

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, November 21, 1972

The Board of Trustees of the University of Kentucky met in regular session on Tuesday, November 21, 1972 at 2:00 o'clock in the Board Room on the 18th floor of the Patterson Office Tower with the following members answering the call of the roll: Mr. Jesse M. Alverson, Mr. Thomas P. Bell, Mrs. Rexford S. Blazer, Mr. Stanley Burlew, Mrs. Robert O. Clark, Mr. Albert G. Clay, Mr. Eugene Goss, Mr. Jacob H. Graves, Mr. George W. Griffin, Mr. Garvice D. Kincaid, Professor Paul Oberst, Mr. Zirl A. Palmer, Mr. James H. Pence, Professor Paul G. Sears, Mr. William B. Sturgill and Mr. Scott Wendelsdorf. Absent from the meeting were Mr. Richard E. Cooper, Dr. John R. Woodyard, and Mr. Floyd H. Wright. The University administration was represented at the meeting by President Otis A. Singletary; Vice Presidents Alvin L. Morris, A. D. Albright, Lewis W. Cochran, Glenwood L. Creech, Robert G. Zumwinkle, Stanley Wall, Peter P. Bosomworth, and Lawrence E. Forgy; Mr. John C. Darsie, Special Counsel; and Dr. Donald B. Clapp, Director of the Budget. Members of the news media were also present at the meeting.

A. Meeting Opened

Mr. Albert Clay, Chairman, called the meeting to order at 2:10 p. m. Following the invocation pronounced by Mr. Jacob Graves, the Secretary announced that there was a quorum present and Mr. Clay declared the meeting officially open for the conduct of business at 2:12 p. m.

B. Oath of Office Administered

Mr. John Darsie administered the oath of office to Mr. Scott Wendelsdorf, the student member of the Board of Trustees, and Mr. Clay welcomed him to his first meeting with the Board as a voting member.

C. Minutes Approved

On motion duly made, seconded, and carried, the reading of the Minutes for the September 19, 1972 meeting was dispensed with and the Minutes were approved as published.

D. President's Report to the Trustees

Calling particular attention to several items in his monthly report, President Singletary recommended more careful reading of the report by the

Board members at their leisure. Mr. Ciay accepted the report with thanks and it was ordered filed.

E. Recommendations of the President (PR 2)

There being no questions and the items recommended being routine in nature, on motion by Mr. Bell, seconded, and carried, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. Amendments to Governing Regulations (PR 4)

President Singletary explained that at the September meeting several amendments to the Governing Regulations were presented for consideration and action at a later meeting. He recommended that two of these amendments be removed from the table and adopted and that the third amendment be withdrawn from consideration at this time and a substitute amendment be received (see PR 5 for substitute amendment).

On motion by Mr. Kincaid, seconded by Mrs. Blazer, and passed, the two amendments received in September and presented in PR 4 were approved to become effective immediately. (See PR 4 at the end of the Minutes.)

G. Substitute Amendment to Governing Regulations, Part XII, D (PR 5)

After a brief explanation of why the substitute amendment was being made, on motion duly made, seconded, and carried, the substitute amendment proposed in PR 5 was accepted to lay upon the table pending action at a subsequent meeting. (See PR 5 at the end of the Minutes.)

H. Amendment to Sick Leave Policy (PR 6)

The members of the Board being familiar with the background for the recommendation relative to sick leave policy, on motion by Mr. Kincaid, seconded by Mr. Alverson, approved the recommendation in PR 6 without dissent. (See PR 6 at the end of the Minutes.)

I. Budget Revisions for 1972-73 (PR 7)

There being no questions, on motion by Mr. Kincaid, seconded by Mr. Palmer, and passed, the budget revisions for 1972-73 as recommended in PR 7 were approved as presented. (See PR 7 at the end of the Minutes.)

J. Resolution Relative to Recognition of Public Employees Council
No. 51 (TR 1)

Mr. Wendelsdorf, who had submitted for inclusion in the agenda materials mailed to members of the Board of Trustees prior to the meeting a resolution recommending recognition of Public Employees Council No. 51 (see TR 1 at the end of the Minutes), explained that he was bringing the matter to the attention of the Board in the context that the University should deal with the whole question of unionization of University employees on the merit of the question and not dispense with it on procedural grounds. He said he felt that employees have the right to organize into any type of organization they wish without fear of retribution and that the University's action in seeking a declaratory judgment had had a chilling effect on the employees' efforts to organize. He said that in view of informal discussions he had had with members of the Board he would like to change his resolution from the one he had submitted prior to the meeting. He then moved adoption of the following resolution: "that the Board of Trustees affirm the right of University of Kentucky employees to organize into any kind of group to voice and propound their views to the administration."

It being pointed out that this was not the original resolution and thereby violated the ten-day notice rule, Mr. Wendelsdorf moved for suspension of the rules in order that the Board might consider his substitute resolution. His motion died for lack of a second.

Mr. Thomas Bell said he considered it improper and unethical to take any sort of action while the declaratory judgment suit is pending and pointed out that it was the fault of the Labor Union representatives that the suit had not come to trial. They had made a motion to remove the action to the Federal Courts and had requested delays because their counsel was spending the summer in Europe.

Mr. Kincaid said that he had read the Minutes of the Board concerned with the unionization of the University employees and had been unable to find any record that the Board of Trustees had ever opposed it. Mr. Wendelsdorf agreed that this was true but that the filing for the declaratory judgment had resulted in the employees' feeling that the University did oppose unionization and their interest in organizing had declined because of their fear of retribution.

Mr. Wendelsdorf then moved that the original resolution which he had presented be amended to read as follows: "that the Board of Trustees of the University of Kentucky recognize the right of University employees to organize within Public Employees Council No. 51, American Federation of State, County and Municipal Employees, AFL-CIO, or any other labor organization." Since the ten-day prior notice rule also applied to this amended resolution, Mr. Bell moved for suspension of the rule. His motion was seconded by Mr. Griffin. A roll call vote was taken and Mr. Bell's motion for suspension of rules passed by a vote of 12 to 4.

Mr. Clay called for a second to Mr. Wendelsdorf's motion. Mr. Goss seconded it indicating that he was opposed to the resolution but was seconding it in order that it might come to a vote.

Professor Oberst then moved that Mr. Wendelsdorf's amended resolution be amended by striking everything after "the right of University employees to organize" so that, as amended, the motion would read, "that the Board of Trustees of the University of Kentucky recognize the right of University employees to organize." Mrs. Blazer seconded his motion. On roll call vote it was defeated by a 9 to 7 vote.

At this point Mrs. Clark asked if the law did not recognize the right of employees to organize and, if so, why was it necessary to adopt a resolution to this effect. It was agreed that this was true and that the University had never questioned such right; however, there was a motion and second on the floor which must be voted upon. The vote was called for on Mr. Wendelsdorf's amended resolution and the no votes carried. (See TR 1 at the end of the Minutes.)

K. Reconsideration of Regulations Governing the Use of Memorial Coliseum

Mr. Wendelsdorf who had submitted a recommendation for reconsideration of the regulations governing the use of Memorial Coliseum requested that said recommendation be withdrawn at this time since he now felt that the problem could be worked out at the administrative level.

L. Interim Financial Report (FCR 1)

Without discussion on motion by Mr. Griffin, seconded, and passed, the interim financial report covering the three months' period ending September 30, 1972 was accepted and ordered made an official part of the Minutes of the meeting. (See FCR 1 at the end of the Minutes.)

M. Acceptance of Audits for the University of Kentucky for 1971-72 (FCR 2)

Having received copies of the audit reports and related statements as submitted by the firm, Lybrand, Ross Bros. & Montgomery, in advance of the meeting and there being no questions, on motion by Mr. Griffin, seconded, and passed, the recommendation for acceptance as made in FCR 2 was approved. (See FCR 2 at the end of the Minutes.)

N. Acceptance of Audits for the University of Kentucky Affiliated Corporations (FCR 3)

Having already examined the audit reports submitted for the affiliated corporations of the University and there being no questions, on motion by Mr. Burlew, seconded by Dr. Sears, and passed, the recommendation in FCR 3 for acceptance of the reports was approved. (See FCR 3 at the end of the Minutes.)

O. Construction and Renovation Schedules Approved (FCR 4)

Mr. Forgy pointed out that the recommended construction and renovation schedules needed approval by the Board of Trustees prior to submission to the Council on Public Higher Education and the Department of Finance. Without further discussion, on motion by Mrs. Clark, seconded by Mr. Sturgill, and passed, the recommendations in FCR 4 were approved as presented. (See FCR 4 at the end of the Minutes.)

P. Amendment to Retirement Resolution (FCR 5A)

Dr. Singletary explained that the proposed amendment to the Retirement Resolution was proposed as a means of clarifying the Retirement Plan as it relates to post-retirement appointments. On motion by Mr. Griffin, seconded by Mr. Alverson, and passed, the amendment to the Retirement Resolution as set forth in FCR 5A was approved as presented. (See FCR 5A at the end of the Minutes.)

Q. Amendment to Retirement Resolution (FCR 5B)

Dr. Cochran explained that the Agriculture Extension personnel in United States Civil Service status were not included in the Federal Social Security program although they do participate in the Civil Service funded retirement program. In order to offset this deficiency in their fringe benefit program, a committee has recommended the inclusion of these individuals in the TIAA/CREF Funded Retirement Program. The University administration concurs in this recommendation and recommends to the Board of Trustees that the Retirement Resolution be amended as set forth in FCR 5B.

On motion by Mr. Griffin, seconded by Mr. Alverson, and passed, the recommendation in FCR 5B was approved. (See FCR 5B at the end of the Minutes.)

R. Options for TIAA/CREF Retirement Program (FCR 6)

Without discussion on motion by Mr. Palmer, seconded by Professor Sears,

and passed, the recommendation in FCR 6 providing for certain options for participants in the TIAA/CREF Retirement Program was approved as presented. (See FCR 6 at the end of the Minutes.)

S. Hearing Committee Report

Mr. Bell, Chairman of the Board of Trustees Hearing Committee, read the following report from the committee which had received a request from Dr. Charles Eastin to appear before the Board of Trustees:

At the request of Dr. Charles Eastin, the Hearing Committee of the Board of Trustees of the University of Kentucky, consisting of Mr. Jesse Alverson, Mrs. Rexford Blazer, Mrs. Robert Clark, Mr. James Pence and Mr. Thomas Bell, held an open hearing which was transcribed by a Court Reporter. At this hearing, Dr. Eastin was present and testified and was represented by the Honorable Charles Curry, attorney-at-law, and the University administration, represented by Dr. Peter Bosomworth, who was present and testified, and the Honorable John Darsie, Counsel for the University of Kentucky.

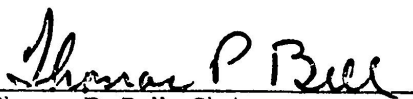
Each member of the committee received a transcript of the testimony and copies of briefs filed by the Honorable Charles Curry and the Honorable John Darsie.

The committee met on Tuesday, November 21, at 11:00 a.m. and the members present-Mr. Bell, Mrs. Blazer, Mrs. Clark and Mr. Pence, received a memorandum in rebuttal to the memorandum submitted by the Special Counsel of the University of Kentucky. This memorandum was read aloud in detail by the chairman of the committee as each other member followed the reading of said memorandum with a printed copy in their hands.

Fully considering the record, the briefs submitted, and being fully informed the committee voted as follows:

1. Unanimously recommended that Dr. Eastin's request to appear before the full Board be denied.
2. Unanimously recommended that the dismissal of Dr. Eastin was in accordance with the Governing Regulations of the University of Kentucky and unanimously recommended that the full Board approve said dismissal of Dr. Charles Eastin.

It should be noted that Mr. Alverson was unable to attend this meeting but called and expressed his opinion to be the same as the other four members of the committee; however, he had not received the memorandum in rebuttal to the memorandum submitted by the Counsel of the University of Kentucky.

Signed 
Thomas P. Bell, Chairman
Hearing Committee, Board of Trustees
University of Kentucky

November 21, 1972

Mr. Clay accepted the report and it was ordered made a matter of record.

T. Investment Committee Report (ICR 1)

Without discussion on motion duly made, seconded, and carried, the quarterly report of investments was accepted and ordered made an official part of the Minutes of the meeting. (See ICR 1 at the end of the Minutes.)

U. Assistant Secretary of the Board of Trustees Elected

Mr. Sturgill, chairman of the committee appointed to nominate an Assistant Secretary for the Board of Trustees, reported that the committee wished to place the name of Professor Paul Sears in nomination. On motion made, seconded, and carried, nominations were closed and Professor Sears was elected by acclamation.

V. Resolution on Death of Two Students at Southern University

Mr. Wendelsdorf distributed copies of a resolution (see resolution at the end of the Minutes) which he had prepared for adoption by the Board of Trustees regarding the death of two students on the campus of Southern University on November 16, 1972. He requested that the original resolution be changed by striking the first sentence, the entire second paragraph, the entire fourth paragraph, and changing the word "pleading" in the seventh paragraph to "pledging" and moved that the ten day prior notice be waived in order that the resolution might be adopted. His motion was seconded by Mr. Goss and the aye votes carried. The motion was then made to adopt the resolution as amended by Mr. Wendelsdorf. It was seconded by Mr. Goss. Mr. Bell then moved that Mr. Wendelsdorf's amended resolution be amended to include only the last two paragraphs. His motion was seconded by Mr. Griffin. Mr. Wendelsdorf objected to the omission of the "Whereas" sentence and on voice vote Mr. Bell's amendment to the original amended resolution was defeated. A vote was then called for on the original amended resolution and it was also defeated.

Mr. Bell then moved the adoption of the following resolution:

RESOLVED, that the Board of Trustees of the University of Kentucky expresses its regret and sorrow over the deaths of the two students at Southern University on November 16, 1972, and joins with the University community in pleading that similar tragedies shall never occur again; and be it further

RESOLVED, that the Board condemns violence both as a method of seeking change and of opposing it.

His motion was seconded and on roll call vote passed, with 15 members voting aye; one member voting nay.

W. Meeting Adjourned

There being no further business to come before the meeting, on motion duly made, seconded, and carried, the meeting adjourned at 3:06 p. m.

Respectfully submitted,

Lucile T. Blazer, Secretary
Board of Trustees

(PRs 2, 4, 5, 6 and 7; TRs 1 and 2; FCRs 1, 2, 3, 4, 5A, 5B and 6; ICR 1, and the Resolution which follow are official parts of the Minutes of the meeting.)

PRI

PRESIDENT'S REPORT TO THE TRUSTEES

November 21, 1972

1. PUBLIC SAFETY DIVISION CITES EMPLOYEE SAFETY-CONSCIOUSNESS

The Public Safety Division credits cooperation and interest in safety by the administration, all colleges and all departments for the progress made in safety during 1971-72 while the University was experiencing a rapid growth in population.

In its annual report, the division cites safety classes for 1,029 employees and students, 6,233 safety signs, pop posters, emergency phone stickers, evacuation plans and safety manuals, and the work of a number of safety committees for making University employees safety conscious.

The University again received the Award of Honor from the Higher Education Section of the National Safety Council and in turn presented Safety Achievement Awards to a dozen individuals and agencies for their efforts in safety education.

Although the 569 reported accidents represent the highest total ever experienced, the employee population of 8,369 also attained its highest peak. Employees with less than three years of employment represented more than half of the reported accidents.

2. ECONOMIC IMPACT OF COLLEGE ON HENDERSON AREA HUGE

A study by Dr. William N. Price of Henderson Community College indicates the total economic impact of the college on the Henderson area in the next ten years will be \$28 million. Dr. Price considers the money spent by students, the purchase by the University of goods and services in the area, and the college operating budget for the period, in his report entitled "The Economic Impact of Henderson Community College," which has been distributed to Henderson area businesses and industries.

By using the 1971-72 student expenditure of \$1,461,237 and applying a 5.5 percent increase per year, it is projected that approximately \$19,848,682 will be spent by students alone in the next ten years.

3. EVENING CLASSES BECOMING MORE POPULAR

There are now more than 1500 students in the University Evening Class Program.

In 1954, when evening classes were initiated, the intent was to "help those people who are unable to attend a full-time day curriculum to complete their education at night at a slower but equally in-depth pace," according to Millard Allen, director of the program.

By 1963, when Allen became director, the program had 650 students enrolled in a wide variety of courses. Enrollment since has steadily increased, and there currently are 1,522 students officially enrolled in night classes at the University.

Classes are provided by six colleges and the Lexington Technical Institute. They are Arts & Sciences, Business & Economics, Education, Engineering, Library Science and Social Professions. The College of Arts & Sciences has the most course offerings in the evening program, attracting a majority of the students who pursue a generalized curriculum.

Most of the courses are offered for college credit. There are, however, a number of non-credit courses available, designed primarily to satisfy the ever-changing needs of business and professional people, but anyone desiring to do so may take any course offered non-credit. Allen said many people often attend classes in order to broaden themselves culturally and intellectually.

4. TWO STUDENTS WIN ROTARY FELLOWSHIPS

Two University honor students are recipients of Rotary graduate fellowships for a year of study in France. Satyra Ann Summerour of Birmingham, Ala., and Mark Heimerdinger of Louisville were selected as Rotary Club ambassadors by the Lexington and Birmingham Rotary Clubs, respectively.

Heimerdinger will study at the University of Dijon, and Miss Summerour at the University of Besancon. The fellowships are for the 1973-74 academic year.

Miss Summerour is a senior with a topical major in French area studies. A member of Alpha Delta Pi social sorority, she is a member of the scholastic and leadership honoraries, Cwens, Links, and Mortar Board. Heimerdinger majors in comparative literature, French and English. Scheduled to graduate in December, he is a member of Phi Beta Kappa, national scholarship honorary, and plans to enter the UK Graduate School following graduation.

Both students are active in the Honors Program and have overall academic standings of 3.6 based on a 4.0 grading system.

5. PLAQUE HONORS UNIVERSITY BENEFACTOR

A plaque in memory of the late Percy H. Johnston Sr., a Lebanon native who rose to the pinnacle of success in the world of banking and finance, has been unveiled near Anderson Hall.

The ceremony was held in early October in the presence of Johnston's widow, the former Belle Rogers of Lebanon, and his son Percy Jr., now of California.

The memorial plaque, resting atop a marble pedestal, marks the former site of the Johnston Solar Laboratory, which was built and operated with funds contributed by the elder Johnston with the view of enabling University engineers to learn more about the effects of the sun's rays upon animal and plant life.

Consisting chiefly of eight greenhouses and their related heating and cooling equipment, the Johnston Laboratory was operated from 1929 to 1934 under the direction of Prof. F. Paul Anderson, dean of the College of Engineering and one of this country's leading developers of air-conditioning systems.

6. BUREAU TO EXPAND SERVICES TO LOCAL GOVERNMENT

The Bureau of Government Services has announced a new program to assist local governments.

Dr. Richard H. Slavin, director of the office, stated that local government in Kentucky, "generally speaking, has not been concerned with merit system principles for employees except as required by state law. The traditional method of firing people in office and replacing them with political favorites has been exercised."

Dr. Slavin said he hopes to see such a system replaced by effective personnel administration programs in Kentucky cities and counties. This would include the establishment of reasonable personnel policies, hiring and promotion practices, and examination and pay standards. To carry out the program, the Bureau has received a \$66,000 grant from the U. S. Civil Service Commission.

7. SCHOLARSHIP ADVICE ENHANCED BY OPPORTUNITIES OFFICE

The College of Arts and Sciences has opened a Graduate Opportunities Office to assist students with good grades who wish to apply for and obtain scholarships, fellowships and assistantships at all Universities.

Dr. William S. Ward, professor of English, is director of the new office. He said, "Our purpose is to see whether the College of Arts and Sciences can do more for abler graduating seniors in helping them obtain assistance during their projected graduate studies.

"Nearly all students know about the prestigious Danforth and National Science Foundation scholarships, or fellowships, but there are many, many others, which some students probably do not know about."

8. TRAFFIC ACCIDENT CAUSES STUDIED BY RESEARCH TEAM

A University research group, using a multidisciplinary team approach, is carrying out in-depth and exhaustive studies of traffic accidents that are designed to help determine new safety standards and evaluate existing ones.

Dr. John W. Hutchinson, professor of civil engineering, is the principal investigator for the contract with the National Highway Traffic Safety Administration, U.S. Department of Transportation.

All significant factors associated with traffic accidents are being studied by the group, composed of people in traffic engineering, law, toxicology, pathology, surgery, psychology, automotive engineering, metallurgy, anthropology, climatology and other disciplines, in cooperation with Chief James Shaffer of the Lexington Police Department, Chief William Sellers of the Fayette County Police Department, Coroner Chester Hager, Judge Robert Stephens and other officials in local and state government.

Making up the field team are Dr. Hutchinson, Dr. Peter Gillis, Elaine York, Eric Pantzer, Vince Sayre, Dwayne Clemons, Ken Ward, Larry Downey, Sharon Junker, and Janet Puckett. At least two and sometimes others on the team conduct on-scene analysis of personal injury traffic accidents in cooperation with the police agencies.

9. NUMBER OF FACULTY WORKING ABROAD

A number of faculty members currently are spending all or part of the year abroad, teaching or doing research.

They are: William Adams (Anthropology)--research in Egypt; Henry Bauer (Chemistry)--teaching in England; Prasad Krishna Kadaba (Electrical Engineering)--teaching in India; Fred Knapp (Entomology)--advising in Thailand; Donald Nugent (History)--teaching in Ireland; Joe Thompson (History)--teaching and research in England; Alvin Goldman (Law)--research in Belgium; Richard Aron (Mathematics)--teaching in Ireland; Robert Penman (Medicine)--research in London; Kenneth Wright (Music)--teaching and research in Austria; Louis Norton (Orthodontics)--teaching in Israel; Joseph Engelberg (Physiology & Biophysics)--teaching in Ireland; Ernest R. Witte (Social Work)--advising in Korea, and Horace Howell (Surgery)--teaching in Virgin Islands.

Foreign scholars at the University during the academic year include the following: Gustavo Hernandez (Columbia)--teaching in Radiology; Amir Makan (Zanzibar)--teaching in Mathematics; Marcel Coz (France), Rudolph Schrills (Curacao), and Clasin van Winter (Netherlands)--teaching in Physics and Astronomy; Lee K. Chu (Hong Kong)--research in Biology; and Lindgren Chyi (Republic of China) and Thomas Biegler (Australia)--both doing research in Chemistry.

10. PROGRAM DESIGNED TO AID PRISON INMATES

An associate professor of psychology working under the auspices of the Kentucky Department of Corrections is trying to find new ways to help prison inmates avoid confinement a second time after they have been released.

Dr. Richard Bednar soon will complete a year's program of consultation and training of case workers at the Kentucky State Reformatory, La Grange.

"Our objective is to find ways to keep prisoners from coming back, once they have been paroled," Dr. Bednar said. He heads a team from the University, consisting of Dr. Joe Melnick, assistant professor of Psychology, and Ph.D degree candidates Fred T. Lee and Thomas L. Weet.

"We set up a training program for 14 case workers at the prison so they could direct group communication and evaluation sessions with the inmates," Dr. Bednar said. "We must get prison inmates to face up to reasons why they are in prison and to realize some of the changes they must make if they want to stay out of trouble after they are released."

The program is being carried out in cooperation with Harold Black, warden, and Harold Cowan, associate warden for treatment.

11. 22,000 PERSONS SERVED BY INSTITUTE OFFICE

In the fiscal year ending June 30, 1972, the Conferences and Institutes office coordinated either partly or entirely 139 different programs serving 21,911 people. These people represented many different states and several foreign nations. During one previous year, people attending various programs represented every county in Kentucky, every state in the nation, and several foreign countries.

Bob Figg, director, works for the University Extension Office, and his staff assists outside and University groups in establishing continuing education programs, generally short, non-credit courses designed to further the education of persons interested in a particular topic.

Figg sees to the physical arrangements such as meeting space, news coverage, room accommodations and other related incidentals. Attendance is estimated, overall costs are determined, fees are set and bulletins are mailed to interested persons and groups.

Figg and his staff work with the University sponsor and establish a workable program based on the needs and wants of the requesting organization.

The office provides services to both on- and off-campus agencies. Generally, on-campus programs are arranged for in-service training of faculty or staff and largely are secondary in nature to the main function of the department. Continuing education services for various community, business or profession groups, also are provided. Most off-campus conferences, seminars, and programs are held at Carnahan House.

12. NEW TV COURSE FOR GRADUATE ENGINEERS LAUNCHED

A new and experimental television program at the University will permit qualified Kentuckians to study graduate courses in Engineering, beginning in the spring of 1973.

Classes will be planned for any of the community colleges on the Kentucky Educational Television network where sufficient interest is evident. Courses leading to the master's degree in mechanical, civil and electrical engineering will be offered.

13. ADDITION TO HOSPITAL TO GET UNDERWAY IN JANUARY

Two major additions to the Medical Center Complex, costing nearly \$5.5 million, include a four-level structure to be added to the front of University Hospital designed to enlarge outpatient services capability.

The second is a two-story Family Practice/Student Health Service building to be erected across the street, and housing the new William R. Willard Department of Family Medicine, established this year to train the family medicine specialist, and Student Health Service, where needs for more space have increased as the student body expanded.

The new 74,720 square foot hospital addition will accommodate some parts of therapeutic radiology, a respiratory therapy outpatient unit, more space for diagnostic radiology and its viewing rooms, and a new outpatient pharmacy.

Hospital spokesmen say anticipated cancer therapy equipment in the new section will make its therapeutic radiology unit one of the most advanced treatment centers of its kind in the nation.

Construction for the hospital addition will begin in January and is scheduled for completion by June, 1974. The major portion of the project is being financed by approximately \$1.5 million in federal Hill-Burton funds and \$3.3 million in University Funds.

Architect for the hospital addition is Graydon Clark Jr., Lexington; Chrisman, Miller and Wallace, Architects, Lexington, designed the Family Practice/Student Health Service Building.

14. GRANT TO MED COLLEGE TO AID RURAL, MINORITY STUDENTS

A \$114,346 grant for student financial aid has been awarded the College of Medicine by the Robert Wood Johnson Foundation of Princeton, New Jersey.

The grant will provide scholarship and loan funds for medical students from rural communities, women students and students from minority ethnic backgrounds in Kentucky.

The Robert Wood Johnson Foundation Student-Aid program is designed to help improve access to physician services in areas of need, especially rural and inner-city poverty areas.

15. SEATON RECREATION CENTER IS WIDELY USED

The Seaton Recreation Center has become the most extensively-used activity center on campus.

Convenient to students in the dormitory complex, accessible to faculty and staff joggers, swimmers and handball enthusiasts, it is utilized through the day and far into the night.

The gym, courts and exercise rooms have composition floors, called sportstread, which have a better play surface and are easier to maintain than the old hardwood floors.

Squash and handball courts feature an overhead connecting walk so an instructor can supervise all courts at the same time.

Seaton Center also has an underwater weighing tank, which enables researchers to study exercise programs on body composition. It has oxygen analysis equipment, and a room for students with physical defects, where special therapeutic exercises can be performed. Laboratories include one for exercise physiology, phycho-motor, and driver safety. The driver safety lab soon will have simulators so that students learning to teach driver education can simulate traffic conditions.

All departmental faculty members except one have offices in Seaton Center.

The department has 40 full-time graduate students, including nine teaching assistants, 15 or more graduate assistants who help faculty members with research projects, and seven in the campus recreation program.

Undergraduate majors number 400, and more than 1,000 students are in the service programs--for non-majors who wish to take various exercise, sports or dance courses. About 300 of the majors are in physical education. Most of the others are in recreation, and a few are in health education.

The Campus Recreation department facilities currently are utilized by over 13,000 students.

16. ASHLAND COLLEGE OFFERS COURSES AT FEDERAL CENTER

Ashland Community College is providing 18 hours' instruction to the inmates of the Federal Youth Center, a medium security correctional institution for youthful offenders. Courses are being taught in psychology by Uma Garg; spech by John B. Sowards; English by George Edwards and Nancy McClellan; history by Dick Hedlund, and biology by Libby Walthall.

17. UKRF REPORTS GRANTS TO DATE NOW MORE THAN \$10 MILLION

From August 1 through September 30, a total of \$2,515,677.91 in research grants were received by the University of Kentucky Research Foundation. With the more than \$8 million received in July, the amount received during the fiscal year to October 1 is \$10,462,633.63. Among major recipients were the Health Professions Scholarship Program and the William R. Willard Department of Family Practice, both in the Medical Center.

COLLEGE OF AGRICULTURE

Agricultural Engineering--B. F. Parker, Liquid Manure Disposal System with Above Ground Storage, Bethlehem Steel Corp., \$8,533. Agronomy--R. C. Buckner, L. P. Bush and A. J. Hiatt, USDA Grass Breeding, U.S. Department of Agriculture, \$2,500. J. W. Herron and L. Thompson, No-Tillage Applied Research, Chevron Chemical Co., \$1,500 additional. N. L. Taylor, Farmers Forage Coop, Schempp Bros. Lawrence Omlin Quincy, Washington, \$472.50 additional. Animal Science--D. M. Olds, Reproductive Efficiency and Genetics of Dairy Cattle, Artificial Breeding Association, \$1,500 additional. W. P. Garrigus, Fischer Packing Company, To Support Swine, \$5,000 additional. V. W. Hays, Effects of Dried Whey, Lactose and Enzymes on Performance and Nutrient Utilization by Pigs, Foremost-McKesson Foundation, Inc., \$5,000. Cooperative Extension Service--J. L. Ragland, Services to Counties in Administrative Area of Somerset Employment Office, Department of Economic Security, Frankfort, Ky., \$29,126. Cooperative Extension Service (Home Economics)--D. A. Tichenor, Cooperative Program with Lees Jr. College on Food & Nutrition, Lees Jr. College, \$674.50 additional. Department of Entomology--F. W. Knapp and R. Thurston, Pests Affecting Man and Animals, ICI America, Inc., \$550. R. A. Scheibner, Research With Sevin 80S, Sevimol-4 and Sevimol-2, Union Carbide Corporation, \$500. R. Thurston, Research with Sevimol-4 Plus Tobacco Suckering Agents, Union Carbide, \$500. W. H. Dorrough, The Efficacy and Residual Nature of Chlordane Insecticide on Corn, Alfalfa, and in Soils, Velsicol Chemical Corp., \$5,500 additional. W. W. Gregory, H. G. Raney and R. Thurston, Field Studies on AC 92, 100 and Thimet on Corn and Tobacco, American Cyanamid Co., \$1,000. R. Thurston, G. A. Jones, W. Gregory, H. Raney, J. G. Rodriguez and B. C. Pass, Chemical Control of Insects on Alfalfa and Tobacco, FMC Corporation, \$2,000 additional. R. Thurston and G. A. Jones, Phosvel on Tobacco Against Insects, Velsicol Chemical Corp., \$300.

COLLEGE OF ARTS AND SCIENCES

Biological Sciences--M. I. H. Aleem, Investigate Bio-chemical Potent, Stolle Research & Development Corporation, \$6,000. Chemistry--S. L. Brenner, Interaction of Charged Macromolecules in Solution, The Petroleum Research Fund, \$7,500. C. Brock, Development of a Nonbonded Potential Function for One-Pair Electrons, PRF, \$7,500. Music--W. K. Morgan, Central Kentucky Youth Orchestra, \$4,000 additional. Physics and Astronomy--M. T. McEllistrem, Nuclear Information Research Associateship, National Research Council, \$12,850. Zoology--M. A. Hafeeze, Role of Pineal Organ in Brain Function, Public Health Service, \$28,668.

COLLEGE OF BUSINESS AND ECONOMICS

Office of Business Development & Government Services--H. K. Charlesworth, Educational Assistance to Local Government, Title 1, UK State Agency, \$2,500 additional. H. L. Lyon, Local Government Personnel Management Training Program, U.S. Civil Service Commission through Kentucky Department of Personnel, \$42,500. H. K. Charlesworth, Council of Economic Advisors, \$11,000 additional. W. J. Stober and C. M. Vaughan, Economic Impact of Land Between the Lakes National Recreation Area, Tennessee Valley Authority, \$16,500.

CENTER FOR DEVELOPMENTAL CHANGES

J. Lee, Spousal Decision Making Process, National Institute of Child Health and Human Development, \$35,544.

COLLEGE OF EDUCATION

Bureau of School Services--P. Street, Evaluation of Computer Assisted Instruction Service Program in Mathematics, Kentucky Department of Education, \$6,000. Curriculum & Instruction--H. Barnard, Reading Program at Kentucky State, Kentucky State College, \$19,427.07. D. Arnold, Flexible Scheduling Project, Pendleton County, Corbin Board of Education and Carroll Board of Education, \$1,800 additional. S. Lail, Teacher Corps - Inservice Cycle VII, U.S. Office of Education, \$116,410. Special Education--E. Blackhurst, Special Educational Instructional Material Center, USOE, \$316,313. A. Levy, Summer Sessions Federal Traineeship, Kentucky State Department of Education, \$3,000. J. Tawney, Coordinated Program Model Development and Dissemination for MR Children, USOE, \$128,251. J. Tawney, Coordinated Program Model and Curriculum Development and Dissimination for MR Children (Teacher Tutor Portion, USOE, \$45,950. Psychology & Counseling--C. D. Auvenshine, Teaching and Traineeships in Rehabilitation Counseling, USOE, \$45,392. Vocational Education--H. Binkley, Vocational and Technical Education Leadership Development, USOE, \$109,200.

COLLEGE OF ENGINEERING

Civil Engineering--F. D. Wright, Stresses and Safe Bolting Patterns in Coal Mine Roof Rocks at Intersections, U.S. Department of the Interior, Bureau of Mines, \$25,000 additional. Engineering Mechanics--O. W. Dillon, Metal Deformation Processing, U.S. Department of the Air Force, \$19,795 additional. Electrical Engineering--C. R. Paul, Inter System Communications Electronics - C & E Phase Compatibility Sub Part, U.S. Department of the Air Force, \$25,000. Mechanical Engineering--R. Birkebak, Upgrading Data Acquisition System, National Science Foundation, \$8,700. J. F. Lafferty, Deformance Decrement During Long Term Vibration, U.S. Department of the Air Force, \$48,840 additional. Metallurgical Engineering--H. Conrad, Metal Deformation Processing, Air Force, \$46,705 additional.

COLLEGE OF SOCIAL PROFESSIONS

B. P. Granger, Project Open Door, Kentucky Department of Mental Health, \$30,865.81. E. F. Witte, Martha Davis Scholarship Fund, Kentucky Social Welfare Foundation, \$6,000 additional. E. F. Witte and C. Wilson, Undergraduate Social Work Teaching, Department of Economic Security, \$75,846.

UNDERGRADUATE STUDIES

P. Owen, Production Duplication and Revision of Videotaped Instruction for Training of Emergency Technicians, Kentucky State Department of Health, \$16,588.

MEDICAL CENTER LIBRARY

O. Hamlin, Kentucky, Michigan, Ohio, Regional Medical Library Program, Wayne State University, \$2,856.60 additional.

STUDENT SPECIAL PROGRAMS

J. C. Wolff, Health Professions Scholarship Program, Appalachian Regional Commission - Southeastern Kentucky Regional Health Demonstration, \$101,908.

COLLEGE OF ALLIED HEALTH PROFESSIONS

Clinical Pastoral Counseling--R. S. Carpenter, UKRF Clinical Pastoral Education Account, Misc., \$10 additional. Physical Therapy--R. V. McDougall, Rehabilitation Services Administration-Training, Department of Health, Education and Welfare, \$11,428. Development Fund, Donations, \$10.

COLLEGE OF DENTISTRY

Office of the Dean--H. M. Bohannon, Special Purpose Fund, Anonymous, \$100 additional. Administration--G. Hill, Health Professions Special Project, Bureau of Health Manpower, \$32,550. L. W. Hinderberger, Post-Doctoral Fellowship - Dr. Francisco Palomo, Department of Human Resources Development, \$2,454. Community Dentistry--G. P. Lewis, Development of Experimental Dental Delivery System, Public Health Service, Health Service and Mental Health Administration, \$39,980. Student Services--G. Hill, American Association of Dental Schools Student Aid, A.F.D.E. & University of Rochester, \$2,750. Prosthodontics--J. Gattozzi, Prosthodontic Research Account, Misc., \$300 additional.

COLLEGE OF MEDICINE

Office of the Dean--W. S. Jordan, Medicine Alumni Association Fund, Misc., \$200 additional. R. Lambson, Robert Wood Johnson Foundation - Student Aid Program, \$114,346.62. J. Burdette, William R. Willard Department, Family Practice, PHS, \$200,000. Anesthesiology--J. D. Hasbrouck, Clinical Study of Glycopyrrolate, A. H. Robbins, Co., Inc., \$1,250. D. Morrow, Anesthetics and Electrophysiology of Arrhythmias, National Institutes of Health, \$19,865. L. F. Redick, Anesthesia Fund, Misc., \$100 additional. L. F. Redick, Shriners Hospital for Crippled Children, \$12,000. Biochemistry--F. J. Bollum, Biosynthetic Polydeoxynucleotides, NIH, \$89,651. Community Medicine--A. Benenson and D. Steinman, Field Professorships, PHS, \$42,817. G. Eddison, District Three Tuberculosis Hospital Directorship, Commonwealth of Kentucky, Department of Health, \$33,482. N. L. Goodman, Diagnosis and Treatment of Inpatients and Outpatients Fungus Disease, Department of Health, \$34,971. Medicine--J. E. Warren, Traineeships in Rehabilitation Medicine, HEW, Social Rehabilitation Services, \$17,198. L. S. Gettes, Effect of Drugs on Purkinje and Ventricular Fibers, NIH, \$37,998. R. G. Luke, Renal Chloride Excretion, NIH, \$28,845. C. T. Nuzum, Liver Research Fund, Emma Elizabeth Powell, \$3,140.81. Pathology--W. V. Miller, Central

Kentucky Blood Center Contract - Dr. William V. Miller, Central Kentucky Blood Center, \$15,018. D. Goldenberg, Oncology Lectureship Fund, Hoffmann-La Roche, Inc., \$3,000. Pediatrics--R. A. Beargie and P. Lynd, Narcotic Effects and Naloxone in Newborn, Endo Laboratories, Inc., \$9,500. W. Wheeler, Resident's Fund, Misc., \$275 additional, and Fayette County Children's Bureau, \$150 additional. J. A. Noonan, Cardiovascular Clinics in Eastern Kentucky, Commonwealth of Kentucky, Department of Health Service Contract, \$25,000. Pharmacology--T. Z. Csaky, Effects of Sugars on Central Nervous Systems, NIH, \$35,079. T. Z. Csaky, Combined M.D./M.S. Pharmacology Program, Misc., \$800 additional. D. F. Diedrich, Phlorizin Inhibition of Sugar Transport, NIH, \$47,928. Psychiatry--A. M. Ludwig, Cumberland River Regional Mental Health-Mental Retardation, Mental Retardation Board, \$3,000. M. C. Maultsby, Rational Behavior Theory-Kentucky Correctional Institute for Women Personal Service, Department of Health, \$4,998. A. Ludwig, Emotional and Pharmacological Influences on Craving, National Institute of Mental Health, \$33,362. A. Ludwig, Psychiatry Residency Contract, The Blue Grass Regional Mental Health Mental Retardation Board, \$18,000. M. C. Maultsby, Agreement Between Berea College Health Service and Department, Berea College Student Health Service, \$2,728. Neurosurgery--H. Norell, Experimental Chemotherapy of Brain Tumor Patients, PHS, \$15,350. Student Services--R. O. Lambson, Health Professions Special Project, PHS, \$55,682. Surgery-Div. of Ophthalmology--J. Wirtschafter, Ophthalmology Development Fund, Misc., \$1,234 additional.

COLLEGE OF NURSING

Administration--M. E. McKenna & Polly Lykins, Professional Nurse Traineeship Program - Long Term Academic, PHS, \$45,500.

COLLEGE OF PHARMACY

Administration--H. B. Kostenbauder, College of Pharmacy Research and Development, McNeil Labs., \$8,400 additional, and personal donations, \$175. H. B. Kostenbauder, College Research and Development Grant, McNeil Labs., \$1,000 additional. Clinical Pharmacy--C. E. Hynniman, Norton Children's Hospitals, Inc., Field Professorship, \$23,848. Pharmacy--J. V. Swintosky, Residency Program in Pharmacy, Mental Retardation Board, \$528, additional. Bluegrass Regional Mental Health, Mental Retardation Board, Inc., \$312 additional. Pharmacy Central Supply--P. Parker, Conduct and Evaluation on Use on All Wyeth Laboratory Deposits, Wyeth Labs, \$300 additional.

18. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. Richard H. Slavin Jr., associate professor in the Department of Business Administration and director of the Bureau of Government Services, has been elected to membership in the National Academy of Public Administration.

Dr. Louis J. Swift, chairman of the Department of Classical Languages and Literatures, presented a study of "St. Augustine on War and Moral Responsibility," November 2, during a meeting of the Southern section of the Classical Association of the Middle West and South, in Williamsburg, Va.

"The Tales and Songs of Herrand von Wildonie," translated by Dr. J. W. Thomas, professor of Germanic languages and literatures, was published this month by the University Press of Kentucky.

Four members of the Department of Geography presented papers at the annual meeting of the Geography Section, Kentucky Academy of Science, on November 4 at Morehead State University. This year's meeting was the second in which geographers met in a separate section. The papers were by Dr. T. P. Field, Dr. Phillip Phillips, Dr. Ronald Garst, and Dr. W. A. Withington.

Dr. J. A. Bryant Jr., professor of English, was given the \$500 South Atlantic Modern Language Association Studies Award early this month for his manuscript, "The Compassionate Satirist: Ben Johnson (cq) and His Imperfect World," during the three-day meeting of the association in Jacksonville, Fla.

Dr. James W. Gladden, professor of sociology, was presented the Osborne Award, given to the outstanding teacher of family relations, during the annual meeting of the National Council of Family Relations, in Portland, Oregon. Dr. Gladden was chosen for the honor by a committee of the 5,000-member council.

Dr. Herbert P. Riley, professor of botany, has been appointed a member of the editorial committee of the Pennsylvania Academy of Science. Dr. Riley collaborated on the section on "Chromosome Numbers in Vascular Plants," in the second edition (Volume One) of the "Biology Data Book," recently published by the Federation of American Societies for Experimental Biology.

Dr. Stephen Manning, chairman of the Department of English, has been elected president of the South Atlantic Modern Languages Association.

Dr. Michael E. Adelstein and Mrs. Jean G. Pival of the Department of English have edited an essay collection, "Drugs," and written an accompanying instructor's guide. The anthology, published by St. Martin's Press, New York, is the third in a series designed for Freshman English but also used in other fields. Dr. Adelstein also is the author of a book on business writing and another on Fanny Burney, an eighteenth-century English novelist. Mrs. Pival, director of Freshman English, has written several articles on linguistics.

Dr. A.C.R. Newbery, professor of computer science, served as a session chairman at the October meeting of the society for industrial and applied mathematics in Austin, Texas.

Beverly A. Atwood, secretary to Dr. Thomas L. Riley, director of Hopkinsville Community College, recently was named BPW Woman of the Year by the local club. Cy Williamson, chairman of the Advisory Board of the college, was named Boss of the Year by the Business & Professional Women's Club.

Dr. James D. Hillman, sociology instructor at Southeast Community College, was chairman of a sociology symposium in Beverly Hills, Calif., November 2-5. Social scientists from community colleges throughout the country met to consider the role of the social sciences and their relation to the community college.

Dr. Eldon Smith, professor of agricultural economics, attended a seminar, "Agricultural Marketing in Developing Nations," October 2-4 at the University of Connecticut. The seminar was sponsored by the Agricultural Development Council. Dr. Smith is preparing a digest of the proceedings for use by the Agency for International Development.

Dr. Wilson Hourigan, extension specialist in agricultural policy, and Dr. Harold G. Love, extension specialist in agricultural marketing firms, attended the Southern Regional Outlook Conference in Atlanta, October 2-4. Dr. Hourigan presented a paper on "The 1972 Outlook for Tobacco."

Dr. Harold G. Love, extension specialist in agricultural marketing firms, authored an article in the July "Journal of Food Distribution Research," entitled "Fast Food Store Location Factors: A Comparison with Grocery Store Location Factors."

The Kentucky Nurses Association has selected Dr. Marion E. McKenna, dean of the College of Nursing, as president-elect for 1972-73. After serving a year as president-elect, Dr. McKenna will become president of the 1800-member organization, in October, 1973.

Dr. Hans Conrad, chairman, Department of Metallurgical Engineering and Materials Science, presented a series of invited lectures on "Thermally Activated Deformation of Crystalline Solids," at Argentinian Atomic Energy Commission Laboratories in Buenos Aires, June 3-21, under the sponsorship of the American Organization of States. He gave the same lecture at the John E. Dorn Memorial Symposium on Rate Processes in Plastic Deformation, at the American Society for Metals annual fall meeting in Cleveland on October 16. Dr. Conrad has been elected chairman of the IMD-TMS-AIME committee on Shaping and Forming. The committee is responsible for arranging technical programs on the subject of the shaping and forming of materials.

Prof. Charles P. Graves has accepted an invitation to become a member of the Building Research Advisory Board of the National Research Council.

Office of the President
November 21, 1972

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Report on Gifts and/or Grants (See Attachment)

Recommendation: that the report on gifts and/or grants covering the period July 1, 1972 through September 30, 1972 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the three months' period ending September 30, 1972, in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of gifts and/or grants has been made to all donors by the President on behalf of the Board.

II. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Morton L. Kasdan, Assistant Professor, Department of Surgery (Plastic Surgery), College of Medicine, effective December 1, 1972

David Lally, Assistant Professor, Department of Physiology and Biophysics, College of Medicine, effective January 1, 1973
Patrick J. Sammons, Assistant Professor, Department of Oral Biology, College of Dentistry, effective February 1, 1973
Gertrude Isaacs, Associate Professor (part-time), College of Nursing, retroactive to September 1, 1972 (Adjunct Series)
Louis Shain, Assistant Professor, Department of Plant Pathology, College of Agriculture, retroactive to October 1, 1972
Om P. Sharma, Assistant Professor, Department of Veterinary Science, College of Agriculture, retroactive to November 15, 1972

Administrative Appointment

David B. Clark, Professor and Chairman, Department of Neurology, College of Medicine, reappointed Professor and Chairman, Department of Neurology, College of Medicine, effective July 1, 1973

Joint Appointments

Frank R. Lemon, Professor (with tenure), Department of Community Medicine, and Department of Medicine, and Associate Dean for Continuing Education, College of Medicine, also named Professor (with tenure), Department of Family Practice, College of Medicine, retroactive to October 1, 1972
William J. Waddell, Professor (with tenure), Department of Pharmacology, College of Medicine, also named Professor (with tenure), Department of Oral Biology, College of Dentistry, retroactive to November 1, 1972
Paul A. Thornton, Associate Professor (with tenure), Department of Clinical Nutrition, College of Allied Health Professions, also named Associate Professor (with tenure), Department of Animal Sciences, College of Agriculture, retroactive to November 1, 1972

Reappointment

Ernest F. Witte, Professor and Dean, College of Social Professions, reappointed Professor and Dean, College of Social Professions, retroactive to July 1, 1972

Retirements

Jane Haseldon, Associate Professor, Department of French, College of Arts and Sciences, after over 31 years of service, effective January 1, 1973

Wilbur A. Heinz, Associate Professor, Department of Community Health, College of Allied Health Professions, after over 44 years of service, effective January 1, 1973

Lucy Hogan, Administrative Assistant, Graduate School, after 34 years of service, retroactive to November 1, 1972
(Miss Hogan's request for consideration of an early retirement is based on her physical disability condition)

Robert W. Fritts, Senior Storesman, Food Service, Auxiliary Services, after over 15 years of service, effective January 1, 1973

Dorothy C. Linville, Admissions Officer, Graduate School, after over 44 years of service, effective January 1, 1973

Antionette Miller, Senior Switchboard Operator, Communications, Business Services, after 17 years of service, effective January 1, 1973

Ellis Meade, Custodian Supervisor, Auxiliary Services, after over 16 years of service, retroactive to November 1, 1972

Kermit C. Mills, Extension Specialist, Cooperative Extension, College of Agriculture, effective November 30, 1972 (Retiring under Civil Service only)

ADMINISTRATION ACTION

New Appointments

Richard Gostanian, Assistant Professor, Department of Mathematics, College of Arts and Sciences

Gerald A. Rosenthal, Assistant Professor, Department of Biological Sciences, College of Arts and Sciences

Gurbhag Singh, Visiting Instructor, Department of Geography, College of Arts and Sciences

Helen Anderson, Assistant Professor, Department of Special Education, College of Education

Charlotte Patrick, Instructor, Department of Curriculum and Instruction, College of Education

Carol Webb, Instructor, Department of Vocational Education, College of Education

James Jay Brown, Visiting Assistant Professor, College of Law

Anne M. Rhodenbaugh, Assistant Professor*, Department of Allied Health Education and Research, and Coordinator of Continuing Education, College of Allied Health Professions

John A. Dougherty, Instructor, Department of Psychiatry, College of Medicine

Russell Cromwell, Clinical Instructor, Department of Physical Therapy, College of Allied Health Professions (Voluntary Faculty)

William DiSalvo, Clinical Instructor, Department of Restorative Dentistry, College of Dentistry (Voluntary Faculty)

*Special Title Series

Stuart Hirsch, Clinical Instructor, Department of Restorative Dentistry,
College of Dentistry (Voluntary Faculty)
Thomas L. Boehling, Clinical Instructor, Department of Community
Medicine, College of Medicine (Voluntary Faculty)
Charles S. Mooney, Clinical Instructor, Department of Surgery, College
of Medicine (Voluntary Faculty)
Edward L. Perrine, Clinical Instructor, Department of Community
Medicine, College of Medicine (Voluntary Faculty)
Gary R. Wallace, Clinical Instructor, Department of Surgery (Division of
Ophthalmology, College of Medicine (Voluntary Faculty)
Alice J. Westover, Clinical Instructor, Department of Community
Medicine, College of Medicine (Voluntary Faculty)
Evangéline Hebbeler, Clinical Instructor, College of Nursing
Mitchell Cooper, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)
Emmett K. Hammond, Jr., Clinical Instructor, Department of Pharmacy,
College of Pharmacy (Voluntary Faculty)
Julian Mitchell, Clinical Instructor, Department of Pharmacy, College
of Pharmacy (Voluntary Faculty)
David Solomon, Clinical Instructor, Department of Pharmacy, College of
Pharmacy (Voluntary Faculty)
Larry H. Spears, Clinical Instructor, Department of Pharmacy, College
of Pharmacy (Voluntary Faculty)
William L. Madden, Instructor, Henderson Community College
Esther G. Conol, Instructor, Hopkinsville Community College
Gracy Lee Douglass, Instructor, Jefferson Community College
David M. McCurdy, Instructor, Jefferson Community College
Richard E. Neiberger, Instructor, Jefferson Community College
John J. McQueen, Instructor, Lexington Technical Institute
Nancy W. Nave, Instructor, Prestonsburg Community College
Judith C. Schosser, Instructor, Southeast Community College

Administrative Appointments

Robert E. French, Assistant Professor*, Department of Medicine, College of
Medicine, and Director of University Health Service Medical Outpatient
Care Program, named Chief of University Health Service Medical Service
Samuel R. Scott, Instructor, Department of Medicine, College of Medicine,
and Internist, University Health Service, named Director, University
Health Service Medical Outpatient Care Program
Earl E. Vastbinder, Associate Professor*, Department of Pediatrics, College
of Medicine, and Department of Allied Health Education and Research,
College of Allied Health Professions, named Director of Clinical Associate
Program

*Special Title Series

Robert B. O'Daniel, named Director of Physician Accounts Office
James E. Funk, Professor (with tenure) and Dean, College of Engineering,
reappointed Director of the Coal Research Program, Institute of Mining
and Minerals, College of Engineering
Harry S. Traynor, named Assistant to the Dean of the Graduate School

Joint Appointments

Anita Morse, Assistant Professor, College of Law, also named Assistant
Professor, College of Social Professions
James Douglas Beck, Clinical Instructor, Department of Community
Dentistry (Voluntary Faculty), also named Assistant Adjunct Professor,
Department of Behavioral Science, and Department of Community Medicine,
College of Medicine (Voluntary Faculty)
Dennis P. VanGerven, Assistant Professor, Department of Anthropology,
College of Arts and Sciences, also named Assistant Professor, Department
of Behavioral Science, College of Medicine
Russell A. Jones, Assistant Professor, Department of Behavioral Science,
College of Medicine, also named Assistant Professor, Department of
Psychology, College of Arts and Sciences
Bobbie Holtzman, Assistant Professor, College of Allied Health Professions,
also named Assistant Professor, Lexington Technical Institute with
primary appointment in the Lexington Technical Institute
Edmund Hoy, Assistant Professor, College of Allied Health Professions,
also named Assistant Professor, Lexington Technical Institute with
primary appointment in the Lexington Technical Institute

Promotions

Homer H. Burkett, Department of Accounting, College of Business and
Economics, from Instructor to Assistant Professor
Ruby Joyce Garrett, Department of Materia Medica, College of Pharmacy,
from Instructor to Assistant Professor
Robert K. Johns, Department of Psychiatry, College of Medicine, from
Instructor of Social Work* to Assistant Professor of Social Work*

Reappointment

Elizabeth Anne Kirlin, Instructor, College of Social Professions

Changes

Robert Valyo, Assistant Professor, Department of Special Education, College
of Education, changed to Adjunct Assistant Professor*, Department of
Special Education, College of Education

*Special Title Series

- Preston V. Dilts, Associate Professor (with tenure), Department of Obstetrics and Gynecology, College of Medicine, changed to Associate Clinical Professor, Department of Obstetrics and Gynecology, College of Medicine (Voluntary Faculty)
- Beverly Ann Smith, Instructor, Department of Obstetrics and Gynecology, College of Medicine, changed to Clinical Instructor, Department of Obstetrics and Gynecology, College of Medicine (Voluntary Faculty)
- Martha Vazquez, Assistant Professor, Department of Pathology, College of Medicine, changed from Assistant Professor with waiver of nepotism to UK/VA faculty where no longer under direct supervision of husband, Dr. J. J. Vazquez
- Philip Weiler, Associate Clinical Professor, Department of Community Medicine, College of Medicine (Voluntary Faculty), changed to Associate Clinical Professor (part-time), Department of Community Medicine, College of Medicine
- Barone Angelo, Instructor, Jefferson Community College, changed from Terminal Reappointment to Reappointment
- Gunnar Oscar Hansen, Assistant Professor, Jefferson Community College changed from Terminal Reappointment to Reappointment

Leaves of Absence

- Edward P. Engels, Assistant Professor, Department of Radiation Medicine, College of Medicine, leave without pay for the period October 1, 1972 through June 30, 1973
- Jan D. Hasbrouch, Associate Professor (with tenure), Department of Anesthesiology, College of Medicine, sabbatical leave for the period January 15, 1973 through July 16, 1973
- Jesse E. Siskin, Associate Professor (with tenure), Department of Cell Biology, College of Medicine, sabbatical leave at half-salary for the period August 1, 1973 through July 31, 1974
- Jean Hayter, Professor* (with tenure), College of Nursing, sabbatical leave for the period January 1, 1973 through June 30, 1973
- Glenn Collins, Associate Professor (with tenure), Department of Agronomy, College of Agriculture, sabbatical leave for the period May 1, 1973 through November 1, 1973
- Clyde Carpenter, Associate Professor (with tenure), College of Architecture, sabbatical leave for the Spring Semester, 1973
- Wendell Berry, Professor (with tenure), Department of English, College of Arts and Sciences, leave without pay for the Spring Semester, 1974
- Ben Gossick, Professor (with tenure) and Chairman, Department of Physics and Astronomy, College of Arts and Sciences, sabbatical leave for the Spring Semester, 1973
- Harold K. Charlesworth, Professor (with tenure), Department of Administration, College of Business and Economics, and Associate Dean for Extension, sabbatical leave at half-salary for the academic year, 1973-74

* Special Title Series

Leslie Martin, Professor (with tenure), Department of Higher and Adult Education, College of Education, sabbatical leave for the period January 15, 1973 to May 15, 1973

E. B. Bradley, Associate Professor (with tenure), Department of Electrical Engineering, College of Engineering, sabbatical leave for the Spring Semester, 1973

Lawrence A. Allen, Professor (with tenure) and Dean, College of Library Science, sabbatical leave for the Spring Semester, 1973, to be cancelled. (Approved by the Board of Trustees on February 29, 1972)

Mary L. Bacon, Associate Professor (with tenure), Somerset Community College, sabbatical leave for the period January 1, 1973 through June 30, 1973

Terminal Reappointment

W. Robert Insko, Assistant Professor*, College of Social Professions

*Special Title Series

Action: Approved X Disapproved Other

Date: November 21 , 1972

GIFT REPORT
OFFICE OF DEVELOPMENT
July 1, 1972 - September 30, 1972

SUMMARY

COLLEGES AND DEPARTMENTS

Accounting	\$	36.00	
Agriculture		500.00	
College Business Management		500.00	
Council on Aging		100.00	
Dentistry		300.00	
Engineering		14,821.00	
Law		25.00	
Medicine		39,060.81	
Pharmacy		270.00	
U K Blue & White Fund		<u>42,877.00</u>	
Sub Total			\$ 98,489.81

COMMUNITY COLLEGES

Henderson	\$	7,170.50	
Prestonsburg		1,856.83	
Somerset		247.50	
Southeast		<u>172.50</u>	
Sub Total			\$ 9,447.33

UNIVERSITY

Memorials	\$	98,499.72	
Scholarships		6,610.00	
Unrestricted		<u>1,650.00</u>	
Sub Total			\$ 106,759.72

UK ANNUAL-GIVING FUND

Unrestricted Gifts	\$	11,832.90	
Restricted Gifts		<u>20,923.30</u>	
Sub Total			\$ <u>32,756.20</u>
GRAND TOTAL			\$ <u><u>247,453.06</u></u>

Albertville National Bank	\$ 50.00	John A. Moser Scholarship
Mr. Dan Alexander, Jr.	25.00	John A. Moser Scholarship
Ms. Edith C. Alley	5.00	John A. Moser Scholarship
Mrs. Charles E. Allison	30.00	John A. Moser Scholarship
American Bank & Trust Company	50.00	John A. Moser Scholarship
American Dairy Association of Ky.	500.00	John A. Moser Scholarship
Anonymous	500.00	John A. Moser Scholarship
Anonymous	40.00	Alex Romanowitz Memorial Fund
Arcadia Park Neighbor	10.00	John B. Chance Memorial Scholarship
ARMCO Scholarship Foundation	200.00	President's Progress Fund
ARMCO Scholarship Foundation	1,500.00	Scholarship Clearing Fund
Mr. O. K. Armentrout	15.00	John A. Moser Scholarship
Mr. Jay Armentrout, Jr.	15.00	John A. Moser Scholarship
Mr. Bobby D. Bailey	30.00	John A. Moser Scholarship
Bank of America	810.00	Amyotrophic Lateral Sclerosis Fund
Bank of Commerce	300.00	U K Blue & White Fund
Bank of Danville	150.00	U K Blue & White Fund
Bank of Management Assoc., Inc.	450.00	U K Blue & White Fund
Bank of Williamsburg	150.00	U K Blue & White Fund
Mr. E. X. Bankston	30.00	John A. Moser Scholarship
Mr. H. K. Barron	30.00	John A. Moser Scholarship
Mr. Bill M. Bass	3.00	John A. Moser Scholarship
Mr. Walter Bass	30.00	John A. Moser Scholarship
Mr. R. Lawrence Bassett	25.00	John A. Moser Scholarship
Mr. Vernon H. Beard, Jr.	18.00	John A. Moser Scholarship
Mr. Virgil Beasley	30.00	John A. Moser Scholarship
Mr. George Beazly	10.00	John A. Moser Scholarship
Mr. Tony M. Benge	25.00	John A. Moser Scholarship
Mr. N. R. Bennett	30.00	John A. Moser Scholarship

Berry Chevrolet Company, Incorporated	15.00	John A. Moser Scholarship
Bessire & Company, Incorporated	50.00	John A. Moser Scholarship
Dr. Dinyar Bhatena	10.00	College of Medicine Fund
Mr. W. A. Bilbo	30.00	John A. Moser Scholarship
Mr. D. Woodrow Bird	30.00	John A. Moser Scholarship
Bizzack Brothers Construction Corporation	1,500.00	U K Blue & White Fund
Mr. Paul Blalock	30.00	John A. Moser Scholarship
Blevins Tire & Recapping Company	150.00	John A. Moser Scholarship
Mr. C. E. Blomquist	25.00	John A. Moser Scholarship
Mr. Joseph E. Bobo	25.00	John A. Moser Scholarship
Mr. James L. Bonn	100.00	John B. Chance Memorial Scholarship
Boosey & Hawkin, Incorporated	1,000.00	John B. Chance Memorial Scholarship
Mr. Kenneth Boutwell	30.00	John A. Moser Scholarship
Dr. Peter P. Bosomworth	10.00	Donald Knapp Fund
Mr. & Mrs. Jack D. Brady	5.00	College of Medicine Fund
Mr. L. L. Branch	30.00	John A. Moser Scholarship
Mr. Jesse L. Brannon	25.00	John A. Moser Scholarship
Mr. John Henry Bray, Jr.	10.00	John A. Moser Scholarship
Mr. John A. Brenizer	10.00	John A. Moser Scholarship
Jacob Brenner Company	500.00	John A. Moser Scholarship
Mr. Barney Bridges	10.00	John A. Moser Scholarship
Brink's, Incorporated	250.00	John A. Moser Scholarship
Brock-McVey	500.00	U K Blue & White Fund
Mr. Leo Broecker	100.00	U K Blue & White Fund
Mr. R. J. Brookins	10.00	John A. Moser Scholarship
Mr. Allen Brown	30.00	John A. Moser Scholarship

Mr. J. C. Brown	\$ 30.00	John A. Moser Scholarship
Mr. Macon B. Brown	30.00	John A. Moser Scholarship
Mr. Galen B. Brubaker	30.00	John A. Moser Scholarship
Mr. L. E. Brubaker	25.00	John A. Moser Scholarship
Mr. W. Michael Bryant	100.00	Plastic Surgery Research & Development Fund
Mr. W. Michael Bryant	65.00	Plastic Surgery Research & Development Fund
Mr. James E. Bryson	25.00	College of Medicine Fund
Earl S. Buchele, M.D.	25.00	College of Medicine Fund
Mr. Hugh T. Burgess	15.00	John A. Moser Scholarship
Ms. Mary H. Burns	10.00	John S. & Annabel Chambers Endowed Scholarship Fund
Business Men's Assurance Company	500.00	John A. Moser Scholarship
Mr. Alex G. Campbell, Jr.	10.00	John S. & Annabel Chambers Endowed Scholarship Fund
Campbell Motors, Incorporated	250.00	John A. Moser Scholarship
Canton Exchange Bank	500.00	John A. Moser Scholarship
Capital Ford Truck Sales, Incorporated	25.00	John A. Moser Scholarship
Capitol White Truck Company	25.00	John A. Moser Scholarship
Miss Delores Cardwell	5.00	John A. Moser Scholarship
Carey-Adams, Incorporated	3,000.00	U K Blue & White Fund
Mrs. Betty Carpenter	25.00	John A. Moser Scholarship
Mr. Frank C. Carpenter	20.00	John A. Moser Scholarship
Mr. Bob R. Cathers	100.00	U K Blue & White Fund
Central Bank & Trust Company	5,250.00	U K Blue & White Fund
Central Rock Company, Inc.	625.00	U K Blue & White Fund
Mrs. Joan H. Ceo	100.00	John B. Chance Memorial Scholarship
Mr. Randall Chandler	30.00	John A. Moser Scholarship
Ms. Norma Cheney	30.00	Amyotrophic Lateral Sclerosis Fund

Christian Brokerage Company, Incorporated	\$ 10.00	John A. Moser Scholarship
Christian Wood Products	45.00	Somerset Community College Scholarship
Cincinnati Cooperative Milk Sales Association, Incorporated	100.00	John A. Moser Scholarship
Citizens Bank & Trust Company	100.00	John A. Moser Scholarship
Citizens Fidelity Bank & Trust Company	500.00	John A. Moser Scholarship
Citizens National Bank	150.00	Prestonsburg Community College Scholarship
Citizens National Bank of Somerset	150.00	U K Blue & White Fund
Citizens Union National Bank	1,000.00	U K Blue & White Fund
Dr. David Clark	50.00	Neurological Disease Research Development Fund
Mr. Rudolph R. Clark	30.00	John A. Moser Scholarship
Mr. William H. Clarke	50.00	John B. Chance Memorial Scholarship
Mr. B. L. Clearman	30.00	John A. Moser Scholarship
Mr. James E. Click	25.00	John A. Moser Scholarship
Mr. Williard B. Cline	30.00	John A. Moser Scholarship
Mrs. Iena Cohen	25.00	Munich Memorial Fund
Columbus Bank & Trust Company	500.00	John A. Moser Scholarship
Mr. Owen E. Collier	10.00	John A. Moser Scholarship
Mr. William J. Connell	100.00	John A. Moser Scholarship
Mr. M. C. Conner	25.00	John A. Moser Scholarship
Mr. Robert K. Cook	25.00	Amyotrophic Lateral Sclerosis Fund
Mr. Aubrey Cooper	10.00	John A. Moser Scholarship
Mr. I. S. Cooper	30.00	John A. Moser Scholarship
Mr. Carl E. Copeland	10.00	John A. Moser Scholarship
Corbin Deposit Bank & Trust Company	150.00	U K Blue & White Fund

Mr. John B. Corbitt	\$ 100.00	U K Blue & White Fund
Mr. Olan Corkern	10.00	John A. Moser Scholarship
Julius Corte & Associates	25.00	John A. Moser Scholarship
Mr. Charles Cornelius	30.00	John A. Moser Scholarship
Mr. William E. Couch	25.00	John A. Moser Scholarship
Mr. & Mrs. Edward W. Coutant	10.00	Neurological Research & Development Fund
Mr. & Mrs. Edward Coutnant	30.00	Neurological Diseases Research & Development Fund
Dr. Roger D. Coutant	25.00	Neurological Diseases Research & Development Fund
Ms. Irene Cundiff	10.00	Herman Huempfner Memorial Research Fund
Mr. David Crain	25.00	John A. Moser Scholarship
Mr. Fred Creech	25.00	College of Medicine Fund
Crystal Laundry & Cleaners, Inc.	25.00	John A. Moser Scholarship
Dairy Council of Kentucky & Southern Indiana, Incorporated	200.00	John A. Moser Scholarship
Dairylea Cooperative, Inc.	200.00	John A. Moser Scholarship
Damon Runyon Memorial Fund	15,650.00	Damon Runyon Fund Research Project Grant
Mrs. Joseph T. Daniels	30.00	John A. Moser Scholarship
Mr. Donald T. Davis	75.00	John A. Moser Scholarship
Mr. James S. Davis	30.00	John A. Moser Scholarship
Mr. W. C. DeBerry	30.00	John A. Moser Scholarship
Mr. Robert H. DeFord	30.00	John A. Moser Scholarship
Ms. Ruth Deiss	25.00	John S. & Annabel Chambers Endowed Scholarship Fund
Delta Implement Company	100.00	John A. Moser Scholarship
Ms. Minnie K. Dempewolf	350.00	Henderson Community College Scholarship
Deposit Guaranty National Bank	100.00	John A. Moser Scholarship

Mr. Ronald S. Dick	\$ 5.00	John B. Chance Memorial Scholarship
The Dickson Company, Incorporated	25.00	John A. Moser Scholarship
Willard R. Dill, M.D.	100.00	College of Medicine Fund
Diversey Chemical Company	100.00	John A. Moser Scholarship
Dixie Bridge Company	750.00	U K Blue & White Fund
The Dominion Company, Inc.	375.00	U K Blue & White Fund
Dr. Grace Donnelly	5.00	College of Medicine Fund
Mr. David Dozier	10.00	John A. Moser Scholarship
Mr. Claude Duckett	50.00	John A. Moser Scholarship
Mr. M. Dempsey Dudley	25.00	John A. Moser Scholarship
Dupree & Company	1,000.00	U K Blue & White Fund
East Kentucky Explosive, Inc.	1,000.00	U K Blue & White Fund
Mr. Mike Ebelhar	30.00	John A. Moser Scholarship
Mr. Gene Edwards	2.00	John A. Moser Scholarship
Mr. J. M. Eidson	50.00	John A. Moser Scholarship
Mr. Roger Eldrige	10.00	John A. Moser Scholarship
Dr. Robert English	172.50	Henderson Community College Scholarship Fund
Mr. Hershel Erwin	25.00	John A. Moser Scholarship
Farmbest, Incorporated	100.00	John A. Moser Scholarship
Farmers Bank	300.00	Henderson Community College Scholarship
The Citizens Bank of Fayette	200.00	John A. Moser Scholarship
Fayette Lions Club	500.00	Speech & Hearing Scholarship Award
Fieldcrest Management Flower Fund	10.00	Neurological Diseases Research & Development Fund
Mr. R. V. Fiers	25.00	John A. Moser Scholarship
First American National Bank	200.00	John A. Moser Scholarship
Mr. George Duckett	350.00	John A. Moser Scholarship

First Farmers Bank & Trust	\$ 150.00	U K Blue & White Fund
First Kentucky Production Credit Association	300.00	John A. Moser Scholarship
First & Merchants National Bank	500.00	John A. Moser Scholarship
First National Bank	300.00	Henderson Community College
First National Bank of Carlisle	150.00	U K Blue & White Fund
First National Bank of Fayette	1,000.00	John A. Moser Scholarship
First National Bank of Florence	50.00	John A. Moser Scholarship
First National Bank & Trust Company of Georgetown	150.00	U K Blue & White Fund
First National Bank & Trust of Nicholasville	150.00	U K Blue & White Fund
Flavorich Staff	80.00	John A. Moser Scholarship
Mr. W. C. Fleenor	30.00	John A. Moser Scholarship
Mr. J. M. Fleshood	30.00	John A. Moser Scholarship
Dr. Mary A. Hall	400.00	Prestonsburg Community College Nursing Scholarship
Mr. Lavelle Fortenberry	25.00	John A. Moser Scholarship
Food Basket, Incorporated	500.00	U K Blue & White Fund
Mr. H. C. Francis	300.00	Prestonsburg Community College Scholarship Fund
Bank of Franklin	25.00	John A. Moser Scholarship
Frantz, Incorporated	1,000.00	U K Blue & White Fund
Mr. James French	10.00	Pharmacy Participating Fund
Mr. R. R. Fravel, Jr.	30.00	John A. Moser Scholarship
Mr. R. E. Frazier	172.50	Southeast Community College Scholarship
Mr. Wiley Frazier	10.00	John A. Moser Scholarship
Mr. Tom Gambill	30.00	John A. Moser Scholarship
Mr. Robert E. Gammon	5.00	John A. Moser Scholarship
Mr. John P. Gantt	20.00	John A. Moser Scholarship

Mrs. Myra F. Gardner	\$ 25.00	John A. Moser Scholarship
Mr. Rud Garland	5.00	John A. Moser Scholarship
Mr. Edgar N. Garnett	30.00	John A. Moser Scholarship
Gay Notes	250.00	U K Blue & White Fund
Georghegan & Mathis, Inc.	1,125.00	U K Blue & White Fund
Mrs. W. S. Gibson	10.00	Gladden Memorial
Dr. James W. Gladden	123.20	Gladden Memorial
Dr. James W. Gladden	386.74	Gladden Memorial
Dr. James W. Gladden	765.00	Gladden Memorial
Mr. J. E. Gleaves	30.00	John A. Moser Scholarship
N. Glen Goebel Insurance Agency	25.00	John A. Moser Scholarship
Dr. Joseph P. Goldberg	50.00	Department of Pediatrics
Mr. Alvin L. Goldman	25.00	College of Law Scholarship
Mr. John W. Gollenhon	10.00	John A. Moser Scholarship
Mr. Charles Goodnight	150.00	U K Blue & White Fund
Dr. H. A. Gordon	10.00	Donald Knapp Fund
Graflex, Incorporated	698.00	Henderson Community College Scholarship
Mr. Arthur Graham	50.00	John B. Chance Memorial Scholarship
Ms. Lucy C. Graves	15.00	John S. & Annabel Chambers Endowed Scholarship
Mr. Roby Graves	10.00	John A. Moser Scholarship
Mr. W. R. Greear	30.00	John A. Moser Scholarship
Mr. Doug Greenway	5.00	John A. Moser Scholarship
Dr. Russell P. Greer	100.00	College of Dentistry Century Club
G. P. Gundlach & Company	25.00	John A. Moser Scholarship
Mr. Ralph Gunter	30.00	John A. Moser Scholarship
Mr. C. Richard Clark	150.00	Prestonsburg Community College Scholarship

Mr. Raymond Hall	\$ 1.00	John A. Moser Scholarship
Mr. Robert Hall	5.00	John A. Moser Scholarship
Mr. Bobby Hammons	20.00	John A. Moser Scholarship
Mr. J. D. Hanner	10.00	John A. Moser Scholarship
Mr. Howard Hardin	30.00	John A. Moser Scholarship
Donnan B. Harding, M.D.	10.00	College of Medicine Fund
Hargett Constuction Company, Incorporated	1,350.00	U K Blue & White Fund
Mr. Rodney Harper	10.00	John A. Moser Scholarship
Mr. Marvin Harris	2.00	U K Blue & White Fund
Mr. Robert H. Harris	15.00	Neurological Diseases Research & Development Fund
Mr. Tony Harrison	25.00	John A. Moser Scholarship
Mr. Henry A. Hart, Jr.	30.00	John A. Moser Scholarship
Mr. John F. Hartin	30.00	John A. Moser Scholarship
Haskon, Incorporated	50.00	John A. Moser Scholarship
Mr. Robert H. Hatcher	30.00	John A. Moser Scholarship
Mr. J. W. Hauck	30.00	John A. Moser Scholarship
Donald C. Haugh, M.D.	15.00	College of Medicine Fund
Mr. Kyle Hayes	15.00	John A. Moser Scholarship
Mr. Bobby L. Hays	25.00	John A. Moser Scholarship
Mr. Teddy Hays	20.00	John A. Moser Scholarship
Mr. J. A. Hazlegrove	30.00	John A. Moser Scholarship
Mr. Patrick B. Healy	100.00	John A. Moser Scholarship
Miss Mary W. Heflin	2.00	John A. Moser Scholarship
Henderson City Lions Club	165.00	Henderson Community College Scholarship
Henderson Home Federal	345.00	Henderson Community College Scholarship
Mr. Hubert R. Henderson	100.00	John B. Chance Memorial Scholarship

Mr. Carlton Hendrix	\$ 2.50	John A. Moser Scholarship
Mr. J. L. Henson	5.00	John A. Moser Scholarship
Mrs. Charles Hermann	100.00	Gladden Memorial
Mr. Toy L. Hicks	5.00	John A. Moser Scholarship
Mr. John W. Higginbotham	30.00	John A. Moser Scholarship
Mr. F. E. Hill	25.00	John A. Moser Scholarship
Mr. Gray Hinton	30.00	John A. Moser Scholarship
Mr. John W. Hix	30.00	John A. Moser Scholarship
Mr. Elmer Hixson	30.00	John A. Moser Scholarship
Mr. David E. Hodgkin, Jr.	50.00	John A. Moser Scholarship
Mr. R. L. Holland	30.00	John A. Moser Scholarship
Mr. Horace Holloway	20.00	John A. Moser Scholarship
Ms. Glenna M. Holmes	5.00	John A. Moser Scholarship
Ms. Sara Holroyd	35.00	John B. Chance Memorial Scholarship
H. P. Hood & Sons, Inc.	50.00	John A. Moser Scholarship
Mr. Ralph Hopkins	30.00	John A. Moser Scholarship
Mr. Sidney F. Hopkins	25.00	College of Medicine Fund
Mr. Dal Hounshell	15.00	John A. Moser Scholarship
Mr. Sam Howard	30.00	John A. Moser Scholarship
Mr. Thomas S. Howell	50.00	John B. Chance Memorial Scholarship
Miss Rose Hranicky	5.00	Martin Wyatt Scholarship
Mr. Charles F. Hubbert	25.00	John A. Moser Scholarship
Miss Sharon Huffine	2.00	John A. Moser Scholarship
Mr. Roy Hughes	30.00	John A. Moser Scholarship
Mr. Johnny Humber	35.00	John A. Moser Scholarship
Mr. G. G. Hunsucker	10.00	John A. Moser Scholarship
Mrs. Bush Hunter	100.00	College of Medicine Fund

Mr. Hugh L. Huntley	\$ 15.00	John A. Moser Scholarship
Mr. David B. Hurgeton	25.00	John A. Moser Scholarship
Mr. Gladden L. Hurt	10.00	John A. Moser Scholarship
Mr. Verner G. Hurt	100.00	John A. Moser Scholarship
Dr. Gordon L. Hyde	300.00	Arnold Hanger Fund
Hackney Brothers Body Co.	50.00	John A. Moser Scholarship
Mr. Mike Inabnitt	25.00	John A. Moser Scholarship
Industrial Uniforms	300.00	Henderson Community College Scholarship
Miss Margaret Ingles	10,000.00	Ingles Scholarship Fund
International Business Machines Corporation	250.00	Unrestricted
International Business Machines	175.00	John A. Moser Scholarship
Mr. Irving Ilmer	75.00	John B. Chance Memorial Scholarship
Mr. & Mrs. Arthur L. Jackson	10.00	Neurological Diseases Research & Development Fund
Mr. Glenn Jackson	6.00	John A. Moser Scholarship
Jackson Mack Sales, Inc.	100.00	John A. Moser Scholarship
Jackson Tire Company, Inc.	50.00	John A. Moser Scholarship
Dr. Don R. Jacobson	20.00	Donald Knapp Fund
Mr. Jake Jacobsen, Attorney	500.00	John A. Moser Scholarship
Mr. B. E. Jenkins	30.00	John A. Moser Scholarship
Douglas H. Jenkins, M.D.	25.00	College of Medicine Fund
Jerusalem Lodge	150.00	Henderson Community College
Mr. Robert B. Jewell	5,000.00	Robert W. Jewell Research Fund
Mr. Carl Johanningsmeier	30.00	John A. Moser Scholarship
Mr. & Mrs. Golden Johnson, Jr.	5.00	Neurological Diseases Research & Development Fund
Robert A. Johnston Co., Inc.	100.00	John A. Moser Scholarship
Mr. Marvin H. Jones	15.00	John A. Moser Scholarship

May P. Jones, M.D.	\$ 25.00	College of Medicine Fund
Mr. Ray S. Jones	30.00	John A. Moser Scholarship
Mr. W. B. Jones	172.50	Somerset Community College
Mr. Wavel Joseph	30.00	John A. Moser Scholarship
Mr. R. H. Judy	30.00	John A. Moser Scholarship
Mr. George H. Jung	25.00	John A. Moser Scholarship
Junior Civic Club	345.00	Henderson Community College Scholarship
Dr. N. Roger Jurich	400.00	Prestonsburg Community College Nursing Scholarship
Dr. Norman Kahn	50.00	Donald Knapp Fund
Mr. Dick Keefer	100.00	U K Blue & White Fund
Mr. A. J. Keightley	30.00	John A. Moser Scholarship
Mr. James L. Kelehan	1,500.00	College of Engineering Fund
Mr. Boswell Kennard	30.00	John A. Moser Scholarship
Mr. Warren Kenneth	100.00	U K Blue & White Fund
Kentucky Central Life Ins.	1,500.00	U K Blue & White Fund
Kentucky Central Life Ins.	1,650.00	U K Blue & White Fund
The Kentucky Chapter of The Arthritis Foundation	4,250.00	Dr. Martha Dawson - Salary Arthritis Foundation
Kentucky Finance Co., Inc.	300.00	U K Blue & White Fund
Kentucky Jellico Coal Co., Inc.	15,100.00	B. W. Whitfield Memorial Fund
Kentucky Materials Company	200.00	U K Blue & White Fund
Kentucky Pharmaceutical Assoc. Ladies Auxilliary	150.00	College of Pharmacy Fund
Mr. Mills M. Kersey	25.00	John A. Moser Scholarship
Mr. J. K. Key	25.00	John A. Moser Scholarship
Mr. Leonard V. Cremmins, Pres., Kidney Foundation of Central Ky.	5,000.00	College of Medicine - Fund for Kidney Transplantation
Mr. Charles Kilby	10.00	John A. Moser Scholarship

Mr. Jack W. Kilby	\$ 15.00	John A. Moser Scholarship
Kincaid, Wilson, Schaeffer, Trimble & Hembree	2,000.00	U K Blue & White Fund
King Printing Company	50.00	John A. Moser Scholarship
W. E. Kingsley Company	375.00	U K Blue & White Fund
Mr. George J. Kinney	75.00	John B. Chance Memorial Scholarship
Mr. Richard I. Kleinhans	10.00	John A. Moser Scholarship
Mr. Leon Knight	30.00	John A. Moser Scholarship
Mr. W. D. Knox	100.00	John A. Moser Scholarship
Mr. & Mrs. Frank Knuckles	250.00	U K Blue & White Fund
Mr. John Kuykendall	30.00	John A. Moser Scholarship
L & M Tire Service, Inc.	25.00	John A. Moser Scholarship
Dr. Roger O. Lambson	5.00	Donald Knapp Fund
Lancaster Equipment Co., Inc.	50.00	John A. Moser Scholarship
Lane-Hargett Construction Co., Incorporated	100.00	U K Blue & White Fund
Mr. Eugenia M. Laner	10.00	John A. Moser Scholarship
Mr. William J. Larzelere	25.00	John A. Moser Scholarship
Mr. & Mrs. Robert E. Laurence, Jr.	10.00	Neurological Diseases Research & Development Fund
Mr. E. E. Lawson	30.00	John A. Moser Scholarship
Mr. James N. Leckie	30.00	John A. Moser Scholarship
Mr. V. Fred Ledford	100.00	John A. Moser Scholarship
Bank of Leland	100.00	John A. Moser Scholarship
Mr. William B. Leonard	5.00	John A. Moser Scholarship
Mr. John Leonard	5.00	John A. Moser Scholarship
Mrs. Mary Leonidakis	15.00	College of Medicine Fund
Mr. S. D. Lester, Jr.	50.00	John A. Moser Scholarship
Mr. W. Z. Lester	30.00	John A. Moser Scholarship

Lexington Concrete Products, Incorporated	\$ 625.00	U K Blue & White Fund
Lexington Insurance Agency, Inc.	400.00	U K Blue & White Fund
Lexington Insurance Agency, Inc.	100.00	U K Blue & White Fund
Mr. Carlton Lilley	10.00	John A. Moser Scholarship
Mr. Lewis Lilley	10.00	John A. Moser Scholarship
Mr. J. W. Lockwood	10.00	John A. Moser Scholarship
Mr. John L. Lomond	10.00	John A. Moser Scholarship
Ms. Katherine E. Longyear	10.00	John B. Chance Memorial Scholarship
The Louisville Trust Company	1,000.00	John A. Moser Scholarship
Loyal Order of Moose	300.00	Henderson Community College
Dr. Mark M. Luckens	18.00	Donald Knapp Fund
Mr. Charles Lunn	21.00	John A. Moser Scholarship
Mr. Ollie Lupo	10.00	John A. Moser Scholarship
Mr. John McCray	36.00	Accounting Department
Mr. Frank H. McDowell	30.00	John A. Moser Scholarship
Mr. Jim McDowell	30.00	John A. Moser Scholarship
McMahan Powder Company	250.00	U k Blue & White Fund
Mr. Joe C. McMillan	10.00	John A. Moser Scholarship
Mr. & Mrs. Kendall McNabney	20.00	Martin Wyatt Scholarship
Mr. & Mrs. Warren McNabney	10.00	Martin Wyatt Scholarship
Mr. William R. McNeese, Jr.	15.00	John A. Moser Scholarship
Mr. Sam P. McRae	100.00	John A. Moser Scholarship
Mago Construction Company	1,125.00	U K Blue & White Fund
Malco Products Incorporated	10.00	John A. Moser Scholarship
Mr. Harold M. Markle	5.00	John A. Moser Scholarship

Mr. Gene Marks	\$ 30.00	John A. Moser Scholarship
Mrs. B. S. Marley	5.00	John A. Moser Scholarship
Mr. & Mrs. C. A. Marshall, Jr.	25.00	John A. Moser Scholarship
Mr. O. D. Martin	30.00	John A. Moser Scholarship
Mr. Ralph Martin	30.00	John A. Moser Scholarship
Mr. Ted Martin	30.00	John A. Moser Scholarship
Mason & Hanger-Silas Mason Co., Incorporated	5,000.00	Mason & Hanger-Silas Mason Graduate Fellowship
Mr. Lester H. May	100.00	John A. Moser Scholarship
Dr. Lloyd D. Mayer	10.00	College of Medicine Fund
Ms. Geraldine Meade	5.00	John A. Moser Scholarship
Bernard R. Meidinger & Assoc.	100.00	John A. Moser Scholarship
Mr. K. E. Mennen	50.00	John A. Moser Scholarship
The Merck Company Foundation	3,200.00	Clinical Pharmacology
Mr. Guy Meroney	10.00	John A. Moser Scholarship
Mrs. Michael Merritt	1.50	John A. Moser Scholarship
Methodist Hospital Auxiliary	862.50	Henderson Community College Scholarship
Mid-Continent, Inc.	25.00	John A. Moser Scholarship
Milk, Incorporated	100.00	John A. Moser Scholarship
Mr. D. B. Miller	30.00	John A. Moser Scholarship
Dr. Ralph E. Miller	10.00	College of Medicine Fund
Mr. Herbert C. Milton	30.00	John A. Moser Scholarship
Mr. Willie Ted Mims	25.00	John A. Moser Scholarship
Mississippi Valley Milk Products Association, Inc.	50.00	John A. Moser Scholarship
Mr. Billy D. Mitchell	20.00	John A. Moser Scholarship
Mr. H. Mitchell	30.00	John A. Moser Scholarship
Mr. Tim Mogan	50.00	John A. Moser Scholarship

Mr. W. M. Monroe	\$ 30.00	John A. Moser Scholarship
Mr. Ford Montgomery	25.00	John B. Chance Memorial Scholarship
Mr. Robert Montgomery	30.00	John A. Moser Scholarship
Mr. Billy Moore	30.00	John A. Moser Scholarship
Mr. John T. Moore	80.00	John A. Moser Scholarship
Mr. Kenneth I. Moore	40.00	John A. Moser Scholarship
Mr. Robert L. Moore	35.00	John A. Moser Scholarship
Moorman Manufacturing Co.	1,800.00	Moorman Scholarship Fund
Mr. Ben F. Morgan, Jr.	200.00	John A. Moser Scholarship
Mr. Eric E. Morgan	125.00	John A. Moser Scholarship
Mr. W. K. Morgan	100.00	John B. Chance Memorial Scholarship
Mr. Isaac Morris	30.00	John A. Moser Scholarship
Philip Morris, Incorporated	900.00	President's Progress Fund
Philip Morris, Incorporated	1,500.00	Scholarship Clearing Account
Mr. Tom E. Morris	30.00	John A. Moser Scholarship
Mr. Bruce Morrison	65.00	John B. Chance Memorial
Mr. Robert A. Mosely, Sr.	25.00	John A. Moser Scholarship
Mr. W. H. Moss, Jr.	30.00	John A. Moser Scholarship
Leoidas Mostowyxz, M.D.	10.00	College of Medicine Fund
Motel Resorts, Inc. Marriott Inn	100.00	John A. Moser Scholarship
Paul Mueller Company	300.00	John A. Moser Scholarship
Mr. Travis Mulina	10.00	John A. Moser Scholarship
Dr. Thomas P. Mullaney	25.00	College of Dentistry - Century Club
Dr. Raynor Mullins	25.00	College of Dentistry - Century Club
Marvin Music Company	500.00	U K Blue & White Fund
Nasco Imprest Fund	50.00	John A. Moser Scholarship

National Bank of Commerce	\$ 100.00	John A. Moser Scholarship
National Bank & Trust Co. of Paris	150.00	U K Blue & White Fund
Mr. Roy Neel	30.00	John A. Moser Scholarship
Nehi-RC Bottling Company	345.00	Henderson Community College Scholarship
Mr. Ed Newell, Jr.	25.00	John A. Moser Scholarship
M. G. Newell Co., Inc.	25.00	John A. Moser Scholarship
Mr. Claude B. Newman	30.00	John A. Moser Scholarship
Mr. Elmer A. Neuman	1,500.00	U K Blue & White Fund
Mr. John H. Nicolai	25.00	John A. Moser Scholarship
Northside Pharmacy, Inc.	25.00	John S. & Annabel Chambers Endowed Scholarship
Northwestern Bank	100.00	John A. Moser Scholarship
Mr. Richard A. Norton	10.00	John A. Moser Scholarship
Norwich Pharmacal Co.	300.00	Department of Pediatric Surgery
Mr. John J. O'Grady	25.00	Neurological Diseases Research & Development Fund
Ohio Valley National Bank	300.00	Henderson Community College Scholarship
Olin Corporation	1,250.00	Olin Summer Project Grant
Mr. W. W. Oliver, Jr.	30.00	John A. Moser Scholarship
Mr. J. D. Orr, Jr.	25.00	John A. Moser Scholarship
Mr. P. H. Owens	100.00	U K Blue & White Fund
Mr. William D. Owens	15.00	John A. Moser Scholarship
P. B. & S. Chemical Co.	182.50	Henderson Community College Scholarship
Dr. Joseph C. Parker	25.00	College of Medicine Fund
Mr. Buddy Parrish	5.00	John A. Moser Scholarship
Mr. Jean Passman	25.00	John A. Moser Scholarship
Mr. B. F. Wilson Paxson	100.00	John A. Moser Scholarship

Mr. William E. Peace, Jr.	\$ 25.00	John A. Moser Scholarship
Bill Pearce & Associates, Inc.	100.00	U K Blue & White Fund
Pearce-Phelps Roofing, Inc.	50.00	U K Blue & White Fund
Mr. Allen Pendleton	30.00	John A. Moser Scholarship
Peoples Bank & Trust Co.	150.00	U K Blue & White Fund
Peoples Commercial Bank of Winchester	150.00	U K Blue & White Fund
Mr. John W. Peters	24.00	John A. Moser Scholarship
Mr. Willard A. Patterson	15.00	John A. Moser Scholarship
Mr. Howard Pauly	15.00	College of Medicine Fund
Mr. Phillip O. Pegg	25.00	John A. Moser Scholarship
Mr. Ralph Peed	30.00	John A. Moser Scholarship
Permacold Industries, Inc.	100.00	John A. Moser Scholarship
Ms. Cora Virginia Perry	10.00	Gladden Memorial Fund
Dr. F. J. Peisel	50.00	College of Medicine Fund
Pet Milk Products Division	150.00	John A. Moser Scholarship
Ms. Wanda E. Phelps	5.00	John A. Moser Scholarship
Phi Theta Kappa	20.00	Martin Wyatt Scholarship
Mr. John E. Phillips	5.00	John A. Moser Scholarship
Mr. Jack Phipps	30.00	John A. Moser Scholarship
Mr. Tommie Pickering	30.00	John A. Moser Scholarship
Dr. J. Poindexter	25.00	College of Dentistry - Century Club
Mr. J. M. Potts	30.00	John A. Moser Scholarship
Dr. John W. Poundstone	100.00	College of Medicine Fund
Powell County Bank	150.00	U K Blue & White Fund
Ms. Dorris Powell	30.00	John A. Moser Scholarship
Mr. J. R. Powell	30.00	John A. Moser Scholarship
Mr. J. R. Preston	30.00	John A. Moser Scholarship
Estate of Ms. Emma Elizabeth Powell	3,140.81	Liver Research Fund

Mr. Kirby O. Primm	\$ 50.00	John A. Moser Scholarship
William R. Proffitt, D.D.S.	35.00	William R. Proffitt Study Club
Progressive Insurance	150.00	U K Blue & White Fund
Progressive Insurance Agency, Inc.	250.00	U K Blue & White Fund
Quality GMC Trucks, Inc.	25.00	John A. Moser Scholarship
Ralston Purina Company	500.00	College of Agriculture Fund
Mr. Davis Randle	30.00	John A. Moser Scholarship
Mr. Amzi Rankin, Jr.	75.00	John A. Moser Scholarship
Dr. E. H. Ray	50.00	College of Medicine Fund
Mr. Robert D. Read	30.00	John A. Moser Scholarship
Mr. Larry J. Reber	2.00	John A. Moser Scholarship
Mr. Melvin S. Redmond, Jr.	10.00	John A. Moser Scholarship
Regis Milk Company	100.00	John A. Moser Scholarship
Mr. Robert Rennick	2.76	Prestonsburg Community College
Residents' & Interns' Wives Club	100.00	Human Needs Fund
Mr. John C. Reynolds	200.00	John A. Moser Scholarship
Mr. William F. Rhudy, Jr.	12.00	John A. Moser Scholarship
Mrs. I. B. Richards	25.00	College of Medicine Fund
Mr. Louis S. Richardson	30.00	John A. Moser Scholarship
Mr. Richard Riggs	30.00	John A. Moser Scholarship
Mr. Roscoe Ring	30.00	John A. Moser Scholarship
Mr. William J. Roach	500.00	U K Blue & White Fund
Mr. John B. Roberts	100.00	John A. Moser Scholarship
Mr. P. L. Robinson	30.00	John A. Moser Scholarship
Mr. Sam S. Robinson	37.00	John A. Moser Scholarship
Mr. Eugene I. Roe	100.00	Council on Aging
B. C. Rogers Oil Co., Inc.	25.00	John A. Moser Scholarship
Mr. Billy Rogers	5.00	John A. Moser Scholarship

Mr. Pat Rogers	\$ 5.00	John A. Moser Scholarship
Ms. Elizabeth Romines	5.00	John A. Moser Scholarship
Dr. & Mrs. H. David Rosdeutscher	10.00	College of Pharmacy
Mr. Richard S. Rosenbaum	25.00	Gladden Memorial
Mr. Matthew Rossen & Mr. Wm. J. O'Brien Families	30.00	John S. & Annabel Chambers Endowed Scholarship
Dr. Sheldon Rovin	100.00	College of Dentistry - Century Club
Mr. R. L. Royer	5,000.00	College of Electrical Engineering
Mr. & Mrs. Wimberly C. Royster	25.00	A. D. Kirwan Memorial Fund
Mr. Blewett Rudolph	7.00	John A. Moser Scholarship
Miss Donna Rule	2.00	John A. Moser Scholarship
Rural Electric Coop., Corp.	2,500.00	U K Blue & White Fund
Mr. Harold Rutherford	25.00	John A. Moser Scholarship
Ryan Milk Co., Inc.	100.00	John A. Moser Scholarship
Dr. & Mrs. James F. Sautter	25.00	John S. & Annabel Chambers Endowed Scholarship
Mr. James E. Schad	25.00	John A. Moser Scholarship
Dr. George D. Schaefer	25.00	Donald Knapp Memorial Fund
Al J. Schneider Foundation, Corp.	100.00	John A. Moser Scholarship
Mr. Harry W. Schmitz	10.00	College of Medicine Fund
Mr. Doc Schuchart	30.00	John A. Moser Scholarship
Mr. Edward Scoggin	20.00	John A. Moser Scholarship
Mr. Charles P. Searcey	27.00	John A. Moser Scholarship
Lyle Searcey Brokerage Co.	25.00	John A. Moser Scholarship
Mrs. Thomas Severns	2.00	John A. Moser Scholarship
Mr. William G. Shaw	40.00	John A. Moser Scholarship
Mr. Elmer L. Sheens	10.00	John A. Moser Scholarship
Mr. Glenn D. Shelor	60.00	John A. Moser Scholarship

Mr. S. David Shirkey	\$ 30.00	John A. Moser Scholarship
Mr. Stanton Shumake, Jr.	30.00	John A. Moser Scholarship
Mr. Glen C. Sink	12.00	John A. Moser Scholarship
Mr. Bill Sisk	100.00	John A. Moser Scholarship
Benjamin M. Smarr	30,000.00	Smarr Scholarship Fund
Mr. C. C. Smith	30.00	John A. Moser Scholarship
Mr. John H. Smith	30.00	John A. Moser Scholarship
Mr. Paul J. Smith	7.00	John A. Moser Scholarship
Paul R. Smith, M.D.	25.00	College of Medicine Fund
Mr. Steve J. Smith	30.00	John A. Moser Scholarship
Mr. Tony Smith	50.00	John A. Moser Scholarship
Mr. Russell W. Smith, Jr.	75.00	John A. Moser Scholarship
A. A. Smither SRA	250.00	U K Blue & White Fund
Snyder-Gorham Optical Co.	450.00	U K Blue & White Fund
Somerset Community College	1,121.28	Clarence Whitman Hume Fund
Somerset Community College	400.00	Clarence Whitman Hume Fund
Somerset Junior Women's Club	75.00	Somerset Community College Scholarship
South Central Bell	15.76	Prestonsburg Community College Scholarship
South Central Rural Telephone Cooperative Corp. , Inc.	100.00	John A. Moser Scholarship
Southern Association of College University Business Officers	500.00	College Business Management Institute
Southern Mill Supplies, Inc.	100.00	John A. Moser Scholarship
Southtown Kiwanis Club	400.00	Henderson Community College Scholarship
Southwest Auto Service	25.00	John A. Moser Scholarship
Standard Office Furniture & Supplies	100.00	John A. Moser Scholarship
Mr. & Mrs. Leonard R. Starling	5.00	Neurological Diseases Research & Development Fund

Mr. Leon F. Stedman	\$ 10.00	John A. Moser Scholarship
Mr. Kenneth Stewart	30.00	John A. Moser Scholarship
Stewart Oil Co., Inc.	10.00	John A. Moser Scholarship
Mr. M. M. Sterrett, Jr.	30.00	John A. Moser Scholarship
Mr. Joe Stogner	25.00	John A. Moser Scholarship
Mr. Roy L. Strange	30.00	John A. Moser Scholarship
Mr. John Strasser	30.00	John A. Moser Scholarship
Mr. William Strode	30.00	John A. Moser Scholarship
Sullivan Screen-Print Company	100.00	John A. Moser Scholarship
Dr. Borys Surawicz	25.00	Donald Knapp Fund
Joshua P. Sutherland, M.D.	100.00	College of Medicine Fund
Dr. H. E. Swim	25.00	Donald Knapp Fund
Dr. Joseph V. Swintosky	100.00	College of Pharmacy Fund
Mr. Alfred Tate	30.00	John A. Moser Scholarship
Tennyson International Co.	25.00	John A. Moser Scholarship
Mr. Walter B. Tennyson	20.00	John A. Moser Scholarship
Mr. B. A. Thomas, Jr.	30.00	John A. Moser Scholarship
Mr. Boone T. Thornhill	2.50	John A. Moser Scholarship
Mr. P. Q. Thornton	25.00	John A. Moser Scholarship
Touche Ross & Company	100.00	John A. Moser Scholarship
Mr. Edward L. Townsend	10.00	John A. Moser Scholarship
Mr. John F. Townsend	30.00	John A. Moser Scholarship
Traders National Bank	150.00	U K Blue & White Fund
Mr. Frank Traficante	50.00	John B. Chance Memorial Scholarship
Mr. C. F. Travis	30.00	John A. Moser Scholarship
Mr. Leonard Travis	30.00	John A. Moser Scholarship
Mr. Vernon Trice	10.00	John A. Moser Scholarship
Mr. Hoyt Truelove	30.00	John A. Moser Scholarship
Trust Company of Georgia	250.00	John A. Moser Scholarship

Mr. M. M. Truxillo	\$ 100.00	John A. Moser Scholarship
Mr. Dale Tucker	30.00	John A. Moser Scholarship
Mrs. Earl G. Turner	25.00	John A. Moser Scholarship
United Dairies, Inc.	100.00	John A. Moser Scholarship
U. S. Shoe Corporation	300.00	Prestonsburg Community College Scholarship
United States Steel Foundation, Inc.	3,600.00	U. S. Steel Foundation Fellowship
United States Steel Foundation, Inc.	300.00	President's Progress Fund
U.K. Women's Club	10.00	Frances Jewell McVey Scholarship Fund
Mr. Vernon C. Unser	30.00	John A. Moser Scholarship
The Upjohn Company	100.00	John A. Moser Scholarship
Mr. Linus A. Vaal	30.00	John A. Moser Scholarship
Valley Canteen	38.31	Prestonsburg Community College Scholarship
Mr. Frederick T. Van Dyk	50.00	John A. Moser Scholarship
Mr. Harper Van Hoy	30.00	John A. Moser Scholarship
Mr. John T. Vernon	18.00	John A. Moser Scholarship
Mr. Edgar Vissing	30.00	John A. Moser Scholarship
WWKY, Incorporated	375.00	U K Blue & White Fund
Walker Stainless Equipment Co., Inc.	100.00	John A. Moser Scholarship
Miss Cheryl Walker	2.00	John A. Moser Scholarship
Mr. James T. Walker	30.00	John A. Moser Scholarship
Mr. Richard Walker	30.00	John A. Moser Scholarship
Dr. Charles A. Walton	5.00	Donald Knapp Fund
Mr. W. G. Warnick	25.00	John A. Moser Scholarship
Mr. Clyde E. Warren	5.00	John A. Moser Scholarship
Mr. Ben Watkins	30.00	John A. Moser Scholarship

Mr. Ron Watson	\$ 100.00	U K Blue & White Fund
Western Kentucky Gas Company	500.00	Henderson Community College Scholarship
WDOC Radio Station	100.00	Prestonsburg Community College Scholarship
Mr. David J. Weakley	20.00	John A. Moser Scholarship
Western Electric	500.00	Western Electric Scholarship
Western Electric	175.00	College of Engineering Fund
Mr. T. M. Westmoreland	30.00	John A. Moser Scholarship
Mr. Joseph J. Westwater	100.00	John A. Moser Scholarship
Whalen Erecting Co. of Kentucky, Inc.	1,500.00	U K Blue & White Fund
Dr. Tom F. Whayne	10.00	Donald Knapp Memorial Fund
Mr. C. C. Wheeley	30.00	John A. Moser Scholarship
Whirlpool Corporation	1,396.00	Whirlpool Fellowship in Engineering
John R. White Company, Inc.	100.00	John A. Moser Scholarship
Mr. Bryan W. Whitfield, Jr.	9,900.00	B. W. Whitfield Memorial Fund
Mr. George P. Whittington	560.00	Henderson Community College Scholarship
Mr. Dick Wilkins	100.00	U K Blue & White Fund
Mr. T. J. Wilkins	30.00	John A. Moser Scholarship
Mr. J. H. Willett	30.00	John A. Moser Scholarship
Ms. Beverly L. Williams	10.00	John A. Moser Scholarship
Mr. Turner N. Williams	30.00	John A. Moser Scholarship
Mr. Bunyon S. Wilson, Jr.	3,750.00	Conway Scholarship Fund
Winston Ford Company	500.00	U K Blue & White Fund
Wisconsin Label Corporation	10.00	John A. Moser Scholarship
Dr. Ernest F. Witte	25.00	Donald Knapp Memorial Fund
Dr. John W. Wittrock	25.00	College of Dentistry - Century Club

Women's Club	\$ 345.00	Henderson Community College Scholarship
Woodford Bank & Trust	2,000.00	U K Blue & White Fund
Mr. E. C. C. Woods	30.00	John A. Moser Scholarship
Mr. Charles L. Wright	500.00	U K Blue & White Fund
Mr. Craig Wright	10.00	John B. Chance Memorial Scholarship
Ms. Margaret J. Wright	50.00	John S. & Annabel Chambers Endowed Scholarship
Radio Station WSON	250.00	Henderson Community College Scholarship
Yadkin Valley Dairy Employees	82.00	John A. Moser Scholarship
Mr. James C. Yarberry	5.00	John A. Moser Scholarship
Ms. Brenda Dale Yates	5.00	John A. Moser Scholarship
Mr. Melvin R. Yates	25.00	John A. Moser Scholarship
Mr. W. L. Young, Sr.	30.00	John A. Moser Scholarship
The Zipp Manufacturing Co.	50.00	John A. Moser Scholarship

OFFICE OF DEVELOPMENT

July 1, 1972 - September 30, 1972

NON - MONETARY GIFTS

DONOR

GIFTS

Professor Richard B. Freeman

154 Posters to University
Art Gallery

Mr. & Mrs. Bruce H. Platt, Sr.

The Exceptional Executive to
Ashland Community College

Mr. & Mrs. Bruce H. Platt, Sr.

2 Volumes of the book American
Antique Furniture for Ashland
Community College

Office of the President
November 21, 1972

PR 4

Members. Board of Trustees:

AMENDMENTS TO GOVERNING REGULATIONS

Recommendation: that the Board of Trustees approve the following proposed amendments to the Governing Regulations (February 1972) as received at the September 19, 1972 meeting of the Board:

- (1) Incorporation of changes in PART I, A; PART II, A, 2; PART II, A, 4; and the Appendix resulting from action by the Commonwealth of Kentucky General Assembly during its 1972 regular session. These sections deal with University programs and requirements, composition and officers of the Board of Trustees, and the powers and duties of the Council on Public Higher Education.
- (2) Incorporation of a paragraph, previously inadvertently omitted, in PART VII, A, 8, a, which deals with the faculty of a community college.

Background: The content of these amendments is included in the Minutes of the September 19, 1972 meeting and is not reproduced here. The third proposed amendment (a clarification of PART XII, D, as it applies to the use of University facilities by political groups or political candidates) received in September is not included in the above and a substitute amendment is being offered in its place (See PR 5).

Action: Approved X Disapproved Other

Date: November 21 , 1972

Office of the President
November 21, 1972

PR 5

Members, Board of Trustees:

PROPOSED SUBSTITUTE AMENDMENT TO THE GOVERNING REGULATIONS,
PART XII, D

Recommendation: that the Board of Trustees accept for consideration and action at its next meeting the following proposed substitute amendment in PART XII, D of the Governing Regulations:

(To replace the third paragraph in PART XII, D)

Specifically, University facilities shall not be used for meetings, conventions, rallies, or any campaign activities that would further the interests of a political party or of a candidate or candidates for public office. This is not to be construed as prohibiting the appearance of political candidates at meetings of registered student organizations which are open in attendance only to students, faculty and staff of the University, and which are not open to the general public. Further, the University may from time to time invite candidates for public office to speak at University convocations.

"Political party" is defined as a voluntary association of persons formed and organized for the purpose of nominating or electing candidates for public office. This definition includes, but is not limited to, the meaning of "political part" as defined in KRS 119.010.

Background: An amendment to PART XII, D in the Governing Regulations was received for consideration by the Board of Trustees at its meeting on September 19, 1972. Since that time, Dean Hall and Vice President Zumwinkle in Student Affairs have recommended the above substitute amendment which incorporates the basic modification that political candidates may appear at meetings of any registered student organization provided that such meetings are open only to students, faculty and staff of the University, i. e., not open to the general public.

Action: Approved X Disapproved Other

Date: November 21 , 1972

Office of the President
November 21, 1972

PR 6

Members, Board of Trustees:

AMENDMENT TO SICK LEAVE POLICY

Recommendation: that the University amend its sick leave policy as stated in Personnel Policy and Procedures Guideline #3. It is recommended that the maximum number of sick leave days that may be accumulated be unlimited. This change will provide additional incentive for those employees who have already reached the present maximum of 120 days to continue to accumulate days. It is further recommended that the University establish through administrative policy, appropriate guidelines that will award additional days to those University employees who have 10 or more years of service and have already accumulated 120 sick leave days.

Background: Present University policy permits sick leave to be earned at the rate of one day per calendar month. This policy applies to all regular full-time University employees except those with faculty appointments. Sick leave may be accumulated to a maximum of 120 work days. Sick leave earned beyond that point is lost.

The sick leave policy's stated purpose is to encourage the accumulation of sick leave to cover an extended illness. There are a number of University employees who have already accumulated the maximum number of sick leave days that our present policy permits. These people, of course, lose sick leave days not taken once the maximum has been reached.

Action: Approved X Disapproved Other

Date: November 21 , 1972

Office of the President
November 21, 1972

PR 7

Members, Board of Trustees:

1972-73 Budget Revisions

Recommendation: that the following revisions in the 1972-73 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. Income Estimates			
Federal Appropriations			
Agriculture Cooperative Extension	\$4,639,400	\$ 5,052,100	\$ 412,700
County Appropriations	964,900	1,040,100	75,200
Sales and Services			
Public Service and Regulatory	801,700	820,000	18,300
Gifts and Grants			
Other Gifts and Grants	113,600	118,600	<u>5,000</u>
			511,200
2. Expenditures			
Academic Affairs			
Agriculture Cooperative Extension Reserve	77,600	254,000	176,400
4-H Youth Exchange	-0-	236,300	236,300
Field Programs	2,995,000	3,070,200	75,200

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
Agriculture Public Service - Pesti- cide Applicator and Use	-0-	18,300	18,300
College of Education Dean's Office	146,000	151,000	<u>5,000</u>
			511,200

3. Comments - The proposed additional expenditures will be funded respectively by an increase in Federal Agricultural appropriations, county appropriations, Regulatory Service Fees, and a Grant through the University of South Florida from the Higher Education Task Force.

B. Restricted Funds

1. Income Estimates	5,392,500	5,407,400	14,900
2. Expenditures			
General Administra- tion			
Alumni Relations	106,100	114,100	8,000
University Exten- sion	-0-	2,600	2,600
Student Aid			
Gifts and Grants for Scholarships	264,200	268,500	<u>4,300</u>
			14,900

3. Comments - The proposed additional expenditures will be funded from income restricted to the purposes indicated above.

C. Auxiliary Funds

1. Income Estimates	10,248,700	10,393,700	145,000
---------------------	------------	------------	---------

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
2. Expenditures			
Housing and Dining			
System Food Ser- vices	3,082,800	3,227,800	145,000
3. <u>Comments</u> - the proposed additional expenditures indicated above will be funded by increased income from food service operations in the Housing and Dining System.			

Action: Approved X Disapproved Other

Date: November 21 , 1972

Trustee Recommendation
November 21, 1972

TR 1

Members, Board of Trustees:

RECOGNITION OF PUBLIC EMPLOYEES COUNCIL NO. 51

Recommendation: that the Board of Trustees of the University of Kentucky recognize Public Employees Council No. 51, American Federation of State, County and Municipal Employees, AFL-CIO, as the bargaining representative of Physical Plant employees and direct the administration to enter into discussions with said union on all matters of concern to the employees it represents and further direct the withdrawal of the Board of Trustees' pending declaratory judgment action (Board of Trustees v. Public Employees Council No. 51, C.A. 33249, Fayette Circuit Court, filed May 19, 1972).

AMENDED RESOLUTION SUBSTITUTED FOR ABOVE BY MR. WENDELSDORF

Recommendation: that the Board of Trustees of the University of Kentucky recognize the right of University employees to organize within Public Employees Council No. 51, American Federation of State, County and Municipal Employees, AFL-CIO, or any other labor organization.

Action: Approved _____ Disapproved X Other See Section J of the Minutes

Date: November 21 , 1972

Trustee Recommendation
November 21, 1972

TR 2

Members, Board of Trustees:

RECONSIDERATION OF REGULATIONS GOVERNING THE USE OF
MEMORIAL COLISEUM

Recommendation: that the Board of Trustees reconsider REGULATIONS GOVERNING THE USE OF MEMORIAL COLISEUM, PR 7, passed at the September 19, 1972 meeting of the Board. A copy of the original proposal as passed is attached.

Action: Approved _____ Disapproved _____ Other _____
Date: _____, 1972
Withdrawn from
consideration by
Mr. Wendelsdorf

Finance Committee Report
November 21, 1972

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the three months ending September 30, 1972, be accepted.

Background: The September 30, 1972 report reflects income of \$34,390,359 for the period of the report; the income figure is 27.6% of the annual income estimate of \$124,688,955.

The expenditure and commitments represent a total of \$27,893,611 for this period of three months, or 22.4% of the expenditure authority of \$124,688,955 approved by the Board.

Action: Approved Disapproved Other

Date: November 21, 1972

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

Office of Vice President
Business Affairs and Treasurer

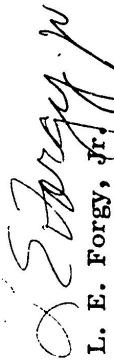
November 7, 1972

Dr. Otis A. Singletary, President
University of Kentucky
Lexington
Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the three months ended September 30, 1972 is submitted herewith. This is the second such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.
Vice President-Business Affairs
and Treasurer

Schedule 1

University of Kentucky
Balance Sheet
September 30, 1972

With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
Current Funds:		
Unrestricted:		
Cash in bank:		
Depository funds	\$ 834,935	\$ 1,911,195
Federal funds	147,076	147,076
Imprest funds	<u>227,962</u>	<u>47,077</u>
Total cash	1,062,897	2,105,348
State funds-unexpended balances:		
Trust and agency funds	4,301,025	5,567,018
General fund appropriation	768,984	768,984
Due from other funds and affiliated corporations	3,982,053	2,700,573
Accounts receivable	4,995,536	1,419,254
Notes receivable	72,244	72,193
Inventories	2,068,410	2,196,250
Unrealized income	<u>65,201,978</u>	<u>68,946,285</u>
Total unrestricted	<u>81,684,143</u>	<u>73,775,905</u>
Restricted:		
Cash in bank	1,797,356	161,708
Tobacco Research Trust Fund:		
Imprest funds	44,430	11,464
Trust and agency funds	3,600,597	2,650,050
Petty cash advances	255,209	177,024
Travel advances	40,433	75,697
Accounts receivable	90,136	34,367
Investments:		
Short-term	5,635,619	5,887,488
Other	875,267	854,882
Unrealized income:		
Restricted	2,561,473	2,533,273
Tobacco Research Trust Fund	<u>2,597,003</u>	<u>1,544,706</u>
Total restricted	<u>17,497,523</u>	<u>13,930,659</u>

University of Kentucky
Balance Sheet
September 30, 1972
With Comparative Figures for 1971

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,764,411	\$ 2,912,253
Accounts payable-county funds	25,343	30,174
Due to Federal Government	111,205	472,784
Advance from state for imprest cash fund	800,000	650,000
Deferred income	389,653	211,416
Unencumbered balance, departmental appropriations	71,042,438	63,666,170
Fund balances:		
Allocated:		
Reserve for inventories	1,991,744	2,019,095
Reserve for notes receivable	71,190	71,190
Reserve for student fee cancellations	487,500	49,208
Reserve for accounts receivable	73,316	
Reserve for undistributed accrued payroll expense	527,000	336,000
Workmen's compensation payments	65,000	121,312
Future operating purposes	872,823	518,583
Future construction	631,730	631,730
Unallocated	<u>2,462,520</u>	<u>2,085,990</u>
Total unrestricted	<u>81,684,143</u>	<u>73,775,905</u>
Restricted:		
Outstanding encumbrances	352,036	275,584
Due to other funds and affiliated corporations	782,096	3,544,576
Advance from state for imprest cash fund	50,000	12,000
Outstanding check liability	23,569	24,620
Undistributed collections and clearing	7,248,388	3,326,709
Unencumbered balance, departmental appropriations	2,149,801	1,833,837
Unencumbered balance, Tobacco Research Trust Fund appropriations	2,451,873	1,609,798
Fund balances:		
Restricted fund	1,130,907	1,038,949
Tobacco Research Trust Fund-unallocated funds	<u>3,308,853</u>	<u>2,264,586</u>
Total restricted	<u>17,497,523</u>	<u>13,930,659</u>

Schedule 1

University of Kentucky
Balance Sheet
September 30, 1972

With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
Hospital and clinics:		
Cash in bank-depository funds	\$ 552,063	\$ 403,234
Due from other funds	3,358,726	2,908,927
Accounts receivable, net of allowance for doubtful accounts	285,787	304,830
Inventories	<u>12,027,698</u>	<u>10,398,753</u>
Unrealized income		
Total hospital and clinics	<u>16,224,274</u>	<u>14,015,744</u>
Housing and dining system:		
Cash	1,931,553	1,072,087
Due from other funds and affiliated corporations	693,875	1,630,388
Accounts receivable	360,850	205,029
Inventories	752,373	672,937
Prepaid expenses	471,848	609,491
Accrued interest income		<u>12,988</u>
Total housing and dining system	<u>4,210,499</u>	<u>4,202,920</u>
Auxiliary enterprises:		
Cash in bank	547,837	307,379
Due from other funds and affiliated corporations	17,735	391,281
Accounts receivable	26,506	23,016
Inventories	389,971	338,517
Unrealized income	<u>1,528,765</u>	<u>1,299,912</u>
Total auxiliary enterprises	<u>2,510,814</u>	<u>2,360,105</u>
TOTAL CURRENT FUNDS	<u>\$122,127,253</u>	<u>\$108,285,333</u>

University of Kentucky
Balance Sheet
September 30, 1972
With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Hospital and clinics:		
Due to other funds		\$ 63,389
Outstanding encumbrances	\$ 164,491	147,035
Unexpended balance, departmental appropriations	13,064,950	11,319,932
Fund balances-allocated:		
Reserve for inventories	308,998	326,816
Reserve for accounts receivable	<u>2,685,835</u>	<u>2,158,572</u>
Total hospital and clinics	<u>16,224,274</u>	<u>14,015,744</u>
Housing and dining system:		
Accrued wages	103,129	86,359
Accrued expenses	164,347	174,600
Accounts payable	316,997	119,313
Deferred income	1,827,110	2,055,401
Fund balances:		
Funds for renewals and replacements	1,236,804	1,299,766
Allocated for future operating purposes	<u>562,112</u>	<u>467,481</u>
Total housing and dining system	<u>4,210,499</u>	<u>4,202,920</u>
Auxiliary enterprises:		
Outstanding encumbrances	139,013	160,491
Unencumbered balance, departmental appropriations	1,463,218	1,376,915
Fund balances:		
Reserve for inventories	389,971	338,517
Funds for renewals and replacements	21,108	7,347
Allocated for future operating purposes	<u>497,504</u>	<u>476,835</u>
Total auxiliary enterprises	<u>2,510,814</u>	<u>2,360,105</u>
TOTAL CURRENT FUNDS	<u><u>\$ 122,127,253</u></u>	<u><u>\$ 108,285,333</u></u>

Schedule 1

University of Kentucky
Balance Sheet
September 30, 1972
With Comparative Figures for 1971

ASSETS

	<u>1972</u>	<u>1971</u>
Loan Funds:		
Cash in bank	\$ 18,680	
Notes receivable	6,612,489	\$ 5,680,112
Due from other funds	74,661	
Due from Federal Government	7,124	11,646
Due from United Student Aid loan fund	<u>2,000</u>	<u>2,000</u>

TOTAL LOAN FUNDS

\$ 6,714,954 \$ 5,693,758

Endowment Funds:

Cash \$ 123,261 \$ 131,287
Investments 1,090,720 880,105

TOTAL ENDOWMENT FUNDS

\$ 1,213,981 \$ 1,011,392

Plant Funds:

Unexpended plant funds:

Cash on deposit with State Treasurer 1,231,578 \$ 1,599,423
Capital construction funds 18,935,670 18,936,662
Due from Federal government 1,138,115 1,968,896
Due from other funds
Construction funded 135,789,373 114,327,983

Total unexpended plant funds

157,094,736 136,832,964

University of Kentucky
Balance Sheet
September 30, 1972
With Comparative Figures for 1971

Schedule 1

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Loan Funds:		
Due to other funds		\$ 46,935
Federal sponsored loan funds:		
Federal government	5,760,417	4,854,736
University matching funds	557,533	455,907
Accumulated interest income	261,592	210,676
Total Federal sponsored loan funds	<u>6,579,542</u>	<u>5,521,319</u>
University loan funds:		
University student loan funds	56,943	52,754
University special student loan funds	76,469	70,750
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>135,412</u>	<u>125,504</u>
TOTAL LOAN FUNDS	<u>\$ 6,714,954</u>	<u>\$ 5,693,758</u>
Endowment Funds:		
Funds balances	<u>\$ 1,213,981</u>	<u>\$ 1,011,392</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,213,981</u>	<u>\$ 1,011,392</u>
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 13,858,723	\$ 6,161,604
Due to other funds	41,022	7,800
Notes payable		
Bonds payable	18,588,716	23,470,887
Appropriations - unencumbered balances	18,193,884	14,374,181
University equity in projects	<u>106,412,391</u>	<u>92,818,492</u>
Total unexpended plant funds	<u>\$ 157,094,736</u>	<u>\$ 136,832,964</u>

Schedule 1

University of Kentucky
Balance Sheet
September 30, 1972
With Comparative Figures for 1971

	<u>1972</u>	<u>1971</u>
<u>ASSETS</u>		
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 88,320	\$ 865,512
Unrealized income	<u>7,717,765</u>	<u>7,732,944</u>
Total retirement of indebtedness funds	<u>7,806,085</u>	<u>8,598,456</u>
Sinking funds:		
Cash on deposit with Trustee	182,584	29,404,963
Investments held by Trustee	<u>12,585,516</u>	<u>11,130,395</u>
Total sinking funds	<u>12,768,100</u>	<u>40,535,358</u>
Invested in plant:		
Land	11,799,072	10,916,659
Buildings	165,258,628	159,154,575
Equipment	<u>41,407,129</u>	<u>38,706,371</u>
Total invested in plant	<u>218,464,829</u>	<u>208,777,605</u>
TOTAL PLANT FUNDS	<u>\$396,133,750</u>	<u>\$394,744,383</u>
Agency Funds:		
Cash in bank	\$ 330,779	\$ 24,397
Due from other funds	21,951	181,868
Investments - short term	<u>3,000</u>	<u>3,000</u>
TOTAL AGENCY FUNDS	<u>\$ 355,730</u>	<u>\$ 209,265</u>

University of Kentucky
 Balance Sheet
 September 30, 1972
 With Comparative Figures for 1971

LIABILITIES AND FUND BALANCES

	<u>1972</u>	<u>1971</u>
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 7,717,765	\$ 7,732,944
Funds balances	<u>88,320</u>	<u>865,512</u>
Total retirement of indebtedness funds	<u>7,806,085</u>	<u>8,598,456</u>
Sinking funds:		
Due to other funds	28,100,000	28,100,000
Bonds payable	4,523,000	4,689,000
Funds balances	<u>8,245,100</u>	<u>7,746,358</u>
Total sinking funds	<u>12,768,100</u>	<u>40,535,358</u>
Invested in plant:		
Notes payable	81,721,284	78,436,613
Bonds payable	<u>136,743,545</u>	<u>130,340,992</u>
Net investment in plant	<u>218,464,829</u>	<u>208,777,605</u>
Total invested in plant	<u>218,464,829</u>	<u>208,777,605</u>
TOTAL PLANT FUNDS	<u>\$ 396,133,750</u>	<u>\$ 394,744,383</u>
Agency Funds:		
Agency funds balances	<u>\$ 355,730</u>	<u>\$ 209,265</u>
TOTAL AGENCY FUNDS	<u>\$ 355,730</u>	<u>\$ 209,265</u>

Schedule 2

University of Kentucky
 Schedule of Changes in Fund Balances--Current Funds
 Three Months Ended September 30, 1972

	Unallocated	Allocated for Future Operating Purposes	Restricted	Tobacco Research Trust Fund	Hospital and Clinics	Dining System Funds for Renewals and Replacements	Housing and Auxiliary Enterprises
Balances, July 1, 1972	\$ 2,458,947	\$ 4,141,723	\$ 1,130,907	\$ 3,308,853	\$ 2,993,919	\$ 495,110	\$ 497,504
Allocations:							
Current operations:							
Original budget		(3,268,900)					
Current year provisions					67,002		
Adjustments of prior year expenditures	<u>3,573</u>				<u>914</u>		
Balances, September 30, 1972	<u>\$ 2,462,520</u>	<u>\$ 872,823</u>	<u>\$ 1,130,907</u>	<u>\$ 3,308,853</u>	<u>\$ 2,994,833</u>	<u>\$ 562,112</u>	<u>\$ 497,504</u>

Schedule of Changes in Unrestricted Fund and
Hospital Estimated Income
For the Three Months Ended September 30, 1972

Schedule 3

	<u>Original Budget</u>	<u>July and August</u>	<u>September</u>	<u>Adjusted Budget</u>
Unrestricted Fund:				
Student fees	\$ 13,371,500		\$ 13,371,500	
State appropriations	61,114,400		\$ (350,000)	60,764,400
Federal appropriations	7,400,100	\$ 49,993		7,450,093
County appropriations	964,900			964,900
Endowment and trust fund income	158,200			158,200
Gifts and grants	2,838,400	191,500		3,029,900
Sales, services and other income	3,150,947	7,007		3,157,954
Unallocated fund balances appropriated	<u>3,268,900</u>			<u>3,268,900</u>
Total unrestricted	<u>\$ 92,267,347</u>	<u>\$ 248,500</u>	<u>\$ (350,000)</u>	<u>\$ 92,165,847</u>
Hospital and Clinics:				
State appropriations	\$ 4,684,200		\$ 350,000	\$ 5,034,200
Hospital income	<u>11,100,000</u>			<u>11,100,000</u>
Total hospital and clinics	<u>\$ 15,784,200</u>		<u>\$ 350,000</u>	<u>\$ 16,134,200</u>

Schedule of Changes in Unrestricted Fund Schedule 4
and Hospital Expenditure Appropriations
For the Three Months Ended September 30, 1972

	<u>Unrestricted</u>	<u>Hospital and Clinics</u>	<u>Total</u>
Original budget	\$ 92,267,347	\$ 15,784,200	\$ 108,051,547
Increases (decreases) as approved by Board of Trustees:			
August	248,500		248,500
September	<u>(350,000)</u>	<u>350,000</u>	
Total Per Report	<u>\$ 92,165,847</u>	<u>\$ 16,134,200</u>	<u>\$ 108,300,047</u>

University of Kentucky
 Summary of Current Funds Revenues and Appropriated Balances
 Three Months Ended September 30, 1972
 With Comparative Figures for 1971

Fund and Source	September 30, 1972		September 30, 1971 (see note)			
	Estimated for the Year	Realized to Date	% Realized	Estimated for the Year	Realized to Date	% Realized
Unrestricted:						
Student fees	\$ 13,371,500	\$ 5,245,653	39.2	\$ 10,191,300	\$ 4,963,280	48.6
State appropriations	60,764,400	16,728,147	27.5	57,280,938	15,456,549	30.0
Federal appropriations	7,450,093	774,322	10.4	6,842,716	1,057,191	15.4
County appropriations	964,900	164,849	17.1	845,943	129,220	15.3
Endowment and trust fund income	158,200			157,200	9,126	5.8
Gifts and grants (includes grants from affiliated corporations)	3,029,900	30,396	10.0	3,041,500	9,883	3.3
Sales, services and other income	3,157,954	751,602	23.8	2,755,800	553,863	20.0
Unallocated fund balances appropriated	3,268,900	3,268,900	100.0	2,765,000	2,765,000	100.0
Total unrestricted	92,165,847	26,963,869	29.3	83,880,397	24,934,112	29.7
Restricted	3,022,060	460,587	15.2	2,643,067	109,794	4.2
Tobacco Research Trust Fund	3,124,700	527,697	16.9	2,151,192	606,486	28.1
Hospital and clinics						
State appropriations	5,034,200	1,259,108	25.0	4,300,362	1,164,051	27.0
Hospital earned income- net	11,100,000	2,847,394	25.7	9,875,328	2,612,886	26.5
Total Hospital and clinics	16,134,200	4,106,502	25.5	14,175,690	3,776,937	26.6
Housing and dining system	8,079,621	1,697,942	21.0	7,908,159	1,602,975	20.2
Auxiliary enterprises	2,162,527	633,762	29.3	2,175,393	875,480	40.2
TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES	\$ 124,688,955	\$ 34,390,359	27.6	\$ 112,933,898	\$ 31,905,784	28.3

Note: Certain reclassifications have been made to the figures for the three months ended September 30, 1971 for the purpose of comparability.

Schedule 6

University of Kentucky
 Summary of Current Funds Expenditures
 Three Months Ended September 30, 1972
 With Comparative Figures for 1971

Fund or Function	September 30, 1972		September 30, 1971 (see note)	
	Appropriation for the Year	Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date
Unrestricted:				
Departments of instruction and research	\$ 36,985,183	\$ 9,248,694	\$ 33,467,876	\$ 8,059,013
Summer session-1972	786,347	722,473	608,535	640,095
Organized activities	603,291	106,396	541,404	150,919
Organized research	7,133,587	1,830,228	6,554,034	1,810,177
Libraries	2,925,185	743,965	2,595,633	1,121,934
Extension and public services	10,708,859	2,589,025	9,832,634	2,430,683
Maintenance and operation of plant	6,954,636	1,698,617	6,349,036	1,851,252
Renovation, office furniture, etc.		9,100	750,000	750,000
General administration	6,166,827	1,179,572	5,321,238	1,024,874
Student services	2,654,704	638,511	2,381,365	551,548
Staff benefits	6,852,497	1,517,180	5,847,892	1,260,300
General institutional services	2,286,802	485,883	2,130,813	387,636
Service enterprises	201,748	77,998	105,223	58,084
Debt service cost	5,531,100		5,531,100	15
Student aid	1,218,254	275,767	809,833	117,697
Reserves	1,156,827		1,057,281	
Total unrestricted	92,165,847	21,123,409	83,883,897	20,214,227
		22.9		24.1
				24.0
				105.2
				27.4
				27.6
				43.2
				24.7
				29.2
				100.0
				18.6
				23.2
				21.6
				18.2
				55.2
				14.5

University of Kentucky
 Summary of Current Funds Expenditures
 Three Months Ended September 30, 1972
 With Comparative Figures for 1971

Fund or Function	September 30, 1972		September 30, 1971 (see note)			
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
Restricted:						
Departments of instruction and research	\$ 1,456,350	\$ 273,767	18.8	\$ 599,309	\$ 171,046	15.2
Organized activities	25,500	176	.7			
Organized research	144,114	16,439	11.4	146,210.	37,149	22.4
Libraries	37,750	1,756	4.7	12,320	687	2.9
Extension and public services	213,704	25,690	12.0	201,871	137,690	31.9
General institutional services	112,082	23,739	21.2	108,670	18,296	16.8
Student aid	1,032,560	530,694	51.4	414,050	444,362	56.1
Total restricted	3,022,060	872,259	28.9	1,482,430	809,230	30.6
Tobacco Research Trust Fund	3,124,700	672,827	21.5	4,720,000	541,394	25.2
Hospital and clinics	16,134,200	3,069,250	19.0	14,175,690	2,855,758	20.1
Housing and dining system	8,079,621	1,456,557	18.0	7,908,159	1,579,858	20.0
Auxiliary enterprises	2,162,527	699,309	32.3	2,159,293	798,476	36.7
TOTAL CURRENT FUNDS EXPENDITURES	\$124,688,955	\$27,893,611	22.4	\$114,329,469	\$26,798,945	23.7

Note: Certain reclassifications have been made to the figures for the three months ended September 30, 1971 for the purpose of comparability.

University of Kentucky
 Schedule of Short Term Investments
 For the Three Months Ended September 30, 1972

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Funds:		
Government securities:		
Federal Land Bank Bonds, 6.5%, 10-23-72	\$ 335,000	\$ 335,000
Federal Home Loan Bonds, 5.75%, 11-27-72	210,000	210,000
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
U. S. Treasury Bills, 4.47%, 11-2-72	500,000	497,775
U. S. Treasury Bills, 4.65%, 12-14-72	700,000	692,844
Total government securities		<u>1,935,619</u>
Certificates of deposit:		
Citizens Union National Bank & Trust Company, 5.05%, 1-18-73	600,000	600,000
Second National Bank & Trust Company, 5%, 1-18-73	600,000	600,000
Bank of Commerce and Trust Company, 5%, 1-18-73	500,000	500,000
Bank of Lexington, 5.5%, 1-25-73	300,000	300,000
First Security National Bank & Trust Company, 5.5%, 3-8-73	100,000	100,000
Bank of Lexington, 5.5%, 3-8-73	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 3-8-73	100,000	100,000
First Security National Bank & Trust Company, 5.75%, 4-3-73	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-73	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-73	100,000	100,000
Bank of Lexington, 5.75%, 7-25-73	200,000	200,000
First Security National Bank & Trust Company, 5.75%, 7-27-73	500,000	500,000
Second National Bank & Trust Company, 5.75%, 7-27-73	400,000	400,000
Total certificates of deposit		<u>3,700,000</u>
		<u>\$5,635,619</u>
Agency Funds-Certificates of Deposit:		
First Security National Bank & Trust Company, 5%, 12-2-72	\$ 3,000	<u>\$ 3,000</u>

TOTAL CURRENT RESTRICTED FUNDS

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus - Subschedule A</u>					
Consolidated Educational Bonds C & D (June 8, 1971 Minutes of the Board)	\$ 5,390,399	\$ 5,549,679	\$ 5,549,679	\$ 5,438,633	\$ 111,046
Agricultural Sciences--Lab Building # 2-749					
Appurtenant Facilities--Utilities	3,051,380	2,994,409			
Medical Center -Relocation of Cooling Tower-302		1,794,413		1,755,349	39,064
Cooling Plant # 2-Central Campus-920		1,184,592		1,183,507	1,085
Subtotal	3,051,380	2,994,409	2,979,005	2,938,856	40,149
Utilities Construction FCR-3, December 3, 1970	774,979	708,428			
Interconnection of Steam Lines--Medical Center and Upper Street Heating Plants-324		708,428	708,428	681,308	27,120
Subtotal	774,979	708,428	708,428	681,308	27,120
Conversion of Electrical Distribution System FCR-4, March 16, 1971	1,450,000	1,555,813			
Replacement of Boilers--Upper Street Heating Plant- 975		1,058,781		1,008,163	50,618
Conversion from 4KV to 12 KV-976		348,809		322,425	26,384
Subtotal	1,450,000	1,555,813	1,407,590	1,330,588	77,002
Patient Care Services (June 8, 1971 Board Minutes & Planning PR 11, January 29, 1969)-307	4,500,000	4,807,000	157,685	137,463	20,222
Addition to Margaret I. King Library (June 8, 1971 Board Minutes & Planning PR11, January 29, 1969)- 938	3,400,000*	2,433,849	2,433,849	2,234,552	199,297
Library Renovation-989		315,000	15,680	2,500	13,180
Appurtenant Facilities--Site Preparation (FCR-7, October 20, 1970)	66,078	64,028			
Veterans Administration Hospital--Connecting Bridge-943		64,028	64,028	57,505	6,523
Subtotal	66,078	64,028	64,028	57,505	6,523

* Of the \$ 3,700,000 originally approved for additional library facilities, \$ 300,000 is included in Subschedule B for a Life Sciences Library in the Biological Sciences Building.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 3

<u>Main Campus-Subschedule A-continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of</u>
Laboratory Office Facilities-967-FCR-2, May 5, 1970	\$ 2,296,522	\$ 2,296,522	\$ 2,131,142	\$ 2,016,607	\$ 114,535
Health, Physical Education and Recreation Building-968 (FCR-2, May 5, 1970)	2,500,000	2,598,000	2,598,000	2,562,586	35,414
Veterans Administration Hospital, Service Road-996	32,340	32,340	32,340	32,340	32,340
Completed Projects	<u>22,471,284</u>	<u>22,327,890</u>	<u>22,327,890</u>	<u>22,327,890</u>	<u>Completed</u>
Total	<u>45,900,642</u>	<u>45,652,958</u>	<u>45,405,316</u>	<u>39,728,488</u>	<u>676,828</u>
Reserve for Additional Projects	524,187	1,000,000			
Legal, Administrative and Interest Expenses	5,842,218	4,930,411	4,930,411	4,930,411	
Contingency and Clearing Account-900		168,608	5,273,501		5,273,501
Total Subschedule A	<u>\$ 52,267,047</u>	<u>\$ 51,781,977</u>	<u>\$ 50,609,228</u>	<u>\$ 44,658,899</u>	<u>\$ 5,950,329</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>Main Campus-Subschedule A -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$ 34,700,000	\$ 34,700,000	
Series C Bonds	4,290,000	4,290,000	
Interest Income	4,085,316	4,085,316	
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	43,886,555	43,886,555	
General Obligation Bonds:			
Utilities-FCR-3, December 8, 1970	1,350,000	1,350,000	
Planning-PR-11, January 29, 1969	139,864	139,864	
Administrative Meeting, November 9, 1971	458,139	458,139	
Proceeds from Fire Loss	179,684	179,684	
Transferred from Subschedule B	800,000	800,000	
Hill Burton Funds	1,500,000	1,500,000	
Veterans Administration	659,254	659,254	
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Trust and Agency Funds	50,000	50,000	
Transferred from Subschedule H	83,000	83,000	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,352,619	92,737
Title II Grants	1,129,070	1,129,070	
Trust and Agency (Furniture and Equipment-Service Building)	17,000	17,000	
Transferred to Biological Sciences Library-Subschedule B	(300,000)	(118,000)	(182,000)
Transferred to 1972-73 Building and Renovation Program, Subschedule I	(1,073,988)	(36,000)	(1,037,988)
Total Source of Funds	\$ 51,777,795	\$ 50,605,046	\$ 1,172,749

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Main Campus - Subschedule A - continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES C & D BONDS

Balance in Clearing Account	\$ 5,309,501
Unrealized Income	2,392,737
Due to 1972-73 Building and Renovation Program	(1,073,988)
Due to Biological Sciences Library	<u>(182,000)</u>
Subtotal	6,446,250
 Additional Allotments:	
Patient Care Services	\$ 4,649,315
Library Renovation	299,320
Appurtenant Facilities-Utilities	15,404
Conversion of Electrical Distribution System	148,223
Lab Office Facility	<u>165,380</u>
Total Additional Allotments	<u>(5,277,642)</u>
Balance Available	\$ 1,168,608

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus Planning-Subschedule B</u>					
<u>Consolidated Educational Bonds (not assigned)</u>					
(PR 10 & 11, January 29, 1969)					
Lab Office Building-Biological Sciences-Phase I-940	\$ 4,300,000	\$ 4,441,625	\$ 259,625	\$ 240,236	\$ 19,389
	*				
Medical Center-New Reconstruction and Renovation	8,500,000	20,000			
Addition of 2 Floors-301			10,000	10,000	
Handicapped Children's Center-939			10,000	10,000	
Subtotal	8,500,000	20,000	20,000	20,000	20,000
Completed Projects	2,800,000	6,208	6,208	6,208	Completed
Total Subschedule B	\$15,600,000	\$ 4,467,833	\$ 285,833	\$ 266,444	\$ 19,389

Source of Funds:

General Obligation Bonds	\$ 167,833	\$ 167,833	
Transferred from Subschedule A	300,000	118,000	\$ 182,000
Series E Bonds (not issued)	4,800,000		4,800,000
Transferred to Subschedule A	(800,000)		(800,000)
Total Source of Funds	\$ 4,467,833	\$ 285,833	\$ 4,182,000

* \$ 300,000 of this Board Approval is for a Life Sciences Library in the Biological Sciences Building and has been transferred from Subschedule A, Addition to Margaret I. King Library.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule C</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Non-Recurring Maintenance Items (FCR-6, April 2, 1968 & PR 5 November 10, 1968)					
Consulting Services-40-226	\$ 23,000*	\$ 23,000*	23,000 \$	3,832 \$	19,168
Campus Utilities-Electrical-972		113,321	29,012	28,724	288
Campus Electrical Projects					
McVey Hall-40-222		12,000	12,000	12,000	
Barker Hall, 40-223		10,000	10,000	10,000	
Alumni Gym, 40-224		7,000	7,000	7,000	
Reynolds # 1, 40-225		11,000	11,000	11,000	
Funkhouser 40-239		25,000	25,000	25,000	
Subtotal		65,000	65,000	65,000	
Cancelled Projects	349,000				
Completed Projects	801,000	770,611	770,611	770,611	Completed
Total	1,150,000	971,932	887,623	868,167	19,456
Unallotted Non-Recurring Maintenance 39-400			84,309		84,309
Total Subschedule C	\$ 1,150,000 \$	971,932 \$	971,932 \$	868,167 \$	103,765

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>Subschedule C--continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
University Fund Balances	\$ 25,000	\$ 25,000
General Obligation Bonds	41,390	41,390
Restricted	1,928	1,928
Renovation Funds 1969-70	1,540	1,540
Fund Balances -		
PR-5, November 1968	900,000	900,000
FCR-6, April 2, 1968	112,400	112,400
FCR-6, April 2, 1968	137,600	137,600
Transferred to Minor Renovation Funds	(194,000)	(194,000)
Transferred to State Capital Construction Projects	(55,000)	(55,000)
Transferred to Subschedule F. a.	(2,568)	(2,568)
Transferred to General Fund Consulting Services Account	(2,000)	(2,000)
Athletics Association	6,681	6,681
Transferred to Subschedule I	<u>(1,039)</u>	<u>(1,039)</u>
Total Source of Funds	<u>\$ 971,932</u>	<u>\$ 971,932</u>

SUMMARY OF UNAPPROPRIATED FUNDS--NON RECURRING MAINTENANCE

Balance in Clearing	\$ 84,309
To be Allotted	<u>(84,309)</u>
Balance Available	\$ -0-

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule D</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
State Capital Construction Funds-FCR-3, (February 18, 1969)					
Community Medicine Facility-Myology and Animal Tuberculosis-322	\$ 100,000	\$ 153,450	\$ 153,450	\$ 141,367	\$ 12,083
Development of Intramural Fields-118	250,000	277,485	277,485	219,505	57,980
<hr/>					
ETV for Community Colleges-518	100,000	118,109	110,538	87,814	22,724
Jefferson Community College- ETV-555			7,571	7,223	348
Subtotal	100,000	118,109	118,109	95,037	23,072
<hr/>					
Completed Projects	620,000	779,099	779,099	779,099	Completed
<hr/>					
Contingency	3,500		77,582		77,582
Undistributed (not allotted specific projects)-400					
<hr/>					
Total Subschedule D	\$ 1,073,500	\$ 1,328,143	\$ 1,405,725	\$ 1,235,008	\$ 170,717

<u>Source of Funds:</u>	<u>Estimated</u>	<u>Realized</u>	<u>Unrealized</u>
Board Action-FCR-3	\$ 1,853,500	\$ 1,853,500	
Transferred to Ag. Science Building II	(500,000)	(500,000)	
Transferred from Non-Recurring Maintenance	55,000	55,000	
Restricted Funds (Engr. Equipment Account)	41,000	41,000	
Transferred to Miscellaneous Construction	(100,000)		\$ (109,000)
Transferred to Subschedule I	(21,357)	(21,357)	
Temporary Advance to Subschedule F. a.		(22,418)	22,418)
<hr/>			
Total Source of Funds	\$ 1,328,143	\$ 1,405,725	\$ 77,582

<u>SUMMARY OF UNAPPROPRIATED FUNDS-STATE CAPITAL CONSTRUCTION FUNDS</u>	
Balance in Clearing Account	\$ 77,582
Unrealized Transfers	(100,000)
Temporary Advance to be Repaid	22,418
Balance Available	\$ -0-

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule E-</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Major Improvements-(PR 6, November 10, 1967) & (FCR-2, December 9, 1969)					
Minor Interior Changes & Major Mech & Electrical Highway Materials Research Lab (Graham Avenue) -932	\$ 150,000	\$ 225,405	\$ 225,405	\$ 215,073	\$ 10,332
Completed Projects	1,359,850	1,472,750	1,472,750	1,472,750	Completed
Cancelled Projects	95,500				
Contingency and Clearing Account 39-700	194,650		118		118
Subtotal PR-6	1,800,000	1,698,155	1,698,273	1,687,823	10,450
Demolition of Houses -107		58,262	58,144	56,487	1,657
Total Subschedule E	\$ 1,800,000	\$ 1,756,417	\$ 1,756,417	\$ 1,744,310	\$ 12,107

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule E-continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
Fund Balances-PR 6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds-		
FCR-2, December 9, 1969	\$ 786,624	
Available Balance Returned	<u>(10,097)</u>	
Total G. O. B.		776,527
Grants		136,588
Trust and Agency		10,000
University Funds		4,982
Restricted Funds		<u>28,066</u>
Subtotal		<u>1,969,539</u>
Less:		
Sewer Connection Fee- FCR-3, March 12, 1968	(35,000)	(35,000)
Transferred to Miscellaneous Construction Schedule	(150,000)	(150,000)
Transferred to Research Feed Processing Center	(11,773)	(11,773)
Transferred to Subschedule I	<u>(16,349)</u>	<u>(16,349)</u>
Total Source of Funds	<u>\$ 1,756,417</u>	<u>\$ 1,756,417</u>

SUMMARY OF UNAPPROPRIATED FUNDS- MAJOR IMPROVEMENTS

Balance in Clearing	\$ 118
Additional Allotments	<u>(118)</u>
Balance Available	\$ -0-

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972
 Schedule 8

<u>Main Campus-Subschedule F</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment Encumbrances</u>	<u>Expenditures and</u>	<u>Balance of</u>
a. Others					
Closed Circuit TV	\$ 48,465	\$ 52,092			
Completion Classroom Office 39-076		\$	13,697	\$ 14,519	\$ (822)
TV Receivers and Tape 39-079			38,395	38,395	
Subtotal	48,465	52,092	52,092	52,914	(822)
Miscellaneous Parking Lot Construction					
1970-71 (FCR-4, June 17, 1969)-964, 970	200,000	246,400	246,400	229,794	16,606
1971-72 (FCR-2, June 9, 1970)-165,166,167	200,000	200,000	101,665	81,146	20,519
Subtotal	400,000	446,400	348,065	310,940	37,125
Coldstream Research Feed Processing Center-746					
(FCR-3, June 9, 1970)	293,475	293,475	293,475	287,131	6,344
College of Agriculture-Seed Building-556, 41-001		250,000	251,000	8,400	242,600
New Facilities for WBKY-979, 40-004		65,337	65,337	59,717	5,620
Completed Projects	21,535	169,146	169,146	169,146	Completed
Total Subschedule F. a.	763,475	1,276,450	1,179,115	888,248	290,867

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>Main Campus-Subschedule F -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds-Subschedule F. a.</u>			
Auxiliary Enterprises (Parking Fees)	\$ 446,400	\$ 446,400	
Parking Fees Reserved in Clearing Account		(98,335)	\$ 98,335
Special State Appropriation	250,000	250,000	
State Fire and Tornado Fund	134,256	134,256	
USDA Grants	164,503	164,503	
HEW Broadcasting Facilities Grant	44,837	22,419	22,418
70-71 Renovation Funds	86,773	86,773	
69-70 Renovation Funds	325	325	
Fund Balances	98,715	98,715	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Transferred from Subschedule C	2,568	2,568	
Temporary Advance to Subschedule M		(320,000)	
Subtotal	1,276,450	835,697	120,753
Advance from State Capital Construction Funds		22,418	(22,418)
Advance from Subschedule H		1,000	(1,000)
Total	1,276,450	859,115	97,335

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment Encumbrances</u>	<u>Expenditures and</u>	<u>Balance of</u>
	<u>2,007,344</u>	<u>2,007,344</u>	<u>2,007,344</u>	<u>2,007,344</u>	<u>Allotment Completed</u>
b. Purchase of computer-103 (FCR-2, December 9, 1969)					
<u>Source of Funds - Subschedule F. b.</u>					
FCR-2					
Trust and Agency		\$ 1,819,612	\$ 1,819,612		
Restricted Funds		187,732	187,732		
Total		2,007,344	2,007,344		

Source of Funds - Subschedule F. b.

	<u>Amount</u>	<u>Realized</u>
Trust and Agency		\$ 1,819,612
Restricted Funds		187,732
Total		2,007,344

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Subschedule F - continued</u>					
c. Property Acquisitions-135	\$ 3,800,000	\$ 3,826,607	\$ 3,826,607	\$ 3,705,557	\$ 121,050
<u>Source of Funds-Subschedule F. c.</u>	<u>Amount</u>	<u>Realized</u>			
General Obligation Bonds					
FCR-4, May 6, 1969		\$2,300,000			
FCR-2, December 9, 1969		<u>187,732</u>			
Subtotal	2,487,732	2,487,732			
Restricted Fund Balances					
PR-5, November 19, 1968		900,000			
FCR-2, December 9, 1969		(187,732)			
Phasing Out Operations of South End Frozen Food Locker		(9,517)			
Transfer of Land Sale Proceeds		<u>(18,106)</u>			
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales Trust and Agency Funds	98,606	98,606			
Program Improvement Reserve		32,450			
Agriculture Department		23,174			
PR-7, May 5, 1970		100,000			
PR-4, January 19, 1971		<u>400,000</u>			
Subtotal	555,624	555,624			
Total	<u>3,826,607</u>	<u>3,826,607</u>			
d. Completed Projects (Medical Center-Hill Burton)	25,398,144	25,286,631	25,286,631	25,286,631	<u>25,286,631</u>
<u>Source of Funds-Subschedule F. d.</u>	<u>Amount</u>	<u>Realized</u>			
Federal Grants	10,192,147	10,192,147			
Special State Appropriation	<u>15,094,484</u>	<u>15,094,484</u>			
Total	25,286,631	25,286,631			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972
 Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment Encumbrances</u>	<u>Balance of Allotment</u>
e. Housing and Dining Complex	\$ 1,947,242	\$ 1,894,241	\$ 1,894,241	\$ 1,894,241
<u>Source of Funds-Subschedule F. e.</u>	<u>Amount</u>	<u>Realized</u>		
Series H & I Bonds	1,922,821	1,922,821		
Trust and Agency	24,420	24,420		
Transferred to Subschedule F. f.	(53,000)	(53,000)		
Total	1,894,241	1,894,241		
f. Undistributed Portion of Fund Balances-100				
Restricted Funds				
University Funds Reserved for Additional Projects			8,031	8,031
University Funds Reserved for Parking			80,000*	80,000
Unallocated H & I Bonds			94,153	94,153
Unallocated			53,000	53,000
			303,005	303,005
Total Subschedule F. f.			538,189	538,189
Total Subschedule F	\$ 33,916,205	\$ 34,291,273	\$ 34,732,127	\$ 33,782,021

* University funds reserved for additional projects has been reduced by the \$ 320,000 temporary advance for Subschedule M-Football Stadium.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule G</u> General Obligation Bonds	<u>Board</u> <u>Approved</u>	<u>Estimated</u> <u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
Completed Projects FCR-2, June 9, 1970	\$ 196,507 \$	190,005 \$	190,005 \$	190,005	Completed
Unallocated General Obligation Bonds			167,202		167,202
Total Subschedule G	<u>\$ 196,507 \$</u>	<u>190,005 \$</u>	<u>357,207 \$</u>	<u>190,005 \$</u>	<u>167,202</u>

Source of Funds:

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
General Obligation Bond Proceeds	\$ 5,783,000 \$	5,783,000	
Transferred to Other Schedules:			
Subschedule A (C & D Bonds)	(1,948,003)	(1,948,003)	
Subschedule B (Main Campus Planning)	(167,833)	(167,833)	
Subschedule C (Non-Recurring Maintenance)	(41,390)	(41,390)	
Subschedule E (Major Improvements)	(776,527)	(776,527)	
Subschedule F. c. (Property Acquisitions)	(2,487,732)	(2,487,732)	
Subschedule H (Miscellaneous Construction)	(167,202)		\$ (167,202)
Subschedule I (1972-73 Building & Renovation Program)	(4,308)	(4,308)	

Total Source of Funds \$ 190,005 \$ 357,207 \$ (167,202)

SUMMARY OF UNAPPROPRIATED FUNDS-GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 167,202
Unrealized Transfers	<u>(167,202)</u>
Balance Available	-0-

University of Kentucky
Schedule of Unexpended Plant Funds

For the Three Months Ended September 30, 1972

Schedule 8

	Administrative		Estimated Project Cost	Expenditures and Encumbrances		Balance of Allotment
	Approved	Project Cost		Allotment		
<u>Main Campus-Subschedule H</u>						
<u>1971-72 Projects in Process (November 9, 1971</u>						
Administrative Meeting)						
Renovation-Lafferty Hall, 971	\$ 305,000	\$ 305,000	\$	279,186	\$ 250,192	28,994
Taylor Education Building-North Wing						
Renovation-117-981	167,000	171,938***		171,938	1,000	170,938
Hospital Elevators-984, 41-025	189,200	189,200		187,933	163,509	24,424
Roof Repairs-985	73,945	73,945		73,945	70,164	3,781
Sandblasting and Tuckpointing-983	282,260	282,260		282,260	263,826	18,434
Carnahan House-Expansion of Restrooms	27,885	35,861		35,861		35,861
Subtotal	1,045,290	1,058,204		1,031,123	748,691	282,432
Academic Renovation						
Neutron Generator Blockhouse	859,734	35,886				
Community College Renovation, 40-243, 991		65,000				
Scott Street, 40-257, 40-274		150,000		135,263	128,903	6,360
Porter Church Sanctuary Renovation, 40-204, 40-258 and 40-268		35,000		35,000	35,000	
Funkhouser Renovation, 40-264, 40-265, 40-266 and 40-267		75,000		75,000	65,425	9,575
Bowman Hall, Wet Labs, 40-275		78,340		78,340	84,615	(6,275)
Law Building, Air Conditioning, 995		16,000		16,000	14,926	1,074
Campus, Misc. Bldgs, Air Conditioning, 41-061 730 South Limestone Street, 2nd Floor Renovation, 41-064		1,200		1,200		1,200
Special Education 5A and 5 E, Renovation, 41-063		14,500		14,500		14,500
Porter Building Renovation, 41-062		23,088		23,088		23,088
Fine Arts Building Renovation 37-999		19,469		19,469		19,469
Reynolds Building Renovation		19,055		19,055		19,055
Subtotal	859,734	50,000		3,100		3,100
		678,538		420,015	328,869	91,146

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Main Campus-Subschedule H-continued</u>	<u>Administrative Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of</u>
	<u>\$</u>		<u>\$</u>	<u>\$</u>	<u>Allotment</u>
Reserve for other projects	\$ 474,965	\$ 467			
Mycology		8,200			
Campus Lighting, 40-221		20,000	\$ 20,000	\$	20,000
Greenhouse Relocation-751		200,000	22,750		22,750
Physical Plant Development Greenhouse and Planting Area, 40-263		18,000	18,000	18,000	
Farm Residence and Greenhouse Utilities, 40-280		7,500	7,500	2,170	5,330
Coliseum Ceiling Repairs, 607		10,000	10,000	8,438	1,562
Parking Structure Repairs, 41-003		10,000	10,000	8,800	1,200
Haggin Playing Field Fence, 41-007		5,200	5,200	5,209	(9)
Recreational Equipment Building		35,000			
Renovation Alumni Gym, 994		58,098	58,098		58,098
Carnahan House Picnic Shelter		15,000			
Replacement of Trash Cans, 40-276		2,000	2,000	2,600	(600)
Practice Tennis Courts, 41-012		7,000	7,000		7,000
Johnston Memorial Monument, 41-026		2,500	2,500	4,334	(1,834)
VA Emergency Drive, 41-027		1,000	1,000	1,000	
Subtotal	474,965	399,965	164,048	50,551	113,497
Unallocated 1971-72 Projects in Process, 40-800			251,519		251,519
Total Subschedule H	\$ 2,379,989	\$ 2,136,707	\$ 1,866,705	\$ 1,128,111	\$ 738,594

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Main Campus -Subschedule H-continued</u>			
Funds balances (Administrative Meeting 11/1/71)	\$ 1,464,100	\$ 1,464,100	
Housing and Dining Funds	87,989	87,989	
Transferred from Non-Recurring Maintenance	185,000	185,000	
Transferred from Major Improvements-PR-6	150,000	150,000	
Transferred from 1969-70 Renovation Funds	126,198	126,198	
Transferred from 1970-71 Renovation Funds	3,500	3,500	
Transferred from 1971-72 Renovation Funds	69,000	69,000	
Transferred from State Capital Construction Funds	100,000		\$ 100,000
Transferred from General Obligation Bonds	167,202		167,202
Transferred to Subschedule A	(83,000)	(83,000)	
Transferred to Subschedule K	(48,300)	(48,300)	
Transferred to General Fund	(5,000)	(5,000)	
Temporary Advance to Subschedule F. a.		(1,000)	1,000
Temporary Advance to Subschedule M		(1,800)	1,800
Transferred to Subschedule I	(79,982)	(79,982)	
Total Source of Funds	<u>\$ 2,136,707</u>	<u>\$ 1,866,705</u>	<u>\$ 270,002</u>

SUMMARY OF UNAPPROPRIATED FUNDS-1971-72 PROJECTS IN PROCESS

Balance in Clearing	\$ 251,519
Unrealized Income	270,002
To be Allotted	<u>(485,168)</u>
Balance Available	\$ 36,353

* Funds available for Academic Renovation have been reduced by \$ 28,300 transferred for acquisition of classroom furniture and \$ 28,000 transferred to movable equipment for HPER Building.

** Reserve for other projects has been reduced by \$ 20,000 transferred to plantings and landscapings and \$ 55,000 transferred for furniture in Agriculture Science Lab.

*** Estimated project cost on Taylor Education Building has been reduced by \$ 5,000 transferred to General Fund Consulting Services Account.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>1972-73 Building & Renovation Program-Subschedule I</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Fine Arts Building	\$ 5,000,000	\$ 5,000,000			
Greenhouses	200,000	200,000			
Bridge over Rose	150,000	150,000			
Barn	25,000	25,000			
Family Practice Program, 326	750,000	750,000	\$ 753,858	\$	753,858
Nursing Building	800,000	800,000			
Utilities in Triangle Area	400,000	400,000			
Academic Affairs Renovation	525,000	525,000			
Medical Center Renovation	262,000	262,000			
Community College Renovation	262,000	262,000			
Land Acquisition	500,000	500,000			
Campus Improvements and D. f. Maintenance	458,000	10,000			
Roof Repairs		83,000			
Landscaping		20,000			
Landscaping-Ag. Science # II		25,000			
Chilled Water-Law Building		50,000			
Graphics		10,000			
Screening		10,000			
Bulletin Boards		10,000			
Handicapped Facilities		10,000			
Safety Defects		50,000			
Furniture, 41-096		80,000	50,000	\$ 27,566	22,434
Minor Renovation Projects, 41-500, 41-600		100,000			
Subtotal	458,000	458,000			
Medical Center Equipment	320,000	320,000			
Subtotal	9,652,000	9,652,000	803,858	27,566	776,292
Uncommitted 72-73 Building and Renovation Program	48,317	48,317			
Total Subschedule I	\$ 9,700,317	\$ 9,700,317	\$ 803,858	\$ 27,566	\$ 776,292

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>1972-73 Building and Renovation Program-</u> <u>Subschedule I-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
University Fund Balances	\$ 2,485,000		\$ 2,485,000
1972-73 Allocation	750,000		750,000
Additional Series " E " Bonds	5,200,000		5,200,000
Transferred from Consolidated Educational Bonds C & D- Subschedule A	1,073,988 \$	36,000	1,037,988
Transferred from Non-Recurring Maintenance, Subschedule C	1,039	1,039	
Transferred from State Capital Construction Funds, Subschedule D	21,357	21,357	
Transferred from Major Improvements, Subschedule E	16,349	16,349	
Transferred from General Obligation Bonds, Subschedule G	4,308	4,308	
Transferred from 1971-72 Projects in Process, Subschedule H	79,982	79,982	
Transferred from Minor Renovation Funds, Subschedule L: Medical Center Renovation	60,773	60,773	
Business Affairs Renovation	7,521	7,521	
Temporary Advance from T & A	526,529		
Temporary Advance from T & A	50,000		
Total Source of Funds	\$ 9,700,317 \$	\$03,858 \$	\$ 9,472,988

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Community Colleges-Subschedule J</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Consolidated Education Bonds-Series A & B					
(FCR-2 November 17, 1970)					
Ashland Phase II-561	\$ 17,000	\$ 17,000	17,000	\$	17,000
Ashland Parking Lot-519		90,000	90,000		90,000
Carrollton-558		20,000	20,000		20,000
Glasgow-557		20,000	20,000		20,000
Henderson Academic Facilities	\$ 1,235,645	1,235,645	1,235,645	\$ 1,229,515	6,130
Jefferson Phase II-534	3,789,171	3,861,327	3,861,327	3,823,673	37,654
Jefferson Library-562		7,000	7,000		7,000
Louisville Vocational Technical Institute-560		5,000,000	5,000,000		5,000,000
Madisonville Preliminary Development-550	14,200	14,200	14,200	13,593	607
Madisonville Academic Facilities-554	2,474,650	2,911,777	2,911,777	2,723,947	187,830
Maysville Academic Facilities-537	1,857,061	1,815,391	1,815,391	1,815,391	
Prestonsburg Preliminary Development-513	12,897	12,897	12,897	12,597	300
Prestonsburg Academic Facilities-541	1,632,199	1,632,199	1,632,199	1,625,458	6,741
Somersset Academic Facilities-543	805,276	847,759	829,454	828,251	1,203
Somersset Library Equipment, 40-038		36,610	14,000	18,170	(4,170)
Southeast Academic Facilities-545	1,004,787	1,024,376	1,005,787	987,517	18,270
Educational Television-Community Colleges					
Henderson-552	23,027	24,500	23,732	23,192	540
Subtotal	<u>23,027</u>	<u>24,500</u>	<u>23,732</u>	<u>23,192</u>	<u>540</u>
Completed Projects	<u>10,478,365</u>	<u>10,326,179</u>	<u>10,326,179</u>	<u>10,326,179</u>	<u>Completed</u>
Total	23,327,278	28,896,860	28,836,588	23,427,483	5,409,105
Contingency					
		951,653			
Legal, Administrative, and Interest Expenses		1,166,628	1,166,628	1,166,628	
Clearing Account-500			302,014		302,014
Total Subschedule J	<u>\$ 23,327,278</u>	<u>\$ 31,015,141</u>	<u>\$ 30,305,230</u>	<u>\$ 24,594,111</u>	<u>\$ 5,711,119</u>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Schedule 8

<u>Community Colleges-Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Title I Grants	\$ 7,251,219	\$ 6,545,613	\$ 705,606
Title VI Grants	18,305	14,000	4,305
Norton Memorial Hospital	95,063	95,063	
Ashland Board of Education	45,000	45,000	
Madisonville Reserve	23,150	23,150	
Trust and Agency	2,500	2,500	
Special State Appropriation	5,040,000	5,040,000	
Old Series A & B Bonds	1,960	1,960	
Series A & B Bonds:			
Original Issue-\$ 16,300,000			
Series A	12,125,000	12,125,000	
Fund Balances	75,000	75,000	
Series B	4,489,000	4,489,000	
Bond Premium	360	360	
Interest Earned	1,848,584	1,848,584	
	<hr/>		
Total Source of Funds	\$ 31,015,141	\$ 30,305,230	\$ 709,911
	<hr/>		<hr/>

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

Community Colleges - Subschedule J - continued

SUMMARY OF UNAPPROPRIATED FUNDS-SERIES A & B BONDS

Balance in Clearing Account	\$ 302,014
Unrealized Income	709,911
Subtotal	\$ 1,011,925
Additional Allotments	(60,272)
Balance Available	\$ 951,653

Schedule 8

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>Community Colleges-Subschedule K</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Others -					
Ashland Phase II-524	\$ 7,500	\$ 7,500	\$ 7,500	\$ 5,001	\$ 2,499
Completed Projects	5,469	5,469	5,469	5,469	Completed
Total Subschedule K	\$ 12,969	\$ 12,969	\$ 12,969	\$ 10,470	\$ 2,499

Source of Funds:

	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Trust and Agency Advance FCR-3, March 10, 1970	\$ 7,500	\$ 7,500	
Fund Balances	1,000	1,000	
Due from Other Funds	7,500	\$ 7,500	
Advance to be Repaid	(7,500)		(7,500)
1970-71 Renovation Funds	4,469	4,469	
Total Source of Funds	\$ 12,969	\$ 12,969	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

	Board Approved	Estimated Project Cost	Expenditures and Encumbrances		Balance of Allotment
			Allotment	Encumbrances	
<u>Minor Renovation Funds-Subschedule I.</u>					
1971-72 Renovation Funds-					
Projects in Process	\$ 250,000	\$ 233,508	\$ 233,508	\$ 209,624	\$ 23,884
Completed Projects		134,213	134,213	134,213	Completed
Unallocated Regular Clearing Account 40-500			(7,345)		(7,345)
Unallocated Miscellaneous Clearing Account 40-600			367		367
Office Furniture, 40-096	75,000	38,648	38,423	38,648	(225)
Classroom Furniture	25,000	98,491	95,530	98,491	(2,961)
Medical Center Renovation	242,000	160,778	157,288	160,778	(3,490)
Medical Center Completed Projects		23,939	23,939	23,939	Completed
Handicapped Facilities, 40-085	15,000	15,000	15,000	13,783	1,217
Planting and Landscaping, 40-086	35,000	55,000	55,000	45,842	9,158
Outside Painting (Buildings, Sandblasting), 40-087	20,000	20,000	20,000		20,000
Outside Painting (Rose-Limestone Triangle)-40-088	14,000	8,000	8,000		8,000
Sign Program, 40-056, 40-057	20,000	25,297	20,000	25,297	(5,297)
Contingency		(18,951)			
Subtotal	696,000	793,923	793,923	750,615	43,308
1970-71 Renovation Funds-					
Projects in Process	600,000	139,622	139,622	105,850	33,772
Completed Projects		479,450	479,450	479,450	Completed
Subtotal	600,000	619,072	619,072	585,300	33,772
<u>Total Subschedule I</u>					
	\$ 1,296,000	\$ 1,412,995	\$ 1,412,995	\$ 1,335,915	\$ 77,080

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>Subschedule L--continued</u> <u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
1971-72 Allocation	\$ 750,000	\$ 750,000	
Transferred to Miscellaneous Construction	(69,000)	(69,000)	
Hospital Funds	43,600	43,600	
Transferred from 1970-71 Stock Furniture	2,453	2,453	
Transferred from Subschedule H	48,300	48,300	
Tobacco Health Research	4,521	4,521	
UKRF Funds	23,000	23,000	
Non-Recurring Maintenance	9,000	9,000	
Transferred from 1970-71 Funds	50,343	50,343	
Transferred to Subschedule I	(60,773)	(60,773)	
Transferred to Subschedule I	(7,521)	(7,521)	
Subtotal 1971-72 Funds	793,923	793,923	
1970-71 Allocation			
PR-7, May 5, 1970	\$ 300,000		
PR-4, January 19, 1971	300,000		
Total 1970-71 Allocation	600,000	600,000	
Transferred to Subschedule F. a.	(86,773)	(86,773)	
Transferred to Subschedule H	(3,500)	(3,500)	
Transferred to Subschedule K	(4,469)	(4,469)	
Transferred from 1969-70 Funds	34,764	34,764	
Transferred to 1971-72 Funds	(50,343)	(50,343)	
Transferred from 1969-70 Stock Furniture	596	596	
Transferred to 1971-72 Stock Furniture	(2,453)	(2,453)	
Auxiliary Enterprises	17,000	17,000	
Transferred from Other Funds	114,250	114,250	
Subtotal	619,072	619,072	
Total Source of Funds	\$ 1,412,995	\$ 1,412,995	

SUMMARY OF UNAPPROPRIATED FUNDS--MINOR RENOVATION

Balance of 1971-72 Clearing Accounts	\$ (6,978)
Additional Allotments--	
Sign Program	(5,297)
Office Furniture	(225)
Classroom Furniture	(2,961)
Medical Center Renovation	(3,490)
Balance Available	\$ 18,951

University of Kentucky
Schedule 8

Schedule of Unexpended Plant Funds

For the Three Months Ended September 30, 1972

<u>Football Stadium-Subschedule M</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
Construction of Football Stadium -980	\$ 11,000,000	\$ 9,929,380*	\$ 10,249,380	\$ 9,589,571	\$ 659,809
Stadium Planning Study 40-034		10,000	10,000	9,297	703
Construction of Stadium Model 41-011		1,800	1,800	179	1,621
Replacement Facilities		372,548			
Machinery Sheds-602			6,684	1,838	4,846
Gravel for Service Center Area-604			10,125		10,125
Service Center Area-605			10,286		10,286
Lath House-606			834	1,593	(759)
Lot for Garage-603			11,732	4,901	6,831
Ag. Farm Service Buildings-988			327,154	272,025	55,129
Relocation of Farm Residence-990			5,733	13	5,720
Subtotal			372,548	280,370	92,178
Legal, Administrative and Interest Expenses		1,186,272	338,880	338,880	
Clearing Account			296,539		296,539
Total Subschedule M	\$ 11,000,000	\$ 11,500,000	\$ 11,269,147	\$ 10,218,297	\$ 1,050,850
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
State Bond Anticipation Notes	\$ 9,000,000	\$ 9,000,000			
Estimated Interest Income	500,000	15,617	\$ 484,383		
Athletics Association (Estimated)	1,368,270	1,300,000	68,270		
Special State Appropriation	631,730	631,730			
Temporary Advance from Subschedule H		1,800	(1,800)		
Temporary Advance from Subschedule F		320,000	(320,000)		
Total Source of Funds	\$ 11,500,000	\$ 11,269,147	\$ 230,853		

* Estimated Project Cost less than Allotment due to Temporary Advance of \$ 320,000.

Schedule 8

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
	Approved	Estimated				
SUMMARY OF SUBSCHEDULES						
A. Consolidated Educational Bonds C & D	\$ 52,267,047	\$ 51,781,977	\$ 50,609,228	\$ 44,658,899	\$ 5,950,329	
B. Main Campus-Planning	15,600,000	4,467,833	285,833	266,444	19,389	
C. Non-Recurring Maintenance	1,150,000	971,932	971,932	868,167	103,765	
D. State Capital Construction Funds	1,073,500	1,328,143	1,405,725	1,235,008	170,717	
E. Major Improvements	1,800,000	1,756,417	1,756,417	1,744,310	12,107	
F. Main Campus-Others	33,916,205	34,291,273	34,732,127	33,782,021	950,106	
G. General Obligation Bonds	196,507	190,005	357,207	190,005	167,202	
H. 1971-72 Projects in Process	2,379,989	2,136,707	1,866,705	1,128,111	738,594	
I. 1972-73 Building and Renovation Program	9,700,317	9,700,317	803,858	27,566	776,292	
J. Consolidated Education Bonds A & B	23,327,278	31,015,141	30,305,230	24,594,111	5,711,119	
K. Community Colleges-Other		12,969	12,969	10,470	2,499	
L. Renovation Funds	1,296,000	1,412,995	1,412,995	1,335,915	77,080	
M. Football Stadium	11,000,000	11,500,000	11,269,147	10,218,297	1,050,850	
GRAND TOTAL OF SCHEDULES	\$153,706,843	\$150,565,709	\$135,789,373	\$120,059,324	\$ 15,730,049	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Three Months Ended September 30, 1972

<u>GRAND SUMMARY OF AVAILABLE PLANT FUNDS</u>	
Capital Construction Funds	
Main Campus-	
Series C & D Bonds (Subschedule A)	\$ 1,168,608
Main Campus Others (Subschedule F)	
Unallocated H & I Bonds	53,000
Other Unallocated Funds	711,036
1971-72 Projects in Process (Subschedule H)	36,353
	<hr/>
Subtotal Main Campus	\$ 1,968,997
Community Colleges	
Series A & B Bonds (Subschedule J)	<u>951,653</u>
Total Available Capital Construction Funds	\$ 2,920,650
Renovation Funds	
Minor Renovation (Subschedule L)	<u>(18,951)</u>
Total Available Renovation Funds	<u>(18,951)</u>
Total Available Plant Funds	<u>\$ 2,901,699</u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Three Months Ended September 30, 1972

	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Income:			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,531,100		
Transfers from sinking funds	375,746		
Subtotal	<u>5,906,846</u>		
Housing and dining system debt:			
Charged to housing and dining system current funds	1,652,093		
Auxiliary enterprises debt:			
Charged to auxiliary enterprises current funds	183,481	\$ 24,655	13.4
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,742,420</u>	<u>\$ 24,655</u>	<u>.3</u>
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings-Series A	\$ 503,750		
Consolidated Educational Buildings-Series B	465,299		
Consolidated Educational Buildings-Series C	293,276		
Consolidated Educational Buildings-Series D	3,384,743		
Community Colleges Educational Buildings-Series A	1,062,032		
Community Colleges Educational Buildings-Series B	247,746		
Subtotal	<u>5,906,846</u>		
Housing and Dining System Revenue Bonds-Series A-I	<u>1,652,093</u>		

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Three Months Ended September 30, 1972
 Schedule 9

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	\$ 3,142	\$ 2,838	90.3
Alpha Delta Pi	14,765		
Dorms A-F	40,680		
Dorms G-L	51,109		
Sigma Phi Epsilon	8,086		
Delta Delta Delta	5,894		
Alpha Tau Omega	8,198	6,979	43.8
Alpha Gamma Rho	15,931	14,838	41.6
Student Housing Bonds of 1964	35,676		
	<hr/>	<hr/>	<hr/>
Subtotal	183,481	24,655	13.4
	<hr/>	<hr/>	<hr/>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	\$ 7,742,420	\$ 24,655	.3

University of Kentucky
 Schedule of Sinking Funds
 September 30, 1972

Schedule 10

Bond Issues with Reserves Fully Funded:

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,706,951
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	846,760
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,000,308
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	603,929
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	617,305
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	80,260
Community Colleges Educational Buildings-Series A-Bond and Interest Fund-Escrow Account	56,540
Community Colleges Educational Buildings-Series B-Bond and Interest Fund-Escrow Account	<u>77,950</u>
Total Bond Issues with Reserves Fully Funded	<u>4,990,003</u>

Bond Issues with Reserves not Fully Funded:

Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	183,517
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series D	1,987,506
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	866,179
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	112,337
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	46,791
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	28,386
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1964	<u>30,381</u>
Total Bond Issues with Reserves not Fully Funded	<u>3,255,097</u>
TOTAL SINKING FUNDS	<u>\$8,245,100</u>

University of Kentucky
 Summary of Other Funds Transactions
 For the Three Months Ended September 30, 1972

Schedule 11

<u>Loan Funds:</u>	<u>Balances</u> <u>July 1, 1972</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>September 30, 1972</u>
Federal sponsored loan funds:				
Federal government	\$ 5,600,032	\$ 160,385		\$ 5,760,417
University of Kentucky	539,891	17,642		557,533
Accumulated interest income	258,617	2,975		261,592
University loan funds:				
University student loan funds	56,935	8		56,943
University special student loan fund	74,518	1,951		76,469
United Student Aid loan fund	2,000			2,000
	<u>\$ 6,531,993</u>	<u>\$ 182,961</u>		<u>\$ 6,714,954</u>
TOTAL LOAN FUNDS				
<u>Endowment Funds:</u>				
	<u>\$ 1,112,174</u>	<u>\$ 101,807</u>		<u>\$ 1,213,981</u>
TOTAL ENDOWMENT FUNDS				
<u>Agency Funds:</u>				
	<u>\$ 225,178</u>	<u>\$ 165,683</u>	<u>\$ 35,131</u>	<u>\$ 355,730</u>
TOTAL AGENCY FUNDS				

Finance Committee Report
November 21, 1972

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF AUDITS FOR THE
UNIVERSITY OF KENTUCKY FOR 1971-72

Recommendation: that the Board of Trustees accept the University of Kentucky Summary Financial Report 1971-72, University of Kentucky Detailed Financial Report June 30, 1972, University of Kentucky Housing and Dining System Financial Report June 30, 1972, Purchasing Letter 1971-72, and Cash Reconciliation June 30, 1972.

Background: The Finance Committee of the University of Kentucky has reviewed the audit reports and related statements submitted by the firm, Lybrand, Ross Bros. & Montgomery in conjunction with the administration of the University of Kentucky. The Finance Committee presents these reports to the Board for its acceptance.

The supporting documents are not included in the Minutes but are included in the official files of the Board.

Action: Approved X Disapproved Other

Date: November 21 , 1972

Finance Committee Report
November 21, 1972

FCR 3

Members, Board of Trustees:

ACCEPTANCE OF AUDITS FOR THE UNIVERSITY OF
KENTUCKY RESEARCH FOUNDATION, UNIVERSITY OF
KENTUCKY ATHLETIC ASSOCIATION, AND THE UNIVERSITY
OF KENTUCKY CREDIT UNION.

Recommendation: that the Board of Trustees accept the financial statements of the University of Kentucky Research Foundation, University of Kentucky Athletic Association, and the University of Kentucky Credit Union for the fiscal year ended June 30, 1972.

Background: The Finance Committee of the University of Kentucky has reviewed the audit reports of the affiliated corporations of the University as submitted by the firm, Lybrand, Ross Bros. & Montgomery, and presents them to the Board for its acceptance. These reports will be submitted to the Boards of the above affiliated corporations at their next meeting.

The supporting documents are not included in the Minutes but are included in the official files of the Board.

Action: Approved Disapproved Other

Date: November 21, 1972

FCR 4

Members, Board of Trustees:

CONSTRUCTION AND RENOVATION SCHEDULES

Recommendations: that the Board of Trustees approve the attached construction and renovation schedules; appropriate \$2,458,000 from unallotted fund balances and \$1,265,317 from uncommitted plant funds toward financing these projects; and authorize the President to take the necessary action to obtain Council on Public Higher Education and Department of Finance approval and to submit applications for federal assistance on projects for which such assistance is available.

Background: The action sets forth a construction and renovation plan based upon priorities set by the various Vice Presidents. This plan permits both academic and physical planning with assurance that certain facilities will and will not be available.

Funds are appropriated for 1972-73 renovation projects only and the funding includes the \$750,000 appropriation in the current operating budget. Funding for new construction is based upon the sale of additional revenue bonds in the amount of \$5,200,000. Specific Board approval for the authorization and sale of these additional revenue bonds will be sought later.

The source of funds and a summary of construction of new facilities and renovation for fiscal 1972-73 is as follows:

Source of Funds

Current Plant Funds Uncommitted	\$1,265,317
Current Fund Balances	2,458,000
Funds Currently Budgeted for Renovation	750,000
Future Sale of Series E Bonds	<u>5,200,000</u>
	<u>\$9,673,317</u>

Summary of Construction and
Renovation

Construction (Schedule I)	7,325,000
Renovation (Schedule II)	2,317,000
Contingency Reserve	<u>31,317</u>
	<u>\$9,673,317</u>

SCHEDULE I
Construction of New Facilities
Division of Colleges

Fine Arts	5,000,000	
Greenhouses	<u>200,000</u>	
Total Division of Colleges		5,200,000

Medical Center

Family Practice	750,000	
Nursing	800,000	
Bridge Across Rose Street	150,000	
Spindletop Barn	25,000	
Upgrading Utilities		
Triangle Area	<u>400,000</u>	
Total Medical Center		<u>2,125,000</u>
Total Construction of New Facilities		<u>7,325,000</u>

SCHEDULE II
Renovation Projects
1972-73

Division of Colleges

Erickson Hall *	
Cooper Dairy Products Building*	
Animal Care Facilities	
Total Division of Colleges	525,000

Medical Center

Autopsy*	
Nursing	
Ky. High School Athl. Assoc. Bldg.	
Equipment*	
Total Medical Center	582,000

page two
Schedule II
Renovation Projects
1972-73

Community Colleges

Henderson*
Miscellaneous

Total Community Colleges 262,000

Business Affairs

Property Acquisition*
Roof Repairs
Landscaping
Landscaping for Ag. Sci. II
Chilled Water - Law Bldg.
Graphics
Screening
Bulletin Boards
Handicapped Facilities
Safety Defects
Furniture
Minor Renovation

Total Business Affairs 948,000

Total Renovation 1972-73 \$2,317,000

*projects estimated to exceed \$100,000 in cost

Action: Approved X Disapproved _____ Other _____

Date: November 21, 1972

FCR 5_A

Members. Board of Trustees:

AMENDMENT TO RETIREMENT RESOLUTION

Recommendation: that the University's Retirement Resolution be amended so that, as amended, Section II would read as follows:

Extension of Service. By special vote of the Board of Trustees, post-retirement appointments may be made for definite periods not to exceed one year each, but no such post-retirement appointment shall be made to extend beyond the end of the University's fiscal year in which the employee attains age 70. The following conditions will be observed with respect to all post-retirement appointments during the period thereof:

- (a) The appointee shall not receive retirement benefits from TIAA, CREF, or the University.
- (b) The University and the employee shall continue to make contributions to the funded retirement plan in the same percentages as would have been the case if the employee had not attained retirement age.
- (c) The employee will continue to accrue service credit for the period as though the service had been accumulated prior to retirement. Provided, however, that final salary for purposes of computing supplementary retirement benefits upon the termination of any post-retirement appointment shall be the salary at the time of retirement and such computation shall not be affected by the level of compensation during the period of any post-retirement appointment.

Background: The foregoing recommendation is primarily designed to clarify the Retirement Plan as it relates to post-retirement appointments and conform the official position of the Trustees with existing administrative practice. This amendment would make clear that:

1. An employee continues to accrue retirement benefits during the period of a post-retirement appointment.
2. An employee may not receive University retirement benefits at the time he is receiving a University salary.

3. Salary received during a post-retirement appointment will not affect an employee's retirement benefits.

Action: Approved X Disapproved Other

Date: November 21 , 1972

FCR 5_B

Members, Board of Trustees:

AMENDMENT TO RETIREMENT RESOLUTION

Recommendation: that the attached amendment to the current Retirement Resolution be approved to extend the provisions of the TIAA/CREF Funded Retirement Program to those employees who have United States Civil Service appointments on the University of Kentucky Agricultural Cooperative Extension staff, providing a 2% employer and a 1% employee contribution to the retirement program from funds provided by resources within the University's Agricultural Cooperative Extension Program, to become effective January 1, 1973.

Background: Agriculture Extension personnel in United States Civil Service status have been participating in the Civil Service funded retirement program for several years but have been excluded from participation in the Federal Social Security program which is provided to all other University personnel. Agriculture Extension personnel have felt for some time that the University should develop some other mechanism to cover this deficiency in their fringe benefit program. Various discussions and study groups have reviewed the problem over a period of time with no concrete resolution to the matter. Recently, Dean Barnhart appointed a special Retirement Study Committee to formulate their fundamental retirement program needs. The Committee (composed of Civil Service Agriculture Extension personnel) recommended the adoption of the TIAA/CREF Funded Retirement Program as the most desirable means to reduce this gap in their retirement program coverage. In consultation with the College of Agriculture Administration, the program was agreed upon to be a 2% employer, 1% employee contribution rate as indicated in the attached Retirement Resolution Amendment. The other basic regulations as to eligibility, participation, vesting rights, and program operation have been reviewed and concurred in by the Committee. The primary features of the plan follow the basic provisions previously established for Group I (Faculty) personnel in accordance with the objectives sought by the Committee. Funding for this program will be provided by resources within the University's Agricultural Cooperative Extension Service.

Action: Approved Disapproved Other

Date: November 21, 1972

UNIVERSITY OF KENTUCKY
Lexington, Kentucky

Retirement Resolution Amendment

Effective January 1, 1973 the University Retirement Resolution as approved by the Board of Trustees on April 17, 1964, and as further amended May 12, 1964, June 12, 1964, July 16, 1965, September 21, 1965, January 24, 1966, June 17, 1966, November 17, 1966, August 4, 1970, and April 6, 1971 is hereby further amended to incorporate, on the basis set forth herein, the group of employees to be designated as Group IV in the University's retirement plan now in effect and funded with the Teachers Insurance and Annuity Association and the College Retirement Equities Fund.

- I. Eligibility. Retirement provisions are hereby established for all regular full-time employees who are employed in positions covered by the United States Civil Service and will be designated as Group IV positions.
- II. Participation of Group IV Designated Employees.
 1. Participation of eligible Group IV employees employed prior to January 1, 1973 (and currently employed as of that date) shall be as follows:
 - (a) Voluntary for employees who have attained the age of 56 on or before January 1, 1973 and for employees who have completed one year of service but have not attained the age of 30.
 - (b) Mandatory immediately for employees who have completed one year of service and have attained the age of 30 but not the age of 56 as of January 1, 1973.
 - (c) Mandatory upon the completion of one year of service and the attainment of age 30 for employees who have not yet attained age 30 or have not completed one year of service by January 1, 1973.
 2. Participation of eligible Group IV employees employed on or after January 1, 1973 shall be as follows:
 - (a) Voluntary upon the completion of one year of service for those employees who have not attained age 30.
 - (b) Mandatory upon the completion of one year of service and the attainment of age 30.
 3. The preliminary service period will be waived for an employee otherwise eligible who already owns a retirement annuity contract issued by Teachers Insurance and Annuity Association.

III. Contributions Contributions to the retirement plan for Group IV employees shall be made in accordance with the following schedule:

	<u>Contributions as Percent of Basic Annual Salary</u>		
	<u>By the Participant</u>	<u>By the Institution</u>	<u>Total</u>
On Entire Basic Salary	1%	2%	3%

The University of Kentucky shall deduct the contributions of the participant from regular salary payments, add its own contributions, and apply the combined sum to the purchase of retirement benefits for the participant as follows:

- (a) At the election of the participant, either 100 percent, 75 percent, 50 percent, or 25 percent of such combined sum will be forwarded to Teachers Insurance and Annuity Association as a premium for a TIAA retirement annuity contract on the participant's life.
- (b) The balance, if any, of such combined sum will be forwarded to the College Retirement Equities Fund as a premium for CREF retirement annuity certificate on the participant's life.

IV. Contracts Each TIAA retirement annuity contract and CREF certificate issued in accordance with Section III of this Group IV plan is for the sole purpose of providing a retirement and/or death benefit and is the property of the individual participant.

V. Leave of Absence With Pay During leave of absence on part pay, the University will continue contributions provided for in Section III at the same rate and amount as was in effect during the last monthly pay period of the individual prior to his leave of absence if the Group IV participant elects to continue his own contributions in a like manner.

VI. Termination of Service If a Group IV participant terminates employment, he retains his right to all benefits which have been purchased by his own and the University of Kentucky's contributions. Contributions may be continued by another educational institution, or the participant may continue to contribute to his annuity on his own in any amount but not less than an annual premium of \$50 to TIAA or \$100 to TIAA-CREF. If contributions are not continued, the full value of his accumulation remains to his credit, continues to participate in TIAA-CREF earnings, and will provide annuity income beginning at whatever age he selects. TIAA-CREF annuities do not provide for a single sum cash settlement. A terminating participant, however, may request a return of his share of the net accumulated value and, with the consent of the University, such request will be granted provided:

- (1) The participant has been an annuity Owner for five years or less, and

- (2) He is not transferring to another institution which participates in the TIAA-CREF system, and
- (3) All other educational institutions that contributed any part of the premium give their consent.

That portion of the net accumulated value which resulted from University contribution will be returned to the University.

- VII. Group IV Death Benefits In the event of the death before annuity payments begin, an income would be paid by TIAA-CREF to the Group IV participant's beneficiary based on the then current value of the annuity accumulation, including any portion purchased by the University of Kentucky, and in accordance with the income option elected by either the participant, or, if he made no prior election, by his beneficiary. If the beneficiary is a corporation, association or the participant's estate, a single sum will be paid. With the University's consent, and under current TIAA practice, a single sum may be paid to the participant's spouse.
- VIII. Group IV Retirement Benefits Each Group IV participant in TIAA will receive from TIAA a guaranteed, fixed monthly income for life which shall be the actuarial equivalent of the full value of his annuity accumulation at the time of his retirement. Each participant in CREF will also receive from CREF each month for life a guaranteed number of CREF annuity units, the dollar value of which will change from year to year reflecting primarily changes in the market prices and dividends of the common stocks owned by CREF.
- Just before retirement, each participant will choose from several options available the manner in which he would like to have his retirement income from TIAA-CREF paid. All of these options provide a lifetime income and all but one provide income for the participant's beneficiary in the event of his early death. These options are set forth in the individual annuity contracts issued to participants.
- IX. Amendment. While it is expected that this plan will continue indefinitely, the University of Kentucky reserves the right to change the contribution rates or at any time modify the plan in any way that is not in conflict with the participant's accrued contractual rights.

Finance Committee Report
November 21, 1972

FCR 6

Members, Board of Trustees:

OPTIONS FOR TIAA/CREF RETIREMENT PROGRAM

Recommendation: that the following options be made available to all participants in TIAA/CREF Retirement Program:

Employees who are eligible to receive annuity income under the University's Funded Retirement Program(s) shall at time of retirement from the University be provided the option of receiving a one-sum payment of his accumulation at the time he starts to receive his annuity income, provided:

He is age 55 or older at the time and the one-sum payment from each TIAA and CREF contract does not exceed 10% of the contract's accumulation then being converted to annuity payments.

Employees participating in the University's Funded Retirement Program(s) shall be provided the option (upon vesting) of allocating to CREF 100% of current contributions made by them and by the University.

Effective date of above provisions --- January 1, 1973.

Background: The above proposed changes are new options made available to all participants in TIAA/CREF Retirement Programs throughout the country by action of the TIAA Board of Directors. These options are designed to provide a greater degree of flexibility in an individual's retirement planning process to meet his specific needs and goals. These provisions have been thoroughly reviewed by the University of Kentucky Fringe Benefit Committee and they have unanimously recommended their adoption as being desirable improvements to the Retirement Program.

Action: Approved X Disapproved Other

Date: November 21 , 1972

Investment Committee Report
November 21, 1972

ICR 1

Members, Executive Committee, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended September 30, 1972, be accepted.

Background: A part of the Board policy regarding investments is a provision that quarterly reports be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the Third Quarter of 1972, for the University of Kentucky Athletic Association, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and the University of Kentucky Research Foundation.

Action: Approved X Disapproved Other

Date: November 21 , 1972

UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION
Statement of Portfolio Changes
For the Third Quarter, 1972

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
Certificate of Deposit	7/25/72	5.75%	\$ 100,000.00
Certificate of Deposit	7/27/72	5.75%	100,000.00
U. S. Treasury Bills	9/25/72	4.65%	<u>100,000.00</u>
Total - Purchases			<u>\$ 300,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	8/ 1/72	4.95%	\$ 100,000.00
Certificate of Deposit (Liquidated 9/21/72)	1/ 6/73	5.00%	<u>100,000.00</u>
Total - Sales			<u>\$ 200,000.00</u>

THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH
 IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER
 Statement of Portfolio Changes
 For the Third Quarter, 1972

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
Certificate of Deposit	7/25/72	5.75%	\$ 300,000.00
Certificate of Deposit	7/25/72	5.75%	100,000.00
Certificate of Deposit	7/27/72	5.75%	300,000.00
Certificate of Deposit	8/14/72	5.90%	400,000.00
U. S. Treasury Bills	9/22/72	4.59%	500,000.00
U. S. Treasury Bills	9/25/72	4.65%	<u>200,000.00</u>
Total - Purchases			<u>\$ 1,800,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	8/ 1/72	4.95%	\$ 200,000.00
Certificate of Deposit (Liquidated 9/21/72)	6/28/73	5.90%	<u>400,000.00</u>
Total - Sales			<u>\$ 600,000.00</u>

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the Third Quarter, 1972

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
Certificate of Deposit	7/25/72	5.75%	\$ 200,000.00
Certificate of Deposit	7/25/72	5.75%	300,000.00
Certificate of Deposit	7/27/72	5.75%	400,000.00
Certificate of Deposit	7/27/72	5.75%	500,000.00
Certificate of Deposit	9/ 2/72	5.00%	3,000.00
500 shares Central & Southwest Corporation	9/11/72	-----	22,244.00
71 shares General Motors Corporation	9/11/72	-----	5,532.00
200 shares Kroger Company	9/11/72	-----	4,246.58
478 shares Louisville Gas & Electric Company	9/11/72	-----	15,654.50
500 shares NLT Corporation	9/11/72	-----	23,048.80
100 shares Texaco, Inc.	9/11/72	-----	3,553.50
\$50,000 Southern Railway Equipment Trust Certificate #5, due 9/15/1979	9/19/72	7.25%	50,953.00
U. S. Treasury Bills	9/25/72	4.65%	700,000.00
U. S. Treasury Bills	9/27/72	4.47%	<u>500,000.00</u>
			<u>2,728,232.38</u>
Purchases from University of Kentucky Pooled Investment Account <u>for University of Kentucky Separate Investment Account:</u>			
512 shares American Telephone & Telegraph Company	9/ 8/72	-----	22,592.00
100 shares Kentucky Utilities Company	9/ 8/72	-----	<u>2,400.00</u>
			<u>24,992.00</u>
Total - Purchases			<u>\$ 2,753,224.38</u>

UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION
Statement of Portfolio Changes
For the Third Quarter, 1972

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Par Value</u>
Certificate of Deposit	7/25/72	5.75%	\$ 100,000.00
Certificate of Deposit	7/25/72	5.75%	400,000.00
Certificate of Deposit	7/27/72	5.75%	300,000.00
Certificate of Deposit	7/27/72	5.75%	300,000.00
Certificate of Deposit	7/27/72	5.75%	300,000.00
500 shares Louisville Gas & Electric Company	9/11/72	-----	16,375.00
\$50,000 Southern Railway Equipment Trust Certificate #5, due 9/15/1979	9/19/72	7.25%	<u>50,953.00</u>
Total - Purchases			<u>\$ 1,467,328.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
100 shares Eastman Kodak Company	9/11/72	-----	\$ 12,804.34
\$6,000 Master Note of General Electric Company	9/11/72	-----	6,000.00
\$50,000 U. S. Treasury Bonds, due 5/15/1974	9/22/72	4.25%	<u>48,687.50</u>
Total - Sales			<u>\$ 67,491.84</u>

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the Third Quarter, 1972
Page Two

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Par Value</u>
FIC Bank Bonds	7/ 3/72	5.55%	\$ 100,000.00
Federal Home Loan Bank Bonds	8/25/72	6.25%	255,000.00
Certificate of Deposit	9/ 2/72	5.00%	3,000.00
280 shares American Brands, Inc.	9/11/72	-----	11,594.45
298 shares American Electric Power Company	9/11/72	-----	8,095.35
110 shares American Security & Trust Company	9/11/72	-----	5,926.25
40 shares Consumers Power Company	9/11/72	-----	1,064.20
200 shares Hercules, Inc.	9/11/72	-----	13,663.22
100 shares Loews Corporation	9/11/72	-----	4,729.50
306 shares Marriott Corporation	9/11/72	-----	10,853.41
196 shares Middle South Utilities, Inc.	9/11/72	-----	4,195.47
21 shares Republic Steel Corporation	9/11/72	-----	491.10
64 shares The Southern Company	9/11/72	-----	1,204.09
49 shares Standard Oil Company of Ohio	9/11/72	-----	3,704.93
50 shares Wisconsin Electric Power Company	9/11/72	-----	<u>1,129.26</u>
			<u>424,651.23</u>
Sales to University of Kentucky Separate Investment Account <u>from University of Kentucky Pooled Investment Account:</u>			
512 shares American Telephone & Telegraph Company	9/ 8/72	-----	22,592.00
100 shares Kentucky Utilities Company	9/ 8/72	-----	<u>2,400.00</u>
			<u>24,992.00</u>
Total - Sales			<u>\$ 449,643.23</u>

Trustees Recommendation
November 21, 1972

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF KENTUCKY

(As amended by Mr. Wendelsdorf prior to presentation)

~~Once again violent death has resulted from student - administration confrontations at an American University.~~ The killing of two students at Southern University in Baton Rouge, Louisiana on November 16, 1972, is a tragedy of enormous consequence for the academic community, for once again violence has been used to resolve disputes best left to discussion and negotiation.

~~Once again, it is students who are left dead. Compounded by the earlier incidents at Orangeburg, Kent State University, and Jackson State College, this latest incident is all the more significant, because, on the surface at least, the issue was not so cosmic as war or poverty or racism. The issue was student influence within the University, an issue that has lead to several similar demonstrations here at this University.~~

Reasonable persons may disagree as to whether the Southern University deaths were accidental or deliberate. But, reasonable persons cannot disagree that they were needless and unjustified and should never be allowed to be repeated in the future as they have so many times been repeated in the past.

~~In the final analysis, what happened at Southern University was the fault of neither students nor perhaps even the individual police officers involved. What killed these students was the mentality of violence, the policy of maintaining decorum at any cost, the escalation theory of student - administration conflict which rationalizes the sending of armed, organized police and National Guard units into the midst of unorganized, unarmed demonstrators.~~

Orangeburg, Kent State, Jackson State, and now Southern University are examples of what happens when overreaction and callousness transform situations where discussion, negotiation, and reconciliation are still possible into situations where violence is inevitable.

Whereas, the facts of the Southern University killings and the conclusions which those facts require are of concern to this Board, we are

RESOLVED, that the Board of Trustees of the University of Kentucky expresses its regret and sorrow over the deaths of the two students at Southern University on November 16, 1972, and joins with the University community in pledging that similar tragedies shall never again occur; and be it further

RESOLVED, that the Board condemns violence both as a method of seeking change and of opposing it.

Action: Approved _____ Disapproved X Other See Section V of the Minutes

Date: November 21, 1972