

The University Senate met in regular session at 3:00 p.m., Monday, October 9, 1972, in the Court Room of the Law Building. Chairman Flickinger presided. Members absent: Staley F. Adams\*, Arnold D. Albright, Lawrence A. Allen, Kurt Ansel, Charles E. Barnhart, Robert P. Belin\*, Robert H. Biggerstaff\*, Harry M. Bohannan\*, Peter P. Bosomworth\*, Louis L. Boyarsky, Garnett L. Bradford\*, Sally Brown, Herbert Bruce\*, Lowell P. Bush\*, Ralph S. Carpenter\*, S. K. Chan, David B. Clark\*, José M. Concon, Glenwood L. Creech, Guy M. Davenport\*, Anthony Eardley, William Ecton, Robert O. Evans\*, Paul Freytag\*, James E. Funk\*, George H. Gadbois\*, Eugene Gallagher\*, John V. Haley\*, Jack B. Hall, Pierce Hamblin\*, Joseph Hamburg, Charles F. Haywood, James W. Herron\*, Dallas M. High\*, Raymon D. Johnson\*, Fred E. Justus, James B. Kincheloe\*, Aimo J. Kiviniemi\*, Robert G. Lawson, Donald C. Leigh\*, Thomas J. Leonard, Donald L. Madden\*, John L. Madden, William L. Matthews, Marion E. McKenna, Alvin L. Morris, Diane Naser, Arthur F. Nicholson\*, Bobby C. Pass\*, Michael Pease\*, Bertram Peretz\*, N. J. Pisacano, Virginia Rogers\*, Sheldon Rovin\*, Robert W. Rudd\*, John S. Scarborough\*, D. Milton Shuffett\*, Eldon D. Smith\*, William J. Stober, Dennis Stuckey\*, Lawrence X. Tarpey\*, Shelby Thompson\*, M. Stanley Wall, Harry E. Wheeler\*, Cornelia B. Wilbur\*, Paul A. Willis\*, Constance P. Wilson, Miroslava B. Winer\*, William W. Winternitz\*, Ernest F. Witte\*, Donald J. Wood\*.

The minutes of the meeting of September 11, 1972 were approved as circulated.

On behalf of the College of Education, Dr. Garrett Flickinger, Chairman, University Senate, presented Resolutions on the death of Professor Martha V. Shipman.

Professor Martha V. Shipman died on May 7, 1972, less than three years after retirement, following thirty-nine years of service to the University of Kentucky. Coming to the University in 1930, Professor Shipman assumed the joint role of University High English and social studies instructor and critic teacher for the College of Education. In 1959 Professor Shipman joined the Division of Curriculum in the College of Education as an Assistant Professor with instructional responsibilities in secondary school methods and materials. She continued to serve actively in this area both during and after her tenure as Chairman of the Division of Curriculum, which began in 1961 and spanned more than six years.

While at the University, Professor Shipman served a term as President of the Southeastern Region of the Association for Student Teaching, an organization which she also provided leadership for at the state level as both Secretary-Treasurer and President. She was counselor to Kappa Delta Pi, an education honorary society, and President of Beta Chapter of Delta Kappa Gamma, another honor society.

Professor Shipman began her teaching career in Arkansas, where she completed most of her formal education. She attended Arkansas State Teachers College before receiving both the B.A. and M.A. degrees from the University of Arkansas. Teaching in Arkansas at the elementary and secondary levels, Professor Shipman's experiences included serving as the instructor in a one-room school.

\*Absence explained

To all who knew her, Professor Shipman was a person with unusual determination, professional integrity, and dedication to the students she taught and advised. It is appropriate that the College of Education and the University Senate should by this resolution pay tribute to the memory of a colleague who has given so much to the University of Kentucky and enriched the lives of hundreds of students and faculty.

On behalf of the College of Home Economics, Dr. Joseph Fordham presented Resolutions on the death of Professor Helen Wilmore, Department of Management and Family Economics.

Helen Mildred Wilmore, Assistant Professor of Management and Family Economics, emerita, served as a member of the University faculty for 27 years. She was a native of Halstead, Kansas and earned B.S. and M.S. degrees in Home Economics from Kansas State University. She taught in the Manhattan, Kansas high school, at Kansas State University and in 1942-43 was Assistant Professor of Home Economics at Louisiana State University. Miss Wilmore joined the Home Economics faculty at the University of Kentucky in September 1943 to serve as director of home management houses and to teach courses in home management and housing. She also developed the survey course "Introduction to Home Economics", and participated for several years in the team-taught course "Home Economics for Men." Over the years she guided more than 500 Home Economics majors through their home management house experience at 630 Maxwellton Court, and maintained her friendly contacts with many of them in their years following graduation. Several international students who lived with the home management groups from time to time also enriched these experiences, and appreciated her ability to make this their home-away-from home.

Miss Wilmore was deeply concerned with students and their interests and problems. She gave generously of her time and energy to student organizations. She served as advisor for the Home Economics Club and for the University of Kentucky Chapter of the National Society of Interior Designers. She was also a sponsor for Iota Chapter of Phi Upsilon Omicron, to which she was elected as a local honorary member. She was an enthusiastic member of the American Home Economics Association and served the Kentucky Home Economics Association both as treasurer and as college club advisor.

For several years Miss Wilmore was the able chairman of the College of Home Economics public relations committee and at her retirement in 1970 was Acting Chairman of the Department of Management and Family Economics.

Although retired, she continued to be actively involved in AAUW, Delta Kappa Gamma, Wesleyan Service Guild, Iota Alumnae Chapter of Phi Upsilon Omicron and the University of Kentucky Donovan Scholars. She died on August 28, 1972, at Topeka, Kansas.

Her host of friends will continue to miss her warmth and enthusiasm and her readiness to become involved with people - as individuals and in professional and community projects.

The Chairman asked the Senators to stand for a moment of silence in respect and tribute to Professors Shipman and Wilmore, and in acceptance of the Resolutions.

The Chairman announced that the Senate had received two letters, one from Mrs. Rannells and one from Professor Rannells' brother, thanking the University Senate for the Resolutions on behalf of Professor Edward Warder Rannells.

Dr. Elbert W. Ockerman, Dean of Admissions and Registrar, recommended for approval the University Calendar, the College of Law Calendar, the College of Medicine Calendar, and the College of Dentistry Calendar for the academic year 1975-76 which had been prepared within the framework of guidelines set down by the University Senate, and which had been circulated to the faculty of the University under date of August 7, 1972. With an editorial correction in the Four-Week Summer Session the Senate approved the 1975-76 Calendars as circulated.

UNIVERSITY CALENDAR

1975 Fall Semester

1975

June 2	Monday - Deadline for applying for admission or readmission for 1975 Fall Semester for all categories of undergraduate applicants, other than non-resident freshman applicants, for whom April 1 shall remain as the deadline
August 25, 26	Monday & Tuesday - Classification, registration, and drop-add
August 27	Wednesday - Class work begins
August 29	Friday - Last day to enter an organized class for the Fall Semester
September 1	Monday - Labor Day - Academic Holiday
September 8	Monday - Last day to drop a course without a grade
September 26	Friday - Last day to file an application for a December degree in College Dean's Office
September 26	Friday - Last day for payment of registration fees in order to avoid cancellation of registration
October 15	Wednesday - Deadline for applying for admission or readmission for 1976 Spring Semester for all categories of undergraduate applicants
October 20	Monday - Last day to withdraw from the University and receive any refund
November 6	Thursday - 1976 Spring Advising Conference for new freshmen
November 6	Thursday - Last day to withdraw from a class before final examinations
November 7	Friday - 1976 Spring Advising Conference for new advanced standing (transfer) students, Community College transfer students, and the readmission and non-degree students
November 10-21	Monday through Friday - Advance registration for 1976 Spring Semester
November 27-29	Thursday through Saturday - Thanksgiving Holidays - Academic Holidays
December 11	Thursday - Last day of class work
December 13-19	Saturday through Friday - Final examinations
December 19	Friday - Last day of Fall Semester
December 23	Tuesday - All grades due in Registrar's Office by 4:00 p.m.

SUMMARY OF TEACHING DAYS, FALL SEMESTER 1975

	<u>Mon.</u>	<u>Tues.</u>	<u>Wed.</u>	<u>Thurs.</u>	<u>Fri.</u>	<u>Sat.</u>	<u>Teaching Days</u>
August			1	1	1	1	4
September	4	5	4	4	4	4	25
October	4	4	5	5	5	4	27
November	4	4	4	3	3	4	22
December	2	2	2	2	1	1	10
Totals	14	15	16	15	14	14	88

UNIVERSITY CALENDAR

1976 Spring Semester

1976

- January 12,13 Monday and Tuesday - Classification, registration, and drop-add
- January 14 Wednesday - Class work begins
- January 16 Friday - Last day to enter an organized class for Spring Semester
- January 26 Monday - Last day to drop a course without a grade
- February 12 Thursday - Last day for filing application for a May degree in College Dean's Office
- February 12 Thursday - Last day for payment of registration fees in order to avoid cancellation of registration
- March 5 Friday - Last day to withdraw from University and receive any refund
- March 15-20 Monday through Saturday - Spring vacation - Academic holidays
- March 29 Monday - Last day to withdraw from a class before finals
- April 1 Thursday - Deadline for applying for admission or readmission for any summer term for all categories of undergraduate applicants
- April 1 Thursday - Last date for out-of-state freshmen to submit all required documents to Registrar's Office for admission to either summer term or to the 1976 Fall Semester
- April 8 Thursday - 1976 Summer Session Advising Conference for new freshmen
- April 9 Friday - 1976 Summer Session Advising Conference for new advanced standing (transfer) students, Community College transfer students, and the readmission and non-degree students
- April 12-23 Monday through Friday - Advance registration for 1976 Fall Semester and all Summer Sessions
- May 1 Saturday - Last day of class work
- May 3-8 Monday through Saturday - Final examinations
- May 8 Saturday - Last day of 1976 Spring Semester
- May 8 Saturday - Commencement Day
- May 11 Tuesday - All grades due in Registrar's Office by 4:00 p.m.
- June 1 Tuesday - Deadline for applying for admission or readmission for 1976 Fall Semester for all categories of undergraduate applicants, other than non-resident freshman applicants, for whom April 1 shall remain as the deadline

SUMMARY OF TEACHING DAYS, SPRING SEMESTER 1976

	<u>Mon.</u>	<u>Tues.</u>	<u>Wed.</u>	<u>Thurs.</u>	<u>Fri.</u>	<u>Sat.</u>	<u>Teaching Days</u>
January	2	2	3	3	3	3	January 16
February	4	4	4	4	4	4	February 24
March	4	4	4	3	3	3	March 21
April	4	4	4	5	5	4	April 26
May						1	May 1
Totals	14	14	15	15	15	15	88

UNIVERSITY CALENDAR

1976 Four-Week Interession

1976

- April 1 Thursday - Deadline for applying for admission or readmission for any summer term for all categories of undergraduate applicants
- May 17 Monday - Registration
- May 18 Tuesday - Class work begins
- May 19 Wednesday - Last day to enter an organized class for the Four-Week Interession
- May 31 Monday - Memorial Day - Academic Holiday
- June 1 Tuesday - Last day to pay registration fees in order to avoid cancellation of registration
- June 1 Tuesday - Deadline for applying for admission or readmission for 1976 Fall Semester for all categories of undergraduate applicants other than non-resident freshman applicants for whom April 1 shall remain as the deadline
- June 2 Wednesday - Last day to withdraw from University and receive a refund
- June 15 Tuesday - Last day of Four-Week Interession
- June 17 Thursday - All grades due in Registrar's Office by 4:00 p.m.

SUMMARY OF TEACHING DAYS, FOUR-WEEK INTERSESSION 1976

	<u>Mon.</u>	<u>Tues.</u>	<u>Wed.</u>	<u>Thurs.</u>	<u>Fri.</u>	<u>Sat.</u>	<u>Teaching Days</u>
May	1	2	2	2	2	2	May 11
June	2	3	2	2	2	2	June 13
Totals	3	5	4	4	4	4	24

UNIVERSITY CALENDAR

1976 Eight-Week Summer Session

1976

- June 1            Tuesday - Deadline for applying for admission or readmission for 1976 Fall Semester for all categories of undergraduate applicants other than non-resident freshmen applicants for whom April 1 shall remain as the deadline
- June 14          Monday - Registration
- June 15          Tuesday - Class work begins
- June 16          Wednesday - Last day to enter an organized class for the Eight-Week Summer Session
- June 25          Friday - Last day to drop a course without a grade
- June 28          Monday - Last day for filing application for an August degree in College Dean's Office
- June 28          Monday - Last day to pay registration fees in order to avoid cancellation of registration
- July              Summer Advising Conference for new Community College transfer students enrolling in 1976 Fall Semester
- July              Summer Advising Conference for new advanced standing (transfer) students, auditors, and non-degree students
- July 5            Monday - Independence Day - Academic Holiday
- July              Summer Advising Conference for new freshmen students enrolling in the 1976 Fall Semester
- July 14          Wednesday - Last day to withdraw from the University and receive any refund
- July 26          Monday - Last day to withdraw from a class before end of Summer Session
- July              Summer Advising Conference for readmission students enrolling in the 1976 Fall Semester
- August 10        Tuesday - Last day of 1976 Eight-Week Summer Session
- August 12        Thursday - All grades due in Registrar's Office by 4:00 p.m.

SUMMARY OF TEACHING DAYS, EIGHT-WEEK SUMMER SESSION 1976

	<u>Mon.</u>	<u>Tues.</u>	<u>Wed.</u>	<u>Thurs.</u>	<u>Fri.</u>	<u>Sat.</u>	<u>Teaching Days</u>
June	2	3	3	2	2	2	June 14
July	3	4	4	5	5	5	July 26
August	2	2	1	1	1	1	August 8
Totals	7	9	8	8	8	8	48

## COLLEGE OF LAW CALENDAR

1975 Fall Semester1975

August 25,26 Monday & Tuesday - Registration  
 August 27 Wednesday - Class work begins  
 August 29 Friday - Last day to enter an organized class for the Fall Semester  
 September 1 Monday - Labor Day - Academic Holiday  
 September 8 Monday - Last day to drop a course without a grade  
 November 6 Thursday - Last day to withdraw from a class before finals.  
 November 27-29 Thursday through Saturday - Thanksgiving Holidays - Academic Holidays  
 December 3 Wednesday - Last day of Law Classes  
 December 4-7 Thursday through Sunday - Law Examination Reading Period  
 December 8-19 Monday through Friday - Law Examination Period  
 December 19 Friday - Last day of Fall Semester

1976 Spring Semester1976

January 5,6 Monday and Tuesday - Registration  
 January 7 Wednesday - Class work begins  
 January 9 Friday - Last day to enter an organized class for the Spring Semester  
 January 19 Monday - Last day to drop a course without a grade  
 March 15-20 Monday through Saturday - Spring vacation  
 March 22 Monday - Last day to withdraw from a class before finals  
 April 23 Friday - Last day of Law Classes  
 April 24-27 Saturday through Tuesday - Law Examination Reading Period  
 April 28-May 8 Wednesday through Saturday - Law Examination Period  
 May 8 Saturday - Last day of Spring Semester  
 May 8 Saturday - 109th Annual Commencement

1976 Eight-Week Summer Session

June 14 Monday - Registration  
 June 15 Tuesday - Class work begins  
 June 16 Wednesday - Last day to enter an organized class for the Summer Session  
 June 25 Friday - Last day to drop a course without a grade  
 July 5 Monday - Independence Day - Academic Holiday  
 July 26 Monday - Last day to withdraw from a class before finals  
 August 6 Friday - Last day of Law Classes  
 August 7,8 Saturday, Sunday - Law Examination Reading Period  
 August 9,10 Monday, Tuesday - Law Examination Period  
 August 10 Tuesday - Last day of Summer Session



## COLLEGE OF MEDICINE CALENDAR

1975-76 Academic Year1975

July 28 Monday - Third year students start classes  
 July 30 Wednesday - Third year students register  
 August 11 Monday - Fourth year students start classes (Pre-registered at end of third year)  
 August 25,26 Monday and Tuesday - First year students - Orientation and registration  
 August 27 Wednesday - First and second year students start classes  
 August 27 Wednesday - Second year students register  
 September 1 Monday - First and second year students - Labor Day Holiday  
 November 27 Thursday - Third and fourth year students - One-day Thanksgiving Holiday  
 November 27-29 Thursday through Saturday - First and second year students - Thanksgiving Holiday  
 December 15 Monday - Third year students start winter vacation  
 December 20 Saturday - First and second year students start winter vacation after last examination  
 December 23 Tuesday - Fourth year students start winter vacation (8:00 a.m.)

1976

January 1 Thursday - Third and fourth year students return to classes  
 January 5 Monday - First and second year students return to classes  
 March 15-20 Monday through Saturday - First and second year students - Spring Vacation  
 May 8 (noon) Saturday - First and second year students - Last day of academic year  
 May 8 Saturday - University Commencement  
 June 3 Thursday - Fourth year students - Last day of academic year  
 June 5 Saturday - College of Medicine Graduation Program  
 July 24 Saturday - Third year students - Last day of academic year

## COLLEGE OF DENTISTRY CALENDAR

1975-76 Academic Year1975

August 18 Monday - Third year students start orientation  
 August 20 Wednesday - Third year students register  
 August 25,26 Monday, Tuesday - First year students - Orientation and registration  
 August 27 Wednesday - All classes start class work  
 August 27 Wednesday - Second and fourth year students register  
 September 1 Monday - All classes - Labor Day Holiday  
 November 27-29 Thursday through Saturday - All classes - Thanksgiving Holidays  
 December 19 Friday - All classes - Winter vacation starts after last class

1976

January 5 Monday - All classes return to class work  
 February 20,23 Friday and Monday - No classes  
 April 5-10 Monday through Saturday - All classes - Spring vacation  
 May 7 Friday - Fourth year students - Last day of academic year

## College of Dentistry Calendar - cont

May 8	Saturday - University Commencement and College of Dentistry Graduation Program
May 24	Monday - First, second and third year students - Memorial Day Holiday
May 28	Friday - First, second and third year students - Last day of academic year

The Chairman called on Dr. Michael Adelstein, Chairman-elect of the University Senate, to preside during the consideration of the next motion to be put on the floor, that of the proposed Faculty Code, since Dr. Flickinger was the Chairman of the sub-committee which had prepared the proposal.

Dr. Adelstein assumed the Chair and called on Mr. Howell Hopson, Secretary of the Senate Council, who presented a recommendation, on behalf of the Senate Council, that the Senate adopt the Report of the ad hoc Committee on Academic Responsibilities of the Faculty which proposes to add to the Rules of the University Senate the document entitled "The Faculty Code" (circulated to the faculty under date of September 27, 1972). Dr. Adelstein stated that because of the complexity of the document, and before any further motions or amendments are made, it was felt that there should be a thorough discussion so that everyone understands all the details of the document; therefore, he would not accept any motions but would welcome questions and information on points for discussion.

FACULTY CODE  
September 1972

The Faculty of the University System of the University of Kentucky have long subscribed to the principles of academic freedom and tenure which recognize and confirm to every colleague freedom in research and teaching and in all activities as a private person. As a concomitant to these freedoms we in turn acknowledge our responsibilities as faculty to provide an atmosphere of free inquiry and expression for our colleagues and students and to ensure that conditions exist which will foster learning and maintain an intellectual climate conducive to the preservation, generation, and transmission of knowledge.

We therefore affirm and adopt the following statement of positive responsibilities to our colleagues, our institution and our students, not because an atmosphere of abuse or violation exists, but because of our commitment one to another as a community of scholars.

I. APPLICABILITY:

This Code shall apply to all faculty members associated with the University System of the University of Kentucky and to all graduate students or other personnel having teaching or research assignments in that System.

II. FACULTY RESPONSIBILITIES:

The faculty of the University System of the University of Kentucky hereby subscribe to the following academic responsibilities:

1. To respect the rights of all campus members to pursue their academic and administrative activities;
2. to respect the rights of all campus members to free and orderly expression;
3. to utilize the property of the University in accordance with the rules governing its use which have been duly promulgated;
4. to comply with the Governing Regulations and the Administrative Regulations of the University.
5. to conduct himself or herself with ethical propriety in all dealings with students;
6. to engage in consulting outside the University assignment only in accordance with the provisions promulgated for same;
7. to indicate that he or she does not speak for the University of Kentucky when speaking as a private person if the institutional affiliation is mentioned;
8. to uphold the student academic rights as set forth in the Rules of the University Senate;
9. to present the subject matter of a course as announced and approved by the faculty in accordance with the procedures set forth by the University Senate for this and to avoid the persistent intrusion of material which has no relation to the subject;
10. to meet classes as scheduled in accordance with University regulations; (absences caused by illness, emergencies, attendance at scholarly meetings, occasional professional service, pedagogical experimentation, and the like, are exceptions but these should be approved by the department chairman and substitutions or reschedulings should be arranged);
11. to be available to students for advising and other conferences, preferably by posting office hours and/or by allowing students to arrange for appointments at other mutually convenient times;
12. to arrange for appropriate interaction and communication with graduate students in the direction of their theses;
13. to return or make available to students all papers, quizzes and examinations within a reasonable period of time, unless the confidentiality of the examination precludes; and to give final examinations in accordance with procedures approved in the Rules of the University Senate;
14. to inform students when their individual or collective efforts may be used for professional or personal advancement of the faculty member or when the student(s) are to be used as research subjects, and in either case, to ensure that the student

may elect not to participate without prejudice to his or her academic standing; and to recognize appropriately any significant contribution by the student(s);

15. to respect the right of the student to privacy, including privacy of desk, carrel, and office space, as well as refraining from improper disclosure of the student's social or political views or activities;
16. to comply with the rules and regulations promulgated and approved by the University Senate and to keep himself or herself well informed of the academic requirements of the University;
17. to abide by the ethical standards traditionally recognized by the professional, academic, or scholarly organization associated with his or her discipline or profession.

### III.

#### ENFORCEMENT

1. Any member of the University community (faculty, staff member, or student) with a complaint about an alleged violation of these responsibilities shall process it through normal channels. Accordingly, the process should begin with discussion with the person accused of the violation. If a satisfactory solution between the parties cannot be accomplished then the accused's immediate supervisor, or if the complainant is a student, the Academic Ombudsman should be asked to mediate. If such mediation proves unsuccessful then the matter should be forwarded to the next Administrative level by the supervisor or Academic Ombudsman together with a written report concerning the matter with copies of the report to the parties involved. This process of mediation and report should follow normal channels up to and including the Dean of the College to which the person accused of a violation is assigned.
2. In the event that after the appropriate processing through these channels the Dean is unable to mediate satisfactorily between the parties, or in the event that the Dean is himself the complaining party and is unable to accomplish a satisfactory solution with the accused, he should make a written report containing his recommendations and findings and forward it to the appropriate vice president through the University Senate Committee on Faculty Responsibilities with copies to the accused and the complainant. Upon receipt of the report from the Dean, the committee shall set a date for a hearing which must be within thirty (30) days of receipt of the Dean's report. The committee shall then determine whether to hold closed or open hearing(s) after consultation with the parties in dispute. After the completion of the hearing(s), the committee shall forward its recommendations to the appropriate vice president with respect to (1) whether or not the accused has violated the Code, and, if so (2) the type of sanction, if any, which should be imposed.

3. The vice president shall make a final decision after review of the report of the committee. In any event, however, the accused shall have the normal right of appeal to the President and the Board of Trustees in accordance with established procedures.

IV. SANCTIONS:

1. A warning that conduct violates the Code as interpreted.
2. A reprimand:
  - (a) Informal (to accused only);
  - (b) Formal (to accused with notice to his administrative superior);
  - (c) Public (to accused with notice to his administrative superior and with publication in the minutes of the University Senate).
3. Forfeiture of pay from present salary for payment of actual monetary damage caused by the accused.
- \*4. Recommendation for proceeding under KRS 164.230.

V. RIGHTS OF THE ACCUSED:

1. In all proceedings under this Code:
  - (a) to be heard in his or her own defense;
  - (b) to be informed in writing of the complaint with full particulars and to be given at least 20 days before any action is taken to answer the complaint;
  - (c) to enjoy professional privileges while appealing or undergoing a hearing process. (This does not mean that a change of assignment cannot be made in accordance with the Governing Regulation X., B. 11.).
2. With regard to all proceedings of the Committee:
  - (a) to be entitled to receive a copy of all rules and procedures governing the actions of the Committee in sufficient time to familiarize himself or herself of them;
  - (b) to be entitled to counsel and to question the witness(es) against the accused and to present evidence and/or witness(es) in his or her own behalf in all Committee processes;
  - (c) to challenge the impartiality of anyone sitting on the Committee and to request the replacement of up to two of the members of the Committee;
  - (d) to refuse to give testimony which might tend to be detrimental to him or her.

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\*Nothing in this document is intended to inhibit in any way the right of the appropriate academic vice president to initiate charges against a faculty member under KRS 164.230 in accordance with the procedures established by the Governing Regulations so long as no written report has yet been received by the Committee from the Dean.

VI. RIGHTS OF THE COMPLAINANT:

No member of the University or community shall be penalized academically or professionally for filing or processing a complaint in good faith under this Code.

VII. STATUTE OF LIMITATIONS:

Any complaint processed under these procedures must be initiated within sixty (60) days of knowledge of the alleged violation of the University or Academic Responsibilities as stated herein, but in no event later than one year after the actual commission of the alleged offense.

VIII. COMPOSITION OF COMMITTEE:

The Committee on Faculty Responsibilities shall consist of: 1) a basic panel consisting of six regular and three alternate members together with a chairman (who shall be non-voting except in the case of a tie vote) all of whom shall be tenured members of the University faculty of at least the rank of Associate Professor, and, 2) two separate panels, one of six undergraduate students who shall have at least junior status and one of six graduate and/or professional students of whom at least half have teaching or research responsibilities. When neither the complainant nor the accused are students, the Committee shall operate solely with the basic panel. If however, the complainant or the accused is a student, he or she shall have the right to have two members of the panel appropriate to the student's status added to the basic panel. The two students shall be chosen at random by the Chairman of the Committee.

Furthermore, if in any case the accused is a graduate student, he or she shall be entitled to have two graduate students selected from the graduate student panel by the Chairman on a random basis. In the event that the accused and complainant are both graduate students, however, only two students from the graduate panel will be selected. The six regular basic panel members and the three alternates shall be selected by the President of the University from a list recommended by the University Senate Council in accordance with established procedure. The Committee members shall serve three-year terms on a staggered basis as shall the alternates. The student panel members shall be selected by the President from a list submitted by the Student Government in accordance with established procedures. In the case of the graduate students, the Student Government is directed to consult with the GPSA in making its recommendations. The term of office for each student member shall be one year and the individual member can be selected to serve a second year.

The decision of the Committee shall be by majority vote. In the event of a tie vote, the tie vote shall be broken by the decision of the Chairman.

Professor Flickinger then made the following remarks concerning the history of the Faculty Code to date.

I thought it might be helpful, particularly for some of the new members of the Senate, to give a little of the history of this document. This is the final draft of a considerable number of drafts, the first of which appeared in October, 1971.

It all began with the formation of the ad hoc Committee on Academic Responsibilities in the fall of 1970 -- the so-called Ogletree committee -- which was asked to investigate this situation. It conducted a survey of the faculty regarding the need or desire for a Faculty Code -- asking also questions concerning the knowledge by members of the faculty of violations of what one might regard as faculty responsibilities. There were a large number of responses of actual knowledge of these violations -- 126, to be exact. Because there was a sense of desire for a code of some kind, the ad hoc committee began work on the code. Then, as I understand it, asked to be relieved of its duties, and the Senate Council appointed a subcommittee of the Council, itself, to continue the work begun by the ad hoc committee.

The original Code was presented to the Senate for discussion only in October, 1971. It remained before the Senate for some period of time and resulted, not only in the subcommittee learning a great deal at the Senate meetings, but also from numerous letters, from individual faculty members, from departments, and even from colleges -- expressing concern over certain portions or all of the Code.

A further open hearing was held in December, 1971 in the Student Center from which additional comments and criticisms were received.

In February, 1972 the second draft was presented for open discussion in March. Following this discussion the subcommittee was alerted that the Administration had certain concerns with regard to certain portions of the draft and so an April draft was prepared. This was still not quite satisfactory between faculty, students and Administration. A July draft was then prepared and it was finally toned down to the present August draft.

This is the final summation of a considerable length of time and an attempt to meet the objections and desires of not only the faculty but the Administration and the students. It is not an ideal document but it is a very healthy and reasonable compromise and does present us with something, we hope, which can give us protection in terms of a fair due process provision and at the same time provide us with some knowledge of what it is we shouldn't do. You will notice that in this draft three of the primary objections that were made by the faculty in the other meetings have been met. One of the major objections was that the original faculty code, as presented, talked in terms of "Thou shalt not" -- that is, making certain things crimes. The present code speaks in terms of affirmative statements of what a faculty member does -- not in terms of "Thou shalt not". Secondly, instead of setting up an elaborate judicial structure, we have tried to structure this draft more in terms of negotiated settlement, with the faculty committee, in terms of the due process hearing, not coming in until all procedural administrative remedies for negotiation have proved fruitless. And finally, there has been a reduction in the

sanctions that are provided in order to eliminate the possibility of the family of an individual faculty member being hurt by the committee or dean cutting the salary of a faculty member. The only monetary sanction now left is that which provides for reimbursement when University property has been damaged or destroyed.

The need for some of these faculty codes has been set forth in a number of different areas, one, of course, and one with the most impact - the student uprisings of 1968, 1969, and 1970 - when some members of the faculty joined in. There was considerable concern that some of the statements made and some of the actions, on the part of some of the faculty members, were in violation of the ethics of the profession. So a number of universities, such as Stanford and Berkeley, enacted a code for the faculty for the purpose of making it clear, primarily to young faculty, but also to all faculty, what the code of ethics was, what was expected of a faculty member. Further, the national AAUP has been concerned with this for some time. Back in 1966 it established the statement of professional ethics. This was followed by a 1970 statement on freedom and responsibility. It stated that in view of the freedoms guaranteed us under the 1940 statement of academic freedom, we also had responsibilities and suggested that individual university faculties provide their own code. Just recently, a draft report of a new committee of the AAUP has proposed very strongly that individual faculties set forth a code of responsibility with sanctions and with enforcement provisions that guarantee due process in order to meet their responsibilities as academicians.

It is for these reasons that the committee comes forth with this present document. It is a compromise to a large extent between the various elements of this University. It is our hope, therefore, that while items, indeed, could be deleted, we take great care in amending. The committee proposal is that this shall be added to the Rules of the University Senate. That means it can be amended in a normal process by which all our Rules are amended, namely by a written proposal that is brought before the Senate and passed upon. So we are hoping that people will not make amendments, oral amendments at least, from the floor unless, of course, it is of an editorial nature. We are not demanding this other than in the sense that this is a committee report. We do ask, however, that you remember that there will be and can be amendments made to the Rules at any time. Thank you.

Extensive debate ensued which centered principally on Section II, Faculty Responsibilities. Among points raised were:

the faculty should not be held responsible for knowing all the academic requirements in all academic segments of the University (item 16.);

the Senate should not be held responsible for any rules over which it has no control (items 3,4,5, and 10);

the question of whether a Faculty Code is needed at the University of Kentucky;

Section IV, Sanctions, should be deleted;



the definition of "ethical propriety" in item 5; (in this connection the Chairman stated that he would accept an editorial change of this paragraph to read: "to act with ethical propriety in all dealings with members of the University Community");

an interpretation of item 15 as it relates to "improper disclosure of the student's social or political views or activities";

an interpretation of item 15 as it relates to "privacy of carrel";

whether or not the Senate could enforce item 17 in view of the hundreds of ethical standards recognized by the professional, academic and scholarly organizations; that the University should not put itself in the business of enforcing somebody else's regulations;

items 9 through 15 should be pulled out and placed in Part II of the Student Code (in this connection, the Chairman stated that he would suggest, in the codification by the Rules Committee, that they pull out these items and insert them in the Student Academic Rights section, Part II, of the Student Code);

the functions of the Senate and the functions of the administration should be separated through motions from the floor;

the composition of the committee has excluded assistant professors and instructors while including undergraduate and graduate students;

it would be better to legislate a broad general statement and then appoint a committee to interpret it;

what has happened to the Ombudsman concept;

a major omission in the document is the academic responsibility for research;

why are the real responsibilities that we cannot lay sanctions against omitted, e.g., responsibility for excellence, for challenging the students' minds, etc.

Senators also rose to speak for the Code as presently constructed.

Question was called at which point the Chairman asked that all amendments offered be restricted to deletions; that it is proper procedure for a committee report not to be amended but that portions of it may be deleted, e.g., any one of the Sections or sub-sections.

Motion was then made and seconded to amend the Code by deleting Sections III, IV, V, VI, VII, and VIII. The Senate defeated this amendment.

Motion was made and seconded to amend the Code by deleting 2. (c), in Section IV, Sanctions. The Senate approved this amendment.

Motion was made to vote on the previous question, as amended. This motion was not debatable and required a two-thirds vote. The motion failed for lack of the required vote.

Motion was then made and seconded to adjourn and continue consideration of the original motion, as amended, at a later date. The Senate approved this motion.

The Chairman asked that any Senator who wished to recommend amendments or had suggestions, submit them, in writing, to him so that they could be circulated to the faculty.

Adjournment occurred at 5:10 p.m.

Elbert W. Ockerman  
Secretary