

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Friday, December 9, 2014

The Board of Trustees of the University of Kentucky met at 1:00 p.m. on Friday, December 9, 2014 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Dr. O. Keith Gannon, chair of the Board of Trustees, called the meeting to order at 1:03 p.m. Chair Gannon asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: C.B. Akins, Sr., James H. Booth, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark P. Bryant, Angela L. Edwards, William S. Farish, Jr., Oliver Keith Gannon, Carol Martin (Bill) Gatton, Cammie Grant, Robert Grossman, David V. Hawpe, Kelly Sullivan Holland, Jake Ingram, Terry Mobley, James W. Stuckert, John Wilson, Robert Vance and Barbara Young. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Provost Christine Riordan, Executive Vice President for Health Affairs Michael Karpf, Executive Vice President for Finance and Administration Eric Monday, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Andrew Hippisley, and the University staff was represented by Chair of the Staff Senate Michael Adams.

Guests and members of the news media were also in attendance.

C. Consent Items

Chair Gannon called attention to the six consent items on the agenda. The items were the minutes for the Board of Trustees Retreat on October 17-18, 2014 and the Board of Trustees meeting on October 18, 2014; PR 2, Personnel Actions; FCR 1, Carl F. Pollard and C. F. Pollard Foundation, Inc. Gift and Charitable Grant; FCR 2, The Bill Gatton Foundation Pledge; and FCR 3, William E. Seale Family Foundation Pledge.

Trustee Stuckert moved approval of the consent items. Trustee Mobley seconded the motion. Chair Gannon called for the vote and it passed with 19 affirmative votes and one abstention from Trustee Bill Gatton. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, Board of Trustees Retreat, October 17-18, 2014

Minutes, Board of Trustees Meeting, October 18, 2014

PR 2 Personnel Actions

FCR 1 Carl F. Pollard and C.F. Pollard Foundation, Inc. Gift and Charitable Grant

FCR 2 The Bill Gatton Foundation Pledge

FCR 3 William E. Seale Family Foundation Pledge

D. Chair's Report

Chair Gannon reported that there had been no petitions to address the Board since the last Board of Trustees meeting.

E. Alternative Meeting Site (CR 1)

Chair Gannon stated that CR 1 was the recommendation that the Board approve Hazard, KY as an alternate meeting site for the February 18-19, 2015 Board of Trustees meeting and associated committee meetings.

Chair Gannon continued that during its October 2014 Retreat, the Board of Trustees discussed the possibility of alternate sites for future Board of Trustee meetings. Members of the Board are interested in seeing the connections and programs the University has across the state. KRS 164.170 states that all meetings shall be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees.

Trustee Gatton moved approval of CR 1 and it was seconded by Trustee Bryant. Trustee Stuckert asked for confirmation of the dates listed. Trustee Grossman moved that CR 1 be amended with the correct dates of February 19 & 20, 2015. Trustee Stuckert seconded the motion to amend and it passed without dissent.

Chair Gannon asked for the vote for the amended CR 1 and it passed without dissent.

F. Executive Committee Report

Chair Gannon stated that the Executive Committee heard a report from Trustee Brockman, Chair of the Presidential Compensation Review Committee. The Committee was appointed at the October 18, 2014 meeting with a request to benchmark University of Kentucky presidential compensation against that of chief executives at institutions of similar size, scope and complexity. The Committee was asked to report their findings along with a list of "points to consider" at the December Board meeting.

Chair Gannon continued that the Board historically has requested formal assessments of chief executive compensation every four to six years. The last formal presidential compensation review was conducted in 2009. These regular assessments are designed to guarantee that the Board is fulfilling its foremost responsibility of ensuring that the University of Kentucky remains competitive in its ability to retain and attract a chief executive that has the talent, vision and skill to lead UK to its highest potential. The timing was also critical as the Executive Committee is about to begin the comprehensive presidential evaluation process.

With assistance from the President's Office, the Office of Legal Counsel and Human Resources, compensation data of institutions in five distinct peer groups were collected and reviewed. These peer groups were: UK benchmark institutions; Southeastern Conference universities; peer eight institutions (institutions containing a full range of academic, health and professional programs on one campus); 40 state flagship universities; and Kentucky's state institutions. The committee met in early November to assess and review the data. On behalf of the committee, Trustee Brockman reported three observations.

First, a large percentage of the data sets were incomplete. The committee concluded that under-reporting made direct comparisons impossible and will lead to artificial inflation of UK's relative position. Second, the unavailability of complete data sets makes accurate conclusions and quantitative comparisons difficult to achieve. Third, a professional consultant with expertise in this area would be very valuable toward achieving quantitative comparisons and assessing competitive market factors.

Chair Gannon thanked the Committee, the President's Office, the Office of Legal Counsel and the Human Resources Department. He stated that the information and guidance lays an excellent foundation for the work that the Executive Committee will undertake later this year.

G. President's Report (PR 1)

President Capilouto began by mentioning that since the October retreat, progress has been made on the strategic priorities set by the Board of Trustees and earnest conversations have been held across campus about how UK can expand and enhance its research enterprise.

President Capilouto shared that at the Fifth Annual Kentucky Entrepreneurs Hall of Fame induction ceremony in November in Louisville, three individuals who were inducted into the Hall of Fame have strong UK ties. They were Trustee Jim Booth, CEO of Booth Energy Group; Chris Sullivan, UK alumnus and member of the Gatton College Alumni Hall of Fame, co-founder and former CEO of the Outback Steakhouse restaurant company; and John Williams, Sr., also a UK alumnus and member of the Gatton College Alumni Hall of Fame. Mr. Williams is the founder and chairman of Computer Services, Inc., which provides customers with integrated and streamlined technology solutions. A fourth individual, Nate Morris, co-founder of Morris Industries in Lexington, was honored as an emerging entrepreneur. Mr. Morris is also the co-founder of Rubicon Global, North America's leading provider of sustainable waste and recycling services. He was recently named to Fortune Magazine's 40 under 40, and is a member of the Dean's Advisory Council at the Gatton College.

President Capilouto recognized and thanked Bill Schweri, former UK Federal Relations Director, for his over 42 years of outstanding and loyal service. As the office serves as a liaison between the University's administration, colleges and faculty and the executive and legislative branches of the federal government, President Capilouto praised Mr. Schweri's mastery at building and maintaining relationships with politicians, academics, students and business leaders. Mr. Schweri received a round of applause.

President Capilouto introduced and welcomed Eric King, UK's new Federal Relations Director. Mr. King most recently served as Director of Government and Community Affairs at the Kentucky Association of Electric Cooperatives and Utility Supply. He also served as Legislative Assistant on then Senate Minority and Republican Leader Mitch McConnell's senior staff.

President Capilouto recognized and thanked Ben Crutcher, past Associate Vice President of Auxiliary Services for his over 38 years of outstanding and loyal service. For many years he led CBMI, the College Business Management Institute, one of the longest-running leadership programs for university/college business unit staff around the country. He was also instrumental during campus move-ins, interacting with staff volunteers, parents and new students. Mr. Crutcher received a round of applause.

President Capilouto introduced Sarah Nikirk. Among many other duties, Ms. Nikirk also plays an integral role orchestrating move-in and welcoming students, and will serve as Interim Associate Vice President of Auxiliary Services.

President Capilouto recognized and congratulated Provost Christine Riordan as she has accepted the offer to become President of Adelphi University. Adelphi University is one of New York state's oldest private, co-educational institutions. As a nationally ranked, doctoral research institution with 8,000 students, Adelphi has an ambitious vision to be the region's leading private university.

President Capilouto thanked Provost Riordan for her leadership over the past 18 months, playing a pivotal role in doubling the number of students participating in the Live and Learn Programs, recruiting some outstanding new deans and her work with Associate Provost for Enrollment Management Don Witt and UK's enrollment management team in recruiting the largest, most academically accomplished and diverse first-year class in UK's history.

President Capilouto congratulated and introduced Army ROTC cadet Dahlia d'Arge from Paris, Kentucky on her selection as a 2015 Marshall Scholar. The prestigious financial award provides for two years of graduate study in the United Kingdom.

Ms. d'Arge thanked the Board of Trustees and President Capilouto for the University's support. She thanked the Army ROTC program, Department of History and Dr. Pat Whitlow (Office of External Scholarships) for their assistance and encouragement.

President Capilouto congratulated and introduced Grace Trimble on becoming one of two finalists as a Rhodes Scholar. Ms. Trimble, a recently awarded Truman Scholar, majoring in political science and a member of the tennis team, thanked the Board of Trustees, President Capilouto, and the Office of External Scholarships for their support.

President Capilouto congratulated Luke Glaser, the second Rhodes Scholar finalist. Mr. Glaser, a teacher in Hazard, Kentucky with the Teach for America program, was unable to attend the meeting. President Capilouto read a few excerpts from a letter Mr. Glaser wrote to the Board. In particular Mr. Glaser wrote:

“When the other Rhodes competitors learned that UK had not one, but two Rhodes finalists, it raised some eyebrows. They were discovering what we have known for a long time—this university is a place of brilliance, a place of omnipresent potential; and it is a destination for those who wish to make their mark on the world.”

H. Academic and Student Affairs Report

Trustee Angela Edwards, chair of the Academic and Student Affairs Committee, stated that the committee met that morning.

Trustee Edwards reported that President Jake Ingram provided reports to the Committee on two programs, Cats Cab and the Live Safe Program. Vice President for Student Affairs Robert Mock provided an update on the Health and Safety Task Force and the Implementation Committee, including the recent focus on Title IX issues, most notably sexual harassment and sexual assault.

I. Candidates for Degrees: December 2014 (ASACR 1)

Trustee Edwards stated that ASACR 1 was the recommendation that the President be authorized to confer upon each individual, the degree to which he or she is entitled; upon certification by the University Registrar that the individual(s) have satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 1 and it passed without dissent. (See ASACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

J. Candidate for Degree: May 2014 (ASACR 2)

Trustee Edwards stated that ASACR 2 was the recommendation that the President be authorized to rescind the double major degree and confer dual degrees upon the identified individual upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degrees for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

The student graduated in May 2014 with a single degree with a double major. Prior to graduation, the advisor did not point out the difference between a double major and dual degrees. The student thought he was on the path for dual degrees, based on the number of credits he had completed, as well as an assumption that a “double major” meant “dual degrees.”

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 2 and it passed without dissent. (See ASACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Candidate for Degree: August 2014 (ASACR 3)

Trustee Edwards stated that ASACR 3 was the recommendation that the President be authorized to confer upon the individual whose name appears, the degree to which he is entitled upon certification by the University Registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees. Due to an administrative error, the name was not previously submitted.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 3 and it passed without dissent. (See ASACR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. Academic Degree Recommendation: College of Arts and Sciences (Bachelor of Arts/Bachelor of Science in Modern and Classical Languages, Literatures and Cultures) (ASACR 4)

Trustee Edwards stated that ASACR 4 was the recommendation that the Board of Trustees approve the establishment of a Bachelor of Arts/Bachelor of Science with a major in Modern and Classical Languages, Literatures and Cultures degree in the Department of Modern and Classical Languages, Literatures and Cultures, in the College of Arts and Sciences, effective January 1, 2015.

The proposed major is designed to advance undergraduate student proficiency in and understanding of the linguistic structure of world languages and to introduce students to critical and theoretical approaches to an array of cultural products (e.g., art, film, folklore, literatures, music, mythology, pop culture, religion, and theatre). The major is designed to demonstrate the breadth and depth of the discipline and the connections among peoples, regardless of the language they speak or their national citizenship. The program has the approval of the appropriate councils and the University Senate and has completed the 45 day review process of the Council on Postsecondary Education. The Provost of the University supports this recommendation

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 4 and it passed without dissent. (See ASACR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

M. Change in Name and Organizational Structure of the Graduate Center for Toxicology (ASACR 5)

Trustee Edwards stated that ASACR 5 was the recommendation that the Board of Trustees approve the change in the name and organizational structure of the Graduate Center for Toxicology to the Department of Toxicology and Cancer Biology, in the College of Medicine, effective January 1, 2015.

The proposal to broaden the current Graduate Center for Toxicology into a new Department of Toxicology and Cancer Biology is based on the desire and need to take advantage of the unique education and research strengths of the faculty in the Center. A key academic goal is to provide a single department with a strong research environment for homing new faculty recruitments with research foci in cancer and environmental disease. A second key goal is the development of an integrated and strong educational program in toxicology and cancer biology that can serve as a platform for education of undergraduate, professional and graduate students, together with training of postdoctoral fellows/scholars and clinicians.

On behalf of the Academic and Student Affairs Committee, Trustee Edwards asked for approval of ASACR 5 and it passed without dissent. (See ASACR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

N. University Athletics Committee

Trustee C.B. Akins, Sr., chair of the University Athletics Committee, reported the University Athletics Committee met that morning.

Deputy Athletics Director DeWayne Peevy gave a report of fall and winter sports. Items of note included that though the football program finished one win short of bowl eligibility, the program made significant strides in Coach Stoop's second year. Looking ahead, the program is sustaining momentum on the recruiting trail, currently ranked No. 25 in the Rivals.com class of 2015 rankings after last year's record finish of No. 17. UK football has been awarded a Thursday night game for next season. UK will host Auburn on October 15, 2015 and a working group has already begun meeting to plan for the event and capitalize on the national spotlight offered by playing the game.

The women's soccer team reached the Sweet 16 for the first time in school history, finishing 2014 with a record of 16-7-1 under head coach Jon Lipsitz. Senior Arin Gilliland, the most decorated player in program history, capped her career by receiving the SEC Defensive Player of the Year award and being named an All-American for the third straight season.

Trustee Akins continued that the volleyball team reached the NCAA Tournament for the 10th season in a row, a school record, before falling to Ohio State in the second round. Men's soccer saw its season end in the first round of the NCAA Tournament, but not before one of its most successful seasons ever. Coach Johan Cedergren was named Conference USA Coach of the Year. Junior goalkeeper Callum Irving was named C-USA Player of the Year and Defensive Player of the Year, in addition to winning the Golden Glove Award. The cross country team concluded the fall at the NCAA Southeast Regionals. The men finished ninth and the women No. 12. Men's and women's golf concluded successful fall seasons.

In winter sports, the men's basketball team is unbeaten and ranked No. 1 in the country. Women's basketball is now No. 8 in the Associated Press poll after solid wins over top ranked teams, including a comeback win over Louisville. The rifle team, 7-1 and ranked in a tie for fourth, will return to action in January. The men's and women's swimming and diving teams have competed in six meets so far this season.

Off the field, baseball alumnus and Lexington businessman Jim Host was honored by the National Football Foundation as the recipient of its award for Outstanding Contribution to Amateur Football. The football team earned an Honorable Mention American Football Coaches Association (AFCA) Academic Achievement Award based on the program's graduation rate. Football senior Max Godby was one of 11 Football Bowl Subdivision (FBS) players named to the Allstate AFCA Good Works Team. Football junior Landon Foster was named Academic All-America.

Trustee Akins continued that Junior Bria Goss has been nominated for the Women's Basketball Coaches Association Allstate Good Works Team in recognition of her commitment to community service. Women's soccer senior Stuart Pope was named an Academic All-American for the second consecutive season. Women's basketball has been selected to host three NCAA Tournament Regionals in Rupp Arena starting in 2016. John Cropp Stadium, home of the University of Kentucky softball team, was named collegiate softball's Field of the Year by the Sports Turf Management Association. Kentucky's sports turf director Marcus Dean was named the Winfrey P. Bunton II Man of the Year by the Kentucky Turfgrass Council. The award was established to recognize an individual in the turf industry who has made significant contributions to the profession.

Trustee Akins reported that Sandy Bell updated the committee on the UK student-athletes' most recent federal graduation rates and graduation success rates. The NCAA Graduation Success Rate (GSR), a four-year composite for the freshman classes of 2004-05 through 2007-08, was 81 percent. That was up two points from last year and continued UK's trend of having broken or tied the mark every year. This figure means that 81 percent of the student-athletes who entered UK as members of these freshman classes either graduated or transferred from the University in good academic standing. She also informed the committee of ways in which the Department educates, communicates and monitors its student-athletes and other groups to facilitate rules compliance in the academic area.

In business items, the Committee considered and accepted as presented the 2013-14 Audited Financial Statements and the Independent Auditors' Report on Financial Statements and Supplementary Information for the Department of Intercollegiate Athletics. Treasurer Susan Krauss reviewed the management's discussion and analysis of the statements of net position. This included assets, liabilities and operating revenues and expenses.

Trustee Akins concluded that Ms. Krauss also reviewed the interim financial statements of the Department for the three months ended September 30, 2014. The information included the statement of net position, the statement on revenue and expenses, and the change in net position. The Committee accepted the quarterly financial statements as presented.

O. Finance Committee Report

Trustee James Stuckert, chair of the Finance Committee, reviewed for the Board the consent items, FCR 1 through FCR 3, which were vetted in detail earlier at the Finance Committee Meeting. He thanked C. F. Pollard, Bill Gatton and William Seale for their generosity to the University.

P. Establishment of the Arboretum Operating Quasi-Endowment (FCR 4)

Trustee Stuckert stated that FCR 4 was the recommendation that the Board of Trustees approve the establishment of The Arboretum Operating Quasi-Endowment to provide support for the University of Kentucky-Lexington-Fayette County Arboretum.

The Arboretum Operating Quasi-Endowment will provide perpetual support for general operating expenses, upkeep and maintenance, equipment purchases, salaries and supplies.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 4 and it passed without dissent. (See FCR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Q. Establishment of the Food Connection at the University of Kentucky Quasi-Endowment Fund (FCR 5)

Trustee Stuckert stated that FCR 5 requested that the Board of Trustees approve the establishment of The Food Connection at the University of Kentucky Quasi-Endowment Fund in the Office of the Executive Vice President for Finance and Administration with an initial transfer of \$1,000,000.

The quasi-endowment will be funded through monies received from the University of Kentucky's dining partner, Aramark Holdings Corporation ("Aramark"). Spending distributions from the endowed fund shall support the newly established Food Connection at the University of Kentucky Program ("Program"), created as a public-private partnership between the University and Aramark to focus on local food production and promotion. The endowed fund will provide support for student internships, fellowships and travel related to the Program and other support deemed necessary and desirable to aid in student success related to the Program.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 5 and it passed without dissent. (See FCR 5 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

R. Establishment of the University of Kentucky Corporate Partner Scholarship Quasi-Endowment Fund (FCR 6)

Trustee Stuckert stated that FCR 6 was the recommendation that the Board of Trustees approve the establishment of the University of Kentucky Corporate Partner Scholarship Quasi-Endowment Fund in the Office of the Executive Vice President for Finance and Administration and to be administered by the Office of Academic Scholarships with an initial transfer of \$1,000,000.

The quasi-endowment will be funded initially with monies received by the University of Kentucky's dining services partner, Aramark Holdings Corporation. The annual spending distributions from the endowed fund will support one or more scholarships annually, to be determined by a scholarship committee appointed by the Executive Vice President for Finance

and Administration and Provost, to students who have demonstrated potential for academic success.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 6 and it passed without dissent. (See FCR 6 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

S. Establishment of the Undergraduate Research Office (UGR) / National Conference on Undergraduate Research (NCR) Quasi-Endowment Fund. (FCR 7)

Trustee Stuckert stated that FCR 7 was the recommendation that the Board of Trustees approve the establishment of the Undergraduate Research Office (UGR) / National Conference on Undergraduate Research (NCR) Quasi-Endowment Fund in the Undergraduate Research Office with an initial transfer of \$200,000.

The quasi-endowment will be funded initially with monies received from hosting the National Conference on Undergraduate Research (NCR) at the University of Kentucky on April 3 – 5, 2014. The annual spending distributions from the endowment will be used at the discretion of the Director of the Office of Undergraduate Research, subject to the approval of the Associate Provost for Undergraduate Education, to support research practices by University of Kentucky undergraduate students.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 7 and it passed without dissent. (See FCR 7 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

T. Acceptance of 2013-14 Audited Financial Statements and the Independent Auditor's Report on Financial Statements and Supplementary Information (FCR 8)

Trustee Stuckert stated that FCR 8 was the recommendation that the Board of Trustees accept the University of Kentucky Audited Financial Statements for the Fiscal Year ended June 30, 2014, and the Independent Auditor's Report on Financial Statements and Supplementary Information.

Trustee Stuckert stated that both items were also discussed in depth at the Audit and Compliance Subcommittee meeting, with a presentation by BKD LLP, Certified Public Accountants.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 8 and it passed without dissent. (See FCR 8 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

U. Acceptance of Interim Financial Report for the Three Months Ended September 30, 2014 (FCR 9)

Trustee Stuckert stated that FCR 9 was the recommendation that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2014.

Trustee Stuckert offered that this financial report was vetted thoroughly in the morning Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 9 and it passed without dissent. (See FCR 9 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

V. Repair/Upgrade/Improve Building Shell Systems Capital Project (2015 Parking Garage Maintenance and Restoration) (FCR 10)

Trustee Stuckert stated that FCR 13 recommended that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Shell Systems Capital Project (2015 Parking Garage Maintenance and Restoration). This project will cover the recommended maintenance and repairs for the University's eight parking structures during 2015.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 10 and it passed without dissent. (See FCR 10 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

W. Remote Site Fiber Infrastructure Capital Project (FCR 11)

Trustee Stuckert stated that FCR 11 was the recommendation that the Board of Trustees approve the initiation of the Remote Site Fiber Infrastructure Capital Project.

Trustee Stuckert explained that the Kentucky Regional Optical Network (KyRON) is a collaborative effort of the Council on Postsecondary Education (CPE), the University of Kentucky and the University of Louisville (UofL). The primary purpose of KyRON is to promote, develop and provide advanced fiber-optic network infrastructure and services to all public universities in Kentucky. The connected universities, starting with UK and UofL, will be able to use the networks for "Big Data" research collaborations with other leading research universities and federal agencies in the United States. The networks also will facilitate the flow of medical "Big Data" supporting UK's healthcare mission. In close collaboration with the CPE, the networks are being designed so that all Kentucky universities will be able to take advantage of the high-speed circuits.

This project was authorized by the 2014 Session of the Kentucky General Assembly. The scope of this project is \$1,600,000 and will be funded with agency funds.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 11 and it passed without dissent. (See FCR 11 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

X. Renovate/Expand Student Center Capital Project (FCR 12)

Trustee Stuckert stated that FCR 12 was the recommendation that the Board of Trustees approve the initiation of the Renovate/Expand Student Center Capital Project and declare official intent to reimburse the capital expenditures from a future debt obligation.

Trustee Stuckert explained that the U.S. Department of Treasury regulations related to the use of proceeds of tax-exempt bonds or notes require that the borrower make a Declaration of Official Intent to reimburse itself for capital expenditures made prior to the issuance of the debt if the issuer intends to reimburse itself from the tax-exempt debt proceeds.

Trustee Stuckert moved adoption of FCR 12 and it passed without dissent. (See FCR 12 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Y. Approval of the 2013-14 Endowment Match Program Annual Report (FCR 13)

Trustee Stuckert stated that FCR 13 was the recommendation that the Board of Trustees accept the following 2013-14 Endowment Match Program Annual Report.

Trustee Stuckert explained that the Endowment Match Program, also known as ‘Bucks for Brains’, is one of several programs created as part of the Research Challenge Trust Fund. Pursuant to the Council on Postsecondary Education’s Endowment Match Program Request and Reporting Procedures, the Board of Trustees must review and approve an annual report on UK’s participation in the program.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 13 and it passed without dissent. (See FCR 13 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Z. Capital Construction Report (FCR 14)

Trustee Stuckert stated that FCR 14 was the recommendation that the Board of Trustees accept the capital construction report for the three months ending September 30, 2014. This report refers only to projects that had activity within this quarter. Mary Vosevich, Vice President for Facilities Management provided details at Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved adoption of FCR 14 and it passed without dissent. (See FCR 14 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

AA. Patent Assignment Report (FCR 15)

Trustee Stuckert stated that FCR 15 was the recommendation that the Board of Trustees accept the patent assignment report for the period July 1 – September 30, 2014. Interim Vice President for Research Lisa Cassis reviewed the report at the Finance Committee meeting.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 15 and it passed without dissent. (See FCR 15 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

BB. Investment Committee Report

Trustee Carol Martin “Bill” Gatton, chair of the Investment Committee, reported that the committee met on Thursday, December 9, 2014 to review performance results and conduct other business.

The Endowment pool had a market value of \$1,200,000,000 as of October 31, 2014. For the four months ended October 31, 2014, the Endowment pool lost -1.65 percent, in line with the policy benchmark return of -1.67 percent. The loss for the period was due to the downturn in the international equity markets.

CC. Appointment of Trustees to the Board of Directors of the University of Kentucky Mining Engineering Foundation, Inc. (NCR 1)

Trustee Bill Farish, chair of the Nominating Committee, stated that NCR 1 was the recommendation that the Board of Trustees approve the appointment of Mr. James H. Booth and Dr. John F. Wilson as Trustee members to the University of Kentucky Mining Engineering Foundation, Inc. Board of Directors for two-year terms ending September 30, 2016.

Trustee Farish stated that the bylaws of the Foundation require the Board of Trustees approve the appointments by the Board of Directors.

On behalf of the Finance Committee, Trustee Farish moved approval of NCR 1 and it passed without dissent. (See NCR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

DD. Appointment of Trustee to the Board of Directors of the University of Kentucky Research Foundation (NCR 2)

Trustee Farish stated that NCR 2 was the recommendation that the Board of Trustees approve the reappointment of Mr. William C. Britton as a Trustee member to the University of Kentucky Research Foundation (UKRF) Board of Directors for a term ending September 30, 2017.

Trustee Farish stated that the bylaws of the Foundation require the Board of Trustees to approve appointments to the Board of Directors.

On behalf of the Finance Committee, Trustee Farish moved approval of NCR 2 and it passed without dissent. (See NCR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

EE. University Health Care Committee Report

Trustee Barbara Young, chair of the University Health Care Committee Report, reported that the committee met on Thursday, September 4, 2014.

Trustee Young stated that Murray Clark, chief financial officer, presented the year-to-date financial and statistical review. The statistical report indicated that inpatient discharges for the year were strong and well above budget, compared to both budget and the prior year and 144 discharges above budget for the month of October. Children's discharges continue to lag budget, but in a positive trend are 85 discharges above the prior year. The Case Mix Index (CMI) has increased and continued attention will be focused on reducing the Length of Stay (LOS). An increase in patient days as compared to budget is driven almost equally by the overall increase in volume and the LOS per case. Outpatient activity for the first four months of the fiscal year continued to be positive and above budget and prior year. Full Time Equivalents (FTEs) per adjusted bed are below budget, but in line with prior year. However FTEs have increased to support overall activity and efforts are ongoing to move the FTE numbers closer to benchmark in all areas.

Due to Medicaid expansions patient/charity cases have continued to drop from 12.3 percent of discharges last year to 2.2 percent of discharges this year. The impact of the changes from patient/charity to Medicaid is a major driver in the increase in net revenue. The income statement shows net revenues for the year have exceeded expectations driven by volume, case mix, outliers and the Medicaid expansion program. Fixed expenses are lower than budget for the year to date. While expenses will vary against budget throughout the year, expenses should stay in line with the budget overall. Total income from operations for the month of October was \$26,200,000 with an operating margin of 22.3 percent and \$92,500,000 for year-to-date. Non-operating revenues (expenses) are at variance to budget by \$12,600,000 caused primarily by the under realization of investment income of \$9,800,000 and a \$5,500,000 one-time charge of interest as a result of the refinancing of a portion of our debt. This one-time charge will be offset by interest savings from the refinancing over the remaining life of the bonds.

On the balance sheet, cash totals \$140,400,000 and includes \$135,200,000 in unrestricted funds which includes \$10,000,000 in Eastern State Hospital advance payments and \$5,200,000 in restricted plant funds. Overall liabilities have decreased by \$17,700,000 since June 30, 2014. The major changes in liabilities include changes in payables, debt amount outstanding and deferred inflows of resources. The financial median analysis indicates total day's cash on hand has increased to 134.85 days and the operating margin and earnings before interest, depreciation and amortization (EBIDA) have exceeded targets. Debt to capitalization has improved slightly since year end and cash-to-debt has improved, but may decline in the future when capital acquisitions are made in facilities and equipment. Overall the financials are very strong. Total assets have increased and total liabilities have declined.

Trustee Young stated that the Strategic Planning Update 2015-2020 was presented by Dr. Karpf and Jim Zembrodt. They reviewed the goals of the current UK HealthCare strategic plan which focused on establishing UK as a top regional academic referral medical center serving Kentucky. Over the last five years UK HealthCare has worked to provide a broad range of

advanced subspecialty care and has collaborated closely with community providers. In this way UK HealthCare has matured into a well-integrated health delivery system and has become a clinical destination for Kentucky and beyond. An integral component of this strategic plan is the support it has given to the research and teaching missions of the University. However, due to the changing healthcare landscape for academic medical centers, the current strategic plan must be refined to work in today's market. In January a financial, clinical and strategic planning retreat will be held to look at where UK HealthCare needs to go in the next five years. Questions include: where to focus; who will get us there; which channels will best expand our reach and how can we accelerate progress through innovation and accessibility; how do we optimize profitability and fund programs; how do we add and capture value; and how do we deliver? The January retreat will focus on presenting a financial planning and scenario analysis which will provide direction regarding the ability to commit to the fit up of additional phases of Chandler Pavilion A and other capital needs. In June, it is expected that the final report will be presented to the Board.

Trustee Young reported that Dr. John Gurley and Dr. Hasan Reda reported on the structural heart and valve program at the Gill Heart Institute. They described the innovative, percutaneous heart procedures taking place at UK, which is now, along with a handful of other advanced cardiac centers, uniquely positioned to handle such cutting edge care.

Trustee Young stated that Dr. Andrew Bernard presented for approval the current list of privileges and credentials for Chandler and Good Samaritan Hospitals. The Committee made a motion to accept the privileges and credentials brought before them. The motion carried and was approved by the committee.

Trustee Young concluded that she had met with Chief Compliance Officer Brett Short and reviewed the current compliance reports, including a regulatory and enforcement update and new issues that have arisen in the last few months. Any issues that have come to the attention of the compliance office have been dealt with in a professional and cooperative manner. It was also reported that the audit of last year is close to being complete.

FF. Other Business

Secretary Brothers stated she thought was always fitting to recognize excellence whenever it occurs, particularly in areas where UK has struggled in the past. In October 2014, the Kentucky Commission on Human Rights inducted 40 individuals into the Kentucky Civil Rights Hall of Fame. In addition to Trustee Akins' wife Rosalyn Akins, UK staff member Chester Grundy was also inducted.

Secretary Brothers continued that while a student at UK in the late 1960's, Mr. Grundy was active in the civil rights movement and helped establish the Black Student Union at UK. Before graduating, he lobbied college leaders for more black history courses, more diversity in staff and administration and a more welcoming atmosphere for all students of color. After graduation he remained at UK and was eventually appointed the Director of the Office of Minority Student Affairs as well as the Director of the Martin Luther King, Jr. Cultural Center on campus. During his tenure he established the Spotlight Jazz Series, bringing jazz legends to UK

and also arranged for renowned black luminaries to speak on campus. Among those were Muhammad Ali, Coretta Scott King, Archbishop Desmond Tutu, Maya Angelou, Spike Lee, Alex Haley, Nikki Giovanni, Ossie Davis and Ruby Dee and the Boys Choir of Harlem. Outside the University, he co-founded the Roots and Heritage Festival in Lexington, as well as the Martin Luther King Jr. celebration in Lexington.

Secretary Brothers also reminded the Board of the Stakes Reception immediately following the conclusion of meeting and encouraged everyone to attend.

President Ingram noted that Rhodes Scholar finalist Luke Glaser was a teacher in Hazard, Kentucky and asked that he be invited to the February 2015 Trustee meeting, scheduled to take place in Hazard, KY.

GG. Meeting Adjourned

Hearing no further business, Chair Gannon asked for a motion to adjourn the meeting. Trustee Mobley moved the meeting adjourn and it was seconded by Trustee Stuckert. The meeting adjourned at 2:30 p.m.

Respectfully submitted,



Sheila Brothers
Secretary, Board of Trustees

(CR 1, PR 1 and 2, ASACR 1, 2, 3, 4, and 5, FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14 and 15, NCR 1 and 2, are official parts of the Minutes of the meeting)

CR 1

Chairman, Board of Trustees
December 9, 2014

Members, Board of Trustees:

ALTERNATE MEETING SITE

Recommendation: that the Board of Trustees approve Hazard, KY as an alternate meeting site for the February 19-20, 2015 Board of Trustees meeting and associated committee meetings.

Background: During its October 2014 Retreat, the Board of Trustees discussed the possibility of alternate sites for future Board of Trustee meetings. KRS 164.170 states that all meetings shall be held on the campus of the university unless otherwise specified by a majority vote of the Board of Trustees.

Action taken: Approved Disapproved Other _____

PR 2

Office of the President
December 9, 2014

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Assistant Vice President for Public Safety

King, Robert, Security Officer, UK Police, after 7 years and 5 months of consecutive service under AR 3.1. Section VIII.B, effective 12/19/14.

Associate Vice President for Auxiliary Services

Williams, James D., Storekeeper, UK Dining, after 41 years and 7 months of consecutive service under AR 3.1 Section VIII.B, effective 9/1/14.

Athletics Director

Childers, Roberta S., Staff Support Associate I, Athletics -Administrative Support, after 19 years and 8 months of consecutive service under AR 3.1 Section VIII.B, effective 7/25/14.

Stivers, Charles T. III, Sports Turf Technician II, Athletics Operations, after 18 years and 3 months of consecutive service under AR 3.1 Section VIII.B, effective 1/2/15.

Executive Vice President for Finance and Administration

Goetz, Cathy A., Staff Assistant, Human Resources, after 20 years and 6 months of consecutive service under AR 3.1 Section VIII.B, effective 11/30/14.

Quire, Rebecca K., Program Assistant, Human Resources, after 25 years and 6 months of consecutive service under AR 3.1 Section VIII.B, effective 10/31/14.

Smith, Sandra J., Patient Clerical Assistant, Human Resources, after 22 years and 11 months of consecutive service under AR 3.1 Section VIII.B, effective 7/31/14.

Spence, Linda L., Extension Staff Assistant, Human Resources, after 26 years and 10 months of consecutive service under AR 3.1 Section VIII.B, effective 10/31/14.

Watts, Marietta G., Supervisor, Human Resources, after 35 years and 2 months of consecutive service under AR 3.1 Section VIII.B, effective 9/30/14.

Vice President for Facilities Management

Estopp, Carolyn A., Custodian Worker II, Physical Plant, after 24 years and 1 month of consecutive service under AR 3.1 Section VIII.B, effective 8/8/14.

Vice President for Research

Rulo, Gwenda K., Graphic Design Technician, KY Geological Survey, after 20 years and 9 months of consecutive service, effective 1/2/15.

Schweri, William F. II, Federal Relations Director, Office of Federal Relations, after 42 years and 2 months of consecutive service under AR 3.1 Section VIII.B, effective 10/31/14.

B. EARLY RETIREMENTS

Associate Vice President for Auxiliary Services

Bruner, David R., Building Operator III, Housing, after 25 years and 7 months of consecutive service under AR 3.1 Section VIII.A, effective 8/29/14.

Athletics Director

Webster, Keith J., Athletic Trainer Senior/Administration, Athletics Support Services, after 17 years and 3 months of consecutive service under AR 3.1 Section VIII.A, effective 10/30/14.

Executive Vice President for Finance and Administration

Crutcher, Benjamin T. IV, Associate Vice President for Auxiliary Services, after 37 years and 8 months of consecutive service under AR 3.1 Section VIII.A, effective 10/1/14

Perkins, Judy A., Custodial Worker, Human Resources, after 24 years and 5 months of consecutive service under AR 3.1 Section VIII.A, effective 8/30/14.

Pryor, Annette M., Staff Assistant, Human Resources, after 32 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective 9/30/14.

Vice President for Facilities Management

Bolton, Sarah C., Engineer Aide Senior, Campus Physical Plant, after 24 years and 9 months of consecutive service under AR 3.1 Section VIII.A, effective 9/12/14.

Roberts, David W., Cement Mason Supervisor, Physical Plant Paint/Glass/Sign Shop, after 35 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 1/1/15.

Vice President for Research

Chowning, Joyce L., Administrative Support Associate I, Office of Federal Relations, after 18 years and 10 months of consecutive service under AR 3.1 Section VIII.A, effective 9/1/14.

Yates, Mattie, Administrative Coordinator II, Interdisciplinary Human Development, after 41 years and 5 months of consecutive service under AR 3.1 Section VIII.A, effective 1/2/15.

C. PHASED RETIREMENT APPOINTMENTS

Assistant Vice President for Public Safety

Carpenter, Roberta, Police Department, Captain Police Department, effective 1/10/15.

II. ADMINISTRATIVE ACTIONS

A. APPOINTMENTS

Assistant Vice President for Public Safety

Scales, Michael J., Associate Director, Parking and Transportation, effective 9/2/14.

Athletics Director

Johnson, Allen, Assistant Track Coach, effective 8/27/14.

Goodwin, Rena, Assistant Rifle Coach, effective 8/25/14.

Eckstein, Rick, Assistant Baseball Coach, effective 8/21/14.

Williams, Tamika, Assistant Women's Basketball Coach, effective 8/18/14.

Vice President for Development

Koenigsknecht-Talboo, Jessica, Corporate Foundation Relations Director Associate, Office of Development, effective 10/1/14.

Vice President for Research

Weisenberger, Matthew, Associate Director, Center for Applied Energy (CAER) Research, effective 10/1/14.

B. PROMOTIONS

Executive Vice President for Finance and Administration

Nikirk, Sarah, from Auxiliary Services Director Associate to Interim Associate Vice President for Auxiliary Services, effective 10/01/14.

Vice President for Research

Mossey, Jeffrey, from NSF KY EPSCoR Associate Director, Center for Applied Energy Research to Assistant Director, Center for Applied Energy Research, effective 10/1/14.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Cooley, Andrew T., College of Medicine, Clinical Associate Professor, Psychiatry, 8/4/14 through 6/30/15.
- Darrat, Yousef H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 10/2/14 through 6/30/15.
- Geiger, Hartmut, College of Medicine, Professor (voluntary), Graduate Center for Toxicology, 11/1/14 through 6/30/16.
- Goldman, Richard A., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, 12/1/14 through 6/30/15.
- Gribble, Phillip A., College of Health Sciences, Associate Professor (with tenure), Rehabilitation Sciences, effective 7/1/14.
- Haider, Syed N., College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/14 through 6/30/15.
- Harned, Michael E., College of Medicine, Clinical Associate Professor, Anesthesiology, 10/1/14 through 6/30/15.
- Howard, Patricia B., College of Nursing, Professor (with tenure), appointed to the Marion E. McKenna Professorship in Nursing Leadership, 9/1/14 through 8/30/19.
- Jones, Landon A., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 11/1/14 through 6/30/15.
- Kaye, Clifford A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/14 through 6/30/15.
- Luhan, Gregory A., College of Design, Associate Professor (with tenure), Architecture, appointed to the John Russell Groves-Kentucky Housing Corporation Professorship in Affordable Housing Design, 7/1/14 through 6/30/19.
- McKinney, Michael E., College of Medicine, Clinical Assistant Professor, Internal Medicine, 11/1/14 through 6/30/15.
- Nerenz, Robert D., College of Medicine, Clinical Assistant Professor, Pathology and Laboratory Medicine, 1/1/15 through 6/30/15.
- Parker, Kimberly A., College of Communication and Information, Associate Professor* (without tenure), Journalism and Telecommunications, 8/1/14 through 6/30/15.
- Pena Salazar, Adolfo M., College of Medicine, Clinical Assistant Professor, Internal Medicine, 11/1/14 through 6/30/15.
- Popkin, Jeremy, College of Arts and Sciences, Professor (with tenure), History, appointed to the William T. Bryan Endowed Chair in History, 9/1/14 through 8/30/17.

Riaz, Asad, College of Medicine, Clinical Assistant Professor, Internal Medicine, 11/10/14 through 6/30/15.

Smith, Kevin H., College of Medicine, Clinical Assistant Professor, Internal Medicine, 9/1/14 through 6/30/15.

Smith, William A., College of Medicine, Associate Professor (voluntary), Internal Medicine, 9/1/14 through 6/30/16.

Suiter, Debra M., College of Health Sciences, Clinical Associate Professor, Rehabilitation Sciences, 6/23/14 through 6/30/14.

Tessmann, Paul B., College of Medicine, Clinical Assistant Professor, Surgery, 10/1/14 through 6/30/15.

Thind, Ravneet, College of Medicine, Clinical Assistant Professor, Internal Medicine, 10/15/14 through 6/30/15.

Thomas-Eapen, Neena E., College of Medicine, Clinical Assistant Professor, Family and Community Medicine, 11/1/14 through 6/30/15.

van Wyk, Margaret N., College of Medicine, Clinical Assistant Professor, Anesthesiology, 1/15/15 through 6/30/15.

Watson, William D., College of Medicine, Clinical Professor, Neurology, 10/1/14 through 6/30/15.

Wolin, Edward M., College of Medicine, Clinical Professor, Internal Medicine, 9/1/14 through 6/30/15.

Yin, Xiangrong, College of Arts and Sciences, Professor (with tenure), Statistics, effective 8/1/14.

Young, Robert S., College of Medicine, Assistant Professor*, Internal Medicine, and joint appointment as Assistant Professor*, Biostatistics, College of Public Health, 9/1/14 through 6/30/15.

B. ADMINISTRATIVE APPOINTMENTS

Holloway, Lawrence E., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, reappointed Chair, Electrical and Computer Engineering, 7/1/15 through 6/30/16.

C. JOINT APPOINTMENTS

Barrett, Terrence A., College of Medicine, Professor (with tenure), Internal Medicine, joint appointment as Professor (without tenure), Microbiology, Immunology and Molecular Genetics, effective 12/1/14.

Cheng, Yang-Tse, College of Engineering, Professor (with tenure), Chemical and Materials Engineering, joint appointment as Professor (without tenure), Physics and Astronomy, College of Arts and Sciences, effective 10/1/14.

Kelly, Thomas H., College of Medicine, Professor (with tenure), Behavioral Science, and joint appointments as Professor (without tenure), Psychiatry and Professor (without tenure), Psychology, College of Arts and Sciences, new joint appointment as Professor (without tenure), College of Nursing, effective 10/1/14.

Parker, Kimberly A., College of Communication and Information, Associate Professor* (without tenure), Journalism and Telecommunications, joint appointment as Associate Professor* (without tenure), Communication, 10/1/14 through 6/30/15.

Thiede, Theodore D., College of Engineering, Associate Professor (with tenure), Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/14 through 6/30/15.

D. REAPPOINTMENTS

Holsinger, James W., College of Public Health, Professor (with tenure), Preventive Medicine and Environmental Health, and joint appointments as Professor (without tenure), Anatomy and Neurobiology, College of Medicine and Professor (without tenure), Internal Medicine, College of Medicine and Professor (without tenure), Surgery, College of Medicine and Professor (without tenure), Health Management and Policy, reappointed to the Charles T. Wethington Jr. Chair in the Health Sciences, 7/1/13 through 6/30/18.

Hower, James C., College of Arts and Sciences, Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

Shely, William W., College of Pharmacy, Associate Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Thompson, Charla J., College of Pharmacy, Associate Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

E. PROMOTIONS

Elsoueidi, Raymond, College of Medicine, from Assistant Professor (voluntary), Family and Community Medicine, to Associate Professor (voluntary), Family and Community Medicine, 12/1/14 through 6/30/16.

Shahtahmasebi, Said, College of Medicine, from Associate Professor (voluntary), Pediatrics, to Professor (voluntary), Pediatrics, 12/1/14 through 6/30/16.

F. CHANGES

Banks, Adam J., College of Arts and Sciences, from Professor (with tenure), English, to Professor (with tenure), Writing, Rhetoric and Digital Studies, effective 7/1/14.

Comer, Brett T., College of Medicine, Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, from 7/1/14 through 6/30/15, to 8/1/14 through 6/30/15.

Dillard, Robert P., College of Medicine, from Professor* (without tenure), Pediatrics, to Clinical Professor, Pediatrics, 7/1/14 through 6/30/15.

- Fernheimer, Janice W., College of Arts and Sciences, from Associate Professor (with tenure), English, to Associate Professor (with tenure), Writing, Rhetoric and Digital Studies, effective 7/1/14.
- Mountford, Roxanne D., College of Arts and Sciences, from Associate Professor (with tenure), English, to Associate Professor (with tenure), Writing, Rhetoric and Digital Studies, effective 7/1/14.
- Rice, Jeffrey R., College of Arts and Sciences, from Professor (with tenure), English, to Professor (with tenure), Writing, Rhetoric and Digital Studies, effective 7/1/14.
- Rice, Jennifer H., College of Arts and Sciences, from Associate Professor (with tenure), English, to Associate Professor (with tenure), Writing, Rhetoric and Digital Studies, effective 7/1/14.

G. RETIREMENTS

- Angotti, Grace M., College of Agriculture, Food and Environment, Agriculture Extension Agent, Extension Field Programs, after 17 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/12/14.
- Boyd, Helen Y., College of Medicine, Coding Assistant, IBU-Medical, after 15 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/3/14.
- Cooper, Jean, College of Business and Economics, Associate Professor (with tenure), Accountancy, after 24 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/14.
- Crouch, Larry R., Student Affairs, Student Services Director, after 30 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/19/14.
- Elitzur, Moshe, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, after 34 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/15.
- Farmer, Frank H., College of Medicine, Technical Support Services Aide, Kentucky Telecare, after 11 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/4/14.
- Forbes, Linda B., College of Agriculture, Food and Environment, Administrative Support Associate I, Agriculture Alumni and Development, after 40 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/6/14.
- Gardner, Shirley H., College of Medicine, Administrative Support Associate I, Physical Medicine and Rehabilitation, after 13 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/8/14.
- Gnatuk, Carole A., College of Agriculture, Food and Environment, Agriculture Extension Specialist Senior, Family and Consumer Sciences, after 11 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/19/14.

Haynes, Thomas, College of Public Health, Research Analyst, Kentucky Injury Prevention and Research Center, after 15 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 8/15/14.

Lowry, Regina C., College of Nursing, Senior Lecturer, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 1/3/15.

Maguire, Grace F., College of Medicine, Clinical Professor, Pediatrics, after 17 years of consecutive service, and a total of 18 years and 11 months of service, under AR 3:1, Section VIII.B, effective 10/1/14.

Matthews, Gregory V., College of Medicine, Image Management Specialist, Radiation Medicine, after 11 years and 11 months of consecutive service, and a total of 19 years and 1 month of service, under AR 3:1, Section VIII.B, effective 9/26/14.

Morrissey, Rita M., College of Medicine, Administrative Service Assistant, Surgery, after 20 years of consecutive service, under AR 3:1, Section VIII.B, effective 10/31/14.

Parrish, Julia D., College of Education, Administrative Research Assistant, Educational Policy Studies and Evaluation, after 4 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/3/14.

Peterson, Susan, College of Medicine, Advanced Practice Provider I, Pediatrics, after 15 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/15.

Pfeifle, William G., College of Public Health, Professor* (with tenure), Health Management and Policy, after 39 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/15/14.

Robertson, Georgia D., College of Arts and Sciences, Department Academic Administration Associate, after 3 years and 8 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/14.

Snyder, Carol T., College of Medicine, Program Coordinator II, Physiology, after 33 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/3/14.

Spires, Susan E., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, after 6 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 9/30/14.

Todd, Lee T. Jr., College of Engineering, Professor (with tenure), Electrical and Computer Engineering, after 13 years and 3 months of consecutive service, and a total of 21 years and 1 month of service, under AR 3:1, Section VIII.B, effective 9/30/14.

Towles, Billy D., College of Medicine, Staff Support Associate II, Obstetrics and Gynecology, after 16 years and 11 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/4/14.

Van Zant, Gary, College of Medicine, Professor (with tenure), Internal Medicine, and joint appointments as Professor (without tenure), Pathology and Laboratory Medicine and Professor (without tenure), Physiology, after 19 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 10/31/14.

H. EARLY RETIREMENTS

- Biddle, Pamela P., College of Agriculture, Food and Environment, Special Events Coordinator, after 35 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/1/14.
- Chamberlain, Mary D., College of Medicine, Administrative Support Associate I, Obstetrics and Gynecology, after 29 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/31/14.
- Danner, Deborah D., College of Medicine, Assistant Research Professor, Behavioral Science, and joint appointment as Assistant Research Professor, Family and Community Medicine, after 30 years and 7 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/2/15.
- Daugherty, Betty A., College of Agriculture, Food and Environment, Extension Staff Assistant, Extension Field Programs, after 42 years and 2 months of consecutive service, under AR 3:1, Section VIII.A, effective 9/15/14.
- Dennis, Mary E., College of Medicine, Administrative Staff Officer I, Internal Medicine, after 20 years of consecutive service, under AR 3:1, Section VIII.A, effective 9/20/14.
- Hisel, Wanda F., Student Affairs, Student Affairs Officer, Residence Life, after 24 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/29/14.
- Humphrey, Carla Sue, College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, after 19 years of consecutive service, under AR 3:1, Section VIII.B, effective 9/1/14.
- Kimball, Diana, College of Medicine, Administrative Support Associate I, Radiology, after 36 years and 1 month of consecutive service, under AR 3:1, Section VIII.A, effective 9/12/14.
- Leigh, Phyllis W., College of Medicine, Program Coordinator II, Center for Trauma and Children, after 15 years of consecutive service, under AR 3:1, Section VIII.A, effective 9/12/14.
- Marcum, Mary L., Enrollment Management, Financial Ombudsman Director Assistant, Student Account Services, after 27 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/15/14.
- Marshall, James, College of Agriculture, Food and Environment, Research Farm Technician II, RCARS, after 30 years and 11 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/3/14.
- Meara, Jane E., College of Medicine, Administrative Support Associate I, Surgery, after 20 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 10/17/14.
- Meece, Doris, College of Agriculture, Food and Environment, Extension Assistant, Extension Field Programs, after 17 years and 5 months of consecutive service, under AR 3:1, Section VIII.A, effective 8/1/14.
- Runyons, Cecil R., College of Medicine, Research Administrative Coordinator Sr., Sanders-Brown Center on Aging, after 19 years and 9 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/3/14.

Webb, Lynn S., College of Arts and Sciences, Data Coordinator Senior, Anthropology Museum, after 29 years and 10 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/29/14.

I. POST-RETIREMENT APPOINTMENTS

Allen, David M., College of Arts and Sciences, Professor (part-time), Statistics, 7/1/14 through 6/30/15.

Beal, Rayma, Undergraduate Education, Associate Professor (part-time), Honors Program, 8/16/14 through 5/15/15.

Brockopp, Dorothy A., College of Nursing, Professor (part-time), 7/1/14 through 6/30/15.

Buckner, Elizabeth, College of Agriculture, Food and Environment, Agriculture Extension Specialist Administrator (part-time), Family and Consumer Sciences, 10/1/14 through 9/30/15.

Cantor, Austin H., College of Agriculture, Food and Environment, Associate Professor (part-time), Animal and Food Sciences, 1/5/15 through 1/4/16.

Carter, Gary, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 1/7/15 through 1/6/16.

Cromwell, Gary L., College of Agriculture, Food and Environment, Professor (part-time), Animal and Food Sciences, 1/5/15 through 1/4/16.

Grigson, Dan, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 1/7/15 through 1/6/16.

Harrison, David, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 1/7/15 through 1/6/16.

Hershman, Donald E., College of Agriculture, Food and Environment, Professor (part-time), Plant Pathology, 1/5/15 through 6/30/15.

Jones, Larry D., College of Agriculture, Food and Environment, Professor (part-time), Agricultural Economics, and Interim Chair, Community and Leadership Development, 11/1/14 through 4/30/15.

Koester, David, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 11/7/14 through 11/6/15.

Maguire, Grace F., College of Medicine, Professor (part-time), Pediatrics, 10/3/14 through 6/30/15.

May, Charles E., Undergraduate Education, Assistant Professor (part-time), Honors Program, 8/16/14 through 5/15/15.

Meece, Doris, College of Agriculture, Food and Environment, County Extension Agent (part-time), Cooperative Extension Service, 9/8/14 through 9/7/15.

Moore, Louise, College of Agriculture, Food and Environment, Extension District Director for District 2 (part-time), Cooperative Extension Service, 11/7/14 through 11/6/15.

Parrish, Julia D., College of Education, Administrative Research Assistant (part-time), Educational Policy Studies and Evaluation, 10/5/14 through 9/30/15.

Swift, Louis J., Undergraduate Education, Professor (part-time), Honors Program, 1/1/15 through 5/15/15.

Thompson, Martha, College of Agriculture, Food and Environment, Extension Personnel Director (part-time), Cooperative Extension Service, 11/6/14 through 5/5/15.

Wilson, Joseph W., College of Arts and Sciences, Professor (part-time), Chemistry, 8/1/14 through 12/31/14.

J. PHASED RETIREMENT APPOINTMENTS

Brill, Yolanda M., College of Medicine, Clinical Associate Professor, Pathology and Laboratory Medicine, 7/1/15 through 6/30/18.

Chow, Ching K., College of Agriculture, Food and Environment, Professor (with tenure), Dietetics and Human Nutrition, and joint appointments as Professor (without tenure), Graduate Center for Toxicology, College of Medicine and Professor (without tenure), Pharmacology and Nutritional Sciences, College of Medicine, 1/1/15 through 12/31/19.

Deem, Jodelle F., College of Health Sciences, Associate Professor* (with tenure), Rehabilitation Sciences, and joint appointment as Associate Professor* (without tenure), Surgery, College of Medicine, 7/1/15 through 6/30/20.

Gaetke, Eugene R., College of Law, Professor (with tenure), 8/1/13 through 7/31/18.

Reed, Deborah B., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Preventive Medicine and Environmental Health, College of Public Health, from 7/1/14 through 6/30/17, to 7/1/14 through 6/30/19.

Williams, Carolyn A., College of Nursing, Professor (with tenure), and joint appointment as Professor (without tenure), Health Services, College of Health Sciences, 1/1/15 through 12/31/19.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Adams, John V., College of Medicine, Instructor (voluntary), Family and Community Medicine, 11/1/14 through 6/30/16.

Adedeji, Akinbode A., College of Agriculture, Food and Environment, Assistant Professor, Biosystems and Agricultural Engineering, 7/3/14 through 6/30/15.

Adkins, Justin A., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 12/31/14.

Antognini, Albertina, College of Law, Assistant Professor (visiting), 8/1/14 through 6/30/15.

Arnett, Paula K., College of Public Health, Assistant Adjunct Professor, Health Management and Policy, 1/1/15 through 6/30/15.

Arnold, Daniel H., College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Austin, Lydia, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Awasthi, Mukta, College of Medicine, Assistant Professor (part-time), Internal Medicine, 9/1/14 through 6/30/15.

Bailey, Samuel D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/14 through 6/30/16.

Bandyopadhyay, Dabanjan, College of Medicine, Assistant Professor (voluntary), Surgery, 9/1/14 through 6/30/16.

Baum, Regan, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/15.

Bec, Sladana, College of Agriculture, Food and Environment, Instructor (part-time), Plant Pathology, 8/15/14 through 6/30/15.

Beeken, Adam, College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Bentley, Hannah E., College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 12/31/14.

Blandford, David H., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/14 through 6/30/16.

Blasing, Molly T., College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/16.

Briggs, Alissa, College of Medicine, Clinical Assistant Professor, Pediatrics, 11/1/14 through 6/30/15.

Burch, Sharlee M., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 10/1/14 through 6/30/15.

Burchett, Kyle L., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/1/14 through 12/31/14.

Burgess, Donna R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/1/13 through 6/30/16.

Burkett, Barry L., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 9/1/14 through 6/30/15.

Campbell, Marilyn, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Carman, Gregory A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 9/1/14 through 6/30/15.

Casto, Andrew C., College of Arts and Sciences, Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Catlin, Michelle R., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/1/14 through 12/31/14.

Chani, Arvinder P., College of Medicine, Assistant Professor (voluntary), Psychiatry, 9/1/14 through 6/30/16.

Christodouloupoulou, Konstantina, College of Arts and Sciences, Lecturer, Mathematics, 8/1/14 through 6/30/15.

Clark, Dana L., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Clarke, Benita J., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/1/14 through 12/31/14.

Clepper, Marta L., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 8/16/14 through 12/31/14.

Coleman, Jonathan E., College of Arts and Sciences, Instructor (part-time), History, 5/13/14 through 6/10/14.

Collins, William E., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/16.

Cormier, Marc, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/14 through 6/30/15.

Cotton, Alfred J., College of Communication and Information, Instructor (part-time), Communication, 8/16/14 through 6/30/15.

Cowden, Kelley R., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/14 through 6/30/15.

Crowley, Ryan M., College of Education, Assistant Professor, Curriculum and Instruction, 8/16/14 through 6/30/15.

Crumrine, Christopher, College of Communication and Information, Instructor (part-time), Communication, 8/16/14 through 6/30/15.

Curwood, Anastasia C., College of Arts and Sciences, Assistant Professor, History, 7/1/14 through 6/30/16.

Davalos, Jesus, College of Arts and Sciences, Instructor, Military Science and Leadership, 10/21/14 through 6/30/16.

Davidson, Freddia G., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/14 through 6/30/15.

Davis, Eric C., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/14 through 6/30/15.

Davis, Molly M., College of Agriculture, Food and Environment, Assistant Adjunct Professor, Landscape Architecture, 9/15/14 through 6/30/15.

Davis, Todd D., College of Agriculture, Food and Environment, Assistant Extension Professor, Agricultural Economics, 7/28/14 through 6/30/15.

Dawahare Lyon, Teresa A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 10/1/14 through 6/30/15.

Dawson, Regina, College of Education, Clinical Assistant Professor, Curriculum and Instruction, 8/16/14 through 6/30/15.

Deas, Joseph B., College of Agriculture, Food and Environment, Instructor (part-time), Entomology, 8/27/14 through 6/30/15.

Dressman, Anna S., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 10/1/14 through 6/30/15.

Dunne, Jessie, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/15.

Durham, Bruce, College of Medicine, Instructor (voluntary), Family and Community Medicine, 10/1/14 through 6/30/16.

Edwards, Sarah J., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/1/14 through 6/30/15.

Elam, Anthony J., College of Engineering, Instructor (part-time), Computer Science, 1/1/15 through 6/30/15.

Elmaraghi, Arwa, College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 7/20/14 through 6/30/16.

Escher, Lucile M., College of Fine Arts, Instructor (part-time), Theatre and Dance, 8/1/14 through 6/30/15.

Famulski, Jakub K., College of Arts and Sciences, Assistant Professor, Biology, 8/1/14 through 6/30/16.

Farris, Mara D., College of Fine Arts, Instructor (part-time), Theatre and Dance, 7/1/14 through 6/30/15.

Faulkner-Simmons, Patricia G., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/14 through 6/30/16.

Ferrare, Joseph, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 8/16/14 through 6/30/15.

Fettrow, Elizabeth, College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/16/14 through 6/30/15.

Flora, Kevin, College of Education, Lecturer, Educational Policy Studies and Evaluation, 7/1/14 through 6/30/15.

Flynn, Sarah E., College of Arts and Sciences, Instructor (part-time), Psychology, 7/1/14 through 12/31/14.

Gan, Wenqi, College of Public Health, Assistant Professor, Preventive Medicine and Environmental Health, 9/4/14 through 6/30/15.

Ganesh, Geeta A., College of Medicine, Assistant Adjunct Professor, Neurology, 11/14/14 through 6/30/15.

Garner, Angela R., College of Arts and Sciences, Lecturer, English, 8/1/14 through 6/30/15.

Gass, Joseph C., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 10/1/14 through 6/30/16.

Gaukel, Andrew M., College of Fine Arts, Instructor (part-time), Theatre and Dance, 7/1/14 through 6/30/15.

Gilbertson, Keith P., College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 12/31/14.

Gomez, Eduardo R., College of Medicine, Assistant Professor (part-time), Radiology, 11/1/14 through 6/30/15.

Gonzales, Juan M., College of Arts and Sciences, Assistant Professor, English, 8/1/14 through 6/30/16.

Gonzalez D'Leon, Rafael S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/14 through 6/30/15.

Goodlett, Amy K., College of Dentistry, Assistant Professor (part-time), Oral Health Science, 8/1/14 through 6/30/15.

Goodman, Henry W., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/14 through 6/30/15.

Grace, Sherry A., College of Health Sciences, Assistant Adjunct Professor, Clinical Sciences, 8/1/14 through 6/30/15.

Graham, Gwendolyn, College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 12/31/14.

Granados, Martha E., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/1/14 through 12/31/14.

Grumbein, Adriane K., College of Communication and Information, Assistant Professor*, Journalism and Telecommunications, 8/1/14 through 6/30/15.

Haramoto, Erin R., College of Agriculture, Food and Environment, Assistant Professor, Plant and Soil Sciences, 9/1/14 through 6/30/15.

Harper, Christine T., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, 8/1/14 through 6/30/15.

Harwood, Louise A., College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/14 through 6/30/16.

Hasegawa, Atsushi, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 6/30/16.

Hawley, Robert J., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/14 through 6/30/15.

Hayes, Harold E., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 12/31/14.

He, Jianjun, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 6/30/16.

Hearn, Jessica, College of Education, Clinical Assistant Professor, Educational Policy Studies and Evaluation, 8/16/14 through 6/30/15.

Hickman, John B., College of Arts and Sciences, Instructor (part-time), Earth and Environmental Sciences, 8/1/14 through 12/31/14.

Higgins, Amanda L., College of Arts and Sciences, Instructor (part-time), History, 6/12/14 through 8/7/14.

Hines, Clinton M., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 6/30/15.

Holbrook, E. Ashlee, College of Engineering, Instructor (part-time), Computer Science, 8/1/14 through 6/30/15.

Holliday, Eleanor, College of Education, Lecturer, Educational Policy Studies and Evaluation, 7/1/14 through 4/30/15.

Hopper, Rebecca D., College of Medicine, Assistant Professor (voluntary), Pediatrics, and joint appointment as Assistant Professor (voluntary), Internal Medicine, 8/1/14 through 6/30/16.

Howard, H. F., College of Dentistry, Instructor (part-time), Oral Health Science, 8/4/14 through 6/30/15.

Hunter, Elizabeth G., College of Public Health, Assistant Professor, Gerontology, 1/1/15 through 6/30/15.

Hunting, Kyra O., College of Communication and Information, Assistant Professor, Journalism and Telecommunications, 8/1/14 through 6/30/15.

Jabbar, Furrukh, College of Medicine, Instructor (voluntary), Family and Community Medicine, 9/1/14 through 6/30/16.

Jensen, David H., College of Arts and Sciences, Assistant Professor, Mathematics, 8/1/14 through 6/30/16.

Jiang, Jieyun, College of Medicine, Assistant Research Professor, Microbiology, Immunology and Molecular Genetics, 11/1/14 through 6/30/15.

Karem, Wayne, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/14 through 6/30/15.

Karumbaiah, Keerthana K., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 8/1/14 through 6/30/16.

Kelly, Robert, College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Khanna, Neena, College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Kim, Bom Soo, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 6/30/15.

Kiros, Yazezew K., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 10/1/14 through 6/30/16.

Knuckles, Holly, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 8/1/14 through 6/30/16.

Krause, Lisa R., College of Education, Clinical Assistant Professor, STEM Education, 8/16/14 through 6/30/15.

Kremer, Raymond E., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 6/30/15.

Kurzynske, Tosha, Graduate School, Instructor (part-time), Martin School of Public Policy and Administration, 8/15/13 through 6/30/14.

Lane, James E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 11/1/13 through 6/30/16.

Lane, Justin, College of Education, Assistant Professor, Early Childhood, Special Education, and Rehabilitation Counseling, 8/16/14 through 6/30/15.

Leachman, Mackenzie R., College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 7/1/14 through 6/30/15.

Lee, Jungmin, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 8/16/14 through 6/30/15.

Lepley, Adam S., College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/14 through 6/30/15.

Leung, Noelle R., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/15.

Lile, Joanna P., College of Arts and Sciences, Instructor (part-time), History, 8/1/14 through 12/31/14.

Lopez, Cassandra R., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 8/16/14 through 12/31/14.

Lopez Torres, Maria K., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/1/14 through 12/31/14.

Losada, Matthew J., College of Arts and Sciences, Assistant Professor, Hispanic Studies, 8/1/14 through 6/30/16.

Love, Tony P., College of Arts and Sciences, Assistant Professor, Sociology, 8/1/14 through 6/30/16.

Mason, Jeremiah R., College of Medicine, Assistant Professor (voluntary), Pediatrics, 10/1/14 through 6/30/16.

McClellan, Michael, College of Education, Lecturer, Educational, School, and Counseling Psychology, 8/16/14 through 6/30/15.

McLeod, Scott, College of Education, Instructor (part-time), Educational Leadership Studies, 7/1/14 through 12/31/14.

McMaine, Benjamin A., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/1/14 through 12/31/14.

Moloney, Mairead, College of Arts and Sciences, Assistant Professor, Sociology, 8/1/14 through 6/30/16.

Murray, Jackie, College of Arts and Sciences, Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 6/30/16.

Murrugarra, David, College of Arts and Sciences, Assistant Professor, Mathematics, 7/1/14 through 6/30/16.

Neill, Thomas K., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Neuman, Elliot, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 9/1/14 through 6/30/15.

Oldham, Carolyn A., College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 12/31/14.

Osborn, Holly F., College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 6/30/15.

Oswald, Renee D., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Pandya, Komal, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/15.

Paris, Bethany L., Graduate School, Instructor (part-time), Martin School of Public Policy and Administration, 8/15/13 through 6/30/14.

Parli, Sara, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/15.

Patel, Samirkumar P., College of Medicine, Assistant Research Professor, Physiology, 9/1/14 through 6/30/15.

Pekarchik, Karin N., College of Agriculture, Food and Environment, Instructor (part-time), Biosystems and Agricultural Engineering, 8/27/14 through 6/30/15.

Prabhu, Pramod V., College of Medicine, Assistant Professor (voluntary), Radiation Medicine, 10/1/14 through 6/30/16.

Pullen, Erin L., College of Medicine, Assistant Research Professor, Behavioral Science, 11/1/14 through 6/30/15.

Quarles, James D., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/14 through 6/30/16.

Radhakrishnan, Krishnan, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 9/1/14 through 6/30/16.

Ratliff, Angelena, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Redden, Andrew K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/14 through 6/30/16.

Reedy, Harold W., College of Medicine, Assistant Professor (voluntary), Radiology, 10/1/14 through 6/30/16.

Rice, Martha, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Richards, Kristin E., College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Riggs, Jane L., College of Engineering, Instructor (part-time), Civil Engineering, 7/1/14 through 6/30/15.

Rodriguez, Carla M., College of Dentistry, Clinical Assistant Professor, Oral Health Practice, 8/1/14 through 6/30/15.

Ross, Ashley L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/1/12 through 6/30/16.

Rouse, Steven J., College of Law, Instructor (part-time), 8/1/13 through 6/30/14.

Rule, Lindsay, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Saladin, Todd, College of Engineering, Instructor (part-time), Civil Engineering, 7/1/14 through 6/30/15.

Saunders, Natasha N., College of Agriculture, Food and Environment, Instructor (part-time), Retailing and Tourism Management, 8/15/14 through 12/31/14.

Savells, Amber, College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 10/1/14 through 6/30/16.

Schanding, Brian K., College of Arts and Sciences, Lecturer, English, 8/1/14 through 6/30/15.

Schlackman, Marc S., College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Schwartz, Aaron K., College of Agriculture, Food and Environment, Lecturer, Dietetics and Human Nutrition, 8/16/14 through 6/30/15.

Scott, Gregory L., College of Nursing, Instructor (part-time), 8/25/14 through 6/30/15.

Sharab, Lina, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Sharp, Ethan P., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 6/30/15.

Shih, Yu C., College of Medicine, Assistant Professor (voluntary), Psychiatry, 10/1/14 through 6/30/16.

Skallerup, Lee E., Graduate School, Instructor (part-time), Dean's Office, 8/20/14 through 12/31/15.

Skorupa, Jennifer J., College of Medicine, Assistant Professor (voluntary), Internal Medicine, 11/1/14 through 6/30/16.

Smith, Brian C., College of Arts and Sciences, Assistant Professor, Military Science and Leadership, 7/1/14 through 6/30/17.

Smith, Timothy L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/14 through 6/30/16.

Sokan, Amanda E., College of Public Health, Assistant Professor (part-time), Health Management and Policy, 1/1/15 through 6/30/15.

Stamper, Donald J., College of Agriculture, Food and Environment, Instructor (part-time), Biosystems and Agricultural Engineering, 8/27/14 through 6/30/15.

Stephenson, John D., College of Communication and Information, Lecturer, Journalism and Telecommunications, 8/1/14 through 6/30/15.

Stewart-Jaynes, Marissa, College of Medicine, Instructor (voluntary), Family and Community Medicine, 8/1/14 through 6/30/16.

Street, Eric, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Swetnam, Larry D., College of Agriculture, Food and Environment, Instructor (part-time), Biosystems and Agricultural Engineering, 8/27/14 through 6/30/15.

Thomas, Whitney L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/14 through 6/30/16.

Thompson, Jonathan R., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 12/31/14.

Thompson, Lisa, College of Nursing, Instructor (part-time), 8/25/14 through 6/30/15.

Thompson Bastin, Melissa L., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 11/1/14 through 6/30/16.

Turpin, Robert J., College of Arts and Sciences, Instructor (part-time), History, 5/13/14 through 6/10/14.

Van de Venne, Judy, Agriculture, Food and Environment, Instructor (part-time), Family Sciences, 8/1/14 through 12/31/14.

Warren, Paige P., College of Dentistry, Assistant Professor (voluntary), Oral Health Science, 9/1/14 through 6/30/16.

Webb, Ashley N., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 9/1/13 through 6/30/16.

Weihing, Jeffrey, College of Medicine, Assistant Professor (voluntary), Otolaryngology - Head and Neck Surgery, 9/1/14 through 6/30/16.

Whale, Caitlin, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Whitaker, erica J., College of Arts and Sciences, Lecturer, Mathematics, 8/1/14 through 6/30/15.

White, Kimberly, College of Education, Clinical Assistant Professor, Curriculum and Instruction, 8/16/14 through 6/30/16.

Williams, Stacie M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/14 through 6/30/15.

Wilson, Carol, College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Wood, Janice E., College of Arts and Sciences, Instructor (part-time), History, 8/1/14 through 12/31/14.

Yang, Jian, College of Agriculture, Food and Environment, Assistant Professor, Forestry, 10/1/14 through 6/30/15.

Yip, Martha, College of Arts and Sciences, Assistant Professor, Mathematics, 8/1/14 through 6/30/16.

Young, Derek S., College of Arts and Sciences, Assistant Professor, Statistics, 8/1/14 through 6/30/16.

Young, Michele S., College of Nursing, Instructor (part-time), 8/1/14 through 6/30/15.

Yu, Tingting, College of Engineering, Assistant Professor, Computer Science, 8/1/14 through 6/30/16.

Yuan, Shujuan, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 6/30/15.

Zannoun, Mohammad, College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 6/30/15.

Zoll, Gregory A., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 8/4/14 through 6/30/15.

Zonio, Henry J., College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 6/30/15.

B. ADMINISTRATIVE APPOINTMENTS

- Bull, Stefanie, College of Medicine, Advanced Practice Provider III, Anesthesiology, effective 10/19/14.
- Groth, Jason C., College of Medicine, Advanced Practice Provider, Internal Medicine, effective 10/6/14.
- Grubbs, Susan F., College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 9/21/14.
- Guo, Ling, College of Medicine, Scientist III, Cardiovascular Research Center, effective 10/19/14.
- Horohov, David W., College of Agriculture, Food and Environment, Professor (with tenure), Veterinary Science, appointed Interim Chair, Veterinary Science, 9/23/14 through 9/22/15.
- Johnstone, Chlodys, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 9/21/14.
- Jones, Larry D., College of Agriculture, Food and Environment, Professor (part-time), Agricultural Economics, appointed Interim Chair, Community and Leadership Development, 11/1/14 through 4/30/15.
- Rice, Jeffrey R., College of Arts and Sciences, Professor (with tenure), English, appointed Chair, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/15.
- Sleeth, Valerie, College of Medicine, Advanced Practice Provider II, Internal Medicine, effective 10/20/14.
- Stuffelbeam, Amy B., College of Medicine, Advanced Practice Provider I, Internal Medicine, effective 8/11/14.
- Wells, Mary Elizabeth, College of Arts and Sciences, Development Director Associate, effective 10/1/14.
- Whitley, Kimberly R., College of Medicine, Advanced Practice Provider I, Internal Medicine, effective 8/16/14.

C. JOINT APPOINTMENTS

- Cecil, John T., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Pediatrics, College of Medicine, new joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 7/1/14 through 6/30/16.
- Chitikeshi, Sanjeevi, College of Engineering, Assistant Professor, Industrial Engineering Technology, Murray State University, joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 8/1/14 through 6/30/15.

- Fitzgerald, Philip, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Internal Medicine, College of Medicine, 6/1/14 through 6/30/17.
- Hereford, James M., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Electrical and Computer Engineering, College of Engineering, 7/1/14 through 6/30/15.
- Howard, Kevin, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 4/1/14 through 6/30/15.
- Krohmer, Steven J., College of Medicine, Clinical Assistant Professor, Radiology, joint appointment as Clinical Assistant Professor, Surgery, 9/1/14 through 6/30/15.
- Liu, S. Sarah, College of Engineering, Assistant Professor, Science and Mathematics, West Kentucky Community and Technical College, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/14 through 6/30/15
- Rogers, James W., College of Engineering, Assistant Professor, Physics and Engineering Physics, Murray State University, joint appointment as Assistant Professor, Mechanical Engineering, College of Engineering, 7/1/14 through 6/30/15.
- Smith, Brian A., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 9/1/14 through 6/30/16.
- Wagner, Amanda E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Obstetrics and Gynecology, College of Medicine, 5/1/14 through 6/30/15.

D. REAPPOINTMENTS

- Ades, Harriet, College of Arts and Sciences, Instructor (part-time), Chemistry, 7/1/14 through 12/31/14.
- Alley, Rebecca, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.
- Allgeier, Kelly R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.
- Anderson, Charlotte R., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.
- Antimisiaris, Demetra, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.
- Appler, Douglas R., College of Design, Assistant Professor, Historic Preservation, 7/1/14 through 6/30/15.
- Armbruster, Ann E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Armistead, Juli, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Bachmeier, Harrison, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

Bailey, Abby M., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

Bartek, James M., College of Arts and Sciences, Instructor (part-time), History, 8/1/14 through 12/31/14.

Basuray, Rita, College of Arts and Sciences, Lecturer (visiting), Dean's Office, 7/1/14 through 6/30/15.

Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/1/14 through 12/31/14.

Blank, Christine S., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Blue, Lisa Y., College of Arts and Sciences, Instructor (part-time), Chemistry, and Instructor (part-time), Honors Program, Undergraduate Education, 7/1/14 through 6/30/15.

Bollinger, Lance, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Bond, Mona, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Bowersox, John R., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 12/31/14.

Braccia, Dana M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Bromley-Trujillo, Rebecca, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/14 through 6/30/15.

Burgess, Raymond E., College of Business and Economics, Lecturer, Accountancy, 7/1/14 through 6/30/16.

Burke, William F., College of Arts and Sciences, Instructor (part-time), Biology, 7/1/14 through 6/30/15.

Butcher, Gene L., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/14 through 5/15/15.

Cain, John P., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/14 through 9/30/14.

Callahan-Caudill, Krista E., College of Arts and Sciences, Instructor (part-time), English, 8/16/14 through 12/31/14.

Campbell-Speltz, Heather A., College of Arts and Sciences, Lecturer, Hispanic Studies, 7/1/14 through 6/30/16.

Carracedo, Christina, College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

Carter, Michael, College of Arts and Sciences, Senior Lecturer, English, 7/1/14 through 6/30/17.

Caspani, Guido, Undergraduate Education, Instructor (part-time), Honors Program, 8/16/14 through 5/15/15.

Cecil, Daniel, College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Cetrulo, Donald P., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Charalambakis, John E., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/14 through 6/30/15.

Chen, Yue, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/13 through 5/15/14, and 8/16/14 through 5/15/15.

Chico-Wyatt, Irene O., College of Arts and Sciences, Senior Lecturer, Hispanic Studies, 7/1/14 through 6/30/17.

Clay, Marianna J., College of Law, Instructor (part-time), 7/1/14 through 6/30/15.

Colbert, Jeremy, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Cole, Elizabeth Y., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Coleman, Jonathan E., College of Arts and Sciences, Instructor (part-time), History, 8/1/14 through 12/31/14.

Cook, Elaine H., College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Cook, Peter V., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/14 through 6/30/15.

Cox, James, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Crocker, Lina, College of Arts and Sciences, Senior Lecturer, English, 7/1/14 through 6/30/17.

Crone, Adam E., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Csima, Nora E., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/14 through 5/15/15.

Cummings, Celina A., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Cummins, Marc J., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Davis, John P., College of Arts and Sciences, Instructor (part-time), History, 6/12/14 through 8/7/14.

Davis, Rynetta S., College of Arts and Sciences, Assistant Professor, English, 7/1/14 through 6/30/16.

Dawson, Steven, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Day, Jill, College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Deffendall, Matthew, College of Communication and Information, Instructor (part-time), Communication, 8/16/14 through 6/30/15.

DeVries, Brigid, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Dickson, Megan F., College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 12/31/14.

DiLoreto, Rebecca B., College of Law, Instructor (part-time), 8/1/14 through 12/31/14, and Instructor (part-time), College of Social Work, 7/1/14 through 6/30/15.

Eble, Cortland F., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

Effinger, Kathy, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 12/31/14.

Elliott, Cynthia, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Ellis, Steven L., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 6/30/15.

Fairfield, James C., College of Arts and Sciences, Instructor (part-time), English, 5/16/14 through 6/30/14, and 8/1/14 through 12/31/14.

Faulkner, Cynthia, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Faulkner, Samuel S., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Feltner, Christina, College of Social Work, Instructor (part-time), 7/1/14 through 6/30/15.

Fenollar, Joaquin, College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Flannery, Alexander H., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

Fleenor, Bradley, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Foxworth, Deloris, College of Communication and Information, Lecturer, Library and Information Science, 7/1/14 through 6/30/15.

Freadreacea, Christy L., College of Arts and Sciences, Instructor (part-time), Sociology, 6/26/14 through 8/7/14.

French, April N., College of Arts and Sciences, Instructor (part-time), Chemistry, 7/1/14 through 6/30/15.

Friedrich, Andrea M., College of Arts and Sciences, Senior Lecturer, Psychology, 7/1/14 through 6/30/17.

Frye, Brian L., College of Law, Assistant Professor, 7/1/14 through 6/30/15.

Gallas, Chadwick G., College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Geurin, Danni L., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Greb, Stephen F., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 12/31/14.

Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 12/31/14.

Grosso, John G., College of Engineering, Instructor (part-time), Mining Engineering, 8/16/14 through 6/30/15.

Grubbs, Morris A., Graduate School, Instructor (part-time), Dean's Office, 7/1/14 through 6/30/15.

Gu, Chen, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 12/31/14.

Guarnieri, Karen, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Guffey, Roger L., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 12/31/14.

Hale, Bonnie B., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Hamblin, Pierce W., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Harris, Natosha E., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Hedge, Jesse A., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Heinonen, Alayna L., College of Arts and Sciences, Instructor (part-time), History, 5/13/14 through 6/24/14.

Henri-Martin, Fabiola S., College of Arts and Sciences, Instructor (part-time), English, 7/1/14 through 7/31/14.

Henson, Robert A., College of Communication and Information, Instructor (part-time), Journalism and Telecommunications, 8/16/14 through 6/30/15.

Hillenberg, Lara L., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Hinerman, James, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Hines, Jordan S., College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Holbrook, Paula J., College of Law, Instructor (part-time), 8/1/14 through 6/30/15.

Horikawa, Yoko, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 12/31/14.

Horn, Jeffrey S., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Howell, John D., College of Arts and Sciences, Senior Lecturer, English, 7/1/14 through 6/30/17.

Hubbard, Christy L., College of Nursing, Instructor (part-time), 7/1/14 through 6/30/15.

Ickes, Melinda J., College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Jacobs, Michael W., College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Jahed, Peyman, College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Johnson, Christine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Johnson, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Johnston, Kathleen M., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Jones, Blake L., College of Social Work, Adjunct Instructor, 8/16/14 through 6/30/15.

Jones, Courtney, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Jones, Sonya L., Undergraduate Education, Instructor (part-time), Honors Program, 8/16/14 through 5/15/15.

Karkelanova, Anushka A., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/14 through 6/30/15.

Kellenbarger, Tricia A., College of Nursing, Instructor (part-time), 8/1/14 through 6/30/15.

Kenney, Leslie K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/12 through 6/30/16.

Kipp, Lindsay E., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Kleven, Robin S., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Knauer, Timothy G., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 6/30/15.

Koebcke, Nathan S., College of Arts and Sciences, Instructor (temporary), Statistics, 5/16/14 through 6/30/14, and 8/16/14 through 6/30/15.

Kraft, Diane B., College of Law, Clinical Assistant Professor, 7/1/14 through 6/30/15.

Land, Travis, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Lanphere, Kathryn R., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Larson, Raymond W., College of Law, Instructor (part-time), 8/15/14 through 12/31/14.

Least, Brett, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Leedham, Cynthia, College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 12/31/14.

Lesch, Dennis C., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/14 through 6/30/15.

Lester, Harold D., College of Dentistry, Assistant Adjunct Professor, Oral Health Science, 9/1/14 through 1/3/15.

Lollar, Cortney E., College of Law, Assistant Professor, 7/1/14 through 6/30/15.

Long, Katie E., College of Pharmacy, Assistant Adjunct Professor, Pharmacy Practice and Science, 7/1/14 through 6/30/15.

Lovejoy, Barbara, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Lucas, Timothy K., College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Lueker, Dustin C., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/14 through 9/30/14.

Lyons, Thomas G., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Maharjan, Dharma S., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 12/31/14.

Marani, Jodi, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Mark, Kristen, College of Education, Assistant Professor, Kinesiology and Health Promotion, and joint appointment as Assistant Professor, Health Behavior, College of Public Health, 7/1/14 through 6/30/15.

Martin, David, College of Fine Arts, Instructor (part-time), Art and Visual Studies, 7/1/14 through 6/30/15.

Martin, Timothy J., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 12/31/14.

Mathena, Joseph L., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

McClung, Paul R., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

McFarland, Martha, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

McGavran, Mary Beth D., College of Arts and Sciences, Senior Lecturer, Psychology, 7/1/14 through 6/30/17.

Mead, Michael, College of Design, Instructor (part-time), Architecture, 7/1/14 through 6/30/15.

Meadows, Robert S., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Melcher, Susan M., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Meroz-Aharoni, Tikva, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/15.

Modenbach, Jeffrey C., College of Arts and Sciences, Instructor (temporary), Statistics, 7/1/14 through 9/30/14.

Mollerus, Mary, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Moore, Julia M., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Murine, Eric, College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Murray, Janci B., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Myers, Karla, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Nelson, Rebecca, College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Nguyen, Nicholas D., College of Arts and Sciences, Lecturer (visiting), Mathematics, 8/16/14 through 5/15/15.

Nicholson, James C., College of Arts and Sciences, Instructor (part-time), History, 8/1/14 through 12/31/14.

Nonn, Alyson K., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/1/14 through 12/31/14, and Instructor (part-time), STEM Education, College of Education, 7/1/14 through 6/30/15.

Oaks, Jan, College of Arts and Sciences, Senior Lecturer, Gender and Women's Studies, 7/1/14 through 6/30/17.

Ohr, Tennyne, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Olsen, Karl P., College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Osman, Viotalia R., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Owen, Joshua B., College of Arts and Sciences, Instructor (part-time), Chemistry, 7/1/14 through 6/30/15.

Parris, Thomas M., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 12/31/14.

Patterson, Kelly R., College of Nursing, Instructor (part-time), 7/1/14 through 6/30/15.

Patterson, Thomas H., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Patwardhan, Manjiri A., College of Arts and Sciences, Instructor (part-time), Chemistry, 7/1/14 through 6/30/15.

Paulose, Jiffin K., College of Arts and Sciences, Instructor (temporary), Biology, 8/16/14 through 10/6/14.

Pedescleaux, Jonell M., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Pergram, Nakisha L., College of Communication and Information, Instructor (part-time), Communication, 8/16/14 through 6/30/15.

Petrovsky, Nicolai, Graduate School, Assistant Professor, Martin School of Public Policy and Administration, 7/1/14 through 6/30/15.

Pfankuch, Jill, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Picklesimer, Rita, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Pittard, Melissa Q., College of Arts and Sciences, Lecturer, Statistics, 7/1/14 through 6/30/16.

Plummer, Patricia A., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Pohl, Michael, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Preston, Damon L., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Preston, Nancy C., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Probst, Mary K., College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/12 through 6/30/16.

Quintero, Margaret, College of Education, Instructor (part-time), Educational Leadership Studies, 7/1/14 through 6/30/15.

Raney, Brian K., College of Communication and Information, Instructor (part-time), Communication, 9/1/14 through 6/30/15.

Ratajeski, Kent, College of Arts and Sciences, Senior Lecturer, Earth and Environmental Sciences, 7/1/14 through 6/30/17.

Rice, Christopher S., College of Arts and Sciences, Instructor (part-time), Political Science, 8/1/14 through 12/31/14.

Robinson, Deirdra L., College of Social Work, Instructor (part-time), 7/1/14 through 6/30/15.

Robinson, Penelope, Undergraduate Education, Instructor (part-time), Honors Program, 8/16/14 through 6/30/15.

Robl, Thomas L., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

Routt, Thalethia B., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Sageser, Vicki, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Sanford, Rebecca L., College of Social Work, Clinical Instructor, 7/1/14 through 6/30/15.

Sauer, Jeorg H., College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/14 through 6/30/17.

Seiber, Mike, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Sharrett-Field, Lynda, College of Arts and Sciences, Instructor (part-time), Psychology, 7/1/14 through 12/31/14.

Shaw, Michael, College of Arts and Sciences, Lecturer (visiting), Mathematics, 7/1/14 through 6/30/15.

Silman, Miriam S., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Simpson, Schyler B., College of Communication and Information, Lecturer, Library and Information Science, 7/1/14 through 6/30/15.

Sleight, James F., College of Arts and Sciences, Instructor (part-time), Dean's Office, 6/13/14 through 8/7/14.

Srinivasan, Madhusudan P., College of Arts and Sciences, Instructor (temporary), Biology, 8/16/14 through 9/30/14.

Stamatiadis, Anne Marie, College of Arts and Sciences, Senior Lecturer, English, 7/1/14 through 6/30/17.

Stansbury, Joan T., College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Stivers, Teresa, College of Social Work, Clinical Instructor, 7/1/14 through 6/30/15.

Stoss, Richard P., College of Dentistry, Assistant Professor (part-time), Oral Health Practice, 7/1/14 through 6/30/15.

Strachan, Douglas R., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 7/1/14 through 8/15/16.

Stultz, Brad, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/12 through 6/30/16.

Summers, Martin, College of Design, Assistant Professor, Architecture, 7/1/14 through 6/30/15.

Summers, Regina, College of Design, Lecturer, Interiors: Planning/Strategy/Design, 7/1/14 through 6/30/15.

Swinford, Bill, College of Arts and Sciences, Instructor (part-time), Political Science, 8/1/14 through 12/31/14.

Sykes, Larry, College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Talbert, Cheryl L., College of Social Work, Instructor (part-time), 7/1/14 through 6/30/15.

Tarrant-Hoskins, Nicola A., College of Arts and Sciences, Instructor (part-time), English, 8/1/14 through 12/31/14.

Thomas, James W., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Thro, William E., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Tolzmann, Don H., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/1/14 through 6/30/15.

Turay II, Gregory, College of Fine Arts, Instructor (part-time), Music, 8/1/14 through 5/31/15.

Tynan, Ronan, College of Fine Arts, Instructor (part-time), Music, 7/1/14 through 6/30/15.

Ubelhor, Anthony E., College of Communication and Information, Instructor (part-time), Library and Information Science, 8/16/14 through 6/30/15.

Van de Venne, Judy, College of Social Work, Instructor (part-time), 7/1/14 through 6/30/15.

Vance, Michael, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/1/14 through 12/31/14.

Walinsky, Daniel, College of Education, Lecturer, Educational, School, and Counseling Psychology, 7/1/14 through 6/30/15.

Wall, Maryjean, College of Arts and Sciences, Instructor (part-time), History, 5/16/14 through 6/10/14.

Wallace, Brian, College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Wang, Zhenming, College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

Ward, Rodney, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Weber, Michelle L., College of Social Work, Instructor (part-time), 7/1/14 through 6/30/15.

Weisenfluh, Gerald A., College of Arts and Sciences, Assistant Adjunct Professor, Earth and Environmental Sciences, 7/1/14 through 6/30/16.

Wenk, DeeAnn L., College of Arts and Sciences, Instructor (part-time), Sociology, 8/1/14 through 12/31/14.

Whitaker, Melissa, College of Social Work, Clinical Instructor, 7/1/14 through 6/30/15.

Whitlow, Patricia C., College of Arts and Sciences, Instructor (part-time), Dean's Office, 8/1/14 through 12/31/14.

Wilke, David G., Undergraduate Education, Instructor (part-time), Honors Program, 8/16/14 through 5/15/15.

Williams, Lisa G., College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Wilson, Kenneth, College of Social Work, Instructor (part-time), 8/16/14 through 6/30/15.

Wilson, Virginia C., Graduate School, Assistant Adjunct Professor, Martin School of Public Policy and Administration, 7/1/14 through 6/30/15.

Wisdom, Charles P., College of Law, Instructor (part-time), 8/1/14 through 12/31/14.

Wise, George M., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/14 through 6/30/15.

Wojasinski, William, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Woodrum, Kim, College of Arts and Sciences, Senior Lecturer, Chemistry, 7/1/14 through 6/30/17.

Woods, Dorothy K., Undergraduate Education, Instructor (part-time), Honors Program, 8/16/14 through 5/15/15.

Wright, Jennifer, College of Pharmacy, Assistant Professor (voluntary), Pharmacy Practice and Science, 7/1/11 through 6/30/16.

Xiang, Lin, College of Arts and Sciences, Instructor (temporary), Biology, 7/1/14 through 10/1/14.

Xiong, Ying J., Graduate School, Instructor (part-time), Patterson School of Diplomacy and International Commerce, 8/1/14 through 6/30/15.

Yeary, Katherine, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/14 through 6/30/15.

Zeps, Valdis, College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/14 through 12/31/14.

E. CHANGES

Aalboe, Joanna A., College of Dentistry, from Assistant Research Professor, Oral Health Science, to Assistant Professor*, Oral Health Science, 10/1/14 through 6/30/15.

Abboud, Joshua M., College of Arts and Sciences, from Lecturer, English, to Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Alvarez, Steven P., College of Arts and Sciences, from Assistant Professor, English, to Assistant Professor, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Barbour, John T., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Barlow, Melissa Sue, Libraries, from Administrative Staff Officer II, to Dean Assistant/Administration, effective 10/15/14.

Barton-Baxter, Marietta, from Research, Clinical Administrator II, UK Clinical Research Organization, to Center for Clinical Translational Sciences, Regulatory Program Director, effective 10/1/14.

Beckmann, Joshua S., College of Arts and Sciences, Assistant Professor, Psychology, from 7/1/14 through 6/30/16, to 8/1/14 through 6/30/16.

Cain, John P., College of Arts and Sciences, from Instructor (temporary), Statistics, to Instructor (part-time), Statistics, 10/1/14 through 6/30/15.

Childers, Crystal G., from UKHC, Nurse Clinical/On-Call, Critical Care Services, to College of Medicine, Advanced Practice Provider I, Surgery, effective 9/15/14.

Connors-Manke, Elizabeth A., College of Arts and Sciences, from Lecturer, English, to Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Elliott, Rachel A., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/16/14 through 5/15/15.

Endres, William F., College of Arts and Sciences, from Assistant Professor, English, to Assistant Professor, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Fields, Jim, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Forren, Janet L., College of Nursing, from Assistant Professor, to Assistant Professor*, 1/1/15 through 6/30/15.

Goan, Melanie, College of Arts and Sciences, from Lecturer, History, to Assistant Professor*, History, 7/1/14 through 6/30/15.

Hanley, Carol D., College of Agriculture, Food and Environment, from Environmental Outreach Programs Director, Center for the Environment, to International Programs in Agriculture Director Associate, International Programs for Agriculture, effective 9/3/14.

Hawthorne, Kelly Dawkins, from UKHC, Nurse Clinical, Cancer Clinic Services, to Advanced Practice Provider, Internal Medicine, College of Medicine, effective 10/19/14.

Henri-Martin, Fabiola S., College of Arts and Sciences, from Instructor (part-time), English, to Assistant Professor, English, 8/1/14 through 6/30/16.

Hutchinson, Deborah L., College of Medicine, Adjunct Instructor, Behavioral Science, 8/1/14 through 6/30/15, to Instructor (part-time), Behavioral Science, 8/1/14 through 6/30/15.

Kirven, Lee E., College of Arts and Sciences, from Lecturer (visiting), Hispanic Studies, to Instructor (part-time), Hispanic Studies, 8/1/14 through 12/31/14.

Leddin, Jamie P., College of Communication and Information, from Instructor (part-time), Library and Information Science, to Instructor (part-time), Communication, 8/16/14 through 5/15/15.

Leddin, Patrick, College of Communication and Information, from Instructor (part-time), Library and Information Science, to Instructor (part-time), Communication, 8/16/14 through 6/30/15.

Lueker, Dustin C., College of Arts and Sciences, from Instructor (temporary), Statistics, to Instructor (part-time), Statistics, 10/1/14 through 6/30/15.

Maiti, Richard R., from Libraries, Server Administrator/Programmer, to College of Pharmacy, Database Administrator, Research and Data Management Center, effective 8/4/14.

Manson, Andrew J., College of Design, from Instructor (temporary), Architecture, to Instructor (visiting), Architecture, 7/1/14 through 6/30/15.

Manzo, Christopher M., College of Design, from Instructor (part-time), Architecture, to Instructor (temporary), Interiors: Planning/Strategy/Design, 7/1/14 through 6/30/15.

Marinelli, James M., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Marksbury, Tom, College of Arts and Sciences, from Senior Lecturer, English, to Senior Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/17.

McNely, Brian J., College of Arts and Sciences, from Assistant Professor, English, to Assistant Professor, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Modenbach, Jeffrey C., College of Arts and Sciences, from Instructor (temporary), Statistics, to Instructor (part-time), Statistics, 10/1/14 through 6/30/15.

Montgomery, Teresa R., College of Agriculture, Food and Environment, from Agriculture Extension Agent County, Extension Field Programs, to Agriculture Extension Specialist Senior, Family and Consumer Sciences, effective 8/1/14.

Parsley, David, College of Engineering, from Industry Extension Specialist II, Institute of Research for Technology Development, to Industry Extension Specialist III, Institute of Research for Technology Development, effective 9/1/14.

Patel, Amit B., College of Medicine, from Assistant Professor*, Otolaryngology - Head and Neck Surgery, to Clinical Assistant Professor, Otolaryngology - Head and Neck Surgery, 1/1/15 through 6/30/15.

Paulose, Jiffin K., College of Arts and Sciences, from Instructor (temporary), Biology, to Instructor (part-time), Biology, 10/7/14 through 6/30/15.

Perez Pacheco, Cristina V., College of Dentistry, from Clinical Assistant Professor, Oral Health Science, to Assistant Professor*, Oral Health Science, 9/1/14 through 6/30/15.

Prats, Judith, College of Arts and Sciences, from Senior Lecturer, English, to Senior Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/17.

Ridolfo, James P., College of Arts and Sciences, from Assistant Professor, English, to Assistant Professor, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/15.

Risk, Heather M., College of Arts and Sciences, from Adjunct Instructor, Psychology, to Instructor (part-time), Psychology, 5/13/14 through 8/7/14.

Rogers-Carpenter, Katherine L., College of Arts and Sciences, from Senior Lecturer, English, to Senior Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/17.

Rolfes, Siobhan B., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Scalise, Brandy M., College of Arts and Sciences, from Lecturer, English, to Lecturer, Writing, Rhetoric and Digital Studies, 7/1/14 through 6/30/16.

Schroot, Lisa M., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (temporary), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Seabolt, John P., College of Arts and Sciences, from Adjunct Instructor, Biology, to Instructor (part-time), Biology, 7/1/14 through 6/30/15.

Shumer, Daniel, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Sizemore, Stephen D., from Libraries, Dean Assistant/Administration, to College of Agriculture, Food and Environment, Chief Financial Officer/Agriculture, effective 9/8/14.

Snow, Jeffrey N., College of Engineering, from Development Officer, Engineering Alumni-Development, to Development Assistant IV, Engineering Alumni-Development, effective 8/1/14.

Srinivasan, Madhusudan P., College of Arts and Sciences, from Instructor (temporary), Biology, to Instructor (part-time), Biology, 10/1/14 through 6/30/15.

Tilghman, Craig M., College of Medicine, from Clinical Assistant Professor, Obstetrics and Gynecology, to Assistant Professor (part-time), Obstetrics and Gynecology, 9/22/14 through 6/30/15.

Van Sickels, Mary, College of Arts and Sciences, from Instructor (part-time), English, to Instructor (part-time), Writing, Rhetoric and Digital Studies, 8/1/14 through 12/31/14.

Whitlock, Tammy, College of Arts and Sciences, from Lecturer, History, to Assistant Professor*, History, 7/1/14 through 6/30/16.

Xiang, Lin, College of Arts and Sciences, from Instructor (temporary), Biology, to Instructor (part-time), Biology, 10/2/14 through 6/30/15.

F. TERMINAL REAPPOINTMENTS

Gulla, Darrin V., College of Business and Economics, Lecturer, Economics, 7/1/14 through 6/30/15.

Li, Zhenyu, College of Medicine, Assistant Professor, Internal Medicine, and joint appointment as Assistant Professor, Molecular and Cellular Biochemistry, 11/1/14 through 10/31/15.

G. LEAVES OF ABSENCE

- Anglin, Mary, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, and joint appointment as Associate Professor (without tenure), Health Behavior, College of Public Health, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Baer, Ruth, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Berry, David, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Bordo, Susan, College of Arts and Sciences, Professor (with tenure), Gender and Women's Studies, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Braun, Benjamin J., College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Carswell, Catherine, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Francis, James, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Giancarlo, Matthew C., College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at full salary, 1/1/16 through 6/30/16.
- Harris, Rosalind P., College of Agriculture, Food and Environment, Associate Professor (with tenure), Community and Leadership Development, and joint appointment as Associate Professor (without tenure), Sociology, College of Arts and Sciences, Sabbatical leave at full salary, 7/1/15 through 12/31/15.
- Ilahiane, Hsain, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Inoue, Masamichi, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Johnson, J. David, College of Communication and Information, Professor (with tenure), Communication, Sabbatical leave at half salary, 7/1/15 through 6/30/16.
- Karan, Paul, College of Arts and Sciences, Professor (with tenure), Geography, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Kim, Daehyun, College of Arts and Sciences, Associate Professor (with tenure), Geography, Sabbatical leave at full salary, 1/1/16 through 6/30/16.
- Kim, Sung Hee, College of Arts and Sciences, Associate Professor* (with tenure), Psychology, Sabbatical leave at full salary, 1/1/16 through 6/30/16.
- Molzon, Robert, College of Arts and Sciences, Associate Professor (with tenure), Mathematics, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.
- Morey, Daniel S., College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Mountford, Roxanne D., College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at full salary, 7/1/15 through 12/31/15.

O'Hara, Bruce, College of Arts and Sciences, Professor (with tenure), Biology, Entrepreneurial leave, 4/1/14 through 3/31/15.

Petrone, Karen, College of Arts and Sciences, Professor (with tenure), History, Sabbatical leave at full salary, 7/1/15 through 12/31/15.

Pool, Christopher, College of Arts and Sciences, Professor (with tenure), Anthropology, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Rouhier-Willoughby, Jeanmarie, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Rueda, Ana, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Smith, Gerald, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Smith, Gregory, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at full salary, 1/1/16 through 6/30/16.

Starr-LeBeau, Gretchen, College of Arts and Sciences, Associate Professor (with tenure), History, Leave without pay, 7/1/15 through 6/30/16.

Woolery, Edward, College of Arts and Sciences, Professor (with tenure), Earth and Environmental Sciences, Sabbatical leave at full salary, 7/1/15 through 12/31/15.

Zoubir-Shaw, Sadia, College of Arts and Sciences, Associate Professor* (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at two-thirds salary, 7/1/15 through 6/30/16.

H. RESIGNATIONS

Amato, Mariana, College of Arts and Sciences, Assistant Professor, Hispanic Studies, effective 1/31/14.

Brown, Tamara, College of Arts and Sciences, Associate Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, effective 6/30/14.

Choi, Moon J., College of Social Work, Assistant Professor, effective 6/30/14.

Ely, Gretchen E., College of Social Work, Associate Professor (with tenure), effective 6/30/14.

Filosofova, Tatiana, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literature, and Cultures, effective 5/16/14.

Guillory, Ivan, College of Education, Lecturer, Kinesiology and Health Promotion, effective 6/30/14.

Handley, Judith L., College of Medicine, Clinical Associate Professor, Anesthesiology, effective 12/31/14.

Jadhav, Emmanuel D., College of Public Health, Assistant Research Professor, Health Management and Policy, effective 8/18/14.

Katchova, Ani L., College of Agriculture, Food and Environment, Associate Professor (with tenure), Agricultural Economics, effective 12/31/14.

Kelly, Elizabeth P., College of Arts and Sciences, Lecturer, Mathematics, effective 6/30/14.

Knox, Tamara L., College of Medicine, Clinical Associate Professor, Family and Community Medicine, effective 9/6/14.

Liu, De, College of Business and Economics, Associate Professor (with tenure), Marketing and Supply Chain, effective 6/30/14.

Massarweh, Suleiman A., College of Medicine, Clinical Associate Professor, Internal Medicine, effective 1/2/15.

Mateer, G. D., College of Business and Economics, Senior Lecturer, Economics, effective 6/30/14.

Mimms, William R., College of Medicine, Clinical Assistant Professor, Otolaryngology-Head and Neck Surgery, effective 11/30/14.

Montgomery, Martin W., College of Arts and Sciences, Lecturer, Mathematics, effective 6/30/14.

Oliver, Christopher S., College of Arts and Sciences, Lecturer, Sociology, effective 6/30/14.

Pena, Luis R., College of Medicine, Associate Professor* (with tenure), Internal Medicine, effective 1/31/15.

Pfeifle, Andrea L., College of Medicine, Assistant Professor*, Family and Community Medicine, effective 9/14/14.

Richer, Edward J., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Clinical Assistant Professor, Pediatrics, effective 12/15/14.

Rivers, Ishwanzya D., College of Education, Lecturer, Educational Policy Studies and Evaluation, effective 6/30/14.

Sawyer, Audrey H., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, effective 6/30/14.

Sawyer, Derek E., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, effective 6/30/14.

Wright, Heather R., College of Medicine, Clinical Associate Professor, Surgery, effective 1/19/15.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Davis, George, Pharmacist Program Coordinator/ UKHC, Pharmacy Services, effective 10/05/2014
Gough, Michael, Ambulatory Retail Pharmacist/ UKHC, Pharmacy Services, effective 11/10/2014
Hedger, Suellen, Patient Care Manager/UKHC, Hospital Pediatrics Administration, effective 08/25/2014
James, Brandon William, Ambulatory Retail Lead Pharmacist/UKHC, Chandler Retail Pharmacies, effective 08/06/2014
Karr, Alissa, Pharmacist/ UKHC, Pharmacy Services, effective 08/11/2014
Nestor, Melissa, Pharmacist Clinical Staff/ UKHC, Pharmacy Services, effective 08/11/2014
Schmocker, Heather, Pharmacist/UKHC, Pharmacy, effective 10/19/2014
Thomas, Christina, Service Line Manager/UKHC, OR Administration, effective 06/16/2014

B. CHANGES

Bryant, Elizabeth, from RN Division Charge/UKHC, Emergency Department to Emergency Department Operations Manager/UKHC, Emergency Department Administration, effective 06/29/2014
Dotson, Sherri, from Nurse Clinical/UKHC, Unit 5 Main/Acute Care Services Good Samaritan to Patient Care Manager/UKHC, 5 Main Administration/Acute Care Services Good Samaritan, effective 06/29/2014
Ritzler, Jeff, from RN Division Charge/UKHC, Emergency Department to Emergency Department Operations Manager/UKHC, Emergency Department Administration, effective 06/29/2014
Westendorf, Colleen Lynn, from Pharmacist/UKHC, Pharmacy Services to Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 06/29/2014
Zembrodt, James, from Strategic Plan/Decision Support Director/UKHC, EVPHA Finance to Associate Vice President Strategic Planning/UKHC, EVPHA, effective 09/21/2014

C. RETIREMENTS

Belcher, Ora W., Nurse Clinical, after 9 years and 3 months of consecutive service under AR 3:1 Section VIII.A., effective 08/20/2014
Buckler, Joetta, Staff Support Associate II, after 23 years and 10 months of consecutive service under AR 3:1 Section VIII.A., effective 11/03/2014

Griffieth, Richard, Pharmacist Clinical Staff, after 32 years and 6 months of consecutive service under AR 3:1 Section VIII.A., effective 09/21/2014
Hayes, Kristy E., Nurse Clinical, after 9 years and 9 months of consecutive service under AR 3:1 Section VIII.A., effective 10/14/2014
Keck, Stephen J., Data Entry Operator, after 18 years and 3 months of consecutive service under AR 3:1 Section VIII.A., effective 09/30/2014
Lewis, Janice A., Medical Records Chart Coordinator Senior, after 16 years and 4 months of consecutive service under AR 3:1 Section VIII.A., effective 01/05/2014
Neal, Wanda S., Patient Clerical Assistant Senior, after 27 years and 10 months of consecutive service under AR 3:1 Section VIII.A., effective 09/18/2014
Richardson, Sheila S., Utilization Management Reviewer, after 33 years and 5 months of consecutive service under AR 3:1 Section VIII.A., effective 08/30/2014

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Hollar, Susan, Epilepsy Program Coordinator/UKHC, Neurosciences, effective 10/20/2014
Reffett, Susan, Markey Cancer Center Affiliate Network Quality Assurance and Education Coordinator/UKHC, Office of the EVPHA, effective 10/20/2014
Stakelin, James, Field Service Engineer III/UKHC, EVPHA Information Technology, effective 09/07/2014

B. CHANGES

Bentley, Sarah, from Chief Medical Office Coordinator/ UKHC, Chief Medical Office to Chief of Staff/Chief Medical Officer/Chief Nursing Officer/UKHC, EVPHA Administration, effective 07/13/2014
Craft, Rebecca, from Physician Marketing Liaison/UKHC, EVPHA Marketing to Transplant Network Administrator/UKHC, Transplant Services, effective 10/19/2014
Howard, Courtney, from Division Charge Nurse/UKHC, Obstetrics Administration to Clinical Nurse Specialist/UKHC, Nursing Practice and Support Services, effective 06/01/2014
Hucaby, David, from Enterprise Architect II/UKHC, Network to Network Engineer IV/UKHC, EVPHA Information Technology, effective 07/01/2014
Kirkpatrick, Carrie, from Registered Nurse/UKHC, Cardiac Intensive Care Unit to Assistant Patient Care Manager/UKHC, Central Monitoring Station, effective 07/13/2014
Porter, Jessica, from Nurse Clinical/UKHC, Critical Care Services to Patient Care Manager Assistant/UKHC, Critical Care Services Administration, effective 07/13/2014

Wright, Matilda, from Trauma Coordinator/UKHC, Trauma and Acute Care Surgical Services to Nurse Trauma Coordinator/Manager/UKHC, Trauma and Acute Care Surgical Services, effective 06/29/2014

Yocum-Saulsberry, Rhonda, from Nurse Clinical/UKHC, Trauma and Acute Care Surgical Services 8 Main to Patient Care Manager Assistant/UKHC, Trauma and Acute Care Surgical Services Administration

PR 1

Office of the President
December 9, 2014

1. Gatton Bestows Largest Gift in University's History

UK alumnus and Trustee Carol Martin (Bill) Gatton made the largest gift in the University's history in September with a \$20 million contribution toward the construction of the new 330,000-square-foot Student Center. The \$175 million renovation and expansion was authorized by the Kentucky legislature during its 2014 session, part of more than \$1 billion in self-financed campus transformation projects. It is scheduled to open in 2017.

2. Enrollment Numbers Show Revitalization is Working

Fall enrollment topped 30,000 students for the first time in University history, shattering several other UK records in the process. Preliminary figures showed record growth in the number of incoming freshmen for the third consecutive year, with a first-year class of 5,188 students, up from 4,684 in 2013. This year's class includes 10 students with perfect ACT/SAT scores. Applications are up 70 percent since 2009, with Fall 2014 marking the first time that number has exceeded 20,000. African-American enrollment overall at 2,107, Hispanic enrollment at 849 and international enrollment at 807 reach new highs.

3. Kentucky Awarded \$20 Million to Grow 'New Energy Economy'

Kentucky has been granted a five-year, \$20 million Research Infrastructure Improvement Track 1 award from the National Science Foundation's Experimental Program to Stimulate Competitive Research (EPSCoR). An additional \$4 million in matching funds comes from Kentucky EPSCoR, bringing total funding for the project to \$24 million. Kentucky's project, titled "Powering the Kentucky Bioeconomy for a Sustainable Future," provides a major upgrade to the Commonwealth's research infrastructure, with targeted investments at 10 Kentucky research and higher education institutions. Its principal investigator is Dr. Rodney Andrews, director of the University of Kentucky Center for Applied Energy Research.

4. UK to Lead \$14.9 Million Project to Study Care Transitions

The Patient-Centered Outcomes Research Institute (PCORI) approved a recommendation for UK's Dr. Mark V. Williams, director of the Center for Health Services Research, to lead a three-year contract for one of PCORI's priority projects, "Effectiveness in Transitional Care." The \$14.9 million project will identify the most effective approaches for care transitions as patients move between hospitals, or from hospitals to nursing homes, or to their own homes. PCORI is an independent, nonprofit organization authorized by Congress as part of the Patient Protection and Affordable Care Act.

5. Unprecedented Partnership to Promote Innovative Food Economy

President Eli Capilouto in September announced an unprecedented \$5 million public-private partnership, between the University and global food service leader Aramark, designed to elevate and promote a vibrant, healthy, sustainable food economy in Kentucky. The Food Connection at the University of Kentucky, housed in the UK College of Agriculture, Food and Environment, seeks to leverage UK's innovation and research and Aramark's market position to advance Kentucky's food economy. Partnering closely with the Kentucky Department of Agriculture, Kentucky farmers, community partners, and consumers, the Food Connection at UK aims to enhance the production, distribution, and consumption of local and Kentucky Proud food products.

6. UK, EKY Partner to Advance Health and Safety in Central Appalachia

The Central Appalachian Regional Education and Research Center (CARERC), housed in the University of Kentucky College of Public Health, has received a five-year, \$5 million grant to advance occupational health and safety in Central Appalachia and Kentucky. The funding, from the National Institute for Occupational Safety and Health at the Centers for Disease Control and Prevention, will support CARERC as a cohesive, fully equipped and recognized resource for occupational safety and health research and training in Central Appalachia.

7. Cabinet Grants \$1.8 Million to Combat Obesity

The University entered a \$1.8 million cooperative agreement with the U.S. Department of Health and Human Services to focus on lowering obesity rates in the Kentucky communities most affected. Researchers and extension personnel in UK's College of Agriculture, Food and Environment and the UK College of Public Health, will work in six Kentucky counties that have obesity rates higher than 40 percent, which include Logan, Clinton, Lewis, Martin, Letcher and Elliott counties.

8. Junior Faculty Research Development Program Receives Third-Phase Funding

The University's Center of Biomedical Research Excellence (COBRE) on the Molecular Basis of Human Disease was recently awarded a third phase of National Institutes of Health funding, in the amount of \$1.25 million annually, for a program to develop its research community and center dedicated to human disease. The program, launched in 2004, fosters the research endeavors of junior faculty, providing mentorship, resources, pilot projects and facilities to enhance translational studies on major health issues within the state, including cancer, neurodegenerative diseases, diabetes and cardiovascular diseases.

9. 'Green Dot' Effective at Reducing Sexual Violence

The "Green Dot" violence-prevention program developed at the University of Kentucky has been effective in reducing sexual violence, according to preliminary findings from a five-year study evaluating the program in Kentucky high schools. The study, led by Ann Coker at UK's Center for Research on Violence Against Women, found a greater than 50 percent reduction in the self-

reported frequency of sexual violence perpetration by students at schools that received the Green Dot training, compared to a slight increase at schools that did not. The study also found a 40 percent reduction in self-reported frequency of total violence perpetration — including sexual violence, sexual harassment, stalking and dating violence — at the Green Dot schools, compared to a small reduction at the non-Green Dot schools.

10. Investment in UK Yielding Returns for State in Better Health, Economy

President Eli Capilouto and Dr. Mike Karpf, the University's executive vice president for health affairs, recently appeared before a joint meeting of the state legislature's Economic Development and Industry committees. President Capilouto told lawmakers that the state's \$280 million annual investment in UK results in a university budget of more than \$3 billion, which supports its four core missions of teaching, research, service and health care. In particular, President Capilouto said that state investment has helped UK's health care system add more than 5,000 jobs in the last decade. UK HealthCare jobs have an average full-time salary of nearly \$70,000 annually. Moreover, he told lawmakers, the institution's research efforts resulted in a \$400 million contribution to the state's economy last year and nearly 9,500 jobs at UK and across the Commonwealth.

11. Ambati Honored for Discovery of Newly Discovered Type of DNA

Dr. Jayakrishna Ambati, professor and vice chair of the Department of Ophthalmology and Visual Sciences, was chosen to receive the prestigious National Institutes of Health (NIH) Director's Pioneer Award, one of only 10 recipients in 2014 and Kentucky's first. The Pioneer Award, totaling \$3.76 million over five years, is given to exceptionally creative and visionary scientists who propose highly innovative approaches to major challenges in biomedical research that could yield potentially high payoffs for human health. Ambati, who holds the Dr. E. Vernon & Eloise C. Smith Endowed Chair in Macular Degeneration Research, received the award to support his study of a newly discovered type of DNA that could have a profound impact on biology and medicine.

12. Markey Researchers Develop Web-Based App to Predict Glioma Mutations

A new, web-based program developed by researchers at the Markey Cancer Center will provide a simple, free way for health care providers to determine which brain tumor cases require testing for a genetic mutation. Gliomas, a type of tumor that begins in the brain or spine, are the most common and deadly form of brain cancer in adults. In some cases, patients have a mutation in a specific gene, IDH1. The program, developed by researchers Li Chen, Eric Durbin, and Craig Horbinski, in collaboration with software architect Isaac Hands of the UK Markey Cancer Center Cancer Research Informatics Shared Research Facility, uses a statistical model to accurately predict the likelihood that a patient carries the IDH1 mutation and requires screening.

13. Study of Oxidized LDL Shows Early Promise for Treatment of Heart Disease

A team of investigators has made a thought-provoking discovery about a type of cholesterol previously believed to be a "bad guy" in the development of heart disease and other conditions.

Jason Meyer, a University of Kentucky M.D.-Ph.D. candidate, worked with Deneys van der Westhuyzen, a professor in the departments of Internal Medicine and Molecular and Cellular Biochemistry, to study the role oxidized LDL plays in the development of plaque inside artery walls. Their findings, published in the August issue of the Journal of Lipid Research, indicate that mildly oxidized LDL almost completely prevents increases in cholesterol. The researchers say their findings add to a body of new evidence that could someday yield effective treatments for heart disease.

14. Smoke-Free Campaign Strategies Used to Promote Physical Activity, Combat Obesity

In a state with high rates of tobacco use and obesity, Melinda Ickes, assistant professor in the College of Education's Department of Kinesiology and Health Promotion, is exploring whether similar models of promoting community readiness to adopt health policies might be effective in addressing both risk factors. Ickes' current project aims to create political and societal support for physical activity policies by building upon the best practices of a successful, evidence-based policy development approach that advanced smoke-free policies in Kentucky. Ickes and her team will test the feasibility of a community readiness assessment for promoting evidence-based physical activity policies in two rural Kentucky communities, Jackson and Perry counties.

15. Markey, Nanjing Medical University in China Sign Cooperation Memorandum

The Markey Cancer Center has signed a memorandum of cooperation to collaboratively study lung cancer with the Nanjing Medical University in the Jiangsu Province, China. Dr. Mark Evers, the Center's director, and Daret St. Clair, its associate director for basic research, visited Nanjing Medical University to sign the memorandum with Nanjing Medical University Chancellor Shen Hongbin agreeing to collaborate on future projects in cancer research.

16. Gill Partners with ARH, Manchester Memorial to Expand Heart Care Services

Manchester Memorial Hospital, Appalachian Regional Healthcare (ARH) Cardiology Associates and the University of Kentucky's Gill Heart Institute announced they have partnered to offer Clay County and surrounding areas access to advanced cardiology services. As part of the partnership, Dr. Keerthana Karumbaiah, a general cardiologist, is now practicing at the Memorial Hospital and accepting patients at the Manchester Memorial Cardiology Clinic. ARH and the Gill Heart Institute joined forces last year in a collaboration aimed at improving access to tools for the diagnosis and treatment for cardiovascular diseases.

17. Markey, Hardin Memorial Join to Provide Complex Cancer Care

Hardin Memorial Health (HMH) is the latest regional cancer care provider to affiliate with Markey Cancer Center, the state's first and only National Cancer Institute-designated cancer center. By joining Markey's affiliate network, the HMH Cancer Care Center will be able to offer their patients access to additional specialty and subspecialty physicians and care, including clinical trials and advanced technology, while allowing them to stay closer to home for most treatments. The Markey Cancer Center Affiliate Network supports UK HealthCare's overall

mission of ensuring no Kentuckian will have to leave the state to get access to top-of-the-line health care.

18. UK First University to Produce Live Orchestration of '2001: A Space Odyssey'

The Singletary Center for the Arts and the University of Kentucky School of Music will make history in January 2015 with the live orchestration of Stanley Kubrick's legendary film, "2001: A Space Odyssey," featuring the UK Symphony Orchestra, conducted by John Nardolillo, and the UK Chorale, conducted by Jefferson Johnson. This will be the first-ever performance of the repertoire by a university orchestra and chorus. Highly regarded as the most groundbreaking sci-fi movie of all time, "2001" is a masterpiece achievement of artistic innovation in cinema and is known for its astute integration of music into the film.

19. Speaker Series Offered Experts on Varied Higher Education Topics

The "see tomorrow.": Strategic Plan Speaker Series brought in three major speakers during the fall semester. Andrew Furco, an associate professor and associate vice president for public engagement at the University of Minnesota spoke on the topic of community engagement on October 9; Leonard Sandridge, former executive vice president and chief operating officer at the University of Virginia discussed campus infrastructure on October 20; and on November 6, Mary Sue Coleman, former University of Kentucky faculty member and former president of the University of Michigan spoke about the need for America's universities to be more innovative and entrepreneurial.

20. UK Joins Clinton Global Initiative University Network

UK has joined the Clinton Global Initiative University Network, a growing consortium of colleges and universities that support, mentor and provide seed funding to student leaders, innovators and entrepreneurs developing solutions to some of the world's most pressing challenges. More than 40 colleges and universities worldwide have joined. UK will provide \$10,000 in funding to be divided among UK students who are selected to pursue "Commitments to Action," specific and measurable initiatives that address global challenges across five focus areas: education, environment and climate change, peace and human rights, poverty alleviation, and public health.

21. New Student Recruitment Tool Unveiled — Virtual Campus Tours

The University of Kentucky launched two new virtual tours in November — one of the main campus and one of residence halls — through the YouVisit platform. The YouVisit tours provide both prospective undergraduate and graduate students an up-close and engaging view of the university. A collaboration between the UK Office of Public Relations and Marketing and Enrollment Management, the online tours simulate an actual campus tour. Each is led by a Visitor Center tour guide who describes every tour stop and gives fun and useful information about UK, academics and campus services along the way. View the new virtual tours at www.youvisit.com/tour/uky.

22. Homecoming 2014 Incorporated New Events

The Homecoming Coalition, the UK Alumni Association and the Big Blue Nation celebrated Homecoming 2014 October 18-26, with a wide range of events — many of them new, including Royalty Showcase and the Street Fair Celebration. The theme this year was “The Great Catsby: Roaring Traditions Since 1915.”

23. Students Participate in UK's First Thank-A-Donor Day

Sponsored by UK Student Government and coordinated by the UK Office of Annual Giving, the University's first Thank-A-Donor Day took place October 22. A large banner was mounted on campus in the area between White Hall Classroom Building and the Ralph G. Anderson Building, and hundreds of students wrote personal thank you notes on the banner or made short video recordings of gratitude. Photos and video of the event were shared with UK donors via email at Thanksgiving.

24. School of Journalism and Telecommunications Celebrates 100 Years

The 2014-15 year marks 100 years of journalism education at the University of Kentucky. The Department of Journalism began in 1914 under department chair Enoch Grehan. Under Grehan's direction, the department became one of the nation's pioneers in the field of professional journalism instruction. The journalism department grew from a small beginning to become one of 32 Class A departments in the nation. Today, the journalism program is one of three undergraduate degree programs in the School of Journalism and Telecommunications. The anniversary will be celebrated with special programming throughout the 2014-15 academic year.

25. OLLI Marks 50th Anniversary of Lifelong Learning at UK

The University's Osher Lifetime Learning Institute (OLLI) held a “50th Anniversary of Lifelong Learning Jubilee” in October in the Keene Barn and Entertainment Center at Keeneland. The event featured special guest President Eli Capilouto and the debut of a video titled, "Celebrating 50 Years of Lifelong Learning at UK." The lifelong learning program was the brainchild of former UK President Herman Donovan, who envisioned a program for continuing education for persons 65 and older. In 1964, the UK Board of Trustees approved the Herman L. Donovan Fellowship for Senior Citizens, allowing a tuition waiver for individuals over the age of 65. The first 16 Donovan Scholars, ranging in age from 65 to 84 years old, were admitted that fall.

26. UK, Toyota Commemorate 20 Years of Partnership

The Lean Systems Program and the Painting Research and Development Program at the University of Kentucky officially marked their 20th anniversary during the fourth annual Lean Systems Users Conference held in October in Lexington. The programs, the product of an ongoing collaboration between Toyota and the UK College of Engineering, provide training and research in lean systems and manufacturing technology. Invited speakers at the celebratory

dinner presentation included former Kentucky Gov. Martha Layne Collins, Toyota President Simon Nagata, Toyota Motor Manufacturing Kentucky President Wil James, and President Eli Capilouto, as well as program leaders and former Toyota executives in residence.

27. UK Women's Choir Rejoices in 20 Years of Music

The University of Kentucky Women's Choir celebrated 20 years at their fall concert held November 1 in the Singletary Center for the Arts Recital Hall. The fall concert is a special event in commemoration of the past 20 years of Women's Choir at the University. The show featured alumnae from the group, as well as from the a cappella group Paws and Listen. The program included a special four-song section by select Paws and Listen alumnae, led by former coach Raye Hurley. About 50 women from past years of the choir joined the concert.

28. DanceBlue Named One of Country's Biggest Fundraisers

DanceBlue came in at No. 8 on The Best Colleges' (<http://thebestcolleges.org>) list of Top 10 Biggest College Fundraisers. The list highlighted student-run philanthropy events on college campuses across the country. One of five dance marathons on the list, DanceBlue is proving to be a national leader. It is the "youngest" student-run event on the list and announced in December a \$1 million commitment to the newly renamed DanceBlue Kentucky Children's Hospital Pediatric Hematology and Oncology Clinic.

29. College of Law Ranked Among Top 20 Best Value Law Schools in the United States

The 2014 "back-to-school" issue of Pre Law Magazine ranked the University of Kentucky College of Law third among the nation's top 20 Best Value Law Schools, a move up from seventh place last year. Best Value Law Schools recognition is based on a combination of bar passage rates, employment rates, debt load and tuition.

30. Education Abroad Participation Jumps 24 Percent

The number of University of Kentucky students exploring the international dimension of their disciplines by studying abroad increased by 24 percent this past year to eight times the national average. The growth is massive, especially compared to the growth the rest of the nation is experiencing, at roughly three percent said Anthony Ogden, executive director of education abroad and exchanges at UK. The increasing number of students participating in Education Abroad programming is due in part to Ogden and his staff's efforts to understand the goals of every academic department on campus.

31. See tomorrow: The University of Kentucky Strategic Plan Speaker Series

A panel of experts led discussion on state legislative issues and their impact on the University in September as part of the Strategic Plan's "see tomorrow Speaker Series." The panel consisted of Stephen Byars, assistant vice president for government relations at the University of Kentucky; Merl Hackbart, interim director of the Martin School of Public Policy and Administration and Provost's Distinguished Service Professor of Finance and Public Administration; William Hoyt,

chair of the Department of Economics and Gatton Endowed Professor of Economics; and Stephen Voss, associate professor of Political Science.

32. Events Marked Opening of Newest Dining Locations on Campus

A succession of ribbon cuttings and a groundbreaking during the month of September helped usher in the University of Kentucky's newest dining and student support spots on campus since UK announced its partnership with global food service company Aramark: Common Grounds, in Champions Court residence hall; the 90 (groundbreaking), at the corner of Woodland and University Drive; K-Lair, in Haggin Hall; Rising Roll, in the Ralph G. Anderson Building; Einstein Bros. Bagels, in the Chemistry-Physics Building; and Subway Cafe, in the M.I. King Building.

33. Fire Marshal's Office Honored by Peers

The UK Office of the Fire Marshal received the 2014 Campus Safety, Health, and Environmental Management Association's (CSHEMA) Perks for Peers Award for the development and implementation of the Fire Safety Community Initiative. This award promotes interest in - and encourages development of - innovative programs that improve fire and life safety awareness at colleges and universities. UK was chosen out of more than 160 entries.

34. Equine Research Hall of Fame Inductees Announced

The University of Kentucky Gluck Equine Research Foundation inducted three scientists into the UK Equine Research Hall on October 9 at the UK Hilary J. Boone Center. Michelle LeBlanc, a posthumous inductee formerly of Rood and Riddle Equine Institute; Ernie Bailey, professor at the UK Gluck Equine Research Center; and Elwyn Firth, a professor at the University of Auckland in New Zealand, were selected for their contributions to equine science and research. Nominated by their peers and colleagues, LeBlanc, Bailey and Firth were selected by past Hall of Fame inductees.

35. UK Student-Athletes Break Graduation Record

University of Kentucky student-athletes set a school record for graduation rate in the annual report issued by the National College Athletic Association. The NCAA Graduation Success Rate (GSR), a four-year composite statistic for the freshman classes of 2004-05 through 2007-08, was 81 percent. That was up two points from last year and continued UK's trend of having broken or tied the mark for earning diplomas every year since the NCAA began charting graduation.

36. Medical Students Go West for Clinical Rotations through Initiative

Two UK medical students completed inaugural rotations through the Western Kentucky Initiative, a new program that places third-year students at medical practices and hospitals in Murray, Paducah, Bowling Green and Owensboro. Students complete five rotations required in their third-year curriculum during a 20-week period based in one or more of these communities. Like the students who participate in the College of Medicine Rural Physician Leadership

Program in Morehead, these students receive more hands-on opportunities to practice real-life medicine and one-on-one mentorship from practicing doctors.

37. Forensics Receives National Grant From Pi Kappa Delta

The UK Forensics team, a public speaking and debate student organization housed within the College of Communication and Information, has been awarded a nationally competitive grant from the forensics honorary society Pi Kappa Delta. The grant project will fund the development of instructional materials that will become part of a national resource database for new coaches and teams.

38. UK Choirs Welcomes 400+ High School Vocalists to Bluegrass Choral Music Festival

The University of Kentucky Choral Music Department hosted the 22nd annual Bluegrass Choral Music Festival in September. The event has become one of the largest high school choral festivals in the southeastern United States featuring more than 400 singers from across the state of Kentucky. A concert featuring these vocalists was held September 6, at the Singletary Center for the Arts. Participating students in the festival combined to form three large choirs.

39. New High-tech Interactive Campus Map Unveiled

Facilities Information Services unveiled a new, state-of-the-art, interactive online campus map in September. The map was developed to provide an array of services to UK students, employees and visitors. Features include: most efficient walking routes, including estimated travel times; ability for users to create their own maps; detailed transit information; photos of campus buildings; and Google Street View 360 degree images of campus sidewalks.

40. UK Receives Designation Renewal for Excellence in Life Support

University of Kentucky Albert B. Chandler Hospital and Kentucky Children's Hospital have received their second straight Excellence in Life Support designation from the Extracorporeal Life Support Organization (ELSO) for neonatal, pediatric and adult patients. The designation gives national recognition for providing outstanding care in Extracorporeal Life Support. ELSO also selected UK as one of only five centers to be presented as a Center of Excellence Award Winner at this year's ELSO conference in Ann Arbor, Michigan. The triple designation recognizes UK's commitment to using extracorporeal membrane oxygenation support for inpatients of all ages experiencing acute failure of the cardiorespiratory system. This technology can make the difference between life and death for patients whose heart and/or lungs are so severely damaged that they can no longer function.

41. Coffee Research Leads to Successful Partnership in Brazil

Michael Goodin, associate professor in the UK Department of Plant Pathology, was accompanied by two students studying agricultural biotechnology on a trip to Brazil for two months this past summer to study the prevalence of coffee ringspot virus (CoRSV) on coffee plants in Brazil's major production areas. Goodin and his students visited farms around Brazil in

order to collect infected coffee leaves to study the virus. The UK team partnered with another professor-student team from Universidade Federal de Lavras. The partnership was funded through two grants: one from the National Science Foundation in the United States and the other through the Brazilian equivalent.

42. Study Identifies Molecule That Induces Cancer-killing Protein

A new study led by UK researcher Vivek Rangnekar has identified a novel molecule named Arylquin 1 as a potent inducer of Par-4, a tumor-suppressing protein that kills cancer cells while leaving normal cells unharmed. Normal cells secrete small amounts of Par-4 on their own, but this amount is not enough to kill cancer cells. Notably, if Par-4 secretion is suppressed, this leads to tumor growth. Published in "Nature Chemical Biology," Rangnekar's study utilized lab cultures and animal models to show that low levels of Arylquin 1 induced Par-4 secretion without causing harm to the producer cells.

43. Healthcare Executive Leadership Program Expands

The Gatton College of Business and Economics and UK HealthCare are collaborating to offer the Executive Healthcare Leadership Program through the Don and Cathy Jacobs Executive Education Center. The Healthcare Executive Leadership Program has been operating for five years and boasts more than 130 graduated participants. This year's program has expanded to include not only UK HealthCare professionals but a wide range of other health care leaders representing additional organizations. This certificate program offers health care executives a cutting-edge curriculum tailored to health care organizations while exposing attendees to a wide range of business and managerial skills to strengthen their work environment.

44. Research Hopes to Prevent Bird-Plane Collisions

The type of grass planted at airports may be able to prevent bird-plane collisions in the air. UK entomology graduate student Diana Miller is determining if a grass variety developed in New Zealand can deter white grubs, earthworms and caterpillars, and as a result, creatures like blackbirds and gulls that feed on them. She is also interested in learning if the grass can deter Canada geese, deer and other grass-feeding wildlife that can be airport hazards. Her project at UK's Spindletop Research Farm is the first time the grass has been field tested in North America.

45. 'Tomorrow Corps' Offers Students a Chance to Work, Serve in Appalachia

Students have a new opportunity to work for the well-being of children in the 54 Appalachian counties of Kentucky, in the "UK Tomorrow Corps," a service initiative being launched by the UK Appalachian Center. The UK Tomorrow Corps program will provide summer employment and professional support for UK students tutoring K-12 students in math and literacy skills, in partnership with local libraries, public schools and community organizations. The initiative is intended to help young residents of Appalachia gain and retain skills through the summer and work toward their long-term educational goals.

46. Singletary Center Signature Series Brings Major Performers to UK

The Singletary Center for the Arts Signature Series presented Trombone Shorty and Orleans Avenue September 12; Branford Marsalis with the Chamber Orchestra of Philadelphia October 26; and Diego Garcia November 15. Upcoming performances in the series are: Tomaseen Foley's "A Celtic Christmas" December 21 and Joshua Bell with the UK Symphony Orchestra April 3, 2015.

47. UK Grad Tracking Ebola in Liberian Slums Featured in The New York Times

UK graduate Mosoka Fallah is following a trail of Ebola, instructing neighborhood leaders to report cases of sick victims of the disease and urging cooperation with government officials in the Liberian capital of Monrovia. Fallah's work in slum communities to help manage the Ebola virus was described in a New York Times article published September 13. A native of Liberia, Fallah studied at the University of Kentucky from 2005 to 2011, obtaining his doctorate in microbiology, immunology and molecular genetics in 2011. He subsequently received a master's in public health from Harvard University.

48. 'Suda On Line' Database is Complete

The first English translation of the entire Suda lexicon, a massive 10th century Byzantine encyclopedia, is complete after more than 16 years of collaborative, volunteer-driven work by a diverse group of scholars. Two UK faculty members, Ross Scaife, professor in the Department of Classics, and Raphael Finkel, professor in the Department of Computer Science, were heavily involved in the project from the beginning. The project suffered a tremendous loss with Scaife's untimely death in 2008. His collaborators say the Suda On Line (SOL) will be "a lasting monument to Ross's pioneering efforts" and note that his "legacy also lives on in a number of projects inspired and influenced by the SOL's methods and principles."

49. College of Education Professor to Teach Smithsonian Course

A new, free online course offered by the Smithsonian's National Museum of American History will be co-taught by Kathy Swan, associate professor of curriculum and instruction and director of the Next Generation Teacher Preparation program in the University of Kentucky College of Education. "Teaching Historical Inquiry with Objects" will offer teachers accessible strategies and tactics for incorporating inquiry-based learning methods into their existing history lessons.

50. Faculty and Staff Achievements

Allan Butterfield (Chemistry) received the Society of Free Radical Biology and Medicine's Mentoring Excellence Award.

Clyde Carpenter (Architecture) was presented with the C. Julian Oberwarth Award from the Kentucky Society of the American Institute of Architects.

Audrey Darville (College of Nursing) was named co-recipient of the 2014 American Association of Nurse Practitioners State Award for Excellence.

Lora Lee Frazier Howard (Cooperative Extension, Clay County) was named the National Extension Association of Family and Consumer Sciences 2014 Educator of the Year.

David Mannino (Public Health) was named a Fellow by the European Respiratory Society for his contributions to research, clinical leadership and education in respiratory medicine.

Huajing Maske (Office of China Initiatives and Confucius Institute at UK) received the Amici Linguarum (Friend of Languages) Award given by the Kentucky World Language Association.

James Norton (College of Medicine) received the Dan Martin Award for Lifelong Contributions to Rural Health from the Kentucky Rural Health Association.

Charlotte Peterson (Health Sciences) was invited to serve as a member of the Board of Scientific Counselors of the National Institute on Aging.

Jonathan Phillips (Geography) was recently awarded the David Linton Award, from the British Society for Geomorphology.

Michael Rodriguez (Kentucky Small Business Development Center) was posthumously awarded the 2014 Sutton Landry State Star for excellence in the KSBDC network.

Vicky Turner (UK HealthCare) was named co-recipient of the 2014 American Association of Nurse Practitioners State Award for Excellence.

51. Student Achievements

Katherine Beyer (Law) of Richmond, Virginia, won first place in the national 2014 IDEA Student IP Writing Competition for the article, "Hyper-Linking Content as Copyright Infringement: Not Worth All the Hype."

Lee Foster (Economics, Marketing) of Owensboro, Kentucky, was crowned the University of Kentucky Homecoming queen during halftime ceremonies at the UK vs. Mississippi State Homecoming game October 25.

Laura Frey (Family Sciences) of Griffin, Indiana, received the Student Award and the Outstanding Graduate Student Paper Award from the National Council on Family Relations.

Colby Hall (Biology, Spanish) of Somerset, Kentucky, was crowned the University of Kentucky Homecoming king during halftime ceremonies at the UK vs. Mississippi State Homecoming game October 25.

Jerram John (Music) of Lexington, earned the Best College Student Award during summer programming at the Brevard Music Center in North Carolina.

Mark Manczyk (Architecture) of Taylor Mill, Kentucky, won first place in the "Design Emphasis" Student Furniture Design Competition's accent tables category.

Jaye "Jaxcy" Odom (Psychology) of Albuquerque, New Mexico, received the Chickasaw Nation Lifetime Scholarship.

College of Medicine students Jenn Cotton, Carolyn Martinez and Brett Dickens won first place at the first-ever World Cup of Ultrasound Competition.

College of Pharmacy students and teammates Jonathan Hughes of Madison, Mississippi; Brette Hogan Conliffe of Frankfort, Kentucky; Savannah Lindsey of Glasgow, Kentucky; David Roy of Fort Thomas, Kentucky; and Carly Stoneman of Hendersonville, North Carolina, reached the national quarterfinals of the 2014 American College of Clinical Pharmacy's Clinical Pharmacy Challenge in October.

52. Alumni Achievements

Adam Eaton (Architecture, 2014) of Bellbrook, Ohio, received honorable mention in the "Design Emphasis" Student Furniture Design Competition in Atlanta.

Sarah Mohr (Architecture, 2014), of Smithton, Illinois, took first in seating in the "Design Emphasis" Student Furniture Design Competition in Atlanta.

Pauline Park Wilson Knapp (Home Economics, 1924) a college professor and administrator and expert in child development, was inducted posthumously into the UK School of Human Environmental Sciences Hall of Fame in October.

M. Raynor Mullins (Dentistry, 1968) received the 2014 Distinguished Alumnus of the Year Award from the University of Kentucky College of Dentistry Alumni Association in October.

Peggy Hurt Powell (Home Economics Education, 1968) retired Cooperative Extension agent in Montgomery County, was inducted into the UK School of Human Environmental Sciences Hall of Fame in October.

ASACR 1

Office of the President
December 9, 2014

Members, Board of Trustees:

CANDIDATES FOR DEGREES: DECEMBER 2014

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached list the degree to which he or she is entitled, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: Since the University of Kentucky will hold the December Commencement ceremonies immediately following completion of examinations, it is not possible for the University Registrar to certify satisfactory completion of requirements prior to the ceremonies for those candidates who complete their requirements in December.

For details on degree candidate listings,

please contact Sean Cooper

Registrar's Office

Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - December 2014**

Graduate Degrees:

Ph.D.	139
D.M.A.	5
Ed.D.	3
Ed.S.	6
M.A.	72
M.AR.	0
M.A.EDU.	3
M.A.ID.	1
M.B.A.	3
M.Ed.	2
M.F.A.	0
M.H.A.	0
M.H.P.	1
M.M.	4
M.P.A.	5
M.P.H.	18
M.P.P.	1
M.R.C.	36
M.S.	107
M.S.A.	1
M.S.BE.	6
M.S.BAE.	10
M.S.CD.	0
M.S.CIE.	10
M.S.CHE.	1
M.S.CTL.	0
M.S.CLD.	12
M.S.EDU.	19
M.S.E.E.	13
M.S.FOR.	6
M.S.FS.	0
M.S.FSC.	4
M.S.L.S.	31
M.S.MSE.	1
M.S.ME.	10
M.S.MAT.	3
M.S.MIE.	3
M.S.MSYE.	0
M.S.N.	0
M.S.N.S.	6
M.S.PAS.	0
M.S.RMP.	0
M.S.W.	2
TOTAL	543

Professional Degrees:

D.D.M.	0
D.N.P.	4
D.P.H.	9
D.P.T.	0
J.D.	6
M.D.	3
PharmD.	0
TOTAL	22

Undergraduate Degrees:

Agriculture, Food & Environment

BSAB	13
BSAEC	33
BSAG	1
BSASC	13
BSCTE	1
BSCLD	21
BSCCL	3
BSDIE	26
BSESM	19
BSFCSC	1
BSFSC	22
BSFOS	2
BSFOR	1
BSHPS	6
BSHM	15
BSHN	12
BSLA	1
BSMAT	13
BSNRC	2
BSNRES	8
TOTAL	213

Arts & Sciences

BA	211
BS	80
TOTAL	290

Gatton Business & Economics

BBA	142
BSA	57
BSBE	19
TOTAL	218

Communication & Information

BA	97
BS	35
TOTAL	132

Design

BAARC	13
BAID	1
TOTAL	14

Education

BAEDU	77
BSEDU	112
TOTAL	189

Engineering

BSBE	9
BSCHE	4
BSCIE	33
UK/WKU: BSCE	5
BSCOE	8
BSCS	24
BSEE	10
BSMAE	0
BSMEE	43
UK/WKU: BSMEE	2
BSMIE	8
TOTAL	146

Fine Arts

BA	33
BFA	3
BM	6
BMME	11
TOTAL	53

Health Sciences

BHS	28
TOTAL	28

Nursing

BSN	83
TOTAL	83

Social Work

BASW	25
TOTAL	25

Graduate Degree 543

Professional Degrees..... 22

Undergraduate Degrees 1392

TOTAL 1957

ASACR 2

Office of the President
December 9, 2014

Members, Board of Trustees:

CANDIDATE FOR DEGREES: MAY 2014

Recommendation: that the President be authorized to rescind the double major degree and confer dual degrees upon the individual whose name appears on the attached list, upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degrees for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list graduated in May 2014 with a single degree with a double major. Prior to graduation, the advisor did not point out the difference between a double major and dual degrees. The student thought he was on the path for dual degrees, based on the number of credits he had completed, as well as an assumption that a “double major” meant “dual degrees.” The student has completed the requirements for both degrees.

For details on degree candidate listings,
please contact Sean Cooper
Registrar’s Office
Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - May 2014**

Graduate Degrees:

Ph.D.	184
D.M.A.	13
Ed.D.	6
Ed.S.	14
M.A.	87
M.AR.	10
M.A.EDU.	31
M.A.ID.	1
M.B.A.	58
M.Ed.	5
M.F.A.	1
M.H.A.	25
M.H.P.	6
M.M.	10
M.P.A.	24
M.P.H.	45
M.P.P.	9
M.R.C.	7
M.S.	170
M.S.A.	0
M.S.BE.	2
M.S.BAE.	8
M.S.CD.	34
M.S.CIE.	17
M.S.CHE.	2
M.S.CTL.	8
M.S.EDU.	31
M.S.E.E.	13
M.S.FOR.	6
M.S.FS.	0
M.S.FSC.	11
M.S.L.S.	54
M.S.MAT.	1
M.S.MEE.	14
M.S.MIE.	3
M.S.MSYE.	0
M.S.ME.	0
M.S.N.	0
M.S.N.S.	7
M.S.PAS.	1
M.S.RMP.	2
M.S.W.	101
TOTAL.....	1022

Professional Degrees:

D.D.M.	56
D.N.P.	19
Dr.P.H.	6
D.P.T.	3
J.D.	123
M.D.	111
PharmD.	130
TOTAL.....	448

Undergraduate Degrees:

Agriculture, Food & Environment

BSAB	14
BSAEC	66
BSAG	7
BSASC.....	46
BSCTE.....	14
BSCLD.....	22
BSCCL	15
BSDIE.....	23
BSESM.....	22
BSFSC.....	34
BSFOS.....	2
BSFOR.....	16
BSHPS.....	6
BSHM	17
BSHN.....	64
BSLA.....	10
BSMAT.....	17
BSNRC.....	2
BSNRES.....	11
TOTAL.....	408

Arts & Sciences

BA	509
BS.....	263
TOTAL.....	772

Gatton Business & Economics

BBA	274
BSA	108
BSBE	28
TOTAL.....	410

Communication & Information

BA	207
BS.....	55
TOTAL.....	262

Design

BAARC.....	41
BAID.....	16
TOTAL.....	57

Education

BAEDU.....	163
BSEDU.....	142
TOTAL.....	305

Engineering

BSBE	15
BSCHE.....	48
BSCIE	46
UK/WKU: BSCE	14
BSCOE.....	15
BSCS	45
BSEE	42
BSMAE.....	9
BSMEE.....	84
UK/WKU: BSMEE.....	15
BSMIE.....	29
TOTAL.....	362

Fine Arts

BA	42
BFA	5
BM.....	14
BMME.....	13
TOTAL.....	74

Health Sciences

BHS.....	48
TOTAL.....	48

Nursing

BSN.....	89
TOTAL.....	89

Social Work

BASW.....	32
TOTAL.....	32

<i>Graduate Degree</i>	<i>1022</i>
<i>Professional Degrees.....</i>	<i>448</i>
<i>Undergraduate Degrees</i>	<i>2819</i>
TOTAL	4289

ASACR 3

Office of the President
December 9, 2014

Members, Board of Trustees:

CANDIDATE FOR DEGREE: AUGUST 2014

Recommendation: that the President be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the University Registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic and Student Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made. Due to an administrative error, the name was not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building

Action taken: Approved Disapproved Other _____

**OFFICE OF UNDERGRADUATE ADMISSION AND UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES - August 2014**

Graduate Degrees:

Ph.D.	130
D.M.A.	5
Ed.D.	3
Ed.S.	11
M.A.	45
M.AR.	1
M.A.EDU.	6
M.A.ID.	1
M.B.A.	66
M.E.	0
M.F.A.	0
M.H.A.	1
M.H.P.	1
M.M.	10
M.P.A.	11
M.P.H.	17
M.P.P.	0
M.R.C.	3
M.S.	77
M.S.ACC.	30
M.S.BE.	6
M.S.BAE.	3
M.S.CD.	1
M.S.CE.	5
M.S.CHE.	2
M.S.CTL.	8
M.S.EDU.	11
M.S.E.E.	10
M.S.FOR.	5
M.S.FST.	0
M.S.FSC.	6
M.S.L.S.	17
M.S.MAT.	0
M.S.MIE.	1
M.S.MSYE.	0
M.S.ME.	8
M.S.MSE.	2
M.S.N.	0
M.S.N.S.	3
M.S.PAS.	60
M.S.RMP.	4
M.S.W.	9
TOTAL	573

Professional Degrees:

D.M.D.	0
D.N.P.	2
Dr.P.H.	2
D.P.T.	62
J.D.	1
M.D.	3
PharmD.	0
TOTAL	70

Undergraduate Degrees:

Agriculture

BSAB	3
BSAEC	18
BSAG	4
BSAS	3
BSCCLD	4
BSCLD	6
BSCTE.	0
BSDIE	14
BSESM	7
BSFOR	2
BSFOS	0
BSFSC	12
BSFST	1
BSHPS	3
BSHM	15
BSHN	10
BSLA	1
BSMAT	22
BSNRC	0
BSNRES	5
TOTAL	130

Arts and Sciences

BA	104
BGS	0
BS	31
TOTAL	135

Gatton Business and Economics

BBA	37
BSA	41
BSBE	2
TOTAL	80

Communication & Information

BA	41
BS	13
TOTAL	54

Design

BAARC	15
BAID	3
TOTAL	18

Education

BAEDU	13
BSEDU	35
TOTAL	48

Engineering

BSBE	3
BSCHE	1
BSCE	6
UK/WKU: BSCE	0
BSCS	6
BSCOE	2
BSEE	4
BSMAE	0
BSMEE	3
UK/WKU: BSMEE	0
BSMIE	5
TOTAL	30

Fine Arts

BA	12
BFA	1
BM	5
BMME	0
TOTAL	18

Health Sciences

BHS	2
TOTAL	2

Nursing

BSN	39
TOTAL	39

Social Work

BASW	2
TOTAL	2

<i>Graduate Degree</i>	<i>573</i>
<i>Professional Degrees</i>	<i>70</i>
<i>Undergraduate Degrees</i>	<i>556</i>
TOTAL	1199

ASACR 4

Office of the President
December 9, 2014

Members, Board of Trustees:

ACADEMIC DEGREE RECOMMENDATION: COLLEGE OF ARTS AND SCIENCES
(BACHELOR OF ARTS/BACHELOR OF SCIENCE IN
MODERN AND CLASSICAL LANGUAGES, LITERATURES AND CULTURES)

Recommendation: that the Board of Trustees approve the establishment of a Bachelor of Arts/Bachelor of Science with a major in Modern and Classical Languages, Literatures and Cultures degree in the Department of Modern and Classical Languages, Literatures and Cultures, in the College of Arts and Sciences, effective January 1, 2015.

Background: The Department of Modern and Classical Languages, Literatures and Cultures advances the understanding and appreciation of language and cultural study domestically and abroad. The proposed major is designed to advance undergraduate student proficiency in and understanding of the linguistic structure of world languages and to introduce them to critical and theoretical approaches to an array of cultural products (e.g., art, film, folklore, literatures, music, mythology, pop culture, religion, and theatre). The major is designed to demonstrate the breadth and depth of the discipline and the connections among peoples, regardless of the language they speak or their national citizenship. The ultimate goal is to provide service to the Commonwealth by fostering language ability and increasing global cultural awareness.

The proposed, unified Modern and Classical Languages, Literatures and Cultures major (with seven tracks) represents the desire to 1) improve the global literacy of students, regardless of their field of concentration; 2) capitalize on the strengths of working as a team within faculty areas of expertise, regardless of the language studied; and 3) maintain standards for student proficiency in the language and culture of their field of concentration. More practical advantages include: regularizing the number of credit hours in all the tracks; eliminating pre-major requirements; improving flexibility and cohort identity (across languages) for students pursuing this degree; and more rationalized scheduling based on demand and enrollment patterns to help avoid schedule conflicts and consequent in progress to degree.

This program has the approval of the appropriate councils and the University Senate and has completed the 45 day review process of the Council on Postsecondary Education. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

ASACR 5

Office of the President
December 9, 2014

Members, Board of Trustees:

CHANGE IN NAME AND ORGANIZATIONAL STRUCTURE OF THE GRADUATE CENTER FOR TOXICOLOGY

Recommendation: that the Board of Trustees approve the change in the name and organizational structure of the Graduate Center for Toxicology to the Department of Toxicology and Cancer Biology, in the College of Medicine, effective January 1, 2015.

Background: The proposal to broaden the current Graduate Center for Toxicology into a new Department of Toxicology and Cancer Biology is based on the desire and need to take advantage of the unique education and research strengths of the faculty in the Center that has recently expanded into two areas: (1) cancer research, together with the remarkable increased research and educational strengths of the Markey Cancer Center that has occurred over the last three years; and (2) mechanisms underlying disease caused by environmental toxicants that is the focus of a recently created Center for Research on Environmental Disease.

Discussion of such an academically broadened department began about three years ago with an overarching goal of synergizing the research, education and training opportunities of all three units. A key academic goal is to provide a single department with a strong research environment for homing new faculty recruitments with research foci in cancer and environmental disease. A second key goal is the development of an integrated and strong educational program in toxicology and cancer biology that can serve as a platform for education of undergraduate, professional, and graduate students, together with training of postdoctoral fellows/scholars and clinicians.

The proposal has been reviewed and recommended for endorsement by the Senate Committee on Academic Organization and Structure and the Senate Council. The University Senate endorsed the name and organizational structure change of the Center. The Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
December 9, 2014

Members, Board of Trustees:

CARL F. POLLARD AND C. F. POLLARD FOUNDATION, INC.
GIFT AND CHARITABLE GRANT

Recommendation: that the Board of Trustees accept a gift of \$984,500 from Carl F. Pollard of Louisville, Kentucky, and a charitable grant of \$200,000 from the C. F. Pollard Foundation, Inc. of Louisville, Kentucky to provide additional endowed funds for the Carl F. Pollard Scholarship Fund.

Background: Mr. Pollard is a 1960 University of Kentucky graduate with a Bachelor of Science in Commerce. Mr. Pollard began his career with the accounting firm Yeager, Ford & Warren in Louisville, which was subsequently purchased by PricewaterhouseCoopers LLC. In 1968, he joined a small but growing nursing home business and was instrumental in its development into Humana Inc., now a Louisville-based Fortune 500 health care company. He served as Chairman of the Board of Columbia Healthcare Corporation until 1994.

Mr. Pollard is a life-long horse racing enthusiast and former Chairman of Churchill Downs, Inc., a position he held from 2001 through June 2011. Pollard was the principal owner of noted Hermitage Farm; a thoroughbred breeding operation located in Goshen, Kentucky from 1994 through 2010, and continues to be actively involved in the thoroughbred business through his company, CFP Thoroughbreds. He was named to the University of Kentucky Hall of Distinguished Alumni in 1995.

Mr. Pollard established the endowed Carl F. Pollard Scholarship Fund on March 2, 1994. The endowment provides scholarship support to first-generation college students with first preference given to children of full-time horse farm workers currently employed by a thoroughbred horse farm in Kentucky. The recipients must also have demonstrated high academic achievement and extracurricular and community involvement; and have demonstrated financial need.

Mr. Pollard made a gift of \$984,500 to the Fund on July 3, 2014. An additional \$200,000 was granted from the C. F. Pollard Foundation, Inc. for the Carl F. Pollard Scholarship Fund on July 16, 2014.

Action taken: Approved Disapproved Other _____

FCR 2

Office of the President
December 9, 2014

Members, Board of Trustees:

THE BILL GATTON FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$20,000,000 from The Bill Gatton Foundation of Bristol, Tennessee toward the campaign for the Renovate/Expand Student Center Capital Project.

Background: The Bill Gatton Foundation was created in 1985 by C. M. “Bill” Gatton of Bristol, Tennessee. Mr. Gatton earned his Bachelor of Science in Commerce from the University of Kentucky in 1954, and Master of Business Administration from The Wharton School of the University of Pennsylvania. He is a current member of the University Of Kentucky Board of Trustees and a member of the University of Kentucky Hall of Distinguished Alumni and the Gatton College Hall of Fame. Mr. Gatton is the owner of a family of automobile dealerships and has real estate and bank holdings in Kentucky, Tennessee, and Texas.

This pledge, officially announced in September 2014, represents the largest gift commitment in the history of the University of Kentucky. Mr. Gatton’s total philanthropy to the University currently exceeds \$45,000,000, with a total gift impact upon the University of nearly \$57,000,000 as some of his gifts have been matched by the Commonwealth of Kentucky’s “Bucks for Brains” program.

Mr. Gatton is the single largest donor in the University’s history.

Action taken: Approved Disapproved Other_____

FCR 3

Office of the President
December 9, 2014

Members, Board of Trustees:

WILLIAM E. SEALE FAMILY FOUNDATION PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$5,000,000 from the William E. Seale Family Foundation of Annapolis, Maryland toward the campaign for the Renovate/Expand Gatton College Capital Project.

Background: Dr. William E. Seale earned three degrees from the University of Kentucky including a Bachelor of Science degree in Chemistry from the College of Arts and Sciences in 1963, and a Master of Science degree and a Doctor of Philosophy degree in Agricultural Economics in 1969 and 1975, respectively, from the College of Agriculture, Food and Environment. Dr. Seale has more than 30 years of experience in the financial markets. His career history includes a five-year presidential appointment as a commissioner of the U.S. Commodity Futures Trading Commission and ten years as Professor and Chairman of the Finance Department at George Washington University. He was Vice President for Government Relations of the Commodity Exchange from 1979 through 1983 and was named a public member to the Board of Managers of the New York Cotton Exchange in 1997. In 1997, he was one of three founding partners to launch The ProFunds Group, a Bethesda, Maryland-based company offering an innovative line of mutual funds tied to the performance of Standard & Poor's 500 Composite Index. The ProFunds Group manages about \$2,500,000,000, including 45 index mutual funds. Though he retired from The ProFunds Group several years ago, he remains a principal in the company. In 2006, Dr. Seale created the William E. Seale Family Foundation to support higher education.

The pledge of \$5,000,000 to the Gatton College Capital Campaign is in addition to previous gifts also totaling \$5,000,000. This pledge will be used for the \$65,000,000 redesign and expansion of the Gatton College of Business and Economics Building. The expansion will allow for enrollment growth of more than 50 percent and faculty/staff growth to support the additional students. Dr. Seale will be honored in the new facility with the naming of a signature space, the William E. Seale Finance Learning Center.

Action taken: Approved Disapproved Other _____

FCR 4

Office of the President
December 9, 2014

Members, Board of Trustees:

ESTABLISHMENT OF THE ARBORETUM OPERATING QUASI-ENDOWMENT

Recommendation: that the Board of Trustees approve the establishment of The Arboretum Operating Quasi-Endowment to provide support for the University of Kentucky-Lexington-Fayette County Arboretum (Arboretum).

Background: The Arboretum was created in 1991 as a joint effort between the University of Kentucky and the Lexington-Fayette Urban County Government (LFUCG). The Arboretum consists of 100 acres of natural gardens and serves as a community center for environmental education and research.

In 1986, the University and LFUCG entered into an agreement to develop the Arboretum. An advisory board was established by resolution of the LFUCG and is comprised of representatives of the University of Kentucky, LFUCG, and other community representatives. The current agreement was executed in April 2014 and clarified boundaries, extended the agreement to 2086, and clarified the organizational and operational responsibilities. It is the University's expressed desire to sustain the land as an arboretum for use by faculty, students, staff and the general public.

The Arboretum Special Gift Fund was created on December 16, 1993 to receive gifts designated as memorials, honoraria, honorees and one-time special gifts. The Advisory Board of The Arboretum and Molly Davis, Director of The Arboretum, have requested that \$50,000 from The Arboretum Special Gift Fund be used to create The Arboretum Operating Quasi-Endowment to provide perpetual support for general operating expenses; upkeep and maintenance; equipment purchases; salaries; and supplies. This request is supported by Dean Nancy M. Cox of the College of Agriculture, Food and Environment.

Action taken: Approved Disapproved Other _____



University of Kentucky
Campus Physical Plant Division
January 2014

University of Kentucky- Lexington-Fayette County Arboretum



FCR 5

Office of the President
December 9, 2014

Members, Board of Trustees:

ESTABLISHMENT OF THE FOOD CONNECTION AT THE UNIVERSITY OF KENTUCKY
QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of The Food Connection at the University of Kentucky Quasi-Endowment Fund in the Office of the Executive Vice President for Finance and Administration with an initial transfer of \$1,000,000.

Background: The quasi-endowment will be funded through monies received from the University of Kentucky's dining partner, Aramark Holdings Corporation ("Aramark"). Spending distributions from the endowed fund shall support the newly established Food Connection at the University of Kentucky Program ("Program"), created as a public-private partnership between the University and Aramark to focus on local food production and promotion. The endowed fund will provide support for student internships, fellowships and travel related to the Program and other support deemed necessary and desirable to aid in student success related to the Program.

Action taken: Approved Disapproved Other _____

FCR 6

Office of the President
December 9, 2014

Members, Board of Trustees:

ESTABLISHMENT OF THE UNIVERSITY OF KENTUCKY CORPORATE PARTNER
SCHOLARSHIP QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of the University of Kentucky Corporate Partner Scholarship Quasi-Endowment Fund in the Office of the Executive Vice President for Finance and Administration and to be administered by the Office of Academic Scholarships with an initial transfer of \$1,000,000.

Background: The quasi-endowment will be funded initially with monies received by the University of Kentucky's dining services partner, Aramark Holdings Corporation. The annual spending distributions from the endowed fund will support one or more scholarships annually, to be determined by a scholarship committee appointed by the Executive Vice President for Finance and Administration and Provost, to students who have demonstrated potential for academic success.

Action taken: Approved Disapproved Other _____

FCR 7

Office of the President
December 9, 2014

Members, Board of Trustees:

ESTABLISHMENT OF THE UNDERGRADUATE RESEARCH OFFICE (UGR) /
NATIONAL CONFERENCE ON UNDERGRADUATE RESEARCH (NCUR)
QUASI-ENDOWMENT FUND

Recommendation: that the Board of Trustees approve the establishment of the Undergraduate Research Office (UGR) / National Conference on Undergraduate Research (NCUR) Quasi-Endowment Fund in the Undergraduate Research Office with an initial transfer of \$200,000.

Background: The quasi-endowment will be funded initially with monies received from hosting the National Conference on Undergraduate Research (NCUR) at the University of Kentucky on April 3 – 5, 2014. The annual spending distributions from the endowment will be used at the discretion of the Director of the Office of Undergraduate Research, subject to the approval of the Associate Provost for Undergraduate Education, to support research practices by University of Kentucky undergraduate students.

Action taken: Approved Disapproved Other _____

FCR 8

Office of the President
December 9, 2014

Members, Board of Trustees:

ACCEPTANCE OF 2013-14 AUDITED FINANCIAL STATEMENTS
AND THE INDEPENDENT AUDITOR'S REPORT ON FINANCIAL STATEMENTS
AND SUPPLEMENTARY INFORMATION

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Audited Financial Statements for the Fiscal Year ended June 30, 2014, consisting of the Statements of Net Position, Statements of Revenues, Expenses and Changes in Net Position, Statements of Cash Flows, Notes to Financial Statements, and Management's Discussion and Analysis; and (2) the Independent Auditor's Report on Financial Statements and Supplementary Information.

Background: The Audit and Compliance Subcommittee and the Finance Committee of the University of Kentucky Board of Trustees has reviewed the University's financial statements for the Fiscal Year ended June 30, 2014, audited by BKD LLP, Certified Public Accountants. These financial statements are prepared in accordance with Governmental Accounting Standards Board ("GASB") Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*, which focuses on the financial condition, results of operations and cash flows of the University as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The financial statements of the University include its operations, the University's for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.), and its affiliated non-profit corporations [i.e. entities for which the University is financially accountable as defined by Statement No. 14 and amended by Statement No. 39 of the Governmental Accounting Standards Board (GASB), and which meet the definition of an affiliated corporation under Kentucky Revised Statute (KRS) section 164A.550]:

- University of Kentucky Research Foundation and its for-profit subsidiaries (Kentucky Technology, Inc. and Coldstream Laboratories, Inc.)
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center
- University of Kentucky Gluck Equine Research Foundation, Inc.
- University of Kentucky Humanities Foundation, Inc.
- University of Kentucky Mining Engineering Foundation, Inc.
- University of Kentucky Center on Aging Foundation, Inc.
- Central Kentucky Management Services, Inc.

The financial statements also include the operations of Kentucky Medical Services Foundation, Inc. (KMSF), a non-profit entity for which the University is financially accountable as defined by GASB, but which is not an affiliated corporation under KRS.

The financial statements and the independent auditor's report have been provided separately. The documents may be viewed after Board of Trustees' approval at:

<http://www.uky.edu/EVPFA/Controller/finst.htm>

Action taken: Approved Disapproved Other_____

FCR 9

Office of the President
December 9, 2014

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2014

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 2014.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated non-profit corporations:

- University of Kentucky Research Foundation
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center
- University of Kentucky Gluck Equine Research Foundation, Inc.
- University of Kentucky Humanities Foundation, Inc.
- University of Kentucky Mining Engineering Foundation, Inc.
- University of Kentucky Center on Aging Foundation, Inc.
- Central Kentucky Management Services, Inc.

As of September 30, 2014, the University has recognized \$868,974,496 of current funds revenue representing 29 percent of the 2014-15 approved budget of \$3,012,831,900. Expenses and transfers total \$713,910,342 or 24 percent of the approved budget.

Action taken: Approved Disapproved Other_____



*Consolidated Financial
Statements*

For the three months ended September 30, 2014

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
STATEMENT OF NET POSITION
SEPTEMBER 30, 2014 AND 2013

	2014	2013
ASSETS		
Current Assets		
Cash and cash equivalents	\$ 430,138,548	\$ 410,162,259
Notes, loans and accounts receivable, net	288,978,505	236,336,063
Inventories and other assets	53,696,093	49,930,711
Total current assets	772,813,146	696,429,033
Noncurrent Assets		
Restricted cash and cash equivalents	286,492,905	58,368,168
Endowment investments	1,207,520,819	1,106,645,365
Other long-term investments	254,703,989	184,221,546
Notes, loans and accounts receivable, net	61,229,577	51,916,670
Other noncurrent assets	502,850	1,253,090
Capital assets, net	2,085,542,148	1,901,081,419
Total noncurrent assets	3,895,992,288	3,303,486,258
Total assets	4,668,805,434	3,999,915,291
 LIABILITIES AND DEFERRED INFLOWS OF RESOURCES		
Current Liabilities		
Accounts payable and accrued liabilities	178,161,818	145,594,028
Unearned revenue	62,820,301	70,665,254
Long-term liabilities - current portion	67,929,009	65,799,131
Total current liabilities	308,911,128	282,058,413
Noncurrent Liabilities		
Accounts payable and accrued liabilities	2,475,960	-
Long-term liabilities	963,974,375	741,346,847
Total noncurrent liabilities	966,450,335	741,346,847
Total liabilities	1,275,361,463	1,023,405,260
Deferred Inflows of Resources	152,427,601	4,712,282
Total liabilities and deferred inflows of resources	1,427,789,064	1,028,117,542
 NET POSITION		
Net investment in capital assets	1,318,679,465	1,274,465,682
Restricted		
Nonexpendable		
Scholarships and fellowships	137,253,696	133,083,087
Research	268,297,429	267,605,502
Instruction	78,832,659	76,707,532
Academic support	83,908,444	83,754,074
Other	8,503,240	7,955,410
Total restricted nonexpendable	576,795,468	569,105,605
Expendable		
Scholarships and fellowships	72,306,176	61,978,820
Research	88,685,687	70,655,428
Instruction	55,487,898	49,779,725
Academic support	55,824,128	44,709,716
Loans	10,729,917	9,971,191
Capital projects	93,660,156	69,407,256
Debt service	32,243,617	32,713,294
Auxiliary	23,563,052	19,802,087
Other	23,785,208	26,368,867
Total restricted expendable	456,285,839	385,386,384
Total restricted	1,033,081,307	954,491,989
Unrestricted	889,255,598	742,840,078
Total net position	\$ 3,241,016,370	\$ 2,971,797,749

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
ALL FUNDS
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2014 AND 2013

	<u>2014</u>	<u>2013</u>
OPERATING REVENUES		
Student tuition and fees	\$ 215,706,200	\$ 193,959,192
Federal grants and contracts	36,391,203	33,881,470
State and local grants and contracts	21,018,897	13,715,511
Nongovernmental grants and contracts	38,435,982	42,869,228
Recoveries of facilities and administrative costs	10,508,010	10,354,796
Sales and services	10,580,856	10,070,902
Federal appropriations	5,097,931	5,441,220
County appropriations	8,509,984	6,410,006
Hospital services	333,741,826	247,955,462
Auxiliary enterprises:		
Housing and dining	12,737,513	14,632,201
Athletics	21,228,906	23,379,149
Other auxiliaries	14,154,783	11,703,593
Other operating revenues	78,366	78,871
Total operating revenues	<u>728,190,457</u>	<u>614,451,601</u>
OPERATING EXPENSES		
Educational and general:		
Instruction	66,238,772	63,288,752
Research	58,364,815	59,189,299
Public service	41,136,224	47,329,792
Libraries	4,036,077	3,806,685
Academic support	17,377,684	16,992,226
Student services	8,553,437	8,940,878
Institutional support	19,978,537	19,190,410
Operations and maintenance of plant	17,354,618	15,624,182
Student financial aid	73,890,930	67,495,701
Depreciation	10,407,279	14,053,084
Total educational and general	<u>317,338,373</u>	<u>315,911,009</u>
Clinical operations	41,620,674	30,804,653
Hospital services (including depreciation of \$10,925,451 in 2014 and \$11,496,498 in 2013)	269,962,770	232,638,729
Auxiliary enterprises:		
Housing and dining (including depreciation of \$1,955,209 in 2014 and \$854,718 in 2013)	9,668,997	13,616,611
Athletics (including depreciation of \$1,426,906 in 2014 and \$1,301,465 in 2013)	26,790,846	24,957,708
Other auxiliaries	6,012,886	7,129,830
Other operating expenses	75,692	657,901
Total operating expenses	<u>671,470,238</u>	<u>625,716,441</u>
Net income (loss) from operations	<u>56,720,219</u>	<u>(11,264,840)</u>
NONOPERATING REVENUES (EXPENSES)		
State appropriations	83,883,400	85,160,800
Gifts and non-exchange grants	39,049,513	37,134,103
Investment income (loss)	(10,964,296)	49,137,500
Interest on capital asset-related debt	(2,958,517)	(3,845,094)
Other nonoperating revenues and expenses, net	1,696,220	1,047,023
Net nonoperating revenues (expenses)	<u>110,706,320</u>	<u>168,634,332</u>
Net income before other revenues, expenses, gains or losses	<u>167,426,539</u>	<u>157,369,492</u>
Capital grants and gifts	7,699,462	8,748,714
Additions to permanent endowments	2,699,411	1,514,095
Other, net	(6,319,092)	(16,125)
Total other revenues (expenses)	<u>4,079,781</u>	<u>10,246,684</u>
INCREASE IN NET POSITION	<u>171,506,320</u>	<u>167,616,176</u>
NET POSITION, July 1	<u>3,069,510,050</u>	<u>2,804,181,573</u>
NET POSITION, September 30	<u>\$ 3,241,016,370</u>	<u>\$ 2,971,797,749</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2014 AND 2013

	2014			2013	
	Budget	Actual	%	Actual	%
OPERATING REVENUES					
Student tuition and fees	\$ 411,757,300	\$ 215,706,200	52%	\$ 193,959,192	51%
Federal grants and contracts	166,450,400	36,391,203	22%	33,881,470	20%
State and local grants and contracts	103,001,400	21,018,897	20%	13,715,511	23%
Nongovernmental grants and contracts	183,162,300	38,435,982	21%	42,869,228	21%
Recoveries of facilities and administrative costs	43,500,000	10,508,010	24%	10,354,796	25%
Sales and services	41,930,200	10,580,856	25%	10,070,902	24%
Federal appropriations	17,186,000	5,097,931	30%	5,441,220	30%
County appropriations	22,763,000	8,509,984	37%	6,410,006	29%
Hospital services	1,161,979,800	333,741,826	29%	247,955,462	26%
Auxiliary enterprises:					
Housing and dining	46,318,900	12,737,513	27%	14,632,201	29%
Athletics	78,360,800	21,228,906	27%	23,379,149	32%
Other auxiliaries	41,609,000	14,154,783	34%	11,703,593	31%
Total operating revenues	<u>2,318,019,100</u>	<u>728,112,091</u>	<u>31%</u>	<u>614,372,730</u>	<u>30%</u>
OPERATING EXPENSES					
Educational and general:					
Instruction	406,129,500	66,238,772	16%	63,288,752	17%
Research	286,384,900	58,364,510	20%	59,188,944	22%
Public service	197,079,500	41,136,224	21%	47,329,792	20%
Libraries	13,766,900	4,036,077	29%	3,806,685	28%
Academic support	100,842,800	17,377,684	17%	16,992,226	17%
Student services	39,746,000	8,543,216	21%	8,865,591	24%
Institutional support	175,071,700	19,976,371	11%	19,190,410	14%
Operations and maintenance of plant	69,907,300	14,292,725	20%	13,856,408	20%
Student financial aid	167,684,400	73,890,930	44%	67,495,701	50%
Total educational and general	<u>1,456,613,000</u>	<u>303,856,509</u>	<u>21%</u>	<u>300,014,509</u>	<u>22%</u>
Clinical operations	173,121,800	41,620,674	24%	30,804,653	28%
Hospital services	1,031,109,700	258,699,986	25%	221,102,945	23%
Auxiliary enterprises:					
Housing and dining	36,875,800	7,490,817	20%	12,630,497	31%
Athletics	97,293,000	25,363,940	26%	23,656,243	27%
Other auxiliaries	36,270,300	5,999,187	17%	7,118,865	21%
Total operating expenses	<u>2,831,283,600</u>	<u>643,031,113</u>	<u>23%</u>	<u>595,327,712</u>	<u>23%</u>
Net income (loss) from operations	<u>(513,264,500)</u>	<u>85,080,978</u>	<u>N/A</u>	<u>19,045,018</u>	<u>N/A</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET POSITION
FOR THE THREE MONTHS ENDED SEPTEMBER 30, 2014 AND 2013

	2014			2013	
	Budget	Actual	%	Actual	%
Net income (loss) from operations	<u>(513,264,500)</u>	<u>85,080,978</u>	N/A	<u>19,045,018</u>	<u>N/A</u>
NONOPERATING REVENUES (EXPENSES)					
State appropriations	279,611,300	83,883,400	30%	85,160,800	30%
Gifts and non-exchange grants	92,002,400	38,627,642	42%	37,062,479	55%
Investment income	23,948,600	4,934,605	21%	5,314,997	14%
Other nonoperating revenues and expenses, net	9,161,700	1,695,934	19%	1,046,701	18%
Net nonoperating revenues (expenses)	<u>404,724,000</u>	<u>129,141,581</u>	<u>32%</u>	<u>128,584,977</u>	<u>32%</u>
Net income before other revenues, expenses, gains or losses	<u>(108,540,500)</u>	<u>214,222,559</u>	<u>N/A</u>	<u>147,629,995</u>	<u>N/A</u>
Capital grants and gifts	23,410,600	7,184,024	31%	6,816,993	45%
Other, net	-	4,536,800	-	358	-
Total other revenues (expenses)	<u>23,410,600</u>	<u>11,720,824</u>	<u>50%</u>	<u>6,817,351</u>	<u>45%</u>
NON-GASB ACTIVITY					
Appropriated fund balance	261,494,200	-	-	-	-
Capital purchases and transfers	(104,671,400)	(33,616,595)	32%	(25,600,155)	45%
Debt service transfers	(76,876,900)	(37,632,664)	49%	(34,534,910)	49%
Noncapital transfers	5,184,000	370,030	7%	181,247	4%
Total non-GASB current funds activity	<u>85,129,900</u>	<u>(70,879,229)</u>	<u>N/A</u>	<u>(59,953,818)</u>	<u>N/A</u>
INCREASE IN NET POSITION	-	155,064,154		94,493,528	
NET POSITION, beginning of year		<u>549,915,504</u>		<u>485,659,019</u>	
NET POSITION, end of year		<u>\$ 704,979,658</u>		<u>\$ 580,152,547</u>	
RECONCILIATION TO ANNUAL BUDGET					
Operating revenues	\$ 2,318,019,100	\$ 728,112,091	31%	\$ 614,372,730	30%
Nonoperating revenues	<u>694,812,800</u>	<u>140,862,405</u>	<u>20%</u>	<u>135,402,328</u>	<u>21%</u>
Total revenues	<u>3,012,831,900</u>	<u>868,974,496</u>	<u>29%</u>	<u>749,775,058</u>	<u>28%</u>
Operating expenses	2,831,283,600	643,031,113	23%	595,327,712	23%
Nonoperating expenses and transfers	<u>181,548,300</u>	<u>70,879,229</u>	<u>39%</u>	<u>59,953,818</u>	<u>47%</u>
Total expenses and transfers	<u>3,012,831,900</u>	<u>713,910,342</u>	<u>24%</u>	<u>655,281,530</u>	<u>24%</u>
INCREASE IN NET POSITION	<u>\$ -</u>	<u>\$ 155,064,154</u>		<u>\$ 94,493,528</u>	

FCR 10

Office of the President
December 9, 2014

Members, Board of Trustees:

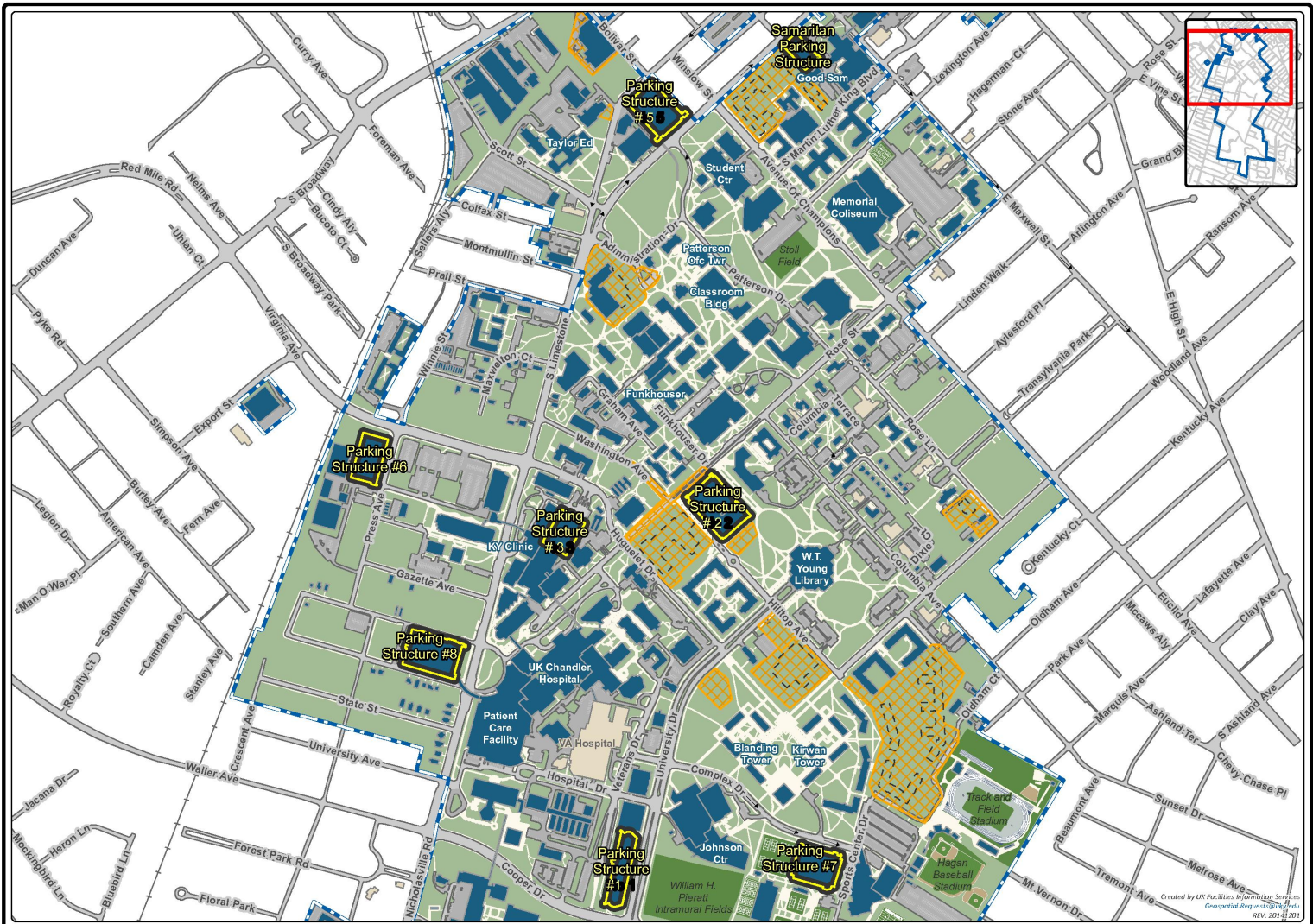
REPAIR/UPGRADE/IMPROVE BUILDING SHELL SYSTEMS CAPITAL PROJECT
(2015 PARKING GARAGE MAINTENANCE AND RESTORATION)

Recommendation: that the Board of Trustees approve the initiation of the Repair/Upgrade/Improve Building Shell Systems Capital Project (2015 Parking Garage Maintenance and Restoration).

Background: In 2012, the University employed an engineering consultant to conduct a detailed condition assessment and structural evaluation of the campus parking structures. The report included the development of a 10-year preventative maintenance and repair program for the various campus parking structures to maintain and extend the useful life of the facilities. The work for 2013 and 2014, previously approved by the Board of Trustees, has been completed. This project will cover the recommended maintenance and repairs for the University's eight parking structures during 2015.

The \$1,000,000 project was authorized by the 2014 Session of the Kentucky General Assembly and will be financed with agency funds.

Action taken: Approved Disapproved Other _____



**FCR 10 - REPAIR/UPGRADE/IMPROVE BUILDING SHELL SYSTEMS CAPITAL PROJECT
(2015 PARKING GARAGE MAINTENANCE AND RESTORATION)**



Created by UK Facilities & Information Services
Geographic Information Systems
REV. 2014.01.201

FCR 11

Office of the President
December 9, 2014

Members, Board of Trustees:

REMOTE SITE FIBER INFRASTRUCTURE CAPITAL PROJECT

Recommendation: that the Board of Trustees approve the initiation of the Remote Site Fiber Infrastructure Capital Project.

Background: The Kentucky Regional Optical Network (KyRON) is a collaborative effort of the Council on Postsecondary Education (CPE), the University of Kentucky and the University of Louisville (UofL). The primary purpose of the KyRON is to promote, develop and provide advanced fiber-optic network infrastructure and services to all public universities in Kentucky.

This project will establish connectivity via 100 gigabit geographically diverse dark fiber links from Lexington to Frankfort to Louisville and from Lexington to Cincinnati, creating the KyRON core networks. The connected universities, starting with UK and UofL, will be able to use the networks for “Big Data” research collaborations with other leading research universities and federal agencies in the United States. The networks also will facilitate the flow of medical “Big Data” supporting UK’s healthcare mission. In close collaboration with CPE, the networks are being designed so that all Kentucky universities will be able to take advantage of the high-speed circuits.

This project was authorized by the 2014 Session of the Kentucky General Assembly. The scope of this project is \$1,600,000 and will be funded with agency funds.

Action taken: Approved Disapproved Other _____

FCR 12

Office of the President
December 9, 2014

Members, Board of Trustees:

RENOVATE/EXPAND STUDENT CENTER CAPITAL PROJECT

Recommendation: that the Board of Trustees approve the initiation of the Renovate/Expand Student Center Capital Project and declare official intent to reimburse the capital expenditures from a future debt obligation.

Background: The University's Student Center was originally constructed in 1938 and expanded in 1968 and 1982. The Student Center is heavily used by the entire University community with over 2,000,000 visitors annually. However, the approximately 260,000 square foot facility is no longer able to fully meet the needs of students, faculty, staff and other constituents in terms of quantity and quality of space.

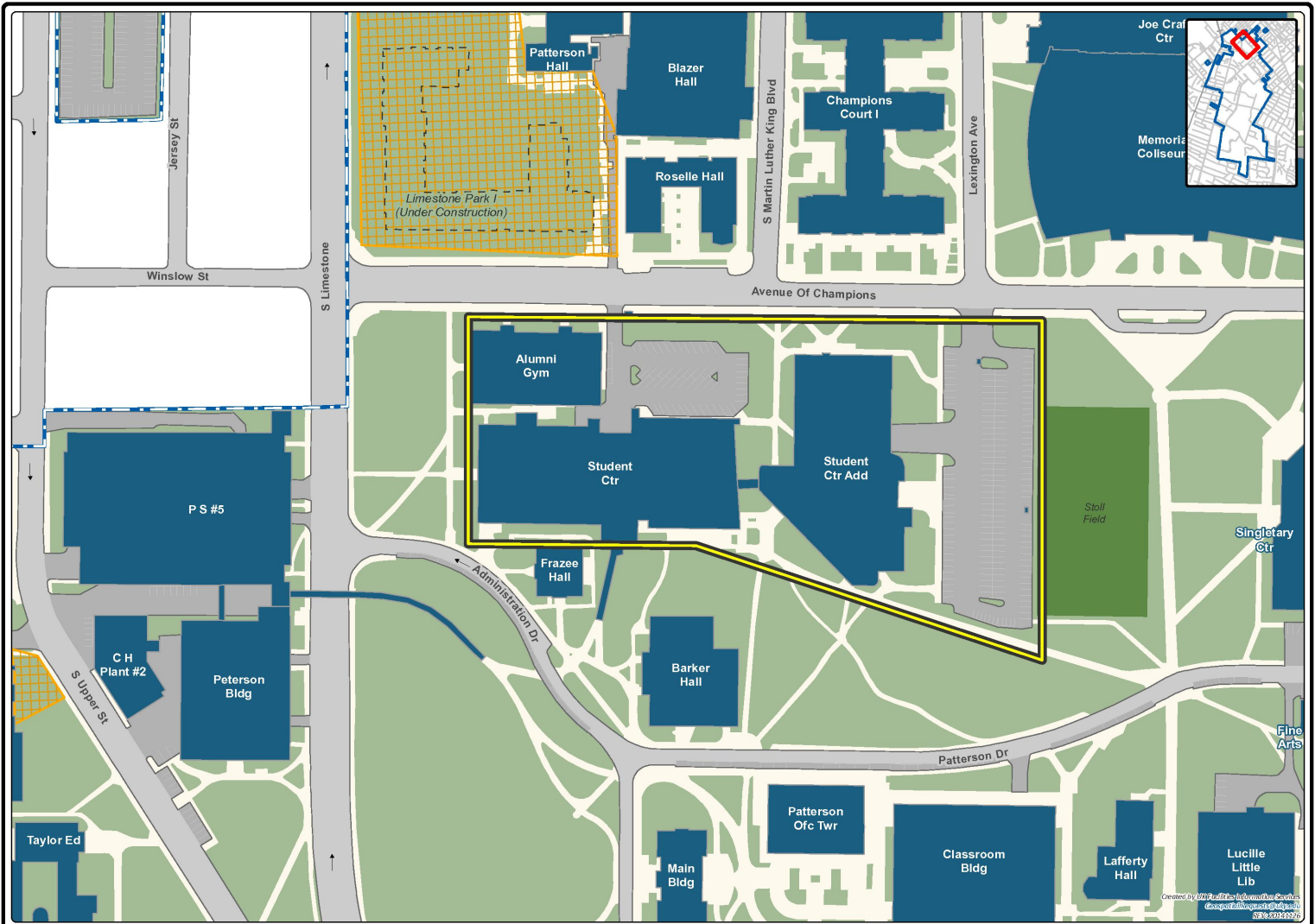
This project will include selective demolition, expansion and renovation of the Student Center. Alumni Gym will be renovated and incorporated into the new Student Center. The project is expected to expand the University's Student Center to more than 350,000 square feet and will include an atrium, recreation area, lounges, conference facilities, entertainment venues, retail space, food service, bookstore, student organization space and administrative support offices.

The \$175,000,000 project, including design, was authorized by the 2014 Kentucky General Assembly and will be funded with \$160,000,000 agency bonds and \$15,000,000 agency and private funds.

U.S. Department of Treasury regulations related to the use of proceeds of tax-exempt bonds or notes require that the borrower make a Declaration of Official Intent to reimburse itself for capital expenditures made prior to the issuance of the debt (also known as a "Reimbursement Resolution") if the issuer intends to reimburse itself from the tax-exempt debt proceeds.

The University's Debt Committee has determined that this project would be consistent with the University's Debt Policy.

Action taken: Approved Disapproved Other _____



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FCR 12 - RENOVATE/EXPAND STUDENT CENTER CAPITAL PROJECT



FCR 13

Office of the President
December 9, 2014

Members, Board of Trustees:

APPROVAL OF THE 2013-14 ENDOWMENT MATCH PROGRAM ANNUAL REPORT

Recommendation: that the Board of Trustees accept the following 2013-14 Endowment Match Program Annual Report.

Background: The Research Challenge Trust Fund (RCTF) was established in 1997 with the passage of House Bill 1, the *Kentucky Postsecondary Education Improvement Act*. The RCTF was designed to encourage research activities at the University of Kentucky and the University of Louisville. The Endowment Match Program, also known as ‘Bucks for Brains’, is one of several programs created as part of the trust fund. Pursuant to the Council on Postsecondary Education’s Endowment Match Program Request and Reporting Procedures, the Board of Trustees must review and approve an annual report on UK’s participation in the program. The annual report and additional information about the program is also available online at:

<http://www.research.uky.edu/faculty/rctf/index.html>

Action taken: Approved Disapproved Other _____

**University of Kentucky
Endowment Match Program**

***Annual Report*
2013-14**



UNIVERSITY OF
KENTUCKY[®]



For nearly 150 years, Kentucky's flagship and land grant research university has shined a bright path to prosperity for the Commonwealth it serves by providing a comprehensive academic experience that prepares students to lead lives of meaning and purpose; conducting cutting-edge research that addresses the relevant questions of our day; and rendering sophisticated care and meaningful service.

Over the last 13 years, the "Bucks for Brains" partnership between UK's Research Challenge Trust Fund and the Commonwealth of Kentucky has been a key component in supporting the innovations made by our faculty, staff and students. Since its inception, Kentucky has pledged more than \$230 million in state funds to UK, which we matched – dollar for dollar – with private funds to support the research enterprise of our institution. We've used these funds:

- As part of the effort to build the University's endowment from \$420.8 million in Fiscal Year 2001 to more than \$1.0 billion in Fiscal Year 2014.
- To create more than 300 endowed chairs and professorships across all colleges and professional schools; more than 260 fellowships, scholarships, and endowments for research support; and for the William T. Young Library.
- As a key element of increasing our external grants and contracts from \$173.6 million in Fiscal Year 2001 to more than \$259.3 million in Fiscal Year 2014.
- And, to open state-of-the-art research space in the College of Pharmacy and Davis Marksbury Building through increased flexibility with "Bucks for Bricks" revenue.

The benefits of this partnership – spanning more than a decade – are fulfilling our commitment to Kentucky. With your help, we have catapulted our institution to new heights, including:

- The Markey Cancer Center being named one of the 68 NCI-designated centers in the US.
- UK being among 22 universities with the triple-crown of federal research awards: NCI-designation, a Clinical and Translational Sciences Award, and an Alzheimer's Disease Center.
- An intent focus on multi-disciplinary, team-based research to confront the health maladies that afflict the people of the Commonwealth of Kentucky.

Our goal is to leverage this success into a brighter future. This model – your partnership – will help us continue our ascent and, as a result, improve the lives of citizens across the state.

Eli Capilouto

President, University of Kentucky

FOCUS ON FACULTY



Vivek M. Rangnekar

Alfred Cohen Chair in Oncology Research

Vivek Rangnekar serves as the associate director of transdisciplinary collaboration at the Markey Cancer Center—Kentucky’s only NCI-designated cancer center—and co-leads the center’s cell biology & signaling research program. Rangnekar earned his Ph.D. from the University of Bombay and conducted postdoctoral training at the University of Chicago, before coming to UK in 1992. His team studies the molecular crosstalk between oncogenes (genetic material that has the ability to produce cancer) and tumor suppressor genes. His strategy is to utilize the inherent strengths of the tumor against itself, to specifically target cell survival mechanisms that are common to many different types of cancer. Rangnekar focuses on the multi-faceted tumor suppressor protein Par-4/PAWR, which he first identified in 1993. He says what makes Par-4 so special is that it is not mutated as frequently as other known suppressors, and Par-4 will only kill cancer cells and not normal cells. Par-4 can become “suppressed” or inactivated, leading to tumor re-growth, but Par-4 can be activated again—and one of the next major steps is developing a safe and effective way to activate Par-4 in cancerous cells. With funding from the National Cancer Institute, Rangnekar’s team is looking at natural and synthetic agents that may help restore the expression of Par-4

in human cells, allowing the cancerous cells to become susceptible to treatment. Several UK investigators are now examining the role of Par-4 in chronic lymphocytic leukemia and aggressive brain tumors called glioblastomas, as well as developing small molecules that can activate Par-4 and kill cancer cells. “Our multi-disciplinary team, working together, uses a multi-faceted strategy in our research,” Rangnekar says. “This allows us to gain a better understanding of the complexities of cancer in order to effectively kill recurrent tumor cells, especially those that have spread.”



Diane Follingstad

Women's Circle Endowed Chair

Diane Follingstad is a professor in the UK College of Medicine Department of Psychiatry. Her expertise is in clinical and forensic psychology and she is director of the Center for Research on Violence Against Women (CRVAW). Follingstad's research has resulted in 85 journal articles and a book, and her recent work has focused on comparing different methods of assessing how decisions are made to prosecute battered women who have killed their partner, to illustrate how less conscious biases impact these decisions. Follingstad says, “Probably my most significant contribution has been both research and commentary designed to enhance the measurement of psychological abuse, which has been a difficult concept to measure.” She is currently a PI with CRVAW colleague Ann Coker to evaluate the long-term effects of a bystander intervention program called Green Dot conducted in Kentucky high schools to identify difference in students who attend college and those who do not. “With Dr. Coker, we have almost completed a project that looks at the impact on women's treatment and recovery from cancer when partners engage in interfering/controlling behaviors or intimate partner abuse, and we are extending this concept in a new grant proposal to look at partner impact on both women and men with colorectal cancer.” Another proposed study, with Claire Renzetti, involves testing an online educational intervention to determine whether nursing personnel improve in their identification of sex trafficking cases. The strength of CRVAW lies in its multidisciplinary team, say Follingstad. “Our endowed faculty consist of an epidemiologist, a sociologist/

criminologist, and two psychologists, but we regularly end up working with a biostatistician and other faculty from social work, psychiatry, psychology, and communications. There is no question that our final research products are greatly improved by the involvement of faculty from a range of disciplines.” The center is also working on new mechanisms for research training for postdoctoral fellows, graduate students and undergraduate students.



Nancy Harrington

Douglas A. and Carole A. Boyd Professor in Communication

Nancy Harrington is a professor in the College of Communication and Information, where she serves as associate dean for research. She holds a joint appointment in the College of Public Health. Harrington has been a principal investigator, co-investigator, or principal evaluator on several NIH-funded and CDC-funded studies totaling approximately \$8.5 million. She's published more than 50 scholarly journal articles and chapters, and served as guest editor for a special issue, focused on communication strategies to reduce health disparities, of the *Journal of Communication*, the flagship journal of the International Communication Association. Harrington says, "Analyzing messages helps us understand how to design them to most effectively provide people the health information they need to make good decisions regarding health promotion and disease prevention behaviors. Subtle changes in the content, format, and structure of messages can have an impact on whether or not people pay attention to them, process them, and are informed or persuaded by them." Harrington is currently working on a text-based messaging system for patients to communicate with their based messaging system for patients to

communicate with their healthcare providers after they've been discharged from the hospital. "The system was developed with an NSF grant to Dan O'Hair and Derek Lane. We're proposing to partner with colleagues from UK Healthcare to test the intervention's effectiveness in reducing hospital readmission rates." UK has an outstanding reputation in health communication for two reasons, says Harrington: "Our faculty has been associated with projects that have brought more than \$50 million to UK. We've been one of the most highly funded communication departments in the nation. A second reason is our biennial Kentucky Conference on Health Communications (KCHC). Since it began in 1989, KCHC has grown into an internationally recognized venue for researchers, practioners, and students from health communication and other health-related sciences to present cutting-edge research. The conference has really put Kentucky on the map."



Jon Thorson

UK Chandler Medical Center Endowed Chair in Pharmaceutical Research and Innovation

Jon Thorson is a professor of pharmaceutical sciences, director of the Center for Pharmaceutical Research and Innovation (CPRI), and also serves in co-director roles for the Markey Cancer Center and the Center for Clinical and Translational Science. Thorson holds 23 patents and his area of expertise is natural products—molecules that have evolved for function over time. "Examples with anticancer activity include the microbial toxins calicheamicin and esperamicin. These natural products were first reported as I was starting graduate school, and I wrote what was presumably a very naive research proposal on the synthesis/biosynthesis of these molecules as a requirement for one of my Ph.D. courses. In an interesting twist of fate, the study of calicheamicin biosynthesis became one of the two main projects in my lab when I launched my independent career at Memorial Sloan-Kettering Cancer Center roughly a decade later." Thorson explains that instead of synthesizing new molecules through complex chemistry, if you can manipulate the natural machinery that generates useful molecules you can speed up the process of drug development. Thorson's team is isolating new natural products from the Commonwealth for the "UK Natural Products Repository" (which contains 133 bacterial intellectual

property, as well as advancing science into, and through, clinical evaluation." natural products isolated from subterranean and mining-associated environments via collaboration with the Center for Applied Energy Research, the Kentucky Geologic Survey, U.S. Coal, and the Kentucky Division of Abandoned Mine Lands) and the "UK Synthetics Collection" (which contains 556 compounds including natural product mimics, modified natural products, and synthetic intermediates/fragments). The goal of these collections is to share promising compounds with researchers at UK to screen for new activities. Thorson says, "In 2.5 years, CPRI has engaged in 26 projects with UK investigators and four additional external projects with pharma and other academic institutions, where we have focused on building preliminary data to support new grant applications and intellectual property, as well as advancing science into, and through, clinical evaluation."

FOCUS ON STUDENTS

The Endowment Match Program is not only a mechanism to recruit faculty, it also provides research opportunities and financial support for some of the most talented graduate students in the U.S. In Fiscal Year 2014, the Endowment Match Program provided graduate fellowships to 657 recipients.

FOCUS ON KENTUCKY

Bucks for Brains is a primary catalyst for the substantial growth in external research funding to the University and the Commonwealth. Since the Kentucky General Assembly passed the Postsecondary Education Improvement Act of 1997 (House Bill 1), external research grants and contracts at the University have increased from \$122 million to \$259.3 million (a 113 percent increase). (www.research.uky.edu/numbers/stats.html).

During Fiscal Year 2014, external research grants and contracts resulted in a \$374.1 million contribution to the Kentucky economy, including \$201.4 million in personal income. Externally supported research accounted for 9,803 jobs at UK and throughout Kentucky. Each dollar of out-of-state external funding for research generates approximately \$1.90 of total related expenditures.

ENDOWMENT ACTIVITY

Since its creation, the University of Kentucky has received \$233,334,000 in state funds through the Research Challenge Trust Fund for the Endowment Match Program and the Research Capital Match Program which was created by the 2008 Kentucky General Assembly to support research capital projects. Pursuant to its statutory authority, the University of Kentucky Board of Trustees allocated \$21,926,570 to the Research Capital Match Program and the remaining funds have been dedicated to the Endowment Match Program. Per the Program Guidelines for both the Endowment Match Program and the Research Capital Match Program all state funds have been fully matched by the University on a dollar-for-dollar basis.

Since 1998, the University of Kentucky has used the Endowment Match Program to:

- create 93 endowed chairs and 213 endowed professorships; and
- create 264 fellowships, enrichments and mission support projects to support research and the William T. Young Library (Table 1).

TABLE 1: ENDOWMENT MATCH PROGRAM POSITIONS, PROGRAMS AND PROJECTS ESTABLISHED DURING FISCAL YEAR 2013-2014 AND SINCE PROGRAM INCEPTION

Activity	2013-2014		1998-2014	
	Number of Positions or Projects	Occupied Projects with Expenditures	Number of Positions or Projects	Occupied Projects with Expenditures
Chair	0	0	93	84
Professorships	0	0	213	153
Fellowships	0	0	127	87
Mission Support	0	0	137	95
TOTAL	0	0	570	419

ENDOWMENT MATCH PROGRAM AT A GLANCE

Endowment Match Program faculty have made substantial contributions to the University of Kentucky research portfolio. As of June 30, 2014, UK has 315 total active patents, primarily in drug development and drug design; plant biotech; equine health; medical implants; drug delivery systems; and medical devices. Thirty new patents were issued and 23 patent applications were filed in Fiscal Year 2014. UK received \$3.3 million in licensing revenue, held a total of 124 licenses, and issued 11 new licenses, six of which were to UK start-up companies. According to the 2012 report from AUTM, the Association of University Technology Managers, UK ranks 30th (tied with six other universities) in start-up companies among all public universities. In addition, UK ranks 56th among all universities and 30th among all public universities in total licensing income.

DEMOGRAPHIC INFORMATION

As of June 30, 2014, the University of Kentucky has appointed a total of 203 faculty endowed chairs and professorships under the auspices of the Endowment Match Program. Of those appointments, 165 (81.3 percent) are male, and 38 (18.7 percent) are female. Of the 807 research staff associated with the Bucks for Brains program, 373 (46.2 percent) are male and 434 (53.8 percent) are female (Table 2).

TABLE 2: GENDER OF ENDOWMENT MATCH PROGRAM FACULTY AND STAFF AS OF JUNE 30, 2014

	Male	Female	TOTAL
Chairs	56	12	68
Professorships	109	26	135
Staff	373	434	807
TOTAL	538	472	1,010

TABLE 3: RACE OF ENDOWMENT MATCH PROGRAM FACULTY AND STAFF AS OF JUNE 30, 2014

	White	Black	American Indian	Asian	Hispanic	TOTAL
Chairs	57	0	0	9	2	68
Professorships	120	3	0	11	1	135
Staff	557	53	1	158	38	807
TOTAL	734	56	1	178	41	1,010

During Fiscal Year 2014 there were 127 endowments supporting graduate fellowships. Since a single endowment may support multiple student awards, 657 graduate students received support from endowment proceeds (Table 4). There were 260 (39.8 percent) minority students among fellowship recipients.

TABLE 4: GENDER AND RACE OF ENDOWMENT MATCH PROGRAM FINANCIAL AID RECIPIENTS FOR AWARDS MADE DURING FISCAL YEAR 2014

Fellowship Recipients	White	Black	Other	Asian	Hispanic	TOTAL
Male	194	18	16	65	11	304
Female	203	38	18	69	25	353
TOTAL	397	56	34	134	36	657

AREAS OF CONCENTRATION

The Council on Postsecondary Education’s 2002-04 and 2008-10 Endowment Match Program Guidelines stipulate that at least 70 percent of program funds at the research institutions must be used to support Research Challenge programs or academic disciplines contained within Kentucky’s five new economy clusters: (1) human health and development; (2) biosciences; (3) materials science and advanced manufacturing; (4) information technologies and communications; and (5) environmental and energy technologies. As shown below, the University of Kentucky directed 79.2 percent of the funds to support positions, programs, or projects within the new economy clusters (Table 5).

TABLE 5: 2002-2004 AND 2008-10 ENDOWMENT MATCH PROGRAM FUNDS DEDICATED TO COUNCIL PRIORITY AREAS

	State Funds	Private Funds	Total	Percent of Total
Human Health & Development	\$43,189,851	\$43,189,851	86,354,901	55.3%
Biosciences	11,465,768	11,465,768	22,931,536	14.7%
Materials Science & Advanced Manufacturing	4,881,904	4,881,904	9,763,808	6.3%
Information Technologies & Communications	997,771	997,771	1,995,543	1.3%
Environmental & Energy Technologies	1,254,500	1,254,500	2,533,800	1.6%
Other	16,283,636	16,283,636	32,567,272	20.8%
TOTAL	\$78,073,430	\$78,073,430	\$156,146,860	100.0%

Pursuant to the 2002-04 and 2008-10 Endowment Match Program Guidelines, the University also directed at least 70 percent of program funds to support chairs, professorships or research scholars, and infrastructure or fellowships directly linked to the research activities of endowed chairs and professors.

FINANCIAL SUMMARY

The University has received all currently available state matching funds for the Endowment Match Program. The market value and accumulated fund balances as of June, 30, 2014, of all endowments created or expanded as part of the Endowment Match Program total \$523,648,483.

University of Kentucky
Endowment Match Program
Table 6: Endowments Established or Expanded with State Funds
For All Matched FY 2002-04 and FY 2008-10 Appropriated Funds
Reporting Period: Fiscal Year 2013 - 2014

Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
New RCTF Funded Endowments										
		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
New RCTF Funded Endowments Sub-Total		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Endowments Expanded										
Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies ²	12/19/01	\$104,210	\$91,910	\$192,708	(\$12,400)	\$0	\$28,789	\$0	\$209,096	\$0
Earth and Environmental Sciences Endowed Alumni Professorship ²	11/07/06	55,000	70,437	138,168	12,400	7,700	22,498	0	180,765	0
Lawson Endowment Fund - UK Center for Research on Violence Against Women ³	11/04/02	100,000	100,000	205,727	(100,000)	(100,000)	(81,244)	75,518	0	0
Georgia Davis Powers Endowment Fund ³	01/22/07	456,580	458,792	997,938	43,420	44,422	202,096	(69,116)	1,218,760	0
University of Kentucky Center for Research on Violence Against Women ³	10/03/03	75,956	77,250	193,897	56,580	62,330	85,747	(49,297)	349,257	0
Endowments Expanded Sub-Total		\$791,746	\$798,388	\$1,728,438	\$0	\$14,452	\$257,885	(\$42,895)	\$1,957,879	\$0
Grand Total		\$791,746	\$798,388	\$1,728,438	\$0	\$14,452	\$257,885	(\$42,895)	\$1,957,879	\$0

Notes to Table 6:
¹ Net of reinvestment of prior year spending distributions.
² Board Action FCR 7, December 17, 2013 - State funds transferred from the Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies to the Earth and Environmental Sciences Endowed Alumni Professorship.
³ Board Action FCR 8, December 17, 2013 - State funds transferred from the Lawson Endowment Fund - UK Center for Research on Violence Against Women to the Georgia Davis Powers Endowment Fund and the University of Kentucky Center for Research on Violence Against Women.

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2013 - 2014

Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
New RCTF Funded Endowment										
		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
New RCTF Funded Endowment Sub-Total		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Existing RCTF Funded Endowments										
Abercrombie Foundation Research - Art	01/17/01	\$400,000	\$400,000	\$780,804	\$0	\$0	\$118,707	(\$17,492)	\$882,019	\$0
Abercrombie Foundation Research Fund - Music	01/17/01	400,000	400,000	761,083	0	0	115,363	(28,722)	847,724	0
AIA Kentucky Endowed Professorship	11/28/00	100,000	100,025	204,987	0	0	31,060	(6,655)	229,392	0
Alan F. Bloomfield Professorship in Business	02/10/97	150,000	150,405	294,470	0	0	44,551	(10,105)	328,916	0
Albert and Lorraine Clay Visiting Scholars Endowment	04/06/99	750,000	750,000	1,526,693	0	0	231,059	(52,408)	1,705,344	0
Albert G. Clay Endowed Chair in Equine Reproduction ²	12/09/98	3,250,000	24,225	3,915,379	0	0	600,682	0	4,518,061	0
Alberto Mazzoleni MD Professorship/Chair in Cardiology	12/10/08	149,001	169,700	349,552	0	3,250	53,098	(12,049)	393,850	0
Albisetti Dissertation Research Fellowship	06/24/03	50,000	76,038	129,866	0	1,500	19,954	(5,144)	146,176	0
Alfred M. Cohen M.D. Chair in Oncology Research	03/21/03	500,000	500,000	1,033,396	0	0	156,712	(20,762)	1,169,347	0
Alice P. Killpatrick Endowed Fellowship	04/09/09	200,211	256,599	550,937	0	0	83,350	(18,905)	615,382	0
Alvin L. Morris Professorship in Oral Health Research ³	01/20/98	200,000	200	191,113	0	0	28,914	(6,558)	213,469	0
Amerman Family Equine Research Endowment Fund	12/31/03	500,000	500,000	1,013,452	0	0	156,163	(34,806)	1,134,809	0
Ammon Nursery/Landscape Research Endowment	09/28/99	25,000	25,232	50,202	0	0	7,595	(1,723)	56,075	0
Ann Scott & Ralph E. Mason Endowed Research Fund in Opera Studies	11/10/01	100,000	100,000	221,567	0	0	34,312	(4,968)	250,910	0
Ann Scott Maher Mason Endowed Research Fund in Choral Studies	10/29/04	100,000	100,000	213,744	0	0	33,100	(4,793)	242,051	0
Anne Scott Maher Mason Vocal Music Scholarship Fund	12/04/95	50,000	50,000	103,680	0	0	15,763	(2,323)	117,119	0
Anthony V. Carozza Fellowship	08/31/05	50,000	50,000	126,648	0	0	19,430	0	146,077	0
Antonides-Williams Visiting Professorship	03/03/07	58,812	59,419	110,982	0	0	16,790	(3,808)	123,964	0
Antonio S. Turco Professorship in Biochemistry	10/18/00	218,000	218,000	438,601	0	0	67,591	(15,065)	491,127	0
Appalachian Fellowship Endowment Fund	12/08/98	1,000,000	1,031,838	1,904,616	0	180	288,162	(65,359)	2,127,599	0
Armor & Letitia Taylor Fund for Library Research Equipment	08/23/99	218,800	218,800	447,606	0	0	68,694	0	516,300	0
Arthur Andersen Endowed Professorship	11/20/00	100,000	336,327	413,626	0	0	62,578	(14,194)	462,011	0
Arthur E. Walker, Jr. Graduate Fellowship in Civil Engineering	12/31/01	300,000	300,000	611,657	0	0	92,539	(20,989)	683,207	0
Arthur Lieber, M.D. Professorship in Diagnostic Radiology	10/10/03	310,000	310,000	873,194	0	0	132,065	(31,854)	973,404	0
Arts & Sciences Endowed Professorship	05/20/08	495,217	495,417	1,221,800	0	0	184,845	(41,926)	1,364,720	0
Arts and Sciences DiSivestro Professorship	06/01/04	50,000	50,000	101,046	0	0	15,502	0	116,548	0
Arturo Alonzo Sandoval Endowed Professorship	07/25/06	100,000	102,681	197,855	0	675	30,129	(4,439)	224,221	0
Arvie & Ellen Turner Thacker Endowment Fund	09/02/02	50,000	50,000	111,901	0	0	16,930	(3,840)	124,991	0
Ashland Inc. Chair in Chemical Engineering	01/20/99	1,000,000	1,000,000	2,045,017	0	0	309,394	(70,176)	2,284,236	0
Ashland Inc. Research Fellowship in Literacy and Leadership	12/08/04	200,000	200,000	400,930	0	0	60,657	(13,758)	447,829	0
Ashland Inc. Library Endowment	09/26/00	1,000,000	1,000,000	2,195,877	0	0	333,378	(56,692)	2,472,563	0
Ashwini Anand, M.D. Professorship in Cardiology	04/06/05	50,000	50,000	128,923	0	0	19,779	0	148,702	0
Barnhart Memorial Fellowship in Geography	10/05/05	73,295	73,345	178,028	0	0	27,312	0	205,340	0
Barnstable Brown Kentucky Diabetes & Obesity Center Endowed Chair	06/18/09	500,000	500,000	1,162,249	0	0	175,835	(39,882)	1,298,202	0

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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Barnstable Brown Kentucky Diabetes & Obesity Center Research	06/18/09	2,047,991	2,047,492	5,109,867	0	499	780,768	(51,305)	5,839,829	0
Barnstable Brown Pediatric Diabetes Laboratories Research Endowment	09/01/06	500,000	501,000	859,636	0	0	131,882	0	991,518	0
Barnstable Brown Chair in Pediatrics Diabetes Research	02/13/00	900,000	900,000	1,787,137	0	0	274,176	0	2,061,313	0
Bayer Professorship in Pulmonary Medicine	03/02/99	177,500	177,500	338,212	0	0	51,165	(11,605)	377,773	0
Beaven-Eidetik Doctoral Research Fellowship	11/16/05	50,000	50,000	114,211	0	0	17,723	(1,974)	129,961	0
Beaven-Eidetik Research Fellowship in Developmental Disabilities and Mental Retardation	08/10/01	50,000	50,300	132,551	0	0	20,569	(2,291)	150,829	0
Belinda Mason Carden and Paul Mason Professorship in HIV/AIDS Research	08/11/98	151,960	181,810	328,035	0	0	49,629	(11,257)	366,407	0
Bell Alcohol and Addictions Chair	01/20/06	852,368	896,650	1,676,871	0	100	253,708	(57,545)	1,873,134	0
Benjamin C. Warf, M.D., Lectureship/Fellowship in Pediatric Neurosurgery	01/09/01	100,000	100,000	194,852	0	0	29,894	0	224,746	0
Bill & Marie Cull Fellowship Endowment Fund	03/01/05	100,000	100,393	192,314	0	0	29,092	(6,599)	214,808	0
Blazie Family Professorship in Electrical and Computer Engineering	03/25/02	50,000	60,000	118,359	0	0	17,907	(4,062)	132,204	0
Bobby C. Pass Professorship	04/27/05	54,846	87,682	141,797	0	200	21,454	(4,866)	158,585	0
Boehringer Ingelheim Pulmonary Endowed Research Professorship	07/26/02	185,000	185,000	396,744	0	0	60,024	(13,614)	443,154	0
Bonnie Jean Cox Graduate Research Endowment in Gender and Women's Studies ²	12/19/01	104,210	91,910	192,708	(12,400)	0	28,789	0	209,096	0
Boone Graduate Fellowship	07/21/06	50,000	50,000	123,805	0	0	18,894	(8,000)	134,699	0
Braden-Clark Fellowship in Parkinson's Disease Research	06/09/09	225,249	350,000	666,302	0	50,000	104,134	0	820,435	0
Brown and Williamson Research Fund	04/06/99	250,000	250,000	526,394	0	0	79,669	(18,070)	587,992	0
Brown-Forman Visiting Chair in Urban Design	12/19/01	500,000	502,051	1,005,872	0	0	152,180	(34,517)	1,123,535	0
Brown-McFarlan Endowed Fund	12/29/10	82,266	123,921	230,137	0	1,100	35,395	0	266,632	0
Bruce A. Lucas, M.D. Endowment for Urologic Research	03/07/01	70,000	70,074	133,701	0	0	20,228	(4,588)	149,341	0
Buckhorn Professor of Social Work	06/01/99	150,000	150,025	300,335	0	0	45,438	(10,306)	335,467	0
Buck-Kentucky Lung Cancer Research Chair Endowment Fund	10/22/02	300,000	792,494	1,191,608	0	0	180,281	(40,891)	1,330,998	0
Burt L. Sims Graduate Fellowship	10/30/03	50,000	50,000	100,943	0	0	15,270	(3,464)	112,749	0
Bush-Holbrook Endowed Chair/Professorship in the English Department	09/25/06	574,788	574,789	1,205,191	0	0	184,696	(15,783)	1,374,104	0
Bush-Holbrook Graduate Fellowship in the College of Arts and Sciences	09/25/06	324,399	324,399	768,905	0	0	117,961	0	886,865	0
Byron Young Fellowship for Neuroscience Research	05/27/09	50,000	50,000	124,616	0	0	18,991	(10,162)	133,446	0
Byron Young, MD Research Endowment for Neurosurgery Residents	08/10/09	100,000	132,250	283,648	0	33,750	45,289	(14,191)	348,496	0
C. M. Gatton Endowment Fund	05/04/99	1,024,500	1,025,190	2,182,869	0	0	330,250	(74,906)	2,438,213	0
C. M. Gatton Endowment Fund - Chairs	05/04/95	6,000,000	6,000,100	12,981,607	0	0	1,964,012	(445,469)	14,500,150	0
C. M. Gatton Endowment Fund - Faculty Research & Development	05/04/95	2,000,000	2,000,000	4,411,005	0	0	667,349	(151,366)	4,926,988	0
C. M. Gatton Endowment Fund - Professorship	05/04/95	2,000,000	2,000,000	4,259,884	0	0	644,486	(146,180)	4,758,190	0
C. Michael Carver Endowment Fund - Fellowship Fund	09/11/00	750,000	751,039	1,351,916	0	0	207,406	0	1,559,322	0

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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Cardinal Hill Rehabilitation Hospital Chair in Neurorehabilitation	06/06/99	1,000,000	1,000,150	1,866,472	0	0	282,380	(64,048)	2,084,804	0
Cardinal Hill Rehabilitation Hospital Chair in Spinal Cord Injury Rehabilitation	06/06/99	500,000	500,000	912,949	0	0	138,121	(31,328)	1,019,742	0
Cardinal Hill Rehabilitation Hospital Fellowship	06/01/06	40,000	40,000	77,566	0	0	11,735	(2,662)	86,639	0
Cardinal Hill Rehabilitation Hospital Professorship in Traumatic Brain Injury	06/06/99	500,000	500,000	924,319	0	0	141,090	(7,604)	1,057,806	0
Cardiology Associates of Kentucky Fellowship Fund	09/13/01	137,500	137,500	274,948	0	0	41,597	(9,435)	307,111	0
Cardiovascular Research and Education Fund	07/26/06	50,000	62,200	128,173	0	0	19,663	0	147,836	0
Carmen L. Buck Professorship/Chair in Oncology Research Endowment Fund	10/10/02	429,453	429,453	950,875	0	0	143,860	(32,630)	1,062,105	0
Carol Lee Robertson Endowed Professorship in Literacy	02/04/99	160,000	256,400	421,323	0	10,000	64,208	(14,589)	480,942	0
Caroline Frances Flansdell Research Professorship	03/06/01	51,179	51,179	92,974	0	0	14,066	(3,190)	103,849	0
Carroll M. Redford, Jr. Scholarship Endowment	08/15/06	50,000	72,125	117,084	0	5,100	18,324	(4,095)	136,412	0
Center for Aluminum Technology Endowment Fund	06/29/99	1,775,000	1,775,000	3,771,985	0	0	581,283	(129,559)	4,223,710	0
Center for Financial Services Research Endowment	08/28/00	50,000	50,000	97,124	0	0	14,694	(3,333)	108,485	0
Center for Real Estate Studies Endowment	08/28/00	50,000	50,000	87,031	0	0	13,352	0	100,382	0
Center for Sports Marketing Endowment Fund	06/15/00	75,000	75,000	140,966	0	0	21,918	(2,023)	160,860	0
Center on Aging Foundation Fellowship in Gerontology	02/01/99	75,000	75,500	147,125	0	100	22,262	(5,049)	164,437	0
Center on Membrane Sciences Graduate Student Mentoring Endowment	05/25/99	25,000	27,750	57,130	0	0	8,646	(1,961)	63,815	0
Charles D. Lucas, Jr. Endowment for Parkinson's Disease Research	02/12/04	50,000	53,804	139,929	0	620	21,530	0	162,079	0
Charles H. Nicholson, M.D. Residency Fellowship in General Surgery	12/26/02	62,100	87,756	166,407	0	0	25,176	(5,710)	185,873	0
Charles Parker Graves Endowed Professorship Fund	05/15/01	57,335	63,730	117,886	0	0	17,835	(4,045)	131,676	0
Charles S. Cassis Professorship of Law	07/19/00	102,984	103,485	188,148	0	0	28,865	0	217,013	0
Charles T. Wethington, Jr. Graduate and Professional Fellowship	12/09/98	500,000	500,000	992,334	0	0	150,132	(34,052)	1,108,413	0
Charles W. Hammond Professorship in Chemistry	05/12/04	340,000	349,405	976,518	0	0	149,812	0	1,126,330	0
Charlotte R. Schmidlapp Fellowship in Gerontology	01/05/01	150,000	150,000	281,308	0	0	42,560	(9,653)	314,214	0
Charlotte R. Schmidlapp Fellowship in Gynecologic Oncology	09/10/02	100,000	100,000	211,861	0	0	32,053	(7,270)	236,643	0
Chellgren Endowed Chair in Corporate Strategy	06/02/00	500,000	500,153	966,184	0	0	146,176	(33,155)	1,079,205	0
Chellgren Fund for Excellence	01/05/05	2,250,000	2,256,850	4,457,646	0	2,000	674,395	(152,969)	4,981,073	0
Chellgren Lecturer Series	05/05/99	100,000	100,000	189,637	0	0	28,691	(6,507)	211,820	0
Children's Miracle Network Research Chair #3	10/06/04	1,000,000	1,000,000	2,222,398	0	0	336,220	(76,260)	2,482,358	0
Children's Miracle Network Research Chair in Pediatrics, No.2	12/08/98	500,000	504,303	1,100,103	0	0	166,437	(37,750)	1,228,789	0
Class of 1949 Fellowship Endowment Fund	11/13/00	50,000	93,241	132,841	0	0	20,168	(3,430)	149,579	0
Clay Lancaster Endowed Professorship in Historic Preservation	03/07/00	250,500	275,940	569,860	0	0	87,819	(19,573)	638,105	0
Clifford J. Swauger, Jr. Summer Graduate Fellowship	07/28/05	59,160	59,565	125,883	0	0	19,261	(4,000)	141,144	0
College of Law 2002 Memorials Fellowship Endowment Fund	12/31/03	50,922	76,185	127,115	0	7,350	19,708	(4,441)	149,732	0
College of Nursing Millennium Fund	12/05/00	95,968	97,592	189,777	0	542	28,737	(6,518)	212,538	0

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		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
College of Pharmacy Parent & Alumni Scholarship/Fellowship Endowment Fund	06/22/02	51,454	57,075	128,180	0	0	19,393	(4,399)	143,174	0
Commonwealth Professorship of Minimally Invasive Surgery	09/25/98	100,000	100,000	195,592	0	0	29,592	(6,712)	218,472	0
CompEd, Inc. Fellowship Fund	06/06/02	50,000	110,000	161,145	0	0	24,380	(5,530)	179,995	0
Constance Wilson Endowed Professorship in Mental Health	06/24/99	50,000	83,923	136,955	0	180	20,739	(4,704)	153,170	0
Cottrill-Rolfes Chair in Catholic Studies	10/28/05	500,000	501,000	940,081	0	0	143,950	(22,002)	1,062,028	0
Cralle Foundation/Joan Cralle Day Endowed Fellowship Fund	12/11/01	300,000	300,000	611,687	0	0	92,543	(20,990)	683,240	0
Cralle-Day Children at Fisk Endowment	07/31/06	500,000	500,000	1,042,693	0	0	157,750	(35,780)	1,164,663	0
Creed Fulton Smith Endowed Fellowship Fund	01/31/02	50,000	50,000	105,920	0	0	16,025	(3,635)	118,310	0
CSX Transportation Graduate Fellowship Fund	02/23/01	50,000	53,538	104,058	0	0	16,036	(3,574)	116,520	0
Cynthia Shaw Crispen Chair in ALS Disease Research	11/15/99	500,000	500,325	909,238	0	0	137,558	(31,200)	1,015,596	0
Databeam Professorship in Electrical & Computer Engineering	11/05/01	80,000	85,000	167,319	0	0	25,314	(5,742)	186,891	0
David B. Stevens, M.D. Medical Fellowship Endowment	02/09/07	50,000	50,000	87,213	0	0	13,372	0	100,585	0
David B. Stevens, M.D. Professorship or Chair in Orthopaedic Surgery	04/12/02	50,000	50,000	152,066	0	0	23,329	0	175,395	0
David H. and Barbara Schlund Kaufman Professorship	06/05/00	50,000	55,021	105,336	0	0	16,233	(3,618)	117,951	0
Della Marie Johnson Endowed Research Fund	03/10/06	50,000	58,190	97,591	0	2,183	15,026	(1,701)	113,099	0
Deloitte Foundation Professorship in Accounting	01/17/07	121,525	163,775	341,237	0	0	51,625	(11,709)	381,153	0
Delta Dental Plan of Kentucky Clinical Research Center Endowment	08/21/02	750,000	750,000	1,704,388	0	0	257,860	(58,487)	1,903,761	0
Dennis M. Telkrony Fund for Seed Science Research	03/31/08	50,929	49,179	109,780	0	1,750	16,630	(3,767)	124,394	0
DePuy Neuro Fellowship	06/19/00	500,000	501,608	909,771	0	0	137,641	(31,219)	1,016,193	0
DePuy Neuro/Spine Surgery Fund	06/19/00	500,000	500,000	919,812	0	0	140,782	(26,702)	1,033,892	0
DePuy Orthopaedic Fellowship	06/19/00	235,000	235,000	468,528	0	0	71,880	0	540,407	0
Dermontti F. & Regina M. Dawson Graduate Fellowship in Education	02/20/01	50,000	50,300	93,086	0	0	14,152	(2,085)	105,153	0
Development Dimensions International Professorship in Behavioral Health	03/17/00	100,000	100,050	189,095	0	0	28,609	(6,489)	211,215	0
Division of Urology Leadership Endowment for Resident Research and Education	04/27/10	240,000	242,300	545,735	0	0	82,563	(18,727)	609,572	0
Don Corum and National Nursery Products/Landscape Research Endowment	05/04/99	50,000	50,000	99,542	0	0	15,065	(3,417)	111,191	0
Donald L. and Gertrude L. Lester Professorship in Mechanical Engineering	03/18/02	100,000	100,000	215,149	0	0	33,156	(7,390)	240,915	0
Doris Nowak and William E. Stilwell, III Graduate Fellowship in Educational and Counseling Psychology	08/15/06	50,000	63,780	113,302	0	8,480	17,465	(3,943)	135,304	0
Dorothy A. Miller Research Professorship in Social Work Education	01/27/00	100,000	100,705	190,780	0	0	28,863	(6,547)	213,096	0
Dorothy Leathers Graduate Fellowship Fund	02/23/99	25,000	39,200	65,142	0	1,050	10,035	(2,150)	74,077	0
Dorothy Luther Nursing Fellowship	06/25/03	50,759	52,759	114,047	0	0	17,254	(3,914)	127,388	0
Douglas A. and Carole A. Boyd Professorship in Communication	05/06/11	100,674	100,674	206,476	0	0	31,238	(7,085)	230,629	0

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Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2013 - 2014

Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Douglas J. Von Allmen Center for Entrepreneurship & E-Commerce	09/19/00	2,000,000	2,002,000	3,688,777	0	0	558,082	(126,582)	4,120,277	0
Douglas J. Von Allmen School of Accountancy Endowed Chair	09/19/00	2,000,000	2,005,500	3,697,032	0	0	559,331	(126,865)	4,129,497	0
Dr. and Mrs. C. Milton Coughenour Sociology Professorship in Agriculture and Natural Resources	05/17/00	100,000	100,000	192,395	0	0	29,108	(6,602)	214,901	0
Dr. Anthony N. DeMaria Cardiovascular Imaging Chair	05/09/05	500,000	506,500	1,112,623	0	0	167,581	(66,423)	1,213,781	0
Dr. Claire Louise Caudill Professorship in Family Medicine	09/24/96	741,880	742,100	1,606,098	0	0	242,276	(161,526)	1,686,848	0
Dr. Donald T. Frazier Professorship	01/17/03	50,000	50,000	143,983	0	0	22,088	0	166,071	0
Dr. E. Vernon Smith and Eloise C. Smith Professorship in Macular Degeneration	05/11/06	100,000	100,000	220,552	0	0	33,836	0	254,388	0
Dr. E. Vernon Smith and Eloise C. Smith Alzheimer's Research Endowed Chair Fund	05/11/06	2,000,000	6,200,000	7,892,027	0	338,235	1,223,159	(160,462)	9,292,958	0
Dr. E. Vernon Smith and Eloise C. Smith Macular Degeneration Endowed Chair Fund	05/11/06	2,245,133	2,250,000	4,394,529	0	0	664,853	(150,799)	4,908,583	0
Dr. E. Vernon Smith and Eloise C. Smith Professorship in American History	05/11/06	100,000	100,000	203,093	0	0	31,158	0	234,251	0
Dr. E. Vernon Smith and William E. Smith Endowed Faculty Fellowship in the College of Business and Economics	05/11/06	100,000	100,000	192,170	0	0	29,074	(6,594)	214,649	0
Dr. E. Vernon Smith, Bertice Henry Smith and Bertice Harold Smith Professorship in Nursing	05/11/06	100,000	100,000	197,583	0	0	29,893	(6,780)	220,696	0
Dr. Fred W. Zechman Professorship	01/17/03	50,000	50,000	129,176	0	0	19,755	(3,391)	145,541	0
Dr. Jamie Jacobs Medical Student Scholarship	06/12/02	50,000	50,000	96,404	0	0	14,585	(3,308)	107,681	0
Dr. Judith Ann Powell Pachtman Professorship or Chair in Obstetrics & Gynecology	01/19/01	50,000	51,000	158,092	0	0	23,918	(5,425)	176,584	0
Dr. Laurie L. Humphries Endowed Chair in Child Psychiatry	06/30/10	500,000	1,250,000	1,989,495	0	0	300,993	(68,270)	2,222,218	0
Dr. Louis L. Boyarsky Professorship	01/17/03	50,000	50,000	105,346	0	0	15,906	(17,222)	104,031	0
Dr. Richard R. & Dorothy Hillenmeyer Crutcher Scholarship Fund	02/01/01	200,000	200,000	410,200	0	0	62,060	(14,076)	458,184	0
Dr. Robert and Linda Granacher Scholarship	03/30/11	50,132	50,133	109,371	0	0	16,779	0	126,150	0
Dr. Robert D. Hayes Endowed Graduate Fellowship Fund	06/01/01	50,000	51,292	109,115	0	1,240	16,919	(3,766)	123,507	0
Dr. Robert D. Marciani Endowed Fund in Oral & Maxillofacial Surgery & Oral Health Research	12/04/09	59,989	130,750	219,886	0	26,000	36,668	0	282,554	0
Dr. Shih-Chun Wang Professorship in Physiology	10/27/00	50,000	51,077	100,788	0	0	15,462	0	116,250	0
Dr. Thomas L. Skinner Professorship in Physiology	06/21/00	50,000	53,000	144,412	0	0	22,076	(6,356)	160,132	0
Dr. William A. & Ann T. Withington Graduate Student Support Fund	10/30/00	68,510	69,560	185,013	0	100	28,394	0	213,507	0
Dr. William Jack Carey Professorship in Emergency Medicine	01/09/01	50,000	51,814	151,269	0	0	23,207	0	174,476	0
Dr. William R. Willard Professorship in Behavioral Science	02/26/06	41,205	42,288	98,412	0	1,000	15,169	0	114,581	0
Drs. Barbara and James Holsinger Professorship in Anatomy	03/15/01	115,000	115,000	239,603	0	0	36,250	(8,222)	267,631	0
DuMez Faculty Research Development Fund	12/30/07	50,000	56,000	111,221	0	0	16,827	(3,817)	124,231	0

University of Kentucky
Endowment Match Program
Table 7: Components of Change in Endowment Market Value
During Fiscal Year 2013 - 2014

Endowment Name	Date Estab.	Prior to 07/ 01/ 13			During Fiscal Year 2013 - 2014				Market Value as of 06/ 30/ 14	Outstanding Pledges as of 06/ 30/ 14
		State Funds Received	Gifts Received	Market Value as of 07/ 01/ 13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
E. Preston Hicks Professorship for Orthodontics & Oral Health Research	09/30/04	477,001	481,835	1,046,782	0	4,500	159,773	(18,108)	1,192,947	0
E.A. Ford, III Endowment for ALS Research	03/26/04	50,000	50,000	117,484	0	5,000	18,258	0	140,742	0
Earl F. & Jean M. Lockwood Professorship of International Commerce and Entrepreneurship	09/28/00	200,000	200,000	378,884	0	0	57,322	(13,002)	423,205	0
Earl Platt Stone Endowed Professorship in Pharmacy Practice and Science	09/12/02	142,014	144,382	348,547	0	541	53,785	(11,985)	390,889	0
Earle C. Clements Graduate Research Fellowship	03/14/07	125,000	125,000	246,738	0	0	37,329	(8,467)	275,600	0
Earth and Environmental Sciences Endowed Alumni Professorship ²	11/07/06	55,000	70,437	138,168	12,400	7,700	22,498	0	180,765	0
Edith D. Gardner Chair in Cancer Research	11/27/89	789,700	789,700	1,949,542	0	0	290,494	(356,987)	1,883,049	0
Elise White Boyd Graduate Fellowship	12/09/04	125,000	139,244	250,012	0	0	37,822	(8,579)	279,255	0
Eloise C. Smith and Dr. E. Vernon Smith Medical Scholarship Endowment	05/11/06	800,000	800,000	1,544,349	0	0	233,646	(52,995)	1,725,000	0
Emery A. Wilson, M.D. Dean's Chair	05/31/07	99,037	103,124	241,359	0	1,500	37,094	0	279,953	0
Endowed Chair in Neuroscience	12/31/01	500,066	500,066	971,887	0	0	147,384	(19,526)	1,099,745	0
Endowed Chair in Neurosurgery	05/19/99	500,000	500,000	1,010,468	0	0	152,876	(34,675)	1,128,669	0
Endowed Chair in Orthopaedic Surgery Research	06/23/04	500,000	524,900	1,184,994	0	0	178,788	(98,364)	1,265,417	0
Endowed Chair/Distinguished Scholar in Rural Health Policy	09/03/01	1,000,000	1,000,000	2,200,636	0	0	343,886	0	2,544,522	0
Endowed Professorship in Cardiology	04/19/00	50,000	149,755	183,849	0	0	28,598	(2,640)	209,807	0
Endowed Professorship in Forestry and Environmental Sciences	12/31/01	500,066	500,066	1,056,266	0	0	159,864	(36,260)	1,179,870	0
Endowed Professorship in Neuro-Urologic Research	02/29/04	336,216	336,216	640,234	0	0	96,862	(21,970)	715,126	0
Ernst & Young Professorship of Accountancy	08/12/87	153,065	282,385	472,527	0	0	71,489	(16,215)	527,801	0
Ethelyn O'Neal Endowed Fellowship Fund	05/15/06	900,000	1,003,343	1,971,871	0	0	298,323	(67,665)	2,202,530	0
Evelyn Anderson Edwards Professorship	10/29/04	250,000	250,000	493,081	0	0	74,599	(16,920)	550,760	0
Everett H. Metcalf, Jr. Professorship of Law	06/19/01	200,000	200,000	422,958	0	0	64,726	(15,000)	472,684	0
Fifth and Pacific Foundation Endowment	12/31/04	125,000	125,000	290,986	0	0	44,020	(9,984)	325,021	0
Floyd H. Wright Research Endowment	12/08/98	1,000,000	1,000,000	2,089,264	0	0	316,089	(71,694)	2,333,659	0
Frank J. Derbyshire Research Professorship Endowment	12/06/99	100,000	128,858	250,913	0	0	38,050	(5,041)	283,922	0
G. Dan and Virginia Martin Pediatric Research Fund	12/31/08	100,000	90,000	219,465	0	10,000	33,822	(7,691)	255,595	0
Gaines Center Lafayette Seminar Endowment	03/18/04	111,230	137,851	253,207	0	301	38,329	(8,693)	283,143	0
Gallion & Baker Professorship	08/16/00	100,000	100,350	182,022	0	0	27,925	0	209,947	0
Cartner Group Chair in Network Engineering	09/15/98	900,000	900,000	1,852,520	0	0	280,271	(63,570)	2,069,222	0
Cary B. Knapp Endowed Chair in Arts Administration	06/24/99	500,000	500,000	981,867	0	0	148,880	(31,684)	1,099,063	0
Cary B. Knapp Endowment in Support of Business Education and the Arts	06/24/99	1,000,000	1,000,000	1,949,906	0	0	295,005	(66,912)	2,177,999	0
George A. Digenis Professorship/Chair in Drug Design and Discovery in Pharmaceutical Sciences	03/12/02	68,650	84,750	167,259	0	500	25,799	(5,752)	187,806	0
George A. Young Endowment for Stroke Research	08/02/02	1,479,600	1,968,415	5,622,990	0	0	858,973	(295,471)	6,186,493	0
George and Betty Blanda Professorship in Education	01/25/00	100,000	100,000	187,154	0	0	28,315	(6,422)	209,047	0
George E. Mitchell, Jr. Graduate Fellowship Fund for Animal Sciences	02/17/99	120,000	131,043	254,199	0	90	38,464	(8,724)	284,029	0
George Schwert Professorship in Biochemistry	10/18/00	235,000	235,000	668,558	0	0	103,344	(50,285)	721,617	0

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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Georgia Davis Powers Endowment Fund ⁶	01/22/07	456,580	458,792	997,938	43,420	44,422	202,096	(69,116)	1,218,760	0
Gerald L. Nichols Fellowship Fund	12/22/98	125,000	125,000	239,519	0	0	36,237	(8,219)	267,537	0
Geraldine L. Cosby Scholarship	12/09/98	45,000	45,000	88,931	0	0	13,455	(3,052)	99,334	0
Certrude F. Ribble Academic Fund	04/29/98	1,000,000	1,152,209	2,340,155	0	0	354,418	(130,418)	2,564,155	0
Gifford Blyton Professorship in Oral Communication and Forensics	06/04/02	197,926	201,226	422,924	0	0	63,985	(14,513)	472,396	0
Gill Foundation Chair in Preventive Cardiology ³	01/20/98	0	1,000,000	959,311	0	0	147,174	0	1,106,485	0
Gill Foundation Professorship in Interventional Cardiology ³	12/19/97	0	200,225	181,547	0	0	27,467	(6,230)	202,784	0
Gill Heart Institute Endowment ³	12/26/02	0	1,168,759	1,252,670	0	0	192,180	0	1,444,850	0
Gloria W. Singletary Chair in Women's Health	05/29/02	500,150	502,300	1,073,455	0	0	162,405	(36,836)	1,199,024	0
Cood Samaritan Chair in Health Education, Research, Health Promotion and Preventive Services	03/02/00	500,000	500,000	1,007,210	0	0	155,217	(34,595)	1,127,832	0
Cood Samaritan Professorship or Chair in Community Health Nursing	09/19/02	500,000	500,000	966,048	0	0	146,155	(33,150)	1,079,053	0
Cordon L. Hyde, M.D. Chair in Vascular Surgery	12/20/96	189,445	588,332	1,084,706	0	0	164,175	(37,234)	1,211,646	0
Graduate Studies and Research Endowed Fund	09/10/02	130,000	130,000	268,419	0	0	41,091	(7,143)	302,366	0
Gregory M. Jasko Cardiovascular Research Endowment in the Division of Cardiology	07/01/03	142,000	144,036	290,731	0	0	44,553	(1,833)	333,450	0
Guy M. Davenport Endowed Professorship in English	11/16/05	50,000	110,000	171,293	0	1,705,893	183,146	0	2,060,332	0
H. Bruce Price Professorship in Agricultural Economics	05/19/94	50,000	60,734	140,156	0	0	21,204	(4,810)	158,551	0
H. E. Katterjohn Professorship in Engineering	12/01/03	250,000	251,811	522,624	0	0	79,069	(17,934)	583,758	0
H. Lester Reynolds Professorship in Engineering	11/13/02	100,000	104,000	228,157	0	0	34,518	(7,829)	254,846	0
Hajja Razia Sharif Sheikh Islamic Studies Professor	02/14/11	100,000	100,000	206,755	0	0	31,280	(7,095)	230,940	0
Halcomb Endowed Fellowship in Medicine and Engineering	10/21/08	500,000	451,933	1,033,439	0	50,051	163,920	(37,039)	1,210,371	0
Hardin-Drnevich-Huang Professorship in Geotechnical Engineering	07/21/06	156,205	176,431	312,269	0	2,000	47,438	(10,756)	350,951	0
Harold R. Burton Endowed Professorship in Plant Biochemistry	05/01/00	618,750	618,750	1,263,031	0	0	191,086	(43,341)	1,410,776	0
Harper Industries, Inc. Graduate Fellowship Endowment Fund	10/14/99	200,000	200,000	429,487	0	0	65,005	(14,743)	479,749	0
Harry B. Kostenbauder Professorship in Pharmacy ³	04/24/02	200,000	34,825	242,795	0	0	36,732	(8,331)	271,195	0
Harry E. Wheeler Chair in Plant Mycology	09/28/00	500,000	500,000	925,670	0	0	140,046	(31,765)	1,033,952	0
Helen Edwards Abell Chair in Historic Preservation	03/15/00	500,937	502,612	984,982	0	0	149,020	(33,800)	1,100,202	0
Helen Thacker Graduate Fellowship in Educational and Counseling Psychology	06/14/00	100,000	100,000	195,645	0	0	30,150	(6,720)	219,075	0
Hellmann Family Fund in the Division of Infectious Diseases	02/07/02	50,000	50,000	96,223	0	0	14,558	(3,302)	107,478	0
Hellmann Family Scholarship Endowment Fund	04/11/08	50,000	50,000	110,577	0	0	16,729	(3,794)	123,512	0
Hildegard Rose Shapiro Endowed Equine Research Fund	03/20/09	500,000	520,597	1,196,390	0	46,540	181,581	(41,054)	1,383,457	0
Holbrook Law Library Endowment	05/24/01	150,000	180,413	370,857	0	5,000	57,667	(9,644)	423,880	0
Infectious Diseases Research Professorship in Internal Medicine	05/10/02	50,000	50,000	107,440	0	0	16,255	(3,687)	120,008	0
Institute of Rural Journalism & Community Issues Endowed Fund for Excellence	03/28/05	722,097	727,218	1,211,463	0	5,600	183,596	(41,653)	1,359,007	0

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Issac T. Stewart Fellowship Fund	12/15/05	50,000	50,000	85,626	0	0	13,091	(742)	97,975	0
J. C. Fern Graduate Fellowship Fund	11/13/00	50,000	69,944	132,825	0	0	20,377	(31)	153,171	0
J. Cary Maynard, Jr. Professorship for Periodontology and Oral Health Research	11/10/05	300,259	305,208	708,518	0	3,000	110,952	0	822,470	0
J. J. B. Hilliard, W.L. Lyons, Inc. Chair for Innovation Management	06/22/99	500,000	500,000	969,840	0	0	146,729	(33,280)	1,083,288	0
Jack & Linda Gill College of Arts & Sciences Research Excellence Fund	06/18/01	500,000	500,000	851,710	0	0	130,114	(44,328)	937,496	0
Jack & Linda Gill Engineering Research Excellence Fund	06/18/01	500,000	500,000	833,089	0	0	126,534	(20,656)	938,967	0
Jack M. Gill Chair in Internal Medicine ²	01/20/98	1,000,000	0	979,471	0	0	148,186	(33,611)	1,094,046	0
Jacqueline A. Noonan - OMN Research Chair in Pediatrics ³	12/08/08	1,000,000	1,002,276	1,969,036	0	0	297,896	(67,568)	2,199,365	0
Jacqueline A. Noonan Professorship in Pediatrics	01/20/98	200,000	49,823	256,402	0	7,621	39,139	(8,870)	294,291	0
Jacqueline K. Resinger Fellowship in Medical Laboratory Science	02/27/04	50,000	50,000	106,024	0	0	16,041	(3,638)	118,426	0
Jacqueline Y. Miller Smith Visiting Professorship in Women's Health & Rheumatology	03/16/06	54,225	54,825	110,254	0	0	16,914	0	127,168	0
James & Mary Lassiter Endowed Chair	12/22/00	500,000	500,000	924,571	0	0	141,844	0	1,066,416	0
James & Mary Lassiter Endowed Fellowship	05/17/01	200,000	219,025	397,155	0	0	60,086	(13,629)	443,613	0
James & Mary Lassiter Endowed Professorship	05/17/01	100,000	100,000	190,657	0	0	28,845	(6,542)	212,959	0
James and Cora Sanders Nursery/Landscape Research Endowment	04/28/99	26,195	29,145	55,434	0	0	8,387	(1,902)	61,918	0
James and Diane Stuckert BS/MBA Program Endowment Fund	04/02/07	3,200,000	3,200,000	6,396,428	0	0	967,729	(219,497)	7,144,661	0
James and Diane Stuckert BS/MBA Program Endowment Fund	04/02/07	1,800,000	1,800,000	3,517,379	0	0	532,153	(120,701)	3,928,832	0
James and Diane Stuckert Professorship in Service Learning	08/23/05	250,000	250,000	471,310	0	0	71,305	(16,173)	526,442	0
James Arvle Thacker Fund	06/30/04	50,000	50,000	148,213	0	0	23,161	0	171,374	0
James E. & Christine D. Lockett Endowed Fellowship Fund	08/23/05	400,000	400,078	773,663	0	0	117,049	(26,549)	864,163	0
James F. Glenn, M.D. Endowed Chair in Urology	10/25/00	600,000	600,000	1,216,371	0	0	184,027	(41,740)	1,358,657	0
James F. Hardyman Chair in Manufacturing Systems	02/19/99	500,000	500,000	954,575	0	0	144,419	(32,757)	1,066,238	0
James F. Hardyman Chair in Urologic Research	04/06/00	1,000,000	1,000,000	1,829,130	0	0	276,732	(62,767)	2,043,095	0
James F. Hardyman Chairs in Networking	02/19/99	500,000	505,293	959,868	0	0	145,220	(32,938)	1,072,150	0
James F. Hardyman Graduate Fellowship Program	02/19/99	500,000	500,000	954,575	0	0	144,419	(32,757)	1,066,238	0
James L. & Suzanne H. Elliott Professorship in Pediatrics	12/09/04	47,937	47,937	126,862	0	0	19,401	(4,912)	141,352	0
James R. Boyd Professorship in Electrical Engineering	01/28/98	100,000	118,168	230,724	0	25,000	38,703	(8,708)	285,719	0
James Stephen Brown Graduate Appalachian Studies Fund	02/20/99	50,330	51,200	103,729	0	0	15,853	(4,878)	114,704	0
James W. Holsinger, Jr. M.D. Professorship in Neurosciences	11/26/03	50,000	50,000	133,534	0	0	20,202	(4,582)	149,154	0
Jana Oliver Memorial Fellowship Fund	12/28/00	100,000	100,000	190,345	0	0	28,797	(6,532)	212,611	0
Janet Galloway Carter Chair in Rehabilitation Medicine	05/10/00	272,714	874,451	1,372,486	0	0	207,714	(47,113)	1,533,087	0
Janet H. Koller Endowment for Equine Research and Development	01/25/05	1,684,279	1,684,968	3,003,154	0	0	454,292	(103,041)	3,354,406	0

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Janet H. Koller Equine Bioinformatics Research Endowment	09/30/05	2,000,000	2,000,113	3,669,766	0	0	555,209	(125,930)	4,099,045	0
Janet H. Koller Equine Infectious Diseases Research Endowment	08/31/05	2,000,000	2,000,000	3,669,777	0	0	555,211	(125,931)	4,099,057	0
Janet H. Koller Priority Response Research Endowment	01/25/05	1,000,000	1,000,000	1,855,309	0	0	280,693	(63,666)	2,072,336	0
Jason Alexander Gill Professorship in Cardiothoracic Surgery ³	12/19/97	0	200,000	234,736	0	0	35,009	(31,787)	237,957	0
Jefferson Morris Gill, Ph.D. Professorship in Cardiology ³	12/19/97	0	200,000	209,732	0	0	31,731	(7,197)	234,266	0
Jeffrey N. and Jennifer S. Quinn Graduate Fellowship in Engineering	04/05/07	50,000	50,089	98,888	0	0	14,961	(3,393)	110,456	0
Jennifer Gill Roberts Professorship in Pediatric Cardiology ³	12/19/97	0	200,000	207,623	0	0	31,412	(7,125)	231,910	0
Jennifer S. Quinn M.D. and Jeffrey N. Quinn Professorship in Pediatric Oncology Endowment	03/03/07	50,000	50,000	114,323	0	0	17,539	0	131,862	0
Jes & Clementine Schlaikjer Professorship - I ²	06/11/02	0	256,515	276,569	0	0	41,842	(9,491)	308,921	0
Jes & Clementine Schlaikjer Professorship - II ²	06/11/02	0	253,538	276,155	0	0	41,780	(9,476)	308,459	0
Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases ²	12/09/98	0	3,467,208	4,259,374	0	0	653,281	(13,986)	4,898,669	0
Joanne I. Bell Endowment in Critical Thinking and Social Policy Analysis	02/07/03	50,000	82,950	146,278	0	475	22,153	(5,025)	163,881	0
Joe A. & Cecil Dulin Wallace Fund	09/07/00	375,000	410,000	805,591	0	0	124,148	(27,671)	902,068	0
John A. Morris Library Endowed Research and Mission Support Fund ³	12/09/98	1,000,000	0	1,017,212	0	0	153,952	(34,919)	1,136,245	0
John B. and Jane B. Stephenson Endowed Graduate Fellowship	05/14/99	25,000	25,069	83,473	0	0	12,806	0	96,279	0
John C. Hubbard Endowment	11/22/05	122,010	122,010	241,113	0	0	36,897	(7,500)	270,510	0
John Edwin Partington and Gwendolyn Gray Partington Scholarship Fund	08/31/98	268,000	294,588	539,144	0	0	81,598	(18,508)	602,234	0
John H. Heick Professorship in Soil Science	01/22/01	100,000	100,000	193,016	0	0	29,202	(6,623)	215,595	0
John I. and Patricia J. Buster Endowment Fund in the College of Human Environmental Sciences	04/07/99	956,358	889,340	1,906,450	0	48,400	291,448	(66,036)	2,180,262	20,665
John L. and Ruth J. Southam Animal Biopathology Research Endowment	05/27/05	384,267	420,475	797,017	0	0	122,145	(6,948)	912,214	0
John L. Clarke, Jr. & Fred K. Knapp Entomology Graduate Student Research Fund	12/31/05	50,420	50,420	114,386	0	0	17,450	(2,637)	129,199	0
John Mink Professorship in Pediatric Dentistry in the College of Dentistry	11/25/98	509,559	513,958	1,313,846	0	1,750	201,020	(11,387)	1,505,230	0
John R. Caines Endowed Chair in the Humanities	03/30/05	563,990	676,480	1,152,385	0	400	174,397	(39,555)	1,287,627	0
John R. Van Nagell, M.D. Chair in Gynecologic Oncology	12/12/00	392,000	592,000	1,163,151	0	0	173,605	(66,605)	1,270,151	0
John Russell Groves-Kentucky Housing Corporation Research Fund in Affordable Housing Design	06/11/02	300,000	300,000	615,794	0	0	93,165	(21,131)	687,827	0
John S. & Elizabeth A. Knight Chair Endowment Supplement ²	12/09/98	1,716,000	249,657	2,180,493	0	0	333,226	(104,000)	2,409,719	0
John W. Greene, Jr., M.D. Professorship in Obstetrics & Gynecology	05/01/01	425,000	507,055	1,046,515	0	0	159,636	(73,604)	1,132,548	0
Jorgi Nicholau Boom Ovarian Cancer Research Endowment	03/20/07	50,000	114,100	194,203	0	0	29,793	0	223,997	0

University of Kentucky
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Table 7: Components of Change in Endowment Market Value
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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Joseph Hamburg Professorship in Rehabilitation Sciences ³	01/20/98	200,000	0	196,174	0	0	29,679	(6,732)	219,121	0
Joy Bale & George Street Boone Symposium in the Humanities	01/12/06	240,035	304,587	517,003	0	160	78,227	(17,743)	577,647	0
Judy and Byron Young Neuroscience Institute Endowed Professorship	07/20/06	100,000	100,000	203,874	0	0	31,277	0	235,151	0
Karri Casner Environmental Sciences Fellowship	01/17/05	100,000	100,000	190,382	0	0	28,803	(6,533)	212,652	0
Kathryn Louise Chellgren Endowed Professorship for Research in Family Studies	02/05/01	60,000	60,000	120,630	0	0	18,250	(4,139)	134,741	0
Kay Seeley Hoffman Research Endowment	02/29/08	99,652	94,002	239,631	0	5,650	37,256	0	282,537	0
Kaye Matthews Stone Memorial Fund for Pancreatic Cancer Research	02/08/02	50,000	81,050	171,750	0	600	26,358	0	198,708	0
Keeneland Concours d'Elegance Endowment for Newborn Research to Benefit Kentucky Children's Hospital	06/01/06	200,000	200,110	455,571	0	0	69,891	0	525,463	0
Keith and Phyllis MacAdam Library Endowed Fund for American Music	12/06/00	75,000	75,100	169,447	0	0	26,204	(4,379)	191,272	0
Kenneth H. and Susan W. Beard Graduate Fellowship Fund	10/01/01	250,000	250,310	499,007	0	0	75,496	(17,124)	557,379	0
Kenneth N. and Carol L. Robertson Endowment Fund	11/01/04	100,000	155,523	245,937	0	5,000	37,439	(8,505)	279,872	0
Kentuckiana Masonry Institute Endowed Professorship	04/06/99	100,000	105,144	207,569	0	0	31,452	(6,739)	232,282	0
Kentucky Economic Development Endowment for Science & Technology	05/01/01	394,643	395,962	898,401	0	0	138,931	(23,216)	1,014,117	0
Kentucky Hospital Association Professorship and Research Fund	08/10/05	500,000	500,000	1,110,915	0	0	168,072	(38,122)	1,240,866	0
Kentucky Medical Services Foundation Chair in Pharmacy	12/12/12	500,000	500,000	1,612,839	0	0	244,007	(55,345)	1,801,501	0
Kentucky Pharmacists Association Professor of Leadership Endowed Fund	04/04/05	50,000	52,500	126,460	0	0	19,266	(2,183)	143,542	0
Kentucky Utilities Professorship in Electrical & Computer Engineering	06/11/02	50,000	50,000	115,841	0	0	17,526	(3,975)	129,392	0
Kevin Heidrich/Team 7 Endowment for ALS Patient Services Research	03/31/03	500,345	506,761	1,017,856	0	500	154,021	(34,936)	1,137,442	0
KiYoung Lee Graduate Fellowship	08/23/05	36,000	36,000	66,417	0	0	10,046	(2,279)	74,185	0
KPMG Professorship Endowment	05/10/05	50,000	182,650	281,740	0	0	42,625	(9,668)	314,697	0
Laramie L. Leatherman Professorship of Law II	04/01/99	100,000	100,000	188,107	0	0	28,459	(6,455)	210,111	0
Lauranne Laise Howell Graduate Fellowship in Economic Education	05/17/02	125,000	125,101	275,556	0	0	41,689	(9,456)	307,790	0
Lawson Endowment Fund - Professorship in Civil Engineering	11/04/02	250,000	250,000	559,923	0	0	84,712	(19,214)	625,421	0
Lawson Endowment Fund - UK Center for Research on Violence Against Women ⁴	11/04/02	100,000	100,000	205,727	(100,000)	(100,000)	(81,244)	75,518	0	0
Lawson Endowment Fund for Asphalt Research	11/04/02	650,001	650,001	1,423,875	0	0	215,421	(48,861)	1,590,435	0
Lee Todd, Jr. and Patricia B. Todd Orchestra Research Endowment	06/17/09	600,000	915,002	1,674,390	0	5,000	254,712	(43,311)	1,890,792	0
Leo P. Branstetter Alzheimer's Disease Research Fund	11/17/05	248,751	248,751	502,178	0	0	77,042	0	579,220	0
Leslie L. Martin Endowed Fellowship Fund	05/29/01	50,000	51,863	112,726	0	0	17,054	(3,868)	125,912	0
Leslie L. Martin Endowed Research Fellowship	08/31/05	50,000	50,000	98,343	0	0	14,878	(3,375)	109,846	0

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Table 7: Components of Change in Endowment Market Value
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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Lewis Cockran Graduate Fellowship Fund	08/28/98	52,000	76,817	137,749	0	0	21,133	0	158,882	0
Lexington Herald-Leader Fellowship Endowment	02/17/00	100,000	100,000	200,856	0	0	30,388	(6,892)	224,352	0
Lexington Opera Society Chair in Opera Studies	12/15/04	750,000	755,845	1,499,506	0	0	231,081	(51,504)	1,679,083	0
Lexington Opera Society Community Endowment for Vocal Studies	05/11/04	50,000	50,000	99,906	0	0	15,189	(2,238)	112,856	0
Lexmark Graduate Fellowship Endowment	07/09/02	100,000	103,804	212,592	0	150	32,184	(7,299)	237,626	0
Library & Information Science Endowed Graduate Fellowship Fund	02/25/99	30,724	32,497	63,298	0	0	9,715	0	73,012	0
Linda C. Gill Chair in Nursing ³	01/20/98	1,000,000	0	956,434	0	0	144,701	(32,820)	1,068,315	0
Lion Apparel, Inc. Fellowship Endowment	09/26/00	50,000	50,000	99,795	0	0	15,098	(3,425)	111,469	0
Log-A-Load for Kids of Kentucky Fund	06/28/01	125,000	126,100	383,261	0	0	58,798	0	442,059	0
Log-a-Load for Kids of Kentucky Fund No. 2	05/15/06	125,000	125,000	291,825	0	0	44,770	0	336,595	0
Louise Harrison Shouse Endowed Graduate Fellowship Fund in Accountancy	12/12/05	175,000	175,000	327,363	0	0	50,449	(11,244)	366,568	0
Louise Harrison Shouse Endowed Research Fund in Opera Studies	12/12/05	75,000	75,000	140,542	0	0	21,764	(3,152)	159,154	0
Lucille Caudill Little Endowed Research Fund in Opera Studies	08/28/00	1,200,000	1,244,615	2,420,321	0	0	367,966	(54,223)	2,734,064	0
Lucille Caudill Little Fine Arts Library & Learning Center Endowment Fund	05/01/01	1,500,000	1,509,435	3,361,324	0	50	519,810	(86,863)	3,794,321	0
Lucille Caudill Little Performing Arts in Healthcare Program Fund	08/01/08	1,000,000	1,005,200	2,259,491	0	0	341,836	(77,534)	2,523,794	0
Lucille Caudill Little Professorship/Chair in Music Therapy	10/29/10	300,000	300,000	589,023	0	0	89,554	(13,197)	665,381	0
Lucille Caudill Little Research Endowment for Theatre	10/06/00	500,000	502,717	1,054,619	0	0	160,336	(23,627)	1,191,328	0
MacAdam-Abrahams Observatory Fund	08/14/06	500,000	521,000	1,068,473	0	0	163,919	0	1,232,392	0
Madeline F. James and Edith D. Gardner Chair in Cancer Research	10/10/02	492,086	492,086	1,035,569	0	0	157,041	(20,805)	1,171,805	0
Major Endowed Research Fund in Veterinary Science ²	12/09/98	1,825,881	10,000	1,873,120	0	0	283,491	(64,300)	2,092,311	0
Marcia A. Dake Professorship in Nursing ³	01/20/98	250,000	50,000	356,905	0	0	53,997	(12,247)	398,655	0
Margaret Dorrance Strawbridge Foundation Translational Cancer Research Endowment	07/21/06	2,000,000	2,000,000	3,848,059	0	0	583,539	(77,309)	4,354,289	0
Margaret Logan Colvin Chair in Lung Disease Research	05/02/98	663,900	669,152	1,328,318	0	0	200,964	(45,582)	1,483,700	0
Marie Rich Professorship in Arts and Sciences	12/22/06	50,000	50,000	112,870	0	0	17,306	0	130,176	0
Marion E. McKenna Professorship in Nursing Leadership	02/10/05	55,163	59,326	124,624	0	25	18,857	(4,277)	139,229	0
Mark and Ruth Luckens Graduate Fellowships in Toxicology	04/01/02	50,000	50,000	107,259	0	0	16,455	0	123,714	0
Mark Lee Gross M.D. Memorial Residency Fellowship in Ophthalmology	04/19/04	53,165	74,676	146,333	0	450	22,175	(5,029)	163,929	0
Markey Cancer Center Chair in Clinical Research	07/25/00	1,000,000	1,000,000	2,013,225	0	0	304,582	(69,084)	2,248,723	0
Markey Cancer Foundation Endowed Chair in Radiation Medicine	03/01/04	1,000,000	1,000,000	1,983,249	0	0	300,754	(39,845)	2,244,158	0
Martha Davis Fellowship Fund	05/08/06	200,000	200,625	372,411	0	0	57,134	0	429,544	0
Martin-Thacker Scholarships	07/31/98	100,397	100,398	218,431	0	0	33,046	(7,495)	243,982	0
Mary Byron Scholars Program	12/31/04	50,000	50,000	116,652	0	0	17,648	(4,003)	130,297	0
Mary Florence Jones Professorship in Neonatology	01/01/00	100,000	100,000	202,568	0	0	30,658	(6,954)	226,272	0
Mary Rebecca Johnson Whidby Endowed Professorship Fund	05/15/02	51,000	53,566	145,633	0	0	22,443	(5,002)	163,074	0

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Max Steckler Fellowship Fund	11/19/04	1,749,284	1,753,166	3,601,083	0	0	544,815	(123,573)	4,022,325	0
McDowell Cancer Foundation Chair in the Lucille P. Markey Cancer Center	07/25/00	1,000,000	1,030,100	2,297,422	0	0	344,731	(194,263)	2,447,890	0
MCI WorldCom Graduate Fellowship	06/21/00	100,000	100,000	183,371	0	0	27,743	(6,292)	204,821	0
Medical Alumni Association Scholarship Endowment Fund	06/12/02	676,832	797,646	1,506,700	0	9,113	228,523	(51,826)	1,692,510	0
Merck Co., Inc. Pulmonary Medicine Professorship	02/08/99	100,000	100,000	195,867	0	0	29,633	(6,721)	218,779	0
Michael A. Davis Thoracic Oncology Research Professorship	10/18/11	100,000	100,000	224,860	0	0	34,497	0	259,358	0
Michael D. Rankin, M.D. Community Scholarship Endowment	09/10/08	450,000	445,000	1,006,463	0	13,272	158,076	0	1,177,810	0
Mining Engineering Foundation Graduate Fellowship Fund	05/26/99	1,000,000	1,013,555	1,960,129	0	100	296,556	(67,264)	2,189,521	0
Mitzi Storde Ovarian Cancer Research Endowment Fund	04/27/05	50,865	51,929	128,768	0	0	18,991	(14,834)	132,924	0
Myrle E. and Verle D. Nietzel Visiting Distinguished Faculty Endowment	11/22/04	50,000	62,994	121,256	0	0	18,344	(4,161)	135,440	0
Nadine James Alzheimer's Disease Research Fund	05/04/99	150,000	150,000	513,418	0	0	78,766	0	592,184	0
Neurology Research Chair	12/10/04	500,000	500,000	1,222,970	0	0	183,918	(142,194)	1,264,694	0
Neurology Research Professorship No.1	03/29/05	50,000	64,603	143,364	0	0	21,311	(21,550)	143,124	0
Neurology Research Professorship No.2	03/29/05	50,000	50,000	127,568	0	0	19,388	(14,680)	132,276	0
Newman Foundation Research Fund in Catholic Studies	09/06/06	500,000	501,750	1,167,725	0	1,000	179,247	0	1,347,972	0
Non-Tenured Faculty Research Enrichment Fund	01/28/99	150,000	150,000	318,073	0	0	48,815	0	366,888	0
Northern Kentucky UK Alumni Club Fellowship Fund	11/15/01	50,000	60,000	119,957	0	0	18,149	(4,116)	133,989	0
Otolaryngology Research Professorship Endowment	04/20/07	100,000	103,020	240,273	0	(3,000)	36,920	250	274,443	0
Palmer Engineering Civil Engineering Laboratory Endowment	11/04/02	50,000	50,000	109,496	0	0	16,566	(3,757)	122,305	0
Patient Quality, Safety and Risks Research Endowment	07/27/05	507,349	504,950	902,400	0	8,076	137,192	(31,111)	1,016,557	0
Patrick F. Hagihara, MD Professorship/Chair in General Surgery	05/01/90	252,500	321,404	629,640	0	4,500	95,665	(21,695)	708,110	0
Patrick P. DeLuca Pharmaceutical Technology Professorship in the College of Pharmacy	11/28/00	129,400	179,129	424,383	0	27,175	66,964	(15,161)	503,361	0
Patterson School of Diplomacy Fund for Excellence Graduate Intern Research Fellowship	01/26/99	74,300	86,875	209,179	0	0	31,659	(7,181)	233,657	0
Paul A. Kearney, M.D., Endowed Chair in Trauma Surgery	10/28/08	1,000,000	700,000	1,885,065	0	300,000	299,147	(68,632)	2,415,579	0
Paul A. Thornton Graduate Fellowship in Clinical Nutrition/Nutritional Sciences	08/28/00	350,000	356,575	673,394	0	25	101,879	(23,108)	752,190	0
Paul Ashton Ophthalmology Research Fund	12/22/08	75,894	75,895	198,179	0	0	30,404	0	228,582	0
Paul C. VanBooven Fellowship Endowment Fund	06/30/04	341,686	353,566	671,599	0	9,800	102,368	(23,215)	760,552	0
Paul E. Miller and Judith Sebastian Miller Fellowship Endowment Fund	04/29/05	114,837	114,837	221,834	0	0	33,563	(7,613)	247,784	0
Paul G. Blazer, Jr. Professorship in Stroke Research	08/24/98	250,000	273,532	689,644	0	0	102,392	(127,997)	664,039	0
Paul Mellon Endowed Graduate Student Support ²	04/04/00	0	1,750,000	1,875,200	0	0	283,809	(64,372)	2,094,637	0
Paul Mellon Equine Research Endowment ²	04/04/00	0	1,029,175	1,023,752	0	0	154,943	(35,144)	1,143,552	0
Paul Mellon Post Doctoral Fellowship ²	03/27/01	2,392,537	2,436	2,612,154	0	0	395,342	(89,670)	2,917,826	0

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Paul Parker Endowment Fund for Residency Training and Research	12/27/01	54,820	80,139	176,922	0	500	26,819	(6,082)	198,159	0
Pediatric Exercise Physiology Laboratory Endowed Research Fund	05/13/05	225,000	238,580	466,228	0	0	70,537	(15,999)	520,766	0
Peter Pearlman Fellowship	11/10/00	50,000	81,143	124,052	0	25	18,771	(4,257)	138,590	0
Pharmaceutical Science Professorship and Research Endowment	12/21/06	50,000	50,000	101,943	0	0	15,415	(3,496)	113,862	0
Pharmacy Practice and Science Endowed Fund for Excellence	01/23/03	131,400	131,400	356,996	0	0	55,015	(12,262)	399,749	0
Physical Therapy Richard McDougall Alumni Professorship	01/22/07	67,133	67,133	133,971	0	0	20,256	(4,594)	149,632	0
Pink Oak Stud Endowed Research Fund ²	04/06/99	500,000	500,000	1,032,394	0	0	156,251	(35,440)	1,153,205	0
PJC Board of Trustees Engineering Professorship	10/23/00	50,000	50,000	91,912	0	0	14,101	0	106,012	0
President A.P.J. Abdul Kalam India Studies Endowment Fund	03/20/08	1,000,000	934,189	2,163,143	0	75,000	333,500	(75,658)	2,495,985	0
PricewaterhouseCoopers LLP Professorship in Accounting	06/29/00	150,000	555,415	639,417	0	0	96,739	(21,942)	714,214	0
Professorship for the Study of Complementary Healthcare	03/07/07	50,000	50,000	98,866	0	0	14,957	(3,393)	110,431	0
Professorship in Pediatric Otolaryngology Surgery	06/28/04	100,000	190,000	391,576	0	20,000	61,025	0	472,601	0
Professorship or Chair in Obstetrics and Gynecology	05/18/01	250,000	250,000	626,189	0	0	92,797	(123,873)	595,114	0
Professorship or Chair of Urologic Surgical Research	10/23/84	1,000,000	1,000,000	1,797,562	0	0	272,906	(46,408)	2,024,059	0
Psychiatry Endowed Professorship for the Addictions Fund	03/25/03	100,000	101,200	276,063	0	0	40,837	(36,893)	280,006	0
R. C. Durr Civil Engineering Fellowship Fund	11/21/97	300,000	300,000	626,470	0	0	94,815	(21,506)	699,780	0
R.C. Durr Chair in Alzheimer's Disease Research	07/10/03	500,000	500,000	1,254,729	0	0	189,830	(43,057)	1,401,502	0
Ralph E. & Norma L. Edwards Research Professorship	01/14/02	100,493	100,494	223,600	0	0	34,304	0	257,904	0
Randall G. Rowland, MD Endowment for Urologic Research	03/23/00	1,169,790	1,182,464	2,258,752	0	0	341,729	(77,510)	2,522,971	0
Past-Holbrook Research and Seminar Fund	12/26/01	55,455	76,811	143,616	0	3,100	22,101	(6,215)	162,601	0
Raymond Civil Engineering Endowment Fund	09/08/98	2,000,000	2,000,000	4,104,652	0	0	621,003	(140,854)	4,584,801	0
Real Estate Professorship Endowment	01/20/98	166,300	169,233	534,371	0	0	82,006	0	616,377	0
Rebecca Cunningham Van Meter Endowment Fund	12/07/01	50,000	50,000	98,911	0	0	14,964	(3,394)	110,481	0
Reese S. Terry Professorship in Electrical Engineering	08/25/00	100,000	100,048	192,917	0	0	29,187	(6,620)	215,484	0
Regina Drury/Children's Miracle Network Chair in Pediatric Research	10/06/04	600,000	600,000	1,544,387	0	0	236,907	(2,016)	1,779,278	0
Research Fund for the Prevention of Alcohol and Drug Abuse	04/02/01	50,000	50,000	102,923	0	0	15,790	0	118,713	0
Rey M. Longyear Memorial Fund in Musicology	12/17/96	166,114	324,581	476,890	0	500	72,549	(10,690)	539,248	0
Richard C. Hayden, III, M.D. Chair in Otolaryngology	04/24/07	150,000	793,417	1,260,850	0	34,500	192,536	(43,902)	1,443,985	0
Richard G. Elliott, M.D. Professorship in Pediatrics	08/14/08	393,950	429,441	1,025,865	0	0	156,367	(37,450)	1,144,783	0
Richard K. Brautigam Professorship in Juvenile, Criminal and Social Justice	10/19/01	50,000	56,462	103,281	0	0	15,916	(3,547)	115,650	0
Richard W. Schwartz Commonwealth Professorship in Physician Leadership	01/19/01	250,000	250,000	471,581	0	0	72,348	0	543,929	0
Robert and Jeanne Nyere Professorship in Epilepsy Research	11/25/05	125,000	135,000	302,978	0	10,046	46,734	(17,159)	342,599	0

University of Kentucky
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Table 7: Components of Change in Endowment Market Value
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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Robert E. Harding Jr. Professorship Fund	10/23/84	50,000	51,650	95,521	0	0	14,452	(3,278)	106,695	0
Robert E. McNeil Endowed Research Fund	03/05/02	50,000	51,554	104,505	0	0	15,811	(3,586)	116,730	0
Robert G. Lawson & William H. Fortune Professorships Endowment	12/31/02	85,680	96,380	186,823	0	0	28,265	(6,411)	208,676	0
Robert G. Lawson Professorship	12/29/98	57,000	106,950	161,572	0	100	24,460	(5,548)	180,584	0
Robert G. Luke, M.D. Research Chair of Nephrology	04/26/99	500,000	500,000	1,031,562	0	0	156,125	(35,412)	1,152,275	0
Robert H. & Anna B. Culton Professorship	12/18/02	50,000	50,400	131,122	0	0	19,838	(4,500)	146,460	0
Robert L. Bergen Professorship in Ophthalmology	10/23/84	200,000	200,000	415,980	0	0	62,934	(14,275)	464,640	0
Robert L. Johnson Scholarship Fund	05/19/00	250,000	301,091	524,760	0	1,000	79,438	(18,021)	587,178	0
Robert L. Lester Professorship in Biochemistry	10/18/00	52,000	72,000	124,841	0	0	19,239	(4,288)	139,792	0
Robert Lynn Leininger Endowed Professorship in Furniture Design	03/01/07	50,000	50,000	98,032	0	0	14,831	(3,364)	109,499	0
Robert M. Odear, '28, '30, Faculty Research Fund in Latin America	03/09/01	16,650	16,650	55,634	0	0	8,504	(2,500)	61,638	0
Robert P. & Mildred A. Moores Alzheimer's Disease Research	01/23/01	350,000	380,698	741,856	0	0	112,278	(25,466)	828,668	0
Robert Straus Professorship in Behavioral Science	04/19/00	50,000	51,150	120,546	0	0	18,494	0	139,040	0
Robert T. & Nyle Y. McCowan Chair in Alzheimer's Research	01/16/04	848,849	848,849	1,792,547	0	0	275,006	0	2,067,553	0
Rockcastle Hospital and Respiratory Care Center Fellowship	06/13/06	50,000	50,000	100,204	0	0	15,160	(3,439)	111,925	0
Ronald Allen Forester & Nancy Smoot Forester Endowed Professorship Fund	12/05/00	50,000	50,500	95,796	0	0	14,493	(3,287)	107,002	0
Ronald L. Akers Professorship in Criminology & Deviance Fund	06/07/00	100,000	100,000	218,921	0	0	33,121	(7,512)	244,530	0
Rose Carol Shumate Professorship in Cancer Research	12/24/06	42,500	42,500	90,765	0	0	13,909	0	104,673	0
Rosenthal Business & Economics Library Endowment	05/24/01	1,500,000	1,500,000	3,414,840	0	0	528,080	(88,245)	3,854,675	0
Royster Research Professorship	06/03/01	50,000	164,500	233,332	0	0	35,797	0	269,129	0
RFW Law Library Endowed Account	05/24/01	50,000	51,326	111,323	0	0	17,215	(2,877)	125,661	0
S. Lawrence and Elizabeth Ritchey Yost Lea Professorship in Plant and Soil Science	04/17/09	180,000	180,000	422,438	0	0	63,910	(14,496)	471,852	0
Saha Cardiovascular Research Center Fund	01/15/10	500,000	420,000	999,215	0	80,100	154,902	(35,342)	1,198,875	0
Sally and David B. Stevens, M.D. Scholarship	06/22/04	50,000	50,100	107,503	0	0	16,270	(3,690)	120,083	0
Sanders Brown Center on Aging Foundation Research Fund	02/01/07	52,500	99,220	187,095	0	1,375	28,792	0	217,263	0
Sanders-Brown Center on Aging Foundation Research Development Fund	08/30/00	1,000,000	1,171,004	2,393,218	0	1,000	368,873	(82,212)	2,680,879	0
Saylay/Carbulinska Fund for Rheumatology Fellows Res & Ed	10/30/08	200,000	200,000	496,238	0	0	76,130	0	572,368	0
Schutte Chair in Pharmaceutical Policy	12/18/06	1,000,000	1,000,325	2,031,022	0	0	311,591	0	2,342,613	0
Scott V. Breckenridge Professorship in Intelligence Education	05/10/04	102,415	102,500	212,794	0	1,000	32,840	(7,322)	239,312	0
Secat-J.G. Morris Aluminum Professorship	04/11/02	50,000	52,260	113,236	0	0	17,450	(3,889)	126,796	0
Spears Chair in Pharmacogenetics	05/10/11	200,000	343,000	605,862	0	100,000	103,185	0	809,047	0
Spinal Cord & Brain Injury Chair No. 2	04/09/00	500,000	500,000	1,027,611	0	0	155,834	(20,645)	1,162,800	0
Spinal Cord & Head Injury Chair No. 1	04/09/00	500,000	500,000	976,977	0	0	149,884	0	1,126,861	0
Spinal Cord and Brain Injury Chair No. 3	04/09/00	500,000	500,000	976,619	0	0	147,754	(33,513)	1,090,860	0

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Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Spinal Cord and Brain Injury Chair No. 5	06/17/03	500,000	500,000	1,084,897	0	0	164,521	(21,796)	1,227,622	0
Stanley Smith Dickson Professorship	05/17/06	500,000	500,000	880,830	0	0	133,262	(30,226)	983,866	0
Stephen D. Quillen Memorial Fellowship Fund	07/10/06	60,000	60,000	102,752	0	0	15,709	(890)	117,571	0
Stites & Harbison Professorship of Law	06/23/97	72,500	104,600	187,440	0	0	28,358	(6,432)	209,366	0
Sue Fan Gooding Fund	10/18/96	80,115	80,115	154,245	0	0	23,336	(5,293)	172,288	0
Susan Abbott-Jamieson Dissertation Research Fund in Anthropology	09/03/01	50,000	55,000	107,211	0	600	16,400	(6,088)	118,123	0
Susan Ballard Lester Endowment	09/23/04	50,000	50,000	111,073	0	0	17,040	0	128,113	0
Sutherland Professorship of Landscape Design	03/08/04	250,000	250,000	485,093	0	0	74,755	(16,662)	543,187	0
Sweeney-Nelms Professorship in Alzheimer's Disease Research	12/05/05	60,000	63,500	162,308	0	1,500	25,085	0	188,892	0
Swift/Longacre/Scaife Fellowship in Classics	06/09/09	54,189	65,958	130,316	0	100	20,001	0	150,417	0
Sylvia Mansbach Endowed Chair in Alzheimer's Disease Research	04/02/01	500,000	531,464	990,539	0	0	149,861	(33,991)	1,106,409	0
Symptom Management & Palliative Care Professorship/Chair	04/14/04	120,875	125,371	232,904	0	0	35,236	(7,992)	260,148	0
T. Marshall Hahn, Jr. Graduate Fellowship Fund	04/29/99	50,000	50,000	99,510	0	0	15,266	0	114,776	0
T. Marshall Hahn, Jr. Professorship Fund in the College of Arts & Sciences	04/29/99	200,000	200,000	392,691	0	0	60,128	(9,458)	443,361	0
Teresa Garbulinkska Music in HealthCare Fund	05/12/09	100,000	100,000	220,834	0	0	33,573	(4,947)	249,460	0
Thomas B. & Bettie T. Deen Graduate Fellowship	04/30/08	50,000	50,000	106,858	0	0	16,167	(3,667)	119,358	0
Thomas C. & Evelyn W. Finnie BS/MBA Graduate Fellowship Fund	04/27/99	520,316	616,591	1,179,641	0	15,000	179,398	(40,720)	1,333,319	0
Thomas C. Robinson Graduate Fellowship Endowment	09/08/05	60,456	60,456	151,802	0	0	23,288	0	175,090	0
Thomas D. Clark Professorship Fund	01/05/01	119,013	121,013	271,754	0	0	41,692	0	313,446	0
Thomas J. and Viva B. Timmons Graduate Fellowship Fund	08/17/06	320,000	444,487	720,878	0	0	109,063	(24,737)	805,204	0
Thomas P. Lewis Professorship	04/23/99	100,000	103,898	192,642	0	350	29,188	(6,620)	215,559	0
Toyota (Young) Library Endowment	05/24/01	1,000,000	1,000,000	2,255,721	0	0	348,831	(58,292)	2,546,260	0
Toyota-IR4TD Research Fund	01/31/07	1,000,000	1,000,000	1,734,518	0	0	268,328	(24,930)	1,977,915	0
Tracy Farmer Center for the Environment Endowment Fund	08/15/00	2,000,000	2,134,615	2,891,982	0	0	449,655	(41,509)	3,300,128	0
Trane Company Graduate Fellowship	10/21/02	75,000	75,000	159,342	0	0	24,107	(5,468)	177,981	0
Tri for Sight Eye Research Professorship	04/28/06	63,241	102,927	183,086	0	14,095	29,113	0	226,294	0
TVA Power Engineering Endowment Fund	04/16/99	1,000,000	1,000,000	1,934,163	0	0	292,623	(66,372)	2,160,415	0
Tyler Aaron Gill Professorship in Vascular Surgery ³	12/19/97	0	200,000	181,336	0	0	27,531	(4,682)	204,185	0
U.S. Surgical Endowed Professorship in Pharmacy Administration	04/06/99	100,000	100,000	190,495	0	0	28,820	(6,537)	212,778	0
UK College of Human Environmental Sciences Alumni Association Endowed Professorship	11/30/00	50,000	53,367	169,342	0	0	26,435	(1,479)	194,299	0
UK Nursery/Landscape Research Endowment	10/12/99	34,200	34,571	69,782	0	0	10,557	(2,395)	77,945	0
Ullin W. Leavell, MD Professorship in Dermatology	02/14/11	100,000	100,000	220,564	0	0	33,815	(1,870)	252,509	0
University of Kentucky Association of Emeriti Faculty Endowed Fellowship Fund	04/17/02	50,000	103,365	158,877	0	50	24,040	(5,452)	177,514	0
University of Kentucky Center for Research on Violence Against Women ⁵	10/03/03	75,956	77,250	193,897	56,580	62,330	85,747	(49,297)	349,257	0

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University of Kentucky Margaret Ingels Society of Women Engineers Graduate Fellowship Fund	09/01/99	25,000	25,000	50,180	0	0	7,592	(1,722)	56,050	0
University of Kentucky Oral History Endowment Fund	03/29/04	679,740	698,897	1,413,725	0	2,025	217,992	(48,591)	1,585,150	0
University of Kentucky Woman's Club Fund	10/07/03	100,000	101,000	229,581	0	50	34,736	(7,879)	256,489	0
University Professorship in Allied Health	01/09/01	50,000	50,000	105,671	0	0	15,987	(3,626)	118,032	0
University Professorship in Dentistry	12/06/00	50,000	50,000	101,562	0	0	15,366	(3,485)	113,442	0
University Professorship in Graduate Medical Education	12/06/00	50,000	50,100	126,544	0	0	19,145	(4,342)	141,347	0
University Professorship in Medicine	12/09/00	50,000	50,000	102,298	0	0	15,477	(3,510)	114,264	0
University Professorship in Pharmacy	04/11/01	50,000	50,000	137,310	0	0	20,774	(4,712)	153,372	0
USEC Inc. Graduate Fellowship	09/27/02	50,000	50,000	107,671	0	0	16,290	(3,695)	120,267	0
Vaughn & Melton Graduate Fellowship in Civil Engineering	04/26/01	100,000	107,788	205,691	0	0	31,119	(7,058)	229,751	0
Verizon Communications Graduate Fellowship	12/15/99	900,000	900,000	1,789,283	0	0	270,704	(61,400)	1,998,587	0
Verizon Wireless Chair on Studies on Violence Against Women	12/31/04	500,000	500,000	949,480	0	0	143,648	(32,582)	1,060,546	0
Vernon R. Wiehe Endowed Professorship in Family Violence	05/16/00	50,000	50,445	108,998	0	0	16,797	(3,744)	122,052	0
Violet Irene Eads Research Fund for Eye Tissue in the Lions Eye Bank of Lexington	11/08/01	56,000	61,574	155,423	0	0	23,569	(3,122)	175,869	0
Virginia T. Barrow Chair in Brain Disease Research	10/27/06	1,191,972	1,195,870	2,715,718	0	500	405,851	(289,621)	2,832,448	0
Von Allmen School of Accountancy Fellowship	04/29/00	1,000,000	1,000,275	1,866,248	0	40	282,353	(64,042)	2,084,599	0
Von Allmen School of Accountancy Research Support	04/29/00	2,000,000	2,000,180	3,701,998	0	0	560,082	(127,036)	4,135,044	0
W. Hugh Peal Collection	08/14/86	200,000	228,000	547,730	0	0	84,060	0	631,790	0
W. T. Young STM Library Endowment Fund	03/08/01	12,998,356	12,998,356	29,567,592	0	0	4,572,393	(764,072)	33,375,912	0
W.T. Young Library Endowment Fund	03/07/00	5,770,332	5,983,465	13,233,785	0	0	2,046,496	(341,981)	14,938,301	0
Wallace Charles Hill Graduate Fellowship in Administration and Supervision	05/18/99	50,000	50,000	96,470	0	0	14,595	(3,310)	107,755	0
Wallace Charles Hill Professorship in Rehabilitation Counseling	05/18/99	50,000	50,000	101,507	0	0	15,357	(3,483)	113,381	0
Wendell H. Ford Endowed Professorship	03/07/00	590,410	595,802	1,328,895	0	325	201,084	(45,609)	1,484,696	0
Werner F. S. Schmitt Professorship in Aging and Neurobehavior	11/30/05	146,485	214,756	424,871	0	2,000	65,306	0	492,177	0
Whalen Graduate Fellowship Fund	08/15/00	500,000	500,320	937,743	0	0	141,873	(32,179)	1,047,436	0
Wilbur E. Chelgren Professorship in Accounting	06/02/00	125,000	125,000	229,583	0	0	34,734	(7,878)	256,439	0
William A. Marquard Chair in Cancer Research	11/29/04	750,000	771,393	1,459,930	0	0	221,359	(29,326)	1,651,962	0
William Baxter Jennings Fellowship Endowment Fund	06/01/04	64,225	76,953	137,858	0	2,275	20,990	(4,760)	156,363	0
William H. Otis and Marquita Sivilis Otis Professorship in Gerontology and Intergenerational Social Work	03/14/07	50,000	54,350	117,723	0	0	18,060	0	135,783	0
William H. Ray Professorship	04/27/07	47,655	47,655	81,776	0	0	12,366	(2,805)	91,337	0
William J. Tuggle & Nina B. Tuggle Professorship in English	03/06/97	300,000	300,000	644,074	0	0	98,812	0	742,886	0
William R. Markesbery, MD Chair in Neurotrauma Research	04/09/00	500,000	500,000	944,429	0	0	142,884	(32,408)	1,054,905	0
William Robert Mills Chair in Equine Infectious Diseases ²	12/09/98	0	3,355,208	3,901,420	0	0	590,484	(133,931)	4,357,973	0
William Stamps Farish Fund Chair in Cancer Research	09/01/04	1,000,000	1,000,000	2,306,744	0	0	344,889	(219,003)	2,432,629	0
William Stamps Farish Fund Chair in Plastic Surgery	02/16/05	1,000,000	1,000,000	1,894,215	0	0	286,577	(65,000)	2,115,792	0

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		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
William T. Bryan Endowed Chair in History	12/08/98	500,000	508,848	1,061,067	0	0	162,396	(36,120)	1,187,343	0
William T. Bryan Endowed Chair in Public Finance in the Martin School	12/08/98	500,000	508,848	1,056,981	0	0	159,972	(36,284)	1,180,668	0
William T. Bryan Endowed Chair in Public Finance in the Martin School	11/08/05	125,000	125,000	253,703	0	0	38,397	(8,709)	283,391	0
William T. Bryan Endowed Chair in Spanish	12/08/98	500,000	508,848	1,086,077	0	0	166,001	(54,684)	1,197,394	0
William T. Bryan Endowed Chair in Special Education	12/08/98	500,000	508,848	1,056,981	0	0	159,972	(36,284)	1,180,668	0
William T. Bryan Endowed Chair in Vocal Music	12/08/98	500,000	508,848	1,056,981	0	0	159,972	(36,284)	1,180,668	0
William T. Bryan Endowed Professorship in Materials Engineering	12/08/98	125,000	125,000	253,703	0	0	38,397	(8,709)	283,391	0
William T. Bryan Library Endowment Fund	08/24/00	750,000	770,221	1,724,201	0	0	266,635	(44,556)	1,946,280	0
William T. Bryan University Chair	12/08/98	500,000	508,848	1,167,751	0	0	176,739	(40,087)	1,304,403	0
William T. Young Endowed Chair	04/12/02	500,000	500,000	1,120,304	0	0	169,493	(38,444)	1,251,353	0
William T. Young Library Endowment for Markey Cancer Center	05/24/01	1,500,000	1,500,000	3,401,574	0	0	526,029	(87,902)	3,839,701	0
William T. Young Library Endowment for Saunders Brown Center on Aging	05/24/01	1,000,000	1,000,000	2,175,778	0	0	336,468	(56,226)	2,456,021	0
Women's Circle Endowment	07/31/06	503,718	504,343	911,809	0	0	139,882	0	1,051,691	0
Wyatt, Tarrant & Combs Professorship of Law II	04/01/99	60,000	60,000	112,481	0	0	17,018	(3,860)	125,639	0
Zantker Charitable Foundation Professorship in Judaic Studies	11/08/00	473,350	490,550	1,039,509	0	0	160,194	(35,705)	1,163,999	0
Zantker Foundation Professorship in Jewish History	10/20/05	250,000	250,000	564,821	0	0	86,559	(7,438)	643,942	0
Zimmer Endowment for Orthopaedic Research	03/21/01	300,000	300,000	675,638	0	0	101,997	(40,928)	736,707	0
Existing RCTF Funded Endowments Sub-Total		\$213,597,359	\$227,444,121	\$464,197,823	\$0	\$3,190,601	\$70,910,239	(\$14,650,180)	\$523,648,483	\$20,665
Grand Total		\$213,597,359	\$227,444,121	\$464,197,823	\$0	\$3,190,601	\$70,910,239	(\$14,650,180)	\$523,648,483	\$20,665

Notes to Table 7:

¹ Net of reinvestment of prior year spending distributions.

² State funds and matching gifts recorded in different funds. See attached Footnote 2 schedule.

³ State funds and matching gifts recorded in different funds. See attached Footnote 3 schedule.

Note 2: The following table is a summary of all activity in the accounts related to the Schlaikjer gifts and pledges to clearly identify state and gift funds received.

Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Albert G. Clay Endowed Chair in Equine Reproduction	12/09/98	\$3,250,000	\$24,225	\$3,915,379	\$0	\$0	\$600,682	\$0	\$4,516,061	\$0
Jes & Clementine Schlaikjer Professorship - I	06/11/02	0	256,515	276,569	0	0	41,842	(9,491)	308,921	0
Jes & Clementine Schlaikjer Professorship - II	06/11/02	0	253,538	276,155	0	0	41,780	(9,476)	308,459	0
Jes E. and Clementine Mills Schlaikjer Chair in Equine Infectious Diseases	12/09/98	0	3,467,208	4,259,374	0	0	653,281	(13,986)	4,898,669	0
John A. Morris Library Endowed Research and Mission Support Fund	12/09/98	1,000,000	0	1,017,212	0	0	153,952	(34,919)	1,136,245	0
John S. & Elizabeth A. Knight Chair Endowment Supplement	12/09/98	1,716,000	249,657	2,180,493	0	0	333,226	(104,000)	2,409,719	0
Major Endowed Research Fund in Veterinary Science	12/09/98	1,825,881	10,000	1,873,120	0	0	283,491	(64,300)	2,092,311	0
Paul Mellon Endowed Graduate Student Support	04/04/00	0	1,750,000	1,875,200	0	0	283,809	(64,372)	2,094,637	0
Paul Mellon Equine Research Endowment	04/04/00	0	1,029,175	1,023,752	0	0	154,943	(35,144)	1,143,552	0
Paul Mellon Post Doctorial Fellowship	03/27/01	2,392,537	2,436	2,612,154	0	0	395,342	(89,670)	2,917,826	0
Pin Oak Stud Endowed Research Fund	04/06/99	500,000	500,000	1,032,394	0	0	156,251	(35,440)	1,153,205	0
William Robert Mills Chair in Equine Infectious Diseases	12/09/98	0	3,355,208	3,901,420	0	0	590,484	(133,931)	4,357,973	0
Total		\$10,684,418	\$10,897,962	\$24,243,223	\$0	\$0	\$3,689,084	(\$594,729)	\$27,337,577	\$0

Note 3: The following table is a summary of all activity in the accounts related to the Gill gifts and pledges to clearly identify state and gift funds received.

Endowment Name	Date Estab.	Prior to 07/01/13			During Fiscal Year 2013 - 2014				Market Value as of 06/30/14	Outstanding Pledges as of 06/30/14
		State Funds Received	Gifts Received	Market Value as of 07/01/13	State Funds Received	Gifts Received and Transfers	Investment Earnings (Loss)	Earnings Distributed ¹		
Alvin L. Morris Professorship in Oral Health Research	01/20/98	\$200,000	\$200	\$191,113	\$0	\$0	\$28,914	(\$6,558)	\$213,469	\$0
Gill Foundation Chair in Preventive Cardiology	01/20/98	0	1,000,000	959,311	0	0	147,174	0	1,106,485	0
Gill Foundation Professorship in Interventional Cardiology	12/19/97	0	200,225	181,547	0	0	27,467	(6,230)	202,784	0
Gill Heart Institute Endowment	12/26/02	0	1,168,759	1,252,670	0	0	192,180	0	1,444,850	0
Harry B. Kostenbauder Professorship in Pharmacy	04/24/02	200,000	34,825	242,795	0	0	36,732	(8,331)	271,195	0
Jack M. Gill Chair in Internal Medicine	01/20/98	1,000,000	0	979,471	0	0	148,186	(33,611)	1,094,046	0
Jacqueline A. Noonan - OMN Research Chair in Pediatrics	12/08/08	1,000,000	1,002,276	1,969,036	0	0	297,896	(67,568)	2,199,365	0
Jason Alexander Gill Professorship in Cardiothoracic Surgery	12/19/97	0	200,000	234,736	0	0	35,009	(31,787)	237,957	0
Jefferson Morris Gill, Ph.D. Professorship in Cardiology	12/19/97	0	200,000	209,732	0	0	31,731	(7,197)	234,266	0
Jennifer Gill Roberts Professorship in Pediatric Cardiology	12/19/97	0	200,000	207,623	0	0	31,412	(7,125)	231,910	0
Joseph Hamburg Professorship in Rehabilitation Sciences	01/20/98	200,000	0	196,174	0	0	29,679	(6,732)	219,121	0
Linda C. Gill Chair in Nursing	01/20/98	1,000,000	0	956,434	0	0	144,701	(32,820)	1,068,315	0
Marcia A. Dake Professorship in Nursing	01/20/98	250,000	50,000	356,905	0	0	53,997	(12,247)	398,655	0
Tyler Aaron Gill Professorship in Vascular Surgery	12/19/97	0	200,000	181,336	0	0	27,531	(4,682)	204,185	0
Total		\$3,850,000	\$4,256,285	\$8,118,883	\$0	\$0	\$1,232,607	(\$224,888)	\$9,126,602	\$0



**University Budget Office
University of Kentucky
107 Main Building
Lexington, KY 40506-0032**

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October 2014**

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FCR 14

Office of the President
December 9, 2014

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 2014 be accepted. This report refers only to projects that had activity within this quarter.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

For the period July 1, 2014 thru September 30, 2014:

There were seven new contracts this quarter:

- | | |
|----------------|--|
| Project 2394.0 | Design – Renovate/Upgrade Hospital Facilities – Good Samaritan Emergency Department
- Stengel-Hill Architecture, \$228,500 (<i>Design</i>) |
| Project 2398.0 | Center for Applied Energy Research Slipstream Capital Project
- CMTA Engineers, \$101,000 (<i>Design</i>) |
| Project 2400.0 | Repair/Upgrade/Improve Building Mechanical Systems (Med Science Air Handling Unit)
- H&R Mechanical, \$671,600 (<i>Construction</i>) |
| Project 2401.0 | Renovate Old Soccer/Softball Locker Room
- Brandstetter Carroll Architects, \$62,800 (<i>Design</i>) |
| Project 2402.1 | Renovate/Upgrade UK Healthcare Facilities - Pavilion A - 9th & 10th Floors and Elevators
- GBBN Architects, \$884,500 (<i>Design</i>)
- Turner Construction Co., \$3,681,774 (<i>Construction</i>) |
| Project 2411.0 | Upgrade/Renovate Space in Multi-Disciplinary Science Building
- Omni Architects, \$317,000 (<i>Design</i>) |

Two contracts were completed this quarter:

- Project 2354.0 Repair/Upgrade/Improve Bldg. Systems - UKHealthCare (Good Samaritan Hospital Air Handlers)
- Congleton-Hacker Co., \$2,371,215
- Project 2393.0 Repair/Upgrade/Improve Civil/Site Infrastructure - South Campus Parking Lot
- ATS Construction, \$1,139,495

Four amendments were as follows:

- Project 2339.0 Renovate Academic Facility - University Lofts Facility
- Provide additional design services for changes to faculty studios, architectural and structural elements for the kiln yard, and civil design for future parking. (+) \$28,319
- Project 2345.0 Renovate/Expand Gatton Building
- Provide for redistribution of reimbursable services to design services to provide for a redesign of the landscaping plan and incorporation of a second retaining wall. (+) No increase in cost.
- Project 2369.0 Upgrade/Relocate Pediatric Critical Care Unit
- Provide for design and rendering development for additional areas to be used for fundraising for Phase II. Also included is bidding and construction services for additional rooms added to the current phase. (+) \$25,080
- Provide for additional design services to provide bid documents and construction services for the front desk and 3200 square feet of the out-patient center in Phase II. (+) \$44,150

Twenty one change orders greater than \$25,000 were as follows:

- Project 2239.73 Construct Patient Care Facility – 8th Floor Fit-Out (Phase I-D)
- Delete green data patch cables due to use of surplus cables. (-) \$32,244
- Provide additional circuits to power Intensive Care Unit boom brakes. (+) \$46,324
- Project 2239.75 Construct Patient Care Facility - Clinical Decision Unit (Phase 1-E)
- Provide new doors for two existing frames. Replace door hardware sets on all doors to meet life safety requirements for the revised use of the suite as an Emergency Department Observation Unit. (+) \$44,101
- Project 2339.0 Renovate Academic Facility – University Lofts Facility
- Provide for an additional two months of time and general conditions cost due to cell phone equipment and asbestos removal. (+) \$30,000
- Provide for renovation of the third floor of the 1900 building to include new mechanical, electrical, and plumbing as well as finishes for the faculty studio offices. (+) \$337,029

- Project 2345.0 Renovate/Expand Gatton Building
- Provide for allowance adjustment, based on unit prices, for the actual volume of caissons as installed compared to the quantity in the contract. (+) \$55,072
 - Provide the labor and material as necessary for abatement of fittings above the specified amount in the bid documents. (+) \$58,007
 - Provide for addition of a second retaining/seat wall to provide a less steep grade coming from University Drive. (+) \$53,211
- Project 2362.0 Upgrade/Expand Commonwealth Stadium
- Provide credit for the portion of the fatty clay allowance that was not used. (-) \$83,775
 - Provide for modification and installation of three temporary light poles. (+) \$37,186
 - Provide credit to the project for the donated stone. (-) \$28,897
 - Provide credit for use of core filled insulated concrete masonry units in lieu of insulated concrete masonry units (CMU instead of ICMU) (-) \$292,615
 - Provide credit to delete the replacement of the existing transformer. The current transformer was deemed suitable. (-) \$68,761
 - Provide credit for the removal of the duct cleaning requirement as it is believed final duct cleaning will be minimal. (-) \$126,857
 - Provide for labor and material necessary to bring the area where the President's room was demolished into a condition that can be safely entered by game day patrons. (+) \$27,794
 - Provide for replacement of 210 feet of sanitary piping with new cast iron service weight hub and spigot piping and to provide back fill as necessary. (+) \$33,324
 - Provide credit for deletion of six elevated seating platforms on the north side of the stadium at the upper concourse. (-) \$60,341
 - Provide credit for using removable, stainless steel CalPipe bollards in lieu of hydraulic bollards. (-) \$144,744
- Project 2363.0 Construct Academic Science Building
- Provide for disposal and disposal oversight of non-friable asbestos and impacted materials discovered during demolition. (+) \$250,260
- Project 2369.0 Upgrade/Relocate Pediatric Critical Care Unit
- Provide for additional areas of renovation to the current phase of construction resulting in future savings in time and cost. (+) \$115,815
- Project 2379.0 Emergency Replacement of Woodford County Feed Mill
- Provide necessary changes for undercutting of fat clays and replacement with compacted dense graded aggregate (DGA) on both the feed mill structure and the pole barn. Also provide for modifications on the foundations of the feed mill structure. (+) \$42,703

Action taken: Approved Disapproved Other _____

CAPITAL CONSTRUCTION CONTRACTS QUARTERLY ACTIVITY REPORT

This report contains capital projects that have a dollar scope value of \$600,000 and above. The projects are listed in alphabetical order and contain the project number, the dollar scope, a brief description of the project work and information pertaining to the primary consultant's contract and the primary contractor's contract. This information includes:

Consultant: This section contains the name of the consultant, the contract number, the original contract amount, the total number of amendments, the cumulative amendment amount, the revised contract amount, and a notes section. The notes section will describe, in bold face type, all amendments to the consultant's contract for the current quarter.

Contractor: This section contains the name of the contractor, the contract number, the original construction contract amount, the total number of change orders, the cumulative change order amount, the revised contract amount, information on the low, high and number of bids, the percent of the contract completed, and a section for notes. The notes section will describe, in bold face type, all change orders greater than \$25,000 for the current quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY REPORT

Glossary

Amendment: refers to modifications or changes to the Consultant's contract with the University, usually the result of changes in the program or scope of a project caused by unforeseen conditions or at the request of the University.

Change Order: refers to modifications or changes to the Contractor's contract with the University, usually the result of conditions not anticipated by the contract documents, plans and/or specifications for the work.

Consultant: the entity, usually an architectural or engineering firm, with whom the University contracts and who has primary responsibility for providing services to design and to administer the contract for construction of a construction project. The prime consultant frequently employs the services of one or more sub-consultants in fulfilling its contractual obligation.

Contract administration: refers to the activities of the consultant during the construction phase of the project in which they verify that construction is in compliance with the requirements of the contract between the University and the contractor.

General Contractor: the entity, usually a general contractor but occasionally a trade contractor, with whom the University contracts and who has primary responsibility for completion the construction of a project. This "prime" contractor usually employs the services of various subcontractors (trade contractors) and vendors in fulfilling its contractual obligations.

Feasibility Study: refers to investigation, usually commissioned through an outside consultant, to advise the University of the merits of an anticipated construction project, and to evaluate and comment on matters such as need, probability cost, preliminary program issues, and so forth.

Gross square feet: the total area of the project, generally measured from the outside of exterior walls for all floors of the project, including mechanical spaces.

H.B.C.: refers to the Commonwealth of Kentucky Department of Housing, Buildings and Construction, the state agency having responsibility for review and approval of University construction projects to assure compliance with applicable building codes.

Penthouse: refers generally to the enclosure around roof mounted mechanical spaces in a project. Not considered occupied (or net assignable) space.

Percent complete: as used in the report refers to the expenditure to date divided by the total amount of the construction contract for the work.

Net assignable square feet: the usable area of the project excluding corridors, rest rooms, storage areas, mechanical spaces, etc.

Phase: The various stages of design and construction of a capital project, including:

- Programming phase: refers to the initial planning phase of a project, during which the University (with or without participation by a consultant) defines in detail the needs and requirements of a project. This program becomes the basis for consultant selection, fee negotiation, and design of the project.
- Schematic design (S/D or Phase 1): refers to the first formal phase of design by the consultant, in which a general design solution to project programmatic requirements is developed.
- Design development (D/D or Phase 2): refers to the second formal phase of design by the consultant, during which the schematic design is expanded to identify solutions to design issues in broad terms, sufficient to insure the stated programmatic needs can be met.
- Construction document phase (C/D or Phase 3): refers to the final phase of the design process in which the details and specific project requirements are identified and incorporated in a final set of biddable construction documents.
- Bidding phase (Phase 4): refers to the stage of a project during which proposals for construction are solicited, culminating in the award of one or more contracts for construction.
- Construction phase (Phase 5): refers to the actual construction of the project by contractors.

Scope: The total estimated value of a capital project on completion, including all design, construction and administrative costs as well as the cost of capitalized furnishings and equipment.

Substantial Completion: refers to the time during construction, certified by the consultant, when the work is sufficiently complete for the University to occupy the facility for its intended use without significant interruption. Upon certification of substantial completion, the University assumes operational and maintenance responsibility and insurance liability for the project and the warranty period begins for most elements of the construction. Some work generally remains, including correction of minor punchlist items, before the work is certified finally complete.

Trade Contractor: sub-contractors, or individual bid package contractors, who practice a specific, trade (electrical, painting, structural steel, for example)

Major Projects
Capital Project Management Division
October 2014

Acquire/Renovate Academic Facilities – Early Childhood Laboratory

Project Description:

This project will renovate approximately 10,566 square feet of shelled space in the recently purchased Lexington Theological Seminary facilities to accommodate the College of Education's Early Childhood Laboratory currently located in the basement of the Erickson Building. The Early Childhood Laboratory provides early childhood education to children from six weeks of age through pre-school and provides College of Education students with an excellent opportunity to gain invaluable field experience in early childhood teaching. The program also provides a valuable on-campus childcare opportunity for faculty and staff. This renovation will include Americans with Disabilities Act (ADA) compliance, upgrade and replacement of mechanical systems, and fit-up of programming space to accommodate the lab. This project will allow for expansion of the program in improved facilities, and also alleviate long-standing environmental concerns related to the current location.

Project Status:

Mechanical and electrical systems and interior finishes are being completed. Substantial completion is scheduled for mid-December.

Campus Security System

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building for both the Main campus and UK HealthCare (UKHC) campus. The project includes a geo-diverse video management system (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Project Status:

Installations on main campus are complete. The UKHC building installation has begun. Substantial completion is expected to be in November.

Center for Applied Energy Research Slipstream Capital Project

Project Description:

The Center for Applied Research (CAER) has developed a novel heat integration scheme for the system that is expected to meet the Department of Energy performance and cost targets of 90 percent CO₂ capture, 95 percent CO₂ purity, with an increase in the cost of electricity of less than 35 percent. The CAER CO₂ capture process has been designed and will be erected and operated at LG&E/KU's E.W. Brown Generating Station in Harrodsburg, Kentucky. The project will be led by CAER with collaboration from the Electric Power Research Institute, Mitsubishi Hitachi Power Systems America, Koch Modular Process Systems and the Smith Management Group.

Project Status:

Design is complete and the project was bid. A contract is in the process of being executed. Construction is to commence in early November with completion scheduled for early February 2015.

Construct Academic Science Building

Project Description:

This project will construct a new Academic Science facility. The new facility will house most of the introductory science laboratory courses allowing students to learn the commonalities of multiple science disciplines in an interdisciplinary fashion and model "team problem-solving". The facility will be student oriented, having an open environment that encourages interaction and collaboration beyond the classroom. The new building will also contain premier interdisciplinary undergraduate science teaching labs and classrooms as well as student commons space, group and individual study rooms, and other amenities. The project also includes 53,900 gross square feet of shelled research space.

Project Status:

The construction documents design phase will continue to mid-November. Early bid packages for demolition, excavation, and site utilities have been awarded. Advertising of building bid packages will continue through mid-December. Demolition of Donovan Hall, Wenner-Gren and UK Food Storage buildings are complete and building site work has begun.

Construct Football Training Facility and Practice Fields

Project Description:

This project will construct the Football Training Facilities and Practice Fields for the Department of Intercollegiate Athletics football program. The project will include a new two-story structure and two practice fields with an adjoining drill area. The facility will also include administrative offices, locker spaces, team meeting areas, training areas, high performance and weight room spaces, equipment rooms, a hydrotherapy room, player locker rooms, lounge facilities, facilities for a training table, an academic lounge/study area, and an entrance lobby with enhanced visitor amenities.

Project Status:

The design development documents were delivered to the University on September 30. Review meetings were held with various representatives of the campus community in mid-October. All comments will be addressed before moving to the construction document phase. The Construction Manager's (CM) second construction estimate which was based on design development documents was reconciled with an estimate generated by the design team. The required adjustments were made to keep the project within budget.

Construction documents will be delivered in three packages. Design Release 1 (DR1) includes utilities relocation, earth retention, building pad cut and generator/transformer installation. DR1 documents are due on December 15. DR2 includes mass earth work, foundations, steel, storm drainage and foundation water proofing. DR2 documents are due on January 15, 2015. DR3 includes building, site development and practice fields. DR3 documents are due on February 26, 2015.

Current schedule calls for the DR1 packages to be bid January 20, 2015 with construction to begin in February 2015. DR2 packages will be bid February 17, 2015 with work beginning immediately. DR3 packages will be bid April 1, 2015 and the work will commence shortly thereafter. Construction will proceed thru the 2015 football season with construction coordinated in a fashion to have minimal impact on classes, game day events, and field house operations. Substantial completion is set for June 2016, prior to the beginning of the 2016 football pre-season.

Construct Patient Care Facility – 8th Floor Fit-out (Phase 1D)

Project Description:

Since opening the first two patient care floors in Pavilion A in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the eighth floor for inpatient cardiovascular services and fit-up of space on the third floor for an expansion of inpatient pharmacy services. This project will provide 64 inpatient beds and increase the medication packaging and distribution capacity for the Central Pharmacy.

Project Status:

The project was accepted as substantially complete on October 20. The hospital is currently installing IT and medical equipment.

Construct Patient Care Facility – Hospital Pharmacy (Phase 1D)

Project Description:

This project is a fit-up of an 8,560 square foot shell space on the third floor for use as a hospital pharmacy. The project consists of areas dedicated to warehousing and dispensing medications required for hospital patients. The project will also provide a clean room for preparing drugs used in chemotherapy.

Project Status:

The project was accepted as substantially complete on August 28. The hospital has completed equipment installation and is stocking pharmaceuticals for a go live date of November 10.

Construct Patient Care Facility – Clinical Decision Unit (Phase 1E)

Project Description:

This project is a fit-up of a 7,300 square foot shell space on the ground floor for use as a Clinical Decision Unit. The project consists of 24 patient observation beds, staff and support areas, and required utilities.

Project Status:

The project was accepted as substantially complete on October 31.

Construct UK/Nicholasville Road Flood Mitigation

Project Description:

This project will construct three separate improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. 1) Area south of Alumni Drive at Shawneetown Drive (includes permanent removal of remaining section of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. 2) Existing culvert upgrade at the upstream side of Nicholasville Road. 3) Installation of storm water retention areas between University Drive extension and Greg Page Drive, south of Alumni Drive. The \$11,880,030 project will be funded by a federal grant of \$8,910,023 from FEMA with the balance of \$2,970,007 being the in kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design. At the completion of the project, the final landscaping will create a natural park setting, creating a model of how storm water management areas can also be passive park settings.

Project Status:

Construction is ongoing. Excavation for Area 5 (the area between Greg Page Apartments and Shawneetown Apartments) is complete. The underground detention structures have been installed and backfilling is complete. Restoration of Area 5 will be complete in late November.

Utility relocations in Area 1 (the area between Nicholasville Road & University Court) is ongoing. Columbia Gas is to be finished in late November. Installation of a new electrical ductbank structure in this area began in October and will complete in November. Relocation of the electrical service by Kentucky Utilities will begin in December. Restoration of the stream bed in Area 1 commenced in October and removal of the old Shawneetown Court roadbed will begin in December. Removal of the existing parking lot at Alumni Drive & Greg Page will begin in early December so that excavation of the detention area may begin.

Construct/Replace/Upgrade Student Housing – Fit-up the Study/Coffee Shop in Champions Court I

Project Description:

This project will fit-up 8,400 square feet of shelled space in the new housing facility, Champions Court I, for a second location of The Study with an addition of a Coffee Shop. The Study/Coffee shop will provide approximately 250 seats and numerous study spaces conducive for group interaction and the latest technology to support a collaborative academic retention focus on north campus.

Project Status:

This project was accepted as substantially complete on September 9.

Design - Expand/Renovate Student Center

Project Description

The renovation and expansion of the Student Center is part of a broader plan to enhance student life across campus. Improvements will likely include updated student activity and study spaces, dining and retail operations, parking, a bookstore, and other amenities that support student access. This design phase will be negotiated in full but initiated and contracted in appropriate subcomponents. Initially, it will provide schematic documents to allow for a more detailed needs assessment, advanced preliminary concepts, and more detailed cost estimates for the project.

Project Status:

Schematic design is scheduled to complete by late November. Early enabling bid documents are scheduled to be completed April 2015 with overall design continuing to August 2015. Construction is scheduled to be completed in December 2017.

Design – Renovate/Upgrade Hospital Facilities – Good Samaritan Emergency Department

Project Description:

This project will develop a design for the renovation of the Good Samaritan Emergency Department. The renovation contemplated will address the needs of the patient population and the clinical services offered at Good Samaritan. Specific elements will likely include expanded service capabilities, private patient rooms, improved staff support spaces, and enhanced patient and family waiting space.

Project Status:

Stengel-Hill Architecture was selected as the consultant. Programming meetings have begun; schematic design is scheduled to be complete in mid-December.

Emergency Replacement of Woodford County Feed Mill

Project Description:

On May 26, 2013, a fire destroyed the College of Agriculture's feed manufacturing facility located on the C.O. Little Research Center in Woodford County. This facility was used to create precise diets suitable for research for all poultry at the Coldstream Research Center and all swine and sheep at the C.O. Little Research Center. This project is for the design and reconstruction of the facility.

Project Status:

The feed mill foundation work is ongoing. The sheep storage building and silos are under construction and are scheduled to complete next month. The overall completion date for all structures remains April 2015.

Renovate Academic Facility – University Lofts Facility

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Project Status:

The installation of the structural frame at the west entrance has begun. Site demolition is 95 percent complete. Mechanical, Electrical and Plumbing (MEP) work continues; drywall hanging and finishing is complete in the 1899 wing of the building and approximately 95 percent complete in the 1900's wing. Ceramic tile and restroom fixtures installation is complete in the 1899 wing. Metal door installation is complete. Installation of glass in hollow-metal frames is complete and security system installation has begun. The first coat of primer and paint, the installation of metal door hardware, and casework installation will be completed within the next 30 days.

Due to a delay in the relocation of the cell phone equipment off the roof of the old boiler room, substantial completion has moved to May 5, 2015, but this will not impact the fall 2015 opening.

Renovate Old Softball/Soccer Locker Room

Project Description:

The men's and women's soccer and the women's softball teams have been moved from the old soccer/softball facility to newly renovated facilities in the expanded softball/soccer complex. However, the men's and women's soccer and women's softball team offices remain in Commonwealth Stadium. This project will renovate the existing soccer/softball locker and shower space in the old soccer/softball building to create new office and administrative space for the coaches and staff of the three teams. As a result, the student-athletes will have access to their coaches and coaching staff in adjacent facilities.

Project Status:

The design process is complete. The bid opening is scheduled for November 25.

Renovate/Expand Gatton Building

Project Description:

This project includes renovating and expanding the existing 138,400 gross square foot (gsf) Gatton Building. The expansion will include a three story 67,000 gsf addition comprised primarily of community-oriented space to include an atrium, with a café and seating area, classrooms, and a 500 seat auditorium. The addition will become the primary entrance to the building and will be accessed from central campus. A secondary entrance from South Limestone Street will also be incorporated in the renovated space. The original 1963 building will provide various types of space for faculty and students; the classrooms in the 1991 addition will be renovated and updated to include the latest technology.

Project Status:

Foundations for the new addition have been completed and structural steel has been set. Floors have been poured and wall layout has begun. Abatement and demolition work have been completed in the original 1963 building. Wall framing is in progress along with overhead and in-wall MEP rough-ins.

Completion of the work associated with this bid package will occur in multiple phases. Phase I will consist of construction of the new addition (February 2014 – July 2015); Phase II, which partially overlaps with Phase I, includes the renovation of the original 1963 building (May 2014 – July 2015); and Phase III will renovate the 1991 addition (August 2015 – April 2016).

Renovate/Upgrade UK HealthCare Facilities (Phase 1F) - 9th & 10th Floor Fit-Out and Elevators

Project Description:

The Albert B. Chandler Hospital facilities development plan provides for the systematic replacement and renovation of patient care facilities. Since the opening of the first two patient care floors in Pavilion A of the new Patient Care Facility in May 2011, UKHC has continued to experience strong patient demand for the delivery of its hospital system services. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the ninth and tenth floors for inpatient medicine/pulmonary services. This project will provide 128 inpatient beds, including 48 ICU beds. Also included in this project is the purchase and installation of (9) elevators.

Project Status:

Design is nearing completion. Bids will be solicited, with the expected bid date to be January 18, 2015. Turner Construction has been contracted for CM services for the project.

Repair/Upgrade/Expand Central Plants - CUP Boiler Feed Water System

Project Description:

The Central Utility Plant (CUP) on Press Avenue houses three gas boilers that furnish steam to the campus system. The current feed water system was installed when the plant was initially opened and does not have the capacity to support the plant feed water requirements when all three boilers are on-line. This project will replace the existing feed water system with an enhanced higher capacity feed water system.

Project Status:

Due to scheduling considerations the project will be contracted and constructed in two phases. Phase 1 began July 21, 2014. This work included construction of the underground tanks on the north elevation of the existing plant and construction of a holding basin in the basement of the CUP. The excavation is complete and the two external poured-in-place tanks are now in place. Coating of the tanks has begun now that all tanks have cured as required. The forming and pouring of the upper portion of the outside tanks will take place during the month of November.

The second phase of the project includes the installation and commissioning of the water softening equipment in the basement of the CUP. The equipment is scheduled to be delivered in mid-November. Installation of this equipment is scheduled to take two months. The chemical required to make the system functional are schedule to be delivered in mid-December. Once all equipment is in place and operational, start-up and training will take place. The training is currently scheduled for early January allowing for final completion of the work by January 15, 2015.

Repair/Upgrade/Improve Electrical Infrastructure (Central Campus)

Project Description:

Current projects under construction and in various stages of planning will require expanded electrical capacity to meet the power needs of these facilities. This project will construct an underground duct bank and bring 12,000 volts (12kV) feeds from Substation #3 to power each facility. The duct bank will serve the future Academic Science Building along with the other new facilities. It will also add to campus system overall reliability.

Project Status:

Final acceptance is scheduled for mid-November.

Repair/Upgrade/Improve Building Mechanical Systems (Fine Arts)

Project Description:

This project will replace and upgrade major mechanical systems in the Fine Arts Building which includes replacement/upgrade of the air handling units and associated temperature controls, exhaust fans, and the reheat coils serving the north wing. The replacement and upgrade of the these mechanical systems will improve the comfort and

energy efficiency of the areas served and also improve indoor air quality as the existing supply and return duct systems will be cleaned. The work will be phased to complete as much of the work as possible during the summer and off peak times to minimize the impact to students, faculty, and staff.

Project Status:

This project was accepted as substantially complete on October 20.

Replace/Upgrade/Improve Building Mechanical Systems – Med. Science AHU

Project Description:

This project will replace the air-handling unit (AHU) for the Division of Laboratory Animal Resources (DLAR) located in the basement of the Willard Medical Education Building. The AHU is over 30 years old and in poor mechanical condition. Replacement of the AHU is needed to meet regulatory requirements and ensure air quality and maintenance of a constant temperature for research purposes.

Project Status:

Construction is on schedule. H&R Mechanical was the low bidder and has been awarded the project. Substantial completion is scheduled for January 15, 2015.

Repair/Upgrade/Improve Building Shell Systems (2014 Annual Parking Garage Maintenance & Restoration)

Project Description:

This project is the second yearly component of a preventative maintenance and repair program for the campus parking structures programmed for the next nine years and includes repairs in eight of the parking garages. This maintenance and repair program is intended to maintain and extend the useful life of the campus parking structures. Work will include masonry repairs, concrete repairs, painting, traffic coatings, roofing replacement as well as any work deemed to be critical to the continued service of the each individual garage.

Project Status:

This project was accepted as substantially complete on September 1.

Repair/Upgrade/Improve Civil/Site Infrastructure - Construct Cooperstown Loop Road

Project Description:

As part of the Phase II-A and Phase II-B Housing projects, the Cooperstown apartments will be replaced with undergraduate student residence halls. A relocation of Cooperstown Drive is necessary to provide sufficient usable land for the proposed layout and improve traffic flow from Sports Center Drive. The relocation will be included in the update of the Campus Master Plan currently underway.

Project Status:

Final construction documents have been received and transmitted to bid. Bids are scheduled to open in late November and construction is scheduled to be completed in June 2015.

Repair/Upgrade/Improve Civil/Site Infrastructure - Scott Street Parking Lot

Project Description:

This project will construct a parking lot at 313-347 Scott Street. The project creates approximately 265 parking spaces which will offset recent north campus parking losses. This project includes the construction of the lot as well as associated site preparation including demolition and abatement of the Reynolds Warehouse #3 and four other small structures located on the site.

Project Status:

The Construction Document review meeting was held on July 10. Bidding, abatement, and construction will begin once Reynolds #3 occupants are relocated.

Repair/Upgrade/Improve Civil/Site Infrastructure - South Campus Parking Lot

Project Description:

This project will expand the E.S. Good Barn parking lot and connect it to the parking lot currently under construction as part of the FEMA South Campus Storm Water capital project at the northwest corner of University and Alumni Drives. The expanded lot will provide parking along the University Drive transit corridor, and will create approximately 400 parking spaces.

Project Status:

This project was accepted as substantially complete in August. Tree planting in the islands and surrounding area will begin in November, with some tree species being planted in the early spring planting period.

Upgrade/Expand Commonwealth Stadium

Project Description:

The existing stadium, built in 1973, needs upgrades and expansion to better accommodate the students, fans and players. The project will provide new premium seating with revenue generating opportunities, improving the overall operational effectiveness and enhancing the fan experience as well as allowing UK to attract the best student-athletes to the campus. Among the planned improvements are many spectator driven improvements (improved concessions, restrooms and security), a new multi-purpose recruiting room, 16-20 new private suites, new home team locker room, approximately 2,000 new club seats, three new club spaces, new press facilities and a new full- service kitchen.

Project Status:

The Stadium project is moving forward on schedule with substantial completion set for August 14, 2015 prior to the beginning of the 2015 football season. In support of the schedule, the construction packages were released in four packages.

The Structural Steel Design Release (SSDR) and Design Release 1 (DR1) packages which include steel, site clearing, demolition, piers and foundations, and other subterranean work are currently near completion with the exception of demolition. On November 9, after the last 2014 home football game, the demolition contractor and the drilled pier contractor will remobilize to complete their work.

Design Release 2 (DR2) packages which include slab on deck installation, architectural precast materials, elevators, early electrical work, and major HVAC components are now under contract and moving forward. Metal decking is 70 percent complete. All the slabs on the north elevation and 80 percent of the slabs on the south elevation are now in place. The DR2 mechanical and electrical contractor are following immediately beginning rough-in behind the installation of the slabs. The shop drawings for architectural precast materials and elevators are near completion. Off-site production of both elements is underway. Architectural precast in being installed. Appearance of the final panels remains a concern. The construction manager is addressing this issue with the trade contractor.

Design Release 3 (DR3) packages which consists of all other elements including masonry, MEP systems, graphics, roofing, glazing, seating, painting, flooring, finishes, etc. are under contract with the exception of landscaping and final cleaning. Landscaping will be bid in December and final cleaning when appropriate near the end of the project. The mason is under contract and continues laying block on both levels of the north side and the east end zone. Electrical, low voltage, plumbing and heating contracts are in place with the rough-in work continuing on schedule. These packages are participating in the Building Information Modeling (BIM) coordination process which is near completion. The glass and glazing contract was put into place in late July with several value engineering modifications. Deliveries are expected in early December. The seating package is in place with deliveries scheduled for early April 2015. All finish packages including painting and flooring are under contract with the submittal process moving forward.

Upgrade/Relocate Pediatric Critical Care Unit

Project Description:

The project will renovate existing space of the KY Children's Hospital Acute Care Unit in Pavilion HA. The work implements contemporary pediatric design concepts, interior finish upgrades including flooring, wall reconfigurations, ceiling, and lighting. The project includes all patient, public, and staff spaces such as patient rooms, patient bathrooms, team work areas, and corridors. The implementation of this project is dependent upon private donations. Preliminary designs and related renderings will assist the University in fund-raising efforts.

Project Status:

Zones 3, 5 & 6 of Phase I are complete. Design of the nurse's station in Phase I is to be complete in December so the construction work may take place in non-patient care space during the highest census period of the year. Renovation of patient rooms will continue in the spring of 2015 as patient census declines.

Upgrade/Renovate Space in Multi-Disciplinary Science Building

Project Description:

This project will renovate the fourth floor, or approximately 15,750 square feet, of the Multi-Disciplinary Science Building. The renovation will include reconfigured academic, administrative and support spaces; updated finishes and fixtures; and necessary upgrades to mechanical and electrical components. The renovated space will house several University units, including the Disability Resource Center, The Graduate Center for Gerontology and Student Support Services.

Project Status:

Design started in September. Schematic design and design development review meetings are complete. The construction document review meeting is scheduled. The project will bid in December and substantial completion is scheduled for May 2015.

Abatement & Demolition North Campus Buildings

Project Description:

This project will demolish five buildings on north campus to clear a site for the Phase II-C development of the partnership between the University of Kentucky and Education Realty Trust (EdR). The buildings to be demolished include: Boyd Hall, Jewell Hall, Holmes Hall, Keeneland Hall, and the Hamilton House.

Project Status:

This project was accepted as substantially complete on September 29.

Champions Court I & II (Previously referred to as “Blazer” & “Wildcat”)

Project Description:

This project is a part of the Phase II-A development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on-campus undergraduate and graduate student housing. The Champions Court I (CCI) building consists of eight floors with 740 beds, and includes shelled space for fit-out of retail and classroom space. The Champions Court II (CCII) building consists of 427 beds on five floors and does not include shelled space. These buildings are being constructed on the site encompassed by Martin Luther King Blvd. to the west, Lexington Ave. to the east, Avenue of Champions to the south and north to include the Samaritan parking lot which is located just north of the former Joe B. Hall Wildcat Lodge. The Joe B. Hall Wildcat Lodge was demolished to make room for the new construction.

Project Status:

These buildings have been accepted as substantially complete. CCI was accepted on July 31 and CCII was accepted on July 2.

Construct, Expand, and Renovate Ambulatory Care Facility – Kentucky Clinic Medical Plaza Second Floor and Urology Clinic

Project Description:

This project will renovate both the Medicine and Urology Clinics located in the original section of the Kentucky Clinic Building. These renovations will create more usable exam room space, enhance patient and staff circulation, improve waiting areas, and upgrade HVAC systems to current standards.

Project Status:

The University is currently awaiting proposals for design services. Upon receipt, a short-list meeting and subsequent interviews will be held. Design is scheduled to begin in late November.

Construct, Expand & Renovate Ambulatory Care Facility – UK HealthCare (Pediatric Hematology/Oncology – Pavilion H)

Project Description:

Pediatric Hematology/Oncology services are currently located on the second floor of the Kentucky Clinic. This capital project will renovate space on the fourth floor of Pavilion H to relocate Pediatric Hematology/Oncology services. The renovation will create pediatric exam room space and locate Pediatric Hematology/Oncology services in proximity to other pediatric services also on the fourth floor of Pavilion H. This project will result in enhanced overall coordinated care for children.

Project Status:

The consultant selection process will begin in November, 2014.

Haggin Hall

Project Description:

This project is a part of the Phase II-A development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on-campus undergraduate and graduate student housing. The Haggin Hall building consists of five floors with 396 total beds, and includes shelled space for fit-out of a dining facility. This building is being constructed on the site location of the former Haggin Hall, and is encompassed by Hilltop Avenue to the North; Huguelet Avenue to the South; Central Halls I & II to the East; and the future science building to the West.

Project Status:

This project was accepted as substantially complete on July 31.

Limestone Park I & II

Project Description:

These buildings make up the Phase II-C development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on-campus undergraduate and graduate student housing. These buildings will make-up the last section of the north campus housing development. Limestone Park I (LPI) will be seven stories, with 645 beds & one classroom; it will also include retail space and shelved space to be fitted out by the University. Limestone Park 2 (LPII) will also be seven stories, with 495 beds. These buildings will be located on north campus where the former Boyd Hall, Jewell Hall, Holmes Hall, Keeneland Hall, and the Hamilton House were located and adjacent to Patterson Hall.

Project Status:

Initial work has begun on the building pads and caissons for both buildings. These buildings are scheduled to open in August 2016.

Renovate Academic/Administrative Space Five (Employee Wellness Center)

Project Description:

The Employee Wellness Center, currently located in the Alumni Gym, must be relocated to accommodate the planned expansion and renovation of the Student Center. A corner of the Export Building will be renovated to include approximately 6,500 square feet for the Employee Wellness Center. The space will accommodate fitness equipment, group exercise classes, and provide space for locker rooms, staff offices, and storage.

Project Status:

A design contract was awarded to Luckett & Farley this month. The project will bid in early February 2015 and substantial completion is scheduled for May 2015.

Renovate/Replace Playing Field – Commonwealth Stadium

Project Description:

Commonwealth Stadium's playing surface has not been completely renovated since 2001. This project will renovate and replace the natural grass playing surface with a synthetic "in-fill" type surface for the Department of Intercollegiate Athletics football program. This project will include removal of the existing natural grass playing surface and sand-based subsurface and installation of the in-fill type synthetic grass surface over the existing field drainage system.

Project Status:

As this work is best performed by a specialty contractor, the purchasing will be a Request for Proposals (RFP) format, resulting in completion of the project thru a Design/Build contract. The advertisement was released October 14. A pre-proposal conference was held October 23. Four interested contractors attended. Proposals are due November 7, with the first round of evaluation occurring November 11. The offerors selected will be invited to make presentations on November 20. The goal is to award the contract by December 1.

Demolition of the existing playing surface is to begin April 1, 2015, after the contractor for the stadium renovation clears the field. The work is to be substantially complete by June 1, 2015. The new field will be playable for the 2015 football season.

Renovate/Upgrade Academic Space (Patterson Hall)

Project Description:

Patterson Hall was opened in 1904 as the first University of Kentucky women's dormitory. This capital project will renovate and upgrade this historically significant structure integrating it into the newly developed north campus. Patterson Hall will be renovated and upgraded for use as classrooms, study areas, and gathering spaces as part of the Living-Learning Program. Renovations will also include some office space for functions supporting UK students. Additionally, this project will upgrade major building mechanical systems and perform exterior repairs to enhance the historical integrity and ensure the longevity of the building. By saving and rehabilitating this building, the University preserves a significant contribution to the architectural history of the campus; maintaining it for future generations of students, faculty, and alumni.

Project Status:

Lord Aeck Sargent has been selected as the architect for this project. We are currently in fee negotiations. The project is scheduled to bid in June 2015 with construction to be substantially complete in July 2016.

Renovate/Upgrade UK HealthCare Facilities (Phase 1F) – Chiller

Project Description:

The fit-out of additional areas in Pavilion A will cause the space cooling demand to increase to a point where an additional chiller will be required to be purchased and installed. This chiller will be located in the Central Utility Plant and will supply the campus loop, which in turn supplies the hospital with chilled water for cooling.

Project Status:

An RFP for design services will be posted in November.

Renovate/Upgrade UK HealthCare Facilities (Phase 1F) - Kentucky Children's Hospital NICU

Project Description:

The re-development of existing facilities in Pavilion HA is necessary for Kentucky Children's Hospital to establish a modern, state-of-the-art facility for Kentucky's pediatric healthcare needs. This space will allow for development of pediatric outpatient and family support services and will replace the outdated and undersized NICU with space consistent with today's standard of care for delivery of pediatric health care.

Project Status:

An RFP for design services was issued. Four short listed firms will be interviewed November 7.

Renovate/Upgrade UK HealthCare Facilities (Phase 1F) - Kitchen/Cafeteria, MRI, Hyperbaric

Project Description:

Since opening two patient care floors in Pavilion A, as well as surgery, the post-anesthesia care unit (PACU), and the emergency department (ED), the patient and visitor load has increased steadily. This volume of patients, staff, and visitors has resulted in an increasing demand for food services, which is currently served from Pavilion H. This project will help alleviate these constraints by providing a venue for patient, staff and visitor meals in Pavilion A. This modern and efficient kitchen/cafeteria will provide for the long term food service needs for Pavilion A. Also included in this project is the construction of an inpatient diagnostic department and a hyperbaric unit.

Project Status:

Design contract negotiations are underway.

UK Dining

Project Description:

Through a public private partnership, Aramark, a publicly traded company headquartered in Philadelphia, will provide 100 percent equity to fund dining capital projects for up to \$70,100,000. Per University Administrative Regulation 8.2, Board approval is required for capital projects costing more than \$600,000. The planned projects that would cost above \$600,000 are: construction of a new Commons on south campus; fit-up of shell spaces in Haggin Hall and Champions Court I; renovation of existing dining facilities; expansion of dining in other new or renovated buildings; and installation and operation of a temporary dining facility to supplant dining services when the Student Center is offline for renovation and expansion.

Project Status:

Aramark will manage multiple projects utilizing various design and construction contracts. UK is not a party to these contracts therefore they are not included in this report. The EVPFA will provide regular updates to the Finance Committee on the progress of these facilities.

Woodland Glen I & II (Housing to replace Cooperstown Buildings D & E)

Project Description:

This project is a part of the Phase II-A development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on-campus undergraduate and graduate student housing. These buildings make up the first section of the Woodland Glen housing area. These two new buildings (WGI & WGII) will be five stories each, with each building providing 409 beds for a total of 818 beds. They will be located where the former Cooperstown D & E Buildings were located. A total of three classrooms will be included in these buildings combined.

Project Status:

These buildings were accepted as substantially complete on 7/23/2014.

Woodland Glen III, IV & V

Project Description:

This project is a part of the Phase II-B development of the partnership between the University of Kentucky and Education Realty Trust (EdR) to replace on-campus undergraduate and graduate student housing. These buildings will make-up the last section of the Woodland Glen housing area. These three new buildings (WGIII, WGIV, & WGV) will be as follows: WGIII is five stories, with 782 beds & two classrooms; WGIV is seven stories, with 578 beds and one classroom; WGV is five stories, with 250 beds and one classroom, for a total of 1,610 beds and four classrooms. They will be located where the former Cooperstown A, B, C, F, & G buildings were located.

Project Status:

Construction has begun for all three buildings. These buildings are all scheduled to open in August 2015. Below is a summary of work at each of the buildings:

Woodland Glen III (North Building)

Exterior masonry, interior MEP rough-ins, and gypsum board work all continue to make progress.

Woodland Glen IV (East Building)

Roofing is almost complete. Window blocking is in progress. Tyvek is being installed ahead of masonry work. MEP rough-ins continue to make progress along with interior framing. Gypsum board work has begun in mechanical closets and behind showers.

Woodland Glen V (South Building)

Roofing is complete; masonry has begun. Interior wood framing and MEP rough-ins are in progress. Gypsum board work is making progress and initial painting has begun in some locations.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Acquire/Renovate Academic Facilities - Early Childhood Laboratory

Project Number: 2381.00

Project Description:

Scope: \$2,525,000

This project will renovate approximately 10,566 square feet of shelled space in the recently purchased Lexington Theological Seminary facilities to accommodate the College of Education's Early Childhood Laboratory currently located in the basement of the Erickson Building. The Early Childhood Laboratory provides early childhood education to children from six weeks of age through pre-school and provides College of Education students with an excellent opportunity to gain invaluable field experience in early childhood teaching. The program also provides a valuable on-campus childcare opportunity for faculty and staff. This renovation will include Americans with Disabilities Act compliance, upgrade and replacement of mechanical systems, and fit-up of programming space to accommodate the lab. This project will allow for expansion of the program in improved facilities, and also alleviate long-standing environmental concerns related to the current location.

Consultant: Murphy Graves Trimble

Contract # A141120

Original Contract Amount: \$175,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount: \$175,000

No amendments this quarter.

Contractor: Marrillia Design & Construction

Contract #

Original Contract Amount: \$2,019,121

Total Change Orders to Date: 11

Cumulative Change Order Amount: -\$8,414

Low Bid: \$2,019,121

Revised Contract Amount: \$2,010,707

High Bid: \$2,637,600

Contract Percent Complete: 60%

Number of Bids: 7

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Campus Security System

Project Number: 2342.00

Scope: \$5,000,000

Project Description:

This project includes various security initiatives using a centralized safety response to campus monitored from the Police Department located in the Safety and Security Building for both the Main campus and UK HealthCare (UKHC) campus. The project includes a geo-diverse video management system (VMS) and security management system (SMS) that provides situational awareness to targeted areas on campus and event driven analytics to alert University Campus Police of possible threats to campus. This project will provide campus-wide camera monitoring, early warning systems speakers, and other communication devices to monitor and respond to campus incidents. The project will provide the infrastructure necessary for proposed installations and incorporate or replace legacy systems to a single security system.

Consultant: Biagi, Chance, Cummins, London & Titzer

Contract # A131060B

Original Contract Amount:

\$50,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$50,000

No amendments this quarter.

Contractor: Dallmann Systems, Inc. *Dallman's contract awarded by RFP Process

Contract # 7500014489

Original Contract Amount:

\$3,624,511

Total Change Orders to Date: 32

Cumulative Change Order Amount:

\$179,125

Low Bid:

Revised Contract Amount:

\$3,803,636

High Bid:

Contract Percent Complete:

96%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 5/2/13, was approved for an increase of \$27,539 to change ID cards from Duo Prox II to iClass Corporate 1000. **Change Order # 32**, 2/28/14, was approved for a decrease of \$91,534 to provide for a variety of changes including deduction of the interior notification system, addition of long range proximity ADA readers at all ADA entrances, installation of laminated labels on all readers, provision of connectivity to police operations center for the emergency phones, and eight terabytes of storage to seven video recorders. This change is a result of Value Engineering options approved by the UK Police Department and existing conditions discovered during installation. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Center for Applied Energy Research Slipstream Capital Project

Project Number: 2398.00

Scope: \$2,700,000

Project Description:

The Center for Applied Research (CAER) has developed a novel heat integration scheme for the system that is expected to meet the Department of Energy performance and cost targets of 90% CO2 capture, 95% CO2 purity, with an increase in the cost of electricity of less than 35%. The CAER CO2 capture process has been designed and will be erected and operated at LG&E/KU's E.W. Brown Generating Station in Harrodsburg, Kentucky. The project will be led by CAER with collaboration from the Electric Power Research Institute, Mitsubishi Hitachi Power Systems America, Koch Modular Process Systems and the Smith Management Group.

Consultant: CMTA Engineers

Contract # A151080

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$101,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$101,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Academic Science Building

Project Number: 2363.00

Scope: \$112,000,000

Project Description:

This project will construct a new Academic Science facility. The new facility will house most of the introductory science laboratory courses allowing students to learn the commonalities of multiple science disciplines in an interdisciplinary fashion and model "team problem-solving". The facility will be student oriented, having an open environment that encourages interaction and collaboration beyond the classroom. The new building will also contain premier interdisciplinary undergraduate science teaching labs and classrooms as well as student commons space, group and individual study rooms, and other amenities. The project also includes 49,500 gross square feet of shelled research space.

Consultant: JRA Architects

Contract # A131210

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$7,445,842
Cumulative Amendment Amount:	
Revised Contract Amount:	\$7,445,842

Contractor: Messer Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. Messer's contract was awarded by RFP process.

Contract # 7500019604

Total Change Orders to Date: 18

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 17, 8/8/14, was approved for an increase of \$250,260 to provide for disposal and oversight of disposal of non-friable asbestos discovered within the exterior cavity of Donovan Hall during demolition, as well as disposal of impacted materials such as exterior brick and portions of the concrete frame.

Original Contract Amount:	\$11,159,518
Cumulative Change Order Amount:	\$309,437
Revised Contract Amount:	\$11,468,955
Contract Percent Complete:	3%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Football Training Facility and Practice Fields

Project Number: 2397.00

Scope: \$45,000,000

Project Description:

This project will construct the Football Training Facilities and Practice Fields for the Department of Intercollegiate Athletics football program. The project will include a new two-story structure and two practice fields with an adjoining drill area and will house administrative offices, locker spaces, team meeting areas, training areas, high performance and weight room spaces, equipment rooms, a hydrotherapy room, player locker rooms, lounge facilities, an academic lounge/study area, and an entrance lobby with enhanced visitor amenities.

Consultant: RossTarrant Architects

Contract # A141200

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$3,077,278

Cumulative Amendment Amount:

Revised Contract Amount:

\$3,077,278

Contractor: Congleton-Hacker Co.

This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. The contract was awarded by RFP process.

Contract # 7500034205

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

\$1,897,000

Cumulative Change Order Amount:

Revised Contract Amount:

\$1,897,000

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility-8th Floor Patient Rooms (Phase 1D)

Project Number: 2239.73

Scope: \$31,500,000

Project Description:

Since opening the first two patient care floors in Pavilion A in May 2011, UK HealthCare has continued to experience strong inpatient admissions growth. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the eighth floor for inpatient cardiovascular services and fit-up of space on the third floor for an expansion of inpatient pharmacy services. This project will provide 64 inpatient beds and increase the medication packaging and distribution capacity for the Central Pharmacy.

Consultant: GBBN Architects

Contract # A131160

Original Contract Amount: \$538,000

Total Amendments to Date: 2

Cumulative Amendment Amount: \$351,723

Describe all amendments :

Revised Contract Amount: \$889,723

Amendment 1, 9/10/13, an increase of \$101,723 was approved for additional design services and meetings necessary to finalize the documents and meet the needs of the hospital end users as well as increasing the scope of design to include electrical infrastructure improvements. **Amendment 2**, 12/23/13, an increase of \$250,000 was approved to add fees for construction administration after board approval was granted to carry the project through construction. **No amendments this quarter.**

Contractor: Turner Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. Turner's contract was awarded by RFP process.

Contract # 7500021972

Original Contract Amount: \$15,513,256

Total Change Orders to Date: 32

Cumulative Change Order Amount: \$310,279

Low Bid:

Revised Contract Amount: \$15,823,535

High Bid:

Contract Percent Complete: 96%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 2, 3/13/14, was approved for an increase of \$51,115 to upgrade roller window shades. **Change Order # 9**, 4/29/14, was approved for an increase of \$36,468 to install sanitary test tees and cleanouts in each patient room riser allowing for pressure testing of the system. **Change Order # 15**, 5/21/14, was approved for an increase of 38,885 to add data outlets as requested to comply with Phillip's final design of the wireless monitoring system. **Change Order #25**, 7/21/14, was approved for a decrease of \$32,244 to delete green data patch cables from the scope of work. Surplus cables will be used. **Change Order # 32**, 8/15/14, was approved for an increase of \$46,324 to provide additional circuits to power ICU boom brakes in 24 rooms.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct Patient Care Facility-Clinical Decision Unit (Phase 1E)

Project Number: 2239.75

Scope: \$6,000,000

Project Description:

This project is a fit-up of a 7,300 square foot shell space on the ground floor for use as a Clinical Decision Unit. The project consists of 24 patient observation beds, staff and support areas, and required utilities.

Consultant: JRA Architects

Contract # A141130

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$283,969

Cumulative Amendment Amount:

Revised Contract Amount:

\$283,969

Contractor: Turner Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. Turner's contract was awarded by RFP process.

Contract # 7500029508

Total Change Orders to Date: 5

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

\$2,693,532

Cumulative Change Order Amount:

\$34,486

Revised Contract Amount:

\$2,728,018

Contract Percent Complete:

70%

Change Order # 6, 9/19/14, was approved for an increase of \$44,101 to remove and replace three door frames and provide new doors for two existing frames. Replace door hardware sets on all doors. These changes are required as life safety requirements due to the revised use of the suite as an Emergency Department Observation Unit.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct UK/Nicholasville Road Flood Mitigation

Project Number: 2336.00

Scope: \$11,880,030

Project Description:

This project will construct three separate improvements, to provide 100 year storm (and lesser storm) mitigation to prevent roadway overtopping and improve pedestrian safety addressing storm water quantity within this one campus water shed. 1) Area south of Alumni Drive at Shawneetown Drive (includes permanent removal of remaining section of Shawneetown Drive and relocation of existing utilities) will be excavated for additional storm water detention. 2) Existing culvert upgrade at the upstream side of Nicholasville Road. 3) Installation of storm water retention areas between University Drive extension and Greg Page Drive, south of Alumni Drive. The \$11,880,030 project will be funded by a federal grant of \$8,910,023 from FEMA with the balance of \$2,970,007 being the in kind value of the 3.6 acres of University of Kentucky land determined by adjacent property values. Water quality related issues may also be part of the project scope depending on available funds and compatibility with storm water quantity design. At the completion of the project, the final landscaping will create a natural park setting, creating a model of how storm water management areas can also be passive park settings.

Consultant: Bell Engineering

Contract # A121130

Total Amendments to Date: 1

Describe all amendments :

Amendment 1, 12/10/13, an increase of \$272,000 was approved for additional design services as required due to an increase in scope after additional grant funding was awarded. **No amendments this quarter.**

Original Contract Amount: \$427,000

Cumulative Amendment Amount: \$272,000

Revised Contract Amount: \$699,000

Contractor: Bluegrass Contracting Corp.

Contract # 7500031357

Total Change Orders to Date: 1

Low Bid: \$9,991,000

High Bid: \$5,404,487

Number of Bids: 9

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount: \$5,404,487

Cumulative Change Order Amount: \$5,933

Revised Contract Amount: \$5,410,420

Contract Percent Complete: 56%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Construct/Replace/Upgrade Student Housing - Fit-up Study/Coffee Shop

Project Number: 2392.00

Project Description:

Scope: \$2,800,000

This project will fit-up 8,400 square feet of shelled space in the new housing facility, Champions Court I, for a second location of The Study with an addition of a Coffee Shop. The Study/Coffee shop will provide approximately 250 seats and numerous study spaces conducive for group interaction and the latest technology to support a collaborative academic retention focus on north campus.

Consultant: Sherman Carter Barnhart

Contract # A141000VV

Original Contract Amount:

\$49,600

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$49,600

No amendments this quarter.

Contractor: Messer Construction Co.

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. Messer's contract was awarded by RFP process.

Contract # 7500027590

Original Contract Amount:

\$1,578,379

Total Change Orders to Date: 3

Cumulative Change Order Amount:

\$21,367

Low Bid:

Revised Contract Amount:

\$1,599,746

High Bid:

Contract Percent Complete:

98%

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Design - Expand/Renovate Student Center

Project Number: 2396.00

Project Description:

Scope: \$17,000,000

The renovation and expansion of the Student Center is part of a broader plan to enhance student life across campus. Improvements will likely include updated student activity and study spaces, dining and retail operations, parking, a bookstore, and other amenities that support student access. This design phase will be negotiated in full but initiated and contracted in appropriate sub components. Initially, it will provide schematic documents to allow for a more detailed needs assessment, advanced preliminary concepts, and more detailed cost estimates for the project.

Consultant: Omni Architects

Contract # A141210

Original Contract Amount:

\$10,104,022

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$10,104,022

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Design - Renovate/Upgrade Hospital Facilities - Good Samaritan E.D.

Project Number: 2394.00

Scope: \$600,000

Project Description:

This project will develop a design for the renovation of the Good Samaritan Emergency Department. The renovation contemplated will address the needs of the patient population and the clinical services offered at Good Samaritan. Specific elements will likely include expanded service capabilities, private patient rooms, improved staff support spaces, and enhanced patient and family waiting space.

Consultant: Stengel-Hill Architecture

Contract # A151110

Original Contract Amount:

\$228,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$228,500

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Emergency Replacement of Woodford County Feed Mill

Project Number: 2379.00

Scope: \$4,735,000

Project Description:

On May 26, 2013 a fire destroyed the College of Agriculture's feed manufacturing facility located on the C.O. Little Research Center in Woodford County. This facility was used to create precise diets suitable for research for all poultry at the Coldstream Research Center and all swine and sheep at the C.O. Little Research Center. This project is for the design and reconstruction of the facility.

Consultant: Luckett & Farley

Contract # A141000FF

Original Contract Amount:

\$33,750

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$33,750

No amendments this quarter.

Contractor: Denham-Blythe Company

Contract # 7500034682

Original Contract Amount:

\$4,087,431

Total Change Orders to Date: 1

Cumulative Change Order Amount:

\$42,703

Low Bid: \$4,087,431

Revised Contract Amount:

\$4,130,134

High Bid: \$7,010,300

Contract Percent Complete:

16%

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

Change Order # 1, 8/18/14, was approved for an increase of \$42,703 to undercut fat clays and replace with compacted dense graded aggregate (DGA) on both the feedmill structure and the pole barn as well as modify the foundations as recommended on the feedmill structure. Changes are necessary after reviewing the geotechnical report.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Academic Facility - University Lofts Facility

Project Number: 2339.00

Scope: \$15,000,000

Project Description:

This project will renovate the 110,000 gross square foot facility into classrooms, class labs/studios, office and support space for the College of Fine Arts. The improvements will also include necessary upgrades of the building systems such as communications, plumbing, HVAC, electrical, fire safety and security.

Consultant: Omni Architects

Contract # A130180

Total Amendments to Date: 5

Original Contract Amount: \$584,437

Cumulative Amendment Amount: \$534,296

Revised Contract Amount: \$1,118,733

Describe all amendments :

Amendment 1, 12/11/12, an increase of \$10,000 was approved for additional design services to provide schematic design options and an additional estimate to facilitate budget and design decisions. **Amendment 2**, 4/9/13, an increase of \$21,000 was approved for an increase to reimbursable services to allow for additional surveying and subsurface investigations. **Amendment 3**, 11/12/13, an increase of \$453,000 was approved for additional design services due to extensive existing system upgrades necessary to handle the College's anticipated program loads and needs. **Amendment 4**, 2/11/14, an increase of \$21,977 was approved for additional special inspection services required due to the increased scope of structural work required in replacing the existing boiler room with new mechanical and electrical rooms. **Amendment 5**, 9/9/14, an increase of \$28,319 was approved to provide additional design services necessary for changes to faculty studios, architectural and structural elements for the kiln yard, and civil design for future parking.

Contractor: D.W. Wilburn, Inc.

Contract # 7500026013

Total Change Orders to Date: 24

Low Bid: \$12,273,000

High Bid: \$16,195,000

Number of Bids: 8

Original Contract Amount: \$12,273,000

Cumulative Change Order Amount: \$516,429

Revised Contract Amount: \$12,789,429

Contract Percent Complete: 70%

Describe all change orders greater than \$25,000.00:

Change Order # 10, 6/5/14, was approved for an increase of \$32,775 to provide flowable-fill below the foundation walls and geo-grid, as well add stone below the floor slab, due to the finding of unsuitable soils. **Change Order # 18**, 8/4/14, was approved for an increase of \$30,000 to add an additional two months of time and general conditions cost due to cell phone equipment and asbestos removal. **Change Order # 20**, 8/22/14, was approved for an increase of \$337,029 to add the renovation of the the third floor of the 1899 building to include new mechanical, electrical, plumbing and finishes for the faculty studios and offices. This work was originally bid as an add alternate.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate Old Softball/Soccer Locker Room

Project Number: 2401.00

Scope: \$1,500,000

Project Description:

The men's and women's soccer and the women's softball teams have been moved from the old soccer/softball facility to newly renovated facilities in the expanded softball/soccer complex. However, the men's and women's soccer and women's softball team offices remain in Commonwealth Stadium. This project will renovate the existing soccer/softball locker and shower space in the old soccer/softball building to create new office and administrative space for the coaches and staff of the three teams. As a result, the student-athletes will have access to their coaches and coaching staff in adjacent facilities.

Consultant: Brandstetter Carroll, Inc.

Contract # A151090

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$62,800

Cumulative Amendment Amount:

Revised Contract Amount:

\$62,800

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Expand Gatton Building

Project Number: 2345.00

Scope: \$65,000,000

Project Description:

This project includes renovating and expanding the existing 138,400 gross square foot Gatton Building. The expansion will include a three story addition consisting of 65,727 gross square feet comprised primarily of community-oriented space to include an atrium, with a café and seating area, classrooms, and a 500 seat auditorium. The addition will become the primary entrance to the building and will be accessed from central campus. A secondary entrance from South Limestone Street will also be incorporated in the renovated space. The original 1963 building will provide various types of space for faculty and students; the classrooms in the 1991 addition will be renovated and updated to include the latest technology. When completed, the building will have a total of 204,127 gross square feet.

Consultant: Ross Tarrant Architects

Contract # A131090

Total Amendments to Date: 3

Original Contract Amount:

\$3,716,149

Cumulative Amendment Amount:

\$1,805,826

Describe all amendments :

Revised Contract Amount:

\$5,521,975

Amendment 1, 6/11/13, an increase of \$1,752,276.24 was approved to provide bidding and construction administration services which were not included in the original contract due to phased legislative authorities, and includes expanded programming and design services. **Amendment 2**, 12/10/13, an increase of \$53,550 was approved for to provide for additional reimbursable services including fire modeling services and design & coordination of the building security system. **Amendment 3**, 7/8/14, a zero dollar amendment was approved to reduce reimbursable services by \$12,400 and increase design services by same to provide design and bidding services for a redesign of the landscaping plan and incorporation of a second retaining wall on the north slope of the site development.

Contractor: Skanska USA Building

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. *SKANSKA's contract was awarded by RFP process.

Contract # 7500012212

Total Change Orders to Date: 32

Low Bid:

High Bid:

Original Contract Amount:

\$51,159,412

Cumulative Change Order Amount:

\$690,223

Revised Contract Amount:

\$51,849,635

Contract Percent Complete:

27%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 1, 3/7/14, was approved for an increase of \$51,262 to provide labor, equipment, and material necessary to install 1,000 gallon grease trap and associated piping to comply with LFUCG standards for the food service component of the project. **Change Order # 5**, 3/18/14, was approved for an increase of \$105,784 to modify the site development design to include the changes in design from bid to the time the enabling package was released. The change was a result of fast tracking the project. **Change Order # 6**, 3/21/14, was approved for an increase of \$158,287 to install temporary underground storm and sanitary lines to maintain active sewer lines during the installation of the drilled piers and grade beam of the new addition. **Change Order # 17**, 6/27/14, was approved for an increase of \$29,627 to install a one hour fire rated infill partition at the separation of the '63 and '90's buildings. **Change Order # 24**, 8/21/14, was approved for an increase of \$55,072 to adjust the contract allowance based on unit prices for the actual volume of caissons as installed compared to the quantity in the contract. **Change Order # 25**, 8/26/14, was approved for an increase of \$58,007 to provide the labor and material as necessary for abatement of fittings above the specified amount in the bid documents. **Change Order # 30**, 9/19/14, was approved for an increase of \$53,211 to add a second retaining wall/seat wall to provide a less steep grade coming from University Drive.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Renovate/Upgrade UK HealthCare Facilities (Phase I-F)- 9th & 10 Floor Fit-Out and

Project Number: 2402.10

Project Description:

Scope: \$73,200,000

The Albert B. Chandler Hospital facilities development plan provides for the systematic replacement and renovation of patient care facilities. Since the opening of the first two patient care floors in Pavilion A of the new Patient Care Facility in May 2011, UKHC has continued to experience strong patient demand for the delivery of its hospital system services. This volume increase has placed substantial capacity constraints on UK inpatient hospital operations. This project will help alleviate these constraints and includes the fit-up of the ninth and tenth floors for inpatient medicine/pulmonary services. This project will provide 128 inpatient beds, including 48 ICU beds. Also included in this project is the purchase and installation of (9) elevators.

Consultant: GBBN Architects

Contract # A151070

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$884,500
Cumulative Amendment Amount:	
Revised Contract Amount:	\$884,500

Contractor: Turner Construction Co. Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. Turner's contract was awarded by RFP process.

Contract # 7500038892

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Original Contract Amount:	\$3,681,774
Cumulative Change Order Amount:	
Revised Contract Amount:	\$3,681,774
Contract Percent Complete:	

Repair Upgrade Expand Central Plants - CUP Boiler Feed Water System

Project Number: 2389.00

Project Description:

Scope: \$1,400,000

The Central Utility Plant (CUP) on Press Avenue houses three gas boilers that furnish steam to the campus system. The current feed water system was installed when the plant was initially opened and does not have the capacity to support the plant feed water requirements when all three boilers are on-line. This project will replace the existing feed water system with an enhanced higher capacity feed water system.

Consultant: Staggs & Fisher Consulting Engineers

Contract # A131020S

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:	\$35,000
Cumulative Amendment Amount:	
Revised Contract Amount:	\$35,000

Contractor: Congleton-Hacker Co.

Contract # 7500034818

Total Change Orders to Date: 3

Low Bid: \$767,000

High Bid: \$1,479,900

Number of Bids: 2

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:	\$1,165,850
Cumulative Change Order Amount:	\$4,153
Revised Contract Amount:	\$1,170,003
Contract Percent Complete:	49%

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair, Upgrade or Improve Electrical Infrastructure (Central Campus)

Project Number: 2368.00

Project Description:

Scope: \$3,700,000

Current projects under construction and in various stages of planning will require expanded electrical capacity to meet the power needs of these facilities. This project will construct an underground duct bank and bring 12,000 volts (12kV) feeds from Substation #3 to power each facility. The duct bank will serve the future academic science building along with the other new facilities. It will also add to campus system overall reliability.

Consultant: Staggs & Fisher Consulting Engineers, Inc.

Contract # A141090

Original Contract Amount:

\$270,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$270,000

No amendments this quarter.

Contractor: Woodall Construction Co., Inc.

Contract # 7500029272

Original Contract Amount:

\$2,127,453

Total Change Orders to Date: 5

Cumulative Change Order Amount:

\$174,101

Low Bid: \$2,127,453

Revised Contract Amount:

\$2,301,554

High Bid: \$2,910,662

Contract Percent Complete:

94%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

Change Order # 4, 6/4/14, was approved for an increase of \$106,197 to provide a reroute for the new ductbank between a new manhole and an existing one. This is necessary due to unforeseen obstructions adjacent to a manhole making it impossible to connect the new ductbank the way it was originally planned. **Change Order # 3**, 6/6/14, was approved for \$34,884 to provide coordination for this project's scope of work with the approved traffic plan. The traffic plan was submitted after the start of the project. **No change orders greater than \$25,000 this quarter.**

Repair/Upgrade/Improve Bldg Systems - UKHC - (Good Sam Hospital Air Handlers)

Project Number: 2354.00

Project Description:

Scope: \$2,800,000

This project will replace air handling units (AHU) 19 and 21 in Good Samaritan Hospital. AHU 19 heats and cools patient areas on floors four through seven. AHU 21 serves the second floor operating rooms and the pre-operative and post-operative acute care unit areas. These AHUs are 42 years old, in poor mechanical condition and do not provide sufficient airflow to meet current code requirements. Replacement of these AHUs is needed to ensure patient and staff comfort and air quality and to meet regulatory requirements.

Consultant: CMTA Engineers

Contract # A141100

Original Contract Amount:

\$224,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$224,000

No amendments this quarter.

Contractor: Congleton-Hacker Company

Contract # 7500026836

Original Contract Amount:

\$2,270,050

Total Change Orders to Date: 21

Cumulative Change Order Amount:

\$101,165

Low Bid: \$2,270,050

Revised Contract Amount:

\$2,371,215

High Bid: \$2,353,000

Contract Percent Complete:

100%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair/Upgrade/Improve Building Mechanical Systems - Fine Arts Building

Project Number: 2382.00

Project Description:

Scope: \$3,428,000

This project will replace and upgrade major mechanical systems in the Fine Arts Building which includes replacement/upgrade of the air handling units and associated temperature controls, exhaust fans, and the reheat coils serving the north wing. The replacement and upgrade of these mechanical systems will improve the comfort and energy efficiency of the areas served and also improve indoor air quality as the existing supply and return duct systems will be cleaned. The work will be phased to complete as much of the work as possible during the summer and off peak times to minimize the impact to students, faculty, and staff.

Consultant: CMTA Consulting Engineers

Contract # A141140

Original Contract Amount:

\$153,110

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$153,110

No amendments this quarter.

Contractor: Eubank & Steele Construction Co.

Contract # 7500031222

Original Contract Amount:

\$1,670,350

Total Change Orders to Date: 8

Cumulative Change Order Amount:

\$78,198

Low Bid: \$1,670,350

Revised Contract Amount:

\$1,748,548

High Bid: \$1,724,526

Contract Percent Complete:

9%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Repair/Upgrade/Improve Building Mechanical Systems- Med Science AHU

Project Number: 2400.00

Project Description:

Scope: \$750,000

This project will replace the air-handling unit (AHU) for the Division of Laboratory Animal Resources (DLAR) located in the basement of the Willard Medical Education Building. The AHU is over 30 years old and in poor mechanical condition. Replacement of the AHU is needed to meet regulatory requirements and ensure air quality and maintenance of a constant temperature for research purposes.

Consultant: CMTA Engineers

Contract # A141020F # 4

Original Contract Amount:

\$42,500

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$42,500

No amendments this quarter.

Contractor: H & R Mechanical Contractors, Inc.

Contract # 7500037841

Original Contract Amount:

\$671,600

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid: \$671,600

Revised Contract Amount:

\$671,600

High Bid: \$787,000

Contract Percent Complete:

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair/Upgrade/Improve Building Shell Systems - 2014 Annual Parking Garage

Project Number: 2390.00

Project Description:

Scope: \$1,000,000

This project is the second yearly component of a preventative maintenance and repair program for the campus parking structures programmed for the next nine years and includes repairs in eight of the parking garages. This maintenance and repair program is intended to maintain and extend the useful life of the campus parking structures. Work will include masonry repairs, concrete repairs, painting, traffic coatings, roofing replacement as well as any work deemed to be critical to the continued service of the each individual garage.

Consultant: THP Limited, Inc.

Contract # A131110

Original Contract Amount:

\$84,610

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$84,610

No amendments this quarter.

Contractor: Carl Walker Construction, Inc.

Contract # 7500031150

Original Contract Amount:

\$491,897

Total Change Orders to Date: 14

Cumulative Change Order Amount:

\$178,066

Low Bid: \$491,897

Revised Contract Amount:

\$669,963

High Bid: \$765,000

Contract Percent Complete:

95%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

Change Order # 2, 6/30/14, was approved for \$49,942 to furnish the labor and material to repair, patch, and paint all of the interior walls on all levels of 3 stairwells in Parking Structure #1. **Change Order # 3**, 6/30/14, was approved for \$63,664 to furnish the labor and material to repair, patch, and paint all of the interior walls on all levels of 4 stairwells in Parking Structure #2. **No change orders greater than \$25,000 this quarter.**

Repair/Upgrade/Improve Civil/Site Infrastructure - Cooperstown Loop Road (Sport

Project Number: 2374.00

Project Description:

Scope: \$2,350,000

As part of the Phase II-A and Phase II-B Housing projects, the Cooperstown apartments will be replaced with undergraduate student residence halls. A relocation of Cooperstown Drive is necessary to provide sufficient usable land for the proposed layout and improve traffic flow from Sports Center Drive. The relocation will be included in the update of the Campus Master Plan currently underway.

Consultant: Sherman Carter Barnhart

Contract # A141070

Original Contract Amount:

\$53,285

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$53,285

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Repair/Upgrade/Improve Civil/Site Infrastructure (Scott Street Parking Lot)

Project Number: 2385.00

Scope: \$1,900,000

Project Description:

This project will construct a parking lot at 313-347 Scott Street. The project creates approximately 265 parking spaces which will offset recent north campus parking losses. This project includes the construction of the lot as well as associated site preparation including demolition and abatement of the Reynolds Warehouse #3 and four other small structures located on the site.

Consultant: Strand Associates, Inc.

Contract # A141190

Original Contract Amount:

\$156,703

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$156,703

No amendments this quarter.

Contractor: No construction contract awarded to date.

Contract #

Original Contract Amount:

Total Change Orders to Date:

Cumulative Change Order Amount:

Low Bid:

Revised Contract Amount:

High Bid:

Contract Percent Complete:

Number of Bids:

Describe all change orders greater than \$25,000.00:

Repair/Upgrade/Improve Civil/Site Infrastructure (South Campus Parking Lot)

Project Number: 2393.00

Scope: \$1,400,000

Project Description:

This project will expand the E.S. Good Barn parking lot and connect it to the parking lot currently under construction as part of the FEMA South Campus Storm Water capital project at the northwest corner of University and Alumni Drives. The expanded lot will provide parking along the University Drive transit corridor, and will create approximately 400 parking spaces.

Consultant: Bell Engineering

Contract # A141170

Original Contract Amount:

\$109,000

Total Amendments to Date:

Cumulative Amendment Amount:

Describe all amendments :

Revised Contract Amount:

\$109,000

No amendments this quarter.

Contractor: ATS Construction

Contract # 7500032749

Original Contract Amount:

\$1,127,264

Total Change Orders to Date: 9

Cumulative Change Order Amount:

\$12,231

Low Bid: \$1,127,264

Revised Contract Amount:

\$1,139,495

High Bid: \$1,739,207

Contract Percent Complete:

100%

Number of Bids: 5

Describe all change orders greater than \$25,000.00:

Change Order # 2, 6/30/14, was approved for a decrease of \$41,200 to revise the pavement sections for the parking lot bid and the bus lane bid. Final geo-technical results were not available at bidding. **No change orders greater than \$25,000 this quarter.**

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade/Expand Commonwealth Stadium

Project Number: 2362.00

Project Description:

Scope: \$120,000,000

The existing stadium, built in 1973, needs upgrades and expansion to better accommodate the students, fans and players. The project will provide new premium seating with revenue generating opportunities, improving the overall operational effectiveness and enhancing the fan experience as well as allowing UK to attract the best student-athletes to the campus. Among the planned improvements are many spectator driven improvements (improved concessions, restrooms and security), a new multipurpose recruiting room, 16-20 new private suites, new home team locker room, approximately 2,000 new club seats, three new club spaces, new press facilities and a new full-service kitchen.

Consultant: Ross Tarrant Architects

Contract # A131170	Original Contract Amount:	\$8,367,464
Total Amendments to Date: 1	Cumulative Amendment Amount:	\$368,952
Describe all amendments :	Revised Contract Amount:	\$8,736,416

Amendment 1, 11/12/13, an increase of \$368,952 was approved for additional design services as required for expanded way finding and graphic design services, incorporation of the University electronic security initiatives, and production of an additional design package to allow for accelerated steel purchase. **No amendments this quarter.**

Contractor: Skanska/Congleton-Hacker Joint Venture

Note: This is a 'CM-At-Risk' contract. The amount will be increased as subcontracts are bid and added. The contract was awarded by RFP process

Contract # 7500019555	Original Contract Amount:	\$102,452,672
Total Change Orders to Date: 61	Cumulative Change Order Amount:	-\$161,945
Low Bid:	Revised Contract Amount:	\$102,290,727
High Bid:	Contract Percent Complete:	30%

Number of Bids:

Describe all change orders greater than \$25,000.00:

Change Order # 7, 3/26/14, was approved for an increase of \$48,873 to compile and report the final calculations required to incorporate the final structural steel design and associated pricing into the contract as well as include the new north light racks in this package. **Change Order # 8**, 3/27/14, was approved for an increase of \$27,922 to furnish material and labor for the removal of fractured rock interfering with new footings on the north side of the stadium. **Change Order # 11**, 4/24/14, was approved for a decrease of \$28,916 to provide an alternate pathway for the new gas line east of the Field House allowing for ease of installation. **Change Order # 12**, 5/2/14, was approved for a decrease of \$59,201 to provide a credit for revised sequencing of the project which will result in the demolition contractors increased efficiency and productivity during the construction process. **Change Order # 13**, 5/2/14, was approved for an increase of \$141,253 to provide labor and material to complete work resulting from the design completion of the structural steel package. **Change Order # 14**, 5/2/14, was approved for an increase of \$28,957 to complete the necessary architectural and structural work in the AV/Telecomm Room 176B (Cross Connect Room) allowing the room to be activated prior to the 2014 football season to support television broadcast. **Change Order # 16**, 5/21/14, was approved for a decrease of \$29,360 to provide a credit associated with the revision of the TV truck area to have a portion of heavy duty concrete replaced with heavy duty asphalt as a value engineering option. **Change Order # 17**, 5/21/14, was approved for an increase of \$32,746 to modify the construction fencing to accommodate the expanded job site as the semester has ended and allow for convenient and safe fan access to the stadium during events throughout the remaining construction period. **Change Order # 18**, 5/30/14, was approved for \$28,950 to remove an existing underground duct in conflict with new building pad and loading dock, as well as providing temporary service to the affected bus stop and site lighting. **Change Order # 20**, 5/30/14, was approved for \$48,248 to complete the necessary mechanical, electrical and plumbing (MEP) work in the AV/Telecomm Room 176B (Cross Connect Room) allowing the room to be activated prior to the 2014 football season to support television broadcast. **Change Order # 21**, 5/30/14, was approved for an increase of \$29,912 to relocate the fire department connection lines at the southeast corner of the stadium to allow for the new construction. **Change Order # 26**, 7/8/14, was approved for a decrease of \$83,775 to credit the portion of the fatty clay allowance that was not used. **Change Order # 33**, 7/21/14, was approved for an increase of \$37,186 to provide the labor and material to modify and install three temporary light poles, which support 120 fixtures on the south side of the upper bowl of the stadium. **Change Order # 39**, 8/18/14, was approved for a decrease of \$28,897 to provide a credit to the project for donated stone. **Change Order # 47**, 8/26/14, was approved for a decrease of \$292,615 to provide credit savings associated with use of core filled insulated concrete masonry units (CMU) in lieu of insulated concrete masonry units (ICMU). **Change Order # 50**, 8/27/14, was approved for a decrease of \$68,761 to furnish a credit for the labor and

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

material associated with deletion of the replacement of the existing transformer. The current transformer was determined to be suitable for service with the new construction. Change Order # 53, 8/29/14, was approved for a decrease of \$126,857 to provide a credit for the removal of the duct cleaning requirements as it is believed the final duct cleaning necessary will be minimal. Change Order # 54, 8/29/14, was approved for an increase of \$27,794 to furnish the labor and material to bring the area where the President's Room was demolished to a condition it can safely be entered by game day patrons. Change Order # 55, 8/29/14, was approved for an increase of \$33,324 to replace 210 feet of sanitary piping with new cast iron service weight hub and spigot piping, and provide back fill as necessary. Change Order # 59, 9/5/14, was approved for a decrease of \$60,341 to provide credit for deleting the installation of six elevated ADA seating platforms on the north side of the stadium at the upper concourse. Change Order # 60, 9/19/14, was approved for a decrease of \$144,744 to provide a credit for removing all hydraulic bollards with associated hydraulic lines, hydraulic pumps, and supporting infrastructure from the project. Eight stainless steel, removable CalPipe bollards will be used instead.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade/Relocate Pediatric Critical Care Unit

Project Number: 2369.00

Scope: \$6,000,000

Project Description:

The project will renovate existing space of the KY Children's Hospital Acute Care Unit in Pavilion HA. The work implements contemporary pediatric design concepts, interior finish upgrades including flooring, wall reconfigurations, ceiling and lighting. The project includes all patient, public and staff spaces such as patient rooms, patient bathrooms, team work areas and corridors. The implementation of this project is dependent upon private donations. Preliminary designs and related renderings will assist the University in fundraising efforts.

Consultant: Moody Nolan

Contract # A141080

Original Contract Amount: \$88,233

Total Amendments to Date: 2

Cumulative Amendment Amount: \$69,230

Describe all amendments :

Revised Contract Amount: \$157,463

Amendment 1, 7/8/14, an increase of \$25,080 was approved for additional design services to provide design development and rendering development for Zones 1, 4 and 7 to be used for fundraising. Also included is bidding and construction services for Phase 1 Zones 3, 5 and 2 rooms of Zone 6. Amendment 2, 9/9/14, an increase of \$44,150 was approved for additional design services as required to provide bid documents and construction services for the front desk and 3200 square feet of the outpatient center located in areas 2 & 5 in Phase II.

Contractor: Turner Construction Co.

Contract # 7500034267

Original Contract Amount: \$699,999

Total Change Orders to Date: 1

Cumulative Change Order Amount: \$115,815

Low Bid: \$699,999

Revised Contract Amount: \$815,814

High Bid: \$1,086,580

Contract Percent Complete: 99%

Number of Bids: 3

Describe all change orders greater than \$25,000.00:

Change Order # 1, 8/15/14, was approved for an increase of \$115,815 to add additional areas for renovation to the current phase of construction resulting in future savings in time and cost and preventing a future closure of the corridor serving the rooms.

CAPITAL CONSTRUCTION QUARTERLY ACTIVITY

Upgrade/Renovate Space in Multi-Disciplinary Science Building

Project Number: 2411.00

Scope: \$2,253,534

Project Description:

This project will renovate the fourth floor, or approximately 15,750 square feet, of the multidisciplinary Science Building. The renovation will include reconfigured academic, administrative and support spaces; updated finishes and fixtures; and necessary upgrades to mechanical and electrical components. The renovated space will house several University units, including the Disability Resource Center, The Graduate Center for Gerontology and Student Support Services.

Consultant: Omni Architects

Contract # A141210

Total Amendments to Date:

Describe all amendments :

No amendments this quarter.

Original Contract Amount:

\$317,000

Cumulative Amendment Amount:

Revised Contract Amount:

\$317,000

Contractor: No construction contract awarded to date.

Contract #

Total Change Orders to Date:

Low Bid:

High Bid:

Number of Bids:

Describe all change orders greater than \$25,000.00:

No change orders greater than \$25,000 this quarter.

Original Contract Amount:

Cumulative Change Order Amount:

Revised Contract Amount:

Contract Percent Complete:

FCR 15

Office of the President
December 9, 2014

Members, Board of Trustees:

PATENT ASSIGNMENT REPORT

Recommendation: that the Board of Trustees accept the patent assignment report for the period July 1 – September 30, 2014.

Background: At its March 1997 meeting, the Board of Trustees authorized the University of Kentucky Research Foundation to conduct all future copyright and patent filings and prosecutions. Quarterly reports on patent and copyright applications are to be submitted to the Finance Committee of the Board.

Action taken: Approved Disapproved Other _____

PATENT ASSIGNMENT

FOR THE PERIOD July 1 - September 30, 2014

Patents

The following assignment on behalf of the Board of Trustees of the University of Kentucky Research Foundation has been executed:

1. **PCT Application Serial Number: 14/323,457**

Filed: July 3, 2014

Title: Methods of Inhibiting *Alu* RNA and Therapeutic Uses Thereof

Inventor: Jayakrishna Ambati (Ophthalmology and Visual Science)

Technical Description: This invention relates to methods for identifying *Alu* RNA inhibitors and methods and compositions for inhibiting *Alu* RNA and therapeutic uses thereof.

Summary: Geographic atrophy is an advanced form of age-related macular degeneration (AMD) that causes blindness worldwide, and geographic atrophy results from the death of retinal pigmented epithelium (RPE) cells in the eye. *Alu* RNA is dramatically increased in the RPE of human eyes with geographic atrophy, and *Alu* RNA induces death of human RPE cells and RPE degeneration in mice. *DICER* is an enzyme involved in microRNA (miRNA) biogenesis and is reduced in the RPE of human eyes with geographic atrophy and that conditional ablation of *DICER1* induces RPE degeneration in mice. This invention discloses identifying dysregulation of the RNase *DICER1* and the accumulation of transcripts of *Alu* elements as a cause of geographic atrophy and describe treatment strategies to inhibit this pathology *in vivo*. The invention discloses a cell culture method to identify *Alu* RNA inhibitors; a method of treating geographic atrophy by inhibiting *Alu* RNA associated with RPE cells; and methods to inhibit *Alu* RNA associated with a cells such as increasing levels of *DICER* polypeptide in the cell, administering an oligonucleotide targeting *Alu* RNA, and administering an siRNA targeting *Alu* RNA. The invention also disclosed screening methods for candidate compounds (small molecules, biologics, and combinations thereof) to determine their efficacy as antagonists of *Alu* RNA.

2. **U.S. Patent Application Serial Number: 14/470,715**

Filed: August 27, 2014

Title: Mono Quaternary Ammonium Salts and Methods for Modulating Neuronal Nicotinic Acetylcholine Receptors

Inventors: Peter Crooks (formerly of Pharmaceutical Sciences), Linda Dwoskin, Guangrong Zheng, Sangeetha Sumithran, and Zhenfa Zheng (Pharmaceutical Sciences)

Technical Description: The invention relates to mono quaternary ammonium salts and their use in modulating nicotinic acetylcholine receptors.

Summary: Nicotine produces its effect by binding to a family of ligand-gated ion channels, stimulated by acetylcholine (ACh) or nicotine which causes the ion channel to open and cations to flux with a resulting rapid depolarization of the target cell. For the most part, the subunit compositions and stoichiometrics of nicotine receptors in the brain remain to be elucidated. Thus, neuronal nicotinic receptor subtype diversity originates

from the differences in the amino acid sequence at the subunit level and from the multiple combinations of assemblies of subunits into functional receptor proteins, which affords a wide diversity of pharmacological specificity. Only a limited number of tools are available to study the pharmacology of native receptors. This invention discloses various structures of mono quaternary ammonium salts, which are nicotinic acetylcholinereceptor agents. Thus, they may augment or inhibit [³H]nicotine binding, [³H]MLA binding, evoke or inhibit neurotransmitter release, and/or evoke or inhibit the flux of ions through the nicotinic receptor. The invention also discloses methods for administering therapeutically effective doses of the disclosed compounds to prevent and/or treat substance abuse, gastrointestinal tract disorders and central nervous system disorders.

Patent Activities

Fiscal year to date as of September 30, 2014

Number of Patent Applications	2
Number of Patents Issued	12
Patent Gross Revenue	\$293,606.10

NCR 1

Office of the President
December 9, 2014

Members, Board of Trustees:

APPOINTMENT OF TRUSTEES TO THE BOARD OF DIRECTORS OF
THE UNIVERSITY OF KENTUCKY MINING ENGINEERING FOUNDATION, INC.

Recommendation: that the Board of Trustees approve the appointment of Mr. James H. Booth and Dr. John F. Wilson as Trustee members to the University of Kentucky Mining Engineering Foundation, Inc. Board of Directors for two-year terms ending September 30, 2016.

Background: In accordance with the Bylaws of the University of Kentucky Mining Engineering Foundation, Inc., two members shall be from the Board of Trustees, and those appointments shall be approved by the Board of Trustees. Trustee appointments to affiliated boards must be approved by the Nominating Committee before submission to the Board.

Action taken: Approved Disapproved Other _____

NCR 2

Office of the President
December 9, 2014

Members, Board of Trustees:

REAPPOINTMENT OF TRUSTEE TO THE BOARD OF DIRECTORS OF THE
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that the Board of Trustees approve the reappointment of Mr. William C. Britton as a Trustee member to the University of Kentucky Research Foundation (UKRF) Board of Directors for a term ending September 30, 2017.

Background: In accordance with the Bylaws of the University of Kentucky Research Foundation, two members shall be from the Board of Trustees. The term shall be for three years. Trustee appointments to affiliated boards must be approved by the Nominating Committee before submission to the Board.

Action taken: Approved Disapproved Other _____