

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, December 12, 1989.

The Board of Trustees of the University of Kentucky met at 4 p.m. Lexington time on Tuesday, December 12, 1989 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened

Mr. Foster Ockerman, Chairman, called the meeting to order at 4:10 p.m., and the invocation was pronounced by Mr. Jerry Stricker.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: Mr. Foster Ockerman, (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Mr. William E. Burnett, Jr., Professor Mary Sue Coleman, Mr. Tracy Farmer, Mr. Lawrence E. Forgy, Jr., Mrs. Edythe Jones Hayes, Senator Walter D. Huddleston, Mr. Sean Lohman, Dr. Nicholas J. Pisacano, Professor Judith Rhoads, Mr. James L. Rose, Judge Robert F. Stephens, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting was Governor Albert B. Chandler. The University administration was represented by President David P. Roselle; Chancellors Robert E. Hemenway and Charles T. Wethington; Vice Presidents Edward A. Carter, Wimberly C. Royster and Eugene R. Williams; Mr. Thomas W. Samuel, Executive Vice Chancellor for Administration; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. Mrs. Hayes reported a quorum present, and Mr. Ockerman declared the meeting officially open for the conduct of business at 4:14 p.m.

C. Minutes Approved

Mr. Ockerman asked for any objections or corrections to the Minutes of the October 24, 1989 Board of Trustees meeting and the Minutes of the November 7, 1989 Executive Committee meeting which had been distributed. The Minutes of both meetings stood approved as distributed.

D. Report of Actions by the Executive Committee

Mr. Ockerman commented that he had written President Roselle and stated that due to a conflict he would not participate in any of the actions that relate to a retail mall on Coldstream Farm, particularly questions about its development. He stated that an amended action which relates to the Small Area Plan will be submitted to the Board. He stated that he does not have a conflict under the terms of the proposal as it will be submitted. However, if any discussion relating to a proposed mall arises, he will decline to participate. He called on Mr. Joseph Burch to explain ECR 1, Amended Coldstream Farm-Comprehensive Plan Change.

E. Amended Coldstream Farm-Comprehensive Plan Change (ECR 1)

Mr. Burch stated that the Executive Committee had authorized the administration to file a Small Area Change with the Planning Commission for an area of Coldstream Farm on Newtown Pike which is to comprise part of the research campus. He displayed a map of the area and stated that the proposed amendment is to file the plan according to the map. He indicated that it would include only a small area as part of the research campus. The remainder of the property would be left as "other public uses" and there would be no attempt at this time to have the comprehensive plan changed for the research campus except in designated areas.

Judge Stephens asked how the plan fits in with the proposed development plan by MPC & Associates, Inc.

Mr. Burch replied that this is a part of the total development plan as presented to the Board in the past. It seemed important that the University get started with the research campus. A plan must be filed and approved in order to put the administration in a position to respond to potential clients. Rather than trying to deal with the whole plan, a decision was made to present a smaller version of the plan in order to get started and deal with other questions as they arise.

Mr. Ockerman asked Mr. Burch to explain ECR 2 as it relates to the zone change application.

Mr. Burch explained that the administration was approached prior to the Executive Committee meeting on November 7 by a client who wanted to build a facility on the Coldstream Research Campus. The client liked the idea of the Research Campus very much; the facility this client proposes would be a 100,000 square foot building. Thus, the University is not in a position to respond to the client and wait perhaps a year for the Small Area Plan to be approved. Therefore, the client asked if the administration would make application for a zone change of a small parcel (15 to 20 acre parcel on the corner), and the Executive Committee approved the request on November 7.

Mr. Burch explained that ECR 1 and 2 work hand-in-hand. The client is interested in dealing with the University if the property is rezoned. If this action is approved, the application will go to the January meeting of the Planning Commission.

Mr. Ockerman asked if there were any questions from any member of the Board.

Mr. Forgy stated that at the meeting of the Executive Committee he had voted against the original proposal because he felt that it would stop or form a waiver by the University of Kentucky of consideration of a shopping center on certain parts of Coldstream Farm. He said that he is not in opposition to the proposal that is being submitted today. He expressed the opinion that it still leaves the University in a position where at some point it could go to the Planning and Zoning Commission for

consideration of some commercial retail at the north end of the farm, and he would not vote against that. He noted that at the Executive Committee meeting he also voted for the rezoning of some twelve acres for the construction of an industrial site. He stated that he thinks that is correct. He feels that his thinking on the whole issue is well known, however, he could not pass up the opportunity to express his views again. The University is in serious need of additional revenue. In 25 years of dealing with the finances of the University in one form or another, he has never seen the institution in as serious financial condition. In Mr. Forgy's judgement, the potential of a mall on Coldstream Farm could provide as much as \$50,000,000 to \$70,000,000 over the full life of a lease on the Coldstream Farm property. He said that he does not think the Board can take lightly that kind of potential source of revenue. He went on to say that the revenue stream which would be generated by a shopping center on the site would solve the major problem regarding the relocation of the College of Agriculture's facilities currently located on Coldstream. The relocation of the Agriculture facilities would, in his opinion, require the expenditure of \$15,000,000 to \$20,000,000. In addition, the provision of the infrastructure necessary to develop the farm will require another \$10,000,000 to \$15,000,000. He remarked that the stream of income that the shopping center would provide would allow the University to do both of those things on an orderly basis. For that reason, he thinks the Board has to consider carefully whether it has any option in view of the financial condition of the institution. Obviously, it is not going to be unanimous but there is available today an option to the institution to solve that problem. He stated that he believes the developers that the institution discussed the matter with are still extremely interested in discussing it further. He noted that he does not have a connection with any of them. He remarked that the Board has a fiduciary obligation to look extremely carefully at the situation. He said that he did not mean to be lecturing the Board with regard to its obligations. However, the Board cannot, with the University's present financial condition, simply "kiss off" \$60,000,000 over the life of a lease.

Mr. Ockerman called for any other questions or comments and asked President Roselle if this is the recommendation of the administration?

President Roselle stated that ECR 1 is a new recommendation because it has been amended since the Executive Committee's action at the November 7 meeting and is before the Board as a new recommendation. He commented that ECR 2 is as approved by the Executive Committee, and it is also the recommendation of the administration.

Mr. Wilcoxson asked, "if the Board does proceed with the development of a 100,000 square feet research development and office complex, is there any numbers as to the cost to the University of getting all the facilities and getting this thing ready for development versus what we have coming in as income?"

Mr. Ockerman indicated that it will be a subject of negotiation with the potential developer and also a matter to discuss with the Lexington-Fayette Urban County Government. The present action will not tie the Board to any proposal. He stated that it would come back to the Board before any lease or other agreement is made with any developer.

Mr. Burnett moved that ECR 1 be approved. Mr. Wilcoxson seconded the motion, and it carried. (See ECR 1 at the end of the Minutes.)

F. Coldstream Farm-Zone Change Application (ECR 2)

Mr. Ockerman stated that ECR 2 was recommended by the Executive Committee and also recommended by the administration. He asked for a motion to approve ECR 2. Dr. Pisacano made such a motion. The motion was seconded by Judge Stephens. Mr. Ockerman asked again for any discussion.

Judge Tackett asked if the Board makes application for the zone change, will this limit the University in developing or seeking to develop a mall at some time in the future?

Mr. Ockerman stated that it would not.

Judge Tackett stated that time is generally money and she wondered when the Board would try to do something about developing the mall part of the plan. She said that Mr. Forgy's comments have validity in terms of the Board trying to adopt this plan in an orderly fashion with some revenue to carry it out.

Mr. Ockerman indicated that it would be a matter that he supposed could come up at any time that the Board wanted to address it.

Judge Tackett said, "but there is not a plan."

Mr. Ockerman said that there is not a proposal before the Board currently.

Mr. Wilcoxson asked for clarification of the fact that the Board is only addressing a zone change, and the benefit to the University will be decided when the numbers are put together.

Mr. Ockerman said: "That is correct."

There being no further questions, a vote was taken and ECR 2 was carried unanimously. (See ECR 2 at the end of the Minutes.)

G. Revised Administrative Committee List

President Roselle reported that there had been two changes on the Board's committee list. He stated that Mr. Ockerman had appointed Mr. Burnett to the Finance Committee, and Judge Wilhoit had removed himself from the Investment Committee due to considerations applicable to members of the federal bench.

H. President's Report to the Trustees (PR 1)

President Roselle called particular attention to the following items:

- Sponsored funding for research is up by 10 percent in the first several months of the current fiscal year. This is one more

- demonstration of the faculty's expertise and interest in their disciplines. Funding is mainly from industry, government and foundations.
- The Law School moot court team has advanced to the national competition.
- Governor Wilkinson attended two groundbreaking ceremonies recently at Hopkinsville and Paducah community colleges. A \$5,350,000 Allied Health Building will be erected at Paducah, and a \$3,400,000 multi-purpose facility featuring a student center, an auditorium, a corridor for art and other exhibits, game rooms, a conference room and four classrooms will be constructed at Hopkinsville.
- The Forestry Program has been reaccredited until 1994.
- The Entrepreneurial Institute at Jefferson Community College has been named a National Model Program by the National Entrepreneurial Education Consortium.

He called attention to other activities in the report, particularly the faculty who had helped the University recruit good students at a recent phonathon. He made mention of an item that came out after PR 1 had been prepared and called on Dr. Jack Blanton to give a report.

Dr. Blanton reported that the administration is delighted with a recognition that the University recently received on the Combs Research facility which has been operative for the last year. The Association of Schools and Colleges is an association which solicits applications for elementary and secondary schools, colleges and universities. The applications are for new buildings that have been constructed across the country. He reviewed the competition process and stated that the University has received recognition for the Combs Cancer Research Building in the present issue of the magazine. He noted that this is the first time the University has received this kind of recognition in recent years. He added that Owensboro Community College had been judged one of the best state architectural projects of the past year and stated that both projects were by Omni Architects.

I. Personnel Actions (PR 2)

President Roselle stated that PR 2 is a recommendation that the Board approve the appointments, actions and/or other staff changes and that the report relative to appointments and/or changes already approved by the administration be accepted. Mr. Stricker moved approval. His motion was seconded by Mr. Burnett and passed. (See PR 2 at the end of the Minutes.)

J. Report on Results of Alumni Member Election (PR 3A)

President Roselle recommended that the report of the Secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that the secretary

and the work of the McDowell Foundation. Two of the greatest benefactors to the facility are Ms. M. Margrite Davis and Mr. Ralph E. Mills. These two individuals have independently given more than \$2,000,000 to the MRISC and to related projects at the University. For this reason, he recommended that the Board of Trustees approve naming the facility in their honor. Ms. Davis and Mr. Mills were asked to stand and be recognized, following which they were given a round of applause.

The motion, which was made by Judge Stephens and seconded by Mr. Burnett, was voted on and passed unanimously. (See PR 6A at the end of the Minutes.)

N. Acceptance of Interim Financial Report for the University of Kentucky for the Four Months Ended October 31, 1989 (FCR 1)

Mr. Forgy moved that the Board accept the University of Kentucky consolidated financial report for the four months ended October 31, 1989. The motion was seconded by Mrs. Hayes and passed without dissent. (See FCR 1 at the end of the Minutes.)

O. Disposal of Personal Property (FCR 2)

On motion made by Mr. Forgy, seconded by Dr. Pisacano and carried, approval was given for the disposal of surplus and obsolete equipment as recommended in FCR 2. (See FCR 2 at the end of the Minutes.)

P. Report of Leases (FCR 3)

Mr. Forgy moved that the routine report of leases in FCR 3 be adopted. The motion was seconded by Mr. Sturgill and passed. (See FCR 3 at the end of the Minutes.)

Q. Capital Construction Report (FCR 4)

Mr. Forgy stated that FCR 4 is the quarterly Capital Construction Report of the institution that is required under House Bill 622 and moved its adoption. The motion was seconded by Judge Stephens and carried. (See FCR 4 at the end of the Minutes.)

R. 1989-90 Budget Revisions (FCR 5)

Mr. Forgy reported that FCR 5 is the 1989-90 budget revisions presented to the Board and the Finance Committee by Central Administration and moved its adoption. His motion was seconded by Mr. Stricker and passed unanimously. (See FCR 5 at the end of the Minutes.)

S. Spears-Gilbert Professorship of Law (FCR 6)

Mr. Forgy reported that FCR 6 creates the Spears-Gilbert Professorship of Law at a sum of no less than \$150,000 which shall be funded from multiple gifts to the College specifically for this professorship or from gifts designated for general use by the College

which this resolution authorizes the dean to quasi-endow as funds are received. The motion, seconded by Judge Wilhoit, passed unanimously. (See FCR 6 at the end of the Minutes.)

T. Brown, Todd & Heyburn Professorship of Law (FCR 7)

Mr. Forgy moved that the Board establish in the College of Law the Brown, Todd & Heyburn Professorship of Law at a sum of no less than \$150,000 which shall be funded from multiple gifts to the College specifically for this professorship or from gifts designated for general use by the College. Mr. Forgy noted that Brown, Todd & Heyburn is a fine firm located in Louisville and Lexington. The motion was seconded by Judge Wilhoit and carried unanimously. (See FCR 7 at the end of the Minutes.)

U. Patent Assignment (FCR 8)

Mr. Forgy moved, and Mr. Wilcoxson seconded, that the routine patent assignment in FCR 8 be approved. The motion carried without dissent. (See FCR 8 at the end of the Minutes.)

V. Resolution Adopting Purchasing Regulations (FCR 9)

Mr. Forgy entertained a motion to adopt the Regulations implementing the Model Procurement Code pursuant to KRS 164A.555 - 630, and the motion carried. (See FCR 9 at the end of the Minutes.)

W. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 4:42 p.m.

Respectfully submitted,

Edythe Jones Hayes
Secretary
Board of Trustees

(ECR's 1 and 2, PR's 2, 3A, 4A, 5A, 5B, and 6A, FCR's 1, 2, 3, 4, 5, 6, 7, 8, and 9 which follow are official parts of the Minutes of the meeting.)

Board of Trustees
Executive Committee
December 12, 1989

ECR1

Members, Board of Trustees:

AMENDED COLDSTREAM FARM-COMPREHENSIVE PLAN CHANGE

Recommendation: that the November 7, 1989 Executive Committee's action on PR-1 be amended and approved as follows:

That the University's Administration be authorized to file an application with the Lexington-Fayette Urban County Planning Commission for a Small Area Change in the Comprehensive Plan for the land use of a portion of Coldstream Farm.

Only that portion of Coldstream Farm south of Carnahan House will be included in the Small Area Change process at this time. The remaining land North of Carnahan House will remain in the Comprehensive Plan with its present designation as Other Public Use.

Background: On November 7, 1989, the Executive Committee of the Board of Trustees authorized the filing of an application with the Lexington-Fayette Urban County Planning Commission for a Small Area Change in the Comprehensive Plan for a portion of Coldstream Farm. This amendment revises the amount of land to be designated for the research campus at this time.

The plan has been revised because (a) the relocation plan for the College of Agriculture's animal research program has not been completed and submitted to the Board of Trustees; therefore, the balance of Coldstream Farm should be reserved to support Agriculture research until a relocation plan is approved and relocation can begin; (b) it is impossible at this time to designate the appropriate future uses of the remainder of Coldstream until more is known about the changes which may be made in the overall transportation system serving this area; and (c) it is the objective to designate a portion of Coldstream for the research campus at this time in order to respond to opportunities as they come available and begin the long term process of developing the Coldstream Research Campus along with the relocation and enhancement of the Agriculture Animal Research program.

Action taken: Approved Disapproved Other

Date: December 12, 1989

/0285C

Board of Trustees
Executive Committee
December 12, 1989

ECR2

Members, Board of Trustees:

COLDSTREAM FARM-ZONE CHANGE APPLICATION

Recommendation: that the University's Administration be authorized to file an application with the Lexington-Fayette Urban County Planning Commission for a zone change applicable to approximately fifteen acres of Coldstream Farm.

Background: This action is proposed at this time at the request of a major US corporation that plans to locate in Kentucky a facility of approximately one hundred thousand square feet to house research and development, offices and some light manufacturing of high technology products. Because of this corporation's development schedule, the University has been asked to proceed with the zone change request at the earliest possible date.

The facility proposed by this action conforms to the concept envisioned by the Coldstream Research Campus plan and can be incorporated into the zoning which is expected to eventually be approved.

At the point when the Small Area Change process is finalized, the zone designation for this parcel will if possible be changed to conform to that of the entire Research Campus development.

Action taken: Approved Disapproved Other

Date: December 12, 1989

/0277C

Office of the President
December 12, 1989

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

Action taken: Approved Disapproved Other

Date: December 12, 1989

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CENTRAL ADMINISTRATION

I. ADMINISTRATIVE ACTION

A. CHANGE

Office of Legal Counsel

VanBooven, Paul C., from Associate Dean for Academic Affairs, College of Law, to Associate General Counsel, Office of Legal Counsel, effective July 1, 1989

COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. RETIREMENTS

Madisonville Community College

Welch, Dorothy I., Office Assistant IV in the Community College System, 12/29/89, following 20.5 consecutive years of service.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ashland Community College

Coleman, Meribeth, Instructor in the Community College System, 10/9/89 through 6/30/90.

Willis, Nanette F., Instructor in the Community College System, 11/1/89 through 6/30/90.

Somerset Community College

Cecil, Brenda, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/91.

Fryman, Karen, Instructor in the Community College System (voluntary), 8/23/89 through 5/31/90.

Groves, Debbie, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/90.

Payne, Jenny S., Instructor in the Community College System (voluntary), 8/1/89 through 8/1/91.

Singleton, Debbie, Instructor in the Community College System (voluntary), 8/23/89 through 5/31/90.

Terrell, Elizabeth, Instructor in the Community College System (voluntary), 8/23/89 through 12/11/89.

B. REAPPOINTMENTS

Somerset Community College

Burdine, Jana, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/90.

Eaton, Kenny, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/90.

Honeycutt, Jolyn, Instructor in the Community College System (voluntary), 9/1/89 through 9/1/91.

Mitchell, Sheila, Instructor in the Community College System (voluntary), 9/1/89 through 9/1/91.

Wilson, Paul, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/90.

Winchester, Lula, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/90.

Wright, Marianne, Instructor in the Community College System (voluntary), 8/1/89 through 5/31/91.

C. LEAVES OF ABSENCE

Hazard Community College

Hurt, Valina Kay, Associate Professor in the Community College System (with tenure), sabbatical leave at full salary for the 1990 spring semester.

Southeast Community College

Noe, Roger, Professor in the Community College System (with tenure), leave of absence without pay, 1/2/90 through 4/12/90.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Arts and Sciences

Gleason, Scott K., Assistant Professor, Biological Sciences, 1/1/90 through 12/31/91.

Rosenbaum, Milton, Adjunct Professor, Psychology, 8/16/89 through 6/30/91.

College of Engineering

McDonough, James M., Associate Professor (without tenure), Mechanical Engineering, 1/1/90 through 6/30/91.

Sitarski, Marek A., Visiting Professor, Chemical Engineering, 9/15/89 through 12/31/89.

College of Home Economics

Carll-White, M. Allison, Associate Professor (with tenure), Human Environment: Design and Textiles, effective 1/1/90.

B. RETIREMENTS

College of Arts and Sciences

Henrickson, Carl Ernest, Associate Professor (with tenure), Biological Sciences, after 39.4 consecutive years of service, under Section III.C of AR II-1.6-1, effective 12/31/89.

College of Education

Franz, Joseph A., Equipment Room Attendant, Health, Physical Education and Recreation, after 18.3 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/89.

College of Social Work

Holmquist, Chester A., Associate Professor (with tenure)*, after 19.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/90.

Vice Chancellor for Administration

Demus, Willie K., Custodial Supervisor I, Apartment Housing, Auxiliary Services, after 17.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 12/31/89.

*Special Title Series

C. EARLY RETIREMENTS

College of Engineering

DeAngelis, Robert J., Professor (with tenure), Material Sciences and Engineering, after 25.5 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 12/31/89.

Vice Chancellor for Administration

Barber, Lorene W., Custodial Worker IV, Custodial Services, Physical Plant Division, after 24 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 12/29/89.

Kirtley, Omer L., Maintenance Superintendent III, Custodial Services, Physical Plant Division, after 43.5 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective 12/31/89.

Mulligan, Louis, Jr., Custodial Worker IV, Custodial Services, Physical Plant Division, after 19.5 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 12/29/89.

Thompson, Eugene, Custodial Supervisor II, Custodial Services, Physical Plant Division, after 44 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective 12/29/89.

Viney, Beatrice, Custodial Worker I, Custodial Services, Physical Plant Division, after 15.5 consecutive years of service, under Section III.A.1(b) of AR II-1.6-1, effective 1/19/90.

Vice Chancellor for Student Affairs

Keightley, Hazel R., Staff Assistant, Student Financial Aid, after 25.5 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective 1/16/90.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Barney, Robert J., Adjunct Assistant Professor, Entomology, 11/1/89 through 6/30/90.

College of Arts and Sciences

Carey, Sandra M., Instructor (part-time), English, 8/16/89 through 12/31/89.

Lehman, Rhea H., Instructor (part-time), College of Arts and Sciences, 1/1/90 through 5/15/90.

Nuckolls, Charles, Instructor (part-time), Anthropology, 8/16/89 through 12/31/89.

Pichard, Leisa D., Instructor (part-time), English, 8/16/89 through 12/31/89.

Rhoades, Russell, Instructor (part-time), Anthropology, 8/16/89 through 12/31/89.

*Special Title Series

Stiles, Martha Benett, Instructor (part-time), English, 8/16/89 through 12/31/89.
Tomkinson, Michael John, Visiting Associate Professor, Mathematics, 1/1/90 through 5/15/90.
Vance, Mary Lee, Instructor (part-time), English, 9/18/89 through 12/31/89.

College of Education

Fleming, Jo Ellen, Assistant Professor (temporary), Special Education, 8/16/89 through 5/15/90.
Haas, Joan F., Instructor (part-time), Curriculum and Instruction, 9/16/89 through 12/31/89.
Mandel, Elise J., Instructor (part-time), Curriculum and Instruction, 9/15/89 through 12/31/89.

College of Fine Arts

Beavers, Clyde, Instructor (part-time), Music, 8/16/89 through 12/31/89.
Henderson, David, Instructor (temporary)*, Music, 8/7/89 through 5/15/90.
Osland, Miles Stewart, Assistant Professor*, Music, 8/16/89 through 6/30/90.
Pierce, Diana Davidson, Instructor (part-time)*, Music, 8/16/89 through 5/15/90.

College of Home Economics

Herman, Carole, Voluntary Instructor (part-time), Nutrition and Food Science, 8/16/89 through 5/15/90.
Hunter, Pauline L., Voluntary Instructor (part-time), Nutrition and Food Science, 8/16/89 through 12/31/89.
Stern, Toby M., Voluntary Instructor (part-time), Nutrition and Food Science, 8/16/89 through 5/15/90.
Vivian, Beverly S., Voluntary Instructor (part-time), Nutrition and Food Science, 8/16/89 through 5/15/90.

B. ADMINISTRATIVE APPOINTMENTS

Office of the Chancellor

Chapman, James P., Adjunct Associate Professor, Classics, and Assistant Vice Chancellor for Resource Management, appointed Assistant Chancellor, effective 1/1/90.

Office of the Dean of Undergraduate Studies

Swift, Louis J., Professor (with tenure), Classics, and Director, University Studies, appointed Acting Dean of Undergraduate Studies, effective 1/1/90 through 6/30/90.

*Special Title Series

C. REAPPOINTMENTS

College of Arts and Sciences

Ball, Andrew, Instructor (part-time), English, 8/16/89 through 12/31/89.
Buzdygon, Bruce E., Instructor (part-time), Biological Sciences, 8/16/89 through 12/31/89.
Campbell, F. Diane, Instructor (part-time), English, 1/1/90 through 5/15/90.
Carter, Michael, Instructor (part-time), English, 8/16/89 through 12/31/89.
Conway, Glenda, Instructor (part-time), English, 8/16/89 through 12/31/89.
Duncan, Mary R., Instructor (part-time), English, 8/16/89 through 12/31/89.
Hay, Jane, Instructor (part-time), Biological Sciences, 8/16/89 through 5/15/90.
Hill, Paula, Instructor (part-time), English, 8/16/89 through 12/31/89.
Isenhour, Janet L., Instructor (part-time), English, 8/16/89 through 12/31/89.
Marmon, Ellen L., Instructor (part-time), English, 8/16/89 through 12/31/89.
Masterman, Susan W., Instructor (part-time), English, 8/16/89 through 12/31/89.
McMillan, Della, Assistant Professor, Anthropology, 7/1/89 through 6/30/91.
Miller, Mary Margaret, Instructor (part-time), English, 8/16/89 through 12/31/89.
Nickell, Joe, Instructor (part-time), English, 8/16/89 through 12/31/89.
Oaks, Janice H., Instructor (part-time), English, 8/16/89 through 12/31/89.
Osborn, Karen L., Instructor (part-time), English, 8/16/89 through 12/31/89.
Shelby, Anne, Instructor (part-time), English, 8/16/89 through 12/31/89.
White, Clyde Patrick, Instructor (part-time), English, 8/16/89 through 12/31/89.
Willard, Norman, Instructor (part-time), Psychology, 8/16/89 through 12/31/89.
Zahniser, Ann H., Instructor (part-time), English, 8/16/89 through 12/31/89.

College of Education

Coons, Karyn M., Instructor (part-time), Curriculum and Instruction, 8/16/89 through 12/31/89.

College of Engineering

Cheeks, Richard, Assistant Professor (part-time), Civil Engineering, 1/1/90 through 5/15/90.

Hellard, Shannon, Instructor (part-time), Civil Engineering,
1/1/90 through 5/15/90.
House, Barry, Assistant Professor (part-time), Civil Engineering,
1/1/90 through 5/15/90.
White, Garey, Associate Professor (part-time), Civil Engineering,
1/1/90 through 5/15/90.
Woolums, Joseph P., Instructor (part-time), Civil Engineering,
1/1/90 through 5/15/90.

D. LEAVES OF ABSENCE

College of Arts and Sciences

Dillehay, Tom D., Associate Professor (with tenure), Anthropology,
Scholarly Fellowship Leave with partial pay, Spring Semester
1990.
Nelson, Daniel N., Professor (with tenure), Political Science,
Scholarly Fellowship Leave with partial pay, Spring Semester
1990 and Fall Semester 1990.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Ridings, Herbert D., Assistant Professor*, Health Services,
and Program Director, Physician Assistant Program,
11/20/89 through 6/30/90.

College of Medicine

Ackerman, William E., Associate Professor (without tenure),
Anesthesiology, 9/1/89 through 6/30/90.
Rose, Stephen W., Associate Professor (voluntary),
Ophthalmology, 10/1/89 through 6/30/91.
Schwarcz, Thomas H., Assistant Professor, Surgery, 10/23/89
through 6/30/90.
Smith, Barbara H., Assistant Professor (part-time),
Psychiatry, 10/23/89 through 6/30/90.
Walker, Janet L., Assistant Professor, Surgery, 10/16/89
through 6/30/90.

College of Pharmacy

Riggs, Robert M., Assistant Professor, 11/15/89 through
6/30/90.

B. PROMOTIONS

College of Medicine

Hacker, William D., Assistant Professor (voluntary),
Pediatrics, to Associate Professor (voluntary),
Pediatrics, 12/1/89 through 6/30/91.

C. RETIREMENTS

College of Medicine

Downey, Margie F., Staff Assistant VIII, Family Practice,
after 13.5 consecutive years of service, under AR
II-1.6-1 Section III.B, effective 9/29/89.
Marx, Martin B., Professor (with tenure), Family Practice,
after 21.5 consecutive years of service, under AR
II-1.6-1 Section III.B, effective 12/31/89.

*Special Title Series

University Hospital

Parker, Helen Marie, Senior Dark Room Technician, Diagnostic Radiology, after 22.5 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 11/10/89.

Ross, Lois M., Licensed Practical Nurse, Nursing, after 25.5 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 10/20/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Barry-Greb, Theresa, Clinical Instructor (voluntary), Clinical Sciences, 9/1/89 through 6/30/91.
Brown, Gretchen, Instructor (voluntary), Health Services, 7/1/89 through 6/30/91.
Dirmeyer, Debbie F., Clinical Instructor (voluntary), Clinical Sciences, 9/1/89 through 6/30/91.
English, Mary Lynn, Clinical Instructor (voluntary), Clinical Sciences, 6/1/89 through 6/30/91.
Erdmann, Bruce W., Clinical Instructor (voluntary), Clinical Sciences, 5/11/89 through 6/30/91.
Foster, Sandra, Clinical Instructor (voluntary), Clinical Sciences, 7/1/89 through 6/30/91.
Funk, Michael T., Instructor (voluntary), Health Services, 7/1/89 through 6/30/91.
Giffin, Gina, Clinical Instructor (voluntary), Clinical Sciences, 6/1/89 through 6/30/91.
McLoughlin, Janet D., Clinical Instructor (voluntary), Clinical Sciences, 2/17/89 through 6/30/91.
Oliver, Allen, Instructor (voluntary), Health Services, 7/1/89 through 6/30/91.
Russell, Vickie O., Clinical Instructor (voluntary), Clinical Sciences, 6/1/89 through 6/30/91.

College of Dentistry

Virag, John, Jr., Assistant Professor (part-time), Oral Health Practice, 10/1/89 through 6/30/90.

College of Medicine

Abram, Mark A., Assistant Professor (voluntary), Family Practice, 10/1/89 through 6/30/91.
Cherng, Wen S., Instructor (part-time), Pediatrics, 10/1/89 through 6/30/90.
Chua, Manuel O., Jr., Assistant Professor (voluntary), Psychiatry, 9/1/89 through 6/30/91.

Cunha, Christine D., Assistant Professor (voluntary),
Psychiatry, 9/1/89 through 6/30/91.
Ireland, Mary L., Assistant Professor (voluntary), Family
Practice, 10/1/89 through 6/30/91.
Khanna, Ashok, Assistant Professor (voluntary), Pediatrics,
8/1/89 through 6/30/91.
Koff, Ronald M., Assistant Professor (voluntary), Family
Practice, 10/1/89 through 6/30/91.
Lipovan, Mircea B., Assistant Professor (voluntary),
Diagnostic Radiology, 10/1/89 through 6/30/91.
Perez, Fortunato, Instructor (part-time), Pediatrics,
10/1/89 through 6/30/90.
Rayevsky, Igor G., Instructor (voluntary), Radiation
Medicine, 10/1/89 through 6/30/91.
Ross, Shawn K., Assistant Professor (voluntary), Family
Practice, 11/1/89 through 6/30/91.
Russell, Larry J., Assistant Professor (voluntary), Family
Practice, 9/1/89 through 6/30/91.
Smith, McCrae S., Instructor (part-time), Pediatrics,
10/1/89 through 6/30/90.
Swikert, Donald J., Assistant Professor (voluntary), Family
Practice, 11/1/89 through 6/30/91.
Taylor, Shawn M., Assistant Professor (voluntary),
Pediatrics, 10/1/89 through 6/30/91.
VanBussum, Ritchie, Assistant Professor (voluntary), Family
Practice, 10/3/89 through 6/30/91.

College of Pharmacy

Allen, James Tandy, Instructor (voluntary), 11/1/89 through
6/30/91.
Emberton, Jerrilyn B., Instructor (voluntary), 11/1/89
through 6/30/91.
Hardesty, Julie B., Instructor (voluntary), 11/1/89 through
6/30/91.
Johnson, E. Ann, Instructor (voluntary), 7/1/89 through
6/30/91.
Lewis, Phyllis Ann, Instructor (voluntary), 11/1/89 through
6/30/91.
Loy, Janet S., Instructor (voluntary), 11/1/89 through
6/30/91.
Lucas, Sheri R., Instructor (voluntary), 11/1/89 through
6/30/91.
Matzke, Morris, Instructor (voluntary), 11/1/89 through
6/30/91.
O'Dell, Karl A. Tucker, Instructor (voluntary), 11/1/89
through 6/30/91.
Prince, Keith T., Instructor (voluntary), 7/1/89 through
6/30/91.

Shelman, Shelby Morris, Instructor (voluntary), 11/1/89 through 6/30/91.
Shoulders, JD Lee, Instructor (voluntary), 7/1/89 through 6/30/91.
Sparks, Lee Thomas, Instructor (voluntary), 7/1/89 through 6/30/91.
Svoboda, John Edwin, Instructor (voluntary), 11/1/89 through 6/30/91.
Watts, Earnest Joseph, Instructor (voluntary), 11/1/89 through 6/30/91.
Webster, Timothy M., Instructor (voluntary), 11/1/89 through 6/30/91.

B. JOINT APPOINTMENTS

College of Medicine

Falace, Peggy B., Assistant Professor (part-time), Pediatrics, joint appointment as Assistant Professor (part-time), Pathology, 10/1/89 through 6/30/90.

C. REAPPOINTMENTS

College of Nursing

Bailey, Ellen, Assistant Professor (part-time), 7/1/89 through 6/30/90.

D. LEAVES OF ABSENCE

College of Medicine

Harder, Edward J., Clinical Associate Professor, Medicine, leave without pay, 1/1/90 through 1/31/90.

INFORMATION SYSTEMS

I. BOARD ACTION

A. EARLY RETIREMENTS

University Libraries

Blackburn, Lillie M., Library Technician, Libraries,
after 22.5 total years of service, under AR II-1.6-1
Section III.A.1(a), effective 12/29/89.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

University Libraries

Molinaro, Mary H., Librarian IV, Library-Reference,
effective 10/10/89 through 6/30/90.

B. ADMINISTRATIVE APPOINTMENTS

Information Systems

Porter, Gary J., Network Manager, Communications and
Distributed Systems, effective 10/01/89.

RESEARCH AND GRADUATE STUDIES

I. BOARD ACTION

A. EARLY RETIREMENT

Graduate Center for Biomedical Engineering

Lafferty, James F., Professor (with tenure) and Director, Graduate Center for Biomedical Engineering, after 32.5 consecutive years of service, under Section III.A.2(a) of AR II-1.6.1, effective 12/31/89.

Kentucky Geological Survey

Potts, Roger B., Chief Cartographic Illustrator, Kentucky Geological Survey, after 39 consecutive years of service, under Section III.A.2(b) of AR II-1.6-1, effective December 31, 1989.

B. POST-RETIREMENT APPOINTMENT

Graduate School

Johnson, Bernard, Instructor (part-time), Sanders-Brown Center on Aging, 7/1/89 through 6/30/90.

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENT

Graduate School

Knapp, Charles, Professor (with tenure), Graduate Center for Biomedical Engineering, appointed Acting Director, Graduate Center for Biomedical Engineering, 1/1/90 through 6/30/90.

Office of the President
December 12, 1989

PR 3A

Members, Board of Trustees:

REPORT ON RESULTS OF ALUMNI MEMBER ELECTION

Recommendation: that the report of the Secretary of the Board of Trustees on the results of the election authorized by the Alumni Association be received and put to record, and that she be authorized to certify to the Governor the names of the three persons receiving the largest number of votes, from which list a successor to Judge Henry R. Wilhoit, Jr., whose term expires December 31, 1989, will be appointed.

Background: The term of Judge Henry R. Wilhoit, Jr. as alumnus member of the Board of Trustees expires December 31, 1989. An election has been held among the graduates of the University of Kentucky as prescribed by statute and in accordance with the rules and regulations of the Board of Trustees. The attached letter from the Secretary of the Board of Trustees to the President of the University reports the results of the election.

Action taken: Approved Disapproved _____ Other _____

Date: December 12, 1989

1572

December 12, 1989

President David P. Roselle
University of Kentucky
Lexington, Kentucky 40506

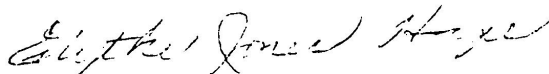
Dear President Roselle:

The term of Mr. Henry R. Wilhoit, Jr. as alumnus member of the Board of Trustees expires December 31, 1989. An election has been held among graduates of the University, as prescribed by statute and by the rules and regulations of the Board of Trustees, to nominate to the Governor three graduates from whom a successor will be appointed. The actual tabulation of the votes was conducted by Mr. Jay Brumfield, Director of Alumni Affairs. The following is the result of the election:

| | |
|-----------------------------|-------|
| Kay Shropshire Bell | 3,974 |
| Marianne Smith Edge | 2,746 |
| John C. Nichols II | 2,990 |
| Thomas Eugene Spragens, Jr. | 3,169 |
| John W. (Jay) Spurrier III | 2,790 |
| Henry R. Wilhoit, Jr. | 4,301 |

Will you please submit this information to the Board of Trustees that same may be approved and the names of the three receiving the highest number of votes be certified to the Governor of the Commonwealth of Kentucky, from which list he may appoint one to serve a term of four years beginning January 1, 1990.

Respectfully submitted,



Edythe Jones Hayes
Secretary
Board of Trustees

/1574

Office of the President
December 12, 1989

PR 4A

Members, Board of Trustees:

CANDIDATES FOR DEGREES--COMMUNITY COLLEGE SYSTEM

Recommendation: that the degrees specified on the attached list be awarded to the persons named, upon certification that the requirements for those degrees have been satisfactorily completed.

Background: At the November 12, 1965 meeting of the Board of Trustees authorization was given to the awarding of the Degrees of Associate in Arts, Associate in Science and Associate in Applied Science. The candidates on the attached list are recommended to the President and the Board by the Community College Faculty and the Chancellor.

Action taken: Approved Disapproved Other

Date: December 12, _____, 1989.

ASHLAND COMMUNITY COLLEGE

Associate in Arts

BAILEY JOHN M
BOWLING MARSHA L
CREECH JACQUELYN L
DONTA JOHN D
DUNCIL PAMELA J
EVANS DEBORA J
HENSLEY ANGELA
HOLBROOK JUDY A
ISAACS RANDI L
LITTERAL GREGORY A
LYONS KIMBERLY D
MANIS ELIZABETH A
PIGMON KESHLA N
ROARK MICHAEL A
SKAGGS SHERRY L
SMITH MARK S
THOMPSON KRISTINA
VALLANCE BETTY G
WILLIAMS LISA J
WOLFE SHARON L

Associate in Science

ADAMS RUSSELL E
BARBOUR BILLY M
CARTER WAYNE K
CROUCH CHARLES J
EVANS JOSEPH R
FOSTER MARSHA L
GILLIUM KIMBERLY A
HELTON SUSAN V
PINTOR JERI B
STARR BETTY A
VATER TAMMY L
WHITE DARYL A

Associate in Applied Science

BURTON LANA S
CALVERT PAMELA K
CONLEY SHEILA F
DAMRON LINDA L
HOUCK DEBORAH F
LANHAM SCOTT E
LEWIS JULIE A
LYNCH DAVID A
MARTIN EILEEN R
MCINTYRE SANDRA K
MORGAN STEPHANIE S
OXSHER SHEILA A
PERKINS CHARLES L
POTTER SHERRY A
RATCLIFFE KELLY W
ROSS-LUCAS STEPHANIE B
RUTHERFORD PATRICIA A
SAMMONS WANDA J
STEVENS CAROLYN E
VANNATTER MONA R
VEACH CHERYL A

ELIZABETHTOWN COMMUNITY COLLEGE

Associate in Arts

BEAUCHAMP CHERYLE L
BROOKS KARLOTTA K
CHEATHAM TERRY G
CLAUSEN IRENE U
COX WILMA
COY DENNIS R
DAVIS JENNIE M
EVANS JAMES B
GAMBLE MARY E
HADDLE ERIKA A
HICKS ELAINE

HOAGLAND REBECCA C
MATTINGLY DAVID C
OBRYAN DIANA J
POTEET DIANNA J
RAWLINGS KARIN E
SCHREIER FLORENCE
SMITH R JOANN
SNEED GLORIA
WHITWORTH DARLENE C

Associate in Science

BRAUN MUTSUKO M
BUBE GLANELENE
CISSELL DONALD P
CURTIS KATHERINE A
JARBOE BETTY C
KILCRAN STEPHEN J
RAY ROBERT G
RIGDON JENNEIFER S
RIOS DAVID A
TETREAULT LAURA A
VANCE MARK J

Associate in Applied Science

ADAMS THERESA S
BASHAM RACHEL E
BILLINGS BARRY B
BONNETT MARGIE L
DORNAN NANCY L
FRENCH KRISTI L
FULKERSON JANICE M
LAMBERT MARY R
LOCKE CECILIA B
MCCLURE FELICIA L
MCDANIEL LANA F
MIRANDA MANUEL V
NEWTON SARA R
ROSENKRANTZ DONALD

HAZARD COMMUNITY COLLEGE

Associate in Arts

AMBURGEY DEBORAH S
BAKER SANDRA FAYE ASHER
CHILDERS ELIZABETH ANNE
COMBS MICHAEL RAYMOND
CRESS VIVIAN
DIXON CLAUDETTE
DIXON TABATHA
FARMER KIM
HALL KRISTI
JOHNSON PAMELA
MADDEN CAROL
MORTON KATHY
RATLIFF STEVEN
SAMMONS MONA
SAMMONS ROBERT
STEWART SHANDA

Associate in Science

POTTER LINDA KAY

Associate in Applied Science

BROTHERTON LINDA K
MCINTYRE REDA
PATRICK JOYCE
SLONE WENDELL

HENDERSON COMMUNITY COLLEGE

Associate in Arts
ADAMS JAMI MISHELLE
CARTER MARIA
DENTON TIMOTHY W

HOPKINSVILLE COMMUNITY COLLEGE

Associate in Arts

ALDER AMY LOUISE
AMIS TERRY BARTON
CHITENDEN C CALVIN
DARLING SCOTT J
DOLEZAL ELAINE
DULIN KIRK RAY
DUNBAR BENJIE F
KELLAMS KANDACE KAE
LANCASTER JANET MOORE
MCRAE SARAH CROKE
MILES JEFFREY PATRICK
PYLE MARK ENNIS
SHAW RICHARD T
WRIGHT CAROLYNN ANN

Associate in Science

BOAZ PHILLIP WAYNE
BRADEN ANITA S
CANSLER TIMOTHY A

Associate in Applied Science

BAKER LINDA WADLINGTON
BROWN PATTI KAYE MCCUISTON
DAVIS HOPE BUNTEAL BONNIE
EDMONDS EUGENE
FIRMINT RONALD J
FORT RANDY D
GENTRY EVELYN BROWN
GRAY HOWARD E JR
GREENE JAMES C
GUIER MARTY DOYLE
JONES JERRY CALVIN
MALONE ANGELA WATKINS
MAYPRAY SHARON S

FOWLER STACY R
HAYDEN ANGELA M
HENSHAW BONNIE EDWARDS
HERMES KELLY A
MCBRIDE ELODIA
NIXON ADA M
THOMAS ANDREA L
WHITLOW LORI G
WURTH WILLIAM TROY

Associate in Science

BELOW JOHN C
GREEN GARY L
JOHNSON MARY A
RUSSELLBURG DEXTER R

Associate in Applied Science

BANKEN TRACY
BROWN DANA L
BROWN MORRIS K
BUCKMAN LORI HAGAN
CARTER MARIA A
COMER LESLIE A
ENoch RESHELLE F
FREELS TONY W
HAY JOETTE T
LAWRENCE DONNA M
LEATH BECKY S
PARKER ANGIE M
PRIDE KARLA RIGGS
RICHEY NINA KAY
SCHMELZER STEVAN P
SHEETS MARK
STEWART ADDONIS F
WILLIAMS STACY LYNN

RAY BERTIE
SALAS DE RUIZ CATHY M
SHOEMAKER BARRY L
SIGLER BARBARA JEAN
STRATTON JEANNE WILKINS
THOMAS DEBRA LYNN
WRIGHT CATHY

JEFFERSON COMMUNITY COLLEGE

Associate in Arts

ALVEY DAYRL
BATLINER KEVIN
BOLING SUSAN
BOONE RALPH
CHITWOOD TINA
DARLAND TODD
DAVIS PRISCILLA
DESPAIN LARRY
DEWITT MARY
DRAKE CYNTHIA
EDWARDS JOYCE
ELLIS ROML
EMBRY VEEDA
GRIFFIN ERIC
GUMBEL STEVEN
HAYS BARBARA
HERP DORIS
IADAROLA BRIAN
JONES JENNIFER
MELTON GORDON
MITCHELL MICHELLE
MULLINS KAREN
MULLINS SHARON
PURYEAR DAVID
RIGGS JUDITH
SHEHAN BEVERLY
SMITH MORGAN

STEIDEN ELIZABETH
SWIGER LILLIAN
TAYLOR SHARON
THOMPSON WILLIAM
TYLER WAYNETH
WALKER CHARLES
WILLIAMS GRETCHEN

Associate in Science

DRUMM BRUCE
FOGARTY LAWRENCE
FOSTER LESLIE
GRAHAM LARRY
HAYES SUSAN
PUCEK DAWN
RENFROW MARK
STORZUM MARY
WATSON IRISH

Associate in Applied Science

ADAMCZYK GRETCHEN
ALLEN ROBIN
ASHCRAFT WANDA
BARTSCH JULIA
BICKEL MARGARET
BOOTH HENRIETTA
BROWN KARYN
BROWN RUTH
BUCHANAN SEANEE'
CASTANIS STEVEN
CONKIN MICHAEL
COOK PATRICK
COOPER AMY
COOTS BONITA
COYLE ALICIA
CRAIG SHARON
DANIEL HENRY
DEGOTT ROBERT

DENKHOFF ERIN
DERR ALLAN
DOSS W
DRUEN AMBER
DUNCAN RHONDA
ELDER HAZEL
ELMORE KAY
FELTY DAWN MARIE
FLENER MELISSA
FOOTE JOHN
GATZ ROBERT
GILES DANA
GLASS DONNA
GRAWEMEYER MICHAEL
HAGAN SAUNDRA
HANKINS BRENDA
HARRIS MICHAEL
HIGGINS RICHARD
HILBERT CARL
HOLMAN LAURA
HOPSON GLENDA
HOSEA ROBERT
HOUK FRANCES
HUGHES THOMAS
JENKINS THOMAS
JOYNER DENISE
KELLY RACHEL
DOURY JEAN
KREBS KATHY
LEDFORD JEFFREY
LEE JANET
LEONARD KATHERINE
LOWE JOYCE
MAIER PATRICIA
MCCLURE TIMOTHY
MCCORMICK SUSAN
MCDANIEL TOMIKO
MEDLEY LAURA
MOSER JERRY
NOON JOHN

ODANIEL STANELY
 OMEARA SUSAN
 OWENS KAREN
 OYLER TIMOTHY
 PALMER CHRISTINE
 PHARR JUANITA
 PIERCE CAROL
 PRICE ARTHUR
 PRYOR ELIZABETH
 PULLIAM ANNA
 QUINN DAVID
 RIEGLING DAVID
 ROBERSON YVONNE
 ROBINSON MARCEL
 ROGERS JAMES
 ROHLER THOMAS
 RUBY LISA
 SEARS PENNY
 SHELTON STEPHEN
 SHELTON THOMAS
 SHINAULT NANCY
 SOHM KIMBERLY
 SPANNKNEBEL EVAN
 THAI THANH
 THORNTON BETH
 THURMAN TAMULA
 TOEBBE CATHY
 WALKER LINDA
 WASKOM CARRIE
 WESTON ELLEN
 WEYAND MELISSA
 WILEY CHRISTA
 WRENCHER EUGENE
 YOUNG LISA
 ZACHGO CATHERINE

LEXINGTON COMMUNITY COLLEGE

Associate in Arts

ADAMS ILYA
 ATKINS ELIZABETH
 BRUMFIELD SHAWN
 CARAS JOANN
 CARTER ALISHA
 COTTON NAOMI
 DEATON DAVID
 FIEGENBAUM STEVEN
 GOODLETT MICHAEL
 HALEY MELANIE
 HOCKADAY RAYMOND
 MERRISS ANITA
 MONTGOMERY SUSAN
 MORELAND JEFFREY
 MORGAN MICHELLE
 ROSE BRYAN
 SBARRA MARY
 WOOTEN MARILYN

Associate in Science

CATLETT BROOK
 HUNT KATHRYN
 KREBS ALISA
 MULLINX DENNIS

Associate in Applied Science

ADKINS JULIE
 ALEXANDER CONNIE
 BLAKE CLYDA
 BOWERS LISA
 BROWN KAREN
 CLARK GARLAND
 CORNN NANCY

COURTNEY GERALD
 DABIT JOANNE
 DONALDSON DORCAS
 DOUGLAS JEFFREY
 FINCH JOHN
 GARRETT RON
 GOLDEN TRACY
 GRAVES ETHEL
 GREENE CHRISTOPHER
 HARDY MARK
 HAYDEN LISA
 HUFFMAN ROBERT II
 HURAK JEANNE
 KEARNS KATRINA
 KIRK MELINDA
 KISSMAN DANA
 LEWIS ERIC
 LOVELL GARLAND
 MCDOWELL CAROLYN
 MCELHONE JOYCE
 MILLER KENNETH
 MILLION KENDRA
 MONTGOMERY KELLY
 MOORE MILLIE
 MORRIS STACYE
 NICKELL DEBORAH
 NOEL STEPHEN
 NORRIS AMY
 PROVOST DEBORAH
 RATHER ELIZABETH
 REEVES JANICE
 RICE ROBERT
 ROSE BRUCE II
 ROUNDTREE SHANNON
 ROWE QUINSETTA
 SAMMON MICHAEL
 SAMS RHONDA
 SEXTON STEPHEN
 SLEET EUTONYA
 SMITH JOSEPH

SPARKS CATHY
STEPHENS VERONICA
THOMAS MICHAEL
WATSON NORMAN
WAYMAN PHILLIP

MADISONVILLE COMMUNITY COLLEGE

Associate in Arts

KENNETT EDWARD S
SHERRON CHARLES FRANKLIN
WILSON-MILLER SHERRY ELAINE

Associate in Applied Science

BAUCUM ANNETTE O
BELT KIMBERLEY SHEFFIELD
CHEESEBOROUGH RHONDA MARIE
CONRAD CHUCK A
DAME LISA D
DEVINE TONNI SHAE
DUGGER ROBERT ALLEN
GIRVIN TONY ALAN
GRACE TRACEY MECHELLE
HARRIS LAURA KAY
PURDY SHIRLEY A
RICE KIMBERLY ANNE
SHEPHERD DEBRA J
SMILEY DONNA WEBB
THOMAS MESHEA R
TOWE SHERRY STIRSMAN
WYNN CHARLOTTE

MAYSVILLE COMMUNITY COLLEGE

Associate in Arts

BALDWIN MARVIN B
EMMONS ROBERT P
FANNIN MICHELLE R
GRIGSON LUCILLE H
MOORE JAMES T
SHARP DIANA M

Associate in Science

BURKE GERALD B

Associate in Applied Science

ADAMS CONNIE G
ADAMS FRANKLIN L
BARKER LARRY A
BENNETT CHRISTINE M
BLAKE DONALD V
BOOTON RUSSELL F
BROUGHTON GORDON
BRYANT BONITA K
DAVIS EDITH J
HALF CHARLES D
HINSON KIMBERLY W
HUGHES WILLIAM R
JOHNSON RONALD H
KILGORE MARK A
LIGHTNER GEORGE A
MCGLONE LARRY
MORMAN CHARLES E
STOUT CHARITY L
TACKETT DANNY R
WEINFURTNER LOUIS E
WHITT CHARLES M
WOODRUM LARRY E

OWENSBORO COMMUNITY COLLEGE

Associate in Arts

BELL MICHAEL S
DAUNHAUER CHRISTIAN S
MAY ANNA W
MORRIS BRON S
RAGLAND LEIGH A
RINEY LAURIE E
ROBERTS RICHARD D
TINSLEY TERESA M
WHITE BERTINA
WILLIAMSON RACHEL S

Associate in Science

BARBOUR CLAUDIA E
DAVIS ALAN B
GRANT RICHARD D
KNOWLES BRIAN A
SPENCE BRENDA S
TROUTMAN SHELLY

Associate in Applied Science

CROWE SHARON A
CROWSON TIMOTHY R
REVLETT KITTRIDGE H
SHERRIFF KEITH R
SUTHERLAND BETTY J
TAYLOR CHERI W
WEDDING DEBORAH M

PADUCAH COMMUNITY COLLEGE

Associate in Arts

CARUTHERS KATHELYN MITCHELL

CASEY JERRY R
 DURBIN RANDALL WAYNE
 ECHOCH TYRONE V
 GARRISON TIFFANY ANN
 GHOLSON BARBARA J
 GRIMES SHIRLEY DOWNS
 GURLEY DENISE KAY
 HILL RICK ROBERT
 MCMANUS CAROLYN GREEN
 MERCHANT PARESH D
 MILLER SHERI ANN
 MITCHELL MICHELLE LYNN
 NELSON KEITH EDWARD
 PATTERSON SAL MINIEO
 RICHARDS REGINA ANN
 RIDDLE BONNIE TRUSSELL
 SLANKARD PATTI LYNN
 SUTTLES ROBERT SCOTT
 VAUGHN JULIE KAYE

Associate in Science

ADKINS JANA L
 BRACK DORIS ALLENE CHANDLER
 BUCKINGHAM MITZI RAE
 CHESTER STACEY ANN
 DEAN TERRI LEE
 DUNCAN LARRETTA B
 EDWARDS HEATHER JEAN
 HETTINI ERFAN A
 KEMMER PAULINE B
 LOWE STACY LYNN
 ROELL CARMEN D
 SEMLER ROBERTA JEAN KALISZ

Associate in Applied Science

ARCHER JANNA DAWN
 BEASLEY JUANITA YEYETTA
 BOYD DARRELL G

DOOM TERESA ANDERSON
 EAST LESA KELLY
 GOODMAN MARY J
 GORDON KENTON ALAN
 HAZZARD BRENDA KIRK
 HERMAN CRYSTAL A
 HICKS TODD ALLAN
 HUGHES ELIZABETH C
 JACKSON ANGELA SHARON
 OWEN ROBBIN W
 RAWLINS SHEILA LANITA
 RICHARDS TERRY NEIL
 SHELBY DONNA JO
 SIENER GREGORY KENT
 TULLOS BOBBIE JO
 VINIARD PAMELA ANN
 WALLIS SHEILA BOWERMAN
 WILFORD ANITA JEAN

PRESTONSBURG COMMUNITY COLLEGE

Associate in Arts

BENTLEY CAROLE ANN
 BOWLING TABITHA KAY
 COLLINS MARGARITA B
 CRAFT DON E
 GILREATH REBEKAH M
 HALL DEIRDRE K
 HALL DIANA LYNN
 HALL GEORGE M
 HALL SHARON L
 HOLBROOK SUSAN
 HOWARD LINDA C
 HOWARD STEWART J
 JOHNSON PAULA S
 MOORE STEVEN DUANE
 OUSLEY LISA L
 ROWE KELLY

SALYER LOLITA
 SCARBERRY BARBARA L
 SLONE MARIE KAYE
 SLONE ROBERT K
 SMITH MARTHA J
 SPARKMAN MELISSA CAROL
 STEPHENS GORDON R
 STUMBO JOEY
 WADDLES EUNICE L
 WELLS DREAMA S
 WELLS GARY L

Associate in Science

FRALEY CATHY JUDE
 LEMASTER BILLY A
 MONTGOMERY HATTIE G

Associate in Applied Science

ARNETT ROSEMARY S
 BAKER BELINDA GAIL
 BROWN LINDA F
 CAUDILL TERESA L
 CHAFFINS KATHY A
 DOTSON BILL J
 GIBSON GEORGETTA
 HOLT MELISSA D
 HOWELL KAREN L
 MALDONADO KATHY H
 MAYSE DEBORAH S
 POE TAMRA J
 PRICE KIMBERLY R
 RATLIFF RHONDA L
 REED TERRY L
 REYNOLDS LESA G
 RIVERA JOYCE D
 ROWE CHARLIE R
 SCOTT KAREN S
 SPEARS PEGGY C

STEPHENS BERNIECE P
THACKER CLEMENTINE W
WELLS WENDELL L
WILCOX RANDALL DWIGHT
WISECUP BARBARA
YOUNCE CATHERINE L

SOMERSET COMMUNITY COLLEGE

Associate in Arts

BROWN SUSIE V
HANGCOCK LINDA L
LUNSFORD MICAH D
MOORE KATHY A
PHILLIPS CHARLOTTE B
STAMPER WILLIAM F
STRUNK MARY L
SWALLOWS JEANETTA K
WARREN BARBARA

Associate in Science

NELSON TIMOTHY

Associate in Applied Science

CROCKETT KIM
DAVIS JAYMA
EPPERSON LISA G
LUTTRELL RAMONA R
SIMPSON BILL W

SOUTHEAST COMMUNITY COLLEGE

Associate in Arts

ASHLEY MICHAEL WAYNE

BARR DONNA S
BLAIR NANCY A
BOYD RHONDA GWYNN
CALDWELL PATRICIA ANN
CARRUBA ANNA

COLLINS JENNY LOU
CREECH DEBORAH R
DAVIS DEBORAH ANN
DAY DARLAS WAYNE

DICKERSON DONNA DENISE
FAIN PATRICIA LYNN
FARLEY KAREN JOSEPHINE
FISHER MARY L
GENT ANNA

GRUBBS PATTY MARIE DANIELS
HENSLEY KIMBERLY D
HOLBROOK GLENDA
LAWSON MARY LOU
RAMEY HELEN JOYCE

THOMPSON MAREN
WILLIAMS DARLA MICHELLE
YERCINE CHRISTI ANNA

Associate in Science

BANKS DEANNA J
BRASHEARS TAMMY
CORDER MELISSA RHEA
CORNETT PAMELA S
CRIDER BOBBIE VENDETTA
DAVIS ALICE R
ESTES LOLA RENE
HATFIELD CAROL L
PERKINS DEANNA L
PHILPOT DELORES GOSS
PHIPPS JOSEPH E
SAMS CAREVE JOLENE
WILLIAMS ETHEL R
WOODWARD SHELIA FAY
WYNN MARY DOROTHY

YORK DOUGLAS R

Associate in Applied Science

ADAMS DAWN YNETTE
BOGGS EVERETT R
BUCHANAN DELIAH
CENTERS BARBARA*
CORNETT PAUL DAVID
FULTZ SANDRA
HAMBY DORRETHA L
INGRAM SUSAN
LUTTRELL DAVID
MILLER BILL
MONEYHUN JERRY
SAYLOR VALARIE
SCOTT JOHNNIE J
THOMPSON KAREN RENEE
TURNER MARK EDWARD
WHITE ROGER L

*Degree Awarded May 1988

Office of the President
December 12, 1989

PR5A

Members, Board of Trustees:

CANDIDATES FOR DEGREES
UNIVERSITY SYSTEM

Recommendation: that the President be authorized to confer upon each individual whose name appears on the attached lists the degree to which he or she is entitled upon certification by the University Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the University Senate.

Background: In order that the degrees may be conferred upon the individuals completing requirements in December as soon after the close of the semester as possible, it is recommended that the Board of Trustees give its approval contingent upon certification by the Registrar and the University Senate that all requirements have been satisfactorily completed.

Action taken: Approved Disapproved _____ Other _____

Date: December 12, 1989

3289

OFFICE OF THE UNIVERSITY REGISTRAR
CANDIDATES FOR DEGREES
December 15, 1989

GRADUATE DEGREES

| | |
|--------------|------------|
| Ph.D | 100 |
| D.B.A. | 6 |
| D.M.A. | 1 |
| Ed.D. | 13 |
| Ed.S. | 10 |
| M.A. | 79 |
| M.A. EDU. | 10 |
| M.A.I.D. | 3 |
| M.B.A. | 28 |
| M.F.A. | 2 |
| M.M. | 5 |
| M.P.A. | 6 |
| M.R.C. | 6 |
| M.S. | 101 |
| M.S. ACCT. | 5 |
| M.S. AGR. | 1 |
| M.S. AGR. E. | 5 |
| M.S. BIO. E. | 4 |
| M.S. CHE. E. | 5 |
| M.S. CIV. E. | 10 |
| M.S. G. NUT. | 3 |
| M.S. EDU. | 28 |
| M.S. E.E. | 23 |
| M.S. E.M. | 3 |
| M.S. FAM. | 6 |
| M.S. FOR. | 6 |
| M.S. L.S. | 28 |
| M.S. MAEN. | 1 |
| M.S. M.E. | 5 |
| M.S. MET. E. | 1 |
| M.S. MIN. | 1 |
| M.S. N. | 15 |
| M.S. PH. | 1 |
| M.S. R.M.P. | 4 |
| M.S.W. | 42 |
| TOTAL | 567 |

PROFESSIONAL DEGREES

| | |
|--------------|----------|
| J.D. | 6 |
| M.D. | 1 |
| TOTAL | 7 |

UNDERGRADUATES

| | |
|--------------------------|------------|
| Agriculture | |
| B.S. AGR. | 32 |
| B.S. F.S. | 3 |
| B.S. FOR. | 2 |
| B.S. L.A. | 5 |
| TOTAL | 42 |
| Allied Health | |
| B.H.S. | 40 |
| TOTAL | 40 |
| Architecture | |
| B. ARCH. | 10 |
| TOTAL | 10 |
| Arts and Sciences | |
| B.A. | 156 |
| B.G.S. | 13 |
| B.S. | 54 |
| TOTAL | 223 |

Business and Economics

| | |
|--------------|------------|
| B.B.A. | 150 |
| B.S.A. | 71 |
| B.S. B.E. | 7 |
| TOTAL | 228 |

Communications

| | |
|--------------|------------|
| B.A. | 92 |
| B.S. | 9 |
| TOTAL | 101 |

Education

| | |
|--------------|-----------|
| B.A. EDU. | 75 |
| TOTAL | 75 |

Engineering

| | |
|--------------|-----------|
| B.S. AGR. E. | 4 |
| B.S. CHE. E. | 3 |
| B.S. CIV. E. | 21 |
| B.S. E.E. | 25 |
| B.S. MAEN. | 3 |
| B.S. M.E. | 27 |
| B.S. MET. E. | 3 |
| B.S. MIN. E. | 3 |
| TOTAL | 89 |

Fine Arts

| | |
|--------------|-----------|
| B.A. | 18 |
| B.M. | 1 |
| B.M. EDU. | 5 |
| TOTAL | 24 |

Home Economics

| | |
|--------------|-----------|
| B.A. HID. | 2 |
| B.S. DIE. | 5 |
| B.S. FAM. | 12 |
| B.S. H.E. | 45 |
| TOTAL | 64 |

Nursing

| | |
|--------------|-----------|
| B.S.N. | 31 |
| TOTAL | 31 |

Pharmacy

| | |
|--------------|-----------|
| D. PHARM. | 8 |
| B.S. PHARM. | 25 |
| TOTAL | 33 |

Social Work

| | |
|--------------|-----------|
| B.A. S.W. | 20 |
| TOTAL | 20 |

| | |
|------------------------------|--------------|
| GRADUATE DEGREES | 567 |
| PROFESSIONAL DEGREES | 7 |
| UNDERGRADUATE DEGREES | 980 |
| TOTAL | 1,554 |

The Graduate School

Dean: Daniel R. Reedy

Doctor of Philosophy

Abdalla, Nabil Abdel-Maksoud**, Cairo, Egypt
Addison, Bradford Gene**, Greenfield, IN
Airriess, Christopher Anderson**
Akpom, Uchenna Nwabufo**, Onitsha, Nigeria
Al-Khameri, Shakib Mohammed**, Taiz, Yemen, Arab Republic
Arnold, Charles David**, Chilllicothe, IL
Baluyut, Arthur Ramirez**, Peru, IN
Banks, William Rausch**, Louisville
Barnett, Richard Spence**, Edina, MN
Barve, Shirish S.**, Bombay, India
Belshoff, Richard George**, Louisville
Bienkowski, Lisa Sophia**, Princeton, NJ
Boulos, Paul Fares**, Beirut, Lebanon
Breux, David A.**, Houma, LA
Carey, Karen Waite**, Lexington
Chambers, Paul E.**, Huntington, WV
Chao, Linan**, P. R. China
Chavan, Ashok Jagannath**, Bombay, India
Coyle, Kelly**, Independence
Cunningham, Mark Richard**, Flint, MI
Dunlop, James Robert**, Louisville
Engh, Steven Anthony**, Lexington
Esterle, Joan Sharon**, Louisville
Famouri, Parviz**, Lexington
Flint, Jerry Lee**, Lima, OH
Fryman, David Lawrence**, Lima, OH
Garrett, Tylene Stratton**, Shelbyville
Gierzynski, Anthony Gerard**, Sycamore, IL
Goldberg, Karen-Beth**, Santa Fe, NM
Goss, Jonathan David**, Honolulu, HI
Grodin, Debra S.**, Lexington
Groothuis, Peter Andrew**, Battle Creek, MI
Haas, John William**, Louisville
Hamzah, Basuni**, Indonesia
Harmon, Celia Jean**, Clinton, MO
Hensgen, Debra Ann**, Cincinnati, OH
Henson, Jana Lee Cox**, Frankfort
Henson, Linda Sue**, Nicholasville
Hsieh, Michael Weichih**, Taipei, Taiwan
Hullur, Ishappa S.**, Dharwad, India
Iglesias, Harry**, Panama
Islam, Md. Nurul**, Barisal Bangladesh
Jagiello, Joyce Anne**, Dayton, OH
Jewell, Roxanne Chunn**, Columbia, TN
Kern, Michael James**, Ft. Mitchell
Kim, Hyuntae**, Seoul, Korea
Kim, Moonsun**, Seoul, Korea
King, Kathryn Anne**, St. Louis, MO
Knott, Claudia**, Louisville
Kousha, Mahnaz**, Iran, Tehran
Laviola, Marisa Anne**, Natick, MA
Lawrence, Patricia Ann**, Lexington
Lee, Dong Ok**, Seoul, Korea
Lew, John P.**, Melbourne, Australia
Linton, Mary Catherine**, Midland, MI
Marksbury, Thomas Allen**, Shelbyville
Martinez, Pablo A.**, Cuenea, Ecuador
Marzin, Sylvie**, France
McBurnie, Jeffrey C.**, Washburn, MA
Melcher, Charlotte Rae**, Lexington
Minani, Longin Kamuntu**, Bujumbura
Miranda, Maria Teresa**
Mohseni, Navid**, Iran, Tehran
Moore, Timothy Allen**, East Coast, USA
Morford, Michal Louise**, Carlisle

Neiser, Pamela Ann**, Pittsburgh, PA
Orlinska, Urszula**, Poland
Osborne, Jeanne S.**, Morehead
Palmieri, Donna Ann**, Spring Valley, IL
Pashin, Jacob Charles**, Beachwood, OH
Peoples, Joan Blakely**, Berea
Redd, Margaret Gay**, Lexington
Register, Roger Charlton**, Nashville, TN
Richey, Margaret Gallagher**, Lexington
Robbins, Doris Krupka**, Lexington
Rong, Yiming**, China
Rossi, Michael John**, Zanesville, OH
Rotthor, Hemant Govind**, India
Sander, Kip Warren**, Columbus, NE
Sanders, Sheryl L. Wells**, Newcomerstown, OH
Sandys, Marla Rita**, Phoenix, AZ
Schmitz, Susanne**, Ada, OH
Skinner, Thomas Lane**, Lorain, OH
Sobecki, Terrence M.**, Michigan City, IN
Sorensen, Jerrel Henry**, Ludington, MI
Srinivasan, Ramachandra**, India
Stearns, Gary Morris**, Muncie, IN
Stilwell, Doris Nowak**, Lexington
Stribling, Marda L.**, Dawn, TX
Sullivan, Kelli A.**, Versailles, IL
Teo, Steve Keng Ong**
Thacker, Shelby Gene**, Louisville
Tilton, Donald Edward**, Renton, WA
Turner, Catherine Leith**, Huntington, NY
Voegeli-Lange, Regina**, West Germany
Woodrum, Kim Rachelle Warner**, Lexington
Wright, Claude Eugene III**, Scotts, MI
Wrobel, Sylvia Burroughs**, Bat Cave, NC
Xiang, Xiao-Dong**, Beijing, China
Zollicoffer, Alice Mountcastle**, Henderson, NC

Doctor of Business Administration

Berry, Delano Howard**, Kinston, NC
Engle, Allen Douglas**, Richmond
Flinn, Ronald E.**, Omaha, NE
Hazera, Alejandro**, McLean, VA
Van Alst, Lucinda Louise Jones**, Sulphur Springs, IN
Werling, Stephen Earl**, Tiffin, OH

Doctor of Education

Allameh, E. Joy Goode**, Richmond
Brown, Ryan Keith**, Bloomington, IL
Eller, Rebecca Gaeth**, Nicholasville
England, Rhonda George**, Pikeville
Greenlee, Marilyn Edith**, Anderson, IN
Harrah, Helen Faye**, Lexington
Hicks, Michael Leon**, Lexington
Kelsch, Lawrence Eugene**, Mt. Olivet
MacAdam, Phyllis Abrahams**, Lexington
Rash, Gregory Scott**, Huntington, WV
Ruffner, Rosalyn Ann Burton**, Grayson
Southworth, Douglas Lee**, Georgetown
Stephens, James Keith**, Lexington

Doctor of Musical Arts

Francis, Mark Louis**, Silver Creek, NY

Educational Specialist

Bauder, Debra K.**, Lexington
Catlett, Catherine Cleveland**, Winchester
Dougherty, Stephani Ann**, Ft. Mitchell
Flach, Teresa Marie**, Edgewood
Harrison, Ronnie Norman**, Harrodsburg

Juett, Beverly Willoughby**, Lexington
Matchett, William Anthony**, Griffin, GA
Miller, Jean M. Alderson**, Lexington
Terry, Sharon Jeanne**, Lexington
Wells, Sandra Ramsey**, Versailles

Master of Arts

Auble, Judith Elizabeth**, Dansville, NY
Campbell, Lisa Gayle**, Corbin
Chen, Fan**, China
Chen, Shu**, Shanghai
Cook, Ian James**, United Kingdom
Cotton, Vincent John, Jr.**, Alliance, OH
De Pablo Gallardo, Esther**, Jerez Caballeros
DeAngelis, Maria E.**, LaPaz, Bolivia
Degler, Jennifer Denise**, Lexington
Dobson, Melissa Anne**, Louisville
Dowd, Lois Ruth**, Corinth
Doyle, Leslie Anne**, Lexington
Duke, Jeannette S.**, Lexington
Duke, Ronald Wayne**, Lexington
Edge, Leona E.**, Leitchfield
Ellison, Betty Boles**, Lexington
Epling, William Douglas**, Lexington
Estes, Angela Maria**, Lexington
Ferrier, Walter John**, Westwood, NJ
Godfrey, Caria Howe**, Hendersonville, TN
Goff, Stephanie L.**, Versailles
Gordon, Veronica Duncan**, Springfield
Gracia, Elizabeth Spears**, Ashland
Gregg, Megan E.**, Columbus, OH
Guthrie, Pamela Ruble**
Haffey, Michael John**, Lexington
Hale-Roca, Shelda**, Lexington
Hawkins, Nyoka**, Lexington
Helm, David M.**, Lexington
Hijjawi, Momoun Mahmood**, Nablus
Hisel, Brenda Gayle Coakley**, Georgetown
Ison, Betty Sue**, Morehead
Jacobs, Gerhardt Leslie**, Ft. Mitchell
Jacouris, Steven**
James, Donna**, Bromley, England
Johnson, Anne-Marie**, Sevres, France
Johnson, Joni Louise**, Atlanta, GA
Jones, Phillip Wiley**, New Castle, IN
Juhn, Charles Allin**, Denver, CO
Kopitzke, Elizabeth Jean**, Lexington
Lee, Min Soo**, Seoul, Korea
Martin, Diana Wallace**, Lexington
McGinty, Thomas Anthony**, Lynn, MA
Min, Byung O.**
Moore, Brian Lee**, Lexington
Moore, Samuel Stokes**, Lexington
Morgan, Gwenith Kierestelli**, Charleston, WV
Morris, Robert Anthony**, Lexington
Mullinax, Maureen Cecilia**, Lexington
Myers, Rebecca C.**, Danville
Naylor, Megan Duffield**, Lexington
Oliveras, Rodriguez Rosnilda**
Penkalski, Janice Ann**, Milwaukee, WI
Phillips, Denise Raenell**, Lexington
Pitts, Elizabeth Lucas**, Oxford, OH
Porter, Charles William**, Lexington
Rhow, Dana D.**, Oak Ridge, TN
Robey, Angelique Susan**, Lexington
Robinson, Thomas O.**, Lexington
Roussos, Panagiotis Aristides**
Russell, Mark Weiser**, Glen Ellyn, IL
Russell, Michael Eugene**, Winona, MN
Said, Muhammad Idrus**, Indonesia
Schweitzer, Karla Jean**, Lexington

Sharp, William Edgar**, Georgetown
Sivan de Mariategui, Rahel Tamar**
Srisurak, Pornthip**, Thailand
Stearns, Ann Yvonne**, Muncie, IL
Thompson, Aaron, Jr.**, Lexington
Tondreau-Mims, Linda Marie**, Lexington
Van Fleet, Mark A.**, Fairfax, VA
Wagner, Tonya Marie**, Louisville
Walden, Katherine Parrish**
Walker, Victoria D.**, Lexington
Watson, Teresa Keller**, Lexington
Weingartner, Paul J**, Cincinnati, OH
Williams, Joan Marie**, Huntington, WV
Wise, Stephen V.**, Lexington
Ying, Ming Hung Alton**, Hong Kong

Master of Arts in Education

Blakeman, Teresa Lynn**, Lexington
Bush, Kimberly Burden**, Cynthia
Drake, Michele Davis**, Ft. Thomas
Duncan, Janice Tipton**, Port Royal
Haynes, Ann Elizabeth Dickey**, Lexington
Lillich, Kathleen O'Brian**, Lexington
Moore, Robert Rodney**, Wilmore
Pettus, Vicki Maddox**, Washington, DC
Ross, Beth Taylor**, Lexington
Wilson, Martha Tate**

Master of Arts in Interior Design

Chan, Alison Mary**, Lexington
Morehead, Rick L.**, Louisville
Ybarra, Beth Ehrman McCann**, Lexington

Master of Business Administration

Bifone, John Robert, Jr.**, Louisville
Broadus, Richard N.**, Winchester
Cisney, James Lyle**, Harrisonburg, VA
Cowan, John Robert**, Edmonton
DeVary, Len C.**, Lexington
Edwins, Richard Clifton**, Lexington
Fischer, Ronald John**, St. Louis, MO
Goins, Dorothy Hight**, Durham, NC
Grebe, Kevin Michael**, Shelbyville
Green, Stephen Paul**, Cincinnati, OH
Griffith, Deborah Ellen**, Paducah
Gross, Clark Jackson II**, Lexington
Holaway, Robert Roy**, Lexington
Kelly, Jennifer Lynn**, Greensburg
McDowell, James Carl, Jr.**, Somerset
McGaughy, Robert John**, Lexington
McKindley, Janet Sue**, Grand Rapids, MI
Morgan, Doran Zane**, Topeka, KS
Reed, Lesley Neal**, Salyersville
Russell, Susan S.**, Lexington
Tomlinson, Richard Jason**, Paducah
Turner, Roger Dean**, Danville
Walker, James Porter, Jr.**, Louisville
White, John Noel**, Lexington
Wilder, Elizabeth**, Versailles
Wise, Julius Scott**, Elizabethtown
Wooten, Anthony Loron**, Chickamauga, GA
Yauwanta, Anto Sunsetna**, Indonesia
Young, Steven Kent**

Master of Fine Arts

Cobb, Dwayne Allen**, Crestwood
Martins, Twyla Rae**, Lexington

Master of Music

Baber, Melissa Kelley**, Lexington
Brogle, Jennifer Lynn**, Crab Orchard
Greening, Charles**, St. James, NY
King, Jeffrey Todd**, Winchester
Ross, Keith Hartsfield**, Lexington

Master of Public Administration

Berry, Marilyn Anne**, Grundy, VA
Cavenee, Marla Gail**, Lexington
Garman, David Emerson**
Goodell, Thomas Eric**, Dutton, MT
Lange, Debra K. Clark Remick**, Owensboro
Roberts, Randall Lee**, Pikeville

Master of Rehabilitation Counseling

Atwood, Carol Ann Simms**, Danville
Bender, Kimberly Rochelle**, Louisville
Cooper, Vicki Lynn**, Lexington
Lukac, Joseph John**, Port Clinton, OH
Martin, Terri Fadse**, Follansbee, WV
Smith, Sandra Kay**, Albert Lea, MN

Master of Science

Anderson, Mark Brian**, Edison, NJ
Barnett, Barry Joe**, Versailles
Blasko, Cheryl Lynn**, Riverhead, NY
Bobo, Robert Nolan, Jr.**, South Shore
Brinkley, William Edward**, Madisonville
Brockman, Dale**, Erlanger
Burchfield, Maren Manette Nicholas**, Amesville, OH
Butler, Calbert Cecil II**, Louisville
Cambron, Richard Thomas**, Lexington
Connors-Davenport, Elyse Margaret**, Brooklyn, NY
Cox, Sylvia Karen**, Somerset
Crawford, Cynthia Anne**, Lawton, OK
Desai, Dipika D.**
Dice, David Thomas**, Lexington
Dwyer, Roberta Majella**, Clinton, IA
Emeric, Edgar**
Farlis, Jhon**, Indonesia
Farrell, John Paul**, Watertown, NY
Fickel, Todd Douglas**, Camp Hill, PA
Fiorito, Maria Irene**, Buendaires, Argentina
Fitzmaurice, Karen Patricia**, Makanda, IL
Flanery, Phillip Ray**, Martin
Garriss, George Waldon III**, Marietta, GA
Hangal, Pramod P.**, Hubli, India
Harris, James Biron**, Richmond
Hauser, JoAnn**, Cleveland, OH
Heierman, William Alan**, Evansville
Hendrick, Timothy Mitchell**, Henderson
Hester, Paul Logan**, Lexington
Holt, David Waller**, Lexington
Honchel, Ronald**, Hazard
Huckabay, Jackie D., Jr.**, Coushatta, LA
Humkey, Lynne B.**, Wilmore
Jones, Daniel Ray**, Eleanor, WV
Kalas, Sheila Christine**, Lincolnshire, IL
Kamaruzaman, Bin Haji Mohamad Nor**, Kelanta, Malaysia
Kauffman, Kurt Alan**, Wichita, KS
Kellogg, Robert Michael**, Paradise, CA
King, Richard Newton**, Lexington
Kjellstrom, Thea Louise**, Warrenville, IL
Lakes, Mark Todd Walter**, Lexington
Lee, Hyun Woo**, Seoul, Korea

Logan, Robin Hill**, Shelbyville
Luzar, James Charles**, Cloverdale, IN
Marsi, **, Indonesia
Maurer, Eric Franklin**, Atlanta, GA
McGinnis, Patrick Joseph**, Lakeside Park
McKenzie, Lora E.**, Paintsville
McLellan, Kathy Anne Miktuk**, Jamestown, NY
Mehra, Munish**, New Delhi, India
Midkiff, David V.**, Lexington
Minakian, Sona Haig**, Egypt
Money, Robert Dale**, Buffalo
Moreland, Nancy Ann**, Falmouth
Moss, David John**, Point Pleasant, PA
Mucharromah**, Benkulu, INA
Musen, Steven Lee**, Lexington
Natarajan, Jayanthi**, Tamilnadu, India
Nida, Debbie LaVonn**, Springdale, AZ
Noland, Richard Dean**, Bowling Green
Payne, Charles Anthony**, Slaughters
Pearce, Robert Courtney**, Pewee Valley
Peng, Qiyuan**, P. R. China
Perkins, Robert Allen**, Lexington
Phelps, Daniel Jesse**, Lexington
Pong, Wang Chia-Chi**, Taiwan, R.O.C.
Potter, Brit C., Jr.**, Dorton
Randall, Scott Edward**, Oak Park, IL
Raw, Randall Edward**, Norborne, MD
Richins, Richard Dean**, Sacramento, CA
Riley, Donna E.**, Berea
Roberts, Regina K.**, Lexington
Russ, Michael Alan**, Owensboro
Sabono, Agus**, Indonesia
Sadr, Amir Hossein**, Lexington
Sage-el, Kevin Lamont**, Brooklyn, NY
Scherzer, Janice Anne Cole**, Louisville
Serini, Mary Catherine**, Highland, NY
Sharp, Joe Patterson**, Richmond
Small, David Stewart, Jr.**, Lexington
Smith, Jana Lee**, Hillsboro, OH
Staugas, Susan L.**, Louisville
Stine, Rebecca Lynn**, Corinth, MS
Sutherland, Robert Claude**, Louisville
Thomas, Deborah J.**, Grotton, NY
Thompson, Richard Lee**, Milton
Toll, William Ervin**, Hartford, IN
Toney, Steven Ray**, Benton
Tran, Hiep Dinh**, Paris, France
Utomo, Herry Sutjahjo**, Bengkulu
Wells, Lawrence Daniel**, Lexington
Wethington, Carol L.**, Syracuse, NY
Widjajanti, Palupi**, Indonesia
Wiglesworth, Martin Dale**, Cynthia
Williams, Micah Timothy**, Elizabethtown
Wilson, William Jeffery**, Henderson
Wise, Mary Fischl**, Columbia
Wolf, Martha Jean Tarpenning**, Calhan, CO
Yang, Ching Hua**
Zhang, Aidong**, Dalian, China
Zhong, Jianhua**, China

Master of Science in Accounting

Gadd, Susan Griffith**, Berea
Hall, Cheryl Anne Farris**, Nicholasville
Lindon, Jeffrey Melvin**, Troy, OH
Qualls, Anath Margaret**, Bristol, TN
Roct, Gwendolyn H.**

Master of Science in Agricultural Engineering

Bottom, John David**, Frankfort
Fiedeldej, Mark Edward**, Aurora, IN

Fogle, Alex Wade**, Owensboro
Herrera, Noel Manalo**, Philippines
Noe, Stephen Raye**, Olmstead

Master of Science in Agriculture

Harsin, Alan Edward**, Carrollton

Master of Science in Biomedical Engineering

Ganesan, Sundaresh**, India
Kingsley, Sundarayya Paulraj**
Martin, Chris Garnard**, Zephyrhills, FL
Sathe, Suhas Anant**, Bombay, India

Master of Science in Chemical Engineering

Deshmukh, Ranjit Ravindra**, Bombay, India
Kumar, Samir**, New Delhi, India
Li, Wen-I**, Taiwan
Sublett, Robin Lynn**, Campbellsville
Williams, Michael Eugene**, Albany

Master of Science in Civil Engineering

Carlberg, Ralph Curt, Jr.**, Muldraugh
Chase, Donald Vincent**, Louisville
Gurram Yoga, Sundara Valli**, India
Latchaw, James Leroy**, Grand Junction, CO
Lee, Joung Sun**, Seoul, Korea
Mossbarger, William E.**, Lexington
Sarino**, Indonesia
Schaefer, John Louis**, Lexington
Terrell, Laura Burke**, Paducah
Tjhang, Tjien Gien**, Indonesia

Master of Science in Clinical Nutrition

Cunnup, Laurel Jane**, Lexington
Krauhlik, Karen Elaine**, Trafford, PA
McAdams, Traci Renee**, Dundee

Master of Science in Education

Allen, Gregory Keith**, Pikeville
Askins, Nancy Susan**, Brandenburg
Baker, Vaunette L.**, Whitesburg
Billings, Debra Daniel**, Troutville, VA
Brannock, Amy Lynn Aulick**, Paris
Burchett, Barbara Ann**, Paintsville
Clark, Diana Gaye**, Lexington
Danner, Deborah Duncan**, Beckley, WV
Daugherty, Catherine Anne**, Falmouth
Estes, Janet Parker**, Norman, OK
Ford, Holly R.**, Lexington
George, Cindy L.**, Fredrick, MD
Gleason, Marianne Faltz**, New York City, NY
Hahn, Judith K.**, Walton
Henson, Jennifer Sutherland**, Shelbyville
Jennings, Rebecca Lynn**, Spokane, WA
Kanchanadul, Apiradi**, BBK, Thailand
Minto, Mary Jane**, Russellville
Nagai, Masako**, Toyota, Japan
Paige, Kathy Rosezell**, Munfordville
Pfetzer, Bruce Allen**, Villa Hills
Reber, David Ray**, Paducah
Russell, Thomas Hall**, Lawrence, KS
Sandknop, Patricia Ann**, Radcliff
Smith, Patricia Clancy**, Corbin
Twyman, Janet Sue**, Radcliff
Wilbers, Janice Duncan Sharpe**, Georgetown
Xaba, Mantijane Babani**

Master of Science in Electrical Engineering

Allen, Elaine Byrd**, Fulton
Bijoor, Manoj Mohan**, Bombay, India
Bowles, Betty Ann**, Hopkinsville
Buckles, John David**, Chrisney, IN
Burks, Thomas Francis**
Day, Terry Dean**, Whitesburg
Deaibes, Jean Milad**, Beirut
Goud, Sanem Naveen**, Hyderabad
Horr, Hossein**, Lexington
Jackson, Charles Jerald**, Lexington
Louis, Georges Mansour**, Lebanon
Mastromarino, John**, Crofton
Maudgalya, Venkatesh S.**, India
Moses, James Curtis**, Lexington
Mullins, Roland Dyché**, Mt. Vernon
Pasvmarthi, Shekar**, Vizag, India
Pulito, Brian Lee**, Lexington
Smith, Michael Lee**, Campbellsville
Sura, Rajendra Madan**, Pune, India
Sutherland, William Thomas, Jr.**, Lexington
Vu, Hanh Duc**, Hyattsville, MD
Woodsley, Joe Morris, Jr.**, Hopkinsville
Yauwanta, Anto Sunsetna**, Indonesia

Master of Science in Engineering Mechanics

Bhattacharya, Shubho**, Calcutta, India
Eldridge, Kevin Franklin**, Morehead
Tang, Wenliang**

Master of Science in Family Studies

Chafin, Sonja Gale King**, Louisville
Hardin, Cynthia Jane**, Lexington
Honeycutt, Pamela**, Whitesburg
Jones, Susan Claire**, Lexington
Rodgers, Marilyn S.**, Richmond
Talley, Sheila H.**, Frankfort

Master of Science in Forestry

Bonney, Stephen Alan**, Hartford, CT
Liu, Yan**, P. R. China
Mauro, Richard Joseph**, Hollidaysburg, PA
Overstreet, John C.**, Junction City
Triquet, Alexis Maurice**, Belgium
Wehner, Rita Renee**, Madison, IN

Master of Science in Library Science

Ahmann, Cynthia Jane**, Richmond
Anderson, Christine Dow**, Simpsonville
Bearden, Richard Glendon**, Lexington
Bellingham, Roger Gerry**, Cincinnati, OH
Bratcher, Annaliese Michaelé**, Lexington
Davidson, Anna Jennifer**, Pineville
DeBord, William Joseph**, Ashland
Durrett, Walter Lawrence, Jr.**, Laguna Hills, CA
Forsyth, Melissa MacQuown**, Lexington
Fuller, Paul Eugene, Jr.**, Chattanooga, TN
Gilbert, Elizabeth Walker**, Berea
Hammond, Mary Lou**, Versailles
Hoffman, Evalee Ann**, South Newport
Hosea, Addison III**, Versailles
Hoskins, Kelle Emmons**, Bethel
Jenkins, Marilyn Arlene**, Lexington
Johnson, Raymond Wayne**, Lexington
Moeller, Jane Berger**, Cincinnati, OH
Perry, Martha Reed**, Frankfort

Ramey, Carla Rachel**, Grayson
Rivera, Ralph W.**
Samano, Colette Marie**, Covington
Scott, Nancy Cook**
Sizemore, Stacy Alan**, London
Stamper, Louise Renée**, Jackson
Throckmorton, Brian Edward**, Richmond, VA
Wilkins, Cary Cochran**, Greenville, SC
Woelfel, Melissa Gaines**, Charleston, WV

**Master of Science in Materials
Science and Engineering**

Lee, Hsien-Ming**, Taiwan, R.O.C.

Master of Science in Mechanical Engineering

Bush, Michael D.**, Grayson
Hollins, Mark Lee**, Sandy Hook
Kreitz, Paul Brooks**, Winchester
Lawless, Jeffrey Bryan**, Huntsville
Shadoan, Michael David**, Richmond

**Master of Science in Metallurgical
Engineering and Materials Science**

Ko, Yeonkyu**, Korea

Master of Science in Mining Engineering

Hinkle, David Eugene**, Richwood, WV

Master of Science in Nursing

Arcury, Colleen Buttermore**, Lexington
Behan, Maria Bernadette**, Villa Hills
Butler, Margaret Marie**, Point Pleasant, WV
Carpenter, Alicia Dick**, Mt. Vernon
Dutton, Karen Jean Adams**, Brodhead
Eggert, Kathleen Theresa**, San Diego, CA
Evans, Patricia Hays**, Lexington
Giauque, Kathleen Ann**, Fredericktown, OH
Greenlese, Susan Melinda**, North Syracuse, NY
Howard, Sharon Ann Geoghegan**, Bardstown
Reibling, Kimberly Beth**, Lexington
Roper, Kathleen Thomas**, Lexington
Shearer, Susan McLean**, Somerset
Stuchlak, Pamela Joanne**, Independence, OH
Tabor, Vicki Lynne**, Ashland

Master of Science in Public Health

Sauer, Karen Ann**, Lexington

Master of Science in Radiological Medical Physics

Chan, Hoi-Young**, Hong Kong
Martin, Joyce Lipps**, Stamping Ground
Owsley, Bethany Lynn**, Elizabethtown
Plunkett, Marianne Elizabeth Eileen**, Brookfield, MA

Master of Social Work

Atchley, Judith Elaine**, Milford, OH
Baier, Ronald J.**, Cincinnati, OH
Bivens, Colleen Modena**, Somerset
Burger, Stephanie Kennedy**, Prestonsburg
Cecil, Susan Gaye Coomes**, Owensboro
Clevenger, Lana**, Morehead
Cornelison, Jane Ann Borders**, Paintsville
Crowder, Joseph Terrell**, McLean, VA
Dotson, Peggy Jean**, Norton, VA

Dozer, Robin Kay**, Milwaukee, WI
Feagan, Jean Nelson**, Midway
Freshley, Miriam Ann**, Cincinnati, OH
Gildersleeve, Marion A.**, Hudson, OH
Goble, Billie Jean Post**, Langley
Hackett, Debra Blythe**, Bowling Green
Haines, Judith Mary Schafer**, Cincinnati, OH
Hall, Jean Swint**, Lexington
Harvel, Lynne**, Lexington
Honschopp, Christy Ann**, Cincinnati, OH
Hull, Jennie B.**, Lexington
Isaac, Sandra Hall**, Topmost
Kissel, Carol Marie**, Bowling Green
Knight, Claire Renée Jarvis**, Manchester
Lambert, Kathy Yvonne Sharp**, Nicholasville
Lindsay, Patrick Alan**, Paris
Martin, Lee R., Jr.**, Roanoke, VA
Otis, Melanie Darlene**, Lexington
Patrick, Bonnie**, Lexington
Perry-Drake, Jackie S.**, Cincinnati, OH
Prinz, Peter Otto**, Cincinnati, OH
Ramos, Michael T.**, Youngstown, OH
Ratliff, Mary Katherine Jones**, Owingsville
Reed, Velva Sue**, Lexington
Sample, Cecily Mitsuyo**, Carbondale, IL
Schmidt, Leesa M.**, Boca Raton, FL
Sharpe, James R.**, Georgetown
Smith, Kelly Lyn**, Villa Hills
Stelzie, Douglas Charles**, Cincinnati, OH
Stryker, Barbara Anne Campbell**, Lexington
Trevino, Maria Elizabeth**, Louisville
Winn, Lea Elaine**, Cincinnati, OH
Woltermann, Melinda Ann**, Cincinnati, OH

College of Law

Dean: Rutheford B. Campbell, Jr.

Juris Doctor

Adams, Margaret Carolyn**, Hyden
Blackburn, Gregory Allen**, McCarr
Davis, Mark Lindell**, Paducah
Price, Kimberly Sue Hunt**, Owingsville
Weeks, Pamela Lynn**, West Palm Beach, FL
Zachary, Amealia Rakestraw**, Slaughters

College of Medicine

Dean: Emery A. Wilson, M.D.

Doctor of Medicine

Oxnard, Lida Miller**, Lexington

College of Arts and Sciences

Dean: Michael A. Baer

Bachelor of Arts

Accord, Kari Louise**, Spencerville, OH
Alexander, Thomas Richard II**, Glasgow
Amlung, Jennifer Anne**, Louisville
Annes, Adam Kent**, Harrodsburg
Annis, Philip Christopher**, Lexington
Arnold, Daren Guy**, Dawson Spring
Asuncion, Edwin Verayo**, Ft. Mitchell

Bach, Frederick William**, Lexington
 Baker, Laura Dudley**, Louisville
 Barnett, Randall Scott**, Lewisport
 Bidwell, Linda Ann**, Murray
 Blair, Eddie L.**, Staffordsville
 Boggs, Karla Diane**, Falmouth
 Botkin, Carol Jean**, Lexington
 Bowen, Jennifer A.**, Lexington
 Brandes, Amy Lauren**, Louisville
 Brashear Alejandro, Thomas Georg**, Hazard
 Brookbank, Laura Beth**, Lexington
 Brown, Michael Anthony**, Guston
 Bush, Dawn Michelle**, Bowling Green
 Carlson, Antoinette Louise**, Lexington
 Cassidy, Hellen Brendlinger**, Radcliff
 Castle, Candace DeLora**, Berea
 Catron, Gregory Wayne**, Berea
 Chamberlin, John Richard**, Lancaster
 Clayton, Elizabeth Tyre**, Lexington
 Clayton, Richard Reid, Jr.**, Lexington
 Cole, Bruce Rankin**, Lexington
 Cole, John LaMar**, Lexington
 Collins, Denise Bates**, Lexington
 Cothron, James Thomas**, Somerset
 Crase, James Karl**, Somerset
 Critchelow, Troy Shawn**, Rineyville
 Crush, Lynne Marie**, Louisville
 Dathorne, Alexander Keith**, Nicholasville
 Day, Susan Elaine**, Elizabethtown
 DeAngelis, Eliana Maria**, Lexington
 Derrett, Jackie L.**, Meade County
 Dickson, Jo Ann**, Ashland
 Dimon, Linda Joan**, Derby, CT
 DiDrio, Victor Joseph III**, Louisville
 Donahue, Gisela Mauras**, Lexington
 Drake, Karen Lynn**, Lexington
 Duncan, Lori Lynn**, Somerset
 Durkin, Rebecca S.**, Lexington
 Dyer, Anthony Gerald**, Mt. Sterling
 East, Robin S.**, Nicholasville
 Elder, Christine Ann**, Glasgow
 Enright, Carol Hemesath**, Lexington
 Farley, Diane Elizabeth**, Lexington
 Farmer, Darryl Wayne**, Vine Grove
 Farmer, Elizabeth Ellen**, Paris
 Feezor, Sarah Elizabeth**, Marion, IL
 Finley, Adrana R.**, Louisville
 Fraley, Alice A.**, Winchester
 Frank, Virginia H.**, Lexington
 Fulmer, David Alexander**, Cincinnati, OH
 Gay, Michael Davis**, Paris
 Gibson, Jennifer Ann**, Henderson
 Gillespie, Clarita Kay**, Falmouth
 Gillham, Teresa Weatherford**, Darlington, SC
 Glaser, Mary Angela**, Lexington
 Gleeson, Mary Jean**, Park Hills
 Graham, Lynne Margaret**, Charleston, WV
 Gray, Robin Rena Price**, Martin County
 Griffin, Richard D.**, Danville
 Hamilton, Lisa Louise**, Barboursville
 Hammack, Mary Kay**, Harrodsburg
 Hayden, David Bryan**, Louisville
 Hays, Andrea Moore**, Lexington
 Higgins, Melinda Anne**, Lexington
 Hohman, Richard Benedict**, Louisville
 Honchell, Robyn Cherae**, London
 Horton, Darren Scott**, Lexington
 Hughes, Anthony Keith**, Lexington
 Hughes, Elizabeth J.**, Lexington
 Jenkins-Murdock, Shirley**, Cincinnati, OH
 Jennings, Mark Thomas**, Louisville
 Johnson, Debra Martin**
 Johnson, Rosalyn Kaye**, Versailles
 Johnstone, Sara Elizabeth**, Harrodsburg
 Kane, Timothy Elwin**, Aurora, IL
 Keil, Carol Renée**, Lexington
 Kessinger, Liza Walters**, Munfordville
 Kinstle, Robert Andrew**, Lexington
 Kiser, Marina Yvette**, Lexington
 Klimesh, Wenceslaus Elmer**, Radcliff
 Ledford, Christopher Craig**, Louisville
 Lewis, Chester DeLynn**, Keavy
 Livingston, Melissa Leigh**, St. Paul, VA
 Longmeyer, Timothy M.**, Louisville
 Lowe, Cynthia Kay**, Louisville
 Masters, Sherril Elizabeth**, Elizabethtown
 May, Donna Marie**, Louisville
 McCulloch, Anita Eileen**, Louisville
 McEllistrem, Mary Rebecca**, Lexington
 McGeehan, Tracy Christine**, Lancaster, PA
 McKinney, Sydney Freeman**, Lexington
 Meade, Jeffery Allen**, Pikeville
 Mitchell, Nancy Ann Rasmussen**, Elizabethtown
 Moosey, Timothy William**, Canton, OH
 Motley, Susan Kelly**, Lexington
 Murphy, Keith Todd**, Stamping Ground
 Myers, Mark Robert**, Bloomington, IN
 Newby, Teresa Anita**, Lexington
 Nordin, Michelle Renee**, Lexington
 Nyland, Kirby Lee**, Lexington
 Osborne, Anthony John**, Danville
 Parekh, Minal Manhar**, Lexington
 Peck, Michelle Lynn**, Richmond
 Petrey, Paul Harmon III**, Louisville
 Pipes, Diane Lou**, Ft. Mitchell
 Poole, Stephanie Paige**, Lexington
 Pramuk, Mary Theresa**, Lexington
 Prather, Shannon Kathryn**, Louisville
 Pressnell, Elizabeth Alice**, Harlan
 Preston, James Micheal**, Louisville
 Richard, David Theodore**, East Lansing, MI
 Ramage, Gerald Reid**, Paducah
 Raybourn, Pamela Renée**, Ashland
 Reichert, Eric**, Bowling Green, OH
 Rhone, Eddie L.**, Radcliff
 Richmond, Robin Lynn**, Jackson
 Riechter, Susan M.**, Radcliff
 Roach, Gene Thomas, Jr.**, Frankfort
 Robichaux, Cynthia Claire**, Lexington
 Rohleder, Lisa Marie**, Smithfield
 Rylee, Kenneth Eugene, Jr.**, Richardson, TX
 Sayre, Alix Armande**
 Schmidt, Stephen William**, Ft. Mitchell
 Shaaban, Victoria Osborne**, Louisville
 Shea, Stephen Francis**, Cincinnati, OH
 Skaggs, Richard E.**, Owensboro
 Slagle, Gregory Allen**, Ft. Mitchell
 Smiley, David Jones**, Lexington
 Smith, William Lee**, Pewee Valley
 Smythe, Rodman Moorhead**, Louisville
 Spear, Steven Noel**, Elizabethtown
 Stanley, Charles Haydon II**, Frankfort
 Stroud, Jerry Edward**, Elizabethtown
 Taylor, Cheryl A. Stephens**, Whitley City
 Thomas, Jeanne Patricia**, Pikeville
 Tucker, Tina Nolan**, Lexington
 VanZant, David Lee, Jr.**, Elizabethtown
 Vincent, Jonathan Clayton**, Greenville
 Weaver, Cynthia M.**, Elizabethtown
 Webb, Aletha**, Paintsville
 Webb, Lynn Scott**, Lexington
 White, Keith Christopher**, Hightstown, NJ
 Widener, Joseph Cabeli**, Sheridan, WY
 Williams, Jeff Trent**, Lexington

Woosley, Kirk Douglas**, Paris
Workman, Michael Arron**, Crofton
Wright, Kevin Stewart**, New Orleans, LA
Yates, Carmella Pasqualini**, Lexington
Yazdanian, Reza**, Tehran, Iran

Bachelor of General Studies

Bright, John Newman**, Stanford
Combs, Asher Alvis**, Pineville
Ellis, Betty Campbell**, Lexington
Fohr, Glenn Kerk**, Phillipsburg, NJ
Gaunce, Clay S., Jr.**, Lexington
Joseph, Paul Maurice**, Lexington
Martin, Velda Dillon Pulliam**, Cynthiana
Massey, Anthony "Tony" C.**, Somerset
McAnelly, David Craig**, Liberty
Scott, Timmy W.**, Paducah
Scroggs, Randy Alan**, Elizabethtown
Stivers, Carla Nicole**, Anderson
Wallace, Robert T.**, Georgetown

Bachelor of Science

Bell, Benjamin Street, Jr.**, Orlando, FL
Blair, Mary M.**, Inez
Blevins, Bonnie Jean**, Webbville
Boyd, Michael Edwin**, Barbourville
Boyd, William Timothy**, Lexington
Brooks, Andrae E.**, Radcliff
Brown, Donald David**, Paducah
Brown, Evan Mitchell**, London
Butler, Blair Davis**, Louisville
Byers, Jill Laurant**, Louisville
Collings, Gerald Clayton**, Greensburg
Conley, Eileen Lynn**, Grand Rivers
Cool, Kathy Caroline**, Elkhorn City
Crowe, Patricia Ann**, Lexington
Curry, Sabrina Gail**, Wheelwright
Edwards, Vince L.**, Owensboro
Eldridge, Bryan Donald**, Ashland
Estes, Gregory Scott**, Elizabethtown
Ferris, Lisa Michelle**, Lebanon
Gaines, Edith K.**
Gallagher, Salli Elizabeth Playforth**, Lexington
Gilliam, Charles Keith**, Bowling Green
Greer, Betty Anne**, Eminence
Hamzah, Amir**, Indonesia
Haverkost, Gerald Todd**, Hopkinsville
Hilbrant, Lisa Marie Berry**, Lexington
Hornback, Connie Renee**, Upton
Howard, Timothy Lorn**, Owensboro
Jackson, David Aloysius**, Lexington
Johnson, Benny Garwin**, Russell Springs
Johnson, Mark Lenn**, Lexington
McGaha, Gerald Scott**, Lexington
McGillivray, James Alan**, Detroit, MI
Mobley, Brian Scott**, Ashland
Mock, Steven Ray**, Hopkinsville
Mohapatra, Sangram**, Frankfort
Morris, David Wayne**, Louisville
Nichols, Stuart Dale**, East View
Nold, Robert Louis**, Louisville
Patel, Ajay Shantilal**, Lexington
Poplewell, Melissa Mullins**, Jamestown
Pribadi, Didik Suwito**
Prince, Patrick Keller**, Louisville
Schroering, Allen**, Lexington
Shewmaker, Diane Carrico**, Springfield
Smith, Gregory Scott**, Frankfort
Stewart, Nathan Ward**, Pewee Valley
Styer, Scot Eric**, Lexington

Syabri, Ibnu**, Indonesia
Thomas, Tarita Jean**, Maysville
Tittie, James Eugene II**, Louisville
Weeratunga, Sumedha Priyani**, Sri Lanka
Yonts, Mark Edward**, Lexington
Zimmer, James Marshall**, Lexington

College of Agriculture

Dean: C. Oran Little

Bachelor of Science in Agriculture

Baker, Brian Keith**, Lexington
Beckett, Tracy**, Oxford, OH
Belcher, Patrick Shane**, Madisonville
Bellar, Elvis L., Jr.**, Guthrie
Buckner, Martha Huston**, Lexington
Combs, Joy Michele**, Walton
Cramer, Grace Elizabeth**, Lexington
DeZarn, Yvonne Carole**, Dry Ridge
Dunkelberger, Christopher Lee**, Somerville, OH
Goodin, Thomas Abeill**, Lebanon
Harvey, Keith Elliott**, Nicholasville
Hite, Daniel Justin**, Bardstown
Hoffman, Chad S.**, Colfax, IL
Johanson, Kristine M.**, Louisville
Kaftani, Dimitra**, Greece
Kuegel, John L., Jr.**, Owensboro
Leuschner, Erik John**, Blacksburg, VA
Levitch, Darrel Wayne**, Owensboro
Livingston, Wendy Barkow**, Dry Ridge
Merrick, Deborah Lee**, Somerset
Millikan, Jack Wade**, Eddyville
Mogge, Wayne Dennis**, Paris
Payne, Randall Glen**, Somerset
Pitman, Brenda Lee**, Lansdale, PA
Poindexter, Vanessa Kay**, Barksesville
Revlett, David H.**, Calhoun
Short, Debra K.**, Louisville
Smith, Glenn Thomas, Jr.**, Nicholasville
Tisi, Cristen Joy**, Titusville, PA
Trimble, Joey McKinley**, Somerset
Walton, Melissa Bethel**, Henderson
Workman, W. Thad**, Dry Ridge

Bachelor of Science in Food Science

Herndon, David A.**, Mt. Eden
Ossege, Douglas Edward**, Ft. Thomas
Salazar, Jairo Antonio**, Lexington

Bachelor of Science in Forestry

Kline, James R.**, Pittsburgh, PA
Sparks, Dirk Edward**, Ashland

Bachelor of Science in Landscape Architecture

Griscom, Susanne**, Washington Crossing, PA
Jeffers, Harold B. Jr.**, Frankfort
Lykins, Leigh Ann**, Ashland
McMackin, Richard W.**, Lexington
Tuley, Aaron James**, Arnold, MD

College of Engineering

Acting Dean: Vincent P. Drnevich

Bachelor of Science in Agricultural Engineering

Amper, Christopher William**, Highland Heights

Kehrt, Joseph Marshall III**, Glasgow
Lawson, John K.**, Williamsburg
Schadler, James K.**, Alexandria

Bachelor of Science in Chemical Engineering

Hosman, William Gerald**, Sturgis
Korona, Thomas G.**, Cincinnati, OH
Wathen, Christopher Paul**, Louisville

Bachelor of Science in Civil Engineering

Ballerstedt, Andrew Carl**, Louisville
Barnhill, Keith D.**, Grayson
Blair, Bret A.**, Frankfort
Bryant, Bart B.**, Mariba
Budiono, Domitianus Sabar**, Indonesia
Clouse, Thomas Lee**, Somerset
Crutcher, Damon Lee**, Lexington
Futscher, Jon Andrew**, Cold Springs
Gabrial, Andes**, Indonesia
Gallenstein, Paul C.**, Crestview Hills
Hassell, Perry Neal**, Paducah
Holcomb, Joel Robert**, London
Hudijana, Deny Herjanto**, Bandung, Indonesia
Johnson, Wade Wilson**, Ashland
Lumoindong, Sambow E.**, Semarang, Indonesia
Melton, Burl E.**, Eubank
Nichols, Michael Dwayne**, Paris
Schepers, Joseph Gerard**, Whitesville
Sears, Keith Bryan**, Somerset
Sparks, William Michael**, Pikeville
Supriyadi, Iwan**, Jakarta, Indonesia

Bachelor of Science in Electrical Engineering

Aaron, Steven David**, Nicholasville
Ashley, Jeffrey, Jr.**, Versailles
Banks, David Eugene**, Lexington
Burns, Brian Douglas**, Harrodsburg
Caudill, Clayton Phillips**, Lexington
Crowe, Michael Darren**, Walton
Dickey, James Matthew**, Huntington, WV
Durman, Michael Ryan**, Eubank
Fleming, Robert Andrew**, Benton
Ham, Robert E.**, Benton
Hurd, Jeffrey Thomas**, Nortonville
Jones, Denna Kristine**, Bowling Green
Keller, James J.**, Schenectady, NY
Knox, Craig J.**, Stanton
Lear, Jeffrey G.**, Bardstown
Lemaster, Donald Edward**, Ashland
Maher, Edward William**, Springfield
Niehaus, Patrick John**, Crestview Hills
Nold, Craig Magee**, Oldham County
Prigge, David Edward**, Versailles
Richardson, David Scott**, Louisville
Richardson, Robert F., Jr.**, Russell Springs
Roederer, David A.**, Frankfort
Volpert, Jeffrey Dean**, Frankfort
Yount, Evan Mason**, Shelbyville

Bachelor of Science in Materials Engineering

Dunville, Bryan Tyler**, Onton
Gambill, Kevin Thomas**, Louisville
Tichenor, Mark A.**, Benton

Bachelor of Science in Mechanical Engineering

Askren, Benjamin A.**, LaGrange
Badgett, Roger Thomas**, Lebanon

Campbell, Kenneth Scott**, Lexington
Carr, Jack Carlton**, Villa Hills
Carver, John Robert**, Bethel, OH
Cetrulo, Marc Edward**, Ft. Thomas
Cloyd, Russell Milton**, Shelbyville
Crayne, Donald L., Jr.**, Paducah
Duong, Hao Vinh**, Lexington
Fathi, Lovai Abdullah**, Saudi Arabia
Fawcett, Stephen Thomas**, Lexington
Gilliam, Steven Dale**, Lexington
Hassanpour, Esmail Tolou**, Iran
Jones, Brent Allen**, Paducah
Lackey, Jeffrey Scott**, Lexington
Poplin, Paul Andrew**, Lexington
Price, Jeffrey Lloyd**
Roshdieh, Niloofar**
Sheffer, John Dale**, Morganfield
Steverson, Ernest Todd**, Pleasureville
Stice, James Andrew**, LaGrange
Taylor, Terry Wayne, Jr.**, Corbin
Thompson, Chad Alan**, Lexington
Vest, Daniel Jeffery**, Frankfort
Wheeler, James Allen**, Huntington, WV
White, David Howard**, Huntington, WV
Williamson, Randal Scott**, Crestwood

Bachelor of Science in Metallurgical Engineering

Collier, Kathy A.**, Greenup
Hall, Matthew Carlton**, Calvert City
Young, Gary Steven**, Worthington

Bachelor of Science in Mining Engineering

Ferrell, Eugene Duffy**, Sidney
McCown, Richard Darrell**, Hueysville
Newsome, Randy Allen**, Pikeville

College of Education

Dean: Edgar L. Sagan

Bachelor of Arts in Education

Appelman, Marjorie Sharp**, Maysville
Arnold, Juliet T.**, Lexington
Beasley, Krista Kay**, Ferguson
Bevins, Kimberly Frances**, Phyllis
Bigbee, Anthony Wayne**, Russellville
Bradley, Deborah Conseen**, Georgetown
Brandenburg, Gina Louise**, Lexington
Bruening, Nancy Kathleen**, Corbin
Cates, Kimberly Gail**, Radcliff
Cecil, Kelly Mitchell**, Prestonsburg
Charnas, Heather Michelle**, Louisville
Colburn, Margaret Ann**, Hopkinsville
Cole, Sylvia Ann**, Henderson
Combest, Yvonne Scott**, Lexington
Cox, Laura Elizabeth**, Louisville
Creekmore, Luci Kay**, Lexington
Criswell, Denise Ann**, Monticello
Darst, Melisa Ann**, Louisville
Davis, William Robert**, Paris
DeFoor, John B.**, Lexington
Dix, A. Michelle**, Campbellsville
Doutaz, Dorothy L.**, Greensburg
Farms, Shannon Autumn**, Louisville
Foster, Karen Diane**, Lexington
Foushee, Aimee Jo**, Crestwood
Foxworthy, Sandra Fugett**, Lexington
Goode, Melanie D.**, Lexington
Goodin, Susan LeNette**, Elizabethtown

Greene, Stacy Susan**, Ashland
Hall, Barbara**, Lexington
Hart, Jennie Leigh**, Corbin
Hawkins, Kimberly Rae**, Nicholasville
Hewlett, Beth Anne**, Lexington
Hirtzer, Lisa Jane**, Paducah
Hofmann, Lisa Marie**, Covington
Horne, Deanne Lee**, Findlay, OH
Hunter, Paul Douglas, Jr.**, Naperville, IL
Hunter, Ronda M.**, Lexington
Joiner, Mary Beth**, Pembroke
Kaiser, Birgit L.**, Hazard
Kidder, Jeffrey Fitzgerald**, Vine Grove
Lewis, Sarah Paulk**, Lexington
Logan, Deborah Lynn**, Henderson
Lykins, Jeff C.**, Louisville
Miller, Carl Brian**, Hardinsburg
Miller, Judith Erle**
Mills, Tonya Lea**, Lovely
Mitchell, Catherine L.**, Owensboro
Moore, Kimberly Ann**, Louisville
Moore, Michelle Suzanne**, Ashland
Mouser, Paula L.**, Elizabethtown
Munari, Elizabeth Ann**, Lexington
Overstreet, Shannon Anne Richard**, Paducah
Owen, Michael Andrew**, Lexington
Parks, Shannon Ruth**, Memphis, TN
Parnley, Gordon Elvis**, Monticello
Pearman, Daniel Gregory**, Elizabethtown
Price, Patricia Pewitt**, Frankfort
Reeves, Michael H.**, Albany
Remark, Michele J.**, Sellersburg, IN
Rice, Tina Bradshaw**, Danville
Richardson, Mary Lovelace**, Nashville, TN
Roberts, Karla Sue**, Bledsoe
Sanford, Kimberly Dawn**, Ashland
Scahill, Thomas Anthony**, Maysville
Snelling, Alice Denise**, Elsmere
Stewart, Donna Dale-Lee**, Ashland
Tobergte, Sandra Ann**, Independence
Underwood, Jill Christine**, Columbus, OH
Vice, Carolyn Jane Lyons**, Stamping Ground
Way, Wesley Clifford**, Science Hill
Weldon, Joanne Christine**, Lexington
Wesley, Sharron Ann**, Bethelridge
Whalen, Julianne**, Park Hills
Young, Gina Lee**, Burlington

College of Business and Economics

Dean: Richard W. Furst

Bachelor of Business Administration

Abell, Ronald Jesse**, Owensboro
Amburgey, Jack L. II**, Hazard
Anderson, Carol Emrich**, Louisville
Beckman, Theodore David**, Cleveland, OH
Berkley, William Lawrence**, Mt. Sterling
Blandford, Karen Marie**, Owensboro
Bowe, Christopher Scott**, Westerville, OH
Burns, Thomas Sullivan, Jr.**, Corbin
Burrow, Grant E.**, Radcliff
Cabble, James Edward, Jr.**, Ashland
Caldwell, Thomas Edward**, Lexington
Carpenter, Kevin David**, Maysville
Case, Gregory Allen**, Alexandria
Cathers, David William**, Corbin
Clarkson, Michael Thomas**, Lexington
Clinard, Kelly Anne**, Bardstown
Coe, Michael Shane**, Florence
Conley, Dona Mary**, Bardstown

Corn, William Jeffrey**, Louisville
Cox, Glenn Bruce**, Somerset
Cox, Patrick B.**, Lexington
Craig, Eric Henry**, Frankfort
Crowe, Marsha Anita**, Elizabethtown
Dannenberg, Jeffrey Carl**, Cincinnati, OH
Davidson, Michael W.**, Somerset
Doll, David Darwin, Jr.**, Louisville
Dudding, David Alan**, Louisville
Duennes, Danielle Marie**, Independence
Duncan, Dawn Elizabeth**, Lexington
Edgington, Christopher Joseph**, Covington
Elliott, Evan Lynn**, Amarillo, TX
Elsea, Linda Ann**, Lexington
Emmick, Mary Margaret**, Lewisport
Fentress, Danita Marie**, Manchester
Ferguson, David E.**, Fisherville
Ferrell, Karen Rena**, Danville
Flesch, Stephen Jerome**, Edgewood
Flynn, William Louis**, Harrodsburg
Ford, Theodore R.**, Murfreesboro, TN
Franklin, Robert James**, Lexington
Fredricks, Elizabeth A.**, Appleton, WI
Freeman, Steven Keller**, Lexington
Friedman, Craig Joel**, Louisville
Furlong, Thomas P.**, Louisville
Ginnan, Jeffrey David**, Beattyville
Godby, Karen Michelle**, Lawrenceburg
Graham, Laurence Donovan**, Lexington
Graves, Robert Gerard**, Louisville
Green, Jerry Terrell**, Salyersville
Griffey, Wendy Kay**, Willisburg
Halcomb, Melissa**, Partridge
Hall, Barbara Joyce**, Winchester
Hall, Vernon Gale**, Lexington
Harpe, Deborah J.**, Louisville
Harren, Nicholas Mark**, Louisville
Hazen, Alicia Ann**, Cumberland
Hedger, Sarah Dee**, Lexington
Helton, James Carter, Jr.**, Pineville
Hensley, William Alton**, Corbin
Hester, Roger Lewis**, Lexington
Hobbs, Kenneth R.**, Ross, OH
Holt, Robert Louis**, Greenville
Hopewell, Chet Matthew**, Owensboro
Hopper, Sally Louise**, Barbourville
Hughes, Samuel R.**, Somerset
Hunt, Caroline Jane**, Lexington
Hunt, Salena Forsyth**, Nicholasville
Jones, Christopher Faulkner**, Bowling Green
Jones, Gary Wayne**, Manchester
Jones, Richard Darrell**, Lexington
Kehoe, Kathleen Renee**, Danville
Kirk, Amy Marlowe**, Philpot
Knox, Richard Michael**, Louisville
Kroboth, Frances Elizabeth**, Lexington
Larch, Charles Jason**, Louisville
Lindsey, Mark William**, Newport
Littleton, Scott R.**, Lexington
Liu, Zheng**, Shanghai, China
Maguire, Michael Daniel, Jr.**, Louisville
Mahle, Robert John**, Lexington
Marionneaux, Dana Barnett**, Lexington
Mason, John Edward III**, Lexington
Mayes, William Isaiah**, Springfield
McBee, Wendolyn Sue**, Frankfort
McDaniel, Everett S., Jr.**, Honolulu, HI
McKean, John Andrew**, Lexington
McKenzie, James T.**, Lexington
McMahan, Thomas Ronald II**, Campbellsville
Medley, Carol Lynn**, Elizabethtown
Messner, Thomas Alan**, Lexington

Miller, James Mather**, Lexington
 Miller, Kimberly Dawn**, Ashland
 Miller, Kirk A.***, Lexington
 Mink, Karen J.***, Georgetown
 Montgomery, Moby Thomas**, Pewee Valley
 Mosier, William Joseph**, Prospect
 Mudd, John Thomas**, Lexington
 Mullins, Stephen Clyde**, Huber Heights, OH
 Norvell, James Daniel, Jr.***, Corbin
 O'Donnell, Harold David**, Lexington
 Onkst, Mitzi Anita**, London
 Panther, Phillip O'Brien**, Louisville
 Pawlak, Paige Elizabeth**, Rockville, MD
 Payne, Teresa Lynn**, Owensboro
 Phillips, Leann M. Whitley**, Versailles
 Pisacrita, John Brian**, Louisville
 Pohlabein, Susan N.***, Ft. Wright
 Poore, Richard Mansfield**, Florence
 Pounds, Frank D.***, Lexington
 Powell, Jamie Leigh Hedrick**, Lexington
 Powers, Carrie S.***, Elizabethtown
 Proffitt, Blake L.***, Vine Grove
 Pulliam, Cindy Price**, Paris
 Quammen, Michael John**, Lexington
 Ransom, LaChele Marie**, Lexington
 Ratzlaff, Anne Laurence**, Atlanta, GA
 Reed, Malicia R.***, Versailles
 Rice, Raymond Brian**, Lexington
 Richardson, David Michael**, Louisville
 Rougeux, Thomas Charles**, Cincinnati, OH
 Rowe, Jeffrey Glen**, Pikeville
 Sandknop, Jeff Scott**, Radcliff
 Schiman, David Wayne**, Villa Hills
 Schlegel, Earl Joseph**, Louisville
 Setser, Karla Elayne**, Lawrenceburg
 Shoffner, Susan Kelly**, Elizabethtown
 Sizemore, Sandra Renae**, Harlan
 Smith, David Scott**, Columbus, OH
 Smith, Robert Wayne**, Lexington
 Staton, Angela Sue**, Franklin Furnace, OH
 Steigerwald, Patrick James**, Lexington
 Stich, Paul J.***, Louisville
 Stratton, Ashley Warren**, Lawrenceburg
 Sullivan, John Brendan**, Frankfort
 Sumner, David W.***, Louisville
 Tackett, James Michael**, Bardwell
 Taylor, Jim D.***, Lexington
 Taylor, Thomas Edward**, Louisville
 Thieneman, Michael Joseph**, Louisville
 Tolerton, William Terry**, Alliance, OH
 Travis, Stephanie Jean**, Frankfort
 Truitt, Todd Edmon**, Calvert City
 Veech, Jeffrey Wayne**, LaGrange
 Wallace, Julie Beth**, Paducah
 Warrenfeltz, Lynn Marie**, Louisville
 Watts, Laura Victoria Duff**, Elizabethtown
 Weatherholt, Judith Atwood**, Hawesville
 Wheeler, Christopher Joe**, LaGrange
 Whitley, Anthony T.***, Campbellsville
 Whitlock, Gregory Allen**, Ashland
 Wilson, Nelda Jean**, Cynthiana
 Wolfenbarger, Gregory Allen**, Dayton, OH
 Wright, Charles Stephen**, Hodgenville

Bachelor of Science in Accounting

Adkins, Robert S.***, Lexington
 Bair, Larry Kevin**, Grayson
 Boswell, Jeffrey Paul**, Lexington
 Buehner, Kevin William**, Lexington
 Carr, Tonya Lynn**, Flatwoods
 Cave, Phillip Scott**, Campbellsville

Chandler, Janet Elizabeth Bowsher**, Winchester
 Clark, Stephanie Leigh**, Lexington
 Conn, Melissa Kaye**, Prestonsburg
 Cowan, Gregory A.***, Nicholasville
 Creech, Jeffrey David**, Corbin
 Crowe, Daniel Joseph**, Park Hills
 Dearfield, Lori Flaughter**, Grayson
 Durbin, Patricia June**, Berea
 Dyer, Scott McClain**, Grayson
 Foster, Jennifer Lynn Jones**, Winchester
 Fuson, Kelda Dyan**, Corbin
 Glenn, Monica Danene**, Guthrie
 Goodin, Marian**, Lebanon
 Gordon, Angela Ruth**, Waddy
 Gross, Clifford Wade**, Versailles
 Haley, Lisa Michelle**, Brooksville
 Hall, Laura Ann**, Lexington
 Hammonds, Patsy**, Prestonsburg
 Harder, Timothy Lee**, Leitchfield
 Harville, Jon Robbin**, Frankfort
 Higgins, Jonathan D.***, Lexington
 Hobbs, David Wayne**, Ekron
 Hurst, Mark DeSpain**, Bloomfield
 Ison, Ronnie Shayne**, West Liberty
 Johnson, Karen Ann**, Barrington, IL
 Jones, Anthony Eric**, Owensboro
 Joshi, Hem L.***, Lexington
 King, Joel Frederick**, Turkey Creek
 Luciano, Ross Anthony**, Mayfield
 Magula, David Paul**, Louisville
 Maharrey, Michael Radford**, Lexington
 McBeath, Mary Elizabeth**, Lexington
 McClain, Todd Roland**, Middletown
 McNabb, Tracy Ann**, Mt. Sterling
 Meiners, Mike D.***, Louisville
 Merkle, Douglas Alan**, Ft. Thomas
 Montgomery, Joseph Allen**, Lebanon
 Munzer, Mark Adam**, Louisville
 Nixon, Christopher Lyn**, Louisville
 Noyes, Shirley Gibson**, Lexington
 Passafiume, Philip Edward**, Lexington
 Pounds, Tamela Carter**, Lexington
 Qualls, Anath Margaret**, Bristol, TN
 Roberts, Edward M.***, Robards
 Robinson, James E.***, Grayson
 Roszman, Christopher Lee**, Lexington
 Rush, Susan Kay**, Louisville
 Russell, Debra Sue**, Lexington
 Schaffer, Scott Lewis**, Harrodsburg
 Scroggin, Bradley Tyler**, Lexington
 Smith, Francis Xavier II**, Bardstown
 Smith, Philip**, Corbin
 Steppe, Brian Robert**, Ft. Thomas
 Stierwalt, Harry Marshall, Jr.***, Sadieville
 Strong, Mark Alan**, Hickman
 Tanner, George Patrick**, Owensboro
 Thompson, Francis B.***, Owensboro
 Thornton, Patricia J.***, Lexington
 Victor, William Stanley**, Lexington
 Watts, Cami Dee**, Edgewood
 Whitaker, Edward Marvin**, Somerset
 Williams, Robert Glenn**, Lexington
 Wiseman, David Alan**, Rineyville
 Wisnicky, Yvonne M.***, Hazard

Bachelor of Science in Business and Economics

Baker, Mark Samuel**, Louisville
 Caldwell, Thomas Edward**, Lexington
 Klosterman, Martha Jo**, Cincinnati, OH
 Ridgwell, Jolyon Christopher Paul**, London, England
 Sloan, Jeffrey Shannon**, Carlisle, PA

Wilson, Celeste Renée**, Lexington
Wombwell, Robert Burkley**, Lexington

College of Pharmacy

Dean: Jordan Cohen

Doctor of Pharmacy

Abplanalp, Lawrence L.**, Madison, IN
Carpenter, Susan F.**, Corbin
Davenport, Mary Louise**, Louisville
Hannah, Rockridge Kent**, Texarkana, AR
Mauzy, Anne Lewis**, Frankfort
McIntyre, Carlene Coy**, Richmond
Murray, Gale Frey**, Georgetown, IN
Reiter, Pamela Dee**, Dubuque, IA

Bachelor of Science in Pharmacy

Bridgeman, Janci Lamar**, Flatwoods
Changchit, Arunee**, Lexington
Coomer, Karen Kaye**, Lexington
Creekmore, Freddy Morris, Jr.**, Lexington
Dickens, George Randall**, Ashland
Fenton, Laura Gerry**, Caruthersville, MO
Gilliam, Michael Shane**, Pikeville
Gillum, J. Gregory**, Hazard
Grimmett, Merry Summers**, Lexington
Harned, Susan Camille**, Brandenburg
Kanyok, Thomas Paul**, Florissant, MO
Kelly, Mary Ione**, Springfield
Larson, Lydia Velazquez**, North Miama Beach, FL
Magnuson, Barbara Lynn**, Owensboro
McMains, Michelle Meyer**, Lake Park, FL
Ohadi, Zahra Afsaneh**, Lexington
Raymond, Claire Helen**, Lexington
Sangha, Kiranpal Singh**, Brampton
Senay, Joseph Michael**, Elizabethtown
Steele, Peggy**, Beattyville
Sturgill, Marcus Gordon**, Pikeville
Sumner, Patrick**, Louisville
Walker, Pamela Bowling**, Calvert City
Wells, Janet Catherine**, Louisville
Yates, Melissa Ann**, Hopkinsville

College of Nursing

Dean: Carolyn A. Williams

Bachelor of Science in Nursing

Anderson, Natalie Michelle**, Lexington
Arrowood, Lillie Mae**, Lexington
Barnes, Marcia Gail Hornback**, Cecilia
Beebout, Eloise Hopkins**, Bishopville, SC
Broughton, Brenda Joyce**, Lancaster
Bruggensmith, Gilda Faye McMurray**, Louisville
Cheak, Terri Lynn**, Lexington
Downing, Marie Lucy**, Lexington
Dunn, Judith Green**, Carlisle
Flesch, Suzanne Marie**, Lexington
Frazier, Kathryn Craig**, Louisville
Harrell, Ginger Benson**, Lexington
Hood, Susan E. Voyles**, Elizabethtown
Houchens, Kimberly S.**, Lexington
Irving, Diana Lynn**, Lexington
Lawhead, Monica Lynn**, Radcliff
Lipscomb, Sandra Elaine**, Elizabethtown
Mahon, Erin F.**, Danville
Martin, Nina June**, Radcliff
Morse, Nancy Brandon**, Rineyville

Palma, Emma Sue Priddy**, Elizabethtown
Parker, Susan I.**, Owensboro
Renfro, Rebecca Ann Salisbury**, Ashland
Roberts, Patricia Anne**, Lexington
Saunders, Sandra Maxey**, Maysville
Taylor, Paula Faye Carr**, Lexington
Thacker, Teresa Anne**, Pikeville
Volpenhein, Lisa Michelle**, Southgate
Waddell, Audrey Allen**, Louisville
Whitworth, Mary Anita Mattingly**, West View
Young, Roslyn Carol**, Lexington

College of Architecture

Dean: José Cúbrerie

Bachelor of Architecture

Buckner, Glen Eiler**, Louisville
Claypool, Byron A.**, Lexington
Cox, Harold Wayne, Jr.**, Louisville
Dunn, Thomas Michael**, Middlesex, NJ
Feldman, Paul N., Jr.**, Lexington
Hutson, Brian Donley**, Clarksburg, WV
Myers, Carol Sue**, Lexington
Smith, Michael B.**, Radcliff
Swinford, William Curtis**, Ledbetter
Thompson, Stephen Richard**, Rochester, NY

College of Allied Health

Dean: Thomas C. Robinson

Bachelor of Health Sciences

Adkins, Delinda Lea**, Raceland
Boles, Dwight**, Veray, IN
Bowman, Kimberly Elane Ransdell**, Paris
Brooks, Mark E.**, Radcliff
Brooks, Mary Beth**, Lexington
Callahan, Beverly J.**, Villa Hills
Cecil, Lisa Ann**, Owensboro
Colegrove, Lisa Gail**, Ashland
Columbus, Lisa Marie**, Erlanger
Cox, Karen K.**, Whitley City
Crank, Christopher Lee**, Catlettsburg
DePrez, Debra Ann**, Crestwood
Drake, Karen D.**, Lexington
Elkin, Mark F.**, Lexington
Evans, Rhonda D.**, Barbourville
Gant, Gwynndolynne Price**, Bardstown
Garrett, Dean Avon**, St. George, WI
Graves, W. Tyron**, Tompkinsville
Hafner, Teresa Vespa**, Paris
Hall, Kelli C.**, Catlettsburg
Hawkins, Thomas Edward**, Winchester
Humphrey, Patricia**, Lexington
Lamberson, Lisa M.**, Utica, PA
Marshall, Rebecca S.**, Danville
Miller, Gregory Thomas**, Covington
Moore, Marina Ann Herrington**, Lexington
Page, Cherie Tewes**, Alexandria
Prater, Nancy Susan**, Ashland
Roach, Beth M.**, Frankfort
Roberts, Robin Renee**, Lexington
Robinson, Cindy Carroll**, Louisville
Robinson, Roger Dean**, Ashland
Senft, Sandra Lynn**, Lexington
Sispera, Tracy Lynn**, Monticello
Smith, Eric M.**, Greeneville, TN
Stamper, Theresa Marie**, Beattyville
Steffen, Shelly Ann**, Melbourne

Tackett, Mary Alice Baker**, Lexington
Wilson, Mark Fieldon**, Elizabethtown
Zoeller, Jacqueline A.**, Louisville

College of Home Economics

Dean: Peggy S. Meszaros

Bachelor of Arts in Housing and Interior Design

Crutcher, Gina Ann**, Lexington
Freppon, Deborah Michelle**, Cold Springs

Bachelor of Science in Dietetics

Burman, Susan Ruth**, Hopkinsville
Hayden, Lynn Allison**, Middletown
Hensley, Rebecca Lynn**, Winchester
Stinnett, Daniel S.**, Lexington
Williams, Lisa Lynelle, West Liberty

Bachelor of Science in Family Studies

Colvin, Mark Conrad**, Paducah
Cox, Gregory James**, Saline, MI
Cross, Edgar Kay**, Albany
Dills, Melissa Lynn**, Dry Ridge
Hunter, Donna June**, Lexington
Kempf, James Fitzgerald**, Louisville
McCulloch, Kimberley R.**, Pompano Beach, FL
Mueller, Pamela Ann**, Lexington
Riveiro, Jeannette Dianne, Louisville
Robinson, Lea Ann**, Lancaster
Thomas, Teresa Denise**, Whitesburg

Bachelor of Science in Home Economics

Barnard, Carolyn Abigail**, Western Springs, IL
Bewley, Tracy Lee**, Rineyville
Bubnar, Cynthia Lynn**, Lexington
Cardwell, Jatana Beth**, Paintsville
Cicateili, Lisa M.**, Lexington
Clements, Rhonda Gaye**, Liberty
Davis, Melinda Carol**, Lexington
Do, Ngoc Chau Thi**, Bardstown
Emerson, Lisa**, Clinton, SC
French, Felicia Monique**, Ft. Wayne, IN
French, Suzanne Lee**, Ludlow
Giordano, Michelle Renee Means**, Lexington
Greer, Ginger Michelle**, Shelbyville
Hager, Robin L.**, Louisville
Horn, Stacy Michell**, Elizabethtown
Houchin, Cindy Lorraine**, Leitchfield
Ison, Jennifer Lea**, Ermine
Jackson, Kimberly Faith**, Lexington
Kaiser, Joyce Ann**, Lexington
Kelce, Maria Christine**, Vero Beach, FL
King, Lisa Anne**, Lexington
Levitch, David Alan**, Louisville
Long, Angela Lynn**, Frankfort
Lowry, Tracy Sue**, Nicholasville
Maentz, Leslie Christine**, Lake Forest, IL
Mahon, Kathleen G.**, Danville
McWhorter, Jennifer Lea**, Lexington
Merz, Robert Lawrence**, Kettering, OH
Miller, Amelia Leigh**, Calhoun
O'Connell, Laura Jean**, Louisville
Ogle-Taylor, Bonnie Lynn**, Nicholasville
Parks, Bettina G.**, Richmond
Porter, Kimberly Christian**, Louisville
Powell, Julie Lynn**, Lexington
Raney, Leah Denese**, Winchester

Ricketts, Teresa Lynn**, Turners Station
Ruggles, Melinda Todd**, Lawrenceburg
Sawyer, Charlene K.**, Hindman
Silverman, Susan Margaret**, Louisville
Wait, Heather Marie**, Nicholasville
Ware, Mary Blanton**, Ashland
Wash, Tammy Lynn**, Lexington
Waymeyer, Susan Elizabeth**, Ft. Wright
Williams, Judy Lynn**
Wright, Rebecca Ann**, Hardinsburg
Zoeller, Brittany Lynn**, Louisville

College of Social Work

Dean: S. Zafar Hasan

Bachelor of Arts in Social Work

Burton, Robin Dunn**, Lexington
Burus, Lutisha Samples**, Lexington
Byars, Emma Jean**, Paris
Castle, Candace Delora**, Berea
Close, Janet Reynolds**, Cincinnati, OH
Duncan, Jewel Lorraine Fuller**, Lexington
Dunn, Dolores Aryonne**, Lexington
Harris, Tammy Denise**, Waldorf, MD
Hatfield, Shanna Marie Duffy**, Russell
Hubbard, Virginia Barrett**, Rye, NY
Keller, Denise L.**, Lexington
Leslie, Jennifer**, Lexington
Naugher, Carol VanDerHeyden**, Nicholasville
Smallwood, Rita**, Manchester
Smith, Dani T.**, Prestonsburg
Tuggle, Anthony D.**, Flint, MI
Vicini, Virginia Marie**, Lynch
Villaflor, Sharon Sue Brock**, Warbranch
Westley, Judy Cremeans**, Ashland
Zaparanick, Tracy Leigh**, Dawson Springs

College of Communications

Dean: Douglas A. Boyd

Bachelor of Arts

Adams, Kerri A.**, Union
Andre, Timothy Lynn**, Harker Heights, TX
Ashley, Jeffrey Lawrence**, Louisville
Banks, Alexis J.**, Brighton, MI
Barlow, Valerie Rae Reuff**, Louisville
Barton, Jane Murphy**, Lexington
Baxter, Cheri Jean**, Louisville
Blanton, Jonathan David**, Louisville
Blondell, Margaret Ann**, Lexington
Bloomfield, Philip James II**, Lexington
Byington, Bradley Scott**, Lexington
Byrd, Carl Timothy**, Eighty Eight
Conway, Michael Lee**, Louisville
Cooley, Tandra Lynn**, Pikeville
Copeland, Dawn Rae**, Berea
Costello, Sara Joan**, Louisville
Costner, Dean W.**, Nicholasville
Crigler, Gretchen Pape**, Butler
Curry, Paula Miller**, Carrollton
Dawson, Brian Lee**, Lexington
Dayton, Rebecca Lynn**, Beaver Creek, OH
Delfino, Christy Laphaun**, Lexington
DeWeese, Amy Elizabeth**, Louisville
Dortch, James Edmond**, Paducah
Eldredge, Andrea Lynne**, Lexington
Farris, Lisa R.**, Pineville
Fleck, Brian Gregory**, Louisville

Flynn, Scott David**, Louisville
 Fogle, Timothy Edward**, Bardstown
 Foley, Mark Leonard**, Russell Springs
 Henry, Hudson L.**, Lexington
 Hill, James Edward**, Champaign, IL
 Hisle, Shaun Lee**, Taylor Mill
 Hixenbaugh, Lynn Patricia**, Louisville
 Hodgkin, Jack Kennedy, Jr.**, Winchester
 Jewell, Medina Lynn**, Princeton
 Johnson, Jennifer Lucyle**, LeClaire, IA
 Johnson, William Joseph**, Fairdale
 Jones, Lucy C.**, Lexington
 Jones, Lynn Whitney**, Lexington
 Keeseey, Erin Elizabeth**, Lexington
 Keeton, Roger Dale, Jr.**, Ashland
 Knight, Angela Dawn**, LaGrange
 Knudten, Caroline Anne**, Cedar Rapids, IA
 LaMonica, Margaret Jane**, Lexington
 Lang, Edward Sea**, Louisville
 Leonard, Pamela Ann**, Radcliff
 Lundgren, Peter Mark**, Lexington
 Lyons, Leslie Ann**, Louisville
 Marrs, Richard Matthew**, Lexington
 Mattingly, Jill Marie**, Lexington
 McCloy, Randolph McKellar, Jr.**, Memphis, TN
 McGowan, Lylia Janice**, Frankfort
 McLaughlin, Victoria A.**, Louisville
 Meyer, Ann McCain**, Elizabethtown
 Miller, Allison Rae**, Louisville
 Mock, Maria Lynn**, Louisville
 Moise, Helen Penina**, Sumter, SC
 Montgomery, Alexander Gordon**, Danville
 Neal, Tracy A.**, Taylor Mill
 Owens, Patti Marlene**, Danville
 Parks, Michael William**, St. Louis, MO
 Petersdorf, Sandra Jean**, Louisville
 Plummer, Piper Lynn**, Richmond
 Potter, Jean Schwartz**, Aurora, NY
 Reed, Teresa Lynn**, Xenia, OH
 Reisert, Christina Maria**, Louisville
 Rizk, Camellia A.**, Lexington
 Rodante, Tanya Renee**, Louisville
 Santa-Teresa, Monica Ann**, Lexington
 Schroeder, Gail Frances**, Harrodsburg
 Seegert, Richard G.**, Paris
 Sheehy, James Eugene**, Louisville
 Shield, Daniel Joseph**, Edgewood
 Siegel, Jeffrey L.**, Lexington
 Skinner, James Melvin**, Glasgow
 Sloan, John James**, Rochester, MN
 Smith, Shelly Ann**, Nicholasville
 Stahl, Matthew Rola**, Maysville
 Stewart, Michel Edward**, Frankfort
 Stich, Andrew Vincent**, Louisville
 Strevels, Stephanie**, Danville
 Summers, Lisa Ann**, Versailles
 Tackett, Shawn Rae**, Warsaw
 Tattershall, Douglas Thomas**, Lexington
 Taylor, Darlene Grace**, Greenville
 Todd, James Talbott**, Lexington
 Vaught, Zetta K.**, Lexington
 Waldrip, Cheryl Ann**, Lexington
 Webber, Russell Wayne**, Versailles
 Wetta, James Vincent**, Wellington, KS
 Wheeler-Mullins, Wendy, Alane**, Lexington

Bachelor of Science

Box, Laura Lynn**, Cynthiana
 Coleman, Tracey Marie**, Louisville
 Dalke, Howard Kyle**, Dixon, IL
 Dees, Jean-Anne**, Henderson

Doctrow, Pamela Rose**, Lexington
 Hatton, Terry Lloyd**, Lexington
 LeMaster, Gregory Allen**, Bagdad
 Simpson, Mark Timothy**, Highland Heights
 Sugg, John Davis, Jr.**, Owensboro

College of Fine Arts

Dean: Richard C. Domak

Bachelor of Arts

Brooks, Laura Lee**
 Cato, Candace Louise**, Louisville
 Cotton, Natasha Anna**, Napierville, IL
 Dixon, Kathryn Dillman**, Hopkinsville
 Dunfee, Angela Dawn**, Russell
 Enderle, Michael Paul**, Harrodsburg
 Gehring, Thomas W.**, Monticello
 Guzman, Laura**, Miami, FL
 Hardy, Karen Elizabeth**, Louisville
 Robbins, Rebecca M.**, Lancaster
 Robison, Dorothy Ann**, Louisville
 Scott, William Blair, Jr.**, Lexington
 Shepherd, Laura Ann**, Ivyton
 Teater, Zenah Bain**, Harrodsburg
 Thomas, Susan Elizabeth**, Richmond
 Thornberry, Anthony Gayle**, Paris
 Whitehead, Karin Noel**, Belleville, IL
 Wilson, Lynne Beth**, Elizabethtown

Bachelor of Music

Cumberledge, Melinda Katharine**, Lexington

Bachelor of Music in Music Education

Colley, Leigh Ellen**, Ashland
 Hughes, Mark Daniel**, Elizabethtown
 Potter, Alecia Henriett**, Elizabethtown
 Smith, Johanna Cook**, Lexington
 Sutton, Lawrence Joe**, Lexington

Office of the President
December 12, 1989

PR 5B

Members, Board of Trustees:

ASSISTANT CHANCELLOR
LEXINGTON CAMPUS

DEAN OF UNDERGRADUATE STUDIES

Recommendation: that the Board of Trustees approve a restructuring of Academic Affairs, Lexington Campus, effective January 1, 1990, by changing the title of the Assistant Vice Chancellor for Resource Management to Assistant Chancellor, creating a new position of Dean of Undergraduate Studies, deleting the position of Vice Chancellor for Academic Affairs, and reassigning the units that reported to that latter position.

Background: Two of the goals of the Chancellor for the Lexington Campus are to reduce the bureaucracy within the Sector and to emphasize the importance of teaching. The deletion of the Vice Chancellor for Academic Affairs position and the creation of the position of Assistant Chancellor addresses the first goal; the position of Dean of Undergraduate Studies the second.

The Assistant Chancellor will continue to be responsible for the budget, space allocation, institutional research, and planning responsibilities for the Lexington Campus. In addition, that individual will assume responsibility for program reviews, promotion and tenure dossier processing, and the appointment of committees--all former responsibilities of the Vice Chancellor for Academic Affairs. The Assistant Chancellor also will assist the Chancellor by dealing with day-to-day management issues from academic support units after the Chancellor and the unit heads establish policy.

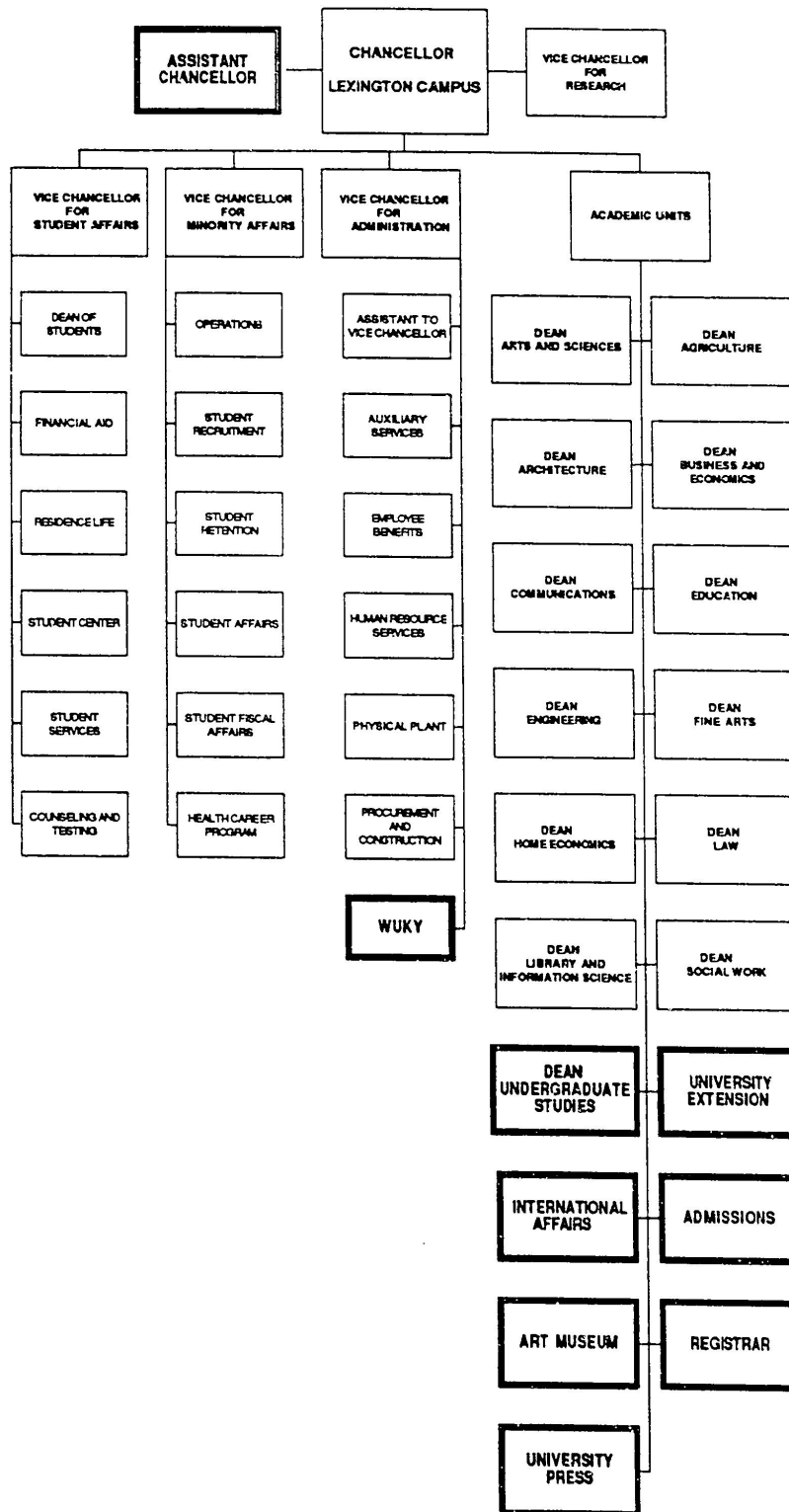
The new position of Dean of Undergraduate Studies will be the focal point of the Sector for all matters related to undergraduate academic matters and teaching. The Dean will be responsible for the Honors Program, the University Studies Program, the Gaines Center for the Humanities, the Undergraduate Council, and merit scholarships. In addition, the Dean will be responsible for development of a Teaching Improvement Center that among other activities will assume former Academic Affairs functions of orientations and workshops. This decanal area will provide the leadership necessary to ensure that undergraduate teaching and learning maintain a prominent and visible place at the University of Kentucky.

Because of a need to reduce administrative overhead, the position of Vice Chancellor for Academic Affairs will not be filled and will be removed from the organizational chart for the Lexington Campus. All functions formerly under the auspices of that position have been reassigned. The Director of the University radio station, WUKY, will report to the Vice Chancellor for Administration for the Lexington Campus.

Action taken: Approved Disapproved Other

Date: December 12, 1989

LEXINGTON CAMPUS REORGANIZATION



Office of the President
December 12, 1989

PR 6 A

Members, Board of Trustees:

NAMING OF UNIVERSITY BUILDING

Recommendation: that the Board of Trustees approve naming the Magnetic Resonance Imaging Facility in honor of M. Margrite Davis and Ralph E. Mills.

Background: Mrs. M. Margrite Davis has contributed over \$2,000,000.00 to the McDowell Cancer Foundation and has provided support to several other areas of the University including the Sanders-Brown Center on Aging and the Art Museum.

Mr. Ralph E. Mills has also given over \$2,000,000.00 to the McDowell Cancer Foundation designated for the Magnetic Resonance Facility and has, through the years, supported engineering students and the Medical Center.

Naming the Magnetic Resonance Imaging Facility in honor of Mrs. Davis and Mr. Mills would be a fitting tribute to their generous support in the area of cancer research.

Action taken: Approved Disapproved Other

Date: December 12, 1989

Office of the President
December 12, 1989

FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE FOUR MONTHS ENDED
OCTOBER 31, 1989

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the four months ended October 31, 1989.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of October 31, 1989, the University had realized income of \$292,769,000 representing 43% of the 1989-90 estimate of \$677,708,000. Expenditures and commitments total \$199,961,000 or 30% of the approved budget of \$677,708,000.

Action taken: Approved Disapproved Other

Date: December 12, 1989

CONSOLIDATED BALANCE SHEET
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
OCTOBER 31, 1988
with comparative totals at October 31, 1988
(in thousands)

| | 1988 | | | | | 1988 | |
|--|----------------------------------|--------------------------------|------------------|--------------------|-------------------|---------------------|---------------------|
| | Current Unrestricted Funds | Current Restricted Funds | Loan Funds | Endowment Funds | Plant Funds | Totals | Totals |
| ASSETS | | | | | | | |
| Cash and cash equivalents | \$ 81,083 | \$ 29,492 | \$ 1,852 | \$ 483 | \$ 122,234 | \$ 235,124 | \$ 205,538 |
| Notes, loans, and A/R (less bad debt allowances of \$6,652) | 42,038 | 8,488 | 15,237 | | 864 | 65,605 | 51,224 |
| Investments | 2,546 | 42,005 | | 68,377 | 67,434 | 180,382 | 163,489 |
| Property, plant, and equipment | | | | | 789,504 | 789,504 | 706,529 |
| Inventories and other | 11,614 | 98 | | | | 11,712 | 12,551 |
| Total Assets | \$ 137,281 | \$ 80,061 | \$ 17,089 | \$ 68,840 | \$ 980,035 | \$ 1,283,307 | \$ 1,170,331 |
| LIABILITIES AND FUND BALANCES | | | | | | | |
| Liabilities | | | | | | | |
| Accounts payable | \$ 10,412 | \$ 474 | | | \$ 6 | \$ 10,892 | \$ 10,891 |
| Employee withholdings and deposits | | 12,853 | | | | 12,863 | 11,717 |
| Other liabilities | 2,182 | | | | 3,785 | 5,977 | 5,504 |
| Liability for self insurance | 13,368 | | | | | 13,368 | 10,659 |
| Deferred income | 5,747 | 7,829 | | | | 13,576 | 14,024 |
| Bonds payable | | | | | 279,516 | 279,516 | 253,154 |
| Capitalized lease obligation | | | | | 27,187 | 27,187 | 30,197 |
| Net deferred revenues and appropriated fund balance | 99,742 | 352 | | | | 100,094 | 102,952 |
| Total Liabilities | 131,478 | 21,518 | | | 310,468 | 463,482 | 438,298 |
| Interfund Balances | (27,281) | 32,257 | | | (4,985) | | |
| Fund Balances | | | | | | | |
| Current Unrestricted | | | | | | | |
| Working capital | 24,298 | | | | | 24,298 | 25,708 |
| Future operating purposes | 7,283 | | | | | 7,283 | 2,231 |
| Funded Hospital depreciation | | | | | | | 13,000 |
| Other | 1,475 | | | | | 1,475 | 6,382 |
| Current Restricted | | | | | | | |
| Loan | | 26,286 | \$ 17,089 | | | 26,286 | 24,350 |
| True Endowments | | | | \$ 38,808 | | 38,808 | 32,175 |
| Term Endowments | | | | 889 | | 889 | 721 |
| Quasi Endowments | | | | 29,043 | | 29,043 | 28,188 |
| Plant | | | | | | | |
| Retirement of indebtedness | | | | | 36,803 | 36,803 | 36,864 |
| Renewal and Replacement | | | | | 23,988 | 23,988 | 2,798 |
| Allocated for designated projects | | | | | 58,475 | 58,475 | 45,081 |
| Net investment in plant | | | | | 555,232 | 555,232 | 486,188 |
| Total Fund Balances | 39,064 | 26,286 | 17,089 | 68,840 | 674,503 | 819,825 | 731,033 |
| Total Liabilities and Fund Balances | \$ 137,281 | \$ 80,061 | \$ 17,089 | \$ 68,840 | \$ 980,035 | \$ 1,283,307 | \$ 1,170,331 |

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FOUR MONTHS ENDED OCTOBER 31, 1989
with comparative totals for October 31, 1988
(in thousands)

| | (A) | (B) | (C) | (D) | (E) | (F) |
|---------------------------------|--------------------|-------------------|---------------------|---------------------|---------------------|---------------------|
| | 1989-1990 | | | | 1988-1989 | |
| | ORIGINAL BUDGET | REVISED BUDGET | REALIZED TO DATE | PERCENT REALIZED | REALIZED TO DATE | PERCENT REALIZED |
| General Fund | | | | | | |
| (1) State appropriations | \$ 254,091 | \$ 254,091 | \$ 125,703 | 49 | \$ 118,801 | 50 |
| (2) Student fees | 82,712 | 63,041 | 31,703 | 50 | 29,398 | 50 |
| (3) Federal appropriations | 14,368 | 14,367 | 4,866 | 34 | 4,352 | 31 |
| (4) County appropriations | 3,828 | 3,828 | 754 | 20 | 988 | 18 |
| (5) Endowment income | 781 | 781 | 200 | 26 | 215 | 30 |
| (6) Investment income | 9,202 | 9,202 | 2,954 | 32 | 2,621 | 31 |
| Gifts and grants | | | | | | |
| (7) Affiliated corporations | 5,148 | 5,148 | 83 | 2 | 83 | 1 |
| (8) Other | 3,238 | 3,331 | 1,108 | 33 | 970 | 30 |
| (9) Sales and services | 13,438 | 14,030 | 4,575 | 33 | 4,324 | 32 |
| (10) Fund balance | 7,437 | 7,437 | 7,437 | 100 | 10,914 | 100 |
| (11) Total General Fund | 374,224 | 375,237 | 179,381 | 48 | 172,342 | 46 |
| (12) Auxiliary Enterprises | 28,970 | 31,465 | 12,178 | 39 | 10,688 | 39 |
| (13) Restricted Funds | 41,145 | 42,242 | 14,380 | 34 | 12,040 | 30 |
| (14) Affiliated Corporations | 71,802 | 74,370 | 8,908 | 12 | 8,523 | 16 |
| Hospital | | | | | | |
| (15) Revenue | 118,904 | 118,904 | 42,442 | 36 | 41,801 | 41 |
| (16) Fund balance | 35,500 | 35,500 | 35,500 | 100 | 35,300 | 100 |
| Total Revenue and | | | | | | |
| (17) Appropriated Fund Balances | \$ 671,345 | \$ 677,706 | \$ 292,769 | 43 | \$ 280,362 | 46 |

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FOUR MONTHS ENDED OCTOBER 31, 1989
with comparative totals for October 31, 1988
(in thousands)

| | (A) | (B) | (C) | (D) | (E) | (F) |
|--|--------------------|-------------------|------------------------------------|---------------------------|------------------------------------|---------------------------|
| | 1989-1990 | | | | 1988-89 | |
| | ORIGINAL BUDGET | REVISED BUDGET | EXPENDED/ ENCUMBERED TO DATE | PERCENT EXP/ ENCUMB | EXPENDED/ ENCUMBERED TO DATE | PERCENT EXP/ ENCUMB |
| (1) Instruction | \$ 166,122 | \$ 184,515 | \$ 46,968 | 30 | \$ 46,600 | 30 |
| (2) Research | 84,434 | 84,036 | 13,967 | 17 | 13,143 | 16 |
| (3) Public Service | 66,615 | 55,744 | 16,253 | 29 | 14,063 | 26 |
| (4) Academic Support | 42,328 | 44,158 | 15,310 | 35 | 12,308 | 33 |
| (5) Student Services | 15,470 | 16,427 | 5,984 | 36 | 5,466 | 36 |
| (6) Institutional Support | 24,927 | 27,950 | 9,570 | 35 | 9,948 | 34 |
| (7) Student Financial Aid | 24,862 | 25,328 | 11,434 | 45 | 9,847 | 46 |
| (8) Operation and Maintenance | 32,814 | 33,319 | 11,018 | 33 | 10,708 | 32 |
| (9) Mandatory Transfers (Debt Service) | 24,745 | 24,745 | 14,867 | 60 | 14,990 | 72 |
| (10) Hospital | 155,865 | 155,866 | 35,126 | 23 | 33,867 | 25 |
| (11) Auxiliary Enterprises | 43,342 | 45,792 | 16,184 | 35 | 13,376 | 36 |
| (12) Total Expenditure by Program | <u>\$ 671,345</u> | <u>\$ 677,708</u> | <u>\$ 199,961</u> | 30 | <u>\$ 184,240</u> | 30 |

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FOUR MONTHS ENDED OCTOBER 31, 1989
with comparative totals for October 31, 1988
(in thousands)

| | (A) | (B) | (C) | (D) | (E) | (F) |
|---|--------------------|-------------------|------------------------------------|---------------------------|------------------------------------|---------------------------|
| | 1989-1990 | | | | 1988-89 | |
| | ORIGINAL BUDGET | REVISED BUDGET | EXPENDED/ ENCUMBERED TO DATE | PERCENT EXP/ ENCUMB | EXPENDED/ ENCUMBERED TO DATE | PERCENT EXP/ ENCUMB |
| (13) Personnel Costs | \$ 367,543 | \$ 374,735 | \$ 120,363 | 32 | \$ 109,088 | 32 |
| (14) Operating Expenses | 228,172 | 225,073 | 51,850 | 23 | 47,282 | 23 |
| (15) Mandatory Transfers (Debt Service) | 29,174 | 29,174 | 14,867 | 51 | 14,990 | 72 |
| (16) Capital Outlay | 46,456 | 48,726 | 13,051 | 27 | 12,902 | 26 |
| (17) Total Expenditure by Category | <u>\$ 671,345</u> | <u>\$ 677,708</u> | <u>\$ 199,961</u> | 30 | <u>\$ 184,240</u> | 30 |

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS
FOR THE FOUR MONTHS ENDED OCTOBER 31, 1989
with comparative totals at October 31, 1988
(in thousands)

| | (A) | (B) | (C) |
|--|------------------|-------------------|-------------------|
| | <u>1989-1989</u> | | <u>1988-1988</u> |
| (1) Realized Revenues | | \$ 249,832 | \$ 234,178 |
| Appropriated Fund Balances | | | |
| (2) Current unrestricted fund | \$ 7,437 | | 10,914 |
| (3) University Hospital | <u>35,500</u> | | <u>35,300</u> |
| (4) Total Appropriated Fund Balances | | <u>42,937</u> | <u>46,214</u> |
| (5) Total Revenues and Appropriated Fund Balances | | 292,769 | 280,392 |
| (6) Expenditures/Encumbrances | 199,981 | | 184,240 |
| (7) Less: Reserve for Encumbrances | <u>(7,266)</u> | | <u>(6,800)</u> |
| (8) Total Expenditures | | <u>192,715</u> | <u>177,440</u> |
| (9) Net Deferred Revenues and Appropriated Fund Balances | | <u>\$ 100,054</u> | <u>\$ 102,952</u> |

Office of the President
December 12, 1989

FCLR 2

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

Action taken: Approved Disapproved Other

Date: December 12, 1989

UNIVERSITY OF KENTUCKY

SALE OF SURPLUS VEHICLES

TYPE OF DISPOSAL: Sealed bid.

REASON: Vehicles are obsolete, damaged, aged, high mileage
and no longer needed by the institution.

ITEMS: Vehicles

| <u>LICENSE #</u> | <u>FLEET #</u> | <u>SERIAL #</u> | <u>MAKE</u> | <u>YEAR</u> | <u>MILEAGE</u> |
|------------------|----------------|-------------------|-------------|-------------|----------------|
| KW1669 | 9-113 | F25JL557005 | FORD | 1964 | 100,000 |
| KW2824 | 9-212 | 2B5WB31P5BK254184 | DODGE | 1981 | 140,000 |

Office of the President
December 12, 1989

F C R 3

Members, Board of Trustees:

Report of Leases

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between Elizabethtown Community College and the Hardin County Board of Education, 110 South Main Street, Elizabethtown, Kentucky, effective August 23, 1989 through June 30, 1990, for the use of classroom and parking spaces, rental rate of \$160.00 per classroom and \$240.00 per computer lab, be accepted and made a matter of record.

A new lease between the University of Kentucky Medical Center and George J. and Bonnie F. Ruschell, 1709 Fairway Drive, Lexington, Kentucky, for 4,062 square feet of office space at 935 South Limestone, Lexington, Kentucky, effective December 1, 1989 through November 30, 1990 at a rental rate of \$26,403.00.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases entered into under this authority be reported to the Board.

For the new lease, an advertisement was placed in the local newspaper for office space to house the Physician Liaison Service of the Medical Center. The new space will make it easier for physicians to interface with the Medical Center. There were six bids received. After an analysis of the bids, the space at 935 South Limestone was determined to be the best for the operation of the Physician Liaison Service.

Action taken: Approved Disapproved Other

Date: December 12, 1989

Office of the President
December 12, 1989

FCR 4

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the three months ending September 30, 1989, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the three months ending September 30, 1989, the University has:

1. Awarded seven (7) architect and engineer contracts.
2. Made nine (9) amendments to architect and engineer contracts.
3. Made three (3) final payments for three (3) architect and engineer contracts.
4. Awarded or requisitioned for the award of eight (8) construction contracts.
5. Made fifty-five (55) change orders to construction contracts.
6. Made twenty-three (23) final payments for twenty-three (23) construction contracts.

Action taken: Approved Disapproved Other

Date: December 12, 1989

DIVISION OF DESIGN AND CONSTRUCTION
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES
 UNIVERSITY OF KENTUCKY
 FOR THE PERIOD JULY 1, 1989 - September 30, 1989

I. ARCHITECT & ENGINEER CONTRACTS

| <u>ARCHITECT & ENGINEER</u> | <u>PROJECT</u> | <u>PROJECT SCOPE</u> | <u>ARCHITECT & ENGINEER FEES</u> | <u>EFFECTIVE CONTRACT DATE</u> |
|--|---|----------------------|--------------------------------------|--------------------------------|
| STAGGS & FISHER ENGINEERS LEXINGTON | HOSPITAL - WASTE INCINERATOR Project No. 337.0 | \$ 600,000 | \$ 64,650 | 8/31/89 |
| JOHNSON/ROMANOWITZ/ARCH. LEXINGTON | COMMONWEALTH STADIUM EXPANSION Project No. 145.0 | 4,000,000 | 212,875 | 8/31/89 |
| DQW, INC. LEXINGTON | AQUATIC CENTER DIVING TOWER Project No. 648.0 | 328,300 | 29,698 | 8/31/89 |
| JERRY A. TAYLOR & ASSOC. LEXINGTON | RESEARCH FACILITY #1 FUME HOOD Project No. 644.1 | 250,000 | 11,945 | 9/29/89 |
| JERRY A. TAYLOR & ASSOC. LEXINGTON | AGRICULTURE SCIENCE NORTH & SOUTH FUME HOODS Project No. 644.2 | 519,000 | 55,210 | 9/29/89 |
| FARRIS, HATCHER, TREMPEL & ASSOC. PADUCAH | PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0 | 160,000 | 15,000 | 9/29/89 |
| BLAGI, CHANCE, CUMMINS LONDON, ITTIZER, INC. SHELBYVILLE | JEFFERSON COMMUNITY COLLEGE - DT ENERGY MANAGEMENT SYSTEM Project No. 657.0 | 150,000 | 12,038 | 9/29/89 |

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS

| <u>ARCHITECT & ENGINEER</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE TO IRC</u> | <u>AMOUNT</u> |
|--|---|----------------------|--------------------|---------------|
| BICKEL-GIBSON ARCHITECTS LOUISVILLE | AGRICULTURAL ENGINEERING BLDG. Project No. 475.0 & 475.1 | 4 | 7/31/89 | + \$ 26,770 |
| Nature of Change: | Increase Phase C of architectural services. | | | |
| Reason for Change: | Provide for the extension of the Resident Inspector services. | | | |

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

| <u>ARCHITECT & ENGINEER</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE TO LRC</u> | <u>AMOUNT</u> |
|---|---|--------------------------|------------------------|---------------|
| OMNI ARCHITECTS/C/W A JOINT VENTURE LEXINGTON | HOSPITAL EXPANSION PHASE II Project No. 304.0 | 11 | 7/31/89 | + \$ 12,683 |
| Nature of Change: | Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services. | | | |
| Reason for Change: | Increase the consultant's fee for mechanical/electrical design in order to expand the mechanical/electrical systems of the new facility to better meet user program needs and adjust to recent changes in technology. | | | |
| OMNI ARCHITECTS/C/W A JOINT VENTURE LEXINGTON | HOSPITAL EXPANSION PHASE II Project No. 304.0 | 12 | 9/29/89 | + 16,718 |
| Nature of Change: | Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services. | | | |
| Reason for Change: | Additional work required to revise the Phase A design for the renovation of vacated spaces in conjunction with the Hospital Expansion project. | | | |
| CHRISMAN, MILLER, WOODFORD LEXINGTON | MEDICAL SCIENCES ANIMAL CARE RENOVATION Project No. 406.1 | 2 | 8/31/89 | + 22,902 |
| Nature of Change: | Increase Phase C of architectural services. | | | |
| Reason for Change: | Additional services required under contract administration due to project construction schedule being extended for a period of 256 days. | | | |
| JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON | AGRICULTURAL REGULATORY SERVICES Project No. 395.0 | 5 | 8/31/89 | + 2,075 |
| Nature of Change: | Increase Phase B of architectural services. | | | |
| Reason for Change: | Additional services required to design the relocation of the perchloric acid fume hood and the kjeldahl hood. | | | |
| LOUIS & HENRY GROUP, INC. LOUISVILLE | PADUCAH COMMUNITY COLLEGE ALLIED HEALTH BUILDING Project No. 620.0 | 1 | 8/31/89 | + 21,940 |
| Nature of Change: | Increase Phase A of architectural services. | | | |
| Reason for Change: | Additional services required to revise the schematic design to respond to owner changes in the architectural program. | | | |

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS
(CONTINUED)

| <u>ARCHITECT & ENGINEER</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE TO LRC</u> | <u>AMOUNT</u> |
|--|---|--------------------------|------------------------|---------------|
| PEARSON + BENDER + JOLLY LEXINGTON | SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0 | 1 | 8/31/89 | + \$ 1,822 |
| Nature of Change: | Increase Phase B of architectural services. | | | |
| Reason for Change: | Additional services required to include enclosure of existing balcony. | | | |
| KNAPP, GIVEN, VEAZEY & SHOULDERS MADISONVILLE | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.1 | 5 | 8/31/89 | + 2,310 |
| Nature of Change: | Increase Phase C of architectural services. | | | |
| Reason for Change: | Extend the services of the Resident Inspector for one month. | | | |
| FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON | GEOTECHNICAL ENGINEERING SERVICES PER DIEM SERVICES (RELATING TO BUSINESS & ECONOMICS BUILDING) | 1 | 9/29/89 | + 50,000 |
| Nature of Change: | Budget realignment. | | | |
| Reason for Change: | The routine geotechnical exploration for the Business & Economics Building has yielded information that indicates a depression/sink hole in an area where structural columns are to be placed. Additional services are needed to confirm the limits of the sink hole. | | | |

III. ARCHITECT & ENGINEER FINAL PAYMENTS

| <u>ARCHITECT & ENGINEER</u> | <u>PROJECT</u> | <u>FINAL CONTRACT AMOUNT</u> | <u>DATE</u> |
|---|--|----------------------------------|-------------|
| ASSOCIATED ENGINEERS LEXINGTON | NOE HOUSE ROOF REPLACEMENT Project No. 552.0 | \$ 4,680 | 9/20/89 |
| ASSOCIATED ENGINEERS LEXINGTON | ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0 | 5,220 | 9/20/89 |
| CHRISMAN, MILLER, WOODFORD LEXINGTON | MEDICAL SCIENCES ANIMAL CARE RENOV. Project No. 406.1 | 67,877 | 9/28/89 |

IV. CONSTRUCTION CONTRACTS

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CONTRACT PRICE</u> | <u>AWARD DATE</u> | <u>NO. OF BIDDERS</u> | <u>BID RANGE</u> |
|--|---|-----------------------|-------------------|-----------------------|-----------------------|
| NATIONAL AVENUE CONTRACTORS, INC. LEXINGTON | AGRICULTURE REGULATORY SERVICES FLOORING Project No. 395.2 | \$ 26,800 | 7/10/89 | 2 | \$ 26,800 - 33,515 |
| PEARCE-PHELPS ROOFING, INC. LEXINGTON | COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0 | 71,440 | 7/13/89 | 5 | 71,440 - 106,149 |
| RICHARD WHEELER CONSTRUCTION LEXINGTON | STUDENT CENTER INTERIOR RENOVATION PHASE III Project No. 524.1 | 38,990 | 7/24/89 | 1 | 38,990 |
| SHERROD CONSTRUCTION CO. WINCHESTER | HOSPITAL - FIRST FLOOR REFURBISHING PHASE II Project No. 526.1 | 26,798 | 7/24/89 | 3 | 26,798 - 37,500 |
| BEAR ELECTRIC COMPANY, INC. OWENSBORO | HOPKINSVILLE COMMUNITY COLLEGE ACADEMIC BUILDING EMERGENCY LIGHTING Project No. 607.0 | 17,509 | 7/26/89 | 2 | 17,509 - 20,500 |
| LAGCO, INC. LEXINGTON | AGRICULTURE REGULATORY SERVICES PERCHLORIC FUME HOOD RELOCATION Project No. 395.4 | 23,969 | 8/1/89 | 1 | 23,969 |
| MOUNTAIN CONSTRUCTION CO. HARLAN | SOUTHEAST COMMUNITY COLLEGE FALKENSTEINE HALL RENOVATION Project No. 628.0 | 189,910 | 8/25/89 | 3 | 189,910 - 284,000 |
| TRI-STATE ROOFING & SHEET METAL LEXINGTON | HOSPITAL - COOLING TOWER REPAIR Project No. 655.0 | 45,885 | 9/27/89 | 4 | 45,885 - 65,660 |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---------------------------------------|---|----------------------|----------------------|----------------|
| FRANK MESSER & SONS CINCINNATI, OH | HOSPITAL EXPANSION PHASE II BID PACK NO. 2 WORK CAT. #1 - GENERAL Project No. 304.1 | 7 | 8/1/89 | + \$ 14,279.00 |

Nature of Change:

1. Change Hollow Metal window.
2. Change out doors opening into OR.
3. Add intercoms in two rooms.
4. Add 144 paper towel dispensers.
5. Add two additional feet of ceramic tile wainscot at OR's.

Reason for Change:

1. Window indicated on drawings is a fixed glass. Hospital requires a sliding window in order to pass through specimen samples into the lab from the corridor
2. Hospital determined that doors into OR's should have vision lights to prevent accidents.
3. These rooms are isolation rooms which are fully enclosed. Need intercoms to allow nurses to hear the monitor alarms.
4. Drawings call for paper towel dispensers only in the restrooms. The Hospital requires a paper towel dispenser at each hand washing sink throughout the building.
5. The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness.

| | | | | |
|---------------------------------------|---|---|---------|-------------|
| FRANK MESSER & SONS CINCINNATI, OH | HOSPITAL EXPANSION PHASE II BID PACK NO. 2 WORK CAT. #1 - GENERAL Project No. 304.1 | 8 | 8/21/89 | + 53,705.00 |
|---------------------------------------|---|---|---------|-------------|

Nature of Change:

1. Make revisions to cafeteria storage area as required.
2. Add casework, sink and electric outlet in room HAL625.
3. Change room finish schedule.
4. Make revisions to emergency room triage/erks area.

Reason for Change:

1. Project to renovate existing cafeteria required this change to add seating rather than storage. That project cannot heat/cool this area due to concrete beams above ceiling.
2. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items.
3. Some changes on room finish schedule were to provide continuity in floor finish from room or corridor. Additional terrazzo and other changes were necessary to provide better control of spills and cleaning of high use areas.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---|---|----------------------|----------------------|---------------|
| TRI-STATE ROOFING & SHEET METAL LEXINGTON | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #2 - SHEET METAL Project No. 304.1 | 2 | 9/11/89 | + \$ 4,652.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Provide tapered insulation from approximately 6" original depth to a new depth of 16" to obtain proper slope to the relocated roof drains over the electrical room. 2. Furnish and install downspouts for the double ridge skylight. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. A code violation, installation over electrical room and from a safe practice standpoint, to prevent potential damages to the electrical gear in the event there was a problem. 2. Drawing indicated the drain for the skylight to be tied to pipe drains in column; however, plumbing drawings by HOK did not call for any pipe drains in columns; therefore, they were not installed. Skylight downspouts were then revised as herein indicated to receive drainage. | | | |
| BROADVIEW ACOUSTICAL LEXINGTON | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #3 - PLASTER, FIREPROOFING AND DRYWALL Project No. 304.1 | 2 | 9/22/89 | + 8,851.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Add two additional feet of ceramic tile wainscot at each operating room. 2. Change lay-in ceilings in ICU patient rooms and soiled utility rooms to drywall. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness. 2. State DHR requires drywall type ceilings at isolation area rooms. | | | |
| M/R/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1 | 5 | 8/16/89 | + 168,408.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Revisions to fuel oil separator. 2. Changes to air handling units and ductwork on 1st floor. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Fuel oil separator would not fit in allotted space; had to be relocated. 2. Uncovered problem of having inadequate cooling air quantities for the amount of medical equipment to be placed inside patient rooms. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|--|----------------------|----------------------|-----------------|
| MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1 | 6 | 9/6/89 | - \$ 122,243.00 |

Nature of Change:

1. Add pipe supports in Mechanical Rooms.
2. Relocate roof drains from over electrical room.
3. Omit stainless steel sinks.
4. Delete medical gas system.

Reason for Change:

1. The addition of the pipe supports eliminated the need for the University to have the asbestos removed. The pipe supports are free standing and therefore, there was no additional cost for abatement.
2. Required by state electrical inspector. Rain water leader system was directly over electrical room.
3. Duplication with countertop as sink in integral.
4. The revised Medical Gas System is being accomplished by separate contract in order to reduce costs.

| | | | | |
|--|--|---|---------|-------------|
| MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1 | 7 | 9/22/89 | + 32,855.00 |
|--|--|---|---------|-------------|

Nature of Change:

1. Additional work required in Lab Breakroom.
2. Install connections for ice machines in five rooms.
3. Revisions to x-ray processing room.
4. Revisions to emergency room triage/clerks area.
5. Provide plumbing for disinfecting unit and booster heater.

Reason for Change:

1. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items.
2. Connections were not shown on contract documents from HOK.
3. Moved wall back from corridor to permit space for x-ray film processor. No mechanical supply and waste lines indicated on drawings to hook-up processor. Provide required "in-use" light over door and darkroom light which were not on original drawings.
4. To provide communication between clerks and triage nurse. Previous design did not permit this interaction.
5. These mechanical and plumbing connections were not shown on the original HOK drawings.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--------------------------------------|---|----------------------|----------------------|----------------|
| JOHNSON CONTROLS, INC. LOUISVILLE | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #11 - CONTROL SYSTEM Project No. 304.1 | 1 | 9/22/89 | + \$ 10,537.00 |

Nature of Change:

1. Provide operators on inlet vanes for axial flow fans.
2. Additional work required. Information not supplied to Johnson at time of the original bid.
3. Provide high pressure air to two 4" and one 1" pressure reducing stations.
4. Tie-in alarm panel to the existing DSC panel in the mechanical room; install the point in DSC panel, program software and run all conduit and wire for the tie-in.

Reason for Change:

1. Operators were not specified in Temperature Controls bid package.
2. Addition of two additional floors to the original contract resulted in work above the original scope.
3. Not indicated on contract drawings.
4. To alarm the existing sump pump in the event of any failure or problem to alert maintenance staff. Existing pump changed to duplex, alarm not shown in design.

| | | | | |
|---------------------------------|---|---|--------|-------------|
| ARROW ELECTRIC CO. LEXINGTON | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #13 - ELECTRICAL Project No. 304.1 | 5 | 7/5/89 | + 85,017.00 |
|---------------------------------|---|---|--------|-------------|

Nature of Change:

1. Additional wiring, disconnects and rough-in for roll and cosa/tron.
2. Add receptacles and disconnects at translogic stations.
3. Install wiring from hair dryers to circuits.
4. Changes required on approximately eleven doors.
5. Change diffusers and light fixtures in ICU patient rooms.
6. Add panelboards and provide circuits to service column.

Reason for Change:

1. Review of drawings revealed that the filter was to be connected to the motor feeder. This revision will provide separate circuits to the AHU filters in order to avoid compromising the motor protection.
2. The Pneumatic Tube System was a late bid and the original drawings did not indicate the electrical requirements for the pneumatic tube equipment.
3. Bid drawings did not show electric circuits for the hair dryers nor were they shown in electrical specifications.
4. Several door hold-open devices must be changed from wall mounted to fram (closer type) mounted. There were a few doors where the electrical rough-in was not indicated for closers or smoke detectors. This will now coordinate the hardware and electrical requirements.
5. State DHR requirement.
6. Upon current review of the equipment to be plugged into the power columns in each of the 32 ICU/OCU patient rooms, it was determined that added circuits were needed to power all furnished equipment.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---------------------------------|---|----------------------|----------------------|----------------|
| ARROW ELECTRIC CO. LEXINGTON | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #13 - ELECTRICAL Project No. 304.1 | 6 | 7/25/89 | + \$ 72,277.00 |

Nature of Change: 1. Revise sump pump in utility tunnel.
2. Accessories and connections to Owner furnished exam light.
3. Revise heart OR #11 and #12; revise laser and x-ray outlets.

Reason for Change: 1. The existing pump is too small to handle the excessive amount of subsurface water; thereby allowing the tunnel to flood and cause extensive damage to equipment and pipe insulation.
2. The accessories and connections were not indicated on drawings.
3. Revisions required to allow continuous use in each room. An addition of preaction sprinkler system to OR suite needed to protect patients in the event of sprinkler discharge.

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| ARROW ELECTRIC CO. LEXINGTON | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #13 - ELECTRICAL Project No. 304.1 | 7 | 8/16/89 | + 31,557.00 |
|---------------------------------|---|---|---------|-------------|

Nature of Change: 1. Add outlets at access to helipad.
2. Add casework, sink and electric outlets in room HAL625.
3. Additional master station to receive call at Code Blue Stations.
4. Add electrical receptacle for elevator monitors, add equipotential grounding and changes to 6th floor lab equipment raceway.
5. Provide electrical power to three overhead door operators.

Reason for Change: 1. Additional outlets for communications to dispatch room. Security was concerned that anyone knowing the key code could gain access to the roof headwall, changes made to correct location of outlets, and connect to circuits not originally shown. Decided SARA system to be in separate conduit rather than cable tray to avoid disturbance of lines.
2. Contract documents did not indicate casework, sink or provisions for HVAC, refrigerators or microwave ovens for this breakroom.
3. Upon review of announcement for Code Blue, added master stations to receive calls. These permit the notification of code blue teams located elsewhere on the floor providing quicker response.
4. Electric power required for elevator monitors not shown on contract drawings. Recommended equipment ground to provide greater protection of patients. Needed to separate emergency power and normal power circuits from within one raceway to two separate raceways. Omissions from original plans by HOK.
5. No indicated on electrical drawings.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|---|--------------------------|--------------------------|---------------|
| AMERICAN STEEL PRODUCTS FARMINGDALE, NY | HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1 | 1 | 7/25/89 | + \$ 839.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Door changes for electrical panel clearance. 2. Access required for building mounted logo. 3. Changes to hollow metal window. | | | |
| Reason for Changes: | <ol style="list-style-type: none"> 1. Code requirement for electrical panel clearance. 2. Access required for large building mounted logo due to relocation of logo. 3. Window indicated on drawings is a fixed glass. Hospital requires a sliding window with sill in order to pass through specimen samples into the lab from the corridor. | | | |
| MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN | HOSPITAL EXPANSION PHASE II COOLING TOWER IMPROVEMENTS Project No. 304.8 | 2 | 9/22/89 | - 5,308.00 |
| Nature of Change: | Relocate switchboard P and MCC 7. | | | |
| Reason for Change: | The original location was next to the removable glass in the basement. This would restrict mobility for maintaining equipment in that area and also would be susceptible to damage when removing the glass and moving equipment in and out of the basement. | | | |
| WHITE & CONGLETON CO. LEXINGTON | MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1 | 11 | 9/25/89 | + 17,214.32 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Provide surface mounted light fixtures in lieu of flush mounted type specified. Flush mounted fixtures shall be turned over to Owner. 2. Seal a steam leak in the fan housing or flexible connection at the tunnel washer exhaust fan and provide a drain pan with pipe drain for same. 3. Provide new exhaust fan and modify cage washer exhaust. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. When corridor ceilings were removed it was determined that existing ductwork and conduit prevented recessed lights. Ceiling could not be lowered due to existing wall mounted fire and sound equipment. 2. Steam and steam condensate was leaking from fan. 3. Revised exhaust system recommended for optimum efficiency. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|--|----------------------|----------------------|---------------|
| HIBBITTS CONSTRUCTION CO. LONDON | ANIMAL CARE RENOVATION ASBESTOS ABATEMENT Project No. 406.1 | 3 | 7/12/89 | + \$ 4,840.00 |
| Nature of Change: | Remove 242 additional fittings per unit price. | | | |
| Reason for Change: | Additional asbestos found after ceiling was removed. | | | |
| K. V. CONSTRUCTION CO. LEXINGTON | DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0 | 2 | 8/9/89 | + 2,112.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Close opening above women's restroom at corridor. 2. Correct existing electrical deficiencies in contract area. 3. Relocate new exit lights & conduit as required to maintain connections with existing exit lights & emergency circuits. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Area not visible prior to demolition of plaster ceiling; this work required to meet fire code. 2. Work required by state electrical inspector. 3. Existing conditions were different than shown on as-built drawings. | | | |
| K. V. CONSTRUCTION CO. LEXINGTON | DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0 | 3 | 9/11/89 | + 373.00 |
| Nature of Change: | Provide 208V circuits from panel to electric hand dryers in men's and women's restrooms. | | | |
| Reason for Change: | Circuits specified is 110V; hand dryers specified are 208V. | | | |
| LICHTEFELD-MASSARO, INC. LOUISVILLE | BLANDING TOWER BRICK REPLACEMENT Project No. 423.1 | 5 | 8/9/89 | - 298.36 |
| Nature of Change: | Credit due Owner for damages to window. | | | |
| Reason for Change: | U.K. PPD repaired damages caused by contractor. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|---|----------------------|----------------------|----------------|
| R & W CONSTRUCTION CO. LOUISVILLE | ROBOTICS FACILITY Project No. 43L.0 | 16 | 8/4/89 | + \$ 10,427.65 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Modifications to Honeywell control system. 2. Install emergency lighting and additional emergency circuit for toilet vestibules on basement, second, third, fourth & fifth floors. 3. Additional excavation required to lower steam condensate, chilled water supply and return piping; also demolition and backfill required for steam line and condensate extension. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Changes required for interfacing of controls and equipment. 2. Emergency circuit vestibule fixtures requested by Housing, Building & Construction. 3. Lowering of new utilities required due to concealed conflicting site conditions. Due to proximity to surface, existing steam piping was removed and new piping installed at deeper depth. | | | |
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0 | 11 | 8/15/89 | + 1,009.60 |
| Nature of Change: | Rock removal incurred to domestic water line installation. | | | |
| Reason for Change: | Unanticipated subsurface rock encountered. | | | |
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0 | 12 | 9/7/89 | + 1,950.00 |
| Nature of Change: | Install schedule 80 PVC pipe to connect two six-inch (6") diameter downspouts to nearby yard drain in lieu of surface drainage. | | | |
| Reason for Change: | Piped storm drainage in lieu of surface drainage will prohibit some of the potential moisture problems in the tunnel. | | | |
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0 | 13 | 9/7/89 | + 29,399.45 |
| Nature of Change: | Coordination Allowance Sum: Allowance for coordination of future contracts. The allowance is figured on the basis of a percentage of \$300,000. Arc stated an allowance of 5% to the actual figures used in subsequent bid package contracts. | | | |
| Reason for Change: | Construction contract required the allowance. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|---|----------------------|----------------------|---------------|
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1 | 2 | 9/6/89 | - \$ 8,577.00 |
| Nature of Change: | | | | |
| <ol style="list-style-type: none"> 1. Omit ceiling in mechanical room. 2. Delete 3' of counter in kitchen. 3. Delete 3.67' of counter in women's room. 4. Credit for not reworking door #142. | | | | |
| Reason for Change: | | | | |
| <ol style="list-style-type: none"> 1. Difficult to install ceiling around ductwork installed in Bid Pack #1. 2. To allow space for a full size refrigerator in lieu of undercounter type. 3. To allow room for the chase for the generator flue. 4. Door opening was installed lower than specified. The user agreed this height was acceptable. | | | | |
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1 | 3 | 9/6/89 | + 4,836.63 |
| Nature of Change: | | | | |
| <ol style="list-style-type: none"> 1. Paint light rings, diffusers, grilles, include epoxy primer and cabinets. 2. Paint coping to match brick. 3. Install two gang wireold boxes on single gang boxes. 4. Enclose beams under stair at orchestra pit access. 5. Install recessed electric boxes for dimmers. | | | | |
| Reason for Change: | | | | |
| <ol style="list-style-type: none"> 1. Factory finish not compatible with color scheme. 2. Factory finish does not match brick color closely enough. 3. Owner's equipment required two gang wireold boxes. 4. Aesthetic improvement. 5. Recessed boxes will prohibit future damage. | | | | |
| ARC CONSTRUCTION CO. EVANSVILLE, IN | MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1 | 4 | 9/6/89 | + 9,630.70 |
| Nature of Change: | | | | |
| <ol style="list-style-type: none"> 1. Install oak handrail along sidewalls of auditorium. 2. Install concrete side ditch from headwall. 3. Install emergency generator transfer switch wiring. | | | | |
| Reason for Change: | | | | |
| <ol style="list-style-type: none"> 1. 1988 Building Code allows for an additional 73 seats if handrails are installed. 2. The addition of a paved ditch from the headwall to the existing paved ditch wall will help prevent soil erosion. 3. This wiring is required for automatic function of the emergency generator. | | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---|--|----------------------|----------------------|----------------|
| R & W CONSTRUCTION CO. LOUISVILLE | AGRICULTURAL ENGINEERING BUILDING Project No. 475.0 | 7 | 8/21/89 | + \$ 15,087.90 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Revise motor control center. 2. Install 6" diameter stainless steel duct from fan discharge to the stainless steel vent cap on the roof. 3. Provide trucks to remove excess fill from the construction site. 4. Provide and install service sinks in three rooms; extend air and vacuum to island casework. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Motor control center elements are not sized properly to accommodate AHU-1 motor as provided in shop drawings. 2. Experiments placed for exhaust system will cause rapid deterioration of galvanized duct. 3. Owner's vehicles were not available to move the fill material. 4. Items were to be included in casework bid package; items were omitted. | | | |
| STEVENS CONTRACTORS, INC. LOUISVILLE | JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1 | 2 | 7/5/89 | + 653.59 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Remove plastic foam lining from interior of existing exhaust shaft on north side of south stair. 2. Install two layers 5/8" firecode G.W.B. on south and west walls of south stair enclosures. Extend drywall from underside of roof deck to bottom of full course of 8" concrete block. 3. Grind floors around two floor drains to allow floor tile to be installed flush with drains. Shim plate level in men's restroom. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1&2. These two items required by State Building Inspector. 3. Existing floor drain in men's restroom was not level to allow for proper installation of ceramic tile. | | | |
| STEVENS CONTRACTORS, INC. LOUISVILLE | JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1 | 3 | 7/25/89 | + 1,869.90 |
| Nature of Change: | Remove three (3) broken exterior glass panels and replace with 1/4" gray tempered glass. | | | |
| Reason for Change: | Windows were broken by vandals from exterior of building. Community College requested contractor repair. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---|---|----------------------|----------------------|---------------|
| STEVENS CONTRACTORS, INC. LOUISVILLE | JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1 | 4 | 9/25/89 | + \$ 574.07 |
| Nature of Change: | 1. Provide and install floor stops at doors from Classroom 302. 2. Install two additional sprinkler heads in Mechanical Room. | | | |
| Reason for Change: | 1. Required to protect wall surfaces. 2. Required by Building Inspector. | | | |
| WARREN BUILDERS, INC. MT. STERLING | NOE HOUSE ROOF REPLACEMENT PROJECT NO. 552.0 | 2 | 8/14/89 | -0- |
| Nature of Change: | Increase contract completion date by 14 days. | | | |
| Reason for Change: | Delay in construction due to excessive rainy weather. | | | |
| WARREN BUILDERS, INC. MT. STERLING | ALLEN HOUSE ROOF REPLACEMENT PROJECT NO. 553.0 | 2 | 8/14/89 | -0- |
| Nature of Change: | Increase contract completion date by 14 days. | | | |
| Reason for Change: | Delay in construction due to excessive rainy weather. | | | |
| PARSONS ELECTRIC INC. LEXINGTON | DONOVAN HALL FIRE SAFETY Project No. 560.0 | 1 | 8/8/89 | + 3,178.00 |
| Nature of Change: | 1. Construct a new drywall wall in the front entrance lobby foyer, that extends from the floor to the structural ceiling and is 62 inches wide. 2. Make changes to fire alarm system; relocate five smoke detectors install two new electromagnetic door holders, relocate heat detectors in restrooms, and connect to the flow and tamper switches. | | | |
| Reason for Change: | 1. Two fire alarm panels are required for the project instead of the anticipated one panel. There is not enough existing wall space to mount two panels. 2. Changes required by State Fire Marshal. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|--|---|----------------------|----------------------|---------------|
| EDWIN BRADY CONSTRUCTION PARIS | KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0 | 1 | 8/21/89 | - \$ 550.00 |
| Nature of Change: | Patch and repair column V53 by removing all cracked and deteriorated concrete and sufficient amount of concrete at column top to expose existing dowel, blast area clean of all debris, laitance, dust, rust and oil, patch column with mortar, paint repaired portion of column to match existing. | | | |
| Reason for Change: | Satisfactory remedy of existing condition can be corrected in equal or better quality than specified method at cost savings. | | | |
| EDWIN BRADY CONSTRUCTION PARIS | KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0 | 2 | 9/1/89 | + 1,050.00 |
| Nature of Change: | <ol style="list-style-type: none"> Demolish exterior concrete column down to horizontal reveal at approximately mid-height of column sufficient for PPD to remove exterior damaged pipe and replace with new. Contractor then to patch back column and paint to match existing. Weld re-bar stubs out of roof slab to column reinforcing steel. | | | |
| Reason for Change: | 1&2 To correct existing conditions which were not apparent before construction. | | | |
| EDWIN BRADY CONSTRUCTION PARIS | KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0 | 3 | 9/1/89 | + 883.75 |
| Nature of Change: | Remove existing concrete around existing 1" rigid conduit where it penetrates roof slab to allow for new 90 degree elbow to be installed. Install new conduit for elbow to new j-box; install new conduit to bottom of excavated column and connect with existing 1" rigid conduit. Provide wire as necessary. | | | |
| Reason for Change: | To correct existing conditions which were not apparent before construction. | | | |
| BROADVIEW BUILDINGS, INC. LEXINGTON | SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0 | 1 | 9/11/89 | + 1,948.74 |
| Nature of Change: | Fill in void behind wall cabinets with sheet rock and cover with fire protection board. | | | |
| Reason for Change: | New kitchen cabinets were shorter than original ones. Fire protection board was required to cover voids and rough drywall. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|-------------------------------------|---|----------------------|----------------------|---------------|
| PANEL TECH, INC. LEXINGTON | WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0 | 1 | 7/24/89 | - \$ 1,838.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Delete gypsum board partition at existing third floor corridor. 2. Delete all metal stud framing at columns and spandrel panels adjacent to exterior walls. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Gypsum board partition as shown on drawings would be relocated at time of "Fit-up" Phase. 2. Work will be accomplished under "Fit-up" Phase. No other metal stud framing in shell enclosure required. | | | |
| PARSONS ELECTRIC, INC. LEXINGTON | WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0 | 2 | 7/18/89 | - 205.00 |
| Nature of Change: | Delete work required to extend the new receptacles in the face of the new drywall partition. | | | |
| Reason for Change: | Final location of walls had not been established. Walls to be located in the Fit-up phase. | | | |
| PARSONS ELECTRIC, INC. LEXINGTON | WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0 | 3 | 8/15/89 | - 50.00 |
| Nature of Change: | Delete installation of 50 amp receptacles. | | | |
| Reason for Change: | Receptacles not required in Rooftop Conversion Phase. | | | |
| E. C. MATTHEWS CO. LEXINGTON | WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0 | 3 | 8/15/89 | - 3,196.30 |
| Nature of Change: | Credit for leaving glazing & aluminum framing that was to be removed; do not install door (door, frame & hardware to be turned over to Owner); and delete all painting. | | | |
| Reason for Change: | Work will be accomplished during "Fit-up". | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|-------------------------------|--|----------------------|----------------------|---------------|
| CENTRAL ROCK CO. LEXINGTON | SEATON CENTER SITE DEVELOPMENT Project No. 588.0 | 1 | 9/27/89 | + \$ 1,560.00 |

Nature of Change: 1. Change the dumpster screen to extend main gate panels from 6' to 7' in length and add six steel bollards.
2. Add new drain pipe 8' in length.

Reason for Change: 1. The enclosure screen was increased in size to reduce the possibility of damage from the dump trucks. Three concrete filled steel bollards were added to each side of the enclosure for added protection.
2. The existing pipe could no longer be drained through the curb. The line was therefore routed to an existing catch basin.

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| MARCO CONSTRUCTION CO. NICHOLASVILLE | MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0 | 2 | 7/14/89 | + 728.00 |
|---|--|---|---------|----------|

Nature of Change: At construction divider in Dialysis Area, install 5/8" gypsum board and 3 1/2" sound batts to construction side of existing metal stud wall. Seal around existing ductwork and piping as required for a tight fit.

Reason for Change: A more effective noise barrier was required between the two areas; to eliminate noise so construction work can continue.

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---|--|--------------------------|--------------------------|---------------|
| MARCO CONSTRUCTION CO. NICHOLASVILLE | MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0 | 3 | 8/15/89 | + \$ 260.00 |
| Nature of Change: | Install recirculation line in "R-O" supply system in each of the two dialysis boxes. | | | |
| Reason for Change: | Recirculation line is not called for, but is necessary for operation. | | | |
| MARCO CONSTRUCTION CO. NICHOLASVILLE | MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0 | 4 | 9/6/89 | + 3,614.00 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Furnish & install 20" x 30" access panel in the sidewall of the pipe chase adjacent to the nurse's station in Rm. H507. 2. Remove plaster ceiling in Phase II of project. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Access to allow concealed connection of the telephone wiring at junction box; not picked up during design. 2. Ceiling was indicated on plans as concealed spline, it was in fact, tile on plaster. | | | |
| MARCO CONSTRUCTION CO. NICHOLASVILLE | MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0 | 5 | 9/6/89 | + 85.00 |
| Nature of Change: | Furnish and install an 8" x 8" panel at the location of the existing telephone junction box in Rm. H510C. | | | |
| Reason for Change: | To allow connection of the telephone. No provision was made for this requirement on the plans. | | | |
| MARCO CONSTRUCTION NICHOLASVILLE | MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0 | 3 | 8/2/89 | + 1,687.00 |
| Nature of Change: | Install new electric sub-panel to feed the gas column in Room HS-218. | | | |
| Reason for Change: | A separate circuit for each service to the gas column should eliminate overload possibilities. | | | |
| MARCO CONSTRUCTION NICHOLASVILLE | MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0 | 4 | 9/26/89 | + 1,621.00 |
| Nature of Change: | Electrical installations upgrading existing above-ceiling. | | | |
| Reason for Change: | Required by Code. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---------------------------------------|---|--------------------------|--------------------------|---------------|
| MCKNIGHT AND ASSOCIATES LONDON | SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0 | 1 | 9/8/89 | + \$ 823.00 |
| Nature of Change: | Replace electrical conduit and wire. | | | |
| Reason for Change: | Conduit was in concrete slab. It was impossible to demolish slab without destroying conduit. | | | |
| MCKNIGHT AND ASSOCIATES LONDON | SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0 | 2 | 9/8/89 | + 623.00 |
| Nature of Change: | Sand blast planter walls. | | | |
| Reason for Change: | Other walls near planter had been sandblasted. This additional work made the planter blend with its surroundings. | | | |
| C. C. LEE, INC. LEXINGTON | MEDICAL CENTER ALLIED HEALTH RENOVATION Project No. 625.0 | 4 | 7/14/89 | + 287.00 |
| Nature of Change: | Make changes in existing construction; including cutting off existing fixture stud supports and reinstall existing, add arc shields and receptacle cover. | | | |
| Reason for Change: | Changes required by State Electrical Inspector. | | | |
| BROADVIEW BUILDING, INC. LEXINGTON | MEDICAL CENTER ANNEX II STRUCTURAL REPAIRS Project No. 632.0 | 1 | 7/24/89 | + 209.47 |
| Nature of Change: | Weld in place a 1/4" x 2" flat steel plate under the steel brick support shelf. This was necessary to close a large gap between the old sagging building steel and the straight new brick shelf. | | | |
| Reason for Change: | Existing steel has deflected caused by weight of original brick. The new shelf angle was straight. When the new shelf was installed, an opening or gap was created between the two pieces of metal. | | | |

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS
(CONTINUED)

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>CHANGE NUMBER</u> | <u>DATE APPROVED</u> | <u>AMOUNT</u> |
|---|---|----------------------|----------------------|---------------|
| M. B. MCGREGOR LEXINGTON | HAGGIN HALL SHOWER REPAIR Project No. 650.0 | 1 | 8/8/89 | + \$ 3,172.98 |
| Nature of Change: | <ol style="list-style-type: none"> 1. Relocate and reinsulate existing cold water supply piping from second through fourth floors. 2. Correction of material deficiencies. 3. Relocate and reinsulate water piping near corridor wall to allow installation of fire/smoke damper in corridor wall. 4. Replace two hydronic heater elements. | | | |
| Reason for Change: | <ol style="list-style-type: none"> 1. Change required due to removal and replacement of bar joists. 2. Material shortages and deficiencies of University supplied shower partitions. 3. Physical interference with new damper. 4. Existing units were corroded and could not be reinstalled. | | | |
| DEERINGER AND SON, INC. LEXINGTON | BLANDING IV ROOF REPLACEMENT Project No. 653.0 | 1 | 7/21/89 | -0- |
| Nature of Change: | Change material of coal tar pitch to Owens/Corning Permamop modified asphalt. Extend contract completion time ten calendar days. | | | |
| Reason for Change: | Coal tar pitch is no longer offered by Owens/Corning. Decision to stop offering of roof made after award of contract. Extension of time required for delivery of material. | | | |
| DEERINGER AND SON, INC. LEXINGTON | BLANDING IV ROOF REPLACEMENT Project No. 653.0 | 2 | 8/17/89 | + 713.50 |
| Nature of Change: | Remove and replace 390 l.f. of 2 x 4 wood blocking; and 40 l.f. of 2 x 6 wood blocking. | | | |
| Reason for Change: | Existing wood blocking had extensive water damage. | | | |
| FAYETTE ELECTRICAL SERVICE LEXINGTON | FIRE SAFETY THREE BUILDINGS Project No. 881.0 | 2 | 7/20/89 | + 2,600.00 |
| Nature of Change: | Provide 12 smoke detectors at Wenner Gren Laboratory 2nd floor, pull new zone wiring through existing conduit to the fire alarm panel and tie into existing spare zone module, and update the zone map to reflect changes. | | | |
| Reason for Change: | Fire code requirements were not met in original design. Area was omitted from project due to oversight of programmers and consultants. | | | |

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>FINAL CONTRACT AMOUNT</u> | <u>DATE</u> |
|--|--|----------------------------------|-------------|
| ROY PELFREY ASSOCIATES LEXINGTON | CENTER FOR THE ARTS STAGE CURTAIN Project No. 494.0 | \$ 19,600 | 7/28/89 |
| GREEN MECHANICAL LEXINGTON | MEDICAL PLAZA ROOFTOP MECHANICAL CONTROLS Project No. 576.2 | 679 | 7/28/89 |
| KENTUCKY ORNAMENTAL IRON LEXINGTON | AQUATIC CENTER ENTRY Project No. 661.0 | 6,785 | 8/4/89 |
| WILLIAM HEPBURN ELECTRIC LEXINGTON | MEDICAL SCIENCE SMOKE DETECTORS Project No. 853.0 | 244,972 | 8/15/89 |
| WILLIAM HEPBURN ELECTRIC LEXINGTON | DENTAL SCIENCE SMOKE DETECTORS Project No. 854.0 | 58,603 | 8/15/89 |
| WEBER & WEBER LOUISVILLE | JEFFERSON COMMUNITY COLLEGE COMMUNICATION DUCT BANKS Project No. 577.0 | 33,480 | 8/15/89 |
| GORDON CONSTRUCTION SHELBYVILLE | COMPLEX BASKETBALL COURTS LANDSCAPING Project No. 391.1 | 11,817 | 8/15/89 |
| GRIGGS ENTERPRISES LEXINGTON | MCVEY HALL HANDICAP ACCESS Project No. 502.0 | 145,681 | 8/16/89 |
| HIBBITTS CONSTRUCTION LONDON | ASBESTOS REMOVAL ANIMAL CARE RENOVATION Project No. 406.2 | 82,990 | 8/16/89 |
| HOPKINS COUNTY ASPHALT MADISONVILLE | MADISONVILLE COMMUNITY COLLEGE CONCRETE CONCOURSES - 3RD LEVEL Project No. 387.0 | 73,319 | 8/16/89 |
| C. C. IEE LEXINGTON | MEDICAL CENTER ALLIED HEALTH ADMINISTRATIVE OFFICES Project No. 625.0 | 21,531 | 8/16/89 |
| BROADVIEW BUILDINGS LEXINGTON | MEDICAL CENTER - ANNEX 2 STRUCTURAL INVESTIGATION Project No. 632.0 | 17,975 | 9/1/89 |
| MCCARTHY BROTHERS - CONSTRUCTION MANAGERS LEXINGTON/ ST. LOUIS, MO | HOSPITAL EXPANSION COOLING PLANT Project No. 304.8 | 159,319 | 9/7/89 |

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS
CONTINUED

| <u>CONTRACTOR</u> | <u>PROJECT</u> | <u>FINAL CONTRACT AMOUNT</u> | <u>DATE</u> |
|---|---|----------------------------------|-------------|
| MCNEESE CONSTRUCTION LEXINGTON | HOLMES HALL SUNDECK REPAIR Project No. 446.0 | \$ 57,349 | 9/11/89 |
| YOUNG SALES LOUISVILLE | JEFFERSON COMMUNITY COLLEGE AUDITORIUM ROOF REPLACEMENT Project No. 584.0 | 22,770 | 9/19/89 |
| WARREN BUILDERS MT. STERLING | NCE HOUSE ROOF REPLACEMENT Project No. 552.0 | 96,233 | 9/13/89 |
| WARREN BUILDERS MT. STERLING | ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0 | 98,250 | 9/13/89 |
| WILLIAM HEPBURN ELECTRIC LEXINGTON | KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0 | 66,455 | 9/13/89 |
| WILLIAM HEPBURN ELECTRIC LEXINGTON | PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0 | 60,745 | 9/13/89 |
| WILLIAM HEPBURN ELECTRIC LEXINGTON | LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0 | 59,855 | 9/13/89 |
| ASBESTOS ENVIRO-CLEAN BARTONVILLE, IL | ASBESTOS REMOVAL - PADUCAH C. C. STAGE CURTAIN Project No. 583.1 | 5,860 | 9/20/89 |
| MID-CONTINENTAL RESTORATION FORT SCOTT, KS | PATTERSON HALL BRICK TUCKPOINTING Project No. 566.0 | 21,674 | 9/20/89 |
| CLEAVER CONSTRUCTION MURRAY | PADUCAH COMMUNITY COLLEGE STUDENT CIR./FINE ARTS SETTLEMENT Project No. 383.0 | 23,850 | 9/25/89 |

Office of the President
December 12, 1989

FCR5

Members, Board of Trustees:

1989-90 BUDGET REVISIONS
CENTRAL ADMINISTRATION

Recommendation: that the following revisions in the 1989-90 budget be authorized and approved.

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|---|----------------------------|---------------------------|---------------------|
| A. <u>GENERAL FUND</u> | | | |
| 1. Income Estimates | | | |
| Student Fees | | | |
| Registration Fees | | | |
| Regular-Community College | \$ 13,853,300 | \$ 15,201,900 | \$ 1,348,600 |
| Summer Session-Community College | 1,170,700 | 1,220,200 | 49,500 |
| Continuing Education | 5,458,300 | 5,710,900 | 252,600 |
| University Extension-Community College | 1,366,700 | 1,709,100 | 342,400 |
| Miscellaneous Fees | 751,800 | 851,800 | 100,000 |
| County Appropriations | 3,828,400 | 4,064,300 | 235,900 |
| Endowment Income | 761,000 | 861,000 | 100,000 |
| Investment Income | 9,201,800 | 11,201,800 | 2,000,000 |
| Gifts and Grants | | | |
| Federal-Agriculture | 231,300 | 256,300 | 25,000 |
| Federal-Vocational Education | 120,000 | 183,000 | 63,000 |
| Other Gifts and Grants | 3,074,400 | 3,378,700 | 304,300 |
| Sales and Services | | | |
| Departmental Sales and Services | 11,333,100 | 11,866,400 | 533,300 |
| | | | <u>\$ 5,354,600</u> |
| 2. Expenditures | | | |
| Community College System | | | |
| Ashland | 4,024,300 | 4,064,300 | 40,000 |
| Elizabethtown | 3,934,300 | 3,981,900 | 47,600 |
| Henderson | 2,720,800 | 2,787,300 | 66,500 |
| Hopkinsville | 2,553,500 | 2,716,900 | 163,400 |
| Jefferson | 11,613,100 | 11,752,100 | 139,000 |
| Lexington | 5,624,000 | 5,835,500 | 211,500 |
| Madisonville | 2,943,700 | 2,999,600 | 55,900 |
| Maysville | 2,373,000 | 2,403,000 | 30,000 |
| Paducah | 3,935,200 | 3,945,200 | 10,000 |
| Prestonsburg | 3,546,200 | 3,577,600 | 31,400 |
| Somerset | 3,286,000 | 3,366,400 | 80,400 |
| Southeast | 2,682,800 | 2,731,800 | 49,000 |
| Enrollment Growth Support | 1,147,800 | 2,496,400 | 1,348,600 |

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|---|----------------------------|---------------------------|---------------------|
| Information Systems | | | |
| Communications & Distributed Systems | 1,992,300 | 2,119,800 | 127,500 |
| Libraries | 6,399,400 | 6,414,400 | 15,000 |
| Lexington Campus | | | |
| College of Business and Economics | | | |
| Center for Business and Economics Research | 271,200 | 283,200 | 12,000 |
| College of Education | | | |
| Administration and Supervision | 285,800 | 342,000 | 56,200 |
| Special Education | 756,200 | 766,200 | 10,000 |
| Vocational Education-Business and Office Education | 121,500 | 184,500 | 63,000 |
| College of Engineering | | | |
| Kentucky Transportation Center | 15,000 | 27,000 | 12,000 |
| College of Home Economics | | | |
| Early Childhood Laboratory | 50,000 | 66,000 | 16,000 |
| Nutrition and Food Science | 521,700 | 535,400 | 13,700 |
| Family Studies | 607,800 | 611,300 | 3,500 |
| Human Environment: Textiles | 226,400 | 226,900 | 500 |
| Agriculture Cooperative Extension | | | |
| Area Programs | 16,086,300 | 16,322,200 | 235,900 |
| Agriculture Economics | 1,411,800 | 1,422,800 | 11,000 |
| Rural Sociology | 239,200 | 264,200 | 25,000 |
| Office of Vice Chancellor | | | |
| Office for International Programs | 532,700 | 632,700 | 100,000 |
| Student Affairs | | | |
| Student Financial Aid | 604,800 | 644,800 | 40,000 |
| Dean of Students | | | |
| Student Billing Services | 482,500 | 496,500 | 14,000 |
| Auxiliary and Business Services | | | |
| Public Safety Division | | | |
| Safety and Security | 1,119,500 | 1,126,500 | 7,000 |
| Parking | 854,700 | 953,700 | 99,000 |
| Medical Center | | | |
| College of Medicine | | | |
| Family Practice | 645,500 | 745,500 | 100,000 |
| Research and Graduate School | | | |
| Academic Support | | | |
| Nuclear Magnetic Resonance Facility | - | 2,000 | 2,000 |
| Center for Applied Energy Research | 3,119,800 | 3,137,800 | 18,000 |
| University-Wide | | | |
| General Expenses | 276,500 | 376,500 | 100,000 |
| Major Renovation and Equipment | 1,733,000 | 3,733,000 | 2,000,000 |
| | | | <u>\$ 5,354,600</u> |

3. Comments - The increase in regular registration fees will support enrollment growth in the fall and spring programs for the Community College System. The increase in summer registration fees supports enrollment growth in the summer program for Lexington Community College. The increase in continuing education fees supports those programs sponsored by the College of Home Economic's Family Center and community services programs in Lexington, Hopkinsville, and Elizabethtown Community Colleges. The increase in the miscellaneous tuition and fees supports activities in the Office of International Programs. The increase in County Appropriations supports the Field Extension program in the Agricultural Cooperative Extension Service. The increase in endowment income supports general expenses of the University. The increase in investment income will be utilized to support the major renovation and equipment purchases. The increase in Federal-Agriculture in gifts and grants supports the Water Quality Initiative in the Cooperative Extension Service. The increases in Federal Vocational Education gifts and grants supports programs in the College of Education's Vocational Education Bureau. The increase in other gifts and grants supports student activities in Madisonville, Henderson, Jefferson, Asnland, Paducah, Prestonsburg, and Somerset Community Colleges. The increase in departmental sales and services includes support for the Center for Applied Energy Research and the Nuclear Magnetic Resonance Facility in the Research and Graduate School; the Family Center, Prepared Food Sales, Nursery School, the Quality Control Laboratory, and the Early Childhood Laboratory in the College of Home Economics; the Central Kentucky Assessment Center, the Principal Internship program, and the Special Education Technology Center in the College of Education; the Engineering Transportation Research program in the College of Engineering; the Family Practice program in the College of Medicine; student testing services at Elizabethtown and Lexington Community Colleges; educational supplies at Somerset Community College; telephone operations; and parking lot maintenance.

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|----------------------------|----------------------------|---------------------------|---------------|
| B. RESTRICTED FUNDS | | | |
| 1. Income Estimates | \$ 42,147,000 | \$ 43,473,300 | \$ 1,326,300 |
| 2. Expenditures | | | |
| Central Administration | | | |
| Office of the President | 5,800 | 35,800 | 30,000 |
| Blue/White Fund | 3,175,800 | 3,925,800 | 750,000 |
| Community College System | | | |
| Ashland | 125,500 | 156,200 | 30,700 |
| Hazard | 232,700 | 245,700 | 13,000 |
| Madisonville | 339,900 | 342,900 | 3,000 |
| Central Administration | 25,700 | 129,900 | 104,200 |
| Lexington Campus | | | |
| College of Communications | | | |
| Journalism | 14,600 | 24,900 | 10,300 |
| College of Education | | | |
| Administration | - | 25,000 | 25,000 |
| College of Engineering | | | |
| Transportation Center | 350,000 | 353,000 | 3,000 |
| College of Fine Arts | | | |
| Center for the Arts | 500 | 3,900 | 3,400 |
| College of Home Economics | | | |
| Administration | 19,700 | 39,700 | 20,000 |

| | <u>Approved Budget</u> | <u>Revised Budget</u> | <u>Change</u> |
|---------------------------------------|----------------------------|---------------------------|---------------------|
| Agricultural Experiment Station | | | |
| Director | 205,100 | 210,100 | 5,000 |
| Agronomy | 583,200 | 618,400 | 35,200 |
| Medical Center | | | |
| College of Dentistry | | | |
| Department of Oral Health Practice | 46,200 | 47,200 | 1,000 |
| Department of Oral Health Science | 12,700 | 14,700 | 2,000 |
| Dental Pediatric Graduate Program | - | 3,500 | 3,500 |
| College of Medicine | | | |
| Family Practice | 215,500 | 469,500 | 254,000 |
| Pathology | 60,700 | 93,200 | 32,500 |
| Student Aid | 617,700 | 618,200 | 500 |
| | | | <u>\$ 1,326,300</u> |

3. Comments - Income restricted for the purposes indicated above will fund the proposed additional program activities.

C. AUXILIARY SERVICES

| | | | |
|--------------------------|---------------|---------------|-------------------|
| 1. Income Estimates | \$ 31,454,700 | \$ 32,048,100 | \$ 593,400 |
| 2. Expenditures | | | |
| Community College System | | | |
| Ashland | 489,300 | 579,300 | 90,000 |
| Hazard | 213,500 | 273,000 | 59,500 |
| Henderson | 264,100 | 286,600 | 22,500 |
| Jefferson | 1,480,600 | 1,586,600 | 106,000 |
| Madisonville | 335,000 | 435,000 | 100,000 |
| Owensboro | 401,000 | 430,000 | 29,000 |
| Paducah | 459,900 | 469,900 | 10,000 |
| Prestonsburg | 455,000 | 521,400 | 66,400 |
| Somerset | 288,300 | 335,200 | 46,900 |
| Lexington Campus | | | |
| Student Affairs | | | |
| Student Center | 1,146,400 | 1,209,500 | 63,100 |
| | | | <u>\$ 593,400</u> |

3. Comments - The proposed expenditure increases will be supported by additional income generated by the respective auxiliary operations.

Action Taken: Approved Disapproved _____ Other _____

Date: December 12, 1989

FCR 6

Members, Board of Trustees:

SPEARS-GILBERT PROFESSORSHIP OF LAW

Recommendation: that the Board of Trustees establish in the College of Law the Spears-Gilbert Professorship of Law at a sum of no less than \$150,000 which shall be funded from multiple gifts to the College specifically for this professorship, or from gifts designated for general use by the College which this resolution authorizes the dean to quasi-endow as funds are received.

Background: The principal of the Spears-Gilbert Professorship of Law shall initially consist of \$90,000, of which \$75,000 shall be furnished by the College of Law of the University and \$15,000 shall be furnished by Richard W. Spears. It is the intention of Mr. Spears to add \$15,000 to the professorship in each of the succeeding four years, which will result in a total final amount of \$150,000. The amount may be increased later by Mr. Spears or others. The principal is to be preserved by the University and invested to produce an annual dividend/interest income.

Richard W. Spears, a 1961 graduate of the University of Kentucky College of Law, has distinguished himself as a tireless and generous supporter of education, the University and the College of Law. He is a University Fellow, a member of the Lafferty Society of the College of Law and an Emeritus Member of the College of Law Visiting Committee. He presently is Senior Vice President of Ashland Oil, Inc.

In establishing this Professorship, Richard W. Spears elected to honor the following individuals:

His father, Woodridge Spears (1913-1989), B.A. Morehead College, M.A. University of Kentucky, Ph.D. University of Kentucky, who served until his retirement as a distinguished member of the Georgetown College faculty.

Porter H. Gilbert, B.A. Berea College, LL.B. University of Kentucky, who served until his retirement as General Counsel of N.A.S.A.

Kelly Spears (1878-1957), who was a teacher, farmer and Circuit Clerk of Greenup County, Kentucky.

Porter Gilbert (1875-1962), who was a Greenup County farmer and businessman.

Action taken: Approved Disapproved Other

Date: December 12, 1989

FCLR 7

Members, Board of Trustees:

BROWN, TODD & HEYBURN PROFESSORSHIP OF LAW

Recommendation: that the Board of Trustees establish in the College of Law the Brown, Todd & Heyburn Professorship of Law at a sum of no less than \$150,000 which shall be funded from multiple gifts to the College specifically for this professorship, or from gifts designated for general use by the College which this resolution authorizes the dean to quasi-endow as funds are received.

Background: The principal of the Brown, Todd & Heyburn Professorship of Law shall initially consist of \$90,000, of which \$75,000 shall be furnished by the College of Law of the University and \$15,000 shall be furnished by Brown, Todd & Heyburn. It is the intention of Brown, Todd & Heyburn to add \$15,000 to the professorship in each of the succeeding four years, which will result in a total final amount of \$150,000. The amount may be increased later by Brown, Todd & Heyburn or others. The principal is to be preserved by the University and invested to produce an annual dividend/interest income.

Brown, Todd & Heyburn is a distinguished Kentucky law firm that has a significant number of University of Kentucky College of Law graduates as partners and associates. The firm and its members have provided consistent and significant support to the College of Law, both financially and otherwise. Presently, one of the members of the firm is a member of the College of Law Visiting Committee, and other members of the Firm have previously served in that capacity. A number of members of the Firm are University Fellows and members of the Lafferty Society of the College of Law.

Brown, Todd & Heyburn is the first firm to provide the major funding for a professorship in the College of Law.

Action taken: Approved Disapproved _____ Other _____

Date: December 12, 1989

Office of the President
December 12, 1989

FLR8

Members, Board of Trustees:

PATENT ASSIGNMENT
RESEARCH AND GRADUATE STUDIES

Recommendation: that authorization be given to the proper officials of the University of Kentucky Board of Trustees to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of the following Letters Patent of the United States and the invention represented thereby: John M. Carney and Robert A. Floyd, Serial No. (to be assigned), filed (to be filed).

Background: John M. Carney and Robert A. Floyd have invented certain improvements in PHENYL BUTYL NITRONE COMPOSITIONS FOR TREATMENT OF OXIDATIVE TISSUE DAMAGE. They have assigned their rights to the invention to the University of Kentucky. In accord with usual practice, it is recommended that the University assign the patent to the University of Kentucky Research Foundation.

Action: Approved Disapproved Other

Date: December 12, 1989

The University of Kentucky, Room 11, Administration Building, Lexington, Kentucky 40506-0032, United States of America, in consideration of Five Dollars and other valuable consideration paid to it by University of Kentucky Research Foundation, a corporation of Kentucky having its principal place of business at Room 11, Administration Building, Lexington, Kentucky, the receipt of which is hereby acknowledged, does hereby sell, assign and transfer unto said University of Kentucky Research Foundation its successors and assigns, its entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Phenyl Butyl Nitro Compounds for Treatment of Oxidative Tissue Damage" described in an application filed herewith in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And it agrees, for itself and its executor and administrator, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And it does hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 12th day of December, 1989.

UNIVERSITY OF KENTUCKY

By *Foster Ockerman*

Title Chairman

State of Kentucky)
County of Fayette) ss.

Then personally appeared the above named Foster Ockerman, ~~Chairman, Board of Trustees~~ and acknowledged the foregoing instrument to be his/her free act and deed, before me, this 12th day of December



Sam M. Slatta
Notary Public State at Large
My Commission expires January 17, 1993

Office of the President
December 12, 1989

FCR 9

Members, Board of Trustees:

RESOLUTION ADOPTING PURCHASING REGULATIONS

Recommendation: that the Board of Trustees adopt the attached Regulations implementing the Model Procurement Code pursuant to KRS 164A.555 - 630.

Background: KRS 164A.555- 630 is the codification of House Bill 622 which gave the University the authority to manage its own financial affairs. Purchasing was included in this authorization. The Legislative Research Commission is attempting to centralize in one repository all rules and regulations pertaining to purchasing for all public agencies, and has asked the University to formally adopt and file its Purchasing Regulations with the Commission. This Resolution is required in order for the University to file its Purchasing Regulations with the Legislative Research Commission. Once the University of Kentucky Purchasing Regulations are formally filed, they will be made a part of the State Administrative Regulations.

Action: Approved Disapproved Other

Date: December 12, 1989

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:010. Delegation of purchasing authority.


RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1)

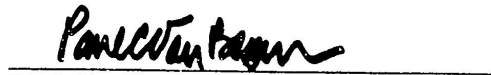
NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promugate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the provisions of 164A.560(1) relative to delegation of procurement activities.

Section 1. (1) The Board of Trustees has authorized the President of the University to delegate administrative responsibility and authority for executing the purchasing function to the Office of the Vice Chancellor of Administration for the Lexington Campus. This office is responsible for implementing the provisions of Administrative Regulations 765 KAR 1:020 to 765 KAR 1:150.

(2) The Vice Chancellor of Administration for the Lexington campus is authorized to subdelegate those activities or any portion thereof to his subordinates to the extent authorized by University of Kentucky Governing Regulations.


Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89


Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None
Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:020. Written procurement determinations.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b), 45A.025

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.025, relative to making procurement determinations.

Section 1. Every determination by a buyer or other purchasing employee, except secretarial, stenographic, or clerical employees, of the Purchasing Division engaged in or responsible for the performance of any procurement activity or function as provided by KRS Chapter 45A or regulations adopted pursuant thereto, shall be made in writing, based on written findings in support of said decision, and shall be signed by the employee making said determination.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

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If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes___ No__X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:030. Application to be placed on Official Bid List.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b), 45A.110

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.035(2)(e) and 45A.110.

Section 1. Any person, firm or corporation desiring to receive written notice of procurement requirements of the University may make application to have his name placed on a bidders' list for the types or kinds of procurement activities or functions he wishes to supply or provide. Upon request to the Purchasing Division a "Vendor's Application Form for Official Bid List" will be sent to any prospective bidder. Complete information as requested in the application must be submitted by the prospective bidder before his name will be placed on the University of Kentucky official bidders list.

Section 2. (1) Upon receipt of a completed "Vendor's Application Form for Official Bid List," the qualifications of the prospective bidder will be verified in terms of:

(a) The ability and capacity to perform on a timely basis under contract for goods and services which he desires to bid on and furnish.

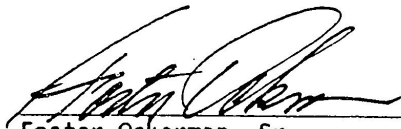
(b) Good character, integrity, reputation, and experience.

(c) Satisfactory performance in prior dealings with the University of Kentucky and/or the Commonwealth of Kentucky.

(d) Previous satisfactory compliance with the health rules and regulations of the University of Kentucky or the Commonwealth of Kentucky.

(2) The Purchasing Division may refuse to list any prospective bidder not meeting the minimum qualifications set forth above. The prospective bidder has the burden of showing that he meets the qualifications for inclusion on the bidders' lists to which he seeks to gain entry. The prospective bidder will be promptly advised if his application is disapproved and the reason or reasons for disapproval. A prospective bidder may appeal the disapproval of his application to the Vice Chancellor of Administration for the Lexington Campus. The

appeal must be in writing and filed in the office of the Vice Chancellor within two (2) calendar weeks after the date of the notice of disapproval of the application; grounds for the appeal shall be stated with reasonable particularity and shall relate directly to reason or reasons for disapproval of the application. Any prospective bidder whose "Vendor's Application Form for Official Bid List" is disapproved may reapply after the expiration of six (6) months following the date of disapproval of his last application.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:030

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues:
None
Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication:
None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes No

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:040. Performance bonds; forms; payments.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b), 45A.190,
45A.195

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.190 and 45A.195.

Section 1. (1) Every contractor to whom it is proposed to award a contract for construction services costing more than \$25,000 shall, prior to the award of such contract, give a bond or bonds to the University of Kentucky as obligee, in form satisfactory to the Purchasing Division, executed by a surety company authorized to do business in Kentucky, and in a penal sum equal to 100 percent of the contract price as it may be increased, the conditions of which shall bind the contractor, as principal, and the surety to the performance of the contract according to the terms, conditions and specifications of the

contract, and in any changes or modifications thereto, and to the payment of all costs for labor, materials, equipment, supplies, taxes, and other proper charges and expenses incurred or to be incurred in the performance of said contract.

(2) Every contractor to whom it is proposed to award a contract for construction services costing \$25,000 or less, shall, prior to the award of such contract, give bond to the University of Kentucky, as obligee, as provided in subsection (1) of this section, when required by the terms of an invitation for bids issued pursuant to KRS 45A.080, or an advertisement and solicitation for proposals for competitive negotiations pursuant to KRS 45A.085 and 45A.090.

Section 2. The provisions of Section 1 of this regulation notwithstanding, every contractor to whom it is proposed to award a contract for the purchase of commodities, supplies or equipment or services by the University of Kentucky shall, when required by the terms of an invitation for bids, or solicitation or request for proposals, give bond to the University of Kentucky, as obligee with surety satisfactory to the Purchasing Division, in a penal amount, not to exceed 100 percent of the contract price, to be determined by the

Purchasing Division as sufficient to assure faithful performance of the contract by the contractor according to its terms.


Section 3. A contract shall not be awarded to any contractor who fails or refuses to give bond to the University when required as provided by KRS 45A.190 and this regulation.

Section 4. A contractor may be declared in default of his contract with the University of Kentucky, and his bond forfeited, when it is determined by the purchasing official that the contractor is in breach of the terms and conditions of the contract, including, in contracts for construction services, failure to make timely payment of bills for labor, materials and supplies as evidenced by liens filed against the construction fund by laborers and suppliers pursuant to KRS 376.195 to 376.260, or by letters of indebtedness filed with the Purchasing Division evidencing that such bills are due and have not been paid by the contractor.


Section 5. (1) The form of performance and payment bond required to be given by contractors pursuant to Section 1 of this regulation, including the terms and conditions thereof, shall be included in each capital construction bid issued. Such form of bond shall be applicable to, and included in all

contracts for construction services when required by KRS 45A.190 and this regulation; provided, however, that such bond form may be modified, or different terms substituted or other terms added, when, in connection with a particular procurement, it is determined in writing by the purchasing official that such modification, substitution or addition of terms is reasonably required for the procurement in the best interest of the University of Kentucky.

(2) The form of bond required to secure the performance of all other contracts for procurement shall be the standard form of performance or payment bond such as is usually and customarily written and issued by surety companies authorized to do business in Kentucky, together with such additional terms as may be required by the Purchasing Division and agreed to by the surety.


Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/85


Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:040

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes _____ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:050. Competitive sealed bidding.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b), 45A.080

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.080.

Section 1. All contracts for construction exceeding an estimated cost of \$10,000, and \$5,000 for all other purchases, shall be awarded upon the basis of competitive sealed bids unless it is determined in writing that this method is not practicable and that the procurement may, in the best interests of the University, more practically be obtained through competitive negotiations.

Section 2. The Purchasing Division shall cause public notice of invitations for bids for furnishing procurement requirements of the University through newspaper advertisement in the manner set forth in KRS 45A.080(3) and shall solicit

bids from interested persons listed on the bidders' lists for particular requirements by sending invitations for bids to at least ten (10) persons listed in such bidders' lists. If there are not ten (10) persons listed on a particular bidders' list, invitations shall be sent to all persons listed on such list.

Section 3. Bidders shall complete, execute and submit their bids in strict compliance with the instructions contained in the invitation for bids. Bid forms shall be provided by the Purchasing Division and a bidder responding to an invitation for bids shall use only the bid form or form of proposal furnished by the Purchasing Division in submitting his bids.

Section 4. Bidders shall submit their bids at the place and at, or prior to the date and hour set in the invitation for bids. Bids received after the hour set for opening bids are late bids and shall be so marked. A late bid shall not be considered for an award unless no other bid is received in response to an invitation for bids. The late bid, together with the envelope in which the bid was submitted bearing the stamped date and hour of receipt of the bid, and a note, signed by the buyer, indicating whether or not the bid was considered for an award shall be retained in the file pertaining to the invitation for bids to which the late bid relates.

Section 5. All bids, and any modifications to bids previously filed, received prior to the date and hour fixed for opening bids shall be kept secure and unopened. Envelopes containing bids but not marked to indicate that they contain a bid and listing the invitation for bids number and the date and hour of opening bids for that invitation may be opened for the purpose of identification of the contents of the envelope and will be marked and resealed.

Section 6. The buyer or other employee of the Purchasing Division designated to open the bids shall determine when the time set for opening bids has arrived and shall so declare the time to those present for the bid opening. He shall then and there personally, in the presence of the bidders or their representatives and anyone else who may wish to attend the bid opening, open all bids received as of that date and hour; when practical, the names of the bidders and the amounts of their bids may be read aloud to the persons present. Except where, due to the nature or complexity of an invitation for bids, it may be deemed impractical, a bid tabulation summary sheet shall be prepared for each invitation for bids recording the name of each bidder, a description of the supplies or services bid and

the amounts of the bids received. The bid tabulation summary sheet shall be permanently retained in the file pertaining to that invitation for bids and shall be available for public inspection. Inspection of bids by interested persons shall not be permitted or authorized during the formal bid opening process.

Section 7. The bids shall be examined by the buyer responsible for the procurement for any clerical or technical errors, reviewed for technical compliance with the terms of the invitation for bids, and the supplies or services bid evaluated for conformity with the specifications contained in the invitation for bids. Every bidder shall, when requested by the purchasing official responsible for the particular procurement, clarify or explain, in writing, any matter contained in his bid about which the purchasing officer may have question or believes in good faith needs to be clarified and explained. The bid of any bidder who fails or refuses, within a reasonable time to give a written clarification or explanation of his bid, or any part thereof, when requested to do so by the purchasing officer, shall not be considered further for an award on the basis of that invitation for bids. The written clarification or

explanation of a bid, or a part of a bid, shall be incorporated in and become a part of any contract awarded on the basis of that bid. In due course, and after a reasonable bid evaluation period, the contract shall be awarded to the responsive and responsible bidder whose bid is either the lowest bid price or the lowest evaluated bid price, whichever is determined by the purchasing official to be in the best interests of the University or as designated in the invitation for bids as the basis for award of the contract. If, after evaluation of the bids, including consideration of any clarifying or explanatory information submitted by the bidders, it is determined by the purchasing officer that no satisfactory bid has been received, all bids may be rejected and, in the discretion of the purchasing officer, the invitation for bids cancelled, new bids invited on the basis of the same or revised specifications, or competitive negotiations undertaken for the procurement. The basis for the rejection of all bids and subsequent action taken or to be taken with respect to the invitation for bids shall be recorded in writing and filed in the invitation for bids file relating to the particular procurement.

Section 8. (1) The right to reject any and all bids and to waive technicalities and minor irregularities in bids shall be maintained and preserved in the case of all invitations for bids issued by the Purchasing Division.

(2) Grounds for the rejection of bids include but shall not to be limited to:

(a) Failure of a bid to conform to the essential requirements of an invitation for bids.

(b) Any bid which does not conform to the specifications contained or referenced in any invitation for bids shall be rejected unless the invitation authorized the submission of alternate bids and the items offered as alternatives meet the requirements specified in the invitation.

(c) Any bid which fails to conform to a delivery schedule established in an invitation for bids.

(d) A bid imposing conditions which would modify the terms and conditions of the invitation for bids, or limit the bidder's liability to the University on the contract awarded on the basis of such invitation for bids.

(e) Any bid determined by the purchasing officer in writing to be unreasonable as to price.

(f) Bids received from bidders determined to be not responsible bidders.

(g) Failure to furnish a bid guarantee when required by an invitation for bids.

(3) Technicalities or minor irregularities in bids which may be waived when the purchasing official determines that it will be in the University's best interest to do so, are mere matters of form not affecting the material substance of a bid or some immaterial deviation from or variation in the precise requirements of the invitation for bids and having no or a trivial or negligible effect on price, quality, quantity or delivery of supplies or performance of the services being procured, the correction or waiver of which will not affect the relative standing of, or be otherwise prejudicial to other bidders. The purchasing officer may either give a bidder an opportunity to cure any deficiency resulting from a technicality or minor irregularity in his bid, or waive such deficiency where it is advantageous to the University to do so.

Section 9. Where a mistake in a bid is claimed, and the evidence is clear and convincing that a material mistake was made in the bid and that due to such mistake, the bid submitted

was not the bid intended, the bidder may be permitted to withdraw his bid. It shall be the duty of all contractors bidding to carefully review and verify the accuracy of their bids both before submitting them and prior to execution of a contract. When a mistake in a bid is claimed after the award and execution of a contract, on the basis of such bid, the contractor shall be required to perform according to the terms and conditions of the contract unless it is established by clear and convincing evidence that a material mistake had been made in the original bid and that the contractor would sustain a financial loss if required to perform the contract according to its terms; a reduction or diminution in profit margin shall not be deemed a financial loss under this section. Where the evidence is clear and convincing that a material mistake has been made in a bid after the award of a contract, and the contractor will sustain a financial loss if required to perform the contract, the contract shall be rescinded and the contractor shall be ineligible to submit a bid upon readvertisement for the construction services.

Section 10. The following matters shall be applicable to all invitations for bids issued, bids submitted, and contracts

awarded for the purchase of commodities, supplies and equipment pursuant to KRS 45A.080 and this regulation:

(1) Time discounts or cash discounts shall not be considered.

(2) Trade discounts. Trade discounts should be deducted by the vendor in calculating the unit price quoted, unless otherwise indicated in the bid.

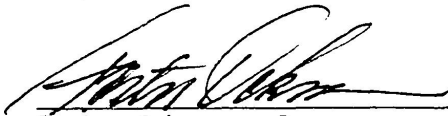
(3) Quantity discounts. Quantity discounts should be included in the price of the item. When not included in the item price, the discount shall be considered only if the Purchasing Division deems it to be in the University's best interests. The unit price shown on the contract shall be the net price, less the discount, unless otherwise indicated in the bid.

(4) Unit prices. In case of a discrepancy in the extension of a price, the unit or item price shall govern over the total price of all items.

(5) Awards on an aggregate or individual item basis. An award may be made to the lowest aggregate bidder for all items, group of items, or on an individual item basis, whichever is deemed to be in the University's best interest. The methods and

bases of award of contract and of evaluation of bids shall be stated in the invitation for bids.

(6) Telegraphic bids. When the Purchasing Division has invited bids or requested written quotations, telegraphic responses shall not be accepted.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/14/09



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:050

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes___ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:060. Competitively negotiated contracts.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b), 45A.085,
45A.090

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.085 and 45A.090 relating to competitively negotiated contracts.

Section 1. When, due to the complex nature or technical detail of a particular procurement, or when, in the opinion of the purchasing official, specifications cannot be fairly and objectively prepared so as to permit competition in the invitation for sealed bids, or for high technology electronic equipment available from a limited number of sources of supply and for which specifications cannot practicably be prepared except by reference to the specifications of the equipment of a single source of supply, or when it is otherwise determined by

the purchasing official that the invitation for competitive sealed bids is not practicable, or when it is determined by the purchasing official that the conditions described in KRS 45A.085(3) or 45A.090(1) exist, and except for procurements under KRS 45A.095 and 45A.100, and regulations adopted pursuant thereto, a contract may be awarded for a procurement by competitive negotiations as authorized by KRS 45A.085 and 45A.090 and this regulation. The purchasing official shall make a written determination of the reasons it is considered impractical to invite bids prior to initiating any other action leading toward the award of a contract on the basis of competitive negotiations.

Section 2. When it has been determined that it is not practical to invite competitive bids as provided in Section 1 of this regulation, except when such determination is based on the existence of the conditions mentioned in KRS 45A.085(3) or 45A.090(1), action to obtain a procurement by competitive negotiations shall commence by advertisement and solicitation for written proposals in the manner specified by KRS 45A.080(3) and regulations adopted pursuant thereto. The advertisement or solicitation for proposals for competitive negotiations shall

state:

(1) That the University proposes to enter into competitive negotiations with responsible offerors for a procurement;

(2) The date, hour and place that written proposals for the procurement shall be received;

(3) The type of procurement involved and a description of the supplies or services sought; provided, however, that detailed specifications need not be listed in newspaper advertisements, or solicitations for proposals sent to vendors listed on a bidder's list maintained by the University if it is considered impractical by the purchasing official to do so, but potential offerors shall be informed by such advertisement or solicitation where such detailed specifications, if available for the particular procurement, may be obtained;

(4) The evaluation factors to be considered by the University in the competitive negotiations in determining the proposal most advantageous to the University, and the proposed method or methods of award of contract;

(5) Such other information as, in the opinion of the purchasing official, may be desirable or necessary to reasonably inform potential offerors about the requirements of the procurement or the limits or bounds of the competitive negotiations proposed to obtain the procurement.

Section 3. All written proposals received by the University in response to advertisement or solicitation for proposals for competitive negotiations shall be kept secure and unopened until the date and hour set for opening the proposals. Proposals for competitive negotiations not clearly marked as such on the envelope in which received may be opened for identification purposes, and shall be appropriately identified with reference to the particular procurement and resealed until the time for opening proposals.

Section 4. At the close of business on, or at the beginning of the next business day after the date fixed for receiving proposals for competitive negotiations, all proposals received as of the close of business on that date shall be transmitted to the purchasing official for the procurement for opening. Proposals for competitive negotiations shall not be subject to public inspection until negotiations between the University and all offerors have been concluded and a contract awarded to the responsible offeror submitting the proposal determined in writing to be the most advantageous to the University, price, and the evaluation factors set forth in the advertisement and solicitations for proposals considered.

Section 5. (1) The purchasing official shall examine each written proposal received for general conformity with the advertised terms of the procurement. If it has been provided in the advertisement or solicitation for proposals that an award may be made without written or oral discussions, the purchasing official may, upon the basis of the written proposals received, award the contract to the responsible offeror submitting the proposal determined in writing to be the most advantageous to the University, price, and the published evaluation factors considered. If, after the proposals have been examined, it is determined that written and/or oral discussions should be had with the offerors, the purchasing official shall determine in writing, based on an individual review, those proposals received from responsible offerors that are preliminarily susceptible of being selected for award of a contract for the procurement. Each such offeror shall be contacted informally by the purchasing official and a meeting scheduled for discussion of the offeror's proposals. Discussions need not be conducted under the circumstances of or relative to the topics enumerated in KRS 45A.085(6)(a), (b) or (c).

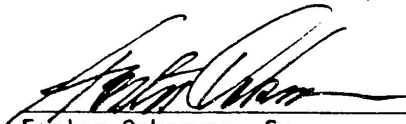
(2) Discussions with offerors shall be held informally and may be conducted orally, in writing, or both orally and in writing, as determined by the purchasing official in writing to be the most advantageous to the University. If, however, after discussions with all responsible offerors have concluded, or after examination of the written proposals initially submitted, it is determined that no acceptable proposal has been submitted, any or all proposals may be rejected and, in the discretion of the purchasing official, new proposals may be solicited as provided in this regulation on the basis of the same, or revised terms, or the procurement may be abandoned.

Section 6. The purchasing official shall prepare a written summary of all oral discussions in competitive negotiations setting forth the date or dates of discussions with all responsible offerors and the general substance of the discussions. Verbatim records of the discussion shall not be required.

Section 7. When it is determined in writing by the purchasing official that the conditions mentioned in either KRS 45A.085(3), or 45A.090(1), exist with respect to any particular procurement, competitive negotiations may be undertaken to

obtain the requirements of such procurement as provided by KRS 45A.085(3) or 45A.090(1), and according to the procedures set forth in Sections 3 to 7 of this regulation.

Section 8. When, after solicitation for proposals to enter into competitive negotiations only one (1) proposal responsive to the solicitation is received, the purchasing official may commence negotiations with the single offeror and any resulting contract entered into with that offeror shall be deemed to have been competitively negotiated and awarded in accordance with KRS 45A.085 and this regulation; provided, however, that the terms and conditions of any such contract shall not in any material respect deviate in a manner detrimental to the Purchasing Division from the terms and conditions specified in the solicitation for proposals.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:060

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes _____ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:070. Small purchase procedures.

RELATES TO: KRS Chapter 164A.555 – 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b) and
45A.100

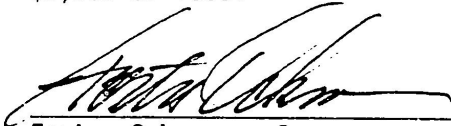
NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 – 630. This regulation implements the principles of KRS 45A.035(2)(c) and 45A.100, relating to small purchase procedures.

Section 1. Small purchase procedures may be used by the University within the aggregate amounts prescribed in this regulation. Procurement requirements shall not be parceled, split, divided or purchased over a period of time in order to meet the dollar limitations for use of small purchase procedures. Aggregate amounts for small purchases are:

- (1) \$10,000 for construction services;
- (2) \$5,000 for all other categories of goods and services purchased.

Section 2. (1) The University shall informally obtain three (3) or more price quotations from qualified sources of supply for all small purchases between \$3,000 and \$10,000 for construction services and between \$3000 and \$5,000 for all other purchases. The price quotations received, a tabulation of prices offered, and comments by the purchasing agent handling the small purchase concerning the basis selected for placing the order, shall be recorded in writing and shall be filed in a solicitation file to be maintained by the Purchasing Division.

(2) Small purchases may be from any available source of supply, without first obtaining price quotations from other sources for all other categories of goods and services costing \$3,000 or less.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/09



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:070

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes___ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:080. Noncompetitive negotiations.

RELATES TO: KRS Chapter 164A.555 -630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.575 and
164A.630(b)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the provisions of KRS 164A.575.

Section 1. Procurement contracts may be awarded through noncompetitive negotiations only as provided in this regulation. Contracts which may be awarded on the basis of noncompetitive negotiations include, and shall be limited to the following:

(1) Contractual services where no competition exists, such as telephone service, electrical energy, and other public utility services;

(2) Food, clothing, equipment, supplies, or other materials to be used in laboratory and experimental studies;

(3) Instructional materials available from only one (1) source;

(4) Where rates are fixed by law or ordinance;

(5) Library books;

(6) Commercial items that are purchased for resale;

(7) Professional, technical, scientific or artistic services, but contracts shall be submitted in accordance with KRS 45.700 and 45.720;

(8) All other commodities, equipment and services which, in the reasonable discretion of the Vice Chancellor of Administration for the Lexington Campus, are available from only one (1) source; and

(9) Interests in real property.

Section 2. The Vice Chancellor of Administration for the Lexington Campus is authorized to negotiate with vendors who maintain a general service administration price agreement with the United States of America or any agency thereof, provided, however, that no contract executed under this provision shall authorize a price higher than is contained in the contract between General Service Administration and the vendor affected.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/2/87



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

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If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:080

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes ___ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:090. Multiple contracts.

RELATES TO: KRS Chapter 164A.555 - 630

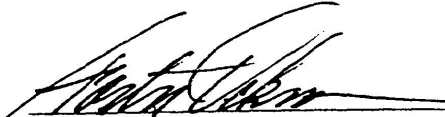
STATUTORY AUTHORITY: KRS 164A.560(1) and 164A.630(b)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.035(2)(h).


Section 1. Multiple contracts may be awarded on the basis of a single invitation for bids as after competitive negotiations when it is determined in writing by the purchasing official in advance of the invitation for bids or the advertisement and solicitation for proposals for competitive negotiations that due to the geographic distribution of the departments requiring supplies of the kind or kinds to be sought through the procurement, the need for a variety of kinds and quality of supplies of the same general nature, or when it is otherwise determined that the award of multiple contracts may be in the University's best interests, and its needs met at

a reasonable cost. A determination, and notice to potential bidders and offerors, that multiple contracts may be awarded for any procurement shall not preclude the award of a single contract for such procurement where it is determined by the purchasing official to be in the best interest of the University, price and other factors considered.

Section 2. When it is determined in writing by the purchasing official after the evaluation of competitive bids, or the closing of competitive negotiations, that bids or offers substantially and materially responsive to terms of the procurement have been received for only a part or parts of the requirements of the procurement, and the bids or offers received for another part or parts of the procurement are not substantially and materially responsive to such terms, a contract or contracts may be awarded as to the part or parts of the procurement for which responsive bids or offers have been received, and the bids or offers determined to be nonresponsive may be rejected in the discretion of the purchasing official and new bids invited, or proposals for competitive negotiations for the procurement advertised and solicited, on the same or revised terms, conditions and specifications.


Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/05


Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:090

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes _____ No X

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:100. Contract modifications.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b) and
45A.210(1)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.210(1).

Section 1. The Purchasing Division shall be authorized to provide by appropriate clauses to contracts for supplies or services of all types for changes and modifications to such contracts and providing for the method or methods of calculating the costs of any decrease, increase, or other change in the contract price resulting from such change or modification. In contracts for the purchase in fixed amounts of commodities, supplies and equipment, increases in quantities in excess of ten (10) percent of the original quantity, fixed by

the contract shall not be permitted unless the invitation for bids or advertisement and solicitation for proposals for competitive negotiations for the procurement informed prospective bidders or offerors that an increase in quantities might be forthcoming, nor shall increases in unit prices be permitted in such contracts for increased quantities except as provided by a price escalation formula authorized by the invitation for bids or request for proposals for competitive negotiations.

Section 2. All changes or modifications to contracts for the purchase of commodities, supplies, equipment and construction services shall be effected by an advice of change in order to the contract which shall be supported by a written determination by the purchasing official documenting the reason and basis for the change or modification to the contract. A copy of the advice of change in order and the supporting documentation relative to any change or modification to a contract shall be filed and maintained in the contract file by the Purchasing Division.

Section 3. Every contractor awarded a contract containing clauses authorizing changes or modifications to the contract

shall be deemed, by acceptance of the contract, to have agreed to the changes or modifications of the contract as provided therein.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date

12/21/89



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ: NO.: 765 KAR 1:100

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes___ No X

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:110. Termination of contracts.

RELATES TO: KRS Chapter 164A.555 – 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b) and
45A.210(2), (3)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 – 630. This regulation implements the principles of KRS 45A.210(2), (3).

Section 1. (1) Any contractor who is determined in writing by the purchasing official to be in breach of any of the terms and conditions of a contract with the University of Kentucky held by such contractor, shall, in the discretion of the purchasing official, be declared in default and such contract may be terminated as a result of such default.

(2) A default in performance by a contractor for which a contract may be terminated shall include, but shall not necessarily be limited to, failure to perform the contract according to its terms, conditions and specifications; failure

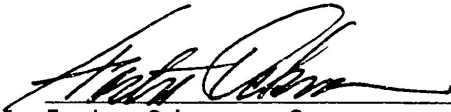
to make delivery within the time specified or according to a delivery schedule fixed by the contract; late payment or nonpayment of bills for labor, materials, supplies, or equipment furnished in connection with a contract for construction services as evidenced by mechanics' liens filed pursuant to the provisions of KRS Chapter 376, or letters of indebtedness received from creditors by the Purchasing Division; failure to diligently prosecute the work under a contract for construction services.

(3) The University shall not be liable for any further payment to a contractor under a contract terminated for the contractor's default after the date of such default as determined by the purchasing official except for commodities, supplies, equipment or services delivered and accepted on or before the date of default and for which payment had not been made as of that date. The contractor, and/or his surety, if a performance or payment bond has been required under the contract, shall be jointly and severally liable to the University for all loss, cost or damage sustained by the University as a result of the contractor's default; provided, however, that a contractor's surety liability shall not exceed

the final sum specified in the contractor's bond.

Section 2. The University shall be authorized to terminate for its own convenience all contracts for the procurement of supplies and services when the purchasing official has determined that such termination will be in the University's best interests. When it has been determined that a contract should be terminated for the convenience of the University, the University shall be authorized to negotiate a settlement with the contractor according to terms deemed just and equitable by the University. Compensation to a contractor for lost profits on a contract terminated for convenience of the University shall not exceed an amount proportionate to the sum that the contractor's total expected margin of profit on the contract bore to the contract price, based on the total out of pocket expense incurred by the contractor as of the date of termination of the contract. Whenever a contract is terminated for the convenience of the University, the contractor shall have the burden of establishing the amount of compensation to which he believes himself to be entitled by the submission of complete and accurate cost data employed in submitting his bid

or proposal for the contract, and evidence of expenses paid or incurred in performance of the contract from the date of award through the date of termination. Payment of the sum agreed to in settlement of a contract terminated for convenience of the University shall be made from the same source of funds or account as the original contract.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

This hearing is open to the public. Any person who attends will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made.

If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO 765 KAR 1:110

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None
Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes____ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:120. General and special conditions for bidding.

RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1) and 164A.630(b)

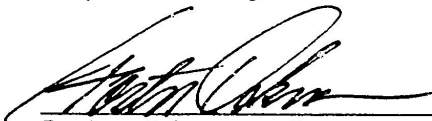
NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.035(2)(e).

Section 1. The Vice Chancellor of Administration for the Lexington Campus shall adopt, and revise from time to time as may be necessary and convenient, general conditions for bidding to the University of Kentucky. The divisions shall also be authorized to promulgate and adopt in relation to any particular procurement, or class or type of procurement, special conditions, supplemental to and in extension of the general conditions of bidding.

Section 2. The general conditions of bidding shall be applicable to, and incorporated by reference in all invitations for bids issued by the Purchasing Division.

Section 3. All vendors, firms, contractors and persons who submit a bid in response to an invitation for bids issued by the Purchasing Division shall be deemed to have agreed to comply with all terms, conditions, and specifications of such invitation for bids.

Section 4. The general conditions of bidding, or specific portions thereof, shall be applicable to all requests for proposals for competitive negotiations pursuant to KRS 45A.085 and 45A.090, in the discretion of the Purchasing Division; provided, however, the advertisement and solicitation for proposals for competitive negotiations shall inform prospective offerors that the request for proposals shall be subject to the general conditions or parts thereof, by specific reference to the particular parts or sections of the general conditions applicable to the particular procurement to be obtained by the competitive negotiations.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

A public hearing on this administrative regulation shall be held on January 22, 1990 at 9:00 a.m. at the University of Kentucky, Room 226 Peterson Service Building. Individuals interested in attending this hearing shall notify this agency in writing by January 17, 1990, five days prior to the hearing, of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be cancelled.

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If you do not wish to attend the public hearing, you may submit written comments on the proposed administrative regulation.

Send written notification of intent to attend the public hearing or written comments on the proposed administrative regulation to:

Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:120

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs
(note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs:
None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes _____ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:130. General food and perishable items
purchasing.

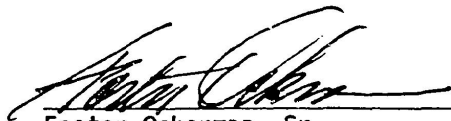
RELATES TO: KRS Chapter 164A.555 - 630

STATUTORY AUTHORITY: KRS 164A.560(1), 164A.630(b)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promugate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the principles of KRS 45A.035(2)(d).

Section 1. The conditions and procedures for the purchase of general food and perishable items and commercial items purchased for resale shall be established by written policy published by the Vice Chancellor of Administration for the Lexington Campus and revised from time to time as may be required.

Section 2. Commercial items purchased for resale shall be exempt from competitive bidding.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

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Tom Fields, Director
Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:130

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None

Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None

These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes _____ No X

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:140. Disciplinary action for failure to perform.

RELATES TO: KRS Chapter 164A.555 – 630

STATUTORY AUTHORITY: KRS 164A.560(1) and 164A.560(b)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 – 630. This regulation implements the principles of KRS 45A.035(2)(b).

Section 1. Any bidder or contractor to the University of Kentucky who, except for good cause shown, shall have committed, or failed to perform, as the context may require, one or more of the following acts or omissions, shall be liable to disciplinary action by the Purchasing Division as set forth in Section 2 of this regulation. Specific grounds for disciplinary action include:

(1) Failure to post bid or performance bonds, or to provide alternate bid or performance guarantee in form acceptable to the Purchasing Division in lieu of a bond, as required by an invitation for bids or a solicitation for proposals;

(2) Substitution of commodities without the prior written approval of the Purchasing Division;

(3) Failure to comply with the terms and conditions of the invitation for bids or solicitation for proposals, or with the terms, conditions and specifications of a contract, including failure to complete performance of a contract within the time specified in the contract;

(4) Failure to replace inferior or defective materials, supplies or equipment immediately after notification by the Purchasing Division or the department to which such materials, supplies or equipment has been delivered;

(5) Failure by a bidder listed on a bidder's list to respond to three (3) (five (5) for construction service contracts) invitations for bids sent to such bidder;

(6) Refusal to accept a contract awarded pursuant to the terms of an invitation for bids, or following the close of competitive negotiations;

(7) Falsifying invoices, or making false representations to the University, or untrue statements about, any payment under a contract, or to procure award of a contract, or to induce a modification in the price or the terms of a contract to the contractor's advantage;

(8) Collusion, or collaboration with another bidder or other bidders, in the submission of a bid or bids for the purpose of lessening or reducing competition;

(9) Falsifying information in the submission of an application for listing on a Purchasing Division bidders' list.

(10) Failure to report, and to pay over to the Revenue Cabinet any Kentucky sales and/or use taxes as may be due in connection with a procurement contract as provided by law;

(11) Failure to comply with the prevailing wage law requirements of state or federal laws as may be applicable to any public works contract of the University.

Section 2. (1) Any contractor preliminarily determined to have done any act prohibited, or to have failed to do any act required by Section 1(1) to (6) of this regulation shall, in the discretion of the Vice Chancellor of Administration for the Lexington Campus, be liable to be placed on probation, or suspended from bidding to the University of Kentucky, or a combination of suspension from bidding and probation, for not more twelve (12) months.

(2) Any contractor preliminarily determined to have done any act prohibited by Section 1(7), (8) and (9) of this regulation shall be removed from the bidders' lists and shall be ineligible for reinstatement to such lists for a period not to exceed twenty-four (24) months following the date of removal. Any contractor removed from the bidders' lists under this section shall be eligible to apply for reinstatement as provided in 765 KAR 1:030, after the expiration of the removal period.

(3) Any contractor, or any subcontractor to a contractor, determined by the Labor Cabinet to have violated the prevailing wage requirements of KRS Chapter 337 shall be suspended from bidding to the University of Kentucky, or to participate in a public works contract of the University of Kentucky, effective on and after the date the Purchasing Division receives notice from the Labor Cabinet that such contractor or subcontractor has been determined to have violated the prevailing wage law, and until such time as the Labor Cabinet has determined the contractor or subcontractor to be in compliance with the requirements of such law.

Section 3. Except for the grounds mentioned in Section 1(5), (6) and (11) of this regulation a preliminary written determination shall be made concerning the facts of any allegation or claim that a bidder or contractor has either committed an act prohibited, or failed to perform an act required, by Section 1 of this regulation before any disciplinary action is taken against such contractor. Such preliminary determination shall be submitted to the Office of General Counsel, University of Kentucky, for review prior to the administration of any disciplinary action as authorized by Section 2 of this regulation. Notice of disciplinary action shall be sent to the bidder or contractor at the address shown in the Purchasing Division's records by certified mail, return receipt requested.

Section 4. Bidders or contractors against whom disciplinary action has been taken under this regulation may appeal the action to the Vice Chancellor of Administration for the Lexington Campus. The appeal must be filed in the office of the Vice Chancellor within ten (10) working days after the date of notice of the disciplinary action has been received by the bidder or contractor as shown by the certified mail receipt.

The appeal must be filed in writing and must state facts showing cause why the disciplinary action should be set aside. An appeal constituting a general denial of the charges contained in the notice of disciplinary action, unless supported by specific facts rebutting such charges, shall be preemptorily dismissed. The appellant may request either a formal hearing before a hearing officer to be designated by the Vice Chancellor to take proof and make findings and recommendations to the Vice Chancellor, or an informal hearing to be conducted by the Division Director having jurisdiction over the particular procurement activity or function, or his designee. A written report of the substance of the matters raised in such informal hearing shall be prepared and submitted to the Vice Chancellor and recommending that the appeal be sustained or denied. The rules of evidence shall not apply in either formal or informal hearings conducted under this section and any matter considered pertinent to the issues of the hearing shall be admissible, subject only to the determination by the presiding officer as to the proper weight to be accorded all matters introduced at the hearing.

Section 5. No purchase of any kind shall be made by the University from a bidder or contractor who has been suspended or removed from the bidders' lists, except for those removed for the grounds stated in Section 1(5) of this regulation. All University departments shall be promptly informed about bidders or contractors suspended or removed from the bidders' lists and shall immediately comply with this prohibition.

Section 6. The administration of disciplinary action against a bidder, potential bidder or contractor under this regulation shall not preclude the taking of other action by the University, based on the same facts, as may be otherwise available, either at law or in equity, including, without limitation to the generality thereof, suits for damages or actions for specific performance.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/95



Paul VanBooven
Attorney
University of Kentucky

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University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:140

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

(a) Direct and indirect costs or saving to those affected: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316. In the past, the University of Kentucky Purchasing Division has been adhering to these regulations, consequently there will be no additional direct or indirect costs related to these new administrative regulations.

1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

(b) Reporting and paperwork requirements: No additional requirements

(3) Assessment of anticipated effect on state and local revenues: None
Currently, the University of Kentucky Procurement and Construction Division is budgeted for complying with these administrative regulations.

(4) Assessment of alternative methods; reasons why alternatives were rejected: None, this method is a statutory requirement

(5) Identify any statute, administrative regulation or government policy which may be in conflict, overlapping, or duplication: None
These regulations are based on Kentucky Administrative Regulation's No. 200 KAR 5:301 - 5:316.

(a) Necessity of proposed regulation, if in conflict: N/A

(b) If in conflict, was effort made to harmonize the proposed administrative regulation with conflicting provisions: N/A

(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes No

All levels follow the same requirement.

UNIVERSITY OF KENTUCKY

BOARD OF TRUSTEES

765 KAR 1:150. Works of art.

RELATES TO: KRS Chapter 164A.555 - 630

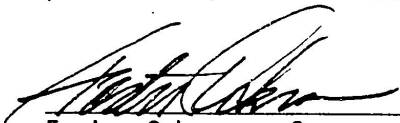
STATUTORY AUTHORITY: KRS 164A.560 and 164A.630(b)

NECESSITY AND FUNCTION: The University of Kentucky Board of Trustees is authorized by KRS 164A.560(1) to promulgate purchasing regulations for the implementation of the provisions of KRS 164A.555 - 630. This regulation implements the provisions of KRS 45A.050.

Section 1. The University of Kentucky, which maintains a museum, shall be authorized to purchase or otherwise acquire from any source, and to sell, trade, or otherwise dispose of works of art and artifacts acquired for display in such museum, or which the University is authorized to dispose of, according to terms determined to be fair and just, as may be ascertained by appraisal, and will promote the purposes of the museum. The curator, or other employee of the University responsible for the operation of the museum shall prepare an inventory of all works of art and artifacts belonging to the museum, which shall


be filed with the University of Kentucky Controller's Division, and shall maintain records of all acquisitions and disposition of works of art and artifacts of the museum, and shall file annually with the University of Kentucky Controller's Division a revised inventory of such works of art and artifacts.

Section 2. The University shall be authorized to purchase or otherwise acquire, for its own use, or for any statutorily authorized purpose of such agency, published books, maps, periodicals, and technical pamphlets.



Foster Ockerman, Sr.
Chairman of the Board
University of Kentucky

Date 12/12/89



Paul VanBooven
Attorney
University of Kentucky

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Division of Purchasing
University of Kentucky
322 Peterson Service Building
Lexington, KY 40506-0005
(606) 257-8011

REQ. NO.: 765 KAR 1:150

AGENCY CONTACT: Dr. Jack C. Blanton
Vice Chancellor for Administration
Lexington Campus
University of Kentucky

(1) Type and number of entities affected:

University of Kentucky Purchasing Division

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1. First year: N/A
2. Continuing costs or savings: N/A
3. Additional factors increasing or decreasing costs (note any effects upon competition: None

(b) Reporting and paperwork requirements: None

(2) Effects on the promulgating administrative body: Adhering to the statutory requirements of KRS 164A.560.

(a) Direct and indirect costs or savings: None

1. First year: N/A
2. Continuing costs or savings: None
3. Additional factors increasing or decreasing costs: None

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(6) Any additional information or comments: None

TIERING: Was tiering applied? Yes No

All levels follow the same requirement.