

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
October 22, 1996**

Invocation

Roll Call

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
Report on Coldstream Research Campus
- PR 2 Personnel Actions
- PR 3 Central Administration (No items to report)
- PR 4 Community College System
A. Associate Degree Program in the Community College System
Jefferson Community College
B. Associate Degree Program in the Community College System
Paducah Community College
- PR 5 Lexington Campus
A. Ph.D. in Social Work
- PR 6 Medical Center
A. Agreement Between University of Kentucky and Health
Associates of Kentucky, Inc.

Finance Committee

1. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1996
2. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1996
3. Disposal of Surplus Property
4. Disposition of Property
5. Purchase of Property
6. Purchase of Property
7. Patent Assignment - Research and Graduate Studies
8. Patent Assignment - Research and Graduate Studies

9. George Koch Sons Foundation Gift and Pledge
10. James Golden, Jr. Gift
11. The Robert J. Kleberg, Jr. & Helen C. Kleberg Foundation Gift
12. Microsoft Corporation Gift-In-Kind
13. Property Conveyance to University of Kentucky from Lexington Fayette
Urban County Government for Coldstream Research Campus
14. Property Conveyance to Lexington Fayette Urban County Government
from the University of Kentucky Coldstream Research Campus

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 22, 1996.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 22, 1996 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:08 p.m., and the invocation was pronounced by Mr. Martin Welenken.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Alan Aja, Mr. Ted Bates, Governor Edward T. Breathitt (Chairperson), Mr. Paul W. Chellgren, Mr. Robert N. Clay, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardyman, Professor Loys L. Mather, Dr. Robert P. Meriwether, Professor Jim Miller, Dr. Elissa Plattner, Mr. Steven S. Reed, Mr. C. Frank Shoop, Mrs. Lois C. Weinberg, Mr. Martin Welenken, and Mr. Billy B. Wilcoxson. Absent from the meeting were Mrs. Kay Shropshire Bell, Mr. Billy Joe Miles, and Professor Deborah Powell. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, and Eugene Williams; John J. Picoro, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:11 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on September 17, 1996 had been distributed. He noted that Professor Loys L. Mather's name had been inadvertently omitted from Section B. Oath of Office Administered and reported that it had been included in the official Minutes. He asked for other additions or corrections. Mr. Guthrie moved that the Minutes be approved as amended. Mr. Chellgren seconded the motion, and it carried.

President Wethington congratulated Professor Mather on his reelection to the Board for another three-year term.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. Top administrators of West Virginia University's President's Office of Social Justice visited UK September 23-26 to offer advice and engage in discussions concerning UK's Inclusive Learning Community.
2. The Community College System's Partners in Progress campaign tops \$59 million.
3. The Center for Rural Development has been awarded \$7 million to develop teleconferencing centers throughout the 40-county region of southern and eastern Kentucky.
4. A delegation of 11 high-ranking medical and education officers in South Africa visited UK September 30-October 4 to study administrative and academic approaches of both the Chandler Medical Center and the Lexington Campus.
5. Elizabethtown Community College's first dental hygiene class to take the National Board Dental Hygiene Examination received a 100 percent pass rate.

President Wethington noted there were other interesting and important items in the report. He asked the members to read the other items in the report at their leisure.

E. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. His motion, seconded by Mrs. Weinberg, carried. (See PR 2 at the end of the Minutes.)

F. Associate Degree Program in the Community College System - Jefferson Community College (PR 4A)

President Wethington said that PR 4A and 4B are recommendations for the establishment of Associate Degree programs in the Community College System, one at Jefferson Community College and one at Paducah Community College. He asked Chancellor Ben Carr to comment on the programs.

Chancellor Carr reported that the Industrial Technology Program at Jefferson Community College is an excellent program. It will be the only collegiate program of its type in the state. The graduates of the program will be chemical technicians, chemical lab technicians, line operators or process control operators. He said that one of the unique features of the program is that it was developed with Pleasure Ridge Park High School, Jefferson Community College's Tech Prep partner in Louisville that houses the Industrial Chemical Plastics Magnet Program. The emphasis on this program is on organic and analytical chemistry, with a required cooperative education portion of the program that would get students into industry as part of their educational program.

Mr. Reed moved that PR 4A be approved. His motion, seconded by Professor Miller, passed. (See PR 4A at the end of the Minutes.)

G. Associate Degree Program in the Community College System - Paducah Community College (PR 4B)

President Wethington asked Chancellor Carr to comment on PR 4B.

Chancellor Carr said that the Occupational Therapy Assistant Program prepares students to sit for the examination and to be certified Occupational Therapy Assistants. The students work under the supervision of licensed professional occupational therapists and are involved in occupational therapy from the assessment throughout the treatment programs. He said that there is overwhelming support for the program and a definite need for graduates in this field.

On motion made by Dr. Meriwether, seconded by Mr. Guthrie and carried, PR 4B was approved. (See PR 4B at the end of the Minutes.)

H. Ph.D. in Social Work (PR 5A)

President Wethington said that PR 5A is a recommendation that the Board authorize, for submission to the Council on Higher Education, a new degree program, the Ph.D. in Social Work. The program will be offered

jointly by the social work faculties of the University of Kentucky and the University of Louisville. He asked Chancellor Elisabeth Zinser to comment on the new degree program

Chancellor Zinser reported that this will be the first Ph.D. program in Social Work in the state. There is a very high demand and need for the program. Faculty members from both institutions participated in the design of the program, and the program proposal is being reviewed by the various university academic bodies at both institutions. She noted that she did not anticipate any difficulty in seeking approval from these academic bodies. She said that it is necessary to receive Board action at this meeting in order to forward the program proposal to the Council on Higher Education for consideration at its November meeting. She said that the working relationship between the two institutions is very good and she presented the proposal with a great deal of enthusiasm and confidence.

President Wethington said that it is a pleasure to put forth a proposal that shows a joint, cooperative, and pleasant effort between the two institutions and is in the best interest of the people of the state. He said that he was pleased to recommend approval of a Ph.D. in Social Work subject to approval by the University Senate. Mr. Guthrie moved approval. His motion, seconded by Mrs. Weinberg, carried. (See PR 5A at the end of the Minutes.)

I. Agreement between University of Kentucky and Health Associates of Kentucky, Inc. (PR 6A)

President Wethington said that PR 6A is an agreement between the University of Kentucky and Health Associates of Kentucky, Inc. He reminded the Board of their action in December 1995 approving the establishment of Health Associates of Kentucky, Inc. and said that PR 6A outlines the arrangements and understandings between Health Associates of Kentucky and the University of Kentucky. He asked Richard Plymale to comment on the agreement.

Mr. Plymale said that the Board authorized the University's participation in the development of a medical services corporation to manage, provide, and deliver medical services to citizens of the Commonwealth, to enhance the Medical Center's network of physicians and services throughout the state, and to assist in the teaching, research, and service mission of the University. The Board also provided with that action that the relationship between the University and this new entity, now known as Health Associates of Kentucky, be memorialized in an agreement. He explained that the purpose of this agreement is to assure the survival of the Chandler Medical Center in a rapidly changing health care environment

where many academic medical centers are not positioned to survive. In an ever-integrating and consolidating health care system in this country, increasingly going to managed care with integration between public and private enterprise, this University's Medical Center must be positioned to take advantage of those changes in the health care market. He reviewed the following provisions in the agreement:

1. It provides in terms of its relationship with the University for reimbursements between the University and Health Associates of Kentucky where employees are shared and services such as accounting and reimbursement will take place on a regular and contractually specified basis.
2. It requires financial and management reporting to the Board of Trustees.
3. It delineates the responsibility for management of the University physicians and the Health Associates of Kentucky employees.
4. It provides for professional liability coverage, records retention, and availability of services and compensation to Health Associates of Kentucky for management services when rendered to third party entities.
5. It requires the written consent of the University for any type of merger, major management decision, or the sale of any or all of its stock.

Mr. Plymale stated that the initial term of the contract runs through June 30, 1998 and will thereafter run every two years. It will, however, be reviewed annually and specifically by the parties in June 1997. The contract is terminable upon thirty-days notice and is highly recommended to the Board.

President Wethington recommended approval of PR 6A. Mr. Shoop moved approval. Mr. Grayson seconded the motion, and it passed. (See PR 6A at the end of the Minutes.)

J. Report on Coldstream

President Wethington said that as a part of his report to the Trustees he had asked Mr. Ed Carter to report on the Coldstream Research Campus. He asked Mr. Carter to give a historical perspective as well as a current update on Coldstream.

Mr. Carter reported that Coldstream Farm was purchased in 1957 by Governor Albert B. Chandler to replace farm land on which the Medical Center is now located and was then used for the College of Agriculture's Animal Science research program. Coldstream Farm has been serving as the animal research facility for the College of Agriculture for almost 40 years.

In the mid 1980's, the Board of Trustees decided to review the utilization of the University's farm land holdings in Central Kentucky. The overall review was prompted by inquiries from developers regarding the possibilities of acquiring the South Farm and Coldstream.

A Committee of the Board reviewed the current and future uses of all the farm land in Central Kentucky. As a result of that review, it was determined by the Board of Trustees that South Farm should be sold. It was also determined that Coldstream would not be adequate to support the future development of the Animal Science program and should be converted to alternative use.

In order to properly review the wide range of possible uses of the Coldstream property, the Committee of the Board went through a process of selecting a consultant to advise the Board of Trustees on all the appropriate uses of Coldstream Farm. The Committee selected a Washington, DC consulting firm by the name of MPC for that purpose.

As a result of the work done by MPC, and review of that work by the Board of Trustees, the decision was made to develop Coldstream as a research campus.

Mr. Carter also reviewed the Small Area Change process that the University went through to get the use of a major portion of the farm changed from public use to the Office, Industrial Research Park (P-2) zone. He noted that this particular zone requires a Design Review Committee to control the quality of the development and that Coldstream will be developed under a very strict set of written design guidelines.

Mr. Carter reported that there had been two basic issues that controlled the speed at which Coldstream could be developed. One was sewer capacity in the Coldstream area of Northern Fayette County, and the second was requirement to have a new farm to house the Animal Science research program. The increase in the sewer capacity for Northern Fayette County and Coldstream was completed in the fall of 1994. A replacement farm in Woodford County was authorized and funded by the 1990 General Assembly and has been purchased. The 1996 General Assembly provided funding for phase I of the infrastructure development for the Animal Science program on

the new farm. Mr. Carter indicated that he is hopeful that the animals will be moved from Coldstream to the Woodford County farm by late 1998.

Mr. Carter said that since those two significant issues had been resolved, the development of Coldstream could move forward more rapidly. In order to get more attention focused on the Coldstream development, the administration had issued a Request for Proposal (RFP) for an exclusive Realtor/marketer. That RFP process has been completed and the Hiffman Shaffer/Starnes group from Chicago has been selected to market Coldstream world wide. He reviewed the terms of the agreement with Hiffman Shaffer/Starnes and noted that the contract is cancelable at the end of one year with 30 days' notice. The agreement can also be canceled within 60 days by either party with cause.

Mr. Carter commented on the development underway and mentioned some other possibilities such as a retirement community for retired faculty, staff and alumni. He noted that Viley Road had been funded by the 1996 General Assembly and should be completed in 1998. Viley Road will connect Newtown Pike and Georgetown Road.

In conclusion, Mr. Carter summarized his report by noting the following:

1. The sewer system is installed.
2. The replacement farm has been acquired.
3. Phase one of the infrastructure has been funded.
4. Development issues with the Urban County Government have been resolved.
5. A Realtor/marketer has been selected.
6. Development is underway.
7. Construction of Viley Road is imminent.

Governor Breathitt thanked President Wethington and Mr. Carter for the report on Coldstream Research Campus.

K. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1996 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and reviewed fourteen items to bring to the Board for consideration. He said that FCR 1 is the first Interim Financial Report for the 1996-97 fiscal year. The revenue and expenditure percentages are on schedule with last year's percentages, and the revenues are staying ahead. The Committee accepted the report and recommends approval. He

moved approval of FCR 1. His motion was seconded by Mr. Chellgren and carried. (See FCR 1 at the end of the Minutes.)

L. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1996 (FCR 2)

Mr. Hardymon said that FCR 2 recommends that the Board accept the University's financial statements for the year ended June 30, 1996, including the affiliated corporations and other related organizations. He reported that representatives of Deloitte & Touche, the outside auditors, met with the Finance Committee. The Committee reviewed the statements and the management letter. He said that the operations are consistent with the budgets, and the statements are accurate insofar as the audit could determine. The University has a strong set of financial records, and Deloitte & Touche gave the University a clean report. He complimented the auditing staff, the administration, Henry Clay Owen and his staff for their fine work and moved approval of FCR 2. Mr. Shoop seconded the motion, and it passed. (See FCR 2 at the end of the Minutes.)

M. Disposal of Surplus Property (FCR 3)

Mr. Hardymon said that FCR 3 recommends that the Board approve the disposal of surplus property as described in Attachment I. He noted that Attachment I lists 3,000 classical LP phonograph recordings that have become surplus to the needs of the University's radio station, WUKY. The majority of the collection is more than 20 years old, and WUKY would like to sell these to prohibit the future storage expense and depreciation. He noted that this will be done by sealed bid and moved approval of FCR 3. His motion, seconded by Dr. Meriwether, carried. (See FCR 3 at the end of the Minutes.)

N. Disposition of Property (FCR 4)

Mr. Hardymon said that FCR 4 recommends that the Vice President for Fiscal Affairs be authorized to sell .179 acres of land to the Kentucky Transportation Cabinet. The property is located along Berea Road in Fayette County, and the Transportation Cabinet requires this land for a bridge-widening project. He noted that the property value is assessed at \$635 and the Committee sees no problem with selling the piece of property for the bridge construction. He moved approval of FCR 4. Mr. Guthrie seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

O. Purchase of Property (FCR 5)

Mr. Hardymon said that FCR 5 recommends that the Board approve the purchase of real property located at 654 Maxwelton Court, Lexington, Kentucky, from H. Joy Fitzpatrick at an appraised price of \$102,500. He noted that the University always receives two appraisals and does not pay more than the highest of the two appraisals. He pointed out that the property is located in the University's acquisition area. He moved approval of FCR 5. The motion, seconded by Mrs. Weinberg, carried. (See FCR 5 at the end of the Minutes.)

P. Purchase of Property (FCR 6)

Mr. Hardymon said that FCR 6 recommends that the Board approve the purchase of real property located at 212 East Maxwell Street, Lexington, Kentucky, from Luther and Rebecca Corman at an appraised price of \$152,500. He noted that two appraisals were obtained and moved approval of FCR 6. Mr. Wilcoxson seconded the motion, and it passed. (See FCR 6 at the end of the Minutes.)

Q. Patent Assignment (FCR 7)

Mr. Hardymon referred to the financial statements, noting there is royalty income and licensing income from patents which is very valuable to the University. He said that patents are also valuable for life in the future since many of the patents are medical related. FCR 7 involves an invention that consists of a snare assembly. He explained that this invention has a control handle that allows movement with a wire device in helping with operations. He moved approval of FCR 7. The motion, seconded by Mr. Guthrie, carried. (See FCR 7 at the end of the Minutes.)

R. Patent Assignment (FCR 8)

Mr. Hardymon said that this invention provides chemical compounds which take the place of nicotine in the body. If this product becomes available for commercial use, it could help in the cessation of smoking or nicotine abuse. It also could be useful in the treatment of disorders such as Alzheimer's and Parkinson's Disease. He moved approval of FCR 8. Dr. Meriwether seconded the motion, and it passed. (See FCR 8 at the end of the Minutes.)

S. George Koch Sons Foundation Gift and Pledge (FCR 9)

Mr. Hardymon said that FCR 9 recommends that the Board accept a \$60,000 gift and \$240,000 pledge from George Koch Sons Foundation of

Evansville, Indiana, to Henderson Community College's Partners in Progress Campaign for a Tri-County Technology Center. George Koch Sons, Inc. is a heating and air conditioning company with headquarters in Evansville, Indiana. It is the parent company of Gibbs Diecasting Corporation located in Henderson. This gift is part of the Partners in Progress Campaign. He moved approval of FCR 9. His motion, seconded by Mr. Chellgren, carried. (See FCR 9 at the end of the Minutes.)

T. James Golden, Jr. Gift (FCR 10)

Mr. Hardymon moved that the Board accept a gift of primitive art, videos and photographs valued at \$274,645 from Dr. James Golden, Jr. of Pineville, Kentucky, for Southeast Community College's Appalachian Archive. Dr. Golden is a retired primary care physician who has spent a lifetime creating primitive art, photography and videos using a unique process of transillumination. He is donating this collection which includes 1,183 pieces of art, 79 videos and 9,491 photographs and slides to the college's archive. Mrs. Weinberg seconded the motion, and it passed. (See FCR 10 at the end of the Minutes.)

U. The Robert J. Kleberg, Jr. and Helen C. Kleberg Foundation Gift (FCR 11)

Mr. Hardymon moved that the Board accept a gift of \$122,000 from the Robert J. Kleberg, Jr. & Helen C. Kleberg Foundation of San Antonio, Texas, for the Sanders-Brown Center on Aging. This gift is for research on Alzheimer's disease. The funds will support the nationally recognized study of Roman Catholic nuns, who have volunteered to allow the Center to study their life history and to examine them at intervals during their life. The motion, seconded by Mr. Grayson, carried. (See FCR 11 at the end of the Minutes.)

V. Microsoft Corporation Gift-in-Kind (FCR 12)

Mr. Hardymon moved that the Board accept a gift-in-kind of software licenses valued at \$106,900 from Microsoft Corporation. He said that the award was generated by an application submitted by a faculty member in response to a call by Microsoft from grant applications found on the Internet. Microsoft made awards to schools whose courses best met the objectives specified in the grant application and who were willing to share course syllabi and other materials through the school's Web pages on the Internet. Professor Miller seconded the motion, and it passed. (See FCR 12 at the end of the Minutes.)

W. Property Conveyance to University of Kentucky from Lexington Fayette Urban County Government - Coldstream Research Campus (FCR 13)

Mr. Hardymon said that FCR 13 and 14 involve the Coldstream Research Campus. FCR 13 is a recommendation that the University accept the conveyance of a 4.13 acre parcel of the Government's park property. The objective is the construction of an entrance sign and wall, landscaping, a bikeway, and storm water retention on this conveyed property. He asked Mr. Ed Carter to comment on FCR 13.

Mr. Carter explained that the four acres were included in the 200 acre property exchange with the city earlier. The University would like to enhance the entrance to the Coldstream Research Campus, and the Government's parks personnel are reluctant to have this done on their property. The University has asked the city to transfer the four acres back to the University in order to resolve the issue. The University will include these four acres in the twelve-and-a-half acres leased to Weingardner and Hammons for a hotel, and Weingardner and Hammons will maintain the four acres.

Mr. Hardymon moved that FCR 13 be approved. Mr. Shoop seconded the motion, and it passed. (See FCR 13 at the end of the Minutes.)

X. Property Conveyance to Lexington Fayette Urban County Government - Coldstream Research Campus (FCR 14)

Mr. Hardymon said that FCR 14 involves two easements, one permanent and one temporary. He asked Mr. Carter to comment on FCR 14.

Mr. Carter reported that the Government consents to the closing of the existing farm road entrance from the park land to Newtown Pike in order for the University to receive a permit from the Kentucky Department of Transportation for a curb cut on Newtown Pike directly across from the Marriott entrance. With the closing of the farm road entrance, the Government would have no access to the park land. Without the closing of the farm road, the University would be unable to receive a permit to open the North entrance to Coldstream for the development of projects in that area.

The first easement will be temporary and shall be released upon the development of Lot 10 and the extension of Aristides Boulevard and McGrathiana Parkway. The University shall provide an alternate temporary access to the Government's park property during the period of development of Lot 10 and construction of the extension of Aristides Boulevard and McGrathiana Parkway.

Mr. Carter said that the Government shall construct the roadway within the permanent access easement area. It shall begin construction of the roadway within the permanent access easement within two years of completion and dedication of the portions of Aristides Boulevard and McGrathiana Parkway which provide access to and connection with the easement area. He stated that if the Government does not begin construction by the end of the two-year period, the permanent access easement will be released.

Mr. Hardymon said that the Committee reviewed and discussed the two easements and moved that the Board approve FCR 14. His motion, seconded by Mr. Chellgren, carried. (See FCR 14 at the end of the Minutes.)

Y. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:15 p.m.

Respectfully submitted,

Lois C. Weinberg
Secretary
Board of Trustees

(PR 2, 4A, 4B, 5A, and 6A; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, and 14 which follow are official parts of the Minutes of the meeting.)

Office of the President
October 22, 1996

PRESIDENT'S REPORT TO THE BOARD OF TRUSTEES

1. Enrollment Remains Stable in Lexington and Community Colleges

Student enrollment for the fall 1996 semester in Lexington and in the UK Community College System remained stable. Preliminary figures show the total enrollment for the University System is 24,200, less than a 1 percent change from last year. In the 14 community colleges, the preliminary enrollment estimate is 43,600, almost exactly the same as last year's. Freshman African-American enrollment registered a gain of about 10 percent, with African Americans representing about 7 percent of this year's freshman class.

2. West Virginia University Social Justice Leaders Visit UK

The top administrators of West Virginia University's President's Office of Social Justice visited UK September 23-26 to offer advice and engage in discussions around UK's Inclusive Learning Community. They met with many groups that are working together continually to improve the diversity and sense of community at UK, and the opportunities for all people who study, work and use the resources at UK. The visiting officers were Mary Jane Hitt, executive officer of the WVU President's Office for Social Justice, and Dana Brooks, dean of WVU's School of Physical Education. While at UK, the social justice officers met with students, faculty and staff to relate the experiences of WVU.

3. Community College Partners in Progress Campaign Tops \$59 Million

More than 5,000 people have contributed to the Community College System's Partners in Progress campaign, with private money raised totaling \$34,285,994. Total dollars -- including federal, state and foundation grant money -- has reached \$59,689,558. Somerset Community College leads in number of gifts with 605 donors contributing \$2,785,600. Paducah Community College continues to lead in contributions with 332 donors giving \$13,218,132. Nine of the 14 colleges have topped the \$1 million mark in donations.

4. UK Completes Athletics Certification Self Study

UK has completed a study of its athletics program for the NCAA. The study, which looked at governance and rules compliance, academic integrity, fiscal integrity and commitment to equality, showed UK in conformity with established NCAA criteria. A written report has been forwarded to an NCAA review team that will visit UK in November.

5. Center for Rural Development Receives \$7 Million for Telecommunications

The Center for Rural Development, located on the campus of Somerset Community College, has been awarded \$7 million to develop teleconferencing centers throughout the 40-county region of southern and eastern Kentucky. The project will create a "regional network" for information sharing, job training and project coordination. Announced by U.S. Representative Harold "Hal" Rogers, the project was included in the 1997 appropriations bill for the U.S. Small Business Administration. Since opening in June, the Center has hosted more than 30,000 visitors at 122 events that focused on agricultural diversification, international trade development, tourism and the arts.

6. South African Officials Study Health Care and Higher Education at UK

A delegation of 11 high-ranking medical and education officers in South Africa visited UK September 30-October 4 to study administrative and academic approaches of both the Chandler Medical Center and the Lexington Campus colleges. The South African delegation initially is devising new approaches to health sciences education programs in their country, principally in the Northern Province of South Africa. The cooperative activity between South Africa and UK is under the auspices of the American Council on Education South Africa project. The delegation also visited the UK Center for Rural Health in Hazard.

7. Lexington's First Community Forum on Violence to be Held

The Chandler Medical Center's Office of Minority Affairs is planning Lexington's first Community Forum on Successful Strategies for Violence Prevention to be held on November 8 at the Holiday Inn North. Chukwadi Onwauachi-Saunders, deputy health commissioner for the Centers for Disease Control and Prevention, and Ralph E. Kelly, commissioner of the Kentucky Department of Juvenile Justice, will be featured speakers. During the forum, participants will be invited to join working groups to develop strategies to address and prevent continued teen violence, spouse abuse, elder abuse, workplace violence and violence against women.

8. Elizabethtown CC Dental Hygiene Class Receives 100 Percent Pass Rate

Elizabethtown Community College's first dental hygiene class to take the National Board Dental Hygiene Examination received a 100 percent pass rate. The class ranked 83 out of 192 dental hygiene programs -- both two and four-year institutions -- in the nation.

9. School Psychology Program Accredited

The school psychology program in the Department of Educational and Counseling Psychology recently was accredited by the American Psychological Association. Approximately 54 students are enrolled in the program, which began in 1972. Only 43 school psychology programs in the nation are APA-accredited.

10. Friedell Receives Breast Cancer Leadership Award

Gilbert H. Friedell, director for cancer control at the Markey Cancer Center, has received a \$100,000 Avon Breast Cancer Leadership Award. One of 10 individuals receiving the award, Friedell will apply the money to continuing efforts in Kentucky to increase breast cancer screenings among women, especially those in medically underserved communities. The 10 winners were selected from among several hundred nominees throughout the United States for leading programs that have made important contributions in breast cancer education, outreach, patient advocacy, support services, and quality-of-life research, with an emphasis on those who have worked to reach underserved women in their communities.

11. UK Hosts African-American Faculty Reception

UK President Charles T. Wethington Jr., Chandler Medical Center Chancellor James W. Holsinger Jr., and Lexington Campus Chancellor Elisabeth Zinser joined in hosting a reception for the University's African-American faculty on September 26 at the Boone Faculty Center. New and continuing African-American faculty and doctoral students from various colleges and disciplines of the University gathered at this year's reception, the first to be jointly sponsored and University-wide.

12. Record Percentage of UK Law Graduates Contributes to College

A record 30 percent of the graduates of the UK College of Law contributed to the college in the past year. It also was the second consecutive year the college has raised more than \$1 million in gifts during the year. The record-breaking year included gifts from 1,463 graduates and 295 friends of the college, law firms, corporations and foundations.

13. Grant Funds Tractor Accident Prevention Research

The National Institute for Occupational Safety and Health has granted \$530,000 to the Educational and Counseling Psychology Department of the College of Education for a community-based prevention program to reduce tractor-related injuries and fatalities in Kentucky. Director of the three-year grant program is Henry Cole, a professor who has conducted research on tractor accidents and related injuries.

14. Distance Learning Opening New Art Worlds

The College of Fine Arts is offering an "Exploring Our World Through the Arts" program on the Kentucky Educational Television Network. The program is being produced by Geraldine Maschio, UK associate professor of theater, in cooperation with KET and a number of middle and high school teachers. Through KET, the program is available to all Kentucky schools. A complementary satellite course helps Kentucky's teachers prepare for teaching the arts course to their students.

15. Prestonsburg CC Receives Appalachian Regional Commission Grant

Prestonsburg Community College has been awarded a \$289,975 grant from the Appalachian Regional Commission to establish a mobile training center and a campus technology center. Some of the new computer equipment will be used to provide on-site computer training in area businesses or facilities. The campus also will open a new High Tech Training Center which will house 25 computers. The grant was announced by U.S. Senator Wendell Ford.

16. Gatton College Receives \$100,000 for Extension of Croatian Project

UK's Gatton College of Business and Economics has received an additional \$100,000 from the United States Information Agency to fund an expansion of the college's program for young faculty from Croatia. The initial grant was part of former President George Bush's program to help develop market economies in Central and Eastern Europe. The current grant represents the fourth extension the college has received. A group of 11 Croatian professors arrived at UK earlier this month to begin studying with UK faculty mentors.

17. Medical Center Inaugurates International Seminar Series

Chandler Medical Center Chancellor James W. Holsinger Jr. and Special Assistant to the President Juanita Fleming presented the inaugural discussion of the Medical Center's International Seminar Series on September 30. The country featured was South Africa, and the topic was "Health Professions Education After Apartheid." Johann deVries, dean of the Faculty of Dentistry, Medical University of Southern Africa, spoke about such issues as the structure of the education system and developing strategies for adequate health care access.

18. Martin School Celebrating 20th Anniversary

The Martin School of Public Policy and Administration is celebrating its 20th anniversary. As part of the celebration, Louisville native Bob Edwards, host of National Public Radio's "Morning Edition," will be a guest speaker October 25 in the College of Law Courtroom.

19. UK Health Care Students Celebrate National Primary Care Day

Students from UK's five health professions colleges -- medicine, dentistry, nursing, pharmacy and allied health professions -- learned about primary care and its impact on health care during an information fair held October 10. College of Medicine students organized the event in recognition of the Association of American Medical Colleges' third annual Primary Care Day. U.S. News & World Report ranked the UK College of Medicine as one of the top 10 primary care schools in the nation.

20. Hazard Community College Receives Money for New Facility

Kentucky Governor Paul Patton presented a ceremonial check for \$5.5 million to officials at Hazard Community College at a September 30 event in Hazard. The money will be used to build a classroom and student center facility on the Hazard Campus. The funds are part of a capital construction package proposed and approved by the 1996 General Assembly.

21. Kentucky's Medical History Subject of College of Medicine Convocation

Those attending the College of Medicine's annual fall convocation and awards day program on September 9 were treated to a lively discussion of "Kentucky's Medical History from Leeches to Lasers" by UK historian Thomas Clark and Robert Straus, College of Medicine professor emeritus of behavioral science, who recently published "A Medical School is Born," a history of the UK College of Medicine.

22. Gatton College Hosts Televised Leadership Conference

The Gatton College of Business and Economics served as the host institution for the first session of the "World Wide Lessons in Leadership" program on September 12. The session was uplinked via satellite to 185 sites in the United States and to more than 40 other countries. WYNCOM Incorporated of Lexington estimates more than 150,000 persons participated in the seminar.

23. Madisonville Community College Enters Into New Training Partnership

Madisonville Community College has entered into a new training partnership with the UK Center for Manufacturing Systems, the University of Southern Indiana and Ivy-Tech of Indiana. The partnership is focusing on the delivery of lean manufacturing and eventually is expected to expand cooperation in business and industry training among the partners.

24. Lexington Community College Students Build Habitat for Humanity House

Students in an architectural technology class at Lexington Community College will earn three credit hours while helping build a Habitat for Humanity house. About three dozen Lexington Community College students and faculty members are working on the four-bedroom house at 239 North Roosevelt Boulevard each Friday and Saturday during the fall semester.

25. Chandler Medical Center Offers Depression Screenings for UK Students

In conjunction with National Depression Screening Day, a free depression screening for UK students was offered October 10 at the Commons area of campus. Students took a self-test and listened to a presentation on depression. University Health Service and College of Medicine Department of Psychiatry representatives were present to counsel those whose test scores indicated they were at risk for becoming depressed. The Residence Hall Association co-sponsored the screening.

26. UK Hospital Dietitians Revise Original White House Cookbook

Patti Geil and Tammy Ross, diabetes educators at the UK Hospital, have updated the original version of the "White House Cookbook," written in 1894 by White House steward Hugo Ziemann when Grover Cleveland was president. The cookbook was released this summer in time for the upcoming presidential election. Geil and Ross modified about 125 of the original recipes into lower fat, lower sodium recipes. Recently Ross appeared at a book signing session at the American Book Publishers Convention in Chicago and Geil on a Cable News Network program.

27. Student Awards and Honors

Tim Brophy, a UK doctoral student in music education, has received the Ashland Oil Teacher Achievement Award. Brophy, who teaches full time in the Fayette County Schools, took his Garden Springs Elementary School Choir to Washington for a performance at the White House Christmas ceremony last year.

Cassandra Lynn Grigsby and Wilma Sue Miller, clinical laboratory sciences undergraduate students, received the Kentucky Association of Blood Banks Student Award for the research project "ABO and Rh Phenotypes in an Eastern Kentucky Population."

Three Hopkinsville Community College students, Pamela Humphries, Stephanie Kelly and Marcy Simmons, have been awarded the Child Development Associate Credential in recognition of their work with children. The credential was awarded by the Council of Early Childhood Professional Recognition in Washington, D.C.

Four UK students studied in Europe this summer as Leon and Evelyn Zolondek Scholarship winners. They are: Judie A. Mapoma, art history; Michael L. Noble, biology; Ann M. Friderich, art history; and Andrea L. Noble, dietetics. All are from Lexington.

Stephanie Parke, radiation sciences graduate student, was awarded a Graduate Fellowship Award for Women in Underrepresented Areas by the UK Graduate School.

At 95 years old, William Wilson, a Prestonsburg Community College student, is thought to be the oldest student ever enrolled at PCC and currently is the oldest student in the state enrolled under UK's Donovan Scholars program. Wilson will be honored with a citation from the Kentucky House of Representatives at a luncheon on October 25.

28. Significant Activities of Faculty and Staff

Darrell H. Abney and Dana T. Calland, Maysville Community College; Lillie R.F. Crowley, Lexington Community College; and Kathy R. Mowers, Owensboro Community College, are co-authors of a class test edition of "An Introduction to Functions Through Applications, Intermediate Algebra," published by Addison Wesley Longman, Incorporated.

Lynn Alexander, clinical laboratory sciences, has received the Kentucky Association of Blood Banks President's Award for outstanding service.

Jack Buxton, horticulture and landscape architecture, and Pat Matthews, journalism, were named 1996 Outstanding Student Advisers.

Donna Grigsby, pediatrics, is one of seven physicians in the U.S. named by the American Association of Medical Colleges to a year-long fellowship in medical education.

W. David Hager, obstetrics and gynecology, has been elected to a two-year term as president of the Infectious Diseases Society for Obstetrics and Gynecology.

The Kentucky 4-H Dairy Judging Team, under the guidance of UK animal scientist George Heersche, won first place in the National 4-H Dairy Cattle Judging Contest recently in Madison, Wisconsin. This is the third Kentucky team coached by Heersche to win the national competition.

Douglas Herman, Prestonsburg Community College, has a book review in *Files et Historia*, the national journal of the Conference on Faith and History.

Randolph Hollingsworth, Lexington Community College, has been nominated to the Kentucky Heritage Council by the Kentucky Commission on Women.

G. Edward Hughes, president of Hazard Community College, has been appointed to the Kentucky Appalachian Commission.

Darren Johnson, sports medicine, has been named head team physician for the Kentucky Thoroughblades.

Jefferson Johnson, music, was named "Teacher of the Year" in the College/University Division of the Kentucky Music Educators Association.

Kevin Murphy, Lexington Community College, participated in a seminar on Geographic Information Systems sponsored by the Northwest Center for Sustainable Resources.

Sheila Murray, Martin School of Public Policy and Administration, won the National Tax Association's Outstanding Dissertation Award.

L. Creed Pettigrew, neurology, has been named to a three-year term on the brain study committee of the American Heart Association.

Deborah Powell, pathology and laboratory medicine, has been named president-elect of the Association of Pathology Chairs.

Richard Salcido, physical medicine and rehabilitation, has been named to the board of directors of the National Center for Rehabilitation Research of the National Institutes for Child Health and Human Development, National Institutes of Health.

The American Society of Agricultural Engineers honored biosystems and agricultural engineering faculty members Scott Shearer with the 1996 A.W. Farrall Young Educator Award, and I. Joseph Ross with the Henry Giese Structures and Environment Award.

Michal Toborek, surgery, received the Anafred N. Halpern Award from the American College of Nutrition, and the Excellence in Research Award from the American Heart Association, Kentucky affiliate.

Carolyn Turner, Prestonsburg Community College, has written entries and organized categories for the Encyclopedia of Appalachia.

A computer tutorial by Harold R. Weinberg, law, on the Uniform Commercial Code won second place in the Trautman Competition sponsored by the Center for Computer-Assisted Legal Instruction.

Pam Yost, Lexington Community College, has an article, "Hollaender Manufacturing, A Success Story," in the May/June 1996 edition of Advanced Manufacturing.

PR 2

Office of the President
October 22, 1996

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. EARLY RETIREMENT

Vice President for Information Systems

Webb, Geraldine, Librarian II, Processing Services, University Libraries,
after 42.25 years of service, under AR II-1.6-1 Section III.A.2,
effective 12/31/96.

B. POST RETIREMENT APPOINTMENT

Council on Aging

Johnson, Bernard, Instructor (part-time), Sanders-Brown Center
on Aging, 9/1/96 through 6/30/97.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Human Resource Services

Johnson-Powell, Patricia, Associate Director, Human Resource
Development and Employee Relations, effective 9/18/96.

Vice President for Research and Graduate Studies

Harms, Amy C., Mass Spectrometry Facility Assistant Director, Advanced
Science and Technology Commercialization Center, effective 9/9/96.

Li, Qingshun, Scientist, Tobacco and Health Research Institute, effective
9/1/96.

LEXINGTON CAMPUS

I. BOARD ACTION

A. **ACADEMIC APPOINTMENTS**

College of Arts and Sciences

Haddon, Robert C., Professor (with tenure), Chemistry, effective 1/1/97.

Graduate School

Heller, Leonard E., Adjunct Professor, Martin School of Public Policy and Administration, 7/1/96 through 6/30/97.

B. **RETIREMENT**

College for Agriculture

Wells, John D., Security Worker II, Management Operations, after 11 years and 11 months of consecutive service, under Section III.B of AR II-1.6-1, effective 9/25/96.

C. **EARLY RETIREMENTS**

College for Agriculture

Moffett, Robert L., Agricultural Tech I, Management Operations, after 17 years and 6.5 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 11/1/96.

Vice Chancellor for Administration

Brooks, Carrie B., Food Service Worker III, Commons Market, Auxiliary Services, after 35 years and 4 months of consecutive service, under Section III.A.2 of AR II-1.6-1, effective 12/31/96.

D. **POST-RETIREMENTS**

College of Architecture

Graves, Charles P., Professor (part-time), Architecture, 9/1/96 through 12/31/96.

College of Arts and Sciences

Gadbois, George H., Instructor (part-time), Political Science, 8/16/96 through 12/31/96.

College of Engineering

Deacon, John A., Professor (part-time), Civil Engineering, 7/1/96 through 6/30/97.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Smiley, Michael, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/96 through 12/31/96.

College of Architecture

Huestis, George C., Instructor (part-time), Architecture, 9/1/96 through 12/31/96.
Pickett, Jeffery D., Instructor (temporary), Architecture, 9/1/96 through 12/31/96.

College of Arts and Sciences

Anderson, Clelia S., Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Beasley, Stephanie R., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
Bebensee, George, Instructor (part-time), English, 9/13/96 through 12/31/96.
Bottoms, Laura S., Instructor (part-time), English, 8/16/96 through 12/31/96.
Brigham, Nancy L., Assistant Research Professor, Psychology, 9/1/96 through 8/31/97.
Cain, Robert B., Instructor (part-time), English, 8/16/96 through 12/31/96.
Contreras, Elisa M., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
Dunlop, Amy J., Instructor (part-time), English, 8/16/96 through 12/31/96.
Edwards, Kim A., Instructor (part-time), English, 8/16/96 through 12/31/96.
Estes, Yolanda, Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Everts, Nancy C., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
Gabhart, Mitchell, Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Goff-Yates, Amy, Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Gunn, Greg, Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
Jankovic, Vladimir J., Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Ketz, Laura, Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
LeRoy, Francois J., Instructor (part-time), History, 9/1/96 through 12/31/96.
MacLean, Don S., Instructor (part-time), Chemistry, 8/16/96 through 12/31/96.
McClinton, Rowena, Instructor (part-time), History, 9/1/96 through 12/31/96.
McIntire, Anthony A., Instructor (part-time), History, 9/1/96 through 12/31/96.
Medina, Georgie, Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.

Mwagbe, Jerry M., Instructor (part-time), English, 8/16/96 through 12/31/96.
Norris, Debra G., Instructor (part-time), English, 8/16/96 through 12/31/96.
Pitts, Melissa M., Instructor (part-time), English, 8/16/96 through 12/31/96.
Robinson, Penny, Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
Schiffbauer, Judith P., Lecturer, English, 8/16/96 through 6/30/97.
Schumann, Shannon, Instructor (part-time), Mathematics, 8/16/96 through
12/31/96.
Shannon, Elizabeth T., Instructor (part-time), English, 8/16/96 through 12/31/96.
Sherer, Scott A., Instructor (part-time), English, 8/16/96 through 12/31/96.
Suggs, Thomas F., Instructor (part-time), English, 8/16/96 through 12/31/96.
Susman, David T., Instructor (part-time), Psychology, 9/1/96 through 12/31/96.
Trumbo, Geoffrey B., Instructor (part-time), English, 8/16/96 through 12/31/96.
Verburg, John, Instructor (temporary), Sociology, 8/16/96 through 12/31/96.
Wilke, David, Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
Wolfgang, James Stephen, Instructor (part-time), History, 9/1/96 through
12/31/96.
Wunsch, David, Instructor (part-time), Geological Sciences, 9/1/96 through
12/31/96.
Yi, Okyeon, Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.

College of Education

Sigafus, Bonnie, Instructor (part-time), Educational Policy Studies and Evaluation,
10/1/96 through 6/30/97.

College of Engineering

Lifland, Mitchel I., Assistant Professor (part-time), Chemical and Materials
Engineering, 8/16/96 through 5/15/97.
Sekulic, Dusan P., Visiting Assistant Professor, Mechanical Engineering, 9/18/96
through 9/17/97.

College of Fine Arts

Harper, Tatiana, Instructor (part-time), Theatre, 8/1/96 through 12/31/96.
Harris, William T., Instructor (part-time), Music, 9/1/96 through 12/31/96.
Hoffman, Dorotea V., Instructor (part-time), Music, 9/1/96 through 12/31/96.
Nelson, Lawrence, Instructor (part-time), Music, 9/1/96 through 12/31/96.
Peterson, Carrie, Assistant Professor, Art, 7/1/96 through 6/30/97.
Russell, Rebecca S., Assistant Professor*, Music, 7/1/96 through 6/30/97.

College of Human Environmental Sciences

Cho, Wonae, Assistant Professor, Nutrition and Food Science, 9/17/96 through
6/30/97.

* Special Title Series

College of Social Work

Baker, Lisa A., Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

Lazzari, Lori W., Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

Scharf, Stuart, Assistant Professor (part-time), Social Work, 8/16/96 through 5/15/97.

B. ADMINISTRATIVE APPOINTMENT

Graduate School

Davis, B. Vincent, Professor (with tenure), Patterson School of Diplomacy, appointed Acting Director, Patterson School of Diplomacy, 1/1/97 through 6/30/97.

C. REAPPOINTMENTS

College of Agriculture

McCoy, J. Michael, Instructor (temporary), Horticulture and Landscape Architecture, 8/28/96 through 5/31/97.

Rosa, Krisia Marie, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/96 through 12/31/96.

Senn, Elizabeth K., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/96 through 12/31/96.

College of Architecture

Bennett, Stephen P., Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Biagi, David, Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Guyon, Scott, Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Jacobs, Michael, Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Lucas, Patrick, Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Romanowitz, Bryon, Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

Stevens, Randall S., Instructor (part-time), Architecture, 9/1/96 through 12/31/96.

College of Arts and Sciences

Ades, Harriet F., Instructor (part-time), Chemistry, 8/16/96 through 12/31/96.

Appleton, Thomas H., Instructor (part-time), History, 9/1/96 through 12/31/96.

Battista, Philip C., Instructor (part-time), Sociology, 8/16/96 through 12/31/96.

Buck, Patrick L., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.

Chrisman, Reva W., Instructor (part-time), English, 8/16/96 through 12/31/96.

- Clark, Stephen A., Instructor (temporary), Statistics, 8/16/96 through 6/30/97.
- Clarke, Benita J., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
- Cooley, Paul D., Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Cooper, John B., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Crocker, Lina, Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
- Darby, Sydney L., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Darrat, Suleiman A., Instructor (part-time), Russian and Eastern Studies, 8/16/96 through 12/31/96.
- Ellis, Kelly A., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Emerick, Ellen L., Instructor (part-time), History, 9/1/96 through 12/31/96.
- Emmerich, Robert J. Jr., Instructor (part-time), Sociology, 8/16/96 through 12/31/96.
- Fritts, Priscilla I., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
- Giles, Donald A., Instructor (part-time), Philosophy, 8/16/96 through 12/31/96.
- Han, Zaijin, Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
- Harper, Charl A., Instructor (part-time), History, 9/1/96 through 12/31/96.
- Holle, Bruce F., Instructor (part-time), Classics, 9/1/96 through 12/31/96, and Instructor (part-time), History, 9/1/96 through 12/31/96.
- Isenhour, Janet, Instructor (part-time), English, 8/16/96 through 12/31/96.
- Johnson, Tanya R., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Kaiser, David A., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Krause, Margaret H., Instructor (part-time), Chemistry, 8/16/96 through 12/31/96.
- Leedham, Cynthia, Instructor (part-time), Sociology, 8/16/96 through 12/31/96.
- Morley, Elizabeth L., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Moss, Marvin T., Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Norman, Charlene W., Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Pichard, Leisa D., Instructor (part-time), English, 8/16/96 through 12/31/96.
- Pruett, J. Lynn, Instructor (part-time), English, 8/16/96 through 12/31/96.
- Rhorer, Marc A., Instructor (part-time), Sociology, 8/16/96 through 12/31/96.
- Seiler, Michael J., Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Shaw, Michael F., Instructor (temporary), Mathematics, 8/16/96 through 12/31/96.
- Slaton, Eric R., Instructor (part-time), Russian and Eastern Studies, 8/16/96 through 12/31/96.
- Stamatiadis, Anne Marie W., Instructor (part-time), Spanish and Italian, 9/1/96 through 12/31/96.
- Towell, Elizabeth, Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Vanderpool, Betty L., Instructor (part-time), Mathematics, 8/16/96 through 12/31/96.
- Wardle, Linda S., Instructor (part-time), Sociology, 8/16/96 through 12/31/96.
- Wegrzyn, Joseph F., Instructor (part-time), English, 8/16/96 through 12/31/96.
- White, C. Patrick, Instructor (part-time), English, 8/16/96 through 12/31/96.
- Woodrum, Kim R., Instructor (part-time), Chemistry, 8/16/96 through 12/31/96.

College of Communications and Information Studies

Brown, Lucinda, Instructor (part-time), Library and Information Science, 8/16/96 through 12/20/96.

Miller, Robert O., Instructor (part-time), Communication, 8/16/96 through 12/16/96.

Hornsby, Donna, Instructor (part-time), Library and Information Science, 8/16/96 through 12/20/96.

Wesley, Threasa, Instructor (part-time), Library and Information Science, 8/16/96 through 12/20/96.

College of Engineering

Reynolds, James D., Adjunct Assistant Professor, Civil Engineering, 7/1/96 through 6/30/98.

College of Fine Arts

Adams, Jeffrey, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Buchanan, Margo, Instructor (part-time), Theatre, 8/1/96 through 12/31/96.

Chaney, Victor, Instructor (part-time), Theatre, 8/1/96 through 12/31/96.

Ellis, Carey, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Glixon, Beth L., Instructor (part-time), Music, 9/1/96 through 12/31/96.

Henderson, Marie, Instructor (part-time), Theatre, 8/1/96 through 12/31/96.

Heying, Patti Lynn, Instructor (part-time), Theatre, 8/1/96 through 12/31/96.

McLaughlin, John T., Instructor (part-time), Art, 8/15/96 through 12/20/96.

Osland, Lisa, Instructor (part-time), Music, 9/1/96 through 12/31/96.

Pickett, Irwin, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Roller, Jonathan, Instructor (part-time), Music, 9/1/96 through 12/31/96.

Shaver, Michael, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Slade, Susan, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Stofer, Jill, Instructor (part-time), Art, 8/1/96 through 12/31/96.

Stucky, Rodney, Instructor (part-time), Music, 9/1/96 through 12/31/96.

D. PROMOTION WITHOUT TENURE

College of Business and Economics

Schneck, Leonard J., from Instructor, Management, to Assistant Professor, Management, 7/1/96 through 6/30/97.

E. CHANGE

College of Arts and Sciences

Slaymaker, Douglas, to change from Assistant Professor, Russian and Eastern Studies, to Instructor, Russian and Eastern Studies, 9/1/96 through 6/30/97.

F. LEAVES OF ABSENCE

College of Arts and Sciences

Banning, Lance G., Professor (with tenure), History, Scholarly fellowship leave, 1/1/97 through 6/30/97.

Ferm, John C., Professor (with tenure), Geological Sciences, Sabbatical leave with half salary, 1/1/97 through 6/30/97.

Leinbach, Thomas, Professor (with tenure), Geography, Leave without pay, 7/1/96 through 6/30/97.

MacDonald, Joyce, Associate Professor (with tenure), English, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

Reedy, Daniel B., Professor (with tenure), Spanish and Italian, and Dean, Graduate School, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

Yates, Steven W., Professor (with tenure), Chemistry, Sabbatical leave with half salary, 1/1/97 through 6/30/97 and 1/1/98 through 6/30/98.

College of Engineering

Cathey, Jimmie J., Professor (with tenure), Electrical Engineering, Sabbatical leave with half salary, 1/1/97 through 6/30/97 and 1/1/98 through 6/30/98.

Gillis, Peter P., Professor (with tenure), Chemical and Materials Engineering, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

Graduate School

Stempel, John D., Professor (with tenure), Patterson School of Diplomacy, and Director, Patterson School of Diplomacy, Sabbatical leave with full salary, 1/1/97 through 6/30/97.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

- Bowe, Edwin A., Associate Professor* (without tenure), Anesthesiology, 10/23/96 through 6/30/97.
- Buyalos, Richard P., Associate Professor (without tenure), Obstetrics and Gynecology, 11/01/96 through 6/30/97.
- Dalmeida, Rebecca E., Assistant Professor, Anesthesiology, 10/23/96 through 6/30/97.
- Hajjar, Gail B., Clinical Instructor, Anesthesiology, 10/23/96 through 6/30/97.
- Szilvassy, Stephen J., Assistant Professor, Internal Medicine, 11/01/96 through 6/30/97.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

- Barton, Edwin T., Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Bettany-Saltikov, Josette A., Assistant Professor, Clinical Sciences, 11/01/96 through 6/30/97.
- Carter, Laura S., Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Church, Denise, Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Dew, Kevin D., Assistant Professor (voluntary), Health Services, 10/23/96 through 6/30/97.
- Goldstein, Jennifer, Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Hoffman, Steven, Instructor (voluntary), Clinical Sciences, 10/23/96 through 6/30/97.
- Manning, David E., Assistant Professor (voluntary), Health Services, 9/19/96 through 6/30/97.

*Special Title Series

Umstot, Richard K., Assistant Professor (voluntary), Health Services, 10/23/96 through 6/30/97.

Vanlue, Scott W., Assistant Professor (voluntary), Health Services, 10/23/96 through 6/30/97.

Wall, Joseph J., Assistant Professor (voluntary), Health Services, 10/23/96 through 6/30/97.

College of Dentistry

Shepherd, Ronald D., Assistant Professor (voluntary), Oral Health Science, 10/23/96 through 6/30/97.

College of Medicine

Akin, James W., Assistant Professor (voluntary), Obstetrics and Gynecology, 10/23/96 through 6/30/97.

Duckworth, Geoffrey S., Assistant Professor (voluntary), Psychiatry, 10/23/96 through 6/30/97.

Duckworth, Hazel M., Assistant Professor (voluntary), Psychiatry, 10/23/96 through 6/30/97.

Homm, Robert J., Assistant Professor (voluntary), Obstetrics and Gynecology, 10/23/96 through 6/30/97.

Kendrick, Jack, Assistant Professor (voluntary), Internal Medicine, 10/23/96 through 6/30/97.

O'Neill, Terence, Assistant Professor (voluntary), Internal Medicine, 10/23/96 through 6/30/97.

Park, Frederic C., Assistant Professor (voluntary), Diagnostic Radiology, 10/23/96 through 6/30/97.

Schoenberg, Nancy E., Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, Department of Anthropology, College of Arts and Sciences, 11/01/96 through 6/30/97.

College of Nursing

Arflack, Kathryn, Instructor (voluntary), 10/23/96 through 6/30/97.

Ewen, Julianne, Instructor (voluntary), 10/23/96 through 6/30/97.

Friel, Margaret, Instructor (voluntary), 10/23/96 through 6/30/97.

Froemke, Heidi, Instructor (voluntary), 10/23/96 through 6/30/97.

Howard, Patricia K., Instructor (voluntary), 10/23/96 through 6/30/97.

Hungerbuhler, Jennifer, Instructor (voluntary), 10/23/96 through 6/30/97.

May, Beverly, Instructor (voluntary), 10/23/96 through 6/30/97.

Reid, Jacquelyn, Assistant Professor (voluntary), 10/23/96 through 6/30/97.

Ziegler, Susan L., Instructor (voluntary), 10/23/96 through 6/30/97.

College of Pharmacy

Adams, Val R., Assistant Professor*, 10/23/96 through 6/30/97.
Castle, Sharon S., Instructor (voluntary), 9/9/96 through 6/30/97.
Degnan, Dan, Instructor (voluntary), 10/23/96 through 6/30/97.
Henderson, Robin L., Instructor (voluntary), 9/11/96 through 6/30/97.
McIntosh, Bruce A., Instructor (voluntary), 9/10/96 through 6/30/97.
Murphy, Debra A., Instructor (voluntary), 10/23/96 through 6/30/97.
Santrock, David A., Instructor (voluntary), 9/9/96 through 6/30/97.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Sprang, Robert R., Telemedicine Project Director, Telemedicine Services,
effective 9/9/96.

C. JOINT APPOINTMENTS

College of Allied Health Professions

Belhasen, Franklen, Assistant Professor (voluntary), Health Services, joint
appointment as Assistant Professor (voluntary), College of Medicine,
Department of Family Practice, 10/23/96 through 6/30/97.
Shultz, William T., Assistant Professor (voluntary), Health Services, joint
appointment as Assistant Professor (voluntary), College of Medicine,
Department of Family Practice, 10/23/96 through 6/30/97.

D. CHANGES

College of Medicine

Caborn, David N., from Assistant Professor, Surgery, to Assistant Professor*,
Surgery, 10/23/96 through 6/30/97.
Kramer, Carol A., from Assistant Professor, Radiation Medicine, to Assistant
Professor (part-time), Radiation Medicine, 10/23/96 through 6/30/97.

E. LEAVES OF ABSENCE

Graduate School

Sisken, Betty F., Research Professor, Center for Biomedical Engineering, leave
without pay, 11/1/96 through 6/30/97.

*Special Title Series

PR 4A

Office of the President
October 22, 1996

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN
THE COMMUNITY COLLEGE SYSTEM
JEFFERSON COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Industrial Chemical Technology, for the Jefferson Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Jefferson Community College has worked with the local Advisory Board and business and industry leaders to develop this innovative new two-year degree program in Industrial Chemistry Technology. Jefferson and Carroll counties have a heavy concentration of chemical industries. The College's assessment of local workforce needs demonstrates a clear and compelling demand for graduates from this program. The program is included in the College's 1996-1998 Strategic Plan and is on the official list of new program priorities on file with the Council on Higher Education.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken: Approved Disapproved Other _____

PR 4B

Office of the President
October 22, 1996

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN
THE COMMUNITY COLLEGE SYSTEM
PADUCAH COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Occupational Therapy Assistant, for the Paducah Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Paducah Community College has worked with the Paducah Junior College Board and area health care leaders in determining the need for graduates from this program. Currently, associate degree seeking allied health students have only two degree options, nursing and physical therapist assistant. Implementation of this program would facilitate effective utilization of present resources while meeting the needs of health care employment institutions in Western Kentucky. A needs survey indicates a clear and compelling demand for graduates of the program. The program is included in the College's 1996-1998 Strategic Plan and is on the official list of new program priorities on file with the Council on Higher Education.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken: Approved Disapproved Other _____

PR 5A

Office of the President
October 22, 1996

Members, Board of Trustees:

Ph.D. IN SOCIAL WORK

Recommendation: that the Board of Trustees authorize, for submission to the Council on Higher Education, a new degree program, the Ph.D. in Social Work.

Background: The Council on Higher education is empowered to approve all higher education degree programs. The purpose of this Ph.D. program is to prepare graduates to serve as faculty members in social work programs in Kentucky universities and institutions nationally, and as top level administrators, planners, policy analysts, and program evaluators in an extensive range of social service agencies. Demand for such well prepared individuals has increased sharply. Of the top twenty largest social work programs in the country, only the University of Kentucky and the University of Louisville do not offer doctoral level programs.

The program will be offered jointly by the social work faculties of the University of Kentucky and the University of Louisville. Faculty members from both institutions participated in the design of the program. It will be a single program administered by the Graduate Schools of the two institutions. Prospective students will matriculate at one of the institutions and all policies, rules, procedures and standards of that institution will apply. Courses may be taken at both institutions. Final decisions on student admissions will be made by an admissions committee composed of faculty members from both institutions. Shared course sessions and advisory committee meetings will be handled through distance learning technologies. The curriculum consists of three components: core curriculum, individualized plan of instruction and the dissertation.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

Action taken:



Approved

Disapproved

Other _____

PR 6A

Office of the President
October 22, 1996

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND
HEALTH ASSOCIATES OF KENTUCKY, INC.

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Health Associates of Kentucky, Inc. which was negotiated pursuant to the Board of Trustees Resolution of December 12, 1995 authorizing the University's participation in the development of a health care services corporation to manage, provide and deliver medical services to the citizens of the Commonwealth.

Background: The Board action on December 12, 1995 provided, in part, that:

The relationship between the University of Kentucky and this newly formed corporation be set forth and described in a contractual agreement, which will be submitted to and approved by the Board of Trustees.

Health Associates of Kentucky, Inc. was incorporated on December 15, 1995, and subsequent to the incorporation, the University invested \$8 million for which it received stock of the corporation.

Since December 1995 Health Associates of Kentucky, Inc. has purchased a pediatric physician practice in Corbin, Kentucky, Urgent Treatment Centers of Kentucky and Health Partners, PSC. In addition, Health Associates of Kentucky has evaluated several physician practices for affiliation and/or partnering purposes and is now prepared to offer management services to other physician providers. The University employs the physicians who provide services at the several physician practices owned by Health Associates of Kentucky, thereby ensuring the extension of the Medical Center's network of physicians and other health care professionals who will be available for teaching, research and service.

The contractual agreement between the University and Health Associates of Kentucky makes provisions for reimbursements between the parties for shared employees or services, financial and management reporting to the Board of Trustees (April and August 1997); delineation of

responsibilities for management of University physicians and Health Associates of Kentucky employees; professional liability insurance; records retention and availability; compensation to Health Associates of Kentucky for management services; and annual review of the contractual agreement.

Development of the contractual agreement has taken several months due to the need to address the special intricacies of health care laws and regulations and the need to assess the best ways each party could contribute toward maximizing the University's mission of serving the health care needs of the Commonwealth.

Action taken: Approved Disapproved Other

THIS AGREEMENT made and entered into this _____ day of _____, 1996 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, an independent agency and instrumentality of the Commonwealth of Kentucky, existing pursuant to section 164.000 et seq. of the Kentucky Revised Statutes (hereinafter referred to as "University") and HEALTH ASSOCIATES OF KENTUCKY, INC., a Kentucky corporation (hereinafter referred to as "HAK").

WITNESSETH:

Section 1. RECITALS

A. In recognition of the University's need to develop collaborative and integrated delivery systems to participate in and support the management of Kentuckians' health care needs, the University provided investment capital for the formation of a corporation with the ability (i) to develop collaborative health care initiatives, (ii) to acquire medical practice/ provider sites and (iii) to provide management services for medical practice/provider sites.

B. HAK was organized pursuant to this initiative and has begun to conduct the activities for which it was formed, and is positioned to enable the University to extend availability of the expertise of its Medical Center to multiple Kentucky locations for clinical care, outreach training programs for students, and research.

C. The University has authorized the President to negotiate and execute a contract with HAK, subject to approval by the University's Board of Trustees, setting forth the relationship between the parties.

Section 2. DEFINITIONS

A. "Clinics" means premises, equipment, and related businesses and operations that are owned, leased, operated, and/or managed by HAK including those used by the University to further teaching, research, and service activities of the Chandler Medical Center.

B. "Doctors" means those physicians who are employed by, or under contract with, the University to provide professional medical services to patients at the Clinics.

C. "University," as used herein whenever approvals or consents are necessary, means the approval or consent of the President or his or her designee unless approval of the Board of Trustees is required.

Section 3. GENERAL RELATIONSHIP OF THE PARTIES

A. Independent Relationship. University and HAK intend to act and perform as independent contractors. Notwithstanding the authority granted to HAK herein, HAK and University agree that University shall retain the authority to direct the medical, professional, and ethical aspects of its medical practice. Each party shall be solely responsible for and shall comply with all state and federal laws pertaining to employment taxes, income withholding, unemployment compensation contributions and other employment related statutes applicable to that party. HAK shall not be required to or actually engage in the practice of medicine or otherwise act in any manner which, under applicable law, requires a license to practice medicine.

B. HAK Assets, Stock. HAK covenants and agrees that it will not without written consent of the University merge with any other corporation, convey all or substantially all of its assets, enter into partnership agreements with other entities or sell any or all of its stock.

C. Management of Doctors. The University is responsible for, and retains authority over the medical, professional, and ethical aspects of medical practice in the Clinics as performed by its employees. The University will recruit and employ Doctors to provide high quality medical services in the Clinics, will ensure that such Doctors are appropriately licensed by the Commonwealth of Kentucky, and will conduct an ongoing

program to monitor the quality of medical care provided in the Clinics. The University will immediately inform HAK of any disciplinary or medical malpractice action initiated against any of the Doctors. HAK and University mutually acknowledge that all medical practice in the Clinics shall be conducted solely by the Doctors. Practice in the Clinics shall be conducted in full compliance with ethical standards, laws and regulations applicable to the medical profession, local ordinances, and standards of medical care. While providing medical care at the clinics the Doctors will assist in developing and comply with established goals and objectives of the Clinics and assist in developing and comply with operational standards, scheduling and other management guidelines to implement continuity and consistency of care.

D. Patient Referrals. The parties agree that the benefits to Doctors hereunder do not require, are not payment for, and are not in any way contingent upon the admission, referral or any other arrangement for the provision of any item or service offered by HAK or University to any of Doctors' patients in any facility or laboratory controlled, managed or operated by HAK or University.

Section 4. OBLIGATIONS OF HAK FOR OWNED OR LEASED CLINICS

A. Premises, Equipment, and Supplies. HAK will provide offices and facilities for the Clinics, equipment needed to provide high quality medical services in the Clinics, and all supplies and materials needed for the day-to-day operation of the Clinics. HAK will repair and maintain clinic premises and equipment and will provide utility (telephone, electricity gas, and water), janitorial, and refuse disposal services for the Clinics. HAK will consult fully with the University concerning premises, equipment, supplies, and services for the Clinics, and agrees to acquire or lease Clinics at mutually acceptable sites to further the mission of the University.

B. Management Services. HAK will provide all management services needed to operate the Clinics on a day-to-day basis, including the following: (i) clerical, accounting, bookkeeping, computing, printing, mail and postage, and duplication services; (ii) legal and accounting services incurred in the ordinary course of Clinic operations; (iii) support personnel for medical practice; (iv) supervision and custody of

business files and records and those medical files and records that are maintained on the premises of the Clinics; and (v) other administrative and business services needed to operate the Clinics in an efficient and effective manner. HAK shall provide these services in whatever manner it finds to be most reasonably appropriate for the day-to-day requirements of the Clinics, including the right to perform business functions at locations outside the Clinics and to provide needed services through the use of qualified subcontractors. The University shall take no actions that unnecessarily impact on the ability of HAK to manage the Clinics in a businesslike manner.

C. Staffing. HAK shall select a Director to manage and administer the day-to-day business functions of the Clinics and shall employ all other personnel reasonably needed to perform the services described in subsections (A) and (B) of this Section, including but not limited to clerical, secretarial, administrative, janitorial, technical and non-technical professional support personnel, including nurses, nurse practitioners and physician assistants. HAK shall determine the compensation and fringe benefits of these individuals (both the Director and other personnel) and they shall for all purposes be employees of HAK. HAK shall consult with the University concerning the performance of its employees, shall honor specific University requests concerning employee assignments (if consistent with sound business practices), and shall provide for the Clinic's high quality medical support personnel and services. While assisting Doctors in providing medical care, clinic support personnel selected and hired by HAK will be subject to the supervision of Doctors. For all other management purposes, clinic support personnel will be subject to the direction and supervision of HAK management.

D. Physician Billing. For services rendered at Clinics by University contract staff Doctors, HAK shall manage the billing and collection of professionally generated clinical income. For Doctors who are faculty of the University's College of Medicine, HAK will, in coordination with the University's Medical Practice Plan, furnish necessary information for billing and collection of professionally generated clinical income at Clinics

Section 5. OBLIGATIONS OF THE UNIVERSITY

A. Doctors. The University shall employ Doctors to staff the Clinics in accord with University policies and/or procedures.

B. Medical Malpractice. Pursuant to the provisions of KRS 164.939 et seq University employed Doctors may be entitled to the benefits of the Basic and Excess Coverage Compensation Funds and any other malpractice insurance provisions provided by University. Notwithstanding the foregoing, HAK may procure other medical malpractice insurance for the benefit of University employed Doctors at the sole cost of HAK.

C. Professional Licenses, Dues and Education Expenses. Doctors shall be solely responsible for the cost of licenses, membership in professional associations, and continuing professional education for the Doctors. Doctors shall ensure that physician extenders participate in such continuing medical education as is necessary for such employee to remain current and fulfill all state licensure requirements.

D. Professional Insurance. University shall cooperate in the obtaining and retaining of professional liability insurance for physician extenders by assuring that the physicians are insurable, and participating in an ongoing risk management program; however, the cost of professional liability insurance for physician extenders shall be the responsibility of HAK.

Section 6. RECORDS, BOOKS AND REPORTS

A. Records. Patient medical records in the Clinics are and shall remain the property of the University. Such records shall be managed in compliance with applicable state and federal laws and shall be maintained in the Clinics or in locations otherwise designated by the University. HAK and the University shall use their best efforts to preserve expected confidentiality of such records and shall use such records only for legitimate medical and professional purposes. -

B. Books. The books, records, and accounts of HAK shall be open to inspection and audit by properly designated University representatives, including Internal Auditors, at all reasonable times and for all reasonable purposes at the sole expense of University.

HAK shall deliver to the University annually an Audited Financial Statement (including an opinion of independent certified public accountants, a balance sheet, a statement of changes in fund balances, a statement of current revenues and expenditures, and supplementary schedules) and shall deliver quarterly a Financial Statement that includes a balance sheet and statement of operations.

C. Reports. In April of 1997, and thereafter in August of each calendar year, HAK shall provide the University's Board of Trustees a written report containing a description of all its significant activities for that year and all significant activities contemplated for the succeeding year, consistent with an annual report to shareholders.

**Section 7. COMPENSATION FOR MANAGEMENT SERVICES AND
 FACILITY FEES**

A. Reimbursement to University; HAK Compensation. University may make available to HAK the services of professional personnel, including Doctors, in order to implement the University's commitment to providing teaching, research, service and health care. University will submit to HAK on or before the fifteenth (15th) day of each month, its bill for services performed by University personnel during the previous calendar month, which HAK shall pay on or before the thirtieth (30th) day of each month. For recurring costs, HAK may pre-pay any charges as agreed by the parties. After making payment to the University for the services of professional personnel, HAK will retain any remaining collected revenues as assigned by University contract staff Doctors. Retention by HAK of the remaining collected revenues is in consideration for HAK's performance of the management services, as payment for the provision of the facilities and equipment, and as payment for the provision of clerical, technical and other support personnel at the Clinics.

B. Reimbursement to HAK. HAK may make available to the University the services of professional personnel, in order to implement the University's commitment to providing teaching, research, service and health care. HAK will submit to the University on or before the fifteenth (15th) day of each month, its bill for services performed by

HAK personnel during the previous calendar month, which University shall pay on or before the thirtieth (30th) day of each month.

Section 8. MANAGEMENT SERVICES

A. Services at Owned or Leased Clinics The parties acknowledge that the operation of the Clinics is the result of activities of both the University and HAK. In addition, at those Clinics where College of Medicine faculty are assigned, Kentucky Medical Services Foundation, Inc. (KMSF), the billing agent for faculty physicians of the University's College of Medicine, may also participate in certain activities. The parties will determine in writing for each clinic site the appropriate assignment of responsibility for billing and collecting, finance and budget, managed care contracts, information systems, hospital collaborations, marketing, staffing and other management services, with the attendant costs and fees to be paid by the parties.

B. Services at Non Owned or Leased Clinics The University acknowledges that HAK may enter into certain affiliations or management contracts with clinics which are neither owned nor leased by it or the University. At those clinic sites, HAK, in the exercise of its corporate purposes, will engage in services pursuant to contracts with the owner/operator of the clinics. The services to be provided may include but not be limited to: billing and collecting, finance and budget, managed care contracts, information systems, hospital collaborations, marketing, staffing, other management services and any other services authorized by HAK's Articles of Incorporation. HAK may engage in such activities directly or through subcontract with others. HAK will retain all revenues generated from the provision of such management services.

Section 9. MISCELLANEOUS PROVISIONS

A. Unforeseeable Events. The parties shall not be liable to each other for failure to perform under this Agreement because of strikes, lockouts, calamities, acts of God, unavailability of supplies, or other events beyond their reasonable control.

B. Assurances. The parties will maintain high standards of quality in the medical and professional services of the Clinics, will comply with all applicable federal, state, and local laws and regulations in the performance of their obligations under this Agreement,

and will execute any document needed by the other party to perform obligations under the Agreement.

C. Integration and Amendment. This Agreement expresses the entire contract between the parties and neither shall be bound by any other agreements or understandings, written or oral. This Agreement shall not be modified or amended except by a written document executed by both parties.

D. Waiver and Severability. No term or condition of this Agreement can be waived except in writing and signed by both parties, except as provided in subsection E below. The waiver of any of the terms and conditions of the Agreement shall not be deemed severable, and if any such provision is held invalid, illegal or unenforceable for any reason, the remainder of the Agreement shall remain effective and binding upon the parties.

E. Special Waiver. Because HAK (as a corporate entity) has neither authority nor qualifications to engage in the practice of medicine, any act or service required of HAK by this Agreement is hereby waived and deemed forever unenforceable to the extent that it is construed to be the practice of medicine by a court or any other governmental authority. HAK may not assert that any act or service required of it by this Agreement constitutes the practice of medicine.

F. Assignment. This Agreement may not be assigned in whole or in part by either party without the express written consent of the other party.

G. Term. This agreement shall be effective as of December 29, 1995 and shall terminate on June 30, 1998 and shall be reviewed by the parties in June, 1997.

H. Governing Law. The validity and interpretation of, and performance under, this Agreement shall be governed by the laws of the Commonwealth of Kentucky.

I. Records Access. Pursuant to Public Law 96-499, Section 952, HAK agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such

arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If HAK carries out any of the duties under this contract through a subcontract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.

J. Notices. All notices, demands and requests hereunder shall be in writing and delivered by certified mail or personal delivery to:

UNIVERSITY

President
104 Administration Building
University of Kentucky
Lexington, KY 40506-0032

Chancellor for Medical Center
A301 Kentucky Clinic
University of Kentucky
Lexington, KY 40536

HAK

Director
3174 Custer Drive
Lexington, KY 40517

Notices shall be deemed served on the date of actual receipt.

K. Termination. Either party may terminate this Agreement by giving thirty (30) days written notice of termination to the other party.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

BY: _____
Charles T. Wethington, Jr.
President

ATTEST: _____

HEALTH ASSOCIATES OF
KENTUCKY, INC.

BY: _____
James W. Holsinger, Jr., M.D.,
Ph.D.
President

ATTEST: _____

FCR 1

Office of the President
October 22, 1996

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED
SEPTEMBER 30, 1996

Recommendation: that the Board of Trustees accept the University of Kentucky Consolidated financial report for the three months ended September 30, 1996.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1996, the University had realized income of \$329,146,000 representing 29% of the 1996-97 estimate of \$1,135,111,000. Expenditures and commitments total \$285,144,000 or 25% of the approved budget of \$1,135,111,000.

Action taken: Approved Disapproved Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 1996

with comparative totals for September 30, 1995
(in thousands)

	1996-97					1995-96	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 82,680	\$ 39,373	\$ 1,673	\$ 143	\$ 197,122	\$ 320,991	\$ 302,138
Notes, loans, and A/R (less bad debt allowances of \$11,005)	90,739	16,733	21,807	328	2,763	132,370	139,110
Investments	24,002	68,082		151,218	64,644	307,946	269,022
Property, plant, and equipment					1,287,236	1,287,236	1,198,984
Inventories and other	17,088	65				17,153	13,786
Total Assets	\$ 214,509	\$ 124,253	\$ 23,480	\$ 151,689	\$ 1,551,765	\$ 2,065,696	\$ 1,923,040
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 24,266	\$ 3,483	\$ 191		\$ 44	\$ 27,984	\$ 30,983
Employee withholdings and deposits		29,106				29,106	20,135
Other liabilities	3,123	1,158			5,197	9,478	6,533
Liability for self insurance	37,417					37,417	32,653
Deferred income	12,612	11,472				24,084	19,286
Bonds payable					331,014	331,014	348,314
Capitalized lease obligation					34,101	34,101	37,342
Net deferred revenues and appropriated fund balances	53,067	1,020				54,087	90,652
Total Liabilities	130,485	46,239	191		370,356	547,271	587,898
Interfund Balances	(36,643)	35,018			1,625		
Fund Balances							
Current unrestricted							
Working capital	101,686					101,686	103,356
Future operating purposes	9,504					9,504	9,374
Other	9,477					9,477	6,130
Current restricted		42,996				42,996	33,807
Loan			23,289			23,289	21,347
True endowments				\$ 89,028		89,028	73,947
Term endowments				9,426		9,426	7,518
Quasi endowments				53,235		53,235	49,943
Plant							
Retirement of indebtedness					15,805	15,805	14,812
Renewal and replacement					102,861	102,861	73,855
Allocated for designated projects					139,442	139,442	87,149
Net investment in plant					921,676	921,676	853,904
Total Fund Balances	120,667	42,996	23,289	151,689	1,179,784	1,518,425	1,335,142
Total Liabilities and Fund Balances	\$ 214,509	\$ 124,253	\$ 23,480	\$ 151,689	\$ 1,551,765	\$ 2,065,696	\$ 1,923,040

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1996
with comparative totals for September 30, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 345,946	\$ 345,946	\$ 86,486	25	\$ 82,516	25
(2) Student fees	130,677	130,693	63,414	49	61,344	49
(3) Federal appropriations	15,240	15,240	3,836	25	3,750	25
(4) County appropriations	6,194	6,194	1,169	19	1,109	19
(5) Endowment income	822	822	174	21	172	21
(6) Investment income	8,599	8,599	2,851	33	2,859	34
Gifts and grants						
(7) Affiliated corporations	11,492	11,492	2,487	22	2,280	23
(8) Other	54,601	54,651	9,928	18	576	11
(9) Sales and services	45,436	45,952	7,914	17	5,679	19
(10) Fund balance	15,820	15,820	15,820	100	14,542	100
(11) Total General Fund	634,827	635,409	194,079	31	174,827	32
(12) Auxiliary Enterprises	42,603	42,603	11,790	28	12,419	32
(13) Restricted Funds	89,460	90,043	27,113	30	21,663	27
(14) Affiliated Corporations	140,679	140,675	32,044	23	30,404	24
Hospital						
(15) Revenues	226,381	226,381	64,120	28	67,272	30
(16) Fund balance					15,600	
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 1,133,950</u>	<u>\$ 1,135,111</u>	<u>\$ 329,146</u>	29	<u>\$ 322,185</u>	31

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1996
with comparative totals for September 30, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 275,018	\$ 273,009	\$ 65,628	24	\$ 60,401	25
(2) Research	130,517	131,066	33,601	26	30,413	25
(3) Public service	141,226	140,607	33,486	24	24,011	27
(4) Academic support	76,883	77,276	20,582	27	16,768	26
(5) Student services	25,559	26,035	6,918	27	6,173	25
(6) Institutional support	48,288	50,557	11,179	22	9,945	22
(7) Student financial aid	61,666	62,045	28,047	45	21,645	36
(8) Operation and maintenance	46,847	46,570	11,323	24	9,249	20
(9) Mandatory transfers (debt service)	33,018	33,018	1,929	6	1,782	5
(10) Hospital	227,014	227,014	54,289	24	44,630	18
(11) Auxiliary enterprises	67,914	67,914	18,162	27	16,500	27
(12) Total Expenditure by Program	<u>\$ 1,133,950</u>	<u>\$ 1,135,111</u>	<u>\$ 285,144</u>	25	<u>\$ 241,517</u>	23

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1996
with comparative totals for September 30, 1995
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1996-97				1995-96	
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 647,590	\$ 649,216	\$ 162,587	25	\$ 136,062	24
(14) Operating expenses	393,908	388,669	98,453	25	87,033	24
(15) Mandatory transfers (debt service)	41,529	41,529	5,424	13	5,216	13
(16) Capital outlay	50,923	55,697	18,680	34	13,206	18
(17) Total Expenditure by Category	<u>\$ 1,133,950</u>	<u>\$ 1,135,111</u>	<u>\$ 285,144</u>	25	<u>\$ 241,517</u>	23

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1996
with comparative totals for September 30, 1995
(in thousands)

	(A)	(B)	(C)
	<u>1996-97</u>		<u>1995-96</u>
(1) Realized Revenues		\$ 313,326	\$ 292,043
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 15,820		14,542
(3) University Hospital			<u>15,600</u>
(4) Total Appropriated Fund Balances		<u>15,820</u>	<u>30,142</u>
(5) Total Revenues and Appropriated Fund Balances		329,146	322,185
(6) Expenditures/Encumbrances	285,144		241,517
(7) Less: Reserve for Encumbrances	<u>(9,475)</u>		<u>(9,341)</u>
(8) Total Expenditures		<u>275,669</u>	<u>232,176</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		<u>610</u>	<u>643</u>
(10) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 54,087</u>	<u>\$ 90,652</u>

FCR 2

Office of the President
October 22, 1996

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF
THE UNIVERSITY OF KENTUCKY AND AFFILIATED
CORPORATIONS AND OTHER RELATED ORGANIZATIONS
FOR THE YEAR ENDED JUNE 30, 1996

Recommendation: that the Board of Trustees accept the financial statements of the University of Kentucky for the year ended June 30, 1996, including separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, and special reports submitted by Deloitte & Touche on Internal Control Structure, on Compliance with Laws, Regulations, Contracts and Grants, and on Compliance with House Bill 622.

That the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1996.

Background: The Finance Committee of the Board of Trustees has reviewed the financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Deloitte & Touche, Certified Public Accountants, and are presented to the Board for acceptance. Deloitte & Touche has also submitted certain reports to the Board of Trustees as required by *Government Auditing Standards*.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

Action taken: Approved Disapproved Other _____

FCR 3

Office of the President
October 22, 1996

Members, Board of Trustees:

DISPOSAL OF SURPLUS PROPERTY

Recommendation: that the Board of Trustees approve the disposal of surplus property as described in Attachment I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all surplus property which is no longer needed by the University. The Board must be provided with a description of the property and the reasons for the method of disposal. Attachment I provides the required information for requested surplus property disposal.

Action taken: Approved Disapproved Other _____

Attachment I

UNIVERSITY OF KENTUCKY
DISPOSAL OF SURPLUS PROPERTY

Property Description	Classical LP Phonograph Recordings
Reason for Disposal	The University of Kentucky radio station, WUKY, has a collection of approximately 3,000 classical LP phonograph recordings that has become surplus to the needs of the University of Kentucky. The majority of the collection is more than twenty years old and immediate sale will prohibit storage expense, additional depreciation and loss of value. Music recordings currently used by WUKY are on compact discs.
Method of Sale	Scaled Bid

FCR 4

Office of the President
October 22, 1996

Members. Board of Trustees:

DISPOSITION OF PROPERTY

Recommendation: that the Vice President for Fiscal Affairs be authorized to sell .179 acres of land to the Kentucky Transportation Cabinet. The property is located along Berea Road in Fayette County, Kentucky.

Background: The land is part of Spindletop Farm and lies along the edge of the farm bounded by Berea Road. The Kentucky Transportation Cabinet requires the land for a bridge widening project. The property value is assessed at \$635.00

Action taken: Approved Disapproved Other _____

FCR 5

Office of the President
October 22, 1996

Members, Board of Trustees:

PURCHASE OF PROPERTY

Recommendation: that the Board of Trustees approve the purchase of real property located at 654 Maxwellton Court, Lexington, Kentucky, from H. Joy Fitzpatrick, 5 Short Hill Lane, #15, Erlanger, Kentucky, at an appraised price of \$102,500.

Background: The property at 654 Maxwellton Court is located in the University of Kentucky acquisition area as approved by the Board of Trustees in the 1996-98 Biennial Request.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
October 22, 1996

Members, Board of Trustees

PURCHASE OF PROPERTY

Recommendation: that the Board of Trustees approve the purchase of real property located at 212 East Maxwell Street, Lexington, Kentucky, from Luther and Rebecca Corman, 328 Lexington Avenue, Lexington, Kentucky, at an appraised price of \$152,500.

Background: The property at 212 East Maxwell is located in the University of Kentucky acquisition area as approved by the Board of Trustees in the 1996-98 Biennial Request.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
October 22, 1996

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Bradley B. Hill, Serial No. (to be assigned), filed (to be filed).

Background: Bradley B. Hill has invented certain improvements in ANGLED SNARE ASSEMBLY. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken: Approved Disapproved Other _____

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: **BRADLEY B. HILL**

Serial Number:

Filed:

For: **ANGLED SNARE ASSEMBLY**

and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including

any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 22nd day of October, 1996.

THE BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

Edward T. Beathitt

S E A L

ATTEST:

Lois Weinberg
Secretary, Board of Trustees

FCR 8

Office of the President
October 22, 1996

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Peter A. Crooks, Linda P. Dwoskin and Alain Ravard, Serial No. 08/503/904, filed July 18, 1995.

Background: Peter A. Crooks, Linda P. Dwoskin and Alain Ravard have invented certain improvements in NICOTINIC RECEPTOR ANTAGONISTS IN THE TREATMENT OF NEUROPHARMACOLOGICAL DISORDERS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action taken:

Approved

Disapproved

Other _____

A S S I G N M E N T

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by virtue of the assignment recorded at Reel 7873, Frame 0539 on March 28, 1996, of the following application for Letters Patent of the United States and the invention represented thereby:

Serial No.: 08/503/904
Filed: July 18, 1995
Inventors: Peter A. Crooks, Linda P. Dwoskin and
Alain Ravard
Entitled: NICOTINIC RECEPTOR ANTAGONISTS IN THE
TREATMENT OF NEUROPHARMACOLOGICAL
DISORDERS

WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY sells, assigns, transfers and sets over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, including all foreign rights and priority rights, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest in any and all Letters Patent of the United States which may be issued or granted including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, on or as a result of the application heretofore identified, in accordance with the terms of this agreement, and hereby covenants that it has

the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 23rd day of October, 1996.

BOARD OF TRUSTEES OF THE
UNIVERSITY OF KENTUCKY

SEAL

Edward T. Beathitt
Chairman

ATTEST:

Lois C. Weinberg
Secretary, Board of Trustees

FCR 9

Office of the President
October 22, 1996

Members, Board of Trustees:

GEORGE KOCH SONS FOUNDATION GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a \$60,000 gift and \$240,000 pledge from George Koch Sons Foundation of Evansville, Indiana, to Henderson Community College's Partners in Progress Campaign for a Tri-County Technology Center.

Background: George Koch Sons, Inc., a heating and air conditioning company with headquarters in Evansville, is the parent company of Gibbs Die Casting Corporation, located in Henderson. Gibbs is the largest employer in Henderson. Since its founding in 1966 Gibbs has grown steadily and is one of the largest die casting companies in the United States. The Henderson plant produces Gibbs die casts and permanent aluminum alloys, experimental alloys, and magnesium and metal matrix composites. Gibbs sells internationally to the automotive industry, but also produces refrigeration and office machine parts.

Henderson Community College is raising funds to build a Tri-County Technology Center, which would serve as a training center as needed by business and industry in Henderson, Union and Webster counties.

Action taken:

Approved

Disapproved

Other _____

FCR 10

Office of the President
October 22, 1996

Members, Board of Trustees:

JAMES GOLDEN, JR. GIFT

Recommendation: that the Board of Trustees accept a gift of primitive art, videos and photographs valued at \$274,645 from Dr. James Golden, Jr. of Pineville, Kentucky, for Southeast Community College's Appalachian Archive.

Background: Dr. Golden is a retired primary care physician who has spent a lifetime creating primitive art, photography and videos using a unique process of transillumination. Dr. Golden is donating this collection, which includes 1,183 pieces of art, 79 videos and 9,491 photographs and slides, to the college's archive. Acquiring the collection and subsequent projects related to the collection fits in well with the archive's mission to preserve and promote Appalachian culture.

Action taken: Approved Disapproved Other _____

FCR 11

Office of the President
October 22, 1996

Members, Board of Trustees:

THE ROBERT J. KLEBERG, JR. & HELEN C. KLEBERG
FOUNDATION GIFT

Recommendation: that the Board of Trustees accept a gift of \$122,000 from the Robert J. Kleberg, Jr. & Helen C. Kleberg Foundation of San Antonio, Texas, for the Sanders-Brown Center on Aging.

Background: The foundation's gift to the Center on Aging is for research on Alzheimer's disease. The funds will support the nationally recognized study of Roman Catholic nuns, who have volunteered to allow the Center to study their life history and to examine them at intervals during their life.

Action taken: Approved Disapproved Other _____

FCR 12

Office of the President
October 22, 1996

Members, Board of Trustees:

MICROSOFT CORPORATION GIFT-IN-KIND

Recommendation: that the Board of Trustees accept a gift-in-kind of software licenses valued at \$106,900 from Microsoft Corporation of Redmond, Washington, to Jefferson Community College.

Background: Jefferson Community College received an award of various software licenses valued at \$106,900 from Microsoft Corporation. This award was generated by an application submitted by a faculty member in response to a call by Microsoft for grant applications found on the Internet. Microsoft made awards to schools whose courses best met the objectives specified in the grant application and who were willing to share course syllabi and other materials through the school's Web pages on the Internet.

Action taken:

Approved

Disapproved

Other _____

FCR 13

Office of the President
October 22, 1996

Members, Board of Trustees:

PROPERTY CONVEYANCE TO
UNIVERSITY OF KENTUCKY
FROM LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT
COLDSTREAM RESEARCH CAMPUS

Recommendation: that the Administration be authorized to accept the conveyance of a 4.13 acre (more or less) parcel of the Government's park property. The parcel shall be conveyed, with special warranty, to the University as open space land (defined as land which is preserved for park-like and scenic purposes).

Background: As part of the University's plans for construction of Aristides Boulevard and Unit 2A of the Coldstream Research Campus, the University proposed construction of an entrance sign and wall, landscaping, a bikeway, and storm water retention upon a portion of the Government's property which abuts the north side of the proposed Aristides Boulevard and the eastern edge of proposed Lot 11. In order to allow for construction and maintenance of the referenced improvements, and in consideration of the University's promise to construct said improvements, the Government will convey the parcel of land.

The parcel conveyed from the Government will be included as part of Lot 11 and included in the lease to Winegardner and Hammons, Inc. to be part of their hotel property. This parcel will be maintained as part of the hotel operation.

Action taken: Approved Disapproved Other _____

FCR 14

Office of the President
October 22, 1996

Members, Board of Trustees:

PROPERTY CONVEYANCE TO
LEXINGTON FAYETTE URBAN COUNTY GOVERNMENT
COLDSTREAM RESEARCH CAMPUS

Recommendation: that the Administration be authorized to execute a temporary access easement to the Lexington Fayette Urban County Government encompassing the roadway extension from Aristides Boulevard and the farm road access across proposed Lot 10 to the Government's park land, and that the Administration be authorized to execute a permanent access easement to the Lexington Fayette Urban County Government lying 25 feet on each side of a center line being the common property line between proposed Lots 9 and 10 of the Coldstream Research Campus. These easements will provide temporary and permanent access to the Government's park land.

Background: The Lexington Fayette Urban County Government consents to the closing of the existing farm road entrance from the park land to Newtown Pike in order for the University to receive a permit from the Kentucky Department of Transportation for a curb cut on Newtown Pike directly across from the Marriott entrance. With the closing of the farm road entrance the Government would have no access to the park land. Without the closing of the farm road, the University would be unable to receive a permit to open the North entrance to Coldstream for the development of projects in that area.

This temporary easement will expire and shall be released upon the development of Lot 10 and the extension of Aristides Boulevard and McGrathiana Parkway.

In conjunction with the proposed development of the property now designated as proposed Lot 10 of the Coldstream Research Campus, the University shall construct and dedicate Aristides Boulevard and a portion of McGrathiana Parkway to the point of intersection with the western end of the permanent access easement. During the period of development of Lot 10 and

construction of the extension of Aristides Boulevard and McGrathiana Parkway, the University shall provide an alternate temporary access to the Government's park property.

The Government shall, at its cost, construct the roadway within the permanent access easement area. The roadway will be built to local street standards as set forth in the Land Subdivision Regulations. The Government shall begin construction of the roadway within the permanent access easement within two years of completion and dedication of the portions of Aristides Boulevard and McGrathiana Parkway which provide access to and connection with the easement area. If the Government does not begin construction by the end of the two year period, the permanent access easement will be released. If the roadway is constructed by the Government, the University may propose access to and use of the roadway from abutting lots of the Coldstream Research Campus subject to review and approval of the Lexington-Fayette Urban County Planning Commission.

Action taken: Approved Disapproved Other _____