

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, March 29, 2011.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. (Lexington time) on Tuesday, March 29, 2011 in the Board Room on the 18th Floor of Patterson Office Tower.

A. Meeting Opened

Dr. E. Britt Brockman, chair of the Board of Trustees, called the meeting to order at 1:00 p.m. He asked Ms. Pam May, secretary of the Board, to call the roll.

B. Roll Call

The following members of the Board of Trustees answered the call of the roll: E. Britt Brockman (chair), Penelope Brown, Jo Hern Curris, Dermontti Dawson, William S. Farish, Jr., Oliver Keith Gannon, Pamela T. May, Everett McCorvey, Billy Joe Miles, Terry Mobley, Sandy Bugie Patterson, Erwin Roberts, Charles R. Sachatello, Frank Shoop, Ryan Smith, James W. Stuckert, and Barbara Young. Absent from the meeting were Sheila Brothers, Carol Martin “Bill” Gatton, and Joe Peek. Ms. May announced that a quorum was present.

The university administration was represented by President Lee T. Todd, Jr., Provost Kumble Subbaswamy, Executive Vice President for Finance and Administration Frank Butler, Executive Vice President for Health Affairs Michael Karpf, and General Counsel Barbara W. Jones.

The university faculty were represented by Chair of the University Senate Council Hollie Swanson, and the university staff were represented by Chair of the Staff Senate Jann Burks.

Members of the various news media were also in attendance.

C. Consent Items

Dr. Brockman called attention to the two consent items: Minutes from the February 22, 2011 Board meeting and PR 2 dealing with personnel actions to be approved. Ms. Patterson moved approval, and her motion was seconded by Mr. Stuckert. The motion carried without dissent. (See consent items listed below at the end of these Minutes.)

Minutes	February 22, 2011
PR 2	Personnel Actions

D. Chair’s Report

Dr. Brockman gave his report, leading off with his congratulations to three University of Kentucky athletic teams. The first team is the rifle squad, which won the NCAA Championship. Second is the women’s basketball team, whom he praised for their accomplishments in making it

to the NCAA women's tournament. The last team to receive his congratulations was the men's basketball team, which advanced to the 2011 Final Four of the men's NCAA teams. Dr. Brockman asked that the record reflect how proud everyone is of these three athletic squads.

Dr. Brockman discussed the current opportunity for a self-examination of the governance and oversight of UK Athletics, which has just finished an entire decade without a serious athletic violation. He announced the formation of a special committee which will examine, with recommendations, UK Athletics practices as compared to both the practices of benchmark institutions and the current best practice methodologies as suggested by the Association of Governing Boards of Universities and Colleges. Board member Terry Mobley will chair the committee, which will also be composed of Keith Gannon, Bill Gatton, Billy Joe Miles, and Frank Shoop from the Board of Trustees; Dean Scott Smith from the College of Agriculture; Joe Fink, UK's current faculty NCAA representative; Lionel Williamson, a current Athletic Board member, and Myra Ball and Jim Hardymon from the community. President Todd and Dr. Brockman will be ex officio members. The committee is expected to report to Dr. Brockman in a timely manner after comprehensive data collection and careful deliberation.

Dr. Brockman asked Mr. Stuckert for a briefing on the activities of the Presidential Search Committee.

E. Presidential Search Committee Report

Mr. Stuckert, chair of the Presidential Search Committee, began his report by asking for an update from Ms. Curris and Ms. May on the UK Tour program.

Ms. Curris reminded board members of the purpose of the recent UK Tour of the Commonwealth – to make contact with diverse citizens in order to collect their thought and ideas with respect to what the board should consider in selecting the next president of the University of Kentucky. The tour went to 16 sites across Kentucky, and she and Ms. May are in the process of organizing the data and preparing a report for the board by April 15.

Ms. May thanked all tour participants and described the tremendous response from the Trustees, UK staff and faculty, and others across the state. She also shared that President and Mrs. Todd received glowing compliments at all of the stops on the tour. Next to come will be the analysis of the data as she and Ms. Curris compile and summarize what they learned.

Recognizing the efforts of Ms. Curris and Ms. May in organizing and implementing the tour, Mr. Stuckert suggested that the board give them a round of applause and thanked them again. He then reported on other activities of the search committee since the last board meeting. On February 23, the day after the board meeting, the Presidential Search Committee met with the consultants to review the prospects for consideration and selected a number of them for further contact and discussed interview strategies. March 22 through 24, the committee met in Northern Kentucky for interviews of leading candidates. Monday, April 11, the committee meets again to select a number of candidates to refer to the Board of Trustees.

F. Public Forum for Presidential Search Finalist (PSCR 1)

While in Northern Kentucky, the committee authorized that the consultants proceed with referencing the candidates in preparation for the final selection. In addition, the committee approved a motion that faculty, staff, students, and alumni be given the opportunity to meet the candidate for the Office of President of the University of Kentucky at a public forum. Forum attendees' comments may be submitted the same day on a web-based survey tool to provide information to the Board of Trustees before any final action is taken on the candidate. The committee's intent to conduct the public forum is registered officially in PSCR 1. Mr. Stuckert moved that PSCR 1 be approved by the Board. Dr. Brockman accepted a second from Ms. Curris, and PSCR 1 was passed without dissent. (See PSCR 1 at the end of the Minutes.)

Mr. Stuckert thanked his committee, naming each member and the area of UK that they represent, for their involvement in the exhausting and grueling 3.5 days in Northern Kentucky. He also expressed his appreciation to Tom Harris and Jay Blanton for their activities as support for the meetings. He especially thanked Peggy Way for her excellent organizational skills and concern for the committee which she offered even in a time of great personal stress. Alan Saylor and Robert McPherson from the UK Division of Police provided security for the group and helped to make the interviews a success. Mr. Stuckert is confident that the ultimate result will prove the efforts of these participants invaluable.

G. President's Report (PR 1)

President Todd announced to board members that he would proceed quickly through PR 1 in his President's Report. He reviewed the following items.

UK Rifle Team Wins First NCAA Championship

The president reinforced Dr. Brockman's praise for the UK rifle team and brought the PR 1 item to the Board's attention. Heather Greathouse and Ethan Settlemires helped the team win the overall title at the 2010-11 NCAA Championships on March 12 in Columbus, Georgia. The NCAA Championship is the first in the history of the UK rifle program. Since the 1994 season, the Wildcats have finished 16 times among the top ten teams at the national championships. In claiming the 2010-11 national championship, the Wildcats have completed a historic season, including an unbeaten regular-season slate in the Great American Rifle Conference Championships.

UK Opera Theatre Production Debuts New Projection Technology

After its successful first run of performances at the Singletary Center in January and February, the UK production collaboration on "Porgy and Bess" has now enjoyed a performance with the Atlanta Opera. The Center for Visualization and Virtual Environments (Viz Center) and UK Opera Theatre have debuted new screen projection technology with UK Opera Theatre's production of the American classic folk opera. Atlanta is the first professional opera company to use the new technology. The president lauded the performances and speculated that its next stop might be Germany.

University Press Publishes Book on Former UK President

President Todd mentioned Eric Moyer's *Frank L. McVey and the University of Kentucky: A Progressive President and the Modernization of a Southern University*, the newest publication in the University Press of Kentucky's Thomas D. Clark Studies in Education, Public Policy and Social Change series. The book fills a gap in the biographies of UK presidents. The series is made possible with support from UK's College of Education.

Merit Weekends Draw Prospective Students

Recruitment of top notch students as next year's freshmen class appears to be in full swing at UK, as witnessed by the fact that the Office of Undergraduate Admission hosted a record number of academically talented prospective students from across Kentucky and 25 other states at the annual Merit Weekends March 11-12 and 18-19. As of March 9, 840 students had registered for Merit Weekends, compared with 781 last year. Merit Weekends are two-day events available by invitation to admitted students who have excelled academically in high school. The president praised Registrar Don Witt for the quantity and quality of his accomplishments.

Sarah Bennett Holmes Awards Honor Outstanding Women at UK

University of Kentucky Women's Forum presented the Sarah Bennett Holmes Awards, given annually to women working at the University who promote the growth and well being of other women. The 2011 faculty winner was Louise Graham, W. L. Mathews Professor of Law in the UK College of Law, and the staff winner was Dana Walton-Macaulay, assistant director of Residence Life for student rights and responsibilities. Twenty-one women from across campus were nominated for the award. President Todd invited board members to view the Holmes awards, which are displayed in the Main Building.

College of Pharmacy Report

As part of his regular practice of showcasing individual colleges to the Board, President Todd introduced Dean Tim Tracy of the College of Pharmacy as one of UK's newest deans. Dean Tracy started his presentation by offering a glimpse of the college. The nation's fifth-ranked College of Pharmacy is home to:

PharmD Students – 513
Graduate Students – 75
Postdoctoral Fellows – 22
Residents – 17
Faculty – 63
Staff – 109
Community-Based Faculty – 320
Alumni – 5,500 (BS/PharmD); 250 (PhD)

Dean Tracy said he likes to start with that listing because it speaks to the strength of the College – its people. He said the college is dedicated to attracting top students, faculty, and staff.

The college's faculty remain committed to providing a world-class pharmacy education. And the college's alumni continue to serve as change agents and economic development engines in communities across the Commonwealth.

The dean took time to applaud the college's community-based faculty. Pharmacy students are on campus receiving their classroom and laboratory education for the first three years of school. During their fourth year, they are putting those lessons to work in communities across Kentucky. The UK College of Pharmacy has more than 300 community-based faculty across this state.

Those faculty members help the College of Pharmacy live UK's land-grant mission of serving Kentucky communities every day. The college maintains a presence in communities across Kentucky, with UK pharmacy alumni working in 119 of 120 Kentucky counties. College of Pharmacy faculty, students, and alumni are working every day to create healthier and wealthier Kentucky communities.

Dean Tracy discussed how UK PharmD students are number one in the nation in first-time pass rates (99.6 percent over the past 6 years) on the NAPLEX, the national licensing examination. But that figure tells only part of the story. Eighty-six percent of the college's students are from Kentucky. They are Kentucky's sons and daughters – the products of Pikeville and Paducah and everywhere between. And every year, they show the world that they can compete – that Kentucky kids are just as academically talented as any students in the nation.

The college is home to some of the top pharmacy researchers in the world. Pharmacy researchers are currently ranked fourth in the nation in research productivity measures, and they generate annual research expenditures of more than \$11 million. Dean Tracy mentioned that the college is in the process of hiring a high-profile researcher who will bring total funding of about \$13 million to the university.

Finally, Dean Tracy provided the Board of Trustees a glimpse into where the college is headed in the months and years ahead. He said the college is putting the finishing touches on its strategic plan, which will focus on four major pillars:

- Innovations in Education
- Research Enterprise Expansion
- Practice Enhancement, Enrichment, and Engagement
- Recruitment, Retention, and Academic Environment Reform

Dean Tracy concluded his remarks by thanking the Board of Trustees for this opportunity and opened the floor for questions.

In response to a question from President Todd, Dean Tracy commented on Pharmacy's tradition of entrepreneurship. The college has one of the broadest intellectual property portfolios in the university. It has multiple drugs that are in clinical trials, as well as drugs that are receiving royalties for the college. The faculty show an entrepreneurial spirit, but they do so as

good stewards, with the hope of enhancing the health of not only Kentuckians, but also people across the world.

President Todd expressed his appreciation to Dean Tracy for his presentation and to the faculty and staff of the College of Pharmacy for their accomplishments.

President Todd recognized Dr. JJ Jackson and Dr. Robert Mock, who have been selected to participate in the Millennium Leadership Institute of the American Association of State Colleges and Universities. This is a premier leadership development program that provides individuals traditionally underrepresented in the highest ranks of higher education with the opportunity to develop skills, to network, and to gain philosophical overview to allow their advance in the world of higher education. They were given a round of applause.

Also recognized was DanceBlue. The president asked Ms. Patterson, chair of the Student Affairs Committee, to introduce students from the organization as our honored guests. She introduced Matt Dempsey, who was the overall chair this year; Blake Willoughby, the corporate chair; Dave Lowe, technology chair; Katherine Flynn, operations chair; and Clay Stanley, overall chair for next year. The DanceBlue organization raised \$675,000 in funds for the Pediatric Oncology Center at UK Children's Hospital. The audience showed their appreciation through a round of applause. President Todd praised the students for their lasting contribution to the university and expressed his wish that the tradition that they and others have put in place can be sustained, even under challenging circumstances.

President Todd said that the Lexington Mayor Jim Gray had announced the previous day a 42-member committee to look at downtown Lexington. UK's 15-year agreement to remain in Rupp Arena, signed during the president's first months in office, is in place, and he feels that by the time UK gets a new Rupp Arena, the university will have held its basketball games there for nearly 40 years. Mitch Barnhart, Frank Butler, Everett McCorvey, William Farish, Dean Michael Speaks, and Chairman Britt Brockman are six members of the 42 that will work on the four committees looking at all aspects of the future of the area.

H. Honorary Degree Recipients (PR 3)

President Todd thanked the Committee on Honorary Degrees for their work in selecting these candidates and degrees for 2011:

Barbara Hogan	Honorary Doctor of Letters
Ahmed Kathrada	Honorary Doctor of Letters
Robert M. Drake, Jr.	Honorary Doctor of Science
T. Pearse Lyons	Honorary Doctor of Letters
Albert P. Smith, Jr.	Honorary Doctor of Letters

The president gave a short biography of each nominee and recommended that the honorary degree recipient candidates listed in PR 3 be approved by the Board. Dr. Brockman asked for a motion for approval, which was given by Mr. Mobley and seconded by Mr. McCorvey. PR 3 passed without dissent. (See PR 3 at the end of the Minutes.)

I. University Research Professorships (PR 4)

President Todd next asked Dr. Jim Tracy, Vice President for Research, to assist in announcing the University Research Professorships for 2011-12. Dr. Tracy expressed his pleasure at being able to make these awards and stated that there were ten nominees this year, which were peer reviewed by an ad hoc committee, and four were selected. These are

Karyn Esser	Department of Physiology
Brandon Look	Department of Philosophy
Gregory Smith	Department of Psychology
Youling Xiong	Department of Animal and Food Sciences

Upon Board approval, they will receive a one-year award of \$40,000 and can use the title of Research Professor throughout their future years at the university. He offered a brief summary of the background and interests of each candidate as well as the research topic that will be funded during their research professorship year. Each nominee received a round of applause.

President Todd recommended approval of the nominees. Dr. Brockman asked for a motion of approval for PR 4. Mr. Stuckert moved approval. Ms. Curris seconded, and the motion passed without dissent. (See PR 4 at the end of the Minutes.)

J. Establishment of Commonwealth Chair of Transportation Engineering (PR 5)

PR 5 establishes a Commonwealth Chair of Transportation Engineering in the College of Engineering in conjunction with the Kentucky Transportation Cabinet. The Secretary of Transportation will provide \$50,000 annually for five years, with the possibility of extension. The funds will support the research and professional activities of a highly qualified civil engineering faculty member. President Todd recommended that the board approve this resolution. Dr. Brockman asked for a motion of approval for PR 5. Mr. Roberts so moved and Ms. Patterson seconded. The motion passed without dissent. (See PR 5 at the end of the Minutes.)

K. Candidate for Degree (AACR 1)

Dr. Brockman passed the floor to Ms. Brown, chair of the Academic Affairs Committee, for her report. The first action item of the committee was AACR 1, which lists a candidate for a degree from the Summer Session of 2010. Ms. Brown moved acceptance of AACR 1. Mr. Miles seconded her motion, and it passed without dissent. (See AACR 1 at the end of the Minutes.)

L. Candidates for Degree – Bluegrass Community and Technical College (AACR 2)

Ms. Brown said that the approval requested in AACR 2 may be the last under the 2004 agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College pursuant to House Joint Resolution 214. The agreement states that LCC students who were or are officially enrolled on or before September

2004 in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010 shall have their degrees conferred by the UK Board of Trustees. She moved approval of AACR 2. Dr. Brockman asked for a second, which was given by Mr. Dawson. AACR 2 passed with no dissent. (See AACR 2 at the end of the Minutes.)

M. Audit Subcommittee Report

Dr. Brockman asked Mr. Stuckert to give the report of the Audit Subcommittee. Mr. Stuckert, chair of the Finance Committee, under which the Audit group is a subcommittee, reported that the Audit Subcommittee met that morning. The subcommittee discussed the auditing comments and statements for the fiscal year ending June 2011 as contained in the pre-audit report provided by BKD. They retained BKD LLP, the university's auditor, as a continuation of a five-year contract that was initiated in 2008. Joe Reed, senior director of Internal Audit, proposed revisions to the Audit Subcommittee charter and to the Internal Audit charter, both of which were accepted by the Audit Subcommittee.

N. Finance Committee Report

Mr. Stuckert reported that the committee considered three resolutions at that morning's meeting after discussing each one thoroughly.

O. Acceptance of Interim Financial Report for the University of Kentucky for the Six Months Ended December 31, 2010 (FCR 1)

Ms. Angie Martin, Vice President, Office of the Treasurer, explained the financials and reviewed assets and liabilities found in the attachment to FCR 1. Mr. Stuckert invited specific questions about the content of the report, then moved approval of FCR 1. Mr. Shoop seconded his motion, and there was no opposition to its adoption. (See FCR 1 at the end of the Minutes.)

P. A Resolution Providing for the Authorization, Issuance, and Sale of Approximately \$19,000,000 General Receipts Obligations (Upgrade Student Center Infrastructure) of the University of Kentucky, Pursuant to the Trust Agreement Dated as of November 1, 2005 (FCR 2)

Mr. Stuckert stated that the substance of FCR 2 was approved, in effect, at the last board meeting, and this resolution is a specification of terms involved in the issuance of the municipal bonds. He made it clear that although FCR 2 states that "The Upgrade Student Center Infrastructure Project will not result in an increase in tuition," upon subsequent questioning the Finance Committee found that such an increase would be incurred. As a result, Student Government on behalf of the students voted that a \$24 per student per semester fee would be initiated to cover the cost of this expansion. Mr. Stuckert moved approval of FCR 2. Mr. Smith seconded the motion. It passed without dissent. (See FCR 2 at the end of the Minutes.)

Q. Data Center Information Technology Equipment — Hospital (FCR 3)

Mr. Stuckert said that FCR 3 funds the facility approved at the board of trustees meeting on February 22. This FCR covers the hardware for servers, network storage, and security components for the immediate capacity needs of our hospital. Mr. Stuckert moved approval of FCR 3. Mr. Shoop seconded his motion, and it carried without dissent. (See FCR 3 at the end of the Minutes.)

R. Investment Committee Report

Mr. Roberts gave the Investment Committee Report in the absence of Mr. Gatton, chair of the Committee.

The Investment Committee met on March 28 to review performance results and conduct other business. The Endowment had a net market value of \$882.5 million as of February 28, 2011. For the seven months ended February 28, the Endowment pool returned 17.2 percent, which is in line with the policy benchmark return of 17.3 percent.

The committee heard an update on the newly established Endowment Advisory Group. At its September 2010 meeting, the Investment Committee approved changes to the University's Endowment Investment Policy. Among other things, the committee established the Endowment Advisory Group, made up of senior administrators and faculty appointed by the President, to advise the Vice President for Financial Operations and Treasurer on matters related to the management of individual endowment funds. The Group reviewed spending on underwater endowments, which are endowments whose market value is below the contributed value. While the Endowment has experienced a strong recovery, UK still has some individual endowment funds that are under water. The Endowment Advisory Group recommended that, effective for Fiscal Year 2011-12, spending distributions be reduced by 25 percent for endowments under water more than 10 percent. This will impact 298 of the 1,971 endowment funds. Distributions from those 298 funds are expected to be reduced by \$1.1 million to \$3.4 million. The Endowment Advisory Group also reviewed minimum endowment levels and recommended new levels to the administration which are under consideration.

The Investment Committee also reviewed results of the 2010 NACUBO-Commonfund Study of Endowments released in late January. NACUBO stands for National Association of College and University Business Officers. Of the 865 public and independent institutions that reported their endowment values as of June 30, 2010, UK ranked 84 (or in the top 10 percent). The average return of participating institutions was 11.9 percent for the year ended June 30, 2010. UK earned 13.1 percent during the same period due to a higher than average equity allocation. UK has gradually reduced its equity exposure over the last 18 months, increasing the allocation to absolute return and other alternative strategies in an effort to reduce volatility of returns. The Committee reviewed a benchmarking summary of 2010 return and asset allocation data for the Top 20 institutions. The University's target asset allocation is in line with the average allocation of those institutions.

The committee also heard an update on the Endowment's private equity allocation, which is currently 4 percent of the total endowment pool. UK will be making significant commitments to the private equity asset class over the next few years in order to reach and maintain the 7 percent target allocation. Investment staff will soon issue a Request for Proposals for a new private equity manager. All investment managers must be hired through an RFP process under the supervision of the university's Purchasing Division.

Dr. Brockman thanked Mr. Roberts for his report and asked for the Student Affairs Committee Report from Ms. Patterson.

S. Student Affairs Committee Report

Ms. Patterson, chair of the Student Affairs Committee, reported a successful morning meeting. It was decided that future committee meetings will showcase a student as a speaker at each meeting beginning in June.

DanceBlue Overall Chair Matt Dempsey gave the history of how the fundraiser was started. Jared Mynear was diagnosed with cancer at an early age and died at the age of 13. His mother wanted to create a legacy to honor him and the Pediatric Oncology Clinic. With the help of the Office of Development, they raised funds to renovate a clinic. To maintain the legacy, UK modeled a fundraising program after a successful one at Penn State called THON that has been active for 35 years and has raised \$78 million.

Thus far, DanceBlue funding has provided social work personnel, a clinic, salary support for neurocognitive testing of patients, and a laboratory for research in pediatric oncology in the Markey Cancer Center. The students have raised \$2.9 million in six years.

DanceBlue is now reaching out to high schools in the area and eventually across the state, encouraging them to provide their own marathon, a program which has been successful at Indiana University. Money from high school events will be added to the UK total. There were 82 DanceBlue teams this year. It is planned that alumni will take on some high school initiatives later.

Ms Patterson also mentioned that Student Government Association elections are Wednesday and Thursday, and another route is expected to be added to the recently initiated Cats Cruiser service.

T. University Health Care Committee Report

Ms. Young, chair of the University Health Care Committee, gave its report .

At the morning's meeting, Mr. Murray Clark and Ms. Ann Smith presented the building projects update. Pavilion A patient floors and public spaces will open Thursday, May 19, 2011. These spaces will include the 305-seat auditorium, the coffee shop, the chapel, conference rooms, and public and surgical waiting rooms. On Sunday, May 22, 2011, two patient care floors will open for patients in neuroscience, ICU, pulmonology, stroke, trauma, general surgery,

orthopedics, abdominal transplant ICU, and rehab spaces. With the opening of Pavilion A, Chandler Hospital will have a potential maximum capacity for 568 beds, including 98 critical care beds.

There are still a number of remaining elements of Phase I that have yet to be completed and will come on line in planned phases. Into the future, the construction phases under prioritized consideration include the need for 24 additional ICU beds, complete privatization of all rooms, and the redesign and modernization of equipment for a new kitchen.

The project is still under budget.

Mr. Sergio Melgar presented the financial report comparing the balance sheet at January in fiscal year 2011 with fiscal year 2010. Cash decreased \$117.7 million. The \$51.3 million balance is \$30 million lower than the December 31, 2010 balance. The decrease from 2010 is due to the use of cash reserves for the remainder of Phase I-A of the Patient Care Facility Project. Accounts receivable have decreased by \$1 million compared to last year, or \$103.5 million versus \$104.5 million, and net patient service revenue increased \$27.5 million. The value of board-designated investments now totals \$203.6 million, which is a \$24.5 million increase from January of last year. Net capital assets have increased \$134.7 million due to the planning for the patient care towers, the groundwork on the new site, and the construction of the patient care facility. Accounts payable have decreased \$3.6 million; net assets have increased to \$616.6 million from January 2010, and total assets are at \$1.2 billion. UK hospital system posted an operating profit of \$1.2 million in January. Year-to-date income from operations is \$18.4 million, which is \$5.8 million less than budget and \$8.1 million less than the prior year. There is continued concern about an increasing volume of bad debt, which is almost twice what it was last year. Current month investment income is \$2.6 million, and year-to-date investment income is \$28.9 million.

Mr. Melgar also gave a very brief financial overview for the months of February and March, which have not quite closed yet. Overall, volumes are up. February was a break-even month, and for the quarter, the numbers are fairly positive compared to last year, where the system lost money.

Dr. Richard Lofgren presented a UK HealthCare Enterprise performance update, reporting that quality and outcome scores continue to be strong. Patient satisfaction has improved in the last quarter. The efficiency metrics are near target, with the increase in labor costs reflecting the combined effects of seasonality and preparation for the opening of the new facilities. Compliance with Medicare 4 measures is strong. However, a handful of specific metrics are still commanding some special attention. The stroke unit received the gold plus recognition from the American Heart and Stroke Associations for its performance on stroke guidelines. And finally, mortality scores have declined significantly for the past six months, as have nursing vacancy and turnover rates.

The committee had two action items. Dr. Kevin Nelson presented for approval the privileges and credentials for Chandler and Good Samaritan Hospitals. The committee made a motion, and all privileges and credentials were approved. Upon the recommendation of the

medical staff operating subcommittee at both Chandler and Good Samaritan Hospitals, the hospital committee voted to approve the extension of all clinical privileges granted at University of Kentucky Hospital and Chandler Hospital to include the same clinical privileges at UK HealthCare Good Samaritan Hospital.

Dr. Brockman asked for any questions about the Health Care report. Ms. Curris questioned the relationship between FCR 3 and a statement about cash balances in the report. Mr. Melgar explained what appeared to be a contradiction in the two documents.

Dr. Brockman then asked Ms. May for her report for the University Relations Committee.

U. Proposed Revision to Governing Regulation: Governance of the University of Kentucky — Petitions to Address the Board of Trustees (URCR 1)

Ms. May, chair of the University Relations Committee, reported that the committee met during the morning and approved the second reading of URCR 1, which documents a process for interested persons to address the Board of Trustees with an issue. She explained the steps the resolution had been through and that it was now ready to be approved by the Board and put into practice. She moved approval of URCR 1. Dr. Brockman asked for a second to her motion and received it from Mr. McCorvey. He asked for discussion. Mr. Smith commented on the background of the bill and his gratitude that it was to be implemented, thanking Dr. Ernie Yanarella and Ms. Robin Pease for their assistance. Dr. McCorvey and Ms. May also commented. Dr. Brockman called for a vote on the resolution, and URCR 1 passed without dissent. (See URCR 1 at the end of the Minutes.)

Ms May reported on the remainder of the meeting this morning. Mr. Anthony Beatty, Assistant Vice President for Public Safety, addressed her committee and informed them of the many safety measures in effect for the University of Kentucky campus, a topic which the committee felt needed examination. After Mr. Beatty's explanation of the broad coverage of safety issues of all types on the university's many campus and service areas, Ms. May stated that the system was overall amazing.

V. Other Business

Dr. Brockman moved to Other Business. Because the Presidential Search Committee and ultimately the Board are moving ever closer to having a candidate for president of the University of Kentucky, it is time to consider the compensation package to be offered the selected candidate. Data previously considered by the board with regard to salary and other compensation are dated and incomplete. Dr. Brockman has secured the services of Mr. Ray Cotton, who will present a Consultant Report on Peer University Presidential Salaries. Mr. Cotton is Vice President of Higher Education for M. L. Strategies, LLC, a partner in the Mitz-Leven law firm. Mr. Cotton has been invited to address the board on the compensation package. He frequently counsels both college executives and university boards of trustees on matters such as contracts and executive compensation and has an extensive background in dealing with executives in higher education.

Mr. Cotton thanked the board for inviting him and the people who had assisted him in preparing to speak to the board. He summarized his credentials and offered an overview of the presidential compensation marketplace. A salient feature is that there can be expected what he termed a tsunami of retirement because half of all sitting presidents today are over the age of 63. Likewise, their staff of vice presidents are often Baby Boomers as well who are likely to retire and are not looking to move into a presidency. As the field of experienced presidential candidates narrows, competition will become more fierce to employ exactly the right person as UK's next president. Having information about presidential compensation packages can give our board an edge in making the right offer and ultimately, in hiring the right candidate.

He offered full disclosure that he has represented people on both sides of the equation, presidential candidates and universities seeking new presidents.

He dealt with topics of the contract, data he had compiled for the university, what length of time to expect to retain a president, base salary, other incentives, payment levels of other universities of UK's caliber, how to relate total compensation to years of service, how often compensation should be renegotiated, and other topics.

Mr. Cotton invited questions and received many. Questions involved which universities we should compare ourselves to in terms of compensation, the importance of getting the price right, how to deal with the fact that faculty and staff have not had a raise for several years, what UK should look out for as the search process goes forward, specifics about negotiations in which Mr. Cotton had participated, bonuses versus base salary, how the media enter into the compensation package equation, comparison of nonprofits versus for-profits and their negotiations, trustee responsibilities, presidential goals, whether a contract should contain a penalty if a president leaves "too early" or otherwise breaks his/her contract, consideration of the following spouse, and whether any other university has a requirement like the Top 20 mandate to deal with.

After thanking Mr. Cotton for his time, Dr. Brockman asked if there was any other business for the Board.

W. Adjournment

Hearing no suggestions for additional matters, Dr. Brockman asked for a motion to adjourn and received it from many voices. The meeting ended at 2:28 p.m.

Respectfully submitted,

Pamela T. May
Secretary, Board of Trustees

(PR 2, 3, 4, and 5; AACR 1 and 2; FCR 1, 2, and 3; and URCR 1 which follow are official parts of the Minutes of the meeting.)

PR 1

Office of the President
March 29, 2011

1. College of Law Hosts Conference on Structural Racism

The UK College of Law hosted the inaugural James and Mary Lassiter Distinguished Visiting Professor Conference February 25. The conference's topic was Structural Racism: Inequality in America Today. Professor William M. Wiecek, the first Lassiter Distinguished Visiting Professor at the College of Law, led the interdisciplinary exploration of structural racism, including speakers from several UK disciplines, other area universities and community organizations. The keynote address was delivered by John A. Powell (he spells name in lower case), executive director of the Kirwan Institute for the Study of Race and Ethnicity at The Ohio State University.

2. UK Launches Managed Print Services Program

The University recently launched a managed print services (MPS) program after an analysis of its operations to identify areas to reduce costs and improve efficiencies. The project was initiated to lower the total cost of copy and print output. MPS is a service offered by an external contractor to analyze the print environment and manage print output devices to reduce the total cost of ownership and improve efficiency and productivity. Following a competitive solicitation process, the MPS team selected Ikon, a Ricoh Company, as the exclusive partner to manage the MPS program. As part of the partnership with the University, Ikon will be assessing office output devices (copiers, printers, faxes, scanners and multi-functional devices) and providing recommendations for a comprehensive approach with a single price per page for black and white and another for color impressions.

3. UK Rifle Team Wins First NCAA Championship

Behind gritty performances from veterans Heather Greathouse and Ethan Settlemires, the University of Kentucky rifle team won the overall title at the 2010-11 NCAA Championships on March 12 in Columbus, Georgia. The NCAA Championship is the first in the storied history of the UK rifle program. Since the 1994 season, the Wildcats have finished among the top 10 teams at the national championships a total of 16 times. UK owned four runner-up finishes and four third-place finishes since 1994 including a fourth-place finish in 2009-10. In claiming the 2010-11 national championship, the Wildcats have completed a historic season, including an unbeaten regular-season slate in the Great American Rifle Conference Championships.

4. UK Opera Theatre Production Debuts New Projection Technology

The Center for Visualization and Virtual Environments (Viz Center) and UK Opera Theatre debuted new screen projection technology with UK Opera Theatre's production of the American classic folk opera "Porgy and Bess." The opera featured performances by UK vocalists, the American Spiritual Ensemble and the Kentucky State University Choir, as well as one performance with celebrated opera singer Angela Brown, during its run January 28 - February 6, at the Singletary Center for the Arts. UK Opera Theatre's production was directed by Lemuel Wade. UK Symphony Orchestra performed under the direction of John Nardolillo. The Viz Center's new innovative high-definition projection technology, originally developed for non-theatrical use, then traveled to the Atlanta Opera for performances of the opera. This is the first professional opera company to use this technology.

5. Markey Cancer Center Launches New Patient Navigator Program

The UK Markey Cancer Center is helping cancer patients navigate the health care system with the launch of Kentucky's first American Cancer Society Patient Navigator Program, made possible with support from AstraZeneca. Melanie Wilson, the patient navigator for Markey, provides one-on-one assistance to patients, families and caregivers as they navigate a complex health care system. During treatment, many cancer patients may face issues related to finding transportation, lodging, finances, insurance, support groups and more. Wilson links patients to existing resources that can help with these problems, relieving some of the stress patients face and improving their overall quality of life.

6. University Press Publishes Book on Former UK President

University Press of Kentucky (UPK) has published a book by educational policy studies and evaluation alumnus Eric A. Moyer on UK President Frank McVey. "Frank L. McVey and the University of Kentucky: A Progressive President and the Modernization of a Southern University," is the newest publication in UPK's Thomas D. Clark Studies in Education, Public Policy and Social Change Series. The book is edited by Richard Angelo, associate professor of education policy studies and evaluation. The UPK series is made possible with support from UK's College of Education.

7. New Members Inducted Into Journalism Hall of Fame

Six new members will be inducted into the Kentucky Journalism Hall of Fame April 5 at a ceremony sponsored by the University of Kentucky School of Journalism and Telecommunications Alumni Association. The 2011 inductees are John William "Bill" Bartleman, retired legislative reporter for The Paducah Sun and frequent guest on "Comment on Kentucky"; Jackie Hays Bickel, retired anchor for WAVE-TV in Louisville, who also worked at WHAS-TV and KTW-TV (Philadelphia); Robert Carter, retired publisher of the Kentucky New Era (Hopkinsville) and a former Kentucky Press Association president; Albert Dix (posthumous), former publisher of The State-Journal (Frankfort); Tom Loftus, the Frankfort Bureau Chief for the (Louisville) Courier-Journal; and Edward "Ed" Shadburne, former general

manager of WLKY-TV and of WHAS-TV-AM-FM. The six new honorees will join 172 other journalists inducted into the Hall of Fame since its 1981 inception. Selection of honorees is made by a committee representing the state's media, the UK Journalism Alumni Association, and the University of Kentucky.

8. Niles Center Presents Symposium on Porgy and Bess

The John Jacob Niles Center for American Music and the Musicology/Ethnomusicology Division in the School of Music presented "Symposium on Gershwin's 'Porgy and Bess'" on February 4, at the Niles Gallery in the Lucille C. Little Fine Arts Library and Learning Center. The free public symposium featured a keynote address by Howard Pollack, the John and Rebecca Moores Professor of Music at the University of Houston; a talk by Tedrin Blair Lindsay, lecturer and vocal coach at UK; and a roundtable discussion moderated by Diana Hallman, associate professor of musicology at UK. It also featured singers who have performed widely in "Porgy and Bess" productions as well as cast members from the UK production including Everett McCorvey, Angelique Clay, Andrew Smith, Wilheminia Fernandez-Smith, Shawn-Allyce White, Jonathan Green, Larry Hylton, Michael Preacely and Whit Whitaker. Ron Pen, director of the Niles Center and associate professor of musicology at UK, also presented.

9. Gatton Professor Earns Prestigious Honor

University of Kentucky Gatton Endowed Research Professor Radhika Santhanam, a faculty member in the Decision Science and Information Systems (DSIS) area in UK's Gatton College of Business and Economics' School of Management, and a faculty affiliate at UK's Center for Visualization and Virtual Environments, recently received the "Best Associate Editor Award" for 2010 from Informs, the Institute for Operations Research and the Management Sciences. Santhanam served for two years as associate editor for *Information Systems Research*, a leading journal in her field. The publication is highly valued at business and economics schools, with important findings impacting the areas of management science, finance, operations research, and others.

10. College of Communications and Information Studies Named an iSchool

UK's College of Communications and Information Studies has joined an elite group of colleges and universities recognized for their dedication to advancing the information field in the 21st century. The iSchools organization announced recently the addition of the college, bringing the total number of iSchools to 31 in eight nations. The iSchools all have programs that focus on the relationships between information, technology and people. Criteria for being recognized as an iSchool include substantial sponsored research activity, engagement in the training of future researchers — usually through an active, research-oriented doctoral program — and a commitment to progress in the information field.

11. Gatton's Troske Completes Service on Congressional Oversight Panel

UK Sturgill Endowed Professor of Economics Ken Troske, who also is chair of the Department of Economics in the Gatton College of Business and Economics, and director of the

Center for Business and Economic Research , completed his term of service as a member of the five-person Congressional Oversight Panel (COP) for the Troubled Asset Relief Program (TARP). The panel held its final hearing on March 4 in Washington, D.C., gathering expert testimony from the agencies that helped to coordinate the government's unprecedented response to the 2008 financial crisis, as well as from several of the nation's leading economists, who offered their assessments of the TARP's impact on financial stability and the U.S. economy. The COP issued a final report on the TARP in mid-March.

12. Merit Weekends Draw Prospective Students

The UK Office of Undergraduate Admission hosted a record number of academically talented prospective students from across Kentucky and 25 other states at the annual Merit Weekends March 11-12 and 18-19. As of March 9, 840 students had registered for Merit Weekends, compared with 781 last year. Merit Weekends are two-day events held every March. They are available by invitation to admitted students who have excelled academically in high school. Students get one last look at UK while meeting with advisors to register for fall 2011 classes and attending a wide variety of sessions to learn about the opportunities that await them at UK.

13. Patterson School Students Have Inaugural Spring Break Seminar

The Patterson School of Diplomacy and International Commerce initiated a program to provide its students the opportunity to visit key corporations, government entities, and nonprofit organizations as part of an annual Spring Break site-visit to a major American city. The 2011 Spring Break Seminar took place in Atlanta, Georgia. Sites visited included the Carter Center, the Center for Disease Control and Prevention, CNN International, Invesco, Coca-Cola and Delta Airlines. At Hartsfield-Jackson International Airport, the students were hosted by U.S. government agencies (Homeland Security, Customs, Border Patrol, Agriculture, DEA) and were shown how these units work together to operate the "border." The 2012 Spring Break Seminar will visit Chicago.

14. UK Symphony Hosts National Symphony Orchestra

The UK Symphony Orchestra hosted the National Symphony Orchestra (NSO) Kentucky residency in Central Kentucky, including its regional performance February 23 at the Singletary Center for the Arts. The NSO presented concerts, workshops, outreach events, in-school performances and master classes during its stay in Lexington. Hugh Wolff served as conductor for the NSO's residency.

15. College of Medicine Holds 11th Charity Roast

University of Kentucky medical students, faculty, staff and friends of Robert Straus, Ph.D., gathered together for this year's charity roast, hosted by the University of Kentucky College of Medicine Class of 2012, in February at the Crowne Plaza Hotel's Campbell House. Straus, one of the UK College of Medicine's founding fathers, was this year's honoree. This is the 11th roast by medical students, with monies designated to benefit The Hope Center, Dr.

Straus' charity of choice, and the College's Medical Alumni Scholarship Fund. In the last five years alone, the college has raised more than \$30,000 for many UK and community organizations.

16. New College of Medicine Initiative Promotes Healthy Eating

Thad Salmon, a second-year UK medical student, seeks a brighter, healthier future for Kentuckians through chefMed, a new initiative to promote a culture of healthy eating at the University of Kentucky's College of Medicine. This program, a multi-faceted example of preventive medicine based on research related to the relationship between physicians' health and their effectiveness of care, helps his classmates better understand their future responsibility as role models and lifestyle counselors.

17. Wallet-Sized Information Could Save Lives Among Latino Community

UK sociology professor Brea Perry and UK developmental-behavioral pediatrician Dr. Neel Soares created a business card-sized information card for Lexington's Latino community, with the goal of improving physician-patient communication and clinical encounters for those with limited English proficiency. While the Latino population is the fastest-growing community in Lexington, less than 5 percent of health informational pamphlets at most clinics and doctors' offices in the Lexington area include Spanish translation.

18. 2011 Cultural Diversity Festival Offers Weeks of Events

The University of Kentucky's annual Cultural Diversity Festival began with programming February 27 and will continue through April 2. One of the most popular events, A Taste of Our World, brought food from various continents to the Frank Harris Grand Ballroom in the UK Student Center March 2. The Cultural Diversity Festival features many cultural events, including concerts, performance theatre, interactive workshops and scholarly lectures.

19. Institute for Rural Journalism Names Second Gish Award Winner

Samantha Swindler, the publisher and editor of the weekly Headlight Herald in Tillamook, Oregon, is the winner of the 2010 Tom and Pat Gish Award for courage, integrity and tenacity in rural journalism. The Institute for Rural Journalism and Community Issues, based in UK's School of Journalism and Telecommunications, gives the award in honor of the couple who published The Mountain Eagle in Whitesburg, Kentucky, for more than 50 years. Tom Gish, who died in 2008, and his wife Pat were the first recipients of the award. Like the Gishes, Swindler was recognized largely for her courage, integrity and tenacity as a rural newspaper editor in eastern Kentucky (in Corbin), but also in Texas, where she began her newspaper career. She has been in Oregon less than a year.

20. Open House Celebrates 100 Years of UK Theatre

The UK Department of Theatre invited parents, prospective students, family, friends and arts patrons to an open house held in conjunction with the 100-year anniversary of theater on UK's campus on February 26 at the Fine Arts Building. Guests of the open house were given a behind-the-scenes look at UK's theatre program. Highlights included a demonstration of the Japanese art form Noh Drama; a Balinese dance demonstration; a costume embroidery - fabric embellishment presentation; a visual storytelling presentation; a Eugene O'Neill presentation; a classical acting techniques demonstration; a make-up demonstration; and a backstage tour of UK Theatre's production of "Seagull."

21. Symposium Honors African-American Contributions to Appalachia

The "Symposium on Affrilachia: Celebrating the Artistic and Intellectual Contributions of African Americans to Appalachia," hosted by UK's Africana Studies program, was held March 9-10 at UK. The symposium honored the historical contributions of African Americans to the region's heritage and history. The conference featured an evening concert by the Carolina Chocolate Drops; a series of panels and discussions that included topics on August Wilson, Nina Simone, Criminal Justice and the Prison Industrial Complex, Affrilachian visual art and urban Appalachia; and a 20th anniversary reading featuring founders of the Affrilachian Poets, including Nikky Finney, Frank X Walker and Kelly Norman Ellis.

22. UK Celebrates Engineers Day

The UK College of Engineering held its annual Engineers Day Open House, also known as E-Day, February 26. Thousands of children and their parents from around the region came to campus to participate in contests, hands-on events and demonstrations that encouraged boys and girls to pursue degrees in engineering.

23. Undergraduates Present Posters at Capitol

Twelve undergraduate researchers from UK presented posters at the 2011 Posters-at-the-Capitol event February 10 in Frankfort. Now in its 10th year, the event features student representatives from across the state displaying the results of their research and scholarly or creative work, and provides an opportunity for those who fund higher education in the Commonwealth to learn how important undergraduate research is to the education of Kentucky students.

24. Trumpeters to Play at National Trumpet Competition

An unprecedented number of trumpeters from the UK School of Music have been selected to compete at the National Trumpet Competition to be held March 17-20 in Washington, D.C. Six student soloists and two ensembles from UK have advanced to the semifinals. Participants in the competition are chosen by anonymous audition screening from a pool of hundreds of applicants from across the country. The four UK undergraduates selected as

semifinalists are freshman Clint McLendon, sophomore Eric Millard, junior Nick Ramsey, and senior Patrick VanArsdale. In the Graduate Solo Division, the two UK students to advance are Chase Hawkins and Rui Li. UK will also send two ensembles from the UK Trumpet Studio to the semifinals of the National Trumpet Competition.

25. UK Helps Establish University Energy Clubs

The Kentucky Energy and Environment Cabinet has provided a grant to the UK Center for Applied Energy Research (CAER) to help develop educational Energy Clubs at the post-secondary level around the state. These Kentucky Energy Clubs are being created over the next few years to advance in-depth understanding of new clean coal technologies, electricity production and emission issues, as well as foster interest in the pursuit of energy-related science and economic careers. After this initial year, the established clubs will begin organizing outreach programs with their local K-12 schools, and will develop materials which individual students can use in visiting their hometown schools to increase energy-relevant educational aspirations among students who will be entering the universities in the future.

26. Art Museum Hosts Art in Bloom Fundraiser

The Art Museum at UK hosted its annual Art in Bloom fundraiser February 25-27. The museum was blooming with floral arrangements created by florists interpreting pieces of art from the museum's permanent collection, and featured the exhibition "Mid-Century Modern From the Huntington Museum of Art." This is the 11th year the Art Museum at UK has hosted the three-day event, which showcased more than 50 floral arrangements created by professional and amateur designers from across the Bluegrass. This year's event was presented in honor of Dr. Michael and Ellen Karpf for their longtime support of the museum. The signature artist was Missy Fox Trumbore.

27. Kentucky Bourbon Cookbook Named Best in World

"The Kentucky Bourbon Cookbook" by Albert W. A. Schmid and published by University Press of Kentucky was named the best in the world by Gourmand World Cookbook Awards in the category of cooking with beer, wine or spirits. "The Kentucky Bourbon Cookbook" was the only book from the United States to win in the wine category. The Gourmand World Cookbook Awards honor those who "cook with words" and are the most prestigious international cookbook awards.

28. CCLD Hosts First Kentucky Literacy Celebration

The Collaborative Center for Literacy Development (CCLD), which is housed in the UK College of Education, hosted the first Kentucky Literacy Celebration February 28 - March 4. As part of the celebration, First Lady Jane Beshear visited libraries, schools and education centers around the state, including UK's Early Childhood Lab, to promote literacy.

29. Gaines Center Presents Town and Gown Seminar

The Gaines Center for the Humanities presented the 2011 Lafayette Seminar "Town and Gown III: The University-Neighborhood Connection" February 16 and 23. This year's two-part seminar looked at how universities and colleges can help shape the communities they call home. The free public seminar opened at the Lexington Public Library with the talk "How Great Universities Can Shape Great Cities" by Omar Blaik, president and CEO of U3 Ventures LLC, a real estate development and advisory firm solely focused on developing the university market. In response to Blaik's presentation, the panel discussion "University – Community Engagement Models" was presented at William T. Young Library the next week. The panel featured representatives from UK, Western Kentucky University and Transylvania University.

30. Singletary Center Features Itzhak Perlman, Bang on a Can All-Stars

Singletary Center for the Arts continued to present events from the Singletary Signature Series, which showcases renowned musicians, dancers and ensembles from every corner of the globe. Most recently the Singletary Center presented a concert with the Bang on a Can All-Stars and UK alumnus and Wilco drummer Glenn Kotche, on January 30; and violin virtuoso Itzhak Perlman with the UK Symphony Orchestra on March 5.

31. Fine Arts Institute Features Community Art Classes

The UK Fine Arts Institute returned this spring with 16 courses ranging from one-day workshops to classes that meet weekly. These classes presented to the community include courses in metal working, drawing, painting, Photoshop, ceramics, digital photography, blacksmithing and forging. The institute also hosted five special three-day workshops in embroidery with Robert Haven, costume technologist at the UK Department of Theatre, and in painting with Bart Lindstrom, a renowned portrait artist.

32. Special Collections Library Presents 19th Century British Writers' Manuscripts

The UK Special Collections Library is presenting original work from the foremost British writers of the 19th century in "The English Romantics in First Editions and Manuscripts: An Exhibition," through March 31, at the Margaret I. King Building. The free public exhibit showcases correspondence, original manuscripts and first editions of various English Romantic writers. Included are Robert Burns, William Wordsworth, Sir Walter Scott, Samuel Taylor Coleridge, Robert Southey, Lord Byron, Percy Shelley, John Keats, Mary Wollstonecraft, Mary Shelly, Maria Edgeworth and others. Pieces featured in the exhibit were a gift from W. Hugh Peal, a 1922 graduate of what was then called the UK School of Business.

33. Sarah Bennett Holmes Awards Honor Outstanding Women at UK

University of Kentucky Women's Forum presented the Sarah Bennett Holmes Awards, given annually to women working at the University who promote the growth and well being of other women. The faculty winner was Louise Graham, W. L. Mathews Professor of Law in the UK College of Law, and the staff winner was Dana Walton-Macaulay, assistant director of

Residence Life for students rights and responsibilities. Twenty-one women from across campus were nominated for the award.

34. University Press Book Features Kentucky Peace Corps Volunteers

University Press of Kentucky has published a new book titled "Voices from the Peace Corps: Fifty Years of Kentucky Volunteers," celebrating the 50-year anniversary of the organization by giving an inside look at the lives of volunteers from around the Commonwealth and how they became "citizens of the world," revealing both the struggles and successes of their service through their inspiring stories. The book was written by Angene Wilson, professor emeritus of the UK College of Education, and her husband Jack Wilson, both of whom served in Liberia from 1962 to 1964. "Voices from the Peace Corps" is the newest publication in the "Kentucky Remembered Series" edited by UK Libraries Dean Terry Birdwhistell, and Doug Boyd, director of the Louie B. Nunn Center for Oral History. In addition to the new book, the Nunn Center for Oral History at UK Libraries has unveiled the "Returned Peace Corps Volunteers Oral History Project," which includes interviews with more than 80 volunteers and individuals with connections to Kentucky.

35. School of Music Presents World Music and Dance Concert

The UK School of Music presented the UK World Music and Dance Concert February 27 at the Singletary Center for the Arts. The concert featured an African drumming group with a sogo drums performance; UK Korean Ensemble under the direction of Donna Kwon, assistant professor of ethnomusicology at UK; Lakshmi Sriraman, a local dancer, instructor and choreographer performing a Bharatanatyam solo; Tomoko Yonenaga on the Japanese koto; Tim Lake on the banjo; Mary Ma on the Chinese erhu; and members of the Pipa Ensemble led by Hong Shao.

36. Student Awards and Achievements

Julie Carr-Trebelhorn, Art History, had her paper "Sailing into being: the Importance of Porcelain in Dutch Identity" accepted for presentation at the Art History Graduate Student Conference in March at the University of Iowa.

Elizabeth Greenfield, Arts Administration, has been awarded one of six English-Speaking Union Scholarships presented by the English-Speaking Union Kentucky Branch. The scholarship will cover Greenfield's expenses to study Shakespeare this summer at the University of Cambridge.

Nathan Hatch, Art Studio, had a sculpture selected for the Stevens Point Sculpture Park Second Annual Juried Exhibition, which begins June 1 in Stevens Point, Wisconsin.

Anna Lisa Hays, School of Journalism and Telecommunications, was the graduating senior recipient of the 2011 Sullivan Medallion, one of UK's highest honors for civic involvement, presented at UK's Founders Day celebration.

Elizabeth Schaller, Art History, has been selected as a 2011 Gaines Fellow at UK.

Kyoung Suk Lee, College of Nursing, has been awarded a non-service dissertation year fellowship for the 2011-2012 academic year. Lee's dissertation research focuses on patient recognition of worsening heart failure symptoms.

Josh Stamper, Biology, was named the 2011 James W. Stuckert Intern of the Year.

Rachel Sterrenberg, Music, finished fourth in the Orpheus Vocal Competition sponsored by the Middle Tennessee Choral Society.

A College of Nursing residency student group won first prize for their poster presentation at the national UHC/AACN BSN Residency Coordinators meeting in Las Vegas. Their poster was "Striving for an Evidence-Based Approach to Transdermal Medication Patch Management."

Four UK undergraduate theater students were selected to attend the Southeastern Theatre Conference professional auditions held March 2-6 in Atlanta, Georgia. The group has received more than 20 callbacks for artistic employment and internships.

Works from three UK students in Two-Dimensional Surface classes have been juried into the FATE International Foundation Exhibition. This virtual exhibition will be hosted by FATE and the Bowling Green State University's Second Life Gallery.

37. Faculty and Staff Awards and Achievements

Ruth Adams and Rob Dickes, Art Studio, exhibited in "Artist Books/Book Artist" at M.S. Rezny Studio in Lexington. The exhibit ran February 18 - March 18.

Jessica Baer, College of Arts and Sciences, received the 2011 Ken Freedman Outstanding Advisor Award.

Michael Bardo, Psychology, won the 2011 William B. Sturgill Award for outstanding contributions to graduate education, presented at UK's Founders Day celebration.

Sandra Bastin, Nutrition and Food Science, was appointed to the Kentucky Board of Licensure for Dietitians and Certified Nutritionists.

George Boulden, Music, was presented with the 2011 Kentucky Music Educators Association (KMEA) College/University Teacher of the Year Award.

Lesley Chapman, Art Studio, has contributed significantly to the exhibition "A Greek Mountain Fort in Southern Italy: University of Kentucky Archaeological Investigations at Monte Palazzi" (Croceferrata Pass, Grotteria, Calabria) which opened at Lexington's Central Library on March 26.

Alice Christ, Art History, moderated and participated in a panel discussion of icons and spiritual art in connection with the exhibit, "Icons in Transformation" on February 19 at Christ Church Cathedral.

Rob Dickes, Art Studio, has been selected for a one-man exhibit at River Gallery in Kingston, Canada in June for his work "Adopted, Adoptee, Adoptive."

Emily Dotson, Gender and Women's Studies, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Herman Farrell and Jennifer Goodlander, Theater, and four theatre graduate students presented papers at the MidAmerica Theatre Conference held March 2-5 in Minneapolis, Minnesota.

Nikky Finney, English, is featured on the front page of March's edition of *Poets and Writers* for her newly-released book of poetry, "Head Off & Split."

Thomas Foster, Pharmacy, received (posthumously) a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Rae Goodwin, Art Studio, will have exhibitions at the Reese Museum in Johnson City, Tennessee; the Loudon House in Lexington; and the FATE/MACAA Member's Exhibition at the Mad Art Gallery in St. Louis.

Carol Hanley, Tracy Farmer Center for the Environment, received a \$113,375 education incentive grant from the Lexington Fayette Urban County Government for improving water quality through public outreach and K-12 schools in Fayette County.

Debra Harley, Special Education and Rehabilitation Counseling, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Jane Jensen, Educational Policy Studies and Evaluation, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Lynn Kelso, College of Nursing, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Andrew Kimbrough, Department of Theatre, recently published a new theatre and performance study book, "Dramatic Theories of Voice in the Twentieth Century." Published by Cambria Press, this is the first book of theatre studies to identify and articulate theories of voice as expressed in the philosophies, human sciences and physical sciences of the 20th century.

Susan Larson, Hispanic Studies, hosted a one-day symposium, "Science, Technology and Spanish Culture: 1900-1936," fully streamed online, a first for the College of Arts and Sciences and UK.

Robert McKnight, Preventive Medicine and Environmental Health, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Richard Milich, Psychology, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Cyndy Harbett Miller, Communication, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Erik Myrup, History, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Seungahn Nah, Community and Leadership Development, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Deborah Reed, College of Nursing, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Daret St. Clair, Toxicology, received the 2011 Albert D. and Elizabeth Kirwan Memorial Prize for outstanding contributions to original research or creative scholarship, presented at UK's Founders Day celebration.

Arturo Alonzo Sandoval, Art Studio, was selected to exhibit as part of "Kentucky Guild of Artists and Craftsmen: Works by Juried Members," running March 5 - August 20 at the Kentucky Artisan Center in Berea. Sandoval also moderated a panel discussion presented in celebration of the 30th anniversary of the Kentucky Museum of Art and Craft held March 3 at the museum in Louisville.

David Silverstein, Chemical and Materials Engineering, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Hunter Stamps, Art Studio, has been invited by the Watershed Center for the Ceramic Arts to co-teach a kiln-building workshop this summer in New Castle, Maine. He also has upcoming shows at the Rosewood Gallery in Kettering, Ohio; the Lighthouse Art Center in Tequesta, Florida; and the First Tennessee Pavilion in Chattanooga, Tennessee.

Marcia Stanhope, College of Nursing, won the Provost's Public Scholar Award, presented at UK's Founders Day celebration.

Dima Strakovsky, Art Studio, had artwork presented at exhibitions at Causa Artium in New York City; Grace Space in New York City; New Art Center in Newton, Massachusetts; and Barr Gallery at Indiana University Southeast in New Albany, Indiana.

Guiliang Tang, Kentucky Tobacco Research and Development Center, received a National Science Foundation grant for \$296,000 for EAGER, a TNai gene discovery tool to randomly generate dominant mutant pools in plants.

Joseph Van Sickels, Oral Health Science, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

Paul Vincelli, Plant Pathology, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Monica Blackmun Visonà, Art History, delivered a paper on "Warriors in Top Hats: Lagoon Arts in the Historical Context of West African Coastal Cultures" at the annual meeting of the College Art Association, held February 9-12 in New York City.

Paolo Visonà, Art History, has curated the exhibition "A Greek Mountain Fort in Southern Italy: University of Kentucky Archaeological Investigations at Monte Palazzi" (Croceferrata Pass, Grotteria, Calabria), which opened at Lexington's Central Library on March 26. The exhibition will travel to southern Italy in June, and will be installed permanently in a village near the archaeological site.

Bruce Webb, Department of Entomology, received a Distinguished Service Professorship, presented at UK's Founders Day celebration.

David Williams, Department of Plant and Soil Sciences, won a Ken Freedman Outstanding Advisor award, which recognizes his dedication and excellence in advising UK students.

John Wilson, Behavioral Science, received a Provost's Award for Outstanding Teaching, presented at UK's Founders Day celebration.

Benjamin C. Withers, Art History and chair of the Department of Art, has been elected to a three-year term on the board of directors for the International Center for Medieval Art, headquartered at the Cloisters (Metropolitan Museum of Art), in New York City.

38. Alumni Awards and Achievements

Wendell Berry, English, was awarded a 2010 National Humanities Medal given by President Barack Obama, one of only 10 such awards for outstanding achievements in history, literature, education and cultural policy.

Karen S. Hill, College of Nursing, was named a 2010 Nursing Image Winner by HCPro, Inc., a leading provider of nursing education and management resources. Hill is nurse executive and vice president of surgical services and women's services at Central Baptist Hospital in Lexington.

PR 2

Office of the President
March 29, 2011

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: that approval be given to the attached appointments, actions, and/or other staff changes which require Board action; and that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the university. These recommendations are transmitted to the Board by the appropriate provost/executive vice president through the president and have the president's concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the president or other administrators who are required to report their actions to the Board. These items of report follow the recommendations requiring Board approval.

Action taken: Approved Disapproved Other _____

PRESIDENT
AND
EXECUTIVE VICE PRESIDENT
FOR
FINANCE AND ADMINISTRATION

I. BOARD ACTION

A. RETIREMENT

Executive Vice President for Finance and Administration

Dyche, Richard W., Plumber II, Human Resources, after 26 years and 11 months of consecutive service under AR 3.1 Section VI.B, effective 4/30/11.

B. EARLY RETIREMENTS

CIO for Information Technology

Mackay, Pamela, Data Coordinator Senior, University Computing Systems Programming, after 25 years and 3 months of consecutive service under AR 3.1 Section VI.A, effective 12/28/10.

Vice President for Facilities Management

Sievert, Thomas D., Custodial Worker II, Auxiliary Services, after 20 years of consecutive service under AR 3.1 Section VI.A, effective 5/27/11.

Whiting, Mozell, Staff Support Associate I, Physical Plant Grounds Service, after 32 years and 10 months of consecutive service under AR 3.1 Section VI.A, effective 5/6/11.

II. ADMINISTRATIVE ACTION

A. PROMOTION

CIO for Information Technology

Lindstrom, Adam, from Programmer Systems Analyst Senior, Academic Technology Group to Programmer Systems Analyst Lead, SSTARS, effective 2/7/11.

PROVOST

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

- Adkins, David A., College of Medicine, Clinical Assistant Professor, Internal Medicine, 3/1/11 through 6/30/11.
- Ahmad, Zulfiqar, College of Arts and Sciences, Professor (visiting), Earth and Environmental Sciences, 1/1/11 through 6/30/11.
- DiSantis, David J., College of Medicine, Clinical Professor, Radiology, 12/1/10 through 6/30/11.
- Escott, Edward J., College of Medicine, Clinical Associate Professor, Radiology, 12/1/10 through 6/30/11.
- Jones, Jill S., College of Medicine, Associate Professor (part-time), Family and Community Medicine, 2/15/11 through 6/30/11.
- Kaplan, Marcia J., College of Medicine, Professor (voluntary), Psychiatry, 2/1/11 through 6/30/16.
- Nair, Rashmi T., College of Medicine, Clinical Assistant Professor, Radiology, 8/1/11 through 6/30/12.
- Osborne, Michael R., College of Medicine, Associate Professor (part-time), Emergency Medicine, 3/1/11 through 6/30/11.
- Seay, Thomas M., College of Medicine, Clinical Assistant Professor, Radiology, 6/1/11 through 6/30/11.
- Tibbs, Phillip A., College of Medicine, Professor (with tenure), Neurosurgery, and joint appointment as Professor (without tenure), Physical Medicine and Rehabilitation, appointed to the Judy and Byron Young Neuroscience Institute Endowed Professorship, 2/1/11 through 6/30/16.
- Wallace, Thomas E., College of Medicine, Clinical Professor, Internal Medicine, 1/25/11 through 6/30/11.

B. ADMINISTRATIVE APPOINTMENTS

- Anglin, Mary, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, and joint appointments as Associate Professor (without tenure), Kentucky School of Public Health, College of Medicine and Associate Professor (without tenure), Health Behavior, College of Public Health, appointed Chair, Anthropology, 7/1/12 through 6/30/16.
- Bjork, Lars, College of Education, Professor (with tenure), Educational Leadership Studies, appointed Chair, Educational Leadership Studies, 7/1/10 through 6/30/12.
- Kryscio, Richard, College of Arts and Sciences, Professor (with tenure), Statistics, and joint appointments as Professor (without tenure), Kentucky School of Public Health, College of Medicine and Professor (without tenure), Biostatistics, College of Public Health, reappointed Chair, Biostatistics, 1/1/11 through 12/31/15.

*Special Title Series

Leep, David, College of Arts and Sciences, Professor (with tenure), Mathematics, appointed Chair, Mathematics, 7/1/11 through 6/30/15.

C. REAPPOINTMENTS

Carter, Craig T., College of Medicine, Clinical Associate Professor, Emergency Medicine, and joint appointment as Clinical Associate Professor, Pediatrics, 7/1/11 through 6/30/12.

Chen, Kuey C., College of Medicine, Associate Research Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.

Iwinski, Henry J., College of Medicine, Clinical Professor, Orthopaedic Surgery, and joint appointment as Clinical Professor, Pediatrics, 7/1/11 through 6/30/12.

Kamineni, Srinath, College of Medicine, Associate Professor* (without tenure), Orthopaedic Surgery, 7/1/11 through 6/30/12.

Osborne, Michael R., College of Medicine, Associate Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.

Stephan, Maria, College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/11 through 6/30/12.

Young, William F., College of Medicine, Clinical Associate Professor, Emergency Medicine, 7/1/11 through 6/30/12.

D. CHANGES

O'Brien, John M., College of Medicine, from Associate Professor (part-time), Obstetrics and Gynecology, to Clinical Associate Professor, Obstetrics and Gynecology, 1/1/11 through 6/30/11.

E. RETIREMENTS

Anderson, Patsy P., College of Engineering, Transfer Technology Manager Senior, Kentucky Transportation Center, after 30 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 5/2/11.

Murphy, Michael L., Research, Geologist II, Kentucky Geological Survey, after 20 years and 10 months of consecutive service, under AR 3:1, Section VI.B, effective 3/31/11.

F. EARLY RETIREMENTS

Byrd, Joetta H., College of Dentistry, Dental Therapist Senior, Dentistry Dental Clinic, after 34 years and 9 months of consecutive service, under AR 3:1, Section VI.A, effective 5/2/11.

Johnson, Mary S., College of Arts and Sciences, Staff Support Associate II, Psychology, after 17 years and 2 months of consecutive service, under AR 3:1, Section VI.A, effective 4/12/11.

*Special Title Series

Kidd, Christine E., College of Agriculture, Program Assistant, Family and Consumer Sciences, after 24 years and 3 months of consecutive service, under AR 3:1, Section VI.A, effective 5/7/11.

Perras, Suzanne L., Enrollment Management, Administrative Staff Officer I, Admissions and Registrar, after 25 years and 9 months of consecutive service, under AR 3:1, Section VI.A, effective 4/29/11.

G. POST-RETIREMENT APPOINTMENTS

Craycraft, Carla G., College of Agriculture, Professor (part-time), Agricultural Communications Service, 7/1/11 through 6/30/12.

Helton, Peggy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 5/6/11 through 5/5/12.

Powell, Peggy, College of Agriculture, County Extension Agent (part-time), Cooperative Extension Service, 7/7/11 through 7/6/12.

Thom, William O., College of Agriculture, reappointed Director, Regulatory Services, 7/1/11 through 6/30/12.

H. PHASED RETIREMENT APPOINTMENTS

Martin, Hubert, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, 7/1/11 through 6/30/16.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Ajayl, Olakunle O., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/1/11 through 6/30/16.

Akafuah, Nelson K., College of Engineering, Assistant Research Professor, Mechanical Engineering, 2/1/11 through 6/30/11.

Aldarondo, Federico, College of Education, Adjunct Instructor, Educational, School, and Counseling Psychology, 1/1/11 through 5/15/11.

Andrus, Jane V., College of Fine Arts, Instructor (part-time), Art, 1/1/11 through 6/30/11.

Asher, Laura G., College of Medicine, Assistant Professor (voluntary), Family and Community Medicine, 3/1/11 through 6/30/16.

Aygun, Omer, College of Arts and Sciences, Instructor (part-time), Philosophy, 1/1/11 through 1/31/11.

Botts, James C., College of Education, Instructor (part-time), Educational Leadership Studies, 1/1/11 through 5/15/11.

Gaffney, Jon D., College of Arts and Sciences, Instructor (part-time), Physics and Astronomy, 7/1/10 through 6/30/11.

Gilbert, Helga, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/10 through 6/30/10.

Gillen, Martie, College of Agriculture, Adjunct Instructor, Family Studies, 1/1/11 through 5/15/11.

*Special Title Series

- Graham, James M., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/1/11 through 6/30/16.
- Graves, Alecia E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/1/11 through 6/30/16.
- Gupta, Sanjiv, College of Medicine, Assistant Professor (voluntary), Internal Medicine, 2/15/11 through 6/30/16.
- Hellmich, Linda K., College of Education, Instructor (part-time), Educational, School, and Counseling Psychology, 1/1/11 through 5/15/11.
- Hilty, Hollis R., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 12/1/10 through 6/30/16.
- Jong, Cindy, College of Education, Assistant Professor, Curriculum and Instruction, 8/16/11 through 5/15/12.
- Kientz, Lauren L., College of Arts and Sciences, Instructor (part-time), History, 7/1/10 through 6/30/11.
- Larken, Kate, College of Education, Instructor (part-time), Curriculum and Instruction, 1/1/11 through 5/15/11.
- Lewis, Daniel E., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 1/1/11 through 6/30/16.
- MacLean, Alyssa, College of Arts and Sciences, Instructor (part-time), English, 1/1/11 through 6/30/11.
- Marazzi, Leonardo, College of Arts and Sciences, Assistant Professor (visiting), Mathematics, 1/1/11 through 5/15/11.
- Ogden, Anthony, College of Education, Adjunct Instructor, Educational Policy Studies and Evaluation, 1/1/11 through 12/31/13.
- Owiny, Ruby, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 8/16/10 through 12/31/10.
- Ratliff, Jamie L., College of Fine Arts, Instructor (part-time), Art, 1/1/11 through 6/30/11.
- Sheppard-Jones, Kathleen A., College of Education, Assistant Adjunct Professor, Special Education and Rehabilitation Counseling, 8/16/10 through 12/31/10.
- Swigert, Nancy B., College of Health Sciences, Assistant Adjunct Professor, Rehabilitation Sciences, 9/1/10 through 6/30/11.
- Tutt, Matthew P., College of Medicine, Assistant Professor (voluntary), Neurosurgery, 2/1/11 through 6/30/16.
- Williams, Lisa G., College of Social Work, Instructor (part-time), 1/12/11 through 6/30/11.
- Williams, Sandra S., College of Social Work, Instructor (part-time), 1/12/11 through 6/30/11.
- Yumang, Emmanuel, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, 2/1/11 through 6/30/16.

B. ADMINISTRATIVE APPOINTMENTS

- Brodd, Ralph, Research, Kentucky-Argonne Battery Research and Development Center Executive Director, Center for Applied Energy Research, effective 1/27/11.
- Coleman, Oleva J., College of Medicine, Advanced Practice Provider II, Emergency Medicine, effective 1/1/11.

- Crooks, Deborah, College of Arts and Sciences, Associate Professor (with tenure), Anthropology, appointed Interim Chair, Anthropology, 7/1/11 through 6/30/12.
- Serey, Lauren, College of Medicine, Advanced Practice Provider III, Internal Medicine, effective 3/21/11.
- Walker, Susan R., College of Medicine, Advanced Practice Provider III, Internal Medicine, effective 3/1/11.
- Wallace, Carmel, College of Medicine, Clinical Associate Professor, Pediatrics, appointed Interim Chair, Pediatrics, 2/14/11 through 2/13/12.

C. JOINT APPOINTMENTS

- Brazzell, John W., College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 1/1/11 through 6/30/11.
- Graber, Matthew N., College of Medicine, Clinical Assistant Professor, Emergency Medicine, joint appointment as Clinical Assistant Professor, Molecular and Cellular Biochemistry, 4/1/11 through 6/30/11.
- Kutnicki, Benjamin, College of Health Sciences, Assistant Professor (voluntary), Clinical Sciences, joint appointment as Assistant Professor (voluntary), Family and Community Medicine, College of Medicine, 1/1/11 through 6/30/11.

D. REAPPOINTMENTS

- Abel, Mark G., College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/11 through 6/30/12.
- Adams, Ingrid K., College of Agriculture, Assistant Extension Professor, Nutrition and Food Science, 7/1/11 through 6/30/12.
- Ahn, Thomas, College of Business and Economics, Assistant Professor, Economics, 7/1/11 through 6/30/12.
- Andal, Diane N., College of Business and Economics, Instructor (part-time), Management, 1/12/11 through 6/30/11.
- Bennett, Stephanie L., College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/11 through 6/30/12.
- Bonaime, Alice A., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.
- Bonzani, Renee M., College of Arts and Sciences, Assistant Adjunct Professor, Anthropology, 1/1/11 through 5/15/11.
- Bowers, Rebecca C., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/11 through 6/30/12.
- Bratten, Brian, College of Business and Economics, Assistant Professor, Accountancy, 7/1/11 through 6/30/12.
- Browning, Steven R., College of Public Health, Assistant Professor, Epidemiology, 7/1/11 through 6/30/12.
- Bush, Heather M., College of Public Health, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.

- Caldwell, Glyn G., College of Public Health, Assistant Professor (part-time), Epidemiology, 7/1/11 through 6/30/12.
- Chandler, Wanda, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Cinnamond, Karen E., College of Social Work, Adjunct Instructor, 1/12/11 through 6/30/11.
- Cook, Brian, College of Education, Lecturer, Kinesiology and Health Promotion, 7/1/11 through 6/30/12.
- Corman, Elizabeth B., College of Social Work, Adjunct Instructor, 1/12/11 through 6/30/11.
- Desai, Sameer M., College of Medicine, Clinical Assistant Professor, Emergency Medicine, 7/1/11 through 6/30/12.
- Epperson, Elizabeth F., College of Social Work, Instructor (part-time), 8/25/10 through 6/30/11.
- Erwin, Heather, College of Education, Assistant Professor, Kinesiology and Health Promotion, 7/1/11 through 6/30/12.
- Fardo, David W., College of Public Health, Assistant Professor, Biostatistics, 7/1/11 through 6/30/12.
- Feldman, Joel B., College of Fine Arts, Instructor (part-time), Art, 1/1/11 through 5/31/11.
- Froehling, Oliver R., College of Arts and Sciences, Assistant Adjunct Professor, Geography, 1/1/11 through 12/31/11.
- Gassaway, Linda, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Gilbert, Helga, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Graber, Matthew N., College of Medicine, Clinical Assistant Professor, Emergency Medicine, and joint appointment as Clinical Assistant Professor, Molecular and Cellular Biochemistry, 7/1/11 through 6/30/12.
- Graham, Alvan D., College of Agriculture, Adjunct Instructor, Community and Leadership Development, 8/16/11 through 6/30/12.
- Huang, Cai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.
- Hutchens, Neal H., College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/11 through 6/30/12.
- Jepsen, Christopher, College of Business and Economics, Assistant Professor*, Economics, 7/1/11 through 6/30/12.
- Joshi, Preeti R., College of Agriculture, Lecturer, Merchandising, Apparel and Textiles, 7/1/11 through 6/30/12.
- Kachroo, Aardra, College of Agriculture, Assistant Professor, Plant Pathology, 7/1/11 through 6/30/12.
- Krampe, Kristina, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Lankster, Florence M., College of Social Work, Instructor (part-time), 1/12/11 through 6/30/11.
- Lee, Min-Young, College of Agriculture, Assistant Professor, Merchandising, Apparel and Textiles, 7/1/11 through 6/30/12.

*Special Title Series

- Lensing, Janet R., College of Agriculture, Adjunct Instructor, Entomology, 7/1/11 through 6/30/12.
- Lewis, Nicole, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/11 through 6/30/12.
- Lewis, Wayne D., College of Education, Assistant Professor, Educational Leadership Studies, 7/1/11 through 6/30/12.
- Miracle, Sally, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Murtha, Brian R., College of Business and Economics, Assistant Professor, Management, 7/1/11 through 6/30/12.
- Owiny, Ruby, College of Education, Instructor (part-time), Special Education and Rehabilitation Counseling, 1/1/11 through 5/15/11.
- Parr, Jeffery W., College of Medicine, Assistant Adjunct Professor, Orthopaedic Surgery, 7/1/11 through 6/30/12.
- Payne, Joey, College of Agriculture, Adjunct Instructor, Nutrition and Food Science, 1/1/11 through 5/31/11.
- Rains, Allison C., College of Medicine, Assistant Professor (part-time), Emergency Medicine, 7/1/11 through 6/30/12.
- Rintamaa, Margaret, College of Education, Assistant Research Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.
- Sandford, Jeremy, College of Business and Economics, Assistant Professor, Economics, 7/1/11 through 6/30/12.
- Schnittka, Christine, College of Education, Assistant Professor, Curriculum and Instruction, 7/1/11 through 6/30/12.
- She, Qing-Bai, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.
- Sheppard-Jones, Kathleen A., College of Education, Assistant Adjunct Professor, Special Education and Rehabilitation Counseling, 1/1/11 through 12/31/13.
- Slavova, Svetla S., College of Public Health, Assistant Research Professor, Biostatistics, 7/1/11 through 6/30/12.
- Stephenson, Tammy J., College of Agriculture, Senior Lecturer, Nutrition and Food Science, 7/1/11 through 6/30/12.
- Swanson, Jason R., College of Agriculture, Assistant Professor, Merchandising, Apparel and Textiles, 7/1/11 through 6/30/12.
- Toland, Michael D., College of Education, Assistant Professor, Educational, School, and Counseling Psychology, 7/1/11 through 6/30/12.
- Walters, Emma S., College of Agriculture, Instructor (part-time), Nutrition and Food Science, 1/1/11 through 6/30/11.
- Webber, Kelly H., College of Agriculture, Assistant Professor, Nutrition and Food Science, 7/1/11 through 6/30/12.
- Weber, Donna R., College of Medicine, Assistant Professor*, Molecular and Biomedical Pharmacology, 7/1/11 through 1/4/12.
- Wesley, Scarlett C., College of Agriculture, Assistant Professor, Merchandising, Apparel and Textiles, 7/1/11 through 12/31/11.
- Williams, Cassandra R., College of Education, Lecturer, Curriculum and Instruction, 7/1/11 through 6/30/12.

*Special Title Series

- Wu, Yadi, College of Medicine, Assistant Research Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.
- Xu, Ren, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.
- Yang, Hongwei, College of Education, Assistant Professor, Educational Policy Studies and Evaluation, 7/1/11 through 6/30/12.
- Yang, Xiuwei, College of Medicine, Assistant Professor, Molecular and Biomedical Pharmacology, 7/1/11 through 6/30/12.

E. CHANGES

- Desai, Megha M., College of Medicine, from Clinical Assistant Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 3/1/11 through 6/30/11.
- Higgs, Calvin T., College of Arts and Sciences, from Instructor (temporary), Modern and Classical Languages, Literatures, and Cultures, to Lecturer, Modern and Classical Languages, Literatures, and Cultures, 8/16/11 through 6/30/13.
- Jahangiri, Anisa, College of Medicine, from Assistant Research Professor, Internal Medicine, to Assistant Professor (part-time), Internal Medicine, 4/1/11 through 6/30/11.
- Seabolt, John P., College of Arts and Sciences, from Instructor (temporary), Biology to Adjunct Instructor, Biology, 8/16/10 through 6/30/11.

F. TERMINAL REAPPOINTMENTS

- Carrigan, Dennis P., College of Communications and Information Studies, Assistant Professor*, Library and Information Science, 3/1/11 through 6/30/11.
- Murphy, Michael P., College of Medicine, Assistant Professor, Molecular and Cellular Biochemistry, 2/7/11 through 2/6/12.

G. LEAVES OF ABSENCE

- Chung, Deborah S., College of Communications and Information Studies, Associate Professor (with tenure), Journalism and Telecommunications, Sabbatical leave at full salary, 8/16/11 through 12/31/11.
- Ehrenborg, Richard, College of Arts and Sciences, Professor (with tenure), Mathematics, Leave without pay, 1/1/11 through 6/30/11.
- Erickson, John, College of Arts and Sciences, Professor (with tenure), Modern and Classical Languages, Literatures, and Cultures, Sabbatical leave at half salary, 7/1/11 through 12/31/11.
- Stamps, William H., College of Fine Arts, Assistant Professor, Art, Leave at full salary, 8/16/11 through 12/31/11.
- Tice, Karen, College of Education, Associate Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave at half salary, 8/16/11 through 12/31/11.

*Special Title Series

Wright, Lynda Brown, College of Education, Professor (with tenure), Educational, School, and Counseling Psychology, Fellowship Leave at full salary, 7/1/10 through 6/30/11.

H. RESIGNATIONS

Ali, Mohamed H., College of Engineering, Senior Lecturer, Mechanical Engineering, effective 2/4/11.

Branscum, Adam J., College of Public Health, Assistant Professor, Biostatistics, and joint appointments as Assistant Professor, Epidemiology and Assistant Professor, Statistics, College of Arts and Sciences, effective 8/15/10.

Deokar, Amit M., College of Medicine, Clinical Assistant Professor, Pediatrics, effective 3/8/11.

Johnson, Tatum S., College of Medicine, Clinical Assistant Professor, Radiology, and joint appointment as Assistant Professor, Pediatrics, effective 3/15/11.

Liu, Youcheng, College of Public Health, Assistant Professor, Preventive Medicine, effective 6/30/10.

Maginnis, M. Abbot, College of Engineering, Lecturer, UK Center for Manufacturing, effective 6/30/11.

Marksberry, Phillip W., College of Engineering, Lecturer, UK Center for Manufacturing, effective 6/30/11.

Mullineaux, David, College of Education, Assistant Professor, Kinesiology and Health Promotion, effective 12/31/10.

Rexroad, Jason T., College of Medicine, Clinical Assistant Professor, Radiology, effective 6/30/11.

Rodriguez, Diana A., College of Nursing, Assistant Professor*, effective 6/30/11.

Seamon, Leigh G., College of Medicine, Assistant Professor*, Obstetrics and Gynecology, and joint appointment as Assistant Professor*, Epidemiology, effective 6/30/11.

Shashidhar, Harohalli R., College of Medicine, Clinical Associate Professor, Pediatrics, effective 6/17/11.

Telling, Glenn C., College of Medicine, Professor (with tenure), Microbiology, Immunology and Molecular Genetics, and joint appointment as Professor (without tenure), Neurology, effective 6/30/11

Tempo, Paulette J., College of Dentistry, Associate Professor* (with tenure), Oral Health Practice, effective 8/1/11.

*Special Title Series

EXECUTIVE VICE PRESIDENT FOR HEALTH AFFAIRS

I. BOARD ACTION

A. CHANGES

Norton, Donna, UK Hospital, from Service Director/UKHC/CAS to Perioperative Enterprise Director/UKHC, Perioperative Administration, effective 11/07/10
 Pennington, Kimberly, UK Hospital, from Patient Care Manager, Gill Heart Institute to Nursing Operations Administrator, Gill Heart Institute, effective 01/02/11
 Daniels, Kathy, UK Hospital, from Service Line Manager, Perioperative Services Administration to Interim Perioperative Services Director, effective 01/16/11

B. RETIREMENTS

Brasfield, Pamela M., Nurse Clinical, after 22 years and 10 months of consecutive service, under AR 3:1 Section VI.A., effective 02/12/11
 Dunn, Denise, Laboratory Services, after 30 years and 8 months of consecutive service, under AR 3:1 Section VI.A., effective 04/14/11
 Taylor, Gloria E., Nurse Clinical, after 29 years and 10 months of consecutive service, under AR 3:1 Section VI.B., effective 01/27/11
 Ding, Yi Wu, Medical Technologist, after 17 years and 10 months of consecutive service, under AR 3:1 Section VI.B., effective 02/11/11
 Nave, Roy L., Regulatory Specialist/Therapist Services, after 40 years and 2 months of consecutive service, under AR 3:1 Section VI.B., effective 03/05/11

II. ADMINISTRATIVE ACTION

A. ADMINISTRATIVE APPOINTMENTS

Hanlon, Dean, Rehabilitation Services Supervisor/UKHC, Therapeutic Services, effective 02/28/11

B. CHANGES

Denny, Phillip, UK HealthCare, from Resident/Intern, Graduate Medical Education to Operations Manager/Ancillary Services/UKHC, Hospital Administration, effective 03/01/11
 Cross, Jamie, UK Hospital, from Nurse Clinical Coordinator, PACU to Patient Care Manager/UKHC, Nursing Administration, effective 02/13/11
 Carpenter, Alecia, UK Hospital, from Nurse Clinical/UKHC, Burn Unit to Clinical Nurse Specialist/UKHC, Nursing Administration, effective 03/13/11

*Special Title Series

Semones, Kathy, UK Hospital, from Nurse Clinical/UKHC to Patient Care
Manager/UKHC/Capacity Command Center, effective 02/13/11

PR 3

Office of the President
March 29, 2011

Members, Board of Trustees:

HONORARY DEGREE RECIPIENTS

Recommendation: that the Board of Trustees approve awarding the degree of Honorary Doctor of Letters to Barbara Hogan, Honorary Doctor of Letters to Ahmed Kathrada, Honorary Doctor of Science to Robert M. Drake, Jr., Honorary Doctor of Letters to T. Pearse Lyons, and Honorary Doctor of Letters to Albert P. Smith, Jr. as approved and recommended by the University Faculty.

Background: Pursuant to the Conditions of Merit for Honorary Degrees, the University Joint Committee on Honorary Degrees has recommended to the elected Faculty Senators that the specified honorary degree be awarded to Barbara Hogan, Ahmed Kathrada, Robert M. Drake, Jr., T. Pearse Lyons, and Albert P. Smith, Jr. The elected Faculty Senators have approved the recommendation.

Biographical sketches of the recipients are attached.

Action taken: Approved Disapproved Other _____

Barbara Hogan
Recommended for Honorary Doctor of Letters
April 13, 2011 Academic Convocation

As a South African activist, health advocate, member of Parliament, and former Minister of Health and of Public Enterprise, Barbara Hogan has worked tirelessly in the nationwide struggle against Apartheid, the AIDS epidemic, and poverty. In each of these positions, Ms. Hogan is widely respected by colleagues, government and world leaders, and thousands of people around the world.

Born into a privileged family during the Apartheid regime, Hogan attended the University of the Witwatersrand, earning a degree in Development Studies and qualifications in Accounting and Economics. Following the brutal government oppression of the Soweto Uprising, she joined the African National Congress in 1977. As a member of the anti-Apartheid organization, she collected information about trade unions and community organizations, worked to mobilize white political opposition to the policy, and participated in public political campaigning. She was arrested as a member of an illegal organization and was the first woman convicted of high treason after the Treason Trials of the 1950s. Sentenced to ten years imprisonment at Pretoria Central Prison, Hogan faced brutal interrogation and a year of solitary confinement before her release in 1990.

Following her release, her dedication to the betterment of the socio-economic and health conditions of her countrymen and women continued as she helped support the development of a democratic, multi-racial society in South Africa. She became a member of the African National Congress Executive Committee, was elected to Parliament, became head of Policy Development of the former Development Bank of South Africa, and participated in talks which led to the creation of a democratic South Africa. During the presidency of Nelson Mandela, Ms. Hogan chaired the Parliament finance committee and helped guide the country's transition to a democratic economy.

In addition to her political activism, Ms. Hogan is a strong voice for public health in her country and has been an advocate for the scientific treatment of AIDS and is a founding member of the Amandla AIDS fund. As South Africa's Minister of Health, Hogan led a fundamental rethinking of the nation's response to the AIDS epidemic, earning her the everlasting support of the public health community. In 2009, she was named to *Time Magazine's* list of the 100 Most Influential People in the World.

NOTE: Ms. Hogan will be visiting UK in mid-April, and the honorary doctorate would be awarded at a separate ceremony during that visit.

Ahmed Kathrada
Recommended for Honorary Doctor of Letters
April 13, 2011 Academic Convocation

As an outspoken activist, human rights leader, and presidential advisor, Mr. Kathrada has worked tirelessly and ultimately gave up his own freedom in protest of the brutal Apartheid regime of South Africa. His unwavering belief in basic human rights for all citizens of South Africa and his position as a trusted supporter and advisor of Nelson Mandela has earned him the respect of scholars, world leaders, and provided inspiration of millions of people around the globe.

During his youth, he was exposed to the harsh segregation laws of his country as he was forced to attend an "Indian" school. This began his interest in human rights and equality. Following travel in post-World War II Europe, Kathrada returned home where his anti-Apartheid activism escalated as he, Nelson Mandela, and Walter Sisulu were among a group arrested for organizing a Defiance Campaign against a series of Apartheid laws. This first arrest did not stop his commitment to activism as throughout the 1950s, his activities led to another arrest and prosecution during the Treason Trials. Although acquitted of wrongdoing, Kathrada was placed under house arrest and his "banned" political activities were forced underground. Eventually arrested again, along with Mr. Mandela, he faced a death sentence at the Rivonia Trial, unable to present evidence to the court that would have exonerated him from treason charges levied against him. While not sentenced to death, Kathrada was sentenced to life imprisonment with hard labor in 1964.

While a prisoner at Robben Island, Kathrada earned Bachelor's degrees in History and Criminology, Library Science, and African Politics. Upon his release in 1989, he became a leader in the African National Congress. Elected to the National Executive Committee and heading up the Public Relations Department, he became one of Mr. Mandela's closest advisors during the crucial transition to democracy in South Africa. In 1994, he was elected to the country's Parliament and was appointed parliamentary counselor to President Mandela. Serving in this capacity for five years, Mr. Kathrada retired from active politics in 1999.

Mr. Kathrada also served as the Chairperson of the Robben Island Council that worked to transform that prison into a World Heritage Site. Additionally, he serves on the governing board for the Nelson Mandela Foundation, and in 2008; he created the Ahmed Kathrada Foundation with the objective of deepening non-racialism and advancing throughout the world.

Mr. Kathrada is the author of numerous books, including *Memoirs*, which will be issued by the University Press of Kentucky in Spring 2011 under the title *No Bread for Mandela*.

NOTE: Mr. Kathrada will be visiting UK in mid-April and the honorary doctorate would be awarded at a separate ceremony during that visit.

Robert M. Drake, Jr.
Recommended for Honorary Doctor of Science
May 8, 2011 Commencement

Robert M. Drake, Jr. graduated from the University of Kentucky with a Bachelor of Science in mechanical engineering in 1942 and immediately joined the U.S. Army Air Corps. He received his Master of Science (1946) and Doctor of Philosophy (1950) from the University of California at Berkeley. Dr. Drake resigned from the AAF in 1947 and joined the faculty of the College of Engineering at UC-Berkeley.

In 1954, Dr. Drake became an engine design specialist with the General Electric Company Aircraft Gas Turbine Division in Cincinnati, Ohio. He was named professor of mechanical engineering at Princeton University in 1957, and subsequently was named chairman of the department. While at Princeton he chaired the faculty committee that created Princeton's Computing Center, co-founded Intertech Corporation, and was named by the Agency for International Development to the Steering Committee for the creation of the Indian Institute of Technology at Kanpur, India.

Dr. Drake left Princeton in 1963 to serve as senior staff consultant with the Arthur D. Little Company where he worked on several industrial and classified government projects. The next year he joined the faculty of the University of Kentucky College of Engineering. Dr. Drake was named chairman of the Department of Mechanical Engineering in 1966 and served as Dean of the College of Engineering from 1966 until 1971, when he joined Combustion Engineering, Inc., as corporate vice president of research and development. He returned to UK in late 1975 as Special Assistant to the President in charge of major research programs, but left soon after to become Corporate Vice President, Technology for Studebaker-Worthington, New York. In 1981, he co-founded Projectron, Inc.

During his career, Dr. Drake consulted for many high technology companies and government agencies. He was a prolific publisher in the research literature on heat transfer and fluid mechanics, as well as being the author or co-author of three books with Ernest Eckert. At the time, it was the most referenced book on heat and mass transfer in the world. He is a University of Kentucky Fellow and a Fellow of the American Society of Mechanical Engineers. He is a member of the National Academy of Engineering (1974), the University of Kentucky Hall of Distinguished Alumni (1979), and honorary member of the American Society of Mechanical Engineers (1980). Dr. Drake was inducted into the College of Engineering Hall of Distinction in 1995.

Dr. T. Pearse Lyons
Recommended for Honorary Doctor of Letters
May 8, 2011 Commencement

Dr. T. Pearse Lyons is the founder and president of Alltech, a global leader in the animal health industry that employs more than 1,900 people, has a presence in 113 countries, and boasts sales of more than \$400 million. Lyons received his bachelor's degree from the National University of Ireland in Dublin and pursued his master's and doctoral degrees at the University of Birmingham, England. He later worked as a biochemist in Irish Distillers before founding Alltech in 1980.

Lyons received the State Export Award for Kentucky and was acknowledged as the leader of one of the top 100 fastest growing high-tech companies by *World Trade Magazine*. He was the Kentucky recipient of the Entrepreneur of the Year in 1993. Lyons has been recognized for his contribution to science and industry and has been awarded honorary doctorates from the University of Plymouth, England, and Heriot-Watt University, Scotland. His alma mater, National University of Ireland, Dublin, selected Lyons as one of its honorary doctorates on the occasion of its 150th anniversary. Recently, he was recognized as one of the top 15 Irish-American life scientists of the year by Biolink USA-Ireland. He has authored more than 20 books and numerous research papers in scientific journals.

Alltech and the University of Kentucky have long been partners in fields ranging from poultry to the opera. Alltech provides research opportunities for University students through agricultural research partnerships at the Coldstream Research facility. A lover of music, Dr. Lyons led Alltech to sponsor the Alltech Opera Scholarship Competition in 2006, placing UK Opera and Theatre among the top programs in the country. Additionally, Lyons established the Margin of Excellence program to establish graduate student fellowships in science to promote technology and development in Kentucky.

A humanitarian, Lyons has helped build laboratories at two local high schools and, in the wake of devastation, created a school in Haiti. Perhaps the most public exposition of Alltech's generosity and vision came with the Alltech FEI 2010 World Equestrian Games. Infusing thirty-five million dollars into the games, Lyons and Alltech helped ensure the success of the games and that Lexington and Kentucky were positively portrayed on the world stage.

Albert P. Smith, Jr.
Recommended for Honorary Doctor of Letters
May 8, 2011 Commencement

After a 60-year career in journalism, Al Smith of Lexington retired in November of 2007 after 33 years as host producer of *Comment on Kentucky*, Kentucky Educational Television's longest running show.

Al Smith attended Vanderbilt University and then spent his first 10 years in journalism working for daily papers in New Orleans. He came to Kentucky in 1958 to edit the *Russellville News-Democrat*, which later became part of a chain of weeklies which he organized and headed until selling the company in 1985. In Washington in the Carter and Reagan administrations (1980-82), he was federal co-chair of the Appalachian Regional Commission, which invests in economic development in Kentucky and 12 other states.

He has chaired or been active in several statewide civic and educational groups including a Governor's Council on Educational Reform (chair); the Kentucky Press Association (chair); the Shakertown Roundtable (chair); Governor's Scholars (a founding director), Forward in the Fifth (a founding director), Council on Higher Education, the state Arts Commission (chair); state Oral History Commission (founding chair); the Prichard Committee for Educational Excellence (a founding director), and Leadership Kentucky (chair and a founding director).

Mr. Smith co-founded the Institute for Rural Journalism and Community Issues at the University of Kentucky. For the creation of the Institute and other service he was named a National Hero in Rural Media at a National Rural Assembly sponsored in 2007 by the Ford and Kellogg Foundations. In 2008, he was named a Fellow of the National Society of Professional Journalists, the highest award of that organization.

Other honors include: the UK Hall of Fame of Kentucky Journalism, state rural electric co-ops' Distinguished Rural Kentuckian Award, Vic Hellard Award for public service from the Legislative Research Commission's Long Term Policy Center, Kentucky Press Association's Lewis Owen Award for Community Service presented by the Lexington *Herald-Leader*, Kentucky Broadcasters' Ralph Gabbard Award for leadership, UK Library Associates Medallion for Intellectual Achievement, East Kentucky Leadership Conference Media Award, and Barren River Area Development District Tim Lee Carter Award for community service. The state's annual Al Smith Arts Fellowships honor his support of community arts programs.

He is the author of "Kentucky Today and Tomorrow," the concluding chapter of *Our Kentucky: A Study of the Bluegrass State*, University Press of Kentucky, 2nd ed., 2000.

PR 4

Office of the President
March 29, 2011

Members, Board of Trustees:

UNIVERSITY RESEARCH PROFESSORSHIPS

Recommendation: that the Board of Trustees approve the naming of Professors Karyn Esser, Department of Physiology; Brandon Look, Department of Philosophy; Gregory Smith, Department of Psychology; and Youling Xiong, Department of Animal and Food Sciences as University Research Professors for 2011-12.

Background: In April 1976, the University Senate recommended to the President the establishment of one-year Research Professorships and that priority be given to the goal of establishing permanent Research Professorships as funds became available. At its meeting in May 1976, the University of Kentucky Research Foundation voted to support one-year Research Professorships to be activated in the fall of 1977. The Board of Trustees named the first Research Professors at its meeting on March 8, 1977.

The purpose of the University Research Professorships is to enhance and encourage scholarly research productivity, provide an opportunity for concentrated research effort for selected faculty members, recognize outstanding research achievement by members of its faculty, emphasize its research function, and to publicize its research accomplishments in order that the research function of the University be appropriately recognized and understood.

Action taken: Approved Disapproved Other _____

PR 5

Office of the President
March 29, 2011

Members, Board of Trustees:

ESTABLISHMENT OF COMMONWEALTH CHAIR OF
TRANSPORTATION ENGINEERING

Recommendation: that the Board of Trustees authorize the establishment of the Commonwealth Chair of Transportation Engineering in the College of Engineering.

Background: The Dean of the College of Engineering has had continuing discussions with the Secretary of Transportation for the Commonwealth of Kentucky about the importance of strengthening and expanding the education and research activities at UK in support of a more robust and diverse transportation network throughout the state. To that end, the Secretary has agreed to provide \$50,000 on an annual basis to support the research and professional activities of a highly qualified civil engineering faculty member. This commitment extends for five years, at which time the Cabinet can consider a renewal for a subsequent five-year period. The Transportation Cabinet has committed approximately \$30 million in research grant and contract funding to the University over the past five years and seeks to expand the expenditures in this area by assisting the University hire an internationally known leader in the field. Selection of the recipient will be governed by the rules of the College of Engineering.

Action taken: Approved Disapproved Other _____

PSCR 1

Office of the President
March 29, 2011

Members, Board of Trustees:

PUBLIC FORUM FOR PRESIDENTIAL SEARCH FINALIST

Recommendation: that the Board of Trustees approve the recommendation which the Presidential Search Committee (PSC) approved at its March 24, 2011 meeting. The recommendation is that the faculty, staff, students, and alumni be given the opportunity to meet the candidate for the Office of President of the University of Kentucky at a public forum. Their comments may be submitted the same day on a web-based survey tool to provide information to the Board of Trustees before any final action is taken on the candidate.

Background: The Presidential Search Committee held a meeting on March 24 in Hebron, Kentucky. At that meeting, the PSC approved the above recommendation. Chairman of the UK Board of Trustees E. Britt Brockman wants to obtain full board approval for this recommendation and thereby submits it as PSCR 1.

Action taken: Approved Disapproved Other _____

AACR 1

Office of the President
March 29, 2011

Members, Board of Trustees:

CANDIDATE FOR DEGREE

Recommendation: that the president be authorized to confer upon the individual whose name appears on the attached list the degree to which she is entitled, upon certification by the university registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: The individual whose name appears on the attached list completed the work leading toward the degree for which application was made at the close of the 2010 Summer Session. Due to a clerical error the name was not previously submitted.

For details on degree candidate listings, please contact

Jacque Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

AACR 2

Office of the President
March 29, 2011

Members, Board of Trustees:

CANDIDATE FOR DEGREE
BLUEGRASS COMMUNITY AND TECHNICAL COLLEGE

Recommendation: that the president be authorized to confer upon the individual whose name appears on the attached list the degree to which he is entitled, upon certification by the Kentucky Community and Technical College System (KCTCS) Board and the University of Kentucky Registrar that the individual has satisfactorily completed all requirements for the degree for which application has been made and as approved by the elected faculty of the University Senate and the Academic Affairs Committee of the Board of Trustees.

Background: On July 1, 2004, a Memorandum of Agreement among the University of Kentucky, the Kentucky Community and Technical College System, and Lexington Community College (LCC) pursuant to House Joint Resolution 214 was approved. The agreement states that LCC students who were or are officially enrolled on or before September, 2004, in associate degree programs approved by the UK Board of Trustees and who complete the associate degree program on or before August 31, 2010, shall have their degrees conferred by the UK Board of Trustees.

Following the passage of that agreement, the Lexington Community College name has been changed to the Bluegrass Community and Technical College.

For details on degree candidate listings,
please contact

Jacquie Hager
Registrar's Office
Room 10 Funkhouser Building
University of Kentucky
Lexington, KY 40506-0057
859-257-7157

Action taken: Approved Disapproved Other _____

FCR 1

Office of the President
March 29, 2011

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE
UNIVERSITY OF KENTUCKY FOR THE SIX MONTHS ENDED
DECEMBER 31, 2010

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the six months ended December 31, 2010.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Central Kentucky Management Services.

As of December 31, 2010, the University has recognized \$1,151,502,862 of current funds revenue representing 46 percent of the 2010-11 approved budget of \$2,500,327,000. Expenses and transfers total \$1,166,356,651 or 47 percent of the approved budget.

Action taken: Approved Disapproved Other _____



*Consolidated Financial
Statements*

For the six months ended December 31, 2010

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
STATEMENT OF NET ASSETS
DECEMBER 31, 2010

ASSETS

Current Assets

Cash and cash equivalents	\$ 319,934,561
Notes, loans and accounts receivable, net	238,936,517
Inventories and other assets	36,505,116
Total current assets	<u>595,376,194</u>

Noncurrent Assets

Restricted cash and cash equivalents	61,397,046
Endowment investments	921,169,660
Other long-term investments	185,940,095
Notes, loans and accounts receivable, net	50,088,856
Other noncurrent assets	15,692,352
Capital assets, net	1,762,028,761
Total noncurrent assets	<u>2,996,316,770</u>
Total assets	<u>3,591,692,964</u>

LIABILITIES

Current Liabilities

Accounts payable and accrued liabilities	134,047,684
Deferred revenue	63,680,144
Long-term liabilities - current portion	61,305,786
Total current liabilities	<u>259,033,614</u>

Noncurrent Liabilities

Accounts payable and accrued liabilities	2,687,142
Deferred revenue	6,389,688
Long-term liabilities	815,874,338
Total noncurrent liabilities	<u>824,951,168</u>
Total liabilities	<u>1,083,984,782</u>

NET ASSETS

Invested in capital assets, net of related debt	<u>1,088,196,702</u>
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Restricted

Nonexpendable	
Scholarships and fellowships	125,109,382
Research	267,209,650
Instruction	77,362,691
Academic support	88,494,758
Other	8,264,397
Total restricted nonexpendable	<u>566,440,878</u>

Expendable	
Scholarships and fellowships	42,981,250
Research	32,050,173
Instruction	51,359,703
Academic support	23,623,643
Loans	9,592,201
Capital projects	58,190,691
Debt service	11,341,658
Auxiliary	12,146,793
Other	9,177,489
Total restricted expendable	<u>250,463,601</u>

Total restricted	<u>816,904,479</u>
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Unrestricted	<u>602,607,001</u>
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Total net assets	<u>\$ 2,507,708,182</u>
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UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
ALL FUNDS
STATEMENT OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2010

OPERATING REVENUES

Student tuition and fees	\$ 155,386,666
Federal grants and contracts	78,922,867
State and local grants and contracts	31,818,525
Nongovernmental grants and contracts	77,784,016
Recoveries of facilities and administrative costs	24,262,128
Sales and services	20,584,394
Federal appropriations	8,157,528
County appropriations	9,070,491
Hospital services	406,836,357
Auxiliary enterprises:	
Housing and dining	26,932,663
Athletics	48,970,903
Other auxiliaries	15,158,173
Other operating revenues	199,077
Total operating revenues	<u>904,083,788</u>

OPERATING EXPENSES

Educational and general:	
Instruction	132,957,991
Research	124,645,757
Public service	102,829,879
Libraries	7,506,125
Academic support	39,457,565
Student services	15,998,025
Institutional support	39,990,117
Operations and maintenance of plant	30,614,164
Student financial aid	59,825,571
Depreciation	26,074,428
Total educational and general	<u>579,899,622</u>
Clinical operations	43,254,456
Hospital and clinics (including depreciation of \$14,386,281)	378,958,502
Auxiliary enterprises:	
Housing and dining (including depreciation of \$2,580,559)	21,983,552
Athletics (including depreciation of \$247,148)	35,694,130
Other auxiliaries	12,648,711
Other operating expenses	329,952
Total operating expenses	<u>1,072,768,925</u>
Net loss from operations	<u>(168,685,137)</u>

NONOPERATING REVENUES (EXPENSES)

State appropriations	159,728,000
State fiscal stabilization fund	17,223,683
Gifts and non-exchange grants	45,019,268
Investment income (loss)	118,114,716
Interest on capital asset-related debt	(25,667,342)
Other nonoperating revenues and expenses, net	2,517,691
Net nonoperating revenues (expenses)	<u>316,936,016</u>
Net income before other revenues, expenses, gains or losses	<u>148,250,879</u>
Capital grants and gifts	12,606,067
Additions to permanent endowments	6,118,914
Other, net	3,062,695
Total other revenues (expenses)	<u>21,787,676</u>
INCREASE IN NET ASSETS	<u>170,038,555</u>
NET ASSETS, July 1, 2010	<u>2,337,669,627</u>
NET ASSETS, December 31, 2010	<u>\$ 2,507,708,182</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2010 AND 2009

	2010			2009
	Budget	Actual	%	Actual
OPERATING REVENUES				
Student tuition and fees	\$ 289,369,500	\$ 155,386,666	54%	\$ 144,022,787
Federal grants and contracts	163,465,000	78,922,867	48%	75,982,359
State and local grants and contracts	99,392,900	31,818,525	32%	34,282,757
Nongovernmental grants and contracts	139,374,000	77,784,016	56%	75,016,920
Recoveries of facilities and administrative costs	44,000,000	24,262,128	55%	22,090,562
Sales and services	42,733,800	20,584,394	48%	18,948,471
Federal appropriations	17,722,900	8,157,528	46%	8,226,303
County appropriations	17,828,300	9,070,491	51%	8,844,214
Hospital services	915,106,900	406,836,357	44%	404,990,201
Auxiliary enterprises:				
Housing and dining	46,477,400	26,932,663	58%	23,664,776
Athletics	63,255,000	48,970,903	77%	46,696,037
Other auxiliaries	39,133,800	15,158,173	39%	15,016,076
Total operating revenues	<u>1,877,859,500</u>	<u>903,884,711</u>	<u>48%</u>	<u>877,781,463</u>
OPERATING EXPENSES				
Educational and general:				
Instruction	311,661,000	132,957,991	43%	126,497,920
Research	299,260,500	124,599,870	42%	120,864,517
Public service	230,381,500	102,829,906	45%	97,452,374
Libraries	27,397,900	7,506,125	27%	8,006,310
Academic support	102,672,500	39,457,334	38%	38,479,429
Student services	36,382,700	15,848,337	44%	14,890,148
Institutional support	110,682,000	39,975,559	36%	43,863,475
Operations and maintenance of plant	85,102,500	26,641,536	31%	25,607,568
Student financial aid	107,354,400	59,825,571	56%	53,157,352
Total educational and general	<u>1,310,895,000</u>	<u>549,642,229</u>	<u>42%</u>	<u>528,819,093</u>
Clinical operations	95,379,100	43,254,456	45%	40,957,517
Hospital and clinics	867,666,300	356,701,784	41%	338,187,591
Auxiliary enterprises:				
Housing and dining	39,732,300	19,345,226	49%	17,942,254
Athletics	72,970,400	34,927,891	48%	37,816,176
Other auxiliaries	32,846,300	10,713,167	33%	7,853,404
Total operating expenses	<u>2,419,489,400</u>	<u>1,014,584,753</u>	<u>42%</u>	<u>971,576,035</u>
Net loss from operations	<u>(541,629,900)</u>	<u>(110,700,042)</u>	<u>20%</u>	<u>(93,794,572)</u>

UNIVERSITY OF KENTUCKY
A COMPONENT UNIT OF THE COMMONWEALTH OF KENTUCKY
CURRENT FUNDS
STATEMENTS OF REVENUES, EXPENSES AND CHANGES IN NET ASSETS
FOR THE SIX MONTHS ENDED DECEMBER 31, 2010 AND 2009

	2010			2009
	Budget	Actual	%	Actual
Net loss from operations	<u>(541,629,900)</u>	<u>(110,700,042)</u>	20%	<u>(93,794,572)</u>
NONOPERATING REVENUES (EXPENSES)				
State appropriations	290,664,700	159,728,000	55%	161,775,350
State fiscal stabilization fund	17,223,600	17,223,683	100%	21,066,800
Gifts and non-exchange grants	72,463,200	43,490,601	60%	37,637,553
Investment income	31,582,600	12,271,903	39%	14,632,041
Other nonoperating revenues and expenses, net	4,350,600	3,678,960	85%	2,177,977
Net nonoperating revenues (expenses)	<u>416,284,700</u>	<u>236,393,147</u>	57%	<u>237,289,721</u>
Net income before other revenues, expenses, gains or losses	<u>(125,345,200)</u>	<u>125,693,105</u>	-100%	<u>143,495,149</u>
Capital grants and gifts	5,380,100	11,225,004	209%	8,349,329
Other, net	-	(1,095,859)	-	(1,246,563)
Total other revenues (expenses)	<u>5,380,100</u>	<u>10,129,145</u>	188%	<u>7,102,766</u>
NON-GASB ACTIVITY				
Appropriated fund balance	200,802,700	-		-
Purchase of capital assets	-	(5,629,844)		(3,364,786)
Capital and debt service transfers	(71,764,500)	(145,540,337)		(66,559,954)
Noncapital transfers	<u>(9,073,100)</u>	<u>494,142</u>		<u>(1,906,241)</u>
Total non-GASB current funds activity	<u>119,965,100</u>	<u>(150,676,039)</u>		<u>(71,830,981)</u>
INCREASE (DECREASE) IN NET ASSETS	-	<u>(14,853,789)</u>		<u>78,766,934</u>
NET ASSETS, July 1, 2010		<u>505,823,740</u>		<u>509,197,001</u>
NET ASSETS, December 31, 2010		<u>\$ 490,969,951</u>		<u>\$ 587,963,935</u>
RECONCILIATION TO ANNUAL BUDGET				
Operating revenues	\$ 1,877,859,500	\$ 903,884,711	48%	\$ 877,781,463
Nonoperating revenues	622,467,500	247,618,151	40%	245,639,050
Total revenues	<u>\$ 2,500,327,000</u>	<u>\$ 1,151,502,862</u>	46%	<u>\$ 1,123,420,513</u>
Operating expenses	\$ 2,419,489,400	\$ 1,014,584,753	42%	\$ 971,576,035
Nonoperating expenses and transfers	80,837,600	151,771,898	188%	73,077,544
Total expenses and transfers	<u>\$ 2,500,327,000</u>	<u>\$ 1,166,356,651</u>	47%	<u>\$ 1,044,653,579</u>

UNIVERSITY OF KENTUCKY
SUMMARY OF ENDOWMENT POOL ACTIVITY
JULY 1, 2010 TO DECEMBER 31, 2010

Beginning Market Value - July 1, 2010	\$ 788,019,685
Research Challenge Trust State Funds Received (RCTF V)	340,000
Addition to OPEB Quasi	14,855,787
Other Additions to Principal	14,959,442
Current Income Earned	5,202,636
Distributions for Spending	(15,659,295)
Management Fee	(1,164,390)
Market Gains / Losses	<u>108,813,489</u>
Ending Market Value - December 31, 2010	<u><u>\$ 915,367,354</u></u>

RECONCILIATION TO FINANCIAL STATEMENT ENDOWMENT INVESTMENTS:

Endowment Pool Assets (Northern Trust)	\$ 915,367,354
Charitable Trusts (Fifth Third Bank)	6,600,596
Charitable Gift Annuities (Fifth Third Bank)	1,404,758
Other endowment assets	296,952
Less accrued interest in endowment fund	<u>(2,500,000)</u>
Endowment Investments per Financial Statement	<u><u>\$ 921,169,660</u></u>

FCR 2

Office of the President
March 29, 2011

Members, Board of Trustees:

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE
AND SALE OF APPROXIMATELY \$19,000,000 GENERAL RECEIPTS
OBLIGATIONS (UPGRADE STUDENT CENTER INFRASTRUCTURE) OF
THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST
AGREEMENT DATED AS OF NOVEMBER 1, 2005

Recommendation: that the Board of Trustees approve a Resolution authorizing the issuance of approximately \$19,000,000 of University of Kentucky General Receipts Obligations (Upgrade Student Center Infrastructure Project), to be designated General Receipts Bonds, 2011 Series A (or such series designation that is appropriate to the order of issuance thereof, the "2011 Series A Bonds") and to be dated as of a date determined by the Treasurer.

The Resolution approves the issuance of approximately \$19,000,000 of 2011 Series A Bonds for the purpose of financing the project identified in H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 Extraordinary Session, as enacted and vetoed, as Upgrade Student Center Infrastructure (the "Upgrade Student Center Infrastructure Project"). The amount authorized for Project costs is \$17,805,000 (the additional authorization relates to issuance costs and an allowance for original issue discount in the sale price for the 2011 Series A Bonds).

The Resolution also approves the offering for sale of the 2011 Series A Bonds on a date to be determined by the Treasurer of the University, upon the advice of the Financial Advisor to the University. The Resolution further authorizes the acceptance of the bid for the sale of the 2011 Series A Bonds by the Treasurer. The exact principal amount of 2011 Series A Bonds to be sold will be determined on the date of sale as the amount required to (i) pay the budgeted costs of the Upgrade Student Center Infrastructure Project and (ii) pay the costs of issuing the 2011 Series A Bonds.

The Upgrade Student Center Infrastructure Project will not result in an increase in tuition.

Background: The General Assembly, at its 2010 Special Session, authorized the issuance of revenue bonds to finance the Upgrade Student Center Infrastructure Project.

The Board adopted a resolution approving a Trust Agreement dated as of November 1, 2005 (the "Trust Agreement") authorizing the issuance, from time to time, of Obligations (as defined in the Trust Agreement) to finance capital projects. The 2011 Series A Bonds will be issued under the Trust Agreement. The Resolution authorizes the issuance of the 2011 Series A Bonds, establishes the specific terms of the 2011 Series A Bonds, provides for a competitive sale of the 2011 Series A Bonds, including approval of a Notice of Bond Sale, Official Terms and Conditions of Bond Sale, Official Bid Form and an Official Statement, and authorizes a Supplemental Trust Agreement and Continuing Disclosure Agreement with respect to the 2011 Series A Bonds.

Action taken: Approved Disapproved Other _____

SERIES RESOLUTION

A RESOLUTION PROVIDING FOR THE AUTHORIZATION, ISSUANCE AND SALE OF APPROXIMATELY \$19,000,000 GENERAL RECEIPTS OBLIGATIONS (UPGRADE STUDENT CENTER INFRASTRUCTURE) OF THE UNIVERSITY OF KENTUCKY, PURSUANT TO THE TRUST AGREEMENT DATED AS OF NOVEMBER 1, 2005.

WHEREAS, the University of Kentucky (herein called the "University"), a public body corporate and an educational institution and agency of the Commonwealth of Kentucky, by resolution adopted by the Board of Trustees of the University on September 20, 2005 (herein called the "General Bond Resolution"), and by a Trust Agreement, dated as of November 1, 2005, as supplemented (herein called the "Trust Agreement"), comprised in part of the General Bond Resolution, has provided for the issuance from time to time of Obligations (as defined in the Trust Agreement) of the University secured by a pledge of the University's "General Receipts" (as defined in the Trust Agreement), each such issue to be authorized by a Series Resolution, as required by the Trust Agreement; and

WHEREAS, the Board has determined that it is necessary to finance the costs of the acquisition, construction, installation and equipping of the project identified in H.B. 1 of the General Assembly of the Commonwealth of Kentucky, 2010 Extraordinary Session, as enacted and vetoed, as Upgrade Student Center Infrastructure (the "Project"); and

WHEREAS, by authority of Sections 162.340 to 162.380 of the Kentucky Revised Statutes, Chapter 56 of the Kentucky Revised Statutes and Sections 58.010 to 58.140 of the Kentucky Revised Statutes (collectively, the "Act"), the University is authorized to issue its obligations to pay all or part of the costs of educational buildings, and to secure said obligations by a pledge of and lien on all or such part of the revenues and receipts of the University; and

WHEREAS, the Board of Trustees desires to provide for issuance and sale of University of Kentucky General Receipts Bonds and for other matters in connection therewith, by the adoption of this Resolution;

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, AS FOLLOWS:

SECTION 1. Definitions and Interpretations. All words and terms defined in Section 1 of the Trust Agreement and all interpretations therein provided shall have the same meanings, respectively, and be subject to the same interpretations as therein provided where used in this Resolution, unless the context or use clearly indicates another or different meaning or intent, except that this Resolution is sometimes herein called and may be known as the "Upgrade Student Center Infrastructure Project Resolution," the Obligations authorized by this Resolution are referred to herein (and in the Supplemental Trust Agreement hereby authorized) as the "Upgrade Student Center Infrastructure Project Obligations," and the terms "hereof," "hereby," "hereto," "herein," and "hereunder," and similar terms, mean this Resolution.

SECTION 2. Authority. This Resolution is adopted pursuant to the General Bond Resolution, the Trust Agreement, and the Act.

SECTION 3. Authorization, Designation and Purpose of Upgrade Student Center Infrastructure Project Obligations. It is hereby declared to be necessary to, and the Board shall, issue, sell and deliver, as provided and authorized herein, approximately \$19,000,000 principal amount of General Receipts Obligations which shall be issued as General Receipts Bonds ("Bonds"). Such Obligations shall be designated "University of Kentucky General Receipts Bonds" and shall bear such further series designation as the Fiscal Officer (as defined in the Trust Agreement) deems appropriate. Such Obligations shall be issued for the purpose of (i) financing the costs of the Project and (ii) paying costs of issuance in connection with such Obligations. The proceeds from the sale of such Obligations shall be deposited and allocated as provided in Section 6 hereof.

SECTION 4. Terms and Provisions Applicable to the Upgrade Student Center Infrastructure Project Obligations.

(a) Form, Numbering and Designation. The Upgrade Student Center Infrastructure Project Obligations shall be issued in the form of fully registered Obligations as approved by the Fiscal Officer, shall be numbered from 1 upwards, and shall bear such series designation as the Fiscal Officer deems appropriate.

(b) Denomination and Dates. The Upgrade Student Center Infrastructure Project Obligations shall be in such denominations as requested by the Original Purchaser (hereafter defined), and shall be dated on the date determined by the Fiscal Officer and may be issued in installments (each installment being a part of the Upgrade Student Center Infrastructure Project Obligations herein authorized) with maturity dates approved by the Fiscal Officer, having a final maturity that is no later than thirty years from the date the Upgrade Student Center Infrastructure Project Obligations are issued.

(c) Interest. The Upgrade Student Center Infrastructure Project Obligations shall bear interest from their respective dates payable on dates approved by the Fiscal Officer, beginning on a date approved by the Fiscal Officer, at the rate or rates per annum determined pursuant to Section 5 hereof.

(d) Maturities. The Upgrade Student Center Infrastructure Project Obligations shall mature on such dates, in the years and in the principal amounts set forth in the maturity schedule approved by the Fiscal Officer pursuant to Section 5 hereof.

(e) Redemption Terms and Prices. The Upgrade Student Center Infrastructure Project Obligations shall be subject to optional and mandatory redemption on such dates and terms as approved by the Fiscal Officer, with the advice of the Financial Advisor (hereinafter identified) and set forth in the Supplemental Trust Agreement. If less than all of the outstanding Upgrade Student Center Infrastructure Project Obligations are called for redemption at one time, they shall be called in the order of the maturities as directed by the Fiscal Officer. If less than all of the outstanding Upgrade Student Center Infrastructure Project Obligations of one maturity are to be called, the selection of such Upgrade Student Center Infrastructure Project Obligations or portions of Upgrade Student Center Infrastructure Project Obligations of such maturity to be called shall be made by lot in the manner provided in the Trust Agreement. Notice of call for redemption of Upgrade Student Center Infrastructure Project Obligations shall be given in the manner provided in the Trust Agreement.

(f) Other Provisions. The Upgrade Student Center Infrastructure Project Obligations may, at the option of the Fiscal Officer, be secured by municipal bond insurance or similar instrument issued by a financial or insurance institution acceptable to the Fiscal Officer.

(g) Place of Payment and Paying Agents. The principal, interest and any redemption premium on registered Upgrade Student Center Infrastructure Project Obligations shall be payable by check or draft, as provided in the Trust Agreement.

(h) Execution. The Upgrade Student Center Infrastructure Project Obligations shall be executed in the manner provided in the General Bond Resolution.

SECTION 5. Award and Sale of Upgrade Student Center Infrastructure Project Obligations. The Upgrade Student Center Infrastructure Project Obligations shall be offered publicly for sale upon the basis of competitive bids at such time as the Fiscal Officer, upon advice of the Financial Advisor to the University, shall designate.

The Fiscal Officer is hereby authorized and directed to cause an appropriate form or forms of a Notice of Sale of Bonds to be published in *The Lexington Herald Leader*, a legal newspaper published in the City of Lexington, Kentucky, which will afford local notice of the sale, *The Courier Journal*, a legal newspaper published in the City of Louisville, Kentucky, which will afford statewide notice of the sale, and, to the extent required by law, in *The Bond Buyer*, a financial journal published in the City of New York, New York, which is a publication having general circulation among bond buyers; and said newspapers and financial journal are hereby declared to be qualified to publish such notice for the Board within the meaning and provisions of Chapter 424 of the Kentucky Revised Statutes. Such notice shall be published in said newspapers and financial journal at least once not less than seven nor more than twenty-one days prior to the scheduled date of sale of the Upgrade Student Center Infrastructure Project Obligations.

The forms of Notice of Bond Sale, Official Terms and Conditions of Sale of Bonds, Bid Form and Official Statement, shall be in such form as approved by Bond Counsel for the University, by the Financial Advisor, by the General Counsel of the University and by the Fiscal Officer.

Bidders shall be advised that the fee of the Financial Advisor for services rendered with respect to the sale of the Upgrade Student Center Infrastructure Project Obligations is contingent upon the issuance and delivery of the Upgrade Student Center Infrastructure Project Obligations, and that the Financial Advisor may submit a bid for the purchase of the Upgrade Student Center Infrastructure Project Obligations at the time of the advertised public sale of the Upgrade Student Center Infrastructure Project Obligations, either individually or as the member of a syndicate organized to submit a bid for the purchase of the Upgrade Student Center Infrastructure Project Obligations.

Upon the date and at the respective hour set forth for the submission and consideration of purchase bids, as provided in the instruments hereinabove approved, bids shall be reviewed as provided in such instruments. If there shall be one or more bids which conform in all respects to the prescribed terms and conditions, such bids shall be compared, and the Fiscal Officer, upon the advice of the Financial Advisor, is authorized to accept the best of such bids, as measured in terms of the lowest interest cost to the Board, as calculated in the manner prescribed in the

Official Terms and Conditions of Sale of Bonds. Calculations shall be performed as are necessary to determine the exact amount of Upgrade Student Center Infrastructure Project Obligations that are required to be issued in order to (i) pay the budgeted costs of the Project and (ii) pay the costs of issuing the Upgrade Student Center Infrastructure Project Obligations and the final principal amount, interest rates and maturities of the Upgrade Student Center Infrastructure Project Obligations shall thereupon be established, as prescribed in the Official Terms and Conditions of Sale of Bonds.

SECTION 6. Allocation of Proceeds of Upgrade Student Center Infrastructure Project Obligations. All of the proceeds from the sale of the Upgrade Student Center Infrastructure Project Obligations and other lawfully available funds of the University shall be received and receipted for by the Fiscal Officer and shall be deposited and allocated as set forth in the Supplemental Trust Agreement approved hereby.

SECTION 7. Additional Covenants with Respect to Internal Revenue Code of 1986, as Amended. This Board hereby finds and determines that all of the proceeds from the sale of the Upgrade Student Center Infrastructure Project Obligations will be needed for the purposes set forth in Section 6 hereof. This Board hereby covenants for and on behalf of the University, that it will restrict the use of the proceeds of the Upgrade Student Center Infrastructure Project Obligations in such manner and to such extent, if any, and take such other actions as may be necessary, in view of reasonable expectations at the time of issuance of the Upgrade Student Center Infrastructure Project Obligations, so that the Upgrade Student Center Infrastructure Project Obligations will not constitute obligations the interest on which is subject to federal income taxation or "arbitrage bonds" under Sections 103(b)(2) and 148 of the Internal Revenue Code of 1986, as amended (the "Code"), and regulations prescribed under such Sections. The Fiscal Officer or any other officer of the University having responsibility with respect to issuance of the Upgrade Student Center Infrastructure Project Obligations is hereby authorized and directed to give an appropriate certificate for inclusion in the transcript of proceedings with respect to the Upgrade Student Center Infrastructure Project Obligations, setting forth the facts, estimates and reasonable expectations pertinent under said Sections 103(b)(2) and 148 of the Code. The Fiscal Officer is hereby authorized and directed to create a Rebate Account (which shall be held by either the University or the Trustee, at the discretion of the Fiscal Officer) if the Fiscal Officer determines such account is necessary so that the University complies with the rules concerning "rebate" as set forth in the Code, as they apply to the Upgrade Student Center Infrastructure Project Obligations.

SECTION 8. Supplemental Trust Agreement. The Chairman of the Board is authorized and directed to execute, acknowledge and deliver to the Trustee, in the name of and on behalf of the University, a Supplemental Trust Agreement pursuant to the Trust Agreement and in connection with the issuance of the Upgrade Student Center Infrastructure Project Obligations, in substantially the prescribed form, with such changes therein not substantially adverse to the University as may be permitted by the Act and the Trust Agreement and approved by the officer executing the same on behalf of the University. The approval of such changes, and that such changes are not substantially adverse to the University, shall be conclusively evidenced by the execution of such Supplemental Trust Agreement by such officer.

SECTION 9. Official Statement. The Chairman is hereby authorized and directed to execute and deliver an Official Statement with respect to the Upgrade Student Center Infrastructure Project Obligations for the purpose of making available to potential investors the

information therein contained, which describes the interest rates and other terms to be borne by and the price to be paid for the Upgrade Student Center Infrastructure Project Obligations, and such other information with respect to the University and the Upgrade Student Center Infrastructure Project Obligations, necessary in the judgment of the Chairman with the advice of the Fiscal Officer and the Financial Advisors. The Chairman and the Fiscal Officer are each hereby authorized to deem the Preliminary Official Statement and final Official Statement "near final" and "final" for purposes of Securities Exchange Commission Rule 15c2-12, as amended and interpreted from time to time, promulgated by the Securities and Exchange Commission pursuant to the Securities Exchange Act of 1934 (the "Rule").

SECTION 10. Compliance With Rule 15c2-12. The Board of Trustees hereby agrees, to comply with the provisions of the Rule. In order to comply with the Rule, a Continuing Disclosure Agreement in the usual and customary form is hereby authorized and approved, with such modifications and additions as may be approved by the officer of the University executing the same. The Chairman and the Fiscal Officer are each hereby authorized to execute and deliver such Continuing Disclosure Agreement.

SECTION 11. Open Meetings. This Board hereby finds and determines that all formal actions relative to the adoption of this Resolution were taken in an open meeting of this Board, and that all deliberations of this Board and of its committees, if any, which resulted in formal action, were taken in meetings open to the public, in full compliance with applicable legal requirements.

SECTION 12. Further Authorization. That the proper and appropriate officers of the Board and of the University, to the extent authorized by law, are hereby authorized to execute and deliver the closing certificates, if any, with such modifications thereto as may be required by the purchasers of the Upgrade Student Center Infrastructure Project Obligations and approved by special bond counsel to the University as well as such other documents, certificates and statements as may be so required and so approved in connection with sale and delivery of the Upgrade Student Center Infrastructure Project Obligations.

SECTION 13. Provisions in Conflict are Repealed. All resolutions or parts thereof in conflict with the provisions of this Resolution are hereby rescinded to the extent of such conflict.

SECTION 14. Effective Date. This resolution shall take effect from and after its passage.

SECTION 15. Copy to be Filed with Trustee. A certified copy of this Resolution shall be filed with the Trustee

Adopted March 29, 2011.

Chairman, Board of Trustees
University of Kentucky

(SEAL)

Attest:

Secretary, Board of Trustees

CERTIFICATION

The undersigned, Secretary of the Board of Trustees of the University of Kentucky, Lexington, Kentucky, hereby certifies that the foregoing is a true copy of a Resolution adopted by the Board of Trustees of said University at a meeting held on March 22, 2011, as recorded in the official Minute Book of said Board of Trustees, which is in my custody and under my control, that said meeting was held in accordance with all applicable requirements of Kentucky law, including KRS 61.800 to 61.850, that a quorum was present at said meeting, and that the aforesaid Resolution is of record in the office of the Board, has not been modified, amended, or rescinded, and is in full force and effect at this date.

WITNESS my signature and the Seal of said Board this ____ day of _____, 2011.

Assistant Secretary, Board of Trustees
University of Kentucky

(SEAL)

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FCR 3

Office of the President
March 29, 2011

Members, Board of Trustees:

DATA CENTER INFORMATION TECHNOLOGY EQUIPMENT – HOSPITAL

Recommendation: that the Board of Trustees approve the purchase of \$10,100,000 of information technology equipment necessary for the new Patient Care Facility's Data Center approved by the Board of Trustees February 22, 2011.

Background: Information systems in the healthcare industry are critical to providing high quality patient care and running efficient clinical operations. UK HealthCare has rapidly expanded its medical and clinical information systems during the last five years. UK HealthCare's information system needs have exceeded the capacity of the current Data Center.

Within the last two years, 50 separate systems were extended to Good Samaritan Hospital and information systems were added in the Inpatient Pharmacy of the Albert B. Chandler Medical Center and the newly opened Emergency Department. In addition, an Electronic Health Record (EHR) system, approved by the Board of Trustees February 22, 2011, is being acquired and will be implemented in all of UK HealthCare's ambulatory clinics. The implementation of the ambulatory EHR will consume the remaining capacity of the current hardware, storage, and communication equipment located in the existing Data Center.

The equipment recommended for acquisition will be located in the new Patient Care Facility Data Center. It will be comprised of an array of servers, network, storage, and security components to address immediate capacity needs and will provide the necessary capacity for approximately five to seven years. It also is the next step of a longer term strategy to provide an "always on" computing environment for clinical operations. The longer term strategy will be developed using this new equipment/Data Center as the foundation for further expansion.

The Data Center equipment will be funded via the cash balances of the HealthCare Enterprise.

Action taken: Approved Disapproved Other _____

URCR 1

Office of the President
March 29, 2011

Members, Board of Trustees:

PROPOSED REVISION TO GOVERNING REGULATION:
GOVERNANCE OF THE UNIVERSITY OF KENTUCKY – PETITIONS TO
ADDRESS THE BOARD OF TRUSTEES

Recommendation: that the Board of Trustees receive the attached revision of Governing Regulation (GR) II, which was received for preliminary consideration as URCR 1 by the Board of Trustees on February 22, 2011, for approval. The proposed revision provides a standard process for members of the University community and the general public to address the Board on matters relevant to the University. This process provides for reasonable access to the Board, while also preserving the Board's orderly business operations. The proposed revision to GR II is intended to replace the current Administrative Regulation (AR) 1:2, Policy Relative to Requests for Appearances Before the Board of Trustees that was adopted by the Board in 1970.

Background: Since the current AR 1:2 was approved by the Board in 1970, the operations and structure of the Board have changed to include a more active committee model for conducting university business. Furthermore, public expectations for access to the governing boards and transparency in the management of large public universities have increased.

Notable revisions include:

- The Board agenda will be released to the general public at least three full business days prior to the Board meeting (i.e., Thursday at 1:00 p.m. for a Tuesday 1:00 p.m. Board meeting).
- Petitions must be received by the Office of the President at least two full business days prior to a scheduled meeting of the full Board (i.e., Friday at 1:00 p.m. for a Tuesday 1:00 p.m. Board meeting).
- Petitions to address the Board are reviewed first by the Board Chair, who may consult with the President, to determine if the subject matter of the petition is relevant to a pending or future agenda item or essential information necessary for the Board to fulfill its statutory responsibilities.
- If the Board Chair determines the subject matter is relevant or essential, he/she may approve the petitioner to appear before the Board. In the alternative, the Board Chair may refer the petition to an appropriate board committee.

The proposed revisions were drafted by some former and current members of the Board and they recommend they be approved. The University Senate, Staff Senate, and Student Government Association support the revision to the Governing Regulation.

A revised copy of GR II is attached. Proposed additions are underlined; proposed deletions are lined through.

Action taken: Approved Disapproved Other _____

PART II

GOVERNANCE OF THE UNIVERSITY OF KENTUCKY

The governance of the University of Kentucky is vested by law in the Board of Trustees (KRS 164.131). Within the limits set by the State Constitution and the federal and state laws, the Board of Trustees is the final authority in all matters affecting the institution and exercises jurisdiction over the institution's financial, educational, and other policies and its relation with the state and federal governments.

These *Governing Regulations* describe the composition, powers, and duties of the Board of Trustees of the University of Kentucky, as defined by Kentucky Revised Statutes, and establish policies and procedures for the performance of its functions. In these *Governing Regulations*, the Board of Trustees delegates certain responsibilities to the President, the University Senate, the Staff Senate, the Student Government Association, the Graduate Faculty, and the faculties of educational units in order to provide for the responsible and efficient administration of the University and the accomplishment of its goals.

As the chief administrative officer of the University, the President is authorized by the Board of Trustees to promulgate the *Administrative Regulations* including the *Human Resources Policy and Procedures Administrative Regulation*, to provide interpretation and implementation of these *Governing Regulations*, and of the *Minutes of the Board of Trustees*, and to delineate policies within the sphere of delegated responsibility. Chief administrative officers are authorized to establish unit policies and procedures attendant to their delegated administrative responsibilities.

At an institution-wide level, the University Senate, as a primary educational policy-forming agency of the University, establishes the broad academic policies of the University. Within the limits set by the Board of Trustees and the University Senate, the Graduate Faculty is delegated jurisdiction over programs leading to graduate degrees and has the responsibility to safeguard, promote and assist in the development of research in all fields. Within the limits set by the institution-wide policies of the Board of Trustees, the University Senate, and the Graduate Faculty, the respective faculties exercise the governance role of policy-making responsibility for the instructional, research and service programs of their educational units. The University Senate, the Graduate Faculty, and the faculties of educational units are authorized to issue rules concerning the policy and procedure-making responsibilities that are attendant to their delegated educational policy-making role.

Where appropriate, the rules and regulations appearing in the *Administrative Regulations*, *Human Resources Policy and Procedures Administrative Regulations* and *University Senate*

* The Council on Postsecondary Education in Kentucky (KRS 164.020) has powers and duties that relate to the governance of the University of Kentucky.

Rules should refer to the source or sources in these *Governing Regulations* or *Minutes of the Board of Trustees*.

A. Board of Trustees

1. Definition

The Board of Trustees is a body corporate, under the name of Board of Trustees of the University of Kentucky, with the usual corporate powers, and possesses all the immunities, rights, privileges, and franchises usually attaching to the governing bodies of educational institutions. It may receive, hold, and administer, on behalf of the University, subject to the conditions attached, all revenues accruing from endowments, appropriations, allotments, grants or bequests, and all types of property. (KRS 164.160)

2. Membership

The Board of Trustees of the University of Kentucky consists of sixteen members appointed by the Governor, two (2) University faculty members who shall have the right to vote on all matters except that of faculty compensation, one (1) University staff employee, and one (1) University student.

(a) Appointed Members

The Governor shall make the appointments so as to reflect proportional representation on the Board of the two leading political parties of the Commonwealth based on the state's voter registration and to reflect no less than proportional representation of the minority racial composition of the Commonwealth. Appointments to fill vacancies shall be made for any unexpired terms in the same manner as provided for the original appointments. (KRS 164.131)

The terms of the appointed members shall be for six (6) years and until their successors are appointed and qualified. Three (3) of the appointments shall be graduates of the University and may include one (1) graduate of the institution who may reside outside the Commonwealth; three (3) shall be representative of agricultural interests; and ten (10) shall be other distinguished citizens representative of the learned professions. Each graduate member is appointed by the Governor from a list of three (3) names submitted by the alumni of the University according to a method prescribed by the Board of Trustees, upon recommendation of the UK Alumni Association, pursuant to KRS 164.131(2)(a); KRS 164.140. The prescribed methods are published in the *Minutes of the Meetings of the Board of Trustees* (May 6, 2003).

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(b) Elected Members

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The number of faculty members, staff employees, and student members elected to the Board of Trustees shall not exceed four (4). (KRS 164.131)

(i) The two (2) faculty members representing the University shall be members of the faculty of the rank of assistant professor or above, elected by secret ballot by faculty members of the rank of assistant professor or above.

In accordance with KRS 164.131(3), faculty members eligible to vote and eligible to serve as elected members of the Board of Trustees shall be those regular, full-time employees conferred with a faculty title and a rank at the level of assistant professor (or its equivalent, librarian III) or above, whose primary assignments, i.e., more than fifty percent (50%), are in instruction, research, and/or public services, as defined in *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*.

The faculty members shall serve for terms of three (3) years and until their successors are elected and qualified. They are eligible for re-election but are ineligible to continue to serve as members of the Board of Trustees if they cease to be members of the faculty. Elections to fill vacancies for any unexpired terms shall be held in the same manner as for the original elections.

The authority to develop procedures for the election of faculty members to serve as members of the Board of Trustees, in accordance with the provisions of KRS 164.131(3), is hereby delegated to the University Senate.

(ii) The staff employee representing the University shall be a regular, full-time staff employee as defined in the *Human Resources Policy and Procedure Administrative Regulation Number 4.0: Employee Status*, excluding the President, Provost, executive vice presidents, vice presidents, and academic deans. The staff trustee shall represent all University staff employees.

The staff member shall serve a term of three (3) years and until a successor is elected and qualified. The staff member shall be eligible for reelection, but is ineligible to continue to serve as a member of the Board of Trustees if the staff employee ceases to be an employee of the University. Elections to fill vacancies shall be for any unexpired term and shall be held in the same manner as provided for the original election.

The staff trustee shall be elected by secret ballot by the regular, full-time staff employees, including the President, Provost, executive vice presidents, vice presidents and academic deans.

The authority to develop procedures for the election of a staff employee to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(4) is hereby delegated to the Staff Senate.

(iii) The student member shall be the President of the Student Government Association during the appropriate academic year and may be an out-of-state resident. If the student member does not maintain the position as President or status as a full-time student at any time during that academic year, a special election shall be held to select a full-time student. The authority to develop procedures for the election of a student of the University to serve as a member of the Board of Trustees, in accordance with the provisions of KRS 164.131(5), is hereby delegated to the Student Government Association.

(c) Non-voting members

Pursuant to KRS 164.170 and 164.131(1)(e), the Board of Trustees may from time to time designate persons as nonvoting honorary members of the Board of Trustees, with responsibilities and privileges as it may deem appropriate, except for the power to vote.

3. Meetings

The Board of Trustees shall meet at least quarterly. Special meetings may be called by the Chair or by any three (3) members upon giving ten (10) days' written notice to each member of the Board of Trustees. The business to be transacted at special meetings shall be specified in the notice of the meeting. All meetings are to be held on the campus of the University unless otherwise specified by a majority vote of the Board of Trustees. A majority of the voting members of the Board of Trustees constitutes a quorum. (KRS 164.170)

The Board of Trustees may adjourn any regular or called meeting to any date that it may set for such adjournment. Any meeting of the Board of Trustees, if a quorum is not present, may be adjourned by the members attending to a time when a quorum shall be present.

The meetings of the Board of Trustees shall be open to the public. The Board of Trustees is required to arrange for a stenographic transcript of each of its meetings to be prepared and filed, to send an agenda for each of the meetings to each member of the Board of Trustees, and to make a copy of the agenda available to the press. (KRS 164.170) For regularly scheduled meetings, the agenda shall be released to the general public at least three (3) full business days prior to the board Meeting. "Business Day" means Monday through Friday, excluding Saturday and Sunday and official University holidays. The Board of Trustees and its committees shall operate in compliance with the provisions of the Open Meetings Law. (KRS 61.800-KRS 61.850)

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All necessary expenses incurred by the Trustees in traveling to and from and while attending meetings of the Board of Trustees are designated to be paid out of the funds of the University. (KRS 164.170)

4. Conflicts of Interests of Board Membership

No employee of the University shall be directly or indirectly interested in any contract with the University for the sale of property, materials, supplies, equipment, or services, with the exception of compensation to the two (2) faculty members and the one (1) staff employee who are members of the Board of Trustees. (KRS 164.131)

No member of a board of trustees shall have an interest in any contract with a state university unless such contract shall have been subjected to competitive bidding in compliance with KRS Chapter 45A, unless such trustee shall have been the lowest bidder and unless such trustee shall have first notified in writing the remaining members of the board, and to the newspaper having the largest circulation in the county in which the state university is located, of his intention to bid on such contract. (KRS 45A.340(7))

No member of the Board of Trustees may be held to be a public officer by reason of membership on the Board except as provided in KRS 45A.335 for the purpose of KRS 45A.340. (KRS 164.150)

5. Officers

The Chair, Vice Chair, Secretary, and Assistant Secretary of the Board of Trustees shall be elected annually at the first fall meeting of the Board of Trustees. (KRS 164.180) The Chair of the Board of Trustees shall be limited to no more than four (4) consecutive terms as Chair. In the absence of the Chair, the Vice Chair presides at meetings of the Board of Trustees and of the Executive Committee.

The Secretary keeps the minutes of all meetings, issues notices of meetings, and provides for the publication and distribution of the minutes in accordance with instructions from the Board of Trustees. The Secretary is responsible for the maintenance of an official revised copy of these *Governing Regulations* and for the publication of revisions. The Assistant Secretary is empowered to perform the duties of the Secretary when the Secretary is not present. The Assistant Secretary need not be a member of the Board of Trustees.

6. Standing and Special Committees

The purpose of standing and special committees is to assist the Board of Trustees in its oversight role by gathering information, discussing, and providing advice on policy proposals prior to their coming before the entire Board of Trustees. Members of the University community and the general public may attend any committee meeting. An individual desiring to address a particular committee or otherwise participate in a committee meeting should contact the committee chair.

Membership of standing committees shall include at least five (5) members of the Board of Trustees including the committee chair.

(a) Executive Committee

The Board of Trustees annually elects an Executive Committee of five (5) members that has the powers that the Board of Trustees delegates to it as prescribed in KRS 164.190. This election shall be held at the first fall meeting of the Board of Trustees. Vacancies may be filled at any meeting of the Board of Trustees. The Chair of the Board of Trustees shall be one (1) of the five (5) members and shall also serve as Chair of the Executive Committee. In general, the Executive Committee exercises oversight of the financial and business interests of the University and possesses the same powers as the Board of Trustees during the periods between meetings of the full Board of Trustees.

Meetings may be held as necessary at the call of the Chair. The Secretary of the Board of Trustees is the *ex officio* Secretary of the Executive Committee. The President shall attend all meetings.

The Executive Committee is required to submit to the Board of Trustees at each meeting, for the latter's consideration and approval, a complete record of the proceedings of the Executive Committee. The authority of the Board of Trustees to amend the action of the Executive Committee does not extend to the rejection of any valid or authenticated expenditure of money by the Executive Committee (KRS 164.190). The Executive Committee shall serve as a hearing panel in the event of a faculty member, staff employee, or student appeal coming to the Board of Trustees.

Deleted: The Executive Committee shall also serve as a hearing committee in the event of a community member or group desiring to address the Board of Trustees. (AR II-1.0-4)

The Executive Committee shall serve as the performance review committee for the President, setting and reviewing goals each fiscal year. The Executive Committee shall involve the entire Board of Trustees in this evaluation and shall also solicit input from the executive committees or executive councils of the University Senate, Staff Senate, and Student Government Association.

(b) Academic Affairs Committee

(i) The Academic Affairs Committee reviews recommendations on policy matters pertaining to the academic mission of the University in instruction, research, and public service as well as to policy matters concerning academic freedom, tenure, and shared governance.

(ii) The Committee reviews academic program proposals from the President to ensure that a) the academic programs are consistent with the University's mission; b) resources are available to achieve academic priorities as set forth in the strategic plan; c) academic programs are appropriate for its student needs; and d) the University has a system in place for assessing the effectiveness of its academic programs.

(iii) The Committee reviews new program proposals and changes in the academic units or in the academic organization to ensure these proposals or changes will effectively carry out the academic mission.

(iv) The Committee reviews the list of candidates for academic degrees approved by the faculty of the University through the University Senate for submission to the Board of Trustees.

(v) The Committee provides needed information to the Board of Trustees.

(c) Student Affairs Committee

This committee reviews recommendations regarding revisions of the *Code of Student Conduct*. The Committee reviews recommendations concerning the general welfare of students and the adoption of rules, procedures, rights, and responsibilities governing non-academic relationships between the University and its students.

(d) Human Resources Committee

This committee reviews recommendations to the Board of Trustees regarding policies pertaining to employee benefits, rights and privileges requiring Board of Trustees approval.

(e) University Relations Committee

This committee reviews recommendations relating to the economic, social, and public policy environments within which the University operates that directly affect the University. The Committee reviews policies or policy proposals regarding marketing and development as well as public, governmental, and alumni relations.

(f) Investment Committee

Under delegation from the Board of Trustees, and consistent with KRS 164A.550 through 164A.630, the Investment Committee is responsible for review and oversight of the endowment investment programs of the University and its affiliated corporations. These responsibilities include: formulating and reviewing investment policies; appointing, monitoring and evaluating investment managers and consultants; and reviewing and approving plans for the general management of the endowment funds of the University.

The membership of the Committee shall include five members from the Board of Trustees. Each member shall be appointed by the Chair of the Board of Trustees. A quorum of the Committee members must be present in order to conduct business. In addition to the voting members, the Investment Committee may, from time to time, have the Chair of the Board of Trustees appoint, upon recommendation of the University

President, non-voting Community Advisory Members to assist it in its functions by providing specialized advice and support. Said Community Advisory Members shall be selected on the basis of their expertise in such areas as investment management and finance. The appointments of Community Advisory members to the Investment Committee shall be for three years and may be reappointed for an unlimited number of three year terms. The maximum number of Community Advisory members authorized at any one time shall be three (3).

The Investment Committee Chair reports to the Board of Trustees after each meeting of the committee on the performance results of endowment investments. Policies of the committee are implemented by the Office of the Treasurer in carrying out the day-to-day operations of the University's endowment funds.

(g) Finance Committee and Audit Subcommittee

This committee ensures the financial stability and long-term economic health of the University by monitoring the financial operations of the University and its affiliated corporations and making appropriate recommendations to the Board of Trustees to ensure achievement of the University's mission. Consistent with KRS 164A.550 through 164A.630, the committee maintains oversight responsibility for the financial management of the University in the areas of accounting, auditing, payroll, purchasing, capital construction, real property, and affiliated corporations. The Committee shall oversee the budgets of the University by reviewing and recommending to the Board of Trustees on the annual operating budgets. The Committee shall ensure that accurate and complete financial records are maintained by reviewing and recommending to the Board of Trustees on annual financial reports and related recommendations from the Audit Subcommittee, interim financial reports, long-term debt obligation gifts to the University, capital projects of \$600,000 or more, leases over \$200,000 per year, disposition of property, sale of assets, and financial transactions not provided for in the annual operating budget.

The Audit Subcommittee recommends to the Finance Committee on the appointment of the external auditors, receives and reviews the annual reports from the external auditors, and makes recommendations to the Finance Committee concerning these reports.

(h) Nominating Committee

This committee nominates officers of the Board of Trustees and members of the Executive Committee. The Committee reviews and recommends the appointment of trustees to the boards and committees of the University and its affiliated entities.

(i) University Health Care Committee

This Committee shall serve as a governing body to operate the University Hospitals in accordance with the standards established by The Joint Commission, formerly 'Joint

Commission on Accreditation of Health Care Organizations,' and to oversee the University's clinical enterprise. This responsibility includes management oversight for the development of policies, rules, and regulations for the governance of the University Hospitals and clinical enterprise. Policies of the Committee are implemented by the Executive Vice President for Health Affairs in carrying out the day-to-day operations of the University Hospitals and clinical enterprise.

The membership of the Committee shall include five members from the Board of Trustees. Each member shall be appointed by the Chair of the Board of Trustees acting upon recommendation of the President of the University. The initial Board of Trustee member appointments to the University Health Care Committee shall be for staggered terms in the following manner: two members for three years, two members for four years and one member for five years. Any Board member appointed thereafter shall serve for three years and may be reappointed for an additional three years. Any vacancy occurring before the expiration of the term of the appointment shall be filled for the unexpired term. A quorum of the Committee members must be present in order to conduct business.

The University Health Care Committee may create sub-committees and shall create committee rules to guide their governance consistent with The Joint Commission.

(j) Special Committees

Special committees may be established and appointed at any time by the Chair of the Board of Trustees and with such charge as the Board of Trustees Chair may determine. Special committees shall carry out their duties as specified and report to the Board of Trustees. Such committees shall function until discharged. Membership shall include trustees and may also include persons who are not Board of Trustees members.

7. Powers and Responsibilities

The powers and responsibilities of the Board of Trustees include the following:

(a) Establish proper regulations for the governance of the University and the physical training, military or otherwise, of the students. The Board may authorize the suspension and dismissal of students for neglect or violation of the regulations or for other conduct prejudicial to the character and welfare of the University. (KRS 164.200)

(b) Determine the colleges, schools, divisions, departments, bureaus, and offices which shall comprise the University within the scope of the Acts of Congress approved July 2, 1862, and Acts supplementary thereto, and the relation which each division shall sustain to each other division and to the whole. It may devise, allot, and arrange the distribution of divisions with the designation appropriate for each, and devise the means required for their effective instruction, administration, and governance. (KRS 164.210)

(c) Appoint a President, professors, assistants, tutors and other personnel and determine the compensation, duties, and official relations of each and in making such appointments no preference may be shown to any religious denomination.[†] The Board is required to provide compensation for all positions created and filled by the Board of Trustees. The Board may provide for employee retirement benefits and such other employee benefits as are related to the respective employments and services furnished. Retirement plans may include, but without limitation, the retirement ages, the benefits of employees including group insurance, annuities, establishment of a trust fund or funds, and, the amounts to be paid or contributed by employees and the amounts to be paid or contributed by the University of Kentucky, and other appropriate terms and provisions with respect thereto. (KRS 164.220)

(d) Suspend or remove any of the officers, teachers, professors, or agents that it is authorized to appoint, except that no President, professor, or teacher may be removed except for incompetence, neglect of or refusal to perform duties, or for immoral conduct, and then only after ten (10) days' notice in writing stating the nature of the charges preferred, and after the individual has been given an opportunity to make defense before the Board of Trustees by counsel or otherwise and to introduce testimony which shall be heard and determined by the Board of Trustees. (KRS 164.230)

(e) Grant degrees to graduates of the University, prescribe conditions for the award of honorary degrees, and confer such honorary degrees, upon the recommendation of the faculty of the University, as it deems proper. (KRS 164.240)

(f) Make a full report to the General Assembly, within the first month of each even-numbered year regular session, of the condition and operation of the University since the date of the previous report, with such recommendations concerning the University as are deemed necessary. (KRS 164.250)

(g) Acquire additional lands or other property or material for the purpose of expanding its plant and extending its usefulness. When unable to contract with the owner of land or other property or material necessary for the purposes of the University, it may acquire the same by condemnation proceedings in the manner provided in KRS 416.010 to 416.080. (KRS 164.260)

(h) Dispose of real estate held by the Commonwealth for the use or benefit of the University or the Kentucky Agricultural Experiment Station as authorized in KRS 164.270 and KRS 45.360.

8. Order of Business

The order of business at meetings of the Board of Trustees normally is as follows:

* See Parts I.D.2.(c)(1) and X.A.

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- Call to Order
- Roll Call
- Reading and Approval of Minutes with Necessary Modifications
- Report of the President
- Consideration of President's Recommendations for Action
- Consideration of President's Discussion Items
- Reports of Committees
- Other Business
- Adjournment

The most recent version of *Robert's Rules of Order* shall be observed in conducting the business of the Board of Trustees except as these may be modified by regulations adopted by the Board of Trustees.

B. Petitions to Address the Board of Trustees

The purpose of this section is to provide a standard process for members of the University community and the general public to address the Board of Trustees on matters relevant to the University. This process provides for reasonable access to the Board of Trustees, while also preserving the Board's orderly business operations.

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The procedures established by this regulation do not supersede *Governing Regulations* or *Administrative Regulations* that specifically permit appeal to, or appearances before, the Board or a Board Committee.

1. Petitions

(a) An individual desiring to address the Board of Trustees shall submit a written petition to the Chair of the Board of Trustees through the Office of the President at least two (2) full business days prior to a scheduled meeting of the full Board. The petition shall describe the subject matter and the rationale for addressing the Board, and shall be submitted on the form (Petition to Address the Board of Trustees) attached as Appendix I to this regulation. Petitions are available in the Office of the President, 101 Main Building, Lexington KY 40506-0032, or on-line at www...xxxx and may be submitted in hardcopy or electronically. In order to be considered, any petition to address the Board of Trustees shall be submitted in accordance with this regulation and shall contain all required information. The Office of the President is responsible for forwarding to the Board Chair all petitions submitted in accordance with this regulation.

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(b) The Chair, who may consult with the President, shall determine if the subject matter of the petition is relevant to a pending or future agenda item, or deemed essential information necessary for the Board to fulfill its statutory responsibilities. If the Chair determines that the subject is relevant or the information is essential, the Chair shall:

(i) approve the petition to address the Board and ask that the petitioner be so informed; or

(ii) refer the petition to an appropriate committee of the Board and ask that the petitioner be informed of the Committee's meeting time and location.

(c) The Committee to which a petition is referred shall review the merits of the petition to address the Board and shall take such action as it deems appropriate.

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(d) The list of petitioners requesting to address the Board of Trustees, along with the disposition of each petition, shall be made available immediately prior to each Board meeting at the meeting location.

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(e) The Chair of the Board of Trustees shall provide a report during the full Board meeting on the number of petitions to address the Board received, as well as the disposition of said petitions.

(f) Prior to acting on an item or issue, the full Board shall hear approved petitioners who are present and prepared to address the Board when called to appear.

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(g) A record shall be kept indicating the petitioner's name, the subject matter, and action taken on each petition to address the Board.

2. Limitations

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(a) The Chair of the Board of Trustees may establish reasonable and appropriate limitations on the number of petitioners who may address the Board at each meeting and the time permitted for each address.

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(b) In the event of a substantial change to an action item, or the addition of an action item to the Board agenda, the Chair may, in his or her discretion, accept a petition(s) received outside the procedures and timelines established by this Governing Regulation.

(c) The Chair of a Committee may establish reasonable and appropriate limitations on the number of petitioners who may address or participate in a committee meeting and the time permitted for each address.

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APPENDIX I (Draft)

**Petition to Address the Board of Trustees
University of Kentucky**

Petitions to address the Board may be made by completing and submitting this form to the Office of the President no later than two (2) business days before the start of the meeting (e.g., for a Tuesday, 1:00 p.m. meeting, petitions must be received by Friday at 1:00 p.m.). To ensure the proper recognition of speakers, no change in the original presenter may be made, except possibly as determined by the Board Chair or a Board Committee. For a complete list of guidelines, see GR II, *Governance of the University of Kentucky*

INFORMATION
(Please type or print.)

Contact Information (All fields must be completed)*

Name: Telephone Number:

E-Mail: Mailing Address:

***YOU MUST PROVIDE A TELEPHONE NUMBER AND EMAIL ADDRESS IN ORDER FOR YOUR REQUEST TO BE CONSIDERED.**

University Relationship

Relationship to the University (please check the most appropriate category):
 Student Faculty Staff Alumni Other (Please specify) _____

Name of Group (if applicable): _____

Topic

Topic on which you wish to speak: _____

Please indicate if you will speak pro con or neutrally on this topic.

Briefly summarize your proposed address to the board: (maximum 1000 characters)

Have you contacted any University units about this topic previously?

Yes No

If yes, list the units and the action taken by each office: (maximum 300 characters)

The University of Kentucky is committed to providing equal opportunity for participation in all programs, services, and activities. Accommodations for persons with disabilities may be requested by contacting the Office of the President within 24 hours of the meeting. Requests received after this date will be honored whenever possible.

Office of the President
100 Main Building
Lexington, KY 40506
Phone: (xxx) xxx-xxxx Fax: (xxx) xxx-xxx E-mail: xxxxx@uky.edu