

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 22, 1991.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 22, 1991 in the Board Room on the 18th floor of Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Call

Mr. Foster Ockerman, Chairman, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Professor Judith Rhoads.

The following members of the Board of Trustees answered the call of the roll: Mr. Foster Ockerman, (Chairman), Mrs. Kay Shropshire Bell, Professor Raymond F. Betts, Professor Carolyn S. Bratt, Mr. William E. Burnett, Jr., Mr. Scott A. Crosbie, Dr. Jack D. Foster, Mrs. Edythe Jones Hayes, Professor Judith Rhoads, Mr. James L. Rose, Mr. C. Frank Shoop, Mrs. Marian Moore Sims, Judge Robert F. Stephens, and Mr. Billy B. Wilcoxson. Absent from the meeting were Dr. Robert P. Meriwether, Mr. William B. Sturgill, Mr. Daniel C. Ulmer, Jr. and Judge Henry R. Wilhoit, Jr. The University administration was represented by President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth, Robert E. Hemenway, and Ben Carr, Jr.; Vice Presidents Joseph T. Burch, Edward A. Carter, Donald B. Clapp, Linda J. Magid and Eugene Williams; Dr. Juanita Fleming, Special Assistant to the President for Academic Affairs; Mr. C. M. Newton; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:00 p.m.

B. Minutes Approved

Mr. Ockerman stated that the Minutes of the September 17, 1991 meeting had been distributed and asked for corrections or additions. The Minutes were approved as circulated.

C. President's Report to the Trustees (PR 1)

President Wethington reviewed some of the items in PR 1, calling particular attention to the following:

1. Lexington businessman and University of Kentucky alumnus W. T. Young announced a contribution of \$5 million to the Library Campaign. This is the largest gift ever to the University by an alumnus.
2. There are now University of Kentucky Fellows, persons who contribute \$10,000 or more to the University, in each of the 48 contiguous states. The 260 new Fellows were honored at the annual dinner on October 18, bringing the number of Fellows to more than 2,800.

3. A \$2 million contract has been awarded to two researchers, Ram Murty and Anwar Hussain, in the College of Pharmacy by the National Institute on Drug Abuse.
4. The Hughes Display Products Corporation's new facility on the Coldstream Research Park campus was dedicated October 10. This is the first building constructed on the campus.
5. During its first nine months of operation, 1,525 children, representing 16 public schools, were examined in the UK College of Dentistry Mobile Dental Facility.
6. Homecoming offers a weekend of activities on the University's Lexington Campus November 1-3.
7. The UK Office of Minority Affairs will celebrate its 20th anniversary during Homecoming with the inauguration of the new Lyman T. Johnson Alumni Association, an affiliate of the UK Alumni Association.
8. Of 625 graduates of the Community College System Associate Degree Nursing Program, 583 wrote the National Council Licensure Examination as first-time writers in July 1991. This represents 94% of the graduates and is an excellent performance.

President Wethington asked that the members peruse the other items in the report at their leisure.

D. Budget Reduction

President Wethington stated that the Governor had announced a revised revenue estimate for 1991-92 adjusting the state's revenue downward by \$155 million. Of that total, \$85 million has been allocated to the various agencies and entities of state government with the exception of elementary and secondary education. The remainder of the budget cut will come from existing resources within the executive branch.

He reported that \$31.6 million of the \$85 million has been allocated to higher education, and the various colleges and universities, including the community colleges, will share in the cut. This represents approximately 5% of the state appropriation for colleges and universities, excluding debt service and the hospital contract with the University of Louisville. The University will take its share of the cut during the present fiscal year 1991-92 on a non-recurring basis and will build it into the budget on a recurring basis beginning July 1, 1992.

President Wethington said that this is a serious budget cut and reviewed the steps being taken to manage it, noting an immediate hiring freeze for non-faculty positions. A budget reduction plan for the University will be presented to the Board at its December meeting, following the state Council on Higher Education's November 4 meeting.



E. Neighborhood Associations

President Wethington reported that he and Dr. Clapp had met with the presidents of the six neighborhood associations to begin to explore areas of mutual cooperation and areas of mutual concern. He said that it was a good meeting and the communication lines are open. The next meeting will be held in January, 1992.

F. Report on Kentucky Education Reform Act

President Wethington asked Chancellor Hemenway and Dr. Merle Hackbart to report on the University's assistance in implementing the Kentucky Education Reform Act (KERA).

Dr. Hemenway stated that the University System, the Community College System and the Medical Center would be contributing to the implementation of KERA. It is critical that the University's assistance be a university-wide activity; therefore, he appointed Dr. Merle Hackbart as a Special Assistant to the Chancellor to lead and coordinate the total University effort. With Dr. Hackbart's background in Finance and Public Administration and in state government, he seemed the logical choice for the position. He asked Dr. Hackbart to report to the Board on the University's efforts in support of KERA.

Dr. Hackbart provided background information on KERA and addressed some of the initiatives that the University is undertaking. Through a series of slides, he focused on the following categories and cited specific examples:

- Higher Education Action Plan for KERA Assistance
- Goals of the University for KERA Assistance
- University's KERA Support Efforts
- Charge to the Task Force
- Fiscal Plan for KERA Support
- Higher Education and School Reform - SHEEO Recommendations
- Key Elements of Education Reform in Kentucky

In conclusion, he reported that there is a lot of excitement, interest and support throughout the University. He said that he is pleased to have the challenge and is also pleased with the very fine efforts that are underway.

G. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the appointments, actions and/or staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Professor Rhoads so moved. Her motion was seconded by Professor Betts and passed. (See PR 2 at the end of the Minutes.)

H. Approval of Charter of Organization for Ashland Community College Development Council (PR 4A)

President Wethington stated that PR 4A reflects the wish of Ashland Community College to formally set up an organization to advise and assist in activities which encourage private gifts from alumni, students, friends, corporations and foundations. He, therefore, recommended that the Board approve a proposed Charter of Organization for the Ashland Community College Development Council. The motion, which was made by Judge Stephens and seconded by Professor Betts, passed. (See PR 4A at the end of the Minutes.)

I. Associate Degree Program in the Community College System - Ashland Community College (PR 4B)

President Wethington recommended that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program Associate Degree in Applied Science, Engineering Technology, Computer Repair Option, for the Ashland Community College. He noted that this program will be funded from existing resources. Mrs. Sims so moved. The motion, seconded by Mr. Crosbie, carried. (See PR 4B at the end of the Minutes.)

J. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1991 (FCR 1)

Mr. Ockerman, Chairman of the Finance Committee, reported that the revenue and expenditures were on track and recommended approval of FCR 1. Mr. Shoop so moved. His motion was seconded by Mrs. Hayes and passed. (See FCR 1 at the end of the Minutes.)

K. Disposal of Personal Property (FCR 2)

With the concurrence of the Finance Committee, Mr. Ockerman recommended that the Board approve the disposition of surplus equipment as described in Exhibit 1. Dr. Foster so moved. The motion, seconded by Mrs. Bell, carried. (See FCR 2 at the end of the Minutes.)

L. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1991 (FCR 3)

On recommendation of the Finance Committee, Mr. Ockerman entertained a motion that the Board accept the audited financial statements of the University of Kentucky, including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, for the year ended June 30, 1991. Professor Rhoads so moved. Her motion was seconded by Mr. Rose and passed. (See FCR 3 at the end of the Minutes.)

M. Resolution of the Board of Trustees of the University of Kentucky Accepting the Successful Bid for the Purchase of \$9,755,000 Principal Amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, Dated October 1, 1991 (FCR 4)

Thereupon, a motion was made by Mr. Rose and seconded by Mr. Burnett that the Resolution, which was presented to and accepted by the Finance Committee, be adopted.

(Resolution attached to these Minutes)

The motion passed. Thereupon, the Chairman declared that the motion had carried and that the Resolution had been passed and adopted.

N. Capital Construction Report (FCR 5)

With the Finance Committee so recommending, Mr. Ockerman entertained a motion that the capital construction report for the three months ending September 30, 1991 be accepted. Mr. Rose so moved. The motion, seconded by Mr. Shoop, passed. (See FCR 5 at the end of the Minutes.)

O. Approval of Lease (FCR 6)

Mr. Ockerman reported that the lease between the University of Kentucky College of Agriculture and the Quicksand Volunteer Fire Department, Inc. had been submitted to the Board at an earlier meeting; however, the four-year option for extension of the original 15-year term was inadvertently omitted from the lease. Therefore, it is presented again for the Board's approval. On motion made by Mrs. Sims, seconded by Mr. Crosbie and carried, the Board approved the lease in FCR 6. (See FCR 6 at the end of the Minutes.)

P. Approval of Lease (FCR 7)

With the recommendation of the Finance Committee, Mr. Ockerman recommended that the Vice President for Administration be authorized to enter into a lease between Southeast Community College and First State Bank of Pineville. Mr. Wilcoxson so moved. His motion, seconded by Professor Rhoads, carried. (See FCR 7 at the end of the Minutes.)

Q. Report of Leases (FCR 8)

On motion made by Mr. Burnett, seconded by Dr. Foster and passed, the Board accepted the Report of Leases in FCR 8. (See FCR 8 at the end of the Minutes.)

R. Acceptance of Gift from the Estate of Mr. John M. Rachal (FCR 9)

Mr. Ockerman expressed pleasure in announcing two gifts to the University. He recommended that the Board accept the gift from the estate of Mr. John M. Rachal. The total value of the estate is \$397,688.46 in trust for a residual request designated for the College of Engineering without restriction as to use. Mr. Shoop so moved. The motion was seconded by Professor Betts and carried. (See FCR 9 at the end of the Minutes.)

S. Acceptance of Gift from Jean Cameron Whitfield (FCR 10)

Mr. Ockerman recommended that the Board accept a gift of \$100,000 from Jean Cameron Whitfield and authorize the creation of an endowed fund entitled the Edward Clarke Whitfield Memorial Scholarship Fund. The creation of the endowed fund is to benefit students from Harlan County who desire to attend the University of Kentucky. The motion, which was made by Professor Bratt and seconded by Mrs. Sims, was voted on and passed. (See FCR 10 at the end of the Minutes.)

T. Report of Investments (ICR 1)

Mr. Wilcoxson, Chairman of the Investment Committee, reported that the Report of Investments had been reviewed and discussed by the Committee. He moved that the Report of Changes in Investments for the University of Kentucky and its affiliated corporations for the three months ended September 30, 1991 be accepted. His motion was seconded by Mr. Shoop and carried. (See ICR 1 at the end of the Minutes.)

U. Chief Justice Robert F. Stephens Recognized

Mr. Ockerman reported that Chief Justice Stephens was reappointed to the Board of Trustees. He thanked him for his past efforts and stated that he looked forward to renewed contributions in the future.

V. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 1:48 p.m.

Respectfully submitted,

Edythe Jones Hayes  
Secretary  
Board of Trustees

(PR's 2, 4A, and 4B; FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, and 10, and ICR 1 which follow are official parts of the Minutes of the meeting.)

Office of the President  
October 22, 1991

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. RETIREMENT

Publishing Services

Root, Winifred, Machine Operator III, Duplicating Services, Publishing Services Division, after 15 consecutive years of service, under AR II-1.6-1 Section III.B, effective 11/8/91.

B. EARLY RETIREMENT

Martin School of Public Administration

Strong, William R., Director, NASA Technology Applications Center, after 17 consecutive years of service, under Section III.A.2 AR II-1.6.1, effective 10/31/91.

C. POST-RETIREMENT APPOINTMENTS

Vice President for Research and Graduate Studies

McDonald, James Y., Special Assistant to the Vice President for Intellectual Properties and Indirect Cost Activities (part-time), 7/1/91 through 6/30/92.

University Libraries

McDowell, Agnes, Temporary Librarian, Agriculture Library, effective 11/1/91 through 6/30/92.

II. ADMINISTRATIVE ACTION

A. REAPPOINTMENT

Graduate Center for Biomedical Engineering

Mahony, Cheryl, Assistant Research Professor (part-time), Center for Biomedical Engineering, 7/1/91 through 6/30/92.

B. PROMOTION

Vice President for Information Systems

Akridge, Peggy M., from Sr. Scientific Analyst Programmer, Academic Computing Systems, to Computer Systems Manager V, Microcomputer Labs, effective 9/9/91.

Shelton, Pamela, from Budget Analyst, Vice President's Office, to Administrative Staff Officer IV, Vice President's Office, effective 10/1/91.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Owensboro Community College

McGuire, John M., Associate Professor in the Community College System (with tenure), effective 10/23/91.

Prestonsburg Community College

Floyd, Deborah L., Associate Professor in the Community College System (with tenure), effective 10/23/91.

B. ADMINISTRATIVE APPOINTMENTS

Lexington Community College

McLaughlin, Mary J., Associate Professor in the Community College System (with tenure), appointed chairman, Division of Biological Sciences and Health Technologies, 8/15/91 through 6/30/94.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Bradford, Gary P., Assistant Professor in the Community College System, 9/1/91 through 6/30/92.

Schmidt, James C., Assistant Professor in the Community College System, 8/26/91 through 6/30/92.

Elizabethtown Community College

Morse, Nancy, Instructor in the Community College System (voluntary), 9/1/91 through 12/31/91.

Hazard Community College

Delpont, Donna L., Assistant Professor in the Community College System, 9/1/91 through 12/31/91.

Jefferson Community College

McCollum, Donna N., Instructor in the Community College System (voluntary), 9/1/91 through 12/31/93.



Lexington Community College

Coffman, Wes, Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Denison, John F., Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Lasheen, David, Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Lee, Janet F., Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Moosnick, Les, Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Moseley, Kent C., Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Mullins, Dee A., Instructor in the Community College System (voluntary), 6/24/91 through 6/30/93.  
Neuman, Douglas M., Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Rubeling, Richard R., Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Stigers, Lee Anne, Instructor in the Community College System (voluntary), 8/28/91 through 6/30/94.  
Suter, Lori E., Instructor in the Community College System, 8/28/91 through 12/31/91.

Madisonville Community College

Bryant, Bobby G., Instructor in the Community College System, 8/27/91 through 6/30/92.

Prestonsburg Community College

Martin, Karen L., Instructor in the Community College System, 8/15/91 through 6/30/92.

Somerset Community College

Donohoo, Paul, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.  
Ford, Tonnie, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.  
Hazel, Mary Jo, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.  
Kidwell, Lillian, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.  
Owens, Dinah, Instructor in the Community College System, 9/24/91 through 5/31/92.  
Robinson, Cindy, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.  
Smothers, Jan, Instructor in the Community College System (voluntary), 10/1/91 through 10/1/93.

Southeast Community College

Duncan, Laura R., Instructor in the Community College System, 8/26/91 through 6/30/92.

B. ADMINISTRATIVE APPOINTMENTS

Somerset Community College

Phillips, Stewart G., CC Business & Industry Liaison, effective 9/3/91.

C. REAPPOINTMENTS

Elizabethtown Community College

Tharpe, Donna R., Instructor in the Community College System (voluntary), 9/1/91 through 5/31/92.

Jefferson Community College

Russman, Amy P., Instructor in the Community College System (voluntary), 8/1/91 through 5/31/93.

D. PROMOTIONS WITHOUT TENURE

Elizabethtown Community College

Key, Wanda W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/91 through 6/30/92.

E. LEAVES OF ABSENCE

Elizabethtown Community College

Buckles, A. Dale, Associate Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1992 spring semester.

Henderson Community College

Bishop, Fern G., Associate Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1992 spring semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Pelkki, Matthew H., Assistant Professor, Forestry,  
9/5/91 through 6/30/92.

College of Arts and Sciences

Huang, Chi, Visiting Associate Professor, Political  
Science, 8/16/91 through 5/15/92.

College of Education

Scollay, Susan J., Adjunct Associate Professor,  
Educational Policy Studies and Evaluation, 8/16/91  
through 12/31/91.

B. PROMOTIONS

College of Fine Arts

Gray, Harold Ross Jr., from Associate Professor\*  
(with tenure), Music, to Professor\* (with tenure),  
Music, effective 7/1/91.

Sogin, David W., from Assistant Professor (without  
tenure), Music, to Associate Professor (with  
tenure), Music, effective 7/1/91.

C. RETIREMENTS

Vice Chancellor for Administration

Taylor, Gerald, Disabled Staff, Employee Benefits and  
Risk Management, after 25 consecutive years of  
service, under Section III.B of AR II-1.6-1,  
effective 11/30/91.

D. EARLY RETIREMENTS

College of Agriculture

Howell, Dorothy E., EFNEP Assistant, Area Extension  
Programs, after 22 consecutive years of service,  
under Section III.A of AR II-1.6-1, effective  
9/30/91.

Vice Chancellor for Student Affairs

Huffman, Emma Jean, Administrative Assistant, Dean of Students Office, after 30.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 1/10/92.

E. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Allen, Steve, Consultant (part-time), Agricultural Economics, 10/1/91 through 1/15/92.

College of Engineering

Crewe, George F., Associate Professor, Chemical Engineering, 8/16/91 through 6/30/92.

College of Social Work

Holmquist, Chester A., Associate Professor, 7/1/91 through 6/30/92.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Arnold, Mark C., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/91 through 12/31/91.

Barrett, Anthony L., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/91 through 12/31/91.

McIlwain, Morgan, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/91 through 12/31/91.

Sedlacek, John D., Adjunct Assistant Professor, Entomology, 9/1/91 through 6/30/92.

Weston, Paul A., Adjunct Assistant Professor, Entomology, 8/15/91 through 6/30/92.

College of Arts and Sciences

Allen, John W., Instructor (part-time), French, 8/16/91 through 12/31/91.

Altizer, Anna L., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.

Barbour, A. Kathleen, Instructor (part-time), English, 8/16/91 through 12/31/91.

Beyers, Christopher, Instructor (part-time), English, 8/16/91 through 12/31/91.

Blair, Timothy L., Instructor (part-time), Chemistry,  
8/16/91 through 12/31/91.

Bogale, Hailu R., Instructor (part-time), Mathematics,  
8/16/91 through 12/31/91.

Bowen, John Terrance, Instructor (part-time),  
Geography, 8/16/91 through 12/31/91.

Bradshaw, Denny, Assistant Professor (temporary),  
Philosophy, 8/16/91 through 5/15/92.

Clark, Stephen, Instructor (part-time), Statistics,  
8/16/91 through 12/31/91.

Colnot, Ruth Gragg, Instructor (part-time), French,  
8/16/91 through 12/31/91.

Cooley, Paul D., Instructor (part-time), Mathematics,  
8/16/91 through 12/31/91.

Crocker, Lina, Instructor (part-time), Spanish and  
Italian, 8/16/91 through 12/31/91.

Deo, Shripad D., Instructor (part-time), Sociology,  
8/16/91 through 12/31/91.

Gao, Jingwei, Instructor (part-time), Russian and  
Eastern Studies, 8/16/91 through 12/31/91.

Hersey, Ruth, Instructor (part-time), French, 8/16/91  
through 12/31/91.

Kaiser, David, Instructor (part-time), English, 8/16/91  
through 12/31/91.

Keller, Teresa, Instructor (part-time), English, 8/16/91  
through 12/31/91.

Kincaid, Laura D., Instructor (part-time), French,  
8/16/91 through 12/31/91.

Kirkman, Deborah L., Instructor (part-time), English,  
8/16/91 through 12/31/91.

Klusewitz, Madeline Ann, Instructor (part-time),  
Statistics, 8/16/91 through 12/31/91.

Oliveras, Rosnilda, Instructor (part-time), Spanish and  
Italian, 8/16/91 through 12/31/91.

Otis, Melanie Darlene, Instructor (part-time),  
Sociology, 8/16/91 through 12/31/91.

Presnell, Barbara, Instructor (part-time), English,  
8/16/91 through 12/31/91.

Ramanathan, Sabathra, Instructor (part-time),  
Statistics, 8/16/91 through 5/15/92.

Rocanova, Janet L., Instructor (part-time),  
Philosophy, 8/16/91 through 12/31/91.

Tadlock, Barry, Instructor (part-time), Political  
Science, 8/16/91 through 12/31/91.

Wilson, Gary L., Instructor (part-time), Biological  
Sciences, 8/16/91 through 12/31/91.

Witt, Betsy, Instructor (part-time), French, 8/16/91  
through 12/31/91.

Zahniser, Ann H., Instructor (part-time), English,  
8/16/91 through 12/31/91.

Zhong, Zianhua, Instructor (part-time), Statistics,  
8/16/91 through 12/31/91.

College of Communications

Crosby, Marilee J., Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.  
Daugherty, Deborah A., Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.  
Jones, Robin L., Instructor (part-time), Journalism,  
8/16/91 through 12/31/91.  
Prather, Paul D., Instructor (part-time), Journalism,  
8/16/91 through 12/31/91.  
Swigert, Regina Francies, Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.

College of Education

Bartosh, Ann, Instructor (part-time), Curriculum and  
Instruction, 8/16/91 through 12/31/91.  
Lyons, Albert Wallace, Instructor (part-time),  
Educational and Counseling Psychology, 8/16/91  
through 12/31/91.  
Reed, Helen M., Instructor (part-time), Curriculum and  
Instruction, 8/16/91 through 12/31/91.  
Reilly, Linda, Instructor (part-time), Curriculum and  
Instruction, 8/16/91 through 12/31/91.  
Trosper, Ernest M., Instructor (part-time), Curriculum  
and Instruction, 8/16/91 through 12/31/91.

College of Engineering

Sethuraman, Anantha R., Adjunct Assistant Professor,  
Materials Science and Engineering, 8/16/91 through  
12/31/91.

College of Fine Arts

Ashby, Dale, Instructor (part-time), Music, 8/16/91  
through 12/31/91.  
Elliott, William E., Instructor\* (part-time), Music,  
8/16/91 through 12/31/91.  
Karp, Margie B., Instructor (part-time), Music, 8/16/91  
through 12/31/91.

College of Law

Connelly, Allison I., Instructor (part-time), 10/1/91  
through 2/29/92.  
Connolly, Margaret M., Instructor (part-time), 10/1/91  
through 2/29/92.  
Cowen, Theodore E., Instructor (part-time), 10/1/91  
through 2/29/92.  
Crittenden, Roger L., Instructor (part-time), 10/1/91  
through 2/29/92.

Durant, Susan S., Instructor (part-time), 10/1/91 through 2/29/92.  
Elliott, Robert L., Associate Professor (part-time), 8/26/91 through 12/21/91.  
Garner, William R., Associate Professor (part-time), 8/26/91 through 12/21/91.  
Gilbert, Theresa C., Instructor (part-time), 10/1/91 through 2/29/92.  
Hamblin, Pierce W., Associate Professor (part-time), 8/26/91 through 12/21/91.  
Hoffmann, Susan J., Instructor (part-time), 10/1/91 through 2/29/92.  
Humphreys, Gene L., Instructor (part-time), 10/1/91 through 2/29/92.  
Larson, Raymond M., Assistant Professor (part-time), 8/26/91 through 5/9/92.  
Lee, Joe, Professor (part-time), 8/26/91 through 12/21/91.  
McCoy, R. Burl, Assistant Professor (part-time), 8/26/91 through 12/21/91.  
Mohler, Susan J., Instructor (part-time), 10/1/91 through 2/29/92.  
Mooney, Matthew L., Instructor (part-time), 10/1/91 through 2/29/92.  
Savage, Joe C., Professor (part-time), 8/26/91 through 12/21/91.  
Taylor, James W., Instructor (part-time), 10/1/91 through 2/29/92.  
Terrell, Donna D., Instructor (part-time), 10/1/91 through 2/29/92.

B. REAPPOINTMENTS

College of Arts and Sciences

Baltus-Sih, Marie-Sylvie, Instructor (part-time), French, 8/16/91 through 12/31/91.  
Barnes, Linda H., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Battista, Philip A., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.  
Begley, Karen, Instructor (part-time), French, 8/16/91 through 12/31/91.  
Bernardi, Sabina, Instructor (part-time), German, 8/16/91 through 12/31/91.  
Besser, Terry L., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.  
Blythe, Marsha, Instructor (part-time), French, 8/16/91 through 12/31/91.  
Campbell, Bruce A., Instructor (part-time), Mathematics, 8/16/91 through 12/31/91.  
Campbell, F. Diane, Instructor (part-time), English, 8/16/91 through 12/31/91.

Carter, Michael W., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Cole, William D., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.  
Cooper, John B., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Digenis, Helen, Instructor (part-time), French, 8/16/91 through 12/31/91.  
Duncan, Charles E., Instructor (part-time), Physics and Astronomy, 8/16/91 through 12/31/91.  
Duncan, Mary R., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Ennis, Dorothy, Instructor (part-time), French, 8/16/91 through 12/31/91.  
Fazey, Mark, Instructor (part-time), Mathematics, 8/16/91 through 12/31/91 and Instructor (part-time), Statistics, 8/16/91 through 5/15/92.  
Feltus, JoAnn, Instructor (part-time), Statistics, 8/16/91 through 12/31/91.  
Foreman, Nancy K., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Gordon, JoAnn, Instructor (part-time), English, 8/16/91 through 12/31/91.  
Hardesty, Sharon L., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.  
Higginbotham, Jerome C., Instructor (part-time), Philosophy, 8/16/91 through 12/31/91.  
Hill, Paula, Instructor (part-time), English, 8/16/91 through 12/31/91.  
Holbrook, Paul E., Instructor (part-time), Anthropology, and Instructor (part-time), Philosophy, 8/16/91 through 12/31/91.  
Holle, Bruce, Instructor (part-time), Classics, 8/16/91 through 12/31/91.  
Holyoak, Gilbert Reed, Instructor (part-time), Biological Sciences, 8/16/91 through 12/31/91.  
Isenhour, Janet L., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Janecek, Susan M., Instructor (part-time), Russian and Eastern Studies, 8/16/91 through 12/31/91.  
Jordan, Sue, Instructor (part-time), Mathematics, 8/16/91 through 12/31/91.  
Krause, Margaret, Instructor (part-time), Chemistry, 8/16/91 through 12/31/91.  
Leedham, Cynthia A., Instructor (part-time), Sociology, 8/16/91 through 12/31/91.  
Marmon, Ellen, Instructor (part-time), English, 8/16/91 through 12/31/91.  
Masterman, Susan M., Instructor (part-time), English, 8/16/91 through 12/31/91.  
Miller, Mary Margaret, Instructor (part-time), English, 8/16/91 through 12/31/91.



Mills, Elizabeth, Instructor (part-time), French,  
8/16/91 through 12/31/91.  
Moss, Tom, Instructor (part-time), Mathematics, 8/16/91  
through 12/31/91.  
Mullinax, Maureen L., Instructor (part-time), Sociology,  
8/16/91 through 12/31/91.  
Myers, Rebecca C., Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Nickell, Joe, Instructor (part-time), English, 8/16/91  
through 12/31/91.  
Oaks, Janice H., Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Osborn, Karen L., Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Partin, Vicki, Instructor (part-time), Mathematics,  
8/16/91 through 12/31/91.  
Pichard, Leisa D., Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Pool, Christopher A., Instructor (part-time),  
Anthropology, 8/16/91 through 12/31/91.  
Rajaram, Shireen S., Instructor (part-time), Sociology,  
8/16/91 through 12/31/91.  
Reynolds, Thomas E., Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Royster, Betty, Instructor (part-time), Mathematics,  
8/16/91 through 12/31/91.  
Seiler, Michael J., Instructor (part-time),  
Mathematics, 8/16/91 through 12/31/91.  
Sohner, Charles P., Instructor (part-time), Political  
Science, 8/16/91 through 12/31/91.  
Tri, Mary Kathryn, Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Vanderpool, Betty L., Instructor (part-time),  
Mathematics, 8/16/91 through 12/31/91.  
Wachtel, Elizabeth R., Instructor (part-time),  
Psychology, 8/16/91 through 12/31/91.  
Weber, Deborah L., Instructor (part-time), Mathematics,  
8/16/91 through 12/31/91.  
White, Clyde Patrick, Instructor (part-time), English,  
8/16/91 through 12/31/91.  
Willard, Norman, Instructor (part-time), Psychology,  
8/16/91 through 12/31/91.  
Williams, Anne Marie, Instructor (part-time), Spanish  
and Italian, 8/16/91 through 12/31/91.  
Wood, Teresa A., Instructor (part-time), Sociology,  
8/16/91 through 12/31/91.

College of Business and Economics

Bass, Richard H., Instructor (part-time), Accountancy,  
8/16/91 through 5/15/92.  
Payne, Gary D., Instructor (part-time), Management,  
8/16/91 through 5/15/92.

College of Communications

Crawley, Bruce A., Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.  
Ireland, John W., Instructor (part-time), Journalism,  
8/16/91 through 12/31/91.  
Lindell, Calvin O., Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.  
Marquette, J.C.B., Instructor (part-time),  
Communication, 8/16/91 through 12/31/91.

College of Education

Haas, Joan F., Instructor (part-time), Curriculum and  
Instruction, 8/16/91 through 12/31/91.  
Mandel, Elise J., Instructor (part-time), Curriculum  
and Instruction, 8/16/91 through 12/31/91.  
Stinnett, Barbara J., Instructor (part-time), Curriculum  
and Instruction, 8/16/91 through 12/31/91.  
Thomas, Melinda, Instructor (part-time), Curriculum and  
Instruction, 8/16/91 through 12/31/91.

College of Fine Arts

Pickett, Irwin, Instructor (part-time), Art, 8/16/91  
through 12/31/91.  
Shepherd, Robert, Instructor (part-time), Art, 8/16/91  
through 12/31/91.

C. CHANGES

College of Arts and Sciences

Ferrara, Roberta, from Assistant Professor (without  
tenure), Psychology, to Assistant Professor  
(part-time), Psychology, 8/16/91 through 12/31/91.

D. LEAVES OF ABSENCE

College of Agriculture

Barrett, Michael, Associate Professor (with tenure),  
Agronomy, Sabbatical leave with full salary, 3/1/92  
through 9/30/92.  
Beck, Robert L., Professor (with tenure), Agricultural  
Economics, Sabbatical leave with full salary,  
2/20/92 through 8/19/92.  
Burmeister, Larry L., Associate Professor (with tenure),  
Sociology, Sabbatical leave with half salary,  
8/1/92 through 7/31/93.

Silvia, William J., Associate Professor (with tenure),  
Animal Sciences, Sabbatical leave with half salary,  
7/1/92 through 6/30/93.

Turner, Larry W., Associate Extension Professor (with  
tenure), Agricultural Engineering, Sabbatical leave  
with full salary, 9/1/92 through 2/28/93.

College of Arts and Sciences

Berry, Wendell E., Professor (with tenure), English,  
Leave without pay, Fall Semester 1992.

Crawford, Eugene C. Jr., Professor (with tenure),  
Biological Sciences, Sick leave with full salary,  
8/16/91 through 12/31/91.

Mingst, Karen, Professor (with tenure), Political  
Science, Sabbatical leave with full salary, Spring  
1992.

College of Engineering

Okazaki, Kenji, Professor (with tenure), Materials  
Science and Engineering, Sabbatical leave with full  
salary, Fall Semester 1992.

College of Law

Batt, John R., Professor (with tenure), Sick leave with  
full salary, 9/1/91 through 12/31/91.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Berrett, Patricia, Assistant Professor\*, Clinical Sciences,  
10/1/91 through 6/30/92.

College of Medicine

Bruce, Margaret C., Associate Research Professor (without  
tenure), Pediatrics, 9/15/91 through 6/30/92.

Caborn, David N. M., Assistant Professor, Surgery, 9/1/91  
through 6/30/92.

Good, Terry L., Assistant Professor, Surgery, 9/1/91 through  
6/30/92.

College of Nursing

Popovich, Judith M., Assistant Professor, 9/1/91 through  
6/30/92.

Shuler, Pamela A., Assistant Professor\*, 7/15/91 through  
6/30/92.

B. TENURE

College of Medicine

Leukefeld, Carl G., Professor (without tenure), Psychiatry,  
joint appointment as Professor (without tenure),  
Behavioral Science, to Professor (with tenure),  
Psychiatry, joint appointment as Professor (without  
tenure), Behavioral Science, effective 9/1/91.

C. PROMOTIONS

College of Allied Health Professions

Pfeifle, William G., Associate Professor\* (with tenure), Health  
Services, to Professor\* (with tenure), Health Services,  
effective 9/1/91.

D. POST-RETIREMENT APPOINTMENTS

College of Medicine

Zechman, Fred W., Professor (without tenure) (part-time),  
Physiology and Biophysics, 7/1/91 through 6/30/92.

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\*Special Title Series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Adams, Lee, Assistant Professor (voluntary), Health Services, 7/1/91 through 6/30/94.  
Bischoff, Harold L., Instructor (voluntary), Health Services, 7/22/91 through 6/30/94.  
Boardman, Jeanne M., Clinical Instructor (voluntary), Clinical Sciences, 8/5/91 through 6/30/94.  
Chowning, Cathy P., Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Click, James, Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Fields, Carla, Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Ford, Veronica L., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Frederick, John, Assistant Professor (voluntary), Health Services, 7/1/91 through 6/30/94.  
Gant, Gwynndolynne P., Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Harned, Hilda, Assistant Professor (voluntary), Health Services, 7/1/91 through 6/30/94.  
Havrilesko, Cheryl, Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Helms, Colleen G., Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Impreso, Nerissa B., Clinical Instructor (voluntary), Clinical Sciences, 9/17/91 through 6/30/94.  
Judy, James S., Assistant Professor (voluntary), Health Services, 10/1/91 through 6/30/94.  
Konicek, John, Assistant Professor (voluntary), Health Services, 7/1/91 through 6/30/94.  
Mallory, Robert S., Assistant Professor (Adjunct Title Series), Health Services, 10/1/91 through 6/30/92.  
Martin, Susan V., Assistant Professor (voluntary), Health Services, 7/1/91 through 6/30/94.  
Miller, Tonya L., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Morris, David M., Clinical Instructor (voluntary), Clinical Sciences, 8/5/91 through 6/30/94.  
Ottman, Jennifer M., Clinical Instructor (voluntary), Clinical Sciences, 9/24/91 through 6/30/94.  
Rinaldo, Jacquelyn F., Clinical Instructor (voluntary), Clinical Sciences, 9/25/91 through 6/30/94.  
Salyers, Teresa, Clinical Instructor (voluntary), Clinical Sciences, 5/1/91 through 6/30/94.  
Silverio, Ma. Magdalena S. P., Clinical Instructor (voluntary), Clinical Sciences, 9/25/91 through 6/30/94.

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\*Special Title Series

Snyder, Gwendolyn J., Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Templeman, Marie L., Instructor (voluntary), Health Services, 7/31/91 through 6/30/94.  
Underwood, John Forrest, Assistant Professor (voluntary), Health Services, 10/1/91 through 6/30/94.  
Wallace, Britta M., Clinical Instructor (voluntary), Clinical Sciences, 8/19/91 through 6/30/94.  
Wickham, Julie, Clinical Instructor (voluntary), Clinical Sciences, 8/26/91 through 6/30/94.  
Wisneski, Susan E., Clinical Instructor (voluntary), Clinical Sciences, 8/5/91 through 6/30/94.

College of Medicine

Burns, Patrick, Assistant Professor (voluntary), Family Practice, 7/1/91 through 6/30/94.  
Collard, Nga N., Assistant Professor (voluntary), Family Practice, 9/1/91 through 6/30/94.  
Gardner, Kenneth A., Assistant Professor (voluntary), Emergency Medicine, 9/1/91 through 6/30/94.  
Raines, Paula M., Assistant Professor (voluntary), Psychiatry, 7/1/91 through 6/30/94.  
Williams, Wayne E., Assistant Professor (voluntary), Family Practice, 7/1/91 through 6/30/94.

College of Nursing

Furlong, Kelley A., Instructor (part-time) (temporary), 8/1/91 through 6/30/92.

College of Pharmacy

Doll, Cheryl A., Assistant Professor (part-time), 8/27/91 through 6/30/92.  
Fink, Maureen M., Instructor (part-time), 9/1/91 through 6/30/92.

B. REAPPOINTMENTS

College of Allied Health Professions

Balderson, Jane, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Barry-Greb, Theresa, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Brashear, Ernestine, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Brooks, Margaret, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Brooks, Tammy S., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.

Cleary, Lisa, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Cleary, Walter K., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
DeJarnette, Renee, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Edinger, Patricia, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Elswick, Glenda, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Gordon, Jane, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Hauser, Connie, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Hemmerich, Cathy, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Hilger, Brenda, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Howard, Pamela, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Johnson, Holly, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Kirchner, Rita, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Loveless, Diana, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Mauzy, Bruce, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
McMillan, Jacqueline, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Micallef, Tara, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Paul, Leslie, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Puckett, Deborah, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Scruggs, Samuel A., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Slone, Kathy Triplett, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Smallwood, Suzanne, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Smith, Danny D., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Stanley, Debra K., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Stevens, Jeffrey L., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Stith, Dawn, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Teahan, Mary E., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.

Thompson-Will, Diane, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
van Eck, Margot, Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.  
Zeigler, Ann M., Clinical Instructor (voluntary), Clinical Sciences, 7/1/91 through 6/30/94.

College of Dentistry

French, Robert H., Clinical Instructor (voluntary), Oral Health Science, 7/1/91 through 6/30/94.

College of Medicine

Komshian, Shahe V., Assistant Professor (part-time), Family Practice, 7/1/91 through 6/30/92.  
Woods, Sheila H., Assistant Professor (part-time) (temporary), Pediatrics, 8/20/91 through 6/30/92.

C. CHANGES

College of Medicine

Barron, Michael P., from Assistant Professor (part-time), Medicine, to Assistant Professor (voluntary), Medicine, 8/1/91 through 6/30/94.  
Bhattacharyya, Anjan K., from Assistant Research Professor (temporary), Obstetrics and Gynecology, to Assistant Research Professor, Obstetrics and Gynecology, 10/1/91 through 6/30/92.

D. LEAVES OF ABSENCE

College of Allied Health Professions

Vittetoe, Marie, Professor (with tenure), Clinical Sciences, sabbatical leave at full salary, 1/1/92 through 6/30/92.



Office of the President  
October 22, 1991

PR 4 A

Members, Board of Trustees:

APPROVAL OF CHARTER OF ORGANIZATION  
FOR ASHLAND COMMUNITY COLLEGE DEVELOPMENT COUNCIL

Recommendation: that the Board of Trustees approve a proposed Charter of Organization for the Ashland Community College Development Council.

Background: The proposed function of the Ashland Community College Development Council will be to serve in an advisory capacity to the University of Kentucky Board of Trustees and/or the President of the University and/or the Chancellor of the Community College System and/or the President of Ashland Community College in all matters related to development of private giving to the University of Kentucky, Ashland Community College.

The goals of the Council are included in the proposed Charter of Organization, which confirms that the Council's primary function is that of advising and otherwise assisting in activities which encourage private gifts to Ashland Community College from alumni, students, friends, corporations and foundations. The proposed charter further confirms that all activities to be conducted by the Council will be within the authority granted it by the University Board of Trustees and/or the President of the University.

Action: Approved  Disapproved  Other

Date: October 22, 1991

# ASHLAND COMMUNITY COLLEGE DEVELOPMENT COUNCIL

## CHARTER OF ORGANIZATION

### Article I

#### Purpose

The Development Council of Ashland Community College has as its main objective assisting in developing and increasing the facilities of Ashland Community College for broader educational opportunities for and services to its students and alumni and to the citizens of its service area and to the citizens of the State of Kentucky by encouraging gifts of money, property, works of art, historical papers and documents, museum specimens and other material having educational, artistic and historical value within the authority given by the University of Kentucky Board of Trustees and/or the President of the University and/or the Chancellor of the Community College System and/or the Advisory Board of Ashland Community College and/or the President of Ashland Community College. The Development Council of Ashland Community College shall be governed by the rules and regulations of the University of Kentucky and shall further be governed by such regulations as shall be passed by the Ashland Community College Advisory Board so long as said additional regulations are not in conflict with the rules and regulations of the University of Kentucky.

### Article II

#### Members

##### Section 1. Types of Memberships

There shall be two types of memberships: Ex Officio Membership of the Development Council of Ashland Community College and Regular Membership of the Development Council of the Ashland Community College.

Ex Officio Membership shall consist of persons holding the position of Chancellor of the Community College System of the University of Kentucky and/or a designee; President of Ashland Community College; and Dean of Business Affairs of Ashland Community College. These memberships shall be non-voting and advisory only.

Regular Membership shall consist of not fewer than four (4) members nor more than eight (8) members as follows:

- (a) One (1) member shall be a member of the faculty of the Ashland Community College who shall be elected in an at-large election and shall serve for a period of two (2) years.
- (b) One (1) member shall be the Chair of the Advisory Board of Ashland Community College who shall serve as a voting member by reason of position.

(c) The remaining members of said Development Council shall be those members who, from time to time, shall be appointed by a majority vote of the Advisory Board of Ashland Community College present and voting. However, approval of members so nominated by the Advisory Board of Ashland Community College shall be subject to the advice, consent and specific approval of the President of Ashland Community College.

#### Section 2. Initial Membership

Initial membership shall consist of a faculty member and the Chair of the Advisory Board of Ashland Community College who shall serve by virtue of their election and position respectively. Such other initial members shall be those nominated and approved under Article II, Section 1(c) above and in so appointing initial members, said members shall be appointed so their terms and memberships shall be staggered in a manner to create general continuity of membership.

#### Section 3. Terms of Members

With the exception of the faculty members whose term shall be two (2) years and the Advisory Board Chair who shall serve by virtue of the position, the remaining terms of the various members shall be terms of not less than two (2) nor more than three (3) years.

#### Section 4. Continued Qualification of Members

In the event the faculty member shall no longer be a member of the faculty of Ashland Community College, their membership shall cease at such time and a new election shall be held to fill the unexpired term of said member.

In the event the Advisory Board Chair of Ashland Community College should no longer be in said position, then his or her membership shall cease and the new Advisory Board Chair shall succeed to this membership.

Other members appointed under Article II, Section 1(c) above shall serve throughout their term contingent upon active participation. Active participation for this class of membership shall be defined as attending at least one-half of all regular and specially called meetings during their terms exclusive of any absences for good cause.

## Article III

### Meetings of Members

#### Section 1. Annual Meetings

The annual meeting of the members of the Development Council of Ashland Community College shall be held at a time and place to be designated by the Chair of the Council with such meeting to be held for the purpose of electing officers and for the transaction of such other business as may come before the meeting.

#### Section 2. Special Meetings

Special meetings of the Development Council may be called at any time by the Chair of the Development Council or by resolution of members of the Development Council constituting a majority of the members of the Development Council then duly and properly seated as members.

#### Section 3. Notice of Meetings

Written notice stating the place, day and hour of any meetings of the members shall be delivered, either personally or by mail, to each member entitled to vote at such meeting not less than three (3) days before the date of any annual or special meeting. Written notice may be waived by the members and telephonic meetings and polling of the members shall be permissible.

#### Section 4. Quorum

A majority of the members of the Development Council properly elected and/or appointed shall constitute a quorum and may conduct such business as may be properly brought before the meeting.

#### Section 5. Proxies

At all meetings of members, a member entitled to vote may vote either in person or by proxy executed in writing by the member or by his or her duly authorized attorney in fact.

## Article IV

### Board of Directors

#### Section 1. Definitions

Responsibility for achieving the greatest potential in fund raising shall be vested in a Board of Directors. The Board shall determine policy for particular fund raising activities or projects, within the authority given by the University Board of Trustees and/or the President of the University and/or the Chancellor of the Community College System and/or the Advisory Board of Ashland Community College and/or the President of Ashland Community College. The Board shall exercise the functions and powers set forth in Section 2 below.

#### Section 2. Functions and Powers of the Board of Directors

- (a) To encourage voluntary contributions to Ashland Community College;
- (b) To help organize a structure that will involve alumni, friends and students and maintain their interest in the objectives of the development program, and educational goals of Ashland Community College;
- (c) To advise on and recommend methods of fund solicitation and promotion that will realize the greatest potential results;
- (d) To elect and appoint officers and committees for the proper accomplishment of the development programs;
- (e) To react and respond when requested and to make recommendations to the President of Ashland Community College on proposed fund raising programs;
- (f) To recommend short-term and long-term goals for the development program to the President of Ashland Community College;
- (g) To make recommendations to the President of Ashland Community College on such subjects as personnel, budget, campaigns, use of funds raised and other matters that directly affect the development program.

#### Section 3. Officers

Officers of the Board of Directors of the Development Council shall be a Chair, Vice Chair and Secretary. By virtue of position, the President of Ashland Community College or a designee shall act as Secretary of the Board of Directors. These officers shall be elected at the meeting of the Board of Directors to occur at the time and place of the regular annual meeting of the Development Council which said meeting shall take place once during each calendar year. They shall hold office for a term of one (1) year and are eligible for re-election.

#### Section 4. Meetings

Meetings of the Board of Directors shall be those meetings for the general membership of the Development Council of Ashland Community College as set forth in Article II.

#### Article V

#### Committees

#### Section 1.

No standing committees of the Development Council of Ashland Community College are named herein. However, the Development Council shall be permitted to have those committees as the Chair shall deem appropriate and shall have such duties and powers as are designated to it by the Chair.

#### Article VI

#### Amendments

#### Section 1.

This Charter of Organization may be amended by the Board of Directors at any stated meeting provided the proposed amendment is stated in the notice of the meeting. A two-thirds vote of the Board of Directors present and voting at said meeting shall be necessary to pass an amendment. Amendments so passed shall be subject to approval by the University of Kentucky Board of Trustees upon the recommendation of the President of the University of Kentucky.

#### Article VII

#### Implementation

#### Section 1.

This Charter of Organization shall be in full force and effect from and after its approval by the Advisory Board of Ashland Community College and the appropriate entity with the University of Kentucky.

Office of the President  
October 22, 1991

PR 4 B

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN THE  
COMMUNITY COLLEGE SYSTEM  
ASHLAND COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Engineering Technology, Computer Repair Option, for the Ashland Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Ashland Community College has worked with the local Advisory Board and other appropriate individuals and groups in determining the need for graduates from the program. This program will help meet the need for computer repair technicians in the Ashland area.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991.

Office of the President  
October 22, 1991

**FCR 1**

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED  
SEPTEMBER 30, 1991

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 1991.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1991, the University had realized income of \$240,940,000 representing 29% of the 1991-92 estimate of \$820,394,000. Expenditures and commitments total \$225,786,000 or 28% of the approved budget of \$820,394,000.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991



**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**SEPTEMBER 30, 1991**  
with comparative totals for September 30, 1990  
(in thousands)

	1991-92					1990-91	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 24,332	\$ 20,904	\$ 1,377	\$ 75	\$ 157,878	\$ 204,467	\$ 141,415
Notes, loans, and A/R (less bad debt allowances of \$6,588)	58,189	11,620	17,094		1,640	88,552	70,517
Investments	3,553	50,953		81,817	72,983	209,306	196,312
Property, plant, and equipment					941,326	941,326	855,237
Inventories and other	15,306	95				15,401	15,889
<b>Total Assets</b>	<b>\$ 101,470</b>	<b>\$ 83,472</b>	<b>\$ 18,471</b>	<b>\$ 81,992</b>	<b>\$ 1,173,837</b>	<b>\$ 1,459,142</b>	<b>\$ 1,279,370</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 13,455	\$ 435			\$ 5	\$ 13,895	\$ 12,190
Employee withholdings and deposits		5,691				5,691	5,827
Other liabilities	2,984	3,118			6,115	12,215	10,877
Liability for self insurance	19,568					19,568	17,048
Deferred income	10,145	6,315				16,460	15,605
Bonds payable					320,615	320,615	271,686
Capitalized lease obligation					37,948	37,948	22,681
Net deferred revenues and appropriated fund balances	22,024	80				22,104	21,838
<b>Total Liabilities</b>	<b>68,176</b>	<b>15,637</b>			<b>364,683</b>	<b>448,496</b>	<b>377,762</b>
<b>Interfund Balances</b>	<b>(36,927)</b>	<b>40,298</b>			<b>(3,361)</b>		
<b>Fund Balances</b>							
<b>Current Unrestricted</b>							
Working capital	60,472					60,472	50,624
Future operating purposes	8,952					8,952	10,889
Other	797					797	3,730
<b>Current Restricted</b>							
Loan		27,547	\$ 18,471			27,547	28,778
True Endowments				\$ 48,360		48,360	43,368
Term Endowments				3,472		3,472	1,102
Quasi Endowments				30,060		30,060	29,694
<b>Plant</b>							
Retirement of indebtedness					40,497	40,497	18,920
Renewal and Replacement					34,890	34,890	28,676
Allocated for designated projects					43,721	43,721	50,228
Net investment in plant					893,406	893,406	820,652
<b>Total Fund Balances</b>	<b>70,221</b>	<b>27,547</b>	<b>18,471</b>	<b>81,992</b>	<b>912,514</b>	<b>1,010,645</b>	<b>801,608</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 101,470</b>	<b>\$ 83,472</b>	<b>\$ 18,471</b>	<b>\$ 81,992</b>	<b>\$ 1,173,836</b>	<b>\$ 1,459,141</b>	<b>\$ 1,279,370</b>

**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1991**  
with comparative totals for September 30, 1990  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1991-92				1990-91	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
<b>General Fund</b>						
(1) State appropriations	\$ 336,845	\$ 336,845	\$ 80,442	24	\$ 71,131	25
(2) Student fees	80,535	81,080	39,484	49	34,508	48
(3) Federal appropriations	14,618	14,619	4,538	31	4,126	29
(4) County appropriations	4,701	4,701	498	11	644	16
(5) Endowment income	771	771	120	16	124	16
(6) Investment income	9,136	9,136	1,994	22	2,512	27
Gifts and grants						
(7) Affiliated corporations	6,604	6,604	944	14	1,042	17
(8) Other	3,343	3,484	451	13	444	14
(9) Sales and services	16,874	17,336	3,734	22	4,005	26
(10) Fund balance	6,580	7,030	7,030	100	6,911	100
(11) Total General Fund	479,008	480,606	139,236	29	125,447	30
(12) Auxiliary Enterprises	36,227	36,257	10,549	29	9,850	28
(13) Restricted Funds	58,374	58,978	16,673	30	14,215	29
(14) Affiliated Corporations	83,353	84,756	19,851	23	17,626	22
<b>Hospital</b>						
(15) Revenues	159,777	159,777	51,612	32	37,918	26
(16) Fund balance	3,020	3,020	3,020	100	5,364	100
(17) Total Revenues and Appropriated Fund Balances	\$ 816,759	\$ 820,364	\$ 240,940	29	\$ 210,450	29

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1991**  
with comparative totals for September 30, 1990  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1991-92				1990-91	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(1) Instruction	\$ 213,317	\$ 211,025	\$ 50,280	24	\$ 43,685	23
(2) Research	91,219	91,797	22,559	25	20,237	23
(3) Public Service	75,217	74,669	17,674	24	17,120	26
(4) Academic Support	50,339	54,049	16,364	30	13,500	28
(5) Student Services	19,913	20,271	5,622	28	4,874	27
(6) Institutional Support	35,085	36,906	9,799	26	8,990	30
(7) Student Financial Aid	37,749	38,199	16,037	42	13,497	43
(8) Operation and Maintenance	40,605	41,113	7,668	19	7,213	21
(9) Mandatory Transfers (Debt Service)	37,556	36,486	22,800	62	18,993	74
(10) Hospital	182,738	182,738	43,440	27	32,912	22
(11) Auxiliary Enterprises	53,022	53,052	13,543	26	13,667	27
(12) Total Expenditure by Program	<u>\$ 816,759</u>	<u>\$ 820,394</u>	<u>\$ 225,786</u>	28	<u>\$ 194,688</u>	27

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1991**  
with comparative totals for September 30, 1990  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1991-92				1990-91	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(13) Personnel Costs	\$ 467,506	\$ 469,255	\$ 117,017	25	\$ 102,936	24
(14) Operating Expenses	258,642	268,862	75,975	28	62,429	26
(15) Mandatory Transfers (Debt Service)	42,479	42,079	23,421	56	19,406	65
(16) Capital Outlay	38,132	40,198	9,373	23	9,917	25
(17) Total Expenditure by Category	<u>\$ 816,759</u>	<u>\$ 820,394</u>	<u>\$ 225,786</u>	28	<u>\$ 194,688</u>	27

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1991**  
with comparative totals for September 30, 1990  
(In thousands)

	(A)	(B)	(C)
	<u>1991-92</u>		<u>1990-91</u>
(1) Realized Revenues		\$ 230,860	\$ 168,145
<b>Appropriated Fund Balances</b>			
(2) Current unrestricted fund	\$ 7,030		6,911
(3) University Hospital	<u>3,020</u>		<u>5,394</u>
(4) Total Appropriated Fund Balances		<u>10,050</u>	<u>12,305</u>
(5) Total Revenues and Appropriated Fund Balances		240,940	210,450
(6) Expenditures/Encumbrances	225,786		194,689
(7) Less: Reserve for Encumbrances	<u>(6,950)</u>		<u>(6,076)</u>
(8) Total Expenditures		<u>218,836</u>	<u>188,612</u>
(9) Net Deferred Revenue and Appropriated Fund Balances		<u>\$ 22,104</u>	<u>\$ 21,838</u>

Office of the President  
October 22, 1991

**FCR 2**

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

\_\_\_\_\_  
Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 22, 1991

## **UNIVERSITY OF KENTUCKY**

### **SALE OF VEHICLES AND FARM EQUIPMENT**

Type of Disposal: Competitive Bid

Reason: Vehicles and farm equipment are damaged, have excessive mileage, and are surplus to the needs of the institution.

Sale Date: November 2, 1991.

Items: 65 vehicles, model years range from 1953 through 1987, and approximately 150 pieces of agricultural equipment.

### **SALE OF ELECTRON MICROSCOPE**

Type of Disposal: Sealed bid.

Reason: Electron microscopy technology has advanced in recent years causing this particular scope to become antiquated. It is therefore surplus to the needs of the institution.

Item: Siemens Electron Microscope, model 102

Office of the President  
October 22, 1991

**FCR 3**

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF  
THE UNIVERSITY OF KENTUCKY AND AFFILIATED  
CORPORATIONS AND OTHER RELATED ORGANIZATIONS  
FOR THE YEAR ENDED JUNE 30, 1991

Recommendation: That the Board of Trustees accept the audited financial statements of the University of Kentucky including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, for the year ended June 30, 1991;

that the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1991.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Coopers & Lybrand, Certified Public Accountants, and are presented to the Board for acceptance.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

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Action: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 22, 1991

# FCR 4

Members, Board of Trustees:

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$9,755,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES M, DATED OCTOBER 1, 1991

Recommendation: (i) that the Board of Trustees approve a Resolution accepting the successful bid of Kemper Securities Group, Inc. with reference to the sale of the \$9,755,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, dated October 1, 1991, at a net interest cost of 6.4597%; (ii) that the Board of Trustees approve the form of Official Statement as prepared by Seasongood & Mayer, the Financial Advisors to the Board of Trustees.

Background: On September 17, 1991, the Board of Trustees approved a Resolution authorizing the issuance of \$9,755,000 of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, dated October 1, 1991. Said Resolution also authorized the public advertising for sealed, competitive bids to be received on October 22, 1991. Bids were received by the Controller & Treasurer, tabulated by Seasongood & Mayer, Fiscal Agents for the bonds, and reported to the Finance Committee. The recommended bid is the lowest net interest cost to the University.

Four bids were received and tabulated as follows:

Kemper Securities Group, Inc.	6.4597%
John Nuveen & Co., Inc.	6.4608%
Merrill Lynch & Co.	6.4739%
The Robinson-Humphrey Company, Inc.	6.5471%

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Action: Approved  Disapproved  Other

Dated: October 22, 1991



OFFICIAL BID FORM

\$9,755,000  
 UNIVERSITY OF KENTUCKY  
 CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS  
 SERIES M  
 DATED OCTOBER 1, 1991

To the Honorable Chairman and Members of  
 The Board of Trustees of the University of Kentucky  
 c/o Mr. Henry Clay Owen  
 University Controller and Treasurer  
 369 Peterson Service Building  
 Lexington, Kentucky 40506

Ladies and Gentlemen:

Pursuant to your "Notice of Sale of Bonds" as published in THE COURIER-JOURNAL of Louisville, Kentucky, and in THE BOND BUYER, New York, New York, and the "Official Terms and Conditions of Sale of Bonds," and the "Preliminary Official Statement" referred to therein, by our submission of this Bid, we evidence our agreement to the terms and conditions of your sale as represented therein.

We hereby bid for said \$9,755,000 principal amount of Bonds the sum of \$ 2,540,245.65 (not less than \$9,559,900), plus accrued interest from October 1, 1991, to the date of delivery, at the following annual interest rates:

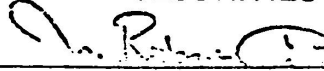
SCHEDULE OF PRINCIPAL AMOUNTS AND INTEREST RATES

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1992	\$265,000	<u>5.30</u> %	2002	\$465,000	<u>6.10</u> %
1993	280,000	<u>5.40</u> %	2003	495,000	<u>6.25</u> %
1994	295,000	<u>5.70</u> %	2004	530,000	<u>6.30</u> %
1995	310,000	<u>6.00</u> %	2005	560,000	<u>6.30</u> %
1996	325,000	<u>6.00</u> %	2006	600,000	<u>6.30</u> %
1997	345,000	<u>6.00</u> %	2007	640,000	<u>6.40</u> %
1998	365,000	<u>6.00</u> %	2008	685,000	<u>6.40</u> %
1999	390,000	<u>6.00</u> %	2009	730,000	<u>6.40</u> %
2000	410,000	<u>6.00</u> %	2010	785,000	<u>6.40</u> %
2001	440,000	<u>6.00</u> %	2011	840,000	<u>6.40</u> %

A certified or bank cashier's check in the amount of \$97,550, payable to the Treasurer of the University of Kentucky, is enclosed in accordance with the Notice of Sale of Bonds and the Official Terms, with the understanding that if we are the successful bidder, said check is to be immediately deposited by the University and credited (without interest) against the purchase price at the time of delivery of the Bonds. If we are the

successful bidder, we agree to accept and make payment for the Bonds in accordance with the terms of the sale.

Respectfully submitted,  
**KEMPER SECURITIES GROUP, INC.**



J. ROBERT LIND

(a) Total interest cost from date of Bonds to final maturity	\$ 7,419,426.88
(b) Plus discount (or less premium)	\$ 194,756.35
(c) Net interest cost	\$ 7,614,183.23
(d) Net interest rate	6.4597 %

The above computation of net interest cost is submitted for information only and is not a part of this bid.

Accepted this 22nd day of October, 1991.

BOARD OF TRUSTEES OF  
UNIVERSITY OF KENTUCKY

Attest:

By



Chairman



Secretary

SALE RESOLUTION

RESOLUTION OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY ACCEPTING THE SUCCESSFUL BID FOR THE PURCHASE OF \$9,755,000 PRINCIPAL AMOUNT OF UNIVERSITY OF KENTUCKY CONSOLIDATED EDUCATIONAL BUILDINGS REVENUE BONDS, SERIES M, DATED OCTOBER 1, 1991.

WHEREAS, Notice of Sale of \$9,755,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, dated October 1, 1991, has heretofore been advertised, and information as to such sale has been distributed in strict compliance with all legal requirements, which action is hereby authorized, ratified and confirmed, and

WHEREAS, all bids received for the purchase of said Bonds from the University have been duly considered, copies of such bids are attached to this Resolution, and made a part hereof, and a summary of said respective bids is as follows:

1.	2.		3.		
<u>BIDDERS</u>	<u>AMOUNTS BID FOR SAID \$9,755,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM OCTOBER 1, 1991</u>		<u>NET INTEREST COST</u>		
emper Securities Group, Inc.	1992-1993	5.80	\$9,560,243.65	\$7,614,183.23	6.4597%
	1994	5.90			
	1995-2001	6.00			
	2002	6.10			
	2003	6.25			
	2004-2006	6.30			
	2007-2011	6.40			
ohn Nuveen & Co., Inc.	1992	4.50	\$9,567,556.85	\$7,615,451.90	6.4608%
	1993	4.70			
	1994	4.90			
	1995	5.00			
	1996	5.20			
	1997	5.40			
	1998	5.60			
	1999	5.80			
	2000	6.00			
	2001	6.05			
	2002	6.10			
	2003	6.20			
	2004-2005	6.30			
	2006	6.40			
	2007-2011	6.50			

1.	2. AMOUNTS BID FOR SAID \$9,755,000 PRINCIPAL AMOUNT OF BONDS, PLUS ACCRUED INTEREST FROM <u>OCTOBER 1, 1991</u>			3.  NET INTEREST <u>COST</u>	
<u>BIDDERS</u>					
Merrill Lynch & Co.	1992-2002	6.25	\$9,559,900.00	\$7,630,921.04	6.4739%
	2003-2008	6.30			
	2009-2011	6.35			
Robinson-Humphrey Company, Inc.	1992	4.60	\$9,559,900.00	\$7,717,043.96	6.5471%
	1993	4.90			
	1994	5.10			
	1995	5.30			
	1996	5.40			
	1997	5.60			
	1998	5.70			
	1999	5.80			
	2000	5.90			
	2001	6.00			
	2002	6.10			
	2003	6.25			
	2004	6.30			
	2005	6.40			
	2006	6.50			
	2007-2011	6.60			

WHEREAS, the matter of which bid is the most advantageous and with the most favorable net interest cost to the University has been sufficiently considered, and

NOW, THEREFORE, BE IT AND IT IS HEREBY RESOLVED AND ORDERED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

1. Determination of Best Bid; Acceptance. That the bid of Kemper Securities Group, Inc. for the purchase of said \$9,755,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, dated October 1, 1991, be accepted and confirmed, said bid being at a price of \$9,560,243.65 for said \$9,755,000 principal amount of Bonds, plus accrued interest from October 1, 1991, to the date of delivery of the Bonds, such interest to be payable semiannually at the following annual rates:

<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>	<u>Maturing May 1</u>	<u>Maturity Amount</u>	<u>Rate</u>
1992	\$265,000	5.80 %	2002	\$465,000	6.10 %
1993	280,000	5.80 %	2003	495,000	6.25 %
1994	295,000	5.90 %	2004	530,000	6.30 %
1995	310,000	6.00 %	2005	560,000	6.30 %
1996	325,000	6.00 %	2006	600,000	6.30 %
1997	345,000	6.00 %	2007	640,000	6.40 %
1998	365,000	6.00 %	2008	685,000	6.40 %
1999	390,000	6.00 %	2009	730,000	6.40 %
2000	410,000	6.00 %	2010	785,000	6.40 %
2001	440,000	6.00 %	2011	840,000	6.40 %

and being a bid at an average net interest cost to the University of 6.4597 % per annum; and said bid is hereby determined to be the best bid and with the most favorable net interest cost to the University for said Bonds; and the interest rates on said Bonds are hereby fixed at the rates set out in said successful bid.

2. All Other Bids Rejected; Return of Good Faith Checks of Unsuccessful Bidders. That all other bids are hereby rejected, and the Treasurer of the University is ordered to return the good faith checks of unsuccessful bidders immediately. The good faith check of the successful purchaser will be deposited immediately by the University and credited (without interest) against the purchase price of the Bonds on the date of delivery to such purchaser.

3. Delivery of Bonds; Application of Proceeds. That said Bonds shall be delivered by the Treasurer and/or other appropriate officials of the University in accordance with the terms of the Resolution authorizing the Bonds, adopted by the University on September 17, 1991, as soon as said Bonds are printed, executed, authenticated and ready for delivery in accordance with such

Resolution, and all of the proceeds of the Bonds shall be used only as provided in said Resolution.

4. Acceptance of Bid is Subject to Approving Legal Opinion of Bond Counsel. That said bid is accepted subject to the condition that such acceptance subjects the University to no obligation to deliver the Bonds if for any reason the University is unable to obtain the final approving legal opinion of the firm of Rubin Hays & Foley, Municipal Bond Attorneys, Louisville, Kentucky, as to said Bonds, or if such Bonds shall be subject to ad valorem taxation by the Commonwealth of Kentucky, or if the receipt of interest on said Bonds shall be subject to income taxation by the Federal Government or by the Commonwealth of Kentucky, prior to or on the delivery date of said Bonds; and the purchasers shall not be required to take delivery of said Bonds without the final approving opinion of said attorneys accompanying said Bonds, or if said Bonds or the interest thereon should become subject to such ad valorem or income taxation prior to such delivery; provided such exemption from taxation shall be subject to compliance by the Board of Trustees with certain covenants necessary to establish that interest on the Bonds will continue to be exempt from Federal income taxation under the Internal Revenue Code of 1986.

5. Approval of Official Statement. That the form of Official Statement prepared and presented to the Board of Trustees by Seasongood & Mayer for distribution to prospective purchasers of the Series M Bonds is hereby approved and the Chairman and Secretary are authorized and directed to execute and deliver said Official Statement.

6. Repeal of Conflicting Resolutions. That all resolutions, or parts thereof, in conflict herewith, be and the same are hereby amended or repealed to the extent of such conflict, and this Resolution shall be effective immediately upon its adoption.

Passed and adopted by the Board of Trustees of the University of Kentucky at a properly convened meeting of the University held on October 22, 1991.

BOARD OF TRUSTEES  
UNIVERSITY OF KENTUCKY

By   
Chairman

Attest:

  
Secretary

CERTIFICATE

I hereby certify that I am the duly qualified and acting Secretary of the Board of Trustees of the University of Kentucky, and I certify further as follows:

1. That the foregoing is a true copy of a Resolution adopted by said Board; signed by the Chairman of the Board and attested under seal by me as Secretary of the Board at a properly convened meeting of said University held on October 22, 1991, as shown by the official records of said University in my custody and under my control;
2. That there are attached hereto reproduced duplicate copies of each of the bids received for the purchase of \$9,755,000 principal amount of University of Kentucky Consolidated Educational Buildings Revenue Bonds, Series M, dated October 1, 1991, referred to in said Resolution;
3. That there is attached hereto the form of Official Statement for the Series M Bonds, approved by the foregoing Resolution; and
4. That said meeting was duly held in accordance with all applicable requirements of Kentucky law, including KRS 61.810, 61.815, 61.820 and 61.825, that a quorum was present at said meeting, that said Resolution has not been modified, amended, revoked or repealed, and that said is now in full force and effect.

IN TESTIMONY WHEREOF, witness my signature as Secretary and the official seal of said University this October 22, 1991.

  
Secretary, Board of Trustees

(Seal)

Office of the President  
October 22, 1991

**FCR 5**

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1991, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1991, the University has:

1. Awarded seven (7) architect and engineer contracts.
2. Made seven (7) amendments to architect and engineer contracts.
3. Made seven (7) final payments for seven (7) architect and engineer contracts.
4. Awarded or requisitioned for the award of thirty-five (35) construction contracts.
5. Made seventy-four (74) change orders to construction contracts.
6. Made fifteen (15) final payments for fifteen (15) construction contracts.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991



I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	N/A	\$ 10,000	7/31/91
LOSS CONTROL, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL TESTING CONSULTANTS	N/A	\$ 75,000	7/31/91
ENVIRONMENTAL PLANNING AND DESIGN PITTSBURGH, PA	ARBORETUM Project No. 453.1	\$629,600	\$87,950	4/30/91
	Previous Amendment #1	+59,341		
	<b>CONSULTANT'S FINAL PAYMENT</b>		<b>\$147,291</b>	<b>7/8/91</b>
SHERMAN/CARTER/BARNHART LEXINGTON	BUSINESS AND ECONOMICS BUILDING RENOVATION Project Nos. 587.0/775.0	\$11,793,250	\$425,000	1/31/89
	Previous Amendments # 1-#3		+320,650	
	<b>AMENDMENT # 4</b>	Additional Services	+26,000	9/30/91
	Reason for Amendment	Additional services required for contract documents and construction administration for the Information Center which were not included in the original contract.		
	<b>Consultant's Fee To Date</b>		<b>\$771,650</b>	
KAISER-TAULBEE ASSOC. LEXINGTON	KY CENTER FOR ENERGY RESEARCH Project No. 612.0	\$400,000	\$9,650	12/22/88
	Previous Amendments # 1- #2		+34,000	
	<b>CONSULTANT'S FINAL PAYMENT</b>		<b>\$43,650</b>	<b>7/26/91</b>
KAISER-TAULBEE ASSOC. LEXINGTON	SOMERSET COM. COLLEGE CHILLER REPLACEMENT Project No. 623.0	\$75,000	\$9,345	6/30/90
	<b>CONSULTANT'S FINAL PAYMENT</b>		<b>\$9,345</b>	<b>8/26/91</b>

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
ARRASMITH, JUDD, RAPP, INC. LOUISVILLE	PRESTONSBURG C.C. SCIENCE BUILDING Project No. 624.0	\$3,100,000	\$150,000	1/31/89
Previous Amendments	#1- #2		+ 34,278	
<b>AMENDMENT #3</b>	Increase Phase C of Architectural Services		+ 1,312	8/30/91
Reason for Amendment	Provide for Resident Inspector Services for the period of July 1, 1991 - July 15, 1991			
<b>Consultant's Fee To Date:</b>			<b>\$185,590</b>	
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$1,200,000	\$ 49,000	6/21/89
<b>AMENDMENT #1</b>	Additional Services		+490,345	8/08/91
Reason for Amendment	The initial contract was to perform a study. This amendment allows for the completion of the project.			
<b>Consultant's Fee To Date:</b>			<b>\$539,345</b>	
H & M ASSOCIATES OF KY LEXINGTON	FUNKHOUSER WINDOW REPLACEMENT Project No. 685.0	\$ 336,000	\$ 27,120	2/16/90
<b>AMENDMENT #1</b>	Additional Services		+4,514	8/08/91
Reason for Amendment	Include window treatment drawings and specifications.			
<b>Consultant's Fee To Date:</b>			<b>\$31,634</b>	
POTTS & POTTS LEXINGTON	TAYLOR EDUC. ROOF REPLACEMENT Project No. 700.0	\$301,000	\$33,265	5/31/90
<b>CONSULTANT'S FINAL PAYMENT</b>			<b>\$33,265</b>	<b>8/22/91</b>

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PEARSON+BENDER+JOLLY LEXINGTON	PENCE HALL ELEVATOR Project No. 720.0	\$195,000	\$21,250	7/31/90
<b>CONSULTANT'S FINAL PAYMENT</b>			<b>\$21,250</b>	<b>7/26/91</b>
KOVERT,HAWKINS,ARMSTRONG JEFFERSONVILLE, IN	JEFFERSON C.C., SW ACADEMIC BUILDING Project No. 731.0	\$3,000,000	\$133,906	11/30/90
<b>AMENDMENT #1</b>	Additional Services		+31,500	9/30/91
Reason for Amendment	To cover Resident Inspector services.			
<b>Consultant's Fee To Date</b>			<b>\$165,406</b>	
JOHNSON/ROMANOWITZ LEXINGTON	CHEMISTRY/PHYSICS BUILDING RENOVATION	\$2,000,000	\$93,500	10/31/90
<b>AMENDMENT #1</b>	Additional Services		+134,411	9/30/91
Reason for Amendment	The original contract was for planning and design. This amendment takes the project through completion.			
<b>Consultant's Fee To Date</b>			<b>\$227,911</b>	
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$2,790,000	\$39,000	4/30/91
<b>AMENDMENT #1</b>	Additional Services		+168,500	8/30/91
Reason for Amendment	The original contract was for planning and design. This amendment takes the project through completion.			
<b>Consultant's Fee To Date:</b>			<b>\$207,500</b>	
LUCAS/SCHWERING LEXINGTON	AMERICAN BUILDING- GEOLOGICAL SURVEY RENOVATION PH. III Project No. 750.0	\$199,000	\$18,000	9/28/90
<b>CONSULTANT'S FINAL PAYMENT</b>			<b>\$18,000</b>	<b>9/16/91</b>

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
TATES/FITZSIMONS ARCH. LEXINGTON	DENTAL CLINIC RENOVATION PHASE I Project No. 766.0	\$ 400,000	\$ 28,860	7/31/91
MASON & HANGER ENGINEERS LEXINGTON	DONOVAN HALL SECURITY IMPROVEMENTS Project No. 772.0	\$140,000	\$14,500	3/29/91
<b>CONSULTANT'S FINAL PAYMENT</b>			<b>\$14,500</b>	<b>9/19/91</b>
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	7/31/91
PEARSON+BENDER+JOLLY LEXINGTON	BREAST SCREENING CENTER Project No. 783.0	\$ 199,000	\$ 13,650	7/31/91
TATES/FITZSIMONS ARCH. LEXINGTON	HOSPITAL INFORMATION MANAGEMENT/SOCIAL SERVICES Project No. 784.0	\$ 199,000	\$ 17,390	7/31/91
J. KEITH SHARP ARCHITECT HOPKINSVILLE	HOPKINSVILLE C.C. ACADEMIC BUILDING RENOVATION Project No. 786.0	\$ 250,000	\$14,930	8/30/91

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION - PHASE II Project No. 304.9	\$797,000 - 1,114,900	03/07/90	4	\$ 797,000.00
	Previous Change Orders #1				- 18,585.00
	<b>Change Order #2:</b>		09/04/91		+ 20,060.00
	1. Install additional conduit and wiring as required to connect Psychiatric Addition to new fire alarm system.				
	2. Install 5 visual devices in penthouses and connect to fire alarm system as required.				
	3. Install one combination speaker/flashing light in auditorium lobby and ambulant wing lobby. Connect to fire alarm system as required.				
	4. Install 9 new duct smoke detectors at existing locations and connect into fire alarm system as required.				
	<b>Reason for Change:</b>				
	1. Code Requirement.				
	2. The visual devices were not originally there and are required by code.				
	3. The lobby has doors that are normally closed, thereby making the speaker and lights outside that door useless for this area. This will provide proper coverage per code.				
	4. There are eleven (11) existing duct smoke detectors that need to be replaced with new duct smoke detectors. The existing duct smokes were not shown on the as-builts and were discovered during installation of the fire alarm system. They are required by code.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 798,475.00</b>
BROWN SPRINKLER CORP. LEXINGTON	HOSPITAL EXPANSION - PHASE II WORK CAT. #3 - FIRE PROTECTION Project No. 304.9	\$52,400 - 59,975	04/23/90	2	\$ 52,400.00
	<b>Change Order #1:</b>		07/29/91		+ 2,035.00
	Provide labor/material to make revisions to 2nd floor sprinkler piping.				
	<b>Reason for Change:</b>				
	UK request to have sprinkler piping conform to Medical Center fire alarm zoning.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 54,435.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WOODFORD BUILDERS, INC. LEXINGTON	HOSPITAL EXPANSION - PH. II Project No. 304.9	\$1,742,000 - 1,798,760	03/05/90	5	\$ 1,742,000.00
	Previous Change Orders #1 - 2				+ 23,294.00
	<b>Change Order #3:</b> 1. Build extension to existing 2-hour chase wall at the north wall of Room HS242. 2. Revise keying and cylinders from 6-pin to 7-pin system.		07/23/91		+ 2,743.00
	<b>Reason for Change:</b> 1. Code requirement. 2. UKMC has changed to 7-pin system.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$1,768,037.00</b>
HIBBITTS CONSTRUCTION, INC. LONDON	HOSPITAL/EXPANSION-PH. II Project No. 304.9	\$78,850- 328,970	08/07/90	7	\$78,850.00
	Previous Change Order #4				+721.76
	<b>CONTRACTOR'S FINAL PAYMENT</b>		<b>08/07/91</b>		<b>\$79,571.76</b>
LANDMARK SPRINKLER LEXINGTON	HOSPITAL/MEDICAL CENTER BASEMENT SUPPRESSION SYS. Project No. 542.1	\$157,900 - 248,749	03/19/90	4	\$157,900.00
	Previous Change Orders #1				+5,695.00
	<b>CONTRACTOR'S FINAL PAYMENT</b>		<b>07/15/91</b>		<b>\$ 163,595.00</b>
BROWN SPRINKLER CORP. LEXINGTON	MEDICAL CENTER 1ST & 4TH FLOOR SUPPRESSION SYS. Project No. 542.2	\$298,000 - 336,700	07/09/90	2	\$ 298,000.00
	Previous Change Orders #1				+ 705.00
	<b>Change Order #2:</b> Replace 6 existing 3/4" drops to 1" to supply sprinklers in Admitting on 1st floor.		07/03/91		+ 642.07
	<b>Reason for Change:</b> The existing 3/4" drops are not allowed per NFPA and Kentucky Building Code.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 299,347.07</b>
ECKERT FIRE PROTECTION CINCINNATI, OHIO	HOSPITAL/MEDICAL CENTER SPRINKLERS - FLOORS 7&8 Project No. 542.5	\$234,500- 276,600	08/29/91	6	\$234,500.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
TRI-STATE ROOFING & SHEET LEXINGTON	BUSINESS & ECONOMICS BLDG. ADDITION & RENOVATION BID PACK #4A, TRADE #6 - ROOFING WORK Project 587.0	\$150,710 - 177,676	08/08/90	3	\$ 150,710.00
	Previous Change Orders #1				+ 1,092.00
<b>Change Order #2:</b>	Flood coat surface on atrium roof and first floor north vestibule roof.		08/09/91		+ 1,588.00
<b>Reason for Change:</b>	Change has been requested due to unsightly appearance of roofing material as viewed from the atrium area.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 153,390.00</b>
ENERGY CONSERVATION TECHNIQUES LOUISVILLE	BUSINESS & ECONOMICS BLDG. BID PACK NO. 4 - TRADE #11 CONTROLS WORK Project 587.0	\$99,183- 165,355.32	7/31/90	4	\$ 99,183.00
	Previous Change Orders #1 - 2				+ 6,740.00
<b>Change Order #3:</b>	Furnish and install the necessary controls on AC-1 and AC-2 for changing the existing single pole freezestat to a double pole freezestat.		09/16/91		+ 1,656.00
<b>Reason for Change:</b>	Operational requirement for UK Physical Plant.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 107,579.00</b>
MARCO CONSTRUCTION CO. NICHOLASVILLE	BUSINESS & ECONOMICS BLDG. BID PACK #6 - TRADE #13 GENERAL WORK Project No. 587.0	\$23,200 - 31,000	03/07/91	5	\$ 23,200.00
	<b>CONTRACTOR'S FINAL PAYMENT</b>		<b>08/07/91</b>		<b>\$ 23,200.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CUTTER-PULLIAM ELECTRIC LEXINGTON	BUSINESS & ECONOMICS BLDG. INFORMATION CENTER FIT-UP BID PACK #6, TRADE #14 - ELECTRICAL WORK Project No. 587.0	\$21,750 - 28,999	03/06/91	6	\$ 21,750.00
<b>Change Order #1:</b>	Install a 208V service on the north wall of Room 156 for the copier.		08/09/91		+ 575.00
<b>Reason for Change:</b>	Occupants need copier during summer months to stay in first floor surge space.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 22,325.00</b>
KORFHAGE LANDSCAPE & DESIGN LOUISVILLE	BUSINESS & ECONOMICS BLDG. LANDSCAPING Project No. 587.3	\$53,220- 89,780	09/18/91	7	\$53,220.00
SHELCE CONTRACTORS BENTON	HOPKINSVILLE COM. COLLEGE AUDITORIUM Project No. 596.0	\$2,442,000 - 2,616,991	12/14/89	8	\$ 2,442,000.00
	Previous Change Orders 14				+ 75,646.42
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>07/02/91</b>		<b>\$ 2,517,646.42</b>
WHEELER GENERAL CONTRACTOR LEXINGTON	BRECKINRIDGE HALL ELEVATOR Project No. 617.0	\$114,336 - 160,966	02/01/90	9	\$ 114,336.00
	Previous Change Orders #1 - 2				+ 3,223.90
<b>Change Order #3:</b>	Replace front doors with single door and sidelights.		08/07/91		+ 3,128.00
<b>Reason for Change:</b>	To provide handicapped egress in case of power outage and after normal working hours when building is secured.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 120,687.90</b>



**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DAHLEM CONSTRUCTION LOUISVILLE	PADUCAH COMM COLLEGE ALLIED HEALTH CENTER Project No. 620.0	\$4,121,000- 4,590,000	05/31/90	6	\$ 4,121,000.00
	Previous Change Orders #1 - 5				+ 34,014.00
<b>Change Order #6:</b>	Furnish all labor and materials as required to: change width of door W110 between Radiological Lab W110 and Corridor W100. Relocate the wall and sink on the keeper side of the frame.		08/26/91		+ 503.00
<b>Reason for Change:</b>	The dimension of owner furnished equipment necessitated the increase in door width.				
<b>Change Order #7:</b>	Furnish all labor and materials to increase height of masonry screen wall around air conditioning condenser in yard.		08/26/91		+ 1,932.00
<b>Reason for Change:</b>	The air conditioning equipment contracted for is a greater dimension in height than that anticipated in the design drawings.				
<b>Change Order #8:</b>	Provide four (4) expansion joint assemblies to extend from the roof up the back side of the parapet wall and over the stone coping.		08/26/91		+ 1,140.00
<b>Reason for Change:</b>	The original design drawings did not provide detail for parapet expansion.				
<b>Change Order #9:</b>	Import from off-site and place 6,546 tons of backfill material. Extend contract time frame twenty-one (21) calendar days.		08/26/91		+ 60,548.00
<b>Reason for Change:</b>	Suitable backfill material was not found in quantities required on the project site. This was to replace material which was to be taken from the site, but had to be used during the initial stages of site work to cover up the "stump dump" and to re-contour the area around the force main.				
<b>Change Order #10:</b>	Extend contract time frame seventy-five accumulated days.		08/26/91		0.00
<b>Reason for Change:</b>	Weather delays through the months of June 1990 to February 1991.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
DAHLEM CONSTRUCTION LOUISVILLE	PADUCAH COMM. COLLEGE ALLIED HEALTH CENTER Project No. 620.0		CONTINUED		
<b>Change Order #11:</b>	Provide magnetic hold-open devices for Doors E200 and W200. These devices shall be connected to the main fire panel.		08/26/91		+ 2,007.00
<b>Reason for Change:</b>	To alleviate wear on stairway doors and congestion in the central stairway.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 4,221,144.00</b>
LEXINGTON MECHANICAL CO. LEXINGTON	SOMERSET COMM. COLLEGE MEECE HALL CHILLER REPL Project 623.0	\$69,900- 90,990	10/17/90	4	\$ 69,900.00
<b>Change Order #1:</b>	1. Replace existing 5" plug valve with a 5" keystone butterfly valve with chain operator. 2. Add a pie switch to summer/winter changeover switch to open boiler ckt. when changed to summer position.		07/24/91		+ 1,485.00
<b>Reason for Change:</b>	1. 5" plug valve is used to manual change over system. Valve needs to be replaced. 2. To allow auto shutdown of boiler when chiller is on.				
<b>CONTRACTORS'S FINAL PAYMENT:</b>			08/26/91		<b>\$ 71,385.00</b>
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC LRC Project No. 630.0	\$3,396,319- 3,529,000	04/17/90	3	\$ 3,396,319.00
<b>Previous Change Orders #1 - 3</b>					+ 38,782.00
<b>Change Order #4:</b>	1. Add metal studs, drywall & batt insulation in lieu of having to cover rigid insulation on rear of precast above ceiling line. 2. Furnish and install two (2) handicapped door openers. 3. Furnish and install four each 12' GARCÓ lights mounted on 12' aluminum poles.		07/26/91		+ 22,814.90
<b>Reason for Change:</b>	1. Fire Marshall requirement. 2. Required to accommodate the physically handicapped. 3. No exterior lighting is now included in the contract.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0		CONTINUED		
<b>Change Order #5:</b>	1. Provide electrical power to the motorized blinds at all locations. 2. Provide additional conduit above ceiling to accommodate thermostat wiring. 3. Install mini-blinds in the east and west walls of the Library area.		08/08/91		+ 5,751.00
<b>Reason for Change:</b>	1. Electrical service to these motorized blinds were not included on the drawings and it is required for proper function. 2. The University's standard requirement is to run all thermostat wire in conduit. 3. The windows will be subject to early morning and late evening sun which will be detrimental to the use of these spaces as well as the books.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 3,463,666.90</b>
GRAYHAWK CORP. LEXINGTON	LEXINGTON COMM. COLLEGE OSWALD BLDG. SOFFIT REPAIR Project 639.0	\$13,265- 22,886	05/06/91	3	\$13,265.00
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>08/20/91</b>		<b>\$13,265.00</b>
GROT ELECTRIC LEXINGTON	COOPERSTOWN FIRE ALARM SYS. Project 651.0	\$329,806- 548,734	07/20/90	8	\$329,806.00
Previous Change Orders #1 - 4					+ 8,492.00
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>09/19/91</b>		<b>\$338,298.00</b>
THE ALLEN COMPANY WINCHESTER	SPORTS CTR. ROAD RELOCATION Project 667.0	\$46,250 62,290	06/25/91	2	\$46,250.00
<b>Change Order #1:</b>	Modify existing curb box inlet for use as a yard inlet.		09/23/91		+ 900.00
<b>Reason for Change:</b>	In reviewing the proposed grades it was noted that we would be diverting water onto the public right of way. This change will direct the water into an existing ditch.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 47,150.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY-CORNETT ENG. LOUISVILLE	FINE ARTS BLDG. RENOVATION Project No. 681.0	\$1,372,500 - 1,530,000	11/01/90	7	\$ 1,372,500.00
	Previous Change Orders #1 - 3				+ 71,662.13
<b>Change Order #4:</b>	<ol style="list-style-type: none"> <li>Add shaft wall at Art Gallery 107 and Room 117. Remove cork insulation from ductwork in Room 107 and re-insulate with fiberglass.</li> <li>Payment for 20 c.y. of rock excavation encountered in the chilled water piping trench.</li> <li>Replace 56 doors with solid plastic laminate with all hardware.</li> </ol>		07/18/91		+ 15,755.00
Reason for Change:	<ol style="list-style-type: none"> <li>Code requirements.</li> <li>Unknown subsurface condition.</li> <li>Change to solid doors in lieu of wire doors for added security of stored musical instruments.</li> </ol>				
<b>Change Order #5:</b>	<ol style="list-style-type: none"> <li>Modify communications raceway on ground floor to avoid conflict with chilled water lines.</li> <li>Re-feed 2 bathroom fan motors and fan EF-2 associated with AHU-S1.</li> </ol>		07/18/91		+ 6,122.00
Reason for Change:	<ol style="list-style-type: none"> <li>Change required due to relocation of chilled water line.</li> <li>Electric code violation.</li> </ol>				
<b>Change Order #6:</b>	<ol style="list-style-type: none"> <li>Furnish and install "U" shaped stainless steel escutcheon plates at doors.</li> <li>Include three sets of hardware set "10A" and 29 sets of hardware set "5B" at locations indicated on drawings.</li> </ol>		07/18/91		+ 27,049.90
Reason for Change:	<ol style="list-style-type: none"> <li>Concealed condition which is a code deficiency.</li> <li>Hardware sets were indicated on doors but were not specified in the Hardware schedule and therefore not included in the Contractor's price.</li> </ol>				
<b>Change Order #7:</b>	<ol style="list-style-type: none"> <li>Remove and replace 63 double glazed vision door lights and trim with code approved metal trim and double glazed wire glass.</li> <li>Provide additional blocking and roofing to secure proper installation at roof penetrations for mechanical equipment at AHU-3.</li> </ol>		07/18/91		+ 11,382.00
Reason for Change:	<ol style="list-style-type: none"> <li>Code requirement per State Fire Marshal.</li> <li>Existing drawings indicated a 4" thick roof system and existing roof was 8" thick thereby requiring additional blocking and roof material.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,504,471.03</b>

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SHERROD CONSTRUCTION CO. WINCHESTER	FINE ARTS BLDG. RENOVATION BID PACKAGE II Project No. 681.2	\$280,228- 595,298	04/08/91	6	\$ 280,228.00
<b>Change Order #1:</b>	<ol style="list-style-type: none"> <li>1. Add carpet in various rooms.</li> <li>2. Delete new drop ceilings in eight rooms.</li> </ol>		07/31/91		+ 1,160.35
<b>Reason for Change:</b>	<ol style="list-style-type: none"> <li>1. Existing vinyl asbestos tile was disturbed during moving operations and covering with carpet is the most economical way to eliminate this code non-compliance.</li> <li>2. To offset the cost of the code required flooring changes in these rooms the using agency agreed that the existing ceiling could remain and be utilized.</li> </ol>				
<b>Change Order #2:</b>	<ol style="list-style-type: none"> <li>1. Use Academy 24 oz. carpet at all locations indicated for this project in lieu of Forum III specified.</li> <li>2. Provide sprinkler system in Rooms 38, 34A, 24, 23, Furnace Room, in 23 and Corridors 34B and 50.</li> <li>3. In Rooms 39 and 41, lower and relocate 10 sprinklers and add two sprinklers.</li> </ol>		07/31/91		+ 7,933.55
<b>Reason for Change:</b>	<ol style="list-style-type: none"> <li>1. The Dean requested that the same carpet be used that was used on the previous project.</li> <li>2. Required by State Fire Marshal.</li> <li>3. Required by State Fire Marshal.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 289,321.90</b>
READ ASBESTOS REMOVAL, INC. GLASGOW	FINE ARTS BLDG. RENOVATION ASBESTOS ABATEMENT PHASE III Project No. 681.4	\$20,890- 45,785	03/09/91	5	\$ 20,890.00
<b>Previous Change Orders #1</b>					+ 1,052.60
<b>Change Order #2:</b>	Backcharge contractor for lost time of roofing subcontractor due to asbestos contractor not arriving on job site at predetermined time.		08/08/91		- 600.00
<b>Reason for Change:</b>	Expense incurred by University for contractor error is passed to contractor.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 21,342.60</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EAGLE ROOFING & SHEET METAL LEXINGTON	MEMORIAL COLISEUM ROOF REPLACEMENT Project No. 684.0	\$186,000- 284,950	07/23/90	3	\$ 186,000.00
<b>Change Order #1:</b>	Replace seven (7) broken drain ring collars with new rings.		07/24/91		+ 682.64
<b>Reason for Change:</b>	Existing units broken.				
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>08/21/91</b>		<b>\$ 186,682.64</b>
CLEAVER CONSTRUCTION MURRAY	MADISONVILLE COMM. COLLEGE AUDITORIUM FIT-UP Project No. 690.4	\$174,510	05/20/91	1	\$ 174,510.00
<b>Change Order #1:</b>	1. Install additional sprinklers under stage catwalks and paint sprinkler pipe and hangers. 2. Install cast iron piping in New Laundry Room.		08/08/91		+ 4,609.20
<b>Reason for Change:</b>	1. Sprinkler coverage under stage catwalks will be required by code after curtains are installed. 2. KY Building Code prohibits PVC pipe to be added to cast iron systems in place.				
<b>Change Order #2:</b>	1. Install wire and conduit for light control system. 2. Install new exit signs on Stage 123 above doors.		08/08/91		+ 5,037.01
<b>Reason for Change:</b>	1. Conduits are available for use to run new wire. 2. These items were not furnished when the building was built.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 184,156.21</b>
CHARAH CONSTRUCTION MADISONVILLE	MADISONVILLE COM. COLLEGE HANDICAP PARKING/LOADING DOCK Project No. 690.5	\$99,898- 114,300	08/13/91	8	\$ 99,898.00
P & R ROOFING & SHEET METAL LEXINGTON	TAYLOR EDUC. ROOF REPLC. Project No. 700.0	\$158,105- 208,200	10/11/90	2	\$ 158,105.00
<b>Previous Change Order # 1</b>					+ 7,120.00
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>08/26/91</b>		<b>\$ 165,225.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WHEELER GENERAL CONTRACTOR LEXINGTON	STUDENT CENTER PARKING ATTENDANT BOOTH Project 704.0	\$20,825- 39,300	11/29/90	9	\$ 20,825.00
	Previous Change Orders #1-2				+ 4,617.00
	<b>CONTRACTOR'S FINAL PAYMENT</b>		<b>08/21/91</b>		<b>\$ 25,442.00</b>
ROBERTSON CONTRACTING CO. COLUMBIA	LAKE CUMBERLAND 4-H CAMP SWIMMING POOL Project No. 710.0	\$87,396 - 119,400	08/31/90	7	\$ 87,396.00
	<b>CONTRACTOR'S FINAL PAYMENT</b>		<b>07/22/91</b>		<b>\$ 87,396.00</b>
SPARKS TERRELL LUMBER CO. LEXINGTON	STUDENT CENTER THEATER REDECORATION Project 713.0	\$66,900- 84,766	05/03/90	4	\$ 66,900.00
	Previous Change Orders #1				- 897.00
	<b>CONTRACTOR'S FINAL PAYMENT</b>				<b>\$ 66,003.00</b>
WINGES COMPANY, INC. NICHOLASVILLE	LEXINGTON COMM. COLLEGE PARKING LOT RECONFIGURATION Project No. 716.0	\$21,510- 31,586	08/14/91	6	\$ 21,510.00
WHEELER GENERAL CONTRACTOR LEXINGTON	PRESTONSBURG COMM. COLLEGE JOHNSON BUILDING RENOVATION Project No. 717.0	\$140,990- 165,650	04/30/91	4	\$ 140,990.00
	Previous Change Orders #1				0.00
	<b>Change Order #2:</b>		<b>08/09/91</b>		<b>+ 5,383.34</b>
	1. Repair drywall.				
	2. Electrical corrections required by electrical inspector.				
	3. Additional painting of rooms affected by construction.				
	<b>Reason for Change:</b>				
	1. Asbestos abatement contractor ripped paper-face off drywall in removing plastic protection sheets.				
	2. Existing electrical above ceiling does not meet code.				
	3. To complete spaces in order to receive carpet.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WHEELER GENERAL CONTRACTOR LEXINGTON	PRESTONSBURG COMM. COLLEGE JOHNSON BUILDING RENOVATION Project No. 717.0			<b>CONTINUED</b>	
<b>Change Order #3:</b>	Six new doors and associated hardware.		08/09/91		+ 4,048.38
<b>Reason for Change:</b>	The installation of new doors will provide a more complete and finished space where adjacent to newly renovated areas.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 150,421.72</b>
CENTRAL KY GLASS CO. CAMPBELLSVILLE	MADISONVILLE COMM. COLLEGE ADMIN. BUILDING REPAIRS Project 724.0	\$167,408- 225,506	04/26/91	2	\$ 167,408.00
<b>Change Order #1:</b>	Furnish and install new Best Lock cylinders.		07/26/91		+ 325.00
<b>Reason for Change:</b>	Coordination of doors and hardware assembly.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 167,733.00</b>
LOYD EVANS MASONRY MURRAY	MADISONVILLE COMM. COLLEGE ADMINISTRATION BUILDING REPAIRS Project 724.0	\$420,641	04/26/91	1	\$ 420,641.00
<b>Change Order #1:</b>	Demolish and reconstruct (32) masonry piers.		07/26/91		+ 10,816.28
<b>Reason for Change:</b>	Poor workmanship on existing masonry units resulting in unsound structural capability of pier.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 431,457.28</b>
PROGRESSIVE CONSTRUCTORS PRINCETON	MADISONVILLE COMM. COLLEGE MASONRY REPAIRS Project No.724.0	\$625,000	04/26/91	1	\$ 625,000.00
<b>Change Order #1:</b>	1. Demolish and reconstruct (32) masonry piers. 2. Temporary shoring of structural masonry piers. 3. Replace (10) sprinkler heads and piping in rooms 007 required for the replacement of new steel trusses.		08/07/91		+ 48,094.00
<b>Reason for Change:</b>	1. Poor workmanship on existing concrete masonry units resulting in unsound structural capability of pier. 2. Due to poor workmanship lintels bearing on piers at third floor level must be temporarily shored. 3. Existing piping and sprinkler heads are corroded so new material is being substituted.				



**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
PROGRESSIVE CONSTRUCTORS PRINCETON	MADISONVILLE COMM. COLLEGE MASONRY REPAIRS Project No.724.0		CONTINUED		
<b>Change Order #2:</b>	1. Place temporary shoring and provide demolition of existing piers.		09/05/91		+ 18,722.00
	2. Remove & replace concrete and metal decking between column lines 98 & 106 from "s" line out.				
	3. Delete required demolition and replacement of concrete steps and slab at the service area truck docks.				
<b>Reason for Change:</b>	1. Due to poor workmanship of existing structural piers, lintel beams bearing on pier at 3rd floor level must be temporarily shored, and pier removed and replaced.				
	2. The existing concrete slab will not allow installation of the new mechanical room bar joists, due to excessive deflection in the deck when the concrete was originally placed.				
	3. Concrete removal was to access brick ledges. Ledges were found to be accessible without this concrete removal.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 691,816.00</b>
RAYCO SHEET METAL WORKS PHILPOT	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #13 - MECHANICAL WORK Project No. 724.1	\$173,757- 196,000	08/13/91	3	\$173,757.00
ALADDIN ELECTRIC, INC. MANITOU	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #14 - ELECTRICAL WORK Project No. 724.1	\$18,000- 22,000	08/14/91	5	\$18,000.00
CHARAH CONSTRUCTION MADISONVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #1 - GENERAL WORK Project No. 726.0	\$441,449 - 577,000	08/15/91	2	\$441,449.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
K & K NURSERY & FARMS WHITE PLAINS	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #2 - LANDSCAPING Project No. 726.0	\$20,112- 38,958	08/20/91	2	\$ 20,112.69
RIVER CITY DEVELOPMENT LOUISVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #3 - MASONRY WORK Project No. 726.0	\$292,800- 311,184	08/08/91	2	\$292,800.00
PROGRESSIVE CONSTRUCTORS PRINCETON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #4 - METAL WORK Project No. 726.0	\$235,500- 249,813	08/08/91	2	\$235,000.00
	TRADE #8 - TILE & TERRAZZO Project No. 726.0	\$46,000	08/08/91	1	\$46,000.00
	TRADE #11 - ARCHITECTURAL WOODWORK Project No. 726.0	\$76,000- 77,699	08/08/91	2	\$76,000.00
	TOTAL CONTRACT AMOUNT:				\$357,000.00
P & R ROOFING & SHEET METAL LEXINGTON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #5 - ROOFING SYS. WORK Project No. 726.0	\$99,490- 112,000	08/08/91	3	\$99,490.00
CENTRAL KY GLASS CO. CAMPBELLSVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #6 - WINDOWS & ENTRANCES Project No. 726.0	\$61,793- 72,451	08/08/91	2	\$61,793.00
COMMERCIAL DRYWALL NICHOLASVILLE	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #7 - GYPSUM TRADES & INTERIOR FINISHES Project No. 726.0	\$195,500- 214,449	08/14/91	2	\$195,500.00

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
KNOGO CORPORATION HAUPPAUGE, NY	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #9 - BOOK DETECTION SYS. Project No. 726.0	\$9,200- 12,200	08/29/91	2	\$ 9,200.00
JARO COMPANY LEXINGTON	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #10 - WINDOW TREATMENT Project No. 726.0	\$3,249- 3,900	08/20/91	3	\$ 3,249.00
DOVER ELEVATOR CO. EVANSVILLE, IN.	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #12 - ELEVATOR WORK Project No. 726.0	\$26,900	08/13/91	1	\$ 26,900.00
RAYCO SHEET METAL WORKS* PHILPOT	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #13 - MECHANICAL WORK Project No. 726.0	\$391,500- 413,684	08/13/91	2	\$ 413,684.00
ALADDIN ELECTRIC, INC. MANITOU	MADISONVILLE COMM. COLLEGE ACADEMIC BLDG./L.R.C. TRADE #14 - ELECTRICAL WORK Project No. 726.0	\$286,200- 323,000	08/14/91	4	\$ 286,200.00
THE ALLEN COMPANY WINCHESTER	LEXINGTON COMM. COLLEGE ENTRANCE ROAD Project 729.1	\$133,554 - 177,850	06/25/91	6	\$ 133,554.00
<b>Change Order #1:</b>	Construct a passenger drop-off including relocation of a curb inlet.		08/09/91		+ 2,502.00
<b>Reason for Change:</b>	The entrance road was designed prior to design of the proposed building and site. Construction of the drop-off will avoid later demolition and reconstruction of the curb, gutter and inlet.				

\* This was bid in combination with Project 724.1. The total of the two projects was the low bid.

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THE ALLEN COMPANY WINCHESTER	LEXINGTON COMM. COLLEGE ENTRANCE ROAD Project 729.1			<b>CONTINUED</b>	
<b>Change Order #2:</b>	Install perforated pipe wrapped in filter fabric set in #57 stone on the right side of the road from station 14 + 63 to station 15 + 43 to tie into Drainage Inlet #1.		09/05/91		+ 560.00
<b>Reason for Change:</b>	Provide subsurface drainage of groundwater entering road subgrade.				
<b>Change Order #3:</b>	Remove approximately 90 lf. of existing 12" concrete pipe that crosses the road at Station 18 + 30. Install as ADS N-12 culvert pipe from the existing catch basin to Drainage Inlet No. 4 at Station 18 + 43.		09/05/91		+ 1,440.00
<b>Reason for Change:</b>	Existing concrete pipe is deteriorated and the elevation too high, causing the pipe to extend into the road subgrade.				
<b>Change Order #4:</b>	Remove 160 sy existing asphalt paving from Station 9 + 70 to Station 10 + 30 at a depth of 2" to 3" prior to leveling wedging and resurfacing.		09/23/91		+ 800.00
<b>Reason for Change:</b>	Existing pavement is deteriorated and should be removed prior to resurfacing to be acceptable structurally and aesthetically.				
<b>Change Order #5:</b>	Install 6" PVC drain pipe and stone backfill to repair existing drain lines which were damaged during excavation operation but not shown on the survey.		09/23/91		+ 1,885.00
<b>Reason for Change:</b>	Reconnect an existing clay tile underdrain disturbed during excavation operations.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 140,741.00</b>
F.W. OWENS CO. LOUISVILLE	JEFFERSON C.C. COLLEGE SW ACADEMIC BUILDING Project No. 731.0	\$2,054,400 - 2,214,874	07/25/91	8	\$ 2,054,400.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THE MATTINGLY COMPANY LEXINGTON	CIVIL ENGINEERING - DEMO. & MODIFICATION TRADE #1 - GENERAL DEMOLITION WORK Project No. 746.1	\$197,000- 322,000	07/29/91	5	\$ 197,000.00
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING - DEMO & MODIFICATION TRADE #2 - MECHANICAL WORK Project No. 746.1	\$70,331- 89,342	07/29/91	2	\$ 70,331.00
WATSON ELECTRIC CO. LEXINGTON	CIVIL ENGINEERING - DEMO & MODIFICATION TRADE #3 - ELECTRICAL WORK Project No. 746.1	\$46,884- 62,424	07/29/91	6	\$ 46,884.00
WHEELER GENERAL CONTRACTOR LEXINGTON	AMERICAN BLDG. GEOLOGICAL SURVEY RENOVATION Project 750.0	\$161,700- 204,600	03/11/91	8	\$ 161,700.00
	Previous Change Orders #1 - 2				+ 1,089.05
<b>Change Order #3:</b>	Install gypsum wall board on two (2) gable ends at cover over toilets/future shower.		07/26/91		+ 291.00
<b>Reason for Change:</b>	Provides a stopping point for drywall and allows for easier connection of new walls in future phase.				
<b>Change Order #4:</b>	Add extra floor tile and base and patching in rooms 100 and 102.		08/07/91		+ 1,738.25
<b>Reason for Change:</b>	Originally not included in the contract due to budget.				
<b>CONTRACTOR'S FINAL PAYMENT:</b>			<b>09/16/91</b>		<b>\$ 164,818.30</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL. EXPANSION Project No. 752.0	\$159,465- 188,000	04/19/91	12	\$ 159,465.00
<b>Change Order #1:</b>	1. Re-route and extend domestic hot, cold and hot water recirculating piping in existing mechanical room. 2. Top layer of soil was found unacceptable. Contractor to excavate and fill with suitable material for carrying concrete floor slab.		07/29/91		+ 5,765.95
<b>Reason for Change:</b>	1. U.K. PPD removed lines and installed a new water heater in another location further away than our construction documents indicated. 2. Soil was not suitable for carrying the concrete floor slab.				
<b>Change Order #2:</b>	Re-surface existing bituminous parking lot due to cracks.		09/23/91		+ 2,986.20
<b>Reason for Change:</b>	Existing pavement is uneven and cracking and will potentially have to be re-worked in the near future.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 168,217.15</b>
C.C. LEE, INC. LEXINGTON	FACULTY CLUD MODIFICATIONS Project No. 756.0	\$15,997- 24,801	01/03/91	7	\$ 15,997.00
<b>Change Order #1:</b>	Extend elevation of new dumpster screen wall to match height of existing transformer screen wall.		08/07/91		+ 1,595.22
<b>Reason for Change:</b>	To raise the wall so the dumpster would no longer be visible.				
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>09/12/91</b>		<b>\$ 17,592.22</b>
EUBANK & STEEL CONST. LEXINGTON	KASTLE HALL PSYCHOLOGY RESEARCH MODIF. Project No. 759.0	\$155,650 - 177,400	07/22/91	10	\$ 155,650.00
SPECTRUM CONTRACTING SVCS. LEXINGTON	HOSPITAL - DIAGNOSTIC SVCS. UPGRADE IV Project No. 761.0	\$364,320 426,900	08/27/91	6	\$ 364,320.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
WHEELER GENERAL CONTRACTOR LEXINGTON	SHAWNEETOWN KITCHENETTE UNIT REPLACEMENT Project No. 767.0	\$67,600- 69,720	06/05/91	2	\$ 67,600.00
<b>Change Order #1:</b>	Provide "Blue Nebula" Wilson Art plastic laminate for countertop.		07/29/91		+ 287.50
<b>Reason for Change:</b>	Standard colors would not have complimented pre-purchased flooring.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 67,887.50</b>
THE P.I. NEMIROFF CORP. SECAUCUS, NEW JERSEY	COMMONWEALTH VILLAGE SHOWER TILE PHASE II Project No. 768.0	\$164,352- 199,900	07/10/91	2	\$ 164,352.00
<b>Change Order #1:</b>	Add one (1) unit at Apartment #155 per unit price schedule in the Form of Proposal.		09/10/91		+ 1,712.00
<b>Reason for Change:</b>	This unit was being used as a community room for Commonwealth Village during the design phase. However, current demand for apartments may require re-converting unit #155 back as an apartment.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 166,064.00</b>
EAGLE ROOFING & SHEET METAL LEXINGTON	KIRWAN/BLANDING TOWERS ROOF REPLACEMENT Project No. 769.0 & 770.0	\$129,000- 149,645	04/26/91	2	\$ 129,000.00
<b>Previous Change Order #1</b>					+ 14,000.00
<b>Change Order #2:</b>	Credit for 100 feet of wood blocking.		08/27/91		- 800.00
<b>Reason for Change:</b>	No existing blocking on roof needed replacing.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 142,200.00</b>
UNISON TRANSFORMER SVCS. COLUMBUS, OH	COMMERCE BLDG. RENO. Project No. 775.0	\$ 11,286	04/15/91	1	\$ 11,286.00
<b>CONTRACTOR'S FINAL PAYMENT</b>			<b>07/26/91</b>		<b>\$11,286.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY-CORNETT ENG. LOUISVILLE	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #15 - GENERAL WORK Project 775.0	\$897,000 1,192,400	03/26/91	5	\$ 897,000.00
	Previous Change Orders #1 - 3				+ 27,820.00
<b>Change Order #4:</b>	Provide labor and dumpster for removal from building and disposal of debris left from the abatement operation.		08/09/91		+ 2,386.00
<b>Reason for Change:</b>	Abatement contractor to remove furnishings in order to remove bolts through VAT abatement contractor was not required to dispose of the furniture.				
<b>Change Order #5:</b>	Provide new Type F Door at door opening 213.		08/09/91		+ 139.00
<b>Reason for Change:</b>	Door is not existing as shown on contract documents.				
<b>Change Order #6:</b>	Re-design the stair handrail to provide a 4" maximum spacing between members.		08/26/91		+ 1,858.00
<b>Reason for Change:</b>	Required to conform to 1991 Kentucky Building Code.				
<b>Change Order #7:</b>	1. Provide electric handicap door openers. 2. Provide two new doors and hollow metal frames for door openings 425 and 427.		09/04/91		+ 4,815.00
<b>Reason for Change:</b>	1. Electric handicap door openers are required per code. 2. These two doors were changed to make door heights match adjacent existing doors in corridor.				
<b>Change Order #8:</b>	1. Demolish wall, revise layout in Room 208. 2. Provide new wood door at entrance into Room 421-G.		09/04/91		+ 2,087.00
<b>Reason for Change:</b>	1. Existing walls did not match layout shown on Drawing A-2. 2. Door incorrectly shown on Drawing A-4 as an existing door to remain in place.				
<b>Change Order #9:</b>	1. Provide labor to remove existing carpet in 9 rooms. 2. Patch all existing masonry and drywall partitions to prepare for new paint.		09/04/91		+ 6,134.00
<b>Reason for Change:</b>	1. Carpet removal is required for installation of new flooring. 2. Contract documents do not specify patching of holes and/or cracks in existing partitions to remain.				



**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF CONTRACT BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY-CORNETT ENG. LOUISVILLE	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #15 - GENERAL WORK Project 775.0			CONTINUED	
<b>Change Order #10:</b>	Provide labor and material to grout the opening in the floor slab along the storefront and patch existing walls at the new storefront.		09/04/91		+ 1,621.00
<b>Reason for Change:</b>	Condition resulting from installation of new storefront. Patching not indicated or detailed on contract drawings.				
<b>Change Order#11:1.</b>	Repair the existing rough surface at the window jamb in Room 451F.		09/04/91		+ 622.00
	2. Provide demolition and patching of exterior masonry wall at chase in Room 451A required for installation of VAV box and reheat coil.				
	3. Provide additional demolition and patching at the soffits in Room 103D.				
<b>Reason for Change:</b>	1. Detail for the repair of this rough surface was not shown on the drawings. Condition was not noted until after the removal of an existing partition. 2. Room 451A does not have an existing induction unit as indicated on the drawings. 3. Extent of soffits in this room were not clearly shown on the drawings.				
<b>Change Order#12:1.</b>	Provide new hollow metal frames, wood doors and hardware for two rooms.		09/04/91		+ 1,710.00
	2. Install a hollow metal frame and door in the new partition for the south wall of Room 409A.				
<b>Reason for Change:</b>	1. Door openings are not existing as shown on drawings and are required for the use of space. 2. Door opening and partition is not existing as shown.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY-CORNETT ENG. LOUISVILLE	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #15 - GENERAL WORK Project 775.0				<b>CONTINUED</b>
<b>Change Order #13:</b>	<ol style="list-style-type: none"> <li>1. Provide modifications to the existing casework and soffit in Rooms 103 and 103C.</li> <li>2. Delete installation of hollow metal frame, wood door and hardware for opening into closet 108C.</li> <li>3. Remove existing mailbox unit in Room 124 and install new CMU and glazed tile.</li> </ol>		09/04/91		+ 751.00
<b>Reason for Change:</b>	<ol style="list-style-type: none"> <li>1. The existing casework (not shown on the drawings) interfered with the new partition tie into the existing partition. No detail was given on the drawings.</li> <li>2. Closet 108C has been deleted on revised drawing CO-1.</li> <li>3. New usage of space will not include processing of mail so this mailbox unit will not be required in this area.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 946,943.00</b>
THOMPSON ENGINEERING LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #16 - MECHANICAL WORK Project No. 775.0	\$277,230- 347,000	04/03/91	5	\$ 277,230.00
<b>Change Order #1:</b>	<ol style="list-style-type: none"> <li>1. Provide demolition of existing mechanical and installation of new in six rooms.</li> <li>2. Re-installation of ductwork where loose from structure above in existing Mechanical Room 107.</li> </ol>		08/09/91		+ 994.00
<b>Reason for Change:</b>	<ol style="list-style-type: none"> <li>1. Occupant change in these areas require new room layouts.</li> <li>2. Unforeseen existing condition that must be corrected.</li> </ol>				
<b>Change Order #2:</b>	Re-insulate piping in the pipe chases where the insulation was removed during abatement operation.		08/09/91		+ 981.00
<b>Reason for Change:</b>	Insulation removed during abatement operation.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THOMPSON ENGINEERING LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #16 - MECHANICAL WORK Project No. 775.0		CONTINUED		
<b>Change Order #3:</b>	1. Modify existing ductwork under the auditorium stage. 2. Provide closure panels at induction units where existing partitions were demolished.		08/09/91		\$1,445.00
<b>Reason for Change:</b>	1. Existing ductwork is not located as shown on drawings. 2. Units cannot be opened for maintenance and repair without removing portions of wall which abutt.				
<b>Change Order #4:</b>	1. Remove existing piping and re-route vent in Rooms 311, 311A and 315A. 2. Provide a return air grille including the associated duct and insulation in Room 306.		09/04/91		+ 856.00
<b>Reason for Change:</b>	1. Plumbing drawings did not indicate demolition of the piping and re-routing of the vent piping. 2. Mechanical drawings do not show a return air grille for this room.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 281,506.00</b>
HONEYWELL, INC. LOUISVILLE	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #18 - BUILDING MANAGEMENT & CONTROLS Project No. 775.0	\$122,876 - 126,000	04/10/91	2	\$ 122,876.00
<b>Change Order #1:</b>	Upgrade the 3 HP temperature control air compressor to a 5 HP compressor.		08/09/91		+ 2,325.00
<b>Reason for Change:</b>	Unit is undersized. Run time to be approximately 30% and the five HP compressor must be provided to allow for this.				
<b>Change Order #2:</b>	Provide controls for new VAV box and reheat coil for Room 451A.		09/04/91		+ 1,071.00
<b>Reason for Change:</b>	Room 451A does not have an existing induction unit as indicated on the drawings.				
<b>Change Order #3:</b>	Provide labor and material to relocate the thermostat on the north wall of Room 100L.		09/16/91		+ 176.00
<b>Reason for Change:</b>	Thermostat relocation required to install new pass through window for Room 127A.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 126,448.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CUTTER-PULLIAM ELECTRIC LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #19 - ELECTRICAL WORK Project No. 775.0	\$381,475 - 544,590	04/02/91	7	\$ 381,475.00
	Previous Change Orders #1 - 4				+ 19,450.50
<b>Change Order #5:</b>	1. Relocate exterior Type "A" fixture. 2. Remove existing duplex receptacles and add a GFI receptacle in room 407.		08/09/91		+ 480.00
<b>Reason for Change:</b>	1. Light fixture shown over cavernous manhole area. Installation of pole would jeopardize integrity of the cavern area. 2. GFI receptacles required at all wet locations by code.				
<b>Change Order #6:</b>	Provide miscellaneous electrical work and upgrading of existing electrical.		08/26/91		+ 4,900.00
<b>Reason for Change:</b>	Work required to bring existing electrical up to current building code.				
<b>Change Order #7:</b>	Provide power for two door holders at 200B and installation of door holder hardware.		08/26/91		+ 450.00
<b>Reason for Change:</b>	Door holders were requested at this location for ease of access from the bridge entrance to stair B.				
<b>Change Order #8:</b>	1. Provide the electrical work related to the Bid Package #7 work in Auditorium 108 and Hall 300W. 2. Provide electrical revisions to the Auditorium lighting controls and sound system.		09/16/91		+ 21,000.00
<b>Reason for Change:</b>	1. Electrical changes at the Auditorium due to Bid Package #7 work. 2. Revisions to the Auditorium electrical system were necessary due to physical size of equipment being larger than closet.				
<b>Change Order #9:</b>	1. Provide a duct smoke detector for the auditorium air handling unit. 2. Provide four additional duct smoke detectors and four additional tamper switches.		09/16/91		+ 7,483.00
<b>Reason for Change:</b>	1. Required by code. 2. Additional detectors are required per University standards and the additional tamper switches are required per code.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CUTTER-PULLIAM ELECTRIC LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #5, TRADE #19 - ELECTRICAL WORK Project No. 775.0			CONTINUED	
<b>Change Order #10:</b>	1. Provide labor and material for a new receptacle on the south wall of Room 437G. 2. Provide labor and material to add receptacles and upgrade receptacles to GFI at the casework.		09/16/91		+ 400.00
<b>Reason for Change:</b>	1. The existing back-to-back receptacle between Room 437G and 431 was buried by the new construction. 2. New receptacles were required where existing receptacles were not at the counter top height. All receptacles adjacent to a sink are required to be GFI per code.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 435,638.50</b>
THE MATTINGLY COMPANY LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #7, TRADE #20 - GENERAL WORK Project No. 775.0	\$119,000 - 173,635	05/29/91	3	\$ 119,000.00
<b>Change Order #1:</b>	Delete markerboards and install Owner provided chalkboards and markerboards in Rooms 217, 220, 221, 222, 306 and 406.		08/26/91		- 2,927.00
<b>Reason for Change:</b>	Project utilizing previously purchased and salvaged boards in lieu of purchasing new boards.				
<b>Change Order #2:</b>	Provide demolition and additional drywall work for patching at the southwest entrance into the Auditorium Room.		09/04/91		+ 325.00
<b>Reason for Change:</b>	Contract drawings did not indicate demolition of the masonry wall adjacent to the door at the top of the stair. Wall would impede egress through this opening.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
THE MATTINGLY COMPANY LEXINGTON	BUSINESS & ECONOMICS BLDG. INTERIOR RENOVATION BID PACK #7, TRADE #20 -GENERAL WORK Project No. 775.0		CONTINUED		
Change Order #3:	1. Provide additional oak blocking at the Auditorium oak handrail. 2. Provide labor and material to revise the ceramic tile floor finish in response to the relocation of the entry doors in 5 rooms.		09/16/91		+ 942.00
Reason for Change:	1. Additional blocking required to hold handrail away from the wall enough to clear column while still running in a straight line. 2. Entry door location changed to original location to alleviate the problems with the existing finishes and floor elevations which would result in extensive rework.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 117,340.00</b>
WOODFORD BUILDERS LEXINGTON	COMMERCE BLDG. RENO. BID PACK #8 - COMPUTER INFORMATION CTR. FIT-UP Project No. 775.2	\$220,900- 269,325	09/19/91	6	\$ 220,900.00
ASTROTURF INDUSTRIES DALTON, GA.	ATHLETIC OUTDOOR TRACK RESURFACING Project No. 782.0	\$129,100- 187,550	09/19/91	3	\$ 129,100.00
THE BRI-DEN COMPANY, INC. ASHLAND	MAYSVILLE COM. COLLEGE STUDENT CENTER Project No. 787.0	\$122,354- 209,000	08/06/91	8	\$ 122,354.00
HAYSLETT MECH. CONT. HARRODSBURG	WUKY BUENA VISTA TRANS. AIR CONDITIONING Project No. 788.0	\$13,601- 20,675	08/14/91	5	\$ 13,601.00
SPECTRUM CONTRACTING LEXINGTON	HILARY J. BOONE TENNIS CENTER LOCKER ROOMS Project No. 790.0	\$106,286- 113,677	08/15/91	2	\$ 106,286.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
A-B ROOFING CO., INC. LEXINGTON	E.S. GOOD BARN ROOF REPLACEMENT Project No. 791.0	\$124,924- 191,680	09/03/91	6	\$ 124,924.00
AMERICAN RESOURCES NASHVILLE, TN	E.S. GOODBARN ASBESTOS ABATEMENT Project No. 792.0	\$38,500- 125,910	09/03/91	8	\$ 38,500.00
THE ALLEN COMPANY WINCHESTER	MOTOR POOL ROADWAY IMPROVEMENTS Project No. 793.0	\$118,974- 129,780	08/14/91	4	\$ 118,974.00
THE ALLEN COMPANY WINCHESTER	STADIUM PARKING LOT Project 794.0	\$125,240- 174,590	06/25/91	7	\$ 125,240.00
<b>Change Order #1:</b>	Relocate 470' of 8" PVC sewer. Install 4' diameter manhole.		09/23/91		+ 5,600.00
<b>Reason for Change:</b>	Sanitary sewer was shown at wrong location. Survey missed a manhole. New drainage pipe intersected with sanitary sewer lines.				
<b>Contractor's Revised Contract Amount to Date:</b>					<b>\$ 130,840.00</b>

Office of the President  
October 22, 1991

# FCR 6

Members, Board of Trustees:

## Approval of Lease

Recommendation: that the Vice President for Administration be authorized to enter into a lease between the University of Kentucky College of Agriculture in Quicksand, Kentucky and the Quicksand Volunteer Fire Department, Inc. for the construction of a fire station on a tract of approximately 3.6 acres of University of Kentucky property at the intersection of Kentucky Highway #15 and Kentucky Highway #1812 for the sum of \$1.00 per year for an initial term of 15 years with additional four-year extensions to be granted thereafter by the University upon request of the Fire Department, but said lease shall not extend beyond August 31, 2022.

Background: The Quicksand Volunteer Fire Department, Inc. must relocate from the fire station in Jackson, Kentucky due to an overcrowded condition at that station. There is also a need to house the fire truck closer to the Quicksand community. Lease of this property would allow the construction of a building that would be within a five minute drive of most structures in the district. Although the lease is for approximately 3.6 acres, there is approximately only 1.2 acres of flat usable land. It is located in a small triangle bounded by the Quicksand Creek, Kentucky Highway #15 and Kentucky Highway #1812.

The University of Kentucky will retain final approval of all architectural plans and drawings for the new fire station. The University would benefit from the added protection that this fire station would provide for its facilities in the Quicksand community. Under terms of the lease agreement, any use of the property for purposes other than a fire station would cause the property to revert back to the University.

This resolution supercedes FCR 6 of October 23, 1990, which limited the lease agreement to fifteen years. Negotiations with the Fire Department always contemplated an initial lease of 15 years with four four-year renewals. This longer period of time coincides with the life expectancy of the fire station.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991



Office of the President  
October 22, 1991

**FCR 7**

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Vice President for Administration be authorized to enter into a lease between Southeast Community College and First State Bank of Pineville, 1810 Cumberland Avenue, Middlesboro, Kentucky, property located at 1810 Cumberland Avenue, Middlesboro, Kentucky, for 312.50 square feet of office space, effective October 15, 1991 through June 30, 1992, at a rental rate of \$2,500.00.

Background: An addendum to original lease for 3687.50 square feet at a rental rate of \$29,500.00 which was effective July 1, 1991 through June 30, 1992. The additional space is located in the same building as original space and at the same rate of \$8.00 per square foot.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991

Office of the President  
October 22, 1991

**FCR 8**

Members, Board of Trustees:

REPORT OF LEASES

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between University Hospital and George and Bonnie Ruschell, 1709 Fairway Drive, Lexington, Kentucky, property located at 935 South Limestone, Lexington, Kentucky, effective December 1, 1991 through November 30, 1992, for the use of office space, rental rate of \$26,403.00, be accepted and made a matter of record.

A new lease between Southeast Community College and Tri-City Chamber of Commerce, Freeman Street, P. O. Box J, Cumberland, Kentucky, property located on Hatfield Drive, Cumberland, Kentucky, effective October 1, 1991 through September 30, 1992, for the use of classroom space, rental rate of \$18,000.00, be accepted and made a matter of record.

Background: FCR dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: Approved  Disapproved  Other   
Date: October 22, 1991

Office of the President  
October 22, 1991

FCR 9

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM  
THE ESTATE OF MR. JOHN M. RACHAL

Recommendation: that the Board of Trustees accept the gift from the estate of Mr. John M. Rachal.

Background: The University of Kentucky received an estate gift from Mr. John M. Rachal. The total value of the estate is \$397,688.46. Article Four, Paragraph 2 of the Trust provided the University a residual bequest that is designated for the College of Engineering, without restriction to use.

Mr. Rachal was a 1927 graduate of the University of Kentucky College of Engineering. He was an executive of the Carrier Corporation in the late 1950's.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991

Office of the President  
October 22, 1991

FCR 10

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM  
JEAN CAMERON WHITFIELD

Recommendation: that the Board of Trustees accept a gift of \$100,000, and authorize the creation of an endowed fund entitled the Edward Clarke Whitfield Memorial Scholarship Fund.

Background: On September 30, 1991, the University of Kentucky received a gift of \$100,000 from Jean Cameron Whitfield, Jupiter, Florida, as a lasting memorial to Edward Clarke Whitfield, her husband. The Whitfield Scholarship is to benefit students from Harlan County who wish to attend the University of Kentucky.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 22, 1991

Office of the President  
October 22, 1991

**ICR 1**

Members, Board of Trustees:

REPORT OF INVESTMENTS

Recommendation: that the Report of Changes in Investments for the University of Kentucky and its affiliated corporations for the three months ended September 30, 1991 be accepted.

Background: The Report of Changes in Investments is submitted to the Board of Trustees each quarter. The attached report reflects investment transactions for the three months ended September 30, 1991, for the University of Kentucky and affiliated corporations which include UK Athletics Association, The Medical Center Fund, UK Research Foundation, UK Business Partnership Foundation, UK Mining Engineering Foundation, UK Equine Research Foundation, and UK Humanities Foundation.

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Action taken: Approved  Disapproved  Other

Date: October 22, 1991

University of Kentucky  
REGULAR ENDOWMENT POOL  
Report of Changes in Investments  
For the Three Months Ended September 30, 1991

PURCHASES:	<u>Shares</u>	<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
	610		Boatmens Bancshares Inc.	\$ 26,834
	410		Commerce Bancshares Inc.	13,633
	3,400		Cooper Inds. Inc., Pfd.	113,789
	2,010		Exel Ltd.	51,255
	845		General Motors Corp. Pfd.	86,249
		\$ 89,000	Liebert Corp. Conv., 8.0%, 11/15/2010	163,907
	1,200		Limited Inc.	35,136
	5,000		Masco Corp.	115,928
	2,630		Mead Corp.	87,922
	250		NBD Bancorp	10,270
	1,370		Novell Inc.	65,952
	1,330		Pall Corp.	49,907
	1,900		South Carolina Nat'l. Corp.	65,090
	620		Suntrust Banks Inc.	21,658
		480,000	U.S. Treasury Notes, 7.875%, 04/15/98	475,500
		173,868	Thoroughbred Government Obligation-D	173,868
			<b>TOTAL PURCHASES</b>	<b>\$ 1,556,898</b>

University of Kentucky  
REGULAR ENDOWMENT POOL  
Report of Changes in Investments  
For the Three Months Ended September 30, 1991

SALES/MATURITIES:

Shares	Par Value	Security	Proceeds	Gain/(Loss)
3,695		Adams Express Co.	\$ 64,500	\$ 4,349
470		Amoco Corp.	24,182	233
930		Anheuser Busch Cos. Inc.	49,381	9,634
120		Becton Dickinson & Co.	8,150	1,061
410		Bell Atlantic Corp.	19,870	1,080
2,040		Cooper Inds. Inc.	113,399	16,352
4,270		Emerson Electric Co.	208,284	68,112
2,150		Empresa Nacional de Electricidad	52,771	11,757
2,010		General Motors Corp "E"	101,412	58,850
360		Gulf States Utilis. Pfd. \$3.85	15,929	2,519
800		Gulf States Utilis. Pfd. \$4.40	38,399	6,399
390		Gulf States Utilis. Pfd. \$11.48	58,110	9,360
1,520		Intel Corp.	69,018	2,265
1,770		International Business Machines	173,748	(23,823)
370		Marion Merrill Dow Inc.	12,827	4,124
460		Marsh & McLennan Inc.	35,551	3,642
1,240	\$ 475,000	Rhone Poulenc Rt. to Expire 07/31/93	3,658	(4,673)
		U.S. Treasury Notes. 8.125%, 02/15/98	477,523	(2,598)
		<b>TOTAL SALES/MATURITIES</b>	<b>\$ 1,526,712</b>	<b>\$ 168,643</b>

University of Kentucky  
 FIXED INCOME POOL  
 Report of Changes in Investments  
 For the Three Months Ended September 30, 1991

PURCHASES:

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 1,540,000	U.S. Treasury Notes, 7.875%, 04/15/98	\$ 1,525,562
500,000	U.S. Treasury Notes, 7.875%, 07/31/96	511,797
	<b>TOTAL PURCHASES</b>	<b>\$ 2,037,359</b>

SALES/MATURITIES:

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/Loss</u>
\$ 1,515,000	U.S. Treasury Notes, 8.125%, 02/15/98	\$ 1,523,048	\$ 23,641
118,585	Thoroughbred Government Obligation-D	118,585	0
	<b>TOTAL SALES/MATURITIES</b>	<b>\$ 1,641,633</b>	<b>\$ 23,641</b>



University of Kentucky  
**INTERCOLLEGIATE ATHLETICS FUND**  
 Report of Changes in Investments  
 For the Three Months Ended September 30, 1991

**PURCHASES:**

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 1,080,000	U.S. Treasury Notes, 7.875%, 04/15/98	\$ 1,069,875
17,954	Thoroughbred Government Obligation-D	17,954
	<b>TOTAL PURCHASES</b>	<b>\$ 1,087,829</b>

**SALES/MATURITIES:**

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/(Loss)</u>
\$ 1,065,000	U.S. Treasury Notes, 8.125%, 02/15/98	\$ 1,070,658	\$ 71,055

University of Kentucky  
**SHORT TERM INVESTMENTS**  
 Report of Changes in Investments  
 For the Three Months Ended September 30, 1991

**PURCHASES:**

<u>Par Value</u>	<u>Security</u>	<u>Cost</u>
\$ 20,000,000	U.S. Treasury Notes, 7.25%, 07/15/93	<u>\$ 20,264,062</u>

**SALES/MATURITIES:**

<u>Par Value</u>	<u>Security</u>	<u>Proceeds</u>	<u>Gain/Loss</u>
\$ 10,000,000	U.S. Treasury Notes, 7.625%, 10/31/91	<u>\$ 10,042,969</u>	<u>\$ 51,420</u>