

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, April 4, 1972

The Board of Trustees of the University of Kentucky met in regular statutory session on the campus of the Maysville Community College at 2:00 p. m. (Eastern Standard Time) on Tuesday, April 4, 1972 with the following members answering the call of the roll: Mr. Thomas P. Bell, Mrs. Rexford S. Blazer, Mrs. Robert O. Clark, Mr. Albert G. Clay, Mr. Richard E. Cooper, Dr. Lyman V. Ginger, Mr. George W. Griffin, Mr. James H. Pence, non-voting faculty members Professor Paul Sears and Professor Paul Oberst, and non-voting student member, Mr. Scott Wendelsdorf. Absent were Governor Wendell H. Ford, Mr. Jesse M. Alverson, Mr. Wendell P. Butler, former Governor Albert B. Chandler, Mr. Eugene Goss, Dr. N. N. Nicholas, and Mr. Floyd H. Wright. The administration was represented by President Otis A. Singletary; Vice Presidents Alvin L. Morris, Lewis W. Cochran, Peter P. Bosomworth, Stanley Wall and Robert G. Zumwinkle; Dr. Donald Clapp, Budget Director; Mr. Jack Hall, Dean of Students; Dr. Charles Wethington, Assistant Vice President for the Community College System; and Dr. James C. Shires, Director of the Maysville Community College. The various news media also had representatives present.

A. Meeting Opened

Mr. Clay, presiding in the absence of Governor Ford, called the meeting to order at 2:00 p. m. Following the invocation pronounced by Mr. Clay, the Secretary reported a quorum present and the meeting was declared officially open for the conduct of business at 2:04 p. m.

B. Minutes Approved

On motion by Mr. Pence, seconded by Dr. Ginger, and passed, the reading of the Minutes of the March 21, 1972 meeting was dispensed with and the Minutes were approved as published.

C. Gift From Maysville Community College Accepted

At the request of Mr. Clay, Dr. Stanley Wall introduced Dr. Shires, Director of the College, who in turn introduced members of the Advisory Board, faculty, and students of the College who were present at the meeting. Following these introductions, Mr. Clay was presented a check from the Licking Valley College Development Corporation to be used in launching a two-year nursing program at the College in the fall of 1972. Mr. Clay accepted the check with the thanks of the Board members, adding a word of appreciation for the hospitality being extended to them.

D. President's Report to the Trustees

President Singletary discussed several of the items in his monthly report to the Trustees and recommended a more thorough reading of the report by the Board members at their leisure. Mr. Clay accepted the report and it was ordered filed.

E. Recommendations of the President (PR 2)

Without discussion, on motion by Dr. Ginger, seconded by Mrs. Clark, and passed, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. James E. Funk Named Dean of the College of Engineering (PR 3)

Since the resignation of Dr. Robert M. Drake as Dean of the College of Engineering, a search committee has been screening candidates for his replacement. President Singletary reported that the unanimous recommendation of this committee was Dr. James E. Funk, presently serving as Acting Dean of the College. He indicated his concurrence with the committee's recommendation and placed it before the Board with his recommendation for approval.

On motion by Dr. Sears, seconded by Mrs. Blazer, and passed unanimously, Dr. James E. Funk was named Dean of the College of Engineering, effective July 1, 1972.

G. Honorary Degree Recipients Approved (PR 4)

President Singletary reported that on the basis of informal authorization given to him at the February 29 meeting of the Executive Committee of the Board of Trustees, he had contacted all persons recommended by the Honorary Degree Committee and approved by the Graduate Faculty and the University Senate as recipients of honorary degrees at the commencement exercises in May and that each individual so contacted had indicated that he would be able to be present to receive his degree. President Singletary recommended that the Board of Trustees give formal approval to the awarding of the honorary degree of Doctor of Science to Mr. Robert R. Dawson, Dr. John C. Greene and Dr. Karl O. Lange, and the honorary degree of Doctor of Laws to Mr. John A. Moser, Dr. Samuel M. Nabrit and Mr. Peter A. B. Widener III.

On motion by Mr. Pence, seconded by Mrs. Clark, and passed without dissent, the awarding of the appropriate honorary degree to the persons as recommended in PR 4 was approved. (See PR 4 at the end of the Minutes.)



H. Budget Revisions for 1971-72 Approved (PR 5)

The budget revisions for 1971-72 as recommended in PR 5 being routine in nature and there being no questions, on motion by Mrs. Clark, seconded, and carried, the revisions were authorized and approved as presented. (See PR 5 at the end of the Minutes.)

I. Designation of Buildings Approved (PR 6)

Without discussion, on motion by Professor Sears, seconded by Mrs. Blazer, and passed, the naming of the buildings as recommended in PR 6 were approved as presented. (See PR 6 at the end of the Minutes.)

J. Policy Statement on Naming of University Buildings Endorsed (PR 7)

President Singletary explained that the policy statement, a copy of which had been mailed to the members in advance of the meeting, did not require Board approval inasmuch as it was an Administrative Regulation; however, he felt that the Board should have an opportunity to react to the statement prior to its distribution to the administrative staff of the University.

On motion by Mr. Pence, seconded by Mrs. Blazer, and approved, the policy statement relative to the naming of buildings on the University campus was endorsed by the Board of Trustees of the University. (See PR 7 at the end of the Minutes.)

K. Committee on Housing Policy to be Appointed

President Singletary said that the administration and the students had reached an impasse in their deliberations relative to a housing policy which was acceptable to both the administration and the student body and he wished to announce his intention of naming a blue ribbon committee composed of Trustees, alumni, students, faculty and Student Affairs personnel to take a somewhat more substantive look at the housing policies on the Lexington campus. He indicated that he would request the names of the three Board members following the Governor's appointment of the new members to the Board. It is his intention to request this committee to have a specific institutional policy formulated for presentation to the Board no later than November 1972.

L. Interim Financial Report Accepted (FCR 1)

Mr. Griffin, Chairman of the Finance Committee, moved that the

financial report for the eight months' period ending February 29, 1972 be accepted, explaining that the report was routine and that the financial condition of the University was satisfactory. His motion was seconded, and approved. (See FCR 1 at the end of the Minutes.)

M. Transfer of Funds From Community Colleges Educational Buildings Revenue Bond Anticipation Note Sinking Fund Authorized (FCR 2)

Mr. Griffin explained that the transfer of funds proposed in FCR 2 was routine and moved that the Vice President for Business Affairs and Treasurer be authorized to execute the necessary documents to effect such transfer. His motion was seconded, and without discussion passed unanimously. (See FCR 2 at the end of the Minutes.)

N. Revisions in Code of Student Conduct Recommended by Board Committee Accepted for Study (See attachment at the end of the Minutes.)

Mr. Griffin, as Chairman of the Board Committee on Revisions of the Student Code, reported that President Singletary had transmitted to him certain recommendations prepared by the Student Affairs staff relative to the proposed revision in the Code of Student Conduct which was received at the March 21 meeting of the Board of Trustees for subsequent action at a later meeting. In view of the recommendations received from Student Affairs, a copy of which was available for all members, Mr. Griffin suggested that they be received and sent back to the committee for further study and incorporation in the present recommendations.

Mr. Wendelsdorf protested this suggestion of Mr. Griffin's, stating that the Student Affairs staff had had the same opportunity to present its recommendations to the committee as all other groups on the campus; that the deadline for making suggestions was past; and that the proper action would be for the Student Affairs committee to present its recommendations for consideration next year. He moved that the Board reject Mr. Griffin's suggestion. Mr. Wendelsdorf's motion died for lack of a second.

Mr. Griffin then moved that the Student Affairs proposed revisions be referred to the Student Code Revision Committee for study and possible incorporation in the Revised Code as presented in March. His motion was seconded, and carried.

O. Meeting Adjourned

Determining there was no further business to come before the meeting,

Mr. Clay called for a motion for adjournment which was duly made, seconded, and carried, and the meeting adjourned at 2:50 p. m.

Respectfully submitted,

Lucile T. Blazer, Secretary  
Board of Trustees

(PRs 2, 3, 4, 5, 6, and 7, FCRs 1 and 2, and the Revisions in Code of Student Conduct Recommended by the Board Committee which follow are official parts of the Minutes of the April 4, 1972 meeting of the Board of Trustees.)

Missing report(s)

Office of the President  
April 4, 1972

PR 2

Members. Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

Dean C. Fletcher, Professor\* (with tenure), Department of Allied Health Education and Research, and Director, Center for Learning Resources, College of Allied Health Professions, retroactive to April 1, 1972  
Joan Hartwig, Associate Professor (with tenure), Department of English, College of Arts and Sciences, effective August 15, 1972  
V. Nath, Adjunct Professor\*, Department of Geography, College of Arts and Sciences, retroactive to January 1, 1972  
J. Robert Wills, Associate Professor and Chairman, Department of Theatre Arts, College of Arts and Sciences, effective July 1, 1972  
Samuel R. Scott, Instructor, Department of Medicine, College of Medicine, and Internist, University Health Service, effective July 1, 1972 (Salary over \$15,000)

Administrative Appointments

James T. Doluiso, Professor (with tenure), Department of Pharmacy, College of Pharmacy, named Assistant Dean for Instruction, College of Pharmacy, effective July 1, 1972

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\*Special Title Series

Dean C. Fletcher, Professor\* (with tenure), Department of Allied Health Education and Research, named Director of the Center for Learning Resources. College of Allied Health Professions, retroactive to April 1, 1972

J. Robert Wills, Associate Professor, Department of Theatre Arts, named Chairman of the Department of Theatre Arts, College of Arts and Sciences, effective July 1, 1972

Promotions (Effective July 1, 1972)

College of Agriculture

Harry Hudson Bailey, Department of Agronomy, from Associate Professor (with tenure) to Professor (with tenure)

Russell H. Brannon, Department of Agricultural Economics, from Associate Professor (with tenure) to Professor (with tenure)

Wilmer Browning, Department of Agricultural Economics, from Assistant Extension Professor\* (with tenure) to Associate Extension Professor\* (with tenure)

Daniel Layton Davis, Department of Agronomy, from Assistant Professor to Associate Professor (with tenure)

Roger W. Hemken, Department of Animal Sciences, from Associate Professor (with tenure) to Professor (with tenure)

Richard William Henley, Department of Horticulture, from Assistant Extension Professor\* to Associate Extension Professor\* (with tenure)

Woodrow Wilson Hourigan, Department of Agricultural Economics, from Assistant Extension Professor\* (with tenure) to Associate Extension Professor\* (with tenure)

Fred William Knapp, Department of Entomology, from Associate Professor (with tenure) to Professor (with tenure)

Raymond Gilbert Lockard, Department of Horticulture, from Associate Professor to Professor (with tenure)

Jones H. Smiley, Department of Agronomy, from Associate Extension Professor\* (with tenure) to Extension Professor\* (with tenure)

Frederick A. Thrift, Department of Animal Sciences, from Assistant Professor to Associate Professor (with tenure)

William E. Wise, Department of Veterinary Science, from Assistant Extension Professor\* to Associate Extension Professor\* (with tenure)

College of Arts and Sciences

Paul M. Eakin, Department of Mathematics, from Assistant Professor to Associate Professor (with tenure)

College of Dentistry

Thomas Berry, Department of Restorative Dentistry, from Assistant Professor to Associate Professor (with tenure)

\*Special Title Series

Thomas W. Brehm, Department of Restorative Dentistry, from Assistant Professor to Associate Professor (with tenure)  
James Glenn Burch, Department of Periodontics, from Assistant Professor to Associate Professor (with tenure)  
Roland Duell, Department of Endodontics, from Assistant Professor to Associate Professor (with tenure)  
L. Roy Eversole, Department of Oral Pathology, from Assistant Professor to Associate Professor (with tenure)  
Warren E. Fischer, Department of Restorative Dentistry, from Assistant Professor\* (part-time) to Associate Professor\* (part-time)  
Quentin Z. Frazier, Department of Prosthodontics, from Assistant Professor to Associate Professor (with tenure)  
Milton E. Gellin, Department of Pedodontics, from Associate Professor (with tenure) to Professor (with tenure)  
Albert Heise, Department of Community Dentistry, from Assistant Professor to Associate Professor (with tenure)  
Clem J. Hill, Department of Pedodontics, from Assistant Professor to Associate Professor (with tenure)  
Markell W. Kohn, Department of Oral Surgery, from Assistant Professor to Associate Professor (with tenure)  
Raymond A. Kopczyk, Department of Periodontics, from Assistant Professor to Associate Professor (with tenure)  
Karl W. Lange, Department of Prosthodontics, from Assistant Professor\* (part-time) to Associate Professor\* (part-time)  
Marvin R. Lutes, Department of Prosthodontics, from Assistant Professor\* (part-time) to Associate Professor\* (part-time)  
Louis A. Norton, Department of Orthodontics, from Associate Professor (with tenure) to Professor (with tenure)  
Robert H. Spedding, Department of Pedodontics, from Associate Professor (with tenure) to Professor (with tenure)  
Robert C. Wesley, Department of Prosthodontics, from Assistant Professor to Associate Professor (with tenure)  
Nann A. Wickwire, Department of Orthodontics, from Assistant Professor to Associate Professor (with tenure)

College of Medicine

Robert G. Aug, Department of Psychiatry, from Associate Professor (with tenure) to Professor (with tenure)  
H. Douglas Jameson, Department of Neurology, from Assistant Professor to Associate Professor (with tenure)  
Michael P. McQuillen, Department of Neurology, from Associate Professor (with tenure) to Professor (with tenure)  
H. Mac Vandiviere, Department of Community Medicine, from Associate Professor (with tenure) to Professor (with tenure)

\*Special Title Series

Promotions - Joint (Effective July 1, 1972)

Gerald I. Roth, Department of Oral Biology, College of Dentistry, and Department of Physiology and Biophysics, College of Medicine, from Assistant Professor to Associate Professor (with tenure)

Herbert W. Sorenson, Department of Pedodontics, College of Dentistry, and Department of Pediatrics, College of Medicine, from Assistant Professor to Associate Professor (with tenure), Department of Pedodontics, College of Dentistry (reappointed Assistant Professor, Department of Pediatrics, College of Medicine)

Tenure Granted (Effective July 1, 1972)

Michael B. Freeman, Associate Professor, Department of Mathematics, College of Arts and Science

Donald Hook, Associate Professor, Department of Forestry, College of Agriculture

Patrick P. DeLuca, Associate Professor, Department of Pharmacy, College of Pharmacy

Albert C. Selke, Associate Professor, Department of Diagnostic Radiology, and Assistant Professor, Department of Pediatrics, College of Medicine

Changes in Status (Effective July 1, 1972)

Howard Hopkins, Professor, Department of Pharmacy, and Assistant Dean for Instruction, College of Pharmacy, changed to Professor, Department of Pharmacy, and Associate Dean, College of Pharmacy

Charles A. Walton, Professor (with tenure), Department of Materia Medica, and Department of Clinical Pharmacy, College of Pharmacy, changed to Professor (with tenure), Department of Clinical Pharmacy, College of Pharmacy, and Department of Oral Biology, College of Dentistry

Reappointments (July 1, 1972 to July 1, 1973)

Milton E. Morris, Associate Extension Professor\* and Chairman, Department of Public Information, College of Agriculture

William S. Gochenour, Jr., Associate Professor, Department of Community Medicine, College of Medicine

Norman L. Goodman, Associate Professor, Department of Community Medicine, College of Medicine

William G. Malette, Associate Professor, Department of Surgery, College of Medicine

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\*Special Title Series



Leaves of Absence

William R. Willard, Special Assistant to the President for Health Affairs, leave without pay for one year beginning July 1, 1972, with the possibility of renewal for an additional year of leave without pay  
Bradley C. Canon, Associate Professor (with tenure), Department of Political Science, College of Arts and Sciences, sabbatical leave at half-salary for the period July 1, 1972 through June 30, 1973

Correction

William R. Proffit, Professor (with tenure) and Chairman, Department of Orthodontics, College of Dentistry, reappointed Chairman of the Department of Orthodontics for a four-year term, should read six-year term (See March 21, 1972 Minutes.)

ADMINISTRATION ACTION

New Appointments

William Clinton Baldwin, Assistant Professor, Department of History, College of Arts and Sciences (All requirements for the Ph. D. must be completed by August 15, 1972 or rank will be Instructor)  
\*\* Francis M. Burke, Assistant Professor, Department of English, College of Arts and Sciences  
\*\* Gordon P. Cheesewright, Assistant Professor, Department of English, College of Arts and Sciences  
\*\* Tay N. Fizdale, Assistant Professor, Department of English, College of Arts and Sciences  
\*\* Edward F. Stanton, Assistant Professor, Department of Spanish and Italian, College of Arts and Sciences  
Richard L. Oliver, Instructor, Department of Business Administration, College of Business and Economics  
Helen Jean Winther, Assistant Professor, Department of Behavioral Science, College of Medicine  
Charles S. Robertson, Jr., Instructor, Prestonsburg Community College

Promotions (Effective July 1, 1972)

Barry Taylor Baughman, Department of Theatre Arts, College of Arts and Sciences, from Instructor to Assistant Professor\* (Applied)  
Gerald Janecek, Department of Slavic and Oriental Languages, College of Arts and Sciences, from Instructor to Assistant Professor  
Bobbie Holtzman, Department of Radiologic Technology, College of Allied Health Professions, from Instructor to Assistant Professor\*

\*Special Title Series

\*\* All requirements for the Ph. D. must be completed by September 1, 1972 or rank will be Instructor

William M. Anderson, Department of Restorative Dentistry, College of Dentistry, from Instructor (part-time) to Assistant Professor (part-time)  
Ervin C. Belcher, Department of Restorative Dentistry, College of Dentistry, from Instructor to Assistant Professor  
Hugh N. Burkett, Department of Restorative Dentistry, College of Dentistry, from Instructor to Assistant Professor  
Robert N. Jennings, Department of Orthodontics, College of Dentistry, from Instructor (part-time) to Assistant Professor (part-time)  
Marion D. Miniard, Department of Restorative Dentistry, College of Dentistry, from Instructor (part-time) to Assistant Professor (part-time)  
M. Raynor Mullins, Department of Community Dentistry, College of Dentistry, from Instructor to Assistant Professor  
Rodney L. Oney, Department of Restorative Dentistry, College of Dentistry, from Instructor to Assistant Professor  
Terry R. Schneider, Department of Orthodontics, College of Dentistry, from Instructor (part-time) to Assistant Professor (part-time)  
Robert Lee Southwood, Department of Restorative Dentistry, College of Dentistry, from Instructor (part-time) to Assistant Professor (part-time)  
Jeffrey M. Brandsma, Department of Psychiatry, College of Medicine, from Instructor to Assistant Professor  
Gerald Hill, Department of Behavioral Science, College of Medicine, from Instructor of Student Personnel to Assistant Professor\*

Reappointments - Lexington Campus

July 1, 1972 to July 1, 1973 (unless otherwise specified)

College of Agriculture

Charlie Frank Abrams, Jr., Assistant Extension Professor\*, Department of Agricultural Engineering  
Curtis W. Absher, Assistant Extension Professor\*, Department of Animal Sciences  
Melvern K. Anderson, Assistant Professor, Department of Agronomy  
Darrel Arvin Apps, Assistant Extension Professor\*, Department of Horticulture  
Marcus R. Barnett, Assistant Extension Professor\*, Department of Area Extension Programs  
Bruce Robert Beattie, Assistant Professor, Department of Agricultural Economics  
Morris J. Bitzer, Assistant Extension Professor\*, Department of Agronomy  
Arthur Franklin Bordeaux, Jr., Assistant Professor, Department of Agricultural Economics  
Russell L. BreDahl, Assistant Extension Professor\*, Department of Animal Sciences  
Stanley B. Carpenter, Assistant Professor, Department of Forestry  
Ronnie L. Edwards, Assistant Extension Professor\*, Department of Animal Sciences

\*Special Title Series

Dennis Bruce Egli, Assistant Professor, Department of Agronomy  
Joe Dennis Fox, Assistant Professor, Department of Animal Sciences  
Robert Goodwill, Assistant Professor, Department of Animal Sciences  
Wesley W. Gregory, Jr., Assistant Extension Professor\*, Department  
of Entomology  
Harvey E. Hamilton, Assistant Extension Professor\*, Department of  
Agricultural Engineering  
Daniel D. Harrington, Assistant Professor, Department of Veterinary  
Science  
James C. Hartley, Assistant Extension Professor\*, Department of  
Animal Sciences  
John R. Hartman, Assistant Extension Professor\*, Department of Plant  
Pathology  
Randall B. Heiligmann, Assistant Professor, Department of Forestry  
James Henry Herbek, Assistant Extension Professor\*, Department of  
Agronomy  
Gordon S. Hess, Assistant Extension Professor\*, Department of  
Animal Sciences  
Clarence James Kaiser, Assistant Extension Professor\*, Department of  
Agronomy  
Thomas Rogers Kemp, Assistant Professor, Department of Horticulture  
Logan T. Louderback, Assistant Extension Professor\*, Area Extension  
Programs  
Loys Leroy Mather, Assistant Professor, Department of Agricultural  
Economics  
Daniel Clement Milbocker, Assistant Professor, Department of Horticulture  
Donald O. Morgan, Assistant Professor, Department of Veterinary Science  
Lloyd W. Murdock, Jr., Assistant Extension Professor\*, Department of  
Agronomy  
Gerald L. Nordin, Assistant Professor, Department of Entomology  
Charles G. Poneleit, Assistant Professor, Department of Agronomy  
Harley G. Raney, Assistant Extension Professor\*, Department of  
Entomology  
Charles E. Rieck, Assistant Professor, Department of Agronomy  
Horst Schach, Assistant Professor, Department of Horticulture  
Gerald T. Schelling, Assistant Professor, Department of Animal Sciences  
Charles L. Shilling, Assistant Professor, Department of Forestry  
Thomas W. Swerczek, Assistant Professor, Department of Veterinary  
Science  
Ruen C. Tang, Assistant Professor, Department of Forestry  
Dennis M. TeKrony, Assistant Extension Professor\*, Department of  
Agronomy  
Lafayette Thompson, Jr., Assistant Professor, Department of Agronomy  
Ray Edwin Tucker, Assistant Professor, Department of Animal Sciences  
Hayden Watkins, Assistant Extension Professor\*, Department of Agronomy  
Ronald Wendlandt, Assistant Extension Professor\*, Department of  
Animal Sciences  
Edwin H. White, Assistant Professor, Department of Forestry

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\*Special Title Series

College of Arts and Sciences

Michael Baer, Assistant Professor, Department of Political Science (July 1, 1972 to July 1, 1974)

John R. Baseheart, Assistant Professor, Department of Speech

James E. Brennan, Assistant Professor, Department of Mathematics

Vasily C. Cateforis, Assistant Professor, Department of Mathematics

Joel L. Cunningham, Assistant Professor, Department of Mathematics

Paul C. DuChateau, Assistant Professor, Department of Mathematics

James H. Fetzer, Assistant Professor, Department of Philosophy

James E. Fletcher, Assistant Professor, Department of Telecommunications

Ronald Gariepy, Assistant Professor, Department of Mathematics

Lawrence V. Grant, Assistant Professor, Department of Political Science

(July 1, 1972 to July 1, 1974)

Roger D. Haney, Assistant Professor, Department of Speech

Daniel B. Henry, Assistant Professor, Department of Mathematics

Kenneth J. Hofstetter, Assistant Professor, Department of Chemistry

David C. Johnson, Assistant Professor, Department of Mathematics

John Edward Kerlin, Assistant Professor, Department of Mathematics

Richard A. Kramer, Assistant Professor, Department of Mathematics

Kenneth K. Kubota, Assistant Professor, Department of Mathematics

Alan Lambert, Assistant Professor, Department of Mathematics

James W. Manns, Assistant Professor, Department of Philosophy

Edward T. Ordman, Assistant Professor, Department of Mathematics

J. Patrick Ryan, Assistant Professor, Department of Mathematics

Henry A. S. Schankula, Instructor, Department of Philosophy

Leonard Paul Tipton, Assistant Professor, Department of Journalism

John R. Wasson, Assistant Professor, Department of Chemistry

Ernest Yanarella, Assistant Professor, Department of Political

Science (July 1, 1972 to July 1, 1974)

College of Allied Health Professions

Karen P. Brown, Instructor\*, Department of Dental Hygiene

Robert J. Floro, Instructor\*, Department of Respiratory Therapy

Edmund Hoy, Assistant Professor\*, Department of Respiratory Therapy

James Albert Jackson, Assistant Professor\*, Department of Medical  
Technology

Susan B. Lipscomb, Instructor\*, Department of Radiologic Technology

John D. Serkland, Assistant Professor\*, Department of Clinical Pastoral  
Counseling

College of Dentistry

Neal D. Bellanti, Assistant Professor, Department of Restorative Dentistry

John G. Gattozi, Assistant Professor, Department of Prosthodontics

Don R. King, Assistant Professor, Department of Oral Diagnosis and  
Medicine

Gene P. Lewis, Assistant Professor, Department of Community Dentistry

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\*Special Title Series

Ronald L. Rosenthal, Assistant Professor, Department of Oral Diagnosis  
and Medicine  
Hobson Sinclair, Instructor, Department of Restorative Dentistry  
Thomas M. Skeeters, Assistant Professor, Department of Restorative  
Dentistry  
Eric E. Spohn, Assistant Professor, Department of Restorative Dentistry  
Dee L. Williams, Assistant Professor, Department of Restorative Dentistry  
James D. Woodward, Assistant Professor, Department of Prosthodontics

College of Medicine

Raymond E. Papka, Instructor, Department of Anatomy  
William J. Carey, Assistant Professor, Department of Anesthesiology  
William B. Wood, Assistant Professor, Department of Anesthesiology  
Laia Hanua, Assistant Professor\*, Department of Behavioral Science  
Bernard H. Brownstein, Assistant Professor, Department of Cell Biology  
Grace Donnelly, Assistant Professor, Department of Cell Biology  
Jessica Gorman, Assistant Professor, Department of Cell Biology  
Thomas L. Roszman, Assistant Professor, Department of Cell Biology  
David S. Bauman, Assistant Professor, Department of Community Medicine  
Carl W. Friedericks, Assistant Professor, Department of Community  
Medicine  
F. Douglas Scutchfield, Assistant Professor, Department of Community  
Medicine  
Coy D. Smith, Assistant Professor, Department of Community Medicine  
Fekreya Aly, Assistant Professor of Social Work\*, Department of Medicine  
(July 1, 1972 to July 1, 1977)  
V. Aravindakshan, Assistant Professor, Department of Medicine (July 1, 1972  
to January 1, 1976)  
Jack R. Coyer, Instructor, Department of Medicine  
Hugh F. Daly, Jr., Assistant Professor of Social Work\*, Department of  
Medicine (July 1, 1972 to July 1, 1977)  
Claude Farley, Assistant Professor, Department of Medicine (July 1, 1972  
to July 1, 1976)  
Ronald D. Hamilton, Assistant Professor, Department of Medicine (July 1,  
1972 to July 1, 1977)  
Stanley R. Huffman, Assistant Professor\*, Department of Medicine  
(July 1, 1972 to January 1, 1977)  
Robert C. Nobel, Assistant Professor, Department of Medicine (July 1, 1972  
to July 1, 1977)  
Mary Leonidakis, Instructor\*, Department of Neurology  
Beverly A. Smith, Instructor, Department of Obstetrics and Gynecology  
David S. Swan, Assistant Professor, Department of Obstetrics and  
Gynecology (July 1, 1972 to July 1, 1976)  
John R. Van Nagel, Jr., Assistant Professor, Department of Obstetrics  
and Gynecology (July 1, 1972 to July 1, 1977)

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\*Special Title Series

John D. Blair, Assistant Professor, Department of Pathology  
Olga M. Blair, Assistant Professor, Department of Pathology  
William V. Miller, Assistant Professor, Department of Pathology  
Ingrid Daoud, Assistant Professor, Department of Pediatrics  
Abe R. Fosson, Jr., Assistant Professor, Department of Pediatrics  
Martha F. Greenwood, Instructor, Department of Pediatrics  
Linda R. Walters, Assistant Professor, Department of Pediatrics  
Ralph E. Miller, Assistant Professor, Department of Pharmacology  
William G. Drew, Assistant Professor, Department of Psychiatry  
Helen L. Hallock, Assistant Professor\*, Department of Psychiatry  
George M. Henry, Assistant Professor, Department of Psychiatry  
Robert K. Johns, Instructor of Social Work\*, Department of Psychiatry  
(July 1, 1972 to January 1, 1973)  
Maxie C. Maultsby, Jr., Assistant Professor, Department of Psychiatry  
Loren L. Miller, Instructor, Department of Psychiatry  
Mary N. Smith, Assistant Professor, Department of Psychiatry  
Lane J. Veltkamp, Assistant Professor of Social Work\*, Department of  
Psychiatry  
Richard Welsh, Assistant Professor of Social Work\*, Department of  
Psychiatry  
Ernest G. Barker, Assistant Professor, Department of Diagnostic  
Radiology  
C. Dale Brown, Assistant Professor, Department of Diagnostic Radiology  
Robert Belin, Assistant Professor, Department of Surgery and Department  
of Pediatrics  
H. Martin Blacker, Assistant Professor, Department of Surgery  
Wilbur C. Blount, Assistant Professor, Department of Surgery  
Gordon Brocklehurst, Assistant Professor, Department of Surgery  
W. Michael Bryant, Assistant Professor, Department of Surgery  
Patrick F. Hagihara, Assistant Professor, Department of Surgery  
Bernard L. Nanale, Assistant Professor, Department of Surgery  
William R. Meeker, Assistant Professor, Department of Surgery  
Kazi Mobin-Uddin, Assistant Professor, Department of Surgery  
Jagdish S. Patil, Assistant Professor, Department of Surgery  
Charles R. Sachatello, Assistant Professor, Department of Surgery  
Romeo S. Berardi, Assistant Professor, Department of Surgery  
Robert L. Bradley, Assistant Professor, Department of Surgery and  
Department of Anatomy

College of Nursing

Irma M. Bolte, Assistant Professor\*  
Doris E. Cary, Assistant Professor\*  
Margaret Doyle, Assistant Professor\*  
Evelyn D. Geller, Assistant Professor\*  
Isabelle F. Rasplica, Instructor\*  
Kathryn L. Sallee, Instructor\*  
Dorothy Taylor, Assistant Professor\*

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\*Special Title Series

College of Pharmacy

John L. Butler, Assistant Professor, Department of Clinical Pharmacy

Joseph D. Clark, Jr., Assistant Professor, Department of Clinical Pharmacy

Thomas W. Dunphy, Assistant Professor, Department of Clinical Pharmacy

Ruby Joyce Garrett, Instructor, Department of Materia Medica

Clifford E. Hynniman, Assistant Professor, Department of Clinical Pharmacy

Jerry B. Johnson, Assistant Professor, Department of Clinical Pharmacy

John J. Piccoro, Jr., Assistant Professor, Department of Clinical Pharmacy

Robert P. Rapp, Assistant Professor, Department of Clinical Pharmacy

Fredrick J. Shainfeld, Assistant Professor, Department of Clinical Pharmacy

Joint Reappointments

Robert H. Biggerstaff, Assistant Professor, Department of Orthodontics, College of Dentistry, and Department of Anthropology, College of Arts and Sciences

William W. Green, Assistant Professor\*, Department of Pediatrics, College of Medicine, and Department of Special Education, College of Education

Harry Hale Hall, Assistant Professor, Department of Agricultural Economics, College of Agriculture, and Department of Statistics, College of Arts and Sciences

Michael Murphy, Assistant Professor\*, Department of Pediatrics, College of Medicine, and Department of Special Education, College of Education

Leonard C. Wilson, Assistant Professor\*, Department of Health Radiation, College of Allied Health Professions, and Instructor\*, Department of Radiation Medicine, College of Medicine

Reappointment - Community College System

Louis William Jacquith, Instructor, Lexington Technical Institute

Terminal Reappointment

Leonard E. Adelson, Assistant Professor, Department of Mathematics, College of Arts and Sciences

\*Special Title Series

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Action: Approved  Disapproved  Other

Date: April 4, 1972

Office of the President  
April 4, 1972

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Dean, College of Engineering

Recommendation: that Dr. James E. Funk, Professor of Mechanical Engineering and Acting Dean of the College of Engineering, be named Dean of the College of Engineering, effective July 1, 1972.

Background: Following the resignation of Dean Robert M. Drake earlier in the year, the established procedures were initiated to recommend one or more well qualified persons for appointment as Dean of the College of Engineering. On February 7, 1972 a search committee of five faculty members, one graduate student, and one undergraduate student was appointed, and they promptly began the solicitation of nominations of persons considered by colleagues to possess the necessary qualifications for this position. The qualifications of a large number of nominees were carefully evaluated by the search committee which made its report to the President and recommended that Dr. Funk be named to this position.

Dr. Funk has been on the faculty in the College of Engineering since 1964, served as Associate Dean for Graduate Programs and Research since 1968, and as Acting Dean of the College during the current year. He has had industrial experience with a number of major firms and possesses all the necessary qualifications for this position. The University is fortunate to have on the faculty a person with these outstanding qualifications who is willing to assume this responsible leadership role.

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Action: Approved   X   Disapproved            Other           

Date:   April 4  , 1972



Office of the President  
April 4, 1972

PR 4

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Science to Mr. Robert R. Dawson, Dr. John C. Greene and Dr. Karl O. Lange, and the honorary degree of Doctor of Laws to Mr. John A. Moser, Dr. Samuel M. Nabrit and Mr. Peter A. B. Widener III.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that these honorary degrees be awarded to the persons listed above, and these two bodies have expressed their approval of the recommendation.

Biographical sketches of each recipient are attached.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   April 4  , 1972

ROBERT RANDALL DAWSON

Robert Randall Dawson, President of the R. R. Dawson Bridge Company of Bloomfield, Kentucky, since 1938, attended the University of Kentucky College of Engineering from 1921 through 1925. In addition to an illustrious career as a bridge and highway engineer and contractor, he is active on the Kentucky Commission for Handicapped Children, the Board of Managers for Cardinal Hill Convalescent Hospital for Crippled Children, and the trustees of the Lexington Theological Seminary.

Mr. Dawson has served as president of the University of Kentucky Alumni Association, is a University Fellow, has maintained two annual scholarships in Engineering, and assisted in initiating the Alumni Association faculty awards program. He was the 1963 recipient of the Sullivan Medallion for unselfish and exceptional service to the Commonwealth.

JOHN C. GREENE

John C. Greene, a native of Ashland, Kentucky, was educated at Ashland Junior College, and the University of Louisville where he received his dental degree in 1952. He earned a Master of Public Health degree from the University of California in 1961.

Dr. Greene has risen rapidly in the U. S. Public Health Service to the rank of Director of the Division of Dental Health and Assistant Surgeon General. He is the youngest man in the United States Public Health Service to achieve flag rank. He is recognized throughout the world for his research and administrative accomplishments, and has an impressive list of scientific publications.

Dr. Greene, a native Kentuckian, has demonstrated a keen interest in and has been a substantial help to the University of Kentucky College of Dentistry.

KARL OTTO LANGE

Karl Otto Lange, native of Frankfurt, Germany, and a naturalized American citizen, retired from the University of Kentucky Department of Mechanical Engineering in 1971 after a twenty-five year productive career of research, teaching and consulting, focused on Aeronautics and Bio-medical Engineering.

After serving at several institutions including M. I. T. and Harvard, Dr. Lange joined the University of Kentucky faculty in 1946 as an Assistant Professor of Physics. In 1954, he became Professor of Mechanical Engineering and Director of the Wenner-Gren Aeronautical Research Laboratory.

Dr. Lange's publications include hundreds of journal articles and technical reports, and he holds patents in the areas of corrosive-preventive lubricant compound; design, construction, and testing of compartmented fuel tanks; parachute disreefing systems; aerial camera shutter calibration; vibration test data analysis; and the Lange-USAF contourometer.

JOHN ALOYSIUS MOSER

John Aloysius Moser was born and has lived his entire life in or around Louisville, Kentucky. A recent citation by President Richard Nixon recognized Mr. Moser for "distinguished and sustained leadership to the problems in dairy industry locally, regionally and nationally. As dairyman, organizer and administrator of dairy cooperatives, promoter of dairy products, counselor to state and national governments, spokesman for Agricultural education and research, he has been a friend to all of Agriculture."

Mr. Moser has been a long-time counselor and friend of the University of Kentucky, always ready to defend it or to offer intelligent and constructive criticism, as needed.

Mr. Moser has innumerable awards for outstanding service at all levels, including the Golden Sheaf Award of the University of Kentucky College of Agriculture and five distinguished service awards by state and national organizations. He has been recognized nationally as the outstanding man of his field for the past 30 years.

SAMUEL MILTON NABRIT

Samuel Milton Nabrit was born in Macon, Georgia, 67 years ago. He completed his undergraduate work in Biology at Morehouse College, and his doctoral work at Brown University. For 23 years he served as Chairman of the Department of Biology at Atlanta University, also serving concurrently, during the latter years, as Dean of the Graduate School. From 1955-66 he served as President of Texas Southern University in Houston.

Dr. Nabrit has earned national recognition principally as President of the National Institute of Science. He has served on the Screening Committee for the Ford Foundation Fellowship Program, and as a member of the Boards of the American Council on Education and the Atomic Energy Commission. He is presently serving as Executive Director of the Southern Fellowships Fund, as well as the Boards of Trustees of five universities or colleges, including Brown University.

PETER A. B. WIDENER III

Peter A. B. Widener III attended the University of Kentucky until the death of his father in 1948 when he was forced to assume personal management of the family's business interests. He has become a respected authority in criminology, having served successively as a dollar-a-year chief of the Fayette County Patrol, as Commissioner of the Kentucky State Police, and as First Commissioner of the Kentucky Department of Public Safety.

More recently, Mr. Widener has become concerned with the Science of Oceanography, and has provided major financial assistance to the International Oceanographic Institute of the University of Miami.

As a collector and Fellow of the Metropolitan and the Philadelphia Museums of Art, he has maintained the Widener tradition of close association with the arts, and association highlighted by his grandfather's gift of a \$50,000,000 collection to the National Gallery in Washington.

Mr. Widener has shown a continuing interest in the programs of the University of Kentucky, having donated a \$100,000 painting, a valuable collection of cattle and horses, including a share in Nashua, as well as other substantial contributions to several university programs. He presently serves as a member of the University of Kentucky Athletic Association Board of Directors.

PR 5

Members, Board of Trustees:

1971-72 Budget Revisions

Recommendation: that the following revisions in the 1971-72 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>A. <u>General Funds</u></b>			
1. <b>Income Estimates</b>			
<b>Sales and Services</b>			
Departmental Sales	\$ 1,601,900	\$ 1,602,300	\$ 400
<b>Gifts and Grants</b>			
Other Gifts and Grants	78,000	81,400	<u>3,400</u>
			3,800
2. <b>Expenditures</b>			
<b>Student Aid</b>			
Community College Scholarships	33,400	33,800	400
<b>Medical Center     Equipment</b>	200,000	203,400	<u>3,400</u>
			3,800
3. <b><u>Comments</u></b> - Revised income estimates for vending machine sales in the Community Colleges and a special grant from the Kentucky Department of Health will support the proposed expenditure increases set out above.			
<b>B. <u>Restricted Funds</u></b>			
1. <b>Income Estimates</b>	4,595,500	4,671,050	75,550



	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
<b>B. <u>Restricted Funds</u> (continued)</b>			
2. Expenditures			
Student Aid			
Gifts and Grants for Scholarships	226,700	231,700	5,000
General Library			
Oral History Project	-0-	20,000	20,000
Community College System - Scholar- ships	103,500	105,450	1,950
Jefferson - Mental Health Workers Training	-0-	24,300	24,300
Somerset - Mental Health Workers Training	-0-	24,300	<u>24,300</u> 75,550
3. <u>Comments</u> - The proposed additional expenditures will be funded from income increases restricted to the purposes indicated above.			
<b>C. <u>Auxiliary Funds</u></b>			
1. Income Estimates			
Community Colleges	760,700	865,800	105,100
2. Expenditures			
Henderson Auxiliaries	43,500	45,500	2,000
Ashland Auxiliaries	72,700	80,400	7,700
Elizabethtown Auxiliaries	56,900	58,100	1,200
Jefferson Auxiliaries	206,800	289,000	82,200
Paducah Auxiliaries	61,300	73,300	<u>12,000</u> 105,100

C. Auxiliary Funds (continued)

3. Comments - Revised income estimates for auxiliary operations in the Community College System will fund the proposed expenditure increases set forth above.

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Action: Approved   X   Disapproved            Other           

Date:   April 4  , 1972

Office of the President  
April 4, 1972

PR 6

Members, Board of Trustees:

NAMING OF BUILDINGS

Recommendation: (a) that the new academic facility on the Jefferson Community College campus in Louisville, Kentucky, be designated the Hartford Building, and (b) that the new facility under construction in the Agricultural Science Center be designated as Agricultural Science Building South, and that the present building be designated Agricultural Science Building North.

It is also recommended that the present buildings and any new buildings erected in the complex at the corner of Nicholasville Road and Cooper Drive continue to be identified as the Agricultural Science Center, and that the auditorium continue to be identified as the William A. Seay Auditorium.

Background: The Building and Campus Development Committee, in accordance with its charge, recommends to the President and through him to the Board of Trustees the designations for the buildings listed above. The administration of the University concurs in the committee's recommendation and passes it on to the Board of Trustees for final action.

It seems appropriate that the new facility at the Jefferson Community College should be named for Dr. Ellis F. Hartford who has contributed so much to the growth and development of the Community College System of the University of Kentucky, and it is particularly fitting that he should be recognized in this manner.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   April 4  , 1972



## POLICY STATEMENT ON NAMING UNIVERSITY BUILDINGS

Naming new buildings and changing the names of existing structures of the University of Kentucky - whether on the main campus or elsewhere - is of interest to the entire university community. Choosing a truly appropriate name - one that accounts for present and possible future uses of the building, that reflects functions performed and interests served by so simple a thing as a name - is no easy task. There is a need to establish workable methods and standards for appropriately naming university buildings which will serve the following ends:

1. to aid the President and the Board of Trustees in selecting suitable names;
2. to encourage participation in the naming process by the university community, faculty, staff, alumni, trustees, and friends;
3. to make more generally understood the considerations involved in suitably naming university buildings.

### GUIDELINES

- I. All university buildings, such as the medical center, dormitories and athletic facilities which are dedicated to highly specialized uses that prevent their conversion to other functions, shall carry as part of their names terms which describe their uses, whatever other names may be given them. Functionally descriptive names attached to other types of buildings do not, however, prevent their later use for different purposes and with different names.
  - A. Functionally descriptive names for general purpose office-classroom and administrative buildings are generally to be discouraged since future changes in occupancy would require costly and time-consuming changes on each occasion.
- II. When some other name seems more appropriate than a functionally descriptive one, the nominations should demonstrate that the remarkable associations of that name with the history of the University or the nation with the advancement of knowledge and learning guarantee that it will remain memorable long beyond the lifetime of those who propose the name.

- A. Nominations whose claims are parochial, of recent date and untested by the passage of time, or based on personal enthusiasm are to be avoided. Considerable historical perspective should be exercised before proposing names. Nominations of persons on active service with the University, especially where that service is to be performed in a building so named, is at best in questionable taste and should not be considered except in the most extraordinary cases. Proposing the names of living persons no longer on active service with the University also should be approached as most exceptional cases.

III. Priorities governing the selection of names:

- A. All living units, athletic and recreational structures and areas, and specialized-function structures should carry in their names, terms describing their uses, such as House, Village, Field, Coliseum, whatever other names may be given them.
- B. Such structures or areas ought to be given names that carry some general significance for the University as a whole, as Memorial Coliseum now does. If personal names are to be considered, first priority ought to attach to those whose interest in the University has been expressed in some unusually significant manner, or to those whose names permanently and generally symbolize to a unique degree some important aspect of University life or values.
- C. Service structures should carry only functionally descriptive names.
- D. Community colleges and other off-campus structures should ordinarily be given names which describe their geographical location.
- E. General administrative and multi-purpose office and classroom buildings serving several disciplines or offices may properly carry names of historical significance to the University as a whole, as distinct from those names of interest only to a particular function or use.
- F. Buildings devoted to the interests of a single administrative or academic unit may carry names associated historically with that field of study or endeavor or names of those whose interests in that field and in the University merit the honor.

- G. It is appropriate to express the esteem and appreciation the University feels for one who has brought honor to the institution by his accomplishments, or who has given significantly of his time and money, by giving his name to a University structure or area. Wherever possible, however, his name should grace a structure that houses some activity appropriate to his field of interest or endeavor.

## PROCEDURES

- I. The duty of surveying and recommending proposed names to the President and the Board of Trustees shall be lodged in an advisory committee appointed by the President.
  - A. All nominations should be submitted to the chairman of this committee, together with a statement indicating the suitability of the proposed name in accordance with the guidelines.
  - B. All nominations should conform to these procedures and guidelines. If a peculiarly appropriate name does not so conform, the reasons for setting aside the usual criteria should accompany the nomination.
  - C. All nominations shall be held in the strictest confidence by all interested parties.
- II. It is not possible to establish hard and fast rules to govern every naming situation that may arise. An orderly approach, however, is indicated.
  - A. All new buildings should be named, wherever practicable, by the time preliminary architect's drawings are approved. Barring this, their names and the name changes of existing buildings should be determined as far as possible ahead of occupancy.
  - B. When no final determination of the name of a new building seems appropriate at the time of construction, it shall be given a functionally descriptive name until such time as circumstances warrant a permanent name.
  - C. The names of certain existing buildings which reflect participation in underwriting their construction by some donor, subscription, or other special circumstance, and whose original

use was designed for particular occupants, may come in question when occupancy is changed. In such cases, special consideration should be given to the destination of its original name, and consultations between all interested parties should precede recommendations to the Board of Trustees.

- D. The names of rooms in or of spaces within or adjoining buildings whose use will be confined to their occupants shall be left to the discretion of those administering the space during their term of occupancy, subject only to the approval of the committee and the President and to the provisions of the guidelines.



Finance Committee Report  
April 4, 1972

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the eight months ending February 29, 1972, be accepted.

Background: The February 29, 1972 report reflects income of \$85,743,545 for the period of the report; the income figure is 73.6% of the annual income estimate of \$116,499,943.

The expenditure and commitments represent a total of \$75,878,025 for this period of eight months, or 65.1% of the expenditure authority of \$116,499,943 approved by the Board.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   April 4  , 1972

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

March 14, 1972

Office of Vice President  
Business Affairs

Dr. Otis A. Singletary, President  
University of Kentucky  
Lexington  
Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the eight months ended February 29, 1972 is submitted herewith. This is the seventh such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.  
Vice President-Business Affairs  
and Treasurer

## Schedule 1

University of Kentucky  
Balance Sheet  
February 29, 1972  
With Comparative Figures for 1971

	<u>1972</u>	<u>1971</u>
<b>Current Funds:</b>		
<b>Unrestricted:</b>		
Cash in bank:		
Depository funds	\$ 2,624,001	\$ 924,402
Federal funds	271,345	67,291
Imprest funds	72,993	119,310
Total cash	<u>2,968,339</u>	<u>1,111,003</u>
State funds - unexpended balances:		
Trust and agency funds	4,617,426	5,851,447
General fund appropriation	4,059,587	3,766,038
Due from other funds and affiliated corporations	1,840,007	1,484,408
Accounts receivable	720,081	576,373
Notes receivable	72,194	72,544
Inventories	2,106,203	1,503,251
Unrealized income	<u>21,283,990</u>	<u>18,679,780</u>
<b>Total unrestricted</b>	<u>37,667,827</u>	<u>33,044,844</u>
<b>Restricted:</b>		
Cash in bank	658,805	174,106
Tobacco Research Trust Fund:		
Imprest funds	6,692	48,258
Trust and agency funds	3,202,843	1,585,502
Petty cash advances	152,908	151,925
Travel advances	66,240	57,643
Accounts receivable	29,594	31,344
Investments:		
Short-term	5,050,000	3,530,017
Other	854,882	842,616
Unrealized income:		
Restricted	2,333,566	1,578,681
Tobacco Research Trust Fund	<u>589,272</u>	<u>          </u>
<b>Total restricted</b>	<u>12,944,802</u>	<u>8,000,092</u>

University of Kentucky  
Balance Sheet  
February 29, 1972  
With Comparative Figures for 1971

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,352,695	\$ 2,268,630
Accounts payable--county funds	16,592	28,690
Due to Federal government	472,784	
Advance from state for imprest cash fund	650,000	650,000
Deferred income	1,537,168	1,536,636
Unencumbered balance, departmental appropriations	29,401,758	26,167,033
Fund balances:		
Allocated:		
Reserve for inventories	2,019,094	1,049,230
Reserve for notes receivable	71,190	71,190
Reserve for undistributed accrued payroll expense	336,000	
Reserve for student registration fees cancellation	238,257	
Workmen's Compensation payments	49,208	19,626
Future operating purposes	518,583	887,616
Unallocated	<u>4,498</u>	<u>366,193</u>
Total unrestricted	<u>37,667,827</u>	<u>33,044,844</u>
Restricted:		
Outstanding encumbrances	199,189	136,869
Due to other funds and affiliated corporations	3,821,336	2,903,150
Advance from state for imprest cash fund	12,000	50,000
Outstanding check liability	24,477	20,630
Undistributed collections and clearing	2,645,094	1,359,145
Unencumbered balance, departmental appropriations	1,647,898	1,273,246
Unencumbered balance, Tobacco Research Trust Fund appropriations	1,291,273	920,141
Fund balances:		
Restricted fund	1,038,949	802,241
Tobacco Research Trust Fund--unallocated funds	<u>2,264,586</u>	<u>534,670</u>
Total restricted	<u>12,944,802</u>	<u>8,000,092</u>

University of Kentucky  
Balance Sheet  
February 29, 1972

With Comparative Figures for 1971

	<u>ASSETS</u>	
	<u>1972</u>	<u>1971</u>
Hospital and clinics:		
Cash in bank-depository funds	\$ 136,496	\$ 699,775
Trust and agency funds	1,063,388	330,443
Accounts receivable, net of allowance for doubtful accounts	2,686,843	4,128,328
Inventories	306,502	344,305
Unrealized income	4,013,263	2,747,016
State funds unexpended balances - general fund appropriation		<u>33,567</u>
Total hospital and clinics	<u>8,206,492</u>	<u>8,283,434</u>
Housing and dining system:		
Cash	506,628	1,907,424
Due from other funds and affiliated corporations	2,567,266	907,845
Accounts receivable	241,549	396,460
Inventories	725,807	683,530
Prepaid expenses	141,657	123,435
Accrued interest income		<u>246</u>
Total housing and dining system	<u>4,182,907</u>	<u>4,018,940</u>
Auxiliary enterprises:		
Cash in bank	97,956	33,485
Due from other funds and affiliated corporations	519,558	769,922
Accounts receivable	22,864	11,331
Inventories	338,987	317,714
Unrealized income	<u>363,161</u>	<u>540,162</u>
Total auxiliary enterprises	<u>1,342,526</u>	<u>1,672,614</u>
<b>TOTAL CURRENT FUNDS</b>	<u>\$ 64,344,554</u>	<u>\$ 55,019,924</u>

University of Kentucky  
 Balance Sheet  
 February 29, 1972  
 With Comparative Figures for 1971

	<u>1972</u>	<u>1971</u>
<b>LIABILITIES AND FUND BALANCES</b>		
Hospital and clinics:		
Outstanding encumbrances	\$ 142,739	\$ 99,236
Due to other funds	49,939	21,450
Unexpended balance, departmental appropriations	5,527,792	5,533,392
Fund balances--allocated:		
Reserve for inventories	326,816	366,932
Reserve for accounts receivable	<u>2,159,206</u>	<u>2,262,424</u>
Total hospital and clinics	<u>8,206,492</u>	<u>8,283,434</u>
Housing and dining system:		
Accrued wages	70,384	64,867
Accounts payable	170,681	288,003
Accrued expenses	368,500	385,632
Deferred income	1,685,947	1,534,596
Fund balances:		
Funds for renewals and replacements	1,344,296	1,310,485
Allocated for future operating purposes	<u>543,099</u>	<u>435,357</u>
Total housing and dining system	<u>4,182,907</u>	<u>4,018,940</u>
Auxiliary enterprises:		
Outstanding encumbrances	161,695	89,993
Unencumbered balance, departmental appropriations	481,316	1,032,477
Fund balances:		
Reserve for inventories	338,987	317,714
Funds for renewals and replacements	7,348	21,729
Allocated for future operating purposes	<u>353,180</u>	<u>210,701</u>
Total auxiliary enterprises	<u>1,342,526</u>	<u>1,672,614</u>
<b>TOTAL CURRENT FUNDS</b>	<u><u>\$ 64,344,554</u></u>	<u><u>\$ 55,019,924</u></u>

Schedule 1

University of Kentucky  
Balance Sheet  
February 29, 1972  
With Comparative Figures for 1971

ASSETS

	1972	1971
Loan Funds:		
Notes receivable	\$ 6,225,859	\$ 5,353,999
Due from Federal government	13,725	12,119
Due from United Student Aid loan fund	2,000	2,000
Due from other funds	110,640	

TOTAL LOAN FUNDS

	\$ 6,352,224	\$ 5,368,118
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Endowment Funds:

Cash	\$ 4,234	\$ 10,335
Accounts receivable		1,159
Investments:		
Short-term		1,000
Other		812,601

TOTAL ENDOWMENT FUNDS

	\$ 1,035,873	\$ 825,095
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Plant Funds:

Unexpended plant funds:		
Cash on deposit with State Treasurer	\$ 1,308,766	\$ 1,006,108
Capital construction funds	18,467,624	18,397,961
Due from Federal government	1,607,579	2,948,246
Due from other funds		145,485
Construction funded	116,144,741	105,991,176

Total unexpended plant funds

	137,528,710	128,488,976
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University of Kentucky  
Balance Sheet  
February 29, 1972

Schedule 1

With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Loan Funds:		
Due from other funds		\$ <u>74,945</u>
Federal sponsored loan funds:		
Federal government	\$ 5,539,004	4,587,234
University matching funds	453,046	420,421
Accumulated interest income	227,816	168,599
Total Federal sponsored loan funds	<u>6,219,866</u>	<u>5,176,254</u>
University loan funds:		
University student loan funds	54,761	46,089
University special student loan funds	75,597	68,830
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>132,358</u>	<u>116,919</u>
TOTAL LOAN FUNDS	<u>\$ 6,352,224</u>	<u>\$ 5,368,118</u>
Endowment Funds:		
Fund balances	<u>\$ 1,035,873</u>	<u>\$ 825,095</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,035,873</u>	<u>825,095</u>
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 6,264,396	\$ 7,299,197
Due to other funds	21,500	23,050
Due to Commonwealth of Kentucky		966,666
Notes payable		29,710,966
Bonds payable	23,470,886	
Appropriations-unencumbered balances	11,977,341	12,747,903
University equity in projects	<u>95,794,587</u>	<u>77,741,194</u>
Total unexpended plant funds	<u>137,528,710</u>	<u>128,488,976</u>



University of Kentucky  
Balance Sheet  
February 29, 1972  
With Comparative Figures For 1971

	1972	1971
<u>ASSETS</u>		
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 326,843	\$ 864,962
Cash on deposit with Trustee	257,500	245,000
Unrealized income	919,311	913,757
Total retirement of indebtedness funds	1,503,654	2,023,719
Sinking funds:		
Cash on deposit with Trustee	3,047,460	892,666
Investments held by Trustee	11,280,750	12,271,957
Total sinking funds	14,328,210	13,164,623
Invested in plant:		
Land	10,916,659	5,711,982
Buildings	159,154,575	148,547,551
Equipment	38,706,371	36,071,744
Total invested in plant	208,777,605	190,331,277
TOTAL PLANT FUNDS	\$362,138,179	\$334,008,595
Agency Funds:		
Cash in bank	\$ 8,150	\$ 5,900
Due from other funds	251,950	678,272
Investments-short term	3,000	3,000
TOTAL AGENCY FUNDS	\$ 263,100	\$ 687,172

University of Kentucky  
 Balance Sheet  
 February 29, 1972  
 With Comparative Figures for 1971

Schedule 1

	1972	1971
<u>LIABILITIES AND FUND BALANCES</u>		
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 1,176,811	\$ 1,935,399
Funds balances	326,843	88,320
	1,503,654	2,023,719
Total retirement of indebtedness funds		
Sinking funds:		
Bonds payable	4,689,000	4,908,000
Funds balances	9,639,210	8,256,623
	14,328,210	13,164,623
Invested in plant:		
Notes payable		14,689,034
Bonds payable	78,413,613	51,799,000
Due to other funds		25,766
Net investment in plant	130,363,992	123,817,477
	208,777,605	190,331,277
Total invested in plant		
	\$ 362,138,179	\$ 334,008,595
Agency Funds:		
Agency funds balances	\$ 263,100	\$ 687,172
	\$ 263,100	\$ 687,172
TOTAL AGENCY FUNDS		

University of Kentucky  
 Schedule of Changes in Fund Balances - Current Funds  
 Eight Months Ended February 29, 1972

	<u>Unallocated</u>	<u>Operating</u>	<u>Restricted</u>	<u>Tobacco</u>	<u>Hospital and</u>	<u>Housing and</u>	<u>Auxiliary</u>
				<u>Research</u>	<u>Clinics</u>	<u>Dining System</u>	<u>Enterprises</u>
				<u>Trust</u>		<u>Funds for</u>	
				<u>Fund</u>		<u>Renewals and</u>	
						<u>Replacements</u>	
Balance, July 1, 1971	\$ 2,076,086	\$ 3,283,583	\$ 1,038,949	\$ 2,264,586	\$ 2,485,388	\$ 1,305,884	\$ 609,714
Allocations:							
Current Operations:							
Original budget		(2,765,000)					
Current year provisions				400,000		352,680	
Expended	(2,081,100)			(400,000)		(314,268)	(469)
Parking account							(14,321)
Renovation of furniture and fixtures							(170,815)
Printing and duplicating equipment							(70,927)
Adjustments of prior year expenditures	9,512				634		
Balances, February 29, 1972	\$ 4,498	\$ 518,583	\$ 1,038,949	\$ 2,264,586	\$ 2,486,022	\$ 1,344,296	\$ 353,182

Schedule of Changes in Unrestricted Fund and  
Hospital Estimated Income  
For the Eight Months Ended February 29, 1972

	Original Budget	Interim Adjustment			Adjusted Budget
		July through December	January	February	
Unrestricted Fund:					
Student fees	\$ 10,204,800	\$ (13,500)	\$ 11,500		\$ 10,202,800
State appropriations	57,283,700	(56,279)	70,000		57,297,421
Federal appropriations	6,842,716	276,898			7,119,614
County appropriations	845,943				845,943
Endowment and trust fund income	157,200				157,200
Gifts and grants	2,741,500	313,000	(11,500)		3,043,000
Sales, services and other income	2,745,800	15,500	96,500		2,857,800
Unallocated fund balances appropriated	2,765,000	1,681,100			4,446,100
Total unrestricted	\$ 83,586,659	\$ 2,216,719	\$ 166,500		\$ 85,969,878
Hospital and clinics:					
State appropriations	\$ 4,297,600	\$ 56,279	\$ (70,000)		\$ 4,283,879
Hospital income	9,875,328				9,875,328
Unallocated fund balances appropriated		400,000			400,000
Total hospital and clinics	\$ 14,172,928	\$ 456,279	\$ (70,000)		\$ 14,559,207

Schedule of Changes in Unrestricted Fund  
and Hospital Expenditure Appropriations  
For the Eight Months Ended February 29, 1972

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 83,586,659	\$ 14,172,928	\$ 97,759,587
Transfers from unrestricted to Hospital and clinics	( 2,762)	2,762	
Increases ( decreases) as approved by Board of Trustees:			
August			
September	300,000		300,000
October	( 3,500)		( 3,500)
November	( 53,517)	53,517	
December	1,976,498	400,000	2,376,498
January			
February	166,500	( 70,000)	96,500
Total per Report	\$ 85,969,878	\$ 14,559,207	\$ 100,529,085









University of Kentucky  
 Schedule of Short Term Investments  
 For the Eight Months Ended February 29, 1972

	<u>Maturity Value</u>	<u>Cost</u>
<b>Restricted Current Funds:</b>		
<b>Government securities:</b>		
Federal Home Loan Bonds, 5.75%, 11-27-72	\$ 210,000	\$ 210,000
Federal Home Loan Bonds, 6.25%, 8-25-72	255,000	255,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
Federal Land Bank Bonds, 6.5%, 10-23-72	335,000	335,000
FIC Bank Bonds, 6.25%, 5-1-72	500,000	500,000
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	130,000	130,000
FIC Bank Bonds, 5.55%, 6-1-72	200,000	200,000
FIC Bank Bonds, 5.55%, 7-3-72	100,000	100,000
FIC Bank Bonds, 5.85%, 4-3-72	200,000	200,000
		<u>2,150,000</u>
<b>Total government securities</b>		
<b>Corporate notes:</b>		
Penn Central Transportation Company, 8.875%, 6-29-70	500,000	<u>481,017</u>

University of Kentucky  
 Schedule of Short Term Investments  
 For the Eight Months Ended February 29, 1972

Restricted Current Funds ( continued )	Maturity Value	Cost
Certificates of deposit:		
First Security National Bank & Trust Company, 5%, 3-8-72	\$ 100,000	\$ 100,000
Bank of Lexington, 5.25%, 3-8-72	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-72	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-72	100,000	100,000
Second National Bank & Trust Company, 5.875%, 6-30-72	300,000	300,000
Citizens Union National Bank & Trust Company, 6%, 6-26-72	100,000	100,000
First Security National Bank & Trust Company, 6.125%, 6-30-72	300,000	300,000
Citizens Union National Bank & Trust Company, 5.05%, 1-18-73	600,000	600,000
Second National Bank & Trust Company, 5%, 1-18-73	600,000	600,000
Bank of Commerce and Trust Company, 5%, 1-18-73	500,000	<u>500,000</u>
<b>Total certificates of deposit</b>		<u><b>2,900,000</b></u>
<b>Total Restricted Current Funds</b>		<b>5,531,017</b>
Less Allowance for Decline in Market Value of Securities		<u>481,017</u>
<b>NET RESTRICTED CURRENT FUNDS</b>		<u><u><b>\$5,050,000</b></u></u>
<b>Agency Funds-Certificates of deposit:</b>		
First Security National Bank & Trust Company, 5%, 3-2-72	\$ 3,000	<u><u><b>\$ 3,000</b></u></u>

Schedule of Unexpended Plant Funds

For the Eight Months Ended February 29, 1972

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Subschedule A</u>					
Consolidated Educational Bonds C & D ( June 8, 1971 Minutes of the Board )	\$ 11,980,250	\$ 11,935,751	\$ 11,935,751	\$ 11,935,751	
Classroom-Office Building 123 and 129	5,390,399	5,390,399	5,386,679	5,172,611	\$ 214,068
Agricultural Sciences - Lab Building # 2-749	3,752,074	3,752,074	3,752,074	3,752,074	
Appurtenant Facilities--Parking Structures-916					
Appurtenant Facilities - Utilities	5,144,744	5,123,006			
Medical Center -Relocation of Cooling Tower-302		1,794,413		1,754,644	39,769
Electrical Substation # 2-Central Campus-919		702,337		702,337	
Cooling Plant # 2-Central Campus-920		1,184,592		1,183,507	1,085
Central Heating Plant-Aux. Equipment-921		426,351		426,351	
Utility Distribution System-935		367,284		367,284	
Cooling Plant # 1-Expansion-942		632,625		597,002	35,623
Subtotal	5,144,744	5,123,006	5,107,602	5,031,125	76,477
Utilities Construction FCR-3, December 8, 1970	1,300,000	1,232,526			
Interconnection of Steam Lines - Medical Center and Upper Street Heating Plants-324			708,428	672,961	35,467
Chilled Water Steam and Electrical Distribution					
Multidiscipline-973		524,098		524,098	
Subtotal	1,300,000	1,232,526	1,232,526	1,197,059	35,467
Conversion of Electrical Distribution System FCR-4					
March 16, 1971	1,450,000	1,551,631			
Replacement of Boilers-Upper Street Heating Plant-975			1,058,781	252,478	806,303
Conversion from 4KV to 12KV-976			27,415	20,434	6,981
Subtotal	1,450,000	1,551,631	1,086,196	272,912	813,284

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
	Approved	Project Cost				
<u>Main Campus-Subschedule A-continued</u>						
Appurtenant Facilities-Permanent Surface Parking	\$ 355,598	\$ 355,298				
Surface Parking- Lighting and Landscaping-936		\$ 291,453	\$ 291,453	\$ 291,453	\$ 291,453	
Surface Parking-Harrison and Euclid Avenues-963		61,526	61,526	61,526	61,526	
Site Clearance-107		2,319	2,319	2,319	2,319	
Subtotal	355,598	355,298	355,298	355,298	355,298	
Appurtenant Facilities-Pedestrian Access & Light-937	345,598	318,992	318,992	318,992	318,992	
Lab Office & Studio Facilities-University Wide	1,684,101	1,647,591		101,423	101,423	
Arts Building for Metal Casting-601			1,546,168	1,546,168	1,546,168	Completed
Prefabricated Research Lab. Phase I-934	1,684,101	1,647,591	1,647,591	1,647,591	1,647,591	
Subtotal	424,494	424,494	424,494	424,494	424,494	
Complete Interior Reconstruction-Memorial Hall-930	4,500,000	4,507,000	131,085	117,194	117,194	13,891
Patient Care Services ( June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969 )-307	3,700,000	2,337,898	2,337,898	161,744	161,744	2,176,154
Addition to Margaret I. King Library ( June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)-938	472,708	437,229	437,229	437,229	437,229	
Substantial Interior Reconstruction-Portion Library Service Building-924	480,490	491,518	491,518			
Appurtenant Facilities-Site Preparation ( FCR-7, October 20, 1970)				427,490	427,490	13,078
Veterans Administration Hospital Site Preparation and Clearance -941				64,028	64,028	6,523
Veterans Administration Hospital-Connecting Bridge-943				491,518	491,518	19,601
Subtotal	480,490	491,518	491,518	491,518	491,518	19,601

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 5

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule A-continued</u>					
Laboratory Office Facilities-967 -FCR-2 , May 5, 1970	\$ 2,300,000	\$ 2,300,000	\$ 2,131,142	\$ 2,013,962	\$ 117,180
Site Clearance-107			3,478	3,478	
Subtotal	2,300,000	2,300,000	2,134,620	2,017,440	117,180
Health, Physical Education and Recreation Bldg. -968 ( FCR-2 May 5, 1970 )	2,500,000	2,560,800	2,560,800	2,467,915	92,885
Completed Projects	420,186	420,186	420,186	420,186	Completed
Total	46,200,642	44,786,393	39,760,539	36,201,532	3,559,007
Reserve for Additional Projects	524,187	1,300,000			
Legal, Administrative and Interest Expense	5,842,218	4,930,411	4,930,411	4,928,761	1,650
Contingency and Clearing Account-900		1,677,829	5,093,650		5,093,650
Total Subschedule A	\$ 52,567,047	\$ 52,694,633	\$ 49,784,600	\$ 41,130,293	\$ 8,654,307

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

<u>Main Campus-Subschedule A-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$ 34,700,000	\$ 34,700,000	
Series C Bonds	4,290,000	4,290,000	
Interest Income	3,762,089	3,762,089	
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	43,563,328	43,563,328	
General Obligation Bonds:			
Utilities-FCR-3, December 8, 1970	1,350,000	1,350,000	
Planning- PR 11, January 29, 1969	139,864	139,864	
Administrative Meeting, November 9, 1971	457,216	457,216	
Proceeds from Fire Loss	179,684	179,684	
Hill Burton Funds	2,300,000	\$ 2,300,000	
Veterans Administration	659,254	232,293	426,961
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,262,284	183,072
Title II Grants	1,129,070	1,129,070	
Trust and Agency ( Furniture and Equipment-Service Building)	17,000	17,000	
<b>Total Source of Funds</b>	<b>\$ 52,694,633</b>	<b>\$ 49,784,600</b>	<b>\$ 2,910,033</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Main Campus -Subschedule A -continued

SUMMARY OF UNAPPROPRIATED FUNDS -SERIES C & D Bonds

Balance in Clearing Account	\$ 5,093,650
Unrealized Income	<u>2,910,033</u>
Subtotal	8,003,683
Additional Allotments:	
Patient Care Services	\$ 4,375,915
Appurtenant Facilities -Utilities	15,404
Conversion of Electrical Distribution System	465,435
Agr. Sciences Lab	3,720
Lab Office Facility	<u>165,380</u>
Total Additional Allotments	<u>( 5,025,854)</u>
Balance Available	\$ 2,977,829

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule B

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus Planning-Subschedule B</u>					
<u>Consolidated Educational Bonds ( not assigned )</u>					
( PR 10 & 11-January 29, 1969 )					
Lab Office Building-Biological Sciences-Phase I-940	\$ 10,000,000	\$ 11,378,000	\$ 141,625	\$ 105,254	\$ 36,371
Computer Facilities ( Cancelled )-962	2,800,000	6,208	6,208	6,208	
Medical Center - New Reconstruction and Renovation	8,500,000	8,500,000			
Addition of 2 Floors-301			10,000	10,000	
Handicapped Children's Center -939			10,000	10,000	
Subtotal	8,500,000	8,500,000	20,000	20,000	
Total Subschedule B	\$ 21,300,000	\$ 19,884,208	\$ 167,833	\$ 131,462	\$ 36,371

Source of Funds:  
General Obligation Bonds \$ 167,833



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Subschedule C</u>					
<u>Non-Recurring Maintenance Items ( FCR-6, April 2, 1968 &amp; PR-5 November 10, 1968 )</u>	\$ 42,000	\$ 16,577	\$ 16,577	\$ 16,577	
Roof Repairs-Engr. Building-922					
Air Conditioning- Bowman, Bradley, Breckinridge and Kinkead-138	387,410	371,843	371,843	371,843	
Centrex-Conduit for Medical Center-321	57,830	52,044	52,044	52,044	
Administration Building-Air Conditioners 39-090	11,750	11,750	11,750	11,685	65
Roof Repairs-Administration Annex-969	8,000	14,760	14,760	14,760	
Campus Utilities Electrical-119-972		138,321	64,953	17,779	47,174
Barker Hall-Sandblasting-977		13,892	13,892	13,240	652
 Cancelled Projects	 349,000				
 Completed Projects	 294,010	 290,462	 290,462	 290,462	 Completed
 Total	 1,150,000	 909,649	 836,281	 788,390	 47,891
 Unallotted Non-Recurring Maintenance			141,258		141,258
 Contingency		67,890			
 Total Subschedule C	 \$ 1,150,000	 \$ 977,539	 \$ 977,539	 \$ 788,390	 \$ 189,149

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

<u>Subschedule C-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
University Fund Balances	\$ 25,000	\$ 25,000	
General Obligation Bonds	41,390	41,390	
Restricted	1,928	1,928	
Renovation Funds-1969-70	1,540	1,540	
Fund Balances-			
PR-5, November 19, 1968	900,000	900,000	
FCR-6, April 2, 1968	112,400	112,400	
FCR-6, April 2, 1968	137,600	137,600	
Transferred to Minor Renovation Funds	( 194,000)	( 194,000)	
Transferred to State Capital Construction Projects	( 55,000)	( 55,000)	
Athletic Association	<u>6,681</u>	<u>6,681</u>	
Total Source of Funds	<u>\$ 977,539</u>	<u>\$ 977,539</u>	

SUMMARY OF UNAPPROPRIATED FUNDS-NON-RECURRING MAINTENANCE

Balance in Clearing	\$ 141,258
To be Allotted	<u>(73,368)</u>
Balance Available	\$ 67,890

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule D</u>					
State Capital Construction Funds-FCR-3, (February 18, 1969)		\$ 3,720	\$ 3,720	\$ 3,720	
Agricultural Regulatory Service Facilities-745	\$ 100,000	145,185	145,185	109,472	\$ 35,713
Community Medicine Facility-Myocology and Animal Tuberculosis-322	250,000	336,345	336,345	336,345	
Renovation of Engineering Quadrangle-111	250,000	277,485	277,485	268,592	8,893
Development of Intramural Fields-118	220,000	270,014	270,014	266,893	3,121
Renovation of Neurosurgery-960					
Quicksand Wood Utilization Center Trailers-109	150,000	172,141	145,830	145,830	
Completed Projects			26,311	26,311	Completed
Subtotal	150,000	172,141	172,141	172,141	
ETV Equipment for Community Colleges-518	100,000	118,109	110,538	87,814	22,724
Jefferson Community College-ETV-555			7,571	6,999	572
Subtotal	100,000	118,109	118,109	94,813	23,296
Contingency	3,500	26,501			
Undistributed ( not allotted specific projects )--400			81,664		81,664
<b>Total Subschedule D</b>	<b>\$ 1,073,500</b>	<b>\$ 1,349,500</b>	<b>\$ 1,404,663</b>	<b>\$ 1,251,976</b>	<b>\$ 152,687</b>
<u>Source of Funds:</u>	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>		
Board Action FCR-3	\$ 1,853,500	\$ 1,853,500			
Transferred to Ag. Science Building II	( 500,000)	( 500,000)			
Transferred from Non-Recurring Maintenance	55,000	55,000			
Restricted Funds ( Engr. Equipment Account )	41,000	41,000			
Transferred to Miscellaneous Construction	( 100,000)		\$ ( 100,000)		
Temporary Advance to Subschedule F. a.		( 44,837)	44,837		
<b>Total Source of Funds</b>	<b>\$ 1,349,500</b>	<b>\$ 1,404,663</b>	<b>\$ ( 55,163)</b>		

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Subschedule D-continued

SUMMARY OF UNAPPROPRIATED FUNDS-STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 81,664
Unrealized Transfers	( 100,000)
Temporary Advance to be Repaid	<u>44,837</u>
Balance Available	26,501

University of Kentucky Schedule 8

Schedule of Unexpended Plant Funds  
For the Eight Months Ended February 29, 1972

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>Main Campus-Subschedule E</b>					
<b>Major Improvements - ( PR-6, November 10, 1967 ) &amp; FCR-2, December 9, 1969 )</b>	\$ 136,500	\$ 136,541	\$ 136,541	\$ 136,541	
Substantial Interior Reconstruction Portions	150,000	225,405	225,405	215,073	\$ 10,332
Anderson Hall ( Engr. Quadrangle)-922	1,223,350	1,288,862	1,288,862	1,288,862	Completed
Minor Interior Changes & Major Mech & Electrical Highway Materials Research Lab	95,500				
Graham Avenue )-932	194,650	16,349	22,146		22,146
Completed Projects					
Cancelled Projects					
Contingency and Clearing Account 39-700	1,800,000	1,667,157	1,672,954	1,640,476	32,478
Subtotal PR-6					
<b>Demolition of Houses-107</b>		58,262	52,465	50,378	2,087
<b>Flammable Storage Buildings-101</b>		47,347	47,347	47,347	
<b>Total Subschedule E</b>	<b>\$ 1,800,000</b>	<b>\$ 1,772,766</b>	<b>\$ 1,772,766</b>	<b>\$ 1,738,201</b>	<b>\$ 34,565</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

<u>Main Campus-Subschedule E-continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
Fund Balances-PR-6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds -		
FCR-2, December 9, 1969	\$ 786,624	
Available Balances Returned	<u>(10,097)</u>	
Total G. O. B.		
Grants	776,527	776,527
Trust and Agency	136,588	136,588
University Funds	10,000	10,000
Restricted Funds	4,982	4,982
Subtotal	<u>28,066</u>	<u>28,066</u>
	<u>1,969,539</u>	<u>1,969,539</u>
Less:		
Sewer Connection Fee-FCR-3, March 12, 1968	( 35,000)	( 35,000)
Transferred to Miscellaneous Construction Schedule	(150,000)	(150,000)
Transferred to Research Feed Processing Center	<u>(11,773)</u>	<u>(11,773)</u>
Total Source of Funds	<u>\$ 1,772,766</u>	<u>\$ 1,772,766</u>
<u>SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS</u>		
Balance in Clearing	\$ 22,146	
Additional Allotments	<u>( 5,797)</u>	
Balance Available	\$ 16,349	

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

Main Campus-Subschedule F

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<b>a. Others</b>					
Closed Circuit TV	\$ 70,000	\$ 71,059			
Engr. & Commerce Buildings-966		\$ 9,854	\$ 9,854	\$ 9,854	
Completion Classroom Office 39-076		9,500	9,500	9,500	
T. V. Receivers and Tape 39-079		40,024	40,024	36,912	\$ 3,112
Completed Projects		11,681	11,681	11,681	Completed
Subtotal	70,000	71,059	71,059	67,947	3,112
Miscellaneous Parking Lot Construction					
1970-71 ( FCR-4, June 17, 1969 )-964, 970	200,000	246,400	246,400	233,294	13,106
1971-72 ( FCR-2, June 9, 1970 )-165, 166	200,000	200,000	43,164	40,671	2,493
Subtotal	400,000	446,400	289,564	273,965	15,599
Coldstream Research Feed Processing Center-746					
(FCR-3, June 9, 1970 )	293,475	293,475	293,475	279,782	13,693
South Farm Horticulture-Storage and Research-750					
Agr. Service-Agronomy Seed House and Lab-928	72,601	72,601	72,601	72,601	
College of Agriculture-Seed Building-556	325	325	325	325	
Fire Escape- Jewell and Reynolds-905	250,000	250,000	250,000	58,222	250,000
Alterations to Doctor's Parking Lot-325	58,222	58,222	58,222	58,222	
Shively Sports Center Swimming Pool-978	4,500	4,500	4,500	4,500	
New Facilities for WBKY-979	58,837	58,837	58,837	1,200	800
Renovation Frazee Hall-974	11,773	11,773	11,773	52,750	6,087
Funkhouser-Biological Sciences-931	1,000	1,000	1,000	1,000	Completed
<b>Total Subschedule F. a.</b>	<b>763,475</b>	<b>1,268,192</b>	<b>1,113,356</b>	<b>824,065</b>	<b>289,291</b>

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Main Campus-Subschedule F -continued</u>			
<u>Source of Funds-Subschedule F. a.</u>			
Auxiliary Enterprises ( Parking Fees )	\$ 446,400	\$ 446,400	
Parking Fees Reserved in Clearing Account		( 156,836)	\$ 156,836
Special State Appropriation	250,000	250,000	
State Fire and Tornado Fund	134,256	134,256	
USDA Grants	164,503	164,503	
HEW Broadcasting Facilities Grant	44,837		44,837
70-71 Renovation Funds	86,773	86,773	
69-70 Renovation Funds	325	325	
Fund Balances	93,025	93,025	
Transferred from PR-6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	1,268,192	1,066,519	201,673
Advance from Fund Balances		2,000	( 2,000)
Advance from State Capital Construction Funds		44,837	( 44,837)
<b>Total</b>	<b>1,268,192</b>	<b>1,113,356</b>	<b>154,836</b>

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Expenditures and</u>	<u>Balance of</u>
	<u>Amount</u>	<u>Realized</u>	<u>Encumbrances</u>	<u>Allotment</u>
b. Purchase of computer-103 ( FCR-2, December 9, 1969	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344
<b>Total</b>	<b>2,007,344</b>	<b>2,007,344</b>	<b>2,007,344</b>	<b>2,007,344</b>

	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds -Subschedule F. b.</u>		
<u>FCR-2</u>		
Trust and Agency	\$ 1,819,612	\$ 1,819,612
Restricted Funds	187,732	187,732
<b>Total</b>	<b>2,007,344</b>	<b>2,007,344</b>



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

<u>Main Campus - Subschedule F - continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
c. Property Acquisitions-135	\$3,800,000	\$3,817,507	\$3,817,507	\$3,448,307	\$ 369,200
<u>Source of Funds - Subschedule F. c.</u>	<u>Amount</u>	<u>Realized</u>			
General Obligation Bonds					
FCR-1, May 6, 1969	\$2,300,000				
FCR-2, December 9, 1969	<u>187,732</u>				
Subtotal	2,487,732	2,487,732			
Restricted Fund Balances					
PR-5, November 19, 1968	900,000				
FCR-2, December 9, 1969	<u>(187,732)</u>				
Phasing Out Operations of South End Frozen Food Locker	( 9,517)				
Transfer of Land Sale Proceeds	<u>( 18,106)</u>				
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve	32,450				
Agriculture Department	14,074				
PR-7, May 5, 1970	100,000				
PR-4, January 19, 1971	<u>400,000</u>				
Subtotal	<u>546,524</u>	<u>546,524</u>			
Total	<u>3,817,507</u>	<u>3,817,507</u>			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

	<u>Board</u>	<u>Estimated</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> and <u>Encumbrances</u>	<u>Balance</u> of <u>Allotment</u>
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Main Campus-Subschedule F. continued

d. Completed Projects ( Medical Center - Hill Burton )      \$ 25,398,144 \$ 25,286,631 \$ 25,286,631 \$ 25,286,631

Source of Funds-Subschedule F. d.

	<u>Amount</u>	<u>Realized</u>
Federal Grants	10,192,147	10,192,147
Special State Appropriation	15,094,484	15,094,484
Total	25,286,631	25,286,631

	<u>Board</u>	<u>Estimated</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> and <u>Encumbrances</u>	<u>Balance</u> of <u>Allotment</u>
e. Housing and Dining Complex	1,947,242	1,894,241	1,894,241	1,894,241	1,894,241	

Source of Funds-Subschedule F. e.

	<u>Amount</u>	<u>Realized</u>
Series H & I Bonds	1,922,821	1,922,821
Trust and Agency	24,420	24,420
Transferred to Subschedule F. f.	( 53,000)	( 53,000)
Total	1,894,241	1,894,241

f. Undistributed Portion of Fund Balances -100

	<u>Board</u>	<u>Estimated</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> and <u>Encumbrances</u>	<u>Balance</u> of <u>Allotment</u>
Restricted Funds				8,031		8,031
University Funds Reserved for Additional Projects				*		
University Funds Reserved for Parking				156,836		156,836
Unallocated H & I Bonds				53,000		53,000
Unallocated				253,973*		253,973
Total Subschedule F. f.				471,840		471,840

Total Subschedule F.

	\$ 33,916,205	\$ 34,273,915	\$ 34,590,919	\$ 33,460,588	\$ 1,130,331
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\* Funds were temporarily advanced to Madisonville Community College: \$ 400,000 from reserve for additional projects and \$ 82,222 from unallocated fund balances.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule G</u>					
<u>General Obligation Bonds</u>					
Completed Projects FCR-2, June 9, 1970	\$ 196,507	\$ 190,005	\$ 190,005	\$ 190,005	Completed
Contingency		5,231			
Unallocated General Obligation Bonds			172,433		\$ 172,433
<b>Total Subschedule G</b>	<b>\$ 196,507</b>	<b>\$ 195,236</b>	<b>\$ 362,438</b>	<b>\$ 190,005</b>	<b>\$ 172,433</b>
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
General Obligation Bond Proceeds	\$ 5,783,000	\$ 5,783,000			
Transferred to Other Schedules:					
Subschedule A ( C & D Bonds )	( 1,947,080)	( 1,947,080)			
Subschedule B ( Main Campus Planning )	( 167,833)	( 167,833)			
Subschedule C ( Non-Recurring Maintenance )	( 41,390)	( 41,390)			
Subschedule E ( Major Improvements )	( 776,527)	( 776,527)			
Subschedule F. c. ( Property Acquisitions )	( 2,487,732)	( 2,487,732)			
Subschedule H ( Miscellaneous Construction )	( 167,202)		\$ ( 167,202)		
<b>Total Source of Funds</b>	<b>\$ 195,236</b>	<b>\$ 362,438</b>	<b>\$ ( 167,202)</b>		

University of Kentucky  
Schedule of Unexpended Plant Funds  
For the Eight Months Ended February 29, 1972

Subschedule G-continued

SUMMARY OF UNAPPROPRIATED FUNDS-GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 172,433
Unrealized Transfers	<u>( 167,202)</u>
Balance Available	\$ 5,231

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

	Administrative Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule H</u>					
Miscellaneous Construction ( November 9, 1971					
Administrative Meeting)					
Renovation Lafferty Hall-971	\$ 305,000	\$ 305,000	\$ 15,000	\$ 2,000	\$ 13,000
Taylor Education Building-North Wing Renovation-117	140,000	140,000	26,198	1,000	25,198
Hospital Elevators -984	200,000	200,000			
Medical Center-Exterior Repairs	120,000	120,000			
Roof Repairs-985	50,000	50,000			
Sandblasting and Tuckpointing-983	125,000	125,000			
Carnahan House-Expansion of Restrooms-982	25,000	25,000			
Subtotal	965,000	965,000	41,198	3,000	38,198
Reserve for Academic Projects					
Unallocated Miscellaneous Construction 40-800	1,300,000	1,271,700*	464,200		464,200
Total Subschedule H	\$ 2,265,000	\$ 2,236,700	\$ 505,398	\$ 3,000	\$ 502,398

Source of Funds:	Amount	Realized	Unrealized
Fund Balances ( Administrative Meeting, November 9, 1971 )	\$ 1,464,100		\$ 1,464,100
Transferred from Non-Recurring Maintenance	185,000	\$ 185,000	
Transferred from Major Improvements PR-6	150,000	150,000	
Transferred from 1969-70 Renovation Funds	126,198	126,198	
Transferred from 1970-71 Renovation Funds	3,500	3,500	
Transferred from 1971-72 Renovation Funds	69,000	69,000	
Transferred from State Capital Construction Funds	100,000		100,000
Transferred from General Obligation Bonds	167,202		167,202
Transferred to Subschedule K	( 28,300)	( 28,300)	
Total Source of Funds	\$ 2,236,700	\$ 505,398	\$ 1,731,302

SUMMARY OF UNAPPROPRIATED FUNDS-MISCELLANEOUS CONSTRUCTION

Balance in Clearing	\$ 464,200
Unrealized Income	1,731,302
To be Allotted	( 923,802)
Balance Available	\$ 1,271,700

\* Reserve for academic projects has been reduced by \$ 28,300 transferred for acquisition of classroom furniture.

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

<u>Community Colleges-Subschedule I</u> <u>Consolidated Education Bonds-Series A &amp; B ( FCR-2</u> <u>November 17, 1970 )</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
	<u>Approved</u>	<u>Project Cost</u>	<u></u>	<u>and</u>	<u>of</u>
	<u></u>	<u></u>	<u></u>	<u>Encumbrances</u>	<u>Allotment</u>
Ashland Academic Facilities-523	\$ 3,352,770	\$ 3,309,770	\$ 3,309,770	\$ 3,298,708	\$ 11,062
Ashland Parking Lot-519		90,000	90,000		90,000
Carrollton-558		20,000	20,000		20,000
Elizabethtown Academic Facilities-525	1,550,070	1,554,348	1,554,348	1,554,348	
Glasgow-557		20,000	20,000		20,000
Hazard Academic Facilities-527	1,820,786	1,791,147	1,791,147	1,791,147	
Henderson Academic Facilities-529	1,235,645	1,235,645	1,235,645	1,229,507	6,138
Hopkinsville Academic Facilities-531	750,678	747,819	747,819	747,819	
Jefferson Phase II-534	3,789,171	3,861,327	3,861,327	3,790,390	70,937
Jefferson Utilities Tunnel-549	412,638	412,638	412,638	412,638	
Jefferson Phase III-535	10,000	10,000	10,000	10,000	
Madisonville Preliminary Development-550	14,200	14,200	14,200	13,593	607
Madisonville Academic Facilities-554	2,474,650	2,908,777	2,676,777	2,534,220	142,557
Maysville Academic Facilities-537	1,857,061	1,815,391	1,815,391	1,815,391	
Prestonsburg Preliminary Development-513	12,897	12,897	12,897	12,597	300
Prestonsburg Academic Facilities-541	1,632,199	1,632,199	1,632,199	1,625,458	6,741
Somerset Academic Facilities-543	805,276	847,759	829,454	826,050	3,404
Somerset Library Equipment-40-038		36,610	14,000	18,857	( 4,857)
Southeast Academic Facilities-545	1,004,787	1,024,376	1,005,787	996,917	8,870
<b>Educational Television-Community Colleges</b>	<b>45,000</b>				
Southeast-521		19,248	19,248	17,443	1,805
Henderson-552		24,500	23,732	22,892	840
Prestonsburg-553		4,530	4,530	4,530	
Subtotal	45,000	48,278	47,510	44,865	2,645
<b>Completed Projects</b>	<b>2,569,450</b>	<b>2,499,504</b>	<b>2,499,504</b>	<b>2,499,504</b>	<b>Completed</b>
<b>Total</b>	<b>23,337,278</b>	<b>23,892,685</b>	<b>23,600,413</b>	<b>23,222,009</b>	<b>378,404</b>
<b>Contingency</b>		<b>660,961</b>			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972  
 Schedule 8

<u>Community Colleges-Subschedule I-continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Legal, Administrative, and Interest Expenses	\$ 1,166,628	\$ 1,166,628	\$ 1,166,628	\$ 1,166,628	
Clearing Account-500			362,907		\$ 362,907
Total Subschedule I	\$ 23,337,278	\$ 25,720,274	\$ 25,129,948	\$ 24,388,637	\$ 741,311

Source of Funds:

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Title I Grants	\$ 7,032,713	\$ 6,039,470	\$ 993,243
Title VI Grants	18,305	14,000	4,305
Temporary Advance from Subschedule F. f.		482,222	( 482,222)
Norton Memorial Hospital	95,063	95,063	
Ashland Board of Education	45,000	45,000	
Madisonville Reserve	23,150	23,150	
Trust and Agency	2,500	2,500	
Special State Appropriation	40,000	40,000	
Old Series A and B Bonds	1,960	1,960	
Series A & B Bonds:			
Original Issue- \$ 16,300,000			
Series A	12,125,000	12,125,000	
Fund Balances	75,000		75,000
Series B	4,489,000	4,489,000	
Bond Premium	360	360	
Interest Earned	1,772,223	1,772,223	
Total Source of Funds	\$ 25,720,274	\$ 25,129,948	\$ 590,326

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Subschedule I--continued

SUMMARY OF UNAPPROPRIATED FUNDS--SERIES A & B BONDS

Balance in Clearing Account	\$ 362,907
Unrealized Income	<u>1,072,548</u>
Subtotal	\$ 1,435,455
Additional Allotments	( 292,272)
Advance to be Repaid	<u>( 482,222)</u>
Balance Available	\$ 660,961



University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972  
 Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule J</u>					
Others-					
Jefferson-Demolition Old Pharmacy Building-538	\$	4,469	\$ 4,469	\$ 4,469	Completed
Somerset Amphitheater-502		1,000	1,000	1,000	Completed
Ashland Phase II-524		7,500	7,500	5,000	\$ 2,500
	\$	12,969	\$ 12,969	\$ 10,469	\$ 2,500

Total Subschedule J

Source of Funds:

	Amount	Realized	Unrealized
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500	
Fund Balances	1,000	1,000	
Due from Other Funds	7,500		\$ 7,500
Advance to be Repaid	(7,500)		( 7,500)
1970-71 Renovation Funds	4,469	4,469	

Total Source of Funds

\$ 12,969	\$ 12,969
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University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Minor Renovation Funds-Subschedule K 1971-72 Renovation Funds-	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Projects in Process	\$ 250,000	\$ 213,786	\$ 196,679	\$ 213,786	\$ ( 17,107)
Completed Projects		41,315	41,315	41,315	Completed
Unallocated Regular Clearing Account 40-500			73,382		73,382
Unallocated Miscellaneous Clearing Account 40-600			6,924		6,924
Office Furniture 40-096	75,000	38,423	38,423	33,920	4,503
Classroom Furniture 40-097	25,000	92,330	92,330	2,400	89,930
Medical Center Renovation	242,000	242,000	242,000	153,639	88,361
Handicapped Facilities, 40-085	15,000	16,700	15,000	16,700	( 1,700)
Planting and Landscaping, 40-086	20,000	35,000	35,000	4,000	31,000
Outside Painting ( Buildings, Sandblasting ), 40-087	20,000	20,000	20,000		20,000
Outside Painting ( Rose-Limestone Triangle ), 40-088	14,000	8,000	8,000		8,000
Sign Program, 40-056, 40-057	20,000	20,000	20,000	20,000	
Contingency		61,499			
Subtotal	681,000	789,053	789,053	485,760	303,293
1970-71 Renovation Funds-					
Projects in Process	600,000	282,607	282,607	248,159	34,448
Completed Projects		340,455	340,455	340,455	Completed
Unallocated Regular Clearing Account 39-500			3,589		3,589
Prior Years Clearing Account 39-099			19,964		19,964
Contingency		23,553			
Subtotal	600,000	646,615	646,615	588,614	58,001
Total Subschedule K	\$1,281,000	\$1,435,668	\$1,435,668	\$1,074,374	\$ 361,294

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

<u>Subschedule K-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
1971-72 Allocation			
Transferred to Miscellaneous Construction Hospital Funds	\$ 750,000	\$ 750,000	
Transferred from 1970-71 Stock Furniture	( 69,000)	( 69,000)	
Transferred from Subschedule H Athletic Association	30,000	30,000	
Tobacco Health Research	2,453	2,453	
Non-Recurring Maintenance	28,300	28,300	
Transferred from 1970-71 Funds	10,000	10,000	
Subtotal 1971-72 Funds	22,800	22,800	
	<u>789,053</u>	<u>789,053</u>	
1970-71 Allocation			
PR-7, May 5, 1970	\$ 300,000		
PR-4, January 19, 1971	<u>300,000</u>		
Total 1970-71 Allocation	600,000	600,000	
Transferred to Subschedule F. a.	( 86,773)	( 86,773)	
Transferred to Subschedule H.	( 3,500)	( 3,500)	
Transferred to Subschedule J	( 4,469)	( 4,469)	
Transferred from 1969-70 Funds	34,764	34,764	
Transferred to 1971-72 Funds	( 22,800)	( 22,800)	
Transferred from 1969-70 Stock Furniture	596	596	
Transferred to 1971-72 Stock Furniture	( 2,453)	( 2,453)	
Auxiliary Enterprises	17,000	17,000	
Transferred from Other Funds	<u>114,250</u>	<u>114,250</u>	
Subtotal 1970-71 Funds	646,615	646,615	
	<u>\$1,435,668</u>	<u>\$ 1,435,668</u>	
Total Source of Funds			

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Subschedule K - continued

SUMMARY OF UNAPPROPRIATED FUNDS - MINOR RENOVATION

Balance of 1971-72 Clearing Accounts Additional Allotments	\$ 80,306
Projects in Process	\$ 17,107
Handicapped Facilities	<u>1,700</u>
Total Additional Allotments	( 18,807)
Balance of Prior Years' Clearing Accounts	<u>23,553</u>
Balance Available	\$ 85,052

University of Kentucky  
 Schedule of Unexpended Plant Funds  
 For the Eight Months Ended February 29, 1972

Schedule 8

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances		Balance of Allotment
	Approved	Estimated			and		
<b>SUMMARY OF SUBSCHEDULES</b>							
A. Consolidated Education Bonds C & D	\$ 52,567,047	\$ 52,694,633	\$ 49,784,600	\$ 41,130,293	\$ 8,654,307		
B. Main Campus-Planning	21,300,000	19,884,208	167,833	131,462	36,371		
C. Non-Recurring Maintenance	1,150,000	977,539	977,539	788,390	189,149		
D. State Capital Construction Funds	1,073,500	1,349,500	1,404,663	1,251,976	152,687		
E. Major Improvements	1,800,000	1,772,766	1,772,766	1,738,201	34,565		
F. Main Campus-Others	33,916,205	34,273,915	34,590,919	33,460,588	1,130,331		
G. General Obligation Bonds	196,507	195,236	362,438	190,005	172,433		
H. Miscellaneous Construction	2,265,000	2,236,700	505,398	3,000	502,398		
I. Consolidated Education Bonds A & B	23,337,278	25,720,274	25,129,948	24,388,637	741,311		
J. Community Colleges-Other		12,969	12,969	10,469	2,500		
K. Renovation Funds	1,281,000	1,435,668	1,435,668	1,074,374	361,294		
<b>GRAND TOTAL OF SCHEDULES</b>	<b>\$ 138,886,537</b>	<b>\$ 140,553,408</b>	<b>\$ 116,144,741</b>	<b>\$ 104,167,395</b>	<b>\$ 11,977,346</b>		

**GRAND SUMMARY OF AVAILABLE PLANT FUNDS**

Capital Construction Funds					
Main Campus-					
Series C & D Bonds (Subschedule A)	\$ 2,977,829				
Non-Recurring Maintenance (Subschedule C)	67,890				
State Capital Construction (Subschedule D)	26,501				
Major Improvements (Subschedule E)	16,349				
Main Campus Others (Subschedule F)					
Unallocated H & I Bonds	53,000				
Other Unallocated Funds	744,226				
GO Bonds (Subschedule G)	5,231				
Miscellaneous Construction (Subschedule H)	1,271,700				
Subtotal Main Campus	\$ 5,162,726				
Community Colleges					
Series A & B (Subschedule I)	660,961				
Total Available Capital Construction Funds	\$ 5,823,687				
Renovation Funds					
Minor Renovation (Subschedule K)					
Total Available Renovation Funds	85,052				
Total Available Plant Funds	\$ 5,908,739				

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 29, 1972

Schedule 9

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
<b>Income:</b>			
<b>Unrestricted funds debt:</b>			
Charged to unrestricted current funds	\$ 5,531,100	\$ 5,039,147	91.1
Transfers from sinking funds	<u>380,834</u>	<u>867,694</u>	<u>228.1</u>
Subtotal	<u>5,911,934</u>	<u>5,906,841</u>	<u>99.9</u>
<b>Housing and dining system debt:</b>			
Charged to housing and dining system current funds	1,550,799	775,194	50.0
Investment income from sinking funds	<u>113,210</u>	<u>56,810</u>	<u>50.2</u>
Subtotal	<u>1,664,009</u>	<u>832,004</u>	<u>50.0</u>
<b>Auxiliary enterprises debt:</b>			
Charged to auxiliary enterprises current funds	<u>182,001</u>	<u>99,788</u>	<u>54.8</u>
	<u>\$ 7,757,944</u>	<u>\$ 6,838,633</u>	<u>88.2</u>
	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
<b>Debt Service:</b>			
<b>Unrestricted funds debt:</b>			
Consolidated Educational Buildings-Series A	\$ 504,461	\$ 503,984	99.9
Consolidated Educational Buildings-Series B	463,607	463,131	99.9
Consolidated Educational Buildings-Series C	291,579	291,516	99.9
Consolidated Educational Buildings-Series D	3,339,750	3,338,822	99.9
Community Colleges Educational Buildings-Series A	1,063,143	1,060,275	99.7
Community Colleges Educational Buildings-Series B	<u>249,395</u>	<u>249,113</u>	<u>99.8</u>
Subtotal	<u>5,911,935</u>	<u>5,906,841</u>	<u>99.9</u>
<b>Housing and Dining System Revenue Bonds-Series A-I</b>	<u>1,664,009</u>	<u>574,504</u>	<u>34.5</u>

University of Kentucky  
 Schedule of Retirement of Indebtedness Funds  
 For the Eight Months Ended February 29, 1972

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary enterprises debt:			
Chi Omega	\$ 3,212	\$ 3,212	100.0
Alpha Delta Pi	15,059	11,617	77.1
Dorms A-F	39,300	6,650	16.9
Dorms G-L	50,772	16,886	33.3
Sigma Phi Epsilon	8,190	2,595	31.7
Delta Delta Delta	6,055	5,070	83.7
Alpha Tau Omega	8,310	2,655	31.9
Alpha Gamma Rho	16,088	16,088	100.0
Student Housing Bonds of 1964	<u>35,014</u>	<u>35,014</u>	<u>100.0</u>
Subtotal	<u>182,000</u>	<u>99,787</u>	<u>54.8</u>
<b>TOTAL RETIREMENT OF INDEBTEDNESS FUNDS</b>	<u><b>\$ 7,757,944</b></u>	<u><b>\$ 6,581,132</b></u>	<u><b>84.8</b></u>

University of Kentucky  
 Schedule of Sinking Funds  
 February 29, 1972

Schedule 10

Bond Issues with Reserves Fully Funded

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,705,759
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	821,715
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,038,425
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	982,526
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	958,355
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	77,924
Community Colleges Educational Buildings-Series A- Bond and Interest Fund-Escrow Account	72,285
Community Colleges Educational Buildings-Series B- Bond and Interest Fund-Escrow Account	<u>92,851</u>
	<u>5,749,840</u>

Total Bond Issues with Reserves Fully Funded

Bond Issues with Reserves not Fully Funded:

Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	349,279
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series D	2,200,265
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	1,003,114
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	242,105
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	45,279
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	24,676
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1964	<u>24,652</u>
	<u>3,889,370</u>

Total Bond Issues with Reserves not Fully Funded

\$ 9,639,210

TOTAL SINKING FUNDS



University of Kentucky  
 Summary of Other Funds Transactions  
 For the Eight Months Ended February 29, 1972

Schedule 11

	<u>Balances</u> <u>July 1, 1971</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>February 29, 1972</u>
<u>Loan Funds:</u>				
Federal sponsored loan funds:				
Federal government	\$ 4,877,709	\$ 661,295		\$ 5,539,004
University of Kentucky	458,246	( 5,200)		453,046
Accumulated interest income	198,782	29,034		227,816
University loan funds:				
University student loan funds	50,513	4,248		54,761
University special student loan fund	70,436	5,161		75,597
United Student Aid loan fund	2,000			2,000
	<u>\$ 5,657,686</u>	<u>\$ 694,538</u>		<u>\$ 6,352,224</u>
<u>Endowment Funds:</u>				
TOTAL ENDOWMENT FUNDS	<u>\$ 941,010</u>	<u>\$ 94,863</u>		<u>\$ 1,035,873</u>
<u>Agency Funds:</u>				
TOTAL AGENCY FUNDS	<u>\$ 1,240,035</u>	<u>\$ 843,407</u>	<u>\$ 1,820,342</u>	<u>\$ 263,100</u>

FCR 2

Members, Board of Trustees:

AUTHORIZATION TO TRANSFER FUNDS FROM SERIES A COMMUNITY COLLEGES EDUCATIONAL BUILDINGS REVENUE BOND ANTICIPATION NOTE SINKING FUND

Recommendation: that the Board authorize the Vice President of Business Affairs and Treasurer to execute the necessary documents to transfer \$75,000 from the Series A Consolidated Educational Buildings Revenue Bonds Anticipation Note Sinking Fund to the Community Colleges construction project.

Background: The Community Colleges construction program was based upon a \$12,200,000 Series A Bond Issue. However, when bonds were sold a \$75,000 maturity (May 1, 1970) was bypassed, leaving an issue of only \$12,125.00. The \$75,000 was included in the appropriation for debt service in 1970-71 and deposited in the Community Colleges Consolidated Educational Buildings Revenue Bond Anticipation Note Sinking Fund, rather than in the construction account: leaving the latter short by this amount. This authorization permits the transfer of those funds from the sinking fund to the construction fund; thus restoring the program to \$12,200,000 as originally authorized. The remaining balance in the Note Sinking Fund will be transferred to the Community Colleges Educational Buildings Revenue Bonds Sinking Fund Reserves.

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Action: Approved   X   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date:   April 4  , 1972

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

OFFICE OF VICE PRESIDENT  
STUDENT AFFAIRS

March 31, 1972

MEMORANDUM

TO: President Otis A. Singletary

FROM: Robert G. Zumwinkle  
Vice President for Student Affairs

SUBJECT: Revisions in Code of Student Conduct Recommended by Board Committee

Members of the Student Affairs staff and I have completed a thorough review of the report submitted by the Committee on Revision of the Code of Student Conduct at the March 21 meeting of the Board of Trustees. Several of the proposed revisions have great merit, and there are others to which we have no substantial objection. However, this memorandum is not addressed to such items. Rather, I wish to bring to your attention the problems and questions that would be entailed in the Board's adoption of certain proposed revisions; and, in some cases, I wish to recommend Board action concerning the Committee's proposals.

I have organized the following presentation into five divisions: (a) proposed revisions which should be rejected; (b) proposed revisions which should be amended; (c) proposed revisions which involve considerations that should be brought to the Board's attention; (d) Mr. Wendelsdorf's minority report; and (e) Mr. Bell's minority report.

\* \* \* \* \*

A. Proposed revisions which should be rejected.

It is my considered judgment that the Committee's proposed revisions outlined below should be rejected by the Board, for the reasons given:

- 1.2g (Providing that "opportunity to comply with a lawful order to leave the premises must be given" as a condition for finding a student guilty of violation of Section 1.2g)

**BOARD ACTION RECOMMENDED:** That the proposed additional sentence to 1.2g be rejected and the original wording of that section

be retained.

RATIONALE: While in some cases the proposed addition would provide an ideal solution, the basic impact of the proposal is to make the entire section ineffective and unenforceable for many situations.

The proposal would require the University to permit one apprehended in the act of violating this section the opportunity to avoid prosecution merely by ceasing the misconduct. For example, a student who is found in an office after forceably breaking into it would not be in violation of this section if he complied with the order to vacate.

In addition, no charge could result under 1.2g where apprehension takes place at some place and time other than at the place and time of commission of the violation, since no opportunity was given to comply with the required order to vacate - i. e., a student breaks and enters, then leaves unnoticed, but is apprehended at his domicile a week later as a result of an investigation.

1.422a (Limiting the functions of the Hearing Officers for both the U. J-Board  
and 1.436a and the Appeals Board)

BOARD ACTION RECOMMENDED: That the Committee's proposed revision be rejected and that the original wording of 1.422a and 1.436a be retained.

RATIONALE: Nothing has occurred during the nearly two years since adoption of the Hearing Officer feature to justify the proposed change in the Hearing Officers's functions. Furthermore, it appears to me that the proposed revision is too heavily weighted in favor of formal court tradition (i. e., separation of judge and jury) and that it fails to recognize the less formal, less legalistic character of hearings in a university setting in which it is desirable to have the benefit of judicious interaction between a lay hearing board and a legally trained hearing officer.

Also, I point to what are clearly two direct contradictions within this section if revised as proposed. First, there is a conflict between the Hearing Officer's role as "a non-voting member of the Board as to the issue of guilt or innocence and as to the quantum of punishment"

(line 1, page 8) and the requirement that, "The Hearing Officer shall neither sit in on nor take part in the Board's deliberations of the issue of innocence or guilt nor quantum of punishment" (line 6, page 8). Secondly, there is a conflict between the J-Board Hearing Officer's responsibility to "decide and rule . . . upon all procedural questions arising under this Code" (line 3, page 8), on the one hand, and, on the other, his proposed responsibility to "be available to advise upon procedural questions arising under this Code" (line 8, page 8 of proposed addition).

Although the actual wording of 1.436a as revised differs from 1.422a, the basic issues are identical.

- 2.22 (Requiring "consultation with the President of the affected Residence Hall Government" in appointing the resident advisor of each housing unit).

BOARD ACTION RECOMMENDED: That this proposal not be adopted.

RATIONALE: In actuality, the resident advisors are the head residents, University employees selected by the Dean of Students. The effect of this proposed revision would be to confound the normal processes of appointment of residence hall staff members and complicate the definition of their administrative responsibilities. I do not believe such a provision belongs in a Code of Student Conduct.

Further, this proposal is fundamentally unworkable because a majority of our residence halls (the freshman halls) have no president until several weeks following the beginning of the fall term.

- 3.31 (Adding the Residence Halls Judicial System and the counseling process to the University Judicial System with respect to applicability of the following specified rights of the accused).

BOARD ACTION RECOMMENDED: That Section 3.31 be retained in its original form and that the proposed revision be rejected.

RATIONALE: At first glance it may appear logical and meritorious to broaden the applicability of the specified rights of the accused so as to include the Residence Halls Judicial System and the counseling process, as well as the University Judicial System for which section 3.31 is written. However, a careful, detailed reading of the subsections of 3.31 (i. e., 3.311 through 3.319) reveals that most or all of those subsections are specifically designed for the University Judicial System and that to attempt to apply them literally to residence halls and to the counseling process can result in great confusion and difficulty.

For example, section 3.319 provides for "the right to either an open or closed hearing". This would allow for the interpretation (unintended by the Committee, I am sure) that a student may insist on an "open hearing" when involved in the counseling process with the Dean of Students. Section 3.319 also refers to the Hearing Officer; but the Hearing Officer has meaning only in relation to the University Judicial System, because the Residence Halls J-Boards (18 in number) do not have hearing officers.

With reference to the section's applicability to the Residence Halls Judicial System: that system operates on a more informal basis than does the University Judicial System; and I believe the proposed revision of 3.31 would have the effect of formalizing the residence halls process unduly. To be more specific, it is clear that subsections 3.318 and 3.319 should not be made to apply literally to the Residence Halls Judicial System.

Another example: several of the subsections of section 3.31 use the term "judicial agency". The Dean of Students Office is not currently considered a "judicial agency", but adoption of the proposed revision of section 3.31 could result in the contrary interpretation and thus result in undesirable limitations on the effectiveness of the Dean of Students Office. To be more specific, if the Dean of Students Office were considered to be a judicial agency for the purposes of interpreting section 3.31, think of the mischief that could be created through a literal application of subsections 3.313, 3.314, 3.315, 3.317, 3.318 (!), and 3.319.

B. Proposed revisions which should be amended.

The Committee's proposed revisions outlined below should be amended by the Board, for the reasons given:

1.411 (Adding material pertaining to the counseling session)

BOARD ACTION RECOMMENDED: Add the words "AND DOES NOT BEGIN UNTIL THE STUDENT ADMITS GUILT TO THE CHARGES PLACED AGAINST HIM" at the conclusion of the sentence, "The counseling session is strictly confidential".

RATIONALE: This proposed addition is intended to clarify the difference between the "preliminary conference" and the "counseling session".

1.424a (Adding the President of GPSA to the process of approving members of the U. J-Board)

BOARD ACTION RECOMMENDED: Add the words, "IN ADDITION", in the fifth line, page 9 after ". . . and" and before "for graduate . . . .".

RATIONALE: For graduate and professional student appointments there would be one additional screening step. It is important to be clear that in such cases the President of GPSA is not advising and consenting in place of the Vice President for Student Affairs, but rather in addition to the Vice President.

1.426 (Appealability of Hearing Officer's decision on excused absences)

BOARD ACTION RECOMMENDED: Add, "IN WRITING WITHIN THIRTY DAYS OF THE HEARING OFFICER'S DECISION", following ". . . the whole Board" at the conclusion of the first sentence of the new (underlined) material.

RATIONALE: This recommendation is designed to provide continuity of membership on the Board and to prevent the possibility of an appeal months after the Hearing Officer's decision.

Article V (Providing that "the sanctions of Article V shall not apply until final resolution of the dispute" if the student challenges his liability for a financial obligation asserted by the University)

BOARD ACTION RECOMMENDED: That the Committee's proposed revision be amended by adding the following sentence at the conclusion of the new paragraph: "Provided however that this section shall not be construed to require the transfer of credits or certification for graduation prior to resolution of the dispute."

RATIONALE: Such a provision is needed to protect the University's interest and to discourage a student's challenging his financial obligations to the University solely for the purpose of gaining clearance for transferring credits or graduating. Once a student has been graduated, the University's only recourse may be to take legal action in order to settle the financial obligation.

C. Proposed revisions which involve considerations that should be brought to the Board's attention.

The Committee's proposed revisions outlined below involve questions and implications which should at least be brought to the Board's attention before final action on the proposals. I have simply offered a brief comment on each proposal and have not recommended Board action.

- 1.32 (Providing that the University will not provide student addresses and phone numbers to landlords, etc.)

COMMENT: Due to the fact that it is impossible to screen adequately the vast numbers of requests for student addresses and phone numbers, the University, upon adoption of this proposal, may have to refuse release of such information to anyone except as required by law. Further, if it were possible to screen out those persons who are "landlords, retail stores, magazine and book companies, etc.", would not the University be justly charged with (a) discriminating against some citizens in favor of others, and (b) providing a sanctuary for students vis-a-vis their financial obligations?

- 1.414 (Providing for contact with parents in matters of discipline "only if the student is under eighteen years of age or consents.")



COMMENT: I have no substantial objection to this proposal provided the Board understands and fully supports its implications. I am thinking of the occasional case of an 18-year-old student who is in extremely serious difficulty and who refuses to consent to informing his parents. The Dean of Students would be required not to inform the parents in such a case, and the Board must be aware of potential PR implications (particularly with the student's parents) under such circumstances.

- 1.44 1st sentence (Providing that the Student Government President, rather than the President of the University, will make temporary appointments to the U. J-Board -- with advice and consent of the Vice President for Student Affairs).

COMMENT: This can create a problem at a time when the Student Government President is absent from the campus, perhaps for an extended period, or if he simply fails to make such temporary appointments.

- 1.51 (Adding the clause, "unless imposed by the U. J-Board", to the parenthetical sentence under the sanction of "Warning").

COMMENT: By definition, the "Warning" is an action which may be invoked only by the Dean of Students or his authorized representative. Therefore, the reference to imposition of this action by the U. J-Board appears to be inappropriate.

- 3.320 (Adding a section on inadmissibility of certain evidence).

COMMENT: It appears to me that this proposed addition is unnecessary and redundant because the provisions of Article III accomplish the objectives of this proposal. Further, the phrase, "other improper means", is vague and does not provide the criteria and procedure for determining that "improper means" have been used to secure evidence.

D. Mr. Wendelsdorf's minority report.

The first eleven recommendations in Mr. Wendelsdorf's minority report were considered and rejected by the Committee; and it is my view that the Board should

not adopt those recommendations.

Mr. Wendelsdorf's recommendation #12 (proposing the addition of an article to deal with the process of amending the Code) has merit in principle, though I question whether it properly belongs in the Code itself. It would seem that the same objective can be achieved by agreement between the President and the Board of Trustees.

E. Mr. Bell's minority report.

Mr. Bell's proposal (that a three-man Hearing Board replace the Appeals Board to hear cases in which there are alleged violations of Section 6.1) has merit but may require further study. I have no recommendation concerning his proposal at this time.