

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 7, 1981.

The Board of Trustees of the University of Kentucky met in regular statutory session at 2 p.m. (Eastern Standard Time) on Tuesday, April 7, 1981 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus.

A. Meeting Opened and Acting Secretary Appointed

Mr. Sturgill, Chairman, called the meeting to order at 2 p.m. and the invocation was pronounced by Mr. McBayer. The Chairman, in the absence of the Secretary of the Board of Trustees, Mrs. Betty Pace Clark, appointed Professor Constance P. Wilson as Acting Secretary of the Board of Trustees.

B. Oath of Office Administered

Mr. Sturgill welcomed the new members of the Board of Trustees and requested Mr. John Darsie, General Counsel, to administer the oath of office to the following:

Mr. William R. Black, alumni representative, reappointed by Governor John Y. Brown, Jr. for a term expiring December 31, 1986,

Mr. Albert G. Clay, reappointed by Governor Brown for a term expiring December 31, 1986,

Mr. Robert T. McCowan, appointed by Governor Brown to replace Dr. David A. Hull, for a term expiring December 31, 1986,

Professor William F. Wagner, faculty representative elected to replace Professor Michael Adelstein, for a term expiring June 30, 1983,

Professor Constance P. Wilson, faculty representative reelected for a term expiring June 30, 1984.

C. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Albert G. Clay, Professor Opal Skaggs Conley, Mr. Tracy Farmer, Mrs. Edythe Jones Hayes, Mrs. Sally Hermansdorfer, Mr. Thomas B. Kessinger, Mr. W. Terry McBayer, Mr. Robert T. McCowan, Mr. Frank Ramsey, Mr. Homer W. Ramsey, Mr. Brad Sturgeon, Professor William F. Wagner, Professor Constance P. Wilson, and Mr. William T. Young. Absent from the meeting were: Governor Albert Benjamin Chandler, Mrs. Betty Pace Clark, Mr. A. Stevens Miles, and Mr. W. B. Terry. The University administration was represented by President Otis A. Singletary; Vice Presidents Peter P. Bosomworth, Donald B. Clapp, Lewis W. Cochran, Raymond R. Hornback, John T. Smith, Charles T. Wethington, and Robert G. Zumwinkle; Dr. Art Gallaher, Vice President-

Designate; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John C. Darsie, General Counsel. Members of the various news media were also in attendance. The Secretary reported a quorum present and the Chairman declared the meeting officially open for the conduct of business at 2:03 p.m.

D. Minutes Approved

The Minutes of the March 10, 1981 meeting of the Executive Committee of the Board of Trustees were approved without objection.

E. President's Report to the Trustees (PR 1)

President Singletary reviewed some of the items in his monthly report to the Trustees, calling particular attention to: the five faculty members (Professors Charles W. Ellinger, William C. Lubawy, Robert K. Berry, Alice A. Cleveland, and Michael B. Coyle) who have been selected as the 1981 Great Teachers; the retirement dinner and symposium on April 9 and 10 in honor of Dr. Lewis W. Cochran; the second annual UK Day scheduled for Sunday, April 26; and the 17 distinguished journalists who will be honored as the first inductees into the new Kentucky Journalism Hall of Fame next Monday, April 13. Upon the recommendation of President Singletary, the Chairman received the report and ordered it filed.

F. Recommendations of the President (PR 2)

Although most of the items in PR 2 were routine in nature, President Singletary did call attention to the appointment of Mr. Shirley H. Phillips as Associate Director of the Cooperative Extension Service in the College of Agriculture, and the appointment of Richard C. Domek, Associate Professor in the Department of Music, as Acting Dean of the College of Fine Arts. With President Singletary so recommending, on motion by Mr. Black, seconded by Mr. Homer Ramsey and passed, PR 2 was approved. (See PR 2 at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3)

Noting that PR 3 contained the appointment of John N. Walker, Professor in the Department of Agricultural Engineering, as Acting Director of the Institute of Mining and Minerals Research, President Singletary recommended its approval. On motion by Mr. Clay, seconded by Mr. Sturgeon and passed, PR 3 was approved. (See PR 3 at the end of the Minutes.)

H. 1980-81 Budget Revisions (PR 4)

On motion by Mr. Young, seconded by Mr. Kessinger and passed, the budget revisions, as recommended in PR 4, were authorized and approved. (See PR 4 at the end of the Minutes.)

I. Patent Assignment (PR 5)

The recommendation in PR 5 regarding patent assignment being of a routine nature, President Singletary recommended approval. On motion by Mrs. Hermansdorfer, seconded by Mr. McBrayer, and passed, PR 5 was approved. (See PR 5 at the end of the Minutes.)

J. Proposed Amendments to the Governing Regulations (PR 6)

President Singletary recommended that the proposed amendments to the Governing Regulations which were received and tabled at the February 9, 1981 meeting of the Board be removed from the table and approved. The proposed amendments will provide consistency between the Governing Regulations of the University and the University's current practice. Mrs. Hayes so moved. Her motion was seconded by Professor Conley and passed. (See PR 6 at the end of the Minutes.)

K. Reestablishment of Department of Telecommunications (PR 7)

President Singletary recommended that the Board approve the reestablishment of the Department of Telecommunications as an educational unit in the College of Communications, effective with the appointment of a chairperson or acting chairperson for the department. This proposal has been reviewed by appropriate administrators and committees, and has been recommended for approval by the University Senate. On motion by Mr. Black, seconded by Mr. Homer Ramsey and passed, the reestablishment of the Department of Telecommunications was approved. (See PR 7 at the end of the Minutes.)

L. University Research Professorships (PR 8)

President Singletary said it was with much pleasure that he was recommending approval of three University Research Professorships for 1981-82 to be awarded to the following professors in recognition of their outstanding research achievements: William Gragg, Department of Mathematics; Jerome Mechier, Department of English; and Professor Clasine van Winter, Departments of Physics and Mathematics. The three recipients were present at the meeting and were introduced. Following a round of applause for the three faculty members, Mr. Sturgill called for a motion for approval of the naming of these individuals as University Research Professors for 1981-82. Professor Wilson so moved. Her motion was seconded by Professor Wagner and passed unanimously. (See PR 8 at the end of the Minutes.)

M. Honorary Degree Recipients (PR 9)

President Singletary recommended that approval be granted for awarding the honorary degree of Doctor of Letters to Mrs. Harriette Louisa Simpson Arnow; Doctor of Letters to Dr. Daniel J. Boorstin; Doctor of Law to Mr. James C. Bowling; Doctor of Law to the Honorable John Y. Brown, Jr.; and the Doctor of Science to Dr. Stephen Diachun. The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to these persons and these two bodies have expressed their approval of the recommendation. Mr. Clay so moved. His motion was seconded by Mrs. Hermansdorfer and passed unanimously. (See PR 9 at the end of the Minutes.)

N. Report on Tobacco and Health Research Institute

President Singletary reported on the recent developments at the Tobacco and Health Research Institute. He pointed out that the current investigation, which resulted from rumors, anonymous letters, and comments of concern, was incomplete. He has turned over information to the campus police dealing with the security of

individuals and added that additional security for the building itself has been provided. In addition, at the request of Dr. Gary Huber, President Singletary arranged for an audit of the Institute. Because of various charges, rumors and anonymous communications, President Singletary assigned the General Counsel of the University, Mr. John Darsie, to conduct a thorough investigation of affairs at the Institute. A substantial number of contradictory and conflicting allegations have resulted from this investigation which range from statements that could be interpreted as personal dislike for the Director, to questions that suggest mal-administration, to questions that raise the possibility of violations of law, to allegations about the research program itself. Some of the allegations were found to be trivial or frivolous and some others were not. President Singletary expressed his concern about the allegations of possible violation of law and of administrative practices which are unacceptable to the University.

President Singletary then read the following statement, copies of which were distributed to the news media:

In the course of this investigation, certain matters have come to light which raise serious administrative concerns as well as questions of possible violations of law. The information relating to the administrative concerns developed in the investigation has of course been considered in the decisions to be announced here today. The University cannot make the ultimate decisions concerning legal issues. Therefore, with respect to these aspects of the investigation, we have and will continue to cooperate with the proper authorities.

On the recommendation of Dr. Wimberly Royster, Graduate Dean who has the administrative responsibility for the Tobacco and Health Research Institute, I am taking the following actions:

1. I am temporarily reassigning Dr. Gary L. Huber, Director of the Tobacco and Health Research Institute, and Mr. Val E. Pochay, Associate Director. Dr. Huber is being reassigned to duties in the College of Medicine where he holds a tenured professorship. Mr. Pochay is being reassigned to duties in the office of the Graduate Dean. Both actions are effective immediately.

2. I have asked Dr. Layten Davis, Professor of Agronomy, to serve as temporary acting Director of the Tobacco and Health Research Institute. Dr. Davis, a widely respected authority in tobacco leaf chemistry, has accepted the temporary appointment which becomes effective immediately. I have asked Mr. Joe Burch to oversee the day-to-day administrative responsibilities at the Institute, beginning immediately. Mr. Burch, who has ably served in a number of administrative capacities on this campus and is currently Dean of Students, is an experienced and respected administrator.

3. Because of certain allegations about the research program itself, I expect, in the immediate future, to appoint a committee of scientists to make a technical evaluation of the research programs of the Institute and to report their findings and recommendations to me. I will share this information with the Kentucky Tobacco Research Board.

In the meantime, both the audit and the investigation will be continued. I will have no further comments on the audit or the investigation until their completion.

President Singletary said he wished to add for amplification to the Board that his action today should not be misunderstood or misinterpreted. He stressed that this does not represent any final action and it does not and should not be interpreted as indicating that there have, in fact, been violations of law. Neither does his action today indicate that the University has made any final decision about the directorship of the Institute.

President Singletary pointed out that when Dr. Gary Huber came to the University, he came into a situation that was deteriorating. The former director was suffering from a terminal illness over a prolonged period of time. He said it was obvious that the leadership had faltered. Dr. Huber did, in fact, take some actions at the Institute which were very strong in the deletion of the positions of some 20 or more persons. This action generated a certain amount of hostility. President Singletary feels Dr. Huber has been subjected to a persistent campaign of harassment and he thinks these things should be recognized.

President Singletary concluded by stating that his action today, based on several discussions and with the recommendation of Dean Royster, is believed to be a prudent administrative action which is in the best interest of the University and all concerned, and is aimed primarily at the necessity to insure that the program itself continues to function.

The Chairman thanked President Singletary for his report.

O. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the committee had met on the morning of April 7 and considered four items to be presented for action, the first of which was the Interim Financial Report for the period ending February 28, 1981. The Committee found the University to be "on target" and Mr. Clay moved that the report be accepted. His motion, seconded by Mr. Kessinger, passed and the report was accepted. (See FCR 1 at the end of the Minutes.)

P. Financial Statement of Spindletop Hall, Inc. (FCR 2)

On motion by Mr. Clay, seconded by Mr. Farmer and passed, the Board of Trustees accepted the financial statement of Spindletop Hall, Inc. for the year ended December 31, 1980.

Q. Authorization to Expend from Maintenance Reserve by Sigma Alpha Epsilon Fraternity (FCR 3)

Acting on the recommendation of the Finance Committee, Mr. Clay moved that the Vice President for Business Affairs and Treasurer be authorized to request funds from the "Maintenance Reserve Account" as recommended in FCR 3 for the purpose of paying the cost of replacing two water heaters at the Sigma Alpha Epsilon Fraternity House. His motion was seconded by Mr. Frank Ramsey and passed with all present voting "aye". (See FCR 3 at the end of the Minutes.)

R. Resolution Approving Report of the Director, Auxiliary Services, Reciting Inspection of the Housing and Dining Buildings and Appurtenant Facilities of the University's Housing and Dining System, Previously Approved by the President as Required in Connection with the Delivery to the Purchasers of the University's \$4,200,000 "Housing and Dining System Revenue Bond Anticipation Notes, Series L," Sold at Public Sale on March 10, 1981 (FCR 4)

The members of the Board were reminded that the Executive Committee at its meeting held on March 10, 1981, awarded at public sale the University's \$4,200,000 Housing and Dining System Revenue Bond Anticipation Notes, Series L. The Series L Notes were sold for the purpose of providing for payment of the costs of constructing an addition to the University's Student Center.

One of the conditions set forth in the Board's June 1, 1965, Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, creating and establishing the issue of "Housing and Dining System Revenue Bonds," is that each Series of Bonds may rank on a basis of parity as to security and source of payment with all previously issued Bonds only if there is a written report, made by a proper member of the University's staff, approved by the President, and submitted to and approved by the Board, showing that there has been a physical inspection of all the facilities of the System and that they are found to be in a good and tenantable state of repair, ordinary wear and tear excepted.

Mr. Albert Clay tendered to the Board such a certificate made under date of March 23, 1981, signed by the Director, Auxiliary Services, and bearing the written endorsement of approval of the President. He requested that the same be approved by the Board.

Mr. Clay moved that the tendered certificate of the Director, Auxiliary Services, be approved, and his motion was seconded by Mr. Homer Ramsey. After discussion, the Chairman put the question and upon call of the roll, the motion was approved by unanimous vote of all members present. (See FCR 4 at the end of the Minutes.)

S. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded and carried, the Chairman declared the meeting adjourned at 2:25 p.m.

Respectfully submitted,

Constance P. Wilson  
Acting Secretary  
Board of Trustees

(PR's 2, 3, 4, 5, 6, 7, 8, and 9; and FCR's 1, 2, 3 and 4 which follow are official parts of the Minutes of the Meeting.)

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. Academic Appointments

MEDICAL CENTER

College of Pharmacy

Schneller, George H., Visiting Professor, 3/1/81  
through 6/30/81.



B. Administrative Appointments

DIVISION OF COLLEGES

College of Agriculture

Phillips, Shirley H., Extension Specialist (with tenure), Agronomy and Assistant Director - Cooperative Extension for Agriculture, appointed Associate Director of Kentucky Cooperative Extension Service, effective 3/24/81.

COMMUNITY COLLEGE SYSTEM

Lexington Technnical Institute

Webb, Carol, Associate Professor in the Community College System (with tenure), named Division Chairman, Division of Business and Related Technologies, 7/1/81 through 6/30/84.

C. Reappointments

DIVISION OF COLLEGES

College of Agriculture

Poonacha, Kockanda B., Associate Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.  
Rice, Harold B., Associate Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

Pisaneschi, Janet, Associate Professor\*, Allied Health Education and Research, 7/1/81 through 6/30/82.

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\*Special Title Series

College of Medicine

Galardy, Richard E., Associate Professor, Biochemistry,  
7/1/81 through 6/30/82.  
Shafer, James W., Associate Professor\*, Ophthalmology,  
7/1/81 through 6/30/82.  
Stevens, David B., Associate Professor\*, Surgery, 7/1/81  
through 6/30/82.

College of Nursing

Baker, Alma W., Associate Professor\*, 7/1/81 through  
6/30/82.  
Gartner, Claudine, Associate Professor (part-time),  
7/1/81 through 6/30/82.

D. TenureMEDICAL CENTERCollege of Nursing

Lee, Gwendolyn, Associate Professor\* (with tenure),  
effective 7/1/81.

E. PromotionsDIVISION OF COLLEGESCollege of Arts and Sciences

Hoverland, Lilian, from Assistant Professor (without  
tenure), Germanic Languages and Literatures, to  
Associate Professor (with tenure), Germanic  
Languages and Literatures, effective 7/1/81.  
MacAdam, Keith B., from Assistant Professor (without  
tenure), Physics and Astronomy, to Associate  
Professor (with tenure), Physics and Astronomy,  
effective 7/1/81.  
Popkin, Jeremy D., from Assistant Professor (without  
tenure), History, to Associate Professor (with  
tenure), History, effective 7/1/81.  
Prats, Armando J., Assistant Professor (without tenure),  
English, to Associate Professor (with tenure),  
English, effective 7/1/81.

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\*Special Title Series

MEDICAL CENTERCollege of Dentistry

- Lillich, Thomas T., from Associate Professor, (with tenure), Oral Biology, and Assistant Professor, Department of Medical Microbiology and Immunology, College of Medicine, to Professor (with tenure), Oral Biology, and Assistant Professor, Medical Microbiology and Immunology, College of Medicine, effective 7/1/81.
- Matheny, James L., from Associate Professor (with tenure), Oral Biology, to Professor (with tenure), Oral Biology, effective 7/1/81.
- Webster, David B., from Assistant Professor (with tenure), Community Dentistry, to Associate Professor (with tenure), Community Dentistry, effective 7/1/81.

College of Medicine

- Cottrill, Carol, from Assistant Professor (without tenure), Pediatrics, to Associate Professor (with tenure), Pediatrics, effective 7/1/81.
- Dougherty, John A., from Assistant Professor (Adjunct Series), Psychiatry, joint with Pharmacology, to Associate Professor (Adjunct Series), Psychiatry, joint with Pharmacology, 7/1/81 through 6/30/82.
- Neill, John R., from Assistant Professor (without tenure), Psychiatry and Assistant Professor, Department of Allied Health Education and Research, College of Allied Health Professions, to Associate Professor (with tenure), Psychiatry and Assistant Professor, Department of Allied Health Education and Research, College of Allied Health Professions, effective 6/1/81.
- Overman, Timothy L., from Assistant Professor (Adjunct Series), Pathology, joint with Department of Medical Technology, College of Allied Health Professions, to Associate Professor, (Adjunct Series), Pathology, joint with Department of Medical Technology, College of Allied Health Professions, 7/1/81 through 6/30/82.

Sniecinski, Irena J., from Assistant Professor\* (without tenure), Pathology, joint with Department of Medical Technology, College of Allied Health Professions, to Associate Professor\* (with tenure), Pathology, joint as Assistant Professor, Department of Medical Technology, College of Allied Health Professions, effective 7/1/81.

Todd, Edward P., from Associate Professor (with tenure), Surgery, to Professor (with tenure), Surgery, effective 7/1/81.

College of Nursing

Robinson, Mary Kathryn, from Assistant Professor\* (without tenure), to Associate Professor\* (with tenure), effective 7/1/81.

Sheahan, Sharon, from Assistant Professor\* (without tenure), to Associate Professor\* (with tenure), effective 7/1/81.

ADMINISTRATIVE ACTION

F. Academic Appointments

DIVISION OF COLLEGES

College of Agriculture

Eizenga, Georgia, Assistant Professor (Adjunct), Agronomy, 1/11/81 through 6/30/82.

Grove, John Hamman, Assistant Professor, Agronomy, 4/1/81 through 6/30/82.

MacKown, Charles T., Assistant Professor (Adjunct), Agronomy, 10/6/80 through 6/30/82.

Graduate Center for Toxicology

Enoch, Harry G., Assistant Professor (Adjunct) (part-time), effective 1/1/81.

College of Law

Welling, Sarah N., Assistant Professor, 8/16/81 through 6/30/82.

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\*Special Title Series

College of Social Work

Erwin, Anne Barrett, Assistant Professor (part-time),  
3/1/81 through 6/30/81.

MEDICAL CENTERCollege of Allied Health Professions

Klein, Bruce, Clinical Instructor (voluntary), Physical  
Therapy, 1/31/81 through 6/30/82.

College of Dentistry

Clements, John, Clinical Instructor (voluntary),  
Endodontics, 3/15/81 through 6/30/83.

College of Medicine

Combs, James L., Assistant Clinical Professor  
(voluntary), Community Medicine, 3/1/81 through  
6/30/81.

Hughes, Donald G., Assistant Clinical Professor  
(voluntary), Family Practice, 3/1/81 through  
6/30/82.

Whalen, Frank, Jr., Assistant Clinical Professor  
(voluntary), Family Practice, 3/1/81 through  
6/30/82.

COMMUNITY COLLEGE SYSTEMAshland Community College

Buchanan, Edward, Instructor in the Community College  
System (voluntary), 1/1/81 through 5/31/81.

Jefferson Community College

Cooke, Morrison L., Instructor in the Community College  
System (temporary), 2/13/81 through 5/31/81.

Somerset Community College

Bottom, James G., Instructor in the Community College  
System (voluntary), 2/1/81 through 5/31/81.

G. Administrative AppointmentDIVISION OF COLLEGESCollege of Fine Arts

Domek, Richard C., Jr., Associate Professor, Music,  
named Acting Dean, College of Fine Arts, 7/1/81  
through 6/30/82.

H. Non-Academic AppointmentsMEDICAL CENTERVice President for the Medical Center

Polk, L. Reed, Jr., Director, Medical Center Office of  
Public Information, effective 3/2/81.

I. ReappointmentsDIVISION OF COLLEGESCollege of Agriculture

Allen, George P, Assistant Professor, Veterinary  
Science, 7/1/81 through 6/30/82.  
Anderson, Kim Barry, Assistant Extension Professor\*,  
Agricultural Economics, 7/1/81 through 6/30/82.  
Bailey, Ernest F., Assistant Professor, Veterinary  
Science, 7/1/81 through 6/30/82.  
Bjorklund, Gail J., Assistant Extension Professor\*, Home  
Economics Program, 7/1/81 through 6/30/82.  
Bokemeier, Janet L., Assistant Professor, Sociology,  
7/1/81 through 6/30/82.  
Brown, Grayson C., Assistant Professor, Entomology,  
7/1/81 through 6/30/82.  
Carl, Ella Kay, Assistant Extension Professor\*,  
Agricultural Economics, 7/1/81 through 6/30/82.  
Chang, Sun Joseph, Assistant Professor, Forestry, 7/1/81  
through 6/30/82.  
Clark, Perry Wilson, Assistant Extension Professor\*,  
Animal Science, 7/1/81 through 6/30/82.  
Colliver, Donald G., Assistant Professor, Agricultural  
Engineering, 7/1/81 through 6/30/82.  
Cunningham, Shirley A., Assistant Extension Professor\*,  
Agricultural Economics, 7/1/81 through 6/30/82.

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\*Special Title Series

Dawson, Karl A., Assistant Professor, Animal Science, 7/1/81 through 6/30/82.

Donahue, James M., Assistant Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.

Douglas, Robert H., Assistant Professor, Veterinary Science, 7/1/81 through 6/30/82.

Dunwell, Winston C., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.

Evangelou, V. P., Assistant Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.

Ferriss, Richard S., Assistant Professor, Plant Pathology, 7/1/81 through 6/30/82.

Fetsch, Robert J., Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Flashman, Robert H., Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Forester, Janice E., Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Fountain, William M., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/81 through 6/31/82.

Garkovich, Lorraine E., Assistant Professor, Sociology, 7/1/81 through 6/30/82.

Harmon, Robert J., Assistant Professor, Animal Science, 7/1/81 through 6/30/82.

Hensley, David L., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.

Hilliker, Jo Ann, Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Hong, C. B., Assistant Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.

Householder, Dean D., Assistant Extension Professor\*, Animal Science, 7/1/81 through 6/30/82.

Jacques, Robert Mark, Assistant Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.

Johnson, Douglas W., Assistant Extension Professor\*, Entomology, 7/1/81 through 6/30/82.

Katsigianis, Theodore S., Assistant Extension Professor\*, Animal Science, 7/1/81 through 6/30/82.

Liu, Calvin J., Assistant Professor, Forestry, 7/1/81 through 6/30/82.

Lin, Paul P. C., Assistant Professor, Agronomy, 7/1/81 through 6/30/82.

Martin, James R., Assistant Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.

Maurer, Richard C., Assistant Extension Professor\*, Sociology, 7/1/81 through 6/30/82.

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\*Special Title Series

McComb, William C., Assistant Professor, Forestry, 7/1/81 through 6/30/82.

Medlen, Linda S., Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Meyer, A. Lee, Assistant Extension Professor\*, Agricultural Economics, 7/1/81 through 6/30/82.

Miller, Robert D., Assistant Professor, Agronomy, 7/1/81 through 6/30/82.

Moore, Ian D., Assistant Professor, Agricultural Economics, 7/1/81 through 6/30/82.

Muir, William M., Assistant Professor, Animal Science and Assistant Professor, Department of Statistics, College of Arts and Sciences, 7/1/81 through 6/30/82.

Muller, Robert N., Assistant Professor, Forestry, 7/1/81 through 6/30/82.

Muntifering, Russell B., Assistant Professor, Animal Science, 7/1/81 through 6/30/82.

Nesmith, William C., Assistant Extension Professor\*, Plant Pathology, 7/1/81 through 6/30/82.

Nielson, Mark T., Assistant Professor, Agronomy, 7/1/81 through 6/30/82.

Olson, James R., Assistant Professor, Forestry, 7/1/81 through 6/30/82.

Orf, James H., Assistant Professor, Agronomy, 7/1/81 through 6/30/82.

Perry, Charles S., Assistant Professor, Sociology, 7/1/81 through 6/30/82.

Potter, Daniel A., Assistant Professor, Entomology, 7/1/81 through 6/30/82.

Prickett, Patricia, Assistant Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.

Quick, J. Samuel, III, Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

Rasnake, Monroe, Assistant Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.

Reed, Michael Robert, Assistant Professor, Agricultural Economics, 7/1/81 through 6/30/82.

Riggins, Steven K., Assistant Extension Professor\*, Agricultural Economics, 7/1/81 through 6/30/82.

Scarfo, Robert A., Assistant Professor, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.

Shurley, William D., Assistant Extension Professor\*, Agricultural Economics, 7/1/81 through 6/30/82.

Smith, M. Scott, Assistant Professor, Agronomy, 7/1/81 through 6/30/82.

Smith, Patty Rai, Assistant Extension Professor\*, Home Economics Extension, 7/1/81 through 6/30/82.

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\*Special Title Series



Snyder, John C., Assistant Professor, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.  
 Southerland, Robert J., Assistant Professor, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.  
 Strang, John G., Assistant Extension Professor\*, Horticulture and Landscape Architecture, 7/1/81 through 6/30/82.  
 Taraba, Joseph L., Assistant Extension Professor\*, Agricultural Engineering, 7/1/81 through 6/30/82.  
 Thom, William O., Assistant Extension Professor\*, Agronomy, 7/1/81 through 6/30/82.  
 Townsend, Lee H., Assistant Extension Professor\*, Entomology, 7/1/81 through 6/30/82.  
 Tramontin, Robert R., Assistant Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.  
 Zavos, Panayiotis, Assistant Professor, Animal Science, 7/1/81 through 6/30/86.

#### Libraries

Davis, Mary, Librarian III, Acquisitions Library, 7/1/81 through 6/30/82.  
 Galik, Barbara A., Librarian III, King Reference Library, 7/1/81 through 6/30/82.  
 Grissom, Bradley O., Librarian III, Agricultural Library, 7/1/81 through 6/30/82.  
 Keller, Tari Scott, Librarian III, Cataloging Library, 7/1/81 through 6/30/82.  
 Lach, Michael, Jr. Librarian III, Director's Office, 7/1/81 through 6/30/82.  
 Munoff, Gerald J., Librarian IV, Special Collections, 7/1/81 through 8/31/81.  
 O'Brien, Maureen, Librarian IV, Special Collections, 7/1/81 through 8/31/81.  
 Orf, Janice, Librarian IV, Extension Library, 7/1/81 through 6/30/82.  
 Pivarnik, Janis G., Librarian III, Government Publications, 7/1/81 through 6/30/82.  
 Ricker, Ann, Librarian IV, Reference Library, 7/1/81 through 6/30/82.  
 Schaplowsky, Alan, Librarian IV, Cataloging Library, 7/1/81 through 6/30/82.  
 Webb, Geraldine, Librarian III, Cataloging Library, 7/1/81 through 6/30/82.  
 Wiza, Judith M., Librarian IV, Reference Library, 7/1/81 through 6/30/82.

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\*Special Title Series

MEDICAL CENTERCollege of Allied Health Professions

Gorman, Linda, Instructor, Medical Technology, 7/1/81 through 6/30/82.  
 Lyons, Lynne H., Assistant Professor\*, Medical Technology, 7/1/81 through 6/30/82.  
 Sherer, Dale, Assistant Professor\*, Clinical Nutrition, 7/1/81 through 6/30/82.

College of Dentistry

Damm, Douglass D., Assistant Professor, Oral Pathology, 7/1/81 through 6/30/82.

College of Medicine

Allen, John R., Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Bayani, A. M. Assistant Professor, Anesthesiology, 7/1/81 through 6/30/82.  
 Bean, James R., Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Bell, Richard M., Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Blum, Richard A., Assistant Professor\*, Pediatrics, 7/1/81 through 6/30/82.  
 Caldrony, Ralph D., Assistant Professor\*, Medicine, 7/1/81 through 6/30/82.  
 Chin, Hong W., Assistant Professor; Radiation Medicine, 7/1/81 through 6/30/82.  
 Cibull, Michael L., Assistant Professor, Pathology, 7/1/81 through 6/30/82.  
 David, Alan K., Assistant Professor\*, Family Practice, 7/1/81 through 6/30/82.  
 DeKosky, Steven T., Assistant Professor, Neurology, joint with Anatomy, 7/1/81 through 6/30/82.  
 Dewalt, Kathleen M., Assistant Professor, Behavioral Science, joint with Department of Anthropology, College of Arts and Sciences, 7/1/81 through 6/30/82.  
 Domstad, Peggy, Assistant Professor, Radiation Medicine, 7/1/81 through 6/30/82.  
 Flanigan, Robert C., Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Gold, David, Assistant Professor, Pathology, 7/1/81 through 6/30/82.

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\*Special Title Series

Goldstein, Steven J., Assistant Professor, Diagnostic Radiology, 7/1/81 through 6/30/82.

Hall, Phillip L., Assistant Professor, Anesthesiology, 7/1/81 through 6/30/82.

Hall, Tom M., Assistant Professor, Psychiatry, 7/1/81 through 6/30/82.

Hanson, Michael, Assistant Professor, Obstetrics and Gynecology, 7/1/81 through 6/30/82.

Holliday, William C., Assistant Professor\*, Psychiatry, 7/1/81 through 6/30/82.

Iwamoto, Edgar T., Assistant Professor, Pharmacology, 7/1/81 through 6/30/82.

Jacob, Robert J., Assistant Professor, Pathology, 7/1/81 through 6/30/82.

Johnson, Gregory, Assistant Professor, Pediatrics, 7/1/81 through 6/30/82.

Kasarskis, Edward J., Jr., Assistant Professor, Neurology, 7/1/81 through 6/30/82.

Kenady, Daniel C., Assistant Professor, Surgery, 7/1/81 through 6/30/82.

Kistler, Lane A., Assistant Professor, Medicine, 7/1/81 through 6/30/82.

Kocoshis, Samuel A., Assistant Professor, Pediatrics, 7/1/81 through 6/30/82.

Koffler, Bruce R., Assistant Professor, Ophthalmology, 7/1/81 through 6/30/82.

Kraman, Stephen, Assistant Professor, Medicine, 7/1/81 through 6/30/82.

Kung, Marcus, Assistant Professor, Medicine, 7/1/81 through 6/30/82.

Lastimoso, Augusto Cezar Baxa, Assistant Professor, Neurology, 7/1/81 through 6/30/82.

Lee, Lu-Yuan, Assistant Professor, Physiology and Biophysics, 7/1/81 through 6/30/82.

Lee, Tai-Shion, Assistant Professor, Anesthesiology, 7/1/81 through 6/30/82.

Legan, Sandra J., Assistant Professor, Physiology & Biophysics, 7/1/81 through 6/30/82.

Leichter, Steven B., Assistant Professor, Medicine, 7/1/81 through 6/30/82.

Leigh, Margaret W., Assistant Professor\*, Pediatrics, 7/1/81 through 6/30/82.

Leigh, Terrence M., Assistant Professor\*, Behavioral Science, 7/1/81 through 6/30/82.

Loh, Fang-Kum, Assistant Professor, Diagnostic Radiology, 7/1/81 through 6/30/82.

Mahler, D. Mark, Assistant Professor, Family Practice, joint with Pediatrics, 7/1/81 through 6/30/82.

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\*Special Title Series

Martin, Catherine A., Assistant Professor, Psychiatry,  
 7/1/81 through 6/30/82.  
 Mattingly, Sally S., Assistant Professor\*, Surgery,  
 7/1/81 through 6/30/82.  
 Mattingly, William T., Jr., Assistant Professor,  
 Surgery, 7/1/81 through 6/30/82.  
 Mendiondo, Oscar A., Assistant Professor, Radiation  
 Medicine, 7/1/81 through 6/30/82.  
 Millett, Adrienne J., Assistant Professor,  
 Ophthalmology, 7/1/81 through 6/30/82.  
 Natarajan, Gangaiyah, Assistant Professor, Medicine,  
 7/1/81 through 6/30/82.  
 Natarajan, Lakshmi, Assistant Professor, Medicine,  
 7/1/81 through 6/30/82.  
 Nelson, Milton O., Jr., Assistant Professor\*, Medicine,  
 7/1/81 through 6/30/82.  
 Nicholls, Paul J., Assistant Professor, Surgery, 7/1/81  
 through 6/30/82.  
 O'Connor, William, Assistant Professor, Pathology,  
 7/1/81 through 12/31/81.  
 Oeltgen, Peter R., Assistant Professor, Pathology, joint  
 with Department of Medical Technology, College of  
 Allied Health Professions, 7/1/81 through 6/30/82.  
 Owens, Eddie L., Assistant Professor, Anesthesiology,  
 7/1/81 through 6/30/82.  
 Perdue, Michael L., Assistant Professor, Pathology,  
 7/1/81 through 6/30/82.  
 Plascik, Michael T., Assistant Professor, Pharmacology,  
 7/1/81 through 6/30/82.  
 Primus, F. James, Assistant Professor, Pathology, 7/1/81  
 through 6/30/82.  
 Rehm, Stanley R., Assistant Professor, Medicine, 7/1/81  
 through 6/30/82.  
 Riegler, Sandra L., Assistant Professor\*, Medicine,  
 joint with College of Allied Health Education and  
 Research, College of Allied Health Professions,  
 7/1/81 through 6/30/82.  
 Robertson, H. David, Assistant Professor\*, Medicine,  
 7/1/81 through 6/30/82.  
 Rush, Michael E., Assistant Professor, Anatomy, 7/1/81  
 through 6/30/82.  
 Scheff, Stephen W., Assistant Professor, Anatomy, joint  
 with Neurology, 7/1/81 through 6/30/82.  
 Serwint, Michael S., Assistant Professor\*, Medicine,  
 7/1/81 through 6/30/82.  
 Shier, Robert W., Assistant Professor, Obstetrics and  
 Gynecology, 7/1/81 through 6/30/82.  
 Sjogren, Staffan, Assistant Professor, Anesthesiology,  
 7/1/81 through 6/30/82.

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\*Special Title Series

Sopori, Mohan L., Assistant Professor, Pathology, 7/1/81 through 6/30/82.  
 Stallworth, Hugh F., Assistant Professor\*, Family Practice, 7/1/81 through 6/30/82.  
 Steiner, Marion R., Assistant Professor, Pathology, 7/1/81 through 6/30/82.  
 Stelling, Carol Boyer, Assistant Professor, Diagnostic Radiology, 7/1/81 through 6/30/82.  
 Stelling, Michael W., Assistant Professor, Pediatrics, 7/1/81 through 6/30/82.  
 Stevens, Richard K., Assistant Professor\*, Diagnostic Radiology, 7/1/81 through 6/30/82.  
 Tibbs, Phillip A., Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Turco, Salvatore J., Assistant Professor, Biochemistry, 7/1/81 through 6/30/82.  
 Tynan, Daniel R., Assistant Professor, Neurology, 7/1/81 through 6/30/82.  
 Walker, Richard F., Assistant Professor, Anatomy, 7/1/81 through 6/30/82.  
 Walsh, John W., Assistant Professor, Surgery, joint with Pediatrics, 7/1/81 through 6/30/82.  
 Webb, Pamela, Assistant Professor\*, Anesthesiology, 7/1/81 through 6/30/82.  
 Whitley, Ronald J., Assistant Professor\*, Pathology, 7/1/81 through 6/30/82.  
 Wilson, John F., Assistant Professor, Behavioral Science, 7/1/81 through 6/30/82.  
 Witt, William O., Assistant Professor, Anesthesiology, 7/1/81 through 6/30/82.  
 Wong, Peter, Assistant Professor\*, Pediatrics, 7/1/81 through 6/30/82.  
 Woodring, John H., Assistant Professor, Diagnostic Radiology, 7/1/81 through 6/30/82.  
 Wyatt, Robert J., Assistant Professor, Pediatrics, 7/1/81 through 6/30/82.  
 Yoneda, Justine, Assistant Professor\*, Radiation Medicine, 7/1/81 through 6/30/82.  
 Zeok, John, Assistant Professor, Surgery, 7/1/81 through 6/30/82.  
 Zimmer, Stephen G., Assistant Professor, Pathology, 7/1/81 through 6/30/82.

#### College of Nursing

Adkins, Nancy, Instructor, 7/1/81 through 6/30/82.  
 Cooper, Kathleen, Assistant Professor\*, 7/1/81 through 6/30/82.  
 Dorsey, Marian E., Instructor, 7/1/81 through 6/30/82.

Dutton, Marjorie A., Instructor, 7/1/81 through 6/30/82.  
Duvall, Antoinette M., Instructor, 7/1/81 through  
6/30/82.  
Fore, Carolyn V., Instructor, 7/1/81 through 6/30/82.  
Lewis, Shirley, A., Assistant Professor\*, 7/1/81 through  
6/30/82.  
Maurer, Jo Ann, Assistant Professor\*, 7/1/81 through  
6/30/82.  
Mitchell, Nancy C., Instructor, 7/1/81 through 6/30/82.  
Mural, Carol M., Instructor, 7/1/81 through 6/30/82.  
Salyer, Linda K., Instructor (part-time), 7/1/81 through  
6/30/82.  
Sebastian, Juliann G., Assistant Professor\*, 7/1/81  
through 6/30/82.  
Wolfe, Margaret, Assistant Professor\* (part-time),  
7/1/81 through 6/30/82.

College of Pharmacy

Blouin, Robert A., Assistant Professor\*, joint with  
Department of Pathology, College of Medicine,  
7/1/81 through 6/30/82.  
Bogardus, Joseph B., Assistant Professor, 7/1/81 through  
6/30/82.  
Byrd, William G., Assistant Professor\*, 7/1/81 through  
6/30/82.  
Lawson, Lisa A., Assistant Professor\*, 7/1/81 through  
6/30/82.  
McNamara, Patrick J., Assistant Professor, 7/1/81  
through 6/30/82.  
Montagne, Michael, Assistant Professor, joint with  
Department of Behavioral Science, College of  
Medicine, 7/1/81 through 6/30/82.  
Olson, Jack W., Assistant Professor, 7/1/81 through  
6/30/82.  
Record, Kenneth E., Assistant Professor\*, 7/1/81 through  
6/30/82.  
Ringham, Gary L., Assistant Professor, 7/1/81 through  
6/30/82.  
Sieg, James W., Assistant Professor, 7/1/81 through  
6/30/82.  
Truelove, James E., Assistant Professor, 7/1/81 through  
6/30/82.  
Yokel, Robert A., Assistant Professor, 7/1/81 through  
6/30/82.

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\*Special Title Series

Medical Center Library

Oliver, Shirley A., Librarian III, 7/1/81 through 6/30/82.  
Allen, Stephanie, Librarian III, 7/1/81 through 6/30/82.

J. Change

DIVISION OF COLLEGES

College of Agriculture

Giles, Ralph C., from Assistant Extension Professor\*, Veterinary Science, to Assistant Clinical Professor\*, Veterinary Science, 7/1/81 through 6/30/82.

K. Terminal Reappointments

MEDICAL CENTER

College of Medicine

Larson, Thomas O., Assistant Professor, Diagnostic Radiology, 7/1/81 through 8/31/82.

L. Leaves of Absence

DIVISION OF COLLEGES

College of Arts and Sciences

Hart, Johnson M., Associate Professor (with tenure), Computer Science, Sabbatical leave with full salary, 7/1/81 through 12/31/81.

Jensen, Arne, Assistant Professor, Mathematics, Leave without pay, 7/1/81 through 6/30/82.

Purdue, Peter, Associate Professor (with tenure), Statistics, Leave without pay, Spring Semester, 1982.

Reedy, Daniel R., Professor (with tenure), Spanish and Italian Languages and Literatures, Sabbatical leave with full-salary, 7/1/81 through 12/31/81.

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\*Special Title Series

College of Business and Economics

Balachandran, K. R., Associate Professor (with tenure),  
Business Administration, Leave without pay,  
Academic year, 1981-82.

McCullers, Levis D., Professor (with tenure),  
Accounting, Leave without pay, 7/1/81 through  
6/30/82.

Thalheimer, Richard, Associate Director, Center for Real  
Estate and Land Use Analysis, extension of Leave  
without pay, currently on Leave without pay,  
5/16/80 through 5/15/81 extended until 5/15/82.

College of Engineering

Steele, Earl L., Professor (with tenure), Electrical  
Engineering, Sabbatical leave with full-salary,  
Fall Semester, 1981.

MEDICAL CENTERCollege of Allied Health Professions

Clark, Sherry L., Assistant Professor\*, Physical  
Therapy, Sabbatical leave with half-salary, 8/15/81  
through 2/15/82.

COMMUNITY COLLEGE SYSTEMPrestonsburg Community College

Weddle, Laura T., Professor in the Community College  
System (with tenure), Sick leave with pay, 2/20/81  
through 6/30/81.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: April 7, 1981

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\*Special Title Series



PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATION OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

ADMINISTRATIVE ACTION

A. Administrative Appointment

DIVISION OF COLLEGES

Institute of Mining and Minerals Research

Walker, John N., Professor (with tenure), Agricultural Engineering, named Acting Director of the Institute of Mining and Minerals Research, 4/1/81 through 6/30/82.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: April 7, 1981

Office of the President  
April 7, 1981

PR 4

Members, Board of Trustees:

1980-81 Budget Revisions

Recommendation: that the following revisions in the 1980-81 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Fund</u>			
1. <u>Income Estimates</u>			
Student Fees			
Registration Fees			
Continuing			
Education Fees	\$2,055,600	\$2,115,200	\$ 59,600
Miscellaneous Fees	147,300	161,200	13,900
Federal Approp- riations			
Vocational			
Education	433,200	312,100	(121,100)
Gifts and Grants			
University of Kentucky			
Research Foundation			
General Fund			
Grants	2,538,700	2,576,000	37,300
Sales and Services			
Farm Sales	776,700	844,700	68,000
Public Services			
and Regulatory	1,236,900	1,308,900	72,000
Departmental Sales			
and Services	3,780,800	3,802,500	<u>21,700</u>
			151,400
2. <u>Expenditures</u>			
Academic Affairs			
College of Education			
Vocational			
Education -			
Teacher Education	515,000	515,100	100
Vocational			
Education -			
Curriculum			
Development	158,000	36,900	(121,100)

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures (Continued)			
College of Engineering			
Civil Engineering \$	877,800	\$ 891,700	\$ 13,900
College of Home			
Economics			
Family Studies	345,500	346,800	1,300
College of Law	1,299,500	1,359,000	59,500
Agricultural Public			
Service			
Agronomy			
Foundation Seed	207,700	267,700	60,000
Regulatory			
Services	1,098,100	1,110,100	12,000
Agricultural Experiment			
Station	11,692,600	11,760,600	68,000
Administration and			
Support			
Graduate School			
General Research			
Support	1,803,500	1,840,800	37,300
Community College System			
Paducah Community			
College	1,637,400	1,641,200	3,800
Medical Center			
College of Medicine			
Family Practice	451,600	465,700	14,100
University Wide			
Student Aid			
Community College			
Scholarships	89,100	91,600	2,500
			<u>151,400</u>

3. Comments - Additional continuing education programs in the College of Education and the College of Law will be substantiated by continuing education fees generated from the respective programs. Student fees to be collected for the Civil Engineering Survey Camp will support expenditures associated with the Survey Camp. The revised budget for the Vocational Education - Curriculum Development Center is the result of a decision by the Bureau of Vocational Education to move the Center to Frankfort. An increase in the grant from the University of Kentucky Research Foundation will fund additional faculty research through the Office of Sponsored Projects Administration. Additional income resulting from the sale of dairy products and swine will support further research in the Agricultural Experiment Station. An increase

3. Comments (Continued)

in the Agricultural Public Service budget will be funded by additional regulatory fees from soil testing and the Foundation Seed Project. Additional expenditures in the College of Medicine Family Practice Department will be supported by diagnostic procedures income generated from the clinic component of Family Practice patient care services. The Paducah Community College Dental Hygiene Clinic budget change will be funded by an increase in clinic fees. An increase in vending commission income will support additional Community College System Scholarships. The College of Home Economics Early Childhood Laboratory will be supported by an increase in day care fees resulting from the program.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. Restricted Funds			
1. Income Estimates	\$16,732,800	\$16,750,300	\$17,500
2. Expenditures			
Academic Affairs			
College of Engineering			
Chemical			
Engineering	45,500	49,500	4,000
Agricultural			
Experiment Station			
Entomology	127,300	133,000	5,700
Medical Center			
College of Dentistry			
Orthodontics	2,300	3,200	900
College of Medicine			
Biochemistry	-0-	400	400
Community College System			
Henderson Community			
College	9,000	14,000	5,000
University Wide			
Intercollegiate Athletics -			
Sherman Hinkebein Swimming			
Award	-0-	100	100
Student Aid			
Gifts and Grants for			
Scholarships			
General			
Scholarships	622,000	623,400	<u>1,400</u>
			<u>17,500</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional expenditures.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>Auxiliary Services</u>			
1. Income Estimates	\$20,547,500	\$20,578,800	\$31,300
2. Expenditures			
Community College System			
Madisonville Community College	66,800	86,800	20,000
Maysville Community College	46,700	58,000	<u>11,300</u>
			<u>31,300</u>
3. <u>Comments</u> - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.			

D. Contracts and Grants Received

	<u>Amount</u>
Academic Affairs	
College of Engineering	
Civil Engineering	
Hot-Mix Asphalt Railroad Track Bed Design & Construction L & N Railroad - Ravenna Yards (T0201)	\$ 35,000
Mechanical Engineering	
Coal Sample Test In Fixed-Bed Gasifier (T0200)	9,800
Metallurgical Engineering	
Processing of Aluminum Alloys (T0202)	<u>58,700</u>
	<u>103,500</u>

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Action Taken:   Approved   xx     Disapproved              Other           

Date:           April 7          , 1981

Office of the President  
April 7, 1981

PR 5

Members, Board of Trustees:

PATENT ASSIGNMENT

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following application for Letters of Patent of the United States and the invention represented thereby: Philip B. Latham, Serial No. 82,327, filed October 5, 1979.

Background: Philip B. Latham has invented certain improvements in TRACHEOSTOMY TUBE. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: Approved  Disapproved  Other

Date: April 7, 1981.

Office of the President  
April 7, 1981

PR 6

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendments to the Governing Regulations of the University of Kentucky which were received for preliminary consideration at the February 9, 1981 meeting of the Board of Trustees be approved:

(Note: Proposed additions are underlined.)

First Amendment -- Change the first paragraph of Part X.A to read:

All appointments shall be made strictly on the basis of merit. An applicant for a position shall not be discriminated against because of sex, race, ethnic origin, national origin, color, creed, religion, age, or political belief. Additionally, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental handicap in regard to any position for which the applicant for employment is qualified.

Second Amendment -- Change the first paragraph of Part XII.A to read:

Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training, and other employment practices without regard to sex, race, ethnic origin, national origin, color, creed, religion, age, or political belief. Further, the University shall not discriminate against any applicant for employment because of Vietnam-era veteran status, disabled veteran status, or physical or mental handicap in regard to any position for which the applicant for employment is qualified.

Background: These amendments will provide consistency between the Governing Regulations of the University of Kentucky and the University's current practice.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: April 7, 1981

Office of the President  
April 7, 1981

PR 7

Members, Board of Trustees:

REESTABLISHMENT OF DEPARTMENT OF TELECOMMUNICATIONS

Recommendation: that the Board approve the reestablishment of the Department of Telecommunications as an educational unit in the College of Communications, effective with the appointment of a chairperson or acting chairperson for the department.

Background: In earlier years, there was a Department of Radio, Television, and Film in the College of Arts and Sciences which was later given the title of Department of Telecommunications. Several years ago that department was dissolved, and the baccalaureate program was administered as a part of the Communications Department. Still later, with the reestablishment of the School of Journalism, the program was administered as a part of the Department of Human Communications. An outside committee of evaluators recommended in 1978 that the department be reestablished in the College of Communications. Representatives of the broadcast industry in Kentucky have urged repeatedly that the program be strengthened and the department reestablished. Student majors have increased sharply in recent years to a current level of 312, and the impact of telecommunications on our society is readily apparent and constantly increasing. It is therefore appropriate to emphasize the University's commitment to this area of instruction through the reestablishment of the Department of Telecommunications as an educational unit.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_  
Date: April 7, 1981.



Office of the President  
April 7, 1981

PR 8

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professor William Gragg, Department of Mathematics; Professor Jerome Meckier, Department of English; and Professor Clasine van Winter, Departments of Physics and Mathematics, as University Research Professors for 1981-82.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships as soon as possible and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the fall of 1977. The first Research Professors were named by the Board of Trustees at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: April 7, 1981

Office of the President  
April 7, 1981

PR 9

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Letters to Mrs. Harriette Louisa Simpson Arnow, Doctor of Letters to Dr. Daniel J. Boorstin, Doctor of Law to Mr. James C. Bowling, Doctor of Law to the Honorable John Y. Brown, Jr., and the Doctor of Science to Dr. Stephen Diachun.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation.

Biographical sketches of each recipient are attached.

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Action taken: Approved xx Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: April 7, 1981

## HARRIETTE ARNOW

Harriette Louisa Simpson Arnow was born in 1908 in Wayne County, Kentucky, and attended both Berea College and the University of Louisville where she received her B.S. degree in 1931. She is well-known as a writer, having produced to date five novels, various short stories (two of which were anthologized in O. Henry Memorial Award Prize Stories), full length non-fictional works, and various articles and reviews.

Her third novel, The Dollmaker, which explores the effects of urban life on the uprooted mountaineer, is indicative of her outstanding achievement in creative writing. Published in 1954, it came in second in the final run for the National Book Award (the winner was William Faulkner's "The Fable"). The work did win the 1955 Friends of American Writers Award and the following words of praise from the acclaimed novelist Joyce Carol Oates: "The Dollmaker [is] a legitimate tragedy, our most unpretentious American masterpiece."

Recognition of her work has already been forthcoming. She has received a special Weatherford Award granted to publications that further an understanding of Appalachia. Other honors include the Woodcock Award from the University of Louisville and an honorary degree from Transylvania.\*

Mrs. Arnow is being recommended for Honorary Doctorate of Letters.

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\*Information comes from Contemporary Authors and from a Courier Journal Magazine article by Shirley Williams.

Mountain Path (novel) 1936; Hunter's Horn, 1949, The Dollmaker, 1954, Seedtime on the Cumberland (nonfiction) 1960, Flowering of the Cumberland (nonfiction), 1963, The Weedkiller's Daughter, 1970, Kentucky Trace, 1975, Old Burnside, 1977.

DANIEL J. BOORSTIN

Dr. Daniel J. Boorstin was born in 1914 in Atlanta. He attended Harvard, Oxford, and Yale and received a D.Litt. from Cambridge and a J.S.D. (Doctor of Science of Law) from Yale. He taught history and legal history at Swarthmore, Harvard, and the University of Chicago. He then moved to Washington to become director of the National Museum of History and Technology at the Smithsonian Institute from 1969-73. He was the Senior Historian from 1973-75 and from 1975 to the present has been the Librarian of Congress at the Library of Congress.

He has lectured all over the world as a Fulbright Visiting Lecturer, as lecturer for the United States Department of State and in other academic capacities.

He is the recipient of numerous prizes and honors. In 1959, Dr. Boorstin won the Bancroft Award for his trendsetting work, The Americans: The Colonial Experience and later was a Pulitzer Prize winner for The Americans: The Democratic Experience (1974).

For his contributions to the academic profession and to American education and culture, the Committee recommends Dr. Boorstin for the Honorary Doctor of Letters.

JAMES C. BOWLING

James C. Bowling is a native Kentuckian, outstanding educational leader, and strong supporter of higher education who pioneered the development of industry programs for support and encouragement of research and education in land-grant institutions for tobacco programs. A member of one of the State's early pioneer families and direct descendant of John Wolf, the first man to raise tobacco in the new colonies, Mr. Bowling was born in Paducah and educated at the University of Kentucky and the University of Louisville.

In 1952, he joined Philip Morris, Inc. and rapidly rose to his present position as Senior Vice President and member of their Board of Directors. As a result of his leadership and interest in research and education programs, Philip Morris, Inc. recently granted the College of Agriculture what may become the largest single education and research grant in the history of this college. Similar grants were arranged by him for North Carolina State University and Virginia Polytechnic Institution and State University.

Mr. Bowling is also active in civic affairs. He is honorary chairman of the Board of Keep America Beautiful, was appointed a member of the Advisory Committee for the Kentucky Department of Commerce in 1970, and serves on the National Council of Boy Scouts of America. His leadership in education is also evident in his place on the Board of Trustees of several educational institutions, among which are Midway and Berea Colleges; his election as a Trustee of the Kentucky Independent College Foundation, and his participation on the Board of Directors of the University of Kentucky Development Council.

For his activity in encouraging the tobacco industry and higher education to work together in areas of common scientific interest, the Committee recommends Mr. Bowling for the Honorary Doctor of Law.

JOHN Y. BROWN, JR.  
GOVERNOR, COMMONWEALTH OF KENTUCKY

John Y. Brown, Jr., was an undergraduate at the University of Kentucky in the mid-fifties and graduated from the University of Kentucky College of Law in 1960.

Following graduation, he rose to national prominence as president and chairman of the board of Kentucky Fried Chicken, building the fast-food operation into a multi-million dollar corporation with outlets throughout the world.

He served as chairman of the Governor's Economic Development Commission, as chairman of the National Young Leadership Council, and as chairman of the highly successful Democratic National Telethon in 1972-73.

In 1973, Mr. Brown gave the University of Kentucky \$1,000,000 to be matched by state government to construct the Sanders-Brown building which houses the program for the study of aging on the University of Kentucky campus.

In 1975, he headed the University of Kentucky Annual Giving Fund which reached a new high as the result of his efforts. During 1975, he contributed \$5,000 to the successful \$50,000 fund drive to establish the Joe Creason Lecture held annually on the University of Kentucky campus.

He has served on the University Development Council and his personal contributions to the University make him the largest individual donor in the history of the institution.

It has been a tradition at the University of Kentucky to honor the head of our State with an Honorary Degree from this institution, a practice which has been followed for approximately 50 years. The Committee recommends Governor Brown for the Honorary Doctor of Law.

## STEPHEN DIACHUN

Dr. Stephen Diachun, Professor Emeritus of the Department of Plant Pathology, is well-known to the faculty of the University of Kentucky. He was born in Rhode Island in 1912, received his B.S. from the University of Rhode Island in 1934, and his M.S. and Ph.D. degrees from the University of Illinois.

Dr. Diachun has devoted his entire academic life to this University, arriving in 1937 as an Assistant Professor in Plant Pathology and retiring after a decade of service as chairman of that Department.

He is the author or co-author of 88 articles, has made significant contributions to his field, and is highly regarded for his work with tobacco diseases and bacterial pathogens.

His interest and involvement in teaching is quite evident from his activities on campus. He served for five years as the first director of the University of Kentucky Honors Program.

He has shown leadership on all levels at the University: as a member of the Board of Trustees, as Chairman of the University Senate Council, and as presiding officer of the University Senate. These are only the most outstanding examples of an entire academic life devoted to this University. Because of his outstanding contributions in these fields, he was honored by being chosen University of Kentucky Alumni Professor in 1974.

For his service to the University, dedication to his profession, and outstanding contributions to the field of plant pathology, the Committee recommends Dr. Diachun for the Honorary Doctor of Science.

Office of the President  
April 7, 1981

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the eight months ending February 28, 1981, be accepted.

Background: The February 28, 1981, report reflects income of \$186,710,369 for the period of the report; the income figure is 68% of the annual income estimate of \$275,103,235.

The expenditures and commitments represent a total of \$175,212,658 for the period of eight months, or 64% of the expenditure authority of \$275,103,235 approved by the Board.

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Action taken: Approved  Disapproved  Other

Date: April 7, 1981.



University of Kentucky  
Balance Sheet  
February 28, 1981  
With Comparative Figures for 1979-80

ASSETS

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Current Funds:</b>		
<b>Unrestricted</b>		
Cash in banks:		
Trust and agency	\$ 9,789,545	\$ 9,545,482
Federal funds	163,126	
Cash on deposit with State Treasurer:		
Trust and agency	5,665,712	24,319,118
State appropriations	1,669	
<b>Total cash</b>	<u>15,620,052</u>	<u>33,864,600</u>
Due from other funds and affiliated corporations	6,754,994	6,430,402
Accounts receivable	1,362,230	1,226,105
Notes receivable	71,190	71,190
Inventories	4,632,284	4,339,188
Unrealized income (Statement 3)	59,013,621	35,310,901
<b>Total unrestricted</b>	<u>87,454,371</u>	<u>81,242,386</u>
<b>Restricted:</b>		
Cash in bank	4,019,529	3,029,114
Imprest funds	43,361	38,505
Cash on deposit with State Treasurer	<u>2,261,856</u>	<u>1,654,801</u>
<b>Total cash</b>	<u>6,324,746</u>	<u>4,722,420</u>
Petty cash advances	222,590	194,670
Travel advances	211,731	210,893
Accounts receivable	2,246	1,126
Accrued interest receivable	445,531	687,235
Investments:		
Short-term (Schedule 1)	17,575,324	16,358,265
Other	3,072	149,708
Unrealized income:		
Restricted (Statement 3)	7,268,669	4,551,009
Tobacco Research Trust Fund (Statement 3)	1,486,733	2,100,008
<b>Total restricted</b>	<u>33,540,642</u>	<u>28,975,314</u>

University of Kentucky  
Balance Sheet  
February 28, 1981  
With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Outstanding encumbrances	\$ 4,674,343	\$ 6,446,405
Accounts payable-county funds	54,932	28,565
Accrued expenses	738,314	853,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred income	34,778	28,854
Accrued vacation	3,100,000	2,825,000
Unencumbered balance, departmental appropriations (Statement 4)	67,220,910	59,594,389
Fund balances:		
Allocated for working capital	<u>10,331,094</u>	<u>10,166,173</u>
Total unrestricted	<u>87,454,371</u>	<u>81,242,386</u>
<b>Restricted:</b>		
General Fund collections	3,032,873	3,498,024
Payroll withholdings and deposits	2,224,174	1,230,991
Outstanding encumbrances	530,722	683,432
Due to other funds and affiliated corporations	9,518,262	8,235,399
Advance from state for imprest cash fund	50,000	50,000
Deferred income	3,436	37,590
Refundable deposits	67,002	62,293
Outstanding check liability	54,587	45,519
Unencumbered balance, departmental appropriations (Statement 4)	6,591,146	5,895,411
Unencumbered balance, Tobacco Research Trust Fund		
Appropriation (Statement 4)	2,987,516	2,428,918
Fund balances:		
Restricted fund (Statement 2)	8,130,924	5,873,866
Tobacco Research Trust Fund-unallocated funds (Statement 2)	<u>350,000</u>	<u>933,891</u>
Total restricted	<u>33,540,642</u>	<u>28,975,334</u>

University of Kentucky  
Balance Sheet  
February 28, 1981  
With Comparative Figures for 1979-80

ASSETS

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Hospital and clinics:</b>		
Due from other funds and affiliated corporations	5,494,151	5,728,286
Accounts receivable, net of allowance for doubtful account of \$7,729,697 in 1981 and \$8,739,468 in 1980	11,055,674	10,969,675
Inventories	710,711	677,074
Unrealized income (Statement 3)	<u>15,548,651</u>	<u>11,420,177</u>
<b>Total hospital and clinics</b>	<u>32,809,187</u>	<u>28,795,212</u>
<b>Housing and dining system:</b>		
Cash in bank	834,384	545,770
Cash on deposit with State Treasurer	4,734,044	4,312,136
Accounts receivable	274,948	252,222
Inventories	1,370,250	1,262,315
Prepaid expenses	49,537	60,328
Unrealized income (Statement 3)	<u>3,478,471</u>	<u>4,325,428</u>
<b>Total housing and dining system</b>	<u>10,741,634</u>	<u>10,758,199</u>
<b>Auxiliary enterprises:</b>		
Cash in banks	<u>460,589</u>	<u>157,049</u>
<b>Total cash</b>	<u>460,589</u>	<u>157,049</u>
Accounts receivable	33,125	29,666
Notes receivable	87,000	87,000
Inventories	589,760	537,540
Unrealized income (Statement 3)	<u>1,596,721</u>	<u>1,662,816</u>
<b>Total auxiliary enterprises</b>	<u>2,767,195</u>	<u>2,474,071</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 167,313,029</u>	<u>\$ 152,245,202</u>

University of Kentucky  
Balance Sheet  
February 28, 1981  
With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

	<u>1980-1981</u>	<u>1979-1979</u>
Hospital and clinics:		
Outstanding encumbrances	2,176,171	280,554
Accounts payable	3,073,068	2,310,243
Accrued vacation	500,000	470,000
Unexpended balance, departmental appropriation (Statement 4)	17,477,463	15,240,916
Fund balances-allocated for working capital (Statement 2)	9,582,485	10,493,499
Total hospital and clinics	<u>32,809,187</u>	<u>28,795,212</u>
Housing and dining systems:		
Accrued expenses	509,866	664,240
Accrued wages	71,509	80,948
Accrued vacation	75,000	60,000
Accounts payable	323,121	288,065
Due to other funds and affiliated corporations	1,610,324	1,304,924
Refundable deposits	98,619	81,900
Deferred income	3,201,535	2,995,941
Unexpended balance, departmental appropriation (Statement 4)	4,278,999	4,932,967
Fund balances:		
Allocated for future operating purposes (Statement 2)	<u>572,661</u>	<u>349,214</u>
Total housing and dining system	<u>10,741,634</u>	<u>10,758,199</u>
Auxiliary enterprises:		
Outstanding encumbrances	135,879	289,680
Due to other funds and affiliated corporations	277,398	261,889
Unencumbered balance, departmental appropriation (Statement 4)	1,334,543	1,095,387
Fund balances-allocated:		
Working capital	770,607	686,487
Future operating purposes	248,768	140,628
Total fund balance (Statement 2)	<u>1,019,375</u>	<u>827,115</u>
Total auxiliary enterprises	<u>2,767,195</u>	<u>2,474,071</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 167,313,029</u>	<u>\$ 152,245,202</u>

University of Kentucky  
 Balance Sheet  
 February 28, 1981  
 With Comparative Figures for 1979-80

ASSETS

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Loan Funds:</b>		
Cash in bank	\$ 756,147	\$ 639,873
Notes receivable, net allowance for doubtful accounts of \$989,387 in 1981 and \$1,043,572 in 1980	12,157,839	11,203,228
Due from other funds and affiliated corporations	290	
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 12,916,276</u>	<u>\$ 11,845,101</u>
<b>Endowment Funds:</b>		
Investments	<u>\$ 5,561,063</u>	<u>\$ 4,764,536</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 5,561,063</u>	<u>\$ 4,764,536</u>
<b>Plant Funds:</b>		
Unexpended:		
Cash on deposit with State Treasurer:		
Trust and agency	\$ 4,251,205	\$ 8,015,692
Capital construction funds	<u>35,947,121</u>	<u>9,847,896</u>
Total cash on deposit with State Treasurer	<u>40,198,326</u>	<u>17,863,588</u>
Due from other funds and affiliated corporations	3,146,213	2,616,423
Due from Federal Government		339,473
Due from proposed bond issue	17,266,126	823,848
Construction in progress	<u>4,329,893</u>	<u>14,819,407</u>
Total unexpended plant funds	<u>64,940,558</u>	<u>36,462,739</u>

University of Kentucky  
Balance Sheet  
February 28, 1981  
With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Loan Funds:</b>		
Federal sponsored loan funds:		
Federal Government	\$ 9,563,625	\$ 8,987,612
University matching funds	1,154,322	1,010,226
Accumulated interest income:	<u>1,206,780</u>	<u>1,070,845</u>
Total sponsored loan funds	<u>11,924,727</u>	<u>11,068,683</u>
University loan funds:		
University student aid funds	87,794	87,084
University special student loan funds	901,755	687,334
United Student Aid loan funds	<u>2,000</u>	<u>2,000</u>
Total University loan funds	<u>991,549</u>	<u>776,418</u>
<b>TOTAL LOAN FUNDS</b>	<u>\$ 12,916,276</u>	<u>\$ 11,845,101</u>
<b>Endowment Funds:</b>		
Fund balances	<u>\$ 5,561,063</u>	<u>\$ 4,764,536</u>
<b>TOTAL ENDOWMENT FUNDS</b>	<u>\$ 5,561,063</u>	<u>\$ 4,764,536</u>
<b>Plant Funds:</b>		
Unexpended:		
Outstanding encumbrances	\$ 19,251,105	\$ 5,346,712
Accounts payable	86,000	623,000
Due to capital construction fund	17,103,900	823,848
Bonds payable	28,499,553	4,730,000
Fund balances allocated for construction-renovation	<u>28,499,553</u>	<u>24,939,179</u>
Total unexpended plant funds	<u>64,940,558</u>	<u>36,462,739</u>

University of Kentucky  
 Balance Sheet  
 February 28, 1981  
 With Comparative Figures for 1979-80

ASSETS

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Renewal and replacements:</b>		
Cash on deposit with Trustees	55,414	25,409
Cash on deposit with State Treasurer	451,047	20,308
Due from other funds and affiliated corporations	264,383	319,855
Accrued interest receivable	21,900	
Investments held by Trustees	<u>1,133,658</u>	<u>1,065,275</u>
<b>Total renewal and replacements</b>	<u>1,926,402</u>	<u>1,430,847</u>
<b>Retirement of indebtedness:</b>		
Cash on deposit with State Treasurer	50,000	50,000
Cash on deposit with Trustees	61,765	1,251
Accrued interest receivable	146,368	
Investments held by Trustees	<u>47,181,454</u>	<u>46,983,606</u>
<b>Total retirement of indebtedness</b>	<u>47,439,587</u>	<u>47,034,857</u>
<b>Investment in plant:</b>		
Land	18,028,323	20,335,589
Buildings	277,919,695	253,365,833
Equipment	<u>95,228,893</u>	<u>87,916,869</u>
<b>Total investment in plant</b>	<u>391,176,911</u>	<u>361,598,291</u>
<b>TOTAL PLANT FUNDS</b>	<u>\$ 505,483,458</u>	<u>\$ 446,526,734</u>
<b>Agency Funds:</b>		
Cash in bank	14,842	323,466
Accounts receivable	1,771	540
Investments short-term (Schedule 1)	<u>15,000</u>	<u>15,000</u>
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 31,613</u>	<u>\$ 339,006</u>

University of Kentucky  
 Balance Sheet  
 February 28, 1981  
 With Comparative Figures for 1979-80

LIABILITIES AND FUND BALANCES

	<u>1980-1981</u>	<u>1979-1980</u>
<b>Renewal and replacements:</b>		
Outstanding encumbrances	17,414	10,889
Fund balances:		
Restricted (Schedule 4)	764,972	825,525
Unrestricted (Schedule 4)	<u>1,144,016</u>	<u>594,433</u>
<b>Total fund balances</b>	<u>1,908,988</u>	<u>1,419,958</u>
<b>Total renewal and replacements</b>	<u>1,926,402</u>	<u>1,430,847</u>
<b>Retirement of indebtedness:</b>		
Bonds payable (Schedule 3)	32,727,000	32,782,000
Fund balances (Schedule 3)	<u>14,712,587</u>	<u>14,252,857</u>
<b>Total retirement of indebtedness</b>	<u>47,439,587</u>	<u>47,034,857</u>
<b>Investment in plant:</b>		
Due to other funds and affiliated corporations	381,000	381,000
Notes payable	1,113,746	
Bonds payable	107,802,516	104,421,500
Lease purchase obligation	4,835,000	5,000,000
Net investment in plant	<u>277,044,649</u>	<u>251,795,791</u>
<b>Total investment in plant</b>	<u>391,176,911</u>	<u>361,598,291</u>
<b>TOTAL PLANT FUNDS</b>	<u>\$ 505,483,458</u>	<u>\$ 446,526,734</u>
<b>Agency Funds:</b>		
Outstanding encumbrances	\$ 1,869	\$ 2,083
Due to other funds and affiliated corporations	5,443	9,331
Funds held in custody for others	<u>24,301</u>	<u>327,592</u>
<b>TOTAL AGENCY FUNDS</b>	<u>\$ 31,613</u>	<u>\$ 339,006</u>



University of Kentucky  
 Statement of Changes in Fund Balances--Current Funds  
 Eight Months Ended February 28, 1981

	Unrestricted Allocated	Restricted	Tobacco Research Trust Fund	Hospitals and Clinics	Housing Dining System Funds for Future Operating Purposes	Auxiliary Enterprises
Fund Balances, July 1, 1980	\$ 18,513,558	\$ 8,130,924	\$ 1,192,309	\$ 10,462,485	\$ 572,661	\$ 1,019,375
Realized Income	130,891,961	4,515,146	3,105,576	32,990,938	12,068,439	3,138,309
Unrealized Income	59,013,621	7,268,669	1,486,733	15,548,651	3,478,471	1,596,721
Total estimated income	189,905,582	11,783,815	4,592,309	48,539,589	15,546,910	4,735,030
Less fund balance appropriated	8,182,464		842,309	880,000		
Net current estimated income	181,723,118	11,783,815	3,750,000	47,659,589	15,546,910	4,735,030
Total available	200,236,676	19,914,739	4,942,309	58,122,074	16,119,571	5,754,405
Current year expenditures/ encumbrances	122,684,672	5,192,669	1,604,793	31,062,126	11,267,911	3,400,487
Unencumbered balances, appropriations	67,220,910	6,591,146	2,987,516	17,477,463	4,278,999	1,334,543
Total authorized appropriations	189,905,582	11,783,815	4,592,309	48,539,589	15,546,910	4,735,030
Fund Balances, February 28, 1981	\$ 10,331,094	\$ 8,130,924	\$ 350,000	\$ 9,582,485	\$ 572,661	\$ 1,019,375

University of Kentucky  
 Summary of Current Revenues and Appropriated Balances  
 Eight Months Ended February 28, 1981  
 With Comparative Figures for 1979-80

Fund and Source:	1980-1981		1979-1980	
	Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date
<b>Unrestricted:</b>				
Student fees	\$ 25,568,018	\$ 25,617,172	\$ 22,703,801	\$ 21,763,155
Federal appropriations	12,930,766	7,657,398	11,842,907	8,517,592
County appropriations	2,385,670	1,163,304	2,011,500	1,143,188
Endowment and trust fund income	183,900	169,474	168,900	155,317
Investment income	4,576,000	3,662,210	1,935,000	2,543,230
Gifts and grants:				
Affiliated corporations	3,732,568	66,051	3,361,513	201,316
Other funds	5,849,100	152,341	375,000	156,049
Other	1,040,261	732,652	1,527,830	1,047,991
Sales, services, other income	5,710,935	4,090,477	5,335,847	3,933,190
Subtotal	61,977,218	43,311,079	49,262,298	39,461,028
State appropriations	119,745,900	79,398,418	116,907,411	91,397,780
Fund balance appropriated	8,182,464	8,182,464	5,865,387	5,865,387
Total unrestricted	189,905,582	130,891,961	172,035,096	136,724,195
Restricted	11,783,815	4,515,146	10,728,315	6,177,306
Tobacco Research Trust Fund:				
State appropriations	3,750,000	2,263,267	4,438,889	2,338,881
Fund balance appropriated	842,309	842,309		
Total Tobacco Research Trust Fund	4,592,309	3,105,576	4,438,889	2,338,881
Hospital and Clinics:				
State appropriations	7,110,800	4,756,415	6,988,889	5,455,558
Hospital earned income-net	40,548,789	27,354,523	31,696,100	21,809,254
Fund balance appropriated	880,000	880,000		
Total Hospital and Clinics	48,539,589	32,990,938	38,684,989	27,264,812
Housing and Dining System	15,546,910	12,068,439	14,771,288	10,445,860
Auxiliary Enterprises	4,735,030	3,138,309	4,493,558	2,830,742
<b>TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES</b>	<b>\$275,103,235</b>	<b>\$186,710,369</b>	<b>\$245,152,135</b>	<b>\$185,781,796</b>

University of Kentucky  
 Summary of Current Funds Expenditures  
 Eight Months Ended February 28, 1981  
 With Comparative Figures for 1979-80

Fund or Function: Unrestricted:	1980-1981		1979-1980			
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
Department of instruction and research	\$ 76,094,009	\$ 51,713,590	68	\$ 71,396,235	\$ 46,881,129	66
Summer session-1980	1,504,971	1,293,490	86	1,394,123	1,118,988	80
Organized activities	1,813,919	1,195,586	66	1,791,330	1,124,696	63
Organized research	16,528,514	11,633,593	70	15,513,307	10,667,919	69
Libraries	6,196,510	4,355,980	70	5,574,787	4,061,108	73
Extension and public service	23,344,106	14,739,610	63	20,423,474	13,672,560	67
Maintenance and operation of plant	15,488,265	10,783,315	70	14,600,695	9,241,628	63
Renovation, office furniture, etc.	297,748	214,898	72	2,181,415	1,807,503	83
General administration	8,363,571	5,513,231	66	7,889,777	4,691,793	59
Student services	5,575,432	3,630,673	65	4,990,565	3,231,070	65
Staff benefits	11,472,197	3,686,530	32	9,621,100	3,166,574	33
General institutional services	8,984,987	6,434,081	72	7,930,899	5,574,634	70
Service enterprises	293,791	274,306	93	214,233	242,103	113
Debt service cost	5,925,100	5,368,827	91	5,925,500	5,399,432	91
Student aid	2,126,470	1,846,962	87	1,884,992	1,559,570	83
Reserves	5,895,992			702,664		
<b>Total unrestricted</b>	<b>189,905,582</b>	<b>122,684,672</b>	<b>65</b>	<b>172,035,096</b>	<b>112,440,707</b>	<b>65</b>

University of Kentucky  
 Summary of Current Funds Expenditures  
 Eight Months Ended February 28, 1981  
 With Comparative Figures for 1979-1980

Fund or Function:	1980-1981			1979-1980		
	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date	Appropriation for the Year	Expended Encumbered to Date	% Expended Encumbered to Date
<b>Restricted:</b>						
Departments of Instruction and research	\$ 2,541,890	\$ 998,365	39	\$ 2,580,748	\$ 930,056	36
Organized activities	145,925	73,091	50	153,069	60,723	40
Organized research	4,463,839	1,756,312	39	3,635,751	1,684,818	46
Libraries	81,127	35,798	44	55,942	6,850	12
Extension and public service	550,090	220,542	40	539,231	218,727	41
General institutional services	605,799	183,012	30	684,155	392,169	57
Student aid	3,395,145	1,925,549	57	3,079,419	1,539,561	50
<b>Total restricted</b>	<u>11,783,815</u>	<u>5,192,669</u>	<u>44</u>	<u>10,728,315</u>	<u>4,832,904</u>	<u>45</u>
Tobacco Research Trust Fund	4,592,309	1,604,793	35	4,438,889	2,009,971	45
Hospital and Clinics	48,539,589	31,062,126	64	38,684,989	23,444,073	61
Housing and Dining System	15,546,910	11,267,911	72	14,771,288	9,838,321	67
Auxiliary Enterprises	4,735,030	3,400,487	72	4,493,558	3,398,171	76
<b>TOTAL CURRENT FUND EXPENDITURES</b>	<u>\$275,103,235</u>	<u>\$175,212,658</u>	<u>64</u>	<u>\$245,152,135</u>	<u>\$155,964,147</u>	<u>64</u>

University of Kentucky  
 Schedule of Short Term Investments  
 February 28, 1981

	<u>Maturity Value</u>	<u>Cost</u>
<b>Restricted Current Fund:</b>		
Government securities:		
U. S. Treasury Bills, 9.89%, 7/16/81	\$ 2,180,000	\$ 1,997,018
U. S. Treasury Bills, 16.75%, 7/16/81	220,000	220,778
U. S. Treasury Bills, 12.00%, 9/10/81	3,325,000	2,992,528
FHLB, 7.375%, 11/26/84	200,000	200,000
U. S. Treasury Notes, 7.875%, 5/15/86	<u>685,000</u>	<u>685,000</u>
Total government securities	<u>6,610,000</u>	<u>6,075,324</u>
Certificates of deposit:		
First Security National Bank & Trust Company, 16.01%, 4/10/81	1,900,000	1,900,000
First Security National Bank & Trust Company, 14.80%, 6/26/81	4,200,000	4,200,000
Bank of Lexington, 10.15%, 7/16/81	1,400,000	1,400,000
Bank of Commerce & Trust Company, 11.55%, 9/10/81	2,000,000	2,000,000
Bank of Lexington, 12.25%, 9/10/81	<u>2,000,000</u>	<u>2,000,000</u>
Total certificates of deposit	<u>11,500,000</u>	<u>11,500,000</u>
<b>TOTAL RESTRICTED FUNDS</b>	<u>\$ 18,110,000</u>	<u>\$ 17,575,324</u>
<b>Agency Funds:</b>		
Government securities:		
U. S. Treasury Notes, 7.875%, 5/15/86	<u>\$ 15,000</u>	<u>\$ 15,000</u>
TOTAL AGENCY FUNDS	<u>\$ 15,000</u>	<u>\$ 15,000</u>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 28, 1981

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Source of Funds:			
Unrestricted current funds	\$ 5,925,100	\$ 5,368,827	91
Housing and dining system	1,731,956	969,199	56
Auxiliary enterprises current funds	<u>167,796</u>	<u>69,019</u>	<u>41</u>
	<u>\$ 7,824,852</u>	<u>\$ 6,407,045</u>	<u>82</u>

TOTAL RETIREMENT OF INDEBTEDNESS FUNDS

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 510,000	\$ 52,788	10
Consolidated Educational Buildings-Series B	478,000	59,544	12
Consolidated Educational Buildings-Series C	233,000	44,054	19
Consolidated Educational Buildings-Series D	2,475,100	1,050,694	42
Consolidated Educational Buildings-Series E	289,000	134,555	47
Consolidated Educational Buildings-Series F	524,000	234,664	45
Community Colleges Educational Buildings-Series A	838,000	353,796	42
Community Colleges Educational Buildings-Series B	193,000	56,675	29
Community Colleges Educational Buildings-Series C	<u>385,000</u>	<u>145,174</u>	<u>38</u>
Subtotal	<u>5,925,100</u>	<u>2,131,944</u>	<u>36</u>

Housing and Dining System Revenue Bonds-Series A-I

	<u>1,731,956</u>	<u>640,559</u>	<u>37</u>
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University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 28, 1981

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
<b>Auxiliary Enterprises:</b>			
Chi Omega	2,538	2,538	100
Alpha Delta Pi	11,886	10,031	84
Dorms A-F	38,850	1,925	5
Dorms G-L	50,365	12,683	25
Phi Delta Theta	7,880	1,940	25
Delta Delta Delta	4,450	4,270	96
Alpha Tau Omega	8,078	2,039	25
Housing Bonds of 1964	13,313	3,157	24
Student Housing Bonds of 1964	30,436	30,436	100
Subtotal	<u>167,796</u>	<u>69,019</u>	<u>41</u>
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<u>\$ 7,824,852</u>	<u>\$ 2,841,522</u>	<u>36</u>

University of Kentucky  
 Schedule of Sinking Funds  
 February 28, 1981

<u>Bond Issue with Reserves Fully Funded:</u>	<u>Total</u>	<u>Bonds</u>	<u>Fund Balances</u>
	<u>Sinking Fund</u>	<u>Payable</u>	
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 2,232,410		\$ 2,232,410
Consolidated Educational Buildings Project and Interest Sinking Fund:			
Series A	1,029,676		1,029,676
Series B	996,875		996,875
Series C	396,497		396,497
Series D	3,486,432		3,486,432
Series E	398,186		398,186
Series F	1,221,226		1,221,226
Total	<u>122,388</u>		<u>122,388</u>
Student Housing Bond and Interest Sinking Fund of 1964			
Community College Educational Buildings Project Bond and Interest Sinking Fund:			
Series A	1,368,097		1,368,097
Series B	326,991		326,991
Series C	598,301		598,301
Student Housing Bond and Interest Sinking Fund of 1960	85,513		85,513
Dormitory Revenue Bonds of 1956	<u>50,000</u>		<u>50,000</u>
<b>Total Bond Issues with Reserves Fully Funded</b>	<u>12,312,592</u>		<u>12,312,592</u>
<b>Bonds Fully Funded by Escrow Accounts:</b>			
Keeneland, Cooperstown and Student Union Future Bond and Interest Fund	2,113,708	\$ 1,727,000	386,708
Consolidated Educational Series D Refunding Issue:			
Bonds Payable	31,000,000	31,000,000	839,150
Premium on Sale of Bonds	839,150		2,896,250
Amortization of Discount on Investment	2,896,250		11,385,741
Investment Income	11,385,741		(13,107,854)
Interest Paid	(13,107,854)		
<b>Total Series D Refunding Issue</b>	<u>33,013,287</u>	<u>31,000,000</u>	<u>2,013,287</u>
<b>TOTAL SINKING FUNDS</b>	<u>\$ 47,439,587</u>	<u>\$ 32,727,000</u>	<u>\$ 14,712,587</u>



University of Kentucky  
 Schedule of Renewal and Replacement  
 For the Eight Months Ended February 28, 1981

	<u>Restricted</u>	<u>Unrestricted</u>	<u>Total Fund Balances</u>
<b>Repair and Maintenance Reserves:</b>			
Housing and Dining System	\$ 1,074,000	\$ 51,074	\$ 1,125,074
Student Housing Buildings of 1964	43,132		43,132
Student Housing Buildings of 1960	26,884		26,884
Other Auxiliary Enterprises		<u>10,632</u>	<u>10,632</u>
<b>Total repair and maintenance reserves</b>	<u>1,144,016</u>	<u>61,706</u>	<u>1,205,722</u>
<b>Renewal and Replacement Funds:</b>			
Housing and Dining Funds		555,554	555,554
Other Auxiliary Enterprises		<u>147,712</u>	<u>147,712</u>
<b>Total renewal and replacement funds</b>		<u>703,266</u>	<u>703,266</u>
<b>GRAND TOTAL</b>	<u>\$ 1,144,016</u>	<u>\$ 764,972</u>	<u>\$ 1,908,988</u>

Office of the President  
April 7, 1981

FCR 2

Members, Board of Trustees:

FINANCIAL STATEMENT OF SPINDLETOP HALL, INC.

Recommendation: that the Board of Trustees accept the financial statement of Spindletop Hall, Inc. for the year ended December 31, 1980.

Background: In accordance with the agreement between Spindletop Hall, Inc. and the University of Kentucky, it is required of Spindletop Hall, Inc. to submit to the University of Kentucky Board of Trustees audited Financial Reports.

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Action taken: Approved  Disapproved  Other

Date: April 7, 1981.

Office of the President  
April 7, 1981

FCR 3

Members, Board of Trustees:

Authorization to Expend from Maintenance Reserve  
by Sigma Alpha Epsilon Fraternity

**Recommendation:** that the Vice President for Business Affairs and Treasurer be authorized and directed by the Board of Trustees to request the Trustee of Kentucky Student Housing Bonds of 1964 to make available the sum of \$2,965.00 from the "Maintenance Reserve Account" of said Bonds for the purpose of paying the cost of replacing two water heaters at the Sigma Alpha Epsilon fraternity house located at 410 Rose Lane.

**Background:** the Bond Issue provides for the establishment of a "Maintenance Reserve Account" which may be drawn and used by the Board for the purpose of repairs. As of December 31, 1980 the fraternity had a balance of \$10,524.02 in the account.

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Action taken: Approved  Disapproved  Other

Date: April 7, 1981

Office of the President  
April 7, 1981

FCR 4

Members, Board of Trustees:

RESOLUTION APPROVING REPORT OF THE  
DIRECTOR, AUXILIARY SERVICES, RECITING  
INSPECTION OF THE HOUSING AND DINING  
BUILDINGS AND APPURTENANT FACILITIES

Recommendation: That the Board of Trustees approve the report of the Director, Auxiliary Services, dated March 23, 1981, reciting inspection of the housing and dining buildings and appurtenant facilities of the University's Housing and Dining System, said report stating that such inspection shows that the Housing and Dining System is in good and current state of tenantability and repair.

Background: On February 9, 1981, the Executive Committee of the Board of Trustees authorized the issuance of \$4,200,000 University of Kentucky Housing and Dining System Revenue Bond Anticipation Notes, Series L, for the purpose of paying the costs of an addition to the University's Student Center. On March 10, 1981, the Executive Committee awarded at public sale the Series L Notes to the bidders submitting bids with the lowest interest cost.

One of the conditions set forth in the Board's June 1, 1965, Trust Indenture with Farmers Bank & Capital Trust Company, Frankfort, Kentucky, as Trustee, creating and establishing the issue of "Housing and Dining System Revenue Bonds", is that each Series of Bonds may rank on a basis of parity as to security and source of payment with all previously issued Bonds only if there is a written report, made by a proper member of the University's staff, approved by the President and submitted to and approved by the Board, showing that there has been a physical inspection of all of the facilities of the System and that they are found to be in a good and tenantable state of repair, ordinary wear and tear excepted. Such a certificate was made and approved by the Board prior to each of the previous Bond issues and it is now appropriate and necessary that the same step be updated for the Series L Notes.

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Action:      Approved     xx          Disapproved                 Other           

Date:           April 7, 1981

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF THE ASSISTANT VICE PRESIDENT  
BUSINESS AFFAIRS

March 23, 1981

**TO:** Dr. Otis A. Singletary, President: and  
Chairman and Members of the Board of Trustees  
of the University of Kentucky, Lexington, Kentucky

**FROM:** Robert W. Blakeman  
Director, Auxiliary Services

**SUBJECT:** Report of Physical Inspection of the Housing and  
Dining Buildings and Appurtenant Facilities of the  
University's Housing and Dining System

It has been brought to my attention in connection with the Housing and Dining System Revenue Bond Anticipation Notes, Series L, "that Section 1101 (c)" of the Trust Indenture of June 1, 1965, requires as a condition precedent that there be filed with the Trustee a certificate signed by an officer, agent or employee of the Board principally charged with responsibility for the maintenance and repair of the buildings and facilities comprising the Housing and Dining System, approved by the President of the University and by Resolution of the Board, showing that a physical inspection of such buildings and facilities has been made and that they are found to be in a good and current state of tenantability and repair, subject only to exceptions for matters scheduled to be corrected and for which budgeted money is available.

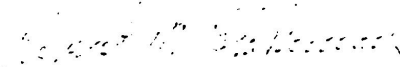
As Director of Auxiliary Services, I am the officer charged with such responsibility, and this report is submitted responsive to the requirements of the Trust Indenture.

The Maintenance Staff of the University is adequate and is so equipped and stocked that it is able to keep abreast of observed and reported structural and mechanical defects and failures on a prompt and regular basis. Inspections of roofs, tuck pointing, floors, stairways, elevators, heating and air-conditioning systems and the like are on a scheduled basis according to their useful life anticipation, and budgeted funds are such that renewals and replacements are a part of an active, continuing program. Movable furnishings and fixtures are repaired and replaced on a regular basis.

Dr. Otis A. Singletary  
Page Two

My most recent periodic review of the records of my office together with routine and scheduled inspections of buildings and facilities show that the Housing and Dining System is in a good and current state of tenantability and repair. I have no exceptions to report other than those routine and ordinary matters which are constantly receiving attention from day to day and for which budget provisions have adequately been made.

Respectfully submitted,

  
Robert W. Blakeman  
Director  
Auxiliary Services

APPROVED:

  
\_\_\_\_\_  
President of the University

APPROVED by Resolution of the Board of  
Trustees at a meeting held on April 7, 1981

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Chairman, Board of Trustees