

## AGENDA

**Meeting of the Board of Trustees  
University of Kentucky  
1:00 P.M.  
January 20, 1998**

**Invocation**

**Roll Call**

**Approval of Minutes**

### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. College of Education Report
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. Acceptance of Report of the Task Force on Research and Graduate Education
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
  - A. New Master of Education Degree Program in School Administration
- PR 6 Medical Center (No items to report)

### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 1997
- 2. Report of Leases
- 3. Patent Assignment Report
- 4. Edward L. Clemons Pledge of \$1,000,000
- 5. R. C. Durr Gift of \$300,000
- 6. Jack M. and Linda C. Gill Gift and Pledge
- 7. Good Samaritan Foundation, Inc., Gift and Pledge
- 8. Henderson Community Methodist Hospital Pledge
- 9. KFC Corporation Gift of \$250,000

### **Ad Hoc Committee on Transition of Community Colleges**

- 1. Report of Ad Hoc Committee

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, January 20, 1998.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, January 20, 1998 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:06 p.m., and the invocation was pronounced by Mr. Steven Reed.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Ms. Melanie Cruz, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardymon, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Dr. Elissa Plattner, Mr. Steven S. Reed, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Dr. W. Grady Stumbo, Mr. Martin Welenken, and Ms. JoEtta Y. Wickliffe. Absent from the meeting were Mrs. Kay Shropshire Bell and Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, George DeBin and Eugene Williams; Ben W. Carr, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:09 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on December 9, 1997 had been distributed and asked for any additions or corrections. Mr. Welenken moved that the Minutes be approved as distributed. Mr. Chellgren seconded the motion, and it carried.



D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The grand opening of the William T. Young Library is scheduled for April 3. The featured speaker will be the Reverend Theodore M. Hesburgh, President Emeritus of the University of Notre Dame. President Wethington announced that he planned to have a tour of the new facility for the Board on March 3rd.
2. The UK cheerleaders won their fourth straight Universal Cheerleaders Association national title in Orlando, Florida. This is UK's eighth UCA national title.
3. UK researchers have identified an enzyme that protects nerve cells from strokes and Alzheimer's disease. President Wethington said that this is an indication of the continuing strong work being done by the Center on Aging at the University of Kentucky, one of the university's "targets of opportunity" in the research program.
4. UK engineering program classes began this semester at Paducah Community College after five years of effort and more than \$8 million in private fund-raising. This is an engineering program that is being delivered by the College of Engineering at the University of Kentucky in Lexington.
5. The UK Institute on Education Reform and the Kentucky Collaboration for Teaching and Learning will share a \$275,000 grant from the Knight Foundation to help education reform efforts.

President Wethington invited the members to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. The motion, seconded by Mr. Welenken, carried. (See PR 2 at the end of the Minutes.)

F. Acceptance of Report of the Task Force on Research and Graduate Education (PR 3A)

President Wethington said that PR 3A is the report from the Task Force on Research and Graduate Education chaired by Professor Dan Reedy, who is a member of the Board. He reminded the Board that they had heard about the work of the Task Force during the Board's informal discussion recently and said that the Board knows the magnitude of the task that confronted Professor Reedy and his committee. He asked Professor Reedy to comment on the Report of the Task Force which the Board is being asked to accept at this meeting.

Professor Reedy expressed appreciation for the opportunity to be able to carry out the assignment which began approximately six months ago. He congratulated President Wethington for requiring that this be a grassroots process because there was considerable need to have broad input from faculty, research staff and administrators in completing the assignment. He said that the report identifies some of the major research and graduate education strengths within the University, those that now have national stature or with proper investment capable of achieving national stature. He stated that the report certainly does not preclude other groups from being included in the future. The committee was mindful of the responsibility of the University to the Commonwealth and to its constituencies, and certainly mindful of the university's identity as a land-grant institution and as a Carnegie Foundation Research I University.

Professor Reedy said that the committee hopes that these recommendations will be helpful to the administration in carrying out some of the initiatives that not only will meet the five-year Strategic Plan goals but will also provide a process that with refinement can be a model for the next twenty years to carry out the university's mandate to move into top twenty status as a public research university. He said that he believed the faculty made the right decisions in the report. He thanked President Wethington and Governor Breathitt for the opportunity to be involved in the report.

President Wethington commended Professor Reedy for his leadership in carrying out the charge to the committee. Reviewing all of the research and graduate programs in the institution and making recommendations singling out a certain number of programs was an extremely difficult task. He said Professor Reedy carried out the assignment exceedingly well. He commended Professor Reedy, the faculty, and the staff who gave input into the process. The task involved virtually every department and faculty member in the institution that chose to be involved. The process identified "targets of opportunity" programs that have national stature today and others which are emerging and can have national stature in the future. He reiterated that this list does not imply that there will not, should not, or could not be additions to it.

He emphasized that the work that has been done is important. The Task Force Report will now go to the university's strategic planning committee for consideration as the next version of the Strategic Plan is completed, and the Board will see a draft of the Strategic Plan in the next few months. This report is setting the stage for the next five years which is the first part of the next twenty-two years that are to take the University of Kentucky to top twenty public research university status.

President Wethington asked the Board to accept the report and authorize the administration to proceed with a proposal to the Council on Postsecondary Education (CPE) for access to the \$4 million in funds which are currently being held by CPE and the Research Incentive Fund. This report will be a working document which will be used as the Strategic Plan is prepared for the next five years and as proposals are submitted to the CPE for funding. President Wethington said he was pleased to recommend approval of PR 3A.

Governor Breathitt expressed his appreciation to Professor Reedy and to the members of the faculty for their hard work in preparing the report. He said the Board members have an obligation to read the report and understand it. Governor Breathitt entertained questions from the Board members and a discussion about the report followed. On motion made by Professor Reedy, seconded by Professor Mather and passed, PR 3A was accepted. (See PR 3A at the end of the Minutes.)

G. New Master of Education Degree Program in School Administration (PR 5A)

President Wethington recommended that the Board approve the Master of Education degree program in School Administration. He reported that the program has been approved by the College of Education and the Senate Council and has been circulated to the University Senate. He explained the 10 day period for program proposals to be circulated to the University Senate and indicated that he did not anticipate any difficulties with the proposal. With the University Senate and the Board's approval, the program will be forwarded to the Council on Postsecondary Education. He noted that the new program needs to go into effect the Fall semester 1998. Professor Mather moved approval of PR 5A subject to approval by the University Senate. His motion, seconded by Mr. Shoop, carried. (See PR 5A at the end of the Minutes.)

H. College of Education Report

President Wethington said that he had asked Dean Shirley Raines of the College of Education to make a report to the Board. He asked Chancellor Zinser to introduce Dean Raines.

Chancellor Zinser said she was very pleased to have the Board invite the various deans to make presentations at the Board meetings. She said it was her pleasure to introduce Dean Shirley C. Raines. She briefly reviewed Dean Raines credentials and said Dean Raines is highly regarded within her profession.

Dean Shirley C. Raines presented an overview of the history of the College of Education, current status, and future possibilities. 1998 is the 75th anniversary of the College of Education, which was organized in 1923 when William Septimus Taylor was named Dean of the College. While 1923 marks the date for the organization of the College, the University of Kentucky has been preparing teachers for the schools of the Commonwealth since 1880 when the "Normal School" was established. In 1908, the University organized a Department of Education and in 1909, the College was called a "Teachers' College."

Dean Raines indicated that there are five living deans of the College of Education residing in Lexington. They are: Frank Dickey, who is also a past President of the University of Kentucky; Lyman Ginger, who is also a past Superintendent of Public Instruction; Dean Edward Sagan, who is presently serving as the Interim Dean of Social Work; Dean J. John Harris, III, the first African-American dean appointed at the University of Kentucky and who continues on the faculty in the Department of Educational Administration and Supervision and in African-American studies; and Shirley Raines, the seventh dean and the first woman dean of the College of Education.

The three major buildings which house the College of Education faculty and classrooms are: Dickey Hall, built in 1965; Taylor Education Building, built in 1930; and Seaton Center, completed in 1964. Additional space is used in Barker Hall, Wenner-Gren Laboratory, and Maxwellton Court.

The College was reorganized in 1994 from eight departments into six. Educational Administration and Supervision offers graduate degrees and certification programs which prepare principals, superintendents, and instructional leaders. The Department of Curriculum and Instruction offers undergraduate and graduate degrees and prepares teachers and instructional technology leaders in elementary, middle school, and secondary education. Educational and Counseling Psychology has three graduate degree programs in the areas of counseling, school, and educational psychology. The Department of Educational Policy Studies and Evaluation Studies offers doctoral degrees in the areas of Educational Policy Studies and Higher Education. Kinesiology and Health Promotion offers undergraduate and graduate degrees in physical education, exercise science, and health promotion. The Department of Special Education and Rehabilitation Counseling provides degrees and certification programs at the undergraduate, graduate, and post-doctoral levels in the two major areas of Special Education and Rehabilitation Counseling, which focus on services to children and adults with disabilities.

The Department of Special Education and Rehabilitation Counseling was selected as a "target of opportunity," based on their present national ranking in the top twenty and the amount of extramural funding. The department is a leader in distance learning and continuing education for teachers and administrators. They are known for innovative course development, including the first Web-based course taught in the College.

The College of Education also offers joint programs with the College of Human Environmental Sciences in the area of early childhood education. Certification programs are also offered with agriculture, art, home economics, and music education.

National accreditations include the National Council for the Accreditation of Teacher Education, the American Psychological Association, the Council for Rehabilitation Education, North American Society for Sports Management, the National Association for Sport and Physical Education, and the University Council for Education Administrators.

The College operates a number of clinics and laboratories through which we provide services to citizens and hand-on experiences for students enrolled in programs. Some of the clinics and laboratories are: the Reading Clinic, Counseling Psychology Clinic, School Psychological Assessment Clinic, Assistive Devices Laboratory, Educational Assessment Service, Human Performance Laboratory and Biodynamics Laboratory.

There are 96 faculty members in the College of Education, 27 full professors, 40 associate professors, 26 assistant professors, one visiting, one research title, and one educator-in-residence. The educator-in-residence is a teacher who joins the faculty for two years to teach and assist with program revisions in a special area. The present educator-in-residence is Alyce Emerson, a middle school teacher from Tates Creek. There are 51 men, 45 women, and 14 African-Americans. The College of Education has the greatest number of minority faculty of any college on campus.

The College of Education faculty have won some of the most distinguished honor awards in education. They include two Fulbright Scholars, one NASA Fellowship, one American Educational Research Association Fellowships, the Culbertson Award for Educational Administration Research, two national book awards and national editorships of two major journals.

Excellent students chose education as a major in the selective admissions process. Students have an average ACT of 23 and the grade point average of students entering their programs at the junior year from their general studies programs is 3.12. The undergraduate enrollment is approximately 1300 students, with the greatest enrollment in Elementary, followed by Secondary, and Kinesiology and Health Promotion. The areas in which we need more majors to meet the demands of the field are in Special Education and Middle School Education. There is a desperate need for more minority teachers in the

Commonwealth. The college's undergraduate minority enrollment is 7.2%, the highest of any college on campus.

The graduate enrollment in the College of Education is approximately 1200 students, with the greatest enrollment in Curriculum and Instruction, which prepares teachers, followed by Educational Policy Studies and Evaluation, which has a large enrollment from the community colleges, and the third area is Educational Administration and Supervision with principals and superintendents enrolling in courses. The graduate minority enrollment is 8.1%, the highest of any college on campus. The College operates a number of innovative programs including the Teacher Opportunity Program, which selects minority classroom aides and prepares them for teaching positions.

The College of Education awards approximately 220 bachelors, 200 masters, 10 educational specialists, and 30 doctoral degrees per year.

The College has a number of innovative programs offered through distance learning, devised to offer courses and degrees throughout the Commonwealth. The College is the first nationally accredited College in the nation to graduate a person with a doctoral degree earned through distance learning in the area of Educational Administration. It also offers doctoral degree courses in Educational Policy Studies and Evaluation and masters degrees in Special Education and Rehabilitation Counseling. A map of the State of Kentucky was provided with seventeen locations where students can come to receive courses through the KTLN network, primarily at community college sites. A graph of the distance learning enrollment was provided comparing enrollments in 1996 and 1997. The greatest enrollment in courses offered through distance learning is in Educational Administration, followed by Special Education, and Educational Policy Studies and Evaluation.

Technology is changing the way we prepare teachers, develop continuing education for a variety of professions, and support the instruction of students on campus. The College operates five teaching laboratories, requires e-mail communications with students, and provides training in technology uses to faculty, staff, and students. The College has a home page on the World Wide Web, which grows exponentially in the number of contacts throughout the world.

Since the College of Education has major responsibilities to prepare teachers, principals, counselors, instructional leaders, and superintendents for the schools of the Commonwealth, it is the leader in KERA, the Kentucky Education Reform Act. The College operates the Institute on Educational Reform, which conducts research on the preschool, the primary program, and reading interventions. In addition, the Institute prepares annual review of KERA research conducted from researchers throughout the nation and distributes these reports to the Kentucky Department of Education, the Education Committee of the Legislature, and policy groups. The Joint UK/UL center

provides small grants for educational research with faculty members from both universities.

President Wethington thanked Dean Raines for her presentation and invited the Board to ask questions of Dean Raines.

I. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months ended December 31, 1997 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Committee met at 11:30 a.m. and reviewed nine items for action. He said FCR 1 is the Interim Financial Report. The percentage of income, expenditures and commitments are very similar to the past. He noted that 51% of the revenues were in and 49% of the expenditures and commitments have been made. This is a solid report, and the financial operation for the first six months is going as planned. He moved approval of FCR 1. Mr. Shoop seconded the motion, and it passed. (See FCR 1 at the end of the Minutes.)

J. Report of Leases (FCR 2)

Mr. Hardymon said that FCR 2 is a report of leases not exceeding \$30,000 in annual value. He reviewed the leases in the report and moved approval of FCR 2. His motion, seconded by Mr. Chellgren, carried. (See FCR 2 at the end of the Minutes.)

K. Patent Assignment Report (FCR 3)

Mr. Hardymon said that FCR 3 is the quarterly report of the patent assignments for the period October 1, 1997 through December 31, 1997. He said there was one assignment during this period, and the Finance Committee approved the report. He moved approval of FCR 3. Mr. Miles seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

L. Edward L. Clemons Pledge of \$1,000,000 (FCR 4)

Mr. Hardymon reported that there were six items involving gifts and pledges. He complimented the staff who work with the gifts and pledges program. He said FCR 4 is the Edward L. Clemons pledge of \$1,000,000 to the Hazard Community College in Hazard, Kentucky. Mr. Clemons is a Hazard businessman with interests in banking and coal mining. His pledge was made in November, 1997 and is payable in annual \$100,000 installments through 2006. Of the total gift, \$300,000 is designated for the Student Classroom and Economic Development Center, which is now under construction; \$500,000 is to be endowed and the income used to benefit college programs yet to be determined; and \$200,000 is for programs and initiatives to be decided by the college president in consultation with the citizens of Hazard. Mr. Hardymon stated that this is a

fine gift and is intended to help young people in the area served by the community college. He moved approval of FCR 4. Mr. Shoop seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

M. R. C. Durr Gift of \$300,000 (FCR 5)

Mr. Hardymon reported that FCR 5 is the R. C. Durr Gift of \$300,000. Mr. Durr is a recipient of the UK Department of Civil Engineering's Career Achievement Award. The gift is to create fellowships in the Department of Civil Engineering. Mr. Durr is the owner of R. C. Durr Company, a highway construction firm in Kentucky. The fund established by this gift will provide fellowships for seniors or graduate students in civil engineering. He moved approval of FCR 5. His motion, seconded by Mr. Reed, carried. (See FCR 5 at the end of the Minutes.)

N. Jack M. and Linda C. Gill Gift and Pledge (FCR 6)

Mr. Hardymon reported that the total for the Jack M. and Linda C. Gill gift is \$5,000,000. Jack Gill is founder and general partner of Vanguard Venture Partners, a venture company specializing in high-technology businesses, and investing in medical, computer and telecommunications companies. Linda Gill grew up in Louisville and Fort Thomas and graduated from UK in 1962.

Mr. Hardymon stated that a gift has been received for \$516, 250 and a pledge of \$4,483,750. This gift is for the construction of a heart institute and to establish an endowed chair and five professorships. The heart institute's projected construction cost is \$14,000,000, and \$2,000,000 of the Gill gift will be applied toward this project. A Gill Chair will be funded by \$1,000,000 and Gill professorships will be funded by \$1,000,000. The use of the final \$1,000,000 is yet to be determined. Mr. Hardymon moved approval of FCR 6. Mr. Shoop seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Governor Breathitt said that this is a major gift to the University and will be very important to the Medical Center. Everyone owes a great debt of gratitude to Chancellor Holsinger and most importantly to President Wethington. President Wethington has worked on this gift and pledge for a period of time, and this is the kind of leadership the Board appreciates.

O. Good Samaritan Foundation, Inc. Gift and Pledge (FCR 7)

Mr. Hardymon said that FCR 7 is a gift and pledge totaling \$473,400 from the Good Samaritan Foundation that will benefit the College of Nursing and the College of Medicine. Good Samaritan Foundation is seeking to improve healthcare for the medically underserved in central and eastern Kentucky. Good Samaritan Foundation has given



\$209,682 and pledged an additional \$263,718 to be paid before June 30, 1998. The College of Nursing will receive \$392,800 for internships, fellowships, scholarships and health education. The College of Medicine will receive \$80,600 for the summer intern and rural medical residency programs in the Department of Family Practice. He moved approval of FCR 7. The motion, seconded by Mr. Chellgren, carried. (See FCR 7 at the end of the Minutes.)

P. Henderson Community Methodist Hospital Pledge (FCR 8)

Mr. Hardymon said that FCR 8 is a pledge from the Henderson Community Methodist Hospital. It is a pledge to Henderson Community College's Partners in Progress Campaign. The pledge is \$100,000 and is to be fulfilled over a six-year period. The pledge was made on October 17, 1997.

Mr. Hardymon reported that the hospital has been a strong supporter of Henderson Community College's Nursing Program since it was established in 1963. The hospital has provided funding for many students to attend the program. He noted that many of the nursing students are employees of the hospital and many are employed upon completion of their degree. He moved approval of FCR 8. Mr. Bates seconded the motion, and it passed. (See FCR 8 at the end of the Minutes.)

Q. KFC Corporation Gift of \$250,000 (FCR 9)

Mr. Hardymon said that FCR 9 is a gift of \$250,000 from the KFC Corporation. The gift is for the Center for Academic and Tutorial Services which supports tutoring services for athletes. He noted that this is the model academic center for the nation's colleges and universities. He said he was proud of this organization and was pleased to move approval of FCR 9. Mr. Welenken seconded the motion, and it passed. (See FCR 9 at the end of the Minutes.)

R. Report of Ad Hoc Committee on Transition of Community Colleges

Mr. Chellgren, Chairperson of the Committee, reported that the committee met at 10:30 a.m. and an update was provided to the Board on the transition.

He informed the Board that when the KCTCS Board met in Ashland on January 14 he personally met with Jim Ramsey, the Interim President of KCTCS, and Martha Johnson, Chairperson of the KCTCS Board. Mr. Ramsey and Ms. Johnson assured him that the University was being positive, cooperative, supportive, and constructive in making the transition seamless, effective, and efficient.

Mr. Chellgren said that Mr. Ramsey and Ms. Johnson did indicate there is an issue of "shared responsibility" relating to some of the early findings by the Southern

Association of Colleges and Schools, Commission on Colleges. The Commission is seeking clarification of the roles of the UK Board and the KCTCS Board in granting degrees. He indicated that the Boards probably have more than a year to work out a protocol on that issue.

Discussions are continuing regarding services to be provided by UK to KCTCS. The KCTCS Board has requested a number of services including student financial aid, capital construction management, purchasing services including stores, communication network services, library services, payroll and benefit services, accounting services, computing support, and grant administration. The University responded on January 6, 1998 with a proposed agreement between the two organizations which is expected to be executed within the next couple of weeks.

Mr. Chellgren reported that a lot of time has been spent discussing some of the subtleties of these services, i.e., how long they are going to continue, what sort of reimbursement procedures will be in effect, how budgeting will be handled, and how financial statements will be structured in the future for the Community College System.

Discussions continue regarding endowments and financial accounting. The bond agreement between the two organizations to establish responsibilities for the bonds for community college buildings is ready for execution.

He mentioned that effective January 14th any new employees in the community college system will be employees of KCTCS rather than employees of the University of Kentucky. Mr. Chellgren said that he or the administration would be happy to answer any questions that the Board might have.

Governor Breathitt thanked Mr. Chellgren, the members of the Committee, and the staff of the University for the effective work they are doing in making this a smooth transition.

S. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:20 p.m.

Respectfully submitted,

Merwin Grayson, Jr.  
Secretary  
Board of Trustees

(PR 2, 3A, and 5A, and FCR 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

Office of the President  
January 20, 1998

1. Grand Opening of William T. Young Library Set for April 3

The grand opening of the William T. Young Library has been scheduled for April 3. The featured speaker will be the Rev. Theodore M. Hesburgh, president emeritus of the University of Notre Dame. Computers, microform readers, copiers and other equipment will be moved into the new library after it is turned over to UK. Books and journals will be moved from the Margaret I. King Library after the spring semester. About 700,000 volumes must be re-labeled from the Dewey classification system to the Library of Congress system before the move so that all books are cataloged under the same system.

2. Cheerleaders Win Eighth National Title

The UK cheerleaders won their fourth straight Universal Cheerleaders Association national title this month in Orlando, Fla. Southeastern Conference schools swept the top three with UK first, the University of Alabama second and the University of Tennessee third. Brook Davis and Doug Stithem of UK won the partner stunt competition and Wildcat mascot Gavin Duerson finished third in the mascot competition. This is UK's eighth UCA national title.

3. Chemist Pioneering Work on New Material With Industrial Potential

Robert Haddon, chemistry, has carried out the first electronic structure calculations on the properties of carbon toroids, a type of carbon nanotube. Carbon nanotubes are long pieces of carbon that exhibit metallic properties and are extremely strong. They have potential use in the electronics industry. Haddon worked with Nobel Prize winner Richard Smalley and Rice University theorist Gustavo Scuseria in making this discovery.

4. Researchers Discover Enzyme That Protects Cells from Alzheimer's

UK researchers have identified an enzyme that protects nerve cells from strokes and Alzheimer's disease. Mark Mattson, Sanders-Brown Center on Aging, headed the study, which found that the enzyme, when present in nerve cells, protects the cells from being killed by preventing the accumulation of oxygen radicals that normally occurs. The study was conducted using cell cultures and animal models. The research could eventually lead to the development of therapies for stroke and Alzheimer's by using drugs that introduce the enzyme. These findings were published in the January 15 issue of *The Journal of Neuroscience*.

5. Consultant Issues Report on Basketball Arena Options

Consultants have completed the University Basketball Arena Study and have presented their findings to the UK Athletic Association. The options considered by HNTB Corporation were an expansion of Memorial Coliseum, expansion and renovation of Rupp Arena and the construction of a new arena on campus. Upon a request from President Wethington, the Association Board of Directors voted to ask the UK administration to consider a fourth option – the purchase of Rupp Arena.

6. Results of Annual Kentucky Health Survey Released

The UK Survey Research Center has announced the results of its annual Kentucky Health Survey. Highlights include: 53 percent of Kentuckians report that their general health is excellent or very good, down from 56.2 percent in 1992; 74 percent are covered by private medical insurance (62.4 percent provided by an employer and 12.3 percent privately purchased); and 54.1 percent have smoked at least 100 cigarettes in their lives, up from 49.8 percent in 1992.

7. Engineering Program Begins at Paducah Community College

UK engineering classes began this semester at Paducah Community College after five years and more than \$8 million in private fund-raising. Students will take the first two years of general education and pre-engineering courses primarily at Paducah Community College or Murray State University before completing their four-year degree at the UK College of Engineering Extended Campus Program at Paducah.

8. Epilepsy Drug Findings Published in New England Journal of Medicine

Edwin Trevathan, neurology and pediatrics, published findings about the most severe of all childhood epilepsies in the December 18 issue of the *New England Journal of Medicine*. Trevathan, director of the UK Comprehensive Epilepsy Center, and researchers from 40 other epilepsy centers in the United States and Europe reported that the anti-epileptic drug lamotrigine reduces seizures in patients with Lennox-Gastaut syndrome. Unlike other anti-epileptic drugs that produce sedative side effects, lamotrigine also improves alertness.

9. Community Colleges Sign Transfer Agreement with Sullivan College

Community college students who wish to pursue a bachelor's degree in business administration may benefit from a new transfer agreement between the Community College System and Sullivan College. Under the agreement, Sullivan will accept transfer credits from students at any of the 14 community colleges. Sullivan operates campuses in Fort Knox, Lexington and Louisville and is accredited by the Southern Association of Colleges and Schools.

10. Education Groups to Share \$275,000 Grant to Help School Reform

The UK Institute on Education Reform and the Kentucky Collaboration for Teaching and Learning will share a \$275,000 grant from the Knight Foundation to help education reform efforts around the country. The institute will use \$83,000 of the grant to study the practices of successful middle schools in Kentucky.

11. Economists to Offer Forecasts at Annual Roundtable

Four UK economists will offer their 1998 forecasts for Lexington, Kentucky and the United States at the ninth annual Economic Roundtable on Tuesday, January 27, at Radisson Plaza Hotel in Lexington. Speakers will be Charles Haywood, finance, Mark C. Berger, Center for Business and Economic Research, Steve H. Ott, Center for Real Estate Studies, and Donald J. Mullineaux, finance. The roundtable, which has enjoyed increasing popularity since it began in 1989, is sponsored by the Carol Martin Gatton College of Business and Economics, the Greater Lexington Chamber of Commerce and *The Lane Report*.

12. LCC Environmental Science Program Receives Financial Support

The Keeneland Association, the Kentucky Environmental Quality Commission and Toyota Motor Manufacturing have pledged more than \$57,000 in cash and in-kind gifts to Lexington Community College. The gifts will be used for the Environmental Science Technology program and will be counted toward the Knight Foundation's \$100,000 matching grant that was awarded in 1996.

13. Margaret Thatcher Among Speakers at Jefferson Community College

Jefferson Community College will celebrate its 30th anniversary with a three-part series of internationally known speakers. The Bank One Jefferson Series will feature husband and wife political adversaries James Carville and Mary Matalin on January 29, Martin Luther King Jr.'s widow Coretta Scott King on March 20, and former British prime minister Margaret Thatcher on October 19.

14. Geological Survey Receives Grant to Map Natural Gas Pipelines

A \$16,448 grant from the U.S. Department of Transportation will allow the Kentucky Geological Survey to map natural gas pipelines in Kentucky. The project will use data supplied by the gas industry and will become part of a nationwide pipeline database. Principal investigator David Harris and co-investigator Daniel Carey hope this pilot project will lead to continued funding for additional pipeline mapping.

15. Appalachian Center Puts Regional Statistics on the Web

The Appalachian Center is designing an on-line Greater Appalachian Regional Data Bank that will provide statistics on each of the 49 counties in the Kentucky Appalachians. The data bank will allow researchers to find information on the World Wide Web about population and housing, births and deaths, education, workforce, personal income, retail trade, social programs, household types and more. The site is located at <[www.uky.edu/RGS/AppalCenter/adp/ky-data.htm](http://www.uky.edu/RGS/AppalCenter/adp/ky-data.htm)>.

16. Student Awards and Honors

Twenty-eight students were among 39 new Omicron Delta Kappa National Honor Society initiates. They are Mira Arifin, Lara Baker, TeShawna Barker, Douglas W. Barnett, Jimmy Carter, Elizabeth Cluxton, Laura Dachenhaus, Christa Dahmann, Margaret Dennison, Whitney Ellis, Massoumeh Emani, Monica Grant, Brooke Johnson, Kendra Kearns, Joanna Kennedy, Chin-Hong Khor, Melanie McCoy, Jennifer Miller, Elizabeth Mitchell, Ginger Orr, Mimi Parker, Carrie Sparrow, Emily Tan, Lesley Truitt, Kerrie Voelker, Amy Wagner, Jon Williams and Jeffrey Zurcher.

17. Significant Faculty and Staff Activities

George Brown, physical plant, was elected to the National League of Cities board of directors.

Seven faculty and staff members were among 39 new Omicron Delta Kappa National Honor Society initiates. They are Kathleen DeBoer, athletics, John Hall, kinesiology and health promotion, Donn Hancher, civil engineering, James Holsinger Jr., Chandler Medical Center, Robert Pringle Jr., Patterson School of Diplomacy and International Commerce, Allan Richards, graduate school, and John Scharfenberger, public relations.

Henri T. de Hahn, architecture, was awarded a Boston Society of Architects Citation Award for his design of a “highway restaurant” in Switzerland.

Judy Goldsmith, computer science, received the American Association for the Advancement of Science Mentor Award.

Ward Griffen, surgery, was elected president of the Southern Surgical Association.

Chester Grundy, African-American student affairs, received the Lane Report Community Service Award for his contributions to the Lexington community.

G. Edward Hughes, Hazard Community College, was elected to the Southern Association of Community, Junior and Technical Colleges board of directors.

Kathy Mowers, Owensboro Community College, was elected Mid-West Regional Vice President of the American Mathematical Association for Two Year Colleges.

Sue Overman, UK Hospital clinical laboratory, was reelected as director-at-large of the South Central Association for Clinical Microbiology.

Vivek Rangnekar, urology, received a \$100,000 Capcure Foundation award for research on a protein that protects against apoptosis and is thought to play a role in recurrent prostate cancer.

Daret St. Clair, Graduate Center for Toxicology, and post-doctoral student Kelley Kinningham, toxicology, published findings about manganese superoxide dismutase, proposed to be a new type of tumor suppresser, in the December 1 issue of *Cancer Research*.



# PR 2

Office of the President  
January 20, 1998

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**CENTRAL ADMINISTRATION**

**I. BOARD ACTION**

**A. EARLY RETIREMENTS**

**Vice President for Information Systems**

Dean, Jane, Library Technician IV, University Libraries, after 40.92 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 1/2/98.

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

**Vice President for Information Systems**

Conn, Donia J., Librarian IV, Preservation Department, University Libraries, effective 1/15/98 through 6/30/98.

Weig, Eric C., Librarian IV, Electronic Resources, University Libraries, effective 1/5/98 through 6/30/98.

**Vice President for Research and Graduate Studies**

Kennedy, Sarah M., Disability Program Administrator II, Interdisciplinary Human Development Institute, effective 12/8/97.

**COMMUNITY COLLEGE SYSTEM**

**I. BOARD ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

Office of the Chancellor

Followell, Wendell, Community College Budget Officer, January 1, 1998.

Elizabethtown Community College

Burke, Jonathan, appointed Dean of Student Affairs, effective 12/16/97.

**B. RETIREMENTS**

Jefferson Community College

Nguyen, Hai Van, Associate Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 20.5 consecutive years of service, 12/31/97.

Sherrard, James, Associate Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.B, following 15 consecutive years of service, 1/31/98.

**C. EARLY RETIREMENTS**

Office of the Chancellor

Stice, Patsy, Professor in the Community College System (with tenure) and Special Assistant to the Chancellor, under AR II-1.6-1 Section III.A.2, following 26.4 consecutive years of service, 12/31/97.

Ashland Community College

Hedlund, Richard, Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 32.4 consecutive years of service, 12/31/97.

Jefferson Community College

Layne, Carol S., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 20.5 consecutive years of service, 12/31/97.

Schweitzer, Janet, Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 30 consecutive years of service, 12/31/97.

Paducah Community College

Dowdy, Larry H., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 30.4 consecutive years of service, 12/31/97.

Shy, Robert C., Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 18.6 consecutive years of service, 12/31/97.

Somerset Community College

Miller, Raymond, Professor in the Community College System (with tenure), under AR II-1.6-1 Section III.A.2, following 30.6 consecutive years of service, 12/31/97.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

Henderson Community College

Bonne, Martha S., Instructor in the Community College System, 1/1/98 through 6/30/98.

Jefferson Community College

Deeley, Nina R., Librarian IV in the Community College System, 12/15/97 through 6/30/98.

Madisonville Community College

Burnett, Suzanne, Instructor in the Community College System (voluntary),  
11/18/97 through 11/30/00.

Decker, Marcia, Instructor in the Community College System (voluntary),  
11/20/97 through 11/30/00.

Martin, Kathleen, Instructor in the Community College System (voluntary),  
11/18/97 through 11/30/00.

Spalding, Catherine, Instructor in the Community College System (voluntary),  
11/18/97 through 11/30/00.

Southeast Community College

Jackson, Lori M., Instructor in the Community College System (voluntary),  
11/1/97 through 10/31/99.

Murphy, Kevin, Librarian IV in the Community College System, 11/18/97  
through 6/30/98.

**B. ADMINISTRATIVE APPOINTMENTS**

Office of the Chancellor

James, Judith R., Assistant Vice Chancellor for Student Affairs, appointed  
Acting Vice Chancellor for Academic and Student Affairs, 12/1/97  
through 6/30/98.

Scorsone, Analy, Professor in the Community College System (with tenure),  
appointed Acting Special Assistant to the Chancellor, 1/1/98 through  
6/30/98.

Maysville Community College

Noe, Roger C., Professor in the Community College System (with tenure),  
appointed Acting President, 1/1/98 through 6/30/98.

**C. REAPPOINTMENTS**

Henderson Community College

Blackburn, Catherine, Instructor in the Community College System, 1/1/98  
through 6/30/98.

**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Law**

Lovely, Bernard F., Professor (part-time), Law, 1/12/98 through 5/15/98.  
Rudnick, Rebecca S., Visiting Professor, Law, 1/12/98 through 5/15/98.  
Vimont, Richard E., Professor (part-time), Law, 1/12/98 through 5/15/98.

**B. JOINT APPOINTMENTS**

**College of Agriculture**

Freshwater, David, Professor (with tenure), Agricultural Economics, joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, 1/1/98 through 12/31/00.

**College of Arts and Sciences**

Peffley, Mark, Professor (with tenure), Political Science, joint appointment as Professor (without tenure), Martin School of Public Policy and Administration, 1/1/98 through 12/31/00.

**C. REAPPOINTMENTS**

**College of Law**

Elliott, Robert L., Professor (part-time), Law, 1/12/98 through 5/15/98.  
Garner, William R., Professor (part-time), Law, 1/12/98 through 5/15/98.  
McNeill, John G., Professor (part-time), Law, 1/12/98 through 5/15/98.  
Perlman, Peter, Professor (part-time), Law, 1/12/98 through 5/15/98.  
Savage, Joe C., Professor (part-time), Law, 1/12/98 through 5/15/98.

**D. CHANGE**

**Vice Chancellor for Academic Affairs and Research**

Witt, Donald, from Senior Assistant Registrar, Registrar's Office, to University Registrar, effective 1/1/98.

**E. RETIREMENTS**

College of Education

Martinson, M.C., Professor (with tenure), Special Education and Rehabilitation Counseling, and Director, Interdisciplinary Human Development Institute, Research and Graduate Studies, after 27.25 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/97.

College of Law

Lewis, Thomas P., Professor (with tenure), Law, after 21.58 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/97.

**F. EARLY RETIREMENTS**

College of Agriculture

Davis, Shirley, Extension County Clerk, Field Programs, after 45.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 10/21/97.  
Willhoit, Carolyn, Staff Assistant VII, Cooperative Extension Service, after 22.66 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 2/13/98.

Vice Chancellor for Administration

Davis, James L., Building Operator Supervisor, Plumbing/Heating/Welding, Physical Plant Division, after 26.66 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/2/98.

**G. POST-RETIREMENT APPOINTMENT**

Vice Chancellor for Academic Affairs and Research

Figg, Robert G., Consultant (part-time), University Extension, 1/1/98 through 6/30/98 and 7/1/98 through 12/31/98.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

McNeill, Samuel G., Assistant Extension Professor, Biosystems and Agricultural Engineering, 1/1/98 through 6/30/98.

College of Education

Pearsall, Dixon, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.

College of Human Environmental Sciences

Begley, Diana L., Instructor (part-time), Interior Design, Merchandising and Textiles, 1/1/98 through 5/15/98.

Gaetke, Lisa M., Assistant Professor\*, Nutrition and Food Science, 1/1/98 through 6/30/98.

Stoel, Leslie D., Assistant Professor, Interior Design, Merchandising and Textiles, 1/1/98 through 6/30/98.

College of Social Work

Lowe, Mitzi A., Assistant Professor (part-time), Social Work, 1/1/98 through 5/15/98.

Martin, William B., Assistant Professor (part-time), Social Work, 1/1/98 through 5/15/98.

**B. JOINT APPOINTMENT**

College of Arts and Sciences

Fording, Richard C., Assistant Professor, Political Science, joint appointment as Assistant Professor, Martin School of Public Policy and Administration, 1/1/98 through 12/31/00.

**C. REAPPOINTMENTS**

College of Education

Allen, Jeffrey, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.

Beach, David, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.

Bell, Jennifer, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.

Cole, Kathleen, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.

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\* Special Title Series



Daopoulos, Jim, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.  
Geldhof, Eric, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.  
Herlihy, John, Instructor (part-time), Administration and Supervision, 1/1/98 through 5/15/98.  
Martin, Terri, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
McFarland, Martha, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.  
Merchant, Debra, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Meyer, Stacie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Prout, Susan, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Pruitt, Beth, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Rice, Sherri, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Shuping, Margaret, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.  
Walther, Jeffrey, Instructor (part-time), Administration and Supervision, 1/1/98 through 5/15/98.

College of Human Environmental Sciences

Tulloch, Charlotte, Instructor (part-time), Family Studies, 1/1/98 through 5/15/98.

**D. LEAVES OF ABSENCE**

College of Agriculture

Dyk, Patricia H., Associate Professor (with tenure), Sociology, Sabbatical leave with full salary, 1/1/98 through 6/30/98.  
Forester, Darlene, Associate Extension Professor (with tenure), Home Economics Extension, Sabbatical leave with full salary, 1/1/98 through 6/30/98.  
Hixson, Mary E., County Extension Agent, Cooperative Extension Service, Educational leave with full salary, 1/12/98 through 5/13/98.  
Marchant, Mary, Associate Professor (with tenure), Agricultural Economics, Sabbatical leave with full salary, 3/1/98 through 8/31/98.  
Nielsen, Mark T., Associate Professor (with tenure), Agronomy, Leave without pay, 1/15/98 through 7/14/98.

College of Arts and Sciences

Dendle, Brian J., Professor (with tenure), Spanish and Italian, Sabbatical leave with half salary, 7/1/98 through 6/30/99.

Hart, Stephen, Associate Professor (with tenure), Spanish and Italian, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

College of Business and Economics

Garen, John, Professor (with tenure), Economics, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Krislov, Joseph, Professor (with tenure), Economics, Sabbatical leave with half salary, 1/1/98 through 12/31/98.

Lee-Post, Anita, Associate Professor (with tenure), Management, Sabbatical leave with half salary, 7/1/98 through 6/30/99.

Madden, John L., Professor (with tenure), Economics, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Tepper, Kelly, Associate Professor (with tenure), Management, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

Viator, Ralph, Associate Professor (with tenure), Accountancy, Sabbatical leave with full salary, 7/1/98 through 12/31/98.

College of Education

Scollay, Susan J., Associate Professor (with tenure), Administration and Supervision, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

College of Human Environmental Sciences

Whiteside-Dickson, Ann, Associate Professor (with tenure), Interior Design, Merchandising and Textiles, Sabbatical leave with full salary, 1/1/98 through 6/30/98.

**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

**College of Medicine**

Goebel, Jens, Assistant Professor, Pediatrics, 2/1/98 through 6/30/98.

Natale, Andrea, Associate Professor (without tenure), Internal  
Medicine, 1/21/98 through 6/30/98.

Rowland, Randall G., Professor (with tenure), Surgery, effective  
1/21/98.

**B. PROMOTIONS**

**College of Allied Health Professions**

Fleming, Steven T., Assistant Professor, Health Services, to Associate  
Professor (with tenure), Health Services, effective 3/4/98.

**College of Medicine**

Ibbott, Geoffrey S., Assistant Professor\*, Radiation Medicine, joint  
appointment as Assistant Professor\*, College of Allied Health  
Professions, Department of Clinical Sciences, to Associate  
Professor\* (with tenure), Radiation Medicine, joint appointment as  
Associate Professor\* (without tenure), College of Allied Health  
Professions, Department of Clinical Sciences, effective 2/1/98.

Karounos, Dennis G., Assistant Professor, Internal Medicine, to  
Associate Professor (with tenure), Internal Medicine, effective  
12/17/97.

Young, Elizabeth H., Assistant Professor (part-time), Family Practice,  
to Associate Professor (part-time), Family Practice, 7/1/98 through  
6/30/99.

**C. CHANGES**

**College of Dentistry**

Lenox, John A., from Associate Professor (voluntary), Oral Health  
Practice, to Associate Professor (part-time), Oral Health Practice,  
1/21/98 through 6/30/98.

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\*Special Title Series

**D. EARLY RETIREMENTS**

College of Medicine

Wells, Gerald, Lab Technician Pr., Biochemistry, after 34 years, six months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 10/3/97.

**E. POST-RETIREMENT APPOINTMENTS**

College of Medicine

Cotter, William B., Professor (part-time), Anatomy and Neurobiology, 1/21/98 through 6/30/98.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Allied Health Professions

Cloern, Kenneth, Assistant Professor (voluntary), Health Services, 1/21/98 through 6/30/00.

Dixon, Deborah, Instructor (voluntary), Clinical Sciences, 1/21/98 through 6/30/00.

Ford, Stacy, Instructor (voluntary), Health Services, 1/21/98 through 6/30/00.

Glennon, Peter, Assistant Professor (voluntary), Health Services, 1/21/98 through 6/30/00.

Henry, Terry J., Instructor (voluntary), Clinical Sciences, 1/21/98 through 6/30/00.

Lawhon, S. M., Assistant Professor (voluntary), Health Services, 1/21/98 through 6/30/00.

Semer, Mitch C., Instructor (voluntary), Health Services, 1/21/98 through 6/30/00.

Stergiou, Angeline, Assistant Professor (voluntary), Health Services, 1/21/98 through 6/30/00.

College of Medicine

Carrico, Caroline W., Assistant Professor (part time), Diagnostic Radiology, 1/26/98 through 6/30/98.

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\*Special Title Series

Pener, Carina, Assistant Professor (voluntary), Pediatrics, 1/21/98 through 6/30/00.

Small, Karen M., Assistant Professor (voluntary), Family Practice, 1/21/98 through 6/30/00.

Thibault, Olivier H., Assistant Research Professor, Pharmacology, 2/1/98 through 6/30/98.

Wallingford, Mark A., Assistant Professor (voluntary), Internal Medicine, joint appointment as Assistant Professor, College of Medicine, Department of Pediatrics, 11/25/97 through 6/30/00.

#### College of Nursing

Muller, Kathleen W., Instructor (voluntary), 1/21/98 through 6/30/00.

#### College of Pharmacy

Abdelfattah, Elshamly, Instructor (voluntary), 1/21/98 through 6/30/00.

Burke, John D., Instructor (voluntary), 1/21/98 through 6/30/00.

Hamrick, Jeffrey T., Instructor (voluntary), 1/21/98 through 6/30/00.

#### Medical Center Library

Vaughn, Mary D., Librarian III, Medical Center Library, 1/21/98 through 6/30/98.

### **B. ADMINISTRATIVE APPOINTMENTS**

#### College of Medicine

Vore, Mary, Professor, Pharmacology, named Acting Director, Graduate Center for Toxicology, in the Graduate School, 1/1/98 through 3/31/98.

### **C. JOINT APPOINTMENTS**

#### College of Medicine

Fowlkes, John L., Assistant Professor, Pediatrics, joint appointment as Assistant Professor, Physiology, 1/21/98 through 6/30/98.

Spielmann, H. P., Assistant Professor, Biochemistry, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Chemistry, 1/1/98 through 6/30/98.

**D. CHANGES**

College of Medicine

Bennett, John M. from Assistant Professor (voluntary), Family Practice, to Assistant Professor (part time), Family Practice, 1/21/98 through 6/30/98.

Germano, Roy P. from Assistant Professor (voluntary), Emergency Medicine, to Assistant Professor (part time), Emergency Medicine, 1/26/98 through 6/30/98.

Hopenhayn-Rich, Claudia from Assistant Professor (part time), Preventive Medicine and Environmental Health, to Assistant Research Professor, Preventive Medicine and Environmental Health, 1/21/98 through 6/30/98.

**E. LEAVES OF ABSENCE**

College of Medicine

Martin, Catherine A., Associate Professor (with tenure), Psychiatry, sabbatical leave at half salary, 1/1/98 through 6/30/98.

Scott, Samuel R., Professor\* (with tenure), Internal Medicine, joint appointment as Professor\* (without tenure), College of Allied Health Professions, Department of Clinical Sciences, sick leave with pay, effective 1/7/98.

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\*Special Title Series

# PR 3A

Office of the President  
January 20, 1998

Members, Board of Trustees:

## ACCEPTANCE OF REPORT OF THE TASK FORCE ON RESEARCH AND GRADUATE EDUCATION

Recommendation: that the Board of Trustees accept the Report of the Task Force on Research and Graduate Education and authorize the administration to proceed with a proposal to the Council on Postsecondary Education for funds appropriated to the Research Challenge Trust Fund designated for the University of Kentucky.

Background: In April, 1997, President Wethington announced the formation of a University Task Force on Research and Graduate Education. Composed of faculty members and academic administrators, the Task Force was asked to identify institutional strengths and to recommend priority areas for strategic investment that would lead the University over the next two decades to higher levels of national distinction in research and graduate education.

The Task Force's Report identifies potential Targets of Opportunity for investment within twelve broad Areas of Institutional Strength. It also identifies university-wide priorities in research and graduate education relating to faculty and staff, students, and research infrastructure. The Report further outlines a longer-term process whereby the University can chart its course toward achieving top-twenty status as a public graduate education and research institution during the first decades of the 21st Century.

The Kentucky Postsecondary Education Improvement Act of 1997 (HB1) provides for individual Strategic Incentive and Investment Trust Funds to help the university move toward national ranking among the top twenty public universities. Toward that end, the Council on Postsecondary Education (CPE), which is charged with implementing HB1, has developed criteria for the Research Challenge Trust Fund. Resources from this Fund will be available to the University to focus upon research initiatives including, but not limited to, specific programs, building the research infrastructure, and enhancing the research productivity of faculty.

Access to these resources will require the University to submit an institutional proposal for review by the CPE which meets critical research content, funding and assessment criteria. These criteria include a dollar for dollar match of Research Challenge Trust Fund resources from internal reallocation or external funds, as well as a detailed institutional proposal, identifying research areas for investment and including outcomes-based performance indicators and evaluation criteria.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

NOTE: The above report is not included in the Minutes of the meeting but is included in the official files.

# PR 5A

Office of the President  
January 20, 1998

Members, Board of Trustees:

## NEW MASTER OF EDUCATION DEGREE PROGRAM IN SCHOOL ADMINISTRATION

Recommendation: that the Board of Trustees approve the Master of Education degree program in School Administration.

Background: The Master of Education degree program in School Administration will replace the long-standing, post-masters degree school administrator certification program offered by the Department of Administration and Supervision in the College of Education. It will enable the University to continue to serve the K-12 educational community of the Commonwealth by preparing future school administrators. The proposed program is in response to Kentucky Education Professional Standards Board's adoption of a new regulation governing the certification of individuals as school principals or assistant principals. The regulation requiring completion of a masters degree program goes into effect September, 1998.

The program has been approved by the College of Education, Graduate Council, Senate Council Academic Program Committee and the Senate Council. Approval by the Board of Trustees is required prior to submission to the Council on Postsecondary Education.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# FCR 1

Office of the President  
January 20, 1998

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED  
DECEMBER 31, 1997

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 1997.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of December 31, 1997, the University had realized income of \$614,375,000 representing 51% of the 1997-98 estimate of \$1,208,654,000. Expenditures and commitments total \$592,902,000 or 49% of the approved budget of \$1,208,654,000.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**DECEMBER 31, 1997**

with comparative totals for December 31, 1996  
(in thousands)

	1997-98					1996-97	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 85,253	\$ 30,173	\$ 1,579	\$ 1,262	\$ 143,040	\$ 261,307	\$ 260,046
Notes, loans, and A/R (less bad debt allowances of \$16,533)	112,935	16,570	22,734	555	2,748	155,542	150,218
Investments	35,599	83,643		210,495	71,287	401,024	358,801
Property, plant, and equipment					1,408,775	1,408,775	1,311,151
Inventories and other	16,726	63				16,789	15,596
<b>Total Assets</b>	<b>\$ 250,513</b>	<b>\$ 130,449</b>	<b>\$ 24,313</b>	<b>\$ 212,312</b>	<b>\$ 1,625,850</b>	<b>\$ 2,243,437</b>	<b>\$ 2,095,812</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 27,520	\$ 1,130	\$ 688	3	\$ 374	\$ 29,715	\$ 23,179
Employee withholdings and deposits		10,257				10,257	14,661
Other liabilities	5,282	1,844			5,041	12,167	9,578
Liability for self insurance	48,943					48,943	37,496
Deferred income	15,073	12,446				27,519	32,769
Bonds payable					317,158	317,158	330,534
Capitalized lease obligation					37,900	37,900	34,101
Net deferred revenues and appropriated fund balances	28,275	172				28,447	31,217
<b>Total Liabilities</b>	<b>125,093</b>	<b>25,849</b>	<b>688</b>	<b>3</b>	<b>360,473</b>	<b>512,106</b>	<b>513,535</b>
<b>Interfund Balances</b>	<b>(15,550)</b>	<b>54,360</b>			<b>(38,810)</b>		
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	129,395					129,395	101,686
Future operating purposes	3,659					3,659	9,504
Other	7,916					7,916	4,343
<b>Current restricted</b>		<b>50,240</b>				<b>50,240</b>	<b>42,996</b>
<b>Loan</b>			<b>23,625</b>			<b>23,625</b>	<b>23,326</b>
True endowments				\$ 132,352		132,352	108,277
Term endowments				12,453		12,453	10,580
Quasi endowments				67,504		67,504	59,408
<b>Plant</b>							
Retirement of indebtedness					47,059	47,059	46,378
Renewal and replacement					33,447	33,447	103,650
Allocated for designated projects					209,358	209,358	150,176
Net investment in plant					1,014,323	1,014,323	921,953
<b>Total Fund Balances</b>	<b>140,970</b>	<b>50,240</b>	<b>23,625</b>	<b>212,309</b>	<b>1,304,187</b>	<b>1,731,331</b>	<b>1,582,277</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 250,513</b>	<b>\$ 130,449</b>	<b>\$ 24,313</b>	<b>\$ 212,312</b>	<b>\$ 1,625,850</b>	<b>\$ 2,243,437</b>	<b>\$ 2,095,812</b>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE SIX MONTHS ENDED DECEMBER 31, 1997  
with comparative totals for December 31, 1996  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98				1996-97	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
<b>General Fund</b>						
(1) State appropriations	\$ 358,548	\$ 361,890	\$ 180,914	50	\$ 172,973	50
(2) Student fees	133,038	133,915	72,198	54	70,430	54
(3) Federal appropriations	15,186	15,186	7,999	53	6,976	46
(4) County appropriations	6,463	6,736	2,170	32	2,564	40
(5) Endowment income	853	853	252	30	235	29
(6) Investment income	9,103	9,103	6,299	69	6,322	74
Gifts and grants						
(7) Affiliated corporations	12,450	12,450	5,588	45	5,301	46
(8) Other	58,927	58,360	22,850	39	23,162	41
(9) Sales and services	52,440	53,774	21,322	40	19,086	42
(10) Fund balance	12,549	18,197	18,197	100	20,953	100
(11) Total General Fund	659,557	670,464	337,789	50	328,002	51
(12) Auxiliary Enterprises	42,199	42,847	21,338	50	20,629	48
(13) Restricted Funds	93,924	95,127	37,485	39	38,963	43
(14) Affiliated Corporations	147,212	147,382	78,864	54	72,639	51
<b>Hospital</b>						
(15) Revenues	252,834	252,834	138,899	55	126,731	56
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,195,726</u>	<u>\$ 1,208,654</u>	<u>\$ 614,375</u>	51	<u>\$ 586,964</u>	51

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SIX MONTHS ENDED DECEMBER 31, 1997**  
with comparative totals for December 31, 1996  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 292,080	\$ 291,025	\$ 133,921	46	\$ 134,085	49
(2) Research	130,570	131,060	58,745	45	63,078	48
(3) Public service	145,203	147,708	66,534	45	63,676	45
(4) Academic support	79,270	80,423	35,488	44	35,265	46
(5) Student services	26,895	29,124	14,133	49	13,318	51
(6) Institutional support	50,815	54,798	26,156	48	20,646	41
(7) Student financial aid	65,267	65,722	37,447	57	37,901	61
(8) Operation and maintenance	50,977	53,497	23,341	44	21,623	45
(9) Mandatory transfers (debt service)	33,079	33,079	33,079	100	32,932	100
(10) Hospital	252,771	252,771	122,490	48	107,133	47
(11) Auxiliary enterprises	68,799	69,447	41,568	60	35,107	51
(12) Total Expenditure by Program	<u>\$ 1,195,726</u>	<u>\$ 1,208,654</u>	<u>\$ 592,902</u>	49	<u>\$ 564,764</u>	49

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SIX MONTHS ENDED DECEMBER 31, 1997**  
with comparative totals for December 31, 1996  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 680,053	\$ 679,878	\$ 326,859	48	\$ 320,108	49
(14) Operating expenses	421,581	429,910	203,387	47	177,335	45
(15) Mandatory transfers (debt service)	41,658	41,658	38,158	92	37,981	91
(16) Capital outlay	52,434	57,208	24,498	43	29,340	55
(17) Total Expenditure by Category	<u>\$ 1,195,726</u>	<u>\$ 1,208,654</u>	<u>\$ 592,902</u>	49	<u>\$ 564,764</u>	49

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SIX MONTHS ENDED DECEMBER 31, 1997**  
 with comparative totals for December 31, 1996  
 (in thousands)

	(A)	(B)	(C)
	<u>1997-98</u>		<u>1996-97</u>
(1) <b>Realized Revenues</b>		\$ 596,178	\$ 566,011
<b>Appropriated Fund Balances</b>			
(2) Current Unrestricted Fund	\$ 18,197		20,953
(3) University Hospital			
(4) <b>Total Appropriated Fund Balances</b>		<u>18,197</u>	<u>20,953</u>
(5) <b>Total Revenues and Appropriated Fund Balances</b>		<b>614,375</b>	<b>586,964</b>
(6) <b>Expenditures/Encumbrances</b>	592,902		564,764
(7) Less: Reserve for Encumbrances	<u>(6,861)</u>		<u>(8,560)</u>
(8) <b>Total Expenditures</b>		<u>586,041</u>	<u>556,204</u>
(9) <b>Excess/(Deficit) Restricted Receipts Over Transfers to Revenues</b>		<u>113</u>	<u>457</u>
(10) <b>Net Deferred Revenues and Appropriated Fund Balances</b>		<u>\$ 28,447</u>	<u>\$ 31,217</u>

# FCR 2

Office of the President  
January 20, 1998

Members, Board of Trustees:

## REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the University of Kentucky (College of Agriculture) and Fritz & Graves, %John Graves, 4100 Nicholasville Road, Lexington, Kentucky, for space located at 112 Wilson Downing Road, Lexington, Kentucky, effective March 1, 1998 through December 31, 1998, for the use of 2,400 square feet of residential property, at an annual rate of \$12,000.00, be accepted and made a matter of record.

A new lease between the University of Kentucky (Kentucky Homeplace) and Executive Plaza, 5301 Scottsville Road, Bowling Green, Kentucky, for space located at 1725 Ashley Circle, Suite 102-A, Bowling Green, Kentucky, effective December 1, 1997 through June 30, 1998, for the use of 273 square feet of office space, at an annual rate of \$3,540.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
January 20, 1998

Members, Board of Trustees:

## PATENT ASSIGNMENT REPORT

Recommendation: that the patent assignment report for the period October 1, 1997 through December 31, 1997 be accepted.

Background: FCR 5, dated March 4, 1997, authorized that all future copyright and patent filings and prosecutions be conducted by the University of Kentucky Research Foundation (UKRF), and that the Vice President for Research and Graduate Studies or his designee be authorized to execute any needed documents to obtain appropriate patent or copyright protection. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

PATENT ASSIGNMENT  
QUARTERLY REPORT FOR THE PERIOD OCTOBER 1, 1997 THROUGH  
DECEMBER 31, 1997

The following assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation has been executed:

1. U.S. Patent Serial Number: 08/321,670, filed October 12, 1994, titled "A TECHNIQUE FOR SPECIFYING THE FATTY ACID AT THE SN2 POSITION OF ACYLGLYCEROL LIPIDS". Inventors: Robert C. Dickson, Robert L. Lester, and M. Marek Nagiec. This invention provides a method for specifying a fatty acid at the sn2 position of acylglycerol lipids. The method includes transfecting a vector including the SLC1 gene or a variant thereof into embryonic biological material and allowing the SLC1 gene to specify the type of fatty acid at the sn2 position of acylglycerol lipids. The invention also provides an isolated SLC1 gene and a probe for its detection.



# FCR 4

Office of the President  
January 20, 1998

Members, Board of Trustees:

EDWARD L. CLEMONS PLEDGE OF \$1,000,000

Recommendation: that the Board of Trustees accept a pledge of \$1,000,000 from Edward L. Clemons, of Hazard, Kentucky, to Hazard Community College.

Background: Mr. Clemons is a Hazard businessman with interests in banking and coal mining. His pledge was made on November 20, 1997, and is payable in annual \$100,000 installments through 2006. Of the total gift, \$300,000 is designated for the Student Classroom and Economic Development Center, which is now under construction; \$500,000 is to be endowed and the income used to benefit college programs yet to be determined; and \$200,000 is for programs and initiatives to be decided by the college president in consultation with the citizens of Hazard. Mr. Clemons has stated that his gift is intended to help young people in the area served by the community college get a quality education close to their home.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 5

Office of the President  
January 20, 1998

Members, Board of Trustees:

## R. C. DURR GIFT OF \$300,000

Recommendation: that the Board of Trustees accept a gift of \$300,000 from R. C. Durr of Florence, Kentucky, for the College of Engineering to create fellowships in the Department of Civil Engineering.

Background: R. C. Durr is the owner of R. C. Durr Company, one of Kentucky's most successful highway construction firms. His interests include banking and raising cattle and thoroughbred horses. He was a member of the Kentucky State Racing Commission from 1980-1992. In 1996, he was recipient of the UK Department of Civil Engineering's Career Achievement Award. The fund established by his gift, the R. C. Durr Engineering Fellowship Fund, will provide fellowships for seniors or graduate students in civil engineering.

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Action taken:



Approved

Disapproved

Other \_\_\_\_\_

# FCR 6

Office of the President  
January 20, 1998

Members, Board of Trustees:

## JACK M. AND LINDA C. GILL GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$516,250 and pledge of \$4,483,750 from Jack M. and Linda C. Gill of Houston, Texas, to the Chandler Medical Center for construction of a heart institute and to establish an endowed chair and five professorships.

Background: Jack Gill is founder and general partner of Vanguard Venture Partners, a venture capital company specializing in high-technology businesses, and investing in medical, computer and telecommunications companies. Linda Gill grew up in Louisville and Fort Thomas and graduated from UK in 1962. As philanthropists, the Gills have supported education and health care programs in several states. The Gills hope the new heart institute will help reduce the number of deaths caused by cardiovascular heart disease in Kentucky and the nation. Kentucky has the nation's fifth highest death rate from coronary heart disease.

Two million dollars of the Gill gift will be applied toward the Heart Institute's projected construction cost of \$14,000,000. A Gill Chair in Preventive Cardiology will be funded by \$1,000,000. Gill professorships in cardiology, cardiac anesthesia, pediatric cardiology, cardiac radiology and vascular surgery will be funded by \$1,000,000 of their gift. The use of the final \$1,000,000 Gill gift in support of the Heart Institute is yet to be determined by the Gills and administrators of the Chandler Medical Center and the Kentucky Heart Institute. The Gill pledge will be paid with annual gifts over a five-year period through 2002.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 7

Office of the President  
January 20, 1998

Members, Board of Trustees:

GOOD SAMARITAN FOUNDATION, INC., GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift and pledge totaling \$473,400 from the Good Samaritan Foundation, Inc., Lexington, Kentucky, that will benefit the College of Nursing and the College of Medicine.

Background: Good Samaritan Foundation, which seeks to improve healthcare for the medically underserved in central and eastern Kentucky, has given \$209,682 and pledged an additional \$263,718 to be paid before June 30, 1998. Of the \$473,400, the College of Nursing will receive a total of \$392,800 for internships, fellowships, scholarships and health education. The College of Medicine will receive \$80,600 for the summer intern and rural medical residency programs in the Department of Family Practice.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 8

Office of the President  
January 20, 1998

Members, Board of Trustees:

## HENDERSON COMMUNITY METHODIST HOSPITAL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$100,000 from the Henderson Community Methodist Hospital, of Henderson, Kentucky, to Henderson Community College's Partners in Progress Campaign.

Background: Henderson Community Methodist Hospital has been a strong supporter of Henderson Community College's Nursing Program since the program was established in 1963. The hospital has provided funding for many students to attend the program. In addition, the hospital serves as a clinical site for both nursing and clinical laboratory technician students. Many nursing students are employees of the hospital and many are employed upon completion of their degree. The pledge was made on October 17, 1997, and will be fulfilled over a six-year period beginning in 1998.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# FCR 9

Office of the President  
January 20, 1998

Members, Board of Trustees:

## KFC CORPORATION GIFT OF \$250,000

Recommendation: that the Board of Trustees accept a gift of \$250,000 from KFC Corporation, Louisville, Kentucky, to the University of Kentucky Athletic Association for the expansion of the Center for Academic and Tutorial Services.

Background: KFC Corporation has been a long-time supporter of the UK athletics program. The Center for Academic and Tutorial Services, known as CATS, is located in Memorial Coliseum. It is considered a model academic center for the nation's colleges and universities.

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Action taken:  Approved       Disapproved       Other \_\_\_\_\_