

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 25, 1994.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 25, 1994 in the Board Room on the 18th floor of Patterson Office Tower.

A. Meeting Opened

Governor Edward T. Breathitt, Chairperson, called the meeting to order at 1:00 p.m., and the invocation was pronounced by Mr. Steven Reed.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Governor Edward T. Breathitt (Chairperson), Mr. Ted Bates, Mrs. Kay Shropshire Bell, Mr. James F. Hardymon, Professor Loys Mather, Dr. Robert P. Meriwether, Mrs. Elissa Plattner, Dr. Deborah Powell, Mr. Steven Reed, Mrs. Marian Sims, Professor John Sistarenik, Mr. William B. Sturgill, Mrs. Lois C. Weinberg, Mr. Martin Welenken, and Mr. Billy B. Wilcoxson. Absent from the meeting were Mr. Paul Chellgren, Mr. Robert Clay, Mr. C. Frank Shoop, and Mr. Daniel Ulmer. The University Administration was represented by President Charles T. Wethington, Jr.; Chancellors Ben W. Carr, Jr., Robert E. Hemenway, and James W. Holsinger, Jr.; Vice Presidents Joseph T. Burch, Edward A. Carter, Delwood Collins, and Eugene Williams; Robert Lawson, Assistant to the President for Administrative Affairs; George DeBin, Assistant to the President for Fiscal Affairs; Dr. Juanita Fleming, Special Assistant for Academic Affairs; Mr. C. M. Newton, Athletics Director, and Mr. Richard E. Plymale, General Counsel.

C. Approval of Minutes

Governor Breathitt said that the Minutes of the September 20, 1994 meeting had been distributed and asked for a motion of approval. Mrs. Sims moved approval of the Minutes. Her motion, seconded by Mrs. Bell, carried.

D. UK Student Ambassadors

President Wethington called attention to the UK Student Ambassadors who were in attendance. He asked Mr. Joseph Burch to comment on the Ambassadors and introduce them.

Mr. Burch said that five Student Ambassadors are selected each year to speak throughout the state to schools, alumni clubs and civic clubs, and perform a number of functions on the campus as well. He stated that these students are excellent public speakers and expressed pleasure in introducing those who were in attendance: Shane Carlin, Melody Holliman, Barth Holohan and Evan Reynolds. He noted that Mary Beth Hicks was in class and could not be there. The Student Ambassadors were given a round of applause.

President Wethington thanked the Ambassadors for their willingness to represent the University and for attending the meeting.

E. President's Report to the Trustees (PR 1)

President Wethington commented on the following items in his report:

1. UK's equine research program has received three significant gifts: a William Robert Mills gift expected to exceed \$3 million, a \$1 million charitable lead trust from Albert Clay, former member of the Board of Trustees, and a commitment of \$250,000 to a charitable remainder trust from an anonymous donor.
2. UK Hospital has performed its 100th transplant operation.
3. UK is ranked again for the fourth consecutive year as one of the 101 Best Values in America's Colleges and Universities.
4. The Community College System has been awarded an \$800,000 NSF grant for computer and math labs.
5. The Community College System has also received a \$100,000 Planning/Development KERA Grant from the Corporation for National and Community Service.
6. The College of Allied Health Professions, the Sanders-Brown Center on Aging and the St. Claire Medical Center in Morehead have received a three-year grant for \$580,603 from the U.S. Department of Health and Human Services to initiate the Rural Health Interdisciplinary Training Project.
7. Odyssey, UK's research magazine, has received the Gold Award for the Best Cover of Educational Magazines in the nation.

He asked the members to peruse the other items in the report at their leisure.

As a part of the President's report and an effort to keep the Board members apprised of major activities in the University, President Wethington said that he had put a report on the University of Kentucky Lucille Parker Markey Cancer Center on the agenda. He recognized Dr. Ben Roach, an individual who has been largely responsible for the major fundraising efforts that led to the facilities which comprise the current Cancer Center. Dr. Roach was asked to stand and be recognized, following which he received a round of applause. He then asked Chancellor Holsinger to introduce Dr. Kenneth A. Foon, Director of the Lucille Parker Markey Cancer Center and Chief of the Division of Hematology and Oncology in the College of Medicine.

Dr. Holsinger expressed pleasure in introducing Dr. Kenneth A. Foon. He said that Dr. Foon has done an outstanding job in the short time that he has been with the University and asked him to report on the Markey Cancer Center.

Through a series of slides, Dr. Foon gave a brief overview of the Markey Cancer Center. He commented on the various buildings and the Cancer Center organizational structure. He noted that the Cancer Center represents the entire Medical Center, interacting with all of the different disciplines. It is not just for the Medical School. There are basic scientists in the Combs Building and throughout the campus who are members of the Cancer Center, using the research resources and their core support. The Markey Cancer Center serves as a focus to draw all of the groups together. He reported that there is an internal and external advisory board and a McDowell Cancer Research Foundation which Dr. Ben Roach heads. He reviewed a number of the accomplishments since his arrival in January 1993 and mentioned some of the outstanding programs in the Center. He also elaborated on the following topics:

- New Recruits Since 1993
- IRB Approved Studies by Sponsor
- Anti-Idiotypic Vaccine Program
- Benchmarks in Progress 1993-95
- Grant Support
- Targets for Future Development
- Special Programs and Services
- Matrix Organization
- Cancer Control Program Activities 1993-94

In closing, he said that one of his missions is to recover a National Cancer Institute designated cancer center grant which was lost a number of years ago. He expressed appreciation for the support from the University and the community.

President Wethington said that Dr. Foon's recruitment is certainly a major part of the success of the Cancer Center. He thanked Dr. Foon for making the report to the Board.

F. Personnel Actions (PR 2)

President Wethington recommended that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted. Mrs. Weinberg moved approval. Her motion, seconded by Mrs. Bell, passed. (See PR 2 at the end of the Minutes.)

G. Proposal to add Community College Student to the Board of Trustees

President Wethington said that T. A. Jones had announced his resignation from the presidency of the Student Government Association. By Student Government Association rules, his position of Student Government Association President is taken by his vice president, Benny Ray Bailey, II. He recognized Mr. Bailey and the students from some of the community colleges who were in the audience, and they received a round of applause.

President Wethington reminded the Board of Mr. Jones' request at the last meeting to bring a proposal to add a community college student to the Board. He mentioned the letter that Mr. Bailey had sent to the members of the Board asking for their support for representation for the community college students on the Board.

President Wethington said that he had discussed the pros and cons of the issue more than once with Mr. Jones prior to his resignation. Mr. Jones, as does Mr. Bailey, feels strongly that the community college students deserve a trustee seat. He provided background information, noting that the Student Government Association President is chosen by the University System students and the Lexington Community College students and not by the other community colleges. This was a determination by students in the University, not by the Board of Trustees or the General Assembly. He said that this issue is not one which, in his opinion, should be put in any context of opposition to community college student representation or student representation generally on the Board of Trustees. But it is, he believes, a basic tenet of American higher education that public universities in this nation are governed by lay governing boards. He reviewed the current membership on the Board and noted that 25% of those members are not lay members (not appointed by the Governor).

President Wethington expressed regrets that the Board had been put in this position. He said that the Board does not determine who is or is not a member of the Board. This is a matter that is determined by the General Assembly.

He reported that there has been a student and faculty representative on the advisory board of each of the community colleges in the Commonwealth since 1970. That action was in direct response to the felt need of faculty and students in the community colleges to be involved in a body which was involved with their particular community college.

President Wethington expressed opposition to any further representation on the Board by anyone other than lay members representing the public in the Commonwealth of Kentucky. He said, in his opinion, the Board is large enough. He recommended that the Board not endorse a proposal to have the General Assembly enact legislation to add a student member to the Board as a representative of students in the Community College System. He, therefore, recommended that the Board deny the request and vote down the proposal to add a community college student to the Board of Trustees. He assured the Board that his position would be the same if the request came from a group of staff members or any other constituent group in the institution.

Professor Mather asked to read the following statement from the three faculty trustees:

We have carefully reviewed the proposal to add a student representative from the Community College System as a voting member of the UK Board of Trustees. UK is a large and very comprehensive institution. We are the state's only comprehensive institution for higher education with a statewide mission for teaching, research, and public service. Within the University System we offer the liberal arts, we are a land grant institution with a statewide mission in agricultural research and extension, we have a medical center and hospital, we have colleges of engineering, business and

economics, fine arts, and communications to mention only a few, plus this University includes a community college system. In recent years, we've seen the linkage strengthen between the University and community college systems in joint use of facilities and services (e.g. Hazard Community College and library). In all likelihood we are unique in comparison to all institutions of higher education in the U.S.

With this background in mind, it is appropriate, then, that nearly half of this Board is composed of representatives of a variety of constituent groups. Constituent representation has been a significant part of this Board for the past 48 years, and perhaps much longer than that. It is noteworthy that as the comprehensive nature of the institution changed, so did the nature of its governing board.

One constituent group is the student body. We find it highly appropriate for this Board to include representation from students. It has been a healthy and a necessary addition to this Board. To augment this representation with a student representative from the community colleges, however, is a proposal, which in our opinion needs further study and is one which at this time we are unable to support.

The proposal leaves some significant issues unaddressed. One is the potential difficulty of an election process among community college students who are themselves geographically dispersed across the Commonwealth, who are in two year programs, and therefore have limited opportunity to identify system-wide student leadership. Second, attending meetings of this Board could pose a potential hardship on the selected student in making a large number of trips to Lexington during the school term. These students often hold part-time jobs or have other obligations. Further, it is not clear to us whether this is a proposal that is foremost in the minds of students across the 14 community colleges.

What would make much more sense to us is to give these students (and their faculty counterparts) voting status on the advisory board of their respective community colleges. These are the boards which appear to us to be a more relevant decision-making body for these students (and we understand that the students take their assignments on these boards very seriously). Students and faculty were added as nonvoting members of the community college advisory boards in 1970 soon after nonvoting faculty and student representatives were added to the Board of Trustees.

In 1978, student and faculty representative on the Board of Trustees were given voting status. However, voting rights were not extended to the faculty and students on the community college advisory boards, no doubt an oversight in the General Assembly.

For those who are interested in pursuing this issue with the General Assembly, we feel a more positive and effective proposal would be one which extends voting rights to the student and faculty members on the community college advisory boards. Further, this alternative would extend the voting franchise to a much larger number of students than adding another student representative to this Board.

Mr. Sturgill said that he was sympathetic as he might be to things that happen in the Community College System because they have no greater supporter than he has been over the years. This Board does not have the authority to change the composition of the Board. It is a lay Board, created to bring some balance to the governance of the University. He moved that the proposal on the table be disapproved.

Professor Sistarenik reiterated that it will be up to the General Assembly to make the decision about this issue. The 14 Student Government Presidents are ex-officio voting members of the Senate of the Community College System. They also have two student representatives from their group on the Community College Council. They are on the system's rules committee, the system's program development committee and the system's student services committee. They have a vote just as any of the faculty members on all of these committees. In addition, there are several other community college system-wide committees with student representation and there is a representative on the student advisory committee to the Executive Director of the Council on Higher Education. He pointed out that there is quite extensive student representation in the Community College System.

Mrs. Weinberg commented that the Board needs to distinguish between input and representation. She said, in her opinion, everyone is interested in student input and it had been outlined both by Professors Mather and Sistarenik exactly to the extent it is set up. She said that kind of flow needs to be encouraged in those committees and councils. In her opinion, it is prudent to disapprove the proposal, but that the Board consider Professor Mather's proposal about whether or not to recommend voting privileges on the advisory boards when we do seek a new student representative.

Mrs. Plattner said that she did not know of a way to amend the proposal, but she did believe that Professor Mather's recommendation holds great weight. She said that she was impressed with the community college students' attendance. The Board needs to keep Professor Mather's idea and revisit it again with the understanding that the Board is always working for more voice from everybody who is its constituent.

Governor Breathitt said that any member of the Board can request an agenda item, and he reviewed the process for getting an item on the agenda. He reminded the Board that this proposal was just an expression of sentiment of the Board at this time. It has no power of law. Following the motion by Mr. Sturgill to disapprove the proposal, which was seconded by Mr. Wilcoxson, the proposal was disapproved.

H. Associate Degree Program in the Community College System -  
Hopkinsville Community College (PR 4A)

President Wethington recommended that the Board authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Early Childhood Education, for the Hopkinsville Community College. Mrs. Weinberg moved approval. Dr. Powell seconded the motion and it carried. (See PR 4A at the end of the Minutes.)

I. Change in Degree Title (PR 5A)

President Wethington recommended that the degree title be changed from a M.S. in Education - Vocational Education to a M.S. in Vocational Education. On motion made by Mrs. Sims and seconded by Mr. Welenken, PR 5A was approved. (See PR 5A at the end of the Minutes.)

J. Change in Degree Title (PR 5B)

President Wethington recommended that the Board approve the change in the title of the degree from M.S. and Ph.D. in Physiology and Biophysics to M.S. and Ph.D. in Physiology. He noted that this change will bring the title of the degree in line with the title of the department. Dr. Powell moved approval. Her motion, seconded by Mrs. Bell, carried. (See PR 5B at the end of the Minutes.)

K. New Master of Science Degree or Master of Arts Degree Program in Interior Design, Merchandising and Textiles (PR 5C)

President Wethington recommended that the Board authorize for submission to the Council on Higher Education a new degree program, the Master of Science or Master of Arts in Interior Design, Merchandising and Textiles. Dr. Powell moved approval. The motion, seconded by Mrs. Sims, passed. (See PR 5C at the end of the Minutes.)

L. Appointment to University Hospital of the Albert B. Chandler Medical Center, Inc. Board of Directors (PR 6A)

President Wethington recommended that Steven S. Reed be appointed a member of the Board of Directors of the University Hospital. He stated that the Board of Directors consist of five voting members appointed by the Board of Trustees from among their number. He said that he had consulted with Chancellor Holsinger and Dr. Meriwether, Chairperson of that Board, and this action has their strong recommendation. He expressed pleasure in recommending the appointment of Steven S. Reed. Mrs. Weinberg moved approval. Mr. Sturgill seconded the motion and it passed. (See PR 6A at the end of the Minutes.)

M. Appointment of Director of the Kentucky Health Service (PR 6B)

President Wethington recommended that Chancellor Holsinger be appointed to serve as Director of the Kentucky Health Service, representing the University of Kentucky. He noted that this action is necessitated by HB 250. This bill requires that both the University of Kentucky and the University of Louisville have a person appointed as director. Mr. Welenken so moved. His motion, seconded by Mrs. Bell, carried. (See PR 6B at the end of the Minutes.)

N. Appointments to the University of Kentucky Center on Aging Foundation (PR 6C)

With President Wethington so recommending, on motion made by Dr. Powell, seconded by Mr. Welenken and carried, the appointments in PR 6C to the Center on Aging Foundation were approved. (See PR 6C at the end of the Minutes.)

O. Acceptance of Interim Financial Report for the University of Kentucky for the Three Months Ended September 30, 1994 (FCR 1)

Mr. Hardymon, Chairperson of the Finance Committee, reported that the Finance Committee met and reviewed 15 items to present to the Board. He recommended that the Board approve the Interim Financial Report for the three months ending September 30. He reviewed the realized income and expenditures and said that the report is consistent with prior years. He moved approval of FCR 1. Mr. Sturgill seconded the motion and it passed. (See FCR 1 at the end of the Minutes.)

P. Acceptance of the Financial Statements of the University of Kentucky and Affiliated Corporations and Other Related Organizations for the Year Ended June 30, 1994 (FCR 2)

Mr. Hardymon said that FCR 2 consists of the financial statements of the University, the affiliated corporations and other related organizations for the year ending June 30, 1994. He reported that representatives from Coopers & Lybrand were at the Finance Committee and gave a completely strong positive report. He made a motion that the Board accept the Financial Statements for 1994. Mrs. Bell seconded the motion and it carried. (See FCR 2 at the end of the Minutes.)

Q. Report of Lease (FCR 3)

Mr. Hardymon said that FCR 3 is a report of a new lease. He reviewed the terms of the lease and moved that FCR 3 be accepted. Mrs. Weinberg seconded the motion and it carried. (See FCR 3 at the end of the Minutes.)

R. Approval of Lease (FCR 4)

Mr. Hardymon reminded the Board that leases exceeding \$30,000 in value require approval by the Board. He reviewed the lease and moved that the Assistant to the President for Fiscal Affairs be authorized to negotiate and execute the lease. His motion, seconded by Mrs. Sims, passed. (See FCR 4 at the end of the Minutes.)

S. Capital Construction Report (FCR 5)

Mr. Hardymon reported that FCR 5 is a quarterly Capital Construction Report for the three months ending September 30, 1994. He reviewed the report and made a motion that the Board accept it. His motion, seconded by Mr. Reed, carried. (See FCR 5 at the end of the Minutes.)

T. Patent Assignment (FCR 6)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Gerald P. Huffman, Serial No. 08/282,669, filed July 29, 1994. He said that this invention relates generally to the chemical processing and the recycling of waste plastic materials, and, more particularly, to a liquefaction process for the conversion of waste plastics to a useful oil and hydrocarbon gases. Mr. Welenken seconded the motion and it carried. (See FCR 6 at the end of the Minutes.)



U. Patent Assignment (FCR 7)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Bradley B. Hill, Serial No. (to be assigned), filed September 23, 1994. He reported that the invention relates generally to an endoscopic surgical procedure and, more particularly, to a minimally invasive method of repairing a transected or torn flexor or extensor tendon of a finger that has retracted proximally within the associated tendon sheath. His motion, seconded by Professor Mather, passed. (See FCR 7 at the end of the Minutes.)

V. Patent Assignment (FCR 8)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: David A. Pienkowski, Serial No. (to be assigned), filed July 19, 1994. He noted that this invention provides a method for reducing the amount, or changing the shape and size, of wear particulates generated by a total joint implant. The implant is more firmly in place and a longer implant service life is provided. Mrs. Bell seconded the motion and it carried. (See FCR 8 at the end of the Minutes.)

W. Patent Assignment (FCR 9)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Radovan (NMI) Kovacevic and Yu Ming Zhang, Serial No. (to be assigned), filed (to be filed.) He said that this invention provides a method and apparatus for determining weld pool surface geometry in 3-D in the presence of a welding arc during a welding operation that overcomes the limitations and disadvantages of the prior art. His motion, seconded by Professor Mather, passed. (See FCR 9 at the end of the Minutes.)

X. Patent Assignment (FCR 10)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Gerald P. Huffman, Jianmin Zhao and Zhen Feng, Serial No. (to be assigned), filed September 30, 1994. He reported that this invention relates to a method of producing highly dispersed iron oxide catalyst precursors useful for direct coal liquefaction. The motion, seconded by Professor Mather, carried. (See FCR 10 at the end of the Minutes.)

Y. Patent Assignment (FCR 11)

Mr. Hardymon moved that the Board authorize the President to execute an assignment on behalf of the Board to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: George A. Digenis and Alexander G. Digenis, Serial No. 08/106,948, filed August 17, 1993. He said that this invention relates to a composition which is anti-spermicide with potent anti-HIV activity and the National Institute of Health is very interested in this invention. Mr. Welenken seconded the motion and it passed. (See FCR 11 at the end of the Minutes.)

Z. Alliant Community Trust Fund Contribution (FCR 12)

Mr. Hardymon moved that the Board of Trustees accept a pledge of \$125,000 from Alliant Community Trust Fund of Louisville, Kentucky on behalf of Alliant Health Care Systems to the College of Allied Health Professions. He stated that the first pledge payment of \$62,500 has been received and the balance will be paid in 1995. His motion, seconded by Mr. Wilcoxson, carried. (See FCR 12 at the end of the Minutes.)

AA. Pauline B. Crosby Bequest and Scholarship Fund Quasi-Endowment (FCR 13)

Mr. Hardymon moved that the Board accept a distribution of \$231,549.63 from the Estate of Pauline B. Crosby and approve quasi-endowment of the funds to establish the Irvine H. and Pauline B. Crosby Scholarship Fund in the College of Medicine. This bequest is designated for medical student scholarships which will be funded by the income from the quasi-endowment. Mrs. Sims seconded the motion and it passed. (See FCR 13 at the end of the Minutes.)

BB. RJR Nabisco Foundation Contribution (FCR 14)

Mr. Hardymon moved that the Board accept a gift of \$118,100 from the RJR Nabisco Foundation to the College of Agriculture. This gift is to be divided among tobacco research, extension, and education programs in the College of Agriculture. Mrs. Weinberg seconded the motion and it carried. (See FCR 14 at the end of the Minutes.)

CC. Constance M. Slone Bequest and Scholarship Fund Quasi-Endowment (FCR 15)

Mr. Hardymon moved that the Board accept a distribution of \$102,667 from the Estate of Constance M. Slone and approve quasi-endowment of the funds to establish the Earl F. Slone Scholarship Fund in the College of Pharmacy. He noted that Mr. Slone was the first Dean of the College and was instrumental in moving the UK College of Pharmacy to Lexington. Professor Mather seconded the motion and it passed. (See FCR 15 at the end of the Minutes.)

DD. Mrs. Sims Comments

Mrs. Sims said that she had worked closely with the presidents of the student body, and she had been pleased with the way the past presidents have worked with the community colleges. She specifically mentioned the

demonstrated efforts of Scott Crosbie and Pete November, past presidents of the Student Government Association, and commended them for their efforts in representing the community college students.

President Wethington shared in Mrs. Sims comments. He noted that there are many specific efforts ongoing that cause that kind of representation to take place. He said that the Board of Trustees situation is a different issue. It is a Board composition issue, and it must be kept in that context.

EE. Meeting Adjourned

There being no further business to come before the Board, the Chairperson adjourned the meeting at 2:17 p.m.

Respectfully submitted,

Lois C. Weinberg  
Secretary  
Board of Trustees

(PR's 2, Proposal to Add Community College Student to the Board of Trustees, PR 4A, PR 5A, PR 5B, PR 5C, PR 6A, PR 6B, and PR 6C; FCR 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, and 15 which follow are official parts of the Minutes of the meeting.)

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Office of the President  
October 25, 1994

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have the President's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

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CENTRAL ADMINISTRATION

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

Center of Membrane Sciences

Butterfield, D. Allan, Professor, Chemistry, reappointed Director, Center of Membrane Sciences, 7/1/94 through 6/30/2000.

B. EARLY RETIREMENT

Vice President for University Relations

Feamster, Susan B., Director for University Programs, Office of Development, after 24.0 years of service, under AR II-1.6-1 Section III.A.2, effective 11/30/94.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Environmental Health and Safety

Bottom, Brian W., appointed Manager, Environmental Protection, effective 10/19/94.

B. LEAVE OF ABSENCE

Information Systems

Aken, Robert A., Librarian II, (with tenure), Reference Department, Libraries, Sabbatical leave with full salary, 1/1/95 through 6/30/95.

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE ACTION

Lexington Community College

Olson-Biglieri, Joanne, Associate Professor in the Community College System (with tenure), appointed Acting Chair, Division of Social Sciences and Graphics Technologies, 10/1/94 through 5/31/95.

B. ACADEMIC APPOINTMENTS

Ashland Community College

Patterson, Terri L., Instructor in the Community College System, 8/24/94 through 12/19/94.

Hazard Community College

Adkins, Patsy, Instructor in the Community College System (voluntary), 9/1/94 through 7/30/97.

Begley, Dan, Instructor in the Community College System, 8/22/94 through 12/31/94.

Jones, Steven L., Instructor in the Community College System, 9/1/94 through 6/30/95.

Morgan-Caldwell, Venita, Assistant Professor in the Community College System, 8/1/94 through 6/30/95.

Onwubu, Emeka, Assistant Professor in the Community College System, 8/1/94 through 6/30/95.

Ubing, Christopher, Instructor in the Community College System, 8/29/94 through 6/30/95.

Hopkinsville Community College

McFarland, Janet, Instructor in the Community College System, 9/1/94 through 6/30/95.

Jefferson Community College

Hoeffner, Kent, Instructor in the Community College System, 8/1/94 through 12/31/94.

Lexington Community College

Allen, Tamara J., Instructor in the Community College System, 8/19/94 through 5/31/95.

Brewster, Jerry V., Instructor in the Community College System (voluntary), 9/20/94 through 6/30/97.

McLoney, Douglas W., Instructor in the Community College System (voluntary), 9/20/94 through 6/30/97.

Papanicolaou, Thomas, Instructor in the Community College System, 8/18/94 through 12/31/94.  
Rogers, Thomas F., Instructor in the Community College System, 8/22/94 through 6/30/95.  
Yonts, Linda M., Instructor in the Community College System, 8/22/94 through 6/30/95.

Madisonville Community College

Weber, Teresa J., Instructor in the Community College System, 9/16/94 through 6/30/95.

Maysville Community College

Webb, Kirsten D., Instructor in the Community College System, 8/15/94 through 6/30/95.

Owensboro Community College

Clouse, John H., Instructor in the Community College System, 8/1/94 through 6/30/95.

Paducah Community College

Burklow, Marvin R., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Deweese, Jan, Instructor in the Community College System (voluntary), 10/1/94 through 9/30/97.  
Ferris, Lisa, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Hammons, Mark, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Harp, Scott, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Hawkins, James R., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Hughes, Michael C., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Johnson, Sabrina C., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Leachman, Lori, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Markle, Terri, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
McCaslin, Joseph, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
McCaslin, Shirley, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
McCraw, Dianne, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Ramage, Phillip, Instructor in the Community College System (voluntary), 10/1/94 through 9/30/97.  
Scott, Ruth H., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Vance, Mark, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.

Warfield, Ricky, Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.  
Westby, Richard M., Instructor in the Community College System (voluntary), 9/15/94 through 9/14/97.

Prestonsburg Community College

Khanfar, Khalid A., Instructor in the Community College System, 9/14/94 through 6/30/95.  
Perry, Melissa L., Instructor in the Community College System, 9/6/94 through 12/31/94.

Somerset Community College

Phillips, Stewart G., Assistant Professor in the Community College System, 9/8/94 through 6/30/95.

Southeast Community College

Barnhart, Keith, Instructor in the Community College System (voluntary), 10/1/94 through 5/31/97.  
Marsee, Bronwyn L., Instructor in the Community College System (voluntary), 10/1/94 through 5/31/95.  
Miller, Rebecca D., Instructor in the Community College System (voluntary), 10/1/94 through 5/31/95.

C. REAPPOINTMENTS

Jefferson Community College

Lutz, Terry, Instructor in the Community College System, 8/1/94 through 6/30/95.

Lexington Community College

Coleman, Gary R., Instructor in the Community College System (voluntary), 9/12/94 through 6/30/97.  
Frazier, Sandra L., Instructor in the Community College System (voluntary), 9/12/94 through 6/30/97.  
Freano, Doyle, Instructor in the Community College System (voluntary), 9/6/94 through 6/30/97.  
Freudenthal, Susan K., Instructor in the Community College System (voluntary), 9/20/94 through 6/30/97.  
Polites, Andrew T., Instructor in the Community College System (voluntary), 9/12/94 through 6/30/97.  
Price, Lynn G., Instructor in the Community College System (voluntary), 9/20/94 through 6/30/97.  
Rubeling, Richard R., Instructor in the Community College System (voluntary), 9/12/94 through 6/30/97.  
Stovall, Jerry L., Instructor in the Community College System (voluntary), 9/12/94 through 6/30/97.  
Valentine, Allan D., Instructor in the Community College System (voluntary), 9/20/94 through 6/30/97.



Paducah Community College

Birdsong, Chris, Instructor in the Community College System  
(voluntary), 9/15/94 through 9/14/97.

Hampton, Cheri, Instructor in the Community College System  
(voluntary), 9/15/94 through 9/14/97.

Rorer, Kathy, Instructor in the Community College System  
(voluntary), 9/15/94 through 9/14/97.

Weitlauf, David, Instructor in the Community College System  
(voluntary), 10/1/94 through 9/30/97.

D. LEAVES OF ABSENCE

Prestonsburg Community College

Saffari, Hassan, Professor in the Community College System  
(with tenure), sabbatical leave at full salary for the  
1995 Spring Semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENT

College of Communications and Information Studies

Ryan, Leland, Associate Professor\* (with tenure), Journalism and Telecommunications, effective 8/1/94.

B. CHANGES

College of Education

Byers, Charles W., to change from Professor (with tenure), Vocational Education to Professor (with tenure), Agricultural Economics, College of Agriculture, effective 7/1/94.

Miller, Sandra, to change from Professor (with tenure), Vocational Education to Professor (with tenure), Family Studies, College of Human Environmental Sciences, effective 7/1/94.

Tulloch, Rodney W., to change from Associate Professor (with tenure), Vocational Education to Associate Professor (with tenure), Agricultural Economics, College of Agriculture, effective 7/1/94.

C. POST-RETIREMENT APPOINTMENTS

College of Agriculture

Stevens, Raymond R., Agriculture Technician II (part-time), Agronomy, 10/3/94 through 6/30/95.

College of Engineering

Southgate, Herbert F., Transportation Research Engineer (part-time), Kentucky Transportation Center, 7/1/94 through 6/30/95.

College of Human Environmental Sciences

Henry, Sarah, Principal Curriculum Media Specialist (part-time), Administration, 9/1/94 through 5/31/95.

Vice Chancellor for Administration

Drury, Kenneth, Refrigeration Mechanic (part-time), Air Conditioning and Sheet Metal Shop, Physical Plant Division, 1/1/95 through 6/30/95.

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\* Special Title Series

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Senn, Elizabeth K., Instructor (part-time), Horticulture and Landscape Architecture, 9/1/94 through 12/31/94.  
Triantafillou, Menelaos, Instructor (part-time), Horticulture and Landscape Architecture, 9/1/94 through 12/31/94.

College of Architecture

Miller, Wallis J., Assistant Professor, Architecture, 1/1/95 through 6/30/95.

College of Arts and Sciences

Mathew, George, Instructor (part-time), Physics and Astronomy, 8/16/94 through 5/15/95.  
Seals, Alvin M., Instructor (part-time), African-American Studies, 10/1/94 through 12/31/94.  
Smith, Brian P., Instructor (part-time), Statistics, 9/1/94 through 12/31/94.

College of Communications and Information Studies

Miller, Robert O., Instructor (part-time), Communication, 8/1/94 through 12/31/94.

College of Engineering

Grimes, Craig A., Assistant Professor, Electrical Engineering, 9/1/94 through 6/30/96.

College of Fine Arts

Harrold, Robert, Instructor (part-time), Music, 9/1/94 through 12/31/94.  
Hickner, Paul, Instructor (part-time), Music, 9/1/94 through 12/31/94.  
Kumor, Frank, Instructor (part-time), Music, 9/1/94 through 12/31/94.

College of Human Environmental Sciences

Brown, Desmond O., Assistant Professor\*, Nutrition and Food Science, 1/1/95 through 6/30/95.  
Christensen, Evelyn B., Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Greenly, Julie A., Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.

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\* Special Title Series

Tonkel, Melinda L., Instructor (part-time), Administration,  
8/16/94 through 12/31/94.  
Wilson, Jeannette D., Instructor (part-time), Family Studies,  
8/16/94 through 12/31/94.  
Wilson, Mary W., Instructor (part-time), Nutrition and Food  
Science, 8/16/94 through 5/15/95.

College of Social Work

Pressly, Deborah, Assistant Professor (part-time), Social Work,  
8/16/94 through 5/15/95.

B. ADMINISTRATIVE APPOINTMENT

College of Arts and Sciences

Tunberg, Terence O., Associate Professor (with tenure), Classics,  
appointed Acting Chair, Classics, 1/1/95 through 6/30/95.

C. REAPPOINTMENTS

College of Agriculture

Arnold, Mark, Instructor (part-time), Horticulture and Landscape  
Architecture, 9/1/94 through 12/31/94.  
Aten, D. Lyle, Instructor (part-time), Horticulture and Landscape  
Architecture, 8/24/94 through 5/31/95.  
Davis, Molly M., Instructor (part-time), Horticulture and  
Landscape Architecture, 9/1/94 through 12/31/94.  
Manning, Christopher H., Instructor (part-time), Horticulture and  
Landscape Architecture, 8/24/94 through 5/31/95.

College of Architecture

Chavance, Philippe, Instructor (part-time), Architecture, 10/1/94  
through 12/31/94.  
Guyon, Scott, Assistant Professor (part-time), Architecture,  
8/1/94 through 12/31/94.  
Stevens, Randall, Instructor (part-time), Architecture, 8/1/94  
through 12/31/94.

College of Arts and Sciences

Crooks, Deborah L., Instructor (part-time), Anthropology, 8/16/94  
through 12/31/94.  
Kaiser, David A., Instructor (part-time), English, 8/16/94  
through 12/31/94.  
Zahniser, Ann H., Instructor (part-time), English, 8/16/94  
through 12/31/94.

College of Communications and Information Studies

Daugherty, Deborah A., Instructor (part-time), Communication, 8/1/94 through 12/31/94.  
Dickson, Daniel J., Instructor (part-time), Journalism and Telecommunications, 8/1/94 through 12/31/94.  
Malloy, Brian W., Instructor (part-time), Journalism and Telecommunications, 8/1/94 through 12/31/94.  
Prather, Paul D., Instructor (part-time), Journalism and Telecommunications, 8/1/94 through 12/31/94.  
Ryan, Elizabeth H., Instructor (part-time), Journalism and Telecommunications, 8/1/94 through 12/31/94.

College of Engineering

Hartman, Donald, Adjunct Assistant Professor, Civil Engineering, 8/16/94 through 5/15/95.  
Shah, Naresh, Assistant Research Professor, Chemical Engineering, 1/1/95 through 12/31/95.  
Wang, Xiang-Huai, Assistant Research Professor, Mining Engineering, 9/1/94 through 6/30/95.  
Zeng, Xiangwu, Assistant Professor, Civil Engineering, 12/1/94 through 6/30/96.

College of Fine Arts

Helm, Alicia, Instructor (part-time), Music, 9/1/94 through 12/31/94.  
Osland, Lisa, Instructor (part-time), Music, 9/1/94 through 12/31/94.

College of Human Environmental Sciences

Baldwin, Donna J., Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Burchfield, Meredith H., Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Campbell, Emily, Instructor (part-time), Nutrition and Food Science, 8/16/94 through 5/15/95.  
Curry, Carolyn, Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Haleman, Diane L., Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Lucas, Patrick, Instructor (part-time), Interior Design, Merchandising, and Textiles, 8/16/94 through 12/31/94.  
Morse, Jerry V., Instructor (part-time), Nutrition and Food Science, 8/16/94 through 12/31/94.  
O'Malley, Angeline, Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Ortwein, Mary, Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.  
Pennington, Marcheta Rose, Instructor (part-time), Family Studies, 8/16/94 through 12/31/94.

Strangis, Diane E., Instructor (part-time), Family Studies,  
8/16/94 through 12/31/94.  
Sturgill, Leslie, Instructor (part-time), Family Studies, 8/16/94  
through 12/31/94.  
Sullivan, Cynthia, Instructor (part-time), Nutrition and Food  
Science, 8/16/94 through 12/31/94.  
White, Vickie G., Instructor (part-time), Family Studies,  
8/16/94 through 12/31/94.

Graduate School

Burnett, Robert E., Assistant Professor, Patterson School of  
Diplomacy, 7/1/94 through 6/30/96.

D. LEAVES OF ABSENCE

College of Arts and Sciences

Green, Michael D., Associate Professor (with tenure), History,  
Leave without pay, Spring Semester 1995.  
Perdue, Theda, Professor (with tenure), History, Leave without  
pay, Spring Semester 1995.  
Phillips, Jane E., Professor (with tenure), Classics, and Chair,  
Classics, Sabbatical leave with full salary, Spring Semester  
1995.  
Pickens, Rupert T., Professor (with tenure), French, Sabbatical  
leave with full salary, Spring Semester 1995.  
Rowles, Graham D., Professor (with tenure), Geography, Sabbatical  
leave with full salary, Spring Semester 1995.  
Weisenburger, Steven C., Professor (with tenure), English,  
Sabbatical leave with full salary, Spring Semester 1995.

College of Education

Donelan, Richard W., Assistant Professor, Administration and  
Supervision, Leave without pay, Fall Semester 1994 and  
Spring Semester 1995.

College of Social Work

Wiehe, Vernon R., Professor\* (with tenure), Social Work,  
Sabbatical leave with full salary, Spring Semester 1995.

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\* Special Title Series

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Medicine

McCormick, James R., Professor\* (without tenure),  
Internal Medicine, 9/1/94 through 6/30/95.  
Pfeifer, Bernd L., Assistant Professor, Anesthesiology,  
9/1/94 through 6/30/95.  
Phillips, Gordon L., Professor (with tenure), Internal  
Medicine, effective 12/01/94.  
Ranjan, Dinesh, Associate Professor (without tenure),  
Surgery, 9/26/94 through 6/30/95.  
Reece, Donna E., Associate Professor (without tenure),  
Internal Medicine, 12/01/94 through 6/30/95.  
Werner, Heinrich A., Assistant Professor, Pediatrics,  
10/01/94 through 6/30/95.

B. ADMINISTRATIVE APPOINTMENTS

College of Medicine

Collins, Terence R., Professor (with tenure), Preventive  
Medicine and Environmental Health, named Chair, Preventive  
Medicine and Environmental Health, 11/1/94 through  
10/31/2000.  
Ramsey, Jr., Christian N., Associate Dean for Clinical  
Affairs/Chief of Staff, effective 11/1/94.

C. JOINT APPOINTMENTS

Graduate Center for Biomedical Engineering

Sisken, Betty F., Research Professor, joint appointment as  
Research Professor, College of Medicine, Department of  
Anatomy and Neurobiology, 11/01/94 through 6/30/95.

D. REAPPOINTMENTS

College of Medicine

Vernon, Michael W., Associate Professor (voluntary),  
Physiology, 7/1/94 through 6/30/97.

College of Pharmacy

Mactutus, Charles F., Associate Research Professor,  
7/1/94 through 6/30/95.  
Shannon, Michael C., Professor\* (with tenure), joint  
appointments as Professor\* (without tenure), College of  
Allied Health Professions, Department of Health Services  
and Professor\* (without tenure), College of Arts and  
Sciences, Department of History, effective 7/1/94.

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\*Special Title Series

E. TENURE

College of Medicine

Kaetzl, Charlotte S., Associate Professor (without tenure), Pathology and Laboratory Medicine to Associate Professor (with tenure), Pathology and Laboratory Medicine, effective 10/1/94.

F. PROMOTIONS

College of Medicine

Pickett, Carol L., Assistant Professor, Microbiology and Immunology to Associate Professor (with tenure), Microbiology and Immunology, effective 11/01/94.

College of Pharmacy

Wermeling, Daniel P., Assistant Research Professor to Associate Research Professor, 11/01/94 through 6/30/95.

G. POST-RETIREMENT APPOINTMENTS

College of Dentistry

Abrams, Herbert, Associate Professor (part-time), Oral Health Practice, 1/4/95 through 6/30/95.  
Packer, Merrill W., Professor (part-time), Oral Health Practice, 1/4/95 through 6/30/95.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bell, Robin, Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Bender, Boyd J., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Bentley, Julie, Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Brooks, Mark E., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Cronje, Lorna V., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
George, Judith H., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Justice, Sylvia O., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Kontsis, Melissa, Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Kuitse, Johan H., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Lawson, Mia, Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
McCray, Robert E., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Mitchell, Pamela D., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.



Peters, Traci M., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Portmann, Dawnelle M., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Swanson, Lorna E., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Thome, Donald, Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.  
Tucker, Billie J., Instructor (voluntary), Clinical Sciences, 10/26/94 through 6/30/97.

College of Dentistry

Crisp, Timothy F., Assistant Professor (voluntary), Oral Health Practice, 10/26/94 through 6/30/97.  
Wathen, Darin S., Assistant Professor (voluntary), Oral Health Science, 10/01/94 through 6/30/97.

College of Medicine

Adkins, Atley D., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Alcordero, Miquel J., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Ashraf, Mohammad K., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Browning, Steven R., Assistant Research Professor, Preventive Medicine and Environmental Health, 11/1/94 through 6/30/95.  
Darnell, John H., Assistant Professor (voluntary), Family Practice, 9/21/94 through 6/30/97.  
Dawson, Dawn R., Assistant Professor (voluntary), Psychiatry, 10/26/94 through 6/30/97.  
Deroo, Carlene G., Assistant Professor (voluntary), Psychiatry, 9/21/94 through 6/30/97.  
Deroo, William M., Assistant Professor (voluntary), Psychiatry, 9/21/94 through 6/30/97.  
Fields, Larry S., Assistant Professor (voluntary), Family Practice, 9/21/94 through 6/30/97.  
Grigsby, Charles G., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Johnson, William M., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Jones, Janet H., Assistant Adjunct Professor, Psychiatry, 10/01/94 through 6/30/95.  
Lester, William J., Assistant Professor (voluntary), Physical Medicine and Rehabilitation, 10/26/94 through 6/30/97.  
Maarraoui, Aladdin M., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Maynard, Lela C., Assistant Professor (voluntary), Internal Medicine, 10/26/94 through 6/30/97.  
Pohl, M. N., Assistant Professor (voluntary), Emergency Medicine, 9/21/94 through 6/30/97.  
Rhodes, Charles M., Assistant Professor (voluntary), Internal Medicine, 9/21/94 through 6/30/97.  
Sanders, Lynda C., Assistant Professor (voluntary), Pediatrics, 9/21/94 through 6/30/97.  
Strauss, Jon M., Assistant Professor (voluntary), Family Practice, 9/21/94 through 6/30/97.  
Thomas, Robert J., Assistant Professor (voluntary), Family Practice, 9/21/94 through 6/30/97.

College of Nursing

Young, Roslyn C., Instructor (voluntary), 11/01/94 through 6/30/97.

College of Pharmacy

Baise, Ed L., Instructor (voluntary), 7/1/94 through 6/30/97.

Coyle, Terry, Instructor (voluntary), 7/1/94 through 6/30/97.

Croley, Kimberly S., Instructor (voluntary), 7/1/94 through 6/30/97.

Mashni, Joseph R., Instructor (voluntary), 7/1/94 through 6/30/97.

B. REAPPOINTMENTS

College of Allied Health Professions

Graue, Michael, Instructor (voluntary), Health Services, 7/1/94 through 6/30/97.

College of Medicine

Compton, Ralph E., Assistant Professor (voluntary), Family Practice, 7/1/94 through 6/30/97.

Douglas, David W., Assistant Professor (voluntary), Family Practice, 7/1/94 through 6/30/97.

Goodwin, Russel L., Assistant Professor (voluntary), Pediatrics, 7/1/94 through 6/30/97.

Ireland, Mary L., Assistant Professor (part-time), Surgery, joint appointment as Assistant Professor (part-time), Family Practice, 7/1/94 through 6/30/95.

Rangnekar, Vivek M., Assistant Professor, Surgery, joint appointment as Assistant Professor, Microbiology and Immunology, 7/1/94 through 6/30/95.

Wilder, T. P., Assistant Professor (voluntary), Family Practice, 7/1/94 through 6/30/97.

College of Nursing

Hickman, Lillian C., Instructor (part-time), 7/1/94 through 6/30/95.

College of Pharmacy

Anderson, Michael J., Instructor (voluntary), 7/1/94 through 6/30/97.

Bausch, Gregory J., Assistant Professor (voluntary), 7/1/94 through 6/30/97.

Davis, Glenn R., Instructor (voluntary), 7/1/94 through 6/30/97.

King, Martha L., Instructor (voluntary), 7/1/94 through 6/30/97.

Losch, Philip C., Instructor (voluntary), 7/1/94 through 6/30/97.

Menard, Jr, Hilton M., Instructor (voluntary), 7/1/94 through 6/30/97.

Nobrega, Jose B., Instructor (voluntary), 7/1/94 through 6/30/97.

Porter, Todd D., Assistant Professor, 7/1/94 through 6/30/95.  
Sharpe, Richard W., Instructor (voluntary), 7/1/94  
through 6/30/97.  
Shely, William W., Assistant Professor (voluntary),  
7/1/94 through 6/30/97.  
Shidal, Tina C., Instructor (voluntary), 7/1/94 through  
6/30/97.  
Smith, Ronald S., Instructor (voluntary), 7/1/94 through  
6/30/97.  
Thomas, William M., Instructor (voluntary), 7/1/94  
through 6/30/97.  
Wientjes, Gary, Assistant Professor (voluntary), 7/1/94  
through 6/30/97.

C. CHANGES

College of Medicine

Akindipe, Olufemi A. from Clinical Assistant Professor,  
Family Practice, 9/1/94 through 6/30/95, to Clinical  
Assistant Professor, Family Practice, 10/10/94 through  
6/30/95.  
Booze, Rosemarie M. from Assistant Professor, Pharmacology,  
7/1/94 through 6/30/95, to Assistant Professor,  
Pharmacology, 7/1/94 through 3/31/95.  
Chaco, Lena E. from Assistant Professor\*, Family  
Practice, 10/1/94 through 6/30/95, to Assistant  
Professor\*, Family Practice, 10/17/94 through 6/30/95.  
Godlaski, Theodore M. from Assistant Research Professor,  
Psychiatry, 8/1/94 through 6/30/95, to Assistant Research  
Professor, Psychiatry, 10/10/94 through 6/30/95.  
Regine, William F. from Assistant Professor, Radiation  
Medicine, 9/27/94 through 6/30/95, to Assistant Professor,  
Radiation Medicine, 8/29/94 through 6/30/95.

College of Pharmacy

Fleming, William K. from Assistant Professor (part-time)  
to Assistant Professor (voluntary), 8/20/94 through  
6/30/97.

D. LEAVES OF ABSENCE

College of Allied Health Professions

Gorman, Linda S., Associate Professor\* (with tenure),  
Clinical Sciences, sabbatical leave at half salary,  
1/1/95 through 12/31/95.

College of Medicine

Turker, Mitchell S., Associate Professor (with tenure),  
Pathology and Laboratory Medicine, sabbatical leave at  
half salary, 1/1/95 through 12/31/95.

Office of the President  
October 25, 1994

Members, Board of Trustees:

PROPOSAL TO ADD COMMUNITY COLLEGE STUDENT  
TO THE BOARD OF TRUSTEES

Recommendation: that the Board endorse a proposal to have the Commonwealth's General Assembly enact legislation to add a student member to the University of Kentucky Board of Trustees as a representative of students in the Community College System.

Background: Membership on the Board of Trustees is governed by legislation. The Student Government Association of the University of Kentucky is advocating a change in the law to expand membership of the Board to include a student with voting privileges from the Community College System. Mr. Thomas A. Jones, President of the Student Government Association and member of the Board, seeks to have the Board's endorsement of a proposal to make this change in the law.

A survey of 28 benchmark and other southern universities conducted recently by the University's Office of Institutional Planning, Budgeting and Effectiveness produced the following information concerning student representation on governing boards: (1) all 11 benchmark institutions have student representation on their governing boards, but only 3 have student members with voting rights and none has more than one student with voting rights; (2) only 17 of the 28 universities surveyed have student members on governing boards and only 6 of those 17 have student members with voting privileges; and (3) while 16 institutions surveyed have more than one campus or institution in their systems, only 5 have separate representation from off the main campus and only 1 reported such representation with voting power.

This proposal does not have the support of the University of Kentucky administration.

Action taken: Approved \_\_\_\_\_ Disapproved  \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994.

Office of the President  
October 25, 1994

PR 4A

Members, Board of Trustees:

ASSOCIATE DEGREE PROGRAM IN THE  
COMMUNITY COLLEGE SYSTEM  
HOPKINSVILLE COMMUNITY COLLEGE

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, Associate Degree in Applied Science, Early Childhood Education, for the Hopkinsville Community College.

Background: The Council on Higher Education is empowered to define and approve all higher education associate degree programs.

Hopkinsville Community College has worked with the local Advisory Board and conducted a needs assessment and planning process, and has clearly demonstrated the need for trained professionals in early childhood education in the Hopkinsville area.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994.

Office of the President  
October 25, 1994

PR5A

Members, Board of Trustees:

CHANGE IN DEGREE TITLE

Recommendation: that the degree title be changed from a M.S. in Education - Vocational Education to a M.S. in Vocational Education.

Background: The Colleges of Agriculture and Human Environmental Sciences propose to offer a joint program and suspend the M.S. degree options in Home Economics Education and Agricultural Education. The benefits of integrating knowledge from various areas to better prepare students for the type of careers in which they would be entering after graduation were recognized. The proposed program is based on the notion that a synergy could be developed among the various programs that would be stronger than any one program by itself.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

PR 5 B

Members, Board of Trustees:

CHANGE IN DEGREE TITLE

Recommendation: that the Board of Trustees approve the change in the title of the degree from M.S. and Ph.D. in Physiology and Biophysics to M.S. and Ph.D. in Physiology.

Background: The department name was approved for change by the Board of Trustees on May 3, 1994, to simplify the name and enhance the understanding that Physiology is a discipline which covers multiple areas of interest. The change in the name of the degree programs is proposed to coordinate with the approved departmental name change.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

PR 5C

Members, Board of Trustees:

NEW MASTER OF SCIENCE DEGREE OR MASTER OF ARTS DEGREE  
PROGRAM IN INTERIOR DESIGN, MERCHANDISING AND TEXTILES

Recommendation: that the Board of Trustees authorize for submission to the Council on Higher Education a new degree program, the Master of Science or Master of Arts in Interior Design, Merchandising and Textiles.

Background: The Master of Arts Degree Programs in Interior Design and the Master of Science in Merchandising, Apparel and Textiles are being phased out. A new consolidated program is proposed by the faculty of these programs.

The proposed program has been approved by the Human Environmental Sciences Faculty Council, the Undergraduate, Graduate and Senate Councils.

Approval by the Board of Trustees is required prior to submission to the Council on Higher Education.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994



Office of the President  
October 25, 1994

PR 6A

Members, Board of Trustees:

APPOINTMENT TO UNIVERSITY HOSPITAL OF THE  
ALBERT B. CHANDLER MEDICAL CENTER, INC.  
BOARD OF DIRECTORS

Recommendation: that Steven S. Reed be appointed a member of the Board of Directors of the University Hospital of the Albert B. Chandler Medical Center, Inc. effective October 25, 1994.

Background: The Bylaws of the University Hospital corporation require that its Board of Directors consist of five (5) members appointed by the Board of Trustees from among their number. Mr. Reed will replace Mr. Henry L. Jackson.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

/8525

Office of the President  
October 25, 1994

PR6B

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR OF THE  
KENTUCKY HEALTH SERVICE

Recommendation: that James W. Holsinger, Jr., M.D., Ph.D., Chancellor of the University of Kentucky Chandler Medical Center, be appointed to serve as Director of the Kentucky Health Service, representing the University of Kentucky.

Background: In 1994, the Kentucky General Assembly created the Kentucky Health Service at the University of Kentucky and the University of Louisville. The primary responsibility of this Service is to "create and oversee the implementation of regional family practice residency training programs in community based sites." The General Assembly authorized the Board of Trustees of each institution to designate an "appropriate health sciences center official" to serve as Director of the Kentucky Health Service. It is recommended that the Chancellor of the Chandler Medical Center, James W. Holsinger, Jr., be appointed to serve in this position at the University of Kentucky.

\_\_\_\_\_  
Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994.

Office of the President  
October 25, 1994

PR6C

Members, Board of Trustees:

APPOINTMENTS TO  
THE UNIVERSITY OF KENTUCKY CENTER ON AGING FOUNDATION

Recommendation: that approval be given to appointment of the following public-at-large members of the University of Kentucky Center on Aging Foundation for the terms designated herein:

A. For terms ending on December 31, 1995: Mr. James Bowling, Mrs. Helen Chenery, Mrs. Judith Clabes, Mrs. Pat Frieber, Mrs. Becky Lunsford, Mr. J. Ed McConnell, Mr. A. Douglas Reece, and Mr. David Weil.

B. For terms ending on December 31, 1996: Mr. Sam Barnes, Mr. Bill Cowden, Mr. Saul Kaplan, Mr. Robert McCowan, Ms. Katherine Peden, Mr. Ray Rector, Mrs. Katy Stephenson, and Mr. William B. Sturgill.

Background: Approval of these appointments by the Board of Trustees is required by the Articles of Incorporation of the University of Kentucky Center on Aging Foundation.

Action taken: Approved  Disapproved  Other

Date: October 25, 1994.

Office of the President  
October 25, 1994

**FCR 1**

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE THREE MONTHS ENDED  
SEPTEMBER 30, 1994

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the three months ended September 30, 1994.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of September 30, 1994, the University had realized income of \$282,217,000 representing 30% of the 1994-95 estimate of \$943,388,000. Expenditures and commitments total \$229,946,000 or 24% of the approved budget of \$943,388,000.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

**CONSOLIDATED BALANCE SHEET**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**SEPTEMBER 30, 1994**  
with comparative totals for September 30, 1993  
(in thousands)

	1994-95					1993-94	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 96,250	\$ 18,521	\$ 1,621	\$ 88	\$ 134,359	\$ 250,839	\$ 266,062
Notes, loans, and AVR (less bad debt allowances of \$8,906)	77,956	14,548	19,307	835	2,530	115,176	104,136
Investments	10,137	51,179		113,601	38,413	213,330	187,663
Property, plant, and equipment					1,127,413	1,127,413	1,052,713
Inventories and other	15,513	80				15,593	14,534
<b>Total Assets</b>	<b>\$ 199,856</b>	<b>\$ 84,328</b>	<b>\$ 20,928</b>	<b>\$ 114,524</b>	<b>\$ 1,302,715</b>	<b>\$ 1,722,351</b>	<b>\$ 1,625,108</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 22,455	\$ 6,917	\$ 319		\$ 796	\$ 30,487	26,056
Employee withholdings and deposits		9,928				9,928	10,403
Other liabilities	2,442	431			4,735	7,608	9,743
Liability for self insurance	27,276					27,276	22,700
Deferred income	10,146	9,008				19,154	24,064
Bonds payable					312,931	312,931	314,189
Capitalized lease obligation					35,792	35,792	37,444
Net deferred revenues and appropriated fund balances	60,442	172				60,614	54,845
<b>Total Liabilities</b>	<b>122,761</b>	<b>26,456</b>	<b>319</b>		<b>354,254</b>	<b>503,790</b>	<b>499,444</b>
Interfund Balances	(27,017)	29,213		323	(2,519)		
<b>Fund Balances</b>							
<b>Current unrestricted</b>							
Working capital	88,731					88,731	71,122
Future operating purposes	7,894					7,894	6,561
Other	7,487					7,487	5,671
<b>Current restricted</b>		<b>28,659</b>				<b>28,659</b>	<b>28,076</b>
Loan			20,609			20,609	20,017
True endowments				\$ 66,289		66,289	61,880
Term endowments				5,186		5,186	4,607
Quasi endowments				42,726		42,726	36,517
<b>Plant</b>							
Retirement of indebtedness					16,615	16,615	17,729
Renewal and replacement					72,442	72,442	64,684
Allocated for designated projects					70,570	70,570	45,703
Net investment in plant					791,353	791,353	762,897
<b>Total Fund Balances</b>	<b>104,112</b>	<b>28,659</b>	<b>20,609</b>	<b>114,201</b>	<b>950,980</b>	<b>1,218,561</b>	<b>1,125,664</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 199,856</b>	<b>\$ 84,328</b>	<b>\$ 20,928</b>	<b>\$ 114,524</b>	<b>\$ 1,302,715</b>	<b>\$ 1,722,351</b>	<b>\$ 1,625,108</b>

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**CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1994**  
with comparative totals for September 30, 1993  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	<u>1994-95</u>				<u>1993-94</u>	
	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Realized To Date</u>	<u>%</u>	<u>Realized To Date</u>	<u>%</u>
<b>General Fund</b>						
(1) State appropriations	\$ 321,594	\$ 321,594	\$ 79,228	25	\$ 78,351	25
(2) Student fees	120,703	120,723	59,977	50	55,311	50
(3) Federal appropriations	15,293	15,293	3,796	25	3,150	21
(4) County appropriations	5,613	5,613	933	17	882	16
(5) Endowment income	785	785	152	19	140	17
(6) Investment income	6,148	6,148	2,171	35	1,632	27
<b>Gifts and grants</b>						
(7) Affiliated corporations	9,481	9,923	2,404	24	2,055	24
(8) Other	5,231	5,251	641	12	571	13
(9) Sales and services	26,806	26,971	5,972	22	5,097	20
(10) Fund balance	<u>9,140</u>	<u>9,140</u>	<u>9,140</u>	100	<u>7,763</u>	100
(11) Total General Fund	520,794	521,441	164,414	32	154,952	31
(12) Auxiliary Enterprises	37,361	37,361	11,544	31	11,727	31
(13) Restricted Funds	76,482	76,878	23,056	30	19,992	29
(14) Affiliated Corporations	117,258	118,281	27,190	23	24,796	23
<b>Hospital</b>						
(15) Revenues	189,427	189,427	56,013	30	52,924	29
(16) Fund balance	<u>          </u>	<u>          </u>	<u>          </u>		<u>          </u>	
(17) Total Revenues and Appropriated Fund Balances	<u>\$ 941,322</u>	<u>\$ 943,388</u>	<u>\$ 282,217</u>	30	<u>\$ 264,391</u>	30

**CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1994**  
with comparative totals for September 30, 1993  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	<b>1994-95</b>			<b>1993-94</b>		
	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expended/ Encumbered To Date</u>	<u>%</u>	<u>Expended/ Encumbered To Date</u>	<u>%</u>
(1) Instruction	\$ 236,231	\$ 234,527	\$ 55,708	24	\$ 52,125	23
(2) Research	116,443	117,028	28,774	25	27,092	26
(3) <b>Public service</b>	<b>82,301</b>	<b>81,753</b>	<b>21,776</b>	<b>27</b>	<b>19,545</b>	<b>26</b>
(4) Academic support	62,020	64,373	16,531	26	15,607	27
(5) <b>Student services</b>	<b>22,186</b>	<b>22,329</b>	<b>5,807</b>	<b>26</b>	<b>5,470</b>	<b>26</b>
(6) Institutional support	41,981	43,582	10,090	23	9,632	25
(7) Student financial aid	56,970	57,168	21,241	37	19,603	37
(8) Operation and maintenance	43,587	43,025	9,065	21	8,213	21
(9) <b>Mandatory transfers (debt service)</b>	<b>32,022</b>	<b>32,022</b>	<b>1,909</b>	<b>6</b>	<b>1,917</b>	<b>5</b>
(10) Hospital	189,870	189,870	42,764	23	41,005	22
(11) Auxiliary enterprises	57,711	57,711	16,281	28	15,478	28
(12) Total Expenditure by Program	<u>\$ 941,322</u>	<u>\$ 943,388</u>	<u>\$ 229,946</u>	24	<u>\$ 215,687</u>	24

**EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1994**  
with comparative totals for September 30, 1993  
(in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	<b>1994-95</b>			<b>1993-94</b>		
	<u>Original Budget</u>	<u>Revised Budget</u>	<u>Expended/ Encumbered To Date</u>	<u>%</u>	<u>Expended/ Encumbered To Date</u>	<u>%</u>
(13) Personnel costs	\$ 538,774	\$ 538,814	\$ 128,187	24	\$ 116,982	23
(14) Operating expenses	322,542	325,538	88,318	27	85,865	28
(15) <b>Mandatory transfers (debt service)</b>	<b>37,322</b>	<b>37,322</b>	<b>3,479</b>	<b>9</b>	<b>3,337</b>	<b>8</b>
(16) Capital outlay	42,684	41,714	9,962	24	9,503	27
(17) Total Expenditure by Category	<u>\$ 941,322</u>	<u>\$ 943,388</u>	<u>\$ 229,946</u>	24	<u>\$ 215,687</u>	24

**CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES**  
**UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS**  
**FOR THE THREE MONTHS ENDED SEPTEMBER 30, 1994**  
 with comparative totals for September 30, 1993  
 (in thousands)

	(A)	(B)	(C)
	<u>1994-95</u>		<u>1993-94</u>
<b>(1) Realized Revenues</b>		<b>\$ 273,077</b>	<b>\$ 256,211</b>
<b>Appropriated Fund Balances</b>			
(2) Current unrestricted fund	\$ 9,140		7,763
(3) University Hospital	<u>          </u>		<u>          </u>
(4) Total Appropriated Fund Balances		<u>9,140</u>	<u>7,763</u>
(5) Total Revenues and Appropriated Fund Balances		282,217	263,974
(6) <b>Expenditures/Encumbrances</b>	229,946		215,687
(7) Less: Reserve for Encumbrances	<u>(8,343)</u>		<u>(6,558)</u>
(8) Total Expenditures		<u>221,603</u>	<u>209,129</u>
<b>(9) Net Deferred Revenues and Appropriated Fund Balances</b>		<u>\$ 60,614</u>	<u>\$ 54,845</u>



Office of the President  
October 25, 1994

FCR 2

Members, Board of Trustees:

ACCEPTANCE OF THE FINANCIAL STATEMENTS OF  
THE UNIVERSITY OF KENTUCKY AND AFFILIATED  
CORPORATIONS AND OTHER RELATED ORGANIZATIONS  
FOR THE YEAR ENDED JUNE 30, 1994

Recommendation: That the Board of Trustees accept the audited financial statements of the University of Kentucky including the Management Letter and a Report on Compliance with House Bill 622, and separate financial statements for the Housing and Dining System, the Tobacco and Health Research Institute, and the University Hospital, for the year ended June 30, 1994:

that the Board of Trustees accept the audited financial statements of the affiliated corporations and other related organizations of the University of Kentucky including The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Research Foundation, University of Kentucky Athletic Association, University of Kentucky Business Partnership Foundation, Inc., University of Kentucky Mining Engineering Foundation, Inc., University of Kentucky Equine Research Foundation, Inc., University of Kentucky Humanities Foundation, Inc., Center on Aging Foundation, Inc., Health Care Collection Service, Inc., University of Kentucky Alumni Association, and University of Kentucky Hospital Auxiliary for the year ended June 30, 1994.

Background: The Finance Committee of the Board of Trustees has reviewed the audited financial statements and related reports with the administration of the University of Kentucky. These financial statements have been audited by Coopers & Lybrand, Certified Public Accountants, and are presented to the Board for acceptance.

NOTE: The above reports are not included in the Minutes of the meeting but are included in the official files.

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Action: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

FCR 3

Members, Board of Trustees:

REPORT OF LEASE

Recommendation: that the Board accept the President's Report of the following lease agreement in accordance with Board Policy:

A new lease between the University of Kentucky (Agricultural Economics) and B & C Investments, 333 Waller Avenue, Suite 200, Lexington, Kentucky, for property located at 333 Waller Avenue, Lexington, Kentucky, effective November 15, 1994, for the use of office space, annual rental rate of \$6,300.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985 authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: Approved   ✓   Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994

Office of the President  
October 25, 1994

**FCR 4**

Members, Board of Trustees:

APPROVAL OF LEASE

Recommendation: that the Assistant to the President for Fiscal Affairs be authorized to negotiate and execute the following:

A new lease between the University of Kentucky (Medical Center Kentucky Injury Prevention & Research Program) and B & C Investments, 333 Waller Avenue, Suite 200, Lexington, Kentucky, for property located at 333 Waller Avenue, Lexington, Kentucky, effective December 1, 1994 through June 30, 1996, for the use of office space, at an annual rate of \$58,500.00

Background: FCR 5, dated October 5, 1985 authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000 in value and required that all leases in excess of \$30,000.00 be approved by the Board of Trustees.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994

Office of the President  
October 25, 1994

FCR 5

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

Recommendation: that the capital construction report for the three months ending September 30, 1994, be accepted.

Background: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1994, the University has:

1. Awarded three (3) per diem contracts.
2. Awarded two (2) final payments for per diem contracts.
3. Awarded nine (9) architect and engineer contracts.
4. Approved eleven (11) amendments to architect and engineer contracts.
5. Awarded two (2) final payments for architect and engineer contracts.
6. Awarded nine (9) construction contracts.
7. Approved ninety-one (91) change orders to construction contracts.
8. Approved eight (8) final payments for construction contracts.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
CHRISMAN-MILLER-WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	N/A	\$ 38,293**	6/11/93
Amendment #1	Contract Extension 07/01/93 - 06/30/94			
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	PER DIEM CONSULTANT GEOTECHNICAL SERVICES	N/A	\$ 71,434**	6/11/93
Amendment #1	Contract Extension 07/01/93 - 06/30/94		- 0 -	
<b>CONSULTANT'S FINAL PAYMENT:</b>				<b>5/24/94*</b>
WHITE-WALKER & McREYNOLDS LEXINGTON	PER DIEM CONSULTANT STRUCTURAL ENGINEERING SERVICES	N/A	\$ 47,414**	6/11/93
Amendment #1	Contract Extension 07/01/93 - 06/30/94			
<b>CONSULTANT'S FINAL PAYMENT:</b>				<b>6/27/94*</b>
McCOY & McCOY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 66,298	6/18/93
Previous Amendment #1-2			+ 25,000	
<b>Consultant's Fee To Date:</b>			<b>\$ 91,298**</b>	
COMMONWEALTH TECHNOLOGY, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$ 175,000+	9/23/94
DAVE LEONARD CONSULTING ARBORIST, INC. LEXINGTON	PER DIEM CONSULTANT ARBORIST SERVICES	N/A	\$ 25,000+	7/22/94
AMERICAN ENGINEERING, COMPANY LEXINGTON	PER DIEM CONSULTANT STRUCTURAL SERVICES	N/A	\$ 50,000+	9/30/94

\*Not on last Quarterly Report.

\*\*Maximum fee through June 30, 1994; Consultant used on an as-needed basis.

+Maximum fee for Consultant to be used on an as-needed basis for one year from effective contract date.

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT SPECIAL CONSULTING SERVICES COLDSTREAM FARM DEVELOPMENT	N/A	\$ 127,856	10/14/92
PARROTT, ELY & HURT LEXINGTON	PER DIEM CONSULTANT PROJECTS REPORT COLDSTREAM FARM DEVELOPMENT	N/A	\$ 32,347	10/14/92
SCOTT R. SMITH ENVIRONMENTAL MANAGEMENT CONSULTANTS, INC. LEXINGTON	PER DIEM CONSULTANT ENVIRONMENTAL SERVICES	N/A	\$175,000+	11/18/93
SHERMAN, CARTER, BARNHART LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 8,500,000	\$ 349,090	7/31/90
	Previous Amendments #1-8		+ 115,898	
	<b>Consultant's Fee To Date:</b>		<b>\$ 464,988</b>	
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL-WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,453,600	\$ 64,650	8/31/89
	Previous Amendments #1-2		+ 82,889	
	<b>Consultant's Fee To Date:</b>		<b>\$ 147,539</b>	
ELLERBE BECKET, INC. MINNEAPOLIS, MN	SANDERS BROWN CENTER ON AGING PLANNING Project No. 405.0	\$ 600,000	\$ 542,490	7/31/92
ROSS-FELDMAN ARCHITECTURE LEXINGTON	SOMERSET C.C. ECONOMIC DEVELOPMENT/ CULTURAL CENTER Project No. 631.0	\$ 9,800,000	\$ 476,862	2/28/90
	Previous Amendments #1-5		+ 391,359	
	<b>Consultant's Fee To Date:</b>		<b>\$ 868,221</b>	

+Maximum fee for Consultant to be used on an as-needed basis for one year from effective contract date.

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	SOMERSET COMM. COLLEGE CIVIL ENGINEERING BLDG. Project Nos. 631.0	\$ 11,730,000	\$ 35,490	11/30/92
	Previous Amendment #1		+ 50,000	
	<b>Consultant's Fee To Date:</b>		<b>\$ 85,490</b>	
FULLER, MOSSBARGER SCOTT & MAY LEXINGTON	ASTECC FACILITY & CIVIL ENGINEERING BLDG. Project Nos. 640.1/640.2 640.3/640.4 & 746.0	\$ 29,210,000	\$ 19,928	4/30/92
	Previous Amendments #1-3		+ 80,184	
	<b>Consultant's Fee To Date:</b>		<b>\$ 100,112</b>	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE I Project No. 640.1	\$ 4,438,000	\$ 240,000	12/21/90
	Previous Amendments #1-2		+ 21,401	
	<b>Consultant's Fee To Date:</b>		<b>\$ 261,401</b>	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE II Project No. 640.2	\$ 4,438,000	\$ 243,872	6/28/91
	Previous Amendments #1-3		+ 21,401	
	<b>Consultant's Fee To Date:</b>		<b>\$ 265,273</b>	
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE III Project No. 640.3	\$ 4,499,000	\$ 183,673	6/29/92
	Previous Amendment #1		+ 24,265	
	Amendment #2 Additional Fee.		+145,527	8/03/94
	Reason for Amendment - To provide Phase A, B, & C services for a Modifications package, a Chiller package, a Funkhouser Plaza package, and an Emergency Generator Package for the ASTeCC Facility.			
	<b>Consultant's Fee To Date:</b>		<b>\$ 353,465</b>	

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
BICKEL-GIBSON ARCHITECTS LOUISVILLE	ASTeCC PHASE IV Project No. 640.4	\$ 4,185,000	\$ 229,847	1/27/93
STAGGS & FISHER ENGINEERS LEXINGTON	MEDICAL CENTER UPGRADE FUME HOODS Project No. 644.0	\$ 1,200,000*	\$ 49,000	6/21/89
	Previous Amendment #1		+ 490,345	
	Amendment #2	Add the continuous on-site inspection of the project to Phase C services.	+ 73,000	9/30/94
	Reason for Amendment:	The University desires the ability to require that the consultant supply the services of a full time resident inspector should the need arise.		
	<b>Consultant's Fee To Date:</b>		<b>\$ 612,345</b>	
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURAL NORTH & SOUTH FUME HOODS Project No. 644.2/745.2/745.3	\$ 4,283,510	\$ 55,210	9/29/89
	Previous Amendments #1-3		+ 310,795	
	Amendment #4	Budgets funds for reimbursable services.	+ 7,500	9/23/94
	Reason for Amendment:	Allows for reimburseable services to be supplied by the consultant as provided for Article I, Section IIC of the contract.		
	<b>Consultant's Fee To Date:</b>		<b>\$ 373,505</b>	
MASON & HANGER LEXINGTON	COOLING PLANT #2 EXPANSION Project No. 666.0	\$ 13,750,000	\$ 428,446	12/22/89
	Previous Amendments #1-6		+ 414,939	
	<b>Consultant's Fee To Date:</b>		<b>\$ 843,385</b>	
MASON & HANGER LEXINGTON	MEDICAL CENTER CHILLED WATER MASTER PLAN Project No. 666.5	\$ 58,177	\$ 51,000	5/27/93



I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. ACADEMIC/TECHNICAL BUILDING Project No. 722.0	\$ 4,000,000	\$ 178,000.00	12/21/90
	Previous Amendments #1-4		+ 32,825.80	
	<b>Consultant's Fee To Date:</b>		<b>\$ 210,825.80</b>	
COLLIGNON & NUNLEY OWENSBORO	HENDERSON C.C. AUDITORIUM/FINE ARTS BUILDING Project No. 723.0	\$ 6,260,000	\$ 307,000	12/21/90
	Previous Amendments #1-3		+ 66,765	
	<b>Consultant's Fee To Date:</b>		<b>\$ 373,765</b>	
OMNI ARCHITECTS LEXINGTON	OWENSBORO C.C. CAMPUS COMPLETION Project No. 725.0	\$ 4,500,000	\$ 197,344	9/28/90
	Previous Amendments #1-3		+ 46,622	
	<b>Consultant's Fee To Date:</b>		<b>\$ 243,966</b>	
ROSS-FELDMAN ARCHITECTURE LEXINGTON	LEXINGTON COMM COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 729.0	\$ 4,800,000	\$ 200,000	12/21/90
	Previous Amendments #1-5		+ 53,628	
	<b>Consultant's Fee To Date:</b>		<b>\$ 253,628</b>	
QUEST ENGINEERS, INC. LEXINGTON	LEXINGTON COMM COLLEGE STORM DRAINAGE & WATER DETENTION Project No. 729.5	\$ 176,962	\$ 26,000	7/22/94
JOHNSON/ROMANOWITZ LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST C.C./KENTUCKY TECH Project No. 730.0	\$ 9,891,400	\$ 448,503	3/31/92
	Previous Amendment #1		+ 70,000	
	<b>Consultant's Fee To Date:</b>		<b>\$ 518,503</b>	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	MEDICAL CENTER FIRE SPRINKLERS Project No. 741.0	\$ 1,000,000	\$ 67,980	9/28/90
JERRY A. TAYLOR & ASSOC. LEXINGTON	CHEMISTRY/PHYSICS FUME HOOD MODERNIZATION Project No. 745.1	\$ 3,180,150	\$ 39,000	4/30/91
	Previous Amendments #1-3		+ 236,100	
	<b>Consultant's Fee To Date:</b>		<b>\$ 275,100</b>	
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	CHEMISTRY/PHYSICS FUME HOOD EXHAUST SYSTEM Project No. 745.11	\$ 500,000	\$ 34,945	7/22/94
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH #1 FUME HOOD MODIFICATION Project No. 745.4	\$ 375,340	\$ 11,945	9/29/89
	Previous Amendment #1		+ 100,900	
	<b>Consultant's Fee To Date:</b>		<b>\$ 112,845</b>	
BICKEL-GIBSON ARCHITECTS LEXINGTON	CIVIL ENGINEERING BLDG. Project No. 746.0	\$ 11,650,000	\$ 20,000	11/30/90
	Previous Amendments #1-4		+ 692,956	
	<b>Consultant's Fee To Date:</b>		<b>\$ 712,956</b>	
CHRISMAN-MILLER-WOODFORD LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 19,500,000	\$ 1,163,962	1/31/91
	Previous Amendments #1-4		+ 289,524	
	<b>Consultant's Fee To Date:</b>		<b>\$ 1,453,386</b>	
E O ASSOCIATES ARCHITECTS LEXINGTON	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$ 3,815,000	\$ 198,000	12/21/90
	Previous Amendments #1-2		+ 74,882	
	<b>Consultant's Fee To Date:</b>		<b>\$ 272,882</b>	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	HOSPITAL NURSING UNIT MODIFICATION Project No. 777.0	\$ 500,000	\$ 34,762	7/31/91
WILBUR SMITH ASSOCIATES LEXINGTON	ELIZABETHTOWN C.C. ACCESS DRIVE/HANDICAP PARKING Project No. 779.0	\$ 99,000	\$ 9,500	6/29/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM FARM CIVIL ENGINEERING PHASE I Project No. 894.0	\$ 1,225,300	\$ 235,764	11/18/92
PARROTT, ELY & HURT LEXINGTON	COLDSTREAM RESEARCH CAMPUS SITE DEVELOPMENT UNIT TWO Project No. 894.0	\$ 1,225,300	\$ 309,200	12/18/92
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	HOSPITAL NURSING MODIFICATION III Project No. 896.0	\$ 341,000	\$ 15,000	6/29/92
DCT DESIGN GROUP, LTD. LEXINGTON	DIAGNOSTIC SERVICES UPGRADE III Project No. 897.0	\$ 600,000	\$ 53,500	6/24/94
QUEST ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL SYSTEM FEASIBILITY STUDY Project No. 1413.0	\$ 20,000	\$ 16,090	8/31/92
QUEST ENGINEERS, INC. LEXINGTON	MADISONVILLE C.C. AUDITORIUM PARKING LOT Project No. 1419.0	\$ 520,000	\$ 40,766	10/14/92
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) BOILER REPLACEMENT - BROADWAY BLDG. Project No. 1429.0	\$ 50,000	\$ 4,500	1/20/93
KALLMANN MCKINNELL & WOOD BOSTON, MA	CENTRAL AND LIFE SCIENCES LIBRARY Project No. 1432.0	\$ 12,000,000	\$ 4,365,027	8/21/92
Previous Amendment #1			- 0 -	
<b>Consultant's Fee To Date:</b>			<b>\$ 4,365,027</b>	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
J. PATRICK KERR ARCHITECT PADUCAH	RENOVATIONS OF WALLER AND ROSENTHAL HALLS Project No. 1433.0	\$ 435,000	\$ 31,120	5/27/93
PRESNELL ASSOCIATES, INC. LOUISVILLE	JEFFERSON C.C. HARTFORD TOWER ROOF REPLACEMENT Project No. 1435.0	\$ 75,000	\$ 6,645	3/17/93
	Previous Amendment #1		+ 1,250	
	<b>CONSULTANT'S FINAL PAYMENT:</b>		<b>\$ 7,905</b>	<b>8/24/94</b>
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON C.C. (DOWNTOWN) 1ST FLOOR RENOV. - BROADWAY BLDG. Project No. 1436.0	\$ 260,000	\$ 17,500	1/20/93
	Previous Amendment #1		+ 2,647	
	<b>Consultant's Fee To Date:</b>		<b>\$ 20,147</b>	
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H27 ASBESTOS REMOVAL Project No. 1439.0	\$ 221,000	\$ 14,125.00	1/20/93
	Amendment #1	Additional Fee.	+ 6,166.88	9/30/94
	Reason for Amendment:	Reimbursement for air monitoring services provided for in Article II, Section B, Number 4 of the personal services contract.		
	<b>Consultant's Fee To Date:</b>		<b>\$ 20,291.88</b>	
GODSEY ASBESTOS CONSULTANTS LOUISVILLE	MEDICAL CENTER - RM. H14 ASBESTOS REMOVAL Project No. 1440.0	\$ 69,000	\$ 7,063	1/20/93
CMW, INC. LEXINGTON	A.B. CHANDLER MEDICAL CENTER ENDOSCOPY SUITE RENOVATION Project No. 1449.0	\$ 750,000	\$ 60,000	11/18/93
	Previous Amendment #1		+ 24,825	
	Amendment #2	Additional Fee.	+ 7,500	8/24/94

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
CMW, INC. (Continued)	Project No. 1449.0			
	Reason for Amendment: Design and construction administration services for a penthouse enclosure for the new air handling unit. The penthouse roof will be designed to be converted to a hospital floor. This structural roof has been added to the scope of this project to minimize demolition when the Children's Hospital project is constructed.			
	<b>Consultant's Fee To Date:</b>		<b>\$ 92,325</b>	
MASON & HANGER ENGINEERS LEXINGTON	HAZARDOUS WASTE STORAGE FACILITY Project No. 1456.0	\$ 1,600,000	\$ 78,000	1/22/93
	Previous Amendment #1		+ 8,593	
	<b>Consultant's Fee To Date:</b>		<b>\$ 86,593</b>	
PEARSON + BENDER + JOLLY LEXINGTON	ASHLAND COMM. COLLEGE ACADEMIC CLASSROOM BLDG Project No. 1459.0	\$4,810,000	\$ 234,910	10/01/93
	Previous Amendment #1		+31,745	
	<b>Consultant's Fee To Date:</b>		<b>\$ 266,655</b>	
CMW, INC. LEXINGTON	HAZARD COMMUNITY COLLEGES STUDENT, PERFORMING ARTS & REGIONAL CONFERENCE CTR Project No. 1460.0	\$ 13,500,000	\$ 860,000*	6/29/93
	Previous Amendment #1		+ 60,400	
	<b>Consultant's Fee To Date:</b>		<b>\$ 920,400</b>	
	*Only Phase 1 and Phase 2 are encumbered at this time for total of \$301,000 pending authorization of full project.			
COLLIGNON AND NUNLEY, ARCHITECTS, P.S.C. OWENSBORO	HOPKINSVILLE COMM. COLLEGE REGIONAL TECHNICAL TRAINING CENTER Project No. 1461.0	\$6,750,000	\$ 465,000	10/08/93
RICHARDSON ASSOCIATES ARCHITECTS WHITESBURG	SOUTHEAST COMM. COLLEGE NEWMAN HALL RENOVATION Project No. 1463.0	\$ 400,000	\$ 30,000	11/12/93

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
PEARSON + BENDER + JOLLY LEXINGTON	MILLER HALL RENOVATION Project No. 1465.0	\$ 2,620,000	\$ 144,650	4/30/93
WOOLPERT ASHLAND	HAZARD COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1466.0	\$ 28,500	\$ 28,500	8/06/93
MCIWAIN + ASSOCIATES, ASLA LEXINGTON	HOPKINSVILLE COMM COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1467.0	\$ 26,600	\$ 26,600	8/25/93
TATE HILL JACOBS: ARCHITECTS, INC. LEXINGTON	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$ 342,000	\$ 28,000	5/28/93
BIAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COMM. COLLEGE HARTFORD BLDG. & LIBRARY SPRINKLERS Project No. 1469.0	\$ 365,000	\$ 26,880	5/27/93
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR THE ACADEMIC, LEARNING RESOURCE CTR. & ADMIN. BLDGS. Project No. 1470.0	\$ 230,000	\$ 16,581	6/18/93
WOOLPERT ASHLAND	ASHLAND COMMUNITY COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1473.0	\$ 28,500	\$ 28,500	8/20/93
OMNI ARCHITECTS LEXINGTON	SCOVELL HALL RENOVATION Project No. 1474.0	\$ 1,000,000	\$ 92,780	10/21/93
	Previous Amendments #1-2		+ 36,470	
	Amendment #3	Budgets funds for reimbursable services.	+ 974	9/23/94
	Reason for Amendment:	For anticipated reimbursable services supplied, or to be supplied, by the consultants as provided for in the contract.		
	<b>Consultant's Fee To Date:</b>		<b>\$ 130,224</b>	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
DAVID C. BANKS, ARCHITECTS + ASSOCIATES FRANKFORT	SCOVELL HALL ASBESTOS ABATEMENT Project No. 1474.1	\$ 353,780	\$ 42,750	7/08/93
Previous Amendment #1			+ 1,932	
Amendment #2	Additional fee.		+ 10,526	8/17/94
Reason for Amendment:	To provide additional Phase 5 Construction Administration services. These services resulted from the contractor completing their work 49 calendar days beyond the required contract completion date resulting in the expenditure of additional time for Construction Administration by the from the architect and engineers. The additional fee is being paid from the money collected from the contractor responsible for the construction delay through the liquidated damages clause of the construction contract.			
<b>Consultant's Fee To Date:</b>			<b>\$ 55,208</b>	
ENVIRONMENTAL PLANNING AND DESIGN PITTSBURGH, PA	ARBORETUM PLANNING AND GRADING Project No. 1475.0	\$ 10,000	\$ 9,500	6/18/93
<b>Consultant's Fee To Date:</b>			<b>\$ 9,500</b>	
WOOLPERT ASHLAND	PRESTONSBURG COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1476.0	\$ 28,500	\$ 28,500	11/12/93
SCRUGGS AND HAMMOND, INC. LEXINGTON	OWENSBORO COMM. COLLEGE PHYSICAL DEVELOPMENT PLAN Project No. 1477.0	\$ 25,000	\$ 25,000	9/27/93
QUEST ENGINEERS, INC. LEXINGTON	COMMONWEALTH STADIUM YELLOW LOT Project No. 1479.0	\$ 362,000	\$ 27,000	10/01/93
McILWAIN AND ASSOCIATES LEXINGTON	HENDERSON COMM. COLLEGE ADDITIONAL PARKING LOT Project No. 1483.0	\$195,000	\$ 15,150	10/21/93

**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
HOWARD K. BELL CONSULTING ENGINEERS LEXINGTON	HOPKINSVILLE COMM. COLLEGE RENOVATION OF THE CHEMISTRY LAB & STORAGE ROOM Project No. 1486.0	\$ 110,000	\$ 10,275	8/06/93
<b>CONSULTANT'S FINAL PAYMENT:</b>			<b>\$ 10,275</b>	<b>9/15/94</b>
TATE-HILL-JACOBS: ARCHITECTS, INC. LEXINGTON	DEVELOPMENT OFFICE ADDITION Project No. 1488.0	\$ 465,000	\$ 28,125	9/24/93
Previous Amendment #1			+ 2,000	
<b>Consultant's Fee To Date:</b>			<b>\$ 30,125</b>	
JAMES W. POTTS ARCHITECTS LEXINGTON	DENTAL CLINIC LABS AND OFFICE RENOVATION Project No. 1489.0	\$150,000	\$ 7,890	10/21/93
Previous Amendment #1			+ 7,250	
<b>Consultant's Fee To Date:</b>			<b>\$ 15,140</b>	
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	MAYSVILLE COMM. COLLEGE ACADEMIC/ADMINISTRATION BUILDING RENOVATION Project No. 1491.0	\$400,000	\$ 30,200	11/12/93
Amendment #1 Additional Fee.			+ 1,600	7/08/94
Reason for Amendment - To relocate the roof top mechanical unit from the prior approved location on the two story Administration Building to an approved location on the roof of the single story Denham wing of the building.				
<b>Consultant's Fee To Date:</b>			<b>\$ 31,800</b>	
JARED S. WATSON, AND ASSOCIATES LEXINGTON	DONOVAN HALL RENOVATION UNIVERSITY OF KENTUCKY Project No. 1492.0	\$190,000	\$ 15,470	12/20/93
Amendment #1 Additional Fee.			+ 1,935	9/12/94
Reason for Amendment: To provide air monitoring for the days that the project extended beyond the original 21 days allowed for in the Consultant Contract.				
<b>Consultant's Fee To Date:</b>			<b>\$ 17,405</b>	



**I. ARCHITECT & ENGINEER CONTRACTS**

<b>ARCHITECT &amp; ENGINEER</b>	<b>PROJECT</b>	<b>PROJECT SCOPE</b>	<b>ARCHITECT &amp; ENGINEER FEES</b>	<b>EFFECTIVE CONTRACT DATE</b>
DAVID C. BANKS, ARCHITECTS AND ASSOCIATES FRANKFORT	ELIZABETHTOWN COMM. COLLEGE SCIENCE AND STUDENT CENTER ASBESTOS ABATEMENT Project No. 1493.0	\$195,000	\$ 25,300	2/02/94
Amendment #1	Budgets funds for reimburseable services.		+ 3,250	9/12/94
Reason for Amendment:	To provide for additional testing of materials within the Science and Student Center facilities to determine if those materials contain asbestos and should be abated as part of this project, and additional air sample testing based on unit prices as provided for in the contract.			
<b>Consultant's Fee To Date:</b>			<b>\$ 28,550</b>	
TATE HILL JACOBS, ARCHITECTS, INC. LEXINGTON	CARDIAC CATHETERIZATION SUITE Project No. 1495.0	\$450,000	\$ 42,000	7/22/94
CMW, INC. LEXINGTON	VACATED LABOR AND DELIVERY UNIVERSITY OF KENTUCKY Project No. 1496.0	\$700,000	\$ 85,890	3/18/94
Previous Amendment #1			+ 3,075	
<b>Consultant's Fee To Date:</b>			<b>\$ 88,965</b>	
J. PATRICK KERR, ARCHITECT PADUCAH	PADUCAH COMMUNITY COLLEGE GYM ROOF REPLACEMENT Project No. 1498.0	\$195,000	\$ 13,020	2/14/94
PEARSON + BENDER + JOLLY LEXINGTON	ASHLAND COMMUNITY COLLEGE WIND PROTECTION ENCLOSURE Project No. 1499.0	\$195,000	\$ 8,000	3/18/94
Amendment #1	Additional Fee.		+ 4,500	7/12/94
Reason for Amendment -	For professional services of a wind specialist to analyze the proposed design solution, and to make a recommendation as to whether the proposed design will solve the existing wind problems as Ashland, without creating equally unacceptable conditions.			
<b>Consultant's Fee To Date:</b>			<b>\$ 12,500</b>	

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
SKINNER-GROVES, LTD HENDERSON	HENDERSON COMM. COLLEGE ADMINISTRATION BLDG RENOVATION Project No. 1500.0	\$400,000	\$ 30,000	6/09/94
BIAGI, CHANCE, CUMMINS, LONDON, TITZER, INC. SHELBYVILLE	KIRWAN/BLANDING ELEVATOR RENOVATION Project No. 1501.0	\$170,000	\$ 11,500	2/25/94
JAMES W. POTTS-ARCHITECTS LEXINGTON	NEUROLOGY OFFICE RENOVATION MEDICAL CENTER Project No. 1502.0	\$150,000	\$ 12,250	3/21/94
JAMES W. POTTS-ARCHITECTS LEXINGTON	HOSPITAL PATIENT CARE ROOF REPLACEMENT Project No. 1503.0	\$175,000	\$ 9,750	6/24/94
TATE-HILL-JACOBS: ARCHITECTS, INC. LEXINGTON	DIAGNOSTIC SERVICES V RENOVATION MEDICAL CENTER Project No. 1505.0	\$650,000	\$ 57,500	5/31/94
MCIWAIN AND ASSOCIATES LEXINGTON	NUTTER FOOTBALL PRACTICE FIELDS RENOVATION Project No. 1507.0	\$475,000	\$ 40,500	9/12/94
ROSS-FELDMAN: ARCHITECTURE, INC. LEXINGTON	SHAWNEETOWN/COOPERSTOWN RENOVATION Project No. 1509.0	\$1,952,000	\$ 175,000	6/24/94
VOELKER WINN ARCHITECTS LOUISVILLE	KASTLE HALL RENOVATION UNIVERSITY OF KENTUCKY Project No. 1512.0	\$980,000	\$ 21,000	5/31/94
JAMES W. POTTS-ARCHITECTS LEXINGTON	STUDENT PREP LAB RENOVATION MEDICAL CENTER Project No. 1516.0	\$56,000	\$ 7,000	4/13/94
LYLE ASSOCIATES, ARCHITECTS LEXINGTON	ROBINSON FOREST BATH HOUSE UNIVERSITY OF KENTUCKY Project No. 1517.0	\$151,000	\$ 14,250	5/31/94

I. ARCHITECT & ENGINEER CONTRACTS

ARCHITECT & ENGINEER	PROJECT	PROJECT SCOPE	ARCHITECT & ENGINEER FEES	EFFECTIVE CONTRACT DATE
STAGGS & FISHER CONSULTING ENGINEERS, INC. LEXINGTON	HOSPITAL ELECTRICAL DISTRIBUTION UPGRADE Project No. 1518.0	\$1,000,000	\$ 79,000	5/31/94
JAMES W. POTTS-ARCHITECTS LEXINGTON	MADISONVILLE COMMUNITY COLLEGE NURSING RENOVATION Project No. 1519.0	\$300,000	\$ 19,500	7/29/94
CMW, INC. ENGINEERS, INC. LEXINGTON	CRITICAL CARE CENTER SHELL PEDIATRIC FACILITY ENHANCEMENT Project No. 1521.0	\$10,000,000	\$ 630,000	7/22/94
NSS ENVIRONMENTAL, INC. LOUISVILLE	ROBINSON FOREST ENVIRONMENTAL SITE INVESTIGATION Project No. 1533.0	\$ N/A	\$ 64,126	9/12/94
PEARSON + BENDER + JOLLY ARCHITECTS LEXINGTON	PRESTONSBURG COMM. COLLEGE MARTIN STUDENT CENTER ROOF REPLACEMENT Project No. 7-62001	\$95,000	\$ 8,190	8/25/93
DAVID C. BANKS, ARCHITECTS AND ASSOCIATES, P.S.C. FRANKFORT	LEXINGTON COMMUNITY COLLEGE OSWALD BUILDING GROUND FLOOR ASBESTOS TILE REPLACEMENT Project No. 7-62002	\$35,000	\$6,530	6/20/94
MARCUM ENGINEERING PADUCAH	PADUCAH COMMUNITY COLLEGE CARSON HALL CHILLED WATER PIPING INSTALLATION Project No. 7-62003	\$ 20,000	\$ 2,400	2/17/94
LYLE ASSOCIATES LEXINGTON	MAYSVILLE COMMUNITY COLLEGE BRICK SEALER APPLICATION Project No. 7-62004	\$ 50,000	\$ 5,200	2/22/94
PRESTON ASSOCIATES ARCHITECTS LOUISVILLE	CHANDLER MEDICAL CENTER MEDICINE GI LAB RENOVATION Project No. 2664	\$82,450	\$7,050	5/31/94
JOHNSON/ROMANOWITZ ARCHITECTS & PLANNERS, INC. LEXINGTON	COLLEGE OF NURSING SIXTH FLOOR PATIO LEAK REPAIR Project No. 2731	\$40,000	\$3,915	9/12/94

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
ROSS-FELDMAN ARCHITECTURE, INC. LEXINGTON	COLLEGE OF MEDICINE OFFICE BUILDING ROOF REPLACEMENT Project No. 2822	\$7,700	\$7,700	7/15/94
PRESTON ASSOCIATES ARCHITECTS LOUISVILLE	CHANDLER MEDICAL CENTER FLUOROSCOPY UNIT INSTALLATION Project No. 2857	\$97,200	\$8,100	3/31/94

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
C.E. PENNINGTON CO. LEXINGTON	FIELD HOUSE Project No. 147.0	\$ 6,772,000- 7,240,000	10/29/91	4	\$ 6,772,000.00
	Previous Change Orders #1-19				+ 44,394.13
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 6,816,394.13</b>
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	FIELD HOUSE GYMNASTICS FIT-UP Project No. 147.3	\$ 184,613- 219,565	2/03/93	9	\$ 184,613.00
	Previous Change Orders #1-3				+ 7,546.06
	<b>CONTRACTOR'S FINAL PAYMENT:</b>		<b>7/05/94</b>		<b>\$ 192,159.06</b>
DAVIS & PLOMIN MECHANICAL INC. LEXINGTON	FIELD HOUSE WATER LINE EXTENSION Project No. 147.5	\$ 13,783- 19,690	8/24/93	4	\$ 13,783.00
	Previous Change Order #1				+ 1,750.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 15,533.00</b>
ALLIED BUILDERS, INC. INDEPENDENCE	GAINES CTR FOR HUMANITIES Project No. 218.2	\$ 438,000- 533,769	10/29/91	8	\$ 438,000.00
	Previous Change Orders #1-9				+ 3,705.39
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 441,705.39</b>
MATTHEWS/BUCKNER LEXINGTON	HOSPITAL EXPANSION PH. II MECHANICAL WORK-TC #9 Project No. 304.1	\$ 5,248,000- 5,482,000	6/28/88	2	\$ 5,248,000.00
	Previous Change Orders #1-17				+ 471,238.88
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 5,719,238.88</b>
SPARKS-TERRELL LUMBER CO. LEXINGTON	HOSPITAL WASTE INCINERATOR REPLACEMENT Project No. 337.0	\$ 1,151,515- 1,410,000	5/07/92	4	\$ 1,151,515.00
	Previous Change Orders #1-7				+ 18,702.35
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 1,170,217.35</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
DALMATIAN FIRE, INC. MASON, OH	HOSPITAL FIRE SUPPRESSION FLOORS 5 & 6 Project No. 542.4	\$ 213,950- 269,458	6/05/91	6	\$ 213,950.00
	Previous Change Order #1-2				+ 3,179.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 217,129.00</b>
VOCON, INC. HUNTINGTON, WV	ASHLAND COMM. COLLEGE ACADEMIC/LRC Project No. 630.0	\$ 3,396,319- 3,529,000	4/17/90	3	\$ 3,396,319.00
	Previous Change Orders #1-8				+ 60,061.83
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 3,456,380.83</b>
BROCK EXCAVATING, INC. CORBIN	SOMERSET COMMUNITY COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 2A EXCAVATION & STORM DRAINAGE Project No. 631.0	\$649,500- 854,999	3/14/94	7	\$ 649,500.00
	Previous Change Order #1				+ 2,550.00
<b>CHANGE ORDER #2</b>	Change the 10' diameter manholes in the storm drain line to 4' transitions at structures 10+30, 16+50 and 14+30. Change 10' box to 66"x48"tee at structure 14+30. Change 10' diameter box manhole to an 80 degree pipe bend at 18+20.		6/07/94*		- 6,765.00
Reason for Change:	Structure @ 10+30 & 16+50: 10' diameter not needed for the full depth. 4' diameter is sufficient for personnel accessibility. Structure @ 14+30: 4' diameter is sufficient for personnel accessibility. Also, 66" diameter TEE is as efficient as 10' box. Structure @ 18+20: 10' diameter box wouldn't structurally allow 2 - 66" pipes to enter it at an 80 degree layout. Manhole not required by code, therefore an 80 degree pipe bend is proposed.				
<b>CHANGE ORDER #3</b>	1. Revise the grading of the south parking lot as per revised drawings. Include revising storm drainage structures. 2. Provide stone and geogrid to stabilize the south parking lot per Engineers' recommendation. 3. Provide materials for stabilizing the southwest corner of the building and steps, a section of Project St. and an area west of the building. This is an increase in Allowance #2.		8/24/94		+ 90,114.07

\*Not on last Quarterly Report

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
BROCK EXCAVATING, INC. (Continued)	Project No. 631.0				
Reason for Change:	1. Changing the grade of the south parking lot will provide additional material to aid in stabilization of the subgrade. An added benefit will be that the revised grade change will provide a better parking facility for users and will also improve the overall site appearance and provide easier access to the building from the parking lot. 2.&3. Stabilizing the soil is needed to prevent unacceptable subgrade settlement due to existing poor soil conditions.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 735,399.07</b>
KOKER DRILLING COMPANY DAYTON, OHIO	SOMERSET COMMUNITY COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 2B DRILLED PIERS Project No. 631.0	\$428,960- 771,100	3/14/94	5	\$ 428,960.00
HINKLE CONTRACTING CORP. PARIS	SOMERSET COMMUNITY COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 2C ASPHALT CONCRETE PAVING Project No. 631.0	\$263,687- \$263,687	6/21/94	1	\$ 263,687.00
D.W. WILBURN, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 3A GENERAL CONTRACTING Project No. 631.0	\$1,790,000- 2,189,500	3/14/94	4	\$ 1,790,000.00
CONCRETE TECHNOLOGY, INC. SPRINGBORO, OHIO	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 3B ARCHITECTURAL PRE-CAST CONCRETE Project No. 631.0	\$572,320- 658,800	3/14/94	3	\$ 572,320.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
D & G MASONRY BURNSIDE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 4A - MASONRY Project No. 631.0	\$727,823.55- 835,000	3/14/94	4	\$ 727,823.55
MODERN WELDING COMPANY OF KENTUCKY, INC. ELIZABETHTOWN	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 5A - STEEL Project No. 631.0	\$1,114,856- 1,488,000	3/14/94	5	\$ 1,114,856.00
EAGLE ROOFING & SHEET METAL, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 7A - ROOFING Project No. 631.0	\$327,450- 469,000	3/14/94	7	\$ 327,450.00
CENTRAL KENTUCKY GLASS COMPANY CAMPBELLSVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 8A ALUMINUM ENTRANCES, STOREFRONTS & CURTAIN WALLS Project No. 631.0	\$278,996- 298,800	3/14/94	3	\$ 278,996.00
LEEDCO INTERIOR SYSTEMS, INC. LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9A - DRYWALL Project No. 631.0	\$422,222- 456,459	3/14/94	4	\$ 422,222.00
MARTINA BROTHERS COMPANY, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9B CERAMIC, QUARRY & MARBLE TILE Project No. 631.0	\$55,830- 76,900	3/14/94	4	\$ 55,830.00
BENNETT'S CARPETS, INC. RUSSELL SPRINGS	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9C ACOUSTICAL CEILINGS, RESILIENT FLOORING, CARPETING & WOOD FLOORING Project No. 631.0	\$188,590- 232,378	3/14/94	3	\$ 188,590.00



## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
SIMPSON & COMPANY, INC. NICHOLASVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 9D PAINTING & WALLCOVERING Project No. 631.0	\$143,174- 218,000	3/14/94	3	\$ 143,174.00
PAUL BICKEL COMPANY, INC. d/b/a U.S. SPECIALTIES LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 10A FOLDING PANEL PARTITIONS Project No. 631.0	\$182,525- 185,844	3/14/94	2	\$ 182,525.00
UNITED STAGE EQUIPMENT, INC. HARTSELLE, ALABAMA	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 11A STAGE RIGGING Project No. 631.0	\$89,990- 135,200	3/14/94	7	\$ 89,990.00
I. WEISS & SONS, INC. LONG ISLAND CITY, NY	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 11B STAGE DRAPERY Project No. 631.0	\$19,650- 43,161	3/14/94	8	\$19,650.00
CENTRAL SCHOOL SUPPLY COMPANY LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 12A AUDITORIUM & THEATER SEATING Project No. 631.0	\$111,100- 62,552	3/14/94	2	\$111,100.00
ABELL ELEVATOR INTERNATIONAL, INC. LOUISVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 14A CONVEYING SYSTEMS Project No. 631.0	\$62,552- 78,363	3/14/94	5	\$62,552.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
GREEN MECHANICAL CONSTRUCTION, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 15A PLUMBING & HVAC Project No. 631.0	\$1,378,420- 1,769,700	3/14/94	7	\$1,378,420.00
	Previous Change Order#1				- 5,000.00
<b>CHANGE ORDER #2</b>	Modify duct and add 1" internal insulation to Return Fan 1 & Return Fan 2.		8/26/94		+ 2,330.00
Reason for Change:	To reduce noise level of Return Air Fans 1 & 2.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,375,750.00</b>
LANDMARK SPRINKLER, INC. LEXINGTON	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 15B FIRE PROTECTION Project No. 631.0	\$136,300- 190,700	3/14/94	2	\$136,300.00
ENTERPRISE ELECTRIC, INC. CAMPBELLSVILLE	SOMERSET COMM. COLLEGE ECONOMIC DEVELOPMENT/ CULTURAL CENTER BID PACKAGE 16A ELECTRICAL Project No. 631.0	\$1,399,000- 1,694,000	3/14/94	7	\$1,399,000.00
MARKSBURY CORNETT ENG. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,800,000- 2,293,300	4/14/93	4	\$ 1,800,000.00
	Previous Change Orders #1-3				+ 9,893.00
<b>CHANGE ORDER #4</b>	1. Fill the plaza area above the electrical room to ASTeCC I to force drainage to surrounding plaza drains. 2. Install filter fabric on the plaza deck between the rigid insulation and sand bed for the pavers.		8/12/94		+4,415.00
Reason for Change:	1. The floor drains in this area must be removed and the low area filled because contract documents have plaza drain piping routing through the main electrical room. This is against code and the drain must be abandoned and slope to the drains revised to eliminate the low point.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
MARKSBURY CORNETT ENG. (Continued)	Project Nos. 640.1/640.2/640.3				
Reason for Change:	2. Without the fabric, sand would not be restrained in place. The fabric was not specified on the specifications.				
<b>CHANGE ORDER #5</b>	1. Add concrete cutting on 1st floor of ASTeCC IV at column E-15. This requires cutting the slab edge at the change in elevation. 2. Add a double door at the loading dock into Robotic's cooridor.		8/12/94		+ 2,245.00
Reason for Change:	1. Final bid pack documents indicate the structural slab to be placed 2'-5" past centerline of column. Phase IV building package documents indicate face of brick 2'-4" past centerline of column. In order to get the brick down to plaza level, past the raised slab edge, a part of the concrete had to be cut away. 2. Door and hardware not shown on door schedule.				
<b>CHANGE ORDER #6</b>	Add the door, hardware, frame, expansion joint material, etc. to allow the tie-in between Civil and ASTeCC at the 2nd floor.		8/12/94		+ 4,281.00
Reason for Change:	Not included in Final Bid Pack.				
<b>CHANGE ORDER #7</b>	Increase the allotment for miscellaneous clean-up, temporary partitions construction, and relocation of fencing, in ASTeCC I, II, & III.		8/12/94		+ 45,000.00
Reason for Change:	The original allotment of \$15,000 was low for budget reasons at bid time. Clean-up of this building has been very labor heavy due to rates and difficulty of removing debris.				
<b>CHANGE ORDER #8</b>	Revised the layout and installed pavers in lieu of concrete sidewalks at the ramp between McVey and Journalism.		8/31/94		+ 5,532.00
Reason for Change:	Half of the new handicap ramp between Journalism and McVey is above a pair of 12" chilled water lines. The elevation of the intermediate landing for the ramp, as shown on the contract documents would have left the chilled water lines above the frost line and in danger of freezing during the winter. By extending the ramp to the east, the intermediate landing was raised in elevation and the chilled water lines then fell below the frost line. To facilitate future access to the chilled water lines, concrete pavers will be installed in lieu of a concrete ramp.				

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
MARKSBURY CORNETT ENG. (Continued)	Project Nos. 640.1/640.2/640.3				
<b>CHANGE ORDER #9</b>	Add 1/4" Plexiglas at Room A215A. All electrical outlets will have to be cut out in this plexiglass.		8/31/94		+ 3,045.00
Reason for Change:	This lab as shown on the contract documents was designed for a different user than the user currently scheduled to occupy. The new user will be using radioactive isotopes that need to be screened. Per the user occupying the lab, 1/4" plexiglass provides the necessary protection for researchers in adjacent lab areas.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,871,366.00</b>
P&R ROOFING AND SHEET METAL LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #2 MOISTURE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 155,825	5/12/93	1	\$ 155,825.00
HUSKISSON MASONRY, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #3 MASONRY & LIMESTONE Project Nos. 640.1/640.2/640.3	\$ 485,480- 680,000	4/05/93	5	\$ 485,480.00
<b>CHANGE ORDER #1</b>	Rework the window sizes at the bridge on 2nd floor to Civil Engineering. Revise the width from 6'0" to 5'0". Also, brick up the unused louver opening at 1st level, NW corner of ASTeCC II.		8/12/94		+ 1,076.00
Reason for Change:	The windows in the bridge were unused at Civil Engineering and thus supplied by the owner. The windows on the ASTeCC floor plan were noted to be supplied by owner and sized at 6'9", larger than those used at Civil. The openings on the 1st level were to be for fresh air intake louvers installed by Bid Pack #3. When F.B.P. was bid these louvers were no longer required and the openings had to be filled.				
<b>CHANGE ORDER #2</b>	Installed additional CMU and brick at the ramp between McVey and Journalism.		8/31/94		+ 2,003.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
HUSKISSON MASONRY, INC. (Continued)	Project No. 640.1/640.2/640.3				
Reason for Change:	The brick on McVey Hall's west elevation starts at the basement floor elevation. It was assumed during design that the brick turned the northwest corner and continued at the same floor elevation. Once the north wall was excavated for the new handicap ramp between McVey and Journalism, it was found that the brick stepped up in elevation to just below grade line. Brick with a CMC backup was required to cover the foundation due to the poor condition of the concrete foundation.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 488,559.00</b>
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #5 LATH, PLASTER & DRYWALL Project Nos. 640.1/640.2/640.3	\$ 66,235- 75,120	4/05/93	3	\$ 66,235.00
<b>CHANGE ORDER #1</b>	Add additional plaster at 1st level of ASTeCC I on the wall of McVey covering the existing limestone band.		8/12/94		+ 1,932.00
Reason for Change:	The limestone band was discolored, damaged and in poor condition - a condition unacceptable for a finish product beyond reasonable costs for repair.				
<b>CHANGE ORDER #2</b>	Provide sprayed on insulation above the plaster soffit on ASTeCC I. Work to be done on overtime and completed in one day.		8/12/94		+ 1,950.00
Reason for Change:	Space above soffit is not heated. Slab in this space is part of 3rd floor structure and lack of insulation would result in cold floor condition on 3rd floor in the winter.				
<b>CHANGE ORDER #3</b>	1) Add a two hour drywall ceiling at the top of Stair #3. 2) Add soffits above the clean and cold rooms. Also, added a soffit in Room A357. 3) Add six transfer openings on the north portion of the building at the 1st floor.		9/01/94		+ 3,885.00
Reason for Change:	1) The top of the stair is directly below AHU #2 and contains all the piping risers going to the air handling unit. Per KBC 1014.11 this piping and ductwork is not permitted in the stairway. To maintain fire separation a two-hour fire rating must be provided.				

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
L STANDAFER COMPANY (Continued)	Project No.640.1/640.2/640.3				
Reason for Change:	<p>2) The clean and cold rooms are self contained units that are 9'-0" and 9'-6" respectively, with the front of the unit facing the corridor. The contract drawings indicate these corridors will be 10'-0", therefore, soffits were built so the ceiling would have something to tie into. In room A349 overhead duct and piping that feeds from the mechanical chase would not allow a 10'-0" ceiling to be installed. Instead of lowering the entire ceiling a soffit was built so a small portion of the ceiling could be lowered.</p> <p>3) The contract documents on the first floor north end of ASTeCC III did not provide for return air plenum grills, nor the transfer openings through the corridor walls to the corridor return air plenum. The return air plenum grills and transfer openings are needed to ensure the return air system operates properly.</p>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 74,002.00</b>
MARTINA BROTHERS CO., INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #6 WALL & FLOOR TILE Project Nos. 640.1/640.2/640.3	\$ 29,800- 35,000	4/05/93	2	\$ 29,800.00
L. STANDAFER COMPANY LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #7 ACOUSTICAL CEILINGS Project Nos. 640.1/640.2/640.3	\$ 39,315- 53,596	4/05/93	5	\$ 39,315.00
CATRON INTERIOR SYSTEMS LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #8 RESILIENT FLOORING, CARPET & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 55,914- 72,757	4/14/93	6	\$ 55,914.00
THOMPSON PAINTING AND DECORATING, INC. LEXINGTON	ASTeCC PHASES I, II, III WORK CATEGORY #9 SPECIAL COATINGS & PAINTING & ACCESSORIES Project Nos. 640.1/640.2/640.3	\$ 89,516- 189,600	4/14/93	4	\$ 89,516.00
<b>CHANGE ORDER #1</b>	<p>1) Install caulking at the joint between the wall and floor at areas where the floor finish is sealed concrete.</p> <p>2) Paint soffits added above the clean and cold rooms. Also, paint the soffit added in Room A349.</p>		9/01/94		+ 600.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
THOMPSON PAINTING AND DECORATING, INC. (Continued)	Project Nos. 640.1/640.2/640.3				
Reason for Change:	1) Vinyl base was not included in the contract documents for the basement floor of ASTeCC. To ensure a uniform appearance at the juncture between the masonry block wall and the sealed concrete floor caulking was installed. 2) The clean and cold rooms are self contained units that are 9'-0" and 9'-6" respectively in height at the front of the unit facing the corridor. The contract drawings indicate the corridor ceiling will be 10'-0". A soffit was constructed to fill the 1'-0" and 6" voids from the top of the clean and cold rooms to the corridor ceiling.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 90,116.00</b>
SMITH & SCHAEFER, INC. CINCINNATI, OH	ASTeCC PHASES I, II, III WORK CATEGORY #10 LABORATORY CASEWORK Project Nos. 640.1/640.2/640.3	\$ 373,439- 490,492	4/14/93	5	\$ 373,439.00
<b>CHANGE ORDER #1</b>	Provide three finished steel pipe chases in rooms A223, A304 (2) to conceal pipe and conduit drops at the peninsula tables.		8/12/94		+ 660.00
Reason for Change:	Specifications require chases only at island table, these peninsula tables thus are not covered by the documents.				
<b>CHANGE ORDER #2</b>	Revise the countertop and sinks in room A215a from epoxy to stainless steel. This will require removal of epoxy tops and sinks and reinstallation of stainless tops and sinks by Smith and Schaefer and the disconnect and reconnection of plumbing piping to the sinks in this room by the plumbing contractor.		8/12/94		+ 6,348.00
Reason for Change:	The materials to be used in this lab by the tenant are not compatible with epoxy tops. At design time this space was not leased and specific use not defined.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 380,447.00</b>
BARBER-COLMAN COMPANY LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #12 FUME HOOD/FUME HOOD CONTROLS/ BUILDING CONTROLS Project Nos. 640.1/640.2/640.3	\$ 631,867- 634,995	4/08/93	2	\$ 631,867.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
DALMATIAN FIRE, INC. MASON, OH	ASTeCC PHASES I, II, III WORK CATEGORY #13 FIRE PROTECTION Project Nos. 640.1/640.2/640.3	\$ 97,656- 110,500	4/14/93	2	\$ 97,656.00
<b>CHANGE ORDER #1</b>	Extend the drain line for McVey's dry standpipe system in the attic to the janitor's closet at the north end of 2nd floor - 120'.		8/12/94		+ 2,432.00
<b>Reason for Change:</b>	Existing conditions. Third floor does not have a sink or drain pipe available at the location shown on the documents. Required drain pipe is to be extended to the closest pipe available which is in the janitor's closet on the second floor.				
<b>CHANGE ORDER #2</b>	Revise sprinkler requirements to provide ordinary hazard coverage in lieu of light hazard specified and provide increased main sizes to provide future connection for ASTeCC IV.		8/12/94		+ 14,370.00
<b>Reason for Change:</b>	Contract documents indicate the incorrect coverage requirements for the building. For this class building sprinkler coverage must be designed for "ordinary hazard". The mains had to be upsized to provide proper flow to Phase IV of ASTeCC which was not designed until after award of final bid pack.				
<b>CHANGE ORDER #3</b>	Added an air pressure supervisory switch which detects both high and low pressure conditions at the McVey Hall dry-type sprinkler system.		8/31/94		+ 152.00
<b>Reason for Change:</b>	This is a code requirement and it is not indicated on the contract documents as being required.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 114,610.00</b>
EICHBERGER ENTERPRISES, INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #14 MECHANICAL WORK Project Nos. 640.1/640.2/640.3	\$ 1,588,700- 1,832,000	4/08/93	3	\$ 1,588,700.00
Previous Change Orders #1-2					+ 23,350.00
<b>CHANGE ORDER #3</b>	Revise the condensate pumps CP1,2, & 3 to provide a 30psi discharge pressure.		8/12/94		+ 2,508.00



**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EICHBERGER ENTERPRISES, INC. (Continued)	Project Nos. 640.1/640.2/640.3				
Reason for Change:	UK system pressure is such that 30psi pump discharge is required to enter the system. Contract documents only indicated a 15psi discharge pressure on the 3 pumps. 2 pumps will have assemblies swapped, the 3rd pump will be used temporarily thus not allowing swap of the duplex pump assembly.				
<b>CHANGE ORDER #4</b>	Delete the exhaust valve EV2.21 and the associated ductwork back to the main. Upsize the branch line to EV2.23 from 4" to 8" and modify the minimum/maximum settings of the air valves to reflect that shown on the revised balance schedule.		8/31/94		+ 599.00
Reason for Change:	The exhaust duct for EV2.23 in A253 was improperly listed at 4' round on the contract documents for the fume hood that it served. Once it was correctly sized, EV2.21 general exhaust was not needed as 6 air changes per hour were met by upsizing the fume hood exhaust duct.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,615,157.00</b>
ARROW ELECTRIC CO., INC. LOUISVILLE	ASTeCC PHASES I, II, III WORK CATEGORY #15 ELECTRICAL WORK Project Nos. 640.1/640.2/640.3	\$ 677,384- 819,778	4/08/93	6	\$ 677,384.00
Previous Change Orders#1-3					+ 3,667.00
<b>CHANGE ORDER #4</b>	1. Provide three new light fixtures to install in the lay-in ceiling of room M105. 2. Install a GFI type receptacle and add a light switch in the elevator machine room. Install lights at the bridge connectors on all levels on both the north and south ends in McVey. Tie these exit lights into the normal lighting circuit for lights in the bridge and provide exit lights with battery backup. Revise the fire alarm system to delete the automatic opening of two entrance doors on 1st floor and add this requirement to the automatic aluminum entrance to the bridge going to Robotics on the 2nd floor. Tie this auto opener to the smoke EVAC system to open the door before starting the smoke EVAC fan.		8/15/94		+ 19,454.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
ARROW ELECTRIC CO., INC. (Continued)	Project Nos. 640.1/640.2/640.3				
Reason for Change:	<ol style="list-style-type: none"> <li>Relocate existing fixtures from the McVey star to the lay-in ceiling of the bridge between ASTeCC and McVey. These existing fixtures were surface mounted and not suitable for installation in the lay-in grid. Contractor took 3-277V fixtures out of stock, replaced the ballasts with 120v and ordered three new 277V fixtures to replace stock.</li> <li>Each of the items are life safety/code requirements not shown on the contract documents. The fire alarm was revised to provide proper operation of the smoke EVAC system for the atrium. The contract documents had doors on 1st opening for atrium smoke EVAC, the door on 2nd is part of the atrium. It should be noted, Arrow had the doors on the 1st Floor roughed-in and ready to connect to fire alarm was changed. This work had to be removed and installed on the 2nd Floor.</li> </ol>				
<b>CHANGE ORDER #5</b>	<ol style="list-style-type: none"> <li>Install temporary emergency light fixture in the fire pump room, and clean the electrical manholes E-111 and 112, and reconnect campus site lighting.</li> <li>Provide a connection to the fire alarm system for additional tamper and flow switches on the fire protection system. These are switches at the top and bottom of the elevator shaft (both ASTeCC and McVey) and on the standpipe risers in McVey. This is a total of six additional tampers and flows. Where possible, tie these switches to fire alarm IAM boxes already installed under the contract. Add a smoke detector to the elevator pit.</li> </ol>	8/15/94			+ 23,121.00
Reason for Change:	<ol style="list-style-type: none"> <li>Lighting in the fire pump room is required by code. Until a permanent system is on line temporary emergency lighting had to be provided for occupancy of Civil Engineering. Electrical manholes on site were collecting mud and dust from construction activities and required cleaning. Site lighting was unmarked and only 10" below grade. It was damaged by site work activities.</li> <li>Code requires tamper and flow switches at all valves, bid documents do not indicate the code required locations. Code required smoke detectors in elevator pits with sprinkler heads.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 703,066.00</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
*RAM ENGINEERING & CONST. LOUISVILLE	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #1 GENERAL EXCAVATION WORK Project Nos. 640.1/640.2/746.2	\$ 847,425- 944,500	10/29/91	3	\$ 847,425.00
	Previous Change Orders #1-13				+ 131,748.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 979,173.00</b>
*This contract is for three (3) interrelated and concurrent projects and represents the low bid.					
AMTECK OF KENTUCKY LEXINGTON	CIVIL ENGINEERING BUILDING/ ASTeCC PHASES I & II WORK CATEGORY #3 ELECTRICAL WORK Project Nos. 640.1/640.2/746.2	\$ 137,800- 179,000	10/29/91	4	\$ 137,800.00
	Previous Change Orders #1-12				+ 17,499.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 155,299.00</b>
*CONGLETON & HACKER CO. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project Nos. 640.1e/640.2e	\$ 1,556,970- 2,600,000	3/23/92	4	\$ 1,556,970.00
	Previous Change Orders #1-12				+ 104,507.00
<b>CHANGE ORDER #13</b>	Revise the sitework at the south end of the building by deleting asphalt and installing new concrete curbs, pavers, and landscaping.		8/26/94		+ 6,400.00
<b>Reason for Change:</b>	The western end of Funkhouser Drive has been used for staging and storage for the construction of the new ASTeCC Facility. The "Physical Development Plan" for the University of Kentucky calls for the conversion of Funkhouser Drive to a pedestrian plaza. In lieu of returning the area to parking and roadway per contract documents, the staging area is being converted to pedestrian mall in keeping with the "Physical Development Plan".				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 1,667,877.00</b>
*This contract is for three (3) interrelated and concurrent projects and represents to low.					
D.W. WILBURN, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project Nos. 640.1e/640.2e	\$ 634,500- 932,499	3/24/92	7	\$ 634,500.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
GRAYHAWK CORPORATION LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3A WATERPROOFING Project Nos. 640.1e/640.2e	\$ 15,016- 32,000	7/20/92	3	\$ 15,016.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #3B ROOFING AND SHEET METAL Project Nos. 640.1e/640.2e	\$ 54,535- 77,480	7/20/92	2	\$ 54,535.00
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4A WINDOWS Project Nos. 640.1e/640.2e	\$ 108,932- 129,700	9/30/92	3	\$ 108,932.00
	Previous Change Order #1-3				- 2,868.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 106,064.00</b>
W.R. COLE ASSOCIATES, INC. LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #4B SKYLIGHT & CURTAIN WALLS Project Nos. 640.1e/640.2e	\$ 73,433- 89,300	9/30/92	2	\$ 73,433.00
	<b>CHANGE ORDER #1</b>	Revise the arched section of ASTeCC's skylight from acrylic to glass on both ends of the skylight.	8/11/94		+ 459.00
	Reason for Change:	The vertical aluminum storefront which finishes to the bottom of the skylight has tinted glass sections. A very noticeable difference would be seen if the vertical end section of the skylight had it's acrylic glass stacked on top of the storefront glass.			
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 73,892.00</b>
ABELL ELEVATOR LOUISVILLE	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project Nos. 640.1e/640.2e	\$ 108,932- 155,500	3/25/92	4	\$ 108,932.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
AMTECK OF KENTUCKY LEXINGTON	ASTeCC PHASES I & II BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project Nos. 640.1e/640.2e	\$ 48,583- 71,640	3/24/92	3	\$ 48,583.00
	Previous Change Orders #1-3				+ 11,218.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 59,801.00</b>
SKILTON PAVING & CONSTRUCTION, INC. LEXINGTON	ASTeCC FACILITY PHASE IV BID PACK #1 DEMOLITION AND EXCAVATION Project No. 640.4	\$ 327,500- 367,400	5/14/93	5	\$ 327,500.00
	Previous Change Orders #1-2				+2,032.82
<b>CHANGE ORDER #3</b>	Add three risers to the enclosed stairs at the south end of the Anderson Quadrangle. This will require added risers, treads, additional calculations, shop drawings, and upsized stair supports from angles to tub steel.		8/29/94		\$ 950.00
<b>Reason for Change:</b>	The elevation indicated on the documents for the bottom of the stair was higher than actual conditions. This requires the addition of risers to meet this actual grade. Also, stair calculation indicates the need to upsize the stair supports from angles to tube steel thus requiring revised shop drawings and calculations.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 330,482.82</b>
D. W. WILBURN, INC. LEXINGTON	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #1 GENERAL WORK Project No. 640.4	\$1,232,900 1,325,000	9/08/93	3	\$1,232,900.00
<b>CHANGE ORDER #1</b>	Additional stone was required to backfill behind part of the foundation wall.		8/08/94		\$ 2,500.00
<b>Reason for Change:</b>	ASTeCC IV Bid Package #1 called for 3'-0" of additional excavation to provide room for the waterproofing to be installed. The contract documents for ASTeCC IV Bid Package #2 called for 2'-0" of stone backfill, when they should have called for 3'-0".				

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
D. W. WILBURN, INC. (Continued)	Project No. 640.4				
<b>CHANGE ORDER #2</b>	<ol style="list-style-type: none"> <li>1) Revise toilet accessories that are being provided for the bathrooms. Deleted two soap dispensers and two toilet paper holders. Added two recessed waste receptacles and one double feminine napkin receptacle. Revised mirror from 24"Wx36"H to 36"Wx36"H.</li> <li>2) Revise power requirements for the elevator motor from 208V to 480V.</li> <li>3) Delete pit equipment pads, bifold door, and crane hoist from Room A065.</li> </ol>		8/29/94		\$ 28,325.00
Reason for Change:	<ol style="list-style-type: none"> <li>1) The contract documents indicate that some equipment, that is usually Owner furnished, is supplied by the contractor and other equipment that is needed is not provided. This was clarified in the submittal process.</li> <li>2) There is a conflict between the contract specifications and the plans for the elevator motor power supply. The contract specifications called for 208V power for the elevator. The plans for the electric called out 480V. Per General Conditions, Article 4, Work Reasonably Inferred and Discrepancies, Paragraph 2, the specifications override the drawings. The power supply for the motor of the elevator needs to be 480V.</li> <li>3) Revised requirements for A065 due to the redesign.</li> </ol>				
<b>CHANGE ORDER #3</b>	Add an expansion joint in the soffit on the 1st floor at Column C.1-14.		8/29/94		\$ 98.00
Reason for Change:	The contract documents do not indicate that an expansion joint is required in the soffit at Column C.1-14 but one is needed to allow for proper movement between the two bid packs.				
<b>CHANGE ORDER #4</b>	Build a fire-rated enclosure above the ceiling in the lower level.		8/29/94		\$ 287.00
Reason for Change:	Due to the plumbing rough-ins for the 1st floor restroom chase, the KBC required fire damper for the the general building air exhaust would not fit into the chase area. The fire damper was lowered via a two hour enclosure below the 1st floor slab.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,207,460.00</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
BOYLE MASONRY, INC. STANFORD, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #2 MASONRY & LIMESTONE Project No. 640.4	\$253,949 420,000	9/08/93	4	\$253,949.00
JAMES E. SMITH MECHANICAL LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #3 MECHANICAL WORK Project No. 640.4	\$429,068 518,500	9/03/93	4	\$429,068.00
<b>CHANGE ORDER #1</b>	Add a floor drain in the lower level mechanical/electrical room.		8/11/94		\$ 1,569.00
Reason for Change:	The contract documents did not show a floor drain in this room and one is required for condensate pump #3.				
<b>CHANGE ORDER #2</b>	1) Requested contractor to investigate why water was coming through the ceiling in the lower level of McVey Hall's main computer room. Ran a sewer rod into the roof drain to try to clean pipe in order to stop possible overflow and back-up. Pipe was heavily condensated due to extreme cold and this contributed greatly to the water problem. 2) Add a 1/2 hp, 2880CFM exhaust fan in the fire pump room above the door. Also, cut and frame a 2'x2' opening in the block wall between the switchgear room and fire pump room for transfer air. The fan shall be surface mounted inside the pump room exhausting into the loading dock area. The wall the fan is being mounted to is a 2-Hr fire rated partition and will require a 2-Hr fire damper be mounted in the wall at the exhaust fan.		8/30/94		\$ 2,124.00
Reason for Change:	1) This was to insure that the computers would not be damaged by the water leak. This was done on a Time and Material basis, and had to be done immediately because water was coming into the computer machine room in McVey basement. Problem was not related to the project.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
JAMES E. SMITH MECHANICAL (Continued)	Project No. 640.4				
Reason for Change:	2) Code requires ventilation of the fire pump room. The exhaust fan purchased by Civil Engineering, Bid Pack #5 is too large to fit in the room and exhaust to the dock area as required. Since the diesel powered fire pump was not used, the fan can be smaller and thus installed where required but a new fan must be purchased and installed. Original controls and power provisions are still to be used.				
<b>CHANGE ORDER #3</b>	Added valves and revised the routing of the CWS, CWR, and LPS lines at the 3rd floor tie-in location.		8/30/94		\$ 7,034.83
Reason for Change:	The contract documents indicate that the valves were installed by another Bid Pack. The existing location of the overhead work that was installed in Final Bid Pack would not allow the Phase IV contractor to perform their tie-ins like the contract documents indicated.				
<b>CHANGE ORDER #4</b>	1) Revised layout of underfloor piping in Lab 0465 adding fittings, clean-outs, vent and waste piping to the acid system. 2) Revised routes of the return air ductwork to AHU #1 on the roof. 3) Installed acid waste, acid vent, hot water and cold water to the sink that is located in Room A357 (clean room). The humidifier for this room required RO water and a drain which was also provided.		8/30/94		\$14,725.00
Reason for Change:	1) The user that this lab was designed for is not moving into ASTeCC. As a result this lab was added to the lab adjacent to this room. The end users for the lab will be using acids and solvents. 2) The contract documents for ASTeCC IV's roof top air handling unit #1 (AHU#1) called for the return air duct to be installed on top of AHU#1. Due to the height of AHU#1, twenty to thirty feet of the duct was visible above the top of the parapet wall from the Civil Engineering Plaza. Pursuant to the University policy to minimize mechanical features viewable from the ground the ductwork was relocated beside AHU#1. 3) The contract documents did not indicate that this room needed these provisions.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 454,520.83</b>



**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
DALMATIAN FIRE, INC. MASON, OH	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #4 FIRE PROTECTION Project No. 640.4	\$19,938	9/13/93	1	\$19,938.00
BARBER-COLMAN COMPANY LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #5 CONTROLS/FUME HOODS Project No. 640.4	\$145,888 147,500	9/08/93	2	\$145,888.00
ARROW ELECTRIC CO., INC. LOUISVILLE, KY	ASTECC FACILITY PHASE IV BUILDING PACKAGE WORK CATEGORY #6 ELECTRICAL WORK Project No. 640.4	\$264,000 296,000	9/08/93	4	\$264,000.00
<b>CHANGE ORDER #1</b>	1) Revise feeder size at two locations: from MCC3 to AHU-1 upsizing wire and terminations only; from MDP-2 to MCC-3. This change involves upsizing both conduit, wire and terminations. 2) Revise location of transformers T1B and T3B from the 1st and 3rd floor electrical rooms to the lower level main electrical room.		8/30/94		+ 8,027.00
Reason for Change:	1) The contract documents indicated the incorrect wire size from MDP-2 to MCC-3, and from MCC-3 to AHU-1. This was discovered prior to installation of any of the original contract work. 2) The contract documents indicate dimensions for the electrical room on the 1st and 3rd floors that do not allow proper spacing of the equipment per the electrical codes. This was discovered prior to installation of the original contract work.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 272,027.00</b>
RELIABLE MECHANICAL, INC. LOUISVILLE	EXPANDED CHILLED WATER PLANT #2 Project No. 666.2	\$ 7,675,000- 8,548,000	12/23/92	7	\$ 7,675,000.00
Previous Change Orders #1-20					+ 137,518.77
<b>CHANGE ORDER #21</b>	Provide three 4' personnel gates and one 20' vehicle gate at the substation and the cooling tower, as located in the field.		7/20/94		+ 1,955.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
RELIABLE MECHANICAL, INC. (Continued)	Project No. 666.2				
Reason for Change:	During installation of the new fencing, it was determined that additional gates are required to provide adequate access to sub-station #2 and to the new cooling tower.				
<b>CHANGE ORDER #22</b>	Provide conduit and power wiring from panel RP-1 to the overhead door motor. Connect to the spare breaker in panel RP-1 and provide a non-fused disconnect in lieu of the combination motor starter.		7/20/94		+ 446.00
Reason for Change:	The contract drawings did not show power wiring to the overhead door.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 7,814,919.77</b>
FINNEY COMPANY, INC. LEXINGTON	CHILLED WATER PIPING EXPANSION UNIVERSITY OF KENTUCKY Project No. 666.41	\$ 1,376,000- 1,570,000	12/23/93	5	\$ 1,376,000.00
Previous Change Orders#1-2					+ 17,671.00
<b>CHANGE ORDER #3</b>	Disconnect and remove transformer. Excavate to core drill two holes. Install new 4" conduit to new J box in tunnel. Reinstall transformer and reconnect with proper size wire.		8/18/94		+ 2,106.00
Reason for Change:	The existing high voltage wire in the tunnel is being relocated to allow the installation of the chilled water piping. It was discovered that the existing wiring does not meet KBC. The wiring to the transformer needs to be up-graded to meet KBC.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,395,777.00</b>
FINNEY COMPANY, INC. LEXINGTON	CHILLED WATER PIPING EXPANSION, BID PACK 2 COOLING 1/COOLING 3 INTERCONNECT Project No. 666.42	\$ 864,918- 728,800	5/02/94	5	\$ 864,918.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
PEYRONNIN CONSTRUCTION CO. EVANSVILLE, IN	HENDERSON COMM. COLLEGE ACADEMIC/TECHNICAL BLDG. Project No. 722.0	\$ 3,266,000- 3,542,000	12/04/91	6	\$ 3,266,000.00
	Previous Change Orders #1-17				+ 28,275.46
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 3,294,275.46</b>
J.L. POOLE CONST., INC. HENDERSON	HENDERSON COMM. COLLEGE AUDITORIUM/FINE ARTS BLDG. Project No. 723.0	\$ 4,965,000- 5,460,340	12/17/91	4	\$ 4,965,000.00
	Previous Change Orders #1-18				+ 105,117.88
	<b>CHANGE ORDER #19</b>	Install metal stud and drywall bulkhead.	8/05/94		+ 13,604.64
	Reason for Change:	To conceal the existing kitchen unit in the Conference Room.			
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 5,072,604.88</b>
STATE ELECTRIC COMPANY, INC. HOPKINSVILLE	HENDERSON COMM. COLLEGE AUDITORIUM FIT-UP DIMMING SYSTEM/SOUND SYSTEM Project No. 723.2B	\$ 265,000- 354,423	01/25/94	2	\$ 265,000.00
	<b>CHANGE ORDER #1</b>	1. Furnish and install one 100 amp, 3 phase panel adjacent to the future location of the dimmer panel. House lights circuits shall be connected in the panel. Remove three gang boxes from walls in the dressing area and install seven, five gang masonry boxes to accommodate devices for the sound system. 2. Install three-way switch at each entrance to the projection room and one, 100 watt fixture above ceiling to light area for maintenance of above ceiling heating/cooling unit. Install guards on dressing rooms- 396 bulbs total. Switch each receptacle circuit on pilot light switch, "On When Light Is On". Total of four lighting contractors needed to accomplish switching. 3. Provide a switch with pilot light and engraved, located in the projection booth. Label "House Switch." Extend wiring as required in conduit to contractor "GF to control lighting circuits A-23, 24, 25, 26, 27, and 28. These circuits serve lighting in Gallery 215, Foyer 219, Gallery 241, and Foyer 24. Circuits are to on 2-30A, 3 pole, 120v lighting contractors per plans.	8/05/94		+ 13,604.64

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
STATE ELECTRIC COMPANY (Continued)	Project No. 723.2B				
Reason for Change:	<ol style="list-style-type: none"> <li>1. Panel was needed to provide power for the house lighting for the auditorium. Permanent power for these lights will be provided later with the installation of the lighting dimmer paks. State Department of Housing, Buildings and Construction would not issue a certificate of occupancy without providing proper lighting. Gang boxes provided during the construction of the building were too small to accommodate the controls provided by the sound package.</li> <li>2. The Kentucky Building Code requires that all mechanical equipment suspended above a permanent ceiling have light provided adjacent to the unit. The purpose of the light fixture is to provide lighting when the unit is serviced. State electrical inspector required wire guards on dressing room lights and master switch to control receptacles.</li> <li>3. Technical staff of the Fine Arts Center had no way of notifying patrons in the foyers and display galleries that events were about to begin or that intermission was over. Typically, this is done by quickly turning the lights in these areas off and then back on. The fixtures specified were on several switches, which could only be activated in the foyers. This proposal provides one switch in the sound/lighting booth so that these lights can be activated from that room. Many large traveling "road shows" require, that as a part of their contract, the facility provide a minimum 400 amp service at the stage for power for their own lights and equipment. This is a requirement of one of the first major acts to open the center. Additional data outlet was needed in the Director's office so that ticket sales could be done from that office when the ticket agent is out of the office.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 278,604.64</b>
BECK STUDIOS, INC. MILFORD, OH	HENDERSON COMM. COLLEGE AUDITORIUM FIT-UP RIGGING & DRAPERY PACKAGE Project No. 723.2C	\$ 107,973- 165,498	12/10/93	8	\$ 107,973.00
Previous Change Order #1					- 0 -
<b>CONTRACTOR'S FINAL PAYMENT:</b>					<b>\$ 107,973.00</b>
BUFCO CORPORATION/DBA BUFCO ELECTRIC EVANSVILLE, IN	HENDERSON COMM. COLLEGE COMMUNICATION DUCT BANK AND OUTSIDE PLANT TELEPHONE CABLE INSTALLATION Project No. 723.3	\$ 92,586- 139,860	8/25/92	6	\$ 92,586.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
HARTZ CONSTRUCTION CO. OWENSBORO	OWENSBORO COMM. COLLEGE CAMPUS COMPLETION Project No. 725.0	\$ 3,218,000- 3,479,749	12/05/91	5	\$ 3,218,000.00
	Previous Change Orders #1-24				+ 49,703.80
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 3,267,703.80</b>
DENHAM-BLYTHE CO., INC. LEXINGTON	MAYSVILLE COMM. COLLEGE STUDENT CENTER Project No. 727.0	\$ 3,371,900- 4,093,300	1/08/92	4	\$ 3,371,900.00
	Previous Change Orders #1-11				+ 83,872.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 3,455,772.00</b>
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE APPALACHIAN CENTER/ FINE ARTS BUILDING Project No. 728.0	\$ 3,927,900- 4,226,449	12/18/91	7	\$ 3,927,900.00
	Previous Change Orders #1-33				+ 184,084.75
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 4,111,984.75</b>
GREEN CONSTRUCTION CO., INC. MIDDLESBORO	SOUTHEAST COMM. COLLEGE PARKING LOT EXPANSION Project No. 728.1	\$ 57,950 65,000	10/28/93	2	\$ 57,950.00
	Previous Change Order #1				+ 952.84
	<b>CONTRACTOR'S FINAL PAYMENT:</b>		<b>9/08/94</b>		<b>\$ 58,902.84</b>
SPECTRUM CONTRACTING SERVICES, INC. LEXINGTON	LEXINGTON COMMUNITY COLLEGE LANDSCAPING & SITE DEV. Project No. 729.2	\$ 69,800- 133,627	8/17/93	3	\$ 69,800.00
	Previous Change Order #1-2				+ 1,081.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 70,881.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
D.W. WILBURN, INC. LEXINGTON	BELL COUNTY CAMPUS SOUTHEAST COMM. COLLEGE/ KYTECH Project No. 730.0	\$ 7,918,000- 8,328,000	9/08/93	4	\$ 7,918,000.00
	Previous Change Orders #1-5				+ 41,464.00
<b>CHANGE ORDER #6</b>	<ol style="list-style-type: none"> <li>Omit the four F-2A lavatories shown wall mounted in BT Labs 233, 235, 238, and 239; omit related sink trim and trap piping; cap waste in wall and omit 1-1/2" vent. Omit 3/4" overhead hot water branch piping to the sinks, including the ball valves. Relocate trap primers for floor drains in Labs 233, 235, 238, and 239 and install at cold water main above Corridor 232. Provide hard copper pipe from primer discharge to floor and soft copper to traps.</li> <li>Owner will pay for one-half the cost of state plumbing and boiler permits for the project.</li> </ol>		6/20/94*		+ 279.00
Reason for Change:	<ol style="list-style-type: none"> <li>Owner has determined the lavatories, which were included in the Owner's program, are not needed.</li> <li>Because of the interpretation of the term "local ordinances" in Article 13 of the General Conditions, the Owner has consented to pay for one-half the cost of the permits in question.</li> </ol>				
<b>CHANGE ORDER #7</b>	Relocate sanitary sewer Manhole No. 7 as shown on Drawing No. 1 of 1 dated 6/3/94.		6/20/94*		+ 1,184.00
Reason for Change:	During document preparation the Owner and Architect anticipated the city sewer line would come from the east toward Manhole No. 7. But the city sewer will be laid parallel to the east property line which runs in a southwest direction, and the relocation of Manhole No. 7 is necessary to avert a greater than 90 degree turn in the flow line of the sewer.				
<b>CHANGE ORDER #8</b>	Provide Azrock Custom Cortina Series vinyl composition Tile (VCT) throughout the project where VCT is scheduled, including spaces 100, 101A, and foyers of rooms 124 and 125 where solid color VCT was called for by Addendum No. 9.		8/05/94		+ 1,188.60
Reason for Change:	In the interest of the Owner, the project Color Schedule was prepared without using solid color tile. Marbleized tile performs better than solid color tile.				

\*Not on last Quarterly Report.

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
D.W. WILBURN, INC. (Continued)	Project No. 730.0				
<b>CHANGE ORDER #9</b>	Omit the concrete base for the satellite antenna, 8/18/94 the related 1" PVC conduit, and the related GFI duplex receptacle, wiring, and 3/4" conduit. The 2" PVC conduit to the antenna location shall remain and shall be stubbed up 18" above finish grade. Refer to Drawings No. U-1 and U-2.				- 697.33
Reason for Change:	The Owner has a new vendor for the satellite antenna to be used at the project. The vendor will install the antenna which does not require a concrete base or separate conduit for power.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 7,956,673.07</b>
RIVERSIDE PAVING & CONTRACTING, INC. LOUISVILLE	JEFFERSON COMM COLLEGE SW PLAZA AND PARKING LOT Project No. 731.1	\$ 138,990- 190,581	11/09/92	5	\$ 138,990.00
Previous Change Orders #1-2					+ 6,995.25
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 145,985.25</b>
MILNER ELECTRIC LEXINGTON	AGRICULTURE SCIENCE NO.2 EMERGENCY GENERATOR Project No. 744.1	\$ 51,346- 77,982	12/09/92	5	\$ 51,346.00
Previous Change Order #1					+ 5,874.96
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 57,220.96</b>
RELIABLE MECHANICAL, INC. LOUISVILLE	CHEMISTRY/PHYSICS BLDG. FUME HOOD UPGRADE Project No. 745.1	\$ 2,806,789- 4,019,000	10/10/92	2	\$ 2,806,789.00
Previous Change Orders #1-5					+ 31,747.04
<b>CHANGE ORDER #6</b>	<ol style="list-style-type: none"> <li>1. Provide pneumatic service and electrical interlocks to back draft dampers on exhaust fans.</li> <li>2. Provide revisions to existing sprinklers as required for coverage in the vicinity of new exhaust plenums.</li> <li>3. Provide missing reheat coils in Rooms 241, 313, 315, including duct modifications, piping systems, controls, insulation and balancing per contract documents.</li> </ol>		7/19/94	+ 11,500.00	

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
RELIABLE MECHANICAL, INC. (Continued)	Project No. 745.1				
Reason for Change:	<ol style="list-style-type: none"> <li>1. Dampers were changed from gravity type to provide more positive operation.</li> <li>2. Sprinklers were not installed at time of design of this project.</li> <li>3. Reheat coil symbols were omitted from drawings on these 3 units.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 2,850,036.04</b>
A & A MECHANICAL, INC. LOUISVILLE	FUME HOOD SYSTEM UPGRADE AG. SCIENCE NORTH BLDG. #1 Project No. 745.2	\$ 2,642,276- 3,218,850	2/23/93	6	\$ 2,642,276.00
Previous Change Order #1-6					+ 33,528.34
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 2,675,804.34</b>
E.C. MATTHEWS CO., INC. LEXINGTON	FUME HOOD SYSTEM UPGRADE II AGRICULTURE SCIENCE NO.2 Project No. 745.33	\$104,500- 148,775	8/03/92	4	\$ 104,500.00
Previous Change Orders #1-3					+ 5,191.03
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 109,691.03</b>
LEHMANN ENGINEERING AND CONTRACTING LOUISVILLE	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE Project No. 745.8	\$5,580,000- 6,200,000	5/05/93	3	\$5,580,000.00
Previous Change Orders #1-5					+ 37,264.45
<b>CHANGE ORDER #6</b>	<ol style="list-style-type: none"> <li>1. Relocate eleven 7-9/16" zam boxes in west chase and rewire.</li> <li>2. Relocate light fixture to allow passage of relocated sprinkler main; Relocate 2" steam and 1/2" steam lines that were embedded in chase wall; Relocate acid waste line that was installed after contract was awarded; and Relocate Ethernet conduit installed after contract was awarded.</li> <li>3. Additional time for delays in obtaining switch gear. The time provided for completion of contract is increased by (48) forty-eight calendar days. The substantial completion date is extended to May 8, 1994 and final completion is June 7, 1994.</li> </ol>		7/27/94		+ 7,161.67



**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
LEHMANN ENGINEERING (Continued)	Project No. 745.8				
Reason for Change:	1. Zam boxes are in the way of new exhaust risers and would be inaccessible. 2. Items were concealed or installed after contract was awarded. 3. Delayed by manufacturer.				
<b>CHANGE ORDER #7</b>	Use existing duct tape instead of installing new tape.		7/27/94		- 3,684.00
Reason for Change:	Decrease construction time and impact on occupants. It was discovered during demolition that the condition of the duct tape was better than expected. The installation of the duct tape meets or exceeds specified requirements.				
<b>CHANGE ORDER #8</b>	Delete supply box and associated piping and duct feeding Room MS-629.		7/27/94		- 1,010.42
Reason for Change:	This is a cold room, not an office as shown on the drawings.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 5,619,731.70</b>
THERMAL BALANCE, INC. LEXINGTON	CHANDLER MEDICAL CENTER FUME HOOD UPGRADE TESTING AND BALANCING Project No. 745.82	\$ 78,860- 83,800	5/05/93	2	\$78,860.00
WOODFORD BUILDERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #1 GENERAL WORK Project No. 746.3	\$ 1,764,000- 1,910,000	2/25/92	5	\$ 1,764,000.00
Previous Change Orders #1-14					+ 79,474.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,843,474.00</b>
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #2 MASONRY WORK Project No. 746.3	\$ 635,400- 1,098,000	2/28/92	6	\$ 635,400.00
Previous Change Orders #1-4					-5,095.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 630,305.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
EAGLE ROOFING & SHEET METAL LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #3 ROOFING & SHEET METAL Project No. 746.3	\$ 159,700- 271,109	2/28/92	5	\$ 159,700.00
	Previous Change Order #1-2				+ 2,422.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 162,122.00</b>
W.R. COLE AND ASSOCIATES LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #4 SKYLIGHTS & WINDOWS Project No. 746.3	\$ 253,255- 284,500	2/26/92	3	\$ 253,255.00
D & C ELEVATOR LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #5 CONVEYING SYSTEMS Project No. 746.3	\$ 182,294- 249,000	2/26/92	3	\$ 182,294.00
	Previous Change Order #1				+ 435.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 182,729.00</b>
LAGCO, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #6 MECHANICAL WORK Project No. 746.3	\$ 108,607- 125,430	2/26/92	3	\$ 108,607.00
	Previous Change Orders #1-12				+ 4,520.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 113,127.00</b>
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #3 WORK CATEGORY #7 ELECTRICAL WORK Project No. 746.3	\$ 76,779- 157,400	2/28/92	5	\$ 76,779.00
	Previous Change Orders #1-2				+ 3,742.00
<b>CHANGE ORDER #3</b>	Delete the grounding system at the south lab. This includes grounding equipment, wire, excavation and labor for the installation. Provide proper bonding of the existing equip- ment to the new grid.		8/05/94		- 1,050.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
AMTECK OF KENTUCKY, INC. (Continued)	Project No. 746.3				
Reason for Change:	The ground grid in Bid Pack #4 will be enough to serve both buildings, therefore, the grid near the south lab can be deleted. Installation of the ground could not be completed until the south lab structure was complete but the existing round grid had to be removed to complete the structure. Connecting to B.P. #4's grid eliminated this problem.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 79,471.00</b>
MARKSBURY-CORNETT ENG. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #1 GENERAL WORK Project No. 746.4	\$ 480,000- 578,890	6/19/92	3	\$ 480,000.00
Previous Change Orders #1-2					+ 295.00
<b>CHANGE ORDER #3</b>	Delete the 61 bulletin and markerboards which were added by addendum 2 drawing noted A.3.c.		8/12/94		- 9,515.00
Reason for Change:	These items are not required by the user and are to be deleted. (The bulletin and marker boards were included in the original program - the incoming Dept. Chairman and staff have determined that these furnishings are not needed in each individual faculty office space.)				
<b>CHANGE ORDER #4</b>	Revise the layout of room C228 adding room C228A. This includes adding wood doors and labor to install frame and hardware.		8/12/94		+ 653.00
Reason for Change:	The architectural drawings do not reflect the layout required for casework/room use requirements. This room was a part of the program requirements but was not included in the bid documents.				
<b>CHANGE ORDER #5</b>	Add handrail at the entrance to Anderson Tower and at the Atrium access door on the west end entering from the tower.		8/26/94		+ 4,158.00
Reason for Change:	Both rails are required for safety and/or code requirements.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 475,591.00</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
BOYLE MASONRY, INC. STANFORD	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #2 MASONRY WORK Project No. 746.4	\$ 294,425	6/18/92	1	\$ 294,425.00
	Previous Change Order #1				+ 2,100.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 296,525.00</b>
W. R. COLE & ASSOC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #3 ELECTRICAL WORK Project No. 746.4	\$ 63,717	6/23/92	1	\$ 63,717.00
	Previous Change Orders #1-5				+ 3,673.00
<b>CHANGE ORDER #6</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project as per the McCarthy letter to the Contractor dated April 25, 1994.		8/05/94		- 5,000.00
<b>Reason for Change:</b>	The University has been damaged by failure of the Contractor to meet scheduled durations and completion dates. Liquidated Damages assessed per Article 8 of the Contract Document Special Conditions.				
<b>CHANGE ORDER #7</b>	Add a 2"x2" white aluminum angle at the top of the elevator glass hoistway wall. Required in eight locations, four each on passenger elevators 1 & 2.		8/29/94		+ 1,617.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 64,007.00</b>
L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #4 LATH, PLASTER AND DRYWALL Project No. 746.4	\$ 86,100- 134,718	6/23/92	5	\$ 86,100.00
	Previous Change Orders #1-2				+ 6,235.00
<b>CHANGE ORDER #3</b>	Revise the layout of room C228 adding room C228A. This includes added metal stud walls, drywall, and drywall finishing.		8/05/94		+ 985.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
L. STANDAFER CO. (Continued)	Project No. 746.4				
Reason for Change:		The architectural drawings do not reflect the layout required for casework/room use requirements. This room was a part of the program requirements but was not included in the bid documents.			
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 93,320.00</b>
MARTINA BROTHERS LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #5 CERAMIC TILE Project No. 746.4	\$ 11,970	6/19/92	1	\$ 11,970.00
COMMERCIAL DRYWALL NICHOLASVILLE	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #6 ACOUSTICAL CEILINGS Project No. 746.4	\$ 45,258- 58,500	6/23/92	6	\$ 45,258.00
*L. STANDAFER CO. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #7 RESILIENT FLOORING AND CARPETING Project No. 746.4	\$ 33,300- 57,400	6/23/92	4	\$ 50,995.00
*The contract was awarded to the second low bidder. The low bidder presented convincing evidence that a mistake was made on the bid submitted. This action was taken under provisions of KRS 45A.080 and AR 200 KAR 5:306.					
THOMPSON PAINTING & DECORATING, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #8 PAINTING AND SPECIAL COATINGS Project No. 746.4	\$ 99,000- 156,213	6/24/92	4	\$ 99,000.00
Previous Change Order #1					+ 2,516.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 101,516.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
ECKERT FIRE PROTECTION CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #9 FIRE PROTECTION WORK Project No. 746.4	\$ 89,339- 146,600	6/23/92	5	\$ 89,339.00
	Previous Change Order #1				+ 4,339.00
<b>CHANGE ORDER #2</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project as per the McCarthy letter to the Contractor dated April 25, 1994.		8/05/94		- 13,000.00
Reason for Change:	The University has been damaged by failure of the Contractor to meet scheduled durations and completion dates. Liquidated Damages assessed per Article 8 of the Contract Document Special Conditions.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 80,678.00</b>
AMTECK OF KENTUCKY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #12 ELECTRICAL WORK Project No. 746.4	\$ 594,250- 663,000	6/23/92	5	\$ 594,250.00
	Previous Change Orders#1-5				+ 19,468.00
<b>CHANGE ORDER #6</b>	<ol style="list-style-type: none"> <li>1. Revise the layout of Rooms C026B &amp; C and C077. Finishes shall be similar to that required in Room C005A. Add lighting to proper coverage of the area in C077 as well as Room C026B.</li> <li>2. Relocate rough-in for two smoke detectors in the basement of Civil to the double doors where the new Anderson corridor ties into the existing Anderson basement corridor, about 80 feet west of the indicated location.</li> <li>3. Add conduit and wire from the new fire alarm in the existing Delta termination box in Anderson.</li> </ol>		8/05/94		+ 3,181.00
Reason for Change:	<ol style="list-style-type: none"> <li>1. Bid Pack #4 documents indicated Rooms C077 C &amp; D as well as C026B to be cold rooms. Bid Pack #5 deleted these cold rooms and thus requires the areas in B.P. #4 to have additional lighting.</li> <li>2. The smoke detectors were shown on the documents in a location where Civil Building ties to Anderson. They are required to be installed on either side of the double doors with hold opens which are 80' further west into Anderson.</li> </ol>				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
AMTECK OF KY, INC. (Continued)	Project No. 746.4				
Reason for Change:	3. The contract documents require four wires from the panel to Delta's box, however, recently the Fire Marshall has required additional monitoring of the fire alarm by the Delta system and to meet this requirement, eight stranded copper wires must be installed. The four solid copper wires had to be removed and replaced with eight new stranded copper wires.				
<b>CHANGE ORDER #7</b>	Revise the elevations of the thermostats in the offices from 60" to the same height of light switches.		8/05/94		+ 425.00
Reason for Change:	Required to meet the ADA requirements for accessibility.				
<b>CHANGE ORDER #8</b>	Add a dedicated circuit for the copy machine in K.T.C.'s office. 20 amp circuit to Room C-176 to a spare breaker in panel 1PA.		8/26/94		+ 252.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 617,576.00</b>
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #4 WORK CATEGORY #13 HOLLOW METAL WORK Project No. 746.4	\$ 23,206- 26,700	06/19/92	3	\$ 23,206.00
Previous Change Order #1					+ 765.00
<b>CHANGE ORDER #2</b>	1. Revise the layout of room C228A. This includes hollow metal frames and hardware. 2. Add door C026A in the lower level of the CE Building. This required frame and hardware for the door. Modify the four doors at the roof by cutting 8" off the bottom of the door and adding reinforcing. Provide added floor stops for thirteen doors in various locations.		8/05/94		+ 1,069.00
Reason for Change:	1. Room layout on architectural drawings does not reflect the layout required for casework/room use requirements. This room was a part of the program requirements but was not included in bid documents. 2. Door not shown on the door schedule. Required to allow proper flashing of the roof at the doors. Roof warranty requires minimum 8" from roof to door thresholds. Door hardware damaging walls.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 25,040.00</b>

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
LEXINGTON BUILDING SUPPLY LEXINGTON	CIVIL ENGINEERING BUILDING SID PACK #4 WORK CATEGORY #14 FINISH HARDWARE Project No. 746.4	\$ 42,221- 53,200	06/19/92	3	\$ 42,221.00
	Previous Change Orders #1-2				- 0 -
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 42,221.00</b>
ENGLISH PAVING CO., INC. VERSAILLES	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #1 SITE UTILITIES Project No. 746.5	\$ 92,300- 169,400	09/30/92	3	\$ 92,300.00
WOODFORD BUILDERS, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #2 SITE IMPROVEMENTS Project No. 746.5	\$ 214,700- 314,100	09/30/92	3	\$ 214,700.00
	Previous Change Order #1				+ 4,335.00
	<b>CHANGE ORDER #2</b>	Add 30 days maintenance for lawns at the south lab. This includes watering and general upkeep of the area.	8/29/94		+ 3,381.00
	Reason for Change:	Heavy rains washed away the initial planting thus requiring replanting of the area. With replanting taking place in mid-summer, additional attention to the lawn is required to insure proper growth.			
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 222,416.00</b>
DALTON MAINTENANCE, INC. MEDWAY, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #3 MOISTURE PROTECTION Project No. 746.5	\$ 77,107- 97,590	10/13/92	2	\$ 77,107.00
SMITH & SCHAEFER, INC. CINCINNATI, OH	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #4 CASEWORK/FURNISHINGS/BLINDS Project No. 746.5	\$ 644,040- 778,700	11/05/92	2	\$ 644,040.00



**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
ENERGY CONSERVATION TECHNIQUES, INC. LOUISVILLE	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #5 FUMEHOODS/FUMEHOOD CONTROLS/BUILDING CONTROLS Project No. 746.5	\$ 342,000- 438,910	11/11/92	4	\$ 342,000.00
	Previous Change Orders#1-4				+ 22,969.00
<b>CHANGE ORDER #5</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project.		8/05/94		- 10,000.00
Reason for Change:	The University has been damaged by failure of the contractor to meet scheduled durations and completion dates. Liquidated Damages assess per Article 8 of the Contract Documents Special Conditions.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 354,969.00</b>
LANDMARK SPRINKLER, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #6 FIRE PROTECTION Project No. 746.5	\$ 49,980- 64,281	09/30/92	3	\$ 49,980.00
CHARLES E. JARRELL CONTRACTING CO., INC. EARTH CITY, MO	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #7 MECHANICAL/PLUMBING Project No. 746.5	\$ 1,507,000- 1,888,000	10/13/92	6	\$ 1,507,000.00
	Previous Change Orders #1-9				+ 68,052.15
<b>CHANGE ORDER #10</b>	1. Add a hot water connection to Anderson Hall's hot water heater from the heater to the hot water piping at the lower level corridor east of the west stairs. 2. Add three hose bibs in the high bay area to provide water to the trench system. Locate one east, west and south walls.		8/11/94		+ 982.00
Reason for Change:	1. Required to provide hot water to the building until ASTeCC hot water heater is on line. Also provides emergency backup for both buildings. 2. Required for proper function of the trench system.				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
CHARLES E. JARRELL (Continued)	Project No. 746.5				
<b>CHANGE ORDER #11</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project.		8/11/94		- 43,000.00
Reason for Change:	The University has been damaged by failure of the contractor to meet scheduled durations and completion dates. Liquidated Damages assessed per Article 8 of the Contract Documents Special Conditions.				
<b>CHANGE ORDER #12</b>	Add duct silencers and sound traps to various labs on 3rd and lower level. This will require some rerouting, offsets, reducers, etc., to provide the 8 silencers and 6 sound traps. Required for rooms C054, 057, 062, 072, 077, 026, 026A, 326A, 326, 340A/B, & 340.		8/11/94		+ 9,700.00
Reason for Change:	Noise levels from EF-3 in the exhaust system are unacceptable for the indicated rooms, no noise dampening equipment was required by the bid documents.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 1,542,734.15</b>
AMTECK OF KY, INC. LEXINGTON	CIVIL ENGINEERING BUILDING BID PACK #5 WORK CATEGORY #8 ELECTRICAL Project No. 746.5	\$ 115,000- 252,656	9/30/92	5	\$ 115,000.00
Previous Change Order #1-4					+ 12,290.90
<b>CHANGE ORDER #5</b>	<ol style="list-style-type: none"> <li>1. Revise the power and communication requirements to room C228. It requires deletion of power poles, added cables, added dual compartment is duct. Cable supplied and installed by UK Communications.</li> <li>2. Reroute the feeders to the peninsula cabinets in room C316 to come down the west wall in lieu of the open, east end of the table in three locations.</li> <li>3. Reroute existing Anderson Tower fire alarm serving the heat detector in the basement structures. Provide conduit and wire to complete this work.</li> </ol>		8/11/94		+ 3,866.00

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
AMTECK OF KY, INC. (Continued)	Project No. 746.5				
Reason for Change:	<ol style="list-style-type: none"> <li>1. Power and communication requirements need to correspond with the furniture placement. Furniture layout was not known at the time of document preparation.</li> <li>2. These tables had no covers required at the east end, the conduit would have been exposed to view and damage, and could have hindered operations in the room. This required additional conduit and wire from the junction boxes previously installed above ceiling.</li> <li>3. When the opening going into the south lab was cut the existing conduit serving this heat detector was interrupted. Amteck had to route new conduit from the detector in Anderson's mechanical room to the effected devise (80' feet to the west) in order to re-establish fire alarm to that area of Anderson. Concealed condition.</li> </ol>				
<b>CHANGE ORDER #6</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project.		8/11/94		- 14,000.00
Reason for Change:	The University has been damaged by failure of the contractor to meet scheduled durations and completion dates. Liquidated Damages assessed per Article 8 of the Contract Document Special Conditions.				
<b>CHANGE ORDER #7</b>	Add power relocation for the existing condensate pump in Anderson Hall Mechanical room which was relocated by other as part of this project. The unit has been moved 10' to the south from it's original position. Repower the unit from the same disconnect it was originally powered from and relocate all controls as part of this work.		8/11/94		+ 1,175.00
Reason for Change:	Electrical documents do not indicate the need to relocate this pump as required to install the new corridor on the lower level between Civil and Anderson Hall.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 118,331.90</b>

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
FRANK MESSER & SONS CINCINNATI, OH	MEDICAL RESEARCH EXPANSION SPACE Project No. 747.0	\$ 13,932,000- 15,170,000	2/10/93	7	\$ 13,932,000.00
	Previous Change Orders #1-21				+113,055.06
<b>CHANGE ORDER #22</b>	<ol style="list-style-type: none"> <li>1. Provide 75 degree sloped sills on all of the ledges within the elevator shafts per the elevator code.</li> <li>2. Revise the ceiling and ductwork in Vestibule 144. Revise the plan for the piping into Vestibule 101.</li> <li>3. Revise the building separation to meet wall type 2F (2 hour) separation.</li> </ol>		7/19/94		+ 5,580.00
Reason for Change:	<ol style="list-style-type: none"> <li>1. Requirement of the Kentucky Elevator Code which does not allow "flat" sills within an elevator shaft.</li> <li>2. The HVAC unit located above the ceiling in Vestibule 144 required more space than anticipated and thus required construction of a metal stud and gypsum board soffit across the Vestibule. Due to the full height glass window-wall at the perimeter of Vestibule 101, the ceiling-to-floor depth must be held to a minimum to prevent exposed building structure. This required construction of a metal stud and gypsum board soffit across the Vestibule so the ceiling adjacent to the window-wall could be raised to 11'-8".</li> <li>3. The existing masonry in the MS building at the Vestibule does not extend and seal to the deck above and a two (2) hour fire separation is required between the two buildings. The reason for the change at floors two, three and four is to tie the two hour separation back to the existing masonry.</li> </ol>				
<b>CHANGE ORDER #23</b>	Install and remove gravel, spread topsoil and seed the temporary gravel area for Animal Pathology.		7/20/94		+ 1,319.00
Reason for Change:	Due to construction of Animal Care Shell, the existing Animal Pathology parking lot was required for site access. Construction of gravel lot on east side of Animal Pathology was required for visitors to avoid conflict with construction.				
<b>CHANGE ORDER #24</b>	Replace the conduit and cable between the two existing light poles located on the east side of V.A. Drive.		8/18/94		+ 517.69

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
FRANK MESSER & SONS (Continued)	Project No. 747.0				
Reason for Change:	During the excavation for the steam line, the mechanical subcontractor tore up a section of concrete and discovered that the conduit was imbedded in the concrete and not below the concrete as is the case with the other light fixture.				
<b>CHANGE ORDER #25</b>	1) Provide twelve holes cored through the floor for relocation of sprinkler risers at the cold rooms. 2) Patch and repair the concrete foundation wall adjacent to door 001 and MRES loading dock. Patch shall be done with high strength concrete patch. Wall after patching shall be primed and painted. The color shall match the color of the precase on MRES.		8/26/94		+ 4,680.45
Reason for Change:	1) There was not enough room in the chase adjacent to the cold rooms where the contract documents call for the risers to be located. 2) Once the wall was uncovered, the concrete was in worse shape than assumed when the construction documents were prepared.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 14,057,152.20</b>
THERMAL BALANCE, INC. LEXINGTON	MEDICAL RESEARCH EXPANSION SPACE-TESTING, ADJUSTING & BALANCING Project No. 747.1	\$ 64,690- -0-	12/20/93	1	\$ 64,690.00
WOODFORD BUILDERS, INC. LEXINGTON	ANIMAL CARE SHELL MEDICAL RESEARCH Project No. 747.2	\$ 326,950- 464,300	4/04/94	4	\$326,950.00
<b>CHANGE ORDER #1</b>	In accordance with the Contractor's correspondence of May 9, 1994, and Staggs & Fisher correspondence of May 12, 1994, relocate the existing catch basin noted to remain per the Staggs & Fisher drawing.		8/15/94		+ 1,652.44
Reason for Change:	The reason for the change is due to a discrepancy in the actual field condition and the site survey. The catch basin, when field verified, is actually about one foot inside the new shell.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 328,602.44</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
FRANK MESSER & SONS CINCINNATI, OH	ANIMAL DIAGNOSTIC FACILITY Project No. 748.0	\$2,921,200- 2,948,200	10/19/92	4	\$ 2,921,200.00
	Previous Change Orders #1-27				+114,144.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 3,035,344.00</b>
GILPIN MASONRY LEXINGTON	LIVESTOCK DISEASE FACILITY EXTERIOR SIGNAGE AND CHIMNEY CAP Project No. 748.2	\$ 10,800- 10,800	4/11/94	1	\$ 10,800.00
<b>CHANGE ORDER #1</b>	Remove the existing precast chimney cap. Repair any brick damaged by the demolition operations. New stone cap is to be installed per plans and specifications furnished by architect. The time provided for completion of the contract is increased by (128) one hundred twenty-eight calendar days. The revised completion date is therefore November 13, 1994.		7/27/94		+ 5,200.00
Reason for Change:	New cap is to be installed to replace the severely deteriorated concrete cap. Existing cap poses a severe threat to the structure because of further deterioration.				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 16,000.00</b>
Z & J CONSTRUCTION, INC. LEXINGTON	MEMORIAL COL EXPANSION Project No. 752.0	\$ 159,465- 188,000	4/19/91	12	\$ 159,465.00
	Previous Change Orders #1-2				+ 8,752.15
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 168,217.15</b>
WOODFORD BUILDERS, INC. LEXINGTON	ANIMAL CARE SHELL A. B. CHANDLER MEDICAL CENTER Project No. 747.2	\$326,950- 464,300	4/04/94	4	\$ 326,950.00
CENTRAL KENTUCKY GLASS CO. LEXINGTON	RESIDENCE HALLS RENOVATIONS WINDOW REPLACEMENT Project No. 773.8	\$524,995 573,214	4/14/93	2	\$ 524,995.00
	Previous Change Order #1				+ 4,291.00
	<b>CONTRACTOR'S FINAL PAYMENT:</b>		<b>7/22/94</b>		<b>\$529,286.00</b>

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
GROT ELECTRIC, INC. LEXINGTON	HAGGIN & JEWELL HALL FIRE SPRINKLERS UNIVERSITY OF KENTUCKY Project No. 773.9	\$366,657- 420,000	5/06/94	2	\$ 366,657.00
<b>CHANGE ORDER #1</b>	<ol style="list-style-type: none"> <li>1. Installation of 4" gate valve with tamper switch and monitoring in Jewell Hall.</li> <li>2. Removal of insulation in fourth floor ceiling of Haggin Hall. Quantity to be 3852 s.f.</li> <li>3. Installation of R-30 insulation above fourth floor ceiling in Haggin Hall. Quantity to be 3852 s.f.</li> </ol>		7/29/94		+ 6,744.00
<b>Reason for Change:</b>	<ol style="list-style-type: none"> <li>1. No O.S. &amp; Y gate valve is shown on contract drawings.</li> <li>2. Upon removal of ceiling tile from the fourth floor, it was discovered to have R-30 insulation above.</li> <li>3. Replace R-30 insulation above fourth floor ceiling in Haggin Hall which was removed during demolition.</li> </ol>				
<b>CHANGE ORDER #2</b>	Install Audio/Visual units on separate circuits.		8/15/94		+ 2,784.00
<b>Reason for Change:</b>	Contract documents did not call for separate circuits. This will allow the fire department to silence the horns and allow the visuals to continue to flash.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 376,185.00</b>
LAGCO, INC. LEXINGTON	HOSPITAL NURSING UNIT HVAC MODIFICATION I Project No. 777.0	\$450,196- 618,700	3/16/92	5	\$ 450,196.00
Previous Change Orders #1-4					+ 4,530.99
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 454,726.99</b>
A-B ROOFING CO., INC. LEXINGTON	E.S. GOODE BARN ROOF REPLACEMENT Project No. 791.0	\$ 124,924- 191,680	09/03/91	6	\$ 124,924.00
Previous Change Order #1					- 313.08
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 124,610.92</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
THE ALLEN COMPANY, INC. WINCHESTER	COLDSTREAM FARM SITE REGRADE STREETS STORM & SANITARY SEWER SYSTEM Project No. 894.0	\$ 306,999- 364,615	8/09/93	2	\$ 306,999.00
	Previous Change Orders#1-3				+ 4,665.00
<b>CHANGE ORDER #4</b>	<ol style="list-style-type: none"> <li>1) Additional bituminous paving for leveling and wedging at Viley Road and Hughes entrance.</li> <li>2) Deduct for elimination of 1035 1.f. of permanent farm fence and 700 1.f. of temporary farm fence.</li> <li>3) Deduct for balance of contractor's allowance for removal of unsuitable material from street subgrade and replacement with suitable material from on-site source.</li> </ol>		08/26/94		- 6917.74
Reason for Change:	<ol style="list-style-type: none"> <li>1) To reconstruct super elevated section for existing Viley Road at Hughes to allow a smooth transition to the newly completed roadway. Super elevation in the existing section of Viley Road did not meet the super elevation rate requirements.</li> <li>2) Active farming of the area where these fences were to be located was discontinued; therefore, the fencing was not required.</li> <li>3) The contractor did not encounter unsuitable material; therefore, the complete amount of the allowance for this item is deleted from the contract.</li> </ol>				
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 304,746.26</b>
WOODFORD BUILDERS LEXINGTON	NURSING UNIT MODIFICATION RENOVATION OF 5 NORTH Project No. 896.0	\$ 389,000- 401,401	07/05/94	3	\$ 389,000.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 389,000.00</b>
NORWOOD CONST. CO., INC. LEXINGTON	MEDICAL CENTER CLINICAL PARKING LOT; FORESTRY BUILDING DEMOLITION Project No. 1425.0	\$ 139,994- 197,470	7/20/92	2	\$ 139,994.00
	Previous Change Order #1				- 9,277.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 130,717.00</b>



## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
CRI, INC. LOUISVILLE	PRESTONSBURG COMM COLLEGE JOHNSON BUILDING CHILLER REPLACEMENT Project No. 1428.0	\$ 63,950- 90,629	11/16/92	6	\$ 63,950.00
	Previous Change Orders #1-2				+ 4,150.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 68,100.00</b>
FEESE MECHANICAL CONTR. LOUISVILLE	JEFFERSON C.C. (DOWNTOWN) BROADWAY BUILDING BOILER REPLACEMENT Project No. 1429.0	\$ 32,280- 49,994	6/30/93	5	\$ 32,280.00
	Previous Change Order #1				+ 1,187.06
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 33,467.06</b>
A & K CONSTRUCTION COMPANY, INC. PADUCAH	PADUCAH COMM. COLLEGE WALLER & ROSENTHAL HALLS RENOVATION Project No. 1433.0	\$ 352,754- 353,175	11/04/93	2	\$352,754.00
	Previous Change Orders #1-3				- 1,966.00
	<b>Contractor's Revised Contract Amount To Date:</b>				<b>\$ 350,788.00</b>
BROWN & KERR, INC. SHEPHERDSVILLE	HARTFORD BUILDING ROOF REPLACEMENT Project No. 1435.0	\$ 70,100- 108,600	7/19/93	4	\$ 70,100.00
	Previous Change Order #1				- 1,260.00
	<b>CONTRACTOR'S FINAL PAYMENT:</b>		<b>3 / 2 4 / 9 4 *</b>		<b>\$ 68,840.00</b>
CAMBRIDGE CONSTRUCTION LOUISVILLE	JEFFERSON C. C. DOWNTOWN BROADWAY BUILDING FIRST FLOOR RENOVATION Project No. 1436.0	\$ 202,844- 328,500	2/21/94	9	\$ 202,844.00
	Previous Change Orders #1-4				+ 4,326.00
	<b>CHANGE ORDER #5</b> Provide labor and material to raise the sprinkler drain line.		<b>8/03/94</b>		<b>+ 3,997.00</b>

\*Not on last Quarterly Report.

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
CAMBRIDGE CONSTRUCTION (Continued)	Project No. 1436.0				
Reason for Change:	To allow new ceiling to be installed at specified height. This interference was not known until the old ceiling was removed.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 211,167.00</b>
ENVIRONMENTAL ABATEMENT, INC. HENDERSONVILLE, TN	MEDICAL CENTER ASBESTOS REMOVAL, ROOM H-27 Project No. 1439.0	\$ 83,808-182,000	6/20/94	3	\$ 83,808.00
ENVIRONMENTAL ABATEMENT, INC. HENDERSONVILLE, TN	MEDICAL CENTER ASBESTOS REMOVAL, ROOM H-14 Project No. 1440.0	\$ 78,340-86,940	7/04/94	3	\$ 78,340.00
B & B BUILDERS WINCHESTER	DONOVAN HALL RESTROOM RENOVATIONS Project No. 1448.0	\$ 56,810-73,800	4/28/93	8	\$ 56,810.00
Previous Change Order #1					+ 371.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 57,181.00</b>
HIBBITS CONSTRUCTION, INC. LONDON	ASBESTOS ABATEMENT THIRD FLOOR VACATED LABOR & DELIVERY AREA Project No. 1449.0	\$ 7,650-17,966	7/05/94	6	\$ 7,650
<b>CHANGE ORDER #1</b>	Please change the account number from 7-14960-6110 to 7-14490-6110.		8/15/94		+ 0
Reason for Change:	Project #1496.0 was combined with Project #1449.0. This expanded Project #1449.0 is known as Endoscopy/Angiography Suite. Since these two projects are combined into Project #1449.0, the account number used must be the one that corresponds with Project #1449.0.				
<b>CHANGE ORDER #2</b>	Exhaust air past fresh air intake an additional 125 L.F. from present work area. Prep entire area with plastic and wood barriers. Use one extra negative air machine. Add floor tile and mastic removal in 309A and 313 and under the cabinets in 306 and 308.		8/23/94		+1,907.53

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
HIBBITS CONSTRUCTION, INC. (Continued)	Project No. 1449.0				
Reason for Change:	The drawings show a limit to the work area which does not include the hallway where the air flow passes to exit the building. The routing location for air is 120 feet out of the boundary of this project area. The location plan report from David Tyler did not show asbestos containing adhesive in Room HD309A and HD313. The tile under the cabinets was observed by contractors at the pre-bid. This was not a mandatory pre-bid and Hibbits did not attend.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 7,650.00</b>
*NEW VISIONS CONST. CO., INC. LEXINGTON	GREG PAGE RETENTION POND Project No. 1457.0	\$ 74,056- 139,800	3/08/93	9	\$ 74,056.00
Previous Change Orders #1-2					+ 2,908.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 76,964.00</b>
*Bond Holder completed the project because of non-performance of contractor.					
ROBERTS ENTERPRISES, INC. WEST SOMERSET, KY	SOUTHEAST COMM COLLEGE NEWMAN HALL RENOVATION Project No. 1463.0	\$ 278,575- 314,733	7/05/94	3	\$ 278,575.00
D.W. WILBURN, INC. LEXINGTON	MILLER HALL RENOVATION UNIVERSITY OF KENTUCKY Project No. 1465.0	\$2,001,000- 2,528,000	10/13/93	7	\$ 2,001,000.00
Previous Change Orders#1-3					+ 30,825.00
<b>CHANGE ORDER #4</b>	1. Furr out existing stone wall with metal studs and plaster, and paint over the finished plaster; Relocate concrete walls and door connecting the tunnel to Mechanical Room 002; Relocate 6" main water line. 2. Provide steel support system for glass block located in stairwell; Change from tool dogged exit devices to key dogged devices; Provide VCT flooring in Rooms 002, 007, 007A, 007B, and 101 at unit price cost of \$1.00/sf.		8/05/94		+19,305.40

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
D. W. WILBURN, INC. (Continued)	Project No. 1465.0				
Reason for Change:	<ol style="list-style-type: none"> <li>Existing stone wall was concealed behind wood paneling. It was anticipated the existing wall was a plaster wall behind the paneling; The basement of Miller Hall was not located in the same proximity to the Classroom Building tunnel as anticipated, requiring the connector to be relocated; The existing water line was not located where indicated on available site plans.</li> <li>Required for structural support for glass block wall in stairwell; To maintain building security, it is necessary for exit devices to be key controlled rather than tool dogged. This is a UK Standard that was not included in the design phase; Required for protection of floor leveling system exposed to high/abrasive traffic.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 2,051,130.40</b>
SHERROD CONSTRUCTION COMPANY WINCHESTER	ADMINISTRATION BUILDING ACCESSIBILITY RENOVATION Project No. 1468.0	\$262,203-265,300	2/17/94	4	\$ 262,203.00
<b>CHANGE ORDER #1</b>	Replace existing storm line, relocate vent/waterline and sealed 2" pipe; Rework men's restroom in basement due to actual location of elevator shaft; Masonry repair due to unforeseen conditions; reinforce wood beam in basement restroom; Removal of 2" dirt floor on 2nd & 3rd floors; Electrical rework of existing conduits and wiring.		7/20/94		+ 6,692.00
Reason for Change:	Concealed conditions. Exposed during demolition on-site.				
<b>CHANGE ORDER #2</b>	<ol style="list-style-type: none"> <li>Change of hoistway elevator door on all floors from baked enamel to stainless steel.</li> <li>Replace Compressor No. 7.</li> <li>Provide steel beams, floor joists, and plywood deck.</li> </ol>		8/18/94		+ 20,033.25
Reason for Change:	<ol style="list-style-type: none"> <li>Due to the fact that the new elevator would have to serve as a service elevator as well as a passenger elevator, the baked enamel finish would not be as durable as stainless steel. The stainless steel would be better able to withstand wear and tear from movement of furniture, maintenance and cleaning equipment. The baked enamel finish would show scratches and it would be very difficult to repaint or refinish the hoistway doors, if they were scratched or damaged.</li> </ol>				

**II. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>BID RANGE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>CONTRACT PRICE</u>
SHERROD CONSTRUCTION (Continued)	Project No. 1468.0				
Reason for Change:	<ul style="list-style-type: none"> <li>2. The 20 year old unit was failing. Tests determined that the fan coil and condenser/compressor were bad. The manufacturer did not offer a new condensing unit that remained compatible with the fan coil inside. The most cost efficient solution was to replace the compressor rather than replace the fan coil and the condenser/compressor at a minimum of three times the cost.</li> <li>3. This additional work was required to make all portions of the third floor accessible to the disabled. This makes restrooms and the elevator accessible for all users. Also, this completes the second egress route on one level; stairs are no longer required to reach a second means of egress from the third floor.</li> </ul>				
<b>CHANGE ORDER #3</b>	<ul style="list-style-type: none"> <li>1. Relocate, replace, modify ductwork: Exist- 8/25/94 ing ductwork had to be relocated to make room for one of the new columns and the beam on the east side. This involved removing the existing ductwork, modifying it's configuration, shortening one section and adding new flex duct over to the existing diffusers.</li> <li>2. Replace condensate drain pan: A drain pan under an existing fan coil which is in ceiling space over the relocated printers, was corroded and leaking.</li> <li>3. Additional drywall for fire-rating requirements; change to handicapped drink fountain; fire alarm and smoke detector changes.</li> </ul>				+ 5,159.00
Reason for Change:	<ul style="list-style-type: none"> <li>1. Because of the existing conditions in the third floor space, it was very difficult for the consultants to verify the location of the columns and the beam prior to the start.</li> <li>2. There was not any other method that would guarantee the safety of the printers, and to make sure that the ceilings would not be stained in the future.</li> <li>3. Code deficiencies required by the Building Inspector- Architectural/Mechanical/Electrical.</li> </ul>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 294,087.25</b>
BLUEGRASS SPRINKLER COMPANY, INC. LOUISVILLE	JEFFERSON COMM. COLLEGE HARTFORD BUILDING & LIBRARY SPRINKLERS Project No. 1469.0	\$250,453- 323,558	12/23/93	4	\$ 250,453.00

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
SPRINKLER SYSTEMS, INC. EVANSVILLE, INDIANA	HOPKINSVILLE COMM. COLLEGE FIRE SPRINKLERS FOR ACADEMIC & ADMINISTRATION BUILDING Project No. 1470.0	\$208,266- 393,650	1/12/94	4	\$ 208,266.00
WOODFORD BUILDERS, INC. LEXINGTON	SCOVELL HALL RENOVATION Project No. 1474.0	\$ 1,557,055- 1,745,550	9/01/94	5	\$ 1,557,055.00
HIBBITTS CONSTRUCTION, INC. LONDON, KY	SCOVELL HALL ASBESTOS ABATEMENT Project No. 1474.1	\$213,856.67 276,000.00	12/20/93	4	\$ 213,856.67
	Previous Change Orders #1-3				+ 162.76
<b>CHANGE ORDER #4</b>	Upon removal of the basement corridor ceiling in the middle wing it was discovered that some electrical conduit attached to the corridor ceiling had junction boxes without coverplates. Covers were only installed in the corridor areas to remain and not in the areas to be demolished as part of a future project per an agreement with the electrical inspector.		8/05/94		+ 353.95
Reason for Change:	The National Electrical Code requires junction box covers on each box containing wires.				
<b>CHANGE ORDER #5</b>	Contractor is being charged "Liquidated Damages" due to failure to meet scheduled durations and completion dates resulting in a delay to the project. Additionally there were overtime charges/additional tests from AMI.		8/05/94		- 7,331.54
Reason for Change:	The University was damaged by failure of the Contractor to meet the scheduled substantial completion date. Damages include additional fees owed to the A/E Consultant and UK's Project Manager Time. Liquidated Damages are per General Conditions - Article 29 of the Specifications. Additional testing costs are per Section 01400 - Testing of the Specifications.				
<b>CONTRACTOR'S FINAL PAYMENT:</b>			<b>9/08/94</b>		<b>\$ 207,041.84</b>
THE ALLEN COMPANY, INC. WINCHESTER	COMMONWEALTH STADIUM YELLOWLOT Project No. 1479.0	\$229,825- 273,150	3/21/94	5	\$ 229,825.00
	Previous Change Orders #1-2				+ 8,895.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 238,720.00</b>

**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
E.C. MATTHEWS CO., INC. LEXINGTON	AMBULANCE CANOPY/EXHAUST UNIVERSITY HOSPITAL UNIVERSITY OF KENTUCKY Project No. 1481.0	\$47,400- 68,800	1/31/94	2	\$ 47,400.00
GRIFFITH PLUMBING AND HEATING JACKSON	HAZARD COMMUNITY COLLEGE PHASE I CHILLER REPLACEMENT Project No. 1482.0	\$103,000- 158,680	12/20/93	7	\$ 103,000.00
HAZEX CONSTRUCTION COMPANY, INC. HENDERSON	HENDERSON COMMUNITY COLLEGE ADDITIONAL PARKING LOT Project No. 1483.0	\$146,335- 218,842	5/09/94	4	\$ 146,335.00
M.B. MCGREGOR COMPANY LEXINGTON	HOPKINSVILLE COMM. COLLEGE CHEMISTRY LAB & STORE ROOM RENOVATION Project No. 1486.0	\$76,197- 82,000	2/15/94	3	\$ 76,197.00
	Previous Change Order #1				+ 1,035.00
	<b>CHANGE ORDER #2</b>				+ 621.29
	<ol style="list-style-type: none"> <li>1. Relocate one row of existing light fixtures in Chemistry Lab Room 119 in the Academic Building.</li> <li>2. Supply a new floor supply grill in the Chemistry Room.</li> <li>3. Reinstallation of a cabinet and sink which was not originally planned to move from the Storage area.</li> </ol>		7/20/94		
	<b>Reason for Change:</b>				
	<ol style="list-style-type: none"> <li>1. In the mechanical plans the location of the new ductwork for the fume hoods conflicts with existing light fixtures. In order for the ductwork to be placed correctly, it was necessary to move the entire light fixture approximately 10 inches.</li> <li>2. As a result of the demolition of the raised demonstration area it was discovered that the supply duct adjacent to the raised area actually extended underneath the demonstration area. The new opening measured and fitted for 6"x30" aluminum faced dull finish supply grill to match the existing.</li> <li>3. Required removal of a cabinet and sink for the Chemistry Storage room for the asbestos abatement. The maintenance department removed the sink which was originally not supposed to come out.</li> </ol>				
	<b>CONTRACTOR'S FINAL PAYMENT:</b>		<b>9 / 12 / 94</b>		<b>\$ 77,853.29</b>

## II. CONSTRUCTION CONTRACTS

CONTRACTOR	PROJECT	BID RANGE	AWARD DATE	NO. OF BIDDERS	CONTRACT PRICE
EUBANK & STEEL CONSTRUCTION CO. LEXINGTON	DEVELOPMENT BUILDING ADDITION UNIVERSITY OF KENTUCKY Project No. 1488.0	\$400,650- 454,500	5/06/94	7	\$400,650.00
<b>CHANGE ORDER #1</b>	<ol style="list-style-type: none"> <li>1. Removal of eight trees at site demolition.</li> <li>2. Removal of additional rock encountered during drilling for caissons.</li> <li>3. Install fire-rated ceiling and rework ceiling where ceiling above corridor is to be used as return air plenum; Fire dampers as above; Rework existing handrail and install additional rails.</li> </ol>		7/20/94		+ 4,635.00
Reason for Change:	<ol style="list-style-type: none"> <li>1. University Physical Plant Division was scheduled to remove these trees, but was unable to do the work.</li> <li>2. Concealed conditions.</li> <li>3. Code upgrade requested by Kentucky Housing, Building and Construction.</li> </ol>				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 405,285.00</b>
EUBANK & STEELE CONSTRUCTION COMPANY LEXINGTON	DENTAL CLINIC LABS & OFFICE RENOVATION Project No. 1489.0	\$114,250- \$145,380	12/7/93	7	\$114,250.00
	Previous Change Order #1				+ 1,045.00
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 115,295.00</b>
RADCO ASBESTOS SPECIALISTS, INC. LOUISVILLE	DONOVAN HALL ASBESTOS ABATEMENT Project No. 1492.0	\$119,650- 159,400	4/14/94	9	\$119,650.00
ENVIRONMENTAL ABATEMENT, INC. HENDERSONVILLE, TN	ELIZABETHTOWN COMM COLLEGE SCIENCE/STUDENT CENTER ASBESTOS ABATEMENT Project No. 1493.0	\$153,058- 235,777	5/16/94	7	\$153,058.00
D-C ELEVATOR COMPANY, INC. LEXINGTON	KIRWAN/BLANDING ELEVATOR RENOVATION Project No. 1501.0	\$73,908- 101,736	5/02/94	4	\$ 73,908.00
<b>CHANGE ORDER #1</b>	Add plastic sight guards to leading edge of car door on transmitter side.		7/20/94		+ 524.00



**II. CONSTRUCTION CONTRACTS**

<b>CONTRACTOR</b>	<b>PROJECT</b>	<b>BID RANGE</b>	<b>AWARD DATE</b>	<b>NO. OF BIDDERS</b>	<b>CONTRACT PRICE</b>
D-C ELEVATOR COMPANY (Continued)	Project No. 1501.0				
Reason for Change:	When the existing rubber safety edge on each car door was removed to install the new light screen door control, it left a space between the car door and the hall door approximately 3-1/2" wide. This gives access to the void between the doors that could attract debris that could interfere with the operation of the doors. This sight guard will block access to this space.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 74,432.00</b>
SPARKS-TERRELL LUMBER CO. LEXINGTON	NEUROLOGY OFFICE RENOVATION Project No. 1502.0	\$ 105,105- 119,244	7/05/94	5	\$ 105,105.00
P & R ROOFING AND SHEET METAL, INC. LEXINGTON	HOSPITAL PATIENT CARE ROOF REPLACEMENT Project No. 1503.0	\$ 117,000- 162,400	9/19/94	3	\$ 117,000.00
EUBANK & STEELE CONSTRUCTION CO. LEXINGTON	DENTAL CLINIC LAB & OFFICE RENOVATION, FIRST FLOOR Project No. 1513.0	\$85,000.67- 99,884.00	6/15/94	4	\$ 85,000.67
SPARKS-TERRELL LUMBER CO. LEXINGTON	STUDENT PREP LAB RENOVATION Project No. 1516.0	\$55,555- 68,840	7/20/94	4	\$ 55,555.00
<b>CHANGE ORDER #1</b>	Credit Owner for duplication of painting estimate.		8/15/94		- 2,800.00
Reason for Change:	Painting Subcontractor made an error in estimating painting existing cabinets. Contractor offers this credit back.				
<b>Contractor's Revised Contract Amount To Date:</b>					<b>\$ 52,755.55</b>
THE ALLEN COMPANY, INC. WINCHESTER	YELLOW LOT PAVING Project No. 1520.0	\$ 120,995- 138,000	7/05/94	5	\$ 120,995
<b>CONTRACTOR'S FINAL PAYMENT:</b>			<b>9/29/94</b>		<b>\$ 120,995.00</b>

Office of the President  
October 25, 1994

FCR 6

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Gerald P. Huffman, Serial No. 08/282,669, filed July 29, 1994.

Background: Gerald P. Huffman has invented certain improvements in LIQUEFACTION OF WASTE PLASTICS AND COLIQUFACTION OF WASTE PLASTICS AND COAL. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: GERALD P. HUFFMAN

Serial Number: 08/282,669

Filed: JULY 29, 1994

For: LIQUEFACTION OF WASTE PLASTICS AND COLIQUEFACTION OF WASTE PLASTICS AND COAL ; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 25<sup>th</sup> day of October, 1994.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Breathitt

ATTEST:

Lois Weinberg  
Secretary, Board of Trustees

Office of the President  
October 25, 1994

FCR 7

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Bradley B. Hill, Serial No. (to be assigned), filed September 23, 1994.

Background: Bradley B. Hill has invented certain improvements in TENDON RETRIEVAL ENDOSCOPE. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

**ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: **BRADLEY B. HILL**

Serial Number: 08/311,693

Filed: **SEPTEMBER 23, 1994**

For: **METHOD OF TENDON REPAIR ;** and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY

OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this \_\_\_\_\_ day of \_\_\_\_\_, 1994.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

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S E A L

ATTEST:

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Secretary, Board of Trustees

Office of the President  
October 25, 1994

FCR 8

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: David A. Pienkowski, Serial No. (to be assigned), filed July 19, 1994.

Background: David A. Pienkowski has invented certain improvements in METHOD FOR REDUCING THE GENERATION OF WEAR PARTICULATES FROM AN IMPLANT. He has assigned his rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

\_\_\_\_\_  
Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994



ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: DAVID PIENKOWSKI

Serial Number: CONCURRENTLY HEREWITH

Filed: JULY 19, 1994

For: METHOD FOR REDUCING THE GENERATION OF WEAR PARTICULATES FROM AN IMPLANT; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 25<sup>th</sup> day of October, 1994.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Beethitt

S E A L

ATTEST:

Lisa Chalmers  
Secretary, Board of Trustees

Office of the President  
October 25, 1994

FCR 9

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Radovan (NMI) Kovacevic and Yu Ming Zhang, Serial No. (to be assigned), filed (to be filed).

Background: Radovan (NMI) Kovacevic and Yu Ming Zhang have invented certain improvements in APPARATUS AND METHOD FOR MEASURING 3-D WELD POOL SHAPE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

**ASSIGNMENT**

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: **RADOVAN (NMI) KOVACEVIC AND YU MING ZHANG**

Serial Number:

Filed:

For: **APPARATUS AND METHOD FOR MEASURING 3-D WELD POOL SHAPE;**

and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 25<sup>th</sup> day of October, 1994.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Benthitt

S E A L

ATTEST:

Leis Weinberg  
Secretary, Board of Trustees

Office of the President  
October 25, 1994

FCR 10

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: Gerald P. Huffman, Jianmin Zhao and Zhen Feng, Serial No. (to be assigned), filed September 30, 1994.

Background: Gerald P. Huffman, Jianmin Zhao and Zhen Feng, have invented certain improvements in BINARY FERRIHYDRITE CATALYSTS. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

\_\_\_\_\_  
Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: October 25, 1994

ASSIGNMENT

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: GERALD P. HUFFMAN; JIANMIN (NMI) ZHAO; ZHEN (NMI)  
FENG

Serial Number:

Filed: SEPTEMBER 30, 1994

For: BINARY FERRIHYDRITE CATALYSTS; and

WHEREAS, THE UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and the invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications, as aforesaid, to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title

and interest, any and all Letters Patent for said invention including any and Letters Patent of the United States which may be issued or granted on or as a result of the application hereinbefore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 25<sup>th</sup> day of October, 1994.

THE BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

Edward T. Beathitt

ATTEST:

Lois A. Weinberg  
Secretary, Board of Trustees



Office of the President  
October 25, 1994

**FCR 11**

Members, Board of Trustees:

PATENT ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation the following Letters Patent of the United States and the invention represented thereby: George A. Digenis and Alexander G. Digenis, Serial No. 08/106,948, filed August 17, 1993.

Background: George A. Digenis and Alexander G. Digenis have invented certain improvements in NOVEL CONTRACEPTIVE WITH POTENT ANTI-HIV PROPERTIES. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

## A S S I G N M E N T

WHEREAS BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment recorded October 14, 1993 at Reel 6732, Frames 332-334, of the following application for Letters Patent of the United States and the invention represented thereby:

Inventors: George A. Digenis and Alexander G. Digenis  
Serial No.: 08/106,948  
Filed: August 17, 1993

WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky, and having a place of business in Lexington, Kentucky is desirous of acquiring the entire right, title and interest in and to said invention and in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY sells, assigns, transfers and sets over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to the aforesaid application and invention thereof, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries, including all foreign rights and priority rights, the same to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Letters Patent may be granted, reissued, or extended as fully as the same would have been held and enjoyed by said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale or transfer not been made; and said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, as assignee of the entire right, title and interest in any and all Letters Patent of the United States which may be issued or granted including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, on or as a result of the application heretofore identified, in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.

IN TESTIMONY WHEREOF, BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the Chairman thereunto duly authorized, and its seal to be affixed and attested this 25<sup>th</sup> day of October, 1990.

BOARD OF TRUSTEES OF THE  
UNIVERSITY OF KENTUCKY

S E A L

Edward T. Brantlett  
Chairman

ATTEST:

Kris C. Winkler  
Secretary, Board of Trustees

Office of the President  
October 25, 1994

FCR 12

Members, Board of Trustees:

ALLIANT COMMUNITY TRUST FUND CONTRIBUTION

Recommendation: that the Board of Trustees accept a pledge of \$125,000 from Alliant Community Trust Fund of Louisville, Kentucky, on behalf of Alliant Health Care Systems to the College of Allied Health Professions.

Background: The University of Kentucky has received a pledge of \$125,000 designated for the College of Allied Health Professions building fund. The first pledge payment of \$62,500 has been received and the balance will be paid in 1995.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

FCR 13

Members, Board of Trustees:

PAULINE B. CROSBY BEQUEST AND SCHOLARSHIP FUND QUASI-ENDOWMENT

Recommendation: that the Board of Trustees accept a distribution of \$231,549.63 from the Estate of Pauline B. Crosby and approve quasi-endowment of the funds to establish the Irvine H. and Pauline B. Crosby Scholarship Fund in the College of Medicine.

Background: At the time of her death on October 10, 1993, Pauline Crosby was a resident of Louisville, Kentucky. Prior to retirement, approximately 16 years ago, Mrs. Crosby was employed as a teacher in Madison County, Kentucky. Her husband, Irvine H. Crosby, who died in 1991, was a graduate of the UK College of Agriculture and College of Education. The bequest is designated for medical student scholarships, which will be funded by the income from the quasi-endowment.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

FCR 14

Members, Board of Trustees:

RJR NABISCO FOUNDATION CONTRIBUTION

Recommendation: that the Board of Trustees accept a gift of \$118,100 from the RJR Nabisco Foundation to the College of Agriculture.

Background: The University of Kentucky has received a gift of \$118,100 to be divided among tobacco research, extension and education programs in the College of Agriculture. RJR Nabisco's continued support has played a major role in the success of the University's outstanding tobacco-related programs.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994

Office of the President  
October 25, 1994

FCR 15

Members, Board of Trustees:

CONSTANCE M. SLONE  
BEQUEST AND SCHOLARSHIP FUND QUASI-ENDOWMENT

Recommendation: that the Board of Trustees accept a distribution of \$102,667 from the Estate of Constance M. Slone and approve quasi-endowment of the funds to establish the Earl F. Slone Scholarship Fund in the College of Pharmacy.

Background: At the time of her death on May 27, 1993, Constance Slone was a resident of Lexington, Kentucky. She was born in Louisville, Kentucky and was a long-term employee of Southern Bell Telephone Company. She was the widow of Earl F. Slone, dean of the UK College of Pharmacy from 1946-66. He retired from the college as Professor Emeritus in July, 1973. Professor Slone was instrumental in bringing about the affiliation of the free-standing state-chartered Louisville College of Pharmacy with the University of Kentucky in 1957.

Income from the quasi-endowment will be used for scholarships for needy and scholarly students in the College of Pharmacy.

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Action taken: Approved  Disapproved  Other

Date: October 25, 1994