

AGENDA

**Meeting of the Board of Trustees
University of Kentucky
1:00 P.M.
March 3, 1998**

Invocation

Roll Call

Approval of Minutes

President's Report and Action Items

- PR 1 President's Report to the Trustees
 - A. College of Law Report

- PR 2 Personnel Actions

- PR 3 Central Administration
 - A. Proposed Amendments to the Governing Regulations
 - B. Honorary Degree Recipients

- PR 4 Community College System (No items to report)

- PR 5 Lexington Campus (No items to report)

- PR 6 Medical Center (No items to report)

Finance Committee

- 1. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 1998
- 2. Capital Construction Report
- 3. Authorization to Execute Ground Lease
- 4. E. T. Arington Gift and Pledge
- 5. Estate of Lucy George Thomas Booker
- 6. Cisco Systems, Inc., Gift-In-Kind

Tour William T. Young Library

Minutes of the Meeting of the Board of Trustees of the University of Kentucky,
Tuesday, March 3, 1998.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 3, 1998 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Ted Bates.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Ted Bates, Mrs. Kay Shropshire Bell, Governor Edward T. Breathitt (Chairperson), Ms. Melanie Cruz, Mr. Merwin Grayson, Mr. John "Jack" Guthrie, Mr. James F. Hardyman, Professor Loys L. Mather, Dr. Robert P. Meriwether, Mr. Billy Joe Miles, Dr. Elissa Plattner, Professor Daniel R. Reedy, Mr. C. Frank Shoop, Dr. W. Grady Stumbo, Mr. Martin Welenken, Ms. JoEtta Y. Wickliffe, and Mr. Billy B. Wilcoxson. Absent from the meeting were Mr. Paul W. Chellgren and Mr. Steven S. Reed. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors James W. Holsinger, and Elisabeth Zinser; Vice Presidents Joseph T. Burch, Fitzgerald Bramwell, Edward A. Carter, George DeBin and Eugene Williams; Dr. Ben W. Carr, Assistant to the President for Administrative Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Director of Athletics, and Mr. Richard E. Plymale, General Counsel.

Members of the various news media were also in attendance. A quorum being present, the Chairperson declared the meeting officially open for the conduct of business at 1:03 p.m.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the Board meeting on January 20, 1998 had been distributed and asked for any additions or corrections. Mr. Welenken moved that the Minutes be approved as distributed. Mr. Shoop seconded the motion, and it carried.

D. President's Report to the Board of Trustees (PR 1)

President Wethington called attention to the following items in PR 1:

1. Thomas Kelly, behavioral science, participated in the January Space Shuttle by launching a computer program that monitored the crew's behavior and mood.
2. The University of Kentucky wins design competition for Downtown Ludlow Proposal. The departments of horticulture and landscape architecture and the architecture and historic preservation graduate programs combined to win four of five awards given by the city of Ludlow.
3. The Law students win the regional mock trial contest and will participate in the national championship March 4-7 in San Antonio, Texas.
4. The College of Engineering students have constructed a blue and white Formula race car and will compete against some 90 teams from the United States, Canada, Mexico and Great Britain in May.
5. The James Still Fellowship is available to graduate students entering UK in the fall in humanities or social sciences.
6. Four members have been inducted into the Business and Economics Hall of Fame including a member of the Board, C. Merwin Grayson, Jr. President Wethington offered his congratulations to William W. "Bill" Ecton, David S. Jordan, Gary B. Knapp and particularly to C. Merwin Grayson, Jr. for being inducted into the UK Business and Economics Hall of Fame.
7. The Donovan Scholars Program attracted 287 academic students and 957 special-classes students during this last calendar year. This is a program that does allow free tuition for individuals 65 and older.
8. Kristina Talbert, an agricultural biotechnology and Russian sophomore from Henderson, has received the Edward T. Breathitt Undergraduate Lectureship award.

President Wethington asked the members to read the other items in the report at their leisure.

E. College of Law Report

President Wethington said that he had asked Dean David Shipley, College of Law, to make a presentation to the Board. He said that the University of Kentucky has an excellent law school and is making great progress every year. He asked Chancellor Zinser to introduce Dean Shipley.

Chancellor Zinser said she was very pleased to have a report on one of the very fine colleges presented to the Board for discussion and also pleased to have the chance to introduce Dean David Shipley. She briefly reviewed his credentials and said the University was lucky to have him as its Dean of the College of Law.

Dean David Shipley introduced Mary Buckles, Director of Development, and Kurt Metzmeier, Coordinator of Information Systems, from the College of Law and said that they would be assisting him with his presentation. He began his report by presenting a number of facts about the history of the College including the school's first woman graduate in 1917, the integration of the College in 1949 and the first African-American graduate in 1955, the move into the current law building in 1965 and the resulting growth in enrollment, and the establishment of the College's Visiting Committee in the late 1970s. He also highlighted several aspects of the school's academic program including degree offerings, the school's fine library and computer technology, two student journals, advocacy programs, Continuing Legal Education, tuition, enrollment, the diversity of the faculty, and the College's base of alumni support.

Dean Shipley then compared the College of Law with the nation's highly ranked public law schools as well as with the law schools at the nation's top twenty public research universities. He asserted that UK's law school was well positioned to enhance its national stature and become one of the nation's top public law schools as the University's overall ranking climbed in the coming years. He explained that the College of Law's potential for advancement was very good because of the outstanding students, a tradition of excellent teaching, a productive faculty doing research which has a very tangible impact on shaping public policy in the Commonwealth and the nation, University investment, alumni in leadership positions throughout the state and the nation, outstanding private support from the College's alumni and friends, and the foundation for innovative new programs in partnership with other colleges and departments at UK.

Dean Shipley emphasized the results of the University's investments in the College since 1993 as well as some of the College's successes in private fund-raising. He also responded to several questions about admissions and enrollment and concluded by saying that there were many reasons to be optimistic about the law school's future. He asked Mary Buckles and Kurt Metzmeier to distribute packets containing additional information about the College of Law to the members of the Board.

President Wethington and Governor Breathitt thanked Dean Shipley for making his presentation, following which Dean Shipley received a round of applause.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Shoop moved approval. The motion, seconded by Mr. Wilcoxson, carried. (See PR 2 at the end of the Minutes.)

G. Proposed Amendments to the Governing Regulations (PR 3A)

President Wethington said PR 3A is the recommendation that proposes amendments to the Governing Regulations of the University. He noted that these regulations are attributable to the changes that have been made in the management of the community colleges. Since the substantive change process was approved by the Southern Association and since the Kentucky Community and Technical College System (KCTCS) Board took action to accept the delegation of the management of the 13 community colleges, certain changes in the university's regulations must occur to reflect Lexington Community College's new status. These changes in the Governing Regulations are designed to fix the management responsibilities for Lexington Community College since Lexington Community College is no longer managed by the Chancellor of the Community College System. The net impact of these changes is to assign the management responsibilities of Lexington Community College to the Chancellor of the Lexington Campus. He reminded the Board that the proposed changes in the Governing Regulations must remain on the table for at least one month. During this time, the proposed changes will go out to the Lexington Community College, the Senate Council and others on campus for their review. The proposed amendments to the Governing Regulations will then come back to the Board at the April meeting. President Wethington said he was pleased to recommend PR 3A to the Board for their consideration. On motion made by Mr. Miles, seconded by Mr. Bates and carried, PR 3A was approved. (See PR 3A at the end of the Minutes.)

H. Honorary Degree Recipients (PR 3B)

President Wethington said PR 3B is asking that approval be granted to awarding the honorary degree of Doctor of Letters to John Hope Franklin, the Doctor of Science to James Francis Glenn, the Doctor of Letters to Reverend Theodore M. Hesburgh, the Doctor of Humanities to Cawood Ledford, and the Doctor of Arts to Lucille Caudill Little. President Wethington noted that if the Board votes favorably on the recommendation, Father Hesburgh will receive his honorary degree at the William T. Young Library dedication on April 3, 1998, and the honorary degrees for the other four individuals will be awarded at the Commencement celebration in May. He reminded the

Board that Lucille Little was approved by the Board last year; however, she was not able to be present. One of the University's conditions of acceptance is that the individual be present for the awarding of an honorary doctorate. Mrs. Little can attend the Commencement celebration in May 1998 and very much wanted to be considered again. All five of these individuals have been contacted and are willing to be honored and accept the degree. He said he was pleased to recommend PR 3B. Mr. Bates moved approval. His motion, seconded by Mr. Wilcoxson, passed. (See PR 3B at the end of the Minutes.)

Professor Reedy mentioned that there was an hour long documentary on John Hope Franklin on one of the local cable channels recently. He said it was an absolutely stellar piece of work on his history as an Afro-American historian. Mrs. Plattner noted that Mr. Franklin also is heading, by President Clinton's proclamation, the study on racism in American culture which is an enormous task.

President Wethington said there were no action items from the Community College System other than one item which is a part of the Finance Committee report and there were no items from the Lexington Campus or the Medical Center.

I. Acceptance of Interim Financial Report for the University of Kentucky for the Seven Months Ended January 31, 1998 (FCR 1)

Mr. Hardymon reported that the Finance Committee met and considered six items. FCR 1 is the acceptance of the Interim Financial Report. He noted that the Financial Report is going through some changes. The 1997-98 section, both on the balance sheet and the revenue and expenditure sheets, reflects the deletion of the Community College System portion. He explained that the report excludes the assets, liabilities, fund balances, revenues and expenditures for the Community College System and reduces the University budget by the amount of the Community College System budget for fiscal 1997-98. The 1996-97 figures contain the full Community College System along with the other affiliates of the University of Kentucky. The figures in the report for 1996-97 that include the operations of the Community College System are historically accurate and will not be restated. He said it was important to point out that there is no negative impact on cash flow which is extremely important since revenue must be received before the money is spent. Most of the revenues come from tuition and quarterly from the state. The percentages are still very close to those in the past. He said that the balance sheet and cash flow are in good shape. He moved approval of FCR 1. The motion was seconded by Mrs. Bell and passed. (See FCR 1 at the end of the Minutes.)

J. Capital Construction Report (FCR 2)

Mr. Hardymon stated that FCR 2 is the quarterly Capital Construction Report for the three months ending December 31, 1997. He called attention to the fact that the report provides some description of amendments, particularly those which involve funds,

and continues to provide data on the percent of completion, contract awards, and competitive bids. He said that FCR 2 is in good shape, and he recommended approval. Mr. Welenken seconded the motion, and it carried. (See FCR 2 at the end of the Minutes.)

K. Authorization to Execute Ground Lease (FCR 3)

Mr. Hardymon said that FCR 3 involves asking the Board for authorization to execute a ground lease at the Owensboro Community College. The Daviess County Extension District Board proposes to build an Extension Building on the campus of the Owensboro Community College. This will be a relatively small facility of 7,500 square feet. He said the lease will be renewable for a total of 75 years at a dollar per year. He recommended approval of FCR 3. Mr. Shoop seconded the motion, and it passed. (See FCR 3 at the end of the Minutes.)

L. E. T. Arington Gift and Pledge (FCR 4)

Mr. Hardymon stated that FCR 4, 5, and 6 involve gifts and pledges. FCR 4 is the E. T. Arington gift and pledge. Mr. Arington resides in Cincinnati, Ohio and is a long-time supporter of the University of Kentucky Athletics program. The gift is \$142,500 and the pledge is \$57,500 for a total of \$200,000. Mr. Arington's gift completes the fundraising for the \$1.5 million outdoor tennis stadium which is adjacent to the four-court indoor center. He recommended approval of FCR 4. Ms. Wickliffe seconded the motion, and it passed. (See FCR 4 at the end of the Minutes.)

M. Estate of Lucy George Thomas Booker (FCR 5)

Mr. Hardymon said Mrs. Lucy George Thomas Booker lived in Tennessee but was proud of her Kentucky heritage, having spent much of her earlier life in Hopkinsville. He noted she was not a graduate of the University of Kentucky nor the community college. Her estate leaves the Hopkinsville Community College an endowed scholarship fund of \$253,284.91. He recommended approval of FCR 5. Mr. Guthrie seconded the motion, and it carried. (See FCR 5 at the end of the Minutes.)

N. Cisco Systems, Inc., Gift-In-Kind (FCR 6)

Mr. Hardymon stated FCR 6 is a gift-in-kind of communications equipment valued at \$1,720,000 from Cisco Systems, Incorporated to the William T. Young Library building project. Cisco Systems is a San Jose, California firm in the general business of "internetworking," a rather large firm, and a leader in technology. This is a gift of a system that is very much needed. It gives the students, staff and faculty the ability to seamlessly access multimedia library resources locally and worldwide. This gift comes to the University at an excellent time. He moved approval of FCR 6. His motion, seconded by Ms. Cruz, passed. (See FCR 6 at the end of the Minutes.)

O. Investment Committee Report

Mr. Wilcoxson, Chairperson of the Investment Committee, reported that the Committee met today and the University's investment programs are on track and performing well. He stated the small cap manager, Wellington Management Company, made a presentation at today's meeting and that their performance has been very good. The total market appreciation for the endowment for the last six months has been nearly \$12 million. As of December 31, 1997, the University's endowment at market value was \$213 million.

He mentioned the University had received a report on the National Endowment Study for the fiscal year ending June 30, 1997, and UK was ranked in the twenty-third percentile ranking (upper one-fourth) for all schools. Comparatively speaking this is a very good ranking.

Governor Breathitt expressed appreciation for the fine work of all committees, particularly the Investment and Finance Committees.

P. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 1:48 p.m.

Respectfully submitted,

Merwin Grayson
Secretary
Board of Trustees

(PR 2, 3A, and 3B, FCR 1, 2, 3, 4, 5, and 6 which follow are official parts of the Minutes of the meeting.)

Office of the President
March 3, 1998

1. Rupp Arena Agreement Reached With Lexington Mayor

Lexington Mayor Pam Miller and the University have announced that they are ready to seek approval of a proposed agreement to keep UK basketball at Rupp Arena. This agreement also provides UK the opportunity to plan for the future needs of its basketball program. The proposal contains two key elements: a re-negotiation of UK's lease at Rupp and an option for the University to buy the arena and/or Lexington Center after July 1, 2000.

2. Medical Center Researcher Participates in Shuttle Flight

Thomas Kelly, behavioral science, participated in the January Space Shuttle flight by launching a computer program that monitored the crew's behavior and mood. Kelly worked in collaboration with the Johns Hopkins University School of Medicine and other scientists to create the software. Kelly and his colleagues taught the crew how to operate the performance assessment tests last year. These tests are an important part of NASA's plan for sending astronauts into space for long-term missions.

3. UK Wins Design Competition for Downtown Ludlow Proposal

The departments of horticulture and landscape architecture and the architecture and historic preservation graduate programs combined to win four of five awards given by the city of Ludlow. The city held a competition for design proposals for the Ludlow downtown district. More than 30 organizations participated. Jan Schach, landscape architecture; Michael Pride-Wells and Mark O'Brien, architecture; and Jed Porter, historical preservation, organized UK's participation.

4. Heart Patients Receive Gift of Love on Valentine's Day

Dr. Juan Sanchez, heart transplant program, performed two heart transplants on Valentine's Day when two donor hearts became available. The first heart transplant was performed on a 46-year-old Eastern Kentucky man who had an enlarged heart. The patient had been on the heart transplant waiting list since December 8. The second was performed on a 56-year-old Lexington man who had coronary artery disease and an enlarged heart. He had been on the waiting list for two-and-a-half years.

5. Center Develops a Unique Rural Therapy for Eastern Kentuckians

The Center for Drug and Alcohol Abuse has begun a three-year study that will use traditional Appalachian means such as storytelling and religion as methods to combat drug and alcohol abuse in Eastern Kentucky. Hazard, Mount Sterling and Somerset have been chosen as the three study sites. The study is funded by a \$660,000 National Institutes of Health grant.

6. Martin School to Assist Local Disaster and Emergency Services Effort

The Martin School of Public Policy and Administration will help the state Division of Disaster and Emergency Services with local planning. An agreement calls for the school to: (1) conduct focus groups in the 14 DES areas to discuss needs, (2) develop a video to increase awareness of the need for hazard mitigation, (3) conduct workshops in the 14 DES areas for local officials and (4) create a statewide disaster resource center at the school to gather and catalog information needed for mitigation plans. The Commonwealth of Kentucky will provide nearly \$86,000 for the first year of the program.

7. Law Students Win Regional Mock Trial Contest, Head to Nationals

The Sixth Circuit mock trial champions from UK will participate in the national championship March 4-7 in San Antonio, Texas. On the team are third-year law students Kim Bunton-Douglas of Louisville and Jason Reed of Leitchfield. The team won five straight trials at the regional competition which included 14 teams from the sixth circuit's schools in Kentucky, Michigan and Ohio.

8. Engineering Students Build Formula Race Car for Competition

Some 35 members of the Society of American Engineers student chapter have built a Formula race car and will compete against some 90 teams from the United States, Canada, Mexico and Great Britain. The competition, sponsored by the Society of American Engineers, serves as an engineering project as well as the opportunity to work in a team effort. The UK team and its blue and white Formula race car will compete in May in the autocross race.

9. James Still Fellowship Available to Graduate Students

A new graduate fellowship will be available next academic year thanks to an endowment from the Bingham Fund. The James Still Fellowship is available to graduate students entering UK in the fall in humanities or social sciences. Candidates must be graduates of privately-supported colleges in the central Appalachian region and residents of that region. The fellowship will be a 12-month award of \$15,000 plus full tuition.

10. Four Inducted Into Business and Economics Hall of Fame

Four distinguished alumni recently were inducted into the Carol Martin Gatton College of Business and Economics Alumni Hall of Fame. They are William W. "Bill" Ecton, C. Merwin Grayson Jr., David S. Jordan and Gary B. Knapp. Ecton, a native of Winchester, was the first chairman of the College's department of accounting. Grayson, of Covington, is president, chief executive officer and director of Huntington Bank Incorporated. Jordan, of Louisville is a partner in Coopers & Lybrand accounting firm, and Knapp, of Fergus Falls, Minnesota, is a founding partner of Park Acquisitions Incorporated, which owns Park Communications.

11. Donovan Scholars Program Enrollment Up Last Year

The Donovan Scholars Program attracted 287 academic students and 957 special-classes students last calendar year. Enrollment increased by nine percent over 1996 for the program, which offers free academic courses for people 65 and older and non-credit activities for people 60 and older.

12. Art Department to Bring Original Works to Kentucky

The Department of Art has received a Kentucky Arts Council grant to develop a Visual Arts Touring Program. The department will organize a faculty and student exhibition that will travel to at least four Kentucky schools, libraries or community center in the region. The goal of the grant is to bring the works to parts of the state with limited access to original art.

13. Tobacco Institute Reaches Research Agreement With Navy

Gary Girola, Tobacco and Health Research Institute, has reached an agreement with the Naval Medical Research Institute in Dayton, Ohio, to improve an animal smoke exposure apparatus at THRI. In return, THRI will conduct biochemical analysis on animal lung samples that are part of an experiment by the Naval institute.

14. Henderson Sophomore to Give Breathitt Lecture

Kristina Talbert, an agricultural biotechnology and Russian sophomore from Henderson, has received the Edward T. Breathitt Undergraduate Lectureship award. She will present her lecture, "Cultural Identity: Recognizing and Understanding the Qualities that Define Us," at 7 p.m. March 25 at the Center Theater in the Student Center. The lectureship was established by the Gaines Center for the Humanities in 1995 to honor former Kentucky governor and current UK Board of Trustees Chairman Edward T. Breathitt.

15. Block Grant Pays for Owensboro Child Care Center

Owensboro Community College has received a \$225,000 Community Development Block Grant for its child care center. The award is part of \$1 million that U.S. Housing and Urban Development has set aside for nontraditional economic development initiatives in Kentucky. The 6,000-square-foot center is scheduled to open in fall 1999, providing space for 60 children. HUD requires that the center benefit low-income children and that the college provide matching private dollars.

16. College of Medicine Lauded for Application of Information Technology

The Association of American Medical Colleges lauded the UK College of Medicine in *Contemporary Issues in Medical Education* for its use of computers. The AAMC publication praised the college for “finding opportunities to enhance education with computer applications wherever they exist.”

17. Student Awards and Honors

Andria Beeler, Jill Blankenship and Sara Joseph, entomology, received Howard Hughes Research Awards for their work in the insect evolutionary genetics laboratory.

Jeffrey Bewley, Stephanie Falck, Jason Groth, Brittany Lewis, Carrie Lynch, Matthew Metz, Julie Mossbarger, Justin Sexten, Daniel Smith, Jeremy Stull won awards at the Young Farmer’s Convention, sponsored by the Kentucky Farm Bureau.

Several Hazard Community College students won awards from the Kentucky Association of Nursing Students. Pam Bowling won the Student of the Year award, Jeff Fugate won the Health Hero award and Angie Halcomb won the poster contest. A team of Erin Caudill, Alisha Lucas, Tara White and Jennifer Yates won the grand prize in the Kaplan Nursing Bowl, competing against eight other teams.

J. Garland Combs and Ronald Thompson, Hazard Community College Lees College Campus, received one-year, full-tuition scholarships from the Perry County Soil Conservation District to study in the college’s Forest and Wood Technology program.

Nicholas Garland, geology, won the outstanding student paper award in geological sciences at the Kentucky Academy of Sciences annual meeting and also won an award for his paper and poster at the National Association of Academies of Science annual meeting.

James Halley, theater, received the regional Barbizon Award for Theater Design Excellence in lighting design.

18. Significant Faculty and Staff Activities

Eileen Abel, Lexington Community College, will be one of three editors of a book on Kentucky leaders published by the Jesse Stuart Foundation. Students in Abel's 1997 Leadership Academy will write the book.

Michael Andrykowski, behavioral science, was appointed a member of the National Institutes of Health's Behavioral Medicine Study Section.

Allan Butterfield, Center for Membrane Science, received a \$300,000 National Institute on Aging grant to continue work on the brain oxidation that occurs in Alzheimer's disease. The grant will allow the project to extend until 2001.

Steve DeMers, educational and counseling psychology, received the Jack Bardon Distinguished Service Award from the American Psychological Association.

Thomas Foster, pharmacy, was named a fellow of the American Pharmaceutical Association.

Steve Fricker and Karen Main, Center for Rural Health, received a two-year \$300,000 Fund for Rural America grant to implement Community Initiated Decision Making and Kentucky Homeplace in one or two counties.

Robert Gipe, Southeast Community College, received three awards from the Kentucky Oral History Commission for the community college's Appalachian Archives, of which he is director.

Linda A. Goldstein and C.W. Spurlock, Kentucky Injury Prevention Research Center, will have their article, "Kentucky's Child Restraint Law Has Saved Lives: A 20-Year Review of Fatalities Among Children (Aged 0-4) as Motor Vehicle Occupants," published in the March issue of the *Journal of the Kentucky Medical Association*.

Robert Grossman, chemistry, won a \$348,000 National Science Foundation Faculty Early Career Development Award for work on a new method of making synthetic organic compounds.

The Southern Agricultural Economics Association honored several UK professors. Steve Isaacs and Richard Trimble, agricultural economics, received the Extension Distinguished Professional Contribution Award for their Farm Service Agency Borrower program. Jerry Skees, received a teaching award and professor emeritus Eldon Smith won the Lifetime Achievement Award. Mary Marchant, was elected the association's president.

Linda Kuder, Sanders-Brown Center on Aging, was named a Fellow by the Association for Gerontology in Higher Education and will be recognized at the association annual meeting this month.

Chip McLeod, Hazard Community College, had a 12-page article, titled "Not Forgetting the Land We Left: The Irish in Antebellum Richmond," in *Virginia Cavalcade*.

Julia D. Porter, health services, was named to the Health Resources and Services Administration's Bureau of Health Professions peer review panel.

Shirley Raines, education, was elected Association for Childhood Education International president.

Clive Robinson, surgery, was appointed a board member of the International Society of Minimally Invasive Surgeons.

Gwyn Rubio, Lexington Community College, has written a novel, *Icy Sparks*, scheduled to be published in August by Penguin/Viking Press. The title is the main character's name.

John Schuster, special education and rehabilitation counseling, was named *Journal of Behavioral Education* associate editor.

Robert Schwemm, law, was appointed to the Federal Reserve Board Consumer Advisory Council.

Truman Stevens, curriculum and instruction, received a \$51,896 Kentucky Council on Postsecondary Education grant. He and Frank Ettensohn, geology, and Tom Troland, astronomy, will use the grant to work with teachers at Lexington and Nicholasville schools.

Phyllis Wise, physiology, was selected for the Solomon A. Berson Distinguished Lectureship of the American Physiological Society.

PR 2

Office of the President
March 3, 1998

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

0197

CENTRAL ADMINISTRATION

I. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Vice President for Information Systems

Perry, Valerie E., Librarian IV, Public Services Librarian, University Libraries, effective 3/2/98 through 6/30/98.

Vice President for Research and Graduate Studies

Addison, Melissa M., Disability Program Specialist III Trainee, Interdisciplinary Human Development Institute, effective 1/5/98.

Bradford, Jeffrey, Disability Program Specialist III, Interdisciplinary Human Development Institute, effective 1/5/98.

Bruchieri, Nancy C., Disability Program Specialist III, Interdisciplinary Human Development Institute, effective 1/5/98.

Lamb, Mary, Disability Program Specialist III, Interdisciplinary Human Development Institute, effective 1/5/98.

Langholt, Lisa, Disability Program Specialist III, Interdisciplinary Human Development Institute, effective 1/5/98.

Lawrence, Christopher B., Scientist, Tobacco and Health Research Institute, effective 1/15/98.

Shi, Tao, Disability Program Specialist III, Interdisciplinary Human Development Institute, effective 1/5/98.

COMMUNITY COLLEGE SYSTEM

I. **ADMINISTRATIVE ACTION**

A. **ACADEMIC APPOINTMENTS**

Hazard Community College

Carter, Jayne R., Instructor in the Community College System, 1/2/98 through 6/30/98.

Fowler, Roland H., Instructor in the Community College System, 1/2/98 through 6/30/98.

Jefferson Community College

Wright, Mark, Instructor in the Community College System, 1/1/98 through 6/30/98.

Lexington Community College

Binkley, Stacey L., Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Byrd, Regina A., Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Elzey, Barbara E., Instructor in the Community College System, 1/12/98 through 5/31/98.

Fakhrid-Deen, Jashed, Instructor in the Community College System, 1/14/98 through 5/31/98.

Grant, Amy L., Instructor in the Community College System (voluntary), 1/14/98 through 6/30/2001.

Hardin, Michael, Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Livingston, Benjamin, Instructor in the Community College System, 1/2/98 through 5/31/98.

Mize, Teresa, Instructor in the Community College System (voluntary), 1/1/98 through 12/31/2000.

Radcliff, Teresa, Instructor in the Community College System (voluntary),
1/1/98 through 12/31/2000.

Reid, James R., Instructor in the Community College System (voluntary),
1/1/98 through 12/31/2000.

Surber, Mary, Instructor in the Community College System (voluntary),
1/1/98 through 12/31/2000.

Sutton, William David, Instructor in the Community College System, 1/13/9
through 5/31/98.

White, Sharon Z., Instructor in the Community College System, 1/8/98
through 5/31/98.

Madisonville Community College

Allen, Elizabeth S., Instructor in the Community College System, 1/7/98
through 6/30/98.

Smothers, Alan K., Instructor in the Community College System, 1/1/98
through 6/30/98.

Maysville Community College

Wills, Donna M., Instructor in the Community College System, 1/1/98
through 6/30/98.

Owensboro Community College

Bush, Vickie L., Instructor in the Community College System, 1/6/98
through 5/8/98.

Prestonsburg Community College

Potter, Britt C., Instructor in the Community College System, 1/1/98 through
6/30/98.

Thompson, Paula B., Instructor in the Community College System, 1/1/98
through 5/31/98.

Somerset Community College

Blosser, Terry, Instructor in the Community College System, 1/1/98 through
5/31/98.

Brashear, Don, Instructor in the Community College System, 1/1/98 through 5/31/98.

Lair, Tim, Instructor in the Community College System, 1/1/98 through 5/31/98.

Martin, Cheryl, Instructor in the Community College System, 1/1/98 through 5/31/98.

Roberts, Elaine B., Instructor in the Community College System, 1/1/98 through 5/31/98.

Southeast Community College

Mitchell, Anthony L., Instructor in the Community College System, 1/2/98 through 6/30/98.

B. REAPPOINTMENTS

Somerset Community College

Brashear, Judy, Instructor in the Community College System, 1/2/98 through 5/31/98.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Durand, Pascal, Visiting Extension Professor, Agricultural Economics, 1/5/98 through 12/31/98.

College of Arts and Sciences

Haley, Boyd E., Professor (with tenure), Chemistry, effective 1/1/98.

College of Business and Economics

Muralidhar, Krishnamurty, Associate Professor (with tenure), Management, effective 1/1/98.

College of Engineering

Gao, Dayong, Associate Professor (without tenure), Mechanical Engineering, 7/1/98 through 6/30/00.

Van Vuuren, Stefanus Johannes, Visiting Professor, Civil Engineering, 1/1/98 through 12/31/98.

B. ADMINISTRATIVE APPOINTMENT

College of Engineering

Lineberry, G.T., Associate Professor (with tenure), and Assistant to the Dean, appointed Associate Dean for Extended Campus Programs, effective 3/3/98.

C. REAPPOINTMENT

College of Arts and Sciences

Innman, Michael T., Professor (without tenure), Military Science, 7/1/99 through 6/30/01.

D. CHANGES

College of Engineering

Anderson, Kimberly Ward, Associate Professor (with tenure), Chemical and Materials Engineering, to change from Associate Dean for Administration and Undergraduate Affairs, to Associate Dean for Administration and Academic Affairs, effective 3/3/98.

Male, Alan T., Professor (with tenure), Mechanical Engineering, to change from Associate Dean for Research and Graduate Studies, to Associate Dean for Research, effective 3/3/98.

E. RETIREMENTS

College of Business and Economics

McGee, Leray, Professor (with tenure), Economics, after 33.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/97.

Vice Chancellor for Administration

May, Helen, Food Service Worker I, Commons Market, Auxiliary Services, after 18.33 consecutive years of service, under Section III.B of AR II-1.6-1, effective 1/2/98.

Vice Chancellor for Student Affairs

Begin, Betty, Staff Assistant III, Counseling and Testing Center, after 18.9 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/1/97.

F. EARLY RETIREMENTS

Vice Chancellor for Administration

Bailey, Amanda, Food Service Worker III, Student Center Cafeteria, Auxiliary Services, after 23.25 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 2/11/98.

Brannon, Dolores, Food Service Supervisor Senior, Commons Market, Auxiliary Services, after 36.25 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 12/31/97.

Rodgers, Herbert, Electrician III, Electrical Service, Physical Plant Division, after 19 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/30/98.

Woolfolk, Ronald, Custodial Worker IV, Custodial Services, Physical Plant Division, after 28.9 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/30/98.

Vice Chancellor for Student Affairs

Humphrey, Winifred, Executive Assistant, Vice Chancellor's Office, after 18.6 consecutive years of service, under Section III.A.2 of AR II-1.6-1, effective 1/23/98.

G. DISABILITY RETIREMENT

College of Education

Roland, Cheryl, Staff Assistant IV, Kinesiology and Health Promotion, after 19 consecutive years of service, under Section III.D of AR II-1.6-1, effective 12/1/97.

H. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Marshall, Andrew, Laborer (part-time), Forestry, 7/1/98 through 6/30/99.

College of Arts and Sciences

Adams, William Y., Professor (part-time), Anthropology, 1/1/98 through 5/31/98.

Ehmann, William, Professor (part-time), Chemistry, 7/1/98 through 6/30/99.

College of Education

Omvig, Clayton, Professor (part-time), Administration and Supervision, 6/12/98 through 6/30/98 and 7/1/98 through 8/7/98.

Vice Chancellor for Academic Affairs and Research

Walker, John, Special Assistant (part-time), Vice Chancellor's Office, 3/15/98 through 6/30/98 and 7/1/98 through 12/31/98.

Vice Chancellor for Administration

Rodgers, Herbert, Electrician (part-time), Electrical Service, Physical Plant Division, 2/1/98 through 6/30/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Austin, Stephen D., Instructor (part-time), Horticulture and Landscape Architecture, 1/15/98 through 5/31/98.

Matthews, James C., Assistant Professor, Animal Sciences, 1/12/98 through 6/30/98.

College of Arts and Sciences

Ball, John Christopher, Instructor (part-time), Chemistry, 1/1/98 through 5/31/98.

Bindumadhavan, K., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Campbell, Roberta, Instructor (temporary), Sociology, 1/1/98 through 5/31/98.

Clark, Stephen A., Lecturer, Statistics, 1/1/98 through 5/15/98.

Cole, Marsha Paulette, Instructor (part-time), Chemistry, 1/1/98 through 5/31/98.

Dendle, Peter J., Instructor (part-time), English, 1/1/98 through 5/15/98.

Domatov, Elena S., Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.

Durbha, Sreenivas, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Gaeddert, Daniel, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Gazula, Vikram, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Georgeff, Nicholas R., Assistant Professor, Military Science, 7/1/98 through 6/30/01.

Heath, Robin L., Instructor (part-time), Anthropology, 1/1/98 through 5/31/98.

Hulett, Diane N., Instructor (part-time), English, 1/1/98 through 5/15/98.

Inman, William E., Instructor (part-time), Psychology, 1/1/98 through 5/15/98.

Kendrick, Leatha F., Instructor (part-time), English, 1/1/98 through 5/15/98.

Lyon, Marina N., Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.

Martin, Adam, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Reams, Robert, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Reynolds, Sharon M., Instructor (part-time), English, 1/1/98 through 5/15/98.

Schroeder, Sissel, Assistant Professor*, Anthropology, 8/16/98 through 6/30/00.
Williamson, Deborah L., Instructor (part-time), Sociology, 1/1/98 through
5/31/98.

Yang, Dong-Sheng, Assistant Professor, Chemistry, 1/1/98 through 12/31/99.

College of Business and Economics

Martie, Charles W., Instructor (part-time), Economics, 1/1/98 through 5/15/98.

College of Communications and Information Studies

Garrison, Ronald, Instructor (part-time), Journalism and Telecommunications,
1/1/98 through 5/15/98.

College of Education

Clasey, Jody, Assistant Professor, Kinesiology and Health Promotion, 1/1/98
through 6/30/98.

College of Engineering

Weatherly, David C., Assistant Professor (part-time), Mechanical Engineering,
1/15/98 through 5/15/98.

College of Fine Arts

Holdren, Michael, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Laytart, Carla Elizabeth, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Perry, Kristian D., Assistant Professor (temporary), Theatre, 1/1/98 through
5/31/98.

College of Human Environmental Sciences

Epstein, Ann, Instructor (part-time), Family Studies, 1/1/98 through 5/15/98.
Howard, Mary Swigert, Instructor (part-time), Family Studies, 1/1/98 through
5/15/98.
Springate, Kay, Instructor (part-time), Family Studies, 1/1/98 through 5/15/98.

College of Social Work

Price, Roy Stephen, Assistant Professor (part-time), Social Work, 1/1/98
through 5/15/98.

* Special Title Series

B. ADMINISTRATIVE APPOINTMENT

College of Engineering

Donohue, Kevin D., Associate Professor (with tenure), Electrical Engineering, and Acting Chair, Electrical Engineering, reappointed Acting Chair, Electrical Engineering, 1/1/98 through 12/31/98.

C. REAPPOINTMENTS

College of Agriculture

Arnold, Mark C., Instructor (part-time), Horticulture and Landscape Architecture, 1/15/98 through 5/31/98.

Aten, D. Lyle, Instructor (part-time), Horticulture and Landscape Architecture, 1/15/98 through 5/31/98.

Singer, Jeffrey Lee, Instructor (part-time), Horticulture and Landscape Architecture, 1/15/98 through 5/31/98.

College of Architecture

Biagi, David, Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

Huestis, George C., Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

Jacobs, Michael, Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

Mattone, Matthew, Assistant Professor (part-time), Architecture, 1/1/98 through 5/31/98.

Pohl, Graham, Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

Romanowitz, Byron, Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

Stevens, Randall S., Instructor (part-time), Architecture, 1/1/98 through 5/31/98.

College of Arts and Sciences

Ades, Harriet F., Instructor (part-time), Chemistry, 1/1/98 through 5/31/98.

Arnold, Timothy W., Instructor (part-time), English, 1/1/98 through 5/15/98.

Battista, Phillip C., Instructor (part-time), Sociology, 1/1/98 through 5/31/98.

Beasley, Stephanie, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.

Bebensee, George, Instructor (part-time), English, 1/1/98 through 5/15/98.

Brewster, Benjamin, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Brewster, Regina, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

- Buck, Patrick, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
- Clay, Brenda, Instructor (part-time), Anthropology, 1/1/98 through 5/31/98.
- Cooper, John B., Instructor (part-time), English, 1/1/98 through 5/15/98.
- Creasey, Carrie, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.
- Darrat, Suleiman A., Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.
- Duncan, Charles, Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.
- Everts, Nancy, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
- Fritts, Priscilla, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
- Garcia, Virginia, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
- Giles, Donald A., Instructor (part-time), Philosophy, 1/1/98 through 5/31/98.
- Gregory, Robert D., Instructor (part-time), English, 1/1/98 through 5/15/98.
- Grider, B. Gail, Instructor (part-time), English, 1/1/98 through 5/15/98.
- Hardesty, Sharon L., Instructor (part-time), Sociology, 1/1/98 through 5/31/98.
- Ishii, Izumi, Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.
- Jankovic, Vladimir J., Instructor (part-time), Philosophy, 1/1/98 through 5/31/98.
- Kahan, Lee, Instructor (part-time), English, 1/1/98 through 5/15/98.
- Kerr, Jeremy D., Instructor (part-time), Sociology, 1/1/98 through 5/15/98.
- Ketz, Laura, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
- Kondagunturi, R., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.
- Krause, Margaret H., Instructor (part-time), Chemistry, 1/1/98 through 5/31/98.
- Leedham, Cynthia, Instructor (part-time), Sociology, 1/1/98 through 5/31/98.
- Marksbury, Thomas A., Instructor (part-time), English, 1/1/98 through 5/15/98.
- McGinley, Christopher J., Instructor (part-time), English, 1/1/98 through 5/15/98.
- Mehta, K., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.
- Miller, Kimberly A., Instructor (part-time), English, 1/1/98 through 5/15/98.
- Millin, Ann Mann, Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.
- Morley, Elizabeth L., Instructor (part-time), English, 1/1/98 through 5/15/98.
- Muenks, Martha, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Namburi, S., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Natale, Marina, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.

Norman, Charlene, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Norris, Debra G., Instructor (part-time), English, 1/1/98 through 5/15/98.

Otis, Melanie, Instructor (part-time), Sociology, 1/1/98 through 5/15/98.

Perring, Christian D., Instructor (part-time), Philosophy, 1/1/98 through 5/31/98.

Pichard, Leisa D., Instructor (part-time), English, 1/1/98 through 5/15/98.

Pitts, Melissa M., Instructor (part-time), English, 1/1/98 through 5/15/98.

Pruett, J. Lynn, Instructor (part-time), English, 1/1/98 through 5/15/98.

Reece, Erik A., Instructor (part-time), English, 1/1/98 through 5/15/98.

Robinson, Penny S., Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Rosenberg, Gilbert B., Instructor (part-time), Sociology, 1/1/98 through 5/31/98.

Scheibel, Patricia L., Instructor (part-time), English, 1/1/98 through 5/15/98.

Seals, Alvin M., Instructor (part-time), African American Studies, 1/1/98 through 5/15/98.

Shah, H., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Shah, S., Instructor (part-time), Physics and Astronomy, 1/1/98 through 5/31/98.

Shumer, Daniel L., Instructor (part-time), English, 1/1/98 through 5/15/98.

Slaton, Eric R., Instructor (part-time), Russian and Eastern Studies, 1/1/98 through 5/31/98.

Stamatiadis, Ann M., Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.

Suggs, Thomas F., Instructor (part-time), English, 1/1/98 through 5/15/98.

Susman, David T., Instructor (part-time), Psychology, 1/1/98 through 5/15/98.

Thompson, Anita A., Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Thompson, Tammy L., Instructor (part-time), English, 1/1/98 through 5/15/98.

Toumey, Christopher P., Instructor (part-time), Anthropology, 1/1/98 through 5/31/98.

Trumbo, Geoffrey B., Instructor (part-time), English, 1/1/98 through 5/15/98.

Vanderpool, Betty, Instructor (part-time), Mathematics, 1/1/98 through 5/15/98.

Vasquez, Felix, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.

Verburg, John, Instructor (part-time), Sociology, 1/1/98 through 5/31/98.

Wade, Judith C., Instructor (part-time), English, 1/1/98 through 5/15/98.

Wardle, Linda S., Instructor (part-time), Sociology, 1/1/98 through 5/31/98.

Wegrzyn, Joseph F., Instructor (part-time), English, 1/1/98 through 5/15/98.

White, C. Patrick, Instructor (part-time), English, 1/1/98 through 5/15/98.

White, Rebecca, Instructor (part-time), Spanish and Italian, 1/1/98 through 5/15/98.
Wilke, David, Instructor (part-time), Philosophy, 1/1/98 through 5/31/98.
Williamson, John R., Instructor (part-time), English, 1/1/98 through 5/15/98.
Wimp, Ruth, Instructor (part-time), German, 1/1/98 through 5/31/98.
Woodrum, Kim R., Instructor (part-time), Chemistry, 1/1/98 through 5/31/98.

College of Education

Boggs, Mary M., Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.
Haynes, Diane, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.
Leet, Vicki, Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.
Medley, Sandra, Instructor (part-time), Educational and Counseling Psychology, 1/1/98 through 5/15/98.
Moore, Lizabeth, Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.
Muntz, Charlie, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/98 through 5/15/98.
Nuckols, Leslie, Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.
Pickett, Joan, Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.
Sprague, Dennis, Instructor (part-time), Kinesiology and Health Promotion, 1/1/98 through 5/15/98.
Trosper, Ernie, Instructor (part-time), Curriculum and Instruction, 1/1/98 through 5/15/98.

College of Fine Arts

Boswell, Jane, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Chaney, James V., Instructor (part-time), Theatre, 1/1/98 through 5/31/98.
Guodace, Kimberly, Instructor (part-time), Theatre, 1/1/98 through 5/31/98.
Heying, Patti L., Instructor (part-time), Theatre, 1/1/98 through 5/31/98.
Hoffman, Dorotea, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Maxson, Michael, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Johnson, Lucy, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Nelson, Lawrence, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Osland, Lisa, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Pickett, Irwin, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Roller, Jonathan, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Slade, Susan, Instructor (part-time), Art, 1/1/98 through 5/31/98.
Stofer, Jill, Instructor (part-time), Art, 1/1/98 through 5/31/98.

Stucky, Rodney, Instructor (part-time), Music, 1/1/98 through 5/31/98.
Zirkle, Ross, Instructor (part-time), Art, 1/1/98 through 5/31/98.

College of Human Environmental Sciences

O'Malley, Angie, Instructor (temporary), Family Studies, 1/1/98 through 5/15/98.
Popyach, Joan, Instructor (part-time), Nutrition and Food Science, 1/1/98 through 5/15/98.

D. CHANGE

College of Arts and Sciences

Kim, Seongjai, Assistant Professor, Mathematics, to change appointment date from 1/1/98 through 12/31/99, to 8/16/98 through 6/30/00.

E. LEAVES OF ABSENCE

College of Architecture

Groves, J. Russell, Professor (with tenure), Architecture, Leave without pay, 1/1/98 through 12/31/98.
Plymale, Keith D., Associate Professor (with tenure), Architecture, Sabbatical leave with full salary, 1/1/98 through 6/30/98.

College of Business and Economics

Scott, Frank A. Jr., Professor (with tenure), Economics, Sabbatical leave with full salary, 1/1/99 through 6/30/99.
Toma, Mark, Professor (with tenure), Economics, Sabbatical leave with full salary, 1/1/99 through 6/30/99.

F. LEAVES OF ABSENCE CHANGES

College of Agriculture

Jacobs, Charlene, Area Program Director, Cooperative Extension Service, to change from Educational leave with full salary, 1/1/98 through 5/31/98, to Educational leave with full salary, 1/1/98 through 6/30/98.

College of Architecture

Plymale, Keith D., Associate Professor (with tenure), Architecture, to change from Sabbatical leave with full salary, 7/1/97 through 12/31/97, to Sabbatical leave with full salary, 1/1/98 through 6/30/98.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

Ashton, Paul, Professor (voluntary), Ophthalmology, 3/4/98 through 6/30/00.

Bensadoun, Eric S., Assistant Professor*, Internal Medicine, 3/4/98 through 6/30/98.

Noel, Kenneth R., Clinical Assistant Professor, Anesthesiology, 3/4/98 through 6/30/98.

Graduate School

Graziano, Michael J., Associate Professor (voluntary), Graduate Center for Toxicology, 4/1/98 through 6/30/00.

B. JOINT APPOINTMENTS

College of Medicine

Scheff, Stephen W., Professor (with tenure), Anatomy and Neurobiology, joint appointment as Professor (without tenure), Neurology, new joint appointment as Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 3/4/98.

Schmitt, Frederick A., Associate Professor (with tenure), Neurology, joint appointment as Associate Professor (without tenure), Psychiatry, new joint appointment as Associate Professor (without tenure), College of Arts and Sciences, Department of Psychology, effective 3/4/98.

Scutchfield, F. D., Professor (with tenure), Preventive Medicine and Environmental Health, joint appointment as Professor (without tenure), College of Allied Health Professions, Department of Health Services, new joint appointment as Professor (without tenure), Family Practice, effective 3/4/98.

*Special Title Series

C. TENURE

College of Medicine

Davis, Gregory J., Associate Professor* (without tenure), Pathology and Laboratory Medicine, to Associate Professor* (with tenure), Pathology and Laboratory Medicine, effective 7/1/98.

Hutchison, Alastair A., Professor (without tenure), Pediatrics, to Professor (with tenure), Pediatrics, effective 4/1/98.

D. CHANGES

Chancellor's Office

Rodgers, Janet B., from Veterinarian (part-time), Division of Laboratory Animal Resources, to Clinical Veterinarian, Division of Laboratory Animal Resources, effective 3/4/98.

College of Medicine

Hagen, Michael D. from Professor* (with tenure), Family Practice, to Professor (part time), Family Practice, 1/1/98 through 6/30/98.

Owens, Eddie L., Associate Professor* (with tenure), Anesthesiology, from sabbatical leave at full salary, 1/1/98 through 6/30/98, to sabbatical leave at full salary, 3/1/98 through 8/31/98.

E. RETIREMENTS

College of Medicine

Glenn, James F., Professor (part-time), Surgery, after four years, seven months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 3/31/98.

F. EARLY RETIREMENTS

College of Medicine

Woolfolk, Cecil, Lab Technician Pr., MRISC, after 34 years, four months consecutive years of service, under AR II-1.6-1 Section III.A.2, effective 12/31/97.

*Special Title Series

G. POST-RETIREMENT APPOINTMENTS

College of Medicine

Glenn, James F., Professor (part-time), Surgery, 4/1/98 through 6/30/98.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bowling, Chasity, Instructor (voluntary), Health Services, 4/8/98 through 6/30/00.

Gibson, Dana L., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Graham, Scott R., Assistant Professor (voluntary), Health Services, 3/4/98 through 6/30/00.

Haukebo, Noel W., Assistant Professor (voluntary), Health Services, 4/8/98 through 6/30/00.

Schaefer, Robert, Instructor (voluntary), Clinical Sciences, 3/4/98 through 6/30/00.

College of Dentistry

Fraley, Christian S., Assistant Professor (part time), Oral Health Science, 2/1/98 through 6/30/98.

College of Medicine

Aboukoura, Firas, Assistant Professor (voluntary), Internal Medicine, 3/4/98 through 6/30/00.

Armstrong, Eamon C., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.

Barnes, Karen J., Assistant Professor, Family Practice, 3/4/98 through 6/30/98.

Corey, Nicholas G., Assistant Professor, Anesthesiology, 3/4/98 through 6/30/98.

Hailoo, Wajdy, Assistant Professor (voluntary), Preventive Medicine and Environmental Health, 3/4/98 through 6/30/00.

Hoskins, A. B., Assistant Professor (voluntary), Internal Medicine, 3/4/98 through 6/30/00.

Kiefer, Steven P., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Livermont, Ronald E., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Ponder, Robert D., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Post, Steven R., Assistant Professor, Internal Medicine, 3/4/98 through 6/30/98.
Schultz, Richard L., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Sharp, David P., Assistant Professor (voluntary), Emergency Medicine, 3/4/98 through 6/30/00.
Srivatsan, Malathi, Assistant Research Professor, Physiology, 3/4/98 through 6/30/98.
Thompson, James H., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Twyman, Cary L., Assistant Professor (voluntary), Family Practice, 3/4/98 through 6/30/00.
Vidwan, Kamaljeet, Assistant Professor (voluntary), Pediatrics, 3/4/98 through 6/30/00.

College of Pharmacy

Kaplan, Barbara, Instructor (voluntary), 3/4/98 through 6/30/00.
McDevitt, Pamela, Instructor (voluntary), 3/4/98 through 6/30/00.
Pence, Alfred, Instructor (voluntary), 3/4/98 through 6/30/00.
Smith, Walden R., Instructor (voluntary), 3/4/98 through 6/30/00.
Vissing, Rick, Instructor (voluntary), 3/4/98 through 6/30/00.
Wyant, Mary A., Instructor (voluntary), 3/4/98 through 6/30/00.

B. JOINT APPOINTMENTS

College of Pharmacy

Blumenschein, Karen, Assistant Professor*, joint appointment as Assistant Professor*, The Graduate School, Martin School of Public Policy and Administration, 3/4/98 through 12/31/00.

C. REAPPOINTMENTS

College of Pharmacy

Roth, Kimberly, Assistant Adjunct Professor, 7/1/97 through 6/30/98.

*Special Title Series

D. PROMOTIONS WITHOUT TENURE

College of Medicine

Brooks, Paul V., Clinical Instructor, Physical Medicine and Rehabilitation, to Assistant Professor*, Physical Medicine and Rehabilitation, 3/4/98 through 6/30/98.

E. CHANGES

College of Medicine

Carrico, Caroline W., from Assistant Professor (part-time), to Assistant Professor (temporary, part-time), Diagnostic Radiology, 1/26/98 through 6/30/98.

Walker, John R., from Assistant Professor, to Assistant Professor (part-time), Pediatrics, 3/4/98 through 6/30/98.

College of Nursing

Newman, Erin K. from Clinical Instructor, to Instructor (part time), 3/4/98 through 6/30/98.

F. LEAVES OF ABSENCE

College of Medicine

Getchell, Thomas V., Professor (with tenure), Physiology, joint appointment as Professor (without tenure), Surgery, sabbatical leave at half salary, 7/1/98 through 6/30/99.

Peretz, Bertram, Professor (with tenure), Physiology, sabbatical leave at full salary, 10/1/98 through 4/10/99.

Pfeifer, Bernd L., Assistant Professor, Anesthesiology, leave without pay, 2/1/98 through 5/30/98.

*Special Title Series

PR 3A

Office of the President
March 3, 1998

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: that the following amendments to the Governing Regulations of the University of Kentucky be approved.

Amendment #1 - Change Part IV - The University Senate (University System), page 1 (See attached).

Amendment #2 - Change Part VII - University Organization, Pages 1, 2, 7, 8, 9, 13 & 14 (See attached).

Amendment #3 - Change Part VIII - University System Appointments, Pages 1, 2, 3 & 4 (See attached).

Background: The Southern Association of Colleges and Schools (SACS) has approved the substantive change process mandated by House Bill 1 of the First 1997 Extraordinary Session of the Kentucky General Assembly (the Kentucky Postsecondary Education Improvement Act of 1997). On January 14, 1998, management responsibilities for the Community College System were assumed by the Board of Regents of the Kentucky Community and Technical College System. These proposed Governing Regulation changes place the Lexington Community College under the management of the Chancellor of the Lexington Campus.

Action taken: Approved Disapproved Other _____

PART IV

THE UNIVERSITY SENATE (UNIVERSITY SYSTEM)

The University Senate shall be composed of both elected and ex officio membership.

The elected membership shall number ~~103~~ 112, of which ~~85~~ 94 members shall represent the faculty and 18 members shall represent the student body.

The ~~85~~ 94 elected faculty members shall be apportioned each spring among the colleges and the University Libraries according to the following two equally weighted factors based on data for the preceding fall semester: (1) the number of full-time faculty, except those appointed in the extension series (although they are eligible for election to membership), research title series, Medical Center clinical title series, and visiting series, with the rank of assistant professor or higher in the college or the University Libraries; and (2) the number of full-time students enrolled in the college, computed so that students enrolled in the Graduate School shall be assigned to the college in which they are pursuing their studies. Each elected faculty member shall serve for a term of three years and shall be eligible for re-election for a second consecutive term but ineligible for further re-election until one year has elapsed. If a faculty member of the Senate should at any time during a term become ineligible to serve (e.g., by reason of assuming an administrative title, resigning, or taking official leave which precludes attendance), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible faculty who at the last election received the next highest vote to serve for the duration of the elected faculty member's ineligibility.

The 18 elected student membership shall consist of and represent the members of the full-time student body in the various colleges and the Graduate School of the University System. The colleges and the Graduate School each shall have one student representative. Students with no declared major shall be represented through the College of Arts and Sciences. Each elected student member shall be a junior, senior, or graduate or professional student, or in the case of the Lexington Community College a sophomore student, shall not be on either academic or disciplinary probation, shall serve for a term of one year, and shall be eligible for re-election as often as the individual remains a full-time undergraduate, graduate, or professional student in the University System. If a student should at any time become ineligible to serve (e.g., by relinquishing status as a full-time student, being placed on academic probation, or violating the Senate attendance regulations), the administrative head of the group represented shall declare a vacancy and designate that member from the eligible student body who at the last election received the next highest vote to serve for the duration of the elected student member's ineligibility.

PART VII

UNIVERSITY ORGANIZATION

For the purpose of administering the various programs of the University, there shall be established educational and administrative units within the University. All units of the University shall be established, altered, or abolished only on vote of the Board of Trustees. For matters having to do with the organization of the University as it affects academic policies, the Board relies upon the advice of the University Senate ~~and the Senate of the Community College System~~ along with that of the President. It relies upon the advice of the President concerning administrative organization and powers and responsibilities of the officers of the University.

The educational-administrative organization of the University shall be such as to minimize duplication of effort and to enable the University to operate as a single, closely integrated institution, not as a loose association of colleges and departments. Barriers between educational and administrative units shall not be allowed to interfere with the academic purposes of the institution.

A. Educational Organization

1. Definition

The basic organization of the educational units of the University System shall be departments, schools, colleges, graduate centers, and multidisciplinary research centers and institutes.

The unit of the University System for instruction, research, and extension in a defined field of learning is the department, school, or college. All courses shall be given by the regular instructional units. However, interdisciplinary instructional programs may be established to utilize courses and faculty from different departments, schools, and colleges. The chief administrative officer of a department or interdisciplinary instructional program is a chairperson.

The term "school" is used to refer to: (1) the Graduate School; and (2) an administrative unit within a college which is under the general direction of the faculty of that college. The chief administrative officer of a school that is an administrative unit within a college is an associate dean or director.

The Honors Program is an educational unit which is equivalent to a department. Its chief administrative officer is a director who is equivalent to a department chairperson.

A graduate center is an educational unit of an interdisciplinary or multidisciplinary nature, which is equivalent to a department, and is located administratively in the Graduate

School. It is associated exclusively with graduate programs and their attendant courses and research. Its faculty have primary appointments within a college or, in some cases, within the center.

A multidisciplinary research center or institute is an educational unit established for the administration of multidisciplinary programs which are primarily research in nature. Such an educational unit is administratively responsible to the Vice President for Research and Graduate Studies unless the administrative responsibility specifically has been delegated otherwise. The chief administrative officer of a multidisciplinary research center or institute is a director.

In some cases, the terms "bureau" and "office" may be used for units with some research functions, especially when these are not intercollege or interschool in nature.

A college is a major educational unit of the University. Its chief administrative officer is a dean.

The basic organization of the educational units of the Community College System shall be divisions and colleges.

The unit of the Community College System for instruction and community service in related fields of learning is the division. The chief administrative officer of a division is a chairperson.

The individual community colleges are the major educational units of the Community College System. Lexington Community College is a unit of the Community College System; however, it is managed as a unit in the University System of the University of Kentucky and shall be represented in the University Senate. The chief administrative officer of a community college is a president.

2. Academic Ranks

Academic ranks in the University System shall consist of instructor, assistant professor, associate professor, professor, and other ranks that are fully or partially equivalent to these recognized ranks.

Academic ranks in the Community College System shall include Instructor in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, Professor in the Community College System, and other ranks that are fully or partially equivalent to these recognized ranks.

Ranks and special titles and a description of the qualifications for each shall be established by the President after consultation with appropriate administrative and faculty groups, including the Senate Council and/or the Community College Council. Likewise, emeritus

Academic rank shall not be conferred by a multidisciplinary research center or institute nor tenure acquired solely through service in such a unit.

A multidisciplinary research center or institute shall be administratively responsible to the Vice President for Research and Graduate Studies unless specifically designated otherwise. A faculty advisory committee shall be appointed for each research center or institute by the officer to whom the unit is administratively responsible.

8. Faculty Organization in the Community College System and Lexington Community College

a. Faculties of Colleges

The faculty of a community college shall consist of all professional, instructional, library, and administrative personnel. An individual may have appointments to more than one faculty; in such instance, one appointment shall be designated by the appropriate administrative officer (X.B.1) as the primary one. The president of the college shall serve as chairperson of the faculty.

The faculty shall hold regularly scheduled meetings. In addition, it shall meet in special session on the call of the President of the Kentucky Community and Technical College System, the Chancellor for the Community College System, or the president of the college, or at the request of a prescribed number of its membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings shall be made available to all members of the faculty of the college.

Consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System, the faculty of each community college shall establish its own rules, including an organizational structure necessary for the performance of the faculty's functions. After approval of these by the Chancellor for the Community College System, copies of the rules of the faculty and a description of the faculty's committee structure shall be made available to faculty members and a copy filed with the Office of the Chancellor of the Community College System.

Within the limits established by the regulations of the University and the Rules of the Senate of the Community College System, the faculty of a college shall determine the educational policies of that college. It shall make recommendations to the Senate of the Community College System on matters which require the approval of that body, and it may make recommendations on other matters to the Senate of the Community College System, to the Chancellor for the Community College System, or to the President of the Kentucky Community and Technical College System.

b. Faculty Assemblies

The faculty of a community college may organize a Faculty Assembly for the purpose of making recommendations relating to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions. Full-time faculty members devoting more than fifty percent of their effort to teaching, librarianship, and counseling are eligible for membership in the Faculty Assembly. Other members of the faculty may become members upon invitation of a majority of the members of the Assembly.

The rules of the Faculty Assembly of a community college shall be consistent with the Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System and shall be adequate to allow the Assembly to perform its responsibilities. Copies of the rules shall be submitted to the president of the college, the Community College Council, and the Chancellor for the Community College System for review and approval before being made operational.

c. Faculties of Divisions

The faculty of a division shall consist of a chairperson and the members of the division who are members of the faculty of the community college.

The division faculty has the responsibility for the internal policies of the division, insofar as these policies do not conflict with those of other divisions, with the rules of the faculty of the college of which it is a part, or with the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized, with the approval of the president of the college and the Chancellor for the Community College System, to establish rules for procedure and a committee structure to deal with matters for which it has responsibility. It should establish with the division chairperson and the president of the college procedures to be used within the division in advising on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, and the granting of tenure, and the procedures to be used on the division level in faculty performance evaluations and in the preparation of budget requests.

The division chairperson shall preside over all division faculty meetings, except as the division chairperson may delegate this function, and shall be an ex officio member of all division committees. Copies of minutes of division faculty meetings shall be made available to all members of the faculty of the division and the president of the college.

d. Lexington Community College

Faculty organization in the Lexington Community College shall be consistent with paragraphs a, b, and c of this section with the exception that the faculty shall meet on call of the President of the University; the Chancellor of the Lexington Campus shall fulfill the roles

of the Chancellor of the Community College System; the Rules of the University Senate rather than the Rules of the Senate of the Community College System are applicable, and the Community College Council shall have no role in the review of the rules of the Faculty Assembly for the Lexington Community College.

9. Student Participation

Rules of procedure in educational units of the University shall provide for participation of students in the development of educational policies.

B. Administrative Organization

1. Definition

The administrative organization of the University is determined by the educational organization of the University and the teaching, research, service, and other functions of the University. Each administrative officer, other than the President, shall be responsible to the President, directly or through one or more superior officers, for the efficient operation of the organizational unit or functions for which the administrative officer is responsible. The administrative organization is established by the President with the approval of the Board of Trustees. It may be expected to change with increasing size and diversity in functions of the University. The duties of administrative officers reporting directly to the President shall be those delegated by the President and described in the Administrative Regulations. Each administrative officer is expected to recommend to the appropriate next superior officer the administrative organization necessary to carry out assigned duties. The positions of deans and presidents of colleges, directors, and department and division chairpersons, with descriptions of major duties assigned, are described in these Governing Regulations. Major changes in administrative organization must be approved by the Board of Trustees.

Each administrative officer is authorized to establish administrative and/or advisory committees to aid in the performance of assigned functions.

2. Dean of the Graduate School

The Dean of the Graduate School is chairperson of the Graduate Faculty and the Graduate Council. Under the broad direction of the President, the Vice President for Research and Graduate Studies, the Chancellor for the Lexington Campus, and the Chancellor for the Medical Center, the Dean provides general planning, guidance, review, and coordination for all of the University's endeavors in graduate education. The Dean appoints associate members of the Graduate Faculty and recommends to the Vice President for Research and Graduate Studies/appropriate chancellor on the appointment of regular members requiring the approval of the President. The Dean recommends to the Vice President for Research and Graduate Studies/appropriate chancellor on the following actions affecting faculty members who are or

The director of a multidisciplinary research center or institute is charged with the planning, implementation, coordination, and efficient management of the program and activities of the center or institute. The director shall have the same responsibilities as those of a department chairperson relative to faculty with joint appointments and non-faculty personnel in the center or institute. The director shall provide recommendations and advice to appropriate educational unit administrators concerning space, financial, and other resources, as well as the identification and appointment of faculty associates in the center or institute. The director shall submit the core budget request for the center or institute and administer this budget after its approval. In addition, the director may have other responsibilities delegated by the Vice President for Research and Graduate Studies or other specific administrator to whom the center or institute is administratively responsible.

In connection with the above major administrative functions, the director shall seek the advice of the staff of the center or institute, individually or as a group, or of advisory committees that may be appointed by the director of the center or institute or by the administrator to whom the center or institute is administratively responsible. The director shall speak for the center or institute and be an ex officio member of all of its committees.

7. Chairpersons of Interdisciplinary Instructional Programs
(University System)

The chairperson of an interdisciplinary instructional program shall be a member of one of the academic departments participating in the program.

The chairperson shall be responsible to the dean(s) of the college(s) in which the program is located and advise the dean(s) on staff and other needs of the program in connection with budget planning. The chairperson shall rely upon the advice of a committee of interested faculty drawn from the departments offering the courses composing the curriculum.

8. Presidents of Community Colleges

The president of a community college is the chief administrative officer of the college. Under the general direction of the Chancellor for the Community College System, or in the case of the Lexington Community College under the general direction of the Chancellor of the Lexington Campus, the president is charged with general responsibility for the overall administration of the college. It is the president's function to see that the applicable University of Kentucky Governing Regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced, and in the case of the Lexington Community College, that the Rules of the University Senate are enforced. The president is the chairperson of the college faculty and an ex officio member of all college committees. Along with the college faculty, the president is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. The president is responsible for the curricula of the college, for the quality of instruction given therein, for the

assignment of duties to all persons, and for the service provided by the faculty of the college, individually and as a whole. The president shall utilize, through the Office of the Chancellor for the Community College System or in the case of the Lexington Community College, through the Office of the Chancellor of the Lexington Campus, the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program.

The president shall review and finalize the performance evaluations recommended by the division chairpersons or other administrative personnel of the college.

The president shall be responsible without delegation for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments, promotions, and granting of tenure for members of the college or for ultimate action thereon when such authority has been delegated to the president by the Chancellor for the Community College System or by the President of the Kentucky Community and Technical College System, and in the case of the Lexington Community College, the Chancellor of the Lexington Campus, or by the President of the University.

With regard to students, the president is charged with administering the requirements and regulations of the community college concerning the admission, probation, and dismissal of students.

The president shall be responsible for the preparation of the budget request for the college, for the business and financial operation of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college.

It is the president's responsibility to relate the college program to the community through relationships with ~~the~~ an advisory board or board of directors through appropriate use of public information media, and by appropriate relationships with community groups and individuals. The president personifies the University in the community and shall endeavor to further constructive relations between college and community.

9. Division Chairpersons (Community College System and Lexington Community College)

The division chairperson serves as chairperson of the division faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. The division chairperson assists the president, or the president's designee, in the preparation of the class and teaching schedule for the division. The division chairperson presides over all division meetings, except as the division chairperson may delegate this function, and is an ex officio member of all division committees. The division chairperson has administrative responsibility for implementing the division's program within the limits established by the regulations of the University and the Community College System, the

PART VIII

UNIVERSITY SYSTEM APPOINTMENTSA. Administrative Appointments1. Regular Appointments

The President of the University is appointed by the Board of Trustees with the advice of a joint committee of the Board, faculty, and student body. The committee shall consist of five members of the Board appointed by its chairperson; three members of the full-time teaching and/or research faculty of the University System, selected by a procedure determined by the University Senate; ~~one member of the full-time teaching faculty of the Community College System, selected by a procedure determined by the Community College Council;~~ and one full-time student appointed by the chairperson of the Board. The committee shall provide opportunity for discussion between representative administrative, faculty, and student groups and prospective presidential candidates.

The chancellors, vice presidents, chief administrative officers of educational units (deans, directors, ~~and chairpersons,~~ and the President of Lexington Community College), and every administrative and professional employee of the University who is to report directly to the President or whose position is at Level 16 or above in the Administrative and Professional Classification System shall be appointed by the Board of Trustees, acting upon recommendation of the President. Except in the cases of those individuals who report directly to the President, a recommendation from an appointee's superior officer shall be obtained prior to recommending an appointment or reappointment by the Board of Trustees. Non-faculty employees other than those described above may be appointed by the President or, if their employment is to be in a chancellor/vice president sector, by the appropriate chancellor/vice president upon recommendation of the appropriate person or persons superior in rank to the appointees. The President shall report all appointments at Levels 12 through 15 in the Administrative and Professional Classification System to the Board of Trustees. The President and the chancellors/vice presidents may delegate the power to appoint personnel whose appointments are not made by the Board of Trustees to other appropriate administrative officers.

If the Board does not accept the recommendation of the President with respect to an appointment, it shall call upon the President to make another recommendation. Likewise, the President may refuse to approve a recommendation but, in each such case, the President shall ask for a new recommendation. The same principle shall apply at all levels of the administrative organization.

2. Acting Appointments

When vacancies occur in administrative positions other than the presidency, the President or, if the officer is on appointment in a chancellor/vice president sector, the appropriate chancellor/vice president may appoint acting officers to fill the positions until it is possible to make regular appointments by the established procedure. When the officer is one who does not report directly to the President, the President or a chancellor/vice president, as appropriate, shall request a recommendation from that officer's superior. If the officer administers a college, school, department, or a community college the appropriate chancellor/vice president also shall request a recommendation from a committee which includes faculty representation from that unit. Acting appointments shall be for a maximum term of one year; however, reappointment may be made after a satisfactory review. All appointments of acting officers shall be reported to the Board of Trustees.

With the approval of the next higher administrative level, a regular administrative officer may designate a temporary officer to serve in an acting capacity for a period not to exceed four months while the regular officer is away from campus on University business or approved leave.

3. Search Committees

Recommendations on the appointment of the chief administrative officers of all educational units of the University shall be made after advice from search committees.

When vacancies in administrative positions are anticipated, search committees shall be appointed sufficiently in advance of the vacancy to avoid the appointment of an acting administrator. When unanticipated vacancies occur, search committees shall be appointed as soon as the established procedures for appointment can be carried out.

Search committees for deans of colleges shall be appointed by the appropriate chancellor/vice president after consultation with the Senate Council.

Search committees for directors of schools shall be appointed by the deans of the colleges after consultation with the appropriate faculty bodies within the colleges.

Search committees for chairpersons of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is in such a school; (2) the faculty of the department; and (3) the Dean of the Graduate School if the department is involved in a graduate program.

Search committees for directors of interdisciplinary institutes shall be appointed, after consultation with the Graduate Council, by the Vice President for Research and Graduate Studies or other specific officer to whom administrative responsibility has been delegated.

A search committee for a president of ~~a the Lexington eCommunity eCollege~~ shall be appointed by ~~the Chancellor of the Community College System~~ the Chancellor of the Lexington Campus after consultation with the faculty of the ~~community eCollege~~.

Search committees for chairpersons in the Lexington Community College shall be appointed by the President of the College after consultation with at least the tenured faculty members of the appropriate divisions.

Search committees shall operate under procedures prescribed by the President after consultation with the Senate Council ~~or the Community College Council~~.

Recommendations of the search committee shall be transmitted to the President through the normal administrative channels, along with the recommendation of the administrative officer responsible for the appointment. Recommendations on the appointment or reappointment of a chairperson of an academic department or division shall be accompanied by written statements from at least the tenured members of the department or division.

4. Terms of Chairpersons and Directors

a. Department Chairpersons (University System)

The term of a department chairperson's appointment shall be four years, except in the Colleges of Agriculture, Dentistry, Human Environmental Sciences, Medicine, Nursing, and Pharmacy where it shall be six years. Ordinarily, a department member will be asked to serve as chairperson for only one term. A chairperson may be reappointed, however, when the faculty advisory committee appointed to review the work of the department (AR II-1.0-6) finds that the particular circumstances and needs of the department make such a reappointment desirable. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interests of the University. This practice may vary from discipline to discipline.

b. Chairpersons of Interdisciplinary Instructional Programs (University System)

Insofar as applicable, the rules for the appointment and reappointment of a department chairperson shall apply also to the appointment and reappointment of a chairperson of an interdisciplinary instructional program.

c. Division Chairpersons (Lexington Community College System)

The division chairperson shall be appointed for a term of three years and normally shall serve for no more than three consecutive terms. Reappointment beyond the third

term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by a faculty advisory committee.

d. Directors of Multidisciplinary Research Centers and
Institutes (University System)

The director of a multidisciplinary research center or institute shall be appointed for a term of six years. A director may be reappointed, however, when an ad hoc committee appointed to review and evaluate the center or institute (AR II-1.0-6) finds that the particular circumstances and needs of the center or institute make such a reappointment desirable.

B. Academic Appointments, Reappointments, Promotions, and Granting of Tenure

All appointments and promotions of faculty to positions which involve (1) an actual or equivalent academic rank of associate professor or professor, (2) tenure, or (3) an initial salary above \$60,000 shall be made by the Board of Trustees, acting upon recommendation of the President. Appointments and promotions of faculty to positions which involve an actual or equivalent academic rank below associate professor and an initial salary not exceeding \$60,000 may be finally approved by the President who shall report such actions (except those relating to graduate teaching and research assistants) to the Board of Trustees. The President may delegate the power to make appointments and promotions that do not require approval by the Board. The President, following consultation with the Senate Council ~~or the Community College Council as pertinent,~~ shall establish such review systems as are appropriate to assist the President, or other appropriate administrative officers designated by the President, in making informed judgments concerning academic appointments, promotions, and granting of tenure.

PR 3B

Office of the President
March 3, 1998

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that approval be granted to awarding the honorary degree of Doctor of Letters to John Hope Franklin, the Doctor of Science to James Francis Glenn, the Doctor of Letters to Reverend Theodore M. Hesburgh, the Doctor of Humanities to Cawood Ledford, and the Doctor of Arts to Lucille Caudill Little.

Background: The Committee on Honorary Degrees has recommended to the Graduate Faculty and the University Senate that honorary degrees be awarded to John Hope Franklin, James Francis Glenn, Theodore M. Hesburgh, Cawood Ledford, and Lucille Caudill Little. These three bodies have expressed their approval of the recommendation.

Biographical sketches of the five recipients are attached.

Action taken: Approved Disapproved Other _____

JOHN HOPE FRANKLIN

A native of Oklahoma, John Hope Franklin is the James B. Duke Professor Emeritus of History at Duke University. For seven years he was Professor of Legal History in the Law School at Duke University. He received the A.M. and Ph.D. degrees in history from Harvard University. He has taught at a number of institutions including Fisk University, St. Augustine's College, North Carolina Central University, and Howard University. In 1956 he went to Brooklyn College as Chair of the History Department; and in 1964, he joined the faculty of the University of Chicago, where he also served as Chair of History. While at the University of Chicago, he was the John Matthews Manly Distinguished Service Professor from 1969 to 1982, when he became Professor Emeritus.

Professor Franklin's numerous books include *The Emancipation Proclamation*, *The Militant South*, *The Free Negro in North Carolina*, *Reconstruction After the Civil War*, and *A Southern Odyssey: Travelers in the Ante-bellum North*. Perhaps his best known book is *From Slavery to Freedom; A History of African-Americans*, now in its seventh edition.

Professor Franklin has been active in numerous professional and educational organizations. He has served as President of The American Studies Association, the Southern Historical Association, and the Organization of American Historians, in addition to others. Among many foreign assignments, Dr. Franklin has served as Pitt Professor of American History and Institutions at Cambridge University, Consultant on American Education in the Soviet Union, Fulbright Professor in Australia, and Lecturer in American History in the People's Republic of China. Currently, Professor Franklin serves as chairman of the advisory board for One America: The President's Initiative on Race. Of particular significance to Kentucky, Dr. Franklin served as a key expert witness for the NAACP's representation on behalf of Lyman Johnson's 1948 lawsuit against the University of Kentucky's segregated graduate school. Johnson's legal victory, in this case, was the beginning of the end of segregated higher education in Kentucky.

For his distinction as scholar and leader in the field of civil rights, his support and leadership in higher education, and in recognition of his stature as teacher and scholar, John Hope Franklin is recommended for the Honorary Doctor of Letters degree.

JAMES FRANCIS GLENN

A native of Lexington who currently resides in Winchester, Kentucky, Dr. James Francis Glenn is an internationally renowned medical educator who has provided outstanding leadership in medical education. Following his graduation from University School in Lexington, he earned his undergraduate degree at the University of Rochester in Rochester, New York and continued his education at Duke University, earning his Doctor of Medicine degree with honors in 1952. His postgraduate training was in surgery at Peter Bent Brigham Hospital in Boston, Massachusetts and at Duke University Medical Center where he completed his urologic training as Chief Resident in 1959.

Following the completion of his postgraduate training, Dr. Glenn began a remarkable career as an academic surgeon. He has held faculty positions at prestigious Medical Schools such as Yale, Bowman Gray, and Duke University. In 1980, Dr. Glenn was appointed Dean of the Emory University School of Medicine, where he served for three years also as Professor of Surgery. After serving one year as Acting Dean of the Mount Sinai School of Medicine he was appointed President of the Mount Sinai Medical Center, School of Medicine, and the Mount Sinai Hospital.

Following his retirement as President of the Mount Sinai Medical Center, he returned to his native Kentucky where he has served successively as Executive Director of the Markey Cancer Center, Associate Dean for Clinical Affairs in the College of Medicine and Chief of Staff of University Hospital for two years and most recently as Interim Chair of the Department of Surgery in the College of Medicine.

In 1987, he was elected an Honorary Fellow of the Royal College of Surgeons of England. In 1994, the American Urological Association gave Dr. Glenn its Lifetime Achievement Award. In 1996, the British Association of Urological Surgeons gave Dr. Glenn their highest award to a non-British citizen – St. Paul's Medal for Professional Contributions.

He has been a Trustee and Vice President of the American Board of Urology and President of the Alpha Omega Alpha Honor Medical Society. He served as President of the Society of University Urologists, and served as President of the Society of Pelvic Surgeons.

In recognition of his academic achievements and his lengthy service to American medicine, as well as the University of Kentucky Medical Center, Dr. James F. Glenn is recommended as the recipient of the honorary Doctor of Science degree.

REVEREND THEODORE M. HESBURGH

Father Theodore Hesburgh was President of the University of Notre Dame until his retirement in 1987, ending the longest tenure at that time among presidents of American institutions of higher learning. He has long been a leading scholar in the field of higher education, a leading theologian, as well as a leading world citizen.

Father Hesburgh was educated at Notre Dame and the Gregorian University in Rome. He was ordained a priest of the Congregation of Holy Cross in 1943. Following his ordination, Father Hesburgh continued his study of sacred theology and earned his doctorate from the Catholic University of America in 1945. He joined the Notre Dame Department of Religion that same year, and was appointed head of the department three years later. The following year he was appointed executive vice president of Notre Dame. At the age of 35, he was named the 15th president of Notre Dame in 1952.

As President Emeritus, one of Father Hesburgh's first projects was completion of the autobiography *God, Country, Notre Dame* which was published in 1990 and became a national best seller. He has since written and edited two more books, and he continues to lead several academic initiatives, housed in Notre Dame's Hesburgh Center for International Studies.

The public service career of Father Hesburgh is as distinguished as his educational contributions. He has held 15 Presidential appointments over the years – most recently to the U.S. Institute for Peace – and they involved him in virtually all the major social issues of our time – civil rights, peaceful uses of atomic energy, campus unrest, treatment of Vietnam offenders, Third World development and immigration reform, to name only a few. At the same time, he remained a national leader in the field of education, serving on many commissions and study groups. He is highly recognized as one of the senior statesmen in American higher education.

Highlighting a lengthy list of awards to Father Hesburgh is the Medal of Freedom, the nation's highest civilian honor, bestowed on him by President Lyndon Johnson in 1964. He has received numerous awards from education groups, among them, the 1970 prestigious Meiklejohn Award by the American Association of University Professors, which honors those who uphold academic freedom. He is a member of the National Academy of Sciences and a member of the American Philosophical Society.

For his distinguished record of educational contributions and public service, both national and international, Reverend Theodore M. Hesburgh is recommended for the honorary Doctor of Letters degree.

CAWOOD LEDFORD

After graduating from Harlan County High School, Cawood Ledford attended Centre College in Danville, Kentucky for one year before volunteering his services to the United States Marine Corps. After two years of voluntary service, he returned to Centre and completed his degree.

Cawood began his broadcasting career at WHLN in Harlan, Kentucky. From there he moved to Lexington and spent the next three years at WLEX. His career then moved to Louisville where he worked for 22 years with WHAS Radio and Television. In 1979, he returned to Lexington and opened Cawood Ledford Productions where he worked until his retirement in 1993. With a career spanning five decades, Cawood Ledford has earned the distinction of being the most honored and celebrated sportscaster in Kentucky history. For many people, Cawood Ledford was the primary connection to the University of Kentucky.

Cawood was voted Kentucky "Sportscaster of the Year" 22 times during his 39-year career with the University of Kentucky. He was named the top college basketball announcer in the nation on four different occasions, and in 1995 he was inducted into the National Basketball Hall of Fame in Springfield, Massachusetts -- only the fifth broadcaster to earn such distinction. Along with his contributions to the University of Kentucky, Cawood also was a key participant in another of the Commonwealth's great traditions -- thoroughbred racing. For 20 years, he was a familiar voice to horse racing enthusiasts at the Kentucky Derby with his calling of the "Run for the Roses."

Upon his retirement, Cawood established the Cawood Ledford Scholarship Fund to assist former University of Kentucky student-athletes with completion of their degrees. To date, the Fund has generated more than \$180,000 and assisted over 30 former Wildcat athletes. Along with his many awards and accomplishments in broadcasting, Cawood has contributed countless hours to Kentucky community activities and causes. He has served as spokesman for the Kentucky Organ Donor Card campaign, and participated in such community activities as The Cardinal Hill Hospital Telethon, Bluegrass State Games, Lions Club/Shriner's Circus, Harlan County Revitalization Campaign, and the annual Kentucky-Tennessee Blood Drive. He has also played an active role as a board member of the Kentucky Horse Park, the American Cancer Society, and University of Kentucky Basketball Museum, as well as serving as chair of the State Arthritis Foundation and Honorary Chair of the State Alzheimer's "Walk for Life."

For his contributions to the Commonwealth of Kentucky and the University of Kentucky at the highest level of excellence, Cawood Ledford is recommended as the recipient of the honorary Doctor of Humanities degree.

LUCILLE CAUDILL LITTLE

A native of Morehead and a resident of Lexington for over 50 years, Lucille Caudill Little has been a principal figure in Central Kentucky's cultural and educational life as teacher, singer, actress, patron of the arts, and philanthropist. By virtue of an unstinting commitment of her time, talent and resources, she has made the arts, as well as educational opportunities in the Commonwealth, more accessible to Kentuckians young and old.

Mrs. Little's love for the arts was reflected at an early age in her study of voice and piano, and she began her formal arts training at the Cincinnati Conservatory of Music. Her education continued at Hamilton College, Transylvania College, the University of Kentucky, Stetson University, and Ohio State University where she received her B.A. degree. She later did graduate work at Columbia University and studied at the Julliard School of Music. A gifted singer, she contemplated a professional career in voice. Upon returning to Kentucky, she joined the faculty of Morehead State Teachers College, where she established the departments of speech and drama.

Her marriage to W. Paul Little, a successful racehorse breeder, brought her to Central Kentucky where she channeled her talents and energy into building a vibrant and dynamic arts community. She was a founder and director of Lexington's Children Theatre and Studio Players. She served on the founding boards of the Lexington Symphony and Lexington Philharmonic, the Central Kentucky Youth Orchestra and the Living Arts and Science Center. Mrs. Little has generously donated her time, as well as her financial support, to numerous educational, cultural and community organizations, including, among many others, Midway College, Transylvania University, Morehead University, the University of Kentucky, the Bluegrass Girl Scout Council, the Kentucky Horse Park, the Lexington Council of the Arts, and St. Claire Hospital (Morehead). Long supportive of arts programming for children, she presented Kentucky Educational Television with a \$1,000,000 gift and a challenge to match it in order to establish an endowment to create a permanent source of funding at KET for arts education. Her gift to the new History Center of the Kentucky Historical Society put that fundraising effort over its goal. Lucille Little's lifework has made a difference to the Commonwealth, touching and enriching the lives of all Kentuckians.

In recognition of her lifetime as an advocate and benefactor of the fine and performing arts, her leadership and service by personal example to the community, and her extraordinary ability to encourage others to join in and support cultural and educational activities, Lucille Caudill Little is recommended as the recipient of the honorary Doctor of Arts degree.

FCR 1

Office of the President
March 3, 1998

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SEVEN MONTHS ENDED
JANUARY 31, 1998

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the seven months ended January 31, 1998.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of January 31, 1998, the University had realized income of \$696,277,000 representing 67% of the 1997-98 estimate of \$1,035,045,000. Expenditures and commitments total \$601,392,000 or 58% of the approved budget of \$1,035,045,000.

Action taken:



Approved



Disapproved

Other _____

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JANUARY 31, 1998
with comparative totals for January 31, 1997
(in thousands)

	1997-98					1996-97	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS							
Cash and cash equivalents	\$ 142,192	\$ 52,424	\$ 2,861	\$ 400	\$ 118,226	\$ 316,103	\$ 362,342
Notes, loans, and A/R (less bad debt allowances of \$11,734)	114,813	17,011	22,092	555	2,390	156,861	146,658
investments	35,622	83,644		203,569	43,882	366,717	345,720
Property, plant, and equipment					1,191,506	1,191,506	1,318,300
inventories and other	16,418	63				16,481	16,859
Total Assets	\$ 309,045	\$ 153,142	\$ 24,953	\$ 204,524	\$ 1,356,004	\$ 2,047,668	\$ 2,189,879
LIABILITIES AND FUND BALANCES							
Liabilities							
Accounts payable	\$ 25,419	\$ 2,310	\$ 263		\$ 535	\$ 28,527	\$ 23,788
Employee withholdings and deposits		32,511				32,511	30,761
Other liabilities	5,398	1,844			3,590	10,832	9,691
Liability for self insurance	48,173					48,173	37,501
Deferred income	9,228	12,096				21,324	20,160
Bonds payable					214,793	214,793	330,534
Capitalized lease obligation					35,046	35,046	34,101
Net deferred revenues and appropriated fund balances	101,007	3,056				104,063	130,945
Total Liabilities	189,225	51,817	263		253,964	495,269	617,481
Interfund Balances	(17,546)	55,011			(37,465)		
Fund Balances							
Current unrestricted							
Working capital	127,474					127,474	101,686
Future operating purposes	7,624					7,624	9,504
Other	2,268					2,268	4,343
Current restricted		46,314				46,314	42,996
Loan			24,690			24,690	23,839
True endowments				\$ 127,304		127,304	100,914
Term endowments				9,352		9,352	8,146
Quasi endowments				67,868		67,868	61,061
Plant							
Retirement of indebtedness					29,730	29,730	41,151
Renewal and replacement					31,371	31,371	102,763
Allocated for designated projects					166,945	166,945	153,906
Net investment in plant					911,459	911,459	922,089
Total Fund Balances	137,366	46,314	24,690	204,524	1,139,505	1,552,399	1,572,398
Total Liabilities and Fund Balances	\$ 309,045	\$ 153,142	\$ 24,953	\$ 204,524	\$ 1,356,004	\$ 2,047,668	\$ 2,189,879

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1998
with comparative totals for January 31, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98				1996-97	
	Original Budget	Revised Budget	Realized To Date	%	Realized To Date	%
General Fund						
(1) State appropriations	\$ 277,693	\$ 280,123	\$ 209,260	75	\$ 259,460	75
(2) Student fees	100,558	100,770	96,124	95	120,793	92
(3) Federal appropriations	15,186	15,186	9,104	60	8,680	57
(4) County appropriations	6,463	6,736	3,250	48	3,519	54
(5) Endowment income	835	835	393	47	363	44
(6) Investment income	8,362	8,362	7,103	85	6,832	79
Gifts and grants						
(7) Affiliated corporations	12,450	12,450	5,890	47	5,612	49
(8) Other	58,878	56,446	25,448	45	26,672	48
(9) Sales and services	50,506	53,367	24,602	46	23,310	51
(10) Fund balance	10,848	17,527	17,528	100	20,952	100
(11) Total General Fund	541,779	551,802	398,702	72	476,193	74
(12) Auxiliary Enterprises	33,261	33,511	19,326	58	25,654	60
(13) Restricted Funds	48,948	49,516	28,552	58	56,725	62
(14) Affiliated Corporations	147,212	147,382	88,830	60	86,240	61
Hospital						
(15) Revenues	252,834	252,834	160,867	64	149,255	66
(16) Fund balance						
Total Revenues and						
(17) Appropriated Fund Balances	<u>\$ 1,024,034</u>	<u>\$ 1,035,045</u>	<u>\$ 696,277</u>	67	<u>\$ 794,067</u>	69

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1998
with comparative totals for January 31, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(1) Instruction	\$ 224,351	\$ 225,258	\$ 119,484	53	\$ 157,842	58
(2) Research	130,570	131,095	70,545	54	73,034	55
(3) Public service	137,247	139,328	75,431	54	75,814	53
(4) Academic support	66,516	67,897	34,630	51	40,797	53
(5) Student services	18,636	20,392	11,799	58	15,724	57
(6) Institutional support	38,378	42,302	24,453	58	25,333	50
(7) Student financial aid	36,086	36,425	30,673	84	57,108	91
(8) Operation and maintenance	38,043	37,891	19,099	50	26,399	54
(9) Mandatory transfers (debt service)	21,575	21,575	21,575	100	32,932	100
(10) Hospital	252,771	252,771	151,506	60	128,922	57
(11) Auxiliary enterprises	59,861	60,111	42,197	70	40,514	59
(12) Total Expenditure by Program	\$ 1,024,034	\$ 1,035,045	\$ 601,392	58	\$ 674,417	59

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1998
with comparative totals for January 31, 1997
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1997-98			1996-97		
	Original Budget	Revised Budget	Expended/ Encumbered To Date	%	Expended/ Encumbered To Date	%
(13) Personnel costs	\$ 588,491	\$ 588,833	\$ 336,632	57	\$ 382,688	59
(14) Operating expenses	360,274	367,312	213,916	58	220,589	55
(15) Mandatory transfers (debt service)	30,154	30,154	27,266	90	38,341	92
(16) Capital outlay	45,115	48,746	23,578	48	32,799	61
(17) Total Expenditure by Category	\$ 1,024,034	\$ 1,035,045	\$ 601,392	58	\$ 674,417	59

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE SEVEN MONTHS ENDED JANUARY 31, 1998
 with comparative totals for January 31, 1997
 (in thousands)

	(A)	(B)	(C)
	<u>1997-98</u>		<u>1996-97</u>
(1) Realized Revenues		\$ 678,750	\$ 773,115
Appropriated Fund Balances			
(2) Current Unrestricted Fund	\$ 17,527		20,952
(3) University Hospital			
(4) Total Appropriated Fund Balances		<u>17,527</u>	<u>20,952</u>
(5) Total Revenues and Appropriated Fund Balances		<u>696,277</u>	<u>794,067</u>
(6) Expenditures/Encumbrances	601,392		674,417
(7) Less: Reserve for Encumbrances	(5,994)		(8,631)
(8) Total Expenditures		<u>595,398</u>	<u>665,786</u>
(9) Excess/(Deficit) Restricted Receipts Over Transfers to Revenues		<u>3,184</u>	<u>2,664</u>
(10) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 104,063</u>	<u>\$ 130,945</u>

FCR 2

Office of the President
March 3, 1998

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending December 31, 1997, be accepted. This report refers to only projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

Action taken: Approved Disapproved Other _____

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

This report contains capital projects which have been active within this quarter. There are two (2) sections included in the Quarterly Report. The first section contains information on the Consultant Contracts while the second section contains information on the Construction Contracts.

Consultant Contracts: This section contains the name of the project, the project number, the project scope (which includes all costs associated with the project: design, management services, construction, PPD and communications force accounts, equipment, and furnishings), the name of the consultant, the city in which the consultant resides, the contract number, the contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, a brief project description, and a notes section that can be used for additional information regarding the consultant.

Construction Contracts: This section contains the name of the project, the project number, the name of the contractor, the city in which the contractor resides, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed and a notes section that can be used for additional information regarding the contractor.

Note: Several projects have Construction Managers whose responsibility is to assist with the contract documents, bidding and coordination of the project. When Construction Managers are employed there are multiple construction contracts. In the following report Construction Managers are listed first in the Construction Contracts section followed by each contract which they oversee.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Pearson + Bender + Jolly Lexington Contract# A961260	Aging/Allied Health Phase I Project Number: 405.1	\$9,640,000
		Consultant Contract Amount: \$525,000
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$27,150
		Revised Consultant Contract Amount: \$552,150

Project Description:

Adds laboratory space to the Sanders-Brown Center on Aging Building. The present Sanders-Brown Building houses research laboratories dedicated to aging research and also contains support spaces such as animal housing, equipment, and offices. This addition connects directly through the existing building's main corridor and the lab module.

Pearson + Bender + Jolly Lexington Contract# A94118	Ashland Community College Academic Classroom Building Project Number: 1459.0	\$5,810,000
		Consultant Contract Amount: \$234,910
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$74,745
		Revised Consultant Contract Amount: \$309,655

Project Description:

Construct an Academic Classroom Building of approximately 35,000 gross square feet to include classrooms, a learning center, and faculty offices.

Notes: \$5,810,000 reflects the total project scope of Projects 1459.0, 1459.1, and 1459.2.

Sherman-Carter-Barnhart Lexington Contract# A971080	Center For Academic And Tutorial Services Project Number: 1588.0	\$2,586,100
		Consultant Contract Amount: \$115,000
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$115,000

Project Description:

Construct a two-story facility, within the existing area occupied by a pool and locker rooms, in Memorial Coliseum, to include a study hall, computer lab, administrative offices and tutorial spaces for the "Center for Academic and Tutorial Services" (C.A.T.S.). Upon completion of construction, the C.A.T.S. program will relocate to the new space.

Staggs & Fisher Engineers Lexington Contract# A971220	Chilled Water Distribution System Building Pumping Implementation Project Number: 666.8	\$800,000
		Consultant Contract Amount: \$64,000
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$64,000

Project Description:

Provide valving and associated piping to control temperature and pressure for campus chilled water distribution at buildings selected by need criteria.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
CMW, Inc. Lexington Contract# A961440R	Clifton Site Development Landscaping Project Number: 1635.0	\$250,000
		Consultant Contract Amount: \$15,000
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$15,000

Project Description:

Landscaping for the Clifton Site.

Notes: This is a per diem contract.

PEH, Inc. Lexington Contract# A93127	Coldstream Farm Development Project Number: 894.0	\$4,342,073
		Consultant Contract Amount: \$309,200
	Total Amendments: (1)	Consultant Cumulative Amendment Amount: \$178,544
		Revised Consultant Contract Amount: \$487,744

Project Description:

Construct infrastructure, including streets and sewers.

Notes: **Project contains multiple contracts. \$4,342,073 reflects the total project scope of Projects 894.0, 894.1, 894.2, and 894.8. The original contract amount was for design services only. This amendment increases the contract to accommodate the consultant fee for construction services.

GRW Engineers, Inc. Lexington Contract# A971290	Commonwealth Stadium Renovation Project Number: 1674.0	\$2,774,000
		Consultant Contract Amount: \$179,980
	Total Amendments: (1)	Consultant Cumulative Amendment Amount: \$12,900
		Revised Consultant Contract Amount: \$192,880

Project Description:

Upgrade Commonwealth Stadium. Phase One is the renovation of the restroom facilities which includes increasing the number of fixtures, upgrading the sanitary sewer system, and increasing water pressure to the upper level.

Notes: \$2,774,000 reflects the total project scope of Projects 1674.0 and 1674.1.

Mason & Hanger Engineering Lexington Contract# A971340	Dialysis Renovation and Expansion Project Number: 1669.0	\$398,800
		Consultant Contract Amount: \$28,617
	Total Amendments: (1)	Consultant Cumulative Amendment Amount: \$5,500
		Revised Consultant Contract Amount: \$34,117

Project Description:

Renovate 2,500 gross square feet to create a new dialysis unit and minimal fifth floor nursing units. The space will contain five treatment bays, three protective isolation treatment bays, a nurses' station, a conference room, two restrooms, and various equipment and storage rooms.

Notes: No construction contract(s) awarded to date.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Mason & Hanger Engineering Lexington Contract# A93134	Environmental Quality Management Center Project Number: 1456.0	\$2,440,000
		Consultant Contract Amount: \$78,000
	Total Amendments: (3)	Consultant Cumulative Amendment Amount: \$25,161
		Revised Consultant Contract Amount: \$103,161

Project Description:

Design and construct a new waste storage/treatment facility. The warehouse-type building will be approximately 9,000 to 10,000 square feet.

Notes: Amendment #1, an increase of \$8,592.70, was approved to provide additional services and document changes necessary to relocate the structure. Amendment #2, an increase of \$15,523, was approved to provide a topographical and utility survey, geotechnical services and a rendering which was requested by the regulatory agency. Reimbursement for the rendering was not included in original contract. Amendment #3, an increase of \$1,045, was approved to provide a rendering of the final project design.

James W. Potts Architect Lexington Contract# A961440D	Hadden Laboratory Renovation Project Number: 1663.0	\$287,142
		Consultant Contract Amount: \$13,000
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$13,000

Project Description:

Minor improvements to laboratories in Chemistry Physics Building Rooms 002 and 004 and the removal of a portion of casework in Room 006.

Notes: \$287,142 reflects the total project scope of Projects 1663.0 and 1663.1. This is a per diem contract.

CMW, Inc. Lexington Contract# A94107	Hazard CC Student/Performing Arts Conference Center Project Number: 1460.0	\$10,325,453
		Consultant Contract Amount: \$301,000
	Total Amendments: (4)	Consultant Cumulative Amendment Amount: \$975,988
		Revised Consultant Contract Amount: \$1,276,988

Project Description:

Construct a new facility comprised of a Student Center, to include Counseling Center, Student Activities Center, Classroom/Office area, and Auxiliary Services areas; BITAC, the Business and Industry Technical Assistance Center/Continuing Education/Community Service Center which will include conference/exhibit halls with flexibility for use in regional and economic development programs, a video center and training rooms; and a Black Box Theater instructional area.

Notes: Amendment #1, an increase of \$60,400, was approved to provide consultant services for design development for the second phase of the Student, Performing Arts and Regional Conference Center, which contains the Business and Industry Technical Assistance Center (BITAC) and the Regional Conference Center. Amendment #2, an increase of \$820,650, was approved to provide consultant services for construction documents, bidding, and construction administration for the second phase (BITAC) for the Student, Performing Arts and Regional Conference Center project. Amendment #3, an increase of 83,023, was approved to provide for revised Phase 2 consultant services based upon the revised scope of the BITAC and Student, Performing Arts and Regional Conference Center project. The revised scope required additional Phase 2 design services, and a complete reworking of the Phase 3 documents.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Biagi, Chance, Cummins, et al Shelbyville Contract# A961340	Henderson Community College Administration Building Elevator Project Number: 1600.0	\$344,794
		Consultant Contract Amount: \$23,600
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$23,600

Project Description:

Add an elevator to the Administration Building at Henderson Community College. Location as determined during recent renovation of the building.

Collignon & Nunley Owensboro Contract# A94116	Hopkinsville Community College Regional Technical Training Center Project Number: 1461.0	\$11,445,000
		Consultant Contract Amount: \$465,000
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$224,600
		Revised Consultant Contract Amount: \$689,600

Project Description:

40,000+ square foot technical training facility to include: laboratories, conference rooms, classrooms, training and demonstration areas, and administrative office areas to serve the needs of students and prospective employees of businesses in the Hopkinsville area.

Notes: \$11,445,000 reflects the total project scope of Projects 1461.0 and 1461.1. Amendment #1, an increase of \$170,000, was approved to increase architectural/engineering professional services due to an increase in the total scope of the project. This fee amendment increase was determined by using the same percentages for the building design, furnishings, equipment design and specification, that had been determined in the original contract negotiation. Amendment #2, an increase of \$54,600, was approved to provide for a Resident Inspector.

Staggs & Fisher Engineers Lexington Contract# A94148	Hospital Electrical Distribution Upgrade Project Number: 1518.0	\$1,000,250
		Consultant Contract Amount: \$79,000
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$2,845
		Revised Consultant Contract Amount: \$81,845

Project Description:

Improvements to the existing electrical distribution system within the Chandler Medical Center and the University Hospital, to include connection of the hospital emergency power to the Critical Care Center generator, removal of existing PCB transformers, installation of a new unit substation, and modifications to system switching and system identification.

Notes: \$1,000,250 reflects the total project scope of Projects 1518.0 and 1518.1.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Staggs & Fisher Engineers Lexington Contract# A981160	Hospital Electrical Distribution Upgrade II Project Number: 1673.0	\$1,500,000
	Total Amendments: (0)	Consultant Contract Amount: \$110,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$110,000

Project Description:

Improve the existing electrical distribution system within the University Hospital, including: replacement of a substation in the Patient Care Addition and Critical Care Center switch gear with new solid state controls, an additional emergency generator and coordination with the existing generators, and replacement of the 4KV feeds to the ambulant wing transformers.

Notes: No construction contract(s) awarded to date.

Staggs & Fisher Engineers Lexington Contract# A961310	Hospital Fuel Tank Upgrade Project Number: 1712.0	\$200,000
	Total Amendments: (1)	Consultant Contract Amount: \$3,000
		Consultant Cumulative Amendment Amount: \$25,000
		Revised Consultant Contract Amount: \$28,000

Project Description:

Replace the generator's fuel storage tanks at the hospital.

Notes: Amendment #1, an increase of \$25,000, was approved because the original contract called for a fee of \$3,000 on an hourly basis for Phase 1. Article III, 3.1, of the consultant contract, states: "Upon completion of Phase 1 and the establishment of the schematic design this contract will be amended for services necessary to implement the total scope as determined during Phase 1." Phase 1 has been completed. Through the negotiation process, an agreement based on a \$28,000 fee has been reached. No construction contract(s) awarded to date.

Kaiser-Taulbee Associates Lexington Contract# A961460B	Hospital Ground Floor HVAC Project Number: 1692.0	\$170,683
	Total Amendments: (0)	Consultant Contract Amount: \$8,612
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$8,612

Project Description:

Upgrade the existing HVAC system in the southwest quadrant on the ground floor of the hospital.

Notes: This is a per diem contract.

Staggs & Fisher Engineers Lexington Contract# A971300	Indoor Air Quality-Dental Areas Project Number: 1671.0	\$250,000
	Total Amendments: (0)	Consultant Contract Amount: \$22,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$22,000

Project Description:

Design and install dust collection equipment and ventilation improvements in portions of the Dental Wing of the UK Chandler Medical Center.

Notes: \$250,000 reflects the total project scope of Projects 1671.0 and 1671.1.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Voelker Winn Architects Louisville Contract# A94143	Kastle Hall Renovation Project Number: 1512.0 Total Amendments: (1)	\$960,583 Consultant Contract Amount: \$21,000 Consultant Cumulative Amendment Amount: \$34,000 Revised Consultant Contract Amount: \$55,000
Project Description: The National Institute of Health grant program entitled "Developing and Improving Institutional Animal Resources" is aimed at upgrading animal care facilities in institutions that use laboratory animals in research projects supported by the Public Health Service. A major goal of this program is to help institutions improve their research infrastructure and bring their animal facilities into compliance with the USDA Animal Welfare Act and DHHS policies on the care and use of research animals. Phases 1 & 2 goals are to prepare a grant application, and then, if funded, prepare the Phase 3 construction documents.		
Notes: Amendment #1, an increase of \$34,000, was approved to provide for consultant services for Phase 3 (construction documents), Phase 4 (bidding), and Phase 5 (construction administration) for the second phase of the project.		
Brighton Engineering Frankfort Contract# A961420	Kentucky Clinic Parking Structure Structural Steel Repairs Project Number: 1628.0 Total Amendments: (1)	\$220,000 Consultant Contract Amount: \$3,500 Consultant Cumulative Amendment Amount: \$48,785 Revised Consultant Contract Amount: \$52,285
Project Description: Remove the exterior insulation finish system from the interior columns, remove sections of the exterior insulation finish system from the perimeter columns to permit ventilation of enclosed steel, and repair and finish coating of the structural steel.		
Notes: \$220,000 reflects the total project scope of Projects 1628.0 and 1628.1. Amendment #1, an increase of \$48,785, was approved to provide additional design services for Phases 3-7 to include analysis of steel beams, joists, decking and stairs as well as preparation of construction documents, estimates, bid documents, and construction administration.		
Staggs & Fisher Engineers Lexington Contract# A981110	Lexington Campus Fume Hood Upgrade Project Number: 1653.2 Total Amendments: (0)	\$2,200,000 Consultant Contract Amount: \$126,000 Consultant Cumulative Amendment Amount: \$0 Revised Consultant Contract Amount: \$126,000
Project Description: Upgrade the existing mechanical fume hood systems in the building.		
Notes: No construction contract(s) awarded to date.		
Pearson/Bender Associates Lexington Contract# A971360	M.I. King North Renovation Lucille Little Fine Arts Library Project Number: 1680.0 Total Amendments: (1)	\$2,500,000 Consultant Contract Amount: \$148,500 Consultant Cumulative Amendment Amount: \$1,800 Revised Consultant Contract Amount: \$150,300
Project Description: Renovate two floors of the M.I. King North Library to house a consolidated Fine Arts Library and Information Center.		
Notes: No construction contract(s) awarded to date.		

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Tate, Hill, Jacobs Architects Lexington Contract# A961440Y	MCCI (Roach) Outpatient Clinic Project Number: 1672.0	\$307,800
	Total Amendments: (0)	Consultant Contract Amount: \$28,712
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$28,712

Project Description:

Renovate the outpatient service area to provide more efficient flow of patients and staff.

Notes: This is a per diem contract.

Staggs & Fisher Engineers Lexington Contract# A961540	Medical Center PCB Transformer Replacement Project Number: 1622.0	\$300,698
	Total Amendments: (1)	Consultant Contract Amount: \$26,400
		Consultant Cumulative Amendment Amount: \$6,730
		Revised Consultant Contract Amount: \$33,130

Project Description:

Abate the PCB by removing the PCB transformers in the following order: 1) Med. Science penthouse electrical room, 2) Med. Science ground floor electrical room, 3) Dental Science ground floor electrical room and 4) North Wing ground floor electrical room.

Notes: \$300,698 represents the total project scope of Projects 1622.0 and 1622.1.

Buell Fryer McReynolds Lexington Contract# A941750	Nutter Field House Netting System Project Number: 147.0	\$8,053,346
	Total Amendments: (1)	Consultant Contract Amount: \$14,680
		Consultant Cumulative Amendment Amount: \$2,795
		Revised Consultant Contract Amount: \$17,475

Project Description:

Construct an indoor practice facility which will include the following: a 100 yard football field, track and field event areas, golf hitting areas, baseball fields and batting area, soccer, and gymnastics areas. The support areas will include transient locker rooms, 2 offices, taping room, lounge/lobby, and storage for equipment.

Notes: \$8,053,346 reflects the total project scope of Projects 147.0, 147.2, 147.3, 147.4, 147.5, 147.6, and 147.7. Amendment #1, an increase of \$2,794.83, was approved to provide additional consultant services for shop drawing review and site monitoring during replacement of the installed equipment.

Gresham and Associates Paducah Contract# A971100	Paducah CC Waller Hall & Rosenthal Hall Elevators Project Number: 1601.0	\$244,000
	Total Amendments: (0)	Consultant Contract Amount: \$19,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$19,000

Project Description:

Install elevators in Waller and Rosenthal Halls at Paducah Community College to comply with the American Disabilities Act. Consultant to make recommendations on elevator locations.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Sherman-Carter-Barnhart Lexington Contract# A94165	Parking Structure Project Number: 1530.0	\$10,304,767
		Consultant Contract Amount: \$550,000
	Total Amendments: (2)	Consultant Cumulative Amendment Amount: \$94,426
		Revised Consultant Contract Amount: \$644,426

Project Description:

Construction of a parking structure with a minimum of 1,000 parking spaces.

Notes: \$10,304,767 reflects the total project scope of Projects 1530.0 and 1530.1. Amendment #1, an increase of \$87,160, was approved to provide an additional fee of \$45,260 for consulting services and \$41,900 for reimbursable services. The additional consulting services provide for design and construction of the Pedestrian Walkways from the Parking Structure across Limestone and from the Parking Structure to the Peterson Service Building. The initial fee included a feasibility study for these walkways, but not design fees. Initial review showed that funds were available for these walkways and they are to be included in the project. The increase of reimbursable services provides for a Resident Inspector. Amendment #2, an increase of \$72,765.66, was approved to provide reimbursement for additional Resident Inspector services.

Desman Associates Chicago, IL Contract# A981210	Parking Structure No. 2 Expansion (Study and Recommendations) Project Number: 1706.0	\$4,400,000
		Consultant Contract Amount: \$92,085
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$92,085

Project Description:

Phase I (a feasibility study only) evaluates the existing conditions and assists the University in the development of a long range plan for the renovation and/or expansion of Parking Structure No. 2.

Notes: No construction contract(s) awarded to date.

James W. Potts Architect Lexington Contract# A981150	Patterson Office Tower Elevator Modifications Project Number: 1702.0	\$1,183,000
		Consultant Contract Amount: \$76,000
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$76,000

Project Description:

Modernize and refurbish the mechanical and control systems of six elevators and the cabs in order to increase the speed, efficiency and reliability of the elevator systems.

Notes: No construction contract(s) awarded to date.

Pearson/Bender Associates Lexington Contract# A981130	Pence Hall Exit Stairway Project Number: 1700.0	\$210,000
		Consultant Contract Amount: \$18,600
	Total Amendments: (0)	Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$18,600

Project Description:

Add an egress stairway in Pence Hall.

Notes: No construction contract(s) awarded to date.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Fitzsimons O'Connor Lexington Contract# A961330	Peterson Service Building Sprinkler System Project Number: 1599.0	\$162,000
	Total Amendments: (1)	Consultant Contract Amount: \$12,883
		Consultant Cumulative Amendment Amount: \$1,920
		Revised Consultant Contract Amount: \$14,803

Project Description:

Install sprinkler system in the basement and first floor of Peterson Service Building.

Omni Architects Lexington Contract# A941890	Prestonsburg CC Johnson Building Metal Roof Project Number: 1557.0	\$500,000
	Total Amendments: (1)	Consultant Contract Amount: \$32,000
		Consultant Cumulative Amendment Amount: \$4,050
		Revised Consultant Contract Amount: \$36,050

Project Description:

Install a new metal roof over the existing one on the Johnson Building. The existing roof is in bad condition and must be replaced to protect the facilities.

James W. Potts Architect Lexington Contract# A961440D	Quicksand Agronomy Field Lab Project Number: 1661.0	\$167,918
	Total Amendments: (0)	Consultant Contract Amount: \$14,200
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$14,200

Project Description:

Construct a metal exterior building with a soils lab and three bay equipment areas.

Notes: This is a per diem contract.

Fitzsimons Lexington Contract# A981220	Radiation Medicine C-33 & C-37 Renovation Project Number: 1711.0	\$200,000
	Total Amendments: (0)	Consultant Contract Amount: \$17,738
		Consultant Cumulative Amendment Amount: \$2,661
		Revised Consultant Contract Amount: \$20,399

Project Description:

Renovate rooms C-33 and C-37 for installation of new and relocated equipment.

Notes: No construction contract(s) awarded to date. The full name of the firm is Fitzsimons Office of Architecture, Inc.

Quest Engineers, Inc. Lexington Contract# A94172	Relocate Soccer/Softball Field Project Number: 1528.0	\$1,650,000
	Total Amendments: (1)	Consultant Contract Amount: \$123,000
		Consultant Cumulative Amendment Amount: \$18,500
		Revised Consultant Contract Amount: \$141,500

Project Description:

Provide an NCAA Varsity Soccer Field, a Varsity Soccer Practice Field and a Varsity Softball Field. The consultant shall provide a long-range development plan for the area.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Staggs & Fisher Engineers Lexington Contract# A971170	Rose-Lime Chilled Water Project Number: 1658.0	\$1,104,000
	Total Amendments: (0)	Consultant Contract Amount: \$107,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$107,000

Project Description:

Tap into the central campus chilled water network at a point near the southwest corner of Scovell Hall. These new 24" diameter lines will then cross Kentucky Clinic Drive and extend southward past Research Building #3. These lines will continue on southward approximately parallel to Limestone to a point very near the Sanders Brown Building. The Sanders Brown Addition project will then tap into these lines for service required for the building addition. The main 24" lines will be terminated for future extension by another project to serve the Rose-Lime triangle area, and ultimately will cross Rose Street to create the network loop.

Ross-Feldman Architects, Inc. Lexington Contract# A94145	Shawneetown/Cooperstown Renovation Project Number: 1509.0	\$4,976,600
	Total Amendments: (2)	Consultant Contract Amount: \$175,000
		Consultant Cumulative Amendment Amount: \$109,542
		Revised Consultant Contract Amount: \$284,542

Project Description:

Selective renovation to buildings A and B at Shawneetown and buildings A and B at the Cooperstown complexes. The work in this project will include upgrading the electrical systems to meet current codes; new air conditioning for the buildings; upgrading the existing bathrooms, including new fixtures as well as testing of supply and sanitary waste lines with correction of any found problems. The two Cooperstown buildings will also receive major renovations to the existing kitchens.

Notes: \$4,976,600 reflects the total project scope of Projects 1509.0 and 1667.0. Amendment #1, a decrease of \$40,000, was approved to delete the reimbursable services for a Resident Inspector. Amendment #2, an increase of \$149,542, was approved to increase the scope of work to include additional architectural and engineering services for Part II.

Booker & Associates Lexington Contract# A981120	Southeast Community College Falkenstine Hall Renovation Phase 1 Project Number: 1665.0	\$450,000
	Total Amendments: (0)	Consultant Contract Amount: \$47,100
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$47,100

Project Description:

Renovate the third floor of Falkenstine Hall for use as multiple interactive television classrooms and television studio.

Notes: No construction contract(s) awarded to date.

James W. Potts Architect Lexington Contract# A981140	Special Procedures Lab Renovation Project Number: 1703.0	\$220,000
	Total Amendments: (0)	Consultant Contract Amount: \$2,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$2,000

Project Description:

Renovate the procedure suite into research labs similar to those in the Health Science Research Building.

Notes: No construction contract(s) awarded to date.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
James W. Potts Architect Lexington Contract# A981010D	Spindletop Fountain Project Number: 1670.0	\$121,312
	Total Amendments: (0)	Consultant Contract Amount: \$9,500
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$9,500

Project Description:

Install a new fountain and plaza in front of Spindletop Hall.

Notes: This is a per diem contract.

GRW Engineers, Inc. Lexington Contract# A971020	Sprinkler System At Donovan Hall Project Number: 1624.0	\$427,000
	Total Amendments: (1)	Consultant Contract Amount: \$35,000
		Consultant Cumulative Amendment Amount: \$5,425
		Revised Consultant Contract Amount: \$40,425

Project Description:

Install a wet pipe suppression system in Donovan Hall.

Notes: \$427,000 represents the total project scope of Projects 1624.0 and 1624.1.

Pearson + Bender + Jolly Lexington Contract# A961170	Tennis Stadium Project Number: 1594.0	\$1,324,500
	Total Amendments: (1)	Consultant Contract Amount: \$66,000
		Consultant Cumulative Amendment Amount: \$5,000
		Revised Consultant Contract Amount: \$71,000

Project Description:

Located east of the Boone Indoor Tennis Center, the anticipated seating capacity will be 3,000: 1,000 permanent seats facing each bank of four courts and 500 bleacher seats facing each east court. The facility will include eight tennis courts, arranged in a North/South orientation, with four courts located on either side of the seating/stadium complex. Courts will be hard-court construction. All courts will be lighted to NCAA night play specifications .

Ross-Tarrant Architects, Inc. Lexington Contract# A961280	UK Hospital Admitting Renovation Project Number: 1604.0	\$289,000
	Total Amendments: (0)	Consultant Contract Amount: \$16,000
		Consultant Cumulative Amendment Amount: \$0
		Revised Consultant Contract Amount: \$16,000

Project Description:

Renovate approximately 2,640 square feet of space on the first floor of the hospital presently located in the main Admitting Area (H103, H103a-H103j).

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSULTANT CONTRACTS**

CONSULTANT	PROJECT INFORMATION	SCOPE
Quest Engineers, Inc. Lexington Contract# A981030A	University Student Housing Project Number: 1639.0 Total Amendments: (0)	\$5,808,257
	Consultant Contract Amount:	\$4,000
	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$4,000

Project Description:

Design and construct a fraternity house/dormitory facility with student rooms, dining and kitchen facilities, living room, and study rooms. The building will be 37,000 gross square feet on three floors. The building will be steel frame with brick veneer. There will be off-street parking.

Notes: Project contains multiple construction contracts. Under management of a Construction Manager. This is a per diem contract dealing with the site (civil) engineering work.

Curry-Wille & Associates Aimes, IA Contract# A981170	West Kentucky Substation Animal Science Training and Development Ctr. Project Number: 1698.0 Total Amendments: (0)	\$1,740,140
	Consultant Contract Amount:	\$7,850
	Consultant Cumulative Amendment Amount:	\$0
	Revised Consultant Contract Amount:	\$7,850

Project Description:

Construction of an applied research and manpower development center which would be used to develop hands-on training and educational programs for individuals interested in animal science production.

Notes: No construction contract(s) awarded to date.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Wehr Constructors, Inc.
Louisville

Aging/Allied Health Phase I
Project Number: 405.1

Contract# U002900-96

Contractor Award Amount: \$7,899,774

Low Bid: \$7,899,774

Total Change Orders: (18) Contractor Cum. Change Order Amount: \$293,618

High Bid: \$8,198,500

Revised Contractor Contract Amount: \$8,193,392

Number of Bids: 6

Contract Percent Complete: 84%

Notes: Change Order #14, an increase of \$35,048.25, was approved to: relocate the electrical transformer, demolish the existing transformer pad, and extend Chase C to the roof for the existing emergency generator exhaust and mechanical relief valves. Change Order #2, an increase of \$40,488, was approved to enlarge and extend the utility tunnel. Change Order #5, an increase of \$111,869, was approved to install a new 8' x 7'6" x 58' concrete tunnel for future 24" chilled water loop. Change Order #10, an increase of \$27,506.78, was approved to add a limestone veneer planter wall and associated landscaping and to fill the void under the Sanders-Brown Mechanical Room slab with gravel and concrete.

Commtec/Pomeroy
Lexington

Ashland CC Academic Classroom Bldg Communications Network Infrastructure
Project Number: 1459.2

Contract# U000773-97

Contractor Award Amount: \$41,000

Low Bid: \$41,000

Total Change Orders: (1) Contractor Cum. Change Order Amount: \$1,650

High Bid: \$70,654

Revised Contractor Contract Amount: \$42,650

Number of Bids: 3

Contract Percent Complete: 0%

Burchfield & Thomas
Lexington

Center for Academic And Tutorial Services
Project Number: 1588.0

Contract# U007949-96

Contractor Award Amount: \$1,936,000

Low Bid: \$1,799,600

Total Change Orders: (7) Contractor Cum. Change Order Amount: \$71,414

High Bid: \$2,178,750

Revised Contractor Contract Amount: \$2,007,414

Number of Bids: 6

Contract Percent Complete: 86%

Notes: Low bid of \$1,799,600 was withdrawn due to contractor's mistake. Next low bid was \$1,936,000. Change Order #4, an increase of \$41,489, was approved to install raised computer access flooring and associated carpeting in order to provide flexibility in workstation configuration and to provide adequate power and communication services to the workstation.

Lagco, Inc.
Lexington

Chilled Water Distribution System Building Pumping Implementation
Project Number: 666.8

Contract# U007532-96

Contractor Award Amount: \$381,125

Low Bid: \$381,125

Total Change Orders: (1) Contractor Cum. Change Order Amount: \$5,077

High Bid: \$459,095

Revised Contractor Contract Amount: \$386,202

Number of Bids: 3

Contract Percent Complete: 91%

Raymond's Garden Center
Lexington

Clifton Site Development Landscaping
Project Number: 1635.0

Contract# U004208-96

Contractor Award Amount: \$196,916

Low Bid: \$196,916

Total Change Orders: (1) Contractor Cum. Change Order Amount: \$1,950

High Bid: \$227,150

Revised Contractor Contract Amount: \$198,866

Number of Bids: 4

Contract Percent Complete: 77%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION
Central Rock Mineral Co. Lexington Contract# U007600-96 Low Bid: \$1,686,000 High Bid: \$2,100,000 Number of Bids: 5	Coldstream Research Campus Unit II Project Number: 894.1 Contractor Award Amount: \$1,686,000 Contractor Cum. Change Order Amount: \$3,500 Revised Contractor Contract Amount: \$1,689,500 Contract Percent Complete: 90%
Central Rock Mineral Co. Lexington Contract# U007535-96 Low Bid: \$702,648 High Bid: \$904,370 Number of Bids: 5	Commonwealth Stadium Expansion Site Utility Upgrade Project Number: 1674.0 Contractor Award Amount: \$702,648 Contractor Cum. Change Order Amount: \$17,223 Revised Contractor Contract Amount: \$719,871 Contract Percent Complete: 99%
Burchfield & Thomas Lexington Contract# U008888-95 Low Bid: \$2,045,000 High Bid: \$2,307,573 Number of Bids: 3	Environmental Quality Management Center Project Number: 1456.0 Contractor Award Amount: \$2,045,000 Contractor Cum. Change Order Amount: \$153,827 Revised Contractor Contract Amount: \$2,198,827 Contract Percent Complete: 99%
<p>Notes: Change Order #10, an increase of \$30,471, was approved to add additional fire caulking as required by new fire codes, to modify and fire caulk 8" and 10" PVC exhaust ducts, to refit a hood in explosion-proof room, and to change rooftop stack supports to allow for head clearance. Change Order #11, an increase of \$52,300, was approved to add existing concrete with finestone exterior finish systems, to install a SG-2006-F2 36" sink cabinet and a 68 1/2" x 31" plastic laminate top in lab 104, and to install two additional fire alarm modules for control of exhaust fan shutdown.</p>	
Spectrum Contracing Lexington Contract# U003355-97 Low Bid: \$72,494 High Bid: \$96,370 Number of Bids: 6	Haddon Lab Renovation, Phase II Project Number: 1663.1 Contractor Award Amount: \$72,494 Contractor Cum. Change Order Amount: \$0 Revised Contractor Contract Amount: \$72,494 Contract Percent Complete: 0%
D.W. Wilburn, Inc. Lexington Contract# U008610-96 Low Bid: \$8,290,000 High Bid: \$9,291,482 Number of Bids: 6	Hazard CC Student/Performing Arts Conference Center Project Number: 1460.0 Contractor Award Amount: \$8,290,000 Contractor Cum. Change Order Amount: -\$8,646 Revised Contractor Contract Amount: \$8,281,354 Contract Percent Complete: 23%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

A.V.P., Inc. Henderson		Henderson Community College Administration Building Elevator Project Number: 1600.0	
Contract# U004880-96		Contractor Award Amount: \$298,250	
Low Bid: \$298,250	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$3,846
High Bid: \$345,278		Revised Contractor Contract Amount:	\$302,096
Number of Bids: 4		Contract Percent Complete:	100%

Lanham Brothers Owensboro		Hopkinsville Community College Regional Technical Training Center Project Number: 1461.0	
Contract# U004248-96		Contractor Award Amount: \$6,347,261	
Low Bid: \$6,347,261	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$5,164
High Bid: \$6,789,400		Revised Contractor Contract Amount:	\$6,352,425
Number of Bids: 7		Contract Percent Complete:	46%

The full name is Lanham Brothers General Contractors, Inc.

Arrow Electric Co., Inc. Louisville		Hospital Electrical Distribution Upgrade Project Number: 1518.0	
Contract# U008507-95		Contractor Award Amount: \$705,000	
Low Bid: \$720,000	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$50,929
High Bid: \$745,000		Revised Contractor Contract Amount:	\$755,929
Number of Bids: 3		Contract Percent Complete:	99%

Notes: Bid was negotiated down to \$705,000. Original project was over budget so negotiations were conducted with three low bidders. Contract amount was reduced due to receiving salvage credit for old generator.

Finney Company Lexington		Hospital Ground Floor HVAC Project Number: 1692.0	
Contract# U003320-97		Contractor Award Amount: \$124,440	
Low Bid: \$124,440	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$154,397		Revised Contractor Contract Amount:	\$124,440
Number of Bids: 5		Contract Percent Complete:	0%

Champion Cleaning Cincinnati, OH		Indoor Air Quality, Dental Areas, Duct Cleaning Project Number: 1671.1	
Contract# U002981-97		Contractor Award Amount: \$53,730	
Low Bid: \$53,730	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$93,926		Revised Contractor Contract Amount:	\$53,730
Number of Bids: 4		Contract Percent Complete:	0%

The Wagner Company Lexington		Kastle Hall Renovation Project Number: 1512.0	
Contract# U002113-97		Contractor Award Amount: \$719,387	
Low Bid: \$719,387	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$744,944		Revised Contractor Contract Amount:	\$719,387
Number of Bids: 2		Contract Percent Complete:	8%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR	PROJECT INFORMATION		
Spectrum Contracting Lexington	Kentucky Clinic Parking Structure Structural Steel Repairs, Phase II Project Number: 1628.1		
Contract# U002108-97			Contractor Award Amount: \$55,324
Low Bid: \$55,324	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$84,450		Revised Contractor Contract Amount:	\$55,324
Number of Bids: 2		Contract Percent Complete:	73%
M.B. McGregor Company Lexington	King Alumni House Renovation Project Number: 1705.0		
Contract# U001423-97			Contractor Award Amount: \$112,500
Low Bid: \$112,500	Total Change Orders: (2)	Contractor Cum. Change Order Amount:	\$10,227
High Bid: \$128,700		Revised Contractor Contract Amount:	\$122,727
Number of Bids: 3		Contract Percent Complete:	90%
Ira Tupts Contracting Inc. Lexington	MCCI (Roach) Outpatient Clinic Project Number: 1672.0		
Contract# U008805-96			Contractor Award Amount: \$178,863
Low Bid: \$178,863	Total Change Orders: (4)	Contractor Cum. Change Order Amount:	\$5,427
High Bid: \$197,475		Revised Contractor Contract Amount:	\$184,290
Number of Bids: 5		Contract Percent Complete:	95%
A & K Construction, Inc. Paducah	Paducah Community College Rosenthal Elevator Project Number: 1601.0		
Contract# U002798-97			Contractor Award Amount: \$136,671
Low Bid: \$136,671	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$213,692		Revised Contractor Contract Amount:	\$136,671
Number of Bids: 5		Contract Percent Complete:	0%
Lawson Electric Company Paducah	Paducah Community College Science and Engineering Building Communications Project Number: 1719.0		
Contract# U003348-97			Contractor Award Amount: \$147,645
Low Bid: \$147,645	Total Change Orders: (0)	Contractor Cum. Change Order Amount:	\$0
High Bid: \$459,302		Revised Contractor Contract Amount:	\$147,645
Number of Bids: 3		Contract Percent Complete:	0%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Frank Messer & Sons
Cincinnati, OH
Contract# U003333-95

Parking Structure
Project Number: 1530.0

Low Bid: \$8,088,000
High Bid: \$8,397,000
Number of Bids: 3

Contractor Award Amount: \$7,800,000
Contractor Cum. Change Order Amount: \$520,290
Revised Contractor Contract Amount: \$8,320,290
Contract Percent Complete: 99%

Notes: The low bid was negotiated down to \$7,800,000. Change Order #2, an increase of \$124,685, was approved to provide suitable bearing material below slab on grade. Change Order #4, an increase of \$55,999, was approved to remove unsuitable rock at caissons. Change Order #5, an increase of \$34,748, was approved to modify foundations and fill with lean concrete. Change Order #6, an increase of \$30,464, was approved to remove specified foundation slab and to excavate unsuitable soils and replace with lean concrete. Change Order #8, an increase of \$60,000, was approved to provide precast and face brick for pedestrian bridge column. Change Order #17, an increase of \$25,600, was approved to provide brick veneer on columns, to separate offices, revise elevator door, and to revise sidewalk at Upper Street entrance. Change Order #19, a decrease of \$65,000, was approved to credit the University for Enterprise Zone sales tax exemption. Change Order #26, an increase of \$130,676, was approved to construct a pedestrian bridge enclosure, to provide cold weather additives for concrete within the pedestrian bridge, and to re-orient exit lighting. Change Order #34, an increase of \$46,900, was approved to provide handicap actuators/activator buttons, to modify magnetic locks, to provide additional outlets and wire moldings in the parking office, to provide and install precast panels on top of stairs "A and B", to install wiring and to switch to manual override on the North Limestone exit grille, to modify gate operators to be hydraulic in lieu of the swing-arm type, and to provide stops for each gate.

Spectrum Contracting
Lexington
Contract# U004161-96

Peterson Service Building Sprinkler System
Project Number: 1599.0

Low Bid: \$104,900
High Bid: \$147,910
Number of Bids: 6

Contractor Award Amount: \$104,900
Contractor Cum. Change Order Amount: \$6,914
Revised Contractor Contract Amount: \$111,814
Contract Percent Complete: 99%

Hardin Construction Co.
Clayhole
Contract# U002003-97

Quicksand Agronomy Field Lab
Project Number: 1661.0

Low Bid: \$134,318
High Bid: \$212,797
Number of Bids: 3

Contractor Award Amount: \$134,318
Contractor Cum. Change Order Amount: \$0
Revised Contractor Contract Amount: \$134,318
Contract Percent Complete: 17%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Tutt, Inc. Lexington Contract# U006801-95	Relocate Soccer/Softball Field Project Number: 1528.0	Contractor Award Amount: \$309,600
Low Bid: \$309,600	Total Change Orders: (4)	Contractor Cum. Change Order Amount: \$83,105
High Bid: \$487,800		Revised Contractor Contract Amount: \$392,705
Number of Bids: 4		Contract Percent Complete: 97%
<p>Notes: Change Order #4, an increase of \$38,438, was approved to: add an additional stone parking area, pave gravel sections of existing lot, and construct a paved walkway from road to gate at the soccer fields. Change Order #2, an increase of \$29,441.13 was approved to: 1) provide 1 inch bituminous surface and 2 inch binder for the 24 foot wide entrance drive, 2) to increase the size of the concrete pad beneath the bleacher access and pressboxes and 3) to provide rising stem valves on backflow preventer assembly, strainers and irrigation meters in the water pipe gallery in the mechanical/storage building.</p>		
Engle Construction Co. Lexington Contract# U002112-97	Renovation of MN 467 & 469 Project Number: 1708.0	Contractor Award Amount: \$54,439
Low Bid: \$54,439	Total Change Orders: (0)	Contractor Cum. Change Order Amount: \$0
High Bid: \$64,900		Revised Contractor Contract Amount: \$54,439
Number of Bids: 6		Contract Percent Complete: 50%
Lagco, Inc. Lexington Contract# U008368-96	Rose/Lime Chilled Water Lines Project Number: 1658.0	Contractor Award Amount: \$725,075
Low Bid: \$725,075	Total Change Orders: (1)	Contractor Cum. Change Order Amount: \$2,740
High Bid: \$829,900		Revised Contractor Contract Amount: \$727,815
Number of Bids: 3		Contract Percent Complete: 67%
Eubank & Steele Const. Lexington Contract# U011531-94	Shawneetown/Cooperstown Renovation Project Number: 1509.0	Contractor Award Amount: \$1,838,250
Low Bid: \$1,838,250	Total Change Orders: (12)	Contractor Cum. Change Order Amount: \$56,580
High Bid: \$2,160,000		Revised Contractor Contract Amount: \$1,894,830
Number of Bids: 6		Contract Percent Complete: 100%
Eubank & Steele Const. Lexington Contract# U003720-96	Shawneetown/Cooperstown Renovation Phase II Project Number: 1667.0	Contractor Award Amount: \$2,656,400
Low Bid: \$2,656,400	Total Change Orders: (1)	Contractor Cum. Change Order Amount: -\$699
High Bid: \$3,296,000		Revised Contractor Contract Amount: \$2,655,701
Number of Bids: 6		Contract Percent Complete: 99%

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Spectrum Contracting Lexington Contract# U008617-96	Spindletop Fountain Project Number: 1670.0
Low Bid: \$85,517	Contractor Award Amount: \$85,517
High Bid: \$127,851	Contractor Cum. Change Order Amount: \$8,631
Number of Bids:	Revised Contractor Contract Amount: \$94,148
	Contract Percent Complete: 99%

Tri-State Fire Protection Newburg, IN Contract# U005590-96	Sprinkler System at Donovan Hall Project Number: 1624.0
Low Bid: \$261,778	Contractor Award Amount: \$261,778
High Bid: \$399,500	Contractor Cum. Change Order Amount: \$17,999
Number of Bids: 4	Revised Contractor Contract Amount: \$279,777
	Contract Percent Complete: 100%

T.A. Construction, Inc. Louisville Contract# U002774-96	Tennis Stadium Project Number: 1594.0
Low Bid: \$1,088,000	Contractor Award Amount: \$1,088,000
High Bid: \$1,252,000	Contractor Cum. Change Order Amount: \$130,553
Number of Bids: 13	Revised Contractor Contract Amount: \$1,218,553
	Contract Percent Complete: 99%

Notes: Change Order #7, an increase of \$76,539.31, was approved to provide and install four pole lights at courts one and two to achieve a light level of 125 footcandles.

Spectrum Contracting Lexington Contract# U005404-96	UK Hospital Admitting Renovation Project Number: 1604.0
Low Bid: \$199,511	Contractor Award Amount: \$199,511
High Bid: \$234,000	Contractor Cum. Change Order Amount: \$20,898
Number of Bids: 9	Revised Contractor Contract Amount: \$220,409
	Contract Percent Complete: 99%

Branscum Construction** Russell Springs Contract# U003074-96	University Student Housing Project Number: 1639.0
Low Bid:	Contractor Award Amount: \$157,500
High Bid:	Contractor Cum. Change Order Amount: \$0
Number of Bids:	Revised Contractor Contract Amount: \$157,500
	Contract Percent Complete: 27%

Notes: Branscum is the construction manager for this project. The following construction contracts pertaining to project 1639.0 are managed by Branscum Construction.

**CAPITAL CONSTRUCTION QUARTERLY ACTIVITY
CONSTRUCTION CONTRACTS**

CONTRACTOR

PROJECT INFORMATION

Boyle Masonry Stanford Contract# U008288-96	University Student Housing Project Number: 1639.0		
Low Bid: \$567,414	Total Change Orders: (2)	Contractor Award Amount:	\$567,414
High Bid: \$812,800		Contractor Cum. Change Order Amount:	-\$24,340
Number of Bids: 4		Revised Contractor Contract Amount:	\$543,074
		Contract Percent Complete:	10%
Notes: Change Order #2, a decrease of \$25,600, was approved to delete the cost of the brick due to owner furnishing all brick for the project.			
Davis H. Elliot Company Lexington Contract# U008304-96	University Student Housing Project Number: 1639.0		
Low Bid: \$539,600	Total Change Orders: (2)	Contractor Award Amount:	\$539,600
High Bid: \$699,590		Contractor Cum. Change Order Amount:	\$990
Number of Bids: 4		Revised Contractor Contract Amount:	\$540,590
		Contract Percent Complete:	72%
Finney Company, Inc. Lexington Contract# U008302-96	University Student Housing Project Number: 1639.0		
Low Bid: \$1,063,400	Total Change Orders: (2)	Contractor Award Amount:	\$1,063,400
High Bid: \$1,157,300		Contractor Cum. Change Order Amount:	\$21,150
Number of Bids: 3		Revised Contractor Contract Amount:	\$1,084,550
		Contract Percent Complete:	8%

FCR 3

Office of the President
March 3, 1998

Members, Board of Trustees:

AUTHORIZATION TO EXECUTE GROUND LEASE

Recommendation: that the Board of Trustees authorize the administration to execute a lease of one-half acre of land (more or less) on the campus of the Owensboro Community College to the Daviess County Agricultural Extension District.

Background: The Daviess County Extension District Board proposes to build an Extension Building on the campus of the Owensboro Community College. The plans for the project have been coordinated with Owensboro Community College, the College of Agriculture, and the University Architect. In order to enable financing of the building, a long-term lease to the District Board is necessary.

The proposed project would contain the following elements: (1) the lease will be renewable for a total of 75 years at a lease rate of one dollar per year, (2) the facility will provide 7,500 square feet of space for College of Agriculture extension programs in agriculture, horticulture, home economics, and 4-H and would also be used for cultural activities and related meeting facilities, (3) the District Board will construct and maintain the facility in a manner that meets all federal, state, and local regulations, and (4) in the event that the District Board chooses at any time not to renew the lease on the facility, it will be transferred to the University of Kentucky if the University chooses to accept the facility.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
March 3, 1998

Members, Board of Trustees:

E. T. ARINGTON GIFT AND PLEDGE

Recommendation: that the Board of Trustees accept a gift of \$142,500 and a pledge of \$57,500 from E. T. Arington, Cincinnati, Ohio, to the University of Kentucky Athletics Association for the outdoor tennis stadium at the Hilary J. Boone Tennis Center.

Background: E. T. Arington is chairman, president and chief executive officer of Duramed Pharmaceuticals in Cincinnati and a long-time supporter of the UK athletics program. The six-court outdoor tennis stadium, which is in the final stage of construction, seats 1,000 spectators. Two of the courts are lighted. The Arington gift completes the fundraising for the \$1.5 million outdoor stadium, which is adjacent to the four-court indoor center.

Action taken:

Approved

Disapproved

Other _____

FCR 5

Office of the President
March 3, 1998

Members, Board of Trustees:

ESTATE OF LUCY GEORGE THOMAS BOOKER

Recommendation: that the Board of Trustees accept a bequest of \$253,284.91 from the Estate of Lucy George Thomas Booker to establish an endowed scholarship fund at Hopkinsville Community College.

Background: At the time of her death at age 75 on October 22, 1995, Mrs. Booker lived on a small farm near Columbia, Tennessee. She was proud of her Kentucky heritage, having spent much of her earlier life in Hopkinsville. She was interested in higher education and through her will arranged for the establishment of an endowed scholarship fund for students who attend Hopkinsville Community College. The bequest was received on December 12, 1997.

Action taken:

Approved

Disapproved

Other _____

FCR 6

Office of the President
March 3, 1998

Members, Board of Trustees:

CISCO SYSTEMS, INC., GIFT-IN-KIND

Recommendation: that the Board of Trustees accept a gift-in-kind of communications equipment valued at \$1,720,000 from Cisco Systems, Inc., San Jose, California, to the William T. Young Library building project.

Background: The Cisco Systems gift of communications networking equipment and software that connect computers and computer networks provides the William T. Young Library with library communications services unmatched at any university. This high-speed, state-of-the-art equipment will provide a library communications system that will support the most demanding requirements of a research university. Students, faculty, staff and other patrons will have the ability to seamlessly access multimedia library resources locally and worldwide.

Cisco Systems is the largest "internetworking" company in the world. All of the networking equipment and communications software for the William T. Young Library was supplied by Cisco Systems.

Action taken:



Approved

Disapproved

Other _____