

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, January 22, 1991. .

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, January 22, 1991 in the Board Room on the 18th floor of Patterson Office Tower on the Lexington campus.

A. Meeting Opened and Roll Called

Mr. Foster Ockerman, Chairman, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. William B. Sturgill.

The following members of the Board of Trustees answered the call of the roll:

Mr. Foster Ockerman (Chairman), Mr. Ted B. Bates, Professor Carolyn S. Bratt, Mr. William E. Burnett, Jr., Governor Albert B. Chandler, Mr. Tracy Farmer, Mrs. Edythe Jones Hayes, Mr. Sean Lohman, Dr. Robert P. Meriwether, Professor Judith Rhoads, Mr. James L. Rose, Judge Robert F. Stephens, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Daniel C. Ulmer, Jr., Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Professor Raymond F. Betts and Senator Walter D. Huddleston. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth and Robert E. Hemenway, Jr.; Acting Chancellor Ben W. Carr, Jr.; Vice Presidents Edward A. Carter and Eugene Williams, Acting Vice President Leonard K. Peters; Mr. C. M. Newton; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:03 p.m.

B. Minutes Approved

Mr. Ockerman stated that the Minutes of the December 11, 1990 Board of Trustees meeting had been distributed and asked for corrections or additions. The Minutes were approved as distributed.

C. President's Report to the Trustees (PR 1)

President Wethington reviewed briefly some of the items in his monthly report to the Trustees, calling particular attention to the following:

1. UK's Center for Applied Energy Research has received grants totaling \$10.5 million.
2. A new computer laboratory, made possible in part by a \$200,000 gift of computers from Apple Computer Inc., will make computer access easier for faculty, staff and students on campus.

3. The Kentucky River Coal Corporation has pledged \$250,000 to Hazard Community College for scholarships for nursing students in the area, and the Hazard Lions Club has given \$10,000 to the Campaign for Hazard Community College to endow a scholarship fund.
4. A \$100,000 endowment has been established to support no-interest loans for nursing students at Prestonsburg Community College.
5. The Carrollton campus of Jefferson Community College and the City of Carrollton were awarded the Kentucky Chamber of Commerce 1990 Innovation Award for Public and Private Partnerships.
6. L. Carl Brown, a Princeton professor, is a visiting professor in the Patterson School of Diplomacy and International Commerce for the Spring 1991 semester. Professor Brown is a Middle East specialist in American academic life. He holds a doctorate from Harvard.

President Wethington commented on a recent news release that reported 1990 as an all-time record year in raising funds for the University. He said that \$26.8 million was raised for the 1990 calendar year and expressed pleasure that these figures had topped the previous year.

He noted that the University had set a record last year for its number of donors and fellows. He expressed appreciation on behalf of the entire University to the Development Council and particularly its chairman, William B. Sturgill, for their fundraising efforts. He asked that the record note his personal thanks to those who have contributed to the University.

D. Personnel Actions (PR 2)

With President Wethington so recommending, on motion made by Dr. Meriwether, seconded by Mr. Stricker and passed, the routine personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

E. Appointment of Special Assistant for Academic Affairs (PR 3A)

President Wethington recommended that Dr. Juanita W. Fleming, Professor (with tenure), College of Nursing, be named Special Assistant for Academic Affairs, Central Administration, effective February 1, 1991. He reviewed briefly Dr. Fleming's credentials and expressed pleasure in recommending the appointment. Mrs. Hayes so moved. Her motion was seconded by Professor Bratt and unanimously carried.

Judge Tackett noted that Dr. Fleming is an author and is very well recognized throughout the Commonwealth. She said, "I think it is a wonderful appointment." Dr. Fleming was asked to stand and be recognized, following which she receive a round of applause.



F. Change in Name of an Educational Unit (PR 5A)

Dr. Hemenway provided an explanation for changing the name of the College of Home Economics. He said that the change is proposed to more accurately represent the College's instruction and research and to insure that the College's activities are in keeping with the practices elsewhere in higher education. He cited examples of various land grant institutions that have also changed the name.

President Wethington, therefore, recommended that the name of the College of Home Economics be changed to the College of Human Environmental Sciences. Mr. Stricker so moved. The motion, seconded by Mr. Sturgill, carried. (See PR 5A at the end of the Minutes.)

President Wethington recognized Dr. Peggy Meszaros, Dean of the College, and the Dean's Ambassadors who were in the audience. They were given a round of applause.

G. Office for International Relations (PR 5B)

President Wethington commented that PR 5B recommends the creation of an Office for International Relations. He asked Mr. Carter to give a brief report on the University's current international relations activities.

Mr. Carter reported that the Strategic Plan adopted by the Board calls for the University to exert a leadership role in addressing the issues and challenges facing the Commonwealth, the nation and the world. The Plan advocates that the University serve as a bridge between state, national and international communities.

He stated that the University's objective in bringing more institutional attention to the broad range of international activities is to help businesses in Kentucky become more competitive in the worldwide marketplace and to better prepare students as productive contributors to this global environment. Kentucky's business people must become more knowledgeable of the international opportunities and the mechanisms by which they become more competitive.

He reviewed some of the international instructional and research objectives currently addressed by the Office of International Affairs and indicated that it provides a wide array of services to students, scholars and faculty. The services range from counseling for immigration activities to study abroad opportunities for both students and faculty.

He reported on the various University affiliations and elaborated on international activities in the following areas: College of Business and Economics, Center for Business and Economics, College of Agriculture, Patterson School of Diplomacy, College of Arts and Sciences, College of Education, College of Engineering, College of Architecture and colleges in the Medical Center.

In conclusion, Mr. Carter said that the proposed Office for International Relations will assume the responsibility of serving as an umbrella organization designed to provide campus-wide international activities, to encourage cooperation, and to reduce duplication of effort.

President Wethington stated that this office will give more visibility to international program activities. It will be a repository of information where everyone can access information about international activities. He, therefore, recommended that the Board approve the creation of the Office for International Relations.

A discussion followed regarding the budget and staffing needs for the new office. President Wethington stated that the current office of international programs will staff the new office. There will be, however, one position established. Mr. Ockerman noted that it would not entail the expenditure of an appreciable amount of money.

Mr. Sturgill moved that PR 5B be approved. His motion was seconded by Judge Wilhoit and passed. (See PR 5B at the end of the Minutes.)

H. Appointments/Reappointments to University of Kentucky Equine Research Foundation (PR 5C)

President Wethington recommended that approval be given to the appointments/reappointments of the following as members to the Board of Directors of the University of Kentucky Equine Research Foundation for four-year terms beginning December 15, 1990:

Appointments

Mrs. Alice Headley Chandler  
Mr. Rick Nichols

Dr. Thomas P. Pirone  
Dr. H. David Wilson

Reappointments

Mr. John A. Bell, III  
Mr. Robert N. Clay  
Mrs. Alice Johnston

Mr. Nick Nicholson  
Dr. David O'Neal  
Mr. Robert L. Warren

On motion made by Mr. Bates, seconded by Judge Stephens and passed, the recommended appointments/reappointments were approved. (See PR 5C at the end of the Minutes.)

I. Proposed Gift from Marion Pepsi-Cola Bottling Company, Inc. (FCR 5)

Mr. Ockerman, Chairman of the Finance Committee, reported that the Finance Committee had eight items on the agenda. He indicated that one of the items was extraordinary and called upon Dr. Wethington to report on FCR 5.

President Wethington expressed pleasure in reporting on the University's progress toward the acceptance of a significant gift to the University for use by the Paducah Community College. He stated that Paducah Community College serves the higher education needs of the Paducah region, and the University has been working for sometime toward the achievement of this goal. He asked Dr. Meriwether to comment on the progress.

Dr. Meriwether reported that Paducah is among the finalists in the phases of the AVLIS laser uranium enrichment project, a \$2 billion federal project. However, the availability of higher education in the postgraduate area, particularly in engineering, was needed in the area in order to obtain the industrial project. A Paducah committee had prevailed upon President Wethington and the Board to develop a graduate center in the Paducah area. He noted that one of the problems, however, was a place to put the postgraduate center. He stated that he called upon the vice chairman of the AVLIS project, Mr. Harry Crisp, who is also the owner and operator of one of the largest Pepsi-Cola bottling companies in the United States. He reported that Mr. Crisp had no hesitancy in joining the Paducah committee and coming forward with a \$4 million building to donate to the Paducah Community College and the University to house the graduate facility. Dr. Meriwether expressed appreciation to Mr. Crisp for the generous donation for Paducah's industrial development and for the furtherance of higher education.

President Wethington recommended that the administration be authorized to negotiate with Mr. Harry Crisp and officials of the Marion Pepsi-Cola Bottling Company with respect to the terms of a proposed gift of property and buildings in Paducah, Kentucky. He personally thanked Mr. Crisp for his willingness to make the gift. He stated that Mr. Crisp was in attendance and asked him to stand and be recognized, following which he was given a round of applause.

Mr. Ockerman thanked Dr. Meriwether for his efforts in enticing Mr. Crisp to do something good for the State of Kentucky. He also expressed appreciation to Mr. Crisp for the extraordinary gift.

Mr. Ockerman asked for a motion of approval. Dr. Meriwether so moved. The motion was seconded by Mr. Burnett and carried. (See FCR 5 at the end of the Minutes.)

Mr. Wilcoxson expressed appreciation to Dr. Meriwether for his diligence and efforts in making this happen for the educational system.

J. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 1990 (FCR 1)

Mr. Ockerman said that the report is on target and entertained a motion for approval. Mr. Rose so moved. His motion, seconded by Mrs. Hayes, passed. (See FCR 1 at the end of the Minutes.)

K. External Auditor for Fiscal Year 1990-91 (FCR 2)

With the concurrence of the Finance Committee, Mr. Ockerman recommended that the Board engage the firm of Coopers & Lybrand, Certified Public Accountants, to perform an independent audit of the University of Kentucky and Affiliated Corporations for the fiscal year ending June 30, 1991, for a fee, including expenses, of not more than \$107,700. Judge Stephens so moved. His motion was seconded by Mr. Burnett and passed. (See FCR 2 at the end of the Minutes.)

L. Capital Construction Report (FCR 3)

On motion made by Mr. Wilcoxson, seconded by Mr. Stricker and passed, the capital construction report for the three months ending September 30, 1990 was accepted. (See FCR 3 at the end of the Minutes.)

M. Approval of Lease (FCR 4)

Mr. Ockerman reminded the members of the 1990 General Assembly program that directed the University to establish a Center of Excellence for Rural Health. He stated that FCR 4 is recommending approval of a lease between the University and Appalachian Regional Healthcare, Inc. for space in their facility in Hazard, Kentucky for the Center. He entertained a motion for approval. Mr. Wilcoxson so moved. The motion, seconded by Mr. Rose, carried. (See FCR 4 at the end of the Minutes.)

N. Ward O. Griffen Endowed Chair in Surgery (FCR 6)

Mr. Ockerman recommended that the Board establish in the College of Medicine, Department of Surgery, the Ward O. Griffen Endowed Chair in Surgery to honor Dr. Griffen, past Chairman of the Department of Surgery. The motion, which was made by Professor Bratt and seconded by Mr. Ulmer, was voted on and carried. (See FCR 6 at the end of the Minutes.)

O. Patent Assignment (FCR 7)

With Mr. Ockerman so recommending, on motion made by Mr. Stricker, seconded by Professor Rhoads and carried, approval was given to the routine patent assignment. (See FCR 7 at the end of the Minutes.)

P. Intellectual Property Rights Assignment (FCR 8)

Mr. Ockerman recommended that the Board authorize the President to execute an assignment on behalf of the Board to the University of Kentucky Research Foundation of technical know-how and trade secret Intellectual Property Rights in certain new and useful advances in the development of enzyme-linked immunosorbent assays, called ELISA tests made by faculty members David S. Watt, Thomas (NMI) Tobin, and Hsin-Hsiung Tai. Mr. Bates so moved. The motion, seconded by Mr. Farmer, passed. (See FCR 8 at the end of the Minutes.)

Q. Report of Investments (ICR 1)

Mr. Stricker, Chairman of the Investment Committee, moved that the routine report of investments for the three months ending December 31, 1990 be adopted. His motion was seconded by Mr. Lohman and passed unanimously. (See ICR 1 at the end of the Minutes.)

R. Remarks by Mr. Ockerman

Mr. Ockerman noted that this is the first meeting for the 1991 calendar year. He stated that the University is off to an excellent start and expressed thanks to the Board members, the faculty, the employees and others in the Commonwealth who have given of their time and talents to the University. He asked that everyone continue to work together to build the University.

S. Meeting Adjourned

There being no further business to come before the Board, the Chairman declared the meeting officially adjourned at 1:45 p.m.

Respectfully submitted,

Edythe Jones Hayes  
Secretary  
Board of Trustees

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(PR's 2, 3A, 5A, 5B, and 5C; FCR's 1, 2, 3, 4, 5, 6, 7, and 8; and ICR 1 which follow are official parts of the Minutes of the Meeting.)

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. POST-RETIREMENT APPOINTMENT

Office of the President

Sears, Paul G., Special Assistant to  
the President, (part-time),  
1/1/91 through 4/15/91.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATION APPOINTMENTS

Lexington Community College

McAuley, John A., CC Business and Industry Liaison,  
effective 1/7/91.

B. LEAVES OF ABSENCE

Paducah Community College

Kepner, Mary K., Associate Professor in the Community  
College System (with tenure), sick leave with pay,  
10/10/90 through 5/31/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hazard Community College

Plummer, Cynthia S., Instructor in the Community  
College System, 12/10/90 through 6/30/91.

Jefferson Community College

Freier, Mark A., Instructor in the Community College  
System, 1/1/91 through 6/30/91.

Hanson, Richard, Instructor in the Community College  
System, 1/1/91 through 6/30/91.

Jones, Sylvia H., Instructor in the Community College  
System, 1/1/91 through 6/30/91.

Sabetta, Thomas J., Instructor in the Community College  
System, 1/1/91 through 6/30/91.

Lexington Community College

Drake, Elizabeth, Instructor in the Community College  
System (voluntary), 8/24/90 through 6/30/93.

Go, Robert J., Instructor in the Community College  
System, 1/2/91 through 6/30/91.

McManus, Sharon H., Instructor in the Community College  
System, 1/2/91 through 6/30/91.

Madisonville Community College

Vaughan, Cathy A., Instructor in the Community College  
System, 11/26/90 through 6/30/91.



Owensboro Community College

Sallan, Veena, Instructor in the Community College System, 1/1/91 through 6/30/91.

B. ADMINISTRATIVE APPOINTMENTS

Lexington Community College

Webb, Carol T., Professor in the Community College System (with tenure), Acting Dean of Academic Affairs, 1/1/91 through 6/30/91.

Somerset Community College

Whitehead, Sharon F., Associate Professor in the Community College System (with tenure), Acting Dean of Academic Affairs. 1/1/91 through 6/30/91.

C. REAPPOINTMENT

Jefferson Community College

Edgar, Donna W., Instructor in the Community College System, 1/1/91 through 6/30/91.

Hall, Jill W., Instructor in the Community College System, 1/1/91 through 6/30/91.

Kamel, Eman A., Instructor in the Community College System, 1/1/91 through 6/30/91.

Oligeer, Jennifer, Instructor in the Community College System 1/1/91 through 5/31/91.

Lexington Community College

Howard, Debra A., Instructor in the Community College System, 1/2/91 through 5/31/91.

D. LEAVES OF ABSENCE

Lexington Community College

Pagan, Bonnie S., Professor in the Community College System (with tenure), extension of leave of absence without pay, 1/1/91 through 6/30/91.

Philpot, Mary K., Associate Professor in the Community College System (with tenure), leave of absence without pay for the 1991 Spring Semester.

Maysville Community College

Malone, Catherine W., Associate Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1991 Spring Semester.

Somerset Community College

Blevins, Jo, Associate Professor in the Community College System (with tenure), leave of absence without pay, 7/1/91 through 6/30/92.

Southeast Community College

Noe, Roger, Professor in the Community College System (with tenure), leave of absence without pay, 1/8/91 through 1/31/91.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Chambers, Thomas M., Assistant Professor, Veterinary Science,  
12/1/90 through 6/30/91.  
Kurzynske, Janet S., Assistant Extension Professor, Home Economics  
Extension, 1/1/91 through 6/30/92.  
Weckman, Randy, Assistant Professor, Agricultural Communications,  
1/1/91 through 6/30/92.

College of Arts and Sciences

Castle, Samuel Q., Professor (without tenure), Military Science,  
12/1/90 through 11/30/94.

College of Business and Economics

Keep, William W., Instructor, Marketing, 8/16/91 through 6/30/92.

College of Engineering

Donohue, Kevin D., Assistant Professor, Electrical Engineering,  
7/1/91 through 6/30/92.  
McGinley, Paul M., Assistant Professor, Civil Engineering, 12/1/90  
through 6/30/92.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Nietzel, Michael T., Professor (with tenure), Psychology, appointed  
Chairman, Psychology, 1/1/91 through 6/30/94.

C. JOINT APPOINTMENTS

College of Arts and Sciences

Rowles, Graham D., Professor (with tenure), Geography, joint  
appointment as Professor (without tenure), Behavioral Science,  
College of Medicine, effective 11/1/90.

D. PROMOTIONS

College of Agriculture

Ringe, James M., from Assistant Professor (without tenure), Forestry, to Associate Professor (with tenure), Forestry, effective 12/1/90.

E. CHANGES

College of Arts and Sciences

Kennedy, Michael D., from Associate Professor (with tenure), College of Architecture, to Associate Professor (with tenure)\*, Geography, effective 1/1/91.

F. RETIREMENTS

College of Arts and Sciences

Hanshaw, Lorene E., Staff Assistant V, English, after 8.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/31/91.

Zolondek, Leon, Professor (with tenure), Russian and Eastern Studies, after 32.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/90.

Vice Chancellor for Administration

Pinkston, Sanford, Disabled Staff, Employee Benefits and Risk Management, after 9 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/31/91.

Winkle, Bessie M., Disabled Staff, Employee Benefits and Risk Management, after 16 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/31/91.

G. EARLY RETIREMENTS

College of Agriculture

Bramley, Clara B., Program Assistant, Area Extension Programs, after 19 consecutive years of service, under Section III.A of AR II-1.6-1, effective 12/28/90.

College of Arts and Sciences

Davenport, Guy M., Professor (with tenure), English, after 27.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 12/31/90.

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\*Special Title Series

H. POST-RETIREMENT APPOINTMENTS

College of Arts and Sciences

Gabbard, Fletcher, Professor, Physics and Astronomy, 1/1/91 through 5/15/91.  
Roland, Charles P., Professor, History, 8/16/91 through 5/15/92.

College of Engineering

Dearinger, John A., Professor, Civil Engineering, 1/1/91 through 6/30/91.

College of Social Work

Morelock, Maryrhea, Associate Professor (part-time), 1/1/91 through 12/31/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Reid, William M., Instructor (part-time), Horticulture and Landscape Architecture, 1/1/91 through 5/30/91.

College of Arts and Sciences

Daumert, Sylvia, Assistant Research Professor, Chemistry, 10/5/90 through 6/30/91.  
Harmon, Roger Trent, Instructor (temporary), Computer Science, 1/1/91 through 5/15/91.

College of Engineering

Boulos, Paul F., Adjunct Assistant Professor, Civil Engineering, 1/1/91 through 5/15/91.  
Srinivansan, Ramachandra, Adjunct Assistant Professor, Materials Science and Engineering, 1/1/91 through 5/15/91.

College of Home Economics

Young, Kitty, Instructor (voluntary), Nutrition and Food Science, 8/16/90 through 5/15/91.

College of Law

Perlman, Peter, Professor (part-time), 1/8/91 through 5/4/91.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

McKnight, Phillip S., Associate Professor (with tenure), German, appointed Acting Chairman, German, 12/11/90 through 6/30/91.  
Raitz, Karl B., Professor (with tenure), Geography, appointed Acting Chairman, Geography, 1/1/91 through 6/30/91.

C. REAPPOINTMENTS

College of Architecture

Asaro, Steven M., Instructor (part-time), 1/1/91 through 5/15/91.

College of Arts and Sciences

Finch, Patricia S., Instructor (part-time), Spanish and Italian, 1/1/91 through 5/15/91.  
Higginbotham, Jerome C., Instructor (part-time), Philosophy, 1/1/91 through 5/15/91.  
Wilkinson, James H., Instructor (part-time), Philosophy, 1/1/91 through 5/15/91.

D. TERMINAL REAPPOINTMENTS

College of Arts and Sciences

Han, Yijie, Assistant Professor (without tenure), Computer Science, 7/1/91 through 6/30/92.  
Schloss, Jeffery A., Assistant Professor (without tenure), Biological Sciences, 1/1/91 through 12/31/91.

E. LEAVES OF ABSENCE

College of Architecture

Spaeth, David A., Professor (with tenure), Sabbatical leave with full salary, Fall Semester 1991.

College of Agriculture

Baugh, Jean, County Extension Agent, Cooperative Extension Service, to change from Study leave with full salary, 1/1/91 through 6/30/91 to Study leave with full salary, 2/1/91 through 7/31/91.  
Bogardus, Mary Ellen, County Extension Agent, Cooperative Extension Service, Study leave with full salary, 6/6/91 through 12/5/91.  
Worms, Allan J., Associate Extension Professor (with tenure)\*, Forestry, Sabbatical leave with full salary, 3/1/91 through 8/31/91.

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\*Special Title Series

College of Arts and Sciences

- Bhapkar, Vasant P., Professor (with tenure), Statistics, Sabbatical leave with half salary, Fall Semester 1991 and Spring Semester 1992.
- Hamilton, David E., Associate Professor (with tenure), History, Scholarly Fellowship leave with partial pay, Fall Semester 1991 and Spring Semester 1992.
- Herring, George C., Professor (with tenure), History, Scholarly Fellowship leave with partial pay, Fall Semester 1991 and Sabbatical leave with full salary, Spring Semester 1992.

College of Business and Economics

- Fulks, Daniel L., Associate Professor (with tenure), Accountancy, Sabbatical leave with full salary, Fall Semester 1991.

College of Communications

- Orndorff, Robert L., Associate Professor (with tenure)\*, Journalism, to change from Sabbatical leave with half salary, Fall Semester 1990 and Spring Semester 1991, to Sabbatical leave with full salary, Fall Semester 1991.

College of Fine Arts

- Ferstman, Gerald, Associate Professor (with tenure), Art, Sabbatical leave with full salary, Spring Semester 1991.
- Gron, Jack F., Associate Professor (with tenure), Art, Sabbatical leave with full salary, Spring Semester 1991.

College of Home Economics

- Crum, Ruth Ann, Associate Professor (with tenure)\*, Family Studies, Sabbatical leave with full salary, Fall Semester 1991.
- Forgue, Raymond E., Associate Professor (with tenure), Family Studies, Sabbatical leave with full salary, Fall Semester 1991.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Carlson, Sonia L., Assistant Professor, Anatomy and Neurobiology, 1/1/91 through 6/30/91.  
Karounos, Dennis G., Assistant Professor, Medicine, 12/17/90 through 6/30/91.  
Maurer, Anne R., Instructor (part-time), Anesthesiology, 12/1/90 through 6/30/91.  
Turner, H. Spencer, Professor (without tenure), Preventive Medicine, 2/1/91 through 6/30/91, also named Director, University Student Health Service, effective 2/1/91.

College of Nursing

Brown, Lynda N., Associate Professor\* (without tenure), 1/1/91 through 6/30/91.

B. JOINT APPOINTMENTS

College of Medicine

Christman, Norma J., Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), College of Medicine, Department of Behavioral Science, effective 11/1/90.

C. TENURE

College of Medicine

Boynton, Bruce R., Associate Professor (without tenure), Pediatrics, to Associate Professor (with tenure), Pediatrics, effective 7/1/91.

D. PROMOTIONS

College of Medicine

Andrykowski, Michael A., Assistant Professor, Behavioral Science, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Psychology, to Associate Professor (with tenure), Behavioral Science, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Psychology, effective 7/1/91.

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\*Special Title Series



Conrad, Gary R., Assistant Professor, Diagnostic Radiology, to Associate Professor (with tenure), Diagnostic Radiology, effective 7/1/90.

E. CHANGES

College of Pharmacy

Gairola, C. Gary, from Associate Professor (Adjunct Title Series), Graduate Center for Toxicology, to Associate Research Professor, joint appointment as Associate Research Professor, Graduate Center for Toxicology, 8/1/90 through 6/30/91.

F. CORRECTION IN MINUTES

College of Medicine

Clark, David B., reported in August 21, 1990 Minutes as Professor (without tenure) (part-time), Neurology, 2/1/91 through 6/30/91. Change to: Clark, David B., Professor (without tenure) (part-time), 11/22/90 through 6/30/91.

College of Pharmacy

Hussain, Anwar A., reported in June 19, 1990 Minutes as Professor (with tenure), leave without pay, 7/1/90 through 12/20/90. Change to: Hussain, Anwar A., Professor (with tenure), leave without pay, 7/1/90 through 12/9/90.

G. LEAVES OF ABSENCE

College of Medicine

Egan, Rita M., Assistant Professor, Medicine, special leave with pay, 12/19/90 through 1/31/91.  
Wrightson, Michelle E., Assistant Professor (part-time), special leave with pay, 11/7/90 through 1/2/91.

H. POST-RETIREMENT APPOINTMENTS

College of Medicine

Sklar, Allen L., Associate Professor (Adjunct Title Series) (part-time), Family Practice, 1/1/91 through 5/31/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Thompson-Will, Diane, Clinical Instructor (voluntary),  
Clinical Sciences, 11/26/90 through 6/30/91.

College of Dentistry

Smith, Kenneth J., Clinical Assistant Professor (voluntary),  
Oral Health Practice, 11/1/90 through 6/30/91.

College of Medicine

Borders, John L., Assistant Professor (voluntary), Family  
Practice, 11/1/90 through 6/30/91.

Conroy, Mary A., Assistant Professor (voluntary),  
Psychiatry, 11/1/90 through 6/30/91.

Dvorak, Vladimir, Assistant Professor (voluntary),  
Preventive Medicine and Environmental Health, 9/1/90  
through 6/30/91.

Larue, Gentry C., Assistant Professor (voluntary),  
Psychiatry, 11/1/90 through 6/30/91.

Luchtefeld, Daniel J., Assistant Professor (voluntary),  
Psychiatry, 11/1/90 through 6/30/91.

MacLean, Jordan D., Assistant Professor (voluntary), Family  
Practice, 11/1/90 through 6/30/91.

Moore, Robert W., Assistant Professor (Adjunct Title  
Series), Behavioral Science, 11/1/90 through 6/30/91.

Newton, William D., Assistant Professor (voluntary),  
Surgery, 11/1/90 through 6/30/91.

Simpson, Mark T., Assistant Professor (voluntary),  
Psychiatry, 11/1/90 through 6/30/91.

Sterneberg, Steven B., Assistant Professor (voluntary),  
Family Practice, 11/1/90 through 6/30/91.

Stiles, Matthew A., Assistant Professor (voluntary), Family  
Practice, 12/1/90 through 6/30/91.

Wicker, Mitchell, Jr., Assistant Professor (voluntary),  
Medicine, 7/1/90 through 6/30/91.

College of Nursing

Greenlese, S. Melinda, Instructor (voluntary), 9/1/90  
through 6/30/91.

College of Pharmacy

Bouvette, Ralph E., Assistant Professor (Adjunct Title  
Series), 11/1/90 through 6/30/91.

LeGrand, Barbara F., Assistant Professor (Adjunct Title Series), 11/1/90 through 6/30/91.

B. CHANGES

College of Medicine

Salley, Robert K., Assistant Professor, Surgery, to Assistant Professor\*, Surgery, 12/1/90 through 6/30/91.

College of Nursing

Bailey, Ellen L., Assistant Professor (Adjunct Title Series), to Assistant Professor\*, 9/1/90 through 6/30/91.

College of Pharmacy

Nerstad, Darrell O., Assistant Professor (voluntary), to Clinical Assistant Professor, 11/1/90 through 6/30/91.

C. LEAVES OF ABSENCE

College of Medicine

Phillips, Barbara A., Associate Professor (with tenure), Medicine, sabbatical leave at full salary, 3/1/91 through 8/31/91.

Wong, Peter, Associate Professor\* (with tenure), Pediatrics, sabbatical leave at full salary, 2/1/91 through 7/31/91.

INFORMATION SYSTEMS

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Library

Buckland, Gillian M., Librarian IV, Reference Department,  
effective 1/1/91 through 6/30/92.

Fried, Barbara P., Librarian IV, (Temporary, Part-time)  
Cataloging Department, effective 1/2/91 through 6/30/91.

Throckmorton, Brian E., Librarian IV, (Temporary) Kentucky  
Newspaper Project, effective 1/1/91 through 4/30/91.

Office of the President  
January 22, 1991

PR3A

Members, Board of Trustees:

APPOINTMENT OF SPECIAL ASSISTANT FOR ACADEMIC AFFAIRS  
CENTRAL ADMINISTRATION

Recommendation: that Dr. Juanita W. Fleming, Professor (with tenure), College of Nursing, be named Special Assistant for Academic Affairs, Central Administration, effective February 1, 1991.

Background: Following an internal search conducted recently, Dr. Juanita W. Fleming is recommended for appointment to the position of Special Assistant for Academic Affairs, Central Administration, to succeed Dr. Paul Sears who retired December 31, 1990. Dr. Fleming has been associated with the University of Kentucky as a faculty member (since 1969), Assistant Dean for Graduate Education in Nursing (1975-81), Associate Dean and Director of Graduate Studies in Nursing (1982-86), and Associate Vice Chancellor for Academic Affairs for the Medical Center (since 1984). Among her many honors, Dr. Fleming received a UK Alumni Association Great Teacher Award in 1971, was an American Council on Education Fellow during 1977-78, and recently was inducted as a member of the National Academy of Sciences Institute of Medicine. She has served as member or chairperson of many important University committees, including recently serving as chairperson of the Ad Hoc Committee on Minorities. With her good working knowledge in the areas of academic affairs and University regulations along with an outstanding faculty background, Dr. Fleming has excellent qualifications for the position.

3030A

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

Office of the President  
January 22, 1991

PR 5 A

Members, Board of Trustees:

CHANGE IN NAME OF AN EDUCATIONAL UNIT

Recommendation: that the name of the College of Home Economics be changed to the College of Human Environmental Sciences.

Background: The faculty of the College of Home Economics has recommended that the name be changed to the College of Human Environmental Sciences to more accurately reflect the programs of the unit and to project an image congruent with the goals and mission of the College and the University. When the field of Home Economics in higher education first developed, its common definition was "a science that applied knowledge to improve the home." Currently, the College's programs are science-based and study the human environmental context. Thus, the name Human Environmental Sciences most aptly and accurately describes what is being done in the College and better represents the College to the academic community as well as to the public at large.

The proposed name of College of Human Environmental Sciences has been recommended by the faculty of the College, the Undergraduate Council, the University Senate Council, and the Chancellor for the Lexington Campus.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

PR 5 B

Members, Board of Trustees:

OFFICE FOR INTERNATIONAL RELATIONS

Recommendation: that the Board of Trustees approve the creation of the Office for International Relations.

Background: Part of the University of Kentucky's mission is to exert a leadership role in addressing the issues and challenges facing the Commonwealth, the nation and the world. Economic development and international relations play a major role in this challenge. The University should serve as a bridge between state, national and international communities.

An Office of International Relations is proposed to support the University's efforts in accomplishing this part of its mission. The Office of International Relations will be combined with the existing Office of International Affairs and will report to the Chancellor for the Lexington Campus.

The responsibilities of the Office include the following:

To support the efforts of Kentucky and Kentucky's business and industry to become more competitive in the world-wide marketplace.

To serve as an umbrella organization designed to provide for international activities coordination campus-wide including services to international students and faculty at the University and to UK students and faculty studying abroad.

To coordinate requests for international services with the appropriate University people and programs.

To serve as liaison with State and local governments concerning international issues impacting the Commonwealth.

As the state's principal research and graduate degree granting institution, the University of Kentucky should support and lead Kentucky's efforts to become globally competitive. The advancement of new knowledge and the creation of new jobs are inextricably linked to the University's mission of creation, application and dissemination of knowledge.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

/0285E

Office of the President  
January 22, 1991

PR 5C

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENTS TO UNIVERSITY OF KENTUCKY  
EQUINE RESEARCH FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members to the Board of Directors of the University of Kentucky Equine Research Foundation for four-year terms beginning December 15, 1990.

Appointments representing the Equine Industry

Mrs. Alice Headley Chandler  
Mr. Rick Nichols

Appointments representing the University of Kentucky Faculty

Dr. Thomas P. Pirone  
Dr. H. David Wilson

Reappointments representing the Equine Industry

Mr. John A. Bell, III  
Mr. Robert N. Clay  
Mrs. Alice Johnston  
Mr. Nick Nicholson  
Dr. David O'Neal  
Mr. Robert L. Warren

Background: The Chancellor of the Lexington Campus, acting upon the advice of the nominating committee of the University of Kentucky Equine Research Foundation which includes the Dean of Agriculture, recommended these appointments/reappointments to the President. The President in turn recommends them to the Board of Trustees. These recommended appointments/reappointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Equine Research Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991



Office of the President  
January 22, 1991

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED  
DECEMBER 31, 1990

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 1990.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of December 31, 1990, the University had realized income of \$385,657,000 representing 52% of the 1990-91 estimate of \$742,634,000. Expenditures and commitments total \$373,211,000 or 50% of the approved budget of \$742,634,000.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

CONSOLIDATED BALANCE SHEET  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
DECEMBER 31, 1990

with comparative totals for December 31, 1989  
(in thousands)

	1990-91					1989-90	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 27,950	\$ 25,639	\$ 2,116	\$ 282	\$ 101,567	\$ 157,554	\$ 177,419
Notes, loans, and A/R (less bad debt allowances of \$5,909)	50,302	9,920	16,655		1,507	78,384	76,144
Investments	3,229	40,827		75,338	68,523	187,917	181,587
Property, plant, and equipment					855,237	855,237	789,503
Inventories and other	11,516	96				11,612	10,399
<b>Total Assets</b>	<b>\$ 92,997</b>	<b>\$ 76,482</b>	<b>\$ 18,771</b>	<b>\$ 75,620</b>	<b>\$ 1,026,834</b>	<b>\$ 1,290,704</b>	<b>\$ 1,235,052</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 10,986	\$ 369				\$ 11,355	\$ 11,521
Employee withholdings and deposits		4,755				4,755	4,410
Other liabilities	2,923	2,635			\$ 5,876	11,434	6,011
Liability for self insurance	17,421					17,421	14,430
Deferred income	15,683	5,973				21,656	18,250
Bonds payable					271,696	271,696	279,518
Capitalized lease obligation					22,681	22,681	27,167
Net deferred revenues and appropriated fund balances	18,676	(438)				18,238	28,589
<b>Total Liabilities</b>	<b>65,689</b>	<b>13,294</b>			<b>300,253</b>	<b>379,236</b>	<b>389,896</b>
Interfund Balances	(32,523)	36,410			(3,887)		
<b>Fund Balances</b>							
<b>Current Unrestricted</b>							
Working capital	47,977					47,977	50,276
Future operating purposes	8,124					8,124	7,420
Other	3,730					3,730	1,525
<b>Current Restricted</b>							
		26,778				26,778	26,586
<b>Loan</b>							
			\$ 18,771			18,771	17,103
<b>True Endowments</b>							
				\$ 44,347		44,347	39,586
<b>Term Endowments</b>							
				1,811		1,811	999
<b>Quasi Endowments</b>							
				29,462		29,462	29,211
<b>Plant</b>							
Retirement of indebtedness					37,109	37,109	36,805
Renewal and Replacement					27,279	27,279	24,253
Allocated for designated projects					45,228	45,228	56,140
Net investment in plant					620,852	620,852	555,282
<b>Total Fund Balances</b>	<b>59,831</b>	<b>26,778</b>	<b>18,771</b>	<b>75,620</b>	<b>730,468</b>	<b>911,468</b>	<b>845,156</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 92,997</b>	<b>\$ 76,482</b>	<b>\$ 18,771</b>	<b>\$ 75,620</b>	<b>\$ 1,026,834</b>	<b>\$ 1,290,704</b>	<b>\$ 1,235,052</b>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE SIX MONTHS ENDED DECEMBER 31, 1990  
with comparative totals for December 31, 1989  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91			1989-90		
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
<b>General Fund</b>						
(1) State appropriations	\$ 287,596	\$ 287,596	\$ 142,762	50	\$ 125,703	49
(2) Student fees	72,351	74,685	37,705	50	35,978	55
(3) Federal appropriations	14,372	14,372	6,961	48	7,768	54
(4) County appropriations	4,064	4,701	1,367	29	1,284	32
(5) Endowment income	761	761	290	37	274	32
(6) Investment income	9,372	9,372	4,658	50	4,439	51
Gifts and grants						
(7) Affiliated corporations	5,785	6,121	2,537	41	629	12
(8) Other	3,113	3,543	1,145	32	1,145	31
(9) Sales and services	15,091	15,870	8,363	53	6,974	48
(10) Fund balance	6,911	12,423	12,423	100	7,437	100
(11) Total General Fund	419,416	429,444	218,101	51	191,551	51
(12) Auxiliary Enterprises	34,902	35,262	17,786	50	16,424	51
(13) Restricted Funds	47,881	49,307	23,936	49	24,040	56
(14) Affiliated Corporations	77,579	78,450	39,470	50	40,465	54
<b>Hospital</b>						
(15) Revenues	144,777	144,777	80,970	56	62,248	51
(16) Fund balance	5,394	5,394	5,394	100	9,343	100
(17) Total Revenues and Appropriated Fund Balances	\$ 729,949	\$ 742,634	\$ 385,657	52	\$ 344,071	52

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE SIX MONTHS ENDED DECEMBER 31, 1990  
with comparative totals for December 31, 1989  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91				1989-90	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(1) Instruction	\$ 189,693	\$ 190,924	\$ 86,911	46	\$ 75,485	45
(2) Research	90,066	90,219	38,266	42	35,125	42
(3) Public Service	66,785	66,504	32,831	49	29,061	51
(4) Academic Support	45,422	49,935	24,682	49	21,734	48
(5) Student Services	17,533	18,076	9,094	50	8,544	51
(6) Institutional Support	28,585	33,171	17,029	51	14,145	50
(7) Student Financial Aid	31,110	31,621	22,794	72	21,234	84
(8) Operation and Maintenance	35,449	36,518	17,191	47	15,330	45
(9) Mandatory Transfers (Debt Service)	25,517	25,517	22,258	87	14,715	59
(10) Hospital	149,790	149,790	75,208	50	62,387	48
(11) Auxiliary Enterprises	49,999	50,359	26,947	54	24,586	52
(12) Total Expenditure by Program	<u>\$ 729,949</u>	<u>\$ 742,634</u>	<u>\$ 373,211</u>	50	<u>\$ 322,346</u>	49

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
FOR THE SIX MONTHS ENDED DECEMBER 31, 1990  
with comparative totals for December 31, 1989  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1990-91				1989-90	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(13) Personnel Costs	\$ 423,683	\$ 428,033	\$ 204,755	48	\$ 178,573	47
(14) Operating Expenses	239,755	246,171	127,826	52	108,508	48
(15) Mandatory Transfers (Debt Service)	29,867	29,867	24,983	84	17,402	60
(16) Capital Outlay	36,644	38,563	15,647	41	17,863	63
(17) Total Expenditure by Category	<u>\$ 729,949</u>	<u>\$ 742,634</u>	<u>\$ 373,211</u>	50	<u>\$ 322,346</u>	49

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE SIX MONTHS ENDED DECEMBER 31, 1990**  
 with comparative totals for December 31, 1989  
 (in thousands)

	(A)	(B)	(C)
	<u>1990-01</u>		<u>1989-90</u>
(1) <b>Realized Revenues</b>		\$ 367,840	\$ 327,291
<b>Appropriated Fund Balances</b>			
(2) Current unrestricted fund	\$ 12,423		7,437
(3) University Hospital	<u>5,394</u>		<u>9,343</u>
(4) Total Appropriated Fund Balances		<u>17,817</u>	<u>16,780</u>
(5) Total Revenues and Appropriated Fund Balances		385,657	344,071
(6) <b>Expenditures/Encumbrances</b>	373,211		322,346
(7) Less: Reserve for Encumbrances	<u>(5,792)</u>		<u>(6,864)</u>
(8) Total Expenditures		<u>367,419</u>	<u>315,482</u>
(9) <b>Net Deferred Revenues and Appropriated Fund Balances</b>		<u>\$ 18,238</u>	<u>\$ 28,589</u>

Office of the President  
January 22, 1991

FCR 2

Members, Board of Trustees:

EXTERNAL AUDITOR FOR FISCAL YEAR 1990-91

Recommendation: that the Board of Trustees engage the firm of Coopers & Lybrand, Certified Public Accountants, to perform an independent audit of the University of Kentucky and Affiliated Corporations for the fiscal year ending June 30, 1991, for a fee, including expenses, of not more than \$107,700. Exhibit I lists the entities and programs to be audited and other reports required.

Background: Coopers & Lybrand previously served as independent auditors for the University of Kentucky for the year ended June 30, 1990. Their performance was highly satisfactory, and their knowledge of the University's financial accounting and management systems will ensure a thorough and adequate examination.

KRS 164A.570 and 164A.610 (enacted under House Bill 622) require an external audit for the purposes of rendering an independent opinion of the financial statements, preparing a report of findings and recommendations concerning internal accounting controls and procedures, and compliance with provisions of KRS 164A.555 to 164A.630 (House Bill 622).

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

## EXHIBIT I

### SUMMARY OF WORK

The Certified Public Accountants will make an examination of the financial statements of the following entities and programs for the year ending June 30, 1991:

- University of Kentucky (consolidated and unconsolidated)
- University of Kentucky Research Foundation
- University of Kentucky Athletic Association
- University of Kentucky Intercollegiate Athletics  
(NCAA Financial Audit Guide)
- The Fund for Advancement of Education and Research in the  
University of Kentucky Medical Center
- University of Kentucky Hospital
- Health Care Collection Service, Inc.
- University of Kentucky Mining Engineering Foundation, Inc.
- University of Kentucky Business Partnership Foundation, Inc.
- University of Kentucky Equine Research Foundation, Inc.
- University of Kentucky Humanities Foundation, Inc.
- University of Kentucky Center on Aging Foundation, Inc.
- University of Kentucky Housing and Dining System
- University of Kentucky Tobacco and Health Research Institute
- University of Kentucky Alumni Association
- University of Kentucky Hospital Auxiliary
- University of Kentucky Credit Union (year ended December 31, 1991)
- A-133 Audit of Federally Sponsored Programs including
  - research and other programs, Basic Education
  - Opportunity Grant Program (Pell Grants),
  - National Direct Student Loan, College Work Study,
  - Supplemental Educational Opportunity Grant Programs,
  - and Health Profession Student Loan Programs
- WUKY-FM Radio

Examination of the financial statements of these entities and programs will be made in accordance with generally accepted auditing standards and will include such tests of the accounting records and such other auditing procedures as are considered necessary in the circumstances. The objective of such an examination is to enable the Certified Public Accountants to express an independent opinion on whether the statements present fairly the financial position, changes in fund balances and the current funds revenues, expenditures and transfers in conformity with generally accepted accounting principles applied on a consistent basis.

As a part of the examination, the Certified Public Accountants will make a study and evaluation of the University's system of internal accounting control to the extent they consider necessary to evaluate the system as required by generally accepted auditing standards. Under these standards, the purpose of such evaluation is to establish a basis for reliance on the system of internal accounting control in determining the nature, timing, and extent of other auditing procedures that are necessary for the auditor in planning and performing the examination of the financial statements.

In addition to the examination of the basic financial statements as listed on the preceding page, the following reports will be provided by the Certified Public Accountants:

1. Letter report expressing an opinion as to the University's compliance with KRS 164A.555 to 164A.630 based upon the minimum audit scope as established by the Finance and Administration Cabinet Secretary of the Commonwealth of Kentucky.
2. Letter report to the Secretary of Finance and Administration Cabinet regarding the financial data and information provided by the University on Forms AFR 80 to 126.
3. Letter report on the compliance to the University to the contract agreement between the University of Kentucky and Kentucky Medical Services Foundation.

The scope of the audit is to be expanded beyond the generally accepted auditing standards to include the auditing standards for financial and compliance audits contained in the Standard for Audit of Governmental Organizations, Programs, Activities, and Functions issued by the U.S. General Accounting Office (1988 revision); the 1990 provisions of OMB A-133, Audits of Institutions of Higher Education and Other Non-Profit Institutions; the U.S. Department of Education Audit Guide for Student Financial Assistance Programs (March, 1990) and the U.S. Department of Health and Human Services Guidelines for Audits of Federal Awards to Educational Institutions (November, 1984). The purpose for this expanded scope of auditing standards is to form an opinion of the University's significant internal accounting and administrative controls used in administering federal financial assistance programs to student assistance programs and other federal grants and contracts.



# FCR 3

Members, Board of Trustees:

## CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1990, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1990, the University has:

1. Awarded nineteen (19) architect and engineer contracts.
2. Made five (5) amendments to architect and engineer contracts.
3. Made seven (7) final payments for seven (7) architect and engineer contracts.
4. Awarded or requisitioned for the award of twenty-four (24) construction contracts.
5. Made seventy (70) change orders to construction contracts.
6. Made twenty-four (24) final payments for twenty-four (24) construction contracts.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: January 22, 1991

**DIVISION OF DESIGN AND CONSTRUCTION**  
**REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES**  
**UNIVERSITY OF KENTUCKY**  
**FOR THE PERIOD JULY 1, 1990 - JUNE 30, 1991**  
**I. ARCHITECT & ENGINEER CONTRACTS**

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PEARSON + BENDER + JOLLY LEXINGTON	PRESTONSBURG COM. COLLEGE JOHNSON BUILDING RENOVATION Project No. 717.0	\$200,000	\$28,355	7/31/90
PEARSON + BENDER + JOLLY LEXINGTON	PENCE HALL ELEVATOR Project No. 720.0	195,000	21,250	7/31/90
SHERMAN/CARTER/BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	7,000,000	349,090	7/31/90
PEARSON + BENDER + JOLLY LEXINGTON	MEDICAL CENTER VARIOUS ROOF REPLACEMENTS Project No. 705.0	184,400	11,242	7/31/90
OMNI ARCHITECTS LEXINGTON	HOSPITAL HYDRAULIC ELEVATOR Project No. 734.0	81,000	6,425	7/31/90
JERRY A. TAYLOR ENVIRON- MENTAL, INC. LEXINGTON	MEDICAL CENTER VARIOUS ROOFS ASBESTOS ABATEMENT Project No. 705.0	184,400	5,995	7/31/90
DRIES+ALEXANDER ARCHITECTS LEXINGTON	SPORTS TICKET OFFICE Project No. 702.0	75,000	5,150	7/31/90
DRIES+ALEXANDER ARCHITECTS LEXINGTON	MEMORIAL COLISEUM EXPANSION Project No. 752.0	199,000	17,910	7/31/90
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	SHAWNEETOWN FIRE ALARM SYSTEM Project No. 534.0	195,000	14,093	8/14/90
PECK FLANNERY GREAM WARREN ELIZABETHTOWN	ELIZABETHTOWN COM. COLLEGE ADMINISTRATION/SCIENCE BLDG. RENOV. Project No. 672.0	250,000	24,398	8/14/90
POTTS + POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER ELEVATOR RENOVATION Project No. 683.0	311,000	26,615	8/27/90
DCT DESIGN GROUP, LTD. LEXINGTON	SLONE BUILDING RENOVATION Project No. 673.1	199,000	20,000	8/31/90

**I. ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
OMNI ARCHITECTS LEXINGTON	ASHLAND COMMUNITY COLLEGE PHASE I WINDOW REPLACEMENT Project No. 490.0	\$ 198,000	\$ 19,000	8/31/90
JERRY A. TAYLOR ENVIRON- MENTAL, INC. LEXINGTON	SLONE BUILDING ROOF ASBESTOS ABATEMENT Project No. 703.1	120,000	9,755	8/31/90
DCT DESIGN GROU, LTD LEXINGTON	SLONE BUILDING ROOF REPLACEMENT Project No. 703.0	120,000	5,500	8/31/90
INTERIOR DESIGN & ARCHITECTURE, INC. LEXINGTON	HOSPITAL SUPPORT SERVICES UPGRADE Project No. 721.0	700,000	58,155	8/31/90
OMEGA ARCHITECTS, INC. LEXINGTON	MEDICAL CENTER - NURSING BLDG. WINDOW MODIFICATIONS Project No. 725.0	101,000	7,530	9/28/90
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	1,000,000	67,980	9/28/90
LUCAS/SCHWERING ARCHITECTS LEXINGTON	AMERICAN BUILDING - GEOLOGICAL SURVEY RENOVATION PHASE II Project No. 750.0	199,000	18,000	9/28/90

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
DRIES + ALEXANDER LEXINGTON	UNIVERSITY SPORTS TICKET SALES BUILDING Project No. 709.0	1	7/31/90	- \$ 10,960
Nature of Change:	Decrease architectural services.			
Reason for Change:	Project has been cancelled.			
ROSS-FELDMAN ARCHITECTS	SOMERSET COMMUNITY COLLEGE FINE ARTS/COMMUNITY CENTER Project No. 631.0	1	8/31/90	+ 124,188
Nature of Change:	Additional services.			
Reason for Change:	Additional Federal funding became available after the original contract was negotiated.			
BICKEL GIBSON ARCHITECTS LOUISVILLE	MADISONVILLE COMMUNITY COLLEGE THEATER FIT-UP Project No. 690.0	1	8/31/90	+ 56,000
Nature of Change:	Final contract negotiations.			
Reason for Change:	Initial contract was negotiated for master planning only. This fee increases allows for programming, schematics and contract administration services.			
McCOY & McCOY, INC. MADISONVILLE	ENVIRONMENTAL CONSULTANT PER DIEM CONTRACT	1	8/31/90	- 0 -
Nature of Change:	Fee & expense schedule for additional testing services added to the original contract.			
Reason for Change:	The University has the need for additional testing services in the areas of sewer water testing and underground storage tank testing.			
PEARSON + BENDER + JOLLY LEXINGTON	MEDICAL CENTER VARIOUS ROOFS REPLACEMENTS Project No. 705.0	1	8/31/90	+ 6,204
Nature of Change:	Add work under Phases B and C of the architectural contract.			
Reason for Change:	Original contract was negotiated for Phase A only.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
JERRY A. TAYLOR ENVIRONMENTAL, INC. LEXINGTON	MEDICAL CENTER VARIOUS ROOFS REPLACEMENTS Project No. 705.0	1	8/31/90	+ \$ 8,265
Nature of Change:	Add work under Phases B & C of the architectural contract.			
Reason for Change:	Original contract was negotiated for Phase A only.			
SCRUGGS AND HAMMOND LEXINGTON	COLDSTREAM FARM LAND USE PLAN Project No. 760.0	1	09/28/90	+ 10,500
Nature of Change:	Additional Services required.			
Reason for Change:	Services required for the planning and development of the greenways.			

**III. ARCHITECT & ENGINEER FINAL PAYMENTS**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
OMNI ARCHITECTS LEXINGTON	HOSPITAL THIRD FLOOR RENOVATION & EXPANSION Project No. 580.0	\$135,780	8/14/90
OMNI ARCHITECTS LEXINGTON	HOSPITAL DIAGNOSTIC SERVICES UPGRADE Project No. 645.0	30,225	8/14/90
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	36,261	8/16/90
BOOKER ASSOCIATES, INC. LEXINGTON	SHAWNEETOWN ROOF/TYP E A Project No. 537.0	6,753	8/16/90
FARRIS, HATCHER, TREMPER PADUCAH	PADUCAH COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 658.0	2,500	8/27/90

III. ARCHITECT & ENGINEER FINAL PAYMENTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
BOOKER ASSOCIATES, INC. LEXINGTON	PEAK HOUSE/PRYOR HOUS ROOFS REPLACEMENT Project No. 556.0	\$ 6,259	8/27/90
BOOKER ASSOCIATES, INC. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT Project No. 635.0	53,714	8/27/90

**IV. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>CONTRACT PRICE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>BID RANGE</b>
DOVER ELEVATOR COMPANY LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE #8 - ELEVATOR WORK Project No. 587.0	\$ 117,902	7/02/90	3	\$ 117,902 - 139,362
BROWN SPRINKLER CORP. LEXINGTON	HOSPITAL/MEDICAL CENTER 1st & 4th FLOORS FIRE SUPPRESSION Project No. 542.2	298,000	7/09/90	2	298,000 - 336,700
B & L UTILITIES CONTRACTOR ASHLAND	ASHLAND COMMUNITY COLLEGE LRC PARKING LOT Project No. 630.1	318,091	7/09/90	3	318,091 - 434,272
D. W. WILBURN, INC. LEXINGTON	LANCASTER AQUATIC FACILITY SUNDECK Project No. 599.0	143,500	7/10/90	3	143,500 - 150,820
P & R ROOFING & SHEET METAL LEXINGTON	SHAWNEETOWN LOWER ROOFS REPLACEMENT Project No. 557.0	109,000	7/20/90	4	109,000 - 125,380
JERRY GROT ELECTRIC CO. LEXINGTON	COOPERSTOWN FIRE ALARM SYSTEM Project No. 651.0	329,806	7/20/90	8	329,806 - 548,734
D. W. WILBURN, INC. LEXINGTON	PRESTONSBURG COM. COLLEGE LRC/TECH. STAIRWAY DOORS Project No. 471.2	12,450	7/23/90	1	12,450
EAGLE ROOFING & SHEET METAL LEXINGTON	MEMORIAL COLISEUM ROOF REPLACEMENT Project No. 684.0	186,000	7/23/90	3	186,000 - 284,950
RAR INSULATION GLASGOW	MEMORIAL COLISEUM ROOF ASBESTOS ABATEMENT Project No. 684.1	57,000	7/23/90	7	57,000 - 198,750
ENERGY CONSERVATION TECHNIQUES SERVICES LOUISVILLE	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE #11 - CONTROLS WORK Project No. 587.0	99,183	7/31/90	4	99,183 - 165,355
AMERICAN DIVERSIFIED CONSTRUCTION, INC. WHITES CREEK, TN	BUSINESS & ECONOMICS BLDG. ASBESTOS ABATEMENT Project No. 587.2	157,003	7/31/90	3	157,003 - 209,874
EUBANK & STEEL CONSTRUCTION COMPANY LEXINGTON	HAGGIN HALL ENTRANCE MODIFICATION Project No. 712.0	111,250	8/02/90	7	111,250 - 143,469

**IV. CONSTRUCTION CONTRACTS  
(CONTINUED)**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>CONTRACT PRICE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>BID RANGE</b>
MARRS ELECTRIC CO. LOUISVILLE	ELIZABETHTOWN COM. COLLEGE STUD. CTR./SCIENCE BLDG. SMOKE DETECTORS Project No. 605.0	\$ 5,177	8/06/90	3	\$ 5,177 - 6,200
HIBBITTS CONSTRUCTION CO. LONDON	HOSPITAL EXPANSION PHASE II ASBESTOS ABATEMENT - 1st 2nd & 4th FLOORS Project No. 304.9	78,850	8/07/90	7	78,850 - 172,671
TRI-STATE ROOFING AND SHEET METAL COMPANY	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE #6 - ROOFING WORK Project No. 587.0	150,710	8/08/90	3	150,710 - 177,676
THE P. I. NEMIROFF CORP. SECAUCUS, NJ	COMMONWEALTH VILLAGE SHOWER TILE REPLACEMENT Project No. 482.0	42,800	8/15/90	5	42,800 - 71,625
POWELL BOILER SYSTEMS, INC. LEXINGTON	MAYSVILLE COMMUNITY COLLEGE BOILER REPLACEMENT Project No. 714.0	56,200	8/28/90	4	56,200 - 59,700
ROBERTSON CONTRACTING CO. COLUMBIA	LAKE CUMBERLAND 4-H CAMP SWIMMING POOL Project No. 710.0	87,396	8/31/90	7	87,396 - 119,400
TAM, INC.dba M.B. MCGREGOR LEXINGTON	HOSPITAL SOUTH PARKING STRUCTURE - TOLL BOOTH Project No. 718.0	48,976	9/10/90	6	48,976 - 62,774
KIRK & BLUM MANUFACTURING LEXINGTON	MINES & MINERAL RESOURCE BLDG. DUST COLLECTION SYSTEM MODIFICATION Project No. 115.9	56,820	9/13/90	4	56,820 - 76,640
KING WRECKING CO., INC. CINCINNATI, OH	PORTER BUILDING DEMOLITION Project No. 739.0	61,820	9/17/90	3	61,820 - 82,884
TAM, INC.dba M.B. MCGREGOR LEXINGTON	HENDERSON COMMUNITY COLLEGE STUDENT CTR. EXTERIOR CONCRETE Project No. 295.0	23,000	9/25/90	3	23,000 - 28,746
TAM, INC.dba M.B. MCGREGOR LEXINGTON	HOSPITAL HYDRAULIC ELEVATOR Project No. 734.0	61,600	9/25/90	2	61,600 - 63,285
TAM, INC.dba M.B. MCGREGOR LEXINGTON	ARBORETUM DEVELOPMENT PHASE I ENTRANCE WALL Project No. 453.1	44,000	9/25/90	3	44,000 - 51,460



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
BROADVIEW ACOUSTICAL CONTRACTORS, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #3 - PLASTER, FIREPROOFING & DRYWALL Project No. 304.1	5	7/6/90	+ \$ 5,215.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add soffit at ground floor, corridor, 4th floor, 6th floor &amp; 5th floor. Add drywall, finish raise ceiling height to 10'.</li> <li>2. Add telephone outlets.</li> <li>3. Enclose two ducts and two heat piping penetrations from hangar floor up to a height to match the adjoining walls.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Due to constructed floor to floor heights in transition from existing building to new building.</li> <li>2. Telephone outlet presently installed will not permit installation of pay phone due to location too close to thermostat and door.</li> <li>3. To prevent any damage from work activity in the hangar.</li> </ol>			
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION PHASE I BID PACK #2; WORK CAT. #5 - RESILIENT FLOORING/CARPETING Project No. 304.1	3	8/2/90	+ 318.00
Nature of Change:	Remove carpet in HAC105 and install vinyl composition tile with resilient cove base.			
Reason for Change:	Revised office space to satellite pharmacy, which cannot function with carpeted floor due to wet activities and need for sterile conditions.			
DOVER ELEVATOR CO. LEXINGTON	HOSPITAL EXPANSION/PHASE II BID PACK #2; WORK CAT. #7 Project No. 304.1	3	9/14/90	+ 463.00
Nature of Change:	Install a toggle type disconnect switch at each of the four elevator controllers.			
Reason for Change:	Required by the elevator inspector.			
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	13	7/20/90	+ 10,088.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add telephone outlets.</li> <li>2. Provide control wiring from day tank to oil pumps and provide new 20 amp - 3 pole breaker in panelboard in ground floor mechanical room.</li> <li>3. Provide additional communications room on 4th floor.</li> <li>4. Install 3-gang electric boxes in lieu of 2-gang in O.R. #2 &amp; #9.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Telephone outlet presently installed will not permit installation of pay phone due to location too close to thermostat and door.</li> <li>2. The two day tank pumps can only deliver 5 gpm of fuel to emergency generator. Generator requires 7 gpm. This is to avoid having day tank run dry.</li> <li>3. Due to inadequate space available for the large quantity of computer and telephone wiring.</li> <li>4. Change required to permit installation of 3 head surgical light, purchased and ready to install.</li> </ol>			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1	4	7/20/90	+ \$ 636.00
Nature of Change:	Provide and install a single flat steel astragal at several hollow metal door pairs.			
Reason for Change:	Required for doors in smoke wall per fire code. Not indicated in contract documents.			
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE I BID PACK #2; WORK CAT. #15 - FINISH HARDWARE Project No. 304.1	1	8/2/90	+ 524.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise lockset for two doors. One door will require a cylinder blank plate cover on the interior side.</li> <li>2. Provide a door bumper at O.R. doors.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Elevator code requires door to lock automatically when door closes at Elevator Machine Room.</li> <li>2. Long extension bumpers needed at O.R. doors to prevent them from hitting wall mounted thermostats.</li> </ol>			
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	MEDICAL CENTER CAFETERIA RENOVATION Project No. 304.7	11	7/3/90	+ 1,872.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Relocate two recessed lights at Main Entry.</li> <li>2. Rewire griddle connector circuits.</li> <li>3. Add water line for ice machine.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. After recess of door, required by code, area was unlighted and very dark, creating an unsafe condition.</li> <li>2. Existing wiring which, we tied to, was undersized and not to code, causing wire to heat and blow circuit.</li> <li>3. Water line for ice maker was omitted from plans.</li> </ol>			
ROMAC, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II RENOVATION, ASBESTOS ABATEMENT MECHANICAL ROOM H-46 Project No. 304.9	1	9/14/90	- 1,125.00
Nature of Change:	Omit the reinsulation of valves under this contract.			
Reason for Change:	It is proposed to replace insulation using pre-formed valve jackets for easier maintaining of valves. Jackets are to be purchased and installed by MC PPD and are not to be a part of this contract.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
HIBBITTS CONSTRUCTION, INC. LONDON	HOSPITAL EXPANSION PHASE II RENOVATION, ASBESTOS ABATEMENT 1st, 2nd and 4th Floors Project No. 304.9	1	9/21/90	- \$ 5,604.49
	<ol style="list-style-type: none"> <li>1. Remove floor tile and floor tile adhesive in several locations.</li> <li>2. Remove carpet in several locations.</li> <li>3. Eliminate floor tile in several locations.</li> </ol>			
Reason for Change:	Certain rooms shown to have floor tile removed must be delayed until later because of relocation of personnel. Also, some carpet was left covering floor tile to be removed but was not shown on the drawings.			
C.C. LEE, INC. LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project No. 431.6	4	9/28/90	+ 1,701.00
Nature of Change:	Recircuit the quadplex receptacles in each of six communications closets from the local panel to the power panel PP-7X.			
Reason for Change:	Required so local area network systems will have power for transfer of information throughout outage periods.			
C.C. LEE, INC. LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project No. 431.6	5	9/28/90	+ 9,000.00
Nature of Change:	Delete twelve power poles. Complete installation of #2600 floor mold and 48 receptacles as indicated.			
Reason for Change:	The power poles were rejected during programming, but were included in the design inadvertently.			
E. C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM DEVELOPMENT HOME DEMONSTRATION GARDEN - BID PACK #1 Project No. 453.1	3	7/24/90	+ 6,302.45
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional brick materials.</li> <li>2. Additional plant mix and fertilizer.</li> <li>3. Increase backflow preventer vault size. Increase area treated with granite and stabilize for handicap parking area.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The pattern specified originally by the Architect created poor joint conditions when the brick contractor began to install.</li> <li>2. There would be a cost savings to cover the arbor with mix now rather than in the future. Tests required by the contract showed a need for nitrogen.</li> <li>3. Vault size was too small. Vault was originally okayed by KAWC; PPD could not maintain. Wheel chair parking surface needed to be firmer thus requiring the addition of granite with stabilizer. DGA is an unacceptable surface for handicapped.</li> </ol>			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
E.C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM HOME DEMONSTRATION GARDEN - BID PACK #1 Project No. 453.1	4	8/20/90	+ \$ 5,135.25
Nature of Change:	<ol style="list-style-type: none"> <li>Increase stone column size.</li> <li>Increase depth of stone wall footing.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>Detail as drawn did not work; had to be increased to compensate for size of stone.</li> <li>Poor soil conditions encountered.</li> </ol>			
D. W. WILBURN, INC. LEXINGTON	GATEWAY SIGNAGE ROSE & MAXWELL STREETS Project No. 473.0	1	7/11/90	- 0 -
Nature of Change:	Time extension of 19 calendar days.			
Reason for Change:	Unable to receive the cut stone from supplier within the contract time.			
R & W CONSTRUCTION COMPANY LOUISVILLE	AGRICULTURE ENGINEERING BUILDING Project No. 475.0	10	8/20/90	- 41,571.74
Nature of Change:	<ol style="list-style-type: none"> <li>Liquidated damages (- \$ 32,000.00).</li> <li>Utilities</li> <li>Emergency work performed on linear aluminum ceiling.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>Amount agreed upon for settlement of lawsuit against UK.</li> <li>Total amount of substantiated utility charges to be reimbursed to UK.</li> <li>High velocity winds caused suspension system to fail.</li> </ol>			
STRUCK GENERAL CONTRACTORS LOUISVILLE	AGRICULTURE ENGINEERING BLDG. Project No. 475.1	2	7/24/90	+ 4,085.13
Nature of Change:	<ol style="list-style-type: none"> <li>Modify wireways. Provide four junction boxes and two 4" conduits.</li> <li>Modify chase walls to comply with code requirements.</li> <li>Install carpet tile on access flooring.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>Changes necessary due to existing field conditions. Wireways cannot be installed as shown and still be accessible due to equipment installation, piping, and ceiling height.</li> <li>Mechanical-electrical items in existing chases required relocation causing walls to need correction.</li> <li>To rectify discrepancy in plans and specifications.</li> </ol>			
STRUCK CONSTRUCTION CO., INC. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.1	3	8/20/90	+ 3,294.29
Nature of Change:	<ol style="list-style-type: none"> <li>Revise fire hose cabinet, sprinkler piping and add additional sprinkler heads.</li> <li>Reclean where required caused by R &amp; W construction subcontractor for sprayed fireproofing.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>Existing conditions of piping locations required the revisions to be made due to interference with walls and light fixtures.</li> <li>R &amp; W failed to complete repairs in a timely manner and Owner directed clean up by Struck.</li> </ol>			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
P & R ROOFING AND SHEET METAL LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT PHASE II Project No. 496.0	2	7/11/90	- 0 -
Nature of Change:	Time extension of 63 calendar days.			
Reason for Change:	Unusual weather delays.			
CLEAVER CONSTRUCTION CO. MURRAY	PADUCAH COMMUNITY COLLEGE LOADING BRIDGE AND GRIDIRON Project No. 513.0	1	9/6/90	+ \$ 5,225.00
Nature of Change:	Install additional sprinklers.			
Reason for Change:	Revision of sprinkler system required to meet code.			
DAVID ENGINEERING & CONST. LOUISVILLE	SHAWNEETOWN ROOF/ TYPE A Project No. 537.0	1	8/3/90	+ 10,072.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide third point truss support with pipe/plate shims.</li> <li>2. Remove rotted soffitt board; install painted soffitt board.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contractor left out the third supports from their bid, stating that the plans did not give a clear direction.</li> <li>2. Soffitt boards were rotten.</li> </ol>			
DAVID ENGINEERING & CONST. LOUISVILLE	PEAK HOUSE/PRYOR HOUSE Project No. 556.0	1	8/3/90	+ 2,105.00
Nature of Change:	Install painted galvanized steel gutter hangers.			
Reason for Change:	Required by Housing, Building & Construction Division.			
D. W. WILBURN, INC. LEXINGTON	JEWELL HALL ROOF REPLACEMENT Project No. 563.0	1	8/14/90	+ 5,800.00
Nature of Change:	Add two layers of insulation. The top layer shall be fiberglass rigid board with a glass fiber reinforced asphalt kraft paper mopping surface. The bottom layer shall be polyisocyanurate rigid insulation board.			
Nature of Change:	Insulation needed due to the deletion of the lightweight concrete fill.			
B.L. RADDEN AND SON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	4	9/4/90	+ 393.38
Nature of Change:	Clean and paint area "B" sound booths.			
Reason for Change:	Painting complex metal surface as compared to drywall originally specified.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	7	7/9/90	+ \$ 141.75
Nature of Change:	Remove plastic laminate on window stool between two rooms from the plastic laminate joint to six inches beyond mullion. Patch plywood where wall was removed and relaminate to match existing. Install wood closure like existing ones to close space between window mullion and end of wall. Patch sill exposed during demolition to match existing.			
Reason for Change:	Placement of wall exposed several inches of window. This change will cover that window and close an open space by the window between two offices.			
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	8	7/24/90	+ 863.25
Nature of Change:	1. Rework casework in nurse station B326. 2. Modify all file tubs.			
Reason for Change:	1. Due to oversight during design & review, sink cabinet was designed at same height as writing surface attached to it. 2. To facilitate use, original dimensions were not correct.			
R & R DRYWALL & ACOUSTICS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	7	7/11/90	+ 1,032.40
Nature of Change:	1. Provide & install carpet type 2 in three offices in lieu of type 1. 2. Provide new carpet in 2 offices and 1 waiting area.			
Reason for Change:	1. Public area carpeting was incorrectly noted to be installed in offices. It was never the intent of the project to put public carpet in private physicians' offices. 2. Due to oversight during design, finishes were omitted in these areas. Carpet was not salvageable.			
R & R DRYWALL & ACOUSTICS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	8	7/24/90	+ 362.89
Nature of Change:	Relocate existing south wall of room A302 and add section of wall in room A301.			
Reason for Change:	Reception area required to be enlarged to prevent people entering reception area from tripping over feet of those seated.			
R & R DRYWALL & ACOUSTICS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	9	7/24/90	+ 2,397.44
Nature of Change:	1. Provide twelve cartons of ceiling tile for completion of ceiling work. 2. Provide and install carpet in 4 rooms.			
Reason for Change:	1. A large amount of the existing ceiling tile could not be reused. 2. Existing carpet was not able to be salvaged and reused.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	9	9/4/90	- \$ 26,858.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Clean, patch and seal existing asphalt at construction lay down area.</li> <li>2. Allowance of \$25,000 for safety and temporary protection.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing parking lot is to be demolished for location of a new building.</li> <li>2. Allowance money was not needed during construction.</li> </ol>			
B. L. RADDEN & SON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	3	7/11/90	- 6,653.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change wall covering in several rooms.</li> <li>2. Paint walls in lieu of installing vinyl wall covering in two rooms.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Wallcovering specified could not be shipped in time for contractor to meet schedule.</li> <li>2. These rooms required washable wall finish.</li> </ol>			
COX MECHANICAL LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project 576.1	6	7/24/90	+ 150.00
Nature of Change:	Rework casework in nurse station B326. Modify all file tubs.			
Reason for Change:	Due to oversight during design & review, sink cabinet was designed at same height as writing surface attached to it.			
ELECTRA OF LEXINGTON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	9	7/11/90	+ 2,474.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install 34 duplex outlets and 17 3-foot pigtails for connections to equipment in ophthalmology.</li> <li>2. Add two downlights in ceiling at Stage III, near door.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Duplex receptacles are needed for functioning of owner-furnished equipment.</li> <li>2. Two additional lights required after revising entrance.</li> </ol>			
ELECTRA OF LEXINGTON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	10	7/24/90	+ 401.32
Nature of Change:	Relocate existing receptacles, switch & telephone outlet.			
Reason for Change:	Reception area required to be enlarged causing receptacle location to be changed.			
ELECTRA OF LEXINGTON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	11	7/24/90	+ 769.00
Nature of Change:	Relocate exit light in Stage III. Provide and install one emergency fixture in three rooms.			
Reason for Change:	Changes required by State Building Inspector.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>CHANGE NUMBER</b>	<b>DATE APPROVED</b>	<b>AMOUNT</b>
BROWN SPRINKLER CORPORATION LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	2	8/6/90	+ \$ 650.00
Nature of Change:	Install three inspector's test connections off existing sprinkler system. Two are to be piped to floor drains and one supplied with a hose connection.			
Reason for Change:	Code authority and insurance companies require test drains to be piped to floor drains for regular sprinkler testing.			
WOODFORD BUILDERS LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	8	8/2/90	+ 5,399.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Seal existing high pressure ductwork.</li> <li>2. Revise hardware at door 353A.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing ducts do not achieve specified pressure test.</li> <li>2. The retraction device is not included in the hardware schedule, but is required to achieve 20 min. rating while operating automatically.</li> </ol>			
WOODFORD BUILDERS LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	9	8/6/90	+ 7,715.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise balcony guard rail.</li> <li>2. Relocate electrical switchboard.</li> <li>3. Change wrist blade faucets on two lavatories to foot pedals.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. A higher balcony guard rail is recommended due to safety considerations. Children will be using balcony and could climb over rail from existing structure.</li> <li>2. Electrical switchboard panel installation is not acceptable to Electrical Inspector due to service clearance requirements.</li> <li>3. The wrist blade faucets are not suitable to maintain sterile conditions of EMT area.</li> </ol>			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	10	9/6/90	+ 3,271.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add one ceiling mounted television bracket in Room 334A.</li> <li>2. Revise Pharmacy 344A counter.</li> <li>3. Additional leveling required at existing concrete floor slab.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Television location shown on drawings conflicts with headwall location and cannot be surface mounted as originally indicated.</li> <li>2. Counter indicated on drawings will not support the load of the lab hoods provided.</li> <li>3. Leveling is required beyond the scope of work required by flooring specification. Should floor covering be applied to existing slab it would crack and be unsightly.</li> </ol>			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
WOODFORD BUILDERS LEXINGTON	HOSPITAL THIRD FLOOR RENOVATION & EXP. Project No. 580.0	2	9/28/90	+\$ 16,018.30
Nature of Change:	<ol style="list-style-type: none"> <li>1. Modify two existing induction units to be tamperproof.</li> <li>2. Revise sprinkler pipe sizes required by State and sprinkler master plan.</li> <li>3. Reroute existing plumbing vent pipe.</li> <li>4. Add 5 thermostats at renovated area.</li> <li>5. Add 2 unit heaters at shell space for temporary heating.</li> <li>6. Install precast panels on weekend.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required by Dept. for Human Resources, Division of Licensing &amp; Regulation.</li> <li>2. The larger pipe will allow the hospital to change usage of this space without updating the sprinkler piping. Requested by Housing, Buildings &amp; Construction to meet Master Plan as submitted to meet Code.</li> <li>3. Plumbing vent not shown on drawings and required to be relocated to permit use of patio.</li> <li>4. Not shown on contract documents and necessary to control temperature in rooms.</li> <li>5. The contract documents do not indicate a means of temporarily heating the new shell space and to prevent freezing of sprinkler piping.</li> <li>6. This work was done on weekends when patient and visitor traffic to the Hospital's entrance was low in volume. Safety was the biggest factor.</li> </ol>			
W. B. FOSSON & SONS, INC. ASHLAND	ASHLAND COMMUNITY COLLEGE PANIC HARDWARE INSTALLATION Project No. 585.0	1	9/24/90	+ 1,334.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Replace damaged wood door at stairway "c".</li> <li>2. Install door stops at each stairway door.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Door split due to swinging back and hitting brick, thus stopping installation of panic hardware.</li> <li>2. To prevent further damage to other doors.</li> </ol>			
STRUCK CONSTRUCTION CO. LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #1 Project No. 587.0	3	8/9/90	+ 322.00
Nature of Change:	Backfill the cavern area in the building and replace subgrade between column lines CC & DD and column lines 14 & 16 that collapsed from heavy rains.			
Reason for Change:	Backfill required to fill the void left from the collapse of previously backfilled subgrade in the area of the cavern to allow construction of grade beams and S.O.G. subgrade operations to continue without delay.			
ROBERTSON MECH. & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 Project No. 587.0	4	8/2/90	+ 616.00
Nature of Change:	Relocate the new water meter pit.			
Reason for Change:	Location of pit changed per Kentucky American Water Company.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ROBERTSON MECH. & ELEC. LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 Project No. 587	5	8/15/90	+ \$ 431.00
Nature of Change:	Add check valve for siamese connection at water meter pit.			
Reason for Change:	Check valve required per code.			
WOODFORD BUILDERS LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #4 Project 587.0	1	8/2/90	+ 132.00
Nature of Change:	Additional reinforcing steel required.			
Reason for Change:	Design requirements for Beam 2BM11 changed, requiring additional steel.			
WOODFORD BUILDERS LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #4; TRADE CONTRACT #4 BUILDING STRUCTURE WORK Project No. 587.0	2	9/14/90	+ 352.00
Nature of Change:	Rework 4th floor concrete forming at beams 4BM25 and 4BM26.			
Reason for Change:	Conflict on Contract Drawings.			
FINNEY COMPANY, INC. LEXINGTON	BUSINESS & ECONOMICS BUILDING CHILLED WATER LINES Project No. 587.1	1	8/14/90	+ 1,375.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional trench rock excavation.</li> <li>2. Re-route existing 3" waterline.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required to adjust the design grade of 24" chilled water lines to match existing conditions.</li> <li>2. Water line is brittle and will probably break due to proximity to new 24" lines.</li> </ol>			
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	6	7/11/90	+ 35,273.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install one rear stage curtain.</li> <li>2. Install two stacking partitions.</li> </ol>			
Reason for Change:	1 & 2 Equipment will provide the college with an auditorium that is multi-functional.			
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	7	7/11/90	+ 1,650.00
Nature of Change:	Change wood type on flush wood doors.			
Reason for Change:	To provide a wood type consistent with other wood throughout the building.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	8	7/23/90	+ \$ 3,010.45
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide straight base in lieu of covered glazed block on the walls at the sloped floor area of the auditorium.</li> <li>2. Increase the width of the parking lot by 10 feet.</li> <li>3. Rough-in mechanical &amp; electrical work for the proposed icemaker in room 19.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contract documents called for a covered glazed block. This would result in an awkward transition between the stacking block wall and the sloping auditorium floor.</li> <li>2. Original area designated for the tree was not adequate. The change allowed for the parking lot to be extended, which will provide additional space for the tree.</li> <li>3. To provide utilities for an icemaker to be purchased by the Community College.</li> </ol>			
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	9	9/6/90	+ 3,344.86
Nature of Change:	<ol style="list-style-type: none"> <li>1. Have a site investigation work performed on unacceptable materials in parking lot area and roadway.</li> <li>2. Add a DEMAND-KWH meter to be installed on the main switchgear.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To determine the bad soil conditions in the roadway and parking lot, evaluating proposals and recommendations for correcting the problem.</li> <li>2. To monitor the electrical usage of the new Auditorium Building.</li> </ol>			
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	10	9/6/90	+ 9,559.30
Nature of Change:	<ol style="list-style-type: none"> <li>1. Equipment and materials necessary to construct a retaining wall around the "Osage Orange" tree located in the northeast parking lot.</li> <li>2. Install wire tie anchors on the wind strut columns. A total of 12 wind strut columns are involved.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Walls constructed as agreed by Architect and Owner to save and decorate the "Osage Orange" tree. The original contract documents did not allow for sufficient "Green Space" to be left around the tree.</li> <li>2. The contract documents did not show anchors at wind strut columns.</li> </ol>			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
RICHARD WHEELER CONSTRUCTION LEXINGTON	BRECKINRIDGE HALL ELEVATOR Project No. 617.0	1	7/11/90	+ \$ 3,003.90
Nature of Change:	<ol style="list-style-type: none"> <li>1. Insert 6" four hour fire rated block in pan void of stair landing. Grout solid to achieve required two hour separation on elevator shaft.</li> <li>2. Relocate existing 6" cast iron sanitary sewer. Add one 4" clean out near wall to left of elevator door. Excavate as required.</li> <li>3. Add six new light fixtures at elevator lobbies and building entry.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing stair landing appeared to be solid slab. When demolition occurred, pan construction was found. Block is being utilized to fill void and maintain the required fire rating at shaft.</li> <li>2. Concealed condition below existing floor slab at elevator pit wall location.</li> <li>3. To increase light levels and enhance appearance at new elevator doors.</li> </ol>			
RICHARD WHEELER CONSTRUCTION LEXINGTON	BRECKINRIDGE HALL ELEVATOR Project No. 617.0	2	7/11/90	+ 220.00
Nature of Change:	Relocate steam line.			
Reason for Change:	Found condition, steam line was not plumb. The existing steam line is being concealed within a new chase wall in the new stairway. The steam line was not plumb and would have been only partially concealed.			
TRUJILLO CONSTRUCTION CO. PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE BID PACK NO.1 Project No. 624.0	2	9/21/90	+ 4,360.00
Nature of Change:	Stabilize soft subgrade.			
Reason for Change:	Specified proof rolling failed to stabilize subgrade in preparation for engineered fill.			
MOUNTAIN CONSTRUCTION CO. HARLAN	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0	4	7/16/90	+ 2,213.73
Nature of Change:	Repair of adjoining concourse area, consequential to construction. Corrective work consists of installing a slip-sheet-type membrane and weather seal on existing concourse, adjacent to new construction and previous repairs.			
Reason for Change:	Additional coverage and new coating is required due to difficulty in matching existing surfaces and discoloration.			
MCKNIGHT & ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	2	7/9/90	+ 1,700.00
Nature of Change:	Provide scaffolding sufficient to work one side of the project for one-month.			
Reason for Change:	Asbestos was discovered in the roof material of the old roof which was not removed.			

**V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MCKNIGHT & ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	4	7/23/90	+ \$ 1,838.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Replace section of concrete block wall which was unstable and hazardous.</li> <li>2. Change window, removing louver to relocate into brick wall and add new spandrel.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing concrete block wall had not been properly laid. Tuckpointing was necessary to stabilize and to waterproof back-up wall.</li> <li>2. Survey of existing conditions, mislocated existing louver.</li> </ol>			
MCKNIGHT & ASSOC., INC. LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	5	9/6/90	+ 17,702.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install new vestibule at the entrance to Newman Hall on the east side. Replace existing curtain wall and entrance doors. Revise electrical as necessary.</li> <li>2. Provide 112 L.F. of 2" x 4" steel tube section at the lintel around the lobby area.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The change provides an air lock and double doors for better access.</li> <li>2. The ceiling areas are lower than was indicated on the as-built plans. This unevenness along with the lowness has required us to redetail the steel lintel to provide an 'apron'. This keeps us from needing to remove and replace the ceiling in its entirety.</li> </ol>			
VOCON, INC. HUNTINGTON, WV	ASHLAND COMMUNITY COLLEGE ACADEMIC LEARNING RESOURCE CENTER Project No. 630.0	1	9/6/90	+ 22,758.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Reroute 4" communication conduit.</li> <li>2. Provide bridge connection to existing building.</li> </ol>			
Reason for change:	<ol style="list-style-type: none"> <li>1. Interference with existing structural concrete beams prevented penetrating the existing building in the most direct route and alternate routing required additional conduit and coring.</li> <li>2. To facilitate access to the new addition without going outside into the weather which is in keeping with the existing campus; students and faculty can store coats, umbrellas, etc. in central lockers and not have to carry from building to building.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
CENTRAL ROCK MINERAL CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	3	8/9/90	- \$ 3,942.00
Nature of Change:	1. Delete approximately 270 sq. ft. of 4" sidewalk and 200 sq. yds. of sod at construction gate and construction area adjacent to the new B & E building. 2. Delete excavation of existing concrete base and addition of DGA & asphalt base course at existing island at Limestone between Colfax Street & Scott Street.			
Reason for Change:	1. To accommodate construction traffic and storage areas for B&E project. 2. Existing concrete sub-base was encountered during excavation and is in satisfactory condition, and is to remain in lieu of removing it.			
CENTRAL ROCK MINERAL CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	4	9/6/90	+ 500.00
Nature of Change:	Remobilization cost to complete DGA base and installation of remaining concrete pavers in area where chilled water lines cross the the Administration Drive project.			
Reason for Change:	To allow Finney Company to correct chilled water lines at the Administration Drive area prior to the completion of the concrete pavers.			
SHERMAN WILLIAMS COMPANY HAZARD	HAZARD COMMUNITY COLLEGE FLOOR TILE Project No. 642.0	1	7/12/90	+ 263.62
Nature of Change:	Additional installation of "Gray" vinyl composite to replace previously installed "Black"			
Reason for Change:	Black was originally installed due to the unavailability of Gray & also due to project's schedule constraints.			
E.C. MATTHEWS CO., INC. LEXINGTON	DIVING TOWER LANCASTER AQUATIC CENTER Project No. 648.0	1	9/19/90	+ 300.00
Nature of Change:	Field modification of handrail at 5m and 7.5m platform at juncture of spiral stair rails.			
Reason for Change:	Conflict in railing alignments.			
SPARKS TERRELL LUMBER CO. LEXINGTON	LEXINGTON COMMUNITY COLLEGE HANDICAP DOORS Project No. 649.0	1	7/9/90	+ 356.55
Nature of Change:	Replace hard wired touch plates with radio transmitted touch plates; install key stitch flush in new automatic operator or door jamb.			
Reason for Change:	To move surface mounted conduit from face of granite.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	6	7/23/90	+ \$ 670.37
Nature of Change:	Correct problems with the chiller and boilers.			
Reason for Change:	Work was required by the electrical contractor to reconnect the existing control wiring which was disconnected during the demolition work in an attempt to place the chiller and auxiliary equipment in operation without assistance from the temperature control subcontractor. The controls interlocking with the pump starters was not anticipated or addressed on the plans.			
M. B. MCGREGOR COMPANY LEXINGTON	STUDENT CENTER BALLROOM REDECORATION Project No. 686.0	1	8/3/90	+ 3,093.00
Nature of Change:	Rete existing conduit in areas of ceiling exposed during spline ceiling removal. Pull ground wire outlets in Grand Ballroom. Replace romex wire to outlets with 3/4" EMT conduit and proper wiring.			
Reason for Change:	All changes were required by State Fire Marshal.			
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING LO-RISES CONCRETE COLUMNS REPAIR Project No. 693.0	1	8/6/90	+ 1,243.33
Nature of Change:	Repair existing demolition at columns I-59 & X-59. Replace 18 sq. feet of existing damaged paving to match existing. Replace damaged cooper drain with PVC.			
Reason for Change:	Repair demolition/damage by others.			
T-N-T LAWN IRRIGATION HARRODSBURG	BASEBALL FIELD IRRIGATION Project No. 706.0	1	7/11/90	+ 3,765.13
Nature of Change:	Add two zones to areas of infield.			
Reason for Change:	These areas need to be watered separately from the grass areas, in order not to cause problems with the playing surface.			
SPARKS-TERRELL LUMBER CO. LEXINGTON	STUDENT CENTER THEATER REDECORATION Project No. 713.0	1	8/3/90	- 897.00
Nature of Change:	Utilize existing 2" conduit from main switchgear to Room 212A for feeding new power panel in lieu of new conduit as shown on drawings.			
Reason for Change:	Credit possibility was discovered after construction began.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
POWERS ELEVATOR CO. LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY Project No. 852.0	1	8/20/90	+ \$ 1,172.00
Nature of Change:	Provide tap at existing switchboard to furnish and install a Time Mark Controls under voltage relay.			
Reason for Change:	Automatic sequencing of the automatic transfer switches to lower the elevators during power outages.			

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
RUSHER CONSTRUCTION OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	\$ 36,80	7/02/90
CAREY CONSTRUCTION CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT Project No. 635.0	36,230	7/09/90
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMMUNITY COLLEGE Project No. 425.0	1,501,733	7/11/90
MCKINNEY DRILLING LOUISVILLE	BUSINESS & ECONOMICS BUILDING Project No. 587.0	295,963	7/25/90
D. W. WILBURN LEXINGTON	GATEWAY SIGNAGE - CORNER OF ROSE & MAXWELL STREETS Project No. 473.0	34,900	7/25/90
P & R ROOFING & SHEET METAL LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT PHASE I Project No. 496.0	170,550	7/25/90
MCKINNEY DRILLING LOUISVILLE	BUSINESS & ECONOMICS BUILDING Project No. 587.0	295,963	7/25/90
D. W. WILBURN LEXINGTON	4-H LEADERSHIP TRAINING CENTER PHASE II Project 333.2	510,200	7/30/90
TRS, INC., dba SECOA MINNEAPOLIS, MN	MADISONVILLE COMMUNITY COLLEGE THEATER FIRE CURTAIN Project No. 690.2	53,099	8/08/90



VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS  
(CONTINUED)

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
DENHAM-BLYTHE LEXINGTON	PRESTONSBURG COMMUNITY COLLEGE LRC/TECHNICAL BUILDING Project No. 471.0	\$ 2,006,586	8/08/90
BLUEGRASS LANDSCAPE DESIGN LEXINGTON	AQUATIC CENTER LANDSCAPING Project No. 598.1	27,350	8/08/90
TILFORD CONTRACTORS PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	147,401	8/14/90
ATLAS METAL PRODUCTS LOUISVILLE	ROBOTIC CENTER MODIFICATIONS Project No. 431.6	3,394	8/14/90
T-N-T LAWN IRRIGATION HARRISBURG	BASEBALL FIELD IRRIGATION Project No. 706.0	21,021	8/27/90
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL COOLING TOWER REPAIR Project No. 655.0	45,885	8/30/90
SPARKS-TERRELL LUMBER CO. LEXINGTON	LEXINGTON COMMUNITY COLLEGE HANDICAP DOORS Project No. 649.0	6,342	8/30/90
M. B. MCGREGOR LEXINGTON	STUDENT CENTER BALLROOM REDECORATION Project No. 686.0	110,434	8/30/90
AKERS & AKERS DANA	HAZARD COMMUNITY COLLEGE LRC BUILDING Project No. 469.0	2,347,411	9/12/90
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II Project No. 304.1	83,381	9/12/90
LEXINGTON FIRE PROTECTION LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.1	34,500	9/17/90
LAGCO LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.1	105,300	9/17/90
ATLAS METAL PRODUCTS LOUISVILLE	HOSPITAL EXPANSION PHASE II Project No. 304.1	10,045	9/17/90
HIBBITTS CONSTRUCTION LONDON	PORTER CHURCH DEMOLITION Project No. 739.0	63,423	9/17/90
SHERWIN WILLIAMS HAZARD	HAZARD COMMUNITY COLLEGE FLOOR TILE Project No. 642.0	8,405	9/24/90

Office of the President  
January 22, 1991

FCR 4

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration of the Lexington Campus be authorized to enter into a lease agreement between the University of Kentucky and Appalachian Regional Healthcare, Inc. of Lexington, Kentucky, that is effective July 1, 1991 through June 30, 1994 at a rental rate of \$273,053 per annum for 25,687 square feet of space for offices, classrooms, and classroom laboratories, and 100 parking spaces at the Appalachian Regional Healthcare Hospital in Hazard, Kentucky, for use by the University's Rural Health Care Program.

Background: In 1990 the General Assembly approved Senate Bill 239 which directed the University of Kentucky to establish a Center of Excellence for Rural Health, and appropriated funds for the Center. The Center, according to the law, has several objectives for improving health delivery systems including: (1) the establishment of a family practice residency program at a regional public medical center with outreaching clinical practice sites; (2) development of an accelerated program that will enable registered nurses with associate degrees to pursue master's degrees; (3) coordination of the placement of emergency medicine clinical residents in rural hospitals; (4) establishment and coordination of associate and bachelor degree programs in physical therapy and laboratory technology in rural areas; (5) establishment of library and other informational services related to health manpower needs, health care delivery research, policy formation, and health problems unique to rural populations. In response to this need, an advertisement was placed in the Lexington Herald-Leader and the Wolfe County News in Campton, Kentucky, seeking the lease of space for office/classroom/classroom laboratories accompanied by parking spaces. Only the one response from Appalachian Regional Healthcare was received.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

Office of the President  
January 22, 1991

FCR 5

Members, Board of Trustees:

PROPOSED GIFT FROM MARION PEPSI-COLA BOTTLING COMPANY, INC.

Recommendation: that the Administration be authorized to negotiate with Mr. Harry Crisp and officials of the Marion Pepsi-Cola Bottling Company with respect to the terms of a proposed gift of property and buildings in Paducah, Kentucky.

Background: Marion Pepsi-Cola Bottling Company and its majority shareholder, Mr. Harry Crisp of Marion, Illinois, have announced an intention to give the University the existing plant in Paducah, Kentucky. This facility is to be donated for use by Paducah Community College to serve the higher education needs of the Paducah region. The property consists of a multi-structure building complex on approximately 7.102 acres of land; it has been valued at approximately \$4,000,000.00.

Lawyers for the donors and for the University are currently working through the complexities occasioned by the tax laws and hope to have the transaction ready for presentation to this Board at its next regular meeting on March 5, 1991.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

Office of the President  
January 22, 1991

**FCR 6**

Members, Board of Trustees:

Ward O. Griffen Endowed Chair in Surgery

Recommendation: that the Board of Trustees establish in the College of Medicine, Department of Surgery, the Ward O. Griffen Endowed Chair in Surgery to honor Dr. Griffen, past Chairman of the Department of Surgery.

Background: The purpose of the Ward O. Griffen Endowed Chair is to provide to the Department of Surgery a distinguished scholar to promote a high level of excellence and enrich the academic activities of the Department of Surgery at the University of Kentucky Albert B. Chandler Medical Center. Support of this chair is provided by a contribution of \$250,000 from the Department of Surgery and \$257,428 from the College of Medicine Dean's Office and contributions from over ninety current and former faculty, residents, and colleagues of Dr. Griffen.

Dr. Ward O. Griffen became Chairman of the University of Kentucky Department of Surgery in 1967. A New Orleans native, he attended medical school at Cornell University and completed his surgical residency and Ph.D. at the University of Minnesota. He came to the University of Kentucky after serving on the faculty at the University of Minnesota.

After serving as Chairman of the University of Kentucky Department of Surgery for seventeen years, Dr. Griffen resigned to accept the position of Executive Director of the American Board of Surgery. He is nationally recognized as a prominent surgical educator and administrator. Dr. Griffen's contribution to surgical literature includes more than three hundred manuscripts, articles and book chapters. His contribution to careers and training of his colleagues is equally as significant. As an expert clinician and compassionate and empathetic friend to his patients, Dr. Griffen has forever influenced the lives and careers of hundreds of medical students and surgery residents.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991.

Office of the President  
January 22, 1991

**FCR 7**

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: David F. Hildebrand, Thomas R. Kemp, Roger Andersen and John H. Loghrin, Serial No. 07/416,674, filed October 5, 1989.

Background: David F. Hildebrand, Thomas R. Kemp, Roger Andersen and John H. Loghrin have invented certain improvements in METHOD OF REDUCING ODOR ASSOCIATED WITH HEXANAL PRODUCTION IN PLANT PRODUCTS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action: Approved  Disapproved  Other

Date: January 22, 1991

## ASSIGNMENT

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Serial Number: 07/416,674

Filed: October 5, 1989

Inventors: David F. Hildebrand, Thomas R. Kemp,  
Roger Andersen and John H. Loghrin

Title: Method of Reducing Odor Associated with  
Hexanal Production in Plant Products

WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, including all foreign rights and priority rights, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest in any and all Letters Patent of the United States which may be issued or granted including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, on or as a result of the application herebefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 22nd day of January, 1991.

BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

S E A L

  
Chairman

ATTEST:

  
Secretary, Board of Trustees

Office of the President  
January 22, 1991

FCR 8

Members, Board of Trustees:

INTELLECTUAL PROPERTY RIGHTS ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of technical know-how and trade secret Intellectual Property Rights in certain new and useful advances in the development of enzyme-linked immunosorbent assays, called ELISA tests made by faculty members David S. Watt, Thomas (NMI) Tobin, and Hsin-Hsiung Tai.

Background: David S. Watt, Thomas (NMI) Tobin, and Hsin-Hsiung Tai have developed an immunoassay technology. In June 1990 the rights to use this technology in racing horses and canines were assigned to the University and subsequently to its designated agent, The University of Kentucky Research Foundation. Rights to use the technology in humans has now been assigned to the University. In accord with University policy, it is recommended that these additional rights now be assigned to the University of Kentucky Research Foundation for the purpose of commercialization and protection.

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Action: Approved  Disapproved  Other

Date: January 22, 1991

## A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the Property Rights of David S. Watt, Thomas (NMI) Tobin and Hsin-Hsiung Tai relating to advances in the technology of ELISA immunoassay test kits for testing of drugs in humans and in and to any and all Letters Patent of the United States and foreign countries which may later be obtained therefor;

AND, WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to said Property Rights and in and to any and all Letters Patent of the United States and foreign countries which may later be obtained therefor;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to said Property Rights, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries; the Property Rights and any Letters Patent to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Property Rights remain and any Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made;

AND THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of the country or countries foreign to the United States whose duty it is to issue patents on



applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors or assigns, as the sole owner of the Property Rights covered thereby, any and all such Letters Patent, and in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 22nd day of January, 1991 .



S E A L

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

ATTEST:

Secretary, Board of Trustees

Office of the President  
January 22, 1991

**ICR 1**

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the Report of Changes in Investments for the University of Kentucky and its affiliated corporations for the three months ended December 31, 1990 be accepted.

Background: The Report of Changes in Investments is submitted to the Board of Trustees each quarter. The attached report reflects investment transactions for the three months ended December 31, 1990, for the University of Kentucky and affiliated corporations which include UK Athletics Association, The Medical Center Fund, UK Research Foundation, UK Business Partnership Foundation, UK Mining Engineering Foundation, UK Equine Research Foundation, and UK Humanities Foundation.

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Action taken: Approved  Disapproved  Other

Date: January 22, 1991

University of Kentucky  
REGULAR ENDOWMENT POOL  
Report of Changes in Investments  
For the Three Months Ended December 31, 1990

PURCHASES:	<u>Shares</u>	<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
	6,230		Anheuser Busch Inc.	\$ 246,913
	1,020		Automatic Data Processing Inc.	54,982
	400		Esco Electric Corporation	1,923
	2,490		Emerson Electric Company	79,207
	750		Gulf States Utilities	93,813
	1,430		Honeywell Inc.	114,779
	2,020		International Business Machines	225,581
	1,040		Marsh & McLennan	70,082
	1,430		McDonalds Corporation	40,559
	2,280		Nestle S A	132,763
	420		Rhone Poulenc Rorer Inc.	25,706
	420		Rhone Poulenc Right	4,549
	11,080		Salmon Brothers Fund Inc.	118,823
	970		San Diego Gas & Electric Company	42,879
	820		Sherwin Williams Company	28,006
	1,990		U. S. West Inc.	73,535
	1,690		Unilever N V New York	152,228
	3,520		United Telecommunications Inc.	96,078
		\$ 220,000	USTN, 8.250%, 11/15/1994	225,294
		\$ 275,000	USTN, 7.875%, 11/15/1999	273,324
			TOTAL PURCHASES	<u>\$ 2,101,024</u>

University of Kentucky  
REGULAR ENDOWMENT POOL  
Report of Changes in Investments  
For the Three Months Ended December 31, 1990

SALES/MATURITIES:

<u>Shares</u>	<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/(Loss)</u>
440		American Int'l. Group Inc.	\$ 34,944	\$ 6,136
4,006		Banc One Corp.	97,042	21,835
2,160		Bell Atlantic Corporation	120,020	21,850
1,920		Biomet Inc.	71,567	66,020
2,000		Browning Ferris Industry Inc.	53,760	(17,502)
1,220		Burlington Resources Inc.	46,956	12,936
210		Esco Electric Corporation	1,877	(45)
2,790		General Electric	151,178	35,487
1,650		General Motors Corporation	63,820	28,680
1,290		Henley Pptys. Inc.	3,442	(8,719)
	\$ 65,000	Liebert Corporation	78,643	(18,961)
3,370		MCI Communications	91,703	(41,626)
1,240		Meville Corporation	41,627	(16,270)
1,620		Motorola Inc.	83,215	5,577
1,020		Nynex Corporation	73,903	(7,396)
3,310		Penn Central Corp.	58,413	9,763
2,010		Sara Lee Corporation	63,801	3,863
4,110		Student Loan Marketing Association	167,200	8,131
	\$ 526,961	Thoroughbred Group Prime Obligation - D	526,961	0
		<b>TOTAL SALES/MATURITIES</b>	<b>\$ 1,830,072</b>	<b>\$ 109,759</b>

University of Kentucky  
 FIXED INCOME POOL  
 Report of Changes in Investments  
 For the Three Months Ended December 31, 1990

PURCHASES:

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 500,000	U.S. Treasury Notes, 8.25%, 11/15/1994	\$ 497,891
2,030,000	U.S. Treasury Notes, 8.50%, 02/15/2000	1,988,766
1,529,310	Thoroughbred Group Prime Obligation - D	<u>1,529,310</u>
	TOTAL PURCHASES	<u>\$ 4,015,967</u>

University of Kentucky  
**INTERCOLLEGIATE ATHLETICS FUND**  
 Report of Changes in Investments  
 For the Three Months Ended December 31, 1990

PURCHASES:

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 14,910	Thoroughbred Group Prime Obligation - D	\$ 14,910
	TOTAL PURCHASES	\$ 14,910

University of Kentucky  
 MONEY MARKET POOL  
 Report of Changes in Investments  
 For the Three Months Ended December 31, 1990

SALES/MATURITIES:

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>
\$ 2,192,794	Thoroughbred Group Prime Obligation - D	\$ 2,192,794

University of Kentucky  
 Report of Changes in Short Term Investments  
 For the Three Months Ended December 31, 1990

SALES/MATURITIES:

Par Value	Security	Proceeds
\$ 6,760,000	U.S. Treasury Bills, 8.12%, 11/23/90	\$ 6,760,000
4,165,000	U.S. Treasury Bills, 8.37%, 10/25/90	<u>4,165,000</u>
	TOTAL SALES/MATURITIES	<u>\$ 10,925,000</u>