

Minutes of the Meeting of the Board of Trustees, University of Kentucky. Monday, November 15, 1971

The Board of Trustees of the University of Kentucky met in special session at 2:00 p. m. (Eastern Standard Time) on Monday, November 15, 1971, on the campus of the Jefferson Community College of the University of Kentucky with the following members present: Mr. Albert G. Clay, Mrs. Rexford S. Blazer, former Governor Albert B. Chandler, Mr. Richard E. Cooper, Mr. Eugene Goss, Mrs. Robert O. Clark, Mr. James H. Pence, and Mr. Floyd H. Wright; non-voting faculty members, Professors Paul G. Sears and Paul Oberst, and non-voting student member Mr. Scott Wendelsdorf. Absent from the meeting were: Governor Louie B. Nunn, Mr. Wendell P. Butler, Mr. J. Robert Miller, Mr. George W. Griffin, Jr., Dr. N. N. Nicholas, Mr. Jesse M. Alverson, and Mr. Thomas P. Bell. The University administration was represented by: President Otis A. Singletary, Vice Presidents Glenwood L. Creech, Lewis W. Cochran, Robert G. Zumwinkle, Stanley Wall, Lawrence E. Forgy and Peter P. Bosomworth; Dr. John Smith, Director of the Jefferson Community College; Dr. Donald B. Clapp, Director of Budget; and Mr. John C. Darsie, Legal Counsel. Representatives of the various news media were also in attendance.

A. Meeting Opened

Mr. Clay, presiding in the absence of Governor Nunn called the meeting to order at 2:35 p. m. The invocation was pronounced by Mr. Clay. The Secretary reported a quorum present, and the meeting was declared officially open for the conduct of business at 2:45 p. m.

B. Recognition of Jefferson Community College Personnel

Mr. Clay and President Singletary both expressed thanks to Dr. John Smith, Director of the Jefferson Community College, for hosting the meeting of the Board. President Singletary then introduced Dr. Smith and asked him to introduce the members of the Jefferson Community College Advisory Board and the college personnel who were present.

C. President's Report to the Trustees

President Singletary indicated he would be glad to answer any questions relative to his monthly report to the Trustees, copies of which were in their folders. There being no questions, Mr. Clay accepted the report with thanks and it was ordered filed.

D. Recommendations of the President (PR 2)

President Singletary called attention to the recommended changes in titles for personnel in the Community College System, pointing out that these changes would give them ranks equivalent to those of personnel on the Lexington campus. In addition, he called attention to the persons recommended for retirement and commented on the number of years of service to the University in each case.

There being no questions relative to the items in PR 2, on motion by Mr. Cooper, seconded by Mrs. Blazer, and passed without dissent, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

E. Supplemental Recommendations of the President (PR 3)

Note was taken of the retirement of Mr. Harvey G. Hodges and appreciation expressed for his effective service during 22 years as Manager of Ticket Sales for the Athletic Association.

President Singletary recommended that Mr. Thomas D. Duncan be named Director of University Information Services. He pointed out that the recommendation involved not only the appointment of Mr. Duncan but a change in the name of the Department of Public Relations to University Information Services, which is more descriptive of the functions of the department.

On motion by Governor Chandler, seconded by Mr. Wright, and passed, PR 3 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 3 at the end of the Minutes.)

Mr. Wright suggested that a resolution of appreciation be drafted to be sent to all those whose retirements had been approved. This suggestion met with the approval of all present and Mr. Clay appointed Mr. Wright and Mr. Cooper to draft the resolution.

F. 1971-72 Budget Revisions (PR 4)

The recommendations relative to budget revisions being of a routine nature and there being no questions, on motion by Mr. Cooper, seconded by Mr. Wright, and all present voting "aye", the 1971-72 budget revisions as recommended in PR 4 were authorized and approved. (See PR 4 at the end of the Minutes.)

G. Policy Statement on Community Colleges Adopted (PR 5)

Dr. Singletary pointed out that the proposed Policy Statement on Community Colleges was a revision of one adopted in January 1964 and spelled out the administrative structure which would integrate the community colleges into a System and would define their roles in relation to the remainder of the University.

Mr. Wendelsdorf questioned the elimination of paragraph 1, page 6, under Admission Requirements of the Community College System which states, "The University now admits a graduate of any accredited high school in the State who is a resident of the State. The same structure will be used for admission to the community colleges". Upon being assured that it was not the intention of the Board of Trustees to abrogate admission to any high school graduate, he withdrew his objection and moved that the policy statement be approved. His motion was seconded by Mr. Wright and all present voted "aye". (See PR 5 at the end of the Minutes.)

H. Dean of Nursing Named (PR 6)

President Singletary recommended the appointment of Dr. Marion E. McKenna as Dean of the College of Nursing, effective January 1, 1972, stating that the recommendation carried not only his endorsement but that of the Medical Center administration. Governor Chandler moved approval of the recommendation to name Dr. McKenna as Dean of the College of Nursing, effective January 1, 1972. His motion was seconded by Mrs. Blazer and passed without dissent. (See PR 6 at the end of the Minutes.)

Dr. McKenna, who was present at the meeting, was introduced to the Board by Vice President Bosomworth. A welcome was extended to her by the Chairman on behalf of the entire Board.

I. Organizational Change in the College of Arts and Sciences (PR 7)

Being already familiar with the recommendation relative to the organizational change in the College of Arts and Sciences and there being no questions, on motion by Mr. Cooper, seconded by Mrs. Blazer, and passed, all schools in the College of Arts and Sciences, except the School of Communications and the Thomas Hunt Morgan School of Biological Sciences, were abolished, effective immediately. (See PR 7 at the end of the Minutes.)

J. Organization of Summer Session (PR 8)

Without discussion, on motion by Mr. Wright, seconded by Mrs. Blazer,

and passed, the recommendation in PR 8 relative to the organizational pattern for the Summer Session was approved. (See PR 8 at the end of the Minutes.)

K. Request for Waiver of Governing Regulations and Interpretation Thereof (PR 9)

President Singletary explained that Professor Wasley S. Krogdahl, an announced candidate for a seat in the United States House of Representatives, had requested him to place before the Board of Trustees a request for a waiver of the Governing Regulations requiring leave of absence without pay for the period of a campaign for office. The University administration has interpreted the Regulations to require such a leave of absence without pay. Professor Krogdahl is appealing this decision. Because of the ambiguity of the Governing Regulations, President Singletary felt that the Board should first clarify its intent and then make a ruling on Professor Krogdahl's request for a waiver.

After full discussion, Governor Chandler moved that the Board of Trustees adopt the following resolution: "The Board of Trustees interprets the third literal paragraph of Section X-C-7 of the Governing Regulations to require that a faculty member who enters a partisan political campaign for a statewide or federal office must be required to be placed on leave of absence without pay". His motion was seconded and passed.

On the basis of the Board's interpretation of the Governing Regulations as established in the above resolution, on motion duly made, seconded, and carried, Professor Krogdahl's request for a waiver was denied. (See PR 9 at the end of the Minutes.)

L. Amendment to Governing Regulations Ordered (PR 10)

Mr. Wright moved that the administration prepare an amendment to Section X-C-7 of the Governing Regulations which would incorporate the Board's intent as clarified in the resolution just adopted. Mrs. Blazer seconded his motion and, without dissent, it was so ordered. (See PR 10 at the end of the Minutes.)

M. Biennial Budget Request

President Singletary in his presentation of the 1972-74 biennial budget request stated that this was one of the leanest budgets in the history of the University of Kentucky. It calls for an increase of \$10.7 million for the first year and \$7.8 million for the second year of the biennium. The budget, prepared according to a state format, is based on projections that the University

enrollment on all campuses will increase by approximately 5 percent each year of the biennium; it provides for fixed costs, a 5.2 percent cost-of-living increase for faculty and staff, for 182 new faculty positions at the Lexington campus and another 45 new faculty members for the Community College System, and for a minimum of new or expanded programs. Dr. Singletary emphasized that in most instances the University's budget requests in the various areas outlined above were lower than those permitted under the state format.

Commending President Singletary and Dr. Donald Clapp, Director of the Budget, for the excellent budget presented for approval, Governor Chandler moved that the biennial budget request for 1972-74 be approved for transmission to the Council on Public Higher Education for further transmission to the Governor through the Department of Finance. His motion was seconded by Mrs. Blazer and passed with all present voting "aye".

N. Interim Financial Reports (FCR 1a and 1b)

Without discussion, on motion by Mr. Pence, seconded by Mr. Cooper, and passed without dissent, the financial report covering the period ending August 31, 1971 and the report covering the period ending September 30, 1971 were accepted and ordered filed. (See FCR 1a and 1b at the end of the Minutes.)

O. Audits for the University of Kentucky for 1970-71 Accepted (FCR 2)

On motion by Mrs. Clark, seconded by Governor Chandler, and all present voting "aye", the University of Kentucky Summary Financial Report 1970-71, University of Kentucky Detailed Financial Report dated June 30, 1971, University of Kentucky Housing and Dining System Financial Report June 30, 1971, Purchasing Letter 1970-71, and Cash Reconciliation June 30, 1971 as submitted by the firm, Peat, Marwick, Mitchel & Company were accepted and ordered filed. (See FCR 2 at the end of the Minutes.)

P. Resolution Authorizing the Filing of an Application with the State Property and Buildings Commission of the Commonwealth of Kentucky for such Commission to Authorize \$9,000,000 of Revenue Bonds and in Anticipation of the Issuance Thereof Authorize \$9,000,000 of Notes to Finance a New Stadium, Parking Areas to be Used in Connection Therewith and Other Necessary Appurtenances, Authorizing the Execution of a Lease Between the Board of Trustees of the University of Kentucky and Such Commission of Such Properties, Authorizing the Execution of an Agreement with the University of Kentucky Athletic Association and Authorizing the Execution and Delivery of a Deed of the Properties on Which such Facilities are to be Located to Such Commission.

Mr. Forgy explained that in connection with the financing of a new stadium, parking areas to be used in connection therewith and other necessary appurtenances the State Property and Buildings Commission of the Commonwealth of Kentucky had already adopted on November 11, 1971, a resolution authorizing the issuance of \$9,000,000 of revenue bonds and in anticipation of the issuance of such bonds the issue, sale and delivery of \$9,000,000 of notes, all subject to the Board of Trustees making a formal application to the Commission that the Commission proceed with the necessary steps in such financing. After the matter had been discussed, Mr. Chandler introduced, caused to be read and moved the immediate adoption of a resolution entitled:

A RESOLUTION AUTHORIZING AN APPLICATION TO BE MADE TO THE STATE PROPERTY AND BUILDINGS COMMISSION OF THE COMMONWEALTH OF KENTUCKY FOR SUCH COMMISSION TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF ITS REVENUE BONDS AND IN ANTICIPATION THEREOF TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF NOTES, ALL IN ACCORDANCE WITH A RESOLUTION ALREADY ADOPTED BY SUCH COMMISSION ON NOVEMBER 11, 1971, APPROVING THE TERMS OF SUCH RESOLUTION OF SUCH COMMISSION, AUTHORIZING THE EXECUTION OF A LEASE OF THE PROPERTIES BEING FINANCED BY THE PROVISIONS OF SUCH RESOLUTION, AUTHORIZING THE EXECUTION OF AN AGREEMENT WITH THE UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION AND AUTHORIZING THE EXECUTION OF A DEED BY THE BOARD TO SUCH COMMISSION OF THE PROPERTIES CONSTITUTING THE PROJECT WHICH WILL BE FINANCED BY THE ISSUANCE OF SUCH BONDS AND NOTES.

a true copy of which was presented to the meeting at which such resolution was proposed and a true copy of which is attached to these Minutes. The motion for the adoption of said resolution was seconded by Mr. Pence.

Prior to the vote on the motion, Mr. Wendelsdorf requested that it be made a matter of record that he felt that now is not the time to engage in such a project. Following this discussion, the Vice Chairman put the question, and upon call of the roll, the vote was recorded as follows:

Voting "Aye": Mr. Clay, Mrs. Blazer, Mr. Chandler, Mr. Cooper, Mr. Goss, Mrs. Clark, Mr. Pence and Mr. Wright.

Voting "Nay": None.

Q. Report on Investments (ICR 1)

On motion by Mrs. Blazer, seconded, and passed, the report on

investments for the quarter ended September 30, 1971 was accepted and ordered filed. (See ICR 1 at the end of the Minutes.)

R. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the meeting adjourned at 4:00 p. m.

Respectfully submitted,

Secretary, Board of Trustees

(PRs 2, 3, 4, 5, 6, 7, 8, 9, and 10, FCRs 1a, 1b, 2, and 3 with Resolution, and ICR 1 which follow are official parts of the Minutes of the meeting.)

P R I

PRESIDENT'S REPORT TO THE TRUSTEES

November 15, 1971

1. RECEIVE EXCELLENT RATING IN GRAD SCHOOL PROGRESS

A rating of excellent for progress has been given the Graduate School in a report released by the U.S. Office of Education, National Defense Education Act. NDEA, which regularly surveys graduate programs to assure proper training of students receiving three-year NDEA Graduate Fellowships, ranked the University highest, or excellent, in the area of "recent progress in the expansion and strengthening of doctoral programs." The Graduate School was given an overall score of good--the second highest rating on a five-point scale--by the surveyors. NDEA officials said the University graduate program could make a great contribution to the needs of its geographical region. Dr. William H. Dennen, acting dean of the Graduate School, said he was extremely pleased with the NDEA rating, pointing out that this was an outside group coming into the University and evaluating the overall program. "We have no idea who the individuals were that surveyed the campus," he continued. "Each department which has developed a graduate program was visited." This year, the U.S. Commissioner of Education allocated 18 new NDEA graduate fellowships to the University and approved 36 doctoral degree programs where these fellowships may be used. Fifteen of the 36 programs have been added to the curriculum since 1966.

2. RECEIVE SAFETY COUNCIL AWARD FOR FOURTH YEAR

The University has received the National Safety Council's highest award for safety achievement for the fourth straight year. In its annual report the Safety Department notes that the 503 accidents reported is the lowest ever recorded, although the number includes 123 disabling accidents, an increase over the previous report. The disabling accidents accounted for 1491 days lost, but five separate accidents were responsible for 851. Improvement especially was noted in the Medical Center, where two accidents accounted for 51 of the 86 days lost. Accidents involving sharp pointed objects, primarily needle punctures, were reduced, but eye accidents increased. A new program enables employees, as well as students, to buy prescription safety glasses at substantial reductions. It is noted that 52.6 per cent of all accidents occurred to employees with less than three years' employment; well over half of these were to employees with less than one year. The report states that "the University has compiled an excellent safety record and can be proud of the progress made in improving safety conditions."

3. UKTV SOON WILL REACH 11,000 STUDENTS

Television as an aid to instruction at the University is rapidly extending into every classroom building on campus. UKTV currently is providing 25 to 30 instructional units a week to nearly all of the 14 colleges in the Community College System, plus a catalog of nearly 200 videotaped lectures to the central campus at Lexington. In addition, a cultural series is made available regularly to the Kentucky Educational Television Network, which has attained its original goal: total coverage of the state of Kentucky, making available its programs to every citizen who owns a TV set. UKTV, in its third year, now is fully staffed, and its closed-circuit cables have been connected to most of the major classroom buildings on the campus. When the Medical Center installation is completed, the system will reach into over 200 classrooms which can accommodate over 11,000 potential views simultaneously. With future cable connections and a mobile unit fitted with broadcast quality equipment, presentations in Memorial Hall and other large lecture halls on the Lexington campus will be available for immediate campus distribution, community college distribution, broadcast over the ETV network or videotape recording for delayed broadcast. Lectures beamed to campus classrooms include large enrollment classes in political science, agriculture and English, and courses in sociology and engineering mechanics.

Cultural programs, largely disseminated through the Kentucky ETV Network, include: "Conversation," a periodic presentation involving University faculty in informal talks with distinguished visitors to the area; "Panmed," produced in cooperation with the Medical Center and the University of Louisville's Schools of Medicine and Dentistry, and aimed at keeping health professionals informed of developments in the field; musical programs; drama, and others. UKTV is administered by the Office of Media Services, directed by Dr. Paul Owen, who also notes that UKTV provides laboratory facilities for the Department of Telecommunications.

4. HEW SUPPORTS NURSING CARE CONFERENCES

College of Nursing faculty and representatives from the College of Business and Economics and the Department of Sociology conducted the first in a series of four conferences on "Management for Nursing Care," September 27-October 1. The conferences, supported through a short-term grant from the Division of Nursing, Public Health Service of HEW, was attended by 50 nurses from Kentucky, Indiana, Ohio and Georgia. Purpose of the series is to aid supervisors and head nurses in upgrading their managerial skills. Second in the series is scheduled for late November. Directing the first program was Miss Irma Bolte, director of continuing education for the College of Nursing. She was assisted by Dr. William Bryan, director of student services for the Albert B. Chandler Medical Center, and Dr. Loretta Denman, acting dean, Miss Margaret Doyle, assistant professor, Dr. Eleanor Repp, assistant dean and Dr. Betty Rudnick, professor, of the College of Nursing. Guest faculty included Dr. E. Grant Youmans, professor of sociology, and William E. Younk, industrial management specialist of the College of Business and Economics.

5. PHARMACY COLLEGE TELECAST'S SEMINAR SERIES

More than 1,700 pharmacists throughout Kentucky this month were able to begin a continuous education course via closed circuit TV or video tape programs at 17 locations across the Commonwealth. The College of Pharmacy has telecast the first of eight two-hour TV seminars on various medical topics. The series will continue through next May. Programs will be available at community colleges in Maysville, Ashland, Prestonsburg, Hazard, Cumberland, Somerset, Elizabethtown, Henderson, Louisville, Hopkinsville and Paducah. Locations at Western Kentucky State University, Eastern State University, Covington Catholic High School, and on the central campus also will be utilized for the seminars. The pharmacy college is broadcasting the series over the University Educational Television network to all locations except Covington, Louisville and Henderson, where the program will be reproduced by video tape. "Today's pharmacists, like other health professionals, must keep abreast of many technical advances, as well as the changing role of practitioners, by continuing their education," said Dr. Harry A. Smith, director of the college's continuing education program. "The College of Pharmacy is using a statewide program of education assistance for Kentucky pharmacists to aid them in fulfilling their responsibility to maintain professional competency," added Dr. Smith.

Program content for the seminars include "Arteriosclerosis and Therapy," "Diabetes and Therapy," "Childhood Diseases and Pediatrics." Several physicians in private practice who specialize in the subject areas and faculty of the Colleges of Medicine and Pharmacy will staff the programs. Each seminar consists of a lecture, question and answer session, and discussion period. The lecturer will be available to participants by the use of a telephone connection with each location. Televised programs on the KET network as part of the PANMED series and produced by the colleges of the Medical Center also are aimed at pharmacists. In November, the following subjects will be aired at 11 p.m. (EST): November 10, "National Health and Insurance Plans," November 17, "Patient Medication Records System," and November 24, "The Roles of Pharmacists in Public Health." Another educational tool being developed by the College of Pharmacy is an audio-cassette tape library. These mini-seminars or special lecture programs are designed for individual or small group study and include questionnaires and visual aids.

6. ASHLAND HAS LAW ENFORCEMENT PROGRAM

Ashland Community College has received funding for the Law Enforcement Education Program from the U.S. Law Enforcement Assistance Administration. A grant of \$2,500 has been received with a commitment totaling \$3,750 for fiscal 1971-72. A local advisory committee for the program and for the Social Work Technology program has been established. Lewis Mutters, chief of the Ashland Police Department, has been elected chairman, and Miss Wanda Joan Franz, a student in the Social Work Technology program, has been elected secretary.

7. SOCIAL PROFESSIONS HAS FIVE LEARNING CENTERS

The College of Social Professions has opened a fifth Teaching-Learning Center, designed for students who seek practical experience. The new center is devoted to urban concerns, according to director John Meyers, who added that the idea for the center evolved partly as a need for a place where students could learn about planned social change and the development of preventive programs. The new community base will be in the Rural Affairs Center of the Office of Economic Opportunity in the Second Street YMCA. Other Teaching-Learning Centers of the college are the Governmental Services Center, Frankfort, directed by Miss Betty Kirlin, and three others in Lexington: Center for Mental Health Agencies, directed by Stanley Blostein, Center for Agencies Specializing in Family and Children's Services, directed by Denzel Johnston, and Center for Medical Services, which includes the Medical Center, directed by Dr. Roy Yarbrough. Dean Ernest F. Witte pointed out that 48 graduate students who entered the college's first graduate class last year are in the field during the fall semester, mostly at the Teaching-Learning Centers. Students studying for a bachelor's degree are assigned to the centers, and work with the graduate students several hours each week.

8. NORTH KENTUCKY, LOUISVILLE, NOW HOST STUDENT TEACHERS

The director of Laboratory Experiences in the College of Education is extending the locale for the final phase of these experiences--student teaching--to Northern Kentucky and Louisville. Dr. C. Leland Smith said that Covington and Louisville each have new student teaching centers. The Covington center, under the full-time direction of Dr. Warren Corbin, supervises 27 University student teachers in various public school systems in the area. The Louisville center is headed by Mrs. Lucy Shine, who supervises 25 education interns and student teachers. Student teachers in Central Kentucky are supervised by the staff of 24 coordinators who operate from the central campus. A total of 325 student teachers have been placed in all the schools during the current semester. More students are ready for student teaching during the spring semester each year, however, than in the fall semester. The total was 500 last spring, Dr. Smith said. Of the current 325, 90 are at the elementary level. The others teach at the secondary level. Dr. Smith, and his staff of coordinators who work with the cooperating or supervising teachers in the various schools, are developing pilot programs which will place all education students in public school classrooms as teacher aides and tutors at the sophomore and junior levels. A smaller number of education students volunteer for such activities at the present time.

9. TOBACCO CHEMISTS HERE FOR UNIVERSITY-HOSTED CONFERENCE

More than 225 scientists who specialize in the chemistry of tobacco participated in the 25th Tobacco Chemists Research Conference hosted by the University in Louisville early this fall. The conferees came from all over the world for the three-day meeting, according to Dr. Robert B. Griffith, director of the Tobacco and Health Research Institute.

10. HCC HAS INNOVATIVE ORIENTATION PROGRAM

An individualized approach is being used for Freshman Orientation classes at Henderson Community College, under the direction of James E. Long, coordinator of guidance. First initiated in the fall of 1970, with sophomore student leaders guiding small groups (8 to 12 freshmen) through a 16-week program, each of several small groups meets once a week with the primary goals as follows: promoting communication more effectively between peers and faculty; satisfying a sense of belonging; developing an understanding of college rules, student handbook, and college catalog; acquainting students with college facilities; helping students formulate college majors and vocational plans; assisting students in understanding their abilities and limitations; making students aware of their value systems, and establishing and achieving immediate and long-range goals that utilize personal strengths and values. Student reaction to the program has been favorable.

11. TRANSPORTATION PROGRAM ADVANCES AT LTI

The transportation industry was instrumental in getting the associate degree program in transportation at the Lexington Technical Institute developed. An Interstate Commerce Law course was added this semester and will be followed next semester with a course in "Practices and Procedures Before the Interstate Commerce Commission." With the addition of the two courses, the program now covers a wide range of transportation subjects. The Central Kentucky Traffic Club, Lexington, has established a Scholarship Fund, and monies from the fund are being made available to students pursuing a transportation major.

12. NAME CITIZENS ADVISORS AT SOMERSET

Somerset Community College and Somerset Area Vocational School have jointly appointed a Regional Citizens Advisory Committee on Occupational Education. Members represent educational institutions, state and federal agencies, industry, and labor. Purpose of the committee is to provide a communications link between occupational education and the public. Quarterly meetings are scheduled, and agenda items will be determined by members of the committee.

More than 55 rehabilitation counselors from West Kentucky are receiving training in prosthetics and orthotics at Elizabethtown Community College. The ten-week program includes topics on amputation, motor disabilities, and spinal orthotics. The program is a cooperative effort of the college, the College of Medicine, College of Medicine Rehabilitation Center, Louisville, the Bluegrass Artificial Limb Company, and the State Department of Education.

The second cycle of Operation College PREP, offered by Fort Campbell Military Installation and Hopkinsville Community College, began September 27. Courses of remedial math, reading and English are offered on post to military personnel on active duty on released time. The program is funded by the Veterans Administration. The college planned, implemented, and coordinates the program.

13. COMMISSION ON DRUG ABUSE HERE YESTERDAY

The University hosted the National Commission on Marihuana and Drug Abuse recently, as the Commission continued its series of informal hearings on the use of drugs on the nation's university and high school campuses. The 13-member commission--including nine public members and four Congressional members--is chaired by Raymond P. Shafer, former Pennsylvania governor. Members of the Commission at the University hearings were Kentucky Representative Tim Lee Carter, Chairman Shafer, Dr. John A. Howard, president of Rockford College, Rockford, Illinois, and president of the American Association of Independent College and University Presidents, and Commission Executive Director Michael Sonnenreich. All students in the Lexington area were invited to attend the hearing and participate in discussions. Format for the hearings as established by the Commission divided the program into two major sessions. The morning session, from 10 a.m. to noon, was open to the public and members of the news media. The afternoon session was designed to take testimony in private and was closed to the public.

14. 30 COLLEGES SEND DEBATERS TO HENRY CLAY DEBATES

Debaters from approximately 30 colleges and universities in 17 states participated in the University's annual invitational debate tournament October 8-9. The title of the local tournament has been officially changed to the "Henry Clay Debates," and the top award was volumes of the "Henry Clay Papers," published by the University Press of Kentucky. The tournament was directed by Dr. J. W. Patterson, director of forensics and debate coach. He was assisted by Robert Valentine and Jim LaCoste, graduate students in the Department of Speech. The UK Debaters Union served as official hosts for the tournament. Heading the group was Carl Brown, a two-year varsity debater from Louisville. Howell Hopson, a two-year varsity debater from Cadiz, is vice president. Secretary is Virginia Leach, Washington, D.C., also a two-year debater.

15. DATA PROCESSING PROGRAM AT SOMERSET

The Somerset Area Vocational School and Somerset Community College are sponsoring a joint program of instruction in data processing. Vocational school students are enrolled in supporting courses at the college, including Introduction to Business, Applications of Accounting, and Technical Communications. College students are receiving instruction in Computer Language and Unit Record Equipment from the Vocational School.

The Senior Citizens Program at the Somerset college, entitled Living and Learning, began its tenth session September 23. Participants in the program this semester include Mary O'Hara of the Division of Arts and Crafts at Frankfort, and officials from the office of the Secretary of State and the Department of Highways. Each semester, 60 to 70 senior citizens participate in the program, and last spring, 65 certificates were awarded.

16. AGRICULTURAL COLLEGE EDUCATIONAL BUS LAUNCHED

A mobile educational unit, a specially-equipped bus, has been launched in East Kentucky by the College of Agriculture, and will serve primarily 18 communities in Perry, Leslie and Breathitt counties, with Knott, Lee, Letcher, Owsley and Wolfe counties to be included for special programs. The educational bus is the only project of its kind in the country, and will serve as a meeting place (accommodating 24 people at a time), as a fully-equipped classroom, and will aid in community and development work. It will be manned by Extension specialists and agents.

17. MEDICAL CENTER NOW HAS 415 BEDS

Over 2,000 faculty, staff and hospital employees are carrying on a decade of tradition which has raised the Albert B. Chandler Medical Center from a fledgling institution to a modern medical complex with a national reputation. There now are 415 beds available for patient use in University Hospital. With its ambulant and outpatient wings, the hospital provides facilities for patients in general medicine, surgery, pediatrics, psychiatry, obstetrics, neurology, rehabilitation and dentistry.

18. RECEIVE GRANT FOR PROGRAM FOR HARD OF HEARING

Jefferson Community College has received an \$11,685 grant from the Bureau of Vocational Education, Department of Education, to establish a special program for the hard of hearing. The program offers course work which will lead to an associate degree in electrical engineering technology. The objectives of the program are: (1) to provide vocational technical training for the hard of hearing student at the college level; (2) to provide the necessary instructional environment to allow a hard of hearing student to succeed in a vocation program; (3) to develop an in-service training program for college instructors to prepare them to teach effectively handicapped students; (4) to organize and structure a model for the evaluation of other college level programs for the handicapped; (5) to develop innovative and creative methods and instructional tools for use in the classroom.

19. EXTENSION OPERATES THROUGH SEVEN AREAS

University extension classes now are available through seven divisions of University Extension. Credit and non-credit college level courses and 40 high school courses are offered through the Independent Study Program. The Extension Class Program serves students off-campus who are unable to attend the University, or find it more helpful to work on research problems. The program includes credit and non-credit courses for teachers, administrators, business personnel and others. The Evening Class Program provides an opportunity for continuing education through credit and non-credit classes taught by staff members and community leaders. Other programs offered are the Conference and Institute Program, Council on Aging, Continuing Education, and Civil Defense Program.

20. UKRF REPORTS \$6 MILLION IN GRANTS SINCE JULY

During the period August 1-September 30, a total of 58 agreement awards was received by the University of Kentucky Research Foundation, amounting to \$1,094,188.47. Forty-five grants received additional funds, amounting to \$258,938. Four grants were decreased by \$8,808.50. Total agreement awards for August and September was \$1,349,691.97. Total awards since July 1: \$6,117,843.90.

COLLEGE OF AGRICULTURE

Department of Agronomy--W. O. Atkinson, Agronomic Tobacco Research Program, FMC Corporation, \$420. W. O. Atkinson, Agronomic Tobacco Research Program, PPC Industries, Inc., \$330. W. O. Atkinson and J. H. Smiley, Agronomic Tobacco Research Program, ICI American, Inc., \$1,000. J. W. Herron and L. Thompson, Uniroyal Chemical Co., \$250 (additional allotment). J. W. Herron and L. Thompson, Weed Control With Herbicides, Chemagro Corp., \$100 (additional allotment). L. Thompson, Research on Herbicides, American Cyanamid Co., \$500. J. H. Smiley, W. O. Atkinson and A. J. Hiatt, Agronomic Tobacco Research Program, Uniroyal Inc., \$250.

Department of Animal Sciences--R. Hemken, Pesticide Residue Studies with Dairy Cattle, Velsicol Chemical Corp., \$5000. G. Mitchell, International Research and Exchanges Board (Exchange Participant: Andrzej Potkanski From Poland), International Research and Exchange Board, \$6,775.

Department of Entomology--H. W. Dorough, Metabolism of Carbamate Insecticides, Environmental Protection Agency, \$44,546. F. W. Knapp and B. C. Pass, Studies Dealing with Mosquito and Tick Experiments with Dursban and Dowco 214 in the Leco Cold Fogger During 1971, Dow Chemical Co., \$3,014 (additional allotment). B. C. Pass and H. W. Dorough, Research on the Efficacy and Residual Nature of Chlordane Insecticide on Corn, Alfalfa, and in Soils, Velsicol Chemical Corp., \$10,000 (additional allotment).

Department of Home Economics Extension--D. A. Tichenor, Cooperative Program with Lees Junior College on our Foods and Nutrition Cooperative Extension Program, Lees Junior College, \$337.92 (additional funds). D. A. Tichenor, Cooperative Program with Lees Junior College on our Foods and Nutrition Cooperative Extension Program, Lees Junior College, \$422.40 (additional allotment - total amount of award now \$3,677.52).

Department of Plant Pathology--M. R. Siegel, Mechanism of Action and Metabolic Fate of Fungicides, National Institute of Environmental Health Sciences, \$26,826. H. E. Wheeler, Pathological Changes In Structure and Function, National Institutes of Health, \$34,255.

Department of Veterinary Science--J. H. Drudge, Education in the Field of Parasitology, The Merck Co. Foundation, \$2,500 (additional funds). E. T. Lyons and J. H. Drudge, Research of Holoxon (Loxon) as an Esquire Anthelmintic, William Cooper & Nephews, Inc., \$3,000. J. H. Drudge and E. T. Lyons, Anti-parasitic Activity of Dichlorvos in the Horse, Shell Chemical Co., \$2,500 (additional funds). J. H. Drudge and E. T. Lyons, Research Investigations on I-Tetramisole in Horse and Cattle, American Cyanamid Co., \$3,530 (additional allotment).

D. O. Morgan and J. T. Bryans, Research on the Immunology of Equine Infectious Anemia, Grayson Foundation, ERA, \$13,000. T. W. Swerczek, Research on Toxicity of Cycloserine (and O-Carbamyl-D-Serine) in the Horse, Commercial Solvents Corp., \$600.

COLLEGE OF ARTS & SCIENCES

Department of Anthropology--Frank Essene and Crawford Blakeman Jr., National Science Foundation Graduate Fellowship, National Science Foundation, \$5,100 (additional funds).

Department of Music--Hubert Henderson, Central Kentucky Youth Orchestra, Central Kentucky Youth Orchestra, \$3,000 (additional funds).

COLLEGE OF BUSINESS & ECONOMICS

Office of Business Development and Government Services--J. L. Gibson, Management-By-Objectives Administrative System for the Kentucky Department of Health, State Department of Health, \$11,025.

Department of Economics--C. E. Harvey, International Research & Exchanges Board Travel Grant, International Research & Exchanges Board, \$1,326 (additional allotment).

COMMUNITY COLLEGE SYSTEMS

Office of the Dean--Stanley Wall, Evaluation Workshop: Community College System Associate Degree Nursing Faculty and Practical Nurse Coordinators, State Bureau of Vocational Education, \$7,118.

CENTER FOR DEVELOPMENTAL CHANGE

Mrs. Leila Fraser, University of Kentucky-Monterrey Summer Program, Sears-Roebuck Foundation, \$1,500 (additional funds).

COLLEGE OF EDUCATION

Department of Curriculum & Instruction--Sue Lail, Teacher Corp-Cycle V Second Year Inservice, U.S. Office of Education, \$86,353.

Department of Health, Physical Education, and Recreation, Peter Verhoven, Prototype Master's Degree Program in Therapeutic Recreation, USOE, \$10,000 (additional funds).

Department of Educational Research & Development--D. Arnold, Personnel Services to the Louisville Urban Education Center, Louisville Urban Education Center, \$8,693.15.

Department of Educational Psychology & Counseling--C. Auvenshine, Teaching Grant & Traineeships in Rehabilitation Counseling, Social & Rehabilitation Service, \$45,946.

COLLEGE OF ENGINEERING

Department of Chemical Engineering--R. B. Grieves, Continuous Foam Separation to Treat Water For Military Bases, U.S. Army Research and Development Command, \$1,705 (additional funds). R. I. Kermode and R. W.J. Brett, Process Control of Activated Sludge Treatment, Office of Water Research Resources, U.S. Department of the Interior, \$3,350.

Department of Civil Engineering--E. G. Foree, The Effects of the "Flushable" Portions of Disposable Diapers on the Operation on Municipal Waste Treatment Plants, Procter & Gamble, \$496 (additional allotment - total amount of award is now \$3,963.38).

Department of Metallurgical Engineering--Hans Conrad, Metal Deformation Processing, U.S. Department of the Air Force, \$134,500 (additional allotment).

GRADUATE SCHOOL

Carl Cabe, Dissertation Fellowship For: Mary Kathryl Lane, The Ford Foundation, \$3,000.

OFFICE FOR INTERNATIONAL EDUCATION

David Blythe, Kentucky Partners of the Alliance, Kentucky Partners of the Alliance, \$500 (additional funds).

COLLEGE OF SOCIAL PROFESSIONS

E. F. Witte, Social Work Traineeships, Department of Economic Security, \$64,000. E. Witte, The Martha Davis Scholarship Fund, Kentucky Social Welfare Foundation, \$6,000.

Department of Social Work--P. McCabe and R. Brautigam, Project Newgate, U.S. Department of Justice, Bureau of Prisons, \$50,000.

VICE PRESIDENT FOR STUDENT AFFAIRS

Student Financial Aid Office--J. E. Ingle, Health Professions Scholarship Program, Public Health Service--Appalachian Regional Commission, \$49,100.

MEDICAL CENTER

Medical Center Library--O. Hamlin, Kentucky, Michigan, Ohio Regional Medical Library Program, Wayne State University, \$1,555.75 (additional funds).

Public Information and Services--W. R. Willard, Kentucky-Ohio Valley Assembly on the Health of Americans, Columbia University, The American Assembly, \$5,000.

COLLEGE OF ALLIED HEALTH PROFESSIONS

Department of Physical Therapy--R. V. McDougall, Physical Therapy Development Fund, Kentucky Chapter American Physical Therapy Association \$296.53 (additional funds). R. V. McDougall, Traineeships in Physical Therapy, HEW, \$8,341.

COLLEGE OF DENTISTRY

Office of the Dean--H. M. Bohannon, Special Purpose Fund, Anonymous \$3,000.

COLLEGE OF MEDICINE

Office of the Dean--W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, Misc. Individuals, \$275 (additional funds). W. S. Jordan, Edwin H. Munich Memorial Fund, Louis & Idah Ribin, \$5 (additional allotment). W. S. Jordan, National Fund for Medical Education Fund, Misc., \$500. W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, Misc., \$30 (additional allotment). W. S. Jordan, Dean's Emergency Fund, Southern Medical Association, \$500 (additional allotment). W. S. Jordan, Commonwealth Life Insurance Research Fund, Commonwealth Life Insurance, \$3,500.

Department of Anesthesiology--L. P. Redick, Shriners Hospital for Crippled Children - Account for Residents on Anesthesiology, Shriners Hospital for Crippled Children, \$10,000 (additional funds). J. D. Hasbrouck, The Antagonism of Morphine Anesthesia by Naloxone, Endo Lab. Inc., \$1,000 (additional allotment).

Department of Behavioral Science--R. Straus, Milbank Fellowship, Misc., \$753 (additional funds). D. White, NIH Post-Doctoral Supply Allowance - Thomas T. Lillich, NIH, \$1,000 (additional funds).

Department of Biochemistry--R. Lester, The Composition of the Lipid of Respiratory Particles, NIH, \$40,638.

Department of Community Medicine--D. J. Brecount, District Three State Tuberculosis Hospital Directorship, Commonwealth of Kentucky - Department of Health Service Contract, \$29,060. H. M. Vandiviere, Utilization Review Committee, Health Service Contract, \$10,080. M. H. Vandiviere, T. B. Control Consultant Service, Kentucky Department of Health, \$27,735. A. S. Beneson, International Clerkship Program, Misc. \$21.40 (additional allotment). M. L. Furcolow, Diagnosis and Treatment of Inpatients With Fungus Disease, Commonwealth of Kentucky, Department of Health, \$26,000. H. M. Vandiviere, Utilization Review Committee, Service Contract, Commonwealth of Kentucky, \$1,200 (decrease in allotment - total amount of award is now \$8,880). M. H. Vandiviere, T. B. Control Consultant Service, Commonwealth of Kentucky, Department of Health, \$8,265 (additional allotment).

DEPARTMENT OF MEDICINE

R. Penman, Student Scholarship Fund, Kentucky T. B. & Respiratory Disease Association, \$3,000 (additional funds). R. Shabetai, Student Scholarship Fund, Kentucky Heart Association, \$3,200 (additional funds). W. Waugh, Local Control of Peropheral Vascular Tone Renal, NIH, \$5,374 (decrease in allotment - total amount of award is now \$25,402). P. Van Lith, Black-Lung Disease Research Fund, Pulmonary Screening & Testing, Consulting Services, Inc., \$500. R. Seigel, Development & Implementation of a State-wide Comprehensive Kidney Disease Program, Kentucky State Department of Health, \$5,000. B. Surawicz, Undergraduate Cardiovascular Training Grant, NIH, \$26,500. B. Surawicz, Abnormal Egg in the Absence of Heart Diseases-Symposium, Kentucky Heart Association, \$1,500 (additional allotment). B. Surawicz, Abnormal Egg in the Absence of Heart Diseases-Symposium, Miscellaneous Foundations & Corporations, \$5,000 (additional allotment). J. Warren, Training and Traineeships in Rehabilitation Medicine, Vocational Rehabilitation, \$10,155.

Department of Microbiology--J. Sisken, Metabolic Sequences in the Mitotic Cycle, NIH, \$52,312.

Department of Neurology--D. Clark, Pike County-Neurology Clinics, Pike County Health Department, \$950.

Department of Neuro-Surgery--H. Norrell, Experimental Chemotherapy of Brain Tumor Patients, Public Health Services, \$4,900 (additional funds).

Department of Pathology--J. J. Vazquez, Pathology & Cellular Basis of Immune Response, NIH, \$47,390.

Department of Pediatrics--D. Hollingsworth, Un-wed Mothers Program, Service Contract, Kentucky Department of Health, \$38,476. R. Beargie, Care of Premature and High Risk Infants, Commonwealth of Kentucky, Department of Health, \$30,912. P. Holland, University of Kentucky Children's Leukemia Fund, Misc., \$100. J. Noonan, Pediatric's Heart Research Fund and Ricky Warren Memorial, Misc., \$90 (additional funds). W. Wheeler, The Enrichment of Residents in the Department of Pediatrics, Fayette County Children's Bureau, \$28.50 (decrease in allotment - total amount of award is now \$1,771.50).

Department of Pharmacology--T. Z. Csaky, Effects of Sugars on Central Nervous Systems, NIH, \$17,220. D. F. Diedrich, Phlorizin Inhibition of Sugar Transport, NIH, \$3,988 (additional allotment).

Department of Physiology--E. P. McCutcheon, Workshop on Advances in Chronic Cardiovascular Instrumentation, U.S. Department of the Air Force, \$6,400.

Department of Psychiatry--G. M. Henry, G. P. Residency Training-Psychiatry, NIH, \$14,580. H. A. Storrow, Psychiatry-Basic Residency, National Institutes of Mental Health, \$64,641. C. Wilbur, Undergraduate Psychiatry, NIMH, \$2,206 (decrease in allotment - total amount of award is now \$43,162).

Department of Radiation Medicine--Y. Maruyama, Dr. Yosh Maruyama Fund for Research in Radiation Medicine, Anonymous, \$5,000.

Department of Surgery--W. Griffen and C. Sachatello, Robert W. Jewell Research Fund, Mr. & Mrs. R. W. Jewell Research Fund, \$5,000. W. Jewell, Fred Rankin Surgical Fund, Misc., \$30 (additional funds). W. Jewell, Central Clinical Drug Evaluation Program, Misc., \$605.32. W. O. Griffen, Arnold Hanger Fund, Misc., \$300. J. Jewell, Fred Rankin Surgical Fund, Misc., \$35 (additional allotment).

Division of Ophthalmology--J. Wirtschafter, Ophthalmology Development Fund, Lexington Lions Club, \$959 (additional funds). J. Wirtschafter, Ophthalmology Development, Lexington Lions Club, \$95 (additional allotment).

Department of University Center for the Handicapped--V. L. James, Traveling Clinic and Training Program for Communicative Disorders, HEW, \$57,596.

COLLEGE OF NURSING

M. Dake, W. K. Kellogg Foundation Project, W. K. Kellogg Foundation, \$17,658 (additional funds). J. Fleming, Summer Projects to Gain Additional Insight into the Potential or Improving Nursing Services to Patients Studied, State Health Department (Maternal and Child Health Division), \$3,300. E. C. Repp, Professional Nurse Traineeship Program - Long Term Academic, NIH, \$26,055. E. C. Repp, A Simulated Patient - Situation Lab. Approach to the Teaching of Labor and Delivery, NIH, \$55,755.

COLLEGE OF PHARMACY

Dr. H. B. Kostenbauder, College of Pharmacy Research & Development Grant, Abbott Labs., \$500.

Department of Pharmacy--H. B. Kostenbauder, College of Pharmacy Research and Development Grant, Abbot, Bristol & Marion Labs., \$13,600 (additional allotment).

Department of Clinical Pharmacy--C. A. Walton, Adverse Drug Reaction Reporting Program, Treasurer of the United States, \$400 (additional allotment).

21. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Linda Wilkerson Clark, instructor in nursing at Paducah Community College, has published an article in the September issue of "Nursing Outlook," magazine of the National League for Nursing. The article, "Maternity Nursing--Challenge or Bore," is of a study on why so few nurses go into the field of maternity nursing.

Representing the University at the fifth annual History Forum, sponsored by Duquesne University's history department, October 27-29 at the William Penn Hotel, Pittsburgh, Pa., were Dr. Joseph A. Kessler, talking on "The Turanian Movement in Hungary: The 'Lunatic Fringe' of 1933-39," and Dr. Jerry M. Calton, speaking on "Lord Beaverbrook and the Empire Free Trade Movement of 1929-1932." Both are assistant professors.

Dr. Eldon D. Smith, professor of agricultural economics, recently spent three weeks in Bangkok as a consultant for the Food and Agriculture Organization of the United Nations and the United Nations Development Program. The mission primarily was to assist officials of the Ministry of Agriculture of Thailand in formulating a proposal for technical assistance support to establish an agricultural development planning unit within the Ministry of Agriculture of Thailand.

Dr. Russell H. Brannon, associate professor of agricultural economics, left in July to join the Kentucky-AID contract team of the Agricultural Center-Northeast, Khon Kaen, Thailand, where he will serve as an advisor in agricultural economics.

Dr. Loys L. Mather, assistant professor of agricultural economics, edited a book on "Economics of Consumer Protection," which has been published by The Interstate Printers & Publishers. It is a book of readings representing an effort by leading agricultural economists to stimulate interest, discussion, and research on the economic aspects of consumer protection.

Dr. Kurt R. Anshel, associate professor of agricultural economics, participated in a program on Rural Economic Development at the American Agricultural Economics Association meetings in Carbondale, Illinois, held in August. Dr. John C. Redman, professor of agricultural economics, also attended, as secretary-treasurer of the organization.

Dr. Carl B. Cone, professor of history, has returned from a seven months' research trip to England, bringing with him a new contract for a book based on his research in that country concerning King George III. The book will be a part of the English Monarchs series, published by Eyre & Spottiswoode.

"Courts as Small and Not So Small Groups," by Dr. S. Sidney Ulmer, professor of political science, has been published by the General Learning Press, New York. The study examines the many ways in which the small group concept has been used to provide new insights in the operation of collegial courts. It also explores several promising but untapped areas of research from a small group perspective.

The University Press of Kentucky has published the second volume in its series of Kentucky Nature Studies. The latest book, "Amphibians and Reptiles of Kentucky," was written and illustrated by Dr. Roger W. Barbour, professor of zoology, who has many years experience in both the study and photography of Kentucky flora and fauna.

Dr. A. Wayne Wonderley, chairman of the Department of Germanic Languages and Literatures, presided at an organization meeting of the American Association of Teachers of German during the 21st annual meeting of the Mountain Interstate Foreign Language Conference October 8-9 at Western Kentucky University. Faculty members presenting research studies during the conference were Dr. Wonderley, Robert F. Bell and Dr. Norman H. Binger, all of the German department, Dr. L. Clark Keating and Dr. Theodore Mueller, Department of French Languages and Literatures, and Dr. Gerardo Saenz, Department of Spanish and Italian.

Dr. William E. Stilwell, assistant professor of educational psychology, is author of "Student Orientation to an Individualized Education System," which appears in the current issue of the "Journal of Experimental Education."

Dr. Henry P. Cole, associate professor of educational psychology, is the author of an article concerning the development of curricula which facilitate creativity, in the current issue of "Journal of Research and Development in Education."

Dr. Joseph Ripley, chairman of the Department of Telecommunications, planned a seminar on "Programming and Management," in cooperation with the National Association of Broadcasters, held in Washington, D.C. earlier this month. Another member of the department, Dr. James E. Fletcher, chaired a panel of industry spokesmen on the topic, "The Influence Aspects of News Programming."

Dr. W. H. Griffin, director of the Office for International Education Programs, has received an invitation to become a senior fellow in the East-West Center in Honolulu, Hawaii. He will be on sabbatical from January to July, 1972.

Dr. Emmett R. Costich, associate dean of the College of Dentistry, served as program chairman for the 53rd annual meeting of the American Society of Oral Surgeons, held in Washington October 4-8. Also representing the University was Dr. Mark Kohn, chairman of the Department of Oral Surgery. Dr. William R. Profitt, chairman of the Department of Orthodontics, was a symposium discussant.

Dr. Robert C. Buckner, College of Agriculture agronomist, has received the American Forage and Grassland Council's Merit Certificate Award for his contributions in support of grassland agriculture.

Three University Extension home economists have received Distinguished Service Awards from the National Association of Extension Home Economists. They are Mrs. Emma Maxfield, McCracken county; Mrs. Lucy Forbes, Metcalfe county, and Mrs. Dauveen Roper, Graves county.

Dr. Eugene Bradley, associate professor of electrical engineering, has been honored by the Spectroscopy Society of Canada for the best paper published during the year in the society's journal, "Canadian Spectroscopy."

"The Modern British Monarchy," by Dr. J. A. Thompson, assistant professor of history, has been published by St. Martin's Press.

The Kentucky Medical Association has given its faculty scientific award to Dr. Fred W. Zechman Jr., chairman of the Department of Physiology and Biophysics.

Dean Lawrence A. Allen of the College of Library Science was the keynote speaker at a conference on Change and Communication at Indiana State University late last month.

Office of the President
November 15, 1971

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Changes in Titles in the Community College System (See Attachment)

Recommendation: that the recommended changes in titles for personnel in the Community College System which appear on the attached list be approved effective immediately.

Background: As a part of the Governing Regulations approved by the Board of Trustees on September 21, 1971 (VII-A-2) approval was given to the establishment of the ranks of instructor, assistant professor, associate professor and professor in the Community College System. In order to comply with this action, it is necessary to change the present titles from instructor and senior instructor to the new titles. The proposed title for each individual is consistent with his present rank and service record.

Changes in title for the System's office staff are also recommended. The proposed changes do not involve promotions but are recommended in order to give a closer identification of their responsibilities.

II. Quarterly Report on Gifts and/or Grants (See Attachment)

Recommendation: that the report on gifts and/or grants covering the period from July 1, 1971 through September 30, 1971, be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the period July 1 through September 30, 1971 in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of the gifts and/or grants has been made to all donors by the President on behalf of the Board.

III. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000

for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

- Frank M. Brown, Associate Professor, Department of Electrical Engineering, College of Engineering, effective January 1, 1972
- Arthur A. Rayner, Visiting Professor, Department of Statistics, College of Arts and Sciences, effective January 1, 1972
- Charles E. Breckinridge, Jr., Associate Professor, Department of Clinical Pharmacy, College of Pharmacy, effective December 1, 1971
- Marcus L. Dillon, Associate Professor, Department of Surgery, College of Medicine, retroactive to October 1, 1971
- William R. Elsea, Associate Clinical Professor, Department of Community Medicine, College of Medicine, retroactive to November 1, 1971 (Voluntary Faculty)
- Charles L. Shilling, Assistant Professor, Department of Forestry, College of Agriculture, retroactive to October 18, 1971 (Salary \$15,000 or over)
- Ernest Gail Barker, Jr., Assistant Professor, Department of Diagnostic Radiology, College of Medicine, effective November 15, 1971 (Salary \$15,000 or over)
- Wilbur C. Blount, Assistant Professor, Department of Surgery, Division of Ophthalmology, College of Medicine, retroactive to October 16, 1971 (Salary \$15,000 or over)
- Valeria S. Kullman, Assistant Professor, Department of Diagnostic Radiology, College of Medicine, effective January 1, 1972 (Salary \$15,000 or over)
- Catherine W. Malone, Assistant Professor and Chairman, Department Associate Degree Nursing, Maysville Community College, retroactive to November 1, 1971 (Salary \$15,000 or over)

Administrative Appointments

- James E. Funk, Acting Dean, College of Engineering, named Director of Institute for Mining and Minerals Research for the period October 1, 1971 through June 30, 1972
- Ralph A. Johnson, Lecturer, Department of Social Work, College of Social Professions, named Director of Education for the project NEWGATE for the period October 1, 1971 through June 30, 1972

Promotions

- Michael F. Kelly, College of Library Science, from Instructor to Assistant Professor, retroactive to October 1, 1971

Randolph Scheen, Department of Medicine, College of Medicine, from Assistant Clinical Professor to Associate Clinical Professor, effective January 1, 1972 (Voluntary Faculty)

Change in Status

Harris Isbell, Professor (with tenure), Department of Medicine, College of Medicine, to Clinical Professor (part-time), Department of Medicine, College of Medicine, retroactive to July 1, 1971

Retirements

Robert D. Haun, Professor, Department of Accounting, College of Business and Economics, after over 43 years of service, effective January 1, 1972

Elgan B. Farris, formerly Chief Engineer, Personnel Division, Business Affairs (currently on Total Disability), after over 42 years of service, effective December 1, 1972

Ted R. Looney, Foreman, Plumbing Shop, Physical Plant Division, after over 26 years of service, effective February 1, 1972

Bess D. Mayes, Administrative Assistant, Kentucky Kernel - Kentuckian, after over 43 years of service, effective January 16, 1972

Elizabeth F. Wachs, Senior Technician, Department of Animal Sciences, College of Agriculture, after over 29 years of service, effective January 1, 1972

Charles H. Stinnett, Electrician Foreman, Medical Center, after over 25 years of service, effective November 1, 1971

Leaves of Absence

C. Frank Buck, Professor, Department of Animal Sciences, College of Agriculture, sabbatical leave for the period July 1, 1972 through December 31, 1972

Wendell Berry, Professor, Department of English, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1972-73

Juris I. Berzins, Associate Professor, Department of Psychology, College of Arts and Sciences, sabbatical leave for the Spring Semester of 1972

Z. Govindarajuly, Professor, Department of Statistics, College of Arts and Sciences, leave without pay for the period January 1, 1972 through May 31, 1972

Donald Nugent, Associate Professor, Department of History, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1972-73

Henry Schankula, Instructor, Department of Philosophy, College of Arts and Sciences, leave without pay for the period July 1, 1972 through June 30, 1973

J. A. Thompson, Assistant Professor, Department of History, College of Arts and Sciences, sabbatical leave at half-salary for the academic year 1972-73

Mark B. Villarino, Instructor, Department of Computer Science, College of Arts and Sciences, leave without pay from October 5, 1971 for remainder of academic year

James A. Prestridge, Director, Institute for Environmental Studies, College of Architecture, sabbatical leave for the Spring Semester 1972

Morris Cierley, Professor, Graduate Studies and Research, College of Education, leave without pay for the period November 1, 1971 through December 31, 1971

David E. Denton, Associate Professor, Department of Social and Philosophical Studies, College of Education, sabbatical leave at half-salary for the academic year 1972-73

Kenneth Guido, Assistant Professor, College of Law, leave without pay for the Spring Semester of 1972

Lawrence A. Allen, Dean, College of Library Science, sabbatical leave at half-salary for the academic year 1972-73

Louis A. Norton, Associate Professor, Department of Orthodontics, College of Dentistry, sabbatical leave for the period July 1, 1972 through December 31, 1972

Marion Pearsall, Professor, Department of Behavioral Science, College of Medicine, and Department of Anthropology, College of Arts and Sciences, leave without pay for the period January 1, 1972 through June 30, 1972

ADMINISTRATION ACTION

New Appointments

Gerald LeRoy Nordin, Assistant Professor, Department of Entomology, College of Agriculture

Raziel S. Hakim, Assistant Professor, Department of Zoology, College of Arts and Sciences

Gerald J. Janecek, Instructor, Department of Slavic and Oriental Languages, College of Arts and Sciences

Mitchell Winthrop, Lecturer, Department of Slavic and Oriental Languages, College of Arts and Sciences

Major G. Woodroof III, Visiting Assistant Professor, College of Law

Mary Rebekah Heath, Librarian IV, Department of Cataloging

Thomas J. Welch, Clinical Instructor, Department of Radiologic Technology, College of Allied Health Professions

Ivan Marks, Instructor (part-time), Department of Oral Surgery, College of Dentistry

Max Behr, Instructor (part-time), Department of Oral Surgery, College of Dentistry

Jack Coyer, Instructor, Department of Medicine, College of Medicine

Emily Louise Egbert, Instructor, College of Nursing

Joyce Garrett, Instructor, Department of Materia Medica, College of Pharmacy

Jay B. Abramson, Clinical Instructor, Department of Community Dentistry,
College of Dentistry (Voluntary Faculty)

Charles W. Wilson, Clinical Instructor, Department of Community Dentistry,
College of Dentistry (Voluntary Faculty)

William V. Banks, Assistant Clinical Professor, Department of Community
Medicine, College of Medicine (Voluntary Faculty)

W. B. Rogers Beasley, Assistant Clinical Professor, Department of
Community Medicine, College of Medicine (Voluntary Faculty)

David G. Levine, Clinical Instructor, Department of Psychiatry, College of
Medicine (Voluntary Faculty)

Carl H. Slater, Assistant Clinical Professor, Department of Community
Medicine, College of Medicine (Voluntary Faculty)

Thomas R. Clarkson, Clinical Instructor, Department of Pharmacy, College
of Pharmacy (Voluntary Faculty)

Vernon B. Hager, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)

Jimmie W. Lockhart, Clinical Instructor, Department of Pharmacy, College
of Pharmacy (Voluntary Faculty)

Chester L. Parker, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)

Edwin G. Spalding, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)

William H. Wagers, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)

Ridgely Park, Instructor, Ashland Community College

Ann Wills Brentwood, Instructor, Hopkinsville Community College

Harold Longworth, Instructor, Maysville Community College

John D. Douglass, Instructor, Somerset Community College

Goldie G. McCann, Instructor, Somerset Community College

Joint Appointment

William V. Miller, Assistant Professor (with tenure), Department of
Pathology, College of Medicine, named Assistant Professor (with tenure),
Department of Medical Technology, College of Allied Health Professions

Administrative Appointments

Samuel Conti, Director, School of Biological Sciences, named Acting
Chairman of the Department of Zoology, College of Arts and Sciences

David A. Shattles, Lecturer, Department of Social Work, College of Social
Professions, named Associate Project Director, Newgate

P. G. Woolfolk, Professor, Department of Animal Sciences, College of
Agriculture, named Associate Chairman of the Department of Animal
Sciences

Reappointment

Konstantin George Prokos, Instructor, Hazard Community College

Change in Status

Joc B. Williams, Extension Specialist (with tenure), Cooperative Extension, College of Agriculture. to Assistant Extension Professor (STS) (with tenure), Department of Public Information, College of Agriculture

Action: Approved X Disapproved _____ Other _____

Date: November 15, 1971

ASHLAND COMMUNITY COLLEGE

Allemang, Thomas	Senior Instructor with tenure to Associate Professor with tenure
Allen, Jennifer	Instructor without tenure to Instructor
Broughton, Ellis	Senior Instructor with tenure to Associate Professor with tenure
Chattin, Ernie	Visiting Instructor to Visiting Instructor
Colebank, Kenneth	Senior Instructor with tenure to Associate Professor with tenure
Conley, Opal	Assistant Professor with tenure to Associate Professor with tenure
Cook, Klara	Assistant Professor with tenure to Associate Professor with tenure
Dailey, H. J.	Assistant Professor with tenure to Associate Professor with tenure

Edwards, George	Assistant Professor with tenure to Associate Professor with tenure
Garg, Uma	Instructor to Instructor
Ghassomians, Nikola	Instructor to Assistant Professor
Goodpaster, Robert	Assistant Professor with tenure to Associate Professor with tenure
Greear, Dewey	Instructor to Assistant Professor
Griffiths, Carole	Senior Instructor with tenure to Associate Professor with tenure
Halladay, Robert	Senior Instructor with tenure to Associate Professor with tenure
Hedlund, Richard	Senior Instructor with tenure to Associate Professor with tenure
Hope, Dorothy	Senior Instructor with tenure to Associate Professor with tenure
Howard, Melba F.	Senior Instructor with tenure to Associate Professor with tenure
Hughes, Henry	Instructor to Instructor
Jackson, Max	Senior Instructor with tenure to Associate Professor with tenure
Jennings, Jeannette	Senior Instructor with tenure to Associate Professor with tenure
Kidd, Vincent	Instructor to Instructor
LeRoy, L. Jack	Senior Instructor with tenure to Associate Professor with tenure
Lin, Yu-ji	Instructor to Instructor
Lively, Carl	Instructor to Assistant Professor
Livingston, George	Senior Instructor with tenure to Associate Professor with tenure
McClellan, Nancy	Senior Instructor with tenure to Associate Professor with tenure
Miller, Jimmie Jack	Instructor to Instructor
O'Brien, George	Assistant Professor with tenure to Associate Professor with tenure
Park, Ridgely	Instructor to Assistant Professor
Pyles, Sarah	Senior Instructor with tenure to Associate Professor with tenure
Reed, Kathryn	Instructor to Instructor
Schultetus, R. R.	Instructor to Instructor
Sowards, John B.	Senior Instructor with tenure to Associate Professor with tenure
Tucker, Ernest	Instructor to Assistant Professor
Walthall, Elizabeth	Senior Instructor with tenure to Associate Professor with tenure
Williams, Betty	Instructor to Instructor

ELIZABETHTOWN COMMUNITY COLLEGE

Alvey, Linda	Senior Instructor with tenure to Associate Professor with tenure
Bain, Martha	Senior Instructor with tenure to Associate Professor with tenure
Bavier, Anne K.	Instructor to Instructor
Bennett, Thomas	Instructor to Instructor
Brandenburg, Phyllis	Senior Instructor with tenure to Associate Professor with tenure
Coyle, Michael	Instructor to Assistant Professor
Day, David	Instructor to Assistant Professor
del Castillo, Modesto	Instructor to Assistant Professor
Green, Donald L.	Instructor to Assistant Professor

ELIZABETHTOWN COMMUNITY COLLEGE (continued)

Hiatt, James G.	Instructor to Assistant Professor
Hill, J. Robert	Senior Instructor with tenure to Associate Professor with tenure
Hill, Martha	Instructor to Assistant Professor
Keen, Robert	Business Officer to Associate Director, Business Affairs
Kieser, Lila E.	Senior Instructor with tenure to Associate Professor with tenure
Kinsey, Ruth	Instructor to Instructor
Kirby, H. Shelton	Instructor to Assistant Professor
Knudson, Martha	Senior Instructor with tenure to Associate Professor with tenure
Lee, Harry	Instructor to Assistant Professor
Leitzell, Gerald M.	Instructor to Assistant Professor
Livesay, Powell M.	Senior Instructor with tenure to Associate Professor with tenure
Lucas, Mary	Instructor to Instructor
Morgan, Edward	Instructor to Assistant Professor
Owen, James	Assistant Professor with tenure to Associate Professor with tenure
Riffe, John	Instructor to Instructor
Robinson, Michael E.	Instructor to Assistant Professor
Ryan, M. Jean	Senior Instructor with tenure to Associate Professor with tenure
Scott, Gary D.	Instructor to Instructor
Smith, Bill G.	Instructor to Assistant Professor
Streible, Susan	Instructor to Instructor
Thomas, M. Jane	Instructor to Instructor
Todd, Nellie F.	Instructor to Instructor
Toohey, Robert	Senior Instructor with tenure to Associate Professor with tenure
Utley, M. Maurice	Senior Instructor with tenure to Associate Professor with tenure
Wallace, Donald	Senior Instructor with tenure to Associate Professor with tenure

HAZARD COMMUNITY COLLEGE

Atkinson, Edward R.	Instructor to Instructor
Brown, John K.	Senior Instructor with tenure to Associate Professor with tenure
Brown, Mary C.	Senior Instructor with tenure to Associate Professor with tenure
Chiang, Bob	Senior Instructor without tenure to Assistant Professor
Clark, Ralph	Instructor to Assistant Professor
Holloway, G. K.	Instructor to Instructor
Jolly, Marvin	Associate Professor with tenure
Long, A. Gene	Instructor to Assistant Professor
Prokos, Kon	Instructor to Instructor
Robinson, Carol	Instructor to Instructor
Webster, Joseph	Instructor to Assistant Professor

HENDERSON COMMUNITY COLLEGE

Arnold, Marshall	Assistant Professor with tenure to Associate Professor with tenure
Boyd, Carl	Senior Instructor with tenure to Associate Professor with tenure
Braden, Albert	Instructor to Assistant Professor

HENDERSON COMMUNITY COLLEGE (continued)

Buckmaster, Grace	Instructor to Instructor
Burris, Kathryn	Instructor to Instructor
Butler, Ronald	Senior Instructor with tenure to Associate Professor with tenure
Carrico, Sister A.	Instructor to Assistant Professor
Caudill, Sharon	Instructor to Instructor
Celania, Joseph	Instructor to Instructor
Chapman, Don	Instructor to Instructor
Duffy, Julian	Instructor to Instructor
Elkins, Ira	Senior Instructor with tenure to Associate Professor with tenure
Gibson, Kenneth	Instructor to Instructor
Hedgespeth, Alan	Instructor to Instructor
Lacefield, Arch	Senior Instructor with tenure to Associate Professor with tenure
Long, James	Instructor to Assistant Professor
McNair, Lee	Instructor to Assistant Professor
Martinez, Jeordano	Instructor to Assistant Professor
Messer, David	Instructor to Instructor
Newman, Martha	Instructor to Instructor
Overfield, E. L.	Senior Instructor with tenure to Associate Professor with tenure
Palmore, William	Instructor to Instructor
Park, Bob	Instructor to Assistant Professor
Price, William	Senior Instructor without tenure to Assistant Professor
Rankin, Ed	Instructor to Instructor
Richards, Nancy	Instructor to Instructor
Sistarenik, John	Instructor to Instructor
Tapp, Dorothy	Senior Instructor with tenure to Associate Professor with tenure
Thompson, Michael	Instructor to Instructor
Watson, Claudia	Instructor to Assistant Professor

HOPKINSVILLE COMMUNITY COLLEGE

Brentwood, Ann W.	Instructor to Instructor
Claiborne, Elizabeth	Senior Instructor with tenure to Associate Professor with tenure
Crane, Leslie	Instructor to Assistant Professor
Duguid, Hugh	Instructor to Instructor
Ellett, Cora	Instructor to Instructor
Gabbard, Jo Anne	Instructor to Instructor
Hargis, Desmond	Senior Instructor with tenure to Associate Professor with tenure
Irwin, Charles R.	Instructor to Instructor
Koelbl, Dorothy	Senior Instructor without tenure to Assistant Professor
Lancaster, Larry	Instructor to Instructor
Lewis, Clyde	Senior Instructor with tenure to Associate Professor with tenure
McCutcheon, Judy	Instructor to Instructor
McReynolds, Mary	Instructor to Instructor
Major, Brooks	Senior Instructor without tenure to Assistant Professor
Perry, Barry	Instructor to Instructor
Riley, Thomas	Assistant Professor with tenure to Associate Professor with tenure
Rogers, James	Instructor to Assistant Professor
Self, E. B.	Senior Instructor without tenure to Assistant Professor
Suddeath, Carl	Instructor to Instructor

HOPKINSVILLE COMMUNITY COLLEGE (continued)

Thiel, Michael	Instructor to Instructor
Thomas, Frances	Senior Instructor with tenure to Associate Professor with tenure
Thompson, Edward	Instructor to Assistant Professor
Turner, William T.	Instructor to Instructor
Wilson, Nancy C.	Instructor to Instructor

JEFFERSON COMMUNITY COLLEGE

Alston, Eloise	Instructor to Instructor
Baldwin, Carol	Instructor to Instructor
Barone, Angelo	Instructor to Instructor
Bechtel, Carter	Senior Instructor with tenure to Associate Professor with tenure
Blankenbaker, Shirley	Instructor to Assistant Professor
Bolan, Hazel	Senior Instructor without tenure to Assistant Professor
Bright, Inez	Instructor to Instructor
Brown, Martin	Senior Instructor without tenure to Assistant Professor
Burd, Mellie	Instructor to Assistant Professor
Campbell, Judith	Instructor to Instructor
Canfield, John	Instructor to Instructor
Clark, Jacqueline	Senior Instructor with tenure to Associate Professor with tenure
Cole, Scott	Instructor to Assistant Professor
Conkin, Barbara	Instructor to Assistant Professor
Craddock, Ruth	Instructor to Instructor
Cundiff, Lois	Senior Instructor with tenure to Associate Professor with tenure
Detherage, Katherine	Instructor to Instructor
Doran, David	Instructor to Assistant Professor
Driscoll, David	Instructor to Instructor
Duncan, John	Instructor to Instructor
Fletcher, Mary	Instructor to Assistant Professor
Gohmann, Edmund	Instructor to Instructor
Goldberg, Marilyn	Instructor to Instructor
Hansen, Gunnar	Instructor to Assistant Professor
Holmes, Ronald	Instructor to Instructor
Johannemann, Mary	Instructor to Instructor
Kinsella, Paul	Senior Instructor with tenure to Associate Professor with tenure
Knuckles, Thomas	Senior Instructor with tenure to Associate Professor with tenure
Krantz, Phyllis	Instructor to Assistant Professor
Lindstrom, Richard	Senior Instructor with tenure to Associate Professor with tenure
Lyon, Martha	Senior Instructor without tenure to Assistant Professor
Lyons, Richard	Senior Instructor with tenure to Associate Professor with tenure
McCormick, Maurice	Instructor to Assistant Professor
McCoy, Patricia	Instructor to Assistant Professor
Mahanes, James	Instructor to Assistant Professor
Martin, Henry	Instructor to Instructor
Mas, Carmen	Instructor to Instructor
Messmer, Charles	Instructor to Instructor
Miller, Margaret	Instructor to Instructor
Miller, Maria	Instructor to Instructor
Moore, Francis	Instructor to Instructor
Moore, James	Instructor to Assistant Professor

JEFFERSON COMMUNITY COLLEGE (continued)

Pennington, Joy	Senior Instructor with tenure to Associate Professor with tenure
Pennington, Lee	Senior Instructor with tenure to Associate Professor with tenure
Perkins, Larry	Senior Instructor with tenure to Associate Professor with tenure
Quinn, Vera	Instructor to Assistant Professor
Reed, Ray	Senior Instructor with tenure to Associate Professor with tenure
Riedling, Gerald	Senior Instructor with tenure to Associate Professor with tenure
Rodgers, David	Instructor to Assistant Professor
Schenck, Larry	Instructor to Assistant Professor
Schneiter, Francis	Instructor to Assistant Professor
Schoenhoff, Robert	Instructor to Assistant Professor
Schoo, Louise	Instructor to Assistant Professor
Schweitzer, Janet	Instructor to Assistant Professor
Seibert, Sister A.	Senior Instructor without tenure to Assistant Professor
Shein, Lillian	Instructor to Assistant Professor
Sibbald, Edward	Instructor to Assistant Professor
Smith, John	Senior Instructor with tenure to Associate Professor with tenure
Steinberg, Allan	Instructor to Assistant Professor
Stoddard, Hilda	Instructor to Assistant Professor
Stone, Rose	Instructor to Instructor
Stuart, Sarah	Instructor to Assistant Professor
Sullivan, James	Visiting Instructor to Associate Professor with tenure
Sullivan, Mary O.	Instructor to Assistant Professor
Tiller, Sharon	Instructor to Instructor
Turner, William	Instructor to Instructor
Voit, Betty	Librarian IV to Librarian III
Walford, Ronald	Instructor to Instructor
Wenstrup, George	Instructor to Assistant Professor
Whittington, Gail	Instructor to Instructor
Youngman, Clifton	Senior Instructor with tenure to Associate Professor with tenure
Zalampas, Mehale	Instructor to Assistant Professor
Zalampas, Sherree	Instructor to Assistant Professor
Zehnder, Carol	Instructor to Instructor

LEXINGTON TECHNICAL INSTITUTE

Archer, M. L.	Assistant Professor
Averitt, Ben H.	Instructor to Instructor
Combs, Ruth	Instructor to Instructor
Combs, Ruth Ann	Instructor to Instructor
Ewen, Percillia	Instructor to Instructor
Flowers, Mary E.	Senior Instructor without tenure to Assistant Professor
Fuhrmann, John	Instructor to Instructor
Gooch, Peyton L.	Instructor to Instructor
Jaquith, Louis	Instructor to Instructor
Kemper, John T.	Senior Instructor with tenure to Associate Professor with tenure
Noffsinger, Anne	Instructor to Assistant Professor
Ryan, Joyce R.	Instructor to Instructor
Shear, Donald	Instructor to Assistant Professor
Spence, Agnes	Instructor to Instructor

MADISONVILLE COMMUNITY COLLEGE

Arbuckle, Alicia	Instructor to Assistant Professor
Barnes, Ernest	Senior Instructor with tenure to Associate Professor with tenure
Buzzard, Ruth A.	Instructor to Instructor
Cantrell, Timothy	Instructor to Instructor
Dawson, B. James	Instructor to Instructor
Fackler, David	Instructor to Assistant Professor
Gooch, Joe T.	Instructor to Assistant Professor
Gray, S. Faye	Instructor to Instructor
Hooks, Cora	Instructor to Assistant Professor
Jenkins, Rella	Instructor to Instructor
Liao, Kao H.	Senior Instructor without tenure to Assistant Professor
Massey, G. H.	Associate Professor with tenure
Sharp, Mary Lou	Instructor to Instructor
Terrell, Harris W.	Instructor to Assistant Professor
Thomas, Linda	Instructor to Instructor
Watkins, Clyde	Instructor to Instructor

MAYSVILLE COMMUNITY COLLEGE

Benson, Harry	Instructor to Instructor
Berry, Robert K.	Instructor to Assistant Professor
Burdine, Marvin	Instructor to Assistant Professor
Crockett, John	Instructor to Assistant Professor
Curtis, Edward	Instructor to Instructor
Delaney, Kate	Instructor to Instructor
Jarzebowski, Natalie	Senior Instructor with tenure to Associate Professor with tenure
Malone, Ellen	Instructor to Assistant Professor
Meyers, John	Instructor to Assistant Professor
Moffett, Rosemary	Instructor to Assistant Professor
Moore, Joyce	Librarian IV to Librarian III
Richey, Herbert	Instructor to Assistant Professor
Shires, James	Senior Instructor without tenure to Assistant Professor
Straub, Alpha	Instructor to Assistant Professor
Webb, Lowell H.	Instructor to Instructor

PADUCAH COMMUNITY COLLEGE

Allen, Gertrude	Senior Instructor with tenure to Associate Professor with tenure
Beasley, William	Senior Instructor with tenure to Associate Professor with tenure
Brcwn, LaVerne	Instructor to Assistant Professor
Chambliss, Virginia	Instructor to Assistant Professor
Chapman, Jane	Instructor to Instructor
Clark, Linda	Instructor to Instructor
Clark, Maxine	Senior Instructor with tenure to Associate Professor with tenure
Clemens, Donald	Assistant Professor without tenure to Associate Professor with tenure

PADUCAH COMMUNITY COLLEGE (continued)

Counce, Jerry	Instructor to Assistant Professor
Cromwell, John	Senior Instructor without tenure to Assistant Professor
Culbertson, William	Instructor to Instructor
Davis, Patsy	Instructor to Instructor
Dowdy, Larry	Instructor to Assistant Professor
Faughn, Audrey	Instructor to Instructor
Freels, Mary	Instructor to Assistant Professor
Froning, Sister J.	Instructor to Instructor
Gold, Joe Dan	Instructor to Instructor
Halford, Andrew	Instructor to Assistant Professor
Hart, Leonard	Instructor to Instructor
Haws, Claud	Senior Instructor with tenure to Associate Professor with tenure
Hill, Howard	Senior Instructor with tenure to Associate Professor with tenure
Hinton, Jerry	Instructor to Instructor
Hoover, Kathy	Instructor to Instructor
Hopkins, Robert	Instructor to Instructor
Kemp, Effie	Instructor to Assistant Professor
Kressenberg, Carolyn	Senior Instructor with tenure to Associate Professor with tenure
Krueger, David	Instructor to Instructor
Lowry, Lillian	Instructor to Assistant Professor
Morman, Rodney	Instructor to Instructor
Moss, Jacqueline	Senior Instructor with tenure to Associate Professor with tenure
Murrell, Glen	Senior Instructor with tenure to Associate Professor with tenure
Penry, Sara	Instructor to Assistant Professor
Phillips, Billy	Instructor to Assistant Professor
Presdorf, Ronald	Instructor to Instructor
Price, Richard	Senior Instructor with tenure to Associate Professor with tenure
Price, Susan	Senior Instructor with tenure to Associate Professor with tenure
Ro, Chun	Instructor to Assistant Professor
Robertson, John	Senior Instructor with tenure to Associate Professor with tenure
Rudder, Robert	Instructor to Assistant Professor
Sasseen, Burnett	Senior Instructor with tenure to Associate Professor with tenure
Steward, John	Instructor to Instructor
Stovall, Judy	Instructor to Assistant Professor
Suryanarayana, S. V.	Instructor to Assistant Professor
Vick, O. K.	Instructor to Instructor
Wade, William	Instructor to Instructor
Wasmuth, Ruth	Instructor to Instructor
Watson, Margaret	Instructor to Assistant Professor
Womble, James	Senior Instructor with tenure to Associate Professor with tenure
Wood, Paul	Instructor to Instructor

PRESTONSBURG COMMUNITY COLLEGE

Allen, Robert	Instructor to Assistant Professor
Blick, Kenneth	Senior Instructor without tenure to Assistant Professor

PRESTONSBURG COMMUNITY COLLEGE (continued)

Campbell, Henry	Assistant Professor with tenure to Associate Professor with tenure
Carroll, Clyde	Instructor to Instructor
Carter, Ronald	Instructor to Instructor
Collopy, Frank	Instructor to Instructor
Faris, Peter	Instructor to Instructor
Frasure, Walter	Senior Instructor with tenure to Associate Professor with tenure
Funkhouser, James	Instructor to Instructor
Hanson, John	Instructor to Assistant Professor
Hay, Carolyn	Instructor to Instructor
Herald, John A.	Instructor to Instructor
Honshell, Phyllis	Senior Instructor with tenure to Associate Professor with tenure
Isbell, Charles	Senior Instructor with tenure to Associate Professor with tenure
Kalos, Betty	Instructor to Instructor
Kalos, Gus	Senior Instructor with tenure to Associate Professor with tenure
McAninch, Robert	Instructor to Instructor
Magura, Anna	Instructor to Assistant Professor
Ratcliff, James	Instructor to Assistant Professor
Rennick, Robert	Senior Instructor without tenure to Assistant Professor
Sammons, John D.	Instructor to Instructor
Turner, Carolyn	Senior Instructor with tenure to Associate Professor with tenure
Weddle, Laura	Senior Instructor with tenure to Associate Professor with tenure
Weddle, Leo	Senior Instructor with tenure to Associate Professor with tenure

SOMERSET COMMUNITY COLLEGE

Adkins, Larry	Instructor to Assistant Professor
Anderson, James H.	Instructor to Instructor
Anderson, Robert	Instructor to Instructor
Bacon, Mary L.	Senior Instructor with tenure to Associate Professor with tenure
Bailey, Mary K.	Instructor to Instructor
Bean, Kenneth	Senior Instructor without tenure to Assistant Professor
Benham, Wilda	Instructor to Instructor
Bryant, Sharon	Instructor to Instructor
Bullock, Rose	Instructor to Instructor
Campbell, Gerald	Instructor to Instructor
Clark, Billy	Instructor to Assistant Professor
Cornett, Wendell	Instructor to Assistant Professor
Douglas, James	Instructor to Instructor
Douglass, John D.	Instructor to Instructor
Drabik, Richard	Instructor to Instructor
Fassler, David	Instructor to Instructor
Garrett, Glyncon	Instructor to Assistant Professor
Harris, Vennah	Instructor to Instructor
Hughes, C. T.	Senior Instructor with tenure to Associate Professor with tenure
Hurt, Charles E.	Business Officer to Assistant Director for Business Affairs
Jasper, Danny	Instructor to Assistant Professor
Jeffries, Joseph	Senior Instructor with tenure to Associate Professor with tenure
Jeffries, Neleta	Instructor to Assistant Professor
Johns, Margaret	Instructor to Assistant Professor

SOMERSET COMMUNITY COLLEGE (continued)

Johnson, Donald	Instructor to Assistant Professor
Kelley, Roscoe	Associate Professor with tenure
McCann, Goldie	Instructor to Instructor
Miller, Raymond	Instructor to Assistant Professor
Norfleet, Terry	Senior Instructor with tenure to Associate Professor with tenure
Orwin, Donald	Instructor to Assistant Professor
Story, Joann	Instructor to Instructor
Swope, John A.	Instructor to Instructor
Tipton, Patricia	Instructor to Assistant Professor
Trosper, Jacqueline	Instructor to Instructor
VanHook, Harold	Instructor to Assistant Professor

SOUTHEAST COMMUNITY COLLEGE

Anderson, Ralph	Instructor to Instructor
Bailey, Jack D.	Instructor to Instructor
Bowen, Thomas	Senior Instructor with tenure to Associate Professor with tenure
Bryan, Joyce	Instructor to Assistant Professor
Carr, Anne	Instructor to Assistant Professor
Gerlach, Walter	Senior Instructor with tenure to Associate Professor with tenure
Hall, Jill	Instructor to Instructor
Hall, Kennedy	Instructor to Instructor
Hillman, J. D.	Instructor to Instructor
Hillman, Lucille	Instructor to Instructor
Hogue, Joanne	Senior Instructor with tenure to Associate Professor with tenure
Hughes, Davie	Senior Instructor with tenure to Associate Professor with tenure
Lawson, Gayle	Instructor to Assistant Professor
Lauron, Herman	Instructor to Instructor
Newport, Edwin	Instructor to Assistant Professor
Nichols, John	Instructor to Instructor
Patten, David	Instructor to Instructor
Presley, John	Instructor to Assistant Professor
Robinette, Danny	Instructor to Assistant Professor
Simpson, Charles	Instructor to Instructor
Willis, Charles	Senior Instructor with tenure to Associate Professor with tenure
Hancher, Sara	Visiting Instructor to Visiting Instructor
Johnson, Alleen	Visiting Instructor to Visiting Instructor

FORT KNOX COMMUNITY COLLEGE

Gaston, Thomas E.	Senior Instructor with tenure to Associate Professor with tenure
Kimney, Fred M.	Senior Instructor with tenure to Associate Professor with tenure

COMMUNITY COLLEGE SYSTEM CENTRAL OFFICE

Carter, Ed	Fiscal Affairs Manager to Fiscal Affairs Officer
Hauselman, A. J.	Coordinator of Curriculum Affairs to Coordinator of Research and Program Planning
Piekarski, Marie	Coordinator of Associate Degree Nursing to Coordinator of Program Planning and Development
Stanley, Larry	Coordinator of Academic Programs to Coordinator of Faculty and Instructional Development
Stephens, Keith	Senior Instructor with tenure to Associate Professor with tenure
Tudor, Dan	Research Assistant to Coordinator of Federal Programs
Wethington, Jr.,	Coordinator of Student Affairs to Coordinator of Student Services
Charles T.	Assistant Professor of Education to Associate Professor with tenure

GIFT REPORT

OFFICE OF DEVELOPMENT

July 1, 1971 - September 30, 1971

SUMMARY

COLLEGE AND DEPARTMENTS

Anonymous	515.00
College of Agriculture	3,100.00
College of Architecture	10.00
College of Engineering	12,680.00
College of Law	725.00
College of Medicine	10,019.50
College of Pharmacy	915.00
Co-Sponsor of College Business Mgt. Inst.	500.00
Development Program	1,000.00
Honor's Program	500.00
King Library	75.00
Matching gifts	75.00
Medical Center Research	5,000.00
McIntyre Educational Fund	1,000.00
UKRF	1,470.00
University Art Gallery	224.90

Sub Total \$ 37,809.40

COMMUNITY COLLEGES

Ashland	2,262.50
Henderson	12,182.00
Jefferson	1,460.00
Prestonsburg	1,950.00
Somerset	3,090.00
Southeast	2,110.00

Sub Total \$ 23,054.50

HAGGIN TRUST FUND

35,448.60

MEMORIALS

6,697.50

SHELBY MEMORIAL

63,989.44

SCHOLARSHIPS

66,850.50

UNRESTRICTED

4,300.00

Total Cash \$ 238,149.94

OFFICE OF DEVELOPMENT

GIFTS AND / OR GRANTS

QUARTERLY REPORT

July 1, 1971 - September 30, 1971

<u>DONOR</u>	<u>AMOUNT</u>	<u>PURPOSE</u>
E. G. Adams	\$ 10.00	Hicks Memorial
Mr. & Mrs. Kenneth R. Adams	10.00	Gladden Memorial
Mrs. Maurice B. Ades	3.00	Munich Memorial
Maud Ainslie Scholarship Fund	565.00	Scholarship
Lennox L. Allen	25.00	Music Scholarship-Jefferson CC
Allied Industrial Workers of America	500.00	Scholarship
Mrs. Arthur H. Almstedt	15.00	Music Scholarship-Jefferson CC
Alpha Omega Chapter-Henderson, Kentucky	200.00	Scholarship-Henderson CC
American Bar Association	500.00	Scholarship
American Bar Association	1,297.50	Scholarship
American Foundation for Pharmaceutical Education	3,300.00	Scholarship
The American Water Works Foundation	500.00	Scholarship
The American Institute of Architects	400.00	Scholarship
American Newspaper Publishers Association Foundation	1,000.00	Scholarship
Anonymous	515.00	Neurosurgery/Neurology
Anonymous	350.00	Scholarship-Henderson CC
Armco Duplicate Bridge Club	300.00	Scholarship-Ashland CC
Armco Steel Corporation	200.00	Scholarship

Ester & Mitchell Asch	15.00	Munich Memorial
Ashland Oil, Incorporated	212.50	Scholarship-Ashland CC
Ashland Oil Foundation, Inc.	1,400.00	UK Research Foundation
The Atlanta Journal and Constitution	800.00	Scholarship
Mr. & Mrs. Joseph L. Axelrod	5.00	Munich Memorial
Mr. & Mrs. Jack Baach	2.00	Munich Memorial
Russell Badgett, Jr.	2,500.00	Scholarship
Mr. & Mrs. Harold Baker	10.00	Munich Memorial
The Bank of New York	35,448.60	J.B.A. Haggin Memorial
Phyllis A. Barstow	5.00	Tisdall Memorial
Reginald W. Becker	10.00	Holtzclaw Memorial-Ashland
Mr. & Mrs. Gabe E. Belker & Mr. Davis H. Van Damm	5.00	Munich Memorial
Bell, Orr and Reynolds	330.00	Scholarship
Big Rivers RECC	3,000.00	Scholarship-Henderson CC
Blum-Kovler Foundation	930.00	Scholarship
Board of Missions of the United Methodist Church	125.00	Scholarship
Mrs. David Bolotin	5.00	Munich Memorial
Dr. James S. Brown	10.00	Gladden Memorial
Brown Produce Company	250.00	Scholarship-Prestonsburg CC
John N. Browning	637.50	Scholarship-John N. Browning Fund
Robert E. Browning	1,487.50	Scholarship-L. L. Browning Fund
Mr. & Mrs. Gene C. Bunnell	15.00	Gladden Memorial
Leon H. Bunyan	200.00	Scholarship
Mrs. A. Elizabeth Burr	10.00	Munich Memorial
Business & Professional Women's Club	300.00	Scholarship-Henderson CC
Harold R. Butner	10.00	Gladden Memorial
Virginia Calkas	10.00	Gladden Memorial

Calvert City Woman's Club	200.00	Scholarship
Mr. & Mrs. John M. Carpenter	10.00	Gladden Memorial
A. E. Christian	300.00	Scholarship-Somerset CC
Christian Wood Products	300.00	Scholarship-Somerset CC
Mr. & Mrs. M. B. Cierley	20.00	Gladden Memorial
The Cincinnati Enquirer	500.00	Scholarship
Cincinnati Gas & Electric Co.	430.00	Scholarship
Cincinnati Milacron, Inc.	1,500.00	Scholarship
Ruth & Jerry Cole	5.00	Munich Memorial
Mr. and Mrs. A. Lee Coleman	15.00	Gladden Memorial
College Entrance Examination Board	1,080.00	Scholarship
C. G. Collis	20.00	College of Engineering
The Columbus Foundation	500.00	Scholarship
Commonwealth Life Insurance Company	3,500.00	College of Medicine
Consolidation Coal Company Incorporated	1,000.00	College of Engineering
Consolidation Coal Company Incorporated	750.00	College of Engineering
Mr. & Mrs. Robert C. Cross	10.00	Munich Memorial
Cumberland Woman's Club	150.00	Scholarship-Southeast CC
Mrs. Roy A. Cunningham	25.00	Holtzclaw Memorial-Ashland
Dairy Products Association of Kentucky, Inc.	1,250.00	College of Agriculture
John W. Dargavel Foundation	200.00	College of Pharmacy
Daughters of the U. S. Army	250.00	Scholarship
Mrs. John L. Davis	10.00	College of Engineering
Wilbur E. Davis	150.00	College of Engineering
Daviess County High School	100.00	Scholarship
Thomas C. Dawson	200.00	College of Law
R. R. Dawson	500.00	College of Law

Dawson Springs Rotary Club	100.00	Scholarship
Mrs. Anderson C. Dearing, Jr.	100.00	Music Scholarship-Jefferson CC
William M. Deep	400.00	Scholarship-Henderson CC
Delta Phi Epsilon-Lexington	100.00	Scholarship
Mrs. Robert B. Diehl	5.00	Music Scholarship-Jefferson CC
John M. Dixon, Jr.	7.50	College of Law
Dr. & Mrs. Harvey Donley	350.00	College of Medicine
Dover Corporation	200.00	Scholarship
Mr. & Mrs. W. G. Duncan	10.00	Gladden Memorial
Cecelia Dunlap Grand Chapter	200.00	Scholarship
Mr. & Mrs. Fred Durham	5.00	Tisdall Memorial
Elks National Foundation	1,600.00	Scholarship
Lavenia O. English	150.00	Scholarship-Henderson CC
Mr. & Mrs. Samuel R. Estes	100.00	College of Medicine
George E. Evans, Jr.	600.00	Scholarship-Prestonsburg CC
Evansville City Golf Tournament	1,400.00	Scholarship
Fairfield-Suisun Chamber of Commerce	100.00	Scholarship
The William Stamps Farish Fund	2,250.00	Scholarship
Farmers Tobacco Warehouse	140.00	Scholarship
Mrs. Evelyn Kaiser Fauth	5.00	College of Pharmacy
Fayette Lions Club, Lexington	175.00	Scholarship
Fayette Lions Band Contest	175.00	Scholarship
Federal Intermediate Credit Bank of Louisville	1,500.00	College of Agriculture
Henry Field	10.00	Gladden Memorial
Mrs. Susan Fields	5.00	Gladden Memorial
Robert F. Finke	15.00	Gladden Memorial
Mr. & Mrs. J. Wiley Finney	10.00	Gladden Memorial

First National Bank-Prestonsburg	300.00	Scholarship-Prestonsburg CC
First Presbyterian Church	300.00	Scholarship-Somerset CC
First Security National Bank & Trust Co. (John T. Shelby Mem.)	63,989.44	College of Law
First Security National Bank & Trust	100.00	Scholarship
Mrs. Kermin Fleming	10.00	Gladden Memorial
The Florida National Bank of Jacksonville	420.00	Scholarship
Floyd County Farm Bureau	400.00	Scholarship-Prestonsburg CC
Mr. & Mrs. Thomas R. Ford	20.00	Gladden Memorial
Foreign Mission Board of the Southern Baptist Convention	250.00	Scholarship
Fox Run Stables, Inc.	10.00	Gladden Memorial
Mr. & Mrs. James S. Frankel	25.00	Munich Memorial
Mr. & Mrs. Milton Franklin	10.00	Holtzclaw Memorial-Ashland
Franklin County High School	300.00	Scholarship
Frazier Insurance Agency	125.00	Scholarship-Southeast CC
Mrs. Sidney L. Frentz	1.00	Munich Memorial
Coleman Friedman	10.00	College of Pharmacy
Mrs. Irving A. Gail	10.00	Munich Memorial
Mr. & Mrs. Sidney Gall	10.00	Munich Memorial
Gamma Phi Delta	100.00	Scholarship
Frank A. Garlove	10.00	Munich Memorial
Mr. & Mrs. W. P. Garrigus	25.00	Hicks Memorial
Georgia Pacific Foundation	375.00	Scholarship-Southeast CC
Dr. & Mrs. Kenneth L. Gerson	5.00	Holtzclaw Memorial-Ashland CC
A. H. Gershenson	50.00	Munich Memorial
William Gershenson	50.00	Munich Memorial
Mr. & Mrs. W. S. Gibson	15.00	Gladden Memorial

Mr. & Mrs. W. S. Gibson II	10.00	Gladden Memorial
Mrs. W. G. Giles	200.00	Music Scholarship-Jefferson CC
Mr. & Mrs. M. Randolph Gilliam	25.00	Gladden Memorial
Mr. & Mrs. John H. Given	25.00	Gladden Memorial
Mr. & Mrs. James W. Gladden, Jr.	50.00	Gladden Memorial
Dr. James W. Gladden	1,000.00	Gladden Memorial
Patsy L. Gough	90.00	College of Medicine
Government of the Federal Republic (Nigerian)	3,080.00	Scholarship
Graflex, Incorporated	169.00	Scholarship-Henderson CC
Graflex, Incorporated	141.00	Scholarship-Henderson CC
Graflex, Incorporated	162.00	Scholarship-Henderson CC
Miss Sadie J & Edna Grauman	4.00	Munich Memorial
Greene Memorial Hospital Women's Auxiliary	250.00	Scholarship
Dr. & Mrs. J. R. Greene	5.00	Gladden Memorial
Mr. & Mrs. S. L. Greenebaum	5.00	Munich Memorial
Mrs. Margaret Griffen	35.00	Fred Rankin Fund
William E. Gruters	224.90	University Art Gallery
Guaranty Deposit Bank	150.00	Scholarship-Southeast CC
Carman E. Hales	25.00	Gladden Memorial
Mrs. Lola Hales	20.00	Gladden Memorial
Hall & Clark Insurance Agency	150.00	Scholarship
Mr. & Mrs. Herbert W. Hargreaves	10.00	Gladden Memorial
Harlan County Soil & Water Conservation District	100.00	Scholarship-Southeast CC
Hart County High School	250.00	Scholarship
Miss Marguerite Hays	2.00	Munich Memorial
Hazard Business and Profes- sional Women's Club	250.00	Scholarship
Mr. & Mrs. Richard Heckman	15.00	Gladden Memorial

H. Berkley Hedges	10.00	Hawkins Scholarship Fund
Mr. & Mrs. Gene Hemlepp	10.00	Holtzclaw Memorial-Ashland CC
Henderson City Lions	300.00	Scholarship-Henderson CC
Henderson County Lions	900.00	Scholarship-Henderson CC
Henderson Clinic	300.00	Scholarship-Henderson CC
Henderson Home Federal	280.00	Scholarship
Mrs. Albert Hess	5.00	Munich Memorial
Mrs. Alfred A. Higgins	50.00	Music Scholarship-Jefferson CC
John W. Hill Foundation	700.00	Scholarship
Hillenmeyer Nurseries	250.00	Scholarship
Hoffman-LaRoche Inc.	500.00	College of Pharmacy
Dr. & Mrs. Martin M. Holzinger	3.00	Munich Memorial
Mrs. Delbert Hoon	10.00	Music Scholarship-Jefferson CC
Hoover Bearing Co.	1,000.00	Scholarship
Dr. Gordon L. Hyde	300.00	College of Medicine
Mr. & Mrs. Maurice Hymson	5.00	Munich Memorial
Mr. & Mrs. James Ingle	10.00	Gladden Memorial
International Business Machines Corp.	500.00	Unrestricted
The International Nickel Company, Inc.	1,530.00	Scholarship
The International Nickel Company, Inc.	500.00	Unrestricted
The International Nickel Company, Inc.	1,130.00	College of Engineering
The International Thespian Society	1,000.00	Scholarship
Island Creek Coal Company	750.00	College of Engineering
Irvin Industries, Inc.	165.00	Scholarship
Irvin Air Chute Recreation Fund	165.00	Scholarship
Mrs. Charlotte J. Isaacs	2.00	Munich Memorial

Robert Burnett Jewell	5,000.00	Medical Center Research
Mrs. Marvin F. Jones	300.00	Scholarship
Junior Achievement of Owensboro	100.00	Scholarship
Junior Achievement of Owensboro	2,050.00	Scholarship
Junior Civic Club	300.00	Scholarship-Henderson CC
Mrs. Arthur H. Keeney	5.00	Music Scholarship-Jefferson CC
Keith Monument Company, Inc.	330.00	Scholarship
Mrs. William D. Kelly	250.00	Haffler Memorial
Kentucky Association of Highway Contractors	500.00	Scholarship
Kentucky Concrete Pipe Co.	500.00	Palmore Memorial
Kentucky Congress of Parents and Teachers	250.00	Scholarship-Southeast CC
Kentucky Congress of Parents and Teachers	250.00	Scholarship-Henderson CC
Kentucky Council of Cooperatives	200.00	Scholarship
Kentucky Council of Cooperatives	200.00	Scholarship
Kentucky Electric Steel Co.	150.00	Scholarship-Ashland CC
Kentucky Farm Bureau	750.00	Scholarship
Kentucky Utilities Co.	500.00	College of Engineering
Dr. & Mrs. Wm. F. Kenkel	25.00	Gladden Memorial
Mr. & Mrs. William D. Kirby	20.00	Gladden Memorial
Kincaid Foundation, Inc.	200.00	Scholarship
Kiwanis Club of Lexington, Kentucky	10.00	Gladden Memorial
Richard C. Knight Ins.	1,430.00	Scholarship
Lafayette High School	250.00	Scholarship
Katharine M. Lanahan	40.00	UKRF-Teachers Corp
Mathilda Landau	10.00	UKRF-Teachers Corp
Mrs. Gayle F. Lawson	10.00	Scholarship-Southeast CC

League of Women Voters of Kentucky	10.00	Gladden Memorial
League of Women Voters of Lexington	25.00	Gladden Memorial
Daniel M. Lemon	10.00	College of Architecture
Lexington City Panhellenic	100.00	Scholarship
Lexington Herald Leader Co.	100.00	College of Engineering
Lexington Lions Club, Inc.	95.00	College of Medicine
Miss Janet Lilly	5.00	Gladden Memorial
Mr. & Mrs. Harry Linker	10.00	Munich Memorial
Lions Club of Shively Kentucky, Inc.	393.00	Scholarship
Mrs. Charles Litterer	140.00	Scholarship-Henderson CC
Lockland Woman's Club	150.00	Scholarship
David Longnecker Worthy Students Fund	650.00	Scholarship
Louisville Board of Realtors, Inc.	1,050.00	Scholarship-Jefferson CC
Louisville City Panhellenic	200.00	Scholarship
Louisville Gas & Electric Company	5,000.00	College of Engineering
Mr. & Mrs. Geo. H. Lovelace	10.00	Gladden Memorial
Loyal Order of Moose	300.00	Scholarship-Henderson CC
George L. Luster	300.00	Scholarship-Southeast CC
Mr. & Mrs. Wm. S. Luttrell, Jr.	5.00	Gladden Memorial
Mrs. Lillian S. Lyons	10.00	Gladden Memorial
J. Edward Maddox	10.00	Holtzclaw Memorial-Ashland
The Magnavox Company	250.00	Scholarship
Marathon Oil Company	850.00	Scholarship
Mrs. Henry L. Martin	25.00	Hicks Memorial
Mrs. Laura K. Martin	10.00	Gladden Memorial
Mr. C. Maruyama	5,000.00	College of Medicine

Eddie L. Mason	75.00	Scholarship-Southeast CC
Masonic Temple	140.00	Scholarship
Ranano L. Mazzo	10.00	UKRF Teachers Corp
McDowell-Wellman Engineering Company	1,000.00	College of Engineering
Edward Arthur Mellinger Educational Foundation, Inc.	200.00	Scholarship
Methodist Hospital Auxiliary	900.00	Scholarship-Henderson CC
Metropolitan Woman's Club	1,000.00	Scholarship
Barbara Metts	15.00	Munich Memorial
Mr. & Mrs. Bill Michalove	15.00	Munich Memorial
Mr. & Mrs. Robert A. Mickler	12.00	Munich Memorial
Mrs. Barney Miller	10.00	Munich Memorial
Mr. & Mrs. Samuel Milner	5.00	Munich Memorial
Mr. & Mrs. Richard Montjoy III	5.00	Gladden Memorial
Wickliffe B. Moore	2,000.00	Unrestricted
Moorman Mfg. Company	1,600.00	College of Agriculture
A. B. Morera	10.00	College of Pharmacy
Mr. & Mrs. C. Eugene Morgan	5.00	Gladden Memorial
Archie Moss, Inc.	10.00	Munich Memorial
Mr. George Moss	300.00	Scholarship-Henderson CC
Miss Gayle Miles	10.00	Gladden Memorial
Mr. & Mrs. Kenneth V. Miller	20.00	Gladden Memorial
Music-Carter-Hughes Chevrolet-Buick Inc.	300.00	Scholarship-Prestonsburg CC
The National Association of Secondary School Principals	1,500.00	Scholarship
The National Association of Secondary School Principals	500.00	Scholarship
Navy Relief Society	2,160.00	Scholarship
Nehi-RC Bottling Company	300.00	Scholarship-Henderson CC

New Jersey Society of Architects	400.00	Scholarship
Chris Nicolaes	5.00	UKRF Teachers Corps
Dr. & Mrs. Edwin Nighbert	30.00	College of Medicine
Northmont School District	100.00	Scholarship
Nurnberg American High School	600.00	Scholarship
Oak Hill High School	200.00	Scholarship
Ohio Valley National Bank	300.00	Scholarship-Henderson CC
Paintsville Rotary Club	100.00	Scholarship-Prestonsburg CC
Parents' Guild, Mother Seton Regional	100.00	Scholarship
Parent without Partners	10.00	Gladden Memorial
Mrs. I. Allen Paritz	5.00	Munich Memorial
Parker Seal Company	500.00	Scholarship
Mrs. Agatha Parks	200.00	Scholarship
Prof. & Mrs. Harold F. Parks	10.00	Gladden Memorial
Pascack Hills High School PTA	250.00	Scholarship
Harold Patterson	30.00	Scholarship-Southeast CC
Pet Dairy Division, Big Stone Gap, Va.	220.00	Scholarship-Southeast CC
John W. Pfeffer	50.00	College of Engineering
Philip Morris, Inc.	1,200.00	Unrestricted
Philip Morris, Inc.	2,000.00	Scholarship
Sara R. Phillips	150.00	Scholarship-Henderson CC
Susan Pillans	20.00	Haffler Memorial
Pipe Line Contractors Association	1,000.00	Scholarship
George W. Pirtle	1,000.00	Development Program
Dr. & Mrs. Thomas Plaut	25.00	Scholarship-Southeast CC
Mrs. Tom J. Porter, Jr.	15.00	Gladden Memorial
Dr. & Mrs. Leslie I. Poste	100.00	Gladden Memorial

Mr. & Mrs. David S. Prater	5.00	Gladden Memorial
Mr. I. N. Pritchett	150.00	Scholarship-Henderson CC
Pulaski County Democratic Womens Club	450.00	Scholarship-Somerset CC
Pulaski County Homemakers	150.00	Scholarship-Somerset CC
Pulaski County Medical Association	400.00	Scholarship-Somerset CC
Pulaski County Republican Womens Club	150.00	Scholarship-Somerset CC
Pulaski Medical Auxiliary	300.00	Scholarship-Somerset CC
Quill & Scroll Foundation	250.00	Scholarship
Mrs. James C. Raisor	10.00	Hicks Memorial
Ralston Purina Company	500.00	Scholarship
Joe Roach	5.00	UKRF Teachers Corp
Mr. & Mrs. Denver Robertson	5.00	Gladden Memorial
John C. Robertson	10.00	Hicks Memorial
Rockwood Farms	10.00	Hicks Memorial
Mr. & Mrs. Wayne F. Rogers	50.00	Gladden Memorial
Donald T. Rollins	25.00	College of Pharmacy
Mrs. Harriett A. Rose	5.00	Munich Memorial
Mr. & Mrs. Louis Rosenberg	5.00	Munich Memorial
Duane G. Rosser	10.00	Gladden Memorial
Mr. & Mrs. Louis W. Rubin	5.00	Munich Memorial
Mr. & Mrs. Louis W. Rubin	5.00	Munich Memorial
Mr. & Mrs. Stephen A. Salmore	25.00	Gladden Memorial
Mr. & Mrs. Stanley Scher	5.00	Munich Memorial
Mr. & Mrs. J. R. Schwendeman	5.00	Gladden Memorial
Scripps-Howard Foundation	800.00	Scholarship
Sears-Roebuck Foundation	600.00	Scholarship

Mr. & Mrs. Leon Seidman) Mrs. Harry N. Cohen) Mr. Norton R. Cohen)	2,500.00	Munich Memorial
The Senior Mary Lynns	640.00	Scholarship-Henderson CC
Sharon Hill PTA	300.00	Scholarship
Elizabeth T. Sheerer	25.00	Gladden Memorial
Mrs. L. W. Sheering	12.50	College of Engineering
Mr. Seldon Short	37.50	Shepherd Memorial
Ben Shraberg	5.00	Munich Memorial
Mr. & Mrs. Hyman Shraberg	5.00	Munich Memorial
Mrs. H. M. Shrader	2.00	Munich Memorial
Dale Sights	300.00	Scholarship-Henderson CC
Smith Kline & French Foundation	25.00	Matching gift program
Society of Real Estate Appraisers	75.00	Library
Sociology, 409 Class	15.00	Gladden Memorial
Mrs. Ben Solinger	2.00	Munich Memorial
Somerset Junior Womans Club	150.00	Scholarship-Somerset CC
Somerset Womans Club	300.00	Scholarship-Somerset CC
Erna B. Sorg	5.00	Gladden Memorial
South Bend Tribune	50.00	Scholarship
Southern Association of College & University Business Officers	500.00	Co-Sponsor of College Business Mgt. Institute
Southern Hills Methodist Church	100.00	Scholarship
Southern Medical Association	500.00	College of Medicine
Southern States Coop. Inc.	2,600.00	Scholarship
Southtown Kiwanis Club of Owensboro	400.00	Scholarship
Dr. & Mrs. John S. Sprague	10.00	Gladden Memorial

Miss Marion B. Sprague	10.00	Gladden Memorial
Carl Staker	30.00	College of Engineering
The Standard Products Co.	600.00	Scholarship
State Association of Colored Women	200.00	Scholarship
Mr. & Mrs. David Stein	25.00	Munich Memorial
Mrs. Mary L. Stollings	54.50	College of Medicine
Kirk Storch	10.00	College of Law
Mr. & Mrs. Barckley Storey	15.00	Munich Memorial
Dr. & Mrs. Hugh A. Storrow	5.00	Gladden Memorial
Mr. & Mrs. Ben H. Story, Jr.	25.00	Gladden Memorial
Mr. & Mrs. Lee H. Strahl	12.50	College of Engineering
Mrs. Eugene H. Straus	5.00	Munich Memorial Fund
Dr. & Mrs. Robert Straus	25.00	Gladden Memorial
Mr. & Mrs. James M. Strauss	10.00	Munich Memorial
Mr. & Mrs. Paul Street	10.00	Gladden Memorial
Dr. & Mrs. Geo. G. Stuart	10.00	Gladden Memorial
Sam Suppa	100.00	College of Pharmacy
Dr. Ann D. Survant	20.00	Gladden Memorial
Dr. & Mrs. Willis A. Sutton Jr.	10.00	Gladden Memorial
Mr. & Mrs. James H. Svara	10.00	Gladden Memorial
T.T.T. Society, Chapter A Ozark, Ark.	100.00	Scholarship
William T. Taylor	100.00	Unrestricted
Texaco, Incorporated	50.00	Matching gift program
The Third National Bank of Ashland	1,600.00	Scholarship-Ashland CC
The Third National Bank of Ashland	500.00	Scholarship
Paul K. Turner	7.50	College of Law
Mr. & Mrs. Harry Twist, Jr.	5.00	Gladden Memorial

Mr. & Mrs. Harry Twist, Sr.	10.00	Gladden Memorial
United Papermakers & Paperworkers	1,000.00	Scholarship
United Steelworkers of America (Dist. #30)	500.00	Scholarship
Ike Utley	300.00	Scholarship-Henderson CC
Florence M. VanMeter	500.00	Honors Program
Veterans of Foreign Wars	300.00	Scholarship-Southeast CC
Mr. & Mrs. Harwin Voss	10.00	Gladden Memorial
John F. Waddle	1,000.00	McIntyre Education Fund
Mayme S. Waggener High School	200.00	Scholarship
Mr. & Mrs. Max Waldman	5.00	Munich Memorial
Dr. & Mrs. Wm. Smith Ward	15.00	Gladden Memorial
John Warman	25.00	Gladden Memorial
Mrs. Norma Wedding	5.00	Gladden Memorial
Mrs. Edith I. Weil	5.00	Munich Memorial
Mr. & Mrs. Herschel Weil	25.00	Munich Memorial
Mr. & Mrs. Maurice Weil	5.00	Munich Memorial
The Miss West Virginia Scholarship Pageant	500.00	Scholarship
Western Electric Company	675.00	College of Engineering
Western Kentucky Gas Co.	500.00	Scholarship-Henderson CC
Westmoreland Coal Co.	250.00	Scholarship
Mr. & Mrs. Harold E. Wetzel	10.00	Gladden Memorial
Miss Ida Lee Wheeler	10.00	Gladden Memorial
Dr. Joe S. Wheeler	25.00	Holtzclaw Memorial-Ashland
Mr. George Whittington	560.00	Scholarship-Henderson CC
Mrs. Berney Wides	25.00	Munich Memorial
Dr. & Mrs. Walter F. Williams	15.00	Holtzclaw Memorial-Ashland
Mr. & Mrs. Paul D. Wilson	10.00	Gladden Memorial
Winn-Dixie Stores Foundation	750.00	Scholarship

Mr. & Mrs. Otto H. Woerner	25.00	Gladden Memorial
Women's Civic Club	150.00	Scholarship-Somerset CC
Woman's Club of UK	10.00	Scholarship
L. S. Wood Charitable Trust	685.00	Scholarship
Yamaha International Corp.	1,000.00	Scholarship
Yale University	15.00	Munich Memorial
James E. Yeager, Sr.	15.00	College of Pharmacy
Mr. & Mrs. Nat Zimmerman	2.00	Munich Memorial
William B. Zubrod	50.00	College of Pharmacy
Mr. & Mrs. Sander Zwick	<u>5.00</u>	Munich Memorial
	\$238,149.94	

OFFICE OF DEVELOPMENT

July 1, 1971 - September 1, 1971

NON - MONETARY GIFTS

DONOR

GIFTS

William B. Cundiff	27 Shares of Common Stock Lane Bryant, Inc. - Scholarship Somerset CC
Paul E. Doutrich, III	Beneficiary in Personal Insurance
Col. George E. Ewell	Life Insurance Policy- (Cash Value \$4,825.75) - Jamie Offutt Ewell Memorial Endowment Fund
Ray Forst	Westinghouse Air Conditioner - College of Architecture
Donnie P. Garland	Beneficiary in Personal Insurance
Mrs. Philip Garman	Bound volumes of published papers- Department of Entomology (Late Harrison Garman)
William Hillen	Two Paintings - Fine Arts Building (Still life and a portrait of William Hillen)
Frank Tisdale Jones	Beneficiary in Personal Insurance
Kentucky Tuberculosis & Respiratory Disease Assoc.	Two Handy Vents
Carey D. Kuhl	Beneficiary in Personal Insurance
Terry Stephen Looney	Beneficiary in Personal Insurance
Mrs. Grandison McLean	180 Medical books-Medical Center Library
Massachusetts Institute of Technology	Buerger Precession Camera- Department of Geology
Joseph F. Porter	Beneficiary in Personal Insurance
David R. Steele	Beneficiary in Personal Insurance
Mrs. Clara P. Stoll	107 Books-College of Pharmacy Library
Dr. & Mrs. Sidney S. Ulmer	Books - College of Arts and Sciences

Office of the President
November 15, 1971

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

Retirement

Harvey G. Hodges, Manager, Ticket Sales, Athletics Association, after 22 years of service, effective December 31, 1971

II. Appointment of Information Services Director

Recommendation: that Mr. Thomas D. Duncan be named Director of University Information Services, effective December 1, 1971.

Background: The Department of University Information Services, formerly known as the Department of Public Relations, has been without a director since August 1. From a list of highly qualified candidates who have been considered for the position of director, Mr. Thomas D. Duncan has emerged as the unanimous choice of the President, the Vice President for University Relations, and other members of the central administration.

Mr. Duncan, a native of Dixon, Kentucky, and an alumnus of the University, is a veteran newsman who formerly was associated with the University as a

part-time instructor in journalism. Since 1965, Mr. Duncan has been Frankfort correspondent for Louisville radio and television station WAVE. From Frankfort, he also has written a weekly column that appears in more than thirty Kentucky newspapers.

His earlier experience included seven years (1953-60) with the Associated Press, five years (1960-65) as chief of the Courier-Journal's South Kentucky bureau in Bowling Green, and brief service with The Lexington Herald, The Lexington Leader, and The Elizabethtown News.

The name change for the department to be headed by Mr. Duncan is consistent with a trend that has been noted nationally. The new name is more accurate in describing the actual functions of the department than was its former designation. In recognition of the changing responsibilities of its member departments, the American College Public Relations Association is in process of changing its name.

Action: Approved X Disapproved Other

Date: November 15, 1971

PR 4

Members, Board of Trustees:

1971-72 Budget Revisions

Recommendation: that the following revisions in the 1971-72 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. Income estimates			
Federal Funds			
Agricultural Experiment Station	\$ 1,776,400	\$ 1,876,800	\$ 100,400
Agricultural Cooperative Extension Service	4,476,800	4,653,300	176,500
Fund Balances	2,765,000	4,846,100	2,081,100
Sales and Services	1,500,900	1,505,900	5,000
Gifts and Grants			
The Fund	2,124,500	2,126,000	1,500
Student Fees			
Miscellaneous Fees	170,000	181,500	<u>11,500</u>
			\$2,376,000
2. Expenditures			
Agricultural Experiment Station	5,326,200	5,426,600	100,400
Agricultural Cooperative Extension Service	8,530,200	8,706,700	176,500
Community College System - Jefferson (Non-recurring)	-0-	217,000	217,000

University Hospital (Non-recurring)	-0-	400,000	400,000
University Equipment and Renovation (Non- recurring)	2,450,000	3,914,100	1,464,100
Medical Center Library	195,200	200,200	5,000
Medical Center - House Staff	695,900	697,400	1,500
Allied Health - Contin- uing Education	-0-	11,500	<u>11,500</u>
			2,376,000

3. Comments - Revised income estimates for 1971-72 will fund the proposed expenditure authorization increases set forth above with the exception of the non-recurring appropriations which will be funded from 1970-71 fund balances.

B. Restricted Funds

1. Income estimates	4,327,800	4,359,800	32,000
2. Expenditures			
Student Aid			
Gifts and Grants - Scholarships	209,600	220,600	11,000
General Administration			
UK Century Club	6,000	15,000	9,000
Agriculture			
Youth Programs - 4-H	3,500	13,600	10,100
Architecture	-0-	1,000	1,000
Medical Center			
Community Dentistry	-0-	900	900

3. Comments - The proposed additional expenditures will be funded from increased income restricted to the purpose indicated above.

C. Tobacco Research -
Trust Fund

- | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|---------|
| 1. Income estimates | 4,720,000 | 4,920,000 | 200,000 |
| 2. Expenditures | 4,720,000 | 4,920,000 | 200,000 |
| 3. <u>Comments</u> - Funds generated by tax proceeds deposited to the Tobacco Research-Trust Fund will support the above expenditures. The Kentucky Tobacco Research Board, at its meeting of October 4, 1971, approved the \$200,000 budget increase based on revised revenue estimates provided by the State Department of Revenue. Approval of the proposal by the Board of Trustees will authorize the University to proceed with this program. | | | |

Action: Approved X Disapproved Other

Date: November 15 , 1971

Office of the President
November 15, 1971

PR 5

Members, Board of Trustees:

POLICY STATEMENT ON COMMUNITY COLLEGES

Recommendation: that the Board approve the Revised Policy Statement on Community Colleges.

Background Statement: In January 1964 the Board approved a policy statement relative to the role of the community colleges and their relationship to the Lexington campus. During the past year an advisory committee consisting of community college directors, faculty, students, and an advisory board member, was appointed to study "The existing organization of the Community College System and its relationship to the total University and with other educational agencies and institutions, and to make recommendations for changes which appear indicated." One specific charge to this committee was to examine the Policy Statement on Community Colleges and to make recommendations concerning changes which may be indicated.

This revised document spells out the administrative structure which integrates the community colleges of the University of Kentucky into a System and defines their roles in relation to the remainder of the University.

Action: Approved X Disapproved _____ Other _____

Date: November 15, 1971

POLICY STATEMENT ON COMMUNITY COLLEGES

Kentucky's public higher education administered [under] by the University of Kentucky will consist of two parts under a President and a Board of Trustees - - (1) a University System and (2) a Community College System. The Community College System shall be headed by a Vice President for Community Colleges who shall be directly responsible to the President and shall serve as the chief executive officer concerned primarily with the operation and programs of the Community College System.

[The separateness of these two parts and their interrelations are distinguished in the roles which each has.] The separateness of these two parts is distinguished by their respective roles. The inter-relatedness is distinguished by the shared top administrative authority which coordinates available resources for optimum use without duplication. Each part shall bear primary responsibility and accountability for its administration, policy, personnel, programs, and finances to the President and the Board of Trustees and not to subordinate administrative units of the other, unless specifically directed by the President.

The paternal role of the University of Kentucky in the development of the Community College System has been vital and effective in the genesis of a new educational concept in Kentucky. The present policy is a reflection of the philosophy that the Community College System is, in development, function and enrollment, a valid component and not an addendum of the University's total effort to serve the higher educational needs of the citizens of Kentucky.

Cooperation rather than authority is deemed necessary to provide the flexibility and responsiveness essential for a viable and evolving comprehensive Community College System under the University umbrella. The relationships between the two parts shall be that of mutual liaison and interchange with common supportive services available to both. The Community Colleges, as they strive to fulfill their statutory functions, are to be administratively free to meet changing challenges with an internally determined and responsive flexibility.

[Role of the University System (University of Kentucky)]

[The parent University of Kentucky has a number of tasks to perform in discharging its responsibilities as the state's major institution of higher education.

1. Baccalaureate instruction in the Liberal Arts and Sciences and in teacher education and upper division instruction for those students trained in the first two years of a baccalaureate program at the Community Colleges.
2. Undergraduate instruction and/or professional education in the areas of Agriculture, Commerce, Engineering, Medicine, Nursing, Law, Pharmacy, Architecture, and Dentistry.
3. Graduate instruction through the doctorate.
4. Research - as the principal state supported academic agency for research, as well as the discharge of its obligation for research as a Land-Grant institution.
5. Public service to the state and Nation as related to the foregoing functions.]

Role of the Community College System (University of Kentucky)

The Community Colleges [and centers] have roles aligned both with the task of higher education in the [state] Commonwealth and with the educational and cultural contributions to be made to the citizens of the communities in which they are located.

Following are the tasks of the Community Colleges [in the University system]:

1. To provide [the first] two years of [work leading] lower division programs applicable to a baccalaureate degree. [This is collegiate level education.] These programs are designed to enable the student to [transferable] transfer to [degree-granting] four-year institutions in the State and elsewhere for upper division work. [Assumption of part of this responsibility at the Community Colleges will permit greater emphasis on the upper division, professional, and graduate programs at the University of Kentucky.]
2. To provide two-year non-baccalaureate programs leading to the granting of associate degrees or appropriate certificates in [semi-professional and technical] occupational areas.
3. To provide programs of adult education and service oriented to community interests, both [vocational] occupational and cultural.

Administrative Structure of the University of Kentucky

The University of Kentucky [will consist] consists of two systems under a President and Board of Trustees. The first of these, the University System, [will be] is

composed of the University of Kentucky at Lexington and its state-wide research and service elements. The administrative structure in this system [will be unaltered] is not changed by the organization of the [second] community college system.

[The second system, the Community College System, will consist of the five community colleges or centers now in operation and those units now in the planning stages together with such other community colleges as may be added to the system in the future. An Administrative Officer will head the Community College System, reporting to the President and Board of Trustees. Each of the community colleges will have a seven member Local Advisory Board which will provide advice for the Director of the Community College, for the President and for the Board of Trustees. The counsel of the Local Advisory Boards will be sought primarily on matters of the two-year professional-technical programs and local community educational needs. The faculty of each community college will be administratively responsible to the Director of the respective community college. Depending upon individual subject matter area needs, community college faculty will be academically responsible to the subject matter area chairman on the University of Kentucky campus (in the case of pre-professional programs) or will maintain informal academic liaison with faculty in the parallel subject matter areas on the University of Kentucky campus.]

The Community College System, consists of the twelve community colleges now in operation, the Lexington Technical Institute, [the Fort Knox unit], and all other Community Colleges that may be added to the System in the future. A Vice President for Community Colleges heads the Community College System reporting to the Presi-

dent of the University and the Board of Trustees. Each of the Community Colleges is headed by a Chief Administrative Officer, reporting to the University of Kentucky Vice President for Community Colleges. Each of the Community Colleges (excluding the Lexington Technical Institute) [and Fort Knox] has a nine member local Advisory Board which provides advice to the Chief Administrative Officer of the Community College, the Vice President for Community Colleges, the President of the University of Kentucky and the Board of Trustees. The counsel of the local Advisory Boards is sought primarily on matters of the two-year occupational programs and local community educational needs. The faculty of each Community College is administratively responsible to the Chief Administrative Officer of the respective Community College. Community College faculty maintain informal academic liaison with faculty in the parallel subject matter areas at the University of Kentucky Lexington campus.

[To provide advice on programs, curricula, and personnel, an Advisory Committee on the Community Colleges of the University of Kentucky faculty will be appointed by the President upon recommendation of the Faculty Council. The Faculty Advisory Committee on the Community Colleges will also provide advice to the President and Board of Trustees on the approval of associate degrees. No new curricula, associate degrees, or certificates will be inaugurated at any community college in the system without prior authorization by the President and approval of the Board of Trustees.

Directors of Community Colleges will maintain continuing liaison with subject matter area faculty on the University campus. Prior to making recommendations for new faculty appointments or changes in programs and curricula in his college, the Director of a Community College will consult with the appropriate subject

matter area faculty on the University campus for counsel and advice.]

Faculty of the Community College System

The responsibilities of the faculty in the Community College System [will] differ somewhat from those [for] in the University system. Teaching and [public] community service functions [will be] are paramount in the Community Colleges [while the University faculty will be concerned with teaching, research, and public service]. Standards of competence and performance for the functions to be performed [will be] are equally as high in the Community Colleges as on the [home] Lexington campus. Faculty at the Community Colleges [will be] are expected to remain updated professionally for their teaching and service tasks [just as University faculty must remain current to fulfill its teaching, research, and public service roles. The planned addition of some summer faculty fellowships should permit some of the faculty at the community colleges to come to the parent campus during summers to do research].

Admission Requirements of the Community College System

[The University now admits a graduate of any accredited high school in the State who is a resident of the State. This same structure will be used for admission to the community colleges.

Admission to the community colleges and to the University of Kentucky will be separate. Credit will be transferable to the University from the community colleges in degree work courses only. For lower division courses offered at the community colleges leading to full preparation for the baccalaureate degree at

the University, the course numbering and content will be identical with the courses offered at the University.

Steps will be taken to assure that students enrolling in lower division degree work at the community colleges with declared plans for later transfer to particular pre-professional curricula at the University will receive the benefit of counsel from the University faculty in those areas in planning their lower division work at the community colleges. For non-degree work at the community colleges in semi-professional or technical curricula, there will be no transferability to the University for readily apparent reasons.]

Admission to the Community Colleges and to the University of Kentucky at Lexington is and should be separate. The Community College System has the responsibility to set up and administer its own admission requirements, subject to the approval of the President of the University of Kentucky and the Board of Trustees. Its admission requirements must be such as to allow flexibility needed to serve the wide range of students in transfer, occupational, and continuing education programs. Courses offered both in the University system and in the Community College System shall carry the same identification and description. Credit hours and grades transfer to the University system from the community colleges in these courses. Courses offered in the Community College System which are not offered on the Lexington campus are evaluated for transfer credit on the same basis used to evaluate courses from any other institution. Steps are taken to assure that students enrolling in lower division degree work at the Community Colleges with declared plans for later transfer to particular curricula at the University will receive the benefit of information from the University in those areas in planning their

lower division work at the Community Colleges. The Community Colleges will also see that those students who intend to transfer to another college or university receive the benefit of counsel in planning their lower division work at the Community Colleges.

Facilities at the Community Colleges

[The facilities needed for the community colleges will be in substantial contrast to the needs for the University. Students will commute, eliminating the need for dormitory facilities. Technical facilities and equipment will be provided at the community colleges commensurate with the requirements for the programs offered in technical areas such as electronics or metallurgical technology. Laboratory research facilities at the community colleges will be limited to those laboratories necessary for undergraduate teaching. Library facilities also will be designed to support the undergraduate teaching effort.]

The facilities needed for the Community Colleges are in substantial contrast to those needed by the University. Students commute, usually eliminating the need for dormitory facilities. Technical facilities and equipment should be provided at the Community Colleges commensurate with the requirements for the programs offered in occupational areas such as nursing or engineering technology. Laboratory facilities at the Community Colleges are limited to those laboratories necessary for undergraduate teaching. Library facilities also are designed to support the lower division teaching effort.

Students in the Community College System

[Students entering the Community Colleges will come with a wide variation in abilities for academic work. The markedly lower costs of education at the Community Colleges will attract students of high intellectual promise and limited means for lower division work on degree programs with the intent of transferring to a four-year institution for completion of degrees. To the Community Colleges also will come many students who feel they cannot make the adjustment to the requirements of a full program of higher education leading to a degree. Some will be correct in this feeling and complete lower level training in semi-professional or technical training. Others will find they can perform creditably in lower division degree work and will transfer to a four-year institution. Still others will find that they are unsuited for education beyond the high school and will discover this at low cost. And, too, those with suitable abilities will be able to pursue the semi-professional and technical programs at substantially lower cost, bringing higher education within economic reach of more of the state's citizens.]

The Community Colleges are attracting students with a wide range of background and abilities. The markedly lower cost to the student and his family is making it possible for many more of the Commonwealth's citizens to participate in higher education.

Many students coming to the community colleges have not identified their educational and career goals. Others have financial, academic, and personal problems that need to be resolved. The Community Colleges are attempting to meet

the needs of this heterogenous student body by giving particular attention to guidance and counseling programs.

Some students enrolling in the Community Colleges need additional assistance in the basic skills required to be successful in either baccalaureate or occupational programs. The Community Colleges are unique in higher education in their acceptance of this role in providing developmental work for those students who need it.

Many high school graduates in the state have needs for [training] education oriented to the development of technical abilities usable in the communities from which they come. The expectation is that these needs can be partially met by enrollment in the [semi-professional and technical] occupational, collegiate-based curricula of the Community Colleges.

A significant portion of the enrollment in the Community Colleges [will] come from both part-time and full-time students drawn from the adult [working] population of the communities, satisfying needs and interests in cultural improvement as well as the development of technical capabilities.

[The expected enrollment of substantial numbers of students in the community colleges for lower division programs in degree work is a promising development. Plans are currently under consideration to adopt common lower division programs for a large number of University curricula which will make a broader range of upper division programs of the University of Kentucky open to transfers from lower division degree programs in the community colleges. The planned expansion of the community colleges will provide the opportunity to devote more of the parent University's energies and resources toward its upper division and graduate pro-

grams to the end of improving both programs and faculty and enriching the University's capabilities in higher education for the State. Consequently, the University's enrollment will weigh more heavily toward upper division and graduate students in the professions such as law, engineering and medicine as the Community College System grows and develops.]

The enrollment of substantial numbers of students in the Community Colleges for lower division programs in baccalaureate degree work is a promising development. Common lower division requirements, offered both in the University system and in the Community College System for a large number of University degree programs, make a broader range of upper division programs of the University of Kentucky open to transfers from lower division programs in the Community Colleges without loss of credit or time in meeting requirements for a baccalaureate degree.

The students served by the Community Colleges have wide ranging needs. These Colleges are developing transfer, occupational, and community service programs that are making it possible for these students to meet those needs.

[A Policy for Expansion] (omit entire section)

[Frequently the question of adding new locations to our present system of community colleges arises. Future expansion of the present Community College System must be well planned and orderly. Any such decision should be rendered only after the University studies the situation in question and has then recommended action.

At this time a thorough survey is being conducted of each city in Kentucky

with a population even approaching that necessary to sustain a community college. In considering the establishment of any additional community colleges to the present Community College System, the following criteria are believed to best predict future success in a particular locality. A community college should be established only when:

1. there has been a complete survey made of the community's higher educational needs and the existing capacity it has to meet those needs,
2. adequate financial support of a permanent nature has been assured,
3. the community itself demonstrates a desire for the rather unique functions of a community college,
4. there is demonstrated need for higher educational services of the type supplied by the community college,
5. careful consideration has been given to educational opportunities available at other higher educational institutions in the area,
6. the community college will be in easy commuting distance for those whom it is intended to serve, and
7. completely adequate building, parking, and ultimate growth space are provided.

The existing Community College System is now in its greatest growth period. Within a two-year span (1964-65) the University plans to open four new community colleges. This, combined with the added new activities at the existing community colleges, puts an extremely heavy burden on those responsible for the System.

Future expansion must be done carefully and deliberately if the community college is to attain its maximum effectiveness. No new community colleges should be established until the present study by the University of Kentucky on future needs is completed. In the meantime, the University of Kentucky will gain much needed experience in the opening of four new colleges in the next biennium and in establishing technical programs at its existing centers. This experience will greatly assist in making correct decisions about future community colleges.]

[Background:]

[The Need for Expanding Facilities]

[The U. S. Department of Labor in a recent report on "Manpower--Challenge of the 1960's" included some statements all educators should find most significant. During the 1960-70 decade our population will increase 15 per cent. Much more important, the number reaching college age will increase almost 5 per cent during the five-year period from 1960 to 1965. In 1964 there will be almost a half-million more high school graduates than in 1963.

By 1970, more than 6,000,000 persons will be qualified for and seeking a college education. College enrollments in 1970 will be nearly 100 per cent higher than in 1955. Not only is the size of the college age group increasing rapidly, but the percentage of this group who will attend college is rising strikingly. It is also sufficient to point out, without further amplification, that the term, "college age" may soon need redefining. Seymour Harris, a Harvard Economist, estimates that the total cost of financing American higher

education will double between 1963 and 1970.

Problems in Kentucky are roughly parallel to those on the national scene. The State's population has not increased so rapidly, but its immediate needs are just as acute. The proportion of eligible Kentuckians who wanted a college education was only 10 per cent a generation ago. It is now between 30 and 40 per cent. It should reach a minimum of 50 per cent by 1970. College facilities in Kentucky currently are inadequate to cope with these enrollments.]

A Policy for Expansion

Frequently the question of adding new locations to the present system of Community Colleges arises. Future expansion of the present Community College System must be well planned, orderly and deliberate if the Community College System is to obtain its maximum effectiveness. No expansion should be attempted until provision is made to support adequately existing Community Colleges and provide the necessary budgetary support to begin an institution. Any such decision should be rendered only after the Community College System studies the situation in question and has, together with the University Planning Office, recommended this action. It is now obvious that the improved and improving highways make it possible for students to commute greater distances and thus a more systematic plan for expansion will better employ our tax dollars and better serve prospective students.

The first full development of a master plan for future growth, including detailed criteria, should occur prior to the establishment of any additional com-

munity colleges.

Additional community colleges should be established only when:

1. There has been a thorough feasibility study made of the community's higher educational needs and the existing capacity it has to meet those needs. A minimum student enrollment of 350 at the opening of the college and a potential of 500 by the end of a five-year period is a desirable standard. The secondary schools in the area to be served by the proposed institution should have at least 1000 students graduating annually. The immediate surrounding community must be large enough to provide a strong nucleus of the total enrollment, support for scholarships, and employment for graduates, particularly in the two-year occupational programs.
2. Adequate financial support has been assured. A study of the feasibility of establishing a community college should include an evaluation of the prospects of the basic economy of the area and its future development. Even though the location of a community college may enhance the economic growth of an area, it is necessary that the potential for growth and development must be present. It should be noted, however, that community college education may be most urgently needed in communities that have a declining economy, and this opportunity should not be denied to those who need it most.

3. The community itself demonstrates a desire for the rather unique functions and programs of a comprehensive community college. Contributions and commitments (such as providing land, faculty housing, etc.) that demonstrate support for the college should be considered. The degree of support already provided for other educational and cultural institutions provides significant evidence in this regard since it is an indication of the continuing local interest that is an essential factor in the long range development and operation of a community college.
4. There is demonstrated need for higher education. Careful consideration must be given to educational opportunities available at other higher educational institutions (both two-year and four-year) in the area. Relationships with other institutions of higher education represent an important matter for policy consideration.
5. The college will be in easy commuting distance for those whom it is intended to serve. Experience indicates that about 25 to 30 miles represents a realistic limit of the commuting area for a college. However with the improved highway system, this limit may be increased. The boundaries of a commuting area are usually defined in terms of a maximum one-way travel time of three-quarters of an hour.
6. Prior to opening a new college to student enrollment, the "key" staff members (administrative and faculty) should be employed with an

adequate period of time to study the educational needs of the people of the area in order to plan an educational program to meet these needs. The chief administrative officer should be on the scene at least a year prior to initial student enrollment.

7. Adequate building(s), parking, and ultimate growth space has been provided. The minimum size for a non-metropolitan site should be usable acreage of not less than 100 to insure adequate potential for growth and ready and safe accessibility to site from existing highways.

Community College Rationale

The University of Kentucky's system of Community Colleges represents a strong effort to meet public higher educational needs in the Commonwealth. The basic philosophical assumptions upon which such a system rests are:

1. The Community College is unsurpassed in effectiveness and economy as a means of extending educational opportunity beyond high school.
2. Perhaps the most sacred tenet of American educational philosophy is that each individual should be provided with the opportunity [to educate himself] for an education to the limit of his capacity. The Community College is [rapidly becoming] a valuable instrument by which this end can be realized. Many students academically able and anxious to graduate from college cannot afford four years of liv-

ing away from home while attending college.

3. The Community College must be comprehensive in nature--designed [to] for a variety of functions. This includes far more than the freshman-sophomore transfer programs.
4. A Community College, in the true sense of the term, must be responsive to the local needs and special conditions.
5. Ideally, a Community College is a functioning part of a coordinated state plan or pattern for higher education.
6. A local Advisory Board [of nine members] for each Community College helps reflect the area's needs and opinions.

[Large numbers of publicly supported community colleges have been established in the past few years. California, Texas, and Florida have pioneered in the field. Other states are moving rapidly in this direction.

Kentucky's developmental pattern has been somewhat unique. Until recently the University of Kentucky's off-campus branches have been quite properly designated as university centers. They have served some of the functions generally attributed to community colleges, but their programs have been closely oriented to the parent institution.

The transformation of the University of Kentucky's branches from University centers to community colleges is well underway. A community college accepts responsibility for providing three broad services in its locality. First, to offer two years of high quality transfer work leading to a degree in various university colleges. Second, to offer certain semi-professional or technical programs, collegiate based but terminal in nature, which would make the student readily employable. Thirdly, to

provide programs and services of a cultural nature to benefit the community as a whole--to serve as vital cultural centers for the communities in which they are located.]

Large numbers of publicly supported Community Colleges have been established in the past few years. Kentucky's developmental pattern has been somewhat unique. In most other states, the Community Colleges have not been so closely tied to a state university. The transformation of the University of Kentucky's branches from university centers to Community Colleges has been a slow but rewarding process.

With the changes which have been made legislatively in the University of Kentucky's Community Colleges and their expansion to meet the needs of the state, it appears appropriate to reaffirm and revise a Statement of Policy made jointly by the President and the Board of Trustees which spells out the administrative structure which effectively integrates these units into a system and at the same time defines their roles in relation to the remainder of the University.

[The Development of Kentucky's Community College System (omit entire section)]

[The University of Kentucky currently operates five centers (community colleges). The Covington facility was activated in 1948. The Ashland Center opened in 1957, Fort Knox in 1959, and the new community colleges at Cumberland and Henderson become operative in 1960.

In 1960 the General Assembly passed a law creating a community college at Elizabethtown. This was the first time for a community college to be established by legislative act. Two years later a study commission, appointed by the Governor, recommended that additional branches be built at Somerset, Hopkinsville, Prestons-

burg, and "the Blackey-Hazard area."

In February of 1962 the General Assembly enacted a law incorporating some of the recommendations of the study commission. The legislation created a system of community colleges. It provided for new colleges at the cities specified in the report and also converted "any existing University facilities" at "Ashland, Covington, Henderson, Cumberland and Elizabethtown to the uses of the Community College Program."

The entire Community College System then became a legal establishment and all of its components lawfully recognized entities. The legislation, House Bill 234, assigned responsibility and control of the entire system to the University of Kentucky.

The new Elizabethtown Community College will open in September of 1964. The plant is virtually finished and the administrative staff is active. The Prestonsburg Community College is under construction and persons are currently under consideration for the administrative positions. This building is a duplication of the Elizabethtown College which simplifies planning and equipment purchases. This should make the scheduled opening date of September, 1964, easier to realize.

Plans for the Somerset Community College are developing on schedule. The University holds title to the property, the proper surveys have been made and analyzed, and the architects are currently revising an initial set of plans. Little difficulty should be encountered in opening Somerset in 1965.

The Hopkinsville Community College was activated by the Trustees in October 1963. The land will soon be deeded to the University. A recent comprehensive survey has been used to provide a narrative for the architects. A preliminary set of plans should be available soon. The opening is scheduled for 1965.

No decision has been made concerning a location for the specified "Blackey-Hazard" facility. An extremely comprehensive survey of the entire Perry-Letcher area has been completed and a complete set of data is now available. A number of sites have been studied carefully but no final action has been taken.]

[The Changing Functions of Our Community Colleges (omit entire section)]

[Previously the community colleges in Kentucky have concerned themselves primarily with providing two years of transfer work leading to an academic degree. Their professed second function, that of offering terminal programs, is being developed. Some effort to fulfill the third functions, to provide cultural services in the community, has always been made in varying degrees at different localities.

The community colleges of the University presently are in a stage of major transition. In order to perform their avowed functions more effectively, the following changes are underway:

1. Terminal programs - a two-year program in nursing is now operating at Henderson. Still another is scheduled to open at Covington in September of 1964. Additional nursing programs will be initiated at localities where it is feasible to do so. A terminal program in chemical technology at Ashland is being planned. Cumberland expects to have a two-year program in forestry.

It is anticipated each community college will have at least one semi-professional or technical two-year program in the near future. Most of the community colleges already have secretarial training programs. These are expected to be organized more closely and formalized immediately. All the community colleges will soon feature two-year terminal

programs leading to immediate employment.

2. Community service - The new course being taken by the community colleges makes them far more responsible to local needs. This is reflected in three ways: (1) the anticipated appointment of local advisory boards, (2) empowering the community colleges to handle their own admissions and give transcripts, and (3) a recent decision to turn back to the community colleges specific fee collections and scholarship money from concessions.
3. Cultural activities - Some of the branches have traditionally handled such matters better than others. There is to be renewed emphasis on this function. For instance, the richest and most varied selection of music activities ever will be provided the community colleges this year. At Henderson an entire series of credit and non-credit activities, all in the evenings, has been scheduled for those who would not otherwise have contact with the University. This will serve as a model for others. Entertainers and lecturers of the type who have previously appeared only in Lexington will start to appear more frequently at the community colleges.
4. Vocational schools - Some of the community colleges, such as Covington and Ashland, are located near a vocational school. In such circumstances far closer cooperation is expected than has been the practice in the past. Areas where mutual benefit can be experienced appear to be: (1) a mutual exchange of facilities usage, (2) the establishment of special courses at the community colleges for vo-

ational school trainees who require them, and (3) furthering the education of the vocational school instructors. At this time a very small percentage of those instructors have degrees.

5. Enrollments - Many are unaware of the scope of the community college operation. A very sizable proportion of the University of Kentucky's total enrollment is now at the community colleges. Four additional branches will open in the next two years. At that time perhaps one-half of all the University's freshmen and sophomores should then be at the community colleges.]

[With the changes which have been made legislatively in the University's community colleges and their likely expansion to meet the needs projected above, it appears appropriate to have a statement of policy made jointly by the President and the Board of Trustees which will spell out the administrative structure to effectively integrate these new units into a system and at the same time define their roles in relation to the remainder of the University and indicate the nature of policy on the future growth of the Community College System.]

Office of the President
November 15, 1971

PR 6

Members, Board of Trustees:

APPOINTMENT OF THE DEAN OF THE COLLEGE OF NURSING

Recommendation: that Marion E. McKenna, R.N., Ed. D. be named Professor (with tenure) and Dean, College of Nursing, effective January 1, 1972.

Background: Following the resignation of Dr. Marcia A. Dake as Dean of the College of Nursing, a search committee was appointed to screen candidates and advise the President regarding her replacement. The Committee, composed of representative faculty members, a student of the College of Nursing, the Coordinator, Associate Degree Nursing Program, Community College System, and Director of Nursing, Veterans Administration Hospital, solicited recommendations for potential candidates from all over the country, screened the recommendations carefully, with in-depth follow-up for those candidates who seemed to possess the qualities desired. It is their recommendation, which carries the strong endorsement of the President and Medical Center administration, that Dr. Marion E. McKenna be named Dean of the College of Nursing.

Dr. McKenna, a native of Massachusetts, is presently Assistant Dean, Graduate Program, University of Florida. A graduate of the University of Pennsylvania, School of Nursing, she received her Master's and Doctorate in Education from the University of Florida. Her professional background is broad, including experience in nursing service as well as in associate degree, baccalaureate and graduate nursing education, exhibited by her previous positions as Director of Nursing Education at Daytona Beach Junior College, Educational Director of the Florida State Board of Nursing, Assistant Director of Nursing Service at the Duval Medical Center in Jacksonville, Florida, and Education Management Nurse in the U. S. Army Nurse Corps.

In addition to her professional experience, Dr. McKenna has served as delegate at the 1960 White House Conference on Children and Youth; Director of the Volusia County Tuberculosis and Mental Health Associations and of the Health Manpower Council of Florida; she served twice as president of the Florida Nurses Association and is a member of a number of state councils and voluntary associations. She is a recipient of the Award for Outstanding Service of the American Cancer Society, a member of the Honorary Sociological Society, Pi Lambda Theta (Honorary Women's Education Society), Sigma Theta Tau (Honorary Nursing Society), and is listed in "Who's Who of American Education" and "Who's Who of American Women."

Action: Approved Disapproved Other

Date: November 15, 1971

PR 7

Members, Board of Trustees:

ORGANIZATIONAL CHANGE IN THE
COLLEGE OF ARTS AND SCIENCES

Recommendation: that all schools in the College of Arts and Sciences except the School of Communications and the Thomas Hunt Morgan School of Biological Sciences be abolished effective immediately. The Senate and the faculty of the College of Arts and Sciences endorse this recommendation.

Background: In January 1966 the Board of Trustees approved in principle the recommendation that the College of Arts and Sciences replace its then structure of four divisions with a series of schools headed by a Director who would also be an Associate Dean in the College responsible for the school. Pursuant to this action, the following schools were established by Board action on the dates indicated below:

- School of Fine Arts -- January 21, 1966
- School of Biological Sciences (later named the Thomas Hunt Morgan School of Biological Sciences) -- May 3, 1966
- School of Communications -- July 15, 1966
- School of Letters and Languages -- September 20, 1966
- School of Mathematical Sciences -- May 2, 1967

In March 1971 the faculty of the College of Arts and Sciences approved a recommendation that the school system as a system for the total organization of the College of Arts and Sciences be abolished; however, provision was made for a school to continue to exist provided a plan setting forth the purposes and means for cooperation among participating departments was approved by the Faculty Council and the Dean of the College. Only two schools, the School of Communications and the Thomas Hunt Morgan School of Biological Sciences, submitted such plans. The School of Communications received approval to continue as a school for one year and the Thomas Hunt Morgan School of Biological Sciences was approved to remain as a school in the College of Arts and Sciences. The remaining three schools did not apply for continuation. The recommendation, therefore, is that the Schools of Fine Arts, Letters and Languages and Mathematical Sciences be abolished effective immediately; that the School of Communications continue for at least one year; and that the Thomas Hunt Morgan School remain as a school within the College of Arts and Sciences.

Action: Approved X Disapproved _____ Other _____

Date: November 15 , 1971

Office of the President
November 15, 1971

PR 8

Members, Board of Trustees:

ORGANIZATION OF SUMMER SESSION

Recommendation: that the four-week summer session be approved as a permanent addition to the Summer Session and that an additional six-week summer term be provided for the Summer Sessions 1972 and 1973, at which time the Vice President for Academic Affairs shall recommend to the Senate Council its abolition or continuance.

Background: The four-week summer session, which was approved by the Board of Trustees on January 20, 1970 on a trial basis, has proven a success and the Senate recommends that it be approved as a permanent addition to the Summer Session program of the University. The Senate further recommends that the Board of Trustees approve for the summers of 1972 and 1973 a six-week summer session which would provide a total organizational pattern for the 1972 summer as follows:

- (a) A four-week term beginning May 16 and ending June 9
- (b) An eight-week term beginning June 14 and ending August 9
- (c) A six-week term beginning June 28 and ending August 9

Under this organizational arrangement, a student may select any one of the terms or a combination of the terms in accordance with his own special needs and requirements.

Action: Approved X Disapproved _____ Other _____

Date: November 15 , 1971

Office of the President
November 15, 1971

PR 9

Members, Board of Trustees:

TRANSMITTAL OF REQUEST FOR WAIVER OF GOVERNING REGULATIONS

Recommendation: that the Board of Trustees consider the request of Professor Wasley S. Krogdahl for a waiver of the condition that he take leave without pay during his campaign activities as a candidate for the U. S. House of Representatives.

Background: The attached copy of Professor Krogdahl's letter explains the reasons for his request. The portion of the Governing Regulations (XC7, page 40, September 1971) covering the University's policy is given below:

7. The Faculty Member as a Scholar and a Citizen

It is the policy of the University to maintain and encourage within the law full freedom of inquiry, discourse, teaching, research and publication and to protect any member of the academic staff against influences, from within or without the University, which will restrict him in the exercise of these freedoms in his area of scholarly interest. Teachers and students will be permitted and encouraged to investigate any theory, challenge any premise, engage in political and social debate, and to express their dissent, without jeopardy to their academic careers, provided their behavior is not in violation of the law and does not interfere with the normal operation of the educational programs of the University.

In his role as a citizen, the faculty member has the same freedoms as other citizens, without institutional censorship or discipline, although he should be mindful that accuracy, forthrightness and dignity befit his association with the University and his position as a man of learning. When a faculty member speaks or writes as a citizen he should indicate that he is not an institutional spokesman.

Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistently with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office provided that there has been timely application for leave, and that the requested leave is limited to a reasonable period of time. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member except that the time spent on such leave from academic duties will not count as probationary service unless otherwise agreed to.

Elective and appointive part-time services on boards and commissions to which members of the University staff are prepared to render exceptional services shall be governed by policies governing professional practice (X-C-3).

Action: Approved X Disapproved Other

Date: November 15 , 1971

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

COLLEGE OF ARTS AND SCIENCES
DEPARTMENT OF PHYSICS AND ASTRONOMY

November 3, 1971

Dr. Otis Singletary
Office of the President
103 Administration Building
Campus

Dear Dr. Singletary:

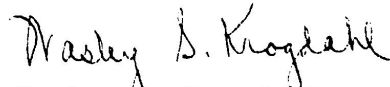
As you know, I have had discussions in the past few days with Dr. Cochran concerning my intention to be a candidate for the U.S. House of Representatives in the forthcoming special election.

I understand and fully agree with the University's position that any employee of the University cannot expect to continue to be paid by the university while entering upon a full-scale political campaign. At the same time, I wish to make it clear that I do not consider that to be a statement of the case in this instance. I have given permission to the American Independent Party to enter my name as its candidate so that a fuller range of political opinion could be offered the voters than has been given in recent elections by the two major parties. Such campaign activities as I might engage in would be limited to my own time. I give you my assurance that I will in any case continue to perform fully my regular duties as a member of the University faculty.

Needless to say, I will make it clear that the University of Kentucky in no way endorses my candidacy or my expressions of opinion and that I am merely claiming the right of every citizen to offer himself for public office.

I therefore respectfully request that you place before the Board of Trustees of the University of Kentucky my request to waive in this instance the condition that I take leave without pay during the impending political campaign.

Sincerely,



Wasley S. Krogdahl
Professor of Astronomy

WSK:mk

cc: Dr. Lewis Cochran
Dr. Wimberly Royster
Dr. Ben Gossick

Office of the President
November 15, 1971

PR 10

Members, Board of Trustees:

CLARIFICATION OF PORTION OF GOVERNING REGULATIONS

Recommendation: that the Board of Trustees clarify its intent relative to Part XC7 The Faculty Member as a Scholar and a Citizen, page 40, of the Governing Regulations (September 1971) in order that an amendment may be drafted to more nearly reflect the Board's position.

Background: Because of the ambiguity of certain portions of the policy governing a faculty member who wishes to engage in political activities, it is requested that the Board of Trustees clarify its intent when the Governing Regulations were adopted so that the policy may be more clearly stated in terms of this intent.

Action: Approved Disapproved Other

Date: November 15, 1971

Finance Committee Report
November 15, 1971

FCR 1_A

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the two months ending August 31, 1971 be accepted.

Background: The August 31, 1971 report reflects income of \$26,305,345 for the period of the report; the income figure is 23% of the annual income estimate of \$114,329,469.

The expenditure and commitments represent a total of \$17,987,922 for this period of two months, or 15.7% of the expenditure authority of \$114,329,469 approved by the Board.

Action: Approved X Disapproved Other

Date: November 15 , 1971

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

September 21, 1971

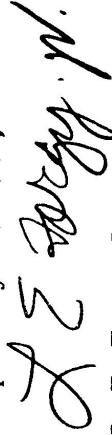
Office of Vice President
Business Affairs and Treasurer

Dr. Otis A. Singletary, President
University of Kentucky
Lexington
Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the two months ended August 31, 1971 is submitted herewith. This is the first such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.
Vice President-Business Affairs
and Treasurer

Schedule 1

University of Kentucky
Balance Sheet
August 31, 1971
With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Current Funds:		
Unrestricted:		
Cash in bank:		
Depository funds	\$ 1,755,518	\$ 727,663
Federal funds	109,212	103,674
Imprest funds	273,327	155,069
Total cash	<u>2,138,057</u>	<u>986,406</u>
State funds-unexpended balances:		
Trust and agency funds	4,104,326	3,051,298
General fund appropriation	3,954,374	4,588,964
Due from other funds and affiliated corporations	3,129,410	4,324,341
Accounts receivable	3,574,410	2,841,176
Notes receivable	72,194	71,190
Inventories	2,246,969	1,424,766
Unrealized income	63,704,300	58,026,636
	<u>82,924,040</u>	<u>75,314,777</u>
Total unrestricted		
Restricted:		
Cash in bank	915,112	455,419
Tobacco Research Trust Fund:		
Imprest funds	5,663	
Trust and agency funds	2,424,652	
Petty cash advances	234,104	256,141
Travel advances	103,964	82,911
Accounts receivable	33,810	43,711
Investments:		
Short-term	3,977,488	5,687,476
Other	854,882	842,615
Unrealized income:		
Restricted	1,467,534	1,897,547
Tobacco Research Trust Fund	<u>2,327,483</u>	
Total restricted	<u>12,344,692</u>	<u>9,265,820</u>

University of Kentucky
Balance Sheet
August 31, 1971
With Comparative Figures for 1970

Schedule 1

	1971	1970
LIABILITIES AND FUND BALANCES		
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,883,242	\$ 3,480,744
Accounts payable-county funds	30,174	29,188
Due to Federal government	472,784	231,025
Advance from state for imprest cash fund	650,000	650,000
Deferred income	3,250,350	2,333,315
Unencumbered balance, departmental appropriations	69,930,513	64,318,907
Fund balances:		
Allocated :		
Reserve for inventories	2,019,095	1,049,230
Reserve for notes receivable	71,190	71,190
Reserve for undistributed accrued payroll expense	336,000	
Workmen's compensation payments	49,208	19,626
Future operating purposes	518,583	1,414,016
Future construction	631,730	
Unallocated	2,081,171	1,717,536
Total unrestricted	82,924,040	75,314,777
Restricted:		
Outstanding encumbrances	274,397	19,162
Due to other funds and affiliated corporations	2,048,920	4,681,138
Advance from state for imprest cash fund	12,000	
Outstanding check liability	20,415	21,560
Undistributed collections and clearing		
Unencumbered balance, departmental appropriations	3,609,824	1,905,062
Unencumbered balance, Tobacco Research Trust Fund appropriations	904,139	1,836,657
Fund balances:	4,291,462	
Restricted fund	1,038,949	802,241
Tobacco Research Trust Fund- unallocated funds	144,586	
Total restricted	12,344,692	9,265,820

Schedule 1

University of Kentucky
Balance Sheet
August 31, 1971
With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Hospital and clinics:		
Cash in bank--depository funds	\$ 357,957	\$ 1,459,240
Due from other funds	188,781	430,156
Accounts receivable, net of allowance for doubtful accounts	2,799,931	2,367,045
Inventories	319,342	348,867
Unrealized income	<u>11,304,748</u>	<u>10,100,956</u>
Total hospital and clinics	<u>14,970,759</u>	<u>14,706,264</u>
Housing and dining system:		
Cash	2,541,034	36,483
Due from other funds and affiliated corporations	20,716	1,226,167
Accounts receivable	313,408	341,900
Inventories	680,840	715,641
Prepaid expenses	35,012	514,569
Accrued interest income	<u>12,927</u>	<u>13,907</u>
Total housing and dining system	<u>3,603,997</u>	<u>2,848,667</u>
Auxiliary enterprises:		
Cash in bank	33,397	14,037
Due from other funds and affiliated corporations	375,607	698,111
Accounts receivable	23,054	38,461
Inventories	338,517	317,714
Unrealized income	<u>1,826,817</u>	<u>1,710,203</u>
Total auxiliary enterprises	<u>2,597,392</u>	<u>2,778,526</u>
TOTAL CURRENT FUNDS	<u>\$116,440,880</u>	<u>\$104,914,054</u>

University of Kentucky
Balance Sheet
August 31, 1971
With Comparative Figures for 1970

Schedule 1

LIABILITIES AND FUND BALANCES

	1971	1970
Hospital and clinics:		
Due to other funds	\$ 171,624	\$ 53,130
Outstanding encumbrances	12,313,747	11,723,701
Unexpended balance, departmental appropriations		
Fund balances -allocated:		
Reserve for inventories	326,816	366,932
Reserve for accounts receivable	2,158,572	2,262,424
Total hospital and clinics	14,970,759	14,706,264
Housing and dining system:		
Accrued wages	57,589	39,074
Accounts payable	90,582	124,978
Deferred income	1,840,777	1,308,115
Fund balances:		
Funds for renewals and replacements	1,271,422	1,108,943
Allocated for future operating purposes	343,627	267,557
Total housing and dining system	3,603,997	2,848,667
Auxiliary enterprises:		
Outstanding encumbrances	165,511	163,824
Unencumbered balance, departmental appropriations	1,609,181	1,914,558
Fund balances:		
Reserve for inventories	338,517	317,714
Funds for renewals and replacements	7,348	21,729
Allocated for future operating purposes	476,835	360,701
Total auxiliary enterprises	2,597,392	2,778,526
TOTAL CURRENT FUNDS	\$116,440,880	\$104,914,054

Schedule 1

University of Kentucky
 Balance Sheet
 August 31, 1971
 With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
Loan Funds:		
Cash in bank		\$ 24,024
Notes receivable	\$ 5,726,229	4,965,869
Due from Federal Government	9,398	8,289
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>

ASSETS

TOTAL LOAN FUNDS

\$ 5,737,627 \$ 5,000,182

Endowment Funds:

Cash	\$ 64,593	\$ 67
Accounts receivable		2,152
Investments:		
Short-term		1,000
Other	<u>880,113</u>	<u>811,511</u>

TOTAL ENDOWMENT FUNDS

\$ 944,706 \$ 814,730

Plant Funds:

 Unexpended plant funds:

Cash on deposit with State Treasurer	\$ 908,133	\$ 659,606
Capital construction funds	14,489,005	20,196,952
Due from Federal government	1,968,896	3,334,782
Due from other funds		53,733
Construction funded	<u>114,253,719</u>	<u>74,904,880</u>

Total unexpended plant funds

131,619,753 99,149,953

University of Kentucky
Balance Sheet
August 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Loan Funds:		
Due to other funds		
Federal sponsored loan funds:	\$ 79,759	\$ 12,989
Federal government		
University matching funds	4,876,070	4,339,242
Accumulated interest income	458,186	392,804
Total Federal sponsored loan funds	<u>200,351</u>	<u>146,123</u>
	<u>5,534,607</u>	<u>4,878,169</u>
University loan funds:		
University student loan funds	50,514	42,229
University special student loan funds	70,747	64,795
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>123,261</u>	<u>109,024</u>
	<u>\$ 5,737,627</u>	<u>\$ 5,000,182</u>
Endowment Funds:		
Funds balances	<u>\$ 944,706</u>	<u>\$ 814,730</u>
	<u>\$ 944,706</u>	<u>\$ 814,730</u>
TOTAL ENDOWMENT FUNDS		
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 6,465,725	\$ 8,332,022
Due to other funds	7,500	
Due to Commonwealth of Kentucky		1,986,137
Notes payable	8,625,751	30,410,966
Bonds payable	8,245,136	
Appropriations-unencumbered balances	14,530,427	11,929,352
University equity in projects	<u>93,745,214</u>	<u>46,491,476</u>
Total unexpended plant funds	<u>\$ 131,619,753</u>	<u>\$ 99,149,963</u>

Schedule 1

University of Kentucky
 Balance Sheet
 August 31, 1971
 With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Retirement of indebtedness funds;		
Cash on deposit with State Treasurer	\$ 865,512	\$ 379,006
Unrealized income	<u>7,742,877</u>	<u>7,113,592</u>
	8,608,389	<u>7,492,598</u>
Total retirement of indebtedness funds		
Sinking funds:		
Cash on deposit with Trustee	275,342	42,629
Investments held by Trustee	<u>11,130,395</u>	<u>10,311,563</u>
	11,405,737	<u>10,354,192</u>
Total sinking funds		
Invested in plant:		
Land	10,916,659	5,711,982
Buildings	159,154,575	148,547,550
Equipment	<u>38,706,371</u>	<u>36,071,744</u>
	208,777,605	<u>190,331,276</u>
Total invested in plant		
TOTAL PLANT FUNDS	<u>\$360,411,484</u>	<u>\$307,328,019</u>
Agency Funds:		
Cash in bank	\$ 273,244	\$ 5,954
Due from other funds	900,086	799,469
Investments - short term	<u>3,000</u>	
	\$ 1,176,330	<u>\$ 805,423</u>
TOTAL AGENCY FUNDS		

University of Kentucky
Balance Sheet
August 31, 1971
With Comparative Figures for 1970

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 7,742,877	\$ 7,113,592
Funds balances	<u>865,512</u>	<u>379,006</u>
Total retirement of indebtedness funds	<u>8,608,389</u>	<u>7,492,598</u>
Sinking funds:		
Due to other funds	30,390	
Bonds payable	4,689,000	4,908,000
Funds balances	<u>6,686,347</u>	<u>5,446,192</u>
Total sinking funds	<u>11,405,737</u>	<u>10,354,192</u>
Invested in plant:		
Notes payable	19,474,249	14,689,034
Bonds payable	58,964,864	51,853,500
Due to other funds		400,000
Net investment in plant	<u>130,338,492</u>	<u>123,388,742</u>
Total invested in plant	<u>208,777,605</u>	<u>190,331,276</u>
TOTAL PLANT FUNDS	<u>\$360,411,484</u>	<u>\$307,328,019</u>
Agency Funds:		
Agency funds balances	<u>\$ 1,176,330</u>	<u>\$ 805,423</u>
TOTAL AGENCY FUNDS	<u>\$ 1,176,330</u>	<u>\$ 805,423</u>

University of Kentucky
 Schedule of Changes in Fund Balances - Current Funds
 Two Months Ended August 31, 1971

Schedule 2

	Unallocated	Operating	Restricted	Hospital and Clinics	Renewals and Replacements	Auxiliary Enterprises
Balances, July 1, 1971	\$ 2,076,086	\$ 3,283,583	\$ 1,038,949	\$ 2,485,388	\$ 444,364	\$ 609,714
Allocations:						
Current operations:						
Original budget		(2,765,000)				
Current year provisions					(100,737)	
Parking account						(14,321)
Renovation of furniture and fixtures						(47,631)
Printing and duplicating equipment						(70,927)
Adjustments of prior year expenditures - net	5,085					
Balances, August 31, 1971	<u>\$ 2,081,171</u>	<u>\$ 518,583</u>	<u>\$ 1,038,949</u>	<u>\$ 2,485,388</u>	<u>\$ 343,627</u>	<u>\$ 476,835</u>

Schedule 3

Schedule of Changes in Unrestricted Fund and
Hospital Estimated Income
For the Two Months Ended August 31, 1971

	Original Budget	July	August	Adjusted Budget
Unrestricted Fund:				
Student fees	\$ 10,204,360			\$ 10,204,800
State appropriations	57,283,700		\$ (2,762)	57,280,938
Federal appropriations	6,842,716			6,842,716
County appropriations	845,943			845,943
Endowment and trust fund income	157,200			157,200
Gifts and grants	2,741,500	\$ 300,000		3,041,500
Sales, services and other income	2,745,800			2,745,800
Unallocated fund balances appropriated	2,765,000			2,765,000
Total unrestricted	\$ 83,586,659	\$ 300,000	\$ (2,762)	\$ 83,883,897
Hospital and clinics:				
State appropriations	4,297,600		2,762	4,300,362
Hospital income	9,875,325			9,875,325
Total Hospital and clinics	\$ 14,172,925		\$ 2,762	\$ 14,175,690

Schedules of Changes in Unrestricted Fund
and Hospital Expenditure Appropriations
For the Two Months Ended August 31, 1971

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 53,536,659	\$ 14,172,928	\$ 97,759,587
Transfers from unrestricted to Hospital and clinics	(2,762)	2,762	
Increases (decreases) as approved by Board of Trustees: August	<u>300,000</u>		<u>300,000</u>
Total per Report	<u>\$ 83,833,897</u>	<u>\$ 14,175,690</u>	<u>\$ 98,059,587</u>

University of Kentucky
 Summary of Current Funds Revenues and Appropriated Balances
 Two Months Ended August 31, 1971
 With Comparative Figures for 1970

<u>Two Months Ended August 31, 1970 (see note.)</u>		<u>Two Months Ended August 31, 1971</u>	
<u>Estimated</u>	<u>Realized</u>	<u>Estimated</u>	<u>Realized</u>
<u>for the</u>	<u>to Date</u>	<u>for the</u>	<u>to Date</u>
<u>Year</u>	<u>% Realized</u>	<u>Year</u>	<u>% Realized</u>
		<u>Fund and Source</u>	
		Unrestricted:	
9,424,900	728,681	Student fees	7.8
53,132,840	14,343,143	State appropriations	27.0
5,841,000	672,208	Federal appropriations	11.6
776,100	107,850	County appropriations	13.9
181,200	8,700	Endowment and trust fund income	4.8
		Gifts and grants (includes grants from affiliated corporations)	
2,609,100	40,702		1.6
2,275,000	312,320	Sales, services, and other income	13.7
3,024,657	3,024,657	Unallocated fund balances appropriated	100.0
77,264,897	19,238,261	Total unrestricted	24.9
2,276,239	378,692	Restricted	16.6
		Tobacco Research Trust Fund	
			50.7
		Hospital and clinics:	
4,297,160	1,154,257	State appropriations	26.8
9,082,900	2,124,847	Hospital earned income - net	23.3
13,380,060	3,279,104	Total hospital and clinics	24.5
7,656,193	480,201	Housing and dining system	6.2
2,506,344	796,141	Auxiliary enterprises	31.8
103,083,733	\$24,172,399	TOTAL CURRENT FUNDS REVENUES	23.4
		AND APPROPRIATED BALANCES	\$26,305,345
			23.0

Note: Certain reclassifications have been made to the figures for the two months ended August 31, 1970 for the purpose of comparability.

Schedule 6

University of Kentucky
 Summary of Current Funds Expenditures
 Two Months Ended August 31, 1971
 With Comparative Figures for 1970

Two Months Ended August 31, 1970		Two Months Ended August 31, 1971	
Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
32,016,513 \$	5,159,619	\$ 33,467,876	\$ 5,441,863
636,085	605,279	608,535	628,550
545,512	63,877	541,404	71,922
6,134,309	1,250,304	6,554,034	1,168,960
2,462,761	851,141	2,595,633	953,701
8,914,893	1,513,076	9,832,634	1,670,045
5,642,483	1,362,620	6,349,036	1,473,842
300,000	37,699	750,000	
4,124,464	661,010	5,321,238	682,720
2,521,861	444,171	2,381,365	339,998
4,932,200	590,336	5,847,892	833,713
1,961,803	247,438	2,130,813	527,171
107,812	61,389	105,223	57,373
4,531,100		5,531,100	
808,660	97,981	809,833	103,526
<u>1,624,441</u>		<u>1,057,281</u>	
<u>77,264,897</u>	<u>12,945,990</u>	<u>83,883,897</u>	<u>13,953,384</u>
	16.1		16.3
	95.1		103.3
	11.7		13.3
	20.4		17.8
	34.6		36.8
	16.9		17.0
	24.1		23.2
	12.5		
	16.0		12.8
	17.6		14.3
	11.9		14.3
	12.6		24.7
	56.9		54.5
	12.1		12.8
	<u>16.7</u>		<u>16.6</u>

Unrestricted:

Departments of instruction and research	\$ 33,467,876	\$ 5,441,863	16.3
Summer session-1971	608,535	628,550	103.3
Organized activities	541,404	71,922	13.3
Organized research	6,554,034	1,168,960	17.8
Libraries	2,595,633	953,701	36.8
Extension and public services	9,832,634	1,670,045	17.0
Maintenance and operation of plant	6,349,036	1,473,842	23.2
Renovation, office furniture, etc.	750,000		
General Administration	5,321,238	682,720	12.8
Student services	2,381,365	339,998	14.3
Staff benefits	5,847,892	833,713	14.3
General institutional services	2,130,813	527,171	24.7
Service enterprises	105,223	57,373	54.5
Debt service cost	5,531,100		
Student aid	809,833	103,526	12.8
Reserves	1,057,281		
Total unrestricted	<u>83,883,897</u>	<u>13,953,384</u>	<u>16.6</u>

Schedule 6

University of Kentucky
 Summary of Current Funds Expenditures
 Two Months Ended August 31, 1971
 With Comparative Figures for 1970

Fund or Function	Two Months Ended August 31, 1970		Two Months Ended August 31, 1971	
	Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
Restricted:				
Departments of instruction and research	\$ 671,382	49,958	\$ 599,309	106,492
Organized research	89,916	20,205	146,210	28,768
Libraries	13,820	9	12,320	183
Extension and public service	323,405	22,883	201,871	30,105
General administration	19,872	814		
Student services	30,000			
General institutional services	89,016	37,850	108,670	10,619
Student aid	1,038,828	307,863	414,050	402,124
Total restricted	<u>2,276,239</u>	<u>439,582</u>	<u>1,482,430</u>	<u>578,291</u>
Tobacco Research Trust Fund			4,720,000	428,538
Hospital and clinics	13,380,060	1,656,359	14,175,690	1,861,943
Housing and dining system	7,656,193	586,300	7,908,159	615,654
Auxiliary enterprises	2,506,344	591,786	2,159,293	550,112
TOTAL CURRENT FUNDS EXPENDITURES	<u>\$ 103,083,733</u>	<u>16,220,017</u>	<u>\$ 114,329,469</u>	<u>17,987,922</u>
		15.7		15.7

Schedule 7

University of Kentucky
 Schedule of Short Term Investments
 For the Two Months Ended August 31, 1971

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Funds:		
Government securities:		
Federal Home Loan Bonds, 6.25%, 8-25-72	\$ 255,000	\$ 255,000
Banks for Cooperatives, 6.125%, 2-1-72	300,000	300,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
FNMA Notes, 5.9%, 1-26-72	100,000	97,050
Federal Land Bank Bonds, 6.5%, 10-23-72	335,000	335,000
FNMA Notes, 8.45%, 10-12-71	300,000	300,000
Federal Home Loan, 8.2%, 11-26-71	150,000	150,000
FIC Bank Bonds, 6.25%, 5-1-72	500,000	500,000
FIC Bank Bonds, 5.3%, 10-4-71	100,000	100,000
U. S. Treasury Bills, 4.05%, 10-28-71	500,000	490,438
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	130,000	130,000
FIC Bank Bonds, 5.85%, 4-3-72	200,000	200,000
Total government securities	<u>3,077,488</u>	
Corporate notes:		
Penn Central Transportation Company, 8.875%, 6-29-70	500,000	481,017
Certificates of deposit:		
Bank of Commerce and Trust Company, 5.5%, 2-5-72	100,000	100,000
First Security National Bank & Trust Company, 6.5%, 12-7-71	300,000	300,000
First Security National Bank & Trust Company, 5%, 3-8-72	100,000	100,000
Bank of Lexington, 5.25%, 3-8-72	100,000	100,000
Bank of Commerce & Trust Company, 5.5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-72	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-72	100,000	100,000
Total certificates of deposit	<u>900,000</u>	
Total Restricted Current Funds		<u>4,458,505</u>
Less Allowance for Decline in Market Value of Securities		<u>481,017.</u>
NET RESTRICTED CURRENT FUNDS		<u>\$3,977,488</u>
Agency Funds -Certificates of deposit:		
First Security National Bank & Trust Company, 5%, 9-2-71	3,000	<u>\$ 3,000</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 2

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus- Subschedule A</u>					
Consolidated Educational Bonds C & D (June 8, 1971 Minutes of the Board)					
Classroom-Office Building 128 and 129	\$ 11,980,250	\$ 11,980,250	\$ 11,980,250	\$ 11,935,160	\$ 45,090
Agricultural Sciences- Lab Building # 2-749	5,390,399	5,390,399	5,386,679	5,170,810	215,869
Appurtenant Facilities-Parking Structures-916	3,752,074	3,752,074	3,752,074	3,752,074	
Appurtenant Facilities- Utilities	7,894,744	7,894,744			
Medical Center-Relocation of Cooling Tower-302	1,794,413		1,794,413	1,741,982	52,431
Electrical Substation # 2-Central Campus-919	706,652		706,652	702,152	4,500
Cooling Plant # 2-Central Campus-920	1,184,592		1,184,592	1,184,592	
Central Heating Plant-Aux. Equipment-921	443,774		443,774	426,351	17,423
Utility Distribution System-935	367,284		367,284	367,284	
Cooling Plant # 1-Expansion-942	632,625		632,625	596,878	35,747
Interconnection of Steam Lines-Medical Center & Upper Street Heating Plants-324(FCR-3, December 8, 1970)	36,500		36,500	28,660	7,840
Chilled Water Steam and Electrical Distribution Multidiscipline-973(FCR-3, December 8, 1970)	630,133		630,133	506,525	123,608
Replacement of Boilers- Upper Street Heating Plant-975 (FCR-3, December 8, 1970)	268,463		268,463	42,791	225,672
Conversion from 4KV to 12 KV-976(FCR-3, December 8, 1970)	5,000		5,000	2,500	2,500
Subtotal	7,894,744	7,894,744	6,069,436	5,599,715	469,721
Appurtenant Facilities-Permanent Surface Parking Surface Parking-Lighting and Landscaping-936 Surface Parking-Harrison and Euclid Avenues-963 Site Clearance-107	355,598	355,300	291,454	291,454	
Subtotal	355,598	355,300	2,319	2,319	
Subtotal	355,598	355,300	355,300	355,300	
Appurtenant Facilities- Pedestrian Access & Light-937	345,598	345,598	345,598	318,992	26,606

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971
 Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule A-continued</u>					
Lab Office & Studio Facilities-University Wide	\$ 1,684,101	\$ 1,648,101			
Arts Building for Metal Casting-601		\$ 101,933	\$ 101,933	\$ 101,423	\$ 510
Prefabricated Research Lab. Phase I-934		1,546,168	1,546,168	1,546,168	
Subtotal	1,684,101	1,648,101	1,648,101	1,647,591	510
Complete Interior Reconstruction-Memorial Hall-930	424,494	424,494	424,494	424,494	
Patient Care Services (June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)-307	4,500,000	4,507,000	131,085	8,085	123,000
Addition to Margaret I. King Library (June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)-938	3,700,000	3,832,864	179,020	132,864	46,156
Substantial Interior Reconstruction-Portion Library Service Building-924	472,708	472,708	472,708	437,229	35,479
Appurtenant Facilities-Site Preparation (FCR-7, October 20, 1970)	480,490	491,518			
Veterans Administration Hospital Site Preparation and Clearance-941			427,490	414,412	13,078
Veterans Administration Hospital-Connecting Bridge-943			64,028	57,144	6,884
Subtotal	480,490	491,518	491,518	471,556	19,962
Laboratory Office Facilities-967-FCR-2, May 5, 1970	2,300,000	2,300,000	2,131,142	1,985,961	145,181
Site Clearance-107			3,478	3,478	
Subtotal	2,300,000	2,300,000	2,134,620	1,989,439	145,181
Health, Physical Education and Recreation Bldg. -968 (FCR-2 May 5, 1970)	2,500,000	2,560,800	2,560,800	2,417,354	143,446
Completed Projects	420,186	420,186	420,186	420,186	Completed
Total	46,200,642	46,376,036	36,351,869	35,080,849	1,271,120

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board Approved	Estimated Project Costs	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule A -continued</u>					
Contingency	\$ 524,187	\$ 492,166			
Legal, Administrative & Interest Expense	5,842,218	5,097,144*	5,097,144	4,868,158	228,986
Clearing-900			5,960,262		5,960,262
Total Subschedule A	\$ 52,567,047	\$ 51,965,346	\$ 47,409,275	\$ 39,949,007	\$ 7,460,268

* Approximately \$ 109,000 will be available for construction after payments for legal, administrative, interest and bond.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971
 Schedule 8

<u>Main Campus-Subschedule A-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$ 34,700,000	\$ 33,643,015	\$ 1,056,985
Series C Bonds	4,290,000	4,290,000	
Interest Income	3,649,412	3,531,703	117,709
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	43,450,651	42,275,957	1,174,694
General Obligation Bonds:			
Utilities- FCR-3, December 8, 1970	1,350,000	940,096	409,904
Planning- PR-11, January 29, 1969	139,864	139,864	
Hill Burton Funds	2,300,000		2,300,000
Veterans Administration	679,544	232,293	447,251
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,221,134	224,222
Title II Grants	1,129,070	1,129,070	
Trust and Agency(Furniture and Equipment-Service Bldg.)	17,000	17,000	
Total Source of Funds	<u>\$ 51,965,346</u>	<u>\$ 47,409,275</u>	<u>\$ 4,556,071</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Main Campus-Subschedule A-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES C & D BONDS

Balance in Clearing Account	\$ 5,960,262
Unrealized Income:	
Estimated Interest Earnings	\$ 117,709
Other Unrealized Income	<u>4,438,362</u>
Total Unrealized Income	<u>4,556,071</u>
Subtotal	10,516,333
Additional Allotments:	
Library Addition	3,653,844
Patient Care Services	4,375,915
Appurtenant Facilities - Utilities	1,825,308
Agr. Sciences Lab	3,720
Lab Office Facility	<u>165,380</u>
Total Additional Allotments	<u>(10,924,167)</u>
Balance Available	\$ 492,166

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus- Planning-Subschedule B</u>					
<u>Consolidated Educational Bonds (not assigned)</u>					
(PR 10 & 11 - January 29, 1969)					
Lab Office Building- Biological Sciences-Phase I-940	\$ 10,000,000	\$ 11,378,000	\$ 120,625	\$ 120,625	
Computer Facilities (cancelled)-962	2,800,000	7,500	7,500	6,208	1,292
Medical Center - New Construction and Renovation	8,500,000	8,500,000			
Addition of 2 Floors-301			10,000	10,000	
Handicapped Children's Center-939			10,000	10,000	
Subtotal	8,500,000	8,500,000	20,000	20,000	
Total Subschedule B	\$ 21,300,000	\$ 19,885,500	\$ 148,125	\$ 146,833	\$ 1,292

Source of Funds:

General Obligation Bonds \$ 148,125

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule C</u>					
<u>Non-Recurring Maintenance Items (FCR-6, April 2, 1968</u>					
<u>& PR-5, November 19, 1968)</u>					
Roof Repairs-E.Wing Engineering Building (Anderson Hall)-922	\$ 42,000	\$ 33,764	\$ 16,577	\$ 16,577	
Air Conditioning- Bowman, Bradley, Breckinridge and Kinkead-138	387,410	387,410	387,410	371,843	15,567
Centrex-Conduit System	94,272	94,272			
Conduit for Medical Center-321			55,309	52,044	3,265
Installation 39-087			36,442	36,442	
Subtotal	94,272	94,272	91,751	88,486	3,265
Repairs-Administration Building	60,000	80,082			
Masonry Repairs-965			22,391	22,391	
Window Air Conditioners 39-090			11,750	18,341	(6,591)
Replace Windows 39-091			39,350	39,350	
Subtotal	60,000	80,082	73,491	80,082	(6,591)
Roof Repairs-McVey Hall	15,000	15,000			
McVey Hall-Roof Repairs-38-404			5,000	5,000	
McVey Hall-Gutter Repairs-- 38-392			10,000	10,000	
Subtotal	15,000	15,000	15,000	15,000	
Roof Repairs Memorial Hall-Cancelled Project	18,000				
Floor Tile-Cancelled Projects	121,000				
Electrical-Cancelled Projects	210,000				
Electrical-Fine Arts-38-444	9,000	9,000	9,000		9,000

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 3

<u>Main Campus-Subschedule C -continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Hardware -	\$ 20,000	\$ 20,000			
Alumni Gym & Taylor Building-38-428			10,000	9,750	250
Animal Pathology Bldg. & Home Economics Bldg. Hardware-38-429			10,000	10,000	
Subtotal			20,000	19,750	250
Roof Repairs-Administration Annex-969	8,000	16,236	16,236	14,760	1,476
Campus Utilities Electrical 119, 38-443, 972		145,000	75,703	28,529	47,174
Renovation Taylor Education Bldg. -117		132,629	26,198	1,000	25,198
Barker Hall-Sandblasting-977		13,892	13,892		13,892
Completed Projects	165,318	162,251	162,251	162,251	Completed
Total	1,150,000	1,109,536	907,509	807,278	100,231
Unallotted Non-Recurring Maintenance			210,228		210,228
Contingency		3,201			
Total Subschedule C	\$ 1,150,000	\$ 1,117,737	\$ 1,117,737	\$ 807,278	\$ 310,459

<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
University Fund Balances	\$ 25,000	\$ 25,000	
General Obligation Bonds	41,390	41,390	
Restricted	1,928	1,928	
Renovation Funds-1969-70	27,738	27,738	
Fund Balances-			
PR-5, November 19, 1968	900,000	900,000	
FCR-6, April 2, 1968	112,400	112,400	
FCR-6, April 2, 1968	137,600	137,600	
Transferred to Minor Renovation Funds	(80,000)	(80,000)	
Transferred to State	(55,000)	(55,000)	
Capital Construction Projects	6,681	6,681	
Athletic Association	1,117,737	1,117,737	
Total Source of Funds	<u>\$ 1,117,737</u>	<u>\$ 1,117,737</u>	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Subschedule C-continued

SUMMARY OF UNAPPROPRIATED FUNDS-NON-RECURRING MAINTENANCE

Balance in Clearing	\$ 210,228
To be Allotted:	
Taylor Education	\$ 106,431
Others	<u>95,596</u>
Total	<u>(202,027)</u>
Balance Available	\$ 8,201

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971
 Schedule 8

<u>Main Campus-Subschedule D</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>and</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u>Encumbrances</u>	<u>Encumbrances</u>	<u>of</u>
	\$	\$	\$	\$	<u>Allotment</u>
State Capital Construction Funds-(FCR-3, February 16, 1969)					
Agricultural Regulatory Service Facilities-745					
Community Medicine Facility-Mycology and Animal Tuberculosis-322	\$ 100,000	145,185	145,185	109,093	\$ 36,092
Renovation of Engineering Quadrangle-111	250,000	349,332	349,332	336,125	13,207
Development of Intramural Fields-118	250,000	250,000	173,040	168,922	4,118
Renovation of Neurosurgery-960	220,000	270,014	270,014	266,837	3,177
Quicksand Wood Utilization Center Trailers-109	150,000	173,751	147,440	145,830	1,610
Trailers-Force Account-110			12,000	12,000	Completed
Student Housing Renovation-320			14,311	14,311	Completed
Subtotal	150,000	173,751	173,751	172,141	1,610
ETV Equipment for Community Colleges-518	100,000	118,109	118,109	87,814	30,295
Reserve for Additional Projects		136,706	136,706 *		136,706
Contingency	3,500	2,683			
Undistributed (not allotted specific projects)			79,643		79,643
Total Subschedule D	\$ 1,073,500	\$ 1,449,500	\$ 1,449,500	\$ 1,144,652	\$ 304,848
Source of Funds:			<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Board Action-FCR-3			\$ 1,253,500	\$ 1,853,500	
Transferred to Ag. Science Building II			(500,000)	(500,000)	
Transferred from Non-Recurring Maintenance			55,000	55,000	
Restricted Funds (Engr. Equipment Account)			41,000	41,000	
Total Source of Funds			\$ 1,449,500	\$ 1,449,500	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 197:

Subschedule D--continued

SUMMARY OF UNAPPROPRIATED FUNDS--STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 216,349
Additional Allotments	<u>(76,960)</u>
Balance Available	\$ 139,389

* Overruns on Mycology and Community College ETV have caused the reduction of the \$ 200,000 Reserved for Additional Projects.

UNIVERSITY OF KENTUCKY
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board		Estimated Project Cost	Allotment	Expenditures and Balance of	
	Approved	Estimated			Encumbrances	Allotment
<u>Main Campus-Subschedule E</u>						
Major Improvements - Miscellaneous Construction						
(PR 6, November 10, 1967 & FCR-2, December 9, 1969)						
Substantial Interior Reconstruction portions						
Agr. Science Building-Small Animal Lab to						
Tobacco Health and Research-319	\$ 162,500	\$ 220,813	\$ 220,813	\$ 220,813	\$ 220,813	
Substantial Interior Reconstruction Portions						
Anderson Hall (Engr. Quadrangle)-922	136,500	138,009	136,541	136,541	136,541	
Minor Interior Changes & Major Mech & Electrical						
Highway Materials Research Lab (Graham Avenue)-932	150,000	225,405	225,405	215,073	10,332	
Completed Projects	1,060,850	1,068,049	1,068,049	1,068,049	1,068,049	Completed
Cancelled Projects	95,500					
Contingency and Clearing Account 39-700	194,650	141,228	152,115	152,115	152,115	
Subtotal PR-6	1,200,000	1,793,504	1,302,923	1,640,476	162,447	
Demolition of Houses-107		58,262	52,465	48,769	3,696	
Flammable Storage Buildings-101		51,000	47,378	47,347	31	
Total Subschedule E	\$ 1,200,000	\$ 1,902,766	\$ 1,902,766	\$ 1,736,592	\$ 166,174	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

<u>Main Campus-Subschedule E -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Fund Balances - PR 6, November 10, 1967	\$ 1,013,376	\$ 1,013,376	
General Obligation Bonds -			
FCR-2, December 9, 1969	\$ 786,624		
Available Balances Returned	<u>(10,097)</u>		
Total G. O. B.			
Grants	776,527	776,527	
Trust and Agency	136,588	136,588	
University Funds	10,000	10,000	
Restricted Funds	4,982	4,982	
Subtotal	<u>1,969,539</u>	<u>1,969,539</u>	
Less:			
Sewer Connection Fee- FCR-3, March 12, 1968	(35,000)	(35,000)	
Transferred to Minor Renovation Funds	(20,000)	(20,000)	
Transferred to Research Feed Processing Center	<u>(11,773)</u>	<u>(11,773)</u>	
Total Source of Funds	<u>\$ 1,902,766</u>	<u>\$ 1,902,766</u>	

SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS

Balance in Clearing	\$ 152,115
Additional Allotments	<u>(10,887)</u>
Balance Available	\$ 141,228

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

Main Campus-Subschedule F

a. Others

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Closed Circuit TV					
Engr. & Commerce Buildings-966	\$ 70,000	\$ 71,205	\$ 10,000	\$ 9,697	\$ 303
Taylor Distribution Equipment-39-077			11,005	5,275	5,730
Completion Classroom-Office-39-076			9,500	9,500	
White Hall Distribution Equipment-39-078			4,200	2,625	1,575
T. V. Receivers and Tape 39-079			32,400	17,101	15,299
Patterson Tower Closed Circuit TV 39-075			4,100	4,100	Completed
Subtotal	70,000	71,205	71,205	48,298	22,907
Miscellaneous Parking Lot Construction					
1970-71 (FCR-4, June 17, 1969)-964, 970	200,000	246,400	246,400	230,368	16,032
1971-72 (FCR-2, June 9, 1970)-165, 166	200,000	200,000	43,164		43,164
Subtotal	400,000	446,400	289,564	230,368	59,196
Coldstream Research Feed Processing Center-746					
(FCR-3, June 9, 1970)	293,475	293,475	293,475	279,782	13,693
South Farm Horticulture-Storage and Research-750			72,601	72,601	
Ag. Service-Agronomy Seed & Lab-928			2,500	1,000	1,500
Fire Escape- Jeweli & Reynolds-905			58,898	58,222	676
Renovation-Lafferty Hall-971			3,500	2,000	1,500
Alterations to Doctor's Parking Lot-325			4,500	4,500	
Shively Sports Center Swimming Pool-978			2,000	1,200	800
Renovation Frazee Hall-974			12,942	2,000	10,942
Funkhouser-Biological Sciences-931			1,000	1,000	Completed
Total Subschedule F. a.	763,475	967,021	812,185	700,971	111,214

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

<u>Main Campus-Subschedule F-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds-Subschedule F. a.</u>			
Auxiliary Enterprises (Parking Fees)	\$ 446,400	\$ 446,400	
Parking Fees Reserved in Clearing Account		(156,836)	\$ 156,836
State Fire and Tornado Fund	134,932	134,932	
USDA Grants	164,503	156,301	8,202
70-71 Renovation Funds	91,442	91,442	
69-70 Renovation Funds	2,500	2,500	
Fund Balances	79,171	79,171	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	967,021	801,983	165,038
Advance from General Obligation Bonds		8,202	(8,202)
Advance from Fund Balances		2,000	(2,000)
Total	967,021	812,185	154,836
	Board Approved	Estimated Project Cost	Expenditures Balance of Encumbrances Allotment
b. Purchase of Computer-103 (FCR-2, December 9, 1969)	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344
<u>Source of Funds-Subschedule F. b.</u>			
FCR-2	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Trust and Agency	\$ 1,819,612	\$ 1,819,612	
Restricted Funds	187,732	187,732	
Total	<u>\$ 2,007,344</u>	<u>\$ 2,007,344</u>	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule F-continued</u>					
c. Property Acquisitions-135	\$ 3,800,000	\$ 3,817,507	\$ 3,817,507	\$ 3,352,983	\$ 464,524
<u>Source of Funds-Subschedule F. c.</u>					
General Obligation Bonds					
FCR-4, May 6, 1969	\$ 2,300,000				
FCR-2, December 9, 1969	187,732				
Subtotal	2,487,732	2,487,732			
Restricted Fund Balances					
PR-5, November 19, 1968	900,000				
FCR-2, December 9, 1969	(187,732)				
Phasing Out Operations of South End Frozen Food Locker	(9,517)				
Transfer of Land Sale Proceeds	(18,106)				
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve	32,450				
Agriculture Department	14,074				
PR-7, May 5, 1970	100,000				
PR-4, January 19, 1971	400,000				
Subtotal	546,524	546,524			
Total	3,817,507	3,817,507			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
d. Completed Projects (Medical Center - Hill Burton)	\$ 25,392,144	\$ 25,286,631	\$ 25,286,631	\$ 25,286,631	

	Amount	Realized	Unrealized
Source of Funds-Subschedule F. d.			
Federal Grants	\$ 10,192,147	\$ 10,192,147	
Special State Appropriation	15,094,484	15,094,484	
Total	25,286,631	25,286,631	

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
e. Housing and Dining Complex	1,947,240	1,947,240	1,947,240	1,902,693	44,547

	Amount	Realized	Unrealized
Source of Funds-Subschedule F. e.			
Series H & I Bonds (HUD)	1,917,069	1,917,069	
Trust and Agency	30,171	30,171	
Total	1,947,240	1,947,240	

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
f. Undistributed Portion of Fund Balances- 100					
Restricted Funds			\$,031		3,031
University Funds Reserved for Additional Projects			400,000		400,000
University Funds Reserved for Parking			156,836		156,836
Unallocated			336,050		336,050
Total Subschedule F. f.			900,917		900,917

Total Subschedule F	\$ 33,916,203	\$ 34,025,743	\$ 34,771,824	\$ 33,250,622	\$ 1,521,202
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University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

<u>Main Campus-Subschedule G</u> General Obligation Bonds	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Completed Projects FCR-2, June 9, 1970	\$ 196,507 \$	190,005 \$	190,005 \$	190,005 \$	Completed
Contingency		649,357			
Unallocated General Obligation Bonds			1,051,059		1,051,059
Total Subschedule G	\$ 196,507 \$	839,362 \$	1,241,064 \$	190,005 \$	1,051,059
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
General Obligation Bond Proceeds	\$ 5,783,000 \$	5,783,000			
Transferred to Other Schedules:					
Subschedule A (C & D Bonds)	(1,489,864)	(1,079,960)	\$ (409,904)		
Subschedule B (Main Campus Planning)	(148,125)	(148,125)			
Subschedule C (Non-Recurring Maintenance)	(41,390)	(41,390)			
Subschedule E (Major Improvements)	(776,527)	(776,527)			
Subschedule F. a. (Main Campus - Others)		(8,202)	8,202		
Subschedule F. c. (Property Acquisitions)	(2,487,732)	(2,487,732)			
Total Source of Funds	\$ 839,362 \$	1,241,064 \$	(401,702)		

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Subschedule G--continued

SUMMARY OF UNAPPROPRIATED FUNDS--GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 1,051,059	
Advances to be Repaid	8,202	
Subtotal	1,059,261	
Additional Allotment		
Subschedule A	(409,904)	
Balance Available	\$ 649,357	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule E

Community Colleges--Subschedule H
Consolidated Education Bonds--Series A & B (FCR-2,
 November 17, 1970)

	Board Approved	Estimated Project Cost	Allocation	Expenditures and Encumbrances	Balance of Alignment
Ashland Phase I					
Preliminary Development-517		\$ 3,365,206	\$ 12,436	\$ 12,436	Completed
Academic Facilities-523			3,309,770	3,297,854	\$ 11,916
Construction of Parking Lot-519		90,000	90,000		90,000
Subtotal	3,365,206	3,412,206	3,412,206	3,310,290	101,916
Elizabethtown					
Preliminary Development-510	1,559,095	1,564,095	9,025	9,025	
Academic Facilities-525			1,555,070	1,554,348	722
Subtotal	1,559,095	1,564,095	1,564,095	1,563,373	722
Hazard					
Preliminary Development-507	1,831,213	1,831,213	10,427	10,427	
Academic Facilities-527			1,820,786	1,790,970	29,816
Subtotal	1,831,213	1,831,213	1,831,213	1,801,397	29,816
Henderson					
Preliminary Development-511	1,239,570	1,239,570	3,925	3,925	Completed
Academic Facilities-529			1,235,645	1,228,650	6,995
Subtotal	1,239,570	1,239,570	1,239,570	1,232,575	6,995
Hopkinsville					
Preliminary Development-514	759,753	759,754	9,075	9,075	Completed
Academic Facilities-531			750,678	747,819	2,859
Phase I-508	223,620	223,620	213,732	213,732	Completed
Subtotal	983,373	983,374	973,485	970,626	2,859
Jefferson					
Phase I-547	5,576,910	5,664,310	1,365,101	1,365,101	
Phase II-534			3,861,327	3,779,170	82,157
Utilities Tunnel-549			427,882	412,638	15,244
Phase III-535			10,000	10,000	
Subtotal	5,576,910	5,664,310	5,664,310	5,566,909	97,401

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

<u>Community Colleges - Subschedule H - continued</u>	<u>Board</u>	<u>Estimated</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Encumbrances</u>	<u>Balance</u>
<u>Madisonville</u>	<u>Approved</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Encumbrances</u>	<u>Allotment</u>	<u>of</u>
	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>\$</u>	<u>Expenditures</u>
Preliminary Development-559 (FCR-3) (March 10, 1970)	2,500,000	14,200	14,200	13,593	607	
Academic Facilities-554	11,150	390,250	99,953	290,297		
Trailers-551	2,500,000	2,825,350	415,600	124,696	290,904	
Subtotal	1,866,511	1,876,381	9,450	9,450	9,450	
Maysville	1,866,511	1,876,381	1,857,061	1,815,391	41,670	
Preliminary Development-536	1,866,511	1,876,381	1,866,511	1,824,841	41,670	
Academic Facilities-537	1,645,096	1,645,096	12,897	12,597	300	
Subtotal	1,645,096	1,645,096	1,632,199	1,625,458	6,741	
Prestonsburg	1,645,096	1,645,096	1,645,096	1,638,055	7,041	
Preliminary Development-513	814,726	857,209	9,450	9,450	9,450	
Academic Facilities-541	176,380	176,380	847,759	823,514	24,245	
Subtotal	991,106	1,033,589	973,545	949,300	24,245	
Somerset	1,015,381	1,034,970	10,594	10,594	Completed	
Preliminary Development-512	1,015,381	1,034,970	1,005,787	995,905	9,882	
Academic Facilities-543	1,015,381	1,034,970	1,016,381	1,006,499	9,882	
Phase I-506	718,817	718,803	718,803	718,803	718,803	
Subtotal	718,817	718,803	718,803	718,803	718,803	
Movable Buildings 548, 539						

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

<u>Community Colleges-Subschedule H-continued</u> Educational Television-Community Colleges	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
	\$	\$	\$	\$	\$
Southeast-521	45,000	19,248	12,248	17,443	1,805
Henderson-552		24,500	23,679	22,076	1,603
Prestonsburg-553		5,364	5,384	4,591	793
Subtotal	45,060	49,132	48,311	44,110	4,201
Total	23,337,278	23,578,589	21,369,126	20,751,474	617,652
Contingency		832,976			
Legal, Administrative, and Interest Expenses		1,225,303	926,437	881,181	45,256
Clearing Account-500			2,027,082		2,027,082
Total Subschedule H			\$ 24,322,645	\$ 21,632,655	\$ 2,689,990

<u>Source of Funds:</u>	Amount	Realized	Unrealized
Title I Grants	\$ 7,100,475	\$ 5,329,557	\$ 1,270,918
Title VI Grants	18,305		18,305
Norton Memorial Hospital	95,063	95,063	
Ashland Board of Education	45,000	45,000	
Madisonville Reserve	23,150	23,150	
Trust and Agency	2,500	2,500	
Old Series A & B Bonds	1,960	1,960	
Series A & B Bonds:			
Original Issue-16,300,000			
Series A	12,125,000	12,125,000	
Fund Balances	75,000		75,000
Series B	4,489,000	4,489,000	
Bond Premium	360	360	
Interest Earned	1,711,055	1,711,055	
Total Source of Funds	\$ 25,686,868	\$ 24,322,645	\$ 1,364,223

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Subschedule H-continued

SUMMARY OF UNAPPROPRIATED FUNDS--SERIES A & B BONDS

Balance in Clearing Account	\$ 2,027,082
Unrealized Income	<u>1,364,223</u>
Subtotal	\$ 3,391,305
Additional Allotments	
Legal and Admin (Est)	298,866
Others	<u>2,209,463</u>
Subtotal	<u>(2,508,329)</u>
Balance Available	\$ 882,976

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

	Board Approved	Estimated Project Cost	Alotment	Expenditures and Encumbrances	Balance of Alotment
Community Colleges - Subschedule I					
Others -					
Jefferson - Demolition Old Pharmacy Building - 538	\$ 6,000	\$ 6,000	\$ 6,000	\$	6,000
Somerset Amphitheater - 502	1,000	1,000	1,000	1,000	
Ashland Phase II - 524	7,500	7,500	7,500	5,000	2,500
Total Subschedule I	\$ 14,500	\$ 14,500	\$ 14,500	\$ 6,000	\$ 8,500

Source of Funds:

	Amount	Realized	Unrealized
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500	
Fund Balances	1,000	1,000	
Due from Other Funds	7,500	\$ 7,500	
Advance to be Repaid	(7,500)		(7,500)
1970-71 Renovation Funds	6,000	6,000	
Total Source of Funds	\$ 14,500	\$ 14,500	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 3

<u>Minor Renovation Funds-Subschedule J</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
1971-72 Renovation Funds -					
Projects in Process	\$ 750,000	\$ 45,912	\$ 45,912	\$ 15,839	\$ 30,073
Unallocated Regular Clearing Account 40-500			704,088		704,088
Contingency		704,088			
Subtotal	750,000	750,000	750,000	15,839	734,161
1970-71 Renovation Funds -					
Projects in Process	600,000	465,625	465,625	399,773	65,852
Completed Projects		135,939	135,939	135,939	Completed
Unallocated Regular Clearing Account 39-500			107,244		107,244
Stock Furniture Account 39-096		25,596	25,596	22,063	3,533
Contingency		107,244			
Subtotal	600,000	734,404	734,404	557,775	176,629
1969-70 Renovation Funds -					
Projects in Process	400,000	15,641	15,641	15,641	
Completed Projects		248,280	248,280	248,280	Completed
Unallocated Regular Clearing Account 39-099			105,841		105,841
Contingency		105,841			
Subtotal	400,000	369,762	369,762	263,921	105,841
1968-69 Renovation Projects in Process					
Subtotal		22,110	22,110	22,110	
Total Subschedule J	\$ 1,750,000	\$ 1,876,276	\$ 1,876,276	\$ 859,645	\$ 1,016,631

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

<u>Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
1971-72 Allocation	\$ 750,000	\$ 750,000	
1970-71 Allocation			
PR-7, May 5, 1970	\$ 300,000		
PR-4, January 19, 1971	<u>300,000</u>		
Total 1970-71 Allocation		600,000	600,000
Transferred to Subschedule F. a.		(91,442)	(91,442)
Transferred to Subschedule I.		(6,000)	(6,000)
Transferred from 1969-70 Stock Furniture		596	596
Transferred from Non-Recurring Maintenance		80,000	80,000
Transferred from Major Improvements PR-6		20,000	20,000
Auxiliary Enterprises		17,000	17,000
Transferred from Other Funds		114,250	114,250
Subtotal 1970-71 Funds		<u>734,404</u>	<u>734,404</u>
1969-70 Allocation, PR-6, October 21, 1969		400,000	400,000
Transferred from Subschedule C		(27,738)	(27,738)
Transferred from Subschedule F. a.		(2,500)	(2,500)
Subtotal 1969-70 Funds		<u>369,762</u>	<u>369,762</u>
1968-69 Renovation Funds		<u>22,110</u>	<u>22,110</u>
Total Source of Funds		<u>\$ 1,876,276</u>	<u>\$ 1,876,276</u>
<u>SUMMARY OF UNAPPROPRIATED FUNDS- MINOR RENOVATION</u>			
Balance in Clearing Accounts		<u>\$ 917,173</u>	
Balance Available			\$ 917,173

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Two Months Ended August 31, 1971

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
SUMMARY OF SUBSCHEDULES					
A. Consolidated Education Bonds C & D	\$ 52,567,047	\$ 51,965,346	\$ 47,409,275	\$ 39,949,007	\$ 7,460,268
B. Main Campus-Planning	21,300,000	19,885,500	148,125	146,833	1,292
C. Non-Recurring Maintenance	1,150,000	1,117,737	1,117,737	807,278	310,459
D. State Capital Construction Funds	1,073,500	1,449,500	1,449,500	1,144,652	304,848
E. Major Improvements	1,800,000	1,902,766	1,902,766	1,736,592	166,174
F. Main Campus - Others	33,916,203	34,025,743	34,771,824	33,250,622	1,521,202
G. General Obligation Bonds	196,507	839,362	1,241,064	190,005	1,051,059
H. Consolidated Education Bonds A & B	23,337,278	25,686,868	24,322,645	21,632,655	2,689,990
I. Community Colleges-Other	14,500	14,500	14,500	6,000	8,500
J. Renovation Funds	<u>1,750,000</u>	<u>1,876,276</u>	<u>1,876,276</u>	<u>859,645</u>	<u>1,016,631</u>
GRAND TOTAL OF SCHEDULES	<u>137,090,535</u>	<u>138,763,598</u>	<u>114,253,712</u>	<u>99,723,289</u>	<u>14,530,423</u>

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

Capital Construction Funds					
Main Campus-					
Series C & D Bonds (Subschedule A)	\$ 492,166				
Non-Recurring Maintenance (Subschedule C)	8,201				
State Capital Construction (Subschedule D)	139,389				
Major Improvements (Subschedule E)	141,228				
Main Campus Others(Subschedule F)	744,081				
GO Bonds (Subschedule G)	649,357				
Insurance Proceeds (not on Schedule 8)	173,867				
Special Fund Balances(not on Schedule 8)	<u>631,730</u>				
Subtotal MainCampus		2,980,019			
Community Colleges					
Series A & B (Subschedule H)		<u>882,976</u>			
Total Available Capital Construction Funds			3,862,995		
Renovation Funds					
Minor Renovation (Subschedule J)		<u>917,173</u>			
Total Available Renovation Funds				<u>917,173</u>	
Total Available Plant Funds					<u><u>\$ 4,780,168</u></u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Two Months Ended August 31, 1971

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Income:			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,911,875		
Subtotal	<u>5,911,875</u>		
Housing and dining system debt:			
Charges to housing and dining system current funds	1,557,649		
Investment income from sinking funds	106,360		
Subtotal	<u>1,664,009</u>		
Auxiliary enterprises debt:			
Charges to auxiliary enterprises current funds	182,000	\$ 15,007	8.2
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,757,884</u>	<u>\$ 15,007</u>	<u>.2</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings -Series A	\$ 504,407		
Consolidated Educational Buildings - Series B	463,607		
Consolidated Educational Buildings -Series C	291,579		
Consolidated Educational Buildings -Series D	3,339,690		
Community Colleges Educational Buildings -Series A	1,063,143		
Community Colleges Educational Buildings -Series B	249,395		
Subtotal	<u>5,911,875</u>		
Housing and Dining System Revenue Bonds-Series A-I	1,664,009		

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Two Months Ended August 31, 1971

Schedule 9

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary enterprises debt:			
Chi Omega	3,212		
Alpha Delta Pi	15,059		
Dorms A-F	39,300		
Dorms G-L	50,772		
Sigma Phi Epsilon	8,190		
Delta Delta Delta	6,055		
Alpha Tau Omega	8,310		
Alpha Gamma Rho and Sigma Chi	16,023		
Student Housing Bonds of 1964	35,014	\$ 15,007	42.9
Subtotal	182,000	15,007	8.2
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS			
	\$ 7,757,284	\$ 15,007	.2

University of Kentucky
 Schedule of Sinking Funds
 August 31, 1971

Schedule 10

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund Account	-
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	\$ 1,704,600
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	797,418
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	1,084,215
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	772,990
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	607,980
Consolidated Educational Buildings Revenue Bonds-Series D-Special Note Sinking Fund	122,647
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	853,883
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	87,347
Community Colleges Educational Buildings-Special Renewal Note Sinking Fund	59,048
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	235,774
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	43,796
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	21,124
Community Colleges Educational Buildings-Series A - Bond and Interest Fund-Escrow Account	99,743
Community Colleges Educational Buildings-Series B-Bond and Interest Fund-Escrow Account	88,030
	<u>107,752</u>
TOTAL SINKING FUNDS	<u>\$ 6,686,347</u>

University of Kentucky
 Summary of Other Funds Transactions
 For the Two Months Ended August 31, 1971

	Balances		Receipts	Expenditures	Balances August 31, 1971
	July 1, 1971	August 31, 1971			
<u>Loan Funds:</u>					
Federal sponsored loan funds:					
Federal government	\$ 4,877,709	\$ (1,639)			\$ 4,876,070
University of Kentucky	458,246	(60)			458,186
Accumulated interest income	198,782	1,569			200,351
University loan funds:					
University student loan funds	50,513	1			50,514
University special student loan fund	70,436	311			70,747
United Student Aid loan fund	2,000				2,000
	<u>\$ 5,657,686</u>	<u>\$ 182</u>			<u>\$ 5,657,868</u>
TOTAL LOAN FUNDS					
<u>Endowment Funds:</u>					
TOTAL ENDOWMENT FUNDS	<u>\$ 941,010</u>	<u>\$ 3,696</u>			<u>\$ 944,706</u>
<u>Agency Funds:</u>					
TOTAL AGENCY FUNDS	<u>\$ 1,240,035</u>	<u>\$ 8,478,575</u>			<u>\$ 1,176,330</u>

Finance Committee Report
November 15, 1971

FCR 1_B

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the three months ending September 30, 1971, be accepted.

Background: The September 30, 1971 report reflects income of \$30,148,264 for the period of the report; the income figure is 26.7% of the annual income estimate of \$112,933,898.

The expenditure and commitments represent a total of \$26,798,945 for this period of three months, or 23.7% of the expenditure authority of \$112,933,898 approved by the Board.

Action: Approved X Disapproved _____ Other _____

Date: November 15 , 1971

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

Office of Vice President
Business Affairs and Treasurer

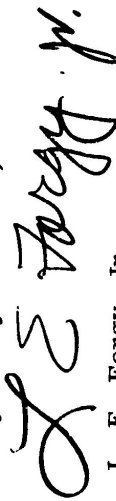
October 21, 1971

Dr. Otis A. Singletary, President
University of Kentucky
Lexington, Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the three months ended September 30, 1971 is submitted herewith. This is the second such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.
Vice President-- Business Affairs
and Treasurer

University of Kentucky
Balance Sheet
September 30, 1971
With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Current Funds:		
Unrestricted:		
Cash in bank:		
Depository funds	\$ 1,911,195	\$ 1,057,261
Federal funds	147,076	122,414
Imprest funds	<u>47,077</u>	<u>319,196</u>
Total cash	<u>2,105,348</u>	<u>1,498,871</u>
State funds-unexpended balances:		
Trust and agency funds	5,567,018	5,014,794
General fund appropriation	768,984	1,200,627
Due from other funds and affiliated corporations	2,700,573	3,014,018
Accounts receivable	1,419,254	1,907,715
Notes receivable	72,193	71,190
Inventories	2,196,250	1,394,589
Unrealized income	<u>60,703,805</u>	<u>53,888,437</u>
	<u>75,533,425</u>	<u>67,990,241</u>
Total unrestricted		
Restricted:		
Cash in bank	161,708	305,018
Tobacco Research Trust Fund:		
Imprest funds	11,464	
Trust and agency funds	2,650,050	
Petty cash advances	<u>177,024</u>	170,113
Travel advances	75,697	83,893
Accounts receivable	<u>34,367</u>	<u>33,119</u>
Investments:		
Short-term	5,887,488	5,498,718
Other	854,882	842,616
Unrealized income:		
Restricted	2,533,273	2,051,606
Tobacco Research Trust Fund	<u>1,544,706</u>	<u>885,000</u>
Total restricted	<u>13,930,659</u>	<u>8,985,083</u>

University of Kentucky
Balance Sheet

September 30, 1971

With Comparative Figures for 1970

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,912,253	\$ 3,284,043
Accounts payable-county funds	30,174	29,188
Due to Federal government	472,784	231,025
Advance from state for imprest cash fund	650,000	650,000
Deferred income	1,968,936	1,886,356
Unencumbered balance, departmental appropriations	63,666,170	57,629,090
Fund balances:		
Allocated:		
Reserve for inventories	2,019,095	1,049,231
Reserve for notes receivable	71,190	71,190
Reserve for undistributed accrued payroll expense	336,000	
Reserve for student registration fees cancellation	49,208	19,626
Workmen's compensation payments	121,312	
Future operating purposes	518,583	1,414,016
Future construction	631,730	
Unallocated	<u>2,085,990</u>	<u>1,726,476</u>
Total unrestricted	<u>75,533,425</u>	<u>67,990,241</u>
Restricted:		
Outstanding encumbrances	275,584	17,452
Due to other funds and affiliated corporations	3,544,576	4,900,225
Advance from state for imprest cash fund	12,000	
Outstanding check liability	24,620	21,561
Undistributed collections and clearing	3,326,709	1,520,429
Unencumbered balance, departmental appropriations	1,833,837	1,723,175
Unencumbered balance, Tobacco Research Trust Fund appropriations	1,609,798	
Fund balances:		
Restricted fund	1,038,949	802,241
Tobacco Research Trust Fund- unallocated funds	<u>2,264,586</u>	
Total restricted	<u>13,930,659</u>	<u>8,985,083</u>

Schedule 1

University of Kentucky
Balance Sheet
September 30, 1971
With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Hospital and clinics:		
Cash in bank-depository funds	\$ 403,234	\$ 1,469,495
Due from other funds		86,990
Accounts receivable, net of allowance for doubtful accounts	2,908,927	2,661,564
Inventories	304,830	334,641
Unrealized income	<u>10,398,753</u>	<u>9,199,032</u>
Total hospital and clinics	<u>14,015,744</u>	<u>13,751,722</u>
Housing and dining system:		
Cash	1,072,087	332,384
Due from other funds and affiliated corporations	1,630,388	1,959,134
Accounts receivable	205,029	352,562
Inventories	672,937	638,687
Prepaid expenses	609,491	450,562
Accrued interest income	<u>12,988</u>	<u>13,907</u>
Total housing and dining system	<u>4,202,920</u>	<u>3,747,236</u>
Auxiliary enterprises :		
Cash in bank	307,379	251,359
Due from other funds and affiliated corporations	391,281	645,719
Accounts receivable	23,016	38,460
Inventories	338,517	317,714
Unrealized income	<u>1,299,912</u>	<u>1,305,513</u>
Total auxiliary enterprises	<u>2,360,105</u>	<u>2,558,765</u>
TOTAL CURRENT FUNDS	<u>\$110,042,853</u>	<u>\$97,033,047</u>

University of Kentucky
Balance Sheet
September 30, 1971
With Comparative Figures for 1970

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Hospital and clinics:		
Outstanding encumbrances	\$ 147,035	\$ 306,175
Due to other funds	63,389	
Unexpended balance, departmental appropriations	11,319,932	10,816,191
Fund balances - allocated:		
Reserve for inventories	326,316	366,932
Reserve for accounts receivable	<u>2,158,572</u>	<u>2,262,424</u>
Total hospital and clinics	<u>14,015,744</u>	<u>13,751,722</u>
Housing and dining system:		
Accrued wages	86,359	80,158
Accounts payable	119,313	265,937
Accrued expenses	174,600	197,558
Deferred income	2,055,401	1,740,079
Fund balances:		
Funds for renewals and replacements	1,299,766	1,091,506
Allocated for future operating purposes	<u>467,481</u>	<u>371,998</u>
Total housing and dining system	<u>4,202,920</u>	<u>3,747,236</u>
Auxiliary enterprises:		
Outstanding encumbrances	160,491	150,987
Unencumbered balance, departmental appropriations	1,376,915	1,707,634
Fund balances:		
Reserve for inventories	338,517	317,714
Funds for renewals and replacements	7,347	21,729
Allocated for future operating purposes	<u>476,835</u>	<u>360,701</u>
Total auxiliary enterprises	<u>2,360,105</u>	<u>2,558,765</u>
TOTAL CURRENT FUNDS	<u>\$110,042,853</u>	<u>\$ 97,033,047</u>

University of Kentucky
Balance Sheet
September 30, 1971
With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Loan Funds:		
Cash in bank	\$ 5,650,112	\$ 41,919
Notes receivable	11,646	4,953,535
Due from Federal Government	2,000	9,536
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>

TOTAL LOAN FUNDS

\$ 5,693,758 \$ 5,006,990

Endowment Funds:

Cash	\$ 131,287	\$ 5,508
Accounts receivable		1,489
Investments:		
Short-term		1,000
Other	<u>880,105</u>	<u>812,253</u>

TOTAL ENDOWMENT FUNDS

\$ 1,011,392 \$ 820,250

Plant Funds:

Unexpended plant funds:		
Cash on deposit with State Treasurer	\$ 1,599,423	\$ 640,202
Capital construction funds	18,936,662	19,190,053
Due from Federal Government	1,968,896	4,801,593
Due from other funds		47,003
Construction funded	<u>114,327,983</u>	<u>74,699,208</u>

Total unexpended plant funds

136,832,964 99,378,059

University of Kentucky
Balance Sheet
September 30, 1971
With Comparative Figures for 1970

Schedule 1

LIABILITIES AND FUND BALANCES

	1971	1970
Loan Funds:		
Due to other funds	\$ 46,935	\$ 20,228
Federal sponsored loan funds:		
Federal government	4,854,736	4,325,854
University matching funds	455,907	396,642
Accumulated interest income	210,676	152,110
Total Federal sponsored loan funds	<u>5,521,319</u>	<u>4,874,606</u>
University loan funds:		
University student loan funds	52,754	43,480
University special student loan funds	70,750	66,676
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>125,504</u>	<u>112,156</u>
TOTAL LOAN FUNDS	<u>\$ 5,693,758</u>	<u>\$ 5,006,990</u>
Endowment Funds:		
Fund balances	<u>\$ 1,011,392</u>	<u>\$ 820,250</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,011,392</u>	<u>\$ 820,250</u>
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 6,161,604	\$ 9,606,644
Due to other funds	7,800	
Due to Commonwealth of Kentucky		1,986,137
Notes payable		30,410,966
Bonds payable	23,470,887	
Appropriations-unencumbered balances	14,374,181	10,259,616
University equity in projects	<u>92,818,492</u>	<u>47,114,696</u>
Total unexpended plant funds	<u>136,832,064</u>	<u>99,378,059</u>

Schedule 1

University of Kentucky
 Balance Sheet
 September 30, 1971
 With Comparative Figures for 1970

ASSETS

	<u>1971</u>	<u>1970</u>
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 865,512	\$ 378,355
Unrealized income	<u>7,732,944</u>	<u>6,758,455</u>
Total retirement of indebtedness funds	<u>8,598,456</u>	<u>7,136,810</u>
Sinking funds:		
Cash on deposit with Trustee	29,404,963	53,434
Investments held by Trustee	<u>11,130,395</u>	<u>10,311,563</u>
Total sinking funds	<u>40,535,358</u>	<u>10,364,997</u>
Invested in plant:		
Land	10,916,659	5,711,982
Buildings	159,154,575	148,547,550
Equipment	<u>38,706,371</u>	<u>36,071,744</u>
Total invested in plant	<u>208,777,605</u>	<u>190,331,276</u>
TOTAL PLANT FUNDS	<u>\$ 394,744,383</u>	<u>\$ 307,211,142</u>
Agency Funds:		
Cash in bank	\$ 24,397	\$ 20,663
Due from other funds	181,868	1,057,956
Investments - short term	<u>3,000</u>	<u></u>
TOTAL AGENCY FUNDS	<u>\$ 209,265</u>	<u>\$ 1,078,619</u>

University of Kentucky
Balance Sheet
September 30, 1971

Schedule 1

With Comparative Figures for 1970
LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 7,732,944	\$ 6,757,804
Funds balances	<u>865,512</u>	<u>379,006</u>
Total retirement of indebtedness funds	<u>8,598,456</u>	<u>7,136,810</u>
Sinking funds:		
Notes payable	28,100,000	
Bonds payable	4,689,000	4,908,000
Funds balances	<u>7,746,358</u>	<u>5,456,997</u>
Total sinking funds	<u>40,535,358</u>	<u>10,364,997</u>
Invested in plant:		
Notes payable		14,689,034
Bonds payable	78,436,613	51,851,000
Due to other funds		400,000
Net investment in plant	<u>130,340,992</u>	<u>123,391,242</u>
Total invested in plant	<u>208,777,605</u>	<u>190,331,276</u>
TOTAL PLANT FUNDS	<u>\$ 394,744,383</u>	<u>\$ 307,211,142</u>
Agency Funds:		
Agency funds balances	<u>\$ 209,265</u>	<u>\$ 1,078,619</u>
TOTAL AGENCY FUNDS	<u>\$ 209,265</u>	<u>\$ 1,078,619</u>

University of Kentucky
 Schedule of Changes in Fund Balances - Current Funds
 Three Months Ended September 30, 1971

	Unallocated	Allocated for Future Operating Purposes	Restricted	Tobacco Research Trust Fund	Hospital and Clinics	Housing and Dining System Funds for Renewals and Replacements	Auxiliary Enterprises
Balances, July 1, 1971	\$ 2,076,086	\$ 3,283,583	\$ 1,038,949	\$ 2,264,566	\$ 2,485,588	\$ 1,305,884	\$ 609,714
Allocations:							
Current operations:							
Original budget		(2,765,000)					
Current year provisions						58,866	
Expended						(64,984)	
Parking account							(14,321)
Renovation of furniture and fixtures							(47,631)
Printing and duplicating equipment							(70,927)
Adjustments of prior year expenditures - net	9,904						
Balances, September 30, 1971	\$ 2,085,990	\$ 518,583	\$ 1,038,949	\$ 2,264,586	\$ 2,485,388	\$ 1,299,766	\$ 476,835

Schedule 3
 Schedule of Changes in Unrestricted Fund and
 Hospital Estimated Income
 For the Three Months Ended September 30, 1971

	Original Budget	July	August	September	Adjusted Budget
Unrestricted Fund:					
Student fees	\$ 10,204,800			\$ (13,500)	\$ 10,191,300
State appropriations	57,283,700		\$ (2,762)		57,280,938
Federal appropriations	6,842,716				6,842,716
County appropriations	845,943				845,943
Endowment and trust fund income	157,200				157,200
Gifts and grants	2,741,500	\$ 300,000			3,041,500
Sales, services and other income	2,745,800			10,000	2,755,800
Unallocated fund balances appropriated	2,765,000				2,765,000
Total unrestricted	\$ 83,586,659	\$ 300,000	\$ (2,762)	\$ (3,500)	\$ 83,880,397
Hospital and clinics:					
State appropriations	\$ 4,297,600		\$ 2,762		\$ 4,300,362
Hospital income	9,875,328				9,875,328
Total Hospital and clinics	\$ 14,172,928		\$ 2,762		\$ 14,175,690

Schedule of Changes in Unrestricted Fund
and Hospital Expenditure Appropriations
For the Three Months Ended September 30, 1971

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 83,586,659	\$ 14,172,928	\$ 97,759,587
Transfers from unrestricted to Hospital and clinics	(2,762)	2,762	
Increases (decreases) as approved by Board of Trustees:			
August	300,000		300,000
September	<u>(3,500)</u>		<u>(3,500)</u>
Total per Report	<u>\$ 83,880,397</u>	<u>\$ 14,175,690</u>	<u>\$ 98,056,087</u>

University of Kentucky
 Summary of Current Funds Expenditures
 Three Months Ended September 30, 1971
 With Comparative Figures for 1970

Three Months Ended September 30, 1970		Three Months Ended September 30, 1971	
Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
	% Encumbered		% Encumbered
	to Date		to Date
Unrestricted:			
Departments of instruction and research			
\$ 31,353,364	23.9	\$ 33,470,892	24.0
636,085	97.0	608,535	105.2
546,260	18.6	551,403	27.4
6,138,308	28.6	6,553,954	27.6
2,462,761	41.8	2,595,633	43.2
8,930,238	24.9	9,832,634	24.7
5,645,983	30.0	6,349,036	29.2
300,000	12.6	750,000	100.0
4,127,637	23.0	5,507,500	18.6
2,527,421	24.8	2,381,365	23.2
4,932,200	22.5	5,847,708	21.6
1,960,855	23.2	2,132,192	18.2
111,812	67.2	105,223	55.2
4,531,100	6.5	5,531,100	15
813,660	66.0	809,833	14.5
<u>1,617,006</u>		<u>853,389</u>	
76,634,690	24.8	83,880,397	24.1
19,005,600		20,214,227	
		<u>Total unrestricted</u>	<u>24.1</u>

University of Kentucky
 Summary of Current Funds Expenditures
 Three Months Ended September 30, 1971
 With Comparative Figures for 1970

Three Months Ended September 30, 1970		Three Months Ended September 30, 1971	
Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
	% Encumbered		% Encumbered
\$ 702,882	12.0	\$ 1,127,622	15.2
89,916	29.6	165,724	22.4
13,820	.2	17,620	2.9
323,405	10.1	431,281	31.9
19,872	4.1		
30,000			
89,016	57.0	108,670	16.8
1,054,387	38.4	792,150	56.1
2,323,298	25.8	2,643,067	30.6
13,380,060	19.2	2,151,192	25.2
7,656,193	20.2	14,175,690	20.1
2,506,344	31.9	7,908,159	20.0
\$ 102,500,585	23.9	2,175,393	36.7
\$ 24,512,164		\$ 26,798,945	23.7

Restricted:

Departments of instruction and research		
Organized research		
Libraries		
Extension and public service		
General administration		
Student services		
General institutional services		
Student aid		
Total restricted		
Tobacco Research Trust Fund		
Hospital and clinics		
Housing and dining system		
Auxiliary enterprises		

TOTAL CURRENT FUNDS EXPENDITURES

University of Kentucky
 Schedule of Short Term Investments
 For the Three Months Ended September 30, 1971

Schedule 7

	Maturity Value	Cost
Restricted Current Funds:		
Government securities:		
Federal Home Loan Bonds, 5.75%, 11-27-72	\$ 210,000	\$ 210,000
Federal Home Loan Bonds, 6.25%, 8-25-72	255,000	255,000
Banks for Cooperatives, 6.125%, 2-1-72	300,000	300,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
FNMA Notes, 5.9%, 1-26-72	100,000	97,050
Federal Land Bank Bonds, 6.5%, 10-23-72	335,000	335,000
FNMA Notes, 8.45%, 10-12-71	300,000	300,000
Federal Home Loan Bonds, 8.2%, 11-26-71	150,000	150,000
FIC Bank Bonds, 6.25%, 5-1-72	500,000	500,000
FIC Bank Bonds, 5.3%, 10-4-71	100,000	100,000
U. S. Treasury Bills, 4.05%, 10-28-71	500,000	490,438
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	130,000	130,000
FIC Bank Bonds, 5.55%, 6-1-72	200,000	200,000
		3,487,488
Total government securities		
Corporate notes:		
Penn Central Transportation Company, 8.875%, 6-29-70	500,000	481,017

University of Kentucky
 Schedule of Short Term Investments
 For the Three Months Ended September 30, 1971

Schedule 7

	Maturity Value	Cost
Restricted Current Funds (continued)		
Certificates of deposit:		
Bank of Commerce and Trust Company, 5.5%, 2-5-72	\$ 100,000	\$ 100,000
First Security National Bank & Trust Company, 6.5%, 12-7-71	300,000	300,000
First Security National Bank & Trust Company, 5%, 3-8-72	100,000	100,000
Bank of Lexington, 5.25%, 3-8-72	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-72	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-72	100,000	100,000
Second National Bank & Trust Company, 5.875%, 6-30-72	300,000	300,000
Citizens Union National Bank & Trust Company, 6%, 6-26-72	100,000	100,000
First Security National Bank & Trust Company, 6.125%, 6-30-72	300,000	300,000
First Security National Bank & Trust Company, 5.375%, 11-1-71	800,000	<u>800,000</u>
Total certificates of deposit		<u>2,400,000</u>
Total Restricted Current Funds		6,368,505
Less Allowance for Decline in Market Value of Securities		<u>481,017</u>
NET RESTRICTED CURRENT FUNDS		<u>\$5,887,488</u>
Agency Funds-Certificates of deposit:		
First Security National Bank & Trust Company, 5%, 12-2-71	\$ 3,000	<u>\$ 3,000</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Main Campus-Subschedule A	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances		Balance of Allotment
	Approved	Estimated			Encumbrances	Allotment	
Consolidated Educational Bonds C & D (June 8, 1971 Minutes of the Board)	\$ 11,980,250	\$ 11,980,250	\$ 11,980,250	\$ 11,980,250	\$ 11,935,160	\$	45,090
Classroom-Office Building 128 and 129							
Agricultural Sciences-Lab Building # 2-749	5,390,399	5,390,399	5,386,679	5,386,679	5,172,200		214,479
Appurtenant Facilities-Parking Structures-916	3,752,074	3,752,074	3,752,074	3,752,074	3,752,074		
Appurtenant Facilities- Utilities	7,894,744	7,894,744					
Medical Center-Relocation of Cooling Tower-302			1,794,413	1,794,413	1,746,150		48,263
Electrical Substation # 2-Central Campus-919			706,652	706,652	702,152		4,500
Cooling Plant # 2-Central Campus-920			1,184,592	1,184,592	1,184,592		
Central Heating Plant-Aux. Equipment-921			443,774	443,774	426,351		17,423
Utility Distribution System-935			367,284	367,284	367,284		
Cooling Plant # 1-Expansion-942			632,625	632,625	596,878		35,747
Interconnection of Steam Lines-Medical Center and Upper Street Heating Plants-324(FCR-3, December 8, 1970)			36,500	36,500	28,660		7,840
Chilled Water Steam and Electrical Distribution							
Multidiscipline-973(FCR-3, December 8, 1970)			630,133	630,133	506,525		123,608
Replacement of Boilers- Upper Street Heating Plant-975 (FCR-3, December 8, 1970)			268,463	268,463	42,791		225,672
Conversion from 4KV to 12 KV-976(FCR-3, December 8, 1970)			25,100	25,100	2,500		22,600
Subtotal	7,894,744	7,894,744	6,089,536	6,089,536	5,603,883		485,653
Appurtenant Facilities-Permanent Surface Parking	355,598	355,300					
Surface Parking-Lighting and Landscaping-936			291,454	291,454	291,454		
Surface Parking-Harrison and Euclid Avenues-963			61,527	61,527	61,527		
Site Clearance-107			2,319	2,319	2,319		
Subtotal	355,598	355,300	355,300	355,300	355,300		
Appurtenant Facilities- Pedestrian Access & Light- 937	345,598	345,598	345,598	345,598	318,992		26,606

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Main Campus-Subschedule A -continued</u>	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Lab Office & Studio Facilities-University Wide	\$ 1,684,101	\$ 1,648,101			
Arts Building for Metal Casting-601			\$ 101,933	\$ 101,423	\$ 510
Prefabricated Research Lab. Phase I-934			1,546,168	1,546,168	
Subtotal	1,684,101	1,648,101	1,648,101	1,647,591	510
Complete Interior Reconstruction-Memorial Hall-930	424,494	424,494	424,494	424,494	
Patient Care Services (June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)-307	4,500,000	4,507,000	131,085	8,085	123,000
Addition to Margaret I. King Library (June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)- 938	3,700,000	3,832,864	179,020	159,162	19,858
Substantial Interior Reconstruction-Portion Library Service Building-924	472,708	472,708	472,708	437,229	35,479
Appurtenant Facilities-Site Preparation (FCR-7, October 20, 1970)	480,490	491,518			
Veterans Administration Hospital Site Preparation and Clearance-941			427,490	414,412	13,078
Veterans Administration Hospital-Connecting Bridge-943			64,028	57,384	6,644
Subtotal	480,490	491,518	491,518	471,796	19,722
Laboratory Office Facilities-967-FCR-2, May 5, 1970	2,300,000	2,300,000	2,131,142	1,985,650	145,492
Site Clearance-107			3,478	3,478	
Subtotal	2,300,000	2,300,000	2,134,620	1,989,128	145,492
Health, Physical Education and Recreation Bldg.-968 (FCR-2 May 5, 1970)	2,500,000	2,560,800	2,560,800	2,462,526	98,274
Completed Projects	420,186	420,186	420,186	420,186	Completed
Total	46,200,642	46,376,036	36,371,969	35,157,806	1,214,163

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Main Campus-Subschedule A--continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Contingency	\$ 524,187	\$ 471,876			
Legal, Administrative & Interest Expense Clearing-900	5,842,218	5,097,144*	\$ 5,097,144	\$ 4,868,158	\$ 228,986
		<u>6,022,527</u>			<u>6,022,527</u>
Total Subschedule A	<u>\$52,567,047</u>	<u>\$51,945,056</u>	<u>\$47,491,640</u>	<u>\$40,025,964</u>	<u>\$ 7,465,676</u>

* Approximately \$ 109,000 will be available for construction after payments for legal, administrative, interest and bond.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Main Campus-Subschedule A-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$ 34,700,000	\$ 33,643,015	\$ 1,056,985
Series C Bonds	4,290,000	4,290,000	
Interest Income	3,649,412	3,593,968	55,444
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	43,450,651	42,338,222	1,112,429
General Obligation Bonds:			
Utilities-FCR-3, December 8, 1970	1,350,000	960,196	389,804
Planning- PR 11, January 29, 1969	139,864	139,864	
Hill Burton Funds	2,300,000		2,300,000
Veterans Administration	659,254	232,293	426,961
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,221,134	224,222
Title II Grants	1,129,070	1,129,070	
Trust and Agency (Furniture and Equipment- Service Building)	17,000	17,000	
Total Source of Funds	51,945,056	47,491,640	4,453,416

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Main Campus-Subschedule A-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES C & D BONDS

Balancein Clearing Account	\$ 6,022,527
Unrealized Income:	
Estimated Interest Earnings	\$ 55,444
Other Realized Income	<u>4,397,972</u>
Total Unrealized Income	\$ 4,453,416
Subtotal	10,475,943
Additional Allotments:	
Library Addition	3,653,844
Patient Care Services	4,375,915
Appurtenant Facilities-Utilities	1,805,208
Agr. Sciences Lab	3,720
Lab Office Facility	<u>165,380</u>
Total Additional Allotments	(10,004,067)
Balance Available	\$ 471,876

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus - Planning-Subschedule B</u>					
Consolidated Educational Bonds (not assigned)					
(PR 10 & 11- January 29, 1969)					
Lab Office Building- Biological Sciences-Phase I-940	\$ 10,000,000	\$ 11,378,000	\$ 120,625	\$ 120,625	
Computer Facilities (cancelled)-962	2,800,000	7,500	7,500	6,208	\$ 1,292
Medical Center - New Reconstruction and Renovation	8,500,000	8,500,000			
Addition of 2 Floors-301			10,000	10,000	
Handicapped Children's Center-939			10,000	10,000	
Subtotal	8,500,000	8,500,000	20,000	20,000	
Total Subschedule B	\$ 21,300,000	\$ 19,885,500	\$ 148,125	\$ 146,833	\$ 1,292

Source of Funds:
General Obligation Bonds \$ 148,125

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenses and Encumbrances	Balance of Allotment
<u>Main Campus - Schedule C</u>					
<u>Non-Recurring Maintenance Items (FCR-6, April 2, 1968</u>					
<u>& PR-5, November 19, 1968)</u>					
Roof Repairs - E. Wing Engineering Building (Anderson Hall) -922	\$ 42,000	\$ 33,764	\$ 16,577	\$ 16,577	
Air Conditioning - Bowman, Bradley, Breckinridge and Kinkead-138	387,410	387,410	387,410	371,843	\$ 15,567
Centrex-Conduit System	94,272	94,272			
Conduit for Medical Center-321			55,309	52,044	3,265
Installation 39-087			36,442	36,442	
Subtotal	94,272	94,272	91,751	88,486	3,265
Repairs-Administration Building	60,000	84,802		22,391	
Masonry Repairs-965			22,391	23,061	(11,311)
Window Air Conditioners 39-090			11,750	39,350	
Replace Windows 39-091			39,350	84,802	
Subtotal	60,000	84,802	73,491	84,802	(11,311)
Roof Repairs - McVey Hall	15,000	15,000		5,000	
McVey Hall-Roof Repairs - 38-404			5,000	10,000	
McVey Hall-Gutter Repairs-38-392			10,000	15,000	
Subtotal	15,000	15,000	15,000	15,000	
Roof Repairs Memorial Hall - Cancelled Project	18,000				
Floor Tile-Cancelled Projects	121,000				
Electrical-Cancelled Projects	210,000				
Electrical-Fine Arts-38-444	9,000	9,000	9,000	9,000	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
	Approved	Project Cost				
<u>Main Campus-Subschedule C-continued</u>						
Hardware-	\$ 20,000	\$ 20,000				
Alumni Gym & Taylor Building-38-428			\$ 10,000	\$ 9,750	\$ 250	
Animal Pathology Bldg. & Home Economics Bldg.						
Hardware-38-429			10,000	10,000		
Subtotal	20,000	20,000	20,000	19,750	250	
Roof Repairs-Administration Annex-969	8,000	16,236	16,236	14,760	1,476	
Campus Utilities Electrical 119, 38-443, 972		145,000	75,703	28,529	47,174	
Renovation Taylor Education Bldg. -117		132,629	26,198	1,000	25,198	
Barker Hall-Sandblasting-977		13,892	13,892	13,230	662	
Completed Projects	165,318	162,251	162,251	162,251	Completed	
Total	1,150,000	1,114,256	907,509	825,228	82,281	
Unallotted Non-Recurring Maintenance			210,228		210,228	
Contingency		3,481				
Total Subschedule C	\$ 1,150,000	\$ 1,117,737	\$ 1,117,737	\$ 825,228	\$ 292,509	

Source of Funds:	Amount	Realized
	University Fund Balances	\$ 25,000
General Obligation Bonds	41,390	41,390
Restricted	1,928	1,928
Renovation Funds-1969-70	27,738	27,738
Fund Balances-		
PR-5, November 19, 1968	900,000	900,000
FCR-6, April 2, 1968	112,400	112,400
FCR-6, April 2, 1968	137,600	137,600
Transferred to Minor Renovation Funds	(80,000)	(80,000)
Transferred to State		
Capital Construction Projects	(55,000)	(55,000)
Athletic Association	6,681	6,681
Total Source of Funds	\$ 1,117,737	\$ 1,117,737

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule B

Subschedule C - continued

SUMMARY OF UNAPPROPRIATED FUNDS - NON-RECURRING MAINTENANCE

Balance in Clearing	\$ 210,228
To be Allotted:	
Taylor Education	\$ 106,431
Others	<u>100,316</u>
Total	<u>\$ (206,747)</u>
Balance Available	3,481

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Main Campus-Subschedule D					
State Capital Construction Funds-(FCR-3, February 18, 1969)		\$ 3,720	\$ 3,720	\$ 3,720	
Agricultural Regulatory Service Facilities-745					
Community Medicine Facility-Mycology and Animal Tuberculosis-322	\$ 100,000	145,185	145,185	109,117	36,068
Renovation of Engineering Quadrangle-111	250,000	349,332	349,332	336,125	13,207
Development of Intramural Fields-118	250,000	250,000	173,040	168,922	4,118
Renovation of Neurosurgery-960	220,000	270,014	270,014	266,837	3,177
Quicksand Wood Utilization Center					
Trailers-109	150,000	173,751	147,440	145,830	1,610
Trailers-Force Account-110			12,000	12,000	Completed
Student Housing Renovation-320			14,311	14,311	Completed
Subtotal	150,000	173,751	173,751	172,141	1,610
ETV Equipment for Community Colleges-518					
Jefferson Community College ETV-555	100,000	118,109	110,538	87,814	22,724
Subtotal	100,000	118,109	118,109	87,814	30,295
Reserve for Additional Projects					
Contingency	3,500	2,683	136,706*		136,706*
Undistributed (not allotted specific projects)			79,643		79,643
Total Subschedule D					
	\$ 1,073,500	\$ 1,449,500	\$ 1,449,500	\$ 1,144,676	\$ 304,824
Source of Funds:					
Board Action-FCR-3	\$ 1,853,500	\$ 1,853,500			
Transferred to Ag. Science Building II	(500,000)	(500,000)			
Transferred from Non-Recurring Maintenance	55,000	55,000			
Restricted Funds (Engr. Equipment Account)	41,000	41,000			
Total Source of Funds	<u>\$ 1,449,500</u>	<u>\$ 1,449,500</u>			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Subschedule D--continued

SUMMARY OF UNAPPROPRIATED FUNDS--STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 216,349
Additional Allotments	<u>(76,960)</u>
Balance Available	\$ 139,389

* Overruns on Mycology and Community College ETV have caused the reduction of the \$ 200,000 Reserved for Additional Projects.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule E

<u>Main Campus-Subschedule E</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u></u>	<u>and</u>	<u>of</u>
				<u>Encumbrances</u>	<u>Allotment</u>
<u>Major Improvements-Miscellaneous Construction</u> (PR 6, November 10, 1967 & FCR-2, December 9, 1969)					
Substantial Interior Reconstruction portions Agr. Science Building-Small Animal Lab to Tobacco Health and Research-319	\$ 162,500	\$ 220,813	\$ 220,813	\$ 220,813	
Substantial Interior Reconstruction Portions Anderson Hall (Engr. Quadrangle)-922 Minor Interior Changes & Major Mech & Electrical Highway Materials Research Lab (Graham Avenue)-932	136,500	138,009	136,541	136,541	
Completed Projects	150,000	225,405	225,405	215,073	\$ 10,332
Cancelled Projects	1,060,850	1,068,049	1,068,049	1,068,049	Completed
Contingency and Clearing Account 39-700	95,500				
Subtotal PR-6	194,650	141,228	152,115		152,115
Demolition of Houses-107	1,800,000	1,793,504	1,802,923	1,640,476	162,447
Flammable Storage Buildings-101		58,262	52,465	48,769	3,696
		51,000	47,378	47,347	31
Total Subschedule E	\$ 1,800,000	\$ 1,902,766	\$ 1,902,766	\$ 1,736,592	\$ 166,174

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

Main Campus-Subschedule E-continued

Source of Funds:

	<u>Amount</u>	<u>Realized</u>
Fund Balances-PR 6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds-		
FCR-2, December 9, 1969	\$ 786,624	
Available Balances Returned	<u>(10,097)</u>	
Total G. O. B	776,527	776,527
Grants	136,588	136,588
Trust and Agency	10,000	10,000
University Funds	4,982	4,982
Restricted Funds	<u>28,066</u>	<u>28,066</u>
Subtotal	<u>1,969,539</u>	<u>1,969,539</u>
Less:		
Sewer Connection Fee-FCR-3, March 12, 1968	(35,000)	(35,000)
Transferred to Minor Renovation Funds	(20,000)	(20,000)
Transferred to Research Feed Processing Center	<u>(11,773)</u>	<u>(11,773)</u>
Total Source of Funds	<u>\$ 1,902,766</u>	<u>\$ 1,902,766</u>

SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS

Balance in Clearing	\$ 152,115	
Additional Allotments		<u>(10,887)</u>
Balance Available	\$ 141,228	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Main Campus - Subschedule F</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
a. Others					
Closed Circuit TV	\$ 70,000	\$ 71,205			
Engr. & Commerce Buildings-966			\$ 10,000	\$ 9,854	\$ 146
Taylor Distribution Equipment 39-077			11,005	5,275	5,730
Completion Classroom-Office 39-076			9,500	9,500	
White Hall Distribution Equipment-39-078			4,200	2,625	1,575
T. V. Receivers and Tape 39-079			32,400	17,101	15,299
Patterson Tower Closed Circuit TV 39-075			4,100	4,100	Completed
Subtotal	70,000	71,205	71,205	48,455	22,750
Miscellaneous Parking Lot Construction					
1970-71 (FCR-4, June 17, 1969)-964, 970	200,000	246,400	246,400	233,294	13,106
1971-72 (FCR-2, June 9, 1970)-165, 166	200,000	200,000	43,164		43,164
Subtotal	400,000	446,400	289,564	233,294	56,270
Coldstream Research Feed Processing Center-746					
(FCR-3, June 9, 1970)	293,475	293,475	293,475	279,782	13,693
South Farm Horticulture-Storage and Research-750					
Ag. Service-Agronomy Seed & Lab-928			72,601	72,601	
Fire Escape- Jewell & Reynolds-905			2,500	1,000	1,500
Renovation-Lafferty Hall-971			58,898	58,222	676
Alterations to Doctor's Parking Lot-325			3,500	2,000	1,500
Shively Sports Center Swimming Pool-978			4,500	4,500	
Renovation Frazier Hall-974			12,942	1,200	800
Funkhouser - Biological Sciences-931			1,000	11,945	997
Subtotal	1,000	1,000	1,000	1,000	Completed
Total Subschedule F. a.	763,475	967,021	812,185	713,999	98,186

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

<u>Main Campus-Subschedule F -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds--Subschedule F. a</u>			
Auxiliary Enterprises (Parking Fees)	\$ 446,400	\$ 446,400	
Parking Fees Reserved in Clearing Account		(156,836)	\$ 156,836
State Fire and Tornado Fund	134,932	134,932	
USDA Grants	164,503	156,301	8,202
70-71 Renovation Funds	91,442	91,442	
69-70 Renovation Funds	2,500	2,500	
Fund Balances	79,171	79,171	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	<u>967,021</u>	<u>801,983</u>	<u>165,038</u>
Advance from General Obligation Bonds		8,202	(8,202)
Advance from Fund Balances		<u>2,000</u>	<u>(2,000)</u>
Total	<u>967,021</u>	<u>812,185</u>	<u>154,836</u>

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment Completed</u>
b. Purchase of Computer-103 (FCR-2, December 9, 1969)	<u>\$ 2,007,344</u>	<u>\$ 2,007,344</u>	<u>\$ 2,007,344</u>	<u>\$ 2,007,344</u>	<u>Completed</u>

<u>Source of Funds--Subschedule F. b.</u>	<u>Amount</u>	<u>Realized</u>
FCR-2		
Trust and Agency	\$ 1,819,612	\$ 1,819,612
Restricted Funds	<u>187,732</u>	<u>187,732</u>
Total	<u>2,007,344</u>	<u>2,007,344</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Subschedule F-continued</u>					
c. Property Acquisitions-135	\$ 3,800,000	\$ 3,817,507	\$ 3,817,507	\$ 3,388,057	\$ 429,450
<u>Source of Funds-Subschedule F. c.</u>					
<u>General Obligation Bonds</u>					
FCR-4, May 6, 1969		\$ 2,300,000			
FCR-2, December 9, 1969		<u>187,732</u>			
Subtotal	2,487,732	2,487,732			
<u>Restricted Fund Balances</u>					
PR-5, November 19, 1968		900,000			
FCR-2, December 9, 1969		(187,732)			
Phasing Out Operations of South End Frozen Food Locker		(9,517)			
Transfer of Land Sale Proceeds		<u>(18,106)</u>			
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve		32,450			
Agriculture Department		14,074			
PR-7, May 5, 1970		100,000			
PR-4, January 19, 1971		<u>400,000</u>			
Subtotal	546,524	546,524			
Total	<u>3,817,507</u>	<u>3,817,507</u>			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

<u>Main Campus - Subschedule F - continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
d. Completed Projects (Medical Center-Hill Burton)	\$ 25,398,144	\$ 25,236,631	\$ 25,286,631	\$ 25,286,631	
<u>Source of Funds -Subschedule F. d.</u>					
Federal Grants	\$ 10,192,147	\$ 10,192,147			
Special State Appropriation	15,094,484	15,094,484			
Total	<u>25,286,631</u>	<u>25,286,631</u>			
e. Housing and Dining Complex					
<u>Source of Funds -Subschedule F. e.</u>					
Series H & I Bonds	1,917,069	1,917,069			
Trust and Agency	30,171	30,171			
Total	<u>1,947,240</u>	<u>1,947,240</u>	1,947,240	1,902,693	44,547
f. Undistributed Portion of Fund Balances-100					
Restricted Funds					
University Funds Reserved for Additional Projects			8,031		8,031
University Funds Reserved for Parking			400,000		400,000
Unallocated			156,836		156,836
			<u>336,050</u>		<u>336,050</u>
Total Subschedule F. f.			900,917		900,917
Total Subschedule F	<u>\$ 33,916,203</u>	<u>\$ 34,025,743</u>	<u>\$ 34,771,824</u>	<u>\$ 33,298,724</u>	<u>\$ 1,473,100</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Main Campus-Subschedule G</u> General Obligation Bonds	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Completed Projects FCR-2, June 9, 1970	\$ 196,507	\$ 190,005	\$ 190,005	\$ 190,005	Completed
Contingency		649,357			
Unallocated General Obligation Bonds			1,030,959		1,030,959
Total Subschedule G			\$ 1,220,964	\$ 190,005	\$ 1,030,959
<u>Source of Funds:</u>			<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
General Obligation Bond Proceeds			\$ 5,783,000	\$ 5,783,000	
Transferred to Other Schedules :					
Subschedule A (C & D Bonds)			(1,489,864)	(1,100,060)	\$ (389,804)
Subschedule B (Main Campus Planning)			(148,125)	(148,125)	
Subschedule C (Non-Recurring Maintenance)			(41,390)	(41,390)	
Subschedule E (Major Improvements)			(776,527)	(776,527)	
Subschedule F. a. (Main Campus- Others)			(8,202)	(8,202)	
Subschedule F. c. (Property Acquisitions)			(2,487,732)	(2,487,732)	
Total Source of Funds			\$ 839,362	\$ 1,220,964	\$ (381,602)

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

Subschedule G--continued

SUMMARY OF UNAPPROPRIATED FUNDS--GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 1,030,959
Advances to be Repaid	8,202
Subtotal	1,039,161
 Additional Allotment -Subschedule A	 (389,804)
 Balance Available	 \$ 649,357

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Community Colleges-Subschedule H</u>					
<u>Consolidated Education Bonds-Series A & B (FCR-2,</u>					
<u>November 17, 1970)</u>					
<u>Ashland Phase I</u>					
Preliminary Development-517		\$ 12,436	\$ 12,436	12,436	Completed
Academic Facilities-523		3,309,770	3,309,770	3,297,854	11,916
Construction of Parking Lot-519		90,000	90,000		90,000
Subtotal	3,365,206	3,412,206	3,412,206	3,310,290	101,916
<u>Elizabethtown</u>					
Preliminary Development-510		1,559,095	1,564,095		
Academic Facilities-525			9,025	9,025	
Subtotal	1,559,095	1,564,095	1,555,070	1,554,348	722
<u>Hazard</u>					
Preliminary Development-507		1,831,213	1,831,213		
Academic Facilities-527			10,427	10,427	
Subtotal	1,831,213	1,831,213	1,820,786	1,791,147	29,639
<u>Henderson</u>					
Preliminary Development-511		1,239,570	1,239,570		
Academic Facilities-529			3,925	3,925	Completed
Subtotal	1,239,570	1,239,570	1,235,645	1,228,734	6,911
<u>Hopkinsville</u>					
Preliminary Development-514		759,753	759,754		
Academic Facilities-531			9,075	9,075	Completed
Phase I-508		223,620	750,678	747,819	2,859
Subtotal	983,373	983,374	213,732	213,732	Completed
<u>Jefferson</u>					
Phase I-547		5,576,910	5,664,310		
Phase II-534			1,365,101	1,365,101	
Utilities Tunnel-549			3,861,327	3,777,151	84,176
Phase III-535			427,882	412,638	15,244
Subtotal	5,576,910	5,664,310	5,664,310	5,564,890	99,420

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Community Colleges-Subschedule H-continued</u> Madisonville	<u>Board</u> <u>Approved</u>	<u>Estimated</u> <u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
Preliminary Development-550 (FCR-3) (March 10, 1970)	\$	14,200	\$ 14,200	\$ 13,593	\$ 607
Academic Facilities-554		2,500,000	390,250	112,467	277,783
Trailers-551		11,150	11,150	11,150	
Subtotal		2,525,350	415,600	137,210	278,390
Maysville		1,876,881			
Preliminary Development-536			9,450	9,450	
Academic Facilities-537			1,857,061	1,815,391	41,670
Subtotal		1,876,881	1,866,511	1,824,841	41,670
Prestonsburg		1,645,096			
Preliminary Development-513			12,897	12,597	300
Academic Facilities-541			1,632,199	1,625,458	6,741
Subtotal		1,645,096	1,645,096	1,638,055	7,041
Somerset		857,209			
Preliminary Development-512			9,450	9,450	
Academic Facilities-543			847,759	835,916	11,843
Phase I-506			116,336	116,336	
Subtotal		1,033,589	973,545	961,702	11,843
Southeast		1,034,970			
Preliminary Development-515			10,594	10,594	Completed
Academic Facilities-545			1,005,787	995,824	9,963
Subtotal		1,034,970	1,016,381	1,006,418	9,963
Movable Buildings 548, 539		718,803	718,803	718,803	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

	Board Approved \$	Estimated Project Cost \$	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule H-continued</u>					
<u>Educational Television-Community Colleges</u>	45,000				
Southeast-521		19,248	19,248	17,443	1,805
Henderson-552		24,500	23,679	22,076	1,603
Prestonsburg-553		5,384	5,384	4,530	854
Subtotal	45,000	49,132	48,311	44,049	4,262
Total	23,337,278	23,578,589	21,369,126	20,774,490	594,636
Contingency		882,976			
Legal, Administrative, and Interest Expenses		1,225,303	926,437	861,181	45,256
Clearing Account-500			2,027,082		2,027,082
Total Subschedule H	\$23,337,278	\$25,686,868	\$24,322,645	\$21,655,671	\$2,666,974
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
Title I Grants	\$ 7,100,475	\$ 5,829,557	\$ 1,270,918		
Title VI Grants	18,305		18,305		
Norton Memorial Hospital	95,063	95,063			
Ashland Board of Education	45,000	45,000			
Madisonville Reserve	23,150	23,150			
Trust and Agency	2,500	2,500			
Old Series A & B Bonds	1,960	1,960			
Series A & B Bonds:					
Original Issue-16,300,000					
Series A	12,125,000	12,125,000			
Fund Balances	75,000		75,000		
Series B	4,489,000	4,489,000			
Bond Premium	360	360			
Interest Earned	1,711,055	1,711,055			
Total Source of Funds	\$25,686,868	\$24,322,645	\$1,364,223		

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Subschedule H-continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES A & B BONDS

Balance in Clearing Account	\$ 2,027,082
Unrealized Income	<u>1,364,223</u>
Subtotal	\$ 3,391,305
Additional Allotments	
Legal and Admin (Est)	298,866
Others	<u>2,209,463</u>
Subtotal	<u>(2,508,329)</u>
Balance Available	\$ 882,976

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

Community Colleges-Subschedule I Others-	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Jefferson-Demolition Old Pharmacy Building-538	\$	6,000	\$ 6,000	\$ 4,460	\$ 1,540
Somerses Amphitheater -502		1,000	1,000	1,000	
Ashl and Phase II-524		7,500	7,500	5,000	2,500
Total Subschedule I	\$	14,500	\$ 14,500	\$ 10,460	\$ 4,040
<u>Source of Funds:</u>					
Trust and Agency Advance FCR-3, March 10, 1970	\$	7,500	\$ 7,500		
Fund Balances		1,000	1,000		
Due from Other Funds		7,500	\$ 7,500		
Advance to be Repaid		(7,500)	(7,500)		
1970-71 Renovation Funds		6,000	6,000		
Total Source of Funds	\$	14,500	\$ 14,500		

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

<u>Minor Renovation Funds-Subschedule J</u>	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
1971-72 Renovation Funds-					
Projects in Process	\$ 750,000	\$ 88,677	\$ 88,677	\$ 68,975	\$ 19,702
Unallocated Regular Clearing Account 40-500			635,925		635,925
Unallocated Misc. Clearing Account 40-600		25,000	12,398		12,398
Stock Furniture Account 40-096		653,323	25,000		25,000
Contingency					
Subtotal	750,000	767,000	762,000	68,975	693,025
1970-71 Renovation Funds-					
Projects in Process	600,000	454,564	454,564	397,332	57,232
Completed Projects		146,430	146,430	146,430	Completed
Unallocated Regular Clearing Account 39-500			107,814		107,814
Stock Furniture Account 39-096		25,596	25,596	23,746	1,850
Contingency		107,814			
Subtotal	600,000	734,404	734,404	567,508	166,896
1969-70 Renovation Funds-					
Projects in Process	400,000	17,158	17,158	17,158	
Completed Projects		246,404	246,404	246,404	Completed
Unallocated Regular Clearing Account 39-099		106,200	106,200		106,200
Contingency					
Subtotal	400,000	369,762	369,762	263,562	106,200
1968-69 Renovation Projects in Process		22,110	22,110	19,602	2,508
Total Subschedule J	\$ 1,750,000	\$ 1,893,276	\$ 1,888,276	\$ 919,647	\$ 968,629

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

<u>Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Source of Funds:			
1971-72 Allocation	\$ 750,000	\$ 750,000	
Transferred from Medical Center	12,000	12,000	
Due from Other Funds	5,000		\$ 5,000
Subtotal 1971-72 Funds	767,000	762,000	5,000
1970-71 Allocation			
PR 7, May 5, 1970	\$ 300,000		
PR 4, January 19, 1971	300,000		
Total 1970-71 Allocation	600,000	600,000	
Transferred to Subschedule F. a.	(91,442)	(91,442)	
Transferred to Subschedule I.	(6,000)	(6,000)	
Transferred from 1969-70 Stock Furniture	596	596	
Transferred from Non-Recurring Maintenance	80,000	80,000	
Transferred from Major Improvements PR -6	20,000	20,000	
Auxiliary Enterprises	17,000	17,000	
Transferred from Other Funds	114,250	114,250	
Subtotal 1970-71 Funds	734,404	734,404	
1969-70 Allocation, PR-6, October 21, 1969			
Transferred from Subschedule C	400,000	400,000	
Transferred from Subschedule F. a.	(27,738)	(27,738)	
Subtotal 1969-70 Funds	(2,500)	(2,500)	
	369,762	369,762	
1968-69 Renovation Funds			
	22,110	22,110	
Total Source of Funds	\$ 1,893,276	\$ 1,888,276	\$ 5,000

SUMMARY OF UNAPPROPRIATED FUNDS-MINOR RENOVATION

Balance in Clearing Accounts	\$ 862,337
Unrealized Income	5,000
Balance Available	\$ 867,337

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1971

Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>SUMMARY OF SUBSCHEDULES</u>					
A. Consolidated Education Bonds C & D	\$ 52,567,047	\$ 51,945,056	\$ 47,491,640	\$ 40,025,964	\$ 7,465,676
B. Main Campus-Planning	21,300,000	19,885,500	148,125	146,833	1,292
C. Non-Recurring Maintenance	1,150,000	1,117,737	1,117,737	825,228	292,509
D. State Capital Construction Funds	1,073,500	1,449,500	1,449,500	1,144,676	304,824
E. Major Improvements	1,800,000	1,902,766	1,902,766	1,736,592	166,174
F. Main Campus-Others	33,916,203	34,025,743	34,771,824	33,298,724	1,473,100
G. General Obligation Bonds	196,507	839,362	1,220,964	190,005	1,030,959
H. Consolidated Education Bonds A & B	23,337,278	25,686,868	24,322,645	21,655,671	2,666,974
I. Community Colleges- Other		14,500	14,500	10,460	4,040
J. Renovation Funds	1,750,000	1,893,276	1,888,276	919,647	968,629
GRAND TOTAL OF SCHEDULES	\$ 137,090,535	\$ 138,760,308	\$ 114,327,977	\$ 99,953,800	\$ 14,374,177

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

Capital Construction Funds	
Main Campus-	
Series C & D Bonds (Subschedule A)	\$ 471,876
Non-Recurring Maintenance (Subschedule C)	3,481
State Capital Construction (Subschedule D)	139,389
Major Improvements (Subschedule E)	141,228
Main Campus Others (Subschedule F)	744,081
GO Bonds (Subschedule G)	649,357
Insurance Proceeds (not on Schedule 8)	173,867
Special Fund Balances (not on Schedule 8)	631,730
Subtotal Main Campus	\$ 2,955,009
Community Colleges	
Series A & B (Subschedule H)	882,976
Total Available Capital Construction Funds	\$ 3,837,985
Renovation Funds	
Minor Renovation (Subschedule J)	867,337
Total Available Renovation Funds	867,337
Total Available Plant Funds	<u>\$ 4,705,322</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Three Months Ended September 30, 1971

Schedule 9

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Income:			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,911,875		
Subtotal	<u>5,911,875</u>		
Housing and dining system debt:			
Charges to housing and dining system current funds	1,557,649		
Investment income from sinking funds	106,360		
Subtotal	<u>1,664,009</u>		
Auxiliary enterprises debt:			
Charges to auxiliary enterprises current funds	182,000	\$ 24,940	13.7
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,757,884</u>	<u>\$ 24,940</u>	<u>.32</u>
Debt service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 504,461		
Consolidated Educational Buildings-Series B	463,607		
Consolidated Educational Buildings-Series C	291,579		
Consolidated Educational Buildings-Series D	3,339,690		
Community Colleges Educational Buildings-Series A	1,063,143		
Community Colleges Educational Buildings-Series B	249,395		
Subtotal	<u>5,911,875</u>		
Housing and Dining System Revenue Bonds-Series A-1	<u>1,664,009</u>		

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Three Months Ended September 30, 1971

Schedule 9

	<u>Appropriated</u>	<u>Realized</u>	<u>% Realized</u>
Auxiliary enterprises debt:			
Chi Omega	\$ 3,212	\$ 2,875	89.5
Alpha Delta Pi	15,059		
Dorms A-F	39,300		
Dorms G-L	50,772		
Sigma Phi Epsilon	8,190		
Delta Delta Delta	6,055		
Alpha Tau Omega	5,310		
Alpha Gamma Rho and Sigma Chi	16,088	7,058	43.9
Student Housing Bonds of 1964	35,014	15,007	42.9
Subtotal	182,000	24,940	13.7
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,757,884	\$ 24,940	.3

University of Kentucky
 Schedule of Sinking Funds
 September 30, 1971

Schedule 10

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,704,600
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	797,418
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,054,215
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	772,990
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	607,981
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	122,647
Consolidated Educational Buildings Revenue Bonds-Series D- Special Note Sinking Fund	253,873
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	87,347
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	59,048
Community Colleges Educational Buildings-Special Note Sinking Fund	235,774
University of Kentucky Student Housing Bond and Interest Sinking Fund	43,921
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	24,124
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	99,743
Community Colleges Educational Buildings-Series A- Bond and Interest Fund-Escrow Account	28,030
Community Colleges Educational Buildings-Series B- Bond and Interest Fund-Escrow Account	<u>107,752</u>

TOTAL SINKING FUNDS

\$ 6,689,373

University of Kentucky
 Summary of Other Funds Transactions
 For the Three Months Ended September 30, 1971

Schedule 11

	Balances		Receipts	Expenditures	Balances
	July 1, 1971	September 30, 1971			
<u>Loan Funds:</u>					
Federal sponsored loan funds:					
Federal government	\$ 4,877,709	\$ (22,973)			\$ 4,854,736
University of Kentucky	458,246	(2,339)			455,907
Accumulated interest income	198,782	11,894			210,676
University loan funds:					
University student loan funds	50,513	2,241			52,754
University special student loan fund	70,436	314			70,750
United Student Aid loan fund	2,000				2,000
TOTAL LOAN FUNDS	\$ 5,657,686	\$ (10,263)			\$ 5,646,823
<u>Endowment Funds:</u>					
TOTAL ENDOWMENT FUNDS	\$ 941,010	\$ 70,382			\$ 1,011,392
<u>Agency Funds:</u>					
TOTAL AGENCY FUNDS	\$ 1,240,035	\$ 560,931		\$ 1,591,701	\$ 209,265

Finance Committee Report
November 15, 1971

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF AUDITS FOR THE
UNIVERSITY OF KENTUCKY FOR 1970-71

Recommendation: that the Board of Trustees accept the University of Kentucky Summary Financial Report 1970-71, University of Kentucky Detailed Financial Report June 30, 1971, University of Kentucky Housing and Dining System Financial Report June 30, 1971, Purchasing Letter 1970-71, and Cash Reconciliation June 30, 1971.

Background: The Finance Committee of the University of Kentucky has reviewed the audit reports and related statements submitted by the firm, Peat, Marwick, Mitchell & Co. in conjunction with the administration of the University of Kentucky. The Finance Committee presents these reports to the Board for its acceptance.

Note: The reports submitted by Peat, Marwick, Mitchell & Co. are not included as a part of the Minutes but are in the official files of the Board of Trustees.

Action: Approved Disapproved _____ Other _____

Date: November 15, 1971

FCR 3

Members, Board of Trustees:

ACTIONS RELATING TO STADIUM

The Board will be asked to take several actions relating to the proposed football stadium at the meeting on November 15, 1971. The documents relating to these actions are in preparation and will be available at the meeting. In the meantime, if any of you have questions as to the details of the actions to be proposed, please contact Mr. Forgy's office.

In brief summary, the Board will be asked to act upon the following items which will be proposed at the meeting on November 15, in connection with the stadium and the financing thereof:

1. A formal request that the State Property and Buildings Commission issue bond anticipation notes of the Commission for the purpose of providing funds for the construction of the stadium.
2. Authorization to transfer title to real property comprising the site of the stadium to the State Property and Buildings Commission with the provision that the said property will be reconveyed to the University at the end of the last biennial lease period.
3. Approve and authorize the execution of a lease of the facility from the Commission for the initial term ending June 30, 1972.
4. Approve and authorize the execution of an agreement with the University of Kentucky Athletic Association whereby the Athletic Association will pledge certain of its revenues to the University for the purpose of paying the rentals provided in the lease from the State Property and Buildings Commission.

Note: The official documents are not made a part of the Minutes but are included in the files of the Board of Trustees.

A RESOLUTION AUTHORIZING AN APPLICATION TO BE MADE TO THE STATE PROPERTY AND BUILDINGS COMMISSION OF THE COMMONWEALTH OF KENTUCKY FOR SUCH COMMISSION TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF ITS REVENUE BONDS AND IN ANTICIPATION THEREOF TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF NOTES, ALL IN ACCORDANCE WITH A RESOLUTION ALREADY ADOPTED BY SUCH COMMISSION ON NOVEMBER 11, 1971, APPROVING THE TERMS OF SUCH RESOLUTION OF SUCH COMMISSION, AUTHORIZING THE EXECUTION OF A LEASE OF THE PROPERTIES BEING FINANCED BY THE PROVISIONS OF SUCH RESOLUTION, AUTHORIZING THE EXECUTION OF AN AGREEMENT WITH THE UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION AND AUTHORIZING THE EXECUTION OF A DEED BY THE BOARD TO SUCH COMMISSION OF THE PROPERTIES CONSTITUTING THE PROJECT WHICH WILL BE FINANCED BY THE ISSUANCE OF SUCH BONDS AND NOTES.

WHEREAS, representatives of the Board of Trustees of the University of Kentucky had previously informally requested the State Property and Buildings Commission of the Commonwealth of Kentucky to authorize, issue, sell and deliver \$9,000,000 of revenue bonds of the Commission and in anticipation of the issuance thereof to authorize, issue, sell and deliver \$9,000,000 of its notes, and

WHEREAS, such Commission, at a meeting held on November 11, 1971, adopted such a resolution, subject to a formal application in respect thereto being made by the Board of Trustees to such Commission,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

Section 1. The Board of Trustees of the University of Kentucky does hereby make a formal application to the State Property and Buildings Commission of the Commonwealth of Kentucky, pursuant to KRS 56.450(4), for such Commission, acting for and on behalf of such Board of Trustees, to issue revenue bonds in its own name in accordance with the terms and provisions of KRS Chapter 58, secured by and payable solely from the revenues of the project to be financed consisting of a stadium, with parking areas, utility services, public ways, landscaping and other necessary appurtenant facilities which will constitute Project No. 18 of such Commission. That in anticipation of the authorization, issuance, sale and delivery of such bonds application is hereby made for such Commission to authorize, issue, sell and deliver notes in anticipation of the issuance of such bonds. That the resolution heretofore adopted by the Commission on November 11, 1971, in connection with the foregoing has been duly considered and is hereby approved as to form and substance by said Board of Trustees, and such Commission is hereby requested to adopt and take all other appropriate action in connection therewith which may be necessary to carry out the intent of such resolution.

Section 2. That the Chairman or Vice Chairman and the Secretary are hereby authorized and directed to execute and affix the seal of the Board of Trustees upon behalf of the Board to a Lease of the properties constituting such project, under which the Commission will be Lessor and the Board will be the Lessee, such Lease to be in the form referred to in such resolution previously adopted by the Commission and in the form presented to the meeting at which this resolution was proposed.

Section 3. That the Chairman or Vice Chairman, the Secretary and/or any other appropriate officer or officers of the Board are hereby authorized and directed to take whatever steps are necessary in order to execute and deliver a deed of conveyance to the properties on which such project will be located and which are described in such resolution previously adopted by the Commission and in such form of Lease, in order that title may be conveyed from the Commonwealth of Kentucky for the use and benefit of the Board of Trustees to the Commonwealth of Kentucky for the use and benefit of such Commission, with it being provided that upon all of such bonds and/or notes being fully retired, both as to principal and interest, the Board of Trustees shall have a right to obtain a reconveyance of such properties, in order that it may again be held in the name of the Commonwealth of Kentucky for the use and benefit of such Board of Trustees.

Section 4. That the Chairman or Vice Chairman and the Secretary are hereby authorized and directed to execute and affix the seal of the Board to an Agreement between the Board and the University of Kentucky Athletic Association, the form of which instrument was presented to the meeting at which this resolution was proposed, such Agreement providing that such Association shall have the right to operate such properties constituting such project under the terms and conditions set forth in such Agreement.

Section 5. That the requirements of KRS 56.450(4) shall be met by furnishing such Commission with a certified copy of this resolution, such certified copy to be considered by the Commission as the application of the Board of Trustees of the University of Kentucky that the Commission act as requested herein, without the necessity of the execution or filing of any other form of application.

Section 6. This resolution shall take effect immediately upon its adoption.

Investment Committee Report
November 15, 1971

ICR 1

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended September 30, 1971, be accepted.

Background: A part of the Board policy regarding investments is a provision that quarterly reports be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the Third Quarter of 1971, for the University of Kentucky Athletic Association, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and the University of Kentucky Research Foundation.

Action: Approved X Disapproved _____ Other _____

Date: November 15 , 1971

UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION
Statement of Portfolio Changes
For the Third Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	5.85%	\$ 100,000.00
FIC Bank Bonds	7/ 1/71	5.85%	200,000.00
Federal Land Bank Bonds	7/20/71	6.50%	100,000.00
FNMA Notes	7/30/71	6.16%	100,000.00
Banks for Cooperatives Notes	8/ 2/71	6.125%	100,000.00
FIC Bank Bonds	8/ 2/71	6.25%	100,000.00
FNMA Notes	8/10/71	7.15%	100,000.00
FIC Bank Bonds	9/ 1/71	5.55%	100,000.00
Certificate of Deposit	9/30/71	5.875%	<u>100,000.00</u>
Total - Purchases			<u><u>\$ 1,000,000.00</u></u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	7.10%	\$ 100,000.00
Certificate of Deposit	9/24/71	7.50%	<u>100,000.00</u>
Total - Sales			<u><u>\$ 200,000.00</u></u>

**THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH
 IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER
 Statement of Portfolio Changes
 For the Third Quarter, 1971**

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	5.85%	\$ 200,000.00
Federal Land Bank Bonds	7/20/71	6.50%	100,000.00
FNMA Notes	7/30/71	6.16%	100,000.00
Banks for Cooperatives Notes	8/ 2/71	6.125%	100,000.00
FIC Bank Bonds	8/ 2/71	6.25%	100,000.00
FNMA Notes	8/10/71	7.15%	100,000.00
FIC Bank Bonds	9/ 1/71	5.55%	200,000.00
Certificate of Deposit	9/29/71	6.125%	200,000.00
Certificate of Deposit	9/30/71	6.00%	100,000.00
Certificate of Deposit	9/30/71	5.875%	<u>100,000.00</u>
Total - Purchases			<u><u>\$ 1,300,000.00</u></u>
<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	7.10%	\$ 100,000.00
Certificate of Deposit	9/25/71	7.50%	<u>100,000.00</u>
Total - Sales			<u><u>\$ 200,000.00</u></u>

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the Third Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	5.85%	\$ 200,000.00
FIC Bank Bonds	7/ 1/71	5.85%	130,000.00
Federal Land Bank Bonds	7/20/71	6.50%	335,000.00
Federal Home Loan Bonds	7/27/71	6.25%	255,000.00
FNMA Notes	7/30/71	6.16%	100,000.00
Banks for Cooperatives Notes	8/ 2/71	6.125%	300,000.00
FIC Bank Bonds	8/ 2/71	6.25%	500,000.00
FNMA Notes	8/10/71	7.15%	200,000.00
72/100ths Share of Marriott Corporation Stock	8/27/71	-----	27.50
FIC Bank Bonds	9/ 1/71	5.55%	200,000.00
Certificate of Deposit	9/ 2/71	5.00%	3,000.00
Federal Home Loan Bank Bonds	9/27/71	5.75%	210,000.00
Certificate of Deposit	9/29/71	5.375%	800,000.00
Certificate of Deposit	9/29/71	6.125%	300,000.00
Certificate of Deposit	9/30/71	6.00%	<u>100,000.00</u>
Total - Purchases			<u>\$ 3,633,027.50</u>

(Continued)

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the Third Quarter, 1971
Page Two

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
Banks for Cooperatives Notes	7/ 1/71	5.25%	\$ 130,000.00
Federal Land Bank Bonds	7/20/71	8.45%	50,000.00
100 Kentucky Utilities Company Rights	7/20/71	-----	26.56
FIC Bank Bonds	8/ 2/71	6.80%	500,000.00
Certificate of Deposit	9/ 2/71	5.00%	3,000.00
298 American Electric Power Company, Inc. Rights	9/21/71	-----	<u>26.63</u>
Total - Sales			<u>\$ 683,053.19</u>

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION
Statement of Portfolio Changes
For the Third Quarter, 1971

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	5.85%	\$ 100,000.00
Banks for Cooperatives Notes	8/ 2/71	6.125%	100,000.00
FIC Bank Bonds	8/ 2/71	6.25%	300,000.00
FNMA Notes	8/10/71	7.15%	<u>100,000.00</u>
Total - Purchases			<u>\$ 600,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 1/71	7.10%	<u>\$ 80,000.00</u>