

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, September 18, 1990.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. Lexington time on Tuesday, September 18, 1990 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Foster Ockerman, Chairman, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Judge Julia K. Tackett.

The following members of the Board of Trustees answered the call of the roll:

Mr. Foster Ockerman (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Professor Carolyn S. Bratt, Mr. William E. Burnett, Jr., Governor Albert B. Chandler, Mr. Tracy Farmer, Mrs. Edythe Jones Hayes, Senator Walter D. Huddleston, Mr. Sean Lohman, Dr. Robert P. Meriwether, Professor Judith Rhoads, Mr. James L. Rose, Judge Robert F. Stephens, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Daniel C. Ulmer, Jr., Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. The University administration was represented by Interim President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth and Robert E. Hemenway, Jr.; Acting Chancellor Ben W. Carr, Jr.; Vice Presidents Edward A. Carter and Eugene Williams; Acting Vice President Leonard K. Peters; Mr. C. M. Newton; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:04 p.m.

B. Minutes Approved

Mr. Ockerman stated that the Minutes of the August 21, 1990 Board of Trustees meeting had been distributed and asked for any corrections or additions. The Minutes were approved as circulated.

C. Nomination of Officers and Executive Committee

Under the Governing Regulations, officers of the Board and the Executive Committee are elected annually at the September meeting. Mr. Ockerman called upon Mr. Sturgill to preside and receive the report of the Nominating Committee. Mr. Sturgill recognized Senator Huddleston, Chairman of the Nominating Committee.

Senator Huddleston placed the following slate of officers for 1990-91 in nomination:

OFFICERS

Foster Ockerman, Chairman
William E. Burnett, Jr., Vice Chairman
Edythe Jones Hayes, Secretary
John C. Darsie, Assistant Secretary

There being no nominations from the floor, Mr. Sturgill declared the nominations closed and moved that those persons so nominated be elected by acclamation. The motion was seconded and carried unanimously.

Senator Huddleston then placed the following slate of Executive Committee members for 1990-91 in nomination:

EXECUTIVE COMMITTEE

Foster Ockerman, Chairman
William E. Burnett, Jr.
Tracy Farmer
James L. Rose
Billy B. Wilcoxson

There being no nominations from the floor, Mr. Sturgill entertained a motion that the slate be approved. The motion was seconded and passed. Thereupon, the presiding role was returned to the re-elected Chairman.

Mr. Ockerman called attention to Mr. Sturgill's recent contributions to the University. He noted that two of Mr. Sturgill's corporations had established programs to provide \$1,000 scholarships to children of the corporations' employees that attend a four-year institution of higher education and \$500 scholarships for those who attend a two-year college. Mr. Ockerman commended Mr. Sturgill for his contributions to education in Kentucky.

D. President's Report to the Trustees (PR 1)

Interim President Wethington reviewed briefly some of the items in PR 1, calling particular attention to (1) an increase in enrollment for the fifth consecutive year, (2) the continuing increase in academic quality of the freshman class, (3) the number of University employees in the military reserves who could be called to active duty during the crisis in the Middle East, (4) Homecoming festivities on October 13 and the Excelsior formal dance on October 12, and (5) the Sanders-Brown Center on Aging Foundation's annual benefit dinner featuring Art Linkletter. He noted that there are several other significant items in PR 1 and asked that the members review them at their convenience.

E. Personnel Actions (PR 2)

With Interim President Wethington so recommending, on motion made by Mr. Lohman, seconded by Judge Stephens and passed, the routine

personnel actions in PR 2 were approved. (See PR 2 at the end of the Minutes.)

F. Board Appointments, McDowell Cancer Network (PR 6A)

Interim President Wethington recommended that Carolyn Kenton, Ivan Jett, Ben Roach, and David Weil be reappointed as non-faculty members of the Board of Directors of the McDowell Cancer Network, that A. Byron Young and David A. Nash be reappointed as faculty members of the Board of Directors of the McDowell Cancer Network, and that Robert McCowan and Eleanor Reser be appointed to the Board of Directors of the McDowell Cancer Network with terms beginning October 1, 1990 and expiring September 30, 1993. The motion, which was made by Mr. Stricker and seconded by Judge Wilhoit, carried. (See PR 6A at the end of the Minutes.)

Mr. Ockerman noted that Mr. McCowan, former chairman of the Board of Trustees, is very active in the affairs of the University, and he is pleased that Mr. McCowan has agreed to continue assistance to the University as well as the other persons agreeing to serve on the Board of Directors of the McDowell Cancer Network.

G. Establishment of Extended-Campus Graduate Sites (PR 8A)

Interim President Wethington recommended that approval be given to the establishment of two extended-campus graduate sites in the western part of the State. University of Kentucky graduate sites were recommended for Owensboro, Kentucky at the Owensboro Community College and for Paducah, Kentucky at the Paducah Community College. He called upon Dr. Peters to comment on PR 8A.

Dr. Peters provided background information and pointed out that the program would help strengthen the offering of joint doctoral programs in western Kentucky plus satisfy the needs at the graduate and professional level in that particular area.

On motion made by Mr. Rose, seconded by Dr. Meriwether and carried, the Board approved PR 8A. (See PR 8A at the end of the Minutes.)

H. Acceptance of Audit Report for the University of Kentucky for 1989-90 (FCR 1)

On recommendation of the Finance Committee, Mr. Ockerman entertained a motion to accept the University of Kentucky financial statements for the year ended June 30, 1990, consisting of the Consolidated Balance Sheet, Consolidated Statement of Changes in Fund Balances, Consolidated Statement of Current Funds Revenues, Expenditures and Other Changes, Summary of Significant Accounting Policies, and Notes to the Financial Statements. Mr. Ulmer so moved. His motion was seconded by Mr. Burnett and passed. (See FCR 1 at the end of the Minutes.)

I. Disposal of Personal Property (FCR 2)

On motion made by Professor Betts, seconded by Professor Rhoads and carried, the Board approved the disposition of surplus equipment as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

J. Capital Construction Report (FCR 3)

Mr. Burnett moved that the routine Capital Construction Report for the twelve months ending June 30, 1990 be accepted. The motion was seconded by Mr. Stricker and passed without dissent. (See FCR 3 at the end of the Minutes.)

K. 1990-91 Budget Revisions (FCR 4)

With the concurrence of the Finance Committee, Mr. Ockerman recommended that the revisions in the 1990-91 budget be authorized and approved. Professor Rhoads so moved. The motion was seconded by Mrs. Hayes and carried. (See FCR 4 at the end of the Minutes.)

L. Establishment of Quasi-Endowment for the Dorothy Cavins Trust for the College of Dentistry Scholarship Program (FCR 5)

Mr. Ockerman reviewed the information to establish a quasi-endowment for the Dorothy Cavins Trust and recommended its approval. The motion, which was made by Professor Betts and seconded by Mr. Lohman, was voted on and carried. (See FCR 5 at the end of the Minutes.)

M. Patent Assignments (FCR 6 and FCR 7)

Mr. Ockerman reported that FCR 6 and FCR 7 are patent assignments that routinely come before the Board, and he entertained a motion for approval. Mr. Sturgill so moved that FCR 6 and FCR 7 be approved. The motions, seconded by Mr. Burnett, passed without dissent. (See FCR 6 and FCR 7 at the end of the Minutes.)

N. Mining of Coal Reserves in Breathitt, Knott and Perry Counties (FCR 8)

Mr. Ockerman reported on the status of Robinson Forest and informed the Board that University representatives had met with representatives of Arch Minerals. He noted that the talents of Mr. Sturgill and Mr. Rose had been utilized during the process and expressed appreciation to them for their time and for sharing their knowledge of mining in Kentucky. He then recommended the adoption of FCR 8 and asked Mr. Rose or Mr. Sturgill for any comments.

Mr. Rose remarked that there had been a lot of controversy for many years about Robinson Forest, particularly the Hudson Tract. It is time that this controversy be brought to a conclusion through negotiations. The 1990's will probably be a decade devoted to concerns about the environment, and the University should take the leadership role in educating its students about the environment.

Mr. Sturgill pointed out that there is active mining on three sides of the University's property, and expressed the opinion that the University is sending the proper signals when it solicits bids from financially sound and quality mining companies. He stated that this is a step forward and moved that FCR 8 be approved. Dr. Meriwether seconded the motion and it carried. (See FCR 8 at the end of the Minutes.)

O. Report of Presidential Search Committee

Interim President Wethington asked permission to absent himself for the Presidential Search Committee report and discussions; he then left the meeting room.

Mr. Ockerman reviewed the procedure for selecting a president. He reported that the Presidential Search Committee had worked harmoniously during the entire process and that its members were in agreement as to the procedures utilized by the Committee. The Committee assumed the responsibility of conducting a nationwide search in a bona fide manner and followed in totality the procedures for the presidential search which had been utilized approximately three years ago even to the point of engaging the services of the same consultant. He noted that Dr. Paul Sears, who had two terms of prior experience in helping with the search process, served as secretary to the committee.

He stated that this is the first search for a president of a university in Kentucky where the open meetings law had been strictly followed. Therefore, the committee as a whole was unable to meet with candidates during the early stages of the proceedings. He stated that, in his judgment, this is harmful to the selection process and expressed hopes that another group in the future might address the question.

Mr. Ockerman explained that confidentiality in this process is very dear to every candidate. If candidates cannot be assured of confidentiality on their terms, they withdraw. He noted that the early publication of names of two finalists caused them to withdraw.

Mr. Ockerman thanked Mr. Tom Watkins, Lamalie and Associates, and expressed appreciation to Dr. Sears for the manner in which he handled the tremendous task.

He noted that three members of the committee did not participate throughout the full presidential search process; these members were Professor Carolyn Bratt, Professor Loys Mather and Professor William Lyons. The members of the committee understood their positions but regretted that they did not participate in the full search.

Mr. Ockerman expressed appreciation to the candidates. He stated that the candidates were well qualified and expressed pleasure in presenting Dr. Peggy Gordon Elliott and Dr. Charles T. Wethington, Jr. to the Board of Trustees. He then recognized Professor Bratt.

Professor Bratt presented a Resolution that was adopted by the University Senate on September 10, 1990. After reviewing the

Resolution, she moved that the Board of Trustees postpone the selection of a president of the University and direct that the search be reopened. Her motion was seconded by Professor Betts.

Professor Bratt stated that she was elected to the Board of Trustees by her colleagues to serve as their voice in the deliberations. It was her responsibility on their behalf not only to introduce the Resolution but to try to find the right combination of words to convince the Board of both the cogency and the wisdom of the faculty request for a new search unencumbered by an interim president who is also a candidate for the presidency of the University.

She emphasized that it is the faculty of the University who teach the students, who perform the public service and who pursue the discovery of new knowledge through research. The faculty's work is the work of the University. She asked the Board to consider the faculty's opinions very carefully because their opinions are drawn from their intimate involvement with and commitment to the mission of the University.

Professor Bratt stated that after a full and fair public debate, the faculty reached the conclusion that the search process should be halted and that an interim president who is not a candidate should be appointed. Restarting a presidential search is neither a radical nor an untried course of action. She cited examples of such cases.

She noted that last December the faculty opposed the election of an interim president who would also be a candidate for the presidency. The faculty warned the Board that the perception would be created that the search was a "done deal." The presidential search has generated the smallest pool of candidates for the presidency of any Kentucky institution of higher education in recent history. During the last few weeks of the search, evidence materialized that an open, competitive, unbiased, national search was impossible to maintain and could not be carried to fruition because of those decisions made back in December. She indicated that two finalists withdrew because they believed and were told that it was a "done deal."

Professor Bratt stated that the University would not suffer any harm if the search was extended. The date was imposed by the chairman of the Board, not chosen by the Board or the Presidential Search Committee. September 18 is a date which can be and should be changed.

She said that the very same integrity which faculty insist upon in the research process, in the process of determining grades and in the process for awarding degrees must be adhered to in the process of searching for a president. The current search process has not and could not adhere to those standards because of the Board's decision to appoint a person as interim president who is also a candidate for the presidency. The Board adopted a flawed process last December and now is the time to rectify that mistake.

In conclusion, she asked the Board to take their trusteeship very seriously. The well-being of the University has been entrusted to each member, and the Board must protect the repository of

knowledge. Supporting this motion would be a way to fulfill both the letter and the spirit of the trusteeship.

Professor Rhoads stated that the University of Kentucky has two faculty senates and two councils. In her role as a trustee, she represents the faculty of the Community College System, a system with over 950 full-time faculty members and 40,000 students in 14 community colleges. She stated that she participated in the interview process and believes the Presidential Search Committee has brought two excellent candidates to the Board. She feels the process was effective and should be completed at the September 18 meeting. She stressed that any delay in the process would be detrimental to the University and that a president should be named so the University can move forward. She reiterated that she represented 40% of the faculty and 60% of the students of the University.

Mr. Lohman presented two Resolutions recently passed by the Student Government Association Senate:

1. A Resolution stating that the students withdraw their support and but not their participation in the presidential search process.
2. A Resolution asking for the presidential search to be reopened and for an interim president, who is not a candidate for the presidency, to be appointed.

After presenting the Resolutions, he expressed thanks to Dr. Wethington for his service to the students as Interim President.

Mr. Lohman stated that he felt the University community had been put through enough; however, he could not see how the Board could justify appointing a president amid all the controversy. He said, "If it takes a new search to restore confidence in the Board, then so be it." In his opinion, it takes a good deal of respect for the institution to sit on the Board, but it takes a love of the institution to ensure its respectability around the state and the nation.

Mr. Lohman remarked that he had a great deal of respect for Dr. Wethington and Dr. Elliott. He did, however, ask his fellow Board members to institute a new presidential search in order to restore the faith of the University community in the Board and in the state's flagship institution.

Professor Betts stated that this is a very important occasion. It should be a moment of joy; however, it is perceived by many as a time of dismay. The University has a noble purpose. It is almost unique, only rivaled by the church or the temple in its earthly function. For this reason, the members of the Board of Trustees have a sacred trust (using the word as it is implied) to do all that is humanly possible to assure that the University has the best leadership based on the fullest support, appreciation and understanding of all of

its constituencies. He said, "to start again is not ignoble, to not achieve what is humanly possible is a misfortune, and I, therefore, ask that we continue the search."

Mr. Stricker reviewed his service record to the University: part-time instructor, past president of the Northern Kentucky Alumni Club, seven years on the National Alumni Board, and a member of the Development Council. He also noted that he has raised funds for the University for many projects and stated that his service to the University will continue after his term as a Board member expires. He believes that it is time for the University to move forward. It is time to elect a new president and for everyone to support the new president.

Mr. Ockerman asked for any other comments and then called for the vote on the motion to discontinue the present presidential search process and start a new search process. The motion failed.

Mr. Farmer moved that the Board go into Executive Session for the purpose of considering candidates. His motion was seconded by Mr. Wilcoxson and carried.

Following the Executive Session, the Board reconvened in public session.

Professor Rhoads moved that Dr. Wethington be chosen as the tenth president of the University of Kentucky. The motion was seconded and Mr. Ockerman asked Mrs. Hayes to call the roll.

Upon a vote being taken on such motion, the result was as follows:

Yeas for the Motion

Ted B. Bates
William E. Burnett, Jr.
Albert B. Chandler
Tracy Farmer
Edythe Jones Hayes
Walter D. Huddleston
Robert P. Meriwether
Foster Ockerman
Judith Rhoads
James L. Rose
Robert F. Stephens
Jerome A. Stricker
William B. Sturgill
Julia K. Tackett
Daniel C. Ulmer, Jr.
Billy B. Wilcoxson
Henry R. Wilhoit, Jr.

Nays for the Motion

Raymond F. Betts
Carolyn S. Bratt
Sean Lohman

Thereupon, the Chairman declared that such motion had carried. Mr. Sturgill moved that Dr. Wethington be elected the tenth president of the University of Kentucky by acclamation. Professor Betts seconded the motion and it carried unanimously.

Dr. Wethington was called back to the meeting, and a round of applause was given to him as he entered the room. He stated that he was deeply honored by the Board's selection. He expressed appreciation to the trustees and the Presidential Search Committee. He also expressed appreciation to the people on campus and those throughout the state who had supported him during the interim period.

Dr. Wethington asked the faculty, staff, students, supporters and non-supporters to always keep the best interest of the University at heart and join with him in helping move the University forward. He said that in order to make the University of Kentucky a great institution, everyone must pull together.

He pledged to the Board to do everything within his power to carry out the trust that had been placed in him. He assured everyone that he would devote his complete time, commitment and energy to being the best president possible for the University of Kentucky.

Dr. Wethington introduced his wife Judy, daughter Lisa and son Kennan and expressed pleasure in having them present.

On behalf of the Board of Trustees, Mr. Ockerman thanked everyone who had been a part of the process, especially the candidates. He asked that everyone go forward with one aim -- to do the best that they possibly can for the betterment of the University of Kentucky.

There being no further business to come before the Board, the Chairman adjourned the meeting at 2:55 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(PR's 2, 6A, and 8A, FCR's 1, 2, 3, 4, 5, 6, 7, and 8 which follow are official parts of the Minutes of the Meeting.)

/6484

Office of the President
September 18, 1990

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved Disapproved Other

Date: September 18, 1990

0197

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. RETIREMENTS

Paducah Community College

Tatro, Raymond S., Custodial Worker III in the Community College System, following 17 consecutive years of service, [under AR II-1.6-1 Section III.A.1(a)], effective 7/6/90.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Floyd, Ninfa R., Instructor in the Community College System, 8/1/90 through 6/30/91.

Elizabethtown Community College

Buck, David C., Instructor in the Community College System, 8/15/90 through 6/30/91.
Cooper, Gail B., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Key, Wanda, Instructor in the Community College System, 8/1/90 through 6/30/91.
Nemes, Janice E., Instructor in the Community College System, 8/1/90 through 6/30/91.

Hazard Community College

Allen, Ruby B., Assistant Professor in the Community College System, 8/13/90 through 6/30/91.
Bolen, James V., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Hogg, Tawny A., Instructor in the Community College System, 8/1/90 through 5/31/91.
Howell, Edgar N., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Laherty, Richard F., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Maciula, Kenneth M., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Riddle, Sue C., Instructor in the Community College System, 8/1/90 through 6/30/91.
Stern, Robert J., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Weis, Thomas E., Instructor in the Community College System, 8/1/90 through 6/30/91.

Henderson Community College

Hronek, Beth, Librarian IV in the Community College System, 9/1/90 through 6/30/91.
Palmer, Carol R., Instructor in the Community College System, 8/1/90 through 6/30/91.

Hopkinsville Community College

Blodgett, Martha Jean, Instructor in the Community College System, 8/15/90 through 6/30/91.
Jackson, Constance, Instructor in the Community College System, 8/1/90 through 6/30/91.

Jefferson Community College

Cantor, Richard, Instructor in the Community College System, 8/1/90 through 6/30/91.
Cooper, Mary Ann, Instructor in the Community College System, 8/1/90 through 6/30/91.
Cox, Connie A., Instructor in the Community College System, 8/1/90 through 6/30/91.
Drake, Paul R., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Edgar, Donna W., Instructor in the Community College System, 8/1/90 through 12/31/90.
Hoyt, Cheryl A., Instructor in the Community College System, 8/1/90 through 12/31/90.
Johnson, Rafe A., Librarian IV in the Community College System, 8/27/90 through 6/30/91.
Jones, M. Douglas, Instructor in the Community College System, 8/1/90 through 6/30/91.
Kamel, Eman, Instructor in the Community College System, 8/1/90 through 12/31/90.
Knox, Patricia B., Assistant Professor in the Community College System, 8/22/90 through 6/30/91.
Koach, Robert B., Instructor in the Community College System, 8/1/90 through 6/30/91.
Lammers, Suzanne M., Instructor in the Community College System, 8/15/90 through 12/31/90.

Lexington Community College

Bowlin, Katherine, Instructor in the Community College System, 8/17/90 through 5/31/91.
Brown, Howard, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.
Burroughs, Rick, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.
Carlton, Gina L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.
Coffman, Lana L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Cornett, Melissa K., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Davis, Regina, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Dunham, Judy W., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Fahringer, David, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Florence, Teresa, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Gage, Pamela A., Instructor in the Community College System, 8/13/90 through 5/31/91.

Glass, William E., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Hatfield, Gina S., Instructor in the Community College System, (voluntary), 8/22/90 through 6/30/93.

Holt, Deborah L., Instructor in the Community College System, 8/16/90 through 6/30/91.

Jones, Onna M., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Kelley, Jennifer L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Kokinda, Cheryl F., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Martin, Diana W., Instructor in the Community College System, 8/13/90 through 6/30/91.

May, Charline L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Miller, Cynthia H., Instructor in the Community College System, 8/16/90 through 12/31/90.

Newton, Leslie A., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Ross, Meri-Beth, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Scott, Joanne C., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Seivers, Ann K., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Sexton, Rocky, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Stratton, Greg, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Sundall, Sandra, Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Walton, Lona L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Whitson, Rhonda L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Woods, James L., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Woodsley, Lora K., Instructor in the Community College System (voluntary), 8/22/90 through 6/30/93.

Madisonville Community College

Billingsley, Jerome H., Instructor in the Community College System, 8/1/90 through 6/30/91.
Grunow, Millie H., Librarian IV in the Community College System, 8/3/90 through 6/30/91.
Lockhart, Leanne C., Instructor in the Community College System, 8/22/90 through 6/30/91.
Stark, Danny R., Instructor in the Community College System, 8/6/90 through 6/30/91.
Thom, Richard C., Instructor in the Community College System, 8/20/90 through 6/30/91.
Vitek, Debra J., Instructor in the Community College System, 8/6/90 through 5/31/91.
Weber, Teresa J., Instructor in the Community College System, 8/10/90 through 6/30/91.
Wright, Deborah L., Instructor in the Community College System, 8/10/90 through 6/30/91.

Maysville Community College

Boyd, Tony, Instructor in the Community College System, 8/20/90 through 6/30/91.
Lightner, Rebecca S., Instructor in the Community College System, 8/1/90 through 6/30/91.

Owensboro Community College

Duckwall, Sharon L., Instructor in the Community College System, 8/1/90 through 6/30/91.
Gallagher, Carol T., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Jennison, Marla, Instructor in the Community College System, 8/1/90 through 6/30/91.
Wetzel, William F., Instructor in the Community College System, 8/15/90 through 6/30/91.

Paducah Community College

Block, Peggy R., Instructor in the Community College System, 8/23/90 through 6/30/91.
Cope, Sherry D., Instructor in the Community College System, 8/6/90 through 6/30/91.
Draffen, Carla, Instructor in the Community College System, 8/1/90 through 6/30/91.
Reece, Anton R., Instructor in the Community College System, 8/1/90 through 6/30/91.
Watkins, Gerald L., Instructor in the Community College System, 8/1/90 through 6/30/91.

Prestonsburg Community College

Conley, M. Michael, Instructor in the Community College System, 8/1/90 through 6/30/91.

Goodwill, Sanoma I., Instructor in the Community College System, 8/1/90 through 6/30/91.
Loftus, William J., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Napier, Barbara W., Assistant Professor in the Community College System, 8/1/90 through 6/30/91.
Yamout, Jihad S., Instructor in the Community College System, 8/16/90 through 6/30/91.

Somerset Community College

Bowdan, Jean, Assistant Professor in the Community College System, 8/15/90 through 6/30/91.
Epperson, Jody B., Instructor in the Community College System, 8/1/90 through 6/30/91.
Harnack, Krister M., Instructor in the Community College System, 8/1/90 through 6/30/91.

Southeast Community College

Collins, Joseph, Instructor in the Community College System, 8/16/90 through 12/31/90.
Eldridge, Joel, Instructor in the Community College System (voluntary), 8/1/90 through 5/31/93.

B. REAPPOINTMENTS

Elizabethtown Community College

Bruce, Jimmy D., Instructor in the Community College System, 8/1/90 through 6/30/91.

Lexington Community College

Beihn, Robert, Instructor in the Community College System (voluntary), 1/7/91 through 5/6/91.
Coupal, John J., Instructor in the Community College System (voluntary), 8/22/90 through 12/15/90.
Saperstein, Priscilla, Instructor in the Community College System, 8/1/90 through 6/30/91.
Simmons, Guy, Instructor in the Community College System (voluntary), 8/22/90 through 12/15/90.

Madisonville Community College

Leasure, Anna L., Instructor in the Community College System, 9/1/90 through 6/30/91.

Prestonsburg Community College

Perry, Melissa A., Instructor in the Community College System, 8/1/90 through 12/31/90.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Crankshaw, Ned, Assistant Professor, Horticulture and Landscape Architecture, 8/13/90 through 6/30/91.

Goetz, Stephan J., Assistant Professor, Agricultural Economics, 8/1/90 through 6/30/91.

Hustedde, Ronald J., Assistant Extension Professor, Sociology, 7/1/90 through 6/30/91.

Leach, Douglas H., Professor (with tenure), Veterinary Science, effective 8/1/90.

Xiong, Youling, Assistant Professor, Animal Sciences, 7/27/90 through 6/30/91.

College of Arts and Sciences

Cooper, Nancy L., Assistant Professor, Russian and Eastern Studies, and Assistant Professor, Honors, Undergraduate Studies, 8/16/90 through 6/30/91.

Debski, Elizabeth A., Assistant Professor, Biological Sciences, 8/16/90 through 6/30/92.

Eremenko, Alexandre, Visiting Associate Professor, Mathematics, 8/16/90 through 5/15/91.

Westneat, David F., Assistant Professor, Biological Sciences, 8/16/90 through 6/30/92.

College of Business and Economics

Lockhart, Daniel E., Visiting Assistant Professor, Management, 8/16/90 through 5/15/91.

College of Fine Arts

Bibbs, Garry R., Assistant Professor, Art, 8/16/90 through 6/30/91.

B. REAPPOINTMENTS

College of Arts and Sciences

Baskin, Carol C., Adjunct Associate Professor, Biological Sciences, 7/1/90 through 6/30/92.

Chapman, James P., Adjunct Associate Professor, Classics, 7/1/90 through 6/30/92.

Clay, Rudolph Berle, Adjunct Associate Professor, Anthropology, 7/1/90 through 6/30/92.

Stephenson, John B., Adjunct Professor, Sociology, 7/1/90 through 6/30/92.

*Special Title Series

C. RETIREMENTS

Vice Chancellor for Administration

Harrington, John R., Disabled Staff, Employee Benefits and Risk Management, after 28 consecutive years of service, under Section III.B of AR II-1-6-1, effective 9/30/90.

D. EARLY RETIREMENTS

Office of the Chancellor

Sheets, David A., Programmer, University Registrar, after 37.5 consecutive years of service, under Section III.A of AR II-1.6-1, effective 10/7/90.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Buckner, John, Instructor (part-time), Political Science, 8/16/90, through 12/31/90.

Einerson, Martha, Instructor (part-time), College of Arts and Sciences, 8/16/90 through 12/31/90.

Morgan, Terrance, Instructor (part-time), Political Science, 8/16/90 through 12/31/90.

College of Business and Economics

Cooley, Edward, Instructor (part-time), Management, 8/16/90 through 5/15/91.

Rogness, John A., Assistant Professor (part-time), Economics, 8/16/90 through 5/15/91.

College of Education

Collins, Belva G., Assistant Professor, Special Education, 8/16/90 through 6/30/92.

Hough, Sandra L., Instructor (part-time), Educational and Counseling Psychology, 8/16/90 through 12/31/90.

Houston, Launa, Visiting Assistant Professor, Educational and Counseling Psychology, 8/16/90 through 5/15/91.

College of Fine Arts

Knight, Stephen, Instructor (part-time), Art, 8/16/90 through 12/31/90.

May, Robert C., Instructor (part-time), Art, 8/16/90 through 12/31/90.

Osborn, Susan J., Instructor (part-time), Art, 8/16/90 through 12/31/90.

Perry, Wendell, Instructor (part-time), Art, 8/16/90 through 12/31/90.

Wolinski, Mary, Assistant Professor (temporary), Music, 8/16/90 through 5/15/91.

College of Law

Elliott, Robert L., Associate Professor (part-time), 8/20/90 through 12/14/90.
Hamblin, Pierce W., Associate Professor (part-time), 8/20/90 through 12/14/90.
Larson, Raymond M., Assistant Professor (part-time), 8/20/90 through 5/5/91.
Lee, Joe, Professor (part-time), 8/20/90 through 12/14/90.
McCoy, R. Burl, Assistant Professor (part-time), 8/20/90 through 12/14/90.
Savage, Joe C., Professor (part-time), 8/20/90 through 12/14/90.

College of Social Work

Kennedy, Albert S., III, Assistant Professor (part-time)*, 8/16/90 through 5/15/91.
Mauldin, Rosetta J., Associate Professor (part-time)*, 8/16/90 through 5/15/91.

B. REAPPOINTMENTS

College of Arts and Sciences

Appleton, Thomas H., Jr., Instructor (part-time), History, 8/16/90 through 12/31/90.
Clay, Brenda J., Adjunct Assistant Professor, Anthropology, 7/1/90 through 6/30/92.
Holbrook, Paul E., Jr., Instructor (part-time), Philosophy, 8/16/90 through 12/31/90.
Hood, James Larry, Instructor (part-time), History, 8/16/90 through 12/31/90.
Leupold, Robert J., Instructor (part-time), History, 8/16/90 through 12/31/90.
Lyon, Edmund D., Instructor (part-time), History, 8/16/90 through 12/31/90.
Pool, Christopher A., Assistant Professor (part-time), Anthropology, 8/16/90 through 5/15/91.
Powell, Mary L., Adjunct Assistant Professor, Anthropology, 7/1/90 through 6/30/92.
Wilkinson, James H., Instructor (part-time), Philosophy, 8/16/90 through 12/31/90.

College of Business and Economics

Baldwin, William T., Assistant Professor (part-time), Economics, 8/16/90 through 5/15/91.
Bates, Clyde T., Professor (part-time), Economics, 8/16/90 through 5/15/91.
Erfani, G. Rod, Assistant Professor (part-time), Economics, 8/16/90 through 5/15/91.
Miller, Herbert, Instructor (part-time), Management, 8/16/90 through 5/15/91.
Myers, L.W., Instructor (part-time), Management, 8/16/90 through 5/15/91.
Payne, Gary, Instructor (part-time), Management, 8/16/90 through 5/15/91.

*Special Title Series

College of Communications

Ryan, Elizabeth H., Assistant Professor, Journalism, 7/1/90 through 6/30/91.

College of Fine Arts

Bloomfield, Philip, Instructor (part-time), Art, 8/16/90 through 12/31/90.

Greenberg, Nicholas, Instructor (part-time), Music, 8/16/90 through 5/15/91.

Wagner, Jeffrey, Instructor (part-time), Art, 8/16/90 through 12/31/90.

C. CHANGES

College of Architecture

Jahed, Peyman, from Instructor (part-time), to Assistant Professor, 8/16/90 through 6/30/91.

D. LEAVES OF ABSENCE

College of Arts and Sciences

Goldstein, Lester, Professor (with tenure), Biological Sciences, Sabbatical leave with full salary, Spring Semester 1991.

High, Dallas M., Professor (with tenure), Philosophy, Sabbatical leave with full salary, Spring Semester 1991.

Jewell, Malcolm E., Professor (with tenure), Political Science, Sabbatical leave with full salary, Spring Semester 1991.

Mack, John, Professor (with tenure), Mathematics, Sabbatical leave with full salary, Spring Semester 1991.

Prats, Armando J., Associate Professor (with tenure), English, Sabbatical leave with full salary, Spring Semester 1991.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Alexander, Lynn K., Assistant Professor*, Clinical Sciences,
8/20/90 through 6/30/91.

College of Dentistry

Anderson, Donald T., Assistant Professor*, Oral Health
Practice, 8/1/90 through 6/30/91.

Hall, Matthew B., Professor (with tenure), Oral Health
Practice, effective 7/1/90.

College of Medicine

Banks, Evelyn R., Assistant Professor, Pathology, 8/1/90
through 6/30/91.

Bergen, Robert L., Professor (voluntary), Ophthalmology,
7/1/90 through 6/30/91.

Gross, David R., Professor (with tenure), Surgery,
effective 7/1/90.

Harris, Karen, Clinical Instructor, Obstetrics and
Gynecology, 8/1/90 through 6/30/91.

McMahon, Douglas G., Assistant Professor, Physiology and
Biophysics, 8/1/90 through 6/30/91.

Schmidt, Mary J., Clinical Instructor, Pediatrics, 8/1/90
through 6/30/91.

Seroogy, Kim B., Assistant Professor, Anatomy and
Neurobiology, 8/7/90 through 6/30/91.

College of Pharmacy

Christianson, Eric H., Associate Professor (with tenure),
College of Arts and Sciences, Department of History,
joint appointment as Associate Professor (without
tenure), effective 7/1/90.

B. ADMINISTRATIVE REAPPOINTMENT

College of Medicine

Vanaman, Thomas C., Professor (with tenure), named
Chairman, Biochemistry, 9/1/89 through 8/31/92.

*Special Title Series

C. REAPPOINTMENTS

College of Dentistry

- Damm, Douglas D., Associate Professor (with tenure), Oral Health Science, joint appointment as Associate Professor (without tenure), College of Medicine, Department of Pathology, effective 7/1/90.
- Drummond, James F., Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), College of Medicine, Department of Pathology, effective 7/1/90.
- Saxe, Stanley B., Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), Oral Health Practice, effective 7/1/90.
- White, Dean K., Professor (with tenure), Oral Health Science, joint appointment as Professor (without tenure), College of Medicine, Department of Pathology, effective 7/1/90.

College of Medicine

- Halmi, Katherine A., Visiting Professor, Psychiatry, 7/1/90 through 3/31/91.

D. PROMOTIONS

College of Nursing

- Hall, Lynn A., Associate Professor (with tenure), joint appointment as Assistant Professor, College of Medicine, Department of Behavioral Science, to Associate Professor (with tenure), joint appointment as Associate Professor (without tenure), College of Medicine, Department of Behavioral Science, effective 9/1/90.

E. RETIREMENTS

College of Nursing

- Luther, Dorothy C., Associate Professor (with tenure), after 16 consecutive years of service, under AR II-1.6-1 Section III.B., effective 8/31/90.

Student Health Service

- Beargie, Robert A., Physician, after 25 consecutive years of service, under AR II-1.6-1 Section III.A., effective 9/15/90.

Cascio, Frank S., Physician, after 24.5 consecutive years of service, under AR II-1.6-1 Section III.A., effective 9/30/90.

F. POST-RETIREMENT APPOINTMENTS

Student Health Service

Beargie, Robert A., Physician (part-time), 9/16/90 through 5/3/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Brandenburg, Tamara D., Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Buckner, Peggy A., Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Chadwell, Helen Rae, Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Collins, Valetta Kay, Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Crowe, Robert D., Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Lewis, Charlotte, Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

Overley, Janet K., Clinical Instructor (voluntary), Clinical Sciences, 7/1/90 through 6/30/91.

College of Dentistry

Derer, Cindy, Clinical Assistant Professor (voluntary), Oral Health Practice, 7/1/90 through 6/30/91.

Keaton, Andy P., Assistant Professor (part-time), Oral Health Practice, 8/1/90 through 6/30/91.

Lasheen, David B., Assistant Professor (part-time), Oral Health Practice, 8/1/90 through 6/30/91.

McCrary, Rex P., Assistant Professor (part-time), Oral Health Practice, 8/1/90 through 6/30/91.

College of Medicine

Adkins, Daniel, Assistant Professor (voluntary), Family Practice, 7/1/90 through 6/30/91.

Barnes, William E., Assistant Professor (voluntary), Surgery, 7/1/90 through 6/30/91.

Berg, Richard A., Assistant Professor (voluntary), Rehabilitation Medicine, 8/1/90 through 6/30/91.

Elliott, Robert E., Assistant Professor (voluntary),
Psychiatry, 7/1/90 through 6/30/91.
Gavin, Michael P. Assistant Professor (voluntary),
Surgery, 7/1/90 through 6/30/91.
Keefer, Brenda L., Assistant Professor (voluntary),
Psychiatry, 7/1/90 through 6/30/91.

B. JOINT APPOINTMENTS

College of Medicine

Johnson, Mitzi, Assistant Professor, Behavioral Science,
joint appointment as Assistant Professor, College of
Arts and Sciences, Department of Psychology, 7/1/90
through 6/30/91.

C. REAPPOINTMENTS

College of Allied Health Professions

Brasseur, Jeannette, Assistant Professor, Clinical Sciences,
7/1/90 through 6/30/91.
Jones, Marvin Lamar, Instructor (part-time), Clinical
Sciences, 7/1/90 through 6/30/91.
Samuel, Thomas W., Assistant Professor*, Health Services,
7/1/90 through 6/30/91.

D. CHANGES

College of Allied Health Professions

Sears, Grace, from Visiting Clinical Instructor, Clinical
Sciences, to Clinical Instructor (voluntary), Clinical
Sciences, 7/1/90 through 6/30/91.

College of Medicine

Ngai, Bernard C., from Assistant Professor (voluntary),
Medicine, to Clinical Assistant Professor, Medicine,
8/19/90 through 6/30/91.
Weaver, Kenneth R., from Assistant Professor (voluntary),
Emergency Medicine, to Assistant Professor
(voluntary), Ophthalmology, joint appointment as
Assistant Professor (voluntary), Emergency Medicine,
5/1/90 through 6/30/91.

E. LEAVES OF ABSENCE

College of Medicine

Wilson, John F., Associate Professor (with tenure),
Behavioral Science, sabbatical leave at full salary,
1/1/91 through 6/30/91.

*Special Title Series

INFORMATION SYSTEMS

I. BOARD ACTION

A. POST-RETIREMENT APPOINTMENT

Office of Instructional Resources

Sprester, Ray, Cinematographer, (Part-time) Office of Instructional Resources, effective 7/1/90 through 6/30/91.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Communications and Distributed Systems

Cotter, III, William B., Lead Systems Programmer, Communications/Engineering, Installation and Maintenance, effective 8/1/90.

RESEARCH AND GRADUATE STUDIES

II. ADMINISTRATIVE ACTION

B. REAPPOINTMENT IN JOINT APPOINTMENT

Graduate Center for Toxicology

Glauert, Howard P., Assistant Professor (without tenure),
Department of Nutrition and Food Science, joint appointment
as Assistant Professor (without tenure), Graduate Center for
Toxicology, 7/1/90 through 6/30/91.

*Special Title Series

Office of the President
September 18, 1990

PR 6 A

Members, Board of Trustees:

BOARD APPOINTMENTS, MCDOWELL CANCER NETWORK

Recommendation: that Carolyn Kenton, Ivan Jett, Ben Roach, and David Weil be reappointed as non-faculty members of the Board of Directors of the McDowell Cancer Network, that A. Byron Young and David A. Nash be reappointed as faculty members of the Board of Directors of the McDowell Cancer Network, and that Robert McCowan and Eleanor Reser be appointed to the Board of Directors of the McDowell Cancer Network with terms beginning October 1, 1990 and expiring September 30, 1993.

Background: According to the Bylaws of the McDowell Cancer Network, its Board of Directors shall consist of no fewer than 18 nor more than 24 voting members appointed by the President and approved by the Board of Trustees of the University of Kentucky.

Action taken: Approved Disapproved Other

Date: September 18, 1990

Office of the President
September 18, 1990

PR 8A

Members, Board of Trustees:

ESTABLISHMENT OF EXTENDED-CAMPUS
GRADUATE SITES

Recommendation: that approval be given to the establishment of two extended-campus graduate sites in the western part of the State. University of Kentucky graduate sites are recommended for Owensboro, Kentucky at the Owensboro Community College and for Paducah, Kentucky at the Paducah Community College.

Background: In the Strategic Plan, the University of Kentucky is committed to maximizing access to higher education for Kentuckians by expanding and enhancing instructional delivery systems through technology, off-campus sites, and the building of cooperative relationships with other educational institutions in the Commonwealth. In the 1990-91 Operating Budget, the Board of Trustees approved funds for the Graduate School to establish extended-campus graduate opportunities in western Kentucky. The establishment of such sites will facilitate continuing cooperation with Murray State University and Western Kentucky University on delivery of joint doctoral programs.

The University of Kentucky has carefully evaluated sites in western Kentucky where graduate level education, with emphasis on doctoral level opportunities, could be offered. University officials have met with community and education leaders to discuss graduate education needs in the region and to examine potential sites.

Each extended-campus graduate site will have a staff person to coordinate interaction with students and the University. University Extension will handle coordination and facilitation of necessary support services such as library resources, computer literature searches, and other auxiliary functions. The Office of Instructional Resources will provide production and delivery system technology in addition to professional media and instructional design support. The Graduate School will maintain responsibility for educational quality and, in coordination with the individual graduate programs, will make decisions on course offerings. Course offerings will begin at the sites in the Spring 1991 Semester.

Action: Approved Disapproved Other

Date: September 18, 1990

Office of the President
September 18, 1990

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT
FOR THE UNIVERSITY OF KENTUCKY FOR 1989-90

Recommendation: that the Board of Trustees accept the University of Kentucky financial statements for the year ended June 30, 1990, consisting of the Consolidated Balance Sheet, Consolidated Statement of Changes in Fund Balances, Consolidated Statement of Current Funds Revenues, Expenditures and Other Changes, Summary of Significant Accounting Policies, and Notes to the Financial Statements.

Background: The Finance Committee of the University of Kentucky has reviewed the consolidated financial statements for the year ended June 30, 1990, which have been audited by Coopers & Lybrand, Certified Public Accountants. The consolidated financial statements are presented on a comparative basis for fiscal years 1988-89 and 1989-90, and include the financial operations of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Humanities Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Center on Aging Foundation, Inc., and Health Care Collection Service, Inc.

Action taken: Approved Disapproved Other

Date: September 18, 1990

REPORT OF INDEPENDENT ACCOUNTANTS

To the Board of Trustees of the
University of Kentucky

and

the Secretary of Finance and
Administration Cabinet of the
Commonwealth of Kentucky

We have audited the accompanying consolidated balance sheet of the University of Kentucky (an agency of the Commonwealth of Kentucky) and affiliated corporations as of June 30, 1990, and the related consolidated statements of changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended. We previously audited and reported on the consolidated financial statements of the University for the year ended June 30, 1989, for which condensed statements are presented for comparative purposes only. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with generally accepted auditing standards. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the consolidated financial position of the University of Kentucky and affiliated corporations as of June 30, 1990, and the consolidated changes in fund balances and current funds revenues, expenditures, and other changes for the year then ended in conformity with generally accepted accounting principles.

Coopers & Lybrand

Lexington, Kentucky
August 24, 1990

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
JUNE 30, 1990
with comparative totals at June 30, 1989
(in thousands)

	1990				1989	
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
ASSETS						
Cash and cash equivalents	\$ 57,257	\$ 2,016	\$ 148	\$ 97,558	\$ 156,979	\$ 157,226
Notes, loans, and accounts receivable (less bad debt allowances of \$4,796 in 1990 and \$5,585 in 1989)	56,960	15,960		1,442	74,392	68,209
Investments	59,514		72,768	67,722	200,004	178,654
Property, plant, and equipment				655,395	655,395	789,347
Inventories and other	10,831				10,831	9,894
Total Assets	\$ 184,592	\$ 17,976	\$ 72,916	\$ 1,022,117	\$ 1,297,601	\$ 1,203,330
LIABILITIES AND FUND BALANCES						
Liabilities						
Accounts payable	\$ 16,005			\$ 4,472	\$ 20,477	\$ 18,263
Accrued expense	17,393			3,805	21,198	19,635
Employee withholdings and deposits	11,599				11,599	10,309
Other liabilities	1,039			1,422	2,461	1,109
Liability for self insurance	15,907				15,907	12,584
Deferred income	8,348				8,348	8,140
Bonds payable				271,696	271,696	245,788
Capitalized lease obligation				22,684	22,684	27,172
Total Liabilities	70,291			304,079	374,370	343,000
Interfund Balances	4,511			(4,511)		
Fund Balances						
Current-Unrestricted						
Working capital	50,624				50,624	50,453
Future operations	23,295				23,295	24,073
Other	3,508				3,508	1,475
Current-Restricted	32,363				32,363	32,319
Loan						
Unrestricted		\$ 95			95	94
Restricted		3,702			3,702	3,492
U.S. Government		14,179			14,179	13,352
Endowment						
True			\$ 41,950		41,950	37,030
Term			1,068		1,068	877
Quasi			29,898		29,898	29,746
Plant						
Unrestricted allocated for designated projects				73,798	73,798	77,655
Restricted				29,043	29,043	35,462
Net investment in plant				620,708	620,708	555,302
Total Fund Balances	109,790	17,976	72,916	722,549	923,231	860,330
Total Liabilities and Fund Balances	\$ 184,592	\$ 17,976	\$ 72,916	\$ 1,022,117	\$ 1,297,601	\$ 1,203,330

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CHANGES IN FUND BALANCES
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
 FOR THE YEAR ENDED JUNE 30, 1990
 (in thousands)

	1990				Totals
	Current Funds	Loan Funds	Endowment Funds	Plant Funds	
REVENUES AND OTHER ADDITIONS					
Unrestricted current fund revenues	\$ 557,409				\$ 557,409
Gifts	11,881	\$ 3	\$ 3,941	\$ 1,862	17,687
Endowment and investment income	6,428	562	1,121	10,950	19,061
Endowment gains and losses			1,178		1,178
Grants and contracts	74,722	748		1,481	76,951
Plant facilities transferred to investment in plant (including \$25,518 charged to current expenditures)				61,065	61,065
Retirement of indebtedness				12,181	12,181
Other sources	283			707	990
Total Revenues	650,723	1,313	6,240	86,246	746,522
EXPENDITURES AND OTHER DEDUCTIONS					
Unrestricted current fund expenditures	506,794				506,794
Restricted current fund expenditures	90,600				90,600
Plant facilities transferred to investment in plant				35,206	35,206
Non capitalized expenditures				6,949	6,949
Retirement of indebtedness				11,876	11,876
Interest on indebtedness				18,823	18,823
Arbitrage rebate				1,876	1,876
Disposal of plant facilities				10,805	10,805
Other deductions		400		292	692
Total Expenditures	597,394	400		85,827	683,621
TRANSFERS AMONG FUNDS - ADDITIONS/(DEDUCTIONS)					
Mandatory:					
Debt service					
Educational and general	(21,087)			21,087	
Hospital and clinics	(1,603)			1,603	
Auxiliary enterprises	(2,162)			2,162	
Matching grants	(57)	57			
Total Mandatory Transfers	(24,909)	57		24,852	
Net Increase Before Nonmandatory Transfers	28,420	970	6,240	27,271	62,901
Nonmandatory:					
Plant					
Educational and general	(13,331)			13,331	
Hospital	(10,604)			10,604	
Auxiliary enterprises	(2,924)			2,924	
Endowment	(91)	68	23		
Total Nonmandatory Transfers	(26,950)	68	23	26,859	
Net increase for the year	1,470	1,038	6,263	54,130	62,901
Fund Balances, June 30, 1989	108,320	16,938	66,653	668,419	860,330
Fund Balances, June 30, 1990	\$ 109,790	\$ 17,976	\$ 72,916	\$ 722,549	\$ 923,231

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

CONSOLIDATED STATEMENT OF CURRENT FUNDS
REVENUES, EXPENDITURES AND OTHER CHANGES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE YEAR ENDED JUNE 30, 1990
with comparative totals for June 30, 1989
(in thousands)

	1990			1989
	Unrestricted	Restricted	Total	Total
REVENUES				
Educational and general				
Student tuition and fees	\$ 67,511		\$ 67,511	\$ 61,846
Government appropriations				
Federal	15,065		15,065	13,050
State	252,648		252,648	238,096
County	3,862		3,862	3,759
Government grants and contracts				
Federal	467	\$ 51,026	51,483	46,938
State	253	13,852	14,105	14,296
Private gifts and grants	2,378	21,315	23,683	17,015
Indirect cost reimbursement	8,952		8,952	7,989
Endowment income	757	4,615	5,372	3,555
Investment income	9,104	1,266	10,370	12,310
Sales and services	17,071		17,071	16,226
Other sources	314	258	572	274
Total educational and general	378,372	92,332	470,704	435,354
Hospital and clinics	134,224		134,224	121,701
Auxiliary enterprises	44,813	22	44,835	42,114
Total Revenues	557,409	92,354	649,763	599,169
EXPENDITURES				
Educational and general				
Instruction	149,165	10,509	159,674	149,157
Research	35,276	37,019	72,295	70,466
Public service	46,318	12,529	58,847	50,814
Libraries	11,731	189	11,920	10,763
Academic support	27,743	1,962	29,705	25,489
Student services	18,516	208	18,724	14,986
Institutional support	24,356	764	25,120	21,910
Operation and maintenance	29,703	23	29,726	28,347
Student financial aid	7,889	22,423	30,312	26,652
Other expenses		96	96	46
Total educational and general	348,697	85,722	434,419	398,630
Hospital and clinics	122,305		122,305	107,403
Auxiliary enterprises	35,792	4,878	40,670	36,119
Total Expenditures	506,794	90,600	597,394	542,152
Mandatory Transfers:				
Debt service				
Educational and general	19,120	1,957	21,087	19,567
Hospital and clinics	1,603		1,603	1,602
Auxiliary enterprises	2,162		2,162	2,126
Matching grants	269	(212)	57	53
Total Expenditures and Mandatory Transfers	529,948	92,355	622,303	565,500
OTHER TRANSFERS AND ADDITIONS(DEDUCTIONS)				
Nonmandatory Transfers:				
Plant fund				
Educational and general	(12,286)	(1,045)	(13,331)	(18,658)
Hospital and clinics	(10,604)		(10,604)	(31,174)
Auxiliary enterprises	(2,924)		(2,924)	(3,899)
Endowment fund		(91)	(91)	(5,053)
Excess restricted receipts over transfer to revenues		960	960	9,415
Total Other Transfers and Deductions	(25,814)	(176)	(25,990)	(49,359)
Net Increase/(Decrease) in Fund Balances	\$ 1,647	\$ (177)	\$ 1,470	\$ (15,700)

The accompanying summary of significant accounting policies and notes are an integral part of the consolidated financial statements.

UNIVERSITY OF KENTUCKY

SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Scope of Statements

The consolidated financial statements of the University of Kentucky include the operations of the University and its affiliated corporations as follows: The University of Kentucky Research Foundation; The Fund for Advancement of Education and Research in the University of Kentucky Medical Center; University of Kentucky Athletic Association, Inc.; Health Care Collection Service, Inc.; University of Kentucky Mining Engineering Foundation, Inc.; University of Kentucky Business Partnership Foundation, Inc.; University of Kentucky Equine Research Foundation, Inc.; University of Kentucky Humanities Foundation, Inc.; and Center on Aging Foundation, Inc.

Accrual Basis

The financial statements of the University have been prepared on the accrual basis of accounting, except that costs of an unfunded pension plan liability are expensed when paid to retirees (see Note 7).

Fund Accounting

The University maintains its accounts and reports its financial transactions in accordance with the principles of fund accounting. This is the procedure by which resources for various purposes are classified for accounting and reporting purposes into funds that are in accordance with activities or objectives specified. Funds that have similar characteristics are combined for reporting purposes into fund groups.

Within each fund group, fund balances restricted by outside sources are so indicated and are distinguished from unrestricted funds allocated to specific purposes by action of the Board of Trustees. The Board retains full control over allocated fund balances to use in achieving its institutional purposes.

Restricted gifts, grants, appropriations, endowment income, and other restricted resources are accounted for in the appropriate restricted funds. Restricted current funds are reported as revenues and expenditures when expended for current operating purposes.

Endowment funds are subject to the restrictions of gift instruments requiring in perpetuity that the principal be invested and the income only be utilized. Term endowment funds are similar to endowment funds except that upon the passage of a stated period of time or the occurrence of a particular event, all or part of the principal may be expended as well. Quasi-endowment funds are established by the Board for the same general purposes as endowment funds, and any portion may be expended.

Ordinary income derived from investments, notes receivable, and the like, is accounted for in the fund owning the assets, except that income derived from investments of endowments and similar funds and the majority of current restricted funds is accounted for in the fund to which the income is designated.

All unrestricted revenue is accounted for in the unrestricted fund.

Current Funds

The statement of current funds revenues, expenditures, and other changes is a summary of financial activities of current funds related to the current reporting period.

To the extent that current funds are used to finance plant asset acquisitions, the amounts are accounted for as: (1) expenditures, in the case of replacement of movable equipment and library books; (2) mandatory transfers in the case of required provisions for debt amortization and interest; and (3) a transfer of a nonmandatory nature for all other cases.

The Board allocates unrestricted current fund balances for specific purposes. These purposes include: (a) the working capital needs of the University (including the hospital, the housing and dining system, and other auxiliary enterprises); (b) certain unrestricted income from private sources; and (c) budget appropriations for future operating purposes.

Investments

Investments are stated at cost or, in the case of gifts, at market value at the date of donation.

Inventories

Inventories are stated principally at the lower of average cost or market.

Liability for Self-Insurance

The University self-insures certain employee benefits to the extent not covered by insurance carriers. Current fund expenditures include claims paid by the University and an estimated liability for asserted claims at the end of the year.

The University and its agents are insured against medical malpractice by a combination of self-insurance and an excess liability fund established by the Commonwealth of Kentucky. As of June 30, 1990, the University has a malpractice liability reserve of \$13,389,371. To the extent judgements might exceed the reserve and excess liability insurance coverages, the Commonwealth of Kentucky has made provision for such excesses.

The University has established a 501(c)(9) trust with the Commerce National Bank, Lexington, as trustee, to administer a self-funded long-term disability income program. The University remits premiums to the Trust for purposes of paying claims and establishing necessary reserves.

Land, Buildings, and Equipment-Depreciation

Land, land improvements, buildings and equipment are stated at cost at date of acquisition or, in the case of gifts, at fair market value at date of gift except for the following: (1) The University has title to certain tracts of land which were either donated or purchased at a nominal price with no book value assigned to these properties; and (2) buildings acquired prior to June 30, 1958, were recorded at appraised value of \$33,000,000 at that date. In conformity with college and university accounting practices, no provision is made for depreciation of buildings and equipment.

Other

Other significant accounting policies are set forth in the financial statements and notes thereto.

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS

1. Investments

A comparison of the carrying value and approximate market value of the University's investments is shown below:

	<u>Carrying Value</u>	<u>Approximate Market Value</u>
June 30, 1990		
Type of investment		
United States Government	\$161,740,738	\$163,534,712
State and municipal bonds	184,433	184,536
Corporate bonds	16,949,337	17,224,685
Certificates of deposit	635,490	635,490
Common stocks	8,438,106	10,864,683
Real property and mineral rights	507	507
Money market instruments	12,049,431	12,049,431
Other	5,648	5,648
	-----	-----
	\$200,003,690	\$204,499,692
	=====	=====
Fund group		
Current unrestricted	\$ 11,102,028	\$ 11,120,027
Current restricted	48,411,896	48,493,515
Endowment	72,767,846	76,046,371
Plant	67,721,920	68,839,779
	-----	-----
	\$200,003,690	\$204,499,692
	=====	=====

Investments of \$57,804,649 are held by the University or its agents in the University's name and \$142,199,041 of investments are held by the University trustees.

The University has cash or cash equivalents deposited in local bank accounts of which \$323,601 is insured by FDIC and \$61,076,904 is in U.S. Government/Agency repurchase agreements.

2. Investment in Plant

The major components of investment in plant as of June 30, 1990 are as follows:

	(In Thousands)
Land and improvements	\$ 43,053
Buildings and structures	500,467
Equipment and library books	292,747
Construction in progress	19,128

	\$855,395
	=====

3. Bonds Payable

Bonds payable at June 30, 1990, consisted of the following:

	<u>Outstanding</u>	<u>Current Maturities 1990-91</u>
Lexington Campus:		
Consolidated Educational Buildings Revenue Bonds, Series C-K, and refunding issues G, H, I, issued 1970 to 1989, maturities to 2010, interest rates 3.0% to 8.45% (including fully funded issues outstanding of \$29,510,000 at interest rates 6.75% to 9.3%, maturities to 2006).	\$139,460,000	\$ 5,315,000
Consolidated Housing and Dining System Revenue Bonds, Series B-G and I-L, issued 1965 to 1986, maturities to 2018, interest rates 2.75% to 7.0% (including fully funded issue outstanding of \$582,000 at interest rates 3.0% to 3.25%, maturities to 1995).	28,127,000	1,369,000
Other, issued 1956 to 1968, maturities to 1998, interest rates 4.0% to 5.4%.	349,000	52,000
Hospital Revenue Bonds, Series A, issued 1986, maturities to 2006, interest rates 6.2% to 8.0%.	21,000,000	625,000
Community Colleges:		
Consolidated Educational Building Revenue Bonds, Series A-G, and refunding issue D, issued 1969 to 1989, maturities to 2010, interest rates 3.0% to 7.5% (including fully funded issue outstanding of \$7,125,000 at interest rates 8.0% to 10.2%, maturities to 2008).	82,760,000	2,370,000
Total	\$271,696,000 =====	\$ 9,731,000
Interest		
Fully funded issues		3,365,313
Other issues		14,381,259
Total debt service for 1990-91		\$27,477,572 =====

The aggregate amount of maturities for the next five years is as follows:

(In Thousands)

	Fully Funded Issues	Other Issues	Total
1991	\$ 244	\$ 9,487	\$ 9,731
1992	263	10,083	10,346
1993	281	10,726	11,007
1994	1,475	11,418	12,893
1995	1,599	12,130	13,729

The fully funded bond issues totaling \$37,217,000 at June 30, 1990 are funded by investments which are on deposit with the bond trustees. Income on these investments will be allocated to pay current indebtedness.

Revenues from student housing and dining facilities, certain other auxiliary enterprises, hospital and student fees are pledged for the retirement of the bonds.

4. Capital Lease Obligations

Plant assets acquired by capitalized leases include the football stadium (\$10,992,000) and various items of equipment (\$32,445,288) which are included in the plant funds.

The minimum future lease payments on the capital lease obligations, which are principally with the Commonwealth of Kentucky, are as follows:

(In Thousands)

1991	\$ 6,165
1992	6,034
1993	5,863
1994	4,249
1995-2009	3,988

Total	26,299
Less: Amount representing interest	(3,615)

Total principal due on lease payment	\$22,684
	=====

5. Funds Held in Trust by Others

The University is the income beneficiary of various trusts which are held and controlled by independent trustees. For the years ended June 30, 1990 and 1989, the University received from these trusts income amounting to \$922,776 and \$872,339, respectively. The University has no equity interest in the principal of the trusts which, accordingly, is recorded at a nominal value of \$1 each. The 1990 income received from these funds is recorded in the current unrestricted fund for \$356,992 and in the current restricted fund for \$565,784.

6. Pension Plans

Regular full-time employees, including faculty, are participants in the University of Kentucky Retirement Plan. The University of Kentucky Retirement Plan consists of five groups as follows:

- | | |
|-----------|--|
| Group I | Established July 1, 1964, for faculty and certain administrative officials. |
| Group II | Established July 1, 1971, for staff members in the clerical, technical and service categories. |
| Group III | Established July 1, 1972, for staff members in the managerial, professional and scientific categories. |
| Group IV | Established January 1, 1973, for staff members having U.S. Civil Service Retirement entitlement. |
| Group V | Established July 1, 1987, for staff members covered under the Federal Employees Retirement System that replaced Civil Service (those whose employment began during the period from January 1, 1984 to March 31, 1987). Staff members whose employment began after March 31, 1987 are under one of the above University of Kentucky Retirement Plans. |

Participation in the University of Kentucky Retirement Plan in groups I, II, and III is mandatory for all faculty and staff members, age 30 and older, who have completed one year of service. Participation is voluntary to age 30 (after completion of one year of service). Participation in groups IV and V is voluntary.

The University of Kentucky has authorized three retirement plan carriers, effective July 1, 1988, as follows:

Teachers Insurance and Annuity Association/College
Retirement Equities Fund (TIAA/CREF)
Fidelity Institutional Services Company
Twentieth Century Investors, Inc.

Under these fully funded group retirement plans, the University and plan participants make annual contributions to provide fully vested retirement benefits to employees upon retirement. The University's share of costs for these plans was \$19,931,645 and \$17,981,917 for 1990 and 1989, respectively. The University makes retirement contributions to the U.S. Civil Service for certain Cooperative Extension Service Employees in the College of Agriculture. These contributions amounted to \$1,125,518 and \$1,089,948 for 1990 and 1989, respectively.

In addition to retirement benefits provided from the group retirement plans, the University provides supplemental retirement income benefits to certain eligible employees in each of the retirement groups (see Note 7).

7. Minimum Annual Retirement Benefits and Supplemental Retirement Income

Employees in retirement groups I, II and III who were age 40 or older prior to the date of establishment of each group plan, and who were

employed by the University prior to that date, qualify for the minimum annual retirement benefit provisions of the retirement plan. Benefits for these eligible employees are based upon a percentage, determined through years of service, of the participant's annual salary in the last year of employment prior to retirement. Retirement benefits as determined are funded by each individual retiree's accumulation in the group retirement plan, with the balance, if necessary, provided by the University as supplemental retirement income.

The costs of supplemental retirement income benefits are not accrued but are recognized when payments are made to retirees. Supplemental retirement benefit payments were approximately \$4,050,000 for 1990 and \$3,960,000 for 1989. The projected estimate of annual payments for supplemental retirement benefits for each of the next five fiscal years is as follows:

	(In Thousands)
1991	\$4,046
1992	4,024
1993	3,998
1994	3,948
1995	3,878

The Legislature of the Commonwealth of Kentucky has appropriated funds to the University for the payment of supplemental retirement income benefits since adoption of the group retirement plans, and is expected to continue this practice, however the Constitution of the Commonwealth of Kentucky prohibits the commitment of future revenues beyond the end of the current biennium.

Accordingly, the University does not recognize the liability for supplemental retirement income benefits during the service life of covered employees, but recognizes its costs when funds are appropriated by the Legislature and payments are made.

The University intends to continue paying supplemental retirement income benefits contingent upon the Legislature continuing to appropriate funds required to make these payments.

The latest actuarial valuation was prepared as of June 30, 1990 by TIAA. The actuarial present value of vested and non-vested accumulated supplemental retirement income benefits as determined by this valuation, utilizing an assumed rate of return of 8%, was \$34,503,000.

8. Health Insurance Benefits for Retirees

The University provides health care benefits to retirees who have minimum of fifteen years service. The University recognizes the cost of providing those benefits by expensing the insurance premiums in the year paid. These premiums were \$976,206 and \$757,831 for the years ended June 30, 1990 and 1989, respectively.

9. Construction Commitments

Estimated cost to complete construction under contract at June 30, 1990 is approximately \$26,465,000. Such construction is principally financed by Commonwealth of Kentucky appropriations and long-term bonds payable.

10. Contingencies

The University is defendant in several lawsuits, however University officials are of the opinion, based on advice of in-house legal counsel, that the ultimate outcome of all litigation will not have a material effect on the future operations or financial position of the University beyond the amounts already provided.

11. Pledges

Pledges from The University of Kentucky Fellows totaling approximately \$5,092,300, primarily for various restricted purposes, are expected to be collected over the next ten years. Pledges to the College of Law, the majority of which will be collected over the next five years, approximates \$150,000.

Pledges to the affiliated corporations are as follows: University of Kentucky Business Partnership Foundation, Inc., \$1,745,452 expected to be collected over the next four years; University of Kentucky Equine Research Foundation, Inc., \$233,563 expected to be collected over the next five years; and \$196,500 to the Center on Aging Foundation, Inc. expected to be collected over the next ten years. Deferred gifts through insurance, known bequests and irrevocable trusts in which the University has a remainder interest are estimated to be at least \$19,000,000. It is not practical to estimate the net realizable value of such pledges and deferred gifts.

12. Grants and Contracts Awarded

At June 30, 1990, grants and contracts awarded to the University and the University of Kentucky Research Foundation, but not expended, amounted to \$49,759,000, and are not shown in these financial statements.

13. Reclassifications

Certain account classifications for fiscal 1989 have been reclassified to reflect comparability with account classifications adopted for fiscal 1990 with no effect on previously reported fund balances.

Office of the President
September 18, 1990

FCR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved Disapproved Other

Date: September 18, 1990

UNIVERSITY OF KENTUCKY

SALE OF SURPLUS VEHICLES AND FARM EQUIPMENT

Type of Disposal: Competitive Bid

Reason: Vehicles and farm equipment are damaged, have excessive mileage, and are surplus to the needs of the institution.

Sale Date: October 13, 1990

Items: 52 vehicles, model years range from 1952 through 1985
18 pieces of farm equipment

TRANSFER OF SURPLUS EQUIPMENT

Institution: Fayette County Public School System

Type of Disposal: Transfer at no cost

Reason: Due to the acceleration of computer development, and limited software availability for the computers, they are unsuitable for college use.

Items:

<u>Description</u>	<u>Serial #</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Apple II Plus computer	653399	031622	1981
Apple II Plus computer	627357	031623	1981

SALE OF ATHLETIC EQUIPMENT

Institution: State supported high schools, colleges, and universities.

Type of Disposal: Fixed price

Reason: Surplus, replaced with new equipment.

Items: Shoulder pads, shoes, backboards, and hurdles.

FCR 3

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the twelve months ending June 30, 1990, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the twelve months ending June 30, 1990, the University has:

1. Awarded fifty (50) architect and engineer contracts.
2. Made thirty-nine (39) amendments to architect and engineer contracts.
3. Made twenty-one (21) final payments for twenty-one (21) architect and engineer contracts.
4. Awarded or requisitioned for the award of eighty (80) construction contracts.
5. Made one hundred ninety-five (195) change orders to construction contracts.
6. Made seventy-five (75) final payments for seventy-five (75) construction contracts.

Action taken: Approved Disapproved Other

Date: September 18, 1990

DIVISION OF DESIGN AND CONSTRUCTION
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
 UNIVERSITY OF KENTUCKY
 FOR THE PERIOD JULY 1, 1989 - JUNE 30, 1990

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL WASTE INCINERATOR Project No. 337.0	\$ 600,000	\$ 64,650	8/31/89
JOHNSON/ROMANOWITZ/ARCH. LEXINGTON	COMMONWEALTH STADIUM EXPANSION Project No. 145.0	4,000,000	212,875	8/31/89
DQW, INC. LEXINGTON	AQUATIC CENTER DIVING TOWER Project No. 648.0	328,300	29,698	8/31/89
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH FACILITY #1 FUME HOOD Project No. 644.1	250,000	11,945	9/29/89
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURE SCIENCE NORTH & SOUTH FUME HOODS Project No. 644.2	519,000	55,210	9/29/89
FARRIS, HATCHER, TREMPER & ASSOC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	160,000	15,000	9/29/89
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COM. COLLEGE - DT ENERGY MANAGEMENT SYSTEM Project No. 657.0	150,000	12,038	9/29/89
FARRIS, HATCHER, TREMPER ENGINEERS	PADUCAH COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 658.0	85,000	7,500	10/31/89
JERRY A. TAYLOR LEXINGTON	ASHLAND COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 659.0	85,000	9,200	10/31/89
BICKEL-GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP ANIMAL CARE FEASIBILITY STUDY Project No. 671.0	28,500	23,950	10/31/89

I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	\$ 2,200,000	\$ 62,652	10/31/89
MASON & HANGER ENGR. LEXINGTON	COOLING PLANT #2 EXPANSION - DESIGN Project No. 666.0	500,000	428,446	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	SHAWNEETOWN ROOF/TYPE A Project No. 537.0	190,000	6,753	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	PEAK HOUSE/PRYOR HOUSE ROOF REPLACEMENT Project No. 556.0	175,000	6,259	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	SHAWNEETOWN LOWER ROOF REPLACEMENT Project No. 557.0	150,000	9,452	12/22/89
MEYER, WRIGHT ASSOCIATES FRANKFORT	MEMORIAL COLISEUM ROOF REPLACEMENT Project No. 684.0	302,000	25,050	1/31/90
ASSOCIATED DESIGNERS, INC. LEXINGTON	CHEMISTRY-PHYSICS BUILDING ROOF REPLACEMENT Project No. 695.0	361,000	26,690	2/16/90
H & M ASSOCIATES OF KY LEXINGTON	FUNKHOUSER BUILDING WINDOW REPLACEMENT Project No. 685.0	336,000	27,120	2/16/90
DRIES + ALEXANDER ARCH. LEXINGTON	UNIVERSITY SPORTS TICKET SALES BUILDING Project No. 709.0	199,000	16,000	2/16/90
ROSS-FELDMAN ARCHITECTS LEXINGTON	SOMERSET COM. COLLEGE FINE ARTS/COMMUNITY CENTER Project No. 631.0	9,100,000	476,862	2/28/90
DRIES + ALEXANDER ARCH. LEXINGTON	HAGGIN HALL ENTRANCE MODIFICATIONS Project No. 712.0	199,000	5,480	2/28/90
HANSEN-LIND-MEYER CHICAGO, IL	UNIVERSITY OF KENTUCKY MASTER PLAN Project No. 539.0	502,974	470,000	2/28/90

**I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
DCT DESIGN GROUP, LTD. LEXINGTON	PHARMACY BUILDING ROOMS 001, 004 RENOVATION Project No. 676.0	\$ 200,000	\$ 12,690	3/30/90
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MADISONVILLE COM. COL THEATER FIT-UP Project No. 690.0	672,541	13,160	3/30/90
POTTS + POTTS ARCHITECTS LEXINGTON	KIRWAN/BLANDING FOOD SERVICE RENOVATION Project No. 641.0	199,000	8,680	3/30/90
BRAZLEY & BRAZLEY, INC. LOUISVILLE	ASHLAND COM. COLLEGE ELEVATOR CONTROLS REPLACEMENT Project No. 677.0	37,500	4,810	4/30/90
GPR PLANNERS COLLABORATIVE WHITE PLAINS, NEW YORK	MEDICAL CENTER RESEARCH BUILDING Project No. 665.0	550,000	211,100	4/30/90
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ELIZABETHTOWN COM. COL FINE ARTS/AUDITORIUM FEASIBILITY STUDY Project No. 689.0	16,000	15,500	5/31/90
POTTS & POTTS ARCHITECTS LEXINGTON	TAYLOR EDUCATION BLDG. ROOF REPLACEMENT Project No. 700.00	301,000	33,265	5/31/90
SCHIMPELER-CORRADINO ASSOC. LOUISVILLE	SPORTS CENTER ROAD RELOCATION Project No. 667.0	100,000	21,620	6/18/90
PRESNELL ASSOCIATES, INC. LOUISVILLE	ELIZABETHTOWN COM. COL ADMINISTRATION BUILDING ROOF REPLACEMENT Project No. 720.0	217,000	16,720	6/18/90
JEFFREY C. SMITH ARCHITECTS LOUISVILLE	JEFFERSON COM. COL (SW) ROOF SHINGLES REPLACEMENT Project No. 696.0	50,000	7,824	6/18/90
DAVID C. BANKS, ARCHITECT FRANKFORT	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	120,000 *	6/18/90

* Maximum fee through June 30, 1991; consultant used on an as-needed basis.

**I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
DAVID C. BANKS, ARCHITECT FRANKFORT	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 100,000 *	6/18/90
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC.	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL SERVICES	N/A	100,000 *	6/18/90
W. H. BROWN & ASSOCIATES SHELBYVILLE	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	N/A	85,000 *	6/18/90
CHRISMAN, MILLER, WODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	120,000 *	6/18/90
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOLOGICAL/CIVIL ENGINEERING SERVICES	N/A	150,000 *	6/18/90
GRW ENGINEERS, INC. LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	N/A	100,000 *	6/18/90
KAISER-TAULBEE ASSOCIATES LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ENGINEERING SERVICES	N/A	85,000 *	6/18/90
LAW ENGINEERING LEXINGTON	PER DIEM CONSULTANT GEOLOGICAL/CIVIL ENGINEERING SERVICES	N/A	150,000 *	6/18/90
LOSS CONTROL, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	120,000 *	6/18/90
MASON & HANGER ENGINEERS LEXINGTON	PER DIEM CONSULTANT ENGINEERING SERVICES	N/A	100,000 *	6/18/90
McCOY & McCOY, INC. MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	160,000 *	6/18/90
PEARSON + BENDER + JOLLY LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	100,000 *	6/18/90
STAGGS AND FISHER ENGINEERS LEXINGTON	PER DIEM CONSULTANT MECHANICAL/ELECTRICAL ENGINEERING SERVICES	N/A	100,000 *	6/18/90
WHITE, WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	100,000 *	6/18/90

* Maximum fee through June 30, 1991; consultant used on an as-needed basis.

**I. ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT & ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
WITT & ASSOCIATES, INC. LEXINGTON	PER DIEM CONSULTANT CIVIL ENGINEERING SERVICES	N/A	\$ 100,000 *	6/18/90
HOWARD K. BELL ENGINEERS LEXINGTON	MAYSVILLE COM. COLLEGE BOILER REPLACEMENT Project No. 714.0	\$ 45,000	7,948	6/30/90
KAISER-TAULBEE ASSOCIATES LEXINGTON	SOMERSET COM. COLLEGE CHILLER REPLACEMENT Project No. 623.0	75,000	9,345	6/30/90

* Maximum fee through June 30, 1991; consultant used on an as-needed basis.

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	CHANGE NUMBER	DATE TO LRC	AMOUNT
DQW, INC. & ASSOCIATES LEXINGTON	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	7	11/30/89	+ \$ 36,589
Nature of Change:	Additional services.			
Reason for Change:	Additional services required during construction due to delays caused by the contractor. The fee for these services has been back charged to the contractor resulting in no additional cost to the University.			
OMNI ARCHITECTS/CMW A JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	11	7/31/89	+ 12,683
Nature of Change:	Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services.			
Reason for Change:	Increase the consultant's fee for mechanical/electrical design in order to expand the mechanical/electrical systems of the new facility to better meet user program needs and adjust to recent changes in technology.			
OMNI ARCHITECTS/CMW A JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	12	9/29/89	+ 16,718
Nature of Change:	Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services.			
Reason for Change:	Additional work required to revise the Phase A design for the renovation of vacated spaces in conjunction with the Hospital Expansion project.			
TATE, FITZSIMONS ARCHITECTS NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	2	3/30/90	+ 500
Nature of Change:	Additional services required.			
Reason for Change:	Unforeseen condition of the dumbwaiter support system discovered during demolition.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
LERCH, BATES & ASSOCIATES FAIRFAX, VA	MEDICAL SCIENCES REPLACE FOUR ELEVATORS Project No. 311.0	2	10/31/89	- \$ 7,714
Nature of Change:	Decrease travel allowance.			
Reason for Change:	Actual travel expenses were less than the allowance.			
JOHNSON/ROMANOWITZ LEXINGTON	AGRICULTURAL REGULATORY SERVICES BUILDING Project No. 395.0	5	8/31/89	+ 2,075
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to design the relocation of the perchloric acid fume hood and the kjeldahl hood.			
CHRISMAN, MILLER, WOODFORD LEXINGTON	MEDICAL SCIENCES ANIMAL CARE RENOVATION Project No. 406.1	2	8/31/89	+ 22,902
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Additional services required under contract administration due to project construction schedule being extended for a period of 256 days.			
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS FACILITY Project No. 431.0	3	3/30/90	+ 4,500
Nature of Change:	Additional construction administration required.			
Reason for Change:	Consultant services required for the fit-up of electrical, mechanical and security items not included in original scope of services.			
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS FACILITY Project No. 431.0	4	6/29/90	+ 35,210
Nature of Change:	Additional services.			
Reason for Change:	Consultant services were required for the fit-up of electrical, mechanical and security items that were not included in the original scope of services.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
KNAPP, GIVEN, VEAZEY & SHOULDERS MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.1	5	8/31/89	+ \$ 2,310
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Extend the services of the Resident Inspector for one month.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0 & 475.1	4	7/31/89	+ 26,770
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Provide for the extension of the Resident Inspector services.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. SCREEN WALL Project No. 475.2	5	11/30/89	+ 17,966
Nature of Change:	Additional services required.			
Reason for Change:	Scope of services increased to include the design development, contract documents and construction administration of a fence with security gates.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0	6	5/31/90	+ 5,250
Nature of Change:	Resident Inspector Services.			
Reason for Change:	Provide for Resident Inspector services for the period of April 1, 1990 to May 31, 1990.			
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project No. 478.0	3	2/28/90	- 2,400
Nature of Change:	Decrease lump sum of contract.			
Reason for Change:	Original fee included an energy study of the building. Study was not required.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
POTTS + POTTS ARCHITECTS LEXINGTON	TENNIS BUILDING INTERIOR SPACE Project No. 510.0	2	1/31/90	- \$ 1,013
Nature of Change:	Deduct extra services contained in Amendment #1 for addition of bleachers.			
Reason for Change:	Additional services were not required as the bleachers were not changed.			
SHERMAN, CARTER, BARNHART LEXINGTON	BUSINESS AND ECONOMICS BUILDING Project No. 587.0	1	4/30/90	+ 162,970
Nature of Change:	Additional services.			
Reason for Change:	Additional services required to redesign to incorporate Owner stipulated changes converting the new addition from an office wing to a classroom wing. Additional services required to compress the design schedule by three months and add two major bid packages necessary to compress schedule. Additional contract administration services required due to an increase in overall construction period.			
COLLIGNON & NUNLEY ARCHITECTS OWENSBORO	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	1	12/22/89	+ 30,786
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Provide for a Resident Inspector from January 15, 1990 to February 15, 1991.			
KAISER-TAULBEE ASSOCIATES LEXINGTON	KENTUCKY CENTER FOR ENERGY RESEARCH Project No. 612.0	2	3/20/90	+ 8,000
Nature of Change:	Additional services.			
Reason for Change:	Revisions to the bid documents required in order to correct Owner's as-built documents so that they could be used for bidding. Work also included repackaging the construction work assignments and preparation of an alternate.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
LOUIS & HENRY GROUP, INC. LOUISVILLE	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH BUILDING Project No. 620.0	1	8/31/89	+\$ 21,940
Nature of Change:	Increase Phase A of architectural services.			
Reason for Change:	Additional services required to revise the schematic design to respond to owner changes in the architectural program.			
LOUIS & HENRY GROUP, INC. LOUISVILLE	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH BUILDING Project No. 620.0	2	6/29/90	+ 40,000
Nature of Change:	Provide Resident Inspector Services.			
Reason for Change:	Provide Resident Inspector services from June 1, 1990 to September 30, 1991.			
ARRASMITH, JUDD, RAPP, INC. ARCHITECTS	PRESTONSBURG COMMUNITY COLLEGE SCIENCE BUILDING Project No. 624.0	1	6/29/90	+ 34,125
Nature of Change:	Provide Resident Inspector Services.			
Reason for Change:	Provide Resident Inspector services from June 1, 1990 to June 30, 1991.			
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0	1	8/31/89	+ 1,822
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to include enclosure of existing balcony.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	1	1/31/90	+ \$ 1,880
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to include enclosure of existing balcony. Initial fee did not include this work.			
OMNI ARCHITECTS LEXINGTON	ASHLAND COMMUNITY COLLEGE LEARNING RESOURCE CENTER Project No. 630.0	1	12/22/89	+ 26,061
Nature of Change:	Additional services required.			
Reason for Change:	Increase in scope of services include parking and site development.			
OMNI ARCHITECTS LEXINGTON	ASHLAND COMMUNITY COLLEGE LEARNING RESOURCE CENTER Project No. 630.0	2	5/31/90	+ 34,125
Nature of Change:	Resident Inspector Services			
Reason for Change:	Provide Resident Inspector services for the period of May 1, 1990 to May 31, 1990.			
BOOKER ASSOCIATES, INC. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT Project No. 635.0		4/30/90	+ 3,463
Nature of Change:	Additional services.			
Reason for Change:	Additional services required due to contract documents being divided into two phases.			
POTTS & POTTS ARCHITECTS LEXINGTON	KIRWAN/BLANDING FOOD SERVICES RENOVATION Project No. 641.0	1	6/29/90	+ 53,505
Nature of Change:	Phase A-2, Phase B and Phase C Services			
Reason for Change:	Original contract provided for Phase A-1 services only. After scope of services was determined fees for each have been added to the contract.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
PROCTOR/DAVIS/RAY ENGR. LEXINGTON	COOPERSTOWN FIRE ALARM SYSTEM Project No. 651.0	1	1/31/90	+ \$ 5,200
Nature of Change:	Increase Phase C services.			
Reason for Change:	Project budget was increased from \$300,000 to \$600,000 for additional construction.			
FARRIS, HATCHER, TREMPER AND ASSOCIATES PADUCAH	PADUCAH COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 658.0	1	6/29/90	- 5,000
Nature of Change:	Project Cancellation.			
Reason for Change:	After Phase A estimates were received, it was determined that the University would cancel the project.			
JERRY A. TAYLOR & ASSOC. LEXINGTON	ASHLAND COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 659.0	1	1/31/90	- 5,580
Nature of Change:	Decrease total lump sum of contract.			
Reason for Change:	All work required under the referenced contract completed.			
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	1	1/31/90	+ 4,000
Nature of Change:	Increase lump sum total.			
Reason for Change:	Amount was incorrectly typed on original contract.			
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	2	3/30/90	+ 157,000
Nature of Change:	Establish Phase A, B & C services.			
Reason for Change:	Original contract established for feasibility study only.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
DRIES + ALEXANDER LEXINGTON	HAGGIN HALL ENTRANCE MODIFICATION Project No. 712.0	1	4/30/90	+ \$ 23,540
Nature of Change:	Expand services			
Reason for Change:	Scope of services to be expanded to include design documents and construction supervision.			
CENTRAL ASSOCIATED ENGR. LEXINGTON	FINE ARTS BUILDING FIRE SAFETY Project No.	1	1/31/90	- 2,850
Nature of Change:	Delete Phase C services from original contract.			
Reason for Change:	Project was cancelled at the completion of design and combined with Project 681.0, Fine Arts Building Renovation. This will ensure that all electrical systems are the same.			
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	GEOTECHNICAL ENGINEERING SERVICES 1 PER DIEM SERVICES (RELATING TO BUSINESS & ECONOMICS BUILDING)		9/29/89	+ 50,000
Nature of Change:	Budget realignment.			
Reason for Change:	The routine geotechnical exploration for the Business & Economics Building has yielded information that indicates a depression/sink hole in an area where structural columns are to be placed. Additional services are needed to confirm the limits of the sink hole.			
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	GEOTECHNICAL ENGR. SERVICES PER DIEM SERVICES (RELATING TO SOMERSET C. C. FINE ARTS/DEVELOPMENT CENTER)	2	4/30/90	- 0 -
Nature of Change:	Extended services.			
Reason for Change:	Due to the large area involved with the Somerset Building, the per project limit is not sufficient to cover all services required.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)**

<u>ARCHITECT & ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
DAVID C. BANKS, ARCHITECT FRANKFORT	ENVIRONMENTAL SERVICES PER DIEM SERVICES (RELATING TO HOSPITAL EXPANSION & RENOVATION PROJECT)	1	1/31/90	-0-

Nature of Change: The maximum fee for the Hospital Expansion and Renovation project was increased to \$50,000.

Reason for Change: The asbestos removal work on the renovation portion of the Hospital Expansion and Renovation project requires extensive services of the consultant. These services will exceed the original per project limit of \$20,000. This project will have two separate design and bid packages as the removal will be done in two phases.

MCCOY & MCCOY, INC. MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL TESTING & INVESTIGATION	1	10/31/89	-0-
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Nature of Change: Added specific services and hourly rates to their per diem contract.

Reason for Change: Added underground storage tank management services; installation of leak detection devices, and equipment.

CHRISMAN, MILLER, WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	1	10/31/89	-0-
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Nature of Change: Increased maximum fee for two assignments only.

Reason for Change: Increase due to an expanded scope of services from original programs for these projects.

III. ARCHITECT & ENGINEER FINAL PAYMENTS

ARCHITECT & ENGINEER	PROJECT	FINAL CONTRACT AMOUNT	DATE
ASSOCIATED ENGINEERS LEXINGTON	NOE HOUSE ROOF REPLACEMENT Project No. 552.0	\$ 4,680	9/20/89
ASSOCIATED ENGINEERS LEXINGTON	ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0	5,220	9/20/89
CHRISMAN, MILLER, WOODFORD LEXINGTON	MEDICAL SCIENCES ANIMAL CARE RENOV. Project No. 406.1	67,877	9/28/89
BOOKER ASSOCIATES LEXINGTON	HAGGIN HALL SHOWER REPAIRS PHASE II Project No. 441.0	69,718	10/23/89
LERCH BATES & ASSOCIATES LITTLETON, CO	MEDICAL SCIENCES REPLACE FOUR ELEVATORS Project No. 311.0	68,886	10/23/89
POTTS & POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	166,570	10/23/89
CHRISMAN, MILLER, WOODFORD LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	188,031	12/07/89
MCCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING GEOLOGICAL SURVEY RENOVATION Project No. 613.0	15,500	12/07/89
BICKEL GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP ANIMAL CARE FEASIBILITY STUDY Project No. 671.0	23,950	12/13/89
CENTRAL ASSOCIATED ENGINEERS LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	5,000	12/13/89
ASSOCIATED DESIGNERS LEXINGTON	COOPERSTOWN LOWER ROOFS REPLACEMENT Project No. 554.0	9,040	1/08/90
MASON & HANGER ENGINEERING LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUMEHOOD PHASE II Project No. 200.0	49,781	1/23/90
KOVERT, HAWKINS, ARMSTRONG JEFFERSONVILLE, IN	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING PHASE II Project No. 478.1	64,934	2/06/90

III. ARCHITECT & ENGINEER FINAL PAYMENTS
(Continued)

ARCHITECT & ENGINEER	PROJECT	FINAL CONTRACT AMOUNT	DATE
ARRASMITH, JUDD, RAPP LOUISVILLE	PRESTONSBURG COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE BUILDING Project No. 471.0	\$ 159,225	2/13/90
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0	30,926	2/15/90
MASON & HANGER ENGINEERING LEXINGTON	MCVEY HALL COMMUNICATIONS Project No. 597.0	18,732	2/26/90
TATE - FITZSIMONS ARCHITECT LEXINGTON	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	25,490	3/06/90
TATE - FITZSIMONS ARCHITECT LEXINGTON	MEDICAL CENTER CAFETERIA RENOVATION Project No. 304.7	46,020	5/15/90
DQW, INC. & ASSOCIATES LEXINGTON	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	320,587	5/23/90
FARRIS, HATCHER, TRAMPER PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	15,000	6/12/90
JOHNSON/ROMANOWITZ LEXINGTON	HOSPITAL - FIRST FLOOR REFURBISHING Project No. 526.0	49,005	6/22/90

IV. CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
NATIONAL AVENUE CONT., INC. LEXINGTON	AGRICULTURE REGULATORY SERVICES - FLOORING Project No. 395.2	\$ 26,800	7/10/89	2	\$ 26,800 - 33,515
PEARCE-PHELPS ROOFING, INC. LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	71,440	7/13/89	5	71,440 - 106,149
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE III Project No. 524.1	38,990	7/24/89	1	38,990
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL - FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	26,798	7/24/89	3	26,798 - 37,500
NATIONAL AVENUE CONT., INC. LEXINGTON	AGRICULTURE REGULATORY SERVICES - FLOORING Project No. 395.2	26,800	7/10/89	2	26,800 - 33,515
PEARCE-PHELPS ROOFING, INC. LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	71,440	7/13/89	5	71,440 - 106,149
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL - FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	26,798	7/24/89	3	26,798 - 37,500
BEAR ELECTRIC COMPANY, INC. OWENSBORO	HOPKINSVILLE COMM. COLLEGE ACADEMIC BLDG. EMERGENCY LIGHTING Project No. 607.0	17,509	7/26/89	2	17,509 - 20,500
LAGCO, INC. LEXINGTON	AGRICULTURE REGULATORY SER. PERCHLORIC FUME HOOD RELOCATION Project No. 395.4	23,969	8/1/89	1	23,969
MOUNTAIN CONSTRUCTION CO. HARLAN	SOUTHEAST COMM. COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0	189,910	8/25/89	3	189,910 - 284,000
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL - COOLING TOWER REPAIR Project No. 655.0	45,885	9/27/89	4	45,885 - 65,660
NORWOOD CONSTRUCTION CO. LEXINGTON	DEMOLITION OF HOUSES CLIFTON, ROSE PENNSYLVANIA & GAZETTE Project No. 675.0	13,958	10/03/89	4	13,958 - 28,650

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
L.W.J. GENERAL CONTRACTORS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #1 Project No. 576.1	\$ 462,707	10/16/89	7	\$ 462,707 - 665,000
R & R DRYWALL LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #3 Project No. 576.1	294,000	10/16/89	5	294,000 - 359,929
B. L. RADDEN & SONS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #4 Project No. 576.1	59,479	10/16/89	4	59,479 - 99,400
BROWN SPRINKLER LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #5 Project No. 576.1	30,600	10/16/89	3	30,600 - 36,680
JOHNSON CONTROLS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #7 Project No. 576.1	214,504	10/16/89	1	214,504
ELECTRA OF LEXINGTON LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #8 Project No. 576.1	302,000	10/16/89	8	302,000 - 306,000
COX MECHANICAL LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #6 Project No. 576.1	598,800	10/17/89	5	598,800 - 790,749
ECONOMY GLASS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #2 Project No. 576.1	47,930	10/18/89	1	47,930
BRI-DEN CO. ASHLAND	ASHLAND COMMUNITY COLLEGE PHASE I ROOF REPLACEMENT Project No. 491.0	133,200	10/31/89	2	133,200 - 187,411
P & R ROOFING LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT II Project No. 496.0	170,550	11/6/89	2	170,550 - 199,945
WOODFORD BUILDERS LEXINGTON	HOSPITAL 3RD FLOOR RENOV. HOSPITAL DIAGNOSTIC SERVICES UPGRADE Project Nos. 580.0 & 645.0	1,228,000	11/06/89	3	1,228,000 - 1,277,000
MCKNIGHT & ASSOCIATES, INC. LONDON	SOUTHEAST COMM. COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	248,000	11/08/89	2	248,000 - 263,000

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
MARCO CONSTRUCTION CO. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	\$ 504,300	11/17/89	3	\$ 504,300 - 544,800
E. C. MATTHEWS COMPANY LEXINGTON	ARBORETUM - PHASE I Project No. 453.1	312,300	11/13/89	4	312,300 - 399,800
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMM. COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 659.0	144,290	11/27/89	2	144,290 - 148,465
WOODFORD BUILDERS LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	2,059,000	12/04/89	5	2,059,000 - 2,170,935
PRIMAX WINDOW CO., INC. LOUISVILLE	KASTLE HALL WINDOW REPLACEMENT Project No. 697.0	122,470	12/11/89	3	122,470 - 156,662
PRIMAX WINDOW CO., INC. LOUISVILLE	MCVEY HALL WINDOW REPLACEMENT Project No. 698.0	119,275	12/13/89	3	119,275 - 221,300
CAREY CONSTRUCTION CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE I Project No. 635.0	39,000	12/13/89	3	39,000 - 66,939
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMM. COLLEGE - AUDITORIUM Project No. 596.0	2,442,000	12/14/89	8	2,442,000 - 2,616,991
TRUJILLO CONSTRUCTION PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE - SCIENCE BUILDING BID PACK #1 - EARTHWORK Project No. 624.0	93,000	12/14/89	1	93,000
TREESCAPES, INC. PARIS	ARBORETUM - HOME DEMONSTRATION GARDEN BID PACK #2 Project No. 453.1	49,331	12/19/89	4	49,331 - 135,125
FINNEY COMPANY LEXINGTON	BUSINESS & ECONOMICS BLDG. UTILITIES Project No. 587.1	747,000	12/21/89	2	747,000 - 796,000
CANTRELL ROOFING CORP. WEST LIBERTY	AMBULATORY WING ROOF REPLACEMENT Project No. 547.0	18,875	12/22/89	2	18,875 - 57,913

**IV. CONSTRUCTION CONTRACTS
(CONTINUED)**

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
TRS dba SECOA MINNEAPOLIS, MN	MADISONVILLE COM. COLLEGE AUDITORIUM FIRE CURTAIN Project No. 690.2	50,799	12/28/89	5	50,799 - 69,850
MCNABB CONSTRUCTION CO. MT. STERLING	DEMOLITION OF STRUCTURES EIGHT BUILDINGS Project No. 711.0	21,500	1/3/90	4	21,500 - 41,250
STRUCK CONSTRUCTION CO. LOUISVILLE	BUSINESS & ECONOMICS BLDG. BID PACK #3; TRADE CONTRACT #1 - SITE PREP, CONCRETE & GENERAL Project No. 587.0	255,975	1/11/90	3	255,975 - 289,100
MCKINNEY DRILLING COMPANY LOUISVILLE	BUSINESS & ECONOMICS BLDG. BID PACK #3; TRADE CONTRACT #2 - DRILLED FOUNDATION CAISSONS Project No. 587.0	306,811	1/11/90	3	306,811 - 358,000
ROBERTSON MECHANICAL & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #3; TRADE CONTRACT #3 - MECHANICAL WORK Project No. 587.0	237,700	1/11/90	1	237,700
E. C. MATTHEWS, INC. LEXINGTON	LANCASTER AQUATIC CENTER DIVING TOWER Project No. 648.0	239,650	1/24/90	2	239,650 - 239,900
RICHARD WHEELER LEXINGTON	BRECKINRIDGE HALL ELEVATOR Project No. 617.0	114,336	2/1/90	9	114,336 - 160,966
L. STANDAFER COMPANY LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project No. 431.6	5,520	2/26/90	1	5,520
CENTRAL ROCK & MINERAL CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	337,392	2/27/90	4	337,392 - 363,000
MARCO CONSTRUCTION/ RUDD DRYWALL NICHOLASVILLE	MINING & MINERALS GAS STORAGE BUILDING Project No. 115.7	37,600	3/5/90	3	37,600 - 49,790
WOODFORD BUILDERS, INC. LEXINGTON	HOSPITAL EXPANSION RENOV. WORK CAT. #1 - GENERAL CONSTRUCTION Project No. 304.9	1,742,000	3/5/90	5	1,742,000 - 1,798,760
GREEN MECHANICAL CONST. GLASGOW	HOSPITAL EXPANSION RENOV. WORK CAT. #2 - MECHANICAL Project No. 304.9	1,012,524	3/5/90	2	1,012,524 - 1,096,000

**IV. CONSTRUCTION CONTRACTS
(CONTINUED)**

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION RENOV. WORK CAT. #4 - ELECTRICAL Project No. 304.9	\$ 797,000	3/7/90	4	\$ 797,000 - 1,114,900
THE SHERWIN WILLIAMS CO. HAZARD	HAZARD COMMUNITY COLLEGE FLOOR TILE Project No. 642	8,141	3/10/90	5	8,141 - 34,500
C. C. LEE, INC. LEXINGTON	ROBOTICS CENTER MODIFICATIONS Project No. 431.6	157,647	3/16/90	3	157,647 - 169,200
LANDMARK SPRINKLERS, INC. LEXINGTON	HOSPITAL/MEDICAL CENTER BASEMENT LEVEL SUPPRESSION SYSTEM Project No. 542.1	157,900	3/19/90	4	157,900 - 248,749
D. W. WILBURN, INC. LEXINGTON	GATEWAY SIGNAGE - CORNER OF ROSE AND MAXWELL Project No. 473.0	34,900	3/26/90	3	34,900 - 56,600
ARROW ELECTRIC COMPANY LEXINGTON	ELECTRICAL SUBSTATION #2 EXPANSION Project No. 615.0	170,000	3/27/90	3	170,000 - 181,787
ROMAC, INC. LOUISVILLE	HOSPITAL EXPANSION RENOV. ASBESTOS ABATEMENT OF MECHANICAL RM. H-46 Project No. 304.9	244,874	3/30/90	7	244,874 - 739,290
PHIPPS PIPELINE CONTRACTING LEXINGTON	COOLING PLANTS #1 & #2 CHILLED WATER CONNECTIONS Project No. 614.0	391,995	4/17/90	9	391,995 - 461,900
VOCON, INC. HUNTINGTON, WVA	ASHLAND COMMUNITY COLLEGE LEARNING RESOURCE CENTER & ENERGY MANAGEMENT Project No. 630.0 & 659.0	3,459,219	4/17/90	3	3,459,219 - 3,589,000
CLEAVER CONSTRUCTION CO. MURRAY	PADUCAH COMMUNITY COLLEGE LOADING BRIDGE Project No. 513.0	65,500	4/19/90	3	65,500 - 84,600
DAVID ENGINEERING & CONST. LOUISVILLE	SHAWNEETOWN ROOF/TYPE A Project No. 537.0	136,347	4/23/90	3	136,347 - 152,114
DAVID ENGINEERING & CONST. LOUISVILLE	PEAK HOUSE/PRYOR HOUSE ROOFS Project No. 556.0	139,347	4/23/90	4	139,347 - 204,295

**IV. CONSTRUCTION CONTRACTS
(CONTINUED)**

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
BROWN SPRINKLER LEXINGTON	HOSPITAL EXPANSION - RENOV. WORK CAT. #3 - FIRE PROTECTION Project No. 304.9	\$ 52,400	4/23/90	2	\$ 52,400 - 59,975
M. B. MCGREGOR COMPANY LEXINGTON	STUDENT CENTER BALLROOM REDECORATION Project No. 686.0	107,341	5/01/90	5	107,341 - 150,325
RICHARD WHEELER CONST. LEXINGTON	SHAWNEETOWN KITCHEN UNITS REPLACEMENT PHASE II Project No. 694.0	67,600	5/02/90	5	67,500 - 82,977
SPARKS-TERRELL LUMBER LEXINGTON	STUDENT CENTER THEATER REDECORATION Project No. 686.0	66,900	5/03/90	4	66,900 - 84,766
T-N-T LAWN IRRIGATION HARRODSBURG	BASEBALL FIELD IRRIGATION SYSTEM Project No. 706.0	17,255	5/07/90	3	17,255 - 34,900
SPARKS-TERRELL LUMBER LEXINGTON	LEXINGTON COMMUNITY COLLEGE HANDICAP DOORS Project No. 649.0	5,985	5/10/90	3	5,985 - 9,750
W. B. FOSSON & SCNS ASHLAND	ASHLAND COMMUNITY COLLEGE PANIC HARDWARE INSTALLATION Project No. 585.0	16,700	5/10/90	2	16,700 - 16,800
WOODFORD BUILDERS LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #4 - BUILDING STRUCTURE WORK Project No. 587.0	629,000	5/29/90	1	629,000
WOODFORD BUILDERS LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #5 - BUILDING ENCLOSURE WORK Project No. 587.0	1,074,800	5/29/90	2	1,074,800 - 1,088,700
BETHEL DAHLEM CONSTRUCTION LOUISVILLE	PADUCAH COMM. COLLEGE ALLIED HEALTH CENTER Project No. 620.0	4,121,000	5/31/90	6	4,121,000 - 4,590,000
AIR SYSTEMS ENGINEERING LEXINGTON	KENTUCKY CENTER FOR ENERGY RESEARCH - HVAC & EXHAUST SYSTEM Project No. 612.0	290,950	6/01/90	4	290,950 - 349,197

IV. CONSTRUCTION CONTRACTS
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
P & R ROOFING LEXINGTON	CHEMISTRY/PHYSICS BUILDING ROOF REPLACEMENT Project No. 695.0	\$ 199,960	6/01/90	5	\$ 199,960 - 254,400
E. C. MATTHEWS LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #7 - GENERAL TRADES WORK Project No. 587.0	766,700	6/05/90	4	766,700 - 858,000
E. C. MATTHEWS LEXINGTON	AGRICULTURAL ENGINEERING BLDG. SCREENWALL Project No. 475.2	92,000	6/05/90	2	92,000 - 97,992
D. W. WILBURN, INC. LEXINGTON	PRESTONSBURG C. C. SCIENCE BUILDING Project No. 624.0	2,381,800	6/06/90	5	2,381,800 - 2,537,700
D. W. WILBURN, INC. LEXINGTON	JEWELL HALL ROOF & GUTTER REPLACEMENT Project No. 563.0	154,500	6/06/90	2	154,500 - 189,100
CUTTER-PULLIAM ELECTRIC LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #12 - ELECTRICAL WORK Project No. 587.0	482,000	06/14/90	6	482,000 - 676,686
GREEN MECHANICAL GLASGOW	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #9 - PLUMBING/MECH/HVAC WORK Project No. 587.0	705,000	6/14/90	4	705,000 - 793,000
BROWN SPRINKLER LEXINGTON	BUSINESS & ECONOMICS BLDG. BID PACK #4; TRADE CONTRACT #10 - FIRE PROTECTION WORK Project No. 587.0	98,300	6/15/90	3	98,300 - 116,695
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING LOW RISES EXTERIOR CONCRETE COLUMNS REPAIR Project No. 693.0	17,245	6/20/90	5	17,245 - 45,950

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION CO. NICHOLASVILLE	MINING & MINERALS RESOURCE BLDG. GAS STORAGE BUILDING Project No. 115.7	1	5/30/90	- \$ 420.00
Nature of Change:	1. Delete one alternate light fixture. 2. Paint conduit not included in original specifications.			
Reason for Change:	1. Change due to availability of specified fixture. 2. Painting of conduit was not included in original paint specification and has been deemed advisable.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	37	10/18/89	- 3,938.00
Nature of Change:	Replace existing landscape items which have died and are unacceptable.			
Reason for Change:	To expedite the landscape work.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #1; CAT. #1 - GENERAL WORK Project No. 304.1	6	10/23/89	- 3,390.00
Nature of Change:	Removal of 12", 45° elbows on sanitary sewer in the steam tunnel. (Work performed by MMR/Wallace Constructors.)			
Reason for Change:	Work requested to be performed by Reliable (sub-contractor for Lichtefeld-Massaró). Reliable refused to perform work.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK. #2, WORK CAT. #1 - GENERAL Project No. 304.1	7	8/1/89	+ \$ 14,279.00
Nature of Change:	<ol style="list-style-type: none"> 1. Change Hollow Metal window. 2. Change out doors opening into OR. 3. Add intercoms in two rooms. 4. Add 144 paper towel dispensers. 5. Add two additional feet of ceramic tile wainscot at OR's. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Window indicated on drawings is a fixed glass. Hospital requires a sliding window in order to pass through specimen samples into the lab from the corridor. 2. Hospital determined that doors into OR's should have vision lights to prevent accidents. 3. These rooms are isolation rooms which are fully enclosed. Need intercoms to allow nurses to hear the monitor alarms. 4. Drawings call for paper towel dispensers only in the restrooms. The Hospital requires a paper towel dispenser at each hand washing sink throughout the building. 5. The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness. 			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK. #2; WORK CAT. #1 - GENERAL Project No. 304.1	8	8/21/89	+ 53,705.00
Nature of Change:	<ol style="list-style-type: none"> 1. Make revisions to cafeteria storage area as required. 2. Add casework, sink and electric outlet in room HAL625. 3. Change room finish schedule. 4. Make revisions to emergency room triage/clerks area. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Project to renovate existing cafeteria required this change to add seating rather than storage. That project cannot heat/cool this area due to concrete beams above ceiling. 2. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items. 3. Some changes on room finish schedule were to provide continuity in floor finish from room or corridor. Additional terrazzo and other changes were necessary to provide better control of spills and cleaning of high use areas. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANK MESSER & SONS CONSTR. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II TWO ADDITIONAL FLOORS BID PACK #2, WORK CAT. #1 - GENERAL Project No. 304.1	9	10/18/89	+ \$ 20,590.00
Nature of Change:	<ol style="list-style-type: none"> 1. Change water-cooled condensing unit to air cooled. 2. Install concrete curbs in mechanical room. 3. Add steel to support the masonry wall at the north trash/linen chutes. 4. Additional requirements for Hill-Rom power columns. 5. Supply 15 wall mounted transformers including transformers, ophthalmoscopes and otoscopes. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Life cycle/valve engineering indicates that an air cooled unit would be more economical in the long run with the wasted water. HOK documents indicated no water hook-up or floor drain or electric hook-up for condensing unit, thus requiring additional cost which is now reduced. 2. Curb needed to keep metal plenum wall above floor where water may collect at intake and discharge louvers. 3. Structural design changes to add the mechanical sleeves to this area as a result of the added floors to the original building. 4. Provide for future overhead X-ray unit, thus eliminating major renovation cost at time of future installation. Power columns provide for better functional usage in trauma bay. 5. Specified model is now obsolete; therefore, changed to cord handsets that remain wall mounted. 			
FRANK MESSER & SONS CONSTR. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II TWO ADDITIONAL FLOORS BID PACK #2, WORK CAT. #1 - GENERAL Project No. 304.1	10	12/12/89	+ 30,828.00
Nature of Change:	<ol style="list-style-type: none"> 1. Changes in Sub-sterile room. 2. Added CT Scan Room. 3. Add a pass-thru window at Room HAL630. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Sterilizer to be used requires service clearance at each side, thus requiring relocation of warming cabinet and shift of door location. Drain & cold water to sterilizer was not called for on contract drawings. 2. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. 3. The pass-thru window is needed to permit person at desk to receive specimens, without delivery person entering lab. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #1 - GENERAL WORK Project No. 304.1	12	4/25/90	+ \$ 20,265.00
Nature of Change:	<ol style="list-style-type: none"> 1. Reconstruction of the Emergency Road. 2. Build concrete retaining wall at existing fuel tank. 3. Additional support to the exit stair of the elevator machine room to the existing hospital roof. 4. Install four foot safety fence from parking garage to the existing hospital after removal of walkway. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Road construction is required in order to facilitate early utilization of the new loading dock for delivery of furnishings and medical equipment prior to occupancy of the building. 2. Existing fuel tank close to new curb at drive, would not be hidden by screenwall and therefore unprotected and exposed to view. 3. During installation of new stair assembly at exterior, uncovered that stair support sits on metal deck over existing south stair which is insufficient to support this load. 4. To alleviate a potential safety hazard and security problem during the site work phase of this project. 			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #1 - GENERAL WORK Project No. 304.1	13	5/16/90	+ 10,500.00
Nature of Change:	<ol style="list-style-type: none"> 1. Rework the nurse station at HA0201. 2. Furnish and install fire treated plywood telephone boards at each electrical closet. 3. Revisions to various areas of site work. 4. Additional work required on emergency drive due to unsuitable material encountered below subgrade. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To install master nurse call station into millwork, rather than be desk mounted. Original installation would not allow proper working room. 2. Necessary for UK Communications Division to install phone system. Not called for in contract documents. 3. Change required to facilitate change of ticket booth for garage and change of parking and pedestrian circulation and meet handicap requirements. 4. Road construction is required at this time in order to facilitate early utilization of the new loading dock for delivery of furnishings and medical equipment prior to occupancy of the building. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #1 - GENERAL WORK Project No. 304.1	14	6/27/90	+ \$ 10,800.00
Nature of Change:	<ol style="list-style-type: none"> 1. Remove steel ships ladder into the steam tunnel and install an alternating tread stair. 2. Provide and install a single flat steel astragale at various hollow metal door pairs. 3. Fourth floor shell communication closet. 4. Revision to the access flooring. 			
Reason or Change:	<ol style="list-style-type: none"> 1. Change required by University Safety & Fire Prevention Office per OSHA standards. 2. Required for doors in smoke wall per code. Not called for in contract documents. 3. Changes required by University Communications due to inadequate space available on 6th floor for the larger quantity of computer and telephone wiring on this floor (6th). 4. User requires openings in access flooring for cable. Floor openings were not called for in contract documents. 			
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #2 - SHEET METAL Project No. 304.1	2	9/11/89	+ 4,652.00
Nature of Change:	<ol style="list-style-type: none"> 1. Provide tapered insulation from approximately 6" original depth to a new depth of 16" to obtain proper slope to the relocated roof drains over the electrical room. 2. Furnish and install downspouts for the double ridge skylight. 			
Reason for Change:	<ol style="list-style-type: none"> 1. A code violation, installation over electrical room and from a safe practice standpoint, to prevent potential damages to the electrical gear in the event there was a problem. 2. Drawing indicated the drain for the skylight to be tied to pipe drains in column; however, plumbing drawings by HOK did not call for any pipe drains in columns; therefore, they were not installed. Skylight downspouts were then revised as herein indicated to receive drainage. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
TRI-STATE ROOFING & SHEET METAL CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #2 - SHEET METAL WORK Project No. 304.1	4	4/25/90	+ \$ 1,976.00
Nature of Change:	Cut existing built-up roof and insulation to allow for additional exit stair support steel on the existing Hospital to be installed, then install built-up roof and insulation.			
Reason for Change:	During installation of new stair assembly at exterior, it was discovered that stair support sits on metal deck over existing south stair which is insufficient to support this load.			
BROADVIEW ACOUSTICAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #3 - PLASTER, FIREPROOFING AND DRYWALL Project No. 304.1	2	9/22/89	+ 8,851.00
Nature of Change:	1.	Add two additional feet of ceramic tile wainscot at each operating room.		
	2.	Change lay-in ceilings in ICU patient rooms and soiled utility rooms to drywall.		
Reason for Change:	1.	The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness.		
	2.	State DHR requires drywall type ceilings at isolation area rooms.		
DANVILLE ACOUSTICS, INC. DANVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #4 - ACOUSTICAL CEILINGS Project No. 304.1	1	11/7/89	+5,554.00
Nature of Change:	1.	Work required for two additional floors.		
	2.	Revisions to materials management room.		
	3.	Change lay-in ceilings in ICU patient rooms and soiled utility rooms to drywall.		
Reason for Change:	1.	Change order work required by two additional floors.		
	2.	Refine purchasing space to be effective with current requirements.		
	3.	State DHR requires drywall type ceilings at isolation area rooms.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #5 - RESILIENT FLOORING/CARPETING Project No. 304.1	1	10/18/89	+ \$15,644.00
Nature of Change:	Changes on room finish schedule to provide continuity in floor finish from room to corridor. Additional terrazzo was necessary to provide better control of spills and cleaning of high use areas.			
Reason for Change:	Some changes on room finish schedule were to provide continuity in floor finish from room or corridor. Additional terrazzo and other changes were necessary to provide better control of spills and cleaning of high use areas.			
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION/PHASE II BID PACK #2, WORK CAT. #5 - RESILIENT FLOORING/CARPETING Project 304.1	2	2/27/90	+ 1,561.00
Nature of Change:	Add CT Scan room.			
Reason for Change:	The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. The CT Scan is an absolute necessity for diagnosing patient head injury cases.			
B. L. RADDEN & SON, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #6 - PAINTING & VINYL WALLCOVERING Project No. 304.1	1	11/7/89	+ 4,363.00
Nature of Change:	1. Work required for the two additional floors. 2. Vinyl wallcovering changes. 3. Revisions to emergency room triage/clerks area.			
Reason for Change:	1. Change order work required by two additional floors. 2. Wallcovering that was specified did not follow Hospital's original request. Selections made are of several different brands and colors providing higher quality appearance and aesthetic appeal. 3. Hospital requested revision to provide communication between clerks/triage nurse. Previous design did not permit this interaction. New design will accomplish greater ease of use by patients and staff.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
B. L. RADDEN & SON INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #6 - PAINTING & VINYL WALLCOVERING Project No. 304.1	2	6/27/90	+ \$ 2,047.00
Nature of Change:	<ol style="list-style-type: none"> 1. Paint miscellaneous metal located at the new walkway leading from the parking garage to the Hospital. 2. Add drywall openings cut for view into HAL645 and 619. 3. Raise the Belvedere shampoo sink in HAE021. 4. Paint the steam supply and return piping that is scheduled to be reinsulated in the steam tunnel. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing lintels and access door in ceiling are rusting very badly and will affect adjoining new plaster ceiling. Structural metal clips are exposed in the walkway and will be painted, instead of covering. 2. For view into Room HAL645 from Room HAL619, required for night time operations of lab when staffing is low. 3. Relocation needed in order to align properly with a patient on a stretcher. 4. Must be reinsulated due to damaged insulation from the tunnel flooding. 			
DOVER ELEVATOR COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #7 - ELEVATOR WORK Project No. 304.1	2	05/16/90	+ 576.00
Nature of Change:	Relocate the hall lanterns on elevators.			
Reason for Change:	Due to constricted floor to floor heights in transition from existing building to new building. Will need to lower ceilings in transportation tower due to ductwork, piping and structure.			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	5	08/16/89	+ 168,408.00
Nature of Change:	<ol style="list-style-type: none"> 1. Revisions to fuel oil separator. 2. Changes to air handling units and ductwork on 1st floor. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Fuel oil separator would not fit in allotted space; had to be relocated. 2. Uncovered problem of having inadequate cooling air quantities for the amount of medical equipment to be placed inside patient rooms. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	6	9/6/89	- \$122,243.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add pipe supports in Mechanical Rooms. 2. Relocate roof drains from over electrical room. 3. Omit stainless steel sinks. 4. Delete medical gas system. 			
Reason for Change:	<ol style="list-style-type: none"> 1. The addition of the pipe supports eliminated the need for the University to have the asbestos removed. The pipe supports are free standing and therefore, there was no additional cost for abatement. 2. Required by state electrical inspector. Rain water leader system was directly over electrical room. 3. Duplication with countertop as sink in integral. 4. The revised Medical Gas System is being accomplished by separate contract in order to reduce costs. 			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	7	9/22/89	+ 32,855.00
Nature of Change:	<ol style="list-style-type: none"> 1. Additional work required in Lab Breakroom. 2. Install connections for ice machines in five rooms. 3. Revisions to x-ray processing room. 4. Revisions to emergency room triage/clerks area. 5. Provide plumbing for disinfecting unit and booster heater. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items. 2. Connections were not shown on contract documents from HOK. 3. Moved wall back from corridor to permit space for x-ray film processor. No mechanical supply and waste lines indicated on drawings to hook-up processor. Provide required "in-use" light over door and darkroom light which were not on original drawings. 4. To provide communication between clerks and triage nurse. Previous design did not permit this interaction. 5. These mechanical and plumbing connections were not shown on the original HOK drawings. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MMR/WALLACE CONST. JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II BID PACK #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	8	11/28/89	+ \$ 69,424.00
Nature of Change:	<ol style="list-style-type: none"> 1. Requirements for Hill-Rom power columns. 2. Change sink type P-8B in Room HA0229. 3. Revisions to the P-2C lavatories in the public toilets on the sixth floor. 4. Added CT Scan Room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To provide for future overhead x-ray unit. Power columns provide for better functional usage in trauma bay. 2. Incorrectly identified on drawings. 3. HOK specified under counter mounted sinks which is not in keeping with the program and architectural drawings. 4. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service 			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACKAGE #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	10	02/21/90	+ 18,079.00
Nature of Change:	<ol style="list-style-type: none"> 1. Provide waste holding tank. 2. Revisions to natural gas piping system. 3. Connect isolation Rooms HA0225 and HA0226 to an exhaust duct. 4. Add piping for future ice machine and provide new circuit in Panelboard 2LE for connection to new receptacle for ice machine. 5. Changes to sink sizes in Room HAE016. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Campus Safety Officer requested that we provide holding tank to retain hazardous spills rather than holding in-room via curb and not connecting to sewer system. 2. Discrepancies in pipe sizing shown on contract drawings. 3. Isolation rooms required by code to have exhausted air. Drawings indicate a connection to return air duct. 4. Hospital requested installation of two ice machines. Ice used for various surgical procedures and post surgery recovery for ice packs. This was a program requirement. 5. Sinks too large and deep to properly attach to countertop. Down sized sinks to permit attachment. Design error. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	11	02/21/90	+ \$ 48,396.00
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish labor and material to install the new duplex sump pump in steam tunnel. 2. Add exhaust capabilities to 4 ICU isolation patient rooms. 3. Insulation for the high pressure steam piping in the steam tunnel. 4. Add motorized automatic dampers to exhaust fans. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Severe flooding of steam tunnel and damage to pipe insulation. 2. The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on Contract Documents. HOK error. 3. This insulation was damaged when the tunnel flooded. 4. To control infiltration of air into mechanical rooms from outside. HOK error. 			
MMR/WALLACE, INC. JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II BID PACK #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	12	04/02/90	- 8,222.00
Nature of Change:	<ol style="list-style-type: none"> 1. Disconnect grille from return air duct 1 in corridor and connect to 12"x 8" exhaust duct above HA0217 ceiling with 6"x 6" exhaust duct. 2. Install up ladders in the water meter pit vaults. 3. Delete plumbing as part of the daylight x-ray processor in room HAE045A. 4. Delete sleeves at non-rated walls. 5. On two sinks furnish an Elkay K-18B flat grid strainer with tailpiece. 6. Down-size the sinks in three rooms to allow them to fit into base cabinets. 			
Reason for Change:	<ol style="list-style-type: none"> 1. This gas cylinder storage room which is required by Code to have 100% exhaust air. This was not indicated on drawings. 2. Not shown on drawings or documents, but required by Kentucky-American Water Company. This is a new requirement. 3. With added x-ray processor and darkroom at CT scan, relocation of daylight processor not necessary. 4. Pipe sleeves are not required by code at non-rated partitions. 5. Sinks at integral stainless steel countertops for ICU/CCU patient room swivettes. Specifications did not indicated strainer for sink. 6. Original size sinks did not fit base cabinets, could not attach the sink clips. Wrong size sinks were specified, they did not coordinate with architectural drawings. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
BROWN SPRINKLER CORP. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #10 - FIRE PROTECTION Project No. 304.1	2	10/18/89	+ \$ 14,572.00
Nature of Change:	Provide a double interlock pre-action system in the operating suite area.			
Reason for Change:	Not indicated on bid documents or addendum. This system prevents the sprinkler system from going off on the patients in the OR suites.			
JOHNSON CONTROLS, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #11 - CONTROL SYSTEM Project No. 304.1	1	9/22/89	+ 10,537.00
Nature of Change:	1.	Provide operators on inlet vanes for axial flow fans.		
	2.	Additional work required. Information not supplied to Johnson at time of the original bid.		
	3.	Provide high pressure air to two 4" and one 1" pressure reducing stations.		
	4.	Tie-in alarm panel to the existing DSC panel in the mechanical room; install the point in DSC panel, program software and run all conduit and wire for the tie-in.		
Reason for Change:	1.	Operators were not specified in Temperature Controls bid package.		
	2.	Addition of two additional floors to the original contract resulted in work above the original scope.		
	3.	Not indicated on contract drawings.		
	4.	To alarm the existing sump pump in the event of any failure or problem to alert maintenance staff. Existing pump changed to duplex, alarm not shown in design.		
JOHNSON CONTROLS, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT.#11 - BLDG. MANAGEMENT & CONTROL SYSTEMS Project No. 304.1	2	2/27/90	+ 12,022.00
Nature of Change:	1.	Add exhaust capabilities to four (4) isolation patient rooms.		
	2.	Change air compressor circuits to include increasing conduit and wire size and additional 100 amp, 3 pole breakers.		
	3.	Provide 48" X 48" motorized automatic dampers at ground floor, first floor, second floor and third floor mechanical rooms.		
Reason for Change:	1.	The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on contract documents.		
	2.	Change required due to the compressors being changed from a 7-1/2 hp to a 15 hp.		
	3.	To control infiltration of air into mechanical rooms from outside.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
THERMAL BALANCE, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #12 - TESTING, ADJUSTING & BALANCING Project No. 304.1	1	02/21/90	+ \$ 1,658.00
Nature of Change:	1. Added Cat Scan Room. 2. Add exhaust capabilities to four (4) ICU isolation rooms.			
Reason for Change:	1. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. The CT Scan is an absolute necessity for diagnosing patient head injury cases. 2. The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on contract documents.			
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	5	7/5/89	+ 85,017.00
Nature of Change:	1. Additional wiring, disconnects and rough-in for roll and cosa/tron. 2. Add receptacles and disconnects at translogic stations. 3. Install wiring from hair dryers to circuits. 4. Changes required on approximately eleven doors. 5. Change diffusers and light fixtures in ICU patient rooms. 6. Add panelboards and provide circuits to service column.			
Reason for Change:	1. Review of drawings revealed that the filter was to be connected to the motor feeder. This revision will provide separate circuits to the AHU filters in order to avoid compromising the motor protection. 2. The Pneumatic Tube System was a late bid and the original drawings did not indicate the electrical requirements for the pneumatic tube equipment. 3. Bid drawings did not show electric circuits for the hair dryers nor were they shown in electrical specifications. 4. Several door hold-open devices must be changed from wall mounted to frame (closer type) mounted. There were a few doors where the electrical rough-in was not indicated for closers or smoke detectors. This will now coordinate the hardware and electrical requirements. 5. State DHR requirement. 6. Upon current review of the equipment to be plugged into the power columns in each of the 32 ICU/CCU patient rooms, it was determined that added circuits were needed to power all furnished equipment.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	6	7/25/89	+ \$ 72,277.00
Nature of Change:	<ol style="list-style-type: none"> 1. Revise sump pump in utility tunnel. 2. Accessories and connections to Owner furnished exam light. 3. Revise heart OR #11 and #12; revise laser and x-ray outlets. 			
Reason for Change:	<ol style="list-style-type: none"> 1. The existing pump is too small to handle the excessive amount of subsurface water; thereby allowing the tunnel to flood and cause extensive damage to equipment and pipe insulation. 2. The accessories and connections were not indicated on drawings. 3. Revisions required to allow continuous use in each room. An addition of preaction sprinkler system to OR suite needed to protect patients in the event of sprinkler discharge. 			
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	7	8/16/89	+ 31,557.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add outlets at access to helipad. 2. Add casework, sink and electric outlets in room HAL625. 3. Additional master station to receive call at Code Blue Stations. 4. Add electrical receptacle for elevator monitors, add equipotential grounding and changes to 6th floor lab equipment raceway. 5. Provide electrical power to three overhead door operators. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Additional outlets for communications to dispatch room. Security was concerned that anyone knowing the key code could gain access to the roof headwall, changes made to correct location of outlets, and connect to circuits not originally shown. Decided SARA system to be in separate conduit rather than cable tray to avoid disturbance of lines. 2. Contract documents did not indicate casework, sink or provisions for HVAC, refrigerators or microwave ovens for this breakroom. 3. Upon review of annunciation for Code Blue, added master stations to receive calls. These permit the notification of code blue teams located elsewhere on the floor providing quicker response. 4. Electric power required for elevator monitors not shown on contract drawings. Recommended equipment ground to provide greater protection of patients. Needed to separate emergency power and normal power circuits from within one raceway to two separate raceways. Omissions from original plans by HOK. 5. No indicated on electrical drawings. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	8	10/18/89	+ \$ 1,885.00
Nature of Change:	1. Furnish and install wiring to provide interlock for trash and linen chutes. 2. Install duplex receptacle adjacent to TV monitor in OR's 1 through 10.			
Reason for Change:	1. Safety standards to prevent injury. 2. Video monitors in each of the ten OR's require electrical power which was not shown on original drawings.			
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION, PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	9	12/8/89	+ 65,558.00
Nature of Change:	1. Provide a light switch at each double bay scrub sink in the operating rooms and for two scrub sinks at E.R. 2. Provide electrical changes in Sub-sterile (HA0242). 3. Changes for added CT Scan Room. 4. Provide additional computer outlets in 10 rooms.			
Reason for Change:	1. Switches "not shown" on original drawings. Lights were shown to be hardwired which would leave them burning at all times. 2. Sterilizer to be used requires service clearance at each side, this requiring relocation of warming cabinet and shift of door location. Drain and old water to sterilizer was not called for on contract drawings. 3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. 4. Hospital E-mail and communications have outpaced what was in the original planning stages.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - MECHANICAL Project No. 304.1	12	5/21/90	+ \$ 5,166.00
Nature of Change:	<ol style="list-style-type: none"> 1. Add two lay-in fluorescent light fixtures to match corridor. 2. Install toggle type disconnect switch at each of the four elevator controllers. 3. Relocate two type FJ fixtures at entry from 1001. 4. Electrical revisions. 5. Changes required on the 2,000 gallon underground oil tank and piping. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Electrical drawings did not indicate light fixtures. 2. Required by elevator code. 3. Door to lobby was revised to dual egress as required by code. However, electrical lighting was not revised to pickup extra exit light and lighting of pocketed area. 			
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1	1	7/25/89	+ 839.00
Nature of Change:	<ol style="list-style-type: none"> 1. Door changes for electrical panel clearance. 2. Access required for building mounted logo. 3. Changes to hollow metal window. 			
Reason for Changes:	<ol style="list-style-type: none"> 1. Code requirement for electrical panel clearance. 2. Access required for large building mounted logo due to relocation of logo. 3. Window indicated on drawings is a fixed glass. Hospital requires a sliding window with sill in order to pass through specimen samples into the lab from the corridor. 			
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL Project No. 304.1	2	11/7/89	+ 1,337.00
Nature of Change:	<ol style="list-style-type: none"> 1. Changes required to hollow metal frames. 2. Revisions to Room #1002a, previously cafeteria storage, to be changed to additional cafeteria seating. Include installation of a duplex receptacle centered on east wall and one centered on west wall. Connect 1LW-12 in receptacle on east wall of elevator #4 in Lobby. 3. Add CT Scan Room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing frames were revised. 2. Project to renovate existing cafeteria requested this change to add seating rather than storage. That project cannot heat/cool this area due to concrete beam above ceiling. 3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
AMERICAN STEEL PRODUCTS FARMINGTON, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1	3	02/21/90	+ \$ 300.00
Nature of Change:	Add one borrowed light frame.			
Reason for Change:	Pass thru window added to permit delivery of specimens to laboratory desk without entering the room.			
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #15 - FINISH HARDWARE Project No. 304.1	2	11/7/89	+ 1,368.00
Nature of Change:	1. Revise door hardware for two doors. 2. Added CT Scan Room.			
Reason for Change:	1. Existing frames not prepared for pivots on electrical power. 2. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.			
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #15 - FINISH HARDWARE Project No. 304.1	3	02/21/90	+ 1,212.00
Nature of Change:	Revise lock set for opening #2117, add threshold for door #4015, and add 3 pair of hinges for door #14.			
Reason for Change:	Door 2117 needs to be lockable to keep traffic from entering sterile corridor from Stair B. Door 4015 needs threshold to keep fuel spills within hanger and out of exit stair, per Code. Door #14 frame installed in Bid Pack #1 without hinge mortises. Revised hinge to work with existing frame. Due to the 3'-0" width of openings, the hardware set had to be changed.			
DOVER ELEVATOR COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #7 - ELEVATOR Project No. 304.1	1	02/27/90	+ 449.00
Nature of Change:	Remove video screens and reinstall at new location.			
Reason for Change:	Space was made available by PPD to relocate the monitors into the wall. Monitors presently project from wall and could cause a head injury due to the sharp corners. Design omission.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
TRANSLOGIC CORPORATION NORTHBROOK, IL	HOSPITAL EXPANSION PHASE II BiD PACK #2; WORK CAT. # Project No. 304.1	1	4/2/90	+ \$ 3,160.00
Nature of Change:	Miscellaneous changes.			
Reason for Change:	Relocation of pneumatic tube stations required by previous changes to Heart O.R. and lab accessions area. This increased the amount of tubing. The additional core drilled holes were required to relocate tube piping due to conditions in field.			
LAGCO, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #4 - MEDICAL GAS SYSTEM Project No. 304.1	1	11/7/89	+ 6,770.00
Nature of Change:	<ol style="list-style-type: none"> 1. Replace two damaged 16" gaskets on the steamline. 2. Additional requirements for Hill-Rom power columns. 3. Add CT Scan Room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Gaskets needed to repair steam leak in tunnel. 2. To provide for future overhead X-ray unit. Power columns provide for better functional usage in trauma bay. 3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. 			
LAGCO, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #4 - MEDICAL GAS SYSTEMS Project No. 304.1	3	4/25/90	+ 5,419.00
Nature of Change:	Provide floor drains for medical air compressors and medical vacuum pumps.			
Reason for Change:	When the decision to bid the medical gases was made, this work was omitted from the bid package since we did not want another contractor connecting to MMR/Wallace's sanitary waste system. It was not shown on the original plans.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE - GENERAL WORK (CAT. #1) Project No. 304.3	6	10/2/89	+ \$ 17,284.95
Nature of Change:	1. Credit for sodding/seeding around parking garage. 2. Settlement of claim for unsuitable backfill material.			
Reason for Change:	1. Due to future construction, it was felt it would be best to accept a credit and have the work done at a later date. 2. Settlement of claim by Austin Excavation for unsuitable backfill material.			
MARCO CONSTRUCTION CO.,INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	3	2/21/90	-0-
Nature of Change:	Changes to Special Conditions: Project Phasing. In Phase One, increase by one week the construction time. In Phase Two, decrease by one week the final fifteen day period of total shutdown.			
Reason for Change:	To meet Contractor delivery/installation time frame needs.			
MARCO CONSTRUCTION CO.,INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	4	3/06/90	+ 4,474.00
Nature of Change:	1. Vending Area: Chemically treat existing wood frame construction to all exposed wood surfaces to provide flame spread rating of 25 maximum. 2. Dishwashing Area: Apply USG Durock base coat, 1/16" T, of portland cement mortar with dry latex polymers. 3. Change connection/location of Dishwasher exhaust duct. Relocate reheat coil from Main Seating Area to Kitchen Storage. 4. Paint 7-existing hollow metal doors and 2-window frames. 5. Dishwashing Area: apply integral elastomeric waterproofing membrane to area of existing concrete slab where quarry tile damaged due to existing conditions.			
Reason for Change:	1. Existing condition that State Field Inspector required upgrade before application of new finishes. 2. Additional substrate preparation due to fastener projection. 3. Code requirements. 4. Left out of design. 5. Concealed conditions.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION CO.,INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	5	3/06/90	+ \$ 2,565.00
Nature of Change:	Add short 'wing' wall to above ceiling height and tie as needed to underside of above slab. Use 3 5/8" metal studs and 5/8" gyp. bd. and veneer finish. Paint. Relocate hand sink to new wing wall. Reroute plumbing lines.			
Reason for Change:	Code requirements.			
MARCO CONSTRUCTION CO.,INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	6	3/06/90	+ 4,970.02
Nature of Change:	<ol style="list-style-type: none"> 1. Upgrade existing electrical installation above-ceiling in Phase Two. 2. Relocate existing medium pressure condensate line to eliminate conflict with new light fixtures, using new piping. 3. Relocate existing 4" cast iron waste line and associated piping above-ceiling to eliminate conflict with ceiling height. 4. Add SGFT and ceramic tile where existing ceiling is raised. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Code upgrade requirements. 2-4 Unknown conditions exposed during demolition, to accommodate new design. 			
MARCO CONSTRUCTION CO.,INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	7	3/06/90	+ 1,052.00
Nature of Change:	In Small Dining Room H128J, include metal stud framing with 5/8" gypsum bd. pilaster and soffit/beam between Main Dining Room & Room H128J			
Reason for Change:	Condition created by Expansion Project construction. Unknown condition exposed during demolition.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	8	04/02/90	+ \$ 1,886.98
Nature of Change:	<ol style="list-style-type: none"> 1. Provide wood trim along south and east walls where removal of fin-tube heaters exposed CUM. 2. Substitute Corian for plastic laminate window stools in Small Dining Room. 3. Provide additional laminate light shelf along window wall of one room. During construction, changes in adjacent work allowed continuation of light shelf to match adjoining windows. 4. Relocate existing ductwork to allow installation of new light fixtures in Main Seating Area. 5. Rework wiring and conduit to electrical contractors in dishwashing area. 6. Substitute fire-retardant plastic at construction dust barrier. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Unknown existing condition exposed during demolition. 2. Provide more durable sill where wall was moved out due to existing inadequate stud. 3. Unforeseen changes in adjacent construction allowing continuation of adjoining condition. 4. Due to concealed condition. 5. To provide better sanitary conditions where existing electrical is exposed. 6. To meet code requirements. 			
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	9	04/10/90	-0-
Nature of Change:	Total Completion Time to be extended by five (5) days, to allow Owner to provide continuous meal service without a total shutdown period. New Total Completion Date to be April 5, 1990.			
Reason for Change:	To allow Owner to open Main Cafeteria service without any total shut down period.			
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	10	5/16/90	+ 533.00
Nature of Change:	<ol style="list-style-type: none"> 1. Change color on wood trim cap at 6'8" wall in Main Seating Area. 2. Install two additional recessed light fixtures over the salad bar. 3. Install new wall clock circuit at existing SFGT column. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Color selection was not clear. Owner requested color consistency. 2. Additional light requirements in Customer Area, lighting under design. 3. A request for Hospital Staff/Faculty needs; item was in program but omitted in design. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II COOLING TOWER IMPROVEMENTS Project No. 304.8	2	9/22/89	- \$ 5,308.00
Nature of Change:	Relocate switchboard P and MCC 7.			
Reason for Change:	The original location was next to the removable glass in the basement. This would restrict mobility for maintaining equipment in that area and also would be susceptible to damage when removing the glass and moving equipment in and out of the basement.			
MMR/WALLACE CONST. JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II COOLING PLANT IMPROVEMENT Project No. 304.8	3	11/28/89	+ 2,615.00
Nature of Change:	Provide additional steel framing supports for the first floor slab where nine holes have been cut through the existing slab.			
Reason for Change:	Due to conduit installation, additional support required.			
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES BUILDING Project No. 395.0	21	3/07/90	- 13,904.75
Nature of Change:	1.	Repair leak in drain from cup sink behind hood #1 Room 151, connect vent line not connected in Chase Room 151. Repair D.I. water leak. These items repaired by Lagco.		
	2.	Repair 4 D.I. water leaks Room 137. These items repaired by Lagco.		
	3.	Reseed and straw, plus bring in top soil to cover rock areas. Work by Barker & Sons and Bluegrass Backhoe.		
	4.	Replace stained ceiling tiles. Work by Rudd.		
	5.	Install 3 dampers, Room 143. Work by Honeycutt.		
	6.	Repair emergency exit doors to work properly. Work by Steve Reynolds.		
	7.	Repair hydronic leaks, D.I. water leaks, relocate chiller sensors repair roof leader leak, repair cooling drain. Work by Lagco.		
	8.	Repairs to numerous mechanical system problems. Work by Lagco.		
Reason for Change:	This change order is for reimbursement of other contractors to take care of deficiencies and incompleteness of workmanship not performed by the original contractor.			
NATIONAL AVENUE CONTRACTORS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.2	1	11/1/89	+ 1,800.00
Nature of Change:	Furnish & install Tarkett Multiflor & vinyl base to match existing in rooms 148 & 154B.			
Reason for Change:	To provide a cleaner environment in these labs.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LAGCO, INC. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.4	1	10/18/89	+ \$ 251.70
Nature of Change:	Modify and weld existing duct collar on fume hood to eliminate possible perchloric collection point.			
Reason for Change:	Improper connection of ductwork by original contractor found during Engineers' on-site observation.			
LAGCO, INC. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.4	2	4/27/90	+ 300.00
Nature of Change:	Revise inlet duct of scrubber to insure positive drainage.			
Reason for Change:	To correct problem with original installation.			
WHITE & CONGLETON CO. LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	11	9/25/89	+ 7,214.32
Nature of Change:	<ol style="list-style-type: none"> 1. Provide surface mounted light fixtures in lieu of flush mounted type specified. Flush mounted fixtures shall be turned over to Owner. 2. Seal a steam leak in the fan housing or flexible connection at the tunnel washer exhaust fan and provide a drain pan with pipe drain for same. 3. Provide new exhaust fan and modify cage washer exhaust. 			
Reason for Change:	<ol style="list-style-type: none"> 1. When corridor ceilings were removed it was determined that existing ductwork and conduit prevented recessed lights. Ceiling could not be lowered due to existing wall mounted fire and sound equipment. 2. Steam and steam condensate was leaking from fan. 3. Revised exhaust system recommended for optimum efficiency. 			
WHITE & CONGLETON LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	12	11/24/89	+ 8,661.00
Nature of Change:	<ol style="list-style-type: none"> 1. Insulate and drain the exhaust duct for the cage washer. 2. Insulate the exhaust duct. 3. Support & rerun existing conduit; eliminate unused conduit. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Not included in the original bid. 2. Not included in the original bid. 3. Electrical Inspector requirement. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
HIBBITTS CONSTRUCTION CO. LONDON	ANIMAL CARE RENOVATION ASBESTOS ABATEMENT Project No. 406.1	3	7/12/89	+ \$ 4,840.00
Nature of Change:	Remove 242 additional fittings per unit price.			
Reason for Change:	Additional asbestos found after ceiling was removed.			
K. V. CONSTRUCTION CO. LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	2	8/9/89	+ 2,112.00
Nature of Change:	1. Close opening above women's restroom at corridor. 2. Correct existing electrical deficiencies in contract area. 3. Relocate new exit lights & conduit as required to maintain connections with existing exit lights & emergency circuits.			
Reason for Change:	1. Area not visible prior to demolition of plaster ceiling; this work required to meet fire code. 2. Work required by state electrical inspector. 3. Existing conditions were different than shown on as-built drawings.			
K. V. CONSTRUCTION CO. LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	3	9/11/89	+ 373.00
Nature of Change:	Provide 208V circuits from panel to electric hand dryers in men's and women's restrooms.			
Reason for Change:	Circuits specified is 110V; hand dryers specified are 208V.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	5	8/9/89	- 298.36
Nature of Change:	Credit due Owner for damages to window.			
Reason for Change:	U.K. PPD repaired damages caused by contractor.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	16	8/4/89	+ \$ 10,427.65
Nature of Change:	<ol style="list-style-type: none"> 1. Modifications to Honeywell control system. 2. Install emergency lighting and additional emergency circuit for toilet vestibules on basement, second, third, fourth & fifth floors. 3. Additional excavation required to lower steam condensate, chilled water supply and return piping; also demolition and backfill required for steam line and condensate extension. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Changes required for interfacing of controls and equipment. 2. Emergency circuit vestibule fixtures requested by Housing, Building & Construction. 3. Lowering of new utilities required due to concealed conflicting site conditions. Due to proximity to surface, existing steam piping was removed and new piping installed at deeper depth. 			
LAGCO, INC. LEXINGTON	ROBOTICS FACILITY WATERLINE Project No. 431.4	1	10/9/89	+ 1,000.00
Nature of Change:	Change push joint 6" pipe to mechanical joint 6" pipe.			
Reason for Change:	University standard required mechanical joint pipe and fittings to allow for future tie in.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	2	10/18/89	+ 2,455.00
Nature of Change:	Additional excavation and labor required to locate tie-in point for 6" water line due to water main not being located as shown on contract drawings. Extra fittings and pipe required to reach tie-in point.			
Reason for Change:	Tie in point shown incorrectly on contract drawings.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	3	10/18/89	+ \$ 10,063.50
Nature of Change:	Remove 10 cu. yds. of trench rock. Remove trench rock by hand on time and material basis around ductbank and provide additional fittings to off set pipe around ductbank.			
Reason for Change:	Encountered rock and abandoned ductbank during excavation. Ductbank not shown on contract drawings.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	4	10/18/89	- 3,000.00
Nature of Change:	Delete concrete and asphalt pavements from scope of work.			
Reason for Change:	Asphalt to be provided under Robotics Project 431.0 contract as shown on drawings.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	5	10/18/89	+ 1,500.00
Nature of Change:	Reroute gas line.			
Reason for Change:	Drawings did not indicate specific placement of gas meter.			
C. C. LEE, INC. LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project 431.6	1	5/11/90	+ 386.05
Nature of Change:	<ol style="list-style-type: none"> 1. Remove existing casework in lab. Install vinyl floor tile and vinyl base to match existing. 2. Delete two new light fixtures at Storage Room and install two existing fixtures. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Casework is required to be removed to allow access to Liebert Equipment. 2. Two new light fixtures can be deleted by relocating two existing ones. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
C. C. LEE, INC. LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project 431.6	2	5/11/90	+ \$ 86.25
Nature of Change:	Install sound attenuation batts in new gypsum board partition at Storage Room.			
Reason for Change:	Reduce potential noise transmission from the UPS Liebert Unit.			
C. C. LEE, INC. LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project 431.6	3	5/11/90	+ 2,133.09
Nature of Change:	<ol style="list-style-type: none"> 1. Relocate U.P.S. battery pack and install 200 amp switch in UPS room. 2. Relocate 112.5 KVA transformer from electrical room to laboratory east of electrical room. 3. Relocate main and remote security computers to fourth floor. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Electrical inspector will not allow battery pack in UPS room due to lack of clearance space. 2. The existing transformer required access. New transformer cannot be located on angle iron support frame as indicated in documents. 3. Required in order to place primary security function in the locked office of head of security and robotics. 			
E. C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM BID PACK #1 - HOME DEMONSTRATION GARDEN Project No. 453.1	1	3/27/90	+ 2,700.14
Nature of Change:	Delete the one inch water main as shown on the drawings and substitute a four inch water main. Add a backflow preventer vault and drain line.			
Reason for Change:	Increased irrigation capacity for future garden use.			
E. C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM BID PACK #1 - HOME DEMONSTRATION GARDEN Project No. 453.1	2	4/27/90	+ 1,307.08
Nature of Change:	Additional surveying services required to identify the starting layout point.			
Reason for Change:	Base information provided by the University for construction layout was inaccurate.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
TREESCAPES, INC. PARIS	ARBORETUM BID PACKAGE #2- HOME DEMONSTRATION GARDEN Project No. 453.1	1	3/15/90	+ \$ 462.50
Nature of Change:	Addition of sod. The amount of sod required to cover the proposed area is 185 square yards.			
Reason for Change:	Sod was never identified on the drawings of Bid Package #2, thus it was not included in the original bid.			
AKERS & AKERS, INC. DANA	HAZARD COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE BLDG. Project No. 469.0	5	11/7/89	+ 1,988.06
Nature of Change:	Install additional site drainage. (One concrete catch basin with grate and frame, 55 lin. ft. non-perforated PVC pipe, and 175 lin. ft. "Warren's Terraflow 100' Drainage System.")			
Reason for Change:	It was determined by the Landscape Architect that additional drainage is necessary to yield correct growing condition for the plantings of this project.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	11	8/15/89	+ 1,009.60
Nature of Change:	Rock removal incurred to domestic water line installation.			
Reason for Change:	Unanticipated subsurface rock encountered.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	12	9/7/89	+ 1,950.00
Nature of Change:	Install schedule 80 PVC pipe to connect two six-inch (6") diameter downspouts to nearby yard drain in lieu of surface drainage.			
Reason for Change:	Piped storm drainage in lieu of surface drainage will prohibit some of the potential moisture problems in the tunnel.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	13	9/7/89	+ \$ 29,399.45
Nature of Change:	Coordination Allowance Sum: Allowance for coordination of future contracts. The allowance is figured on the basis of a percentage of \$300,000. Arc stated an allowance of 5% to the actual figures used in subsequent bid package contracts.			
Reason for Change:	Construction contract required the allowance.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	2	9/6/89	- 8,577.00
Nature of Change:	1. Omit ceiling in mechanical room. 2. Delete 3' of counter in kitchen. 3. Delete 3.67' of counter in women's room. 4. Credit for not reworking door #142.			
Reason for Change:	1. Difficult to install ceiling around ductwork installed in Bid Pack #1. 2. To allow space for a full size refrigerator in lieu of undercounter type. 3. To allow room for the chase for the generator flue. 4. Door opening was installed lower than specified. The user agreed this height was acceptable.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	3	9/6/89	+ 4,836.63
Nature of Change:	1. Paint light rings, diffusers, grilles, include epoxy primer and cabinets. 2. Paint coping to match brick. 3. Install two gang wiremold boxes on single gang boxes. 4. Enclose beams under stair at orchestra pit access. 5. Install recessed electric boxes for dimmers.			
Reason for Change:	1. Factory finish not compatible with color scheme. 2. Factory finish does not match brick color closely enough. 3. Owner's equipment required two gang wiremold boxes. 4. Aesthetic improvement. 5. Recessed boxes will prohibit future damage.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	4	9/6/89	+ \$ 9,630.70
Nature of Change:	<ol style="list-style-type: none"> 1. Install oak handrail along sidewalls of auditorium. 2. Install concrete side ditch from headwall. 3. Install emergency generator transfer switch wiring. 			
Reason for Change:	<ol style="list-style-type: none"> 1. 1988 Building Code allows for an additional 73 seats if handrails are installed. 2. The addition of a paved ditch from the headwall to the existing paved ditch wall will help prevent soil erosion. 3. This wiring is required for automatic function of the emergency generator. 			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACKAGE #3 - FINISHES Project No. 472.1	5	11/1/89	+ 2,171.00
Nature of Change:	<ol style="list-style-type: none"> 1. Install wall mounted exit lights over two doors. 2. Connect two exterior lighting fixtures to emergency circuit. 3. Install mirror in toilet area, handicapped accessible. 			
Reason for Change:	Above items were required by State Department of Housing, Buildings & Construction.			
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	7	8/21/89	+ 15,087.90
Nature of Change:	<ol style="list-style-type: none"> 1. Revise motor control center. 2. Install 6" diameter stainless steel duct from fan discharge to the stainless steel vent cap on the roof. 3. Provide trucks to remove excess fill from the construction site. 4. Provide and install service sinks in three rooms; extend air and vacuum to island casework. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Motor control center elements are not sized properly to accommodate AHU-1 motor as provided in shop drawings. 2. Experiments placed for exhaust system will cause rapid deterioration of galvanized duct. 3. Owner's vehicles were not available to move the fill material. 4. Items were to be included in casework bid package; items were omitted. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	8	11/8/89	+ \$ 8,346.92
Nature of Change:	<ol style="list-style-type: none"> 1. Install 3/4" fire retardant plywood on steel angles welded to steel frame as back up to aluminum cover plates on the column and arch in lobby. Provide 3 1/2" batt insulation. 2. Wire and make operational nine tamper switches and two flow switches. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To prevent "oil canning" of aluminum sheets to provide insulation, not indicated on construction bid documents. 2. Required for code compliance, not included in construction documents. 			
STRUCK CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING THIRD & FOURTH FLOORS COMPLETION Project No. 475.1	1	4/11/90	+ 23,204.39
Nature of Change:	<ol style="list-style-type: none"> 1. Install 5/8" gypsum wallboard on exterior walls. Install sound attenuation blankets and resilient clips from the floor to the structure above. 2. Provide changes required in routing wireways; reroute conduit to outlets affected; and provide junction boxes. 3. Add four doors and frames. 4. Request manufacturer to construct and revise wood doors to fit wood frames as needed. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To provide interior closing of wall, only metal studs and insulation is existing. This will provide a space to run electrical conduit down walls while providing a sound buffer for the surrounding offices from mechanical noise. 2. Changes necessary due to existing field conditions. Wireways cannot be installed as shown and still be accessible due to equipment installations, piping, and ceiling height. 3. These doors were shown on original drawings but didn't appear on the drawings for 475.1. 4. Uneven finish surface of concrete slabs installed by previous contractors. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	2	7/5/89	+ \$ 653.59
Nature of Change:	<ol style="list-style-type: none"> 1. Remove plastic foam lining from interior of existing exhaust shaft on north side of south stair. 2. Install two layers 5/8" firecode G.W.B. on south and west walls of south stair enclosures. Extend drywall from underside of roof deck to bottom of full course of 8" concrete block. 3. Grind floors around two floor drains to allow floor tile to be installed flush with drains. Shim plate level in men's restroom. 			
Reason for Change:	<ol style="list-style-type: none"> 1&2. These two items required by State Building Inspector. 3. Existing floor drain in men's restroom was not level to allow for proper installation of ceramic tile. 			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	3	7/25/89	+ 1,869.90
Nature of Change:	Remove three (3) broken exterior glass panels and replace with 1/4" gray tempered glass.			
Reason for Change:	Windows were broken by vandals from exterior of building. Community College requested contractor repair.			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	4	9/25/89	+ 574.07
Nature of Change:	<ol style="list-style-type: none"> 1. Provide and install floor stops at doors from Classroom 302. 2. Install two additional sprinkler heads in Mechanical Room. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Required to protect wall surfaces. 2. Required by Building Inspector. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project No. 478.1	5	11/13/89	+ \$ 656.65
Nature of Change:	1. Insulate hot water and drain lines under lavatory sinks in men's and women's restrooms. 2. Install one additional lighted double-faced exit sign in corridor. 3. Time extension. Additional 14 days.			
Reason for Change:	Above items are required by Federal Code Requirements.			
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	1	10/9/89	+ 590.00
Nature of Change:	Change Corridor 200-C paint from semi-gloss to flat alkyd.			
Reason for Change:	Paint specified for new corridor ceiling does not match reflectance or the ceiling it ties into.			
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	2	10/18/89	-0-
Nature of Change:	Increase contract completion date by twenty-one (21) days.			
Reason for Change:	Delivery delays caused by substitution of materials.			
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	1	11/24/89	+ 616.40
Nature of Change:	Electrical changes.			
Reason for Change:	Changes necessary in order to comply with electrical code.			
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	2	12/12/89	-0-
Nature of Change:	Extend completion time to September 14, 1989.			
Reason for Change:	Additional work required; unforeseen mechanical and electrical conditions, delivery of electrical fixtures.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	3	12/12/89	+ \$ 1,378.94
Nature of Change:	Upgrade electric service.			
Reason for Change:	Required by code.			
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	1	3/06/90	+ 1,135.00
Nature of Change:	1. Delete the finishing and installation of vinyl flooring from this contract. 2. Install Terrazzo and patch or repair existing Terrazzo not covered in Project 526.			
Reason for Change:	1. Physical Plant will be replacing all the floor tile following the completion of this project. It will be much better for this tile to be replaced at that time with the same material. 2. Left out during design.			
SHERROD CONSTRUCTION CO. WINCHESTER	MEDICAL CENTER COFFEE SHOP RENOVATION Project No. 544.0	1	11/1/89	+ 4,924.53
Nature of Change:	Electrical upgrade in Room H183 and H185.			
Reason for Change:	Upgrade required by Department of Housing, Building and Construction, to meet present code requirements.			
SHERROD CONSTRUCTION CO. WINCHESTER	MEDICAL CENTER COFFEE SHOP RENOVATION Project No. 544.0	2	11/1/89	+ 330.75
Nature of Change:	Replacement of laminate grill hood cover.			
Reason for Change:	Actual field conditions varied from assumed condition and needs replacement.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
P & R ROOFING & SHEET METAL LEXINGTON	ASBESTOS REMOVAL SPINDLETOP ACF ROOF Project No. 548.0	1	11/10/89	- \$ 3,371.00
Nature of Change:	1. Credit due for repair of gypsum roof deck which was not required. 2. Credit due for treated wood nailers actually installed. 3. Reinstall metal fascia.			
Reason for Change:	1 & 2 Contract is being adjusted to reflect actual quantities originally covered by cash allowances. 3. Replacement of metal fascia was required when the abatement contractor removed it without permission.			
WARREN BUILDERS, INC. MT. STERLING	NOE HOUSE ROOF REPLACEMENT PROJECT NO. 552.0	2	8/14/89	-0-
Nature of Change:	Increase contract completion date by 14 days.			
Reason for Change:	Delay in construction due to excessive rainy weather.			
WARREN BUILDERS, INC. MT. STERLING	ALLEN HOUSE ROOF REPLACEMENT PROJECT NO. 553.0	2	8/14/89	-0-
Nature of Change:	Increase contract completion date by 14 days.			
Reason for Change:	Delay in construction due to excessive rainy weather.			
PEARCE-PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	1	10/3/89	- 792.00
Nature of Change:	Delete 66 square feet of gypsum deck replacement.			
Reason for Change:	Allowance was established in contract for 70 square feet of gypsum deck replacement. Only four square feet of deck had to be replaced.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
PEARCE-PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	2	10/11/89	- \$ 305.00
Nature of Change:	Delete eleven cast iron downspout boots. Add six precast concrete downspout splash blocks and 4 inch PVC storm sewer line, to connect to existing storm sewer.			
Reason for Change:	Changes made to provide proper connections to storm drainage system where available.			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	1	8/8/89	+ 3,178.00
Nature of Change:	<ol style="list-style-type: none"> 1. Construct a new drywall wall in the front entrance lobby foyer, that extends from the floor to the structural ceiling and is 62 inches wide. 2. Make changes to fire alarm system; relocate five smoke detectors install two new electromagnetic door holders, relocate heat detectors in restrooms, and connect to the flow and tamper switches. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Two fire alarm panels are required for the project instead of the anticipated one panel. There is not enough existing wall space to mount two panels. 2. Changes required by State Fire Marshal. 			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	2	10/17/89	+ 748.00
Nature of Change:	Add motor starts with auxiliary contacts for kitchen and ground floor HVAC units, and add auxiliary contact to existing starter for HVAC unit in tunnel for cafeteria unit.			
Reason for Change:	Addition of starters and contacts are needed to allow the fire alarm panel to shut down and restart the HVAC units.			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	3	10/17/89	+ 369.15
Nature of Change:	Remove and relocate four smoke detectors in the main dining area to achieve necessary four foot clearance from ceiling fans.			
Reason for Change:	Ceiling fans will prevent smoke from reaching the four smoke detectors in their present location.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	4	1/19/90	+ \$ 305.37
Nature of Change:	Install a timed out relay in parallel with manual start button for the cafeteria fan unit.			
Reason for Change:	Relay is required in order for the firm alarm panel to restart the fan after an alarm.			
PARSONS ELECTRIC, INC. LEXINGTON	DONOVAN HALL FIRE ALARM Project No. 560.0	5	3/15/90	-0-
Nature of Change:	Increase the time for completion of the project by 48 calendar days.			
Reason for Change:	Problems with delivery of the Fire Alarm.			
BURDETTE ELECTRIC, INC. LEXINGTON	KEENELAND HALL FIRE SAFETY Project No. 561.0	1	12/2/89	+ 3,027.00
Nature of Change:	<ol style="list-style-type: none"> 1. Replace in elevator lobbies (floors 1-4) each non-addressable base to an addressable base. Install proper wiring to make each device addressable on the new fire alarm system. 2. Add addressable smoke detectors in the basement elevator lobby and elevator machine room. Install proper conduit and wiring to connect to fire alarm system. 3. Provide conduit and wiring to sprinkler system alarm system tamper switches in the basement. Connect to new fire alarm system. 4. Provide dorm room smoke/heat detector with IAM on first floor. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Update for future code requirement for fireman's service. 2. Update for future code requirement for fireman's service. 3. Code requirement. Not shown on drawings. 4. Code requirement. Not shown on drawings. 			
BURDETTE ELECTRIC, INC. LEXINGTON	KEENELAND HALL FIRE SAFETY Project No. 561.0	3	4/12/90	+ 1,750.00
Nature of Change:	Time extension of 38 days. Credit for liquidated damages.			
Reason for Change:	Delays due to Delta connection, change order items and supplier's inability to supply surface raceway. Adjustment for liquidated damages.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	1	8/21/89	- \$ 550.00
Nature of Change:	Patch and repair column V53 by removing all cracked and deteriorated concrete and sufficient amount of concrete at column top to expose existing dowel, blast area clean of all debris, laitance, dust, rust and oil, patch column with mortar, paint repaired portion of column to match existing.			
Reason for Change:	Satisfactory remedy of existing condition can be corrected in equal or better quality than specified method at cost savings.			
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	2	9/1/89	+ 1,050.00
Nature of Change:	1.	Demolish exterior concrete column down to horizontal reveal at approximately mid-height of column sufficient for PPD to remove exterior damaged pipe and replace with new. Contractor then to patch back column and paint to match existing.		
	2.	Weld re-bar stubs out of roof slab to column reinforcing steel.		
Reason for Change:	1 & 2	To correct existing conditions which were not apparent before construction.		
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	3	9/1/89	+ 883.75
Nature of Change:	Remove existing concrete around existing 1" rigid conduit where it penetrates roof slab to allow for new 90 degree elbow to be installed. Install new conduit for elbow to new j-box; install new conduit to bottom of excavated column and connect with existing 1" rigid conduit. Provide wire as necessary.			
Reason for Change:	To correct existing conditions which were not apparent before construction.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
BROADVIEW BUILDINGS, INC. LEXINGTON	SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0	1	9/11/89	+ \$ 1,948.74
Nature of Change:	Fill in void behind wall cabinets with sheet rock and cover with fire protection board.			
Reason for Change:	New kitchen cabinets were shorter than original ones. Fire protection board was required to cover voids and rough drywall.			
PANEL TECH, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	1	7/24/89	- 1,838.00
Nature of Change:	1. Delete gypsum board partition at existing third floor corridor. 2. Delete all metal stud framing at columns and spandrel panels adjacent to exterior walls.			
Reason for Change:	1. Gypsum board partition as shown on drawings would be relocated at time of "Fit-up" Phase. 2. Work will be accomplished under "Fit-up" Phase. No other metal stud framing in shell enclosure required.			
PARSONS ELECTRIC, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	2	7/18/89	- 205.00
Nature of Change:	Delete work required to extend the new receptacles in the face of the new drywall partition.			
Reason for Change:	Final location of walls had not been established. Walls to be located in the Fit-up phase.			
PARSONS ELECTRIC, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	3	8/15/89	- 50.00
Nature of Change:	Delete installation of 50 amp receptacles.			
Reason for Change:	Receptacles not required in Rooftop Conversion Phase.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
E. C. MATTHEWS CO. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	3	8/15/89	- \$ 3,196.30
Nature of Change:	Credit for leaving glazing & aluminum framing that was to be removed; do not install door (door, frame & hardware to be turned over to Owner); and delete all painting.			
Reason for Change:	Work will be accomplished during "Fit-up".			
E. C. MATTHEWS CO. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	4	11/1/89	- 3,219.81
Nature of Change:	Allowance money not used in Project.			
Reason for Change:	Project is complete. This portion of the allowance was not used.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	1	2/23/90	+ 7,362.00
Nature of Change:	1.	Add one LF-21 and two LF-14 fixtures. Relocate light switch and exit light conduit rough-in. Change the circuits in the switchboard from 3 pole, 25 amps to 3 pole, 35 amps.		
	2.	Intercept new 3" communication conduit above ceiling & install 3" conduit to Communication Closet. Install two 3" communication conduit from Mechanical Room 300 to Communication Closet.		
Reason for Change:	1.	Area changed from reception area to private office. Upgraded for air flow needs.		
	2.	The 3" conduit connected to wireway requires extending so that computer cables will not overflow the wireway. The 3" conduits to the communications room are required in order to feed LAN cables from basement to the rooftop for distribution.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ELECTRA OF LEXINGTON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	3/15/90	+ \$ 1,518.00
Nature of Change:	1. Rework three inch telephone conduit in south mechanical room. 2. Install conduit to control Rooms C321, C322, and C325 lighting functions.			
Reason for Change:	1. For control of lighting in conjunction with the ophthalmology desks at Rooms C321, C322, and C325. 2. Provide continuous 3 inch conduit from existing communication closet to new communication closet.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	3	4/20/90	+ 2,493.00
Nature of Change:	Furnish labor & materials to raise two existing communication wireways at corridor.			
Reason for Change:	Wireways are required to be raised to clear new 8' 8" drywall ceiling.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	4	4/20/90	+ 655.00
Nature of Change:	Relocate existing fire alarm conduit at closet. Remove and reinstall light fixture at second floor janitor's closet.			
Reason for Change:	Existing fire alarm conduit will require relocating to clear relocation of ductwork and HVAC piping. Drywall ceiling at janitor closet will require removing to install beams for floor reinforcement.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	5	4/27/90	+ 1,259.11
Nature of Change:	1. Add two new receptacles at east wall of computer room. 2. Run three conduits from new corridor.			
Reason for Change:	1. Receptacles are being added for relocation of computer drives which conflict with new location of door. 2. The existing 29 computer cables are not long enough to fit back into wireway which had to be relocated for the higher ceiling.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	6	5/11/90	+ \$ 3,551.25
Nature of Change:	1. Electrical revisions. 2. Re-route existing telephone conduit & add three telephone jacks.			
Reason for Change:	1. Receptacles, computer and telephone outlets are required for occupants to function in this space. 2. Rerouting telephone conduit is required to re-establish service to existing and provide new service.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	7	6/13/90	+ 1,482.00
Nature of Change:	Relocate light fixtures in room 305A and add one additional fixture. Provide 20amp circuit from panel 31 in mechanical room to operate roll filters.			
Reason for Change:	Relocate the existing light fixtures to conform to the new partition layout. Relamp existing fixtures so that bulb color matches new fixtures. Power supply not indicated on drawings.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	8	6/22/90	+ 1,656.36
Nature of Change:	Resupport existing electrical at Stage III.			
Reason for Change:	To bring existing electrical installation up to current NEC standards. Required by code.			
BROWN SPRINKLER LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	1	4/20/90	+ 500.00
Nature of Change:	Install additional sprinkler heads in four rooms.			
Reason for Change:	To comply with code.			
COX MECHANICAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	3/14/90	+ 980.00
Nature of Change:	Move existing ducts and piping above second floor janitor's closet ceiling.			
Reason for Change:	Relocate ductwork and piping for installation of new floor reinforcement beams.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
COX MECHANICAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	3	4/20/90	+ \$ 800.00
Nature of Change:	Remove existing fan coil units in five rooms.			
Reason for Change:	Fan coil units are not needed since new system is being installed. These units were not shown on the contract documents for removal.			
COX MECHANICAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	4	5/7/90	-0-
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish two access doors in the ceiling of Room G302. 2. Relocate existing copper vent pipe located over three rooms. 3. Credit for omitting the HVAC work hown in room A331. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To provide access to existing acid waste traps above ceiling. 2. Existing copper pipe needed relocating to avoid interference with new communication wireway location. 3. Ceiling is being left to enlarge space. 			
COX MECHANICAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	5	6/22/90	+ 650.00
Nature of Change:	Relocate existing two inch acid waste vent line to new wall layout.			
Reason for Change:	During demolition at Stage III, an existing acid waste vent line was discovered. Acid waste line will require relocation since wall layout is being changed.			
R & R DRYWALL & ACOUSTICS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	4	5/7/90	+ 2,245.18
Nature of Change:	<ol style="list-style-type: none"> 1. Provide credit for leaving high ceiling and soffit in lounge as shown. 2. Provide soffit over door 3254. 3. Provide new ceiling tile to match existing in Chancellor's offices. 4. Furr corridor wall out 3 1/2". Delete door 3249 and relocate door with side light. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Opportunity arose during construction to keep existing ceiling, enlarge the space visually and save time and money on the project. 2. Allow existing communication junction box to remain. 3. Existing corridor is being converted to Chancellor's offices. Ceiling type was noted in specification as existing tile and no tile was included in base bid to replace gypsum board to be removed under this project. 4. To line up corridor walls and make computer suite more functional. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & R DRYWALL & ACOUSTICS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	5	5/21/90	+ \$ 663.18
Nature of Change:	Provide knee wall above testing booths to close off ceiling at corridor.			
Reason for Change:	Booths purchased by E.N.T. are not high enough to receive an eight foot ceiling. Booths were purchased after the award of the construction contract.			
B.L RADDEN & SON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	03/14/90	+ 129.00
Nature of Change:	Paint new ceiling at second floor Janitor's Closet.			
Reason for Change:	Existing ceiling at second floor janitor's closet will require removal and replacement due to installation of steel beams for floor reinforcement.			
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP ROOF TOP FIT-UP Project No. 576.1	2	03/14/90	+ 2,981.05
Nature of Change:	Provide and install new beams at chase as shown.			
Reason for Change:	Existing floor will require reinforcing due to new floor penetrations for relocation of mechanical piping duct.			
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	3	03/22/90	- 2,002.65
Nature of Change:	1.	Delete casework Z at Room B344 and shorten casework Y two (2) feet at Room B343.		
	2.	Delete the following casework: Room C321-AO. Room C322-AO, Room B361-AE.		
	3.	Add the following pieces of casework: Room C321-AY, Room C322-AY.		
Reason for Change:	1.	Room B344 is to become a future office, wall between Rooms B343 and B344 was moved two (2) feet to the east.		
	2 & 3	Configuration of small casework will work better with usage of Rooms C321 and C322.		

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
LW.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	4	5/7/90	+ \$ 457.05
Nature of Change:	<ol style="list-style-type: none"> 1. Furr corridor wall out 3 1/2". Remove door and frame in existing wall between 307 and 307C and infill wall. Relocate wall between 307 and 307A and door. 2. Chip Medical Plaza floor and fill College of Nursing floor as required to provide level transition between two buildings. 3. Remove four inches of concrete from underside of fourth floor precast concrete. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To line up corridor walls and make computer suite more functional. 2. Floors were shown to be the same elevation on "As-Built" drawings. Once demolition was complete, it was determined there were not. 3. Eight foot clearance is required for continuation of soffit. "As-Built" drawings showed this clearance. Demolition exposed 7' 10" clearance. 			
LW.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	5	5/21/90	+ 1,707.00
Nature of Change:	Provide 6" pit for Audiology Testing Booths.			
Reason for Change:	An 8' wide x 8" deep recess was required to facilitate testing booths during the design phase. E.N.T. has purchased different booths during the construction phase and these ese changes are needed to fit the new booths.			
LW.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	6	6/13/90	+ 2,891.72
Nature of Change:	Delete sink cabinet in darkroom. Extend counter top to edge of new 50" wide darkroom sink and provide darkroom sink with stand and plumbing package.			
Reason for Change:	Numbers shown on drawings for sink cabinet represented a darkroom sink. Specifications for casework specifically excluded sinks and the base bid contained only a sink cabinet. Ophthalmology requires a built in darkroom sink to develop film used in the clinic.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ECONOMY GLASS SERVICES LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FIT-UP Project No. 576.1	1	5/11/90	+ \$ 467.00
Nature of Change:	Extend aluminum frames and glazing.			
Reason for Change:	To allow stack space for deli users and cover concrete floor.			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	2	2/21/90	+ 809.60
Nature of Change:	Revise flush valves for plumbing fixtures.			
Reason for Change:	Specifications do not indicate correct flush valves as per U.K. Standards.			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	3	5/16/90	+ 19,734.60
Nature of Change:	<ol style="list-style-type: none"> 1. Additional sheet metal work. 2. Changes in HVAC system. 3. Add smoke damper operators. 			
Reason for Change:	<ol style="list-style-type: none"> 1. This portion of work was not included in the Contract documents. 2. Air handling system indicated on drawings will not pass under existing ductwork. 3. Dampers and operators not included in the Contract Documents. They must be supplied to meet code. 			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	4	5/16/90	+ 376.31
Nature of Change:	<ol style="list-style-type: none"> 1. Change patient room lavatory wall studs to 6". 2. Second floor ductwork revisions. 3. Delete temporary utilities. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Three 5/8" metal stud wall does not accommodate lavatory wall hanger. 2. Additional work required due to conflict with existing second floor ductwork. 3. Temporary utilities to be furnished by the Owner. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	5	6/7/90	+ \$ 5,540.47
Nature of Change:	<ol style="list-style-type: none"> 1. Locate existing reinforcing steel in third floor concrete slab via magnetic detection equipment. 2. Additional electrical work - pneumatic tub system. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To avoid damage to structural slab due to core drilling for plumbing lines. 2. Not included in electrical drawings or specifications. 			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	6	6/27/90	+ 6,355.00
Nature of Change:	<ol style="list-style-type: none"> 1. Revise nurse call master - 311. 2. Revisions to floor finishes. 3. Add access door at fan plenum casing. 4. Add medical gas alarm panel. 5. Relocate Motor Control Center. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Recessed nurse call master specified in larger than available area at counter. 2. Proposed finishes are more suitable considering long term maintenance. 3. Access door is required to provide access to an existing fire alarm system junction box. 4. Provide separate alarm annunciator for swing patient rooms; to meet program requirements. 5. Relocation required by electrical inspector as per code. 			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	7	6/27/90	+ 1,258.00
Nature of Change:	<ol style="list-style-type: none"> 1. Revise existing fire hose cabinet. 2. Add and relocate electrical outlets. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing fire hose cabinet conflicts with location of new stair door. 2. Required by program, not included in electrical drawings. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
STRUCK CONSTRUCTION COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #2; TRADE CONTRACT #1 Project No. 587.0	2	5/29/90	+ \$ 2,501.00
Nature of Change:	<ol style="list-style-type: none"> 1. Additional underslab conduit for power and communications in first floor structural . 2. Remove a portion of existing Steam Vault No. 19 to allow construction of grade beams to proceed. Provide temporary deck for protection of valve and equipment. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To provide additional conduit required for rough-in of power and communications during construction of the structural slab to meet the future needs of the Communications Department. This work being added to Bid Pack No. 3 due to Bid Pack No. 4 not bid. 2. Steam vault is abandoned and demolished in Bid Pack No. 4. Conflict with grade beams in Bid Pack No. 3 requires partial demolition to allow construction to proceed without delay. 			
STRUCK CONSTRUCTION COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #1 Project No. 587.0	1	5/3/90	+ 8,820.00
Nature of Change:	Modify grade beams and structural slab on grade.			
Reason for Change:	Revised first floor plan for increased size of restrooms in Bid Pack #4 documents.			
McKINNEY DRILLING COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #2 Project No. 587.0	1	5/16/90	- 7,249.00
Nature of Change:	Revised Caissons.			
Reason for Change:	Revised first floor plan for increased size of restrooms in Bid Pack #4 documents.			
McKINNEY DRILLING COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #2 Project No. 587.0	2	6/7/90	- 3,599.00
Nature of Change:	<ol style="list-style-type: none"> 1. Additional 36" steel casing used; and unused 30" steel casing. 2. Delete Atec Caisson Inspection from contract. 3. Reduced bell diameter and hand cutting of caisson bells. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To adjust contract amount for unused portion of casing allowance. 2. Use Fuller, Mossbarger, Scott & May for caisson inspection recommended by mechanical/electrical consultant as they provided original Geotechnical Survey. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ROBERTSON MECHANICAL & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 Project No. 587.0	1	5/16/90	+ \$ 2,504.00
Nature of Change:	Revised underground plumbing rough-in for first floor restrooms.			
Reason for Change:	Revised first floor plan for increased size of restrooms in Bid Pack #4 documents.			
ROBERTSON MECHANICAL & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 Project No. 587.0	2	6/7/90	+ 6,882.00
Nature of Change:	<ol style="list-style-type: none"> 1. Reroute newly installed 24" storm piping that conflicts with existing 16" steam line. 2. Add one sanitary manhole and reroute sanitary and storm piping at north end of new B&E Building around existing trees. 3. Change city water main from 6" to 8" from existing 8" main to the meter pit and from the meter pit into the building. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Existing 16" steam expansion loop not indicated on Contract Documents, and not located as marked in the field. 2. Manhole required to move sanitary and storm piping away from existing 30" tree which is to remain. 3. To meet the flow and pressure requirements due to the change in the Fire Pump from 400 GPM to 1250 GPM in Bid Package No. 4 Contract Documents. 			
ROBERTSON MECHANICAL & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 Project No. 587.0	3	6/7/90	- 5,000.00
Nature of Change:	Credit allowance for relocation of 3" steam and 2 1/2" condensate piping in the event of interference with caissons and foundation work.			
Reason for Change:	Credit for unused allowance.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CENTRAL ROCK CO. LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	1	9/27/89	+ \$ 1,560.00
Nature of Change:	1. Change the dumpster screen to extend main gate panels from 6' to 7' in length and add six steel bollards. 2. Add new drain pipe 8' in length.			
Reason for Change:	1. The enclosure screen was increased in size to reduce the possibility of damage from the dump trucks. Three concrete filled steel bollards were added to each side of the enclosure for added protection. 2. The existing pipe could no longer be drained through the curb. The line was therefore routed to an existing catch basin.			
CENTRAL ROCK COMPANY LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	2	11/1/89	- 1,402.25
Nature of Change:	1. Deduction for additional charges from consultant incurred by Owner, due to project delays. 2. Replace a section of concrete sidewalk at Aquatics entry that has settled and cracked.			
Reason for Change:	Deduction in lieu of liquidated damages.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	2	7/14/89	+ 728.00
Nature of Change:	At construction divider in Dialysis Area, install 5/8" gypsum board and 3 1/2" sound batts to construction side of existing metal stud wall. Seal around existing ductwork and piping as required for a tight fit.			
Reason for Change:	A more effective noise barrier was required between the two areas; to eliminate noise so construction work can continue.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	3	8/15/89	+ 260.00
Nature of Change:	Install recirculation line in "R-O" supply system in each of the two dialysis boxes.			
Reason for Change:	Recirculation line is not called for, but is necessary for operation.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	4	9/6/89	+ \$ 3,614.00
Nature of Change:	<ol style="list-style-type: none"> 1. Furnish & install 20" x 30" access panel in the sidewall of the pipe chase adjacent to the nurse's station in Rm. H507. 2. Remove plaster ceiling in Phase II of project. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Access to allow concealed connection of the telephone wiring at junction box; not picked up during design. 2. Ceiling was indicated on plans as concealed spline, it was in fact, tile on plaster. 			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	5	9/6/89	+ 85.00
Nature of Change:	Furnish and install an 8" x 8" panel at the location of the existing telephone junction box in Rm. H510C.			
Reason for Change:	To allow connection of the telephone. No provision was made for this requirement on the plans.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	6	11/8/89	+ 2,006.36
Nature of Change:	<ol style="list-style-type: none"> 1. Upgrade existing above ceiling electrical installation. 2. Correct existing electrical deficiencies above the ceiling. 3. Install three 18 x 18 access panels at required location on 4th floor. 4. Upgrade ceiling electrical. Install telephone conduit to phone terminal, Dialysis. Relocate J-box away from steam line. Provide alternate pull stations and lights for Nurses Call Systems. 			
Reason for Change:	<ol style="list-style-type: none"> 1 & 2 Changes required by State Electrical Inspector. 3. Access required as directed by the University to allow access to plumbing valves and telephone terminations. 4. Change required to bring area up to the electrical code and safety standards. 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	3	8/2/89	+ \$ 1,687.00
Nature of Change:	Install new electric sub-panel to feed the gas column in Room HS-218.			
Reason for Change:	A separate circuit for each service to the gas column should eliminate overload possibilities.			
MARCO CONSTRUCTION NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	4	9/26/89	+ 1,621.00
Nature of Change:	Electrical installations upgrading existing above-ceiling.			
Reason for Change:	Required by Code.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	5	11/8/89	+ 3,075.00
Nature of Change:	1.	Electrical changes.		
	2.	Electrical changes for X-ray disconnect installation.		
Reason for Change:	1.	Changes requested by the Division of Licensing & Regulations.		
	2.	During design the information received on this equipment has now turned out to be incorrect. Once the equipment was received electrical changes were needed for proper installation.		
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	3	3/08/90	- 0 -
Nature of Change:	Time extension of seven calendar days.			
Reason for Change:	Due to inclement weather, primarily rain.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	4	5/11/90	-0-
Nature of Change:	Time extension - 10 days.			
Reason for Change:	Additional work for removing unacceptable soil and four days of rain in March.			
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	5	6/13/90	+ \$ 11,996.18
Nature of Change:	Extra excavation and backfill adjacent to column lines A/8.			
Reason for Change:	To remove unsuitable soils below the building in the area of column line A/8.			
KEMPER ELECTRIC CO. LEXINGTON	MCVEY HALL ELECTRICAL & COMMUNICATIONS UPGRADE Project No. 597.0	1	10/31/89	+ 4,349.65
Nature of Change:	1.	Install 80 additional wireway supports.		
	2.	Install fire treated plywood backboards in communications closets.		
Reason for Change:	Above changes are required to meet code requirements.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	1	9/8/89	+ 823.00
Nature of Change:	Replace electrical conduit and wire.			
Reason for Change:	Conduit was in concrete slab. It was impossible to demolish slab without destroying conduit.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	2	9/8/89	+ \$ 623.00
Nature of Change:	Sand blast planter walls.			
Reason for Change:	Other walls near planter had been sandblasted. This additional work made the planter blend with its surroundings.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
PERRY CONSTRUCTION CO. LEXINGTON	AMERICAN BUILDING RENOVATION GEOLOGICAL SURVEY Project No. 613.0	1	10/19/89	+ \$ 1,101.10
Nature of Change:	<ol style="list-style-type: none"> 1. Saw out and remove concrete to fan out new slab at door between Building A & B. 2. Saw out, remove and replace concrete at two new ramps. 3. Remove concrete slab and foundation encountered below floor level in acid waste ditch in order to install the same. 4. Install 6" clean-out at intersection of existing sewer and new sewer. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Requested by State Fire Marshal's Office. 2. Adjustment in ramps needed for operation of fork lift in these areas. 3. Foundation below floor slab was not known to exist, removal was necessary for plumbing. 4. Because of unknown piping, pipe elevations needed to be changed through this clean out. 			
TRWILLO CONSTRUCTION CO. PIKEVILLE	PRESTONSBURG COMMUNITY COLLEGE BID PACK. NO. 1 - EARTHWORK SCIENCE BLDG. Project No. 624.0	1	04/02/90	-0-
Nature of Change:	Time extension of 56 days. The time provided for completion in this contract is increased by 56 calendar days. The revised Contract Completion Date is therefore February 28, 1990.			
Reason for Change:	Inclement weather prevented site preparation and placement of surcharge earthwork.			
C. C. LEE, INC. LEXINGTON	MEDICAL CENTER ALLIED HEALTH RENOVATION Project No. 625.0	4	7/14/89	+ 287.00
Nature of Change:	Make changes in existing construction; including cutting off existing fixture stud supports and reinstall existing, add arc shields and receptacle cover.			
Reason for Change:	Changes required by State Electrical Inspector.			
MOUNTAIN CONSTRUCTION HARLAN	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATIONS Project No. 628.0	1	12/5/89	+ 792.00
Nature of Change:	Change clear glass to bronze glass on exterior windows.			
Reason for Change:	Plan did not indicate glass to be bronze to match existing.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
MOUNTAIN CONSTRUCTION CO. HARLAN	FALKENSTINE HALL RENOVATION SOUTHEAST COMMUNITY COLLEGE Project No. 628.0	3	03/26/90	+ \$ 857.37
Nature of Change:	Provide additional smoke detectors in the corridor.			
Reason for Change:	Required by State Fire Marshal.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	1	03/20/90	+ 7,199.00
Nature of Change:	<ol style="list-style-type: none"> 1. Change aluminum finish of window from clear anodized to bronze anodized. 2. Revise foundation system because of unanticipated subsurface conditions. 			
Reason for Change:	<ol style="list-style-type: none"> 1. Modification in finish insured that Newman Hall will match Falkenstine and Chrisman Hall. 2. Change required by size and location of perimeter piping. 			
BROADVIEW BUILDING, INC. LEXINGTON	MEDICAL CENTER ANNEX II STRUCTURAL REPAIRS Project No. 632.0	1	7/24/89	+ 209.47
Nature of Change:	Weld in place a 1/4" x 2" flat steel plate under the steel brick support shelf. This was necessary to close a large gap between the old sagging building steel and the straight new brick shelf.			
Reason for Change:	Existing steel has deflected caused by weight of original brick. The new shelf angle was straight. When the new shelf was installed, an opening or gap was created between the two pieces of metal.			
CAREY CONSTRUCTION CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE I Project No. 635.0	1	3/06/90	- 2,770.00
Nature of Change:	<ol style="list-style-type: none"> 1. Delete demolition of Area 'B'. 2. Modify temporary site drainage. 3. Time extension of eight calendar days. 			
Reason for Change:	<ol style="list-style-type: none"> 1. To allow cleaner conditions for University users until Phase II begins. 2. Provides simpler solution to drainage problem during Phase I. 3. University delays start of Phase I from 12/22/89 through 12/29/89 (8 days). 			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CENTRAL ROCK MINERAL CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	1	6/7/90	+ \$ 2,156.00
Nature of Change:	Construct 2" x 4" knee wall to support the fly rafters at gable ends; install core molding at soffits between joint of gypsum and fascia; add one bench to south porch.			
Reason for Change:	Required structural support of truss system at gable ends; moldings required for end of gypsum board at exterior soffit; and bench recommended for visual contact with incoming traffic stream.			
CENTRAL ROCK MINERAL CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	2	6/27/90	+ 1,978.00
Nature of Change:	Modify top of North and South entrance walls to uniform elevation.			
Reason for Change:	Changes made so that wall would meet sidewalk grade and remain level.			
M. B. MCGREGOR LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 650.0	1	8/8/89	+ 3,172.98
Nature of Change:	1.	Relocate and reinsulate existing cold water supply piping from second through fourth floors.		
	2.	Correction of material deficiencies.		
	3.	Relocate and reinsulate water piping near corridor wall to allow installation of fire/smoke damper in corridor wall.		
	4.	Replace two hydronic heater elements.		
Reason for Change:	1.	Change required due to removal and replacement of bar joists.		
	2.	Material shortages and deficiencies of University supplied shower partitions.		
	3.	Physical interference with new damper.		
	4.	Existing units were corroded and could not be reinstalled.		
M. B. MCGREGOR LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 650.0	2	11/7/89	- 792.00
Nature of Change:	Delete labor & material costs for push-button switches and contractors for future infra-red heaters.			
Reason for Change:	Items were not installed by electrical contractor.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	CHANGE NUMBER	DATE APPROVED	AMOUNT
DEERING AND SON, INC. LEXINGTON	BLANDING IV ROOF REPLACEMENT Project No. 653.0	1	7/21/89	-0-
Nature of Change:	Change material of coal tar pitch to Owens/Corning Permamop modified asphalt. Extend contract completion time ten calendar days.			
Reason for Change:	Coal tar pitch is no longer offered by Owens/Corning. Decision to stop offering of roof made after award of contract. Extension of time required for delivery of material.			
DEERING AND SON, INC. LEXINGTON	BLANDING IV ROOF REPLACEMENT Project No. 653.0	2	8/17/89	+ \$ 713.50
Nature of Change:	Remove and replace 390 l.f. of 2 x 4 wood blocking; and 40 l.f. of 2 x 6 wood blocking.			
Reason for Change:	Existing wood blocking had extensive water damage.			
TRI-STATE ROOFING & SHEET METAL CO. LEXINGTON	HOSPITAL - COOLING TOWER REPAIR Project No. 655.0	1	3/13/90	-0-
Nature of Change:	Time extension of 67 days.			
Reason for Change:	Time extension due to extremely cold weather in December following rain in November which delayed completion of final topping application and flashing.			
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	1	04/02/90	+ 441.13
Nature of Change:	A Size 2 starter will be required for each pump instead of a Size 0 as originally specified.			
Reason for Change:	The specified starters were inadvertently sized for the given pump horsepower and was not recognized in time to issue an Addendum.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	2	04/02/90	-0-
Nature of Change:	Due to extremely cold weather and the associated complications of installing the fiberglass piping in these conditions, an additional 15 days should be added to the completion date for the period the construction was delayed. The time provided for completion in this contract is increased by 15 calendar days. The revised Contract Completion Date is therefore 1/25/90.			
Reason for Change:	Due to extremely cold weather and the associated complications of installing the fiberglass piping in these conditions, the installation of the piping was postponed approximately 15 days.			
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	3	04/02/90	+ \$ 1,077.71
Nature of Change:	A crossover loop was installed between the chilled water piping in the Mechanical Room serving the Science Building to provide temporary heating.			
Reason for Change:	Due to the severity of a leak that developed, it was determined to utilize the chilled water piping to transport hot water to the Science Building for heating. The existing hot water piping was in too bad of condition to risk trying to repair it.			
TILFORD CONTRACTORS PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	4	04/10/90	-0-
Nature of Change:	Time extension due to weather and other miscellaneous delays. The time provided for completion in this contract is increased by twenty-six (26) calendar days. The revised Contract Completion Date is therefore February 20, 1990.			
Reason for Change:	Refer to enclosed letter from Tilford Contractors, Inc.			
TILFORD CONTRACTORS PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	5	06/14/90	+ 921.90
Nature of Change:	Move frequency drive and pump motor starters to a more convenient location.			
Reason for Change:	Insufficient service clearance of the variable frequency drive and pump motor starters.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
TRS, INC. DBA SECOA MINNEAPOLIS, MN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. FIRE CURTAIN Project No. 690.2	2	5/7/90	+ \$ 300.00
Nature of Change:	Relocate three additional electrical/communication outlets for unit price specified in contract.			
Reason for Change:	Discrepancy in size of stage opening.			
FAYETTE ELECTRICAL SERVICE LEXINGTON	FIRE SAFETY THREE BUILDINGS Project No. 881.0	2	7/20/89	+ 2,600.00
Nature of Change:	Provide 12 smoke detectors at Wenner Gren Laboratory 2nd floor, pull new zone wiring through existing conduit to the fire alarm panel and tie into existing spare zone module, and update the zone map to reflect changes.			
Reason for Change:	Fire code requirements were not met in original design. Area was omitted from project due to oversight of programmers and consultants.			

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
ROY PELFREY ASSOCIATES LEXINGTON	CENTER FOR THE ARTS STAGE CURTAIN Project No. 494.0	\$ 19,600	7/28/89
GREEN MECHANICAL LEXINGTON	MEDICAL PLAZA ROOFTOP MECHANICAL CONTROLS Project No. 576.2	679	7/28/89
KENTUCKY ORNAMENTAL IRON LEXINGTON	AQUATIC CENTER ENTRY Project No. 661.0	6,785	8/04/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	MEDICAL SCIENCE SMOKE DETECTORS Project No. 853.0	244,972	8/15/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	DENTAL SCIENCE SMOKE DETECTORS Project No. 854.0	58,603	8/15/89
WEBER & WEBER LOUISVILLE	JEFFERSON COMMUNITY COLLEGE COMMUNICATION DUCT BANKS Project No. 577.0	33,480	8/15/89
GORDON CONSTRUCTION SHELBYVILLE	COMPLEX BASKETBALL COURTS LANDSCAPING Project No. 391.1	11,817	8/15/89
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	145,681	8/16/89
HIBBITTS CONSTRUCTION LONDON	ASBESTOS REMOVAL ANIMAL CARE RENOVATION Project No. 406.2	82,990	8/16/89
HOPKINS COUNTY ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE CONCRETE CONCOURSES - 3RD LEVEL Project No. 387.0	73,319	8/16/89
C. C. LEE LEXINGTON	MEDICAL CENTER ALLIED HEALTH ADMINISTRATIVE OFFICES Project No. 625.0	21,531	8/16/89
BROADVIEW BUILDINGS LEXINGTON	MEDICAL CENTER - ANNEX 2 STRUCTURAL INVESTIGATION Project No. 632.0	17,975	9/01/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
MCCARTHY BROTHERS - CONSTRUCTION MANAGERS LEXINGTON/ ST. LOUIS, MO	HOSPITAL EXPANSION COOLING PLANT Project No. 304.8	\$ 159,319	9/07/89
MCNEESE CONSTRUCTION LEXINGTON	HOLMES HALL SUNDECK REPAIR Project No. 446.0	57,349	9/11/89
YOUNG SALES LOUISVILLE	JEFFERSON COMMUNITY COLLEGE AUDITORIUM ROOF REPLACEMENT Project No. 584.0	22,770	9/19/89
WARREN BUILDERS MT. STERLING	NOE HOUSE ROOF REPLACEMENT Project No. 552.0	96,233	9/13/89
WARREN BUILDERS MT. STERLING	ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0	98,250	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0	66,455	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0	60,745	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0	59,855	9/13/89
ASBESTOS ENVIRO-CLEAN BARTONVILLE, IL	ASBESTOS REMOVAL - PADUCAH C. C. STAGE CURTAIN Project No. 583.1	5,860	9/20/89
MID-CONTINENTAL RESTORATION FORT SCOTT, KS	PATTERSON HALL BRICK TUCKPOINTING Project No. 566.0	21,674	9/20/89
CLEAVER CONSTRUCTION MURRAY	PADUCAH COMMUNITY COLLEGE STUDENT CTR./FINE ARTS SETTLEMENT Project No. 383.0	23,850	9/25/89
DEERINGER & SON LEXINGTON	BLANDING VI ROOF REPLACEMENT Project No. 653.0	53,108	10/23/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)

CONTRACTOR	PROJECT	FINAL CONTRACT AMOUNT	DATE
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMNS Project No. 564.0	\$ 16,284	10/23/89
AKERS & AKERS DANA	PRESTONSBURG COMMUNITY COLLEGE PARKING LOT Project No. 271.0	136,481	10/23/89
RUEFF LIGHTING LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK 5 - LAZER INSULATION POWER SUPPLIES Project No. 304.1	98,571	10/23/89
PEARCE-PHELPS ROOFING LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT Project No. 581.0	43,372	10/23/89
PEARCE-PHELPS ROOFING LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	131,881	10/23/89
PANEL TECH LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	35,052	10/23/89
GREEN MECHANICAL CONST. LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	16,501	10/23/89
BROWN SPRINKLER LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	28,900	10/23/89
BROADVIEW BUILDING LEXINGTON	SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0	58,837	10/23/89
C. E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	7,921,630	10/31/89
ECONOMY GLASS SERVICE LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	35,295	10/31/89
LINKER'S PROFESSIONAL INSULATORS LOUISVILLE	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	39,175	10/31/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
PARSON'S ELECTRIC LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	\$ 12,105	10/31/89
NORWOOD CONSTRUCTION LEXINGTON	DEMOLITION OF STRUCTURES CLIFTON, ROSE, PENNSYLVANIA, & GAZETTE Project No. 675.0	13,958	11/06/89
CENTRAL ROCK MINERAL CO. LEXINGTON	LANCASTER AQUATIC CENTER PARKING LOT Project No. 598.0	51,600	11/09/89
BEAR ELECTRIC OWENSBORO	HOPKINSVILLE COMMUNITY COLLEGE ACADEMIC BLDG. EMERGENCY LIGHTING Project No. 607.0	17,509	11/09/89
E. C. MATTHEWS LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	248,650	11/16/89
CENTRAL ROCK MINERAL CO. LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	69,661	11/16/89
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	39,580	11/20/89
MCCARTHY BROTHERS LEXINGTON	HOSPITAL EXPANSION COOLING TOWER Project No. 304.8	671	11/20/89
M. B. MCGREGOR LEXINGTON	HAGGIN HALL SHOWERS PHASE III Project No. 650.0	133,259	11/28/89
K. V. CONSTRUCTION LEXINGTON	DONOVAN HALL DOOR #1 PULL Project No. 407.1	120	11/28/89
PERRY CONSTRUCTION LEXINGTON	AMERICAN BUILDING RENOVATION GEOLOGICAL SURVEY PHASE II Project No. 613.0	116,444	12/07/89
MCKNIGHT & ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	59,946	12/07/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
KEMPER ELECTRIC LEXINGTON	MCVEY HALL COMMUNICATIONS CONDUIT & ELECTRICAL Project No. 597.0	\$ 65,819	12/07/89
P & R ROOFING & SHEET METAL LEXINGTON	SPINDLETOP ANIMAL CARE FACILITY ROOF REPLACEMENT Project No. 548.0	33,204	12/13/89
K. V. CONSTRUCTION LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	118,534	12/15/89
CENTRAL KENTUCKY GLASS LEXINGTON	PARKING STRUCTURE Project No. 304.3	15,793	12/20/89
PEARCE PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOFS REPLACEMENT Project No. 554.0	70,347	1/08/90
FINNEY COMPANY LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	210,112	1/12/90
STEVENS CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING PHASE II Project No. 478.1	245,232	1/23/90
CONGLETON-HACKER LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	3,065,494	1/25/90
E. C. MATTHEWS LEXINGTON	FOOD SERVICE FREEZER #2 Project No. 610.0	106,500	1/30/90
LANDMARK SPRINKLER LEXINGTON	KEENELAND HALL BASEMENT STORE ROOM SPRINKLERS Project No. 638.0	22,625	2/06/90
CONGLETON-HACKER LEXINGTON	ANIMAL CARE RENOVATION Project No. 406.1	568,788	2/09/90
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	118,722	2/15/90

**VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL OUTPATIENT SURGERY RENOVATION Project No. 590.0	\$ 104,823	3/06/90
BROWN SPRINKLER LOUISVILLE	BLAZER HALL BASEMENT STORAGE ROOM SPRINKLERS Project No. 637.0	52,422	3/06/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	164,899	3/06/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	238,699	3/06/90
DEBOON LEXINGTON	HOSPITAL EXPANSION - HELIPORT Project No. 304.5	80,900	3/06/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FRONT ENTRANCE Project No. 636.0	108,047	3/16/90
KOORSEN PROTECTION SERVICE LOUISVILLE	PATTERSON OFFICE TOWER HALON SUPPRESSION SYSTEM Project No. 852.2	12,500	4/06/90
SHERROD CONSTRUCTION WINCHESTER	HOSPITAL FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	27,933	4/06/90
THE BRI-DEN COMPANY ASHLAND	ASHLAND COMMUNITY COLLEGE ROOF REPLACEMENT PHASE I Project No. 491.0	134,962	4/19/90
BURDETTE ELECTRIC LEXINGTON	KEENELAND HALL FIRE SAFETY Project No. 561.0	123,442	4/26/90
PARSONS ELECTRIC LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 311.0	175,638	4/26/90
JERRY GROT ELECTRIC LEXINGTON	SOUTH CAMPUS FIRE ALARM Project No. 842.0	63,842	5/04/90
L. STANDAER LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project No. 431.6	5,520	5/08/90

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
(Continued)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
JERRY GROT ELECTRIC LEXINGTON	CHEMISTRY PHYSICS BUILDING Fire Safety Project No. 855.0	\$ 129,569	5/23/90
ARROW ELECTRIC LEXINGTON	BLAZER HALL FIRE ALARY SYSTEM Project No. 536.0	129,761	6/22/90

Members, Board of Trustees:

FCR 4

1990-91 BUDGET REVISIONS
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1990-91 budget be authorized and approved.

	<u>APPROVED BUDGET</u>	<u>REVISED BUDGET</u>	<u>CHANGE</u>
A. <u>GENERAL FUND</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Summer Session			
-Community College System	\$ 1,364,600	\$ 1,380,800	\$ 16,200
Off-Campus and Weekend			
Instruction - Community			
College System	2,391,100	2,444,200	53,100
Noncredit Fees	6,368,000	6,520,500	152,500
Other Fees	953,400	883,400	(70,000)
Gifts and Grants			
The Fund for Advancement of			
Education and Research in			
the UK Medical Center	1,229,600	1,489,900	260,300
Federal - Agriculture	287,900	302,400	14,500
Other Gifts and Grants	2,159,400	2,284,400	125,000
Sales and Services			
Departmental Sales and Services	12,130,100	12,271,600	<u>141,500</u>
			\$ 693,100
2. Expenditures			
Central Administration			
University Relations	763,000	808,000	45,000
Community College System			
System-Wide	1,609,800	1,811,800	202,000
Hazard	3,203,200	3,204,100	900
Henderson	3,107,100	3,113,900	6,800
Jefferson	14,161,100	14,170,500	9,400
Owensboro	3,329,500	3,346,500	17,000
Prestonsburg	4,333,000	4,386,100	53,100
Information Systems			
Vice President for Information			
Systems	371,300	396,300	25,000
Campus Services	848,500	838,500	(10,000)
Lexington Campus			
Agricultural Cooperative Extension Service			
Entomology	567,700	582,200	14,500

	<u>APPROVED BUDGET</u>	<u>REVISED BUDGET</u>	<u>CHANGE</u>
2. Expenditures (continued)			
Lexington Campus (continued)			
College of Arts and Sciences			
Chemistry	\$ 2,311,100	\$ 2,316,100	\$ 5,000
College of Education			
Curriculum and Instruction	1,209,700	1,214,700	5,000
Educational Policy Studies	669,700	679,700	10,000
Educational Psychology and Counseling	935,200	945,200	10,000
Special Education	520,300	535,300	15,000
College of Law	3,114,000	3,129,000	15,000
Academic Support			
Office for International Programs	523,100	438,100	(85,000)
Business Affairs			
Vice Chancellor Administration			
Procurement and Construction	2,312,400	2,316,400	4,000
Student Billing Services	542,700	531,700	(11,000)
Minority Affairs			
Office of the Vice Chancellor	176,700	178,200	1,500
Student Affairs			
Dean of Students	693,700	697,200	3,500
Medical Center			
College of Dentistry			
Office of the Dean	210,600	416,500	205,900
College of Medicine			
Pediatrics	1,275,900	1,299,900	24,000
Psychiatry	1,336,800	1,356,800	20,000
Medical Center Administration and Support			
Student Services			
University Health Service	2,275,000	2,309,100	34,100
Other Support Services			
Chancellor	363,600	383,900	20,300
Research and Graduate Studies			
Academic Affairs			
Center for Applied Energy Research	3,377,200	3,387,200	10,000
Kentucky Water Resources Research Institute	41,200	71,200	30,000
Academic Support			
Vice Chancellors for Research and Graduate Studies	340,900	348,000	7,100
Nuclear Magnetic Resonance Facility - Biochemistry	- 0 -	5,000	<u>5,000</u>
			\$ 693,100

3. Comments - The increase in summer registration fees supports enrollment growth at Henderson and Jefferson Community Colleges. The increase in Off-Campus and Weekend Instruction supports enrollment growth at

Prestonsburg Community College. The increase in Noncredit Fees supports the College of Education's Writing Institute; the College of Medicine's Pediatrics workshop; the Electron Microscopy workshop; the Water Resources Research Institute; and activities in Hazard, Owensboro and system-wide instruction in the Community College System. The decrease in other tuition and fees includes additional support for the admissions function in the College of Law and the reduction of the international student fee in Academic Affairs. The increase in gifts and grants in the Fund for Advancement of Education and Research in the UK Medical Center supports salary supplementation and fringe benefits for the College of Dentistry and the University Health Service. The increase in Federal Agriculture gifts and grants supports activities in the Agricultural Cooperative Extension Service. The increase in other gifts and grants supports programs in the Community College System. The increase in departmental sales and services supports activities in Information Systems; the College of Education; the College of Arts and Sciences; Business Affairs; Minority Affairs; Student Affairs; the College of Medicine; the Center for Applied Energy Research; the Nuclear Magnetic Resonance Facility in Biochemistry; University Relations; and Owensboro Community College.

	<u>APPROVED BUDGET</u>	<u>REVISED BUDGET</u>	<u>CHANGE</u>
B. <u>RESTRICTED FUNDS</u>			
1. Income Estimates	\$ 47,880,900	\$ 48,555,500	\$ 674,600
2. Expenditures			
Community College System			
Ashland	311,600	312,500	900
Elizabethtown	125,300	129,800	4,500
Hazard	180,400	185,200	4,800
Henderson	399,400	406,300	6,900
Hopkinsville	378,500	379,500	1,000
Madisonville	405,600	585,600	180,000
Owensboro	53,800	250,600	196,800
Somerset	97,500	132,500	35,000
System-Wide	106,900	127,900	21,000
Information Systems			
Libraries	335,100	320,700	(14,400)
Lexington Campus			
Agricultural Experiment Station			
Director	199,000	223,400	24,400
Agronomy	695,200	733,200	38,000
Entomology	201,200	219,200	18,000
Veterinary Science	1,329,100	1,332,400	3,300
College of Arts and Sciences			
Geology	39,200	84,200	45,000
Political Science	19,700	44,700	25,000
College of Business and Economics			
Scholarships	11,400	11,500	100
College of Communications			
Journalism	18,800	22,400	3,600
College of Engineering			
College-Wide	262,200	282,200	20,000
Academic Support			
Art Museum	77,000	72,000	(5,000)

	<u>APPROVED BUDGET</u>	<u>REVISED BUDGET</u>	<u>CHANGE</u>
2. Expenditures (continued)			
Lexington Campus (continued)			
Academic Support (continued)			
Undergraduate Studies			
Humanities Program	\$ 5,200	\$ 5,900	\$ 700
University Press	41,000	43,900	2,900
Minority Affairs			
Scholarships	10,000	19,400	9,400
Medical Center			
College of Medicine			
Psychiatry	81,500	106,100	24,600
Research and Graduate Studies			
Vice President for Research	69,600	87,200	17,600
Graduate School Program	198,100	208,600	<u>10,500</u>
			\$ 674,600

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

C. AUXILIARY SERVICES

1. Income Estimates	\$ 34,901,800	\$ 34,931,200	\$ 29,400
2. Expenditures			
College of Medicine			
Medical Center Microscopes	13,900	43,300	29,400

3. Comments - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.

Decision Taken: Approved Disapproved Other

Date: September 18, 1990

Office of the President
September 18, 1990

FCR 5

Members, Board of Trustees:

ESTABLISHMENT OF QUASI-ENDOWMENT FOR THE
DOROTHY CAVINS TRUST FOR THE
COLLEGE OF DENTISTRY SCHOLARSHIP PROGRAM

Recommendation: that the Board of Trustees authorize the "Dorothy Cavins Trust."

Background: Dorothy R. Cavins began work in the Medical Center Admissions Office as a secretary on August 20, 1962. During the first ten years of her service to the University of Kentucky she was an outstanding student advocate and counselor. In 1972 she became an Administrative Assistant to the Dean of the College of Dentistry, Dr. Harry M. Bohannon, and later transferred to the Council on Higher Education when Dr. Bohannon became Coordinator for Health Sciences Education for the Council. She retired from the University of Kentucky on August 20, 1977. A number of faculty and alumni are interested in memorializing her exemplary service to the University by establishing the "Dorothy R. Cavins Scholarship" quasi-endowment fund.

By this action, the Board of Trustees will establish the fund and permit the allocation of funds necessary to implement this authorization. Funds for this endowment (\$13,052) will come from the Dental Services Plan of The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, Inc. The interest earned on the endowment will serve as a scholarship fund to support the College of Dentistry students.

Action taken: Approved Disapproved Other

Date: September 18, 1990

Office of the President
September 18, 1990

FCR 6

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: George A. Digenis and Bushra J. Agha, Serial No. 07/263,385, filed October 27, 1988.

Background: George A. Digenis and Bushra J. Agha have invented certain improvements in NOVEL PEPTIDYL CARBAMATE INHIBITORS OF THE ENZYME ELASTASE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: September 18, 1990

ASSIGNMENT

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventor(s): George A. Digenis and Bushra J. Agha
Serial No.: 07/263,385
Filed: October 27, 1988

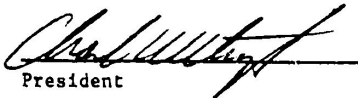
WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries:

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest in any and all Letters Patent of the United States which may be issued or granted on or as a result of the application herebefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 18th day of September, 1990.

UNIVERSITY OF KENTUCKY

S E A L


President

ATTEST:


Secretary, Board of Trustees

Office of the President
September 18, 1990

FCR 7

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: George A. Digenis, Bushra J. Agha, William R. Banks and Frantisek Rypacek, Serial No. 07/242,294, filed September 9, 1988.

Background: George A. Digenis, Bushra J. Agha, William R. Banks and Frantisek Rypacek have invented certain improvements in ELASTASE INHIBITING POLYMERS AND METHODS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: September 18, 1990

ASSIGNMENT

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the owner by assignment of the entire interest of the inventors George A. Digenis, Bushra J. Agha and William R. Banks, of the following application for Letters Patent of the United States and the invention represented thereby:

Serial Number: 07/242,294

Filed: September 9, 1988

Inventors: George A. Digenis; Bushra J. Agha;
William R. Banks and Frantisek Rypacek

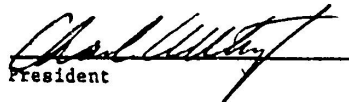
WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest of BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION its right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of its right, title and interest in any and all Letters Patent of the United States which may be issued or granted on or as a result of the application herebefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 18th day of September, 1990.

UNIVERSITY OF KENTUCKY

S E A L


President

ATTEST:


Secretary, Board of Trustees

Office of the President
September 18, 1990

FCR 8

Members, Board of Trustees:

MINING OF COAL RESERVES IN BREATHITT, KNOTT AND PERRY COUNTIES

Recommendation: that the University's Administration be authorized to prepare a Request for Proposal and solicit from financially sound and quality mining companies proposals and plans to mine coal reserves in the Bear Branch, Beaverdam Creek, Fishtrap Branch, Little Caney Creek, Hurricane Branch, Rose Branch and Laurel Fork tracts belonging to the University of Kentucky located in Breathitt, Knott and Perry Counties, Kentucky; and that any proposals resulting from the RFP process be brought back to the Board's Finance Committee for review and recommendation to the full Board of Trustees for approval.

Background: The Board of Trustees requested the Administration to attempt to work out a fair and economically equitable trade of land and coal reserves with Arch on the North Fork in exchange for the title to the land and coal reserves in the Hudson, Bush and Goff tracts in order to protect the research and other activities in the contiguous acres of Robinson Forest which include the Clemons Fork watershed. As of this date no agreement with Arch on the North Fork has been reached.

The University of Kentucky is concerned about the economic future of Eastern Kentucky and specifically Breathitt County. The mining of coal in the tracts recommended to be included in the RFP would help ensure additional years of economic activity in these Eastern Kentucky counties.

In order to maximize the economic benefits to the area, the RFP will require that the successful bidder be efficient in recovering all the coal in these tracts that is deemed economically feasible to mine. In addition, the University will expect the successful contractor to do model and innovative reclamation in these areas and provide students and faculty the opportunities to study and learn from the mining and reclamation activities on these sites.

The revenues derived by the University from the mining operations must remain under the control of the University Board of Trustees and be utilized to meet the conditions of the Robinson Trust for the benefit of the people of Eastern Kentucky.

Action taken: Approved Disapproved Other

Date: September 18, 1990