30/13.

Sykes, Larry, College of Law, Instructor (part-time), 7/1/12 through 6/30/13.

Takebayashi, Akari, College of Design, Instructor (temporary), Architecture, 7/1/12 through 6/30/13.

Talbert, Cheryl L., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Thacker, James N., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 7/1/12 through 6/30/13.

Thomas, David T., College of Health Sciences, Assistant Professor, Clinical Sciences, 7/1/12 through 6/30/13.

Thornton, David, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Tower, Mark D., College of Medicine, Instructor (part-time), Internal Medicine, 7/1/12 through 6/30/13.

Turner, Helen A., College of Design, Instructor (temporary), Interior Design, 7/1/12 through 6/30/13.

Tyler, Aesha L., College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 7/1/12 through 6/30/13.

Urch, Kathleen, College of Communication and Information, Assistant Professor*, Journalism and Telecommunications, 7/1/12 through 6/30/13.

Valentin, Virginia L., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Wehrman, Paul T., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Whitaker, Melissa, College of Social Work, Clinical Instructor, 7/1/12 through 6/30/13.

White, Jacqueline R., College of Communication and Information, Instructor (part-time), Library and Information Science, 7/1/11 through 6/30/12, and 7/1/12 through 6/30/13.

Wiemann, Alfred H., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/12 through 6/30/13.

Wilder, Luke J., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/12 through 6/30/13.

Wilkinson, Harvie B., College of Business and Economics, Instructor (part-time), Management, 7/1/12 through 6/30/13.

Williams, Lisa G., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Wilson, John K., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/12 through 6/30/13.

Wilson, Melissa J., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Wisdom, Charles P., College of Law, Instructor (part-time), 8/1/12 through 6/30/13.

Wise, George M., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/12 through 6/30/13.

Witt, Cheryl D., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Woolverton, Sheryl W., College of Social Work, Instructor (part-time), 7/1/12 through 6/30/13.

Wyant, Andrew R., College of Health Sciences, Assistant Professor*, Clinical Sciences, 7/1/12 through 6/30/13.

Yarberry, Tara L., College of Nursing, Instructor (part-time), 7/1/12 through 6/30/13.

Yee, Tien-Mun, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/12 through 6/30/13.

E. PROMOTIONS WITHOUT TENURE

Darbee, Joan C., College of Health Sciences, from Lecturer, Rehabilitation Sciences, to Senior Lecturer, Rehabilitation Sciences, 7/1/12 through 6/30/13.

F. CHANGES

Akers, Kathryn S., College of Education, from Instructor (part-time), Educational Policy Studies and Evaluation, to Assistant Research Professor, Educational Policy Studies and Evaluation, 7/1/12 through 6/30/13.

Barbour, John T., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 6/7/12 through 8/2/12.

Beach, David, College of Education, from Instructor (part-time), Early Childhood, Special Education, and Rehabilitation Counseling, to Assistant Adjunct Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/11 through 6/30/14.

Burdine, Kenneth H., College of Agriculture, from Adjunct Instructor, Agricultural Economics, to Assistant Extension Professor, Agricultural Economics, 7/1/12 through 6/30/13.

Carman, Angela L., College of Public Health, from Assistant Adjunct Professor, Health Services Management, to Clinical Assistant Professor, Health Services Management, 7/1/12 through 6/30/13.

Dawson, Matthew S., College of Medicine, from Assistant Professor (part-time), Emergency Medicine, to Clinical Assistant Professor, Emergency Medicine, 8/1/12 through 6/30/13.

Dawson, Pangelia H., College of Health Sciences, from Lecturer, Clinical Sciences, to Instructor (part-time), Clinical Sciences, 3/1/12 through 5/31/12.

Dority, Jeremy S., College of Medicine, Clinical Assistant Professor, Anesthesiology, from 7/3/12 through 6/30/13, to 7/1/12 through 6/30/13.

Fisher, Jonathan S., College of Engineering, from Adjunct Instructor, Civil Engineering, to Instructor (part-time), Civil Engineering, 7/1/12 through 6/30/13.

Gowin, Kymberly, College of Medicine, from Nurse Clinic Manager/UKHC, Neurosurgery, to Practice Manager III/UKHC, Neurology, effective 6/17/12.

Hessler, Amy E., College of Medicine, from Assistant Professor (part-time), Neurology, to Clinical Assistant Professor, Neurology, 7/1/12 through 6/30/13.

Hustedde, Carol G., College of Medicine, from Assistant Adjunct Professor, Family and Community Medicine, to Clinical Assistant Professor, Family and Community Medicine, 7/1/12 through 6/30/13.

Johnston, Melissa P., College of Communication and Information, from Assistant Professor, Library and Information Science, and joint appointment as Assistant Professor, Educational Leadership Studies, College of Education, to Instructor (part-time), Library and Information Science, and joint appointment as Assistant Professor (part-time), Educational Leadership Studies, College of Education, 7/1/12 through 7/31/12.

Lovitz, Wanda, College of Nursing, from Senior Lecturer, to Clinical Instructor, 7/15/12 through 6/30/13.

Mohamed, Amr E., College of Medicine, Clinical Assistant Professor, Internal Medicine, from 7/1/12 through 6/30/13, to 8/1/12 through 6/30/13.

Mueller, Janice M., College of Law, from Assistant Professor (part-time), to Instructor (part-time), 1/1/12 through 6/30/12.

Richer, Edward J., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, from 7/1/12 through 6/30/13, to 7/5/12 through 6/30/13.

Shane, Rachel, College of Fine Arts, from Assistant Professor, Theatre, to Assistant Professor, Art, 7/1/12 through 6/30/13.

Strinko, Stefanie A., College of Medicine, from Technical Project Specialist III, Pediatrics, to Project Management Officer, Pediatrics, effective 7/1/12.

Summers, Martin R., College of Design, from Instructor (temporary), Architecture, to Assistant Professor, Architecture, 7/1/12 through 6/30/13.

Todd, Rebecca M., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, from 7/1/12 through 6/30/13, to 7/30/12 through 6/30/13.

Walker, James R., College of Medicine, from Assistant Research Professor, Behavioral Science, and joint appointments as Assistant Research Professor, Psychiatry and Assistant Research Professor, College of Social Work, to Assistant Professor (part-time), Behavioral Science, and joint appointments as Assistant Professor (part-time), Psychiatry and Assistant Professor (part-time), College of Social Work, 7/1/12 through 6/30/13.

Whitesel, Heather L., College of Medicine, from Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Orthopaedic Surgery, to Clinical Assistant Professor, Orthopaedic Surgery, 7/1/12 through 6/30/13.

Williams, John C., College of Public Health, from Clinical Assistant Professor, Health Services Management, to Assistant Professor*, Clinical Sciences, College of Health Sciences, 7/1/12 through 6/30/13.

Wilson, Jessica L., College of Nursing, from Lecturer, to Clinical Assistant Professor, 8/1/12 through 6/30/13.

G. TERMINAL REAPPOINTMENTS

- Akafuah, Nelson K., College of Engineering, Assistant Research Professor, Mechanical Engineering, 7/1/12 through 12/31/12.
- Arrington, Michael I., College of Communication and Information, Assistant Professor, Communication, 7/1/12 through 6/30/13.
- Bennett, Annie F., College of Social Work, Clinical Instructor, 7/1/12 through 2/15/13.
- Browning, Steven R., College of Public Health, Assistant Professor, Epidemiology, 7/1/12 through 7/31/13.
- Burgess, Raymond E., College of Business and Economics, Lecturer, Accountancy, 7/1/12 through 6/30/13.
- Campbell, Kim K., College of Health Sciences, Lecturer, Clinical Sciences, 7/1/12 through 6/30/13.
- D'Orazio, Sarah E., College of Medicine, Assistant Professor, Microbiology, Immunology and Molecular Genetics, 10/1/12 through 9/30/13.
- Danaher, Robert J., College of Dentistry, Assistant Research Professor, Oral Health Practice, and joint appointment as Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, College of Medicine, 7/1/12 through 6/30/13.
- Dotson, Claude H., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 8/1/12 through 7/31/13.
- Haney, Charlotte, College of Dentistry, Clinical Assistant Professor, Oral Health Science, 7/1/12 through 6/30/13.
- Henninger, J. Thomas, College of Engineering, Lecturer, Mechanical Engineering, 7/1/12 through 6/30/13.
- Huang, Chifu B., College of Dentistry, Assistant Research Professor, Oral Health Science, 7/1/12 through 6/30/13.
- Jacobs-Lawson, Joy M., College of Public Health, Assistant Professor, Gerontology, and joint appointments as Assistant Professor, Health Behavior and Assistant Professor, Psychology, College of Arts and Sciences, 8/15/12 through 8/14/13.
- Pepper, Kristy A., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/12 through 6/30/13.
- Poole, Patrick K., College of Engineering, Senior Lecturer, Mechanical Engineering, 7/1/12 through 6/30/13.
- Smith, Michael D., College of Public Health, Assistant Adjunct Professor, Health Services Management, 7/1/12 through 6/30/13.
- Theiss, B. L., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 7/1/12 through 6/30/13.
- Wang, Erming, College of Medicine, Assistant Research Professor, Neurology, 7/1/12 through 6/30/13.
- White, Carol R., College of Medicine, Assistant Research Professor, Internal Medicine, 7/1/12 through 6/30/13.
- Young, John C., College of Engineering, Assistant Research Professor, Electrical and Computer Engineering, 7/1/12 through 6/30/13.
- Zembrodt, James W., College of Public Health, Adjunct Instructor, Health Services Management, 7/1/12 through 6/30/13.

H. LEAVES OF ABSENCE

- Ausness, R, College of Law, Professor (with tenure), Sabbatical leave at full salary, 8/16/12 through 12/31/12.
- Bathke, Arne, College of Arts and Sciences, Professor (with tenure), Statistics, Leave without pay, 7/1/12 through 6/30/13.
- Cibull, Michael L., College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, Sabbatical leave at full salary, 7/1/12 through 12/31/12.
- Clodfelter, Timothy M., College of Fine Arts, Professor* (with tenure), Music, Leave without pay, 7/1/12 through 6/30/13.
- Davis, Mary J., College of Law, Professor (with tenure), Sabbatical leave at full salary, 8/16/12 through 12/31/12.
- Harding, Roberta M., College of Law, Professor (with tenure), Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- Jensen, Jane, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave at full salary, 1/1/13 through 5/15/13.
- Lu, Y. Charles, College of Engineering, Assistant Professor*, Mechanical Engineering, Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- McLeod, Scott, College of Education, Associate Professor (with tenure), Educational Leadership Studies, Leave without pay, 7/1/12 through 6/30/13.
- Miller-Spillman, Kimberly A., College of Agriculture, Associate Professor (with tenure), Merchandising, Apparel and Textiles, Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- Mullett, Timothy W., College of Medicine, Clinical Professor, Surgery, Leave at full salary, 9/14/12 through 1/14/13.
- Nah, Seungahn, College of Agriculture, Associate Professor (with tenure), Community and Leadership Development, Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- O'Connor, Lisa O., College of Communication and Information, Associate Professor (with tenure), Library and Information Science, Sabbatical leave at full salary, 1/1/13 through 5/15/13.
- Santhanam, Radhika, College of Business and Economics, Professor (with tenure), Management, from Sabbatical leave at full salary, 8/16/12 through 12/31/12, to Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- Superson, Anita, College of Arts and Sciences, Professor (with tenure), Philosophy, Leave without pay, 1/1/13 through 6/30/13.
- Tzou, Hornsen S., College of Engineering, Professor (with tenure), Mechanical Engineering, Leave without pay, 7/1/12 through 12/31/12.

I. RESIGNATIONS

- Bouwma-Gearhart, Jana L., College of Education, Assistant Professor, STEM Education, effective 8/6/12.
- Cook-Newell, Margaret E., College of Agriculture, Lecturer, Dietetics and Human Nutrition, effective 6/30/12.
- Cupp, Pamela K., College of Communications and Information Studies, Assistant Research Professor, Communication, effective 6/30/12.

Desai, Dharmin D., College of Medicine, Clinical Assistant Professor, Radiation Medicine, effective 6/26/12.

Fannon, Michael W., College of Medicine, Assistant Professor, Ophthalmology and Visual Sciences, effective 7/31/12.

Fields, Laurie, College of Agriculture, Assistant Professor, Landscape Architecture, effective 7/31/12.

Goodrum, Paul M., College of Engineering, Professor (with tenure), Civil Engineering, effective 6/30/12.

Hawthorne, Colette W., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 6/30/12.

Jennings-Conklin, Karen S., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 8/7/12.

Joshi, Preeti R., College of Agriculture, Lecturer, Mechandising, Apparel and Textiles, effective 6/30/12.

Kakavand, Bahram, College of Medicine, Clinical Assistant Professor, Pediatrics, effective 6/30/12.

Karabakhtsian, Rouzan G., College of Medicine, Associate Professor* (with tenure), Pathology and Laboratory Medicine, effective 10/7/12.

Katkhuda, Ragheed, College of Medicine, Clinical Assistant Professor, Pediatrics, effective 11/1/12.

Khalaf, Moh'd W., College of Dentistry, Assistant Professor*, Oral Health Practice, effective 7/17/12.

Lewis, Nicole, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, effective 8/3/12.

Love, Margaret M., College of Medicine, Associate Research Professor, Family and Community Medicine, and joint appointment as Associate Research Professor, Health Behavior, College of Public Health, effective 7/15/12.

Massa, F. Christopher, College of Medicine, Clinical Assistant Professor, Anesthesiology, effective 8/16/12.

Mathumuju, Kavita R., College of Dentistry, Assistant Professor*, Oral Health Science, from effective 1/31/12, to effective 8/31/11.

McCrary, Nancye, College of Education, Assistant Professor, Curriculum and Instruction, effective 6/30/12.

Melville, Daniel E., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, effective 9/28/12.

Naidoo, Jeffrey S., College of Communication and Information, Assistant Professor, Library and Information Science, effective 8/14/12.

Niaz, Qaiser, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 8/24/12.

Onifer, Stephen M., College of Medicine, Associate Research Professor, Anatomy and Neurobiology, effective 1/15/13.

Patel, Rita R., College of Health Sciences, Clinical Assistant Professor, Rehabilitation Sciences, and joint appointment as Assistant Professor, Surgery, College of Medicine, effective 7/20/12.

Pearce, Christy F., College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/12.

Petrea, Rodica E., College of Medicine, Clinical Assistant Professor, Neurology, from effective 7/20/12, to effective 8/31/12.

Podzielinski, Iwona, College of Medicine, Clinical Instructor, Obstetrics and Gynecology, effective 6/30/12.

Popham, Julia A., College of Medicine, Clinical Associate Professor, Internal Medicine, effective 6/30/12.

Puckett, Nicholas R., College of Design, Assistant Professor, Architecture, and joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, effective 6/30/12.

Salazar, Abraham J., College of Engineering, Associate Research Professor, Mechanical Engineering, effective 6/30/12.

Singhal, Mukesh, College of Engineering, Professor (with tenure), Computer Science, effective 6/30/12.

Skinner, Sean C., College of Medicine, Clinical Assistant Professor, Surgery, and joint appointment as Clinical Assistant Professor, Pediatrics, effective 6/30/13.

Slone, Sherry, College of Dentistry, Clinical Assistant Professor, Oral Health Science, effective 5/31/12.

Smart, Eric J., College of Medicine, Professor (with tenure), Pediatrics, and joint appointment as Professor (without tenure), Physiology, effective 5/31/12.

St. Omer, Ingrid L., College of Engineering, Assistant Professor, Electrical and Computer Engineering, effective 6/30/12.

Tran, Ai, College of Medicine, Clinical Instructor, Internal Medicine, effective 7/28/12.

Wood, Susan N., College of Education, Associate Professor (with tenure), Curriculum and Instruction, effective 6/30/12.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Moseley, Pamela, Application Services Manager/ UKHC, EVPHA Information Technology, effective 06/01/2012
Broach, III, Fred, Network Engineering Technology/UKHC, EVPHA Information Technology, effective 06/04/2012
Parli, Sara, Clinical Staff Pharmacist/ UKHC, Pharmacy Services, effective 07/29/2012
Thompson, Melissa, Pharmacist/ UKHC, Pharmacy Services, effective 08/12/2012
Marnatti, John, Storage Administration Technology Manager/UKHC, Information Technology Services, effective 06/25/2012

B. CHANGES

Niemeyer, Brian, from Automation Tooling Engineer IV/UKHC, EVPHA Information Technology to Automation Tooling Technology Mgr/UKHC, EVPHA Information Technology, effective 06/03/2012
Winstead, Paula, from Pharmacist Clinical Staff/ UKHC, Pharmacy Services to Pharmacy Clinical Services Director/ UKHC, Pharmacy Services, effective 07/01/2012
Gabbard, Byron, from Capital Planning & Long-Term Forecasting Director/UKHC, EVPHA Finance to Controller/UKHC, Fiscal Planning & Analysis/Finance, effective 06/03/2012

C. RETIREMENTS

Depriest, Paul, D., Chief Medical Officer, after 27 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/30/2012
Eversole, Arthur, G., Electronic Technician Biomedical II, after 22 years and one month of consecutive service, under AR 3:1 Section VIII.A., effective 06/30/2012
Hall, Debra, S., Research/Staff Development/Quality Improvement Director, after 23 years and 10 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/20/2012
Ledford, Mark, L., EVPHA Information Technology Manager I, after 28 years and 7 months of consecutive service, under AR 3:1 Section VIII.A., effective 06/18/2012
Merriweather-Snow, Rosa, J., Computer Support Specialist II, after 22 years and 4 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/23/2012
Miller, Susan, R., after 41 years and 8 months of consecutive service, under AR 3:1 Section VIII.A., effective 08/03/2012

Sage, Diana, L., Informatics Education Specialist, after 26 years and 3 months of consecutive service, under AR 3:1 Section VIII.A., effective 08/10/2012
Purcell, Carol, II, Nurse Clinical, after 15 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/13/2012
Moford, Michal, L., Intake Coordinator, after 5 years and 0 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/06/2012
Richardson, Thomas, E., Materials Management Technician, after 4 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 09/04/2012
Ward, John, K., Patient Relations Assistant III, after 15 years and 4 months of consecutive service, under AR 3:1 Section VIII.A., effective 07/07/2012

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

King, Kelly, Citrix Engineer IV/UKHC, EVPHA Information Technology, effective 06/04/2012
Towery, Robert, Mainframe Engineer IV/UKHC, Information Technology Services-Technology, effective 06/25/2012

B. CHANGES

Herrin, Jennifer, from Integration Analyst III/UKHC, EVPHA Information Technology to Technology Systems Integration Analyst III/UKHC, EVPHA Information Technology, effective 06/03/2012
Crowe, Patricia, from Integration Analyst III/UKHC, EVPHA Information Technology to Technology Systems Integration Analyst IV/UKHC, EVPHA Information Technology, effective 06/03/2012
Brown Jr., Robert, A., from Integration Analyst III/UKHC, EVPHA Information Technology to Technology Systems Integration Analyst IV/ UKHC, EVPHA Information Technology, effective 06/03/2012

Office of the President
September 11, 2012

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION:
UNIVERSITY FACULTY APPOINTMENTS

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revision of Governing Regulation (GR) VIII.B, relating to faculty appointments and the appointments based on salary that are submitted to the Board for final action.

Background: The proposed revision of Section B in Governing Regulation VIII permits the president to delegate to the Provost, as the chief academic officer of the University, the authority to take final action on a wide range of faculty personnel matters, and to issue the concluding judgment on those faculty personnel decisions, such as the granting of tenure, that must be sent to the Board of Trustees for final action. Upon appeal, the President has the authority to sustain or reverse the Provost's decision. Any delegation of presidential authority in faculty appointments, reappointments, promotions, and the granting of tenure shall be codified in Administrative Regulation 2:1 (Procedures for Faculty Appointment, Reappointment, Promotion and the Granting of Tenure). This amendment makes the Governing Regulation consistent with AR 2:1, which was vetted and supported by appropriate constituencies, and approved by the president.

Furthermore, the proposed revision of GR VIII.B modifies the language on the initial faculty appointments based on salary that are submitted to the Board of Trustees for final action. Rather than identify a fixed dollar amount (currently \$100,000) as the threshold for such reporting, the revised language establishes a formula for the calculation of that threshold. Relying on a formula has the distinct advantage of permitting a recalibration of the threshold as faculty salaries increase over time without having to propose ongoing revisions to Governing Regulation VIII. The initial formula for calculating that threshold shall be submitted to the Board of Trustees for its review and approval, and any subsequent revision of the formula shall also require final action by the Board of Trustees.

The initial formula reads as follows:

The president shall report to the Board of Trustees any initial faculty salary that exceeds 125% of the rolling three-year average of the starting salary at the equivalent rank and title series in the educational unit of the faculty appointee.

A revised copy of GR VIII is attached. Proposed additions are underlined; proposed deletions are lined through.

The Senate Council Chair, the Interim Provost and the President support these proposed revisions. In accordance with Governing Regulation XIII, the University Senate will review this proposed revision before the second reading (final action) by the Board of Trustees.

Action taken: Approved Disapproved Other _____



University Appointments

A. Administrative Appointments

1. Regular Appointments

The President is appointed by the Board of Trustees with the advice of a joint committee of the Board of Trustees, University Faculty, staff employees, student body, and alumni. The committee shall consist of six (6) members of the Board of Trustees, at least one (1) of whom shall be from among the three (3) alumni trustees, appointed by the Chair of the Board of Trustees; three (3) regular full-time faculty members, selected by a procedure determined by the University Senate; one (1) regular full-time staff employee appointed by the Chair of the Board of Trustees from a list of three (3) staff employees selected by a procedure determined by the Staff Senate; two (2) full-time students, one (1) undergraduate and one (1) graduate or professional student, appointed by the Chair of the Board of Trustees from a list of three (3) undergraduate students and three (3) graduate or professional students selected by a procedure determined by the Student Government Association; and one (1) member of the alumni appointed by the Chair of the Board of Trustees from a list of three (3) alumni selected by the Board of Directors or the Executive Committee of the University of Kentucky Alumni Association. The committee shall provide opportunity for discussion among representatives of administration, University Faculty, staff employees, student body, alumni groups, and prospective presidential candidates.

The Provost, executive vice presidents, vice presidents, chief administrative officers of educational units (deans, directors, and chairs), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 50 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. Staff employees, other than those described above may be appointed by the President, Provost, or appropriate executive vice president or vice president. The President shall report all appointments at Levels 47 through 49 in the Administrative and Professional Classification System to the Board of Trustees. The President, Provost, or the appropriate executive vice president or vice president may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers. If the Board of Trustees does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation, but, in each such case, the President shall ask for a new recommendation from the same or a new search committee. The same principle shall apply at all levels of the administrative organization.

2. Acting Appointments

When vacancies occur in administrative positions other than the presidency, the President or the Provost or the appropriate executive vice president or vice president may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President or the Provost, or an executive vice president or a vice president, as appropriate, shall request a recommendation from that officer's superior. Acting appointments shall be for a maximum term of one (1) year; however, reappointments may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees. With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to service in an acting capacity for a period not to exceed four (4) months while the regular officer is away from campus on University business or approved leave.

If the officer administers a college, center, school, or department, the Provost also shall request a recommendation on matters of acting appointment or acting reappointment from a committee which includes faculty members from that unit.

In the selection of an acting Provost, the President shall request a recommendation from a committee which includes faculty members and also recommendations from the University Senate Council.

The search processes for an acting provost or chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit, and shall provide for the participation of other affected groups as appropriate.

3. Search Committees

Recommendations on the appointment of a Provost or of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator.

When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out. The selection of faculty members for membership on search committees for administrative appointments above the level of dean shall be made after consultation with the University Senate Council.

Recommendations of the search committee shall be transmitted to the President through the established administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chair of an academic department or division, or for the dean of a college or the director of a school that does not contain departments or divisions, shall be accompanied by written statements from at least the tenured members of the college, school, department or division.

Search committees for deans of colleges shall be appointed by the Provost after consultation with the University Senate Council, and either the faculty or elected faculty council of the college.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate bodies as these bodies are identified in the rules of the respective school faculty.

Search committees for chairs of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; and (3) the Dean of the Graduate School if the department is involved in a graduate program.

Search committees for directors of interdisciplinary research centers or institutes or interdisciplinary instructional programs shall be appointed, after consultation with the appropriate bodies, by the Vice President for Research, or other officer to whom administrative responsibility has been delegated.

The search processes for a provost or for chief administrative officers of colleges, schools, departments and centers shall include the participation of staff employees of the unit and shall provide for the participation of other affected groups, as appropriate.

4. Terms of Chairs and Directors

(a) Department Chairs

The term of a department chair's appointment shall be four (4) years, except in the Colleges of Agriculture, Dentistry, Medicine, Nursing, and Pharmacy where it shall be six (6) years.

Ordinarily, a department faculty member will be asked to serve as chair for only one (1) term. A chair may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR 1:4) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

(b) Chairs of Interdisciplinary Instruction Programs

Insofar as applicable, the rules for the appointment and reappointment of a department chair shall apply also to the appointment and reappointment of a chair of an interdisciplinary instructional program.

(c) Directors of Multidisciplinary Research Centers and Institutes

The director of a multidisciplinary research center or institute shall be appointed for a term of six (6) years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR 1:4) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

1. The Board of Trustees, acting upon the recommendation of the President or the President's designee, shall take final action on all appointments, reappointments and promotions of faculty members to positions which involve:

(a) an actual or equivalent academic rank of associate professor or professor;

(b) tenure; or

(c) an initial salary above a set of monetary thresholds approved by the Board of Trustees.

~~All appointments and promotions of faculty members to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$100,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty members to positions which involve an actual or equivalent~~

~~academic rank below associate professor and an initial salary not exceeding \$100,000 shall be made by the President who shall report such actions to the Board of Trustees.~~

- ~~2.~~ The President, or the President's designee, shall take final action on ~~may delegate the authority to make~~ appointments, reappointments and promotions that do not require approval by the Board of Trustees. Such final actions shall be reported to the Board of Trustees through the Office of the President.
- ~~3.~~ The President, following consultation with the University Senate Council, shall establish such review procedures systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic faculty appointments, reappointments, promotions, and the granting of tenure. Those procedures shall be codified in AR 2:1. Any delegation of presidential authority in faculty appointments, reappointments, promotions, and the granting of tenure shall also be codified in AR 2:1.

References and Related Materials

KRS 164.220, Appointment, salaries and retirement benefits of university personnel

KRS 164.225, Board's exclusive jurisdiction over appointments, qualifications, compensation, promotions, and retirement programs

AR 1:4, The Planning, Budgeting, and Assessment Cycle

Revision History

12/11/2001, 6/14/2005, 3/27/2012

For questions, contact: [Office of Legal Counsel](#)

PR 4

Office of the President
September 11, 2012

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF ENGINEERING

Recommendation: that Dr. John Y. Walz be appointed as Dean of the College of Engineering, effective September 1, 2012.

Background: When Thomas Lester announced he was returning to the faculty, a search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Engineering. The search committee considered approximately ninety candidates and four finalists were interviewed on campus.

Dr. Walz is currently Professor and Head of the Department of Chemical Engineering at Virginia Polytechnic Institute and State University. He earned his bachelor's degree in chemical engineering from Tulane University before spending six years as a process support engineer for Shell Oil Company and earning his master's degree. In 1988, he left Shell to pursue a Ph.D. in chemical engineering from Carnegie Mellon University. After receiving his Ph.D. he returned to Tulane as an assistant professor. In 1997, he joined the faculty at Yale University, becoming department chair in 2002.

Dr. Walz has received honors and awards from the universities where he has taught as well as the Research Initiation Award in 1993 and a Faculty Early Career Development Award in 1997 from the National Science Foundation. He is the Past-Chair of the American Chemical Society Division of Colloid and Surface Chemistry. Dr. Walz has been the primary investigator or co-investigator on 26 grant awards, including over \$3.3 million in awards since 2002. He has directed fourteen graduate theses and published over sixty articles and papers.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
September 11, 2012

Members, Board of Trustees:

CANDIDATES FOR DEGREES

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: Each individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2012 Summer Session.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
September 11, 2012

Members, Board of Trustees:

CANDIDATE FOR DEGREE

Recommendation: that the president be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the university registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2012 Spring Semester. Due to an administrative error the name was not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

May 2012 Degree Candidates
September 10, 2012

ADDENDUM

Graduate School
Dean: J. L. Blackwell

Master of Public Administration
Farley, James A.

FCR 1

Office of the President
September 11, 2012

Members, Board of Trustees:

AMERICAN MUSIC LIBRARY ENDOWED FUND NAME CHANGE

Recommendation: that the Board of Trustees approve a request to change the name of the American Music Library Endowed Fund in the University Libraries to the Keith and Phyllis MacAdam Library Endowed Fund for American Music.

Background: The renaming will honor the original donors to the fund, Drs. Keith and Phyllis MacAdam. Dr. Keith MacAdam is Professor Emeritus in the department of physics and astronomy where he served as a faculty member for 31 years. Dr. Phyllis MacAdam earned her PhD in Education from UK in 1990 and retired as assistant director of the Carnegie Center in 2003. She currently serves on the Carnegie Center's board. The gift was accepted by the Board of Trustees in March 2001 and matched by the Research Challenge Trust Fund.

Action taken: Approved Disapproved Other_____

FCR 2

Office of the President
September 11, 2012

Members, Board of Trustees:

CARDIOVASCULAR IMAGING PROFESSORSHIP FUND NAME CHANGE

Recommendation: that the Board of Trustees approve a request to change the name of the Cardiovascular Imaging Professorship Fund in the College of Medicine to the Dr. Anthony N. DeMaria Cardiovascular Imaging Chair.

Background: The College of Medicine wishes to honor Dr. Anthony DeMaria for his contributions as a faculty member in the College of Medicine from 1981 until 1992. During that time, Dr. DeMaria served as Chief of Cardiology and Professor of Internal Medicine. Dr. DeMaria is currently the Judith and Jack White Chair in Cardiology and founding director of the Sulpizio Cardiovascular Center at the University of California San Diego. He has received numerous awards and is listed in The Best Doctors in America. He is the Editor-in-Chief of the Journal of American College of Cardiology and Echocardiography and serves on the editorial boards and acts as editorial consultant for almost 30 medical journals.

Action taken: Approved Disapproved Other_____

FCR 3

Office of the President
September 11, 2012

Members, Board of Trustees:

WILLIAM E. SEALE FAMILY FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$1 million from the William E. Seale Family Foundation of Annapolis, Maryland to support the Gatton College of Business and Economics Capital Campaign.

Background: Dr. William E. Seale created the William E. Seale Family Foundation in 2006 to support higher education. Dr. Seale earned his bachelor's degree in Chemistry in 1963, his master's in Agricultural Economics in 1969 and a PhD in Agricultural Economics in 1975. He is currently the Director of Portfolio for ProFund Advisors LLC. Dr. Seale is a Professor Emeritus of Finance at George Washington University where he was chairman of the department of finance and senior associate dean of the business school. He served a five year term as a presidential appointed Commissioner on the Commodity Futures Trading Commission, worked as government relations vice president for a New York-based futures exchange and as a senior legislative assistant to U.S. Senator Walter "Dee" Huddleston.

The gift of \$1 million to the Gatton College Capital Campaign will be used for redesign and expansion of the existing building.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
September 11, 2012

Members, Board of Trustees:

EASEMENT AUTHORIZATION FOR
KENTUCKY POWER COMPANY TRANSMISSION LINE
(Terry Fork of Balls Fork at Troublesome Creek, Knott County, Kentucky)

Recommendation: That the Vice President for Financial Operations and Treasurer, or her designee, be authorized to convey a 9.31 acre easement for the construction, operation and maintenance of a transmission line across property owned by the University on Terry Fork of Balls Fork at Troublesome Creek, Knott County, Kentucky.

Background: Pursuant to Public Service Commission Order entered January 6, 2012 (Case No. 2000-00295), the Kentucky Power Company (“KPC”) proposes to construct a 138 KV transmission line (“KPC Line”) and associated facilities in Breathitt, Knott and Perry Counties, Kentucky (Bonnyman-Softshell Line). The KPC Line impacts the surface property owned by the University on Terry Fork of Balls Fork at Troublesome Creek, Knott County, Kentucky.

KPC proposes to pay a total of \$55,000 to the University for conveyance of an easement for the purpose of constructing, operating and maintaining the KPC Line. This value is based upon the appraised diminution in market value.

Documents to be executed by the University include a Memorandum of Agreement and a grant of easement.

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
September 11, 2012

Members, Board of Trustees:

CONSTRUCT FARMHOUSE FRATERNITY

Recommendation: that the Board of Trustees approve the initiation of the Construct Farmhouse Fraternity capital project.

Background: The new fraternity house will be constructed on Rose Lane at lots 454 and 456 in Lexington, Kentucky on land owned by the University of Kentucky. The new facility will be a three-story structure of approximately 20,000 square feet and will provide housing for 52 fraternity members. Rooms will be arranged in a suite arrangement with two, double-occupied rooms sharing a bathroom. Other spaces within the facility will include first floor living and dining facilities; a commercial kitchen; library and second floor lounge; and an efficiency apartment for the house mother will be located on the first floor. The exterior of the building will be comprised of a brick veneer on wood frame structure and the roof will be shingles on a wood frame roof truss structure. The building will utilize a geothermal heating and cooling system.

The project scope is \$3.6 million and will be funded by private funds. Pending approval by the Board of Trustees, the project will be submitted for authorization to the Council of Postsecondary Education at their September 13, 2012 meeting and the September 18, 2012 meeting of the Capital Projects and Bond Oversight Committee.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
September 11, 2012

Members, Board of Trustees:

RENOVATE DENTISTRY CLASS LAB

Recommendation: that the Board of Trustees approves the initiation of the Renovate Dentistry Class Lab capital project.

Background: During its 2012 session, the General Assembly authorized many projects for the University of Kentucky including the “Renovate Dentistry Class Lab” for \$3,300,000 utilizing Restricted funds to renovate and upgrade approximately 4,500 gross square feet of the existing Dentistry Building, and “Repair, Upgrade, or Improve Building Mechanical Systems” for \$25,000,000 also using Restricted Funds.

A subsequent feasibility study has shown that major mechanical system replacements and upgrades in the 50-year old Dentistry Building are required to support the ‘Renovate Dentistry Class Lab’ that were unanticipated when making the original authorization request for the Dentistry Class Lab project. The project includes upgrading ventilation systems, modernizing and enhancing technology utilized in training dental students, and accommodating additional student dental workstations and workspaces in the Student Simulation Lab. To enable the project to proceed, the University intends to request approval from the Council on Postsecondary Education and the Capital Projects and Bond Oversight Committee to merge \$1,400,000 of authorization from the ‘Repair, Upgrade, or Improve Building Mechanical Systems’ pool with the ‘Renovate Dentistry Class Lab’ project for a combined scope of \$4,700,000.

Pending approval by the Board of Trustees, the requested consolidation of authorizations will be submitted to the Council on Postsecondary Education at their September 13, 2012 meeting and the September 18, 2012 meeting of the Capital Projects and Bond Oversight Committee.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
September 11, 2012

Members, Board of Trustees:

RENOVATE/UPGRADE SOFTBALL COMPLEX

Recommendation: that the Board of Trustees approve a scope increase for the Renovate/Upgrade Softball Complex project.

Background: On February 23, 2012 the Capital Projects and Bond Oversight Committee approved the Renovate/Upgrade Softball Complex project in the amount of \$7,500,000 of private funds. The project included a new softball stadium and batting pavilion. On July 6, 2012 the bids received exceeded the approved authority and the proposed construction funding.

In order to accommodate the completion of construction of the stadium portion prior to the start of the softball spring season, the project was split into two components. The first component was the new softball stadium with all site infrastructures and the second component was the new batting pavilion practice facility and coaches' office. Utilizing the post bid addendum process as allowed by Kentucky's Model Procurement regulations, revised bids were received for each component on August 26, 2012 with each part received as an independent contract. Based on the revised bids, the stadium component was awarded utilizing the \$7,500,000 authority. The Board is being asked to increase the scope of the project by \$2,200,000 for the batting pavilion and coach's office components. This increase will be funded with private donations.

Contingent upon Board of Trustees' approval, the scope increase will be submitted to the Capital Projects and Bond Oversight Committee's September 18, 2012 meeting.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
September 11, 2012

Members, Board of Trustees:

RENOVATE/EXPAND SOCCER FACILITIES

Recommendation: that the Board of Trustees approve the initiation of Renovate/Expand Soccer Facilities capital project.

Background: This project will renovate and expand the University's soccer facilities to include locker, shower, and lounge space for the men's and women's soccer teams, training and umpire rooms, and new seating and a press box. This project will also include upgrades to plumbing, electrical, and HVAC systems. The estimated project scope is not expected to exceed \$7 million and will be funded with UK Athletics' private funds.

Contingent upon approval by the Board of Trustees, the University will submit this project for interim authorization to the Council on Postsecondary Education at its September 13, 2012 meeting and the Capital Projects and Bond Oversight Committee at its September 18, 2012 meeting.

Action taken: Approved Disapproved Other _____

FCR 9

Office of the President
September 11, 2012

Members, Board of Trustees:

DEVELOPMENT PLAN WITH SHRINERS HOSPITALS FOR CHILDREN

Recommendation: that the Board of Trustees authorize the President, or his designee, to negotiate and prepare a final development plan with Shriners Hospitals for Children to relocate Shriners Hospitals for Children – Lexington to the University of Kentucky campus.

Background: The University of Kentucky and Shriners Hospitals for Children (SHC) – Lexington have a long-standing relationship. SHC Lexington provides pediatric orthopedic care to children of Kentucky and surrounding states. The majority of the medical staff at SHC Lexington are faculty of the Department of Orthopedics in the College of Medicine. The Department of Anesthesia as well as several other College of Medicine department faculty, provide services at SHC Lexington. SHC Lexington also supports several medical resident and student rotations.

SHC Lexington's hospital on Richmond Road currently provides care to 10,800 patients. The hospital, now in its 85th year of operation, has a 180,000 square foot facility. The facility has 50 inpatient beds, two operating rooms, physical therapy services and outpatient clinics.

The majority of the services provided by SHC Lexington are outpatient. In 2011, the hospital performed 689 out-patient surgeries and 198 inpatient surgeries. The movement of the majority of its surgical cases to an outpatient setting has significantly diminished the need for SHC Lexington to operate an inpatient hospital service.

The EVPHA for Health Affairs and the Administration of SHC Lexington have developed a preliminary proposal to jointly provide a system of care for the children SHC Lexington serves. Under the proposal, SHC Lexington will provide all outpatient care to its patients and all inpatient care will be provided in the Kentucky Children's Hospital. SHC Lexington will develop a new facility on the University of Kentucky campus near the Kentucky Children's Hospital to provide outpatient surgical services, outpatient clinics, physical therapy and other related services. The proposed facility would be built by SHC on land leased from the University of Kentucky.

Should planning proceed as anticipated, Board action on a lease and specific agreements will be requested later this year or early next year.

Action taken: Approved Disapproved Other _____

FCR 10

Office of the President
September 11, 2012

Members, Board of Trustees:

LEASE/PURCHASE OF MEDICAL EQUIPMENT

Recommendation: that the Board of Trustees approve a \$10 million UK HealthCare Enterprise Medical Equipment Lease/Purchase Pool for FY 2012-13 and declare official intent to reimburse capital expenditures for medical equipment from a future debt obligation. The equipment will be located within the various facilities of UK HealthCare including but not limited to Chandler Medical Center and Good Samaritan Hospital.

Background: At the September 11, 2007 meeting, the Board delegated to the Executive Vice President for Finance and Administration the responsibility for managing University debt related to the lease/purchase of equipment and information technology items costing less than \$200,000. However, acquisitions of major equipment and information technology items costing \$200,000 or more where the method of procurement involves debt in the form of capitalized lease obligations are to be submitted to the Board for approval.

US Department of Treasury regulations related to use of proceeds of tax-exempt bonds or notes require that the borrower make a Declaration of Official Intent to reimburse itself for capital expenditures made prior to the issuance of the debt (also known as a "Reimbursement Resolution").

The medical equipment lease/purchase pool will allow funding flexibility with regard to various medical equipment purchases to be initiated by UK HealthCare. The pool is needed for cash-flow management purposes as UK HealthCare proceeds with several cash-intensive projects including the electronic medical record development for required conversion to ICD-10 format which provides increased levels of specificity for defining diagnosis and treatment. The medical equipment that may be purchased includes but is not limited to: information technology hardware and software; surgical, radiologic, and patient monitoring equipment; laboratory analyzers and instruments; and other procedure specific equipment. The lease/purchase pool will be funded by UK HealthCare's revenues.

The University's Debt Committee has reviewed the proposal and determined that acquisition of these items by lease/purchase up to \$10 million is consistent with the University's Debt Policy.

Action taken: Approved Disapproved Other _____

FCR 11

Office of the President
September 11, 2012

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the Board of Trustees accept the capital construction report for the three months ending June 30, 2012. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period April 1, 2012 thru June 30, 2012:

There were five new contracts this quarter:

Project 2333.0	Expand/Renovate Ambulatory Care: Orofacial Pain Clinic Stengel-Hill Architecture, \$86,500 (<i>Design</i>)
Project 2335.0	Ambulatory Electronic Health Record Equipment/System (EHR) Jarboe Construction – Phase 1, \$711,000 (<i>Construction</i>)
Project 2336.0	Construct UK/Nicholasville Road Flood Mitigation Bell Engineering, \$637,000 (<i>Design</i>)
Project 2337.0	Renovate Parking Structure # 3 – UKHC Structural Systems Repair Group, \$724,500 (<i>Construction</i>)
Project 2338.0	Renovate/Upgrade Softball Complex Luckett & Farley, \$597,800 (<i>Design</i>)

Five contracts were completed this quarter:

Project 2239.7	Construct Patient Care Facility (Pavilion A, OR Suites & Support) Turner Construction, \$16,004,422
Project 2239.71	Construct Patient Care Facility (Data Center) Turner Construction, \$5,823,040
Project 2288.0	Guaranteed Energy Savings Performance Contracts Ameresco, \$24,440,008
Project 2309.0	Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge) Codell Construction, \$6,743,848
Project 2316.0	Renovate Nursing Building – Phase II Churchill McGee, \$649,725

Two amendments were as follows:

- Project 2239.0 Construct Patient Care Facility
- Provide for three months of extended construction administration and design and construction of three additional fit-up spaces (+) \$68,282
- Project 2335.0 Ambulatory Electronic Health Record Equipment/System (EHR)
- Provide for complete design and construction administration required to activate an electronic health record system in additional forty four clinics (+) \$253,890

Six change orders greater than \$25,000 were as follows:

- Project 2239.7 Construct Patient Care Facility (Pavilion A, OR Suites and Support)
- Reconciliation of allowances. Funds required were less than the allowances (-) \$139,430
- Project 2288.0 Guaranteed Energy Savings Performance Contracts
- Provide for additional energy conservation measures identified and recommended by Ameresco and reconciliation of allowances (+) \$73,309
- Project 2315.0 Renovate Track & Field Facility
- Provide installation for owner provided sports competition lighting system (+) \$105,493
 - Provide for relocation of the scoreboard (+) \$46,723
 - Provide for installation of concrete footers for the safety net poles that provide enhanced protection from foul balls coming from the adjacent baseball stadium (+) \$49,672
- Project 2337.0 Renovate Parking Structure # 3 - UKHC
- Provide for repair of joists at level four and repair of the sixth floor membrane (+) \$61,681

Action taken: Approved Disapproved Other _____

**Major Projects
Capital Project Management Division
June 30, 2012**

Ambulatory Electronic Health Record Equipment/System (EHR)

Project Description:

This project will upgrade the existing electronic information infrastructure to include building modifications and provide a common, secure electronic information infrastructure for sharing healthcare information. In addition the project will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital. The infrastructure portion of the project will take place in four phases impacting over 50 clinics. The phases will include an initial bid package supporting three pilot clinic activations and three subsequent bid packages resulting in all impacted clinics being upgraded to new operating standards. Extensive modifications to the cooling systems of the various communication closets are included in the project as well as upgrades to the various clinics' power and data systems.

Project Status:

Phase One of the electronic information infrastructure is now complete. As of August 7, 2012, two of the three clinics included in the pilot phase have successfully gone live with fully functioning EHR systems. The third pilot clinic is scheduled to go live in early September. All elements of the Phase One which was intended to serve as a testing and refinement platform for the various elements of the electronic information infrastructure design have been demonstrated to be acceptable.

Phase Two of the project which includes electrical and telecommunication infrastructure in three clinics in the Kentucky Clinic, the Pain Clinic at Good Samaritan Hospital and the OB-GYN Clinic in the Good Samaritan Office Building has been bid and is under construction. The work is approximately 30% complete and moving forward on schedule. This work is scheduled to be complete October 6, 2012.

Phase Three of the project which includes infrastructure work in KMSF leased clinics was successfully bid by the KMSF July 17, 2012. The work began the second week in August. Clinics in Richmond, Morehead and three locations in Lexington are being upgraded in this phase. Lexington locations implemented in this phase include clinics at Kentucky Clinic South, Good Samaritan Professional Arts Center and the Perimeter Drive facility. This work is scheduled to complete early November 2012.

Phase Four which is the largest package in the project was bid August 2, 2012. The bids received were within budget. The qualifications of the successful bidder are now being reviewed. Clinics in six buildings of the medical campus will be upgraded in the phase - Ben Roach Building, Whitney Hendrickson Building, Kentucky Clinic, Warren Wright Medical Plaza, Gill Heart Institute and Good Samaritan Medical Office Building. This phase will be complete by the end of the year,

The overall project continues to move forward on schedule and within budget.

Construct UK/Nicholasville Road Flood Mitigation

Project Description:

This project will construct improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. The area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. The project will also upgrade an existing culvert at the upstream side of Nicholasville Road. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Project Status:

Completed grant amendment for additional \$4,000,000 in funding and forwarded to FEMA for their review and approval. The amendment is made possible as a result of the actual appraised value of the in-kind UK property being higher than anticipated. We expect a FEMA response by October 2012. Continue hydraulic modeling and schematic design activities. Anticipate schematic design to complete by October 2012.

Expand/Renovate Ambulatory Care: Orofacial Pain Clinic

Project Description:

This phase of the Expand/Renovate Ambulatory Care project will renovate approximately 2,850 square feet on the second floor of the Kentucky Clinic Building, Wing C. This phase will include operatories, consulting exam rooms, a waiting room, resident carrel space, lab and supplies space, and radiographic equipment space.

Project Status:

This project is currently out to bid.

Renovate 4th Floor Sanders-Brown Center on Aging

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, non-compliant research support space and the associated mechanical equipment to meet current regulatory requirements.

Project Status:

The work completed the last 3 months is as follows: all walls have been built and painting is in progress, ceiling tile installed, reverse osmosis water system installed, sterilizers were delivered and installed. Substantial completion is scheduled for October 10, 2012 which is slightly ahead of schedule.

Renovate Northside Library

Project Description:

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

Construction work has continued to make progress. Walls have completed and painting is nearing completion. Windows have been replaced and storefront entrances are currently being installed. Suspended ceiling grid has been installed. Exterior stucco work has been completed and sidewalks have been poured. Toilet partitions and fixtures have been set. An above ceiling inspection has been scheduled for 8/14/12 and the below ceiling punch inspection has been scheduled for 8/20/12. However, finish flooring is delayed in several rooms due to under slab soil movement which has damaged the concrete slab on grade. The consultants have recommended a solution to the existing soils problem, which is now being priced by the contractor.

The current contractual substantial completion date was August 7, 2012 and the final completion date is September 6, 2012. Though the contractor was unable to complete work by August 7th due to the slab movement, we fully expect to have occupancy prior to the beginning of the fall semester.

Renovate Parking Structure # 3 – UKHC

Project Description:

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

Project Status:

EIFS/Caulking for half of the west facade of the structure was complete on 8/15/12, when work on the remaining half begins. Interior concrete work on the 4th level and painting of the southeast stair tower is complete. All remaining work is to be complete by October 12, 2012. Project is on schedule and under budget.

Renovate Schmidt Vocal Arts Center

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance. The project has been funded for the design phase only.

Project Status:

The design is on hold pending fundraising efforts.

Renovate Track and Field Facility

Project Description:

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Project Status:

All construction activities were completed in August, including sitework, buildings, fencing and landscaping. Installation of the track surface will continue and complete in September.

Renovate/Upgrade Softball Complex

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Project Status:

Bids were received in July. The bids exceeded the approved authority and the proposed construction funding. In order to accommodate the completion of construction prior to the start of the softball spring season, the project was split into two components. The first component was the new Softball Stadium with all site infrastructures and the second component was the new Batting Pavilion practice facility and coaches' office. Utilizing the Post Bid Addendum process as allowed by Kentucky's Model Procurement regulations, bids were received for each component on August 26, 2012 with each part received as an independent contract. A contract has been awarded for the Stadium component and a preconstruction date is tentatively scheduled for October 2012.

Upgrade Renovate Improve or Expand Research Labs (Chemistry/Physics)

Project Description:

This project will renovate approximately 2,100 square feet of Physics wet bench research space in the Chemistry-Physics Building. The work consists of renovating several contiguous laboratories on the first floor in the Chemistry-Physics Building as follows: Demolition of existing finishes, partitions, and building systems, and Construction of new partitions, installation of new finishes, building systems, and laboratory items as required to create new research laboratories and their supporting spaces. Installation of new buildings systems in other areas of the Chemistry-Physics Building to support the new research labs as shown on the Contract Documents. New finishes include resilient floor tile, resinous flooring, resilient base, painted gypsum board, and suspended acoustical ceiling system. New building components include metal laboratory casework, epoxy laboratory counters and sinks, and fume hoods.

Project Status:

This project achieved substantial completion this month. On schedule and within budget.

Upgrade Student Center Infrastructure

Project Description:

The Upgrade Student Center Infrastructure project will repair, upgrade and improve existing building infrastructure systems that have exceeded their useful life, need to be upgraded to meet current building code requirements, or

need to be upgraded to meet current capacities being exceeded with occupant load. Systems include HVAC, plumbing and sanitary, mechanical, electrical and lighting, fire suppression/sprinkling, and communications infrastructures. As these infrastructure needs are upgraded, aesthetic and cosmetic upgrades will be made as a result of and in support of infrastructure upgrades.

Project Status:

All design work has stopped pending re-evaluation of project Scope and Budget.

Campus Security System

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building. The project includes a geo-diverse video management (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Project Status:

Continue preparing RFP document for a single source Integrator of the entire system. Anticipate to have Integrator contracted by December 2012 and coordinate installation of major components by fall 2013.

Haggin Honors Housing

Project Description:

This project is a partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on campus undergraduate and graduate student housing. The Haggin Honors Housing is the first phase of this partnership and includes construction of two new dorms located in the green field immediately to the east of the existing Haggin Hall. The project includes two separate buildings with a combined total of 601 new beds. The buildings are four stories high, plus a basement in the south building. The south building also includes three classrooms.

Project Status:

The construction site has been secured and site fencing is in place. Site excavation work is nearing completion and underslab mechanical, electrical and plumbing work has been installed. Foundation walls and floor slabs have been poured and frame construction is beginning. External site utilities work is progressing. Geothermal wells are being dug and the utility work required underneath Hilltop Ave. has been performed to connect the geothermal well field to the mechanical room in the building. The contractor has worked closely with CPMD to coordinate road closures for site utility work. The project is scheduled to be completed in time for occupancy for the fall 2013 semester.

Renovate Academic Facility – University Lofts Facility

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

The University recently contracted with Omni Architects/Joe Power/CMTA Engineers for design services. Programming began on August 15 and will continue through September. Schematic Design documents are scheduled to be delivered to the University on December 14, 2012

Renovate/Expand Gatton Building

Project Description:

This project is for design only to prepare the necessary plans to renovate and expand the existing 138,400 gross square foot Gatton Building. The four story, 65,000 gross square feet expansion is planned to be primarily community-oriented space including an atrium with a café and seating area, eight classrooms, and a 500 seat auditorium. The addition will become the primary entrance from Administration Drive and create a secondary entry from South Limestone. The original 1963 building will be renovated to provide various types of space for faculty and students; and the classrooms in the 1991 addition will be renovated and updated to include the latest technology.

Project Status:

Four architectural firms were interviewed on Friday, July 27th to provide design services for this project. An architectural team made up of RossTarrant Architects (RTA) as the prime firm, along with Robert A.M. Stern Architects (RAMSA) were selected to provide architectural services. We are currently in fee negotiations with RTA/RAMSA for design services. Final design documents are tentatively scheduled to be turned over in the spring of 2013. Construction will have to be phased. It is anticipated construction will take two years overall but the actual detailed phase construction will be developed as the design progresses. The summer of 2015 is the target substantial completion date to allow full occupancy for the beginning of the fall 2015 semester. Construction work is pending authorization and fund raising.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Ambulatory Electronic Health Record Equipment System (EHR)

Project Number: 2335.0

Scope: \$4,861,760

Project Description:

This project will upgrade the existing electronic information infrastructure to include building modifications, to provide a common, secure electronic information infrastructure for sharing healthcare information. In addition the project will ensure interoperability among health record systems, which, if not implemented, could create up to a 3% reduction in Medicare reimbursements to the Hospital. The infrastructure portion of the project will take place in four phases impacting over 50 clinics. The phases will include an initial bid package supporting three pilot clinic activations and three subsequent bid packages resulting in all impacted clinics being upgraded to new operating standards. Extensive modifications to the cooling systems of the various communication closets are included in the project as well as upgrades to the various clinics' power and data systems.

Consultant: CMTA

Contract #	A121090	Original Contract Amount:	\$123,465
Total Amendments to Date:	1	Cumulative Amendment Amount:	\$253,890
Describe all Amendments:		Revised Contract Amount:	\$377,355

Amendment 1, 5/8/12, an increase of \$253,890 was approved to provide for complete design and construction administration required to activate an electronic health record system in an additional forty four clinics.

Contractor: Jarboe Construction - Phase 1

Contract #	7500002862	Original Contract Amount:	\$164,800
Total Change Orders to Date:	6	Cumulative Change Order Amount:	\$6,638
Low Bid:	\$164,800	Revised Contract Amount:	\$171,438
High Bid:	\$203,300	Contract % complete:	75%
Number of Bids:	4		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor: Jarboe Construction - Phase 2

Contract #	7500005737	Original Contract Amount:	\$711,000
Total Change Orders to Date:	0	Cumulative Change Order Amount:	\$0
Low Bid:	\$711,000	Revised Contract Amount:	\$711,000
High Bid:	\$798,500	Contract % complete:	0%
Number of Bids:	4		

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Contractor: No construction contract awarded to date - Phase 2B

Contract #		Original Contract Amount:	
Total Change Orders to Date:		Cumulative Change Order Amount:	
Low Bid:		Revised Contract Amount:	
High Bid:		Contract % complete:	
Number of Bids:			

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct CAER Lab Bldg. #2

Project Number: 2312.01

Scope: \$20,984,305

Project Description:

The University is the recipient of a grant award from the National Institute of Standards and Technology (NIST) as part of the 'America Recovery and Renewal Act' federal stimulus package to construct a new stand alone laboratory building for the University of Kentucky Center for Applied Energy Research located at Spindletop Research Park. Portions of the laboratory facility will be specially equipped to accommodate battery manufacturing R&D. And other portions of the building will be specially equipped to accommodate research related to biomass energy and biofuels, electrochemical energy storage, and solar energy.

Consultant: Murphy+Graves Architects

Contract # A101110	Original Contract Amount:	\$1,645,000
Total Amendments to Date: 1	Cumulative Amendment Amount:	\$200,300
Describe all amendments :	Revised Contract Amount:	\$1,845,300

Amendment # 1, 2/14/12, an increase of \$200,300 was approved to provide additional design, purchase, coordination and installation for the Energy Dashboard concept. This is an electronic educational interactive dashboard system for multiple locations throughout the building. **No amendments this quarter.**

Contractor: Turner Construction Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500039389	Original Contract Amount:	\$15,405,394
Total Change Orders to Date: 125	Cumulative Change Order Amount:	\$790,190
Low Bid:	Revised Contract Amount:	\$16,195,584
High Bid:	Contract Percent Complete:	99%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 3, 1/21/11, was approved for an increase of \$146,400 to shift the underslab plumbing work from Bid Pack # 3 to Bid Pack # 1 facilitating the first floor slab being poured and completed earlier in the construction schedule resulting in cost savings. **Change Order # 22**, 9/15/11 was approved for an increase of \$55,965 to provide an equipment change allowing for a fan that will operate more efficiently at a lower usage. **Change Order # 23**, 9/15/11 was approved for an increase of \$50,307 to provide modification of the heat pump and ductwork layout to provide energy enhancement to the initial design. **Change Order # 24**, 9/15/11 was approved for an increase of \$60,218 to add in redundant pumps to provide greater system reliability in case of failure. **Change Order # 26**, 9/15/11 was approved for an increase of \$85,825 to add back the battery testing bunker bid package that was removed from Bid Pack # 3. **Change Order # 37**, 11/16/11, was approved for a decrease of \$191,492 to provide for value engineering changes after project bids were received. **Change Order # 49**, 11/1/11, was approved for an increase of \$52,188 to revise the primary power to support the power requirements for the project. This change is necessary due to found conditions and incorrect information in record documents of the utilities currently installed. **Change Order # 50**, 11/1/11, was approved for an increase of \$26,410 to provide oversized curb needed to close the roof opening for the strobic fan installation. **Change Order # 71**, 1/11/12, was approved for an increase of \$37,157 to provide power connections as required for the environmental chambers and to provide a filtered ceiling system. **Change Order # 78**, 2/2/12, was approved for an increase of \$30,433 to provide an electric meter, a chilled water system COP and a hot water system COP needed to accomplish the energy comparison between the CAER I and CAER II Lab for the Energy Dashboard. **Change Order # 92**, 3/5/12, was approved for an increase of \$37,624 to extend general conditions and project staffing for an additional twenty seven days. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

**Construct Patient Care Facility
Project Number: 2239.0
Scope: \$530,958,626**

Project Description:

This project will construct a new hospital addition to include patient beds, patient diagnostic and treatment areas, support areas, support facilities, medical equipment and infrastructure. The project also includes the replacement of the hospital parking garage and a connecting bridge as well as land acquisition and utility costs. (Note: The project design scope at the present time is \$532 Million as has been discussed with the BOT.)

Consultant: GBBN, Inc.

Contract #	A061080	Original Contract Amount:	\$29,462,728
Total Amendments to Date:	12	Cumulative Amendment Amount:	\$7,528,442
Describe all Amendments:		Revised Contract Amount:	\$36,991,170

Amendment # 1, 9/12/06, was approved for \$299,044 for additional design services required to design two additional floors for the parking garage. This amendment also provides for additional survey services associated with the rerouting of the ductbank; the addition of 12 receptor sources to be studied as part of the existing wind study; and the need for additional storm water modeling to review the impact on the new Patient Care Facility during heavy rain storm events. **Amendment # 2**, 2/13/07, was approved for additional design services associated with increased scope including but not limited to increased renovation of areas in the existing hospital (all phases); development of early bid packages for infrastructure and site utility packages and temporary emergency department access; development of on additional bed wing (all phases); development of partial cafeteria (all phases); design of fitup for two additional floors (shell only - all phases); design of a redundant communications feed (all phases); additional design services associated with security systems; development of design of Phase 1B air handlers (thru DD's) and additional design of costs associated with relocation and installation of above ground and underground fuel tanks (all phases). Also included is additional reimbursable services for added geotechnical rock investigation; additional site survey and added construction testing. **Amendment # 3**, 5/13/08, an increase of \$868,849 was approved for additional design services to include completion of the PCF Auditorium and construct a mock up in the College of Nursing. It also provides for 3D images and graphic design services for fund raising efforts as well as additional costs associated with preparing early and separate supplemental bid packages. **Amendment # 4**, 4/14/09 an increase of \$332,700 was approved for expanded design services to include completion of design of the surgery waiting expansion, the expansion of Children's Hospital entry, changes in the auditorium design, refining several infrastructure elements and further development of the gift shop case work. **Amendment # 5**, 6/9/09, an increase of \$72,151 was approved for implementation of a GIS facility management system to import and manage data associated with buildings and spaces, with the ability to expand for future inclusion of detail equipment, finishes and furniture data information. **Amendment 6**, 10/13/09, an increase of \$281,162 was approved for additional design services, additional renderings, revision of art work lighting and additional expenses for the donor recognition design as well as additional construction administration services for the added auditorium AV systems. **Amendment 7**, 4/20/10, an increase of \$278,370 was approved to provide for services for the Celebrate Kentucky Wall, Rose Street Plaza, the green roof, enabling work and a lobby fountain. The amendment also provides for additional reimbursable services. **Amendment 8**, 6/8/10, an increase of \$937,498 was approved to provide for the completion of design and the addition of construction administration services for the Patient Care Facility OR Fit-out project. It also provides for design thru phase 2 of the kitchen and cafeteria fit out of the PCF. **Amendment 9**, 1/25/11, an increase of \$409,072 was approved to provide design and CA services for the PCF Data Center fit-up. **Amendment 10**, 5/10/11, an increase of \$611,368 was approved to extend CA services. **Amendment 11**, 11/8/11, an increase of \$395,464 was approved to provide additional utility investigation, design services, inspections and to extend the construction administration services for the PCF Project. Also includes an increase of \$71,722 for additional design services to include above ceiling access platforms and hatches and commissioning for the OR Suites project. **Amendment 12**, 6/12/12, an increase of \$68,282 was approved for three months of extended construction services and design and construction of three additional fit-up spaces; these include a conference room in the basement, a hydrotherapy room on the sixth floor and offices in the basement for Facilities and Supply & Distribution.

Contractor: Turner Construction Co.

*Note: This is a 'CM-At-Risk' contract. The amount will be increased as sub-contracts are bid and added. *Turner's contract was awarded by RFP process.*

Total Change Orders to Date:	1315	Original Contract Amount:	\$319,756,574
Low Bid:		Cumulative Change Order Amount:	\$24,430,664
High Bid:		Revised Contract Amount:	\$344,187,238
Number of Bids:		Contract % complete:	99%

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/4/07, was approved for an increase of \$91,344 to facilitate the procurement strategy and meet the project timeline. A portion of select infrastructure was transferred from Bid Pack 4A to the earlier Bid Pack 3B. **Change Order #2**, 5/31/07, was approved for an increase of \$65,296 to relocate the existing direct buried steam lines and pressurized drain found to be in conflict with the new tunnel. Since the lines must be moved they will be relocated into the new tunnel to make the lines accessible for maintenance. **Change Order # 3**, 7/11/07, was approved for an increase of \$58,928 to relocate an existing sanitary sewer manhole and associated lines to sanitary sewer manhole and associated lines to resolve underground utility conflicts. **Change Order # 5**, 9/6/07, was approved for an increase of \$49,439 to replace and relocate deteriorated and inaccessible 2 1/2" and 1 1/2" underground direct buried steam lines that were revealed upon excavation. **Change Order # 11**, 10/4/07, was approved for an increase of \$47,780 to replace and re-route the existing domestic water line as well as reroute the existing water main.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont...

Change Order # 13, 10/9/07, was approved for \$40,755 to relocate approximately 150' storm line and associated manhole due to conflict with the new Cooling Plant # 3 utility tunnel. **Change Order # 21**, 11/21/07, was approved for an increase of \$53,383 to convert the 7000KVA transformer from aluminum to copper windings, add an air switch to the transformer and add a medium voltage outdoor breaker with remote monitoring and control capability to all five electric substations. These changes were made to increase the efficiency of the system and to meet specifications of upcoming substation upgrades. **Change Order # 23**, 12/10/07, was approved for a decrease of \$48,383 to alter the installation of the cold water and the cold water return piping system to avoid conflict with sensitive boiler equipment. **Change Order # 27**, 12/5/07, was approved for an increase of \$144,844 to provide saw cutting of the pedestrian walkway and walkway roofing. The saw cutting method will reduce noise and vibration impacts to the adjacent Critical Care Facility. **Change Order # 32**, 1/18/08, was approved for an increase of \$33,072 to enlarge the existing emergency generator pads to support installation of new diesel generators due to changes in EPA regulations. **Change Order # 34**, 2/1/08, was approved for \$388,426 to provide additional construction manager's staff and general conditions due to an additional two floors being added to the Patient Care Facility. **Change Order # 42**, 3/20/08, was approved for an increase of \$128,502 to extend the limits of the blasting and excavation of rock in Bid Pack # 4 to accommodate the installation of sanitary line. The rock removal was originally intended for Bid Pack # 5, but will provide cost savings if moved to Bid Pack # 4. **Change Order # 43**, 3/31/08, was \$229,016 to increase the rock allowance due to greater rock quantities than anticipated. **Change Order # 58**, 4/23/08, a decrease of \$60,522 was approved to utilize the existing ductbank for the new conductors. The existing ductbank was lower than expected and did not interfere with the new storm tunnel. **Change Order # 60**, 4/23/08, was approved for \$34,827 to increase the ductbank from six to twelve conduits to match the size of the existing ductbank. **Change Order # 66**, 5/6/08, was approved for \$42,700 to offset new 36" chilled water lines. A 2" foam glass board insulation was put in place between the chilled water and high pressure steam line due to unexpected elevation. **Change Order # 68**, 6/4/08, was approved for \$39,794 to perform rock removal while classes are in session using a rock trenching machine so as not to disturb teachers, students and staff in the Ag. Science Bldg. **Change Order # 71**, 5/7/08, was approved for \$47,571 to install four new handicap ramps and thermoplastic street marking to comply with ADA standards. **Change Order # 73**, 6/6/08, was approved for \$37,090 to replace water meter vault that is in the CP # 3 tunnel excavation footprint due to location of hidden chilled water lines. **Change Order # 85**, 6/4/08, was approved for \$28,092 to revise alignment and depth of electrical ductbank that runs from Scott Street toward Electric Substation # 2. This will insure proper cover for manhole and ductbank when future changes are made in this area. **Change Order # 90**, 6/9/08, was approved for \$42,604 to install new ductbank at a depth greater than planned due to concealed high voltage ductbank. **Change Order # 98**, 7/3/08, was approved for an increase of \$62,263 to modify the tower crane foundation. This was required due to unsatisfactory rock with mud seams and fractures found in the west tower crane location. **Change Order # 102**, 7/14/08, was approved for an increase of \$50,183 to increase the size of both main electrical duct banks. **Change Order # 110**, 7/30/08, was approved for an increase of \$25,219 to remove an additional 240 linear feet of bad soil on Scott Street that is unsuitable for the new duct bank. Excavated material will be replaced with flowable fill concrete. **Change Order # 121**, 8/25/08, was approved for an increase of \$32,912 to provide additional excavation for fuel tanks to meet required depth. **Change Order # 127**, 8/22/08, was approved for an increase of \$43,493 to perform additional excavation at area "A" due to Excavated material will be replaced with 4000 psi concrete. **Change Order # 128**, 8/22/08, was approved for an increase of \$30,655 to perform additional excavation at area "B" shear wall/grade beam due to unsuitable bearing. Excavated material will be replaced with 4000 psi concrete. **Change Order # 130**, 9/9/08, was approved for an increase of \$27,914 to provide control of the control valves with selector switch indicator lights. The switch will allow the operator to select which tank to pull oil from. **Change Order # 138**, 9/22/08, was approved for \$40,322 to provide a larger drilling rig needed to sleeve and bore larger holes due to the composition of the subgrade. **Change Order # 139**, 9/22/08, was approved for \$27,303 to sawcut the CCC tunnel footers and slab as required for the 16" sanitary pipe to pass thru the tunnel. The pipe will be sleeved to prevent shearing and concrete and resteel placed around the sleeve. This is due to the existing sanitary pipe being lower than expected. **Change Order # 143**, 9/29/08, was approved for \$33,766 to excavate 6' to 7' below design elevation on the 12.3 line from Column Line D.1 to the southeast corner. Excavated material will be replaced with 4000 psi concrete and added resteel. This is due to found rock. **Change Order # 159**, 11/3/08, was approved for an increase of \$38,301 to offset the chilled water piping. **Change Order # 164**, 11/26/08, was approved for an increase of \$34,881 to install a high pressure steam valve and a pump discharge valve in the main on the south side of the Gill Heart tap. This will reduce the outage to the Gill Heart building and the Critical Care to less than one day and will eliminate future outages. **Change Order # 165**, 11/26/08, was approved for an increase of \$73,079 to provide for additional removal of rock and soil and to provide additional concrete for the drilled piers. Found subsurface conditions required deeper piers than were required by contract. **Change Order # 173**, 1/20/09 was approved for and increase of \$39,957 to provide for additional cost required to bore under existing gas line for the new 24" sanitary main. The original gas line was not relocated by Columbia Gas as originally planned due to access issues. **Change Order # 177**, 3/2/09, was approved for an increase of \$51,550 to remove existing unsuitable soil where fuel tanks were removed. Backfill and compact will be added to grade. **No change orders over \$25,000 this quarter.**

BP # 5 Change Orders

Change Order # 2 BP # 5, 6/30/08, was approved for \$36,359 to provide and install 48" diameter sump pit and piping, for the existing subsurface groundwater. This addressed the field condition of ground water that has been observed in open excavations. **Change Order # 4 BP # 5**, 7/23/08, was approved for \$26,723 to provide two mock-ups of the architectural precast panels. **Change Order # 7 BP # 5**, 9/15/08, was approved for an increase of \$39,922 to provide concrete shear walls at Column Line 12/E-F and Column Line 12/L-M, ground level to underside of third level. **Change Order # 8 BP # 5**, 9/30/08, was approved for an increase of \$26,540 to add one (1) gate valve and three (3) triple duty offset butterfly valves to high pressure steam lines. The original design did not allow for a partial cut-off of steam supply to the building. **Change Order # 20, BP # 5**, 12/2/08, was approved for an increase of \$38,438 to provide concrete shear walls in accordance with the structural documents. The trade contractors scope descriptions failed to assign this task. **Change Order # 24, BP # 5** 2/6/09 was approved for an increase of \$27,389 to revise the medium voltage cable spec to meet published UK standard. **Change Order # 26, BP # 5**, 1/20/09 was approved for an increase of \$25,547 to provide additional doors to the freight elevators allowing access to these elevators

Construct Patient Care Facility Change Orders greater than \$25,000 Cont...

from the exterior. **Change Order # 27, BP # 5** 1/20/09 was approved for an increase of \$29,111 to install 60 linear feet of 18" reinforced concrete pipe from the existing storm manhole to the new 12' diameter manhole. **Change Order # 32, BP # 5**, 2/10/09 was approved for an increase of \$71,714 for the upsizing and rerouting of the steam generators. **Change Order # 41, BP # 5**, 3/26/09 was approved for an increase of \$75,511 to provide miscellaneous electrical revisions to Bid Pack 5 electrical systems to include new distribution panel and critical bus duct plugs. **Change Order # 45 BP # 5**, 4/30/09, was approved for an increase of \$26,288 to add a medium voltage outdoor breaker with remote monitoring and control capability to all five substation breakers. This will increase the efficiency of the system. **Change Order # 46 BP # 5**, 4/30/09, was approved for an increase of \$25,931 to provide and install twelve (12) humidifier condensate pumps to provide for disposal of the condensate from the air handlers. **Change Order # 47 BP # 5**, 5/12/09, was approved for an increase of \$41,529 to add a low pressure steam pumping system to the basement level of the facility. **Change Order # 51 BP # 5**, 5/29/09, was approved for an increase of \$228,937 to provide a compatible mating of the curtain wall and precast systems. This silicone tape allows for a water tight skin on the structure. **Change Order # 52 BP # 5**, 5/15/09, was approved for an increase of \$30,633 to re-route existing lines allowing for placing of structural column to support east connector. **Change Order # 57 BP # 5**, 6/1/09, was approved for an increase of \$29,521 to fund overtime payment for Baker Construction. This additional cost will be used to make up time lost due to weather delays in December 2008 and January 2009. These days will need to be made up to open the Emergency Department on the currently scheduled date. **Change Order # 62 BP # 5**, was approved for an increase of \$36,687 to fund overtime payment for Baker Concrete over four weeks in May to maintain schedule. Overtime work was required to maintain the concrete pour schedule due to inclement weather. **Change Order # 65 BP # 5**, was approved for an increase of \$47,723 to install backfill against the southeast and southwest corners of the Patient Care Facility. **Change Order # 66 BP # 5**, 6/26/09, was approved for an increase of \$26,436 to re-route existing sanitary sewer to allow for installation of bridge pier. **Change Order # 63 BP # 5**, 8/12/09, was approved for a increase of \$35,236 to allow for payment of shift premium to accelerate pan removal under the 4th and 5th decks. This will accelerate the work schedule. **Change Order # 69 BP # 5**, 7/2/09, was approved for an increase of \$27,497 to provide sidewalk stabilization during excavation for a pilaster. This change order also allows for removal and reinstallation of the distilled water line that conflicts with the new foundation. **Change Order # 84 BP # 5**, 9/2/09, was approved for an increase of \$43,756 to allow for a needed additional 2 feet of height to the Penthouse elevator. **Change Order # 85 BP # 5**, 9/7/09, was approved for an increase of \$67,697 to replace existing 18" ADS plastic storm pipe under the lobby area with 18" ductile iron pipe to meet code. **Change Order # 86 BP # 5**, 9/15/09, was approved for an increase of \$50,436 to fund the purchase and installation of fifty six control dampers required for proper functioning of the Air Handling Units that were purchased directly by UK. **Change Order # 88, BP # 5**, 10/2/09, was approved for an increase of \$69,965 to provide for payment of onsite personnel at the new Kentucky Labor Cabinet prevailing wage rates. **Change Order # 96, BP # 5**, 10/16/09, was approved for a decrease of \$25,727 to delete flex connection. **Change Order # 99, BP # 5**, 10/27/09, was approved for an increase of \$231,535 to furnish and install additional accessories, fitting, valves and controls for the heat exchangers. **Change Order # 117, BP # 5**, 12/9/09, was approved for an increase of \$27,631 to fund overtime work for the steel contractor in order to complete the necessary steel erection for the topping out ceremony. **Change Order # 126, BP # 5**, 1/11/10, was approved for an increase of \$109,869 to extend sleeves in all IDF/EIDF rooms in order to allow access to the sleeves from the floor below without infringing on the cable tray. **Change Order # 132, BP # 5**, 2/1/10, was approved for an increase of \$31,104 to add one 112 x 34 fire damper and modify two 112 x 34 fire smoke dampers in the outside air dampers on the fourth floor. The actuators for these dampers need to be internal due to space restrictions and access requirement. **Change Order # 133, BP # 5**, 2/10/10, was approved for an increase of \$26,580 to relocate the 3" chilled water supply take-off to the supply main on the discharge side of the chilled water pumps, so that it is located upstream of flow meter and will properly operate. **Change Order # 139, BP # 5**, 2/24/10, was approved for an increase of \$71,292 to provide safety drain traps to prevent condensate from backing up in the humidification steam generator tubes during a low pressure condition. **Change Order # 145, BP # 5**, 3/1/10, was approved for an increase of \$46,192 to provide top of shaft/elevator control room sleeves and machine beams to accommodate future elevator equipment. The work will be done at this time to allow for significant modifications to the building structure which would be difficult and disruptive when the hospital is operational. **Change Order # 147**, 3/2/10, was approved for an increase of \$49,407 to revise various duct sizes and pipe routing at several locations on the 3rd and 4th floor mechanical rooms. **Change Order # 148, BP # 5**, 3/4/10, was approved for an increase of \$138,921 to provide and install 40 foot mast to accommodate 7 antennas. This includes all structural support, warning lights, and fire stopping required for proper installation of the 40 foot mast. **Change Order # 149, BP # 5**, 3/4/10, was approved for an increase of \$66,388 to provide lift-net ethernet based central monitoring system, software, and supporting infrastructure for monitoring of all new and future elevators in the PCF. **Change Order # 150, BP # 5**, 3/8/10, was approved for an increase of \$67,125 to relocate high pressure steam header in basement above chilled water pipes to eliminate trapped piping in order to prevent an unwanted trap. **Change Order # 155, BP # 5**, 3/17/10, was approved for an increase of \$56,402 to revise south supply and return 24" chilled water feeds into basement as required. This will reverse the supply and return to match the connections to the mains in the tunnel. **Change Order # 156, BP # 5**, 3/19/10, was approved for an increase of \$37,489 to furnish and install access hatches on the 15th and 16th floors for future service and installation of equipment in elevator machine rooms at the top of each tower. **Change Order # 168, BP # 5**, 4/23/10, was approved for an increase of \$54,344 to relocate 16 fire/smoke damper actuators and add access doors as required to provide access for maintenance and yearly testing. **Change Order # 171, BP # 5**, 4/27/10, was approved for an increase of \$81,371 to furnish and install conduit and added control wiring from unit substations to remote Entellisys control stacks. **Change Order # 185, BP # 5**, 6/24/10, was approved for an increase of \$49,935 to route high pressure condensate from heating hot water and domestic hot water converters to high pressure return back to condensate pump. This enhances the reliability and safety of the building and campus steam system. **Change Order # 187, BP # 5**, 6/25/10, was approved for an increase of \$26,761 to provide additional hail position indicators for five elevators. **Change Order # 191, BP # 5**, 7/27/10, was approved for an increase of \$52,938 to install a check valve in the bypass line between the heating hot water supply and return at each of the units. **Change Order # 198, BP # 5**, 8/18/2010, was approved for an increase of \$129,334 to furnish and install snubbers on the transformers that serve the two fire pumps as a precautionary action to protect against future damage to the transformers. **Change Order # 203, BP # 5**, 10/12/10, was approved for an increase of \$161,355 to provide additional supervision required to manage concurrent crews on

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

stairwells in order to achieve the original milestone completion dates. The CM's original baseline schedule had drywall work in the stairwells progressing prior to achieving full water tightness of the building. **Change Order # 214, BP # 5, 1/10/11**, was approved for an increase of \$25,893 to fire wrap the exhaust ducts on the 14th level corridor. **Change Order # 221, BP # 5, 2/2/11**, was approved for an increase of \$51,570 to install a status annunciator panel for the transfer switches on the fourth floor. **Change Order # 227, BP # 5, 3/24/11**, was approved for an increase of \$32,087 to install an additional set of CT's on the load side of the stand alone fire pump circuit breakers on the fourth floor. **No change orders greater than \$25,000 this quarter.**

BP # 6 Change Orders

Change Order # 1, BP # 6, 1/23/09 was approved for an increase of \$74,499 to increase booster pump capacity. **Change Order # 2, BP # 6, 3/3/09** was approved for an increase of \$38,126 to allow for the installation of piping for dialysis systems on the 5th floor. **Change Order # 3, BP # 6, 3/24/09** was approved for an increase of \$101,006 to utilize Densarmor exterior sheeting for the electric and data closets. This will allow for the installation of the materials prior to the roofing of the structure. This will enhance the schedule and allow the project to remain on track for the substantial completion of the emergency department. **Change Order # 11 BP # 6, 5/21/09**, was approved for an increase of \$320,823 to re-design to meet the State's interpretation of the KY plumbing code. Venting was added as well as the resizing of piping. **Change Order # 12 BP # 6, 5/29/09**, was approved for a decrease of \$50,620 to delete all Galley Equipment from the 8th Floor. **Change Order # 13 BP # 6, 6/1/09**, was approved for an increase of \$33,352 to relocate the required power for the elevator machine room. **Change Order # 19 BP # 6, 7/2/09**, was approved for an increase of \$69,287 to fund the installation of ground bars and associated ground wiring in 110 locations data and electrical closets. **Change Order # 37 BP # 6, 8/18/09**, was approved for a decrease of \$73,319 to delete demolition of existing 36" storm drain and the new 36" storm line. **Change Order # 45 BP # 6, 8/28/09**, was approved for an increase of \$367,335 to install cast-in-place concrete anchors in the 6th floor to support the 5th floor MEP items. This work will drastically reduce the noise associated with the fit-out of the 5th floor which is scheduled to occur after the 6th floor is occupied. **Change Order # 46 BP # 6, 8/28/09**, was approved for an increase of \$28,293 to upgrade power, add a smart panel, and tie to the existing Tridium system for controls of exterior fountain system and site lighting. This allows for reduced maintenance costs and remote management of the exterior system. **Change Order # 47 BP # 6, 8/28/09**, was approved for an increase of \$127,027 to provide one Edgestar door controller per door. **Change Order # 53 BP # 6, 9/21/09**, was approved for an increase of \$29,323 to replace Luminaire type F55 with type F56. **Change Order # 55 BP # 6, 9/21/09**, was approved for an increase of \$191,975 to provide fit out of additional office spaces in the basement of the Patient Care Facility. **Change Order # 56 BP # 6, 9/22/09**, was approved for a decrease of \$80,087 to delete fiber and coax to work stations throughout the podium. Upon review of the function of the these work stations fiber and coax was deemed unnecessary. **Change Order # 57 BP # 6, 9/29/09**, was approved for an increase of \$626,061 to amend Turner's contract to allow for payment of prevailing wage rate determined by the Kentucky Labor Cabinet. The rates included in the original bid documents were increased by the State prior to contract signing. **Change Order # 69, BP # 6, 10/16/09**, was approved for an increase of \$101,492 to provide coax cable to service televisions in all patient rooms. **Change Order # 72, BP # 6, 10/16/09**, was approved for an increase of \$351,828 to furnish and install 3,000 gallon concrete grease trap. This is required for the future fit-out of the kitchen and cafeteria. Installation at this time results in cost savings and lessens future impact on hospital operations. **Change Order # 82, BP # 6, 10/26/09**, was approved for an increase of \$38,299 to revise electric and data outlets due to staff changes made to increase the efficiency of the space. Additional cabling for the Hill-Rom system was also required. **Change Order # 100, BP # 6, 12/14/09**, was approved for an increase of \$66,196 to upgrade grounding of medium voltage electrical systems for both normal and emergency power from 7 switches to manholes E412 and E214E to provide a safe and reliable high voltage system. **Change Order # 103, BP # 6, 11/24/09**, was approved for an increase of \$36,720 to revise the route of one set of oxygen lines and to add offset to oxygen lines at all steam line expansion joints in the tunnel. This will create a more reliable and robust oxygen system. **Change Order # 104, BP # 6, 11/24/09**, was approved for an increase of \$58,594 to add additional unit heater and cabinet unit header DDC controls. **Change Order # 105, BP # 6, 11/24/09**, was approved for an increase of \$25,760 to provide the additional 120 Volt circuits needed for the operation of the smoke dampers. **Change Order # 109, BP # 6, 11/23/09**, was approved for an increase of \$52,082 to provide patient lift system with a rail in select Acute and Acute Isolation restrooms on the 6th and 7th floors. This will greatly improve patient care and reduce staff injuries. **Change Order # 110, BP # 6, 11/24/09**, was approved for an increase of \$44,339 to purchase dialysis boxes required for the 6th and 7th floor ICU patient rooms. **Change Order # 111, BP # 6, 11/25/09**, was approved for an increase of \$95,389 to provide raceways, electrical wiring and teledata wiring for wireless telemetry system. **Change Order # 112, BP # 6, 11/25/09**, was approved for a decrease of \$139,555 to reduce the number of drops and raceways for the wireless telemetry grid. **Change Order # 113, BP # 6, 11/24/09**, was approved for an increase of \$38,833 to revise the main duct mains in to allow for proper installation of mechanical systems. **Change Order # 114, BP # 6, 12/9/09**, was approved for an increase of \$27,464 to reroute existing electrical feeders that are located overhead in the hospital loading dock to allow for installation of new utilities. **Change Order # 115, BP # 6, 12/9/09**, was approved for an increase of \$53,632 to change power from 80/20 emergency to a normal 50/50 configuration in all patient rooms on the 6th and 7th floors. **Change Order # 117, BP # 6, 12/9/09**, was approved for a decrease of \$64,113 to relocate data and power outlets, and make changes to light switching on the 6th and 7th floors. **Change Order # 122, BP # 6, 12/15/09**, was approved for an increase of \$207,802 to provide one additional data outlet and power receptacle in the wall in each of the 128 patient rooms. **Change Order # 125, BP # 6, 12/15/09**, was approved for an increase of \$41,512 to provide conduit for the door access intercom system for the intercom device to the cable tray and to the Intercom Master Panels throughout the project. **Change Order # 134, BP # 6, 12/15/09**, was approved for an increase of \$48,070 to upgrade and revise the HVAC, electrical services, and IT infrastructure to support additional equipment in the security/dispatch room. **Change Order # 143, BP # 6, 12/15/09**, was approved for an increase of \$36,860 to provide additional ventilation in the 4th floor CCC Mechanical tower to accommodate Swisslog equipment. **Change Order # 144, BP # 6, 1/4/10**, was approved for an increase of \$49,623 to provide temporary weather protection to contain winter heat in the podium. **Change Order # 146, BP # 6, 1/4/10**, was approved for an increase of \$40,504 to make adjustments to the ductwork to allow for installation of systems while maintaining ceiling height as required for proper utilization of the spaces. **Change Order # 157, BP # 6, 1/14/10**, was approved for an increase of \$123,223 to furnish and install one medical gas master alarm panel in Room H64 to allow for a UL rated system.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 161, BP # 6, 2/1/10, was approved for an increase of \$34,574 to revise the light fixture selection and lighting fixture layout for the up lights highlighting the eyebrow on the 5th and 12th floors of the structure. **Change Order # 162, BP # 6, 2/1/10**, was approved for an increase of \$82,634 to modify VESDA smoke detection system. **Change Order # 167, BP # 6, 2/4/10**, was approved for an increase of \$229,299 to add data and power outlets for the upper cabinet space in patient room wardrobes for IT equipment mounting space. **Change Order # 168, BP # 6, 2/4/10**, was approved for an increase of \$108,706 to provide additional power necessary for the final pneumatic tube system design. **Change Order # 174, BP # 6, 2/16/10**, was approved for an increase of \$136,321 to revise 6th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment.

Change Order # 175, BP # 6, 2/16/10, was approved for an increase of \$115,641 to revise 7th floor ductwork and cable tray to include additional conduit runs in vicinity of the mechanical chases to allow for proper access to mechanical and electrical equipment. **Change Order # 176, BP # 6, 2/23/10**, was approved for an increase of \$154,586 to add power infrastructure to support additional UPS devices in all 32 EIDF rooms. **Change Order # 177, BP # 6, 2/23/10**, was approved for an increase of \$37,436 to remove and replace floor tile in the ED. **Change Order # 181, BP # 6, 2/23/10**, was approved for an increase of \$40,687 to re-route glycol and hot water piping on level 14 due to unforeseen space constraints. **Change Order # 183, BP # 6, 2/24/10**, was approved for an increase of \$50,973 to revise the location of 8 patient tracking monitors and add 7 locations for overhead tracking monitors in the ED. **Change Order # 188, BP # 6, 3/4/10**, was approved for an increase of \$102,822 to add piping and electrical connections for dialysis equipment in 16 step down rooms to increase the flexibility of the patient rooms.

Change Order # 192, BP # 6, 3/4/10, was approved for an increase of \$88,311 to revise domestic water piping to the steam pressure reducing stations for the four domestic water heat exchangers. **Change Order # 194, BP # 6, 3/8/10**, was approved for an increase of \$31,061 to provide 5" copper pipe in lieu of steel for heating hot water piping. **Change Order # 201, BP # 6, 3/12/10**, was approved for an increase of \$108,500 to upgrade dialysis boxes and add electrical connections for dialysis equipment. **Change Order # 205, BP # 6, 3/17/10**, was approved for a decrease of \$26,766 to remove sprinklers from north and west canopies and add sprinklers to south drive-through canopy. This assures greater coverage where vehicular traffic is located and reduces the chance of freezing in areas that are not required by code. **Change Order # 207, BP # 6, 3/17/10**, was approved for an increase of \$136,040 to modify the temporary basement offices to address code compliance. **Change Order # 218, BP # 6, 3/25/10**, was approved for an increase of \$52,662 to revise 3rd and 4th floor ductwork and piping to accommodate installation of all trades. **Change Order # 221, BP # 6, 3/25/10**, was approved for an increase of \$40,734 to provide plumbing and electrical services to five dialysis boxes in the ED. **Change Order # 226, BP # 6, 3/29/10**, was approved for an increase of \$56,337 to revise power and specialty lighting throughout the lobby ground level and first level to accommodate selections of the art program. **Change Order # 229, BP # 6, 4/7/10**, was approved for an increase of \$31,569 to provide temporary doors and hardware for electrical rooms for final testing of the high voltage gear. **Change Order # 234, BP # 6, 4/13/10**, was approved for an increase of \$50,535 to add section of built-in curved seating, revise wall cap material, and add gaming area countertop to the pediatric waiting in the ED. **Change Order # 238, BP # 6, 4/14/10**, was approved for an increase of \$93,626 provide the infrastructure and connections necessary to support University supplied uninterrupted power supplies in the IDF and EIDF rooms through the project. **Change Order # 243, BP # 6, 4/22/10**, was approved for an increase of \$76,602 to furnish and install condensate drains for two air handler units in electrical rooms on the fourth floor and insulate condensate drains throughout the building. **Change Order # 244, BP # 6, 4/21/10**, was approved for an increase of \$52,639 to revise ductwork in the 1st floor lobby to accommodate the radiused bulkhead to include extending the height of the plenums along the lobby exterior wall and installing revised linear grills. **Change Order # 251, BP # 6, 4/21/10**, was approved for an increase of \$74,208 to revise coring layout, poke thru devices, and electrical and technology raceways for Steelcase furniture in ED area. **Change Order # 252, BP # 6,**

4/21/10, was approved for an increase of \$74,097 to add temperature mixing valves to eyewashes and emergency showers on the ground, 6th and 7th floors. **Change Order # 253, BP # 6, 4/21/10**, was approved for an increase of \$30,041 to provide additional electrical connections as required for installation of 11 Swisslog blower units and one Swisslog transfer unit on the 4th floor. **Change Order # 258 BP # 6, 4/23/10**, was approved for an increase of \$425,593 to furnish and install waste plumbing and venting required for 64 patient rooms, staff rest rooms, staff break areas, and utility spaces on the 8th floor of the PCF. Completing this work at this time eliminates the need for access to the 7th floor, which will be occupied when the 8th floor fit-out construction starts. **Change Order # 261, BP # 6, 4/27/10**, was approved for an increase of \$68,333 to modify the fire alarm systems in the PCF, Gill Building, Critical Care Center and Chandler Hospital such that all buildings report to the new fire control center in the PCF. **Change Order # 267, BP # 6, 4/29/10**, was approved for an increase of \$35,307 to add additional plantings and ornamental fencing between the Agriculture Building and Emergency parking area to establish the boundary between the two. **Change Order # 287, BP # 6, 5/13/10**, was approved for an increase of \$38,906 to install dust shield covers on 164 drop in lights in the ED for infection control purposes. **Change Order # 288, BP # 6, 5/13/10**, was approved for an increase of \$47,608 to re-route storm piping, irrigation piping, and vent piping above the ceiling in the auditorium to allow for proper installation of mechanical equipment. **Change Order # 301, BP # 6, 5/18/10**, was approved for an increase of \$54,139 to convert two secure treatment rooms into isolation rooms by adding a new isolation exhaust fan on the 1st floor roof, within the chiller screen wall. Modify air balance and add room monitors for proper pressurization. **Change Order # 327, BP # 6, 6/3/10**, was approved for an increase of \$107,562 to provide temporary upright sprinkler heads where ceilings are not yet installed on the ground floor, and upgrade and extend several of the existing partitions to 2 hour walls for the phased turnover of the ED. **Change Order # 328, BP # 6, 6/7/10**, was approved for an increase of \$101,969 to add missing life safety power to 87 fire smoke dampers. **Change Order # 332 BP # 6, 6/10/10**, was approved for an increase of \$88,130 to revise metal stud layout, electrical work, duct work, floor and wall finishes to accommodate the "Celebrate Kentucky" wall design. The final design of the wall was not available when the construction documents were published. **Change Order # 334, BP # 6, 6/11/10**, was approved for an increase of \$42,212 to add various security hardware items to the ground floor ED which were not included in the original contract documents. **Change Order #336, BP # 6, 6/11/10**, was approved for an increase of \$34,217 to provide horizontal connections for 34 locations for the overhead paging system in the ED. The final design of the system was not selected by the end users prior to the submission of construction documents. **Change Order # 340, BP # 6, 6/24/10**, was approved for an increase of \$80,919 to add card readers, locking door hardware, exit button, door contact, key switch, shear locks, retractable latches and delayed egress controller to various doors in the ED to realize the required security and access control for imaging areas and med. storage rooms.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 343, BP # 6, 6/25/10, was approved for an increase of \$45,551 to include a new pathway and the abutting planting mounds for the green roof on the 5th floor roof. It includes the relocation of the excess Green Roof planting trays that are displayed by the new pathway and planting mounds. **Change Order # 350, BP # 6, 6/25/10,** was approved for an increase of \$89,093 to add one duplex outlet and change one duplex to quad outlet in 128 Acute Care and ICU Patient Rooms on the floors. **Change Order # 354, BP # 6, 6/29/10,** was approved for an increase of \$69,437 to modify street light bases and illuminated signs along Limestone St. to allow installation of exterior signs.

Change Order # 363, BP # 6, 7/6/10, was approved for an increase of \$26,160 to add panel, transformer and fused disconnect in the parking garage to support lighting and receptacles in the bridge lobby. **Change Order # 380, BP # 6, 7/27/10,** was approved for an increase of \$34,092 to drain heating hot water system, and relocate 15 valves of varying sizes. This will facilitate requirement of fewer outages and disruptions to patient care in future phases of construction. **Change Order # 404, BP # 6, 8/6/10,** was approved for an increase of \$47,988 to relocate various sanitary, waste, and vent lines that are above high voltage gear on the fourth floor, and place pans under any lines that cannot be moved in order to eliminate the threat to electrical gear below. **Change Order # 405, BP # 6, 8/6/10,** was approved for an increase of \$59,470 to revise lobby design to accommodate design of the Main Donor Wall. The design of the Donor Wall was not available when the project was bid. **Change Order # 413, BP # 6, 8/11/10,** was approved for an increase of \$60,950 to revise the Rose Street Plaza landscaping to include additional subsoil, planting medium, and plants as required to expand the landscaping plan. **Change Order # 416, BP # 6, 8/11/10,** was approved for an increase of \$29,068 to furnish and install automatic dampers and their controls for the atrium smoke exhaust fans.

Change Order # 433, BP # 6, 8/25/10, was approved for an increase of \$36,213 to reroute storm pipe in the ground floor lobby area including portion the underground piping. **Change Order # 441, BP # 6, 8/30/10,** was approved for an increase of \$48,310 to furnish the labor and material to revise four steel reinforced concrete fan bases, and provide four additional steel reinforced concrete fan bases on the fifteenth floor of the facility. **Change Order # 442, BP # 6, 8/30/10,** was approved for an increase of \$182,106 to revise the lobby rotunda lighting to include 25 additional Illumivision Light Wave fixtures and remote lighting control system. These lights will be installed in recesses in the rotunda.

Change Order # 451, BP # 6, 9/7/10, was approved for an increase of \$27,185 to change fabric on the 6th and 7th floor Mechoshades to enhance function and durability of the shades in the inpatient areas. **Change Order # 456, BP # 6, 9/7/10** was approved for an increase of \$80,283 to furnish and install all required elements to create a new lobby water feature to include the water feature, supporting electrical and pumping systems, control systems. **Change Order # 462, BP # 6, 9/13/10,** was approved for an increase of \$284,907 to install conduit and cabling to accommodate nurse call device quantity and location changes per approved Hill Rom drawings. **Change Order # 465, BP # 6, 9/14/10,** was approved for an increase of \$313,636 to furnish and install 128 Marlite artwork panels and Korogard wall protection with related trim on inpatient room walls at the head of the bed to accommodate the final selection of the artwork for patient rooms. **Change Order # 467, BP # 6, 9/15/10,** was approved for an increase of \$26,145 to furnish & install additional trapeze hangers for life safety & emergency. **Change**

Order # 475, BP # 6, 9/15/10, was approved for an increase of \$88,510 to furnish and install additional 120V power connections required for twenty-four PTZ cameras. **Change Order # 477, BP # 6, 9/17/10,** was approved for an increase of \$57,683 to provide additional work in the laboratory required to complete the connections between the east connector and to maintain and enhance operations of the Critical Care 6th floor laboratory. **Change Order # 481, BP # 6, 9/22/10,** was approved for an increase of \$76,752 to provide 2 additional sets of filters for AHU's that were not purchased with the equipment or from the HVAC contractor. **Change Order # 485, BP # 6, 9/28/10,** was approved for \$313,198 to furnish and install electrical conduit and wiring associated with additional electrical outlets throughout the 6th & 7th floors.

Change Order #461, BP # 6, 10/18/10, was approved for an increase of \$30,566 to replace the existing analog security cameras for the into the Helipad with digital cameras so that they will tie into the new security monitoring system. **Change Order # 500, BP # 6, 10/1/10,** was approved for an increase of \$54,866 to furnish and install the required infrastructure, finishes and equipment to activate a food kiosk on the first floor.

Change Order # 505 BP # 6, 10/11/10, was approved for an increase of \$87,055 to provide additional labor and material to furnish and install ductwork, heating water piping and associated controls to heat and cool the dining area adjacent to first floor lobby. **Change Order # 509, BP # 6, 10/12/10,** was approved for an increase of \$87,829 to furnish the labor and materials to reinforce the underside of the existing Children's Hospital bridge. **Change Order # 512 BP # 6, 10/12/10,** was approved for an increase of \$85,357 to fund overtime costs for drywall installation on the 6th and 7th floors to maintain project schedule. **Change Order # 516, BP # 6, 10/18/10,** was approved for an increase of \$57,559 to revise the conduit path to the ICU workstation from via columns to via under-floor poke-thrus in eight locations.

Change Order # 51 BP # 6, 10/18/10, was approved for an increase of \$57,819 to furnish and install 52 smoke detectors including one on each side of the rated doors with door hold opens in the Emergency Department as required by the Fire Marshall. **Change Order #518, BP # 6, 10/19/10,** was approved for an increase of \$37,616 to install 20 gravity dampers to serve as backdraft dampers for roof top fans. **Change Order # 522 BP # 6 10/26/10,** was approved for an increase of \$28,015 to provide properly terminated patch cables between room boards and precautionary signs in 128 rooms on the 6th and 7th floors due to selection of signage. **Change Order # 526, BP # 6, 10/26/10,** was approved for an increase of \$51,847 to provide full depth replacement of the pavement scheduled for milling (Rose Street up to Limestone Street intersection) due to existing problems in pavement. **Change Order # 538, BP # 6, 11/1/10,** was approved for an increase of \$87,125 to revise nurse call device locations and modify power and data locations in furniture systems on the sixth and seventh floors to accommodate the nurse call and furniture systems selected.

Change Order # 542, BP # 6, 10/28/10 was approved for an increase of \$32,304 to provide temporary installation of 23 AV strobe devices in the construction areas adjacent to the areas of the ED that are occupied as requested by the State Inspector. **Change Order #544, BP # 6, 11/1/10,** was approved for an increase of \$25,607 to build a frame below fan coil units in the 14th level elevator machine rooms to eliminate the ability for someone to walk under the units as requested by the Elevator Inspector. **Change Order # 54 BP # 6, 10/28/10,** was approved for an increase of \$26,149 to furnish labor and material to shim casework to align curtain wall and precast panels in 128 patient rooms. **Change Order # 551 , BP # 6, 11/2/10,** was approved for an increase of \$48,092 to furnish the labor and materials to install seventeen data outlets for lighting control interface panels. **Change Order # 561, BP # 6, 11/5/10,** was approved for an increase of \$135,267 to provide power, data and detailed rough-in requirements for security devices on the ground through six levels.

Change Order # 566 , BP # 6, 11/12/10, was approved for an increase of \$45,037 to furnish and install materials necessary to protect lobby stone floor during the construction process. **Change Order # 567 , BP # 6, 11/10/10,** was approved for an increase of \$31,970 to furnish and install six 4 inch conduits on the 6th floor in lieu of the cable tray shown on design due to space constraints. **Change Order # 569 , BP # 6, 11/12/10,** was approved for an increase of \$29,094 to furnish and install additional power and technology cabling/outlets in the ED core area.

Construct Patient Care Facility Change Orders greater than \$25,000 Cont....

Change Order # 572 , BP # 6, 11/12/10 was approved for an increase of \$31,746 to modify the type-C data outlet requirements on 6th and 7th floors for 802.11 wireless access points. **Change Order # 573, BP # 6**, 11/11/10 was approved for an increase of \$25,590 to add eighteen additional fireman's phone jacks and associated conduit and wiring for elevator control rooms. **Change Order # 574 , BP # 6**, 11/10/10, was approved for a decrease of \$29,881 to delete unnecessary work previously purchased as a result of revisions made in the Rose Street Plaza. **Change Order # 585 ,BP # 6**, 11/24/10, was approved for an increase of \$77,023 to provide for color revision of the marble that serves as a base for the ten columns in the main lobby. **Change Order # 601 , BP # 6**, 12/14/10, was approved for an increase of \$47,480 to provide premium time for the electrician to keep the electrical rough-in ahead of drywall installation due to change order work. **Change Order # 604, BP # 6**, 12/14/10 was approved for a decrease of \$27,229 to delete hot water piping insulation for temporary heat on shelled floors 5, 8, 9, 10, 11 & 12. **Change Order # 617 , BP # 6**, 12/17/10, was approved for an increase of \$54,781 to provide for modifications to 6th and 7th floor door hardware and electronic security hardware as required by building code inspector. **Change Order # 619, BP # 6**, 1/24/11, was approved for an increase of \$83,092 to furnish and install temporary floor protection over all the thin set tile on the first level of the lobby to prevent scratches and damage from equipment and tools. **Change Order # 621, BP # 6**, 1/3/11, was approved for an increase of \$63,378 to recircuit the outlets serving the physiological monitors in 128 of the patient rooms on 6th and 7th floors from normal to critical power. **Change Order # 643, BP # 6**, 1/10/11, was approved for a decrease of \$32,258 to delete various signs from the signage schedule due to review of final site conditions and traffic patterns. **Change Order # 644, BP # 6**, 1/10/11, was approved for an increase of \$41,411 to revise the final signage package per drawings for ground floor areas A and B (Emergency Department). **Change Order # 650, BP # 6**, 1/13/11, was approved for an increase of \$50,318 to provide labor and material to construct support offices to the Ground and First Level Information Desks of the main floor lobby. **Change Order # 658, BP # 6**, 1/26/11, was approved for an increase of \$88,797 to furnish and install materials necessary to protect lobby stone during the construction process. **Change Order # 664, BP # 6**, 1/27/11, was approved for an increase of \$25,469 to revise elevator controls to add Cat 6 UTP cable and jack in specific elevator machine rooms to support TUG electronics. **Change Order # 671, BP # 6**, 1/31/11, was approved for an increase of \$25,508 to furnish the labor and install 1" shaft liner overlapping the vertical tube steel columns in elevator shafts for groups 1-5 per the state building inspector's request. **Change Order # 673, BP # 6**, 2/2/11, was approved for a decrease of \$107,640 to provide a credit for the quantity of miscellaneous metals and associated labor no longer required to mount the University purchased patient lift rails. **Change Order # 675, BP # 6**, 2/2/11, was approved for an increase of \$25,192 to raise three exhaust fans and provide exterior grade inlet duct extension, outside air bypass duct and balance damper for fans. **Change Order # 682, BP # 6**, 2/17/11, was approved for an increase of \$30,888 to provide the necessary wall protection in the west connector corridor due to high use. **Change Order # 689, BP # 6**, 2/21/11, was approved for an increase of \$44,499 to provide temporary heat for Lobby 1 and 2 finishes. **Change Order # 692, BP # 6**, 2/24/11, was approved for an increase of \$230,433 to create an allowance funded from the Construction Manager's contingency to fund overtime for specific work on a case by case basis. **Change Order # 703, BP # 6**, 3/1/11, was approved for an increase of \$32,704 to provide the labor and material to install twenty five flow and tamper switches. **Change Order # 705, BP # 6**, 3/2/11, was approved for an increase of \$98,771 to perform demolition for the Second Level surgery break room and adjacent areas in the CCC. **Change Order # 709, BP # 6**, 3/7/11, was approved for an increase of \$29,956 to provide and install the wood seats in masonry walls as shown on the landscape drawings. **Change Order # 711, BP # 6**, 3/9/11, was approved for an increase of \$462,671 to provide the labor and material to upgrade the door hardware and electronic security hardware for 119 openings throughout the PCF that have not been previously addressed. Work includes addition of smoke detectors, additional fire alarm devices, electronic door hardware, access control wiring, card readers, door position switches, door control panels, intercom locations and supporting infrastructure and equipment required to support the additional elements. **Change Order # 722, BP # 6**, 3/18/11, was approved for an increase of \$82,324 to provide control wiring and start-up to electrified door hardware for 48 doors. Includes power supplies, magnetic hold opens, latch bolt assemblies and associated wiring and programming. **Change Order # 751 BP # 6**, 4/28/11 was approved for an increase of \$35,916 to provide rework upgrades of the circuitry to meet the requirements of the lighting in the patient rooms. **Change Order # 760 BP # 6**, 6/8/11 was approved for an increase of \$25,315 to upgrade the circuitry in dietary rooms A06026 and A07026 to support the food service equipment. **Change Order # 763 BP # 6**, 5/9/11 was approved for an increase of \$37,731 to add 12 wireless access points at west connectors to existing structures on the 1st and 2nd levels based on operational patterns. **Change Order # 776 BP # 6**, 6/16/11 was approved for an increase of \$44,563 to provide temporary heating augmentation in Lobbies 1 & 2 from January thru March. **Change Order # 778 BP # 6**, 6/16/11 was approved for an increase of \$37,263 to provide raceways for smoke detectors at all stairwell exit doors in all floors. **Change Order # 810, BP # 6**, 7/19/11 was approved for a decrease of \$86,636 to provide a credit for unnecessary expenses that were incurred as a result of the failure to complete a preliminary short circuit coordination study before the installation of the feeder circuits to the 112.5 KVA dry type transformers. **Change Order # 821, BP # 6**, 8/2/11 was approved for an increase of \$120,151 to fund the cost for additional storm piping requirements for areas in 3rd and 4th floor mechanical rooms. **Change Order # 862, BP # 6**, 8/12/11 was approved for an increase of \$28,168 to provide for modifications that will allow the construction elevator to operate independently from the service elevators, creating less disruption in hospital operations during future construction. **Change Order # 866, BP # 6**, 8/24/11 was approved for a decrease of \$35,000 to provide a credit for standard metal frames in lieu of specified galvanized metal frames on the patient bathroom doors on all floors. **Change Order # 876, BP # 6**, 8/24/11 was approved for an increase of \$65,927 to furnish the labor and material to add 1800 sf of the metal panel ceiling/soffit on the east and west ends near the west connector area adjacent to the existing pedway. **Change Order # 886, BP # 6**, 9/6/11 was approved for an increase of \$57,849 to provide modifications required to allow the building chilled water system to operate within the campus chilled water system parameters. **Change order # 905, BP # 6**, 2/2/12, was approved for an increase of \$184,103 to adjust the final steam cost for steam provided by UK to the projects. The contractor was inadvertently overcharged for steam billings and this reimburses the mistake. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

PCF - Hospital - CUP Expansion

Project Number: 2239.32

Scope: \$13,150,000

Project Description:

This is a portion of the larger Patient Care Facility Project (2239.0). The project includes an 18,000 square foot addition to the existing Central Utilities Plant on Press Avenue. The expansion will include provisions for two new 125,000 lb./hr boilers and additional chillers. The structure will include an enlarged cold well and basement in the cooling section. The boilers and supporting equipment will be installed under this project. Chiller purchases are not contemplated at this time.

Consultant: GBBN Inc.

See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Messer Construction Co.

Contract # 4500008954

Original Contract Amount:

\$9,474,000

Total Change Orders to Date: 49

Cumulative Change Order Amount:

\$774,121

Low Bid: \$9,474,000

Revised Contract Amount:

\$10,248,121

High Bid: \$10,400,000

Contract Percent Complete:

99%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

Change Order # 4, 12/10/07, was approved for \$37,518 to provide required additional rock and soil drilling. **Change Order # 7**, 2/21/08, was approved for an increase of \$53,981 to provide temporary building heat until the new dearator and surge tank are installed. **Change Order # 14**, 7/23/08, was approved for an increase of \$28,219 to change the boiler water piping from ductile iron to schedule 80 black steel pipe. **Change Order # 17**, 7/10/08, was approved for an increase of \$26,270 to install new platform in the northwest corner of the CUP addition to provide greater accessibility and safer access to valves and equipment. **Change Order # 21**, 7/23/08, was approved for an increase of \$45,585 to provide for additional costs resulting from the late delivery of the boilers provided by the University and resulting complications which impacted items on the critical path. Liquidated damages collected from the boiler manufacturer will be applied to the compensation associated with this change order. **Change Order # 30**, 8/11/08, was approved for an increase of \$28,249 to relocate the new condensate pump from the BBSRB tunnel to the College of Pharmacy tunnel. Provide valving to allow the pump to pump in either direction. This change allows for more versatile operation of the campus steam system. **Change Order # 34**, 10/30/08, was approved for an increase of \$52,257 to provide and install pipe insulation for the new boiler feed water piping and the boiler blow-down piping. **Change Order # 35**, 11/3/08, was approved for an increase of \$34,693 to provide and install two wye strainers and to install and connect the stack gas analyzers and Horiba panels. Because the boilers were a direct purchase by the University this scope of work was not originally included in the contract documents. **Change Order # 37**, 2/2/09 was approved for \$26,661 to revise the Civil Site plan to provide greater security and improve the utilization of the grounds north of the new construction. **Change Order # 44**, 2/24/10, was approved for an increase of \$25,745 to perform various items of work related to the boiler installation not included in the contractor's original contract documents. **Change Order # 45**, 2/24/10, was approved for an increase of \$54,881 to install a new VFD for each of eight ventilation fans. The high efficiency boiler operation required increased building temperature control to manage the combustion process of the burners of the two boilers. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility - Data Center

Project Number: 2239.71

Scope: \$6,799,109

Project Description:

This project will fit-up approximately 2,000 square feet for a new computer machine room on the fourth floor of the new Patient Care Facility for a new hospital data center with redundant air conditioning, electrical and communication support systems.

Consultant: GBBN Architects
See project 2239.0

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Turner Construction Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500047768

Original Contract Amount: \$5,708,213

Total Change Orders to Date: 17

Cumulative Change Order Amount: \$114,827

Low Bid:

Revised Contract Amount: \$5,823,040

High Bid:

Contract Percent Complete: 100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 5, was approved for an increase of \$52,057 to furnish the labor and materials to utilize 66 small load banks during the commissioning process in lieu of 6 large load banks to accommodate proper commissioning. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility (Pavilion A, OR Suites and Support)

Project Number: 2239.70

Scope: \$35,000,000

Project Description:

This project provides a new surgical suite to be constructed in the shell space of the new Patient Care Facility. The project includes construction of 8 operating rooms, 1 hybrid OR, with supporting spaces for surgical pathology, surgical pharmacy, anesthesiology, staff lounge and lockers, and preoperative / post operative functions. Also included will be the construction of a new central sterile supply and associated elevators to serve the new surgery areas. In addition the project will supply a 63,000 CFM air handling unit to provide for the HVAC requirements of this area. The fit-up encompasses an area of approximately 66,000 SF.

Consultant: GBBN

Contract # A061080	Original Contract Amount:	\$937,498
Total Amendments to Date: 1	Cumulative Amendment Amount:	\$349,722
Describe all amendments :	Revised Contract Amount:	\$1,287,220

Amendment 9, 1/25/11, an increase of \$349,722 was approved to provide redesign of the surgical areas and central sterile supply to include the production of Construction Documents and add 6,990 square feet for the Post Anesthetic Care Unit (PACU). **No amendments this quarter.**

Contractor: Turner Construction Co. (Construction Manager At-Risk) Note: This is a 'CM-At-Risk' contract.
The amount will be increased as subcontracts are bid and added. *Turner's contract was awarded by RFP process.

Contract # 4500041362	Original Contract Amount:	\$15,253,686
Total Change Orders to Date: 181	Cumulative Change Order Amount:	\$750,736
Low Bid:	Revised Contract Amount:	\$16,004,422
High Bid:	Contract Percent Complete:	100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 8/30/10, was approved for an increase of \$29,377 to construct a full-scale mock-up of select O.R. spaces for Owner review and testing of equipment locations. **Change Order # 9, 4/5/11** was approved for a decrease of \$82,157 to provide credit for a value engineering option of changing the ceiling tile type from a fiberglass tile to a scrubbable mylar tile. **Change Order # 23, 4/21/11** was approved for an increase of \$25,167 to install data cables serving security devices. **Change Order # 24, 4/27/11** was approved for an increase of \$61,488 to address MEP conflicts with the final Stryker equipment submittal. **Change Order # 30, 5/23/11** was approved for a decrease of \$55,829 to provide a credit for owner to purchase equipment direct from Graybar. **Change Order # 35, 5/23/11** was approved for an increase of \$69,824 to add med gas wall outlets in various areas. **Change Order # 46, 6/10/11** was approved for an increase of \$38,571 to modify the single sink design to a triple sink design at four workstations. **Change Order # 61, 7/12/11** was approved for an increase of \$34,736 to revise underslab plumbing to relocate floor drains and vent lines in the CSS area to accommodate Steris equipment. **Change Order # 110, 10/5/11,** was approved for an increase of \$37,610 to furnish and install 12 automatic door operators. **Change Order # 122, 11/1/11,** was approved for an increase of \$27,016 to provide stainless steel enclosures at 5 washers, 1 backflow valve and a bank of electrical disconnects to conceal exposed conduit and plumbing piping in the CSS and Decontam areas. **Change Order # 170, 2/2/12,** was approved for an increase of \$35,749 to extend general conditions and project staffing for forty five days due to equipment delays. **Change Order # 178, 2/20/12,** was approved for an increase of \$28,438 to replace the existing air terminals with 8" Phoenix valves in rooms A102613 and A012611 to ensure consistency and simplify troubleshooting. **Change Order # 177, 2/21/12** was approved for an increase of \$41,291 to provide isolation control dampers in the operating room return air ductwork for all nine OR's. **Change Order # 181, 6/7/12,** was approved for a decrease of \$139,430 to reconcile the allowances. **Funds required were less than the allowances.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct UK/Nicholasville Road Flood Mitigation

Project Number: 2336.00

Scope: \$8,015,463

Project Description:

This project will construct improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. The area between Alumni Drive and Shawneetown Drive (includes permanent removal of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. The project will also upgrade an existing culvert at the upstream side of Nicholasville Road. The \$8,015,463 project will be funded by a federal grant of \$6,011,597 from FEMA with the balance of \$2,003,866 being the In-Kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design.

Consultant: Bell Engineering

Contract # A121130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$637,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$637,000

Contractor: No construction contract awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

Expand/Renovate Ambulatory Care: Orofacial Pain Clinic

Project Number: 2333.00

Scope: \$1,448,000

Project Description:

This phase of the Expand/Renovate Ambulatory Care project will renovate approximately 2,850 square feet on the second floor of the Kentucky Clinic Building, Wing C. This phase will include operatories, consulting exam rooms, a waiting room, resident carrel space, lab and supplies space, and radiographic equipment space.

Consultant: Stengel-Hill Architecture

Contract # A121140

Total Amendments to Date:

Describe all amendments :

Contractor: No construction contract awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	\$86,500
Cumulative Amendment Amount:	
Revised Contract Amount:	\$86,500

Original Contract Amount:	
Cumulative Change Order Amount:	
Revised Contract Amount:	
Contract Percent Complete:	

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Guaranteed Energy Savings Performance Contracts

Project Number: 2288.00

Scope: \$25,000,000

Project Description:

An energy service company (ESCO), such as AMERESCO, provides comprehensive energy and water management analysis plans as well as energy and water-related capital improvement services. Enabled by Kentucky Revised Statute 56.774, energy savings performance contracting is a cost-effective process for energy upgrades. Energy service companies guarantee that utility savings generated by facility upgrades are sufficient to pay back the capital investment during the next twelve years. If the project does not provide these returns on the investment, the ESCo is responsible for the difference. This project will help meet the overall University goal, which is to decrease the university's overall energy demands by 10-15 percent within the sixty-one buildings involved.

Energy conservation measures in the project that will reduce the university's overall energy consumption include: Upgrades to lighting systems to the latest electric saving technology; fume hood controls in Chemistry/Physics; HVAC systems; steam and chiller plant controls; Installation of motor speed drives (VFD's) to save energy during mild weather conditions; Energy management software to monitor usage in real time; and automatic utility metering devices; Replacement of old plumbing fixtures to the latest water saving technology; Repair of pipe insulation; Behavioral modification programs to encourage energy conservation, tailored for campus and developed, implemented and measured to maximize effectiveness and initiate a culture of energy efficiency.

Consultant: N/A

Contract #

Original Contract Amount:

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

Contractor: Ameresco Inc

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Ameresco's contract was awarded by RFP process.

Contract # 4500042240

Original Contract Amount:

\$24,675,589

Total Change Orders to Date: 3

Cumulative Change Order Amount:

-\$235,581

Low Bid:

Revised Contract Amount:

\$24,440,008

High Bid:

Contract Percent Complete:

100%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/8/11 was approved for a decrease of \$458,890 to create an allowance to be used for abatement.

Change Order # 3, was approved for an increase of \$73,309 to provide additional energy conservation measures identified and recommended by Ameresco and reconciliation of allowances.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate 4th Floor Sanders-Brown Center on Aging

Project Number: 2313.01

Scope: \$6,428,471

Project Description:

This project will consist of renovating approximately 9,000 square feet of research support space in the Sanders-Brown Center. This project will include retrofitting outdated, noncompliant research support space and the associated mechanical equipment to meet current regulatory requirements. The project is fully funded from an NIH Grant as part of the ARRA.

Consultant: Omni Architects

Contract # A101140

Total Amendments to Date: 1

Original Contract Amount: \$562,119

Cumulative Amendment Amount: \$28,000

Describe all amendments :

Revised Contract Amount: \$590,119

Amendment 1, 12/14/10, an increase of \$28,000 was approved for additional design and construction administration services associated with LEED certification. No amendments this quarter.

Contractor: Messer Construction Co Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Messer's contract was awarded by RFP process.

Contract # 4500040166

Total Change Orders to Date: 5

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$3,702,329

Cumulative Change Order Amount: \$36,614

Revised Contract Amount: \$3,738,943

Contract Percent Complete: 65%

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Renovate Northside Library

Project Number: 2324.00

Scope: \$2,373,000

Project Description:

This project consists of renovating approximately 11,000 GSF of space on a single floor. The building will be renovated into an undergraduate class lab facility for Biology and an outreach instructional facility for the Partnership Institute for Mathematics and Science Education Reform (PIMSER). The improvements will include a community activities room that will double as a classroom for both PIMSER and Biology. The renovation will also incorporate necessary upgrades of the building systems, such as communications, plumbing, HVAC, electrical, fire safety and security.

Consultant: Omni Architects

Contract # A121070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$155,000

Cumulative Amendment Amount:

Revised Contract Amount: \$155,000

Contractor: Marrillia Design & Construction

Contract # 7500002705

Total Change Orders to Date: 14

Low Bid: \$1,105,714

High Bid: \$1,322,000

Number of Bids: 10

Original Contract Amount: \$1,105,714

Cumulative Change Order Amount: \$74,612

Revised Contract Amount: \$1,180,326

Contract Percent Complete: 17%

Describe all change orders greater than \$25,000.00:

Change Order # 6, 3/27/12, was approved for an increase of \$32,902 to replace the existing underground sanitary lines and add a Watts 909 backflow preventer. No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Nursing Building Phase II

Project Number: 2316.00

Scope: \$1,342,500

Project Description:

This project will renovate approximately 8,200 square feet on the sixth floor of the College of Nursing Building to accommodate the construction of a new 118 seat Computer Classroom and associated support space. The project will be funded by federal grant of \$1,287,000 from the U.S. Department of Health and Human Services and University funds.

Consultant: GRW, Inc.

Contract # A11090

Original Contract Amount: \$73,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$73,000

No amendments this quarter.

Contractor: Churchill McGee, LLC.

Contract # 7500000658

Original Contract Amount: \$628,199

Total Change Orders to Date: 9

Cumulative Change Order Amount: \$21,526

Low Bid: \$628,199

Revised Contract Amount: \$649,725

High Bid: \$706,265

Contract Percent Complete: 100%

Number of Bids: 12

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Renovate Parking Structure #3 - UKHC

Project Number: 2337.00

Scope: \$988,824

Project Description:

This project will consist of the selective repair of the EIFS on the spandrels and column wraps, repair pre-cast and connections, concrete slab repair, recoating of structural steel components, barrier cable repair, replacement of doors and frames, and miscellaneous painting.

Consultant: Brown & Kubican

Contract # A121100

Original Contract Amount: \$87,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$87,000

No amendments this quarter.

Contractor: Structural Systems Repair Group, LLC

Contract # 7500005585

Original Contract Amount: \$724,500

Total Change Orders to Date: 1

Cumulative Change Order Amount: \$61,681

Low Bid: \$724,500

Revised Contract Amount: \$786,181

High Bid: \$934,072

Contract Percent Complete: 17%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

Change Order # 1, 6/25/12, was approved for an increase of \$61,681 to repair joists at level four and to repair the sixth floor membrane.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Schmidt Vocal Arts Center

Project Number: 2326.00

Scope: \$1,500,000

Project Description:

This project will complete the renovations to include rehearsal rooms, teaching studios, practice rooms, music library, office space for staff and graduate assistants, and enhancements to the building's main entrance.

Consultant: Sherman Carter Barnhart

Contract # A111120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$128,540

Cumulative Amendment Amount:

Revised Contract Amount:

\$128,540

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Track and Field Facility

Project Number: 2315.00

Scope: \$12,803,102

Project Description:

The University of Kentucky's Shively Track, used by the men's and women's track and cross country teams, is over 30 years old and needs to be renovated. This project will renovate the existing track and field facility by providing new track and field surfaces, field event training areas, spectator seating, spectator amenities (concessions, restrooms, etc.), and equipment storage. The track is anticipated to be a nine-lane synthetic surface track. An athlete only practice throw area has been integrated into the site plane, creating safety for adjacent spectators as well as providing a proper environment for the training and warm up of student athletes. A practice runway is being incorporated with the javelin throw. This area would also accommodate visiting track teams during meet events. The architectural design and materials will be integrated to enhance the proximity to the design elements of the University's adjacent baseball stadium. The concession building will overlook the track so spectators standing in line will continue to have visual access to the event completion. A new storage facility will be located at the Cooperstown Road entry, and will be of sufficient size to store all track and field equipment.

Consultant: Ross Tarrant Architects

Contract # A111060

Total Amendments to Date: 1

Original Contract Amount: \$868,500

Cumulative Amendment Amount: \$45,000

Describe all amendments :

Revised Contract Amount: \$913,500

Amendment # 1, 2/14/12, an increase of \$45,000 was approved to provide for additional special inspections needed due to a larger amount of concrete retaining walls than originally anticipated. **No amendments this quarter.**

Contractor: Abel Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *Abel's contract was awarded by RFP process.

Contract # 4500043874

Total Change Orders to Date: 62

Low Bid:

High Bid:

Original Contract Amount: \$9,820,610

Cumulative Change Order Amount: \$611,391

Revised Contract Amount: \$10,432,001

Contract Percent Complete: 80%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 2, 8/24/11 was approved for an increase of \$30,332 to provide sand based sod in lieu of regular soil based sod to provide better drainage performance. **Change Order # 10**, 8/24/11 was approved for a decrease of \$45,600 to use interlocking deck in lieu of welded deck and to use galvanized steel in lieu of painted finish on structure. **Change Order # 12**, 8/25/11 was approved for an increase of \$117,663 to provide and install an eight lane practice track. **Change Order # 20**, 10/13/11, was approved for an increase of \$35,975 to increase the Kentucky American Water Co. allowance needed to relocate a section of waterline along Cooperstown Drive. **Change Order # 21**, 11/3/11, was approved for an increase of \$25,275 to install subdrainage piping for the permeable pavers for the drive along the north side of the track. **Change Order # 34**, 1/23/12, was approved for an increase of \$25,300 to provide a slot drain in the concrete walk between the track and the lower wall of the lower grandstand. **Change Order # 38**, 4/10/12, was approved for an increase of \$105,493.55 to provide installation for Owner Provided Sports Competition lighting system. **Change Order # 50**, 4/10/12, was approved for an increase of \$46,722.76 to relocate the scoreboard from the current location to the northeast corner of the track. **Change Order # 61**, 6/13/12, was approved for an increase of \$49,672.17 to install concrete footers for the safety net poles. This will provide enhanced protection from foul balls from the adjacent baseball stadium for spectators and athletes during daily practices and scheduled events.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand the Center for Applied Energy Research (Ph II)

Project Number: 2286.20

Scope: \$1,285,224

Project Description:

The primary purpose of this project will be multi-phased project incorporating the following components:
Mineral Process Lab Building - The initial phase of the project will require the construction of a 6,400 square foot support building. This new facility will permit the relocation of the existing mineral processing group, currently housed in the Center's main facility. The existing equipment will be relocated along with support space, offices and labs. Relocation of the Crushing Room - The existing Crushing Room is to be relocated into an existing facility originally built for dry storage of coal. Bio-fuels Highbay Lab Fit-up and Improvements - Renovated space, vacated by the Mineral Processes Lab in above, will allow for the development of a bio-fuels laboratory for production, characterization, and testing of biomass derived fuels, with a focus on biodiesel, bio-oils, and biomass derived Fischer-Tropsch liquids. The principle objective of this project is the establishment of a laboratory dedicated to the development of improved processes for biomass utilization, with the goal of supporting the development of the biofuels industry in Kentucky. The existing Combustion/High Bay Lab will have a new bench top fume hood and a new walk-in hood installed with required ductwork and exhaust fans.

Consultant: Denham Blythe

Contract # A101070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$40,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$40,000

Contractor: Parco Construction Group

Contract # 4500048996

Total Change Orders to Date: 11

Low Bid: \$996,600

High Bid: \$1,358,000

Number of Bids: 8

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$996,600
Cumulative Change Order Amount:	\$60,203
Revised Contract Amount:	\$1,056,803
Contract Percent Complete:	99%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Upgrade Softball Complex

Project Number: 2338.00

Scope: \$7,500,000

Project Description:

This project consists of 1) Spectator seating and amenities, including replacement of the existing portable seating sections for Softball with new treads and risers from end to end of the dugouts that will provide approximately 400-600 new fixed chair back seats, and a combination of 600-800 of bleachers for a total permanent seating capacity of around 1200, including new elevated terraces overlooking the field at the back of the new grandstands and new press facilities, 2) New indoor practice facilities, 3) Playing field improvements, including site lighting and entry plaza to the complex including a new ticket facility and 4) New Team Building with coaches offices, team locker, lounge and equipment facilities for Softball.

Consultant: Lockett & Farley

Contract # A121120

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount: \$597,800

Cumulative Amendment Amount:

Revised Contract Amount: \$597,800

Contractor: Construction contract not awarded to date

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Replace Wildcat Lodge Student Housing (Wildcat Coal Lodge)

Project Number: 2309.00

Scope: \$8,050,000

Project Description:

This project consists of the design and construction of a new housing facility of approximately 18,000 GSF to replace the existing Wildcat Lodge. The new facility is to house the UK men's basketball players in addition to other non-athlete students. The building will include a controlled main entryway, with combination living/bedroom spaces, a common space, with dining and gaming rooms. In addition, it will have a conference room and public restrooms in all common areas. The site will be located just east of the Joe Craft Center.

Consultant: JRA Architects

Contract # A101090

Total Amendments to Date: 2

Original Contract Amount: \$554,253

Cumulative Amendment Amount: \$10,975

Describe all amendments :

Revised Contract Amount: \$565,228

Amendment 1, 5/11/10, an increase of \$6,239.33 was approved to provide phase 2 geotechnical exploration services as a reimbursable expenditure. **Amendment 2**, 9/13/11, an increase of \$4,735.50 was approved to provide additional design services for the building foundation as a result of subsurface conditions that varied from the geotechnical report were discovered. **No amendments this quarter.**

Contractor: Codell Construction Company

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added.

*Codell's contract was awarded by RFP process.

Contract # 4500038207

Total Change Orders to Date: 60

Low Bid:

High Bid:

Number of Bids:

Original Contract Amount: \$6,497,970

Cumulative Change Order Amount: \$245,879

Revised Contract Amount: \$6,743,848

Contract Percent Complete: 100%

Describe all change orders greater than \$25,000.00:

Change Order # 26, 11/28/11, was approved for an increase of \$78,417 to accept add alternate # 1 to provide construction of an exterior patio. **Change Order # 27**, 12/22/11, was approved for a decrease of \$35,000 due to a reduction of scope in the graphics package. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade, Renovate, Improve or Expand Research Labs (Chem-Physics)

Project Number: 2332.00

Scope: \$832,000

Project Description:

This project will renovate approximately 2,100 square feet of Physics wet bench research space in the Chemistry-Physics Building. The work consists of renovating several contiguous laboratories on the first floor in the Chemistry-Physics Building as follows: Demolition of existing finishes, partitions, and building systems, and Construction of new partitions, installation of new finishes, building systems, and laboratory items as required to create new research laboratories and their supporting spaces. Installation of new buildings systems in other areas of the Chemistry-Physics Building to support the new research labs as shown on the Contract Documents. New finishes include resilient floor tile, resinous flooring, resilient base, painted gypsum board, and suspended acoustical ceiling system. New building components include metal laboratory casework, epoxy laboratory counters and sinks, and fume hoods.

Consultant: Omni Architects

Contract # A121080

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$59,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$59,000

Contractor: Mark Spaulding Construction Co.

Contract # 7500003088

Total Change Orders to Date: 11

Low Bid: \$602,250

High Bid: \$665,000

Number of Bids: 8

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$602,250
Cumulative Change Order Amount:	\$23,619
Revised Contract Amount:	\$625,869
Contract Percent Complete:	91%

FCR 12

Office of the President
September 11, 2012

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period April 1 through June 30, 2012.

Background: On March 4, 1997, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT
QUARTERLY FOR THE PERIOD THROUGH June 30, 2012

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **U.S. Patent Application Serial Number: 13/479,899**
Filed: May 24, 2012
Title: High-Activity Mutants of Butyrylcholinesterase for Cocaine Hydrolysis
Inventors: Chang-Guo Zhan, Fang Zheng and Wenchao Yang (Pharmaceutical Sciences).
Technical Description: This invention relates to a method of treating a cocaine-induced condition through the use of butyrylcholinesterase (BChE) polypeptide variants that enhance the catalytic efficiency for (-)-cocaine and a suitable pharmaceutical carrier for the variants.
Summary: Cocaine mediates its reinforcing and toxic effects by blocking neurotransmitter reuptake, and the classical pharmacodynamic approach has failed to yield small-molecule receptor/transporter antagonists due to the difficulties inherent in blocking a blocker. An alternative approach is to interfere with the delivery of cocaine to its receptors/transporters and accelerate its metabolism in the body. The dominant pathway for cocaine metabolism in humans is butyrylcholinesterase (BChE)-catalyzed hydrolysis at the benzoyl ester group. More than 90% of cocaine is catalyzed by BChE. Human experiments have shown that enhancement of BChE activity by administering exogenous enzyme substantially decreases cocaine half-life. This invention discloses BChE variants that enhance the catalytic efficiency for (-)-cocaine and a suitable pharmaceutical carrier. The treatment described involves administering an effective amount of one of the BChE variants to lower blood cocaine concentration.

2. **U.S. Patent Application Serial Number: 13/474,882**
Filed: June 12, 2012
Title: Intranasal Opioid Composition
Inventors: Daniel P. Wermeling (Pharmacy Practice and Science)
Technical Description: This invention relates to intranasal opioid compositions with improved bioavailability and that improve patient compliance.
Summary: Pain is a major symptom of many diseases, including cancer, arthritis, neurological diseases, and heart attacks, and a patient's non-compliance or failure to take pain medication as prescribed has been linked to inadequate treatment of pain. Intranasal delivery of pain medications is being explored as an alternative to the intravenous route. Intranasal administration's advantages include non-invasive delivery, rapid drug absorption through the nasal mucosa, the convenience of not having to sterilize needles or dispose of them safely, fewer side effects, and less time commitment from both the patient and the medical professional. Opioids (e.g.,

morphine, methadone, hydromorphone, and butorphanol) play one of the most important roles in pain treatment. Several intranasal opioid formulations exist, but some have reduced bioavailability at conventional doses. This invention combines an effective amount of an opioid, a liquid nasal carrier for the opioid, and one or more sweeteners, flavoring agents, and/or masking agents. By disguising the taste of the medication, patients' resistance to taking medication regularly is reduced or eliminated.

3. **U.S. Patent Application Serial Number: 13/538,308**

Filed: June 29, 2012

Title: Few-layer Graphene Nanoribbon and a Method of Making the Same

Inventors: Douglas Strachan, Joseph Stieha, David Hunley, and Stephen Johnson (Physics and Astronomy).

Technical Description: The invention describes for the first time how few-layer graphene (FLG), consisting of less than 10 layers, can be catalytically etched with metallic nanoparticles along highly chry~~st~~ystallographic trenches or etch tracks to produce graphene nanoribbons with widths of less than 20 nm.

Summary: Graphene is a two-dimensional material with tremendous potential use in future nano-scale electronics while also providing a wealth of novel physical properties and phenomena. Confined graphene structures' electrical properties are expected to depend strongly on the orientation and nature of the confining boundaries and edges. These electrical properties might be engineered through fine control over these confining boundaries, and to achieve truly engineered graphene nano-electronics, it is expected that the boundaries must be controlled to at least sub-10 nm precision. Mass production of these confining boundaries has remained elusive. Unsuccessful top-down manufacturing techniques include electron-beam lithography, electron-beam milling, and ion-beam milling. Unsuccessful bottom-up techniques include tearing graphene nanoribbon strips and slicing open carbon nanotubes. This invention discloses a novel alternative approach—growing FLG film on a substrate, applying nanoparticles to the film's surface, and performing vapor etching to creates nanoribbons with widths of less than 20 nm. The production of mass parallel nanoribbon structures can be enhanced and altered for application by tuning various parameters.

Patent Activities
Fiscal year to date as of June 30, 2012

Number of Patent Applications	13
Number of Patents Issued	24
Patent Gross Revenue	\$1,652,263