

Minutes of the Meeting of the Board of Trustees
University of Kentucky
Tuesday, January 29, 2013

The Board of Trustees of the University of Kentucky met at 1:00 p.m. EST on Tuesday, January 29, 2013 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:00 p.m. Chair Brockman asked Trustee Sheila Brothers, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees were present at the meeting: C.B. Akins, Sr., Stephen Bilas, William C. Britton, E. Britt Brockman, Sheila Brothers, Mark Bryant, Jo Hern Curris, William S. Farish Jr., Oliver Keith Gannon, Carol Martin "Bill" Gatton, Kelly Sullivan Holland, Billy Joe Miles, Terry Mobley, Charles Sachatello, C. Frank Shoop, James W. Stuckert, Irina Voro, John Wilson, and Barbara Young. Secretary Brothers announced that a quorum was present.

The University administration was represented by President Eli Capilouto, Interim Provost Tim Tracy, Executive Vice President for Finance and Administration Eric Monday, Executive Vice President for Health Affairs Michael Karpf, and General Counsel William Thro.

The University faculty was represented by Chair of the University Senate Council Lee Blonder, and the University staff was represented by Chair of the Staff Senate Mike Adams.

Guests and members of the news media were also in attendance.

C. Consent Items

Chair Brockman called attention to the three consent items on the agenda. The items included the minutes for the Board of Trustees meeting on December 11, 2012, PR 2 which deals with personnel actions, and FCR 1, the transfer of a university professorship and nursing endowment.

Trustee Farish moved approval of the consent items. Trustee Brothers seconded the motion and the floor was opened for discussion. Trustee Stuckert noted in PR 2 that Trustee Voro had applied for a sabbatical leave at full salary from July 1, 2013 to December 31, 2013. He asked if this leave would have any impact relative to her Board of Trustee duties. Trustee Voro stated that if her sabbatical leave was approved, she would not have any teaching duties. She stated that as far as her Board duties were concerned, she might miss one meeting, but would try her best to do the job she is required to do.

Chair Brockman called for the vote and it passed with one recusal from Trustee Voro. (See consent items listed below on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Minutes, December 11, 2012

PR 2 Personnel Actions

FCR 1 Transfer of the University Professorship in Nursing Endowment to the Marcia A. Dake Professorship in Nursing Science Endowment

D. Chairman's Report

Chair Brockman began by reporting that there had been no requests since the last meeting to speak or appear before the Board.

E. Proposed Revision to Governing Regulation: Board of Trustees Committee Structure (CR 1)

Chair Brockman reported that CR 1 is the proposed revision to the Governing Regulations regarding the Board of Trustees committee structure. The recommendation is that the Board receive and vote to accept for the first reading this revision. The revision is intended to streamline operations through consolidation, name change and new procedures and will take effect on July 1, 2013. Reviewed and approved by the Executive Committee, the proposed revisions to the committees are as follows:

- Consolidate Academic Affairs and Student Affairs;
- Consolidate University Relations and Human Resources;
- Change the name of the Audit Subcommittee to Audit and Compliance;
- Committee reports shall be posted online within 72 hours after the conclusion of Committee meetings; and
- Athletics and Health Care shall provide a brief update at each Board meeting if the Committee has met.

Chair Brockman opened the floor for discussion. Trustee Voro proposed and moved the following:

- The establishment of a standing committee on “good governance, accountability and ethics;” and
- The establishment of a faculty/staff ombudsman position that reports directly to the Board of Trustees.

Trustee Voro also requested that the email memorandum that was sent on Sunday evening, January 27, 2013 to Board members be made a part of the minutes. ([See link on Board of Trustees website.](#))

Chair Brockman stated that as the Board of Trustees was hearing this motion for the first time, he was referring it to the standing committee on committee structures, which will have 90 days to review this action.

Chair Brockman posed the question regarding the first reading of CR1 and it passed. (See CR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

F. President's Report (PR 1)

President Capilouto stated that the University of Kentucky Confucius Institute, which has been in existence for only two years, was recently named the 2012 Confucius Institute of the Year. This honor was awarded by the Office of the Chinese Language Council International, and it distinguishes the UK Confucius Institute among the more than 430 institutes in 115 countries. He continued that this is a tremendous honor, and it reflects well on the many people involved with the Institute and with UK's international outreach on behalf of students and the University. China has a great deal of potential for the University of Kentucky, and this Institute is at the forefront of our efforts and is still growing an emerging market.

President Capilouto invited Interim Provost Tim Tracy to come forward to discuss the importance of the honor and to introduce the leaders of the Institute.

Interim Provost Tracy began by stating that the scope of this award highlights how the influence of the UK Confucius Institute strengthens UK in wide-ranging ways. As the key facilitator to UK's China exchanges, the Institute helps the colleges identify and develop their strategic China partnerships with Chinese universities, which are heavily investing in students, facilities, and quality. These partnerships create tremendous opportunities for research and teaching for faculty, as well as scholarships and study opportunities for students. By assisting colleges in establishing these strategic collaborations, the Confucius Institute plays a key role in attracting excellent Chinese students to UK and in contributing to the Chinese experience of UK students.

Interim Provost Tracy continued that this award is a testament to the leadership and commitment of Dr. Huajing Maske and her team, whose work greatly influences our campus and our partnerships with Chinese institutions. He spoke from personal experience, having also just visited China in December with Dr. Maske, of the influence that Dr. Maske has there and what she contributes to those collaborations and the doors that she opens. He invited Dr. Maske to come forward and say a few words.

Dr. Maske thanked President Capilouto and the Board of Trustees for the recognition. She stated that the UK Confucius Institute is a partnership with Hanban, an organization under the Chinese Ministry of Education, which provides part of the program budget. In 2011 during the first full operative year, UK's \$100,000 matching funds attracted \$510,000 in resources from Hanban. In 2012, the monies increased to \$752,000. She stated that she hoped to further expand Hanban's funding, providing a sustainable revenue stream that would enhance UK students' opportunities for global education.

Dr. Maske reiterated that the Confucius Institute serves as the conduit for UK's China initiatives by working with all colleges. In just two years, the Institute has facilitated active UK partnerships with eight top universities around China. On campus the Institute works to enrich students' learning experiences by hosting a Distinguished Scholars Speaker Series, Academic Symposia, and providing co-curriculum courses and activities.

Dr. Maske closed by mentioning that the Confucius Institute of the Year award places UK among the top-tier universities with Chinese programs. Due to the massive media coverage, UK's name is now known to most of the 1.3 billion Chinese people, thus successfully establishing a gateway for the Commonwealth of Kentucky and its flagship University in the world's most populace nation. Most importantly, the Institute works to help the University create graduates with a global vision, who excel in academic research and embrace an international experience in a world in which China will inevitably play an increasingly pivotal role. That is the Confucius Institute's "Kentucky Promise."

The Board gave Dr. Maske a round of applause.

President Capilouto next spotlighted the UK Student Activities Board (SAB). For over 70 years, SAB has worked not only to bring the fun to campus through concerts, comedians, and movies, but has supported the academics of the University through lectures, forums, and special events. Through their involvement in programming, they are a critical component of UK's efforts to enhance student success. With more than 100 offerings every year, these events are entertaining, educational, and enriching programs that are reflective of contemporary issues and trends. The events range from lectures by multi-Grammy Award winner John Legend, the Food Network's Robert Irvine, and CNN's Soledad O'Brien, to activities which highlight UK, Lexington, and Kentucky.

President Capilouto stated that SAB is also a campus leader in collaboration. Each fall SAB spearheads Crunch Brunch, a campus wide event that more than 5,000 students attend annually to unwind before the finals week. They have collaborated with Student Government to host Social Media Week in April, and during K-Week, SAB plans and executes two of the most popular events, Campus Ruckus and Student Center Spectacular. These activities help welcome new students to campus, and this year they're working with the Alumni Association to host an official senior send-off week in April. He noted that SAB has many activities that demonstrate their four core values: teamwork, enrichment, diversity, and stewardship.

President Capilouto invited Micaha Dean, SAB President-Elect, to come forward to discuss SAB's leadership and involvement on campus.

Ms. Dean thanked President Capilouto and the Board for the opportunity to share information regarding SAB. She recognized members of the SAB team present in the audience and Ms. Grace Hahn, the student advisor. She shared that one of the tools used by SAB was market research and it was very valuable from a programmatic standpoint. Events programed by SAB reached over 12,000 students last year, including a 70th anniversary celebration, with alumni of SAB returning to campus.

The Board gave Ms. Dean and the members of the SAB a round of applause.

President Capilouto encouraged the Board to review the other outstanding highlights in PR 1.

G. Proposed Revision to Governing Regulation: Eligibility to Vote for Staff Representative to the Board of Trustees (PR 3)

President Capilouto stated that PR 3 was the second reading of a revision to Governing Regulation II related to elections for the Staff Representative to the Board of Trustees. The change would allow regular staff with .75 or greater full-time equivalency the ability to vote in staff trustee elections.

Chair Brockman asked for a motion and Trustee Stuckert moved approval of PR 3. Trustee Bryant seconded the motion and it passed without dissent. (See PR 3 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

H. Naming of University Buildings: Residence Halls (PR 4)

President Capilouto said that PR 4 was the recommendation that the Board of Trustees approve the official naming of the new residence halls currently under construction by Education Realty Trust. The proposed names are Central Hall I and II, Haggin Hall, Woodland Glen I and II, and Champions Court I and II.

Chair Brockman asked for a motion and Trustee Mobley moved approval of PR 4. Trustee Holland seconded the motion and it passed without dissent. (See PR 4 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

I. Academic Affairs Committee Report

Trustee Gannon stated that the Academic Affairs Committee met this morning and there were two items of business. The Committee also enjoyed a presentation from Dr. Dan O’Hair, Senior Vice Provost for Student Success and Dean of the College of Communication and Information, regarding his new responsibilities in the Office of Student Success. He led a discussion about the model being developed called “Finishing with Class: A Systemic Approach to Student Success.”

J. Change of Name for the Center for Health Services Management and Research (AACR 1)

Trustee Gannon stated that AACR 1 is the recommendation that the Board approve the name change of the Center for Health Services Management Research to the Center for Health Services Research, in the Office of the Provost, effective immediately.

The Center for Health Services Management and Research was established by the Board of Trustees at its meeting in May 1998, as an interdisciplinary center reporting to the Chancellor

of the Medical Center. The Center was established to address the important issues in health services, finances, financing, accessibility, cost, quality, appropriateness, and outcomes, with the ultimate goal of improving health status of individuals and populations. With the implementation of a Provost model in 2003 (moving all academic unit reporting to the Provost), the creation of the College of Public Health, and the Department of Health Service Management, the center's academic components were moved and its research became less focused. The renewed national attention on health services systems research created by the Affordable Care Act has resulted in substantial funding opportunities in this area for UK faculty and has increased the need for an active interdisciplinary research center to catalyze campus research in these areas.

The goal of the Center will be to accelerate the discovery of new knowledge concerning clinical effective and cost-effectiveness of healthcare delivery innovations, particularly in rural and limited resource settings. This proposal has been reviewed and recommended by the Senate Council and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

On behalf of the Academic Affairs Committee, Trustee Gannon moved approval of AACR 1 and it passed without dissent. (See AACR 1 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

K. Candidates from Degrees: December 2012 (AACR 2)

Trustee Gannon stated that AACR 2 is related to a Candidate for Degree for December 2012. The individual completed the work toward the degree, but due to an administrative error the name was not previously submitted for approval.

On behalf of the Academic Affairs Committee, Trustee Gannon asked for approval for AACR 2 and it passed without dissent. (See AACR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

L. University Athletics Committee Report

Trustee Akins reported that the University Athletics Committee met that morning. President Capilouto and Sandy Bell, Executive Associate Athletics Director for Student Services, gave a presentation regarding the academic success of UK student-athletes.

President Capilouto stated that excellent progress has been made under Athletic Director Mitch Barnhart's tenure. He presented a slide on the enrollment distribution of the student-athletes and regular students by college. At UK, student-athletes are not clustered in specific majors, but are taking full advantage of the vast array of majors offered.

Ms. Bell reviewed the academic resources available to our student-athletes. These include the Center for Academic and Tutorial Services (CATS), a 20,000 square foot facility that provides a minimum of 1000 hours of tutoring per week. It was the first center of its kind in the nation and continues to be a state-of-the-art facility. There was a discussion of the time

management of the student-athletes involved in Division I athletic participation. She shared with the Committee the academic standards for initial and continuing eligibility established by the NCAA. She also shared the “missed class” policy and the “missed tutor” policy, both of which hold the student-athlete accountable for academic responsibilities.

Ms. Bell then discussed two real-time measures of academic progress, the multi-year academic progress rate and the fall 2012 team grade point averages. It was reported that all UK varsity athletic teams are well above the NCAA Academic Progress Rate (APR) cutoff score of 930 and it was also reported that only two SEC programs have never been penalized under the APR program, Vanderbilt and UK. Seventeen of the 20 varsity teams achieved a fall 2012 GPA above a 3.0. The combined GPA for all teams was also above a 3.0, which exceeds the UK student body GPA of 2.9 for the same period.

President Capilouto discussed the six year federal graduation rate for both UK undergraduate students and student-athletes. The cohort for determining the federal graduation rate is comprised of only those freshman students entering in the fall semester of a given academic year. No credit is given for transfers who enter UK and graduate and the institution is penalized for student-athletes who transfer and graduate at another institution. The Athletics Department also makes a commitment to student-athletes who leave the University early to pursue a professional opportunity. That commitment says that UK will continue to financially support the efforts of these athletes to complete their degree. Many professional or former professional athletes are brought back to the university at our initiative to complete their degree with the Athletic Department’s full support.

Executive Vice President for Finance and Administration Eric Monday updated the Committee on the timeline of the expansion and renovation of the Nutter Training Center and Commonwealth Stadium. The proposed \$110 million dollar expansion and renovation to UK football facilities includes spectator driven improvements, recruiting rooms, suites, club seats, and press box improvements at the stadium and other day-to-day student-athlete facility improvements at Nutter Training Center. The project is to be financed through agency bonds with debt serviced by the UK Department of Intercollegiate Athletics. During the months of February and March, UK will request approval from the General Assembly. If approved by the Legislature and the Board of Trustees, the debt service is projected to be \$6.6 million per year for 30 years, with a 4% interest rate. Detailed financial information related to the Intercollegiate Athletic Department’s ability to service the debt will be presented to the Board of Trustees.

Pending Board of Trustees approval the schedule would be:

- Spring 2013 – Design team is selected.
- Fall 2013 – Site development and utility package.
- Fall 2013/Spring 2014 – Begin facility construction/renovations.
- Fall 2015/Spring 2016 – Complete construction.

M. Finance Committee Report

Trustee Stuckert reminded the Board that consent item FCR 1 was approved earlier in the meeting.

N. Authorization to Acquire Properties for Construction of Administrative Building for the Department of Ophthalmology and Shriners Hospital for Children (FCR 2)

Trustee Stuckert stated that FCR 2 is the authorization to acquire properties for construction of an administrative building for the Department of Ophthalmology and Shriners Hospital for Children. The recommendation is that the Board of Trustees, acting pursuant to its statutory authority under Section 164.260 of the Kentucky Revised Statute Eminent Domain Act of Kentucky, authorize and direct the acquisition through condemnation proceedings of a final property located at 1037 Limestone, Lexington, Kentucky. This happens to be the 11th parcel of an 11-parcel piece; and the owner, after many attempts in good faith to acquire the property, has refused the price offered. Thus condemnation proceedings are the result.

On behalf of the Finance Committee, Trustee Stuckert moved approval of FCR 2.

Trustee Voro stated that she did not know the Board had the power to condemn a piece of property and asked if the owner of the house was present at the meeting or had been invited to attend.

Bob Wiseman, Vice President for Facilities, reported that the landowner was notified of the Board meeting. He stated that he and the landowner have approached this as a “friendly condemnation” to arrive at a reasonable price.

Trustee Voro remarked she would like hear both sides of the story, so she would abstain from voting.

Trustee Bryant called for the previous question and it passed with 19 affirmative votes and one abstention from Trustee Voro. (See FCR 2 on the Board of Trustees website, www.uky.edu/Trustees, under agenda.)

Trustee Stuckert reported that Executive Vice President for Finance and Administration Eric Monday went through the proposed UK building projects at a glance and discussed the possible debt service for these projects.

O. Audit Subcommittee Report

Trustee Britton reported that the Subcommittee had met, but had no action items. Joe Reed, Senior Director of Internal Audit gave the subcommittee a mid-year review. The office is slightly behind on the approved work plan, due to six unplanned reviews and the loss of a senior staff member. Two staff positions are currently being sought to replace the senior staff position and to also increase the emphasis on Information Technology and Information Technology review.

Trustee Britton reported that the Subcommittee also discussed the schedule to revise the Audit Subcommittee and Internal Audit charters.

P. Investment Committee Report

Mr. Gatton reported that the Investment Committee met on Monday, January 28, 2013 to review performance results and conduct other business. The endowment investment pool topped one billion for the first time at the end of December, closing 2012 with a market value of \$1.7 billion. The endowment investment pool and other endowment assets are reduced by liabilities related to medical malpractice, retiree health benefits, and charitable trusts and gift annuities, resulting in a net endowment market value of \$925.1 million at December 31, 2012.

The endowment investment pool returned 12.1% for calendar year 2012, outperforming the policy benchmark by 196 basis points. The outperformances against the policy benchmark was due to strong performances by the international equity, fixed income, absolute return and real return managers.

Investment consultant, R.V. Kuhns & Associates, provided an update on the University's private equity and real estate programs and the Investment Committee heard presentations from Neuberger Berman and UBS. Neuberger Berman manages a separate, fully diversified, private equity fund for the University and UBS manages UK's core real estate allocation in its Trumbull Property Fund, which is a commingled, private real estate investment trust (REIT). The Committee is considering increased allocations to private equity and real estate as these asset classes are expected to provide higher long-term returns versus traditional equity and fixed income investments.

Q. Student Affairs Committee Report

Trustee May reported that Student Affairs had met that morning. The Committee received a report regarding the development of a University Creed. President Capilouto challenged the Student Government President Stephen Bilas in August 2012 to develop a University or student creed. A 17-member University-wide committee was established and over the course of seven meetings, developed a proposed creed. At the March 19, 2013 meeting, the Committee intends to present the proposed creed to the Board of Trustees for adoption.

The Committee also heard a report from five student instructors about the freshman UK 101 classes. These are classes designed specifically for freshmen to help them become acquainted with University life.

Trustee May reported that the Committee also had a briefing on the Common Reading Experience, the book and associated fall class required of all incoming freshmen. This year's book is "Where Am I Wearing" by Kelsey Timmerman. Board of Trustees members will receive a copy when it arrives later this semester.

Finally, the Committee received a report on the K-Book. This is the book of information, a resource guide, for incoming freshmen. The K-Book editorial board also has a new program with a third grade class from Riverton Elementary in Riverton, Illinois called “Pen Paws.” Each member of the editorial board has two to three children that they correspond with to encourage them to attend college.

Trustee Gatton inquired whether any of the classes touched on ethics. President Capilouto offered that most accrediting bodies, especially in the professional schools, require a competency or an “ethics” course in their curriculum. Trustee Bilas remarked that in the UK 101 classes, topics such as ethics and diversity are routinely discussed by the students and instructors.

Trustee Gatton inquired if there were classes or financial counseling for student-athletes. President Capilouto referred the question to Executive Associate Athletics Director Sandy Bell.

R. University Health Care Committee Report

Trustee Young reported that the University Health Care Committee met yesterday afternoon.

Murray Clark reviewed the December financials. In summary, the UK HealthCare Hospital System is seeing a high level of activity of patients from all services, is generating higher levels of revenue based on this acuity and payor mix, and is delivering the care in a very cost-effective manner. The income statement indicates a positive revenue flow, primarily driven by inpatient activity. Revenue for December 2012 was \$77.9 million and is well ahead of budget and the prior year. Personnel expenses are well below budget. This is the result of aggressive management to benchmarks for the clinical areas as well as adherence to budget in the nonclinical areas. Some increase in personnel is expected in the next few months. Variable expenses are below budget, and medical transfers are under budget for the year. Overall the increased revenue and lower expenses for the year have produced a much better than anticipated income from operations, amounting to \$44.3 million or a 9.3% operating margin. In December, UK HealthCare posted an operating income of \$9.9 million. Non-operating revenues are strong for the period. As a result of higher than anticipated investment income, the UK HealthCare Endowment now stands at \$221.6 million. Strong operating income and non-operating income have combined to produce an increase in net assets for the period significantly above budget in the prior year. In noncurrent assets, the primary change has been a positive addition to investments of \$13.5 million this year. In liabilities, current liabilities are down in total for the period due to the lowering of cash advanced from the university, reduced payable accrued expenses, and change to the current portion of debt/lease. Deferred revenues are up compared to year-end, but in line with December 2011.

Dr. Michael Karpf reported on the pressures being exerted on UK HealthCare as high patient demand outstrips capacity. As a result, UK is now diverting some patients from Chandler Hospital to other facilities and has started to convert some private into semi—private rooms. This high volume is also being experienced in the Emergency Department (ED). In sheer volume, UK HealthCare has now reached the 75th percentile in academic medical centers. While this phenomenal growth may slow in the next few years, it does not seem to be finished as

yet, so preliminary steps are now being taken to assess UK HealthCare's financial health in order to determine when the enterprise will be able to fund the fitting out of the remaining two floors of the new patient pavilion.

Dr. David Moliterno reported on the committee on Faculty Productivity, Performance and Faculty Compensation in the College of Medicine. The aim of the committee was to design a compensation plan that fosters the success of the College and its faculty members by rewarding achievement in stewardship, excellence, and investment in the future. The plan's goal is to incentivize increased productivity by providing fair and equitable faculty compensation through the utilization of objective and standard measures that uncouple bonuses from departmental fund balances. Trustee Young noted that 90% of the faculty voted in favor of this, which is pretty remarkable.

Dr. Steven Strup presented for approval the current list of privileges and credentials. The committee approved all privileges and credentials.

In closing, Trustee Young reported that Dr. Susan McDowell presented the 2012 Graduate Medical Education Report Card.

S. University Relations Committee Report

Trustee Curris stated that the University Relations Committee had met earlier in the day and had no business items.

The Committee enjoyed a presentation by Tony Dotson, Veterans Resource Coordinator, on the Veterans Resource Center and opportunities for funding.

Established in 2009, the mission of the Veterans Resource Center (VRC) is to help with the transition and retention of veterans and their families who study at the University. Since the Center opened, the number of veterans as students has doubled. UK is currently ranked 12th in the nation as best for veterans. Kentucky has the ninth largest military population in the US and the fourth largest Army footprint.

Statistics from fall 2012 show current military spending on education at UK:

- G.I. Bill: \$3,631,675 with 422 students enrolled
- ROTC Scholarships: \$1,106,444 with 96 students enrolled
- National Guard: \$495,658 with 75 students enrolled
- Vocational Rehabilitation: \$360,474 with 45 students enrolled
- Tuition Assistance (for Active Duty and Reserves): \$152,895 with 31 students enrolled

****Total of all combined above: \$5,747,146

Student veterans also receive assistance with tuition, books, living stipends, and housing.

Trustee Curris noted that with over 50,000 veterans in Kentucky eligible for educational benefits, there is much to be gained by the University with an expanded relationship with the Department of Defense in attracting veterans to study at the University of Kentucky. Benefits such as: scholarships, internships, collaboration on research, increased graduation and retention rates, and the benefit of guaranteed tuition dollars.

Trustee Curris reported that the Department of Defense has a research budget of \$11.9 billion for 2013. \$ 2.1 billion is to maintain basic research, which is largely university based. The University of Kentucky currently receives less than one percent of that amount. She offered that with the proximity of UK HealthCare, the Veterans Administration Hospital and the Bluegrass Army Depot, there is great potential to increase the University's share of these dollars. In addition, expanded relationships with Fort Knox and Fort Campbell could increase student enrollment and research funding.

The VRC has taken on an expanded role since opening in 2009. The Center acts as a bridge and transition of veterans and their families to student life, as a translator for things concerning the Department of Defense, assisting with grant proposals, working with Frankfort on military/veteran issues, as well as designing and marketing materials for veterans. The Center has been invited to submit an application for a Veterans Affairs staff member as well. Having an on-site coordinator would be a great benefit to the University and the veteran community.

A sampling of collaborations across campus includes the Colleges of Engineering, Agriculture, and Fine Arts. The film "civilian" is a collaboration with the VRC, College of Fine Arts, and the Nunn Center for Oral History. The documentary drama based on oral history interviews of veterans of the wars in Iraq and Afghanistan, carefully examines the transition from soldier to civilian for a group of veterans adjusting to college life at the University of Kentucky.

T. Item for Board Discussion – On-Line Learning Update

President Capilouto stated that one of the guiding principles that grew out of the Board of Trustees retreat last October (2012) was the development of a plan for implementation of technology-rich content delivery. Chief Information Officer (CIO) and Senior Vice Provost for Academic Planning, Analytics & Technologies Vince Kellen, presented a comprehensive update on trends regarding the challenges and opportunities in eLearning delivery, including Massive Open Online Courses (MOOCs).

There has been an emergence of both for-profit (Udacity, Coursera, Udemy), non-profit (EdX), and industry-university partnerships (2U and Academic Partnerships) over the last year. Possible eLearning goals include:

- Expand the reach of current programs to new markets;
- Address students' need for convenience and flexibility;
- Allow growth despite physical facility constraints;
- Increase tuition revenue;
- Improve student persistence and graduation; and

- Marketing and building brand awareness.

Some of the challenges to the adoption of more eLearning include:

- Traditional processes continue to govern approval of online offerings;
- Insufficient institutional data to compare learning outcomes for online versus traditional instruction;
- Mature, highly motivated students seem to disproportionately outperform others in online courses;
- Faculty strategies for detecting cheating in traditional courses are more developed than for doing so in online courses;
- Faculty concerns that online instruction will be used to diminish faculty ranks;
- Online instruction is alien to most faculty and calls into question a primary reason that many pursued an academic career;
- Perceptions that online instruction requires a much higher initial investment of faculty time and skill development;
- Questions about intellectual ownership of online materials; and
- Accrediting bodies appear to slow the rate of online learning adoption.

What are we doing at the University of Kentucky? Since fall 2012 we have:

- Reorganized the provost office to support growth in eLearning:
 - Center for Enhancement of Learning and Teaching (CELT), which works to improve faculty pedagogy has been aligned with the Senior Vice Provost for Student Success; and
 - The Distance Learning group has been merged with the Academic Technology Group under the Senior Vice Provost for Academic Planning, Analytics and Technologies to better support blended learning across all colleges.
- Started pursuing participation in higher education MOOC collaborative:
 - We are participating with the Association of Public Land Grant Universities (APLU) in a Gates Foundation-funded collaborative regarding eLearning; and
 - We are currently in discussions with two potential MOOC collaboratives for participation.
- Continued to invest in faculty development:
 - Expanded online training modules and video tutorials;
 - Added staff (student workers) to create training materials; and
 - Added face-to-face, just-in-time learning sessions available to faculty.

- Enhanced our technology infrastructure to accommodate eLearning growth:
 - Continued to roll out new technologies in classrooms (lecture capture tools);
 - Will be piloting active learning, collaborative tools to help “flip” classrooms;
 - Will be adding analytics capabilities to our Learning Management System (LMS), lecture capture and active learning tools to provide student-level measures of engagement with the class, LMS and the lecture capture;
 - Version 2 of our Click Blue platform released. Added integration with premium quality video delivery and popular web content management system. Releasing a third continuing education Pharmacy program this spring; and
 - Working with key vendors to add real-time big-data, personalized learning and advanced analytics in their platforms (Dell, Microsoft, Echo 360, Green Button). The goal is for these advanced analytics (in Microsoft Bing and other text mining tools) to covert audio to text and to more automatically measure student engagement and mastery over concepts.

Chair Brockman opened the floor for discussion and there were questions and discussion regarding the information presented. Discussion topics included:

- The use of technology in middle school and high schools and the incoming “tech savvy” student;
- The role of book publishers;
- Personalization technology;
- Some disciplines may not lend themselves to online instructional format;
- Residential universities leveraging technology for a rich experience;
- Faculty concerns regarding pedagogy – “shouldn’t pedagogy, not revenue, drive the enterprise?”;
- Curriculum and/or course approval steps and timeline;
- Culture change for both faculty and students;
- Cheating and authenticity;
- Utilizing extension offices as third party verification center ;
- Possible ACT preparatory courses;
- Advanced placement (AP) class standardization courses;
- Who are the decision makers regarding utilization;
- Southern Association of Colleges and Schools Commission on Colleges (SACSCOC) campus services/companion support requirements;
- Regional capabilities, especially Eastern Kentucky;
- High dropout rate of MOOCs;
- Aggressive action timeline versus “wait and see.”

Chair Brockman closed the discussion summarizing the Board’s interest in distance learning, eLearning and MOOC’s. The Board looks forward to a recommendation on eLearning utilization from the administration and faculty.


U. Other Business

Secretary Brothers thanked the Trustees, President Capilouto, and university administrators for attending the Staff Senate “Stakes Reception” after the December Board meeting. The staff was very appreciative of the opportunity to visit one-on-one with them.

V. Meeting Adjourned

Hearing no further business, Chair Brockman asked for a motion to adjourn. Trustee Shoop moved to adjourn and the meeting ended at 2:57 p.m.

Respectfully submitted,



Sheila Brothers
Secretary

(CR 1, PR 1, 2, 3, and 4, AACR 1 and 2, FCR 1 and 2, and email from Trustee Voro dated January 27, 2013, are official parts of the Minutes of the meeting.)

CR 1

Chairman, Board of Trustees
January 29, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION:
BOARD OF TRUSTEES COMMITTEE STRUCTURE

Recommendation: that the Board of Trustees receive and vote to accept for the first reading the attached revision of Governing Regulation (GR) II, relating to the Board of Trustees Committee Structure. The revision is intended to streamline the Board's operations through consolidation, name change, and new procedures. The revision is intended to take effect July 1, 2013. The Executive Committee has reviewed and approved the proposed revision.

Background: In order to streamline the Board's operations the attached revision consists of:

- 1) Consolidating the Academic Affairs and Student Affairs Committees;
- 2) Consolidating the University Relations and Human Resources Committees;
- 3) Changing the name of the Audit subcommittee to "Audit and Compliance";
- 4) Requiring Committee reports to be posted on-line within 72 hours of the conclusion of Committee meetings;
- 5) Requiring the Athletics Committee and the Healthcare Committees, if they have met, to provide an update to the full Board.

A revised copy of the GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

The Executive Committee of the Board, the President, and the Chair of the Senate Council support this revision. In accordance with Governing Regulation XIII, the University Senate, Staff Senate and Student Government Association will review this proposed revision before the second reading (final action) by the Board of Trustees.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective: ~~12/11/12~~ DRAFT

Supersedes Version: 12/11/12 ~~6/19/12~~

Governing Regulation, Part II

Governance of the University of Kentucky

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Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws,^{*} the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

[This Governing Regulation describes the authority, membership, committees, and responsibilities of the Board of Trustees.](#)

A. [Delegation of Authority](#)

1. These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.
2. As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.
3. At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.
4. Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, *Business Procedures Manual*, and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

^{*} The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

B. Board of Trustees - Membership

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

a. Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

b. Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

(1) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as

members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (2) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, and academic deans. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and academic deans.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (3) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

c. Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

d. Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

- a. The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180)
- b. The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.

- c. The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

C. Meetings of the Board of Trustees

1. The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)
2. The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.
3. The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the [bBoard Mmeeting](#). "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)
4. All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)
5. The order of business at meetings of the Board of Trustees normally is as follows:
 - Call to Order
 - Roll Call
 - Reading and Approval of Minutes with Necessary Modifications
 - Chair's Report
 - Report of the President
 - Consideration of President's Recommendations for Action
 - Consideration of President's Discussion Items
 - Reports of Committees
 - Items for Board Discussion
 - Other Business
 - Adjournment
6. The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

D. Conflicts of Interest of Board Membership

1. No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)
2. No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))
3. No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

E. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

1. Standing Committee General Rules

- a. Unless otherwise provided in this Governing Regulation, membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.
- b. Unless otherwise provided in this Governing Regulation, committee members shall be appointed by the Chair of the Board of Trustees.
- c. Unless otherwise provided in this Governing Regulation, the Chair of the Board of Trustees shall select the Chair for each committee from the Trustee members.
- d. Board of Trustee members may be reappointed to a committee for consecutive terms.
- e. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term.
- f. A quorum of the Committee members must be present in order to conduct business.
- g. [Committee Reports shall be posted on-line within 72 hours after the conclusion of Committee meetings.](#)

2. Standing Committees

a. Executive Committee

(1) The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee.

(2) ~~In general, t~~he Executive Committee;

(a) In general, exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees;

~~(b) The Executive Committee shall s~~Serves as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees; and.

~~(c) The Executive Committee shall s~~Serves as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(3) Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President may attend all meetings.

(4) The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190).

~~The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.~~

~~The Executive Committee shall serve as the performance review committee for the President. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.~~

b. Academic and Student Affairs Committee

This Committee:

(1) Reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance;

(2) Reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs;

(3) Reviews new program and degree-program closure proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission;

(4) Reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees;

(5) Reviews R~~ecommendations regarding revisions of the Code of Student Conduct; and~~

(6) Reviews R~~ecommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students; and~~

(7) Provides needed information to the Board of Trustees.

c. Finance Committee & Audit and Compliance Subcommittee

(1) The Finance Committee:

- (a) ~~This committee e~~Ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission;:-
- (b) Consistent with KRS 164A.550 through 164A.630, ~~the committee~~ maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations;:-
- (c) ~~The Committee shall e~~Oversees the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets;:-
- (d) ~~The Committee shall e~~Ensures that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on:
 - i. annual financial reports and related recommendations from the Audit and Compliance Subcommittee,
 - ii. interim financial reports,
 - iii. long-term debt obligations,
 - iv. gifts to the University of \$400,000 or more,
 - v. capital projects of \$600,000 or more,
 - vi. leases over \$200,000 per year,
 - vii. disposition of property,
 - viii. sale of assets, and
 - ix. financial transactions not provided for in the annual operating budget.

(2) The Audit and Compliance Subcommittee:

- (a) Reviews recommendation and recommends to the Finance Committee on the appointment of the external auditors;:-
- (b) ~~r~~Receives and reviews the annual reports from the external auditors and makes recommendations to the Finance Committee concerning these reports;:- and
- (c) Receives and reviews reports from the Senior Director of Internal Audit on the University's system of internal controls and compliance with applicable laws, regulations, and University policy, and reports on such to the Finance Committee.

d. Human Resources and University Relations Committee

This committee:

- (1) ~~r~~Reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights, and privileges requiring Board of Trustees approval;:-
- (2) Reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University; and

- (3) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

e. Investment Committee

- (1) Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. These responsibilities include:
- (a) formulating and reviewing investment policies;
 - (b) appointing, monitoring and evaluating investment managers and consultants; and
 - (c) reviewing and approving plans for the general management of the endowment funds of the University.
- (2) In addition to the Trustee members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three (3) years and may be reappointed for an unlimited number of three-year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).
- (3) The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

f. Nominating Committee

This committee:

- (1) Nominates officers of the Board of Trustees and members of the Executive Committee; and
- (2) Reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

~~(g) Student Affairs Committee~~

~~This committee reviews: (i) Recommendations regarding revisions of the Code of Student Conduct; and~~

g.h University Athletics Committee

- (1) The NCAA requires a member institution's chief executive officer to have ultimate responsibility and final authority for the conduct of the intercollegiate athletics program. In addition, the Southern Association of Colleges and Schools (SACS) requires an institution's chief executive officer to have ultimate responsibility for, and exercise appropriate administrative and fiscal control over, the intercollegiate athletics program. To assist the President in carrying out this responsibility, the Athletics Committee is responsible for:
- (a) Providing counsel to the President concerning matters or activities of the Athletics Department;

- (b) Reviewing the Athletics Department's annual budget and audit reports, major expenditures and acquisitions, and construction of facilities if the cost is expected to exceed \$600,000; and
- (c) Providing needed information to the Board of Trustees.

(2) The membership of the Committee shall be composed of five (5) voting Trustee members and up to three (3) non-voting Community Advisory members. In addition, the Faculty Athletics Representative shall serve as an *ex officio*, non-voting member. The membership of the Athletics Committee, including the Chair, shall be appointed by the President in consultation with the Chair of the Board of Trustees. The initial Board member appointments to the Athletics Committee shall be staggered terms in the following manner: two (2) members for three (3) years, two members for four (4) years, and one member for five (5) years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

(3) The University Athletics Committee shall provide to the full Board a brief update at each Board meeting if the Committee has met.

h. University Health Care Committee

(1) This Committee:

- (a) ~~s~~Serves as thea governing body and governing authority to manage and operate the University Hospitals in accordance with the Conditions of Participation promulgated by the Centers for Medicare and Medicaid Services and with the laws and regulations governing the operations and services of hospitals in the Commonwealth of Kentucky standards established by The Joint Commission, formerly 'Joint Commission on Accreditation of Health Care Organizations,' and
- (b) ~~to~~oversees the University's clinical enterprise.~~—This responsibility~~ includinges management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise.

(2) Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

(3) The membership of the Committee shall be composed of five (5) voting Trustee members, seven (7) non-voting Advisory Members, and up to seven (7) non-voting Community Advisory Members. Each member shall be appointed by the Chair of the Board of Trustees, acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Board members appointed thereafter shall serve for three-year terms and may be reappointed.

(4) The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission. (See University Health Care Committee Operating Rules, approved by the Board of Trustees December 7, 2010)

(5) The University Health Care Committee shall provide to the full Board a brief update at each meeting if the Committee has met.

(i) University Relations Committee

This committee:

- ~~(ii) Reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.~~

3. Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

F. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

1. Periodically evaluate the institution's progress in implementing its missions, goals, and objectives to conform to the strategic agenda. (KRS 164.131)
2. Make such bylaws, rules, and regulations consistent with KRS Chapter 164 as it deems proper. (KRS 164.180)
3. Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)
4. Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)
5. Appoint a President, professors, assistants, tutors, and other personnel and determine the compensation, duties, and official relations of each. In the appointment of presidents, professors, or instructors no preference shall be shown to any religious denomination. The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds; and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky; and other appropriate terms and provisions with respect thereto. (KRS 164.220)
6. Exercise power over and control of appointments, qualifications, salaries, and compensation payable out of the State Treasury or otherwise, promotions and official relations of all employees of the University of Kentucky, as provided in KRS 164.220, and, subject to any restrictions imposed by general law, the retirement ages and benefits of such employees shall be under the exclusive jurisdiction of the Board of Trustees of the University of Kentucky, which shall be an independent agency and instrumentality of the Commonwealth. (KRS 164.225)
7. Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)

8. Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)
9. Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)
10. Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)
11. Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

G. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

- a. An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation.

Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at <http://www.uky.edu/Trustees/petition.htm>, and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

- b. The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:
 - (1) approve the petition to address the Board and ask that the petitioner be so informed; or
 - (2) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.
- c. The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.

- d. The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.
- e. The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.
- f. Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.
- g. A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

- a. The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.
- b. In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.
- c. The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

H. References and Related Materials

KRS: 45A.335, 45A.340, 45.360, 61.800, 61.850, 164.131, 164.140, 164.160, 164.170, 164.180, 164.190, 164.200, 164.210, 164.220, 164.240, 164.250, 164.270, 164A.550, 164A.630, 416.010, 416.080

Revision History

6/11/2002, 9/17/2002, 10/10/2006, 10/16/2007, 6/17/2008, 3/29/2011, 9/13/2011, 12/13/2011, 6/19/2012,
| [12/11/12](#)

For archive versions contact: [Office of Legal Counsel](#)

Appendix I

Petition to Address the Board of Trustees University of Kentucky

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) [full](#) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*.

INFORMATION (Please type or print.)

Contact Information (All fields must be completed)*

Name: _____ Telephone Number: _____

E-Mail: _____ Mailing Address: _____

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):

Student Faculty Staff Alumni Other (Please specify) _____

Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak pro con or neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes No

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
1019 Main Building
Lexington, KY 40506

Phone: (859) 257-1704 Fax: (859) 323-2414 E-mail: pwayakhisel@email.uky.edu

PR 1

Office of the President
January 29, 2013

1. UK Supercomputing at Highest Level in 25-year History

The University of Kentucky commemorated 25 years of academic supercomputing with the announcement of the most powerful supercomputer in the university's history and the award of a \$1 million "cyber infrastructure" grant from the National Science Foundation. The announcement was part of a cyber infrastructure symposium Dec. 3, sponsored by UK Information Technology. With the most recent upgrade, UK deployed a new, \$2.6 million, high-performance computing cluster in partnership with Dell Inc. This cluster is more than three times as fast as the one it replaced.

2. UK Great Teacher Award Recipients Named for 2013

Six University of Kentucky professors have been named recipients of the UK Alumni Association 2013 Great Teacher Award. They are: Karen Badger, associate professor, College of Social Work; Roberta Dwyer, professor, College of Agriculture; Samuel Franklin, assistant professor, College of Medicine; John Grove, professor, College of Agriculture; Armando Prats, professor, College of Arts & Sciences; and Gerald Smith, associate professor, College of Arts & Sciences. The recipients will be honored at the UK Alumni Association Great Teacher Award Recognition Dinner on Feb. 5.

3. UK's Program Named Confucius Institute of the Year

The UK Confucius Institute was named the 2012 Confucius Institute of the Year. Internationally, there are 26 Confucius Institutes of the Year, five of which are in the U.S. The UKCI is the only institute to have received this honor after only two years of establishment. There are more than 400 Confucius Institutes in 150 countries, and close to 90 in the U.S.

4. UK Co-sponsors MLK Day Commemorative Activities in Lexington

The University of Kentucky and Lexington-Urban County Government again co-sponsored the annual Martin Luther King, Jr. Holiday commemorative celebration in Lexington Jan. 21. In addition to the downtown march, the program at Lexington Center featured award-winning journalist, author, social activist and political commentator Jeff Johnson as this year's keynote speaker. Also, One World Films presented "Sing Your Song," a documentary about the life of famed performer Harry Belafonte, in the Kentucky Theatre.

5. 115 UK HealthCare Physicians Named Best Doctors

UK HealthCare has had 115 physicians included on the Best Doctors in America® List for 2013. Only five percent of doctors in America earn this prestigious honor, decided by impartial peer review. Best Doctors is a product of validated peer review, in which doctors who excel in their specialties are selected by their peers in the profession. Best Doctors works with expert physicians from its Best Doctors in America® List to help its 30 million members worldwide get the right diagnosis and right treatment.

6. Educators Convene to Debate Innovative Approaches

The 2012 Innovation Summit, co-sponsored by the College of Education and the AdvancED Kentucky state office, was held in Lexington in late November. The two entities joined forces with the belief that innovation in education is critically important to educational institutions, as well as the future vitality of the state in a diverse, globalized and technology-rich world. By assembling forward thinking members of the Kentucky education community, as well as regional and national leaders in education, the Summit's goal was to create innovative approaches to drive excellence in our state's system of schools, or P-20.

7. CPE Grants to Develop Partnerships with Other State Schools

For the 11th consecutive year, the Council on Postsecondary Education has awarded federal grant funds to improve P-12 educator quality through professional development programs. UK has received two of these Improving Educator Quality grants, one for \$130,000 and another for \$150,000. Kimberly Zeidler-Watters is serving as principal investigator on both projects. Included in the proposals are partnerships with the University of Louisville, Hazard Community and Technical College, Madisonville Community College, the Kentucky Center for Mathematics, Thomas More College, as well as local high-need school districts.

8. UK Symposium Focused on Health Issues in Africa

Africa is facing a severe shortage of health and medical professionals, as well as a gap in its medical and scientific knowledge. To stimulate dialogue and produce solutions for these problems, UK's Global Health Initiative and People to People, a global nonprofit, convened a symposium Nov. 27 at UK Chandler Hospital. The symposium brought together academics and clinicians to explore medical education, funding for health care partnerships and health care issues in sub-Saharan Africa, with a focus on Ethiopia. Other topics included ideas for twinning and telemedicine initiatives, international exchange programs, case-based exchanges and e-mentoring.

9. UK Faculty and Students Unearth Lost Roman Settlement, Prehistoric Site

A team of UK faculty and students led by Paolo Visonà, of the School of Art and Visual Studies, and George Crothers, of the Department on Anthropology, discovered evidence of two lost communities in northern Italy. Using their archaeological expertise and modern technology, the team collected data that indicates the existence of a Roman settlement and below that, a possible

prehistoric site. Findings from the survey will be published in the journal *Quaderni di Archeologia del Veneto* in February 2013.

10. UK Produces First Working Model for EHR Reporting

UK has created the nation's first working model for electronic health record (EHR) reporting of cancer cases to the state's cancer registry. Cancer incidence is higher in Kentucky than in any other state in the U.S. The EHR model allows Kentucky oncologists and other providers to feed clinical data to the Kentucky Cancer Registry in "real time," helping epidemiologists see trends in cancer statistics more quickly than before. It is an important step toward ensuring the statewide cancer control efforts have the most current information about cancer diagnoses and treatments in Kentucky.

11. UK to Partner in Pakistan with \$1.7 million State Department Grant

U.S. Department of State awarded UK a \$1.7 million grant to partner with universities in the Khyber Pukhtunkhwa province of Pakistan, through the "University Partnership in Business Administration" program.

12. Grant to Fund Professor and Advance Modular Housing Research

In partnership with Southern Tier Housing Corporation and Kentucky Highlands Investment Corporation, UK College of Design will receive a \$504,000 grant from the Kentucky Energy and Environment Cabinet as part of the TVA Environmental Mitigation Grants Program. The college's portion of the grant, \$300,000, will fund a research professor for three years and enable the college to advance UK's Houseboat to Energy Efficient Residences modular housing research.

13. Business College, Accountancy School Earn International Accreditations

The Gatton College of Business and Economics has maintained its business accreditation by AACSB International – the Association to Advance Collegiate Schools of Business. The Von Allmen School of Accountancy, housed within UK's Gatton College, has maintained its accounting accreditation by AACSB International as well. AACSB accreditation is the hallmark of excellence in business education, and has been earned by less than 5 percent of the world's business programs.

14. UK Education Abroad Develops International Partner

UK Education Abroad has developed a new strategic partnership with International Studies Abroad (ISA), an international education organization that hosts students from multiple universities. This unique partnership between UK and ISA will be facilitated through an ISA office on UK's campus.

15. College of Education, Battelle Launch Kentucky STEMx Network

The University of Kentucky College Education's Kentucky P20 Innovation Lab is launching Kentucky's involvement in the STEMx Network created by the Battelle Memorial Institute, the world's largest nonprofit research and development organization. Kentucky is among 16 states partnering with Battelle, and is working to connect stakeholders from across K-12 and higher education, business, government, philanthropy and the community to impact STEM education and workforce development in Kentucky.

16. Top National Honors Go to UK's Work in Health IT

Representatives from the Kentucky Regional Extension Center, housed at the College of Medicine, and the Kentucky Health Information Exchange, part of the Kentucky Cabinet of Health and Family Services, received top honors in Washington, D.C., for exemplary work in health IT implementation. Kentucky was selected as one of three states in the nation to receive awards from the Office of the National Coordinator for Health Information Technology for demonstrating strong partnerships between federally sponsored programs, local organizations and state agencies. This collaboration has led to the successful implementation and meaningful use of electronic health records (EHRs) throughout the state.

17. KGS Begins Second Deep Carbon Storage Project

Kentucky Geological Survey (KGS) has chosen a site to drill a 4,800-foot-deep stratigraphic research well in Eastern Kentucky. This project is part of the carbon dioxide storage research funded in 2007 by the Kentucky General Assembly in the Energy Independence and Incentives Act, which also funded part of the cost of a research well in Hancock County in 2009. KGS has partnered with Hanson Aggregates for access to property at their AA Limestone quarry, in northern Carter County. The research will help KGS and the state understand reservoir rock properties of the region and gather data needed to evaluate potential for future CO₂ storage along the Ohio River industrial corridor.

18. Design Students Show Proposals for Harlan Arts Center

Third-year students in the School of Interior Design hosted a December exhibition of their proposed designs for an arts center and performance venue in Harlan County at Awesome Inc. Organized by College of Design instructor Rebekah Ison, the exhibition featured proposals for the center which will include a performance space, gallery, coffee shop, three studio classrooms, as well as an apartment for visiting artists. The students also provided designs for a series of plays and exhibitions set to occur throughout Harlan in October 2013. Work on the new arts center is expected to begin summer 2013.

19. Hematology/Oncology Clinic Named for DanceBlue

DanceBlue, a UK student-run philanthropy, recently announced that the group is making a \$1 million pledge over the next four years to the pediatric hematology and oncology clinic at

Kentucky Children's Hospital. In recognition of the support, the clinic will be renamed the DanceBlue Kentucky Children's Hospital Hematology/Oncology Clinic.

20. National History Day Attracts Schoolchildren

UK Libraries Special Collections recently hosted 27 sixth and eighth grade National History Day participants. National History Day engages students in the discovery of the historic, cultural and social experiences of the past. With the help of hands-on experiences and special presentations, students are better able to inform the present and shape the future.

21. Young Library Transforms Room with KAW Gift

Thanks to a generous gift from Kentucky American Water, UK students will soon have access to a newly renovated, technology friendly learning space in the William T. Young Library. Kentucky American donated \$22,000 to transform the current Kentucky American Water Room into an innovative, active, and collaborative learning space that serves the needs of 21st century students. Improvements will include a new projector system, white boards, new chairs with tablet arms for laptop computers, and new carpet and paint for the room.

22. Faculty and Staff Achievements

Rosie Allen (Gallatin County FCS Cooperative Extension agent) and her team were awarded the second place National Family Health and Wellness Award, presented by the National Extension Association of Family & Consumer Sciences for innovative programs that promote and improve the health and wellness of families.

John Anthony (Chemistry) had a major paper published in the prestigious science journal Nature.

Joseph Baber (Music) has received an ASCAP Plus Award from the American Society of Composers, Authors and Publishers, which recognizes writer members of all genres whose works were performed in un-surveyed media as well as writer members whose catalogs have prestigious value.

Adam Banks (Writing, Rhetoric and Digital Media) will serve as chair of the Conference on College Composition and Communication (CCCC), a professional organization of teachers of writing as well as scholars in rhetoric, composition and literacy studies.

Deborah Borrowdale-Cox (Art Museum) has been named the 2012 Kentucky Art and Museum Educator of the Year. This is the second time Borrowdale-Cox has been awarded this honor from the Kentucky Art Education Association.

Jennifer Bradley (Markey Cancer Center) has received funding from the Lexington Cancer Foundation to continue the Jin Shin Jyutsu program in 2013. Jin Shin Jyutsu (JSJ) is an ancient form of touch therapy similar to acupuncture in philosophy.

Doug Boyd (Louie B. Nunn Center for Oral History) was invited to participate in an international conference in Berlin regarding the potential and challenges of opening digital eyewitness archives for research, education and the media.

Allan Butterfield (Chemistry) was announced as the newest Fellow of the Society for Free Radical Biology and Medicine.

Gilson Capilouto (Health Sciences) has been named a 2012 Fellow of the American Speech-Language-Hearing Association. To be awarded Fellow, the nominee must have made outstanding contributions to the discipline of communication sciences and disorders.

Richard Charnigo (Public Health) and Cidambi Srinivasan (Statistics) received a \$50,000 grant from the Army Research Office to continue development of an innovative nonparametric statistical methodology known as compound estimation.

Misook Chung (Nursing) received the Arteriosclerosis/Heart Failure Research Award from the American Heart Association.

Matti Daniels (Knox County FCS Cooperative Extension) was the first place national winner in the Communications-Radio/Podcast division, presented by the National Extension Association of Family & Consumer Sciences to encourage excellence in communications.

Alison Davis (Agricultural Economics) has been elected president-elect of the National Association of Community Development Extension Professionals.

Rebecca Dekker (Nursing) received the Marie Cowan Young Investigator Award from the American Heart Association.

Stephanie Derifield (FCS Cooperative Extension) was the national winner of the Mary Wells Memorial Diversity award, presented by the National Extension Association of Family & Consumer Sciences, recognizing outstanding efforts and accomplishments of individuals and/or teams in diversity and pluralism for any Extension FCS program or activity.

Diana Doggett (FCS Cooperative Extension) won a second place national award in the community partnership division, presented by the National Extension Association of Family & Consumer Sciences to recognize individual's efforts in building community partnerships to meet the educational needs and/or concerns of families.

David Fardo (Biostatistics) has been selected to serve on the National Alzheimer's Coordinating Center's (NACC) Scientific Review Committee.

Susan Frazier (Nursing) was inducted as a Fellow of the American Heart Association.

Alex Grigg (UK Libraries) was named Special Librarian of the Year by the Kentucky Library Association.

Tommy Harrison, Laura Holt, Cora Hughes and Darrell Simpson, Muhlenberg County Cooperative Extension agents, jointly received the Muhlenberg County Chamber of Commerce 2012 Organization of the Year Award for ongoing efforts to improve quality of life in the county with such efforts as a countywide recycling program, successful fundraisers for the Back Pack Program that provides a backpack of healthy food for schoolchildren, the expansion of arts opportunities and founding a farmers' market.

Lon Hays (Psychiatry) has been elected a Fellow of the American College of Psychiatry.

Carolyn Hempel (UK HealthCare) was named the 2012 Kentucky Society of Health-System Pharmacists Resident-of-the Year, which recognizes an individual pharmacy resident for their contribution to the practice of pharmacy through contributions and improvements at their institution/practice site and throughout the state.

Marci Hicks (Agriculture Office of Advancement) received the 2012 Beth K. Fields Award from CASE-KY. The award was established to recognize those who continually go beyond the call of duty in the advancement profession.

Mandy Jones (Pharmacy Practice and Science) was recently honored by the American College of Clinical Pharmacy for completing that organization's Research and Scholarship Certificate Program.

Elizabeth Kingsland (FCS Cooperative Extension Agent in Bourbon County) was recognized by the National Extension Association of Family & Consumer Sciences as the first place southern region winner in the 2012 Florence Hall Award, which goes to those who have been alert in recognizing emerging issues or new concerns and interests of families or individuals and have planned and implemented programs that benefit families/individuals.

Cynthia Lawrence (Music) sang the lead role in "Madame Butterfly" at the grand opening performances of the opera at Guangzhou Art Festival presented in Guangzhou, China, by the Metropolitan Opera, the English National Opera and the Lithuanian National Opera.

Serge Ochanine and Zhongwei Shen (Mathematics) were named Fellows of the American Mathematical Society for 2013.

Heather Robertson (Nursing) was recently appointed by Governor Steve Beshear to serve on the Kentucky Radon Advisory Committee, representing the Kentucky Cancer Consortium.

Deirdre Scaggs (UK Libraries Special Collections) has been appointed to the State Historical Records Advisory Board, the central advisory body in the state for historical records planning and for state projects funded by the National Historical Publications and Records Commission.

Bobby Scroggins (Art and Visual Studies) served as a visiting artist at the Sanbao Ceramic Art Institute in Jingdezhen, Peoples' Republic of China, during the Jingdezhen International Ceramics Festival. He also served as a visiting artist at Shanghai University.

Yi Shu (Pharmaceutical Sciences) won the Best Poster Presentation Award at the National Cancer Institute Alliance for Nanotechnology in Cancer Annual Principal Investigators' Meeting.

Jeremiah Smith (Biology) is lead author on a paper that will soon be published in the prestigious science journal Nature. He led a multi-investigator team to assemble the genome sequence for sea lamprey, an odd, jawless vertebrate that he uses in his genomics research program.

Jayaram Srinivasan (Public Health) is the recipient of the 2012 Resident Physician Community Service Award given by the Organization of Resident Representatives, which is a professional development group supported by the Association of American Medical Colleges.

Sharon Stewart (Health Sciences) was named a 2012 Fellow of the Association of Schools of Allied Health Professions. Nominees are selected for their long-standing contributions to the allied health professions and to the ASAHP organization.

Jeff Suchanek (UK Libraries) received the 2012 Kentucky History Award for his book "Star-Spangled Hearts," an oral history of women's military service in World War II.

Stephanie Dixon Sutphin (UK HealthCare) was voted president-elect of the Kentucky Society of Health-System Pharmacists.

Ann Vail and Laura Stephenson (Family and Consumer Sciences) are co-PIs on a \$3,241,207 grant from the Kentucky Cabinet for Health and Family Services for UK Supplemental Nutrition Assistance Program Education (SNAP-Ed).

Henry C. Vasconez (Plastic Surgery) was elected president of the American Society of Maxillofacial Surgeons.

John C. Williams (Public Health) recently became chair of the American Public Health Association's Health Administration Section at the APHA 140th Annual Meeting held in San Francisco.

Chang-Guo Zhan (Pharmaceutical Sciences) received a new three-year, \$1.8 million National Institutes of Health grant to develop a therapeutic treatment for cocaine overdose.

23. Student Awards and Achievements

Four UK students received the Benjamin A. Gilman International Scholarship, which supports students who have been traditionally under-represented in study abroad. Rebecca Brock (Biology and German Studies) will use the scholarship to study in Japan. Dimitar Kamacharov (Finance) will use the scholarship to study in Austria. Jonathan Riojas (Japanese Language and Literature) will use the scholarship to study in Japan. Ashley Flamm (Biology and Chemistry) will use the scholarship to study in the United Kingdom.

Julian Bryson (Music) has won the Raymond F. Brock Memorial Student Composition Contest sponsored by the American Choral Directors Association, and, as the winning recipient, his work will be performed at the ACDA National Conference, which will be held in March in Dallas.

Rebecca Farley and Thomas Gunther (Music) were winners in the Kentucky District Auditions of the Metropolitan Opera National Council Auditions. The contest's Encouragement Award was also presented to two UK vocalists, Jacob Brian Waid and Evan LeRoy Johnson.

Amanda Fickey (Geography and Appalachian Studies) received a research fellowship from the Central Appalachian Institute in Research and Development, where she will serve one year as a fellow-in-residence in 2013.

Joenelle Futrell (Agriculture) has been named the 2012-2013 Future Farmers of America national eastern region vice president.

Byron Giles (Computer Engineering) received the Freeman-ASIA Award to fund an exchange program at Nagoya University in Japan.

Irene Ryan Award Winners for Acting at the American College Theatre Festival were theatre students Michael Sheehy and Abby Sheridan for "Dangerous Liaisons" and Madison McGhee and Maggie Howell for "On the Verge."

24. Alumni Awards and Achievements

The Martin School's third Distinguished Alumnus Award was presented to 1986 graduate and Murray, Ky., native Timothy Adams, managing director of The Lindsey Group, an economic advisory group.

Michael Carozza (M.A. Communication, 1977) received the 2012-2013 Outstanding Alumnus Award for the College of Communication and Information.

Senator Wendell H. Ford was the first person to be inducted into the Kentucky Public Service Hall of Fame by UK's Martin School of Public Policy and Administration.

Former UK head basketball coach Joe B. Hall and UK alumnus Jim Host were inducted into the National Collegiate Basketball Hall of Fame in Kansas City in November. Hall coached the Wildcats for 13 seasons (1972-85), including leading the team to the NCAA championship in the 1977-78 campaign. Host is widely considered the 'father' of modern collegiate basketball marketing.

Piano performance alumna Seunghye Lee, who graduated from UK last May, performed traditional Korean and western music in her Lincoln Center debut Nov. 24 in Alice Tully Hall.

Dan Liebman (JOU 1985) has been named editor of The State Journal in Frankfort, Ky.

PR 2

Office of the President
January 29, 2013

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Assistant Vice President for Campus Services

Perkins, Charles E., Parking Control Attendant, Parking & Transportation, after 15 years of consecutive service under AR 3.1 Section VIII.B, effective 3/15/13.

Vice President for Facilities Management

Iwig, David K., Physical Plant Manager I, Physical Plant Division, after 39 years and 10 months of consecutive service under AR 3.1 Section VIII.B, effective 1/2/13.

Sneed, Henry A., Jr., Facilities Specialist, Student Auxiliary Services, after 16 years and 4 months of consecutive service under AR 3.1 Section VIII.B, effective 1/4/13.

Vice President for Research

Carey, David I., Geologist V, KY Geological Survey, after 23 years and 5 months of consecutive service under AR 3.1 Section VIII.B, effective 12/31/12.

Mynhier, Charles E., Staff Support Associate II, Water Resources Institute, after 28 years and 7 months of consecutive service under AR 3.1 Section VIII.B, effective 2/28/13.

B. EARLY RETIREMENTS

Executive Vice President for Finance and Administration

Campbell, Camille M., Medical Technologist Supervisor, Human Resources, after 37 years and 1 month of consecutive service, under AR 3.1 Section VIII.A, effective 12/31/12.

Vice President for Facilities Management

- Houp, Robert K., Jr., Project Superintendent, Medical Center Physical Plant Department, after 33 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 11/16/12.
- Miday, Charles J., Grounds Supervisor, Physical Plant Division, after 36 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 3/1/12.
- Slone, Rickey L., Grounds Worker III, Physical Plant Division, after 40 years and 4 months of consecutive service under AR 3.1 Section VIII.A, effective 2/2/13.
- Watkins, Charles W., Facilities Management Systems Operator, Student Auxiliary Services, after 21 years and 2 months of consecutive service under AR 3.1 Section VIII.A, effective 12/14/12.

II. ADMINISTRATIVE ACTION

A. APPOINTMENTS

Athletics Director

- Brown, Neal, Assistant Football Coach, effective 12/10/12.
- Brumbaugh, James, Assistant Football Coach, effective 12/14/12.
- Buffano, Frank, Football Relations Director, effective 12/21/12.
- Eliot, Darin, Assistant Football Coach, effective 12/6/12.
- Korem, Erik, Football Performance & Coordinator, effective 12/12/12.
- Marrow, Vince, Assistant Football Coach, effective 12/13/12.
- Peveto, Bradley, Assistant Football Coach, effective 12/14/12.
- Ronald, Christopher, Assistant Football Coach, effective 12/19/12.
- Schlarman, John, Assistant Football Coach, effective 12/12 /12.
- Scott, Chad, Assistant Football Coach, effective 12/12/12.

Vice President for Facilities Management

- Clark, Timothy, Campus Physical Plant Manager I, Physical Plant Division, effective 12/1/12.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

Brown, Raeford E., College of Medicine, Clinical Professor, Anesthesiology, and joint appointment as Clinical Professor, Pediatrics, 12/13/12 through 6/30/13.

Durbin, Eric B., College of Public Health, Assistant Research Professor, Biostatistics, 12/1/12 through 6/30/13.

Grigsby, Donna G., College of Medicine, Clinical Associate Professor, Pediatrics, appointed to the Richard G. Elliott, M.D. Professorship, 11/1/12 through 6/30/14.

Littleton, John M., College of Arts and Sciences, Professor (part-time), Psychology, 1/1/12 through 12/31/12.

Sorrell, Vincent L., College of Medicine, Professor* (with tenure), Internal Medicine, and joint appointment as Professor* (without tenure), Radiology, appointed to the Dr. Anthony N. DeMaria Cardiovascular Imaging Chair, 10/1/12 through 9/30/17.

Wallace, Carmel, College of Medicine, Clinical Professor, Pediatrics, appointed to the Jacqueline A. Noonan CMN Research Chair in Pediatrics, 11/1/12 through 6/30/17.

B. ADMINISTRATIVE APPOINTMENTS

Borders, Tyrone F., College of Public Health, Professor (with tenure), Health Services Management, appointed Chair, Health Services Management, 11/1/12 through 6/30/16.

Schein, Richard, College of Arts and Sciences, Professor (with tenure), Geography, appointed Chair, Geography, 7/1/13 through 6/30/17.

C. JOINT APPOINTMENTS

Vera, Pedro L., College of Medicine, Professor (voluntary), Surgery, joint appointment as Professor (voluntary), Physiology, 12/1/12 through 6/30/16.

D. REAPPOINTMENTS

Khraisheh, Marwan, College of Engineering, Adjunct Professor, Mechanical Engineering, 1/1/13 through 12/31/13.

Spengler, Susan E., College of Public Health, Associate Professor (part-time), Preventive Medicine and Environmental Health, and joint appointment as Assistant Professor (part-time), Family and Community Medicine, College of Medicine, 7/1/12 through 6/30/13.

E. PROMOTIONS

Black, Esther P., College of Pharmacy, from Assistant Professor, Pharmaceutical Sciences, to Associate Professor (with tenure), Pharmaceutical Sciences, effective 7/1/13.

Sachs, Leon, College of Arts and Sciences, from Assistant Professor, Modern and Classical Languages, Literatures, and Cultures, to Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, effective 1/1/13.

F. TERMINAL REAPPOINTMENTS

Li, Tianxiang, College of Engineering, Associate Research Professor, Mechanical Engineering, 1/1/13 through 6/30/13.

G. RETIREMENTS

Cibull, Michael L., College of Medicine, Professor (with tenure), Pathology and Laboratory Medicine, after 34 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/12.

Dunn, Woodrow W., College of Medicine, Rural Project Manager, Rural Kentucky Health Care, after 13 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/15/13.

Gill, Sharon S., Undergraduate Education, Administrative Service Assistant Senior, Undergraduate Studies, after 41 years and 3 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/30/12.

Hughes, Cora, College of Agriculture, County Extension Agent, Cooperative Extension Service, after 10 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/16/13.

May, Charles E., College of Engineering, Director of Operations, Center for Nanoscale Science and Engineering, after 6 years and 2 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/11/13.

McLaren, Mary K., Libraries, Librarian I (with tenure), after 25 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/1/13.

Michno, Janice H., College of Medicine, Abstractor Coordinator Senior Regional, Kentucky Community Cancer Program, after 11 years and 7 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/27/12.

Rowland, Randall G., College of Medicine, Professor (with tenure), Surgery, after 15 years of consecutive service, under AR 3:1, Section VIII.B, effective 1/31/13.

Sizemore, Ted, College of Agriculture, General Maintenance Worker II, Robinson Center for Appalachian Resource Sustainability, after 26 years and 9 months of consecutive service, under AR 3:1, Section VIII.B, effective 12/31/12.

Sohner, Michelle P., Office of the Provost, Administrative Coordinator II, Academic Ombud Services, after 21 years and 6 months of consecutive service, under AR 3:1, Section VIII.B, effective 3/1/13.

Thompson, Jacquelyn, College of Business and Economics, Editorial Assistant, Finance and Quantitative Methods, after 19 years and 5 months of consecutive service, under AR 3:1, Section VIII.B, effective 11/28/12.

Wilson, Emery A., College of Medicine, Professor (with tenure), Obstetrics and Gynecology, and joint appointment as Professor (without tenure), Family and Community Medicine, after 40 years and 4 months of consecutive service, under AR 3:1, Section VIII.B, effective 1/2/13.

H. EARLY RETIREMENTS

DeRossett, Reva R., College of Dentistry, Dental Stores Manager, Dental Supply, after 41 years and 6 months of consecutive service, under AR 3:1, Section VIII.A, effective 1/2/13.

Friend, Rebecca, Office of the Provost, Editorial Assistant, Program Funds, after 20 years and 4 months of consecutive service, under AR 3:1, Section VIII.A, effective 2/1/13.

Martinez, Katie A., College of Medicine, Clinical Nurse Clinician Senior, Pediatrics, after 26 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/30/12.

Morgan, David A., College of Agriculture, Auto Mechanic II, Western Kentucky Sub Station, after 26 years and 3 months of consecutive service, under AR 3:1, Section VIII.A, effective 11/30/12.

I. POST-RETIREMENTS APPOINTMENTS

Cibull, Michael L., College of Medicine, Professor (part-time), Pathology and Laboratory Medicine, 1/2/13 through 6/30/13.

Fehr, Robert L., College of Agriculture, Professor (part-time), Biosystems and Agricultural Engineering, to rescind post-retirement appointment for 7/1/12 through 6/30/13.

Jacobs, Charlene, College of Agriculture, Interim Assistant Director for 4-H/Youth Development Regional Program and Development Coordinator, Cooperative Extension Service, 1/1/13 through 12/31/13.

J. PHASED RETIREMENT APPOINTMENTS

Hilton, Beverly A., Libraries, Librarian I (with tenure), 7/1/13 through 6/30/18.

Lederer, Albert, College of Business and Economics, Professor (with tenure), Management, 1/1/13 through 12/31/17.

Lester, Thomas W., College of Engineering, Professor (with tenure), Mechanical Engineering, 7/1/13 through 6/30/18.

Tzou, Hornsen S., College of Engineering, Professor (with tenure), Mechanical Engineering, 1/1/13 through 12/31/17.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

- Al-Solaiman, Yaser, College of Medicine, Assistant Adjunct Professor, Internal Medicine, 12/1/12 through 6/30/13.
- Bell, Robert J., College of Education, Assistant Adjunct Professor, Kinesiology and Health Promotion, 8/16/12 through 6/30/15.
- Bergeron, Emily G., College of Design, Instructor (part-time), Historic Preservation, 1/1/13 through 6/30/13.
- Cripps, Andrea, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.
- Day, Bethany, College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 1/1/13 through 6/30/16.
- Day, Joseph M., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 1/1/13 through 6/30/16.
- Deepa, Arla, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/12 through 6/30/16.
- Farris, Neil R., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/12 through 6/30/16.
- Gal, Tamas S., College of Public Health, Assistant Research Professor, Biostatistics, 12/1/12 through 6/30/13.
- Graham, Mary A., College of Agriculture, Instructor (part-time), Merchandising, Apparel and Textiles, 1/2/13 through 6/30/13.
- Hudson, Gregory, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 11/1/12 through 6/30/16.
- Jones, Courtney, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/12 through 6/30/12.
- Lee, Kara L., College of Health Sciences, Instructor (part-time), Rehabilitation Sciences, 7/1/12 through 6/30/13.
- Maciuba, Sandra A., College of Nursing, Instructor (part-time), 1/1/13 through 6/30/13.
- Mahanes, Monica, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.
- Martin, Adam, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.
- McLaughlin, Allis R., College of Nursing, Instructor (part-time), 1/1/13 through 6/30/13.
- Musulini, Mike, College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 1/7/13 through 5/10/13.
- Nonn, Alyson K., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.
- Overall, Philip C., College of Medicine, Assistant Professor (voluntary), Emergency Medicine, 11/1/12 through 6/30/16.
- Rasch, Jeffrey S., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 12/1/12 through 6/30/16.
- Sawyer, Audrey H., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, 1/1/13 through 12/31/14.

Sawyer, Derek E., College of Arts and Sciences, Assistant Professor, Earth and Environmental Sciences, 1/1/13 through 12/31/14.
Sims, Meredith E., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.
Steele, Stephanie L., College of Nursing, Instructor (part-time), 1/1/13 through 6/30/13.
Tanous, Edward J., College of Medicine, Assistant Professor (part-time), Pathology and Laboratory Medicine, 12/14/12 through 6/30/13.
Trubee, Nicholas W., College of Education, Instructor (part-time), Kinesiology and Health Promotion, 7/1/12 through 6/30/13.

B. ADMINISTRATIVE APPOINTMENTS

Baker, William, College of Medicine, Rural Project Manager Senior, UK Center for Excellence in Rural Health-Hazard, effective 12/1/12.
Burnett, Amy, College of Medicine, Advanced Practice Provider I, Pediatrics, effective 12/10/12.
Knight, Sandi, College of Medicine, Project Management Officer, Pediatrics, effective 12/11/12.

C. JOINT APPOINTMENTS

Mark, Kristen, College of Education, Assistant Professor, Kinesiology and Health Promotion, joint appointment as Assistant Professor, Health Behavior, College of Public Health, 1/1/13 through 5/15/13.

D. REAPPOINTMENTS

Amponsah, Gabriel, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/13 through 6/30/13.
Boucher, Larry, College of Education, Instructor (part-time), Kinesiology and Health Promotion, 1/1/13 through 6/30/13.
Boulay, Roger, College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.
Combs, Elizabeth L., College of Agriculture, Instructor (part-time), Dietetics and Human Nutrition, 7/1/12 through 6/30/13.
Goss, Clifford R., College of Agriculture, Instructor (part-time), Merchandising, Apparel and Textiles, 1/1/13 through 6/30/13.
Lester, Denis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/11 through 12/31/11.
Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, 7/1/11 through 12/31/12.
Tucker, Hugh M., College of Medicine, Assistant Research Professor, Pediatrics, 7/1/12 through 11/30/12.
Van Meter, Sarah W., College of Fine Arts, Lecturer, Art and Visual Studies, 7/1/12 through 6/30/13.

E. PROMOTIONS WITHOUT TENURE

- Baird, Constance, College of Education, from Adjunct Instructor, Early Childhood, Special Education, and Rehab. Couns., to Assistant Adjunct Professor, Early Childhood, Special Education, and Rehab. Couns., 1/1/12 through 6/30/14.
- Friedrich, Andrea M., College of Arts and Sciences, from Lecturer, Psychology, to Senior Lecturer, Psychology, 7/1/13 through 6/30/16.
- Gebert, Mark A., College of Arts and Sciences, from Lecturer, Statistics, to Senior Lecturer, Statistics, 7/1/13 through 6/30/16.
- Ratajeski, Kent, College of Arts and Sciences, from Lecturer, Earth and Environmental Sciences, to Senior Lecturer, Earth and Environmental Sciences, 7/1/13 through 6/30/16.
- Sandmeyer, Robert D., College of Arts and Sciences, from Lecturer, Philosophy, to Senior Lecturer, Philosophy, 7/1/13 through 6/30/16.

F. CHANGES

- Buker, John L., College of Medicine, from Assistant Professor (voluntary), Internal Medicine, and joint appointment as Assistant Professor (voluntary), Surgery, to Assistant Professor (voluntary), Surgery, 12/1/12 through 6/30/16.
- Campbell, Sally E., from Nurse Clinical, Children's Hospital, to Advanced Practice Provider I, Family and Community Medicine, effective 12/10/12.
- Gokum, Jane Yevgeniya, from College of Pharmacy, Statistician Principal, Research and Data Management Center, to College of Nursing, Statistician Principal, effective 1/1/13.
- Hill, Christopher D., College of Engineering, Instructor (part-time), Civil Engineering, from 7/1/12 through 6/30/13, to 7/1/12 through 8/31/12.
- Hollen, Ann, College of Pharmacy, from Social Worker Supervisor, Research and Data Management Center, to Mental Health Data Management Associate Director, Research and Data Management Center, effective 9/1/12.
- Howard, Alex F., College of Public Health, from Lecturer, Health Services Management, to Lecturer, Health Services Management, 1/1/13 through 6/30/13.
- Michaels, Karen D., from Auditor Principal, Internal Audit, to Practice Manager III, Pediatrics, College of Medicine, effective 12/9/12.
- Miller, Alexis M., from Nurse Clinical, Children's Hospital, to Advanced Practice Provider III, Pediatrics, College of Medicine, effective 12/2/12.
- Raisler, Brian J., College of Medicine, from Assistant Professor, Ophthalmology and Visual Sciences, to Assistant Research Professor, Ophthalmology and Visual Sciences, 7/1/13 through 6/30/14.
- Wallace, Amy M., from Nurse Clinical, Children's Hospital, to Advanced Practice Provider III, Pediatrics, College of Medicine, effective 12/2/12.

G. TERMINAL APPOINTMENTS

- Akafuah, Nelson K., College of Engineering, Assistant Research Professor, Mechanical Engineering, 1/1/13 through 6/30/13.

H. LEAVES OF ABSENCE

- Alcalde, Maria C., College of Arts and Sciences, Associate Professor (with tenure), Gender and Women's Studies, and joint appointment as Associate Professor (without tenure), Anthropology, Sabbatical leave at full salary, 1/1/14 through 6/30/14.
- Anthony, John, College of Arts and Sciences, Professor (with tenure), Chemistry, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Basu, Srimati, College of Arts and Sciences, Associate Professor (with tenure), Gender and Women's Studies, and joint appointment as Associate Professor (without tenure), Anthropology, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.
- Bertsch, Paul M., College of Agriculture, Professor (with tenure), Plant and Soil Sciences, from Leave without pay, 4/1/13 through 3/31/14, to Leave without pay, 5/1/13 through 4/30/14.
- Bordo, Susan, College of Arts and Sciences, Professor (with tenure), Gender and Women's Studies, Leave without pay, 1/1/13 through 6/30/13.
- Borgatti, Stephen P., College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Boulden, George R., College of Fine Arts, Associate Professor* (with tenure), Music, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Bradshaw, David, College of Arts and Sciences, Professor (with tenure), Philosophy, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Brunner, Lance W., College of Fine Arts, Associate Professor (with tenure), Music, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Calvert, Jane E., College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.
- Cohen, Elisia L., College of Communication and Information, Associate Professor (with tenure), Communication, Sabbatical leave at full salary, 1/1/14 through 5/15/14.
- Cooper, Robin, College of Arts and Sciences, Associate Professor (with tenure), Biology, Sabbatical leave at full salary, 1/1/14 through 6/30/14.
- Crawford, Christopher B., College of Arts and Sciences, Assistant Professor, Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.
- DeWall, C. N., College of Arts and Sciences, Associate Professor (with tenure), Psychology, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.
- Dineen, Brian, College of Business and Economics, Associate Professor (with tenure), Management, to rescind Sabbatical leave at full salary, 1/1/13 through 6/30/13.
- Eides, Michael, College of Arts and Sciences, Professor (with tenure), Physics and Astronomy, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.
- Ettensohn, Frank, College of Arts and Sciences, Professor (with tenure), Earth and Environmental Sciences, Sabbatical leave at full salary, 1/1/14 through 6/30/14.
- Farrell, Herman D., College of Fine Arts, Associate Professor (with tenure), Theatre, Sabbatical leave at full salary, 7/1/13 through 12/31/13.
- Fillmore, Mark, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointment as Associate Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Fryar, Alan, College of Arts and Sciences, Associate Professor (with tenure), Earth and Environmental Sciences, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Hamann, Scott R., College of Medicine, Clinical Professor, Anesthesiology, Leave without pay, 1/2/13 through 6/30/13.

Hunter, David G., College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, and joint appointment as Professor (without tenure), History, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Hutson, Scott R., College of Arts and Sciences, Associate Professor (with tenure), Anthropology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Kearns, Christia S., College of Arts and Sciences, Associate Professor (with tenure), Psychology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Krupa, James, College of Arts and Sciences, Associate Professor* (with tenure), Biology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Man, Chi Sing, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Mehra, Ajay, College of Business and Economics, Professor (with tenure), Management, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Melish, Joanne, College of Arts and Sciences, Associate Professor (with tenure), History, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Milich, Richard, College of Arts and Sciences, Professor (with tenure), Psychology, and joint appointment as Professor (without tenure), Behavioral Science, College of Medicine, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Mullineaux, Donald, College of Business and Economics, Professor (with tenure), Finance and Quantitative Methods, Sabbatical leave at half salary, 7/1/13 through 6/30/14.

Osborn, Jeffery, College of Arts and Sciences, Professor (with tenure), Biology, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Peffley, Mark, College of Arts and Sciences, Professor (with tenure), Political Science, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Powdrill, Samuel G., College of Health Sciences, Associate Professor* (with tenure), Clinical Sciences, Sabbatical leave at half salary, 7/1/13 through 6/30/14.

Prendergast, Mark, College of Arts and Sciences, Professor (with tenure), Psychology, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Ravat, Dhananjay, College of Arts and Sciences, Professor (with tenure), Earth and Environmental Sciences, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Ruble, Lisa, College of Education, Associate Professor (with tenure), Educational, School, and Counseling Psychology, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Rust, Marion L., College of Arts and Sciences, Associate Professor (with tenure), English, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Sachs, Leon, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Santi, Enrico, College of Arts and Sciences, Professor (with tenure), Hispanic Studies, Sabbatical leave at half salary, 1/1/14 through 6/30/14.

Scott, Frank, College of Business and Economics, Professor (with tenure), Economics, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Secor, Anna, College of Arts and Sciences, Professor (with tenure), Geography, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Strakovsky, Dmitry, College of Fine Arts, Associate Professor (with tenure), Art and Visual Studies, Sabbatical leave at half salary, 7/1/13 through 6/30/14.

Symeonidis, Haralambos, College of Arts and Sciences, Associate Professor (with tenure), Hispanic Studies, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Tunberg, Jennifer, College of Arts and Sciences, Associate Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Viele, James, College of Arts and Sciences, Associate Professor (with tenure), Statistics, Leave without pay, 7/1/12 through 6/30/13.

Vorobieva, Irina V., College of Fine Arts, Professor* (with tenure), Music, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Voss, D. Stephen, College of Arts and Sciences, Associate Professor (with tenure), Political Science, Sabbatical leave at two-thirds salary, 7/1/13 through 6/30/14.

Ye, Qiang, College of Arts and Sciences, Professor (with tenure), Mathematics, Sabbatical leave at full salary, 7/1/13 through 12/31/13.

Zhang, Yu-Ming, College of Engineering, Professor (with tenure), Electrical and Computer Engineering, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

Ziebart, David, College of Business and Economics, Professor (with tenure), Accountancy, Sabbatical leave at full salary, 1/1/14 through 6/30/14.

I. RESIGNATIONS

Aikat, Shamik, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 12/10/12.

Clodfelter, Timothy M., College of Fine Arts, Professor* (with tenure), Music, effective 6/30/13.

Crispen, Paul L., College of Medicine, Assistant Professor*, Surgery, effective 4/3/13.

Haney, Charlotte, College of Dentistry, Clinical Assistant Professor, Oral Health Science, effective 7/2/13.

Hundley, Jonathan C., College of Medicine, Assistant Professor*, Surgery, effective 1/31/13.

Panchatcharam, Manikandan, College of Medicine, Assistant Research Professor, Internal Medicine, effective 12/31/12.

Ramaiah, Chand, College of Medicine, Clinical Associate Professor, Surgery, from effective 1/11/13, to effective 1/15/13.

Robertson, Morgan M., College of Arts and Sciences, Assistant Professor, Geography, effective 12/31/12.

Tolbert, Thomas R., College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 12/31/12.

Turner, M. Dawn, College of Medicine, Clinical Assistant Professor, Pediatrics, effective 2/13/13.

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Gregg, Sarah, Pharmacist/UKHC, Pharmacy Services, effective 12/02/2012
Polly, Bruce, Pharmacist/UKHC, Pharmacy Services, effective 12/21/2012
Burgess, Donna, Pharmacist/UKHC, Pharmacy Services, effective 01/07/2013

B. CHANGES

Simpkins, Valerie, from Informatics Education Specialist Clinical/UKHC, EVPHA Information Technology to Informaticist/UKHC, EVPHA Information Technology, effective 12/012/2012

C. RETIREMENTS

Foster, Peggy B., Application Analyst II, after 27 years and 1 month of consecutive service, under AR 3:1 Section VIII.A., effective 11/30/2012
Jones, Linore Mary Dudik, Social Worker Supervisor, after 33 years and 9 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/02/13
Whitehead, Connie G., Staff Support Associate I, after 40 years and 6 months of consecutive service, under AR 3:1 Section VIII.A., effective 02/04/13
Becknell, Sandra B., Account Clerk Senior, after 25 years and 7 months of consecutive service, under AR 3:1 Section VIII.A., effective 01/02/2013

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Ellis, Kevin, Automation Tooling Engineer III/UKHC, EVPHA Information Technology, effective 11/19/2012

B. CHANGES

Casteel, Cynthia, from Windows Server Engineer II/UKHC, EVPHA Information Technology to Windows Server Engineer III/UKHC, EVPHA Information Technology, effective 12/02/2012

PR 3

Office of the President
January 29, 2013

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION: ELIGIBILITY TO VOTE
FOR STAFF REPRESENTATIVE TO THE BOARD OF TRUSTEES

Recommendation: that the Board approve the attached revision to Governing Regulation (GR) II, relating to the election for the Staff Representative to the Board of Trustees. This proposed revision was received for preliminary consideration as PR 5 on December 11, 2012.

Background: As the University is adjusting to the new economic trends, many staff employee positions have been adjusted from fulltime FTE 1.00 to a FTE .75. It is in the best interest of the University and the Staff Senate that those employees be able to participate in electing the Staff Representative to the Board of Trustees.

As a housekeeping measure, the GR is also revised to clarify the administrative positions, consistent with KRS164.131(4), who are eligible to serve as Staff Trustee and who are eligible to vote in Staff Trustee elections.

A revised copy of the relevant section of GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

These revisions are supported by the President and the Staff Senate.

Action taken: Approved Disapproved Other _____



UNIVERSITY OF
KENTUCKY
Regulations

Governing Regulation, Part II

Responsible Office: Board of Trustees

Effective: 6/19/2012

Supersedes Version: 12/13/2011

Governance of the University of Kentucky

Major Topics

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- B. [Board of Trustees - Membership](#)
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 - (a) [Executive Committee](#)
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 - (e) [Investment Committee](#)
 - (f) [Nominating Committee](#)
 - (g) [Student Affairs Committee](#)
 - (h) [University Athletics Committee](#)
 - (i) [University Health Care Committee](#)
 - (j) [University Relations Committee](#)
 - 3. [Special Committees](#)
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H. [References and Related Materials](#)

[Appendix I – Petition to Address the Board](#)

A. Introduction

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws, the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policies and Procedures* and the *Business Procedures Manual*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures*, *Business Procedures Manual*, and *University Senate Rules* should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

B. Board of Trustees - Membership

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

(b) Elected Members

The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

- (i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, and who do not have an administrative assignment at or above the level of department chair, and as otherwise defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for reelection but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

- (ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents,

~~and~~ academic deans, academic department chairpersons, vice provosts, and equivalent positions. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, ~~full-time~~ staff employees with a 0.75 or greater full time equivalency (FTE), as defined in the Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status, including the President, Provost, executive vice presidents, vice presidents, ~~and~~ academic deans, academic department chairpersons, vice provosts, and equivalent positions.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4), is hereby delegated to the Staff Senate.

- (iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting Members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

(d) Removal of Members

Pursuant to KRS 164.131(1)(d), "Board members may be removed by the Governor for cause, which shall include neglect of duty or malfeasance in office, after being afforded a hearing with counsel before the council and a finding of fact by the council." The "Council" is defined in KRS 164.001(8) as the Council on Postsecondary Education.

3. Officers

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these Governing Regulations and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

PR 4

Office of the President
January 29, 2013

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDINGS: RESIDENCE HALLS

Recommendation: that the Board of Trustees approve the official naming of the new residence halls currently under construction by Education Realty Trust. Each building and its proposed name is as follows:

Central Hall I -- This is for the north building of Phase I on Haggin Field.

Central Hall II -- This is for the south building in Phase I on Haggin Field.

Haggin Hall -- This is for the building that will replace the existing Haggin Hall. Haggin was named for James B. Haggin. The family has a strong presence in the community and is a significant supporter of the university.

Woodland Glen I -- This is the Cooperstown site that is closest to Kirwan/Blanding complex at the corner of Woodland and Hilltop Avenues.

Woodland Glen II -- This is the Cooperstown site that is on the corner of Woodland Avenue and Cooperstown Drive.

Champions Court I -- This is the Blazer Parking Lot site on Avenue of Champions.

Champions Court II -- This is the Wildcat Lodge site between MLK and Lexington.

Background: The Committee on Naming University Buildings has approved this request and found it to be in compliance with university policy Administrative Regulation 9:5 and recommends approval of these name designations.

This request came to the Committee from the UK Housing Project Implementation Team.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
January 29, 2013

Members, Board of Trustees:

CHANGE OF NAME FOR THE
CENTER FOR HEALTH SERVICES MANAGEMENT AND RESEARCH

Recommendation: that the Board of Trustees approve the change in the name of the Center for Health Services Management and Research to the Center for Health Services Research, in the Office of the Provost, effective immediately.

Background: The Center for Health Services Management and Research was established by the Board of Trustees at its meeting on May 5, 1998, as an interdisciplinary center reporting to the Chancellor of the Medical Center. The Center was established to address important issues in health services organization, financing, accessibility, cost, quality, appropriateness, and outcomes with the ultimate goal of improving the health status of individuals and populations. The Center was also charged with outreach responsibilities to train health professionals for work in managed care and other new health services environments.

With the implementation of the Provost model in July 2003, all academic units reporting to the Chancellor of the Medical Center were moved to the Provost. In 2004 the Board of Trustees approved the creation of the College of Public Health and the academic components of the Center were incorporated into the newly created Department of Health Service Management. Research activities/efforts related to health services/outcomes remained in all colleges of the academic medical center and in several other academic units on campus. The need remained for an interdisciplinary research center; however the catalyzing function of the Center waned.

The renewed focus nationally on health services/systems research created by the Affordable Care Act, has resulted in substantial funding opportunities in this area for UK faculty, and has increased the need for an active interdisciplinary research center to catalyze campus research in these areas. The goal of the Center will be to accelerate the discovery of new knowledge concerning clinical effectiveness and cost effectiveness of health care delivery innovations, particularly for rural and limited-resource settings.

The proposal has been reviewed and recommended by the Senate Council; and endorsed by the University Senate. The Interim Provost of the University supports this recommendation.

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
January 29, 2013

Members, Board of Trustees:

CANDIDATE FOR DEGREE: DECEMBER 2012

Recommendation: that the president be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the university registrar that he has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2012 Fall Semester. Due to an administrative error the name was not previously submitted.

For details on degree candidate listings,
please contact Sean Cooper
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
January 29, 2013

Members, Board of Trustees:

TRANSFER OF THE UNIVERSITY PROFESSORSHIP IN NURSING ENDOWMENT TO
THE MARCIA A. DAKE PROFESSORSHIP IN NURSING SCIENCE ENDOWMENT

Recommendation: that the Board of Trustees approve the dissolution of the University Professorship in Nursing Endowment by transferring the market value of the endowment to the Marcia A. Dake Professorship in Nursing Science Endowment. Both endowments support the College of Nursing.

Background: The University Professorship in Nursing Endowment was established in January 1998. The donor, Kentucky Medical Services Foundation, and the college have requested that this endowment be dissolved and the funds transferred to the Marcia A. Dake Professorship in Nursing Sciences to enhance the spending distribution for the position.

Action taken: Approved Disapproved Other_____

FCR 2

Office of the President
January 29, 2013

Members, Board of Trustees:

AUTHORIZATION TO ACQUIRE PROPERTIES FOR CONSTRUCTION OF
ADMINISTRATIVE BUILDING FOR THE DEPARTMENT OF OPHTHALMOLOGY AND
SHRINERS HOSPITAL FOR CHILDREN

Recommendation: that the Board of Trustees, acting pursuant to its statutory authority under Section 164.260 of the Kentucky Revised Statutes and the Eminent Domain Act of Kentucky, authorize and direct the acquisition through condemnation proceedings of a final property located at 1037 Limestone, Lexington, KY. This parcel is essential to the construction of an administrative building for the Department of Ophthalmology and Shriners Hospital for Children.

Background: University officials have, over a period of several months, attempted in good faith to acquire this property from the owner. This is the final parcel needed to proceed with the project. University officials have met with the property owner and discussed in detail the need for this final property. KRS 164A.575 (6) provides that “the amount paid shall not exceed the appraised value as determined by a qualified appraiser or the value set by the eminent domain procedure.” The university obtained appraisals on the property and offered to purchase the property based on the highest appraised value. These offers were rejected. Upon precedent set in 2005 with the Hospital Parking Garage Project, permission was obtained from Secretary Lori H. Flannery, Finance and Administrative Cabinet, to offer 10% above the highest of the appraisals. University officials subsequently offered to purchase the final property at the 10% premium. The property owner also rejected this offer.

The owner has been informed that if the University could not contract for the final property, the Board of Trustees would be requested to authorize condemnation. Accordingly, in view of the fact that this final property is crucial to this project and the proposed schedule for construction, the University administration recommends that the Board of Trustees authorize condemnation. Additional efforts will be made with the property owner to purchase the property prior to filing the formal condemnation proceedings.

Action taken: Approved Disapproved Other _____