

Minutes of the Meeting of the Board of Trustees of the University of Kentucky, Tuesday, June 19, 1990.

The Board of Trustees of the University of Kentucky met at 1:00 p.m. Lexington time on Tuesday, June 19, 1990 in the Board Room on the 18th floor of the Patterson Office Tower on the Lexington Campus.

A. Meeting Opened and Roll Called

Mr. Foster Ockerman, Chairman, called the meeting to order at 1 p.m., and the invocation was pronounced by Mr. Sean Lohman.

The following members of the Board of Trustees answered the call of the roll: Mr. Foster Ockerman (Chairman), Mr. Ted B. Bates, Professor Raymond F. Betts, Mr. William E. Burnett, Jr., Mr. Tracy Farmer, Senator Walter D. Huddleston, Mr. Sean Lohman, Dr. Robert P. Meriwether, Professor Judith Rhoads, Mr. James L. Rose, Mr. Jerome A. Stricker, Mr. William B. Sturgill, Judge Julia K. Tackett, Mr. Billy B. Wilcoxson, and Judge Henry R. Wilhoit, Jr. Absent from the meeting were Governor Albert B. Chandler, Professor Mary Sue Coleman, Mrs. Edythe Jones Hayes, and Judge Robert F. Stephens. The University administration was represented by Interim President Charles T. Wethington, Jr.; Chancellors Peter P. Bosomworth and Robert E. Hemenway, Jr.; Acting Chancellor Ben W. Carr, Jr.; Vice Presidents Edward A. Carter, Wimberly C. Royster and Eugene R. Williams; and Mr. John C. Darsie, General Counsel.

Members of the news media were also in attendance. A quorum being present, the Chairman declared the meeting officially open for the conduct of business at 1:02 p.m.

B. Professor Carolyn S. Bratt Recognized

Mr. Ockerman introduced Carolyn S. Bratt, professor in the College of Law, who has been duly elected by the University System faculty to be the successor to Professor Mary Sue Coleman on the Board of Trustees. She will take office at the August 21, 1990 meeting. Mr. Ockerman expressed pleasure in introducing Professor Bratt and asked her to stand and be recognized, following which she was given a round of applause.

C. Remarks on Behalf of Professor Mary Sue Coleman

Professor Betts remarked about the exceptional service that Professor Mary Sue Coleman has provided to the Board of Trustees during her tenure. He stated that she has been forthright, determined, and deeply concerned for the well-being of the University. She has provided exceptional public and academic services to the institution. He said that the Board should be proud and grateful of her remarkable service and asked that it go on record thanking Professor Coleman for the exceptional service she has provided as a faculty representative. Senator Huddleston seconded the motion and it unanimously passed. Mr. Ockerman said that he regretted that Professor Coleman was absent from the meeting so that the Board's sentiments could not be expressed to her personally.

D. Minutes Approved

Mr. Ockerman stated that the Minutes of the May 1, 1990 Board of Trustees meeting had been distributed and asked for any corrections or additions. The Minutes were approved as distributed.

E. President's Report to the Trustees (PR 1)

Interim President Wethington called attention to the following items in his report:

1. The University of Kentucky and the Pacific Northwest Laboratory (PNL) signed an agreement on June 8 that will provide an opportunity for joint research between the University of Kentucky and PNL scientists. This agreement provides a great opportunity for the University as well as PNL over the years.
2. The University of Kentucky has received a significant \$1 million grant from the National Science Foundation to help reshape the way mathematics is taught in the kindergarten through the fourth grade classes in Kentucky. This effort will be in conjunction with other universities in the state, and the University of Kentucky College of Education is playing the lead role in this endeavor.
3. The University is proposing to spend \$1.7 million in scholarships for the 1990-91 academic year. The scholarships will be awarded to students of high academic quality attending the University of Kentucky.
4. The Children's Miracle Network Telethon recently raised more than \$230,000 to benefit the University Hospital's pediatric program. This effort is very significant and important to the pediatric program.
5. The School of Journalism at the University of Kentucky has been reaccredited for a six-year period. The program review was very positive for the School.

Interim President Wethington called attention to the other items in the report and asked that the Board read them at their leisure.

F. Personnel Actions (PR 2)

Interim President Wethington recommended that approval be given to the appointments, actions and/or other staff changes which require Board action and that the report relative to appointments and/or changes already approved by the administration be accepted. Dr. Meriwether so moved. His motion was seconded by Professor Rhoads and passed. (See PR 2 at the end of the Minutes.)

Interim President Wethington noted that by the Board's action Professor George C. Herring, Jr. is named an Alumni Professor of the University of Kentucky effective July 1, 1990. Dr. Herring is chairman

of the History Department and a long-time faculty member of the University. He will receive a \$5,000 annual stipend while in the role of Alumni Professor. Dr. Herring was asked to stand and be recognized, following which he was given a round of applause.

G. New Academic Degree (PR 5A)

Interim President Wethington stated that PR 5A is a recommendation for the establishment of a Master of Mining Engineering Degree at the University of Kentucky. He stated that the program had received the necessary scrutiny and recommended that it be approved. Mr. Sturgill so moved. The motion, seconded by Mr. Stricker, carried. (See PR 5A at the end of the Minutes.)

H. Appointment of Dean of the College of Engineering (PR 5B)

Interim President Wethington stated that PR 5B is the appointment of a Dean of the College of Engineering for the Lexington Campus. The recommendation is that Dr. Thomas W. Lester be appointed as Professor with tenure in the Department of Mechanical Engineering, and named Dean of the College of Engineering, effective July 1, 1990. He asked Chancellor Robert Hemenway to comment on the proposed appointment.

Chancellor Hemenway expressed pleasure in recommending the appointment of Professor Thomas W. Lester as Dean of the College of Engineering. He stated that Dr. Lester is currently the chair of Mechanical Engineering at Louisiana State University (LSU). He has had a distinguished research record, specializing in research on pulverized coal combustion and incineration of hazardous waste and has also worked in industry. He holds degrees from Purdue University where he graduated with high distinction, and he has had a very successful career as chair of the Mechanical Engineering Department at LSU. Chancellor Hemenway noted that Dr. Lester could not be in attendance at the Board meeting.

Interim President Wethington stated that it is a pleasure to recommend to the Board that Dr. Thomas W. Lester be appointed as Dean of the College of Engineering and as professor with tenure in the Department of Mechanical Engineering. On motion made by Mr. Rose, seconded by Mr. Lohman and carried, the Board approved the appointment of Dr. Lester. (See PR 5B at the end of the Minutes.)

I. Appointment of Dean of the College of Education (PR 5C)

Interim President Wethington stated that PR 5C is the recommendation that Dr. J. John Harris III be appointed as professor with tenure in the Department of Administration and Supervision and named Dean of the College of Education, effective August 1, 1990. He asked Chancellor Hemenway to comment on Dr. Harris' appointment.

Chancellor Hemenway reported that Dr. Harris is currently the Dean of Education at Cleveland State University. Dr. Harris has been a Department Chair at Indiana University and a tenured professor at The Pennsylvania State University. Dr. Harris was the first choice of the faculty, staff and students who met with the College of Education dean finalists. He stated that the group felt that Dr. Harris is the best person in the country to lead the College into a major role in the

education reform that is currently causing Kentucky to be in the eyes of the nation. Dr. Harris is married to Donna Ford Harris who is completing her Ph.D. at Cleveland State University. Mrs. Harris will be working part-time in the University Counseling Center.

Mr. Stricker moved approval of PR 5C. The motion, which was seconded by Mr. Farmer, was voted on and carried unanimously. (See PR 5C at the end of the Minutes.)

Dr. Harris was in attendance, and Interim President Wethington asked him to stand and be recognized, following which he received a round of applause.

Mr. Ockerman welcomed Dr. Harris to the University and stated that he looked forward to many great accomplishments in the College of Education.

J. Appointment of Dean of the College of Library and Information Science (PR 5D)

Interim President Wethington stated that PR 5D recommends that Dr. Thomas J. Waldhart be named Dean of the College of Library and Information Science, effective July 1, 1990. He asked Chancellor Hemenway to comment on PR 5D.

Chancellor Hemenway stated that with equal pleasure he presented the recommendation of Dr. Thomas J. Waldhart as Dean of the College of Library Science. He reported that Dr. Waldhart has been a member of the Library Science faculty since 1970. He is widely respected in his College and on campus and was the clear choice with the faculty of the College of Library Science.

Interim President Wethington so recommended the appointment, and Professor Betts moved that PR 5D be approved. The motion, which was seconded by Professor Rhoads, carried. Dr. Waldhart was asked to stand and be recognized, following which he was given a round of applause. (See PR 5D at the end of the Minutes.)

K. Appointment of Director of the Honors Program (PR 5E)

Interim President Wethington stated that PR 5E is a recommendation that Professor Christine Havice be appointed Director of the Honors Program, effective September 1, 1990. He noted that this appointment is to provide for a person to succeed Professor Ray Betts who has been filling this role, along with other faculty and administrative roles at the University, for the past several years. Chancellor Hemenway was asked to comment on the appointment.

Chancellor Hemenway stated that he was happy to recommend the appointment of Professor Christine Havice as Director of the Honors Program. Professor Havice is the kind of person that will be able to maintain the Honors Program with the high standards and the high academic tradition that Professor Betts has provided. She has been a member of the Art Department faculty for over a decade and is widely

respected as a teacher and a scholar. Chancellor Hemenway noted that Professor Havice was a member of the faculty of the Governor's Scholars program for a number of years, has been the President of the Women's Caucus for Art and has served on several editorial boards of an art history journal. These particular experiences will serve her well in the position as Director of the Honors Program.

Interim President Wethington recommended the appointment of Professor Havice, and Mr. Ockerman entertained a motion for approval. Professor Betts so moved. The motion was seconded by Mr. Stricker and carried. (See PR 5E at the end of the Minutes.)

Mr. Ockerman asked Professor Betts to introduce Professor Havice.

Professor Betts stated that it is a real pleasure to know that the Honor's Program, which is dear to his heart, will be in such good, imaginative, talented and disciplined hands as those of Christine Havice. He believes the students belonging to that program will find her an extraordinarily delightful, considerate, compassionate person with whom to work, and the University is very fortunate to have an individual with her capabilities running the program.

L. Appointments/Reappointment to University of Kentucky Business Partnership Foundation (PR 5F)

Interim President Wethington recommended that approval be given to the appointments of W. James Host, Larry Jones, and C. Sumpter Logan and the reappointment of Gary Bello on the Board of Directors of the University of Kentucky Business Partnership Foundation for terms beginning with the fall 1990 meeting and ending with the spring 1994 meeting.

Mr. Ockerman entertained a motion for approval. Mr. Sturgill so moved. The motion was seconded by Mr. Rose and passed without dissent. (See PR 5F at the end of the Minutes.)

M. Appointments to University of Kentucky Humanities Foundation (PR 5G)

Interim President Wethington recommended that approval be given to the appointment of Joy Bale Boone and Nancy S. Dye to membership on the Board of Directors of the University of Kentucky Humanities Foundation for a four-year term beginning with the fall 1990 meeting. On motion made by Senator Huddleston and seconded by Professor Betts, PR 5G passed. (See PR 5G at the end of the Minutes.)

N. Abolishment of an Academic Unit (PR 5H)

Interim President Wethington stated that PR 5H is related to the abolition of an academic unit. The recommendation is that the University discontinue all four-year baccalaureate degree options (except the BSN in Nursing) offered through University Extension at Fort Knox along with the closure of the University of Kentucky Center at Fort Knox.

He explained that one of the reasons for this request is that over time the Elizabethtown Community College has picked up the primary responsibility for the freshman and sophomore coursework that needs to be offered in the Fort Knox area. There is no longer the need or the critical demand for programs at the baccalaureate level with the exception of the BSN program in Nursing. He noted that the proposal has the necessary review and recommendations, and he, therefore, recommended approval.

Mr. Ockerman entertained a motion of approval. Mr. Lohman so moved. The motion was seconded by Mr. Sturgill and carried. (See PR 5H at the end of the Minutes.)

O. Fund Board of Directors Appointment (PR 6A)

Interim President Wethington recommended that approval be given to the appointment of Mr. Ted Hahn as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center, for an additional two-year term beginning July 1, 1990 and ending June 30, 1992. On motion made by Judge Tackett, seconded by Dr. Meriwether and carried, approval was given to PR 6A. (See PR 6A at the end of the Minutes.)

P. Agreement Between University of Kentucky and Kentucky Medical Services Foundation (PR 6B)

Interim President Wethington stated that PR 6B is a recommendation that the Board approve the agreement between the Board of Trustees and the Kentucky Medical Services Foundation, Inc. (KMSF). He explained that the contract was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978. The agreement attached to PR 6B represents a change in the contract and requires Board action. The University administration believes that it is entirely consistent with the 1978 agreement, and he recommended its approval. Mr. Ockerman asked for a motion. The motion, which was made by Dr. Meriwether and seconded by Mr. Sturgill, passed. (See PR 6B at the end of the Minutes.)

Q. Appointment of Board of Directors University of Kentucky Research Foundation (PR 8A)

Interim President Wethington recommended that approval be given to the appointment of Dr. Donald Frazier and Dr. William Ehmann (faculty members) and Dr. Lee Todd (public member) to the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending in 1993; and the reappointment of Mr. Walter D. Huddleston (Trustee member) to a three-year term ending in 1993. On motion made by Judge Tackett, seconded by Mr. Stricker and passed, approval was given to PR 8A. (See PR 8A at the end of the Minutes.)

R. Establishment of Multidisciplinary Center for Computational Sciences (PR 8B)

Interim President Wethington stated that PR 8B recommends that approval be given to establish a Multidisciplinary Center for Computational Sciences. The computational sciences effort has been

ongoing at the University of Kentucky for some time, and this action would formally recognize the Center. He asked Vice President Royster to comment.

Vice President Royster provided background information. He explained that the Multidisciplinary Center started with a group of faculty members in Mathematical Sciences, Physical Sciences and Engineering who were interested in solving some complex problems by computational methods. This group of faculty applied to the state's EPSCoR program for funding and were approved as a cluster in that program. He stated that the University purchased a supercomputer about the same time and that equipment enabled this group of faculty to do large scale computing and solve some of the problems. This group of faculty moved out into other fields: business, biological sciences, and medical sciences. Approximately three years ago, the program was submitted to the Council on Higher Education for consideration as one of the Centers of Excellence, and was chosen as one of the two Centers of Excellence for the University of Kentucky. PR 8B is a recommendation that this group be formalized.

With Interim President Wethington so recommending, Mr. Burnett moved approval of PR 8B. The motion, seconded by Professor Betts, carried. (See PR 8B at the end of the Minutes.)

Interim President Wethington informed the Board that this is the last meeting that Wimberly Royster will attend as Vice President for Research and Graduate Studies. He stated that Dr. Royster will be stepping down from that position on June 30, 1990 and will be returning to the faculty. He noted that Dr. Royster will also have the title of Special Assistant to the President and will be assisting with the development of the University's advanced technology center. Interim President Wethington expressed appreciation to Dr. Royster for all his efforts in the development of the research program at the University of Kentucky and for his other broad based efforts in support of the University of Kentucky over a long period of time. He asked Dr. Royster to stand and be recognized by the Board, and Dr. Royster was given a round of applause.

Mr. Ockerman thanked Dr. Royster and stated that he was glad Dr. Royster would still be at the University. He noted that because of Dr. Royster's efforts and leadership the University's research program has been nationally recognized. He expressed appreciation to Dr. Royster for the significant accomplishments.

S. Acceptance of Interim Financial Report for the University of Kentucky for the Eleven Months Ended May 31, 1990 (FCR 1)

Mr. Ockerman, Chairman of the Finance Committee, stated that FCR 1 is the acceptance of the Interim Financial Report for the eleven months ended May 31, 1990. He reported that the income to date is 97 percent of the budget and 88 percent has been spent. The University anticipates receiving and spending the other three percent of the income. Mr. Burnett moved that the Board accept the report for the eleven months ended May 31, 1990. The motion, seconded by Mr. Farmer, carried. (See FCR 1 at the end of the Minutes.)

T. Disposal of Personal Property (FCR 2)

With Mr. Ockerman so recommending, Mr. Stricker moved that the Board approve the disposal of surplus and obsolete equipment as described in Exhibit 1. Mr. Lohman seconded the motion, and it carried without dissent. (See FCR 2 at the end of the Minutes.)

U. Capital Construction Report (FCR 3)

Mr. Ockerman stated that FCR 3 is a routine quarterly report, and the Finance Committee recommends its approval. Mr. Sturgill so moved. His motion was seconded by Professor Rhoads and passed. (See FCR 3 at the end of the Minutes.)

V. Report of Leases (FCR 4)

On motion made by Mr. Stricker, seconded by Judge Wilhoit and carried, the Board approved the report of leases under \$30,000. (See FCR 4 at the end of the Minutes.)

W. Approval of Leases (FCR 5)

Mr. Ockerman reviewed the leases in excess of \$30,000 to be renewed and stated that the leases are recommended for approval by the Finance Committee. He entertained a motion for approval. Dr. Meriwether moved and Mr. Farmer seconded that the Board authorize the Vice Chancellor for Administration, Lexington Campus, to renew the leases. The motion carried. (See FCR 5 at the end of the Minutes.)

X. Approval of Lease (FCR 6)

Mr. Ockerman reported that the Finance Committee recommends approval of a lease for property on the Winchester Road in the City of Lexington, formerly known as the Parker Seal location, for use by the Lexington Community College. He noted that the lease is for 20,750 square feet of classroom/office/library space. He explained that it is a five-year lease with a five-year option at the rate of \$265,808 per year, and he reviewed the calculations. At the request of Mr. Farmer at the Finance Committee meeting, Dr. Blanton was directed to explore another option with the owners. Mr. Ockerman remarked that it is a building that will be heavily utilized because the space is needed for the increased enrollment, which is expected to grow by 18 percent, at the Lexington Community College. He entertained a motion for approval. On motion made by Professor Rhoads, seconded by Mr. Burnett and carried, FCR 6 was approved. (See FCR 6 at the end of the Minutes.)

Y. Room and Board Rates 1990-91 School Year (FCR 7)

Mr. Ockerman stated that FCR 7 is the room and board rates for the 1990-91 school year. There is generally an increase between 5 and 10 percent which is necessary to cover the increased cost of maintenance and food and salary increases for personnel in the food and maintenance area. Mr. Sturgill made a motion that the recommended room and board rates be approved. Mr. Lohman seconded the motion, and it passed. (See FCR 7 at the end of the Minutes.)



Z. Resolution Adopting Revised Purchasing Regulations (FCR 8)

With the concurrence of the Finance Committee, Mr. Ockerman recommended that the Board adopt the revised purchasing regulations. Mr. Farmer so moved. His motion was seconded by Mr. Burnett and passed without dissent. (See FCR 8 at the end of the Minutes.)

AA. Supplies Transfer to University of Cincinnati (FCR 9)

FCR 9 was withdrawn from the agenda because the information was included in FCR 2.

BB. Intellectual Property Rights Assignment Research and Graduate Studies (FCR 10)

At the request of Mr. Ockerman, Mr. Carter provided comments on FCR 10. He reminded the Board that it previously approved the creation of Kentucky Technology, Inc. (KTI), a for-profit corporation to deal with the commercialization of research products from the University. He explained that the first step taken was the faculty members' assignment of the intellectual property rights to the University. FCR 10 is the second step which recommends that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation (UKRF) of technical know-how and trade secret Intellectual Property Rights in certain new and useful advances in the developments of enzyme-linked immunosorbent assays, called ELISA tests made by faculty members David S. Watt, Thomas Tobin, and Hsin-Hsiung Tai. The next step will be a license agreement between UKRF and KTI. KTI will have majority interest in another for-profit corporation which will commercialize the project. He noted that this is happening at a very accelerated pace all over the country in higher education and the administration hopes to bring these to the Board often.

Mr. Ockerman remarked that it is very advanced technology and is a major step forward. It is being well received and utilized throughout horse racing in the nation. Professor Betts made a motion that FCR 10 be approved. Mr. Bates seconded the motion, and it carried. (See FCR 10 at the end of the Minutes.)

CC. Operating Budget for 1990-91 (FCR 11)

Mr. Ockerman began by expressing appreciation to everyone who participated in helping the University of Kentucky receive additional funds for the biennium. He noted that this is the greatest increase that the University has ever received. He particularly thanked Interim President Wethington for the great amount of time that he spent in Frankfort during the legislative session. After expressing thanks to the legislature and the Governor, he called upon Interim President Wethington to present the budget.

In opening his presentation, Interim President Wethington reported that the administration had held briefings with individual Board members, with small groups of Board members, with the media, and with the Senate Council. Many hours had been spent with administrators

and others on campus in the development of the budget. He added that it is a good budget from his perspective, and it is one which is obviously people oriented. He indicated that this budget is a turning point for the University, getting it turned back in the right direction in terms of its fiscal base.

Interim President Wethington used a series of transparencies to graphically demonstrate the predictions of the situation for the next two years based on the budget passed by the Governor and General Assembly. He stated that this budget provides some adequacy in funding, and it moves the University toward equity in funding. He reminded the Board that the University System was approximately 79% of formula funding in the state and the Community College System was approximately 69%. This effort over two years will move the University up to approximately 88% of full formula funding.

Interim President Wethington reported that the annual operating budget (one year of the two-year period) is a \$730 million budget. This represents an overall increase of 11.4%. He noted that the revenue sources are the increased state appropriation. He explained that in the University System there is a state appropriation increase of approximately \$23.7 million. Of that, \$6.3 million is debt service or earmarked appropriation, and the program increase is 8.5%. He pointed out that one of the problems encountered in working through the budget was his commitment to work toward 10% salary increases for faculty and staff and the state appropriation increase was only 8.5% for the University System. He noted the push for more equitable funding for the Community College System for years and stated that the appropriation for next year will be an increase of approximately \$9.3 million or 19% in overall program increase money for the Community College System.

He mentioned that the percent of the University budget supported by the state has gone down over the years to an all-time low of 38.8% last year. With this proposed budget, it will go back up to 39.4%, a promising turnaround. He noted that the percent of higher education funding going to the University of Kentucky has also turned around. The University is projected to move from 44% in 1989-90 to 47% by the end of the biennium.

Interim President Wethington stated that tuition and fees will increase in this budget by \$9.5 million. This increase is primarily due to the tuition rates approved by the Council on Higher Education that have been reaffirmed by the University's Board. He then reviewed other fees that will be slightly increased and noted that there is an increase in investment funds, restricted funds and hospital revenue. These increases are reflected in the \$729+ million budget.

Interim President Wethington reported that approximately 50% of these funds will be spent on the three major functions: instruction, research and service. The hospital and auxiliaries, which are totally self-supported, will comprise more than 25% of the budget. The other 25% will be spent on other support services: operations and maintenance of plant; student services and financial aid; academic support; debt service and institutional support. He noted that the most significant percentage increases are in instruction, public services, student services, financial aid, and the hospital.

Interim President Wethington then informed the Board that the budget will be spent as follows:

58% for people  
33% for operating expenses  
4% for debt service  
5% for capital items

He reviewed the Operating Budget expenditure summary and provided background information on the budget-making process at the University. He also called attention to the fixed costs increases which the University cannot control.

Interim President Wethington explained that the top priority, after fixed and continuation costs, was salary increases. This priority was necessary in order to retain, attract and recruit top quality faculty and staff. Therefore, the budget provides for a 10% salary increase pool which amounts to more than \$21 million. He noted that the beginning salary level for new hourly employees was raised by 5%.

He indicated that the Chancellors, Vice Presidents, and other administrators were instructed to correct any inequities in either female or minority salaries and stated that there was also a special appropriation of \$1.8 million in catch-up funds for salaries for extension agents.

Interim President Wethington reported that there are approximately 900 retirees of the University of Kentucky who are in a non-funded retirement system. The budget will provide a cost-of-living increase for those retirees. He noted that the University does not have a legal mandate to provide an increase; however, it is one that the administration felt it had an obligation to provide.

He also called attention to a 6.5% proposed salary increase for Graduate Assistants and reviewed some changes in fringe benefits, noting the elimination of the six-month waiting period for health insurance and the establishment of an Elder Care Program.

Interim President Wethington reported on the program priorities and strategic plan initiatives included in the budget. He stated that one of the thrusts in the plan was improving scholarship and academic excellence. He called attention to Chancellor Hemenway's proposal to deal with the minimum graduate course requirement for graduate assistants having responsibility for a class and stated that Chancellor Hemenway proposes to put all graduate assistants through a one-week training program prior to their going into a classroom beginning with the Fall Semester. It is believed that both of these efforts will have a positive impact on undergraduate teaching in the University of Kentucky.

He stated that money was set aside in the budget for the University Studies Program, renewal and replacement of instructional equipment, and the Commonwealth Scholars Program. He pointed out that the Commonwealth Scholars Program is a new program funded by the 1990 General Assembly. This program, which started out as the Governor's A+ program, is designed to provide a \$2,500 scholarship to every high

school in the state. The University of Kentucky will receive a portion of the money and this money will allow the University to recruit more top academic quality students at the campus in Lexington and at the community colleges.

Interim President Wethington reported on the proposed establishment of a Graduate Center to be located in the western region of the state. He pointed out that the exact location has not been determined; however, the University's administration has been working with Western Kentucky University, Murray State University, the community colleges, and the Council on Higher Education to carry out this mandate. This will get the University of Kentucky more involved with graduate instruction off campus, particularly at the doctoral level. He indicated that this is a small amount of money and is just a start. He stated that there is also an interest on the part of the Council on Higher Education and the University of Kentucky in establishing a second Graduate Center in East Kentucky.

Interim President Wethington called attention to the following items in the research, graduate and professional education, and information technology areas included in the budget:

Renewal and replacement of Research Equipment	\$500,000
Groundwater Instruction and Research	\$209,000
Law School Program Support	100,000
Graduate Assistant Positions focusing on research in the Medical Center	50,000
Implementation of Physician Scientist Program in the Medical Center	50,000
Three positions in the College of Pharmacy	150,000
Enhancement of Library Holdings	940,000
Three Programmer-Analysts in the Computing Center	140,000
Upgrades for the University's computing environment	1,200,000

He stated that Equal Opportunity was another thrust throughout the University's plans and noted some of the proposed efforts. He noted that funding of \$100,000 for three minority postdoctoral scholar positions is proposed to try to attract and keep some individuals that have the potential to be faculty members in colleges and universities. He reminded the Board of the program to provide money to departments to pay the first year's salary for newly employed minority faculty and stated that the proposed budget would increase this amount to \$200,000.

He noted that Acting Chancellor Carr has proposed setting up a \$250,000 fund to retain faculty and recruit approximately 10 permanent minority faculty members in the Community College System. This is truly the best budget ever seen in the Community College System in terms of state appropriation and in terms of dollar and percentage increase. From 1980-1989 the enrollment in the community colleges has almost doubled, and it has been a continual struggle to catch up. This budget will provide for approximately 134 full-time faculty positions to help handle the increased enrollment.

Interim President Wethington continued reviewing the program priorities for the Community College System and indicated that every effort would be made to increase the size of the nursing programs to try to meet the needs of the Commonwealth. He informed the Board that he will ask Acting Chancellor Carr and the Community College presidents to make every effort to insure that the numbers are increased in the nursing programs.

He reviewed the proposed \$195 million capital budget, noting some of the plans for the University System and the Community College System: new facilities, program renovation, scheduled maintenance, life safety, utilities, and property acquisition.

He concluded his remarks by saying that everyone could take pride in the budget. It deals with some of the University's most critical problems and is a significant turning point for the University. He emphasized that it does not solve all of the problems. The General Assembly, the Board of Trustees, the University's alumni, the faculty and the staff have worked long and hard to help bring about increased funding for higher education. He voiced his satisfaction and expressed his appreciation and thanks to each and every one who helped. He pledged to the Board that he and his staff would make every effort to assure that the resources are used wisely. He and his staff will attempt to be accountable in every way and will provide the Board with pertinent information that will aid them in making decisions that impact the University of Kentucky. He also expressed thanks to Joan McCauley and her staff as well as Mr. Carter, the chancellors, vice presidents and their staffs who worked on the development of the budget. He expressed pleasure in recommending to the Board the approval of the 1990-91 Operating Budget.

Mr. Wilcoxson then moved that the budget as recommended be adopted and the chairman of the Board be authorized to negotiate and execute contracts with the administrative officers providing for the terms and conditions of their employment.

Mr. Sturgill commented that everyone who had worked long and hard for increased funding, not only for the University but for higher education, should be pleased with the budget. He stated that he was happy and pleased to second the motion to adopt the budget.

Mr. Ockerman stated that it is truly a great budget and called for a vote. The motion passed unanimously.

DD. Amendment of Code of Student Conduct (SCCR 1)

Professor Judith Rhoads, Chairperson of the Student Code Committee, reviewed SCCR 1 and moved that the Board approve the proposed student code revisions. Mr. Lohman seconded the motion and it passed.

EE. Report on Presidential Search Committee

Mr. Ockerman reported that the Committee had employed a consultant to assist with the search. The Committee had selected 15 of the most qualified and outstanding candidates in the pool for further review and the consultant will assist with the review procedure. He informed the Board that meetings were scheduled for July 10 and July 31. He expressed appreciation and thanks to Dr. Paul Sears for all the assistance he has provided to the Committee. He indicated that the Committee could have a report to present to the Board in September. The interviews would then begin, and the Committee would proceed to present names to the Board of Trustees for action.

FF. Nominating Committee Appointed

Mr. Ockerman reappointed the existing Nominating Committee with the exception of Professor Coleman whose term expires June 30, 1989. The Committee will be Senator Huddleston, Mr. Burnett, Mrs. Hayes, Mr. Rose, Mr. Wilcoxson, and Judge Wilhoit. The Committee will recommend officers of the Board and members of the Executive Committee of the Board for 1990-91. Senator Huddleston will chair the Committee, and its report will be presented to the Board at the September 18, 1990 meeting.

GG. Meeting Adjourned

There being no further business to come before the Board, the Chairman adjourned the meeting at 2:12 p.m.

Respectfully submitted,

John C. Darsie  
Assistant Secretary  
Board of Trustees

(PR's 2, 5A, 5B, 5C, 5D, 5E, 5F, 5G, 5H, 6A, 6B, 8A, and 8B, FCR's 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, and 11, and SCCR 1 which follow are official parts of the Minutes of the Meeting.)

/6275

Office of the President  
June 19, 1990

PR 2

Members, Board of Trustees:

PERSONNEL ACTIONS

Recommendation: (1) that approval be given to the attached appointments, actions and/or other staff changes which require Board action; and (2) that the report relative to appointments and/or changes already approved by the administration be accepted.

Background: The attached recommended appointments and/or other staff changes require approval by the Board of Trustees in accordance with Part VIII-B of the Governing Regulations of the University. These recommendations are transmitted to the Board by the appropriate chancellor through the President and have his concurrence.

Under the Governing Regulations, the authority to make certain appointments and/or other staff changes is delegated to the President or other administrators who are required to report their actions to the Board. This report follows the recommendations requiring Board approval.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

0197

CENTRAL ADMINISTRATION

I. BOARD ACTION

A. RETIREMENTS

Office of the President

Hagan, Clifford O., Special Assistant to Vice President for Administration, after 19 years of consecutive service, under AR II-1.6-1 Section III.A.1(a), effective June 30, 1990

Athletics Association

Williams, Lovett, Custodial Worker I, after 22.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective August 10, 1990



COMMUNITY COLLEGE SYSTEM

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Elizabethtown Community College

Fugate, Denver, Associate Professor in the Community College System (with tenure), Chairman, Division of Fine Arts and Humanities, 7/1/90 through 6/30/93.

Hill, Martha L., Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences & Related Technologies, 7/1/90 through 6/30/93.

Hazard Community College

Prosperino, Paul A., Assistant Professor in the Community College System, Chairman, Division of Math, Business & Related Technologies, 7/1/90 through 6/30/93.

Henderson Community College

Hughes, Kathy, Associate Professor in the Community College System (with tenure), Chairman, Division of Social & Behavioral Sciences and Related Technologies, 7/1/90 through 6/30/93.

Zausch, Jo, Assistant Professor in the Community College System, Chairman, Division of Arts, Humanities and Related Technologies, 7/1/90 through 6/30/93.

Hopkinsville Community College

McClure, Michael W., Associate Professor in the Community College System (with tenure), Chairman, Division of Sciences, Math and Related Technologies, 7/1/90 through 6/30/93.

Stocker, Michael G., Community College Business and Industry Liaison, effective 6/1/90.

Lexington Community College

Boyatt, Iva D., Professor in the Community College System (with tenure), Chairman, Division of Social Sciences and Business Technologies, 7/1/90 through 6/30/93.

Saunier, Margaret, Associate Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Engineering Technologies, 7/1/90 through 6/30/93.

Maysville Community College

Wylie, Jeff B., Assistant Professor in the Community College System, Chairman, Division of Arts and Humanities, 7/1/90 through 6/30/93.

Paducah Community College

Carrico, John William, Dean of Business Affairs, effective 7/1/90.

Somerset Community College

Blankenship, Margaret, Professor in the Community College System (with tenure), Chairman, Division of Biological Sciences and Related Technologies, 7/1/90 through 6/30/93.

Dawson, Arthur W., Associate Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/90 through 6/30/93.

Whitehead, Sharon F., Associate Professor in the Community College System (with tenure), Chairman, Division of Arts, Humanities and Related Technologies, 7/1/90 through 6/30/93.

Southeast Community College

Noe, Roger, Professor in the Community College System (with tenure), Chairman, Division of Social Sciences and Related Technologies, 7/1/90 through 6/30/93.

Scopa, Patricia M., Professor in the Community College System (with tenure), Chairman, Division of Physical Sciences and Related Technologies, 7/1/90 through 6/30/93.

B. RETIREMENTS

Hopkinsville Community College

Major, James B., Professor in the Community College System (with tenure), Dean of Academic Affairs, following 24 consecutive years of service, [under AR II-1.601 Section III.A.2(a)], effective 8/31/90.

Lexington Community College

Foster, Chester, Associate Professor in the Community College System (with tenure), following 9 consecutive years of service, (under AR II-1.6-1 Section III.B), effective 6/30/90.

Public Relations

Owens, C. Paul, Sr. Information Specialist, following 26.5 consecutive years of service, (under AR II-1.6-1 Section III.B), effective 5/31/90.

C. POST-RETIREMENT APPOINTMENTS

Owensboro Community College

Lacefield, Arch, Community College Evening/Weekend/Off-Campus Coordinator, 7/1/90 through 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Hazard Community College

Clark, Frank S., Instructor in the Community College System, 5/1/90 through 6/30/90.

Hopkinsville Community College

Denison, Karen F., Librarian IV in the Community College System, 6/4/90 through 6/30/90.

Jefferson Community College

Cummins, Peggy, Instructor in the Community College System, 8/1/90 through 6/30/91.

Dennis, Beverly, Instructor in the Community College System, 3/26/90 through 6/30/90.

Shircliff, Jennifer P., Instructor in the Community College System, 8/1/90 through 6/30/91.

Lexington Community College

Hackney, Sandra B., Instructor in the Community College System, 8/1/90 through 6/30/91.

Paducah Community College

Cothran, Tracy Lee, Instructor in the Community College System, 8/1/90 through 6/30/91.

Prestonsburg Community College

Lu, Yin, Assistant Professor in the Community College System, 8/1/90 through 6/30/91.

Somerset Community College

Stearns, Renee, Instructor in the Community College System (voluntary), 4/1/90 through 3/31/92.

Stringer, Gail W., Instructor in the Community College System, 8/1/90 through 6/30/91.

B. JOINT APPOINTMENTS

Lexington Community College

Miller, Sharon, Librarian III in the Community College System, joint as Librarian III with M.I. King Library, 7/1/90 through 6/30/91.

C. REAPPOINTMENTS

Ashland Community College

Back, Jackie, Instructor in the Community College System, 7/1/90 through 6/30/91.

Carroll, Jennifer, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Chandra Kumar, Ramamurthy, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Coleman, Meribeth C., Instructor in the Community College System, 7/1/90 through 6/30/91.

D'Aoust, Jean-Jacques, Instructor in the Community College System, 7/1/90 through 6/30/91.

Davis, Virgil, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Dillon, Amy P., Instructor in the Community College System, 7/1/90 through 6/30/91.

Goodale, Jane, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Hosto, Timothy J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Jones, Kevin, Librarian III in the Community College System, 7/1/90 through 6/30/91.

Messer, Sharon, Instructor in the Community College System, 7/1/90 through 6/30/91.

Miller, Debra, Instructor in the Community College System, 7/1/90 through 6/30/91.

Napier, Michelle, Instructor in the Community College System, 7/1/90 through 6/30/91.

Salih, Sabah, Instructor in the Community College System, 7/1/90 through 6/30/91.

Shytle, Louise, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Thompson, Rebecca, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Ward, Russell, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Weaver, Samuel, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Willis, Nanette F., Instructor in the Community College System, 7/1/90 through 6/30/91.

Elizabethtown Community College

Blaiklock, Karen G., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Brangers, Peggy, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Davis, Beverly F., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Edmondson, Mauhee W., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Finney, Gail, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Foster, Loretta A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hayden, Joseph L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Holman, Stephen, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Reiss, Mary Anne, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Routt, Randell G., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Schork, James E., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Hazard Community College

Adams, Mary Dianne, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Arduini, Tony, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Cook, Alicia Gail, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Dixon, Steven, Librarian III in the Community College System, 7/1/90 through 6/30/91.  
Elahjji, Salhin B., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Ewen, Rosemary, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Flannery, Madeline, Instructor in the Community College System, 7/1/90 through 6/30/91.

Fulton, Lou Vera, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hogg, Deborah Kay, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Javed, Kazi, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Mobelini, Deronda C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Marrow, Alvin J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Monson, Arvid M., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Morris, Wendy T., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Phipps, Sandra, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Prosperino, Paul A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Smith, Tammy, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Strickland, William M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Wilder, Harriett, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Henderson Community College

Black, William V., II, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Cherry, Doris I., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Donahue, Victoria P., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Duffy, Sharon K., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Jobe, Mary A., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Kasenow, Paul M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Kline, Sally H., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Maltby, Lorie A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
McCormick, Heather M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Nicholson, Mary Eleanor, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Thurman, Michael E., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wallace, Noelle R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Walters, Michael E., Librarian III in the Community College System, 7/1/90 through 6/30/91.

Wells, Rebecca J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wickersham, Helen C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Yates, Sandra Kay, Librarian IV in the Community College System, 7/1/90 through 6/30/91.  
Zausch, Jo F., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Hopkinsville Community College

Bingham, Wanda, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Denison, Karen F., Librarian IV in the Community College System, 7/1/90 through 6/30/91.  
Hunter, James T., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Larkin-Bussell, Vernell, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Snyder, Rebecca T., Instructor in the Community College System, 7/1/90 through 6/30/91.

Jefferson Community College

Anderson, Rosemarie G., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Arterburn, Kay P., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Beery, Michael E., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Besser, Mary Pamela, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Butsch, Pamela M., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Butsch, Richard A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Casper, Martin A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Clinkenbeard, Alan, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Colston, Bruce, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Cummings, Deloris J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cummins, Julie J., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Darnell, Teena L., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Davis, Frank A., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Dennis, Beverly M., Instructor in the Community College System, 7/1/90 through 6/30/91.

Eddleman, Caroline C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Fisher, Kerk, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Gamble, David E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Greenberg, Ruth B., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Happel, Cynthia, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hols, Wilbert G., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Johnson, Gerald R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Jones, Linda C., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Kearney, Anna R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Kline, Daniel T., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Koester, Joan E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Le, Nghia T., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Long, Quinn D., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Morrison, Linda J., Instructor in the Community College System, 7/1/90 through 12/31/90.  
Motes, J. Barry, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Neff, David L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Niemeier, Clifton E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Norfleet, Ronn, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Pitt, Phillip R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Powell, Zelda, Instructor in the Community College System, 8/1/90 through 6/30/91.  
Ransom, Debra K., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Rasras, Awad R., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Sprinkle, Amy C., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Spurr, Sally, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Steiden, William A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Truitt, Judi C., Instructor in the Community College System, 7/1/90 through 6/30/91.



Wilburn, Mark S., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wyatt, Mary, Instructor in the Community College System 7/1/90 through 6/30/91.

Lexington Community College

Adkins, Michael A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Bobzien, Michael J., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Brady, Barbara B., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Delfino, John C., Instructor in the Community College System, 7/1/90 through 6/30/91.  
East, Paul, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Edney, James W., Librarian IV in the Community College System, 7/1/90 through 6/30/91.  
Embry, Nolen U., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Famularo, Joy G., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Fuller, Paul E., Jr., Librarian IV in the Community College System, 7/1/90 through 6/30/91.  
Groleau, Sally V., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hicks, Janet, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hunt, Carol A., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Ingle, Cynthia O., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Jackson, Jean C., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Knapp, Karen K., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Kolasa, James R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
LaFontaine, Lucy, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Marshall, Dennis, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
McDaniel, Gina G., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Miller, Sharon H., Librarian III in the Community College System, 7/1/90 through 6/30/91.  
Mowbray, Erla J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Murphy, William Kevin, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Norman, Cynthia S., Instructor in the Community College System, 7/1/90 through 6/30/91.

Olson-Biglieri, Joanne, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Ott, John Stephen, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Payne, John L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Payne, Margaret W., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Ramsey, Tammy, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Richardson, Kathleen E., Librarian III in the Community College System, 7/1/90 through 6/30/91.  
Roussos, Panagiotis A., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Simmons, Bryan, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Soo, Diana L., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Underwood, Dale N., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Wachtel, David, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Walker, Charlene C., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Walker, Frederick L., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Walters, James T., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Wilson, Vicki K., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Womack, Becky J., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Zoll, Gregory A., Instructor in the Community College System, 7/1/90 through 6/30/91..

Madisonville Community College

Anderson, Cynthia H., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Anderson, James H., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Bellarmine, Thomas G., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Bennett, Tate R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Clayton, Donald O., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Davis, Susan K., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Dean, J. Michial, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Deger, Robert J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Highsmith, Dixie Lee, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hodge, Phillip L., Instructor in the Community College System, 7/1/90 through 8/31/90.  
Johnson, Felecia K., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Leasure, Anna L., Instructor in the Community College System, 7/1/90 through 8/31/90.  
Lindeman, Peter V., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Lovingood, Deborah F., Instructor in the Community College System, 7/1/90 through 8/31/90.  
Nygaard, Timothy A., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Phillips, Kenneth J., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Ramsey, M. Cecilia, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Simmons, Patricia L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Tomlinson, Joyce E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
VanderPloeg, Scott D., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Watson, Ron D., Instructor in the Community College System, 7/1/90 through 6/30/91.

Maysville Community College

Cook, Linda F., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Czarski, Charles M., Librarian III in the Community College System, 7/1/90 through 6/30/91.  
Dunaway, Linda K., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Eads, Sonja R., Librarian III in the Community College System, 7/1/90 through 6/30/91.  
Garrison, Janet L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Grannis, Elisabeth L., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Thomas, Stephen R., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Vaughn, George T., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Wilson, Sharon G., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wylie, Jeff B., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Owensboro Community College

Beck, Bruce L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Carden, Stephen D., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Craig, Jennifer E., Instructor in the Community College System, 7/1/90 through 6/30/91.

Davis, Frances A., Librarian III in the Community College System, 7/1/90 through 6/30/91.

Dayman, Christopher M., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Dick, Timothy T., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Flynn, Jody L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Flynn, Philip K., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Ford, Constance, Instructor in the Community College System, 7/1/90 through 6/30/91.

Glenn, Cornelia J., Instructor in the Community College System, 7/1/90 through 6/30/91.

Glenn, James H., Instructor in the Community College System, 7/1/90 through 6/30/91.

Hiten, Mary Jean, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Johnson, James L., Instructor in the Community College System, 7/1/90 through 6/30/91.

Keeton, Nancy A., Instructor in the Community College System, 7/1/90 through 6/30/91.

Ledford, Julia C., Instructor in the Community College System, 7/1/90 through 6/30/91.

Maltby, Marc S., Instructor in the Community College System, 7/1/90 through 6/30/91.

Mayes, Joan D., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

McDonough, Greta J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Runyon, Carl R., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Smith, Timothy L., Instructor in the Community College System, 7/1/90 through 6/30/91.

Stamper, Linda S., Instructor in the Community College System, 7/1/90 through 6/30/91.

Suhrawardy, Ibrahim, Instructor in the Community College System, 7/1/90 through 6/30/91.

Walton, Stephen, Instructor in the Community College System, 7/1/90 through 6/30/91.

West, William R., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Yazvac, Joseph, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Paducah Community College

Bigham, Larry D., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Booth, Donna, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Boswell, Renee, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Cothran, Kelly R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Craig, Berry F., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Dortch, Joann T., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Ghaicepour, Hassan, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hendley, Helen, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hutchinson, Sharla, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Lengsfield, Diane M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Payne, Tena B., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Reynolds, Delilah, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Ridgeway, Gail C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Stewart, Michael E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Vos, John D., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Walker, Karen, Instructor in the Community College System, 7/1/90 through 6/30/91.

Prestonsburg Community College

Akhlaghi, M. Reza, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Azeem, Arif, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Bell, Daniel E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Bevens, Walter B., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Carlson, Dorothy E., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Carroll, Thomas P., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cunningham, Rose-Ann, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Formsma, Gordon G., Instructor in the Community College System, 7/1/90 through 6/30/91.

Gies, Thomas M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Goodwill, Roger H., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Herman, Douglas E., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Holler, Nancy L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Lewandowski, Eileen M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Lowry, Gregory K., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Music, Brenda C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Ojan, Raphie S., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Perry, Robert L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Saad, Toufic A., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Skaggs, Opal M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Sutton, Joe D., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Sturgill, Monnette, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Thomas, Shirley L., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Trimble, D. Melody, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Somerset Community College

Aaron, E. Marshall, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Armstrong, Anthony, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cleberg, Steve, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Crabtree, Gloria L., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Crabtree, Ralph M., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Findt, William C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Fleenor, Dana, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Fries, Wanda, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Hassan, Saadi Nazhat, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Howard, Buford, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Jacques, Kenneth, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
King, Kenneth, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Krause, Richard, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Peterson, Betty, Instructor in the Community College System, 7/1/90 through 6/30/91.

Southeast Community College

Anderson, Chris D., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Atkins, James M., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cornett, Wm. Terrell, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Ewing, Ben R., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Gibson, Madeline, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Jones, Lynn Y., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Mahan, Daniel M., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Puckett, Anita, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Robinson, Carl C., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Rogers, Vivian E., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Sellars, Mitzi, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Silver, Roy, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Simpson, William D., Instructor in the Community College System, 7/1/90 through 6/30/91.  
Vermillion, Jerry, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Weierich, Andre' J., Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wilder, Karen, Instructor in the Community College System, 7/1/90 through 6/30/91.  
Zhang, Sha Li, Librarian IV in the Community College System, 7/1/90 through 6/30/91.

D. PROMOTIONS WITHOUT TENURE

Ashland Community College

Brake, Marie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Coots, Phillip K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Gross, Emma Lou, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Elizabethtown Community College

Anderson, Becky, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Buck, Ellen Pem, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Glutting, Martha, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Guarneros-Mata, Rene, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
King, JoAnn S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wang, Daniel Tai-Hua, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Hazard Community College

Blake, Barbara A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Lykins, Virgil, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Reed, Ronald, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Henderson Community College

Allison, Mary E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Bogart, Leslie A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cervone, Thomas H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.



Evans, Marsha G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Tutt, Larry A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Hopkinsville Community College

Askew, Mary Lynn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Bush, Patricia, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cavanah, Sandra W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Duncan, Jackie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Jefferson Community College

Briley, Rebecca, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Costello, Phyllis, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Deines, Judith, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Finney, Ann M., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Franz, Shirley J., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Green, Cynthia K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hager, Kathy K., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hamilton, William H., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hammett-Foster, Charlotte, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Holman, Gaye D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Johnson, Mark D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Lambert, Thomas E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Land, Carol G., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
LeMaster, Vicki, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Osborne, Sharon, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Schuhmann, JoAnn, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Straub, Joan V., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Lexington Community College

Barber, Cynthia E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Coulston, Charles, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Gibbs, James W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Gunto, Jacqueline L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hollingsworth, Randolph, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Lenning, Kristan, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Matchuny, James, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Murphy, Donna L., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Perkins, Barbara A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Spencer, Janella, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Swetnam, Bruce A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Madisonville Community College

Bolin, James Duane, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Cromwell, Jamie O., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hawkins, Judith Gail, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Maysville Community College

Hall, Cindy F., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Hunter, Nancy D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Sturdivant, Tyrone A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Owensboro Community College

Benningfield, Dotty, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Mowers, Kathleen A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Mundell, Donald W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
St. John, Barbara, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.  
Wipf, Arlan H., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/90 through 6/30/91.

Paducah Community College

Blaine, Patricia A., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Prestonsburg Community College

Bennin, Hope E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Leon, Ana E., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Looney, Robert E., from Instructor in the Community College System, Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Somerset Community College

Mihankhah, Mihanpoor, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Railey, George, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Southeast Community College

Cox, Robert S., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Forbes, Zelma, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Gibson, Gary D., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Heaberlin, John C., from Librarian IV in the Community College System, to Librarian III in the Community College System, 7/1/90 through 6/30/91.

Howard, Margaret P., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Pickens, Harryette C., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Pratt, Roberta W., from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

Thompson, Carlie, from Instructor in the Community College System, to Assistant Professor in the Community College System, 7/1/90 through 6/30/91.

E. TERMINAL REAPPOINTMENTS

Elizabethtown Community College

Kakolesha, Nyanguila, Assistant Professor in the  
Community College System, 7/1/90 through 6/30/91.

Jefferson Community College

Booth, Beverly M., Assistant Professor in the Community  
College System, 7/1/90 through 6/30/91.

Jones, Linda S., Instructor in the Community College  
System, 7/1/90 through 6/30/91.

Lexington Community College

Perkins, Barbara A., Assistant Professor in the  
Community College System, 7/1/90 through 6/30/91.

Paducah Community College

Cartwright, Christopher, Assistant Professor in the  
Community College System, 7/1/90 through 6/30/91.

Somerset Community College

Khondker, R. Karim, Assistant Professor in the  
Community College System, 7/1/90 through 6/30/91.

F. LEAVES OF ABSENCE

Jefferson Community College

Ebanks, Annalisa L., Associate Professor in the  
Community College System (with tenure), leave of  
absence without pay, 7/1/90 through 12/31/90.

Lexington Community College

Noffsinger, Anne, Professor in the Community College  
System (with tenure), sabbatical leave at full  
salary for the 1991 Spring Semester.

Pagan, Bonnie S., Professor in the Community College  
System (with tenure), leave of absence without  
pay, 7/1/90 through 12/31/90.

Owensboro Community College

Butler, Ronald W., Professor in the Community College  
System (with tenure), sabbatical leave at full  
salary for the 1990 Fall Semester.

Morris, Edward J., Associate Professor in the Community  
College System (with tenure), sabbatical leave at  
full salary for the 1990 Fall Semester.

LEXINGTON CAMPUS

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Moore, Allen J., Assistant Professor, Entomology, 7/1/90 through 6/30/91.

Ingram, Dewayne L., Extension Professor (with tenure), Horticulture and Landscape Architecture, effective 6/25/90.

College of Arts and Sciences

Bai, Zhaojun, Assistant Professor, Mathematics, 8/16/90 through 6/30/91.

Bosch, Anna R.K., Assistant Professor, English, 8/16/90 through 6/30/91.

Jefferies, Richard W., Assistant Professor, Anthropology, 8/16/90 through 6/30/91.

Luck, Wolfgang, Visiting Associate Professor, Mathematics, 8/16/90 through 5/15/91.

Pauly, Patricia, Assistant Professor, Political Science, 8/16/90 through 6/30/92.

Rajasekar, Arcot K., Assistant Professor, Computer Science, 8/16/90 through 6/30/92.

Warner, Barbara D., Assistant Professor, Sociology, 8/16/90 through 6/30/92.

College of Business and Economics

Tepper, Bennett J., Instructor, Management, 8/16/90 through 6/30/91.

College of Communications

Dozier, Steve, Assistant Professor, Journalism, 8/16/90 through 6/30/91.

College of Engineering

Hassebrook, Laurence G., Assistant Professor, Electrical Engineering, 7/1/90 through 6/30/92.

Nasr, George E., Instructor (temporary), Electrical Engineering, 8/16/90 through 5/15/91.

Proudlove, J. Alan, Visiting Professor, Civil Engineering, 8/16/90 through 12/31/90.

Standler, Ronald B., Associate Research Professor, Electrical Engineering, 7/1/90 through 6/30/91.

College of Home Economics

McCulloch, Jan, Assistant Professor, Family Studies, 8/16/90 through 6/30/91.

Mercer, Preston, Professor (with tenure), Nutrition and Food Science, effective 8/1/90.

B. ADMINISTRATIVE APPOINTMENTS

College of Agriculture

Ingram, Dewayne L., Extension Professor (with tenure), Horticulture and Landscape Architecture, appointed Chairman, Horticulture and Landscape Architecture, 7/1/90 through 6/30/96.

College of Arts and Sciences

Abbott, Susan, Associate Professor (with tenure), Anthropology, appointed Chairman, Anthropology, 7/1/90 through 6/30/94.

Anderson, Roger B., Professor (with tenure) and Chairman, Russian and Eastern Studies, reappointed Chairman, Russian and Eastern Studies, 7/1/90 through 6/30/94.

Breitbart, Yuri, Professor (with tenure) and Chairman, Computer Science, reappointed Chairman, Computer Science, 7/1/90 through 6/30/94.

Kryscio, Richard, Professor (with tenure), Statistics, appointed Chairman, Statistics, 7/1/90 through 6/30/94.

Smith, Patricia G., Associate Professor (with tenure), Philosophy, appointed Chairman, Philosophy, 7/1/90 through 6/30/94.

College of Fine Arts

Maschio, Geraldine, Associate Professor (with tenure), Theatre, appointed Chairman, Theatre, 7/1/90 through 6/30/94.

C. REAPPOINTMENTS

College of Agriculture

McAllister, Alan J., Associate Extension Professor (without tenure), Animal Sciences, 7/1/90 through 6/30/91.

College of Engineering

Sweigard, Richard J., Associate Professor (without tenure), Mining Engineering, 7/1/90 through 6/30/91.

D. PROMOTIONS

College of Agriculture

Aaron, Debra K., from Assistant Professor (without tenure), Animal Sciences and Assistant Professor (without tenure), Statistics, College of Arts and Sciences, to Associate Professor (with tenure), Animal Sciences and Associate Professor (without tenure), Statistics, College of Arts and Sciences, effective 7/1/90.

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\*Special Title Series

- Crafts-Brandner, Steven J., from Adjunct Assistant Professor, Agronomy, to Adjunct Associate Professor, Agronomy, 7/1/90 through 6/30/91.
- Evangelou, Vasilios Petros, from Associate Professor (with tenure), Agronomy, to Professor (with tenure), Agronomy, effective 7/1/90.
- Garkovich, Lorraine E., from Associate Professor (with tenure), Sociology, to Professor (with tenure), Sociology, effective 7/1/90.
- Gates, Richard S., from Assistant Professor (without tenure), Agricultural Engineering, to Associate Professor (with tenure), Agricultural Engineering, effective 7/1/90.
- Grabau, Lawrence J., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/90.
- Herbek, James H., from Associate Extension Professor (with tenure), Agronomy, to Extension Professor (with tenure), Agronomy, effective 7/1/90.
- Hunt, Arthur G., from Assistant Professor (without tenure), Agronomy, to Associate Professor (with tenure), Agronomy, effective 7/1/90.
- Johnson, Douglas W., from Associate Extension Professor (with tenure), Entomology, to Extension Professor (with tenure), Entomology, effective 7/1/90.
- Maurer, Richard C., from Associate Extension Professor (with tenure), Sociology, to Extension Professor (with tenure), Sociology, effective 7/1/90.
- Meyer, A. Lee, from Associate Extension Professor (with tenure), Agricultural Economics, to Extension Professor (with tenure), Agricultural Economics, effective 7/1/90.
- Palmer, Gary K., from Assistant Extension Professor (without tenure), Agronomy, to Associate Extension Professor (with tenure), Agronomy, effective 7/1/90.
- Pescatore, Anthony J., from Assistant Extension Professor (without tenure), Animal Sciences, to Associate Extension Professor (with tenure), Animal Sciences, effective 7/1/90.
- Trimble, Richard L., from Assistant Extension Professor (without tenure), Agricultural Economics, to Associate Extension Professor (with tenure), Agricultural Economics, effective 7/1/90.
- Wood, Craig H., from Assistant Extension Professor (without tenure), Animal Sciences, to Associate Extension Professor (with tenure), Animal Sciences, effective 7/1/90.

College of Arts and Sciences

- Arcury, Thomas A., from Adjunct Assistant Professor, Anthropology, to Adjunct Associate Professor, Anthropology, 7/1/90 through 6/30/92.
- Berry, David T.R., from Assistant Professor (without tenure), Psychology, to Associate Professor (with tenure), Psychology, effective 7/1/90.
- Dillehay, Tom D., from Associate Professor (with tenure), Anthropology, to Professor (with tenure), Anthropology, effective 7/1/90.



- Force, James E., from Associate Professor (with tenure),  
Philosophy and Associate Professor (without tenure), Honors,  
Undergraduate Studies, to Professor (with tenure), Philosophy  
and Professor (without tenure), Honors, Undergraduate Studies,  
effective 7/1/90.
- Li, Bing An, from Associate Professor (without tenure), Physics and  
Astronomy, to Professor (with tenure), Physics and Astronomy,  
effective 7/1/90.
- Milich, Richard S., from Associate Professor (with tenure),  
Psychology, to Professor (with tenure), Psychology, effective  
7/1/90.
- Mooney, Patrick H., from Assistant Professor (without tenure),  
Sociology, to Associate Professor (with tenure), Sociology,  
effective 7/1/90.
- Olster, David M., from Assistant Professor (without tenure),  
History and Assistant Professor (without tenure), Honors,  
Undergraduate Studies, to Associate Professor (with tenure),  
History and Associate Professor (without tenure), Honors,  
Undergraduate Studies, effective 7/1/90.
- Peffley, Mark A., from Assistant Professor (without tenure),  
Political Science, to Associate Professor (with tenure),  
Political Science, effective 7/1/90.
- Rawls, John M., Jr., from Associate Professor (with tenure),  
Biological Sciences, to Professor (with tenure), Biological  
Sciences, effective 7/1/90.
- Richard, John Patrick, from Assistant Professor (without tenure),  
Chemistry, to Associate Professor (with tenure), Chemistry,  
effective 7/1/90.
- Tickamyer, Ann R., from Associate Professor (with tenure),  
Sociology, to Professor (with tenure), Sociology, effective  
7/1/90.
- Wasilkowski, Grzegorz W., from Associate Professor (with tenure),  
Computer Science, to Professor (with tenure), Computer Science,  
effective 7/1/90.
- Widiger, Thomas A., from Associate Professor (with tenure),  
Psychology, to Professor (with tenure), Psychology, effective  
7/1/90.

College of Business and Economics

- Fackler, James, from Associate Professor (with tenure), Economics,  
to Professor (with tenure), Economics, effective 7/1/90.
- Poe, C. Douglas, from Assistant Professor (without tenure),  
Accountancy, to Associate Professor (with tenure), Accountancy,  
effective 7/1/90.

College of Education

- Bush, William S., from Assistant Professor (without tenure),  
Curriculum and Instruction, to Associate Professor (with  
tenure), Curriculum and Instruction, effective 7/1/90.
- Noland, Melody, from Associate Professor (with tenure), Health,  
Physical Education and Recreation, to Professor (with tenure),  
Health, Physical Education and Recreation, effective 7/1/90.
- Stevens, Kay, from Assistant Professor (without tenure), Special  
Education, to Associate Professor (with tenure), Special  
Education, effective 7/1/90.

College of Fine Arts

Collins, Georgia C., from Associate Professor (with tenure), Art and Associate Professor (without tenure), Curriculum and Instruction, College of Education, to Professor (with tenure), Art and Professor (without tenure), Curriculum and Instruction, College of Education, effective 7/1/90.

College of Law

Winslow, Donald A., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/90.

College of Social Work

Royse, David D., from Assistant Professor (without tenure), to Associate Professor (with tenure), effective 7/1/90.

E. CHANGES

College of Arts and Sciences

Herring, George C., Jr., Professor (with tenure), History, named Alumni Professor, effective 7/1/90.

College of Engineering

Stevens, James D., from Associate Professor (temporary), Civil Engineering, to Associate Professor (without tenure)\*, Civil Engineering, 7/1/90 through 6/30/91.

F. RETIREMENTS

College of Agriculture

Kenkel, William F., Professor (with tenure), Sociology, after 23 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/90.

Shaw, Martha L., Staff Assistant, Plant Pathology, after 24 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/6/90.

College of Engineering

Crewe, George F., Associate Professor (with tenure), Chemical Engineering, after 31.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/90.

Vice Chancellor for Administration

Carter, Thomas J., Disabled Staff, Employee Benefits and Risk Management, after 23 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/31/90.

Wash, James C., Architect III, Design and Construction, Procurement and Construction, after 16.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/6/90.

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\*Special Title Series

Vice Chancellor for Minority Affairs

Parker, William C., Vice Chancellor, after 6 consecutive years of service, under Section III.B of AR II-1.6-1, effective 6/30/90.

Office of the Chancellor

Douglas, Evalin F., Managing Editor, University Press, after 22 consecutive years of service, under Section III.B of AR II-1.6-1, effective 7/31/90.

G. EARLY RETIREMENTS

College of Engineering

Back, Lyle N., Assistant Professor (with tenure), Electrical Engineering, and Associate Dean, College of Engineering, after 35 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 6/30/90.

Beatty, Millard F., Professor (with tenure), Engineering Mechanics, after 23 consecutive years of service, under Section III.A.1(a) of AR II-1.6-1, effective 6/30/90.

Vice Chancellor for Administration

Brown, Geneva T., Supervisor, Food Services, Auxiliary Services, after 23.5 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 7/2/90.

Fain, Kenneth E., Warehouse Supervisor, Stores, Procurement and Construction, after 20 consecutive years of service, under Section III.A.2(a) of AR II-1.6-1, effective 7/20/90.

H. POST RETIREMENTS

College of Agriculture

Kemp, James D., Professor Emeritus (part-time), Animal Sciences, 7/1/90 through 12/31/90.

Rodriguez, Lorraine D., Extension Specialist, Entomology, 6/1/90 through 6/30/90.

College of Library and Information Science

McConnell, Anne, Associate Professor Emeritus (part-time)\*, 7/1/90 through 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Agriculture

Lueschen, Leila S., Assistant Research Professor, Agricultural Economics, 5/1/90 through 6/30/91.

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\*Special Title Series

College of Arts and Sciences

Allen, Charlotte M., Assistant Professor, Geological Sciences,  
8/16/90 through 6/30/91.

College of Education

Gaskins, Robert W., Assistant Professor, Curriculum and  
Instruction, 8/16/90 through 6/30/91.

Scollay, Susan J., Adjunct Assistant Professor, Educational Policy  
Studies and Evaluation, 7/1/90 through 12/31/90.

College of Home Economics

Browning, Mary H., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.

Eaves, Ava H., Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

Faith, Jane K., Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

Hale, Kelly G., Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

Magda, Raymond, Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

Peden, Deana, Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

B. ADMINISTRATIVE APPOINTMENTS

College of Arts and Sciences

Blackwell, Jeannine, Associate Professor (with tenure), German,  
appointed Acting Chairman, German, 7/1/90 through 6/30/91.

Canon, Bradley C., Professor (with tenure) and Acting Chairman,  
Political Science, reappointed Acting Chairman, Political  
Science, 7/1/90 through 6/30/91.

Durant, David S., Associate Professor (with tenure), English,  
appointed Acting Chairman, English, 7/1/90 through 6/30/91.

Janecek, Gerald J., Professor (with tenure), Russian and Eastern  
Studies, appointed Acting Chairman, Russian and Eastern  
Studies, 7/1/90 through 12/30/90.

Martin, Hubert M., Jr., Professor (with tenure), Classics,  
appointed Acting Chairman, Classics, 7/1/90 through 6/30/91.

College of Fine Arts

Foose, R. James, Associate Professor (with tenure), Art, appointed  
Acting Chairman, Art, 7/1/90 through 6/30/91.

Vice Chancellor for Administration

Taylor, Robert F., Architect III, Design and Construction,  
Procurement and Construction, effective 5/21/90.

C. REAPPOINTMENTS

College of Agriculture

Amaral, Donna M., Assistant Extension Professor, Animal Sciences, 7/1/90 through 6/30/91.  
Barnes, Thomas G., Assistant Extension Professor, Forestry, 7/1/90 through 6/30/91.  
Barney, Robert J., Adjunct Assistant Professor, Entomology, 7/1/90 through 6/30/91.  
Burmeister, Larry L., Assistant Professor, Sociology, 7/1/90 through 6/30/91.  
Chappell, Joseph, Assistant Professor, Agronomy, 7/1/90 through 6/30/91.  
Decker, Eric A., Assistant Professor, Animal Sciences, 7/1/90 through 6/30/91.  
Eizenga, Georgia C., Adjunct Assistant Professor, Agronomy, 7/1/90 through 6/30/91.  
Felton, Gary K., Assistant Professor, Agricultural Engineering, 7/1/90 through 6/30/91.  
Geneve, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/90 through 6/30/91.  
Green, Jonathan David, Assistant Extension Professor, Agronomy, 7/1/90 through 6/30/91.  
Haynes, Kenneth F., Assistant Professor, Entomology, 7/1/90 through 6/30/91.  
Henning, Jimmy C., Assistant Extension Professor, Agronomy, 7/1/90 through 6/30/91.  
Houtz, Robert L., Assistant Professor, Horticulture and Landscape Architecture, 7/1/90 through 6/30/91.  
Klein, Robert Richard, Adjunct Assistant Professor, Agronomy, 7/1/90 through 6/30/91.  
Lacki, Michael J., Assistant Professor, Forestry, 7/1/90 through 6/30/91.  
Maksymowicz, William C., Assistant Extension Professor, Agronomy, 7/1/90 through 6/30/91.  
Marchant, Mary A., Assistant Professor, Agricultural Economics, 7/1/90 through 6/30/91.  
Means, Warrie J., Assistant Extension Professor, Animal Sciences, 7/1/90 through 6/30/91.  
Patterson, David J., Assistant Extension Professor, Animal Sciences, 7/1/90 through 6/30/91.  
Ready, Richard C., Assistant Professor, Agricultural Economics, 7/1/90 through 6/30/91.  
Ringe, James M., Assistant Professor, Forestry, 7/1/90 through 6/30/91.  
Salvucci, Michael E., Adjunct Assistant Professor, Agronomy, 7/1/90 through 6/30/91.  
Scharl, Christopher L., Assistant Professor, Plant Pathology, 7/1/90 through 6/30/91.  
Shearer, Scott A., Assistant Professor, Agricultural Engineering, 7/1/90 through 6/30/91.  
Silvia, William J., Assistant Professor, Animal Sciences, 7/1/90 through 6/30/91.  
Snell, William M., Assistant Extension Professor, Agricultural Economics, 7/1/90 through 6/30/91.

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\*Special Title Series

Wagner, David B., Assistant Professor, Forestry, 7/1/90 through 6/30/91.  
Weston, Leslie A., Assistant Professor, Horticulture and Landscape Architecture, 7/1/90 through 6/30/91.

College of Arts and Sciences

Bachas, Leonidas G., Assistant Professor, Chemistry, 7/1/90 through 6/30/92.  
Carlson, Charles R., Assistant Professor, Psychology, 7/1/90 through 6/30/91.  
Dekin, Michael S., Assistant Professor, Biological Sciences, 7/1/90 through 6/30/92.  
Ellis, Alan L., Assistant Professor, Psychology, 7/1/90 through 6/30/91.  
Gargola, Daniel J., Assistant Professor, History, 7/1/90 through 6/30/91.  
Golding, Jonathan M., Assistant Professor, Psychology, 7/1/90 through 6/30/91.  
Gordon, Fon L., Assistant Professor, History, 7/1/90 through 6/30/91.  
Guarr, Thomas F., Assistant Professor, Chemistry, 7/1/90 through 6/30/92.  
Hatch, Laurie Russell, Assistant Professor, Sociology, 7/1/90 through 6/30/92.  
Heinricher, Arthur, Assistant Professor, Mathematics, 7/1/90 through 6/30/92.  
Kern, Kathi L., Assistant Professor, History, 7/1/90 through 6/30/91.  
Mortensen, Peter L., Assistant Professor, English, 7/1/90 through 6/30/92.  
Rymond, Brian C., Assistant Professor, Biological Sciences, 7/1/90 through 6/30/92.  
Utikal, Klaus J., Assistant Professor, Statistics, 7/1/90 through 6/30/92.  
Wasserstrom, Jeffrey N., Assistant Professor, History, 7/1/90 through 6/30/91.  
Watkins, John F., Assistant Professor, Geography, 7/1/90 through 6/30/92.  
Worley, Linda Kraus, Assistant Professor, German, 7/1/90 through 6/30/92.

College of Business and Economics

Davis, Mark A., Assistant Professor, Management, 7/1/90 through 6/30/91.  
Hebble, Annette, Assistant Professor, Accountancy, 7/1/90 through 6/30/91.  
Johnson, Nancy B., Assistant Professor, Management, 7/1/90 through 6/30/91.

College of Communications

Hertog, James K., Assistant Professor, Journalism, 7/1/90 through 6/30/91.  
Kalbfleisch, Pamela J., Assistant Professor, Communication, 7/1/90 through 6/30/91.

McLaughlin, Lisa M., Assistant Professor, Journalism, 7/1/90  
through 6/30/91.  
Murray, Patricia G., Assistant Professor\*, Journalism, 7/1/90  
through 6/30/91.  
Scheiner, Edward C., Assistant Professor, Journalism, 7/1/90  
through 6/30/91.  
Waldron, Vincent R., Assistant Professor, Communication, 7/1/90  
through 6/30/91.

College of Engineering

Chung, Tae-Sang, Assistant Professor, Electrical Engineering,  
7/1/90 through 12/31/91.  
Froehlich, David C., Assistant Professor, Civil Engineering, 7/1/90  
through 6/30/92.  
Grise, William R., Assistant Professor, Electrical Engineering,  
7/1/90 through 6/30/92.  
Pyo, Sam S., Assistant Professor, Electrical Engineering, 7/1/90  
through 6/30/92.  
Serrano, Sergio, Assistant Professor, Civil Engineering, 7/1/90  
through 3/31/91.  
Yingling, Jon C., Assistant Professor, Mining Engineering, 7/1/90  
through 6/30/92.

College of Fine Arts

Lecuru, Bruce, Assistant Professor\*, Theatre, 7/1/90 through  
6/30/91.

College of Home Economics

Braun, Robert, Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.  
Denomme, Mark, Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.  
Dodridge, Michael L., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Hay, Audrey L., Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.  
Hunter, Pauline L., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Jones, Jean, Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.  
Linebaugh, Julane, Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Niles, Ema Jean, Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Raitz, Carol A., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Royalty, Jennifer H., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Simms, Betty G., Instructor (voluntary), Nutrition and Food  
Science, 8/16/90 through 5/15/91.  
Stern, Toby M., Instructor (voluntary), Nutrition and Food Science,  
8/16/90 through 5/15/91.

Thomas, Willa C., Instructor (voluntary), Nutrition and Food Science, 8/16/90 through 5/15/91.  
Vivian, Beverly S., Instructor (voluntary), Nutrition and Food Science, 8/16/90 through 5/15/91.

College of Social Work

Acra, Paul Douglas, Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Barone, Anthony J., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Bylund, Robert A., Associate Professor (part-time)\*, 8/16/90 through 5/15/91.  
Campbell, Michael, Assistant Professor (voluntary), 7/1/90 through 6/30/92.  
Clark, Gail F., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Clendenin, Marie T., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Collins, Lucky J., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Day, Anna Grace, Associate Professor (part-time)\*, 8/16/90 through 5/15/91.  
Erwin, Anne B., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Griffin, Patricia E., Adjunct Assistant Professor, 7/1/90 through 6/30/92.  
Harrison, Victor B., Jr., Assistant Professor (voluntary), 1/1/91 through 12/30/93.  
Herring, Helen M., Assistant Professor (voluntary), 7/1/90 through 6/30/92.  
Isaacs, Paul F., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Isenhour, Leonora H., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Lampton, T. Patrick, Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Latimer, Jonathan T., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Marshall, Ted A., Associate Professor (part-time)\*, 8/16/90 through 5/15/91.  
Mazzaro, Tony, Associate Professor (part-time)\*, 8/16/90 through 5/15/91.  
Middendorf, Karen L., Adjunct Assistant Professor, 7/1/90 through 6/30/92.  
Morrow, David L., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Oliver, Kenneth E., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Robinson, Bennie C., Assistant Professor, 7/1/90 through 6/30/91.  
Rompf, Elizabeth L., Assistant Professor, 7/1/90 through 6/30/91.  
Rudy, David, Professor (part-time)\*, 8/16/90 through 5/15/91.  
Seelig, John Michael, Associate Professor (part-time)\*, 8/16/90 through 5/15/91.



Stafford, Judith A., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Stivers, Teresa W., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Talsky, Gerald J., Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Walker, Robert, Assistant Professor (voluntary), 1/1/91 through 12/30/93.  
Weir, Ivan Ray, Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.  
Weir, Myra Bryant, Assistant Professor (part-time)\*, 8/16/90 through 5/15/91.

D. PROMOTIONS WITHOUT TENURE

College of Arts and Sciences

Natter, Wolfgang, from Instructor, German, to Assistant Professor, German, 7/1/90 through 6/30/91.

College of Business and Economics

Wang, Weiren, from Instructor, Economics, to Assistant Professor, Economics, 8/16/90 through 6/30/91.

E. TERMINAL REAPPOINTMENTS

College of Agriculture

Lowry, Stephen R., Associate Professor (without tenure), Animal Sciences, 7/1/90 through 6/30/91.

College of Arts and Sciences

Hofling, Charles A., Assistant Professor (without tenure), Anthropology, 7/1/90 through 6/30/91.

Jaromczyk, Jerzy W., Assistant Professor (without tenure), Computer Science, 7/1/90 through 6/30/91.

O'Hara, Kieran, Assistant Professor (without tenure), Geological Sciences, 7/1/90 through 6/30/91.

College of Communications

Levitt, Steven R., Assistant Professor (without tenure), Telecommunications, 7/1/90 through 6/30/91.

College of Engineering

Nassirharand, Amir, Assistant Professor (without tenure), Mechanical Engineering, 7/1/90 through 6/30/91.

College of Fine Arts

Kagan-Moore, Patrick, Assistant Professor (without tenure), Theatre, 7/1/90 through 6/30/91.

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\*Special Title Series

F. LEAVES OF ABSENCE

College of Agriculture

Liu, Calvin J., Associate Professor (with tenure), Forestry, Sabbatical leave with full salary, 7/1/90 through 12/31/90 and Leave without pay, 1/1/91 through 3/31/91.  
Rooney, James R., Professor (with tenure), Veterinary Science, Sabbatical leave with full salary, 9/1/90 through 2/28/91.

College of Arts and Sciences

Betts, Raymond F., Professor (with tenure), History, and Professor (without tenure), Honors, Undergraduate Studies, Sabbatical leave with full salary, Spring Semester 1991.  
Dillehay, Tom D., Professor (with tenure), Anthropology, Leave without pay, Fall Semester 1990 and Spring Semester 1991.  
Rabel, Robert J., Associate Professor (with tenure), Classics, to change from Sabbatical leave with half salary, Fall Semester 1990 and Spring Semester 1991, to Leave without pay, Fall Semester 1990 and Spring Semester 1991.  
Sands, Donald E., Professor (with tenure), Chemistry, Leave without pay, 8/16/90 through 8/15/91.

College of Communications

Orndorff, Robert L., Associate Professor (with tenure)\*, Journalism, Sabbatical leave with half salary, Fall Semester 1990 and Spring Semester 1991.

College of Education

Baird, Leonard L., Professor (with tenure), Educational Policy Studies and Evaluation, Sabbatical leave with full salary, Fall Semester 1990.  
Bridge, Connie, Professor (with tenure), Curriculum and Instruction, Sabbatical leave with full salary, Fall Semester 1990.  
Farley, Mary Ann, Associate Professor (with tenure)\*, Curriculum and Instruction, Sabbatical leave with full salary, Fall Semester 1990.  
Nance, James E., Associate Professor (with tenure)\*, Health, Physical Education and Recreation, Sabbatical leave with full salary, Fall Semester 1990.

College of Engineering

Bradley, E.B., Professor (with tenure), Electrical Engineering and Professor (without tenure), Physics and Astronomy, College of Arts and Sciences, Sabbatical leave with full salary, Fall Semester 1990.

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\*Special Title Series

College of Fine Arts

Holland, Sam, Assistant Professor\*, Music, Leave without pay, Fall Semester 1990 and Spring Semester 1991.

McIntosh, Suzanne, Associate Professor (with tenure)\*, Music, Sabbatical leave with full salary, Spring Semester 1991.

College of Home Economics

Chow, Ching K., Professor (with tenure), Nutrition and Food Science, Sabbatical leave with full salary, 7/1/90 through 12/31/90.

Hennig, Bernhard, Associate Professor (with tenure), Nutrition and Food Science, Sabbatical leave with full salary, 1/1/91 through 6/30/91.

McMahon, Pamela S., Associate Professor (with tenure)\*, Nutrition and Food Science, Leave without pay, Spring Semester 1991.

MEDICAL CENTER

I. BOARD ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Suver, James D., Professor (with tenure), Health Services, effective 7/1/90.

College of Medicine

Faugere, Marie-Claude, Associate Research Professor, Medicine, joint appointment as Associate Research Professor, Pathology, 7/1/90 through 6/30/91.

Foulks, Edward F., Visiting Professor (part-time), Psychiatry, 3/1/90 through 6/30/90.

Halmi, Katherine A., Visiting Professor (part-time), Psychiatry, 4/1/90 through 6/30/90.

Steger, Herbert G., Clinical Assistant Professor, Anesthesiology, 4/1/90 through 6/30/90.

College of Nursing

Free, Teresa A., Assistant Professor\*, 7/1/90 through 6/30/91.

Moore, Lisa, Instructor\*, 7/1/90 through 6/30/91.

Staten, Ruth, Assistant Professor\*, 7/1/90 through 6/30/91.

B. ADMINISTRATIVE APPOINTMENTS

College of Dentistry

Mullaney, Thomas P., Professor (with tenure), and Acting Chairman, Oral Health Practice, named Chairman, Oral Health Practice, 7/1/90 through 6/30/96.

College of Medicine

Thompson, John S., Professor (with tenure), and Chairman, Medicine, named Acting Chairman, Medicine, 7/1/90 through 6/30/91.

C. JOINT APPOINTMENTS

College of Medicine

Nash, Phyllis J., Associate Professor (with tenure), Behavioral Science, joint appointment as Associate Professor (without tenure), Surgery, effective 7/1/90.

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\*Special Title Series

D. REAPPOINTMENTS

College of Dentistry

Collier, Wayne W., Professor (without tenure) (part-time),  
Oral Health Practice, 7/1/90 through 6/30/91.  
Daugherty, Joe W., Professor (without tenure) (part-time),  
Oral Health Practice, 7/1/90 through 6/30/91.  
Lange, Karl W., Professor (without tenure) (part-time), Oral  
Health Practice, 7/1/90 through 6/30/91.  
Mullins, Richard, Associate Professor (without tenure)  
(part-time), Oral Health Practice, 7/1/90 through  
6/30/91.  
Kluemper, M. Joan, Associate Professor (without tenure)  
(part-time), Oral Health Practice, 7/1/90 through  
6/30/91.

College of Medicine

Hager, W. David, Associate Professor (without tenure)  
(part-time), Obstetrics and Gynecology, 7/1/90 through  
6/30/91.  
Pavlik, Edward J., Associate Research Professor, Obstetrics  
and Gynecology, joint appointment as Associate  
Research Professor, Biochemistry, 7/1/90 through  
6/30/91.  
Vernon, Michael W., Associate Research Professor, Obstetrics  
and Gynecology, joint appointment as Associate  
Research Professor, Physiology and Biophysics, 7/1/90  
through 6/30/91.

College of Nursing

Hazzard, Mary E., Associate Professor (Adjunct Title  
Series), 7/1/90 through 6/30/91.

College of Pharmacy

Lai, Yih-Loong, Associate Research Professor, 7/1/90 through  
6/30/91.  
Parker, Chester L., Associate Professor (without tenure)  
(part-time), 7/1/90 through 6/30/91.  
Pyrek, Jan St., Associate Professor (without tenure), 7/1/90  
through 6/30/91.

E. PROMOTIONS

College of Allied Health Professions

Threlkeld, A. Joseph, Assistant Professor, Clinical  
Sciences, to Associate Professor (with tenure),  
Clinical Sciences, effective 7/1/90.

Tucker, Thomas C., Assistant Professor\*, Health Services joint appointment as Assistant Professor\*, College of Medicine, Department of Preventive Medicine and Environmental Health, to Associate Professor\* (with tenure), Health Services, joint appointment as Associate Professor\* (without tenure), College of Medicine, Department of Preventive Medicine and Environmental Health, effective 7/1/90.

College of Medicine

Schmitt, Frederick A., Assistant Professor, Neurology, to Associate Professor (with tenure), Neurology, effective 7/1/90.

College of Nursing

Hall, Lynne A., Assistant Professor, joint appointment as Assistant Professor, College of Medicine, Department of Behavioral Science, to Associate Professor (with tenure), joint appointment as Assistant Professor, College of Medicine, Department of Behavioral Science, effective 7/1/90.

F. CHANGES

College of Medicine

Robertson, H. David, from Associate Professor\* (with tenure), Emergency Medicine, to Associate Professor (voluntary), Emergency Medicine, 4/1/90 through 6/30/91.

G. LEAVES OF ABSENCE

College of Medicine

Gupta, Ramesh C., Professor (with tenure), Preventive Medicine and Environmental Health, special leave with pay, 6/1/90 through 8/23/90.

H. RETIREMENTS

College of Dentistry

Stamper, Ovidia T., Account Clerk IV, Dental Records, after 22 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 7/13/90.

College of Medicine

Brower, Thomas, Professor (with tenure), Surgery, after 26 consecutive years of service, under AR II-1.6-1 Section III.B, effective 6/30/90.

Seeley, E. C., Professor (with tenure), Family Practice, after 15 consecutive years of service, under AR II-1.6-1 Section III.B, effective 7/31/90.

Swim, Alice T., Senior Research Associate, Medicine, after 10.5 consecutive years of service, under AR II-1.6-1 Section III.B, effective 3/1/90.

Zechman, Fred W., Professor (with tenure), Physiology and Biophysics, after 29 consecutive years of service, under AR II-1.6-1 Section III.A.2(a), effective 6/30/90.

University Hospital

Cabe, Elizabeth H., Staff Technician II, Pathology, after 18.5 consecutive years of service, under AR II-1.6-1 Section III.A.1(a), effective 7/27/90.

Brewster, Betty J., Senior Food Service Supervisor, Dietetics and Nutrition, after 28.5 consecutive years of service, under AR II-1.6-1 Section III.A.2.1(b), effective 7/27/90.

I. POST-RETIREMENT APPOINTMENTS

College of Dentistry

Mitchell, Mattie, Sterilization Technician, Prepared Tray and Sterilization, 5/16/90 through 6/15/90.

College of Medicine

Zechman Fred W., Professor (without tenure), Physiology and Biophysics, 7/1/90 through 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

College of Allied Health Professions

Bigger, Robert, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

Estep, Donna K., Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

Gately, Joe, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

Graybeal, Joan, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

Habibi, Giselle, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
Kawas, Elias E., Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
Knapke, Bill, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
Newsome, Carole, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
Orfanos, Lexi, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
Taffer, Debbie Batts, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.  
White, Sandra H., Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

College of Medicine

Sanders, Lynda C., Instructor (part-time), Pediatrics, 7/1/90 through 6/30/91.

B. REAPPOINTMENTS

College of Allied Health Professions

Adams, Marsha R., Instructor (Adjunct Title Series), Health Services, 7/1/90 through 6/30/91.  
Adkisson, William H., Jr., Assistant Professor\*, Health Services, 7/1/90 through 6/30/91.  
Annis, Kim, Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/90 through 6/30/91.  
Bogia, Benjamin, Assistant Professor\*, Health Services, 7/1/90 through 6/30/91.  
Boissonneault, Gilbert A., Assistant Professor, joint appointment as Assistant Professor, Graduate Center for Toxicology, 7/1/90 through 6/30/91.  
Brasseur, Jeannette E., Assistant Professor, Clinical Sciences, 7/1/90 through 6/30/91.  
Brown, Debra Sheffer, Assistant Professor\*, Clinical Sciences, 7/1/90 through 6/30/91.  
Geil, Patricia, Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/90 through 6/30/91.  
Hay, Audrey L., Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/90 through 6/30/91.  
Huff, Frank Rouse, Assistant Professor (Adjunct Title Series), Health Services, 7/1/90 through 6/30/91.  
Kremer, Theresa, Assistant Professor (Adjunct Title Series), Clinical Sciences, 7/1/90 through 6/30/91.  
Lewis, Sandra K., Assistant Professor, Clinical Sciences, 7/1/90 through 6/30/91.  
Perritt, Lea, Assistant Professor (part-time), Health Services, 7/1/90 through 6/30/91.

\*Special Title Series



Ridings, Herbert D., Assistant Professor\*, Health Services, 7/1/90 through 6/30/91.  
Spohn, Betty B., Assistant Professor (Adjunct Title Series), Health Services, 7/1/90 through 6/30/91.  
Thompson, Christina, Assistant Professor (Adjunct Title Series) (part-time), Clinical Sciences, 7/1/90 through 6/30/91.  
Vaughn, Gail, Clinical Instructor (voluntary), Clinical Sciences, 5/1/90 through 6/30/91.

College of Dentistry

Arvin, Howard G., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Arvin, Rachael, Assistant Professor (part-time), Oral Health Science, 7/1/90 through 6/30/91.  
Baluyut, Arlene R., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Brown, Garnett, C., III, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Elvidge, Kevin L., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Erena, Greg, Assistant Professor (part-time), Oral Health Science, 7/1/90 through 6/30/91.  
Freano, Marion Doyle, Assistant Professor (part-time), Oral Health Science, 7/1/90 through 6/30/91.  
Gray, John I., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Harrison, Ershal, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Higgins, Howard L., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Huffman, Eric F., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Jones, Stephen B., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Jordan, Bruce S., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Justice, Laura L., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Lasheen, Joe, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Lee, William E., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Lemmerman, Keith J., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Moody, Carolyn, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Morgan, William J., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Parmley, Lloyd E., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.

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\*Special Title Series

Ray, Deborah S., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Rider, Steven J., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Rodriguez, Carla M., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Schell, Susan C., Instructor (part-time), Oral Health Science, 7/1/90 through 6/30/91.  
Stansbury, Michael, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Thomas, Mark V., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Turner, Erwin, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Vose, Charles, Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Wehrman, Paul T., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.  
Williams, Loren N., Assistant Professor (part-time), Oral Health Practice, 7/1/90 through 6/30/91.

College of Medicine

Bradley, Barrett Blake, Assistant Professor\*, Obstetrics and Gynecology, 7/1/90 through 6/30/91.  
Curry, Thomas E., Assistant Research Professor, Obstetrics and Gynecology, joint appointment as Assistant Research Professor, Anatomy and Neurobiology, 7/1/90 through 6/30/91.  
Freeman, James W., Assistant Research Professor, Surgery, joint appointment as Assistant Research Professor, Microbiology and Immunology, 7/1/90 through 6/30/91.  
Homm, Robert T., Assistant Professor, Obstetrics and Gynecology, 7/1/90 through 6/30/91.  
Kirsch, John E., Assistant Professor, Diagnostic Radiology, 7/1/90 through 6/30/91.  
McKnight, Robert H., Assistant Professor, Preventive Medicine and Environmental Health, 7/1/90 through 6/30/91.  
Smith, Barbara H., Assistant Professor, Psychiatry, 7/1/90 through 6/30/91.  
Steger, Herberg G., Clinical Assistant Professor, Anesthesiology, 7/1/90 through 6/30/91.

College of Nursing

Antenucci, Margaret B., Instructor (part-time), 7/1/90 through 6/30/91.  
Berry, Ruth, Assistant Professor\*, 7/1/90 through 8/31/90.  
Buckles, Diane B., Instructor (part-time), 7/1/90 through 6/30/91.

Ireson, Carol L., Assistant Professor (Adjunct Title Series), 7/1/90 through 6/30/91.  
Isaacs, Sally, Instructor\*, 7/1/90 through 6/30/91.  
Kidd, Pamela S., Assistant Professor, 7/1/90 through 6/30/91.  
Moseley, Therese, Instructor (part-time), 7/1/90 through 6/30/91.  
Moss, Nancy, Assistant Professor\*, 7/1/90 through 6/30/91.  
Presler, Elizabeth, Assistant Professor (part-time), 7/1/90 through 6/30/91.  
Roper, Kathleen T., Instructor (part-time), 7/1/90 through 6/30/91.  
Salyer, Linda M., Assistant Professor (part-time), 7/1/90 through 6/30/91.  
Stefaniak, Karen, Instructor (Adjunct Title Series), 7/1/90 through 6/30/91.  
Storat, Betty A., Assistant Professor (part-time), 7/1/90 through 6/30/91.  
Warren, Janet K., Instructor (part-time), 7/1/90 through 6/30/91.  
Weaver, Diana, Assistant Professor, and Assistant Dean for Clinical Affairs, University Hospital, 7/1/90 through 6/30/91.  
Welsh, Judy D., Instructor (part-time), 7/1/90 through 6/30/91.  
Wilson, Esther Ann, Instructor\* (part-time), 7/1/90 through 6/30/91.

College of Pharmacy

Abell, Robert J., Assistant Professor (part-time), 7/1/90 through 6/30/91.  
Cassis, Lisa A., Assistant Professor, 7/1/90 through 6/30/91.  
Chandler, Mary H. H., Assistant Professor\*, 7/1/90 through 6/30/91.  
Coons, Stephen J., Assistant Professor, joint appointment as Assistant Professor, College of Medicine, Department of Behavioral Science, also joint appointment as Assistant Professor, College of Allied Health Professions, Department of Health Services, 7/1/90 through 6/30/91.  
Cummings, Ted A., Instructor (part-time), 7/1/90 through 6/30/91.  
Dwoskin, Linda P. Assistant Professor, 7/1/90 through 6/30/91.  
Green, Dwaine K., Assistant Professor (Adjunct Title Series) (part-time), 7/1/90 through 6/30/91.  
Hatton, Jimmi C., Assistant Professor\*, 7/1/90 through 6/30/91.  
Hunt, Max L., Clinical Assistant Professor, 7/1/90 through 6/30/91.

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\*Special Title Series

Jay, Susan M., Instructor (Adjunct Title Series) (part-time), 7/1/90 through 6/30/91.  
Kuhn, Robert J., Assistant Professor\*, joint appointment as Assistant Professor\*, College of Medicine, Department of Pediatrics, 7/1/90 through 6/30/91.  
Littrell, Robert A., Assistant Professor (Adjunct Title Series) (part-time), 7/1/90 through 6/30/91.  
Lodder, Robert A., Assistant Professor, joint appointment as Assistant Professor, College of Arts and Sciences, Department of Chemistry, 7/1/90 through 6/30/91.  
McIntyre, William J., Assistant Professor\*, 7/1/90 through 6/30/91.  
Mehta, Rahul C., Assistant Research Professor, 7/1/90 through 6/30/91.  
Riggs, Robert M., Assistant Professor, 7/1/90 through 6/30/91.  
Soltis, Edward E., Assistant Professor, 7/1/90 through 6/30/91.  
Tiggelaar, John M., Assistant Professor (part-time), 7/1/90 through 6/30/91.  
Wermeling, Daniel P., Assistant Research Professor, 7/1/90 through 6/30/91.

C. PROMOTIONS WITHOUT TENURE

College of Nursing

Fuller, Freida, Instructor\*, to Assistant Professor\*, 7/1/90 through 6/30/91.  
Peden, Ann R., Instructor\*, to Assistant Professor\*, 7/1/90 through 6/30/91.

D. CHANGES

College of Medicine

Grigsby, Donna J. G., from Instructor (part-time), Pediatrics, to Clinical Assistant Professor, Pediatrics, 7/1/90 through 6/30/91.  
Lacy, Rebecca A., from Instructor (part-time), Pediatrics, to Clinical Assistant Professor, Pediatrics, 7/1/90 through 6/30/91.  
Smith, Barbara H., from Assistant Professor (part-time), Psychiatry, to Assistant Professor, Psychiatry, 2/15/90 through 6/30/90.

E. TERMINAL REAPPOINTMENTS

College of Medicine

Levine, Gary I., Assistant Professor\*, Family Practice, 7/1/90 through 6/30/91.

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\*Special Title Series

F. LEAVES OF ABSENCE

College of Allied Health Professions

Currier, Dean P., Professor (with tenure), Clinical Sciences, sabbatical leave at full salary, 7/1/90 through 12/31/90.

College of Dentistry

Abrams, Herbert, Associate Professor (with tenure), Oral Health Practice, sabbatical leave at full salary, 9/1/90 through 2/28/91.

Smith, Timothy J., Professor (with tenure), Oral Health Science, sabbatical leave at full salary, 8/1/90 through 12/31/90, also sabbatical leave at full salary, 6/1/91 through 6/30/91.

College of Medicine

Galardy, Richard E., Assistant Professor, Biochemistry, leave without pay, 7/1/90 through 6/30/91.

Mitchell, Bonnie L., Associate Professor\* (With tenure), Pathology, sabbatical leave at full salary, 9/1/90 through 2/28/91.

College of Nursing

Isaacs, Sally, Instructor\*, leave without pay, 8/1/90 through 12/31/90.

Wever, Jo Ann, Associate Professor\* (with tenure), sabbatical leave at half-salary, 8/1/90 through 5/31/91.

College of Pharmacy

Hussain, Anwar A., Professor (with tenure), leave without pay, 7/1/90 through 12/20/90.

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\*Special Title Series

INFORMATION SYSTEMS

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENT

EARLY RETIREMENT

Postal Services

Ewing, Richard H., Postal Worker II, after 23.5 years of service, under ARII-1.6-1 Section III.A.2(b), effective 7/20/90.

B. POST-RETIREMENT APPOINTMENTS

Libraries

Bushnell, Sara, Library Assistant, Agriculture Library, effective 7/1/90 through 6/30/91.

McDowell, Agnes S., Library Assistant, Agriculture Library, effective 7/1/90 through 6/30/91.

II. ADMINISTRATIVE ACTION

A. ACADEMIC APPOINTMENTS

Libraries

Vaughn, Sarah C., Librarian III, Library Extension, effective 5/8/90 through 6/30/91.

RESEARCH AND GRADUATE STUDIES

I. BOARD ACTION

A. ADMINISTRATIVE APPOINTMENTS

Institute for Mining and Minerals Research

Sendlein, Lyle V. A., Professor (with tenure), Geological Sciences, reappointed as Director, Institute for Mining and Minerals Research, 7/1/90 through 6/30/95.

B. JOINT APPOINTMENTS

Graduate Center for Toxicology

Carney, John Michael, Associate Professor (with tenure), Pharmacology, joint appointment as Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/90 through 6/30/94.

Gupta, Ramesh C., Professor (with tenure), Preventive Medicine, joint appointment as Professor (without tenure), Graduate Center for Toxicology, 7/1/90 through 6/30/94.

C. REAPPOINTMENT

Graduate Center for Biomedical Engineering

Sisken, Betty F., Associate Research Professor, Graduate Center for Biomedical Engineering, 7/1/90 through 6/30/91.

D. REAPPOINTMENT IN JOINT APPOINTMENT WITH PROMOTION

Graduate Center for Toxicology

Hennig, Bernhard, from Associate Professor (with tenure), Nutrition and Food Science and Assistant Professor, Graduate Center for Toxicology, to Associate Professor (with tenure), Nutrition and Food Science, and Associate Professor (without tenure), Graduate Center for Toxicology, 7/1/90 through 6/30/94.

E. RETIREMENT

Center for Developmental Change

Ford, Thomas R., Director, and Professor (with tenure) Sociology, after 34.5 consecutive years of service, under Section III.B of AR II-1.6-1, effective June 30, 1990.

F. EARLY RETIREMENT

Kentucky Geological Survey

Beard, John G., Geologist IV, Kentucky Geological Survey, after 20.6 consecutive years of service, under Section III.A.2(b) of AR II.1.6-1, effective July 6, 1990.

G. LEAVE OF ABSENCE

Martin School of Public Administration

Leigland, James, Assistant Professor, Martin School of Public Administration, Leave without pay, Fall Semester 1990 and Spring Semester 1991.

II. ADMINISTRATIVE ACTION

A. JOINT APPOINTMENTS

Graduate Center for Toxicology

Dwoskin, Linda P., Assistant Professor (without tenure), College of Pharmacy, joint as Assistant Professor (without tenure), Graduate Center for Toxicology, 7/1/90 through 6/30/91.

McGillis, Joseph P., Assistant Professor (without tenure), Microbiology and Immunology, joint as Assistant Professor (without tenure), Graduate Center for Toxicology, 7/1/90 through 6/30/91.

B. REAPPOINTMENTS

Graduate Center for Biomedical Engineering

Brown, David R., Assistant Research Professor, Center for Biomedical Engineering, 7/1/90 through 6/30/91.

Martin School of Public Administration

Joyce, Philip G., Assistant Professor, Martin School of Public Administration, 7/1/90 through 6/30/91.

Leigland, James, Assistant Professor, Martin School of Public Administration, 7/1/90 through 6/30/91.



Office of the President  
June 19, 1990

PR5A

Members, Board of Trustees:

NEW ACADEMIC DEGREE

Recommendation: that the Board of Trustees authorize the award of the new degree of Master of Mining Engineering to those students who satisfactorily complete the requirements for that degree and are recommended by the University Senate.

Background: The Master of Mining Engineering is a new degree, which is related to an existing master's program in Mining Engineering. Graduate work in Mining Engineering at the master's level now may be either of two types. One type with a theoretical and research emphasis, which has been offered for several years, leads to the Master of Science in Mining Engineering degree. The second type, which has a practical application emphasis and is designed particularly for persons engaging in professional practice, leads to the new Master of Mining Engineering degree. The requirements for this new degree were approved by the University Senate on May 15, 1990. The University's graduate program leading to the Master of Mining Engineering was approved for registration by the Council on Higher Education on May 24, 1990. As a new degree, the Master of Mining Engineering also must be authorized by the Board of Trustees.

2855A

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR 5 B

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF ENGINEERING  
LEXINGTON CAMPUS

Recommendation: that Dr. Thomas W. Lester be appointed as Professor with tenure, Department of Mechanical Engineering, and named Dean of the College of Engineering, effective July 1, 1990.

Background: Following the resignation of Dr. Ray M. Bowen and the appointment of Acting Dean Vincent Drnevich, a search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Engineering. Approximately 50 applicants were considered by the search committee and three candidates were interviewed. The results of this careful search and evaluation led to a recommendation that Dr. Thomas W. Lester be appointed Dean of the College of Engineering.

Dr. Lester is currently Chairman of the Department of Mechanical Engineering and Professor of Mechanical Engineering at Louisiana State University. He did his undergraduate and graduate work at Purdue University, receiving his bachelor's degree with highest distinction in 1970, his master's degree in 1972, and his Ph.D. in 1974. He began his career in academia as an assistant professor at Kansas State University in 1975 and was promoted to full professor in 1981. He has been a visiting scientist at the University of Karlsruhe in West Germany and a mechanical engineer for the Environmental Protection Agency. His other professional experiences include being an experimental engineer with Pratt & Whitney Aircraft and with National Cash Register, Co. Dr. Lester is a native of New Castle, Indiana.

The University is fortunate to attract a person so well qualified as Professor Lester to provide the necessary leadership for the College of Engineering.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR 5 C

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF EDUCATION  
LEXINGTON CAMPUS

Recommendation: that Dr. J. John Harris III be appointed as Professor with tenure, Department of Administration and Supervision, and named Dean of the College of Education, effective August 1, 1990.

Background: Following the announcement of Dr. Edgar L. Sagan's resignation as Dean, a search committee was appointed to identify and recommend qualified persons for the position of Dean of the College of Education. Approximately 75 highly qualified applicants were considered by the search committee and three candidates were interviewed by the committee. The results of this careful search and evaluation led to a recommendation from the search committee and the majority of the faculty that Dr. J. John Harris III be appointed Dean of the College of Education.

Dr. Harris comes to the University of Kentucky from Cleveland State University where he has served as Dean of the College of Education and Professor of Education since 1987. Dr. Harris received his bachelor's degree from Wayne State University and his master's and doctoral degrees from the University of Michigan. He has served as professor and chairman of the Department of Educational Leadership and Policy Studies at Indiana University, where he had also been director of the Indiana University Center for Urban and Multicultural Education. In addition, Dr. Harris was a tenured assistant and associate professor at The Pennsylvania State University. His other professional experiences include being a consultant, project director, assistant principal, and public school teacher. He is a native of Altoona, Pennsylvania.

The College of Education will play a pivotal role in Kentucky's education reform movement. The University is fortunate to attract a person so well qualified as Professor Harris to provide leadership in this important area.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR5D

Members, Board of Trustees:

APPOINTMENT OF DEAN OF THE COLLEGE OF LIBRARY AND INFORMATION SCIENCE  
LEXINGTON CAMPUS

Recommendation: that Dr. Thomas J. Waldhart be named Dean of the College of Library and Information Science, effective July 1, 1990.

Background: Dr. Waldhart has served as Acting Dean of Library and Information Science since July 1, 1988. An internal search for a permanent Dean was conducted this spring and Dr. Waldhart was the faculty's choice. Dr. Waldhart received his bachelor's and master's degrees from the University of Wisconsin and his Ph.D. from Indiana University. He joined the faculty of Library and Information Science in 1970. He is a member of the American Society for Information Science, the Association for Library and Information Science Education, the American Library Association, the Kentucky Library Association, and the Medical Library Association.

The University is fortunate to attract an outstanding faculty member to the Deanship of the College.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR 5 E

Members, Board of Trustees:

APPOINTMENT OF DIRECTOR OF THE HONORS PROGRAM  
LEXINGTON CAMPUS

Recommendation: that Dr. Christine Havice be appointed Director of the Honors Program, effective September 1, 1990.

Background: Following Dr. Raymond Betts' decision to resign as Director of the Honors Program but continue as Director of the Gaines Center for the Humanities, an internal search was conducted to appoint a new Director of the Honors Program. Dr. Christine Havice was the top choice of the Honors faculty. Dr. Havice is Associate Professor of Medieval Art and Architecture in the Department of Art. She graduated from Ohio University, summa cum laude, in 1970 with a B.F.A. degree. She received her master's and doctoral degrees from The Pennsylvania State University in 1973 and 1978 respectively. She joined the faculty at the University of Kentucky in 1977.

The University looks forward to continued success and enhancement of the Honors Program under Dr. Havice's leadership.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR5F

Members, Board of Trustees:

APPOINTMENTS/REAPPOINTMENT TO UNIVERSITY OF KENTUCKY/  
BUSINESS PARTNERSHIP FOUNDATION

Recommendation: that approval be given to the appointment/reappointment of the following as members on the Board of Directors of the University of Kentucky/Business Partnership Foundation for terms beginning with the fall 1990 meeting and ending with the spring 1994 meeting.

Appointments

W. James Host  
Larry Jones  
C. Sumpter Logan

Reappointment

Gary Bello

Background: These new appointments as well as the reappointment were unanimously elected to the Board of Directors of the University of Kentucky/Business Partnership Foundation at their May 23, 1990 meeting. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky/Business Partnership Foundation approved by the Board of Trustees at its meeting on August 25, 1981.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR56

Members, Board of Trustees:

APPOINTMENTS TO UNIVERSITY OF KENTUCKY  
HUMANITIES FOUNDATION

Recommendation: that approval be given to the appointment of Joy Bale Boone and Nancy S. Dye to membership on the Board of Directors of the University of Kentucky Humanities Foundation for a four-year term beginning with the fall 1990 meeting.

Background: Ms. Boone and Dean Dye were nominated for membership on the Board of Directors of the University of Kentucky Humanities Foundation at their April 10, 1990 meeting. These appointments require approval by the Board of Trustees in accordance with the Articles of Incorporation for the University of Kentucky Humanities Foundation approved by the Board of Trustees at its meeting on September 18, 1984.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR 5 H

Members, Board of Trustees:

ABOLISHMENT OF AN ACADEMIC UNIT

Recommendation: to discontinue all four-year baccalaureate degree options (except the BSN in Nursing) offered through University Extension at Fort Knox along with the closure of the University of Kentucky Center at Fort Knox.

Background: For the past several years, University Extension has been operating the four-year program segment of the Fort Knox Center at a considerable economic loss. These losses are the result of declines in the number of military personnel opting for four-year degrees there. Initially, programs and personnel were reduced to deal with the lower enrollments and efforts were made to increase enrollments. However, when the military opted to reduce its tuition assistance program and buy its general studies coursework exclusively from U.K.'s Elizabethtown Community College, the U.K. Center at Fort Knox could no longer claim a viable educational or economic purpose.

It is, therefore, requested that all four-year baccalaureate degree options offered through University Extension's Fort Knox Center be discontinued. Degree programs through the Fort Knox Center being discontinued include the Bachelor of General Studies, the Bachelor of Arts in Political Science, the Bachelor of Arts in Psychology, the Bachelor of Arts and Bachelor of Science in Topical Majors, and the Bachelor of Science in Nursing. (It should be noted that the College of Nursing will continue to work with the Fort Knox Army Command and University Extension's Extended Campus Programs to continue its Bachelor of Science in Nursing baccalaureate degree program.)

Proper notification has been made to all students, and the full-time tenured faculty members have been reassigned.

The proposal has been reviewed by the Senate Committee on Organization and Structure and the Senate Council and is recommended by both.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990



Office of the President  
June 19, 1990

PR 6 A

Members, Board of Trustees:

FUND BOARD OF DIRECTORS APPOINTMENT

Recommendation: that approval be given to the reappointment of Mr. Ted Hahn as a member of the Board of THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center, for an additional two-year term, beginning July 1, 1990 and ending June 30, 1992.

Background: The Board of Trustees, in accordance with the Articles of Incorporation, appoints the public members of the Board of Directors of THE FUND.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR 6 B

Members, Board of Trustees:

AGREEMENT BETWEEN UNIVERSITY OF KENTUCKY AND  
KENTUCKY MEDICAL SERVICES FOUNDATION

Recommendation: that the Board approve the attached agreement between the Board of Trustees of the University of Kentucky and Kentucky Medical Services Foundation, Inc. which was negotiated pursuant to the "Board of Trustees Resolution Regarding Medical Practice Plan" adopted on June 20, 1978.

Background: The Board action on June 20, 1978 provided that:

"The President is authorized to negotiate and execute a contract subject to approval of the Board of Trustees, with an appropriately qualified organizational entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan."

Kentucky Medical Services Foundation, Inc. meets the "criteria" specified under Section III,A for the appropriately qualified organization. The attached agreement conforms to the provisions of the June 20 Board resolution and specifically satisfies the "conditions" set forth in Section III,B.

The terms of the attached document are essentially the same as those in the agreement approved by the Board on June 20, 1989. The document has been updated to reflect dates in the new contract period, and the amounts due the Foundation in Section 6,B. The Dean's Academic Enrichment Fund Section 8. has been increased from 9% to 10% of actual clinical income collected by the Foundation. The distribution of the 10% will be 1 1/2% to THE FUND for Advancement of Education and Research in the University of Kentucky Medical Center and 8 1/2% will remain in the Foundation. Section 19. on Professional Liability Insurance continues to reflect the fact that the University of Kentucky Medical Center has a self-insurance program in place and no longer carries excess umbrella insurance and that the Kentucky Medical Services Foundation will bear 47.15% of the total cost. The University of Kentucky will be reimbursed by the Foundation for 100% of the expenses attendant to the production of clinical income.

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Action taken: Approved  Disapproved \_\_\_\_\_ Other \_\_\_\_\_

Date: June 19, 1990

THIS AGREEMENT made and entered into this 19th day of June, 1990 by and between the BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY, a statutory body corporate existing pursuant to Section 164.100 et seq of the Kentucky Revised Statutes (hereinafter referred to as the University) and KENTUCKY MEDICAL SERVICES FOUNDATION, INC., a non-stock, non-profit corporation formed pursuant to Chapter 273 of the Kentucky Revised Statutes (hereinafter referred to as the Foundation).

WITNESSETH:

Section 1. RECITALS

A. Foundation is organized for the purposes stated in its Articles of Incorporation as a non-stock, non-profit corporation and will use and apply the whole or any part of its income and principal exclusively for charitable, scientific, or educational purposes at or for the benefit of, the University of Kentucky Medical Center.

B. A substantial number of University's faculty in its College of Medicine have heretofore executed agreements with Foundation, known as "Practice Agreements and Assignments" whereby said faculty have assigned to Foundation their professionally generated clinical income.

C. The recruitment and retention of the members of the medical faculty of the University will be improved by permitting the said members to supplement, by income from the practice of medicine, the salaries provided by the University.

D. The University recognizes that the medical practice activities of its faculty members can be conducted in a more efficient and economical manner if those faculty members who produce income are permitted, through the medium of the Foundation, to exercise a larger voice in the expenditure of the funds so produced.

E. The University has heretofore established, in addition to its previously adopted Physicians Service Plan, a "geographic full-time medical service plan", the said geographic full-time plan having been adopted on June 20, 1978, as amended on June 19, 1984; said action is hereby incorporated by reference as is set out in full herein.

F. The aforementioned action of University's Board of Trustees authorized the University's President to negotiate and execute a contract, subject to the approval of said Board, with an appropriately qualified entity for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under the plan.

NOW THEREFORE, the parties hereto agree as follows:

## Section 2. DEFINITIONS

A. "The Plan" means the geographic full-time medical service plan for the University of Kentucky College of Medicine established by action of the Board of Trustees of said University dated June 20, 1978, as amended on June 19, 1984.

B. "Clinical Income" means (1) all compensation, income and payments (direct or in kind and whether characterized as fees, retainers, or otherwise) for professional services rendered or to be rendered, including, but not limited to those relating to the diagnosis, treatment or evaluation of

patients or others and consultation, and (2) all payments whether direct or in kind (excluding actual travel costs) for providing advice, consultation, serving on boards or committees, overseeing, supervising or other participation with any person or entity involved with (a) health or medical care, (b) evaluation or care of patients, (c) drugs, (d) therapy of any kind, (e) accident or disease prevention, (f) health or medical care facilities, (g) programs involving health or medical care or (h) health or medical care of any other type, and (3) witness fees and payments relating to depositions, testimony or other evaluations in the capacity of a witness, and (4) all other income which relates to or would not exist but for the professional education, experience, or training. The Dean has the authority to approve exceptions to clinical income and is required to document the nature of the exception and the reason. Provided, however, that nothing contained herein shall be deemed to include in the term "clinical income" any item excluded therefrom by paragraph II, B of the plan.

C. "Practice Agreements" means those documents, denominated Practice Agreements and Assignments heretofore executed by certain members of University's faculty, the University, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center and the Foundation.

### Section 3. NATURE OF THE AGREEMENT

Pursuant to Paragraph III, A. of the aforementioned action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, University hereby recognizes Foundation as an "appropriately qualified organizational entity" as described therein for carrying out necessary functions and assuming the responsibilities involved in the administration of income for professional services under University's "geographic full-time medical services plan".

Section 4. BILLING AND COLLECTION

Foundation agrees that it will accept, pursuant to practice agreements, the assignment of all clinical income by participants in the plan and, in accordance with such assignment, agrees to bill the amount of duly established charges for professional services to parties determined to be responsible for payment of such charges.

Section 5. REIMBURSEMENT OF UNIVERSITY

A. In accord with paragraph II, D. 2 of the plan, the parties recognize that Foundation must reimburse University for actual expenses incurred by it which are attendant to the production of clinical income.

B. Foundation agrees to pay to University, as reimbursement for the expenses attendant to the production of clinical income, University's actual annual costs, as determined by clinical department expenditures as reimbursement of personnel expenses and other current expenses, in direct support of the operation of the AMS Clinic.

Payments hereunder shall be in the following manner:

1. The University will submit statements of actual expenditures for each month by the 15th day of the succeeding month. KMSF will reimburse the University of Kentucky by the 22nd day of that month.

C. In addition to the above, Foundation agrees that it will reimburse University for all "plan income", as that term is defined in paragraph II, C., 2 of the plan, paid to faculty by University.

Section 6. REIMBURSEMENT OF FOUNDATION

A. The parties recognized that University receives certain services from Foundation, for which it is due reimbursement as specified hereinbelow.

B. University agrees to pay to Foundation on behalf of members of the Department of Diagnostic Radiology for the provision of x-ray interpretation services to the University Student Health Service during the period July 1, 1990 - June 30, 1991 a sum not to exceed Seventeen Thousand Six Hundred (\$17,600.00) Dollars.

C. University agrees to pay to Foundation on behalf of members of the Department of Neurology, for provision of EEG interpretation services to the University Student Health Service, the usual and customary fee for each such service rendered.

D. University agrees to pay to Foundation on behalf of the clinical faculty members of the College of Medicine, for provision of specified physical examinations to certain University employees, a fee for each examination.

E. University agrees to pay Foundation on behalf of clinical faculty members of the Department of Emergency Medicine, for provision of emergency room services to the University Health Service, the usual and customary fee for each service rendered.

F. University agrees to pay Foundation on behalf of clinical faculty of the College of Medicine for provision of any other requested services to the University Health Services, the usual and customary fee for each service rendered.

G. University will make payment to Foundation quarterly, on the last calendar day of each quarter beginning September 30, 1990, for services as described in paragraph B hereinabove. University will make payment to Foundation monthly, on the last calendar day of each month beginning July 31, 1990, for services as described in paragraphs C, D, E, and F hereinabove.

#### Section 7. COST SETTLEMENTS

A. The parties recognize that the provision of medical services in the current competitive environment may at times require a joint effort on the part of the University and University Hospital and the Foundation in the formation of new delivery systems. These efforts may at times require the sharing of cost between the parties. Formulas for the sharing will be developed and mutually agreed to by the parties, which may result in payment by the University to the Foundation, or by the Foundation to the University. Examples of such joint efforts include but are not limited to:

1. Discounts to University of Kentucky employees and their dependents as part of the University of Kentucky Health Plan.
2. The operation of the UK Health Care Plans.

B. The parties also agree that data exchanges between the computer systems, regardless of mode, of the University and the Foundation will be payable at cost by the receiving party, or offset by an exchange of data deemed by both parties to be payment-in-kind.

#### Section 8. ACADEMIC ENRICHMENT FUND

A. Consonant with Section II, D. 3 of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, Foundation agrees that it will pay to The Fund for Advancement of Education and Research



in the University of Kentucky Medical Center (hereinafter Fund), an amount equal to one and one-half (1.5%) percent of the actual clinical income collected by said Foundation. The Fund will deposit said payments in an account maintained for the purpose of providing an academic enrichment fund to be used by the Dean of the College of Medicine in support of the programs of said College and for related purposes. Amounts due hereunder will be computed by Foundation on a monthly basis as income is received and remitted to the Fund within twenty-five (25) days after the close of each monthly accounting period.

B. Foundation further agrees that it will deposit, in an account maintained by Foundation, on the same basis as provided in subsection A. hereinabove, an additional amount equal to eight and one-half (8.5%) percent of the actual clinical income collected by said Foundation, said funds to be used by the Dean of the College of Medicine for the enrichment of the programs of the College and for related purposes in his sole discretion.

#### Section 9. PARTICULAR COVENANTS OF THE FOUNDATION

A. Foundation's Articles of Incorporation, as filed with the Secretary of State of the Commonwealth of Kentucky on June 19, 1978, have been inspected by the University as a condition precedent to University's entry into this agreement. Foundation covenants that it will not, absent the written agreement of the University, amend or otherwise alter said Articles or adopt any by-law or other operating practice which would effectively alter the character of said Foundation.

B. Foundation covenants that it will not engage in the practice of medicine, nor will it solicit, administer, receive, perform or accept any gift, grant, devise, bequest, contract or other arrangement with or from any governmental unit, entity, or subdivision or any person, corporation, partnership, association or other entity whatsoever except as specifically

permitted herein. Provided, however, that nothing contained herein shall be construed to prohibit the Foundation (subject to the approval of the Dean of the College of Medicine) from negotiating and executing contracts providing exclusively for professional services by faculty who have executed practice agreements.

C. Foundation covenants that it will not merge with any other corporation or convey any substantial portion of its assets to any other corporation, partnership, or other entity except as specifically provided herein and that, in the event of its dissolution its Board of Directors shall cause its assets to be applied and distributed as follows: (a) all liabilities and obligations of the corporation shall be paid, satisfied and discharged, or adequate provisions shall be made therefore; (b) assets held by the corporation upon a condition which occurs by reason of the dissolution, shall be returned, transferred or conveyed in accordance with such requirements; and (c) all of the remaining assets of the corporation shall be transferred or conveyed to the Board of Trustees of the University of Kentucky, or its successor, to be used for medical education and research, if said University or its successor, shall qualify as a public corporation and an instrumentality of the State of Kentucky or as an exempt organization under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended; and if said University or its successor shall not so qualify, then to some other organization or organizations organized and operated exclusively for charitable, educational, or scientific purposes in the field of medicine as shall at the time qualify as an exempt organization or organizations under Section 501(c) (3) of the Internal Revenue Code of 1954, as amended.

Section 10. MEMBERSHIP AND BENEFITS

A. University agrees that it will assist the Foundation to enforce the terms of the practice agreements entered into by members of University's clinical departments of its College of Medicine by requiring adherence to said agreements as a condition of continued employment as a member of University's faculty.

B. University agrees that it will require, as a condition of employment as a member of University's faculty in a clinical department in which a majority of the faculty members have executed practice agreements, that new members of its faculty execute said practice agreement in form identical to said agreements heretofore executed or as the form of same may be modified by mutual agreement of the parties hereto from time to time.

C. Foundation agrees that it will not, absent the written consent of University, bill for, collect or administer any item of income for any person or entity not holding membership in the plan, nor will it permit persons not members to serve on its Board of Directors, nor will it provide any benefit or other thing of value to any person or entity not a member of the plan except for (1) termination benefits to former members as provided by action of Foundation's Board of Directors, (2) incidental benefits indirectly accruing to employees of the Medical Center by virtue of Foundation's activities, (3) students and House Staff of University, (4) payment to nurses incident to their recruitment to the University Medical Center, (5) education and technical training costs of persons involved in patient care activities or (6) education and technical training costs of persons indirectly involved in patient care activities if approved on a case by case basis by the Dean of the College of Medicine or the Chancellor for the Medical Center.

D. In order to provide University of Kentucky Health Plan patients with a unified bill, Foundation is authorized to bill and collect the following items: clinic Pharmacy charges, patient deductible and non-covered items, fees generated by the faculty in the clinical practice plans of the colleges in the Medical Center and Oral Surgery fees. The collection and billing shall be in accord with that certain contract entered into between the University and the Foundation.

E. In certain contractual relationships for the provisions of patient care services it may be necessary or advantageous to present a unified billing, incorporating charges from a University patient care organizational entity(ies) and Foundation members. In such cases, the Foundation is authorized to bill and collect on behalf of the University patient care organizational entity(ies) provided a proposed unified billing arrangement has been developed and agreed to by the parties and has been reviewed by University legal counsel.

Examples of such unified billing include but are not limited to:

1. Charges for hospital and physician services under the terms of an agreement with Humana Health Care Plans for the provision of kidney transplantation services.

2. Hourly charges for physician, dental, and nursing services rendered at the Family Care Center under the terms of an agreement with the Lexington-Fayette Urban County Department of Social Services.

3. University of Kentucky Health Plan.

F. Foundation shall assume responsibility for the costs of drugs and supplies to be used in the patient care programs in the clinics and is hereby authorized to bill, collect, and deposit in foundation accounts revenue from these non ancillary items.

G. Foundation agrees that it will strictly enforce the terms of its practice agreements, report promptly to University in the event any individual fails or refuses to abide thereby and join with University in any appropriate action, in law or equity, necessary to secure compliance therewith.

Section 11. PERSONNEL

The parties recognize that the Foundation must employ various individuals to carry out its functions hereunder; however, the parties also recognize, and hereby declare their intention to adhere to, those provisions of the plan adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, particularly those portions which provide that University must retain responsibility and authority for the appointment of faculty and house staff, the determination of institutional staffing patterns and the maintenance of balance among programs and their component activities.

Accordingly, with respect to employment of personnel by Foundation, the parties agree as follows:

A. Foundation staff

The Foundation may employ an executive director and such assistants, including secretarial and clerical personnel as may be determined by its Board of Directors to be necessary to permit said Foundation to bill and collect professional fee income and to administer its funds in accord with the terms of the geographic full-time plan.

The Foundation is authorized to house computer equipment as may be required to communicate with its central computer and house sufficient employees in the University Medical Plaza building for the purpose of providing billing and financial information to patients of University physicians who are members of KMSF.

B. Other personnel

During the term of this contract Foundation agrees that it will not employ persons other than the employees authorized in Section 11. A. to perform services in University's patient care or college programs. Provided, however, that this provision shall not be construed to prohibit specific agreements between the parties whereby University will employ personnel for such service and/or research duties with reimbursement of salary, fringe benefits and other direct costs by Foundation. Where such personnel are employed for service in a specific department or unit, employment will not occur unless (1) the department or unit where service is to be performed has consented in writing to said appointment, (2) the Dean of the College of Medicine has approved the employment and the job description related thereto and (3) funds for the salary, fringe benefits, and other costs related to said employee are either on hand or reasonably anticipated in the departmental or unit budget.

C. Equal opportunity-affirmative action

Foundation agrees that it will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, age or handicap. The Foundation agrees that it will take affirmative action to ensure that applicants are employed and employees are treated during employment, without regard to their race, color, religion, sex, national origin, age or handicap. Such action shall include, but not be limited to the following: employment, upgrading demotion or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other compensation; and selection for training. The Foundation agrees that it will, in all solicitations or advertisements for employees, state that all qualified applicants will receive consideration for employment without regard to race,

color, religion, sex, national origin, age or handicap. The Foundation agrees that it will indemnify and save harmless the University, the Board of Trustees of the University including the individual members thereof, officers, agents and employees of the University by reason of any action at law or equity or by reason of any administrative proceeding initiated by any person arising out of any such employment action or practice of Foundation, including the payment of attorney fees and other costs of such action or proceeding.

#### Section 12. USE OF UNIVERSITY FACILITIES

The parties recognize that paragraphs III, B. 4, b, d, g and i of the "geographic full-time plan" adopted by University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, require that institutional control be maintained with respect to direction and supervision of institutional activities and programs, assignment and use of space in institutional facilities, determination of goals, objectives and priorities and the maintenance of balance among programs and their component activities.

Accordingly, Foundation and University agree that University will provide space for Reproductive Medicine (OB/GYN), Kentucky Heart Institute, the Chambers Building, appropriate assigned space in the University Medical Plaza and the Emergency Room (exclusive of faculty offices and classroom space contained therein) and other space officially designated for the conduct of the patient care operation.

With respect to any other facilities which University may from time to time provide to Foundation, Foundation shall be deemed a licensee without any property right whatsoever in said premises or facilities and shall, upon demand of University, vacate same.

Section 13. MEDICAL RECORDS

The parties agree that all medical records relating to the care of patients in University facilities or as a part of University programs, are and shall remain the property of University and that Foundation has no right or property interest therein. Provided, however, that nothing herein shall be construed to limit access to said records by members of University's faculty, staff, and students pursuant to existing University procedures. Provided, further, that University agrees to provide or make available to Foundation such information and data from patient records and program data maintained by University as may be necessary for Foundation to perform its billing and collection functions herein provided, including such information and data as may be necessary to meet the requirements of third-party or other payors as the basis for payment for services.

Section 14. INSPECTION AND AUDIT

A. Foundation agrees that, in accord with paragraph II, B. 1, of the action of University's Board of Trustees dated June 20, 1978, as amended on June 19, 1984, its books, records and accounts are open at all reasonable times to inspection and audit by appropriately designated University representatives.

B. The parties agree that the University's Internal Auditors may conduct an audit of Foundation's operations and accounts for the period ending June 30, 1990 and such other audits, including audits to determine compliance with this agreement, the terms of the action of University's Board of Trustees on June 20, 1978, as amended on June 19, 1984, and the terms of the departmental agreements referred to in Section 20 hereof, as may be deemed necessary



..or advisable by the University of Kentucky Controller and Treasurer, and that Foundation's agents and employees will cooperate to the fullest extent possible with University's auditors designated to conduct same. Further, the parties agree that a firm of Certified Public Accountants, mutually agreeable to the parties, will be employed by the Foundation to perform an independent audit of the Foundation's books, records and accounts for the year ended June 30, 1990.

C. The Parties agree that Foundation will be furnished information by University sufficient for Foundation to verify the nature and detail of expenditure of funds paid to University under the provisions of Section 5.c.1. hereinabove.

Foundation agrees that it will furnish to University the following reports.

Annually

Audited Financial Statements to include:

Opinion of Independent Certified Public Accountants

Balance Sheet

Statement of Changes in Fund Balances

Statement of Current Revenues and Expenditures

Supplementary Schedules

Quarterly

Financial Statements to include:

Balance Sheet

Statement of Operations

Gross Billings

Collections

Financial Allowances

Bad Debts

Aging Trial Balance of Accounts Receivable by:

Patient liability

Third party payor

Reports of Delinquent Account Activity to include:

Referrals

Collections

Section 15. DEFAULT

A. In the event of any failure of Foundation to pay any sum due to University hereunder within thirty (30) days after written notice of such default shall have been mailed to Foundation, or any failure to perform any other of the terms, conditions or covenants of this agreement to be observed or performed by Foundation for more than thirty (30) days after written notice of such default shall have been mailed to Foundation, or if Foundation shall become bankrupt or insolvent, or file any debtor proceedings, or take or have taken against Foundation in any court pursuant to any statute either of the United States or of any State a petition in bankruptcy or insolvency or for

reorganization or for the appointment of a receiver or trustee of all or a portion of Foundation's property, or if Foundation makes an assignment for the benefit of creditors, or petitions for or enters into an arrangement therewith, then University may terminate this agreement. Provided however, that should any default occur which cannot reasonably be corrected within such thirty (30) day period then Foundation shall have such additional time to correct said default as may be reasonably necessary if Foundation promptly initiates, and expeditiously carries out, appropriate action to correct such default.

B. University may at its election terminate this agreement with respect to any department without termination of the entire agreement for the same reasons and in the same manner as provided hereinabove.

#### Section 16. PROFESSIONAL LIABILITY

Nothing contained herein shall be construed to provide that any member of University's clinical faculty, house staff or student is acting as an agent of Foundation when providing care to patients in University facilities or as a part of an approved University program. University agrees that, when so acting, said faculty, house staff and students shall be considered agents of said University and that payment of claims or judgments may be made on their behalf from the fund provided pursuant to KRS 164.941 or other University funds.

#### Section 17. APPLICATION OF INCOME

University agrees that it will not, during the operation of this contract, cause charges for services rendered after July 1, 1978 by members of

the faculty who have executed Practice Agreements and Assignments to be deposited in the accounts of the Fund for Advancement of Education and Research in the University of Kentucky pursuant to the provisions of University of Kentucky Administrative Regulation AR II-7.0; deposits of such charges attributable to faculty who have not executed said Practice Agreements and Assignments shall continue pursuant to said regulation.

Section 18. MANAGEMENT AND INVESTMENT POLICIES

A. The parties recognize and agree that the reimbursement of University costs incurred attendant to the production of professional income and the provision of a sum equal to ten (10%) percent of Foundation's gross income for the purpose of providing an academic enrichment fund for University's College of Medicine constitute the second and third charges, respectively, upon Foundation's gross income, the first charge being Foundation's ordinary and necessary expenses incurred in billing and collecting said income and providing for the central administration of Foundation.

B. Foundation agrees that funds deposited in a separate account pursuant to Section 8, paragraph B, Academic Enrichment Fund and the separate account pursuant to its contribution for self-insurance identified in Section 19, paragraph B shall be invested only in the following: (1) direct obligations of the United States Treasury; (2) other appropriate securities issued by federal agencies (including the Federal National Mortgage Association, the Export Import Bank, Federal Intermediate Credit Bank, Federal Home Loan Banks, Federal Land Banks); and (3) certificates of deposit collateralized by direct obligations of the federal government, federal agency obligations which qualify for direct investment under this recommendation, general obligations of the Commonwealth of Kentucky or obligations of the University of Kentucky.

Foundation shall be entitled to the investment income derived from said account, provided however investment income derived from balances attributed to the academic enrichment fund identified in subparagraph A. and Section 8, paragraph B. hereinabove shall be credited to said account.

Section 19. PROVISION OF PROFESSIONAL LIABILITY INSURANCE

A. Under the restructured professional liability insurance program of total self insurance, Foundation agrees that it will pay the University, as reimbursement, for its portion (47.15%) of the costs of the following: (i) payments required pursuant to KRS 164.941 in one (1) lump sum payment by July 15, 1990, and (ii) costs of claims administration in twelve (12) equal installments the first said installment being due and payable on July 31, 1990 and monthly thereafter until June 30, 1991.

B. In addition, Foundation has agreed that between July 1, 1990 and June 30, 1991, it will cause to be deposited in a separate account within the Foundation the sum of 47.15% of the additional contributions for self insurance, said account together with interest accruing thereto to be held solely for the purpose of payment of legal fees, settlements, and judgments arising for liabilities defined in KRS 164.941 (3). No monies in said account shall be expended for any other purpose than as provided in this Section without the written agreement of University, nor shall such monies be encumbered, pledged or otherwise hypothecated. The monies in said account shall be invested only as provided in Section 18 hereof. Payment to the separate account may be in equal installments, provided that the full 47.15% shall be deposited by June 30, 1991.

C. In sum, Foundation agrees to pay in both assessments and contributions 47.15% of the total program costs of 1990-91 as determined by KRS 164.941 and the Malpractice Risk Management Committee.

Section 20. ADMINISTRATION OF DEPARTMENTAL FUNDS

A. Foundation agrees that it will, after making provision for: (1) the costs of its administration and costs relating to billing and collection, (2) reimbursement of University expenses pursuant to Section 5 hereof, (3) the College of Medicine Academic Enrichment Fund pursuant to Section 8 hereof and (4) provision of professional liability insurance pursuant to Section 19 hereof, maintain its accounts in such a manner that income attributable to the professional clinical activities of each of the following departments or divisions shall be shown separately on books maintained by the Foundation from any other fund or funds held by said Foundation:

Anesthesiology  
Diagnostic Radiology  
Emergency Medicine  
Family Practice  
Medicine  
Neurology  
Ob/Gyn  
Ophthalmology  
Pathology  
Pediatrics  
Preventive Medicine & Environmental Health  
Psychiatry  
Radiation Medicine  
Rehabilitation Medicine  
Surgery

B. Thereafter, Foundation agrees that it will expend the funds maintained by it in the aforementioned accounts only in accord with the departmental or divisional agreement relating to each department or division. Said departmental agreements are recognized as a material portion of this agreement. Therefore the parties agree that University shall retain custody of all funds accruing to the account of departments for which an approved departmental agreement has not been executed. Said departmental or divisional agreements may be amended from time to time, as provided in said agreements, with the approval of the Dean of the College of Medicine.

Section 21. NOTICES

All notices, demands and requests hereunder shall be in writing and shall be given by United States Registered or Certified Mail or by messenger delivery, in the case of University to:

Dean, College of Medicine  
MN-150  
University Medical Center  
800 Rose Street  
Lexington, Kentucky 40536-0084

In the case of Foundation to:

Kentucky Medical Services Foundation, Inc.  
729 S. Limestone  
Lexington, Kentucky 40508

Each party may from time to time change its address for purposes of notice by giving to the other party notice of such change of address. Any notice, demand or request given by United States Registered or Certified Mail, as provided herein, shall be deemed served on the date it is deposited in the United States mails properly addressed and with postage fully prepaid.

Section 22. RECORDS ACCESS

Pursuant to Public Law 96-499, Section 952, the Foundation agrees to make available upon written request from the Secretary of Health and Human Resources, the Comptroller General, or the Department of Human Services of the State of Kentucky or any of their duly authorized representatives, access to this contract and all other service agreements written or oral entered into or renewed since December 5, 1980, and access to books, documents, and records necessary to verify costs of services provided by such arrangements exceeding \$10,000 in a 12-month period. Access will be permitted until four years have expired after completion of services furnished, and in accordance with the applicable federal regulations.

If the Foundation carries out any of the duties under this contract through a sub-contract with a related organization, with a value or cost of \$10,000 or more over a 12-month period, such sub-contract shall contain a clause to the effect that until the expiration of four years after the furnishing of such services pursuant to the sub-contract, the related organization shall make available, upon written request from the Secretary or Comptroller General, or any of their duly authorized representatives, the sub-contract, books, documents and records of such organization that are necessary to verify the nature and extent of such costs.



Section 23. TERM

The term of this agreement shall begin on July 1, 1990 and end on June 30, 1991.

IN WITNESS WHEREOF, the parties hereto have executed this agreement, pursuant to proper authorization of their respective governing boards, as of the day and year first above written.

BOARD OF TRUSTEES OF  
THE UNIVERSITY OF KENTUCKY  
BY: *Chad Muller*  
President  
University of Kentucky

ATTEST: *Leslie F. Wray*

KENTUCKY MEDICAL SERVICES  
FOUNDATION, INC.

BY: *Albert Sella, Jr.*

ATTEST: \_\_\_\_\_

EXAMINED FOR FORM AND LEGALITY:  
OFFICE OF LEGAL COUNSEL  
UNIVERSITY OF KENTUCKY  
BY: *Samuel*  
ATTORNEY AT LAW

Office of the President  
June 19, 1990

PR8A

Members, Board of Trustees:

APPOINTMENT OF BOARD OF DIRECTORS  
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION

Recommendation: that approval be given to (1) the appointment of Dr. Donald Frazier and Dr. William Ehmann (faculty members) and Dr. Lee Todd (public member) to the Board of Directors of the University of Kentucky Research Foundation for three-year terms ending in 1993; and (2) the reappointment of Mr. Walter D. Huddleston (Trustee member) to a three-year term ending in 1993.

Background: In accordance with the by-laws, members of the Board of Directors of the University of Kentucky Research Foundation are appointed by the Board of Trustees to serve three-year terms. The Nominating Committee has recommended the appointment of Dr. Donald Frazier and Dr. William Ehmann (faculty members) and Dr. Lee Todd (public member), and the reappointment of Mr. Walter D. Huddleston (Trustee member).

Action: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

PR8B

Members, Board of Trustees:

ESTABLISHMENT OF MULTIDISCIPLINARY  
CENTER FOR COMPUTATIONAL SCIENCES

Recommendation: that approval be given to establish a Multidisciplinary Center for Computational Sciences.

Background: Computational sciences is one of the most rapidly growing fields of research. It affects all branches of academic endeavor. Computational sciences is not a discipline in the traditional sense. It is a new mode of doing research in all disciplines. It is intrinsically interdisciplinary, is facilitated by interdisciplinary communication, and often is characterized by rapid transfer of technology between different disciplines. The Center will focus much of its efforts in encouraging such communication and collaboration across traditional lines within the university.

This Center will promote the use of computers through coordinated programs in research, training and education, and will provide a focus for faculty and students who have a common interest in computation. The Center's programs will be complementary to those of other departments, and in most cases, will be collaborative with them. The Center will be administered through the Office of the Vice President for Research and Graduate Studies at the University of Kentucky. The establishment of the Center will formalize an activity which has been in existence since 1986, as a component of the NSF/EPSCoR grant, and since 1987 as a Center of Excellence sponsored by the Council on Higher Education.

The proposal has been reviewed by the Senate Committee on Organization and Structure and the Senate Council and is recommended by both.

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Action: Approved  Disapproved  Other

Date: June 19, 1990

**I. PROPOSAL:**

It is proposed that an interdisciplinary Center for Computational Sciences be established at the University of Kentucky. This Center will promote the use of computers through coordinated programs in research, training and education, and will provide a focus for faculty and students who have a common interest in computation. The Center's programs will be complementary to those of other departments, and in most cases, will be collaborative with them. The Center will be administered through the Office of the Vice President for Research and Graduate Studies at the University of Kentucky. The establishment of the Center will formalize an activity which has been in existence since 1986, as a component of the NSF/EPSCoR grant, and since 1987 as a Center of Excellence sponsored by the Council on Higher Education.

**II. BACKGROUND:**

Computational science is one of the most rapidly growing fields of research, and it affects all branches of academic endeavor. Computational science is not a discipline in the traditional sense. It is a new mode of doing research in all disciplines. It is intrinsically interdisciplinary, is facilitated by interdisciplinary communication, and often is characterized by rapid transfer of technology between different disciplines. The Center will focus much of its efforts in encouraging such communication and collaboration across traditional lines within the university.

The Center for Computational Sciences will also be complementary to the Department of Computer Science. Despite the similarity of names, there is a clear distinction between the two fields. Computer science is concerned with the study of computers, how they function and how they should be designed, whereas computational science focuses on the application of computers to science, engineering and other problems.

The field of computational science at the University of Kentucky has been recognized as a promising growth area by both the National Science Foundation and the Council on Higher Education of the Commonwealth of Kentucky. Both organizations have provided funds to support research programs in this area.

The computer is probably the most versatile machine ever invented. It has certainly had a large impact on society as a whole, and on academic life in particular. Despite its past impact, the computer is still in its infancy. The

rapid technological advances of the past forty years, which have produced the modern generation of computers, will continue many years into the future. As this development continues, the computer will become more and more integrated into all aspects of research and education. The Center for Computational Sciences will play an important role in preparing the faculty and students of the University of Kentucky to take the best possible advantage of these developments.

### III. MISSIONS AND GOALS:

The Center for Computational Sciences will have as its principal missions, the initiation, encouragement and facilitation of the use of computers in academic research and education. The last of these three is particularly important in that the Center's most visible role will be in reducing the barriers between the idea and the result, the researcher and the computer, the human and the machine. Much of this will be in the form of a liaison role between the UK Computing Center and the rest of the University.

Motivating goals of the Center include:

- (1) To support computational research of graduate students, post-doctoral fellows and faculty.
- (2) To facilitate and support collaborative research with researchers from other institutions, through the support of travel and visiting scientists.
- (3) To foster effective knowledge exchange in computational science through the sponsorship of seminars, workshops and conferences.
- (4) To inform students, staff and faculty of the fundamentals and latest developments in computational methods and technology.
- (5) To encourage projects in which the computer can contribute to the solution of problems of industrial and community relevance.
- (6) To plan for the effective and equitable allocation of computational resources available to the Center, such as the supercomputer recently installed in the University of Kentucky Computing Center.

All of these goals are designed to create an optimum environment in which computational research and education can be accomplished and to make the University of Kentucky a national leader in this field. This will create an atmosphere attractive to recruiting and retaining the best faculty and students. Currently, the Center supports researchers in ten departments of the University of Kentucky, and is associated with activities in a number of others.

#### IV. ORGANIZATION AND STAFFING:

The Center for Computational Sciences will function as a unit of the Office of the Vice President for Research and Graduate Studies. Appointments and resource allocation will be approved through that office.

A multidisciplinary center such as the Center for Computational Sciences must have an organization which involves strong links and effective communication channels with the other disciplines. Accordingly, the Center will have a structure of advisory panels which will provide input from all branches of academic research.

The first of these is a Planning and Allocations Committee, appointed by the Director, which consists of active computational research faculty from the variety of disciplines which are involved with the center's activities. They will provide input on detailed planning and allocations of the Center's resources. Currently, this committee consists of faculty from the Departments of Mathematics, Physics, Chemistry, Computer Science, Mechanical Engineering, Civil Engineering, Biochemistry, Pharmacy, Family Studies and the Computing Center.

The second is an Administrative Advisory Committee, to be appointed by the President, and comprised of college and research administrators who are concerned with the activities of the Center. It will provide advice to the Center on policy and planning and serve as a communication channel between the Center and the rest of the University. The membership of this committee will consist of the Vice President for Research and Graduate Studies, who will serve as chairman, the Vice President for Information Systems and representatives from those parts of the university which have research programs closely connected with those of the Center. This will include the Chancellor of the Lexington campus, the Chancellor of the Medical Center, the Dean of Arts and Sciences, the Dean of Engineering and the Deans of the other colleges as appropriate.

The third will be an External Advisory Committee, to be appointed by the Vice President for Research and Graduate Studies, made up of eminent computational scientists from other institutions. The role of this committee will be to advise the Center on broad policy issues, and to make recommendations from the national perspective. The members of the External Advisory Committee will be appointed when the Center has been officially approved.

Most individuals involved in the Center's activities will not be permanently connected with it. The large part will consist of graduate students, post-doctoral fellows, faculty associates and visitors, who will be associated with the Center for a particular project of limited duration. The permanent staff will consist of the Director, appointed by and responsible to the Vice President for Research Graduate Studies through the Vice Chancellor for Research and Graduate Studies, and a small number of other staff for administration and user services.

Those faculty who have research supported by the center, or who otherwise require access to the center, will be eligible to apply for associate status with the center.

Faculty associates will be appointed by the Vice President for Research and Graduate Studies upon the recommendation of the Director and the Vice Chancellor for Research and Graduate Studies. The position of faculty associate will require a commitment to computational research, and will entitle the associate to access the Center's resources and facilities.

Office of the President  
June 19, 1990

# FCR 1

Members, Board of Trustees:

ACCEPTANCE OF INTERIM FINANCIAL REPORT FOR THE  
UNIVERSITY OF KENTUCKY FOR THE ELEVEN MONTHS ENDED  
MAY 31, 1990

Recommendation: that the Board of Trustees accept the University of Kentucky consolidated financial report for the eleven months ended May 31, 1990.

Background: The consolidated financial report includes the financial activities of the University of Kentucky and its affiliated corporations, consisting of the University of Kentucky Research Foundation, The Fund for Advancement of Education and Research in the University of Kentucky Medical Center, University of Kentucky Athletic Association, University of Kentucky Mining Engineering Foundation, University of Kentucky Business Partnership Foundation, University of Kentucky Humanities Foundation, University of Kentucky Equine Research Foundation, University of Kentucky Center on Aging Foundation, and Health Care Collection Service.

As of May 31, 1990, the University had realized income of \$642,844,000 representing 97% of the 1989-90 estimate of \$666,000,000. Expenditures and commitments total \$588,030,000 or 88% of the approved budget of \$666,000,000.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990



CONSOLIDATED BALANCE SHEET  
UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
MAY 31, 1980

with comparative totals for May 31, 1989  
(in thousands)

	1989-90					1988-89	
	Current Unrestricted Funds	Current Restricted Funds	Loan Funds	Endowment Funds	Plant Funds	Totals	Totals
<b>ASSETS</b>							
Cash and cash equivalents	\$ 62,291	\$ 24,139	\$ 1,740	\$ 348	\$ 83,763	\$ 172,281	\$ 177,912
Notes, loans, and A/R (less bad debt allowances of \$5,409)	37,204	7,023	16,132		1,250	61,609	58,991
Investments	12,318	46,816		72,041	81,033	212,209	189,788
Property, plant, and equipment					789,323	789,323	706,564
Inventories and other	11,560	98				11,658	10,474
<b>Total Assets</b>	<b>\$ 123,373</b>	<b>\$ 78,076</b>	<b>\$ 17,872</b>	<b>\$ 72,389</b>	<b>\$ 955,369</b>	<b>\$ 1,247,079</b>	<b>\$ 1,143,729</b>
<b>LIABILITIES AND FUND BALANCES</b>							
<b>Liabilities</b>							
Accounts payable	\$ 9,024	\$ 389				\$ 9,413	\$ 8,359
Employee withholdings and deposits		11,415				11,415	11,461
Other liabilities	3,157				\$ 5,006	8,163	5,728
Liability for self insurance	14,585					14,585	11,116
Deferred income	5,701	7,457				13,158	13,227
Bonds payable					271,696	271,696	247,104
Capitalized lease obligation					22,575	22,575	26,824
Net deferred revenues and appropriated fund balances	64,798	(2,016)				62,772	71,149
<b>Total Liabilities</b>	<b>97,255</b>	<b>17,245</b>			<b>299,277</b>	<b>413,777</b>	<b>395,568</b>
<b>Interfund Balances</b>	<b>(29,687)</b>	<b>34,245</b>			<b>(4,556)</b>		
<b>Fund Balances</b>							
<b>Current Unrestricted</b>							
Working capital	49,810					49,810	46,340
Future operating purposes	4,520					4,520	4,513
Funded Hospital depreciation							13,000
Other	1,475					1,475	4,110
<b>Current Restricted</b>							
Loan		26,586	\$ 17,872			17,872	16,988
True Endowments				\$ 41,583		41,583	36,915
Term Endowments				1,037		1,037	879
Quasi Endowments				29,769		29,769	28,599
<b>Plant</b>							
Retirement of indebtedness					18,716	18,716	32,278
Renewal and Replacement					26,006	26,006	2,413
Allocated for designated projects					48,550	48,550	28,183
Net investment in plant					567,378	567,378	509,715
<b>Total Fund Balances</b>	<b>55,805</b>	<b>26,586</b>	<b>17,872</b>	<b>72,389</b>	<b>660,650</b>	<b>833,302</b>	<b>748,161</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 123,373</b>	<b>\$ 78,076</b>	<b>\$ 17,872</b>	<b>\$ 72,389</b>	<b>\$ 955,369</b>	<b>\$ 1,247,079</b>	<b>\$ 1,143,729</b>

CONSOLIDATED STATEMENT OF CURRENT FUNDS REVENUES AND APPROPRIATED FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE ELEVEN MONTHS ENDED MAY 31, 1990  
 with comparative totals for May 31, 1989  
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1989-90				1988-89	
	ORIGINAL BUDGET	REVISED BUDGET	REALIZED TO DATE	PERCENT REALIZED	REALIZED TO DATE	PERCENT REALIZED
<b>General Fund</b>						
(1) State appropriations	\$ 254,091	\$ 254,091	\$ 252,627	99	\$ 238,103	100
(2) Student fees	62,712	66,659	66,561	100	61,465	99
(3) Federal appropriations	14,360	14,366	13,960	97	10,958	79
(4) County appropriations	3,828	4,064	3,374	83	3,173	83
(5) Endowment income	761	861	711	83	664	95
(6) Investment income	9,202	11,202	9,503	85	9,346	110
Gifts and grants						
(7) Affiliated corporations	5,149	5,631	3,129	56	2,951	52
(8) Other	3,238	3,507	3,032	86	2,037	41
(9) Sales and services	13,439	15,487	13,807	89	12,439	92
(10) Fund balance	7,437	10,853	10,853	100	12,487	100
(11) Total General Fund	374,224	386,711	377,587	98	353,643	97
(12) Auxiliary Enterprises	29,970	32,236	29,500	92	26,382	91
(13) Restricted Funds	41,145	43,174	34,089	79	26,906	85
(14) Affiliated Corporations	71,602	75,632	72,908	96	66,896	93
<b>Hospital</b>						
(15) Revenues	118,904	118,904	119,417	100	108,788	109
(16) Fund balance	35,500	9,343	9,343	100	14,093	100
(17) Total Revenues and Appropriated Fund Balances	\$ 671,345	\$ 666,000	\$ 642,844	97	\$ 598,708	98

CONSOLIDATED STATEMENT OF CURRENT FUNDS EXPENDITURE SUMMARY BY PROGRAM - ALL FUNDS  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE ELEVEN MONTHS ENDED MAY 31, 1990  
 with comparative totals for May 31, 1989  
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1989-90				1988-89	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(1) Instruction	\$ 166,122	\$ 167,542	\$ 148,534	89	\$ 134,543	87
(2) Research	84,434	96,252	66,807	77	61,940	74
(3) Public Service	58,615	57,288	53,256	93	46,820	93
(4) Academic Support	42,326	46,692	39,846	85	35,966	85
(5) Student Services	15,470	17,684	15,360	87	13,627	84
(6) Institutional Support	24,927	29,007	25,173	87	21,947	83
(7) Student Financial Aid	24,862	25,502	30,251	119	28,265	116
(8) Operation and Maintenance	32,814	34,345	30,585	89	31,574	86
(9) Mandatory Transfers (Debt Service)	24,745	24,745	20,959	85	18,679	90
(10) Hospital	155,696	129,540	113,202	87	104,623	90
(11) Auxiliary Enterprises	43,342	47,323	44,060	93	39,061	89
(12) Total Expenditure by Program	\$ 671,345	\$ 665,000	\$ 569,030	86	\$ 535,045	87

EXPENDITURE SUMMARY BY CATEGORY OF EXPENDITURE  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE ELEVEN MONTHS ENDED MAY 31, 1990  
 with comparative totals for May 31, 1989  
 (in thousands)

	(A)	(B)	(C)	(D)	(E)	(F)
	1989-90				1988-89	
	ORIGINAL BUDGET	REVISED BUDGET	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB	EXPENDED/ ENCUMBERED TO DATE	PERCENT EXP/ ENCUMB
(13) Personnel Costs	\$ 367,543	\$ 374,494	\$ 335,397	90	\$ 295,620	85
(14) Operating Expenses	228,172	217,409	192,874	89	183,161	90
(15) Mandatory Transfers (Debt Service)	29,174	29,174	24,668	85	22,105	107
(16) Capital Outlay	46,456	44,923	34,871	78	34,139	81
(17) Total Expenditure by Category	\$ 671,345	\$ 665,000	\$ 588,030	86	\$ 535,045	87

CONSOLIDATED SUMMARY OF NET DEFERRED REVENUES AND APPROPRIATED FUND BALANCES  
 UNIVERSITY OF KENTUCKY AND AFFILIATED CORPORATIONS  
 FOR THE ELEVEN MONTHS ENDED MAY 31, 1990  
 with comparative totals for May 31, 1989  
 (in thousands)

	(A)	(B)	(C)
	<u>1989-90</u>		<u>1988-89</u>
(1) Realized Revenues		\$ 622,648	\$ 572,128
<b>Appropriated Fund Balances</b>			
(2) Current unrestricted fund	\$ 10,853		12,487
(3) University Hospital	<u>9,343</u>		<u>14,093</u>
(4) Total Appropriated Fund Balances		<u>20,196</u>	<u>26,580</u>
(5) Total Revenues and Appropriated Fund Balances		642,844	598,708
(6) Expenditures/Encumbrances	598,030		535,045
(7) Less: Reserve for Encumbrances	<u>(7,258)</u>		<u>(7,496)</u>
(8) Total Expenditures		<u>580,772</u>	<u>527,558</u>
(9) Net Deferred Revenues and Appropriated Fund Balances		<u>\$ 62,772</u>	<u>\$ 71,149</u>

Office of the President  
June 19, 1990

*FCR 2*

Members, Board of Trustees:

Disposal of Personal Property

Recommendation: that the Board of Trustees approve the disposition of surplus and obsolete equipment as described in Exhibit I.

Background: KRS 164A.575 requires that the governing Board sell or otherwise dispose of all personal property which is not needed by the institution. The Board will be provided with a description of the property, the reason why the disposal should be effected, and the method of disposition.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

UNIVERSITY OF KENTUCKY  
SURPLUS PROPERTY DISPOSAL REQUEST

Anticipated Livestock Disposal Request  
1990-91

Estimated Quantities to be Sold

Swine	2500
Cattle	1000
Sheep	500
Horses	50

Sale Methods

Auction  
Sealed Bid  
Packing Company  
Private Treaty

Reasons for Selling

Research program completed  
Low milk production  
Breeding problems  
To obtain carcass data and analytical samples  
Age

Anticipated Recyclable Materials Disposal Request  
1990-91

Estimated Quantities to be Sold

Scrap Paper	500,000 lbs.
Scrap Metal	220,000 lbs.
Scrap Film	12,000 lbs.
Scrap Silver	120 lbs.

Sale Method

Sealed Bid

Anticipated Public Sale of Surplus,  
Damaged, and Obsolete Equipment Request  
1990-91

Estimated Dates of Sales and Proceeds

<u>DATE</u>	<u>PROCEEDS</u>
August, 1990	\$4,000.00
October, 1990	\$4,000.00
January, 1991	\$4,000.00
March, 1991	\$4,000.00
April, 1991	\$1,500.00
June, 1991	\$4,000.00

Sale Methods

Public Auction or Sealed Bid

Reason for Disposition

Equipment in a deteriorated condition (junk), damaged or obsolete, that is determined to be surplus to the needs of the University. All such equipment has only a small amount of residual value and will be disposed of in a competitive sales method (i.e., public auction or sealed bid).

SALE OF XRF INSTRUMENT

Type of Disposal: Direct Negotiation

Reason: This instrument is surplus to the needs of the department. It was received as a gift and parts off of it have been used to keep similar equipment operating.

Items: Phillips x-ray generator XRF 3100  
Phillips x-ray spectrometer (not automated)  
Phillips Data Measuring System (DMS)

SALE OF 5520 SYSTEM UNIT

Institution: National Guard Association of Kentucky

Type of Disposal: Direct Negotiation

Reason: Unit is surplus to the needs of the University. It was replaced with a new system.

Items: System Unit 5525 051 (130 MB) Property # A432751  
Serial # 4051

Display Station 5253 001 Property # A432752  
Serial # 91-39991

SALE OF PORTER CHURCH BUILDING FIXTURES

Organization: Porter Memorial Church

Type of Disposal: Fixed Price

Reason: Building is to be demolished.

Items: The Cornerstone (dated 1927) and any materials that may be stored behind it.  
Chandeliers (10) in the auditorium.  
Glass Panels (3) over the front door entrance  
The front step light (the one still intact)



TRANSFER OF FEDERAL RESEARCH EQUIPMENT

Institution: University of Oklahoma

Type of Disposal: Transfer at no cost

Reason: To continue on-going National Institute of Health research.

Items:	<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
	Sensor Medics 6 channel recorder	B041451	1983
	IBM PC-XT	B041456	1985
	IBM Proprinter	B041457	1985
	IBM Color Display	B041458	1985
	Zeiss Dissection Stereomicroscope	B041001	1984
	Zeiss Compound Stereomicroscope	B041002	1984
	Olympus Camera	B041453	1984
	Hayes Modem	B036424	1985
	Century Pressure Transducer	N/A	1983
	Dolan Jenner Fiber Optic Light	N/A	1983
	Dolan Jenner Fiber Optic Light	N/A	1983
	Branson Ultrasonic Cleaner	B041452	1983
	Tiemann Animal Clipper	N/A	1983
	Oster Clipper Vacuum	N/A	1983
	MFE 6 Channel Recorder	B035446	1986
	Princeton EG&G Low Noise Preamplifier	B034733	1986
	Zeiss Dissection Stereomicroscope	B034723	1986
	Schott Fiber Optic Light	B041042	1986
	Razel Syringe Pump	B034783	1986
	Razel Syringe Pump	B035506	1986
	Razel Syringe Pump	B035507	1986
	P16 DC/AC Differential Preamplifier	B035417	1986

NIH Equipment Continued

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Winston Electronics Keithley Data Acquisition System	B036810	1987
	B035513	1986

TRANSFER OF SCIENTIFIC RESEARCH EQUIPMENT

Institution: University of North Carolina

Type of Disposal: Transfer at no cost

Reason: Equipment is essential to continue on-going research.

Items: National Institute of Health Equipment

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Cold Box	B011814	1976
Rotary Evaporator	B017933	1972
Water Bath	B017942	1975
Stirrer	B023428	1975
Sonicator	B024840	1975
Microfractionator	B025188	1977
Microeppendorf Microfuge	B025664	1977
Refrigerator 20L Linde	B025793	1977
Refrigerator Chromatograph	B026194	1977
Power Supply	B027662	1978
Fraction Collector	B028211	1979
Gel Dryer	B029711	1980
Fraction Collector	B029944	1980
Filtration Tripod	B030394	1980
Vessel	B030395	1980
Refrigerator/Freezer	B030438	1980
Electroblot	B030625	1981
Vacuum Pump	B031066	1981
Pipetter 8 Channel	B031269	1981
Refrigerator/Freezer	B031286	1982

Transfer of Scientific Research Equipment Continued

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Vortex Evaporator	B031402	1981
Revco Freezer	B031468	1981
Water Bath	B031749	1981
Power Supply	B031862	1982
Slab Gel Electro	B031863	1982
Rocker Platform	B032178	1982
Power Supply	B032360	1983
Electroblot Tank	B033470	1984
Rocker Platform	B035145	1985
Nanopure Filter	B035375	1986
Tris Pump	B035569	1986
Refrigerated Trap	B036087	1987
Power Supply	B036249	1987
Power Supply	B037357	1989
Pump	B037365	1989
Incubator Refrig	B038670	1989
Minigel Eelectrophoresis	B038671	1989
Slab Gel Electro	B038681	1989
Biostir	B040364	1989
Light Box & Camera	B040366	1989

Other Scientific Equipment

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Speedvac	A466478	1989
PC Printer	B037339	1988
Shaking Water Bath	B037347	1988
Microfuge	A424850	1983
PC Monitor	A470820	1988
Personal Computer	A470821	1988
Refrigerator/Freezer	B000376	1961
Lender	B006474	1967
Storage Coldbox	B017913	1972
Water Bath	B019500	1976
Microscope Inverted	B022643	1975
Fraction Collector	B023654	1976
Microscope	A200683	1961
Cabinet	A214351	1963
Double Cabinet	A250576	1971
Vortex Genie	A263830	1972

Other Scientific Equipment Continued

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Tricarb	A278100	1975
Beckman J6B Centrifuge	A401545	1979
Heat Block	A404286	1976
Spectrophotometer	A435432	1985

TRANSFER OF CANCER RESEARCH SUPPLIES

Institution: University of Cincinnati

Type of Disposal: Transfer at no cost

Reason: Faculty member has transferred to the University of Cincinnati. These supplies can not be used by the University of Kentucky.

Items:	Histology Reagents	Pipettes, Testtubes, etc.
	Tissue Culture Medium	Biochemical Assay Reagents
	Beakers, Flasks	Analytical Reagents
	Record Books	Solvents
	Glass Slides	Cleaning Materials
	Disposable Cultureware	

TRANSFER OF SURPLUS LAB EQUIPMENT

Institution: Public High Schools

Type of Disposal: Transfer at no cost

Reason: Obsolete and outdated equipment

<u>Items:</u>	<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
	Inclined Plane Frame	N/A	N/A
	Inclined Plane Frame	N/A	N/A
	Torsion Balance	A393509	1971
	Torsion Balance	A311423	1963
	Hg Manometer (Ace Glass)	A398001	1971
	Hg Manometer (Black Cenco)	A396320	1971

Transfer of Surplus Lab Equipment Continued

<u>Description</u>	<u>Prop.#</u>	<u>Year Purchased</u>
Hg Manometer (Gray)	A396319	1971
Weston Galvanometer (set 2)	A396336	1971
Weston Galvanometer (set 1)	A396337	1971
Cenco Thermal Apparatus	A396333	1971
Cenco Thermal Apparatus	A396332	1971
Cenco Thermal Apparatus	A396330	1971
Cenco Thermal Apparatus	A396331	1971
Hg Manometer (Ace Glass)	A398002	1971
Cenco Viscometer	A396356	1971
Cenco Viscometer	A396357	1971
Hg Manometer	A396318	1971
Hg Manometer	A396317	1971
Hg Manometer	A396310	1971
Hg Manometer	A305700	1971
Hg Manometer	A396309	1971
Hg Manometer	A396307	1971
Torsion Balance	B001145	1971
Vacuum tube voltmeter	A367410	1968
Pendulum Apparatus	A393508	1971
Pendulum Stand	A396377	1971
Pendulum Stand	A396374	1971
Spark Timer	A396368	1971

Miscellaneous and Items without Property #'s

Pendulum Stand	Pendulum Apparatus (2)
Torsion Balance	?? Cenco Cat.# 75290
Hardware	?? Cenco Cat.# 75290
Odd Weights	Lights mounted on rulers
Vessels	

Office of the President  
June 19, 1990

FCR 3

Members, Board of Trustees:

CAPITAL CONSTRUCTION REPORT

RECOMMENDATION: that the capital construction report for the nine months ending March 31, 1990, be accepted.

BACKGROUND: Under House Bill 622 enacted in the 1982 session of the Kentucky General Assembly, the University is authorized to enter into architectural, engineering, and related consultant contracts for the purpose of accomplishing capital construction at the University of Kentucky.

The report shows that during the nine months ending March 31, 1990, the University has:

1. Awarded twenty-five (25) architect and engineer contracts.
2. Made twenty-four (24) amendments to architect and engineer contracts.
3. Made seventeen (17) final payments for seventeen (17) architect and engineer contracts.
4. Awarded or requisitioned for the award of fifty-six (56) construction contracts.
5. Made one hundred thirty-five (135) change orders to construction contracts.
6. Made sixty-six (66) final payments for sixty-six (66) construction contracts.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

DIVISION OF DESIGN AND CONSTRUCTION  
 REPORT TO FINANCE COMMITTEE OF BOARD OF TRUSTEES  
 UNIVERSITY OF KENTUCKY  
 FOR THE PERIOD JULY 1, 1989 - MARCH 31, 1990

I. ARCHITECT & ENGINEER CONTRACTS

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
STAGGS & FISHER ENGINEERS LEXINGTON	HOSPITAL - WASTE INCINERATOR Project No. 337.0	\$ 600,000	\$ 64,650	8/31/89
JOHNSON/ROMANOWITZ/ARCH. LEXINGTON	COMMONWEALTH STADIUM EXPANSION Project No. 145.0	4,000,000	212,875	8/31/89
DOW, INC. LEXINGTON	AQUATIC CENTER DIVING TOWER Project No. 648.0	328,300	29,698	8/31/89
JERRY A. TAYLOR & ASSOC. LEXINGTON	RESEARCH FACILITY #1 FUME HOOD Project No. 644.1	250,000	11,945	9/29/89
JERRY A. TAYLOR & ASSOC. LEXINGTON	AGRICULTURE SCIENCE NORTH & SOUTH FUME HOODS Project No. 644.2	519,000	55,210	9/29/89
FARRIS, HATCHER, TREMPER & ASSOC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	160,000	15,000	9/29/89
BLAGI, CHANCE, CUMMINS LONDON, TITZER, INC. SHELBYVILLE	JEFFERSON COMMUNITY COLLEGE - DT ENERGY MANAGEMENT SYSTEM Project No. 657.0	150,000	12,038	9/29/89
FARRIS, HATCHER, TREMPER ENGINEERS	PADUCAH COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 658.0	85,000	7,500	10/31/89
JERRY A. TAYLOR LEXINGTON	ASHLAND COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 659.0	85,000	9,200	10/31/89
BICKEL-GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP ANIMAL CARE FACILITY FEASIBILITY STUDY Project No. 671.0	28,500	23,950	10/31/89
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 681.0	2,200,000	62,652	10/31/89
MASON & HANGER ENGINEERING LEXINGTON	COOLING PLANT #2 EXPANSION DESIGN Project No. 666.0	500,000	428,446	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	SHAWNEETOWN ROOF/TYPE A Project No. 537.0	190,000	6,753	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	PEAK HOUSE/RYOR HOUSE ROOF REPLACEMENT Project No. 556.0	175,000	6,259	12/22/89
BOOKER ASSOCIATES, INC. LEXINGTON	SHAWNEETOWN LOWER ROOF REPLACEMENT Project No. 557.0	150,000	9,452	12/22/89
MEYER, WRIGHT ASSOCIATES FRANKFORT	MEMORIAL COLISEUM ROOF REPLACEMENT Project No. 684.0	302,000	25,050	1/31/90
ASSOCIATED DESIGNERS, INC. LEXINGTON	CHEMISTRY-PHYSICS BUILDING ROOF REPLACEMENT Project No. 695.0	361,000	26,690	2/16/90
H & M ASSOCIATES OF KY LEXINGTON	FUNKHOUSER BUILDING WINDOW REPLACEMENT Project No. 685.0	336,000	27,120	2/16/90

**I. ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>PROJECT SCOPE</u>	<u>ARCHITECT &amp; ENGINEER FEES</u>	<u>EFFECTIVE CONTRACT DATE</u>
DRIES + ALEXANDER ARCH. LEXINGTON	UNIVERSITY SPORTS TICKET SALES BUILDING Project No. 709.0	\$ 199,000	\$ 16,000	2/16/90
ROSS-FELDMAN ARCHITECTS LEXINGTON	SOMERSET COMMUNITY COLLEGE FINE ARTS/COMMUNITY CENTER Project No. 631.0	9,100,000	476,862	2/28/90
DRIES + ALEXANDER ARCH. LEXINGTON	HAGGIN HALL ENTRANCE MODIFICATIONS Project No. 712.0	199,000	5,480	2/28/90
HANSEN-LIND-MEYER CHICAGO, IL	UNIVERSITY OF KENTUCKY MASTER PLAN Project No. 539.0	502,974	470,000	2/28/90
DCT DESIGN GROUP, LTD. LEXINGTON	PHARMACY BUILDING ROOMS 001, 004 RENOVATION Project No. 676.0	200,000	12,690	3/30/90
BICKEL-GIBSON ARCHITECTS LOUISVILLE	MADISONVILLE COMMUNITY COLLEGE THEATER FIT-UP Project No. 690.0	672,541	13,160	3/30/90
POTTS + POTTS ARCHITECTS LEXINGTON	KIRWAN/BLANDING FOOD SERVICE RENOVATION Project No. 641.0	199,000	8,680	3/30/90

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO IRC</u>	<u>AMOUNT</u>
DOW, INC. & ASSOCIATES LEXINGTON	HARRY C. LANCASTER AQUATICS CENTER Project No. 146.0	7	11/30/89	+ \$ 36,589
Nature of Change:	Additional services.			
Reason for Change:	Additional services required during construction due to delays caused by the contractor. The fee for these services has been back charged to the contractor resulting in no additional cost to the University.			
OMNI ARCHITECTS/CMW A JOINT VENTURE LEXINGTON	HOSPITAL EXPANSION PHASE II Project No. 304.0	11	7/31/89	+ 12,683
Nature of Change:	Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services.			
Reason for Change:	Increase the consultant's fee for mechanical/electrical design in order to expand the mechanical/electrical systems of the new facility to better meet user program needs and adjust to recent changes in technology.			



**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LEC</u>	<u>AMOUNT</u>
OMNI ARCHITECTS/OMW A JOINT VENTURE LEXINGTON	HOSPITAL EXPANSTON PHASE II Project No. 304.0	12	9/29/89	+ \$ 16,718
Nature of Change:	Increase Phase A-1, Phase A-2, Phase A-3, Phase B and Phase C of architectural services.			
Reason for Change:	Additional work required to revise the Phase A design for the renovation of vacated spaces in conjunction with the Hospital Expansion project.			
TATE, FITZSIMONS ARCHITECTS NICHOLASVILLE	HOSPITAL CAFETERIA RENOVATION Project No. 304.7	2	3/30/90	+ 500
Nature of Change:	Additional services required.			
Reason for Change:	Unforeseen condition of the dumbwaiter support system discovered during demolition.			
LERCH, BATES & ASSOCIATES FAIRFAX, VA	MEDICAL SCIENCES REPLACE FOUR ELEVATORS Project No. 311.0	2	10/31/89	- 7,714
Nature of Change:	Decrease travel allowance.			
Reason for Change:	Actual travel expenses were less than the allowance.			
JOHNSON/ROMANOWITZ/ARCHITECTS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	5	8/31/89	+ 2,075
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to design the relocation of the perchloric acid fume hood and the kjeldahl hood.			
CHRISMAN, MILLER, WOODFORD LEXINGTON	MEDICAL SCIENCES ANIMAL CARE RENOVATION Project No. 406.1	2	8/31/89	+ 22,902
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Additional services required under contract administration due to project construction schedule being extended for a period of 256 days.			
SHERMAN, CARTER, BARNHART LEXINGTON	ROBOTICS FACILITY Project No. 431.0	3	3/30/90	+ 4,500
Nature of Change:	Additional construction administration required.			
Reason for Change:	Consultant services required for the fit-up of electrical, mechanical and security items not included in original scope of services.			
KNAPP, GIVEN, VEAZEY & SHOULDERS MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING Project No. 472.1	5	8/31/89	+ 2,310
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Extend the services of the Resident Inspector for one month.			
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BLDG. Project No. 475.0 & 475.1	4	7/31/89	+ 26,770
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Provide for the extension of the Resident Inspector services.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
BICKEL-GIBSON ARCHITECTS LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING SCREEN WALL Project No. 475.2	5	11/30/89	+ \$ 17,966
Nature of Change:	Additional services required.			
Reason for Change:	Scope of services increased to include the design development, contract documents and construction administration of a fence with security gates.			
KOVERT, HAWKINS, ARMSTRONG LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project No. 478.0	3	2/28/90	- 2,400
Nature of Change:	Decrease lump sum of contract.			
Reason for Change:	Original fee included an energy study of the building. Study was not required.			
POTTS + POTTS ARCHITECTS LEXINGTON	TENNIS BUILDING INTERIOR SPACE Project No. 510.0	2	1/31/90	- 1,013
Nature of Change:	Deduct extra services contained in Amendment #1 for addition of bleachers.			
Reason for Change:	Additional services were not required as the bleachers were not changed.			
COLLIGNON & NUNLEY ARCHITECTS OWENSBORO	HOBKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	1	12/22/89	+ 30,786
Nature of Change:	Increase Phase C of architectural services.			
Reason for Change:	Provide for a Resident Inspector from January 15, 1990 to February 15, 1991.			
LOUIS & HENRY GROUP, INC. LOUISVILLE	PADUCAH COMMUNITY COLLEGE ALLIED HEALTH BUILDING Project No. 620.0	1	8/31/89	+ 21,940
Nature of Change:	Increase Phase A of architectural services.			
Reason for Change:	Additional services required to revise the schematic design to respond to owner changes in the architectural program.			
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE FALKENSTEINE HALL RENOVATION Project No. 628.0	1	8/31/89	+ 1,822
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to include enclosure of existing balcony.			
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	1	1/31/90	+ 1,880
Nature of Change:	Increase Phase B of architectural services.			
Reason for Change:	Additional services required to include enclosure of existing balcony. Initial fee did not include this work.			

II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
OMNI ARCHITECTS LEXINGTON	ASHLAND COMMUNITY COLLEGE LEARNING RESOURCE CENTER Project No. 630.0	1	12/22/89	+ \$ 26,061
Nature of Change:	Additional services required.			
Reason for Change:	Increase in scope of services include parking and site development.			
PROCTOR/DAVIS/RAY ENGINEERS, INC. LEXINGTON	COOPERSTOWN FIRE ALARM SYSTEM Project No. 65L.0	1	1/31/90	+ 5,200
Nature of Change:	Increase Phase C services.			
Reason for Change:	Project budget was increased from \$300,000 to \$500,000 for additional construction.			
JERRY A. TAYLOR & ASSOCIATES LEXINGTON	ASHLAND COMMUNITY COLLEGE ENERGY MANAGEMENT SYSTEM Project No. 659.0	1	1/31/90	- 5,580
Nature of Change:	Decrease total lump sum of contract.			
Reason for Change:	All work required under the referenced contract completed.			
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 68L.0	1	1/31/90	+ 4,000
Nature of Change:	Increase lump sum total.			
Reason for Change:	Amount was incorrectly typed on original contract.			
OMNI ARCHITECTS LEXINGTON	FINE ARTS BUILDING RENOVATION Project No. 68L.0	2	3/30/90	+ 157,000
Nature of Change:	Establish Phase A, B & C services.			
Reason for Change:	Original contract established for feasibility study only.			
CENTRAL ASSOCIATED ENGINEERS LEXINGTON	FINE ARTS BUILDING FIRE SAFETY Project No. 884.0	1	1/31/90	- 2,850
Nature of Change:	Delete Phase C services from original contract.			
Reason for Change:	Project was cancelled at the completion of design and combined with Project 68L.0, Fine Arts Building Renovation. This will ensure that all electrical systems are the same.			
FULLER, MOSSBARGER, SCOTT & MAY LEXINGTON	GEOTECHNICAL ENGINEERING SERVICES PER DIEM SERVICES (RELATING TO BUSINESS & ECONOMICS BUILDING)	1	9/29/89	+ 50,000
Nature of Change:	Budget realignment.			
Reason for Change:	The routine geotechnical exploration for the Business & Economics Building has yielded information that indicates a depression/sink hole in an area where structural columns are to be placed. Additional services are needed to confirm the limits of the sink hole.			

**II. CHANGES TO ARCHITECT & ENGINEER CONTRACTS  
(CONTINUED)**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE TO LRC</u>	<u>AMOUNT</u>
DAVID C. BANKS, ARCHITECT FRANKFORT	ENVIRONMENTAL CONSULTING SERVICES PER DIEM SERVICES (RELATING TO HOSPITAL EXPANSION & RENOVATION PROJECT)	1	1/31/90	-0-
Nature of Change:	The maximum fee for the Hospital Expansion and Renovation project was increased to \$50,000.			
Reason for Change:	The asbestos removal work on the renovation portion of the Hospital Expansion and Renovation project requires extensive services of the consultant. These services will exceed the original per project limit of \$20,000. This project will have two separate design and bid packages as the removal will be done in two phases.			
MCCOY & MCCOY, INC. MADISONVILLE	PER DIEM CONSULTANT ENVIRONMENTAL TESTING & INVESTIGATION	1	10/31/89	- 0 -
Nature of Change:	Added specific services and hourly rates to their per diem contract.			
Reason for Change:	Added underground storage tank management services; installation of leak detection devices, and equipment.			
CHRISMAN, MILLER, WOODFORD LEXINGTON	PER DIEM CONSULTANT ARCHITECTURAL SERVICES	1	10/31/89	- 0 -
Nature of Change:	Increased maximum fee for two assignments only.			
Reason for Change:	Increase due to an expanded scope of services from original programs for these projects.			

**III. ARCHITECT & ENGINEER FINAL PAYMENTS**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
ASSOCIATED ENGINEERS LEXINGTON	NOE HOUSE ROOF REPLACEMENT Project No. 552.0	\$ 4,680	9/20/89
ASSOCIATED ENGINEERS LEXINGTON	ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0	5,220	9/20/89
CHRISMAN, MILLER, WOODFORD LEXINGTON	MEDICAL SCIENCES ANIMAL CARE RENOV. Project No. 406.1	67,877	9/28/89
BOOKER ASSOCIATES LEXINGTON	HAGGIN HALL SHOWER REPAIRS PHASE II Project No. 441.0	69,718	10/23/89
LERCH BATES & ASSOCIATES LITTLETON, CO	MEDICAL SCIENCES REPLACE FOUR ELEVATORS Project No. 311.0	68,886	10/23/89
POTTS & POTTS ARCHITECTS LEXINGTON	PATTERSON OFFICE TOWER FIRE SAFETY IMPROVEMENTS Project No. 852.0	166,570	10/23/89
CHRISMAN, MILLER, WOODFORD LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	188,031	12/07/89

**III. ARCHITECT & ENGINEER FINAL PAYMENTS  
(CONTINUED)**

<u>ARCHITECT &amp; ENGINEER</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
MCCLOSKEY & ASSOCIATES LEXINGTON	AMERICAN BUILDING GEOLOGICAL SURVEY RENOVATION Project No. 613.0	\$ 15,500	12/07/8
BICKEL GIBSON ARCHITECTS LOUISVILLE	SPINDLETOP ANIMAL CARE FEASIBILITY STUDY Project No. 671.0	23,950	12/13/8
CENTRAL ASSOCIATED ENGINEERS LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	5,000	12/13/8
ASSOCIATED DESIGNERS LEXINGTON	COOPERSTOWN LOWER ROOFS REPLACEMENT Project No. 554.0	9,040	1/8/9
MASON & HANGER ENGINEERING LEXINGTON	MEDICAL CENTER UPGRADE RESEARCH FUMEHOOD PHASE II Project No. 200.0	49,781	1/23/9
KOVERT, HAWKINS, ARMSTRONG JEFFERSONVILLE, IN	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING PHASE II Project No. 478.1	64,934	2/6/9
ARRASMITH, JUDD, RAPP LOUISVILLE	FREESTONSBURG COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE BUILDING Project No. 471.0	159,225	2/13/9
PEARSON + BENDER + JOLLY LEXINGTON	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATION Project No. 628.0	30,926	2/15/9
MASON & HANGER ENGINEERING LEXINGTON	MCVEY HALL COMMUNICATIONS Project No. 597.0	18,732	2/26/9
TATE • FITZSIMONS ARCHITECT LEXINGTON	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	25,490	3/6/9

**IV. CONSTRUCTION CONTRACTS**

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
NATIONAL AVENUE CONTRACTORS, INC. LEXINGTON	AGRICULTURE REGULATORY SERVICES FLOORING Project No. 395.2	\$ 26,800	7/10/89	2	\$ 26,800 33,515
PEARCE-PHELPS ROOFING, INC. LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	71,440	7/13/89	5	71,440 106,149
RICHARD WHEELER CONSTRUCTION LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE III Project No. 524.1	38,990	7/24/89	1	38,990
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL - FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	26,798	7/24/89	3	26,798 37,500
NATIONAL AVENUE CONTRACTORS, INC. LEXINGTON	AGRICULTURE REGULATORY SERVICES FLOORING Project No. 395.2	26,800	7/10/89	2	26,800 33,515

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
PEARCE-PHELPS ROOFING, INC. LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	\$ 71,440	7/13/89	5	\$ 71,440 - 106,149
RICHARD WHEELER CONSTRUCTION LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE III Project No. 524.1	38,990	7/24/89	1	38,990
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL - FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	26,798	7/24/89	3	26,798 - 37,500
BEAR ELECTRIC COMPANY, INC. OWENSBORO	HOPKINSVILLE COMMUNITY COLLEGE ACADEMIC BUILDING EMERGENCY LIGHTING Project No. 607.0	17,509	7/26/89	2	17,509 - 20,500
IAGCO, INC. LEXINGTON	AGRICULTURE REGULATORY SERVICES PERCHLORIC FUME HOOD RELOCATION Project No. 395.4	23,969	8/1/89	1	23,969
MOUNTAIN CONSTRUCTION CO. HARLAN	SOUTHEAST COMMUNITY COLLEGE FALKENSTEIN HALL RENOVATION Project No. 628.0	189,910	8/25/89	3	189,910 - 284,000
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL - COOLING TOWER REPAIR Project No. 655.0	45,885	9/27/89	4	45,885 - 65,660
NORWOOD CONSTRUCTION CO. LEXINGTON	DEMOLITION OF HOUSES CLIFTON, ROSE PENNSYLVANIA & GAZETTE Project No. 675.0	13,958	10/03/89	4	13,958 - 28,650
L.W.J. GENERAL CONTRACTORS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #1 Project No. 576.1	462,707	10/16/89	7	462,707 - 665,000
R & R DRYWALL LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #3 Project No. 576.1	294,000	10/16/89	5	294,000 - 359,929
B. L. RADDEN & SONS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #4 Project No. 576.1	59,479	10/16/89	4	59,479 - 99,400
BROWN SPRINKLER LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #5 Project No. 576.1	30,600	10/16/89	3	30,600 - 36,680
JOHNSON CONTROLS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #7 Project No. 576.1	214,504	10/16/89	1	214,504
ELECTRA OF LEXINGTON LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #8 Project No. 576.1	302,000	10/16/89	8	302,000 - 306,000
COX MECHANICAL LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #6 Project No. 576.1	598,800	10/17/89	5	598,800 - 790,749
ECONOMY GLASS LEXINGTON	MEDICAL PLAZA ROOFTOP CONVERSION WORK CATEGORY #2 Project No. 576.1	47,930	10/18/89	1	47,930
BRI-DEN CO. ASHLAND	ASHLAND COMMUNITY COLLEGE PHASE I ROOF REPLACEMENT Project No. 491.0	133,200	10/31/89	2	133,200 - 187,411
P & R ROOFING LEXINGTON	SOMERSET COMMUNITY COLLEGE MEECE HALL ROOF REPLACEMENT II Project No. 496.0	170,550	11/6/89	2	170,550 - 199,945

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

CONTRACTOR	PROJECT	CONTRACT PRICE	AWARD DATE	NO. OF BIDDERS	BID RANGE
WOODFORD BUILDERS LEXINGTON	HOSPITAL 3RD FLOOR RENOV. HOSPITAL DIAGNOSTIC SERVICES UPGRADE Project Nos. 580.0 & 645.0	\$ 1,228,000	11/06/89	3	\$ 1,228,000 - 1,277,000
MCKNIGHT & ASSOCIATES, INC. LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	248,000	11/08/89	4	248,000 - 263,000
MARCO CONSTRUCTION COMPANY NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	504,300	11/17/89	3	504,300 - 544,800
E. C. MATTHEWS COMPANY LEXINGTON	ARBORETUM - PHASE I Project No. 453.1	312,300	11/13/89	4	312,300 - 399,800
TILFORD CONTRACTORS, INC. PADUCAH	PADUCAH COMMUNITY COLLEGE UNDERGROUND PIPE REPLACEMENT Project No. 669.0	144,290	11/27/89	2	144,290 - 148,465
WOODFORD BUILDERS LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	2,059,000	12/04/89	5	2,059,000 - 2,170,935
PRIMAX WINDOW COMPANY, INC. LOUISVILLE	KASTLE HALL WINDOW REPLACEMENT Project No. 697.0	122,470	12/11/89	3	122,470 - 156,662
PRIMAX WINDOW COMPANY, INC. LOUISVILLE	MCVEY HALL WINDOW REPLACEMENT Project No. 698.0	119,275	12/13/89	3	119,275 - 221,300
CAREY CONSTRUCTION CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE I Project No. 635.0	39,000	12/13/89	3	39,000 66,939
SHELCE CONTRACTORS, INC. BENTON	HOPKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	2,442,000	12/14/89	8	2,442,000 - 2,616,991
TRUJILLO CONSTRUCTION PIKEVILLE	FRESTONSBURG COMMUNITY COLLEGE SCIENCE BUILDING BLD PACK #1 - EARTHWORK Project No. 624.0	93,000	12/14/89	1	93,000
TREESCAPES, INC. PARIS	ARBORETUM - HOME DEMONSTRATION GARDEN BID PACK #2 Project No. 453.1	49,331	12/19/89	4	49,331 135,125
FINNEY COMPANY LEXINGTON	BUSINESS & ECONOMICS BUILDING UTILITIES Project No. 587.1	747,000	12/21/89	2	747,000 796,000
CANTRELL ROOFING CORPORATION WEST LIBERTY	AMBULATORY WING ROOF REPLACEMENT Project No. 547.0	18,875	12/22/89	2	18,875 57,913
TRS dba SECCA MINNEAPOLIS, MN	MADISONVILLE COMMUNITY COLLEGE AUDITORIUM FIRE CURTAIN Project No. 690.2	50,799	12/28/89	5	50,799 69,850
MCNABB CONSTRUCTION CO. MT. STERLING	DEMOLITION OF STRUCTURES EIGHT BUILDINGS Project No. 711.0	21,500	1/3/90	4	21,500 41,250
STRUCK CONSTRUCTION COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #1 - SITE PREP, CONCRETE & GENERAL Project No. 587.0	255,975	1/11/90	3	255,975 289,100
MCKINNEY DRILLING COMPANY LOUISVILLE	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #2 - DRILLED FOUNDATION CAISSONS Project No. 587.0	306,811	1/11/90	3	306,811 358,000

IV. CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CONTRACT PRICE</u>	<u>AWARD DATE</u>	<u>NO. OF BIDDERS</u>	<u>BID RANGE</u>
ROBERTSON MECHANICAL & ELECTRICAL LEXINGTON	BUSINESS & ECONOMICS BUILDING BID PACK #3; TRADE CONTRACT #3 - MECHANICAL WORK Project No. 587.0	\$ 237,700	1/11/90	1	\$ 237,700
E. C. MATTHEWS, INC. LEXINGTON	LANCASTER AQUATIC CENTER DIVING TOWER Project No. 648.0	239,650	1/24/90	2	239,650 - 239,900
RICHARD WHEELER LEXINGTON	BRECKINRIDGE HALL ELEVATOR Project No. 617.0	114,336	2/1/90	9	114,336 - 160,966
L. STANDAER COMPANY LEXINGTON	ROBOTICS FACILITY MODIFICATIONS Project No. 431.6	5,520	2/26/90	1	5,520
CENTRAL ROCK & MINERAL COMPANY LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE II Project No. 635.1	337,392	2/27/90	4	337,392 - 363,000
MARCO CONSTRUCTION/RUDD DRYWALL A JOINT VENTURE NICHOLASVILLE	MINING & MINERALS GAS STORAGE BUILDING Project No. 115.7	37,600	3/5/90	3	37,600 - 49,790
WOODFORD BUILDERS, INC. LEXINGTON	HOSPITAL EXPANSION RENOVATION WORK CAT. #1 - GENERAL CONSTRUCTION Project No. 304.9	1,742,000	3/5/90	5	1,742,000 - 1,798,760
GREEN MECHANICAL CONSTRUCTION GLASGOW	HOSPITAL EXPANSION RENOVATION WORK CAT. #2 - MECHANICAL Project No. 304.9	1,012,524	3/5/90	2	1,012,524 - 1,096,000
ARROW ELECTRIC COMPANY, INC. LEXINGTON	HOSPITAL EXPANSION RENOVATION WORK CAT. #4 - ELECTRICAL Project No. 304.9	797,000	3/7/90	4	797,000 - 1,114,900
THE SHERWIN WILLIAMS COMPANY HAZARD	HAZARD COMMUNITY COLLEGE FLOOR TILE Project No. 642	8,141	3/10/90	5	8,141 - 34,500
C. C. LEE, INC. LEXINGTON	ROBOTICS CENTER MODIFICATIONS Project No. 431.6	157,647	3/16/90	3	157,647 - 169,200
LANDMARK SPRINKLERS, INC. LEXINGTON	HOSPITAL/MEDICAL CENTER BASEMENT LEVEL SUPPRESSION SYSTEM Project No. 542.1	157,900	3/19/90	4	157,900 - 248,749
D. W. WILBURN, INC. LEXINGTON	GATEWAY SIGNAGE - CORNER OF ROSE AND MAXWELL Project No. 473.0	34,900	3/26/90	3	34,900 - 56,600
ARROW ELECTRIC COMPANY LEXINGTON	ELECTRICAL SUBSTATION #2 EXPANSION Project No. 615.0	170,000	3/27/90	3	170,000 - 181,787
ROMAC, INC. LOUISVILLE	HOSPITAL EXPANSION RENOVATION ASBESTOS ABATEMENT OF MECHANICAL RM. H-46 Project No. 304.9	244,874	3/30/90	7	244,874 739,290



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LICHTEFELD-MASSARO, INC. LOUISVILLE	HARRY C. LANCASTER AQUATIC CENTER Project No. 146.0	37	10/18/89	- \$ 3,938.00
Nature of Change:	Replace existing landscape items which have died and are unacceptable.			
Reason for Change:	To expedite the landscape work.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #1; CAT. #1 - GENERAL WORK Project No. 304.1	6	10/23/89	- 3,390.00
Nature of Change:	Removal of 12", 45° elbows on sanitary sewer in the steam tunnel. (Work performed by MMR/Wallace Constructors.)			
Reason for Change:	Work requested to be performed by Reliable Mechanical, a subcontractor for Lichtefeld-Massaró. Reliable refused to perform work.			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK. #2, WORK CAT. #1 - GENERAL Project No. 304.1	7	8/1/89	+ 14,279.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change Hollow Metal window.</li> <li>2. Change out doors opening into OR.</li> <li>3. Add intercoms in two rooms.</li> <li>4. Add 144 paper towel dispensers.</li> <li>5. Add two additional feet of ceramic tile wainscot at OR's.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Window indicated on drawings is a fixed glass. Hospital requires a sliding window in order to pass through specimen samples into the lab from the corridor.</li> <li>2. Hospital determined that doors into OR's should have vision lights to prevent accidents.</li> <li>3. These rooms are isolation rooms which are fully enclosed. Need intercoms to allow nurses to hear the monitor alarms.</li> <li>4. Drawings call for paper towel dispensers only in the restrooms. The Hospital requires a paper towel dispenser at each hand washing sink throughout the building.</li> <li>5. The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness.</li> </ol>			
FRANK MESSER & SONS CINCINNATI, OH	HOSPITAL EXPANSION PHASE II BID PACK. #2; WORK CAT. #1 - GENERAL Project No. 304.1	8	8/21/89	+ 53,705.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Make revisions to cafeteria storage area as required.</li> <li>2. Add casework, sink and electric outlet in room HAL625.</li> <li>3. Change room finish schedule.</li> <li>4. Make revisions to emergency room triage/cerks area.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Project to renovate existing cafeteria required this change to add seating rather than storage. That project cannot heat/cool this area due to concrete beams above ceiling.</li> <li>2. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items.</li> <li>3. Some changes on room finish schedule were to provide continuity in floor finish from room or corridor. Additional terrazzo and other changes were necessary to provide better control of spills and cleaning of high use areas.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FRANK MESSER & SONS CONSTR. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II TWO ADDITIONAL FLOORS BID PACK #2, WORK CAT. #1 - GENERAL Project No. 304.1	9	10/18/89	+ \$ 20,590.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change water-cooled condensing unit to air cooled.</li> <li>2. Install concrete curbs in mechanical room.</li> <li>3. Add steel to support the masonry wall at the north trash/linen chutes.</li> <li>4. Additional requirements for Hill-Rom power columns.</li> <li>5. Supply 15 wall mounted transformers including transformers, ophthalmoscopes and otoscopes.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Life cycle/valve engineering indicates that an air cooled unit would be more economical in the long run with the wasted water. HOK documents indicated no water hook-up or floor drain or electric hook-up for condensing unit, thus requiring additional cost which is now reduced.</li> <li>2. Curb needed to keep metal plenum wall above floor where water may collect at intake and discharge louvers.</li> <li>3. Structural design changes to add the mechanical sleeves to this area as a result of the added floors to the original building.</li> <li>4. Provide for future overhead X-ray unit, thus eliminating major renovation cost at time of future installation. Power columns provide for better functional usage in trauma bay.</li> <li>5. Specified model is now obsolete; therefore, changed to cord handsets that remain wall mounted.</li> </ol>			
FRANK MESSER & SONS CONSTR. CINCINNATI, OH	HOSPITAL EXPANSION PHASE II TWO ADDITIONAL FLOORS BID PACK #2, WORK CAT. #1 - GENERAL Project No. 304.1	10	12/12/89	+ 30,828.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Changes in Sub-sterile room.</li> <li>2. Added CT Scan Room.</li> <li>3. Add a pass-thru window at Room HAL630.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Sterilizer to be used requires service clearance at each side, thus requiring relocation of warming cabinet and shift of door location. Drain &amp; cold water to sterilizer was not called for on contract drawings.</li> <li>2. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> <li>3. The pass-thru window is needed to permit person at desk to receive specimens, without delivery person entering lab.</li> </ol>			
TRI-STATE ROOFING & SHEET METAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #2 - SHEET METAL Project No. 304.1	2	9/11/89	+ 4,652.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide tapered insulation from approximately 6" original depth to a new depth of 16" to obtain proper slope to the relocated roof drains over the electrical room.</li> <li>2. Furnish and install downspouts for the double ridge skylight.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. A code violation, installation over electrical room and from a safe practice standpoint, to prevent potential damages to the electrical gear in the event there was a problem.</li> <li>2. Drawing indicated the drain for the skylight to be tied to pipe drains in column; however, plumbing drawings by HOK did not call for any pipe drains in columns; therefore, they were not installed. Skylight downspouts were then revised as herein indicated to receive drainage.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
BROADVIEW ACOUSTICAL LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #3 - PLASTER, FIREPROOFING AND DRYWALL Project No. 304.1	2	9/22/89	+ \$ 8,851.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add two additional feet of ceramic tile wainscot at each operating room.</li> <li>2. Change lay-in ceilings in ICU patient rooms and soiled utility rooms to drywall.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The supply and exchange carts are taller than 4' in height and this additional height of ceramic tile wainscot will protect the wall from damage and afford longer life durability and cleanliness.</li> <li>2. State DHR requires drywall type ceilings at isolation area rooms.</li> </ol>			
DANVILLE ACOUSTICS, INC. DANVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #4 - ACOUSTICAL CEILINGS Project No. 304.1	1	11/7/89	+ 5,554.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Work required for two additional floors.</li> <li>2. Revisions to materials management room.</li> <li>3. Change lay-in ceilings in ICU patient rooms and soiled utility rooms to drywall.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Change order work required by two additional floors.</li> <li>2. Refine purchasing space to be effective with current requirements.</li> <li>3. State DHR requires drywall type ceilings at isolation area rooms.</li> </ol>			
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #5 - RESILIENT FLOORING/CARPETING Project No. 304.1	1	10/18/89	+ 15,644.00
Nature of Change:	Changes on room finish schedule to provide continuity in floor finish from room to corridor. Additional terrazzo was necessary to provide better control of spills and cleaning of high use areas.			
Reason for Change:	Some changes on room finish schedule were to provide continuity in floor finish from room or corridor. Additional terrazzo and other changes were necessary to provide better control of spills and cleaning of high use areas.			
CARPET DECORATORS, INC. CENTRAL CITY	HOSPITAL EXPANSION/PHASE II BID PACK #2, WORK CAT. #5 - RESILIENT FLOORING/CARPETING Project 304.1	2	2/27/90	+ 1,561.00
Nature of Change:	1. Add CT Scan room.			
Reason for Change:	1. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. The CT Scan is an absolute necessity for diagnosing patient head injury cases.			
B. L. RADDEN & SON, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #6 - PAINTING & VINYL WALLCOVERING Project No. 304.1	1	11/7/89	+ 4,363.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Work required for the two additional floors.</li> <li>2. Vinyl wallcovering changes.</li> <li>3. Revisions to emergency room triage/clerks area.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Change order work required by two additional floors.</li> <li>2. Wallcovering that was specified did not follow Hospital's original request. Selections made are of several different brands and colors providing higher quality appearance and aesthetic appeal.</li> <li>3. Hospital requested revision to provide communication between clerks/triage nurse. Previous design did not permit this interaction. New design will accomplish greater ease of use by patients and staff.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	5	8/16/89	+ \$ 168,408.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revisions to fuel oil separator.</li> <li>2. Changes to air handling units and ductwork on 1st floor.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Fuel oil separator would not fit in allotted space; had to be relocated.</li> <li>2. Uncovered problem of having inadequate cooling air quantities for the amount of medical equipment to be placed inside patient rooms.</li> </ol>			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	6	9/6/89	- 122,243.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add pipe supports in Mechanical Rooms.</li> <li>2. Relocate roof drains from over electrical room.</li> <li>3. Omit stainless steel sinks.</li> <li>4. Delete medical gas system.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The addition of the pipe supports eliminated the need for the University to have the asbestos removed. The pipe supports are free standing and therefore, there was no additional cost for abatement.</li> <li>2. Required by state electrical inspector. Rain water leader system was directly over electrical room.</li> <li>3. Duplication with countertop as sink in integral.</li> <li>4. The revised Medical Gas System is being accomplished by separate contract in order to reduce costs.</li> </ol>			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #9 - MECHANICAL Project No. 304.1	7	9/22/89	+ 32,855.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional work required in Lab Breakroom.</li> <li>2. Install connections for ice machines in five rooms.</li> <li>3. Revisions to x-ray processing room.</li> <li>4. Revisions to emergency room triage/clerks area.</li> <li>5. Provide plumbing for disinfecting unit and booster heater.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Contract documents did not indicate casework, sinks or provisions for HVAC, refrigerators or microwave ovens for this breakroom. Program documents had called for these items.</li> <li>2. Connections were not shown on contract documents from HOK.</li> <li>3. Moved wall back from corridor to permit space for x-ray film processor. No mechanical supply and waste lines indicated on drawings to hook-up processor. Provide required "in-use" light over door and darkroom light which were not on original drawings.</li> <li>4. To provide communication between clerks and triage nurse. Previous design did not permit this interaction.</li> <li>5. These mechanical and plumbing connections were not shown on the original HOK drawings.</li> </ol>			
MMR/WALLACE CONST. JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II BID PACK #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	8	11/28/89	+ 69,424.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Requirements for Hill-Rom power columns.</li> <li>2. Change sink type P-8B in Room HA0229.</li> <li>3. Revisions to the P-2C lavatories in the public toilets on the sixth floor.</li> <li>4. Added CT Scan Room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To provide for future overhead x-ray unit. Power columns provide for better functional usage in trauma bay.</li> <li>2. Incorrectly identified on drawings.</li> <li>3. HOK specified under counter mounted sinks which is not in keeping with the program and architectural drawings.</li> <li>4. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACKAGE #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	10	02/21/90	+ \$ 18,079.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide waste holding tank.</li> <li>2. Revisions to natural gas piping system.</li> <li>3. Connect isolation Rooms HAO225 and HAO226 to an exhaust duct.</li> <li>4. Add piping for future ice machine and provide new circuit in Panelboard 2LE for connection to new receptacle for ice machine.</li> <li>5. Changes to sink sizes in Room HAO16.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Campus Safety Officer requested that we provide holding tank to retain hazardous spills rather than holding in-room via curb and not connecting to sewer system.</li> <li>2. Discrepancies in pipe sizing shown on contract drawings.</li> <li>3. Isolation rooms required by code to have exhausted air. Drawings indicate a connection to return air duct.</li> <li>4. Hospital requested installation of two ice machines. Ice used for various surgical procedures and post surgery recovery for ice packs. This was a program requirement.</li> <li>5. Sinks too large and deep to properly attach to countertop. Down sized sinks to permit attachment. Design error.</li> </ol>			
MMR/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #9 - MECHANICAL Project No. 304.1	11	02/21/90	+ 48,396.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish labor and material to install the new duplex sump pump in steam tunnel.</li> <li>2. Add exhaust capabilities to 4 ICU isolation patient rooms.</li> <li>3. Insulation for the high pressure steam piping in the steam tunnel.</li> <li>4. Add motorized automatic dampers to exhaust fans.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Severe flooding of steam tunnel and damage to pipe insulation.</li> <li>2. The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on Contract Documents. HOK error.</li> <li>3. This insulation was damaged when the tunnel flooded.</li> <li>4. To control infiltration of air into mechanical rooms from outside. HOK error.</li> </ol>			
BROWN SPRINKLER CORP. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #10 - FIRE PROTECTION Project No. 304.1	2	10/18/89	+ 14,572.00
Nature of Change:	Provide a double interlock pre-action system in the operating suite area.			
Reason for Change:	Not indicated on bid documents or addendum. This system prevents the sprinkler system from going off on the patients in the OR suites.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
JOHNSON CONTROLS, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2, WORK CAT. #11 - CONTROL SYSTEM Project No. 304.1	1	9/22/89	+ \$ 10,537.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide operators on inlet vanes for axial flow fans.</li> <li>2. Additional work required. Information not supplied to Johnson at time of the original bid.</li> <li>3. Provide high pressure air to two 4" and one 1" pressure reducing stations.</li> <li>4. Tie-in alarm panel to the existing DSC panel in the mechanical room; install the point in DSC panel, program software and run all conduit and wire for the tie-in.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Operators were not specified in Temperature Controls bid package.</li> <li>2. Addition of two additional floors to the original contract resulted in work above the original scope.</li> <li>3. Not indicated on contract drawings.</li> <li>4. To alarm the existing sump pump in the event of any failure or problem to alert maintenance staff. Existing pump changed to duplex, alarm not shown in design.</li> </ol>			
JOHNSON CONTROLS, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #11 - BLDG. MANAGEMENT & CONTROL SYSTEMS Project No. 304.1	2	2/27/90	+ 12,022.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add exhaust capabilities to four (4) isolation patient rooms.</li> <li>2. Change air compressor circuits to include increasing conduit and wire size and additional 100 amp, 3 pole breakers.</li> <li>3. Provide 48" X 48" motorized automatic dampers at ground floor, first floor, second floor and third floor mechanical rooms.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on contract documents.</li> <li>2. Change required due to the compressors being changed from a 7-1/2 hp to a 15 hp.</li> <li>3. To control infiltration of air into mechanical rooms from outside.</li> </ol>			
THERMAL BALANCE, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #12 - TESTING, ADJUSTING & BALANCING Project No. 304.1	1	02/21/90	+ 1,658.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Added Cat Scan Room.</li> <li>2. Add exhaust capabilities to four (4) ICU isolation rooms.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service. The CT Scan is an absolute necessity for diagnosing patient head injury cases.</li> <li>2. The four isolation ICU patient rooms are required by code to have 100% exhaust air. This was not shown on contract documents.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	5	7/5/89	+ \$ 85,017.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Additional wiring, disconnects and rough-in for roll and cosa/tron.</li> <li>2. Add receptacles and disconnects at translogic stations.</li> <li>3. Install wiring from hair dryers to circuits.</li> <li>4. Changes required on approximately eleven doors.</li> <li>5. Change diffusers and light fixtures in ICU patient rooms.</li> <li>6. Add panelboards and provide circuits to service column.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Review of drawings revealed that the filter was to be connected to the motor feeder. This revision will provide separate circuits to the AHU filters in order to avoid compromising the motor protection.</li> <li>2. The Pneumatic Tube System was a late bid and the original drawings did not indicate the electrical requirements for the pneumatic tube equipment.</li> <li>3. Bid drawings did not show electric circuits for the hair dryers nor were they shown in electrical specifications.</li> <li>4. Several door hold-open devices must be changed from wall mounted to trim (closer type) mounted. There were a few doors where the electrical rough-in was not indicated for closers or smoke detectors. This will now coordinate the hardware and electrical requirements.</li> <li>5. State DHR requirement.</li> <li>6. Upon current review of the equipment to be plugged into the power columns in each of the 32 ICU/CCU patient rooms, it was determined that added circuits were needed to power all furnished equipment.</li> </ol>			
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	6	7/25/89	+ 72,277.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise sump pump in utility tunnel.</li> <li>2. Accessories and connections to Owner furnished exam light.</li> <li>3. Revise heart OR #11 and #12; revise laser and x-ray outlets.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. The existing pump is too small to handle the excessive amount of subsurface water; thereby allowing the tunnel to flood and cause extensive damage to equipment and pipe insulation.</li> <li>2. The accessories and connections were not indicated on drawings.</li> <li>3. Revisions required to allow continuous use in each room. An addition of preaction sprinkler system to OR suite needed to protect patients in the event of sprinkler discharge.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	7	8/16/89	+ \$ 31,557.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add outlets at access to helipad.</li> <li>2. Add casework, sink and electric outlets in room HAL625.</li> <li>3. Additional master station to receive call at Code Blue Stations.</li> <li>4. Add electrical receptacle for elevator monitors, add equipotential grounding and changes to 6th floor lab equipment raceway.</li> <li>5. Provide electrical power to three overhead door operators.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Additional outlets for communications to dispatch room. Security was concerned that anyone knowing the key code could gain access to the roof headwall, changes made to correct location of outlets, and connect to circuits not originally shown. Decided SARA system to be in separate conduit rather than cable tray to avoid disturbance of lines.</li> <li>2. Contract documents did not indicate casework, sink or provisions for HVAC, refrigerators or microwave ovens for this breakroom.</li> <li>3. Upon review of announcement for Code Blue, added master stations to receive calls. These permit the notification of code blue teams located elsewhere on the floor providing quicker response.</li> <li>4. Electric power required for elevator monitors not shown on contract drawings. Recommended equipment ground to provide greater protection of patients. Needed to separate emergency power and normal power circuits from within one raceway to two separate raceways. Omissions from original plans by HOK.</li> <li>5. No indicated on electrical drawings.</li> </ol>			
ARROW ELECTRIC CO., INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	8	10/18/89	+ 1,885.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish and install wiring to provide interlock for trash and linen chutes.</li> <li>2. Install duplex receptacle adjacent to TV monitor in OR's 1 through 10.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Safety standards to prevent injury.</li> <li>2. Video monitors in each of the ten OR's require electrical power which was not shown on original drawings.</li> </ol>			
ARROW ELECTRIC CO. LEXINGTON	HOSPITAL EXPANSION, PHASE II BID PACK #2; WORK CAT. #13 - ELECTRICAL Project No. 304.1	9	12/8/89	+ 65,558.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide a light switch at each double bay scrub sink in the operating rooms and for two scrub sinks at E.R.</li> <li>2. Provide electrical changes in Sub-sterile (HA0242).</li> <li>3. Changes for added CT Scan Room.</li> <li>4. Provide additional computer outlets in 10 rooms.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Switches "not shown" on original drawings. Lights were shown to be hardwired which would leave them burning at all times.</li> <li>2. Sterilizer to be used requires service clearance at each side, this requiring relocation of warming cabinet and shift of door location. Drain and old water to sterilizer was not called for on contract drawings.</li> <li>3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> <li>4. Hospital E-mail and communications have outpaced what was in the original planning stages.</li> </ol>			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1	1	7/25/89	+ \$ 839.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Door changes for electrical panel clearance.</li> <li>2. Access required for building mounted logo.</li> <li>3. Changes to hollow metal window.</li> </ol>			
Reason for Changes:	<ol style="list-style-type: none"> <li>1. Code requirement for electrical panel clearance.</li> <li>2. Access required for large building mounted logo due to relocation of logo.</li> <li>3. Window indicated on drawings is a fixed glass. Hospital requires a sliding window with sill in order to pass through specimen samples into the lab from the corridor.</li> </ol>			
AMERICAN STEEL PRODUCTS FARMINGDALE, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL Project No. 304.1	2	11/7/89	+ 1,337.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Changes required to hollow metal frames.</li> <li>2. Revisions to Room #1002a, previously cafeteria storage, to be changed to additional cafeteria seating. Include installation of a duplex receptacle centered on east wall and one centered on west wall. Connect ILW-12 in receptacle on east wall of elevator #4 in Lobby.</li> <li>3. Add CT Scan Room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing frames were revised.</li> <li>2. Project to renovate existing cafeteria requested this change to add seating rather than storage. That project cannot heat/cool this area due to concrete beam above ceiling.</li> <li>3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> </ol>			
AMERICAN STEEL PRODUCTS FARMINGTON, NY	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #14 - HOLLOW METAL WORK Project No. 304.1	3	02/21/90	+ 300.00
Nature of Change:	Add one borrowed light frame.			
Reason for Change:	Pass thru window added to permit delivery of specimens to laboratory desk without entering the room.			
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #15 - FINISH HARDWARE Project No. 304.1	2	11/7/89	+ 1,368.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise door hardware for two doors.</li> <li>2. Added CT Scan Room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing frames not prepared for pivots on electrical power.</li> <li>2. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> </ol>			
ATLAS METAL PRODUCTS CO. LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #15 - FINISH HARDWARE Project No. 304.1	3	02/21/90	+ 1,212.00
Nature of Change:	Revise lock set for opening #2117, add threshold for door #4015, and add 3 pair of hinges for door #14.			
Reason for Change:	Door 2117 needs to be lockable to keep traffic from entering sterile corridor from Stair B. Door 4015 needs threshold to keep fuel spills within hanger and out of exit stair, per Code. Door #14 frame installed in Bid Pack #1 without hinge mortises. Revised hinge to work with existing frame. Due to the 3'-0" width of openings, the hardware set had to be changed.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
DOVER ELEVATOR COMPANY LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #2; WORK CAT. #7 - ELEVATOR Project No. 304.1	1	02/27/90	+ \$ 449.00
Nature of Change:	Remove video screens and reinstall at new location.			
Reason for Change:	Space was made available by PPD to relocate the monitors into the wall. Monitors presently project from wall and could cause a head injury due to the sharp corners. Design omission.			
IAGCO, INC. LEXINGTON	HOSPITAL EXPANSION PHASE II BID PACK #4 - MEDICAL GAS SYSTEM Project No. 304.1	1	11/7/89	+ 6,770.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Replace two damaged 16" gaskets on the steamline.</li> <li>2. Additional requirements for Hill-Rom power columns.</li> <li>3. Add CT Scan Room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Gaskets needed to repair steam leak in tunnel.</li> <li>2. To provide for future overhead X-ray unit. Power columns provide for better functional usage in trauma bay.</li> <li>3. The CT Scan is essential for comprehensive trauma services being provided by the Emergency Room in conjunction with the new helicopter service.</li> </ol>			
LICHTFELD-MASSARO, INC. LOUISVILLE	HOSPITAL EXPANSION PHASE II PARKING STRUCTURE - GENERAL WORK (CAT. #1) Project No. 304.3	6	10/2/89	+ 17,284.95
Nature of Change:	<ol style="list-style-type: none"> <li>1. Credit for sodding/seeding around parking garage.</li> <li>2. Settlement of claim for unsuitable backfill material.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Due to future construction, it was felt it would be best to accept a credit and have the work done at a later date.</li> <li>2. Settlement of claim by Austin Excavation for unsuitable backfill material.</li> </ol>			
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	3	2/21/90	-0-
Nature of Change:	Changes to Special Conditions: Project Phasing. In Phase One, increase by one week the construction time. In Phase Two, decrease by one week the final fifteen day period of total shutdown.			
Reason for Change:	To meet Contractor delivery/installation time frame needs.			
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	4	3/06/90	+ 4,474.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Vending Area: Chemically treat existing wood frame construction to all exposed wood surfaces to provide flame spread rating of 25 maximum.</li> <li>2. Dishwashing Area: Apply USG Durock base coat, 1/16" T, of portland, cement mortar with dry latex polymers.</li> <li>3. Change connection/location of Dishwasher exhaust duct. Relocate reheat coil from Main Seating Area to Kitchen Storage.</li> <li>4. Paint 7-existing hollow metal doors and 2-window frames.</li> <li>5. Dishwashing Area: apply integral elastomeric waterproofing membrane to area of existing concrete slab where quarry tile damaged due to existing conditions.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Existing condition that State Field Inspector required upgrade before application of new finishes.</li> <li>2. Additional substrate preparation due to fastener projection.</li> <li>3. Code requirements.</li> <li>4. Left out of design.</li> <li>5. Concealed conditions.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	5	3/06/90	+ \$ 2,565.00
Nature of Change:	Add short 'wing' wall to above ceiling height and tie as needed to underside of above slab. Use 3 5/8" metal studs and 5/8" gyp. bd. and veneer finish. Paint. Relocate hand sink to new wing wall. Reroute plumbing lines.			
Reason for Change:	Code requirements.			
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	6	3/06/90	+ 4,970.02
Nature of Change:	<ol style="list-style-type: none"> <li>1. Upgrade existing electrical installation above-ceiling in Phase Two.</li> <li>2. Relocate existing medium pressure condensate line to eliminate conflict with new light fixtures, using new piping.</li> <li>3. Relocate existing 4" cast iron waste line and associated piping above-ceiling to eliminate conflict with ceiling height.</li> <li>4. Add SGFT and ceramic tile where existing ceiling is raised.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Code upgrade requirements.</li> <li>2-4. Unknown conditions exposed during demolition, to accommodate new design.</li> </ol>			
MARCO CONSTRUCTION CO., INC. NICHOLASVILLE	HOSPITAL EXPANSION CAFETERIA RENOVATION Project No. 304.7	7	3/06/90	+ 1,052.00
Nature of Change:	In Small Dining Room H128J, include metal stud framing with 5/8" gypsum bd. pilaster and soffitt/beam between Main Dining Room & Room H128J.			
Reason for Change:	Condition created by Expansion Project construction. Unknown condition exposed during demolition.			
M/R/WALLACE CONSTRUCTORS JEFFERSONVILLE, IN	HOSPITAL EXPANSION PHASE II COOLING TOWER IMPROVEMENTS Project No. 304.8	2	9/22/89	- 5,308.00
Nature of Change:	Relocate switchboard P and MCC 7.			
Reason for Change:	The original location was next to the removable glass in the basement. This would restrict mobility for maintaining equipment in that area and also would be susceptible to damage when removing the glass and moving equipment in and out of the basement.			
M/R/WALLACE CONST. JEFFERSONVILLE, IN	HOSPITAL EXPANSION/PHASE II COOLING PLANT IMPROVEMENT Project No. 304.8	3	11/28/89	+ 2,615.00
Nature of Change:	Provide additional steel framing supports for the first floor slab where nine holes have been cut through the existing slab.			
Reason for Change:	Due to conduit installation, additional support required.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
WHITE CONSTRUCTION CO. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.0	21	3/07/90	- \$ 13,904.75
Nature of Change:	<ol style="list-style-type: none"> <li>1. Repair leak in drain from cup sink behind hood #1 Room 151, connect vent line not connected in Chase Room 151. Repair D.I. water leak. These items repaired by Lagco.</li> <li>2. Repair 4 D.I. water leaks Room 137. These items repaired by Lagco.</li> <li>3. Reseed and straw, plus bring in top soil to cover rock areas. Work by Barker &amp; Sons and Bluegrass Backhoe.</li> <li>4. Replace stained ceiling tiles. Work by Rudd.</li> <li>5. Install 3 dampers, Room 143. Work by Honeycutt.</li> <li>6. Repair emergency exit doors to work properly. Work by Steve Reynolds.</li> <li>7. Repair hydronic leaks, D.I. water leaks, relocate chiller sensors repair roof leader leak, repair cooling drain. Work by Lagco.</li> <li>8. Repairs to numerous mechanical system problems. Work by Lagco.</li> </ol>			
Reason for Change:	This change order is for reimbursement of other contractors to take care of deficiencies and incompleteness of workmanship not performed by the original contractor.			
NATIONAL AVENUE CONTRACTORS LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.2	1	11/1/89	+ 1,800.00
Nature of Change:	Furnish & install Tarkett Multiflor & vinyl base to match existing in rooms 148 & 154B.			
Reason for Change:	To provide a cleaner environment in these labs.			
LAGCO, INC. LEXINGTON	AGRICULTURAL REGULATORY SERVICES Project No. 395.4	1	10/18/89	+ 251.70
Nature of Change:	Modify and weld existing duct collar on fume hood to eliminate possible perchloric collection point.			
Reason for Change:	Improper connection of ductwork by original contractor found during Engineers' on-site observation.			
WHITE & CONGLETON CO. LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	11	9/25/89	+ 7,214.32
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide surface mounted light fixtures in lieu of flush mounted type specified. Flush mounted fixtures shall be turned over to Owner.</li> <li>2. Seal a steam leak in the fan housing or flexible connection at the tunnel washer exhaust fan and provide a drain pan with pipe drain for same.</li> <li>3. Provide new exhaust fan and modify cage washer exhaust.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. When corridor ceilings were removed it was determined that existing ductwork and conduit prevented recessed lights. Ceiling could not be lowered due to existing wall mounted fire and sound equipment.</li> <li>2. Steam and steam condensate was leaking from fan.</li> <li>3. Revised exhaust system recommended for optimum efficiency.</li> </ol>			
WHITE & CONGLETON LEXINGTON	MEDICAL SCIENCE ANIMAL CARE FACILITY Project No. 406.1	12	11/24/89	+ 8,661.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Insulate and drain the exhaust duct for the cage washer.</li> <li>2. Insulate the exhaust duct.</li> <li>3. Support &amp; rerun existing conduit; eliminate unused conduit.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Not included in the original bid.</li> <li>2. Not included in the original bid.</li> <li>3. Electrical Inspector requirement.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
HIBBITTS CONSTRUCTION CO. LONDON	ANIMAL CARE RENOVATION ASBESTOS ABATEMENT Project No. 406.1	3	7/12/89	+ \$ 4,840.00
Nature of Change:	Remove 242 additional fittings per unit price.			
Reason for Change:	Additional asbestos found after ceiling was removed.			
K. V. CONSTRUCTION CO. LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	2	8/9/89	+ 2,112.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Close opening above women's restroom at corridor.</li> <li>2. Correct existing electrical deficiencies in contract area.</li> <li>3. Relocate new exit lights &amp; conduit as required to maintain connections with existing exit lights &amp; emergency circuits.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Area not visible prior to demolition of plaster ceiling; this work required to meet fire code.</li> <li>2. Work required by state electrical inspector.</li> <li>3. Existing conditions were different than shown on as-built drawings.</li> </ol>			
K. V. CONSTRUCTION CO. LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	3	9/11/89	+ 373.00
Nature of Change:	Provide 208V circuits from panel to electric hand dryers in men's and women's restrooms.			
Reason for Change:	Circuits specified is 110V; hand dryers specified are 208V.			
LICHTEFELD-MASSARO, INC. LOUISVILLE	BLANDING TOWER BRICK REPLACEMENT Project No. 423.1	5	8/9/89	- 298.36
Nature of Change:	Credit due Owner for damages to window.			
Reason for Change:	U.K. PPD repaired damages caused by contractor.			
R & W CONSTRUCTION CO. LOUISVILLE	ROBOTICS FACILITY Project No. 431.0	16	8/4/89	+ 10,427.65
Nature of Change:	<ol style="list-style-type: none"> <li>1. Modifications to Honeywell control system.</li> <li>2. Install emergency lighting and additional emergency circuit for toilet vestibules on basement, second, third, fourth &amp; fifth floors.</li> <li>3. Additional excavation required to lower steam condensate, chilled water supply and return piping; also demolition and backfill required for steam line and condensate extension.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Changes required for interfacing of controls and equipment.</li> <li>2. Emergency circuit vestibule fixtures requested by Housing, Building &amp; Construction.</li> <li>3. Lowering of new utilities required due to concealed conflicting site conditions. Due to proximity to surface, existing steam piping was removed and new piping installed at deeper depth.</li> </ol>			
LAGCO, INC. LEXINGTON	ROBOTICS FACILITY WATERLINE Project No. 431.4	1	10/9/89	+ 1,000.00
Nature of Change:	Change push joint 6" pipe to mechanical joint 6" pipe.			
Reason for Change:	University standard required mechanical joint pipe and fittings to allow for future tie in.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	2	10/18/89	+ \$ 2,455.00
Nature of Change:	Additional excavation and labor required to locate tie-in point for 6" water line due to water main not being located as shown on contract drawings. Extra fittings and pipe required to reach tie-in point.			
Reason for Change:	Tie in point shown incorrectly on contract drawings.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	3	10/18/89	+ 10,063.50
Nature of Change:	Remove 10 cu. yds. of trench rock. Remove trench rock by hand on time and material basis around ductbank and provide additional fittings to off set pipe around ductbank.			
Reason for Change:	Encountered rock and abandoned ductbank during excavation. Ductbank not shown on contract drawings.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	4	10/18/89	- 3,000.00
Nature of Change:	Delete concrete and asphalt pavements from scope of work.			
Reason for Change:	Asphalt to be provided under Robotics Project 431.0 contract as shown on drawings.			
LAGCO, INC. LEXINGTON	ROBOTIC FACILITY WATERLINE Project No. 431.4	5	10/18/89	+ 1,500.00
Nature of Change:	Reroute gas line.			
Reason for Change:	Drawings did not indicate specific placement of gas meter.			
E.C. MATTHEWS CO., INC. LEXINGTON	ARBORETUM - BID PACK #1 Project No. 453.1	1	03/27/90	+ 2,700.14
Nature of Change:	The E.C. Matthews Company is proposing to delete the one (1) inch water main as shown on the drawings and substitute a four inch (4") water main. Add a backflow preventer vault and drain line.			
Reason for Change:	Increased irrigation capacity for future garden use.			
TREESCAPES, INC. PARIS	ARBORETUM - HOME DEMONSTRATION GARDEN BID PACKAGE #2 Project No. 453.1	1	3/15/90	+ 462.50
Nature of Change:	Addition of sod. The amount of sod required to cover the proposed area is 185 square yards.			
Reason for Change:	Sod was never identified on the drawings of Bid Package #2, thus it was not included in the original bid.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
AKERS & AKERS, INC. DANA	HAZARD COMMUNITY COLLEGE TECHNICAL/LEARNING RESOURCE BLDG. Project No. 469.0	5	11/7/89	+ \$ 1,988.06
Nature of Change:	Install additional site drainage. (One concrete catch basin with grate and frame, 55 lin. ft. non-perforated PVC pipe, and 175 lin. ft. Warren's Terraflow 100' Drainage System.			
Reason for Change:	It was determined by the Landscape Architect that additional drainage is necessary to yield correct growing condition for the plantings of this project.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	11	8/15/89	+ 1,009.60
Nature of Change:	Rock removal incurred to domestic water line installation.			
Reason for Change:	Unanticipated subsurface rock encountered.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	12	9/7/89	+ 1,950.00
Nature of Change:	Install schedule 80 PVC pipe to connect two six-inch (6") diameter downspouts to nearby yard drain in lieu of surface drainage.			
Reason for Change:	Piped storm drainage in lieu of surface drainage will prohibit some of the potential moisture problems in the tunnel.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #1 - BUILDING SHELL Project No. 472.0	13	9/7/89	+ 29,399.45
Nature of Change:	Coordination Allowance Sum: Allowance for coordination of future contracts. The allowance is figured on the basis of a percentage of \$300,000. Arc stated an allowance of 5% to the actual figures used in subsequent bid package contracts.			
Reason for Change:	Construction contract required the allowance.			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	2	9/6/89	- 8,577.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Omit ceiling in mechanical room.</li> <li>2. Delete 3' of counter in kitchen.</li> <li>3. Delete 3.67' of counter in women's room.</li> <li>4. Credit for not reworking door #142.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Difficult to install ceiling around ductwork installed in Bid Pack #1.</li> <li>2. To allow space for a full size refrigerator in lieu of undercounter type.</li> <li>3. To allow room for the chase for the generator flue.</li> <li>4. Door opening was installed lower than specified. The user agreed this height was acceptable.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	3	9/6/89	+ \$ 4,836.63
Nature of Change:	<ol style="list-style-type: none"> <li>1. Paint light rings, diffusers, grilles, include epoxy primer and cabinets.</li> <li>2. Paint coping to match brick.</li> <li>3. Install two gang wiremold boxes on single gang boxes.</li> <li>4. Enclose beams under stair at orchestra pit access.</li> <li>5. Install recessed electric boxes for dimmers.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Factory finish not compatible with color scheme.</li> <li>2. Factory finish does not match brick color closely enough.</li> <li>3. Owner's equipment required two gang wiremold boxes.</li> <li>4. Aesthetic improvement.</li> <li>5. Recessed boxes will prohibit future damage.</li> </ol>			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BUILDING BID PACK #3 - FINISHES Project No. 472.1	4	9/6/89	+ 9,630.70
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install oak handrail along sidewalls of auditorium.</li> <li>2. Install concrete side ditch from headwall.</li> <li>3. Install emergency generator transfer switch wiring.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. 1988 Building Code allows for an additional 73 seats if handrails are installed.</li> <li>2. The addition of a paved ditch from the headwall to the existing paved ditch wall will help prevent soil erosion.</li> <li>3. This wiring is required for automatic function of the emergency generator.</li> </ol>			
ARC CONSTRUCTION CO. EVANSVILLE, IN	MADISONVILLE COMMUNITY COLLEGE ACADEMIC/AUDITORIUM BLDG. BID PACKAGE #3 - FINISHES Project No. 472.1	5	11/1/89	+ 2,171.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install wall mounted exit lights over two doors.</li> <li>2. Connect two exterior lighting fixtures to emergency circuit.</li> <li>3. Install mirror in toilet area, handicapped accessible.</li> </ol>			
Reason for Change:	Above items were required by State Department of Housing, Buildings & Construction.			
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	7	8/21/89	+ 15,087.90
Nature of Change:	<ol style="list-style-type: none"> <li>1. Revise motor control center.</li> <li>2. Install 6" diameter stainless steel duct from fan discharge to the stainless steel vent cap on the roof.</li> <li>3. Provide trucks to remove excess fill from the construction site.</li> <li>4. Provide and install service sinks in three rooms; extend air and vacuum to island casework.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Motor control center elements are not sized properly to accommodate AHU-1 motor as provided in shop drawings.</li> <li>2. Experiments placed for exhaust system will cause rapid deterioration of galvanized duct.</li> <li>3. Owner's vehicles were not available to move the fill material.</li> <li>4. Items were to be included in casework bid package; items were omitted.</li> </ol>			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
R & W CONSTRUCTION CO. LOUISVILLE	AGRICULTURAL ENGINEERING BUILDING Project No. 475.0	8	11/8/89	+ \$ 8,346.91
Nature of Change:	<ol style="list-style-type: none"> <li>1. Install 3/4" fire retardant plywood on steel angles welded to steel frame as back up to aluminum cover plates on the column and arch in lobby. Provide 3 1/2" batt insulation.</li> <li>2. Wire and make operational nine tamper switches and two flow switches.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To prevent "oil canning" of aluminum sheets to provide insulation, not indicated on construction bid documents.</li> <li>2. Required for code compliance, not included in construction documents.</li> </ol>			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	2	7/5/89	+ 653.59
Nature of Change:	<ol style="list-style-type: none"> <li>1. Remove plastic foam lining from interior of existing exhaust shaft on north side of south stair.</li> <li>2. Install two layers 5/8" firecode G.W.B. on south and west walls of south stair enclosures. Extend drywall from underside of roof deck to bottom of full course of 8" concrete block.</li> <li>3. Grind floors around two floor drains to allow floor tile to be installed flush with drains. Shim plate level in men's restroom.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. These two items required by State Building Inspector.</li> <li>2. Existing floor drain in men's restroom was not level to allow for proper installation of ceramic tile.</li> </ol>			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	3	7/25/89	+ 1,869.90
Nature of Change:	Remove three (3) broken exterior glass panels and replace with 1/4" gray tempered glass.			
Reason for Change:	Windows were broken by vandals from exterior of building. Community College requested contractor repair.			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOV. PHASE II Project No. 478.1	4	9/25/89	+ 574.07
Nature of Change:	<ol style="list-style-type: none"> <li>1. Provide and install floor stops at doors from Classroom 302.</li> <li>2. Install two additional sprinkler heads in Mechanical Room.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Required to protect wall surfaces.</li> <li>2. Required by Building Inspector.</li> </ol>			
STEVENS CONTRACTORS, INC. LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING RENOVATION Project No. 478.1	5	11/13/89	+ 656.65
Nature of Change:	<ol style="list-style-type: none"> <li>1. Insulate hot water and drain lines under lavatory sinks in men's and women's restrooms.</li> <li>2. Install one additional lighted double-faced exit sign in corridor.</li> <li>3. Time extension. Additional 14 days.</li> </ol>			
Reason for Change:	Above items are required by Federal Code Requirements.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	1	10/9/89	+ \$ 590.00
Nature of Change:	Change Corridor 200-C paint from semi-gloss to flat alkyl.			
Reason for Change:	Paint specified for new corridor ceiling does not match reflectance or the ceiling it ties into.			
RICHARD WHEELER CONST. LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	2	10/18/89	-0-
Nature of Change:	Increase contract completion date by twenty-one (21) days.			
Reason for Change:	Delivery delays caused by substitution of materials.			
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	1	11/24/89	+ 616.40
Nature of Change:	Electrical changes.			
Reason for Change:	Changes necessary in order to comply with electrical code.			
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	2	12/12/89	-0-
Nature of Change:	Extend completion time to September 14, 1989.			
Reason for Change:	Additional work required; unforeseen mechanical and electrical conditions, delivery of electrical fixtures.			
SHERROD CONSTRUCTION CO. WINCHESTER, KY	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	3	12/12/89	+ 1,378.94
Nature of Change:	Upgrade electric service.			
Reason for Change:	Required by code.			
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FIRST FLOOR REFURBISHING PHASE II Project No. 526.1	1	3/06/90	+ 1,135.00
Nature of Change:	1. Delete the finishing and installation of vinyl flooring from this contract. 2. Install Terrazzo and patch or repair existing Terrazzo not covered in Project 526.			
Reason for Change:	1. Physical Plant will be replacing all the floor tile following the completion of this project. It will be much better for this tile to be replaced at that time with the same material. 2. Left out during design.			
SHERROD CONSTRUCTION CO. WINCHESTER	MEDICAL CENTER COFFEE SHOP RENOVATION Project No. 544.0	1	11/1/89	+ 4,924.53
Nature of Change:	Electrical upgrade in Room H183 and H185.			
Reason for Change:	Upgrade required by Department of Housing, Building and Construction, to meet present code requirements.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
SHERRILL CONSTRUCTION CO. WINCHESTER	MEDICAL CENTER COFFEE SHOP RENOVATION Project No. 544.0	2	11/1/89	+ \$ 330.75
Nature of Change:	Replacement of laminate grill hood cover.			
Reason for Change:	Actual field conditions varied from assumed condition and needs replacement.			
P & R ROOFING & SHEET METAL LEXINGTON	ASBESTOS REMOVAL SPINDLETOP ACE ROOF Project No. 548.0	1	11/10/89	- 3,371.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Credit due for repair of gypsum roof deck which was not required.</li> <li>2. Credit due for treated wood nailers actually installed.</li> <li>3. Reinstall metal fascia.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1&amp;2 Contract is being adjusted to reflect actual quantities originally covered by cash allowances.</li> <li>3. Replacement of metal fascia was required when the abatement contractor removed it without permission.</li> </ol>			
WARREN BUILDERS, INC. MT. STERLING	NOE HOUSE ROOF REPLACEMENT PROJECT NO. 552.0	2	8/14/89	-0-
Nature of Change:	Increase contract completion date by 14 days.			
Reason for Change:	Delay in construction due to excessive rainy weather.			
WARREN BUILDERS, INC. MT. STERLING	ALLEN HOUSE ROOF REPLACEMENT PROJECT NO. 553.0	2	8/14/89	-0-
Nature of Change:	Increase contract completion date by 14 days.			
Reason for Change:	Delay in construction due to excessive rainy weather.			
PEARCE-PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	1	10/3/89	- 792.00
Nature of Change:	Delete 66 square feet of gypsum deck replacement.			
Reason for Change:	Allowance was established in contract for 70 square feet of gypsum deck replacement. Only four square feet of deck had to be replaced.			
PEARCE-PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOF REPLACEMENT Project No. 554.0	2	10/11/89	- 305.00
Nature of Change:	Delete eleven cast iron downspout boots. Add six precast concrete downspout splash blocks and 4 inch PVC storm sewer line, to connect to existing storm sewer.			
Reason for Change:	Changes made to provide proper connections to storm drainage system where available.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	1	8/8/89	+ \$ 3,178.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Construct a new drywall wall in the front entrance lobby foyer, that extends from the floor to the structural ceiling and is 62 inches wide.</li> <li>2. Make changes to fire alarm system; relocate five smoke detectors install two new electromagnetic door holders, relocate heat detectors in restrooms, and connect to the flow and tamper switches.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Two fire alarm panels are required for the project instead of the anticipated one panel. There is not enough existing wall space to mount two panels.</li> <li>2. Changes required by State Fire Marshal.</li> </ol>			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	2	10/17/89	+ 748.00
Nature of Change:	Add motor starts with auxiliary contacts for kitchen and ground floor HVAC units, and add auxiliary contact to existing starter for HVAC unit in tunnel for cafeteria unit.			
Reason for Change:	Addition of starters and contacts are needed to allow the fire alarm panel to shut down and restart the HVAC units.			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	3	10/17/89	+ 369.15
Nature of Change:	Remove and relocate four smoke detectors in the main dining area to achieve necessary four foot clearance from ceiling fans.			
Reason for Change:	Ceiling fans will prevent smoke from reaching the four smoke detectors in their present location.			
PARSONS ELECTRIC INC. LEXINGTON	DONOVAN HALL FIRE SAFETY Project No. 560.0	4	1/19/90	+ 305.37
Nature of Change:	Install a timed out relay in parallel with manual start button for the cafeteria fan unit.			
Reason for Change:	Relay is required in order for the fire alarm panel to restart the fan after an alarm.			
PARSONS ELECTRIC, INC. LEXINGTON	DONOVAN HALL FIRE ALARM Project No. 560.0	5	3/15/90	-0-
Nature of Change:	Increase the time for completion of the project by 48 calendar days.			
Reason for Change:	Problems with delivery of the Fire Alarm.			
BURDETTE ELECTRIC, INC. LEXINGTON	KEENEELAND HALL FIRE SAFETY Project No. 561.0	1	12/2/89	+ 3,027.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Replace in elevator lobbies (floors 1-4) each non-addressable base to an addressable base. Install proper wiring to make each device addressable on the new fire alarm system.</li> <li>2. Add addressable smoke detectors in the basement elevator lobby and elevator machine room. Install proper conduit and wiring to connect to fire alarm system.</li> <li>3. Provide conduit and wiring to sprinkler system alarm system tamper switches in the basement. Connect to new fire alarm system.</li> <li>4. Provide dorm room smoke/heat detector with IAM on first floor.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Update for future code requirement for fireman's service.</li> <li>2. Update for future code requirement for fireman's service.</li> <li>3. Code requirement. Not shown on drawings.</li> <li>4. Code requirement. Not shown on drawings.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	1	8/21/89	- \$ 550.00
Nature of Change:	Patch and repair column V53 by removing all cracked and deteriorated concrete and sufficient amount of concrete at column top to expose existing dowel, blast area clean of all debris, laitance, dust, rust and oil, patch column with mortar, paint repaired portion of column to match existing.			
Reason for Change:	Satisfactory remedy of existing condition can be corrected in equal or better quality than specified method at cost savings.			
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	2	9/1/89	+ 1,050.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Demolish exterior concrete column down to horizontal reveal at approximately mid-height of column sufficient for PED to remove exterior damaged pipe and replace with new. Contractor then to patch back column and paint to match existing.</li> <li>2. Weld re-bar stubs out of roof slab to column reinforcing steel.</li> </ol>			
Reason for Change:	1&2 To correct existing conditions which were not apparent before construction.			
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMN REPAIRS Project No. 564.0	3	9/1/89	+ 883.75
Nature of Change:	Remove existing concrete around existing 1" rigid conduit where it penetrates roof slab to allow for new 90 degree elbow to be installed. Install new conduit for elbow to new j-box; install new conduit to bottom of excavated column and connect with existing 1" rigid conduit. Provide wire as necessary.			
Reason for Change:	To correct existing conditions which were not apparent before construction.			
BROADVIEW BUILDINGS, INC. LEXINGTON	SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0	1	9/11/89	+ 1,948.74
Nature of Change:	Fill in void behind wall cabinets with sheet rock and cover with fire protection board.			
Reason for Change:	New kitchen cabinets were shorter than original ones. Fire protection board was required to cover voids and rough drywall.			
PANEL TECH, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	1	7/24/89	- 1,838.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Delete gypsum board partition at existing third floor corridor.</li> <li>2. Delete all metal stud framing at columns and spandrel panels adjacent to exterior walls.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Gypsum board partition as shown on drawings would be relocated at time of "Fit-up" Phase.</li> <li>2. Work will be accomplished under "Fit-up" Phase. No other metal stud framing in shell enclosure required.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
PARSONS ELECTRIC, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	2	7/18/89	- \$ 205.00
Nature of Change:	Delete work required to extend the new receptacles in the face of the new drywall partition.			
Reason for Change:	Final location of walls had not been established. Walls to be located in the Fit-up phase.			
PARSONS ELECTRIC, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	3	8/15/89	- 50.00
Nature of Change:	Delete installation of 50 amp receptacles.			
Reason for Change:	Receptacles not required in Rooftop Conversion Phase.			
E. C. MATTHEWS CO. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	3	8/15/89	- 3,196.30
Nature of Change:	Credit for leaving glazing & aluminum framing that was to be removed; do not install door (door, frame & hardware to be turned over to Owner); and delete all painting.			
Reason for Change:	Work will be accomplished during "Fit-up".			
E. C. MATTHEWS CO. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOFTOP CONVERSION Project No. 576.0	4	11/1/89	- 3,219.81
Nature of Change:	Allowance money not used in Project.			
Reason for Change:	Project is complete. This portion of the allowance was not used.			
ELECTRA OF LEXINGTON LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	1	2/23/90	+ 7,362.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Add one LF-21 and two LF-14 fixtures. Relocate light switch and exit light conduit rough-in. Change the circuits in the switchboard from 3 pole, 25 amps to 3 pole, 35 amps.</li> <li>2. Intercept new 3" communication conduit above ceiling &amp; install 3" conduit to Communication Closet. Install two 3" communication conduit from Mechanical Room 300 to Communication Closet.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Area changed from reception area to private office. Upgraded for air flow needs.</li> <li>2. The 3" conduit connected to wireway requires extending so that computer cables will not overflow the wireway. The 3" conduits to the communications room are required in order to feed LAN cables from basement to the rooftop for distribution.</li> </ol>			
ELECTRA OF LEXINGTON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	3/15/90	+ 1,518.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Rework three inch telephone conduit in south mechanical room.</li> <li>2. Install conduit to control Rooms C321, C322, and C325 lighting functions.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. For control of lighting in conjunction with the ophthalmology desks at Rooms C321, C322, and C325.</li> <li>2. Provide continuous 3 inch conduit from existing communication closet to new communication closet.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
COX MECHANICAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	3/14/90	+ \$ 980.00
Nature of Change:	Move existing ducts and piping above second floor janitor's closet ceiling.			
Reason for Change:	Relocate ductwork and piping for installation of new floor reinforcement beams.			
B.L. RADDEN & SON, INC. LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	2	03/14/90	+ 129.00
Nature of Change:	Paint new ceiling at second floor Janitor's Closet.			
Reason for Change:	Existing ceiling at second floor janitor's closet will require removal and replacement due to installation of steel beams for floor reinforcement.			
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA FITUP ROOF TOP FIT-UP Project No. 576.1	2	03/14/90	+ 2,981.05
Nature of Change:	Provide and install new beams at chase as shown.			
Reason for Change:	Existing floor will require reinforcing due to new floor penetrations for relocation of mechanical piping duct.			
L.W.J. GENERAL CONTRACTORS LEXINGTON	WARREN WRIGHT MEDICAL PLAZA ROOF TOP FIT-UP Project No. 576.1	3	03/22/90	- 2,002.65
Nature of Change:	<ol style="list-style-type: none"> <li>Delete casework Z at Room B344 and shorten casework Y two (2) feet at Room B343.</li> <li>Delete the following casework: Room C321-A0, Room C322-A0, Room B361-AE.</li> <li>Add the following pieces of casework: Room C321-AY, Room C322-AY.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>Room B344 is to become a future office, wall between Rooms B343 and B344 was moved two (2) feet to the east.</li> <li>2&amp;3 Configuration of small casework will work better with usage of Rooms C321 and C322.</li> </ol>			
WOODFORD BUILDERS, INC. LEXINGTON	MARKEY CANCER CENTER THIRD FLOOR COMPLETION Project No. 579.0	2	2/21/90	+ 809.60
Nature of Change:	Revise flush valves for plumbing fixtures.			
Reason for Change:	Specifications do not indicate correct flush valves as per U.K. Standards.			
CENTRAL ROCK CO. LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	1	9/27/89	+ 1,560.00
Nature of Change:	<ol style="list-style-type: none"> <li>Change the dumpster screen to extend main gate panels from 6' to 7' in length and add six steel bollards.</li> <li>Add new drain pipe 8' in length.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>The enclosure screen was increased in size to reduce the possibility of damage from the dump trucks. Three concrete filled steel bollards were added to each side of the enclosure for added protection.</li> <li>The existing pipe could no longer be drained through the curb. The line was therefore routed to an existing catch basin.</li> </ol>			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CENTRAL ROCK COMPANY LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	2	11/1/89	- \$ 1,402.25
Nature of Change:	<ol style="list-style-type: none"> <li>1. Deduction for additional charges from consultant incurred by Owner, due to project delays.</li> <li>2. Replace a section of concrete sidewalk at Aquatics entry that has settled and cracked.</li> </ol>			
Reason for Change:	Deduction in lieu of liquidated damages.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	2	7/14/89	+ 728.00
Nature of Change:	At construction divider in Dialysis Area, install 5/8" gypsum board and 3 1/2" sound batts to construction side of existing metal stud wall. Seal around existing ductwork and piping as required for a tight fit.			
Reason for Change:	A more effective noise barrier was required between the two areas; to eliminate noise so construction work can continue.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	3	8/15/89	+ 260.00
Nature of Change:	Install recirculation line in "R-0" supply system in each of the two dialysis boxes.			
Reason for Change:	Recirculation line is not called for, but is necessary for operation.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	4	9/6/89	+ 3,614.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Furnish &amp; install 20" x 30" access panel in the sidewall of the pipe chase adjacent to the nurse's station in Rm. H507.</li> <li>2. Remove plaster ceiling in Phase II of project.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Access to allow concealed connection of the telephone wiring at junction box; not picked up during design.</li> <li>2. Ceiling was indicated on plans as concealed spline, it was in fact, tile on plaster.</li> </ol>			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	5	9/6/89	+ 85.00
Nature of Change:	Furnish and install an 8" x 8" panel at the location of the existing telephone junction box in Rm. H510C.			
Reason for Change:	To allow connection of the telephone. No provision was made for this requirement on the plans.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	6	11/8/89	+ 2,006.36
Nature of Change:	<ol style="list-style-type: none"> <li>1. Upgrade existing above ceiling electrical installation.</li> <li>2. Correct existing electrical deficiencies above the ceiling.</li> <li>3. Install three 18 x 18 access panels at required location on 4th floor.</li> <li>4. Upgrade ceiling electrical. Install telephone conduit to phone terminal, Dialysis. Relocate J-box away from steam line. Provide alternate pull stations and lights for Nurses Call Systems.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1&amp;2 Changes required by State Electrical Inspector.</li> <li>3. Access required as directed by the University to allow access to plumbing valves and telephone terminations.</li> <li>4. Change required to bring area up to the electrical code and safety standards.</li> </ol>			



V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
MARCO CONSTRUCTION NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	3	8/2/89	+ \$ 1,687.00
Nature of Change:	Install new electric sub-panel to feed the gas column in Room HS-218.			
Reason for Change:	A separate circuit for each service to the gas column should eliminate overload possibilities.			
MARCO CONSTRUCTION NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	4	9/26/89	+ 1,621.00
Nature of Change:	Electrical installations upgrading existing above-ceiling.			
Reason for Change:	Required by Code.			
MARCO CONSTRUCTION CO. NICHOLASVILLE	MEDICAL CENTER OUTPATIENT SURGERY RENOVATION Project No. 590.0	5	11/8/89	+ 3,075.00
Nature of Change:	1. Electrical changes. 2. Electrical changes for X-ray disconnect installation.			
Reason for Change:	1. Changes requested by the Division of Licensing & Regulations. 2. During design the information received on this equipment has now turned out to be incorrect. Once the equipment was received electrical changes were needed for proper installation.			
SHELCE CONTRACTORS, INC. BENTON	HOEKINSVILLE COMMUNITY COLLEGE AUDITORIUM Project No. 596.0	3	3/08/90	-0-
Nature of Change:	Time extension of seven calendar days.			
Reason for Change:	Due to inclement weather, primarily rain.			
KEMPER ELECTRIC CO. LEXINGTON	MCVEY HALL ELECTRICAL & COMMUNICATIONS UPGRADE Project No. 597.0	1	10/31/89	+ 4,349.65
Nature of Change:	1. Install 80 additional wireway supports. 2. Install fire treated plywood backboards in communications closets.			
Reason for Change:	Above changes are required to meet code requirements.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	1	9/8/89	+ 823.00
Nature of Change:	Replace electrical conduit and wire.			
Reason for Change:	Conduit was in concrete slab. It was impossible to demolish slab without destroying conduit.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	2	9/8/89	+ 623.00
Nature of Change:	Sand blast planter walls.			
Reason for Change:	Other walls near planter had been sandblasted. This additional work made the planter blend with its surroundings.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
PERRY CONSTRUCTION CO. LEXINGTON	AMERICAN BUILDING RENOVATION GEOLOGICAL SURVEY Project No. 613.0	1	10/19/89	+ \$ 1,101.10
Nature of Change:	<ol style="list-style-type: none"> <li>1. Saw out and remove concrete to fan out new slab at door between Building A &amp; B.</li> <li>2. Saw out, remove and replace concrete at two new ramps.</li> <li>3. Remove concrete slab and foundation encountered below floor level in acid waste ditch in order to install the same.</li> <li>4. Install 6" clean-out at intersection of existing sewer and new sewer.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Requested by State Fire Marshal's Office.</li> <li>2. Adjustment in ramps needed for operation of fork lift in these areas.</li> <li>3. Foundation below floor slab was not known to exist, removal was necessary for plumbing.</li> <li>4. Because of unknown piping, pipe elevations needed to be changed through this clean out.</li> </ol>			
C. G. LEE, INC. LEXINGTON	MEDICAL CENTER ALLIED HEALTH RENOVATION Project No. 625.0	4	7/14/89	+ 287.00
Nature of Change:	Make changes in existing construction; including cutting off existing fixture stud supports and reinstall existing, add arc shields and receptacle cover.			
Reason for Change:	Changes required by State Electrical Inspector.			
MOUNTAIN CONSTRUCTION HARLAN	SOUTHEAST COMMUNITY COLLEGE FALKENSTINE HALL RENOVATIONS Project No. 628.0	1	12/5/89	+ 792.00
Nature of Change:	Change clear glass to bronze glass on exterior windows.			
Reason for Change:	Plan did not indicate glass to be bronze to match existing.			
MOUNTAIN CONSTRUCTION CO. HARLAN	FALKENSTINE HALL RENOVATION SOUTHEAST COMMUNITY COLLEGE Project No. 628.0	3	03/26/90	+ 857.37
Nature of Change:	Provide additional smoke detectors in the corridor.			
Reason for Change:	Required by State Fire Marshal.			
MCKNIGHT AND ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE NEWMAN HALL RENOVATION Project No. 629.0	1	03/20/90	+ 7,199.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Change aluminum finish of window from clear anodized to bronze anodized.</li> <li>2. Revise foundation system because of unanticipated subsurface conditions.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Modification in finish insured that Newman Hall will match Falkenstine and Christman Hall.</li> <li>2. Change required by size and location of perimeter piping.</li> </ol>			
BROADVIEW BUILDING, INC. LEXINGTON	MEDICAL CENTER ANNEX II STRUCTURAL REPAIRS Project No. 632.0	1	7/24/89	+ 209.47
Nature of Change:	Weld in place a 1/4" x 2" flat steel plate under the steel brick support shelf. This was necessary to close a large gap between the old sagging building steel and the straight new brick shelf.			
Reason for Change:	Existing steel has deflected caused by weight of original brick. The new shelf angle was straight. When the new shelf was installed, an opening or gap was created between the two pieces of metal.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
CAREY CONSTRUCTION CO. LEXINGTON	ADMINISTRATION DRIVE REALIGNMENT PHASE I Project No. 635.0	1	3/06/90	- \$ 2,770.00
Nature of Change:	<ol style="list-style-type: none"> <li>1. Delete demolition of Area 'B'.</li> <li>2. Modify temporary site drainage.</li> <li>3. Time extension of eight calendar days.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. To allow cleaner conditions for University users until Phase II begins.</li> <li>2. Provides simpler solution to drainage problem during Phase I.</li> <li>3. University delays start of Phase I from 12/22/89 through 12/29/89 (8 days).</li> </ol>			
M. B. MCGREGOR LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 650.0	1	8/8/89	+ 3,172.98
Nature of Change:	<ol style="list-style-type: none"> <li>1. Relocate and reinsulate existing cold water supply piping from second through fourth floors.</li> <li>2. Correction of material deficiencies.</li> <li>3. Relocate and reinsulate water piping near corridor wall to allow installation of fire/smoke damper in corridor wall.</li> <li>4. Replace two hydronic heater elements.</li> </ol>			
Reason for Change:	<ol style="list-style-type: none"> <li>1. Change required due to removal and replacement of bar joists.</li> <li>2. Material shortages and deficiencies of University supplied shower partitions.</li> <li>3. Physical interference with new damper.</li> <li>4. Existing units were corroded and could not be reinstalled.</li> </ol>			
M. B. MCGREGOR CO. LEXINGTON	HAGGIN HALL SHOWER REPAIR Project No. 650.0	2	11/7/89	- 792.00
Nature of Change:	Delete labor & material costs for push-button switches and contactors for future infra-red heaters.			
Reason for Change:	Items were not installed by electrical contractor.			
DEERING AND SON, INC. LEXINGTON	BLANDING IV ROOF REPLACEMENT Project No. 653.0	1	7/21/89	-0-
Nature of Change:	Change material of coal tar pitch to Owens/Corning Rememop modified asphalt. Extend contract completion time ten calendar days.			
Reason for Change:	Coal tar pitch is no longer offered by Owens/Corning. Decision to stop offering of roof made after award of contract. Extension of time required for delivery of material.			
DEERING AND SON, INC. LEXINGTON	BLANDING IV ROOF REPLACEMENT Project No. 653.0	2	8/17/89	+ 713.50
Nature of Change:	Remove and replace 390 l.f. of 2 x 4 wood blocking; and 40 l.f. of 2 x 6 wood blocking.			
Reason for Change:	Existing wood blocking had extensive water damage.			
TRI-STATE ROOFING & SHEET METAL CO. LEXINGTON	HOSPITAL - COOLING TOWER REPAIR Project No. 655.0	1	3/13/90	-0-
Nature of Change:	Time extension of 67 days.			
Reason for Change:	Time extension due to extremely cold weather in December following rain in November which delayed completion of final topping application and flashing.			

V. CHANGE ORDERS TO CONSTRUCTION CONTRACTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>CHANGE NUMBER</u>	<u>DATE APPROVED</u>	<u>AMOUNT</u>
FAYETTE ELECTRICAL SERVICE LEXINGTON	FIRE SAFETY THREE BUILDINGS Project No. 881.0	2	7/20/89	+ \$ 2,600.00
Nature of Change:	Provide 12 smoke detectors at Wenner Gren Laboratory 2nd floor, pull new zone wiring through existing conduit to the fire alarm panel and tie into existing spare zone module, and update the zone map to reflect changes.			
Reason for Change:	Fire code requirements were not met in original design. Area was omitted from project due to oversight of programmers and consultants.			

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
ROY PELFREY ASSOCIATES LEXINGTON	CENTER FOR THE ARTS STAGE CURTAIN Project No. 494.0	\$ 19,600	7/28/89
GREEN MECHANICAL LEXINGTON	MEDICAL PLAZA ROOFTOP MECHANICAL CONTROLS Project No. 576.2	679	7/28/89
KENTUCKY ORNAMENTAL IRON LEXINGTON	AQUATIC CENTER ENTRY Project No. 661.0	6,785	8/4/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	MEDICAL SCIENCE SMOKE DETECTORS Project No. 853.0	244,972	8/15/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	DENTAL SCIENCE SMOKE DETECTORS Project No. 854.0	58,603	8/15/89
WEBER & WEBER LOUISVILLE	JEFFERSON COMMUNITY COLLEGE COMMUNICATION DUCT BANKS Project No. 577.0	33,480	8/15/89
GORDON CONSTRUCTION SHELBYVILLE	COMPLEX BASKETBALL COURTS LANDSCAPING Project No. 391.1	11,817	8/15/89
GRIGGS ENTERPRISES LEXINGTON	MCVEY HALL HANDICAP ACCESS Project No. 502.0	145,681	8/16/89
HIBBITTS CONSTRUCTION LONDON	ASBESTOS REMOVAL ANIMAL CARE RENOVATION Project No. 406.2	82,990	8/16/89
HOPKINS COUNTY ASPHALT MADISONVILLE	MADISONVILLE COMMUNITY COLLEGE CONCRETE CONCOURSES - 3RD LEVEL Project No. 387.0	73,319	8/16/89
C. C. LEE LEXINGTON	MEDICAL CENTER ALLIED HEALTH ADMINISTRATIVE OFFICES Project No. 625.0	21,531	8/16/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
BROADVIEW BUILDINGS LEXINGTON	MEDICAL CENTER - ANNEX 2 STRUCTURAL INVESTIGATION Project No. 632.0	\$ 17,975	9/1/89
MCCARTHY BROTHERS - CONSTRUCTION MANAGERS LEXINGTON/ ST. LOUIS, MO	HOSPITAL EXPANSION COOLING PLANT Project No. 304.8	159,319	9/7/89
MONESE CONSTRUCTION LEXINGTON	HOLMES HALL SUNDECK REPAIR Project No. 446.0	57,349	9/11/89
YOUNG SALES LOUISVILLE	JEFFERSON COMMUNITY COLLEGE AUDITORIUM ROOF REPLACEMENT Project No. 584.0	22,770	9/19/89
WARREN BUILDERS MT. STERLING	NOE HOUSE ROOF REPLACEMENT Project No. 552.0	96,233	9/13/89
WARREN BUILDERS MT. STERLING	ALLEN HOUSE ROOF REPLACEMENT Project No. 553.0	98,250	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	KAPPA SIGMA FRATERNITY FIRE SAFETY Project No. 872.0	66,455	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	PHI SIGMA KAPPA FRATERNITY FIRE SAFETY Project No. 873.0	60,745	9/13/89
WILLIAM HEPBURN ELECTRIC LEXINGTON	LAMBDA CHI ALPHA FRATERNITY FIRE SAFETY Project No. 874.0	59,855	9/13/89
ASBESTOS ENVIRO-CLEAN BARTONVILLE, IL	ASBESTOS REMOVAL - PADUCAH C. C. STAGE CURTAIN Project No. 583.1	5,860	9/20/89
MID-CONTINENTAL RESTORATION FORT SCOTT, KS	PATTERSON HALL BRICK TUCKPOINTING Project No. 566.0	21,674	9/20/89
CLEAVER CONSTRUCTION MURRAY	PADUCAH COMMUNITY COLLEGE STUDENT CIR./FINE ARTS SETTLEMENT Project No. 383.0	23,850	9/25/89
DEERINGER & SON LEXINGTON	BLANDING VI ROOF REPLACEMENT Project No. 653.0	53,108	10/23/89
EDWIN BRADY CONSTRUCTION PARIS	KIRWAN/BLANDING COMPLEX EXTERIOR CONCRETE COLUMNS Project No. 564.0	16,284	10/23/89
AKERS & AKERS DANA	BRETONSBURG COMMUNITY COLLEGE PARKING LOT Project No. 271.0	136,481	10/23/89
RUEFF LIGHTING LOUISVILLE	HOSPITAL EXPANSION PHASE II BID PACK 5 - LAZER INSULATION POWER SUPPLIES Project No. 304.1	98,571	10/23/89
PEARCE-PHELPS ROOFING LEXINGTON	SOMERSET COMMUNITY COLLEGE MECE HALL ROOF REPLACEMENT Project No. 581.0	43,372	10/23/89
PEARCE-PHELPS ROOFING LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	131,881	10/23/89
PANEL TECH LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	35,052	10/23/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
GREEN MECHANICAL CONSTRUCTION LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	\$ 16,501	10/23/89
BROWN SPRINKLER LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	28,900	10/23/89
BROADVIEW BUILDING LEXINGTON	SHAWNEETOWN KITCHEN UNIT REPLACEMENT Project No. 567.0	58,837	10/23/89
C. E. PENNINGTON LEXINGTON	MINING & MINERALS RESOURCE BUILDING Project No. 115.0	7,921,630	10/31/89
ECONOMY GLASS SERVICE LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	35,295	10/31/89
LINKER'S PROFESSIONAL INSULATORS LOUISVILLE	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	39,175	10/31/89
PARSON'S ELECTRIC LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	12,105	10/31/89
NORWOOD CONSTRUCTION LEXINGTON	DEMOLITION OF STRUCTURES CLIFTON, ROSE, PENNSYLVANIA, & GAZETTE Project No. 675.0	13,958	11/06/89
CENTRAL ROCK MINERAL COMPANY LEXINGTON	LANCASTER AQUATIC CENTER PARKING LOT Project No. 598.0	51,600	11/09/89
BEAR ELECTRIC OWENSBORO	HOPKINSVILLE COMMUNITY COLLEGE ACADEMIC BLDG. EMERGENCY LIGHTING Project No. 607.0	17,509	11/09/89
E. C. MATTHEWS LEXINGTON	MEDICAL PLAZA ROOFTOP ENCLOSURE Project No. 576.0	248,650	11/16/89
CENTRAL ROCK MINERAL COMPANY LEXINGTON	SEATON CENTER SITE DEVELOPMENT Project No. 588.0	69,661	11/16/89
RICHARD WHEELER CONSTRUCTION LEXINGTON	STUDENT CENTER INTERIOR RENOVATION PHASE II Project No. 524.1	39,580	11/20/89
MCCARTHY BROTHERS-CONSTRUCTION MGR. LEXINGTON	HOSPITAL EXPANSION COOLING TOWER Project No. 304.8	671	11/20/89
M. B. MCGREGOR LEXINGTON	HAGGIN HALL SHOWERS PHASE III Project No. 650.0	133,259	11/28/89
K. V. CONSTRUCTION LEXINGTON	DONOVAN HALL DOOR #1 PULL Project No. 407.1	120	11/28/89
PERRY CONSTRUCTION LEXINGTON	AMERICAN BUILDING RENOVATION GEOLOGICAL SURVEY PHASE II Project No. 613.0	116,444	12/07/89
MCKNIGHT & ASSOCIATES LONDON	SOUTHEAST COMMUNITY COLLEGE CONCRETE CONCOURSE Project No. 611.0	59,946	12/07/89
KEMPER ELECTRIC LEXINGTON	MCVEY HALL COMMUNICATIONS CONDUIT & ELECTRICAL Project No. 597.0	65,819	12/07/89
P & R ROOFING & SHEET METAL LEXINGTON	SPINDLETOP ANIMAL CARE FACILITY ROOF REPLACEMENT Project No. 548.0	33,204	12/13/89
K. V. CONSTRUCTION LEXINGTON	DONOVAN HALL HANDICAP IMPROVEMENTS Project No. 407.0	118,534	12/15/89
CENTRAL KENTUCKY GLASS LEXINGTON	PARKING STRUCTURE Project No. 304.3	15,793	12/20/89

VI. TOTAL CONTRACTOR PAYMENTS FOR COMPLETED PROJECTS  
(CONTINUED)

<u>CONTRACTOR</u>	<u>PROJECT</u>	<u>FINAL CONTRACT AMOUNT</u>	<u>DATE</u>
PEARCE PHELPS ROOFING LEXINGTON	COOPERSTOWN LOWER ROOFS REPLACEMENT Project No. 554.0	\$ 70,347	1/8/90
FINNEY COMPANY LEXINGTON	PHARMACY EQUIPMENT INSTALLATION Project No. 555.0	210,112	1/12/90
STEVENS CONTRACTORS LOUISVILLE	JEFFERSON COMMUNITY COLLEGE BROADWAY BUILDING PHASE II Project No. 478.1	245,232	1/23/90
CONGLETON-HACKER LEXINGTON	LEXINGTON COMMUNITY COLLEGE ACADEMIC/TECHNICAL BUILDING Project No. 468.0	3,065,494	1/25/90
E. C. MATTHEWS LEXINGTON	FOOD SERVICE FREEZER #2 Project No. 610.0	106,500	1/30/90
LANDMARK SPRINKLER LEXINGTON	KEENELAND HALL BASEMENT STORE ROOM SPRINKLERS Project No. 638.0	22,625	2/6/90
CONGLETON-HACKER LEXINGTON	ANIMAL CARE RENOVATION Project No. 406.1	568,788	2/9/90
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL ENDOSCOPY/DIALYSIS RENOVATION Project No. 589.0	118,722	2/15/90
MARCO CONSTRUCTION NICHOLASVILLE	HOSPITAL OUTPATIENT SURGERY RENOVATION Project No. 590.0	104,823	3/6/90
BROWN SPRINKLER LOUISVILLE	BLAZER HALL BASEMENT STORAGE ROOM SPRINKLERS Project No. 637.0	52,422	3/6/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL COFFEE SHOP RENOVATION Project No. 544.0	164,899	3/6/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FIRST FLOOR REFURBISHING Project No. 526.0	238,699	3/6/90
DEBCON LEXINGTON	HOSPITAL EXPANSION - HELIPORT Project No. 304.5	80,900	3/6/90
SHERROD CONSTRUCTION CO. WINCHESTER	HOSPITAL FRONT ENTRANCE Project No. 636.0	108,047	3/16/90

Office of the President  
June 19, 1990

FCR 4

Members, Board of Trustees:

Report of Leases

Recommendation: that the Board accept the President's Report of the following lease agreements in accordance with Board Policy:

The renewal of a lease between the Cooperative Extension Service and Community Development, 305 West Main Street, Frankfort, Kentucky effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$3,900.00, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Roger LaPointe, 1903 Cedar Ridge, Bowling Green, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$6,600.00, be accepted and made a matter of record;

The renewal of a lease between University Extension and the Board of Education of Fayette County, 701 East Main Street, Lexington, Kentucky effective July 1, 1990 through June 30, 1991, for the use of classroom space for the Japanese Saturday School, rental rate of \$2,400.00, be accepted and made a matter of record;

The renewal of a lease between the Southeast Community College and First State Bank of Middlesboro, P. O. Box 400, Middlesboro, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of classroom/office space, rental rate of \$24,000.00, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension service and Harvey Ershig, 200 South Green Street, Henderson, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$6,900.00, be accepted and made a matter of record;

The renewal of a lease between the Medical Center Research Fund and Robert K. Wood, c/o Medford Properties, 2044 Georgian Way, Lexington, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$25,746.00, be accepted and made a matter of record;



The renewal of a lease between the Center for Applied Energy Research and David and Ann Myers, Route 2, Box 58, Town Branch Road, Jeffersonville, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of land for water run-off research, rental rate of \$1,000.00, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Lincoln Trail Development Foundation, Inc., 702 College Street Road, Elizabethtown, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$3,408.75, be accepted and made a matter of record;

The renewal of a lease between the Department of Nursing and Collins & Mayo Collieries, Co., P. O. Box 408, Ashland, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$6,600.00, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Century 21, Landover Realty, 4140 South Highway 27, Suite 100 Somerset, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$7,423.76, be accepted and made a matter of record;

The renewal of a lease between Owensboro Community College and Daviess County Board of Education, 1622 Southeastern Parkway, Owensboro, Kentucky, effective August 1, 1990 through May 31, 1991, for the use of classroom space, rental rate of \$480.00 per classroom per semester, be accepted and made a matter of record;

The renewal of a lease between the Cooperative Extension Service and Christian County Farm Bureau, P. O. Box 683, Hopkinsville, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$6,200.50, be accepted and made a matter of record;

The renewal of a lease between Elizabethtown Community College and Nazareth Campus Service, P. O. Box 8, Nazareth, Kentucky, effective August 1, 1990 through June 30, 1991, for the use of classroom space, rental rate of \$220.00 per classroom, be accepted and made a matter of record;

The renewal of a lease between the Kentucky Geological Survey and Donald Harrison, 221 Hancock Street, Henderson, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$5,100.00, be accepted and made a matter of record;

The renewal of a lease between Elizabethtown Community College and Grayson County Board of Education, Leitchfield, Kentucky, effective August 18, 1990 through June 30, 1991, for the use of classroom space, rental rate of \$144.00 per classroom per semester, be accepted and made a matter of record;

A new lease between the College of Pharmacy Continuing Education and Rose/Harman Investment, 465 East High Street, Lexington, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$16,093.91, be accepted and made a matter of record;

A new lease between the Cooperative Extension Service and Harvey G. Ershig, 200 South Green Street, Henderson, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$4,800.00, be accepted and made a matter of record;

A new lease between the Southeast Community College and Whitesburg Education Foundation, Inc., 112 North Webb Avenue, Whitesburg, Kentucky, for the use of classroom space, effective August 15, 1990 through August 14, 1995, rental rate of \$29,500.00, be accepted and made a matter of record;

A new lease between the Agronomy Department and Wilbert and Roger Perkins, Route 1, Frankfort, Kentucky, effective May 15, 1990 through October 13, 1990, for the use of 1.5 acres of land for corn breeding experiments, rental rate of \$360.00, be accepted and made a matter of record.

Background: FCR 5, dated October 5, 1985, authorized the President or his designated representative to enter into leases and easements not exceeding \$30,000.00 in value and required that all leases entered into under this authority be reported to the Board.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

0952V

Office of the President  
June 19, 1990

FCR 5

Members, Board of Trustees:

Approval of Leases

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to renew the following:

The renewal of a lease between Prestonsburg Community College and Thelmer McClanahan, P. O. Box 3476, Pikeville, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of classroom space, rental rate of \$40,896.90 annually. An additional 1,624 square feet of classroom space has been added at the same rental rate;

The renewal of a lease between the Medical Center Finance Division and Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$96,093.75 annually;

The renewal of a lease between Medical Center Information Management and Webb Companies, Suite 3000, Lexington Financial Center, Lexington, Kentucky, effective July 1, 1990 through June 30, 1991, for the use of office space, rental rate of \$51,680.50 annually.

Background: The above leases were entered into on July 1, 1989 and will continue through June 30, 1990. The departments wish to continue the leases through June 30, 1991 at the same rental rate.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

0952V

Office of the President  
June 19, 1990

FCR 6

Members, Board of Trustees:

Approval of Lease

Recommendation: that the Vice Chancellor for Administration, Lexington Campus, be authorized to enter into a lease between the University of Kentucky Lexington Community College and Graves/Turner Third Street Venture One, 101 Prosperous Place, Lexington, Kentucky 40509 for 20,750 square feet of classroom/office/library space and 300 parking spaces at 817 Winchester Road, Lexington, Kentucky, effective August 1, 1990 through July 31, 1995 at a rental rate of \$265,808 per year.

Background: An advertisement was placed in the local newspaper to lease approximately 20,000 square feet for office, library, and classroom space accompanied by approximately 300 parking spaces. There were three responses received. After an analysis of each response, the space at 817 Winchester Road was determined to be the best to meet the needs of the Lexington Community College and the current projections for student enrollment. LCC has experienced remarkable growth in recent years. The headcount enrollment in the spring semester of 1990 was 3,923. The enrollment is expected to grow by 18 percent this fall. Even with the recent completion of the Moloney Building, LCC cannot accommodate this number of students in its facilities on the main campus and must utilize off-campus lease space for at least the next five years in order to serve its student population. The owners of Graves/Turner Third Street Venture One are Steve Graves and Craig Turner of Lexington, Kentucky.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

0952V

# FCR 7

Members, Board of Trustees:

## ROOM AND BOARD RATES 1990-91 SCHOOL YEAR

**Recommendation:** that the room and board rates for University Housing and Dining be adjusted as follows to become effective Fall Semester 1990.

### I. UNDERGRADUATE HOUSING RESIDENCE HALLS—TWO SEMESTERS

<u>TYPE OF PLAN</u>	<u>PRESENT RATE</u> <u>1989-90</u>	<u>PROPOSED RATE</u> <u>1990-91</u>	<u>DOLLAR</u> <u>INCREASE</u>
Housing+DinerCard \$1000 Minimum*	\$2,466	\$2,582	\$ 116
Per-Diem Housing Rate**	\$ 6.47	\$ 7.06	\$ .59

(In prior years 3 meal/7-day, 2 meal/7-day, and 2 meal/5-day plans were offered. However, due to declining popularity and enrollment, they have been discontinued for 1990-91.)

\*Housing costs represent \$1,466 of 1989-90 and \$1,582 of 1990-91 total cost figures. Included in the housing fee are the following items:

- (1) \$10 per year fee for a "PRIDE" Program (Promoting Responsibility In Damage Elimination).
- (2) \$16 per year for Residence Hall Programming Facility fee.
- (3) \$76 per year for Residence Hall Open Visitation fee.

\*\*This per-diem rate is established for occupancy during stated academic recesses of the University (Thanksgiving, Christmas, and Spring Break, etc.) Students must secure special permission to remain in housing during these periods.

### IA. GREG PAGE UNDERGRADUATE APARTMENTS—TWO SEMESTERS

Housing Only	\$1,510	\$1,638	\$ 128
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### II. GREG PAGE FAMILY APARTMENTS—MONTHLY RATES (Effective August 1, 1990)

<u>TYPE OF APARTMENT</u>	<u>PRESENT RATE</u> <u>1989-90</u>	<u>PROPOSED RATE</u> <u>1990-91</u>	<u>DOLLAR</u> <u>INCREASE</u>
Two Bedrooms	\$ 440	\$ 450	\$ 10

### III. FAMILY APARTMENTS - COOPERSTOWN & SHAWNEETOWN—MONTHLY RATES (Effective August 1, 1990)

<u>TYPE OF APARTMENT</u>	<u>PRESENT RATE</u> <u>1989-90</u>	<u>PROPOSED RATE</u> <u>1990-91</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 250	\$ 265	\$ 15
One Bedroom	325	337	12
Two Bedroom	350	362	12

**IV. SINGLE GRADUATE APARTMENTS - COOPERSTOWN—MONTHLY RATES**  
(Effective August 1, 1990)

<u>TYPE OF APARTMENT</u>	<u>PRESENT RATE</u> <u>1989-90</u>	<u>PROPOSED RATE</u> <u>1990-91</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 250	\$ 265	\$ 15
One Bedroom	325	337	12

**V. SINGLE GRADUATE APARTMENTS—MONTHLY RATES—**  
**COMMONWEALTH VILLAGE & LINDEN WALK—ROSE LANE**  
(Effective August 1, 1990)

<u>TYPE OF APARTMENT</u>	<u>PRESENT RATE</u> <u>1989-90</u>	<u>PROPOSED RATE</u> <u>1990-91</u>	<u>DOLLAR</u> <u>INCREASE</u>
Efficiency	\$ 265	\$ 280	\$ 15
One Bedroom	340	352	12

**VI. SUMMER SCHOOL**  
(Effective Summer 1991)

HOUSING ONLY:

8-Week Session:

Double Occupancy	\$ 363	\$ 396	\$ 33
Single Occupancy	454	495	41

4-Week Session:

Double Occupancy	\$ 181	\$ 198	\$ 17
Single Occupancy	226	248	22

**VII. PROPOSED DAILY CONFERENCE AND GUEST RATES**  
(Effective Summer 1991)

DAILY RATE:

Double Room	\$18.00	\$19.00	\$ 1
Single Room	21.00	22.00	1
Pre-College Age with Linen	\$10.00	\$10.00	\$ 0
Pre-College Age without Linen	8.00	8.00	0

**Background:** The present rates are not sufficient to meet the higher operating costs and financial demands of the Housing and Dining System. The proposed adjustments result primarily from increased costs of personal services, benefits, minimum wage, postage, insurance, supplies, and maintenance on buildings. These rates cover debt service, all operating costs and funds for replacement of furniture and equipment.

Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

FCR 8

Members, Board of Trustees:

RESOLUTION ADOPTING REVISED PURCHASING REGULATIONS

**Recommendation:** that the Board of Trustees adopt the attached revised regulations implementing House Bill 622 (KRS 164A.555 - .630) and rescind the regulations adopted on December 12, 1989.

**Background:** KRS 164A.555 - .630 is the codification of House Bill 622, which gave the University authority to manage its own financial affairs. At its December 12, 1989 meeting, the Board adopted purchasing regulations, which were then submitted to the Legislative Research Commission. The Legislative Research Commission is attempting to centralize in one repository all rules and regulations pertaining to purchasing for all public agencies, and had asked the state universities to formally adopt and file regulations with the Commission. After the universities had filed their proposed regulations, the LRC suggested a standard format for the regulations so that the universities would have similar regulations to deal with all components of HB 622: purchasing, fund accounting, financial management responsibility, audits, bonds, capital construction, affiliated corporations, and the establishment of a fund for excellence.

This resolution is required in order for the University to refile its regulations in the standard format suggested by the LRC. Once the regulations are formally approved, they will be made a part of the State Administrative Regulations.

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Action: Approved  Disapproved  Other

Date: June 19, 1990

6231D

UNIVERSITY OF KENTUCKY  
BOARD OF TRUSTEES

765 KAR 1:010. Acquisition and disbursement of funds, accounting system - records and annual report.

RELATES TO: KRS 164A.560 and 164A.565

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provisions of KRS 164A.560 and KRS 164A.565 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees elects to perform the financial management functions set forth in KRS 164A.560, Section (2), related to the receipt, deposit, collection, retention, investment, disbursement and accounting of all funds; and KRS 164A.565 related to the installation of an accrual basis accounting system, other records and annual reports.

Section 2. The University of Kentucky Board of Trustees elects to comply with KRS 164A.560 Section (2) (b) to limit disbursements to the accounts and for the purposes for which the state appropriations, or other monies have been received for through the enacting resolution of the institution's annual operating budget.

Section 3. The University of Kentucky Board of Trustees shall use an accrual basis accounting system and fund structure that conforms with generally accepted accounting principles and procedures established for colleges and universities by the National Association of College and University Business Officers and the American Institute of Certified Public Accountants, and shall act to ensure further compliance with Sections (2), (3), (6), (7), and (8) of KRS 164A.565.

UNIVERSITY OF KENTUCKY  
Board of Trustees

765 KAR 1:020. Delegation of financial management responsibility.

RELATES TO: KRS 164A.560, 164A.565, 164A.575, 164A.580, 164A.585, 164A.590, 164A.595, 164A.600 AND 164A.620

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provision of KRS 164A.560 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees, under the provision of KRS 164A.560, elects to delegate responsibility for the financial management provisions of KRS 164A.560, 164A.565, 164A.575, 164A.580, 164A.585, 164A.590, 164A.595, 164A.600 and 164A.620 to the President of the University.

Further, the Board of Trustees delegates to the President responsibility for submitting to the state property and buildings commission information and requests for approval of any bond project approved by the Board of Trustees.



UNIVERSITY OF KENTUCKY

Board of Trustees

765 KAR 1:030. Annual audit.

RELATES TO: KRS 164A.570

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provision of KRS 164A.570 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees elects to engage a qualified firm of certified public accountants for the purpose of submitting an independent opinion concerning the internal accounting controls and compliance with the provisions of KRS 164A.560, 164A.565, 164A.575, and 164A.620. The engagement of the qualified firm, scope of the audit, and report of findings shall be in accordance with the provisions of KRS 164A.570.

UNIVERSITY OF KENTUCKY

Board of Trustees

765 KAR 1:040. Purchase - inventories - sales of surplus property - capital construction procedures

RELATES TO: KRS 164A.575, KRS 164A.580, KRS 164A.585, KRS 164A.590, KRS 164A.595 AND KRS 164A.600

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provisions of KRS 164A.575, KRS 164A.580, KRS 164A.585, KRS 164A.590, KRS 164A.595 and KRS 164A.600 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees, under the provisions of KRS 164A.560, elects to purchase and manage interests in real property, contractual services, rentals of all types, supplies, materials, equipment, printing, and services in accordance with KRS 164A.575 and elects to manage and administer capital construction projects in accordance with KRS 164A.580, KRS 164A.585, KRS 164A.590, 164A.595 and KRS 164A.600.

UNIVERSITY OF KENTUCKY

Board of Trustees

765 KAR 1:050. Issuance of bonds.

RELATES TO: KRS 164A.605

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provision of KRS 164A.605 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees, under the provision of KRS 164A.560, elects the authority to issue bonds subject to the conditions as set forth in KRS 164A.605.

UNIVERSITY OF KENTUCKY

Board of Trustees

765 KAR 1:060. Fund for excellence.

RELATES TO: KRS 164A.410 AND KRS 164A.620

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provision of KRS 164A.620 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees, under the provisions of KRS 164A.560, elects and authorizes the establishment of a fund for excellence under the conditions and for the purpose as set forth in KRS 164A.620.

UNIVERSITY OF KENTUCKY

Board of Trustees

765 KAR 1:070. Affiliated Corporations

RELATES TO: KRS 164A.610

STATUTORY AUTHORITY: KRS 164A.560

NECESSITY AND FUNCTION: The governing boards of the public institutions of higher education may elect to perform the financial management functions of KRS 164A.555 to KRS 164A.630 by issuing regulations to do so. This regulation implements the provision of KRS 164A.610 at the University of Kentucky.

Section 1. The University of Kentucky Board of Trustees, under the provisions of KRS 164A.560, elects to organize and operate one (1) or more affiliated corporations in accordance with KRS 164A.610.

Office of the President  
June 19, 1990

FCR 9

Members, Board of Trustees:

SUPPLIES TRANSFER TO UNIVERSITY OF CINCINNATI

Recommendation: It has been determined that miscellaneous consumable supplies and general labware in rooms 422 and 424 of the Ben F. Roach Facility which are not otherwise consigned to specific equipment inventory listings of the Department or Center are of no significant value beyond the dedicated purpose(s) for which they were purchased in the conduct of research by Dr. M. Ernest Marshall. They are, therefore, declared equivalent to surplus and are recommended for release to be moved to the University of Cincinnati, the new base of Dr. Marshall's operations.

Background: Dr. M. Ernest Marshall left the University of Kentucky and is presently employed by the University of Cincinnati. Through a process of identifying consumable supplies purchased by Dr. Marshall, it has been determined that the supplies and general labware in Rooms 422 and 424 of the Ben F. Roach Facility should be moved to the University of Cincinnati in support of the research effort of Dr. Marshall.

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Action taken: Approved \_\_\_\_\_ Disapproved \_\_\_\_\_ Other X Withdrawn\*

Date: June 19, 1990

\*Information included in FCR 2.

Office of the President  
June 19, 1990

FCR 10

Members, Board of Trustees:

INTELLECTUAL PROPERTY RIGHTS ASSIGNMENT  
RESEARCH AND GRADUATE STUDIES

Recommendation: that the Board authorize the President to execute an assignment on behalf of the Board of Trustees to the University of Kentucky Research Foundation of technical know-how and trade secret Intellectual Property Rights in certain new and useful advances in the developments of enzyme-linked immunosorbent assays, called ELISA tests made by faculty members David S. Watt, Thomas (NMI) Tobin, and Hsin-Hsiung Tai.

Background: David S. Watt, Thomas (NMI) Tobin, and Hsin-Hsiung Tai have developed an immunoassay technology that is effective for detection of drugs in racing horses and canines. They have assigned the associated intellectual property rights to the University of Kentucky. In accord with University policy, it is recommended that the University assign these intellectual property rights to its designated agent, the University of Kentucky Research Foundation for the purpose of commercialization and protection of their intellectual property.

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Action: Approved  Disapproved  Other

Date: June 19, 1990

A S S I G N M E N T

WHEREAS, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY is the sole and exclusive owner, by assignment on May 21, of the Intellectual Property Rights of David S. Watt, Thomas (NMI) Tobin and Hsin-Hsuing Tai relating to advances in the technology of ELISA immunoassay test kits for testing of drugs of abuse in racing horses and canines and in and to any and all Letters Patent of the United States and foreign countries which may later be obtained therefore;

AND, WHEREAS, UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, a corporation organized and existing under the laws of the Commonwealth of Kentucky and having a place of business in Lexington, Kentucky, is desirous of acquiring the entire right, title and interest in and to said Intellectual Property Rights and in and to any and all Letters Patent of the United States and foreign countries which may later be obtained therefore;

NOW THEREFORE, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION the entire right, title and interest in and to said Intellectual Property Rights, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries; the Intellectual Property Rights and any Letters Patent to be held and enjoyed by said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its successors, assigns and legal representatives, to the full end of the term or terms for which said Intellectual Property Rights remain and any Letters Patent may be granted, reissued or extended as fully as the same would have been held and enjoyed by

said BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY had this assignment, sale and transfer not been made;

AND THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY hereby authorizes and requests the Commissioner of Patents of the United States and any official of the country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid to issue to said UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION, its legal representatives, successors or assigns, as the sole owner of the Intellectual Property Rights covered thereby, any and all such Letters Patent, and in accordance with the terms of this agreement, and hereby covenants that it has the full right to convey the entire interest herein assigned and that it has not executed and will not execute any agreement in conflict herewith.


IN TESTIMONY WHEREOF, THE BOARD OF TRUSTEES OF THE UNIVERSITY OF KENTUCKY has caused these presents to be signed by the President thereunto duly authorized, and its seal to be affixed and attested this 19~~th~~ day of June, 1990.

UNIVERSITY OF KENTUCKY

By   
President, University of Kentucky

S E A L

ATTEST:

  
Assistant Secretary, Board of Trustees

Assistant Secretary, Board of Trustees

Office of the President  
June 19, 1990

FCR 11

Members, Board of Trustees:

OPERATING BUDGET FOR 1990-91

Recommendation: that the 1990-91 Operating Budget as submitted, including the authorization set forth in the letter of transmittal, be approved and ordered recorded as an integral part of the University's financial system with the necessary fiscal control being exercised for the collection and appropriation of income and for the expenditure of departmental appropriations in accordance with authorized fiscal policies and procedures and accepted as a basis of maximum expenditures for the year 1990-91.

Background: The 1990-91 Operating Budget of the University of Kentucky is presented for approval by the Board of Trustees. Copies of the budget document have been made available to the members of the Board.

NOTE: The Operating Budget for 1990-91 is not included in the Minutes of the meeting but is included in the official files.

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Action taken: Approved  Disapproved  Other

Date: June 19, 1990

Office of the President  
June 19, 1990

SCCR 1

Members, Board of Trustees:

AMENDMENT OF CODE OF STUDENT CONDUCT

Recommendation: that the Board of Trustees approve the attached revisions to the Code of Student Conduct, effective August 16, 1990:

(1) Revision No. 1 is designed to assist the Dean of Students Office in investigating alleged instances of Student Code violations.

(2) Revision No. 2 clarifies the definition of obscenity in the Student Code. The definitions are based upon those contained in the Kentucky Revised Statutes.

Background: Only the Board of Trustees may amend the Code of Student Conduct. Responsibility for proposing revisions to the Code is delegated to a committee of students, faculty and administrators. That committee accepts and reviews recommendations from student, faculty and administrators regarding revisions and forwards them to the President. Following review by the President, the proposed changes are then considered by the Student Code Committee of the Board of Trustees.

As in past years, the committee solicited proposals for Code revision from members of the University community via THE KERNEL and through a memorandum sent to each college dean. Proposals were initiated both outside and within the committee and discussed at length. The committee then endorsed and submitted the proposals to the President for his consideration and forwarding to the Board of Trustees.

The proposed revisions were approved by the President, and then forwarded to the Board of Trustees Student Code Committee. The Board's Student Code Committee has met, reviewed the proposed changes and now recommends approval by the Board.

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Action: Approved  Disapproved  Other

Date: June 19, 1990



Proposed Revision #1

(Material to be added is underlined, material to be deleted is bracketed.)

1.48

It is expected that all students will cooperate with the investigation or adjudication of alleged offenses. However, if a student fails to appear, respond or otherwise communicate to a request for a meeting with the Dean of Students, the Dean, after proper notification to the student of the consequences of failure to respond, will notify the Registrar. (Proper notification will usually consist of at least two documented efforts to communicate with the student.) Upon receiving this notification, the Registrar shall prohibit the student from registering. In the case of a graduating student, the diploma will be withheld. At the time the student responds to the Dean's request, the Dean will notify the Registrar who will lift the imposed restriction. If the student disputes the appropriateness of the Dean's action, an appeal may be made to the Vice-Chancellor for Student Affairs. Upon the filing of the appeal and meeting with the Vice Chancellor, the Vice Chancellor shall inform the Registrar's Office that the student may register.

Proposed Revision #2

(Material to be added is underlined, material to be deleted is bracketed)

Article I

1.21

d. [Lewd,] Indecent or obscene behavior or the distribution of obscene matter upon University property (see Definition Section following 1.21);

...  
...

w. Violation of conditions imposed in connection with one or more of the sanctions enumerated in Section 1.81 through 1.87.

Definition Section

"Obscene" means: to the average person, applying contemporary university-community standards, the predominant appeal of the matter, taken as a whole, is to prurient interest in sexual conduct; and the matter depicts or describes the sexual conduct in a patently offensive way; and the matter taken as a whole, lacks serious literary, artistic, political, or scientific value.

"Obscene behavior" means: behavior or conduct in public, which to the average person, applying contemporary university-community standards, would be found to have the predominant effect of arousing in other persons prurient interest in sexual conduct; and the public behavior is patently offensive sexual conduct or publicly depicts sexual conduct in a patently offensive way; and the behavior as a whole, lacks serious literary, artistic, political, or scientific value.

"Sexual conduct" means acts of masturbation, homosexuality, lesbianism, bestiality, sexual intercourse, or acts of sexual gratification involving the sex organs of one person and the mouth or anus of another; or physical contact with the genitals, flagellation, or excretion for the purpose of sexual stimulation or gratification.

"Matter" means any book, magazine, newspaper, or other printed or written material or any picture, drawing, photograph, motion picture, or other pictorial representation of any statue or other figure, or any recording, transcription, or mechanical, chemical, electrical reproduction or any other articles, equipment, machines, or materials.

"Indecent behavior" means intentional exposure of ones genitals or intentional exposure of a model of genitals when one knows or should know that his or her conduct is likely to cause affront or alarm.

Note 1: As used in the offenses specified in subsections a. through t. herein, University property is defined as all property owned, or leased to, and operated exclusively by the University, and all such property leased to or operated by student and non-student organizations which are under the control and regulation of the Board of Trustees of the University of Kentucky.

...  
...

#### Article III

3.36 Organizing, sponsoring, implementing or conducting programs or activities which are disorderly or which are violations of law or University regulations [, or which contain lewd, indecent or obscene conduct or expression].

3.37 Distributing obscene matter or knowingly organizing, sponsoring, implementing or conducting programs or activities which contain indecent or obscene behavior (see Definition Section following Section 1.21).

(renumbered 3.37)

3.38 Recurrent financial over-obligation and non-payment of debts.

(renumbered 3.38)

3.39 Any violation of University rules or policies which apply to registered student organizations or their use of University facilities or property.