

Influential
BUDGET LAND GRANT RESEARCH
FACULTY & STAFF
OUTREACH
ENROLLMENTS
SERVICE Retention
Degrees
COMPETITIVE
Kentuckians
Endowment

Fact Booklet 2003-2004

UK
UNIVERSITY
OF KENTUCKY

January 2004

In compliance with KRS 164.250, I am pleased to provide you with the 2003-2004 Fact Booklet, a compendium of current facts about the people and programs at the University of Kentucky. The fact booklet contains statistics on enrollments, retention, research expenditures, faculty salaries, and many other items of interest to our stakeholders and the general public. Also included is a summary of the 2003-2004 Operating Budget.

During the past year, the University of Kentucky has established ambitious goals in its quest to become one of the 20 best public research universities in the nation. In June 2003, the Board of Trustees approved the University's new strategic plan—*The Dream & the Challenge*. The new blueprint will guide the institution's strategic initiatives for a three-year period. The relatively short timeframe will enable faculty, staff and administrators to respond flexibly to the demands of a rapidly changing global environment.

One of our most important strategic goals is to attract and graduate outstanding students. Last fall, over one-third of our freshman class presented a high school GPA of 3.8 or higher. The number of Governor's Scholars enrolled at the University has tripled since 2000. And this year's graduation rate increased for the sixth consecutive year and set a new university record.

The University of Kentucky's research efforts have expanded considerably since the passage of the Postsecondary Education Improvement Act commonly referred to as "House Bill 1" in 1997. With intensive, focused effort and support from the Council on Postsecondary Education's Research Challenge Trust Funds, total research and development expenditures increased from \$161 million in 1998 to \$236 million in 2002, a 47 percent increase. The university is currently ranked 36th among public institutions in total research and development expenditures, up from 46th in 1997.

One of our great concerns is our ability to provide competitive salaries to attract and retain distinguished faculty. In 2002-03, the average salary paid to our faculty was the lowest of our benchmark institutions. We simply must find ways to increase faculty salaries even during these difficult fiscal times.

To provide the space needed for our teaching, research and service programs, several new facilities are now under construction—the Biomedical/Biological Sciences Research Building, the Linda and Jack Gill Building, the Center for Pharmaceutical Science and Technology, and the UK Center for Rural Health. In addition, the University's first new residence halls in 30 years are under construction and expected to accommodate students by fall 2005. Reconstruction of the Main Building continues in the aftermath of the devastating fire in 2001.

I have previously noted that national recognition is not an end in itself; rather, it is a product of excellence in fulfilling our mission and serving the Commonwealth. The University of Kentucky is committed to discovering, sharing and applying new knowledge to improve the lives of those living in Kentucky and the world outside our borders.

Sincerely,



Lee T. Todd, Jr.
President

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VISION

The University of Kentucky will be one of the nation's 20 best public research universities, an institution recognized world-wide for excellence in teaching, research, and service and a catalyst for intellectual, social, cultural, and economic development.

MISSION

The University of Kentucky is a public, research-extensive, land-grant university dedicated to enriching people's lives through excellence in teaching, research, and service.

The University of Kentucky:

- Facilitates learning, informed by scholarship and research.
- Expands knowledge through research, scholarship and creative activity.
- Serves a global community by disseminating, sharing and applying knowledge.

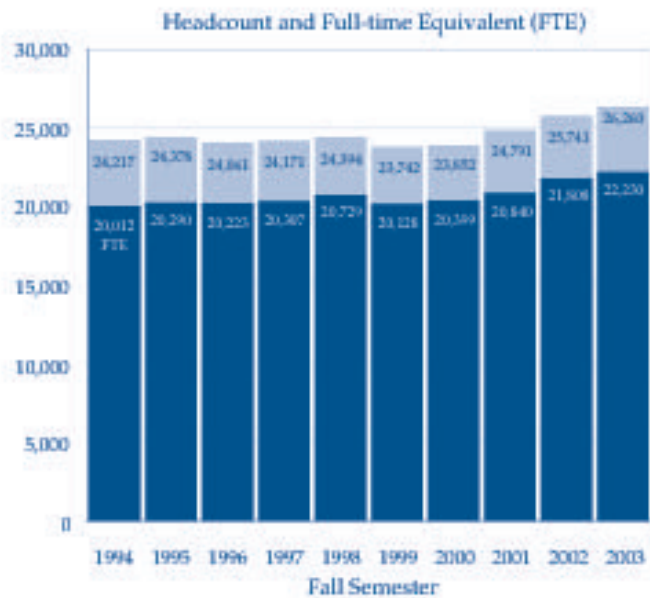
The University, as the flagship institution, plays a critical leadership role for the Commonwealth by promoting human and economic development that improves lives within Kentucky's borders and beyond. The University models a diverse community characterized by fairness and social justice.

– Adopted by the Board of Trustees, April 1, 2003

ENROLLMENT - University of Kentucky

Fall 2003 Headcount

Level	Full-time	Part-time	Total
Undergraduate	16,277	1,913	18,190
Graduate	3,116	2,765	5,881
Postdoctoral	284	0	284
First Professional	1,375	33	1,408
House Staff	497	0	497
Total	21,549	4,711	26,260



ENROLLMENT - Lexington Community College

Fall 2003 Headcount

<u>Level</u>	<u>Full-time</u>	<u>Part-time</u>	<u>Total</u>
Associate	5,439	3,233	8,672



Headcount and Full-time Equivalent (FTE)



ENROLLMENT - Fall 2003 at a Glance

	UK	% of Total	LCC	% of Total
Men	12,448	47.4	3,763	43.4
Women	13,812	52.6	4,909	56.6
Total	26,260	100.0	8,672	100.0

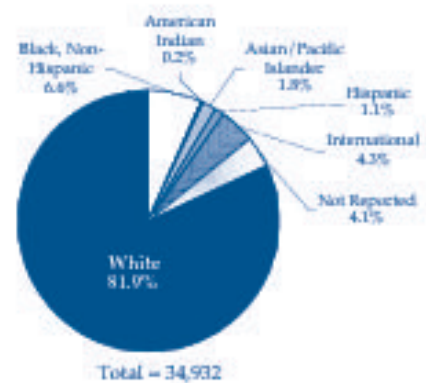
Full-time	21,549	82.1	5,439	62.7
Part-time	4,711	17.9	3,233	37.3
Total	26,260	100.0	8,672	100.0

Resident	20,960	79.8	8,381	96.6
Non-resident	5,300	20.2	291	3.4
Total	26,260	100.0	8,672	100.0

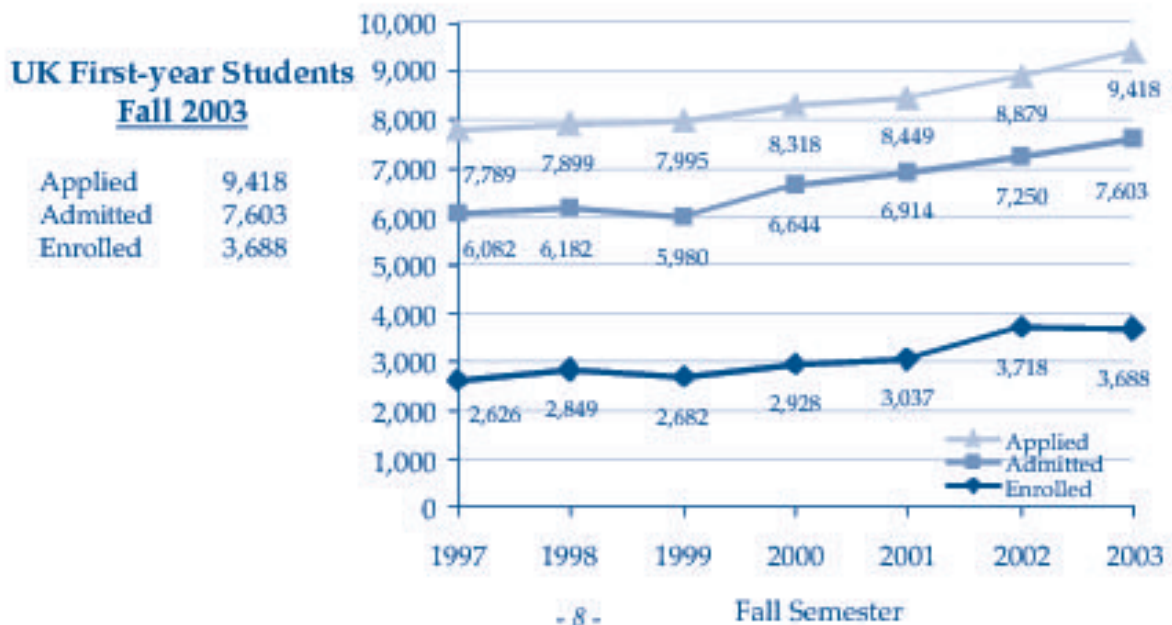
	UK	% of Total	LCC	% of Total
Freshmen	4,938	18.8	4,486	51.7
Sophomores	3,723	14.2	3,431	39.6
Juniors	3,799	14.5		
Seniors	5,292	20.2		
UG Non-degree	438	1.7	755	8.7
Subtotal Undergrad.	18,190	69.3	8,672	100.0
Master/Specialist	3,106	11.9		
Doctoral	2,185	8.3		
Grad. Non-degree	590	2.2		
Subtotal Graduate	5,881	22.4	0	0.0
First Professional	1,408	5.4		
House Staff/Post Doc.	781	3.0		
Total	26,260	100.0	8,672	100.0

ENROLLMENT - Fall 2003 by Race/Ethnicity

	First Post House						
	Undergrad.	Grad.	Prof.	Doc.	Staff	LCC	Total
Black, Non-Hispanic	980	320	57	2	13	949	2,321
Amer. Indian/ Alaskan Native	22	14	0	0	0	48	84
Asian/Pacific Islander	301	100	52	18	36	110	617
Hispanic	178	56	8	2	10	114	368
International	212	1,039	10	144	29	77	1,511
Not Reported	368	409	88	81	166	324	1,436
White	16,129	3,943	1,193	37	243	7,050	28,595
Total	18,190	5,881	1,408	284	497	8,672	34,932



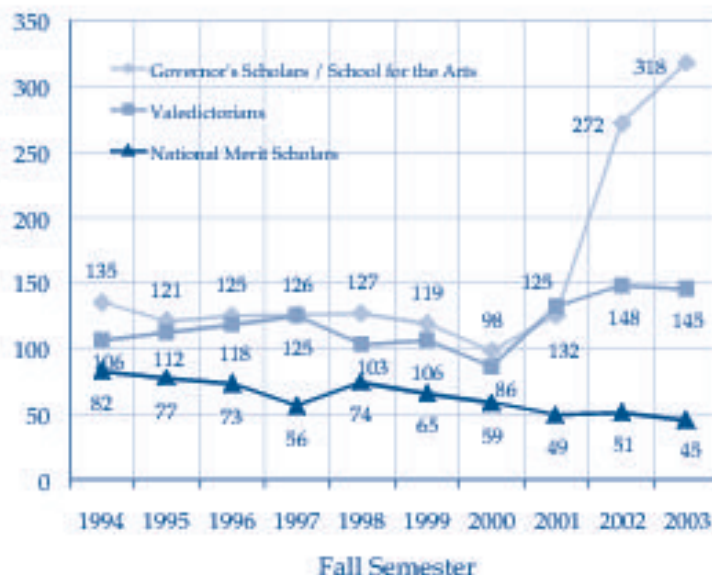
APPLIED, ADMITTED and ENROLLED



FIRST-YEAR STUDENT PROFILE

In fall 2003, the University enrolled 3,688 first-time freshmen, with over one-third presenting a high school GPA of 3.8 or higher, and including:

- 318 Governor's Scholars and Governor's School for the Arts
- 145 High School Valedictorians
- 45 National Merit Scholars



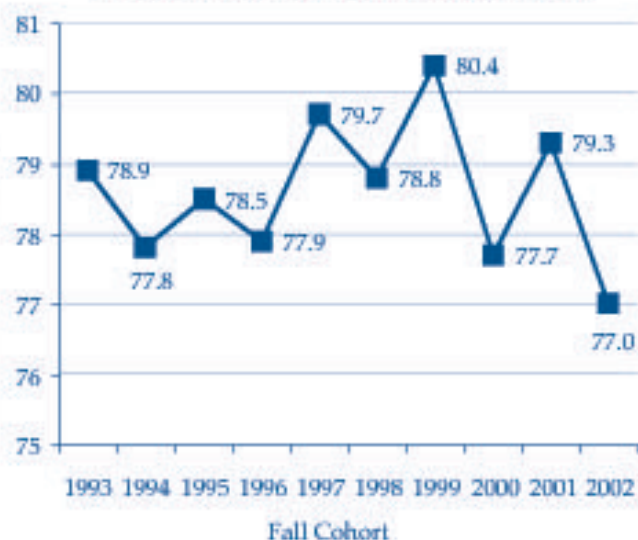
RETENTION RATE

First-to-second Year Retention Rate*

Cohort	Overall	Black, Non-Hispanic Other		
		White	Non-Hispanic	Other
2002	77.0	77.3	78.0	71.0
2001	79.3	79.3	77.5	81.1
2000	77.7	77.7	77.7	79.7
1999	80.4	80.7	77.2	78.0
1998	78.8	79.0	80.3	71.5
1997	79.7	80.1	79.7	70.9
1996	77.9	78.3	73.8	77.0
1995	78.5	79.1	72.8	76.5
1994	77.8	78.0	71.9	82.4
1993	78.9	79.3	72.1	80.6

*Retention rates apply to first-time, full-time, degree-seeking students.

First-to-second Year Overall Retention Rate



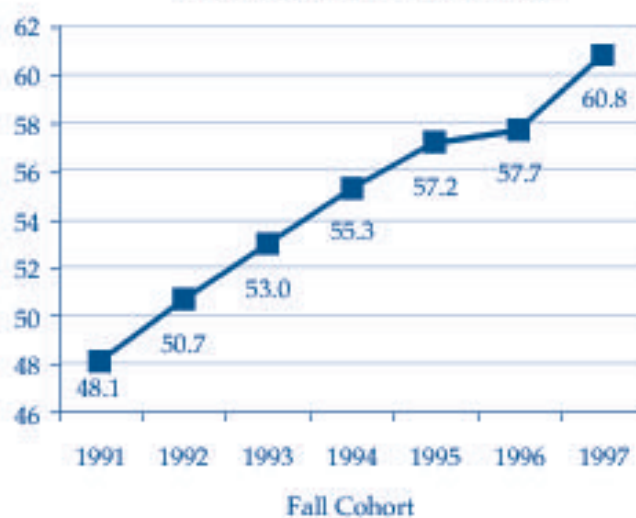
GRADUATION RATE

Six-year Graduation Rate*

Cohort	Overall	White	Black, Non-Hispanic	Other
1997	60.8	61.9	49.5	58.1
1996	57.7	59.1	43.2	53.9
1995	57.2	58.2	38.5	63.2
1994	55.3	57.1	35.4	48.2
1993	53.0	54.8	32.5	52.3
1992	50.7	52.0	36.1	46.6
1991	48.1	49.3	34.4	45.9

*Fall 1997 cohort numbers are preliminary.

Six-year Overall Graduation Rate

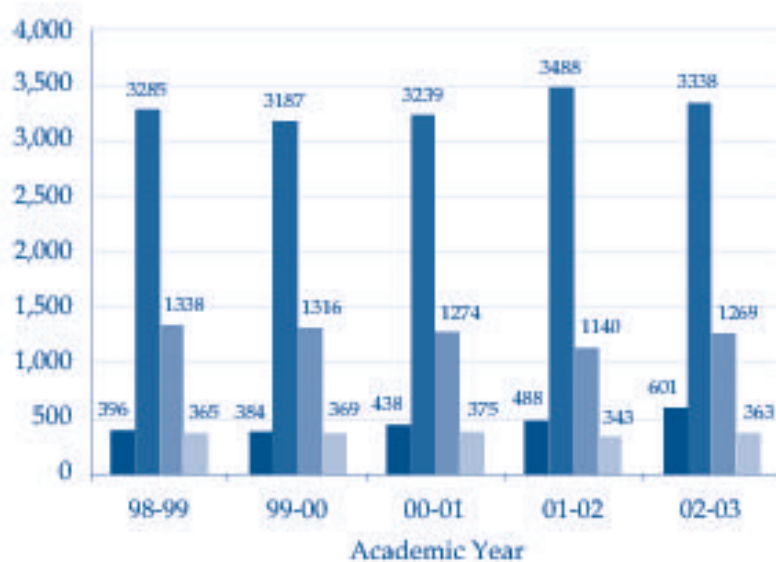


DEGREES CONFERRED

2002-2003

■ Associate*	601
■ Baccalaureate	3,338
■ Graduate	1,269
■ First Professional	363

* Degrees awarded by Lexington Community College



DEGREES CONFERRED - By College

2002-2003

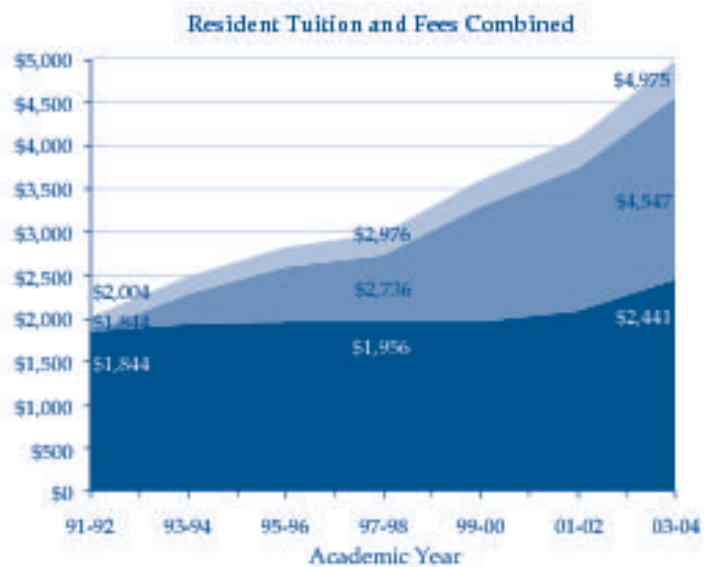
College	Assoc.	Bacc.	Mast.*	Doc.	First Prof.	College	Assoc.	Bacc.	Mast.*	Doc.	First Prof.
Agriculture	-	213	60	16	-	Health Sciences	-	105	93	-	-
Arts & Sciences	-	782	133	68	-	Human Env. Sciences	-	168	13	-	-
Business & Economics	-	802	130	11	-	Law	-	-	-	-	126
Comm. & Info. Studies	-	331	76	13	-	Lex. Comm. College	601	-	-	-	-
Dentistry	-	-	6	-	52	Medicine	-	-	35	21	88
Design	-	83	2	-	-	Nursing	-	76	30	6	-
Education	-	242	224	30	-	Pharmacy	-	-	-	6	97
Engineering	-	338	87	16	-	Social Work	-	75	83	-	-
Fine Arts	-	123	24	8	-						
Grad. School	-	-	65	13	-	Total	601	3,338	1,061	208	363

* Includes Specialist degrees

ANNUAL TUITION and FEES

2003-2004

<u>Resident</u>		
	<u>Tuition</u>	<u>Fees</u>
□ Graduate	\$ 4,430	\$ 545
■ Undergraduate	4,002	545
■ LCC	1,896	545
<u>Non-resident</u>		
	<u>Tuition</u>	<u>Fees</u>
Graduate	\$ 11,770	\$ 545
Undergraduate	10,682	545
LCC	6,970	545



FACULTY and STAFF

<u>2002-2003</u>	UK				LCC	
	<u>Educational & General</u>	<u>Hospital & Auxiliary</u>	<u>Total</u>	<u>%Total</u>	<u>Total</u>	<u>%Total</u>
<u>Full-time Employees</u>						
Exec./ Admin./ Managerial	305	94	399	3.6	10	3.8
Faculty	1,901	0	1,901	17.3	157	58.8
Other Professional	2,351	1,645	3,996	36.4	48	18.0
Secretarial/ Clerical	1,339	740	2,079	18.9	42	15.7
Tech./ Paraprofessional	804	292	1,096	10.0	2	0.8
Skilled Crafts	200	24	224	2.0	2	0.8
Service/ Maintenance	882	416	1,298	11.8	6	2.3
Total	7,782	3,211	10,993	100	267	100

FACULTY and STAFF - By Race/Ethnicity/Gender

UK
2002-2003

Full-time Employees	Black, Non-Hispanic	American Indian/ Alaskan Native	Asian/ Pacific Islander	Hispanic	White	Female	Male
Exec./ Admin./ Managerial	13	0	4	2	380	168	231
Faculty	66	2	156	24	1,653	597	1,304
Other Professional	159	4	143	18	3,672	2,667	1,329
Secretarial/ Clerical	279	0	22	4	1,774	1,877	202
Tech./ Paraprofessional	108	1	51	5	931	643	453
Skilled Crafts	37	0	3	0	184	5	219
Service/ Maintenance	457	0	18	6	817	563	735
Total	1,119	7	397	59	9,411	6,520	4,473

FACULTY - By Race/Ethnicity/Gender

UK

Tenured and Tenure-track Faculty

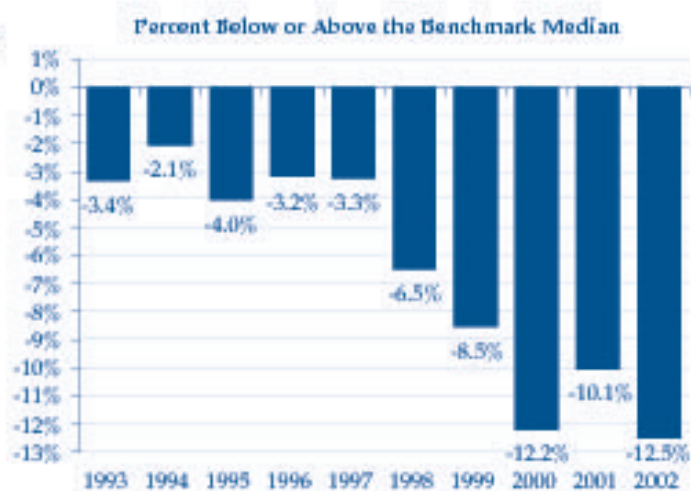
2002-2003

Full-time Faculty	Black, Non-Hispanic		American Indian/ Alaskan Native		Asian/ Pac. Islander		Hispanic		White	
	M	F	M	F	M	F	M	F	M	F
Professors	7	5	0	0	40	6	4	0	484	81
Associate Professors	20	11	1	0	31	7	2	2	336	180
Assistant Professors	4	11	1	0	33	9	7	4	200	134
Instructors	0	0	0	0	0	1	0	0	2	10
Total	31	27	2	0	104	23	13	6	1,022	405

FACULTY SALARIES - University of Kentucky

<u>Fall</u>	<u>All-ranks Average Salary</u>	<u>Benchmark Median Salary</u>
2002	\$ 66,953	\$ 76,547
2001	66,713	74,184
2000	64,842	73,892
1999	62,314	68,138
1998*	60,714	64,954*
1997	58,660	60,644
1996	57,024	58,916
1995	55,297	57,617
1994	53,750	54,899
1993	52,152	53,962
1992	50,602	52,235

* Using a revised set of benchmark institutions

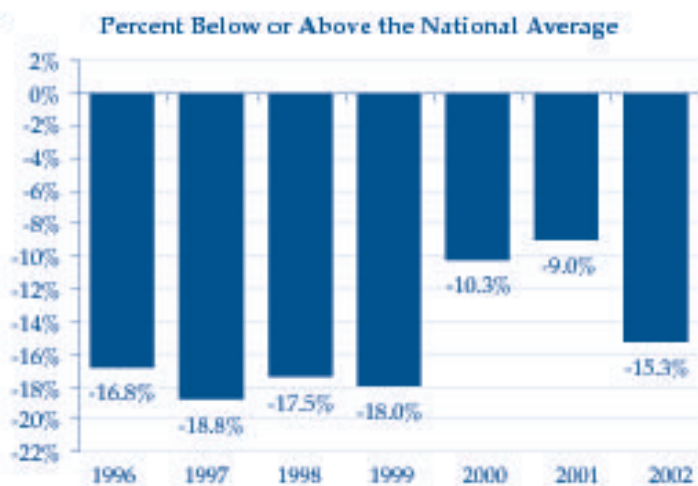


FACULTY SALARIES - Lexington Community College

Fall	All-ranks Average Salary	National Average Salary**
2002	\$ 43,903	\$ 51,824
2001	43,529	47,834
2000	41,622	46,394
1999	38,115	46,484
1998	37,683	45,653
1997	35,520 *	43,760
1996	35,768	43,016
1995	33,251	41,640

* Prior to fall 1997, the All-ranks Average Salary was computed for the Community College System.

** AAUP National All-Ranks Average Salary for Community Colleges



REVENUE (In Millions)

Source of Funds	Revised Budget	Budget
	2002-03	2003-04
State Appropriation	\$ 313.4	\$ 308.5
Tuition and Fees	139.9	157.2
County Appropriations	9.9	9.9
Endowment and Investment Income	11.6	7.2
Gifts, Grants and Contracts	107.0	107.4
Sales and Services of Educational Activities	32.3	33.5
Transfers	11.9	7.3
Fund Balances	29.0	20.8
Restricted Funds	102.6	112.1
Auxiliary Enterprises	43.3	48.7
Affiliated Corporations	232.1	260.0
Hospital	338.7	319.0
Total	\$ 1,371.7	\$ 1,391.6



EXPENDITURES (In Millions)

Program	Revised Budget	
	2002-03	Budget 2003-04
Instruction	\$ 273.7	\$ 275.9
Research	208.6	230.7
Public Service	170.9	172.2
Libraries	22.5	22.1
Academic Support	61.1	54.2
Student Services	21.1	23.5
Institutional Support	54.7	60.0
Operations and Maintenance	49.0	45.9
Student Financial Aid	65.0	72.8
Auxiliary Enterprises		
Operations	79.0	90.2
Mandatory Transfers		
(Debt Service)	24.7	22.1
Hospital	341.4	322.0
Total	\$ 1,371.7	\$ 1,391.6

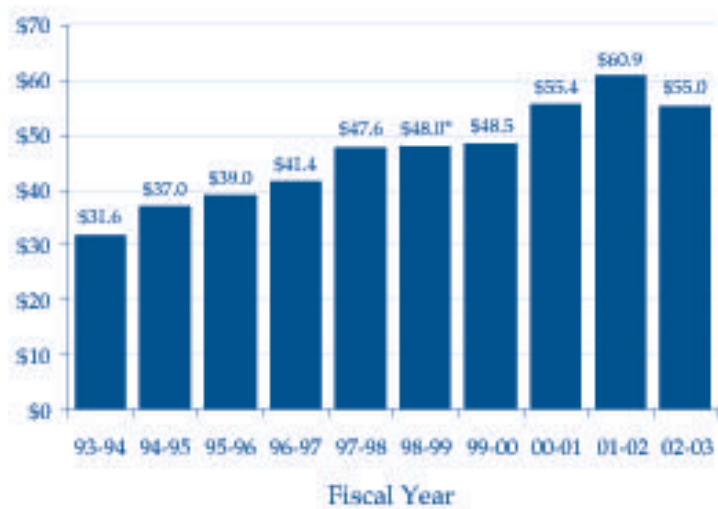
Category	Revised Budget	
	2002-03	Budget 2003-04
Personnel Costs	\$ 785.3	\$ 792.2
Operating Expenses	518.4	524.6
Capital Outlay	37.3	46.7
Mandatory Transfers		
(Debt Service)	30.7	28.1
Total	\$ 1,371.7	\$ 1,391.6

PRIVATE GIVING (In Millions)

2002-2003

Alumni	\$ 15.8
Non-alumni	8.8
Corporations	14.8
Foundations	10.8
Trusts/Associations	4.8
Total	\$ 55.0

* Beginning in 98-99, Private Giving no longer includes the Community College System, except LCC.



GRANT and CONTRACT AWARDS (In Millions)

2002-2003

By Category of Support	
Instruction	\$ 9.1
Research	165.3
Public Service	46.4
Other	1.9
Total	\$ 222.7

By Source	
Federal	59%
State	24%
Business, Industry, Other	17%

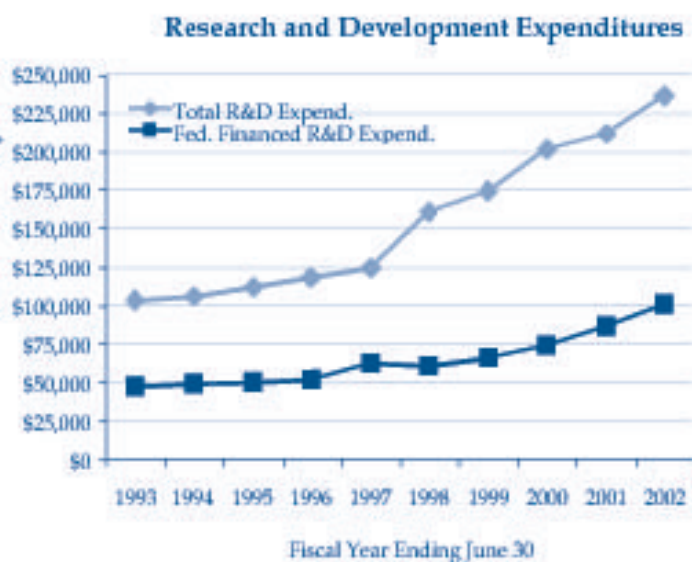


*Beginning in 98-99, Grant and Contract Awards no longer includes the Community College System, except LCC.

RESEARCH and DEVELOPMENT (In Thousands)

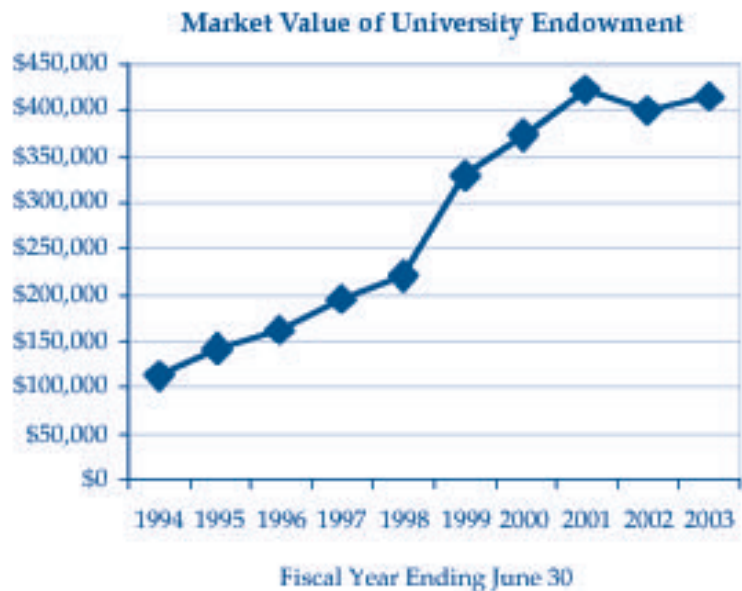
Fiscal Year	Total R&D Expenditures*	Federally Financed R&D Expenditures*
2002	\$ 236,275	\$ 100,426
2001	211,721	86,239
2000	202,392	73,858
1999	174,034	66,184
1998	161,346	60,760
1997	124,804	62,128
1996	118,721	51,450
1995	111,934	50,014
1994	105,539	48,801
1993	102,911	46,774

*as reported to the National Science Foundation

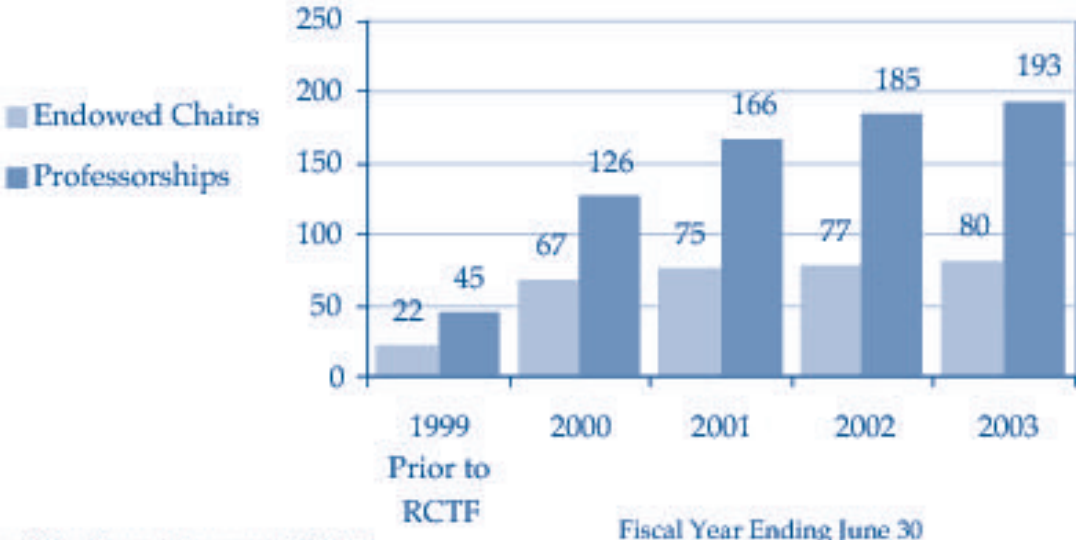


UNIVERSITY ENDOWMENT (In Thousands)

Fiscal Year	Market Value of Univ. Endow.
2003	\$ 414,328
2002	399,030
2001	420,838
2000	371,373
1999	329,543
1998	219,740
1997	195,091
1996	161,377
1995	141,616
1994	112,390



ENDOWED CHAIRS and PROFESSORSHIPS



Source: Office of Research Assessment, 11/18/03

NEW FACILITIES

<u>Under Construction</u>	<u>Scope</u>	<u>Funding Source</u>	<u>Anticipated On-line Date</u>
Biomedical/Biological Sciences Research Building	\$ 73,590,000	State/ Agency	Fall 2004
Center for Pharmaceutical Science & Technology	12,000,000	State/ Agency	Spring 2005
Linda and Jack Gill Building	27,200,000	Agency/Private	Spring 2004
Main Building Reconstruction	16,075,000	Agency	Fall 2004
Student Housing Facilities	46,000,000	Agency	Fall 2005
UK Center for Rural Health	13,100,000	State/Private/Agency	Spring 2004
<u>In Planning and Design</u>			
Construct Parking Structure	\$ 16,280,000	Agency	Fall 2005

LAND and SPACE*

2002-2003

	UK	LCC	Other**	Total
Acreage***	780	-	23,746	24,526
Assignable Square Footage in Buildings***				
Classroom	215,851	42,619	2,854	261,324
Laboratory	1,041,424	43,473	84,123	1,169,020
Office	1,516,398	47,772	48,971	1,613,141
Study	414,417	6,463	2,148	423,028
Special	697,421	490	510,263	1,208,174
General	621,711	5,782	155,614	783,107
Support	1,923,098	1,904	246,692	2,171,694
Health Care	444,716	-	2,036	446,752
Residential	1,219,802	-	171,456	1,391,258
Unclassified	135,644	-	103,375	239,019
Total	8,230,482	148,503	1,327,532	9,706,517

* Excludes space provided at no cost; includes leased space

** Includes research farms, Robinson Forest, substations, 4-H camps, and Adena Park

*** Source: Fall 2002 Physical Facilities and Land Inventory

GENERAL EQUIPMENT INVENTORIES

(In Millions)

	Inventory 6/30/02		Net Change		Inventory 6/30/03	
	UK	LCC	UK	LCC	UK	LCC
Ed. and General						
Educational Aids	\$ 62.7	\$ 0.9	\$ (1.0)	\$ -	\$ 61.7	\$ 0.9
Research	63.2	-	4.3	-	67.5	-
Computing	54.4	2.4	1.4	0.2	55.8	2.6
Communications	5.6	-	1.3	-	6.9	-
Office	12.1	0.6	(0.3)	(0.1)	11.8	0.5
Other	19.8	-	0.6	-	20.4	-
Subtotal	\$ 217.8	\$ 3.9	\$ 6.3	\$ 0.1	\$ 224.1	\$ 4.0
Hospital	92.1	-	(2.8)	-	89.3	-
Aux. Enterprises	22.4	-	0.6	-	23.0	-
Total	\$332.3	\$ 3.9	\$ 4.1	\$ 0.1	\$ 336.4	\$ 4.0

LIBRARY COLLECTIONS

2002-2003

	<u>UK</u>	<u>LCC</u>
Volumes Held	3,053,726	38,692
Current Periodical Titles	30,053	219
Microforms	6,315,243	1,620
Audio-visual Materials	87,775	3,179



In addition to the above, the Library's collections include 236,754 maps; 5,750 Oral History program interviews; 226 current state, national and international newspapers; the University Archives and Records Program; Audio-visual Archives; the Wendell H. Ford Research Center and Public Archives; the Bert T. Combs Appalachian Collection, including the Appalachian Regional Commission Archives; and the W. Hugh Peal Collection of 19th century English and related literature. The library is a regional depository for U.S. government publications and is also a depository for European Communities and Canadian publications, British Parliamentary Papers, Kentucky government publications, and technical reports from federal agencies, all selectively collected. Numerous CD ROM and online databases are accessible in the main and branch libraries. Library sites include the William T. Young Library and 15 branch libraries. The Voyager library system provides an online catalog for the holdings of all university libraries.

BENCHMARKS

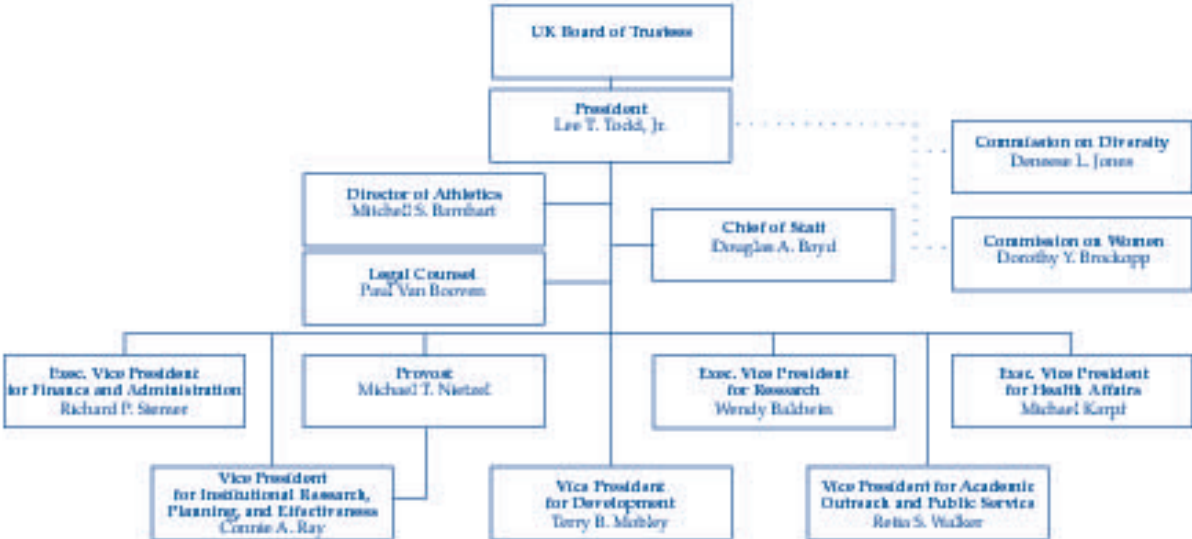
UK Benchmark Institutions

North Carolina State University
Ohio State University
Pennsylvania State University
Purdue University
Texas A&M University
University of Arizona
University of California - Los Angeles
University of Florida
University of Georgia
University of Illinois - Urbana-Champaign
University of Iowa
University of Maryland - College Park
University of Michigan - Ann Arbor
University of Minnesota - Twin Cities
University of North Carolina - Chapel Hill
University of Texas - Austin
University of Virginia
University of Washington
University of Wisconsin - Madison

LCC Benchmark Institutions

Baltimore City Community College
Bunker Hill Community College
Dutchess Community College
El Centro College
Evergreen Valley College
Frederick Community College
Hudson County Community College
J. Sargeant Reynolds Community College
Jefferson State Community College
Kapiolani Community College
Manatee Community College
Midlands Technical College
Normandale Community College
Mississippi State Technical Community College
Polk Community College
Prairie State College
Southwest Tennessee Community College
South Puget Sound Community College
Tacoma Community College

ADMINISTRATIVE ORGANIZATION



BOARD of TRUSTEES

Marianne Smith Edge	Alumni Member	(2006)	C. Frank Shoop	Lexington	(2008)
James E. Hardymon	Lexington	(2009)	Marian Moore Sims	Alumni Member	(2004)
Davy Jones	Faculty Member	(2004)	Alice Stevens Sparks	Crescent Springs	(2005)
Michael Kennedy	Faculty Member	(2005)	Myra Leigh Tobin	Alumni Member	(2008)
Pamela R. May	Pikeville	(2007)	Rachel Lee Watts	Student Member	(2004)
Robert P. Meriwether	Paducah	(2004)	JoEtta Y. Wickliffe	Harrodsburg	(2009)
Billy Joe Miles	Owensboro	(2007)	Billy B. Wilcoxson	Lexington	(2008)
Phillip R. Patton	Glasgow	(2009)	Russ Williams	Staff Member	(2004)
Elissa Plattner	Camp Springs	(2004)	Elaine A. Wilson	Somerset	(2005)
Steven S. Reed	Louisville	(2006)	Barbara S. Young	Lexington	(2007)

ADMINISTRATIVE HISTORY

1865 Agricultural and Mechanical College (A&M) established as part of Kentucky University	1956 Frank G. Dickey became President	1969 College of Social Work established; Otis A. Singletary became President
1869 James K. Patterson became President of A&M	1957 Ashland Extension Center established	1970 College of Library and Information Science established
1878 A&M separated from Kentucky University	1960 Medical Center established; College of Med. admitted first class; College of Nursing admitted first class; Henderson Extension Center and Southeast Extension Center established	1976 College of Communications and College of Fine Arts established
1882 A&M moved to current location in Lexington	1962 College of Dentistry admitted first class; University Hospital opened	1982 University reorganized with a Central Administration and three sectors headed by Chancellors (Lexington Campus, Medical Center, and Comm. College System)
1885 Ag. Experiment Station opened	1963 John W. Oswald became President	1984 ETI became Lexington Comm. College
1889 College of Agriculture established	1964 Community College System established; Elizabethtown Comm. College and Princeton Comm. College established; College of Architecture established	1986 Owensboro Comm. College established
1908 College of Arts and Sciences and College of Law established; A&M name changed to "State University, Lexington, Kentucky"	1965 Hopkinsville Comm. College and Somerset Comm. College established; Lexington Technical Institute (LTI) established	1987 David P. Roselle became President
1909 University Library established	1966 College of Allied Health Professions established	1990 Charles T. Worthington, Jr. became President
1911 Henry S. Barker became President of State University	1967 College of Home Economics established	1995 College of Communications and Information Studies established
1916 State University renamed University of Kentucky	1968 Albert D. Kierwan became President; Jefferson Comm. College, Paducah Comm. College, Hazard Comm. College, Maysville Comm. College, and Madisonville Comm. College established	1998 William T. Young Library opened; All Comm. Colleges (except LCC) transferred to the KCTCS
1917 Frank L. McVey became President of University of Kentucky; Graduate School established		2001 Leo T. Todd, Jr. became President; University reorganized with a Provost model
1918 College of Engineering established		2002 College of Design established
1923 College of Education established		
1925 College of Business and Econ. established		
1941 Herman L. Donovan became President		
1947 College of Pharmacy moved to University of Kentucky		

VALUES

The values of the University guide our decisions and behavior.

Our core values are:

- *Integrity*
- *Academic excellence and freedom*
- *Mutual respect and human dignity*
- *Diversity of thought, culture, gender, and ethnicity*
- *Personal and institutional responsibility and accountability*
- *Shared governance*
- *A sense of community*
- *Sensitivity to work-life concerns*
- *Civic responsibility*

-- Adopted by the Board of Trustees, April 1, 2003

Research Highlights

- UK researchers brought in a record \$222.7 million in outside funding for grants and contracts in FY 03, a 74 percent increase since 1997.

- Research grants and contracts from out-of-state sources accounted for a total 7,633 jobs in the Commonwealth—5,825 jobs at UK and additional jobs throughout the state due to spending from supported research activities.

- UK has 267 active patents, primarily in pharmaceuticals, plant biotechnology, and biomedical engineering; 27 patents were issued in FY 03.

- UK is ranked 36th among public research universities in research and development expenditures.

An Equal Opportunity University

Published by the UK Office of Institutional Research

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