

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 20, 1992.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 20, 1992 in the Board Room on the 18th floor of Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mrs. Marian Sims.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mrs. Kay Shropshire Bell, Professor Carolyn S. Bratt, Mr. Robert Clay, Mr. James Hardyman, Mr. Henry Jackson, Dr. Robert P. Meriwether, Mr. Pete November, Dr. Deborah Powell, Mr. James L. Rose, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Professor John Sistarenik, Jr., Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr., Mrs. Lois Weinberg, and Mr. Billy B. Wilcoxson. Absent from the meeting were Mr. Paul Chellgren, Mrs. Elissa Plattner, and Mr. Henry R. Wilhoit, Jr. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Ben W. Carr, Jr., and Robert E. Hemenway; Vice Presidents Joseph E. Burch, Edward A. Carter, Donald B. Clapp, and Eugene R. Williams; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. John C. Darsie, Legal Counsel.

Members of the general public and the news media were also in attendance. A quorum being present, Governor Breathitt declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the September 15, 1992 meeting of the Board of Trustees had been distributed and asked for corrections or additions. Mr. Ulmer moved that the Minutes be approved as distributed. His motion, seconded by Mr. Shoop, passed.

D. President's Report to the Trustees (PR 1)

President Wethington called attention to the following items in his report:

1. GTE has contributed \$625,000 to the University's library fund-raising campaign.
2. Prestonsburg Community College has won a National Inter-association Medallion Award in recognition of its faculty-based orientation and advising program.

3. The University of Kentucky Graduate School had record enrollments during the 1991-92 academic year.
4. Legislation providing \$4.185 million for the final phase of the Advanced Science and Technology Commercialization Center (ASTeCC) has been approved by Congress and signed by President George Bush. Federal funding for this project now totals \$17.5 million.
5. A UK research team has received a five-year grant of approximately \$4 million from the National Institute on Aging to study the role of calcium regulation in brain cells during aging and Alzheimer's disease.
6. The University of Kentucky was honored at the tenth annual Minority Enterprise Development Awards Ceremony in Louisville. UK received an award, sponsored by the Kentuckiana Minority Supplier Development Council, honoring organizations that have developed successful minority business development programs.
7. John van Nagell, professor of obstetrics and gynecology in the College of Medicine, has been named to a list of the best cancer specialists in the United States. The list was published in the October 1992 issue of "Good Housekeeping" magazine.
8. Alice Lloyd College has opened a \$3 million facility on the University of Kentucky campus which provides free housing for up to 24 Alice Lloyd College students who want to continue their education at UK.
9. Dedication ceremonies were conducted recently for the University's new study-intensive residence program in Boyd Hall.
10. Jefferson Community College is celebrating its 25th anniversary this year.

President Wethington noted that there were other significant items in his report and asked the Trustees to review them at their leisure.

E. Update on Financial Picture of the Commonwealth

President Wethington reported that the Commonwealth is currently running a deficit estimated at approximately \$58 million. Governor Brereton Jones and his staff have announced that they will not make further budget cuts of the Commonwealth at this time. He said that the Governor plans to observe the budget situation for a month before making any decisions about additional cuts.

President Wethington reminded the Board that the university presidents recently met with Governor Jones and expressed their concerns about the serious results that would come to the colleges and universities if further cuts were made. He said that the university

presidents asked Governor Jones to give his consideration to exempting higher education if further cuts were necessary. He mentioned that the students and Mr. November and his counterparts across the state had also presented their case for higher education funding to Governor Jones recently. They expressed their interests and concerns for higher education funding and the dangers inherent in further budget cuts.

He said that the administrators are moving on with the process for handling the budget cuts. He asked the Board to join the efforts of the administrators and students in helping to avoid further budget cuts in higher education.

F. Personnel Actions (PR 2)

With President Wethington so recommending, Mr. Shoop made a motion to approve the routine personnel actions in PR 2. His motion was seconded by Dr. Meriwether and carried. (See PR 2 at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Wethington recommended that the amendments to the University of Kentucky Governing Regulations in PR 3A be accepted for preliminary consideration and included on the agenda for action at the next regular meeting of the Board. He noted that these amendments consists of statutory and editorial changes. Mr. Hardymon moved approval. The motion, seconded by Mrs. Bell, passed. (See PR 3A at the end of the Minutes.)

H. Naming of University Building - Madisonville Community College (PR 4A)

At the request of President Wethington, Chancellor Ben Carr commented on PR 4A. He recommended that the Board approve the name John H. Gray Building for the recently renovated academic/administration building at Madisonville Community College. He said that Mr. Gray, who was deceased, was not only a friend to Madisonville Community College but to all of the community colleges throughout the state. He reported that Mr. Gray served on the Advisory Board and was chairperson of the Board for approximately 20 years. During those 20 years, Mr. Gray was active in every major activity in the Community College System movement.

President Wethington reiterated that John Gray was a long-standing friend of the University and the Community College System. He said that he could not think of a more deserving recipient of the naming of this University building than Mr. John H. Gray. He expressed pleasure in recommending the approval of PR 4A. Mr. Sturgill moved approval. His motion, seconded by Mrs. Weinberg, passed unanimously. (See PR 4A at the end of the Minutes.)

Governor Breathitt added that he knew John Gray well, and the University administrators were wise in making this recommendation to the Board. He noted that Mr. Gray was also an outstanding member of the coal industry.

I. Approval of Agreement with Ephraim McDowell Cancer Research Foundation (PR 6A)

President Wethington asked Chancellor Bosomworth to comment on PR 6A.

Chancellor Bosomworth stated that the proposed agreement continues the relationship between the two organizations and formalizes procedures for University accountability for the expenditure of funds provided by the Foundation. It also ensures that funding from the Foundation addresses the priority needs of the University of Kentucky Markey Cancer Center. He said that this relationship has proven to be a remarkable success story. Since its inception, it has surpassed \$35 million in fund-raising efforts for the institution.

President Wethington reported that the University was in the process of recruiting a new Director of the Cancer Center. In his opinion, it is fitting and important that the new Director understands the relationship between the Foundation and the University. He said that he had worked with Mr. Will Farish, who is presently the President of the Foundation, on this agreement. He reiterated that this agreement reflects the long-standing arrangement between the two organizations and identifies the relationship for the future. Mrs. Sims moved approval. Her motion was seconded by Mrs. Bell and carried. (See PR 6A at the end of the Minutes.)

J. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1992 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, stated that the Finance Committee met on the morning of October 20 and reviewed the nine items to be presented for action. He reported that a quorum was present and noted that a number of Board members, who are not on the Finance Committee, were in attendance.

He reviewed the Interim Financial Report for the three months ending September 30, 1992. He said that the University had realized 29% of its income projected in the budget, and that the expenditures are 27% of the approved budget. He noted that these figures are very similar to the pace of last year, and there is adequate capital to run the University. He recommended and moved approval of the Report. The motion was seconded by Mr. Shoop, and it passed. (See FCR 1 at the end of the Minutes.)

K. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1992 (FCR 2)

With the concurrence of the Finance Committee, Mr. Hardymon moved that the Board accept the audited financial statements of the University of Kentucky, including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital for the year ending June 30, 1992. He reported that it was a clean audit, and the University has no material weaknesses. He

said that those items noted for improvement will be communicated to the proper people at the University. Mr. Rose seconded the motion and it carried. Mr. November asked that the Minutes show that he abstained from the vote. (See FCR 2 at the end of the Minutes.)

L. Disposal of Personal Property (FCR 3)

On motion made by Mr. Hardymon, seconded by Mrs. Weinberg and passed, approval was given for the disposal of surplus equipment as recommended in FCR 3. (See FCR 3 at the end of the Minutes.)

M. Capital Construction Report (FCR 4)

Mr. Hardymon reported that FCR 4 is the Capital Construction Report for the three months ending September 30, 1992. He stated that the Report involves architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University. He said that the Finance Committee received the report and reviewed the expenditures for the period ending September 30, 1992. He moved approval of FCR 4. His motion, seconded by Mr. Wilcoxson, passed. (See FCR 4 at the end of the Minutes.)

N. Approval of Lease (FCR 5)

Mr. Hardymon stated the FCR 5 is the approval of a lease for a program that started in 1981. It is a lease with the Hospital Hospitality House of Lexington, Inc., whereby the University will lease the property at 342 South Limestone to them for five years at \$1.00 per year. The use of the property will be to provide temporary accommodations for family members of critically ill patients of Lexington's hospitals. He reported that this organization has served 11,000 guests since its inception. He noted that the funds to operate this facility are from donations. On motion made by Mr. Hardymon, seconded by Dr. Meriwether and passed, approval was given to FCR 5. (See FCR 5 at the end of the Minutes.)

O. 1992-93 Budget Revisions (FCR 6)

Mr. Hardymon moved that the budget revisions in FCR 6 be authorized and approved. He said that the Finance Committee discussed the generation of the funds and the expenditures, and the Committee recommends approval. Mr. Shoop seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

P. Patent Assignment (FCR 7)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters of Patent of the United States and the invention represented thereby: Radovan (NMI) Kovacevic, Serial No. (to be assigned), filed (to be filed). He explained that this invention is a high pressure water jet to assist in the cooling and lubrication of machinery. His motion, seconded by Professor Sistarenik, carried. (See FCR 7 at the end of the Minutes.)

Q. Patent Assignment (FCR 8)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board to the University of Kentucky Research Foundation of the following Letters of Patent of the United States and the invention represented thereby: Robert A. Lodder and Lisa A. Cassis, Serial No. (to be assigned), filed (to be filed). He explained that this patent is for certain improvements in apparatus and method for analyzing tissue. Mr. Rose seconded his motion, and it passed. (See FCR 8 at the end of the Minutes.)

R. Establishment of Quasi-Endowment - Student Bar Association Most Improved Scholar Award (FCR 9)

Mr. Hardymon moved that the Board approve the establishment of the Student Bar Association Most Improved Scholar Award Quasi-Endowment. He reported that the Student Bar Association recently completed a phonathon and through alumni gifts has established the Student Bar Association Most Improved Scholar Award Endowment. Income from this endowment will be used to provide scholarship assistance to a University law student who meets the specified criteria. Gifts to date total \$19,697 and the College of Law wishes to establish a quasi-endowment in the amount of \$12,500. Mrs. Bell seconded the motion, and it carried. (See FCR 9 at the end of the Minutes.)

S. Finance Committee - Information Item

Mr. Hardymon reported that the Finance Committee reviewed the data processing disaster recovery plan for the University, and the Committee was provided an extensive report on the plan. He thanked Vice President Williams for his report to the Committee.

T. Comments about Annual Report

Mrs. Sims commented about the beautiful Annual Report and the nice presentation. President Wethington thanked her for noticing the Report and expressed thanks to his staff for their fine work.

U. Comments on University's Initiative

Governor Breathitt thanked President Wethington, the Athletics Department, and the University administration for the recent initiative in the area of racism and sexism. He said that the institution places diversity at a very high point on its agenda, particularly the Athletics Department in its dedication of funds from one of the ballgames to the Urban League. He expressed his deep appreciation to President Wethington and Mr. Newton for taking this initiative.

V. Meeting Adjourned

There being no further business to come before the Board, the meeting adjourned at 1:30 p.m.

Respectfully submitted,

Lois Weinberg
Secretary
Board of Trustees

(PR's 2, 3A, 4A, and 6A; and FCR's 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

/8085

Office of the President
October 20, 1992

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other

Date: October 20, 1992

0197

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Employee Benefits

Conway, Pauline, Disabled Staff, Employee Benefits,
after 11.5 consecutive years of service, under
AR II-1.6-1 Section III.B, effective 11/30/92.

B. EARLY RETIREMENT

Parking and Transportation

Ellis, Glenn L., Parking Manager, after 25.5
consecutive years of service, under AR II-1.6-1
Section III.A.2, effective 9/30/92.

Police Department

Thomas, Jack B., Police Officer, after 27.5
consecutive years of service, under AR II-1.6-1
Section III.A.2, effective 9/30/92.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Office of the Vice President for Research
and Graduate Studies

Watt, David S., Professor (with tenure), Chemistry, and
Vice Chancellor for Research and Graduate Studies,
appointed Director of the Advanced Science and
Technology Commercialization Center (ASTeCC),
effective 10/1/92.

B. LEAVES OF ABSENCE

Libraries

Birchfield, James, Librarian I, Collection Development,
sabbatical leave with full salary, 7/1/93 through
12/31/93.

Cox, Bonnie J., Librarian II, Collection Development,
sabbatical leave with full salary, 3/15/93
through 6/30/93.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. RETIREMENTS

Paducah Community College

Fuller, Sara E., Librarian II in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 22 consecutive years of service, effective 9/30/92.

Southeast Community College

Patterson, Harold L., Associate Professor in the Community College System (with tenure), (under AR II-1.6-1 Section III.A.2), following 25.5 consecutive years of service, effective 9/30/92.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Norris, Kelly B., Instructor in the Community College System, 8/1/92 through 5/31/93.

Schneider, Susan, Instructor in the Community College System, 8/1/92 through 5/31/93.

Hazard Community College

Anderson, Pamela S., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Bailey, Vickie T., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Banks, Jack D., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Bartley, Clint, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Benton, Steven, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Campbell, Anita M., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Cates, Shawn A., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Cole, Regina, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Cole, Russell M., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Collins, Melanie, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Collins, Rachel, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Combs, Joe M., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Cook, William S., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Cox, Robert V., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Dietz, Margreta L., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Diolet, Raymond A., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Dixon, Melissa, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Fleming, Lyn, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Gayheart, Allen, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Gayheart, Bill, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Gayheart, Tammy, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Gee, Don, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Gibson, Donna, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Globig, Sabine, Instructor in the Community College System, 8/24/92 through 6/30/93.

Hall, Ralph D., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/93.

Harris, George D., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Hicks, Kenny, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Hughes, Shannon R., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Lawson, Rhonda, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Lucas, Alice D., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Lucas, Johnny, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Mullins, Terry, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Music, Rex R., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Osborne, Dreama F., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Pack, Sandra, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Ritchie, Reggie, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Short, Elmer G., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Smiley, Sheila, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Smith, Penny, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Strong, Bill, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Tester, Vickie L., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Turner, Melissa, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Ulrich, Mark W., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Webb, Gillie, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Whitaker, Angela M., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Whitaker, Bruce M., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
White, Glenna, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Williams, James, Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Williams, Jon P., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.
Wilson, Rebecca J., Instructor in the Community College System (voluntary), 9/1/92 through 5/31/95.

Henderson Community College

Burton, Sharon K., Instructor in the Community College System, 8/26/92 through 12/31/92.

Jefferson Community College

Hammond, Lisa, Instructor in the Community College System (voluntary), 8/1/92 through 5/31/94.
Phelps, Harry, Instructor in the Community College System (voluntary), 8/1/92 through 5/31/95.
Zehnder, Karen M., Instructor in the Community College System, 8/1/92 through 12/31/92.

Lexington Community College

Cross, W. S., Instructor in the Community College System (voluntary), 9/18/92 through 6/30/95.
Davis, Jackie, Instructor in the Community College System (voluntary), 9/18/92 through 6/30/95.
Duvall, Derek G., Instructor in the Community College System (voluntary), 9/15/92 through 6/30/95.
Fox, Paula, Instructor in the Community College System (voluntary), 9/18/92 through 6/30/95.

Owensboro Community College

Lanham, Terri H., Instructor in the Community College System, 8/1/92 through 6/30/93.
Whitaker, Deborah K., Instructor in the Community College System, 8/1/92 through 6/30/93.

Paducah Community College

Thomas, Connie, Instructor in the Community College System (voluntary), 9/15/92 through 9/15/95.

Somerset Community College

Hamm, Margo M., Librarian IV in the Community College System, 9/1/92 through 6/30/93.

Southeast Community College

Hellams, Pierce D., Librarian IV in the Community College System, 8/24/92 through 6/30/93.
Spoelman, Linda, Instructor in the Community College System, 8/26/92 through 6/30/93.

B. ADMINISTRATIVE APPOINTMENTS

Ashland Community College

McCullough, Willie C., Associate Professor in the Community College System (with tenure), appointed Acting Dean of Student Affairs, 10/1/92 through 6/30/93.

C. REAPPOINTMENTS

Elizabethtown Community College

Eicher-Sherrill, Katrina, Assistant Professor in the Community College System, 7/1/92 through 6/30/93.

Hazard Community College

Brunty, Helen M., Instructor in the Community College System, 9/1/92 through 6/30/93.
Crone, Patricia, Instructor in the Community College System, 9/1/92 through 6/30/93.
Hatch, Robert, Instructor in the Community College System, 9/1/92 through 6/30/93.
Reynolds, Scott, Instructor in the Community College System, 9/1/92 through 6/30/93.

Jefferson Community College

Barry, Martha S., Instructor in the Community College System (voluntary), 8/1/92 through 5/31/94.

Lexington Community College

McClary, Michael J., Instructor in the Community College
System (voluntary), 9/10/92 through 6/30/95.

Roussos, Panagiotis A., Instructor in the Community College
System, 9/15/92 through 6/30/93.

D. CHANGES

Elizabethtown Community College

Chittenden, LeRoy, Instructor in the Community College
System, Terminal Reappointment 7/1/92 through 6/30/93.
(Change from Reappointment 7/1/92 through 6/30/93 as
reported in September 15, 1992 Board of Trustees
minutes).

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Aten, D. Lyle, Visiting Professor, Horticulture and Landscape Architecture, 8/24/92 through 5/31/93.

College of Arts and Sciences

Connors, Michael P., Professor (without tenure), Military Science, 8/1/92 through 7/31/96.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Frye, Wilbur W., Professor (with tenure), Agronomy, appointed Director, Regulatory Services, effective 1/1/92.

College of Arts and Sciences

Connors, Michael P., Professor (without tenure), Military Science, appointed Chairman, Military Science, 8/1/92 through 7/31/96.

College of Engineering

Walker, John N., Professor (with tenure), Agricultural Engineering and Acting Director, Center for Robotics and Manufacturing Systems, appointed Director, Center for Robotics and Manufacturing Systems, effective 8/1/92.

C. JOINT APPOINTMENTS

College of Education

Shapiro, Robert, Associate Professor (with tenure), Health, Physical Education and Recreation, joint appointment as Associate Professor (without tenure), Center for Biomedical Engineering, Research and Graduate Studies, 7/1/92 through 6/30/93.

D. RETIREMENTS

College of Agriculture

Puckett, Orville P., Auto Mechanic, Management Operations, after 23.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 10/30/92.

E. EARLY RETIREMENTS

College of Agriculture

Combs, Ceazor, Agricultural Tech I, Management Operations, after 16 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 10/30/92.

Heaton, C.E., Agricultural Tech I, Veterinary Science, after 26.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 8/28/92.

Offutt, Dorothy L., Staff Assistant VI, Home Economic Extension, after 27 consecutive years of service, under Section III.A.1 of AR II-1.6-1, effective 9/30/92.

Tuttle, Patricia A., Professor* (with tenure), Veterinary Science, after 19.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 9/30/92.

Yeoman, Omega J., Electrical Tech III, Agricultural Engineering, after 21.5 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 9/30/92.

College of Business and Economics

Scott, Helen J., Cold Typesetter II, Center for Business Development, after 22 consecutive years of service, under Section III.A.1 of AR II-1.6-1, effective 9/30/92.

Vice Chancellor for Administration

Barnes, Virginia M., Cook-Baker I, Donovan Cafeteria, Auxiliary Services, after 30.5 consecutive years of service under Section III.A.1 of AR II-1.6-1, effective 9/30/92.

Jackson, Mary K., Cook-Baker I, Donovan Cafeteria, Auxiliary Services, after 30 consecutive years of service under Section III.A.1 of AR II-1.6-1, effective 9/30/92.

Kenney, James S., Truck Driver Helper, Trucking Department, Physical Plant Division, after 17.5 consecutive years of service under Section III.A.2 of AR II-1.6-1, effective 9/11/92.

*Special Title Series

F. POST-RETIREMENTS

College of Education

Taylor, Betty, Instructor (part-time), Curriculum and Instruction, 8/16/92 through 12/31/92.

Vice Chancellor for Administration

Harp, Jess, Consultant (part-time), Paint Shop, Physical Plant Division, 8/24/92 through 6/30/93.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Arnold, Mark, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/92 through 12/31/92.
Boyer, Mark E., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/92 through 12/31/92.
Manning, Christopher H., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/92 through 12/31/92.

College of Arts and Sciences

Aliyev, Karina, Instructor (part-time), Russian and Eastern Studies, 9/1/92 through 12/31/92.
Allen, Andrea M., Instructor (part-time), Anthropology, 8/16/92 through 12/31/92.
Bidegaray, Pedro Emilio, Instructor (part-time), Anthropology, 8/16/92 through 12/31/92.
Bowling, Shana, Instructor (part-time), Psychology, 8/16/92 through 12/31/92.
Buck, Patrick L., Instructor (part-time), Spanish and Italian, 9/1/92 through 12/31/92.
Chatterjee, Lipika, Instructor (part-time), English, 8/16/92 through 12/31/92.
Clarke, Benita J., Instructor (part-time), Spanish and Italian, 9/1/92 through 12/31/92.
Edwards, Jonathan W., Instructor (part-time), Computer Science, 8/16/92 through 12/31/92.
Ellis, Normandi, Instructor (part-time), English, 8/16/92 through 12/31/92.
Grupe, Dale J., Assistant Professor, Aerospace Studies, 9/1/92 through 8/31/94.
Guindon, Lynn D., Instructor (part-time), English, 8/16/92 through 12/31/92.
Guindon, Lynn D., Instructor (part-time), Classics, 9/1/92 through 12/31/92.

Libbon, Stephanie, Instructor (part-time), German,
8/16/92 through 12/31/92.
Miller, Mary Margaret, Instructor (temporary), English,
8/16/92 through 6/30/93.
Papanicolaou, Thomas, Instructor (temporary), Computer
Science, 8/16/92 through 5/15/93.
Park, Sangwon, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.
Prats, Judith G., Instructor (part-time), English,
8/16/92 through 12/31/92.
Rahman, Mahibbur, Instructor (part-time), Statistics,
9/1/92 through 12/31/92.
Reece, Erik A., Instructor (part-time), English, 8/16/92
through 12/31/92.
Reynolds, Thomas E., Instructor (temporary), English,
8/16/92 through 6/30/93.
Taishibaev, Murat, Instructor (part-time), Russian and
Eastern Studies, 9/1/92 through 12/31/92.
Triantafyllakis, Vickie, Instructor (part-time),
Mathematics, 8/16/92 through 12/31/92.
Vega, Luz, Instructor (part-time), Spanish and Italian,
9/1/92 through 12/31/92.

College of Communications

Wilde, James M., Instructor (part-time), Communication,
8/16/92 through 12/31/92.

College of Education

Cogswell, Carole Davis, Instructor (part-time),
Vocational Education, 9/1/92 through 12/31/92.
Elam, Jennifer, Instructor (part-time), Educational and
Counseling Psychology, 9/1/92 through 12/31/92.
Kruse, Lou Ann, Instructor (part-time), Educational and
Counseling Psychology, 9/1/92 through 12/31/92.
Pearson, Cheryll A., Instructor (part-time), Educational
and Counseling Psychology, 9/1/92 through 12/31/92.
Ross, George R., Instructor (part-time), Educational and
Counseling Psychology, 9/1/92 through 12/31/92.

College of Fine Arts

Byrd, Richard, Instructor (part-time), Music, 8/16/92
through 12/31/92.
Corcoran, James R., Instructor (part-time), Music,
8/16/92 through 12/31/92.
Peterson, Carrie, Instructor (part-time), Art, 8/16/92
through 12/31/92.
Schneider, Sara K., Assistant Professor (temporary),
Theatre, 8/16/92 through 6/30/93.
Wilson, Molly, Instructor (part-time), Art, 8/16/92
through 12/31/92.

College of Law

Alfred, Margaret, Instructor (part-time), 10/1/92 through 2/28/93.
Connelly, Allison, Instructor (part-time), 10/1/92 through 2/28/93.
Cowen, Theodore E., Instructor (part-time), 10/1/92 through 2/28/93.
Crittenden, Roger L., Instructor (part-time), 10/1/92 through 2/28/93.
Durant, Susan S., Instructor (part-time), 10/1/92 through 2/28/93.
Gardner, Edward W., Instructor (part-time), 10/1/92 through 2/28/93.
Gilbert, Theresa C., Instructor (part-time), 10/1/92 through 2/28/93.
Hoffmann, Susan J., Instructor (part-time), 10/1/92 through 2/28/93.
Meuser, Judith K., Instructor (part-time), 10/1/92 through 2/28/93.
Mooney, Matthew L., Instructor (part-time), 10/1/92 through 2/28/93.
Morris, Sharon, Instructor (part-time), 10/1/92 through 2/28/93.
Taylor, James W., Instructor (part-time), 10/1/92 through 2/28/93.

College of Social Work

Payne, Darrell D., Assistant Professor* (part-time), 8/16/92 through 5/15/93.

B. REAPPOINTMENTS

College of Arts and Sciences

Allen, John W., Instructor (part-time), French, 9/1/92 through 12/31/92.
Baltus-Sih, Marie-Sylvie, Instructor (part-time), French, 9/1/92 through 12/31/92.
Barbour, A. Kathleen, Instructor (part-time), English, 8/16/92 through 12/31/92.
Blythe, Marsha J., Instructor (part-time), French, 9/1/92 through 12/31/92.
Campbell, F. Diane, Instructor (part-time), English, 8/16/92 through 12/31/92.
Carter, Michael, Instructor (part-time), English, 8/16/92 through 12/31/92.
Clark, Stephen A., Instructor (part-time), Statistics, 9/1/92 through 12/31/92.
Cooley, Paul D., Instructor (part-time), Mathematics, 8/16/92 through 12/31/92.

* Special Title Series

Cooper, John B., Instructor (part-time), English,
8/16/92 through 12/31/92.

Darbro, Doug, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.

Duncan, Mary, Instructor (part-time), English, 8/16/92
through 12/31/92.

Ennis, Dorothy, Instructor (part-time), French, 9/1/92
through 12/31/92.

Fazey, Mark, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.

Feltus, Joann R., Instructor (part-time), Statistics,
9/1/92 through 12/31/92.

Foreman, Nancy K., Instructor (part-time), English,
8/16/92 through 12/31/92.

Hill, Paula, Instructor (part-time), English, 8/16/92
through 12/31/92.

Holle, Bruce, Instructor (part-time), Classics, 9/1/92
through 12/31/92.

Janecek, Susan M., Instructor (part-time), Russian and
Eastern Studies, 9/1/92 through 12/31/92.

Jordan, Sue, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.

Kirkman, Deborah L., Instructor (part-time), English,
8/16/92 through 12/31/92.

Krause, Margaret, Instructor (part-time), Chemistry,
8/16/92 through 12/31/92.

Marmon, Ellen, Instructor (part-time), English, 8/16/92
through 12/31/92.

Miller, Penny, Assistant Professor (part-time),
Political Science, 8/16/92 through 5/15/93.

Mills, Elizabeth E., Instructor (part-time), French,
9/1/92 through 12/31/92.

Moss, Tom, Instructor (part-time), Mathematics, 8/16/92
through 12/31/92.

Myers, Rebecca C., Instructor (part-time), English,
8/16/92 through 12/31/92.

Nickell, Joe, Instructor (part-time), English, 8/16/92
through 12/31/92.

Oaks, Janice H., Instructor (part-time), English,
8/16/92 through 12/31/92.

Osborn, Karen L., Instructor (part-time), English,
8/16/92 through 12/31/92.

Presnell, Barbara, Instructor (part-time), English,
8/16/92 through 12/31/92.

Ramanathan, Subathra, Instructor (part-time),
Statistics, 9/1/92 through 12/31/92.

Richeson, William M., Instructor (part-time), English,
8/16/92 through 12/31/92.

Royster, Betty B., Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.

Seiler, Mike, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.

Tri, Mary Kathryn, Instructor (part-time), English,
8/16/92 through 12/31/92.

Vanderpool, Betty Lou, Instructor (part-time),
Mathematics, 8/16/92 through 12/31/92.
Weber, Deborah L., Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.
Wells, Pamela, Instructor (part-time), Mathematics,
8/16/92 through 12/31/92.
White, Clyde Patrick, Instructor (part-time), English,
8/16/92 through 12/31/92.
Willard, Norman, Instructor (part-time), Psychology,
8/16/92 through 12/31/92.
Zahniser, Ann H., Instructor (part-time), English,
8/16/92 through 12/31/92.

College of Education

Haas, Joan, Instructor (part-time), Curriculum and
Instruction, 8/16/92 through 12/31/92.
Hill, Carol, Instructor (part-time), Curriculum and
Instruction, 8/16/92 through 12/31/92.

College of Engineering

White, Garey, Associate Professor (part-time), Civil
Engineering, 8/16/92 through 5/15/93.

College of Fine Arts

Gentry, Theodore L., Instructor (part-time), Music,
8/16/92 through 12/31/92.
Greenberg, Nicholas, Instructor (part-time), Music,
8/16/92 through 5/15/93.
Lowry, Aril, Instructor (part-time), Art, 8/16/92
through 12/31/92.

C. PROMOTIONS WITHOUT TENURE

College of Business and Economics

Ott, Steve H., from Instructor, Finance, to Assistant
Professor, Finance, 8/16/92 through 6/30/93.

D. SPECIAL ASSIGNMENTS

College of Engineering

Roco, M.C., Professor (with tenure), Mechanical
Engineering, serve as a National Science Foundation
Program Director in the Engineering Directorate,
1/1/93 through 7/31/93.

E. LEAVES OF ABSENCE

College of Agriculture

Hayden, Marie, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 1/1/93 through 2/15/93.
Miller, Janice G., County Extension Agent, Cooperative Extension Service, Leave without pay, 9/19/92 through 8/15/93.

College of Arts and Sciences

Hall, James Baker, Professor (with tenure), English, Leave without pay, Spring Semester 1993.

College of Business and Economics

Clark, Myrtle W., Associate Professor (with tenure), Accountancy, Sabbatical leave with full salary, Fall Semester 1993.
Fackler, James S., Professor (with tenure), Economics, Sabbatical leave with full salary, Fall Semester 1993.
Provan, Keith G., Professor (with tenure), Management, Sabbatical leave with full salary, Fall Semester 1993.

College of Engineering

Hamrin, Charles E. Jr., Professor (with tenure), Chemical Engineering, Sabbatical leave with full salary, Spring Semester 1993.
Saito, Kozo, Associate Professor (with tenure), Mechanical Engineering, Sabbatical leave with full salary, Spring Semester 1993.

College of Human Environmental Sciences

Routt, Mary Lou, Associate Professor* (with tenure), Family Studies, Sabbatical leave with full salary, Spring Semester 1993.

* Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Buckingham, John C., Associate Professor (voluntary),
Obstetrics and Gynecology, 8/1/92 through 6/30/94.
Kelly, Thomas H., Associate Professor (without tenure),
Behavioral Science, 8/1/92 through 6/30/93.
Mankad, Vipul N., Professor (with tenure), Pediatrics,
effective 9/8/92.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Mankad, Vipul N., Professor (with tenure), Pediatrics,
named Chairman, Pediatrics, 9/8/92 through 9/7/98.

C. PROMOTIONS

College of Medicine

Humphries, Laurie L., Associate Professor (with
tenure), Psychiatry, to Professor (with tenure),
Psychiatry, effective 7/1/92.

D. CHANGES

College of Medicine

Kinker, Robert G., from Professor (voluntary),
Ophthalmology, to Assistant Professor (voluntary),
Ophthalmology, 9/1/92 through 6/30/94.
Rosenbaum, Harold D., from Professor (with tenure),
Diagnostic Radiology, to Clinical Professor
(without tenure), Diagnostic Radiology, 7/1/92
through 6/30/93.
Watson, Robert E., Jr., from Associate Professor (with
tenure), Anatomy and Neurobiology, to Instructor
(part-time), Anatomy and Neurobiology, 9/1/92
through 6/30/93.

E. RETIREMENTS

College of Medicine

Melvin, Irene G., Research Associate, Pediatrics, after 26.0 consecutive years of service, under AR II-1.6-1 Section III.B, effective 9/28/92.

University Hospital

Stipe, Bernice H., Central Service Technician, O.R. Services, after 20.33 consecutive years of service, under AR II-1.6-1 Section III.B, effective 10/16/92.

F. EARLY RETIREMENTS

College of Medicine

Crutcher, Thomas, Research Analyst, Physiology and Biophysics, after 35.0 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 9/30/92.

Physical Plant

Highfill, Glenn E., 1st Class Plumber, after 19.5 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 8/21/92.

University Hospital

Williams, Betty L., Food Service Supervisor, Dietetics and Nutrition, after 32.0 consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 9/20/92.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

English, Robert A., Assistant Professor*, Clinical Sciences, 10/1/92 through 6/30/93.

Graue, Michael L., Assistant Professor (voluntary), Health Services, 10/1/92 through 6/30/94.

Howell, Louise, Assistant Professor (voluntary), Health Services, 9/1/92 through 6/30/94.

Hyde, George C., Assistant Professor (voluntary), Health Services, 10/1/92 through 6/30/94.

*Special Title Series

Lewis, Susan, Assistant Professor (voluntary), Health Services, 10/1/92 through 6/30/94.
Martin, Renee H., Assistant Professor (voluntary), Health Services, 9/1/92 through 6/30/94.
Moran, Pamela A., Assistant Professor (voluntary), Health Services, 9/1/92 through 6/30/94.
Moss, Dwayne, Assistant Professor (voluntary), Health Services, 8/1/92 through 6/30/94.
Sears, Andy, Assistant Professor (voluntary), Health Services, 9/1/92 through 6/30/94.
Winick, Joseph M., Assistant Professor (voluntary), Health Services, 9/15/92 through 6/30/94.

College of Dentistry

Pritchett, Charles E., Assistant Professor (part-time), Oral Health Practice, 8/1/92 through 6/30/93.
Rubeling, Richard R., Assistant Professor (part-time), Oral Health Science, 8/1/92 through 6/30/93.
Thompson, James R., Assistant Professor (part-time), Oral Health Practice, 9/1/92 through 6/30/93.

College of Medicine

Bierly, John R., Assistant Professor, Ophthalmology, 8/1/92 through 6/30/93.
Boghaert, Erwin R., Assistant Research Professor, Surgery, 9/1/92 through 6/30/93.
Combs, Greta L., Assistant Professor (part-time), Family Practice, 7/1/92 through 6/30/93.
Costich, Julia F., Assistant Professor (part-time), Rehabilitation Medicine, 8/17/92 through 6/30/93.
Creighton, James J., Assistant Professor (voluntary), Surgery, 9/1/92 through 6/30/94.
Koszewski, Nicholas, Assistant Professor, Medicine, 9/1/92 through 6/30/93.
Mih, Alexander D., Assistant Professor (voluntary), Surgery, 9/1/92 through 6/30/94.
Newell, Steven W., Assistant Professor, Ophthalmology, 8/15/92 through 6/30/93.
Reband, Pamela B., Assistant Professor (voluntary), Anesthesiology, 8/1/92 through 6/30/94.
Truitt, Robert, Clinical Assistant Professor, Medicine, 8/1/92 through 6/30/93.
Woods, Robert D., Assistant Professor (voluntary), Surgery, 9/1/92 through 6/30/94.
Yen, Catherine E., Assistant Professor*, Neurology, 9/14/92 through 6/30/93.

College of Nursing

Burd, Vanessa A., Instructor (part-time), 7/1/92 through 6/30/93.
Gall, Roxanne B., Instructor (part-time), 8/1/92 through 6/30/93.
Howard, Patricia B., Assistant Professor, 8/1/92 through 6/30/93.
Isaacs, Sally B., Instructor (part-time), 7/1/92 through 6/30/93.
Miller, Joanna A., Instructor (part-time), 7/1/92 through 6/30/93.
O'Neill, Nancy L., Instructor (part-time), 7/1/92 through 6/30/93.
Ruiz-Bueno, Jo Ann, Assistant Professor, 8/1/92 through 6/30/93.

College of Pharmacy

Norbrega, Jose B., Instructor (voluntary), 7/1/92 through 6/30/94.

B. REAPPOINTMENTS

College of Dentistry

Schell, Susan C., Instructor (part-time), Oral Health Science, 7/1/92 through 6/30/93.

College of Nursing

Buckles, Diane C., Instructor (part-time), 7/1/92 through 6/30/93.
Furlong, Kelley A., Instructor (part-time), 7/1/92 through 6/30/93.
Hoefner, Ruthie B., Instructor (part-time), 7/1/92 through 6/30/93.
Littrell, Margaret, Instructor (part-time), 7/1/92 through 6/30/93.
Pearson, Martha A., Instructor (part-time), 7/1/92 through 6/30/93.
Presler, Elizabeth, Assistant Professor (part-time), 7/1/92 through 6/30/93.
Teague, Barbara R., Instructor (part-time), 7/1/92 through 6/30/93.
Warren, Janet K., Instructor (part-time), 7/1/92 through 6/30/93.
Welsh, J. Darlene, Instructor (part-time), 7/1/92 through 6/30/93.

C. CHANGES

College of Dentistry

Tharp, Kenneth L., from Assistant Professor
(voluntary), Oral Health Practice, to Assistant
Professor (part-time), Oral Health Practice, 9/1/92
through 6/30/93.

College of Medicine

Bingcang, Cornelia, from Assistant Professor
(part-time), Psychiatry, to Clinical Assistant
Professor, Psychiatry, 8/1/92 through 6/30/93.
Carney, Brian T., from Assistant Professor, Surgery, to
Clinical Assistant Professor, Surgery, 7/1/92
through 6/30/93.

Office of the President
October 20, 1992

PR 3A

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following amendments to the University of Kentucky Governing Regulations be considered for approval.

(Note: Proposed addition is underlined; proposed deletion is bracketed.)

Amendment - Change PART II, page 1 to read:

The governance of the University is vested by law in the Board of Trustees. (KRS 164.[130] 131)

*The Council on Higher Education in Kentucky (KRS 164.[010]) 020...

Background: The statute numbers were changed.

Amendment - Change Part II A.1, page 2 and A.2, pages 2 and 3 to read:

No member of [the Board of Trustees or its] the administrative staff of the University [may] shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the three faculty members. (KRS 164.[130]) 131

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.450. (KRS 164.150)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen [competent citizens of Kentucky] members appointed by the Governor, three members of the faculty of the University (two from the University System and one from the Community College System) who shall have the right to vote on all matters except that of faculty

compensation, and one member of the student body of the University of Kentucky. The terms of the appointed members shall be for [four] six years and until their successors are appointed and qualified. [Four of the sixteen appointed members shall be appointed each year.] Two members shall serve one-year terms; two shall serve two-year terms, one of whom shall be a graduate of the University; three shall serve four-year terms, one of whom shall be a graduate of the University; three shall serve five-year terms and three shall serve six-year terms, one of whom shall be a graduate of the University. Three of the appointed members shall be representative of agricultural interests, three shall be alumni of the University, one of whom may reside outside the Commonwealth, and ten shall be other distinguished citizens of the state representative of the learned professions. The Governor shall [so] make the appointments so as to [divide the] reflect proportional representation on the Board [as equally as possible between] of the two leading political parties of [Kentucky] the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.[130] 131 as amended by the General Assembly)

Each alumni member is appointed by the Governor from three persons required to be citizens of Kentucky and nominated by the alumni by a procedure prescribed by the Board of Trustees. (KRS 164.[130] 131; KRS 164.140)

The two faculty members representing the University System of the University of Kentucky shall be members of the faculty of the University System of the rank of assistant professor or above, elected by secret ballot by all faculty members of the rank of assistant professor or above in the University System. Faculty members shall serve for terms of three years and until their successors are elected and [qualify] qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board if they cease to be members of the faculty of the University System. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections. The authority to develop procedures for the election of faculty members of the University System to serve as members of the Board in accordance with the provisions of KRS 164.[130] 131 is hereby delegated to the University Senate.

The one faculty member representing the Community College System of the University of Kentucky shall be a teaching or research member of the faculty at one of the community colleges in the Community College System, elected by secret ballot by members of the community college faculties. The community college faculty member shall serve for a term of three years and until a successor is elected and qualified. No community college shall have a faculty representative on the Board for more than one term in succession. The community college faculty representative shall be ineligible to continue to serve as a member of the Board if that individual ceases to be a member of the teaching faculty at one of the community colleges. An election to fill a vacancy for an unexpired term shall be held in the same manner as for the original election. The authority to develop procedures for the election

of a faculty member of the Community College System to serve as a member of the Board in accordance with the provisions of KRS 164.[130] 131 is hereby delegated to the Senate of the Community College System.

The student member shall be the president of the student body of the University during the appropriate academic year. If the student member does not maintain the position as student body president or the status of a full-time student at any time during that academic year or does not maintain permanent residency in the Commonwealth of Kentucky, a special election shall be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member. The student member shall serve for a term of one year beginning with the first meeting of the fiscal year which contains that academic year. (KRS 164.[130] 131)

The number of faculty and student members elected to the University of Kentucky Board of Trustees shall not exceed four. (KRS 164.[130] 131 as amended by the 1980 General Assembly)

Background: Language in statues and statues were amended.

Amendment - Change PART II B.(5), page 8 to read:

[1970, C 140, s 1] KRS 164.600

Background: Appropriate statue cited.

Amendment - Change PART IV, page 2 to read:

Chancellor for Academic Affairs for the Community College System, and Deans of the Colleges of Allied Health Professions, Architecture, Communications, Dentistry, Education, Engineering, Law, and Social Work. In academic years beginning with an odd number, the ex officio voting members shall be the following: Chancellor for the Lexington Campus, Chancellor for the Community College System and University Relations, Vice Chancellor for Academic Affairs for the Medical Center, Dean of the Graduate School, Dean of Undergraduate Studies, President of the Student Government Association, and Deans of the Colleges of Agriculture, Arts and Sciences, Business and Economics, Fine Arts, Human Environmental Sciences, Library and Information Science, Medicine, Nursing, and Pharmacy.

The ex officio non-voting membership shall include the President, the Special Assistant to the President for Academic Affairs, all other vice presidents, Vice Chancellor for Minority Affairs, University System Registrar...

Background: The University Senate at its April 1992 meeting approved that the Dean for Undergraduate Studies should be a voting member of the Senate since there was no longer the position of Vice Chancellor for Academic Affairs for the Lexington Campus. The Senate also approved that the Vice Chancellor for Minority Affairs should be a non-voting member.

Amendment - Change PART VIII B, page 4 to read:

All appointments and promotions of faculty to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above [\$30,000] \$60,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding [\$30,000] \$60,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees...

Background: The present figure of \$30,000 has been in effect since 1980. A study of current salaries of assistant professor level indicates that a comparable figure today would be \$60,000.

Amendment - Change PART XII C, pages 1 and 2 to read:

C. Solicitation of Funds

[The United Way of the Bluegrass is designated as the sole agency authorized to conduct on-campus solicitation of contributions from faculty and staff members in the University System. The University offers a payroll deduction plan to United Way of the Bluegrass contributors. Other agencies may be authorized by the Dean of Students to use the University mail service for solicitation.]

Various charitable organizations desire, from time to time, to conduct on-campus solicitations of contributions from faculty and staff. These charitable solicitations are carried out (1) through use of University mail service and (2) through access to the payroll deduction system.

The University does not intend to convert either its mail system or its payroll process into either a limited or unlimited public forum, but prefers to maintain its systems as a non-public forum.

The following criteria will be applied by the administration in determining which, if any, charitable agencies will be permitted to engage in the on-campus solicitation of faculty and staff:

1. Whether the charity maintains an affirmative action plan.
2. In cases where access to the payroll deduction is involved, whether the charity can demonstrate that at least thirty percent of on-campus, University employees have given to the charity in four out of five years or that thirty percent of on-campus, University employees intend to give to the charity in the current year.
3. In cases of federated or "umbrella" charities, whether the charity provides a health or human service in Fayette County for the University System or the appropriate local area for each college in the Community College System, and the extent of such service in the local area.

4. Whether the charity maintains a local office or service center.
5. Whether the charity maintains a reasonable ratio (not more than 15% for administration and fund raising) of administrative expenses to program expenses.
6. In the case of federated or "umbrella" charities, whether the charity makes it a practice to submit to an annual review by local citizens of financial and program activity.
7. Whether the charity maintains, from year to year, an active board of local volunteers.
8. In the case of federated or "umbrella" charities, whether the federated charity requires its recipient agencies to maintain operational standards in order to maintain their status as recipients.
9. Require an annual audit and that the charity receives an unqualified audit opinion.
10. Whether the charity is a non-profit organization.
11. Whether the charity provides services on an annual basis to employees and/or students of the University.

[The Community College System is authorized to develop comparable regulations for the control of solicitation of funds on the campuses of the community colleges.]

Background: The University of Kentucky Governing Regulations presently designate the United Way of the Bluegrass as the sole agency authorized to conduct on-campus solicitation of contributions from faculty and staff. This amendment to the Governing Regulation is intended to add specific criteria to be used in determining which, if any, charity agency will be allowed to engage in on-campus solicitation of faculty and staff through the University mail service or the payroll deduction system.

Amendment - Change PART XIII to read:

The University of Kentucky Development Council shall serve in an advisory capacity to the President and, through the President, to the Board of Trustees [for all] on matters relating to private fund development for the [entire] University. The Council shall consist of not more than 300 members.

Membership of the Council includes individuals appointed by the President and five ex officio members: the Chairperson of the Board of Trustees, the President, the Vice President for University Relations, the Associate Vice President for Development, and the Chairperson of the Student Development Council. The appointed membership may include[: men and women,] alumni, [and] non-alumni, residents of Kentucky and non-residents[, and one University of Kentucky student]. Qualifications for [membership] appointed members are: (1) position[s] of leadership in a regional, state or national community or a profession [their chosen communities whether regional, state, national or professional]; (2) willingness to support the University's role as a leading public university committed to excellence and

nationally recognized for the quality of its teaching, research, service, and graduates. [a commitment to the University of Kentucky's aspiration to develop into one of the nation's strongest universities; and (3) a willingness to support this aspiration.]

The University of Kentucky Development Council shall be [headed] managed by a Board of Directors consisting of the ex officio members of the Council and up to [30] 26 members elected by the Council. [The Chairman of the Board of Trustees, the President of the University, the Associate Vice President for Administration for Alumni and Development, and the Chairman of the Student Development Council shall serve as ex officio members of the Council's Board of Directors.] The elected members of the Board of Directors shall include at least fourteen alumni and at least two members of the Board of Trustees.

Background: Council membership needed to be updated. Some minor language modifications were made for a more contemporary description of the Council.

Amendment - Change gender references throughout the Governing Regulations (e.g., chairman to chairperson).

Background: Kentucky Commonwealth Statutes reflect changes; references are being changed in the Administrative Regulations; and, in the last approved Governing Regulations by the Board of Trustees, changes in gender references were made.

Action taken: Approved Disapproved _____ Other _____

Date: October 20, 1992

Office of the President
October 20, 1992

PR 4 A

Members, Board of Trustees:

Naming of University Building
Madisonville Community College

Recommendation: that the Board of Trustees approve the name **John H. Gray Building** for the recently renovated academic/administration building at Madisonville Community College.

Background: Mr. John H. Gray served as chair of the Madisonville Community College Advisory Board for nearly twenty years, and was a very strong advocate for the college during all those years. Mr. Gray loved Madisonville Community College and was committed to its purpose.

The Committee on Naming University Buildings has made its recommendation to the Chancellor of the Community College System who recommends to the President and Board of Trustees that the newly renovated academic/administration building at Madisonville Community College be named the **John H. Gray Building**.

Action taken: Approved Disapproved _____ Other _____

Date: October 20, 1992

Office of the President
October 20, 1992

PR 6 A

Members, Board of Trustees:

APPROVAL OF AGREEMENT WITH
EPHRAIM MCDOWELL CANCER RESEARCH FOUNDATION

Recommendation: that the Board authorize the President to execute the attached agreement between the University and the Ephraim McDowell Cancer Research Foundation providing for (a) the continued occupancy of office space in the Cancer Center by the Foundation, (b) expenditure of Foundation generated funds as designated by the Foundation Board with ultimate program control retained by the University and (c) initiation, review and approval of research proposals.

Background: The vital role of the Ephraim McDowell Cancer Research Foundation in raising funds for the establishment and operation of the Cancer Center has been recognized by this Board in a series of agreements and understandings going back to June of 1986 and beyond. The proposed agreement continues the relationship and formalizes procedures for University accountability for the expenditure of funds provided by the Foundation and ensures that funding from the Foundation addresses the priority needs of the University of Kentucky Markey Cancer Center.

Action Taken: Approved Disapproved Other

Date: October 20, 1992

AGREEMENT BETWEEN THE UNIVERSITY OF KENTUCKY AND THE
EPHRAIM MCDOWELL CANCER RESEARCH FOUNDATION

This agreement is entered into on this 28th day September, 1992, by and between the University of Kentucky (hereinafter called the "University") and the Ephraim McDowell Cancer Research Foundation, a non-profit public benefit corporation (hereinafter called the "Foundation") for the following purposes and under the following terms and conditions:

WHEREAS, the purposes of the Foundation, as stated in its charter, include the support of research to determine the causes, effective means of treatment and cures of the diseases known as cancer; to support programs for cancer prevention; and to provide facilities and services in association therewith; and

WHEREAS, the physical facilities of the Lucille Parker Markey Cancer Center (hereinafter called "Markey Cancer Center") were established through the benevolent actions of the Foundation in conjunction with commitments of the University and the Commonwealth of Kentucky to establish a comprehensive "Center of Excellence" in cancer research, education, patient care, community outreach, and cancer control; and

WHEREAS, the Foundation represents the principal benefactor of the Markey Cancer Center in devoting certain proceeds from its fund raising to programs and facilities of the center and has over many years made, and continues to make, significant contributions to the center for said purposes; and

WHEREAS, the University recognizes its responsibility and wishes to provide full accountability to the Foundation for Foundation funds allocated to the University Markey Cancer Center;

NOW, THEREFORE, IT IS AGREED THAT:

1. Space for the offices of the Foundation will continue to be provided by the University, as agreed between the University of Kentucky Board of Trustees and the Foundation Board in a document dated June 25, 1986, a copy of which is appended to this agreement (Attachment A).

2. Funds generated by the Foundation and which are given to the University Markey Cancer Center shall be spent by the

University Markey Cancer Center for those programs, projects, and activities designated by the Foundation Board. The University Markey Cancer Center shall have full responsibility, control, and supervision of programs, projects and activities conducted by them, and the Foundation, its officers and directors shall have no responsibility or liability therefor beyond the amount given or committed in writing to be given by the Foundation.

3. It shall be the responsibility of the Cancer Center Director, representing the University, its faculty, University Hospital, patients, staff, donors and affiliated programs, to formulate plans, programs and associated funding needs of the Markey Cancer Center, consistent with the overall mission, objectives, standards and prevailing programs of the Markey Cancer Center and with the strategic plan of the Medical Center, and to present such plans, programs and funding needs for review by the Cancer Center Committee (the composition and duties of which are specified in Attachment B) prior to submission of proposals to the Foundation Board for appropriate financial support.

4. Proposals for funding specific programs or projects in cancer may be solicited from and/or submitted by any member of the faculty or professional staff of the University through the Cancer Center Director.

5. Proposals initiated by or submitted through the Cancer Center Director and approved and recommended by the Cancer Center Committee will be presented to the Foundation Board by the Cancer Center Director. At the invitation of the Foundation Board, the Cancer Center Director shall regularly attend meetings of the Foundation Board.

This agreement is effected to formally specify the relationship between the University and the Foundation and to ensure that proposals by the University to the Foundation for funding address the priority needs of the Markey Cancer Center as determined by the Cancer Center Director working with the Cancer Center Committee.

This agreement will take effect as of the date of signing and shall be continuously and automatically renewed from year to year, except that it may be terminated by either party by giving written notice not less than six months prior to any renewal date, under terms consistent with the conditions specified herein. The termination of this agreement shall not affect the

right of the Foundation as set forth in the agreement of June 25, 1986 (Attachment A) to use the areas specified in Attachment A for as long as the Foundation continues to give significant amounts to the University Markey Cancer Center.

Signed: On behalf of the Board of Trustees
of the University of Kentucky

By _____
Charles T. Wethington, Jr.

Signed: On behalf of the Ephraim McDowell
Cancer Research Foundation

By _____
Ben Roach, M. D., Chairman

By _____
W. S. Farish, President

ATTACHMENT A

Office of the President
June 25, 1986

PR 6B

Members, Board of Trustees:

AGREEMENT BETWEEN THE UNIVERSITY OF KENTUCKY
AND THE MCDOWELL CANCER RESEARCH FOUNDATION

Recommendation: that the Board upon the recommendation of the Chancellor of the Medical Center and the President of the University of Kentucky approve the attached agreement between the University of Kentucky and the McDowell Cancer Research Foundation relating to the use of certain rooms in the Lucille Parker Markey Cancer Center building and authorize the President to execute this agreement with the McDowell Cancer Research Foundation.

Background: The agreement, wherein the McDowell Cancer Research Foundation agreed to raise funds, construct the Lucille Parker Markey Cancer Center building, and transfer the completed facility to the University of Kentucky, was made with an understanding that certain rooms would be available for use by the Foundation and its staff. The building has been completed, the transfer made, and the attached agreement is a formal action granting the use of rooms 160, 160-A, 162, 162-A, 163, and 164 to the McDowell Cancer Research Foundation under certain conditions. In addition, it assigns first priority for the use of 151 to the Foundation Board.

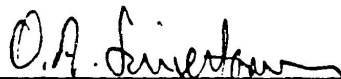
Action taken: Approved Disapproved Other

Date: June 25, 1986

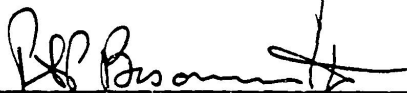
AGREEMENT BETWEEN THE UNIVERSITY OF KENTUCKY
AND THE MCDOWELL CANCER RESEARCH FOUNDATION
REGARDING THE USE OF CERTAIN ROOMS IN THE
LUCILLE PARKER MARKEY CANCER CENTER

In consideration of the establishment and construction of the Lucille Parker Markey Cancer Center, the Board of Trustees of the University of Kentucky hereby authorizes the McDowell Cancer Research Foundation to use the following rooms in the Lucille Parker Markey Cancer Center building so long as the McDowell Cancer Research Foundation, Inc. continues its substantial aid and assistance to the Lucille Parker Markey Cancer Center of the Albert B. Chandler Medical Center of the University of Kentucky:

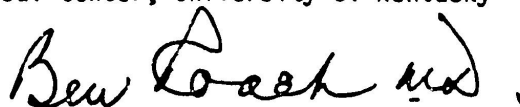
<u>Rooms</u>	
160	The reception area
160-A	Storage
162	Project Director's office
162-A	Computer room
163	Chairman's office
164	Executive Director's office
151	Board Room (It being understood that use by the McDowell Cancer Research Foundation shall have first priority, and it being further understood that the Board Room is available for University use as needed when that room is not being used by the Board of the Foundation.)



Otis A. Singletary, President
University of Kentucky



Peter P. Bosomworth, M.D., Chancellor
Medical Center, University of Kentucky



Ben Roach, M.D., Chairman
McDowell Cancer Research Foundation

6/25/86

CANCER CENTER COMMITTEE

The principal governing body of the Markey Cancer Center is the **CANCER CENTER COMMITTEE**. This committee, appointed by the Chancellor and chaired by the Dean of the College of Medicine, includes representatives of all major constituencies and affiliates of the center: University Hospital, Albert B. Chandler Medical Center, Ephriam McDowell Cancer Research Foundation, University of Kentucky College of Medicine, McDowell Cancer Network/Kentucky Cancer Program, and representatives of faculty and administration responsible for research, patient care, and educational programs of the center.

The **CANCER CENTER COMMITTEE** exercises general oversight responsibility for the Markey Cancer Center and its programs to assure optimal organization, operation, programmatic focus, support mechanisms and allocation of resources toward the maintenance of a coordinated effort in the overall mission of the center to reduce the morbidity and mortality of cancer.

The committee further serves to assure that the policies of the center relate appropriately to the overall mission and operations of the greater University and Medical Center.

In the conduct of its responsibilities, the committee gives primary attention to reports and recommendations of the Center Director and those of the center's variously constituted advisory groups. More generally, the committee seeks to foster appropriate representation, communication and cooperation among all major constituencies of the center.

<u>POSITION/TITLE</u>	<u>TERM</u>	<u>INCUMBENT</u>
CHAIRMAN, DEAN/VICE CHANCELLOR, UKMC:	Permanent	Emery Wilson, M.D.
CHANCELLOR, UKMC (Ex Officio):	Permanent	Peter P. Bosomworth, M.D.
DIRECTOR, MARKEY CANCER CENTER:	Permanent	James F. Glenn, M.D.
HOSPITAL DIRECTOR:	Permanent	Frank Butler
CHAIRMAN, McDOWELL FOUNDATION:	Permanent	Ben F. Roach, M.D.
CHAIRMAN, McDOWELL NETWORK/KCP:	Permanent	Carolyn Kenton
DIRECTOR, MRISC:	Permanent	Val Runge, M.D.
BASIC SCIENCE CHAIRMAN:	1990-94	Alan Kaplan, Ph.D.
CLINICAL DEPARTMENT CHAIRMAN:	1991-95	Deborah E. Powell, M.D.
BASIC SCIENCE FACULTY REPRESENTATIVE:	1990-92	Boyd Haley, Ph.D.
CLINICAL FACULTY REPRESENTATIVE:	1990-93	John R. van Nagell, M.D.

Office of the President
October 20, 1992

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 1992

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 1992.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1992, the University had realized income of \$241,703,000 representing 29% of the 1992-93 estimate of \$840,753,000. Expenditures and commitments total \$223,955,000 or 27% of the approved budget of \$840,753,000.

Action taken: Approved Disapproved Other

Date: October 20, 1992

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 1992
with comparative totals for September 30, 1991
(In thousands)

	1992-93					1991-92	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 21,773	\$ 26,965	\$ 1,159	\$ 671	\$ 195,134	\$ 245,702	\$ 208,166
Notes, loans, and A/R (less bad debt allowances of \$7,069)	67,194	13,940	18,032		1,547	100,713	88,552
Investments	6,883	52,021		89,741	74,010	222,655	209,306
Property, plant, and equipment					1,020,139	1,020,139	941,326
Inventories and other	16,742	90				16,832	15,491
Total Assets	\$ 112,592	\$ 93,016	\$ 19,191	\$ 90,412	\$ 1,290,830	\$ 1,606,041	\$ 1,462,841
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 15,440	\$ 492	\$ 4		\$ 8	\$ 15,944	\$ 13,895
Employee withholdings and deposits		10,519				10,519	9,390
Other liabilities	4,039	2,101			5,840	11,980	12,215
Liability for self insurance	22,856					22,856	19,568
Deferred income	9,212	7,626				16,838	16,460
Bonds payable					354,599	354,599	320,615
Capitalized lease obligation					44,828	44,828	37,948
Net deferred revenues and appropriated fund balances	22,746	517				23,263	22,104
Total Liabilities	74,293	21,255	4		405,275	500,827	452,195
Interfund Balances	(40,964)	44,937			(3,973)		
Fund Balances							
Current Unrestricted							
Working capital	67,414					67,414	60,472
Future operating purposes	9,415					9,415	8,952
Other	2,434					2,434	797
Current Restricted							
Loan		26,824	19,187			26,824	27,547
True Endowments				\$ 55,482		55,482	48,360
Term Endowments				3,261		3,261	3,472
Quasi Endowments				31,669		31,669	30,060
Plant							
Retirement of indebtedness					42,668	42,668	40,498
Renewal and Replacement					52,909	52,909	34,890
Allocated for designated projects					38,085	38,085	43,721
Net investment in plant					755,966	755,966	693,406
Total Fund Balances	79,263	26,824	19,187	90,412	889,528	1,105,214	1,010,646
Total Liabilities and Fund Balances	\$ 112,592	\$ 93,016	\$ 19,191	\$ 90,412	\$ 1,290,830	\$ 1,606,041	\$ 1,462,841

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1982
with comparative totals for September 30, 1981
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1982-83				1981-82	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
General Fund						
(1) State appropriations	\$ 309,963	\$ 309,863	\$ 77,094	25	\$ 80,442	24
(2) Student fees	92,700	92,966	44,012	47	39,484	49
(3) Federal appropriations	15,001	15,001	4,044	27	4,538	31
(4) County appropriations	5,148	5,148	729	14	428	11
(5) Endowment income	801	801	143	18	120	16
(6) Investment income	8,266	8,266	1,453	18	1,994	22
Gifts and grants						
(7) Affiliated corporations	6,997	7,385	1,695	23	944	14
(8) Other	3,731	3,799	501	13	451	13
(9) Sales and services	22,007	22,154	3,943	18	3,734	22
(10) Fund balance	7,220	7,220	7,220	100	7,030	100
(11) Total General Fund	471,734	472,603	140,834	30	139,235	29
(12) Auxiliary Enterprises	36,081	36,081	11,056	31	10,549	29
(13) Restricted Funds	61,638	62,096	19,429	31	16,673	30
(14) Affiliated Corporations	86,988	87,652	22,787	26	19,851	23
Hospital						
(15) Revenues	182,321	182,321	47,587	26	51,612	32
(16) Fund balance					3,020	100
(17) Total Revenues and Appropriated Fund Balances	\$ 838,762	\$ 840,753	\$ 241,703	29	\$ 240,940	29

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1992
 with comparative totals for September 30, 1991
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(1) Instruction	\$ 212,185	\$ 210,271	\$ 50,007	24	\$ 50,280	24
(2) Research	88,385	89,721	23,425	26	22,559	25
(3) Public Service	77,749	76,688	17,339	23	17,674	24
(4) Academic Support	50,869	53,722	15,024	28	16,364	30
(5) Student Services	19,752	19,949	5,182	26	5,622	28
(6) Institutional Support	35,005	35,491	7,953	22	9,799	26
(7) Student Financial Aid	45,669	45,918	18,396	40	16,037	42
(8) Operation and Maintenance	39,018	38,970	6,852	18	7,668	19
(9) Mandatory Transfers (Debt Service)	35,984	35,892	27,318	76	22,800	62
(10) Hospital	180,826	180,826	38,251	21	43,440	27
(11) Auxiliary Enterprises	53,320	53,305	14,188	27	13,543	26
(12) Total Expenditure by Program	<u>\$ 838,762</u>	<u>\$ 840,753</u>	<u>\$ 223,955</u>	27	<u>\$ 225,786</u>	28

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1992
 with comparative totals for September 30, 1991
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1992-93				1991-92	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(13) Personnel Costs	\$ 483,117	\$ 483,126	\$ 116,209	24	\$ 117,017	25
(14) Operating Expenses	285,618	288,229	72,878	25	75,975	28
(15) Mandatory Transfers (Debt Service)	40,959	40,867	27,810	68	23,421	56
(16) Capital Outlay	29,068	28,531	7,058	25	9,373	23
(17) Total Expenditure by Category	<u>\$ 838,762</u>	<u>\$ 840,753</u>	<u>\$ 223,955</u>	27	<u>\$ 225,786</u>	28

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1992
with comparative totals for September 30, 1991
(in thousands)

	(A)	(B)	(C)
	<u>1992-93</u>		<u>1991-92</u>
(1) Realized Revenues		\$ 234,483	\$ 230,990
Appropriated Fund Balances			
(2) Current unrestricted fund	\$ 7,220		7,030
(3) University Hospital			<u>3,020</u>
(4) Total Appropriated Fund Balances		<u>7,220</u>	<u>10,050</u>
(5) Total Revenues and Appropriated Fund Balances		241,703	240,940
(6) Expenditures/Encumbrances	223,955		225,786
(7) Less: Reserve for Encumbrances	<u>(5,515)</u>		<u>(6,950)</u>
(8) Total Expenditures		<u>218,440</u>	<u>218,836</u>
(9) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 23,263</u>	<u>\$ 22,104</u>

Office of the President
October 20, 1992

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF
THE UNIVERSITY OF KENTUCKY AND AFFILIATED
CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1992

Recommendation: That the Board of Trustees accept the audited financial statements of the University of Kentucky including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, for the year ended June 30, 1992:

that the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1992.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Coopers & Lybrand, Certified Public Accountants, and are presented to the Board for acceptance.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action: Approved Disapproved Other

Date: October 22, 1992

Office of the President
October 20, 1992

FCR 3

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved Disapproved Other

Date: October 20, 1992

Exhibit I

UNIVERSITY OF KENTUCKY

TRANSFER OF EQUIPMENT

Transferee: Commonwealth of Kentucky
Finance and Administration Cabinet
Department of Facilities Management

Type of Disposal: Transfer at no cost.

Reason: The piece of equipment is damaged. Because of extremely limited use, the Center for Applied Energy Research does not desire to repair it. The Department of Facilities Management has requested it be transferred to their control.

Item:	<u>Description</u>	<u>Property#</u>
	Mulcher/Seeder	A494198

Office of the President
October 20, 1992

FCR 4

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1992, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1992, the University has:

1. Awarded fourteen (14) architect and engineer contracts.
2. Made six (6) amendments to architect and engineer contracts.
3. Made eleven (11) final payments for architect and engineer contracts.
4. Awarded nineteen (19) construction contracts.
5. Made one hundred five (105) change orders to construction contracts.
6. Made nineteen (19) final payments for construction contracts.

Action taken: Approved Disapproved Other

Date: October 20, 1992

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 50,000	07/31/92
FULLER-MOSSBARGER- SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 75,000	07/31/92
WHITE-WALKER & MCREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 50,000	07/31/92
MCCOY & MCCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 175,000	07/21/92
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	07/31/90
	Previous Amendments #1-4		+ 79,899	
	Consultant's Fee To Date:		\$ 428,989	
STAGGS & FISHER LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	08/31/89
	Previous Amendment #1-2		+ 82,889	
	Consultant's Fee To Date:		\$ 147,539	
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	07/31/92
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC.	SHAWNEETOWN FIRE ALARM SYSTEM Project No. 534.0	\$ 178,000	\$ 14,093	08/14/90
	CONSULTANT'S FINAL PAYMENT		\$ 14,093	08/19/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
SHERMAN/CARTER/BARNHART LEXINGTON	BUSINESS AND ECONOMICS BUILDING RENOVATION Project Nos. 587.0/775.0	\$ 11,793,250	\$ 425,000	01/31/89
	Previous Amendments #1- 4		+ 346,650	
	Consultant's Fee To Date:		\$ 771,650	
FULLER,MOSSBARGER,SCOTT & MAY CIVIL ENGINEERS LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2/746.0	\$ 20,410,000	\$ 19,928	04/30/92
	Amendment #1 Additional Services		+ 25,980	07/31/92
	Reason for Amendment: To include additional testing,analysis and inspection services.			
	Consultant's Fee To Date:		\$ 45,908	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendment #1		+ 4,500	
	Consultant's Fee To Date:		\$ 244,500	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	06/28/91
	Previous Amendment #1 - 2		+ 10,530	
	Consultant's Fee To Date:		\$ 254,402	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	06/29/92
POTTS AND POTTS ARCHITECTS LEXINGTON	KIRWAN BLANDING FOOD SERVICE RENOVATION Project No. 641.0	\$ 199,000	\$ 8,680	03/30/90
	Previous Amendments #1-2		+ 83,120	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
POTTS AND POTTS ARCHITECTS LEXINGTON	KIRWAN BLANDING FOOD SERVICE RENOVATION Project No. 641.0			CONTINUED
Amendment #3	Cancellation of project.		- 54,283	07/14/92
Reason for Amendment:	Due to the lack of funds, this project is cancelled.			
CONSULTANT'S FINAL PAYMENT:			\$ 37,517	07/14/92
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	06/21/89
Previous Amendment #1			+ 490,345*	
Consultant's Fee To Date:			\$ 539,345	
*Amendment #1	Includes consulting fees for \$6 million Project 745.8 for total scope for design purposes of \$7.2 million.			
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	09/29/89
Previous Amendment #1			+ 197,000	
Amendment #2	Additional Services		+ 67,795	07/31/92
Reason for Amendment	For additional services due to the increase in construction budget, redesign of exhaust stack configuration and air monitoring for asbestos removal.			
Consultant's Fee To Date:			\$ 320,005	
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
Previous Amendments #1-3			+ 223,418	
Amendment #4	Additional Services		+ 83,241	08/06/92
Reason for Amendment	To include design documents for an additional 5,000 ton chiller and to include design and documents for an alternate bid of two 4,000 ton chillers.			

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0			CONTINUED
Consultant's Fee To Date:			\$ 735,105	
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	\$ 2,248,000	\$ 65,652	12/22/89
	Previous Amendments #1-5		+ 194,720	
	Amendment #6	Additional Services	+ 1,500	08/31/92
	Reason for Amendment	Services needed to cover sound testing for music rooms.		
Consultant's Fee To Date:			\$ 261,872	
POTTS + POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER ELEVATOR RENOVATION Project No. 683.0	\$ 311,000	\$ 26,615	08/27/90
	Previous Amendment #1		+ 10,780	
Consultant's Fee To Date:			\$ 37,395	
H & M ASSOCIATES OF KY LEXINGTON	FUNKHOUSER WINDOW REPLACEMENT Project No. 685.0	\$ 336,000	\$ 27,120	02/16/90
	Previous Amendment #1		+ 4,514	
CONSULTANT'S FINAL PAYMENT			\$ 31,634	07/23/92
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000	12/21/90
	Previous Amendment #1-2		\$ 32,000	01/31/92
Consultant's Fee To Date:			\$ 210,000	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-2		+ 36,000	
	Consultant's Fee To Date:		\$ 343,000	
COLLIGNON & NUNLEY OWENSBORO	MADISONVILLE C.C. ADMINISTRATION/ACADEMIC BUILDING REPAIRS Project No. 724.2	\$ 532,464	\$ 37,500	05/29/92
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	09/28/90
	Previous Amendment #1-2		+ 42,594	
	Consultant's Fee To Date:		\$ 239,938	
PEARSON+BENDER+JOLLY LEXINGTON	MADISONVILLE C.C. ACADEMIC/LEARNING RESOURCE CENTER Project No. 726.0	\$ 3,100,000	\$ 140,200	10/31/90
	CONSULTANT'S FINAL PAYMENT		\$ 140,200	09/04/92
CLOTTFELTER AND ASSOCIATES LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 4,000,000	\$ 185,500	01/31/91
	Previous Amendment #1		+ 35,065	
	Consultant's Fee To Date:		\$ 220,565	
RICHARDSON ASSOCIATES WHITESBURG	SOUTHEAST C.C. APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 5,000,000	\$ 269,400	11/30/90
	Previous Amendment #1		+ 50,400	
	Amendment #2	Additional Services.	+ 1,900	08/31/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
RICHARDSON ASSOCIATES WHITESBURG	SOUTHEAST C.C. APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0			CONTINUED
Reason for Amendment: To reimburse the consultant for worker's compensation insurance for the resident inspector for 18 months of service.				
Consultant's Fee To Date:			\$ 321,700	
ROSS FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
Previous Amendment #1-2			+ 27,008	
Consultant's Fee To Date:			\$ 227,008	
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	03/31/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON C.C., SW ACADEMIC BUILDING Project No. 731.0	\$ 3,000,000	\$ 133,906	11/30/90
Previous Amendments #1-2			+ 32,780	
Consultant's Fee To Date:			\$ 166,686	
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. PLAZA AND PARKING LOT Project No. 731.1	\$ 263,255	\$ 21,500	08/06/92
JOHNSON/ROMANOWITZ LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$ 2,000,000	\$ 93,500	10/31/90
Previous Amendment #1-2			+ 159,611	
Consultant's Fee To Date:			\$ 253,111	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
POTTS + POTTS ARCHITECTS LEXINGTON	ANDERSON TOWER FIRE SPRINKLERS Project No. 742.0	\$ 298,000	\$ 5,030	10/31/90
	Previous Amendment #1-2		+ 40,730	
	Consultant's Fee To Date:		\$ 45,760	
POTTS + POTTS ARCHITECTS LEXINGTON	FUNKHOUSER FIRE SPRINKLERS Project No. 743.0	\$ 322,000	\$ 4,970	10/31/90
	Previous Amendment #1-2		+ 43,225	
	Consultant's Fee To Date:		\$ 48,195	
POTTS + POTTS ARCHITECTS LEXINGTON	AGRICULTURAL SCIENCES #2 FIRE SPRINKLERS Project No. 744.0	\$ 470,000	\$ 6,650	10/31/90
	Previous Amendment #1-2		+ 53,230	
	Consultant's Fee To Date:		\$ 59,880	
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	04/30/91
	Previous Amendment #1-2		+ 197,100	
	Consultant's Fee To Date:		\$ 236,100	
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	MEDICAL SCIENCE/DENTAL WINGS ROOF ASBESTOS ABATEMENT Project No. 745.81	\$ 106,826	\$ 9,657	09/30/92
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendment #1		+ 32,987	03/31/92
	Consultant's Fee To Date:		\$ 230,987	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
SCRUGGS AND HAMMOND INC. LEXINGTON	COLDSTREAM FARM LAND USE PLAN Project No. 760.0	\$ 0	\$ 9,500	08/31/90
	Previous Amendments #1-3		+ 261,000	
	CONSULTANT'S FINAL PAYMENT		\$ 270,500*	09/15/92
*Contract for services to provide land use planning, traffic studies and initial design, construction documentation preparation and contract administration for beginning phase.				
TATE/FITZSIMONS ARCHITECTS LEXINGTON	HOSPITAL-DIAGNOSTIC SERVICES UPGRADE IV Project No. 761.0	\$ 680,000	\$ 58,260	11/30/90
	CONSULTANT'S FINAL PAYMENT		\$ 58,260	08/24/92
OMNI ARCHITECTS LEXINGTON	HOSPITAL-SHELLED SPACE FIT-UP Project No. 762.0	\$ 5,400,000	\$ 352,497	02/28/91
	Previous Amendment #1		+ 13,818	01/6/92
	Consultant's Fee To Date:		\$ 366,315	
TATE/FITZSIMONS ARCHITECTS LEXINGTON	DENTAL CLINIC RENOVATION PHASE I Project No. 766.0	\$ 400,000	\$ 28,860	07/31/91
	Previous #1		+ 970	
	Consultant's Fee To Date:		\$ 29,830	
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	07/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	06/29/92
TATE/FITZSIMONS ARCHITECTS LEXINGTON	HOSPITAL -INFORMATION MANAGEMENT/SOCIAL SERVICES Project No. 784.0	\$ 199,000	\$ 17,390	07/31/91

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
TATE/FITZSIMONS ARCHITECTS LEXINGTON	HOSPITAL -INFORMATION MANAGEMENT/SOCIAL SERVICES Project No. 784.0			CONTINUED
	Previous Amendment #1		+ 300	01/06/92
	CONSULTANT'S FINAL PAYMENT		\$ 17,690	08/24/92
McCULLOCH SMITH ARCHITECTS LOUISVILLE	PADUCAH C.C. WALLER HALL RENOVATION Project No. 785.0	\$ 250,000	\$ 10,455	01/06/92
	Previous #1		+ 13,230	
	Consultant's Fee To Date:		\$ 23,685	
DCT DESIGN GROUP LTD. LEXINGTON	AMBULATORY SURGERY UPGRADE Project No. 798.0	\$ 1,300,000	\$ 97,000	04/30/92
BUELL-FRYER ASSOC. LEXINGTON	PADUCAH C.C. T.V. STUDIO SETTLEMENT STUDY Project No. 802.0	\$ 7,500	\$ 4,750	07/31/92
DUNBAR AND ASSOCIATES LEXINGTON	BLAZER HALL MASONRY REPAIRS Project No. 807.0	\$ 69,000	\$ 5,000	07/31/92
	CONSULTANT'S FINAL PAYMENT		\$ 5,000	08/19/92
POTTS AND POTTS ARCHITECTS LEXINGTON	KEENELAND HALL MASONRY REPAIRS Project No. 886.0	\$ 107,000	\$ 7,950	04/30/92
	CONSULTANT'S FINAL PAYMENT		\$ 7,950	08/22/92
HOWARD K. BELL CONSULTING ENGINEERS, INC. LEXINGTON	BOYD HALL ELECTRIC AND LIGHTING Project No. 887.0	\$ 165,000	\$ 10,850	04/30/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEWELL HALL FIRE ALARM Project No. 888.0	\$ 95,000	\$ 7,347	04/30/92

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOLMES HALL FIRE ALARM Project No. 889.0	\$ 180,000	\$ 13,613	04/30/92
POTTS AND POTTS ARCHITECTS LEXINGTON	ASHLAND COMM. COLLEGE CLASSROOM RENOVATION Project No. 891.0	\$ 250,000	\$ 18,700	05/29/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	06/29/92
WHITE, WALKER, MCREYNOLDS LEXINGTON	PARKING STRUCTURE #2 STRUCTURAL INVESTIGATION Project No. 898.0	\$ 6,000	\$ 4,943	06/29/92
PRESTON ASSOCIATES LOUISVILLE	HOSPITAL DIAGNOSTIC SERVICES II Project No. 899.0	\$ 120,000	\$ 11,000	06/29/92
PEARSON+BENDER+JOLLY LEXINGTON	MARKEY CANCER CENTER ROACH PATIENT CARE FACILITY Project No. 1410.0	\$ 500,000	\$ 38,113	05/29/92
WHITE, WALKER, MCREYNOLDS LEXINGTON	MEDICAL RECORDS DEPARTMENT STUDY Project No. 1411.0	\$ 2,000	\$ 622	06/29/92
CONSULTANT'S FINAL PAYMENT			\$ 622	08/18/92
QUEST ENGINEERS, INC. LEXINGTON	ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	08/31/92
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$ 390,000	\$ 28,350	07/21/92
CONSULTANT'S FINAL PAYMENT			\$ 28,350	09/04/92
MORGAN C. MCILWAIN, ASLA LEXINGTON	PADUCAH C.C. PARKING LOT Project No. 1415.0	\$ 165,000	\$ 15,613	07/14/92

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
KALLMANN MCKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 58,000,000	\$4,365,027	08/21/92
HARDY HOLZMAN PFEIFFER ASSOCIATES NEW YORK, NY	CLIFTON CIRCLE PLANNING STUDY Project No. 1445.0	\$ 150,000	\$ 150,000	09/15/92

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
PREMIUM DOOR SERVICE, INC. LEXINGTON	MINES AND MINERAL BUILDING- HANDICAPPED DOOR OPERATORS Project No. 115.11	\$ 1,816	08/25/92	1	\$ 1,816.00
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
	Previous Change Orders # 1-2				- 17,200.00
CHANGE ORDER #3	Provide lockers in the color to match Pantone Color Chip.		07/15/92		+ 771.00
Reason for Change:	The locker manufacturer's standard range of colors does not include an acceptable color compatible with color scheme of the project.				
CHANGE ORDER #4	1. Revise access to "Storage Mezzanine" by deleting 2 ships ladders and substituting one vertical ladder and one concrete filled metal pan stair. 2. Add kicker angles at Truss T1. 3. Credit for removal of truss bracing in north and south ancillary high roof areas.		07/17/92		+ 5,399.00
Reason for Change:	1. Original plans submitted KY Dept. of Housing, Bldgs. & Const. showed 2 vertical ladders . Based on review comments, ladders were changed to ships ladders. A subsequent appeal for a variance using ships ladders instead of a full run of stairs was not approved by code officials. 2. Kicker angles were added to the bottom of Truss T1 during the shop drawing review of structural integrity. 3. Owner receives a credit from Herman Binz's contract for this truss bracing because this bridging is being supplied by the truss girder manufacturer.				
CHANGE ORDER #5	Revise the concrete mix for cast-in-place concrete columns and precast concrete panels by adding brown color pigment.		07/15/92		+ 41,719.00
Reason for Change:	The concrete specifications and Contractor's bid were based on grey cement.				
CHANGE ORDER #6	Revise the northeast portion of the storm sewer system.		08/25/92		+ 2,700.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0				CONTINUED
Reason for Change:	Site excavation revealed a small steady stream of underground water running out of a mud seam. This change order pipes the water into the storm sewer system.				
Contractor's Revised Contract Amount To Date:					\$ 6,805,389.00
E.C. MATTHEWS CO., INC. LEXINGTON	FIELD HOUSE/LEXINGTON CC UNDERGROUND DUCT BANK Project No. 147.4/729.3	\$24,420- 33,704	07/17/92	2	\$ 24,420.00
CHANGE ORDER #1	Additional parking lot work. The communications ductbank needs to be shifted to the side of and parallel to the existing ductbank. Additional sawcutting, pavement removal and rock excavation as a result of relocation of ductbank.		09/22/92		+ 1,512.59
Reason for Change:	The existing ductbank encasement prohibits route of new ductbank due to lack of proper existing depth.				
CHANGE ORDER #2	Additional street work. The ductbank needs to be rerouted to run parallel to University Drive. This routing will result in additional removal of sidewalk shrubs, sod, and paving as well as additional cutting of traffic loops.		09/22/92		+ 3,755.31
Reason for Change:	The ductbank routes as per drawings is prohibited due to existing ductbank encasements. A detailed cross-section was left off drawings.				
Contractor's Revised Contract Amount To Date:					\$ 29,687.90
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$438,000- 533,769	10/29/91	8	\$ 438,000.00
CHANGE ORDER #1	Modify toilet room to be fully accessible by handicapped.		07/16/92		+ 488.75
Reason for Change:	Required by Department of Housing, Building & Construction.				
CHANGE ORDER #2	Add two additional LF-7 light fixtures over rear stair landing.		07/16/92		+ 332.83
Reason for Change:	The lighting plan as indicated on drawings does not adequately illuminate the rear stairway for safety requirements.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2				CONTINUED
CHANGE ORDER #3	Install iron grate over sump pump well.		07/16/92		+ 190.00
Reason for Change:	Required for safety.				
CHANGE ORDER #4	Raise boiler vent to clear final grade.		08/03/92		+ 554.67
Reason for Change:	Existing grades were not surveyed before design. Vents required additional clearance from grade for proper ventilation.				
CHANGE ORDER #5	Construct concrete curb along fence line to facilitate final grading and proper water drainage.		08/03/92		+ 633.65
Reason for Change:	Existing grades do not allow for proper drainage between buildings.				
CHANGE ORDER #6	Change lockset types to provide removable cores.		08/13/92		+ 372.60
Reason for Change:	This change is to enable all three Gaines Center buildings to be masterkeyed.				
CHANGE ORDER #7	Install rough-in electrical conduit in crawlspace to front of building from Basement to allow for future wiring.		08/13/92		+ 127.70
Reason for Change:	Once building is completed, the crawlspace would not be accessible to provide wiring for landscape wiring.				
CHANGE ORDER #8	Relocate rear entry light outlet and install bracing for 500 lb. chandelier.		08/13/92		+ 440.24
Reason for Change:	No one knew the Owner furnished chandelier weighed 500 lbs. and size required it to be relocated to miss the rear stair railing.				
Contractor's Revised Contract Amount To Date:					\$ 441,140.44
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$5,248,000- 5,482,000	06/28/88	2	\$ 5,248,000.00
Previous Change Orders #1-17					+ 471,238.88
Contractor's Revised Contract Amount To Date:					\$ 5,719,238.88

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ARROW ELECTRIC CO., INC. LOUISVILLE	HOSPITAL EXPANSION PH. II ELECTRICAL WORK-TC #13 Project No. 304.1	\$ 2,834,700- 3,632,250	06/23/88	5	\$ 2,834,700.00
	Previous Change Orders #1-15				+ 458,157.00
	CONTRACTOR'S FINAL PAYMENT		07/20/92		\$ 3,292,857.00
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION - PHASE II Project No. 304.9	\$797,000 - 1,114,900	03/07/90	4	\$ 797,000.00
	Previous Change Orders #1 - 3				+ 26,520.00
	Contractor's Revised Contract Amount To Date:				\$ 823,520.00
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL - WASTE INCINERATOR REPL Project No. 337.0	\$1,151,515- 1,410,000	05/07/92	4	\$ 1,151,515.00
E.C. MATTHEWS CO., INC. LEXINGTON	ROBOTICS FACILITY PAVER SHIMS REPLACEMENT Project No. 431.7	\$17,364	08/25/92	1	\$ 17,364.00
TRIPLE D COMMUNICATIONS NICHOLASVILLE	AGRICULTURAL ENGINEERING SIDEWALK Project No. 475.3	\$18,200- 24,926	07/23/92	4	\$ 18,200.00
	CONTRACTOR'S FINAL PAYMENT		09/21/92		\$ 18,200.00
EUBANK & STEELE CONST. CO. LEXINGTON	CENTRIFUGE/WENNER-GREN LABORATORY ADDITION Project No. 533.0	\$148,750- 185,040	12/03/91	8	\$ 148,750.00
	Previous Change Orders # 1- 2		02/27/92		+ 1,184.50
	CHANGE ORDER #3		07/30/92		+ 1,136.00
	1. Remove existing locks and replace with Yale 5408 locks.				
	2. Paint the existing brick surface in the two new labs.				
	3. Add GFCI circuits and breakers at user installed sinks.				
	4. Credit for vibration isolator deletion.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EUBANK & STEELE CONST. CO. LEXINGTON	CENTRIFUGE/WENNER-GREN LABORATORY ADDITION Project No. 533.0				CONTINUED
Reason for Change:	<ol style="list-style-type: none"> 1. Owner incorrectly indicated Best system instead of Yale. 2. Existing brick wall in furnished space required paint to match new construction. 3. Installation of sinks by user required upgrade of adjacent electrical outlets. 4. External vibration isolators not required by user. 				
CONTRACTOR'S FINAL PAYMENT			09/10/92		\$ 151,070.50
GROT ELECTRIC INC. LEXINGTON	SHAWNEETOWN FIRE ALARM SYSTEM Project 534.0	\$118,260- 133,000	02/11/91		\$ 118,260.00
Previous Change Order #1					+ 4,806.00
CONTRACTOR'S FINAL PAYMENT			08/19/92		\$ 123,066.00
ECKERT FIRE PROTECTION CINCINNATI, OH	HOSPITAL/MEDICAL CENTER SPRINKLERS - FLOORS 7&8 Project No. 542.5	\$234,500- 276,600	08/29/91	6	\$ 234,500.00
KORFHAGE LANDSCAPE & DESIGN LOUISVILLE	BUSINESS & ECONOMICS BLDG. LANDSCAPING Project No. 587.3	\$53,220- 89,780	09/18/91	7	\$ 53,220.00
Previous Change Order #1					+ 9,758.00
Contractor's Revised Contract Amount To Date:					\$ 62,978.00
THE R.H. JENKINS CO. EPLANGER	MEDICAL CENTER HEATING PLANT- BOILER CONTROLS Project No. 616.0	\$324,900- 628,450	10/25/90	2	\$ 324,900.00
Previous Change Order #1					+ 3,785.72
Contractor's Revised Contract Amount To Date:					\$328,685.72

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DAHLEM CONSTRUCTION LOUISVILLE	PADUCAH COMM. COLLEGE ALLIED HEALTH CENTER Project No. 620.0	\$4,121,000- 4,590,000	05/31/90	6	\$ 4,121,000.00
	Previous Change Orders #1 - 11				+ 100,144.00
CHANGE ORDER #12	<ol style="list-style-type: none"> 1. Furnish labor and materials as required to provide automatic door operators for doors which access toilet rooms. 2. Delete telephone wiring. 3. Install additional conduit and wire into feeder panel. 4. Provide additional backfill material to provide the required finish grade elevations as listed on documents. 		07/17/92		+ 5,806.90
Reason for Change:	<ol style="list-style-type: none"> 1. To provide better access to toilet rooms for the handicapped per University Standards. 2. Due to the fact that the wiring that was to be provided by the contractor would not provide for any future capacity, UK communications opted to install all wiring themselves. 3. Feeder to panel was not sized properly. Additional conduit and wire were required to make the correction. 4. Balance of imported backfill material was needed to provide required finish grade elevations on the documents. 				
	CONTRACTOR'S FINAL PAYMENT		08/26/92		\$ 4,226,950.90
D.W. WILBURN, INC. LEXINGTON	PRESTONSBURG COMM. COLL SCIENCE BUILDING Project No. 624.0	\$2,381,800- 2,537,700	06/06/90	5	\$ 2,381,800.00
	Previous Change Orders #1 - 3				+ 87,093.32
CHANGE ORDER #4	Delete \$1,312.50 from contract.		07/01/92		- 1,312.50
Reason for Change:	Completion of the project was (15) calendar days beyond the contracted completion date. The contractor is being assessed only the expenses of the resident inspector for the fifteen day delay in completion in lieu of the contracted liquidated damage amount.				
	CONTRACTOR'S FINAL PAYMENT		07/01/92		\$ 2,467,580.82

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
	Previous Change Orders #1 - 8				+ 60,061.83
	Contractor's Revised Contract Amount To Date:				\$ 3,456,380.83
RAM ENGINEERING & CONST.* LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTECC PHASES I & II WORK CATEGORY #1 - GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$847,425- 944,500	10/29/91	3	\$ 847,425.00
	Previous Change Orders #1- 13				+ 131,748.00
	Contractor's Revised Contract Amount To Date:				\$ 979,173.00
FINNEY COMPANY, INC.* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTECC PHASES I & II WORK CATEGORY #2 - MECHANICAL WORK Project No. 640.1/640.2/746.2	\$377,000- 454,649	10/29/91	3	\$ 377,000.00
	Previous Change Order #1- 2				+ 1,982.00
CHANGE ORDER #3	Revise the route of the 12" water main to delete the pipe shown to run in front of Robotics.		08/26/92		- 5,787.00
Reason for Change:	The mechanical room housing the fire pump has been relocated to the east side of the Robotics Building from the west side.				
CHANGE ORDER #4	Revise the steam piping on the north elevation of the Robotics to add a expansion loop.		08/26/92		+ 7,800.00
Reason for Change:	Length of piping mounted on the wall requires an expansion loop for proper operation and installation.				
CHANGE ORDER #5	Revise the route of the steam, condensate and pump discharge from the McVey Hall steam pit.		08/26/92		+ 10,773.00
Reason for Change:	To maintain proper clearance under the communications and electrical duct banks in this area resulting from discovery of actual depth of bury conflicts while installing the communications duct bank.				

*This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FINNEY COMPANY, INC.* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTECC PHASES I & II WORK CATEGORY #2 - MECHANICAL WORK Project No. 640.1/640.2/746.2				CONTINUED
CHANGE ORDER #6	Revise the fire equipment to be installed in the fire vault at Electrical Engineering. Revise the valves to open right hand.		08/26/92		+ 767.00
Reason for Change:	Contract documents indicated the contractor was to relocate the existing valves and equipment from the fire vault to be removed. The equipment in the vault did not meet Ky. American Water Company Standards. Required changing from left hand valves to right hand valves.				
Contractor's Revised Contract Amount To Date:					\$ 392,535.00
AMTECK OF KENTUCKY* LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTECC PHASES I & II WORK CATEGORY #3- ELECTRICAL WORK Project No. 640.1/640.2/746.2	\$137,800- 179,000	10/29/91	4	\$ 137,800.00
Previous Change Order #1-3					+ 10,401.00
CHANGE ORDER #4	Provide labor and material to cap the 4kv power in the manhole between Funkhouser and Home Economics Building.		08/01/92		+ 1,807.00
Reason for Change:	Line indicated to be dead is live in the excavation area. ASTeCC footprint impacted.				
CHANGE ORDER #5	Provide labor and material to replace existing underground conduits and wiring damaged by age and in the way of installation of the steam line.		07/29/92		+ 721.00
Reason for Change:	Existing conditions. Conduits were badly corroded by time and no longer in one piece. They were also in a location which would not allow proper installation of the new steam piping.				
Contractor's Revised Contract Amount To Date:					\$ 150,729.00
CONGLETON & HACKER CO. LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #1 GENERAL WORK-BID PACK #3 Project No. 640.13/640.23	\$1,556,970- 2,600,00	03/23/92	4	\$ 1,556,970.00

*This contract is for three (3) interrelated and concurrent projects and represents the low bid.

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CONGLETON & HACKER CO. LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #1 GENERAL WORK-BID PACK #3 Project No. 640.13/640.23				CONTINUED
CHANGE ORDER #1	Add embedded corner guards in the concrete columns at the lower level. Embeds shall be 4"x 4" - 3/8" thick, 6 feet tall on each corner of 5 columns.		07/29/92		+ 1,048.00
Reason for Change:	Columns in the loading dock need protection from damage by delivery vehicles.				
Contractor's Revised Contract Amount To Date:					\$ 1,558,018.00
D.W. WILBURN, INC. LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #2 MASONRY WORK-BID PACK #3 Project No. 640.13/640.23	\$634,500- 932,499	03/24/92	7	\$ 634,500.00
GRAYHAWK CORPORATION LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #3A WATERPROOFING - BID PACK #3 Project No. 640.13/640.23	\$15,016	07/20/92	1	\$ 15,016.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #3B ROOFING AND SHEET METAL- BID PACK #3 Project No. 640.13/640.23	\$54,535	07/20/92	1	\$ 54,535.00
ABELL ELEVATOR LOUISVILLE	ASTECC PHASES I & II - WORK CATEGORY #5 CONVEYING SYSTEMS-BID PACK #3 Project No. 640.13/640.23	\$108,932- 155,500	03/25/92	4	\$ 108,932.00
AMTECK OF KENTUCKY LEXINGTON	ASTECC PHASES I & II - WORK CATEGORY #7 ELECTRICAL WORK-BID PACK #3 Project No. 640.13/640.23	\$48,583- 71,640	03/24/92	3	\$ 48,583.00
MCNUTT CONSTRUCTION CO. ELIZABETHTOWN	ELIZABETHTOWN C.C. BOOKSTORE RELOCATION Project No. 656.0	\$20,792- 28,520	05/13/92	2	\$ 20,792.00
CHANGE ORDER #1	Install three isolated ground receptacles.		08/14/92		+ 319.70

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MCNUTT CONSTRUCTION CO. ELIZABETHTOWN	ELIZABETHTOWN C.C. BOOKSTORE RELOCATION Project No. 656.0				CONTINUED
Reason for Change:	This is a necessity for the computer cash registers that are located at these designated areas.				
CHANGE ORDER #2	Upgrade floor tile.		08/14/92		+ 220.80
Reason for Change:	The color selected for color board was not a standard color, thus costing more money.				
Contractor's Revised Contract Amount To Date:					\$ 21,332.50
FINNEY COMPANY, INC. LEXINGTON	COOLING PLANTS #1 & 3 EXPANSION Project No. 666.1	\$369,000- 411,910	11/07/91	6	\$ 369,000.00
Previous Change Order #1-4					+ 16,368.78
CHANGE ORDER #5	Provide 600 AMP to 200 AMP high voltage adapters to be used at the HV switch in manhole.		07/16/92		+ 1,127.00
Reason for Change:	Drawings did not indicate that HV switch had 600 AMP terminations and adapters would be needed to install the 200 AMP plugs.				
CHANGE ORDER #6	Provide pneumatic operation for chilled water supply butterfly valve. Interlock with motor starter.		07/29/92		+ 3,452.00
Reason for Change:	The pressure drop in the common piping between the secondary and primary system caused water to flow through the chiller while the pump was off. This caused a false positive at the flow switch, which would allow the chiller to run without proper water flow.				
Contractor's Revised Contract Amount To Date:					\$ 389,947.78
LAGCO, INC. LEXINGTON	COOLING PLANTS #1 & 3 EXPANSION Project No. 666.1	\$95,969- 115,900	12/20/91	4	\$ 95,969.00
Previous Change Orders #1					+ 599.00
Contractor's Revised Contract Amount To Date:					\$ 96,568.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WHEELER GENERAL CONTR. LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	\$13,635	03/11/92	1	\$ 13,635.00
	Previous Change Orders #1				+ 581.55
	Contractor's Revised Contract Amount To Date:				\$ 14,216.55
MARKSBURY-CORNETT ENG. LOUISVILLE	FINE ARTS BLDG. RENOVATION Project No. 681.0	\$1,372,500 - 1,530,000	11/01/90	7	\$ 1,372,500.00
	Previous Change Orders #1 - 15				+ 165,334.78
	CONTRACTOR'S FINAL PAYMENT		08/19/92		\$ 1,537,834.78
READ ASBESTOS REMOVAL, INC. GLASGOW	FINE ARTS BLDG. RENOVATION ASBESTOS ABATEMENT PHASE III Project No. 681.4	\$20,890- 45,785	03/09/91	5	\$ 20,890.00
	Previous Change Orders #1 - 3				- 1.00
	Contractor's Revised Contract Amount To Date:				\$ 20,889.00
SPARKS TERRELL LUMBER LEXINGTON	FRATERNITY HOUSES STORAGE BUILDING Project No. 682.0	\$34,679- 64,400	03/23/92	8	\$ 34,679.00
	CHANGE ORDER #1	1. Relocate building site approximately 10' south prior to excavation. 2. Add concrete curb at east end of building.	07/29/92		+ 330.00
	Reason for Change:	1. Building site encroached on existing volleyball court. 2. Compensate for grade differential in existing parking lot adjacent to building after relocation.			
	CONTRACTOR'S FINAL PAYMENT		08/19/92		\$ 35,009.00
W.R. COLE ASSOCIATES LOUISVILLE	FUNKHOUSER WINDOW REPLACEMENT Project No. 685.0	\$268,000	05/31/91	1	\$ 268,000.00
	Previous Change Order # 1-2				+ 4,760.00
	CONTRACTOR'S FINAL PAYMENT		07/09/92		\$ 272,760.00
CHARAH CONSTRUCTION MADISONVILLE	MADISONVILLE COM. COLLEGE HANDICAP PARKING/LOADING DOCK Project No. 690.5	\$99,898- 114,300	08/13/91	8	\$ 99,898.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CHARAH CONSTRUCTION MADISONVILLE	MADISONVILLE COM. COLLEGE HANDICAP PARKING/LOADING DOCK Project No. 690.5				CONTINUED
	Previous Change Order #1				+ 932.36
	Contractor's Revised Contract Amount To Date:				\$100,830.36
EUBANK & STEELE LEXINGTON	KIRWAN/BLANDING LOW RISE STRUCTURAL REPAIRS Project No. 691.0	\$40,800- 59,780	03/12/92	5	\$ 40,800.00
	CONTRACTOR'S FINAL PAYMENT		09/21/92		\$ 40,800.00
FIVE FOOT, INC d/b/a BODENBENDER & SHAWLER, INC. GREENVILLE, IN	MEDICAL CENTER NURSING BUILDING WINDOW MODIFICATIONS Project No. 692.0	\$104,933- 136,284	10/10/91	3	\$ 104,933.00
	Previous Change Order #1				0
	Contractor's Revised Contract Amount To Date:				\$ 104,933.00
SPARKS TERRELL LUMBER CO. LEXINGTON	SPORTS TICKET OFFICE Project No. 702.0	\$91,590- 124,000	11/04/91	10	\$ 91,590.00
	Previous Change Order #1-2				+ 1,478.85
	CHANGE ORDER #3	1. Additional charge for labor only, to install steam relief pipe in Memorial Coliseum. 2. Replace an existing exit light at rear entry door.	07/23/92		+ 830.88
	Reason for Change:	1. Insufficient information on drawings for contractor to determine the appropriate amount of labor to install pipe. 2. After installation of exit light, ceiling heights change requiring fixture to be changed from wall mounted to ceiling mounted.			
	Contractor's Revised Contract Amount To Date:				\$ 93,899.73
PEYRONIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
	Previous Change Orders # 1-3				+ 11,320.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0				CONTINUED
CHANGE ORDER #4	Request for extension of time.		07/10/92		- 0 -
Reason for Change:	Due to contractors inability to begin construction work which was due to circumstances beyond their control.				
CHANGE ORDER #5	Change in hardware for doors.		07/10/92		+ 2,700.00
Reason for Change:	The Department of Housing, Building & Construction stated that the doors needed panic devices in lieu of the hardware originally specified.				
CHANGE ORDER #6	Furnish and install a new 4' sanitary manhole with poured bottom and casting lid over the existing sanitary sewer.		07/10/92		+ 1,407.00
Reason for Change:	This new sanitary manhole is being added because the routing of the sanitary sewer to the existing manhole would intersect an existing large oak tree.				
CHANGE ORDER #7	Change the lintel at the louvers in Mechanical Room.		07/10/92		+ 650.00
Reason for Change:	The span of approximately 14' for the combination bond beam angle lintel exceeds requirements, need to use the steel beam plate.				
CHANGE ORDER #8	To extend the steam piping to the autoclave.		08/13/92		+ 6,940.00
Reason for Change:	Steam generators were in place but no piping was provided to each autoclave.				
Contractor's Revised Contract Amount To Date:					\$ 3,289,017.00
J.L. POOLE CONSTRUCTION, INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
Previous Change Orders # 1-3					
CHANGE ORDER #4	Increase the foundation slab thickness to 14".		07/23/92		+ 3,036.00
Reason for Change:	The contractor was requested to increase the slab thickness due to poor soil conditions under the chiller pit area.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
J.L. POOLE CONSTRUCTION, INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0				CONTINUED
CHANGE ORDER #5	Increase the footing sizes and add additional steel to the foundation and retainage walls as shown on revised drawings.		08/26/92		+ 2,541.27
Reason for Change:	Due to poor soil bearing capacity below the footings, an increase in the footing size has been recommended by the Architect.				
Contractor's Revised Contract Amount To Date:					\$ 4,968,036.00
ALADDIN ELECTRIC, INC. MANITOU	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BUILDING SITE UTILITIES Project No. 723.1	\$42,288- 88,988	07/21/92	5	\$ 42,288.00
BUFCO CORP./DBA BUFCO ELECTRIC	HENDERSON COMM. COLLEGE COMMUNICATION DUCTBANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$92,586- 139,860	08/25/92	6	\$ 92,586.00
RAYCO SHEET METAL WORKS PHILPOT	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #13 - MECHANICAL WORK Project No. 724.1	\$173,757- 196,000	08/13/91	3	\$ 173,757.00
Previous Change Order #1 - 2					+ 4,004.46
Contractor's Revised Contract Amount To Date:					\$ 177,761.46
ALADDIN ELECTRIC, INC. MANITOU	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #14 - ELECTRICAL WORK Project No. 724.1	\$18,000- 22,000	08/14/91	5	\$ 18,000.00
PRINCETON LUMBER CO., INC. PRINCETON	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING COMPLETION Project No. 724.2	\$371,400- 424,250	08/25/92	8	\$ 371,400.00
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$3,218,000 3,479,749	12/05/91	5	\$ 3,218,000.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0				CONTINUED
	Previous Change Orders # 1				+ 2,677.50
CHANGE ORDER #2	Add four (4) non-fire rated metal access panels in masonry wall.		08/24/92		+ 877.00
Reason for Change:	The four access panels were required to allow access to the fire dampers.				
CHANGE ORDER #3	Remove the existing telephone manhole and install a new manhole in a location closer to the street.		08/24/92		+ 3,697.00
Reason for Change:	The existing location would have located the access directly in front of the main entrance of the new Student Center and would have interfered with the building foundation walls.				
CHANGE ORDER #4	Remove below grade conduit and wire in front of Maintenance Building and installing new conduit and wire from emergency generator to Maintenance Building to miss Student Center Construction.		09/09/92		+ 1,047.75
Reason for Change:	The conduit from the Maintenance Building to the emergency generator was in front of the Maintenance Building and therefore, was in the way of new construction for the Student Center.				
CHANGE ORDER #5	Relocate telephone cable at the Student Center.		09/09/92		+ 7,133.12
Reason for Change:	Relocation of the existing telephone cable in original contract was a \$5,000 allowance. This work exceeded the allowance and was approved for additional scope by Architect and Owner.				
CHANGE ORDER #6	For an additional amount of \$5,174.80 which exceeded the allowance of \$5,000 for the relocation of the primary electrical work by Green River Electrical Corporation.		09/09/92		+ 5,174.80
Contractor's Revised Contract Amount To Date:					\$ 3,238,607.17
LARK ELECTRIC CO., INC. OWENSBORO	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.1	\$26,771- 37,100	05/13/92	4	\$ 26,771.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CHARAH CONSTRUCTION MADISONVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #1 - GENERAL WORK Project No. 726.0	\$441,449 - 577,000	08/15/91	2	\$ 441,449.00
CHANGE ORDER #1	Compensation for over-excavation of caissons.		08/25/92		+ 1,205.00
Reason for Change:	Over-excavation required on various caissons due to unforeseeable sub-surface conditions. Caissons lengthened to reach suitable bearing, unit prices per contract.				
Contractor's Revised Contract Amount To Date:					\$ 442,654.00
K & K NURSERY & FARMS WHITE PLAINS	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #2 - LANDSCAPING Project No. 726.0	\$20,112- 38,958	08/20/91	2	\$ 20,112.69
RIVER CITY DEVELOPMENT LOUISVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #3 - MASONRY WORK Project No. 726.0	\$292,800- 311,184	08/08/91	2	\$ 292,800.00
Previous Change Order #1-2					- 7,536.00
Contractor's Revised Contract Amount To Date:					\$ 285,264.00
PROGRESSIVE CONSTRUCTORS PRINCETON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #4 - METAL WORK Project No. 726.0	\$235,500-	08/08/91	1	\$ 235,000.00
	TRADE #8 - TILE & TERRAZZO Project No. 726.0	\$46,000	08/08/91	1	\$ 46,000.00
	TRADE #11 - ARCHITECTURAL WOODWORK Project No. 726.0	\$76,000- 77,699	08/08/91	2	\$ 76,000.00
TOTAL CONTRACT AMOUNT:					\$ 357,000.00
Previous Change Order #1					+ 800.00
Contractor's Revised Contract Amount To Date:					\$ 357,800.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
P & R ROOFING & SHEET METAL LEXINGTON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #5 - ROOFING SYS. WORK Project No. 726.0	\$99,490- 112,000	08/08/91	3	\$ 99,490.00
CONTRACTOR'S FINAL PAYMENT			09/04/92		\$ 99,490.00
CENTRAL KY GLASS CO. CAMPBELLSVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #6 - WINDOWS & ENTRANCES Project No. 726.0	\$61,793- 72,451	08/08/91	2	\$ 61,793.00
CONTRACTOR'S FINAL PAYMENT			09/08/92		\$ 61,793.00
COMMERCIAL DRYWALL NICHOLASVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #7 - GYPSUM TRADES & INTERIOR FINISHES Project No. 726.0	\$195,500- 214,449	08/14/91	2	\$ 195,500.00
KNOGO CORPORATION HAUPPAUGE, NY	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #9 - BOOK DETECTION SYS. Project No. 726.0	\$9,200- 12,200	08/29/91	2	\$ 9,200.00
CONTRACTOR'S FINAL PAYMENT			08/19/92		\$ 9,200.00
JARO COMPANY LEXINGTON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #10 - WINDOW TREATMENT Project No. 726.0	\$3,249- 3,900	08/20/91	3	\$ 3,249.00
CONTRACTOR'S FINAL PAYMENT			09/04/92		\$ 3,249.00
DOVER ELEVATOR CO. EVANSVILLE, IN.	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #12 - ELEVATOR WORK Project No. 726.0	\$26,900	08/13/91	1	\$ 26,900.00
RAYCO SHEET METAL WORKS* PHILPOT	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #13 - MECHANICAL WORK Project No. 726.0	\$391,500- 413,684	08/13/91	2	\$ 413,684.00
Previous Change Orders #1 - 4					+ 9,150.05

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RAYCO SHEET METAL WORKS* PHILPOT	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #13 - MECHANICAL WORK Project No. 726.0				CONTINUED
CHANGE ORDER #5	Credit owner for unused labor hours.		08/25/92		- 700.00
Reason for Change:	The scope of work in the Contract Documents called for a credit of surplus labor hours at the end of the project.				
Contractor's Revised Contract Amount To Date:					\$ 422,134.05
ALADDIN ELECTRIC, INC. MANITOU	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #14 - ELECTRICAL WORK Project No. 726.0	\$286,200- 323,000	08/14/91	4	\$ 286,200.00
	Previous Change Order #1				- 901.00
CHANGE ORDER #2	Provide credit to owner for portion of utility fee allowance not used during project.		07/10/92		- 687.00
Reason for Change:	Item No. 38 of Addendum No. 1 set up \$6,000.00 allowance for utility relocation. Work performed totaled \$5,313.00				
CHANGE ORDER #3	Furnish and install 6 pair cable and terminate at two locations to provide service for the Fire Alarm dialer, elevator call circuit and phone service to the Learning Resource Center.		08/14/92		+ 300.00
Reason for Change:	Communications between buildings not in construction scope originally, this needed as a minimum to allow occupancy.				
Contractor's Revised Contract Amount To Date:					\$ 284,912.00
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$3,371,900- 4,093,300	01/08/92	4	\$ 3,371,900.00
	Previous Change Orders #1				- 2,000.00
Contractor's Revised Contract Amount To Date:					\$ 3,369,900.00

*This was bid in combination with Project 724.1. The total of the two projects was the low bid.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/FINE ARTS BUILDING Project No. 728.0	\$3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
	Previous Change Orders # 1 - 9				+ 46,373.60
CHANGE ORDER #10	1. Excavate and dispose of unsuitable soil. 2. Furnish and place approximately 100 ton stone.		07/30/92		+ 2,213.75
Reason for Change:	1 & 2. Existing soil does not have necessary bearing capacity that is required for the stairs and concrete slab.				
Contractor's Revised Contract Amount To Date:					\$ 3,976,487.35
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0	\$3,357,869- 3,770,000	12/09/91	7	\$ 3,357,869.00
	Previous Change Orders # 1 - 2				+ 20,737.00
CHANGE ORDER #3	Add a removable mullion at the pair of doors B.3. Add time delay devices to sequence door 100 B.2 with door 100 B.3 and also to sequence door B.2 with one leaf of door B.3.		07/15/92		+ 600.00
Reason for Change:	The change is required to provide the time delay feature for the entrance door to assist handicap access. These items were left out of the hardware schedule.				
CHANGE ORDER #4	Relocate the double detector check valve.		07/15/92		+ 805.00
Reason for Change:	The change is necessary in order to meet the requirements of Kentucky-American Water Company with regard to the fire service detector check system.				
CHANGE ORDER #5	Move electrical manhole 35' in the direction of the transformer location in line with the new primary conduit routing.		07/15/92		+ 460.00
Reason for Change:	The change is required to relocate the electric manhole away from a storm drainage swale.				
CHANGE ORDER #6	Add approximately 35' of 8" sanitary sewer line from manhole #2 southeast until it will intercept existing 4" sanitary sewer from Ronald McDonald House.		07/15/92		+ 1,573.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
Reason for Change:	The change is required to solve a conflict between the existing 4-inch sanitary pipe from the Ronald McDonald House and a 24" storm sewer for this project.				
CHANGE ORDER #7	Provide a 6" PVC pipe from the new manhole to the existing 10" storm drain. Also provide 3/4" and 1" electrical conduit from the Electric/Communications Room to manhole for future electrical connection of future sump pumps in the manhole.		07/15/92		+ 9,266.00
Reason for Change:	This change is required to drain the flow of underground water indicated as Seep No. 1 in order to prevent sub-surface ground water from adversely affecting the building structure.				
CHANGE ORDER #8	Relocate manhole CMH #1 north approximately 15' to line-up with slope in the bank and allow the ductbank to be turned into the existing manhole U-16 with long radius 90 deg. ells.		07/15/92		+ 10,695.00
Reason for Change:	This change is required to resolve a conflict between the new communication ductbank with an existing 12" RCP storm sewer and a new 24" RCP storm sewer.				
CHANGE ORDER #9	Provide approximately 490' of 3" polypropalene natural gas piping with tracer wire from new Academic/Technical Building natural gas main to the location of the existing propane gas main feed.		07/16/92		+ 7,925.00
Reason for Change:	This change is required to facilitate future conversion of the existing Oswald and Maloney Buildings from LP gas and steam to natural gas without disturbing the grounds at a later date along the required route of the gas line.				
CHANGE ORDER #10	Provide additional electrical outlets, communication outlets, and junction boxes.		07/30/92		+ 3,756.00
Reason for Change:	This change is required to accommodate planned computer equipment in classrooms and to accommodate computer equipment in the Student Commons required for the class registration process.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CONGLETON HACKER CO. LEXINGTON	LEXINGTON COMM. COLLEGE ACADEMIC TECHNICAL BLDG. Project No. 729.0				CONTINUED
CHANGE ORDER #11	Install one 5' dia. manhole set next to seep #2 with approximately 40' of 6" sdr 35 storm drain from clay tile location as seep #3. The contractor will need to supply a 3/4" and a 1" conduit from the communications and electrical rooms to the manhole for future use.		09/10/92		+ 10,554.00
Reason for Change:	This change is required to drain the flow of underground water indicated as seeps #2 and #3 in order to prevent the subsurface ground water from adversely affecting the building structure and plaza.				
Contractor's Revised Contract Amount To Date:					\$ 3,424,240.00
THE ALLEN COMPANY WINCHESTER	LEXINGTON COMM. COLLEGE ENTRANCE ROAD Project 729.1	\$133,554 - 177,850	06/25/91	6	\$ 133,554.00
Previous Change Orders # 1 - 8					+ 9,997.50
CONTRACTOR'S FINAL PAYMENT					\$ 143,551.50
F.W. OWENS CO. LOUISVILLE	JEFFERSON C.C. COLLEGE SW ACADEMIC BUILDING Project No. 731.0	\$2,054,400 - 2,214,874	07/25/91	8	\$ 2,054,400.00
Previous Change Order #1 - 9					+ 17,717.00
CHANGE ORDER #10	Tie six (6) downspouts into the storm sewer system with schedule 40 pipe.		07/15/92		+ 1,100.00
Reason for Change:	Reduce amount of surface run-off from single-story roofs and entrances.				
CHANGE ORDER #11	1. Install a telephone line from Room 112A to elevator control cabinet. 2. Provide disconnect switch in elevator machine room from elevator.		07/23/92		+ 269.00
Reason for Change:	1 & 2. These items were required by elevator code, but not included in original construction.				
CHANGE ORDER #12	Install weather proof GFI receptacle on exterior wall.		07/31/92		+ 134.00
Reason for Change:	To provide power for outside maintenance work.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
F.W. OWENS CO. LOUISVILLE	JEFFERSON C.C. COLLEGE SW ACADEMIC BUILDING Project No. 731.0				CONTINUED
CHANGE ORDER #13	Relocate fire protection pit 40' west of location indicated on Construction Documents along back of building.		07/31/92		+ 1,236.00
Reason for Change:	Due to location of the new water lines installed by Louisville Water Co. after the contract was awarded, the fire pit was shifted 40'. This required additional materials to be used.				
Contractor's Revised Contract Amount To Date:					\$ 2,074,856.00
WOODFORD BUILDERS, INC. LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0	\$1,128,400- 1,299,000	12/04/91	8	\$ 1,128,400.00
Previous Change Orders # 1-9					+ 6,328.36
CHANGE ORDER #10	Provide extensions on existing outlet boxes to be flush in the furred walls and install and reconnect duplex receptacles to existing circuit as required.		08/03/92		+ 311.65
Reason for Change:	It was necessary to extend outlets so they would be flush with the new wall construction.				
CHANGE ORDER #11	Provide relays on emergency lights to cause emergency lighting only upon loss of normal circuit.		08/03/92		+ 2,507.00
Reason for Change:	The normal emergency lighting system, as designed, does not provide this capability.				
CHANGE ORDER #12	Change twenty-two M05408L locksets for twenty-two Yale M05408L locksets with 1210 removable cores.		08/17/92		+ 835.73
Reason for Change:	Not compatible with the building master key system.				
CHANGE ORDER #13	Provide new duplex wall receptacles and flush data and electrical outlets at the floor, as indicated on drawings.		08/17/92		+ 1,075.25
Reason for Change:	At the time electrical design was completed, exact nature and location of Owner furnished equipment at the new circulation desk in the library was not known. New power and communications outlets are necessary to begin using the area.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS, INC. LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION Project No. 732.0				CONTINUED
CHANGE ORDER #14	Provide wall receptacle to replace the one in the wall that was removed.		08/17/92		+ 517.50
Reason for Change:	Removal of an existing wall is shown on architectural drawings, but electrical drawings do not show demolition or relocation of the receptacle.				
CHANGE ORDER #15	Offset the existing supply air duct tight against the bottom of overhead structure as shown on drawings.		08/17/92		+ 373.75
Reason for Change:	Upon removal of existing ceiling, it was determined that new light fixtures and sprinkler piping could not be installed in available space below existing ductwork and still maintain code required clearance between library shelving and the new ceiling.				
CHANGE ORDER #16	1. Relocate existing light switches. 2. Provide one LF-13 surface mounted lighting fixture. 3. Install three LF-2 exit lights.		08/17/92		+ 911.95
Reason for Change:	1. Removal of existing walls is shown on architectural drawings, but relocation of light switches is not indicated on electrical drawings. 2. Existing light fixture was inoperative. 3. Three exit lights are required to meet code requirements, because two lights shown on original drawings were not present, and one existing light was inoperative.				
Contractor's Revised Contract Amount To Date:					\$ 1,141,261.19
MARKSBURY CORNETT LOUISVILLE	ANDERSON TOWER FIRE SPRINKLERS Project No. 742.0	\$209,900- 363,600	12/09/91	7	\$ 209,900.00
Previous Change Orders # 1-5					+ 2,671.97
CHANGE ORDER #6	Add labor and materials to provide additional offsets in the sprinkler main to avoid concealed equipment, duct, and piping on the 4th and 5th Floors.		07/09/92		+ 1,256.04
Reason for Change:	Concealed conditions. Existing conditions resulted in piping conflicts over and above what could reasonably have been anticipated.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY CORNETT LOUISVILLE	ANDERSON TOWER FIRE SPRINKLERS Project No. 742.0				CONTINUED
CHANGE ORDER #7	<ol style="list-style-type: none"> 1. Install additional fittings to miss ductwork, pipe and equipment above ceiling on the 2nd, 3rd, 6th, 7th and Penthouse Floors. 2. Add two recessed pendent sprinklers. 		07/24/92		+ 3,343.30
Reason for Change:	<ol style="list-style-type: none"> 1. When existing concealed spline ceilings were removed from corridors on 2nd, 3rd, 6th, 7th and Penthouse Floors, it was determined that existing mechanical and electrical conditions conflicted with proposed sprinkler installation beyond which Contractor could have been expected to anticipate. 2. Wall offsets were not shown on existing as-built drawings required the installation of two (2) additional sprinkler heads in order to meet code coverage requirements. 				
CHANGE ORDER #8	Add 51 additional pendent sprinkler heads over moving bookshelves.		08/10/92		+ 5,325.00
Reason for Change:	Change necessary to comply with Code to make use of top bookshelves.				
CHANGE ORDER #9	Add openings in mechanical chases to install work, and cover with removeable metal access panels.		08/10/92		+ 2,015.00
Reason for Change:	Existing chases did not allow access to within. Plans indicated an existing access panels but when contractor tried to enter the chase areas, it was discovered that existing supply ductwork concealed in the chase made it impossible to reach the existing sprinkler riser without cutting new access openings in the chase walls.				
CHANGE ORDER #10	Re-route sprinkler piping from Robotics Pump Room to go overhead, remove existing crane, install new soffit with steam heating to prevent pipe freezing.		08/11/92		+ 5,780.00
Reason for Change:	Drawings indicated pipe to be installed in trench below grade. Upon trenching, rock was encountered which was too costly to remove.				
Contractor's Revised Contract Amount To Date:					\$ 230,291.31

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ADVANCED FIRE PROTECTION WILDER	FUNKHOUSER FIRE SPRINKLERS Project No. 743.0	\$183,216 243,760	04/14/92	7	\$ 183,216.00
CHANGE ORDER #1	Provide necessary material and labor for two (2) offsets, one in the parking lot and the other in the front of the building on the system side of the sprinkler pit.		08/26/92		+ 2,517.00
Reason for Change:	Upon excavating for the underground piping, concealed electrical conduit was found in Funkhouser Drive.				
CHANGE ORDER #2	Furnish all necessary labor and materials to add 3 (three) LF-1 Fixtures in the second floor elevator lobby.		09/15/92		+ 324.00
Reason for the Change:	Existing lights could not be re-installed in the new ceiling as noted on drawings. Upon removal of the old ceiling and existing light fixtures, it was discovered that the old fixtures were not suitable for reinstallation in the new ceiling.				
CHANGE ORDER #3	Provide the necessary material and labor for three (3) offsets in sprinkler main, two in basement hallway and one in the mechanical room.		09/17/92		+ 1,321.00
Reason for Change:	Upon installing main sprinkler line, had to offset line above doorway in basement hall and had to get below existing obstructions. Concealed condition not apparent until the ceiling was removed.				
Contractor's Revised Contract Amount To Date:					\$ 187,378.00
ECKERT FIRE PROTECTION CINCINNATI, OH	AGRICULTURAL SCIENCE #2 FIRE SPRINKLERS Project No. 744.0	\$245,000- 286,000	12/09/91	4	\$ 245,000.00
CHANGE ORDER #1	Install butterfly valves in lieu of gate valves in stairwell.		07/28/92		+ 1,319.00
Reason for Change:	Gate valves are too large and present potential hazard.				
Contractor's Revised Contract Amount To Date:					\$ 246,319.00
FRED CLEMENTS HEATING & AIR LEXINGTON	FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.3	\$574,308- 599,680	04/14/92	2	\$ 574,308.00
Previous Change Orders #1-2					+ 2,396.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRED CLEMENTS HEATING & AIR LEXINGTON	FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.3				CONTINUED
CHANGE ORDER #3	Cost for adding duct noted existing which is not; as well as minor revisions to existing ductwork.		07/21/92		+ 962.00
Reason for Change:	Contract documents indicated two short lengths of ductwork were existing to remain. This ductwork is in fact not existing and must be added.				
CHANGE ORDER #4	Replace existing 10" diameter exhaust stub duct at each hood. Replace with 12" diameter 316 stainless steel exhaust connection.		07/30/92		+ 1,267.00
Reason for Change:	Upon demolition of old ductwork at the hoods, the Contractor found that the existing concealed connection is too small. A 12" diameter connection is required for the specified exhaust air valve.				
CHANGE ORDER #5	Install two steam pressure reducing stations for two new fume hoods requiring low pressure steam.		09/11/92		+ 2,065.00
Reason for Change:	A re-examination of the existing steam piping revealed that only high pressure steam is available within a reasonable distance of the new fume hoods. Bid documents indicated that low pressure was available.				
Contractor's Revised Contract Amount To Date:					\$ 580,998.00
AMERICAN RESOURCES, INC. MADISON, TN	ASBESTOS ABATEMENT FUME HOOD SYSTEM UPGRADE AGRICULTURAL SCIENCE #2 Project No. 745.31 (Changed From 644.2)	\$ 28,500- 89,300	04/14/92	7	\$ 28,500.00
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$104,500- 148,775	08/03/92	4	\$ 104,500.00
THE MATTINGLY COMPANY LEXINGTON	CIVIL ENGINEERING -DEMO. & MODIFICATION TRADE #1 - GENERAL DEMOLITION WORK Project No. 746.1	\$197,000- 322,000	07/29/91	5	\$ 197,000.00
Previous Change Order #1					+ 1,197.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THE MATTINGLY COMPANY LEXINGTON	CIVIL ENGINEERING - DEMO. & MODIFICATION TRADE #1 - GENERAL DEMOLITION WORK Project No. 746.1				CONTINUED
CHANGE ORDER #2	As requested by the University, provide labor to control traffic and students for a two (2) week period at the start of classes		07/31/92		+ 566.00
Reason for Change:	Student safety/owner request. While students are being orientated to the construction and the B & E traffic passes through the site, a gate guard was requested to keep students out of the site and gates closed for traffic to the other job site.				
Contractor's Revised Contract Amount To Date:					\$ 198,763.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING - DEMO & MODIFICATION TRADE #2 - MECHANICAL WORK Project No. 746.1	\$70,331- 89,342	07/29/91	2	\$ 70,331.00
Previous Change Orders # 1- 2					+ 15,538.00
CHANGE ORDER #3	Provide additional labor to test the chill water piping to the Quad at 250 psi per the University standards.		07/31/92		+ 127.00
Reason for Change:	Due to system conditions and potential operating pressure, the University felt it prudent to test the lines at higher pressure.				
Contractor's Revised Contract Amount To Date:					\$ 85,996.00
WATSON ELECTRIC CO. LEXINGTON	CIVIL ENGINEERING - DEMO & MODIFICATION TRADE #3 - ELECTRICAL WORK Project No. 746.1	\$46,884- 62,424	07/29/91	6	\$ 46,884.00
Previous Change Order #1					+ 7,615.00
Contractor's Revised Contract Amount To Date:					\$ 54,499.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #1, GENERAL WORK Project No. 746.3	\$1,764,000- 1,910,000	02/25/92	5	\$ 1,764,000.00
	Previous Change Orders #1-2				- 7,563.00
CHANGE ORDER #3	Add fencing and a gate at the north west corner of Anderson Tower going to the west across the access road to the existing fence.		08/26/92		+ 851.00
Reason for Change:	Construction of the south lab on the south side of Anderson Tower requires control of the student traffic.				
Contractor's Revised Contract Amount To Date:					\$ 1,757,288.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #2, MASONRY WORK Project No. 746.3	\$635,400- 1,098,000	02/28/92	6	\$ 635,400.00
	Previous Change Orders #1-2				+ 1,348.00
Contractor's Revised Contract Amount To Date:					\$ 636,748.00
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #3, ROOFING & SHEET METAL Project No. 746.3	\$159,700- 271,109	02/28/92	5	\$ 159,700.00
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #4, SKYLIGHTS & WINDOWS Project No. 746.3	\$253,255- 284,500	02/26/92	3	\$ 253,255.00
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #5, CONVEYING SYSTEMS Project No. 746.3	\$182,294- 249,000	02/28/92	3	\$ 182,294.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #5, CONVEYING SYSTEMS Project No. 746.3				CONTINUED
CHANGE ORDER #1	Provide a detailed breakdown to change the building voltage to 480v from 208v. This will revise the power requirements to the freight elevator door operator and therefore require a transformer for the door motors.		08/26/92		+ 435.00
Reason for Change:	Building equipment, overall, is less expensive supplied at 480v. This savings will be realized in the bidding of equipment to Bid Pack #4. However, Bid Pack #3 was bid with 208v before this decision was made causing elevator equipment to be incompatible with building voltage.				
Contractor's Revised Contract Amount To Date:					\$ 182,729.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #6, MECHANICAL WORK Project No. 746.3	\$108,607- 125,430	02/26/92	3	\$ 108,607.00
Previous Change Orders #1-2					- 13,851.00
Contractor's Revised Contract Amount To Date:					\$ 94,756.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3, WORK CATEGORY #7, ELECTRICAL WORK Project No. 746.3	\$76,779- 157,400	02/28/92	5	\$ 76,779.00
CHANGE ORDER #1	Remove and reroute electrical conduit to allow demolition of the AHU room in the footprint of Civil Engineering.		07/31/92		+ 482.00
Reason for Change:	Circuits were unlabeled and not known to need to remain at bid time. Relocation of this conduit/circuit was not specified in contract documents, but was needed to supply power to remaining AHU- this was not determined prior to bid date.				
Contractor's Revised Contract Amount To Date:					\$ 77,261.00

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$480,000 578,890	06/19/92	3	\$ 480,000.00
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$294,425	06/18/92	1	\$ 294,425.00
W.R.COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$63,717	06/23/92	1	\$ 63,717.00
L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$86,100 134,718	06/23/92	5	\$ 86,100.00
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$11,970	06/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACCOUSTICAL CEILINGS Project No. 746.4	\$45,258- 58,500	06/23/92	6	\$45,258.00
L. STANDAFER CO.* LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$33,300- 57,400	06/23/92	4	\$ 50,995.00

*The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and Administrative Regulations 200 KAR 5:306.

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
THOMPSON PAINTING & DECORATING, INC.	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$99,000- 156,213	06/24/92	4	\$ 99,000.00
ECKHERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$89,339- 146,600	06/23/92	5	\$ 89,339.00
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$594,250- 663,000	06/23/92	5	\$ 594,250.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$23,206- 26,700	06/19/92	3	\$ 23,206.00
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$42,221- 53,200	06/19/92	3	\$ 42,221.00
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL EXPANSION Project No. 752.0	\$159,465- 188,000	04/19/91	12	\$ 159,465.00
	Previous Change Orders # 1 - 2				+ 8,752.15
	Contractor's Revised Contract Amount To Date:				\$ 168,217.15
CLEAVER CONSTRUCTION MURRAY	PADUCAH C.C. CONDENSER SCREENING Project No. 754.1	\$9,573	02/03/92	1	\$ 9,573.00
	CONTRACTOR'S FINAL PAYMENT		07/02/92		\$ 9,573.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
D-C ELEVATOR CO. LEXINGTON	MEDICAL SCIENCE FREIGHT ELEVATOR Project No. 758.0	\$63,868- 68,565	07/07/92	3	\$ 63,868.00
SPECTRUM CONTRACTING SVCS. LEXINGTON	HOSPITAL - DIAGNOSTIC SVCS. UPGRADE IV Project No. 761.0	\$364,320 426,900	08/27/91	6	\$ 364,320.00
	Previous Change Orders # 1- 6				+ 13,488.00
CHANGE ORDER #7	1. Move existing conduit and reroute circuits to make room for ductwork. 2. Initial labor to survey above-ceiling area to evaluate work required and cost of upgrading the electrical work to meet present State Code Requirements.		07/08/92		+ 529.00
Reason for Change:	1. Concealed condition. 2. Electrical upgrade to meet State Code for existing above-ceiling work.				
CONTRACTOR'S FINAL PAYMENT			08/19/92		\$ 378,337.00
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP- OBSTETRICS SERVICES Project No. 762.0	\$3,312,000 3,469,000	05/08/92	4	\$ 3,312,000.00
	Previous Change Orders #1-3				+ 4,175.00
CHANGE ORDER #4	Add 4 - 4" core drills at new communication closet.		08/10/92		+ 494.00
Reason for Change:	Requested by UK Communication Department, which found that existing sleeves are inadequate for new amounts of wire proposed for this floor of project.				
CHANGE ORDER #5	Remove 13 LF of concrete block wall and install new partition wall of metal studs and single layer of gypsum board above wainscot.		08/10/92		+ 711.00
Reason for Change:	Installation of concrete block wall was incorrectly located by original construction project and as-built drawings indicated different location.				
CHANGE ORDER #6	Relocate existing electrical conduits at ceiling space of second floor due to routing of new soiled piping.		08/27/92		+ 430.00
Reason for Change:	Unforeseen conditions. Piping had to be located where shown and core drilled.				

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
MARKSBURY-CORNETT LOUISVILLE	HOSPITAL SHELLLED SPACE FIT-UP- OBSTETRICS SERVICES Project No. 762.0				CONTINUED
CHANGE ORDER #7	<ol style="list-style-type: none"> 1. Revise duct work to provide two outside air changes. 2. Various electrical revisions to fire alarm speakers, flashing lights, emergency power to all refrigerators and a nurse call duty station at clean holding rooms. 		09/09/92		+ 3,819.00
Reason for Change:	<ol style="list-style-type: none"> 1. Required by State Cabinet for Human Resources Division of License and Regulation. 2. Emergency power to refrigerators and nurse call added stations required by State Cabinet for Human Resources. Fire alarm revision to comply with American Disabilities Act. 				
CHANGE ORDER #8	Add 72 medical gas outlets at the 12 labor, delivery and recovery room custom headwalls.		09/15/92		+ 6,780.00
Reason for Change:	The medical gas outlets were shown, but incorrectly described to be in a headwall, rather than "custom headwall with field installed outlets".				
Contractor's Revised Contract Amount To Date:					\$ 3,328,409.00
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0	\$238,715 262,804	03/31/92	9	\$ 238,715.00
CHANGE ORDER #1	<ol style="list-style-type: none"> 1. Change new trim for lever handles from rosettes to escutcheon plates, to match existing hardware. 2. Provide permanent/construction cores, with change from 6-pin to 7-pin, for all locks. 		07/10/92		+ 343.00
Reason for Change:	<ol style="list-style-type: none"> 1. For consistency where old knobs still have escutcheon plates within the clinic - to meet ADA Standard and Hospital Standard. 2. To meet Hospital Standard through new keying upgrade. 				
CHANGE ORDER #2	Adjustment to allowance for carpet.		07/10/92		+ 1,711.00
Reason for Change:	Allowance adjustment for labor and materials.				
CHANGE ORDER #3	Raise ceiling heights and install additional gypsum board and finish with veneer plaster.		07/10/92		+ 891.00
Reason for Change:	Due to owner-supplied equipment requirements, current style now in manufacture required new ceiling heights.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0				CONTINUED
CHANGE ORDER #4	<ol style="list-style-type: none"> 1. Repair cmu wall where previous large holes were knocked in the wall for installing plumbing lines. 2. Cut cmu wall to install two access doors for installation of new plumbing lines. 		07/10/92		+ 787.00
Reason for Change:	1 & 2. Concealed condition behind surface-mtd paneling removed during demolition.				
CHANGE ORDER #5	Remove linoleum covering on lower plaster wall. Prepare undercoat plaster for new plaster skim coat.		07/16/92		+ 587.00
Reason for Change:	To allow adjacent existing conditions to match new conditions.				
CHANGE ORDER #6	<ol style="list-style-type: none"> 1. Add 150 lin.ft. of 4" conduit @ unit price - \$17.50, to connect cable tray to Communications Closet. 2. Additional elbows, offsets and saddles for 4" conduit from cable tray to Communications Closet; add 10'-2" conduit for telephone cabling; and add unistrut for hanging additional 4" conduit. 		07/16/92		+ 3,864.00
Reason for Change:	1-2. Due to concealed conditions above-ceiling. Changes were reviewed by UK Communications, Consultants, Contractor and Owner for best re-routing to by-pass existing obstacles.				
CHANGE ORDER #7	<ol style="list-style-type: none"> 1. To accommodate a new sterilizer (steam), delete 3'6" of existing metal cabinet to provide new access door for Corridor C500N through cmu. 2. To build drywall partition ceiling and soffit to help contain heat/steam. Finish with veneer plaster and paint. 3. Provide mechanical modifications. 		07/16/92		+ 5,059.08
Reason for Change:	1 -3. To accommodate a steam sterilizer provided to the Clinic after completion of the documents. Provided by another department at major reduction in cost to the Dental Clinic				
CHANGE ORDER #8	Repair concrete floor where concrete was damaged during removal of asbestos floor tile.		07/16/92		+ 575.00
Reason for Change:	Concealed condition- Damage caused by Asbestos Subcontractor under separate contract with Owner. Work done after this contract was signed, but prior to any on-site work beginning.				

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EUBANK & STEELE CONST. CO. LEXINGTON	DENTAL CLINIC RENOVATION Project No. 766.0				CONTINUED
Contractor's Revised Contract Amount To Date:					\$ 252,532.08
E.C. MATTHEWS CO., INC. LEXINGTON	BUSINESS & ECONOMICS BUILDING-TRAINING ROOM 252 Project No. 775.3	\$13,997- 18,500	08/27/92	3	\$ 13,997.00
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$450,196- 618,700	03/16/92	5	\$ 450,196.00
Previous Change Orders #1					- 2,795.86
Contractor's Revised Contract Amount To Date:					\$ 447,400.14
ASTROTURF INDUSTRIES DALTON, GA.	ATHLETIC OUTDOOR TRACK RESURFACING Project No. 782.0	\$129,100- 187,550	09/19/91	3	\$ 129,100.00
Previous Change Order # 1					+ 6,745.00
Contractor's Revised Contract Amount To Date:					\$ 135,845.00
PARKER CONSTRUCTION, INC. LEXINGTON	INFORMATION MANAGEMENT RENOVATION Project No. 784.0	\$97,700- 162,050	02/14/92	11	\$ 97,700.00
Previous Change Orders #1-3					+ 3,976.40
CHANGE ORDER #4	Provide two (2) electrical outlets wired through emergency power in Communications Closet.		07/08/92		+ 229.00
Reason for Change:	Electrical upgrade required to meet needs of Communications equipment.				
CHANGE ORDER #5	Change communication rings to double plaster rings. Rework installed gypsum board to provide new opening required.		09/23/92		+ 1,104.00
Reason for Change:	Change in Hospital Standard after planning stage of project. Single rings are no longer used in the hospital.				
Contractor's Revised Contract Amount To Date:					\$ 103,009.40

II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CLEAVER CONST. CO., INC. MURRAY	PADUCAH COMM COLLEGE WALLER HALL RENOVATION Project No. 785.0	\$177,835 187,307	07/01/92	3	\$ 177,835.00
A-B ROOFING CO., INC. LEXINGTON	E.S. GOOD BARN ROOF REPLACEMENT Project No. 791.0	\$124,924- 191,680	09/03/91	6	\$ 124,924.00
	Previous Change Order #1				- 313.08
	Contractor's Revised Contract Amount To Date:				\$ 124,610.92
THE ALLEN COMPANY WINCHESTER	MOTOR POOL ROADWAY IMPROVEMENTS Project No. 793.0	\$118,974- 129,780	08/14/91	4	\$ 118,974.00
	Previous Change Orders #1- 2				+ 7,615.00
	CONTRACTOR'S FINAL PAYMENT		09/04/92		\$ 126,589.00
K & I ASPHALT, INC. SELLERSBURG, IN	JEFFERSON C.C. (DT) NORTHEAST PARKING LOT Project No. 796.0	\$48,542- 71,777	03/10/92	5	\$ 48,542.00
	Previous Change Orders #1				- 0 -
	Contractor's Revised Contract Amount To Date:				\$ 48,542.00
GENERAL FIREMATIC SPRINKLER CINCINNATI, OH	E.S. GOOD BARN SPRINKLERS Project No. 799.0	\$42,799- 48,884	11/20/91	6	\$ 42,799.00
	Previous Change Orders #1				+ 4,733.71
	Contractor's Revised Contract Amount To Date:				\$ 47,532.71
BUFORD HEATING & AIR COND. PADUCAH	PADUCAH C.C. AUDITORIUM HVAC RENOVATION Project No. 804.0	\$21,707- 43,899	05/12/92	3	\$ 21,707.00
	CONTRACTOR'S FINAL PAYMENT		08/18/92		\$ 21,707.00
LANDMARK NORTH CAROLINA CORPORATION DUBLIN, OH	BLAZER HALL MASONRY REPAIRS Project No. 807.0	\$51,300- 89,846	06/15/92	3	\$ 51,300.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
H&N CONSTRUCTORS, INC. LOUISVILLE	SHAWNEETOWN KITCHEN RENOVATION-BLDGS A B & C Project No. 885.0	\$125,870- 174,681	06/15/92	7	\$ 125,870.00
GILPIN MASONRY CONST. LEXINGTON	KEENELAND HALL MASONRY REPAIRS Project No. 886.0	\$19,362 40,698	06/17/92	7	\$ 19,362.00
CHANGE ORDER #1	Repair miscellaneous cracks and joints as requested and documented in field notes.		08/13/92		+ 830.00
Reason for Change:	Additional problem areas were observed while performing the contracted work. These areas are best repaired while contractor hoist system is on site.				
CONTRACTOR'S FINAL PAYMENT			09/04/92		\$ 20,192.00
PARSONS ELECTRIC, INC. LEXINGTON	BOYD HALL ELECTRICAL & LIGHTING Project No. 887.0	\$65,900- 94,349	06/18/92	3	\$ 65,900.00
CHANGE ORDER #1	Pull out old lighting circuits on fourth, third, and second floors for circuits where light fixtures are being replaced. Install new conductors from panel to new lighting fixtures.		09/11/92		+ 5,046.00
Reason for Change:	After old fixtures were removed, closer investigation of existing wiring could be made. Insulation was falling off wiring and had become brittle with age.* Insulation had deteriorated beyond its useful life.				
CHANGE ORDER #2	Use Lithonia LB440-120ES fluorescent light fixture in lieu of fixture specified Lithonia AW 432.		09/11/92		- 5,231.10
Reason for Change:	Manufacturer stated a delivery date that would have delayed project and would have prevented the use of the building at time of beginning of the semester.				
Contractor's Revised Contract Amount To Date:					\$ 65,714.90
S.C. NEAL ELECTRICAL CONTR. GEORGETOWN	JEWELL HALL FIRE ALARM Project No. 888.0	\$49,000- 74,980	06/10/92	3	\$ 49,000.00

II. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
S.C. NEAL ELECTRICAL CONTR. GEORGETOWN	JEWELL HALL FIRE ALARM Project No. 888.0				CONTINUED
CHANGE ORDER #1	Construct and provide a finished chase in the Lobby of Jewell Hall to house the new fire alarm panel and conceal fire alarm risers.		09/11/92		+ 261.00
Reason for Change:	A new chase was required to conceal fire alarm conduit and panel mounting. Existing wall was unable to accommodate the conduits concealed.				
Contractor's Revised Contract Amount To Date:					\$ 49,261.00
JO-DEL, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE CLASSROOM RENOVATION Project No. 891.0	\$135,492 169,800	09/10/92	8	\$ 135,492.00
NEW VISIONS CONST. CO., INC. LEXINGTON	RETENTION POND Project No. 1416.0	\$21,731- 46,445	08/19/92	3	\$ 21,731.40
THE ALLEN CO. WINCHESTER	COMMONWEALTH STADIUM BLUE LOT Project No. 1414.0	\$200,396- 234,622	06/15/92	3	\$ 200,396.00
VERVILLE CONST. CO., INC. MAYSVILLE	MAYSVILLE COMM COLLEGE CLASSROOM RENOVATION Project No. 1417.0	\$27,473	07/28/92	1	\$ 27,473.34
CHANGE ORDER #1	Remove two (2) existing locksets and replace with owner furnished lever locksets.		09/04/92		+ 91.44
Reason for Change:	Existing locksets did not meet new ADA guidelines.				
Contractor's Revised Contract Amount To Date:					\$ 27,966.72
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	CAER ROOF REPLACEMENT Project No. 1422.0	\$15,175- 20,849	09/16/92	4	\$ 15,175.00
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT/FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$139,994- 197,470	07/20/92	2	\$ 139,994.00

Office of the President
October 20, 1992

FCR 5

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Administration be authorized to renew the lease with Hospital Hospitality House of Lexington, Inc., whereby the University will lease the property at 342 South Limestone (formerly known as the Hamilton House) to Hospital Hospitality House of Lexington, Inc. for five (5) years at \$1.00 per year, for the purpose of using the property to house the Hospital Hospitality House which will provide a home away from home for the family members of critically ill patients of Lexington's hospitals.

Background: On September 1, 1981, the University entered into an agreement with Hospital Hospitality House of Lexington, Inc., for five years to lease the property at 342 South Limestone (formerly known as the Hamilton House) to house the Hospital Hospitality House to provide a home away from home for the family members of critically ill patients of Lexington's hospitals. The Hospital Hospitality House has been used as a facility for temporary accommodation for friends and families of patients hospitalized in Lexington and particularly benefits friends and families of patients hospitalized in the University Hospital. The purpose of this agreement is to renew the existing lease for five years in light of the success of the program and services provided to the University.

Action taken: Approved Disapproved Other

Date: October 20, 1992

FCR 6

Members, Board of Trustees:

1992-93 Budget Revisions
Central Administration

Recommendation: that the following revisions in the 1992-93 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. GENERAL FUND			
1. Income Estimates			
Student Fees			
Self-Supporting			
Summer Session			
- University System	\$ 2,800,000	\$ 3,040,000	\$ 240,000
Gifts and Grants			
Other Gifts and Grants	2,294,100	2,380,000	85,900
Sales and Services			
Departmental Sales and Services	13,988,300	14,106,700	<u>118,400</u>
			\$ 444,300
2. Expenditures			
Central Administration			
Office of the President			
President	\$ 306,100	\$ 311,000	\$ 4,900
Administration			
Administration/Affirmative			
Action	893,100	943,100	50,000
University Relations			
Development	1,313,200	1,399,100	85,900
Information Systems			
Communications and Network			
Systems	2,794,700	2,846,200	51,500
Lexington Campus			
College of Fine Arts			
Art	1,088,000	1,100,000	12,000
Academic Support			
University Extension			
Summer School	2,079,500	2,319,500	<u>240,000</u>
			\$ 444,300

A. GENERAL FUND (continued)

3. Comments - The increase in University System summer session fees supports the summer session program. The increase in other gifts and grants supports the University's Annual Development programs.

Programs supported by increased departmental sales and services are the United Way program, the University Wellness Program, the College of Fine Arts for art supplies and the Kentucky Clinic communication system.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$ 62,095,900	\$ 62,131,400	\$ 35,500
2. Expenditures			
Central Administration			
Office of the President			
Office of the President	\$ 36,600	\$ 39,100	\$ 2,500
University Relations			
Student Aid	9,600	10,500	900
Student Development Council	-0-	4,300	4,300
Lexington Campus			
Agricultural Experiment Station			
Agronomy	879,300	880,200	900
Animal Sciences	483,100	489,100	6,000
College of Human Environmental Sciences			
Nutrition and Food Science	1,100	6,100	5,000
Medical Center			
College of Medicine			
College-Wide	265,400	270,400	5,000
Neurology	90,800	91,700	900
Markey/Magnetic Resonance Imaging Equipment	283,500	293,500	10,000
			<u>\$ 35,500</u>

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
C. <u>AFFILIATED CORPORATIONS</u>			
1. Income Estimates	\$ 88,064,800	\$ 88,592,800	\$ 528,000
2. Expenditures			
The Medical Center Fund	\$ 3,454,700	3,982,700	\$ 528,000

3. Comments - Fund balances within the FUND will support the College of Medicine Pilot Research, House Staff Support, the College of Dentistry, the Health Service and the Medical Center Chancellor.

Action taken: Approved Disapproved Other

Date: October 20, 1992

Office of the President
October 20, 1992

FCR 7

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Radovan (NMI) Kovacevic, Serial No. (to be assigned), filed (to be filed).

Background: Radovan (NMI) Kovacevic has invented certain improvements in APPARATUS AND METHOD OF HIGH-PRESSURE WATERJET ASSISTED COOLING/LUBRICATION IN MACHINING. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved Disapproved Other

Date: October 20, 1992

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: RADOVAN (NMI) KOVACEVIC

Serial Number:

Filed:

For: APPARATUS AND METHOD OF HIGH-PRESSURE WATERJET ASSISTED COOLING/LUBRICATION IN MACHINING; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 20th day of October, 1992.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Brumfield

S E A L

ATTEST:

Lois C. Weinberg
Secretary, Board of Trustees

Office of the President
October 20, 1992

FCR 8

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Robert A. Lodder and Lisa A. Cassis, Serial No. (to be assigned), filed (to be filed).

Background: Robert A. Lodder and Lisa A. Cassis have invented certain improvements in APPARATUS AND METHOD FOR ANALYZING TISSUE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved Disapproved Other

Date: October 20, 1992

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: ROBERT A. LODDER, PH.D. AND LISA A. CASSIS PH.D.

Serial Number:

Filed:

For: APPARATUS AND METHOD FOR ANALYZING TISSUE; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 20th day of October, 1992.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Beathitt

S E A L

ATTEST:

Lois C. Weinberg
Secretary, Board of Trustees

Office of the President
October 20, 1992

F C R 9

Members, Board of Trustees:

Establishment of Quasi-Endowment
Student Bar Association Most Improved Scholar Award

Recommendation: that the Board of Trustees approve the establishment of the Student Bar Association Most Improved Scholar Award Quasi-Endowment.

Background: The Student Bar Association of the University of Kentucky College of Law is a student organization whose purpose is to promote the programs of the College of Law and its student activities. They have recently completed a phonathon and through alumni gifts have established the Student Bar Association Most Improved Scholar Award Endowment, the income from which will be used to provide scholarship assistance to the University law student whose current semester grade point average reflects the greatest improvement over his/her previous cumulative grade point average as determined by the Dean's office. Gifts to date of \$19,697 have been received for the endowment and the Association plans to continue fund raising efforts.

The University of Kentucky College of Law wishes to establish in the amount of \$12,500 a quasi-endowment, the income from which to be used in support of the Student Bar Association Most Improved Scholar Award. Funding for the quasi-endowment will come from the College of Law Dean's Quasi-Endowment.

By this action the Board of Trustees will establish the fund and permit the allocation of funds to implement this authorization.

Action taken: Approved Disapproved Other

Date: October 20, 1992