

Minutes of the Meeting of the Board of Trustees, University of Kentucky,
Tuesday, December 14, 1971

The Board of Trustees of the University of Kentucky met pursuant to KRS 164.170 in a regular statutory quarterly meeting at 2:00 p. m. (Eastern Standard Time), on Tuesday, December 14, 1971, in Room E on the 18th floor of the Patterson Office Tower on the campus of the University with the following members present: Mr. Albert G. Clay, Mr. Jesse M. Alverson, Mr. Thomas P. Bell, Mrs. Rexford S. Blazer, former Governor A. B. Chandler, Mr. Richard E. Cooper, Mr. George W. Griffin, Mrs. Robert O. Clark, Mr. Eugene Goss, Dr. N. N. Nicholas, Mr. James H. Pence, Mr. Floyd H. Wright; non-voting faculty members, Professor Paul Oberst and Professor Paul Sears; and non-voting student member, Mr. Scott Wendelsdorf. Absent from the meeting were: Governor Wendell Ford, Mr. Wendell P. Butler, and Mr. J. Robert Miller. Members of the administration present were: President Otis A. Singletary, Vice Presidents Alvin L. Morris, Lewis Cochran, A. D. Albright, Stanley Wall, Robert Zumwinkle, Peter P. Bosomworth, and L. E. Forgy; Dr. Donald B. Clapp, Director of Budget; and Mr. John C. Darsie, Legal Counsel; and Mr. Jack Hall, Dean of Students. Representatives of the various news media were also in attendance.

A. Meeting Opened

Mr. Clay as Vice Chairman called the meeting to order at 2:00 p. m. The invocation was pronounced by Mr. Clay. The Secretary reported a quorum present, and the meeting was declared officially open for the conduct of business at 2:03 p. m.

B. Minutes Approved

On motion by Mr. Bell, seconded by Mrs. Blazer, and passed, the reading of the Minutes for the November 15, 1971 meeting of the Board of Trustees was dispensed with and the Minutes were approved as published.

C. Tribute Paid to Albert Dennis Kirwan

Mr. Clay asked those present at the meeting to stand for a moment of silent prayer in memory of Dr. A. D. Kirwan, seventh President of the University of Kentucky, who died on November 30, 1971, following which Mr. Pence read a memorial tribute to Dr. Kirwan. On motion duly made, seconded, and carried, it was ordered that the tribute be spread upon the Minutes of the meeting and copies sent to President Kirwan's family. A copy of the tribute is appended at the end of the Minutes.

D. Announcement Relative to Senate Reception

President Singletary reminded the members of the Board that the annual reception in honor of the Board of Trustees which is hosted by the University Senate was scheduled from 4:00 to 8:00 o'clock, following the meeting and urged as many members of the Board to attend as could do so.

E. President's Report to the Trustees

President Singletary called attention to his monthly report on University activities since the last meeting of the Board of Trustees. The report was accepted with thanks and ordered filed.

F. Recommendations of the President (PR 2)

The items in PR 2 being routine in nature and there being no questions, on motion duly made, seconded, and carried, PR 2, Recommendations of the President, was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3)

President Singletary explained that the recommendations in PR 3 would permit early payment of the supplemental compensation under the Physicians' Service Plan already approved by the Board of Trustees and recommended approval. Without discussion, on motion by Governor Chandler, seconded, and passed, it was so ordered. (See PR 3 at the end of the Minutes.)

H. Resolution Authorizing, Ratifying and Approving All Action Taken by the Board at the November 15, 1971, Meeting with Reference to the Plan of Financing a New Stadium, Parking Areas to be Used in Connection Therewith and Other Necessary Appurtenances

Mr. Darsie explained that bond counsel had suggested that all of the action taken by the Board at the meeting on November 15, 1971, with reference to financing a new stadium, parking areas to be used in connection therewith and other necessary appurtenances be authorized, ratified and approved at a statutory regular meeting of the Board. Thereupon, Mr. Alverson introduced, caused to be read and moved the immediate adoption of a resolution entitled:

A RESOLUTION AUTHORIZING, RATIFYING AND APPROVING ALL ACTION TAKEN BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AT THE MEETING ON NOVEMBER 15, 1971, WITH REFERENCE TO FINANCING A NEW STADIUM, PARKING AREAS TO BE USED IN CONNECTION THEREWITH AND OTHER NECESSARY APPURTENANCES,

a true copy of which was presented to the meeting at which such resolution was proposed and a true copy of which is attached to these Minutes. The motion for the adoption of such resolution was seconded by Mrs. Blazer. After full discussion the Vice Chairman put the question, and upon call of the roll, the vote was recorded as follows:

Voting "Aye": Mr. Clay, Mr. Alverson, Mr. Bell, Mrs. Blazer, Mr. Chandler, Mr. Cooper, Mr. Griffin, Mrs. Clark, Mr. Goss, Dr. Nicholas, Mr. Pence, and Mr. Wright.

Voting "Nay": None.

I. Budget Revisions for 1971-72 (PR 4)

There being no questions relative to budget revisions for 1971-72 as recommended in PR 4, on motion made, seconded, and passed, PR 4 was approved. (See PR 4 at the end of the Minutes.)

J. Changes in Organization of Biological Sciences (PR 5)

Following a brief discussion of the reasons for the recommended changes in the organization of Biological Sciences, on motion by Dr. Nicholas, seconded by Mrs. Clark, and passed, the recommendation in PR 5 was approved. (See PR 5 at the end of the Minutes.)

K. Proposed Amendments of the Governing Regulations (PR 6)

President Singletary explained that it was necessary for any amendment to the Governing Regulations to lie upon the table for a month prior to adoption. He, therefore, recommended that the proposed changes to the Governing Regulations presented in PR 6 be accepted for study and action at the next meeting of the Board. On motion, and second, with all members assenting, the proposed amendments were received for consideration and action at the next meeting. (See PR 6 at the end of the Minutes.)

L. Resolution Committee Appointed

Mr. Clay appointed Mr. Richard E. Cooper, Professor Paul Sears and Mrs. Robert O. Clark as a committee to draft resolutions for Governor Nunn and those members of the Board whose terms expire on December 31, 1971.

M. Interim Financial Report (FCR 1)

On motion by Mr. Griffin, seconded by Mrs. Blazer, and passed, the

interim financial report for the period ending October 31, 1971 was received and ordered made a matter of record. (See FCR 1 at the end of the Minutes.)

N. Audit Reports Accepted (FCR 2)

Having had an opportunity to examine the financial statements submitted by the firm of Peat, Marwick, Mitchell and Company covering the affiliated corporations of the University and there being no questions, on motion by Mr. Griffin, seconded, and passed, the financial statements of the University of Kentucky Research Foundation, University of Kentucky Athletic Association, and The Fund for Advancement of Education and Research in the University of Kentucky Medical Center were accepted. (See FCR 2 at the end of the Minutes.)

O. Acquisition of Property Through Condemnation Proceedings
Approved (FCR 3)

After a brief explanation by Mr. Forgy of the reasons why it was necessary for the University to exercise its right of eminent domain in connection with acquisition of real property owned by the Borden Company, on motion by Mr. Alverson, seconded by Mrs. Blazer, and passed without dissent, the President was authorized to proceed with the necessary steps to acquire the aforementioned property at fair market value. (See FCR 3 at the end of the Minutes.)

P. Sale of Property (FCR 4)

Mr. Forgy explained that certain property owned by the University in Muhlenberg County was no longer necessary for the purposes of the University. Without discussion, on motion and second, the recommendation in FCR 4 relative to the Muhlenberg County property was approved. (See FCR 4 at the end of the Minutes.)

Q. Adjustment of Room and Board Rates (FCR 5)

Noting that the proposed increase of approximately 4.3 percent in room and board rates was necessitated by increased costs, Mr. Forgy recommended approval of FCR 5. On motion by Governor Chandler, seconded by Dr. Nicholas, and passed without dissent, the recommended adjustment in room and board rates was approved to become effective on the dates recommended in FCR 5. (See FCR 5 at the end of the Minutes.)

R. Proposed Amendment to Student Code

Mr. Wendelsdorf withdrew his proposed amendment to the Student Code in compliance to the Board's wish that it be referred to the Student Code Revision

Committee for consideration, along with other suggested changes in the Student Code.

S. Independent Status of Kernel Noted

President Singletary introduced Mike Wines, Editor of the Kernel, who distributed copies of the final edition of the Kernel as a campus newspaper. The Kernel has incorporated independently and separately and future issues will be published off campus.

Governor Chandler commended the "new look" of the Kernel and gave Mr. Wines credit for its improvement. He also took the opportunity to commend Scott Wendelsdorf for his cooperative attitude as the non-voting student member of the Board of Trustees.

T. Meeting Adjourned

There being no further business to come before the meeting on motion duly made, seconded and carried, the meeting adjourned at 2:32 p. m.

Respectfully submitted,

Lucile T. Blazer
Secretary, Board of Trustees

(Kirwan Resolution, PR 2, PR 3, Ratifying Resolution, PR 4, PR 5, PR 6, FCR 1, FCR 2, FCR 3, FCR 4, FCR 5, and Proposed Amendment to Student Code which follow are official parts of the Minutes of the meeting.)

In Memoriam

The Board of Trustees of the University of Kentucky notes with deep sorrow the death of

ALBERT DENNIS KIRWAN

seventh president of the University of Kentucky, on Tuesday, November 30, 1971.

As each individual is diminished by another's death, so an institution is diminished by the death of one of its members. When that individual is a person of Dr. Kirwan's stature, the diminishment is painfully apparent. Others will teach his classes and carry on his research but no one will ever replace him for he was unique and few men possess all those traits of character which set him apart from and a little above his fellowman.

A scholar and a gentleman in the truest meaning of those words, Dr. Kirwan followed well the precept that "we are here to add what we can to life, not to get what we can from it". He gave generously of himself at all times and the Board of Trustees recognizes the personal sacrifices which he made when he assumed the presidency of the University of Kentucky in 1968 at the Board's request. He filled an urgent need at that time and, to quote him, he "held the fort" well.

Rochefoucauld could have been describing President Kirwan when he wrote, "It is only persons of firmness that can have real gentleness". Ab Kirwan was a kind and gentle man yet a man of strong convictions and quiet courage. He accepted his own physical frailty without complaint and never used it as a means of shunning responsibility.

A man with a wry sense of humor and a raconteur par excellence, President Kirwan drew people to him from every walk of life. He was respected and esteemed by all segments of the University community and his absence from this institution he loved so well and to which he gave so much will be keenly felt by all those whose lives he touched.

Modest and unassuming, Ab Kirwan always deprecated the importance of his many roles at the University but the long shadow which he cast will linger always to remind those he has left behind that a great man lived and worked here.

Therefore, in recognition of the great debt which this institution owes to a beloved man, the Board of Trustees of the University of Kentucky meeting in statutory session on Tuesday, December 14, 1971 orders that this memorial to Dr. Kirwan be spread upon the Minutes of the meeting and copies thereof sent to Dr. Kirwan's family.

PRI

PRESIDENT'S REPORT TO THE TRUSTEES

December 14, 1971

1. HONORS PROGRAM, IN 12TH YEAR, HAS 398 STUDENTS

The Honors Program is in its 12th year, and its administrators feel that a 1959 mandate from the Board of Trustees, "to provide the best possible training for highly talented young people," has been successful. Beginning as a pilot program in 1960 with approximately 40 freshmen, 398 students presently are in the program; although 75 per cent have better than a 3.0 grade average, and half of those are above 3.5, they must fill certain requirements beyond securing a high grade point standing. Most important is participation in independent work. For example, one chemistry student is engaged in a research project at Argonne Laboratory in Chicago. Another, in music, has composed a "substantial mass." An architectural student has built a house. They are in nine different colleges, and the history of the program reflects its University-wide coverage. The first director was from the College of Agriculture, his successor from engineering. The present director is Dr. R. O. Evans, professor of English. A major success of the program has been its attraction to good students to attend the University. Operating on the principle that all Honors students should perform well, the program's administrators have never been disappointed. Students almost are never dismissed because of poor grade performance. What mortality exists has been because of marriage, or the student has transferred to another institution. Some have dropped out because their interests developed in different directions. The majority of Honors students enter graduate or professional schools. At the present, a husband and wife, both Honors students, are graduating from Harvard with a Ph.D and a degree in law. All students who have stayed in the pre-medical course have been accepted by medical schools, and none have graduated below the middle of their classes.

2. PERSONNEL CONDUCTS TRAINING SESSIONS FOR NEW SECRETARIES

All new secretaries at the University now participate in a secretarial training program, conducted by the Personnel office and consisting of ten two-hour sessions. The program, according to its director, Martin D. May, is designed to outline procedures "unique to an institution of this kind." He said the training sessions stress courtesy and telephone practices. There are information sessions on the library, the research foundation, public relations and data processing. Sessions are held twice a week, for five weeks, in the White Hall Classroom Building. May said an effort also is made to conduct training sessions for older secretaries, "to bring them up to date on procedure changes." Often, he said, outside specialists are brought in to conduct sessions on the operation of new equipment. He said "our emphasis is on telephone and business machine techniques, and proper attitudes."

3. ROMANIAN AMBASSADOR VISITS UNIVERSITY

The Romanian ambassador to the U.S., Corneliu Bogdan, spent three days here last month as guest of the University. Highlight of his visit was a public lecture on November 30, discussing "Romania in the Concert of Nations." The invitation originated in a class that is quite knowledgeable about Romania: College of Arts and Sciences 300, which was set up to offer courses relevant to students' interests and crosses academic disciplines. A current series is called "East European Nations in Profile." Dr. Joseph A. Kessler of the history department is in charge of the course, together with Dr. Michael Impey, instructor in Italian who specializes in Romanian literature, since both Romanian and Italian are Romance languages. Dr. Impey also offers a full-year course in the Romanian language, the first at the University. In-depth lectures are being presented in the course by Dr. Ernest Yanarella of the political science department, Dr. Curtis E. Harvey of the College of Business and Economics, Dr. Walter Abbott of the sociology department, and Miss Linda Mikel of the geography department. Guest lecturers have included a Greek Orthodox priest in Lexington who lived in Romania until 1950, and a top Romanian economist who spoke on Romania's planned economy. Mr. Bogdan spoke to the class.

4. BERRY DELIVERS ANNUAL DISTINGUISHED PROFESSOR LECTURE

Wendell E. Berry, distinguished professor of the College of Arts and Sciences, presented the annual Distinguished Professor of the Year lecture on November 17 in Memorial Hall. Title of Prof. Berry's address was "Discipline and Hope: Some Notes Toward a Definition of a New Middle." He was elected by his fellow faculty members in the college last winter and as recipient of the award was released from his duties during the Spring semester. He is the 27th winner of the coveted award, established in 1944 as a means of recognizing academic achievement. In his address, he said: "The only life we may hope to live is here. It seems likely that if we are to reach the earthly paradise at all, we will reach it only when we have ceased to strive and hurry so to get there. There is no 'there.' We can only wait here where we are, in the world, obedient to its processes, patient in its taking away, faithful to its returns. And as much as we may know, and all that we deserve, of earthly paradise will come to us."

5. DEBATE TEAMS WINNING AWARDS

University debate teams won six awards at the University of South Carolina Debate Tournament at Columbia. Dr. J. W. Patterson, director of forensics and debate coach, said the teams took first place in the Junior Varsity Division, with a two-man team composed of Jim Flegle, Bardwell, and Carl Merchant, Barbourville. Flegle also received the top speaker award and Merchant the second-place speaker award in the division; second place in the Varsity Division was a team composed of Carl Brown and Jeff Langford, both of Louisville. Brown received the second place trophy and Langford the eighth place speaker trophy in that division. Dr. Patterson said the tournament director told him the University teams had the top record in the tournament, although no trophy was given.

6. GEOGRAPHERS HAVE SUCCESSFUL MEETING

Dr. P. P. Karan, Department of Geography chairman, reports a successful district meeting held in Lexington, and hosted by the department. The geographers--members of the Association of American Geographers Southeast Division--read numerous papers at the convention held November 22-23, and prior to the meetings they were taken on an all-day tour of the Blue Grass, at which time they visited rural Negro hamlets, studied pollution problems, urbanization of the horse farms, and new industrial locations. The tour was arranged for the geographers by Prof. Karl Raitz of the geography department. The Fayette County Geographical Society, in collaboration with the geography department, published an 84-page tour guide of the Blue Grass for the visiting geographers. The convention dealt with a wide range of matters, such as drought perception, plantation agriculture, urban gardening, Black community, air pollution and respiratory deaths, highway environmental impact, analysis of work trips, models of inter-city automobile travel, political participation in Latin America, origin and diffusion of the parking meter, log houses in Mexico, geography of human hair, and a political geography of the U.S. in the year 2,000.

7. SERVICE TO SMALL BUSINESS EXPANDED

A former advertising executive and business manager has been named by the Office of Business Development and Government Services to assist small business firms in 18 Kentucky counties. James M. Miller, Danville, has been assigned to help businessmen in Bell, Harlan, Knox, Laurel, Whitley, Clay, Jackson, Rockcastle, Casey, Green, Taylor, Adair, Russell, Pulaski, Cumberland, Clinton, Wayne and McCreary counties. The appointee formerly was president of Beaumont Inn Foods, Inc., Harrodsburg, vice president of Staples Advertising Co., Louisville, advertising manager of Federal Chemical Corp., Louisville, and assistant manager of the industrial department of Peaslee-Gaulbert Corp., also of Louisville. He is a graduate of Northwestern University, with a degree in economics, and has completed additional work in management development at the University of Louisville. Consultation is available to small business firms without charge on quality control, engineering, management controls, finances, taxes and other specialized fields of management. Where extended study is required and agreed upon, the businessman will be advised of any charge before the work is undertaken.

8. ADVISORS VISIT PHYSICS DEPARTMENT

An advisory council, whose members represent various industrial organizations, visited the Department of Physics on November 12. Dr. Ben Gossick, department chairman, said the council toured the facilities, met with students and faculty, and were briefed on curriculum and research. After returning home, the advisors will prepare recommendations on how the department might improve to keep in step with the needs of industrial firms that hire physicists, Dr. Gossick said.

9. NEMATODES COMMITTEE TO MEET HERE

The Southern Regional Technical Committee S-76, Plant Parasitic Nematodes, is holding its annual meeting at the College of Agriculture December 13-15. The Department of Plant Pathology is host. The committee is composed of representatives from 13 southern states. They all are plant nematologists working in their respective states on nematode diseases of plants. The S-76 committee currently is concerned with the depredations of a family of nematodes that is widespread throughout the region. The two major members of this family, root-knot and cyst nematodes, account for a large share of the crop damage incited by nematodes. Virtually every important plant growing in the Southern region is attacked by species of one or both groups.

10. VOLUNTEERS GIVEN CREDIT FOR FIELD SERVICE

The University has been selected as one of 31 schools to initiate a federally-funded, volunteer program in which the students will receive academic credit for work in rural or urban poverty areas. The program will begin in January. Selected students will do field work in anti-poverty settings for a year and will be given college credit for their two semesters of volunteer service. The "field workers" also will receive a small salary for their services, which will be appropriated by the federal government. Final plans must be submitted to Washington by mid-December, at which time choice of work areas and student volunteers will be reviewed. If the final plan is satisfactory, the participating students will be trained for specific volunteer tasks. Acting as director of the program is Dr. John Stephenson, dean of undergraduate studies. Stephenson commented on the interest already shown by students, noting that over 300 have inquired about the 60 available openings. "It's the greatest thing which has happened to the University," he said. The goals of the program are to show some measurable impact on poverty areas. He added that if the prescribed goals are reached this year the program "will undoubtedly continue. This will bring expansion and be a real service to poverty-stricken areas of the U.S."

11. DENTAL STUDENTS, STAFF WORK IN ISRAEL

During the past five summers, students and faculty members from the Department of Pedodontics have treated over 1,000 children in Wolfe county and this summer two professors and two dental students got a rare educational opportunity, a chance to learn and render dental services to children in Israel. Dr. John Mink, chairman of the department, noted the similarity of the two areas, pointing out that problems of the Israeli children treated, like those of some of the children in Wolfe county, were "typical of any child in the U.S. living in a non-fluoride area." Accompanying Dr. Mink to Israel were Dr. Robert Spedding, associate professor of pedodontics, Darrel Sheets, Anchorage, and Munro Steckler, Cincinnati, both third-year students. They worked with some 60 to 70 dentists, dental students and volunteers from across the U.S. Dr. Mink explains that the main purpose of the project, as with the Wolfe county program, was "to take dentistry to children in those areas where there wasn't adequate dental care."

12. PHARMACY IS TRAINING 'SOCIOPHARMACISTS'

The College of Pharmacy is turning out graduates experienced in sociopharmacy--the ability to function as professionals in comprehensive, community health care and the new curriculum rapidly is becoming a model for pharmacy schools in the nation, largely because it bridges the gap between academic training and professional practice in real life settings. Students are being exposed to hospital bed patients, outpatients, patients in nursing homes, and their physicians, nurses and dentists. The faculties of several other schools have visited Lexington during the past year to learn more about the operation. Dean Joseph V. Swintosky and other faculty members describe in recent pharmaceutical publications how a patient-oriented attitude is being developed in senior students during the clerkship training. In 1969, the clerkship course became a requirement for all students in their third year. Explaining the new curriculum, Dr. Swintosky said, "This higher attainment requires a better understanding of sickness and disease, of the machinery of the human body, of what is needed to make it function and what can happen to make it malfunction."

13. ENGINEERING DEPARTMENT SURVEYING TRAFFIC PATTERNS

A travel study, currently underway in the Department of Civil Engineering, seeks to identify travel patterns of spectators to football games. Such knowledge is essential in estimating future travel to and from the new football stadium. The researchers hope to identify and evaluate various methods for accommodating pedestrian and vehicular traffic at the new stadium. Most of the data was gathered during the Virginia Tech homecoming game on October 30. Each spectator was asked, by means of a self-administered questionnaire, to identify the origin and destination of his trips to and from the game and to state his primary means of travel. A second questionnaire was distributed to a sample of about 1500 spectators. Its primary purpose was to gather data on parking, walking distances, and travel times for trips away from the game. This questionnaire was to be returned by mail.

14. UNIVERSITY EMPLOYEES TOP UW GOAL

Employees of the University exceeded the University's goal of \$55,000 in the United Way campaign by more than \$1,000, Ernest F. Witte, chairman of the campus drive, announced. The amount assigned the University represented a six per cent increase over an amount attained in the previous campaign. To date, Dr. Witte said, pledges turned in amount to \$55,113.09, with some areas reporting but not tabulated. When these are counted, he added, "we will have gifts totaling \$56,000 or more from over 2,139 individual contributors." Dr. Witte said that "although this is not a large goal for an organization of this size, it is a significant achievement since the University is one of a very few units to reach its 1972 goal as yet." He said the "mop-up" would continue and he hopes to turn in a final report in the next few weeks.

15. ACTIVITIES IN COMMUNITY COLLEGES

A follow-up study at Ashland Community College has revealed that since 1963, a number of students have entered health-related professional schools: medicine, 23; dentistry, eight; pharmacy, 23; and physical therapy, two. Four of six students who have gone directly into the College of Dentistry from a two-year institution have come from Ashland.

Hopkinsville Community College is meeting the problems of a shortage of high school level athletic officials by offering a class in sports officiating. The teacher, Eldridge Rogers, says the course is divided according to the different seasons: football, basketball, and baseball. One of the requirements of the course is that students make an application to the Kentucky High School Athletic Association to become registered as officials.

Community use of the facilities at Hazard is increasing rapidly. During the first four months of the academic year, more than 2,200 people representing 14 separate groups have utilized the facilities.

A Speaker's Bureau has been established at Henderson, involving administrators, faculty, and students. The Bureau provides speakers at no cost to interested groups in the community. Topics generally deal with contemporary issues, fields in which faculty members are teaching, and points of view presented by the students. Six speaking engagements were filled during November, and four engagements are scheduled for December.

16. RESEARCH TO PROBE FUNGUS-CAUSED DISEASES

The new mycology building, located on the Poultry Farm on Viley Road, has been completed. The structure is regarded as a step forward in the battle for control of a new order of fungus-caused diseases, the most important of which is histoplasmosis. Research being conducted in the new facility is under the direction of Dr. Michael L. Furcolow, professor of epidemiology. Dr. Furcolow has devoted more than 25 years to the study of histoplasmosis and is regarded as one of the nation's leading authorities on pinpointing its source. Histoplasmosis is referred to as "the great masquerader" because it is one of the most mysterious but most common infections known in the country. It mimics other diseases; it fools the X-rays; few diseases have been mislabeled as often. Histoplasmosis most often is mistaken for tuberculosis, but also has been thought to be lung cancer, influenza, virus pneumonia and even leukemia. The disease is caused by a type of fungus that closely resembles bread mold. The excreta of chickens, birds and bats contain a fertilizing substance that stimulates the growth of the fungus, enabling it to survive in the soil. Pigeon and starling droppings around trees of old courthouses are a good example of ideal conditions.

17. UKRF GRANTS SINCE JULY IS \$7½ MILLION

During the period October 1-October 31, 25 new agreement awards were received by the University of Kentucky Research Foundation amounting to \$636,004. Twenty-eight grants received additional allotments amounting to \$787,938.33, and one grant was decreased by \$14,226. Total agreement awards for October are \$1,373,942.33. Total awards since July 1: \$7,491,786.23.

COLLEGE OF AGRICULTURE

Department of Agronomy--R. C. Buckner and A. J. Hiatt, USDA Grass Breeding Research, U.S. Department of Agriculture, \$1,400. L. Thompson, Investigation of Weed Control in Corn, Gulf Research and Development Company, \$200 (additional allotment).

Department of Animal Sciences--R. Dutt, Lactation Internal and Embryonic Survival in Swine, National Pork Products, \$2,000. D. Olds, Research in Physiology of Reproduction and Dairy Cattle Breeding, Select Sires, Inc., \$1,500 (additional allotment).

Department of Entomology--F. W. Knapp, Cat Flea Control Trial, Thuron Industries, Inc., \$2,750 (additional allotment - total of award is now \$4,850). F. W. Knapp, Support of Research With the New Pellet Container of the Experimental Spray Machine, Shell Oil Company, \$155 (additional allotment). B. C. Pass, Research on the Evaluation of Chemicals for the Control of Insects on Legumes, E. I. Dupont De Nemours & Company, \$500. J. G. Rodriguez, Conference on "Significance of Insect and Mite Nutrition," National Science Foundation, \$2,000.

Thailand Project--S. C. Bohanan, Contract No. Aid/fe 261, Agency for International Development, \$718,460 (additional allotment).

Department of Veterinary Science--J. H. Drudge and E. T. Lyons, Evaluation of Dizan Suspension with Piperazine Citrate as an Equine Anthelmintic, Eli Lilly and Company, \$2,000. E. T. Lyons, Study of Banminth in Horses and Controlled Egg Count, Charles Pfizer Company, Inc., \$4,000 (additional allotment).

COLLEGE OF ARTS AND SCIENCES

Department of Chemistry--R. Kiser, Tennessee Eastman Kodak, Tennessee Eastman Kodak, \$6,000 (additional allotment).

Department of Statistics--R. Anderson and D. Linton, NSF Faculty Fellowship, NSF, \$15,000 (additional allotment - total of award is now \$16,000).

COLLEGE OF BUSINESS & ECONOMICS

Office of Development Services and Business Research--H. K. Charlesworth, Study for Legislative Research Commission of the Commonwealth of Kentucky, Legislative Research Commission of the Commonwealth of Kentucky, \$12,000 (additional allotment).

COLLEGE OF ENGINEERING

Department of Chemical Engineering--R. B. Grieves, Membrane Ultrafiltration to Treat Non-Sanitary Military Wastes, U.S. Department of the Army, \$28,546.

Department of Civil Engineering--R. O. Hardin, Shear Modulus of Paving Materials, U.S. Department of the Air Force, \$39,558.

Department of Electrical Engineering--C. R. Paul, C & E Systems Compatibility (Operational Systems Analysis), Air Force, \$14,995.

Office of Research and Engineering Services--R. E. Puckett, Engineering Research, Central Associated Engineers, Inc., \$250 (additional allotment - total of award is now \$500). R. E. Puckett, Research Relating to Use of Digital Computers, Fuller, Mossbarger & Scott, \$250 (additional allotment - total of award is now \$350).

Department of Mechanical Engineering--D. F. McCoy, The Effects of Background Gravity on Gravity-controlled Behaviors, National Aeronautics and Space Administration, \$14,226 (decrease in allotment - total of award is now \$52,250).

COLLEGE OF SOCIAL PROFESSIONS

Dean's Office--E. F. Witte, Beulah Theobald Memorial Scholarship Fund, Miscellaneous Donations, \$190 (additional allotment - total of award is now \$476). E. F. Witte, Dorothy R. Bier Memorial Fund of the College of Social Professions, Miscellaneous Donations, \$35 (additional allotment).

MEDICAL CENTER

Medical Center Library--O. Hamlin, Kentucky, Michigan, Ohio Regional Medical Library Program, Wayne State University and Abrahams Magazine Service, Inc., \$3,323.33 (additional allotment - total of award is now \$6,212.18).

COLLEGE OF ALLIED HEALTH PROFESSIONS

Admissions and Student Services--B. Bryan, New York Life Insurance Scholarship, New York Life Insurance Company, \$10,190 (additional allotment).

Clinical Pastoral Counseling--R. S. Carpenter, UKRF Clinical Pastoral Education Account, Miscellaneous Donations, \$30 (additional allotment).

Physical Therapy--R. V. McDougall, Physical Therapy Development Fund, Kentucky Chapter of the American Physical Therapy Association, \$167 (additional allotment - total of award is now \$463.53).

Social Services--N. Smith, Social Services Miscellaneous, New Eyes for the Needy, \$400 (additional allotment).

COLLEGE OF DENTISTRY

Department of Prosthodontics--C. W. Ellinger, Patient Response to Variations in Denture Technique, National Institutes of Health, \$60,751.

COLLEGE OF MEDICINE

Department of Anatomy--L. A. Gillilan, Nervous System Vascular Patterns, NIH, \$7,151.

Department of Anesthesiology--L. F. Redick, Clinical Anesthesiology, NIH, \$29,655.

Department of Behavioral Science--R. Straus, Research Training - Social Sciences, NIH, \$45,432. R. Straus, Undergraduate Human Behavior, NIH, \$51,264.

Department of Community Medicine--A. S. Benenson, Community Medicine Field Professorship, NIH, \$27,445. M. L. Furcolow, Studies in Production, Testing and Field Evaluation of Fungus Antigens for Tine Testing, Lederle Laboratories, \$2,000 (additional allotment). M. Marx, An Expanded Program for Teaching Epidemiologic Methods and Related Biostatistical Principles, NIH, \$29,717.

Dean's Office--W. S. Jordan, Dean's Emergency Fund, E.E.G., Inc., \$500 (additional allotment - total of award is now \$1,000). W. S. Jordan, University of Kentucky College of Medicine Alumni Association Fund, Miscellaneous Donations, \$143 (additional allotment - total of award is now \$448).

Department of Medicine--L. Gettes, Pexid Study, W.S. Merrell Company, \$285 (additional allotment). B. Surawicz, Abnormal Egg in the Absence of Heart Disease Symposium, Hoechst Pharmaceutical Co., \$500 (additional allotment - total of award is now \$7,000). B. Surawicz, Cardiology Graduate Clinical Training, NIH, \$31,813. B. Surawicz, Graduate Medical Cardiology, NIH, \$34,480. W. Winternitz, Training Grant in Endocrinology and Metabolism, NIH, \$5,751.

Department of Neurology--D. B. Clark, Adult and Pediatric Neurology, NIH, \$31,324.

Department of Pediatrics--P. Holland, University of Kentucky Children's Leukemia Fund, Miscellaneous Donations, \$15 (additional allotment - total of award is now \$115). D. Hollingsworth, Provide Pediatric Endocrinologist to Act as Medical Consultant for Study of Prevalence of Goiter, Kentucky Department of Health, \$3,725.

Department of Psychiatry--A. M. Ludwig, Emotional and Pharmacological Influences on "Craving," National Institutes of Mental Health, \$42,967. A. Wikler, Methods of Detection of Drugs of Abuse, NIMH, \$47,688.

Department of Surgery--W. O. Griffen, Arnold Hanger Fund, Miscellaneous Donations, \$50 (additional allotment - total of award is now \$350). W. R. Meeker, Clinical Cancer - Medical, NIH, \$69,489. H. A. Norrell, Neurological Surgery Training Grant, NIH, \$21,353. J. Wirtschafter, Ophthalmology Development Fund, Lexington Lions Club and Citizen's Union National Bank, \$445 (additional allotment - total of award is now \$1,499)

COLLEGE OF PHARMACY

Department of Materia Medica--G. A. Digenis, Research Efforts to Develop Special Dosage Forms for Veterinary Medicaments and Nutritional Supplements, Smith, Kline & French Labs, \$5,000.

Department of Pharmacy--H. B. Kostenbauder, College of Pharmacy Research and Development Grant, Abbott & McNeil Labs, \$9,000 (additional allotment - total of award is now \$23,600).

Department of Pharmacy Central Supply--P. Parker, Conduct and Evaluation on Use on all Wyeth Laboratory Deposits, Wyeth Labs, \$100 (additional allotment - total of award is now \$400).

18. SIGNIFICANT ACTIVITIES OF FACULTY AND STAFF

Dr. Antonio Anzures, staff physician, Student Health Services, and assistant professor in clinical medicine at the Albert B. Chandler Medical Center, has been presented a "Distinguished Prior Service" award by the Kentucky Department of Mental Health.

Dr. Stephen Manning, chairman of the Department of English, was elected vice president of the South Atlantic Modern Languages Association during the organization's annual meeting in Atlanta, Ga. Dr. John Greenway of the Department of English, secretary of the Scandinavian section of SAMLA, was elected president of the section for next year. Another faculty member, Dr. Joseph Gardner, a member of the SAMLA academic committee, served as discussion leader of a panel on curriculum in Ph.D in English programs, during the meeting. Other members of the department attending the meeting were Dr. Jerome Meckier, Dr. Donald Ringe and Dr. Thomas B. Stroup.

Dr. S. Sidney Ulmer, professor of political science, spoke on "Access to Supreme Court Review: New Research on the Certiorari Decision," at a Michigan State Political Review Colloquium. Dr. Ulmer served as senior editor of the Fall 1971 issue of the "Michigan State Political Review," and by tradition was invited to participate in a colloquium. A former Michigan State faculty member, he is president-elect of the Southern Political Science Association.

Dr. Glen W. Swanson, assistant professor of history, presented a study, "Mahmud Shevket Pasha and the Modernization of the Ottoman Military Establishment, 1880-1913," at the annual meeting of the Middle East Studies Association of North America in Denver.

Dr. Willis H. Griffin, director of the Office for International Education Programs in the Center for Developmental Change, has returned from Southeast Asia, where he participated in two three-day seminars in non-formal education, one in Penang, Malaysia, and the other in Seoul, Korea.

An internationally known specialist in folklore, Dr. William Hugh Jansen, professor of English, has been awarded a Humanities Senior Fellowship by the National Endowment for the Humanities for the 1972-73 academic year to conduct a detailed study of Kentucky folklore.

The second volume in a biography of George W. Norris, by Dr. Richard Lowitt, professor of history and associate dean of the College of Arts and Sciences, was published December 9 by the University of Illinois press.

Dr. Carl B. Cone, professor of history, was elected chairman of the European section of the Southern Historical Association during the organization's annual meeting in Houston, Texas. Dr. Cone also was elected president of the Southern Conference on British Studies which met concurrently with the association.

Attending a recent meeting of the Nuclear Physics Division of the American Physical Society at the University of Arizona, and reading papers, were Drs. J. D. Brandenberger, B. D. Kern, F. D. McDaniel, and M. T. McEllistrem of the Department of Physics. Dr. McEllistrem was chairman of the session devoted to "Neutrons and Photons."

The American Physical Society recently advanced Dr. M. T. McEllistrem of the Department of Physics and Astronomy to the status of Fellow. Approximately ten per cent of the membership of the internationally-known society are so honored.

Lawrence A. Bradford, professor emeritus of agricultural economics, is writing the history of the Department of Agricultural Economics, to be published in December.

Dr. Garnett L. Bradford, assistant professor of agricultural economics, will serve as chairman of the 13-state Southern Farm Management Research Committee for the forthcoming year. At the group's meeting in Atlanta November 18-19, he served as chairman for the program on "Quantitative Techniques with Application to Rural Development Research." In addition to presenting one of the papers with Dr. Bruce R. Beattie, assistant professor of agricultural economics, Dr. Bradford will edit the papers for publication.

Dr. A. Frank Bordeaux Jr., assistant professor of agricultural economics, attended the meeting of the Southern Land Economics Research Committee November 15-17 in Knoxville, and participated in a discussion group on "Use of Detailed Mapping in Resource Use Planning."

Dr. Wendell C. DeMarcus, professor of physics, was the principal speaker at the Astronomy Session of the annual meeting of the Southeastern Section of the American Physical Society at the University of South Carolina, November 4-6.

Dr. Jesse G. Harris Jr., director of the Clinical Training Program, Department of Psychology, has been named chairman of the Advisory Training Council in Psychology to the Veterans Administration in the mid-western region. Dr. Harris, who was chairman of the Department of Psychology, 1963-67, also serves as a consultant in research to Peace Corps. He is the recently re-elected chairman of the Board of Examiners in Psychology and vice-chairman of the Kentucky Mental Health Manpower Commission.

Dr. Michael H. Harris of the College of Library Science is editor of a "Reader in American Library History," published by NCR Microcard Editions, Washington, D. C.

Dr. Ronald D. Hamilton, associate professor of medicine, has been awarded the Willard O. Thompson Memorial Traveling Scholarship in Endocrinology for 1972.

Office of the President
December 14, 1971

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Confirmation of Sale

Recommendation: that the Board ratify and confirm the sale of the University's one-thirtieth share in the stallion KING OF THE TUDORS for the sum of \$1,000.00.

Background: This action merely confirms the sale of the aforesaid share which the University received as a gift.

II. Appointments and/or Other Staff Changes

Recommendation: that approval be given to the appointments and/or other changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: Approval of the Board of Trustees is required for all appointments to the positions with the rank of Associate Professor and above, for promotions, for the granting of tenure, and for salary changes above \$12,000 for non-academic personnel and \$15,000 for academic personnel. All other appointments and/or changes may be made by the President and reported to the Board.

BOARD ACTION

New Appointments

Grace G. Eddison, Associate Professor, Department of Community Medicine, College of Medicine, retroactive to November 15, 1971

Gene P. Lewis, Assistant Professor and Chairman, Department of Community Dentistry, College of Dentistry, effective January 1, 1972 (Salary over \$15,000)

Fudako Maruyama, Associate Extension Professor*, Department of Home Economics Extension, College of Agriculture, effective January 1, 1972

Frank Pinkerton, Associate Extension Professor*, Department of Animal Sciences, College of Agriculture, effective January 1, 1972

* Special Title Series

Administrative Appointments

- Laurence R. Bean, Associate Professor (with tenure), Department of Oral Diagnosis and Oral Medicine, College of Dentistry, named Acting Chairman of the Department of Oral Diagnosis and Oral Medicine, effective January 1, 1972
- Eric E. Spohn, Assistant Professor, Department of Restorative Dentistry, College of Dentistry, named Assistant to the Dean for Dental Allied Health Education, College of Allied Health Professions, retroactive to December 1, 1971
- Nann A. Wickwire, Assistant Professor, Department of Orthodontics, College of Dentistry, named Acting Chairman of the Department of Orthodontics, effective January 1, 1972

Non-academic Administrative Appointment

- B. Joseph Vericella, Staff Assistant, Space Management, Office of Vice President for Medical Center, retroactive to November 1, 1971 (Salary over \$12,000)

Joint Appointments

- Samuel F. Conti, Professor (with tenure), Department of Microbiology, and Department of Botany, College of Arts and Sciences, named Professor (with tenure), Department of Allied Health Education and Research, College of Allied Health Professions, retroactive to December 1, 1971
- John J. Hutton, Jr., Associate Professor (with tenure), Department of Medicine, College of Medicine, named Associate Professor (with tenure), Department of Biochemistry, College of Medicine, retroactive to December 1, 1971

Promotions

- William R. Martin, Department of Pharmacology, College of Medicine, from Associate Professor (Adjunct Series) to Professor (Adjunct Series), effective January 1, 1972
- Dale H. Farabee, Department of Psychiatry, College of Medicine, from Assistant Clinical Professor to Clinical Professor, effective January 1, 1972 (Voluntary Faculty)

Retirement

- Margaret W. Phillips, Food Service Supervisor, Auxiliary Services, after over 15 years of service, retroactive to December 1, 1971

Leaves of Absence

- Laia Hanau, Assistant Professor, Department of Behavioral Science, College of Medicine, leave without pay for the period January 15, 1972 through June 30, 1972
- W. G. Duncan, Professor (with tenure), Department of Agronomy, College of Agriculture, leave without pay for the period December 1, 1971 through April 30, 1972
- Joseph H. Gardner, Associate Professor (with tenure), Department of English, College of Arts and Sciences, sabbatical leave at half-salary for the period August 1972 through July 1973
- William H. Jansen, Associate Professor (with tenure), Department of English, College of Arts and Sciences, sabbatical leave at half-salary for the period July 1, 1972 through June 30, 1973
- Wasley S. Krogdahl, Professor (with tenure), Department of Physics and Astronomy, College of Arts and Sciences, leave without pay for the period November 26, 1971 through December 4, 1971
- Fred Edmonds, Professor Extension*, Department of Administration and Supervision, College of Education, sabbatical leave for the period January 1, 1972 through June 15, 1972
- Lyman V. Ginger, Professor (with tenure) and Associate Dean, College of Education, an extension of leave without pay for the period February 1, 1972 through June 30, 1972

ADMINISTRATION ACTION

New Appointments

- James Charles Hartley*, Assistant Extension Professor, Department of Animal Sciences, College of Agriculture
- Robert Floro, Instructor*, Department of Respiratory Therapy, College of Allied Health Professions
- Junior Odom, Clinical Instructor, Department of Physical Therapy, College of Allied Health Professions (Voluntary Faculty)
- Jerry D. Mayfield, Instructor (part-time), Department of Periodontics, College of Dentistry
- Susan A. McEvoy, Instructor (part-time), Department of Pedodontics, College of Dentistry
- Arvil C. Reeb, Jr., Instructor of Social Work*, Department of Psychiatry, College of Medicine
- Don T. Froedge, Coordinator, Handicapped Program, Madisonville Community College
- Ada L. Salisbury, Instructor and Coordinator, Vocational Education, Ashland Community College

*Special Title Series

Office of the President
December 14, 1971

PR 3

Members, Board of Trustees:

SUPPLEMENTAL RECOMMENDATIONS OF THE PRESIDENT

I. Supplemental Compensation Under Physicians' Service Plan

Recommendation: that the Board authorize the early payment of \$115,971 in supplemental compensation under "Provisions For Supplemental Compensation Under The Physicians' Service Plan."

Background: The Board of Trustees at the August 4, 1970 meeting approved "Provisions For Supplemental Compensation Under The Physicians' Service Plan." The plan as originally approved by the Board of Trustees provides for supplemental compensation on a semi-annual basis following determinations to be made on January 1 and June 30 by the Board of Directors of The Fund. The action requested today constitutes an exception to the approved plan in that payment will be made prior to the January 1 determination date.

Action: Approved X Disapproved _____ Other _____

Date: December 14 , 1971

A RESOLUTION AUTHORIZING, RATIFYING AND APPROVING ALL ACTION TAKEN BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY AT THE MEETING ON NOVEMBER 15, 1971, WITH REFERENCE TO FINANCING A NEW STADIUM, PARKING AREAS TO BE USED IN CONNECTION THEREWITH AND OTHER NECESSARY APPURTENANCES.

WHEREAS, on November 15, 1971, the Board of Trustees of the University of Kentucky adopted a resolution entitled

A RESOLUTION AUTHORIZING AN APPLICATION TO BE MADE TO THE STATE PROPERTY AND BUILDINGS COMMISSION OF THE COMMONWEALTH OF KENTUCKY FOR SUCH COMMISSION TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF ITS REVENUE BONDS AND IN ANTICIPATION THEREOF TO AUTHORIZE, ISSUE, SELL AND DELIVER \$9,000,000 OF NOTES, ALL IN ACCORDANCE WITH A RESOLUTION ALREADY ADOPTED BY SUCH COMMISSION ON NOVEMBER 11, 1971, APPROVING THE TERMS OF SUCH RESOLUTION OF SUCH COMMISSION, AUTHORIZING THE EXECUTION OF A LEASE OF THE PROPERTIES BEING FINANCED BY THE PROVISIONS OF SUCH RESOLUTION, AUTHORIZING THE EXECUTION OF AN AGREEMENT WITH THE UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION AND AUTHORIZING THE EXECUTION OF A DEED BY THE BOARD TO SUCH COMMISSION OF THE PROPERTIES CONSTITUTING THE PROJECT WHICH WILL BE FINANCED BY THE ISSUANCE OF SUCH BONDS AND NOTES,

which pertained to the financing of a new stadium, parking areas to be used in connection therewith and other necessary appurtenances, and

WHEREAS, it is deemed desirable that all of such action be authorized, ratified and approved,

NOW, THEREFORE, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY HEREBY RESOLVES AS FOLLOWS:

Section 1. That the adoption of such resolution, the title of which is hereinbefore quoted, is hereby authorized, ratified and approved.

Section 2. That without limiting the generality of the foregoing the Board authorizes, ratifies and approves the making of a formal application to the State Property and Buildings Commission of the Commonwealth of

Kentucky, pursuant to KRS 56.450(4), that such Commission, acting for and on behalf of such Board, issue revenue bonds in its name in accordance with the terms and provisions of KRS Chapter 58, secured by and payable solely from the revenues of the project being financed, consisting of a stadium, with parking areas, utilities services, public ways, landscaping and other necessary facilities, which will constitute Project No. 18 of such Commission. That in anticipation of the issuance of such bonds the application of the Board to the Commission to authorize, issue, sell and deliver Notes in anticipation of the issuance of such bonds is authorized, ratified and approved. That the resolution heretofore adopted by the Commission on November 11, 1971, with reference to the foregoing is hereby authorized, ratified and approved.

Section 3. That the previous actions of the Board authorizing the Chairman or Vice Chairman and the Secretary to execute the Lease referred to in the resolution adopted on November 15, 1971, the deed referred to in such resolution and the agreement between the Board and the University of Kentucky Athletic Association are hereby ratified and approved, or said deed may be executed only by the Vice President - Business Affairs and Treasurer.

Section 4. That the action of the Board in furnishing the Commission with a certified copy of such resolution adopted on November 15, 1971, as an application of the Board to the Commission for action to be taken as requested in the resolution of the Board is hereby authorized, ratified and approved.

Section 5. That this resolution shall take effect immediately upon its adoption.

Certificate

I, Lucile T. Blazer, the Secretary of the Board of Trustees of the University of Kentucky, certify that the foregoing is a true copy of the resolution which is shown in the minutes of such Board for a meeting held on December 14, 1971, as having been presented to such meeting and having been adopted on such date. I further certify that such resolution is in full force and effect.

Dated this 14th day of December, 1971.

(Seal)

Lucile T. Blazer

Office of the President
December 14, 1971

PR 4

Members, Board of Trustees:

1971-72 Budget Revisions

Recommendation: that the following revisions in the 1971-72 budget be authorized and approved:

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Change</u>
A. <u>General Funds</u>			
1. <u>Income Estimates</u>			
Sales and Services	\$ 1,505,900	\$ 1,601,900	\$ 96,000
Gifts and Grants			
Other Gifts and Grants	75,000	75,500	<u>500</u>
			\$ 96,500
2. <u>Expenditures</u>			
University Press of Kentucky	451,000	547,000	96,000
Equine Research and Development Pro- gram	-0-	500	<u>500</u>
			96,500
3. <u>Comments</u> - Additional income from sales and services and from gifts and grants will support the proposed increased expenditures indicated above.			

B. Restricted Funds

1. Income Estimates	4,359,800	4,536,200	176,400
2. Expenditures			
Student Aid			
Gifts and Grants			
Scholarships	220,600	222,500	1,900
Agriculture			
Agricultural Experiment Station -			
Director	1,500	5,900	4,400
Arts and Sciences			
Theatre Arts	-0-	500	500
Education			
School Services	-0-	6,300	6,300
Engineering			
Whirlpool Fellowship	-0-	5,700	5,700
Law			
Kocourek Fund	500	1,000	500
Community Colleges			
Gifts and Grants for			
Scholarships	85,300	103,500	18,200
Southeast Restricted -	1,800	2,700	900
Ashland - Law			
Enforcement	-0-	2,500	2,500
Paducah - Title III	50,000	59,900	9,900
Paducah - Restricted	26,000	45,400	19,400
Vocational Education			
Disadvantaged	169,000	184,600	15,600
Adult Education	-0-	15,500	15,500
Cooperative	37,000	59,500	22,500
Handicapped	37,800	48,000	10,200
Vo-Ed Part B			
Regular	-0-	12,200	12,200
Consumer/Home-			
making	15,000	20,000	5,000
Maysville - Nursing			
Program Planning	-0-	25,200	<u>25,200</u>
			176,400

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3. Comments - The proposed additional expenditures will be funded from increased income restricted to the purpose indicated above.

Action: Approved X Disapproved Other

Date: December 14 , 1971

Office of the President
December 14, 1971

PR 5

Members, Board of Trustees:

CHANGES IN ORGANIZATION OF BIOLOGICAL SCIENCES

Recommendations: (1) that the action of the Board of Trustees on May 4, 1966 establishing parallel, but never activated, departments of Biochemistry, Cell Biology, and Physiology and Biophysics in the College of Arts and Sciences be rescinded; (2) that approval be given for withdrawing the policy statement of February 13, 1967 from the Office of the President concerning the organization of biological sciences in the University; (3) that the Departments of Botany, Microbiology, and Zoology be dissolved and that the Thomas Hunt Morgan School of Biological Sciences be designated as the basic educational unit for the personnel, courses, and programs from these three departments; and (4) that the role and scope of the Thomas Hunt Morgan School of Biological Sciences be redefined such that the school shall have the responsibility for courses and programs appropriate for general studies and also for undergraduate and graduate courses and programs in biology in the College of Arts and Sciences.

Background: After five years under the present organizational structure, it has been determined that the role and scope of the Thomas Hunt Morgan School of Biological Sciences should be redefined in terms of the changes recommended above. These recommended changes have the approval of the faculty of the College of Arts and Sciences, the University Senate, and the University Administration and are forwarded to the Board of Trustees for final approval.

Action: Approved X Disapproved Other

Date: December 14, 1971

Office of the President
December 14, 1971

PR 6

Members, Board of Trustees:

PROPOSED AMENDMENTS TO GOVERNING REGULATIONS

Recommendation: that the Board of Trustees accept for consideration and action at the next meeting the amendments to the Governing Regulations of the University of Kentucky (September 1971) as proposed on the following pages.

Background: The Governing Regulations require that amendments be received for study and consideration one month prior to action. The amendments which are proposed are concerned with the following:

- (1) Clarification of X-C-7 as it applies to political activities of faculty members,
- (2) Incorporation of changes pertaining to the Community College System programs and organization, and
- (3) Amplification of Part XII-A relative to equal employment opportunities.

Action: Approved X Disapproved _____ Other _____

Date: December 14, 1971

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART X, C, 7

Change third literal paragraph to read:

"Like other citizens, faculty members are free to engage in political activities so far as they are able to do so within the law consistently with their obligations as teachers and scholars. When necessary, leaves of absence without pay may be given for the duration of an election campaign or a term of office provided that there has been timely application for leave, and that the requested leave is limited to a reasonable period of time. A faculty member shall not under any circumstances become a candidate in any party primary election, or enter any election as the nominee of any political party, nor enter any election campaign for any statewide or federal office without either severing his connection with the University or making application for a leave of absence without pay as provided herein. The terms of a leave of absence for this purpose shall be set forth in writing. The leave shall not affect unfavorably the tenure status of a faculty member except that the time spent on such leave from academic duties will not count as probationary service unless otherwise agreed to."

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART II, B

Change to read:

B. Community College Advisory Boards

The community college advisory boards advise on matters of the two-year professional-technical programs and local community educational needs. The statute under which these advisory boards were established follows:

164.600 ADVISORY BOARD; MEMBERSHIP; EXPENSES.

(1) There shall be a local advisory board for each community college. Each local advisory board shall serve in an advisory capacity to the board and the head of the community college on the operation of the community college.

(2) Each local advisory board shall consist of nine members, seven of whom shall be appointed by the Governor. The other two local advisory board members shall be one non-voting member of the teaching faculty and one non-voting member of the student body. An appointed member's term is four years; however, when appointing the initial members of the local advisory boards, the Governor may appoint some members for terms of less than four years.

(3) The faculty member shall be on the teaching or research faculty of the community college. He shall be elected by secret ballot of all full-time faculty members of the community college. Faculty members shall serve for terms of three years and until their successors are elected and qualified. Faculty members shall be eligible for re-election, but they shall be ineligible to continue to serve as members of the local advisory boards if they cease to be members of the teaching staff of the community college. Elections to fill vacancies shall be for the unexpired term in the same manner as provided for original election.

(4) The student member shall be the president of the student body of the community college. If the president of the student body is not a full-time student who maintains permanent residency in the Commonwealth of Kentucky, a special election shall be held to select a full-time student who does maintain permanent residency in this Commonwealth as the student member.

(5) Local advisory board members shall receive no compensation for their services, but shall be paid for their actual and necessary expenses.
(1970, c. 140, § 1)

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART V

Revise Part V to read as follows:

PART V

SENATE OF THE COMMUNITY COLLEGE SYSTEM

The Senate of the Community College System shall be composed of elected, ex officio and appointed members.

The elected faculty membership shall consist of and represent proportionately the full-time faculty in the various community colleges and technical institutes, except that no college or technical institute shall have fewer than three senators. In addition each community college and technical institute shall elect an additional senator for each twenty-five (or major fraction thereof) full-time faculty members above the first twenty-five. At least one of the three senators must have responsibility in the occupational program area and at least one must have responsibility in the general education area, except for the senators from a technical institute. The faculty of each community college and technical institute shall nominate candidates for senator(s) and elect the senator(s) by secret ballot during the month of April preceding the term of office beginning on August 15. The term of office for an elected senator shall be two years and he shall be eligible for reelection, except that he may not serve more than two consecutive terms. After serving two terms, an elected senator shall be ineligible for election or appointment to the Senate for a period of one year.

The ex officio membership shall include the Vice President for the Community College System, the Assistant Vice President for the Community College System, the chairman of each advisory committee to the Senate, and the president of the student body at each community college and technical institute. The term of office for a student member shall be one year. An advisory committee chairman's term as senator shall be coterminous with his term as chairman of the committee. Ex officio members shall enjoy all the privileges of the elected membership.

The appointed membership shall consist of three community college or technical institute directors and three other administrators, each of whom shall be appointed by the Vice President for the Community College System. In addition any member of the Community College Council appointed by the Vice President for the Community College System to correct an imbalance in the elected senators in terms of professional areas of specialization shall be a member of the Senate. The term of office for an appointed senator shall be the same as the term for an elected senator. Appointed members shall enjoy all the privileges of the elected membership.

In order that there be a continuity of membership, the present members of the Community College Council shall serve as senators until the expiration of their current terms. The Vice President for the Community College System shall establish a procedure for initially electing or appointing some senators for other than two-year terms so that the terms of approximately one-half of the senators will expire during each succeeding year.

A vacancy in an unexpired term on the Senate shall be filled in a manner consistent with the original selection process applicable to that member's category. Service of one year or more in an unexpired term shall constitute a full term.

Consistent with these regulations, the Senate of the Community College System is authorized to develop regulations for the conduct of its functions, which shall be known as the Rules of the Senate of the Community College System. Copies of these regulations shall be furnished the President and the Board of Trustees. It may perform its functions directly; through the Community College Council; or through standing, special, or advisory committees which it may appoint or authorize for appointment. The Vice President for the Community College System shall appoint the members of standing committees from the eligible members of the Senate; i. e., those not also serving on the Community College Council. The advisory committees to the Senate of the Community College System (other than the Committee on Privilege and Tenure) shall be appointed by the co-chairmen of the Community College Council.

The Community College Council shall be composed of one duly elected senator from each college or technical institute to serve a two-year term; two students elected by and from the student senators to serve a one-year term; one director designated by and from the directors who have been appointed to the Senate, for a one-year term; the Vice President for the Community College System and the Assistant Vice President for the Community College System, who shall serve as ex officio members; and the chairmen of the standing committees, who shall serve as ex officio members. All Council members must be taken from the membership of the Senate. A Council member's term may not exceed his term as a senator. In the event there is an imbalance in the duly elected senators in terms of professional area of specialization, the Vice President for the Community College System is authorized to appoint a maximum of three additional faculty members to the Community College Council, who shall become members of the Senate.

The Vice President for the Community College System and a person elected from and by the membership of the Community College Council shall serve as co-chairmen of the Community College Council.

The Vice President for the Community College System shall be chairman of the Senate of the Community College System. He shall serve as presiding officer for all meetings except as he may delegate this function.

The Senate shall meet annually in regular session at a time and place designated in a call by the Vice President for the Community College System. Special sessions may be called by the President, by the Vice President for the Community College System, or by a petition from at least fifteen (15) senators to the Vice President for the Community College System.

Full-time and part-time faculty, advisory board members, Community College System staff members, and the Community College System students may attend Senate and/or Council meetings and may request the privilege of the floor. They shall not have the right to vote.

The functions of the Senate of the Community College System shall include the following:

Approving academic policies and curricula for the Community College System

Approving courses and other academic offerings in the Community College System not offered in the University System

Approving policies and regulations governing admission, classification, probation, and dismissal of Community College System students

Approving an academic calendar generally consistent with the University System calendar

Recommending to the University Senate on courses offered in the Community College System which are also offered in the University System

Recommending to the Vice President for the Community College System on criteria for faculty appointments, reappointments, promotions, and tenure in the Community College System

Recommending to the President all candidates for degrees and certificates from the community colleges and technical institutes

Beyond those listed above, the Senate of the Community College System shall have no management or administrative functions either in itself or through the instrumentality of its committees.

The Senate of the Community College System may address the Vice President for the Community College System, through him the President of the University, and through the Vice President and the President, the Board of Trustees, respecting any matter of concern to the University.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VII, A, 1

Change: "The basic educational units of the Community College System shall be the individual community colleges and the Lexington Technical Institute. The chief administrative officer of a community college shall be a director. Divisions or departments may be established in a college with the chief administrative officer being a chairman."

To Read: "The basic organization of the educational units of the Community College System shall be divisions and colleges.

The unit of the Community College System for instruction and community service in related fields of learning is the division. The chief administrative officer of a division is a chairman.

The individual community colleges and the Lexington Technical Institute are the major educational units of the Community College System. The chief administrative officer of a community college or the Lexington Technical Institute is a director."

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VII, A, 2

Change to Read:

2. Academic Ranks

Academic ranks in the University System shall consist of instructor, assistant professor, associate professor and professor, and of other ranks that are fully or partially equivalent to these recognized ranks.

Academic ranks in the Community College System shall include Instructor in the Community College System, Assistant Professor in the Community College System, Associate Professor in the Community College System, and Professor in the Community College System, and other ranks that are fully or partially equivalent to these recognized ranks.

Ranks and special titles and a description of the qualifications for each shall be established by the President after consultation with appropriate administrative and faculty groups including the Senate Council and/or the Community College Council. Likewise, emeritus ranks for retired administrative and academic personnel and the rights of holders of emeritus titles shall be as established by the President after consultation with the Senate Council and/or the Community College Council. The establishment of new ranks and major changes in criteria for ranks shall have the approval of the Board of Trustees.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VII, A, 8

Change: Faculty Organization in the Community Colleges (Community College System)

The community college faculties shall be composed of all instructional, library and administrative personnel. Each community college faculty shall perform, under the leadership of its director or chairman, the usual academic and administrative functions necessary to the functioning of the college.

Each community college may organize itself as a Faculty Assembly for the purpose of performing the functions delegated to it by the Senate of the Community College System and for the purpose of making recommendations relating to any phase of the academic program of the college or of the Community College System and to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions.

The rules must be consistent with the Rules of the Senate of the Community College System and with the Administrative Regulations, and must be adequate to allow the Assembly to perform all of the responsibilities delegated to it. The Rules of the Faculty Assembly of a community college should be submitted to the director of the college, the Community College Council and the Vice President for the Community College System for review before being made operational.

Representatives designated by the student body organization may be included in meetings of the faculty and the Faculty Assembly and may be members of appropriate administrative advisory committees or of faculty Assembly committees.

To Read: Faculty Organization in the Community College System

a. Faculty of Colleges

The faculty of a community college shall consist of all professional, instructional, library, and administrative personnel. An individual may have joint appointment to more than one faculty. In this instance, one assignment shall be designated by the Vice President for the Community College System as the primary one. The director of the college shall serve as chairman of the faculty.

The faculty shall hold regularly scheduled meetings. In addition, it shall meet in special session on the call of the President, the Vice President for the Community College System, the director of the college, or at the request of a prescribed number of its membership. Each college faculty shall establish the quorum for the transaction of business. Copies of minutes of college faculty meetings shall be made available to all members of the faculty of the college.

Within the limits established by the Governing Regulations and the Rules of the Senate of the Community College System, the faculty of a college shall determine the educational policies of that college. It shall make recommendations to the Senate of the Community College System on such matters as require the approval of that body and it may make recommendations on other matters to the Senate of the Community College System, to the Vice President for the Community College System, or to the President.

b. Faculty Assemblies

Each community college may organize itself as a Faculty Assembly for the purpose of making recommendations relating to any phase of the academic program of the college or of the Community College System and to the professional welfare of its members. It shall prepare a set of rules which shall describe its organization and procedures for the performance of its functions.

The rules must be consistent with these regulations, the Administrative Regulations and the Rules of the Senate of the Community College System, and must be adequate to allow the Assembly to perform its responsibilities. The Rules of the Faculty Assembly of a community college should be submitted to the director of the college, the Community College Council and the Vice President for the Community College System for review before being made operational.

c. Divisional Faculty

The faculty of a division shall consist of a chairman and the members of the division who are members of the faculty of the community college.

The divisional faculty has responsibility with the approval of the director, and the Vice President for the Community College System for the internal policies of the division, insofar as these policies do not conflict with those of other divisions or the Rules of the Senate of the Community College System. It should hold regularly scheduled meetings and is authorized to establish rules for procedure and a committee structure to deal with matters for which it has responsibility. It should establish with the division chairman and the director of the college procedures to be used within the division in advising on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments (X-C-8) and the granting of tenure, and the procedures to be used on the divisional level in its performance evaluations of division members and the preparation of budget requests.

The division chairman shall preside over all divisional faculty meetings, except as he may delegate this function, and shall be an ex officio member of all divisional committees. Copies of minutes of all divisional faculty meetings shall be made available to all members of the faculty of the division and the director of the college.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VII, B, 8

Change: "Under the general direction of the Vice President for the Community College System, the director of a community college is charged with general responsibility for the overall administration of his particular college. Along with his faculty he is responsible for the development and implementation of an academic program commensurate with the purposes of a comprehensive community college. He is responsible for the quality of instruction in the college and shall utilize through the office of the Vice President for the Community College System the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to curricula, faculty and academic standards. He is further responsible for recruitment, initial screening and recommendation of candidates for instructional positions after consultation with the faculty of his college whenever feasible and the chief administrative officer of the appropriate educational unit of the University System.

With regard to the students, the director is charged with the duty of administering the requirements and regulations of the University concerning the admission, probation and dismissal of students.

The director is responsible for the business and financial operations of the college, either assumed or delegated, and for the maintenance and operation of the physical plant of the college."

To Read: "The director of a community college is the chief administrative officer of his college. Under the general direction of the Vice President for the Community College System, the director is charged with general responsibility for the overall administration of his college. It is his function to see that these regulations, the Administrative Regulations, and the Rules of the Senate of the Community College System are enforced. He is the chairman of his college faculty and is an ex officio member of all college committees. Along with his faculty, he is responsible for the development and implementation of an instructional program commensurate with the purposes of a comprehensive community college. He is responsible for the curricula of his college, for the quality of instruction given therein, for the assignment of duties to all persons and for the service rendered by the faculty of his college individually and as a whole. He shall utilize through the office of the Vice President for the Community College System the provisions for liaison with colleges and departments of the University System for advisory assistance and services relating to the instructional program. He shall review and finalize the performance evaluations submitted by the division chairman or members of his college. He shall be responsible for recommendations on salaries, salary changes, appointments, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments (X - C - 8), promotions and granting of tenure for members of his college or for ultimate action whereon when such authority has been delegated to him by the Vice President for the Community College System or the President.

With regard to students, the director is charged with the duty of administering the requirements and regulations of the community college concerning the admission, probation and dismissal of students.

The director shall be responsible for the preparation of the budget request for his college and is responsible for the business and financial operation of the college either assumed or delegated and for the maintenance and operation of the physical plant of the college.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, SECTION VII, B add:

9. Division Chairmen (Community College System)

The division chairman serves as chairman of the divisional faculty in the development by the division of policies in regard to such matters as the implementation and revision of programs and courses. He assists the director or his designate in the preparation of the class and teaching schedule for the division. He presides over all divisional meetings, except as he may delegate this function, and is an ex officio member of all divisional committees. He has administrative responsibility for implementing the division's program within the limits established by the regulations of the University, the Community College System, policies of the Senate of the Community College System and the rules and regulations of his community college.

The division chairman shall be responsible for advising the director or his designate on the appointment of new members of the division, promotions, reappointments, terminal appointments, decisions not to reappoint, post-retirement appointments and the granting of tenure, following consultation with the tenured and senior faculty of the division. Procedures and criteria used for such advice shall be those established by the University, the Community College System and by the community college and divisional faculties.

The divisional chairman shall be responsible for the periodic evaluation of members of the division by procedures and criteria established by the University, the Community College System and the community college and divisional faculties.

The divisional chairman shall be responsible for preparing for the Director or his designate the budget request for his division. He is responsible for advising the director or his designate as to salaries and salary changes for members of his division.

In connection with the major administrative functions of the division chairman, he must seek the advice of members of the division, individually or as a group, or of advisory committees that he may appoint.

The divisional chairman is the spokesman for the division. In the event that he feels it necessary to depart from the opinion of the division faculty, he must communicate the division opinion as well as his own, stating his reasons for differing from the division opinion and notifying the division of his action.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VIII, A, 1

Change: "The vice presidents, the Dean of the Graduate School, the deans of the colleges, the directors of the community colleges, the chairmen of the departments and every administrative officer of the University who is to report directly to the President or whose initial salary is in excess of \$15,000 per annum shall be appointed by the Board of Trustees, acting upon the recommendation of the President." . . .

To Read: "The vice presidents, the Dean of the Graduate School, the deans of the colleges, the directors of the community colleges, the chairmen of departments (University System), the chairmen of divisions (Community College System) and every administrative officer of the University who is to report directly to the President or whose initial salary is in excess of \$15,000 per annum shall be appointed by the Board of Trustees, acting upon the recommendation of the President." . . .

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VIII, A, 3

Add as 8th paragraph:

Search committees for chairmen of divisions in community colleges shall be appointed by the director of the college after consultation with tenured and senior members of the faculty.

Change last sentence, last paragraph, page 28, to read:

Recommendations on the appointment of chairmen of academic departments or divisions shall be accompanied by written statements from at least the tenured members of the department or division.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART VIII, A, 4 add:

c. Division Chairmen (Community College System)

The term of a division chairman's appointment shall be three years and he normally shall serve for no more than two consecutive terms. Reappointment beyond the second term may occur under exceptional circumstances when it is deemed to be in the best interest of the community college and has been recommended by the faculty advisory committee appointed to review the work of the division (Part IX).

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART IX

Change: "1 Includes departments, schools, institutes, interdisciplinary units, colleges, the Community College System and the individual community colleges."

To Read: "1 Includes departments, schools, institutes, interdisciplinary units, colleges, the Community College System, the individual community colleges and the Lexington Technical Institute, and divisions."

Change "academic departments" in line 3 to read "educational units".

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART X, C, 3, a

Change: During their annual employment period (either ten or twelve months), faculty and research staff members are expected to serve the University. It is assumed that all persons on the academic staff will be interested primarily in the work of their respective departments, giving their chief efforts to the promotion of that work.

A member of the University's academic staff shall be permitted to engage, as an expert, in professional work outside the University and to receive pay for his endeavors, provided that such does not interfere with the proper performance of University assignments. Each faculty member shall be expected to exercise sound judgment in requesting approval of outside assignments, keeping in mind with utmost care the matter of conflict of interest. In considering such requests, department chairmen and deans will be guided by criteria through which performance of duty within a faculty member's rank is judged, as well as by the relevant terms of employment.

In general, any outside assignment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of teaching, research and service.

All proposals to undertake outside assignments will be made in writing to department chairmen, whose recommendations shall be forwarded to the respective deans and thence to the appropriate vice president for final action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the assignment, and the scale of reimbursement. These proposals shall become a matter of record by the appropriate vice president who shall transmit quarterly to the President of the University full information about those that have received approval and those that have been denied. The President, in turn, will report periodically to the Board of Trustees.

To Read: During their annual employment period (either ten or twelve months), faculty and research staff members are expected to serve the University. It is assumed that all persons on the academic staff will be interested primarily in the work of their respective education units, giving their chief efforts to the promotion of that work.

A member of the University's academic staff shall be permitted to engage, as an expert, in professional work outside the University and to receive pay for his endeavors, provided that such does not interfere with the proper performance of University assignments. Each faculty member shall be expected to exercise sound judgment in requesting approval of outside assignments, keeping in mind with utmost care the matter of conflict of interest. In considering such requests, the chief administrative officers of the educational units will be guided by criteria through which performance of duty within a faculty member's rank is judged, as well as by the relevant terms of employment.

In general, any outside assignment demanding more than purely spare-time effort must be substantially justified in terms of the contribution its performance can bring to the faculty member's pursuit of teaching, research and service.

All proposals to undertake outside assignments will be made in writing to the chairmen whose recommendations shall be forwarded to the respective deans or directors and thence to the appropriate vice president for final action. Such proposals shall indicate the nature of the work to be performed, the estimated amount of time involved, the duration of the assignment, and the scale of reimbursement. These proposals shall become a matter of record by the appropriate vice president who shall transmit quarterly to the President of the University full information about those that have received approval and those that have been denied. The President, in turn, will report periodically to the Board of Trustees.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART XI, B

Change: "The non-academic relationships between students and the University are covered in the document entitled, "Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships", which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between students and the University are incorporated in the document entitled, "Student Rights and Responsibilities. Part II. Selected Rules of the University Senate Governing Academic Relationships". The rules in Part II have been adopted and may be amended by the University Senate.

Copies of the document, "Student Rights and Responsibilities. Parts I and II", shall be made available to all students. Registration by the student constitutes acceptance of these student-University relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to this basic document covering these relationships."

To Read: "The non-academic relationships between students and the University System are covered in the document entitled, "Student Rights and Responsibilities. Part I. Code of Student Conduct: Rules, Procedures, Rights and Responsibilities Governing Non-Academic Relationships", which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between students and the University System are incorporated in the document entitled, "Student Rights and Responsibilities. Part II. Selected Rules of the University Senate Governing Academic Relationships". The rules in Part II have been adopted and may be amended by the University Senate.

Copies of the document, "Student Rights and Responsibilities. Parts I and II", shall be made available to all students in the University System. Registration by the student constitutes acceptance of these student-University System relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to this basic document covering these relationships.

The non-academic relationships between students and the Community College System are covered in the document entitled, "Code of Student Conduct: Rules, Procedures, and Responsibilities", which has been adopted by the Board of Trustees and may be amended only by that body.

The academic relationships between students and the Community College System are defined in the Rules of the Senate of the Community College System (Section I, Student Academic Affairs). These rules have been adopted and may be amended by the Senate of the Community College System.

Copies of the above documents shall be made available to all students in the Community College System. Registration by the student constitutes acceptance of these student-Community College System relationships. In no case, however, shall this preclude legitimate efforts to obtain amendments to these documents covering these relationships.

Maximum efforts should be made by administrative officers, student government organizations, the University Senate and the Senate of the Community College System to maintain liaison through advisory and coordinating committees concerning student-University relationships.

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART XII, G

Change: "All members of the faculty and staff of the University shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels. Official recommendations and communications from any member of the University faculty or staff shall be sent by him to his immediate superior, i. e., by a member of a department to the chairman thereof, by the chairman of a department to the chief administrative officer of his school or college, etc. The superior administrative officer shall, when so requested, transmit such recommendations or communications, with his own comments and recommendations thereon, to the next higher officer."

To Read: "All members of the faculty and staff of the University shall have full rights of communication with administrative officers of the University and the Board of Trustees through established administrative channels. Official recommendations and communications from any member of the University faculty or staff shall be sent by him to his immediate superior. The superior administrative officer shall, when so requested, transmit such recommendations or communications, with his own comments and recommendations thereon, to the next higher officer."

PROPOSED AMENDMENT TO THE GOVERNING REGULATIONS, PART XII

Revise Part XII, A to read as follows:

A. Equal Opportunity

Equal opportunities shall be provided for all persons throughout the University in recruitment, appointment, promotion, payment, training and other employment practices without regard to race, ethnic origin, sex, color, creed, religion, age, or national origin.

The President is designated responsibility for the development of an affirmative action program by which full implementation of this policy can be effected in the University.

The purposes of this policy are to promote and assure fair and equitable treatment of all persons now employed, being considered for employment, or entering employment by the University; and to effect full compliance with existing statutes and orders including Executive Order 11375, the Equal Pay Act of 1963, Title VII of the Civil Rights Act of 1964, and the Guidelines of the United States Department of Labor, Part 60-20 pursuant to Executive Order 11375.

Finance Committee Report
December 14, 1971

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the four months ending October 31, 1971, be accepted.

Background: The October 31, 1971 report reflects income of \$54,150,128 for the period of the report; the income figure is 47.8% of the annual income estimate of \$113,188,141.

The expenditure and commitments represent a total of \$35,813,649 for this period of four months, or 31.6% of the expenditure authority of \$113,188,141 approved by the Board.

Action: Approved X Disapproved Other

Date: December 14 , 1971

UNIVERSITY OF KENTUCKY

Lexington, Kentucky

Office of Vice President
Business Affairs and Treasurer

November 11, 1971

Dr. Otis A. Singletary, President
University of Kentucky
Lexington, Kentucky

Dear Dr. Singletary:

The interim financial report of the University of Kentucky for the four months ended October 31, 1971 is submitted herewith. This is the third such report of the current fiscal year and is intended to set forth a complete and permanent record of the financial affairs of the University for the period covered.

Respectfully submitted,



L. E. Forgy, Jr.
Vice President-Business Affairs
and Treasurer

Schedule 1

University of Kentucky
 Balance Sheet
 October 31, 1971
 With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
Current Funds:		
Unrestricted:		
Cash in bank:		
Depository funds	\$ 2,559,730	\$ 1,278,288
Federal funds	77,951	43,255
Imprest funds	28,063	212,316
Total cash	<u>2,665,744</u>	<u>1,533,859</u>
State funds - unexpended balances:		
Trust and agency funds	4,793,093	2,636,277
General fund appropriation	11,596,543	11,881,746
Due from other funds and affiliated corporations	4,598,803	2,959,249
Accounts receivable	774,327	806,964
Notes receivable	72,194	71,190
Inventories	2,175,157	1,515,694
Unrealized income	<u>40,570,107</u>	<u>36,041,267</u>
Total unrestricted	<u>67,245,968</u>	<u>57,446,246</u>
Restricted:		
Cash in bank	300,899	188,292
Tobacco Research Trust Fund:		
Imprest funds	22,853	
Trust and agency funds	2,681,357	937,837
Petty cash advances	150,970	155,023
Travel advances	83,744	93,416
Accounts receivable	30,233	32,653
Investments:		
Short-term	5,097,050	3,632,503
Other	854,882	842,616
Unrealized income:		
Restricted	2,061,327	1,701,392
Tobacco Research Trust Fund	<u>1,539,977</u>	<u>1,701,392</u>
Total restricted	<u>12,823,292</u>	<u>7,583,732</u>

University of Kentucky
Balance Sheet
October 31, 1971

Schedule 1

With Comparative Figures for 1970

LIABILITIES AND FUND BALANCES

	<u>1971</u>	<u>1970</u>
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,977,444	\$ 3,032,983
Accounts payable--county funds	30,174	29,188
Due to Federal government	472,784	231,025
Advance from state for imprest cash fund	650,000	650,000
Deferred income	231,417	226,570
Unencumbered balance, departmental appropriations	57,051,255	48,991,746
Fund balances:		
Allocated:		
Reserve for inventories	2,019,094	1,049,230
Reserve for notes receivable	71,190	71,190
Reserve for undistributed accrued payroll expense	336,000	
Reserve for student registration fees cancellation	121,312	
Workmen's compensation payments	49,208	19,626
Future operating purposes	518,583	1,414,016
Future construction	631,730	
Unallocated	<u>2,085,777</u>	<u>1,730,672</u>
Total unrestricted	<u>67,245,968</u>	<u>57,446,246</u>
Restricted:		
Outstanding encumbrances	244,580	15,206
Due to other funds and affiliated corporations	3,811,778	4,010,742
Advance from state for imprest cash fund	12,000	
Outstanding check liability	24,620	20,877
Undistributed collections and clearing	2,062,711	216,867
Unencumbered balance, departmental appropriations	1,683,020	1,582,272
Unencumbered balance, Tobacco Research Trust Fund appropriations	1,681,048	
Fund balances:		
Restricted fund	1,038,949	802,241
Tobacco Research Trust Fund-unallocated funds	<u>2,264,586</u>	<u>935,527</u>
Total restricted	<u>12,823,292</u>	<u>7,583,732</u>

Schedule 1

University of Kentucky
Balance Sheet
October 31, 1971
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<u>ASSETS</u>		
Hospital and clinics:		
Cash in bank-depository funds	\$ 121,672	\$ 1,183,313
Trust and agency funds	1,421,479	1,482,878
Accounts receivable, net of allowance for doubtful accounts	2,729,310	2,907,282
Inventories	338,272	338,632
Unrealized income	<u>8,396,095</u>	<u>6,912,642</u>
Total hospital and clinics	<u>13,006,828</u>	<u>12,824,747</u>
Housing and dining system:		
Cash	26,507	626,576
Due from other funds and affiliated corporations	1,816,561	764,682
Accounts receivable	166,545	363,258
Inventories	726,886	714,862
Prepaid expenses	906,223	771,112
Accrued interest income	<u>12,988</u>	<u>13,907</u>
Total housing and dining system	<u>3,655,710</u>	<u>3,254,397</u>
Auxiliary enterprises:		
Cash in bank	51,620	31,934
Due from other funds and affiliated corporations	498,338	792,793
Accounts receivable	23,016	38,460
Inventories	338,517	317,714
Unrealized income	<u>1,147,948</u>	<u>1,151,695</u>
Total auxiliary enterprises	<u>2,059,439</u>	<u>2,332,596</u>
TOTAL CURRENT FUNDS	<u>\$ 98,791,237</u>	<u>\$ 83,441,718</u>

University of Kentucky
 Balance Sheet
 October 31, 1971
 With Comparative Figures for 1970

Schedule 1

	1971	1970
LIABILITIES AND FUND BALANCES		
Hospital and clinics:		
Outstanding encumbrances	\$ 146,623	\$ 272,285
Due to other funds	24,247	27,737
Unexpended balance, departmental appropriations	10,350,100	9,895,370
Fund balances - allocated:		
Reserve for inventories	326,816	366,931
Reserve for accounts receivable	<u>2,159,042</u>	<u>2,262,424</u>
Total hospital and clinics	<u>13,006,828</u>	<u>12,824,747</u>
Housing and dining system:		
Accrued wages	102,681	94,198
Accounts payable	178,895	282,924
Accrued expenses		9,000
Deferred income	1,446,673	1,296,162
Fund balances:		
Funds for renewals and replacements	1,327,166	1,137,537
Allocated for future operating purposes	<u>600,295</u>	<u>434,576</u>
Total housing and dining system	<u>3,655,710</u>	<u>3,254,397</u>
Auxiliary enterprises:		
Outstanding encumbrances	229,344	111,978
Unencumbered balance, departmental appropriations	1,130,579	1,520,474
Fund balances:		
Reserve for inventories	338,517	317,714
Funds for renewals and replacements	7,348	21,729
Allocated for future operating purposes	<u>353,651</u>	<u>360,701</u>
Total auxiliary enterprises	<u>2,059,439</u>	<u>2,332,596</u>
TOTAL CURRENT FUNDS	<u>\$98,791,237</u>	<u>\$83,441,718</u>

Schedule 1

University of Kentucky
Balance Sheet
October 31, 1971
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
Loan Funds:		
Cash in bank	\$ 5,663,523	\$ 59,485
Notes receivable	12,545	4,943,215
Due from Federal Government	<u>2,000</u>	<u>9,887</u>
Due from United Student Aid loan fund		<u>2,000</u>

ASSETS

TOTAL LOAN FUNDS

\$ 5,678,068 \$ 5,014,587

Endowment Funds:

Cash	\$ 132,280	\$ 5,565
Accounts receivable		2,262
Investments:		
Short-term		1,000
Other	<u>880,113</u>	<u>811,425</u>

TOTAL ENDOWMENT FUNDS

\$ 1,012,393 \$ 820,252

Plant Funds:

Unexpended plant funds:		
Cash on deposit with State Treasurer	\$ 1,526,955	\$ 860,054
Capital construction funds	18,598,282	19,323,288
Due from Federal Government	1,907,457	5,103,092
Due from other funds		77,849
Construction funded	<u>114,451,841</u>	<u>75,869,762</u>

Total unexpended plant funds

136,484,535 101,234,045

University of Kentucky
Balance Sheet
October 31, 1971
With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
LIABILITIES AND FUND BALANCES		
Loan Funds:		
Due to other funds	\$ 36,539	\$ 29,807
Federal sponsored loan funds:		
Federal government	4,845,454	4,321,423
University matching funds	454,898	396,150
Accumulated interest income	214,717	154,667
Total Federal sponsored loan funds	<u>5,515,069</u>	<u>4,872,240</u>
University loan funds:		
University student loan funds	53,653	43,856
University special student loan funds	70,807	66,684
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>126,460</u>	<u>112,540</u>
TOTAL LOAN FUNDS	<u>\$ 5,678,068</u>	<u>\$ 5,014,587</u>
Endowment Funds:		
Fund balances	<u>\$ 1,012,393</u>	<u>\$ 820,252</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,012,393</u>	<u>\$ 820,252</u>
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 5,719,452	\$ 9,766,005
Due to Commonwealth of Kentucky	7,622	1,986,137
Notes payable		30,410,966
Bonds payable	23,470,886	
Appropriations-unencumbered balances	14,405,664	10,306,806
University equity in projects	<u>92,880,911</u>	<u>48,764,131</u>
Total unexpended plant funds	<u>136,484,535</u>	<u>101,234,045</u>

Schedule 1

University of Kentucky
 Balance Sheet
 October 31, 1971
 With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
<u>ASSETS</u>		
Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	\$ 865,512	\$ 2,309,555
Unrealized income	7,310,958	3,032,923
Cash on deposit with Trustee	<u>245,000</u>	<u>245,000</u>
Total retirement of indebtedness funds	<u>8,176,470</u>	<u>5,587,478</u>
Sinking funds:		
Cash on deposit with Trustee	29,404,963	860,612
Investments held by Trustee	<u>11,130,395</u>	<u>10,396,117</u>
Total sinking funds	<u>40,535,358</u>	<u>11,256,729</u>
Invested in plant:		
Land	10,916,659	5,711,982
Buildings	159,154,576	148,547,550
Equipment	<u>38,706,371</u>	<u>36,071,744</u>
Total invested in plant	<u>208,777,606</u>	<u>190,331,276</u>
TOTAL PLANT FUNDS	<u>\$393,973,969</u>	<u>\$308,409,528</u>
Agency Funds:		
Cash in bank	\$ 3,377	\$ 12,570
Due from other funds	236,064	1,174,823
Investments - short term	<u>3,000</u>	<u>3,000</u>
TOTAL AGENCY FUNDS	<u>\$ 242,441</u>	<u>\$ 1,190,393</u>

University of Kentucky
Balance Sheet
October 31, 1971

With Comparative Figures for 1970

	<u>1971</u>	<u>1970</u>
LIABILITIES AND FUND BALANCES		
Retirement of indebtedness funds:		
Unexpended balances, debt service	\$ 7,310,958	\$ 5,244,158
Funds balances	<u>865,512</u>	<u>343,320</u>
Total retirement of indebtedness funds	<u>8,176,470</u>	<u>5,587,478</u>
Sinking funds:		
Notes payable	28,100,000	
Bonds payable	4,689,000	4,908,000
Funds balances	<u>7,746,358</u>	<u>6,348,729</u>
Total sinking funds	<u>40,535,358</u>	<u>11,256,729</u>
Invested in plant:		
Notes payable		14,689,034
Bonds payable	78,436,614	51,847,000
Due to other funds		25,765
Net investment in plant	<u>130,340,992</u>	<u>123,769,477</u>
Total invested in plant	<u>208,777,606</u>	<u>190,331,276</u>
TOTAL PLANT FUNDS	<u>\$ 293,973,969</u>	<u>\$ 308,409,528</u>
Agency Funds:		
Agency funds balances	<u>\$ 242,441</u>	<u>\$ 1,190,393</u>
TOTAL AGENCY FUNDS	<u>\$ 242,441</u>	<u>\$ 1,190,393</u>

University of Kentucky
 Schedule of Changes in Fund Balances-Current Funds
 Four Months Ended October 31, 1971

	<u>Unallocated</u>	<u>Operating Purposes</u>	<u>Restricted</u>	<u>Tobacco Research Trust Fund</u>	<u>Hospital and Clinics</u>	<u>Housing and Dining System Funds for Renewals and Replacements</u>	<u>Auxiliary Enterprises</u>
Balances, July 1, 1971	\$ 2,076,086	\$ 3,283,583	\$ 1,038,949	\$ 2,264,586	\$ 2,485,388	\$ 1,305,884	\$ 609,714
Allocations:							
Current operations:							
Original budget		(2,765,000)					
Current year provisions						117,618	
Expended						(96,336)	
Parking account							(14,321)
Renovation of furniture and fixtures							(170,815)
Printing and duplicating equipment							(70,927)
Adjustments of prior year expenditures-							
net			470				
Balances, October 31, 1971	\$ 2,085,777	\$ 518,583	\$ 1,038,949	\$ 2,264,586	\$ 2,485,858	\$ 1,327,166	\$ 353,651

Schedule of Changes in Unrestricted Fund and
Hospital Estimated Income
For the Four Months Ended October 31, 1971

Schedule 3

	Original Budget	July	August	September	October	Adjusted Budget
Unrestricted Fund:						
Student fees	\$ 10,204,800			\$ (13,500)		\$ 10,191,300
State appropriations	57,283,700		\$ (2,762)		\$ (53,517)	57,227,421
Federal appropriations	6,842,716					6,842,716
County appropriations	845,943					845,943
Endowment and trust fund income	157,200					157,200
Gifts and grants	2,741,500	\$ 300,000				3,041,500
Sales, services and other income	2,745,800			10,000		2,755,800
Unallocated fund balances appropriated	2,765,000					2,765,000
Total unrestricted	\$ 83,586,659	\$ 300,000	(2,762)	(3,500)	\$ (53,517)	\$ 83,826,880
Hospital and clinics:						
State appropriations	\$ 4,297,600		\$ 2,762		\$ 53,517	\$ 4,353,879
Hospital income	9,875,328					9,875,328
Total Hospital and clinics	\$ 14,172,928		\$ 2,762		\$ 53,517	\$ 14,229,207

Schedule of Changes in Unrestricted Fund
and Hospital Expenditure Appropriations
For the Four Months Ended October 31, 1971

Schedule 4

	Unrestricted	Hospital and Clinics	Total
Original budget	\$ 83,586,659	\$ 14,172,928	\$ 97,759,587
Transfers from unrestricted to Hospital and clinics	(2,762)	2,762	
Increases (decreases) as approved by Board of Trustees:			
August	300,000		300,000
September	(3,500)		(3,500)
October	(53,517)	53,517	
Total per Report	<u>\$ 83,826,880</u>	<u>\$ 14,229,207</u>	<u>\$ 98,056,087</u>

University of Kentucky
 Summary of Current Funds Revenues and Appropriated Balances
 Four Months Ended October 31, 1971
 With Comparative Figures for 1970

<u>Four Months Ended October 31, 1970 (see note)</u>		<u>Four Months Ended October 31, 1971</u>	
<u>Estimated</u>	<u>Realized</u>	<u>Estimated</u>	<u>Realized</u>
<u>for the</u>	<u>to Date</u>	<u>for the</u>	<u>to Date</u>
<u>Year</u>	<u>% Realized</u>	<u>Year</u>	<u>% Realized</u>
		<u>Unrestricted:</u>	
		Student fees	
\$ 9,424,900	59.2	\$ 10,191,300	58.2
52,501,116	55.6	57,227,421	55.0
5,841,100	26.9	6,842,716	29.9
776,100	25.6	845,943	21.9
181,200	24.3	157,200	28.3
		Endowment and trust fund income	
		Gifts and grants (includes grants	
		from affiliated corporations)	
2,609,100	2.1	3,041,500	.7
2,288,243	40.6	2,755,800	27.9
		Sales, services, and other income	
		Unallocated fund balances	
3,021,180	100.0	2,765,000	100.0
76,642,933	53.0	83,826,880	51.6
		Total unrestricted	
2,327,969	26.9	2,648,798	22.2
		<u>Restricted</u>	
		Tobacco Research Trust Fund	
		2,276,521	32.4
		<u>Hospital and clinics:</u>	
		State appropriations	
4,297,160	55.3	4,353,879	54.5
9,082,900	45.0	9,875,328	35.1
13,380,060	48.3	14,229,207	40.9
		Hospital earned income - net	
		Total Hospital and clinics	
7,656,193	32.1	7,908,159	32.7
2,527,744	54.4	2,298,576	50.0
		Housing and dining system	
		Auxiliary enterprises	
		TOTAL CURRENT FUNDS	
		REVENUES AND APPROPRIATED	
		BALANCES	
\$102,534,899	50.2	\$113,188,141	47.8
		\$54,150,128	

Note: Certain reclassifications have been made to the figures for the four months ended October 31, 1970 for the purpose of comparability .

Schedule 7

University of Kentucky
 Schedule of Short-Term Investments
 For the Four Months Ended October 31, 1971

	Maturity Value	Cost
Restricted Current Funds:		
Government securities:		
Federal Home Loan Bonds, 5.75%, 11-27-72	\$ 210,000	\$ 210,000
Federal Home Loan Bonds, 6.25%, 8-25-72	255,000	255,000
Banks for Cooperatives, 6.125%, 2-1-72	300,000	300,000
FNMA Notes, 8.7%, 3-10-72	20,000	20,000
FNMA Notes, 5.9%, 1-26-72	100,000	97,050
Federal Land Bank Bonds, 6.5%, 10-23-72	335,000	335,000
Federal Home Loan Bonds, 8.2%, 11-26-71	150,000	150,000
FIC Bank Bonds, 6.25%, 5-1-72	500,000	500,000
FNMA Notes, 7.15%, 12-10-73	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	200,000	200,000
FIC Bank Bonds, 5.85%, 4-3-72	130,000	130,000
FIC Bank Bonds, 5.55%, 6-1-72	200,000	200,000
FIC Bank Bonds, 5.55%, 7-3-72	100,000	100,000
Total government securities	<u>2,697,050</u>	
Corporate notes:		
Penn Central Transportation Company, 8.875%, 6-29-70	500,000	<u>481,017</u>

University of Kentucky
 Schedule of Short-Term Investments
 For the Four Months Ended October 31, 1971

	Maturity Value	Cost
Restricted Current Funds (continued)		
Certificates of deposit:		
Bank of Commerce and Trust Company, 5.5%, 2-5-72	\$ 100,000	\$ 100,000
First Security National Bank & Trust Company, 6.5%, 12-7-71	300,000	300,000
First Security National Bank & Trust Company, 5%, 3-8-72	100,000	100,000
Bank of Lexington, 5.25%, 3-8-72	100,000	100,000
Bank of Commerce and Trust Company, 5.5%, 3-8-72	100,000	100,000
First Security National Bank & Trust Company, 5.5%, 5-12-72	100,000	100,000
Louisville Trust Company, 5.5%, 5-12-72	100,000	100,000
Second National Bank & Trust Company, 5.875%, 6-30-72	300,000	300,000
Citizens Union National Bank & Trust Company, 6%, 6-26-72	100,000	100,000
First Security National Bank & Trust Company, 6.125%, 6-30-72	300,000	300,000
First Security National Bank & Trust Company, 5.375%, 11-1-71	800,000	800,000
	2,400,000	2,400,000
Total certificates of deposit		
Total Restricted Current Funds	5,578,067	5,578,067
Less Allowance for Decline in Market Value of Securities	481,017	481,017
	\$ 5,097,050	\$ 5,097,050
NET RESTRICTED CURRENT FUNDS		
Agency Funds -Certificates of deposit:		
First Security National Bank & Trust Company, 5%, 12-2-71	\$ 3,000	\$ 3,000
	\$ 3,000	\$ 3,000

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

Main Campus-Subschedule A
 Consolidated Educational Bonds C & D (June 8, 1971
 Minutes of the Board)

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Classroom-Office Building 125 and 129	\$11,980,250	\$11,978,082	\$11,978,082	\$11,934,710	\$ 43,372
Agricultural Sciences-Lab Building # 2-749	5,390,399	5,390,399	5,386,679	5,172,423	214,256
Appurtenant Facilities-Parking Structures--916	3,752,074	3,752,074	3,752,074	3,752,074	
Appurtenant Facilities--Utilities	5,144,744	5,144,744			
Medical Center--Relocation of Cooling Tower--302			1,794,413	1,746,150	48,263
Electrical Substation # 2--Central Campus-919			706,652	702,152	4,500
Cooling Plant # 2--Central Campus-920			1,184,592	1,184,592	
Central Heating Plant-Aux. Equipment-921			443,774	426,351	17,423
Utility Distribution System--935			367,284	367,284	
Cooling Plant # 1--Expansion -942			632,625	596,772	35,853
Subtotal	5,144,744	5,144,744	5,129,340	5,023,301	106,039
Utilities Construction FCR-3, December 8, 1970	1,300,000	1,338,561			
Interconnection of Steam Lines--Medical Center and Upper Street Heating Plants--324			708,428	29,478	678,950
Chilled Water Steam and Electrical Distribution Multidiscipline-973			630,133	506,525	123,608
Subtotal	1,300,000	1,338,561	1,338,561	536,003	802,558
Conversion of Electrical Distribution System FCR-4 March 16, 1971	1,450,000	1,450,000			
Replacement of Boilers-- Upper Street Heating Plant-975			268,463	42,791	225,672
Conversion from 4KV to 12KV-976			25,100	2,500	22,600
Subtotal	1,450,000	1,450,000	293,563	45,291	248,272

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule A-continued</u>					
<u>Appurtenant Facilities-Permanent Surface Parking</u>	\$ 355,598	\$ 355,300			
Surface Parking-Lighting and Landscaping-936			291,454	291,454	
Surface Parking-Harrison and Euclid Avenues-963			61,527	61,527	
Site Clearance-107			2,319	2,319	
Subtotal	355,598	355,300	355,300	355,300	
Appurtenant Facilities-Pedestrian Access & Light-937	345,598	345,598	345,598	318,992	\$ 26,606
Lab Office & Studio Facilities-University Wide	1,684,101	1,648,101			
Arts Building for Metal Casting-601			101,933	101,423	510
Prefabricated Research Lab. Phase I-934			1,546,168	1,546,168	
Subtotal	1,684,101	1,648,101	1,648,101	1,647,591	510
Complete Interior Reconstruction-Memorial Hall-930	424,494	424,494	424,494	424,494	
Patient Care Services (June 8, 1971 Board Minutes & Planning PR-11, January 29, 1969)-307	4,500,000	4,507,000	131,085	8,085	123,000
Addition to Margaret I. King Library (June 8, 1971 Board Minutes & Planning PR -11, January 29, 1969)-938	3,700,000	3,832,864	179,020	159,162	19,858
Substantial Interior Reconstruction-Portion Library Service Building-924	472,708	472,708	472,708	437,229	35,479
Appurtenant Facilities-Site Preparation (FCR-7, October 20, 1970)	480,490	491,518			
Veterans Administration Hospital Site Preparation and Clearance-941			427,490	414,412	13,078
Veterans Administration Hospital-Connecting Bridge-943			64,028	57,505	6,523
Subtotal]	480,490	491,518	491,518	471,917	19,601
Laboratory Office Facilities-967-FCR-2, May 5, 1970	2,300,000	2,300,000	2,131,142	1,990,766	140,376
Site Clearance -107			3,478	3,478	
Subtotal	2,300,000	2,300,000	2,134,620	1,994,244	110,376

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

Main Campus-Subschedule A-continued
Health, Physical Education and Recreation Bldg.-968
 (FCR-2 May 5, 1970)

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
	\$ 2,500,000	\$ 2,560,800	\$ 2,560,800	\$ 2,462,526	\$ 98,274
Completed Projects	<u>420,186</u>	<u>420,186</u>	<u>420,186</u>	<u>420,186</u>	<u>Completed</u>
Total	<u>46,200,642</u>	<u>46,412,429</u>	<u>37,041,729</u>	<u>35,163,528</u>	<u>1,878,201</u>
Contingency	524,187	435,483			
Legal, Administrative and Interest Expense	5,842,218	5,097,144*	5,097,144	4,868,158	228,986
Clearing-900			5,834,837		5,834,837
Total Subschedule A	<u>\$52,567,047</u>	<u>\$51,945,056</u>	<u>\$47,973,710</u>	<u>\$40,031,686</u>	<u>\$7,942,024</u>

* Approximately \$ 109,000 will be available for construction after payments for legal, administrative, interest and bond.

University of Kentucky

Schedule of Unexpended Plant Funds

For the Four Months Ended October 31, 1971

<u>Main Campus-Subschedule A -continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds:</u>			
Series D Bonds	\$34,700,000	\$33,643,015	\$ 1,056,985
Series C Bonds	4,290,000	4,290,000	
Interest Income	3,649,412	3,645,084	4,328
Note Premium	1,239	1,239	
Fund Balances:			
December 12, 1969 Annual Debt Service Appropriation	110,000	110,000	
December 14, 1970 Annual Debt Service Appropriation	700,000	700,000	
Subtotal	43,450,651	42,389,338	1,061,313
General Obligation Bonds:			
Utilities-FCR-3, December 8, 1970	1,350,000	1,350,000	
Planning- PR11, January 29, 1969	139,864	139,864	
Hill Burton Funds	2,300,000		2,300,000
Veterans Administration	659,254	232,293	426,961
Special State Capital Construction Funds	578,000	578,000	
State Capital Construction Funds	500,000	500,000	
Auxiliary Enterprises	149,882	149,882	
Housing and Dining Funds	225,979	225,979	
Title I Grants	1,445,356	1,262,284	183,072
Title II Grants	1,129,070	1,129,070	
Trust and Agency (Furniture and Equipment-Service Building)	17,000	17,000	
Total Source of Funds	\$51,945,056	\$47,973,710	\$ 3,971,346

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Main Campus-Subschedule A--continued

SUMMARY OF UNAPPROPRIATED FUNDS--SERIES C & D BONDS

Balance in Clearing Account		\$ 5,834,837
Unrealized Income:		
Estimated Interest Earnings	\$ 4,328	
Other Unrealized Income	<u>3,967,018</u>	
Total Unrealized Income		\$ <u>3,971,346</u>
Subtotal		9,806,183
Additional Allotments:		
Library Addition	3,653,844	
Patient Care Services	4,375,915	
Appurtenant Facilities--Utilities	15,404	
Conversion of Electrical Distribution System	1,156,437	
Agr. Sciences Lab	3,720	
Lab Office Facility	<u>165,380</u>	
Total Additional Allotments		<u>(9,370,700)</u>
Balance Available		\$ 435,483

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
<u>Main Campus-Planning-Subschedule B</u>					
<u>Consolidated Educational Bonds (not assigned)</u>					
(PR 10 & 11-January 29, 1969)					
Lab Office Building- Biological Sciences- Phase I-940	\$ 10,000,000	\$ 11,378,000	\$ 120,625	\$ 120,625	
Computer Facilities (cancelled)-962	2,800,000	7,500	7,500	6,208	\$ 1,292
Medical Center- New Reconstruction and Renovation	8,500,000	8,500,000			
Addition of 2 Floors			10,000	10,000	
Handicapped Children's Center--939			10,000	10,000	
Subtotal	8,500,000	8,500,000	20,000	20,000	
Total Subschedule B	\$ 21,300,000	\$ 19,885,500	\$ 148,125	\$ 146,833	\$ 1,292

Source of Funds:
General Obligation Bonds \$ 148,125

University of Kentucky
 Schedule of Unexpended Plant Funds
 for the Four Months Ended October 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Main Campus-Subschedule C					
Non-Recurring Maintenance Items (FCR-6, April 2, 1968 & PR-5, November 19, 1968)					
Roof Repairs - E. Wing Engineering Building (Anderson Hall) -922	\$ 42,000	\$ 33,764	\$ 16,577	\$ 16,577	
Air Conditioning- Bowman, Bradley, Breckinridge and Kinkead-136	387,410	387,410	387,410	371,843	\$ 15,567
Centrex-Conduit System	94,272	94,272			
Conduit for Medical Center-321			55,309	52,044	3,265
Installation 39-087			36,442	36,442	Completed
Subtotal	94,272	94,272	91,751	88,486	3,265
Repairs-Administration Building	60,000	85,257			
Masonry Repairs-965			22,391	22,391	
Window Air Conditioners 39-090			11,750	23,516	(11,766)
Replace Windows 39-091			39,350	39,350	
Subtotal	60,000	85,257	73,491	85,257	(11,766)
Roof Repairs- McVey Hall	15,000	15,000			
McVey Hall-Roof Repairs 38-404			5,000	1,951	3,049
McVey Hall-Gutter Repairs 38-392			10,000	5,009	4,991
Subtotal	15,000	15,000	15,000	6,960	8,040
Roof Repairs Memorial Hall-Cancelled Project	18,000				
Floor Tile-Cancelled Projects	121,000				
Electrical-Cancelled Projects	210,000				
Electrical-Fine Arts 38-444	9,000	9,000	9,000	832	8,168

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

<u>Main Campus-Subschedule C - continued</u>	Board Approved	Estimated Project Cost	<u>Allotment</u>	Expenditures and Encumbrances	Balance of <u>Allotment</u>
Hardware--	\$ 20,000	\$ 20,000	\$ 10,000	\$ 7,562	\$ 2,438
Alumni Gym & Taylor Building 38-428					
Animal Pathology Bldg. & Home Economics Bldg.					
Hardware 38-429			10,000	10,000	
Subtotal	20,000	20,000	20,000	17,562	2,438
Roof Repairs-Administration Annex-969	8,000	16,236	16,236	14,760	1,476
Campus Utilities Electrical 119, 38-443, 972		145,000	75,703	24,458	51,245
Renovation Taylor Education Bldg.-117		132,629	26,198	1,000	25,198
Barker Hall-Sandblasting-977		13,892	13,892	13,230	662
Completed Projects	165,318	162,251	162,251	162,251	Completed
Total	1,150,000	1,114,711	907,509	803,216	104,293
Unallotted Non-Recurring Maintenance			210,228		210,228
Contingency		3,026			
Total Subschedule C	\$ 1,150,000	\$ 1,117,737	\$ 1,117,737	\$ 803,216	\$ 314,521
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>			
University Fund Balances	\$ 25,000	\$ 25,000			
General Obligation Bonds	41,390	41,390			
Restricted	1,928	1,928			
Renovation Funds-1969-70	27,738	27,738			
Fund Balances-					
PR-5, November 19, 1968	900,000	900,000			
FCR-6, April 2, 1968	112,400	112,400			
FCR-6, April 2, 1968	137,600	137,600			
Transferred to Minor Renovation Funds	(80,000)	(80,000)			
Transferred to State Capital Construction Projects	(55,000)	(55,000)			
Athletic Association	6,681	6,681			
Total Source of Funds	\$ 1,117,737	\$ 1,117,737			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Subschedule C--continued

SUMMARY OF UNAPPROPRIATED FUNDS--NON-RECURRING MAINTENANCE

Balance in Clearing	\$	210,228
To be Allotted:		
Taylor Education	\$	106,431
Others		100,771
Total		\$ (207,202)
Balance Available	\$	3,026

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	<u>Board</u>	<u>Approved</u>	<u>Estimated</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>Expenditures</u> <u>and</u> <u>Encumbrances</u>	<u>Balance</u> <u>of</u> <u>Allotment</u>
<u>Main Campus-Subschedule D</u>							
State Capital Construction Funds- (FCR-3, February 18, 1969)			\$	3,720 \$	3,720 \$	3,720	
Agricultural Regulatory Service Facilities -745		\$ 100,000		145,185	145,185	109,117	\$ 36,068
Community Medicine Facility-Mycology and Animal Tuberculosis-322		250,000		349,332	349,332	336,125	13,207
Renovation of Engineering Quadrangle-111		250,000		250,000	173,040	168,922	4,118
Development of Intramural Fields-118		220,000		270,014	270,014	266,837	3,177
Renovation of Neurosurgery-960							
Quicksand Wood Utilization Center Trailers-109		150,000		173,751	147,440	145,830	1,610
Trailers-Force Account-110					12,000	12,000	Completed
Student Housing Renovation-320					14,311	14,311	Completed
Subtotal		150,000		173,751	173,751	172,141	1,610
ETV Equipment for Community Colleges-518		100,000		118,109	110,538	87,814	22,724
Jefferson Community College ETV-555					7,571		7,571
Subtotal		100,000		118,109	118,109	87,814	30,295
Reserve for Additional Projects.				136,706	136,706*		136,706*
Contingency		3,500		2,683			
Undistributed (not allotted specific projects)					79,643		79,643
Total Subschedule D					\$ 1,073,500 \$	\$ 1,449,500 \$	\$ 1,144,676 \$
Source of Funds:							
Board Action-FCR-3			Estimated	Realized			
Transferred to Ag. Science Building II			\$ 1,853,500	\$ 1,853,500			
Transferred from Non-Recurring Maintenance			(500,000)	(500,000)			
Restricted Funds (Engr. Equipment Account)			55,000	55,000			
			41,000	41,000			
Total Source of Funds			\$ 1,449,500	\$ 1,449,500			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Subschedule D--continued

SUMMARY OF UNAPPROPRIATED FUNDS--STATE CAPITAL CONSTRUCTION FUNDS

Balance in Clearing Account	\$ 216,349
Additional Allotments	<u>(76,960)</u>
Balance Available	\$ 139,389

* Overruns on Mycology and Community College ETV have caused the reduction of the \$ 200,000 Reserved for Additional Projects.

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule E</u>					
<u>Major Improvements-Miscellaneous Construction</u>					
(PR 6, November 10, 1967 & FCR-2, December 9, 1969)					
Substantial Interior Reconstruction portions					
Agr. Science Building-Small Animal Lab to Tobacco Health and Research-319	\$ 162,500	\$ 220,813	\$ 220,813	\$ 220,813	
Substantial Interior Reconstruction portions					
Anderson Hall (Engr. Quadrangle)-922	136,500	138,009	136,541	136,541	
Minor Interior Changes & Major Mech & Electrical					
Highway Materials Research Lab (Graham Avenue)-932	150,000	225,405	225,405	215,073	\$ 10,332
Completed Projects	1,060,850	1,068,049	1,068,049	1,068,049	Completed
Cancelled Projects	95,500				
Contingency and Clearing Account 39-700	194,650	141,228	152,115		152,115
Subtotal PR-6	1,800,000	1,793,504	1,802,923	1,640,476	162,447
Demolition of Houses-107		58,262	52,465	50,369	2,096
Flammable Storage Buildings-101		51,000	47,378	47,347	31
Total Subschedule E	\$ 1,800,000	\$ 1,902,766	\$ 1,902,766	\$ 1,738,192	\$ 164,574

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

<u>Main Campus--Subschedule E--continued</u>	<u>Amount</u>	<u>Realized</u>
<u>Source of Funds:</u>		
Fund Balances- PR 6, November 10, 1967	\$ 1,013,376	\$ 1,013,376
General Obligation Bonds-		
FCR-2, December 9, 1969	\$ 786,624	
Available Balances Returned	<u>(10,097)</u>	
Total G. O. B.		776,527
Grants		136,588
Trust and Agency		10,000
University Funds		4,982
Restricted Funds		<u>28,066</u>
Subtotal		<u>1,969,539</u>
Less:		
Sewer Connection Fee- FCR-3, March 12, 1968	(35,000)	(35,000)
Transferred to Minor Renovation Funds	(20,000)	(20,000)
Transferred to Research Feed Processing Center	<u>(11,773)</u>	<u>(11,773)</u>
Total Source of Funds	<u>\$ 1,902,766</u>	<u>\$ 1,902,766</u>
 <u>SUMMARY OF UNAPPROPRIATED FUNDS-MAJOR IMPROVEMENTS</u>		
Balance in Clearing	\$ 152,115	
Additional Allotments	<u>(10,887)</u>	
Balance Available	\$ 141,228	

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

	Board Approved	Estimated Project Cost	Allocation	Expenditures and Encumbrances	Balance of Allotment
<u>Main Campus-Subschedule F</u>					
a. Others					
Closed Circuit TV	\$ 70,000	\$ 71,059			
Engr. & Commerce Buildings-966		\$ 9,854	\$ 9,854	9,854	
Taylor Distribution Equipment 39-077		11,005	11,005	4,956	\$ 6,049
Completion Classroom-Office 39-076		9,500	9,500	9,500	
White Hall Distribution Equipment 39-078		2,625	2,625	2,625	Completed
T. V. Receivers and Tape 39-079		33,975	33,975	22,060	11,915
Patterson Tower Closed Circuit TV 39-075		4,100	4,100	4,100	Completed
Subtotal	70,000	71,059	71,059	53,095	17,964
Miscellaneous Parking Lot Construction					
1970-71 (FCR-4, June 17, 1969)-964, 970	200,000	246,400	246,400	233,294	13,106
1971-72 (FCR -2, June 9, 1970)-165, 166	200,000	200,000	43,164		43,164
Subtotal	400,000	446,400	289,564	233,294	56,270
Coldstream Research Feed Processing Center-746					
(FCR-3, June 9, 1970)	293,475	293,475	293,475	279,782	13,693
South Farm Horticulture-Storage and Research-750					
Ag. Service-Agronomy Seed & Lab-928		2,500	2,500	1,000	1,500
Fire Escape- Jewell & Reynolds -905		58,898	58,898	58,222	676
Renovation-Lafferty Hall-971		3,500	3,500	2,000	1,500
Alterations to Doctor's Parking Lot-325		4,500	4,500	4,500	
Shively Sports Center Swimming Pool-978		12,942	12,942	1,200	800
Renovation Frazee Hall-974		1,000	1,000	11,945	997
Funkhouser - Biological Sciences-931		1,000	1,000	1,000	Completed
Total Subschedule F. a.	763,475	966,875	812,039	718,639	93,400

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

<u>Main Campus-Subschedule F-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
<u>Source of Funds-Subschedule F. a.</u>			
Auxiliary Enterprises (Parking Fees)	\$ 446,400	\$ 446,400	
Parking Fees Reserved in Clearing Account		(156,836)	\$ 156,836
State Fire and Tornado Fund	134,932	134,932	
USDA Grants	164,503	156,301	8,202
70-71 Renovation Funds	91,442	91,442	
69-70 Renovation Funds	2,500	2,500	
Fund Balances	79,025	79,025	
Transferred from PR 6	11,773	11,773	
Program Improvement Reserve	36,300	36,300	
Subtotal	966,875	801,837	165,038
Advance from General Obligation Bonds		8,202	(8,202)
Advance from Fund Balances		2,000	(2,000)
Total	966,875	812,039	154,836
b. Purchase of Computer-103 (FCR-2, December 9, 1969)	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344
<u>Source of Funds-Subschedule F. b.</u>			
FCR-2		<u>Amount</u>	<u>Realized</u>
Trust and Agency	\$ 1,819,612	\$ 1,819,612	
Restricted Funds	187,732	187,732	
Total	2,007,344	2,007,344	2,007,344

<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	\$ 2,007,344	Completed

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

<u>Main Campus-Subschedule F-continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
c. Property Acquisitions-135	\$ 3,800,000	\$ 3,817,507	\$ 3,817,507	\$ 3,387,137	\$ 430,370
<u>Source of Funds-Subschedule F. c.</u>	<u>Amount</u>	<u>Realized</u>			
General Obligation Bonds					
FCR-4, May 6, 1969	\$ 2,300,000				
FCR-2, December 9, 1969	<u>187,732</u>				
Subtotal	2,487,732	2,487,732			
Restricted Fund Balances					
PR-5, November 19, 1968	900,000				
FCR-2, December 9, 1969	(187,732)				
Phasing Out Operations of South End Frozen Food Locker	(9,517)				
Transfer of Land Sale Proceeds	<u>(18,106)</u>				
Subtotal	684,645	684,645			
Proceeds from Real Estate Sales	98,606	98,606			
Trust and Agency Funds					
Program Improvement Reserve	32,450				
Agriculture Department	14,074				
PR-7, May 5, 1970	100,000				
PR-4, January 19, 1971	<u>400,000</u>				
Subtotal	546,524	546,524			
Total	<u>3,817,507</u>	<u>3,817,507</u>			

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971
 Schedule 8

<u>Main Campus-Subschedule F -continued</u>	<u>Board Approved</u>	<u>Estimated Project Cost</u>	<u>Allotment</u>	<u>Expenditures and Encumbrances</u>	<u>Balance of Allotment</u>
d. Completed Projects (Medical Center - Hill Burton)	\$25,398,144	\$25,286,631	\$25,286,631	\$25,286,631	
<u>Source of Funds-Subschedule F. d.</u>					
Federal Grants	\$10,192,147	\$10,192,147			
Special State Appropriation	15,094,484	15,094,484			
Total	25,286,631	25,286,631			
e. Housing and Dining Complex					
<u>Source of Funds-Subschedule F. e.</u>					
Series H & I Bonds	\$1,917,069	\$1,917,069			
Trust and Agency	24,420	24,420			
Total	1,941,489	1,941,489	1,941,489	1,902,693	38,796
f. Undistributed Portion of Fund Balances -100					
Restricted Funds					
University Funds Reserved for Additional Projects			8,031		8,031
University Funds Reserved for Parking			400,000		400,000
Unallocated			156,836		156,836
Total			341,947		341,947
<u>Total Subschedule F. f.</u>			906,814		906,814
<u>Total Subschedule F</u>	\$33,910,452	\$34,019,846	\$34,771,824	\$33,302,444	\$1,469,380

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

<u>Main Campus-Subschedule G</u> General Obligation Bonds	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
Completed Projects FCR-2, June 9, 1970	\$ 196,507 \$	190,005 \$	190,005 \$	190,005	Completed
Contingency		649,357			
Unallocated General Obligation Bonds			641,155		641,155
Total Subschedule G	\$ 196,507 \$	839,362 \$	831,160 \$	190,005	\$ 641,155
<u>Source of Funds:</u>		<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>	
General Obligation Bond Proceeds		\$ 5,783,000 \$	5,783,000		
Transferred to Other Schedules:					
Subschedule A (C & D Bonds)		(1,489,864)	(1,489,864)		
Subschedule B (Main Campus Planning)		(148,125)	(148,125)		
Subschedule C (Non-Recurring Maintenance)		(41,390)	(41,390)		
Subschedule E (Major Improvements)		(776,527)	(776,527)		
Subschedule F. a. (Main Campus - Others)		(8,202)	(8,202)	8,202	
Subschedule F. c. (Property Acquisitions)		(2,487,732)	(2,487,732)		
Total Source of Funds		\$ 839,362 \$	831,160 \$	8,202	

University of Kentucky
Schedule of Unexpended Plant Funds
For the Four Months Ended October 31, 1971

Subschedule G--continued

SUMMARY OF UNAPPROPRIATED FUNDS--GENERAL OBLIGATION BONDS

G. O. Bonds Current Balance	\$ 641,155
Advances to be Repaid	<u>8,202</u>
Balance Available	\$ 649,357

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule H</u>					
<u>Consolidated Education Bonds-Series A & B (FCR-2,</u>					
November 17, 1970)					
Ashland Phase I	\$ 3,365,206	\$ 3,322,206			
Preliminary Development-517		\$ 12,436	\$ 12,436	12,436	Completed
Academic Facilities-523		3,309,770	3,309,770	3,297,854	11,916
Construction of Parking Lot-519		90,000	90,000		90,000
Subtotal	3,365,206	3,412,206	3,412,206	3,310,290	101,916
Elizabethtown	1,559,095	1,564,095			
Preliminary Development-510		9,025	9,025	9,025	
Academic Facilities-525		1,555,070	1,555,070	1,554,348	722
Subtotal	1,559,095	1,564,095	1,564,095	1,563,373	722
Hazard	1,831,213	1,831,213			
Preliminary Development-507		10,427	10,427	10,427	
Academic Facilities-527		1,820,786	1,820,786	1,791,147	29,639
Subtotal	1,831,213	1,831,213	1,831,213	1,801,574	29,639
Henderson	1,239,570	1,239,570			
Preliminary Development-511		3,925	3,925	3,925	Completed
Academic Facilities-529		1,235,645	1,235,645	1,228,734	6,911
Subtotal	1,239,570	1,239,570	1,239,570	1,232,659	6,911
Hopkinsville	759,753	759,754			
Preliminary Development-514		9,075	9,075	9,075	Completed
Academic Facilities-531		750,678	750,678	747,819	2,859
Phase I-508	223,620	223,620	213,732	213,732	Completed
Subtotal	983,373	983,374	973,485	970,626	2,859
Jefferson	5,576,910	5,664,310			
Phase I-547		1,365,101	1,365,101	1,365,101	
Phase II-534		3,861,327	3,861,327	3,782,910	78,417
Utilities Tunnel- 549		427,882	427,882	412,638	15,244
Phase III-535		10,000	10,000	10,000	
Subtotal	5,576,910	5,664,310	5,664,310	5,570,649	93,661

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

	Board		Estimated Project Cost	Allotment	Expenditures		Balance of Allotment
	Approved	\$			and	Encumbrances	
<u>Community Colleges-Subschedule II-continued</u>							
Madisonville							
Preliminary Development-550 (FCR-3)							
(March 10, 1970)		\$	14,200	\$	13,593	\$	607
Academic Facilities-554			2,500,000		151,341		238,909
Trailers-551			11,150		11,150		
Subtotal			2,525,350		176,084		239,516
Maysville							
Preliminary Development-536			1,876,881		9,450		
Academic Facilities-537				1,857,061	1,815,391		41,670
Subtotal			1,876,881		1,824,841		41,670
Prestonsburg							
Preliminary Development-513			1,645,096		12,597		300
Academic Facilities-541				1,632,199	1,625,458		6,741
Subtotal			1,645,096		1,638,055		7,041
Somerset							
Preliminary Development-512			814,726		857,209		
Academic Facilities-543				9,450	9,450		
Library Equipment Account 40-038				829,454	821,227		8,227
Phase I-506				36,610	13,499		4,806
Subtotal			176,380		116,336		
			991,106		973,545		13,033
Southeast							
Preliminary Development-515			1,015,381		1,034,970		
Academic Facilities-545				10,594	10,594		Completed
Subtotal			1,015,381		1,005,787		9,963
			1,034,970		1,006,418		9,963
Movable Buildings 548, 539			718,817		718,803		718,803

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Community Colleges-Subschedule H-continued</u>					
<u>Educational Television-Community Colleges</u>					
Southeast-521	\$ 45,000				
Henderson-552		\$ 19,248	\$ 19,248	\$ 17,443	\$ 1,805
Prestonsburg-553		24,500	23,679	22,076	1,603
Subtotal	45,000	49,132	48,311	44,049	4,262
<hr/>					
Total	23,337,278	23,615,199	21,369,126	20,817,933	551,193
Contingency		867,958			
Legal, Administrative, and Interest Expenses		1,225,303	926,437	881,181	45,256
Clearing Account-500			2,066,979		2,066,979
<hr/>					
Total Subschedule H	\$ 23,337,278	\$ 25,708,460	\$ 24,362,542	\$ 21,699,114	\$ 2,663,428

<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Title I Grants	\$ 7,100,475	\$ 5,829,557	\$ 1,270,918
Title VI Grants	18,305		18,305
Advance from Renovation Funds		18,305	(18,305)
Norton Memorial Hospital	95,063	95,063	
Ashland Board of Education	45,000	45,000	
Madisonville Reserve	23,150	23,150	
Trust and Agency	2,500	2,500	
Old Series A & B Bonds	1,960	1,960	
Series A & B Bonds:			
Original Issue-16,300,000			
Series A	12,125,000	12,125,000	
Fund Balances	75,000		75,000
Series B	4,485,000	4,489,000	
Bond Premium	360	360	
Interest Earned	1,732,647	1,732,647	
Total Source of Funds	\$ 25,708,460	\$ 24,362,542	\$ 1,345,918

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Subschedule H-continued

SUMMARY OF UNAPPROPRIATED FUNDS- SERIES A & B BONDS

Balance in Clearing Account	\$ 2,066,979
Unrealized Income	<u>1,345,918</u>
Subtotal	\$ 3,412,897
Additional Allotments	
Legal and Admin (Est)	298,866
Other s	<u>2,246,073</u>
Subtotal	<u>(2,544,939)</u>
Balance Available	\$ 867,958

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

<u>Community Colleges-Subschedule I</u>	<u>Board</u>	<u>Estimated</u>	<u>Allotment</u>	<u>Expenditures</u>	<u>Balance</u>
<u>Others -</u>	<u>Approved</u>	<u>Project Cost</u>	<u>Allotment</u>	<u>and</u>	<u>of</u>
				<u>Encumbrances</u>	<u>Allotment</u>
Jefferson-Demolition Old Pharmacy Building-538	\$	6,000 \$	6,000 \$	4,460 \$	1,540
Somerset Amphitheater-502		1,000	1,000	1,000	
Ashland Phase II-524		7,500	7,500	5,000	2,500
Total Subschedule I	\$	14,500 \$	14,500 \$	10,460 \$	4,040
<u>Source of Funds:</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>		
Trust and Agency Advance FCR-3, March 10, 1970	\$	7,500 \$	7,500		
Fund Balances		1,000	1,000		
Due from Other Funds		7,500	\$ 7,500		
Advance to be Repaid		(7,500)	(7,500)		
1970-71 Renovation Funds		6,000	6,000		
Total Source of Funds	\$	14,500 \$	14,500		

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	Board Approved	Estimated Project Cost	Allotment	Expenditures and Encumbrances	Balance of Allotment
<u>Minor Renovation Funds-Subschedule J</u>					
<u>1971-72 Renovation Funds-</u>					
Projects in Process					
Unallocated Regular Clearing Account 40-500	\$ 750,000	\$ 124,564	\$ 124,564	\$ 88,054	\$ 36,510
Unallocated Misc. Clearing Account 40-600			594,320		594,320
Stock Furniture Account 40-096		25,000	25,000	21,100	9,811
Contingency		627,436			3,900
Subtotal	750,000	777,000	753,695	109,154	644,541
1970-71 Renovation Funds-					
Projects in Process					
Completed Projects	600,000	439,607	439,607	408,172	31,435
Unallocated Regular Clearing Account 39-500		159,925	159,925	159,925	Completed
Stock Furniture Account 39-096		25,596	109,276	23,702	109,276
Contingency		109,276	25,596		1,894
Subtotal	600,000	734,404	734,404	591,799	142,605
1969-70 Renovation Funds-					
Projects in Process					
Completed Projects	400,000	5,850	5,850	4,331	1,519
Unallocated Regular Clearing Account 39-099		257,712	257,712	257,712	Completed
Contingency		106,200	106,200		106,200
Subtotal	400,000	369,762	369,762	262,043	107,719
1968-69 Renovation Projects in Process					
Subtotal		22,110	22,110	16,555	5,555
Total Subschedule J	\$ 1,750,000	\$ 1,903,276	\$ 1,879,971	\$ 979,551	\$ 900,420

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

<u>Subschedule J-continued</u>	<u>Amount</u>	<u>Realized</u>	<u>Unrealized</u>
Source of Funds:			
1971-72 Allocation	\$ 750,000	\$ 750,000	
Transferred from Medical Center	22,000	22,000	
Due from Other Funds	5,000		\$ 5,000
Temporary Advance to Somerset Community College		(18,305)	18,305
Subtotal 1971-72 Funds	<u>777,000</u>	<u>753,695</u>	<u>23,305</u>
1970-71 Allocation	\$ 300,000		
PR-7, May 5, 1970	<u>300,000</u>		
PR-4, January 19, 1971			
Total 1970-71 Allocation	600,000	600,000	
Transferred to Subschedule F. a.	(91,442)	(91,442)	
Transferred to Subschedule I	(6,000)	(6,000)	
Transferred from 1969-70 Stock Furniture	596	596	
Transferred from Non-Recurring Maintenance	80,000	80,000	
Transferred from Major Improvements PR-6	20,000	20,000	
Auxiliary Enterprises	17,000	17,000	
Transferred from Other Funds	114,250	114,250	
Subtotal 1970-71 Funds	<u>734,404</u>	<u>734,404</u>	
1969-70 Allocation, PR-6, October 21, 1969	400,000	400,000	
Transferred from Subschedule C	(27,738)	(27,738)	
Transferred from Subschedule F. a.	(2,500)	(2,500)	
Subtotal 1969-70 Funds	<u>369,762</u>	<u>369,762</u>	
1968-69 Renovation Funds	22,110	22,110	
Total Source of Funds	<u>\$ 1,903,276</u>	<u>\$ 1,879,971</u>	<u>\$ 23,305</u>

SUMMARY OF UNAPPROPRIATED FUNDS-MINOR RENOVATION

Balance in Clearing Accounts	\$ 819,607
Unrealized Income	<u>23,305</u>
Balance Available	\$ 842,912

University of Kentucky
 Schedule of Unexpended Plant Funds
 For the Four Months Ended October 31, 1971

Schedule 8

	Board		Estimated Project Cost	Allotment	Expenditures and Encumbrances		Balance of Allotment
	Approved	Estimated			Encumbrances	Allotment	
SUMMARY OF SUBSCHEDULES							
A. Consolidated Education Bonds C & D	\$ 52,567,047	\$ 51,945,056	\$ 47,973,710	\$ 40,031,686	\$ 7,942,024		
B. Main Campus-Planning	21,300,000	19,885,500	148,125	146,833	1,292		
C. Non-Recurring Maintenance	1,150,000	1,117,737	1,117,737	803,216	314,521		
D. State Capital Construction Funds	1,073,500	1,449,500	1,449,500	1,144,676	304,824		
E. Major Improvements	1,300,000	1,902,766	1,902,766	1,738,192	164,574		
F. Main Campus-Others	33,910,452	34,019,846	34,771,824	33,302,444	1,469,380		
G. General Obligation Bonds	196,507	839,362	831,160	190,005	641,155		
H. Consolidated Education Bonds A & B	23,327,278	25,708,460	24,362,542	21,699,114	2,663,428		
I. Community Colleges- Other	14,500	14,500	14,500	10,460	4,040		
J. Renovation Funds	1,750,000	1,903,276	1,879,971	979,551	900,420		
GRAND TOTAL OF SCHEDULES	\$137,084,784	\$138,786,003	\$114,451,835	\$100,046,177	\$ 14,405,658		

GRAND SUMMARY OF AVAILABLE PLANT FUNDS

Capital Construction Funds		
Main Campus-		
Series C & D Bonds (Subschedule A)	\$ 435,483	
Non-Recurring Maintenance (Subschedule C)	3,026	
State Capital Construction (Subschedule D)	139,389	
Major Improvements (Subschedule E)	141,228	
Main Campus Others (Subschedule F)	749,978	
GO Bonds (Subschedule G)	649,357	
Insurance Proceeds (not on Schedule 8)	173,867	
Special Fund Balances (not on Schedule 8)	631,730	
Subtotal Main Campus	\$ 2,924,058	
Community Colleges		867,958
Series A & B (Subschedule H)		
Total Available Capital Construction Funds	\$ 3,792,016	
Renovation Funds		
Minor Renovation (Subschedule J)		842,912
Total Available Renovation Funds		842,912
Total Available Plant Funds		\$ 4,634,928

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Four Months Ended October 31, 1971

Schedule 9

	Estimated	Realized	% Realized
Income:			
Unrestricted funds debt:			
Charged to unrestricted current funds	\$ 5,911,875	\$ 421,986	7.1
Subtotal	5,911,875	421,986	
Housing and dining system debt:			
Charges to housing and dining system current funds	1,557,649		
Investment income from sinking funds	106,360		
Subtotal	1,664,009		
Auxiliary enterprises debt:			
Charges to auxiliary enterprises current funds	182,000	24,940	13.7
	\$ 7,757,884	\$ 446,926	5.8
	Appropriated	Expended	% Expended
Debt Service:			
Unrestricted funds debt:			
Consolidated Educational Buildings--Series A	\$ 504,461		
Consolidated Educational Buildings--Series B	463,607		
Consolidated Educational Buildings--Series C	291,579		
Consolidated Educational Buildings--Series D	3,339,690	421,986	12.6
Community Colleges Educational Buildings--Series A	1,063,143		
Community Colleges Educational Buildings--Series B	249,395		
Subtotal	5,911,875	421,986	7.1
Housing and Dining System Revenue Bonds--Series A-I	1,664,009		

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Four Months Ended October 31, 1971

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary enterprises debt:			
Chi Omega	\$ 3,212	\$ 2,875	89.5
Alpha Delta Pi	15,059		
Dorms A-F	39,300		
Dorms G-L	50,772		
Sigma Phi Epsilon	8,190		
Delta Delta Delta	6,055		
Alpha Tau Omega	8,310		
Alpha Gamma Rho and Sigma Chi	16,088	7,058	43.9
Student Housing Bonds of 1964	35,014	15,007	42.9
Subtotal	<u>182,000</u>	<u>24,940</u>	<u>13.7</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,757,884</u>	<u>\$ 446,926</u>	<u>5.8</u>

University of Kentucky
 Schedule of Sinking Funds
 October 31, 1971

Schedule 10

University of Kentucky Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,704,600
University of Kentucky Housing and Dining System Repair and Maintenance Reserve	797,418
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Interest Fund	1,084,215
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series A	772,990
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series B	607,980
Consolidated Educational Buildings Project Bond and Interest Sinking Fund-Series C	122,647
Consolidated Educational Buildings Revenue Bonds-Series D-Special Note Sinking Fund	1,910,869
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series A	87,347
Community Colleges Educational Buildings Project Bond and Interest Sinking Fund-Series B	59,048
Community Colleges Educational Buildings-Special Note Sinking Fund	235,774
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1960	43,821
University of Kentucky Student Housing Building Maintenance and Equipment Reserve Account of 1960	24,124
University of Kentucky Student Housing Bond and Interest Sinking Fund Account of 1964	99,743
Community Colleges Educational Buildings-Series A- Bond and Interest Fund-Escrow Account	88,030
Community Colleges Educational Buildings-Series B- Bond and Interest Fund-Escrow Account	<u>107,752</u>

\$ 7,746,358

TOTAL SINKING FUNDS

University of Kentucky
 Summary of Other Funds Transactions
 For the Four Months Ended October 31, 1971
 Schedule 11

	<u>Balances</u> <u>July 1, 1971</u>	<u>Receipts</u>	<u>Expenditures</u>	<u>Balances</u> <u>October 31, 1971</u>
<u>Loan Funds:</u>				
Federal sponsored loan funds:				
Federal government	\$ 4,877,709	\$ (32,255)	\$	4,845,454
University of Kentucky	458,246	(3,348)		454,898
Accumulated interest income	198,782	15,935		214,717
University loan funds:				
University student loan funds	50,513	3,140		53,653
University special student loan fund	70,436	371		70,807
United Student Aid loan fund	2,000			2,000
	<u>\$ 5,657,686</u>	<u>(16,157)</u>		<u>\$ 5,641,529</u>
TOTAL LOAN FUNDS				
<u>Endowment Funds:</u>				
	\$ 941,010	71,383		\$ 1,012,393
TOTAL ENDOWMENT FUNDS				
<u>Agency Funds:</u>				
	\$ 1,240,035	650,419	\$ 1,648,013	\$ 242,441
TOTAL AGENCY FUNDS				

Finance Committee Report
December 14, 1971

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF AUDITS FOR THE UNIVERSITY OF
KENTUCKY RESEARCH FOUNDATION, UNIVERSITY OF
KENTUCKY ATHLETIC ASSOCIATION, AND THE FUND
FOR ADVANCEMENT OF EDUCATION AND RESEARCH
IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER.

Recommendation: that the Board of Trustees accept the financial statements of the University of Kentucky Research Foundation, University of Kentucky Athletic Association, and The Fund for Advancement of Education and Research in the University of Kentucky Medical Center for the fiscal year ended June 30, 1971.

Background: The Finance Committee of the University of Kentucky has reviewed the audit reports of the affiliated corporations of the University as submitted by the firm, Peat, Marwick, Mitchell & Co., and presents them to the Board for its acceptance. These reports will be submitted to the Boards of the above affiliated corporations at their next meeting.

Note: The financial statements are not included as a part of the Minutes but are in the official files.

Action: Approved X Disapproved _____ Other _____

Date: December 14 , 1971

FCR 3

Members, Board of Trustees:

AUTHORIZATION TO ACQUIRE PROPERTY

Recommendation: that the President, by and through the appropriate administrative officials of the University, be authorized and directed to acquire, at fair market value, for the purpose of expanding the plant and extending the usefulness of the University, the real property currently owned by The Borden Company, Inc. and located at 816-820 South Limestone Street, Lexington, Kentucky with all improvements located thereon. The said acquisition may be made through purchase or through exercise of the power of eminent domain.

Background: The properties to be acquired will be needed for the expansion of the medical center - agricultural sciences complex in accordance with the general development plan of the campus. Efforts to acquire the property by voluntary sale have not been successful. The purpose of this action is to authorize the institution of condemnation proceedings.

Action: Approved X Disapproved Other

Date: December 14 , 1971

FCR 4

Members, Board of Trustees:

AUTHORIZATION FOR SALE OF PROPERTY

Recommendation: (1) that the Board find, in accordance with the provisions of KRS 164.270 and KRS 45.360 (7), that the property of the University located in Muhlenberg County, Kentucky and more particularly described in the deeds recorded in Deed Book 85, Page 363, Deed Book 90, Page 218, and Deed Book 90, Page 219 in the Muhlenberg County Court Clerk's Office, is no longer necessary for the purpose of the University; (2) that the Board authorize and direct the President and other appropriate officials of the University to take the necessary actions and execute the necessary documents to dispose of the said property in accordance with the restrictions contained in the deeds conveying the property to the University and the laws of the Commonwealth of Kentucky.

Background: This property, totaling approximately twenty one and one-half acres, has been used for field work by the Agricultural Experiment Station since 1912. Tightening budgetary conditions associated with high costs of labor and equipment have necessitated consolidation of the intensive studies into a centralized location at the Western Kentucky Station in Princeton and the conduct of diversified field trials in cooperation with private land owners. Accordingly, research at this location has been phased out.

Action: Approved X Disapproved _____ Other _____

Date: December 14 , 1971

FCR 5

Members, Board of Trustees:

I. Adjustment of Room and Board Rates--Undergraduate Residence Halls--
Regular Semester

Recommendation: That the semester room and board rates be adjusted to \$537.00 for the three meal plan, to \$473.00 for the two meal plan of breakfast and dinner, and to \$501.00 for the two meal plan of breakfast or lunch and dinner effective the Fall Semester 1972.

The aforementioned rates are established subject to the condition that they are determined not to be in violation of the Phase II price guidelines as established by the Price Commission.

Background: The present room and board rate (\$515.00 for the three meal plan, \$458.00 for the breakfast and dinner plan, and \$488.00 for the breakfast or lunch and dinner plan) is insufficient to meet the higher operating costs and financial demands of the Housing and Dining System. The proposed adjustment results primarily from increased labor and food costs. These rates cover debt service, all operating costs, and funds for replacement of furniture and equipment.

The proviso concerning Phase II of the wage-price freeze was added at the suggestion of legal counsel, who advises that a Price Commission ruling should be obtained as to any increase in rates regardless of the amount thereof.

II. Adjustment of Room Rates--Undergraduate Residence Halls--Summer School

Recommendation: That the Summer School room rates be adjusted to \$135.00 for double occupancy and \$170.00 for single occupancy effective the 1972 summer session.

The aforementioned rates are established subject to the condition that they are determined not to be in violation of the Phase II price guidelines as established by the Price Commission.

Background: The present room rate is \$130.00 for double occupancy and \$165.00 for single occupancy. The summer rate is based upon the same weekly rate as the regular semester, with the single rate being 125% of the double occupancy rate. The calculation is based upon a 16 week semester and an eight week summer session.

The proviso concerning Phase II of the wage-price freeze was added at the suggestion of legal counsel, who advises that a Price Commission ruling should be obtained as to any increase in rates regardless of the amount thereof.

III. Adjustment of Room Rates--Professional Building

Recommendation: That the semester single room rates for students residing in the professional building be adjusted to \$537.00 for the Fall Semester, 1972, and that the double occupancy room rates be adjusted to \$268.50.

The aforementioned rates are established subject to the condition that they are determined not to be in violation of the Phase II price guidelines as established by the Price Commission.

Background: The present room rate which is \$514.00 for single occupancy and \$257.00 for double occupancy has not been changed for the past two years. The new rate is the same as the new rate that will be charged for undergraduate room only.

Page 3

The proviso concerning Phase II of the wage-price freeze was added at the suggestion of legal counsel, who advises that a Price Commission ruling should be obtained as to any increase in rates regardless of the amount thereof.

Action: Approved X Disapproved Other

Date: December 14 , 1971



UNIVERSITY OF KENTUCKY
STUDENT GOVERNMENT
Student Center
LEXINGTON, KENTUCKY 40506

SCOTT WENDELSDORF
PRESIDENT

REBECCA WESTERFIELD
VICE PRESIDENT

December 14, 1971

Members, Board of Trustees:

AMENDMENT TO CODE OF STUDENT
CONDUCT SECTION 3.316

Proposal: that section 3.316 of the Code of Student Conduct be amended. That section now reads:

The accused shall enjoy the right to have the assistance of an advisor of his choice and shall be informed of his right in all processes of the University Judicial System.

The motion is to amend this section so that after amendment it would read:

All students shall enjoy the right to have the assistance of an advisor of their choice in all processes of the University Judicial System and during any counseling, interrogation, or investigation by University personnel concerning or arising out of suspected violations of the Code of Student Conduct or federal, state, or local law. The student shall be informed of this right.

Background: Because of the ambiguities in the present wording of section 3.316, students are being denied their right to counsel during counseling, interrogations, and investigations concerning or arising out of suspected violations of the Code of Student Conduct. These are situations where students are asked to incriminate themselves, or in which they may incriminate themselves, and where the need for advice concerning the student's rights and obligations under the Code is just as acute as where the student has been formally charged. This amendment has been drafted to clarify section 3.316 and to more nearly reflect the Board's intention that any student involved in a suspected violation of the student code has the right to an advisor of his or her choice.

Action: Approved _____ Disapproved _____ Other Withdrawn

Date: December 14, 1971.