

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, October 12, 2010.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, October 12, 2010 in the Board Room on the 18<sup>th</sup> Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board, called the meeting to order at 1:06 p.m. He asked Ms. Pam May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: E. Britt Brockman (chair), Sheila Brothers, Penelope Brown, Jo Hern Curris, William S. Farish, Jr, Oliver Keith Gannon, Carol Martin "Bill" Gatton, Pamela T. May, Everett McCorvey, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Joe Peek, Erwin Roberts, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, and Barbara Young. Absent from the meeting was Dermontti Dawson. Ms. May announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty was represented by Chair of the University Senate Council Hollie Swanson, and the university staff was represented by Chair of the Staff Senate Jann Burks.

Members of the various news media were also in attendance.

C. Approval of Minutes

Dr. Brockman called attention to the September 14, 2010 minutes and asked for a motion for approval. Mr. Stuckert moved approval, and his motion was seconded by Ms. Brothers and passed without dissent.

D. Chair's Report: Presidential Search Schedule

Dr. Brockman stated that the presidential search has weighed heavily on everyone's mind, and it will undoubtedly be the most significant event that the Board participates in this year. He expressed his appreciation to the Student Government Association, the Alumni Association, the faculty senate, and the staff senate for working diligently on the nomination process. He also acknowledged that all of the twenty Board members were very qualified to be on this committee but stated that he was constrained to pick only six.

He announced that an office for the Presidential Search Committee has been secured at the Helen G. King Alumni House.

There will be a Board of Trustees retreat on October 24 at Spindletop Hall, the purpose of which is to better understand the search process and to establish parameters and directives for the search committee. The request for proposal (RFP) for the presidential search firm went out on September 29 with the RFP proposal due date specified as October 22. The evaluation of proposals should be completed by November 5, potential consultant presentations are to occur the week of November 15, and tentatively the contract will be awarded to a search firm on December 1, 2010.

Candidates and selected individuals for the search committee positions were announced. Student Government Association (SGA) had 117 applications for the one graduate student and one undergraduate student position on the committee. SGA advertised these positions through all campus emails, the SGA web site, and the *Kentucky Kernel*. Each applicant submitted a curriculum vita as well as three short essays, and each of these was reviewed. This process was under the auspices of Chief of Staff of the SGA Joseph P. Quinn who, with his group, did a magnificent job. The three undergraduate nominees submitted were Taylor Cox, Mary Ashley Lovelace, and Christopher Yared. Taylor Cox was selected. Mr. Cox was acknowledged in the audience with applause.

Four graduate students were nominated: Andres Trimble, Ryan Smith, Matthew Longacre, and Christie Lundsford. The search committee participant will be Ryan Smith, who is a two-term SGA president who sits on the Board of Trustees currently. Mr. Smith was also acknowledged with applause.

From the Alumni Association, three names were submitted: Myra Tobin, Elaine Wilson, and Scott Davis. Myra Tobin was selected. Ms. Tobin is a past Board of Trustees member and a resident of Hardinsburg, Kentucky.

The faculty selected three representatives. These representatives are Dr. Sheldon Steiner, Department of Biology, College of Arts and Sciences; Dr. Holly Swanson, Department of Pharmacology, College of Medicine; and Dr. Lee Meyer, Department of Agricultural Economics, College of Agriculture.

The Staff Senate's representative has not yet been selected because of technical problems in their election process. Staff Senate's representative will join the committee in progress when the nominees are forwarded to Dr. Brockman and a selection is made.

Dr. Brockman selected six people from the Board of Trustees. The chairman of the search committee will be James W. Stuckert. The five committee members in addition to Mr. Stuckert will be Jo Hern Curriss, Pamela May, Everett McCorvey, Billy Joe Miles, and Terry Mobley.

In his role as Chair of the Board, Dr. Brockman will attend the search committee meetings as a nonvoting ex officio member.

Dr. Brockman then explained the timeline to the search committee, stating that the schedule is ambitious. The tentative timeline is as follows:



- December 1, search firm selection is finalized.
- Between December 1 and January 1, national advertising of position.
- In February, the committee will forward recommendations of semifinalists to the search firm for targeted review.
- In March, off-campus interviews of the semifinalists.
- In April, determination of three to five finalists, off-campus interviews of the finalists, and on-campus interviews of the finalists.
- May 1 is the extend-offer date.

Dr. Brockman expressed that despite the ambitiousness of the schedule, he is sure Mr. Stuckert and the committee will do an excellent job.

E. President's Report (PR 1)

President Todd commented on the following items in PR 1:

New Supercomputer Puts UK in Top 10 Public Universities for Computing Power

Information Technology has successfully installed a new supercomputer which puts it in the top 10 public universities for computing power. The supercomputer cluster is named in honor of UK alumnus and Nobel Laureate William N. Lipscomb, Jr., and is three times more powerful than UK's old supercomputing cluster.

\$2.2 Million in Grants Fund KGS Carbon Sequestration, Geothermal Data Project

Grant money in the amount of \$2.2 million has been received, from American Recovery and Reinvestment Act funding, by the Kentucky Geological Survey (KGS) for two projects to study carbon sequestration and geothermal issues. President Todd announced that Kentucky has now received over \$100 million worth of the so-called stimulus funds. He acknowledged that the faculty and staff were aggressively present in the proposal process for those dollars. Competition was fierce, involving both public and private institutions across the United States. He is extremely pleased with their efforts.

UK Helps Make World Equestrian Games a Success

President Todd praised the university's participation in the 2010 World Equestrian Games at the Kentucky Horse Park. He thanked specifically Dr. Everett McCorvey, whose responsibilities were for the opening and closing ceremonies as well as for many other performance aspects during the games. UK HealthCare was the medical provider for the games and contributed an especially important role in saving the life of an attendee who had a heart attack after the opening ceremonies. The College of Agriculture played a major role in

numerous areas of the games. Horticulture saved the day by furnishing \$40,000 worth of plants to decorate the grounds after the WEG budget ran out. Foreign language translation assistance was provided by a number of faculty. The UK Solar House sat front and center as a welcoming area for the Lexington Chamber of Commerce. Ms. Kelley Bozeman of Public Relations was involved in the conduct of the UK pavilion which gave the University excellent exposure, especially for its equine program. President Todd also commented on the extensive variety of foreign visitors to the games.

#### Equine Drug Testing and Research Lab to Move to Coldstream and Biotechnology Company Relocates to UK's Coldstream Research Campus

Two new companies have chosen to locate at the Coldstream Farm Research Campus. One is in equine testing, and the other has developed a tissue that can repair damaged disks. The president expects that these types of additions will grow and will produce competitive jobs for the community and region.

#### \$1.8 Million Goes to Seven High-Tech Small Businesses; Five Have UK Ties

Five small high-tech businesses with UK affiliations received large state matching grants. The state of Kentucky is the only state that matches the small business innovation grants given by the federal government. In orthopedics (above), the biotechnology company received a half million dollars as an SBIR grant, and the state of Kentucky matched it. President Todd stated that this was one reason the company relocated here from Austin, Texas. Five of those seven companies use technology which has ties to UK. The companies are: Orthopedics/Intralink (relocated to Coldstream), nGimat (working with College of Engineering and CAER), 3H Company (ASTeCC, moving to Coldstream), Vindico NanoBioTechnology (ASTeCC), and Advanced Dynamics (Coldstream).

#### Student's Astronaut Scholarship Foundation Award Makes \$111,000 for UK Students

Edward Brady Doepke, a graduate student in mechanical engineering, was awarded a \$10,000 scholarship from the Astronaut Scholarship Foundation (ASF) during a ceremony held September 8 at UK. More than \$3 million has been awarded in scholarships to date with \$111,000 to UK students alone.

#### Center for Applied Energy Research Part of \$12.5 Million U.S.-China Consortium

UK's Center for Applied Energy Research (CAER) will participate in a consortium under the U.S.-China Clean Energy Research Center (CERC) that was announced by the U.S. Department of Energy recently. The consortium will use \$12.5 million from the Department of Energy to focus on the next generation of clean coal technologies and will develop and test new technologies for carbon capture and storage.

### Pulitzer Winner Donates \$10,000 to Institute for Rural Journalism

Pulitzer Prize-winning journalist Daniel Gilbert recently donated \$10,000 to fund fellowships for rural journalists through the University of Kentucky's Institute for Rural Journalism and Community Issues. The donation, which Gilbert won as a cash prize from a National Journalism Award, is meant to help journalists attend Computer-Assisting Reporting training. President Todd said that he felt that this donation by Mr. Gilbert is a testament to the program started by Al Smith of "Comment on Kentucky" fame.

### College of Medicine to Launch Murray Site

The UK College of Medicine recently announced that it is close to completing a formal agreement with Murray State University to launch a regional site in the Purchase Area. President Todd feels that this is extremely important for medical practice throughout Kentucky. This will be the second site – the first being in Morehead, Ky. – of the UK Rural Physician Leadership Program, which aims to recruit, train, and retain future physicians in the state's medically underserved rural areas.

### Department of Theatre Begins 100th Season with Festival and College of Medicine Celebrates 50th Year

President Todd stated that the University will host several celebrations soon. One of these is the 100<sup>th</sup> season for the Department of Theatre. There will be many activities associated with these celebrations.

President Todd also mentioned that Martha-Ann Alito spoke at the College of Medicine's anniversary celebration. She is a UK graduate from Floyd County. Her husband is on the (U.S.) Supreme Court, and she was honored recently and was invited as a part of UK's honors ceremony for Justice Mary Noble.

### F. Consent Agenda

Before continuing with the agenda, Dr. Brockman referred to the other items on the consent agenda which included approval of PR 2 Personnel Actions, and acceptance of FCR 1, which was a pledge. Mr. Shoop moved for approval of these items. The motion was seconded by Ms. Patterson and passed without dissent. (See consent items listed below at the end of the Minutes.)

PR 2     Personnel Actions  
FCR 1     Warren Rosenthal Pledge

#### G. Strategic Plan Progress Report

President Todd introduced Vice President Connie Ray, who gave an update on UK's strategic plan and on the accreditation process that is under way.

Dr. Connie Ray, Vice President for Institutional Research, Planning, and Effectiveness, presented an overview of the first year of progress for the University of Kentucky Strategic Plan, 2009-2014. The overview included 22 of 32 metrics for which both baseline information and a first year of progress were available. Metrics serve as indicators that the university is achieving the 5 goals and 21 objectives set forth in the strategic plan.

The University has made progress on metrics such as the ACT preparation of the incoming undergraduate class, the retention rate, the number of doctoral degrees awarded, research expenditures, publications and citations, IT solutions and service, enrollment of diverse student populations, and recognitions for engagement and outreach. Areas of concern included metrics such as bachelor's and master's degree production, STEM degrees awarded, economic development, and instructional faculty salaries. The University has achieved its target on two metrics:

Metric 1-1. Increase the 75th percentile of the ACT Composite Score to 28 for the first-year class.

Metric 5-3. Achieve at least five national, regional, or disciplinary competitive grants or recognitions for engagement and outreach.

Overall, in the first year of implementation of the 2009-2014 Strategic Plan, the University achieved 2 metrics, demonstrated some progress on 12 metrics, and showed no progress on 9 metrics; baselines are being established for 9 additional metrics. The second-year progress report will include updates on all 32 metrics. Dr. Ray encouraged Board members to contact her if they had any questions about the strategic plan progress report.

President Todd added that a report on this year's freshman class statistics had just been received, and Dr. Ray would forward it to the Board members. He expressed his appreciation to Dr. Ray and her staff for their work on the review.

#### H. SACS Reaffirmation of Accreditation

Dr. Ray continued with an overview of the 10-year reaffirmation of accreditation process by doing a demonstration of the university's SACS web site. The web site provides content to inform the campus community about the upcoming reaffirmation process and timeline. The process includes work to be completed by three groups: (1) the Leadership Team, chaired by President Lee Todd; (2) a Compliance Certification Team comprised of 72 faculty and staff; and (3) a Quality Enhancement Plan (QEP) Team that will change over time as the QEP development process moves from pre-planning to topic selection to full development of a plan to improve student learning.

Dr. Ray explained that the reaffirmation process is very different from the 2002 process for which a large self-study committee conducted all the work and prepared great quantities of paper documentation. The new process involves preparation of an electronic compliance certification document accompanied by electronic evidence, all of which will be reviewed remotely by an off-site SACS team in fall 2012. The QEP will be completed by January 2013 and will be reviewed and accepted (or rejected) by a SACS onsite review team in March or April. Following acceptance of the QEP, the University will implement the QEP and report back to SACS on its effectiveness after five years. Two years later, the whole reaffirmation process will commence again. Dr. Ray encouraged Board members to contact her or Dr. Jen Skaggs, director of the SACS Reaffirmation Project, if they have questions about the process.

President Todd commented on the differences between the two accreditation processes that he had been a part of during his time as president. He felt that the current one should be more beneficial to the University, with more lasting results. As to the QEP requirement, he stated that probably UK's War on Attrition project or the revamping of the Undergraduate Studies Program would both have qualified for a QEP project, except for the fact that they are already in the works.

I. Finance Committee Report

Mr. Stuckert, chair of the Finance Committee, reported that the Committee met that morning. There was one consent item, a pledge from Mr. Warren Rosenthal of Lexington, Kentucky for \$500,000. Of the pledge, \$200,000 is for the Schmidt Vocal Arts Center Renovation fund and the remaining \$300,000 is for support of the Opera program in the College of Fine Arts School of Music. Mr. Stuckert commended Mr. Rosenthal for his loyalty and generous support of the University for many years and many projects. On behalf of the University, he thanked the donor.

J. Acceptance of Audit Report and the Report on Internal Control for the University of Kentucky for 2009-10 (FCR 2)

Mr. Stuckert stated that FCR 2 requests the Board to accept the university's Audited Financial Statements for the fiscal year ended June 30, 2010, and the Independent Auditor's Report on the university's internal controls. BKD, UK's external auditor, has completed its audit and issued its Independent Auditor's Report. The report expresses an unqualified or clean opinion on the university's financial statements. BKD has also issued its Report on Internal Controls, which, as part of their audit, noted no material weaknesses in the university's system of internal controls. The University ended the year in a strong financial condition. As of June 30, 2010, the University had assets of \$3.54 billion, liabilities of \$1.15 billion, and net assets (fund balances) of \$2.39 billion. Net assets increased \$176.9 million.

On behalf of the Finance Committee, Mr. Stuckert recommended acceptance of FCR 2. Mr. Shoop seconded his motion, and it carried without dissent. (See FCR 2 at the end of the Minutes.)

K. Construct New Student Housing (FCR 3)

Mr. Stuckert said that FCR 3 requests the Board to approve the initiation of the construction of new student housing. This project will construct approximately 98,000 square feet of new student residential space housing approximately 350 beds. The rooms will be suite-style with two bedrooms sharing a common bath. The scope of the project is \$30 million, which will be funded with Agency Bonds with the debt service paid by Housing and Dining. The goal is to have the new beds online by August 2012. On behalf of the Finance Committee, he recommended approval of FCR 3. Mr. Smith seconded his motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

L. Construct Community Health Center Facility (FCR 4)

Mr. Stuckert reviewed FCR 4 with the Finance Committee in their meeting. FCR 4 involves the construction of a community health center facility. This action item requests the Board to authorize the vice president for finance and administration to negotiate terms for an \$11.5 million federal grant and to initiate the construction of a community health center facility pending acceptable terms. The building will be constructed in Hazard, Kentucky, with a total scope not to exceed \$12,000,000. The College of Medicine will provide any additional funding needed. On behalf of the Finance Committee, he recommended approval of FCR 4. Mr. Mobley seconded the motion, and it passed without dissent. (See FCR 4 at the end of the Minutes.)

M. Student Affairs Committee Report

Ms. Sandy Patterson, chair of the Student Affairs Committee, stated that the Committee met that morning with a full agenda. Vice President Robert Mock gave an update on the student safety forum held in the previous week. It was attended by 35 students. Two of the student attendees requested that the Board of Trustees be asked to alter its policy on concealed weapons. Student Government Association (SGA) President Ryan Smith also gave a report at that morning's meeting in which he discussed the opportunity for students to have coffee with UK Chief of Police Joe Monroe; a safety walk around campus to take place on October 14; the Cat Cruiser program, which offers late-night transportation Thursday through Sunday to students; and the alcohol education program presented this year for all freshmen. Mr. Smith also gave SGA updates: a \$10,000 grant for child care given out; SGA's work with Public Relations to initiate SeeBlue Fridays because students wanted more school spirit; a freshmen leadership development program has 40 students; and the Alley Cat program is doing well. Student government is going to convene the student fee committee once again.

N. University Health Care Committee Report

Ms. Barbara Young, chair of the University Health Care Committee, detailed the results of the committee meeting on October 11. There is one action item for the University Health Care Committee this time.

O. University Health Care Committee Operating Rules (UHCCR 1)

Ms. Young stated that UHCCR 1 is a recommendation that the Board of Trustees approve the University Health Care Committee operating rules attached to the action item as Exhibit 1. The Committee adopted its initial operating rules in 2005 and amended such rules in 2007 in connection with the acquisition of the operations and assets of Samaritan Hospital. The University Health Care Committee and UK HealthCare organized medical staff have approved, amended and restated UK HealthCare Medical Staff Bylaws to reflect the integration of UK HealthCare Good Samaritan Hospital with the University of Kentucky Hospital and to comply with the requirements of federal and state law in recently revised accreditation standards of the joint commission. The proposed operating rules have been revised to be consistent with amended and restated UK HealthCare Medical Staff Bylaws as required by the joint commission.

Further, the proposed operating rules increase the number of community advisory members from five to seven. The proposed operating rules were approved by the University Health Care Committee at its meeting yesterday. On behalf of the Committee, she moved adoption of UHCCR 1. Mr. Stuckert seconded her motion. Ms. Brothers had a question about the naming conventions used on page 9 of the rules exhibit, and her concern was resolved by calling on Ms. Ruth Booher, counsel for the health care committee, who stated that hospital naming conventions were followed in stating the titles of the individuals' positions. The motion passed without dissent. Ms. May requested that it be noted in the minutes that she abstained from voting or participating in the discussion, a request which was honored by Dr. Brockman. (See UHCCR 1 at the end of the Minutes.)

Ms. Young also offered her report on the committee's meeting. Dr. Lofgren presented the clinical performance update reviewing the four core signature metrics of quality service, excellence, efficiency, and impact. He also reviewed the quality and accountability scorecards and presented an update on the patient access center.

Ms. Paula Holbrook, clinical risk manager, and Mr. Jeff Norton, director of the Office of Enterprise and Quality Safety, reported on the prevention of adverse medical events through a new initiative called *swarming*, which promotes patient safety through quick and nonjudgmental problem solving.

Mr. Sergio Melgar presented the August 2010 financials, which showed generally a very healthy financial report for the hospital. Comparing the balance sheet at August 2010 and 2009, cash has decreased by \$47.2 million; the \$80.9 million balance is \$10.7 million lower than the July 31, 2010 balance due to the use of cash reserves for the remainder of the Phase 1-A of the patient care facility. Accounts receivable has increased by \$11.4 million compared to last year. The value of board-designated investments now totals \$182.4 million, which is a \$2.4 million increase from August of last year. Accounts payable has increased by \$12.7 million and other current assets have decreased by \$2.7 million between August 2010 and 2009. The balance sheet now reflects the issuance of University of Kentucky bonds totaling \$361.9 million. The current portion of debt that will be paid in the current fiscal year is \$9.6 million. Net assets have increased to \$94.5 million from August fiscal year 2010, and total assets are at \$1.2 billion. The combined census year-to-date August was 9.2 percent higher than budget and 5.2 percent higher



than year to date August fiscal year 2010 average daily census. UK HealthCare hospital system posted a \$5 million income from operations in August. Year-to-date income is \$9.6 million, which is \$7.2 million above budget and \$4.6 million above the prior year. Current month investment loss is \$1.9 million and year-to-date investment income is \$5.6 million. Net assets for the month increased by \$1.4 million. For the year, the net assets have increased by \$10.6 million, for a margin of 7.1 percent.

Mr. Murray Clark updated the Committee saying that the new hospital, which is set to open in May, is still on schedule and under budget.

The University Health Care Committee approved the UK HealthCare medical staff by-laws and voted to approve the university health care committee operating rules and to recommend approval from the board of trustees (i.e., UHCCR 1 that was just approved).

Dr. Kevin Nelson presented for approval the privileges for Chandler and Good Samaritan hospitals. The committee made a motion and approved all of the privileges.

P. Alumni Association Report

Ms. Patterson, alumni representative, offered a report on the activities and accomplishments of the University of Kentucky Alumni Association. These included:

Support for the University and the UK Athletic Association through gifts and funding from communication programs, events, services, in the amount of \$1,295,500;

244 student recruitment events staffed and funded for UK Office of Admissions;

Recognition of six Great Teachers, each given an award of \$6,000. She noted that Dr. Todd is a former great teacher.

Promotion and encouragement of association and club scholarships in cooperation with the UK Office of Development. Endowments reached \$1,563,000 with more than \$300,000 in gifts and scholarship endowments and over \$32,000 in scholarships being awarded.

Establishment of the diversity and group development committee of the national alumni board;

Funding and staffing of the international students' Thanksgiving dinner.

Hosting of African American admitted student receptions in both Lexington and Louisville and an African American prospective student letter-writing campaign;

Hosting of events in Malaysia, Greece, and Indonesia;

Production of four issues of the alumni magazine; and

Sending of 741 broadcast emails for the colleges and other university units throughout the year.

The Alumni Association staff conducted 1,074 programs that engaged 51,000 alums. Ms. Patterson noted that the association has hired a new program coordinator for student programs in the hope that students will realize the importance of their Alumni affiliation before they become alums. She also noted that the number of dues paying members increased to 37,335, which is a record.

Q. Other Business

Dr. Brockman asked if there was additional other business or new business. He recognized Dr. Everett McCorvey, who said that he wanted to thank again the UK Emergency Medicine team that worked so well at the World Equestrian Games. He expressed his gratitude to Dr. Karpf, who said that the credit goes to the medical team, not to him. Dr. Karpf likewise expressed praise for the team, who literally saved the life of an individual who had a heart attack at the games. He said that the team served over 900 people at the games, and that was all due to volunteers. There was a round of applause from the audience.

R. Closed Session

Dr. Brockman again asked for additional business items and received no response from the Board. He then announced that the Board needed to go into closed session to discuss a proposal between the University and a business entity. The Board must go into closed session because an open discussion of the proposal would jeopardize the siting, retention, expansion, or upgrading of the business. He moved that the Board go into closed session pursuant to KRS 61.810 (1) (g), which allows an exception in the open meetings law that permits the trustees to go into closed session to discuss a specific proposal if an open discussion would jeopardize the proposal. Mr. Shoop seconded. There was no dissent on the vote.

Closed session began at 2:05 p.m. in conference rooms F and G. Pam May, Billy Joe Miles, and James Stuckert were not permitted in the closed session due to conflict of interest. During the closed session Pam May had to leave for a previous commitment.

Dr. Brockman announced at 3:12 p.m. that the closed meeting of the board of trustees had concluded. He stated that no matter other than the proposal was discussed, that no final action was taken, and that the Board was back in open session.

S. Adjournment

Dr. Brockman asked if there was any other business for the Board. A motion was made by Mr. Stuckert to adjourn and seconded by Ms. Young. It passed without dissent.

With no further business, Dr. Brockman adjourned the meeting at 3:13 p.m.

Respectfully submitted,

Pamela T. May  
Secretary, Board of Trustees

(PR 2; FCR 1, 2, 3, and 4, and UHCCR 1 which follow are official parts of the Minutes of the meeting.)

# PR 1

Office of the President  
October 12, 2010

1. New Supercomputer Puts UK in Top 10 Public Universities for Computing Power

The University of Kentucky recently completed installation of a new supercomputer, which puts UK in the top 10 public universities for computing power. The supercomputer, named after UK alumnus and Nobel Laureate William N. Lipscomb, Jr., is three times more powerful than UK's old supercomputing cluster. The supercomputer was announced at a press conference September 20, where UK researcher Matthew Beck explained its impact on UK's ability to do cutting-edge research, such as his group's current work with nanoparticles, which provide promise in protection against Alzheimer's disease and other applications. The supercomputer will also assist with research into the design of improved pharmaceuticals, automobile manufacture, development of new types of batteries, and understanding biological chemical processes.

2. \$2.2 Million in Grants Fund KGS Carbon Sequestration, Geothermal Data Project

The Kentucky Geological Survey (KGS) \ recently received two grants from the U.S. Department of Energy as part of the American Recovery and Reinvestment Act. The first, a \$1.6 million grant, will allow ongoing research in carbon dioxide storage at an 8,126-foot deep well drilled in Hancock County, Kentucky. The research is part of a four-state regional study of the Knox and St. Peter geologic formations administered by the University of Illinois. The KGS received the grant for additional research at this well and elsewhere in western Kentucky over a three-year period. A second grant of \$600,000 over the next three years was given KGS to compile information related to geothermal resources in Kentucky and help other states compile their data and make it available on the Internet. The three-year undertaking is intended to demonstrate the feasibility of non-conventional geothermal energy technologies.

3. UK Helps Make World Equestrian Games a Success

The University of Kentucky was highly involved with the 2010 Alltech FEI World Equestrian Games. Some of its contributions include: UK HealthCare was the official health care provider; Many UK music students and faculty were involved in opening and closing ceremony productions; College of Agriculture personnel worked closely on many aspects of the Games from entomologists helping determining the date of WEG in order to avoid disease carrying ticks, to employees preparing parts of UK farms where the endurance race was held, to the horticulture department providing flowers and plants for decorating the competition arenas; UK's solar house served as the visitor center; UK professors provided foreign language

translation; and scores of employees and students volunteered at the event. The University also had an ongoing presence at the Games with its UK Pavilion.

4. Reading Recovery Center at UK to Get \$2.5 Million a Year for Five Years

Kentucky families will benefit from a new federal grant to extend reading education assistance to young readers. The Reading Recovery University Training Center at the University of Kentucky will receive \$2.5 million to train 50 Reading Recovery teachers across the state each year for the next five years. Reading Recovery is an intense, short-term, early intervention designed to support classroom instruction for struggling readers in the first grade. Students receive daily 30-minute lessons taught by a highly-trained teacher as a supplement to their regular classroom instruction for 12-20 weeks.

5. Tracy Farmer Institute Presents Sustainability Awards

UK's Tracy Farmer Institute for Sustainability and the Environment recognized leaders in the field of sustainability during its Research Showcase in September. Its inaugural Global Sustainability Prize went to Ray Anderson of Interface Global who has been called the "grandfather of corporate sustainability" and is working to eliminate any negative impact his company has on the environment by 2020. The first UK Sustainability Service Award went to Kentucky Finance Cabinet Secretary Jonathan Miller. The UK Sustainability Award for a Large Corporation was presented to Lexmark International and the UK Sustainability Award for a Small Corporation went to Semicon Associates.

6. Equine Drug Testing and Research Lab to Move to Coldstream

First Lady Jane Beshear recently announced that the United States Equestrian Federation (USEF) will relocate its specialized equine drug testing and research facility from Ithaca, N.Y. to UK Coldstream Research Campus later this year. This venture will create a dozen specialized new jobs and a capital investment of nearly \$1.5 million to the Commonwealth. The USEF lab tests more than 15,000 samples each year collected from USEF licensed competitions across the country, and is one of only five in the world used by the Fédération Equestre Internationale (FEI) to receive samples collected at FEI competitions. In addition, the College of Engineering is partnering with USEF and the U.S. Eventing Association on a cross country jump safety research project. The USEF is the national governing body for equestrian sport and selects the United States Equestrian Team.

7. EPA Designates Research Institute a Center for Watershed Excellence

The U.S. Environmental Protection Agency (EPA) has designated UK's Kentucky Water Resources Research Institute as a Center of Excellence for Watershed Management. The Center of Excellence for Watershed Management program, which began in 2007, utilizes the expertise of colleges and universities across the Southeast to provide hands-on, practical products and services that help communities identify watershed problems and develop viable solutions.

8. Biotechnology Company Relocates to UK's Coldstream Research Campus

Orthopeutics L.P./Intralink Spine Inc., a biotechnology company working on an injectable tissue revitalization reagent for the treatment of degenerative disc disease and related lower back pain, has relocated from Texas to UK's Coldstream Research Campus. Tom Hedman, chief scientific officer, along with the CEO and the biochemistry program director have moved to Lexington. Hedman, an MIT-trained and NIH-funded research professor, comes to UK by way of Texas A&M University. He will have a joint faculty appointment in biomedical engineering and neurosurgery at UK. Orthopeutics/Intralink Spine is a client of the Bluegrass Business Development Partnership, an economic development initiative between Lexington city government, Commerce Lexington, and UK's Office for Commercialization & Economic Development. It is anticipated that additional high-tech positions will be created when the company begins manufacturing the reagent and the medical device.

9. \$1.8 Million Goes to Seven High-Tech Small Businesses; Five Have UK Ties

Five small high-tech businesses with UK affiliations received large state matching grants recently. All of these companies initially received federal Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) grants. The companies are: Orthopeutics/Intralink (relocated to Coldstream), nGimat (working with College of Engineering and CAER), 3H Company (ASTeCC, moving to Coldstream), Vindico NanoBioTechnology (ASTeCC), and Advanced Dynamics (Coldstream). Kentucky is the first and only state to match both Phase I and Phase II of the federal program designed to support technology-based small businesses.

10. Student's Astronaut Scholarship Foundation Award Makes \$111,000 for UK Students

Edward Brady Doepke, a graduate student in mechanical engineering, was awarded a \$10,000 scholarship from the Astronaut Scholarship Foundation (ASF) during a ceremony held September 8 at UK. More than \$3 million has been awarded in scholarships to date with \$111,000 to UK students alone. Twenty of these prestigious awards were dispersed this year through the ASF to outstanding college students majoring in science, engineering, or math. The Astronaut Scholarship is the largest monetary award given in the United States to science and engineering undergraduate students based solely on merit. Space shuttle astronaut and Kennedy Space Center Director Robert Cabana presented Doepke's award.

11. Center for Applied Energy Research Part of \$12.5 Million U.S.-China Consortium

UK's Center for Applied Energy Research (CAER) will participate in a consortium under the U.S.-China Clean Energy Research Center (CERC) that was announced by the U.S. Department of Energy recently. Led by West Virginia University, the consortium will use \$12.5 million from the Department of Energy to focus on the next generation of clean coal technologies and will develop and test new technologies for carbon capture and storage. UK will take part in this endeavor with other leading research groups including the University of Wyoming, Indiana University, Lawrence Livermore National Laboratory, Los Alamos National Laboratory, National Energy Technology Laboratory, World Resources Institute, U.S.-China

Clean Energy Forum, General Electric, Duke Energy, LP Amina, Babcock & Wilcox, and American Electric Power.

12. Pulitzer Winner Donates \$10,000 to Institute for Rural Journalism

Pulitzer Prize-winning journalist Daniel Gilbert recently donated \$10,000 to fund fellowships for rural journalists through the University of Kentucky's Institute for Rural Journalism and Community Issues. The donation, which Gilbert won as a cash prize from a National Journalism Award, is meant to help journalists attend Computer-Assisting Reporting training. Such training helped Gilbert research and report on the mismanagement of natural gas royalties, the series of stories that won him the Pulitzer. The money and other donations to the endowment are matched by the state Research Challenge Trust Fund.

13. Battery Industry Expert Named Director of New Center

Governor Steve Beshear announced in July that respected battery industry veteran Ralph Brodd has been selected to lead the Kentucky-Argonne Battery Manufacturing Research and Development Center. Located in Lexington at the UK Center for Applied Energy Research, this center is a partnership between the Argonne National Laboratory, the Commonwealth of Kentucky, the University of Kentucky, and the University of Louisville. The center will develop and deploy manufacturing technologies to ensure domestic competitiveness in advanced-battery technologies for vehicles and other applications that will aid in securing U.S. energy independence, reducing greenhouse-gas emissions and helping to strengthen the economy.

14. School of Journalism and Telecommunications Establishes David Dick Fund

The UK School of Journalism and Telecommunications recently established the David Dick "What a Great Story" Storytelling Fund to promote outstanding journalistic storytelling. The fund is in memory of David Dick, UK alumnus, broadcast news reporter, and former director of the school. The fund will be used to recognize one UK student journalist and one Kentucky professional journalist each year for an outstanding story. Donations to the fund will be used to create an endowment, and proceeds from the endowment will provide prize money to the award winners.

15. College of Medicine to Launch Murray Site

The UK College of Medicine announced recently that it is close to completing a formal agreement with Murray State University to launch a regional site in the Purchase Area. This will be the second site – the first being in Morehead, Ky. – of the UK Rural Physician Leadership Program, which aims to recruit, train, and retain future physicians in the state's medically underserved rural areas. The inaugural Murray cohort will begin training in the Purchase Area in 2014, if final agreement is completed in the coming months.



16. Robinson Scholars Learn in Montana through EPSCoR Partnership

As part of a National Science Foundation EPSCoR research project led by UK's Kentucky Water Resources Research Institute, UK's Center for Computational Sciences, and the University of Montana, eight Robinson Scholars were awarded an outstanding opportunity to travel to Montana in July 2010 to participate in an ecological educational experience. The program included in-depth investigation of rivers, streams, and lakes in Glacier National Park and the surrounding area. Students experienced hands-on investigation of hydrologic features, stream and wetland ecology, sensor technology, and geomorphology of the area. They interacted with science, engineering, technology, and mathematics professionals and researchers in the field while examining the challenges of real world problems that scientists face today, including issues related to climate change.

17. Geologic Assessment of Kentucky's Carbon Dioxide Storage Potential published by KGS

Kentucky officials, energy companies, and researchers have a new resource to help make decisions on management of carbon dioxide emitted from coal-fired electricity plants. The Kentucky Geological Survey has completed a broad assessment of geologic factors affecting Kentucky's potential for permanent storage (sequestration) of carbon dioxide (CO<sub>2</sub>). It also addresses the potential use of CO<sub>2</sub> for increasing recovery of oil from Kentucky fields. The Kentucky Energy and Environment Cabinet contracted KGS for the study that resulted in the 253-page report entitled "Evaluation of Geologic CO<sub>2</sub> Sequestration Potential and CO<sub>2</sub> Enhanced Oil Recovery in Kentucky." Copies were provided to Cabinet officials in May, and the report is available at the KGS website.

18. Office of Undergraduate Admission Has Most Successful Preview Nights Season Ever

Undergraduate Admission has had the most successful Preview Nights season in the Office's history. As of September 22, UK had set attendance records at every Preview Night location. Even though many Fayette county students know UK is in their backyard, the Lexington Preview Night saw an increase of nearly 100 students. By mid-October, UK will have held 17 Preview Nights in Paducah, Owensboro, Elizabethtown, Somerset, Lexington, Hopkinsville, Bowling Green, Pikeville, Ashland, Louisville, Covington, and Maysville, Kentucky; as well as Dayton and Columbus, Ohio; Nashville, Tennessee; Indianapolis, Indiana; and Chicago, Illinois.

19. Kentucky Women Writers Conference Held for 31<sup>st</sup> Year

The Kentucky Women Writers Conference hosted its 31st conference September 10-12. The event featured noted writers Dianne Ackerman, Heather Sellers, C.E. Morgan, Mary Ann Taylor-Hall, Valerie Wilson Wesley, Simone Muench, Jennifer Chang, Sue Halpern and Patricia Smith. The Kentucky Women Writers Conference, launched in 1979, is the longest-running literary festival of women in the nation. About 1,000 individuals attend the conference each year.

20. Department of Theatre Begins 100th Season with Festival

The UK Department of Theatre opened its 100th season of theater at UK with a Student Play Festival held September 9-12 at Guignol Theatre. The festival featured seven new one-act works written by current UK students and recent graduates. The plays featured included: "ENG 411" by Maegan Woodlee, a 2010 English education graduate with a theatre minor; "The Dance" by Allyson Smith, a senior theatre major; "To Be or Not To Be" by Andrew Evan Hensley, a 2010 theatre graduate; "2037 A.D." by Tanner Van Fouts, a senior theatre major; "My Name is Not Felstrom" by Alex Mankin, a pre-telecommunications junior; "Goodbye, Santa" by Courtney Collier, a 2010 theatre graduate; and "Funny Car Play" by Ryan Hastings, a senior theatre major. The plays were directed by junior theatre major Michael Baird, senior theatre major Alys Dickerson, Hastings, and sophomore theatre major Maggie Howell. Lindsay Warnick, a senior theatre major, served as stage manager.

21. Pen Publishes First Biography of John Jacob Niles

Ron Pen, Associate Professor of Musicology, published "I Wonder as I Wander: The Life of John Jacob Niles" (The University Press of Kentucky), the first autobiography of Niles, in September 2010. This book was over a quarter of a century in the making and has already received considerable attention, including front page of the *Lexington Herald-Leader* on September 20. Pen, director of the John Jacob Niles Center for American Music, celebrated the release of the book at festivities held September 15 at the Niles Gallery. "I Wonder as I Wander" explores Niles' career, not only illuminating his influence on the American cultural landscape, but also illustrating the country's musical development, ranging from rural folk roots to World War I songs and from the burgeoning American art song tradition to the folk revival. Pen conducted extensive research over a period of 27 years, using Niles' own accounts, journals, notebooks, and unpublished autobiography to create a comprehensive narrative of the noted musician's life.

22. UK String Project Celebrates 10th Year of Low-Cost Instrument Instruction

The UK String Project (UKSP) is celebrating its 10th year of providing low-cost instrument instruction in the Bluegrass. Classes started September 18 and will culminate with the UKSP Fall Concert. UKSP has continuously provided children in the Bluegrass region with low-cost instrument instruction courses on the violin, viola, cello, and bass. UKSP offers courses at beginner, intermediate, and advanced levels in both the fall and spring semesters. Scholarships are available for children who are on free and reduced lunch programs.

23. Nunn Center Unveils Buffalo Trace Distillery Oral History Project

Louie B. Nunn Center for Oral History and Buffalo Trace Distillery unveiled the distillery's Oral History Project, a compilation of interviews with the people and stories of the Buffalo Trace Distillery family. Interviewees included Master Distiller Emeritus Elmer T. Lee, and descendants of iconic figures such as Colonel Albert B. Blanton and Pappy Van Winkle. In addition to visiting the Nunn Center's website to watch all the interviews in their entirety,

Buffalo Trace Distillery plans to offer computer stations in its Visitor's Center for viewing the oral histories by early 2011.

24. Legacy Trail Gets an Artistic Touch

Three former Department of Art museum studies students served as interns for Lexington's new Legacy Trail. As students in an upper-level art history course in 2009, Ide Bouldin, Allison Hosale, and Jamie Watkins had worked to secure funding from the Legacy Center at the Blue Grass Community Foundation and LexArts for a 'a museum without walls' to accompany the path. They worked with planners and financial contributors to devise a master plan and begin the work. As a Commonwealth Collaborative, the interns were asked to help the art project execute the first phase of the master plan. As part of phase one, Legacy Trail's path is being marked with a series of blazes, or flags; tapis or surface paintings; and applied crossings at the intersections of the trail with existing roadways. All three kinds of markers include designs by local and regional artists that act as a visual guide for residents and visitors along the trail's corridor.

25. College of Medicine Celebrates 50th Year

The UK College of Medicine will celebrate its 50th anniversary at one of Lexington's premier venues, the Keeneland Sales Pavilion, on October 15. Former and current faculty and staff, house staff, students, and alumni will celebrate the last five decades and the future of the college. The Signature Event is held during the college's Alumni Reunion and Family Weekend.

26. College of Medicine Welcomes Class of 2014

The UK College of Medicine celebrated the union of past, present, and future through the White Coat Ceremony with alumni representatives from the past five decades presenting the newest medical students with their coats on Friday, Aug. 6. The Class of 2014 includes 113 medical students, 88 of which are Kentuckians, 45 of whom are from rural and Appalachian counties. The class is 43 percent women, and eight students from the class will be participating in the Rural Physician Leadership Program. The Class of 2014 also set the record for the highest Medical College Admission Test (MCAT) average in UK College of Medicine history, which has been annually on the rise since 2000.

27. Chellgren Center Names 46 New Fellows

UK's Chellgren Center for Undergraduate Excellence honored its fourth class of 46 Chellgren Fellows at a reception on campus recently. Benefactor Paul Chellgren and his wife, Deborah, were joined by Chellgren Endowed Chair Philipp Kraemer and UK Provost Kumble Subbaswamy in recognizing and congratulating the sophomores on being named Fellows.

28. Leaders Discuss the Future of P20 Education

As one of six states in the nation selected to innovate education, Kentucky recently gathered leaders from within the state and beyond at the Hyatt Regency in Lexington to discuss

the demands the 21st century have placed on learning systems. The meeting was one of six being held across the nation in Kentucky, New York, Maine, Ohio, Wisconsin and West Virginia – the states chosen by the Council of Chief State School Officers (CCSSO) to develop transformative educational practices. The Kentucky Board of Education voted to support the Kentucky Department of Education's commitment to the CCSSO partnership through the development of a statewide P20 innovation lab for next generation learning. While housed and led by the University of Kentucky in its College of Education under the leadership of Dean Mary John O'Hair, the effort has expanded to involve other Kentucky universities, other colleges at UK, and school districts from around the state to transform classrooms and engage learners at an early age to create a pipeline of students well-prepared for college.

29. UK Team Examines Social Interactions in Business

Research led by Giuseppe "Joe" Labianca, Gatton Endowed Associate Professor of Management and part of Gatton's LINKS -- International Center for Research on Social Networks in Business, together with Gatton doctoral students in management, Travis Grosser and Ginny Kidwell-Lopez, was published in the September edition of the *Harvard Business Review*. The UK team examined the social interactions in a branch of a U.S. company and published their results in a research paper, portions of which are summarized in the article, "It's Not 'Unprofessional' to Gossip at Work." Surveying 30 of the branch's 40 employees about their social networks in the office, the authors found the more staff members gossiped, the better the understanding of their social environment and the higher their peers rated their influence. Unfortunately, those staff members were also given lower performance ratings by their supervisors, who tend to take too negative a view of gossiping.

30. Art Historian Directs Last Season of UK Excavations at Monte Palazzi

Paolo Visonà, Art History, recently completed fieldwork and directed the last season of the University of Kentucky's excavations at the Greek fort of Monte Palazzi (Calabria, southern Italy). Main finds include the southeast perimeter wall that was fully uncovered. It rested on a foundation layer that yielded prehistoric materials and late archaic Greek ceramics dating the arrival of the Greeks at the site to c. 530-500 BCE. A geophysical survey of the mountaintop was also conducted by Professor George M. Crothers of the Department of Anthropology. It will help produce a map of the buried plan of the complex. Other portable finds include coins, a javelin point, a catapult ball, slingshot bullets, and Greek ceramics datable between c. 530-250 BCE. This work was made possible through a \$2,000 grant from the Archaeological Institute of America for a bilingual exhibition of the UK excavations at Monte Palazzi to be opened at Lexington's Central Library in late January 2011. The exhibit will travel to the University of Louisville and eventually to southern Italy, where it will be installed permanently near the archaeological site. Lesley Chapman, Visual Resources Curator, served as field registrar for UK's excavations at the Greek fort of Monte Palazzi. She will assist Visonà with the exhibition.

31. College of Arts and Sciences Launches South African Initiative

UK's College of Arts and Sciences is embarking on an intellectual journey of international proportion this year with featured South African activists, scholars, politicians, art

exhibits, performances, and coursework. The South African Initiative (SAI), with the theme of "South Africa & Kentucky: Different Lands, Common Ground," will provide the campus community as well as the general public with a closer look at the two societies' rich histories and present-day conditions. Arts and Sciences will launch the South Africa Initiative with one of the first public screenings of "Have You Heard from Johannesburg," a new seven-part documentary film on the global anti-apartheid movement. Among several visitors to campus will be Eddie Daniels, South African freedom fighter and former prison mate of Nelson Mandela, who will be a scholar-in-residence October 24-November 7. In mid-April, UK will welcome anti-apartheid activists Ahmed Kathrada and Barbara Hogan to campus as honored guests.

### 32. Student Awards and Achievements

Amanda Fickey, geography graduate student and strategic planning committee member for the UK Appalachian Center and a steering committee member for the UK Appalachian Research Community, was awarded the National Council for Geographic Education's (NCGE) 2010 Women in Geographic Education Award.

Yawen Ludden, Ph.D. Candidate in Musicology, is one of two Fulbright Scholars in the UK School of Music. He is currently in China doing research.

Matthew Howell, Public Administration Ph.D. student, received an Honorable Mention for the Collins Award for the best Ph.D. student paper at the Southeastern Conference on Public Administration; "Lines in the Sand; Lines on the Map: Group Formation and the Fragmentation of the Metropolis."

Adam Sovkoplak, music theory doctoral student, composed "Sonata for Saxophone and Piano," which will be included on the program for the Society of Composers Inc. Region V Conference to be held at Augustana College in October 2010. His "Saxocaphony" for solo sax with piano accompaniment received its world premiere at the National Association of Composers, USA Texas Conference last spring.

### 33. Faculty and Staff Awards and Achievements

Shawn Livingston, Director of UK Libraries Information Services, received the Kentucky Library Association's 2010 Carol J. Parris Mentoring Award. The award recognizes "outstanding service to the future of the library profession" by mentoring one or more Kentucky librarians or library workers.

Karl Raitz, Geography, and Shenandoah University History Professor Warren Hofstra co-edited "The Great Valley Road of Virginia: Shenandoah Landscapes from Prehistory to the Present," which won the 2010 Allen Noble Book Award. This award, established by the Pioneer America Society, recognizes the best-edited books in the field of North American material culture.

Mary Anglin, Anthropology, began to notice a dearth in gender studies throughout the Appalachian region; in response, she took the idea of comparative global feminism and anthropology and applied it to Appalachia in "Moving Forward," an essay chosen for inclusion in the spring/summer issue of Appalachian Journal.

George Boulden, Music Education, was named the Kentucky Music Education Association's District 7 College/University Teacher of the Year (2010). Professor Boulden will be in the running for the state KMEA College/University Teacher of the Year.

Ruth Adams, Art Studio/Photography, and Rae Goodwin, assistant professor of foundations, created and performed in the collaborative performance "80 Digits... Tense & Tickle," held this summer at Lexington's Downtown Arts Center. This original performance art collaboration between UK Art Faculty members Goodwin and Adams, contemporary artist Theo Edmonds and musician Will Bunge dealt with autobiography, spoken word, and metaphoric movements.

Anna Brzyski, Art History, was appointed to UK's Chellgren Endowed Professorship and will work with the Chellgren Center for Undergraduate Excellence to create classes and workshops related to visual studies.

Garry R. Bibbs, Sculpture, presented his work at a solo exhibition on display from June through September 4, at the Grand Theatre Gallery, in Frankfort, Ky.

Arturo Sandoval, Art Studio, was selected for inclusion and his work "Cityscape No.3, 1977" was reproduced in "Makers: A History of American Studio Craft," published by University of North Carolina Press, 2010.

John Garen, Economics, is the incoming president of the Kentucky Economic Association.

David Hulse, Accounting, was appointed an associate editor of *Issues in Accounting Education*, a journal of the American Accounting Association which has a national and international circulation.

Ron Hustedde, Community and Leadership Development, received the 2010 Community Development Society's Duane L. Gibson Distinguished Service Award. The international award recognizes Hustedde's domestic and international contributions to the community development field. In another ceremony, he accepted the 2010 Excellence in Entrepreneurship Award (nonprofit category) for the Kentucky Entrepreneurial Coaches Institute, which is housed in the College of Agriculture.

Leigh Maynard, Agricultural Economics, received \$25,000 from the U.S. Department of Agriculture's Economic Research Service, for a cooperative research agreement titled "Alternative Dairy Policy of Risk Management and Margin Insurance."

Chuck Stamper, UK Cooperative Extension, and Vanessa Jackson, Merchandising, Apparel and Textiles, received a \$36,000 grant from Equitable Resources Foundation for "Enhancing the Marketing Skills for Eastern Kentucky Artisans."

William Thom and Sharon Webb, Regulatory Services, received a \$493,000 grant from the U.S. Food and Drug Administration for bovine spongiform encephalopathy prevention programs that will help further food and feed safety.

Rae Goodwin, Art Studio, was a fellow at ThinkTank hosted by the University of Georgia in June. Present at ThinkTank were leaders from benchmark institutions and other elite schools. She is also a recipient of a UK Summer Research Fellowship, she worked on the "Grandmother" project, which travelled throughout the Eastern U.S., meeting with women in their homes and speaking about their lives. Her sculptural installation, "repressive existence," was juried into the 25th Annual Tallahassee International.

James Wade Jr., Art Studio, is featured as one of 15 artists in the "North American Sculpture 2010" exhibition at the Foothills Art Center in Golden, Co. His work is also featured in the exhibition "Iron Works" at Dudmaston Hall National Trust in Quatt, Bridgenorth, Shropshire, England.

Benjamin C. Withers, Art History, completed work on two essays. The first is an article titled "Anglo-Saxon Visual Culture" which will appear in "A Handbook to Anglo-Saxon



Studies." The second is an article titled "Satan's Mandorla: Translation, Transformation, and Interpretation in Late Anglo-Saxon England" which will appear in "Style and Meaning in Insular and Anglo-Saxon Art" forthcoming from Penn State University Press. In addition, he completed work on two entries, "The Old English Hexateuch" and "Junius 11," which will appear in "The Grove Encyclopedia of Medieval Art" from Oxford University Press.

Terry Anderson, School of Journalism and Telecommunications, delivered the annual "State of the First Amendment" address at the Scripps Howard First Amendment Center's yearly celebration September 20.

#### 34. Alumni Awards and Achievements

Martha-Ann Alito, Department of English and Library and Information Science, delivered the annual Hellenic Ideals Program of the Bluegrass lecture in partnership with the College of Law, about her family's move to Washington, D.C. following her husband's U.S. Supreme Court appointment.

Graham Drake, Public Policy and Administration, won the National Association of Schools of Public Affairs and Administration (NASPAA) Best Master's Student Paper Award. Drake looked at the federal government's hiring process in a paper titled, "Evaluating the Presidential Management Fellows Program: Has the Implementation of a Standardized Assessment Test Altered Student Selection?"

Kimberly J. Scott, School of Music, received a Fulbright Mid-Career Professional Development Grant for her postdoctoral research in Finland.

Meredith Howes, Public Policy and Administration, received the Kline Award for best Master's paper at the Southeastern Conference on Public Administration, "Providing Department of Defense Support Domestically: A Study of Cost Effectiveness" written for her capstone course supervised by Edward Jennings.

Scott C. Veazey, Architecture, has been elected first vice president/president-elect of the National Council of Architectural Registration Boards (NCARB) at its 91st Annual Meeting and Conference in San Francisco. Veazey was also presented with the NCARB President's Medal for Distinguished Service at the annual meeting.



# PR 2

Office of the President  
October 12, 2010

Members, Board of Trustees:

## PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

**PRESIDENT**  
**AND**  
**EXECUTIVE VICE PRESIDENT**  
**FOR**  
**FINANCE AND ADMINISTRATION**

**I. ADMINISTRATIVE ACTIONS**

**A. ADMINISTRATIVE APPOINTMENTS**

Vice President for University Relations

Blanton, Jonathan, Public Relations/Marketing Executive Director, University Relations, effective 9/20/10.

**B. CHANGES**

CIO for Information Technology

Tudor, Robert F., from Information Technical Manager III to Communication Services/Systems Director, Information Technology, effective 9/1/10.

## PROVOST

### I. BOARD ACTION

#### A. ACADEMIC APPOINTMENTS

- Ausness, R, College of Law, Professor (with tenure), appointed to the Gallion and Baker Professorship, 7/1/10 through 6/30/11.
- Baker, Terrell T., College of Agriculture, Extension Professor (with tenure), Forestry, appointed to the James Graham Brown Foundation Endowed Professorship in Forestry and Environmental Sciences, 7/10/10 through 6/30/16.
- Black, William S., College of Medicine, Associate Professor (part-time), Family and Community Medicine, 9/1/10 through 6/30/11.
- Brennen, David A., College of Law, Professor (with tenure), appointed to the Laramie L. Leatherman Professorship of Law, 7/1/10 through 6/30/11.
- Campbell, Rutheford B., College of Law, Professor (with tenure), appointed to the James and Mary Lassiter Endowed Professorship, 7/1/10 through 6/30/11.
- Gaetke, Eugene R., College of Law, Professor (with tenure), appointed to the Edward T. Breathitt Professorship of Law, 7/1/10 through 6/30/11.
- Graber, Matthew N., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 11/1/10 through 6/30/11.
- Graham, Louise E., College of Law, Professor (with tenure), appointed to the Robert G. Lawson and William H. Fortune Professorship, 7/1/10 through 6/30/11.
- Healy, Michael P., College of Law, Professor (with tenure), appointed to the Wilburt D. Ham Professorship, 7/1/10 through 6/30/11.
- Huberfeld, Nicole L., College of Law, Associate Professor (with tenure), appointed to the Gallion and Baker Professorship, 7/1/10 through 6/30/11.
- Kightlinger, Mark F., College of Law, Associate Professor (with tenure), appointed to the Wyatt, Tarrant and Combs Professorship of Law, 7/1/10 through 6/30/11.
- Lawson, R., College of Law, Professor (with tenure), appointed to the H. Wendell Cherry Professorship of Law, 7/1/10 through 6/30/11.
- Michael, Douglas C., College of Law, Professor (with tenure), appointed to the Gallion and Baker Professorship, 7/1/10 through 6/30/11.
- Moore, Kathryn L., College of Law, Professor (with tenure), appointed to the Laramie L. Leatherman Professorship of Law, 7/1/10 through 6/30/11.
- Price, Melynda J., College of Law, Associate Professor (with tenure), appointed to the Robert E. Harding Jr. Professorship, 7/1/10 through 6/30/11.
- Renzetti, Claire M., College of Arts and Sciences, Professor (temporary), Sociology, 8/16/10 through 12/31/10.
- Rugg, Sarah S., College of Medicine, Clinical Assistant Professor, Internal Medicine, 10/25/10 through 6/30/11.
- Schwemm, R, College of Law, Professor (with tenure), appointed to the Ashland Oil Professorship, 7/1/08 through 6/30/13.

Tobin, Stuart, College of Medicine, Clinical Associate Professor, Surgery, 8/1/10 through 6/30/11.

Weinberg, Harold R., College of Law, Professor (with tenure), appointed to the Everett H. Metcalf, Jr. Professorship of Law, 7/1/10 through 6/30/11.

Wood, Susan N., College of Education, Associate Professor (without tenure), Curriculum and Instruction, 8/16/10 through 6/30/11.

## **B. JOINT APPOINTMENTS**

Salles, Sara S., College of Medicine, Clinical Associate Professor, Physical Medicine and Rehabilitation, joint appointment as Clinical Associate Professor, Anesthesiology, 12/1/10 through 6/30/11.

## **C. REAPPOINTMENTS**

Albers, Dale, College of Arts and Sciences, Professor (visiting), Sociology, 8/16/10 through 5/15/11.

Davis, Mary J., College of Law, Professor (with tenure), reappointed to the Stites and Harbison Professorship of Law, 7/1/10 through 6/30/11.

Fortune, William, College of Law, Professor (with tenure), reappointed to the Robert G. Lawson Professorship, 7/1/10 through 6/30/11.

Frost, Christopher W., College of Law, Professor (with tenure), reappointed to the Brown, Todd and Heyburn Professorship of Law, 7/1/10 through 6/30/11.

Harding, Roberta M., College of Law, Professor (with tenure), reappointed to the William L. Matthews, Jr. Professorship, 7/1/10 through 6/30/11.

Mingst, Karen A., Graduate School, Professor (with tenure), Patterson School of Diplomacy and International Commerce, and joint appointment as Professor (without tenure), Political Science, College of Arts and Sciences, reappointed to the Earl F. and Jean M. Lockwood Professorship of International Commerce, 7/1/08 through 6/30/11.

Salamanca, Paul E., College of Law, Professor (with tenure), reappointed to the Wyatt, Tarrant and Combs Professorship of Law, 7/1/10 through 6/30/11.

Toma, Eugenia, Graduate School, Professor (with tenure), Martin School of Public Policy and Administration, reappointed to the Wendell H. Ford Endowed Professorship, effective 7/1/09.

Underwood, Richard, College of Law, Professor (with tenure), reappointed to the Spears-Gilbert Professorship of Law, 7/1/10 through 6/30/11.

## **D. PROMOTIONS**

Thomas, Mark V., College of Dentistry, from Associate Professor\* (with tenure), Oral Health Practice, to Professor\* (with tenure), Oral Health Practice, effective 9/1/10.

## **E. CHANGES**

Casey, Baretta R., College of Medicine, from Clinical Professor, Family and Community Medicine, to Clinical Professor, Health Behavior, College of Public Health, and

joint appointments as Clinical Professor, Family and Community Medicine, College of Medicine and Clinical Professor, Preventive Medicine and Environmental Health and Clinical Professor, Health Services Management, 11/1/10 through 6/30/11.

Jana, Suman, College of Medicine, from Clinical Associate Professor, Internal Medicine, to Associate Professor (part-time), Internal Medicine, 9/1/10 through 10/25/10.

## **F. RETIREMENTS**

Parekh, Bhupendra K., Research, Scientist IV, Center for Applied Energy Research, after 22 years and 3 months of consecutive service, under AR 3:1, Section VI.B., effective 10/29/10.

## **G. POST-RETIREMENT APPOINTMENTS**

Beal, Rayma, Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/10 through 5/15/11.

Campbell, William R., College of Arts and Sciences, Associate Professor (part-time), English, 8/16/10 through 12/31/10.

Greenway, John L., Undergraduate Studies, Associate Professor (part-time), Honors Program, 8/16/10 through 6/30/11.

Sineath, Timothy W., College of Communications and Information Studies, Professor (part-time), Library and Information Science, 8/16/10 through 5/15/11.

Stivers, Wendy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 5/16/10 through 5/15/11.

Wilkinson, Doris, College of Arts and Sciences, Professor (part-time), Sociology, 8/16/10 through 12/31/10.

## **II. ADMINISTRATIVE ACTION**

### **A. ACADEMIC APPOINTMENTS**

Alam, Rafiqul, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/10 through 6/30/16.

Amato, Mariana, College of Arts and Sciences, Assistant Professor, Hispanic Studies, 7/1/10 through 6/30/12.

Andal, Diane N., College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/30/10.

Bailey, Kathryn H., College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.

Barnett, Kent H., College of Law, Instructor (visiting), 7/1/10 through 6/30/11.

Barrus, David R., College of Business and Economics, Instructor (part-time), Economics, 8/16/10 through 12/30/10.

Basaran, Burak, College of Engineering, Instructor (part-time), Mechanical Engineering, 8/16/10 through 12/31/10.

Bell, Shannon E., College of Arts and Sciences, Assistant Professor, Sociology, 8/10/10 through 6/30/12.

- Bird-Pollan, Stefan E., College of Arts and Sciences, Assistant Professor, Philosophy, 8/16/10 through 6/30/12.
- Bishop, Bradley W., College of Communications and Information Studies, Assistant Professor, Library and Information Science, 8/1/10 through 6/30/11.
- Blue, Lisa Y., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/10 through 12/31/10.
- Bodine, Brianna M., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Broome-Price, Elisabeth, College of Arts and Sciences, Instructor (part-time), Honors Program, 8/16/10 through 12/31/10.
- Byrd, Andrew M., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Caspani, Guido, College of Arts and Sciences, Instructor (part-time), Honors Program, 8/16/10 through 5/15/11.
- Cassidy, Karma B., College of Nursing, Instructor (part-time), 8/1/10 through 6/30/11.
- Castillo, Moises R., College of Arts and Sciences, Assistant Professor, Hispanic Studies, 8/16/10 through 6/30/12.
- Chamberlin, Paul T., College of Arts and Sciences, Assistant Professor, History, 8/16/10 through 6/30/12.
- Chen, Li, College of Public Health, Assistant Professor, Biostatistics, 9/1/10 through 6/30/11.
- Cinnamond, Karen E., College of Social Work, Adjunct Instructor, 8/25/10 through 6/30/11.
- Coker, Stephanie L., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/12.
- Connors-Manke, Elizabeth A., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Cook, Brian, College of Education, Lecturer, Kinesiology and Health Promotion, 8/16/10 through 5/15/11.
- Cramer, Aaron M., College of Engineering, Assistant Professor, Electrical and Computer Engineering, 8/16/10 through 6/30/12.
- Cramer, Jennifer S., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Danley, Melody L., College of Arts and Sciences, Lecturer, Biology, 8/16/10 through 6/30/12.
- Day, Adam C., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Deffendall, Matthew, College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Dutta, Sneha, College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Feldman, Joel B., College of Fine Arts, Instructor (part-time), Art, 1/1/10 through 6/30/10.
- Francis, Jonathan, College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Galloway, Andrea K., College of Medicine, Assistant Professor (voluntary), Obstetrics and Gynecology, 6/1/10 through 6/30/16.

- Galvan, Angelica, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- Godlaski, Laura G., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Gray, Kandice R., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Hammond, Rachel, College of Education, Lecturer, Educational, School, and Counseling Psychology, 8/16/10 through 6/30/11.
- Hatton, Twana J., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/10 through 6/30/16.
- Heaton, Stanley W., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Heidary, David K., College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/10 through 12/31/10.
- Horton, Michael B., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Huffman, Joshua B., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 7/1/10 through 6/30/16.
- Huggins, Christopher M., College of Arts and Sciences, Lecturer, Sociology, 8/16/10 through 6/30/12.
- Ickes, Melinda J., College of Education, Assistant Professor, Kinesiology and Health Promotion, 8/16/10 through 5/15/11.
- Isaacs, Davida H., College of Arts and Sciences, Lecturer, Political Science, 8/16/10 through 6/30/12.
- Jacobs, Nathan, College of Engineering, Assistant Professor, Computer Science, 8/1/10 through 6/30/12.
- Krebs, Vaughn M., College of Arts and Sciences, Instructor (part-time), Geography, 8/16/10 through 12/31/10.
- Layton, Matthew A., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- LeVan, Therese A., College of Medicine, Assistant Professor (voluntary), Surgery, 6/1/10 through 6/30/16.
- Lue, Aurora, College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 9/1/10 through 6/30/16.
- Mathews, Lauren E., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Monroe, Kristin V., College of Arts and Sciences, Assistant Professor, Anthropology, 8/16/10 through 6/30/12.
- Moore, Wilda E., College of Engineering, Instructor (part-time), Electrical and Computer Engineering, 8/16/10 through 12/31/10.
- Moulder, Mary A., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Nash, Christi, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Neathery, James K., College of Engineering, Assistant Adjunct Professor, Mechanical Engineering, 9/1/10 through 6/30/12.



- Oldham, Joseph D., College of Engineering, Assistant Professor (visiting), Computer Science, 8/16/10 through 5/15/11.
- Oliver, Christopher S., College of Arts and Sciences, Lecturer, Sociology, 7/1/10 through 6/30/12.
- Parker-Brown, Kimberly, College of Education, Lecturer, Special Education and Rehabilitation Counseling, 8/15/10 through 6/30/11.
- Pascual-Argente, Clara, College of Arts and Sciences, Lecturer, Hispanic Studies, 8/16/10 through 6/30/12.
- Ponto, Kathleen A., College of Arts and Sciences, Assistant Professor, Mathematics, 8/16/10 through 6/30/12.
- Pruett, Jenifer L., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Rawson, Kelly J., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Rinker, Gwendolyn H., College of Nursing, Assistant Adjunct Professor, 8/1/10 through 6/30/11.
- Roudnev, Vladimir, College of Arts and Sciences, Assistant Research Professor, Physics and Astronomy, 7/1/10 through 6/30/11.
- Sakamoto, Kiyohiko, College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Seo, Sung Seok, College of Arts and Sciences, Assistant Professor, Physics and Astronomy, 8/16/10 through 6/30/12.
- Shane, Rachel, College of Fine Arts, Assistant Professor, Theatre, 8/16/10 through 6/30/11.
- Shen, Milton W., College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/30/10.
- Sizemore, Michelle R., College of Arts and Sciences, Assistant Professor, English, 8/16/10 through 6/30/12.
- Smith, Brandon C., College of Fine Arts, Instructor (part-time), Art, 8/1/10 through 6/30/11.
- Stewart, Miranda L., College of Communications and Information Studies, Lecturer, Communication, 8/1/10 through 6/30/11.
- Subramanian, Seethalakshmi R., College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/10 through 12/31/10.
- Sundell, Timothy R., College of Arts and Sciences, Assistant Professor, Philosophy, 8/16/10 through 6/30/12.
- Swenson, Phil A., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Taylor, James R., College of Health Sciences, Assistant Professor (voluntary), Rehabilitation Sciences, 9/1/10 through 6/30/16.
- Thomas, David T., College of Health Sciences, Assistant Professor, Clinical Sciences, 9/15/10 through 6/30/11.
- Timme, Andrew E., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Van Meter, Emily M., College of Public Health, Assistant Professor, Biostatistics, 9/13/10 through 6/30/11.
- Villalba, Manuel J., College of Arts and Sciences, Lecturer, Hispanic Studies, 8/16/10 through 6/30/11.
- Wahler, Elizabeth A., College of Social Work, Adjunct Instructor, 8/25/10 through 6/30/11.

- Wallace, Megan B., College of Arts and Sciences, Assistant Professor, Philosophy, 8/16/10 through 6/30/12.
- Warren, Larry E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 9/1/10 through 6/30/16.
- Wilke, David G., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/10 through 5/15/11.
- Williams, Cassandra R., College of Education, Lecturer, Educational Leadership Studies, 8/16/10 through 6/30/11.
- Zhang, Shaoqian, College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 6/30/11.
- Zourarakis, Demetrio P., College of Arts and Sciences, Instructor (part-time), Geography, 8/16/10 through 12/31/10.

## **B. JOINT APPOINTMENTS**

- Bullock, David, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 9/1/10 through 6/30/11.
- Johnson, Steven D., College of Medicine, Clinical Assistant Professor, Psychiatry, joint appointment as Clinical Assistant Professor, College of Social Work, 10/1/10 through 6/30/11.

## **C. REAPPOINTMENTS**

- Abolins, Liga, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Ades, Harriet, College of Arts and Sciences, Instructor (part-time), Chemistry, 8/16/10 through 12/31/10.
- Allaire, Gloria, College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/13.
- Arrington, Tamara B., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Baker, Emmily C., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Barrio-Vilar, Laura, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Beaulieu, Emily A., College of Arts and Sciences, Assistant Professor, Political Science, 7/1/10 through 6/30/12.
- Bills, George F., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Black, James E., College of Engineering, Assistant Adjunct Professor, Civil Engineering, 7/1/10 through 6/30/11.
- Blackburn, Teena H., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Blankenship, Cary W., College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.

- Brown, Paul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- Buck, Patrick L., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- Burke, William F., College of Arts and Sciences, Adjunct Instructor, Biology, 7/1/10 through 6/30/11.
- Callahan-Caudill, Krista E., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Campbell, F. Diane, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Carter, Michael, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Chaney, Joyce P., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Cheves, Elizabeth P., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Chico-Wyatt, Irene O., College of Arts and Sciences, Senior Lecturer, Hispanic Studies, 7/1/10 through 6/30/13.
- Clark, Amy C., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 12/31/10.
- Clark, John R., College of Business and Economics, Instructor (part-time), Accountancy, 8/16/10 through 12/31/10.
- Clarke, Benita J., College of Arts and Sciences, Adjunct Instructor, Hispanic Studies, 8/16/10 through 12/31/10.
- Crocker, Lina, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Darrat, Suleiman, College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/13.
- Dean, Beverly, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- DeMichele, Matthew, College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- DeRosset, Darrell, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- DiLoreto, Rebecca B., College of Law, Instructor (part-time), 8/1/10 through 6/30/11, and Instructor (part-time), College of Social Work, 8/1/10 through 12/31/10.
- Durbin, Tina M., College of Arts and Sciences, Lecturer, English, 7/1/10 through 6/30/12.
- Effinger, Kathy, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/10 through 12/31/10.
- Ellis, Steven L., College of Arts and Sciences, Adjunct Instructor, Physics and Astronomy, 7/1/10 through 12/31/10.
- Fairfield, James C., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Fessler, Nancy A., College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Fields, Jim, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.

- Fitzpatrick, Sarah, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Foege, Susan, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/10 through 12/31/10.
- Fugate, Stephanie J., College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Fulbrook, Denise, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Garland, James S., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- German, Aaron J., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Gibbs, James W., College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Gibbs, William, College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Glasscock, Rebecca C., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/10 through 12/31/10.
- Grey, Chrystal Y., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Guardino, Donna J., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Gunther, Denotra S., College of Business and Economics, Instructor (part-time), Management, 8/16/10 through 12/31/10.
- Hale, Frank, College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Hamilton, Phyllis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Hautala, Keith L., College of Arts and Sciences, Adjunct Instructor, English, 8/16/10 through 12/31/10.
- Hawkins, Nyoka, College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Hay, Nneka, College of Nursing, Instructor (part-time), 7/1/10 through 6/30/11.
- Henke, Kevin R., College of Arts and Sciences, Adjunct Instructor, Earth and Environmental Sciences, 7/1/10 through 12/31/10.
- Herron, Jeffrey J., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Higgs, Calvin T., College of Arts and Sciences, Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/11.
- Holcomb, Stephanie M., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Hood, James L., College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Howard, Patricia A., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/10 through 12/31/10.
- Isenhour, Cynthia J., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/10 through 12/31/10.

- Jiang, Wei, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/12.
- Jones, Sonya L., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/10 through 5/15/11.
- Karimian, Cyrus D., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/10 through 12/31/10.
- King, Molly, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 12/31/10.
- Lagos, Raul, College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- Law, Jeremy, College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Leedham, Cynthia, College of Arts and Sciences, Adjunct Instructor, Sociology, 7/1/10 through 12/31/10.
- Lester, Denis, College of Education, Instructor (part-time), Curriculum and Instruction, 8/16/10 through 6/30/11.
- Lusk, Shannon P., College of Engineering, Instructor (part-time), Mining Engineering, 8/16/10 through 12/31/10.
- MacQuown, Debra R., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Mansfield, DeeAnn W., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Marinelli, James M., College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Marksbury, Tom, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- McGavran, Mary Beth D., College of Arts and Sciences, Senior Lecturer, Psychology, 7/1/10 through 6/30/13.
- Meadows, Robert S., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Medina, Jorge, College of Arts and Sciences, Lecturer, Hispanic Studies, 7/1/10 through 6/30/12.
- Meegan, William J., College of Arts and Sciences, Instructor (part-time), Psychology, 8/16/10 through 12/31/10.
- Mooney, Jennifer L., College of Arts and Sciences, Adjunct Instructor, Sociology, 7/1/10 through 12/31/10.
- Motamedi, Hossein, College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/10 through 12/31/10.
- Murphy, Erin H., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Mynatt, Jane M., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Norman, Charlene, College of Arts and Sciences, Instructor (part-time), Mathematics, 8/16/10 through 12/31/10.
- O'Daniel, Alyson J., College of Arts and Sciences, Instructor (part-time), Anthropology, 8/16/10 through 12/31/10.

- Parker, James S., College of Communications and Information Studies, Instructor (part-time), Communication, 8/1/10 through 5/31/11.
- Patton, Nobuko T., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 12/31/10.
- Pena Rivera, Carlos A., College of Arts and Sciences, Instructor (part-time), Hispanic Studies, 8/16/10 through 12/31/10.
- Phillips, Lynn R., College of Arts and Sciences, Lecturer, Geography, 7/1/10 through 6/30/12.
- Pittard, Melissa Q., College of Arts and Sciences, Instructor (part-time), Statistics, 8/16/10 through 12/31/10.
- Plummer, Patricia A., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Prats, Judith, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Ratajeski, Kent, College of Arts and Sciences, Lecturer, Earth and Environmental Sciences, 7/1/10 through 6/30/12.
- Reece, Erik, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Reedy-Strother, Tammy L., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Reese, Christopher L., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Robinson, Deirdra L., College of Social Work, Clinical Instructor, 7/1/10 through 8/31/10.
- Rolfes, Siobhan B., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Sajiki, Atsuko, College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/12.
- Sauer, Jeorg H., College of Arts and Sciences, Senior Lecturer, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 6/30/13.
- Sewell, Jamil A., College of Arts and Sciences, Instructor (part-time), Political Science, 8/16/10 through 12/31/10.
- Shumer, Daniel, College of Arts and Sciences, Instructor (temporary), English, 8/16/10 through 12/31/10.
- Silman, Miriam S., College of Social Work, Instructor (part-time), 8/25/10 through 12/31/10.
- Simon, Marion F., College of Agriculture, Assistant Adjunct Professor, Agricultural Economics, 7/1/10 through 6/30/11.
- Smith, Henry D., College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 12/31/10.
- Smith, Richard, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Smoot, Richard C., College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Stamatiadis, Anne Marie, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.

- Stapel, Christopher J., College of Arts and Sciences, Instructor (part-time), Sociology, 8/16/10 through 12/31/10.
- Swanson, Azhar B., College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 12/31/10.
- Tolzmann, Don H., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/16/10 through 6/30/11.
- Tri, Mary, College of Arts and Sciences, Senior Lecturer, English, 7/1/10 through 6/30/13.
- Usher, Ellen L., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/10 through 6/30/11.
- Van Sickels, Mary, College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Vien, John B., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Visona, Paolo, College of Arts and Sciences, Instructor (part-time), Modern and Classical Languages, Literatures, and Cultures, 8/16/10 through 12/31/10.
- Voskresensky, Anna, College of Arts and Sciences, Adjunct Instructor, Modern and Classical Languages, Literatures, and Cultures, 7/1/10 through 12/31/10.
- Weinberg, Brian E., College of Arts and Sciences, Instructor (part-time), English, 8/16/10 through 12/31/10.
- Wellman, Stephen M., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Wesley, Threasa L., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/16/10 through 6/30/11.
- White, Jacqueline R., College of Communications and Information Studies, Instructor (part-time), Library and Information Science, 8/15/10 through 6/30/11.
- Wilke, David G., College of Arts and Sciences, Instructor (part-time), Philosophy, 8/16/10 through 12/31/10.
- Wood, Janice E., College of Arts and Sciences, Instructor (part-time), History, 8/16/10 through 12/31/10.
- Woodrum, Kim, College of Arts and Sciences, Senior Lecturer, Chemistry, 7/1/10 through 6/30/13.
- Woods, Dorothy K., Undergraduate Studies, Instructor (part-time), Honors Program, 8/16/10 through 5/15/11.

#### **D. PROMOTIONS WITHOUT TENURE**

- Drake, Angela R., College of Medicine, from Clinical Instructor, Anesthesiology, to Clinical Assistant Professor, Anesthesiology, 10/1/10 through 6/30/11.
- Holle, Bruce, College of Arts and Sciences, from Lecturer, History, to Senior Lecturer, History, 7/1/10 through 6/30/13.
- Howell, John D., College of Arts and Sciences, from Lecturer, English, to Senior Lecturer, English, 7/1/10 through 6/30/13.
- Lukens, Margaret F., College of Medicine, from Instructor (part-time), Anesthesiology, and joint appointment as Instructor (part-time), Pediatrics, to Assistant Professor (part-time), Anesthesiology, and joint appointment as Instructor (part-time), Pediatrics, 10/1/10 through 6/30/11.



Stephenson, Tammy J., College of Agriculture, from Lecturer, Nutrition and Food Science, to Senior Lecturer, Nutrition and Food Science, 8/1/10 through 6/30/11.

Tzanetos, Deanna T., College of Medicine, from Instructor (part-time), Pediatrics, to Clinical Assistant Professor, Pediatrics, 10/1/10 through 6/30/11.

## E. CHANGES

Byrd, Brenna R., College of Arts and Sciences, Lecturer, Modern and Classical Languages, Literatures, and Cultures, from 8/16/10 through 6/30/11, to 8/16/10 through 6/30/12.

Cain, John P., College of Arts and Sciences, from Instructor (part-time), Statistics, to Instructor (temporary), Statistics, 8/16/10 through 6/30/11.

Campbell, Todd, College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/10 through 12/31/10.

Cardiff, Cheryl, College of Arts and Sciences, from Instructor (part-time), English, to Lecturer, English, 7/1/10 through 6/30/12.

Carvalho, Cletus S., College of Medicine, from Assistant Professor\*, Psychiatry, to Clinical Assistant Professor, Psychiatry, 11/1/10 through 6/30/11.

Fetters, Cassandra D., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/10 through 12/31/10.

Huskisson, Christine M., College of Fine Arts, from Instructor (part-time), Art, to Adjunct Instructor, Art, 8/1/10 through 6/30/13.

Johnson, Terry L., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/10 through 12/31/10.

Kelly, Elizabeth P., College of Arts and Sciences, from Instructor (part-time), Mathematics, to Lecturer, Mathematics, 7/1/10 through 6/30/12.

Kershaw, Debra S., College of Arts and Sciences, from Instructor (temporary), Sociology, to Instructor (part-time), Sociology, 8/16/10 through 12/31/10.

Meyer-Rossi, Alissa L., College of Agriculture, from Instructor (part-time), Community and Leadership Development, to Lecturer, Community and Leadership Development, 1/1/10 through 5/15/11.

Nenadic, Natalie, College of Arts and Sciences, from Assistant Professor (visiting), Philosophy, to Assistant Professor, Philosophy, 8/16/10 through 6/30/12.

O'Hara, Michael, College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/10 through 12/31/10.

Oaks, Jan, College of Arts and Sciences, from Senior Lecturer, English, to Senior Lecturer, Gender and Women's Studies, 7/1/10 through 6/30/13.

Rice, Christopher S., College of Arts and Sciences, from Lecturer, Political Science, to Instructor (part-time), Political Science, 8/16/10 through 12/31/10.

Robinson, Deirdra L., College of Social Work, from Clinical Instructor, to Instructor (part-time), 9/1/10 through 12/31/10.

Schmidt, Jack B., College of Arts and Sciences, from Instructor (part-time), Mathematics, to Lecturer, Mathematics, 7/1/10 through 6/30/12.

Schroot, Lisa M., College of Arts and Sciences, from Instructor (part-time), English, to Instructor (temporary), English, 8/16/10 through 12/31/10.

- Seabolt, John P., College of Arts and Sciences, from Adjunct Instructor, Biology, to Instructor (temporary), Biology, 8/16/10 through 6/30/11.
- Simon, Rachel A., College of Arts and Sciences, from Instructor (temporary), English, to Instructor (part-time), English, 8/16/10 through 12/31/10.
- Sultana, Rukhsana, College of Arts and Sciences, from Instructor (part-time), Chemistry, to Assistant Research Professor, Chemistry, 4/1/10 through 6/30/11.
- Whitlock, Tammy, College of Arts and Sciences, from Instructor (part-time), History, to Lecturer, History, 7/1/10 through 6/30/12.
- Yu, Guoqiang, Graduate School, from Assistant Professor, Biomedical Engineering, to Assistant Professor, Biomedical Engineering, College of Engineering, 7/1/10 through 6/30/11.

#### **F. TERMINAL REAPPOINTMENTS**

- Beaman, Arthur L., College of Arts and Sciences, Lecturer, Psychology, 7/1/10 through 6/30/11.
- Bitson, Troy B., College of Arts and Sciences, Lecturer, Psychology, 7/1/10 through 6/30/11.
- Chi, Young-In, College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 9/1/10 through 8/31/11.

#### **G. LEAVES OF ABSENCE**

- Case, Donald O., College of Communications and Information Studies, Professor (with tenure), Library and Information Science, Sabbatical leave at half salary, 8/15/11 through 12/31/11.
- Jackson, Carney B., College of Agriculture, Associate Professor\* (with tenure), Veterinary Science, Special leave without pay, from 6/7/09 through 10/8/10, to 6/7/09 through 7/31/10.
- Swan, Kathleen, College of Education, Associate Professor (with tenure), Curriculum and Instruction, Sabbatical leave at full salary, 8/16/11 through 12/31/11.
- Viele, James, College of Arts and Sciences, Associate Professor (with tenure), Statistics, Leave without pay, 8/16/10 through 6/30/11.

#### **H. RESIGNATIONS**

- Scherbenske, James, College of Medicine, Clinical Assistant Professor, Internal Medicine, effective 8/14/10.

**EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS**

**I. BOARD ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

Baker, Jacob Steven, University Hospital, Information Technology Executive Director/UKHC, EVPHA Information Technology, effective 03/29/10  
Horn, Debra, University Hospital, Clinical Staff Pharmacist, Pharmacy Services/UKHC, effective 09/07/10  
Ramey, Wendy, University Hospital, Pharmacist Clinical Staff/UKHC, Pharmacy Services, effective 09/13/10

**B. RETIREMENTS**

Adams, Setsuko, Nurse Clinical, after 17 years and 06 months of consecutive service, under AR 3.1 Section VI.B., effective 06/01/10  
Fresh, Frances, Medical Technologist Master, after 21 years and 11 months of consecutive service, under AR 3.1 Section VI.A., effective 07/01/10  
Mackey, Scarlet A., Dietetics Technician, after 37 years and 04 months of consecutive service, under AR 3.1, Section VI.A., effective 04/09/10  
Robinette, Ilene F., Medical Records Charts Coordinator, after 25 years and 08 months of consecutive service, under AR 3.1 Section VI.A., effective 04/16/10  
Warner, Shelia C., Dietetics Technician, after 31 years and 10 months of consecutive service, under AR 3.1, Section VI.A., effective 10/7/10

**II. ADMINISTRATIVE ACTION**

**A. ADMINISTRATIVE APPOINTMENTS**

Zhang, Daoping, University Hospital, Chief Medical Technologist/UKHC, Laboratory Services/Chandler, effective 09/01/10

**B. CHANGES**

Cherry, James, University Hospital, from IS Tech Support Assistant, Facility Services/Clinical Engineering/UKHC to Electronic Technician Biomedical Supervisor, Facility Services/Clinical Engineering/UKHC, effective 08/15/10  
Filburn, Mark, University Hospital, from Financial Analyst, Fiscal Planning and Analysis/UKHC, to Service Line Finance Manager, Fiscal Planning and Analysis/UKHC, effective 08/15/10

# FCR 1

Office of the President  
October 12, 2010

Members, Board of Trustees:

## WARREN ROSENTHAL PLEDGE

Recommendation: that the Board of Trustees accept a pledge of \$500,000 from Warren Rosenthal of Lexington, Kentucky - \$200,000 of the pledge is for the Schmidt Vocal Arts Center Renovation fund and the remaining \$300,000 is for support of the Opera program in the College of Fine Arts' School of Music.

Background: Warren Rosenthal earned his bachelor's degree in Commerce in 1947 and was awarded an honorary doctorate in 1984. He has been a loyal donor to the University for many years and has supported multiple colleges and programs, including the Gatton College of Business and Economics and the UK Athletics Association. Mr. Rosenthal is Chair of The Schmidt Vocal Arts Center Renovation initiative, which has been undertaken to raise a minimum of \$1.5 million in private funds to renovate uncompleted space in the Center.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 2

Office of the President  
October 12, 2010

Members, Board of Trustees:

ACCEPTANCE OF AUDIT REPORT  
AND THE REPORT ON INTERNAL CONTROL  
FOR THE UNIVERSITY OF KENTUCKY FOR 2009-10

Recommendation: that the Board of Trustees accept (1) the University of Kentucky Audited Financial Statements for the fiscal year ended June 30, 2010, consisting of the Statements of Net Assets, Statements of Revenues, Expenses and Changes in Net Assets, Statements of Cash Flows, Notes to Financial Statements, and Management's Discussion and Analysis; and (2) the Independent Auditor's Report on the Internal Control based on the Audit of Financial Statements. The statements are provided as a separately bound document.

Background: The Audit Subcommittee of the Finance Committee of the University of Kentucky has reviewed the University's financial statements for the fiscal year ended June 30, 2010, that have been audited by BKD LLP, Certified Public Accountants. These financial statements are prepared in accordance with Governmental Accounting Standards Board ("GASB") Statement No. 35, *Basic Financial Statements – and Management's Discussion and Analysis – for Public Colleges and Universities*. The statements focus on the financial condition, results of operations, and cash flows of the University as a whole, with resources classified for accounting and reporting purposes into four net asset categories: invested in capital assets (net of related debt), restricted-nonexpendable, restricted-expendable, and unrestricted.

The financial statements of the University include its operations, the UK HealthCare Hospital System (an organizational unit of the University), and the University's for-profit subsidiary (Kentucky Healthcare Enterprise, Inc.). The statements also include the University's affiliated non-profit corporations [i.e., entities for which the University is financially accountable as defined by GASB Statement No. 14 and amended by Statement No. 39, and which meet the definition of an affiliated corporation under Kentucky Revised Statute (KRS) section 164A.550]:

- The University of Kentucky Research Foundation and its for-profit subsidiaries (Kentucky Technology, Inc. and Coldstream Laboratories, Inc.);
- The Fund for Advancement of Education and Research in the University of Kentucky Medical Center;
- University of Kentucky Athletic Association;
- Central Kentucky Management Services, Inc.;

- University of Kentucky Mining Engineering Foundation, Inc.;
- University of Kentucky Business Partnership Foundation, Inc.;
- University of Kentucky Gluck Equine Research Foundation, Inc.;
- University of Kentucky Humanities Foundation, Inc.; and
- University of Kentucky Center on Aging Foundation, Inc.

The financial statements also include the operations of Kentucky Medical Services Foundation, Inc. (KMSF) and the University of Kentucky Faculty Club, Inc, which are non-profit entities for which the University is financially accountable as defined by GASB, but which are not affiliated corporations under KRS.

The financial statements and the independent auditor's report are provided separately. The documents may be viewed at:

[http://www.uky.edu/Home/AnnualReport/2010\\_consolidated\\_financial\\_statements.pdf](http://www.uky.edu/Home/AnnualReport/2010_consolidated_financial_statements.pdf)

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

# FCR 3

Office of the President  
October 12, 2010

Members, Board of Trustees:

## CONSTRUCT NEW STUDENT HOUSING

Recommendation: that the Board of Trustees approve the initiation of the Construct New Student Housing capital project.

Background: Authorized by the 2010 Extraordinary Session of the Kentucky General Assembly as 'Construct Second New Housing', this project will construct approximately 98,000 gross square feet of new student residential space housing approximately 350 beds. The rooms will be suite style (two bedrooms share a common bath). Several sites are under consideration, including sites with existing dorms. A final decision will be made during the programming phase of the project. The goal of the project is to bring new beds online by August 2012.

The scope of this project is \$30,000,000 which will be funded by Agency Bonds with the debt service paid by Housing and Dining funds.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_



# FCR 4

Office of the President  
October 12, 2010

Members, Board of Trustee

## CONSTRUCT COMMUNITY HEALTH CENTER FACILITY

**Recommendation:** that the Board of Trustees authorize the initiation of the Construct Community Health Center Facility project.

**Background:** The University of Kentucky Research Foundation, on behalf of the College of Medicine, has received an \$11,461,503 grant from the Health Resources and Services Administration (HRSA), U.S. Department of Health and Human Services, under the Affordable Care Act (Public Law 111-148), to construct a new community health center facility in Hazard, Kentucky. The proposed service area for the Center – Knott, Leslie, and Perry counties – is a rural, mountainous region in central Appalachia with a population of over 58,000. These counties have high rates of poverty and poor health habits (e.g., obesity, smoking, low levels of physical activity), large populations of uninsured residents, and low levels of educational attainment. Both Knott and Leslie counties are HRSA-designated health professional shortage areas for primary medical care.

Stringent timing requirements are included in the terms and conditions of the HRSA grant. Pending the negotiation of the acceptance of the grant and approval by the Board of Trustees, the University will request the necessary authorizations from the Council on Postsecondary Education (CPE) and the Capital Projects and Bond Oversight Committee. The total project scope is not expected to exceed \$12 million, with necessary funds in excess of the grant coming from the College of Medicine.

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Action taken:  Approved     Disapproved     Other \_\_\_\_\_

# UHCCR 1

October 12, 2010

Members, Board of Trustees:

## UNIVERSITY HEALTH CARE COMMITTEE OPERATING RULES

Recommendation: that the Board of Trustees approve the University Health Care Committee Operating Rules, attached hereto as Exhibit 1.

Background: The Governing Regulations establishing the University Health Care Committee allow the committee to enact its own operating rules. The committee adopted its initial operating rules in 2005 and amended such rules in 2007 in connection with the acquisition of the operations and assets of Samaritan Hospital. The University Health Care Committee and the UK HealthCare Organized Medical Staff have approved amended and restated UK HealthCare Medical Staff Bylaws to reflect the integration of UK HealthCare Good Samaritan Hospital with the University of Kentucky Hospital and to comply with the requirements of federal and state law and the recently revised accreditation standards of The Joint Commission. The proposed Operating Rules have been revised to be consistent with the amended and restated UK HealthCare Medical Staff Bylaws as required by The Joint Commission.

Further, the proposed Operating Rules increase the number of Community Advisory Members from five up to seven. These Community Advisory Members assist the committee in its functions by providing specialized advice and support.

The proposed Operating Rules were approved by the University Health Care Committee at its meeting on October 11, 2010. A marked version of the Operating Rules reflecting the changes from the 2007 rules is attached as Exhibit 2.

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Action taken:     Approved     Disapproved     Other \_\_\_\_\_

## EXHIBIT 1

### **OPERATING RULES OF THE UNIVERSITY HEALTH CARE COMMITTEE**

#### **I. ESTABLISHMENT**

Pursuant to Governing Regulation Part II, section A.6(i), the University Health Care Committee (the “Committee”) is established to serve as the governing body to operate the University Hospitals and to oversee the University clinical enterprise. As anticipated by such Governing Regulation, these operating rules are created to guide the governance of the Committee, the University Hospitals and the University clinical enterprise. For purposes of these operating rules, the “University Hospitals” include the University of Kentucky Hospital (sometimes referred to as “Chandler Hospital”) and the UK HealthCare Good Samaritan Hospital, and the University clinical enterprise includes the healthcare delivery and clinical programs of the University Hospitals, UK HealthCare Ambulatory Services, and the University of Kentucky College of Medicine and its faculty practice plan. To accomplish its purposes, the Committee has the power to function in accordance with such Governing Regulation and these operating rules without the need to consult the University Board of Trustees, except to the extent required by Kentucky Revised Statute 164.131 and the Governing Regulations.

#### **II. RESPONSIBILITIES**

The Committee is ultimately responsible for the safety and quality of care, treatment and services provided at the University Hospitals and throughout the University clinical enterprise. Without limiting the scope of such responsibility, the Committee shall, through sound oversight and governance:

- 2.1. Provide management oversight for the development and implementation of policies, rules, and regulations and Medical Staff Bylaws for the governance of the University Hospitals and the University clinical enterprise.
- 2.2. Provide optimal settings, facilities and resources for conducting exemplary inpatient and outpatient patient care services for the residents of the Commonwealth of Kentucky and beyond and as required to support the educational and research missions of the Colleges of Medicine, Dentistry, Nursing, Pharmacy, Health Sciences, and Public Health.
- 2.3. Provide access to clinical facilities of the University Hospitals and the UK HealthCare Ambulatory Services for the teaching and research programs of the University.
- 2.4. Support scientific advancement and health maintenance.
- 2.5. Develop and coordinate a model educational environment for the training of health science students and residents and to promote the advancement of scientific learning and research.
- 2.6. Attract and retain high quality faculty and staff to teach, conduct research, and provide patient care.
- 2.7. Enhance the development of superior patient care in a group practice setting within the academic environment of the University clinical enterprise.

- 2.8. Ensure adherence to all legal, regulatory and ethical standards applicable to the University Hospitals and the clinical enterprise and shall review the UK HealthCare Compliance Plan at least annually.
- 2.9. Serve, through its general grant of power by the University Board of Trustees as the governing body of the University Hospitals and to exercise all the powers of a governing body as required by and in accordance with the Conditions of Participation in the Medicare Program promulgated by the Centers for Medicare and Medicaid Services of the United States Department of Health and Human Services (the “Conditions of Participation”), standards established by The Joint Commission (“TJC Standards”), licensure requirements and other applicable federal and state law and to oversee the University clinical enterprise.
- 2.10. Review and approve the annual, biennial, and other planned operating and capital budgets for the University Hospitals and clinical enterprise presented by the Executive Vice President for Health Affairs and recommend same to the University Board of Trustees and monitor the implementation of such budgets.
- 2.11. Exercise oversight for, participate in and approve all short-term and long-term planning, including the strategic plan for the University Hospitals and clinical enterprise.
- 2.12. Approve the written scope of services of the University Hospitals.
- 2.13. Review and evaluate annually the performance of the University Hospitals in relation to the mission, vision and goals.
- 2.14. Manage conflict that may arise among leadership groups of the University Hospitals and the University clinical enterprise, including the Committee, senior management and the organized medical staff through the Joint Conference Committee established as a committee of the Medical Staff under the UK HealthCare Medical Staff Bylaws.
- 2.15. Provide oversight for all matters involving quality and safety of patient care including, but not limited to, patient flow, standard of treatment and services; provision of essential services in a timely manner; use of outside services; quality, sufficiency of staffing; adequacy of space, equipment and other resources; policies and procedures for care treatment and services; patient education; quality measurement, assessment and improvement; and development of clinical practice guidelines. The chairperson of the Committee may appoint one Trustee member to serve as a member of the UK HealthCare Quality and Safety Committee of the UK HealthCare Medical Staff.

### III. COMPOSITION

The Committee shall be composed of five voting Trustee Members, seven non-voting Advisory Members and up to seven non-voting Community Advisory Members.

- 3.1. Trustee Members: The Trustee Members shall be members of the University Board of Trustees and shall be appointed by the Chair of the University Board of Trustees, upon the recommendation of the University President. Annually, the Trustee Members shall elect one of Trustee Members as the Chair of the Committee.
- 3.2. Advisory Members: The ex officio Advisory Members shall consist of the individuals holding the following positions: Executive Vice President for Health Affairs, Dean,

- 3.3. Community Advisory Members: The Chair of the University Board of Trustees may appoint, upon recommendation of the University President, up to seven non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support.
- 3.4. Term: Trustee Members, Advisory Members and Community Advisory Members shall hold office for the terms specified below.
- 3.4.1. A Trustee may serve as a voting Trustee Member for the term for which he/she is appointed so long as he remains a member of the Board of Trustees as provided for in the Governing Regulations. The appointments shall be staggered three year terms Any Trustee may be reappointed for an additional three years. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term by appointment by the Chair of the University Board of Trustees upon the recommendation of the University President.
- 3.4.2. Each Advisory Member shall hold office for his/her term as follows:
- A. The ex-officio Advisory Members will hold office so long as they occupy the positions indicated.
- B. The representative appointed by the University President will hold office until such time as the appointment is rescinded by the University President.
- 3.4.3. Appointments for Community Advisory Members shall be staggered three years terms. Any Community Advisory Member may be reappointed for up to two additional three-year terms.
- 3.5. Orientation: Each member of the Committee shall be oriented to all of the following of the University Hospitals and the University clinical enterprise:
- 3.5.1. The mission, vision and goals;
- 3.5.2. The quality and safety goals;
- 3.5.3. Structure and decision-making process;
- 3.5.4. Development of the budget and the financial statements;
- 3.5.5. Populations served by and any issues related to such populations;
- 3.5.6. Individual and interdependent responsibilities and accountabilities of the Committee, the senior management and the organized medical staff as they relate to supporting the mission and to providing safe and quality care; and
- 3.5.7. Applicable law and regulation.

#### IV. CONFLICT OF INTEREST AND PROHIBITIONS

The University Hospitals, clinical enterprise and this Committee shall not act under any conflict of interest. The Committee and each of its Trustee Members, Advisory Members and

Community Advisory Members shall comply with the University Code of Ethics and the University Code of Conduct Addendum, as both have been adopted by the Board of Trustees, and may be amended, from time to time. In addition, the Committee may enact such other rules prohibiting conflicts of interest as it deems appropriate. No Trustee Member shall be entitled to vote on or deliberate about any matter in which he or she shall have a financial interest.

## V. MEETINGS

- 5.1. Regular Meetings: The Committee shall meet the day before each University Board of Trustees regularly scheduled meeting, and, at such other times as the Chair of the Committee deems necessary to assure adequate oversight and administration of the University Hospitals and clinical enterprise. It shall have a meeting in May which is designated as its annual meeting for approval of the budget and election of officers.
- 5.2. Special Meetings: Special meetings may be called at any time by the Chair of the Committee, or by a majority of the Members of the Committee. The Chair of the Committee shall call a special meeting upon the request of a majority of the Trustee Members, the University President or the Executive Vice President for Health Affairs.
- 5.3. Notice: Seven days' notice shall be given of any meeting of the Committee or any Subcommittee, thereof, except that the Chair may call an emergency meeting with 24 hours' notice.
- 5.4. Quorum: A quorum for the transaction of business at all meetings of the Committee or any Subcommittee, thereof, shall consist of a majority of the Trustee Members of the Committee.
- 5.5. Manner of Acting: The Chair of the Committee or another Trustee Member designated by the Chair of the Committee shall preside over each meeting of the Committee. Each Trustee Member shall be entitled to one vote at each meeting and must be present in person to vote except as allowed in any specific instance by a majority vote of the Trustee Members present. In the event a quorum is present, a majority vote of those present shall constitute the act of the Committee except as herein otherwise specifically provided. This subparagraph shall apply to any Subcommittees, as well.
- 5.6. Records: The Committee shall maintain records of its proceedings and of any meetings of subcommittees.
- 5.7. Reports: The Committee shall make a report to the University Board of Trustees at each regularly scheduled meeting thereof, in such format as said Board shall prescribe.

## VI. GOVERNANCE STRUCTURE

- 6.1. General: The University Hospitals and the University clinical enterprise are governed by the Committee serving as the governing body as required by and consistent with the Conditions of Participation and the TJC Standards, by senior management, including the Executive Vice President for Health Affairs and the Chief Clinical Officer, and the leaders of the organized medical staff.
- 6.2. Executive Vice President for Health Affairs: The Executive Vice President for Health Affairs shall represent the University's interests in matters before the Committee. The

- 6.3. **Chief Clinical Officer:** The Chief Clinical Officer shall serve as the chief executive officer of the University Hospitals for purposes of the Conditions of Participation and the TJC Standards. The Chief Clinical Officer is selected by the Committee upon consultation with the Executive Vice President for Health Affairs and subject to approval by the University Board. The positions of Chief Clinical Officer and Executive Vice President for Health Affairs may be held by the same person.
- 6.4. **Chief Nurse Executive:** The Chief Nurse Executive is the chief nursing officer for University Hospitals and the University clinical enterprise. The Chief Nurse Executive is appointed by the Chief Clinical Officer, subject to the approval of the Executive Vice President for Health Affairs and the Committee. The appointment is subject to approval by the University Board.
- 6.5. **Chief Medical Officer:** The Chief Medical Officer is the UK HealthCare officer responsible for the oversight activities of the organized medical staff including clinical quality, safety, clinical information services, credentialing, patient care clinical services, outcomes, efficiency, and risk management. The Chief Medical Officer is appointed by the Chief Clinical Officer, subject to the approval of the Executive Vice President for Health Affairs and the Committee. The appointment is subject to approval by the University Board.
- 6.6. **Subcommittees:** The Committee may, from time to time, form such standing and ad hoc subcommittees as may be appropriate to fulfill its responsibilities.
- 6.7. **UK HealthCare Medical Staff:** The UK HealthCare Medical Staff shall be established, organized and governed by and in accordance with the UK HealthCare Medical Staff Bylaws approved by the organized medical staff, as defined in such bylaws and by the Committee.
- 6.8. **Medical Staff Executive Committee:** The Medical Staff Executive Committee constitutes the leaders of the organized medical staff and is the executive committee of the UK HealthCare Medical Staff authorized by this Committee and established in the UK

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<sup>1</sup> 3. Executive Vice President for Health Affairs

(a) The Executive Vice President for Health Affairs is the chief operating officer for the University's clinical enterprise. The Executive Vice President for Health Affairs is responsible for the planning, development, and operations of the clinical enterprise, according to the priorities established by the President and the Board of Trustees. The clinical enterprise encompasses and integrates all the patient care activities of the University including the University Hospital, Kentucky Children's Hospital, Kentucky Clinics, Gill Heart Institute, Markey Cancer Center, Kentucky Neuroscience Institute and the clinical activities of the colleges of medicine, dentistry, nursing, pharmacy, public health, and health sciences.

(b) The Executive Vice President for Health Affairs is also responsible for the oversight and management of the faculty practice plans and physician practice management for the University's health care providers. The Executive Vice President for Health Affairs works directly with, and in support of, other officials of the clinical enterprise, deans of medical colleges, officers of the faculty practice plans, University officials, medical staff members, and community and state officials.



HealthCare Medical Staff Bylaws. Its functions and responsibilities are set forth in the UK HealthCare Medical Staff Bylaws.

- 6.9. Executive Committee of Management: The Committee authorizes the Executive Vice President for Health Affairs to establish an Executive Committee of Management (hereafter, the Executive Committee) consisting of key staff, as chosen by said Executive Vice President for Health Affairs, to assist in the management of the University Hospitals and clinical enterprise. Activities of the Executive Committee shall be reported to the Committee at its meetings, and otherwise, as requested.
- 6.10. Management Committees: The Chief Clinical Officer, together with the Executive Vice President for Health Affairs and the Chief Financial Officer for the clinical enterprise, shall have the authority to establish such management committees as may be appropriate to assure the safe and efficient delivery of high quality healthcare to the patients of the University Hospitals and the clinical enterprise.

## VII. HOSPITAL AUXILIARY

There may be organized one or more Hospital Auxiliaries to render service to the University Hospitals and their patients. Membership shall be open to all interested in contributing their time and talents to serve the respective hospital. There shall be formal bylaws, approved by the Committee, which set forth the purpose, membership, methods of assignment, election of officers, duties of officers, and committees of the organization.

## VIII. AMENDMENTS

Except as herein provided, these Operating Rules may be amended, altered, or repealed only by the consent and approval of a majority of the Trustee Members of the entire Committee. No amendment or other change in the Operating Rules shall be effective unless and until it has been approved by the University Board of Trustees.

EXHIBIT 2

OPERATING RULES  
OF THE  
UNIVERSITY HEALTH CARE COMMITTEE

I. ESTABLISHMENT

Pursuant to Governing Regulation Part II, section A.6(i), the University Health Care Committee (the "Committee") is established to serve as the governing body to operate the University Hospitals and to oversee the University clinical enterprise. As anticipated by such Governing Regulation, these operating rules are created to guide the governance of the Committee, the University Hospitals and the University clinical enterprise. For purposes of these operating rules, the "University Hospitals" include the University of Kentucky Hospital (sometimes referred to as "Chandler Hospital") and the UK HealthCare Good Samaritan Hospital, and the University clinical enterprise includes the healthcare delivery and clinical programs of the University Hospitals, UK HealthCare Ambulatory Services, and the University of Kentucky College of Medicine and its faculty practice plan. To accomplish its purposes, the Committee has the power to function in accordance with such Governing Regulation and these operating rules without the need to consult the University Board of Trustees, except to the extent required by Kentucky Revised Statute 164.131 and the Governing Regulations.

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**PURPOSES:**¶  
<#>**Purposes:** The purposes of the University Health Care Committee (Committee) are, through sound oversight and governance, to:¶

II. RESPONSIBILITIES

The Committee is ultimately responsible for the safety and quality of care, treatment and services provided at the University Hospitals and throughout the University clinical enterprise. Without limiting the scope of such responsibility, the Committee shall, through sound oversight and governance:

- 2.1. Provide management oversight for the development and implementation of policies, rules, and regulations and Medical Staff Bylaws for the governance of the University Hospitals and the University clinical enterprise.
- 2.2. Provide optimal settings, facilities and resources for conducting exemplary inpatient and outpatient patient care services for the residents of the Commonwealth of Kentucky and beyond and as required to support the educational and research missions of the Colleges of Medicine, Dentistry, Nursing, Pharmacy, Health Sciences, and Public Health.
- 2.3. Provide access to clinical facilities of the University Hospitals and the UK HealthCare Ambulatory Services for the teaching and research programs of the University.
- 2.4. Support scientific advancement and health maintenance.
- 2.5. Develop and coordinate a model educational environment for the training of health science students and residents and to promote the advancement of scientific learning and research.
- 2.6. Attract and retain high quality faculty and staff to teach, conduct research, and provide patient care.
- 2.7. Enhance the development of superior patient care in a group practice setting within the academic environment of the University clinical enterprise.

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- 2.8. Ensure adherence to all legal, regulatory and ethical standards applicable to the University Hospitals and the clinical enterprise and shall review the UK HealthCare Compliance Plan at least annually.
- 2.9. Serve, through its general grant of power by the University Board of Trustees as the governing body of the University Hospitals and to exercise all the powers of a governing body as required by and in accordance with the Conditions of Participation in the Medicare Program promulgated by the Centers for Medicare and Medicaid Services of the United States Department of Health and Human Services (the "Conditions of Participation"), standards established by The Joint Commission ("TJC Standards"), licensure requirements and other applicable federal and state law and to oversee the University clinical enterprise.
- 2.10. Review and approve the annual, biennial, and other planned operating and capital budgets for the University Hospitals and clinical enterprise presented by the Executive Vice President for Health Affairs and recommend same to the University Board of Trustees and monitor the implementation of such budgets.
- 2.11. Exercise oversight for, participate in and approve all short-term and long-term planning, including the strategic plan for the University Hospitals and clinical enterprise.
- 2.12. Approve the written scope of services of the University Hospitals.
- 2.13. Review and evaluate annually the performance of the University Hospitals in relation to the mission, vision and goals.
- 2.14. Manage conflict that may arise among leadership groups of the University Hospitals and the University clinical enterprise, including the Committee, senior management and the organized medical staff through the Joint Conference Committee established as a committee of the Medical Staff under the UK HealthCare Medical Staff Bylaws.
- 2.15. Provide oversight for all matters involving quality and safety of patient care including, but not limited to, patient flow, standard of treatment and services; provision of essential services in a timely manner; use of outside services; quality, sufficiency of staffing; adequacy of space, equipment and other resources; policies and procedures for care treatment and services; patient education; quality measurement, assessment and improvement; and development of clinical practice guidelines. The chairperson of the Committee may appoint one Trustee member to serve as a member of the UK HealthCare Quality and Safety Committee of the UK HealthCare Medical Staff.

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**Advisory**

**Deleted:** : In addition to the voting Members, there shall be at least seven (7) non-voting Advisory Members for the purpose of providing support and advice to the Board.

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### III. COMPOSITION

The Committee shall be composed of five voting Trustee Members, seven non-voting Advisory Members and up to seven non-voting Community Advisory Members.

- 3.1. Trustee Members: The Trustee Members shall be members of the University Board of Trustees and shall be appointed by the Chair of the University Board of Trustees, upon the recommendation of the University President. Annually, the Trustee Members shall elect one of Trustee Members as the Chair of the Committee.
- 3.1. elect one of Trustee Members as the Chair of the Committee.
- 3.2. Advisory Members: The ex officio Advisory Members shall consist of the individuals holding the following positions: Executive Vice President for Health Affairs, Dean,



College of Medicine, ~~the Chief Clinical Officer,~~ the Chief Medical Officer, ~~the Chief Nursing Executive, and the President of the UK HealthCare Medical Staff.~~ The University President shall also appoint one (1) Advisory Member to the Committee.

3.3. ~~Community Advisory Members:~~ The Chair of the University Board of Trustees may appoint, upon recommendation of the University President, ~~up to seven~~ non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support.

3.4. ~~Term:~~ ~~Trustee Members,~~ Advisory Members and Community Advisory Members shall hold office for the terms specified below.

3.4.1. ~~A Trustee may serve as a~~ voting Trustee Member for the term for which he/she is appointed so long as he remains a member of the Board of Trustees as provided for in the Governing Regulations. The appointments shall be staggered ~~three year~~ terms. Any ~~Trustee~~ may be reappointed for an additional three years. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term ~~by appointment by the Chair of the~~ University Board of Trustees ~~upon the recommendation of the University President.~~

3.4.2. Each Advisory Member shall hold office for his/her term as follows:

A. ~~The ex-officio Advisory Members~~ will hold office so long as they occupy the positions indicated.

B. The representative appointed by the ~~University~~ President will hold office until such time as the appointment is rescinded by the ~~University~~ President.

3.4.3. ~~Appointments for~~ Community Advisory Members shall ~~be staggered three years~~ terms. Any Community Advisory ~~Member~~ may be ~~reappointed for up to two~~ additional three-year terms.

3.5. ~~Orientation:~~ Each member of the Committee shall be oriented to ~~all of the following~~ of the University Hospitals and ~~the University~~ clinical enterprise:

3.5.1. ~~The mission, vision and goals;~~

3.5.2. ~~The quality and safety goals;~~

3.5.3. ~~Structure and decision-making process;~~

3.5.4. ~~Development of the budget and the financial statements;~~

3.5.5. ~~Populations served by and any issues related to such populations;~~

3.5.6. ~~Individual and interdependent responsibilities and accountabilities of the Committee, the senior management and the organized medical staff as they relate to supporting the mission and to providing safe and quality care; and~~

3.5.7. ~~Applicable law and regulation.~~

#### IV. ~~CONFLICT OF INTEREST AND PROHIBITIONS~~

The University Hospitals, clinical enterprise and this Committee shall not act under any conflict of interest. The Committee ~~and each of its Trustee Members, Advisory Members and~~

~~Deleted:~~ ; and

~~Deleted:~~ of the University Hospitals. In addition, for each University Hospital, there shall be three Designated Hospital Officials, appointed by

~~Deleted:~~ Vice

~~Deleted:~~ for Health Affairs, and as otherwise set forth in Section 7.3 herein, who shall serve as ex officio Advisory Members.

~~Deleted:~~ of the University of Kentucky

~~Deleted:~~ University Health Care

~~Deleted:~~ In addition to the voting Members and the Advisory Members listed in paragraph 2.4, above, the Committee may, from time to time, have

~~Deleted:~~ Said Community Advisory Members shall be selected on the basis of their expertise in such areas as finance, construction, management and government. The maximum number of Community Advisory Members authorized at any one time shall be five (5).

~~Deleted:~~ and

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~~Deleted:~~ in the following manner: two Members for three years, two Members for four years and one Member for ... [1]

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~~Deleted:~~ appointment shall not ... [4]

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Community Advisory Members shall comply with the University Code of Ethics and the University Code of Conduct Addendum, as both have been adopted by the Board of Trustees, and may be amended, from time to time. In addition, the Committee may enact such other rules prohibiting conflicts of interest as it deems appropriate. No Trustee Member shall be entitled to vote on or deliberate about any matter in which he or she shall have a financial interest.

V. MEETINGS

- 5.1. Regular Meetings: The Committee shall meet the day before each University Board of Trustees regularly scheduled meeting, and, at such other times as the Chair of the Committee deems necessary to assure adequate oversight and administration of the University Hospitals and clinical enterprise. It shall have a meeting in May which is designated as its annual meeting for approval of the budget and election of officers.
- 5.2. Special Meetings: Special meetings may be called at any time by the Chair of the Committee, or by a majority of the Members of the Committee. The Chair of the Committee shall call a special meeting upon the request of a majority of the Trustee Members, the University President or the Executive Vice President for Health Affairs.
- 5.3. Notice: Seven days' notice shall be given of any meeting of the Committee or any Subcommittee, thereof, except that the Chair may call an emergency meeting with 24 hours' notice.
- 5.4. Quorum: A quorum for the transaction of business at all meetings of the Committee or any Subcommittee, thereof, shall consist of a majority of the Trustee Members of the Committee.
- 5.5. Manner of Acting: The Chair of the Committee or another Trustee Member designated by the Chair of the Committee shall preside over each meeting of the Committee. Each Trustee Member shall be entitled to one vote at each meeting and must be present in person to vote except as allowed in any specific instance by a majority vote of the Trustee Members present. In the event a quorum is present, a majority vote of those present shall constitute the act of the Committee except as herein otherwise specifically provided. This subparagraph shall apply to any Subcommittees, as well.
- 5.6. Records: The Committee shall maintain records of its proceedings and of any meetings of subcommittees.
- 5.7. Reports: The Committee shall make a report to the University Board of Trustees at each regularly scheduled meeting thereof, in such format as said Board shall prescribe.

VI. GOVERNANCE STRUCTURE

- 6.1. General: The University Hospitals and the University clinical enterprise are governed by the Committee serving as the governing body as required by and consistent with the Conditions of Participation and the TJC Standards, by senior management, including the Executive Vice President for Health Affairs and the Chief Clinical Officer, and the leaders of the organized medical staff.
- 6.1. Executive Vice President for Health Affairs: The Executive Vice President for Health Affairs shall represent the University's interests in matters before the Committee. The

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 <#>Officers: The officers of the Committee shall consist of a Chair, Vice Chair, and a Secretary elected by the Committee from its voting Members. In addition, the Committee may designate one or more Assistant Secretaries from among employees of the University to assist it in maintaining records, preparing reports and administration.¶  
 <#>Election and Term: The Committee shall elect officers from its voting Membership for one-year terms.¶  
 <#>Duties of Officers¶  
 <#>Chair: The Chair shall preside at all meetings, shall sign all documents required to be signed for the Committee, shall serve as an ex-officio member of all subcommittees, and shall have such other duties as may be prescribed by the Committee.¶  
 <#>Vice Chair: In the Chair's absence, the Vice Chair shall perform all the duties of the Chair and shall have such other duties as may be prescribed by the Committee.¶  
 <#>Secretary: The Secretary shall maintain minutes of all meetings of the Committee, shall attest to all documents required to be signed for the Committee, shall issue proper notices of all meetings of the Committee, and shall perf( ... [13]

**Deleted:** AND RESPONSIBILITIES

**Deleted:** Board of Trustees: Except as set forth in Kentucky Revised Statutes ... [14]

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position and responsibilities of the Executive Vice President for Health Affairs are more fully described in the University of Kentucky Administrative Regulation 1:1<sup>1</sup>

- 6.3. Chief Clinical Officer: The Chief Clinical Officer shall serve as the chief executive officer of the University Hospitals for purposes of the Conditions of Participation and the TJC Standards. The Chief Clinical Officer is selected by the Committee upon consultation with the Executive Vice President for Health Affairs and subject to approval by the University Board. The positions of Chief Clinical Officer and Executive Vice President for Health Affairs may be held by the same person.
- 6.4. Chief Nurse Executive: The Chief Nurse Executive is the chief nursing officer for University Hospitals and the University clinical enterprise. The Chief Nurse Executive is appointed by the Chief Clinical Officer, subject to the approval of the Executive Vice President for Health Affairs and the Committee. The appointment is subject to approval by the University Board.
- 6.5. Chief Medical Officer: The Chief Medical Officer is the UK HealthCare officer responsible for the oversight activities of the organized medical staff including clinical quality, safety, clinical information services, credentialing, patient care clinical services, outcomes, efficiency, and risk management. The Chief Medical Officer is appointed by the Chief Clinical Officer, subject to the approval of the Executive Vice President for Health Affairs and the Committee. The appointment is subject to approval by the University Board.
- 6.6. Subcommittees: The Committee may, from time to time, form such standing and ad hoc subcommittees as may be appropriate to fulfill its responsibilities.
- 6.7. UK HealthCare Medical Staff: The UK HealthCare Medical Staff shall be established, organized and governed by and in accordance with the UK HealthCare Medical Staff Bylaws approved by the organized medical staff, as defined in such bylaws and by the Committee.
- 6.8. Medical Staff Executive Committee: The Medical Staff Executive Committee constitutes the leaders of the organized medical staff and is the executive committee of the UK HealthCare Medical Staff authorized by this Committee and established in the UK

### <sup>1</sup> 3. Executive Vice President for Health Affairs

(a) The Executive Vice President for Health Affairs is the chief operating officer for the University's clinical enterprise. The Executive Vice President for Health Affairs is responsible for the planning, development, and operations of the clinical enterprise, according to the priorities established by the President and the Board of Trustees. The clinical enterprise encompasses and integrates all the patient care activities of the University including the University Hospital, Kentucky Children's Hospital, Kentucky Clinics, Gill Heart Institute, Markey Cancer Center, Kentucky Neuroscience Institute and the clinical activities of the colleges of medicine, dentistry, nursing, pharmacy, public health, and health sciences.

(b) The Executive Vice President for Health Affairs is also responsible for the oversight and management of the faculty practice plans and physician practice management for the University's health care providers. The Executive Vice President for Health Affairs works directly with, and in support of, other officials of the clinical enterprise, deans of medical colleges, officers of the faculty practice plans, University officials, medical staff members, and community and state officials.

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**Deleted:** subparagraphs 7.4.

**Deleted:** through 7.4.6, below (hereafter, Authorized Subcommittees). At least annually the Committee shall make a determination of which, if any, of the Authorized Subcommittees will be appointed, based upon the needs of the University Hospitals and clinical enterprise. Those Authorized Subcommittees deemed necessary shall then have their composition determined by the Members and appointed from the membership of the Committee. The Authorized Subcommittees so established shall meet at the call of the subcommittee chair and shall be subject to the same notice, meeting and record keeping requirements of the Committee. The Authorized Subcommittees and their functions are as follows:

**Deleted: Quality and Safety of Care:** The Quality and Safety of Care Subcommittee, subordinate to and, in addition to, the Committee, shall be responsible for all matters involving quality and safety of patient care including, but not limited to, patient flow, standard of treatment and services; provision of essential services in a timely manner; use of outside services; quality, sufficiency of staffing; adequacy of space, equipment and other resources; policies and procedures for care treatment and services; patient education; quality measurement, assessment and improvement; and development of clinical practice guidelines.¶  
<#>**Planning:** The Planning Subcommittee shall have oversight of and approve all short-term and long-term planning, including the strategic plan.¶  
<#>**Finance:** The Finance Subcommittee shall review and recommend to the Committee the annual operating and capital budget.¶  
<#>**Audit:** The Audit Subcommittee shall have oversight responsibility for the financial operation of the University Hospitals and clinical enterprise. It shall receive reports from any outside auditors and direct such action, as appropriate.¶  
<#>**Compliance:** The Compliance Subcommittee shall have oversight responsibility of the University Hospitals' and clinical enterprise's adherence to laws, rules, regulations and ethical standards. It shall review the Compliance Plan at least annually.¶  
<#>**Clinical Faculty and Healthc** ... [17]

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HealthCare Medical Staff Bylaws. Its functions and responsibilities are set forth in the UK HealthCare Medical Staff Bylaws.

6.9. Executive Committee of Management: The Committee authorizes the Executive Vice President for Health Affairs to establish an Executive Committee of Management (hereafter, the Executive Committee) consisting of key staff, as chosen by said Executive Vice President for Health Affairs, to assist in the management of the University Hospitals and clinical enterprise. Activities of the Executive Committee shall be reported to the Committee at its meetings, and otherwise, as requested.

6.10. Management Committees: The Chief Clinical Officer, together with the Executive Vice President for Health Affairs and the Chief Financial Officer for the clinical enterprise, shall have the authority to establish such management committees as may be appropriate to assure the safe and efficient delivery of high quality healthcare to the patients of the University Hospitals and the clinical enterprise.

## VII. HOSPITAL AUXILIARY

There may be organized one or more Hospital Auxiliaries to render service to the University Hospitals and their patients. Membership shall be open to all interested in contributing their time and talents to serve the respective hospital. There shall be formal bylaws, approved by the Committee, which set forth the purpose, membership, methods of assignment, election of officers, duties of officers, and committees of the organization.

## VIII. AMENDMENTS

Except as herein provided, these Operating Rules may be amended, altered, or repealed only by the consent and approval of a majority of the Trustee Members of the entire Committee. No amendment or other change in the Operating Rules shall be effective unless and until it has been approved by the University Board of Trustees.

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**Deleted:** said Medical Staff By-Laws and in Article VIII of these Operating Rules. The MSEC is the liaison between the members of that Hospital's medical staff and this Committee

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<#>  
THE MEDICAL STAFF AT UNIVERSITY HOSPITALS¶  
<#>Organization, Appointments, Reappointments, and Corrective Action¶  
Authority for the development of relationships between the medical staff and

**Deleted:** for the development of quality assurance systems will be vested in the Committee. The Medical Staff Executive Committee (MSEC) of each hospital is the committee of the its Medical Staff that functions as the principal liaison between Medical Staff, that Hospital's Administration, and the Committee.¶  
<#>The Committee shall establish, appoint and maintain medical staffs at the University Hospitals comprised of qualified physicians, dentists, and other credentialed healthcare providers and shall encourage its organization into a responsible administrative unit, the medical staff. The medical staff at each hospital shall be governed by its Medical Staff Executive Committee. The Medical Staff Executive Committee shall (... [18]

**Deleted:** privileges at any University Hospital shall be in writing and addressed to the Committee through the Ch (... [19]

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AMENDMENTS¶  
PROCESS:

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in the following manner: two Members for three years, two Members for four years and one Member for five years.

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Board member appointed thereafter shall serve for three years and

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. The term remaining on any Trustee's

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appointment shall not be a limiting factor

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future appointments to this Committee.

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Executive Vice President for Health Affairs, Dean of the College of Medicine, Chief Medical Officer, and the Designated Hospital Officials of each University Hospital

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The President of the Medical Staff of UK Chandler Hospital, who shall be the medical staff representative Designated Hospital Official for UK Chandler Hospital, shall hold office for as long as that person holds said office of President.

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hold office for such term as specified in their appointment. However, while appointments as

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renewed by the Committee, no single term of appointment shall exceed three (3) years.

## POWERS

Governing Body: As a means of accomplishing the foregoing purposes, the Committee shall have the powers

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function and act as a governing body under the Joint Commission without need

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consult the University Board of Trustees, as well as such other powers as the Board of Trustees shall so grant.

Policies, Rules, and Regulations: The Committee shall provide management oversight for the development of policies, rules, and regulations for the operations

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Budget: The Committee shall review and approve the annual, biennial, and other planned operating and capital budgets for the University Hospitals and clinical enterprise presented by the EVPHA and recommend same to the University Board of Trustees.

Planning: The Committee shall participate in the long term planning for the University Hospitals and clinical enterprise.

#### Contracts, Loans

Contracts: The Members may authorize any officer or officers, agent or agents, to enter into any contract and to execute and deliver any instrument in the name of or on behalf of the University Hospitals or clinical enterprise, and such authority may be general or confined to specific instances.

Loans: No loan shall be contracted on behalf of any of the University Hospitals or clinical enterprise and no evidence of any indebtedness shall be issued in its name except on the authorization of the Board of Trustees of the University.

### CONFLICT OF INTEREST AND PROHIBITIONS

Net Earnings: The Committee shall operate in a manner to assure that no part of the net earnings of any of the University Hospitals or clinical enterprise shall inure to the benefit of any University Trustee, Committee Member, or any private individual, except that reasonable compensation may be paid for services rendered to enable the University Hospitals and clinical enterprise to provide the services for which they have been organized or otherwise affecting one or more of their purposes, and no Trustee, Committee Member or any private individual shall be entitled to share in the distribution of any of the University Hospitals' or clinical enterprise's assets.

#### Conflicts

## OFFICERS OF THE COMMITTEE

Officers: The officers of the Committee shall consist of a Chair, Vice Chair, and a Secretary elected by the Committee from its voting Members. In addition, the Committee may designate one or more Assistant Secretaries from among employees of the University to assist it in maintaining records, preparing reports and administration.

Election and Term: The Committee shall elect officers from its voting Membership for one-year terms.

### Duties of Officers

Chair: The Chair shall preside at all meetings, shall sign all documents required to be signed for the Committee, shall serve as an ex-officio member of all subcommittees, and shall have such other duties as may be prescribed by the Committee.

Vice Chair: In the Chair's absence, the Vice Chair shall perform all the duties of the Chair and shall have such other duties as may be prescribed by the Committee.

Secretary: The Secretary shall maintain minutes of all meetings of the Committee, shall attest to all documents required to be signed for the Committee, shall issue proper notices of all meetings of the Committee, and shall perform such other duties as may be prescribed by the Committee. Duly appointed Assistant Secretaries may perform such functions, as requested.

Board of Trustees: Except as set forth in Kentucky Revised Statute (KRS) 164.131

Governing Regulation are amended, the authority of the Committee is subordinate to

Designated Hospital Officials: The three (3) Designated Hospital Officials of each University Hospital are the University employees or appointees designated to operate the same by the Executive Vice President of Health Affairs. The three (3) Designated Hospital Officials shall consist of an executive representative, a nursing officer, and a medical staff representative from each University Hospital. They shall represent their respective hospitals before the Committee, and the Designated Hospital Official executive representatives, shall be responsible for carrying out the Committee's directives to achieve quality of care at the respective facilities. The medical staff representative is selected by the medical staff and appointed to the Committee by the EVPHA.

Enumerated Duties and Responsibilities: The Committee has, as its primary role, the assurance of quality of care. In addition to this role, and as a supplement to the powers

Quality and Safety of Care: The Quality and Safety of Care Subcommittee, subordinate to and, in addition to, the Committee, shall be responsible for all matters involving quality and safety of patient care including, but not limited to, patient flow, standard of treatment and services; provision of essential services in a timely manner; use of outside services; quality, sufficiency of staffing; adequacy of space, equipment and other resources; policies and procedures for care treatment and services; patient education; quality measurement, assessment and improvement; and development of clinical practice guidelines.

Planning: The Planning Subcommittee shall have oversight of and approve all short-term and long-term planning, including the strategic plan.

Finance: The Finance Subcommittee shall review and recommend to the Committee the annual operating and capital budget.

Audit: The Audit Subcommittee shall have oversight responsibility for the financial operation of the University Hospitals and clinical enterprise. It shall receive reports from any outside auditors and direct such action, as appropriate.

Compliance: The Compliance Subcommittee shall have oversight responsibility of the University Hospitals' and clinical enterprise's adherence to laws, rules, regulations and ethical standards. It shall review the Compliance Plan at least annually.

Clinical Faculty and Healthcare Professional: The Clinical Faculty and Healthcare Professional Subcommittee shall have oversight responsibility of the faculty and other healthcare professions that deliver healthcare services within the clinical enterprise. As such, this subcommittee shall



have oversight for and serve as the liaison with the faculty practice plan and faculty practice organization of the College of Medicine.

The Committee also may appoint such ad hoc subcommittees as it deems appropriate.

Medical Staff Executive Committee: The Medical Staff Executive Committee (MSEC) is the chief executive committee of the medical staff of each

for the development of quality assurance systems will be vested in the Committee. The Medical Staff Executive Committee (MSEC) of each hospital is the committee of the its Medical Staff that functions as the principal liaison between Medical Staff, that Hospital's Administration, and the Committee.

The Committee shall establish, appoint and maintain medical staffs at the University Hospitals comprised of qualified physicians, dentists, and other credentialed healthcare providers and shall encourage its organization into a responsible administrative unit, the medical staff. The medical staff at each hospital shall be governed by its Medical Staff Executive Committee. The Medical Staff Executive Committee shall recommend medical staff bylaws, rules and regulations for the governance of the medical and dental practice in that Hospital, as well as governance of the practice of any other healthcare providers permitted to practice in that hospital. When approved by the Committee, such bylaws, rules and regulations shall be binding upon both the medical staff and the Medical Staff Executive Committee of the respective Hospital.

The Committee shall consider recommendations of the Medical Staff Executive Committee of each Hospital for appointment to its Medical Staff, consistent with the educational, research, patient care, and community service goals of that Hospital, of physicians and dentists who meet the qualifications for membership as set forth in the Hospital's Medical Staff Bylaws. Each member of the respective Medical Staff shall have appropriate authority and responsibility for the care of their patients, subject to such limitations as are contained in these Operating Rules and the respective Medical Staff Bylaws, respective Rules and Regulations of the Medical Staff and subject further to any limitation attached to their appointment or clinical privileges.

All applications for Medical Staff membership and

privileges at any University Hospital shall be in writing and addressed to the Committee through the Chief Medical Officer from the Medical Staff Executive Committee of that Hospital. The applications shall include detailed information concerning the applicant's qualifications, education and training, professional competence and experience, physical and mental health status, ethical character, ability to work with others, and any additional qualifications as required for a specific staff category to which an applicant seeks appointment and privileges

At its next regular meeting, following receipt of the application from the Chief Medical Officer, the Committee shall act upon the application. The Chief Medical Officer shall notify the applicant in writing of the Committee's approval, or disapproval of the application, including the recommended delineation of privileges or any changes in clinical privileges differing from those recommended.

Upon receipt of the action of the Committee on any application, the Chief Medical Officer also shall send a copy of said written notification to the appropriate Designated Hospital Official, the respective Medical Staff Executive Committee, and the chair of the department concerned (Chief of Clinical Service).

All actions of the Committee shall be effective when taken, including the delineation of clinical privileges.

#### Medical Care and Its Evaluation

The Committee shall, in the exercise of its discretion, grant to the Medical Staff of the respective Hospital the responsibility for providing appropriate professional care to that Hospital's patients.

The Medical Staff of each University Hospital shall conduct a continuing review and appraisal of the quality of professional care rendered in that hospital, and shall report such activities and their results to the Committee as specified by its respective Medical Staff Executive Committee.

The Medical Staff Executive Committee of each University Hospital shall make recommendations to the Committee concerning: (1) appointments, reappointments and Medical Staff terminations (2) granting of clinical privileges to Medical Staff members and health professional affiliates, (3) disciplinary actions, (4) all matters relating to professional competency, and (5) such specific matters as may be referred to it by the Committee.

All corrective actions shall be consistent with the grounds, criteria, and hearing procedures outlined in the Medical Staff Bylaws.

of the University of Kentucky.

#### FISCAL YEAR

The fiscal year of the University Hospitals and clinical enterprise shall commence on July 1



UNIVERSITY OF  
**KENTUCKY**<sup>®</sup>

see blue.



# 2009-2014 Strategic Plan

First Annual Progress Report to the Board of Trustees, 2009-2010

## 2009-2014 Strategic Plan

- ❖ **Developed to inform and align with recalibration of Top 20 Business Plan**
- ❖ **Revised Mission, Vision and Values Statement approved in June 2009**
- ❖ **5 goals, 21 objectives, 79 strategies, 32 metrics**
- ❖ **Five-year timeframe through FY2014**

## 2009-2014 Strategic Plan

### MISSION

The University of Kentucky is a public, land grant university dedicated to improving people's lives through excellence in education, research and creative work, service, and health care. As Kentucky's flagship institution, the University plays a critical leadership role by promoting diversity, inclusion, economic development, and human well-being.

### VISION

The University of Kentucky will be one of the nation's 20 best public research universities.

# 2009-2014 Strategic Plan

## VALUES

*The University of Kentucky is guided by its core values:*

Integrity

Excellence

Mutual Respect and Human Dignity

Diversity and Inclusion

Academic Freedom

Shared Governance

Work-life Sensitivity

Civic Engagement

Social Responsibility



# 2009-2014 Strategic Plan

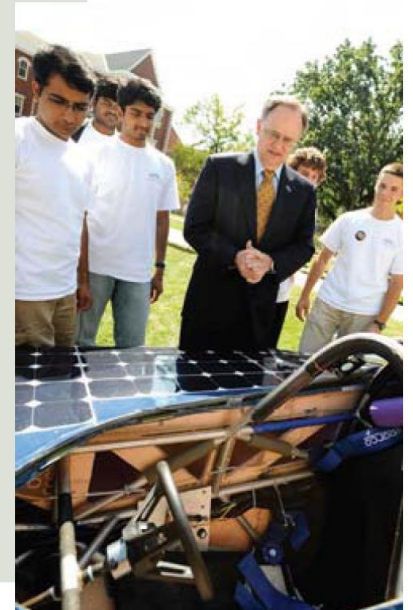
## Five Goals

- I. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society**
- II. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond Its Borders**
- III. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals**
- IV. Promote Diversity and Inclusion**
- V. Improve the Quality of Life of Kentuckians through Engagement, Outreach and Service**



## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

- ▣ 1.1 High ability students from all groups
- ▣ 1.2 Student success at all levels
- ▣ 1.3 Graduates that demonstrate expertise and citizenship preparation
- ▣ 1.4 Increasing number of graduates

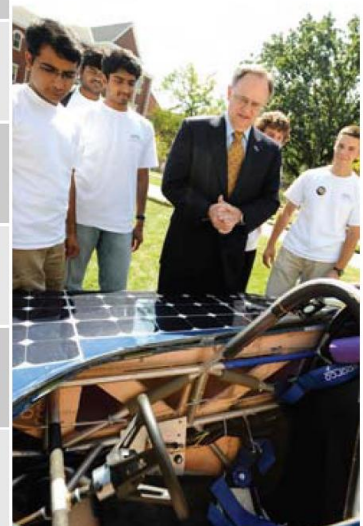




# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

Metric 1-1. Increase the 75<sup>th</sup> percentile of the ACT Composite Score to 28 for the first-year class.

ACT Scores – Entering First-Year Students			
Year	N	Mean	25 <sup>th</sup> /75 <sup>th</sup> Percentile
<b>Fall 2009</b>	<b>3,906</b>	<b>24.7</b>	<b>22/28</b>
Fall 2008	3,825	24.4	22/27
Fall 2007	3,532	24.3	21/27
Fall 2006	3,864	23.9	21/26
Fall 2005	3,453	24.5	22/27





## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.



Metric 1-2. Reduce the undergraduate student-to-faculty ratio to 17 to 1.

Undergraduate Student-to-Faculty Ratio	
Fall 2009	17.7 to 1
Fall 2008	17.8 to 1
Fall 2007	17.4 to 1
Fall 2006	17.9 to 1
Fall 2005	17.6 to 1

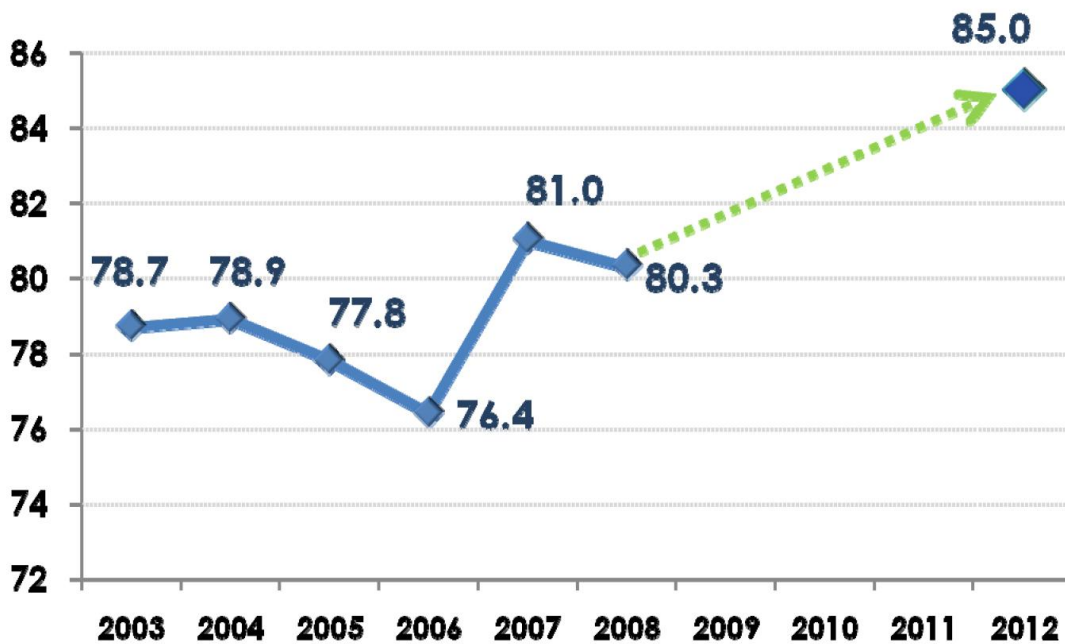


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## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.



Metric 1-3. Increase the first-to-second year retention rate to 85 percent.



**NEW RECORD SET** for Fall to Spring:

**94.5%.**

Preliminary  
New Record for Fall to Fall:

**81.9%**



# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

Metric 1-4. Increase the number of high impact co-curricular activities that support the student's classroom experience in the areas of research, community service, leadership development, internationalization, and inclusion.

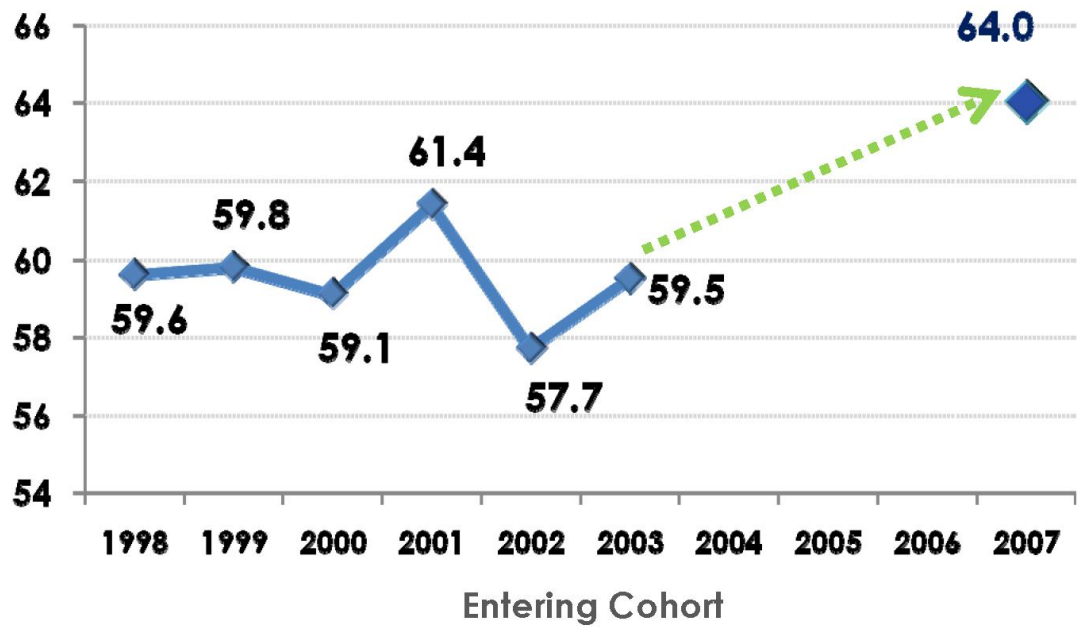
High-Impact Co-curricular Activities		
Academic Year	Activities	Participants (includes duplicates)
09-10	400	45,444
10-11		
11-12		
12-13		
13-14		



# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.



Metric 1-5. Increase the six year graduation rate to 64 percent.



## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

Metric 1-6. Improve undergraduate performance on the Collegiate Learning Assessment (CLA) as measured by value-added statistics.

### Two Studies Conducted:

1. Cross-sectional Study compared incoming freshmen to graduating seniors, controlling for level of academic preparation.
2. Longitudinal Study followed incoming freshmen (phase 1) to the second semester sophomore year (phase 2); one phase remains for the senior year. Results are not yet complete.







# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

CLA Results	FINAL Cross-sectional Results Freshmen compared to Seniors		IN PROGRESS Longitudinal Results Freshmen tracked to Second Year	
	Assessment Type	Percentile Rank	Performance Level	Percentile Range
Performance Task	54	At	10th-29th	Below
Analytic Writing Task	77	Above	30th-69th	At
Make-an-Argument	91	Well Above	30th-69th	At
Critique-an-Argument	57	At	30th-69th	At
<b>TOTAL CLA SCORE</b>	<b>73</b>	<b>Above</b>	<b>30th-69th</b>	<b>At</b>



## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

Metric 1-7. Exceed benchmark averages on pass rates of first-time test takers in professional programs with licensure examinations.

<b>YEAR</b>	<b># Exceeding</b>	<b>%Exceeding</b>
<b>2009-2010</b>	<b>11 of 14</b>	<b>79%</b>
<b>2008-2009</b>	<b>12 of 13</b>	<b>92%</b>

NOTE: In 2009-2010 two programs met but did not exceed the benchmark average.

### Programs Included:

Dentistry, Education, Engineering, Clinical Lab, Communication Disorders, Physician Assistant Studies, Physical Therapy, Law, Medicine, Nursing BS, Nurse Practitioner, Pharmacy, Social Work BA, Social Work MA

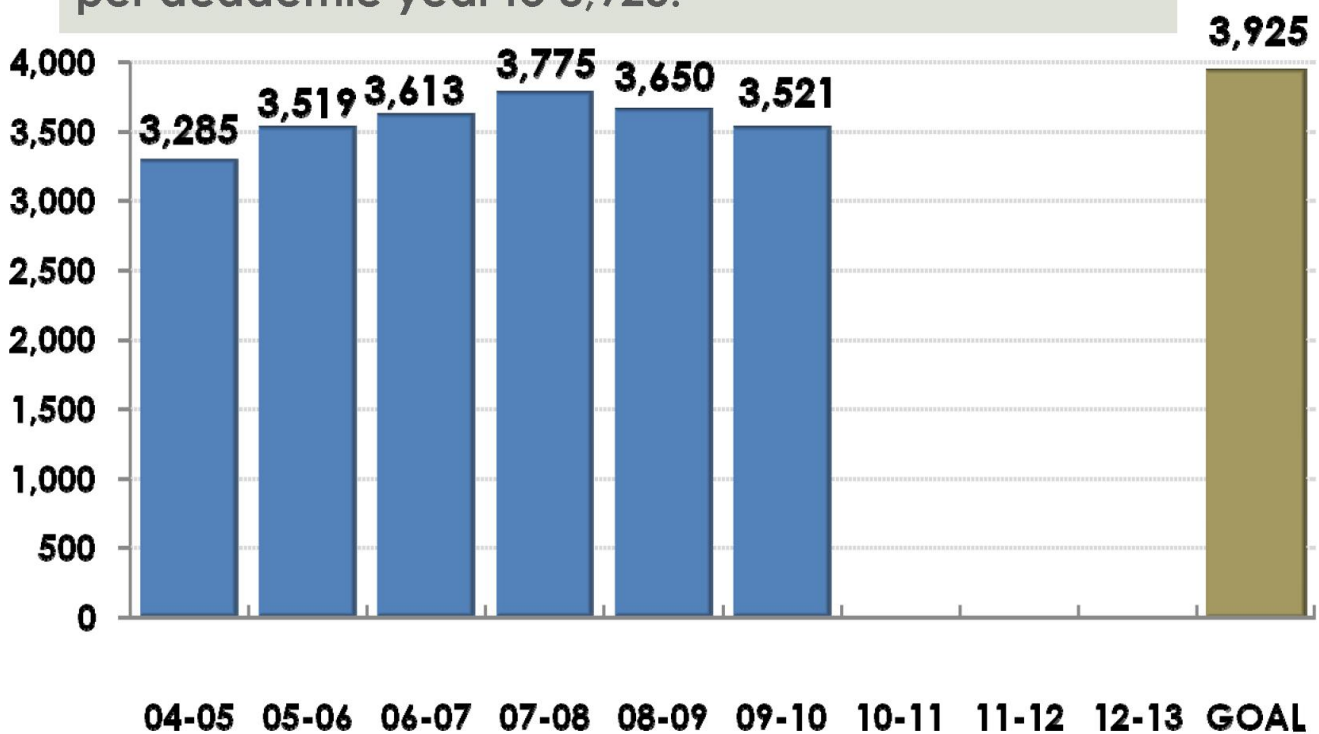






# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

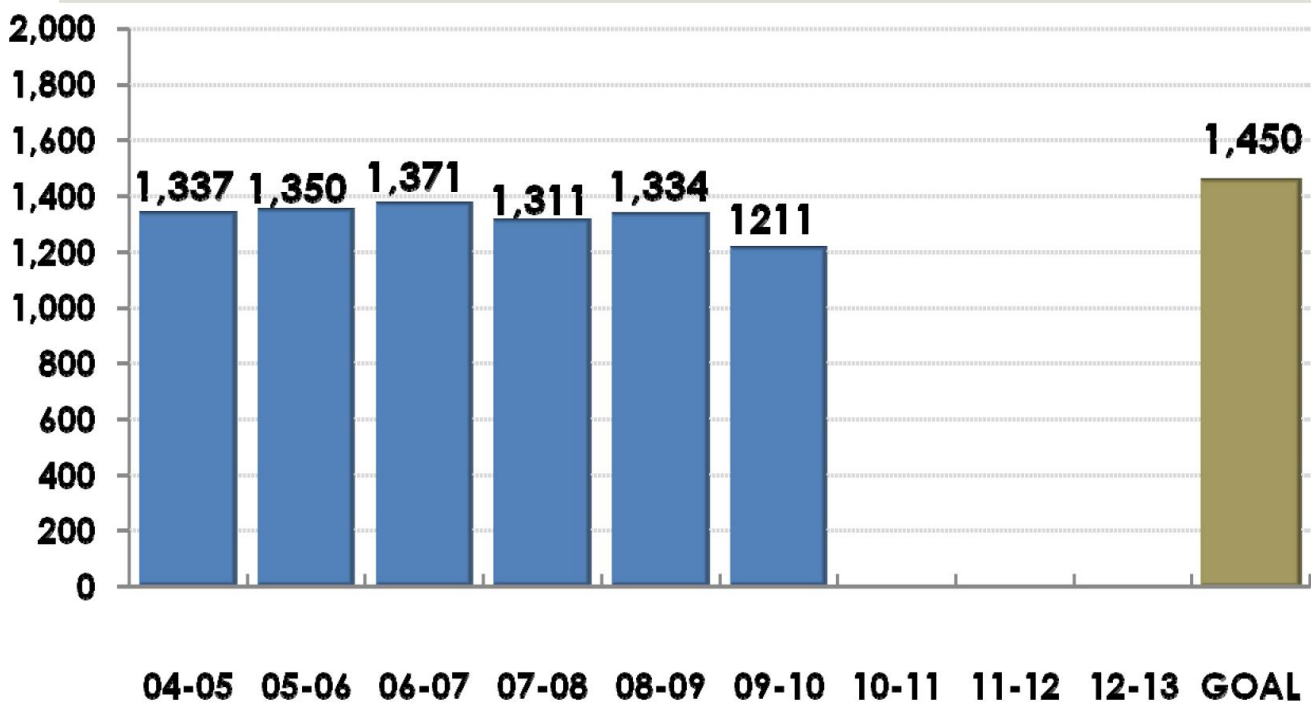
Metric 1-8. Increase bachelor's degrees awarded per academic year to 3,925.





# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.

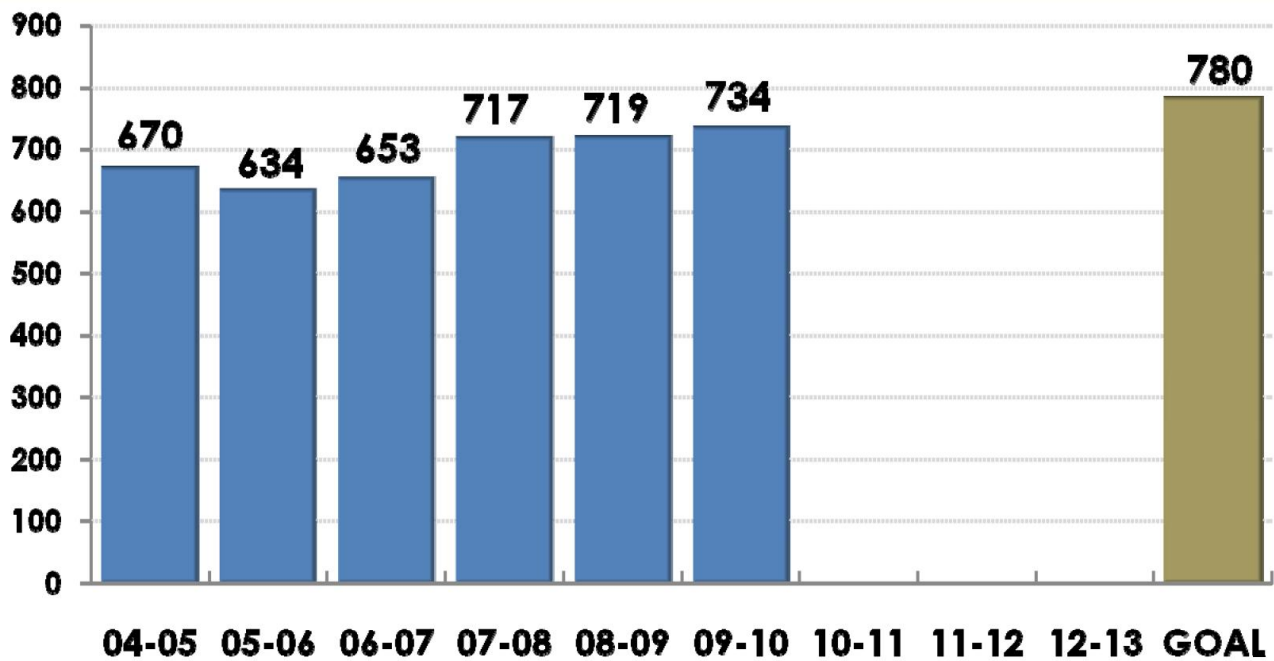
Metric 1-9. Increase master's degrees awarded per academic year to 1,450.



# Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.



Metric 1-10. Increase research and professional doctoral degrees awarded per academic year to 780.

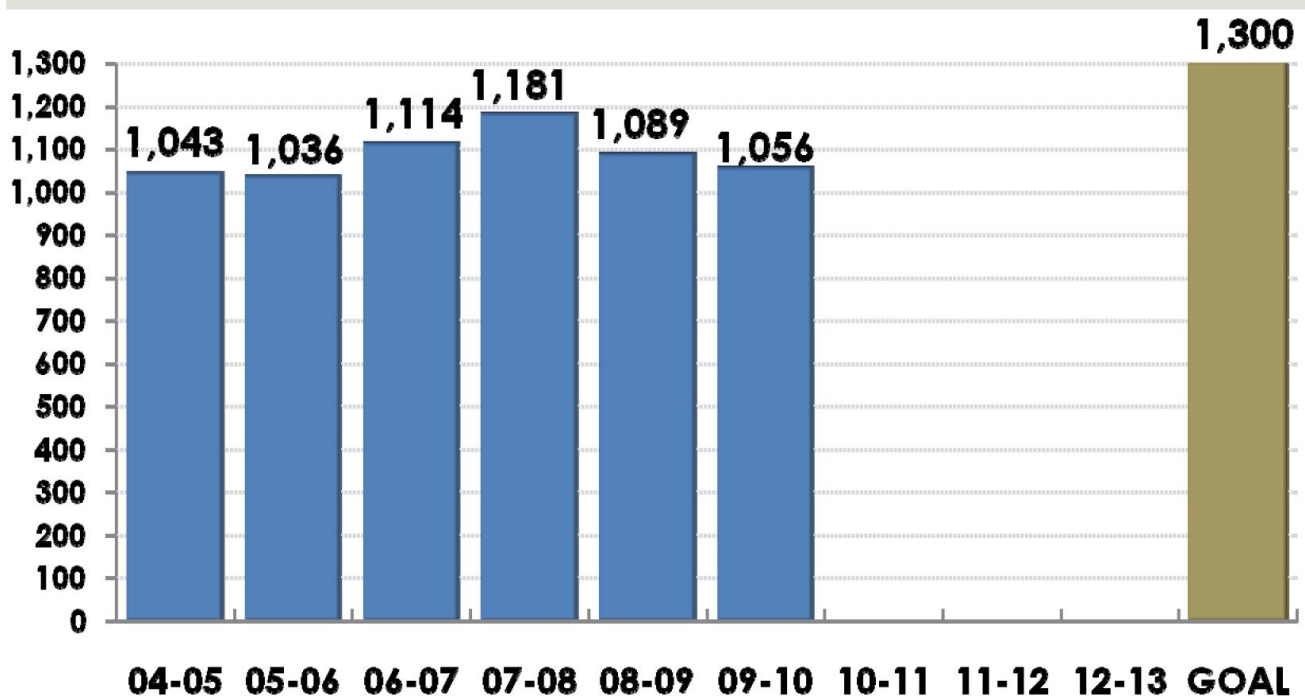


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## Goal 1. Prepare Students for Leading Roles in an Innovation-driven Economy and Global Society.



Metric 1-11. Increase degrees awarded per academic year in STEM disciplines, including degrees that prepare teachers in STEM disciplines, to 1300.



## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders

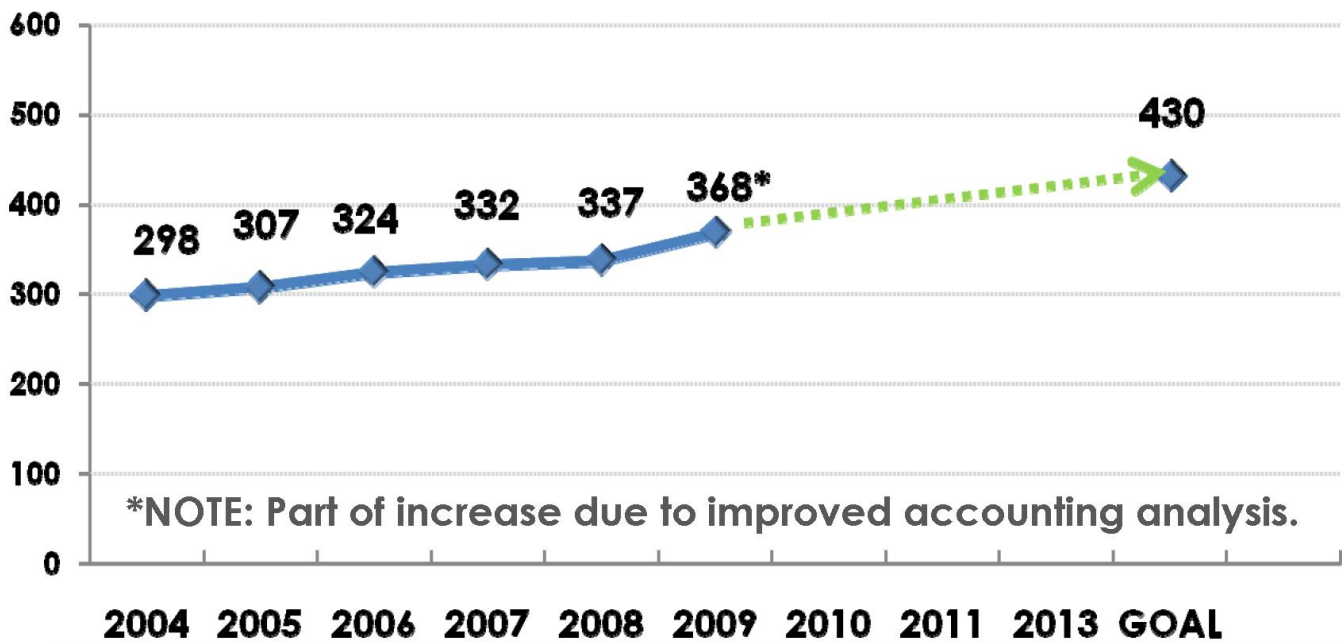
- ▣ 2.1 Research and scholarly productivity
- ▣ 2.2 Research capacity
- ▣ 2.3 Impact and public awareness



## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders



Metric 2-1. Increase total research expenditures as reported to National Science Foundation in science and engineering fields, to \$430M.

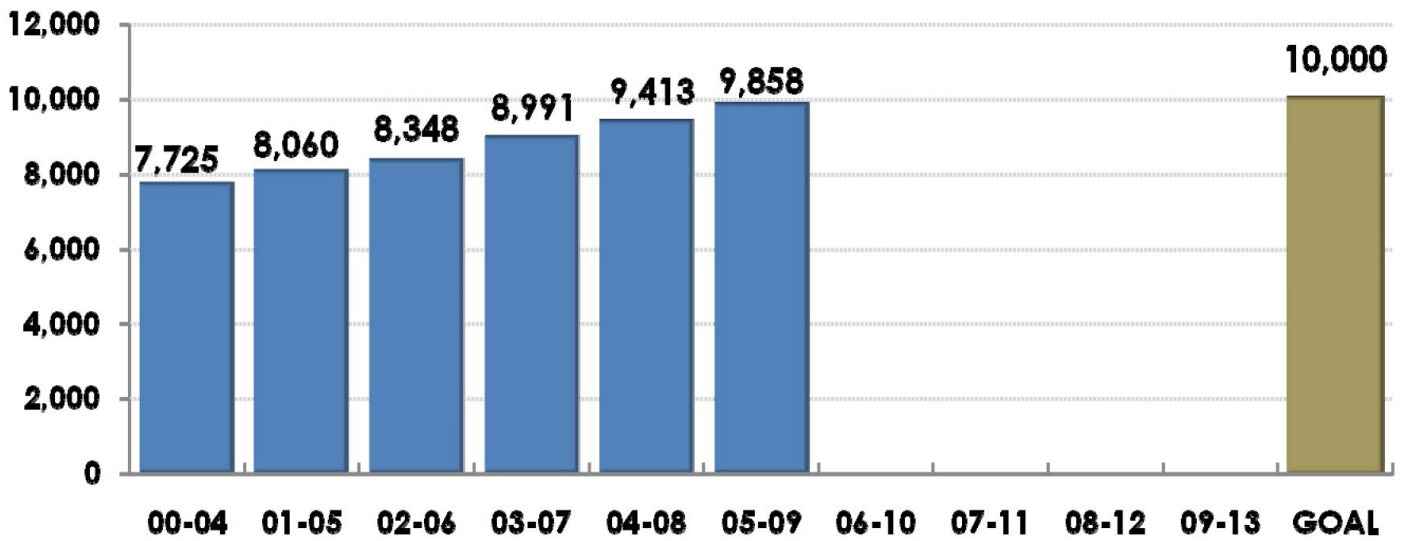




## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond Its Borders.



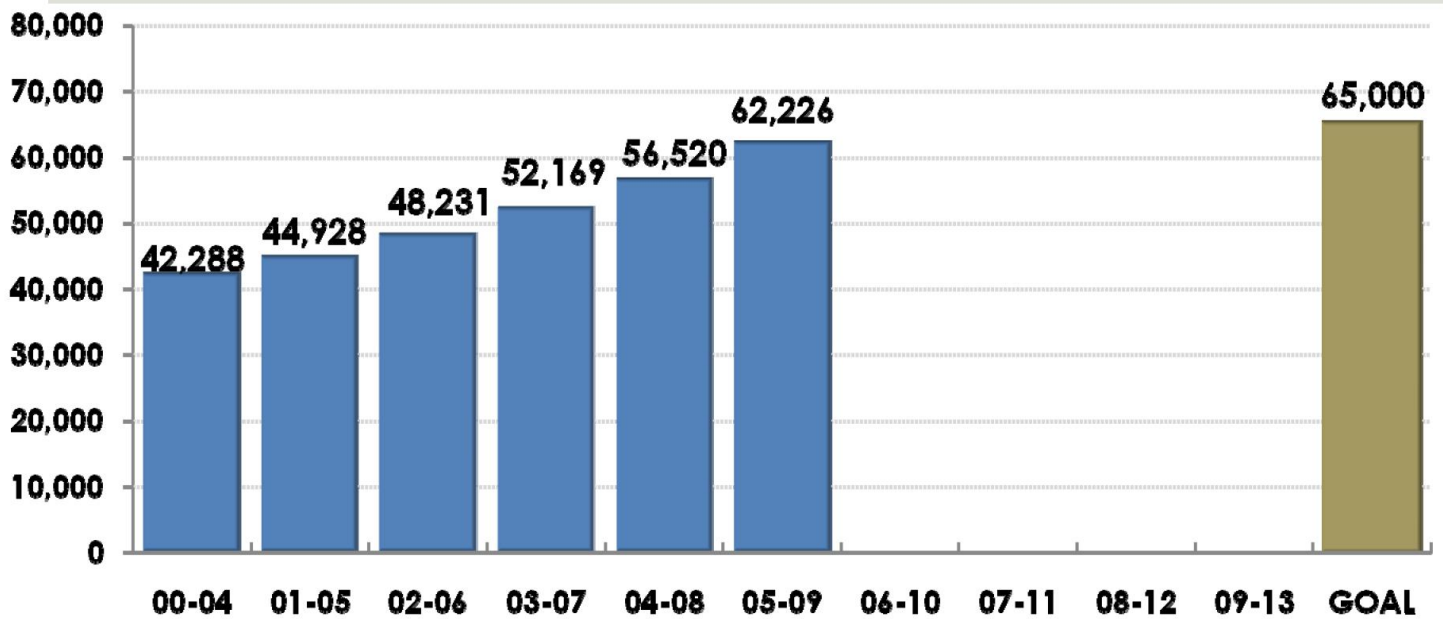
Metric 2-2. Increase five-year total for journal publications to 10,000.



## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders



Metric 2-3. Increase five-year total for citations to 65,000.



see blue.



## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders

Metric 2-4. Increase total annual invention disclosures, licenses and options executed, and startups based on new licenses to 132.

	06-07	07-08	08-09	09-10	10-11	11-12	GOAL
Invention Disclosures	69	85	77				
Licenses & Options Executed	20	19	19				
Startups based on new licenses	13	14	10				
Total	102	118	106				132

## Goal 2. Promote Research and Creative Work to Increase the Intellectual, Social, and Economic Capital of Kentucky and the World Beyond its Borders



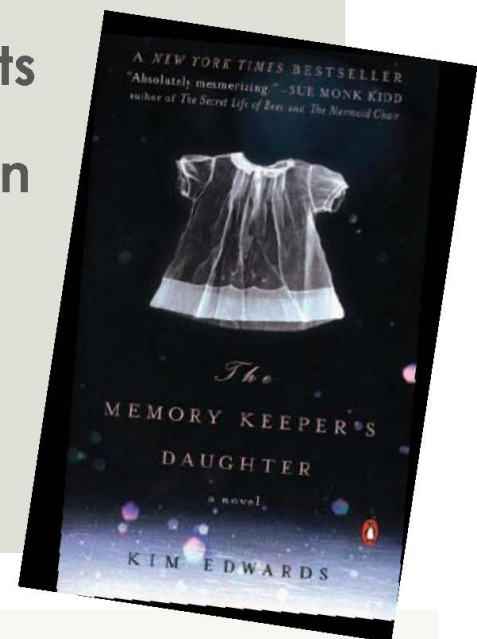
Metric 2-5. Increase total annual national and international recognition awards for research excellence.

Year	N
2009-10	75
2010-11	
2011-12	
2012-13	
2013-14	



## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals

- ▣ 3.1 Top 20 faculty and staff (recruit/retain)
- ▣ 3.2 Faculty and staff success (support)
- ▣ 3.3 Workplace culture that engages employees
- ▣ 3.4 HR recruitment and retention efforts
- ▣ 3.5 IT integration, utilization, innovation
- ▣ 3.6 UK Libraries staff resources
- ▣ 3.7 Additional space
- ▣ 3.8 Quality of existing space





## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-1. Increase the average all-ranks instructional faculty salary as a percent of the benchmark median to 90 percent.

Full-time Instructional Faculty Salaries converted to 9-month basis (excluding COM)	07-08 Average Salary	08-09 Average Salary	09-10 Average Salary
University of Kentucky	\$79,350	\$80,813	\$81,189
Median of Top 20 Benchmark Institutions*	\$92,224	\$96,312	\$97,833
Average of Top 20 Benchmark Institutions*	\$93,925	\$97,111	\$98,451
UK as % of average	84.5%	83.2%	82.5%
UK as % of median	86.0%	83.9%	83.0%

Compared to SEC public institutions:  
**101.4% of median**

Compared to Top 20 adjusted for COL:  
**99.1% of median**

## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-2. Improve work-life satisfaction among faculty and staff, according to results of the university-wide Work-Life Survey.

	Faculty			Staff		
	Baseline (2005-06)	October 2010	October 2013	Baseline (2005-06)	October 2010	October 2013
<b>Commitment Scale</b>	<b>3.42</b>			<b>3.92</b>		
<b>Satisfaction Items</b>	<b>3.45</b>			<b>3.69</b>		

Notes: From UK@Work survey, February 2006 (administered to faculty), October 2005 (administered to staff). Survey items measured commitment, support and burn-out using a scale where **1=strongly disagree** and **5=strongly agree**.

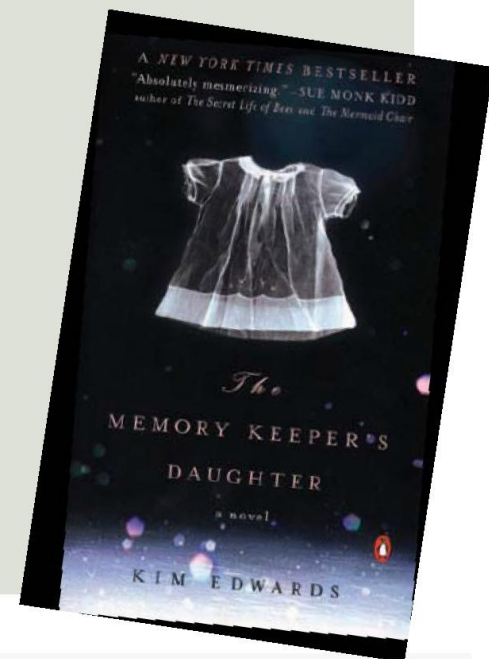
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## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-3. Improve the ranking among public research universities of UK Libraries, according to the Library Investment Index of the Association of Research Libraries.

Year	ARL Ranking	N
2008-09	36 <sup>th</sup>	68
2007-08	36 <sup>th</sup>	68
2006-07	35 <sup>th</sup>	68



see blue.

## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-4. Increase the percent of staff at the appropriate point between the minimum and mid-point of the pay grade, based on performance and years of experience, to 90 percent.

Year	Percent of Staff at Appropriate Point
2009-2010	Baseline Analysis Underway
2010-2011	
2011-2012	
2012-2013	
2013-2014	



## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals

Metric 3-5. Achieve parity commensurate with Top 20 public research universities for information technology solutions and service.



Measures	Top 20	UK Baseline	Year 1	Year 2	Year 3	2014	Status
Classrooms with basic technology	62%	76%	80%	85%	90%	90%	Progressing
Classrooms with interactive technology	36%	14%	18%	24%	30%	40%	Progressing
Wireless access in critical areas	72%	65%	75%	85%	95%	100%	No progress
Video-conferencing sites	49	140	140	140	140	140	<b>Achieved</b>
Desktop video conferencing	50%	10%	20%	30%	40%	40%	Progressing
Research networking speed	6.1 gbps	10 gbps	10 gbps	10 gbps	10 gbps	10 gbps	<b>Achieved</b>
High performance computing	40% > 20 tflops	> 20 tflops	> 20 tflops	> 20 tflops	> 20 tflops	> 20 tflops	<b>Achieved</b>
Customizable & wireless student/faculty portal	100%	0%	100%	100%	100%	100%	No progress



## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-6. Add one million gross feet (GSF) of educational and general, research, and student support space, targeted in areas of greatest need.

New Gross Square Feet June 1, 2009 through June 30, 2010	
Educational & General	106,802
Research	91,476
Student Support	<u>113,145</u>
Total New Gross Square Feet	313,208



## Goal 3. Develop the Human and Physical Resources of the University to Achieve the Institution's Top 20 Goals



Metric 3-7. Renovate or modernize 200,000 square feet of classroom, research, and student support space, as prioritized by a needs analysis.

### Renovated/Modernized Square Feet June 1, 2009 through June 30, 2010

Educational & General	33,990
Research	25,299
Student Support	<u>24,692</u>
<b>Total Renovated/Modernized Square Feet</b>	<b>83,981</b>



## Goal 4. Promote Diversity and Inclusion

- ▣ 4.1 Inclusive excellence strategies in all units
- ▣ 4.2 Curricular and co-curricular transformation that recognizes educational advantages of diversity
- ▣ 4.3 Campus/community collaborations to build diversity and increase inclusion





## Goal 4. Promote Diversity and Inclusion



Metric 4-1. Ensure that all educational and administrative units implement strategies to achieve inclusive excellence.

During 2009-2010 units across the University have revised their strategic plans to align with the 2009-2014 UK Strategic Plan and to respond to Goal 4. Work is underway to develop more strategies in the colleges and across the University to achieve inclusive excellence. Those identified to date include:

- ▣ *aggressive recruitment plans that target students of color in HBCUs and in institutions in neighboring states,*
- ▣ *Visiting Scholars programs for faculty, and*
- ▣ *Student Exchange programs with targeted disciplines.*



## Goal 4. Promote Diversity and Inclusion



Metric 4-2. Increase the proportion of students from diverse ethnic groups and other underserved populations.

	07-08	08-09	09-10	10-11	11-12	12-13	13-14
Racial/Ethnic Minorities	9.1%	10.0%	10.9%				
International	4.5%	4.3%	4.3%				
Appalachian	12.9%	12.5%	12.0%				
Underserved Counties	3.1%	3.1%	2.9%				
Pell Grant Recipients	11.9%	11.9%	15.0%				

\*NOTE: Part of Pell Grant increase due to expanded qualifying criteria and more families applying for need-based aid.



## Goal 4. Promote Diversity and Inclusion



Metric 4-3. Achieve the employment goals of UK's annual Affirmative Action Plan.

Affirmative Action Goals	
2008-09	16 of 21 goals met
2009-10	15 of 21 goals met
2010-11	
2011-12	
2012-13	
2013-14	





## Goal 4. Promote Diversity and Inclusion

Metric 4-4. Improve student, faculty, and staff ratings on the extent to which UK is an inclusive community, according to results of a university-wide survey.

Items	Baseline*	2014
<b>Students</b>		
<i>Welcoming Campus Environment</i>	3.53	
<i>Perceptions of Disparate Treatment</i>	2.37**	
<b>Faculty and Staff</b>		
<i>Welcoming Campus Environment</i>	To be assessed October 2010	
<i>Perceptions of Disparate Treatment</i>	To be assessed October 2010	

\*Based on a scale of 1 to 5 where 1=strongly disagree and 5=strongly agree

\*\*For this scale, the lower the number the fewer perceptions of disparate treatment.

## Goal 4. Promote Diversity and Inclusion



Metric 4-5. Improve student ratings of curricular and co-curricular effectiveness in promoting diversity and inclusion, according to results of a university-wide survey.

### Curricular/Co-Curricular Effectiveness Rating

Baseline

3.46

2013-14

NOTE: Rating based on scale from 1 to 5 where 1= strongly disagree and 5=strongly agree; follow-up survey will be conducted in Spring 2014.



## Goal 4. Promote Diversity and Inclusion



Metric 4-6. Increase the number of partnerships with community organizations whose purpose is to promote diversity and inclusion.

UK units have formed 151 documentable partnerships in various Kentucky communities, including some beyond the borders of the Commonwealth and stretching across continents through international engagement.





## Goal 5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.

- ▣ 5.1 Faculty and staff connections with community
- ▣ 5.2 Community access to UK
- ▣ 5.3 External recognition and funding

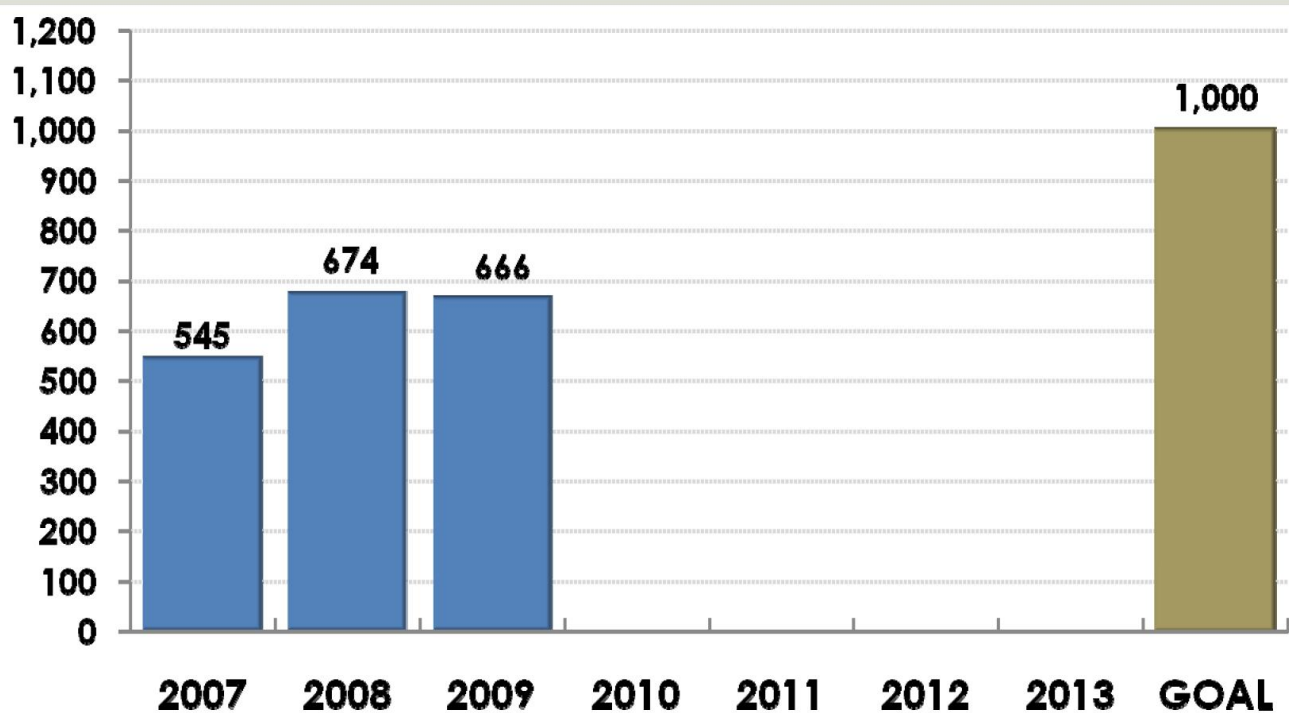




## Goal 5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.



Metric 5-1. Increase the number of faculty and staff reporting outreach and engagement activities on the Engagement Measure Instrument (EMI) to 1,000.



## Goal 5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.



Metric 5-2. Increase outreach, service and engagement contacts made with individuals in providing university knowledge and expertise to improve lives and benefit communities.

### Baseline Analysis Underway



## Goal 5. Improve the Quality of Life of Kentuckians through Engagement, Outreach, and Service.



Metric 5-3. Achieve at least five national, regional, or disciplinary competitive grants or recognitions for engagement and outreach.

- ▣ \$500,000 Toyota USA Foundation Grant to expand AMSP-PEP engagement model into central and northern Kentucky
- ▣ 2009 President's Higher Education Community Service Honor Roll by the Corporation for National and Community Service
- ▣ College of Nursing -- two collaborative grants with Vanderbilt University to educate nursing faculty across KY and TN in the use of simulation technologies
- ▣ College of Nursing grant from the Robert Wood Johnson Alumni Fund to develop a new graduate Nurse Residency Program for rural hospitals across Kentucky
- ▣ Beginning Farmer Rancher Program – one of only 18 awarded nationally by US Dept of Agriculture to assist new farmers

## Summary of Progress



• New Baselines = 9



• No Progress = 9



• Some Progress = 12



• Achieved = 2



UNIVERSITY OF  
**KENTUCKY**<sup>®</sup>

see blue.



# 2009-2014 Strategic Plan

First Annual Progress Report to the Board of Trustees, 2009-2010