

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 8, 1981.

The Board of Trustees of the University of Kentucky met in regular statutory session at 2 p.m. (Eastern Standard Time) on Tuesday, December 8, 1981 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. William B. Sturgill, Chairman, called the meeting to order at 2:12 p.m. and the invocation was pronounced by Mrs. Sally Hermansdorfer.

The following members of the Board of Trustees answered the call of the roll: Mr. William B. Sturgill, Mr. William R. Black, Governor Edward T. Breathitt, Mr. Britt Brockman, Governor Albert Benjamin Chandler, Mrs. Betty Pace Clark, Mr. Albert G. Clay, Professor Opal Skaggs Conley, Mr. Tracy Farmer, Mr. Ronald G. Geary, Mrs. Edythe Jones Hayes, Mrs. Sally Hermansdorfer, Mr. Thomas B. Kessinger, Mr. W. Terry McBrayer, Mr. Robert T. McCowan, Mr. A. Stevens Miles, Mr. Frank Ramsey, Mr. Homer W. Ramsey, Professor William F. Wagner, and Professor Constance P. Wilson. Mr. W. B. Terry was absent from the meeting. The University administration was represented by President Otis A. Singletary; Vice Presidents Jack C. Blanton, Peter P. Bosomworth, Donald B. Clapp, Art Gallaher, Raymond R. Hornback, John T. Smith, Charles T. Wethington, and Robert G. Zumwinkle; Dr. Wimberly C. Royster, Dean of the Graduate School and Coordinator of Research; and Mr. John C. Darsie, General Counsel. Members of the various news media were also in attendance. Following the call of the roll, the Secretary, Mrs. Betty Pace Clark, reported 20 members present and one absent. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 2:14 p.m.

B. Minutes Approved

On motion by Mr. Homer Ramsey, seconded by Mr. Black and carried, the Minutes of the October 13, 1981 Meeting of the Executive Committee and the Minutes of the October 23, 1981 Special Called Meeting of the Executive Committee of the Board of Trustees were approved as published.

C. Resolution Adopted

The Chair recognized Mr. Miles, who moved adoption of the following resolution:

"The Board of Trustees of the University of Kentucky joins with President Singletary in supporting the Council on Higher Education. This Board is well aware of the fact that the major and most pressing problem of the University is the financial crisis which it now confronts. President Singletary has worked hard to obtain recognition of the University's needs before the Council on Higher Education while simultaneously having to deal with the impact of educational budget reductions. The Board expresses its appreciation to the President for these efforts,

for his very capable leadership, and for the growth and development of the University during his tenure; and further states its complete support of his stewardship in directing all the affairs of the University."

Governor Breathitt seconded Mr. Miles' motion and praised President Singletary for his superb leadership. He said it is very important that the University have continuity of leadership in order to keep abreast of higher education in Kentucky, and President Singletary has provided leadership not only for the University of Kentucky but for all of higher education. Governor Chandler moved that the resolution be unanimously adopted. It was so ordered.

The members of the Board gave President Singletary a standing ovation. President Singletary then thanked the Chairman and the members of the Board and said he was grateful for the resolution.

D. President's Report to the Trustees (PR 1)

Because of the length of the afternoon's agenda, President Singletary did not review the items in PR 1, copies of which were distributed to the members. He recommended that it be accepted and filed, and without objection, it was so ordered.

E. Ephraim McDowell Cancer Research Foundation Update

President Singletary introduced Dr. Ben F. Roach, Chairman of the Board of Trustees of the Ephraim McDowell Cancer Research Foundation, Inc. Dr. Roach reported on the Foundation's fund-raising efforts for a proposed cancer center to be built at the University's Albert B. Chandler Medical Center. The Foundation has raised \$7.6 million for the cancer patient care facility and is trying to raise an additional \$8 million to finance construction of a three-floor cancer research building. Dr. Roach introduced Ms. Linda B. Mauk, Director of Development for the Foundation, and provided each member of the Board with an information packet about the Foundation's activities. President Singletary thanked Dr. Roach for his presentation.

F. Recommendations of the President (PR 2)

On motion by Mr. Kessinger, seconded by Mr. Geary and passed, the personnel actions in PR 2, Recommendations of the President, were approved. (See PR 2 at the end of the Minutes.)

G. Supplemental Recommendations of the President (PR 3)

There were no supplemental recommendations.

K. Budget Revisions for 1981-82 (PR 4)

The proposed changes in the 1981-82 budget being of a routine nature, President Singletary recommended approval. On motion by Mr. Clay, seconded by Mr. Farmer and passed, the budget revisions in PR 4 were authorized and approved. (See PR 4 at the end of the Minutes.)

I. Acceptance of Gift from William Shely (PR 5)

With President Singletary so recommending, on motion by Professor Conley, seconded by Mrs. Hermansdorfer and passed unanimously, the Board of Trustees accepted a \$500,000 gift from Mr. William Shely. President Singletary explained that these funds would be used for a student scholarship loan fund in the College of Medicine, and he expressed his great pleasure with this very important and outstanding contribution. (See PR 5 at the end of the Minutes.)

J. Report on Gifts and/or Grants (PR 6)

The report on gifts and/or grants covering the period from July 1, 1981 through September 30, 1981 was accepted and ordered made a matter of record as recommended in PR 6. (See PR 6 at the end of the Minutes.)

K. Approval of Degree Candidates--University System (PR 7) and Candidates for Degrees--Community College System (PR 8)

President Singletary recommended that PR's 7 and 8, regarding candidates for degrees, be considered together. On motion by Mr. Brockman, seconded by Mr. Homer Ramsey and passed, the recommendations for degree candidates in the University System and the Community College System as presented in PR 7 and PR 8 were approved without dissent. (See PR 7 and PR 8 at the end of the Minutes.)

L. Appointments to University of Kentucky/Partnership Foundation (PR 9)

With President Singletary so recommending, on motion by Mr. Miles, seconded by Mr. Black and passed without dissent, the following members were appointed to the Board of Directors of the University of Kentucky/Business Partnership Foundation for four-year terms beginning January 1, 1982 and ending December 31, 1985:

Public-at-large members

| | |
|-------------------|---------------------|
| Payton F. Adams | Henning Hilliard |
| Irving W. Bailey | Brereton C. Jones |
| W. E. Burnett | Robert T. McCowan |
| Stan S. Dickson | A. Stevens Miles |
| Tracy Farmer | Warren W. Rosenthal |
| George W. Griffin | Roger Schipke |
| J. David Grissom | Lynwood Schrader |

Trustee Members

| | |
|----------------|---------------------|
| Albert G. Clay | William B. Sturgill |
|----------------|---------------------|

Mr. Miles remarked that the response to the invitation to serve on the Board of Directors of the Foundation has been excellent and ended that everyone is greatly impressed with Dr. Richard Furst, Dean of the College of Business and Economics. (See PR 9 at the end of the Minutes.)

M. Appointment of a Special Committee (PR 10)

President Singletary said his recommendation in PR 10 that a special committee be appointed is appropriate at this time because of two factors: (1) the need for the Board to take a careful look at any assets of the institution and (2) because the Administration is being contacted more frequently by third parties expressing interest in mining the Robinson Forest. He pointed out that the Administration does not have a Board policy under which to operate and believes there should be a policy regarding this matter. He further stated that no negotiations with parties interested in mining Robinson Forest will be entered into until such time as a Board policy has been adopted and announced.

President Singletary said that the function of the committee will be first and foremost to raise the basic questions of whether or not the University should, under any circumstances, permit the mining of coal in Robinson Forest. He said he would urge the committee to seek outside legal counsel and to be keenly aware of the programmatic concerns inside the institution. President Singletary said he feels also that there will be great interest among groups around the state as to whether or not Robinson Forest should be mined and the committee should hear the concerns of those groups. If the committee concludes that mining of the Forest should not be permitted, under any circumstances, the work of the committee will be over. If the committee decides to recommend that Robinson Forest be mined, then two other questions will need to be addressed: (1) Under what conditions and terms, and by whom should mining be permitted? (2) For what purposes should revenues accruing to the University be used? President Singletary emphasized that the issues in these two questions should not be confused.

The Chairman said that before calling for a motion on PR 10, he wanted to make known to the Board his personal views. He then read for the record a letter which he had delivered to President Singletary on December 7 and which he had written to show and establish his position in the matter of the Board's adopting a policy concerning Robinson Forest. Mr. Sturgill's letter follows:

December 7, 1981

Dr. Otis A. Singletary, President
University of Kentucky
Lexington, Kentucky 40506

Dear Dr. Singletary:

This has reference to our several conversations concerning the mineral in the Robinson Forest area in Breathitt and Knott Counties, which is in the ownership of the University of Kentucky by reason of a gift from the E. O. Robinson Foundation.

There is presently much conversation concerning what direction the University should take in using this asset for the greatest benefit of the University. The purpose of this letter is to make sure the record shows that my participation, if any, will be to make available to the University my own knowledge of this property and my own experience in the coal industry. I do not have any personal or related interests except to make sure that the University of Kentucky receives the greatest benefit from this valuable asset.

Whether or not the mineral in Robinson Forest will be mined is a basic policy matter that should, and will, be decided by the Trustees of the University. Should the Board decide that the mineral not be mined, no further action will be necessary. Should the Board decide that the coal reserves in Robinson Forest will be mined, then it will be my aim to assure that this property is developed in a way that is legally, programmatically, economically, environmentally and technologically sound for the maximum benefit of the University of Kentucky and its projects.

Sincerely,

/s/Bill Sturgill

William B. Sturgill

On motion by Mrs. Hayes, seconded by Mr. Farmer and passed without dissent, the appointment of a special committee as presented in PR 10 was authorized and approved. (See PR 10 at the end of the Minutes.)

The Chairman said that in keeping with the motion adopted by the Board, he was appointing the following Trustees to serve on the committee and to report back to the Board whenever they feel the work has been completed: Mr. A. Stevens Miles, Chairman, Mr. Albert G. Clay, Governor Edward T. Breathitt, Professor Constance P. Wilson, and Mr. Homer W. Ramsey. Mr. Sturgill added the Chair will not be a member of the Committee nor an ex officio member of the committee but will be available to the committee anytime Mr. Miles may need services.

N. Report on Results of Alumni Member Election (PR 11)

President Singletary reported that the three persons receiving the highest numbers of votes in the election for an alumni member of the Board of Trustees were Mr. Frank V. Ramsey, Mrs. Betty Jo Palmer, and Mrs. Kay King Evans.

Mr. Homer Ramsey moved that the report of the Secretary of the Board of Trustees as given by President Singletary be received and put to record, and the Secretary be authorized to certify to the Governor the names of the three persons receiving the largest number of votes from which list a successor to Mr. Frank Ramsey, whose term expires December 31, 1981, will be appointed. His motion was seconded by Mr. Black and passed without dissent. (See PR 11 at the end of the Minutes.)

O. Proposed Amendments to the Governing Regulations (PR 12)

President Singletary recommended that the proposed amendments to the Governing Regulations as presented in PR 12 be received for consideration and place on the agenda for action at the next full meeting of the Board of Trustees. Without discussion, it was so ordered. (See PR 12 at the end of the Minutes.)

P. Interim Financial Report (FCR 1)

Mr. Clay, Chairman of the Finance Committee, reported that the Committee had met on the morning of December 8 and considered five items to be presented for action, the first of which was the Interim Financial Report for the period ending October 31, 1981. The Committee found the University to be "on target" and Mr. Clay moved that the report be accepted. His motion, seconded by Mr. Kesinger, passed and the report was accepted.

Q. Estate of Phil E. Richards (FCR 2) and Estate of Ernest Harold Clark (FCR 3)

At the Chairman's suggestion, FCR 2 and FCR 3 were considered together. With the Finance Committee so recommending, Mr. Clay moved that the Board of Trustees authorize (1) the establishment of a quasi-endowment from the Estate of Phil E. Richards and (2) the establishment of a quasi-endowment from the Estate of Ernest Harold Clark as presented in FCR's 2 and 3 respectively. Mr. Clay's motion was seconded by Mr. McBrayer and passed without dissent. (See FCR 4 at the end of the Minutes.)

R. College of Law Dean's Discretionary Fund (FCR 4)

With the concurrence of the Finance Committee, Mr. Clay then moved that the Board of Trustees authorize the establishment of a quasi-endowment from the College of Law Dean's Discretionary Fund. He explained that the Dean's Discretionary Account is currently invested in the short-time restricted investment pool in the amount of \$40,000 and that the Dean of the College of Law has requested that this amount plus \$70,000 more be moved to the endowment fund and invested in the endowment's money market fund. Mr. Clay's motion was seconded by Mr. McBrayer and passed without dissent. (See FCR 4 at the end of the Minutes.)

S. Authorization for Incineration of Paid Bonds and Coupons (FCR 5)

Mr. Clay noted that it is with pleasure that he is recommending, with the concurrence of the Finance Committee, the incineration of paid bonds and coupons as presented in FCR 5. Mr. Clay so moved. His motion was seconded by Mrs. Clark and passed unanimously. (See FCR 5 at the end of the Minutes.)

T. Report of Investments (ICR 1)

Mr. Kessinger, Chairman of the Investment Committee, recommended that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended September 30, 1981 be accepted. The report was accepted without objection.

U. Meeting Adjourned

There being no further business to come before the meeting, the Chairman declared the meeting officially adjourned at 2:55 p.m.

Respectfully submitted,

Betty Pace Clark
Secretary
Board of Trustees

(PR's 2, 4, 5, 6, 7, 8, 9, 10, 11, and 12; FCR's 1, 2, 3, 4, and 5; and ICR 1 which follow are official parts of the Minutes of the meeting.)

Office of the President
December 8, 1981

PR 2

Members, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendations: (1) that approval be given to the appointments and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

A. Academic Appointments

DIVISION OF COLLEGES

College of Arts and Sciences

Vilfan, Bostjan, Visiting Associate Professor, Computer Science, 1/1/82 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

Stahl, Norman Lee, Associate Clinical Professor (voluntary), Allied Health Education & Research, 11/15/81 through 6/30/82.

College of Medicine

Boettner, Robert B., Professor (with tenure),
Anesthesiology, effective 9/1/81.
Raskin, Larry M., Clinical Professor (voluntary),
Psychiatry, 10/1/81 through 6/30/82.

B. Non-Academic AppointmentsDIVISION OF COLLEGESInstitute of Mining and Minerals Research

Sethi, Vijay K., Senior Scientist Engineer #2167,
effective 1/4/82.

MEDICAL CENTERUniversity Hospital

Butler, Frank, from Associate Executive Director to
Hospital Director, effective 8/1/81.
Webb, John, from Assistant Executive Director to
Director of Ambulatory Services, effective 10/1/81.

C. Joint AppointmentsMEDICAL CENTERAllied Health Professions

Robinson, Thomas C., Associate Professor* (with tenure),
Allied Health Education and Research, joint
appointment as Associate Professor*, Community
Health, effective 11/1/81.
Pisaneschi, Janet, Associate Professor*, Allied Health
Education and Research, joint appointment as
Associate Professor*, Community Health, 11/1/81
through 6/30/82.

College of Medicine

Ferrell, James L., from Associate Clinical Professor
(voluntary), Family Practice, to Associate Clinical
Professor (voluntary), Family Practice, joint
appointment as Associate Clinical Professor,
College of Allied Health Professions, Department of
Allied Health Education and Research, 11/15/81
through 6/30/82.

*Special Title Series

McDaniel, William, from Associate Clinical Professor (voluntary), Medicine, to Associate Clinical Professor (voluntary), Medicine, joint appointment as Associate Clinical Professor (voluntary), College of Allied Health Professions, Department of Allied Health Education and Research, 11/15/81 through 6/30/82.

D. Reappointment

DIVISION OF COLLEGES

Graduate Center for Toxicology

Harrison, Steadman D., Jr., Associate Professor, Graduate School, 7/1/81 through 6/30/82.

E. Promotion

MEDICAL CENTER

College of Medicine

Green, William W., Professor* (with tenure), Neurology, joint appointment as Professor*, Pediatrics and Associate Professor, College of Education, Department of Special Education, to Professor* (with tenure), Neurology, joint appointment as Professor*, Pediatrics and Professor*, College of Education, Department of Special Education, retroactive to 7/1/80. Note: this action should have accompanied promotion in primary appointment approved by Board on March 3, 1980 and corrects title in subsequent Board action of August 25, 1981.

F. Change

MEDICAL CENTER

College of Allied Health Professions

Clark, Daniel A., from Associate Professor* (with tenure), Allied Health Education and Research, to Associate Professor* (with tenure), Community Health, effective 11/1/81.

Hamburg, Joseph, Dean, from Professor* (with tenure), Allied Health Education and Research, joint with College of Medicine, Departments of Medicine and Community Medicine, to Professor* (with tenure), Community Health, joint with College of Medicine, Departments of Medicine and Community Medicine, effective 11/1/81.

COMMUNITY COLLEGE SYSTEM

Southeast Community College

Simpson, Lillian Faye, Assistant Professor in the Community College System, named Division Chairman, Division of English, Humanities, Social Sciences and Related Technologies, 7/1/81 through 6/30/84. Note: to correct June 23, 1981 board minutes which approved ending date as 6/30/82.

College of Pharmacy

Crooks, Peter A., Associate Professor, 11/1/81 through 6/30/82. Note: Previously approved beginning date of 10/1/81.

G. Retirements

DIVISION OF COLLEGES

College of Education

Moore, James T., Professor, Curriculum and Instruction, after 28.5 consecutive years of service, effective 1/1/82.

MEDICAL CENTER

Vice President for the Medical Center

Johnson, Ishmael, Animal Caretaker II, Animal Care Services, after 15 consecutive years of service, effective 1/8/82.

Ray, Amos, Animal Caretaker II, Animal Care Services, after 15 consecutive years of service, effective 1/8/82.

College of Nursing

Heuer, Dorothy B., L.P.N. II, Nursing Service, after 17.5 consecutive years of service, effective 4/1/81.

SUPPORT UNITSVice President for Business Affairs

Benton, Nancy L., Food Service Supervisor, Auxiliary Services, after 15 consecutive years of service, effective 10/30/81.

Cayson, James H., Supervisor, Physical Plant Division, after 15.5 consecutive years of service, effective 2/1/82.

Lewis, Thomas, Custodial Worker I, Physical Plant Division, after 15 consecutive years of service, effective 1/1/82.

McCrystal, Sylvester, Supervisor, Postal Services, after 16.5 consecutive years of service, effective 10/30/81.

Redd, John R., Supervisor, Physical Plant Division, after 15.5 consecutive years of service, effective 2/1/82.

H. Early RetirementsDIVISION OF COLLEGESKentucky Geological Survey

Teater, Lorene, after 19 consecutive years of service, effective 8/1/81.

SUPPORT UNITSVice President for Business Affairs

Tandy, Hazel H., Cook Baker II, Auxiliary Services, after 15 consecutive years of service, effective 12/18/81.

ADMINISTRATIVE ACTIONI. Academic AppointmentsDIVISION OF COLLEGESCollege of Agriculture

Jessee, David L., Assistant Extension Professor
(temporary), Agricultural Economics, 9/1/81 through
6/30/82.

Murray, Glen, Instructor (part-time) (temporary),
Agriculture Administration, 8/26/81 through
12/11/81.

College of Arts and Sciences

Adler, Elizabeth M., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Anderson, Patsy L., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Blackburn, Marylynn, Visiting Instructor (part-time)
(temporary), Mathematics, 8/16/81 through 12/31/81.

Canon, Rosa N., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Chatfield, Marilyn H., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Coventry, Sarah J., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Crocker, Lina E., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Engel, Bruce P., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Fain, Roger K., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Hobson, Elizabeth C., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Kiesel, Linda R., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Leverenz, Chris, Visiting Instructor (part-time)
(temporary), Mathematics, 8/16/81 through 12/31/81.

McCord, Barbara M., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

Miller, Thomas W., Visiting Instructor (part-time)
(temporary), Psychology, 8/16/81 through 12/31/81.

Norman, Charlene W., Visiting Instructor (part-time)
(temporary), Mathematics, 8/16/81 through 12/31/81.

*Special Title Series

Smith, Patricia Y., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.
Stephens, Arnita, Visiting Instructor (part-time)
(temporary), Mathematics, 8/16/81 through 12/31/81.
Stonecipher, Mabel A., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.
Summe, Mary R., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.
Woods, Edward H., Jr., Visiting Instructor (part-time)
(temporary), English, 8/16/81 through 12/31/81.

College of Engineering

Hancock, Tony A., Visiting Assistant Professor
(temporary), Chemical Engineering, 1/1/82 through
5/15/82.

Libraries

Mesner, Lillian R., Librarian III (temporary),
Agriculture Library, 10/12/81 through 9/30/82.

MEDICAL CENTER

College of Allied Health Professions

Duff, W. Rex, Clinical Instructor (voluntary), Allied
Health Education and Research, 11/15/81 through
6/30/82.
Leinbach, Margaret, Clinical Instructor (voluntary),
Allied Health Education and Research, 11/15/81
through 6/30/82.
Logsdon, Martin, Clinical Instructor (voluntary), Allied
Health Education and Research, 11/15/81 through
6/30/82.
Shouse, Leroy, Assistant Clinical Professor (voluntary),
Allied Health Education and Research, 8/1/81
through 6/30/82.
Whitley, Ronald, Clinical Instructor (voluntary),
Medical Technology, 11/15/81 through 6/30/82.

College of Medicine

Franklin, Eugene H., III, Assistant Clinical Professor
(voluntary), Community Medicine, 10/1/81 through
6/30/82.
Graulich, Kenneth B., Assistant Clinical Professor
(voluntary), Family Practice, 11/1/81 through
6/30/82.

Moore, Charles C., Assistant Clinical Professor (voluntary), Family Practice, 10/1/81 through 6/30/82.
 Randall, JoAnne M., Assistant Clinical Professor (voluntary), Psychiatry, 12/1/81 through 6/30/82.
 Smith, Richard Francis, Assistant Clinical Professor (voluntary), Family Practice, 11/1/81 through 6/30/82.
 Vernon, Michael W., Assistant Research Professor, Obstetrics and Gynecology, 10/1/81 through 6/30/82.
 Zerga, Joseph L., Assistant Clinical Professor (voluntary), Family Practice, 11/1/81 through 6/30/82.

College of Pharmacy

Adams, William E., Jr., Clinical Instructor (voluntary), 10/1/81 through 6/30/82.
 Patterson, Ann K., Assistant Adjunct Professor, 10/1/81 through 6/30/82.
 Trimble, Kim A., Clinical Instructor (voluntary), 11/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Henderson Community College

Austin, Dennis W., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Butler, James R., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Corpus, Primiliana A., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Jackson, Judith B., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Law, William J., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Madden, William L., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Mowers, Patrick W., Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Otey, Mary Ann, Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.
 Riley, Rayanna, Instructor in the Community College System (voluntary), 8/1/81 through 5/31/82.

Lexington Technical Institute

Barkman, Maureen, Instructor in the Community College System, 10/1/81 through 6/30/82.
 Maruskin, Rosemarie P., Instructor in the Community College System, 10/1/81 through 6/30/82.

Madisonville Community College

Neal, Judith A., Instructor in the Community College System, 11/1/81 through 6/30/82.
 Rippy, Tammy G., Instructor in the Community College System, 9/21/81 through 6/30/82.

Southeast Community College

Parker, Alisha, Instructor in the Community College System (voluntary), 9/1/81 through 5/31/82.
 Parrott, Gary, Instructor in the Community College System (voluntary), 9/1/81 through 5/31/82.
 Webb, Brenda, Instructor in the Community College System (voluntary), 9/1/81 through 5/31/82.

J. Administrative AppointmentsDIVISION OF COLLEGESCollege of Agriculture

Whiteker, M. D., Extension Professor (with tenure), Animal Science, named Assistant Extension Director, Agriculture Administration, effective 11/1/81.
 Note: This appointment reflects additional administrative title only.

College of Arts and Sciences

Cox, Raymond H., Associate Professor (with tenure), Mathematics, and Associate Dean, Division of Basic Studies, named Acting Chairman, Department of Computer Science, effective 10/28/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEMPrestonsburg Community College

Allen, Robert R., Professor in the Community College System (with tenure), and Associate Director for Academic Affairs, appointed to serve as Acting Division Chairman of the Biological Sciences and Related Technologies Division, 11/1/81 through 6/30/82.

K. Non-Academic AppointmentDIVISION OF COLLEGESCollege of Business and Economics

Owen, James G., from Deputy Director of Center for Business Development, Business and Economics - Research, to Director, Center for Business Development, Business and Economics - Research, effective 11/1/81.

L. Joint AppointmentsMEDICAL CENTERCollege of Dentistry

Clements, John H., Clinical Instructor (voluntary), Endodontics, joint appointment as Clinical Instructor (voluntary), Community Dentistry, 10/15/81 through 6/30/82.

College of Medicine

Barber, George, Assistant Clinical Professor (voluntary), Family Practice, joint appointment as Assistant Clinical Professor (voluntary), College of Allied Health Professions, Department of Allied Health Education and Research, 11/15/81 through 6/30/82.

Rader, Emanuel, Assistant Clinical Professor (voluntary), Family Practice, joint appointment as Assistant Clinical Professor (voluntary), College of Allied Health Professions, Department of Allied Health Education and Research, 11/15/81 through 6/30/82.

Swartz, William T., Assistant Clinical Professor (voluntary), Surgery, joint appointment as Assistant Clinical Professor (voluntary), College of Allied Health Professions, Department of Allied Health Education and Research, 11/15/81 through 6/30/82.

M. Reappointments

DIVISION OF COLLEGES

College of Architecture

Groves, John R., Assistant Professor, 7/1/81 through 6/30/82.

Wujcik, Leonard F., Assistant Professor, 7/1/81 through 6/30/84.

College of Arts and Sciences

Aken, Robert A., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Allen, Susan E., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Brown, Marsha S., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Campbell, F. Diane, Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Cetrulo, Christine L., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Conway, Glenda, Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Cooper, John B., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Cottrell, Harold, Visiting Instructor (part-time) (temporary), Mathematics, 8/16/81 through 12/31/81.

Dawahare, Debra C., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

DeSimone, Shirley C., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Fulmer, Constance M., Visiting Instructor (part-time) (temporary), English and Mathematics, 8/16/81 through 12/31/81.

Gehring, Martha G., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Gillespie, William L., Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Hamilton, Sally, Visiting Instructor (part-time) (temporary), English, 8/16/81 through 12/31/81.

Holwerk, Mildred, Visiting Instructor, (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Hoskins, Mary S., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Huntress, Elizabeth J., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Levine, Judith R., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Patterson, Sandra, Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Ralph, Margaret, Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Rea, Joanne, Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Reynolds, Ollie S., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Royster, Betty B., Visiting Instructor (part-time)
 (temporary), Mathematics, 8/16/81 through 12/31/81.
 Shannon, Elizabeth T., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Spears, Marthalee A., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Stigers, Stephen W., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Tandy, Caron, Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Tipton, Louise B., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Weber, Deborah L., Visiting Instructor (part-time)
 (temporary), Mathematics, 8/16/81 through
 12/31/81.
 Wilson, David L., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.
 Wright, James C., Visiting Instructor (part-time)
 (temporary), English, 8/16/81 through 12/31/81.

Undergraduate Studies

Sheriff, Mary S., Assistant Professor, Honors Program,
 and Assistant Professor, College of Fine Arts,
 Department of Art, 7/1/81 through 6/30/82.

MEDICAL CENTER

College of Medicine

Combs, Gene, Assistant Clinical Professor (part-time),
 Psychiatry, 7/1/81 through 6/30/82.

Cramer, John R., Assistant Clinical Professor (part-time), Anesthesiology, and Assistant Clinical Professor (part-time), College of Dentistry, Department of Community Dentistry, 7/1/81 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Franklin, Olivia J., Instructor in the Community College System, 7/1/81 through 6/30/82.
Santell, Beth W., Instructor in the Community College System, 7/1/81 through 6/30/82.

Hazard Community College

Carter, Vivian C., Instructor in the Community College System, 7/1/81 through 6/30/82.
Lynch, Joseph R., Assistant Professor in the Community College System and Assistant Professor, College of Dentistry, Department of Restorative Dentistry, 7/1/81 through 6/30/82.
Mitchell, Geanie C., Instructor in the Community College System, 10/1/81 through 12/31/81.

Henderson Community College

Hurt, Pamela C., Instructor in the Community College System, 10/1/81 through 12/31/81.

Paducah Community College

Dortch, Nat, Assistant Professor in the Community College System (voluntary), 8/1/81 through 6/30/82.

Prestonsburg Community College

Watson, Patricia C., Instructor in the Community College System, 10/1/81 through 12/31/81.

Somerset Community College

Blevins, Jo Y., Instructor in the Community College System, 1/1/82 through 6/30/82.
Murphy, Sally N., Instructor in the Community College System, 10/1/81 through 12/31/81.

N. Promotions (without tenure)

DIVISION OF COLLEGES

College of Business and Economics

Keller, Stuart B., from Instructor, Accounting to Assistant Professor, Accounting, 11/1/81 through 6/30/82.

Trebby, James P., from Instructor, Accounting, to Assistant Professor, Accounting, 11/1/81 through 6/30/82.

MEDICAL CENTER

College of Allied Health Professions

Robillard, Helen M., from Instructor, Allied Health Education and Research, to Assistant Professor*, Allied Health Education and Research, 1/1/82 through 6/30/82.

COMMUNITY COLLEGE SYSTEM

Ashland Community College

Adkins, Jimmie L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/81 through 6/30/82.

O. Change

MEDICAL CENTER

College of Nursing

Fleming, Juanita W., Professor (with tenure), change from Assistant Dean for Graduate Education, to Associate Dean and Director of Graduate Education, effective 1/1/82.

College of Pharmacy

Parker, Chester, from Assistant Professor* (part-time), to Associate Clinical Professor (voluntary), 6/1/81 through 6/30/82.

*Special Title Series

Green, Dwaine, from Instructor (part-time), to Associate
Clinical Professor (voluntary), 7/1/81 through
6/30/82.

P. Corrections in Administrative Report

COMMUNITY COLLEGE SYSTEM

Madisonville Community College

Oliver, Linda K., Instructor in the Community College
System, Reappointment, 7/1/81 through 12/31/81.
Note: To correct the report in the Administrative
Action section of PR 2 made to the Board at the
August 25, 1981 meeting of the Board of Trustees,
ending date approved then as 6/30/82.

Prestonsburg Community College

Watson, Patricia C., Instructor in the Community College
System, Reappointment, 7/1/81 through 9/30/81.
Note: To correct the report in the Administrative
Action section of PR 2 made to the Board at the
August 25, 1981 meeting of the Board of Trustees,
ending date approved then as 6/30/82.

Somerset Community Collge

Murphy, Sally R., Instructor in the Community College
System, Reappointment, 7/1/81 through 9/30/81.
Note: To correct the report in the Administrative
Action section of PR 2 made to the Board at the
August 25, 1981 meeting of the Board of Trustees,
ending date approved then as 7/1/82.

Q. Leaves of Absence

DIVISION OF COLLEGES

College of Agriculture

Criswell, James E., Assistant Professor (with tenure),
Agricultural Economics, Sabbatical leave with full
salary, 7/1/82 through 12/31/82.
Crowe, M. Ward, Professor* (with tenure), Veterinary
Science, Sabbatical leave with full salary, 7/1/82
through 12/31/82.

*Special Title Series

College of Arts and Sciences

Alter, Ronald, Associate Professor (with tenure), Computer Science, Sabbatical leave with half salary, 1/1/82 through 12/31/82.

Baskin, Jerry M., Professor (with tenure), School of Biological Science, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

Clubbe, John L.E., Professor (with tenure), English, Sabbatical leave with half salary, 7/1/82 through 6/30/83.

Davenport, Guy M., Professor (with tenure), English, Sabbatical leave with full salary, 7/1/82 through 12/31/82.

Foreman, Walter C., Jr., Associate Professor (with tenure), English, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

Thomas, John W., Professor (with tenure), Germanic and Classical Languages and Literatures, Sabbatical leave with half-salary, 7/1/82 through 6/30/83.

Wets, Roger, Professor (with tenure), Mathematics, Leave without pay, 2/1/82 through 5/15/82, Sabbatical leave with half salary, 7/1/82 through 6/30/83.

College of Business and Economics

Ali, M. Mukhtar, Professor (with tenure), Leave without pay, 1/1/82 through 6/30/82.

Gift, Richard E., Associate Professor (with tenure), Economics, Sabbatical leave with full salary, 1/1/82 through 6/30/82.

College of Education

Collins, Clinton, Associate Professor (with tenure), Social and Philosophical Studies, Sabbatical leave with full salary, 8/25/82 through 12/31/82.

Culatta, Barbara, Associate Professor (with tenure), Special Education, Leave without pay, 1/1/82 through 6/30/82.

College of Engineering

Roy, Debasiah K., Assistant Professor, Civil Engineering, Leave without pay, 1/1/82 through 12/31/82.

College of Law

Garvey, John H., Professor (with tenure), Leave without pay, 1/1/82 through 12/31/82.

College of Social Work

Wellons, Kennard W., Associate Professor (with tenure), Sabbatical leave with full salary, 1/1/82 through 6/30/82.

MEDICAL CENTERCollege of Allied Health Professions

Clark, Daniel A., Associate Professor* (with tenure), Community Health, Sabbatical leave with half salary, 1/2/82 through 12/31/82.

Pisacano, Nicholas J., Professor* (with tenure), Allied Health Education and Research, Extension of Leave without pay, 9/1/81 through 8/31/82.

College of Dentistry

Costich, Emmett R., Professor (with tenure), Oral and Maxillofacial Surgery, Sabbatical leave with full salary, 10/25/81 through 4/24/82.

College of Medicine

Holland, Phillip, Professor (with tenure), Pediatrics, Leave without pay, 10/1/81 through 12/31/81.

Kotchen, Jane M., Associate Professor (with tenure), Medicine, Sabbatical leave with half salary, 7/1/82 through 6/30/83.

Reeb, Arvil C., Jr., Associate Professor* (with tenure), Psychiatry, and University Health Service, Leave without pay, 3/1/82 through 2/28/83.

COMMUNITY COLLEGE SYSTEMAshland Community College

Smith, Wilbur, Librarian II in the Community College System (with tenure), Leave without pay, 1/1/82 through 6/30/82.

*Special Title Series

Jefferson Community College

Coleman, Gertrude, Associate Professor in the Community College System (with tenure), Sick leave with pay, 9/15/81 through 3/14/82.

Hillenbrand, Catherine, Assistant Professor in the Community College System, Leave without pay, 7/1/82 through 6/30/83.

Wright, Margie, Assistant Professor in the Community College System, Leave without pay, 2/13/82 through 5/31/82.

Paducah Community College

Woodward, Yvonne J., Assistant Professor in the Community College System, Leave without pay, 5/9/82 through 6/30/82.

Prestonsburg Community College

Honshell, Phyllis B., Professor in the Community College System (with tenure), Sabbatical leave with full salary, 1/1/82 through 6/30/82.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

*Special Title Series

PR 4

Members, Board of Trustees:

1981-82 BUDGET REVISIONS

Recommendation: that the following revisions in the 1981-82 budget be authorized and approved.

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|-----------------------------|----------------------------|---------------------------|----------------|
| <u>A. General Fund</u> | | | |
| 1. Income Estimates | | | |
| State Appropriations | \$139,471,200 | \$139,571,200 | \$100,000 |
| Student Fees | | | |
| Registration Fees | | | |
| Community College | | | |
| System | 5,473,700 | 5,513,100 | 39,400 |
| Continuing Education | 2,304,400 | 2,339,400 | 35,000 |
| Federal Appropriations | | | |
| Vocational Education | 276,100 | 219,600 | (56,500) |
| Gifts and Grants | | | |
| University of Kentucky | | | |
| Research Foundation | | | |
| Research Administration | 578,600 | 698,600 | 120,000 |
| Other Gifts & Grants | 1,302,100 | 1,418,900 | 116,800 |
| Sales and Services | | | |
| Departmental Sales and | | | |
| Services | 4,239,100 | 4,273,200 | 34,100 |
| Fund Balances | | | |
| Designated Funds | 311,000 | 364,500 | <u>53,500</u> |
| | | | <u>442,300</u> |
| 2. Expenditures | | | |
| Academic Affairs | | | |
| College of Education | | | |
| Vocational Education - | | | |
| Teacher Education | 561,100 | 444,100 | (117,000) |
| College of Fine Arts | | | |
| Art Museum | 159,000 | 234,000 | 75,000 |
| Administration and Support | | | |
| University Press | 660,200 | 702,000 | 41,800 |
| Graduate School - Office of | | | |
| Sponsored Projects | | | |
| Administration | 578,600 | 698,600 | 120,000 |
| Community College System | | | |
| Central Administration | 590,800 | 651,300 | 60,500 |
| Elizabethtown Community | | | |
| College | 1,646,900 | 1,661,900 | 15,000 |
| Lexington Technical | | | |
| Institute | 1,764,000 | 1,799,000 | 35,000 |
| Somerset Community | | | |
| College | 1,388,400 | 1,398,600 | 10,200 |

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|---------------------------------|----------------------------|---------------------------|----------------|
| A. <u>General Fund</u> (Cont'd) | | | |
| 2. Expenditures (Cont'd) | | | |
| Medical Center | | | |
| College of Medicine | | | |
| Dean's Office | \$ 658,500 | \$ 712,000 | \$ 53,500 |
| Free-Standing Residency | -0- | 100,000 | 100,000 |
| College of Nursing | | | |
| Instruction | 1,158,700 | 1,170,900 | 12,200 |
| College of Pharmacy | | | |
| Instruction | 1,575,100 | 1,590,500 | 15,400 |
| University Relations | | | |
| Alumni Relations | 197,700 | 204,200 | 6,500 |
| University Wide | | | |
| Student Aid | | | |
| Student Fee Scholarship | 1,333,400 | 1,347,600 | 14,200 |
| | | | <u>442,300</u> |

3. Comments - A special state appropriation will fund the Free-Standing Residency Program at Highlands Regional Medical Center. Student fees generated by the Off-Campus Programs at Elizabethtown Community College and Somerset Community College will fund additional Off-Campus courses in the Spring at these Colleges. Additional student fee scholarships in the Community College System will be supported by student fee revenue. A reduction in federal appropriations for vocational education has necessitated a decrease in services provided for vocational teachers and in the amount of research conducted in the College of Education's Vocational Education-Teacher Education Program. Federal appropriations will increase in the Community College System for funding of the Associate Degree Nursing Program. The University Press budget increase is supported by other gifts and grants given to the University Press. An increase in the grant from the University of Kentucky Research Foundation will support the activities of the Office of Sponsored Projects Administration. Additional continuing education income will support the additional expenditures in the Lexington Technical Institute Community Service Program. Reimbursement from the Fayette County Health Department will fund an additional residency position in the College of Pharmacy. The College of Nursing will be reimbursed by the WEDCO District Health Department for its expenditures associated with the educational activities and consultations with the WEDCO staff. The University Art Museum budget change is the result of funds generated for the Armand Hammer Exhibition. Alumni Relations budget increase results from funds generated by the Alumni Association. An increase in appropriated designated funds fund balances will support the expenditures in the College of Medicine Dean's Enrichment Fund.

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|---|----------------------------|---------------------------|------------------|
| B. <u>Restricted Funds</u> | | | |
| 1. Income Estimates | \$15,456,400 | \$18,146,000 | \$2,689,600 |
| 2. Expenditures | | | |
| Academic Affairs | | | |
| Administration | | | |
| Shell Faculty | | | |
| Development | 1,000 | 1,500 | 500 |
| College of Education | | | |
| Administration | 18,700 | 20,700 | 2,000 |
| College of Engineering | | | |
| Administration | 208,600 | 218,600 | 10,000 |
| Wenner-Gren | 5,700 | 20,700 | 15,000 |
| College of Fine Arts | | | |
| Art Museum | 20,000 | 21,100 | 1,100 |
| College of Social Work | | | |
| Instruction | 4,200 | 8,900 | 4,700 |
| College of Agriculture | | | |
| Agronomy | -0- | 500 | 500 |
| Agricultural Experiment | | | |
| Station | | | |
| Director's Office | 110,000 | 116,100 | 6,100 |
| Agronomy | 365,700 | 379,000 | 13,300 |
| Animal Science | 309,000 | 324,000 | 15,000 |
| Entomology | 123,800 | 129,000 | 5,200 |
| Veterinary Science | 84,700 | 94,000 | 9,300 |
| Medical Center | | | |
| College of Allied Health | | | |
| Professions | | | |
| Medical Technology | -0- | 1,000 | 1,000 |
| College of Dentistry | | | |
| Orthodontics | 4,500 | 4,800 | 300 |
| College of Medicine | | | |
| Behaviorial Science | -0- | 2,500 | 2,500 |
| Obstetrics and | | | |
| Gynecology | 163,700 | 192,300 | 28,600 |
| Surgery | 119,200 | 182,200 | 63,000 |
| Community College System | | | |
| Paducah Community College | 97,700 | 118,700 | 21,000 |
| Somerset Community College | 3,700 | 18,700 | 15,000 |
| University Wide | | | |
| General Library | 28,500 | 31,500 | 3,000 |
| Graduate School | 92,400 | 108,700 | 16,300 |
| University Extension | 26,000 | 26,700 | 700 |
| Tobacco and Health Research | | | |
| Institute | 3,540,000 | 5,989,200 | 2,449,200 |
| Student Aid | | | |
| Gifts and Grants for | | | |
| Scholarships | | | |
| General Scholarships | 836,000 | 842,300 | 6,300 |
| | | | <u>2,689,600</u> |
| 3. <u>Comments</u> - Income restricted for the purposes indicated above will fund the proposed additional expenditures. | | | |

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|--|----------------------------|---------------------------|---------------|
| <u>C. Auxiliary Service</u> | | | |
| 1. Income Estimates | \$23,530,800 | \$23,538,800 | \$8,000 |
| 2. Expenditures | | | |
| Community College System | | | |
| Hopkinsville Community College | 97,600 | 105,600 | 8,000 |
| 3. <u>Comments</u> - The proposed expenditure increase will be supported by additional income generated by the respective auxiliary operation. | | | |

D. Contracts and Grants Received

| | <u>Amount</u> |
|--|---------------|
| Academic Affairs | |
| College of Engineering | |
| Transportation Research (T0247) | \$ 5,000 |
| Medical Center | |
| College of Dentistry | |
| Community Dentistry | |
| Effect of Fluoride Dentrifices on Dental Caries (T0241) | 91,200 |
| | <u>96,200</u> |

Action taken: Approved xx Disapproved _____ Other _____

Date December 8, 1981

Office of the President
December 8, 1981

PR 5

Members, Board of Trustees:

ACCEPTANCE OF GIFT FROM WILLIAM SHELY

Recommendation: That the University of Kentucky Board of Trustees accept the \$500,000 gift from Mr. William Shely.

Background: A \$500,000 gift for a student scholarship loan fund in the College of Medicine has been made by Mr. William Shely, a fellow of the University of Kentucky. This gift is in the form of various securities and certificates of deposit. Under the policy adopted by the Board of Trustees on November 19, 1965, gifts in excess of \$100,000 are required to be accepted by the Board.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

Office of the President
December 8, 1981

PR 6

Members, Board of Trustees:

REPORT ON GIFTS AND/OR GRANTS

Recommendation: that the attached report on gifts and/or grants covering the period from July 1, 1981 through September 30, 1981 be accepted and made a matter of record.

Background: The attached report represents the gifts and/or grants accepted by the President on behalf of the Board of Trustees for the three months ending September 30, 1981 in accordance with the policy adopted by the Board of Trustees on November 19, 1965. Acknowledgment of gifts and/or grants has been made to all donors by the President on behalf of the Board.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

OFFICE OF DEVELOPMENT
GIFTS AND REQUESTS REPORT

FOR JULY 1, 1981 TO SEPTEMBER 30, 1981

ALUMNI GIVING

| | | |
|---------------------|--------|------------------|
| Alumni | 1353 * | \$ 125,672.01 |
| Alumni Fellows | 55 | 69,333.08 |
| Alumni Gift-in-Kind | 15 | 6,177.50 |
| Alumni Requests | 4 | <u>20,455.50</u> |
| Total Alumni Giving | 1427* | \$ 221,638.09 |

NON-ALUMNI GIVING

| | | |
|--|-------|---------------------|
| Non-Alumni Individuals | 648 * | \$ 135,541.32 |
| Non-Alumni Fellows | 20 | 29,620.00 |
| Non-Alumni Gift-in-Kind | 17 | 17,196.35 |
| Non-Alumni Requests | - | -- |
| Trusts, Corporations, Foundations, and Associations | 275 | <u>1,026,902.72</u> |

Total Non-Alumni Giving 960 * \$ 1,209,260.39

| | | |
|---|-------|-------------------|
| Third Quarter Total- 7/1/81 to 9/30/81 | 2387* | \$ 1,430,898.48 |
| Second Quarter Total- 4/1/81 to 6/30/81 | 3074 | 1,134,618.04 |
| First Quarter Total- 1/1/81 to 3/31/81 | 3036 | <u>919,516.69</u> |

GRAND TOTAL 1/1/81 to 9/30/81 8497* \$ 3,485,033.21

* Denotes number of donors

REPORT OF GIFTS
TO THE UNIVERSITY OF KENTUCKY
JULY 1, 1981 to SEPTEMBER 30, 1981

| Source of Gifts | Alumni | Non-Alumni | Trusts Corporations Foundations Associations | 7-1-81 to 9-30-81 | 7-1-80 to 9-30-80 |
|---------------------------------|-----------|------------|---|-------------------------|-------------------------|
| <u>UK Annual Giving Funds</u> | | | | | |
| <u>Undesignated Fund</u> | 38,712.72 | | | 38,712.72 | 23,415.00 |
| President's Discretionary Fund | 485.00 | 559.40 | 10,573.77 | 11,618.17 | 2,170.00 |
| Blue and White Fund | 97,218.08 | 125,462.00 | 48,487.50 | 271,167.58 | 272,134.49 |
| <u>College Funds</u> | | | | | |
| Agriculture | 1,670.00 | 375.00 | 294,870.09 | 296,915.09 | 282,896.63 |
| Architecture | 515.00 | -- | -- | 515.00 | 964.25 |
| Arts and Sciences | 2,530.00 | 959.62 | 39,003.70 | 42,493.32 | 12,611.22 |
| Business and Economics | 4,922.00 | 150.00 | 6,006.28 | 11,108.28 | 7,850.50 |
| Communications | 175.00 | -- | 2,510.80 | 2,685.80 | 1,657.91 |
| Education | 185.00 | -- | -- | 185.00 | 5,525.00 |
| Engineering | 11,646.00 | 500.00 | 135,451.00 | 147,597.00 | 51,032.18 |
| Fine Arts | 1,059.00 | 9,547.63 | -- | 10,606.63 | 180.00 |
| Home Economics | 135.00 | 200.00 | -- | 335.00 | 1,995.00 |
| Law | 7,430.00 | -- | -- | 7,430.00 | 12,029.50 |
| Library Science | 30.00 | -- | -- | 30.00 | 85.00 |
| Social Professions | 111.00 | 35.00 | 5,000.00 | 5,146.00 | 3,010.00 |
| <u>Medical Center</u> | | | | | |
| Allied Health | 80.00 | 101.00 | 15,301.00 | 15,482.00 | 2,615.00 |
| Dentistry | 3,595.00 | 580.00 | 800.00 | 4,975.00 | 6,060.00 |
| Hospital | -- | 100.00 | 100.00 | 200.00 | 520.00 |
| Medicine | 19,758.00 | 15,387.42 | 216,980.21 | 252,125.63 | 211,763.15 |
| Nursing | 105.00 | 50.00 | -- | 155.00 | 143.50 |
| Pharmacy | 3,415.00 | 229.25 | 69,974.40 | 73,618.95 | 48,524.95 |
| <u>Community College System</u> | 1,295.00 | 2,855.00 | 41,525.78 | 45,675.78 | 49,451.50 |

JULY 1, 1981 to SEPTEMBER 30, 1981

| Source of gifts | Alumni | Non-Alumni | Trusts Corporations Foundations Associations | 7-1-81 to 9-30-81 | 7-1-80 to 9-30-81 |
|----------------------------|---------------|---------------|---|-------------------------|-------------------------|
| Memorials and Scholarships | \$ 11,055.42 | \$ 3,515.00 | \$ 2,572.00 | \$ 17,142.42 | \$ 25,663.13 |
| <u>Other Funds</u> | | | | | |
| Alumni Association | 6,138.12 | -- | 150.00 | 6,288.12 | 1,851.00 |
| Athletic Association | 100.00 | 85.00 | 20.00 | 205.00 | 20.00 |
| Art Museum | 305.00 | 1,175.00 | -- | 1,480.00 | 2,539.94 |
| Council on Aging | 250.00 | -- | -- | 250.00 | -- |
| Donovan Program | -- | -- | -- | -- | 135,000.00 |
| Graduate Education | 115.00 | 230.00 | 18,025.00 | 18,370.00 | 39,088.29 |
| Haggin Trust Fund | -- | -- | 56,645.11 | 56,645.11 | 52,561.80 |
| Honors Program | 10.00 | -- | -- | 10.00 | 455.00 |
| King Library | 8,337.75 | 16,176.35 | 15.00 | 24,529.10 | 3,312.90 |
| Miscellaneous | 225.00 | 625.00 | 56,390.78 | 57,240.78 | 16,028.28 |
| Placement Service | -- | -- | -- | -- | 230.00 |
| University Progress | -- | 3,460.00 | 6,500.00 | 9,960.00 | 8,559.00 |
| TOTALS | \$ 221,638.09 | \$ 182,357.67 | \$ 1,026,902.72 | \$ 1,430,898.48 | \$ 1,281,944.12 |

Office of the President
December 8, 1981

PR 7

Members, Board of Trustees:

CANDIDATES FOR DEGREES - UNIVERSITY SYSTEM

Recommendation: that the Board of Trustees authorize the President to confer upon those whose names appear on the attached list the degree to which each individual is entitled, upon certification by the Dean of Admissions and Registrar and approval by the University Senate, that the individual has satisfactorily completed all requirements for the degree for which application has been made.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Dean of Admissions and Registrar and the University Senate that all requirements have been satisfactorily completed.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

OFFICE OF ADMISSIONS AND REGISTRAR
CANDIDATES FOR DEGREES
DECEMBER 11, 1981

GRADUATE DEGREES

| | |
|--------------|-----|
| PH.D. | 80 |
| D.B.A. | 9 |
| ED.D. | 19 |
| D.M.A. | 2 |
| M.A. | 75 |
| M.A. EDU | 19 |
| M.A. DES | 1 |
| M.B.A. | 34 |
| M.F.A. | 4 |
| M.M. | 2 |
| M.N.S. | 5 |
| M.R.C. | 1 |
| M.S. | 98 |
| M.S. ACC | 3 |
| M.S. AGR | 8 |
| M.S. AGR. E. | 2 |
| M.S. CHE. E. | 9 |
| M.S. CIV. E. | 12 |
| M.S.D. | 2 |
| M.S. EDU | 28 |
| M.S. E. E. | 9 |
| M.S.E. MEC | 2 |
| M.S.F. | 7 |
| M.S.F.S. | 11 |
| M.S.L.S. | 16 |
| M.S.M.E. | 14 |
| M.S. MET.E. | 4 |
| M.S.N. | 22 |
| M.S.W. | 25 |
| TOTAL | 523 |

PROFESSIONAL DEGREES

| | |
|-------|----|
| J.D. | 15 |
| M.D. | 2 |
| TOTAL | 17 |

UNDERGRADUATES

| | |
|----------------------------|-----|
| <u>Arts & Sciences</u> | |
| B.A. | 100 |
| B.G.S. | 30 |
| B.S. | 58 |
| TOTAL | 188 |
| <u>Agriculture</u> | |
| B.S. AGR | 60 |
| B.S. FOR | 10 |
| B.S. LA. ARCH. | 12 |
| TOTAL | 82 |
| <u>Engineering</u> | |
| B.S. AGR. E. | 1 |
| B.S. CHE. E. | 7 |
| B.S. CIV. E. | 52 |
| B.S. E. E. | 29 |
| B.S. M.E. | 35 |
| B.S. MET. E. | 3 |
| B.S. MIN. E. | 22 |
| TOTAL | 149 |

| | | |
|---------------------------------|--|-------|
| Education | | |
| B.A. EDU | | 166 |
| TOTAL | | 166 |
| <u>Business & Economics</u> | | |
| B.B.A. | | 197 |
| B.S. ACC | | 90 |
| B.S.B.E. | | 6 |
| TOTAL | | 293 |
| <u>Pharmacy</u> | | |
| D. PHAR | | 8 |
| B.S. PHAR | | 22 |
| TOTAL | | 30 |
| <u>Nursing</u> | | |
| B.S. NUR | | 46 |
| TOTAL | | 46 |
| <u>Architecture</u> | | |
| B. ARCH | | 14 |
| TOTAL | | 14 |
| <u>Allied Health</u> | | |
| B.H.S. | | 41 |
| TOTAL | | 41 |
| <u>Home Economics</u> | | |
| B.A. HID | | 8 |
| B.S. DET | | 6 |
| B.S. FAM | | 10 |
| B.S. H.E. | | 27 |
| B.S. H.F. | | 3 |
| TOTAL | | 54 |
| <u>Nur</u> | | |
| Social Work | | |
| B.A.S.W. | | 19 |
| TOTAL | | 19 |
| <u>Communications</u> | | |
| B.A. | | 67 |
| TOTAL | | 67 |
| <u>Fine Arts</u> | | |
| B.A. | | 20 |
| B.F.A. | | 3 |
| B.M. | | 4 |
| B.M.E. | | 3 |
| TOTAL | | 30 |
| GRADUATE DEGREE | | 523 |
| PROFESSIONAL DEGREES | | 17 |
| UNDERGRADUATE DEGREES | | 1,196 |
| TOTAL | | 1,736 |

Graduate School

Dean: Wimberly Calvin Royster

Doctor of Philosophy

ABDELGHANY MOHAMED M
ARITAN MEHMET TURKEFE
BALDWIN BEVERLY ANN
BARNETT LINDA KUTH
BARTON JERRY WADE
BERGSTROM GARY CARLTON
BROWN PATRICIA RUTH
BUGG MARVIN WAYNE
BURKE BETSY ANN
BURTON DAVID GOODRICH
CARROLL CONSTANCE ANN
CARTER CATHERINE D
CROSBY ANTHONY E JR
CULIN JOSEPH DAYTON
CZARSKI CHARLES MICHAEL
DIETSCHKE ALAN EDWARD
FEIX JIMMY B
FELDMANN MARTHA JOHNSON
GATTON JOHN SPALDING
GILL WILLIAM WARREN
GREENWELL CATHERINE ELIZABETH
GREGA DEBRA SUZANNE
HANEY ROBERT W
HARPER JAMES EDWARD
HAŞAN MD MUJIBUL
HEISLER JULIUS
HENRY JONATHAN F
HOSSAIN TIM Z
JACKSON JACQUELYN V LOGAN
JACKSON STEPHEN GREER
JESSEE DAVID LAWRENCE
KANG JUNG-IL
KARIMI-HAGHIGHI AMIR
KEEFE FRANCIS EDWARD
KEITH VERNA MARIE
LEVERENZ CHRISTINE E RUSSEL
LEVERENZ THEODORE ROBERT

MAJORS JAMES SCOGGIN
MANN RICHARD KEVIN
MARSHALL PATRICIA A LOOMIS
MCCANE EUGENE REX
MICHALSKI KRZYSZTOF A
MILLER GREEN RUSSELL
MISALLATI ABDALLA SALEM
MOHAMMADI SEYED BUIK
MORA CONNIE SCARBOROUGH
MORROW JENNIFER LEE KENNEDY
MUZA STEPHEN RAYMOND JR
NORTON HIRAM ALFRED JR
NUCKOLS JOHN ROBERT
OWEN THOMAS LOUIS
PAO HUNG-YI
PATTERSON SANDRA SUSAN REINDL
PAMLUKIEWICZ JAMES EDWARD
PEEVY CHERYL ANN
RAHEY BARBARA ANN
REID DONALD WAYNE
REID MELANIE SOVINE
SABER MABROUK MOHAMED
SACHS CAROLYN ELIZABETH
SADEGHI POUR M MEHDI
SALEHI SAEED
SHIN YOUNGWHA
SILVERMAN JASON HOWARD
SINS RICHARD GLENN
SPILLMAN DIANA MARIE
STOTT JOHN WILLARD
SUTTON CHARLOTTE DINGELS
SYCE JAMES ABRAHAM
THOMAS CARL LUDWIG JR
TODD GLENN DANIEL
TYLER RONALD GENE
WANGHIK MOHAMED GHOUSE
WARD ANDREW DAVID
WARDEN DEAN ALLAN
WILLIAMS GARY RAYMOND
WILLIS CALVIN M
WOOLARD CAROLYN SUE MARTIN
YU SHANG-HING
ZIERATH DAVID LOYAL

Doctor of Business Administration

ALSUP RODNEY GLEN
BURGER KENNETH JOEL
DUMONT PAUL FREDERICK
HARGRAVE EARNESTINE CARNELIUS
HARRIS JOHN HENRY
JOYCE MARY LOUISE
KADABA SRIDHAR G
MASCHEMYER RICHARD ALLAN
RUME MARCIA LOGSDON

Doctor of Education

BRAUN THOMAS GRAHAM
GAINES VICTOR PRYOR
GRAHAM THOMAS AARON
HARRIS KATHLEEN HOPE EVERETT
HUESSLI PATRICIA JANE MARTIN
KLEEN BETTY ANN
MAROTZ BARBARA ANN
MCAULIFFE CHARLEEN MARGARET
MOSS WILLODEAN DANIEL
PORTER JULIA LYNN DAMRON
ROBESON THOMAS HOWARD
SCAHILL JOHN H
SMITH WILLIAM GLENN
SPECK ROYCE A
STAMPERMAN JAMES EDWARD
THOMPSON JO ANN GERDEMAN
THRELKELD WILLIAM ROSS
TULLOCH CHARLOTTE RUTH WILLARD
VICE JANNA LEE PARTIN

Master of Arts In Education

BARNHART NANJI GILL
 CALANDRELLA CLAUDIA DALY
 CLAYTON SUSAN NATALIE PHILLIPS
 EVANS MILTON ALLEN
 FOTHERGILL JAMEE RACHEL
 GRAVES SHERRILL SHIELDS
 HANSON BRUCE E
 HEATHMAN NANCY RHODES
 HEY GERALD
 KELLY THOMAS M
 KENNOY ROBERT ALAN
 LESTER ELIZABETH LAND
 LILES SYLVIA FREDERICKA STYLES
 MCKINLEY MARTHA ELLEN CLARK
 MEEKINS SARA GULLETTE
 MUBERLY SUSAN LEE
 MORNINGSTAR GRACE ELIZABETH
 [REDACTED]
 WIGGINGTON DEBORAH COLEMAN
 WILLMOTT BETTY BROOKS

MASTER OF ARTS IN
 INTERIOR DESIGN

SHARON MARGARET MILAM

Master of Business
 Administration

BLACK CHARLES ANDERSON JR
 COOPER DORIS JEANNE
 CRISMELL SADIE L KINCAID
 DRAKEFORD BOB HALL
 ECKDAHL WILLIAM W
 EZELL NEIL CLARK
 EZELL PATRICIA LANE WHITWORTH

KEEFE JACK ELDEN JR
 KIRKPATRICK CHARMINE
 LANGSTAFF RICHARD TEKRELL
 LAY RUSSELL C
 LESLIE VAN MICHAEL
 LUOPER BOBBY JEAN
 MACOMBER SCOTT ALLYN
 MALLY HUGH E
 MARGUS SAMUEL TODD
 MARTIN NEWELL E HOBGOOD
 MASLAK BARBARA CLAKE
 MCALLISTER CATHY ELOIS
 MILLER CYNTHIA HARBEIT
 MIZELL ELLEN A
 MUERLIR PETER
 MORVILLE CHARLES ROBERT
 ODELL TAMI MARIA
 OSBORN SUSAN JO
 PECK JENNIFER LEIGH
 PHILLIPS KAREN E CASTEEL
 PULLACK DAVID
 PULASKI PAULA ANN
 RAMEY ELIZABETH GAY
 REED KEVIN MARK
 REGISTER ROGER CHARLTON
 RICE MICHAEL STEWART
 RILEY SUSANNAH E
 RIVERA-BENITEZ JORGE
 ROBINSON KENNETH WAYNE
 ROGERS GERALD WAYNE
 ROSZELL CALVERT
 SAIDIBAGHGHANDHI GHOLAMREZA
 SCHWEITZER M CAROL BECKMAN
 SHAFFERMAN JEAN ANNE SCHALK
 SHARLEY RENEE ANNE
 SMITH JIMMY POWELL
 SMITH WILLIAM M
 SUBRAMANIAN SEETHALAKSHMI RAD
 THURBER DONALD C
 VINCHER ROBERT BENTLEY
 WASKER KIMBERLY JEAN
 WASKER LAUREL ELIZABETH
 WOLK DAVID JONATHAN
 ZORN THEODORE EUGENE

Doctor of Musical Arts

OTT DAVID LEE
 PARKER JOSEPH DALE

Master of Arts

ACKER TAWNY R
 ADELSKI MARY ELIZABETH
 ALLEN MARGARET BENNETT
 ANDERSON LAYLA SUSAN
 BARTLEY ANTHONY BERNARD
 BONANNO ALESSANDRO
 BOOKER THOMAS LIMDSEY
 BOYSEN CYNTHIA MARIE
 BROOKER TIMOTHY DOUGLAS
 CALDWELL DOROTHY ULRICH
 CARDEN STEPHEN DEMON
 CHUKMUELUE PATRICK IGMEBUJINE
 COTHERMAN STEVEN ROBERT
 CREHS STEVE WELDON
 CROM KELLEY ALBERTA
 DAVIS JANE ELIZABETH
 DONNELLY THOMAS CHRISTIAN
 EZELL RICKY KEVIN
 GARAND JAMES CHRISTOPHER
 GOERTZ SHARON DEE
 GOINS JOSEPH A
 GOODELL THOMAS ERIC
 HALL ROBERT STANLEY
 HARRIS MELANIE
 HAVEN ROBERTA L
 HENDERSON A GMYNN
 HOCKENSMITH CHARLES DOUGLAS
 HORN DONNA G
 HAWERTON JEFFREY SCOTT
 JOHNSON ANGELA SUE
 JOHNSON DENNIS JOSEPH

GADDIS MARCUS DAMON
 GARONER MICHAEL THOMAS
 GRAF MARK ALANN
 HAWKINS VENITA LYNN
 HICKERSON GUANE UAL
 HUGHES ROMAN COOL
 KRAMER RAYMOND STRAND
 MUDD JOSEPH PATRICK
 MULHALL BARBARA ANN
 MULLINS ALAN R
 NEAL SIDNEY WARREN
 NUNE BEVERLY ANN HOWARD
 ORMEROD MICHAEL E
 OWENS PHILLIP ALAN
 OWSLEY ALUMA SUE
 PAXTON REID BRADLEY
 PEAVLER ROSEMARY CARLSON
 PHILLIPS PAUL KEVIN
 POLLITT JEFFREY GENE
 RENNER BILLY BOB
 ROBBINS KENNETH MICHAEL
 SCHNEIDER SHELDON JAY
 SHANNON LORIS KAY POME
 STANTON MILNER MARION
 THOMPSON JEFFERY ALLEN
 TRONTZ RICHARD STEVEN
 TURNER DEBORAH DIANE

Master of Fine Arts

ANDERSON LAWRENCE PALMER
 DONNELLY DALPHNA JEAN WILLIAMS
 HUGHES DAVID LEE
 MACKELLAR LINDA JEAN ESTERLINE

Master of Music

CONGER CARYL WORTHINGTON
 HEARD MONICA GALE

MASTER OF NUTRITIONAL
 SCIENCE

BASTIN SANDRA SUE PACKETT
 HALL ALETHA MASTERS
 HATCH JOI CECILE
 MCKENZIE LORA ELLEN
 MOODY NELLE ANDERSON

Master of Rehabilitation
 Counselling

CROUSE CHRISTINE ELLEN COLE

Master of Science

AIKENS DAVID H
 ATKINS VICKI DYE
 ATKINSON JOHN E
 BARRETT LANA KAY SHEFFIELD
 BARRON LANCE S
 BAUER LAMBERT LEWIS
 BAYLOR NORMAN WATSON
 BOTTOMS NELSON G
 BREWSTER DAVID H
 BURCHETT MYRA GERTRUDE
 BYRD PHILLIP EDWARD

CALVERT LEE ALEXANDER
 CAMPBELL CRAIG ELVIN
 CHECK DEBRAH ANN
 CHEN CATHRYN S
 COLLY RICHARD WAYNE
 COLLEY EDDIE LEROY
 COYLE JAMES LAYTON II
 DALTON MARY MARGARET
 DOWNS BILLY J
 DRIVER ROBERT H
 DUGUOU GREGORY JOHN
 DUQUETTE RICHARD HENRY
 ELAM TIMOTHY DAVID
 ELSER ANDREW H
 FARRELL JOHN PAUL
 FEUERBACHER THOMAS ARTHUR
 FOLEY WILLIAM C
 GLENN TEMPLETON ADAIR
 GUFFY RICHARD DOUGLAS
 GUYTHER SUSAN CAMUACE
 GUZZARDI LAWRENCE JOS
 HANLY JOHN MICHAEL
 HORRELL MARK ALAN
 HULL CURTIS GEORGE
 HUNTINGTON THOMAS GORDON
 ISMAIL NORRIZAH MOHAMAD
 JACOBS ANDREW LEWIS
 JOHNSON AMY LEE
 JOHNSON JAYNE LEIGH KIDD
 JOSEPH ALLEN B
 KALTENBORN KENT ERNEST
 KIMMEL DAVID AARON
 KOSOVSKY JANE ELLEN
 LEAVITT BRUCE EDWIN
 LEE HSUCH-JU
 LUEFFLER TIMOTHY MARK
 MACPEEK WENDY ANN
 MANGER KATHERINE CHANG
 MANGER PHILLIP HERRMANN
 MARTUNE DANIEL PATRICK
 MCBAIN STEVEN LEE
 MCCORMICK RODNEY EMMANUEL
 MCDANIEL DANNY NEAL
 MCINTEE ELLEN ELIZABETH

MERRICK RAYMOND DANIEL
MORTON PHILLIP ANDREW
NEWSOME ROSETTA LEIGH
NOE MICHAEL JAMES
NORTON DAVID HAROLD
OSBORNE JOHN STEPHEN
OSTREM JAMES AARON
PALERMO MICHAEL T
PFEIFFER KAREN JAYNE
PIERSON PAULETTE ELLEN
PIIEMGER JUDITH ELIZABETH
QUINN THERESA M
RECIO LESLIE
ROARK SAUNDRA LYNN
ROBERTSON CAROL LEE
ROBERTS ELAINE ALLISON STROUSS
RYMEK RODNEY KEITH
SABO JOHN PAUL
SAM ELLEN MEI
SHIELDS GREGORY CLEVELAND
SILVER IVIN SCOTT
SKINNER THOMAS L
SPANGLER LAWRENCE EDWARD
STODHAM MARY LEE
SUBRAMANIAN SIVARAMAN H
TAM YI CHU
TROMU MARIANNE
TROVER MICHAEL LOREN
TUCKER LAUREL INEZ
TURNER JOSEPH GRESHAM
VANCE ROBERT KELLY
VIAU CHRIS JOSEPH
VINCENT JEAN ELIZABETH
WAJD THOMAS HENRY
WANG CHYAN-JI
WARMBOLD SHERYL RENEE KNUDSON
WATERMAN DOUGLAS FRANCIS
WILLIS HELENA BEAUGRAND
WILSON DAVID LAWRENCE
WINSETT DARRELL WAYNE
WONDERLEY PATRICIA FAITH
WOOCK ROBERT DAVID
WU SHU-LING

Master of Science In
Accounting

ARMSTRONG NATHANIEL THOMPSON
BRADSHAW BARRY CLAYTOR
LAWSON DANA LUCILLE

Master of Science In
Agriculture

CLUBB MARK ALAN
GAMBINO FRANCES L GARBARINO
GARLAND PATRICIA ANNE
GERRISH DAWN LARSON
MAZHAI SAADI MOHAMAD
NELSON WILLIAM BROCK
POE RICHARD THATCHER
TRUONG JEAN-FRANCOIS T V

Master of Science In
Agricultural Engineering

OBRYAN JAMES EDWARD
SWETNAM LARRY D

Master of Science In
Chemical Engineering

BALKO JAMES ANDRE
CALDERON RAFAEL
COMER ANTHONY CURTIS
JEVITCH MILAN M

KIRKLAND NED MATTHEWS
KU YOUNG
MCASKILL RICHARD DAVID
SETTLES JOSEPH HAYS
WAMPSETT WILLIAM GARY

Master of Science In Civil
Engineering

BOSE SUBHAS CHANDRA T
DAY GEORGE BALDWIN V
HALE SAMUEL STEPHENS
HEITZMAN GREGORY CHARLES
JAHED PEYMAN
JONES BARNEY WILLIS
LAMBERT JOHN GREGORY
MUSRATI ASGHAR B
PASHA MOSIAFA
TEYMOURI-SABET GHOLAMREZA
HOWARD KEVIN MICHAEL
NAJAFABADI MORTEZA AHMADI

Master of Science In
Dentistry

GHOLSTON LAMONT RAY
TIPTON EDWARD F

Master of Science in
Education

BANTON ANN ELIZABETH REED
 BICKETT KARY BETH
 CATES NANCY JEANENE
 CAUDILL STANLEY CONARD
 CHANTIER CAROL LYNN JOHNSON
 COMBS RALPH LEE
 FERRARA RONALD J
 FITZPATRICK E FONTAINE KINKEAD
 GLENN RUSSELL BARRY
 GOLDEN MARGARET ALICE
 HIBILOI BECHEK
 HODGES ANNE DUNNINGTON
 JOHNSON JUANITA Q
 JONES GLORIA RASCH
 JONES VIVIAN HOBBS
 KAUSCH JUTTA SCHUGK
 KINGSBURY ROBERTA ANN ADKINS
 LEET JOY DIANE
 MILLER THOMAS W
 MORRIS TERRI JEAN BURNETT
 POUNDS NANCY CICALA
 SAMBOR JEAN MARIE
 SCARR MARK STANLEY
 SELLERS JILL B
 STILLMAN RICHARD ARTHUR
 TURNER LEX MYERS
 WITHERS SHARON MAYNE
 TERRELL JOHNNIE ELLIS

Master of Science in
Electrical Engineering

BOCA MOHAMMAD HADY
 DISCH ERIC ALLEN
 HURT ANDREW DAVID
 LEWIS CHARLES OLLEN
 LEWIS JAMES DWIGHT

RUMLETTE MITCHELL RAY
 TAYLOR DONALD KEITH
 VARIA HITEN D
 WADE BOBBY RAY

Master of Science in
Engineering Mechanics

CHANG MAINTZU
 REZAYAT MUHSEN

Master of Science in
Forestry

GRAYSON PATRICIA GAIL HULL
 LEOPOLD DONALD JOSEPH
 MCCOY DANIEL RICHARD
 RICHARDS THOMAS WERNER
 SCHNEIDER KARL RYAN
 STRINGER JEFFREY W
 ZIMMERMAN LISA JEAN

MASTER OF SCIENCE IN
FAMILY STUDIES

BAYES TERESA SUE DANIELS
 CHEN MEI-HUEI
 GAGE BRUCE ALAN
 GALE PATRICIA ANN
 HANSEE AMY LOUISE
 MCCOY LOIS DALE
 MONROE PAMELA ANN
 STAAB BARBARA SUZANNE
 THOMPSON ROCK EDWARD
 TURNER PAMELA RAE
 WALLACE MARY G

Master of Science In Library
Science

BREDEMEYER CAROL STULL
 FOGLE DIANNA LYNN HICKS
 GARLOUGH MARY ANN ODANIEL
 HAVENS CAROLYN CLAKICE
 JUPIN JUDAH KATE BLAIR
 KANNADY DONNA SUE WILLIAMS
 LEMLEY RUTH LOMBARD
 LESLIE TERESA ELAINE MUNCY
 LEWIS GLEN-ELLYN MCLAUGHLIN
 LIPPERT MARGRET G BRANSCHOFESKY
 RIPPY DAMA WAYNE
 SEBESTA KATHY ANN DAVIDSON
 SIERP DIETER G
 SKIPP JEAM SHINER
 STAUDERMAN HELEN M LAYTON
 WALTER JANICE LYNN

Master of Science in
Mechanical Engineering

AKHTAR MOHAMMAD WASIM
 BALLINGER ROBERT STAFFORD
 BHAVSAR DHIREN ISHWARLAL
 CHOUDHURY DIPANKAR
 GRADY JAMES BRUCE
 GUERRA VICTOR GLENN
 PADGAONKAR ABHAY MANGESH
 RAJAGOPAL KARUNAMURTHY
 REICHENBACH THOMAS GEORGE
 ROBERTS SHELDON DALE
 SAMUELS LUQUANN BEHYMER
 SHITS DAVID JOSEPH
 VANDRESAR NEIL THOMAS
 ZIMMERMAN CHRISTOPHER J

**Master of Science
in Metallurgical Engineering**

RUSSELL SAYRA N
SHAH NARESH HIRALAL
SWINTUSKY MICHAEL DAVID
THUPPAE PREM Kumar GOPALACHARI

Master of Social Work

ABRAHAM GLENN JEFFREY
AUSTIN JUDITH MARIE
BELCHER JOHN ROBERT
BOWER M ESTHER
BRIGHT PEGGY TIPTON
CORIO ELIZABETH ELLEN
FARKALL MICHAEL GERONIMO
FOSTER BETTIE ANN
GARRO SUSAN
GAY MARILYN B
GILL MARJORIE WALLACE
HUNICK MARTHA JOYCE
JENNINGS ROGER EUGENE
KING NANCY LYNN
LEVI KAREN YVONNE
LILLARD MILLIE ANN PERKINS
MCDONNELL JAMES
MOORE AMY JOYNER SADLER
ROBERTS LARRY JOE
ROTH JACQUELINE MARIE CARMEL
SHIPP JUDITH TINKER
SMITH BEVERLY ANN
SPANN CHARLES EDWARD
TRITT DARI RICHARDSON
WEST RUTHANN ASBURY

Master of Science in Nursing

AVERY MELISSA D
BARRONS NANCY JEAN LAWRENCE
BRASSE LAURA LEIGH
CRAMER MARY E
CURRIE BARBARA ANN
ERICK VAUGHN LYNDA MARY
GRIGGS ROSEMARY
HAGAN MARY SHARRON
HARBAGE CAROL ANN
HOUCK SUSAN JEAN
KITCHEN JANIE ROSS
MALKEWITZ SUSAN ALICE
MCFADDEN CAROLE RAE
NELSON NANCY LEE
OBRIEN MARY ELLEN
PAYNE MARILYN EUBANK
SIMMONS GWENNEIN CAMILLA
SKOUGE SHARON ELOISE LYNN
STARR MARSHA RUSSMAN
STONE ANGELA COLEMAN
WHEELER KATHY LYNN JUSTICE
WRIGHT CHRISTINA MARIE

MELVIN ROBERT MCCUOY
MORELAND MICHAEL LYNN
NOBLE MARY COMDREY
SEVDY ERIC MARTIN
SEVDO VIOLET HUFFMAN
SHEPHERD DENNIS WILLIAM
SLEDD HERBERT DAVIS JR
TOOMBS JAMES E
WHITE PAUL STEPHEN
SAYLOR JOI LYNN

College of Medicine

Dean: D. Kay Clawson

Doctor of Medicine

FORTE WILLIAM JARVIS
MORRIS RODNEY KARTHEAR

College of Arts and Sciences

Dean: Michael A. Baer

Bachelor of Arts

ABUKHATER LESLEY CISELL
AGNER MICHAEL MELVIN
AKAYDIN AHMET SABAN
ALLEN JOHN GREGORY
ALLRED LLOYD DWAYNE
ALTSCHUL LUIS RENO
AMATE NANCY JO
BAKER DORA ANN MORAN
BANTA SUSAN E
BATES LEON THOMAS JR

College of Law

Dean: Thomas P. Lewis

Juris Doctor

CLARKE ANNE DOOLING
CLAYPOOL VAN HARC
HENDRICKSON KENT
HOWARD DAVID ALAN
LOGAN GEORGE HUNTER JR

BERTRAM GREGORY GEORGE
 BORDERS ELLEN W
 BOINER STEPHEN RAY
 BREEDEN LENORE DOUGHERTY
 BRENNAN MOLLY
 BROWN LINDA C GENTRY
 BROWNER KATHLEEN ELLIS
 BROWNING DAWN EDNA MACINTYRE
 BRUNCKER DAVID E
 CALVERT VICTORIA LYNN
 CAMPBELL JEFFREY ALAN
 CAMPBELL JENNIFER GRAYBEAL
 CHAMBERLAIN MENDY SHAFER
 COLEMAN LOWENA BEATRICE
 CORMAN CHRIS CALDWELL
 CRAWFORD RALPH JR
 CRISP GARY RANDALL
 DALEY VIRGINIA LEE
 DE ST JORRE DOROTHY LILIAN
 DEMPSEY DEBORAH ANN
 DUKE JUDITH DARLENE GATTON
 DULEY LEIGH ANN
 DUTTON GREGORY SCOTT
 EGGERS REBECCA RAE HARTLAGE
 FARMER FRED N
 FARRIS SUSAN HASTINGS
 FAULKNER MARY FLOWERS STARKS
 FINDLAY HUGH J
 FLANERY PHILLIP RAY
 FRASER JUDITH ANNE
 GABBARD MICHAEL R
 GERROS CAKLA
 GOFF THOMAS HERMAN
 HARDESTY CONSTANCE L
 HARVEY KRISTEN ELIZABETH
 HAWKE STEPHEN D
 HENDRICKSON MARK HAROLD
 HETTEL LAUREN JEAN
 HUFFMAN CHARLES PHILIP
 HOLLOWAY LAURA NELL
 JOHNSON MARK DOUGLAS
 KEARNS SHARON KAY
 KRIEGBAUM ELIZABETH ANN
 LATHAM EILEEN SHARON
 LEHMAN M JENNIFER
 LOBB CHARLENE MAREE
 LUCKEY MARTHA E CLENDENIN
 LUERMAN SUSAN MARIE
 MADIGAN JUDITH MAKIE
 MAXWELL DEBORAH STARNS
 MAXWELL MARY LEISA
 MYNEAR DANIEL DWAYNE
 NAVARRO RAUL A
 NORKUS STEPHEN ERIC
 OLIVERAS RUSSWILDA
 OSBORNE PAMELA SUE
 PARKER CARL RAY
 PARKS JENNIFER ANN
 PERKINS ANNE CAROL
 PUINDEXTER DIANNE JEAN
 POPE ARTHUR G JR
 PRUEITT SHELIA RAMONA
 RAITLEY JIMMY ALAN
 REYNOLDS TIMOTHY LEE
 RILEY BEVERLEY GILLIAN KENNEDY
 ROACH TERESA LINN WINKLER
 ROMANO MICHAEL RICHARD
 SALLEE WILLIAM LAWRENCE JR
 SAMUELS ANNETTE ELAINE
 SHAW ANETTA
 SHAW SCOTT MARTIN
 SMALLEY JOHN ALLAN
 STOPHER CHARLES ANTHONY
 STUTZ-WATERS ANDREA JOSLIN
 TAGGART SANDRA JEANETTE
 THOMAS BRUCE GORDON
 THOMPSON JULIA S
 TURNER JOHN HARDIN
 WALDROP PAMELA
 WALTERS AMY LYNN
 WEDDLE LEO JEFFREY
 WELDON KEITH PHILIP
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College of Education

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 in Business & Economics

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College of Pharmacy

Dean: Joseph V. Swintosky

Doctor of Pharmacy

ADAMS JONATHAN DAVID
 BIRKHIHER LOIS JEAN
 KLAMERUS KAREN JEAN
 LEHMAN MARK E
 ONEAL WELTON JR
 WEISENBERGER SARAH LYNN
 WILLIAMS DENNIS MICHAEL
 WISHNOFF FLORY GRETA

Bachelor of Science in
 Pharmacy

AMOURGEY SUZETTE B
 BEETEM JON EDWARD
 BOUVETTE RALPH EDMOND
 BRAUNER LINDA RAMER
 BROCKMAN EDWARD BRITT
 CARR CLINTON JOSEPH
 CHANEY JOHN
 COOTS CHERYL DAWN
 DANHAUER JEFFREY WILLIAM
 GOOCH PATRICIA ANN SMITH
 GREER DURINDA S
 HINES GREGORY SCOTT

HOLMES DEBORAH JANE
 INGRAM SHEILA DIANE VOLK
 JOHNSON JEFFREY GENE
 PHILLIPS TRESEA LYNNE
 PULLIAM MARK EDWARD
 RAYNOLDS GARY GOODAN
 SIEVERS DOLORES ANN SMITH
 SMITH CATHERINE A
 VICKERS WILLIAM MARK
 WILSON MARGARET LOURAINÉ

College of Nursing

Dean: Marion McKenna

Bachelor of Science in Nursing

ALLEN REGINA MARIE
 BAIRÓ LINDA CECIL
 BAULT FRANCES RUTH
 BERTRAM BARBARA K
 BERTRAM LAURA LYNNE
 BLAND BRENDA E
 BOWLING ARLENE YVONNE DAY
 BROWN JANETTE ANNE
 BROWN MARGARET J
 BROWNING BEVERLY ELLEN MILLER
 CATALDO JANINE KAY
 CONRAD MARIANNE
 CORBIN JOYCE ANN CHRISTIE
 CRAYCROFT ANN MARIE
 DANIEL ANGELA GILLIAM
 DEBOLT JILL ANN
 DEZZANI SHARUN ANNE
 ELLIOTT MYRA TURNER
 FARLEY JUNI LYNN
 FAULKNER NANCY D
 FERGUSON EULA DELANE
 FOX JUDITH PHELPS

GRAY MARY E TURNER
 GRAY WILLIAM ALEXANDER
 HARVEY JENNIFER J
 HUPPER VERA
 ISAACS SALLY B
 JEFFERSON MARY ESTHER KILBOURN
 JONES JAMES ALLISON JR
 JOSEPH NANCY LYNN
 LEGUIZAMON PAULETTE BARNARD
 MEERS JANET SUSAN
 MOORE BETTY ANN ENDRES
 MOORE DANIEL KENTON
 NORTH VONDA KAY GRIFFITH
 PUCHALSKI S JANESS BOSSHARD
 SHELTON LINDA FAYE NEAL
 SKILLION ANTHONY K
 SMITH PEGGY JOYCE
 TAYLOR JANET LYNNE
 THOMPSON ELAINE ECTON
 THOMPSON TERESA LYNNE
 TIERNEY DIANA MARIE
 ULLERY JULIE BETH KAY
 VERST LINDA MARIE
 WELLS KATHRYN LAINE

College of Architecture

Dean: Anthony Eardley

Bachelor of Architecture

ATHERTON GEOFFREY L
 BACK JOE R
 BRUKER SUSAN L
 CECIL JEAN MARIE
 DENON MARC SIEVEN
 DOREN EUGENE HOWARD
 LEWIS WILLIAM HUNTER
 POWELL DARIUS PATRICK
 PRIMOT DIDIER HONRI PIERRE
 SAMOKAR DAVID MUMN
 SZYMANSKY DONALD EUGENE
 TIRPAK BRIAN DAVID
 UDOH EDET FRANK
 WONG JOSEPH CHAK

College of Allied Health

Professions

Dean: Joseph Hamburg

Bachelor of Health Sciences

ANDERSON EMMA ROSE
 ARTHUR MARY ALICE
 BUDNAR KIMBERLY DAMN
 BOSWELL KENEE
 BRECKENKAMP SUSAN LORI
 BREWER MELUYE LAYNE
 CHILDERS NORMA JEAN
 CUMMINGS DELORIS JEAN
 FITZGERALD CATHERINE L
 GARDNER CAROLYN MARIE
 HAYES STEPHEN
 HICKS NATHANA RUTH
 HOLTZ MARY ELLEN
 HUSTED TAMARA DIANNE
 JOHNSON JEANNA RHEA
 LOZE GEORGE WILLIAM
 LYNCH ANNETTA JO
 MCCARTNEY ROBERT HAROLD
 POLLARD S ANN
 POLLY CAMELIA S
 PRESTON DANA LEE
 PRESTON MICHAEL RYAN
 ROONEY KATIE A
 SAELINGER ANN HELEN
 SCALES PEGGY L
 SCHUHMANN LEE
 SHANKS CIMOY CHRISTINE
 SKRUNDZ MARY ANNE
 SLONE DEBORAH LOUISE
 SMILEY CHERYL LYNN
 SPANN NANCY L
 STALLINGS KAREN ELIZABETH
 SUMNER PATRICIA E
 TAYLOR JUNI
 TERHUNE LORI SCOTT

VANCE STEPHEN R
 WILSON MARGARET GAIL
 WILSON PHYLLIS A
 YOUNG BUNNY A
 YOUNG R STEWART
 ZANNI SANDRA LYNN

College of Home Economics

Dean: Marjorie Stewart

Bachelor of Arts in Housing and Interior Design

BAX TERRY A
 BURTON SHERRY ARLENE
 CECIL JAMES DANIEL
 FULLER MARSHA ANN
 HARRIS BRADLEY KEITH
 HERRIN CANDACE ELAINE
 PARKS KAREN ANNE
 SISK KAREN LEIGH

Bachelor of Science in Dietetics

BERGMAN MARLENE JO
 BEVINS FRANCES ANNE
 GUINN TAMARA JO
 MARSHALL JANIS FAY
 HESTER KAREN ANN
 OLIVER JULIA DEE

Bachelor of Science in Family Studies

BASTIN BETTY KELSEY
 COX TERESA JEAN
 DOMOHO ROBBIE SUE
 GARRISON VALERIE JUNE
 JONES SUSAN CLAIRE
 KIRK BEVERLY ANN
 MCCABE ALLISON ANN
 MENLING CAROL ANN
 SANNER CATHY ANN
 WONG MEE YORK

SMITHLING DIANE M
 WEST ROSE MARY
 WESTERMAN SONYA DEE ANDERSON
 WHINERY MARY CAROL

Bachelor of Science in Human Development and Family Relations

BINGER MARY DIANE
 BROWN EILEEN RUSSELL
 KENNEY KIMBERLY ANN

Bachelor of Science in Home Economics

AKIN NANCY ANN
 BEGLEY PAULA HARLENE
 COX TERESA JEAN
 CUMMINS CANDANCE ANN
 DAVIS MIMI CAROL
 DAY MELISSA ANN
 DEAN LISA LEA
 ELMORE AVALON DENISE
 EZELL DAN R
 GOSS PAUL MARTIN
 HENDRICKS BARBARA LOUISE
 HENSHAW LESLIE ANN
 HESTON SUSAN FRANCES
 HILDABRAND CONNIE JO
 JEWELL JAMIE JAMINE
 KNIGHT PATRICIA ANN
 KOBITTER ELIZABETH RADER
 MCGHAY TANYA LYNN
 NOLAN KAREN MARIE
 ODANIEL BEVERLY ANN
 PRICE MARY LYNNE
 REISER JUDITH L
 AICE REBEKAH JANE

College of Social Work

Dean: S. Zafar Hasan

Bachelor of Arts in Social Work

BELAND BRENDA ALISE
 DANT DONNA MARIE
 DUBOSE MARJORIE HILDEBRAND
 GOOD ROBERT MICHAEL
 GREENWELL SHARON PAULETTE
 HAMILTON ALMA JO
 HOLLIS PAULA RAE MARSHALL
 JOUETT BEVERLEY HALL
 KING DEBRA JUNE WHITE
 LONG VICKIE MELINDA
 MCANELLY KAREN
 MEADOWS SAUNDRA SUE SNOW
 MILLER DARLENE STEPHANIE
 MANTZ KRISTIE LYONS
 POTTER ANNA BURKE
 SPENCER PEGGY ANNE
 WALKER TANIA MICHÈLLE
 WATSON CARLA HARRIS
 WEEKS JAMET KAY

College of Communications

Acting Dean: Herbert N. Drennon

Bachelor of Arts

BEERS DANA LYN
 BENNETT SUSAN ELIZABETH
 BERRY RACHEL V
 BLOEMER NANCY LOUISE
 CHANDLER MARIJON
 CLARK KIMBERLY MICHELLE
 CLAY JOHN CARTER IV
 COOPER DAVIU EDWARD
 COWEN RICHARD S
 CRAYCRAFT PAUL MORTON JR
 CULIFF BRENDA SUSAN
 DISKELL JANE CAROL
 DUNCAN ELLEN MARIE
 DURHAM JAMES STAFFORD JR
 EDELEN MARY KAY
 ELDER LAURA MARIE
 FARLEY WILLIAM ANDREW
 FOSSETT JEFF CYRUS
 FOSTER JANET RAY
 FUGATE GWENDA
 GARD JEFFREY NASH
 GREEN WILLIAM MARK
 HAYES CAROL LYNN
 HOLLIS ANDREW E
 JOHNSON DONALD EARL
 JOHNSTON ANN LORENE
 KEESEY GREGORY MACLIN
 KEI CONRAD
 KENNY MICHAEL JAMES
 KING WILLIAM MARK
 MAPOTHER JAMES RANKIN
 MARTIN MARCIA ANN
 MCCOY MICHAEL BLAINE
 MCKINLEY TERRY LEE
 MILLER CONNIE J
 MILLER DEAN SCOTT

MONTGOMERY LISA GAYE
 MORGAN MARK DOUGLAS
 NOLAN JAMES FRANK III
 ORICK TERRY EDWARD
 ORISCELLO RALPH
 QUEENER PATRICIA SHANNON
 QUILLEN DEBORAH JUNE
 REDMON JANE WILLIFURD
 RUBERSON TERRY MARCHELLE
 ROLLINS DAVID GRAHAM
 RUEHLING DAVID CLARK
 KYAN NORA PATRICIA
 SCULLY LAURA ANN
 SILHANEK LISA ANNE
 SPENCER KYM KATHLERN EMBRY
 STEPHENS RICHARD THOMAS
 TAGEN JUDI
 TAYLOR CYNTHIA GARDNER
 THOMAS JEFFREY BRETT
 THOMPSON LYNN WHITNEY
 TRIPP MONIQUE JACQUELINE
 TURNER VICKI SUE
 VALLIERE MARK
 WATSON MARY RAMA
 WHEATLEY MARY LEE
 WILLIAMS DONALD KAY
 WILLIAMS LAURA LEE
 WILLIAMS ROGER D
 WOMBLES MARY CHARLES
 WRIGHT LONNIE GLENN
 YOUNG DREW ANNE

BACHELOR OF SCIENCE

ASHCRAFT JANET RENEE
 DAVARI-NEJAD AHMAD
 TANNER LAURA JEAN

College of Fine Arts

Acting Dean:
 Richard C. Domek, Jr.

Bachelor of Arts

BARONE SHARON ELIZABETH
 BRITTAIN KAREN S
 BROADJUS RICHARD NEAL
 CASWELL HEIDI MARIE
 CHANG CHOM SUN
 COX JOHN ALAN
 CUNNINGHAM CAREN SUZANNE
 EWING SELBY TAYLOR
 FINCH MARY EVELYN
 GRIZZELL CAROLYN SUE
 HAMILTON MARYJANE L
 HEMMER MARA CHRISTINE
 HOENSCHHEID LISA RAE
 JONES MARY OLIVER
 LAFOLLETTE MAKTHA JO
 MALLOW JENNIFER ANN
 MIDKIFF CAROL ANN
 ROSZELL STEPHEN R
 SCHAPER JULIE LOUISE
 SMITH CINDY ANN

Bachelor of Fine Arts

CHANG CHOM SUN
 CHARRON MADELEINE
 HEMMER MARA CHRISTINE

Bachelor of Music

BABER MELISSA KELLEY
 LASTINGER JULIE
 NICHOLS DEBRA KAY
 VAN HOOK MELANIE GAYLE

**Bachelor of Music in Music
Education**

BURKLOW KIMBERLY LYNN
FOWLKES ALICE PAIGE
GRAYSON STEVEN ALAN

Office of the President
December 8, 1981

PR 8

Members, Board of Trustees:

CANDIDATES FOR DEGREES - COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees and certificates specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees or certificates have been satisfactorily completed.

Background: At the November 21, 1965 meeting of the Board of Trustees, authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science, and of certificates for those who complete prescribed programs of two years or less of college work. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and Vice President.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

UNIVERSITY OF KENTUCKY
COMMUNITY COLLEGE SYSTEM

CANDIDATES FOR DEGREES
DECEMBER, 1981

ASHLAND COMMUNITY COLLEGE

Associate in Arts:

1. Betty J. Adams
2. Debra A. Adkins
3. William S. Banfield
4. Lynn S. Brown
5. Linda S. Caudill
6. Ronald W. Conley
7. Joy L. Downs
8. Roy F. French
9. John W. Gold
10. Ann B. Hensley
11. Nancy I. Hollis
12. John L. Johnson
13. Sylvia K. Kidd
14. Frances E. Layne
15. Randy C. Lothar
16. Margaret E. McWain
17. Jeffrey S. Riggie
18. Harold B. Runyan
19. Flecia A. Salisbury
20. Diane D. Sellars
21. Joel E. Shimfessel
22. Sherri L. Sparks
23. Brent A. Stewart
24. Thomas N. Thomas
25. Donald W. Vinson
26. Randall L. Withrow
27. Alfred J. Woods
28. Ronald A. Worley

Associate in Science:

1. Michael D. Bailey
2. Nelson B. Duncan
3. Paul D. Hendrix
4. Harold P. Keyes
5. Charles M. Mohr
6. Bill R. Pack
7. Charles J. Saffer
8. Michael W. Womack

Associate in Applied Science:

1. Rebecca L. Abbott
2. Debra A. Adkins
3. Evelyn K. Barber
4. Patricia A. Blankenship
5. Kathy M. Boyles

6. Carol E. Bruce
7. Eleta L. Buschur
8. Opal H. Clarke
9. Pamela J. Compton
10. Brenda C. Dingess
11. Pamela J. Duncil
12. Susan E. Fairchild
13. Sherry L. Ferguson
14. Deborah J. Hale
15. James W. Harris
16. Melvin D. McGarey
17. Kennetha E. O'Broff
18. Melissa S. Queen
19. Brenda S. Suttle
20. Charles E. Wiley

HAZARD COMMUNITY COLLEGE

Associate in Arts:

1. Shirley Butler
2. Linda C. Kelly
3. Geneva D. Lewis

Associate in Science:

1. Jeffrey H. Brashear
2. Carl A. Little
3. Barbara Smith

Associate in Applied Science:

1. Steve E. Campbell
2. Bobby L. Collins
3. Margaret Davidson
4. Teddy W. Everage
5. Anthony Hacker
6. Gary W. Hall
7. Robert A. Porter
8. Theresa Watts

LEXINGTON TECHNICAL INSTITUTE

Associate in Applied Science:

1. Robin K. Amos
2. Richard B. Arnold
3. Marion Ballard
4. Brian Karl Batteiger
5. Samuel Bledsoe
6. Kelly Bowling
7. Jewel D. Britton
8. Barry Alan Brock
9. Edward Gene Browning, Jr.
10. Barbara Bullock
11. Wyvitta Lou Campbell
12. Patricia Chan Soo
13. Janet L. Christian
14. Frank A. Cloud
15. Janet L. Cox

16. Roy L. Crouch
17. Elizabeth Ann Dobbling
18. Cheryl Anne Drury
19. Julie R. Dunn
20. Richard B. Fennell
21. Linda Ferris
22. John Thomas Fitzpatrick, Jr.
23. Susan Margaret Fleming
24. Darrell Ray Fraliex
25. Alberto Fugaccia
26. Terrance Mark Garner
27. Ray S. Granville, Jr.
28. Jerry W. Gray
29. Kenneth Roland Grigsby
30. Mary Carolyn Hamlin
31. Lewis Carter Hancock
32. Rebecca Jan Hargis
33. Mary Angela Hart
34. Donna Kay Hartley
35. Dorothy Compton Hellard
36. Charles Gregory Henzman
37. Donna J. Hildreth
38. Donna C. Holleran
39. George A. Hubbard
40. Joseph W. Isert, Jr.
41. Stephen Lawrence Johnson
42. Elizabeth Russell Jones
43. Kenneth Walter Jorette
44. Gregg Edward Katz
45. Hiawatha King
46. Jacqueline L. King
47. Ann Marie LaSota
48. Trieu V. Le
49. Mary Ann LeMaster
50. Jerald Leslie Maxberry
51. Tamara J. McDowell
52. Patricia A. McFarland
53. Grace Ellen Medley
54. Sandra Kaye Memmer
55. Patricia Helen Morris
56. Julia Mullican
57. Regina Marie Peterson
58. M. Angela Phillips
59. Jorge Luis Ponte
60. Marie Stone Raney
61. Deborah Lynn Reeb
62. Rebecca A. Rhodus
63. Cathy Cloe Richie
64. Anna Marie Riney
65. E. G. Ringo

66. Bane Robertson
67. Dennis Robinson
68. Linda Josephine Rogers
69. Jeffrey Tilton Rose
70. Julia Tyson Rose
71. Raymond M. Rush
72. David William Schmidt
73. Penny Lavonne Sears
74. Ann Cosby Short
75. David R. Short
76. Karla Rae Smithers
77. Harold L. Snider, Jr.
78. Steven Patrick Springer
79. Stacy Sue Stark
80. Julie Carol Stout
81. M. Linney Strother
82. Roberta Jo Sutton
83. Paul Douglas Tedder
84. Mark Allen Tharp
85. Janice Gayle Thomas
86. Eleanor C. Timmins
87. Rhonda Carol Turpin
88. Teresa Lynne Ward
89. Hardy Warren
90. Melinda Ann Wilder
91. Janie Williams
92. Barbara Phyllis Woods

PADUCAH COMMUNITY COLLEGE

Associate in Arts:

1. Vicki Hopkins
2. Ethel K. Jones
3. Nellie Kirby

Associate in Science:

1. Betty Daniel

Associate in Applied Science:

1. Carla Douglas Box
2. Robin Carrico
3. Robert Freeman
4. Ethan Allen Jones
5. Kathy Parham
6. Stanley Stallons

SOMERSET COMMUNITY COLLEGE

Associate in Arts:

1. Loretta Cherry
2. Hazel A. Cooper
3. Sherry J. DeBord
4. Napoleon O. Ebomah
5. Barbara M. Engle
6. Sandra K. Guffey
7. Sheila Hansford
8. Charles L. Jones
9. Angela L. Keen
10. Bonnie G. McGaha
11. Janell D. Meece
12. Kenneth Milligan
13. Mark Polston
14. Beauford Larry Price
15. Sherry L. Price
16. Roy Reynolds
17. Vicky S. Stewart
18. Eddie A. Thompson
19. Alan R. Turner
20. Michael J. Ulm
21. Anita G. Upchurch
22. Robert L. Upchurch

Associate in Science:

1. Lyman E. Alexander
2. Jeffery Baird
3. John W. Davidson
4. Pamela Jo Elliott
5. Oretta M. Heath
6. Charles B. Leveridge
7. Burl Melton
8. Douglas E. Owens
9. Gary Penticuff
10. Sue Ann Ruhl
11. Larry R. Silvers

Associate in Applied Science:

1. Deana M. Bastin
2. Alma S. Bingham
3. Kathy Bruner
4. Tonya L. Burton
5. Allen W. Case
6. Dennis G. Cox
7. Edell F. Durham
8. Guy Anthony Hamm
9. Robert A. Hayden
10. Judy K. Holt

11. Lisa G. Mize
12. Stephen C. Miller
13. Robert B. Murphy
14. Rhonda J. Neikirk
15. Cynthia F. Richardson
16. Robin Richardson
17. Linda H. Rose
18. Cheryl Shepperd
19. Sandra L. Walker
20. Kathy Wallen
21. Lee Ann Walters

Office of the President
December 8, 1981

PR 9

Members, Board of Trustees:

Appointments to University of Kentucky/Business
Partnership Foundation

Recommendation: that approval be given to the appointment of the following list of members to comprise partial membership of the Board of Directors of the University of Kentucky/Business Partnership Foundation for four-year terms beginning January 1, 1982 and ending December 31, 1985.

Public-at-Large Members

Payton F. Adams
Irving W. Bailey
Stonie Barker, Jr.
W. E. Burnett
Stan S. Dickson
Tracy Farmer
E. E. Freeman, Jr.
George W. Griffin
J. David Grissom

Henning Hilliard
Brereton C. Jones
Clyde Mauldin
Robert T. McCowan
A. Stevens Miles
Warren W. Rosenthal
Roger Schipke
Lynwood Schrader

Trustee Members

Albert G. Clay

William B. Sturgill

Background: The Dean of the College of Business and Economics recommended these appointments to the President, who in turn recommends them to the Board of Trustees. These recommended appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky/Business Partnership Foundation approved by the Board of Trustees at its meeting on August 25, 1981.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

Office of the President
December 8, 1981

PR 10

Members, Board of Trustees:

APPOINTMENT OF A SPECIAL COMMITTEE

Recommendation: (1) that the Board authorize and direct its Chairman to appoint a special committee of the Board charged with evaluating the legal, environmental, economic and technical aspects of conducting mining operations in the Robinson Forest and making a policy recommendation to the Board regarding the future use of the Forest, and (2) further that the Administration be instructed to enter into no negotiations with parties interested in mining the Robinson Forest until such time as a Board of Trustees policy has been adopted and announced.

Background: This recommendation is deemed appropriate at this time because of two facts. First, the severe budgetary crisis facing the University makes it necessary to take a careful look at those assets of the University which might possibly contribute to an alleviation of this severe financial problem. Second, we have begun to receive an increasing number of inquiries from third parties who have expressed interest in mining the property. It is our belief that a clear cut Board policy should be adopted prior to any discussions concerning the future of Robinson Forest.

Action taken: Approved Disapproved Other

Date: December 8, 1981.

Office of the President
December 8, 1981

PR 11

Members, Board of Trustees:

REPORT ON RESULTS OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the Secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that she be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to Mr. Frank V. Ramsey, Jr., whose term expires December 31, 1981, will be appointed.

Background: The term of Mr. Frank V. Ramsey, Jr. as alumnus member of the Board of Trustees expires December 31, 1981. An election has been held among the graduates of the University of Kentucky as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the Secretary of the Board of Trustees to the President of the University reports the results of the election.

Action taken: Approved xx Disapproved Other

Date: December 8 , 1981.

UNIVERSITY OF KENTUCKY

LEXINGTON, KENTUCKY 40506

BOARD OF TRUSTEES

December 8, 1981

President Otis A. Singletary
University of Kentucky
Lexington, Kentucky 40506

Dear President Singletary:

The term of Mr. Frank V. Ramsey, Jr. as alumnus member of the Board of Trustees expires December 31, 1981. An election has been held among graduates of the University as prescribed by statute and by the rules and regulations of the Board of Trustees. The following is the result of the election:

| | |
|--------------------------------|-------|
| Charles E. English | 3,068 |
| Kay King Evans (Mrs. William) | 4,471 |
| William G. Francis | 3,063 |
| Betty Jo Palmer (Mrs. Charles) | 5,540 |
| Frank V. Ramsey, Jr. | 7,082 |
| Eugene C. Royse | 3,598 |

There were 191 write-in names, all of which were tabulated and recorded.

Will you please submit this information to the Board of Trustees that same may be approved and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky, from which list of three he may appoint one to serve a term of six years beginning January 1, 1982.

Respectfully submitted,

Betty Pace Clark

Betty Pace Clark, Secretary
Board of Trustees

Office of the President
December 8, 1981

PR 12

Members, Board of Trustees:

PROPOSED AMENDMENTS TO THE GOVERNING REGULATIONS

Recommendation: It is recommended that the following proposed amendments to the Governing Regulations of the University of Kentucky be received for preliminary consideration and, at the next regular or special meeting of the Board of Trustees provided at least one month has elapsed, be included on the agenda for action.

(Note: Proposed additions are underlined; proposed deletions are bracketed.)

First Amendment -- Change the second paragraph of Part IV to read:

The elected membership shall number [185] 103, of which [160] 85 members shall represent the faculty and [25] 18 shall represent the student body.

Background: The reduction in the number of faculty members in the University Senate has been recommended to the President by the University Senate in the interest of economy and efficiency. It is also believed that a smaller Senate might provide a better opportunity for more thorough debate and more rigorous examination of issues reaching the floor. The number 85 was selected because it reduces the number of faculty Senators to approximately half while allowing for representation from all former educational units except the Honors Program, which now will be represented in the Humanities Division of the College of Arts and Sciences. The proposed reduction to 18 student Senators is recommended to maintain approximately the former ratio between faculty and student Senators.

Second Amendment -- Change the first sentence of the third paragraph of Part IV to read:

The [160] 85 elected faculty members shall be apportioned each spring among the colleges and the University Libraries according to the following two equally weighted factors based on data for the preceding fall semester: (1) the number of full-time teaching and/or research faculty, except those appointed in the research title or visiting series, with the rank of assistant professor or higher in the college or the University Libraries; and (2) the number of full-time students enrolled in the college, computed so that students enrolled in the Graduate School shall be assigned to the college in which they are pursuing their studies.

Background: Same as that for the First Amendment.

Third Amendment -- Change the fifth sentence of the fourth paragraph of Part IV to read:

Yearly adjustments shall be made to keep apportionment equitable, with the elected student membership maintained at [25] 18.

Background: Same as that for the First Amendment.

Fourth Amendment -- Replace the fifth paragraph of Part IV with the following new paragraph:

[The ex officio voting membership shall include the Vice President for Academic Affairs, Vice President for the Medical Center, Vice President for the Community College System, Dean of the Graduate School and Coordinator of Research, Dean of Undergraduate Studies, deans of the colleges, the Director of Libraries, and the president of the student body.]

The ex officio voting members shall number 12. In academic years beginning with an even number (e.g., 1982-1983, 1984-1985, this group shall be composed of the following: Vice President for the Medical Center, Dean of the Graduate School and Coordinator of Research, Director of Libraries, Assistant Vice President for Academic Affairs, and Deans of the Colleges of Allied Health Professions, Architecture, Communications, Dentistry, Education, Engineering, Law, and Social Work. In academic years beginning with an odd number, the ex officio voting members shall be the following: Vice President for Academic Affairs, Vice President for the Community College System, the President of the Student Association, and the Deans of the Colleges of Agriculture, Arts and Sciences, Business and Economics, Fine Arts, Home Economics, Library Science, Medicine, Nursing, and Pharmacy.

Background: Along with recommending reductions in the numbers of faculty and student Senators (see First Amendment), the University Senate also has recommended the principle of giving voting rights to an approximately proportional number of the current ex officio voting members. The new mode of designating the ex officio voting members has been proposed by the University Senate Rules Committee and recommended by the University Senate Council.

Fifth Amendment -- Change the sixth paragraph of Part IV to read:

The ex officio non-voting membership shall include the President, all other vice presidents, Dean of Admissions and Registrar, Dean of University Extension, Professor of Military Science, Professor of Aerospace [Science] Studies, and, if they are not already elected members of the Senate, the University System faculty members of the Board of Trustees, the Academic Ombudsman, and the chairmen of the [several standing] University Senate Advisory Committees. All officials mentioned in the preceding paragraph who are not voting ex officio members in any year shall be considered non-voting ex officio members. Other ex officio non-voting members may be added by the University Senate Council for the purpose of supplying information or viewpoints on problems considered by the Senate. Ex officio non-voting members shall enjoy all [the] privileges of the elected membership except the right to vote.

Background: Same as that for the Fourth Amendment.

Sixth Amendment -- Change the third paragraph of Part X.B.4 to read:

Any related appeal(s) [through administrative channels] to the appropriate academic vice president concerning procedural matters or privilege and/or the Advisory Committee on Privilege and Tenure of the University Senate or the Senate of the Community College System concerning procedural matters, privilege, or allegations of violation of academic freedom must be initiated within 60 days after being notified in writing by the dean or director about non-renewal of appointment.

Background: This amendment is designed to provide better clarification and understanding about the nature and scope of such appeals and has been recommended by both the University Senate Advisory Committee on Privilege and Tenure and the University Senate Council.

Seventh Amendment -- Change the fourth paragraph of Part VII.A.1 to read:

The Honors Program is an educational unit which is equivalent to a department and administratively responsible to the [Dean of Undergraduate Studies] Assistant Vice President for Academic Affairs. Its chief administrative officer is a director who is equivalent to a department chairman.

Background: This change is needed since the Board of Trustees recently approved the President's recommendation that the position of Dean of Undergraduate Studies be discontinued and that the duties of the Dean of Undergraduate Studies be assigned to the Assistant Vice President for Academic Affairs (Minutes of the Meeting of the Board of Trustees of the University of Kentucky, August 25, 1981, page 3 and PR 10).

Eight Amendment -- Delete all of Part VII.B.3.

[3. Dean of Undergraduate Studies

The Dean of Undergraduate Studies has the function, under the purview of the Vice President for Academic Affairs, of integrating and strengthening those aspects of undergraduate education of common interest to the appropriate colleges in the University System. The Dean serves as chairman of the Undergraduate Council and administers offices and programs designed to provide more effective instructional resources. The Dean also has general responsibility for those undergraduate programs of a University-wide nature, such as the Honors Program and the Undergraduate Research and Creativity Program. In the administration of educational units that might be transferred to, or developed under, the Office of the Dean of Undergraduate Studies, the Dean shall have the same authority and responsibilities as those of a dean of a college. The Dean also recommends to the Vice President for Academic Affairs on the following actions affecting faculty members in the Division of Colleges who are or may be involved in undergraduate programs: initial appointment of associate professors and professors; promotions of assistant professors and associate professors; and granting of tenure.]

Background: Upon recommendation of the President and approval of the Board of Trustees, the position of Dean of Undergraduate Studies was discontinued effective August 25, 1981.

Ninth Amendment -- Renumber Parts VII.B.4 through VII.B.9 as Parts VII.B.3 through VII.B.8; respectively.

Rationale: This is necessary if all of existing Part VII.B.3 is deleted as recommended in the Eight Amendment.

Tenth Amendment -- Change the fifth paragraph of Part VIII.A.3 to read:

Search committees for chairmen of academic departments shall be appointed by the deans of the colleges after consultation with (1) the associate dean or director of the school within the college if the department is within such a school; (2) the faculty of the department; and (3) [the Dean of Undergraduate Studies; and (4)] the Dean of the Graduate School and Coordinator of Research if the department is involved in a graduate program.

Rationale: The position of Dean of Undergraduate Studies was discontinued effective August 25, 1981.

Action: Approved xx Disapproved _____ Other _____
Date: December 8, 1981.

Office of the President
December 8, 1981

FCR 1

Members, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the four months ending October 31, 1981, be accepted.

Background: the October 31, 1981, report reflects income of \$113,091,694 for the period of the report; the income figure is 38 percent of the annual income estimate of \$296,938,268.

The expenditures and commitments represent a total of \$97,878,793 for the period of four months, or 33 percent of the expenditure authority of \$296,938,268 approved by the Board.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

University of Kentucky
Balance Sheet
October 31, 1981
With Comparative Figures for 1980-81

| | <u>1981-1982</u> | <u>1980-1981</u> |
|--|--------------------|--------------------|
| <u>ASSETS</u> | | |
| Current Funds: | | |
| Unrestricted: | | |
| Cash in banks: | | |
| Trust and agency | \$ 8,622,805 | \$ 4,113,166 |
| Imprest Cash | 822,377 | |
| Cash on deposit with State Treasurer: | | |
| Trust and agency funds | 5,768,898 | 8,448,425 |
| Total cash | <u>15,214,080</u> | <u>12,561,591</u> |
| Due from other funds and affiliated corporations | 9,616,299 | 7,197,078 |
| Accounts receivable | 2,144,042 | 821,043 |
| Notes receivable | 71,190 | 71,190 |
| Inventories | 4,807,033 | 5,045,877 |
| Unrealized income (Statement 3) | <u>121,843,111</u> | <u>117,248,356</u> |
| Total unrestricted | <u>153,695,755</u> | <u>142,945,135</u> |
| Restricted: | | |
| Cash in banks | 11,467,959 | 1,795,283 |
| Imprest funds | 15,090 | 28,863 |
| Cash on deposit with State Treasurer | <u>3,148,323</u> | <u>2,001,018</u> |
| Total cash | <u>14,631,372</u> | <u>3,825,164</u> |
| Petty cash advances | 243,078 | 215,003 |
| Travel advances | 274,108 | 242,450 |
| Accounts receivable | 12,368 | 10,054 |
| Accrued interest receivable | 452,447 | 925,510 |
| Investments: | | |
| Short-term (Schedule 1) | 15,879,787 | 20,897,811 |
| Other | 37,959 | 149,713 |
| Unrealized income: | | |
| Restricted (Statement 3) | 7,829,401 | 9,247,757 |
| Tobacco Research Trust Fund (Statement 3) | <u>2,301,014</u> | <u>2,543,021</u> |
| Total restricted | <u>41,661,534</u> | <u>37,056,483</u> |

University of Kentucky
 Balance Sheet
 October 31, 1981
 With Comparative Figures for 1980-81

LIABILITIES AND FUND BALANCES

| | <u>1981-1982</u> | <u>1980-1981</u> |
|---|--------------------|--------------------|
| Current Funds: | | |
| Unrestricted: | | |
| Outstanding encumbrances | \$ 5,929,641 | \$ 5,237,707 |
| Accounts payable-county funds | 109,466 | 63,847 |
| Accrued expenses | 1,093,900 | 738,314 |
| Advance from state for imprest cash fund | 1,300,000 | 1,300,000 |
| Deferred income | 822,135 | 643,407 |
| Accrued vacation | 3,138,000 | 3,100,000 |
| Unencumbered balance, departmental appropriations (Statement 4) | 132,760,855 | 122,243,302 |
| Fund balances: | | |
| Allocated for working capital (Statement 2) | <u>8,541,758</u> | <u>9,618,558</u> |
| Total unrestricted | <u>153,695,755</u> | <u>142,945,135</u> |
| Restricted: | | |
| General Fund collections | 4,826,792 | 3,695,066 |
| P/R deposits and withholdings | 2,327,092 | 1,921,982 |
| Outstanding encumbrances | 507,476 | 693,674 |
| Due to other funds and affiliated corporations | 11,162,396 | 10,664,689 |
| Advance from state for imprest cash fund | 50,000 | 50,000 |
| Deferred income | 19,303 | 30,348 |
| Refundable deposits | 70,617 | 65,593 |
| Outstanding liabilities | 80,071 | 54,685 |
| Unencumbered balance, departmental appropriations (Statement 4) | 7,432,770 | 7,605,517 |
| Unencumbered balance, Tobacco Research Trust Fund | | |
| Appropriation (Statement 4) | 2,719,858 | 2,951,696 |
| Fund balances: | | |
| Restricted fund (Statement 2) | 10,096,004 | 8,130,924 |
| Tobacco Research Trust Fund-unallocated funds (Statement 2) | <u>2,369,155</u> | <u>1,192,309</u> |
| Total restricted | <u>41,661,534</u> | <u>37,056,483</u> |

University of Kentucky
Balance Sheet
October 31, 1981
With Comparative Figures for 1980-1981

ASSETS

| | <u>1981-82</u> | <u>1980-81</u> |
|--|----------------------|----------------------|
| Hospitals and clinics: | | |
| Due from other funds and affiliated corporations | \$ 7,092,870 | \$ 5,537,193 |
| Accounts receivable, net of allowance for doubtful account of \$6,443,942 in 1981 and \$8,121,501 in 1980 | 13,511,348 | 12,960,773 |
| Inventories | 786,408 | 762,212 |
| Unrealized income (Statement 3) | <u>35,965,237</u> | <u>31,449,141</u> |
| Total hospital and clinics | <u>57,355,863</u> | <u>50,709,319</u> |
| Housing and dining system: | | |
| Cash in bank | 939,810 | 995,842 |
| Cash on deposit with State Treasurer | 4,105,638 | 2,876,690 |
| Accounts receivable | 281,373 | 298,727 |
| Inventories | 1,485,622 | 1,371,061 |
| Prepaid expenses | 566,564 | 562,048 |
| Unrealized income (Statement 3) | <u>12,547,359</u> | <u>9,953,707</u> |
| Total housing and dining system | <u>19,926,366</u> | <u>16,058,075</u> |
| Auxiliary enterprises: | | |
| Cash in banks | 121,651 | 568,940 |
| Cash on deposit with State Treasurer | <u>275,334</u> | <u> </u> |
| Total cash | <u>396,985</u> | <u>568,940</u> |
| Accounts receivable | 34,101 | 47,892 |
| Notes receivable | 87,000 | 87,000 |
| Inventories | 670,664 | 589,760 |
| Unrealized income (Statement 3) | <u>3,360,452</u> | <u>2,909,668</u> |
| Total auxiliary enterprises | <u>4,549,202</u> | <u>4,203,260</u> |
| TOTAL CURRENT FUNDS | <u>\$277,188,720</u> | <u>\$250,972,272</u> |

University of Kentucky
 Balance Sheet
 October 31, 1981
 With Comparative Figures for 1980-81

LIABILITIES AND FUND BALANCES

| | <u>1981-82</u> | <u>1980-81</u> |
|--|----------------------|----------------------|
| Hospitals and clinics: | | |
| Outstanding encumbrances | \$ 299,120 | \$ 1,633,092 |
| Accounts payable | 5,440,652 | 3,984,383 |
| Accrued vacation | 787,000 | 500,000 |
| Unexpended balance, departmental appropriation (Statement 4) | 40,327,272 | 34,129,359 |
| Fund balances-allocated for working capital (Statement 2) | <u>10,501,819</u> | <u>10,462,485</u> |
| Total hospital and clinics | <u>57,355,863</u> | <u>50,709,319</u> |
| Housing and dining system: | | |
| Accrued expenses | 103,609 | 74,552 |
| Accrued wages | 181,102 | 154,039 |
| Accrued vacation | 125,000 | 75,000 |
| Accounts payable | 208,922 | 318,890 |
| Due to other funds and affiliated corporations | 2,039,918 | 1,393,279 |
| Refundable deposits | 83,351 | 92,313 |
| Deferred income | 4,048,717 | 3,324,997 |
| Unexpended balance, departmental appropriation (Statement 4) | 12,680,555 | 10,052,344 |
| Fund balances: | | |
| Allocated for future operating purposes (Statement 2) | <u>455,192</u> | <u>572,661</u> |
| Total housing and dining system | <u>19,926,366</u> | <u>16,058,075</u> |
| Auxiliary enterprises: | | |
| Outstanding encumbrances | 170,270 | 150,701 |
| Due to other funds and affiliated corporations | 142,714 | 250,103 |
| Unencumbered balance, departmental appropriation (Statement 4) | 3,138,165 | 2,783,081 |
| Fund balances-allocated: | | |
| working capital | 840,130 | 770,607 |
| Future operating purposes | 257,923 | 248,768 |
| Total fund balance (Statement 2) | <u>1,098,053</u> | <u>1,019,375</u> |
| Total auxiliary enterprises | <u>4,549,202</u> | <u>4,203,260</u> |
| TOTAL CURRENT FUNDS | <u>\$277,188,720</u> | <u>\$250,972,272</u> |

University of Kentucky
Balance Sheet
October 31, 1981
With Comparative Figures for 1980-81

| | <u>1981-82</u> | <u>1980-81</u> |
|--|---------------------|---------------------|
| ASSETS | | |
| Loan Funds: | | |
| Cash in bank | \$ 964,990 | \$ 316,681 |
| Notes receivable, net allowance for doubtful accounts of \$2,242,677 in 1981 and \$989,387 in 1980 | 10,929,287 | 11,643,136 |
| Due from other funds and affiliated corporations | 13,685 | 2,262 |
| Due from United Student Aid loan fund | <u>2,000</u> | <u>2,000</u> |
| TOTAL LOAN FUNDS | <u>\$11,909,962</u> | <u>\$11,964,079</u> |
| Endowment Funds: | | |
| Investments | \$ 6,225,680 | \$ 5,137,840 |
| TOTAL ENDOWMENT FUNDS | <u>\$ 6,225,680</u> | <u>\$ 5,137,840</u> |
| Plant Funds: | | |
| Unexpended: | | |
| Cash on deposit with State Treasurer: | \$ 2,682,254 | \$ 4,114,840 |
| Trust and agency | <u>26,103,072</u> | <u>21,266,576</u> |
| Capital construction funds | | |
| Total cash on deposit with State Treasurer | <u>28,785,326</u> | <u>25,381,416</u> |
| Due from other funds and affiliated corporations | 4,411,024 | 2,672,617 |
| Due from Federal Government | | 339,473 |
| Due from proposed bond issue | | 1,597,575 |
| Construction in progress | <u>8,552,286</u> | <u>4,295,791</u> |
| Total unexpended plant funds | <u>41,748,636</u> | <u>34,286,872</u> |

University of Kentucky
 Balance Sheet
 October 31, 1981
 With Comparative Figures for 1980-81

LIABILITIES AND FUND BALANCES

| | <u>1981-1982</u> | <u>1980-1981</u> |
|---|----------------------------|----------------------------|
| Loan Funds: | | |
| Federal sponsored loan funds: | | |
| Federal Government | \$ 8,443,910 | \$ 8,695,344 |
| University matching funds | 1,075,707 | 1,116,096 |
| Accumulated interest income | <u>1,339,935</u> | <u>1,174,216</u> |
| Total sponsored loan funds | <u>10,859,552</u> | <u>10,985,656</u> |
| University loan funds: | | |
| University student aid funds | 85,881 | 87,794 |
| University special student loan funds | 962,529 | 888,629 |
| United Student Aid loan funds | <u>2,000</u> | <u>2,000</u> |
| Total University loan funds | <u>1,050,410</u> | <u>978,423</u> |
| TOTAL LOAN FUNDS | <u>\$11,909,962</u> | <u>\$11,964,079</u> |
| Endowment Funds: | | |
| Fund balances | <u>\$ 6,225,680</u> | <u>\$ 5,137,840</u> |
| TOTAL ENDOWMENT FUNDS | <u>\$ 6,225,680</u> | <u>\$ 5,137,840</u> |
| Plant Funds: | | |
| Unexpended: | | |
| Outstanding encumbrances | \$12,060,200 | \$ 5,786,033 |
| Accounts payable | 21,300,000 | 1,035,764 |
| Bond anticipation notes payable | <u>8,388,436</u> | <u>27,465,075</u> |
| Fund balances allocated for construction-renovation | | |
| Total unexpended plant funds | <u>41,748,636</u> | <u>34,286,872</u> |

Statement 1

University of Kentucky
 Balance Sheet
 October 31, 1981
 With Comparative Figures for 1980-1981

ASSETS

| | <u>1981-1982</u> | <u>1980-1981</u> |
|--|----------------------|----------------------|
| Renewal and replacements: | | |
| Cash on deposit with trustees | \$ 29,294 | \$ 26,034 |
| Cash on deposit with State Treasurer | 667,944 | 554,895 |
| Due from other funds and affiliated corporations | 368,380 | 320,325 |
| Accrued interest receivable | 17,210 | 21,900 |
| Investments held by Trustees | <u>1,157,974</u> | <u>1,132,613</u> |
| Total renewal and replacements | <u>2,240,802</u> | <u>2,055,767</u> |
| Retirement of indebtedness: | | |
| Cash on deposit with State Treasurer | 50,000 | 50,000 |
| Cash on deposit with Trustees | 20,505 | 51,857 |
| Accrued interest receivable | 150,620 | 146,368 |
| Investments held by Trustees | <u>15,921,259</u> | <u>46,596,173</u> |
| Total retirement of indebtedness | <u>16,142,384</u> | <u>46,844,398</u> |
| Investment in plant: | | |
| Land | 21,680,390 | 17,928,323 |
| Buildings | 277,199,725 | 277,250,126 |
| Equipment | <u>105,478,128</u> | <u>94,884,716</u> |
| Total investment in plant | <u>404,358,243</u> | <u>390,063,165</u> |
| TOTAL PLANT FUNDS | <u>\$464,490,065</u> | <u>\$473,250,202</u> |
| Agency Funds: | | |
| Cash in bank | \$ 503,875 | \$ 477,878 |
| Accounts receivable | 2,250 | 24,466 |
| Due from other funds and affiliated corporations | 57,571 | 3,931 |
| Investments short-term (Schedule 1) | <u>15,000</u> | <u>15,000</u> |
| TOTAL AGENCY FUNDS | <u>\$ 578,696</u> | <u>\$ 521,275</u> |

University of Kentucky
 Balance Sheet
 October 31, 1981
 With Comparative Figures for 1980-1981

LIABILITIES AND FUND BALANCES

| | <u>1981-1982</u> | <u>1980-1981</u> |
|--|----------------------|----------------------|
| Renewal and replacements: | | |
| Outstanding encumbrances | \$ 39,060 | \$ 8,698 |
| Fund balances: | | |
| Restricted (Schedule 4) | 1,152,200 | 818,529 |
| Unrestricted (Schedule 4) | <u>1,049,542</u> | <u>1,228,540</u> |
| Total fund balances | <u>2,201,742</u> | <u>2,047,069</u> |
| Total renewal and replacements | <u>2,240,802</u> | <u>2,055,767</u> |
| Retirement of indebtedness: | | |
| Bonds payable (Schedule 3) | 1,536,000 | 32,727,000 |
| Fund balances (Schedule 3) | <u>14,606,384</u> | <u>14,117,398</u> |
| Total retirement of indebtedness | <u>16,142,384</u> | <u>46,844,398</u> |
| Investment in plant: | | |
| Due to other funds and affiliated corporations | 381,000 | 381,000 |
| Bonds payable | 104,808,000 | 103,961,000 |
| Notes payable | 928,121 | 3,855,516 |
| Advance pending issue of bonds | 4,660,000 | 4,835,000 |
| Lease purchase obligation | <u>293,581,122</u> | <u>277,030,649</u> |
| Net investment in plant | <u>404,358,243</u> | <u>390,063,165</u> |
| Total investment in plant | <u>\$464,490,065</u> | <u>\$473,250,202</u> |
| TOTAL PLANT FUNDS | | |
| Agency Funds: | | |
| Outstanding encumbrances | 3,282 | 2,649 |
| Funds held in custody for others | <u>575,414</u> | <u>518,626</u> |
| TOTAL AGENCY FUNDS | <u>\$ 578,696</u> | <u>\$ 521,275</u> |

University of Kentucky
Statement of Changes in Fund Balances--Current Funds
Four Months Ended October 31, 1981

| | Unrestricted Allocated | Restricted | Tobacco Research Trust Fund | Hospitals and Clinics | Housing Dining System Funds for Future Operating Purposes | Auxiliary Enterprises |
|--|---------------------------|---------------------|--------------------------------------|-----------------------------|--|--------------------------|
| Fund Balances July 1, 1981 | <u>\$ 18,429,758</u> | <u>\$10,096,004</u> | <u>\$ 2,369,155</u> | <u>\$10,501,819</u> | <u>\$ 455,192</u> | <u>\$ 1,098,053</u> |
| Realized income | 81,146,599 | 2,291,316 | 1,238,986 | 20,791,870 | 5,711,092 | 1,911,831 |
| Unrealized income | 121,843,111 | 7,829,401 | 2,301,014 | 35,965,237 | 12,547,359 | 3,360,452 |
| Total estimated income | <u>202,989,710</u> | <u>10,120,717</u> | <u>3,540,000</u> | <u>56,757,107</u> | <u>18,258,451</u> | <u>5,272,283</u> |
| Less fund balance appropriated | 9,889,000 | | | | | |
| Net current estimated income | <u>193,101,710</u> | <u>10,120,717</u> | <u>3,540,000</u> | <u>56,757,107</u> | <u>18,258,451</u> | <u>5,272,283</u> |
| Total available | <u>211,531,468</u> | <u>20,216,721</u> | <u>5,909,155</u> | <u>67,258,926</u> | <u>18,713,643</u> | <u>6,370,336</u> |
| Current year expenditures/ encumbrances | 70,228,855 | 2,687,947 | 820,142 | 16,429,835 | 5,577,896 | 2,134,118 |
| Unencumbered balances, appropriations | <u>132,760,855</u> | <u>7,432,770</u> | <u>2,719,858</u> | <u>40,327,272</u> | <u>12,680,555</u> | <u>3,138,165</u> |
| Total authorized appropriations | <u>202,989,710</u> | <u>10,120,717</u> | <u>3,540,000</u> | <u>56,757,107</u> | <u>18,258,451</u> | <u>5,272,283</u> |
| Fund Balances October 31, 1981 | <u>\$ 8,541,758</u> | <u>\$10,096,004</u> | <u>\$ 2,369,155</u> | <u>\$10,501,819</u> | <u>\$ 455,192</u> | <u>\$ 1,098,053</u> |

University of Kentucky
 Summary of Current Revenues and Appropriated Balances
 Four Months Ended October 31, 1981
 With Comparative Figures for 1980-81

| | 1981-1982 | | | 1980-1981 | | |
|--|------------------------------|----------------------|-----------------------|------------------------------|----------------------|-----------------------|
| | Estimated for the Year | Realized to Date | % Realized to Date | Estimated for the Year | Realized to Date | % Realized to Date |
| Fund and Source: | | | | | | |
| Unrestricted: | | | | | | |
| Student fees | \$ 28,314,459 | \$ 15,464,833 | 55 | \$ 24,866,100 | \$ 12,977,637 | 52 |
| Federal appropriations | 13,480,747 | 3,414,604 | 25 | 12,746,931 | 4,111,678 | 32 |
| County appropriations | 2,387,800 | 512,050 | 21 | 2,183,200 | 368,653 | 17 |
| Endowment and trust fund income | 189,900 | 56,924 | 30 | 183,900 | 107,846 | 59 |
| Investment income | 3,870,000 | 3,412,430 | 88 | 4,060,000 | 1,627,025 | 40 |
| Gifts and grants: | | | | | | |
| Affiliated corporations | 4,812,804 | 46,393 | 01 | 3,724,300 | 24,305 | 01 |
| Other funds | 349,100 | | | 5,849,100 | | |
| Other | 953,000 | 228,981 | 24 | 851,255 | 777,859 | 91 |
| Sales, services, other income | 6,421,500 | 2,423,789 | 38 | 6,483,850 | 2,095,603 | 32 |
| Subtotal | <u>60,779,310</u> | <u>25,560,004</u> | <u>42</u> | <u>60,948,636</u> | <u>22,090,606</u> | <u>36</u> |
| State appropriations | 132,322,400 | 45,698,595 | 35 | 119,745,900 | 41,355,574 | 35 |
| Fund balance appropriated | 9,888,000 | 9,888,000 | 100 | 8,895,000 | 8,895,000 | 100 |
| Total unrestricted | <u>202,989,710</u> | <u>81,146,599</u> | <u>40</u> | <u>189,589,536</u> | <u>72,341,180</u> | <u>38</u> |
| Restricted | <u>10,120,717</u> | <u>2,291,316</u> | <u>23</u> | <u>10,567,497</u> | <u>2,319,740</u> | <u>22</u> |
| Tobacco Research Trust Fund | <u>3,540,000</u> | <u>1,238,986</u> | <u>35</u> | <u>3,750,000</u> | <u>1,206,979</u> | <u>32</u> |
| Hospital and Clinics: | | | | | | |
| State appropriations | 7,148,800 | 2,745,510 | 38 | 7,110,800 | 2,497,993 | 35 |
| Hospital earned income-net | 49,608,307 | 18,046,360 | 36 | 40,548,789 | 13,712,455 | 34 |
| Total Hospital and Clinics | <u>56,757,107</u> | <u>20,791,870</u> | <u>37</u> | <u>47,659,589</u> | <u>16,210,448</u> | <u>34</u> |
| Housing and Dining System | <u>18,258,451</u> | <u>5,711,092</u> | <u>31</u> | <u>14,935,960</u> | <u>4,982,253</u> | <u>33</u> |
| Auxiliary Enterprises | <u>5,272,283</u> | <u>1,911,831</u> | <u>36</u> | <u>4,634,731</u> | <u>1,725,063</u> | <u>37</u> |
| TOTAL CURRENT FUNDS AND APPROPRIATED BALANCES | <u>\$296,938,268</u> | <u>\$113,091,694</u> | <u>38</u> | <u>\$271,137,313</u> | <u>\$ 98,785,663</u> | <u>36</u> |

University of Kentucky
 Summary of Current Funds Expenditures
 Four Months Ended October 31, 1981
 With Comparative Figures for 1980-81

| Fund or Function | 1981-1982 | | 1980-1981 | | % Expended Encumbered to Date |
|---|----------------------------------|-----------------------------------|----------------------------------|-----------------------------------|-------------------------------------|
| | Appropriation for the Year | Expended Encumbered to Date | Appropriation for the Year | Expended Encumbered to Date | |
| Unrestricted: | | | | | |
| Department of Instruction and research | \$ 80,328,533 | \$27,462,440 | \$ 72,620,133 | \$ 27,136,905 | 37 |
| Summer session-1981 | 1,565,690 | 1,425,151 | 1,495,896 | 1,278,742 | 85 |
| Organized activities | 2,017,444 | 674,178 | 1,760,921 | 618,102 | 35 |
| Organized research | 17,893,760 | 7,245,703 | 15,295,088 | 6,066,689 | 40 |
| Libraries | 6,163,384 | 2,241,549 | 5,956,602 | 2,042,978 | 34 |
| Extension and public service | 25,151,296 | 8,636,186 | 22,929,953 | 7,614,570 | 33 |
| Maintenance and operation of plant | 17,049,419 | 6,139,473 | 15,010,605 | 6,398,461 | 43 |
| Renovation, office furniture, etc. | 1,069,350 | 169,650 | 1,211,850 | 136,150 | 11 |
| General administration | 9,314,818 | 2,868,773 | 8,769,164 | 2,777,772 | 32 |
| Student services | 5,485,562 | 1,874,139 | 5,388,337 | 1,916,603 | 36 |
| Staff benefits | 18,518,173 | 1,912,840 | 16,297,796 | 1,692,612 | 10 |
| General institutional services | 10,052,524 | 3,314,780 | 8,602,660 | 3,310,513 | 38 |
| Service enterprises | 286,156 | 271,609 | 275,731 | 179,421 | 65 |
| Debt service cost | 5,925,100 | 4,973,555 | 5,925,100 | 5,359,754 | 90 |
| Student aid | 2,168,501 | 1,018,829 | 2,048,614 | 816,962 | 40 |
| Reserves | | | 6,342,210 | | |
| Total unrestricted | 202,989,710 | 70,228,855 | 189,589,536 | 67,346,234 | 36 |

University of Kentucky
 Summary of Current Funds Expenditures
 Four Months Ended October 31, 1981
 With Comparative Figures for 1980-1981

| Fund or Function: Restricted: | 1981-1982 | | 1980-1981 | |
|---|----------------------------------|-----------------------------------|----------------------------------|-----------------------------------|
| | Appropriation for the Year | Expended Encumbered to Date | Appropriation for the Year | Expended Encumbered to Date |
| Departments of Instruction and research | | | | |
| Organized activities | 2,417,836 | 494,607 | 2,170,876 | 593,902 |
| Organized research | 56,405 | 21,582 | 140,925 | 66,671 |
| Libraries | 4,260,141 | 1,072,420 | 4,023,703 | 999,658 |
| Extension and public service | 36,010 | 7,591 | 78,268 | 15,271 |
| General institutional service | 313,647 | 69,473 | 473,718 | 143,984 |
| Student aid | 871,820 | 105,522 | 594,422 | 112,902 |
| | <u>2,164,858</u> | <u>916,752</u> | <u>3,085,585</u> | <u>1,029,592</u> |
| Total restricted | <u>10,120,717</u> | <u>2,687,947</u> | <u>10,567,497</u> | <u>2,961,980</u> |
| Tobacco Research Trust Fund | <u>3,540,000</u> | <u>820,142</u> | <u>3,750,000</u> | <u>798,304</u> |
| Hospitals and clinics | <u>56,757,107</u> | <u>16,429,835</u> | <u>47,659,589</u> | <u>13,530,230</u> |
| Housing and Dining System | <u>18,258,451</u> | <u>5,577,896</u> | <u>14,935,960</u> | <u>4,883,616</u> |
| Auxiliary Enterprises | <u>5,272,283</u> | <u>2,134,118</u> | <u>4,634,731</u> | <u>1,851,650</u> |
| TOTAL CURRENT FUNDS EXPENDITURES | <u>\$296,938,268</u> | <u>\$97,878,793</u> | <u>\$271,137,313</u> | <u>\$91,372,014</u> |
| | | | | <u><u>34</u></u> |

University of Kentucky
 Schedule of Short Term Investments
 October 31, 1981

| | <u>Maturity Value</u> | <u>Cost</u> |
|---|-----------------------|----------------------|
| Restricted Current Fund: | | |
| Government securities: | | |
| U.S. Treasury Bills, 14.93%, 4/15/82 | \$ 6,000,000 | \$ 5,592,973 |
| U.S. Treasury Bills, 15.01%, 4/15/82 | 435,000 | 405,319 |
| U.S. Treasury Bills, 15.74%, 6/17/82 | 6,855,000 | 5,996,495 |
| FHLB, 7.375%, 11/25/84 | 200,000 | 200,000 |
| U.S. Treasury Notes, 7.875%, 5/15/86 | 685,000 | 685,000 |
| Total government securities | <u>14,175,000</u> | <u>12,879,787</u> |
| Certificates of deposit: | | |
| Bank of Commerce & Trust Co., 16.0%, 1/5/82 | <u>3,000,000</u> | <u>3,000,000</u> |
| TOTAL RESTRICTED FUNDS | <u>\$ 17,175,000</u> | <u>\$ 15,879,787</u> |
| Agency Funds: | | |
| Government securities: | | |
| U.S. Treasury Notes, 7.87%, 5/15/86 | <u>15,000</u> | <u>15,000</u> |
| TOTAL AGENCY FUNDS | <u>\$ 15,000</u> | <u>\$ 15,000</u> |

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Four Months Ended October 31, 1981

| Source of Funds: | <u>Estimated</u> | <u>Realized</u> | <u>% Realized</u> |
|---|---------------------------|---------------------------|-------------------|
| Unrestricted current funds | \$5,925,100 | \$4,973,555 | 84 |
| Housing and dining system | 2,277,843 | 904,020 | 40 |
| Auxiliary enterprises current funds | <u>162,328</u> | <u>39,275</u> | <u>24</u> |
| TOTAL RETIREMENT OF INDEBTEDNESS FUNDS | <u>\$8,365,271</u> | <u>\$5,916,850</u> | <u>71</u> |

| Debt Service: | <u>Appropriated</u> | <u>Expended</u> | <u>% Expended</u> |
|--|---------------------|------------------|-------------------|
| Unrestricted funds debt: | | | |
| Consolidated Educational Buildings-Series A | 509,363 | 44,675 | 9 |
| Consolidated Educational Buildings-Series B | 480,825 | 52,912 | 11 |
| Consolidated Educational Buildings-Series C | 233,700 | 41,850 | 18 |
| Consolidated Educational Buildings-Series D | 2,460,544 | 914,500 | 37 |
| Consolidated Educational Buildings-Series E | 292,628 | 133,814 | 46 |
| Consolidated Educational Buildings-Series F | 525,700 | 232,850 | 44 |
| Community Colleges Educational Buildings-Series A | 837,970 | 343,986 | 41 |
| Community Colleges Educational Buildings-Series B | 195,550 | 55,275 | 28 |
| Community Colleges Educational Buildings-Series C | <u>388,820</u> | <u>139,410</u> | <u>36</u> |
| Subtotal | <u>5,925,100</u> | <u>1,959,272</u> | <u>33</u> |
| Housing and Dining System Revenue Bonds-Series A-K | <u>2,277,843</u> | <u>4,183</u> | <u>—</u> |

| Auxiliary Enterprises: | <u>Expended</u> | <u>% Expended</u> |
|---|---------------------------|-------------------|
| Alpha Delta Pi | 6,855 | 80 |
| Dorms A-F | 1,312 | 3 |
| Dorms G-L | 12,089 | 24 |
| Phi Delta Theta | 1,855 | 24 |
| Delta Delta Delta | 4,180 | 98 |
| Alpha Tau Omega | 1,954 | 25 |
| Housing Bonds of 1960 | 3,048 | 22 |
| Student Housing Bonds of 1964 | <u>7,902</u> | <u>27</u> |
| Subtotal | <u>39,275</u> | <u>24</u> |
| TOTAL RETIREMENT OF INDEBTEDNESS FUNDS | <u>\$2,007,730</u> | <u>74</u> |

University of Kentucky
 Schedule of Sinking Funds
 October 31, 1981

| | <u>Total Sinking Fund</u> | <u>Bonds Payable</u> | <u>Fund Balances</u> |
|--|-------------------------------|--------------------------|----------------------|
| <u>Bond Issue with Reserves Fully Funded:</u> | | | |
| Housing and Dining System Revenue Bond and Interest Sinking Fund | \$ 2,944,022 | | \$ 2,944,022 |
| Consolidated Educational Buildings Project and Interest Sinking Fund: | | | |
| Series A | 972,827 | | 972,827 |
| Series B | 945,575 | | 945,575 |
| Series C | 366,322 | | 366,322 |
| Series D | 3,406,083 | | 3,406,083 |
| Series E | 389,585 | | 389,585 |
| Series F | 1,402,350 | | 1,402,350 |
| Series G | 1,221,204 | | 1,221,204 |
| | 132,760 | | 132,760 |
| Student Housing Bond and Interest Sinking Fund of 1964 Community College Educational Buildings Project Bond and Interest Sinking Fund: | | | |
| Series A | 1,378,659 | | 1,378,659 |
| Series B | 330,879 | | 330,879 |
| Series C | 615,438 | | 615,438 |
| Student Housing Bond and Interest Sinking Fund of 1960 Dormitory Revenue Bonds of 1956 | 94,492 | | 94,492 |
| | <u>50,000</u> | | <u>50,000</u> |
| Total Bond Issues with Reserves Fully Funded | <u>14,250,196</u> | | <u>14,250,196</u> |
| <u>Bonds Fully Funded by Escrow Accounts:</u> | | | |
| Keeneland, Cooperstown and Student Union Future Bond and Interest Fund | 1,892,187 | \$ 1,536,000 | <u>356,187</u> |
| TOTAL SINKING FUNDS | <u>\$16,142,383</u> | <u>\$ 1,536,000</u> | <u>\$14,606,383</u> |

Schedule 4

University of Kentucky
 Schedule of Renewal and Replacement
 For the Four Months Ended October 31, 1981

| | <u>Restricted</u> | <u>Unrestricted</u> | <u>Total Fund Balances</u> |
|---------------------------------------|--------------------|---------------------|--------------------------------|
| Repair and Maintenance Reserves: | | | |
| Housing and Dining System | \$1,074,000 | \$ 31,865 | \$1,105,865 |
| Student Housing Buildings of 1964 | 48,200 | | 48,200 |
| Student Housing Buildings of 1960 | 30,000 | 1,345 | 31,345 |
| Other Auxiliary Enterprises | <u> </u> | <u>15,472</u> | <u>15,472</u> |
| Total repair and maintenance reserves | <u>1,152,200</u> | <u>48,682</u> | <u>1,200,882</u> |
| | | | |
| Renewal and Replacement Funds: | | | |
| Housing and Dining Funds | <u> </u> | 829,367 | 829,367 |
| Other Auxiliary Enterprises | <u> </u> | <u>171,493</u> | <u>171,493</u> |
| Total renewal and replacement funds | <u> </u> | <u>1,000,860</u> | <u>1,000,860</u> |
| GRAND TOTAL | <u>\$1,152,200</u> | <u>\$1,049,542</u> | <u>\$2,201,742</u> |

Office of the President
December 8, 1981

F C R 2

Members, Board of Trustees:

ESTATE OF PHIL E. RICHARDS

Recommendation: that the Board of Trustees authorize the establishment of a quasi-endowment from the Estate of Phil E. Richards.

Background: under the terms of the will of Phil E. Richards, one-third of the estate is to be paid to the University of Kentucky "to establish scholarships for graduate students of the Agricultural College engaged in research." The estate has been fully distributed with the University receiving a total of \$56,833.70.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

Office of the President
December 8, 1981

FCR 3

Members, Board of Trustees :

ESTATE OF ERNEST HAROLD CLARK

Recommendation: that the Board of Trustees authorize the establishment of a quasi-endowment from the Estate of Ernest Harold Clark.

Background: under the terms of the will of Ernest Harold Clark, thirty (30) percent of the estate is to be distributed to the University of Kentucky "to be used as a student loan fund." The University has received \$28,500 as a partial distribution of the estate. Approximately \$3,000 more will be received upon closing of the estate.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

Office of the President
December 8, 1981

FCR 4

Members, Board of Trustees:

COLLEGE OF LAW DEAN'S DISCRETIONARY FUND

Recommendation: that the Board of Trustees authorize the establishment of a quasi-endowment from the College of Law Dean's Discretionary Fund.

Background: the College of Law Dean's Discretionary Account is currently invested in the short-term restricted investment pool in the amount of \$40,000. Thomas P. Lewis, Dean of the College of Law, has requested that this amount plus \$70,000 more be moved to the endowment fund and invested in the endowment's money market fund.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

Office of the President
December 8, 1981

FCR 5

Members, Board of Trustees:

AUTHORIZATION FOR INCINERATION OF
PAID BONDS AND COUPONS

Recommendation: that the Board of Trustees authorize Mr. Charles W. Gardner, Controller Division, and Debbie Rude, Internal Audit Division, to check the attached list of paid and cancelled bonds and coupons returned from Paying Agents from July 1, 1979, through May 31, 1981, to destroy these documents by burning in the incinerator of the Medical Center, to certify the correctness of the list as to its incineration.

Background: the attached schedule lists University of Kentucky Revenue Bonds and Coupons returned from Paying Agents from July 1, 1979, through May 31, 1981. Since these have been paid and cancelled, they should be destroyed and the certification of incineration placed on file.

Action taken: Approved xx Disapproved _____ Other _____

Date: December 8, 1981

University of Kentucky
Revenue Bond Issues
Paid and Cancelled Bonds, Interest Coupons
Returned from Paying Agents

Dormitory Revenue Bonds-1950
456 Rose Street Oct. 1, 1950

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # and Due Date</u> | <u>Rate</u> |
|-----------------------|-----------------------|----------------------------------|-------------|
| 140-144 | 5 | Bonds due 10-79 | 500.00 |
| 145-149 | 5 | Bonds due 10-80 | 500.00 |
| 140-149 | 10 | #58 Coupons due 10-79 | 7.50 |
| 145-149 | 5 | #59 Coupons due 4-80 | 7.50 |
| 145-149 | 5 | #60 Coupons due 10-80 | 7.50 |

Dormitory Revenue Bonds-1950
476 Rose Street Dec. 1, 1950

| | | | |
|-------|---|-----------------------|----------|
| 71-73 | 3 | Bonds due 12-79 | 1,000.00 |
| 71-76 | 6 | #57 Coupons due 6-79 | 15.00 |
| 71-76 | 6 | #58 Coupons due 12-79 | 15.00 |
| 74-76 | 3 | #59 Coupons due 6-80 | 15.00 |

Dormitory Revenue Bonds-1968
476 Rose Street Dec. 1, 1968
Second Series

| | | | |
|-------|----|-----------------------|----------|
| 11 | 1 | Bond due 12-79 | 5,000.00 |
| 12 | 1 | Bond due 12-80 | 5,000.00 |
| 18-20 | 3 | #9 Coupons due 6-73 | 131.25 |
| 11-17 | 7 | #21 Coupons due 6-79 | 131.25 |
| 21-22 | 2 | #21 Coupons due 6-79 | 132.50 |
| 23-26 | 4 | #21 Coupons due 6-79 | 135.00 |
| 11-20 | 10 | #22 Coupons due 12-79 | 131.25 |
| 21-22 | 2 | #22 Coupons due 12-79 | 132.50 |
| 23-26 | 4 | #22 Coupons due 12-79 | 135.00 |
| 12-20 | 9 | #23 Coupons due 6-80 | 131.25 |
| 21-22 | 2 | #23 Coupons due 6-80 | 132.50 |
| 23-26 | 4 | #23 Coupons due 6-80 | 135.00 |
| 12-20 | 9 | #24 Coupons due 12-80 | 131.25 |
| 21-22 | 2 | #24 Coupons due 12-80 | 132.50 |
| 23-26 | 4 | #24 Coupons due 12-80 | 135.00 |

Dormitory Revenue Bonds-1953
Dormitories A-F May 1, 1953

| | | | |
|------------------|-----|-----------------------|----------|
| 534-566 | 33 | Bonds due 5-79 | 1,000.00 |
| 471 | 1 | Bond due 5-77 | 1,000.00 |
| 567-569; 572-600 | 32 | Bonds due 5-80 | 1,000.00 |
| 568 | 1 | #48 Coupon due 5-77 | 17.50 |
| 568 | 1 | #49 Coupon due 5-77 | 17.50 |
| 568; 556 | 2 | #50 Coupons due 5-78 | 17.50 |
| 567-569; 556-557 | 5 | #51 Coupons due 11-78 | 17.50 |
| 534-710 | 177 | #52 Coupons due 5-79 | 17.50 |
| 567-710 | 144 | #53 Coupons due 11-79 | 17.50 |
| 567-710 | 144 | #54 Coupons due 5-80 | 17.50 |
| 601-710 | 110 | #55 Coupons due 11-80 | 17.50 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # and Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------|-------------|
| Dormitory Revenue Bonds-1957 468 Rose Street | | | |
| 73-76 | 4 | Bonds due 11-76 | 1,000.00 |
| 81-84 | 4 | Bonds due 11-78 | 1,000.00 |
| 85-88 | 4 | Bonds due 11-79 | 1,000.00 |
| 89-90 | 2 | Bonds due 11-80 | 1,000.00 |
| 73-100 | 28 | #37 Coupons due 5-76 | 22.50 |
| 73-100 | 28 | #38 Coupons due 11-76 | 22.50 |
| 81-100 | 20 | #41 Coupons due 5-78 | 22.50 |
| 81-100 | 20 | #42 Coupons due 11-78 | 22.50 |
| 85-100 | 16 | #43 Coupons due 5-79 | 22.50 |
| 85-100 | 16 | #44 Coupons due 11-79 | 22.50 |
| 89-100 | 12 | #45 Coupons due 5-80 | 22.50 |
| Housing Bonds of 1960 460 Clifton & 710 Woodland Alpha Gamma Rho & Sigma Chi | | | |
| 71-77 | 7 | Bonds due 4-77 | 1,000.00 |
| 92-98 | 7 | Bonds due 4-80 | 1,000.00 |
| 99-105 | 7 | Bonds due 4-81 | 1,000.00 |
| 71-300 | 230 | #34 Coupons due 4-77 | 15.62 |
| 92-300 | 209 | #39 Coupons due 10-79 | 15.63 |
| 92-300 | 209 | #40 Coupons due 4-80 | 15.62 |
| 99-300 | 202 | #41 Coupons due 10-80 | 15.63 |
| 99-300 | 202 | #42 Coupons due 4-81 | 15.62 |
| Dormitory Revenue Bonds-1956 338 Clifton Avenue Dec. 1, 1956 | | | |
| 44-46 | 3 | Bonds due 6-76 | 1,000.00 |
| 54-58; 61-62 | 7 | #37 Coupons due 6-75 | 21.25 |
| 54-58; 61-62; 73 | 8 | #38 Coupons due 12-75 | 21.25 |
| 112-116 | 5 | #38 Coupons due 12-75 | 22.50 |
| 44-94 | 51 | #39 Coupons due 6-76 | 21.25 |
| 95-150 | 56 | #39 Coupons due 6-76 | 22.50 |
| 47-53; 59-60; 63-72; 74-89 | 35 | #40 Coupons due 12-76 | 21.25 |
| 95-150 | 56 | #40 Coupons due 12-76 | 22.50 |
| 54-58; 61-62; 73; 80-84; 90-94 | 18 | #42 Coupons due 12-77 | 21.25 |
| 129; 137-138; 146-150 | 8 | #42 Coupons due 12-77 | 22.50 |
| 51-94 | 44 | #43 Coupons due 6-78 | 21.25 |
| 95-150 | 56 | #43 Coupons due 6-78 | 22.50 |
| 55-94 | 40 | #44 Coupons due 12-78 | 21.25 |
| 95-150 | 56 | #44 Coupons due 12-78 | 22.50 |
| 55-94 | 40 | #45 Coupons due 6-79 | 21.25 |
| 95-128; 130-136; 139-150 | 53 | #45 Coupons due 6-79 | 22.50 |
| 59-70; 72-94 | 35 | #46 Coupons due 12-79 | 21.25 |
| 95-104; 106-110; 112-128 and 130-136; 139-150 | 51 | #46 Coupons due 12-79 | 22.50 |
| 59-70; 72-79; 85-89 | 25 | #47 Coupons due 6-80 | 21.25 |
| 95-104; 106-110; 112-128 and 130-136; 134-136; 139-150 | 44 | #47 Coupons due 6-80 | 22.50 |
| 51-54 | 4 | Bonds due 6-79 | 1,000.00 |
| 59-62 | 4 | Bonds due 6-80 | 1,000.00 |
| 55-58 | 4 | Bonds due 6-80 | 1,000.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # and Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------|-------------|
| Dormitory Revenue Bonds-1958 | | | |
| 342 Clifton Avenue June 1, 1958 | | | |
| 51-54 | 4 | Bonds due 6-79 | |
| 42-44 | 3 | Bonds due 6-76 | 1,000.00 |
| 48-50 | 3 | Bonds due 6-78 | 1,000.00 |
| 55-58 | 4 | Bonds due 6-80 | 1,000.00 |
| 42-83 | 42 | #36 Coupons due 6-76 | 21.25 |
| 84-150 | 67 | #36 Coupons due 6-76 | 22.50 |
| 45-66 | 22 | #37 Coupons due 12-76 | 21.25 |
| 84-120; 123-128; 131-145 | 58 | #37 Coupons due 12-76 | 22.50 |
| 119; 132; 143 | 3 | #38 Coupons due 6-77 | 22.50 |
| 67-83; 119-120; 123; 126 and 128; 132; 143-145 | 26 | #39 Coupons due 12-77 | 21.25 |
| 48-83 | 36 | #40 Coupons due 6-78 | 21.25 |
| 84-150 | 67 | #40 Coupons due 6-78 | 21.25 |
| 51-83 | 33 | #41 Coupons due 12-78 | 21.25 |
| 84-150 | 67 | #41 Coupons due 12-78 | 22.50 |
| 51-83 | 33 | #42 Coupons due 6-79 | 21.25 |
| 84-150 | 67 | #42 Coupons due 6-79 | 22.50 |
| 55-83 | 29 | #43 Coupons due 12-79 | 21.25 |
| 84-150 | 67 | #43 Coupons due 12-79 | 22.50 |
| 55-83 | 29 | #44 Coupons due 6-80 | 21.25 |
| 84-118; 120-131; 133-142 and 144-150 | 64 | #44 Coupons due 6-80 | 22.50 |
| Dormitory Revenue Bonds-1956 | | | |
| Dormitories G-L Dec. 1, 1956 | | | |
| 566 | 1 | #25 Coupon due 6-69 | 23.75 |
| 566 | 1 | #26 Coupon due 12-69 | 23.75 |
| 565-566; 815-816 | 4 | #35 Coupons due 6-74 | 23.75 |
| 565-566; 815-816 | 4 | #36 Coupons due 12-74 | 23.75 |
| 312; 318-319; 409-417; 546-550 and 565-567; 815-816 | 22 | #37 Coupons due 6-75 | 23.75 |
| 315-317; 352-356; 409-417 and 546-550; 565-566; 815-816 871-876; 900; 627 | 34 | #38 Coupons due 12-75 | 23.75 |
| 257-276 | 20 | Bonds due 6-76 | 1,000.00 |
| 257-297 | 41 | #39 Coupons due 6-76 | 22.50 |
| 298-562; 565-853; 855-900 | 600 | #39 Coupons due 6-76 | 23.75 |
| 277-297 | 21 | #40 Coupons due 12-76 | 22.50 |
| 298-351; 357-550; 552-562 and 565-853; 855-872; 874-900 | 593 | #40 Coupons due 12-76 | 23.75 |
| 409-417; 565-566; 815-816 and 825-829; 853 | 19 | #41 Coupons due 6-77 | 23.75 |
| 352-356; 409-417; 486 and 565-568; 659-660; 773-774 815-816; 830-831; 853-855 872 | 31 | #42 Coupons due 12-77 | 23.75 |
| 298-319 | 22 | Bonds due 6-78 | 1,000.00 |
| 298-408; 418-562; 565-854 and 855-900 | 592 | #43 Coupons due 6-78 | 23.75 |
| 320-408; 418-562; 565-853 and 855-900 | 569 | #44 Coupons due 12-78 | 23.75 |
| 343-366 | 24 | Bonds due 6-80 | 1,000.00 |
| 320-342 | 23 | Bonds due 6-79 | 1,000.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # and Due Date</u> | <u>Rate</u> |
|---|-----------------------|------------------------------|-------------|
| Dormitory Revenue Bonds-1956 -continued- | | | |
| 320-408; 418-554; 556-562 and 565-583; 585-900 | 568 | #45 Coupons due 6-79 | 23.75 |
| 343-408; 418-554; 556-562 and 565-583; 585-900 | 545 | #46 Coupons due 12-79 | 23.75 |
| 343-408; 419-537; 539-545 and 556-562; 565-583; 585-824 830-853; 855-880; 883-900 | 526 | #47 Coupons due 6-80 | 23.75 |
| Housing & Dining System Revenue Bonds Series H | | | |
| 673-730 | 58 | Bonds due 6-78 | 5,000.00 |
| 731-790 | 60 | Bonds due 6-79 | 5,000.00 |
| 791-850 | 60 | Bonds due 6-80 | 5,000.00 |
| 557-614 | 58 | Bonds due 6-76 | 5,000.00 |
| 1142 | 1 | #20 Coupon due 6-75 | 97.50 |
| 623-624; 1360 | 3 | #21 Coupons due 12-75 | 97.50 |
| 557-1324; 1327-1380 | 822 | #22 Coupons due 6-76 | 97.50 |
| 615-1324; 1327-1380 | 764 | #23 Coupons due 12-76 | 97.50 |
| 816-830; 1075-1076; 1338 | 18 | #25 Coupons due 12-77 | 97.50 |
| 673-1380 | 708 | #26 Coupons due 6-78 | 97.50 |
| 731-1380 | 650 | #27 Coupons due 12-78 | 97.50 |
| 731-1380 | 650 | #28 Coupons due 6-79 | 97.50 |
| 791-1032; 1038-1380 | 585 | #29 Coupons due 12-79 | 97.50 |
| 791-1032; 1038-1324 and 1327-1338; 1340-1380 | 582 | #30 Coupons due 6-80 | 97.50 |
| Housing & Dining System Revenue Bonds Series I June 1, 1965 | | | |
| 105-126 | 22 | Bonds due 6-76 | 5,000.00 |
| 149-180 | 32 | Bonds due 6-78 | 5,000.00 |
| 181-210 | 30 | Bonds due 6-79 | 5,000.00 |
| 211-250 | 40 | Bonds due 6-80 | 5,000.00 |
| 508 | 1 | #17 Coupon due 12-73 | 97.50 |
| 508 | 1 | #18 Coupon due 6-74 | 97.50 |
| 508 | 1 | #19 Coupon due 12-74 | 97.50 |
| 508; 687-688; 935; 993 | 5 | #20 Coupons due 6-75 | 97.50 |
| 1401-1407 | 7 | #20 Coupons due 6-75 | 100.00 |
| 508; 335 | 2 | #21 Coupons due 12-75 | 97.50 |
| 1401-1407 | 7 | #21 Coupons due 12-75 | 100.00 |
| 105-642; 644-769; 771-820 and 824-834; 836-1190 | 1,080 | #22 Coupons due 6-76 | 97.50 |
| 1191-2400 | 1,210 | #22 Coupons due 6-76 | 100.00 |
| 127-820; 824-913; 921-988 and 990-991; 995-1190 | 1,055 | #23 Coupons due 12-76 | 97.50 |
| 1191-1389; 1392-1400 and 1408-2400 | 1,201 | #23 Coupons due 12-76 | 100.00 |
| 479-483; 992-994 | 3 | #24 Coupons due 6-77 | 97.50 |
| 479-483; 686 | 6 | #25 Coupons due 12-77 | 97.50 |
| 1461 | 1 | #25 Coupon due 12-77 | 100.00 |
| 149-424; 426-913; 921-1190 | 1,039 | #26 Coupons due 6-78 | 97.50 |
| 1191-1400; 1408-1800 and 1801-2400 | 1,203 | #26 Coupons due 6-78 | 100.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Housing & Dining-Series I | | | |
| -continued- | | | |
| 181-424; 426-1190 | 1,009 | #27 Coupons due 12-78 | 97.50 |
| 1191-1400; 1408-2400 | 1,203 | #27 Coupons due 12-78 | 100.00 |
| 181-424; 426-1190 | 1,009 | #28 Coupons due 6-79 | 97.50 |
| 1191-1400; 1408-2400 | 1,203 | #28 Coupons due 6-79 | 100.00 |
| 211-424; 426-1190 | 979 | #29 Coupons due 12-79 | 97.50 |
| 1191-1400; 1408-2400 | 1,203 | #29 Coupons due 12-79 | 100.00 |
| 211-424; 426-651; 653-685 and 687-693; 695-973; 976-1190 | 974 | #30 Coupons due 6-80 | 97.50 |
| 1191-1400; 1408-2400 | 1,203 | #30 Coupons due 6-80 | 100.00 |
| Housing & Dining System Revenue Bonds Series J | | | |
| 1-3 | 3 | Bonds due 6-80 | 5,000.00 |
| 1-23 | 23 | #1 Coupons due 6-79 | 143.75 |
| 24-49 | 26 | #1 Coupons due 6-79 | 147.50 |
| 50-108 | 59 | #1 Coupons due 6-79 | 150.00 |
| 109-114 | 6 | #1 Coupons due 6-79 | 152.50 |
| 115-123 | 9 | #1 Coupons due 6-79 | 155.00 |
| 124-128 | 5 | #1 Coupons due 6-79 | 156.25 |
| 129-160 | 32 | #1 Coupons due 6-79 | 157.50 |
| 161-214 | 54 | #1 Coupons due 6-79 | 158.75 |
| 215-380 | 166 | #1 Coupons due 6-79 | 160.00 |
| 1-23 | 23 | #2 Coupons due 12-79 | 143.75 |
| 24-49 | 26 | #2 Coupons due 12-79 | 147.50 |
| 50-108 | 59 | #2 Coupons due 12-79 | 150.00 |
| 109-114 | 6 | #2 Coupons due 12-79 | 152.50 |
| 115-123 | 9 | #2 Coupons due 12-79 | 155.00 |
| 124-128 | 5 | #2 Coupons due 12-79 | 156.25 |
| 129-133; 135-160 | 31 | #2 Coupons due 12-79 | 157.50 |
| 161-214 | 54 | #2 Coupons due 12-79 | 158.75 |
| 215-353; 356-380 | 164 | #2 Coupons due 12-79 | 160.00 |
| 1-23 | 23 | #3 Coupons due 6-80 | 143.75 |
| 24-49 | 26 | #3 Coupons due 6-80 | 147.50 |
| 50-108 | 59 | #3 Coupons due 6-80 | 150.00 |
| 109-114 | 6 | #3 Coupons due 6-80 | 152.50 |
| 115-123 | 9 | #3 Coupons due 6-80 | 155.00 |
| 124-128 | 5 | #3 Coupons due 6-80 | 156.25 |
| 129-160 | 32 | #3 Coupons due 6-80 | 157.50 |
| 161-167; 169-173; 175-196 and 198-202; 205-214 | 49 | #3 Coupons due 6-80 | 158.75 |
| 215-353; 356-380 | 164 | #3 Coupons due 6-80 | 160.00 |
| Dormitory Revenue Bonds-1954 Keeneland Hall May 1, 1954 | | | |
| 318-337 | 20 | Bonds due 5-79 | 1,000.00 |
| 262-279 | 13 | Bonds due 5-76 | 1,000.00 |
| 299-317 | 19 | Bonds due 5-78 | 1,000.00 |
| 257 | 1 | Bond due 5-75 | 1,000.00 |
| 293-294 | 2 | Bonds due 5-77 | 1,000.00 |
| 338-353 | 21 | Bonds due 5-80 | 1,000.00 |
| 257 | 1 | #40 Coupon due 5-74 | 15.00 |
| 257; 297 | 2 | #41 Coupons due 11-74 | 15.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Dormitory Revenue Bonds-1954 | | | |
| -continued- | | | |
| 257; 297 | 2 | #42 Coupons due 5-75 | 15.00 |
| 297 | 1 | #43 Coupon due 11-75 | 15.00 |
| 262-290; 292-296; 298-307 and 311-447 | 181 | #44 Coupons due 5-76 | 15.00 |
| 280-290; 292-296; 298-307 and 311-447 | 163 | #45 Coupons due 11-76 | 15.00 |
| 308-310 | 3 | #47 Coupons due 11-77 | 15.00 |
| 299-447 | 149 | #48 Coupons due 5-78 | 15.00 |
| 318-447 | 130 | #49 Coupons due 11-78 | 15.00 |
| 318-447 | 130 | #50 Coupons due 5-79 | 15.00 |
| 338-447 | 110 | #51 Coupons due 11-79 | 15.00 |
| 338-447 | 110 | #52 Coupons due 5-80 | 15.00 |
| 359-447 | 89 | #53 Coupons due 11-80 | 15.00 |
| Student Union Bonds of 1962 | | | |
| Series A July 1, 1962 | | | |
| 349-387 | 39 | Bonds due 7-76 | 1,000.00 |
| 470-512 | 43 | Bonds due 7-79 | 1,000.00 |
| 513-538; 540-557 | 44 | Bonds due 7-80 | 1,000.00 |
| 470-650 | 181 | #33 Coupons due 1-79 | 17.50 |
| 470-538; 540-596; 598-650 | 179 | #34 Coupons due 7-79 | 17.50 |
| 513-596; 598-650 | 137 | #35 Coupons due 1-80 | 17.50 |
| Consolidated Educational Building | | | |
| Revenue Bonds; Series A, 1960 | | | |
| November 1, 1960 | | | |
| 4196-4555 | 360 | Bonds due 5-78 | 1,000.00 |
| 4556-4930 | 375 | Bonds due 5-79 | 1,000.00 |
| 4931-5320 | 390 | Bonds due 5-80 | 1,000.00 |
| 5038; 5451-5475 | 26 | #36 Coupons due 11-78 | 19.37 |
| 4556-7035 | 2,480 | #37 Coupons due 5-79 | 19.38 |
| 7036-8000 | 965 | #37 Coupons due 5-79 | 20.00 |
| 4931-7036 | 2,106 | #38 Coupons due 11-79 | 19.37 |
| 7036-8000 | 965 | #38 Coupons due 11-79 | 20.00 |
| 4931-5390; 5393-7035 | 2,103 | #39 Coupons due 5-80 | 19.38 |
| 7036-8000 | 965 | #39 Coupons due 5-80 | 20.00 |
| 5321-5566; 5574-5625 and 5641-6764; 6770-7035 | 1,688 | #40 Coupons due 11-80 | 19.37 |
| 7036-7554; 7556; 7558-8000 | 965 | #40 Coupons due 11-80 | 20.00 |
| Consolidated Educational Building | | | |
| Revenue Bonds, 1963 | | | |
| Series B May 1, 1963 | | | |
| 3196-3515 | 320 | Bonds due 5-78 | 1,000.00 |
| 3516-3845 | 330 | Bonds due 5-79 | 1,000.00 |
| 3846-4190 | 345 | Bonds due 5-80 | 1,000.00 |
| 3820 | 1 | #31 Coupon due 11-78 | 17.50 |
| 3516-4925 | 1,410 | #32 Coupons due 5-79 | 17.50 |
| 4926-7500 | 2,575 | #32 Coupons due 5-79 | 18.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|---|-----------------------|------------------------------------|-------------|
| Consolidated Educational-Series B -continued- | | | |
| 3846-4925 | 1,080 | #33 Coupons due 11-79 | 17.50 |
| 4926-7500 | 2,575 | #33 Coupons due 11-79 | 18.00 |
| 3846-4925 | 1,080 | #34 Coupons due 5-80 | 17.50 |
| 4926-6240; 6242-6246 and 6251-7500 | 2,570 | #34 Coupons due 5-80 | 18.00 |
| 4191-4765; 4776-4925 | 725 | #35 Coupons due 11-80 | 17.50 |
| 4926-6240; 6242-6246 and 6251-7500 | 2,570 | #35 Coupons due 11-80 | 18.00 |
| Consolidated Educational Building Revenue Bonds, 1967 Series C Nov. 1, 1967 | | | |
| 212-238 | 27 | Bonds due 5-78 | 5,000.00 |
| 239-266 | 28 | Bonds due 5-79 | 5,000.00 |
| 267-294 | 28 | Bonds due 5-80 | 5,000.00 |
| 267-881 | 615 | #24 Coupons due 11-79 | 75.00 |
| 267-881 | 615 | #25 Coupons due 5-80 | 75.00 |
| 295-881 | 587 | #26 Coupons due 11-80 | 75.00 |
| Consolidated Educational Building Revenue Bonds, 1971 Series D May 1, 1971 | | | |
| 331-386 | 56 | Bonds due 5-79 | 5,000.00 |
| 387-447 | 61 | Bonds due 5-80 | 5,000.00 |
| 329 | 1 | #13 Coupon due 11-77 | 150.00 |
| 2198-2199 | 2 | #13 Coupons due 11-77 | 162.50 |
| 329 | 1 | #14 Coupon due 5-78 | 150.00 |
| 1935; 2148-2149; 2188-2192 and 2367-2369; 2485 | 12 | #14 Coupons due 5-78 | 162.50 |
| 3664; 3770; 3821 | 3 | #14 Coupons due 5-78 | 165.00 |
| 6317-6318 | 2 | #15 Coupons due 11-78 | 137.50 |
| 829 | 1 | #15 Coupon due 11-78 | 150.00 |
| 1658; 1935; 2148-2149 and 2176-2177; 2188-2192 2367-2369; 2485 | 15 | #15 Coupons due 11-78 | 162.50 |
| 3770; 3821; 3664; 3758 | 4 | #15 Coupons due 11-78 | 165.00 |
| 4648-4657 | 10 | #15 Coupons due 11-78 | 167.50 |
| 6287-6940 | 654 | #16 Coupons due 5-79 | 137.50 |
| 512-893 | 382 | #16 Coupons due 5-79 | 150.00 |
| 394-2199; 2202-2814 | 1,919 | #16 Coupons due 5-79 | 162.50 |
| 2815-4040 | 1,226 | #16 Coupons due 5-79 | 165.00 |
| 4041-6286 | 2,246 | #16 Coupons due 5-79 | 167.50 |
| 331-511 | 181 | #16 Coupons due 5-79 | 137.50 |
| 6287-6940 | 654 | #17 Coupons due 11-79 | 137.50 |
| 512-893 | 382 | #17 Coupons due 11-79 | 150.00 |
| 394-2199; 2202-2814 | 1,919 | #17 Coupons due 11-79 | 162.50 |
| 2815-4040 | 1,226 | #17 Coupons due 11-79 | 165.00 |
| 4041-6286 | 2,246 | #17 Coupons due 11-79 | 167.50 |
| 387-511 | 125 | #17 Coupons due 11-79 | 137.50 |
| 6287-6940 | 654 | #18 Coupons due 5-80 | 137.50 |
| 512-813; 815-893 | 381 | #18 Coupons due 5-80 | 150.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Consolidated Educational-Series D -continued- | | | |
| 894-1221; 1223-1758; 1760-2006 | | | |
| 2009-2199; 2202-2814 | 1,915 | #18 Coupons due 5-30 | 162.50 |
| 2815-3769; 3771-4040 | 1,225 | #18 Coupons due 5-80 | 165.00 |
| 4041-4049; 4052-5421 and 5442-6286 | 2,224 | #18 Coupons due 5-30 | 167.50 |
| 387-511 | 125 | #18 Coupons due 5-80 | 187.50 |
| 6287-6294; 6297-6316 and 6319-6940 | 650 | #19 Coupons due 11-30 | 137.50 |
| 512-813; 815-893 | 381 | #19 Coupons due 11-80 | 150.00 |
| 894-1221; 1223-1399; 1404-1413 | | | |
| 1416-1483; 1485-1657 and 1659-1758; 1760-1820 | | | |
| 1825-2006; 2009-2017 | | | |
| 2019-2199; 2202-2227 | | | |
| 2238-2496; 2499-2814 | 1,890 | #19 Coupons due 11-80 | 162.50 |
| 2815-2889; 2892-2899 and 2902-3620; 3629-3663 | | | |
| 3665-3769; 3771-3820 | | | |
| 3822-3886; 3889-4015 | | | |
| 4018-4040 | 1,207 | #19 Coupons due 11-80 | 165.00 |
| 4041-4049; 4052-4061 and 4064-4661; 4663-5312 | | | |
| 5314-5421; 5442-6286 | 2,220 | #19 Coupons due 11-80 | 167.50 |
| 448-511 | 64 | #19 Coupons due 11-80 | 187.50 |
| Consolidated Educational Building Revenue Bonds, 1973 Series D Refunding March 1, 1973 | | | |
| 5708 | 1 | #6 Coupon due 5-76 | 147.50 |
| 5708 | 1 | #7 Coupon due 11-76 | 147.50 |
| 5708 | 1 | #8 Coupon due 5-77 | 147.50 |
| 5708 | 1 | #9 Coupon due 11-77 | 147.50 |
| 5708; 4282-4285 | 5 | #10 Coupons due 5-78 | 147.50 |
| 1474; 1660-1661; 2419 and 2659; 3068; 3736-3739 | | | |
| 4282-4290; 4313-4314 | | | |
| 4357; 4359-4375; 5708 | 30 | #11 Coupons due 11-78 | 147.50 |
| 1-4283; 4285-6200 | 6,199 | #12 Coupons due 5-79 | 147.50 |
| 1-3209; 3211-4283; 4285-6200 | 6,198 | #13 Coupons due 11-79 | 147.50 |
| 1-3694; 4700-3715; 3713-4281 | | | |
| 4285-5707; 5709-6200 | 6,189 | #14 Coupons due 5-80 | 147.50 |
| 1-921; 923-1471; 1474-1624 and 1626-1659; 1662-1715 | | | |
| 1721-2134; 2138-2656 | | | |
| 2662-3715; 3718-3727 | | | |
| 3729-3735; 3740-3744 | | | |
| 3746-3748; 3751-4281 | | | |
| 4285; 4291-4293 | | | |
| 4297-4312; 4315-4370 | | | |
| 4376-4383; 4388-5682 | | | |
| 5685-5707; 5710-5854 | | | |
| 5856-6200 | 6,144 | #15 Coupons due 5-80 | 147.50 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|---|-----------------------|------------------------------------|-------------|
| Consolidated Educational Building Revenue Bonds, Series E 1973 | | | |
| 3-5 | 3 | Bond due 5-79 | 5,000.00 |
| 6-8 | 3 | Bonds due 5-80 | 5,000.00 |
| 42 | 1 | #7 Coupon due 11-76 | 150.00 |
| 41-42 | 2 | #8 Coupon due 5-77 | 150.00 |
| 40-42 | 3 | #9 Coupons due 11-77 | 150.00 |
| 40-42 | 3 | #10 Coupons due 5-78 | 150.00 |
| 39-42 | 3 | #11 Coupons due 11-78 | 150.00 |
| 869-950 | 82 | #12 Coupons due 5-79 | 125.00 |
| 75-152 | 78 | #12 Coupons due 5-79 | 140.00 |
| 153-239 | 87 | #12 Coupons due 5-79 | 142.50 |
| 240-446 | 207 | #12 Coupons due 5-79 | 143.75 |
| 447-756; 758-868 | 421 | #12 Coupons due 5-79 | 145.00 |
| 3-74 | 71 | #12 Coupons due 5-79 | 150.00 |
| 869-950 | 82 | #13 Coupons due 11-79 | 125.00 |
| 75-152 | 78 | #13 Coupons due 11-79 | 140.00 |
| 153-239 | 87 | #13 Coupons due 11-79 | 142.50 |
| 240-446 | 207 | #13 Coupons due 11-79 | 143.75 |
| 447-868 | 422 | #13 Coupons due 11-79 | 145.00 |
| 6-74 | 69 | #13 Coupons due 11-79 | 150.00 |
| 869-950 | 82 | #14 Coupons due 5-80 | 125.00 |
| 75-152 | 78 | #14 Coupons due 5-80 | 140.00 |
| 153-239 | 87 | #14 Coupons due 5-80 | 142.50 |
| 240-446 | 207 | #14 Coupons due 5-80 | 143.75 |
| 447-868 | 422 | #14 Coupons due 5-80 | 145.00 |
| 6-74 | 69 | #14 Coupons due 5-80 | 150.00 |
| 869-950 | 82 | #15 Coupons due 11-80 | 125.00 |
| 75-152 | 78 | #15 Coupons due 11-80 | 140.00 |
| 153-239 | 87 | #15 Coupons due 11-80 | 142.50 |
| 240-446 | 207 | #15 Coupons due 11-80 | 143.75 |
| 447-868 | 422 | #15 Coupons due 11-80 | 145.00 |
| 200-223 | 24 | #15 Coupons due 11-80 | 147.50 |
| 9-38; 44-74 | 61 | #15 Coupons due 11-80 | 150.00 |

Consolidated Educational Building
Revenue Bonds, 1976
Series F May 1, 1976

| | | | |
|--|-----|----------------------|----------|
| 20-29 | 10 | Bonds due 5-79 | 5,000.00 |
| 30-40 | 11 | Bonds due 5-80 | 5,000.00 |
| 1279-1280 | 2 | #1 Coupons due 11-76 | 165.00 |
| 249-253 | 5 | #2 Coupons due 5-77 | 152.50 |
| 1279-1280 | 2 | #2 Coupons due 5-77 | 165.00 |
| 1279-2180 | 2 | #3 Coupons due 11-77 | 165.00 |
| 432-433; 449-450 | 4 | #4 Coupons due 5-78 | 162.50 |
| 929; 1092; 1279-1280 | 4 | #4 Coupons due 5-78 | 165.00 |
| 91-94; 105; 190-191 | 7 | #5 Coupons due 11-78 | 145.00 |
| 388-392 | 5 | #5 Coupons due 11-78 | 160.00 |
| 416-420; 760 | 6 | #5 Coupons due 11-78 | 162.50 |
| 950; 1075-1077; 1279-1280 and 1299; 1307-1313; 1326 | | | |
| 1418-1419; 1439 | 18 | #5 Coupons due 11-78 | 165.00 |
| 20-156; 159-199 | 178 | #6 Coupons due 5-79 | 145.00 |
| 200-223 | 24 | #6 Coupons due 5-79 | 147.50 |
| 224-248 | 25 | #6 Coupons due 5-79 | 150.00 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Consolidated Educational Building | | | |
| -continued- | | | |
| 249-276 | 28 | #6 Coupons due 5-79 | 152.50 |
| 277-305 | 29 | #6 Coupons due 5-79 | 155.00 |
| 306-335 | 30 | #6 Coupons due 5-79 | 157.50 |
| 336-404 | 69 | #6 Coupons due 5-79 | 160.00 |
| 405-522; 524-912 | 507 | #6 Coupons due 5-79 | 162.50 |
| 913-916; 919-1500 | 586 | #6 Coupons due 5-79 | 165.00 |
| 30-199 | 170 | #7 Coupons due 11-79 | 145.00 |
| 200-223 | 23 | #7 Coupons due 11-79 | 147.50 |
| 224-248 | 25 | #7 Coupons due 11-79 | 150.00 |
| 249-276 | 28 | #7 Coupons due 11-79 | 152.50 |
| 277-305 | 29 | #7 Coupons due 11-79 | 155.00 |
| 306-335 | 30 | #7 Coupons due 11-79 | 157.50 |
| 336-404 | 69 | #7 Coupons due 11-79 | 160.00 |
| 405-912 | 508 | #7 Coupons due 11-79 | 162.50 |
| 913-916; 919-1500 | 586 | #7 Coupons due 11-79 | 165.00 |
| 30-156; 159-199 | 168 | #8 Coupons due 5-80 | 145.00 |
| 200-223 | 24 | #8 Coupons due 5-80 | 147.50 |
| 224-248 | 26 | #8 Coupons due 5-80 | 150.00 |
| 249-276 | 28 | #8 Coupons due 5-80 | 152.50 |
| 277-305 | 29 | #8 Coupons due 5-80 | 155.00 |
| 306-335 | 30 | #8 Coupons due 5-80 | 157.50 |
| 336-404 | 69 | #8 Coupons due 5-80 | 160.00 |
| 405-442; 444-632; 634-667 and 669-740; 743-749; 751-912 | 502 | #8 Coupons due 5-80 | 162.50 |
| 913-916; 919-985; 987-989 and 991; 993-1277; 1281-1488 1490-1500 | 576 | #8 Coupons due 5-80 | 165.00 |
| 41-156; 159-199 | 157 | #9 Coupons due 11-80 | 145.00 |
| 224-248 | 25 | #9 Coupons due 11-80 | 150.00 |
| 249-276 | 28 | #9 Coupons due 11-80 | 152.50 |
| 277-305 | 29 | #9 Coupons due 11-80 | 155.00 |
| 306-335 | 30 | #9 Coupons due 11-80 | 157.50 |
| 336-404 | 69 | #9 Coupons due 11-80 | 160.00 |
| 406-415; 421-429; 443-455 and 457-521; 523; 525-536 539-631; 634-667; 670-739 751-758; 761-842; 845-912 | 465 | #9 Coupons due 11-80 | 162.50 |
| 913-916; 919-926; 928-983 and 993-999; 1011-1224; 1226-1277 1283-1344; 1351-1395 1398-1419; 1422-1436 1438-1483; 1490-1500 | 542 | #9 Coupons due 11-80 | 165.00 |
| Community Colleges Educational Building | | | |
| Revenue Bond, Series A May 1, 1969 | | | |
| 151-175 | 25 | Bonds due 5-79 | 5,000.00 |
| 760 | 1 | #14 Coupon due 5-76 | 156.25 |
| 760 | 1 | #15 Coupon due 11-76 | 156.25 |
| 760 | 1 | #16 Coupon due 5-77 | 156.25 |
| 408-409 | 2 | #17 Coupons due 11-77 | 137.50 |
| 758; 760 | 2 | #17 Coupons due 11-77 | 156.25 |
| 599-600; 678-682 | 7 | #18 Coupons due 5-78 | 155.00 |
| 758; 760 | 2 | #18 Coupons due 5-78 | 156.25 |
| 837 | 1 | #18 Coupon due 5-78 | 157.50 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Community Colleges-Series A | | | |
| -continued- | | | |
| 547 | 1 | #18 Coupon due 5-78 | 159.37 |
| 599-600; 625; 678-682 | 8 | #19 Coupons due 11-78 | 155.00 |
| 695-696; 746; 758-761 | 7 | #19 Coupons due 11-78 | 156.25 |
| 887 | 1 | #19 Coupon due 11-78 | 157.50 |
| 543; 547; 550-554; 1107-1108 1196; 1199-1204 | 16 | #19 Coupons due 11-78 | 159.38 |
| 1399; 1402; 1445-1447; 1491 1496-1497; 1598; 1726-1727 1860 | 12 | #19 Coupons due 11-78 | 160.00 |
| 326-329; 439; 493-494; 498 | 8 | #19 Coupons due 11-78 | 187.50 |
| 1984-2440 | 457 | #20 Coupons due 5-79 | 125.00 |
| 579-686 | 108 | #20 Coupons due 5-79 | 155.00 |
| 687-750; 752-754; 756-875 | 187 | #20 Coupons due 5-79 | 156.25 |
| 876-1106 | 231 | #20 Coupons due 5-79 | 157.50 |
| 530-542; 544-546; 548-578 and 1107-1383 | 324 | #20 Coupons due 5-79 | 159.37 |
| 1384-1983 | 600 | #20 Coupons due 5-79 | 160.00 |
| 151-431; 434-529 | 377 | #20 Coupons due 5-79 | 187.50 |
| 1984-2440 | 457 | #21 Coupons due 11-79 | 125.00 |
| 579-605; 607-686 | 107 | #21 Coupons due 11-79 | 155.00 |
| 687-694; 697-735; 737-750 and 752-754; 756-757; 761-875 | 181 | #21 Coupons due 11-79 | 156.25 |
| 876-939; 941-1106 | 230 | #21 Coupons due 11-79 | 157.50 |
| 530-533; 537-542; 546 and 548-578; 1107-1193; 1195 1197-1198; 1205-1383 | 311 | #21 Coupons due 11-79 | 159.38 |
| 1387-1398; 1401; 1403-1444 and 1446-1452; 1460-1495 1599-1983 | 483 | #21 Coupons due 11-79 | 160.00 |
| 176-345; 348-361; 363-431 and 434-492; 496-497; 499-500 502-529 | 344 | #21 Coupons due 11-79 | 187.50 |
| Community Colleges Educational Building | | | |
| Revenue Bond, Series C Feb. 1, 1974 | | | |
| 574-578 | 5 | # 9 Coupons due 5-78 | 145.00 |
| 642-661; 691-692 | 22 | # 9 Coupons due 5-78 | 147.50 |
| 753; 813; 863; 867; 959 | 5 | # 9 Coupons due 5-78 | 150.00 |
| 282-283 | 2 | #10 Coupons due | 135.00 |
| 469-470 | 2 | #10 Coupons due 11-78 | 142.50 |
| 547-548; 571; 573 | 4 | #10 Coupons due 11-78 | 145.00 |
| 616; 666-667; 691-692 and 707-708; 717-718 | 9 | #10. Coupons due 11-78 | 147.50 |
| 746-747; 753; 806; 813-820 and 859; 861-865; 868-869; 874 882; 911-916; 941-943 959-960 | 33 | #10 Coupons due 11-78 | 150.00 |
| 63-81 | 19 | Bonds due 5-79 | 5,000.00 |
| 962-1100 | 139 | #11 Coupons due 5-79 | 125.00 |
| 191-216 | 26 | #11 Coupons due 5-79 | 127.50 |
| 217-243 | 27 | #11. Coupons due 5-79 | 130.00 |
| 244-272 | 29 | #11 Coupons due 5-79 | 132.50 |
| 273-302 | 30 | #11. Coupons due 5-79 | 135.00 |
| 303-368 | 66 | #11. Coupons due 5-79 | 137.50 |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Community Colleges-Series C -continued- | | | |
| 369-404 | 36 | #11 Coupons due 5-79 | 140.00 |
| 405-482 | 78 | #11 Coupons due 5-79 | 142.50 |
| 483-524 | 42 | #11 Coupons due 5-79 | 143.75 |
| 525-615 | 91 | #11 Coupons due 5-79 | 145.00 |
| 616-714; 716-718 | 102 | #11. Coupons due 5-79 | 147.50 |
| 719-731; 733-752; 754-812 and 814-822; 824-955; 957-961 | 238 | #11 Coupons due 5-79 | 150.00 |
| 63-190 | 128 | #11 Coupons due 5-79 | 162.50 |
| 962-1100 | 139 | #12 Coupons due 11-79 | 125.00 |
| 191-216 | 26 | #12 Coupons due 11-79 | 127.50 |
| 217-243 | 27 | #12 Coupons due 11-79 | 130.00 |
| 244-272 | 29 | #12 Coupons due 11-79 | 132.50 |
| 273-281; 288-302 | 24 | #12 Coupons due 11-79 | 135.00 |
| 303-368 | 66 | #12 Coupons due 11-79 | 137.50 |
| 369-404 | 36 | #12. Coupons due 11-79 | 140.00 |
| 405-482 | 78 | #12. Coupons due 11-79 | 142.50 |
| 483-524 | 42 | #12 Coupons due 11-79 | 143.75 |
| 525-546; 548-615 | 90 | #12 Coupons due 11-79 | 145.00 |
| 616-663; 665-669; 672-718 | 100 | #12 Coupons due 11-79 | 147.50 |
| 719-731; 733-745; 748-752 and 754-794; 799-812; 819-822 824-861; 864-873; 875-881 883-961 | 224 | #12 Coupons due 11-79 | 150.00 |
| 82-190 | 109 | #12 Coupons due 11-79 | 162.50 |
| Dormitory Revenue Bonds of 1955 Cooperstown Apts. June 1, 1955 | | | |
| 1410 | 1 | #7 Coupon due 12-58 | 16.25 |
| 1355 | 1 | #39 Coupon due 12-74 | 16.25 |
| 2345; 2650 | 2 | #41 Coupons due 12-75 | 15.00 |
| 2054-2055; 2057 | 3 | #41 Coupons due 12-75 | 16.25 |
| 1582; 2006-2010; 2071-2075 | 11 | #42 Coupons due 6-76 | 16.25 |
| 2345; 2603-2607; 2337; 2650 | 8 | #42 Coupons due 6-76 | 15.00 |
| 2200-2204; 2208-2291 and 2296-2297; 2299; 2113-2143 2146-2199; 2300-2304 2311-2317; 2319-2323 2327-2335; 2337; 2338; 2340 2342-2399; 2400-2493 2504-2581; 2589-2599 2600-2627; 2629; 2632-2647 2650; 2657-2699; 2700-2732 2734-2778; 2781; 2783-2794 2796-2800 | 632 | #43 Coupons due 12-76 | 15.00 |
| 1008; 1013-1099; 1100-1108 1110-1114; 1118; 1129-1169 1175-1188; 1190-1199 1200-1299; 1300-1324 1326-1329; 1340-1354 1359-1392; 1394-1399 1400-1457; 1460-1469 1482-1499; 1500-1555 1557-1571; 1573-1599 | | | |
| -continued- | | | |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|---|-----------------------|------------------------------------|-------------|
| Dormitory Revenue Bonds of 1955 | | | |
| -continued- | | | |
| 1600-1698; 1701-1786 and 1793-1797; 1803-1842 1845-1847; 1849-1855 1859-1860; 1864-1887 1892-1899; 1900-1936 1938-1945; 1948; 1951-1962 1971-1977; 1980-1988 1991-1999; 2000-2040 2042-2044; 2047-2053 2058-2077; 2084; 2089-2091 2093-2099; 2100-2107 2109-2112 | 907 | #43 Coupons due 12-76 | 16.25 |
| 2337; 2650 | 2 | #44 Coupons due 6-77 | 15.00 |
| 2337; 2650 | 2 | #45 Coupons due 12-77 | 15.00 |
| 1798-1802 | 5 | #45 Coupons due 12-77 | 16.25 |
| 1476-1481; 1556; 1798-1802 and 1613-1622; 1859 | 23 | #46 Coupons due 6-78 | 16.25 |
| 2648; 2650; 2651-2653; 2337 and 2345 | 7 | #46 Coupons due 6-78 | 15.00 |
| 1325; 1355-1356; 1393; 1410 and 1445; 1448-1452; 1455-1456 1476-1481; 1556; 1613-1622 1701; 1798-1802; 1859 1906-1907; 1937; 2041; 2083 2085-2088; 2092 | 48 | #47 Coupons due 12-78 | 16.25 |
| 2292-2295; 2305-2310 and 2336-2337; 2339; 2345; 2502 2648; 2650; 2794-2795; 2779 | 20 | #47 Coupons due 12-78 | 15.00 |
| 1184; 1194 | 2 | Bonds due 6-79 | 1,000.00 |
| 1170-1174 | 5 | Bonds due 6-79 | 1,000.00 |
| 1188; 1194; 1330-1339 and 1355-1356; 1393-1394; 1399 1409-1415; 1418; 1421-1429 1433; 1445-1452; 1455-1456 1464-1470; 1476-1483; 1485 1537-1538; 1546-1550; 1556 1582; 1584-1585; 1598-1599 1613-1622; 1658-1660 1671-1672; 1683-1687 1699-1701; 1703-1707 1775-1776; 1798-1802; 1859 1882-1891; 1902-1911; 1937 1946-1947; 1963-1970 1973-1976; 1978-1979 1986-1990; 2037; 2041 2043-2044; 2049; 2052-2057 2066-2070; 2078-2083 2085-2088; 2092; 2108 | 179 | #48 Coupons due 6-79 | 16.25 |
| 2146-2155; 2180-2181 and 2203-2204; 2208; 2214-2258 2265; 2279-2284; 2292-2295 2305-2310; 2314-2318 2324-2326; 2339; 2341 2343-2345; 2502; 2609-2615 2628; 2630-2631; 2638 | | | |
| -continued- | | | |

| <u>Bond or Coupon</u> | <u>Number of Each</u> | <u>Coupon # & Due Date</u> | <u>Rate</u> |
|--|-----------------------|------------------------------------|-------------|
| Dormitory Revenue Bond of 1955 -continued- | | | |
| 2646-2653; 2657-2671 and 2728-2730; 2733; 2738-2748 2779; 2781-2782; 2794-2795 | 137 | #47 Coupons due 6-79 | 15.00 |
| 2113-2204; 2208-2298 and 2300-2335; 2338-2502 2504-2586; 2589-2779 2781-2800 | 678 | #49 Coupons due 12-79 | 15.00 |
| 1224-1483; 1485-1699 and 1701-1948; 1951-2049 2052-2112 | 883 | #49 Coupons due 12-79 | 16.25 |
| 2113-2204; 2208-2298 and 2300-2335; 2338-2501; 2504-2506 2512-2521; 2527-2586; 2589-2779 2781-2800 | 666 | #50 Coupons due 6-80 | 15.00 |
| 1224-1354; 1357-1409 and 1411-1483; 1485-1555; 1557-1672 1678-1699; 1701-1858; 1860-1936 1938-1948; 1951-2049 2052-2112 | 872 | #50 Coupons due 6-80 | 16.25 |
| 2113-2204; 2208-2298 and 2300-2335; 2338-2501; 2504-2506 2512-2521; 2527-2586; 2589-2602 2608-2779; 2781-2800 | 662 | #51 Coupons due 12-80 | 15.00 |
| 1301-1324; 1326-1354 and 1357-1409; 1411-1444; 1446-1467 1470-1483; 1485-1555; 1557-1672 1678-1858; 1860-1936; 1938-1948 1951-1960; 1963-1989; 1991-2048 2052-2066; 2071-2082; 2084 2089-2091; 2093-2112 | 779 | #51 Coupons due 12-80 | 16.25 |
| 1224-1300 | 77 | Bonds due 6-80 | 1,000.00 |

Office of the President
December 8, 1981

ICR 1

Members, Board of Trustees :

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended September 30, 1981, be accepted.

Background: a part of the Board policy regarding investments is a provision that a quarterly report be submitted by the Investment Committee of the Board. The attached reports reflect the purchase and sales made during the Third Quarter of 1981, for the University of Kentucky Athletic Association, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky, and the University of Kentucky Research Foundation.

Action taken: Approved xx Disapproved _____ Other _____
Date: December 8, 1981

University of Kentucky
Statement of Portfolio Changes
For the Third Quarter, 1981

| <u>Purchases</u> | <u>Purchase Date</u> | <u>Yield</u> | <u>Net Amount of Purchase</u> |
|------------------------|----------------------|--------------|-------------------------------|
| Cer. of Deposit #20784 | 7/17/81 | 16.90% | \$ 3,000,000 |
| Cer. of Deposit # 3149 | 7/17/81 | 16.00% | 3,000,000 |
| U. S. Treasury Bills | 7/20/81 | 15.74% | <u>5,996,495</u> |
| Total-Purchases | | | <u>\$11,996,495</u> |

| <u>Sales</u> | <u>Maturity Date</u> | <u>Yield</u> | <u>Par Value</u> |
|--------------------------|----------------------|--------------|---------------------|
| U. S. Treasury Bills | 7/16/81 | 9.89% | \$ 1,997,018 |
| U. S. Treasury Bills | 7/16/81 | 16.72% | 200,778 |
| Cer. of Deposit # 3852 | 7/16/81 | 10.15% | 1,400,000 |
| Cer. of Deposit #50-5390 | 9/10/81 | 11.55% | 2,000,000 |
| Cer. of Deposit # 3974 | 9/10/81 | 12.25% | 2,000,000 |
| U. S. Treasury Bills | 9/10/81 | 12.00% | <u>2,992,528</u> |
| Total-Sales | | | <u>\$10,590,324</u> |

University of Kentucky Research Foundation
Statement of Portfolio Changes
For the Third Quarter, 1981

Purchases

None

Sales

None

The Fund for the Advancement of Education and Research
 in the University of Kentucky Medical Center
 Statement of Portfolio Changes
 For the Third Quarter, 1981

| <u>Purchases</u> | <u>Purchase Date</u> | <u>Yield</u> | <u>Net Amount of Purchase</u> |
|----------------------|--------------------------|--------------|-----------------------------------|
| U. S. Treasury Bills | 7/20/81 | 15.74% | \$2,396,848 |
| U. S. Treasury Bills | 7/20/81 | 15.84% | <u>2,434,694</u> |
| Total-Purchases | | | <u>\$4,831,542</u> |

Sales

None

University of Kentucky Athletic Association
Statement of Portfolio Changes
For the Third Quarter, 1981

| <u>Purchases</u> | <u>Purchase Date</u> | <u>Yield</u> | <u>Net Amount of Purchase</u> |
|-----------------------|--------------------------|--------------|-----------------------------------|
| Cer. of Deposit #3150 | 7/17/81 | 16.0% | \$1,000,000 |
| Cer. of Deposit #3151 | 7/17/81 | 16.0% | <u>500,000</u> |
| Total-Purchases | | | <u>\$1,500,000</u> |

Sales

None

University of Kentucky
University of Kentucky Research Foundation
and
The Fund for Advancement of Education and Research
in the University of Kentucky Medical Center
Statement of Portfolio Changes for the Pooled Endowment Funds
For the Third Quarter, 1981

Purchases:

| <u>No. of Shares</u> | <u>Security</u> | <u>Date of Purchase</u> | <u>Amount of Purchase</u> |
|--------------------------|--|-----------------------------|-------------------------------|
| 7,000 | Master Note-Louisville Gas & Electric | 7/07/81 | \$ 7,000.00 |
| 1,000 | Master Note-Louisville Gas & Electric | 7/08/81 | 1,000.00 |
| 100,000 | Security Pacific Corporation, 9.750%,6/15/2006 | 7/13/81 | 105,500.00 |
| 2,000 | Prime Computer Inc. | 7/14/81 | 59,872.29 |
| 43,000 | Master Note-South Central Bell | 8/04/81 | 43,000.00 |
| 16,000 | Master Note-American Express Credit Corp. | 8/07/81 | 16,000.00 |
| 3,000 | Master Note-South Central Bell | 8/07/81 | 3,000.00 |
| 1,000 | Master Note-South Central Bell | 8/10/81 | 1,000.00 |
| 33,000 | Master Note-Louisville Gas & Electric | 8/20/81 | 33,000.00 |
| 30,000 | Master Note-American Express Credit Corp. | 9/03/81 | 30,000.00 |
| 2,000 | Master Note-South Central Bell | 9/09/81 | 2,000.00 |
| 1,000 | Master Note-Louisville Gas & Electric | 9/10/81 | 1,000.00 |
| 700 | Occidental Petroleum Corp. | 9/10/81 | <u>61,262.50</u> |
| Total-Purchases | | | <u>\$363,634.79</u> |

Sales:

| <u>No. of Shares</u> | <u>Security</u> | <u>Net Proceeds from Sales</u> | <u>Book Value</u> | <u>Gain or (Loss)</u> |
|--------------------------|-------------------------------------|------------------------------------|---------------------|---------------------------|
| 100,000 | First Security Corp.,9.5%,6/15/2006 | \$105,500.00 | \$100,000.00 | \$ 5,500.00 |
| 60,000 | Master Note-Louisville Gas & Ele. | 60,000.00 | 60,000.00 | |
| 1,300 | G. D. Searle & Company | 42,768.57 | 31,934.95 | 10,833.62 |
| 1,000 | Master Note-Louisville Gas & Ele. | 1,000.00 | 1,000.00 | |
| 146 | Bendix Corporation | 9,303.31 | 5,524.90 | 3,778.41 |
| 10,000 | Citicorp, 5.750%, 6/30/2000 | 7,100.00 | 6,525.00 | 575.00 |
| 529 | Dow Chemical Company | 16,460.52 | 17,984.67 | (1,524.15) |
| .5 | Schlumberger Ltd. | 34.00 | 10.97 | 23.03 |
| 824 | Deere & Company | 30,675.54 | 27,655.50 | 3,020.04 |
| 700 | Occidental Petroleum Corp. | <u>61,890.90</u> | <u>61,262.50</u> | <u>628.40</u> |
| Total-Sales | | <u>\$334,732.84</u> | <u>\$311,898.49</u> | <u>\$ 22,834.35</u> |

University of Kentucky
 University of Kentucky Research Foundation
 and
 The Fund for Advancement of Education And Research
 in the University of Kentucky Medical Center
 Statement of Portfolio Changes for the Pooled Endowment Funds
 For the Third Quarter, 1981

Purchases:

| <u>No. of Shares</u> | <u>Security</u> | <u>Date of Purchase</u> | <u>Amount of Purchase</u> |
|--------------------------|--------------------------------------|-----------------------------|-------------------------------|
| 81,000 | Collective Temporary Investment Fund | 7/07/81 | \$ 81,000.00 |
| 11,485 | Collective Fixed Income Fund | 7/15/81 | 69,484.25 |
| 13,904 | Collective Fixed Income Fund | 8/17/81 | 81,755.52 |
| 3,000 | Collective Temporary Investment Fund | 9/09/81 | 3,000.00 |
| 35 | Collective Fixed Income Fund | 9/10/81 | <u>196.00</u> |
| | Total-Purchases | | <u>\$235,435.77</u> |

Sales:

| <u>No. of Shares</u> | <u>Security</u> | <u>Net Proceeds from Sales</u> | <u>Book Value</u> |
|--------------------------|--------------------------------------|------------------------------------|--------------------|
| 81,000 | Collective Temporary Investment Fund | \$81,000.00 | \$81,000.00 |
| 3,000 | Collective Temporary Investment Fund | <u>3,000.00</u> | <u>3,000.00</u> |
| | Total-Sales | <u>\$84,000.00</u> | <u>\$84,000.00</u> |

University of Kentucky
Pooled Endowment Money Market Funds
For the Third Quarter, 1981

Purchases:

| <u>No. of Shares</u> | <u>Security</u> | <u>Date of Purchase</u> | <u>Amount of Purchase</u> |
|--------------------------|--------------------------------------|-----------------------------|-------------------------------|
| 54,000 | Collective Temporary Investment Fund | 8/04/81 | \$ 54,000.00 |
| 39,000 | Collective Temporary Investment Fund | 8/07/81 | 39,000.00 |
| 2,000 | Collective Temporary Investment Fund | 9/03/81 | 2,000.00 |
| 45,000 | Collective Temporary Investment Fund | 9/09/81 | <u>45,000.00</u> |
| | Total-Purchases | | <u>\$140,000.00</u> |

Sales

None