

## **AGENDA**

### **Meeting of the Board of Trustees University of Kentucky 1:00 P.M. March 7, 2000**

**Invocation**

**Roll Call**

**Approval of Minutes**

#### **President's Report and Action Items**

- PR 1 President's Report to the Trustees
  - A. Report on President's Initiative on Undergraduate Education
- PR 2 Personnel Actions
- PR 3 Central Administration
  - A. Proposed Amendment to the Governing Regulations
  - B. Proposed Amendment to the Governing Regulations
  - C. Honorary Degree Recipients
  - D. Establishment of the Position of Vice President for Outreach and International Affairs
- PR 4 Community College System (No items to report)
- PR 5 Lexington Campus
  - A. Name Change in Program
- PR 6 Medical Center (No items to report)

#### **Finance Committee**

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 2000
- 2. Approval of Leases
- 3. Capital Construction Report
- 4. Anonymous Pledge of \$5,000,000
- 5. A Resolution Authorizing the University's Investment of Additional Funds in Kentucky Healthcare Enterprises, Inc.
- 6. Coldstream Research Campus Ground Lease – The Pizzuti Companies

#### **Investment Committee**

#### **Ad Hoc Committee on Board of Trustees Committees**

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,  
Tuesday, March 7, 2000.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 7, 2000 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Billy Joe Miles, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by W. Grady Stumbo, M.D.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Governor Edward T. Breathitt, Mr. Paul W. Chellgren, Mr. James Glenn III, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Dr. Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles (Chairman), Dr. Elissa Plattner, Mr. Steven S. Reed, Dr. Daniel R. Reedy, Mr. C. Frank Shoop, Ms. Marian Sims, Ms. Alice Sparks, Dr. W. Grady Stumbo, Ms. JoEtta Y. Wickliffe, Mr. Russell Williams and Ms. Elaine Wilson. Absent from the meeting was Mr. Billy B. Wilcoxson. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Elizabeth Zinser and James W. Holsinger; Vice Presidents Fitzgerald Bramwell, Joseph T. Burch, Ben W. Carr, and Edward A. Carter; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:04 p.m.

C. Approval of Minutes

Mr. Miles said that the Minutes of the Board meeting on January 25, 2000 had been distributed and asked for any additions or corrections. Mr. Shoop moved that the Minutes be approved as distributed. Mr. Guthrie seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. The University of Kentucky Public Relations and Marketing received eight awards for communications materials at the National CASE (Council for the Advancement and Support of Education) Conference and five honors at the Lexington Advertising Club's "Addy Awards."

2. The Kernel has won thirteen first place awards and production manager Chris Rosenthal was named Journalist of the Year in the Kentucky Intercollegiate Press Association competition.
3. The College of Agriculture has been involved in a request from Governor Paul Patton to organize and present training sessions for farmers applying for the Phase II Tobacco Settlement Funds. The state estimates it saved some \$2.75 million by having the University of Kentucky, through its extension agents, be involved in that training effort.
4. The campaign with the message "Back to Sleep" is designed to alert parents to the Sudden Infant Death Syndrome. It is receiving some considerable positive publicity for the University of Kentucky. The UK Hospital and UK Children's Hospital are the first hospitals in Central and Eastern Kentucky to educate new parents about the "Back to Sleep" onesie program.
5. Professor Doris Wilkinson received recognition for suggesting ending the usage of the term "minority." In an article published in the *Journal of Sociology and Social Welfare*, Professor Wilkinson focused on the term "minority" and the fact that it may be more inclusive than it should be in terms of being able to be specific about the particular group of individuals that one is talking about.
6. The UK Music Hall of Fame, the College of Education Hall of Fame, the Gatton College of Business and Economics Hall of Fame, and the College of Human Environmental Sciences Hall of Fame recently inducted new members. These inductions reflect favorably on our colleges and on our graduates.
7. A memorial scholarship fund has been established in memory of Jeremy Michael Streck a graduate and former football player of the University. Jeremy was killed by an automobile on October 9, 1999.
8. Miss Kentucky 2000 Shanna Moore presented her children's book about skin cancer to a group of Maxwell Elementary School fifth graders at the UK Outreach Center for Science and Health Career Opportunities. Miss Moore is a student at Murray State but hopes to attend the UK College of Medicine after graduation in August.

President Wethington asked the members to read the other items in the report at their leisure.

E. President's Initiative on Undergraduate Education

Chancellor Zinser expressed excitement in bringing a presentation on the university's undergraduate initiatives to the Board. She introduced Dean Philipp Kraemer and briefly reviewed his credentials.

Dean Kraemer became Dean of Undergraduate Studies in 1999, but before that he served for two years as Chair of the Department of Psychology. He came to the University of Kentucky in 1989 to serve on the faculty. He served in a number of leadership capacities in that department prior to serving as Dean of Undergraduate Studies. His background is extensive with numerous external grants for his work in biopsychology of memory, including work on the effects on the brain and on behavior as it relates to closed head injuries. He continues to advise students. He has served at least eighty undergraduate students through supervision of their undergraduate research activities in his laboratory.

Dean Kraemer has taken the Swift Report as well as other insights from discussions on campus, broken them into an action plan that focuses on the key priorities for immediate attention, and has begun to create more coherence and direction around many of the exciting initiatives on campus. This also gives us great focus on the kinds of things that donors in our campaign will be interested in, such as investing new funding in some of these most exciting endeavors. Chancellor Zinser noted that moving forward as a top twenty public research institute comes with the obligation to be a leading institution in undergraduate education.

Dean Kraemer thanked Chancellor Zinser for the nice introduction. He said that he believes the University has a very focused, coherent and realistic plan by which to enhance the quality of undergraduate education. His report follows.

The President's Initiative on Undergraduate Education offered a number of provocative recommendations for improving the undergraduate experience at UK. Most of those recommendations, as well as some additional ideas, have been integrated into a coherent, focused, realistic action plan. This plan is intended to advance the quality of the undergraduate experience in ways that are consistent with the University's Top-20 aspiration. The action plan is based on four guiding principles: (1) a focus on first-year retention; (2) an emphasis on innovation; (3) actions that create stronger linkages between learning, research, and student life on campus; and (4) a commitment to build on existing strength.

The framework for the action plan consists of four parts. First, we need to create a context for success. We need to address problems and issues that left unattended will undermine the success of the entire plan. For example, we need to address course bottlenecks. Courses must be offered regularly, sufficient sections must be available, and these sections must be widely distributed across schedules times so that students can get the courses they need to complete their degree programs in a timely fashion. We have

already made significant progress in this area, and we expect to have this problem solved in the near future. We must also pursue a comprehensive evaluation of USP, and we must be prepared to modify and revise the program to whatever extent necessary in order to provide a quality general education curriculum appropriate for a Research I University. Equally important, we must continue our steady progress in upgrading our classrooms and in providing state-of-the-art computer facilities for instruction.

The second component of the action plan is intended to engage first-year students more effectively in the university experience. The goal here is for students to connect to the University in stronger, more meaningful ways. As a consequence, retention rates and graduation rates should improve dramatically. UK currently lags significantly behind the 19 benchmarks in these measures that negatively impact UK's overall reputation and ranking. By providing a quality first-year experience for every student, we will improve retention and graduation rates and also increase student motivation, inspiration, satisfaction and preparation for success.

Each student will have a choice from among several first-year experiences. Some will choose UK-101, which is a traditional university orientation course. This program began with 4 sections in 1989 and will include 47 sections by 2000 that will serve nearly 1000 first-year students. Not only do students express high regard for this course, but it also has a significant impact on retention. There is a 5% difference in the first-year retention rates for students who take UK-101 relative to students who do not. A second option for students will be Discovery Seminars. The idea here is to have leading UK scholars present lively seminars to first-year students in classes limited to 25 or fewer students. Topics for these seminars reflect the research or scholarly interests of the faculty and represent issues and problems that students find stimulating and attractive. Students are inspired by the content and pedagogy of these seminars, but they also appreciate the opportunity to interact with faculty in a very positive fashion. UK will offer 15 seminars in Fall 2000, and the goal is to increase the number of these seminars to at least 40 by 2002.

Increasing undergraduate participation in research is a third kind of first-year experience available to students at UK. We currently have pockets of success where students work directly and closely with faculty, graduate students, and post-doctoral scholars as members of collaborative research teams. These research projects provide undergraduates excellent learning opportunities that stimulate and inspire students in ways that cannot be achieved in the classroom. Equally important, faculty find these experiences to be among the most exciting and rewarding teaching experiences. To enhance undergraduate research as a first-year experience, we propose the University Research Program; a collaborative project sponsored by the Vice President for Research and Graduate Studies and the Office of Undergraduate Studies. This program, which is similar to that at the University of Michigan, will allow first-year students an opportunity to work on research projects under the sponsorship of faculty across the University. In addition to hands-on research experience, students will attend a one-hour/week seminar designed to enhance study skills, orient students to the University, and introduce basic concepts of research. We also propose enhanced funding of the Undergraduate Research

and Creativity Program, which supports independent research and creativity projects of undergraduates during the fall and spring semesters as well as during the summer. Finally, we propose that funds be available to support student travel to national and regional conferences in order to present their projects. These opportunities enhance student credentials and improve UK's national reputation for educational excellence.

The fourth first-year experience option involves the concept of a living/learning community. Students often find large research universities to be intimidating and resist assimilation. A very effective way to circumvent this problem is to provide opportunities for students to engage with smaller communities that have an academic dimension. The most effective method for achieving this goal is to link residence life with the academic experience. UK currently has several successful living/learning communities, and the action plan calls for the development of at least one large program and four small programs by 2002. In addition, we will promote more academic related activities within residence halls. For example, residence halls can be used for classes, advising, and office space for faculty, graduate students, and advisors.

The third part of the action plan focuses on improving teaching and learning. One way to do this is to encourage redesign of some course and programs. Another strategy is to pursue the advantages of modern teaching-learning technologies where appropriate. Equally important is the continued improvement in the on-the-job training of our graduate teaching assistants. Rewarding departments for documented teaching success and curriculum innovation can also promote greater commitment to the noble enterprise of teaching. Lastly, we need to maintain and expand successful programs that improve student learning, especially in difficult courses. For example, we need to expand the successful Excel program that is now applied in math, chemistry, and biology.

The fourth part of the action plan is the Academic Recovery Program. Too many of our capable students are unsuccessful during their first semester. These students are often those who succeeded in high school and have the ability to succeed in a university but are unable to make the transition. Our plan is to: (1) identify these students early in the fall semester and intervene in order to prevent academic probation, and (2) provide a comprehensive, aggressive academic recovery program for those students who are placed on academic probation during the spring semester. As a further prevention, we need to improve the advising process for all of our students.

In conclusion, we believe that by implementing this plan, UK can dramatically improve the quality of the undergraduate experience in ways that allow more students to successfully complete their education and become life long learners. When UK aspires to become like such laudable institutions as the Universities of Michigan, Washington, Wisconsin, Illinois and Penn State, we are committed to pursuing excellence in all of our missions. Of particular importance is the realization that our benchmarks have achieved their status because they have found ways to create excellence in undergraduate education while also becoming renowned for research and graduate education. Quality undergraduate education is the cornerstone of all successful research universities, and our action plan is designed to exploit the reciprocal connections between undergraduate

education, research, and graduate education. Based on the pervasive strength we now feature at UK, we are confident that this action plan can be successfully implemented, and as a result we will be able to make great strides toward our ambition to become the next great university.

President Wethington asked if there were any questions about undergraduate education at the University of Kentucky.

Ms. Sparks asked whether or not students get credit for University 101. Dean Kraemer explained that they don't currently get general education credit, but that he thinks that is one of the things we would like to look at in terms of the reform of general education. He also noted that it's important that some of these transition year experiences not be add-ons and that they do count.

Mr. Guthrie asked if Dean Kraemer did any research with the students, such as market research on satisfactory levels of what they like and what they don't like. Dean Kraemer stated that they did do research. There is a senior survey that is administered every two years, and they are aggressively pursuing this. Dean Kraemer also noted that many of the concerns that are reflected in previous student satisfaction surveys have been the impetus for many of these items in this plan.

Governor Breathitt made note of the Pritchard Committee Annual Meeting where there was a presentation of a world-respected national study that was done which showed that there were no throw away children. He went on to say that if you give the same advantages at the first, second, third, fourth and fifth year of life, children from disadvantaged homes do as well as children from advantaged homes. Racial, ethnic, sociological differences are really stereotypes that we have, and these children have just as much ability to succeed. Governor Breathitt continued by stating that if this is carried forward, and it is valid, it would mean that there would be less need for remedial education at the college level and at the high school level.

Dean Kraemer agreed with Governor Breathitt and added that with early intervention all things are possible. He also noted that there's also the opportunity to intervene with those students who have not had that kind of quality experience early on. He said that he believes that we have to be very careful in defining a quality student in terms of simplistic means such as GPA and ACT. He does not think that there are many minds that come to the University of Kentucky that cannot do well, regardless of their ACT and GPA. He continued by saying that he thinks that ACT and GPA very often become too convenient, that we all would like to work with the very best students and that students with high ACT scores tend to be very good students. However, he has had quite a number of students who have not done well on these measures but with the right motivation, experience and nurturing have ended up doing very well. In terms of long-term, he does concur with Governor Breathitt and feels that it's imperative that as a society we begin to recognize this.

Ms. Sims added that in committee groups with students they discuss advising and what an important role that is to see that students feel like they are connecting to people, not telephones and books.

Dean Kraemer believes that is the heart of UK's intervention program, and the University recognizes the role of that kind of contact. He added that with this probationary plan, we would be contacting these students during the fall semester, not at the end of that semester when it may be too late, but when there's some indication, some sign that there is trouble. He explained that it may just be a phone call encouraging them to come in and talk to an advisor; that is all that is required to really turn the students in the right direction.

Ms. Sims added that she knew of a faculty member who has hundreds of students to advise and, in her opinion that is impossible. She would like for him to see twenty that he could take and advise on a semester basis. She asked Dean Kraemer for clarification on how the University determines how many students a single professor advises.

Dean Kraemer explained that it varies across departments depending on the population of certain degree programs. For instance, there are some majors that are immensely popular, such as psychology, and in that situation there are about 700 majors with thirty faculty members, so the advising load is going to be high. He added that by using professional advisors they could address that concern. He said he believes that faculty are very prepared to provide certain kinds of advising, but that other kinds of advising really need to be provided by professionals.

Ms. Sims asked if UK's faculty, as well as professional advisors, feel an urgent need to make sure a student stays at UK and finishes at UK.

Dean Kraemer explained that certainly the faculty is very concerned about students staying at UK and, in his opinion professional advisors bring with them an even greater passion.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Grayson moved approval. The motion, seconded by Ms. Wilson, carried. (See PR 2 at the end of the Minutes.)

G. Proposed Amendment to the Governing Regulations (PR 3A)

President Wethington noted that this is the first time for this amendment; therefore, it will remain on the table for at least another month, assuming it is approved at this meeting. The recommendation is that the proposed amendment to the Governing Regulations be received at this time for preliminary consideration, and at the next regular



meeting of the Board of Trustees, be included on the agenda for action. The Faculty Senate, through its Rules Committee, has considered recommendations concerning the voting status for all extension, clinical and research title series faculty and to full-time instructors and lecturers. This amendment is about including those individuals, who in the past have not been included, in the faculty member count in the various colleges and units across the University for determining the representation in the University Senate. He noted that the number of members of the University Senate does not change.

President Wethington recommended that approval be given to PR 3A. Ms. Sims moved approval. The motion, seconded by Mr. Guthrie, carried. (See PR 3A at the end of the Minutes.)

H. Proposed Amendment to the Governing Regulations (PR 3B)

President Wethington reminded the Board that this proposed amendment to the Governing Regulations received preliminary approval at the January 25, 2000 Board of Trustees Meeting. This recommendation determines the joint committee for the process of selecting a new president for the University of Kentucky. The process will involve this joint committee once the Governing Regulation is passed and once the Chair moves ahead to appoint the committee. This recommendation does approve an alumni member and a staff member, both represent groups which have not had representation in the past. It also includes a graduate student on the committee, making a total of two student representatives on the committee.

President Wethington recommended that approval be given to PR 3B. Dr. Mather moved approval. The motion, seconded by Mr. Glenn, carried. (See PR 3B at the end of the Minutes.)

President Wethington added that he would get a letter out to the Alumni Association, the Student Government Association, and to Ben Carr. Dr. Carr will determine with Russ Williams the process for selecting staff representation for this joint committee. He will ask each group to bring names back to him consistent with the regulation and he will transmit those names in a formal fashion to Mr. Miles by March 27<sup>th</sup>.

Mr. Miles explained that the officers of the Board and any other Board members that would like to be involved would interview the nominees and make a selection as a group. After that process, the committee will be named. The committee will then go through a long procedure of making sure everyone in the state or anyone involved is a part of the selection.

President Wethington added that there is a letter from Chancellor Holsinger attached to PR 3B suggesting that there be Medical Center representation, or at least one representative from each of the two sectors, for joint committees of the future. It was noted that this is an issue and matter that has not been considered by the University Senate. President Wethington recommended that Chancellor Holsinger's suggestion be

referred to the University Senate for consideration of the regulation for the future since it will not affect the joint committee at this time in any way. Mr. Miles agreed to have the University Senate consider this issue for future committees.

I. Honorary Degree Recipients (PR 3C)

President Wethington stated that PR 3C is a recommendation for honorary degree recipients of the University. The recommendation is that approval be granted to awarding the honorary degree of Doctor of Science to Ward O. Griffen, Jr. and the Doctor of Letters to James F. Hardyman. The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to these two men. These three bodies have expressed their approval of the recommendation, and the Board was notified that these two recipients would be sent forward today. Both of them have been contacted to determine if they would be willing to receive the degree and if they would be present at Commencement on May 7<sup>th</sup> to receive the degree. Both have indicated yes.

President Wethington recommended approval of PR 3C. Dr. Stumbo moved approval. The motion, seconded by Mr. Shoop, carried. (See PR 3C at the end of the Minutes.)

J. Establishment of the Position of Vice President for Outreach and International Affairs (PR 3D)

President Wethington stated that PR 3D is a recommendation that a new administrative position, Vice President for Outreach and International Affairs, be established effective July 1, 2000, and that Dr. Elizabeth Zinser be assigned to this new position. Last May there was a summit meeting focusing on International Affairs at the University in which Chancellor Holsinger, Chancellor Zinser, and faculty and staff from across the institution met and recommended several things concerning International Affairs. One of the recommendations was that there be a Vice President for International Affairs at the University of Kentucky.

President Wethington said he began working and talking with Chancellor Zinser shortly after that and was prepared to make a recommendation to the Board at that time. Due to certain activities of the Board and the administration that took a good bit of time the past summer, no recommendation was made at that time.

President Wethington said that the University Senate and the Senate Council had resolved in the last few days to express their opinion to the Board about this particular issue. It is not unusual that the faculty express their opinions about the University. He noted that these opinions get transmitted to the Board along with his comments and opinions. He reported that he had responded to the University Senate and Senate Council expressing his appreciation for their recommendation but disagreeing with their recommendation.

President Wethington said that this is a very serious issue. Not so much from his standpoint but for the next president coming into the position. In his opinion, that person must have the ability to choose his or her own administrative staff, recommend those positions to the Board, and have the Board support the president in every way to carry out what is certainly a very difficult but very good position.

He said that PR 3D is about choosing the administrative staff of the president of the University. Some may say that it is too close to the time that the current president will be leaving the position; however, he stated, in his opinion, it is not too close to that time. There are sixteen months left for the current president, and the Board has indicated, in no uncertain terms, that it has at least two big issues ahead of it. One is to choose the next president of the University and the other is to ensure that the University does not lose momentum in the next sixteen months. Everyone must continue the move and drive toward becoming a "Top 20" public research university. He said he needed a staff in place in order to get that job done.

He stated that he wants to conduct a search for a new Chancellor between now and July 1. If a world-class candidate is not identified during that period of time, then he will keep Chancellor Zinser in that position for the next year. He explained that he did not want in any way to conduct a chancellor search that would get in the way of the presidential search.

Mr. Miles reported that he and Mr. Reed met with President Wethington regarding this issue. He gave the example of C. M. Newton hiring a new coach. When a new coach arrives, the coach brings a new staff and everyone expects that. He said they wanted it understood that the new president, whoever he or she is, will have the right to bring his or her own staff. Mr. Miles stated that he and the officers want the university to go forward without dissension and make things happen. They do not want to waste this time.

President Wethington recommended that approval be given to the establishment of the position of Vice President for Outreach and International Affairs and that Chancellor Zinser be appointed to fill that position beginning July 1, 2000. Mr. Shoop moved approval. The motion, seconded by Bates, carried. (See PR 3D at the end of the Minutes.)

K. Community College System (PR 4)

President Wethington stated that there were no items to report from the Community College System.

L. Name Change in Program (PR 5A)

President Wethington explained that PR 5A is a name change in a program, and the recommendation is that the Board of Trustees approve the change of the current program name from Hospitality Management to Hospitality Management and Tourism to

reflect more emphasis on tourism. He recommended that approval be given to the program name change. Mr. Grayson moved approval. The motion, seconded by Dr. Mather, carried. (See PR 5A at the end of the Minutes.)

M. Medical Center (PR 6)

President Wethington reported that there were no items from the Medical Center.

N. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 2000 (FCR 1)

Mr. Chellgren, Chairperson of the Finance Committee, stated that FCR 1 is the acceptance of the Interim Financial Report for seven-twelfths of the fiscal year, ending January 31, 2000. He reported that the recognized revenues are 68% of the estimated revenues for the year, and the estimated expenditures are 58% of the accrued budget. Mr. Chellgren explained that there is early revenue recognition from state appropriations and also when a new semester begins there is early recognition and payment of the student fees and student costs at the beginning of the semester. As a result, there is a ten percent gap between revenue recognition and expense recognition. He added that as of the end of January, the endowment was \$358 million. That is up almost \$100 million from the same time last.

Mr. Chellgren moved the approval of FCR 1. Ms. Wilson seconded the motion, and it carried. (See FCR 1 at the end of the Minutes.)

O. Approval of Leases (FCR 2)

Mr. Chellgren stated that the second item is two relatively conventional leases, both for space in the Lexington-Metropolitan area. The first one is approximately 4,000 square feet, \$15 per square foot, at an annual rate of \$60,000 as part of the Rehabilitation Medicine facility. The second one is between the University of Kentucky (Cancer Information Services) and Southcreek Park Partnership for about 6,600 square feet also with a price of about \$15 per square foot. These are one-year leases.

Mr. Chellgren moved the approval of FCR 2. The motion, seconded by Grayson, carried. (See FCR 2 at the end of the Minutes.)

P. Capital Construction Report (FCR 3)

Mr. Chellgren stated that FCR 3 is the quarterly Capital Construction Report. It reports on construction project by project. There are three projects of some magnitude that are just beginning, three of our major capital expenditures, the Allied Health Building, the Mechanical Engineering Building, and the Plant Science Building. There are no unusual items to be brought to the Board's attention. The administration was asked to comment on the status of the fire safety capital expenditure program that is

underway in the residence halls as well as some of the other buildings, and the report was received.

On motion made by Mr. Chellgren, seconded by Ms. Wilson and carried, FCR 3 was approved. (See FCR 3 at the end of the Minutes.)

President Wethington reminded the Board of a groundbreaking for the Gill Heart Institute Advanced Surgery Center at the Medical Center at 10:00 a.m. on Wednesday, March 8<sup>th</sup>. He extended an invitation to the Board to attend this important event.

Q. Anonymous Pledge of \$5,000,000 (FCR 4)

Mr. Chellgren continued with a recommendation to accept an anonymous pledge of \$5,000,000. This gift is for the William T. Young Library Endowment from a donor who wishes to remain anonymous. It is anticipated that this \$5,000,000 gift will qualify for a match based on certain precedent if the General Assembly and the Governor approve the new Research Challenge Trust Fund grant that is before the General Assembly at this time.

Mr. Chellgren moved the approval of FCR 4. The motion, seconded by Mr. Grayson, carried. (See FCR 4 at the end of the Minutes.)

R. A Resolution Authorizing the University's Investment of Additional Funds in Kentucky Healthcare Enterprises, Inc. (FCR 5)

Mr. Chellgren explained that FCR 5 is a resolution authorizing the University's additional investment of \$3.6 million in Kentucky Healthcare Enterprises, Inc. He added that this enterprise was established in 1995 to increase the number of patients served by the UK Hospital, to protect the base of UK Hospital referrals, and to solidify the reference base at the Hospital. Mr. Chellgren noted that the University has already invested approximately \$21.2 million in this enterprise, which is a for-profit enterprise. This would be an additional investment of \$3.6 million in the year 2000. The current anticipation is that there would be one additional investment of approximately \$2 million that would be required in the next year or two.

Mr. Chellgren moved the approval of FCR 5. The motion, seconded by Ms. Wilson, carried. (See FCR 5 at the end of the Minutes.)

S. Coldstream Research Campus Ground Lease – The Pizzuti Companies (FCR 6)

Mr. Chellgren explained that FCR 6 came in too late for the agenda mailed earlier. The recommendation is to approve a ground lease for lot 13 of the Coldstream Research Campus for the purpose of developing a 45,000 square foot commercial building to house a technology center for IBM. Lot 13 is just off Newtown Pike on the left side just across the street from the Embassy Suites. This is an important project for

the future of the Coldstream Research Campus, one we were extremely pleased to deal with even with short notice. This is a long-term land lease, similar to those that the other tenants at the research campus will have signed. It is to develop and built to suit project for IBM as the only occupant of the 45,000 square foot building.

Mr. Chellgren moved the approval of FCR 6. Mr. Bates seconded the motion, and it carried. (See FCR 6 at the end of the Minutes.)

President Wethington expressed his appreciation to Ed Carter, Gene Williams and Kathy Stewart for the good work that they have done in regard to the IBM tenant at Coldstream.

Mr. Carter introduced Ms. Kathy Stewart, Executive Director of Coldstream. Ms. Stewart joined the UK staff this summer and has worked hard to get this tenant in at Coldstream. Ms. Stewart received a round of applause.

#### T. Investment Committee Report

Mr. Grayson began the Investment Committee Report by stating that as everyone becomes more aware of the market through CNBC, 401-K's and everything else, it does not hurt to try to periodically get a capsule view of what we are doing at the University. Mr. Grayson continued by highlighting some items in the report. He stated that the University has approximately \$350,000,000-\$360,000,000 worth of funds which we manage. These are broken down into several categories. We have 52% of that in common stocks and US companies, 36% in fixed income securities, primarily government bonds, and 12% in international companies. Mr. Grayson continued by saying that calendar year 1999 was a good year for the endowment investments with our domestic equity managers, which was 52%, producing a total return of 22% which compared favorably to the S&P market index of 21%. Investments in international companies returned 16.6% and fixed income securities, or the government bonds sector, lagged with a negative 2.7%. 1999 was a very difficult year for bond managers because of rising interest rates, which was one of the worst bond markets in recent years. Overall, the University had an 11.7% return for the year, which again is slightly better than the market index of 11.4%.

Mr. Grayson added that the Investment Committee tracks what the University of Kentucky does in comparison with other universities and colleges. There are approximately 500 colleges and universities, and we track our performance against the overall performance. The University of Kentucky continues to be one of the top performers. He explained that we do that with an investment style that is somewhat more conservative than some of the schools, and in spite of that, the University has steadily increased its performance from ten years ago at the 46<sup>th</sup> percentile, which was right about in the middle, and it has currently for the last three years been at the 26<sup>th</sup> percentile. So, one percentile being out of the top quarter, and again we do that with investments which are certainly in more traditional forms of investments than some of the other universities would do. It also gives us information on our spending policy. And, as a reference, our

spending policy is that we spend 5% of the trailing average of the last three years. In a rising market, that equates to about a 3.5% spending of the current portfolio and that compares, again, more conservatively with the other colleges and universities that are about 4.2%.

U. Ad Hoc Committee on Board of Trustees Committees Report

Dr. Mather reported that the Ad Hoc Committee met to review some of the recommendations made by Dr. John Byrne during the Board Workshop. Dr. Byrne stated in his report that if the University of Kentucky is to be a "Top 20" university, it must have a "Top 20" Board. Dr. Mather stated that the proposal on a consent agenda came out of a survey of the benchmark institutions as well as in discussions at the Board Workshop. He explained that the Board Chair and the President would prepare the Consent Agenda, which would be comprised of items that are routine in nature and would have no opposition. He further stated that if the Board adopts a consent agenda, then there needs to be some ground rules. The Ad Hoc Committee is proposing that each Board member still have the right to ask that an item be removed from the consent agenda. This simply means instead of it being voted on without discussion, it can be pulled apart and can still be discussed at that meeting and/or even postponed for further discussion at a later meeting, if that is necessary.

Dr. Reedy posed the question as to whether or not this is an item that would go on the agenda for another month for final consideration. Dr. Mather noted that this recommendation would not change the Governing Regulations. President Wethington added that given the fact that the Board is still involved in some litigation and that one of the points in that, as he remembered, has to do with dealing with something that is not on the Board agenda. He further suggested that there not be any formal vote taken on it at this meeting, just on the possibility that the Board might be out of line in some fashion if it did it. Dr. Mather concurred with President Wethington.

Mr. Miles stated that he would like for the Board to have a roundtable dinner meeting to decide the direction of the Board in the future. He asked Dr. Mather to continue with his report and stated that no action would be taken at this time.

Dr. Mather continued with his report and pointed out that UK has a rather large Board compared to its benchmark institutions. According to Dr. Byrne, in order for the Board to function adequately, it needs to have a fairly active committee system to help make sure that at least someone on the Board has had a chance to look at a matter before it comes to the Board. Dr. Mather explained that the Ad Hoc Committee has looked at the committee structure to see where it might go in terms of a future plan. The Board currently has an Executive Committee, a Finance Committee, an Investment Committee, a Nominating Committee, a Hearing Committee, and a Student Code Committee. The Ad Hoc Committee is considering adding two committees, an Academic Affairs Committee and a University Relations Committee. Both of those were in Dr. Byrne's report and his recommendation. Dr. Mather stated that most of the discussion at the Ad Hoc Committee centered around the charge that would be given to those committees.

The Ad Hoc Committee is not yet prepared to make a recommendation to the Board. It will meet again before the next meeting to try and work through these issues.

Mr. Miles stated that the officers of the Board had been discussing a dinner meeting before the next Board meeting. Considering that is the night of the Final Four, Mr. Miles asked if it would suit everyone to have a dinner meeting after the next Board Meeting. The Board would meet in the afternoon and have dinner that night to continue discussion of the recommendations from the Ad Hoc Committee on Board Committees. It was decided that the Board would have a dinner after the May Board meeting, instead of the April meeting.

V. Report on UKadvance

Mr. Williams reported to the Board that one of UK's premier training event starts on Monday. UKadvance is a program that has been a part of the university culture since 1992. Every two years, forty employees are selected to go through an intensive two-week leadership development institute and then after that, on a quarterly basis, they attend follow-up sessions. The goal is to help the University develop the leaders of the future who are going to be in leadership positions five, ten, or twenty years from now. It's an application process that the employees go through. Dr. Juanita Fleming has chaired the selection committee for the life of the institute, and Dr. Wethington has appointed that committee. They have always found the most remarkable staff people that the University has to offer. He noted that there were seventy applicants this year and only forty could be accepted in the program.

Mr. Williams passed out information packets, which included the history of the program, a list of the people that have gone through it since 1992, the mission statement, and the two-week schedule. The primary goal of this program is to expose the staff to as many different elements of the University as possible. The staff will be everywhere from Engineering, the Medical Center and the Woodford Farm to a day on the Team Challenge Course. Mr. Williams invited Board members to attend the functions that are of interests them.

W. Other Comments

Dr. Reedy commented on the article that was in the Lexington Herald Leader concerning the Future's Committee Report. Dr. Reedy noted that this is not the first time that a Future's Committee has prepared such a report. He explained that when Dr. Singletary was retiring, a Future's Committee was formed consisting of faculty, staff, and students. Dr. Reedy stated that Dr. Roy Moore, Chairperson of the Senate Council, would be happy to make copies available to anyone wanting a copy of the Future's Committee Report.

Dr. Plattner commented that at the Mechanical Engineering dedication, Dean Lester said more than once that the reason that the building was taking place and going forward was because President Wethington had a hand on his back that he never removed



during the ten years that it has taken to get the funding, the bulldozers, and people involved. At that time, the President Wethington, Dean Lester and other speakers, thanked the Governor and the Legislature and all who were listening. She said she sure hoped on that pleasant day the windows in Frankfort were open wide to hear the deep appreciation of this University for the assistance of the Governor and Legislature in seeing this building to fruition.

X. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:35 p.m.

Respectfully submitted,

Daniel R. Reedy  
Secretary  
Board of Trustees

(PR 2, 3A, 3B, 3C, 3D, 5A; FCR 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the meeting.)

Office of the President  
March 7, 2000

1. UK Public Relations and Marketing Materials Earn Recognition

UK received eight awards for communications materials at the District III conference of the Council for the Advancement and Support of Education (CASE). Four of the UK awards were for the "America's Next Great University" advertising campaign. GRAND AWARDS: the 1999 Annual Report, "America's Next Great University" radio ads and "Profiles in Greatness" radio public service announcements, and the capital campaign case statement series, "A Call to Greatness." AWARDS OF EXCELLENCE: "America's Next Great University" overall marketing campaign, "America's Next Great University" and "Profiles in Greatness" print ads, "America's Next Great University" television ads and "Profiles in Greatness" television public service announcements," and *Odyssey* magazine. A Special Merit Award was given to *UK News*, the faculty-staff newspaper. CASE District III represents 508 colleges, universities and independent schools. UK is one of only eight institutions to receive eight or more awards.

"America's Next Great University" also received five honors at the Lexington Advertising Club's "Addy Awards."

2. National Report Recognizes UK Program for Rural Drug Therapy

The Center on Drug and Alcohol Research's rural therapy program for drug and alcohol users was identified as a "promising innovation" in a January report commissioned by the U.S. Conference of Mayors. The National Center on Addiction and Substance Abuse at Columbia University prepared the report, "No Place to Hide: Substance Abuse in Mid-Size Cities and Rural America."

3. Kentucky Kernel Wins 44 Awards in State Competition

UK's daily student newspaper, the *Kentucky Kernel*, won 13 first-place awards and production manager Chris Rosenthal was named Journalist of the Year in the Kentucky Intercollegiate Press Association competition. The newspaper also received eight second-place awards, seven third-place awards and 16 honorable mentions.

4. College of Agriculture Training Saves State \$2.75 Million

In response to a request from Governor Paul Patton, the College of Agriculture has organized and presented training sessions for farmers applying for Phase II Tobacco Settlement Funds. The state estimated it saved \$2.75 million in training costs when compared with training presented in other states by private contractors. The training sessions were delivered to county extension agents at eight distance-learning sites. Agents then worked individually with farmers in their counties.

5. University Initiates Program to Fight SIDS

New parents at the UK Hospital and UK Children's Hospital are receiving a new reminder in the fight against Sudden Infant Death Syndrome (SIDS). All newborns receive a onesie – a one-piece garment for infants -- with the message, "Back to Sleep," to remind parents that healthy infants should sleep on their backs to best protect against SIDS, which kills nearly 5,000 babies in the United States every year. The UK Hospital and UK Children's Hospital are the first hospitals in Central and Eastern Kentucky to educate new parents with the "Back to Sleep" onesie, provided to them courtesy of the UK Hospital Auxiliary.

6. Kentucky Geological Survey Donates Rare Maps to the UK Library

Kentucky Geological Survey has donated two rare and valuable historic maps of Kentucky to the William T. Young Library. One map was published in London, England, in 1794, and the second in Philadelphia in 1794-95. History professor emeritus Thomas Clark described the second map as the most important map of Kentucky produced in the 18th century. It presents the new state at the time of its admission to the Union.

7. Survey Research Center Renovates to Expand Services

The Survey Research Center will double its capacity to conduct telephone surveys after renovations are completed by the end of March. The addition of a new high-tech call center with 22 work stations and a supervisor's station will significantly enhance the center's ability to provide research support in the behavioral and social sciences for both UK researchers and others.

8. Pilot Project Shows Investors New Procedure for Resolving Disputes

The Securities Industry Conference on Arbitration, a national consortium chaired by UK law professor Thomas J. Stipanowich, has launched a pilot project to arbitrate disputes between investors and the stock brokerage firms with which they do business. The program provides a broader range of arbitration forums where investors may resolve their disputes. One hundred customers with investment disputes will have their issues resolved under the two-year program.

9. Sociologist Calls for End of Term ‘Minority’

Professor Doris Wilkinson of the Department of Sociology has called for ending usage of the term “minority” in an article published in the *Journal of Sociology and Social Welfare*. Wilkinson critiques the term – particularly in the areas of academic research, public policy and journalism – because it is inexact and lumps different groups into one. Instead, she suggests using the specific terms that apply to specific groups. The journal invited her to contribute the article for a special edition on “The Changing American Mosaic,” because she has been examining the language of social science for several years.

10. Four Inducted into UK Music Hall of Fame

Four persons recently were inducted into the Carl A. Lampert Music Hall of Fame, which honors the first chairman of the UK music department and composer of the alma mater and “On, On, U of K.” The new members are Naomi Broida, immediate past president of the UK Friends of Music; Jay Brumfield, retired executive director of the UK Alumni Association and past president of the Friends of Music; John (Jay) Kloecker, director of bands at the University of Nebraska; and the late Nathaniel Patch, former UK piano professor. Fifteen friends and alumni have been inducted into the Hall of Fame since its inception in 1996.

11. Education College Inducts New Hall of Fame Members

In ceremonies before tip-off of the UK-Arkansas basketball game February 26, the College of Education inducted five new members to its Alumni Hall of Fame. The new members are Dermontti Dawson, professional football player for the Pittsburgh Steelers; Joe B. Hall, former UK head basketball; Marlene Helm, secretary of the Kentucky Education, Arts, and Humanities Cabinet; Ted Strickland, U.S. congressman from the 6th District of Ohio; and Billy O. Wireman, president of Queens College of Charlotte, North Carolina.

12. Three are Named to UK Business Hall of Fame

Three people were inducted February 17 into the Gatton College of Business and Economics Alumni Hall of Fame. The inductees are Gregory L. Burns, chairman and chief executive officer of O'Charley's; Pamela Renee Goodwine, a Fayette District Court judge; and Michael Mountjoy, co-founder, president and managing director of Carpenter, Mountjoy & Bressler P.S.C.

13. Five Inducted in HES Hall of Fame

Five new inductees to the College of Human Environmental Sciences Hall of Fame were honored March 2. They are former Kentucky Governor Martha Layne Collins, UK Alumni Association pioneer Jewell Deene Ellis, retired nutrition and food science professor Abby Marlatt, alumna Patricia J. Buster and first dean of the college Statie Erikson. The induction was part of Human Environmental Sciences Week.

14. Graduate School Targets Master's Programs for New Fellowships

The Graduate School expects to award 50 new fellowships beginning next fall to new students interested in pursuing professionally-oriented master's degrees in fields such as social work, nursing, health administration, family studies and several others. The Millennium Fellowships, which will provide a \$1,000 annual stipend, are intended to help recruitment efforts in the targeted programs.

15. Streck Memorial Scholarship Fund Established

Marcia Lea Streck of Maineville, Ohio, has established the Jeremy Michael Streck Memorial Scholarship Fund in memory of her son, a 1999 UK graduate and former football player who died October 9 after being struck by a car. The College of Communications and Information Studies intends to award the first Streck Memorial Scholarship this spring. Jeremy Streck was an Integrated Strategic Communications major in the School of Journalism and Telecommunications.

16. Firststar Bank to Provide "Empowerment" Scholarships

Firststar Bank has agreed to fund two scholarships at Lexington Community College for the next five years. The "Empowerment" scholarships will be awarded to two African-American students attending LCC each year and will cover the cost of tuition and books. David M. Hall, president of Firststar's Central Kentucky Region, presented LCC President Jim Kerley with the first installment of \$10,000 on February 22.

17. Miss Kentucky 2000 Presents Children's Book about Skin Cancer

Miss Kentucky 2000 Shanna Moore presented her children's book about skin cancer to a group of Maxwell Elementary School fifth graders at the UK Outreach Center for Science and Health Career Opportunities. The book was published in January with the support of the Outreach Center and the Markey Cancer Center. Moore plans to distribute her book to elementary schools she will visit during her reign. The Outreach Center also will deliver the book to schools when presenting educational programs. Moore, a student at Murray State University, hopes to attend the UK College of Medicine after graduation in August.

18. Sloan wins Ockerman Award

Lexington resident Maureen Sloan received this year's Francis J. Ockerman Award for service to international students at UK. Sloan is the wife of Paul A. Sloan of the Chandler Medical Center. She has welcomed a number of international students into her home. The award is named for the Kentucky native and UK graduate who hosted and tutored international students.

19. Student Awards and Honors

Crystal Brogan, Physics, was appointed a Jansky Research Associate by the National Radio Astronomy Observatory, allowing her to work at one of the observatory's four sites.

Edward Carr, Geography; Mary Conway-Dato-on, Business Administration; Philip Flower, Pharmaceutical Science; Sisey Gebrekidan, Pharmaceutical Science; Michael Gunter, Political Science; Lara Hayes, Communication; Valerie Johnson, English; Matthew Kurtz, Geography; Scott Merriman, History; Filiz Oztalas, Political Science; Stephen Pavey, Anthropology; Christopher Rice, Political Science; Monica Rodriguez, Spanish; Michael Sukop, Agronomy; Flora Valencia, Spanish; Irene Villareal-Stewart, Instruction and Administration; Rebecca Weaver, English; and Aileen Wiglesworth, Gerontology; received Dissertation Enhancement Awards from the Graduate School.

Garry Weston, Health Services Management, was named President of the Year by FarmHouse international fraternity.

20. Significant Faculty and Staff Activities

Patricia Collins, Clinical Laboratory Science, received the American Society of Clinical Pathologists' Associate Member Award.

Subodh K. Das, Center for Aluminum Technology, received the Aluminum Distinguished Service Award from the Minerals, Metals & Materials Society.

Patricia Dyk and Julie Zimmerman, Rural Sociology, received a \$73,500 grant from the U.S. Department of Health and Human Services to study the impacts and outcomes of welfare reform across rural and urban places in Kentucky.

Teresa Free, Nursing, was elected as president of the nursing division of the American Association of Mental Retardation.

John Herbst, Student Center, won the Frank Harris Outstanding Student Government Advisor Award given by the National Association for Campus Activities, a national award named for Herbst's predecessor as UK Student Center director.

Christopher Kwolek, Endovascular Surgery, became the first in Central and Eastern Kentucky to use the Ancure Endograft system, a new graft system for abdominal aortic aneurysms.

Sharon Lock, Nursing, was elected secretary of the Southern Nursing Research Society.

William R. Markesbery, Sanders-Brown Center on Aging, received the Community Health Leadership Award from Community Health Charities of Kentucky.

Roy L. Moore, Communications and Information Studies, received a \$6,000 grant from the Ethics and Excellence in Journalism Foundation to conduct a national survey of law media instructors and to develop a model media law and ethics curriculum.

Steve Parker, Kinesiology and Health Promotion, was elected president of the Kentucky Association of Health, Physical Education, Recreation, and Dance and was named the association's Outstanding Physical Educator of the Year.

Nancy Schoenberg, Behavioral Science, received a four-year, \$260,000 Scientist Development grant from the American Heart Association for her project, "Coronary Heart Disease Self-Care Treatment Decision-Making among Older Adults."

Rich Schwartz, Surgery, was chosen to co-write a series of articles on business, organizational, financial and leadership issues for physicians for The American Journal of Surgery.

Mark Summers, History, had his sixth book, *Rum, Romanism, and Rebellion*, published by University of North Carolina Press.

Lionel Williamson and Tim Woods, Agricultural Economics, received a \$75,000 U.S. Department of Agriculture grant to direct a center to improve agricultural cooperatives.

# PR 2

Office of the President  
March 7, 2000

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



## CENTRAL ADMINISTRATION

### **I. BOARD ACTION**

#### **A. ADMINISTRATIVE APPOINTMENT**

##### Vice President for Research and Graduate Studies

Kleinert, Harold L., Associate Adjunct Professor, Special Education and Rehabilitation Counseling, appointed Director, Interdisciplinary Human Development Institute, 2/1/00 through 1/31/06.

#### **B. CHANGES**

##### Vice President for Information Systems

Jones, Reinetta F., Librarian II (with tenure), from Communication Reading Room, University Libraries to Librarian II (with tenure), to the Engineering Library, University Libraries, effective 3/1/00.

#### **C. RETIREMENTS**

##### Vice President for Fiscal Affairs

Crow, Wayne, Claims Representative, Employee Benefits, after 16 years of consecutive service, under AR II-1.6-1 Section III.B, effective 1/31/00.

Hollon, Clyde, Mechanic, Physical Plant Division, after 43 years, 9 months of consecutive service, under AR II-1.6-1 Section III.B, effective 2/29/00.

Woods, Frances, Custodial Worker, Physical Plant Division, after 20 years, 2 months of consecutive service, under AR II-1.6-1 Section III.B, effective 2/29/00.

##### Vice President for Information Systems

Atcher, Charles, Librarian I, King Library, after 35 years, 3 months of consecutive service, under AR II-1.6-1 Section III.B, effective 1/31/00.

#### **D. EARLY RETIREMENTS**

##### Vice President for Fiscal Affairs

Black, John C., Trucking Supervisor, Physical Plant Division, after 34 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/14/00.

Clark, Frances C., Staff Support Associate I, Physical Plant Division, after 25 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 3/31/00.

Vice President for Research and Graduate Studies

Dawson, Shirley, Staff Support Associate II, Kentucky Geological Survey, after 15 years of consecutive service, under AR II-1.6-1 Section III.A.2, effective 4/28/00.

**E. POST-RETIREMENT APPOINTMENTS**

Vice President for Fiscal Affairs

Powell, Ray, Plumber III (part-time), Physical Plant Division, 1/11/00 through 6/30/00.

Preston, Wendell, Refrigeration Mechanic III (part-time), Physical Plant Division, 2/15/00 through 6/30/00.

**LEXINGTON CAMPUS**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Arts and Sciences

McKinney, William J., Professor (without tenure), Aerospace Studies, 7/1/00 through 6/30/03.

College of Fine Arts

Lohmeyer, Gail Robinson, Professor\* (with tenure), Music, named as Endowed Chair in Vocal Music, effective 8/23/99.

College of Social Work

Oliphant, Emmerentie, Visiting Associate Professor, Social Work, 1/1/00 through 7/31/00.

**B. ADMINISTRATIVE APPOINTMENTS**

College of Arts and Sciences

McKinney, William J., Professor (without tenure), Aerospace Studies, appointed Chair, Aerospace Studies, 7/1/00 through 6/30/03.

**C. REAPPOINTMENTS**

College of Law

Elliott, Robert L., Professor (part-time), Law, 1/10/00 through 5/15/00.

Garner, William R., Professor (part-time), Law, 1/10/00 through 5/15/00.

Hamblin, Pierce W., Professor (part-time), Law, 1/10/00 through 5/15/00.

**D. EARLY RETIREMENTS**

College of Agriculture

Absher, William M., Auto Mechanic II, Motor Pool, after 22 years and 11 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 3/13/00.

Laubert, Nancy M., Administrative Support Associate I, 4-H Programs, after 31 years and 1 month of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/99.

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\*Special Title Series

Thompson, Loretta B., Program Assistant, Cooperative Extension Service, after 18 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 1/7/00.

Vice Chancellor for Administration and Outreach

Spears, Carolyn R., Administrative Staff Officer II, Administration, Auxiliary Services, after 12 years and 8 months of consecutive service and a total of 27 years and 2 months of service, under Section III.A.2 of AR II-1.6-1, effective 4/3/00.

**E. POST-RETIREMENT APPOINTMENTS**

College of Engineering

Wittwer, Alvin L., Industrial Extension Specialist II (part-time), Center for Robotics and Manufacturing Systems, 1/4/00 through 6/30/00.

**F. PHASED RETIREMENT APPOINTMENTS**

College of Education

Collins, Clinton, Associate Professor (half-time), Educational Policy Studies and Evaluation, 7/1/00 through 6/30/03.

**II. ADMINISTRATIVE ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Agriculture

Schneider, Krista L., Assistant Professor, Landscape Architecture, 1/1/00 through 6/30/00.

College of Architecture

Jackson, Melody Farris, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Marshall-Barry, Shawn, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Myers, Matthew, Assistant Professor (temporary), Architecture, 1/1/00 through 6/30/00.

College of Communications and Information Studies

Armendarez, Jennifer L., Instructor (part-time), Communication, 1/1/00 through 5/31/00.

Bryant, Charles, Instructor (part-time), Communication, 1/1/00 through 5/31/00.  
Duthler, Kirk, Instructor (part-time), Communication, 1/1/00 through 5/31/00.

Hall, Leanna, Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.

Moore, Susan M., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.

Picherit, Gaelle, Instructor (part-time), Communication, 1/1/00 through 5/31/00.

Powell, William G., Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.

Sutton, Laura, Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.

#### College of Fine Arts

Capaldo, Jennifer, Instructor (part-time), Music, 2/1/00 through 4/30/00.

Perkins, Emma Gillespie, Instructor (part-time), Art, 1/1/00 through 5/31/00.

#### College of Human Environmental Sciences

Gibson, Gary L., Instructor (part-time), Nutrition and Food Science, 1/1/00 through 5/15/00.

#### College of Social Work

Sparks, Angela M., Instructor (part-time), Social Work, 1/1/00 through 5/15/00.

#### Lexington Community College

Henry, Brenda, Instructor (temporary), Lexington Community College, 1/4/00 through 5/31/00.

Kelly, Ryan S., Instructor (temporary), Lexington Community College, 1/12/00 through 5/31/00.

Smith, Sans, Instructor (temporary), Lexington Community College, 1/11/00 through 5/31/00.

### **B. REAPPOINTMENTS**

#### College of Agriculture

Austin, Stephen D., Instructor (part-time), Landscape Architecture, 1/1/00 through 5/31/00.

McCoy, J. Michael, Instructor (part-time), Landscape Architecture, 1/1/00 through 4/30/00.

Myers, Frieda A., Instructor (part-time), Landscape Architecture, 1/1/00 through 4/30/00.

Singer, Jeffrey Lee, Instructor (part-time), Landscape Architecture, 1/1/00 through 4/30/00.

College of Architecture

Barry, Michael, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Biagi, David, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Huestis, G. Chris, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Hutchinson, Martha, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Jacobs, Michael, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Pettit, Van Meter, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Romanowitz, Byron, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.  
Stevens, Randall, Instructor (part-time), Architecture, 1/1/00 through 5/15/00.

College of Communications and Information Studies

Abate, Anne K., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Boyle, Karen R., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Franks, Mildred M., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Preston, Tommy L., Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.  
Saunders, Dudley F., Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.  
Schultz, Lois E., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Shier, Elizabeth M., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Stephens, Rebecca, Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
VanWilligen, Jacqueline E., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Wesley, Threasa L., Instructor (part-time), Library and Information Science, 1/1/00 through 5/31/00.  
Wiser, Nancy, Instructor (part-time), Journalism and Telecommunications, 1/1/00 through 5/15/00.

College of Fine Arts

Boswell, Jane, Instructor (part-time), Art, 1/1/00 through 5/31/00.  
Deskins, Kristina, Instructor (part-time), Theatre, 1/1/00 through 5/31/00.  
Glixon, Beth, Instructor (part-time), Music, 1/1/00 through 5/31/00.  
Hoffman, Dorotea, Instructor (part-time), Music, 1/1/00 through 5/31/00.  
Holdren, Michael, Instructor (part-time), Art, 1/1/00 through 5/31/00.  
Johnson, Lucy, Instructor (part-time), Art, 1/1/00 through 5/31/00.  
Karp, Margaret, Instructor (part-time), Music, 2/1/00 through 4/30/00.  
Noland, Gary, Instructor (part-time), Art, 1/1/00 through 5/31/00.

Slade, Susan, Instructor (part-time), Art, 1/1/00 through 5/31/00.  
Stamps, Peggy, Instructor (part-time), Music, 2/1/00 through 4/30/00.  
Stofer, Jill, Instructor (part-time), Art, 1/1/00 through 5/31/00.  
Wilson, Annabelle, Instructor (part-time), Art, 1/1/00 through 5/31/00.

College of Human Environmental Sciences

Coufal, Jeanette, Instructor (part-time), Family Studies, 1/1/00 through 5/15/00.  
Tulloch, Charlotte, Instructor (part-time), Family Studies, 1/1/00 through 5/15/00.  
Wilson, Jeannette, Instructor (part-time), Family Studies, 1/1/00 through 5/15/00.

Lexington Community College

McCane, Rebecca J., Instructor (temporary), Lexington Community College, 1/5/00  
through 5/31/00.

**C. CHANGES**

College of Arts and Sciences

Greissman, Richard, from Dean Assistant, to Dean Assistant/Administration,  
effective 3/1/00.

**D. LEAVES OF ABSENCE**

College of Agriculture

Ghabrial, Said A., Professor (with tenure), Plant Pathology, Sabbatical leave with full  
salary, 10/1/00 through 3/31/01.

College of Architecture

Groves, J. Russell, Associate Professor (with tenure), Architecture, Leave without  
pay, 1/1/00 through 6/30/00.

College of Education

Shake, Mary, Associate Professor (with tenure), Curriculum and Instruction,  
Sabbatical leave with full salary, 7/1/00 through 12/31/00.  
Tice, Karen, Associate Professor\* (with tenure), Educational Policy Studies and  
Evaluation, Sabbatical leave with half salary, 7/1/00 through 6/30/01.

**E. LEAVES OF ABSENCE CHANGES**

College of Agriculture

Arthur, Mary, Associate Professor (with tenure), Forestry, to change from Sabbatical leave with full salary, 1/15/00 through 6/14/00, to Sabbatical leave with full salary, 1/15/00 through 7/14/00.

College of Engineering

Smith, Suzanne, Associate Professor (with tenure), Mechanical Engineering, to change from Sabbatical leave with half salary, 7/1/99 through 6/30/00, to Sabbatical leave with full salary, 7/1/99 through 12/31/99.



**MEDICAL CENTER**

**I. BOARD ACTION**

**A. ACADEMIC APPOINTMENTS**

College of Allied Health Professions

Queen, Susan A., Assistant Professor, Health Services, 2/1/00 through 6/30/00.

**B. JOINT APPOINTMENTS**

College of Allied Health Professions

Samuel, Thomas W., Associate Professor\* (with tenure), Health Services, joint appointment as Associate Professor\* (without tenure), Martin School of Public Policy and Administration, in the Graduate School, new joint appointment as Associate Professor\* (without tenure), Kentucky School of Public Health, in the College of Medicine, effective 3/8/00.

**C. ADMINISTRATIVE REAPPOINTMENTS**

College of Medicine

Kaplan, Alan M., Professor (with tenure), Microbiology and Immunology, joint appointment as Professor (without tenure), Graduate Center for Toxicology, in the Graduate School, named Chair, Department of Microbiology and Immunology, 3/8/00 through 3/7/01.

**D. REAPPOINTMENTS**

College of Medicine

Halmi, Katherine, Professor (part time), Psychiatry, 7/1/00 through 6/30/01.

Yoneda, Justine M., Associate Professor (part time), Radiation Medicine, 7/1/00 through 6/30/01.

Zinda, Michael W., Clinical Associate Professor, Radiation Medicine, 7/1/00 through 6/30/01.

## **E. PROMOTIONS**

### College of Medicine

- Li, Guo-Min, Assistant Professor, Pathology and Laboratory Medicine, joint appointment as Assistant Professor, Graduate Center for Toxicology, in the Graduate School, to Associate Professor (with tenure), Pathology and Laboratory Medicine, joint appointment as Assistant Professor, Graduate Center for Toxicology, in the Graduate School, effective 7/1/00.
- McGrath, Patrick C., Associate Professor (with tenure), Surgery, to Professor (with tenure), Surgery, effective 7/1/00.
- Nelson, Kevin R., Associate Professor (with tenure), Neurology, to Professor\* (with tenure), Neurology, effective 7/1/00.
- Stapczynski, Joseph S., Associate Professor\* (with tenure), Emergency Medicine, to Professor\* (with tenure), Emergency Medicine, effective 7/1/00.
- Valentino, Joseph, Assistant Professor, Surgery, to Associate Professor (with tenure), Surgery, effective 7/1/00.
- Wilson, John F., Associate Professor (with tenure), Behavioral Science, joint appointments as Associate Professor (without tenure), College of Nursing and Associate Professor (without tenure), College of Arts and Sciences, Department of Psychology, to Professor (with tenure), Behavioral Science, joint appointments as Professor (without tenure), College of Nursing and Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 7/1/00.

## **F. CHANGES**

### College of Medicine

- Fried, Andrew M. from Professor (with tenure), Diagnostic Radiology, to Professor (part time), Diagnostic Radiology, 7/1/00 through 6/30/01.
- Mier, Richard J. from Professor\* (with tenure), Pediatrics, to Adjunct Professor, Pediatrics, 2/1/00 through 6/30/00.

### College of Pharmacy

- Dittert, Lewis W., Professor (with tenure), from retirement after 14 years, 5 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/31/99, to retirement after 25 years, 7 months of consecutive service, under AR II-1.6-1 Section III.B, effective 12/31/99.

### University Hospital

- Smith, Ann, from Administrative Manager, Clinical Laboratories, to Director, Diagnostic Services, effective 3/8/00.
- Swartz, Colleen, from Director, Trauma/Emergency Transport, to Director, Emergency and Trauma Services, effective 3/8/00.

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\*Special Title Series

## **G. RETIREMENTS**

### College of Allied Health Professions

Bogia, Benjamin P., Associate Professor\* (with tenure), Clinical Sciences, after 13 years, 6 months of consecutive service, under AR II-1.6-1, Section III.B, effective 1/31/00.

### University Hospital

Jones, Estill, Account Clerk II, Patient Accounts, after 13 years, 10 months of consecutive service, under AR II-1.6-1, Section III.B, effective 2/29/00.

## **H. EARLY RETIREMENTS**

### College of Pharmacy

Cobb, Ronald D., Associate Professor\* (with tenure), after 28 years of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/31/00.

### University Hospital

Elam, Sara W., Cytology Supervisor, Clinical Laboratory – Cytology, after 33 years, 5 months of consecutive service, under AR II-1.6-1, Section III.A.2, effective 1/18/00.

## **I. POST-RETIRMENT APPOINTMENTS**

### College of Medicine

Aug, Robert G., Professor (part time), Psychiatry, 7/1/00 through 6/30/01.  
Kraus, Robert F., Professor (part time), Psychiatry, 7/1/00 through 6/30/01.  
Neill, John R., Associate Professor (part time), Psychiatry, 7/1/00 through 6/30/01.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

#### College of Allied Health Professions

Kitzman, Patrick H., Assistant Professor, Health Services, 2/1/00 through 6/30/00.

College of Dentistry

Dvorak, Jana J., Assistant Professor (voluntary), Oral Health Practice, 3/8/00 through 6/30/00.

Max, Donald P., Assistant Professor (voluntary), Oral Health Science, 3/8/00 through 6/30/00.

College of Medicine

Bosomworth, David P., Assistant Professor (part time), Anesthesiology, 3/8/00 through 6/30/00.

Bosomworth, Rebecca G., Assistant Professor (voluntary), Pediatrics, 3/8/00 through 6/30/00.

Dhir, Anir, Assistant Professor (voluntary), Family Practice, 3/8/00 through 6/30/00.

Forouzandeh, Bahram, Assistant Professor (voluntary), Internal Medicine, 3/8/00 through 6/30/00.

Franklin, Mark E., Assistant Professor (voluntary), Emergency Medicine, 4/5/00 through 6/30/00.

Grever, Mark, Assistant Professor (voluntary), Emergency Medicine, 4/5/00 through 6/30/00.

Kalfas, Mina, Instructor (voluntary), Family Practice, 3/8/00 through 6/30/00.

Mann, Rodney M., Assistant Professor (voluntary), Internal Medicine, 3/8/00 through 6/30/00.

Massa, Laurie, Assistant Professor (voluntary), Family Practice, 4/5/00 through 6/30/00.

Nayak, Dinesh, Assistant Professor (voluntary), Family Practice, 3/8/00 through 6/30/00.

Quatkemeyer, Brad, Assistant Professor (voluntary), Family Practice, 3/8/00 through 6/30/00.

Steele, Catherine A., Assistant Professor (voluntary), Psychiatry, 3/8/00 through 6/30/00.

Sterneberg, Steven B., Assistant Professor (voluntary), Family Practice, 4/5/00 through 6/30/00.

College of Pharmacy

Arnett, James B., Instructor (voluntary), 3/8/00 through 6/30/00.

Baize, Tim, Instructor (voluntary), 3/8/00 through 6/30/00.

Dowdy, Stephanie, Instructor (voluntary), 3/8/00 through 6/30/00.

Marra, Michelle T., Assistant Research Professor, 3/8/00 through 6/30/00.

Siemens, Diane, Instructor (voluntary), 3/8/00 through 6/30/00.

Straub, Paula, Instructor (voluntary), 3/8/00 through 6/30/00.

## **B. ADMINISTRATIVE REAPPOINTMENTS**

### College of Medicine

McGrath, Patrick C., Associate Professor (with tenure), Surgery, named Acting Director, Markey Cancer Center, 4/1/00 through 3/31/01.

## **C. REAPPOINTMENTS**

### College of Allied Health Professions

Campbell, Kim K., Assistant Adjunct Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Fisher, Steve B., Assistant Professor\*, Clinical Sciences, 7/1/00 through 6/30/01.

Fry, James R., Assistant Professor\*, Clinical Sciences, 7/1/00 through 6/30/01.

Gardner, Toni G., Assistant Adjunct Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Kremer, Theresa, Assistant Adjunct Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Lloyd, Brenda M., Adjunct Instructor, Clinical Sciences, 7/1/00 through 6/30/01.

Mattacola, Carl G., Assistant Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Overman, Sue B., Assistant Adjunct Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Sims, Priscilla, Adjunct Instructor, Clinical Sciences, 7/1/00 through 6/30/01.

Snider, Lyle B., Assistant Professor\*, Health Services, 7/1/00 through 6/30/01.

Steinman, Margaret N., Assistant Adjunct Professor, Clinical Sciences, 7/1/00 through 6/30/01.

Uhl, Timothy L., Assistant Professor, Clinical Sciences, 7/1/00 through 6/30/01.

### College of Medicine

Ahmed, Mansoor M., Assistant Professor, Radiation Medicine, 7/1/00 through 6/30/01.

Andersen, Anders H., Assistant Research Professor, Anatomy & Neurobiology, 7/1/00 through 6/30/01.

Barnhill, John, Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Batra, Chandramohan P., Clinical Assistant Professor, Family Practice, 7/1/00 through 6/30/01.

Birge, Barbara A., Instructor (part time), Physiology, 7/1/00 through 6/30/01.

Brenzel, Allen J., Assistant Professor\*, Psychiatry, joint appointment as Assistant Professor\*, Pediatrics, 7/1/00 through 6/30/01.

Bruce-Keller, Annadora J., Assistant Professor, Anatomy & Neurobiology, 7/1/00 through 6/30/01.

Cheever, Todd R., Assistant Professor\*, Psychiatry, 7/1/00 through 6/30/01.

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\*Special Title Series

Corales, Enedino R., Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Gevedon, Teresa G., Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Godlaski, Theodore M., Assistant Research Professor, Psychiatry, 7/1/00 through 6/30/01.

Gong, Ming C., Assistant Professor, Physiology, 7/1/00 through 6/30/01.

Gould, Douglas J., Assistant Professor\*, Anatomy & Neurobiology, 7/1/00 through 6/30/01.

Kepferle, Loyd T., Assistant Research Professor, Preventive Medicine and Environmental Health, 7/1/00 through 6/30/01.

Krishna, Komanduri V., Clinical Assistant Professor, Radiation Medicine, 7/1/00 through 6/30/01.

Li, Lei, Assistant Professor, Physiology, 7/1/00 through 6/30/01.

Malik, Uzma, Assistant Professor\*, Radiation Medicine, 7/1/00 through 6/30/01.

Nikolova-Karakashian, Mariana, Assistant Professor, Physiology, 7/1/00 through 6/30/01.

Nolan, Timothy F., Assistant Adjunct Professor, Psychiatry, 7/1/00 through 6/30/01.

O'Neill, Amy M., Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Satin, Jonathan, Assistant Professor, Physiology, 7/1/00 through 11/30/00.

Scheurich, Neil E., Assistant Professor\*, Psychiatry, 7/1/00 through 6/30/01.

Sellitti, Marguerite A., Assistant Professor (part time), Radiation Medicine, 7/1/00 through 6/30/01.

Shirley, Pamela B., Assistant Professor (part time), Psychiatry, 7/1/00 through 6/30/01.

Simon, Robert E., Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Snow, Diane M., Assistant Professor, Anatomy & Neurobiology, 7/1/00 through 6/30/01.

Srinivasan, Vijaya L., Clinical Assistant Professor, Family Practice, joint appointment as Clinical Assistant Professor, Internal Medicine, 7/1/00 through 6/30/01.

Srivatsan, Malathi, Assistant Research Professor, Physiology, 7/1/00 through 6/30/01.

Thomas, Janice M., Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Swope, Marian, Clinical Assistant Professor, Psychiatry, 7/1/00 through 6/30/01.

Walker, James R., Assistant Research Professor, Psychiatry, 7/1/00 through 6/30/01.

Woodward, Thomas A., Clinical Assistant Professor, joint appointment as Clinical Assistant Professor, Pediatrics, 7/1/00 through 6/30/01.

Xu, Fadi, Assistant Research Professor, Physiology, 7/1/00 through 6/30/01.

College of Pharmacy

Dowdy, Stephanie, Instructor (voluntary), 7/1/00 through 6/30/03.

**D. CHANGES**

College of Allied Health Professions

Johnson, Ellis L., from Adjunct Instructor, Clinical Sciences, to Adjunct Instructor, Health Services, 7/1/99 through 6/30/00.

Owens, Robert B., from Clinical Assistant Professor, Clinical Sciences, to Clinical Assistant Professor, Health Services, 7/1/99 through 6/30/00.

College of Medicine

Bradley, Ann L., from Instructor (part time, temporary), Anesthesiology, to Instructor (part time), Anesthesiology, 3/8/00 through 6/30/00.

Cibula, Jean E. from Assistant Professor, Neurology, to Assistant Professor\*, Neurology, 7/1/00 through 6/30/01.

Eberly, Steven M., from Assistant Professor (temporary), Diagnostic Radiology, 2/1/00 through 6/30/00, to Assistant Professor (temporary), Diagnostic Radiology, 1/24/00 through 6/30/00.

Fellows, Richard A., Assistant Professor (temporary), Diagnostic Radiology, 2/1/00 through 6/30/00, to Assistant Professor (temporary), Diagnostic Radiology, 1/24/00 through 6/30/00.

Joyce, Margie R., Assistant Professor (temporary), Diagnostic Radiology, 2/1/00 through 6/30/00, to Assistant Professor (temporary), Diagnostic Radiology, 1/24/00 through 6/30/00.

Maxfield, Charles M., Assistant Professor (temporary), Diagnostic Radiology, 2/1/00 through 6/30/00, to Assistant Professor (temporary), Diagnostic Radiology, 1/24/00 through 6/30/00.

Prince, Timothy S., from Assistant Professor, Preventive Medicine and Environmental Health, to Assistant Professor\*, Preventive Medicine and Environmental Health, 3/8/00 through 6/30/00.

Shearer, Loretta T., Assistant Professor (temporary), Diagnostic Radiology, 2/1/00 through 6/30/00, to Assistant Professor (temporary), Diagnostic Radiology, 1/24/00 through 6/30/00.

University Hospital

Steele, Tracy, from Pr. Occupational Therapist, Rehabilitation Services, to Rehabilitation Supervisor, Rehabilitation Services, effective 1/30/00.

**E. TERMINAL REAPPOINTMENTS**

College of Medicine

Cass, Wayne A., Assistant Professor, Anatomy & Neurobiology, 4/1/00 through 3/31/01.



# PR 3A

Office of the President  
March 7, 2000

Members, Board of Trustees:

## PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulations of the University of Kentucky (GR IV-1, paragraph 3) be received at this time for preliminary consideration, and at the next regular meeting of the Board of Trustees, be included on the agenda for action.

(NOTE: Proposed additions are underlined; proposed deletions have a line through the text.)

The 94 elected faculty ~~members~~ seats shall be apportioned each spring among the colleges and the University Libraries according to the following two equally weighted factors based on data for the preceding fall semester: (1) the number of the following individuals' all of whom shall be considered "faculty" for purposes of this Rule: (a) full-time faculty in the regular and special title series with the rank of assistant professor or higher, except (b) full-time faculty those appointed in the extension, librarian, series, (although they are eligible for election to membership), research title series, Medical Center and clinical title series, and (c) full-time lecturers and instructors ~~visiting series, with the rank of assistant professor or higher in the college or the University Libraries;~~ and (2) the number of full-time students enrolled in the college, computed so that students enrolled in the Graduate School shall be assigned to the college in which they are pursuing their studies. [remainder of Rule is unchanged]

Background: The Rules Committee, at the request of the Senate Council Chair, considered the Privilege and Tenure and Special Title Series Task Force recommendation to grant voting status to all extension, clinical and research title series faculty and to full-time instructors and lecturers. The Rules Committee was not unanimous in their support for the merits underlining the rule changes. The University Senate adopted the recommendation and the University Senate Council approved the changes. In order to change the rules of the University Senate on this specific matter, it is necessary to approve the Governing Regulation as noted above.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# PR 3B

Office of the President  
March 7, 2000

Members, Board of Trustees:

## PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS

Recommendation: that the following proposed amendment to the Governing Regulation VIII.A.1, Page VIII-1, first paragraph, which was received at the January 25, 2000 Board of Trustees Meeting for preliminary consideration be approved.

(NOTE: Proposed additions are underlined; proposed deletions have a line through the text.)

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, staff, and student body, and alumni. The committee shall consist of five members of the Board, at least one of whom shall be from among the three alumni trustees, appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; one member of the full-time ~~teaching faculty of the Community College System~~ staff of the University appointed by the Chairperson of the Board from a list of three staff members elected by the staff of the University; and one two full-time students of the University, one undergraduate and one graduate or professional student, appointed by the Chairperson of the Board from a list of three undergraduate students and three graduate or professional students selected by a procedure determined by the Student Government Association; and one member of the alumni of the University appointed by the Chairperson of the Board from a list of three alumni selected by the Board of Directors or the Executive Committee of the University of Kentucky Alumni Association. ~~appointed by the chairperson of the Board.~~ The committee shall provide opportunity for discussion between representatives of administrative, on, faculty, staff, and student and alumni groups and prospective presidential candidates.

Background: The December 14, 1999 proposed amendment was tabled. The Chairperson of the Board referred the proposal to the Ad Hoc Committee on Board of Trustees Committees for consideration. The Ad Hoc Committee proposed revisions which were accepted by the Board of Trustees at its January 25, 2000 meeting. The revised version will change the composition of the joint committee. The number of Board members will be five, one of whom will be from the three alumni members of the Board. A staff member, an alumni member and an additional student will be added. One of the students will be a graduate or professional student and one an undergraduate student. The staff member will replace the community college representative since thirteen of the UK Community Colleges are now managed by the Kentucky Community and Technical College System (KCTCS).

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Action taken:



Approved

Disapproved

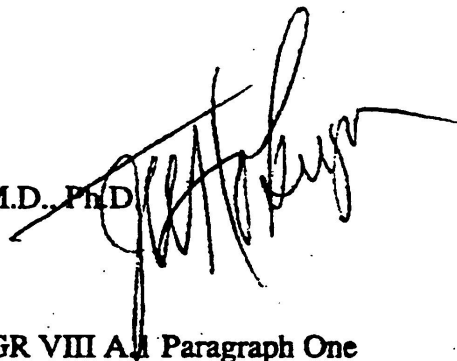
Other \_\_\_\_\_

February 3, 2000

MEMORANDUM

TO: Juanita W. Fleming

FROM: James W. Holsinger, Jr., M.D., Ph.D.  
Chancellor  
Chandler Medical Center



RE: Proposed Amendment to GR VIII A.1 Paragraph One

I still believe that the statement where the GR reads "three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate" should read "three members of the full-time teaching or research faculty of the University System, including at least one representative from each campus, selected by a procedure determined by the University Senate". As long as this Governing Regulation is open for review and an amendment, it seems to me that it would be an appropriate time to make a change. This would ensure that each campus, either the Medical Center or the Lexington Campus, would have at least one representative among the faculty that make up this important committee.

# PR 3C

Office of the President  
March 7, 2000

Members, Board of Trustees:

## HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Science to Ward O. Griffen, Jr. and the Doctor of Letters to James F. Hardyman.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to Ward O. Griffen, Jr. and James F. Hardyman. These three bodies have expressed their approval of the recommendation.

Biographical sketches of the two recipients are attached.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

## **WARD O. GRIFFEN, JR.**

Considered one of the major figures in American healthcare, Dr. Ward O. Griffen, Jr. earned his undergraduate degree from Princeton University. He graduated from medical school at Cornell University, completed a medical internship at Bellevue Hospital, and earned a Ph.D. in surgical sciences at the University of Minnesota. His outstanding intellectual achievements include early graduation from both Princeton and the medical school at Cornell, as well as certification in general and thoracic surgery from the University of Minnesota.

He was recruited to the University of Kentucky as an Associate Professor and subsequently appointed as Professor and Chair of the Department of Surgery, a position he held for seventeen years. In 1983 he and the University were honored when he was selected to direct the activities of the American Board of Surgery. The following year he assumed the duties of the Executive Director and the Secretary-Treasurer of the American Board of Surgery in Philadelphia, a position he held for ten years. During that period, he successfully guided the American Board of Surgery through some very turbulent times and directed the certifying process of over ten thousand surgeons in the United States.

He is a member of many medical and surgical organizations and has held offices in most of them. In addition, Dr. Griffen has served as President of both the Central Surgical Association and the Southern Surgical Association. His creative accomplishments are evidenced by publication of over 300 articles in peer-reviewed journals in addition to 37 book chapters. Notwithstanding this tremendous academic productivity, it is in the education of surgeons where Dr. Griffen has achieved his greatest national and international stature. His personal guidance and training of more than 200 surgical residents has been and continues to be renowned. His leadership has had a profound influence on the quality of surgical care.

He currently directs the Self Examination and Self Assessment Program, an American College of Surgeons' post-graduate education program, which thousands of surgeons complete each year in order to remain current in surgical knowledge. Dr. Griffen has been at the forefront of undergraduate, graduate and continuing medical education for nearly fifty years.

In recognition of his academic achievements, civic contributions, and his lengthy service to national and international healthcare, Dr. Ward O. Griffen, Jr. is recommended as the recipient of the honorary Doctor of Science degree.

## **JAMES F. HARDYMON**

A native of Maysville, Kentucky, James F. Hardymon received his B.S. (1956) and M.S. (1958) degrees in civil engineering from the University of Kentucky. After serving two tours of duty with the U.S. Army, he returned to his hometown in 1961 to begin his business career at Browning Manufacturing Company. He held various field and sales management positions both before and after Browning's acquisition by Emerson Electric Company.

From 1976 to 1979, Mr. Hardymon served as president of Emerson's Special Products Division. He was group vice president and president of the Skil Power Tools Division from 1979 until 1983 when he was promoted to executive vice president. He became vice chair, chief operating officer and a director of Emerson in 1986 and in 1988 was elected president. Mr. Hardymon joined Textron, Inc. as president and chief operating officer in December 1989, at which time he was named to the board of directors. He became chief executive officer in January 1992, and was elected chairman in 1993, a position he held until his retirement in January 1999.

Under Mr. Hardymon's leadership, Textron posted strong, consistent earnings growth and is the market leader in many of its key industries. Mr. Hardymon guided the company's transition from its holding company roots to an actively managed operating company with a consistent focus on growth and improvement in its core businesses. Textron, based in Providence, Rhode Island, is ranked 149<sup>th</sup> on the Fortune list of the 500 largest U.S. industrial companies with operations in four core business segments: aircraft, automotive, industrial, and finance. These include familiar names such as Bell Helicopter, Textron, Cessna Aircraft Company, and Avco Financial Services.

Mr. Hardymon previously served as a member of the University of Kentucky Board of Trustees and is a University of Kentucky Fellow. Through his generosity, the University of Kentucky has been able to establish endowed chairs in Engineering and Computer Science and graduate fellowships for students pursuing dual degrees in Engineering and Business Administration. He was inducted into the College of Engineering Hall of Distinction in 1995.

For his distinguished record of educational contributions and public service, both national and international, Mr. James F. Hardymon is recommended for the honorary Doctor of Letters degree.

# PR 3D

Office of the President  
March 7, 2000

Members, Board of Trustees:

ESTABLISHMENT OF THE POSITION OF VICE PRESIDENT  
FOR OUTREACH AND INTERNATIONAL AFFAIRS

Recommendation: that a new administrative position, Vice President for Outreach and International Affairs, be established effective July 1, 2000, and that Dr. Elisabeth Zinser be assigned to this new position.

Background: With this administrative change, the University will focus additional attention on its outreach efforts. Over the last few years the University has studied and strengthened the research program and has studied and is strengthening undergraduate education. The third part of the mission of the University – public service and outreach will be further emphasized in this organizational restructuring. The Vice President for Outreach and International Affairs will report to the President and will be responsible for the Office of International Affairs and University Extension. University Extension includes the Independent Study Program, the Evening and Weekend College, the Carnahan Conference Center, the Japanese Saturday School and Japanese Programs, the Office for Experiential Education, and Summer School.

Dr. Elisabeth Zinser, who has served as Chancellor of the Lexington Campus since July 1, 1995, will assume this new administrative role effective July 1, 2000.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# PR 5A

Office of the President  
March 7, 2000

Members, Board of Trustees:

## NAME CHANGE IN PROGRAM

Recommendation: that the Board of Trustees approve the change of the current program name from Hospitality Management to Hospitality Management and Tourism.

Background: The content of the Hospitality Management program has been expanded and restructured to include more courses in the tourism content area. The intent is to provide students with a background in tourism as well as in hotel and restaurant management in order to prepare them for jobs that are becoming available in the hospitality and tourism industry. Tourism is fast becoming a multi-billion dollar industry in the world. It also provides a portion of revenue for the State of Kentucky. The proposed change was approved and recommended by the University Senate.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_



# FCR 1

Office of the President  
March 7, 2000

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE SEVEN MONTHS ENDED  
JANUARY 31, 2000

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the seven months ended January 31, 2000.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of January 31, 2000, the University had realized income of \$770,161,000 representing 68% of the 1999-00 estimate of \$1,136,788,000. Expenditures and commitments total \$654,831,000 or 58% of the approved budget of \$1,136,788,000.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**JANUARY 31, 2000**  
with comparative totals for January 31, 1999  
(in thousands)

	1999-00					1998-99	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 170,572	\$ 26,026	\$ 5,118		\$ 105,644	\$ 307,360	\$ 329,056
Notes, loans, and A/R (less bad debt allowances of \$8,615)	143,479	15,106	21,374	\$ 873	527	181,359	181,776
Investments	30,203	125,507		358,154	41,903	555,767	455,287
Property, plant, and equipment					1,326,195	1,326,195	1,242,232
Inventories and other	15,095	57				15,152	13,951
<b>Total Assets</b>	<b>\$ 359,349</b>	<b>\$ 166,696</b>	<b>\$ 26,492</b>	<b>\$ 359,027</b>	<b>\$ 1,474,269</b>	<b>\$ 2,385,833</b>	<b>\$ 2,222,302</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 29,938	\$ 3,385	\$ 146		\$ 3,161	\$ 36,630	\$ 31,422
Employee withholdings and deposits		28,818				28,818	29,168
Other liabilities	5,252	3,395		\$ 6,527	2,787	17,961	16,655
Liability for self insurance	48,398					48,398	51,904
Deferred income	11,147	13,930				25,077	21,954
Bonds payable					196,376	196,376	214,831
Capitalized lease obligation					30,877	30,877	28,797
<b>Total Liabilities</b>	<b>94,735</b>	<b>49,528</b>	<b>146</b>	<b>6,527</b>	<b>233,201</b>	<b>384,137</b>	<b>394,731</b>
<b>Interfund Balances</b>	<b>(28,180)</b>	<b>69,338</b>		<b>1,422</b>	<b>(42,580)</b>		
<b>Net deferred revenues and appropriated fund balances</b>	<b>125,647</b>	<b>(2,306)</b>				<b>123,341</b>	<b>120,121</b>
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	159,336					159,336	134,101
Future operating purposes	4,910					4,910	6,929
Other	2,901					2,901	2,278
<b>Current restricted</b>		<b>50,136</b>				<b>50,136</b>	<b>44,975</b>
Loan			26,346			26,346	24,815
<b>True endowments</b>				<b>264,299</b>		<b>264,299</b>	<b>199,170</b>
Term endowments				5,876		5,876	6,703
Quasi endowments				80,903		80,903	77,255
<b>Plant</b>							
Retirement of indebtedness					28,180	28,180	29,532
Renewal and replacement					8,494	8,494	14,956
Allocated for designated projects					216,760	216,760	190,115
<b>Net investment in plant</b>					<b>1,030,214</b>	<b>1,030,214</b>	<b>976,621</b>
<b>Total Fund Balances</b>	<b>167,147</b>	<b>50,136</b>	<b>26,346</b>	<b>351,078</b>	<b>1,283,648</b>	<b>1,878,355</b>	<b>1,707,450</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 359,349</b>	<b>\$ 166,696</b>	<b>\$ 26,492</b>	<b>\$ 359,027</b>	<b>\$ 1,474,269</b>	<b>\$ 2,385,833</b>	<b>\$ 2,222,302</b>

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2000**  
with comparative totals for January 31, 1999  
(in thousands)

	1999-00				1998-99	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
<b>General Fund</b>						
(1) State appropriations	\$ 290,835	\$ 290,835	\$ 217,643	75	\$ 214,738	75
(2) Student fees	119,290	115,355	111,991	97	104,175	95
(3) Federal appropriations	15,519	15,519	10,006	64	8,926	59
(4) County appropriations	7,151	7,674	4,263	56	3,211	45
(5) Endowment income	902	902	424	47	414	46
(6) Investment income	8,978	8,978	7,597	85	6,768	79
Gifts and grants						
(7) Affiliated corporations	14,319	14,499	7,428	51	6,888	49
(8) Other	60,708	59,832	28,482	48	33,557	53
(9) Sales and services	54,748	58,056	30,033	52	29,240	55
(10) Fund balance	20,582	28,303	28,303	100	19,428	100
(11) Total General Fund	593,032	599,953	446,170	74	427,345	74
(12) Auxiliary Enterprises	32,569	32,703	21,037	64	20,420	64
(13) Restricted Funds	67,303	67,387	31,929	47	30,926	54
(14) Affiliated Corporations	159,977	160,581	94,281	59	84,771	57
<b>Hospital</b>						
(15) Revenues	276,164	276,164	176,744	64	167,106	63
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,129,045</u>	<u>\$ 1,136,788</u>	<u>\$ 770,161</u>	68	<u>\$ 730,568</u>	68

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2000**  
with comparative totals for January 31, 1999  
(in thousands)

	1999-00				1998-99	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 244,822	\$ 238,958	\$ 135,891	57	\$ 124,788	54
(2) Research	152,232	150,895	73,987	49	72,036	49
(3) Public service	147,479	148,540	87,903	59	78,319	55
(4) Academic support	68,771	71,388	41,743	58	36,660	56
(5) Student services	20,460	23,529	11,320	48	12,837	59
(6) Institutional support	45,507	53,809	28,548	53	28,867	62
(7) Student financial aid	46,602	45,761	37,878	83	34,892	83
(8) Operation and maintenance	41,125	41,727	22,046	53	19,526	49
(9) Mandatory transfers (debt service)	16,572	16,572	16,572	100	18,486	100
(10) Hospital	276,436	276,436	153,143	55	151,322	57
(11) Auxiliary enterprises	69,039	69,173	45,800	66	39,560	64
(12) Total Expenditure by Program	\$ 1,129,045	\$ 1,136,788	\$ 654,831	58	\$ 617,293	57

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SEVEN MONTHS ENDED JANUARY 31, 2000**  
with comparative totals for January 31, 1999  
(in thousands)

	1999-00				1998-99	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 641,914	\$ 641,328	\$ 367,633	57	\$ 348,697	57
(14) Operating expenses	421,286	404,373	222,937	55	222,209	55
(15) Mandatory transfers (debt service)	27,567	27,567	20,254	73	23,984	87
(16) Capital outlay	38,278	63,520	44,007	69	22,403	62
(17) Total Expenditure by Category	\$ 1,129,045	\$ 1,136,788	\$ 654,831	58	\$ 617,293	57

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE SEVEN MONTHS ENDED JANUARY 31, 2000**  
with comparative totals for January 31, 1999  
(in thousands)

	<u>1999-00</u>	<u>1998-99</u>
(1) Realized Revenues	\$ 741,858	\$ 711,140
<b>Appropriated Fund Balances</b>		
(2) Current Unrestricted Fund	\$ 28,303	19,428
(3) University Hospital		
(4) Total Appropriated Fund Balances	28,303	19,428
(5) Total Revenues and Appropriated Fund Balances	770,161	730,568
(6) Expenditures/Encumbrances	654,831	617,293
(7) Less: Reserve for Encumbrances	(8,535)	(5,768)
(8) Total Expenditures	646,296	611,525
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues	(524)	1,078
(10) Net Deferred Revenues and Appropriated Fund Balances	\$ 123,341	\$ 120,121

# FCR 2

Office of the President  
March 7, 2000

Members, Board of Trustees:

## APPROVAL OF LEASES

Recommendation: that the Vice President for Fiscal Affairs be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (Rehabilitation Medicine) and Kentucky Easter Seal Society, Inc., d/b/a Cardinal Hill Rehabilitation Hospital, 2050 Versailles Road, Lexington, Kentucky, for space located at 2050 Versailles Road, Lexington, Kentucky, effective April 1, 2000 through June 30, 2001, for the use of 4,000 square feet of office space, at an annual rate of \$60,000.00.

A new lease between the University of Kentucky (Cancer Information Services) and Southcreek Park Partnership, 175 East Main Street, Lexington, Kentucky, for space located at 2365 Harrodsburg Road, Lexington, Kentucky, effective March 15, 2000 through June 30, 2001, for the use of 6,558 square feet of office space, at an annual rate of \$99,943.92.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000 be approved by the Board of Trustees.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

## CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

**Consultant Contracts:** This section contains the name of the project, the project number, the project scope (which includes all costs associated with the project: design, management services, construction, PPD and communications force accounts, equipment, and furnishings), the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

**Construction Contracts:** This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Lyle Associates, Architects Lexington Contract# A981390	4 North Nursing Renovation Project Number: 1730.0	\$281,605
	Total Amendments: (1)	Consultant Contract Amount: \$38,800
		Consultant Cumulative Amendment Amount: \$7,306
		Revised Consultant Contract Amount: \$46,106

**Project Description:**

Refurbish the Fourth Floor North Wing as a general patient care unit for overflow use. All finishes will be upgraded as well as the HVAC system. No existing walls will be removed.

**Notes: Amendment #1, 5/19/99, an increase of \$7,306 was approved to revise Phase 3 (construction documents) due to a change in users' needs.**

James W. Potts Architects Lexington Contract# A991270	Academic and Research Renovation (College of Medicine) Project Number: 1774.0	\$625,000
	Total Amendments: (0)	Consultant Contract Amount: \$46,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$46,000

**Project Description:**

Renovate approximately 4,700 gross square feet located in the basement of the MRISC Building which is part of the Markey Cancer Center. The intent of the project is to provide renovation of the area in the form of limited demolition, new wall construction, upgrade of interior finishes, new lighting, communications infrastructure, and creation of new laboratory spaces.

CMW, Inc. Lexington Contract# A981240	Aging/Allied Health Building Phase II Project Number: 1651.0	\$35,600,000
	Total Amendments: (1)	Consultant Contract Amount: \$310,500
		Consultant Cumulative Amendment Amount: \$1,829,926
		Revised Consultant Contract Amount: \$2,140,426

**Project Description:**

Expansion of the Aging/Allied Health facilities to include approximately 184,000 gross square feet of new space for the College of Allied Health; offices for the Center on Aging; Administrative Offices; the Medical Center Bookstore; and a Nursing Teaching Facility. It will connect to the horizontal pedestrian circulation route servicing the Medical Center campus, and will connect to the central campus steam and chilled water systems. The building is to be located at the Northeast corner of the South Limestone Street and Rose Street intersection, and will include the realignment of this intersection.

**Notes: Amendment #1, 5/19/99, an increase of \$1,829,925.62 was approved to provide additional design services rendered as a result of reprogramming, space analysis, rework of schematic design, and cost estimating. This amendment also covers additional Consultant services for Phases 2-5 and provides design and construction administration services for extensive utility infrastructure.**



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson + Bender + Jolly Lexington Contract# A961470	Animal Research Center, Woodford County Farm Project Number: 1626.0	\$12,480,000
	Total Amendments: (2)	Consultant Contract Amount: \$630,000
		Consultant Cumulative Amendment Amount: \$11,822
		Revised Consultant Contract Amount: \$641,822

**Project Description:**

Implement a development plan for the Woodford County Farm. The plan includes seven research sub-centers for discovery and application research thrusts focusing on the major livestock enterprises of Kentucky. Project includes: infrastructure (fencing, roads, water, electricity, waste handling facilities), field research buildings, equipment, and other facilities for beef, swine, and sheep research.

**Notes:** \$12,480,000 reflects the total project scope of Projects 1626.0 and 1626.1. **Amendment #1**, 3/20/98, an increase of \$10,820 was approved to provide additional Consultant services for Phases 3-5 to separate the site utilities portion of the project for separate bidding and contract administration. **Amendment #2**, 1/7/99, an increase of \$1,002 was approved to provide an additional site visit by the consultant to inspect the delivery of the precast concrete floor drainage slats for the swine unit and to reimburse actual travel costs for the site visit.

Fitzsimons O'Connor Lexington Contract# A971380	Barker Hall-Replace Fire Escape with Enclosed Stair Project Number: 1678.0	\$345,350
	Total Amendments: (1)	Consultant Contract Amount: \$10,692
		Consultant Cumulative Amendment Amount: \$14,395
		Revised Consultant Contract Amount: \$25,087

**Project Description:**

Remove the existing metal fire escape from Barker Hall and construct new enclosed stairs.

**Notes:** **Amendment #1**, 9/30/97, an increase of \$14,395, was approved to provide Phase 2 through Phase 5 services for the second phase of the project, which is the implementation of the results of Phase 1, the feasibility study.

James W. Potts Architects Lexington Contract# A991160	C.A.E.R. Roof Replacement Phase II Project Number: 1746.0	\$141,000
	Total Amendments: (0)	Consultant Contract Amount: \$9,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$9,000

**Project Description:**

Replacement of 12,200 square feet of asphalt roof on the Center for Applied Energy Research (CAER) located on Spindletop Farm on Iron Works Pike in Lexington, Kentucky.

Pearson/Bender Associates Lexington Contract# A981260	Career Planning and Placement Center Project Number: 1715.0	\$2,975,618
	Total Amendments: (2)	Consultant Contract Amount: \$124,800
		Consultant Cumulative Amendment Amount: \$58,468
		Revised Consultant Contract Amount: \$183,268

**Project Description:**

Construct a 14,900 gross square foot building to provide students, alumni, faculty, staff, and recruiting organizations with adequate space to participate in the services and programs offered by the Career Center.

**Notes:** **Amendment #1**, 12/16/98, an increase of \$44,668 was approved to provide Consultant services for scope increase. **Amendment #2**, 9/27/99, an increase of \$13,800 was approved to provide additional Consultant services for additional scope increase.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Sherman Carter Barnhart Lexington Contract# A981320	Clinical Neurophysiology Renovation Project Number: 1704.0	\$388,835
	Total Amendments: (1)	Consultant Contract Amount: \$38,250
		Consultant Cumulative Amendment Amount: \$1,154
		Revised Consultant Contract Amount: \$39,404
<b>Project Description:</b> Upgrade examination rooms and finishes.		
<b>Notes: Amendment #1, 9/20/99, an increase of \$1,154 was approved for additional design work.</b>		
HNTB Corporation Kansas City, MO Contract# A981290	Commonwealth Stadium Expansion Project Number: 1674.2	\$27,600,000
	Total Amendments: (1)	Consultant Contract Amount: \$1,650,000
		Consultant Cumulative Amendment Amount: \$28,718
		Revised Consultant Contract Amount: \$1,678,718
<b>Project Description:</b> Renovate and expand the existing University of Kentucky football stadium, including the end zone seating, stadium boxes and support space, new first aid areas, restrooms, concession facilities, and utility service.		
<b>Notes: Amendment #1, 7/16/98, an increase of \$28,717.50 was approved to reimburse HNTB for topographical services.</b>		
Sherman Carter Barnhart Lexington Contract# A981080	Communications and Network Systems and Ancillary Programs Facility Project Number: 1696.0	\$4,800,000
	Total Amendments: (1)	Consultant Contract Amount: \$314,000
		Consultant Cumulative Amendment Amount: \$3,378
		Revised Consultant Contract Amount: \$317,378
<b>Project Description:</b> Construct a new facility of approximately 40,000 gross square feet, which will house Communications and Network Systems and the Information Systems Business Office (CNS).		
<b>Notes: Amendment #1, 11/30/98, an increase of \$3,378 was approved to cover Consultant fees due to the addition of parking spaces.</b>		
James W. Potts Architects Lexington Contract# A991230	Diagnostic Services Upgrade VII Project Number: 1757.0	\$1,105,750
	Total Amendments: (0)	Consultant Contract Amount: \$92,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$92,000
<b>Project Description:</b> Renovate approximately 10,000 gross square feet in the basement of the MRISC Building in the Markey Cancer Center. The intent of the project is to provide renovation of the area in the form of limited demolition, new wall construction, upgrade of interior finishes, new lighting, communications infrastructure and creation of new laboratory spaces. The construction will be completed in two phases to facilitate efficient use of the spaces.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson/Bender Associates Lexington Contract# A971360	Fine Arts Information Center - King North Renovation Project Number: 1680.0	\$3,322,000
	Total Amendments: (3)	Consultant Contract Amount: \$148,500
		Consultant Cumulative Amendment Amount: \$93,425
		Revised Consultant Contract Amount: \$241,925

**Project Description:**

Renovation of two floors of the King North building following completion of the William T. Young Library. The renovation will provide space for the Lucille Little Fine Arts Library and Learning Center, which is to combine the current Art Library, Music Library, and Music Listening Center, the existing Art Slide Library as well as fine arts materials from the existing King Library collection and the new John Jacob Niles Center for American Music.

**Notes:** \$3,322,000 reflects the total project scope of Projects 1680.0 and 1680.1. **Amendment #1**, 10/31/97, an increase of \$1,800 was approved to provide Consultant services for air flow testing. **Amendment #2**, 6/30/98, an increase of \$43,625 was approved to provide Consultant services through Phase 5 for the redesign of the existing air handling system. This change is necessary to achieve proper operational control of the air distribution system for the library facility. **Amendment #3**, 9/28/98, an increase of \$48,000 was approved to provide for additional Consultant services through Phase 5 for the renovation of an area in M.I. King South to provide space for the relocation of the Special Collections area.

James W. Potts Architects Lexington Contract# A991000P	German House Renovation Project Number: 1766.0	\$765,100
	Total Amendments: (0)	Consultant Contract Amount: \$34,500
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$34,500

**Project Description:**

Renovate approximately 3,740 square feet of existing space for educational purposes and add 3,804 square feet for resident housing.

**Notes:** This is a per diem contract.

Staggs & Fisher Engineers Lexington Contract# A961310	Hospital Fuel Tank Upgrade Project Number: 1712.0	\$334,054
	Total Amendments: (3)	Consultant Contract Amount: \$3,000
		Consultant Cumulative Amendment Amount: \$38,772
		Revised Consultant Contract Amount: \$41,772

**Project Description:**

Bring existing hospital emergency generator fuel storage tanks into compliance with federal regulations. Install a new concrete encased aboveground storage tank and remove old tanks.

**Notes:** **Amendment #1**, 10/31/97, an increase of \$25,000 was approved because the original contract called for a fee of \$3,000 on an hourly basis for Phase 1. Article III, 3.1, of the consultant contract, states: "Upon completion of Phase 1 and the establishment of the schematic design this contract will be amended for services necessary to implement the total scope as determined during Phase 1." Phase 1 has been completed and the amendment will provide engineering services for the total project. **Amendment #2**, 8/7/98, an increase of \$612 was approved to cover reimbursable services associated with the evaluation of contemplated design and structural changes. **Amendment #3**, 9/29/98, an increase of \$13,160 was approved to revise Phases 1 and 2 milestone dates and to increase the design cost due to changing the location of the tank.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
James B. Evans & Associates Lexington Contract# A991060	Leader/South Lime Parking Lot Renovation Project Number: 1733.0 Total Amendments: (2)	\$399,000 Consultant Contract Amount: \$44,404 Consultant Cumulative Amendment Amount: \$5,022 Revised Consultant Contract Amount: \$49,425
<b>Project Description:</b> Construct a parking lot on Press Avenue.		
<b>Notes:</b> Amendment #1, 2/11/99, an increase of \$1,378.41 was approved to provide additional site subsurface information. Amendment #2, 9/27/99, an increase of \$3,643.13 was approved to provide additional Consultant services for Phase 5 (construction administration).		
Staggs & Fisher Engineers Lexington Contract# A981110	Lexington Campus Fume Hood Upgrade Project Number: 1653.2 Total Amendments: (0)	\$2,141,786 Consultant Contract Amount: \$126,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$126,000
<b>Project Description:</b> Upgrade the existing mechanical/fume hood systems in the Chemistry/Physics Building, specifically the basement exhaust systems in Rooms 336 and 340.		
Biagi Chance Cummins* Lexington Contract# A991080	Lexington Campus Fume Hood Upgrade-T.P. Cooper & Anderson Tower Project Number: 1653.3 Total Amendments: (1)	\$1,715,526 Consultant Contract Amount: \$74,636 Consultant Cumulative Amendment Amount: \$105,204 Revised Consultant Contract Amount: \$179,840
<b>Project Description:</b> Upgrade the existing mechanical fume hood systems in the buildings.		
<b>Notes:</b> *The full name of the firm is Biagi, Chance, Cummins, London, Titzer, Inc. Amendment #1, 10/1/99, an increase of \$105,204 was approved to provide additional Consultant services for Phases 2-5.		
Pearson/Bender Associates Lexington Contract# A991220	M. I. King Library South Renovation Project Number: 1770.0 Total Amendments: (0)	\$800,000 Consultant Contract Amount: \$61,430 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$61,430
<b>Project Description:</b> Renovation of the exterior of M.I. King South using brick veneer facade replicating the original details of the building.		
Anshen & Allen Architects, Inc. San Francisco, CA Contract# A991110	Mechanical Engineering Building Project Number: 1740.1 Total Amendments: (0)	\$23,600,000 Consultant Contract Amount: \$1,865,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$1,865,000
<b>Project Description:</b> Construction of a new facility located adjacent to the Civil Engineering Building and Miller Hall, on the site previously occupied by the Engineering Quadrangle (Anderson Hall). The facility is planned to provide approximately 70,000 square feet of assignable space including: 10,000 square feet of office space; 34,000 square feet of laboratory and related space for Mechanical Engineering; an additional 7,000 square feet of general laboratory; and 19,000 square feet of administrative, study, and work areas.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
James W. Potts Architects Lexington Contract# A991190	Medical Center Lab Renovation I Project Number: 1759.0	\$228,314
Total Amendments: (0)	Consultant Contract Amount:	\$16,500
	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$16,500
<b>Project Description:</b>		
Renovation of approximately 960 square feet of research labs that support the medical science research programs of the College of Medicine. This will involve demolition of an existing partition and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MS341, MS341A, MS341B, and MS341C.		
James W. Potts Architects Lexington Contract# A991200	Medical Center Lab Renovation II Project Number: 1760.0	\$182,630
Total Amendments: (0)	Consultant Contract Amount:	\$18,000
	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$18,000
<b>Project Description:</b>		
Renovation of approximately 865 square feet of offices/mini-research labs that support the medical science research programs of the College of Medicine. This will involve demolition of an existing partition and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MN411, MS419, MS419A, MS423, and MS423A.		
James W. Potts Architects Lexington Contract# A991210	Medical Center Lab Renovation III Project Number: 1761.0	\$215,400
Total Amendments: (0)	Consultant Contract Amount:	\$13,000
	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$13,000
<b>Project Description:</b>		
Renovation of approximately 900 square feet of research labs that support the medical science research programs of the College of Medicine. The renovation will involve demolition of existing partitions and casework, installation of new casework with connections to medical gas, air, vacuum systems and associated plumbing, sprinkler, electrical and HVAC systems reconfiguration, and new finishes. Renovations will occur in Rooms MS366, MS366A, MS366B, and MS372A.		
Staggs & Fisher Engineers Lexington Contract# A001060	North Campus Chilled Water Main - Phase II Project Number: 1779.0	\$770,000
Total Amendments: (1)	Consultant Contract Amount:	\$59,500
	Consultant Cumulative Amendment Amount:	\$1,633
	Revised Consultant Contract Amount:	\$61,133
<b>Project Description:</b>		
Extend the main supply and return piping and install a new feeder supply.		
<b>Notes:</b> No construction contract(s) awarded to date. <b>Amendment #1</b> , 11/11/99, an increase of \$1,632.75 was approved to provide geotechnical services.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

<b>CONSULTANT</b>	<b>PROJECT INFORMATION</b>	<b>SCOPE</b>
Pearson/Bender Associates Lexington Contract# A981130	Pence Hall Exit Stairway Project Number: 1700.0	\$301,000
	Total Amendments: (1)	Consultant Contract Amount: \$18,600
		Consultant Cumulative Amendment Amount: \$3,448
		Revised Consultant Contract Amount: \$22,048

**Project Description:**

Add an egress stairway in Pence Hall.

**Notes: Amendment #1, 11/18/98, an increase of \$3,448 was approved to provide additional Consultant services for Phase 2 (design development) for studying alternative locations of stairs within the interior of the building. These services were to assess the impact to the current space utilization of the College of Architecture. The additional fee of \$550 is to provide a site survey as a reimbursable service.**

GBBN, Inc. Lexington Contract# A001090	Pence Hall Renovation Project Number: 1720.0	\$320,000
	Total Amendments: (0)	Consultant Contract Amount: \$29,984
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$29,984

**Project Description:**

Construct restrooms on the 2nd and 3rd floors, rated stairwell enclosure for the main stairway, and electrical service drops in the design studios.

**Notes: No construction contract(s) awarded to date.**

James B. Evans & Assoc. Lexington Contract# A001100	Press Avenue Lot Renovation Project Number: 1791.0	\$399,000
	Total Amendments: (0)	Consultant Contract Amount: \$42,079
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$42,079

**Project Description:**

Renovate and expand a portion of the Press Avenue parking lot.

**Notes: No construction contract(s) awarded to date.**

David Banks Lexington Contract# A991000A	Student Center Mechanical Room Asbestos Abatement Project Number: 1645.0	\$156,811
	Total Amendments: (0)	Consultant Contract Amount: \$22,535
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$22,535

**Project Description:**

Abatement of sprayed on soundproofing insulation on the ceiling of the 1962 mechanical room space adjacent to the Student Center Food Services loading dock.

**Notes: This is a per diem contract.**

Staggs & Fisher Engineers Lexington Contract# A001020F	Tennis Stadium Court Lighting Project Number: 1783.0	\$140,000
	Total Amendments: (0)	Consultant Contract Amount: \$9,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$9,000

**Project Description:**

Install lighting on four tennis courts at Boone Outdoor Tennis Facility.

**Notes: This is a per diem contract.**

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSULTANT CONTRACTS**

<b>CONSULTANT</b>	<b>PROJECT INFORMATION</b>	<b>SCOPE</b>
Lyle Associates, Architects Lexington Contract# A961180	Utilities Upgrade I (Hospital Elevators) Project Number: 1582.0	\$1,870,000
	Total Amendments: (1)	Consultant Contract Amount: \$95,000
		Consultant Cumulative Amendment Amount: \$51,903
		Revised Consultant Contract Amount: \$146,903

**Project Description:**

Modernization of eight traction elevators in the University of Kentucky Hospital. The work includes selective demolition, masonry walls, metal fabrications and flashings, doors and frames, door hardware, finishes, elevator systems and equipment, fire protection, HVAC, electrical systems, lighting, and communication-alarm-signal systems.

**Notes: Amendment #1, 4/16/98,** an increase of \$51,903 was approved to provide Consultant services for an additional 480 days of construction administration and coordination of three sessions of performance testing.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Eubank & Steele Const. Lexington Contract# X011058	4 North Nursing Renovation Project Number: 1730.0		
Low Bid: \$202,350	Total Change Orders: (1)	Contractor Award Amount:	\$202,350
High Bid: \$222,295		Contractor Cum. Change Order Amount:	\$778
Number of Bids: 3		Revised Contractor Contract Amount:	\$203,128
		Contract Percent Complete:	26%
Spectrum Contracting Nicholasville Contract# X010830	Academic and Research Renovation (College of Medicine) Project Number: 1774.0		
Low Bid: \$386,027	Total Change Orders: (2)	Contractor Award Amount:	\$386,027
High Bid: \$432,000		Contractor Cum. Change Order Amount:	\$4,484
Number of Bids: 5		Revised Contractor Contract Amount:	\$390,511
		Contract Percent Complete:	89%
D.W. Wilburn, Inc. Lexington Contract# X012376	Aging/Allied Health Building Phase II Project Number: 1651.0		
Low Bid: \$29,770,000	Total Change Orders: (0)	Contractor Award Amount:	\$29,770,000
High Bid: \$33,000,000		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 5		Revised Contractor Contract Amount:	\$29,770,000
		Contract Percent Complete:	0%
D.W. Wilburn, Inc. Lexington Contract# U003971-97	Animal Research Center, Woodford County Farm Project Number: 1626.0		
Low Bid: \$10,146,000	Total Change Orders: (56)	Contractor Award Amount:	\$10,146,000
High Bid: \$11,746,000		Contractor Cum. Change Order Amount:	\$412,533
Number of Bids: 5		Revised Contractor Contract Amount:	\$10,558,533
		Contract Percent Complete:	99%
<b>Notes: Change Order #17, 12/4/98, an increase of \$59,102.26 was approved to install concrete footings for silos at the Beef Nutrition Center, the Sheep Nutrition Center, and the waste storage areas. Change Order #19, 12/4/98, an increase of \$38,231.75 was approved to redesign the run-off and collection system at three paved beef lots. Change Order #23, 2/12/99, an increase of \$47,222.50 was approved to excavate rock for the site water line. Change Order #42, 6/21/99, an increase of \$41,755 was approved to excavate rock for the swine waste piping system.</b>			
Spectrum Contracting Nicholasville Contract# U003645-98	Barker Hall-Replace Fire Escape with Enclosed Stair Project Number: 1678.0		
Low Bid: \$268,042	Total Change Orders: (3)	Contractor Award Amount:	\$268,042
High Bid: \$324,900		Contractor Cum. Change Order Amount:	\$10,992
Number of Bids: 6		Revised Contractor Contract Amount:	\$279,034
		Contract Percent Complete:	93%
Kidwell Roof Construction Lexington Contract# X011518	C.A.E.R. Roof Replacement Phase II Project Number: 1746.0		
Low Bid: \$98,675	Total Change Orders: (0)	Contractor Award Amount:	\$102,525
High Bid: \$200,788		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 9		Revised Contractor Contract Amount:	\$102,525
		Contract Percent Complete:	54%
<b>Notes: Low bid was rejected due to unsatisfactory performance on a previous project.</b>			



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION			
Marksbury Comett Louisville Contract# U003934-98	Career Planning and Placement Center Project Number: 1715.0			
Low Bid: \$2,413,000	Total Change Orders: (15)	Contractor Award Amount:	\$2,413,000	
High Bid: \$2,610,000		Contractor Cum. Change Order Amount:	\$36,734	
Number of Bids: 6		Revised Contractor Contract Amount:	\$2,449,734	
		Contract Percent Complete:	56%	
Spectrum Contracting Nicholasville Contract# U003980-98	Clinical Neurophysiology Renovation Project Number: 1704.0			
Low Bid: \$236,302	Total Change Orders: (7)	Contractor Award Amount:	\$236,302	
High Bid: \$356,560		Contractor Cum. Change Order Amount:	\$45,464	
Number of Bids: 10		Revised Contractor Contract Amount:	\$281,766	
		Contract Percent Complete:	90%	
<b>Notes: Change Order #5, 8/27/99</b> an increase of \$26,033 was approved to replace casework, doors, and to meet hospital and University standards.				
Davis H. Elliot Company Inc. Lexington Contract# X012351	CNS Electrical Power Project Number: 1786.0			
Low Bid: \$324,100	Total Change Orders: (0)	Contractor Award Amount:	\$324,100	
High Bid:		Contractor Cum. Change Order Amount:	\$0	
Number of Bids: 1		Revised Contractor Contract Amount:	\$324,100	
		Contract Percent Complete:	0%	
Turner Construction** Cincinnati, OH Contract# U004388-97	Commonwealth Stadium Expansion Project Number: 1674.2			
Low Bid:	Total Change Orders: (5)	Contractor Award Amount:	\$1,067,312	
High Bid:		Contractor Cum. Change Order Amount:	\$208,188	
Number of Bids:		Revised Contractor Contract Amount:	\$1,275,500	
		Contract Percent Complete:	99%	
<b>Notes: **Turner is the construction manager for this project. The following contracts pertaining to 1674.2 are managed by Turner Construction. Change Order #1, 1/8/99, an increase of \$97,139 was approved to add one and one-half months of construction services and expenses to the contract. Change Order #2, 1/29/99, an increase of \$97,693.75 was approved to add periodic inspection services for painting and concrete.</b>				
Abell Elevator International Louisville Contract# U000976-98	Commonwealth Stadium Expansion Project Number: 1674.2			
Low Bid: \$411,130	Total Change Orders: (7)	Contractor Award Amount:	\$399,130	
High Bid: \$745,870		Contractor Cum. Change Order Amount:	\$14,408	
Number of Bids: 6		Revised Contractor Contract Amount:	\$413,538	
		Contract Percent Complete:	93%	
<b>Notes: Elevator Bid Package. Bids originally included both construction and maintenance costs. The contract now includes construction cost only.</b>				

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Amteck of Kentucky Lexington Contract# U002639-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$1,746,313 High Bid: \$1,979,650 Number of Bids: 2	Total Change Orders: (46)	Contractor Award Amount: \$1,746,313 Contractor Cum. Change Order Amount: \$119,351 Revised Contractor Contract Amount: \$1,865,664	Contract Percent Complete: 95%
<b>Notes:</b> Electrical Bid Package. <b>Change Order #7</b> , 5/20/99, an increase of \$29,869 was approved to add machine rooms for two future elevator shafts. <b>Change Order #11</b> , 5/24/99, an increase of \$31,650 was approved to provide a redundant feed into the existing substations. <b>Change Order #26</b> , 8/13/99, a decrease of \$22,359 was approved to eliminate portions of the fire alarm system not required by code. <b>Change Order #29</b> , 9/22/99, a decrease of \$27,525.19 was approved to provide a back charge for cleanup to maintain the project site. <b>Change Order #33</b> , 10/14/99, an increase of \$41,992 was approved to provide power requirements for inboard concessions.			
Architectural Graphics Virginia Beach, VA Contract# U005135-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$124,132 High Bid: \$152,715 Number of Bids: 3	Total Change Orders: (3)	Contractor Award Amount: \$124,132 Contractor Cum. Change Order Amount: \$308 Revised Contractor Contract Amount: \$124,440	Contract Percent Complete: 97%
<b>Notes:</b> Graphics Bid Package.			
Branscum Construction Russell Springs Contract# U000943-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$1,742,428 High Bid: Number of Bids: 1	Total Change Orders: (49)	Contractor Award Amount: \$1,652,956 Contractor Cum. Change Order Amount: \$91,822 Revised Contractor Contract Amount: \$1,744,778	Contract Percent Complete: 98%
<b>Notes:</b> Demolition, Excavation, Foundation, and Concrete Bid Package. Only one bid was submitted, therefore, the contract was renegotiated.			
Branscum Construction Russell Springs Contract# U002685-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$2,644,117 High Bid: \$2,698,000 Number of Bids: 2	Total Change Orders: (69)	Contractor Award Amount: \$2,644,117 Contractor Cum. Change Order Amount: \$452,806 Revised Contractor Contract Amount: \$3,096,923	Contract Percent Complete: 99%
<b>Notes:</b> General Trades Bid Package. <b>Change Order #6</b> , 5/20/99, an increase of \$74,292 was approved to add elevator machine rooms for future elevator shafts. <b>Change Order #9</b> , 6/15/99, an increase of \$34,125 was approved to provide handrails for access to the suite stadium seating and to the suite emergency exit stairs. <b>Change Order #18</b> , 6/24/99, an increase of \$44,633 was approved to add the production booth which had been deleted in the post-bid addendum. <b>Change Order #26</b> , 7/20/99, an increase of \$113,434 was approved to add a ceiling to the lower concourse areas. <b>Change Order #57</b> , 10/14/99, an increase of \$34,221.13 was approved to provide overtime for stud framing. <b>Change Order #68</b> , 11/12/99, an increase of \$28,925 was approved to supply and install miscellaneous items.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Dant Clayton Corporation Louisville Contract# U000970-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$1,789,400	Total Change Orders: (15)	Contractor Award Amount:	\$1,789,400
High Bid: \$2,872,992		Contractor Cum. Change Order Amount:	\$20,877
Number of Bids: 3		Revised Contractor Contract Amount:	\$1,810,277
		Contract Percent Complete:	97%
<b>Notes:</b> Aluminum Stadium Seating Bid Package.			
Eagle Roofing Richmond Contract# U002970-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$268,180	Total Change Orders: (7)	Contractor Award Amount:	\$268,180
High Bid: \$438,742		Contractor Cum. Change Order Amount:	\$5,621
Number of Bids: 3		Revised Contractor Contract Amount:	\$273,801
		Contract Percent Complete:	97%
<b>Notes:</b> Roofing and Sheet Metal Bid Package.			
Gate Bluegrass Precast Winchester Contract# U001893-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$1,435,000	Total Change Orders: (14)	Contractor Award Amount:	\$1,435,000
High Bid:		Contractor Cum. Change Order Amount:	\$25,731
Number of Bids: 1		Revised Contractor Contract Amount:	\$1,460,731
		Contract Percent Complete:	99%
<b>Notes:</b> Architectural Precast Bid Package.			
Interstate Welding Terre Haute, IN Contract# U002683-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$908,908	Total Change Orders: (13)	Contractor Award Amount:	\$908,908
High Bid: \$1,121,000		Contractor Cum. Change Order Amount:	\$90,124
Number of Bids: 2		Revised Contractor Contract Amount:	\$999,032
		Contract Percent Complete:	99%
<b>Notes:</b> Miscellaneous Iron, Stairs, and Handrails Bid Package. <b>Change Order #3</b> , 4/29/99, an increase of \$42,600 was approved to provide steel tube support required for the metal panel rail.			
Koker Drilling Company Dayton, OH Contract# U000974-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$460,550	Total Change Orders: (13)	Contractor Award Amount:	\$460,550
High Bid: \$827,000		Contractor Cum. Change Order Amount:	\$84,089
Number of Bids: 3		Revised Contractor Contract Amount:	\$544,639
		Contract Percent Complete:	99%
<b>Notes:</b> Caissons Bid Package. <b>Change Order #9</b> , 9/29/99, an increase of \$45,919 was approved to provide additional drilling on the west side. <b>Change Order #10</b> , 9/29/99, an increase of \$35,990 was approved to provide additional drilling on the east side.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Marksbury Cornett Louisville Contract# U002636-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$866,000 High Bid: \$1,106,090 Number of Bids: 3	Total Change Orders: (28)	Contractor Award Amount: \$866,000 Contractor Cum. Change Order Amount: \$301,458 Revised Contractor Contract Amount: \$1,167,458 Contract Percent Complete: 99%	
<b>Notes:</b> Masonry Bid Package. <b>Change Order #9</b> , 6/15/99, an increase of \$40,138 was approved to provide for authorized overtime for masonry work to maintain the project schedule. <b>Change Order #13</b> , 7/20/99, an increase of \$26,600 was approved to add block column wraps in the elevator shafts. <b>Change Order #18</b> , 9/3/99, an increase of \$73,134 was approved to provide masonry overtime for the month of July. <b>Change Order #26</b> , 10/26/99, an increase of \$53,592.02 was approved to provide a clean-up crew for the month of August.			
Mid States Steel Products Co. Lexington Contract# U000922-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$4,395,000 High Bid: \$6,129,800 Number of Bids: 4	Total Change Orders: (62)	Contractor Award Amount: \$4,395,000 Contractor Cum. Change Order Amount: \$307,242 Revised Contractor Contract Amount: \$4,702,242 Contract Percent Complete: 99%	
<b>Notes:</b> Structural Steel and Miscellaneous Steel Bid Package. <b>Change Order #2</b> , 3/17/99, a decrease of \$100,000 was approved to credit a contract allowance for structural steel coordination purposes. <b>Change Order #3</b> , 3/17/99, a decrease of \$48,000 was approved to credit a contract allowance for structural steel revisions. <b>Change Order #9</b> , 3/17/99, an increase of \$114,000 was approved to add a premium cost for expediting the fabrication of the structural steel to meet the schedule. <b>Change Order #10</b> , 3/19/99, an increase of \$30,735 was approved to provide cornice framing. <b>Change Order #13</b> , 4/12/99, an increase of \$40,598 was approved to change the stadium seating to work with the structural steel. <b>Change Order #19</b> , 5/10/99, an increase of \$77,392 was approved to fabricate and erect the window washing platform. <b>Change Order #20</b> , 5/10/99, an increase of \$146,904 was approved to fabricate and erect channel rails and twenty gates at the upper concourse. <b>Change Order #24</b> , 5/20/99, an increase of \$34,200 was approved to add elevator machine rooms for future use. <b>Change Order #31</b> , 6/15/99, an increase of \$55,005 was approved to provide the required framing to support the signage. <b>Change Order #45</b> , 9/3/99, a decrease of \$40,815.50 was approved to rescind change orders 14, 15, and 16 because the Contractor failed to complete the work by the contract date. <b>Change Order #46</b> , 9/3/99, a decrease of \$156,000 was approved to assess liquidated damages in the amount of \$2,000 per calendar day for 78 calendar days.			
R & R Inc., of Louisville Louisville Contract# U002629-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$2,705,900 High Bid: \$2,766,300 Number of Bids: 4	Total Change Orders: (44)	Contractor Award Amount: \$2,705,900 Contractor Cum. Change Order Amount: \$26,499 Revised Contractor Contract Amount: \$2,732,399 Contract Percent Complete: 99%	
<b>Notes:</b> Plumbing, Fire Protection, and HVAC Bid Package. <b>Change Order #36</b> , 11/8/99, a decrease of \$45,000 was approved to credit the fuel consumption cost allowance.			
Skilton Construction Corp. Lexington Contract# U000981-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$350,000 High Bid: Number of Bids: 1	Total Change Orders: (13)	Contractor Award Amount: \$350,000 Contractor Cum. Change Order Amount: \$45,372 Revised Contractor Contract Amount: \$395,372 Contract Percent Complete: 99%	
<b>Notes:</b> Limited Site Grading and Utilities Bid Package.			

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Skilton Construction Corp. Lexington Contract# U002630-98	Commonwealth Stadium Expansion Project Number: 1674.2		
Low Bid: \$825,000	Total Change Orders: (28)	Contractor Award Amount:	\$825,000
High Bid: \$876,911		Contractor Cum. Change Order Amount:	\$97,193
Number of Bids: 2		Revised Contractor Contract Amount:	\$922,193
		Contract Percent Complete:	99%
<b>Notes:</b> Finish Sitework Bid Package. <b>Change Order #6</b> , 8/1/99, an increase of \$25,533 was approved to excavate poor subgrade for compaction and installation of asphalt. <b>Change Order #20</b> , 10/14/99, an increase of \$33,296 was approved to replace the pavement.			
D.W. Wilburn, Inc. Lexington Contract# U004774-98	Communications and Network Systems and Ancillary Programs Facility Project Number: 1696.0		
Low Bid: \$3,917,000	Total Change Orders: (7)	Contractor Award Amount:	\$3,917,000
High Bid: \$4,156,700		Contractor Cum. Change Order Amount:	\$19,141
Number of Bids: 7		Revised Contractor Contract Amount:	\$3,936,141
		Contract Percent Complete:	47%
Spectrum Contracting Nicholasville Contract# X010710	Diagnostic Services Upgrade VII Project Number: 1757.0		
Low Bid: \$731,076	Total Change Orders: (2)	Contractor Award Amount:	\$731,076
High Bid: \$788,107		Contractor Cum. Change Order Amount:	\$6,653
Number of Bids: 4		Revised Contractor Contract Amount:	\$737,729
		Contract Percent Complete:	91%
Eubank & Steele Const. Lexington Contract# X011792	Fine Arts Information Center - King North Renovation Project Number: 1680.0		
Low Bid: \$2,043,000	Total Change Orders: (0)	Contractor Award Amount:	\$2,043,000
High Bid: \$2,087,500		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 3		Revised Contractor Contract Amount:	\$2,043,000
		Contract Percent Complete:	14%
Woodford Builders, Inc. Lexington Contract# U005374-98	German House Renovation Project Number: 1766.0		
Low Bid: \$664,000	Total Change Orders: (7)	Contractor Award Amount:	\$664,000
High Bid: \$862,139		Contractor Cum. Change Order Amount:	\$20,080
Number of Bids: 5		Revised Contractor Contract Amount:	\$684,080
		Contract Percent Complete:	68%
Finney Company, Inc. Lexington Contract# U003263-98	Hospital Fuel Tank Upgrade Project Number: 1712.0		
Low Bid: \$210,500	Total Change Orders: (14)	Contractor Award Amount:	\$210,500
High Bid: \$277,568		Contractor Cum. Change Order Amount:	\$15,061
Number of Bids: 3		Revised Contractor Contract Amount:	\$225,561
		Contract Percent Complete:	99%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
English Paving Company Inc. Versailles Contract# U003017-98	Leader/South Lime Parking Lot Renovation Project Number: 1733.0		
Low Bid: \$294,000	Total Change Orders: (12)	Contractor Award Amount:	\$294,000
High Bid: \$375,056		Contractor Cum. Change Order Amount:	\$32,767
Number of Bids: 5		Revised Contractor Contract Amount:	\$326,767
		Contract Percent Complete:	99%
Finney Company, Inc. Lexington Contract# U006439-97	Lexington Campus Fume Hood Upgrade Project Number: 1653.2		
Low Bid: \$1,921,300	Total Change Orders: (15)	Contractor Award Amount:	\$1,921,300
High Bid:		Contractor Cum. Change Order Amount:	\$63,282
Number of Bids: 1		Revised Contractor Contract Amount:	\$1,984,582
		Contract Percent Complete:	99%
Spectrum Contracting Nicholasville Contract# U004994-98	M. I. King Library South Renovation Project Number: 1770.0		
Low Bid: \$519,107	Total Change Orders: (6)	Contractor Award Amount:	\$519,107
High Bid: \$669,200		Contractor Cum. Change Order Amount:	-\$28,829
Number of Bids: 3		Revised Contractor Contract Amount:	\$490,278
		Contract Percent Complete:	46%
Woodford Builders, Inc. Lexington Contract# U004456-98	M.I. King South Special Collections & Archives Relocation Project Number: 1680.1		
Low Bid: \$323,400	Total Change Orders: (5)	Contractor Award Amount:	\$323,400
High Bid: \$378,800		Contractor Cum. Change Order Amount:	\$64,939
Number of Bids: 6		Revised Contractor Contract Amount:	\$388,339
		Contract Percent Complete:	47%
<b>Notes: Change Order #2, 6/15/99 an increase of \$36,247 was approved to change the security system and provide for monitoring of more points from a remote location by adding a Liebert Site Scan Unit, Johnson Control Network Unit, and Integrator Panel to Liebert units.</b>			
Whittenberg Construction Louisville Contract# X012689	Mechanical Engineering Building Project Number: 1740.1		
Low Bid: \$20,238,000	Total Change Orders: (0)	Contractor Award Amount:	\$20,238,000
High Bid: \$21,973,000		Contractor Cum. Change Order Amount:	\$0
Number of Bids: 7		Revised Contractor Contract Amount:	\$20,238,000
		Contract Percent Complete:	0%
Cobalt Construction Lexington Contract# X010613	Medical Center Lab Renovation I Project Number: 1759.0		
Low Bid: \$90,974	Total Change Orders: (4)	Contractor Award Amount:	\$90,974
High Bid: \$99,569		Contractor Cum. Change Order Amount:	\$3,846
Number of Bids: 3		Revised Contractor Contract Amount:	\$94,820
		Contract Percent Complete:	38%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION			
Eubank & Steele Const. Lexington Contract# X010426	Medical Center Lab Renovation II Project Number: 1760.0			
Low Bid: \$108,750	Total Change Orders: (2)	Contractor Award Amount:	\$108,750	
High Bid: \$133,152		Contractor Cum. Change Order Amount:	\$3,944	
Number of Bids: 4		Revised Contractor Contract Amount:	\$112,694	
		Contract Percent Complete:	72%	
Eubank & Steele Const. Lexington Contract# X010217	Medical Center Lab Renovation III Project Number: 1761.0			
Low Bid: \$78,749	Total Change Orders: (3)	Contractor Award Amount:	\$78,749	
High Bid: \$90,900		Contractor Cum. Change Order Amount:	\$1,362	
Number of Bids: 3		Revised Contractor Contract Amount:	\$80,111	
		Contract Percent Complete:	93%	
Pro-Tek Environmental Frankfort Contract# U005328-98	Motor Pool Fleet Fueling System Project Number: 1777.0			
Low Bid: \$180,319	Total Change Orders: (6)	Contractor Award Amount:	\$180,319	
High Bid: \$264,470		Contractor Cum. Change Order Amount:	\$14,181	
Number of Bids: 8		Revised Contractor Contract Amount:	\$194,500	
		Contract Percent Complete:	98%	
Eubank & Steele Const. Lexington Contract# U002828-98	Nuclear Medicine Renovation Project Number: 1709.0			
Low Bid: \$232,750	Total Change Orders: (4)	Contractor Award Amount:	\$232,750	
High Bid: \$236,890		Contractor Cum. Change Order Amount:	\$49,619	
Number of Bids: 4		Revised Contractor Contract Amount:	\$282,369	
		Contract Percent Complete:	99%	
<b>Notes: Change Order #3, 5/27/99, an increase of \$29,422.44 was approved to provide labor and materials required to extend the medical gas system per drawings, correct the existing electrical code violations, add a Nurse Call System, add power to new cameras, and remove abandoned vacuum tubes.</b>				
Spectrum Contracting Nicholasville Contract# U003655-98	Pence Hall Exit Stairway Project Number: 1700.0			
Low Bid: \$246,431	Total Change Orders: (10)	Contractor Award Amount:	\$246,431	
High Bid: \$369,000		Contractor Cum. Change Order Amount:	\$16,146	
Number of Bids: 7		Revised Contractor Contract Amount:	\$262,577	
		Contract Percent Complete:	96%	
Environmental Abatement Louisville Contract# X011773	Student Center Mechanical Room Asbestos Abatement Project Number: 1645.0			
Low Bid: \$121,398	Total Change Orders: (0)	Contractor Award Amount:	\$121,398	
High Bid: \$196,721		Contractor Cum. Change Order Amount:	\$0	
Number of Bids: 3		Revised Contractor Contract Amount:	\$121,398	
		Contract Percent Complete:	0%	

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION			
B & B Electric Company, Inc. Lexington Contract# X012227	Tennis Stadium Court Lighting Project Number: 1783.0			
Low Bid: \$119,596	Total Change Orders: (0)	Contractor Award Amount:	\$119,596	
High Bid: \$138,650		Contractor Cum. Change Order Amount:	\$0	
Number of Bids: 3		Revised Contractor Contract Amount:	\$119,596	
		Contract Percent Complete:	0%	
Branscum Construction** Russell Springs Contract# U003074-96	University Student Housing Project Number: 1639.0			
Low Bid:	Total Change Orders: (3)	Contractor Award Amount:	\$157,500	
High Bid:		Contractor Cum. Change Order Amount:	\$128,304	
Number of Bids:		Revised Contractor Contract Amount:	\$285,804	
		Contract Percent Complete:	99%	
<b>Notes:</b> **Branscum is the construction manager for this project. The following construction contracts pertaining to Project 1639.0 are managed by Branscum Construction. <b>Change Order #1</b> , 10/21/98, an increase of \$53,460 was approved to add five months of construction services and expenses to the contract due to additional work and revisions incorporated into the project. <b>Change Order #2</b> , 3/1/99, an increase of \$53,460 was approved to add an additional five months for construction.				
Cooper Painting, Inc. Lexington Contract# U008301-96	University Student Housing Project Number: 1639.0			
Low Bid: \$104,400	Total Change Orders: (5)	Contractor Award Amount:	\$104,400	
High Bid: \$134,980		Contractor Cum. Change Order Amount:	\$23,095	
Number of Bids: 4		Revised Contractor Contract Amount:	\$127,495	
		Contract Percent Complete:	99%	
<b>Notes:</b> Painting and Wallcovering Bid Package.				
English Paving Company Inc. Versailles Contract# U008334-96	University Student Housing Project Number: 1639.0			
Low Bid: \$423,000	Total Change Orders: (13)	Contractor Award Amount:	\$395,000	
High Bid:		Contractor Cum. Change Order Amount:	\$105,623	
Number of Bids: 1		Revised Contractor Contract Amount:	\$500,623	
		Contract Percent Complete:	100%	
<b>Notes:</b> Earthwork and Asphalt Paving Bid Package. The contractor agreed to a \$28,000 reduction in bid amount after post-bid discussions and clarifications on project schedule were conducted. <b>Change Order #9</b> , 4/19/99, an increase of \$69,000 was approved to revise the layout for the upper parking lot.				
Finney Company, Inc. Lexington Contract# U008302-96	University Student Housing Project Number: 1639.0			
Low Bid: \$1,063,400	Total Change Orders: (14)	Contractor Award Amount:	\$1,063,400	
High Bid: \$1,157,300		Contractor Cum. Change Order Amount:	\$49,558	
Number of Bids: 3		Revised Contractor Contract Amount:	\$1,112,958	
		Contract Percent Complete:	98%	
<b>Notes:</b> Mechanical Bid Package.				



**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY  
CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT INFORMATION</b>		
R&R Drywall & Acoustics Inc. Lexington Contract# U008290-96	University Student Housing Project Number: 1639.0		
Low Bid: \$189,200	Total Change Orders: (16)	Contractor Award Amount:	\$189,200
High Bid: \$289,939		Contractor Cum. Change Order Amount:	\$42,713
Number of Bids: 2		Revised Contractor Contract Amount:	\$231,913
		Contract Percent Complete:	99%
<b>Notes:</b> Acoustical Ceiling, Resilient, Wall Base, and Gypsum Board Bid Package.			
Woodford Builders, Inc. Lexington Contract# U008455-96	University Student Housing Project Number: 1639.0		
Low Bid: \$1,939,500	Total Change Orders: (51)	Contractor Award Amount:	\$1,925,382
High Bid:		Contractor Cum. Change Order Amount:	\$841,909
Number of Bids: 1		Revised Contractor Contract Amount:	\$2,767,291
		Contract Percent Complete:	99%
<b>Notes:</b> General Trades Bid Package. Woodford Builders was the only bidder, therefore, a post-bid addendum was done to lower the contract amount. <b>Change Order #3</b> , 1/12/98, an increase of \$32,800 was approved to revise cut stone for the building by adding stone quoins, stone window surrounds, and stone keys to the building elevation. <b>Change Order #8</b> , 7/7/98, an increase of \$135,554 was approved to furnish and install a copper roof in lieu of the asphalt shingle roof originally specified. <b>Change Order #13</b> , 10/5/98, an increase of \$59,105.50 was approved to provide labor and material to add built-in units in lieu of furniture, to add blinds to the first floor rooms, and to provide waterproofing between the concrete floor and the wood flooring. <b>Change Order #25</b> , 3/5/99, an increase of \$80,176.45 was approved to provide a change in the roof overhang. <b>Change Order #26</b> , 5/17/99, an increase of \$37,186.50 was approved to revise the upper parking lot layout due to additional property being added to the project. <b>Change Order #27</b> , 6/16/99, an increase of \$207,030.76 was approved to provide natural finish paneling, coat closets in the foyer, and revise the passage doors to the foyer and library. <b>Change Order #51</b> , 12/1/99, an increase of \$188,919.87 was approved to provide labor and material for interior finishes and millwork.			
Abell Elevator International Louisville Contract# U008376-96	Utilities Upgrade I (Hospital Elevators) Project Number: 1582.0		
Low Bid: \$1,436,296	Total Change Orders: (6)	Contractor Award Amount:	\$1,436,296
High Bid: \$1,558,818		Contractor Cum. Change Order Amount:	\$50,656
Number of Bids: 4		Revised Contractor Contract Amount:	\$1,486,952
		Contract Percent Complete:	99%
<b>Notes:</b> <b>Change Order #4</b> , 6/21/99, an increase of \$27,641.40 was approved to rework the swing return in elevators 6 and 7, add a heater outside the elevators 6 and 7 machine rooms, relocate the emergency power key switches and car-to-lobby key switches, delete 12 floor lockout key switches at elevators 6 and 7, and install terrazzo in elevators 12, 13, 14, and 15.			

# FCR 4

Office of the President  
March 7, 2000

Members, Board of Trustees:

## ANONYMOUS PLEDGE OF \$5,000,000

Recommendation: that the Board of Trustees accept a pledge of \$5,000,000 to the William T. Young Library Endowment from a donor who wishes to be anonymous.

Background: The William T. Young Library and the William T. Young Library Endowment are named for the new library's principal benefactor, a Lexington businessman who is a 1939 UK graduate. The anonymous donor has requested that the University seek matching funds from the state if a new Research Challenge Trust Fund is approved by the 2000 General Assembly and use its best efforts to raise an additional \$10.0 M during the Capital Campaign in private funds to be matched by public funds. The pledge would be paid within five years or earlier. This gift and matching funds would result in the William T. Young Library Endowment being among the largest library endowments in the nation.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 5

Office of the President  
March 7, 2000

Members, Board of Trustees:

A RESOLUTION AUTHORIZING THE UNIVERSITY'S INVESTMENT OF  
ADDITIONAL FUNDS IN KENTUCKY HEALTHCARE ENTERPRISES, INC.

Recommendation: that the Board authorize the expenditure of funds from University Hospital earnings in the amount of \$3.6 million to provide additional capital for Kentucky Healthcare Enterprises, Inc. to support its operations.

Background: At its meeting of December 12, 1995 the Board of Trustees authorized an initial investment of \$8 million to form a corporation that would have the power to acquire physician and other medical practice/provider sites, and to provide management services to medical practice/provider sites to broaden the Medical Center's network of physicians who will be available for teaching, research, and service. That corporation, known as Health Associates of Kentucky, Inc., has engaged in acquisition, partnering, management and development of physician practices, including acquisition of Corbin Pediatrics, and Urgent Treatment Centers of Kentucky, Inc.; partnering with physicians in Lexington, Versailles, and Frankfort; and management of Kentucky Clinic North.

In August of 1997 the Board authorized a reorganization plan to expand the ability of the corporation to enter into partnerships with not-for-profit entities; therefore, giving the company the ability to expand health care services both in the for-profit and not-for-profit sector.

The reorganization also provided certain tax benefits as well as providing for the greater protection of certain assets against liability. In August of 1998 the Board formally approved a contract with Kentucky Healthcare Enterprises, Inc. which further defined the new organization. This current funding request will provide:

\$3.6 million dollars for the ongoing operation of Health Associates of Kentucky, Inc., the primary care development arm of KHE, Inc. This funding request is consistent with a multi-year funding forecast prepared for this entity in FY-1999, and has been planned for in the Hospital's current budget.

The investment in Kentucky Healthcare Enterprises, Inc. continues to expand the opportunities for University physicians and ancillary support staff to provide care to the population of the Commonwealth where educational opportunities will be afforded. Both the provision of care to the population of the Commonwealth and the educational opportunities afforded will continue to fulfill the mission of the University of Kentucky Medical Center. In accordance with the December 12, 1995 Board of Trustees action, Health Associates of Kentucky, Inc. activities are reported annually to the Board.

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Action taken:

Approved

Disapproved

Other \_\_\_\_\_

# FCR 6

Office of the President  
March 7, 2000

Members, Board of Trustees:

COLDSTREAM RESEARCH CAMPUS GROUND LEASE -  
THE PIZZUTI COMPANIES

Recommendation: that the Administration be authorized to execute a ground lease between the University of Kentucky and The Pizzuti Companies for Lot 13 of the Coldstream Research Campus for the purpose of developing a commercial building to house a technology center for IBM.

Background: The Pizzuti Companies is a commercial real estate business that has developed and controls over 4 million square feet of class A downtown and suburban office space as well as institutional grade industrial property throughout the Midwest and Southeast. These facilities are located on approximately 4,000 acres. Pizzuti has offices in Chicago, IL, Orlando, FL and Columbus, Ohio with corporate headquarters being in Columbus.

## KEY TERMS OF GROUND LEASE

<b>PARTIES</b>	Lessor - University of Kentucky  Lessee - The Pizzuti Companies
<b>TERM OF GROUND LEASE</b>	75 years
<b>SIZE OF PARCEL</b>	Lot 13, 8.6 acres; as shown on the Coldstream Research Campus Development Plan.
<b>GROUND RENT</b>	Until the last day of the calendar month in which commencement of construction of the improvements has occurred, the annual rent to be paid by the lessee shall be at the rate of \$1,000 per month.

After commencement of construction of the improvements has occurred, Lessee will pay Lessor monthly at the rate of \$9,000 per acre per year (annual lease payment \$77,400) (Value per acre \$100,000 times 8.6 = \$860,000 times 9%=\$77,400).

Rent changes by 25% of the Consumer Price Index every five years.

**DESIGN GUIDELINES**

To ensure a quality project and compatibility with the University's long-term development concepts for the Coldstream Research Campus, the lease requires Lessee to comply with Architectural and Landscape Guidelines.

**UNSUBORDINATED LEASE**

The University's interest in the property or the Lease will not be subordinated.

**INFRASTRUCTURE**

The University of Kentucky will provide infrastructure to the site.

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Action taken:



Approved



Disapproved



Other \_\_\_\_\_