

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,  
Tuesday, September 19, 1967

The Board of Trustees of the University of Kentucky met in the Board Room of the Administration Building on the campus of the University on Tuesday, September 19, 1967 at 2:00 p. m. , Eastern Standard Time, in regular meeting as provided in KRS 164.170, with the following members present: Governor Edward T. Breathitt, Chairman, Dr. Ralph Angelucci, Vice Chairman, Mr. Henry Besuden, Mr. William R. Black, Mr. Smith Broadbent, Mr. Richard E. Cooper, Mr. Sam Ezelle, Mr. Charles Landrum, Mr. Hudson Milner, Dr. Harry Sparks, and the two non-voting faculty members, Dr. Stephen Diachun and Professor Paul Oberst. Absent were Mrs. Rexford Blazer, Mr. Wendell Butler, Dr. Harry Denham, Dr. R. W. Bushart, and Mr. Robert Hillenmeyer. President Oswald, Vice Presidents Albright and Creech, and Provost Cochran represented the administration and members of the news media were present.

A. Meeting Opened and Secretary of the Board Elected

Mr. Smith Broadbent pronounced the invocation. The Chairman called for nominations for a secretary of the Board of Trustees to replace Dr. H. B. Murray, explaining that this was the first statutory session of the Board since Dr. Murray's death in late May 1967.

Mr. Broadbent placed the name of Mr. Sam Ezelle in nomination and Dr. Sparks moved that the nominations be closed and Mr. Ezelle be elected by acclamation. The motion was seconded and Mr. Ezelle was elected Secretary of the Board of Trustees by acclamation.

The Governor asked for the roll call and Mr. Ezelle reported ten voting members present, two non-voting members present, and five members absent. This constituting a quorum, the Governor declared the meeting officially open at 2:07 o'clock.

B. Minutes Approved

On motion by Dr. Angelucci, seconded by Mr. Cooper, and passed the reading of the Minutes for the July 21, 1967 meeting of the Executive Committee of the Board of Trustees was dispensed with and the Minutes were approved as published.

C. Member of the Executive Committee Elected

The death of Dr. H. B. Murray not only left the secretaryship of the Board vacant but created a vacancy on the Executive Committee. The Chairman called for

nominations and Mr. Ezelle moved that Mr. Richard E. Cooper be elected a member of the Executive Committee by acclamation. The motion was seconded and passed unanimously.

D. President's Report to the Trustees

After briefly discussing the items contained in PR 1, President's Report to the Trustees, Dr. Oswald concluded by saying that the report was a presentation of certain representative activities and should not be considered as a comprehensive coverage of all the many and varied activities which go on continually.

Having determined that there were no additional items which any member of the Board wished added to the report, the Governor accepted the report and ordered it filed.

E. Recommendations of the President (PR 2)

Dr. Oswald stated that the items in PR 2 were routine in nature and he had no special comments to make relative to any part of it. Since the Board members had had an opportunity to review the contents prior to the meeting, the motion was made by Mr. Black, seconded by Dr. Angelucci, and passed that the recommendations contained in PR 2, Recommendations of the President, be approved and that it be made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3)

Dr. Oswald explained that PR 3, Supplemental Recommendations of the President, contained only the financial report, which had not been completed in time to include in PR 2. Copies of the report had been mailed from the Office of Business Affairs but, since some of the members might not have received it, he requested Mr. Clay Maupin to discuss it in Mr. Kerley's absence.

Mr. Maupin went over in some detail the various exhibits contained in the report and indicated that the income and expenditures for the two months' period covered by the report were in accordance with expectations.

Dr. Oswald indicated his wish to present two items which had just come to him and which were not, therefore, included as a part of PR 3. He presented the following matters to be added to PR 3 as an addendum:

- (1) Leave of absence without pay for Dean C. R. Hager from October 1, 1967 to January 31, 1968, to serve on a special assignment.

- (2) Authorization for Clay Maupin, Assistant Treasurer, to sell 10 shares of R. J. Reynolds Tobacco Company common stock. The stock was a contribution to the S. Alex Parker Scholarship Fund and the proceeds are needed to make payment.

The motion was made, seconded, and passed that the above two items be added to PR 3 and that it be approved as a whole and made a part of the official Minutes of the meeting. (See PR 3 at the end of the Minutes.)

G. Approval "In Principle" of Preliminary Development Plan for Hopkinsville Community College (PR 4)

Dr. Oswald asked that copies of the Preliminary Development Plan for the Hopkinsville Community College be distributed for reference during the meeting. Each member had received a copy in an earlier mailing. He indicated that the plan was similar to those presented and approved for the other community colleges and pointed out that it was predicated on an ultimate enrollment of 2,000 full-time equivalent students with 90 teachers and would provide 250,000 square feet, including the 35,000 square feet of existing building.

On motion by Dr. Angelucci, seconded by Mr. Ezelle, and passed the Hopkinsville Community College Preliminary Development Plan was approved "in principle", with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed. Governor Breathitt asked that it be noted that had he had a vote it would have been "aye". (See PR 4 at the end of the Minutes.)

H. Appropriation of Funds for University Hospital (PR 5)

Dr. Albright explained that the request for an increase in the expenditure authorization of the University Hospital was made in order to take care of 45 additional beds which will be opened in the University Hospital. He explained that the amounts requested for transfer had been provided in a reserve fund in the 1967-68 budget pending the activation of the additional beds.

Dr. Angelucci expressed his approval of this particular action but as Chairman of the Hospital Committee of the Board of Trustees, requested that in the future such matters be referred to that committee prior to presentation to the full Board.

Dr. Sparks made the motion, which was duly seconded and passed, that the expenditure authorization of the University Hospital be increased in accordance with the recommendation contained in PR 5. (See PR 5 at the end of the Minutes.)

I. 1967-68 Budget Revisions Approved (PR 6)

Dr. Albright explained that PR 6, 1967-68 Budget Revisions, was in accordance with the customary procedure of reporting to the Board of Trustees any change in estimated income and of obtaining Board approval of expenditures against the revised income figure.

Having had an opportunity to examine the recommended revisions in advance and having no questions concerning these revisions, the motion was made by Mr. Milner, seconded and carried unanimously that the revisions in the 1967-68 budget as presented in PR 6 be authorized and approved. (See PR 6 at the end of the Minutes.)

J. August Degree Candidates Approved (PR 7)

No degree may be conferred without approval of the University Senate and the Board of Trustees. The University Senate on Monday, September 11, 1967, gave its approval to the list submitted of those candidates for degrees who completed requirements at the close of the 1968 summer session.

On motion by Mr. Ezelle, duly seconded, and carried, approval was given to the candidates for degrees whose names appear as part of PR 7 and the President was authorized and directed to confer upon each individual on the list the degree to which he is entitled at the commencement exercises on May 13, 1968. (See PR 7 at the end of the Minutes.)

K. Disability Insurance Plan Adopted (PR 8)

A matter which has been of concern for a long time, particularly to members of the faculty, has been the lack of a suitable method for handling total disability situations when they arise. Each case in the past has been handled as an individual matter and, to date, the University has been able to care for the individual in a satisfactory manner. This method, however, does not provide necessary security and the matter has been under consideration for over three years. The committee of faculty and staff members studying this problem recommends the establishment of a Long Term Group Total Disability Income Protection Program, the details of which are given in PR 8 at the end of the Minutes.

If adopted, this plan would be a much needed addition to the present program of benefits and would not only provide security for Group I personnel but would be a most effective recruitment tool. Although such insurance is being provided in an ever increasing number of institutions, it is not yet a predominant benefit feature.

Professor Oberst and Dr. Diachun, speaking on behalf of the faculty, expressed

the feeling that it would be received with enthusiasm and was an area which had been of concern to the faculty for some time.

Dr. Angelucci said that he would like to raise a question relative to the coverage of students who might be injured on field trips or while carrying out a University assignment. It was indicated that when in a University vehicle, they were covered but not otherwise. Mr. Darsie, the University's legal counsel, pointed out that it would require specific statutory authorization to provide this type of insurance for students.

Mr. Ezelle said that he favored the adoption of the proposed disability insurance plan but would like to raise a question as to how the growing insurance consciousness on the part of employers might affect employment opportunities for physically handicapped persons, an area in which he was most interested.

Dr. Oswald indicated he would ask that a study be made and a report prepared for presentation to the Board of Trustees on both of these questions--liability for students injured or disabled while on University assignments and the employment of physically handicapped and whether employment of such persons might have any effect on insurance programs.

There being no further discussion, the Governor called for a motion on the establishment of a Long Term Group Total Disability Income Protection Program. The motion was made, seconded and carried that the University establish the program outlined in PR 8. (See PR 8 at the end of the Minutes.)

L. Organization for General Academic Leadership Approved and Dr. Lewis Cochran Named Dean of the Graduate School and Vice President-Research (PR 9)

Dr. Oswald called attention to a chart outlining a proposed Academic Administrative Organization, pointing out that the organizational pattern was according to the mandates contained in the Academic Plan endorsed by the Board of Trustees in June 1964. He briefly reviewed the background statement and the recommendations contained in PR 9, Proposed Academic Organization, and said that the administrative appointment which was listed as the next item on the agenda was so closely tied into the proposed academic organization that he would like to make it a part of this action.

He said it gave him much pleasure to recommend to the Board of Trustees that Dr. Lewis Cochran, Provost, be named Dean of the Graduate School and Vice President-Research, effective immediately. He added that Dr. Cochran would continue to serve as Provost until such time as the Dean of Undergraduate Studies was named, when the position of Provost would be discontinued.

Members of the Board of Trustees expressed approval of the proposed organization and of the appointment of Dr. Cochran as Dean of the Graduate School and Vice President-Research. On motion by Mr. Milner, seconded, and passed unanimously

PR 9, Proposed Academic Organization, was approved as presented and Dr. Cochran's appointment as Dean of the Graduate School and Vice President-Research was confirmed, effective immediately, with the understanding that he would continue to serve as Provost until such time as the Dean of Undergraduate Studies might be named, at which time the position of Provost would be abolished. (See PR 9 at the end of the Minutes.)

M. Report of the Special Committee Relating to the Purchase of Maine Chance Farm

The next item on the agenda was the Report of the Special Committee Relating to the Purchase of Maine Chance Farm and Governor Breathitt called on Dr. Angelucci, chairman of the committee, for the report.

Dr. Angelucci said that the committee had prepared the following resolution and recommended its adoption:

REPORT OF SPECIAL COMMITTEE RELATING  
TO THE PURCHASE OF MAINE CHANCE FARM

The Special Committee of the Board of Trustees on Maine Chance Farm recommends that the Board of Trustees reaffirm all its previous positions in regard to the acquisition of Maine Chance and urge the University of Kentucky Research Foundation and its officials to proceed with all steps necessary to effectuate the purchase agreement and obtain the deed for Maine Chance Farm in ample time to assure the fulfillment of the Foundation's contractual obligations.

/S/ \_\_\_\_\_  
Ralph J. Angelucci

/S/ \_\_\_\_\_  
B. Hudson Milner

Following the reading of the resolution, Dr. Angelucci moved its adoption. His motion was seconded by Mr. Broadbent. There was no discussion and the vote showed all members in favor of its adoption.

N. Meeting Adjourned

Having first determined there was no further business to come before the

meeting, the Governor called for a motion for adjournment. Mr. Ezelle moved that the meeting stand adjourned and his motion was seconded by Dr. Sparks. Without dissent, it was so ordered and the meeting adjourned at 3:05 p. m.

Respectfully submitted,

Sam Ezelle, Secretary  
Board of Trustees

PR 2, PR 3, FR 4, PR 5, PR 6, PR 7, PR 8, PR9 which follow are official parts of the Minutes of the September 19, 1967 meeting of the Board of Trustees of the University of Kentucky.

PR1

PRESIDENT'S REPORT TO THE TRUSTEES

September 19, 1967

1. UNIVERSITY ENROLLMENT TOPS 22,000

A total of 22,257 students have enrolled for the University's fall semester, Dean of Admissions Elbert W. Ockerman said.

The figure represents 14,737 on the Lexington campus; 5662 in the nine community colleges; 800 in extension classes, and 1,058 in the evening class program. Dr. Ockerman said enrollment on the Lexington campus was up 6 per cent over last fall's registration figure; last year there were 13,802 at Lexington. Approximately 59 per cent of the students on the Lexington campus are male.

The break-down by colleges and schools: Arts and Sciences, 7,912; Agriculture (and Home Economics), 464; Architecture, 144; Allied Health, 10; Nursing, 97; Business and Economics, 670; Education, 1,566; Engineering, 665; Graduate School, 2,029; Law, 456; Pharmacy, 155; Medicine, 290; Dentistry, 180, Lexington Technical Institute, 131, and unclassified, 3. First semester freshmen at Lexington number 2,438. Students transferring from the community colleges to Lexington: 491. The community colleges report the following enrollments: Ashland, 980; Elizabethtown, 610; Fort Knox, 425; Henderson, 503; Hopkinsville, 408; Northern at Covington, 1,336; Prestonsburg, 431; Somerset, 475, and Southeast at Cumberland, 426.

2. LINCOLN SCHOOL ENROLLS 62 IN FIRST CLASS

The 62 high school students representing 15 Kentucky counties in the Lincoln School's first class were told at a first convocation on September 6 that the school presents a unique opportunity for the individual student, and from the individual "things can be learned that will be beneficial to education in this state and even the nation."

President Oswald told the students and 25 guests that "the Lincoln School enters into a new era of responsibility, and it is hoped that the new school will measure up to its responsibility as did Lincoln Institute throughout its history."

The school, operated by the University, will serve chosen bright youngsters who may have been economically and culturally disadvantaged. Eventually the school is expected to have about 250 youngsters in the first four high school grades.



3. ROTC CADETS EXCEL AT SUMMER CAMP

Forty of 76 University-trained ROTC cadets finished in the top third of their respective units at Indiantown Gap Military Reservation in Annville, Pa., during six weeks of summer training. Capt. James Channon of the Military Science Department, who spent the summer at the training camp, said the cadets "finished high in all areas in competition with cadets from 90 other colleges in the Northeast." Cadets excelling in particular events included George Henderson, Waverly, who fired a 228 expert score with the M-14 rifle; James Hagan, Uniontown, who scored 477 points of a possible 500 on the physical combat proficiency test; James Nishimoto, Takoma Park, Maryland, brigade champion assembling the M-60 machine gun; George Robinson, Lexington, who scored the second highest mark in the brigade on the comprehensive examination, and John Wagner, Louisville, who scored a perfect 100 on the map-reading phase. Robert Ledbetter, also of Louisville, was the all-events champion from Kentucky, scoring high in all the above listed events. Finishing either first or second in their 50-man platoons: Ledbetter; Edward Fegenbush, Louisville; Ronnie Johnson, Benham; Jim Ingram, Dyersburg, Tenn.; Emil Hall, George Robinson, and Van Sudduth, Lexington; Nishimoto; Phil Greer, Burdine; Jim Gray, Valley Station, and Pat Carroll, Burlington.

4. MUSIC FACULTY OFFERS 29 RECITALS, CONCERTS

The Department of Music announces a schedule of 29 recitals and concerts to be presented during the fall semester. Included are recitals by faculty members, exchange recitals, and concerts by the University Orchestra, Marching Band, Horn Club, Men's and Women's Glee Clubs, Symphonic Band, Opera Theatre, Chamber Singers, University Chorus and University Choristers. Rodney Farrar, cello, opened the faculty series on September 7. Other faculty performers include Bruce Morrison, oboe-English horn; Edwin Grzesnikowski, violin; Fred Dart, euphonium; James Bonn, piano; Roy Schaberg, French horn; Bruce Freifeld, violin; Sheila House, soprano; Joseph Ceo, Joan Ceo, and Naomi Armstrong, chamber recital, and James Bonn and Gordon Kinney, chamber recital. Recitals are at 8:15 p.m. Afternoon recitals are at 3; all are admission-free.

5. MATERIALS LAB PRODUCES VIC TRAINING FILM

A training film for motor vehicle inspectors who will be hired next January 1 under Kentucky's new vehicle inspection law has been produced at the University. In production for over two months, the film features 120 illustrations by Tom Van Treese, artist in the College of Education's Educational Materials Laboratory, who utilizes a cartoon character named VIC as narrator. VIC (from Vehicle Inspection Center) is used to illustrate each step in the detailed inspection process. The script was by Bernard T. Fagan, instructor in the Division of Vocational Education, and photography by Dr. George L. Luster, associate professor in the same department. Prepared in cooperation with the State Department of Public Safety, it will be shown in 14 vocational schools and the 20 extension centers where the 9,000 inspectors will be trained.

6. CLAY LOOKS AT PHYS ED IN MEXICAN TOUR

Dr. Maurice A. Clay, associate professor of physical education, returned the last of August from a two-week information-seeking trip to Mexico. He studied the status of physical education and sports in Mexico as material for a paper he has been asked to develop on physical education in that country for Phi Epsilon Kappa's "Monograph 2 on Physical Education Around the World."

7. DR. MILLER STUDIES USE OF TITLE III FUNDS

A study of the use of funds received by school systems throughout the country under Title III of the U.S. Elementary and Secondary Education Act of 1965 is being made by Dr. Richard I. Miller, coordinator of the Program on Educational Change in the College of Education. A research grant of \$114,353 from the U.S. Office of Education has been received. Dr. Miller prepared a similar study during the first year of Title III's operation, which was presented to the subcommittee on education of the Senate's Committee on Labor and Public Welfare. The subcommittee is headed by Sen. Wayne Morse of Oregon. Entitled "Catalyst For Change," totaling 557 pages, the first report was printed in April by the U.S. Government Printing Office. Dr. Miller expects the new study to result in several research publications. He said that in analyzing the 1968 projects, the first and second proposals submitted by the schools would be compared in an attempt to determine whether Title III does make a difference to youngsters in classrooms.

8. ECOLOGY OF MAMMOTH CAVE BEING STUDIED

Dr. Thomas C. Barr Jr., associate professor of zoology, has stepped up his study of the ecology of Mammoth Cave, a project he has had underway since the mid-fifties. He is studying the millions of organisms which live--and have lived for thousands of years--in total darkness within the maze of cracks and crevices of the estimated 150 miles of the subterranean chambers. The first National Science Foundation grant was given in support of the research in 1961 and it gained momentum. Dr. Barr and two graduate students recently completed one stage of the research--classifying the various organisms, their species, varieties and general environmental factors which make up the underground society.

9. COUNTRY HAM RESEARCH RESULTS IN MILLION DOLLAR INDUSTRY

Dr. James Kemp, professor of animal science, says that when University of Kentucky researchers began their study of the curing of hams about 12 years ago, they didn't know it would mark the beginning of a multi-million dollar industry in the state. Dr. Kemp began his research with the idea of speeding up the curing process of "old country" ham. Traditionally, a rule-of-thumb was that hams take a year of curing and aging. "The idea that hams could be cured in a matter of months was suggested by Sam Guard, a former editor of the 'Breeders Gazette'," Dr. Kemp recalled.

10. FORMER STUDENT EDITOR PHOTOGRAPHS RUSSIA

A 1967 University graduate, Thad Samuels Abell II, has been appointed to the staff of "National Geographic," and now is on assignment in Russia. The son of Mr. and Mrs. T. S. Abell, Sylvania, O., Abell began as a photographer with the Society this summer. While at the University, Abell served as a photographer for "The Kentucky Kernel," and the yearbook, "The Kentuckian." During his senior year, he was editor-in-chief of the yearbook.

11. 12 YMCA STUDENTS WORK IN BOGOTA BARIO

Twelve University students have returned from "Bogota '67," a workshop in Bogota, Columbia, where they spent a month at the fourth annual YMCA International Workcamp, an independent project coordinated through the University. The workshop combined recreational leadership, tutoring English, and manual labor. They helped build and complete the Bogota YMCA Day Camp for inner-city children. The students on the project worked mainly in "Barrio Park," a slum area near the city, where they spread rock and dug out walkways. Jack Dalton, advisor, said this year's project was the most successful to date, since only six persons participated last year. He said he hoped an exchange program for Colombian students can be worked out with the University.

12. 1960 GRADUATE TO SELECT LUNAR LANDING SITE

A 1960 graduate of the University is poring over photographs of the moon taken during the most recent lunar orbit, from which he will make recommendations on the site for a landing by the first American astronaut. He is Dr. Gerald Schaber, who received his bachelor's degree in geology and spent his Saturday afternoons playing the clarinet or saxophone in the University's marching band at the football games. The former Covingtonian recently returned to NASA headquarters in Flagstaff, Arizona, after directing lunar photographers at the Jet Propulsion Laboratory at Pasadena, California. He is married to the former Miss Sandra Gall of Ft. Mitchell and they have two children. His parents live at 2441 Herman Street, Covington. A brother, Fred, also is a University graduate and is an electronic engineer in St. Louis.

13. 50 WOULD-BE WRITERS ATTEND WORKSHOP

The Writing Workshop for People Over 57, co-sponsored by the Council on Aging and the Department of English, and held in August, attracted 50 writer-participants at the week-long session. The workshop was the first of its kind ever to be held in the U.S. Each of the 43 women and seven men--from 57 to 82 years old--submitted a manuscript prior to the workshop's opening. The works were evaluated by one of the four professional staff members, or by all of them at a panel session. The workshop drew some 300 inquiries from 41 states and three foreign countries. Of this number, 80 persons submitted manuscripts.

14. DEFENSE SCHOLARSHIP WINNERS ARE ANNOUNCED

Nineteen doctoral-year fellowships worth \$2,400 each and financed through the National Defense Education Act, were awarded in August. They were chosen by a University Fellowship Committee. The students, their hometown, and their field of study are: Charles R. Cella, Lexington, English; Robert B. Denhardt, Bowling Green, political science; Bartlett G. Dickinson, Glasgow, physics and chemistry; Donald C. Dykes, Lexington, mathematics; John Edgar Diller, Hanover, N. H., economics; Guessler M. Normand, Lexington, French; James G. Otto, Lexington, history; Kermit Patterson, Richmond, business education; Charles M. Ray, Sweeden, business education; Donald R. Rogers, Lexington, chemistry; Dennis H. Schnack, Tipton, Iowa, mathematics; William Freeman Smith, Cadiz, physics and astronomy; Jack Steele, Lexington, chemistry; Roy Edward Thoman, Evansville, Ind., diplomacy; Weichen Tien, Taiwan, China, microbiology; James A. Verbrugge, Lexington, economics; Anthony Wu, Hong Kong, chemistry; James Edward Weatherbee, Lexington, mathematics; Mehale A. Zalampas, Louisville, history.

15. TRAINEESHIPS AWARDED TO 11 STUDENTS

Eleven students have been awarded University traineeships under programs sponsored by the National Science Foundation and the National Aeronautics and Space Administration. Each of the eight NSF trainees will receive \$2,400 for 12 months of study, while the three NASA trainees will receive \$2,400 for 12 months of study, plus dependency allowances. If a student make a satisfactory record, he may be permitted up to three years' study under the programs. Receiving NSF traineeships, their hometowns, and their field of study while at the University are: William Lewis Bloemer, Covington, chemistry; Maura Lynn Corley, Marion, mathematics; John Merle Elson, Neoga, Ill., physics and astronomy; Harry G. Enoch, Mt. Sterling, biochemistry; Larry Allen Giesmann, Pleasant Valley, Penna., botany; Jeanne Sue Humble, Winchester, sociology; Jo Edwin Nees, Seaford, N. Y., biochemistry, and William Kirk Richardson, Salem, psychology. Receiving NASA traineeships are Lewis Berkley Davis Jr., Lexington, who will study mechanical engineering; Lynn R. Williams, New Tazewell, Tenn., who will study mathematics, and Robert Dennis Zwicker, Pleasure Ridge Park, who will study physics and astronomy.

16. K-MEN'S SCHOLARSHIP GOES TO LOUISVILLE YOUTH

The 1967-68 K-Men's Association scholarship has gone to James C. Wigginton, a graduate of Louisville St. Xavier High School. He is the fifth outstanding high school student to receive the non-athletic scholarship from the University's lettermen. Wigginton ranked in the 99 percentile on the American College Test and was a finalist in the National Merit Scholarship Testing Program.

17. MURRILL, HAGGIN SCHOLARSHIP WINNERS ARE ANNOUNCED

The Dr. Paul I. Murrill Memorial Scholarship has been awarded to a Baton Rouge, La., woman. Doris Margaret Thompson is the recipient of the \$2,400 fellowship, presented annually to a student "who possesses outstanding qualifications of character and aptitudes for fruitful graduate work." Preference is given to scholars in chemistry. The scholarship was established by Mrs. Murrill in honor of her deceased husband, a University graduate.

The Graduate School also announced the Haggin Graduate Fellowship winners for the 1967-68 school year. The fellowships, endowed by Margaret Voorhies Haggin in memory of her father, George Voorhies, are worth \$2,400 for 10 months of study. The students, their hometowns, and their fields of study at UK are: Mary Regalis Althausser, Lexington, microbiology; Louis G. Arnold, Eau Claire, Wis., physics and astronomy; Richard A. Bachand, Putnam, Conn., French; Annette C. Burt, Lexington, Spanish; Lois L. Mai Chan, Lexington, English; Carole Jean Coffey, Shop Spring, Tenn., biochemistry; Cecilia A. Holt, Florence, Ala., mathematics; Donald Lee Hopkins, Island, plant pathology; Arthur H. Ihrig, Lexington, chemistry; Mary Jane Inman, Frankfort, Spanish; Mary Beth Loud, Madison, Wis., Spanish; Nedra D. Lundbert, Dixon, S. D., English; Don Q. McNeilly, Mayfield, English; S. K. Majumdar, Calcutta, India, botany; Terrence G. Marsh, Lexington, zoology; Richard L. Michener, Lexington, English; Sharon Frances Midkiff Edge, Fordsville, library science; John Ker Munro Jr., Lexington, physics and astronomy.

Issam Safady, Hebron, Jordan, English; Ann C. Simonetti Deaton, Lexington, anthropology; Mary K. Tachau, Louisville, history; Danielle B. Thompson, Lexington, French; Selden Y. Trimble, Hopkinsville, mathematics; Ruth Margaret Wahlstrom, Canby, Minn., English; Randall H. Waldron, Lexington, English; Richard C. Worley, Wilmore, geology; Betty R. Yung, Lexington, Spanish; Rachel P. Zimmerman, Hanover, N. H., Spanish.

18. STATISTICAL REPORT SHOWS SLOWDOWN IN EAST KY. MIGRATION

A report on "Births, Deaths, and Migration," recently released by the Department of Sociology, makes the major observation that Kentucky's population is growing about twice as fast as it was ten years ago, "mainly because fewer persons are migrating out of the state." Among other things, the report reflects rapid growth in the 17-county Bluegrass region and a decline in the rate at which inhabitants of Eastern Kentucky are leaving the area. The report came from a continuing population study being conducted by the Agricultural Experiment Station, and was compiled by David L. Krugel, a candidate for the Ph.D. degree in sociology.

19. NEW CRIME-DETECTION-FIGHTING DEVICES DEMONSTRATED

The latest devices in crime-detection were assembled recently for a demonstration and lecture series by the Department of Electrical Engineering. Chairman Robert L. Cosgriff briefed security officers from the Lexington Police Department, Stewart's, Shillito's and McAlpin's department stores, and representatives of two Lexington banks, on how the new electronic devices could be applied to their respective security efforts.

20. MED STUDENTS HELP IN PHS-SPONSORED HEALTH SURVEY

The Stamford, Conn., Advocate recently carried the story of five University medical students who took part in a health survey of the residents of nearby Southfield Village, with emphasis on the people of the area's 500-resident housing project. The program was sponsored by the U. S. Public Health Service. The students: John Haplin, Covington; Norman Lewis, Bowling Green; Roger Moebus, Fort Thomas, and Larry Ruff, Louisville.

21. WENNER-GREN STUDY GIVEN CONTINUED AIR FORCE SUPPORT

Drivers of passenger cars, trucks, and tractors could benefit from a research project at the University aimed at protecting astronauts and supersonic aircraft pilots from bodily danger due to prolonged shock and vibration. The project, sponsored by the Air Force, is directed by Dr. Horst E. Krause at the Wenner-Gren Aeronautical Research Laboratory. A grant of \$30,000 extends research already underway to June 30, 1968. Development phases leading to the study have been in process several years and have involved a total expenditure of near \$500,000, Dr. K. O. Lange, director of the laboratory, said. The UK study also aims at enabling engineers and designers to perfect a protective seating which will minimize shock effects and their harmful physical results.

22. SUCCESS IN 4-H AUTOMOTIVE PROGRAM TO DOUBLE ENROLLMENT NEXT YEAR

A state-wide 4-H automotive program, supervised by the University Extension Service, not only topped the nation this year in enrollment--nearly 5,000 members--it has introduced a "community action" program that induced Gov. Breathitt to issue a proclamation in support of it. Dean W. A. Seay says civic leaders in dozens of Kentucky communities "have found a way to not only interest young people in good driving habits but a way to have good rapport between mature adults and teenagers." Dr. Jerry M. Macklin, chairman of 4-H programs, says enrollment in the program this year is expected to top 12,000.

23. RESEARCH AWARDS SINCE JULY 1 NEARLY \$5 MILLION

The University Research Foundation reports that from August 1 to August 31 a total of 50 agreement awards were received, amounting to \$968,502.69. Three other awards, amounting to \$2,807.50, also were received. This brings the total since July 1, 1967 to \$4,740,569.69.

COLLEGE OF AGRICULTURE

Department of Agricultural Economics--E. D. Smith, Economic and Institutional Factors Affecting Fertilizer Distribution and Use in Thailand, The Agricultural Development Council, Inc., \$2000.

Department of Entomology--F. W. Knapp, Grant-in-Aid for Research in the Use of Insecticidal Chemicals, Shell Chemical Company, \$1750. F. W. Knapp, B. C. Pass and R. Thurston, Research Investigations Using Cyanamid Insecticides on Alfalfa, Tobacco and Mosquitoes, American Cyanamid Company, \$1000. B. C. Pass, Research Relating to the Evaluation of Chemicals for the Control of Insects on Legumes, E. I. DuPont de Nemours, \$500.

Department of Plant Pathology--R. S. Chapman, African Graduate Fellowship, The African-American Institute, \$2691.

Department of Veterinary Science--J. T. Bryans, Support of Research in Infectious Diseases of the Horse, Haver-Lockhart Laboratories, \$2000. J. H. Drudge and Eugene Lyons, Grant-in-Aid to Investigate the Anti-parasitic Activity of Dichlorvos in the Horse, Shell Chemical Company, \$5000. J. H. Drudge and Eugene Lyons, Grant-in-Aid to Study Investigations of Pyrantel Hydrochloride as an Anthelmintic in the Horse, Charles Pfizer Company, Inc., \$3500. R. G. Loy, Grant-in-Aid for the Study of the Effects of Clomiphene on Reproductive Phenomena in Mares, Hess and Clark, \$3000.

COLLEGE OF ARTS AND SCIENCES

Department of Microbiology--M. I. H. Aleem, Mechanisms in Bioenergetics of Autotrophic Life, National Science Foundation, \$54,800.

Department of Music--Hubert Henderson, Grant for Services of a Conductor, Central Kentucky Youth Orchestra, \$3300.

Department of Anthropology--Marion Pearsall, Society for Applied Anthropology, Society for Applied Anthropology, \$34,502.

COLLEGE OF EDUCATION

Division of Curriculum--A Special Education Instructional Materials Center, U. S. Office of Education, \$181,594.

COLLEGE OF ENGINEERING

Department of Chemical Engineering--R. B. Grieves, Foam Separation for Field Water Treatment, U. S. Army Medical Research, \$31,723.

Department of Civil Engineering--R. A. Lauderdale, Computing Service Agreement to Continue the Service Provided to the Division of Water of the Kentucky Department of Natural Resources, State of Kentucky, \$6000.

COLLEGE OF DENTISTRY

Department of Periodontics--S. R. Saxe, Evaluation and Safety of Dowex 50 as an Anti-Calculus, Dow Chemical Company, \$51,159.

Department of Prosthodontics--Davis Henderson, Patient Response to Variations in Denture Technique, National Institutes of Health, \$47,011.

COLLEGE OF MEDICINE

Department of Anatomy--Ira Fowler, In Vitro Lymphocyte Responses in Demyelination, National Institutes of Health, \$1840.

Department of Biochemistry--F. J. Bollum, Biosynthetic Polydeoxynucleotides, National Institutes of Health, \$90,660. G. W. Schwert, Characterization and Specificity of Enzyme Systems, National Institutes of Health, \$35,700. A. D. Winer, Mechanism of Action of Enzyme-Coenzyme Complexes (Career Development Award), National Institutes of Health, \$19,321.

Department of Community Medicine--H. M. Vandiviere, Medical Consultant to Kentucky Tuberculosis Hospital, Kentucky Tuberculosis Hospital Commission, \$6000. K. A. Watson, Medical Studies and Surveys of Prenatal Clinics in Fayette County and Various Follow-up Surveys, State of Kentucky, \$10,000.

Department of Medicine--R. Penman, Effects of Cigarette Smoking on Intrapulmonary Ventilation-Perfusion Relationships, American Medical Association, \$24,890. J. E. Warren, Teaching and Traineeship Grant in Rehabilitation Medicine, Vocational Rehabilitation Administration, \$34,148. W. H. Waugh, Chair of Heart Research, Kentucky Heart Association, \$18,000.

Department of Neurology--Michael McQuillen, Physiological Studies of Neuromyopathies Associated With Carcinoma. American Cancer Society, \$42,865.

Department of Obstetrics and Gynecology--Frank Catron and J. W. Roddick, Effect of Local and Systemic Estrogen on Irradiated Vaginal Mucosa, National Institutes of Health, \$1000.



Department of Pathology--H. C. Bickley, Dental Research for College Students, American Dental Association, \$300.

Department of Pediatrics--V. L. James, Care and Follow-up of High Risk Infants, State of Kentucky, \$15,150.

Department of Pharmacology--H. A. Gordon, Regulatory Effects of the Normal Flora, National Institutes of Health, \$41,216. G. H. Schmitt, Vasopressin, Adrenal Steroids, and Diuresis, National Institutes of Health, \$921.60.

Department of Radiology--John Howieson, Equipment for Radiology Laboratory, National Institutes of Health, \$1970. H. D. Rosenbaum, W. Griffen and M. Slesh, Experimental Reflux Pancreatography and Cholangiography, National Institutes of Health, \$719. J. A. Sayeg, Development of a Micro Tissue - Equivalent Biological Dosimetry System, National Institutes of Health, \$2780.

Department of Surgery--G. K. Danielson, Use of Vascular Adhesives in Infected Arterial Incisions, Kentucky Heart Association, \$2920. W. O. Griffen, Biological Rhythms and Cancer, National Institutes of Health, \$1545. W. O. Griffen, Surgery Research and Training Grant, W. Arnold Hanger, \$25,000. B. F. Rush, Clinical Drug Evaluation Program, State of Wisconsin, \$1842.52. P. D. Weeks Homograft Tolerance - The Result of Homograft Antigen Combination With Tissue Mucopolysaccharide, National Institutes of Health, \$1,286.62. C. B. Wilson, Cultivation and Transplantation of Human Brain Tumors, National Institutes of Health, \$37,548. C. B. Wilson and H. Norrell, Experimental Chemotherapy of Brain Tumor Patients, Public Health Service, \$13,646.

#### COLLEGE OF NURSING

Marcia Dake, Professional Traineeship Program - Long Term Academic, Public Health Service, \$8298.

#### COLLEGE OF PHARMACY

Office of the Dean--J. V. Swintosky, Grant to Office of the Dean, Smith, Kline & French Foundation, \$5000.

Hospital Pharmacy Central Supply--Paul Parker, Deposit to Account, Brunswick Corporation, \$250.

#### SCHOOL OF ALLIED HEALTH PROFESSIONS

Joseph Hamburg, Advanced Traineeship Grant, Public Health Service, \$11,696.

COMPUTING CENTER

B. E. Jones--Drug Effects on Physiological Responses, National Institute of Mental Health, Addiction Research Center. To be billed monthly. J. A. O'Donnell and J. C. Ball, Structure and Change of a Population of Hospitalized Addicts, National Institute of Mental Health, Addiction Research Center, \$3,167.13. H. E. Hill and C. A. Haertzen, Question, Effects, Difference Study, National Institutes of Mental Health, Addiction Research Center, \$1,144.82.

KENTUCKY SCIENCE AND TECHNOLOGY COMMISSION

W. A. Lambertson--The Evaluation, Promotion and Development of the Scientific and Technological Capabilities of the Commonwealth, State of Kentucky, \$45,000.

OTHER

Edwin Munich Endowment Fund	\$ 30.00
Kentucky Library Associates	377.50
J. Stephen Watkins Foundation	2400.00
	<hr/>
	\$2807.50

Total to date \$3,090.00

24. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. Ray H. Dutt, professor of animal science, was elected president of the American Society of Animal Science at the group's annual meeting recently at the University of Nevada, Reno. Dr. Dutt was editor of the Society's publication, the Journal of Animal Science, for three years.

Dr. Stuart Forth, director of libraries, attended the Federation Internationale Associations de Bibliothecaires in Toronto, August 14-20, as an accredited representative of the American Library Association. He also has been named to the Governor's Planning Committee for Library Development in Kentucky.

Mr. John Handley, Public Relations, has been named to the Public Relations Committee of the American Society for Engineering Education.

Dr. Herbert P. Riley, Distinguished Professor of Botany, will be visiting professor in the Department of Population and Environmental Biology at the University of California, Irvine, for the academic year 1967-68.

Dr. James S. Calvin, professor of psychology, is the new president of the Kentucky Psychology Association for 1967-68. Other officers include Dr. Albert Lott, professor of psychology, councilman-at-large.

Dr. Rey M. Longyear, director of graduate studies in music, was a consultant for the Exploratory Committee for the Assessment of Progress in Education, held in Minneapolis August 7-9. The committee is drawing up standardized tests in all subject fields for future nation-wide administration.

Dr. E. W. Kelley, Department of Political Science, presented a paper on "research techniques in the study of coalition" at the annual meeting of the American Political Science Association, held in Chicago September 4-8. Dr. S. Sidney Ulmer, department chairman, was chairman of a panel on "law as an instrument of social change," and took part in another panel on introducing the graduate student to political analysis. Dr. Malcolm Jewell was chairman of a panel on state constitution making.

The silver award for excellence in artistic design has been presented by the annual Atlanta (Ga.) Art Show to a publication, "Thrill of Learning," written by Dr. Richard I. Miller, coordinator of the program on educational change in the College of Education.

Dr. Lawrence S. Thompson, professor of Germanic and classical languages and literatures, is the author of a new book, "Wilhelm Waiblinger In Italy," recently published by AMS Press, Inc., New York.

Office of the President  
September 19, 1967

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Waiver and Consent with Reference to Right to Appoint a Successor Trustee

Recommendation: that the Board of Trustees, as one of the residuary beneficiaries of the trust under the Last Will and Testament and Codicils of Edward Ellershaw, deceased, authorized the President to sign WAIVER AND CONSENT WITH REFERENCE TO RIGHT TO APPOINT A SUCCESSOR TRUSTEE.

Background: Without a lengthy explanation, it should suffice to say that the University, as one of several residuary beneficiaries of a trust under the Will and Codicils of one Edward Ellershaw, Jacksonville, Florida, will receive a nominal benefit only if certain conditions are not met, and it appears that the conditions will be met.

The President, in executing the WAIVER AND CONSENT WITH REFERENCE TO RIGHT TO APPOINT A SUCCESSOR TRUSTEE, will in effect join the other residuary beneficiaries in permitting the Atlantic National Bank of Jacksonville to act alone as trustee.

II. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

Millard F. Beatty, Jr., Associate Professor, with tenure, Department of Engineering Mechanics, College of Engineering, retroactive to July 1, 1967

Joseph J. Gruber, Professor, with tenure, Department of Health, Physical Education and Recreation, College of Education, retroactive to August 22, 1967

Tadayo Hashimoto, Associate Professor, Department of Microbiology, College of Arts and Sciences, retroactive to September 1, 1967

Virgil W. Hays, Professor, with tenure, Department of Animal Sciences, College of Agriculture, retroactive to September 1, 1967

James W. Hendrix, Associate Professor, with tenure, Department of Plant Pathology, College of Agriculture, retroactive to September 1, 1967

Louis J. Karmel, Associate Professor, Department of Foundations, College of Education, retroactive to September 1, 1967

Thomas Pascal Pirone, Associate Professor, with tenure, Department of Plant Pathology, College of Agriculture, retroactive to August 28, 1967

McElwyn D. Whiteker, Associate Extension Professor, with tenure, Department of Animal Sciences, College of Agriculture, retroactive to August 1, 1967

Joseph Claude Finney, Visiting Associate Professor, Department of Counseling and Guidance, College of Education, retroactive to August 9, 1967

William Frazer, Jr. Visiting Associate Professor, Department of Economics, College of Business and Economics, retroactive to September 1, 1967

Charles G. Geltz, Visiting Professor, Department of Forestry, College of Agriculture, retroactive to September 1, 1967

Pedro L. Koe-Krompecher, Visiting Professor, School of Architecture, retroactive to July 1, 1967

Leo Schamroth, Visiting Professor, Department of Medicine, College of Medicine, retroactive to August 24, 1967

#### Promotions

Bradley Canon, Department of Political Science, from Instructor to Assistant Professor, retroactive to July 1, 1967

Gene Lyle Mason, Department of Political Science, from Instructor to Assistant Professor, retroactive to July 1, 1967

Thomas Lee Updike, Jr., Elizabethtown Community College, from Instructor to Senior Instructor, with tenure, retroactive to July 1, 1967

#### Joint Appointment

Art Gallaher, Professor, with tenure, Department of Anthropology, College of Arts and Sciences, also named Professor, with tenure, Department of Behavioral Science, College of Medicine, retroactive to July 1, 1967

#### Leaves of Absence

Robert L. Blevins, Research Specialist, Department of Agronomy, leave without pay, extended for the period September 1 to November 30, 1967

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF VICE PRESIDENT  
BUSINESS AFFAIRS AND TREASURER

September 15, 1967

Dr. John W. Oswald, President  
University of Kentucky  
Lexington  
Kentucky

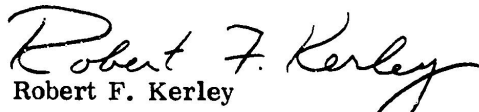
Dear Dr. Oswald:

Submitted herewith is the interim financial report of the University of Kentucky for the period July 1, 1967 through August 31, 1967. This report consists of a balance sheet for the twelve fund groups, and statements of income and appropriations showing the financial transactions for the two months ended August 31, 1967.

<u>Exhibit</u>	<u>Name</u>	<u>Page</u>
A	Balance Sheet	1
B	Summary of Current Funds Income and Appropriated Balances	7
C	Summary of Current Funds Expenditures	8
D	Statement of Unexpended Plant Funds	10
E	Statement of Retirement of Indebtedness Funds	13
F	Statement of Sinking Funds	14
G	Summary of Other Funds Transactions	15
	1. Loan Funds	
	2. Endowment Funds	
	3. Agency Funds	

This report is intended to form a comprehensive and permanent record of the finances of the University for the period covered.

Respectfully submitted,

  
Robert F. Kerley  
Vice President-Business Affairs  
and Treasurer

/rts

## EXHIBIT A

University of Kentucky  
Balance Sheet  
August 31, 1967

ASSETS

## I. Current Funds:

## A. General:

## Cash:

Imprest funds	\$ 720,532.35
Federal funds	578,812.45
Depository funds	540,595.04
On deposit with State Treasurer	<u>5,235,058.81</u>
Total cash	7,074,998.65
Reimbursements due from State Treasurer	2,879,467.65
Available balance, state appropriations	3,220,697.49
Due from other funds	766,195.10
Accounts receivable:	
Student fees	1,929,958.75
Other	19,608.63
Notes receivable	72,000.00
Unrealized income ( Exhibit B )	43,804,095.05
Inventories and undistributed charges	<u>1,634,009.26</u>

Total general

\$ 61,401,030.58

## B. Restricted:

## Cash:

Depository funds	17,306.36
Revolving funds	<u>913,000.00</u>
Total cash	930,306.36
Petty cash advances	298,474.65
Due from other funds	396.72
Investments	5,690,759.42
Accounts receivable	197,485.71
Unrealized income ( Exhibit B )	<u>922,548.53</u>

Total restricted

8,039,971.39

## C. Hospital and clinics:

## Cash:

Depository funds	353,371.94
On deposit with State Treasurer	<u>378,505.01</u>
Total cash	731,876.95
Accounts receivable ( net of allowance for doubtful accounts of \$ 2,744,305 )	2,161,426.70
Unrealized income ( Exhibit B )	5,995,364.23
Inventories	<u>457,174.64</u>

Total hospital and clinics

9,345,842.52

( indicates negative amount )

University of Kentucky  
Balance Sheet  
August 31, 1967

EXHIBIT A

LIABILITIES

I. Current Funds:

A. General:

Accounts payable- county funds	\$	8,356.80
Due to other funds		18,293.51
Due to Federal Government		63,337.95
Advances from State for imprest cash funds		3,600,000.00
Deferred income- student fees		1,873,361.00
Unexpended balance, departmental appropriations ( Exhibit C )		47,993,707.78
Surplus:		
Reserve for encumbrances		3,231,226.35
Reserve for inventories		977,238.67
Reserve for notes receivable		72,000.00
Special reserve-University Hospital		249,000.00
Unappropriated		3,314,508.52

Total general

\$ 61,401,030.58

B. Restricted:

Due to other funds		3,518,271.83
Outstanding check liability		25,299.40
Undistributed collections and clearing		1,742,728.94
Unallocated approved budgets		1,118,161.96
Unexpended balance, departmental appropriations ( Exhibit C )		( 287,352.62)
Fund balances:		
Reserve for encumbrances		94,883.33
Unencumbered		1,827,978.55

Total restricted

8,039,971.39

C. Hospital and clinics:

Due to other funds		153,278.12
Unexpended balance, departmental appropriations ( Exhibit C )		7,188,158.33
Surplus:		
Reserve for encumbrances		103,623.89
Reserve for inventories		398,630.76
Unappropriated		1,502,151.42

Total hospital and clinics

9,345,842.52



## EXHIBIT A

University of Kentucky  
Balance Sheet  
August 31, 1967

ASSETS

D. Housing and dining system:		
Cash in bank	\$	148,558.32
Accounts receivable		121,805.98
Inventories		458,922.56
Unrealized income ( Exhibit B )		7,603,875.07
Funded future bond interest expense		1,504,533.75
Total housing and dining system		\$ 9,837,695.68
E. Auxiliary enterprises:		
Cash in bank		1,388,941.45
Inventories		166,892.34
Unrealized income ( Exhibit B )		967,515.30
Total auxiliary enterprises		<u>2,523,349.09</u>
TOTAL CURRENT FUNDS		<u>\$ 91,147,889.26</u>
II. Loan Funds:		
Notes receivable	\$	3,241,041.27
Investments		26,500.00
Due from Federal Government		5,655.53
Due from United Student Aid loan fund		2,000.00
Due to other funds		(243,037.51)
TOTAL LOAN FUNDS		<u>\$ 3,032,159.29</u>
III. Endowment Funds:		
Cash in bank	\$	2,590.53
Cash on deposit with Trustee		32.97
Investments		475,461.84
TOTAL ENDOWMENT FUNDS		<u>\$ 478,085.34</u>

( indicates negative amount )

University of Kentucky  
Balance Sheet  
August 31, 1967

EXHIBIT A

LIABILITIES

D. Housing and dining system:		
Bonds payable	\$ 1,504,533.75	
Deferred income- student fees	119,520.00	
Departmental appropriations ( Exhibit C )	7,728,453.95	
Funds balances:		
Reserve for encumbrances	21,563.72	
Reserve for repairs and maintenance	140,954.00	
Unencumbered	<u>322,670.26</u>	
Total housing and dining system		\$ 9,837,695.68
E. Auxiliary enterprises:		
Due to other funds	581.98	
Departmental appropriations ( Exhibit C )	895,311.32	
Fund balances:		
Reserve for encumbrances	569,886.93	
Unencumbered	<u>1,057,568.86</u>	
Total auxiliary enterprises		<u>2,523,349.09</u>
TOTAL CURRENT FUNDS		<u>\$ 91,147,889.26</u>
II. Loan Funds:		
Federal sponsored loan funds:		
Federal government	2,578,068.64	
University matching funds	288,530.54	
Accumulated interest income	47,696.25	
Total Federal sponsored loan funds		\$ 2,914,295.43
University loan funds:		
University student loan funds	59,119.24	
University special student loan funds	56,744.62	
United Student Aid loan fund	<u>2,000.00</u>	
Total University loan funds		<u>117,863.86</u>
TOTAL LOAN FUNDS		<u>\$ 3,032,159.29</u>
III. Endowment Funds:		
Funds balances	\$ 478,085.34	
TOTAL ENDOWMENT FUNDS		<u>\$ 478,085.34</u>

## EXHIBIT A

University of Kentucky  
Balance Sheet  
August 31, 1967

ASSETS

## IV. Plant Funds:

## A. Unexpended plant funds:

Cash on deposit with State Treasurer	\$ 335,368.74
State capital construction funds	16,587,358.50
Due from Federal government	1,609,644.00
Construction expenditures and encumbrances	30,221,886.37

Total unexpended plant funds \$ 48,754,257.61

## B. Retirement of indebtedness funds:

Cash on deposit with State Treasurer	159,194.58
Accounts receivable	17,472.50
Unrealized income ( Exhibit E )	3,093,910.97

Total retirement of indebtedness funds 3,270,578.05

## C. Sinking funds:

Cash on deposit with Trustee	30,655.24
Investments held by Trustee	8,144,181.31

Total sinking funds 8,174,836.55

## D. Invested in plant:

Land	5,005,305.41
Buildings	105,536,837.00
Equipment	24,083,528.00

Total invested in plant 134,625,670.41

TOTAL PLANT FUNDS \$ 194,825,342.62

## V. Agency Funds:

Cash in bank	\$ 1,481,802.04
Investments	1,035.96

TOTAL AGENCY FUNDS \$ 1,482,838.00

University of Kentucky  
Balance Sheet  
Augst 31, 1967

EXHIBIT A

LIABILITIES

IV. Plant Funds:

A. Unexpended plant funds:

Reserve for encumbrances	\$ 13,247,398.66
Due to current general funds	750,055.00
Due to other governmental units	15,178,574.00
Bonds payable	15,902,901.37
Net funds available ( Exhibit D )	<u>3,675,328.58</u>

Total unexpended plant funds \$ 48,754,257.61

B. Retirement of indebtedness funds:

Unexpended balances, debt service ( Exhibit E )	3,166,557.18
Funds balances	<u>104,020.87</u>

Total retirement of indebtedness funds 3,270,578.05

C. Sinking funds:

Bonds payable	3,466,000.00
Funds balances ( Exhibit F )	<u>4,708,836.55</u>

Total sinking funds 8,174,836.55

D. Invested in plant:

Bonds payable	35,385,064.88
Due to other governmental units	401,000.00
Net investment in plant	<u>98,839,605.53</u>

Total invested in plant 134,625,670.41

TOTAL PLANT FUNDS \$ 194,825,342.62

V. Agency Funds:

Agency funds balances	\$ 1,482,838.00
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TOTAL AGENCY FUNDS \$ 1,482,838.00

( indicates negative amount )

University of Kentucky  
 Summary of Current Funds Income and Appropriated Balances  
 For the Two Months Ended August 31, 1967

EXHIBIT B

Fund and Source:	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
<b>A. General:</b>			
Student fees	\$ 6,797,700.00	\$ 463,070.32	\$ 6,334,629.68
State appropriations	41,359,600.00	10,887,300.00	30,472,300.00
Federal appropriations	4,774,431.00	1,026,948.00	3,747,483.00
County appropriations	566,236.73	95,434.66	470,802.07
Endowment income	46,675.00	8,700.00	37,975.00
Gifts and grants	1,559,800.00	10,441.66	1,549,358.34
Sales and services	768,774.27	61,700.25	707,074.02
Organized activities related to educational departments	169,000.00	47,849.77	121,150.23
Regulatory fees	549,600.00	230,820.20	318,779.80
Other	57,100.00	12,557.09	44,542.91
Fund balances appropriated	560,034.15	560,034.15	
Prior year encumbrances appropriated	<u>1,385,357.76</u>	<u>1,385,357.76</u>	
Total general fund	58,594,308.91	14,790,213.86	43,804,095.05
<b>B. Restricted</b>	1,608,594.00	686,045.47	922,548.53
<b>C. Hospital and clinics</b>	8,164,603.14	2,169,238.91	5,995,364.23
<b>D. Housing and dining system</b>	8,204,271.00	600,395.93	7,603,875.07
<b>E. Auxiliary enterprises</b>	<u>1,779,600.89</u>	<u>812,085.59</u>	<u>967,515.30</u>
<b>TOTAL CURRENT FUNDS INCOME AND APPROPRIATED BALANCES</b>	<u>\$ 78,351,377.94</u>	<u>\$ 19,057,979.76</u>	<u>\$ 59,293,398.18</u>

University of Kentucky  
 Summary of Current Funds Expenditures  
 For the Two Months Ended August 31, 1967

EXHIBIT C

Fund and Function:	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
<b>A. General:</b>				
I. Departments of instruction and research	\$21,326,914.56	\$ 2,958,955.76	\$ 796,595.39	\$17,571,363.41
II. Community colleges	3,778,600.19	503,555.08	94,633.97	3,180,411.14
III. Summer sessions	550,246.47	526,745.36	1,383.37	22,117.74
IV. Organized activities	549,206.76	102,884.82	22,681.35	423,640.59
V. Organized research	5,515,524.72	837,713.07	356,584.34	4,321,227.31
VI. Libraries	1,561,541.16	241,310.47	28,124.10	1,292,106.59
VII. Extension and public services	6,737,758.07	1,003,285.87	108,883.81	5,625,588.39
VIII. Maintenance and operation of plant	3,453,930.57	627,828.80	499,505.69	2,326,596.08
IX. Major improvements	400,285.00	51,558.00		348,727.00
X. Acquisition of equipment	354,086.61	76,504.15	101,129.49	176,452.97
XI. General administration	2,069,898.09	292,081.19	40,094.92	1,737,721.98
XII. Student services	2,095,258.87	257,702.23	73,182.09	1,764,374.55
XIII. Staff benefits	3,138,800.00	455,447.88		2,683,352.12
XIV. General institutional services	1,923,724.46	172,459.48	269,307.82	1,481,957.16
XV. Service enterprises	87,729.34	52,032.06	48,430.57	(12,733.29)
XVI. Debt service cost	1,243,000.00			1,243,000.00
XVII. Student aid	7,500.00			7,500.00
XVIII. Reserves	<u>3,800,304.04</u>			<u>3,800,304.04</u>
Total general	<u>58,594,308.91</u>	<u>8,160,064.22</u>	<u>2,440,536.91</u>	<u>47,993,707.78</u>

( indicates negative amount )

University of Kentucky  
 Summary of Current Funds Expenditures  
 For the Two Months Ended August 31, 1967

EXHIBIT C

Fund and Function:	<u>Appropriations</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
<b>B. Restricted:</b>				
I. Departments of instruction and research	\$ 37,475.83	\$ 17,267.20	13,606.99	\$ 6,601.64
II. Organized research	68,176.14	46,721.38	42,007.12	( 20,552.36)
III. Libraries	2,905.37			2,905.37
IV. Extension and public services	67,629.39	216,438.77	1,997.74	(150,807.12)
V. Haggin Fund	34,900.00	3,256.59	63.00	31,580.41
VI. Student services	22,400.08	( 4,939.36)		27,339.44
VII. General institutional services	46,030.39	15,288.71	14,181.59	16,560.09
VIII. Student aid	186,433.40	393,652.04	105.15	( 207,323.79)
IX. Acquisition of real property		361.76	6,224.81	( 6,586.57)
X. Construction	4,451.68			4,451.68
XI. Maintenance and operations	20,029.76	3,990.38	7,560.79	8,478.59
Total restricted	<u>490,432.04</u>	<u>692,037.47</u>	<u>85,747.19</u>	<u>(287,352.62)</u>
C. Hospital and Clinics	<u>8,164,603.14</u>	<u>924,183.75</u>	<u>52,261.06</u>	<u>7,188,158.33</u>
D. Housing and Dining System	<u>8,204,271.00</u>	<u>454,253.33</u>	<u>21,563.72</u>	<u>7,728,453.95</u>
E. Auxiliary Enterprises	<u>1,779,600.89</u>	<u>314,402.64</u>	<u>569,886.93</u>	<u>895,311.32</u>
TOTAL CURRENT FUNDS EXPENDITURES	<u>\$77,233,215.98</u>	<u>\$ 10,544,941.41</u>	<u>\$ 3,169,995.81</u>	<u>\$ 63,518,278.76</u>

( indicates negative amount )

University of Kentucky  
Statement of Unexpended Plant Funds  
For the Two Months Ended August 31, 1967

EXHIBIT D

Construction:	<u>Appropriation</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
<b>Main campus-</b>				
Undergraduate housing complex	\$ 22,144,901.22	\$ 20,387,469.10	\$ 1,417,545.69	\$ 339,886.43
Classroom-office building	12,089,195.00	1,157,820.72	9,134,537.17	1,796,837.11
Completed projects-clearing	<u>379,650.75</u>		<u>34,434.30</u>	<u>345,216.45</u>
Total construction-main campus	<u>34,613,746.97</u>	<u>21,545,289.82</u>	<u>10,586,517.16</u>	<u>2,481,939.99</u>
<b>Community Colleges-</b>				
Ashland Community College	150,100.00	21,798.96	4,036.70	124,264.34
Elizabethtown Community College	929,809.93	869,003.08	7,965.58	52,841.27
Hazard-Blackey Community College	14,250.00	2,547.04	10,250.00	1,452.96
Henderson Community College	28,250.00	17,233.46	7,210.84	3,805.70
Hopkinsville Community College	1,374,986.57	1,207,006.99	22,928.72	145,050.86
Jefferson Community College	1,405,502.00	361,938.79	978,018.75	65,544.46
Maysville Community College	66,250.00		10,250.00	56,000.00
Northern Community College	800,998.02	779,673.30	17,163.56	4,161.16
Prestonsburg Community College	1,359,627.63	1,342,476.90	14,224.97	2,925.76
Somerset Community College	996,859.00	869,232.71	21,229.91	106,396.38
Southeast Community College	15,565.00	7,314.58	4,250.00	4,000.42
Movable classroom-office building	<u>423,197.00</u>	<u>16,346.52</u>	<u>388,046.58</u>	<u>18,803.90</u>
Total community colleges	<u>7,565,395.15</u>	<u>5,494,572.33</u>	<u>1,485,575.61</u>	<u>585,247.21</u>
Total construction	<u>42,179,142.12</u>	<u>27,039,862.15</u>	<u>12,072,092.77</u>	<u>3,067,187.20</u>

**Major Improvements:**

Office-classroom-student quarters building- Coldstream Farm	53,642.00	51,633.62		2,008.38
Agricultural Research Center Auditorium	470,842.97	461,878.86	2,234.19	6,729.92



University of Kentucky  
Statement of Unexpended Plant Funds  
For the Two Months Ended August 31, 1967

EXHIBIT D

Major Improvements ( continued )	<u>Appropriation</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
Alpha Delta Pi Sorority				
House	\$ 169,362.00	\$ 131,514.19	\$ 25,765.55	\$ 12,082.26
Miscellaneous residence halls	4,500.00	3,176.79	1,323.21	
Housing for 50 foot centrifuge	92,071.00	65,217.86	23,741.59	3,111.55
Food storage building-refrigeration	28,839.50	1,819.25	18,247.61	8,772.64
Medical Center renovation	5,000.00	2,724.64	2,275.36	
Pence Hall	312,343.18	270,540.72	4,390.58	37,411.88
Memorial Coliseum	34,575.00	33,471.62		1,103.38
Administration Building	301,287.50	284,349.30	1,515.15	15,423.05
Memorial Hall	5,200.00	2,466.43		2,733.57
Experiment Station Building	30,061.74	22,528.21		7,533.53
Engineering services	13,200.00	11,563.52	813.03	823.45
Animal care facilities for medical research	296,200.00	247,206.46	41,815.51	7,178.03
Coldstream Farm water system	184,287.00	99,371.36	71,591.07	13,324.57
Renovation of cell Biology	173,800.00	157,088.24	1,906.25	14,805.51
Agricultural Science Center	133,112.00	125,446.23		7,665.77
Wood utilization center-student housing	3,250.00	3,049.83	1.00	199.17
Funkhouser Building- addition for telephone exchange and library	48,027.00	24,226.59	21,832.77	1,967.64
Student Center cafeteria and grill	75,000.00	64,197.00	7,676.00	3,127.00
University drive- Phase I	168,181.00	115,688.15	45,900.33	6,592.52
Donovan Hall Cafeteria- refrigeration	2,500.00	1,881.00	1.00	618.00
Agricultural Engineering Building- renovation	95,545.00	49,821.92	41,018.75	4,704.33
Tobacco and health research equipment installation	162,490.00	79,521.98	75,320.27	7,647.75
Demolition of residential buildings for surface parking	9,066.00	6,300.00	2,766.00	
Helen G. King Alumni House	45,124.00	35,380.64	7,453.90	2,289.46
Tennis courts-physical education and recreational area	263,576.00	12,907.65	235,928.96	14,739.39
Parking structures- 1966	15,000.00	1,562.09	6,000.00	7,437.91
Temporary ETV production center- Taylor Education Building	8,273.00	1,989.66	3,980.34	2,303.00
Engineering Building- 7th floor- alterations for materials science program	58,093.00	4,400.03	50,737.85	2,955.12
New serving lines-Donovan Hall Cafeteria	10,700.00		1,000.00	9,700.00
Agricultural Sciences laboratory Building # 2	2,500.00			2,500.00
Electrical substation # 2-central campus	2,500.00		1,000.00	1,500.00

University of Kentucky  
Statement of Unexpended Plant Funds  
For the Two Months Ended August 31, 1967

EXHIBIT D

Major Improvements ( continued )	<u>Appropriation</u>	<u>Expenditures</u>	<u>Encumbrances</u>	<u>Unencumbered Balance</u>
Cooling plant # 2-central campus	\$ 2,500.00		\$ 1,000.00	\$ 1,500.00
Installation of auxiliary system- central heating plants	2,500.00		1,000.00	1,500.00
Anderson Hall-miscellaneous renovation	10,300.00		1,000.00	9,300.00
Modernization of lighting and lighting control systems- Guignol Theatre	2,500.00		1,000.00	1,500.00
Conversion of storage area to office space-third floor- Library Service Building	2,500.00		1,000.00	1,500.00
Office-laboratory-student quarters-swine research program- Coldstream Farm	2,500.00			2,500.00
Renovation of Reynolds Ware- house # 3	2,500.00		1,000.00	1,500.00
Electrical renovation-Bowman and Breckinridge Halls	2,500.00			2,500.00
Agricultural Science Building- Agronomy seed laboratory- air conditioning	2,500.00			2,500.00
Utilities:				
Electrical distribution system	379,129.36	\$ 369,562.61	1,494.34	8,072.41
Primary electrical loop # 3	310,600.00	285,504.56	19,967.89	5,127.55
Electrical outage-Euclid Avenue	18,500.00		15,088.51	3,411.49
Mapping-aerial mapping	11,546.00	8,595.21	2,946.00	4.79
<b>Total major improvements</b>	<u>4,028,224.25</u>	<u>3,036,586.22</u>	<u>741,733.01</u>	<u>249,905.02</u>
Minor construction	<u>565,117.61</u>	<u>100,628.40</u>	<u>430,933.64</u>	<u>33,555.57</u>
Property acquisitions	<u>1,000,000.00</u>	<u>984,105.83</u>	<u>2,639.24</u>	<u>13,254.93</u>
Clearing account	<u>311,425.86</u>			<u>311,425.86</u>
<b>TOTAL UNEXPENDED PLANT FUNDS</b>	<u>\$ 48,083,909.84</u>	<u>\$ 31,161,182.60</u>	<u>\$ 13,247,398.66</u>	<u>\$ 3,675,328.58</u>

University of Kentucky  
Statement of Retirement of Indebtedness Funds  
For the Two Months Ended August 31, 1967

EXHIBIT E

<u>Income:</u>	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Rental income	\$ 63,287.00	\$ 47,292.00	\$ 15,995.00
Transfers from Auxiliary Enterprises	119,591.47		119,591.47
Transfers from General Fund	1,242,953.00		1,242,953.00
Transfers from Housing and Dining System	1,715,371.50		1,715,371.50
Fund balances appropriated	103,476.43	103,476.43	

TOTAL RETIREMENT OF INDEBTEDNESS

FUNDS INCOME ( Exhibit A )

\$ 3,244,679.40    \$ 150,768.43    \$ 3,093,910.97

<u>Debt Service:</u>	<u>Appropriated</u>	<u>Expended</u>	<u>Unexpended Balance</u>
Auditorium-Field House	\$ 47,292.00	\$ 46,237.50	\$ 1,054.50
Library Service Building	31,138.00	625.34	30,512.66
Stadium Addition	15,995.00	520.16	15,474.84
Chi Omega	3,496.90	3,015.70	481.20
Journalism Building	13,274.00		13,274.00
Alpha Delta Pi	4,215.00		4,215.00
Dorms A, B, C, D, E and F	39,625.00		39,625.00
Dorms G, H, I, J, K and L	51,450.00		51,450.00
Phi Delta Theta	7,630.00		7,630.00
Delta Delta Delta	6,735.00		6,735.00
Alpha Tau Omega	8,790.00		8,790.00
Consolidated Educational Buildings- Series A	501,632.00		501,632.00
Community Colleges Educational Buildings- Series A	88,196.00		88,196.00
Consolidated Educational Buildings- Series B	575,387.00		575,387.00
Alpha Gamma Rho and Sigma Chi	16,714.00	7,371.64	9,342.36
Community Colleges Educational Buildings- Series B	77,738.00		77,738.00
Student Housing Bonds of 1964	40,000.00	20,351.88	19,648.12
Housing and Dining System Revenue Bonds-Series A	58,294.00		58,294.00
Housing and Dining System Revenue Bonds-Series B	8,277.50		8,277.50
Housing and Dining System Revenue Bonds- Series C	45,162.50		45,162.50
Housing and Dining System Revenue Bonds- Series D	88,262.50		88,262.50
Housing and Dining System Revenue Bonds- Series E	78,700.00		78,700.00
Housing and Dining System Revenue Bonds-Series F	36,093.75		36,093.75
Housing and Dining System Revenue Bonds-Series G	47,281.25		47,281.25
Housing and Dining System Revenue Bonds-Series H	174,270.00		174,270.00
Housing and Dining System Revenue Bonds-Series I	719,030.00		719,030.00
Repair and Maintenance Reserve	60,000.00		60,000.00

TOTAL RETIREMENT OF INDEBTEDNESS

FUNDS ( Exhibit A )

\$ 3,244,679.40    \$ 78,122.22    \$ 3,166,557.18

University of Kentucky  
Statement of Sinking Funds  
For the Two Months Ended August 31, 1967

EXHIBIT F

Housing and Dining System Bond and Interest Fund	\$1,692,628.30
Housing and Dining System Repair and Maintenance Reserve	479,871.92
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,504,533.75
Second PWA Issue	15,398.14
Consolidated Educational Buildings- Series A	541,235.12
Consolidated Educational Buildings- Series B	344,546.73
Community Colleges Educational Buildings- Series A	37,033.05
Community Colleges Educational Buildings- Series B	17,764.80
Housing Bonds of 1960	44,922.19
Student Housing Bonds of 1964	<u>30,902.55</u>
 TOTAL SINKING FUNDS ( Exhibit A )	 <u><u>\$4,708,836.55</u></u>

University of Kentucky  
 Summary of Other Funds Transactions  
 For the Two Months Ended August 31, 1967

EXHIBIT G

<u>Loan Funds:</u>	<u>Balances</u> <u>July 1, 1967</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>August 31, 1967</u>
Federal sponsored loan programs:				
Federal Government	\$ 2,585,100.26	\$ (7,031.62)		\$ 2,578,068.64
University of Kentucky	289,311.83	(781.29)		288,530.54
Accumulated interest income	44,412.11	3,284.14		47,696.25
University student loan funds	58,337.95	781.29		59,119.24
University special student loan fund	56,705.71	38.91		56,744.62
United Student Aid loan fund	<u>2,000.00</u>			<u>2,000.00</u>
 TOTAL LOAN FUNDS	 <u>\$ 3,035,867.86</u>	 <u>\$ (3,708.57)</u>		 <u>\$ 3,032,159.29</u>
 <u>Endowment Funds:</u>				
 TOTAL ENDOWMENT FUNDS	 <u>\$ 478,085.34</u>			 <u>\$ 478,085.34</u>
 <u>Agency Funds:</u>				
 TOTAL AGENCY FUNDS	 <u>\$ 3,111,245.48</u>	 <u>\$ 1,763,662.01</u>	 <u>\$ 3,392,069.49</u>	 <u>\$ 1,482,838.00</u>

( indicates negative amount )

Office of the President  
September 19, 1967

PR 4

Members, Board of Trustees:

APPROVAL "IN PRINCIPLE" OF THE  
"HOPKINSVILLE COMMUNITY COLLEGE PRELIMINARY DEVELOPMENT PLAN"

Recommendation: that the "Hopkinsville Community College Preliminary Development Plan" be approved "in principle," with the understanding that the plan will be periodically revised as the program requirements of the College become more fully expressed.

Background: The University has undertaken the preparation of a "Preliminary Development Plan" for each of its community colleges for the purpose of establishing a broad physical framework within which the physical development of the colleges may take place in an efficient and orderly manner. The "Hopkinsville Community College Preliminary Development Plan" is the ninth of these studies to be completed and published. The University intends to continue its development planning efforts at each of the community colleges and will issue supplementary, more detailed reports as additional studies are completed.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   September 19  , 1967

Office of the President  
September 19, 1967

PR 5

Members, Board of Trustees:

APPROPRIATION OF FUNDS FOR UNIVERSITY HOSPITAL

Recommendation: that the expenditure authorization of University Hospital be increased by \$654,920 from \$8,100,300 to \$8,755,220. The total general fund expenditure authorization will be increased by \$461,813 from \$4,193,000 to \$4,654,813. These general funds are to be taken from the Program Improvement Fund previously allocated. Patient care and other income estimates will be revised upward by \$193,107 from \$3,907,300 to \$4,100,407.

Background: The 1967-68 operating budget for University Hospital was based on an activation level of 345 beds. An additional 45 beds will be opened under this activation proposal and will bring the total number of beds activated to 390. Approximately 445 beds will be available when the University Hospital achieves full activation. The total cost of the activation of these 45 beds will be \$654,920.

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Action: Approved   X   Disapproved            Other           

Dated:   September 19  , 1967

Office of the President  
September 19, 1967

PR 6

Members, Board of Trustees:

1967-68 BUDGET REVISIONS

Recommendation: that the following revisions in the 1967-68 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>Restricted Funds</u>			
1. Income estimates	\$ 2,970,302	\$ 3,094,453	\$ 124,151
2. Expenditures			
<u>College of Engineering</u>			
Administration	3,500	15,750	12,250
J. R. Watkins Lecture	-----	1,004	1,004
Mechanical Engineering	2,200	11,163	8,963
Chemical Engineering	3,450	15,338	11,888
Civil Engineering	-----	3,400	3,400
Civil Engineering-Mining	1,000	2,000	1,000
Engineering Mechanics	-----	1,000	1,000
Metallurgical Engineering	1,500	3,618	2,118
Metallurgical Engineering - Undergraduate Research	-----	2,000	2,000
<u>Agricultural Experiment Station</u>			
Entomology	-----	13,260	13,260
Agricultural Economics - Tobacco Production	-----	8,000	8,000
<u>Agricultural Extension Service</u>			
Youth Programs - Operation Expansion	-----	6,200	6,200
<u>College of Education</u>			
Administration and Supervision - Preparing Supervisors	-----	64	64



	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<u>Medical Center</u>			
Neurological Diseases	\$ -----	\$ 1,000	\$ 1,000
Dewey Daniel Fund	-----	223	223
Psychiatric Service Fund	-----	2,500	2,500
 <u>Student Aid</u>			
Southern Education Foundation	-----	1,015	1,015
Crusade for Crippled Children	-----	413	413
United Steelworkers	-----	875	875
Schlumberger Fund	-----	500	500
International Nickel	-----	580	580
Western Electric	-----	1,000	1,000
American Soc. of Tool & Mfg. Eng.	-----	560	560
Union Light, Heat & Power Co.	-----	760	760
West Virginia - Ohio Valley Ass'n of Elec- trical Contractors	-----	500	500
Osco Drug	-----	250	250
 <u>General Administration</u>			
Higher Education Act of 1965 - Title I Administration	-----	16,060	16,060
Real Property Improvements	-----	26,768	26,768
			<u>\$ 124,151</u>

3. Comments - Budgets for these programs have been received since the last budget revisions were submitted to the Board of Trustees on July 21, 1967. The programs had either not been developed at the time of earlier submission or they have subsequently been changed.

B. Auxiliary Funds

1. Income estimates	\$9,302,325	\$9,329,115	\$ 26,790
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	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures			
Engineering Camp	\$ -----	\$ 5,190	\$ 5,190
Community Colleges - Forestry and Wood Technician School	-----	21,600	<u>21,600</u>
			\$ <u>26,790</u>

3. Comments - Budgets for these programs have been received since the last budget revisions were submitted to the Board of Trustees on July 21, 1967. The programs were not ready for submission at the earlier date.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   September 19  , 1967

Office of the President  
September 19, 1967

PR 7

Members, Board of Trustees:

APPROVAL OF DEGREE CANDIDATES

Recommendation: that approval be given to the candidates for degrees as submitted herewith and that the President be authorized and directed to confer upon each individual whose name appears on the list the degree to which he is entitled at the commencement exercises on May 13, 1968.

Background: The Registrar has certified that each person whose name appears on the attached list has satisfied all requirements for the degree for which application was made. The candidates for degrees at the May 13, 1968 commencement exercises have received prior approval by the University Senate.

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Action: Approved   X   Disapproved            Other           

Date:   September 19  , 1967

CANDIDATES FOR DEGREES  
August 1967

GRADUATE DEGREES

Ph. D.	20
Ed. D.	7
M. A.	37
M. S.	15
M. S. in Agr.	13
M. S. in H. Ec.	1
M. S. in Agr. Engr.	1
M. S. C. E.	7
M. S. E. E.	6
M. S. M. E.	1
M. S. Met. Engr.	4
M. A. in Educ.	52
M. S. in Educ.	14
M. B. A.	8
M. S. L. S.	21
M. M.	7
<hr/>	
	214

PROFESSIONAL DEGREES

Juris Doctor	2
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UNDERGRADUATE DEGREES

Arts and Sciences	
A. B.	53
B. S.	24
A. B. J.	1
<hr/>	
	78
Agriculture	
B. S. Agr.	11
Home Economics	
B. S. H. Ec.	5
Engineering	
B. S. Chem. Engr.	2
B. S. Civil Engr.	3
B. S. Elec. Engr.	4
B. S. Mech. Engr.	1
<hr/>	
	10
Education	
A. B. Educ.	70
Commerce	
B. S. Commerce	30
Nursing	
B. S. Nursing	1
Architecture	
Bachelor of Arch.	1

SUMMARY

Graduate Degrees	214
Professional Degrees	2
<u>Undergraduate Degrees</u>	<u>206</u>
TOTAL	422

GRADUATE SCHOOL

Candidates for the Degree of Doctor of Philosophy

Walter Henry Abel  
Frank Roger Asbury  
Arthur Sanford Blaiwes  
John Earl Cleek  
Carroll James Falcon  
Mona June Hagyard  
Michael J. Harvey  
Georgia Anderson Hill  
Miryam Neulander Kay  
John Conrad Landgrebe

Shirley Baker Meece  
James Edward Miller  
Jack Nebb  
William Charles Sallis  
Carolyn House Simmons  
Soekeni Soedigdo  
Sheldon M. Steiner  
Donald Irvin Templer  
Joseph Allen Thacker, Jr.  
Lyle Gordon Warner

Candidates for the Degree of Doctor of Education

Ray Alexander, Jr.  
Thomas Allan Burton  
Floyd Wayne Coleman  
Charles Franklin Martin

William Nelson Pafford  
Samuel Sears, Jr.  
Barbara F. Tea

Candidates for the Degree of Master of Arts

Kathleen Chandler Adkins  
John David Baynham  
Marc Borg Borchers  
Paul Edward Covington  
James Edwin Dublin  
William Raney Ellis III  
Thomas Joseph Ethier  
Jill Bebe Gallagher  
Carole Lee Ann Gilbertson  
Charles Combs Harber  
James Harry Hazlett  
Susan Langhorne Hemphill  
Jesse Lewis Hill  
Betty Foval Hoskins  
Vivian Shipley Jokl  
William H. Keeling  
Roger Joe LeMaster  
Nancy Ellen Loudenslager  
Thomas P. McCarthy

John Jackson McNew  
Edward Isaiah Malberg  
Patrick Martin Mayerchak  
Cap Carden Middleton  
Sara Elizabeth Pace  
E. Jean Palmer  
Billie Stewart Petty  
William Arthur Reichart  
George Woodson Reynolds  
Oscar Rucker, Jr.  
William Gale Smith  
Ifor Ballares Solidum  
William Theodore Strunk  
Niles King Walton  
Anthony Harry Waters  
Carol A. Wheeler  
William Thomas White  
Duane Edward Williamson  
Betty Lou Rice Yung

Candidates for the Degree of Master of Science

Jerry Thomas Bosley  
Pat Irvan Brown

Allen Kirby Chelgren  
Carole Hamm Cole

Ben H. Crawford, Jr.  
Sarah Farlow Dickinson  
Bernd Drewes  
Robert Angelo Fusco  
Charles Rodger Hammons  
Rudy Ingwer Hutagalung

Elsie Lynn Merkle  
Jean Marie Pavolovsky  
Sally Jo Rubin  
Robert L. Schulte  
Carroll Eugene Watson

Candidates for the Degree of Master of Science in Agriculture

James Charles Bierer  
Gene H. Brown  
William Ronnie Coffman  
Thomas A. Curtsinger  
Jackie Ray Good  
Karl Douglas Johnston  
Roger Dale Kline

James Elton Little, Jr.  
Robert Wray Megibben  
Ronald L. Richter  
Russell Wayne Sutton  
Paul L. Swanson  
Anthony W. Young

Candidate for the Degree of Master of Science in Home Economics

Rebecca Langford Tucker

Candidate for the Degree of Master of Science in Agricultural Engineering

David Newell Daniel

Candidates for the Degree of Master of Science in Civil Engineering

Abdul Aziz Djajaputra  
John Malvern Higgins, Jr.  
Bennie Rodman Maffet  
Jerry Glenn Rose

Robert Cecil Tussey, Jr.  
J. Scott Watkins  
Joseph Parker Woolums, Jr.

Candidates for the Degree of Master of Science in Electrical Engineering

Richard Oswald Chinn  
Dean Ralph Duff  
John Thomas Hollaway

Hugh Kenneth Idol  
R. Thomas Jarvis  
Eugene Levine

Candidate for the Degree of Master of Science in Mechanical Engineering

Edwin Langford Libby

Candidates for the Degree of Master of Science in Metallurgical Engineering

James Herron Barker  
Henry Dale Bewley

Robert W. Stovall  
C. K. Venkateswaran

Candidates for the Degree of Master of Arts in Education

Querida Marie Banks  
Patricia Snapp Barkley  
Roy Wilson Brent, Jr.  
Patricia Irene Brooker  
Proctor S. Burress, Jr.  
Mary Eugenia Campbell  
LeRoy Aldon Cantrel  
Oneida Dell Cassity  
Mildred Fay Newkirk Chiles  
Rudolph Collins  
Ruth Stivers Collins  
Daniel Joseph Conover  
Winifred Puckett Corbett  
Marvin Denton Cormany, Jr.  
Robert Louis Crouch  
Joan Phyllis Davis  
Anne Hogg Dimling  
Sister Mary Francis Dirr S. N. D.  
Janet Macke Dobson  
Sara Elizabeth Downing  
Randy Brown Embry  
Anne Meece Farris  
Kay Kennedy Ferguson  
Marilyn B. Ferris  
Iris Dobbs Flynn  
Jane Smith Frye

Carrie Sue Gay  
Margaret B. H. Geers  
Carol Harris Harper  
Robert Vernon Heffern  
Elissa Ann Hussey  
Charles A. Lincoln  
Mary Reynolds Lowe  
William Ross McKee  
Phillip Jennings McLaughlin  
Cynthia Mahan Morgan  
Mary Jo Morrow  
Frederick John North  
Guy Thomas Prater  
Virginia Lee Pratt  
John T. Quillen  
Jennie Lee Ragland  
James Reid Rawlings  
Sandra Marie Scholl  
Joanne B. Sellier  
Jane Calhoun Smith  
Ruth Hightower Smith  
Frances Poe Soper  
Bonne Kinne Sparr  
Gerald R. Stafford  
Elaine Fanelli Van Hoose  
Sister Mary Joseph Clare Vogel,  
S. N. D.

Candidates for the Degree of Master of Science in Education

Kenneth Wallace Appel  
Herbert Clay Davis  
Nancy Sue Dingus  
Helen Smallwood Harris  
Peggy Ann Harris  
Buford Dewey Hatler  
Karolyn Sisk Hayden

Erick Gene Pifer  
Shirley Ann Price  
Martha Ann Randolph  
Mary Ann Ray  
Leonidas C. Skaggs  
Mary Ann Smiley  
Patricia Lou Wilson

Candidates for the Degree of Master of Business Administration

James Michael Bailey  
Donald Gordon Bennett  
Jon Francis Ferrante  
Philip Joseph Hubbuch, Jr.

Donald Philbrick Keyser  
Thomas Smith Porter  
Joel Edward Rodgers  
Donald Courtland Rogers

Candidates for the Degree of Master of Science In Library Science

Elvin D. Bess	Boyd Fulton Plumley
Adelle G. Dailey	Deborah Ann Ross
Sandra Struwe Gillum	Mary Jane C. Smith
Patricia Ann Harding	Allen Alford Staples
Claire Coleman Kelly	Janet Sue Tackett
Marian Eleanor Kienholz	Mary Eleanor Thompson
Diane Marie Kolinger	Naomi Elizabeth Voit
Sara Raymond LaRoche	Billy Joe Walker
Tzu-hui Liu	Beverly Barber Wolf
James A. Norsworthy, Jr.	Wayne William Woodward
Elsie Eleanor Peterson	

Candidates for the Degree of Master of Music

John Allen Alexander	William Jackson Gordon
Marilyn Kay Carter	Thomas Earl Senff
Douglas T. Cornell	Anne Therese Vogel
Mildred Cutshaw Fields	

Candidate for the Degree of Master of Science in Public Health

Beecher Layne Scutchfield

COLLEGE OF LAW

Eunice Diachun Hall	Larry Scott Perkins
---------------------	---------------------

COLLEGE OF ARTS AND SCIENCES

Candidates for the Degree of Bachelor of Arts

Stephen Kupple Alper	Shirley Clouse DeSimone
John Barrow Baldwin, Jr.	Marilyn Joyce Driskell
Rose Ellen Pflaumer Barrett	Carolyn Louise Farris
Jacob Twyman Boyer	Judy Byron Flynn
Hence Francis Brank	Kelsey E. Friend, Jr.
Eileen Cecelia Brereton	Robert Allan Gaiek
James Mallory Carigan	Harry Jay Gordon
Diane Ford Carrico	Teddy Bernard Gordon
Patricia L. Clevenger	Meredith L. Greene
Sarah Elizabeth Cline	Linda Louise Hewson
Miriam Carole Cox	L. Lyman Hight
Robert Morris Creech, Jr.	Carol Anna Johnson
Allie Webb Denny	Jane Dickinson Julian



Miriam Gaitskill Kelley  
Jane Lee McCormick  
Alan Richard McDonald  
David Lawrence Mathews  
Jessica Grant Mattmiller  
Evelyn Louise Midkiff  
Richard Charles Moldenhauer  
John Carey O'Brien  
Katherine Bihl Olszewsky  
Richard Stewart Peters  
Robert Gayle Pettit III  
Robert Terry Phelps  
Richard S. Robbins  
Linda Ann Smith

James Kermit Stacey II  
Margaret Jane Stevens  
Rose Carol Taul  
Leo Glenn Taylor  
John Douglas Temple  
Joseph Edward Ternes, Jr.  
Martha Gene Thebaud  
Mary Lee Thornton  
Carolyn Fae Vaughn  
Sally Ann Wallace  
Joseph Raymond Whelan  
Curtis Lee Wilson  
Harriet Louise Woodfill

Candidates for the Degree of Bachelor of Science

Barbara Elizabeth Beazley  
James Earl Burns  
Lloyd D. Caudill  
George B. Elkins  
Harry Garfield Enoch  
Lee Dallas Flowers  
Dorothy Coffman Fox  
Richard Thomas Gelarden  
Wendell Nickell Harper  
Robert Gist Heinrich  
Randy Carl Hentchel  
James Clarke Hughbanks

Jack S. Jones  
Thomas Allen Keen  
Donald Joseph Kleier  
Nicholas Eugene Lawrence  
Darlene Joy Malinich  
Garrett Lewis Marr  
Donald Offutt Nodler  
Thomas Ruden Post  
Sue Cochran Schanding  
Karl William Swartz  
Thomas Lee Ware  
Craig Burdett Wiggins

Candidate for the Degree of Bachelor of Arts in Journalism

John Wilson Ringo

COLLEGE OF AGRICULTURE

Candidates for the Degree of Bachelor of Science in Agriculture

Ronda Austin  
Joseph Vincent Digieso, Jr.  
John Robert Ellens  
William Gordon Hillis  
Lethal Conrad Martin  
Kenneth Eugene May

Robert Donald Ridgway  
Robert Williams Rosebrough  
Asep T. Tojib  
James Boyd Wadlington  
Boyd D. Wainscott

SCHOOL OF HOME ECONOMICS

Candidates for the Degree of Bachelor of Science in Home Economics

Cathryn Dare Binkley  
Sandra Gale Hopton  
Joyce Jane Powell

Judith Ann Spicer  
Linda Katheryn Tatum

COLLEGE OF NURSING

Candidate for Degree of Bachelor of Science in Nursing

Martha Pat Donovan

SCHOOL OF ARCHITECTURE

Candidate for the Degree of Bachelor of Architecture

Ralph Damian Bolton

COLLEGE OF ENGINEERING

Candidates for the Degree of Bachelor of Science in Chemical Engineering

David Charles Figg

Clarence Edward Purcell

Candidates for the Degree of Bachelor of Science in Civil Engineering

Edward Smith Kelly  
Richard Griggs Lewis

Earl Lee Parris, Jr.

Candidates for the Degree of Bachelor of Science in Electrical Engineering

Parker Wayne Bogle  
Stephen Curtis

Kenneth Wayne Renfro  
Michael Ray Ware

Candidate for the Degree of Bachelor of Science in Mechanical Engineering

Elijah Lee Dillion

COLLEGE OF EDUCATION

Candidates for the Degree of Bachelor of Arts in Education

Allie Ann Allen  
Vickie Ann Ambrose

Nancy Swan Backus  
Phyllis A. Bandy

Margaret Elaine Benton  
Karen Adelaide Berg  
William Haven Betts  
Edith Curd Bogie  
Barbara Ann Burchett  
Jane Burian  
Nancy Jean Coffman  
Linda Cheryl Conley  
John Alan Connelly  
Mary Lucille Cosby  
Helen Larabee Crouse  
Ronald William Fleischman  
Gene Melton Florence, Jr.  
Janice Watts Frazier  
Rose Arie Fulton  
Vicky Crowe Garrett  
Judith Ann Gewirtzman  
Homer H. Goins  
Linda Lou Gulley  
Vicki Ann Hale  
William Lucien Heizer III  
Vivian Russell Henson  
Amanda Newell Hicks  
Wallace Kent Hicks  
Ruth Hilliard  
William Finley Horton  
Steven L. Johnston  
Roger Raymond Jones  
Ronald L. Kennett  
William Clay Lewis  
Bonnie Allyn Lindner  
Rebecca Kay Mantle  
John William Martin

Cheryl K. Mathias  
Linda Carrol Maxwell  
Flora Oveta McDowell  
Luna O. McNeil  
Billie Dawes Miller  
Mary E. Arrowood Moore  
Sharon Lynne Morgan  
Patricia Anne Morris  
Susan Navolio  
Marilynn Scales Noll  
Elizabeth R. Nooe  
Ronald Lee Powell  
Carolyn Ann Ratliff  
Ann Sheward Reynolds  
Ethel Linda Rideout  
Harry Cole Risher  
Carola Lea Roberts  
Lois Jean Hayes  
Martha Carolyn Roeding  
Deloris D. Rogers  
Eileen W. Sanders  
Bonnie Chelf Simpson  
Daniel John Spanish  
James Alva Stamper  
Dorothy Helen Strattis  
Nancy Lou Taylor  
Ronnie L. Taylor  
Earlis Thornsberry  
Delcena Clark Todd  
Morel J. Vaughn  
Laura Ann Wathen  
Jane Lewis Wells  
Kathryn Rose Whitt

#### COLLEGE OF BUSINESS AND ECONOMICS

##### Candidates for the Degree of Bachelor of Science in Commerce

Margaret Anne Abney  
Joseph Marshall Baughn  
Donald Newton Briggs  
Haburn Rube Browning, Jr.  
James Brownlow Bryant  
Sherry Lynn Carr  
James Travis Cauley  
Robert George Coffman

Owen Stephenson Cox  
Maurice Melvin DeGross  
Thomas Edward Dotson  
William Frederick Gahr  
Ruth Ann Gambill  
William Jerry Hadden  
Neil William Hennessey  
David Michael Lind

John Fannel Lippert  
Carol Jean Lotz  
Grover Louis Mason  
Gerald Lee Owens  
Jimmie Reid Pace  
Raymond Milford Parrott, Jr.  
Robert Ernest Qualls  
Ronald Waldorf Rosenberg

Rinehart Jerome Shepherd  
William Cyril Simpson III  
James Edward Truman  
Arlis Ronald Turner  
Jan Lewis Turner  
Lawrence Gordon Walker, Jr.  
Edward Joseph Winterberg

Office of the President  
September 19, 1967

PR 8

Members, Board of Trustees:

DISABILITY INSURANCE PLAN

Recommendation: that the University of Kentucky establish the Long Term Group Total Disability Income Protection Program, outlined in Exhibits 1 and 2 attached hereto and made a part hereof, for the Faculty and Staff of the University who are eligible for participation in the Group I Retirement Program. The insured portion of this program is to be provided as a supplemental addendum to the TIAA retirement program provisions, and is to be financed by employer contributions only. The effective date of this plan shall be October 1, 1967.

Background: The University for many years has had to deal at various times with the unfortunate problems of a total disability situation arising among its faculty members. There has always been the desire to take care of such a problem in a humane and equitable manner, but each had to be handled on an "individual merit" basis and often this procedure left doubt and anxiety for the individual concerned as to exactly what would happen to him in the future.

The Faculty-Staff Insurance Committee has considered this problem and has proposed the program incorporated herein as the best available solution to the problem of employees who become disabled for lengthy periods of time.

An increasing number of colleges and universities are providing this benefit to their faculty personnel, although it is not yet a predominant benefit feature. Therefore, the establishment of this kind of program at the University of Kentucky will put the University in a leadership role in providing a more complete and total "financial security package" for its key personnel. It will also have a great impact on the University's ability to attract, recruit and retain the most capable and talented Faculty and Staff members available.

Operational details of the program can be found in the material contained in Exhibits 1 and 2.

The funds necessary to implement this highly desirable program are already contained in the University's current budget, thus, an additional allocation will not be required.

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Action: Approved   X   Disapproved            Other                   

Date:   September 19  , 1967

A Proposed Plan  
of  
Group Total Disability Insurance  
Providing  
Monthly Cash Income Benefits  
and  
Waiver of Annuity Premium Benefits  
for  
University of Kentucky

Prepared by

Teachers Insurance and Annuity Association  
730 Third Avenue . New York, N. Y. 10017

May 5, 1967

## Foreword

There is a deep sense of security provided the staff member who knows that even in the face of an illness or accident severe enough to cut off his earning power for life, he and his family will be able to get along reasonably well. The following pages describe a TIAA program designed to provide such security for staff members of your institution.

Relatively few disabilities run beyond six months, but of those that do, some last for five, ten, twenty or more years, and the economic impact on the individual and his family is usually tragic.

The principal reasons for establishing a disability insurance plan are to assure each covered staff member a meaningful income if total long-term disability strikes, and to assure the institution that those staff members becoming disabled will be provided for in an equitable and systematic manner without budgetary strain to the institution and without the embarrassment to the individual and his colleagues of "charity payments." Related reasons for establishing an insured plan are to improve the professional attitude of the entire staff and to assist in attracting promising new staff members to the institution.

It is a pleasure to offer this important new coverage for your consideration.

#### The Definition of Total Disability

Total disability under this program is "the inability of the employee, by reason of sickness or bodily injury, to engage in any occupation for which the employee is reasonably fitted by education, training or experience."

#### The Benefits

When an insured individual has been totally disabled because of injury or illness for six consecutive months, the plan provides the following benefits, which begin on the first of the month following six months of total disability and continue during such disability until he reaches age 65 or until his prior death:

1. A Monthly Income Benefit which, including any income benefits payable from Social Security and Workmen's Compensation, is equal to 60% of the first \$1,000 of his covered monthly salary, plus 40% of covered monthly salary in excess of \$1,000 as of the date the disability began, but not to exceed \$1,500 monthly. In no event will the TIAA Monthly Income Benefit be less than \$50, even though this amount plus Social Security and Workmen's Compensation benefits may bring the disabled person's total income to more than 60% of salary.
2. A Monthly Waiver Benefit of the amount being paid to his TIAA-CREF annuity in accordance with the provisions of the University's retirement plan, but not to exceed 15% of his covered monthly salary as of the date the disability began. This Waiver Benefit is credited as monthly premiums on his annuity, divided between TIAA and CREF, if he participates in CREF, in the same proportion as that being used when disability began.

The covered monthly salary used to determine benefits is one-twelfth of an individual's basic annual salary (exclusive of overtime and other forms of additional compensation).



### The Exclusions

TIAA disability benefits are not payable if total disability results from:

1. Injury or sickness as a result of war, declared or undeclared.
2. Injuries sustained in an accident which occurred or sickness which commenced prior to the date the employee becomes insured under the group policy. This exclusion will not apply, however, to a period of total disability commencing after the employee has been continuously insured for at least one year under any TIAA Group Total Disability insurance policy that provides Monthly Income Benefits nor to a period of total disability commencing one year or more after the effective date of the plan.
3. Intentional self-inflicted injury or sickness.
4. Pregnancy, unless the period of continuous total disability begins after a period of two weeks or more throughout which the employee was actively at work following the termination of such pregnancy.

### When Insurance Becomes Effective

Each eligible staff member is asked to complete an enrollment card prior to the date he becomes eligible. When the institution pays the full cost of the coverage, all covered employees will automatically become insured on the date they become eligible.

If an employee is absent from work on the day he would otherwise become insured, he will become insured on the date he returns to work.

Termination of Insurance

Termination of a staff member's insurance occurs if his active service is terminated, if he ceases his premium contributions, if he ceases to be in a class of employees eligible for coverage, or if the group policy is terminated. Insurance terminated six months before the employee's 65th birthday, since benefits are not payable for the first six months of total disability nor beyond age 65. Termination of an employee's insurance does not affect his benefits for a total disability existing on the date of such termination.

### Eligibility

In accordance with the information you have furnished, the estimated premium rates have been prepared on the assumption that eligibility would be extended to all active full-time employees (except temporary employees) who are members of the faculty, administrative officers, Division Heads or Unit Directors.

Non-faculty employees must work 32 hours or more per week in order to be considered full-time. Although all present full-time employees would be eligible to participate on the effective date of the plan, employees who enter the plan after the group policy has become effective must have at least one year of service in an eligible class before becoming eligible. However, if an employee was insured under another TIAA Group Total Disability Benefits contract within three months of the time he becomes employed in an eligible class, the probationary service requirement will be waived and he will become eligible for insurance as of the first day of the month coinciding with or next following the date of his employment.

When the University pays the entire premium, all eligible staff members will, of course, be covered.

Estimated Premium Rates

The following premium estimates are based on the data furnished to TIAA by University of Kentucky on April 17, 1967, and assume participation by all eligible employees. The precise first-year rates will be calculated when the plan is installed and will be based on the actual enrollment. If the composition of the enrolled group should differ as to age, sex, salary, etc. from the composition of the group upon which this estimate was based, the first-year rates will, of course, reflect the change in risk.

In determining the estimated rates, it was necessary to exclude forty-six (46) employees who will have attained age 64½ as of the effective date of this plan, since they would not be eligible for benefits.

Also, it was assumed that:

1. For the purpose of determining insurance ages, the effective date of this plan will be September 1, 1967.
2. There is no other plan offered through the University which provides total disability income benefits to an employee after he has been disabled for six months.
3. All employees who will become eligible to participate in the TIAA-CREF retirement plan on September 1, 1967, or who are now participating in the TIAA-CREF plan were covered under the Waiver Benefit.
4. The TIAA-CREF annuity premiums for all persons participating in the University's retirement plan equal 15% of salary.
5. The plan will be on self-accounting administration.

Any change in these assumptions may serve to increase or decrease the rates.

The estimated averaged monthly premium rates are as follows:

For Monthly Income Benefit	\$ .50 per \$100 of Monthly Benefits
For Monthly Waiver Benefit	\$ .66 per \$100 of Monthly Benefits

With 100% enrollment of the eligible employees, the estimated total monthly premium for the group would be:

	<u>Total Amount of Benefit</u>	<u>Rates per \$100 of Benefits</u>	<u>Estimated Monthly Premium</u>
Income Benefit for 1,069 employees	\$631,329	\$.50	\$3,156.65
Waiver Benefit for 1,039 employees	\$163,327	\$.66.	<u>\$1,077.96</u>
	Estimated Total Monthly Premium		\$4,234.61

Premium Billing

In order to simplify the administration of the plan TIAA establishes a premium per employee for the Monthly Income Benefit and a premium per employee for the Monthly Waiver Benefit. Based on the number of employees and the monthly premium shown on pages 5 and 5a, the premiums per employee would be as follows:

	<u>Monthly Premium</u>	<u>Number of Employees</u>	<u>Estimated Monthly Premium Per Employee</u>
Income Benefit	\$3,156.65	1,069	\$2.95
Waiver Benefit	\$1,077.96	1,039	\$1.04

Each month the institution will be billed on the basis of the number of employees then insured for each benefit times the premium per employee for that benefit. The premium per employee will normally remain the same for twelve months at a time regardless of salary changes that may occur during the year.

## General Information

### Application

Application for the Disability insurance group policy is made by the institution on a form provided by TIAA.

### Enrollment

TIAA will prepare announcement material describing the plan in non-technical language and will furnish enrollment cards for distribution by your institution to eligible staff members. The enrollment cards serve as the staff member's application for insurance.

No medical examination or evidence of insurability is required, except for staff members who do not apply for the insurance within 31 days after becoming eligible.

### Group Policy and Individual Certificates

TIAA issues a Group Policy to the institution and an individual certificate for each insured employee. The Group Policy is the insuring contract between TIAA and the institution, and the individual certificates summarize the coverage for each participant.

### Administration of the Plan

At the time of installation, TIAA will provide you with a manual describing the day-to-day operation of the plan. Every effort is made to keep accounting and administration simple.

### Benefit Payments

TIAA supplies you with the forms necessary for applying for benefits, together with instructions for their use.

UNIVERSITY OF KENTUCKY  
Supplemental Benefits to TIAA  
Long Term Total Disability Plan

1. When an insured individual suffers an injury or illness resulting in a Total Disability condition as defined, the University shall pay the employee's basic regular full monthly salary for the initial six (6) month period of the disability.
  - a) This benefit period will not be charged against any accumulated short-term Sick Leave Days that the individual may have acquired under that program.
2. Upon completion of the initial six (6) month waiting period under the insured plan, the University will supplement the benefits paid by the insured plan by an amount that will produce a benefit equal to the employee's basic regular full monthly pay for a maximum period of one (1) year.
3. During the twelve (12) months of Total Disability following the initial twelve (12) month period under the insured plan, the University will supplement the benefits paid by the insured plan by an amount that will produce a benefit equal to 90% of the employee's basic regular full monthly pay.
4. During the twelve (12) months of Total Disability following the second twelve (12) month period under the insured plan, the University will supplement the benefits paid by the insured plan by an amount that will produce a benefit equal to 80% of the employee's basic regular full monthly pay.
5. Upon completion of these Supplemental Benefit Periods (a total of three (3) years under the insured portion of the plan), thereafter the employee's only total disability benefit will be as determined by the formula outlined in the insured plan.



Office of the President  
September 19, 1967

PR 9

Members, Board of Trustees:

PROPOSED ACADEMIC ORGANIZATION

Background: the University has been seeking to improve its capacity for instruction, research, and service in large measure by carrying out the mandates of the academic plan endorsed in 1964 by the Board of Trustees. In accepting the implications of the document, Beginning A Second Century, the Board of Trustees, the faculty, and the administration of this University joined together for the purpose of providing the Commonwealth with an academic endeavor of renewed strength and imagination. The most important of the mandates in the 1964 academic plan called for exploration of certain changes in the academic structure of the University.

The first mandate was to establish a clearer differentiation of academic function within the University, especially through improved use of the Community Colleges. To a large extent this has been carried out by such steps as an expanded role for the Community College System, by emphasis upon central review of undergraduate education and graduate education throughout the University, and by the Board of Trustees' recent enunciation of a broadened concept underlying the University's mission of extension and service.

The second charge encouraged the University's revision of its undergraduate studies program. This was accomplished by establishing a series of components within every student's course of study, of which the general studies program was perhaps the most important innovation. All students are now registered for their first two years in the College of Arts and Sciences. Thus, the key responsibility has been assigned to that College for offering all freshmen and sophomores excellent instruction and advisement in those areas of study which the University determined should be a part of any individual's development before the baccalaureate degree would be merited. The various professional colleges all reviewed and modified their programs in the context of this challenge.

The third charge spoke of the need to face adequately the immense complexity today in the broad area of learning which comprises the arts and sciences. The academic plan called for an improved organization of the College of Arts and Sciences. In response came a plan from the faculty of that College which the Board of Trustees adopted, creating seven Schools within the College. These Schools, each under the leadership of a Director who is also an Associate Dean in the College, are now the broad program units from which new strength in teaching, research, and service is emanating from the University's basic studies.

Beyond these three significant goals which the University would now seem to have

largely achieved, the 1964 academic plan also pointed to the need for enhancing the University's capacity to plan for and evaluate its broad academic policy and achievements. The academic plan wisely warned against formulating a plan, launching implementation, and then resting pleased and contented. Thus, the University has recently been studying an adequate plan of academic leadership for the total academic program. This leadership must point the way for the Colleges, the key program units within the University, while at the same time it must ceaselessly review the strengths and limitations of the University's academic endeavor. Consonant with the spirit of the academic plan and the progress made toward its fulfillment, it is important to enhance to the fullest the delegated capacity of the Colleges to pursue their vital responsibilities. Nevertheless, there must be new strength for the system of academic planning and review at the broadest level of the University. It is now evident that the exercise of full responsibility by the Deans of the Colleges for planning, executing, and reviewing the total academic endeavors in their areas would be aided if the Deans had the guidance of a single University-wide academic office which would provide advice and assistance from the crucial vantage of the University's total endeavor in teaching, research, and service.

From this broad context has emanated the decision that the Office of Executive Vice President should be designated as the President's overall academic office. In turn, the President and Executive Vice President should join with several key associates in broadly planning and evaluating the University's academic policy and programs. These associates would be assigned certain responsibilities in the major areas of the academic program. Consequently, the modification of existing offices and the creation of one new one now are necessary if there is to be a rich combination of insight and procedure at the University's broadest stratum of academic planning and implementation. The establishment of new offices reflects a redoubled concern for the importance of undergraduate instruction; the need to strengthen, through direct association, the graduate study and research functions; and the importance of establishing general guidance for University extension within the philosophy of the recently broadened policy.

To assure the most benefit from these functions, an Academic Council will be created and constituted of the President, the Executive Vice President and the offices responsible for undergraduate studies, graduate school and research, the Medical Center, the community colleges, and extension programs together with the Chairman of the University Senate Council. The Academic Council shall be for the President a continuing source of recommendations concerning ways in which the University might continually refine its broad academic goals, develop the policies and procedures for the pursuit of its objectives, and periodically assess the extent to which satisfactory progress is being achieved.

Accordingly, the following explicit recommendations are made to the Board of Trustees. They constitute what is believed to be an improvement of the utmost importance in the University's organization. The modifications in general academic organization are a logical next step as the University meets the challenge of its second century.

Recommendation: 1. That the Office of Executive Vice President shall be designated as the overall academic office of the University. The Executive Vice President shall be responsible to the President for establishing, implementing, and reviewing the general academic policies of the institution. The deans of the various colleges, except those in the Medical Center, shall be responsible to the Office of the Executive Vice President as they direct the affairs of their colleges. The Office of Executive Vice President shall provide general guidance and coordination of the work of all other general academic officials of the University.

2. That there be created a position to be called the Dean of the Graduate School and Vice President for Research. This position shall unite two existing offices now vacant, the Dean of the Graduate School and the Assistant Vice President for Research. The new office shall, under the broad direction of the President and the Executive Vice President, provide general planning, guidance, and review for all of the University's endeavors in graduate instruction and research. This office shall serve as chairman of the Graduate Faculty Council. The University's total research activity shall be responsible to this office, including the activities of the University of Kentucky Research Foundation. By unifying the University's general concern for graduate study and for research, the creation of this position emphasizes the crucial relationship that must prevail between the kindred areas of research and graduate education, both so vital to the University's mission.

3. That there be created a position to be called Dean of Undergraduate Studies. This position shall enlarge upon certain of the concerns recently met by the office of the Provost. The latter designation shall no longer be used after this position is filled. The Dean for Undergraduate Studies shall strive, under the purview of the Executive Vice President, to integrate and strengthen the total undergraduate program of the University. The Undergraduate Council of the University Senate shall function with the Dean for Undergraduate Studies as chairman. The University's increasing activity in improved use of instructional resources and in instructional research, including the development of a residential college system, shall be directed by the Dean for Undergraduate Studies. He shall also be responsible generally for the Honors Program. The creation of this office signifies the University's keen appreciation of the importance of providing every support and encouragement for excellent undergraduate study. The latter deserves consideration no less than that given graduate study and research.

The Board has already approved the establishment of a University-wide office for coordination of total University extension and service programs. This office under the guidance of the President and the Executive Vice President, shall implement the University's broadened concept of professional service throughout the Commonwealth. He shall make certain that this capacity for service is never far from the University's best talents in the academic fields involved. A sound academic basis is the only sure foundation for the extension and service programs of the University.

5. That the Vice President of the Medical Center shall continue his responsibilities

for academic leadership under the general direction of the President and Executive Vice President. The Deans of the several Colleges and Schools within the Medical Center shall continue to report directly to the Vice President for the Medical Center. The Medical Center Faculty Council shall function under the chairmanship of the Vice President for the Medical Center.

6. That the Dean of the Community College System shall pursue his responsibilities under the guidance of the President and the Executive Vice President. There shall be developed a strengthened role for a Community College Council which shall then proceed under the auspices of the Dean of the Community College System.

7. That there be established an entity for the general guidance and review of the total academic program of the University. This entity, to be known as an Academic Council, shall be chaired by the Executive Vice President. The members shall be the President, the Dean for Undergraduate Studies, the Dean of Graduate School and Vice President for Research, Extension Officer, the Vice President for the Medical Center, the Dean of the Community College System, and the Chairman of the University Senate Council. The Academic Council shall advise the President and Executive Vice President on all relevant matters of the University's academic program. Through the Executive Vice President, the Academic Council shall serve the President and the Board of Trustees as the central source of recommendations on all general phases of the University's endeavors in teaching, research, and service. These three aspects of the academic program, each equally vital, shall through the Academic Council receive the needed planning and coordination, on a plane that extends across the campus and the Commonwealth itself.

8. That the creation of this organization for general academic leadership within the University of Kentucky shall be effective immediately except that the position of Provost will continue with its present incumbent until the Dean of Undergraduate Studies is appointed.

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Action: Approved   X   Disapproved            Other           

Date:   September 19  , 1967

# PROPOSED ACADEMIC ADMINISTRATIVE ORGANIZATION

