

Minutes of the Meeting of the Executive Committee of the Board of Trustees of the University of Kentucky, Friday, June 20, 1975.

The Executive Committee of the Board of Trustees of the University of Kentucky met at 2:00 o'clock Eastern Daylight Time on Friday, June 20, 1975 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington campus. The following members were present: Mr. William B. Sturgill, Chairman, Mr. William R. Black, Mr. Albert G. Clay, Mr. George W. Griffin and Professor Paul Sears, ex officio secretary. Absent from the meeting was Mr. Richard E. Cooper. The University Administration was represented by President Otis A. Singletary; Vice Presidents Lewis W. Cochran, Stanley Wall and Peter P. Bosomworth; Dr. Donald B. Clapp, Executive Assistant to the President and Acting Vice President for Business Affairs; Mr. John Darsie, Legal Counsel; Dr. Robert M. Drake, Special Assistant to the President; and Mr. David Stockham representing Vice President Robert Zumwinkle. Representatives of the news media were also present.

A. Meeting Opened

Mr. Sturgill, Chairman of the Executive Committee, called the meeting to order at 2:00 p.m. Following the invocation pronounced by Mr. William R. Black, the secretary called the roll. A quorum being present, Mr. Sturgill declared the meeting officially open for the conduct of business at 2:02 p.m.

B. Resolution Adopted

At Mr. Sturgill's request, Professor Paul Sears read a resolution expressing appreciation for Mr. David Mucci whose term as a student member of the Board expired on May 10, 1975. Professor Sears moved that the resolution be adopted and spread upon the Minutes of the meeting and a copy sent to Mr. Mucci. Mr. Clay seconded his motion and with all present voting "aye" it was so ordered. (See resolution at the end of the Minutes.)

C. Minutes Approved

Without discussion, on motion by Mr. Clay, seconded by Mr. Black and passed without dissent, the reading of the Minutes of May 6, 1975 meeting of the Board of Trustees was dispensed with and the Minutes were approved as published.

D. The President's Report to the Trustees (PR 1)

President Singletary noted that the new President of Student Government, Mr. James Harralson, was present and introduced him to the members of the Executive Committee.

He next reported that the enrollment for the 1975 Summer Session on the Lexington campus as of Wednesday was 5,423, an increase of 200 over the 1974 Summer Session. The enrollment in the Community College System for the 1975 Summer Session is 4,971, an increase of 1,271 students from the summer of 1974.

After reviewing certain of the items in PR 1, President's Report to the Trustees, President Singletary recommended that the report be received and filed. Without objection, it was so ordered by Mr. Sturgill.

E. Recommendations of the President (PR 2)

President Singletary noted that PR 2, Recommendations of the President, contained recommendations relative to personnel changes most of which were routine in nature. He did however call particular attention to the resignation of Dr. Charles Haywood as Dean of the College of Business and Economics, and paid tribute to the manner in which he has conducted the affairs of the College during his tenure as Dean. He said that Dean Haywood would be on leave during the academic year 1975-76 but would return following his leave as a Professor in the College. Dr. W. W. Ecton will serve as Acting Dean of the College of Business and Economics while a search is conducted for a permanent replacement for Dean Haywood.

Mr. Sturgill asked if there were any questions or comments on the recommendations made in PR 2 and, there being none, on motion by Professor Sears, seconded by Mr. Clay and passed, PR 2 was approved as a whole and ordered made an official part of the Minutes of the meeting. (See PR 2 at the end of the Minutes.)

F. Supplemental Recommendations of the President (PR 3)

President Singletary said that the items in PR 3 were received too late for inclusion in PR 2 and suggested that the recommendations in PR 3 be considered in two parts. He then recommended that approval be given to the appointment of Dr. Ronald J. Horvath as Assistant Professor in the

Community College System and Director of the Jefferson Community College (Part I of PR 3). He said that Dr. Horvath was the choice of the search committee and of Dr. Wall and himself. Since Dr. Smith will be coming to the Lexington campus the first of July to assume the vice presidency for Minority Affairs, Mr. James Hawkins will serve as Acting Director of the College until Dr. Horvath comes in early August.

Mr. Black moved approval of Dr. Horvath's appointment as Assistant Professor in the Community College System and Director of the Jefferson Community College. His motion was seconded by Mr. Griffin and passed.

Dr. Singletary recommended that the remainder of the items in PR 3 be acted upon at the same time. Mr. Clay moved that items II (Authorization for the Chairman to Execute Contracts), III (Correction in Minutes of May 6, 1975), and IV (Appointment and/or Other Staff Changes) be approved as presented. Professor Sears seconded the motion and it passed without dissent. (See PR 3 at the end of the Minutes.)

G. Individual Professional Liability Insurance for Student Teaching Program - College of Education (PR 4)

President Singletary explained that the recommendation in PR 4 would authorize the College of Education to develop plans and procedures to make available an individual professional liability insurance program for students enrolled in the student teaching program. He noted the cost of the insurance would be borne by the individual student teacher.

There being no questions, on motion by Mr. Griffin, seconded by Mr. Black and passed, the recommendation in PR 4 was approved as presented. (See PR 4 at the end of the Minutes.)

H. Doctoral Program in Philosophy (PR 5)

President Singletary explained that the proposal relative to the authorization of a doctoral program in Philosophy had the approval of the Graduate Faculty and the University Senate and needed approval from the Board of Trustees before it could be transmitted to the Council on Public Higher Education for its approval.

On motion by Mr. Clay, seconded by Professor Sears, and passed, authorization was given to submit to the Council on Public Higher Education a Ph. D. program in Philosophy. (See PR 5 at the end of the Minutes.)

I. Budget Revisions (PRs 6 and 7)

President Singletary said the recommendations in PRs 6 and 7 were routine budget revisions covering the budgets for 1974-75 and 1975-76, and recommended approval of both. On motion by Mr. Clay and seconded by Mr. Black, and passed, budget revisions recommended in PRs 6 and 7 were authorized and approved. (See PRs 6 and 7 at the end of the Minutes.)

J. Appointment of Vice President for Business Affairs and Treasurer (PR 8)

President Singletary said that it gave him much pleasure to recommend the appointment of Mr. Jack C. Blanton as Vice President for Business Affairs and Treasurer to fill the vacancy created by the resignation of Mr. Lawrence E. Forgy, Jr. He said that Mr. Blanton had wide experience in state government, particularly as it related to higher education, and he felt that he was eminently well qualified for the job.

Mr. Black moved approval of the appointment of Mr. Jack C. Blanton as Vice President for Business Affairs and Treasurer of the University of Kentucky, effective not later than September 1, 1975. His motion was seconded by Mr. Griffin and passed with all present voting "aye".

President Singletary introduced Mr. Blanton, and Mr. Sturgill welcomed him on behalf of the Board of Trustees. Mr. Blanton accepted the introduction with thanks and said that he was looking forward to his association with the University of Kentucky. (See PR 8 at the end of the Minutes.)

K. Master's Program in Forestry (PR 9)

Explaining that the recommendation relative to a master's program in Forestry required approval of the Board of Trustees prior to its submission to the Council on Public Higher Education, President Singletary recommended such approval.

On motion by Professor Sears, seconded by Mr. Clay and passed, PR 9 was approved as presented. (See PR 9 at the end of the Minutes.)

L. Appointments to the Board of Directors of the Ephraim McDowell Cancer Research and Treatment Network (PR 10)

Dr. Bosomworth explained the background relative to the formation of the Ephraim McDowell Cancer Research and Treatment Network and its purposes. He said that the Articles of Incorporation specify that the affairs of the Network shall be managed by a Board of Directors appointed by the President of the University of Kentucky with the approval of the Board of Trustees.

President Singletary recommended approval of the appointments as set forth in PR 10. Mr. Black so moved. His motion was seconded by Mr. Griffin and passed without dissent. (See PR 10 at the end of the Minutes.)

M. Resolution Relative to Retirement Program

It has been discovered that approximately 40 persons at the University are not presently participating in the retirement program. In order to correct this Mr. Clay presented the following resolution and moved its adoption.

RESOLVED, that the Board of Trustees reaffirm its position, first adopted on July 16, 1965, that participation in the University's retirement plan is mandatory, and a condition of continuing employment, for all full-time employees who have been employed for one year and have attained age 30. The University administration is authorized to withhold the employees contribution.

His motion was seconded by Mr. Griffin and passed without dissent.

N. Appreciation Expressed to Dr. Singletary

Mr. Clay speaking on behalf of the Board of Trustees expressed thanks and gratitude and appreciation to President and Mrs. Singletary for their decision to remain at the University of Kentucky. Professor Sears,

speaking for the faculty, echoed Mr. Clay's sentiments as did Mr. Sturgill speaking for the citizens of the State as a whole.

O. Interim Financial Report (FCR 1)

Mr. Clay, as Chairman of the Finance Committee, reported that the committee had met prior to the Executive Committee Meeting and had five items to recommend for consideration and approval.

Mr. Clay moved that the Interim Financial Report for the ten-month period ending April 30, 1975 be accepted and ordered made a matter of record. His motion was seconded by Professor Sears and passed without dissent. (See FCR 1 at the end of the Minutes.)

P. Deed of Conveyance (FCR 2)

Mr. Clay moved that the Vice President for Business Affairs and Treasurer be authorized and directed to execute a deed of conveyance to the County of Taylor, Kentucky, conveying to said County approximately 23 acres in Taylor County, Kentucky. His motion was seconded by Mr. Black and passed. (See FCR 2 at the end of the Minutes.)

Q. Funkhouser Building Renovation (FCR 3)

Explaining that the funds for the renovation of the Funkhouser Building would come from University of Kentucky Plant Funds, Mr. Clay moved that the Board of Trustees approve for submission to the Council on Public Higher Education the renovation of the Funkhouser Building. The total scope of this project estimated to cost \$640,000 will be done over a period of three years. The phase which is to be funded initially is estimated to cost \$250,000. Mr. Clay's motion was seconded by Professor Sears and passed without dissent. Professor Sears asked if the renovation project included air conditioning for the building and Dr. Clapp assured him that it did. (See FCR 3 at the end of the Minutes.)

R. No-Fault Insurance (FCR 4)

Noting that all governmental entities must take affirmative action to accept No-Fault insurance, Mr. Clay moved that the resolution set

forth in FCR 4 be approved. His motion was seconded by Mr. Black and passed. (See FCR 4 at the end of the Minutes.)

S. Medical Professional Liability (FCR 5)

Mr. Clay asked Dr. Clapp to give a brief explanation of the proposal relative to Medical Professional Liability as recommended in FCR 5.

Dr. Clapp explained that the University of Kentucky was proposing to establish a self-insurance program under the same terms, conditions and endorsements as incorporated in the present policy which expires July 1, 1975. The extent of idemnities shall be limited in the aggregate to the sum of one million dollars and to the sum of five hundred thousand dollars for any one claim. In addition it is recommended that the Board accept the proposal of Indiana Insurance Company to provide insurance in addition to the idemnity provided by the University to a total aggregate sum of four million dollars.

Dr. Clapp continued that the rapidly increasing commercial insurance rates resulted in the development of the proposed plan and should provide substantial ultimate savings to the University.

Mr. Clay, Mr. Griffin and Mr. Sturgill all expressed concerned at the rates which had been quoted by commercial companies to provide the required coverage and agreed that this proposed plan was the only way for the University to provide the required protection for the University and the staff at the Medical Center.

Mr. Clay moved that the recommendation in FCR 5 be approved. His motion was seconded by Mr. Black and passed with all present voting aye.

Dr. Bosomworth expressed the appreciation of the staff of the Medical Center for this action of the Board. He said it was of great significance to the faculty and was much appreciated. (See FCR 5 at the end of the Minutes.)

T. Report of Investments (ICR 1)

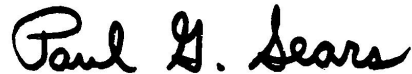
Mr. Griffin, Chairman of the Investment Committee, said that the committee had met prior to the Executive Committee meeting and moved

that the quarterly report on investments as submitted in ICR 1 be accepted. His motion was seconded by Professor Sears and passed. (See ICR 1 at the end of the Minutes.)

U. Meeting Adjourned

Having first determined that there was no further business to come before the meeting, on motion duly made, seconded and carried, the Chairman declared the meeting adjourned at 2:40 p. m.

Respectfully submitted,

A handwritten signature in cursive script that reads "Paul G. Sears".

Paul G. Sears, Secretary
Board of Trustees

(The Resolution, PRs 2, 3, 4, 5, 6, 7, 8, 9, and 10, FCRs 1, 2, 3, 4, and 5, and ICR 1 which follow are official parts of the Minutes of the meeting.)

RESOLUTION

WHEREAS, Mr. David T. Mucci's term as a member of the Board of Trustees of the University of Kentucky ended on May 10, 1975; and

WHEREAS, the Board of Trustees wishes to recognize in an appropriate manner the contributions which he made as the seventh student member to serve on the Board; and

WHEREAS, he took his responsibilities as a Board member seriously, being faithful in his attendance and informed on the matters before the Board for consideration; and

WHEREAS, he represented the interests of his constituency ably and well while at the same time recognizing that these interests must be considered in the light of their effect upon the total University community: Therefore, be it

RESOLVED, that the Executive Committee, acting on behalf of the Board of Trustees of the University of Kentucky, recognize with thanks and appreciation Mr. Mucci's role as a member of the Board and extend to him its best wishes for success in his future pursuits.

Office of the President
June 10, 1975

PR 2

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

New Appointments

Bacon, James L., Associate Clinical Professor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 5/30/75 through 6/30/76.

Barkley, Mary D., Assistant Professor, Department of Biochemistry, College of Medicine, 8/1/75 through 6/30/76.

- Blake, Jerry W., Assistant Professor, Department of Veterinary Science, College of Agriculture, 5/1/75 through 6/30/76.
- Brandon, Barbara H., Assistant Professor, College of Law, 8/1/75 through 5/31/76.
- Bratt, Carolyn S., Assistant Professor, College of Law, 8/1/75 through 5/31/76.
- Bull, Leonard S., Associate Professor, (with tenure), Department of Animal Sciences, College of Agriculture, effective 5/14/75.
- Clark, Joseph D., Associate Clinical Professor (voluntary), College of Pharmacy, 7/1/75 through 6/30/76.
- Companion, Audrey L., Associate Professor, (with tenure), Department of Chemistry, College of Arts & Sciences, effective 8/1/75.
- Dodds, Joseph, Clinical Professor (voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 5/30/75 thru 6/30/76.
- Ellis, Guy T., Assistant Professor, Department of Diagnostic Radiology, College of Medicine, 6/1/75 through 6/30/76.
- Hafferty, Frederic W., Visiting Assistant Professor, Department of Behavioral Science, College of Medicine, 7/1/75 through 6/30/76.
- Kingston, Richard, Associate Professor*, Department of Allied Health Education and Research, College of Allied Health Professions, 7/1/75 through 6/30/76.
- Larmore, Kim S., Assistant Professor, Department of Psychiatry, College of Medicine, 7/1/75 through 6/30/76.
- Levine, Seymour David, Adjunct Professor (voluntary), College of Pharmacy, 7/1/75 through 6/30/76.
- Louchard, William E., Assistant Professor, Department of Restorative Dentistry, College of Dentistry, 6/1/75 through 6/30/76.
- Morgan, William C., Assistant Professor, Department of Pedodontics, College of Dentistry, 6/1/75 through 6/30/76.
- Prewitt, Larry R., Assistant Extension Professor, Department of Animal Sciences, College of Agriculture, 5/27/75 through 6/30/76.
- Schwemm, Robert G., Visiting Assistant Professor, College of Law, 8/1/75 through 5/31/76.
- Seeley, Ellsworth C., Associate Professor*, Department of Family Practice, College of Medicine, 6/1/75 through 6/30/76.
- Tingstad, James E., Adjunct Professor, (voluntary), College of Pharmacy, 7/1/75 through 6/30/76.
- Turan, Adil Ilter, Visiting Associate Professor, Department of Political Science, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Upton, David E., Instructor, Department of Business Administration, College of Business & Economics, 8/1/75 through 5/31/76.

*Special Title Series

Non-Academic Appointments

- Carrithers, Wallace M., Industrial Management Consultant Instructor, Office of Business Development & Governmental Services, College of Business & Economics, effective 5/1/75.
- Davis, Charles, Pharmacist, College of Pharmacy, 5/1/75 through 6/30/76.
- Goodwin, Salvatore, Pharmacist, College of Pharmacy, 5/11/75 through 8/31/75.
- Neavill, James C., Management Consultant Instructor, Office of Business Development & Governmental Services, effective 5/1/75.
- Wilds, Thomas F., Coordinator, Washington, D. C., for the Area Learning Resource (System) Center, College of Education, 5/1/75 through 6/30/76.

Administrative Appointments

- Donohew, Lewis, Director, School of Communications, College of Arts & Sciences, 7/1/75 through 6/30/76.
- Ford, Thomas R., Director, Center for Developmental Change, College of Arts & Sciences, 7/1/75 through 6/30/79.
- Hunckler, Eugene J., Director, Medical Center Development, Medical Center, effective 5/1/75.
- Kopczyk, Raymond A., Chairman, Department of Periodontics, College of Dentistry, effective 7/1/75.
- Kratz, Bernd, Chairman, Department of German, College of Arts & Sciences, 7/1/75 through 6/30/76.
- Mack, John, Chairman, Department of Mathematics, College of Arts & Sciences, 7/1/75 through 6/30/79.
- Raitz, Karl B., Chairman, Department of Geography, College of Arts & Sciences, 7/1/75 through 6/30/79.

Changes

- Haywood, Charles F., Deanship resignation effective 6/30/75, College of Business & Economics.
- McCord, Michael C., Associate Professor, with tenure, from Department of Mathematics to Department of Computer Science, College of Arts & Sciences, effective 7/1/75.
- Stapf, Paul, Professor, with tenure, Dept. of Germanic Languages & Literatures, College of Arts & Sciences, from retirement status (action on 1/27/75 retroactive to 12/31/74) to regular part-time status effective 7/1/75.

- McWhinney, Bruce D., from Instructor (part-time), to Assistant Professor*, College of Pharmacy, 5/1/75 through 6/30/76.
- Meyer, Edward Allen, from Instructor (part-time), to Instructor, Department of Restorative Dentistry, College of Dentistry, 5/1/75 through 6/30/76.
- Rose, Harriett A., from Associate Professor to Associate Adjunct Professor, Department of Psychology, College of Arts & Sciences, 7/1/75 through 6/30/77.

Appointments with Waiver of Nepotism - (Reappointment)

- Othmer, Sieglinde, Research Associate, Department of Psychiatry, College of Medicine; wife of Dr. Ekkehard Othmer, Associate Professor, Department of Psychiatry, College of Medicine, 6/1/75 through 5/31/76.
- Waddell, Carolyn, Research Assistant, Department of Pharmacology, College of Medicine. Husband is William J. Waddell, Professor, Department of Pharmacology, College of Medicine. 7/1/75 through 6/30/76.

Promotions

College of Allied Health Professions

- Collins, Patricia Ann, from Assistant Professor* without tenure to Associate Professor* with tenure, Department of Medical Technology, effective 7/1/75.

College of Arts & Sciences

- Blythe, Joan, from Assistant Professor without tenure to Associate Professor with tenure, Department of English, effective 7/1/75.
- Coleman, Donald B., from Associate Professor with tenure to Professor with tenure, Department of Mathematics, effective 7/1/75.
- Crawford, E. C., Jr., from Associate Professor with tenure to Professor with tenure, Department of Biological Sciences, effective 7/1/75.
- Hall, James Baker, from Assistant Professor without tenure to Associate Professor with tenure, Department of English, effective 7/1/75.
- Hayden, Thomas L., from Associate Professor with tenure to Professor with tenure, Department of Mathematics, effective 7/1/75.
- Impey, Michael H., from Assistant Professor without tenure, to Associate Professor with tenure, Department of Spanish and Italian Languages & Literatures, effective 7/1/75.

*Special Title Series

- Jenness, Phyllis, from Associate Professor of Music (Applied)* with tenure to Professor of Music (Applied)* with tenure, School of Music, effective 7/1/75.
- Kiernan, Kevin, from Assistant Professor without tenure to Associate Professor with tenure, Department of English, effective 7/1/75.
- Lewis, John, from Assistant Professor without tenure to Associate Professor with tenure, Department of Mathematics, effective 7/1/75.
- Mack, John, from Associate Professor with tenure to Professor with tenure, Department of Mathematics, effective 7/1/75.
- Meckier, Jerome, from Associate Professor with tenure to Professor with tenure, Department of English, effective 7/1/75.
- Nonneman, Arthur J., from Assistant Professor without tenure to Associate Professor with tenure, Department of Psychology, effective 7/1/75.
- Rishel, Raymond, from Associate Professor with tenure to Professor with tenure, Department of Mathematics, effective 7/1/75.
- Suffridge, Ted, from Associate Professor with tenure to Professor with tenure, Department of Mathematics, effective 7/1/75.
- Van Tubergen, G. Norman, from Assistant Professor without tenure to Associate Professor with tenure, Department of Telecommunications, effective 7/1/75.

College of Business & Economics

- Davis, Paul Michael, from Associate Professor with tenure to Professor with tenure, Department of Accounting, effective 8/1/75.
- Johnson, Keith H., from Assistant Professor without tenure to Associate Professor with tenure, Department of Business Administration, effective 8/1/75.
- Lyon, Herbert L., from Associate Professor with tenure to Professor with tenure, Department of Business Administration, effective 7/1/75.
- Shannon, Donald S., from Assistant Professor without tenure to Associate Professor with tenure, Department of Business Administration, effective 7/1/75.
- Spruill, M. Lynn, from Assistant Professor without tenure to Associate Professor with tenure, Department of Business Administration, effective 7/1/75.
- Wallace, Marc J., Jr., from Assistant Professor without tenure to Associate Professor with tenure, Department of Business Administration, effective 7/1/75.

College of Dentistry

- Reel, Donald C., from Assistant Clinical Professor (voluntary), without tenure, to Associate Clinical Professor (voluntary), without tenure, Department of Periodontics, 7/1/75 through 6/30/76.

College of Education

Harman, Robert, from Adjunct Assistant Professor without tenure to Adjunct Associate Professor without tenure, Department of Psychology & Counseling/and Counseling & Testing, 7/1/75 through 6/30/78.

College of Engineering

Bradley, Eugene B., from Associate Professor with tenure to Professor with tenure, Department of Electrical Engineering, effective 8/1/75.

Gillis, Peter P., from Associate Professor with tenure to Professor with tenure, Department of Metallurgical Engineering & Materials Sciences, effective 8/1/75.

Hsu, Nelson N., from Assistant Professor without tenure to Associate Professor with tenure, Department of Engineering Mechanics, effective 7/1/75.

Robe, Thurlow Richard, from Associate Professor with tenure to Professor with tenure, Department of Engineering Mechanics, effective 7/1/75.

Wang, Shien T., from Assistant Professor without tenure to Associate Professor with tenure, Department of Civil Engineering, effective 8/1/75.

College of Home Economics

Concon, Jose, from Assistant Professor without tenure to Associate Professor with tenure, Department of Nutrition & Food Science, effective 8/1/75.

College of Law

Leathers, John R., from Visiting Assistant Professor without tenure to Associate Professor with tenure, effective 8/1/75.

College of Library Science

Waldhart, Thomas, from Assistant Professor without tenure to Associate Professor with tenure, effective 8/1/75.

Medical Center

Pray, Edna R., from Librarian III without tenure to Librarian II with tenure, Library, 7/1/75 through 6/30/76.

College of Medicine

Coonrod, J. Donald from Assistant Professor without tenure to Associate Professor with tenure, effective 7/1/75.

French, Robert, from Assistant Professor* without tenure to Associate Professor* with tenure, Department of Medicine, effective 7/1/75.

Miller, Ralph E., from Assistant Professor without tenure to Associate Professor with tenure, Department of Pharmacology, effective 7/1/75.

*Special Title Series

Veltkamp, Lane J., from Assistant Professor* without tenure to Associate Professor* with tenure, Department of Psychiatry, effective 7/1/75.

Tenure Granted

Brown, Frank M., Associate Professor, Department of Electrical Engineering, College of Engineering, effective 7/1/75.

Reucroft, Philip J., Associate Professor, Department of Metallurgical Engineering & Materials Sciences, effective 7/1/75, College of Engineering.

Reappointments

Carr, Edward A., Professor (Adjunct), without tenure, Department of Pharmacology, College of Medicine, 7/1/75 through 6/30/76.

Daugherty, Joe W., Associate Professor (part-time), Department of Oral Surgery, College of Dentistry, 7/1/75 through 6/30/76.

Fischer, Warren, Associate Professor (part-time), Department of Restorative Dentistry, College of Dentistry, 7/1/75 through 6/30/76.

French, Oliver H., Associate Clinical Professor (part-time), Department of Anesthesiology, College of Medicine, 7/1/75 through 6/30/76.

Hurst, George S., Adjunct Professor, Department of Physics and Astronomy, College of Arts & Sciences, 7/1/75 through 6/30/76.

Isaacs, Gertrude, Associate Clinical Professor (voluntary), College of Nursing, 7/1/75 through 6/30/76.

Jenkins, Van Ransler, Associate Clinical Professor (part-time), Department of Obstetrics & Gynecology, 7/1/75 through 6/30/76, College of Medicine.

Leavell, Ullin W., Jr., Clinical Professor (part-time), Department of Medicine, College of Medicine, 7/1/75 through 6/30/76.

McDearman, Sara C., Associate Clinical Professor (voluntary), Department of Medical Technology, College of Allied Health Professions, 7/1/75 through 6/30/76.

McElwain, William P., Associate Professor (Adjunct), Department of Community Medicine, College of Medicine, 7/1/75 through 6/30/76.

Marciani, Robert D., Associate Professor (part-time), Department of Oral Surgery, College of Dentistry, 7/1/75 through 6/30/76.

Mayer, Lloyd, Associate Clinical Professor (Part-time), Department of Medicine, College of Medicine, 7/1/75 through 6/30/76.

Munro, Jim L., Professor, College of Social Professions, 8/1/75 through 5/31/76. (Temporary appointment for additional year, will terminate without further notice; waiver granted for non-tenure status.)

*Special Title Series

- Newsome, Oakie G.**, Associate Professor (part-time), Department of Periodontics, College of Dentistry, 7/1/75 through 6/30/76.
- Poindexter, J. B., Jr.**, Associate Professor, (part-time), Department of Oral Surgery, College of Dentistry, 7/1/75 through 6/30/76.
- Preston, Robert A.**, Associate Clinical Professor (voluntary), Clinical Pastoral Counseling, College of Allied Health Professions, 7/1/75 through 6/30/76.
- Tietz, Norbert W.**, Clinical Professor (part-time), Department of Pathology, College of Medicine, 7/1/75 through 6/30/76.
- Trinler, Dan R., Jr.**, Associate Professor (part-time), Department of Periodontics, College of Dentistry, 7/1/75 through 6/30/76.
- Weiler, Philip G.**, Associate Clinical Professor (part-time), Department of Community Medicine, College of Medicine, 7/1/75 through 6/30/76.

Retirements

- Blyton, Gifford**, Professor, Department of Speech, after 27 consecutive years of service, effective 7/1/75.
- Carpenter, John Melvin**, Professor, Department of Biological Sciences, after 22 consecutive years of service, effective 7/1/75.
- Cutler, John L.**, Associate Professor, Department of English, after 37 consecutive years of service, effective 7/1/75.
- Hamilton, Holman**, Professor, Department of History, after 21 consecutive years of service, effective 7/1/75.
- Hatch, Maurice A.**, Associate Professor, Department of English, after 27 consecutive years of service, effective 7/1/75.
- Lyons, Erwin John**, Associate Professor, Department of Geology, after 17 consecutive years of service, effective 7/1/75.
- Meyer, Margaret A.**, Librarian II, Cataloging Department, after 18 consecutive years of service, effective 7/1/75.
- Montgomery, Ford M.**, Associate Professor, Music Department, after 30.5 consecutive years of service, effective 7/1/75.
- Morgan, Elizabeth G.**, Librarian II, Acquisitions Department, after 12.5 consecutive years of service, effective 7/1/75.
- Cook, Viola**, Assistant to Director, University Extension Programs, after 23 consecutive years of service, effective 7/1/75.
- Lee, Kenneth P.**, Senior Instrument Specialist, Medical Center Instrumentation, after 15 consecutive years of service, effective 7/1/75.
- Wightman, Mildred R.**, County Extension Agent for Home Economics, Area Extension Programs, after 15.5 consecutive years of service, effective 12/31/74 (eligible for supplemental retirement 1/1/78)

ADMINISTRATION ACTION

New Appointments - Lexington Campus

- Christianson, Eric Howard, Assistant Professor, without tenure, Department of History, College of Arts & Sciences, 8/1/75 through 5/31/76. (Rank and salary are contingent upon completion of the Ph. D. requirements by 8/1/75 or rank will be Instructor.)
- Coupal, John J., Clinical Instructor (voluntary), Department of Radiation Medicine, College of Medicine, 6/1/75 through 6/30/76.
- Dickey, Carolyn Sue, Clinical Instructor (voluntary), Department of Radiologic Technology, College of Allied Health Professions, 4/17/75 through 6/30/76.
- Farrell, David T., Librarian IV, Cataloging Department, Library, 7/1/75 through 6/30/76.
- Fowler, Larry, Clinical Instructor (voluntary), Department of Community Health, College of Allied Health Professions, 4/31/75 through 6/30/76.
- Fox, Joseph, Adjunct Assistant Professor, Department of Special Education, College of Education, 7/1/75 through 6/30/78.
- Friedman, Ellen G., Assistant Professor, without tenure, Department of History, College of Arts & Sciences, 8/1/75 through 5/31/76. (Rank is contingent upon completion of the Ph. D. requirements by 8/1/75, or rank will be Instructor.)
- Hollingsworth, D. Vertrees, Assistant Clinical Professor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 4/17/75 through 6/30/76.
- King, Darryl W., Clinical Instructor (voluntary), Department of Radiologic Technology, College of Allied Health Professions, 4/17/75 through 6/30/76.
- Kirkpatrick, George L., Clinical Instructor (voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 5/30/75 through 6/30/76.
- McCormick, Frances Jo, Clinical Instructor (voluntary), Department of Radiologic Technology, College of Allied Health Professions, 4/17/75 through 6/30/76.
- Maher, Betty, Clinical Instructor (voluntary), Department of Allied Health Education & Research, College of Allied Health Professions, 4/30/75 through 6/30/76.
- Mawhinney, Ellen E., Librarian IV, College of Law Library, 6/1/75 through 6/30/76.
- Miller, Robert I., Assistant Clinical Professor (voluntary), Department of Diagnostic Radiology, College of Medicine, 5/1/75 through 6/30/76.

- Morwessel, Donald C., Clinical Instructor (voluntary), College of Pharmacy, 4/1/75 through 6/30/76.
- Newland, Phyllis J., Clinical Instructor (voluntary), Department of Medical Technology, College of Allied Health Professions, 7/1/75 through 6/30/76.
- Nordquist, Niles Timothy, Instructor of Landscape Architecture, Department of Horticulture, College of Agriculture, retroactive 4/21/75 through 6/30/76.
- Patterson, Natalie, Adjunct Instructor, Department of Special Education, College of Education, 7/1/75 through 6/30/78.
- Prats, Armando J., Assistant Professor, Department of English, College of Arts & Sciences, 8/1/75 through 5/31/76. (Contingent upon completion of the Ph. D. requirements by September 1, 1975 or rank will be Instructor.)
- Spalding, Wanda, Clinical Instructor (voluntary), Department of Radiologic Technology, College of Allied Health Professions, 4/17/75 through 6/30/76.
- Stewart, Anne, Assistant Professor, Department of Curriculum & Instruction, College of Education, 8/1/75 through 5/31/77.
- Taulbee, Arnold L., Assistant Clinical Professor (voluntary), Department of Allied Health Education and Research, 5/30/75 through 6/30/76.
- Williams, Thomas W., Assistant Clinical Professor (voluntary), Department of Allied Health Education and Research, College of Allied Health Professions, 5/30/75 through 6/30/76.
- Yang, Sung Chul, Assistant Professor, University of Kentucky Center at Fort Knox, 8/1/75 through 5/31/76.

New Appointments - Community College System

Ashland Community College

Christian, Naomi S., Instructor, 5/1/75 through 6/30/75.

Elizabethtown Community College

Hopkins, Richard J., Instructor, 8/1/75 through 5/31/76.

Lanz, James R., Instructor, 8/1/75 through 5/31/76.

Maples, James A., Instructor, 8/1/75 through 5/31/76.

Hazard Community College

Hays, William Scott, Instructor, 7/1/75 through 6/30/76.

Lexington Technical Institute

Bradley, Robert L., Counselor-Instructor, 7/1/75 through 6/30/76.

Lallman, Alyce Ann, Assistant Professor, 7/1/75 through 5/31/76.

Stice, Patsy D., Assistant Professor, 8/1/75 through 5/31/76.

Administrative Appointments

- Gibson, James L., Interim Chairman, Department of Business Administration, College of Business & Economics, effective 7/1/75.
- Huff, Eugene M., Acting Chairman, Department of Health, Physical Education and Recreation, College of Education, 7/1/75 through 6/30/76.
- KimmeY, Fred M., Associate Director of the Center, University of Kentucky Center at Fort Knox, effective 7/1/75.
- Mueller, Theodore, Acting Chairman, French Department, College of Arts & Sciences, 7/1/75 through 6/30/76.
- Willis, Paul, Acting Dean, College of Library Science, effective 7/1/75.

Non-Academic Appointments

- Fang, Cheng-Shen, Research Associate, Department of Chemical Engineering, College of Engineering, retroactive 5/15/75.

Promotions (without tenure) - Lexington Campus

- Flanagan, David A., Instructor (part-time) to Assistant Professor (part-time), Department of Pedodontics, College of Dentistry, 7/1/75 through 6/30/76.
- Labanowich, Stan, Instructor to Assistant Professor, Department of Health, Physical Education and Recreation, College of Education, 8/1/75 through 5/31/76.
- McConnell, Anne, from Instructor, School Librarianship* to Assistant Professor, School Librarianship*, College of Library Science, 8/1/75 through 5/31/76.
- McIllwain, Laura Garland, Librarian IV to Librarian III, Medical Center Library, Tobacco and Health Information Services, 7/1/75 through 6/30/76.
- Macaluso, Theodore, Instructor to Assistant Professor, Department of Political Science, College of Arts & Sciences, 5/1/75 through 5/31/76.
- Mersack, Ira P., Clinical Instructor (part-time) to Assistant Clinical Professor (part-time), Department of Medicine, College of Medicine, 7/1/75 through 6/30/76.
- O'Neill, Suzanne F., Librarian IV to Librarian III, Medical Center Library, 7/1/75 through 6/30/76.
- Plummer, Harry, Instructor, (part-time), to Assistant Professor (part-time), Department of Prosthodontics, College of Dentistry, 7/1/75 through 6/30/76.
- Re, Gerald J., Instructor (part-time) to Assistant Professor (part-time), Department of Restorative Dentistry, College of Dentistry, 7/1/75 through 6/30/76.

*Special Title Series

- Lieboff, Richard A., Research Associate, to Assistant Professor, Department of Human Development & Family Relations, effective 6/1/75. College of Home Economics.
- Reid, Stephen L., Instructor (part-time) to Assistant Professor (part-time), Department of Oral Diagnosis and Oral Medicine, College of Dentistry, 7/1/75 through 6/30/76.
- Tharp, Kenneth L., Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), Department of Prosthodontics, College of Dentistry, 7/1/75 through 6/30/76.
- Thompson, John A., Jr., Clinical Instructor (voluntary), to Assistant Clinical Professor (voluntary), Department of Prosthodontics, College of Dentistry, 7/1/75 through 6/30/76.

Promotions (without tenure) - Community College System

Elizabethtown Community College

- Arnette, Woodrow H., Instructor to Assistant Professor, 7/1/75 through 6/30/76.
- Fugate, Denver, Instructor to Assistant Professor, 7/1/75 through 6/30/76.
- Heimgartner, Phyllis Hamilton, Instructor to Assistant Professor, 7/1/75 through 6/30/76.
- Newton, Anne Gilchrist, Instructor to Assistant Professor, 7/1/75 through 6/30/76.
- Shultz, Vance O., Instructor to Assistant Professor, 7/1/75 through 6/30/76.
- Wade, Nadine Elizabeth, Instructor to Assistant Professor, 7/1/75 through 6/30/76.

Jefferson Community College

- Williams, Bernard F., Jr., Instructor to Assistant Professor, 7/1/75 through 6/30/76.

Paducah Community College

- Kauffmann, Ronald E., Instructor to Assistant Professor, 7/1/75 through 6/30/76.

Southeast Community College

- Ayers, William Bruce, Instructor to Assistant Professor, 7/1/75 through 6/30/76. (Contingent upon renewal of the Student Special Services program grant.)
- Goode, James Barry, Instructor to Assistant Professor, 7/1/75 through 6/30/76. (Contingent upon renewal of the Division of Student Assistance grant.)
- Patterson, Harold, Instructor to Assistant Professor, 7/1/75 through 6/30/76. (Contingent upon renewal of Student Special Services program grant.)

Reappointments - Lexington Campus

College of Allied Health Professions

- Ballard, A. Kent, Clinical Instructor (voluntary), Department of Community Health, 7/1/75 through 6/30/76.

- Barrett, Sister Julia Raymond, Clinical Instructor (voluntary), Department of Clinical Nutrition, 7/1/75 through 6/30/76.
- Bridges, Robert, Clinical Instructor (voluntary), Department of Respiratory Therapy & Lexington Technical Institute, 7/1/75 through 6/30/76.
- Bryant, Jane, Assistant Professor (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Drake, Joseph, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Hays, Linda, Assistant Clinical Professor (voluntary), Department of Community Health, 7/1/75 through 6/30/76.
- Magato, Richard, Clinical Instructor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Mason, Charles F., Clinical Instructor (voluntary), Department of Community Health, 7/1/75 through 6/30/76.
- Parker, Joanne, Assistant Clinical Professor (voluntary), Department of Community Health, 7/1/75 through 6/30/76.
- Pugh, Bill, Clinical Instructor (voluntary), Department of Respiratory Therapy, 7/1/75 through 6/30/76.
- Robertson, Denver, Assistant Professor* (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Shackelford, Ellen, Assistant Clinical Professor (voluntary), Department of Medical Technology, 7/1/75 through 6/30/76.
- Stapp, Irvine, Assistant Professor* (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Stoekinger, Thomas, Assistant Clinical Professor (voluntary), Department of Medical Technology, 7/1/75 through 6/30/76.
- Wells, Joberta, Clinical Instructor (voluntary), Department of Medical Technology, 7/1/75 through 6/30/76.
- Canton, Phil, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Daugherty, Bill, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Hayden, Joseph, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Long, Penny, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Martin, Glover, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Moisan, John, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Rhodes, Betty, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.
- Werner, Joan, Clinical Instructor, Department of Physical Therapy, 7/1/75 through 6/30/76.

*Special Title Series

- Bell, Martha J., Clinical Instructor (voluntary), Department of Medical Technology, 7/1/75 through 6/30/76.
- Campbell, Phyllis E., Clinical Instructor (voluntary), Department of Medical Technology, 7/1/75 through 6/30/76.
- Flanary, Phillip G., Clinical Instructor, Department of Medical Technology, 7/1/75 through 6/30/76. (Voluntary Faculty)
- Morgan, Beth Ann, Clinical Instructor, Department of Medical Technology, 7/1/75 through 6/30/76. (Voluntary Faculty)
- Jobe, Mildred, Instructor*, Department of Medical Technology, (part-time) 7/1/75 through 6/30/76.
- Lloyd, Brenda, Instructor*, (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Mann, Martha, Instructor*, Department of Medical Technology, (part-time), 7/1/75 through 6/30/76.
- Mulberry, Betty, Instructor* (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Overman, Sue, Instructor* (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Sims, Priscilla Anne, Instructor*, (part-time), Department of Medical Technology, 7/1/75 through 6/30/76.
- Argo, Barbara, Clinical Instructor, (voluntary), Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Collins, Barry R., Clinical Instructor, (voluntary), Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Fassas, Tarcy, Clinical Instructor (voluntary), Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Perkins, Judith, Clinical Instructor (voluntary), Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Vaughan, Kathryn Ann, Clinical Instructor (voluntary), Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Wilcox, David G., Clinical Instructor (voluntary). Department of Radiologic Technology and Lexington Technical Institute, 7/1/75 through 6/30/76.
- Bailey, Florence G., Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Brinly, Nancy Lee, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Cromwell, Frances, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Hayhurst, Robert, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.

*Special Title Series

- Lowenthal, Stuart, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Scruggs, Samuel, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.
- Yoakum, Elizabeth, Assistant Clinical Professor (voluntary), Department of Physical Therapy, 7/1/75 through 6/30/76.

College of Arts & Sciences

- Adams, David, Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.
- Bixby, Robert, Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/78.
- Bladen, Wilford A., Assistant Professor, Department of Geography, 8/1/75 through 5/31/77.
- Bray, Robert A., Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Burch, Roger B., Assistant Professor, Department of Theatre Arts, 8/1/75 through 5/31/76.
- Carey, Richard W., Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.
- Cavanagh, Patrick, Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Christopher, John E., Assistant Professor, Department of Physics and Astronomy, 8/1/75 through 5/31/76.
- Costich, Julie, Assistant Professor, Department of French Languages, 8/1/75 through 5/31/76.
- Crandall, Marjorie, Assistant Professor, School of Biological Sciences, 8/1/75 through 5/31/76.
- Ferry, Steven, Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/78.
- Hafeez, Mohammad, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Hakim, Raziq, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Hitchins, Anthony, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Keller, George R., Assistant Professor, Department of Geology, 8/1/75 through 5/31/77.
- Kerlin, Edward, Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.
- Kotlow, Daniel B., Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.

- Leichner, Peter K., Assistant Professor, Department of Physics and Astronomy, 8/1/75 through 5/31/76.
- Lesnaw, Judith, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Martorano, Suzanne C., Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Massey, Frank, Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.
- Melnick, Joseph, Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Middlebrook, David, Assistant Professor, Department of Art, 8/1/75 through 5/31/76.
- Nietzel, Michael T., Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Phillips, Jane, Assistant Professor, Department of Classical Languages and Literatures, 8/1/75 through 5/31/76.
- Prior, David, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Rowland, Daniel Bruce, Instructor, Department of History, 8/1/75 through 5/31/76.
- Speight, Jerry, Visiting Assistant Professor, Art Department, (also Department of Curriculum & Instruction, College of Education), 8/1/75 through 5/31/76.
- Stanton, Edward F., Assistant Professor, Department of Spanish & Italian Languages & Literatures, 8/1/75 through 5/31/76.
- Uglem, Gary, Assistant Professor, Department of Biological Sciences, 8/1/75 through 5/31/76.
- Winett, Richard A., Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.
- Willingham, William F., Assistant Professor, Department of History, 8/1/75 through 5/31/76.
- Yandell, Truman B., Jr., Assistant Professor, Department of Psychology, 8/1/75 through 5/31/76.

College of Business & Economics

- Alexander, Judith A., Visiting Assistant Professor, Department of Economics, 1/1/76 through 6/30/76.

College of Dentistry

- Boeh, Daniel G., Assistant Professor (part-time), Department of Periodontics, 7/1/75 through 6/30/76.
- Bowman, Larry Richard, Clinical Instructor (voluntary), Department of Community Dentistry, 6/1/75 through 6/30/76.

- Coltharp, Keith, Assistant Professor (part-time), Department of Orthodontics, 7/1/75 through 6/30/76.
- Daniel, Harold T., Clinical Instructor (voluntary), Department of Oral Surgery, 7/1/75 through 6/30/76.
- Goes, Robert L., Assistant Clinical Professor (voluntary), Department of Oral Surgery, 7/1/75 through 6/30/76.
- Goodman, Robert I., Instructor (part-time), Department of Restorative Dentistry, 7/1/75 through 6/30/76.
- Knight, Judson, Assistant Professor (part-time), Department of Orthodontics, 7/1/75 through 6/30/76.
- Lewis, Ronald E., Clinical Instructor (voluntary), Department of Community Dentistry, 6/1/75 through 6/30/76.
- Moore, Tandy, Clinical Instructor (voluntary), Department of Community Dentistry, 6/1/75 through 6/30/76.
- Reynolds, M. R., Clinical Instructor (voluntary), Department of Community Dentistry, 6/1/75 through 6/30/76.
- Schneider, Terry, Assistant Professor (part-time), Department of Orthodontics, 7/1/75 through 6/30/76.
- Stigars, Winston, Assistant Clinical Professor (voluntary), Department of Restorative Dentistry, 7/1/75 through 6/30/76.
- Winchester, Ralph D., Clinical Instructor (voluntary), Department of Community Dentistry, 6/1/75 through 6/30/76.
- Ballou, Orville, Clinical Instructor, (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Barr, Vincent, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Cundiff, Millard, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Davis, George, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Gearhart, Gerald, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Gearhart, William, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Gilbert, Hugh, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Hodge, Joseph, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Hopkins, Jerome, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Houze, Richard, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.
- Isaacs, John, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Littleton, Willis, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Lowe, John, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Marx, Gerald, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Meade, Steve, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Moore, John, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Morgan, Ballard, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

O'Hearn, Thomas J., Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Rohrer, Albert, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Rudolph, Robert, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Salisbury, Jack, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Shaver, Jacob, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Shepherd, William, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Sutterlin, J. D., Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Sword, Charles, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Watts, Harry, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Webb, Paul, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Wheeler, Arlis W., Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Wilkerson, Harold, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Wilson, Benny, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

Young, David, Clinical Instructor (voluntary), Department of Community Dentistry, 7/1/75 through 6/30/76.

College of Education

Klug, Dennis, Assistant Professor, Department of Curriculum & Instruction, 8/1/75 through 5/31/76.

College of Engineering

- Barsotti, Matthew F., Lecturer, (part-time), Department of Mechanical Engineering, 8/15/75 through 5/15/76.
- Brock, Louis Milton, Assistant Professor, Department of Engineering Mechanics, 8/1/75 through 5/31/77.
- Kuhler, Ronald J., Assistant Professor, Department of Electrical Engineering, 8/1/75 through 5/31/76.
- Paul, Clayton R., Assistant Professor, Department of Electrical Engineering, 8/1/75 through 5/31/76.
- Perry, Kenneth L., Lecturer, (part-time), Department of Mechanical Engineering, 8/15/75 through 5/15/76.

College of Home Economics

- Thornburg, Kathy, Assistant Professor, Department of Human Development & Family Relations, 8/1/75 through 5/31/76.

College of Library Science

- Cveljo, Katherine, Assistant Professor, 8/1/75 through 5/31/76.
- Rogers, JoAnn V., Assistant Professor, 8/1/75 through 5/31/76.
- Sodt, James D., Assistant Professor & Assistant Dean, 7/1/75 through 6/30/76.
- Williams, Charles B., Assistant Professor, 8/1/75 through 5/31/76.

Library

- Wiley, Theresa Keltly, Librarian IV (temporary), IMMR Library, 7/1/75 thru 6/30/76.
- Channing, Rhoda, Librarian III, Business Library, 7/1/75 through 6/30/76.
- Baldini, Bernadette, Librarian IV, Medical Center Library, 7/1/75 through 6/30/76.
- Gibson, Gretchen, Librarian IV, Medical Center Library, 7/1/75 through 6/30/76.
- Stith, Janet A., Librarian III, Medical Center Library, 7/1/75 through 6/30/76.

College of Medicine

- Birge, Barbara A., Clinical Instructor (part-time), Department of Physiology & Biophysics, 7/1/75 through 6/30/76.
- Barron, Michael P., Clinical Instructor (part-time), Department of Medicine, 7/1/75 through 6/30/76.
- Coleman, Mary S., Clinical Instructor (part-time), Department of Biochemistry, 7/1/75 through 6/30/76.
- Dominic, Jerome A., Clinical Instructor, (part-time), Department of Pharmacology, 7/1/75 through 6/30/76.
- Garden, John W., Assistant Clinical Professor (part-time), Department of Ophthalmology, 7/1/75 through 6/30/76.
- Gerson, Kenneth L., Assistant Clinical Professor (part-time), Department of Pediatrics, 7/1/75 through 6/30/76.

Kotchen, Jane M., Assistant Professor* (part-time), Department of Community Medicine, 7/1/75 through 6/30/76.
Nunnelley, Preston, Clinical Instructor (part-time), Department of Obstetrics & Gynecology, 7/1/75 through 6/30/76.
Offutt, William N., Assistant Clinical Professor (part-time), Department of Ophthalmology, 7/1/75 through 6/30/76.
Skaggs, Joseph W., Assistant Professor (Adjunct), Department of Community Medicine, 7/1/75 through 6/30/76.
Winter, Clara L., Assistant Clinical Professor (part-time), Department of Anesthesiology, 7/1/75 through 6/30/76.
Wolf, Betty I., Assistant Clinical Professor (part-time), Department of Pediatrics, 7/1/75 through 6/30/76.
Zeok, Suzanne S., Assistant Clinical Professor (part-time), Department of Anesthesiology, 7/1/75 through 6/30/76.

College of Nursing

Black, Agnes, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Castle, Annette, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Combs, Phyllis, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Dickson, Jan, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Dozier, Suzanne, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Hebbeler, Evangeline, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Hynson, Nancy, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Jevans, Glenda, Clinical Instructor (voluntary) 7/1/75 through 6/30/76.
Keating, Jean, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Lillard, Callista J., Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Mulqueen, Col. Mary, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Tarkington, Evelyn, Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.

College of Pharmacy

Arnold, Russell, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Baumgartner, R. Paul, Jr., Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.
Brown, Bennett E., Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Chambers, Christopher Eugene, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Clarkson, Thomas R., Assistant Clinical Professor (voluntary), 7/1/75 through 6/30/76.

*Special Title Series

Kaelin, James, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Mason, Thomas C., Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Patrick, Myron, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.
Schraffenberger, Donald W., Clinical Instructor (voluntary), 7/1/75 through
6/30/76.
Simpson, Thomas R., Assistant Professor*, 7/1/75 through 6/30/76.
Sutton, Ronald Lynn, Clinical Instructor (voluntary), 7/1/75 through 6/30/76.

College of Social Professions

Bell, Joanne Irene, Assistant Professor*, 8/1/75 through 5/31/76.
Hormann, Charles E., Assistant Professor*, 8/1/75 through 5/31/76.
Johnston, Denzel C., Instructor, Department of Social Work, 8/1/75 through
5/31/76.
Kim, Paul K-H, Assistant Professor*, Department of Social Work, 8/1/75
through 5/31/76.

Reappointments - Community College System

Elizabethtown Community College

Helm, Arnold D., Instructor, 7/1/75 through 6/30/76.
Lucas, Patricia E., Instructor, 7/1/75 through 6/30/76. (Contingent upon
renewal of PREP funds.)
Rarick, Marilyn B., Instructor, 7/1/75 through 6/30/76. (Contingent upon
renewal of PREP funds.)
Streible, Ronnie David, Assistant Professor, 7/1/75 through 6/30/76. (Contingent
upon renewal of PREP funds.)
Titus, Carol E., Instructor, 7/1/75 through 6/30/76. (Contingent upon
renewal of PREP funds.)
Tori, Dorothy F., Instructor, 7/1/75 through 6/30/76. (Contingent upon
renewal of PREP funds.)

Hazard Community College

Wisnicky, Walter R., Instructor, 7/1/75 through 6/30/76.

Henderson Community College

Evans, Nancy H., Instructor, 7/1/75 through 6/30/76.

Hopkinsville Community College

Marshall, Fred Edward III. Instructor, 7/1/75 through 6/30/76. (Contingent
upon renewal of funds through the National Institute of Mental Health.)

*Special Title Series

Jefferson Community College

Burnett, Alta M., Assistant Professor, 7/1/75 through 6/30/76.

Lexington Technical Institute

Hellebusch, Elizabeth S., Assistant Professor, 7/1/75 through 6/30/76.

Peebles, John Neal, Instructor, 7/1/75 through 6/30/76.

Paducah Community College

Wallace, Patricia, Instructor, 7/1/75 through 6/30/76.

Changes

Latzer, Barry, from Visiting Instructor to Visiting Assistant Professor, (part-time), Department of Political Science, College of Arts & Sciences, 8/1/75 through 5/31/76.

Smith, Mary N., from Assistant Professor to Assistant Professor (Adjunct Series), Department of Psychiatry, College of Medicine, 7/1/75 through 6/30/76.

Leaves of Absence

Adams, David R., Assistant Professor, (without tenure), Department of Mathematics, College of Arts & Sciences, Leave without pay, Academic year 1975-76.

Ali, Mukhtar M., Associate Professor, (with tenure), Department of Economics, College of Business & Economics, Sabbatical leave, half-salary, Academic year 1975-76.

Babbs, David G., Assistant Professor, (without tenure). Community College System, Henderson Community College, Sabbatical leave with half-salary for the 1975-76 academic year. This one year period (7/1/75 through 6/30/76) will not count toward tenure.

Barbour, Roger W., Professor (with tenure), School of Biological Sciences, College of Arts & Sciences, Sabbatical leave, full-salary, 1/1/76 through 6/30/76.

del Castillo, Modesto, Associate Professor, (with tenure), Elizabethtown Community College, Community College System, Sabbatical leave, full-salary, 7/1/75 through 12/31/75. Sabbatical leave with half salary for the academic year 1975-76 has previously been reported to the Board and approved. This action is to change his leave to one semester at full pay for the period 7/1/75 through 12/31/75.

- Coyle, Michael, Associate Professor, (with tenure), Elizabethtown Community College, Community College System, Sabbatical leave, full-salary, 7/1/75 through 12/31/75. Sabbatical leave with half salary for the academic year 1975-76 has previously been reported to the Board and approved. This action is to change Mr. Coyle's leave to one semester at full pay for the period 7/1/75 through 12/31/75.
- Crowell, Elizabeth, Assistant Professor*, (without tenure), Department of Social Work, College of Social Professions, Leave without pay, Academic year 1975-76.
- Donovan, Josephine, Assistant Professor, (without tenure), Undergraduate Studies - Honors Program, leave without pay, 1975-76 academic year.
- Fortune, William H., Associate Professor, (with tenure), College of Law, leave without pay, academic year 1975-76.
- Fujii, Teruo, Assistant Professor, (without tenure), School of Communications - Telecommunications, leave without pay, academic year 1975-76.
- Gibbons, Daniel, Assistant Professor, (without tenure), Art Department, College of Arts & Sciences, leave without pay, 7/1/75 through 6/30/76.
- Hamann, Marilyn, Assistant Professor, (without tenure) Art Department, College of Arts & Sciences, leave without pay, 7/1/75 through 6/30/76. (This period will not count in the Probationary Period, change from 6/80 to 6/81.)
- Haywood, Charles F., Professor (with tenure), College of Business & Economics, sabbatical leave, half-salary, 1975-76 academic year.
- Hill, Martha L., Associate Professor, (with tenure), Elizabethtown Community College, Community College System, leave without pay, 7/1/75 through 6/30/76.
- Kemper, John, Associate Professor, (with tenure), Lexington Technical Institute, Community College System, leave without pay, 7/1/75 through 6/30/76.
- Kubota, Kenneth, Associate Professor, (with tenure), Department of Mathematics, College of Arts & Sciences, leave without pay, academic year 1975-76.
- Maxson, Mary E., Librarian II, (with tenure), Medical Center Library, maternity leave with pay, 4/24/75 through 5/23/75.
- Middlebrook, David, Assistant Professor, (without tenure), Art Department, College of Arts & Sciences, leave without pay, academic year 1975-76.
- Noffsinger, Anne L., Associate Professor, (with tenure), Lexington Technical Institute, Community College System, sabbatical leave with half-salary, academic year 1975-76.
- Peaslee, Doyle E., Professor, (with tenure), Department of Agronomy, College of Agriculture, sabbatical leave, full-salary, 1/1/76 through 6/30/76.
- Phillips, Jane, Assistant Professor, (without tenure), Department of Classical Languages & Literatures, leave without pay, academic year 1975-76.

*Special Title Series

- Schankula, Henry, Assistant Professor (without tenure), Department of Philosophy, College of Arts & Sciences, special assignment, half-pay, 7/1/75 through 12/31/75. (Special assignment for this period to work in Oxford, England on The Journals of John Locke project. Oxford University Press; considered part of his continuous service to the University of Kentucky.)
- Sedler, Robert, Professor, (with tenure), College of Law, sabbatical leave with full-salary, Fall semester 1975, and leave without pay, Spring semester 1976.
- Simpson, James E., Associate Professor, (with tenure), Department of Mathematics, College of Arts & Sciences, sabbatical leave, full-salary, 7/1/75 through 12/31/75.
- Wets, Roger, Professor, (with tenure), Department of Mathematics, College of Arts & Sciences, leave without pay, 7/1/75 through 12/31/75, and half-sabbatical leave, half-salary, 1/1/76 through 6/30/76. (Previous request for leave 1/1/75 through 6/30/75, approved by Board of Trustees September 1974, is cancelled and superseded by this request.)
- Whiteside, F. W., Professor, (with tenure), College of Law, sabbatical leave, full-salary, Fall semester 1975.

Terminal Reappointments - Lexington Campus

- Boshears, Onva, Jr., Assistant Professor, College of Library Science, 8/1/75 through 5/31/76.
- Epstein, Harry S., Assistant Professor, English Department, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Gostanian, Richard, Assistant Professor, Department of Mathematics, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Henry, Daniel B., Assistant Professor, Department of Mathematics, 8/1/75 through 5/31/76.
- Howell, Thomas S., Assistant Professor of Music (Applied)*, School of Music, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Jacobus, Kenneth A., Assistant Professor, Department of Psychology, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Knipping, Paul, Assistant Professor, Department of Health, Physical Education & Recreation, College of Education, 8/1/75 through 5/31/76.
- Powell, Douglas E., Instructor, Department of Theatre Arts, College of Arts & Sciences, 8/1/75 through 5/31/76.
- Stratton, R. Paul, Assistant Professor, Department of Psychology, College of Arts & Sciences, 8/1/75 through 5/31/76.

*Special Title Series

Sturges, Jack Clifford, Assistant Professor*, College of Social Professions,
8/1/75 through 5/31/76.

Whitlow, S. Scott, Instructor, Department of Journalism, College of Arts &
Sciences, 8/1/75 through 5/31/76.

*Special Title Series

Action: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1975.

PR 3

Members, Executive Committee, Board of Trustees:

RECOMMENDATIONS OF THE PRESIDENT

I. Appointment of Director, Jefferson Community College

Recommendation: That Dr. Ronald J. Horvath be appointed as Director of Jefferson Community College effective not later than August 15, 1975.

Background: Soon after Dr. John Smith was appointed Vice President for Minority Affairs, a search committee was appointed to seek potential candidates for the position of Director of Jefferson Community College. This committee, composed of faculty, students, administrators and an advisory board member screened approximately 90 applications.

Dr. Horvath is presently employed as Acting President, Broome Community College, Binghamton, New York.

II. Authorization to Execute Contracts

Recommendation: That the Chairman of the Board of Trustees be authorized to execute contracts with the President and Vice-Presidents providing for the terms and conditions of their employment.

III. Correction in Minutes of May 6, 1975

Recommendation: that PR 5, Candidates for Degrees-Community College System, in the minutes of the meeting of the Board of Trustees on May 6, 1975 be corrected to reflect the awarding of the following degrees to the persons named below rather than the Associate in Applied Science degree:

Henderson Community College

Billy W. Bell	Associate in Arts
Gary C. Lee	Associate in Arts
John E. Robinson	Associate in Arts
Cathy J. Benson	Associate in Science

Background: Due to an error the above named individuals were recommended for the Associate in Applied Science degree rather than the degrees for which they had made application.

IV. Appointments and/or Other Staff Changes

Recommendation: (1) that approval be given to the appointments and/or other changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The following recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate vice president through the President and have his concurrence.

Under the Governing Regulations the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

BOARD ACTION

Administrative Appointments

Blanton, Jack C, Vice President for Business Affairs & Treasurer, effective no later than September 1, 1975.

Horvath, Ronald J., Assistant Professor in the Community College System and Director of the Jefferson Community College, on or about August 1, 1975.

ADMINISTRATIVE ACTION

Administrative Appointments

Ecton, William W., Acting Dean, College of Business & Economics, effective 7/1/75.

Madden, John L., Associate Dean, College of Business & Economics, 7/1/75 through 6/30/76.

Spruill, M. Lynn, Assistant Dean, Department of Business Administration, College of Business & Economics, 7/1/75 through 6/30/76.

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1975.

Office of the President
June 10, 1975

PR 4

Members, Executive Committee, Board of Trustees:

INDIVIDUAL PROFESSIONAL LIABILITY INSURANCE
FOR STUDENT TEACHING PROGRAM - COLLEGE OF EDUCATION

Recommendation: that the College of Education be authorized to develop plans and procedures to make available an individual professional liability insurance program for the students enrolled in the course of student teaching; and that the appropriate administrator(s) in the College of Education be authorized to receive premiums from students and to transmit such receipts to a designated depository on behalf of the insurer.

Background: Students enrolled in the student teaching programs of the College of Education participate in laboratory/clinical and actual instruction of students in elementary and secondary schools of Lexington and the communities surrounding. If a pupil is injured as a result of a tortious act or if his personal rights are violated by the student teacher, liability could result.

Recent court decisions have expanded the areas under which relief may be granted under jury verdicts and there is a need for the development of an inexpensive program which would be available to students involved in the University programs. The cost would be borne by the individual student teacher who obtains the proposed liability insurance coverage.

Action taken: Approved Disapproved Other

Date: June 20, 1975

Office of the President
June 10, 1975

PR 5

Members, Executive Committee, Board of Trustees:

DOCTORAL PROGRAM IN PHILOSOPHY

Recommendation: that the Board authorize the submission to the Council on Public Higher Education of a Ph. D. program in Philosophy.

Background: A proposal for a Ph. D. program in Philosophy has been approved by the Graduate Faculty and by the University Senate Council. The proposal requires the approval of the Council on Public Higher Education pursuant to its statutory authority to approve all new graduate and professional programs. The Board of Trustees is being asked to authorize the submission of the program to the Council on Public Higher Education, with final action by the Board of Trustees to follow a favorable response by the Council on Public Higher Education.

Action taken: Approved Disapproved Other

Date: June 20, 1975

Office of the President
June 10, 1975

PR6

Members, Executive Committee, Board of Trustees:

1974-75 BUDGET REVISIONS

Recommendation: that the following revisions in the 1974-75 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
A. <u>General Funds</u>			
1. Income Estimates			
Student Fees			
Registration Fees			
Summer Session			
Lexington Campus \$	632,400	\$ 706,200	\$ 73,800
Miscellaneous Fees	881,800	882,300	500
Sales and Services			
Public Service and			
Regulatory	814,000	824,000	10,000
Departmental Sales and			
Services	2,088,000	2,095,500	<u>7,500</u>
			91,800
2. Expenditures			
Academic Affairs			
Summer Session	550,000	622,000	72,000
College of Education			
Vocational Education -			
Special Projects	150,000	151,800	1,800
College of Library Science			
Continuing Education			
Cataloging Workshop	-0-	500	500
College of Agriculture			
Foundation Seed Project	125,300	135,300	10,000
Community College System			
Hopkinsville Community			
Service	11,100	18,600	<u>7,500</u>
			91,800

3. Comments - The proposed expenditure increases for the Summer Session and for the Colleges of Education and Library Science will be supported by increased student fee income. The expenditure increase in the College of Agriculture will be funded by income from increased sales due to an increase in soybean production. The proposed increase for Hopkinsville Community College will be supported by an increase in testing fee income.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	\$ 13,144,000	\$ 13,189,200	\$ 45,200
2. Expenditures			
Academic Affairs			
College of Agriculture			
Plant Pathology			
Cell Permeability	24,100	38,800	14,700
Graduate School			
IBM Faculty Enrichment			
Fund	-0-	24,800	24,800
William B. Sturgill			
Award	-0-	2,000	2,000
Community College System			
Maysville AHES project	-0-	3,700	<u>3,700</u>
			<u>45,200</u>

3. Comments - The proposed additional expenditures will be funded from income restricted to the purposes indicated above.

Action taken: Approved Disapproved Other

Date: June 20, 1975

PR 7

Members, Executive Committee, Board of Trustees:

1975-76 BUDGET REVISIONS

Recommendation: that the following revisions in the 1975-76 budget be authorized and approved.

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
A. <u>General Funds</u>			
1. Income Estimates			
Sales and Services			
Departmental Sales and Services	\$ 2,285,400	\$ 2,298,200	\$ 12,800
2. Expenditures			
Academic Affairs			
College of Arts and Sciences			
Department of Computer Science - Continuing Education	-0-	12,800	12,800
3. Comments - The proposed expenditure increase for the College of Arts and Sciences will be supported by income from the various industries for the services rendered.			

	<u>Approved Budget</u>	<u>Revised Budget</u>	<u>Changed</u>
B. <u>Restricted Funds</u>			
1. Income Estimates	14,606,900	14,647,100	40,200
2. Expenditures			
Community College System			
Hopkinsville - Pennyryle AHES project	-0-	40,200	40,200
3. Comments - The proposed additional expenditures will be funded from income restricted to the purposes indicated above.			

Action Taken: Approved Disapproved Other

Date: June 30, 1975

Office of the President
June 20, 1975

PR 10

Members, Board of Trustees:

APPOINTMENTS TO THE BOARD OF DIRECTORS OF THE EPHRAIM McDOWELL
CANCER RESEARCH AND TREATMENT NETWORK

Recommendation: that (1) Drs. Peter P. Bosomworth and David M. Goldenberg be reappointed to the Board of Directors of the Ephraim McDowell Cancer Research and Treatment Network, effective July 1, 1975, to serve until their successors are appointed and qualified; (2) Dr. D. Kay Clawson and Mr. Robert F. Stephens be appointed to serve until their successors are appointed and qualified; (3) Dr. Ward O. Griffen be reappointed for a three-year term ending June 30, 1978; (4) Mr. Joseph B. Graves, Mrs. Estelle Guttman, Dr. J. B. Holloway, and Dr. William R. Sabes be appointed for three-year terms ending June 30, 1978; (5) Dr. Richard D. Floyd, Mrs. Evangeline Hebbeler, Mr. Ivan Jett, Dr. Harry Kostenbauder, and Dr. Ben F. Roach be appointed for two-year terms ending June 30, 1977; and (6) Mr. Tony Goetz, Dr. David Heydinger, Mr. Daniel S. Tuttle, and Dr. Philip G. Weiler be appointed for one-year terms ending June 30, 1976.

Background: The purpose of the Ephraim McDowell Cancer Research and Treatment Network is to foster programs and support educational and research activities involving the nature and causes of cancer and methods of cancer prevention, diagnosis, detection, and treatment and to disseminate all knowledge acquired. The ultimate purpose of these activities is to reduce the incidence, morbidity, and mortality of cancer in the geographic region served by the Network. The Network was organized and incorporated exclusively for educational and scientific purposes which are limited to participating, in cooperation with the University of Kentucky, in the planning and implementation of a cancer center program, pursuant to an existing grant from the National Cancer Institute, and a cancer control program, pursuant to a grant application to the National Cancer Institute.

The Articles of Incorporation specify that the affairs of the Network shall be managed by a Board of Directors appointed by the President of the University of Kentucky with approval of the Board of Trustees; that Dr. Bosomworth, Dr. Goldenberg, and Dr. Griffen shall serve as the initial Board of Directors until their successors are appointed; that one-third of the Board shall be full-time faculty members of the University of Kentucky to include the Vice President for the Medical Center, the dean of the College of Medicine, and the chairman of the Committee on Cancer of the Medical Center; and that the Fayette County Judge shall also be a member. Qualifications and terms of other members of the Board are specified in the Bylaws of the Network. The provisions of the Articles of Incorporation and the Bylaws have been met in recommending these appointments and reappointments.

Action taken: Approved Disapproved Other

Date: June 20, 1975.

Office of the President
June 10, 1975

FCR 1

Members, Executive Committee, Board of Trustees:

INTERIM FINANCIAL REPORT

Recommendation: that the financial report for the ten months ending April 30, 1975, be accepted.

Background: The April, 1975, report reflects income of \$138,866,656 for the period of the report; the income figure is 88.7% of the annual income estimate of \$156,492,069.

The expenditure and commitments represent a total of \$121,889,294 for this period of ten months, or 77.9% of the expenditure authority of \$156,492,069 approved by the Board.

Action taken: Approved Disapproved Other

Date: June 20, 1975

Schedule 1

University of Kentucky
Balance Sheet
April 30, 1975

With Comparative Figures for 1973-74

	<u>1974-75</u>	<u>1973-74</u>
<u>ASSETS</u>		
Current Funds:		
Unrestricted:		
Cash in bank:		
Depository funds	\$ 197,369	\$ 581,758
Federal funds		151,668
Imprest funds	127,071	446,398
Cash on deposit with State Treasurer:		
General operations	<u>7,217,732</u>	<u>10,992,490</u>
Total cash	<u>7,542,172</u>	<u>12,172,314</u>
Accounts receivable	496,891	266,162
Notes receivable	72,094	72,093
Investments - short term	14,710,075	7,354,258
Inventories	<u>2,914,602</u>	<u>2,735,103</u>
Unrealized income	<u>9,111,324</u>	<u>7,720,618</u>
Total unrestricted	<u>34,847,158</u>	<u>30,320,548</u>
Restricted:		
Cash in bank	3,102,371	809,109
Cash on deposit with State Treasurer - Tobacco Research Trust Fund		
Total cash	<u>2,137,242</u>	<u>4,192,954</u>
Petty cash advances	<u>5,239,613</u>	<u>5,002,063</u>
Travel advances	235,010	216,347
Accounts receivable	199,309	131,465
Investments:	316,827	23,755
Short-term	3,900,000	3,974,073
Other	137,207	69,725
Unrealized income:		
Restricted	2,632,858	2,829,856
Tobacco Research Trust Fund	<u>703,278</u>	<u>791,192</u>
Total restricted	<u>13,364,102</u>	<u>13,038,476</u>

University of Kentucky
Balance Sheet
April 30, 1975
With Comparative Figures for 1973-74

Schedule 1

	<u>1974-75</u>	<u>1973-74</u>
LIABILITIES AND FUND BALANCES		
Current Funds:		
Unrestricted:		
Outstanding encumbrances	\$ 2,673,713	\$ 2,685,186
Due to other funds and affiliated corporations	942,918	774,985
Accrued expenses	453,000	447,000
Advance from state for imprest cash fund	1,300,000	1,300,000
Deferred Income	3,820	
Unencumbered balance, departmental appropriations	24,024,136	20,948,883
Fund balances:		
Allocated:		
Working Capital	5,447,205	4,099,582
Unallocated	<u>2,366</u>	<u>64,912</u>
Total unrestricted	<u>34,847,158</u>	<u>30,320,548</u>
Restricted:		
Undistributed student fees	15,664	61,910
Payroll withholdings	1,054,257	754,344
Other collections and clearing	588,379	555,620
Outstanding encumbrances	516,869	504,171
Due to other funds and affiliated corporations	3,506,089	1,596,509
Advance from sale for imprest cash fund	50,000	50,000
Outstanding check liability	20,379	20,636
Unencumbered balance, departmental appropriations	2,731,664	2,802,271
Unencumbered balance, Tobacco Research Trust Fund appropriation	1,533,944	4,369,132
Fund balances:		
Restricted fund	2,969,842	2,320,868
Tobacco Research Trust Fund - unallocated funds	<u>377,015</u>	<u>3,015</u>
Total restricted	<u>13,364,102</u>	<u>13,038,476</u>

Schedule 1

University of Kentucky
Balance Sheet
April 30, 1975

With Comparative Figures for 1973-74

ASSETS

Hospital and clinics:		
Due from other funds	<u>1974-75</u>	<u>1973-74</u>
Accounts receivable, net of allowance for doubtful accounts of \$ 4,461,674 in 1975 and \$ 3,359,365 in 1974	\$ 3,480,980	\$ 3,971,603
Inventories	1,784,193	2,678,546
Unrealized income	<u>482,660</u>	<u>413,991</u>
	<u>4,175,870</u>	<u>1,535,495</u>
Total hospital and clinics	<u>9,923,703</u>	<u>8,599,635</u>
Housing and dining system:		
Cash in bank	58,778	380,185
Cash on deposit with State Treasurer		<u>191,914</u>
Total cash	<u>58,778</u>	<u>572,099</u>
Accounts receivable	151,558	149,715
Investments - short term	2,895,344	1,648,090
Inventories	796,545	775,188
Prepaid expenses	<u>93,849</u>	
Total housing and dining system	<u>3,996,074</u>	<u>3,145,092</u>
Auxiliary enterprises:		
Cash in bank	17,529	40,121
Cash on deposit with State Treasurer	641,047	542,238
Total cash	<u>658,576</u>	<u>582,359</u>
Inventories	411,141	396,237
Unrealized income	515,948	750,312
Total auxiliary enterprises	<u>1,585,665</u>	<u>1,728,908</u>
TOTAL CURRENT FUNDS	<u>\$ 63,716,702</u>	<u>\$ 56,832,659</u>

University of Kentucky
Balance Sheet
April 30, 1975
With Comparative Figures for 1973-74

Schedule 1

LIABILITIES AND FUND BALANCES

Hospital and clinics:			
Outstanding encumbrances	\$ 487,578	\$ 434,201	
Unexpended balance, departmental appropriations	4,879,894	4,336,571	
Fund balances - allocated:			
Working capital	<u>4,556,231</u>	<u>3,828,863</u>	
Total hospital and clinics	<u>9,923,703</u>	<u>8,599,635</u>	
Housing and dining system:			
Accrued expenses	33,686	64,194	
Accrued wages	130,531	122,220	
Accounts payable	105,155	80,623	
Refundable deposits	24,250		
Due to other funds	810,073	602,391	
Deferred income	623,811	498,839	
Fund balances:			
Funds for renewals and replacements	1,215,032	1,114,390	
Allocated for future operating purposes	<u>1,053,536</u>	<u>661,835</u>	
Total housing and dining system	<u>3,996,074</u>	<u>3,145,092</u>	
Auxiliary enterprises:			
Outstanding encumbrances	170,049	118,297	
Unencumbered balance, departmental appropriations	515,675	148,808	
Due to other funds and affiliated corporations	141,246	730,543	
Fund balances - allocated:			
Working capital	420,970	396,237	
Funds for renewals and replacements	46,306	34,881	
Future operating purposes	<u>291,419</u>	<u>300,142</u>	
Total auxiliary enterprises	<u>1,585,665</u>	<u>1,728,908</u>	
TOTAL CURRENT FUNDS	<u>\$ 63,716,702</u>	<u>\$ 56,832,659</u>	

Schedule 1

University of Kentucky
Balance Sheet

April 30, 1975

With Comparative Figures for 1973-74

ASSETS

Loan Funds:		
Cash	1974-75	1973-74
Notes receivable	\$ 366,839	\$ 262,474
Due from Federal government	8,518,482	7,883,296
Due from United Student Aid loan fund	17,136	15,356
Due from other funds and affiliated corporations	2,000	2,000
	<u>20,940</u>	<u>19,768</u>
	\$	\$
	<u>8,925,397</u>	<u>8,182,894</u>
TOTAL LOAN FUNDS		
Endowment Funds:		
Due from other funds and affiliated corporations	\$	\$
Investments	<u>1,543,494</u>	<u>1,437,933</u>
	\$	\$
	<u>1,543,494</u>	<u>1,442,829</u>
TOTAL ENDOWMENT FUNDS		
Plant Funds:		
Unexpended plant funds:		
Cash on deposit with State Treasurer	\$	\$
Trust and agency	4,140,586	4,572,517
Capital construction funds	20,641,528	19,091,523
Total cash on deposit with State Treasurer	<u>24,782,114</u>	<u>23,664,040</u>
Due from other funds	9,752	98,137
Due from Federal government	504,521	1,206,351
Construction funded	<u>119,785,646</u>	<u>106,573,489</u>
	145,082,033	131,542,017
Total unexpended plant funds		

University of Kentucky
Balance Sheet
April 30, 1975

Schedule I

With Comparative Figures for 1973-74

LIABILITIES AND FUND BALANCES

	<u>1974-75</u>	<u>1973-74</u>
Loan Funds:		
Federal sponsored loan funds:		
Federal government	\$ 7,417,552	\$ 6,886,918
University matching funds	752,656	688,124
Accumulated interest income	511,248	390,914
Total Federal sponsored loan funds	<u>8,681,456</u>	<u>7,965,956</u>
University loan funds:		
University student loan funds	82,650	72,146
University special student loan funds	159,291	142,792
United Student Aid loan fund	2,000	2,000
Total University loan funds	<u>243,941</u>	<u>216,938</u>
TOTAL LOAN FUNDS	<u>\$ 8,925,397</u>	<u>\$ 8,182,894</u>
Endowment Funds:		
Due to other funds and affiliated corporations	\$ 58,322	\$
Fund balances	<u>1,485,172</u>	<u>1,442,829</u>
TOTAL ENDOWMENT FUNDS	<u>\$ 1,543,494</u>	<u>\$ 1,442,829</u>
Plant Funds:		
Unexpended plant funds:		
Outstanding encumbrances	\$ 8,515,078	\$ 8,234,972
Bonds payable	14,604,855	21,810,869
Appropriations - unencumbered balances	16,266,642	15,428,555
Funds allocated for construction/renovation	<u>105,695,458</u>	<u>86,067,621</u>
Total unexpended plant funds	<u>145,082,033</u>	<u>131,542,017</u>

Schedule 1

University of Kentucky
Balance Sheet
April 30, 1975

With Comparative Figures for 1973-74

ASSETS

Retirement of indebtedness funds:		
Cash on deposit with State Treasurer	1974-75	1973-74
Cash on deposit with Trustee	\$ 50,000	\$ 128,058
Investments held by Trustees	845,116	520,083
	<u>46,878,588</u>	<u>47,348,274</u>
Total retirement of indebtedness funds	<u>47,773,704</u>	<u>47,996,415</u>
Invested in plant:		
Land	13,620,718	12,054,184
Buildings	194,440,289	175,031,060
Equipment	<u>53,042,514</u>	<u>48,134,022</u>
Total invested in plant	<u>261,103,521</u>	<u>235,219,266</u>
TOTAL PLANT FUNDS	<u>\$ 453,959,258</u>	<u>\$ 414,757,698</u>
Agency Funds:		
Due from other funds and affiliated corporations	\$ 127,110	\$ 179,050
Investments - short term	<u>3,000</u>	<u>3,000</u>
TOTAL AGENCY FUNDS	<u>\$ 130,110</u>	<u>\$ 182,050</u>

University of Kentucky
Schedule 1
Balance Sheet
April 30, 1975
With Comparative Figures for 1973-74

LIABILITIES AND FUND BALANCES

	<u>1974-75</u>	<u>1973-74</u>
Retirement of indebtedness funds:		
Bonds payable	\$ 33,509,000	\$ 34,408,000
Funds balances	<u>14,264,704</u>	<u>13,588,415</u>
Total retirement of indebtedness funds	<u>47,773,704</u>	<u>47,996,415</u>
Invested in plant:		
Bonds payable	97,667,645	87,082,631
Net investment in plant	<u>163,435,876</u>	<u>148,136,635</u>
Total invested in plant	<u>261,103,521</u>	<u>235,219,266</u>
 TOTAL PLANT FUNDS	 <u>\$ 453,959,258</u>	 <u>\$ 414,757,698</u>
Agency Funds:		
Agency funds balances	<u>\$ 130,110</u>	<u>\$ 182,050</u>
TOTAL AGENCY FUNDS	<u>\$ 130,110</u>	<u>\$ 182,050</u>

University of Kentucky
 Schedule of Changes in Fund Balances-Current Funds
 Ten Months Ended April 30, 1975

Schedule 2

	<u>Unrestricted</u>	<u>Restricted</u>	<u>Tobacco Research Trust Fund</u>	<u>Hospital and Clinics</u>	<u>Housing and Dining System Funds For Future Operating Purposes</u>	<u>Auxiliary Enterprises</u>
Balances, July 1, 1974	\$ 10,896,055	\$ 2,969,842	\$ 977,015	\$ 4,556,231	\$ 622,209	\$ 291,419
Allocations:						
Current operations:						
Original budget	(5,183,100)					
Additional allocations	(265,750)		(600,000)			
Current year operation					431,327	
Adjustments of prior year expenditures	\$ 2,366					
Balances, April 30, 1975	<u>\$ 5,447,205</u>	<u>\$ 2,969,842</u>	<u>\$ 377,015</u>	<u>\$ 4,556,231</u>	<u>\$ 1,053,536</u>	<u>\$ 291,419</u>

Schedule of Changes in Unrestricted Fund and
Hospital Estimated Income
For the Ten Months Ended April 30, 1975

	<u>Original Budget</u>	<u>First Quarter</u>	<u>Second Quarter</u>	<u>Third Quarter</u>	<u>April</u>	<u>Adjusted Balance</u>
Unrestricted Funds:						
Student fees	\$ 15,643,300	\$ 3,412	\$ 58,080	\$ 160,560	\$ (16,500)	\$ 15,848,852
State appropriations	69,711,400	120,800		1,203,099		71,035,299
Federal appropriations	8,271,200		14,000	863,663		9,148,863
County appropriations	1,179,700			170,580		1,350,280
Endowment and trust fund income	158,200					158,200
Investment income	538,600					538,600
Gifts and grants	4,562,500	25,353	112,322	384,456	(139,712)	4,944,919
Sales, services and other income	3,364,000	143,445	41,500	15,526	16,233	3,580,704
Fund balances allocated	5,183,100	165,519	(676,300)		100,231	4,772,550
Total unrestricted	\$108,612,000	\$ 458,529	\$ (450,398)	\$ 2,797,884	\$ 39,748	\$ 111,378,267
Hospital and Clinics:						
State appropriations	\$ 4,684,200			\$ (14,499)		\$ 4,669,701
Hospital income	14,858,000			2,013,412		16,871,412
Fund balances allocated			\$ 676,300			676,300
Total hospital and clinics	\$ 19,542,200		\$ 676,300	\$ 1,998,913		\$ 22,217,413

Schedule of Changes in Unrestricted Fund
and Hospital Expenditure Appropriations
For the Ten Months Ended April 30, 1975

Schedule 4

	<u>Unrestricted</u>	<u>Hospital and Clinics</u>	<u>Total</u>
Original budget	\$ 108,612,000	\$ 19,542,200	\$ 128,154,200
Increases (decreases) as approved by the Board of Trustees:			
July and August, 1974	458,529		458,529
September, 1974	(662,300)	676,300	14,000
October, 1974	120,402		120,402
November, 1974	91,500		91,500
December, 1974	1,505,722		1,505,722
January, 1975	31,499	1,998,913	2,030,412
February, 1975	1,260,663		1,260,663
March, 1975	(39,748)		(39,748)
April, 1975			
Total per Report	<u>\$ 111,378,267</u>	<u>\$ 22,217,413</u>	<u>\$ 133,595,680</u>

University of Kentucky
 Summary of Current Funds Revenues and Appropriated Balances
 Ten Months Ended April 30, 1975
 With Comparative Figures for 1973-74

Fund and Source:	1974-75		1973-74	
	Estimated for the Year	Realized to Date	Estimated for the Year	Realized to Date
Unrestricted:				
Student fees	\$ 15,848,852	\$ 15,891,078	\$ 15,604,800	\$ 15,031,407
Federal appropriations	9,148,863	6,152,385	8,120,934	5,649,831
County appropriations	1,350,280	967,964	1,179,678	893,224
Endowment and trust fund income	158,200	145,965	158,200	124,871
Investment income	538,600	529,885	300,000	283,739
Gifts and grants:				
Affiliated corporations	4,470,619	279,105		
Other	474,300	330,251	4,274,500	412,176
Sales, services and other income	3,580,704	3,030,074	3,354,584	2,898,116
Subtotal	35,570,418	27,326,707	32,992,696	25,293,364
State appropriations	71,035,299	70,167,686	63,833,037	63,811,751
Fund balances appropriated	4,772,550	4,772,550	4,573,400	4,573,400
Total unrestricted	111,378,267	102,266,943	101,399,133	93,678,515
Restricted	7,011,892	4,379,034	5,826,106	2,996,250
Tobacco Research Trust Fund:				
Receipts	3,600,000	2,896,722	3,400,000	2,608,808
Fund balances appropriated	600,000	600,000	3,784,987	3,784,987
Total Tobacco Research Trust Fund	4,200,000	3,496,722	7,184,987	6,393,795
Hospital and Clinics:				
State appropriations	4,669,701	4,678,714	4,700,391	4,722,486
Hospital earned income - net	16,871,412	12,686,529	12,654,700	11,167,110
Fund balances appropriated	676,300	676,300	409,700	409,700
Total Hospital and Clinics	22,217,413	18,041,543	17,764,791	16,299,296
Housing and Dining System	9,101,800	8,615,665	8,742,582	8,016,971
Auxiliary Enterprises	2,582,697	2,066,749	2,589,054	1,838,742
TOTAL CURRENT FUNDS REVENUES AND APPROPRIATED BALANCES	\$156,492,069	\$138,866,656	\$ 143,506,653	\$129,223,569
				90.1

University of Kentucky
 Summary of Current Funds Expenditures
 Ten Months Ended April 30, 1975
 With Comparative Figures for 1973-74

Fund or Function:	1974-75			1973-74		
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
Unrestricted:						
Departments of instruction and research	\$ 42,659,361	\$33,448,977	78.4	\$ 39,706,800	\$31,406,666	79.1
Summer session-1974	827,501	770,368	93.1	439,161	373,045	84.9
Organized activities	1,199,527	870,433	72.6	1,164,795	910,067	78.1
Organized research	9,159,234	7,155,976	78.1	8,468,734	6,201,513	61.4
Libraries	3,194,958	2,667,089	83.5	3,111,924	2,632,675	84.6
Extension and public service	12,653,340	9,823,676	77.6	11,635,648	8,931,917	76.8
Maintenance and operation of plant	8,985,616	6,443,586	71.7	7,499,603	5,866,607	78.2
Renovation, office furniture, etc.	2,309,825	2,309,825	100.0	2,405,565	2,405,565	100.0
General administration	3,764,999	2,979,640	79.1	3,533,027	2,697,022	76.3
Student services	3,150,166	2,447,358	77.7	2,845,720	2,246,857	79.0
Staff benefits	10,428,845	7,744,550	74.3	8,306,800	6,390,207	76.9
General institutional services	5,081,324	3,918,472	77.1	4,751,669	3,555,504	74.8
Service enterprises	198,791	217,634	109.5	152,104	172,640	113.5
Debt service cost	5,946,400	5,252,770	88.3	5,531,100	5,318,779	96.2
Student aid	1,673,618	1,303,777	77.9	1,474,959	1,341,186	90.9
Reserves	144,762			371,524		
Total unrestricted	111,378,267	87,354,131	78.4	101,399,133	80,450,250	79.3

University of Kentucky
 Summary of Current Funds Expenditures
 Ten Months Ended April 30, 1975
 With Comparative Figures for 1973-74

Fund or Function: Restricted:	1974-75			1973-74		
	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date	Appropriation for the Year	Expended or Encumbered to Date	% Expended or Encumbered to Date
Departments of instruction and research	\$ 2,015,827	\$ 957,802	47.5	\$ 1,737,067	\$ 887,234	51.1
Organized activities	300	300	100.0	400	80	20.0
Organized research	351,262	155,322	44.2	216,965	90,733	41.8
Libraries	35,403	19,701	55.6	72,075	35,338	49.0
Extension and public services	471,041	300,573	63.8	674,616	271,906	40.3
General institutional services	417,344	216,736	51.9	253,657	182,007	71.8
Student aid	3,358,995	2,629,794	78.3	2,676,098	1,556,537	58.2
Reserves	361,720			195,228		
Total restricted	7,011,892	4,280,228	61.0	5,826,106	3,023,835	51.9
Tobacco Research Trust Fund	4,200,000	2,666,056	63.5	7,184,987	2,815,855	39.2
Hospital and Clinics	22,217,413	17,337,519	78.0	17,764,791	13,428,220	75.6
Housing and Dining System	9,101,800	8,184,338	89.9	8,742,582	7,749,602	88.6
Auxiliary Enterprises	2,582,697	2,067,022	80.0	2,589,054	1,858,511	71.8
TOTAL CURRENT FUNDS EXPENDITURES	\$ 156,492,069	\$ 121,889,294	77.9	\$ 143,506,653	\$ 109,326,273	76.2

Schedule 7

University of Kentucky
 Schedule of Short Term Investments
 For the Ten Months Ended April 30, 1975

	<u>Maturity Value</u>	<u>Cost</u>
Restricted Current Funds:		
F. I. C. B. Bonds, 9.45%, 5-1-75	\$ 500,000	\$ 500,000
F. I. C. B. Bonds, 9.80%, 6-2-75	100,000	100,000
Federal Home Loan Banks, 7.875%, 8-25-75	200,000	200,000
Federal Home Loan Banks, 7.875%, 8-25-75	200,000	200,000
Federal Home Loan Banks, 8.75%, 2-25-76	100,000	100,000
Federal Home Loan Banks, 9.20%, 2-25-76	100,000	100,000
Federal National Mortgage Association Notes, 10.00%, 6-10-76	400,000	400,000
Federal Home Loan Banks, 7.20%, 2-25-77	100,000	100,000
	<u>1,700,000</u>	<u>1,700,000</u>
Certificates of Deposit:		
First National Bank of Louisville, 9.82%, 5-21-75	100,000	100,000
First Security National Bank & Trust Company, 8.92%, 6-27-75	200,000	200,000
First Security National Bank & Trust Company, 6.15%, 6-27-75	100,000	100,000
Citizens Fidelity Bank & Trust Company, 6.13%, 6-27-75	200,000	200,000
Central Bank & Trust Company, 9.76%, 7-16-75	500,000	500,000
Bank of Commerce and Trust Company, 7.25%, 8-12-75	700,000	700,000
Bank of Commerce and Trust Company, 7.25%, 2-12-76	200,000	200,000
Central Bank & Trust Company, 7.30%, 4-26-76	200,000	200,000
	<u>2,200,000</u>	<u>2,200,000</u>
Total Current Restricted Funds	<u><u>\$3,900,000</u></u>	
Agency Funds—Certificates of Deposit:		
First Security National Bank & Trust Company, 5.5%, 6-2-75	\$ 3,000	<u><u>\$ 3,000</u></u>

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Ten Months Ended April 30, 1975

Source of Funds:	<u>Estimated</u>	<u>Realized</u>	<u>% Realized</u>
Unrestricted current funds	\$ 5,946,400	\$ 5,252,770	88.3
Housing and dining system funds	1,655,249	1,655,249	100.0
Auxiliary enterprises current funds	<u>175,090</u>	<u>123,142</u>	<u>70.3</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,776,739</u>	<u>\$ 7,031,161</u>	<u>90.4</u>

Debt Service:	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Unrestricted funds debt:			
Consolidated Educational Buildings - Series A	\$ 506,202	\$ 505,753	99.9
Consolidated Educational Buildings - Series B	467,705	467,253	99.9
Consolidated Educational Buildings - Series C	237,001	236,937	100.0
Consolidated Educational Buildings - Series D	2,456,539	2,455,617	100.0
Consolidated Educational Buildings - Series E	271,512	271,370	99.9
Consolidated Educational Buildings - Series F	575,190		
Community Colleges Educational Buildings - Series A	846,180	774,095	91.5
Community Colleges Educational Buildings - Series B	196,700	178,600	90.8
Community Colleges Educational Buildings - Series C	<u>389,371</u>	<u>363,145</u>	<u>93.3</u>
Subtotal	<u>5,946,400</u>	<u>5,252,770</u>	<u>88.3</u>
Housing and Dining System Revenue Bonds - Series A-I	<u>1,655,249</u>	<u>1,655,249</u>	<u>100.0</u>

Schedule 8

University of Kentucky
 Schedule of Retirement of Indebtedness Funds
 For the Ten Months Ended April 30, 1975

	<u>Appropriated</u>	<u>Expended</u>	<u>% Expended</u>
Auxiliary Enterprises:			
Chi Omega	\$ 2,991	\$ 2,991	100.0
Alpha Delta Pi	14,057	11,104	79.0
Dorms A-F	40,680	39,478	97.0
Dorms G-L	50,440	15,671	31.1
Phi Delta Theta	7,830	2,408	30.8
Delta Delta Delta	5,538	5,530	99.9
Alpha Tau Omega	7,943	2,464	31.0
Housing Bonds of 1960	13,589	13,563	99.8
Student Housing Bonds of 1964	<u>32,022</u>	<u>29,933</u>	<u>93.5</u>
Subtotal	<u>175,090</u>	<u>123,142</u>	<u>70.3</u>
TOTAL RETIREMENT OF INDEBTEDNESS FUNDS	<u>\$ 7,776,739</u>	<u>\$ 7,031,161</u>	<u>90.4</u>

University of Kentucky
Schedule of Sinking Funds
April 30, 1975

Schedule 9

	<u>Total</u>	<u>Bonds</u>	<u>Fund</u>
	<u>Sinking Fund</u>	<u>Payable</u>	<u>Balances</u>
<u>Bond Issues with Reserves Fully Funded:</u>			
Housing and Dining System Revenue Bond and Interest Sinking Fund	\$ 1,675,778		\$ 1,675,778
Housing and Dining System Repair and Maintenance Reserve	847,637		847,637
<u>Consolidated Educational Buildings Project and Interest Sinking Fund</u>			
Series A	1,084,819		1,084,819
Series B	1,048,275		1,048,275
Series C	378,296		378,296
Series D	3,976,717		3,976,717
Series E	698,503		698,503
Student Housing Bond and Interest Sinking Fund of 1964	81,182		81,182
Student Housing Building Maintenance and Equipment Reserve of 1964	56,819		56,819
<u>Community Colleges Educational Buildings Project Bonds and Interest Sinking Fund:</u>			
Series A	1,313,067		1,313,067
Series B	362,146		362,146
Series C	613,949		613,949
Student Housing Bond and Interest Sinking Fund of 1960	56,306		56,306
Student Housing Building Maintenance and Equipment Reserve of 1960	36,731		36,731
Dormitory Revenue Bonds of 1956	50,000		50,000
Total Bond Issues with Reserves Fully Funded	<u>12,280,225</u>		<u>12,280,225</u>
<u>Bonds Fully Funded by Escrow Accounts:</u>			
Bowman, Keeneland, Cooperstown, Blazer and Student Union Future Bond and Interest Fund	<u>3,293,369</u>	\$ <u>2,509,000</u>	<u>781,369</u>
<u>Consolidated Educational Series D Refunding Issue:</u>			
Bonds Payable	31,000,000	31,000,000	
Premium on Sale of Bonds	839,150		839,150
Amortization of Discount on Investment	620,625		620,625
Investment Income	2,599,193		2,599,193
Interest Payment	(2,855,858)		(2,855,858)
Total Series D Refunding Issue	<u>32,203,110</u>	<u>31,000,000</u>	<u>1,203,110</u>
Total Sinking Funds	<u>\$ 47,773,704</u>	<u>\$ 33,509,000</u>	<u>\$ 14,264,704</u>

University of Kentucky
 Summary of Other Funds Transactions
 For the Ten Months Ended April 30, 1975

Schedule 10

	<u>Balances</u> <u>July 1, 1974</u>	<u>Receipts</u>	<u>Deductions</u>	<u>Balances</u> <u>April 30, 1975</u>
Loan Funds:				
Federal sponsored loan funds:				
Federal government	\$ 6,758,582	\$ 658,970		\$ 7,417,552
University of Kentucky	678,008	74,648		752,656
Accumulated interest income	471,152	40,096		511,248
University loan funds:				
University student loan funds	75,825	6,825		82,650
University special student loan funds	155,889	3,402		159,291
United Student Aid loan fund	<u>2,000</u>			<u>2,000</u>
TOTAL LOAN FUNDS	<u>\$ 8,141,456</u>	<u>\$ 783,941</u>		<u>\$ 8,925,397</u>
Endowment Funds	<u>\$ 1,489,360</u>	<u>\$ 112,442</u>	<u>\$ 116,630</u>	<u>\$ 1,485,172</u>
Agency Funds	<u>\$ 189,220</u>		<u>\$ 59,110</u>	<u>\$ 130,110</u>

Office of the President
June 10, 1975

FCR 2

Members, Executive Committee, Board of Trustees

DEED OF CONVEYANCE

Recommendation: that the Vice President for Business Affairs and Treasurer be authorized and directed to execute a deed of conveyance to the County of Taylor, Kentucky, conveying to said County approximately twenty-three (23) acres in Taylor County, Kentucky.

Background: The property in question was conveyed to the University of Kentucky at the direction of the Taylor County Fiscal Court on December 20, 1918, for the sum of \$2,000. The deed recited that "if said University should cease to operate and conduct on said land an experiment station for a period of five years then the property herein conveyed shall revert to the grantors". On March 11, 1975, the Taylor County Fiscal Court directed a letter to the Dean of the College of Agriculture requesting a re-conveyance of the property.

Dean Barnhart's feeling with respect to the request is as follows:

"Because of the high costs of labor and equipment, the Agricultural Experiment Station has found it necessary to conduct most intensive research studies at either the University farms near the Lexington campus or the Western Kentucky Station at Princeton. The tight economic situation has also encouraged the Experiment Station to conduct more on-farm testing and research in cooperation with private landowners. Therefore, we do not find it financially feasible to continue research on the Taylor County property. We request that the property be conveyed back to the Taylor County Fiscal Court as per their request of March 11, 1975."

Action Taken: Approved Disapproved Other

Date: June 20, 1975

Office of the President
June 10, 1975

FCR 3

Members, Executive Committee, Board of Trustees:

FUNKHOUSER BUILDING RENOVATION

Recommendation: that the Board of Trustees approve for submission to the Council on Public Higher Education the renovation of the Funkhouser Building. The total scope of this project is estimated to cost \$640,000 and will be done over a period of three years. The phase which is to be funded initially is estimated to cost \$250,000.

Background: Funding of the renovation of the Funkhouser Building is to come from University of Kentucky Plant Funds.

Action taken: Approved Disapproved Other

Date: June 20, 1975

Office of the President
June 20, 1975

F C R 4

Members, Executive Committee, Board of Trustees:

NO-FAULT INSURANCE

Recommendation: That the following Resolution be adopted:

"BE IT RESOLVED by the Board of Trustees of the University of Kentucky that the University of Kentucky hereby accepts the Kentucky No-Fault Program and dutifully obligates itself to pay Basic Reparation Benefits in accordance with Chapter 304, Subtitle 39 of the Kentucky Revised Statutes so long as its current motor vehicle liability insurance policy remains in effect and during any renewals thereof, or during a continuous term of insurance coverage by any other insurer."

Background: In the case of individuals, no action is required to accept No-Fault insurance. However, governmental entities are required to take affirmative action to accept No-Fault by the provisions of KRS 304.39-080 and the regulations of the Department of Insurance (806 KAR 39:040).

Action taken: Approved ✓ Disapproved _____ Other _____

Date: June 20, 1975.

Office of the President
June 20, 1975

FCR 5

Members, Executive Committee, Board of Trustees:

MEDICAL PROFESSIONAL LIABILITY

Recommendation: (1) that the Board of Trustees effective July 1, 1975, declare itself bound to indemnify the faculty, staff, and students of the University Medical Center, together with all other classes of individuals defined as "named insureds" in the endorsement related thereto attached to Policy No. 33 CE 13801 W, to the same extent as provided by the terms, conditions and endorsements of Policy No. 33 CE 13801 W issued by the Hartford Accident and Indemnity Company for the period from July 1, 1972 to July 1, 1975. The declarations, terms, conditions and endorsements of said policies of insurance are hereby incorporated herein by reference. The extent of said indemnity shall be limited in the aggregate to the sum of one million (\$1,000,000) dollars and to the sum of five hundred thousand (\$500,000) dollars for any one claim, and

(2) that the Board accept the proposal of Indiana Insurance Company (a copy of which is appended) to provide insurance in addition to the indemnity stated in paragraph 1 hereof to a total aggregate sum of four million (\$4,000,000) dollars.

Background: The University of Kentucky has provided professional liability insurance through commercial insurance carriers since the Medical Center was established. The cost for four million dollars in commercial insurance coverage for the period July 1, 1974 thru June 30, 1975 was \$160,264. The cost of commercial insurance in a like amount for the coming year would approximate one million dollars. The present recommendation was developed in response to the rapidly increasing commercial insurance rates in order to provide continued coverage at a substantial ultimate savings to the University. The University will self-insure for up to one million dollars and will purchase for \$297,950 an excess aggregate insurance policy from the Indiana Insurance Company for individual claims in excess of \$500,000 and for aggregate claims in excess of one million dollars.

The insurance premium to the Indiana Insurance Company will come from professional fee earnings in the Medical Center. Funds to cover the one million dollar obligation will be available from The Fund for Education and Research (\$350,000) and from a special reserve created by the State for this purpose (\$650,000). Based on previous experience of the University of Kentucky, only a small portion of the one million dollars should be needed for claims payments.

Action: Approved Disapproved Other

Date: June 20, 1975

Office of the President
June 10, 1975

ICR 1

Members, Executive Committee, Board of Trustees

REPORT OF INVESTMENTS

Recommendation: that the report of changes made in investments for the University of Kentucky and its Affiliated Corporations for the quarter ended March 31, 1975 be accepted.

Background: A part of the Board policy regarding investments is a provision that quarterly reports be submitted by the Investment Committee of the Board. The attached reports reflect the purchases and sales made during the First Quarter of 1975, for the University of Kentucky Athletic Association, the Fund for Advancement of Education and Research in the University of Kentucky Medical Center, the University of Kentucky and University of Kentucky Research Foundation.

Action taken: Approved Disapproved Other

Date: June 20, 1975

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the First Quarter, 1975

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
\$10,000 Master Note of General Electric	1/ 6/75	8.74%	\$ 10,000.00
\$30,000 S. S. Kresge Co. Conv. Sub. Deb. Reg.	1/27/75	6.00%	28,350.00
528 shares Citi Corporation	1/27/75		17,964.22
212 shares Exxon Corporation	1/27/75		15,476.86
1,200 shares Louisiana Land & Exploration Co.	1/27/75		34,630.73
1,000 shares N. L. T. Corporation	1/27/75		17,165.48
100 shares Sears, Roebuck and Co.	1/27/75		6,105.73
\$50,000 Schio British Petro Trans Alaska Pipeline Fin. Inc. Note	1/29/75	8.625%	50,000.00
Certificate of Deposit No. 50-0240	2/12/75	7.25%	700,000.00
Certificate of Deposit No. 50-0241	2/12/75	7.25%	200,000.00
Federal Home Loan Bank Bonds	2/12/75	7.20%	100,000.00
\$61,000 Master Note of General Electric Co.	3/25/75	6.05%	<u>61,000.00</u>
Total - Purchases			<u><u>\$1,240,693.02</u></u>

UNIVERSITY OF KENTUCKY
Statement of Portfolio Changes
For the First Quarter, 1975

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
\$10,000 Southern Railway Equipment Trust	1/ 1/75	8.50%	\$ 10,000.00
Federal Intermediate Credit Bank Bonds	1/ 2/75	8.15%	200,000.00
Certificate of Deposit No. 370	1/15/75	8.50%	400,000.00
Certificate of Deposit No. 2828	1/15/75	8.16%	400,000.00
Certificate of Deposit No. 051045	1/16/75	11.00%	500,000.00
50 shares Diamond International Corp.	1/27/75		1,262.67
1,500 shares R. R. Donnelley & Sons	1/27/75		31,669.44
330 shares S. S. Kresge Co.	1/27/75		8,000.95
300 shares Pet Incorporated	1/27/75		6,023.65
812 shares T. I. Corporation	1/27/75		9,551.88
\$108,000 Master Note of General Electric Co.	1/30/75	6.45%	108,000.00
Bank for Cooperatives	2/ 3/75	9.45%	500,000.00
Federal Intermediate Credit Bank Bonds	2/ 3/75	8.80%	200,000.00
\$6,000 Master Note of General Electric Co. (Additional Master Note sold for bonds)	2/18/75	6.45%	<u>6,000.00</u>
Total - Sales			<u>\$2,380,508.59</u>

**THE FUND FOR ADVANCEMENT OF EDUCATION AND RESEARCH
IN THE UNIVERSITY OF KENTUCKY MEDICAL CENTER
Statement of Portfolio Changes
For the First Quarter, 1975**

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
Certificate of Deposit No. 2589	1/24/75	7.30%	\$ 500,000.00
Certificate of Deposit No. 50-0243	2/12/75	7.25%	300,000.00
Certificate of Deposit No. 511	3/21/75	6.50%	<u>200,000.00</u>
Total - Purchases			<u>\$1,000,000.00</u>

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Federal Intermediate Credit Bank Bonds	1/ 2/75	8.15%	\$ 100,000.00
Federal Intermediate Credit Bank Bonds	2/ 3/75	8.80%	<u>100,000.00</u>
Total - Sales			<u>\$ 200,000.00</u>

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION
Statement of Portfolio Changes
For the First Quarter, 1975

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
600 shares Dresser Industries	1/27/75		\$ 26,894.41
2,000 shares Richmond Corp.	1/27/75		25,361.98
\$50,000 Sohio British Petro Trans Alaska Pipeline Fin. Inc. Note	1/29/75	8.625%	50,000.00
Certificate of Deposit No. 50-0242	2/12/75	7.25%	200,000.00
\$1,000 Master Note Sears Roebuck Acceptance Corp.	2/18/75	6.45%	1,000.00
\$3,000 Master Note of Sears Roebuck Ac- ceptance Corp.	3/25/75	6.05%	<u>3,000.00</u>
Total - Purchases			<u>\$306,256.39</u>

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION
Statement of Portfolio Changes
For the First Quarter, 1975

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Federal Intermediate Credit Bank Bonds	1/ 2/75	8.15%	\$300,000.00
\$62,000 U. S. Treasury Notes	1/29/75	7.50%	62,658.75
1,200 shares R. R. Donnelley & Sons	1/29/75		25,335.56
300 shares Newmont Mining Corp.	1/29/75		15,911.35
Federal Intermediate Credit Bank Bonds	2/ 3/75	8.80%	100,000.00
\$1,000 Master Note of Sears Roebuck Ac- ceptance Corp.	2/18/75	6.45%	<u>1,000.00</u>
Total - Sales			<u>\$504,905.66</u>

UNIVERSITY OF KENTUCKY ATHLETIC ASSOCIATION
Statement of Portfolio Changes
For the First Quarter, 1975

<u>Purchases:</u>	<u>Purchase Date</u>	<u>Yield</u>	<u>Net Amount of Purchase</u>
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NONE

<u>Sales:</u>	<u>Maturity Date</u>	<u>Yield</u>	<u>Net Proceeds from Sale</u>
Federal Intermediate Credit Bank Bonds	1/ 2/75	8.15%	100,000.00
Certificate of Deposit No. 371	1/15/75	8.50%	100,000.00
Certificate of Deposit No. 2827	1/15/75	8.16%	100,000.00
Federal Intermediate Credit Bank Bonds	2/ 3/75	8.80%	<u>100,000.00</u>
Total - Sales			<u>\$ 400,000.00</u>

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF KENTUCKY, AT LEXINGTON

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UNIVERSITY OF KENTUCKY, UNIVERSITY	:	
OF KENTUCKY ATHLETIC ASSOCIATION,	:	
and UNIVERSITY OF KENTUCKY RESEARCH	:	
FOUNDATION,	:	Civil Action
	:	No. 2461
Plaintiffs,	:	
	:	
-against-	:	
	:	
GUSTAVE L. LEVY, HENRY H. FOWLER,	:	
JAMES C. HEMPHILL, EDWARD A. SCHRADER,	:	<u>ORDER</u>
STANLEY R. MILLER, JOHN L. WEINBERG,	:	
JOHN C. WHITEHEAD, CHARLES E. SALTZMAN,	:	
HOWARD R. YOUNG, ARTHUR G. ALTSCHUL,	:	
CHARLES L. GRANNON, JAMES D. ROBERTSON,	:	
L. JAY TENENBAUM, ROBERT S. DANFORTH,	:	
RICHARD J. FAY, H. FREDERICK KRIMENDAHL, II,	:	
GEORGE E. DOTY, DONALD R. GANT, JAMES P.	:	
GORTER, PETER A. HAGER, JAMES S. MARCUS,	:	
ALAN L. STEIN, J. FRED WEINTZ, JR., ROBERT	:	
B. MENSCHEL, ROBERT E. MNUCHIN, SIDNEY J.	:	
WEINBERG, JR., LEWIS M. WESTON, ROBERT G.	:	
WILSON, PETER A. LEVY, L. THOMAS MELLY,	:	
THOMAS B. WALKER, JR., GEORGE T. BOYER,	:	
MICHAEL H. COLES, JOHN C. JAMISON, RICHARD	:	
L. MENSCHEL, ROSS E. TRAPHAGEN, JR.,	:	
individually and doing business under	:	
the firm name and style of GOLDMAN,	:	
SACHS & CO.,	:	
	:	
Defendants.	:	

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Upon the consent of the parties, this action is hereby discontinued and dismissed, settled, with prejudice and without costs.

Dated: Lexington, Kentucky
December , 1974

U. S. D. J.

EXHIBIT

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF KENTUCKY, AT LEXINGTON

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UNIVERSITY OF KENTUCKY, et al., :
Plaintiffs, : Civil No. 2461
-against- : STIPULATION
GUSTAVE L. LEVY, et al., :
Defendants. :
----- x

The parties, by their counsel, stipulate that
the attached Order may be entered upon consent settling
and dismissing the action.

Dated: December , 1974

John C. Darsie, Jr.
Room 2-Administration Bldg.,
University of Kentucky,
Lexington, Kentucky 40506
(606) 258-2936

ATTORNEY FOR PLAINTIFFS

Squire R. Ogden
Ogden, Robertson & Marshall,
1200 One Riverfront Plaza,
Louisville, Kentucky 40270
(502) 582-1601

-and-

Michael M. Maney
Sullivan & Cromwell,
48 Wall Street,
New York, New York 10005
(212) 952-8100

ATTORNEYS FOR DEFENDANTS

EXHIBIT

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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UNIVERSITY OF KENTUCKY, <u>et al.</u> ,	:	M.D.L.- 56A
Plaintiffs,	:	Penn Central Commercial Paper Litigation
-against-	:	
GUSTAVE L. LEVY, <u>et al.</u> ,	:	73 Civ. 1183
Defendants.	:	STIPULATION OF SETTLEMENT AND <u>DISCONTINUANCE</u>

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IT IS HEREBY STIPULATED, pursuant to Rule 41,
Federal Rules of Civil Procedure, that this action is settled
and discontinued with prejudice and without costs.

Dated: New York, New York
December , 1974

John C. Darsie, Jr.
Room 2-Administration Building,
University of Kentucky,
Lexington, Kentucky 40506.
(606) 258-2936
Attorney for Plaintiffs

SULLIVAN & CROMWELL

By _____

48 Wall Street,
New York, New York 10005.
(212) 952-8100
Attorneys for Defendants

SUPREME COURT OF THE STATE OF NEW YORK

COUNTY OF NEW YORK

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UNIVERSITY OF KENTUCKY, UNIVERSITY :
OF KENTUCKY ATHLETIC ASSOCIATION, :
and UNIVERSITY OF KENTUCKY RESEARCH :
FOUNDATION, :

Index No.

Plaintiffs, :

-against-

AFFIDAVIT OF
CONFESSION
OF JUDGMENT

GOLDMAN, SACHS & CO., :

Defendant. :

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STATE OF NEW YORK)
: ss.:
COUNTY OF NEW YORK)

GEORGE E. DOTY, being duly sworn, deposes and says:

1. Deponent is a general partner of Goldman, Sachs & Co. a limited partnership with its principal place of business at 55 Broad Street, County of New York, City of New York, and he is authorized to execute this affidavit on behalf of the partnership.

2. Defendant hereby confesses judgment herein and authorizes entry thereof against defendant, in the County of New York, State of New York, at any time on or after June 11, 1975, in the following sums: in favor of plaintiff University of Kentucky, \$315,000; in favor of University of Kentucky Athletic Association, \$189,000; and in favor of University of

Kentucky Research Foundation, \$126,000, all with interest thereon at the legal rate from June 11, 1975.

3. This confession of judgment is for a debt justly to become due to the plaintiffs arising from an unconditional obligation to pay, pursuant to a Settlement Agreement dated as of December 10, 1974, a copy of which is annexed hereto as Exhibit A. Pursuant to that Agreement Goldman, Sachs is obligated to pay the sums described in Paragraph 2 thereof on June 10, 1975, and in default thereof judgment by confession may be entered. This affidavit is executed prior to a default and authorizes the entry of judgment in the event that payment pursuant to the Agreement of December 10, 1974 is not made when due.

4. This affidavit is executed pursuant to C.P.L.R. 3218 and is to be construed in accordance with the law of the State of New York.

Sworn to before me this
day of December, 1974.

Notary Public

NOW, THEREFORE, for good and valuable consideration, the parties hereto AGREE, as follows:

1. The Due Date shall be the next day after the expiration of six months from the date of this Agreement, said date being in June 1975 on the same day of the month as the date of this Agreement.

2. Goldman, Sachs agrees to deliver at 10:30 A.M. E.S.T. on the Due Date in New York, New York, to Kentucky, or to such person or bank in New York, New York as Kentucky may hereafter designate in writing, its checks drawn upon a member bank of the New York Clearing House Association payable to (a) University in the sum of Three Hundred Fifteen Thousand Dollars (\$315,000), (b) A.A. in the sum of One Hundred Eighty Nine Thousand Dollars (\$189,000), and (c) Research in the sum of One Hundred Twenty Six Thousand Dollars (\$126,000).

3. The parties have executed by their counsel stipulations discontinuing the Action in the forms annexed hereto as Exhibits. The original of said stipulations shall be delivered simultaneously with the execution of this Agreement to counsel for Goldman, Sachs for filing with the Court.

4. Should Goldman, Sachs fail or refuse to deliver or tender the checks described in Paragraph 2 of this Agreement on the Due Date, then and in that event Kentucky may enter judgment in any court of competent jurisdiction, either in the Commonwealth of Kentucky or in the State of New York, against Goldman, Sachs, in the amount of the sums described in Paragraph 2 of this Agreement.

Goldman, Sachs has executed and is delivering simultaneously with the execution of this Agreement, an Affidavit of Confession of Judgment in the form annexed hereto.

5. This Agreement may not be changed or amended except in writing executed by the parties hereto.

6. This Agreement is to be governed by, and construed in accordance with, the law of the State of New York.

UNIVERSITY OF KENTUCKY

By _____

UNIVERSITY OF KENTUCKY
ATHLETIC ASSOCIATION

By _____

UNIVERSITY OF KENTUCKY
RESEARCH FOUNDATION

By _____

GOLDMAN, SACHS & CO.

By _____